

membranes

Special Issue Reprint

Preparation and Application of Advanced Functional Membranes

Edited by
Annarosa Gugliuzza and Cristiana Boi

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Preparation and Application of Advanced Functional Membranes

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Guest Editors

Annarosa Gugliuzza

Cristiana Boi



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Guest Editors

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About the Editors

Annarosa Gugliuzza

Annarosa Gugliuzza is a senior researcher at the National Research Council of Italy. She holds a degree with honors and a Ph.D. in Chemistry. She was a visiting researcher at the University of Ann Arbor, MI, USA, and POLYMAT, San Sebastián, Spain. Qualified as a full professor in Principles of Chem for Appl Technol (Academic field 03/B2), she is a lecturer and member of the Doctoral School Committee for the STFCM PhD program at the University of Calabria, Italy. Skilled in nanomaterials and nano-assembly technologies, her current research interests are mainly in membrane design dedicated to water desalination and reuse, agrofoods, environmental remediation, gas separation, and smart surfaces and interfaces. She has been the coordinator/PI of scientific projects on water desalination and breathable textiles. She has also been involved in numerous international and national projects focused on purification and separation practices for natural resources, and energy and environment management. She is a member of the scientific advisory committee for the CHross LAB of Chemical Sciences and Materials Technology, CNR-DSCTM, for cultural assets. She has been chair of workshops and co-chair within sessions of various international conferences on membranes and membrane processes. As an expert evaluator, she serves funding agencies such as ERC GRANT-EU and EWI-Singapore. Engaged in many editorial activities, she serves as an associate editor and editorial board member of various scientific journals and is an editor of four books on membranes and related technologies.

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Cristiana Boi has published 50 articles in high-impact peer-reviewed journals, 3 book chapters, and presented more than 130 papers at international conferences. She is an editor for *Separation and Purification Technology* and *Membranes*.

She has supervised/co-supervised 9 Ph.D. students and 74 M.Sc. students and is currently supervising 5 Ph.D. students and 8 M.Sc. students. She has been the president of the European Membrane Society for 2017 and 2018, the funding secretary of the World Association of Membrane Societies for 2017–2020, and is the current chair of Area 2G, Bioseparations, of the AIChE.

Preface

This reprint is a collection of research studies on advances in membrane design and process, which fit into a sustainability framework where theoretical and experimental findings are targeted to the optimization of natural resource management, environmental remediation, recovery, and recycling of value-added products. Reading this compendium, one discovers how membranes can also be fabricated from bio-based and biodegradable materials, whose combination leads to enhanced process engineering designed to make purification and separation practices much more competitive for eco-friendliness, efficiency, and productivity. Case studies on water purification and reuse, chemical and biological pollutant removal, drug delivery, hydrogen recovery, and CO₂ capture are proposed through the synergic interplay of modelling, experimental activity, and discussion beyond the state of the art.

Authors from Europe, the USA, and Asia gave a strong contribution to raising interdisciplinary knowledge and environmental outreach through efforts connected with the proposal of innovative and ecofriendly solutions. This reprint is an excellent reference for researchers, investigators, students, and stakeholders who want to tackle scientific challenges through the use of the membrane science discipline.

We wish to express our gratitude to the Italian Ministry of Foreign Affairs and International Cooperation for funding the Great Relevance International 2DMEMPUR Project (MAE00694722021-05-20) within the Italy (MAECI)–China (NSFC) 2018–2020 Joint Collaboration on New Materials, with particular reference to two-dimensional systems and graphene, and also thank the University of Bologna for the project RFO_2023_BOI.

Annarosa Gugliuzza and Cristiana Boi

Guest Editors

Editorial

Editorial for the Special Issue “Preparation and Application of Advanced Functional Membranes”

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1. Introduction

Membrane science is a discipline that cuts across almost all fields of research and experimentation [1]. It is well suited to the demand for best practices that provide sustainable solutions for natural resource management [2,3], pollution remediation [4,5], the recovery and recycling of value-added products from waste [6], and energy production and storage [7]. Advanced technologies are also being proposed for sustainable agriculture and industrial practices [8], textiles [9], biomedicine [10], packaging [11], and cultural assets [12,13]. In each case, membrane science provides multidisciplinary approaches to understand and control phenomena at different length scales, so the design and development of membrane devices and technologies requires the interplay of complementary disciplines, including modeling and simulation, membrane fabrication and characterization, process implementation, the build up of prototyping, and technology transfer. Membrane technology also has the advantage of being easy to integrate with other more conventional technologies, being remotely controllable and adaptable to different volumetric spaces [14]. Additionally, its sustainability offers the opportunity to be powered by green energy as an alternative fuel source [15]. Membrane technology is built upon both challenging and basic concepts such as the development of interfaces enabling the selective passage of bioactive molecules, ions, liquids (such as water), and gases according to well-established transport mechanisms. The latter can be regarded as the result of the intricate channels, pathways, and pores generated inside the membranes [1], as well as the accessibility to chemical moieties working as temporary adsorption sites or donor–bridge–acceptor systems [16,17].

Combining sustainable membrane design and technology is a major challenge in membrane science [18,19]. The aim is to provide solutions and best practices to manage and recover resources according to the principles of the circular green economy and durability [20,21]. Accordingly, a multidisciplinary approach is often proposed to implement the desired membrane operation on an industrial scale through process optimization, which involves a step-by-step experimental investigation and theoretical analysis, along with feasibility and cost-effectiveness assessments. First of all, the development of membranes with specific morphological and chemical features allows for the manipulation of desired or undesired events conceivable at the nano and micro scale so that the corresponding effects can be amplified at the macro scale. Once the type of operation is identified, the choice of the fabrication process that will be used to obtain suitable structural and chemical features in a predefined volumetric space becomes crucial. In fact, a jigsaw puzzle of functions can generate specific relationships for cooperative mechanisms that allow one to obtain the targets of a specific separation



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process. In recent years, advanced manufacturing processes, along with diverse families of materials, have been proposed to shift the perspective of membranes from traditional physical barriers [1] to interactive [22] and, where required, smart selective interfaces [23]. Thus, traditional phase inversion [1], track-etching [24], stretching [25], and interfacial polymerization [26] have been optimized or combined with more advanced fabrication methods, including phase separation micromolding [27], electrospinning [28], copolymer self-assembly [29], breath figure self-assembly [30], lithography [31], layer-by-layer assembly [32], and many others. On the other hand, different classes of materials, including organic fillers [33,34], nanotubes [35,36], nanoparticles [37,38], 2D materials [39,40], and quantum dots [41], have recently been proposed to promote (i) the assisted transport of gas/vapors [42,43], liquids [44,45], and ions [46,47] through membranes; (ii) improved selectivity [48,49] and high recovery factors [50,51]; (iii) energy saving [52,53]; and (iv) long-term durability [54,55].

In the last two decades, the number of papers focusing on membrane fabrication has increased exponentially (Figure 1a), confirming the growing awareness of the need to develop new functional membranes for effective operations in different subject areas (Figure 1b).

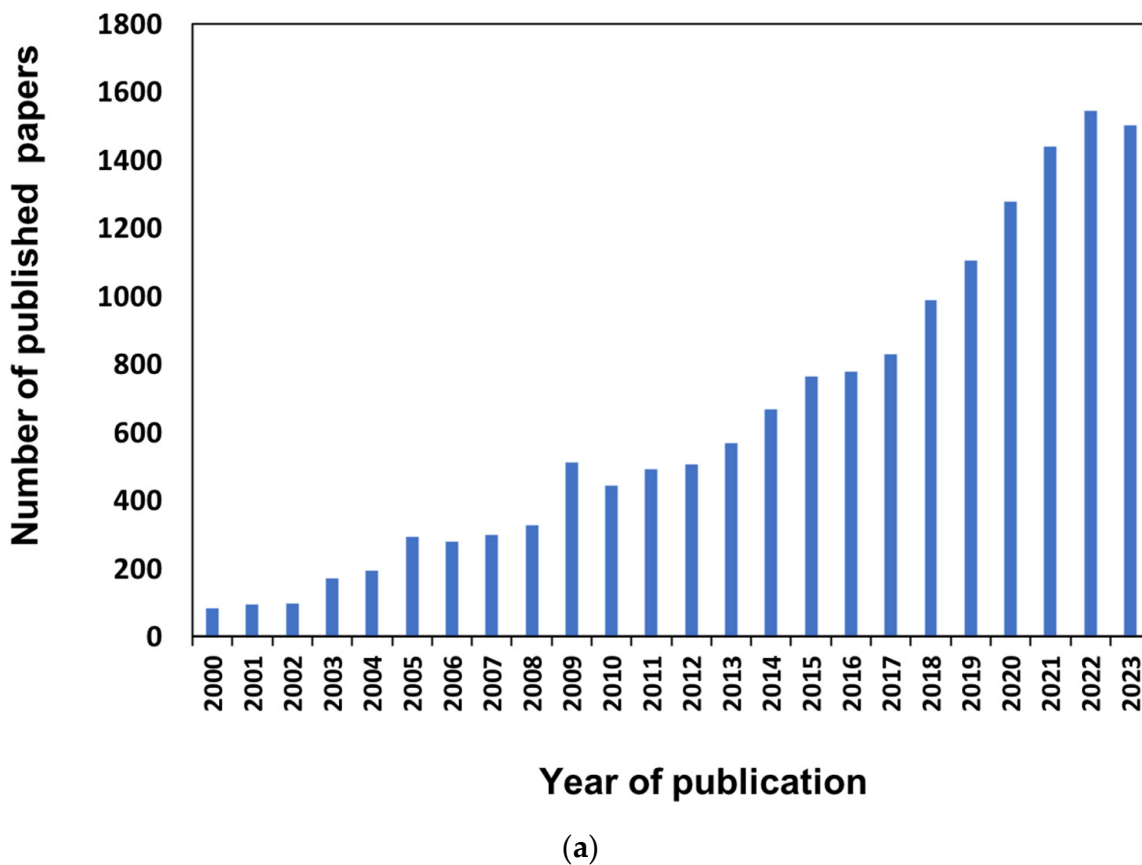


Figure 1. Cont.

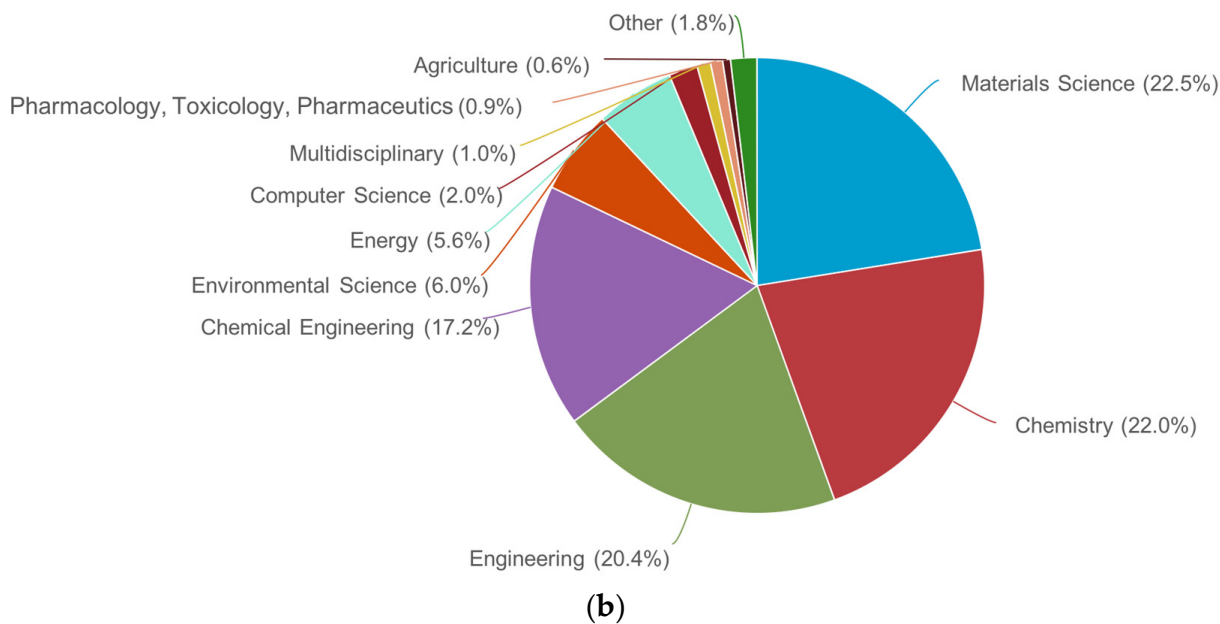


Figure 1. Annual publications on the subject of membrane fabrication (a) and subject areas’ contributions to the literature (b) over the past 20 years (2000–2023). Source: Scopus.

At the same time, the use of membrane technology has expanded, as it is seen as a practical way to manage natural resources and provide tangible solutions for environmental remediation, including water purification, CO₂ capture, and pollution control. For example, the number of articles on water purification in the last 20 years (Figure 2a) has shown an increasing trend, reaching a maximum of almost 1464 in 2022. The rise in the number of publications dedicated to membranes for energy applications has been exponential, reaching almost 3000 articles in 2023 (Figure 2b). This is because membranes can play a fundamental role in the more efficient use of natural resources for energy applications, with membranes suitable for application in batteries, hydrogen separation, and fuel cells serving as important examples.

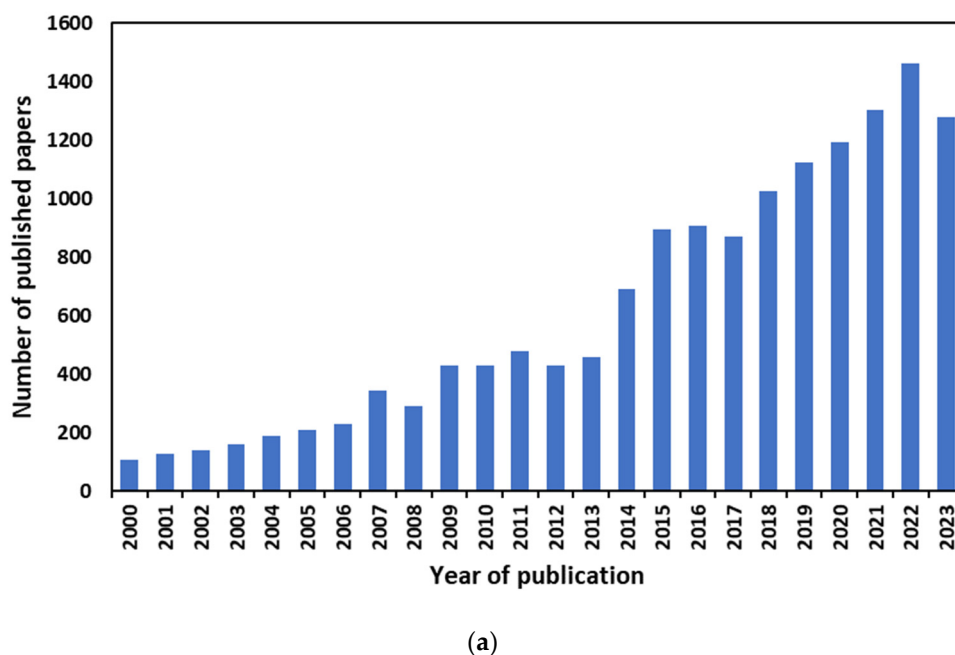
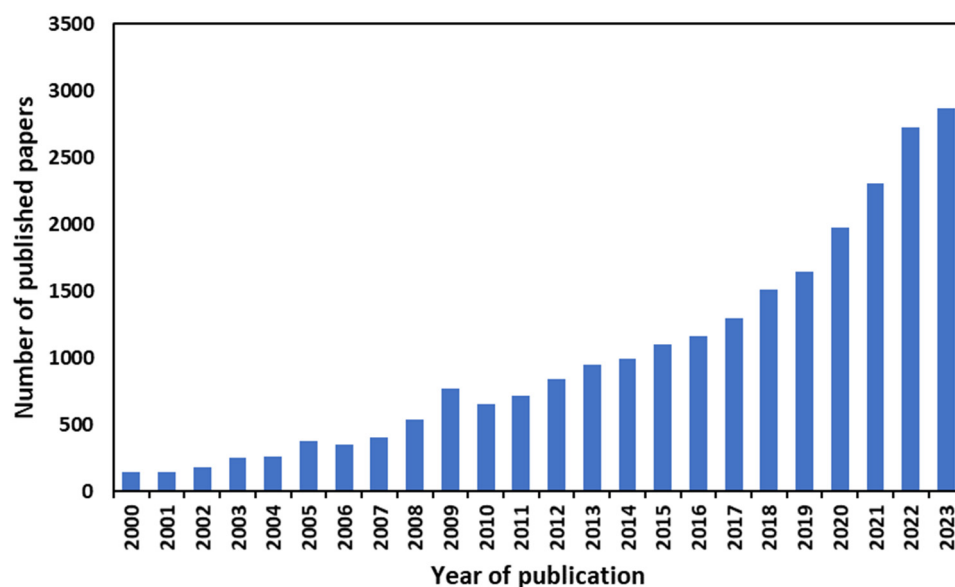


Figure 2. Cont.



(b)

Figure 2. Annual publications on (a) membranes for water purification and (b) membranes for energy applications in the last 20 years (2000–2023). Source: Scopus.

This Special Issue, “*Preparation and Application of Advanced Functional Membranes*”, offers some significant novel insights into the vast research dedicated to membrane manufacturing and applications, comprising ten interesting original articles and two reviews.

2. Overview of Published Articles

The ten original articles featured in this Special Issue deal with various issues related to water desalination (1), aqueous stream purification (2), antibacterial activity (1), controlled release (1), gas separation (2), the modeling of molecular transport through membranes (2), and nanohole formation in membranes (1). Two noteworthy reviews complete the Special Issue: the first focuses on nanocellulose green membranes and their related applications, and the second discusses the use of membranes for thorium removal, recovery, and recycling. Di Luca et al. [56] propose polyvinylidene fluoride (PVDF) membranes engineered with supramolecular complexes based on smart poly(*N*-isopropyl acrylamide) (PNIPAM) mixed hydrogels with aliquots of $\text{ZrO}(\text{O}_2\text{C}-\text{C}_{10}\text{H}_6-\text{CO}_2)$ (MIL-140) and graphene to make water desalination more sustainable and effective under relatively soft working conditions. Cooperative mechanisms take place at subnanometer scale to increase freshwater production, contrast fouling events, and facilitate in situ cleaning so that distinct effects can be induced by a simple switch of the density charge at the membrane interface without the need for additional chemicals or processing steps. Regarding the results, fluxes five times higher than pristine PVDF membrane were obtained after engineered membranes coming into contact with a mixture of NaCl (35 g L^{-1}) and humic acid (1 mg mL^{-1}); effective antifouling and safer and more sustainable self-cleaning actions helped to (i) limit the decline in the flux with time and (ii) allow for the recovery of up to 99% of the water permeation properties of the functional membranes.

Butylskii et al. [57] developed a new recycling method for lithium-ion batteries (LIBs) using a hybrid electrobaromembrane (EBM) approach. This technique selectively separates Li^+ and Co^{2+} ions using a special membrane with a pore diameter of 35 nm. The process combines an electric field and a pressure field to achieve highly efficient ion separation. The flux of lithium through the membrane is approximately $0.3 \text{ mol m}^{-2} \text{ h}^{-1}$, and the presence of coexisting nickel ions does not interfere with lithium separation. Also, under conditions where the feeding and receiving solutions are identical, the flux of cobalt ions can be directed from the receiving to the feeding solution, leading to a result that is close to

zero ($-0.0025 \text{ mol m}^{-2} \text{ h}^{-1}$) and an ion separation coefficient of Li^+ and Co^{2+} ions of -55 . EBM conditions can be tailored to extract only lithium while leaving cobalt and nickel in the feed solution with a separation coefficient equal to infinity. This process holds promise for meeting the global demand for lithium and mitigating e-waste issues.

Joosten et al. [58] developed electrospun wire membrane adsorbers based on sulfonated poly(ether-ether-ketone) (sPEEK) for the recovery of valuable resources. These membrane adsorbers selectively bind lysozyme and have potential applications in the removal of heavy metals, dyes, and pharmaceutical components. Variations in fiber diameter have minimal effect on specific surface area and dynamic adsorption capacity. Different degrees of sulfonation of sPEEK do not proportionally affect the adsorption capacity. A dynamic lysozyme adsorption capacity of 59.3 mg/g can be achieved at 10% breakthrough regardless of flow rate. This study highlights the role of fiber diameter and functional group density in optimizing membrane adsorber performance. More specifically, variations in fiber diameter and functional group density do not significantly affect the binding capacity, meaning that a membrane adsorber can be realized easily and used immediately to bind positively charged molecules without further morphological and chemical adjustments. This research article provides a valuable and prompt solution for efficient resource recovery and purification.

Composite ultrafiltration membranes have been prepared by Figueredo et al. [59] using cellulose acetate and silver nanoparticles. Varying the membrane structure results in different antibacterial effects against *E. coli*. The presence of silver nanoparticles significantly inhibits the growth of *E. coli*. More permeable membranes have higher silver contents and superior growth inhibition. When fully immersed in an *E. coli* suspension, the antibacterial activity of both silver-free and AgNP membranes are suitably correlated with surface chemical composition and silver accessibility, resulting in an efficient inhibition pattern for membranes with AgNPs. These results point towards potential applications in water purification and other areas related to antibacterial action.

The research article authored by Pereira et al. [60] focuses on magnetic-responsive hydrogels for liposomal drug delivery. They have developed systems with liposomes containing ferulic acid (FA) encapsulated in gelatin hydrogel membranes with iron oxide nanoparticles (MNPs). Their study compared these systems with conventional drug delivery methods. FA release from the liposomal gelatin followed the Korsmeyer–Peppas model, suggesting controlled diffusion in the absence of a magnetic field. However, under magnetic stimulation, due to the dispersed MNPs in the matrix, the release of FA from the liposomal gelatin membrane undergoes an increase in the constant rates; while keeping a diffusional controlled FA release mechanism, the FA release changes from Fickian diffusion to quasi-Fickian diffusion. Low-intensity magnetic fields stimulate FA release and shift the mechanism. The liposomal gelatin systems therefore offer a smoother and more controlled release of FA, with the potential for longer-term therapeutic use. This approach opens doors for novel magnetically controlled drug delivery approaches in biomedicine.

Liu et al.'s article [61] presents a computational mechanistic study that was conducted to understand how to remove acid gases from natural gas by using new functionalized GO membranes. They studied the adsorption and diffusion of several gases in 1,4-phenylenediamine-2-sulfonate (PDASA)-doped GO membrane channels, providing new insights into the solubility coefficient of CO_2 and H_2S . They estimated, for these two gases, a binding affinity that is higher than that computed for CH_4 and N_2 . Their theoretical analysis suggested multilayer adsorption in functionalized GO membrane channels according to the Redlich–Peterson model, while the Langmuir model was used to describe weak adsorption for non-polar gases. The result is an enhanced permeability and selectivity of acid gases such as CO_2 and H_2S —i.e., $\text{PCO}_2 = 7265.5 \text{ Barrer}$, $\alpha_{\text{CO}_2/\text{CH}_4} = 95.7$; $\text{P}(\text{H}_2\text{S} + \text{CO}_2) = 42,075.1 \text{ Barrer}$, $\alpha_{\text{H}_2\text{S}/\text{CH}_4} = 243.8$ —over CH_4 , with a performance superior to that observed for more traditional GO membranes.

Polak et al. [62] provide an in depth-study of the transport properties of Pebax@2533 membranes filled with SiO_2 , ZIF-8, and POSS-Ph over a broad range of temperatures

and pressures, providing permeability, diffusivity, and solubility data for N₂, CH₄, and CO₂ gases. CO₂ selectivity was also examined as a function of temperature and pressure effects. The permeability increased with temperature, leading to a predominance of the diffusivity mechanism over the solubility one. Instead, the increase in pressure enhances CO₂ permeability, while greater benefits can be obtained for N₂ and CH₄ gases in terms of diffusivity.

Water vapor transport was studied through Pebax@2533 membranes filled with the ionic liquid [C₁₂C_{1im}]Cl (30 to 70 wt.%) by the authors of [63]. This theoretical study, which was inspired by prior experimental evidence, was conducted to establish how the addition of ionic liquid (IL) to the elastomeric membranes generates preferential pathways for water molecules, reaching values of water permeability of $85 \times 10^{-3} \text{ g m}^{-2} \text{ day}^{-1} \text{ m}$ at 318 K and with a content of [C₁₂C_{1im}]Cl around 70 wt.%. Molecular dynamics simulations yield new insights into the role of the anions and cationic head groups of IL in directing water molecule diffusion. This pathway becomes wider with increases in temperature and IL concentration due to the larger water-accessible area. The use of smart organic nanofillers such as ionic liquids is herein demonstrated to make Pebax membranes breathable to a desired extent and in a reversible way. This type of engineered membrane is extremely interesting for the construction of environmental micro-regulation devices.

The study contributed by Strzelewicz et al. [64] explores particle diffusion in heterogeneous membrane-like structures by studying the interplay between membrane structure, external drift forces, and diffusion characteristics. They used Cauchy flight diffusion with drift and compared it to Gaussian random walk. The results show that strong drift can halt Gaussian diffusion while promoting superdiffusion with Cauchy flight. The membrane structures, which mimic real polymeric membranes with inorganic powders and designed obstacles, influence the transport behavior. More specifically, this paper proves that, under weak drift, the diffusion is controlled by the local environment, that is, the membrane. For stronger drift, superdiffusion is recognized, and in cases of excessively strong drift, the Brownian motion is almost stopped while tracers are pushed against obstacles. However, this pushing is overcome by random motion. Understanding these relationships is critical to improving the efficiency of processes that rely on membrane-based transport. This study underscores the role of structure and drift in shaping particle movement across membranes.

Ceccio et al. [65] propose the use of non-destructive ion transmission spectroscopy (ITS) and neutron depth profiling (NDP) to analyze, monitor, and quantify the evolution of etched micron-sized pores, the shape of which, in their study, transferred from latent tracks to a conical form (for one-sided etching) and, through a symmetrical (double-sided) etching process, to a well-developed cylindrical geometry. Using a traditional wet chemical etching process, they used dopants such as LiCl solution or boron to fill and generate defined pores and evaluated the effects on their shape and depth. As an example, the volume of the pore is demonstrated to increase linearly with both the etching temperature and time. Doping the etched tracks with 5 M LiCl, reductions of 24% and 11% can be obtained for the pore volume using etching times of 45 and 60 min, respectively. No destructive techniques allow for the investigation and quantification of every single effect of the working parameters on the final pore shape and size. This contribution falls into the list of studies dedicated to the fabrication and characterization of membranes with well controlled morphologies.

Nitodas et al. [66] focus on the sustainable use of nanocellulose to prepare membranes useful for a large range of applications, including water purification, desalination, antimicrobial applications, gas separation, and gas barrier applications. After an introduction to the synthesis and characterization of nanomaterials for nanocellulose membranes, case studies are illustrated through comparative analyses, highlighting the biodegradability, non-toxicity, low density, thermal stability, long-term reinforcement capabilities, and high mechanical strength of the proposed materials. Interestingly, this review provides further insights into the non-conventional uses of nanocellulose membranes in biomedical fields and other, more recreational practices, such as musical instruments.

Man et al. [67] discusses the use of membrane processes to address the removal, recovery, and recycling of thorium from industrial residues that often end up in municipal waste treatment facilities. They cover the biomedically relevant aspects of thorium, thorium detection techniques, classical extraction methods, and various membrane processes, such as electrodialysis and the use of liquid membranes. Special emphasis is placed on urban mining and the valorization of thorium. Moreover, this paper aims to provide insight into the safe handling of thorium and environmental protection through proposing practices and actions for the removal, recovery, and valorization of thorium.

3. Conclusions

The articles published in this Special Issue represent valuable contributions to the research dedicated to the development of new functional membranes for sustainable separation processes. Best practices are proposed to improve the performance of various separation processes, including water desalination, waste purification, CO₂ capture, antimicrobial applications, and smart drug delivery. Conceivable solutions are anticipated to make the operation of each membrane more ecofriendly through the selection of non-polluting, recyclable, and biodegradable materials, the use of low-environmental-impact manufacturing schemes, and the implementation of purification technologies for specific case studies. It is important to note that the output of each process is always related to the specific features of the membranes, including their morphological properties and chemical functionalities, which can be manipulated by external triggers. On the other hand, the understanding of transport mechanisms is always related to the intrinsic structural and chemical aspects of the membranes, as ascertained through the carrying out of theoretical analyses and experimental research.

As a final note, we would like to highlight that this Special Issue collects transversal and complementary contributions from China, the USA, and multiple European Countries, representing a shared and enhanced cross-technology research effort based upon issues regarding environmental and health protection.

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Review

Current and Potential Applications of Green Membranes with Nanocellulose

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Abstract: Large-scale applications of nanotechnology have been extensively studied within the last decade. By exploiting certain advantageous properties of nanomaterials, multifunctional products can be manufactured that can contribute to the improvement of everyday life. In recent years, one such material has been nanocellulose. Nanocellulose (NC) is a naturally occurring nanomaterial and a high-performance additive extracted from plant fibers. This sustainable material is characterized by a unique combination of exceptional properties, including high tensile strength, biocompatibility, and electrical conductivity. In recent studies, these unique properties of nanocellulose have been analyzed and applied to processes related to membrane technology. This article provides a review of recent synthesis methods and characterization of nanocellulose-based membranes, followed by a study of their applications on a larger scale. The article reviews successful case studies of the incorporation of nanocellulose in different types of membrane materials, as well as their utilization in water purification, desalination, gas separations/gas barriers, and antimicrobial applications, in an effort to provide an enhanced comprehension of their capabilities in commercial products.

Keywords: nanocellulose; membranes; water treatment; wastewater; gas separation; bacterial nanocellulose



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1. Introduction

Research within the fields of material science and nanotechnology has been on a steady rise over the past several years. By isolating and modifying certain advantageous properties of newly developed materials, such as nanostructures, the latter can be applied to optimized processes to facilitate the improvement of several aspects of our lives. Given the unique size of nanomaterials, nanostructured materials can be modified at the scale of 1–100 nm, resulting in the improvement of a variety of properties within products used in everyday life. In recent years, one such material has been nanocellulose. Nanocellulose is a naturally occurring nanomaterial extracted from the cell walls of plant fibers, plant biomass, and algae [1,2]. This green nanomaterial can be obtained either as nanocrystalline/nanofiber cellulose via top-down biosynthesis by disintegration of plant materials or as bacterial nanocellulose through bottom-up biosynthesis [3–5].

Nanocellulose is characterized by chemical inertness, high tensile strength, and biocompatibility; in addition, it exhibits dimensional stability, a low coefficient of thermal expansion, and the ability to modify its surface chemistry [6–10]. In recent studies, these unique properties of nanocellulose have been enhanced and applied to processes in the areas of water purification [11], automotive [12], food [1,13], and membrane separations [14]. The industry prioritizes the low cost and efficient production involved in utilizing nanocellulose as an alternative to conventional cellulose. Compared to traditional cellulose, nanocellulose has a higher surface area, aspect ratio, and Young's modulus, allowing its application to adsorption, photocatalysis, flocculation, and membranes [15]. In addition, the research interest in nanocellulose-based materials for environmental applications is

rapidly growing due to increasing environmental problems, water contamination, and the severe risk of oil pollution [16,17].

Regarding water purification, it has been shown that modified variants of nanocellulose reduce the concentration of contaminants in wastewater. For instance, carboxymethyl nanocellulose stabilized nano zero-valent iron has been proven to be effective in reducing the presence of hexavalent chromium within wastewater [11]. Nanocellulose has been the subject of a wide spectrum of research efforts for its utilization in membranes for multi-functional wastewater treatment and adsorption, with a primary focus on improving the permeability of nanocellulose and extracting purified liquid [18–21].

Nanocellulose has also been applied to gas separation processes such as regulating membranes [22,23]. These nanocellulose membranes allow for the capture and storage of certain gases, including CO₂, which is prevalent in many industrial processes involving power generation [24,25]. This action decreases carbon emissions, leading to lower greenhouse gas pollution. Nanocellulose membranes have also been applied to biomedical devices. This provides greater precision in chemical regulation given its dynamic permeability, which is optimal for medical environments [26]. The current study constitutes a comprehensive review of the aforementioned important applications of nanocellulose-based membranes, with emphasis on the environmental sector (water purification, gas separations).

2. Types of Nanocellulose

Over the past few years, nanocellulose has emerged as one of the most promising materials for a variety of applications, largely due to its biodegradable nature. A highlighted advantage of nanocellulose is also its diverse size/dimensions which enable its versatility across multiple fields. With pollution on the rise and environmental issues greater than ever before, this material may pose solutions to issues in this specific area. The market for nanocellulose has been on a steady increase, with estimates of it being worth \$660 million by the end of 2023 [27] and \$783 million by 2025 [28]. Paper and pulp products represent the majority of the nanocellulose market; nanocellulose has been used as an additive in papermaking to produce lighter paper that exhibits enhanced properties, such as higher printing quality, improved mechanical strength, and less transparency [28,29]. Similar trends have been observed with patents associated with cellulose nano-objects; from 2010 to 2017, about 4500 patents referring to nanocellulose were published [30].

Currently, nanocellulose can be produced in many different forms, including one-dimensional (nanofibers/microparticles), two-dimensional (films), and three-dimensional (hydrogels/aerogels) variants. There are three main types of nanocellulose: cellulose nanocrystals (CNC), cellulose fibers (CNF), and bacterial nanocellulose (BNC) [31]. Cellulose nanocrystals (CNC) are a crystalline derivative of nanocellulose extracted through strong acid hydrolysis at high temperatures [32]. CNCs possess high thresholds in aspect ratio, surface area, and mechanical strength, which renders them ideal for applications on surfaces that require reinforcement.

Cellulose fibers (CNF) are microfibrils separated from nanocellulose and obtained by breaking down complex nanocellulose structures through chemicals and mechanical means. CNFs possess high plasticity regarding the dimensions of the material. Depending on the plant source of the nanocellulose, CNFs can have varying width and diameter ranges, rendering them ideal for applications that require flexibility in the size of the applied nanomaterials.

Bacterial nanocellulose (BNC or BC) is a promising natural biopolymer that can be produced by specific bacterial species, such as an exopolysaccharide of β -D glucopyranose [33]. It can be obtained through cultivation in a bacterial environment saturated with glucose, phosphate, and oxygen [34]. The versatile nature of this form of nanocellulose has generated greater research related to biomedical applications. BNC exhibits very good mechanical properties, whereas its nanostructured morphology and water-holding capacity render bacterial nanocellulose an ideal material for cellular immobilization and

adhesion [35,36]. The properties of the three types of nanocellulose are compared in Table 1, where it can be seen that both CNC and BNC are characterized by high crystallinity, while CNC and CNF exhibit high Young's Modules values [31].

Table 1. Comparison of structure parameters of different types of nanocellulose. (Adapted from Huo et al. [31]).

| Nanocellulose Type | Structural Properties | | | Mechanical Properties | |
|--------------------|-----------------------|---------------|-------------------|-----------------------|------------------------|
| | Length (nm) | Diameter (nm) | Crystallinity (%) | Young's Modulus (GPa) | Tensile Strength (GPa) |
| CNCs | 100–500 | 3–50 | ~90 | 50–140 | 8–10 |
| CNFs | $\geq 10^3$ | 3–60 | 50–90 | 50–160 | 0.8–1 |
| BC | $\geq 10^3$ | 20–100 | 84–89 | 78 | 0.2–2 |

3. Applications of Nanocellulose-Based Membranes

3.1. Desalination and Wastewater Treatment

About 4 billion people are experiencing water scarcity at least one month per year, whereas 1.8 billion people are facing an absolute water shortage [37]. It has also been reported that more than 63 million Americans have been exposed to more water contamination in recent decades [38]. Membrane-based desalination offers one solution to the water scarcity issue, as it supplements the natural hydrological cycle with freshwater obtained from seawater and other non-potable sources [39]. Additionally, membrane-based desalination is more cost-effective than traditional chemical treatments. Nanocellulose in particular has potential in membrane-based desalination because its unique properties lend themselves to a more efficient and eco-friendly membrane [39]. Nanocellulose exhibits high surface area and high tensile strength, and because it is naturally derived, it is non-toxic to humans and the environment [40].

Membrane-based desalination can be accomplished through various methods, including nanofiltration, reverse osmosis, pervaporation, ultrafiltration, and distillation. Nanocellulose has been successfully employed in all aforementioned methods, with different forms of nanocellulose displaying varying efficiency in each method [41–43]. Cellulose nanocrystals (CNCs) have been found to have applications in nanofiltration, reverse osmosis, and pervaporation. Yang et al. found that polydopamine-modified CNCs have significant applications in nanofiltration. When deposited on a thin-film nanocomposite membrane, the addition of the modified CNC produced pure water permeability of $128.4 \text{ L m}^{-2} \text{ h}^{-1}$ (LMH)/bar, Congo red rejection of 99.91%, and salt permeation of 99.33% [44]. Comparatively, cellulose nanofibrils (CNFs) have been found to have applications in ultrafiltration as well as nanofiltration and reverse osmosis.

Mohammed et al. carried out a study to test the performance of reduced graphene oxide/cellulose nanofibrils (CNFs) in membranes used for nanofiltration [45]. The study found that reduced graphene (rGO) membranes with CNF exhibited a pure water permeance of 37.2 LMH/bar , whereas rGO membranes without CNF had a reduced pure water permeance of $0.33 \text{ L m}^{-1} \text{ h}^{-1} \text{ bar}^{-1}$. This result can be seen in Figure 1. The figure shows the varying pure water permeance of three rGO membranes for five different organic dyes. The membranes vary in their rGO loading but have a fixed 1:1 ratio of rGO to CNF. Additionally, all three membranes received three minutes of oxygen plasma etching treatment in order to increase the number of nanopores on the surface of the membrane. The dyes tested include methyl orange (MO), rhodamine B (RhB), acid fuchsin (AF), brilliant blue (BB), and rose bengal (RB). As shown in the figure, the membrane with a 23.87 mg m^{-2} loading had the highest pure water permeance but only had more than 90% rejection for 2/5 of the dyes. The membrane with a 39.79 mg m^{-2} loading displayed improved rejection but suffered from a lower pure water permeance. In addition, it was still not able to obtain 90% rejection for MO and RhB. Consequently, the membrane with a 31.83 mg m^{-2} loading was

determined to be the most well-rounded membrane due to its ability to reject more than 90% of the AF, RB, and BB dyes while maintaining an acceptable pure water permeance [45].

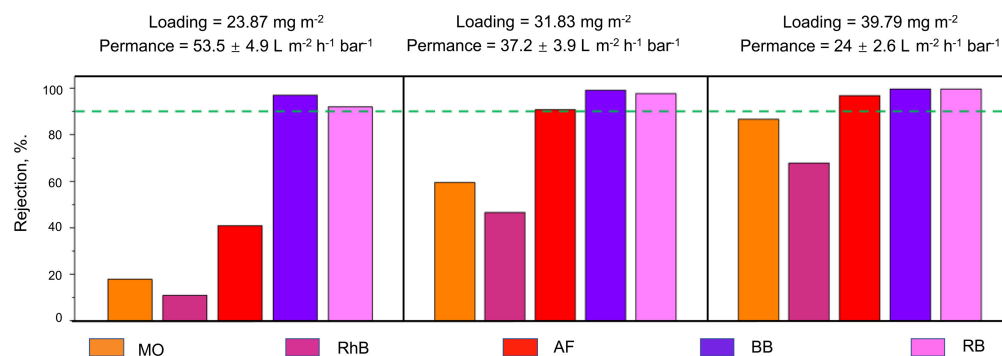


Figure 1. Comparison of pure water permeance and rejection of rGO:CNF_(1:1) membrane for various loading after 3 min plasma treatment (Adapted from Mohammed et al. [45]).

Other research studies with nanocellulose-based materials have focused on the removal of heavy metals from wastewater. Heavy metals can stem from the effluents of several industries, including electroplating, metallurgical processes, and mining [46]. These activities constitute hazards to the environment and humans. For effective heavy metal removal by adsorption processes, the surface chemistry of the nanocellulose-based membrane must be tailored for the removal of specific metal species [47]. As an example, negatively charged carboxylated CNF coupled with trimethylolpropane-tris-(2-methyl-1-aziridine) propionate and graphene oxide was found to be an excellent adsorbent of numerous cations of heavy metals, including Pb²⁺, Cd²⁺, and Cu²⁺ [48]. Several surface modifications of nanocellulose have been investigated, with carboxylation being the most studied method for enhancing the sorption capacity of nanocellulose [49]. Tetramethylpiperidine-1-oxyl (TEMPO)-oxidized nanocellulose adsorbents present outstanding adsorption capabilities for divalent cations [50]. The carboxyl group provides a strong negative charge to nanocellulose, which permits the adsorption of even radioactive species [47].

In addition to the surface modification of the nanocellulose surface, the pH of the solution is critical for the selectivity of nanocellulose over heavy metal ions. Sharma et al. reported that the adsorption of Cd²⁺ from cadmium(II) nitrate solution using CNF modified by the nitro-oxidation method was optimum at pH 7 and decreased in acidic and basic conditions, as can be seen in Figure 2 [51]. At low pH values, some carboxylic acid groups became neutral, resulting in weaker electrostatic interactions overall. At high pH values, on the other hand, some CNF nanofibers were denatured, and consequently, the Cd²⁺ adsorption capacity of the system decreased.

Bacterial nanocellulose can also be employed for treating water contamination [52,53]. This material covers a smaller range of applications than CNC and CNF, with one of them being membrane distillation. Wu et al. produced a membrane for photothermal membrane distillation composed of polydopamine (PDA) particles and bacterial nanocellulose arranged in a bilateral composition and exposed to (tridecafluoro-1,1,2,2-tetrahydrooctyl)-trichlorosilane (FTCS) vapor [54]. They found that the membrane exhibited a salt rejection greater than 99.9%, a solar energy-to-collected water efficiency of 68%, and a 1.0 kg m⁻² h⁻¹ permeate flux. Additionally, the membrane allowed for ease of cleaning due to its ability to partially disinfect itself when exposed to sunlight.

Figure 3 shows how effective light irradiation has been at eliminating bacteria close to the FTCS-PDA/BNC membrane surface [54]. Fluorescent staining was used to color-tag dead and live cells red and green, respectively. Scanning electron microscope (SEM) imaging was used to observe the membrane surface, with red and green arrows used to indicate dead and live cells. In Figure 3a, which represents the control experiment, a solution of more than 324 live *E. coli* cells/mL was used to simulate bacteria-contaminated water. Under dark conditions and after 1 h, the fluorescence imaging shows the presence

of live *E. coli* cells as well as the absence of dead cells. In Figure 3b, light irradiation (1 kW m^{-2}) was applied in addition to the *E. coli* solution to simulate in situ conditions. After 1 h, the presence of live and dead cells can be observed in Figure 3(b1–b3). Figure 3c depicts the membrane surface after the feed water from Figure 3b was drained and the membrane was exposed to light irradiation (1 kW m^{-2}) for a duration of 10 min. After this second dose of light irradiation, the membrane only exhibited dead cells, which suggests the rise in membrane temperature ($\sim 78 \text{ }^\circ\text{C}$) in addition to the removal of the bulk top water was successful in killing the *E. coli*. Finally, Figure 3d depicts the membrane surface after being washed in DI water for 5 min. As seen in Figure 3(d1–d3), no *E. coli* cells were detected. This is a significant observation because it suggests that the membrane can be effectively cleaned without having to depend on invasive methods that can change the chemical composition or integrity of the membrane.

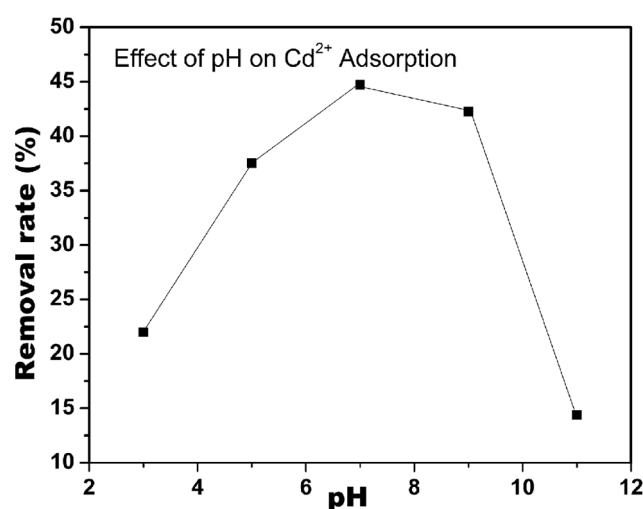


Figure 2. Effect of pH value on the Cd^{2+} adsorption efficiency of CNF (Adapted from Sharma et al. [51]).

Another variant of nanocellulose, nanocellulose acetate (NCA), also displayed promising desalination applications and anti-biofouling properties. In a study conducted by Morsy et al., NCA was prepared from rice straw waste by acidic hydrolysis, and the NCA was incorporated into reverse osmosis membranes via phase inversion [55]. The NCA membranes displayed decreased relative protein adsorption compared to the pristine membranes and increased water flux and salt rejection [55]. The aforementioned studies illustrate how the use of CNC, CNF, or BNC can differ according to which desalination method is preferred. However, as all of the prior materials are derived from nanocellulose, the latter can be considered a widely applicable material for desalination processes.

Another application of nanocellulose that has received attention in the last few years is in solar evaporators for producing freshwater from seawater. Solar evaporation is an attractive technology that combines water and solar energy. It has enabled an array of emerging applications, including contaminated water purification, seawater desalination, electric generation, steam sterilization, and fuel production, especially in resource-limited regions and countries [56]. Compared to many synthetic polymer-based evaporators, nanocellulose-based evaporators are expected to benefit from the NC's abundant reserves and renewable features [57]. In the study of Wu et al., it is shown that the microporous network of cellulose composite-based evaporators results in performance improvements such as high evaporation rates and salt resistance [57].

In another work by Jian et al., a flexible, scalable, and biodegradable photothermal bilayered evaporator for highly efficient solar steam generation was demonstrated [58]. The bilayered evaporator consisted of BNC loaded with a high concentration of polydopamine (PDA) particles during its growth. The size of the PDA particles was tailored to achieve light absorption properties matching the solar spectrum. This hybrid biodegradable material

introduced in the evaporator exhibited good photothermal conversion and heat localization, leading to a high solar steam generation efficiency of 78%, thus showing a promising approach to tackle the global water crisis.

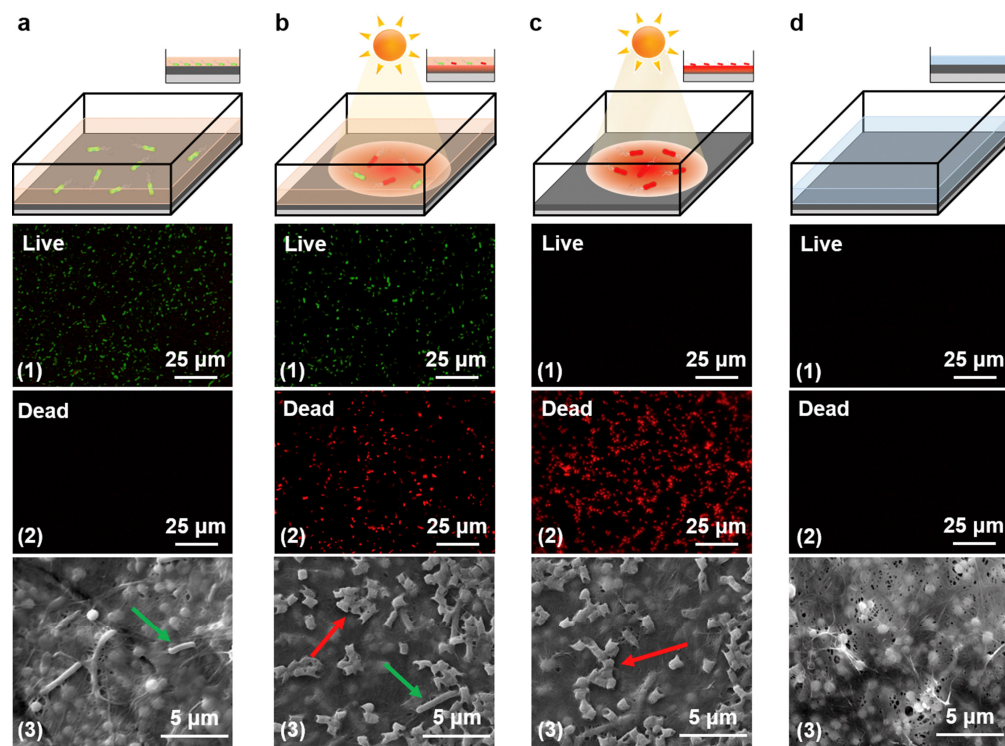


Figure 3. Activity measurements of photothermal disinfection. Schematic (1), fluorescence (2), and SEM images (3) of (a) FTCS-PDA/BNC membrane after exposure to water contaminated with *E. coli* for 1 h, (b) FTCS-PDA/BNC membrane after in situ PMD operation for 1 h with water contaminated with *E. coli*, (c) FTCS-PDA/BNC membrane after the water contaminated with *E. coli* was drained from the top surface, and exposure of the membrane to solar light (1 kW m^{-2}) for a duration of 10 min, (d) FTCS-PDA/BNC membrane after exposure to light and washing using distilled water (Adapted from Wu et al. [54]).

Table 2 summarizes the findings of the research works studied in this section.

Table 2. Summary of the performance of nanocellulose-based materials tested for water treatment applications.

| Nanocellulose-Based Membrane Material | Summary of Results | Ref. |
|---|--|------|
| Polydopamine-modified Cellulose nanocrystals (CNCs) | <ul style="list-style-type: none"> ✓ Pure water permeability of $128.4 \text{ L m}^{-2} \text{ h}^{-1}$ (LMH)/bar ✓ Congo red rejection of 99.91% ✓ Salt permeation of 99.33% | [44] |
| Reduced graphene oxide (RGO)/cellulose nanofibrils (CNFs) | Varying rGO loading at a fixed 1:1 ratio of rGO to CNF and testing of MO, RhB, AF, BB and RB dyes: <ul style="list-style-type: none"> • Pure water permeance of 37.2 LMH/bar. • Only BB and RB exhibited more than 90% rejection in all studied membranes. | [45] |
| Negatively charged carboxylated CNF with trimethylolpropane-tris-(2-methyl-1-aziridine) propionate and graphene oxide | Excellent adsorbent of numerous cations of heavy metals, including Pb^{2+} , Cd^{2+} and Cu^{2+} | [48] |

Table 2. Cont.

| Nanocellulose-Based Membrane Material | Summary of Results | Ref. |
|---|---|------|
| Tetramethylpiperidine-1-oxyl (TEMPO)-oxidized nanocellulose | Adsorption capabilities for divalent cations | [50] |
| CNF modified by nitro-oxidation | Adsorption of Cd ²⁺ was optimal at pH 7 and decreased in acidic and basic conditions | [51] |
| Polydopamine (PDA) particles and bacterial nanocellulose | <ul style="list-style-type: none"> • Salt rejection > 99.9% • 1.0 kg m⁻² h⁻¹ permeate flux | [54] |
| Nanocellulose acetate (NCA) | <ul style="list-style-type: none"> • Salt rejection of 97.4% • Water flux of 2.2 L/m² h | [55] |
| Microporous network of cellulose composite | High evaporation rate and salt resistance within evaporator | [57] |
| BNC loaded with a high concentration of polydopamine (PDA) | Solar steam generation efficiency of 78% within evaporator | [58] |

3.2. Gas Separation

A prominent application of nanocellulose is in gas separation technologies, with particular application in carbon capture [59–62]. Currently, carbon dioxide (CO₂) accounts for about 76% of total greenhouse gas emissions, and this number is only expected to rise, according to the U.S. Energy Information Administration [63]. As such, carbon capture and storage may play an important role in tackling this issue. Carbon capture can be broken into three strategies, including pre-combustion capture, oxyfuel processes, and post-combustion capture [63]. Among these strategies, post-combustion techniques hold particular interest in most carbon capture and storage (CSS) projects because incorporating different CO₂ separation technologies will not disturb existing processes [63].

Basic CO₂ gas separation methods common in CSS are adsorption and membrane separation, which can be realized through gas separation membranes [63]. Materials used for these membranes must be abundant, low-cost, and sustainable, and the membranes themselves must exhibit high CO₂ permeability and selectivity to be successful. Nanocellulose meets all these requirements, as cellulose can be produced at more than 100 million tons per year, and nanocellulose itself was found to be biodegradable and cheap at \$2 USD per kg in 2011 [63–65]. Lastly, nanocellulose has properties that lend themselves to stronger and more effective membranes and adsorbents, such as a surface area ranging from 100 to 200 g/m², a tensile strength ranging from 7.5 to 7.77 GPA, and a Young's Modulus of 110–220 GPa [66].

Nanocellulose membranes can be created using a variety of methods, including vacuum filtration, solvent casting, dip coating, and electrospinning [67]. Without any modification, nanocellulose membranes exhibit low gas permeability [68]. As a result, they can be employed as gas barrier materials instead of gas permeation materials. On the other hand, cellulose and nanocellulose can be easily modified due to their profusion of hydroxyl groups. Common strategies for producing cellulosic CO₂ adsorbents include chemically modifying nanocellulose, incorporating inorganic particles into nanocellulose, and modifying nanocellulose with the addition of polymers [63].

An example of chemically modified nanocellulose is nanocellulose aerogel, which is created from the crosslinking and drying of nanocellulose [69]. Nanocellulose aerogel has a high surface area but must be chemically modified to achieve high CO₂ selectivity. Liu et al. modified spherical cellulose nanofibril (CNF) hydrogel by introducing 3–5 wt% N-(2-aminoethyl)-3 aminopropylmethyldimethoxysilane in water at 80 °C or 90 °C for a duration of 10 h, followed by freeze drying [70]. The resulting hydrogel attained a CO₂ adsorption capacity of 1.28–1.78 mmol/g at the higher temperature. This result was supported by a similar study conducted a year later by Zhang et al., who produced an N-(2-aminoethyl)-3

aminopropylmethyldimethoxysilane-modified spherical cellulose nanocrystal (CNC) aerogel with a CO₂ adsorption capacity of 1.68 mmol/g [71]. Inorganic particles have also been employed to increase the CO₂ adsorption of nanocellulose adsorbents. More specifically, Valencia et al. used silicalite-1 zeolite to modify a hybrid CNF-gelatin foam [72]. The resulting composite was able to adsorb up to 1.2 mmol CO₂/g, which is comparable to the CO₂ adsorption of pure silicalite-1. When the foam was further modified by incorporating a zeolitic imidazolate metal-organic framework (ZIF), the CO₂ adsorption and selectivity over nitrogen were found to be improved, and this was attributed to the hierarchical porous structure of ZIF that can facilitate strong interaction with CO₂ in the micropores [73].

Blending nanocellulose with hydrophilic polymers has also been found to improve a membrane's CO₂ permeability [74]. Venturi et al. incorporated 30% nanofibrillated cellulose (NFC) into a polyvinylamine membrane to increase its CO₂ permeability and CO₂/N₂ selectivity [75]. The modified membrane had a CO₂ permeability of 187 Barrer, a CO₂/N₂ selectivity of 100%, and a CO₂/CH₄ selectivity of 22% at 80% relative humidity. In another study, Dai et al. found that hybrid nanocellulose-80%/polyvinyl alcohol (PVA) membranes exhibit higher CO₂ permeance if they are prepared with cellulose nanocrystals (CNC) rather than cellulose nanofibrils (CNF) [76]. As it can be seen in Figure 4, the 80 wt% CNC/PVA membrane had a 65% increase in CO₂ permeance compared to the neat PVA membrane, while the 80 wt% CNF/PVA membrane only had a 15% increase in CO₂ permeance with respect to the PVA membrane. Comparatively, the type of nanocellulose had a negligible effect on the hybrid membranes' CO₂/N₂ selectivity. The 80 wt% CNC/PVA membrane was also able to maintain its high CO₂ permeance and CO₂/N₂ selectivity for over a year.

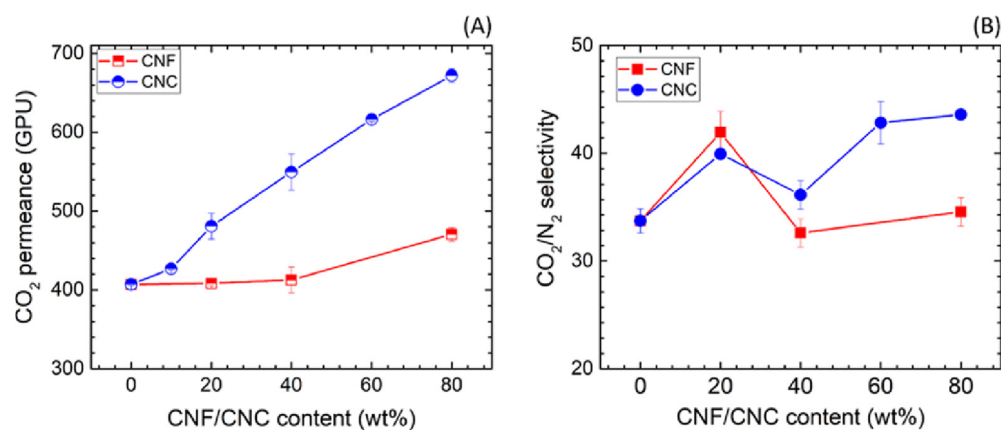


Figure 4. CO₂ permeance (A) and CO₂/N₂ selectivity (B) of the hybrid nanocellulose/PVA membranes as a function of the nanocellulose content (Adapted from Dai et al. [76]).

In a more recent study, Dai et al. blended cellulose nanocrystals with Polyether-block-amide (Pebax 1657) to produce Pebax/CNC hybrid membranes [74]. The membranes were characterized by mixed-gas permeation tests under dry (relative humidity (RH) = 0%) and humid (RH = 100%) conditions. The results can be summarized in Figure 5. Under dry conditions, it was observed that 5 wt% CNC loading enhanced CO₂ permeability by 29% (104.0 Barrer) compared to membranes with 0 wt% CNC loading. However, the CO₂/N₂ selectivity was not found to improve with the addition of CNC to the membrane. Under humid conditions, it was found that membranes with 5 wt% CNC loading increased CO₂ permeability by 42% (305.7 Barrer) and CO₂/N₂ selectivity by 18% (41.6 separation factor) compared to membranes with 0 wt% CNC loading. Increasing humidity led to higher CO₂ permeability and CO₂/N₂ selectivity, as can be seen in Figure 5. However, a common conclusion for both dry and humid conditions was that further increases in the CNC content above 5 wt% resulted in reduced CO₂ permeability and CO₂/N₂ selectivity. This was attributed to the fact that as the CNC content increases, CNC tends to form a more oriented alignment, resulting in highly packed and complicated hierarchical structures that limit the gas diffusion and, thus, the transport through the CNC/Pebax membranes [74].

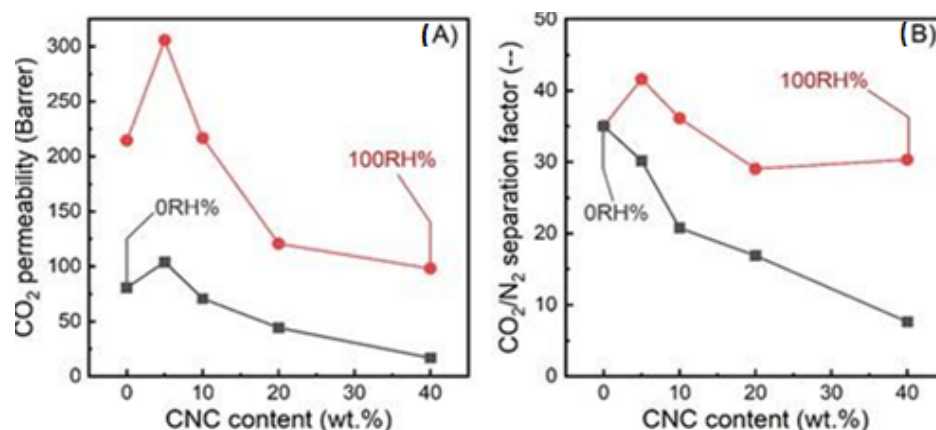


Figure 5. (A) CO₂ permeability and (B) CO₂/N₂ selectivity of CNC/Pebax membranes under dry (0 RH%) and fully humid (100 RH%) conditions, respectively (Adapted from Dai et al. [74]).

Table 3 summarizes the findings of the research works studied in this section.

Table 3. Summary of the performance of nanocellulose-based materials tested for water treatment applications.

| Nanocellulose-Based Membrane Material | Results | Ref. |
|--|---|------|
| Modified spherical cellulose nanofibril (CNF) hydrogel | CO ₂ adsorption capacity of 1.28–1.78 mmol/g at high temperature | [70] |
| N-(2-aminoethyl)-3 aminopropylmethyldimethoxysilane modified spherical cellulose nanocrystal (CNC) aerogel | CO ₂ adsorption capacity of 1.68 mmol/g | [71] |
| Silicalite-1 zeolite modified hybrid CNF-gelatin foam | Adsorption up to 1.2 mmol CO ₂ /g | [72] |
| Hybrid CNF-gelatin foam with a zeolitic imidazolate metal-organic framework (ZIF) | Greater CO ₂ adsorption and selectivity over nitrogen | [73] |
| Pebax/CNC hybrid membranes | Under dry conditions, 5 wt% CNC loading resulted in: <ul style="list-style-type: none"> • Enhancement of CO₂ permeability by 29% (104.0 Barrer) • No improvement in CO₂/N₂ selectivity compared to membranes with 0 wt% CNC loading. | [74] |
| Pebax/CNC hybrid membranes | Under humid conditions, 5 wt% CNC loading led to improvement of: <ul style="list-style-type: none"> • CO₂ permeability by 42% (305.7 Barrer) • CO₂/N₂ selectivity by 18% (41.6 separation factor) compared to membranes with 0 wt% CNC loading. Increasing humidity resulted in higher CO ₂ permeability and CO ₂ /N ₂ selectivity. | [74] |
| 30% nano-fibrilated cellulose (NFC) into a polyvinylamine membrane | CO ₂ permeability of 187 Barrer, CO ₂ /N ₂ selectivity of 100%, and CO ₂ /CH ₄ selectivity of 22% at 80% relative humidity | [75] |
| Hybrid nanocellulose (80%)/polyvinyl alcohol (PVA) membranes | 80 wt% CNC/PVA membranes exhibited a 65% increase in CO ₂ permeance compared to the pure PVA membrane. (80 wt% CNF/PVA membrane had a 15% increase in CO ₂ permeance with respect to the pure PVA membrane.) | [76] |

3.3. Biomedical Applications

Another area of interest for nanocellulose is biomedicine. Many unique properties of nanocellulose, including crystallinity, high specific surface area, good rheological properties, ease of alignment, barrier properties, surface chemical reactivity, biocompatibility, and most notably, a lack of toxicity, render this material ideal for use in various biomedical applications, such as immobilization of enzymes, prevention of microbial growth, drug delivery, and virus removal [77–83]. More specifically, nanocellulose hydrogels produced from bacterial or plant cellulose nanofibrils were found to promote cell regeneration and can be applied to tissue engineering scaffolds [84]. Tissue scaffolds assist with wound dressing and cartilage repair that require low cytotoxicity and biocompatibility with the extracellular matrix, which is what nanocellulose hydrogels can provide [85,86]. In addition to its low toxicity, nanocellulose is favored for biosensors due to its biodegradable nature [67]. Biosensors are devices that measure and monitor diagnostic, environmental, safety, and security parameters [33,87].

Another biomedical application of nanocellulose membranes is in Surgicel[®], which is a bio-absorbable hemostatic material employed in the prevention of surgery-derived adhesions [88]. Bacterial nanocellulose membranes were produced through electrochemical oxidation with the Tetramethylpiperidine-1-oxyl (TEMPO) radical to be applied to Surgicel[®] for further improving its hemostatic performance [89]. This improvement was attributed to the enhanced oxidation degree, which increased from 4% to up to 15%. The *in vivo* biodegradability and biocompatibility of the resulting oxidized nanocellulose-based membranes were assessed through subcutaneous implantation of the membranes in rats and showed a highly biocompatible behavior, triggering only a mild inflammation process [89].

Figure 6 summarizes important biomedical applications of BNC, including biosensors and tissue engineering [33]. The realization of these applications depends on the production feasibility of BNC and nanocellulose in general. Therefore, Sharma et al. [33] address the BNC synthesis strategies in their study, suggesting the utilization of cost-effective substrates that may overcome the barriers associated with BNC production at large scale. These substrates can include agricultural wastes or wastewater rich in sugars from industrial effluents [33]. The challenges for the successful implementation of the nanocellulose biomedical applications are also made important in this study, including the tailoring of the cost of the substrates and the necessary legislation for product approval.

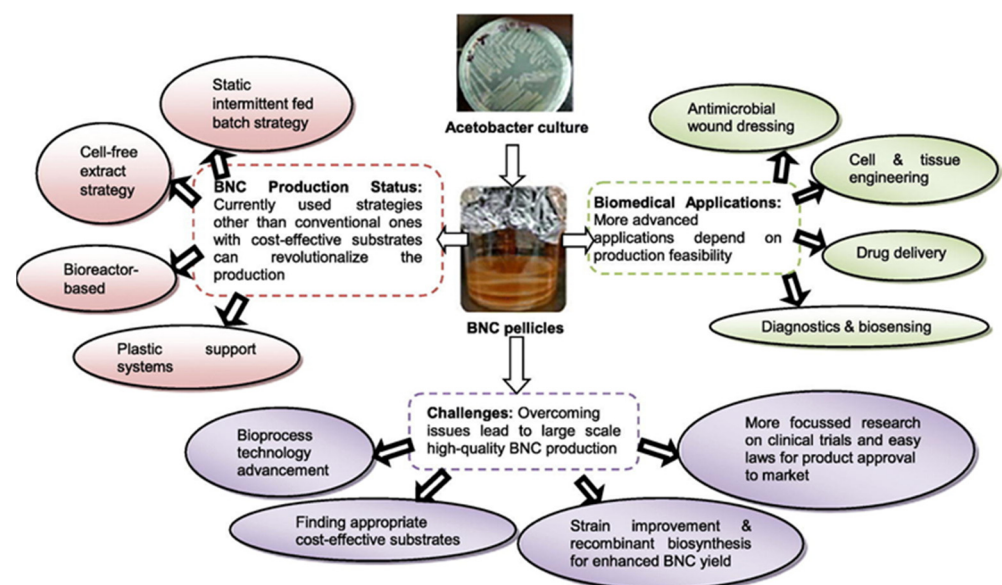


Figure 6. BNC production strategies, biomedical applications, and trends for overcoming challenges (Adapted from Sharma et al. [33]).

Nanocellulose hydrogels have also been employed as a medium for a gel-based blood type test [80]. Curvello et al. showed that traditional gel-based blood typing tests rely on microbeads, which do not always provide well-defined results [90]. This study found that gel columns containing at least 0.3 wt% 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO)-oxidized CNFs were able to identify agglutinated and individual red blood cells (RBC) for forward blood typing [80]. TEMPO was chosen because TEMPO-oxidized cellulose can form nanofibers that have hydrophilic carboxylate groups [91]. For reverse blood typing, CNF can be crosslinked with hexamethylenediamine (HMDA) to achieve red blood cell agglutination and separation [90]. Compared to traditional materials used for blood typing tests, nanocellulose is inexpensive and sustainable. In addition to blood typing, nanocellulose hydrogels have shown promise as 3D cell cultures because they are able to accurately portray the extracellular matrix [92].

4. Future of Nanocellulose

Nanocellulose holds unique potential for a variety of applications that have already been addressed in this paper, including desalination, gas separation, automotive applications, and packaging. As such, new research should attempt to find novel uses for nanocellulose beyond pre-established applications. In addition to being investigated as a potential solution to water scarcity and global warming, this green nanomaterial may also pose a solution to the energy crisis; it can constitute an alternative option to fossil fuels by being employed as a sustainable and environmentally friendly material for renewable electronics [93]. Yang et al. posited that nanocellulose membranes can be used for osmotic energy harvesting [94]. Traditionally, osmotic energy harvesting technology has suffered from the required nanofluidic materials being too expensive to justify their practical application. However, Yang et al. found that inexpensive, yet effective membranes can be prepared by cross-linking CNF with 1,2,3,4-butanetetracarboxylic acid (BTCA) [94]. More research should be conducted to fully evaluate nanocellulose's potential in renewable energy harvesting.

Numerous research studies related to food packaging have also been carried out. With plastics being the prominent material in modern packaging, an abundance of plastic pollution along coastlines has resulted from their use. Recently, nanocellulose has emerged as a potential solution for packaging materials. Since nanocellulose is harvested from plant fibers, it can naturally decompose over time, eliminating the issue of waste generation. Representative research works conducted in this area include the isolation of high crystalline nanocellulose from *Mimosa pudica* plant fibers for packaging applications [1], as well as applying nanocellulose as a starch-based packaging material for food [13]. While at its current stage of development, nanocellulose may not replace plastics entirely as the primary packaging option due to the profit-prioritizing business model of industries; however, it does help promote improvements to address modern issues in our society, such as improved gas barrier properties of the packaging materials. The potential of nanocellulose is seemingly endless. From acoustic materials [95] and cosmetics [96] to complex battery matrices [97] and optical materials [98], a wide range of applications can be implemented with this unique material.

5. Conclusions

Recent studies have shown that nanocellulose has a promising future as a nanomaterial in applications in numerous industrial fields. Advantageous properties, including biodegradability, non-toxicity, low density, thermal stability, long-lasting reinforcing capabilities, and high mechanical strength, have garnered interest in areas such as desalination wastewater treatment, gas separation, and biotechnologies. However, despite all the aforementioned qualities of nanocellulose, further studies are required in order to optimize its production [99] and valorization [100]. Specifically, the high cost, long processing time, and low yield of standard production processes prove to be challenges for this nanomaterial. The elevated cost is mainly attributed to the high energy consumption of the process.

However, recent research has shown that these areas of concern can be addressed through the latest technologies. For instance, a drawback of nanocellulose has been its low thermal stability, but in a recent study by Chen et al., a highly thermally stable nanocellulose-based flexible material was developed that can be utilized in electronics [101].

In conclusion, nanocellulose holds limitless potential in both conventional and unconventional applications. Generally, researchers have focused on nanocellulose's uses in the preparation of chemicals or their handling, such as in separations, desalination, and packaging. But nanocellulose may also have uses in the natural and life sciences, including dermal care applications. Chantereau et al. have shown that bacterial nanocellulose membranes loaded with vitamin-based ionic liquids are ideal candidates for skin care applications due to their high thermal stability and increased solubility [102]. With further advancements, it may even have recreational uses, such as a material for musical instruments [95]. The future of nanocellulose should not be limited to one area of study but instead expanded to reach as many as possible.

Author Contributions: S.N.: Conceptualization, visualization, draft preparation, methodology, writing, review and editing, supervision, project administration, and formal analysis. M.S.: Draft preparation, investigation, writing, and resources. H.L.: Draft preparation, investigation, writing, and resources. R.S.: Draft preparation, visualization, resources, formal analysis, writing, review and editing, and supervision. All authors have read and agreed to the published version of the manuscript.

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Article

Etching and Doping of Pores in Polyethylene Terephthalate Analyzed by Ion Transmission Spectroscopy and Nuclear Depth Profiling

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Abstract: This work is devoted to the study of controlled preparation and filling of pores in polyethylene terephthalate (PET) membranes. A standard wet chemical etching with different protocols (isothermal and isochronous etching for different times and temperatures and etching from one or both sides of the films) was used to prepare the micrometric pores. The pores were filled with either a LiCl solution or boron deposited by magnetron sputtering. Subsequent control of the pore shape and dopant filling was performed using the nuclear methods of ion transmission spectroscopy (ITS) and neutron depth profiling (NDP). It turned out that wet chemical etching, monitored and quantified by ITS, was shown to enable the preparation of the desired simple pore geometry. Furthermore, the effect of dopant filling on the pore shape could be well observed and analyzed by ITS and, for relevant light elements, by NDP, which can determine their depth (and spatial) distribution. In addition, both non-destructive methods were proven to be suitable and effective tools for studying the preparation and filling of pores in thin films. Thus, they can be considered promising for research into nanostructure technologies of thin porous membranes.

Keywords: membrane; ion-track etching; nuclear methodologies; pores



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1. Introduction

Polymeric membranes with etched ion tracks are known as polymer films with straight pore channels and have been investigated for decades and are still being studied today for their wide range of applications in applied and basic sciences [1–5]. A unique property of ion track membranes is that the pore density and pore diameter can be varied independently, which makes this polymeric membrane particularly interesting. An important aspect that must be thoroughly studied for this membrane is the full control of the membrane porous structure (i.e., the shape of the pores). Additional important properties that characterize the membrane are the transport properties and functionalization of pores by appropriate modification [6–9]. Different etching procedures allow for obtaining different pores geometries (cylinders, cones, double cones, cigar-like, and so on); it has been shown that changes in the properties of membranes (e.g., diffusion and transport mechanisms, mechanical parameters, electric conductivity) can be achieved by variation of pores' geometry, whereby during fabrication of the pores (using an adequate etching protocol) or by post-etching processing (e.g., thermal annealing), or also by inserting (and grafting) of specific materials into the pores [10–12]. Specifically, this last aspect is important for modern

applications, such as fabrication of efficient catalysts or separators in Li-ion batteries [13,14]. For this reason, it is particularly important to have a full control over the pore shape during the preparation, and this can be achieved with adequate methodologies for the study of such shapes during different steps of the etching protocol. The importance of pore diameter is crucial for some properties of the membranes. The wettability [5], ability of liquid to maintain the balance between the intermolecular interactions of adhesive and cohesive types on the solid, the diffusion and separations of fluids [15], such as gas or liquid, the ion transportation phenomena [16], or the sensing ability [4] of functionalized membranes are characteristics strictly related to the pore shape and diameter.

Various sophisticated methods are used for the determination of structural parameters of nuclear pores. They are either non-destructive (e.g., X-ray and neutron diffraction) or invasive, damaging locally or in large areas the investigated polymers (e.g., SEM cross-section imaging, FIB-based techniques) [17,18]. It is well known that SEM studies provide highly accurate measurements of pore geometry and surface morphology; unfortunately, the method is limited in surface area and highly costly due to the equipment needed. Another method to determine the pore diameters is conductometry [19], which allows for determining the effective pore diameter by analyzing the voltage characteristic both after and during the etching process, but the disadvantage lies in the time-consuming process and sophisticated calculations that are model dependent. Recently suggested was the investigation of ion track membranes by non-contact ultrasonic spectroscopy [20], which takes advantage of the two propagation paths through membranes prepared with the ion track technique for the measurements of pore diameter and density. However, the procedure is time consuming and dependent on the mathematical model. For the purpose of this study, it was necessary to frequently analyze geometrical parameters of the pores during the etching process (at selected etching time) and monitor the influence of dopants, and the degree of their filling, on the shape of the pores. Therefore, it was necessary to use appropriate methods that would not damage the samples during repeated measurements, i.e., that would keep the geometry of the pores unchanged and allow several measurements with direct results. For this reason, ion transmission spectroscopy (with a low ion fluence) was chosen, which was able to analyze the 3D geometry of the pores, degree of filling with selected dopants, and also their effect on the pore shape. The depth (spatial) distribution of the defined material inserted into the pores (light elements—lithium and boron) was determined by neutron depth profiling. Both nuclear analytical methods are available in the equipment portfolio of the CANAM infrastructure at NPI Řež. In particular, the ITS method results in low cost with immediate interpretation of the filling degree of the membranes, based only on stopping power of the ions in the polymer. The aim of this study was to find out whether it is possible to follow the formation of the pores (and their shape) at different etching steps using a wet chemical etching method, and whether it is possible to fill the pores (and to what extent) with a material suitable for specific purposes, such as Li-ion batteries or targets for proton–boron fusion. For this project, it was important to provide suitable diagnostic methods that would allow for obtaining relevant information about the shape of the pores and their filling with selected material at various stages of etching.

2. Materials and Methods

2.1. Membrane Preparation

Polymer membranes were prepared by wet chemical etching of ion tracks [21,22] in 19 μm thick polyethylene terephthalate (PET) films (provenance: Hostaphan[®] Mitsubishi Polyester Films, Wiesbaden, Germany) irradiated by Xe^{+26} ions with an energy of 1.2 MeV/u (the ion irradiation of PET films was carried out at JINR Dubna by Dr. P.Y. Apel). Several different etching protocols were applied to prepare membranes with different geometries of pores:

- (i) Asymmetric etching (i.e., one-side etching protocol) of the irradiated foils, performed consecutively, was applied to study the gradual development of pores under both isochronal and isothermal conditions. Different pore shapes were obtained for different

etching temperatures and exposure times. For the etching procedure, a 9M NaOH solution was used in a temperature range of 55–75 °C and etching times 0–60 min. For subsequent doping, 5M LiCl solution was selected as a dopant, and doping was carried out for 24 h at RT only from the side of etching at different stages of the pore development. After removing the sample from the dopant vessel, the sample surface was gently dried and cleaned from the excess dopant solution by wiping a smooth cloth over the sample surface.

- (ii) In addition to one-side etching (performed in NPI Řež), a double-side etching protocol was also applied (JINR Dubna). Symmetric etching procedures made it possible to create membranes with cylindrical pores of several different diameters of 7 μm , 2.4 μm , and 0.53 μm (see Figure 1). Doping with boron was performed on only one side of the membrane (in addition to the PET films, a Si wafer was also used for the comparative analysis). Boron (99.9%, Kurt J. Lesker) was sputtered in Ar under a pressure of 5 Pa using a 3-inch planar magnetron powered by a radio frequency (13.56 MHz) power source. The power gradually increased (from 20 to 80 W) to avoid a thermal shock and cracking of the target. The total time of the deposition was 30 min, with a set thickness of 40 nm. Before deposition, the surface of the B target was pre-sputtered (cleaned) for 20 min.

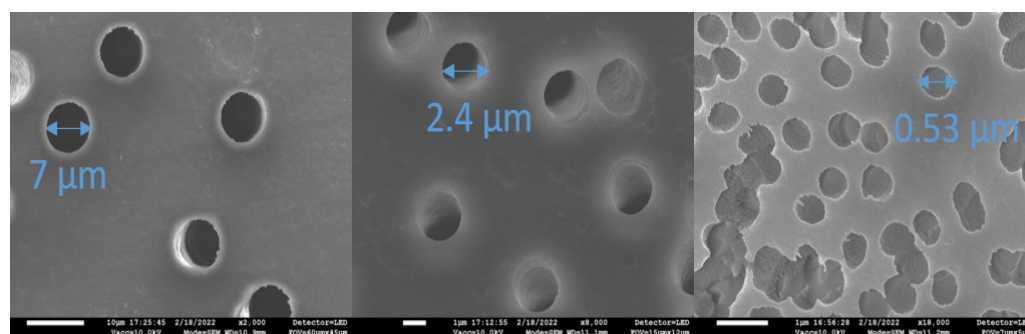


Figure 1. SEM micrographs of etched pores with diameters of 7.0 μm , 2.4 μm , and 0.53 μm .

2.2. Analytical Method

Specific nuclear analytical methods ITS and NDP, available in the NPI CANAM infrastructure [23], were applied to determine pore geometry and dopant incorporation in the PET membranes. Ion transmission spectroscopy (ITS) is a non-destructive nuclear technique based on the measurement of residual energies of MeV ions transmitted through thin films. It makes possible, by procession of the measured tomographic data, to determine the shape (3D geometry) of the micro-objects (e.g., etched pores or inclusions) in thin foils [24,25]. If ions pass through a porous membrane, they lose energy due to interactions with the polymeric material, and they are strongly affected by inhomogeneities (such as pores or dopants) along their path. Obviously, the transmission energy spectrum carries information about the average shape of the pores (and their areal density as well). If measured by a microbeam with a spatial resolution smaller than the pore size, the spectrum provides information on the shape of a single pore [26]. ITS sensitively reflects changes in the shape of pores, their areal density, and also their filling with other material. A thin, point-like ^{241}Am α -source with the main energy line of 5.486 MeV (82.7%) was used in this ITS study. It enabled the rapid and facile measurements of transmission spectra and evaluation of the instantaneous shape of the etched pores (Figure 2). The samples were measured in a small vacuum chamber, where the energy spectra were registered by a PIPS surface barrier detector, then stored in a TRUMP MCA and analyzed off-line using a Monte Carlo tomographic code (TOM), developed earlier by the author's team [27].

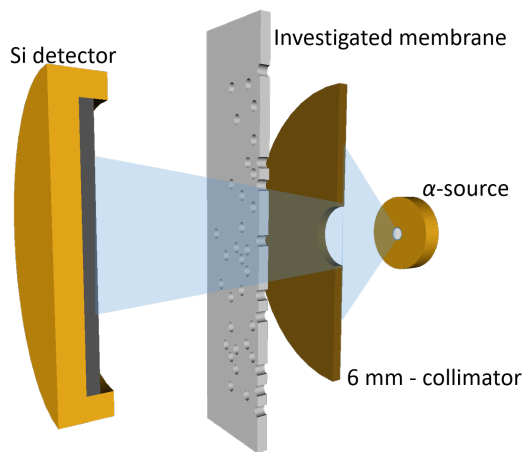


Figure 2. Ion transmission spectroscopy (ITS) setup assembled for the analysis of the PET membranes.

The filling of the pores with boron was investigated by neutron depth profiling (NDP) [28,29]. It is a non-destructive technique utilizing high intense reactions with emission of charged particles to determine depth (spatial) distribution of the NDP-relevant elements. The method is based on the simulation of residual energies of charged reaction products registered by the NDP spectrometer and evaluated off-line by the MC code (LiBor), also developed by the author’s team [30]. In the case of boron, a nuclear reaction of $^{10}\text{B}(n_{\text{th}}, \alpha)^7\text{Li}$ was utilized with a high cross section of 3837 barns and relatively high reaction energy $Q = 2.792$ MeV. The NDP spectrometer is installed on the horizontal channel of the LWR15 research reactor (operated by the Research Centre Řež [31]); the NDP principle of operation is showed in Figure 3a. The samples were irradiated with thermal neutrons with a flux of $7 \times 10^7 n_{\text{th}}/\text{cm}^2$ provided by a supermirror neutron guide at a reactor power of 10 MW. The measurement was carried out in a large vacuum chamber with a background pressure of approx. 10^{-1} mbar. The samples were irradiated with a neutron beam at an angle of 15° ; the solid angle (between the detector and the sample) was 0.382×10^{-2} . Figure 3b shows a SEM cross-section image of the boron film with a thickness of ~ 30 nm deposited on the Si wafer (JSM-7200F, Jeol Ltd., Tokyo, Japan).

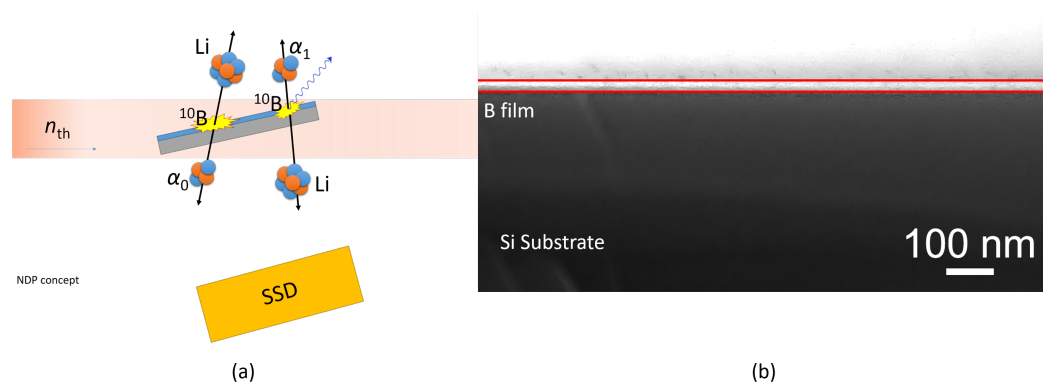


Figure 3. (a) Neutron depth profiling (NDP) setup, and (b) cross-section SEM of deposited B on the Si substrate.

3. Results

Figure 4 shows a series of ITS spectra recorded on the PET membranes etched isochronously in 9M NaOH, i.e., for 15 min at several selected etching temperatures (55°C , 65°C , and 75°C).

As can be seen, the full energy peak (FEP, with a nominal alpha energy of 5.486 MeV) increases rapidly at higher etching temperatures, obviously because the etch rate of the latent tracks grows with the increasing temperature of the etchant and so does the size of the pores. In addition, the reduced energy peak (REP) shifts significantly to the higher energies (the reduced energy peak represents alpha particles passing through the unirradiated area of the membrane,

i.e., with maximum energy loss). The shift is due to the reduction of the thickness of the membranes as a result of the etching of the non-irradiated area in PET. The ITS analysis of the transmission spectra in Figure 4 shows a sharp growth in the pore volume network (a spatial part of the membranes occupied by empty pores), which increased sharply (almost 25×) when the etching temperature changed from 55 °C to 75 °C. Pore volume growth is shown to be approximately linear in a given 15 min’s isochronous etching (except for slightly slower growth at a lower temperature of 55 °C) with a steep gradient (slope) of ~ 2.8 ($^{\circ}\text{C}^{-1}$). Such a rapid change in the membranes’ porosity is to be expected; the etching conditions are harsh, and so the latent tracks can be quickly dissolved and removed. However, it is important that the etched pore walls are fairly smooth without some rough morphology. A finer etching was also carried out (under milder conditions with a lower etchant molarity and a lower etching temperature), which however meant a longer etching time but better control of the pore shape as needed. Figure 5 shows ITS spectra for the isothermal pore etching. The PET film was exposed to 9M NaOH at a fixed etching temperature of 50 °C for different times of 15, 30, 45, and 60 min. In this part of the experiment, a lower etching temperature was chosen to allow better sensitivity in controlling the evolution of the pore shape.

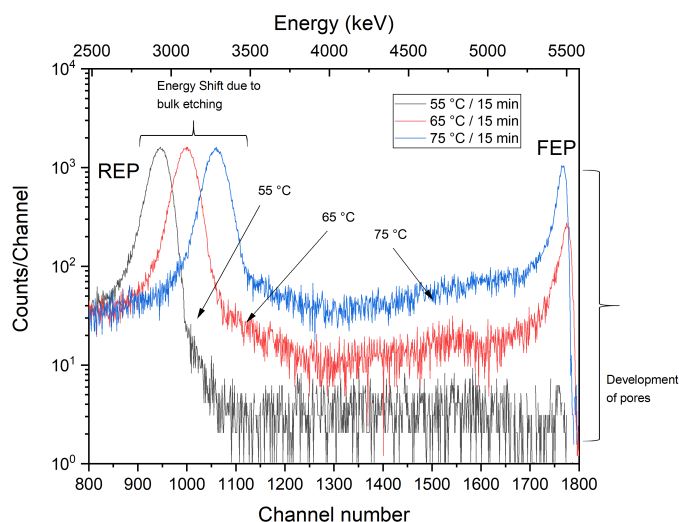


Figure 4. ITS results for porous membranes etched isochronously on one side of the PET foils for 15 min with different etching temperatures of 55 °C, 65 °C, and 75 °C.

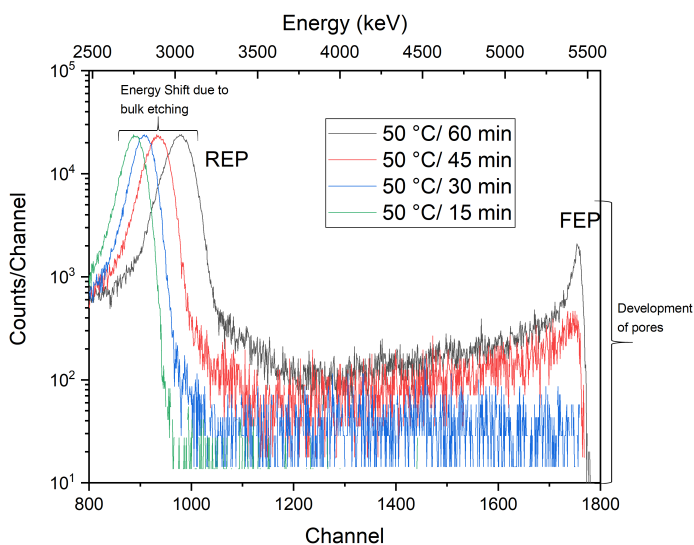


Figure 5. ITS results for porous membranes etched isothermally at 50 °C on one side of the PET foils with different etching times of 15, 30, 45, and 60 min.

As can be seen, the transmission spectra record a similar course as in Figure 5—that is, a sharp increase in the FEP peak, as well as the whole spectral area above REP, which means a dramatic evolution in the pore volume network. The analysis of the transmission spectra showed that, similar to the isochronous etching, the growth of pore volume is more or less linear with a very high slope of $\sim 5.1 \text{ (min}^{-1}\text{)}$ (except for the first 15 min etch). From the reconstruction of the spectra, evaluated by the TOM code, it was found that the breakthrough of the foil occurred just before 45 min of etching; the pores first acquired a conical shape, which gradually developed into a cylinder form after 60 min of etching. The two obtained profiles, calculated from the TOM code, are shown in Figure 6 (to be noted—the ITS spectra represent the averaged values of ion transmission data, so the TOM simulation gives an averaged form of individual pore shapes, where small irregularities are smoothed out). The obtained pore diameters are also in good agreement with literature value obtained with similar etching conditions and obtained with SEM microscopy [32,33]. These two pore shapes were then selected for the dopant incorporation and encapsulation tests.

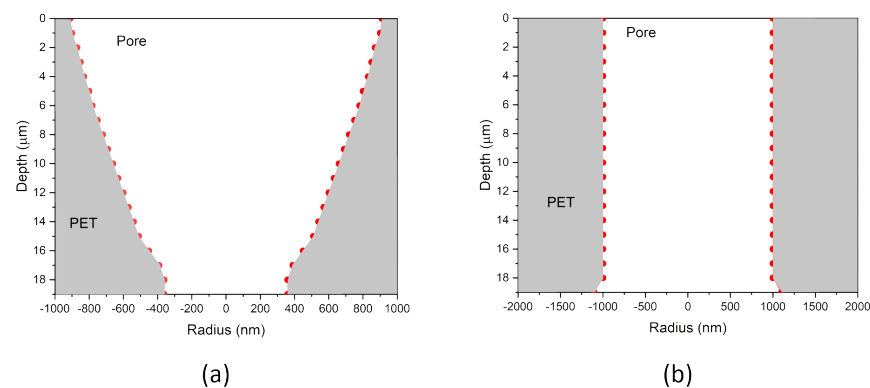


Figure 6. Pore shapes obtained by the TOM code simulation of the ITS spectra measured for the PET membranes etched isothermally at 50 °C for 45 min (a) and 60 min (b).

Figure 7 shows the effect of incorporating 5M LiCl dopant into both pore types performed under the same conditions (doping only from the etched-side of the membrane for 24 h at RT). As can be seen, LiCl was effectively encapsulated in both types of pores (either due to ^7Li capture by the electronegative ester groups $-\text{COOR}$ exposed by etching on the pore walls, or simply in the form of loosely packed LiCl salt crystals trapped in the confined pore space), which completely closed their free passage of the probing alpha particles (the FEP area disappeared). On the other hand, a part of the spectra between REP and FEP increased, differently for both types of pores, which implies that the LiCl dopant is distributed in both pores in somewhat different ways.

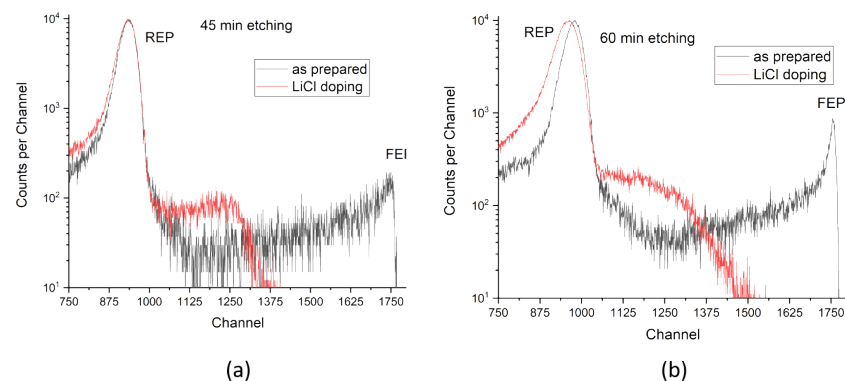


Figure 7. Comparison between the ITS spectra before LiCl doping (black line) and after LiCl doping (red line) for the PET membrane etched for 45 min (a) and 60 min (b).

From the ITS spectra, it can be concluded that the encapsulated LiCl substance does not form a compact structure (otherwise the spectrum above the REP would fully disappear), but acquires a somewhat dispersed form with a reduced average volume density, as compared with the textbook value of compact LiCl (2.07 g/cm^3). This reduction amounts to approximately 24% for the narrower pores etched for 45 min, passing from a calculated free volume of $59.66 \times 10^9 \text{ nm}^3$ to a free volume of $53.09 \times 10^9 \text{ nm}^3$, and to approximately 11% for the larger pores etched for 60 min, with a reduction of free volume from $25.45 \times 10^9 \text{ nm}^3$ to $19.342 \times 10^9 \text{ nm}^3$, possibly pointing at a reduced accessibility for dopants in narrower pores. With an increasing etched pore size, the maximum energy of the transmitted alpha particles increased, indicating that the surface cleaning procedure may have removed some of the initially present near-surface LiCl precipitates in the pores. The slight broadening of the REP peak of the doped sample in Figure 7b suggests that some traces of the dopant solution still survived the surface cleaning in this case, eventually due to stronger surface adhesion, by profiting from an enhanced surface roughness of this longer-etched sample.

For a more accurate quantification of the concentration and spatial distribution of the dopants (made up of some light elements), the method of neutron depth profiling can be used. In the final part of the study, the membranes (with pores of different diameters) were doped with boron sputtered on only one side of the double-etched membranes. The aim was to determine the efficiency of doping of pores with a different size. Three membranes with pore diameters of $7.0 \mu\text{m}$, $2.4 \mu\text{m}$, and $0.53 \mu\text{m}$ were prepared. The NDP analysis was carried out from the opposite side of the membranes to which boron was deposited (as shown in Figure 3a) to avoid measuring of boron from the membrane surface. Therefore, only boron from pores could be analyzed. Boron ($^{10}\text{B} \sim 19.8\%$, $^{11}\text{B} \sim 80.2\%$) was chosen as a marker because of the high effective cross section (3837 b) of the reaction $^{10}\text{B}(n_{\text{th}}, \alpha)^7\text{Li}$ with thermal neutrons. Figure 8 shows the NDP spectra obtained for all three boron-doped membranes with different pore sizes.

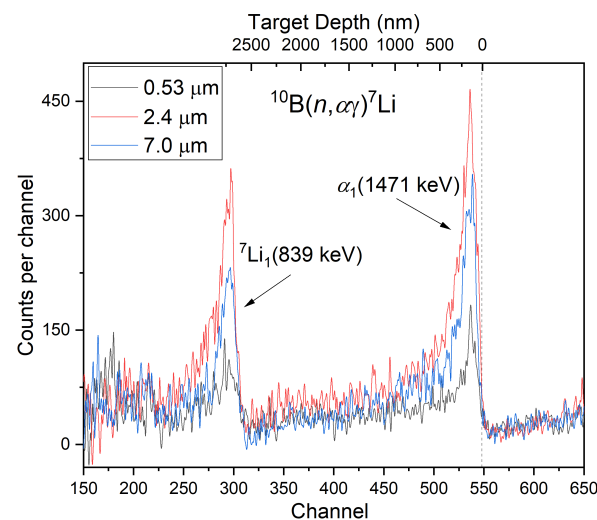


Figure 8. NDP spectra with boron sputtered on one side of a PET membrane with pores diameters of $7 \mu\text{m}$, $2.4 \mu\text{m}$, and $0.53 \mu\text{m}$.

The spectra were normalized to the fluence of the neutron monitor (because of some variability of the LWR15 reactor power, it is needed to relate all NDP measurements to the fluence of the neutron flux, measured by a special spectrometer line). Monitoring the neutron fluence of the NDP measurements made possible an accurate analysis (concentration and depth distribution) of the boron dopant trapped in the pores. The peaks at channel 550 and 300 represent alpha and lithium particles, with full energy of 1.471 MeV and 839 keV, respectively, from the reactions generated in the pores. The spectra between the channels 550 and 300, utilizing only alpha particles, corresponds to an accessible depth of about $2.4 \mu\text{m}$ for the NDP analysis. The following concentration of boron (^{10}B) in pores with different diameters was determined based on the comparison with the NIST standard:

$4.39 \times 10^{14} \text{ cm}^{-2}$, $5.96 \times 10^{14} \text{ cm}^{-2}$, and $2.20 \times 10^{14} \text{ cm}^{-2}$ for pores with a diameter of 7 μm , 2.4 μm , and 0.53 μm , respectively. Measured values correspond to films of B attached to the pore walls (close to their openings) with an average thickness of several tens of nm: ca 5.1 nm for pores 2.4 μm , ca 3.8 nm for pores 7 μm , and 1.9 nm for pores 0.53 μm . Interestingly, boron is most easily trapped in pores (near the opening of the con-side of the membrane) with a 2.4 μm diameter, which points—as expected—at higher adhesion (and reduced surface mobility) of adsorbents to surfaces with larger curvature.

4. Conclusions

The ion transmission technique ITS with 5.486 MeV alpha particles was used to investigate the etching process in PET films irradiated with 1.2 MeV/u Xe^{+26} ions. Pore shape development, their filling with LiCl and B dopants, as well as the etching rate of the non-irradiated areas were studied for both isochronous and isothermal etching conditions. The ITS study showed that even in a simple arrangement with an α -source, it was possible to monitor and quantify the evolution of the etched micron-size pores: their shape transferred from latent tracks to a conical form (for one-side etching), and through a symmetrical (double-side) etching process to a well-developed cylindrical geometry; the pore volume network increased approximately linearly with both etching temperature (with a slope $\sim 2.8 \text{ } (^{\circ}\text{C}^{-1})$) for etching time 15 min in a temperature range 55–75 $^{\circ}\text{C}$) and etching time (with a slope $\sim 5.1 \text{ } (^{\circ}\text{min}^{-1})$) for etching temperature 50 $^{\circ}\text{C}$ and exposure time range 15–60 min); bulk etched rate for isothermal etching (at 50 $^{\circ}\text{C}$) was determined as $\sim 24 \text{ nm/min}$. Doping the etched tracks with 5M LiCl solution resulted in a reduction of the pore volume network by 24% and 11% for etching times of 45 and 60 min, respectively. Together with ITS, a method of neutron depth profiling was also applied (which is very suitable for in-depth profiling of several light elements that cannot be determined easily by other techniques). The NDP method enabled non-destructive analysis (concentration and depth distribution) of boron with which the PET membrane surface and etched circular pores were covered and filled, respectively. It turned out that boron deposited by magnetron sputtering penetrated easily into the pores and diffused to their opposite side, where it attached mostly near their openings. Interestingly, the most boron was found in pores with a diameter of 2.4 μm . This study showed that using traditional wet chemical etching, controlled by the ITS method, it is possible to develop pores with a defined structure and to fill them in a controlled manner with a relevant light material whose spatial distribution can be determined by the NDP method. Thus, both non-destructive nuclear techniques have proven to be suitable analytical tools that can be used for nanostructure technologies based on nuclear tracks in thin polymer membranes.

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Article

Lévy Flights Diffusion with Drift in Heterogeneous Membranes

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Abstract: The modelling of diffusion in membranes is essential to understanding transport processes through membranes, especially when it comes to improving process efficiency. The purpose of this study is to understand the relationship between membrane structures, external forces, and the characteristic features of diffusive transport. We investigate Cauchy flight diffusion with drift in heterogeneous membrane-like structures. The study focuses on numerical simulation of particle movement across different membrane structures with differently spaced obstacles. Four studied structures are similar to real polymeric membranes filled with inorganic powder, while the next three structures are designed to show which distribution of obstacles can cause changes in transport. The movement of particles driven by Cauchy flights is compared to a Gaussian random walk both with and without additional drift action. We show that effective diffusion in membranes with an external drift depends on the type of the internal mechanism that causes the movement of particles as well as on the properties of the environment. In general, when movement steps are provided by the long-tailed Cauchy distribution and the drift is sufficiently strong, superdiffusion is observed. On the other hand, strong drift can effectively stop Gaussian diffusion.

Keywords: diffusion; drift; heterogeneous membrane; structure; simulation; Lévy flights



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1. Introduction

Simulations of diffusion in membranes are fundamental for understanding transport processes through membranes, especially when it comes to improving process performance. The diffusion type is determined by the microscopic dynamics and properties of an environment, such as the polymer structure and related internal changes resulting from the permeation of mixture components [1–4]. Typically, a particle passing through a medium constantly interacts with other particles and other components of the system. These collisions result in irregular observable movements, called Brownian motion. An example of an equation describing the dynamics of a single particle at the microscopic level is the Langevin equation. It is a stochastic differential equation, and its solutions, i.e., the trajectories corresponding to the same initial conditions, differ. Numerous collisions of the studied particle with other particles and the medium can be approximated by a random force, the properties of which depend on the chosen assumptions [5–7]. In the idealized model of such motion, the random force $\mathbf{R}(t)$ acting on a particle corresponds to a Gaussian process. This means that the collisions are independent and are described by a probability distribution with moments that are well defined [8,9]. This assumption leads to so-called normal diffusion; as a consequence of the assumption of collision independence, it has a Markovian character and linear scaling of the mean square displacement with time:

$$\langle \Delta r^2 \rangle = 2nDt \quad (1)$$

This relation is called the Einstein relation, where D is the diffusion coefficient, n is the spacial dimension ($n = 1, 2, 3$), t is time, and $\langle \Delta r^2 \rangle$ is the mean square displacement (MSD) [10,11].

The above relation can be generalized to other processes where the mean square displacement depends on time, as follows:

$$\langle \Delta r^2 \rangle \sim t^\alpha \tag{2}$$

In general, when $\alpha = 1$ we have normal diffusion (see Equation (1) and Figure 1). When $\alpha < 1$, the particle covers a smaller area than in normal diffusion at the same time. This movement is called subdiffusion. When $\alpha > 1$, the particle covers a larger area; such a movement is called superdiffusion. We call both cases anomalous diffusion [10,12]. Anomalous diffusion behavior (see Equation (2)) is closely related to the breakdown of the central limit theorem, and is caused by either broad distributions or long-range correlations. Alternatively, anomalous diffusion relies on the validity of the Lévy–Gnedenko generalized central limit theorem for situations in which not all moments of the underlying elementary transport events exist. Thus, wide spatial jumps or waiting time distributions lead to non-Gaussian propagators, and possibly non-Markovian time evolution of the system [13,14].

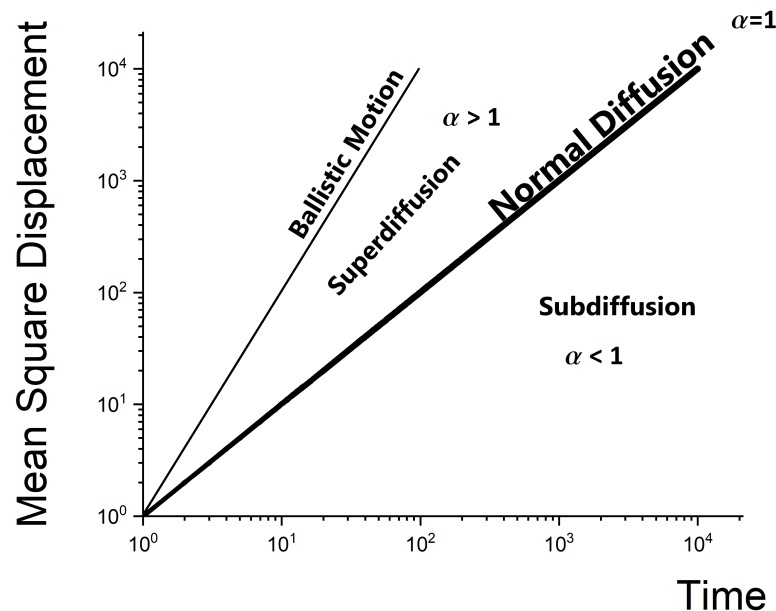


Figure 1. Anomalous diffusion regimes as characterized by Equation (2), i.e., power-law scaling of the mean squared displacement (MSD) with time.

Subdiffusion is usually caused by the trapping of a randomly moving object, while superdiffusion is the consequence of unlimited variance in the distance between successive object positions [9,15]. However, the following point remains worth considering. Using a continuous-time random walk (CTRW) description [16], it can be shown that if the jump length and the times between jumps are distributed via the power-law asymptotics $p(r) \propto |r|^{-1-\mu}$, $p(t) \propto t^{-1-\nu}$ (with $0 < \mu < 2$, $0 < \nu < 1$), and $p(r, t) = t^{-\nu/\mu} p(rt^{-\nu/\mu}, 1)$, then the second moment of $p(r, t)$ and $t^{2\nu/\mu}$ scale similarly. It may happen that $2\nu = \mu$, in which case the ensemble average leads to a linear signature of time-dependent MSD even for jump lengths from heavy-tailed distribution. This is called paradoxical diffusion [15,17,18].

Lévy walks [19,20] and Lévy flights [21] are anomalous diffusive forms of random motion that are widely observed in natural systems, including movements of animals [22]. They are characterized by a grouping of short steps that are occasionally interspersed with longer movements; as such, they represent superdiffusive processes and can travel much further from the starting position than a Brownian walk of the same duration. The main

difference between Lévy walks and Lévy flights is that the former has a finite propagation velocity and continuous trajectory, which makes it inertial and easier to apply to physical systems. From the numerical perspective, in both these types of movements the jump lengths are selected from the same distribution, while for Lévy walk a constant velocity is assumed, which means that the time of a single movement varies. In Lévy flights, the jump is applied instantaneously. It should be noted that Lévy flights can be used as an approximation of Lévy walks [23].

In this study, we focus on one specific type of Lévy flight in which jump lengths are drawn independently from the Cauchy distribution:

$$p_C(x) = \frac{\sigma^2}{\pi(\sigma^2 + x^2)}, \tag{3}$$

where σ denotes the width of the distribution. Because the Cauchy distribution is α -stable, the tracer displacement after t independent jumps is the Cauchy distributed random variable, though now of a width σt [24]. Considering that we are interested in square displacement, let $y(t) = x^2(t)$. Thus, the probability distribution function of $y(t)$ is

$$p[y] = \frac{\sigma t}{\pi\sqrt{y}(\sigma^2 t^2 + y)} \tag{4}$$

However, the mean square displacement for the Cauchy distributed random variable is ill-defined; therefore, the median is used instead. In this case, the median of y is provided by the following equation:

$$\frac{1}{2} = \int_0^{M[y]} p[y'] dy' = \frac{2 \tan^{-1}\left(\frac{\sqrt{M[y]}}{\sigma t}\right)}{\pi}, \tag{5}$$

which leads directly to

$$M[y] = \sigma^2 t^2. \tag{6}$$

Figure 2 shows example trajectories of particle motion in four cases: Gaussian (Brownian) motion with and without drift and Lévy flight with and without drift. A motion analysis of a single particle is a standard tool to probe the local physical properties of complex systems because it delivers the complete, or at least projected, trajectory of an individual particle. In open space, the particle moves around its initial position and slowly away from it, with no preference for the direction of movement (Figure 2a). The addition of drift causes a distinct movement of the particle in the direction of the drift (Figure 2b). In the case of Lévy flights, the particle moves around a certain point while alternating with a ‘long jump’ along a straight line (Figure 2c). Introducing drift into this type of motion results in more jumps having a preferred direction (Figure 2d).

The main purpose of this study is to check how the above general picture of diffusion applies to transport properties in heterogenic membranes. In our previous studies [25,26], we modelled structures of heterogenic membranes which resemble real membrane structures, i.e., sodium alginate membranes filled with iron oxide nanoparticles [26]. Subdiffusive motion is observed in a crowded environment regardless of whether particle movement is induced by a Gaussian or Lévy flight process [24,27]. On the other hand, the existence of an external force that causes constant drift can speed up the transport of Brownian particles through a crowded environment [26,28], while when the amount of the drift is too high the transport is practically stopped. In this study, we want to test the last possible situation, i.e., when the external drift is combined with Lévy flights. Because we compare the obtained results with previous studies on transport in heterogenic membranes, we use numerically modelled structures that resemble them [29].

The rest of this paper proceeds as follows: Materials and Methods, Results, Discussion, and Conclusions. Section 2 (Materials and Methods) discusses the membranes and the

methodology used to study Lévy flight diffusion on these membranes with and without drift. Section 3 (Results and Discussion) provides a detailed description of the study results and graphs, analyzes the results, and provides an interpretation. Finally, the manuscript closes with our Conclusions (Section 4).

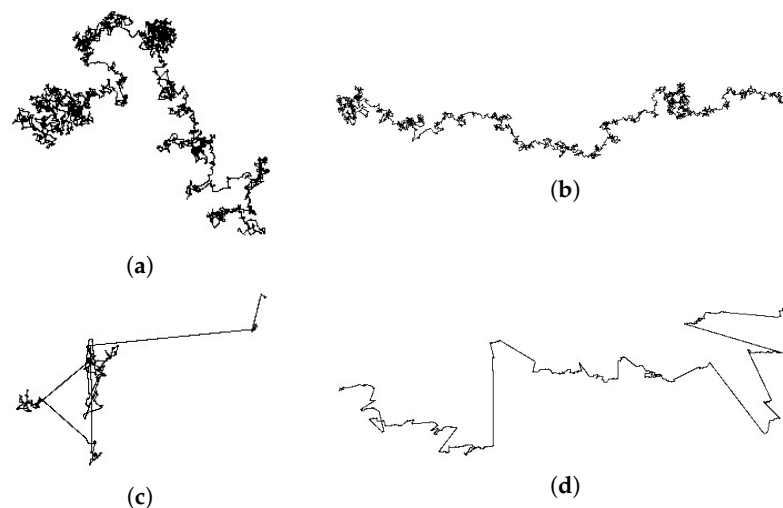


Figure 2. Comparison of Gaussian (Brownian) and Lévy flight in open space. The top panels show diffusion caused by the random Gaussian distribution of steps and the bottom ones show Cauchy distributed steps. In the left panels, there is no external drift, while in the right panels there is a drift along the horizontal axis. (a) The trajectory of Brownian motion without drift, (b) trajectory of Brownian motion with drift, (c) trajectory of Lévy flight without drift, and (d) trajectory of Lévy flight with drift.

2. Materials and Methods

2.1. The Model Membranes

We generated images of the structure of artificial membranes using the method described in [25,29]. Similarly, we generated four structures, named MS1, MS2, MS3, and MS4 (see Figure 3), with the following parameters: MS1 ($\rho = 0.85$, $d_f = 1.9693$, $\Delta D = 0.4259$); MS2 ($\rho = 0.85$, $d_f = 1.9664$, $\Delta D = 1.1809$); MS3 ($\rho = 0.9$, $d_f = 1.9797$, $\Delta D = 0.3667$); and M4 ($\rho = 0.9$, $d_f = 1.9783$, $\Delta D = 1.6377$).

When analyzing Lévy flight diffusion with drift on structures MS1–MS4 where obstacles are randomly distributed, we decided to prepare structures with deterministically distributed obstacles. We prepared three artificial membrane structures with differently positioned obstacles in order to show which distributions of obstacles can cause changes in transport. The first structure has three breaks (structure S1 in Figure 4 (left panel)). The second structure has only one break, at the central point of the membrane (structure S2 in Figure 4 (central panel)). The third structure has obstacles aligned along the main diagonal of the structure (structure S3 in Figure 4 (right panel)). The breaks between the obstacles are equally distributed.

The obstacle positioning we chose allowed us to determine how the placement of obstacles affects the movement of particles and whether effective diffusion in membranes with and without external drift depends on the type of internal mechanism that causes the movement of particles.

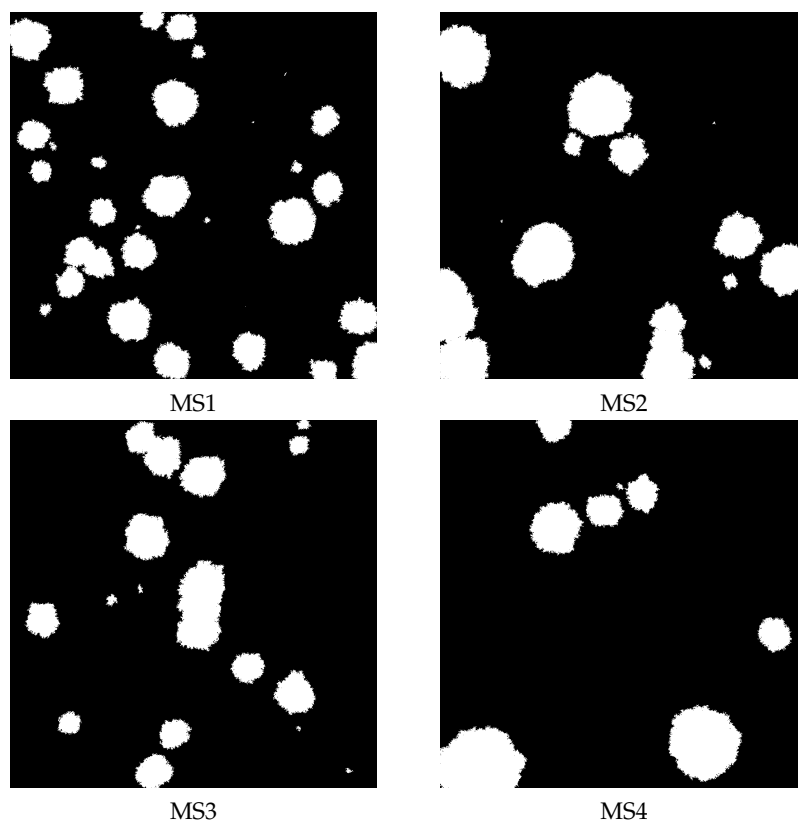


Figure 3. The artificial membrane structures with different structural parameters: MS1 ($\rho = 0.85$, $d_f = 1.9687$, $\Delta D = 0.7102$); MS2 ($\rho = 0.85$, $d_f = 1.9664$, $\Delta D = 1.1809$); MS3 ($\rho = 0.9$, $d_f = 1.9792$, $\Delta D = 0.8084$); and M4 ($\rho = 0.9$, $d_f = 1.9783$, $\Delta D = 1.6377$).



Figure 4. Artificial membrane structures with obstacles in different positions. These three structures are designed to show which distributions of obstacles can cause changes in transport. **Left panel:** The first structure has three breaks (structure S1). **Central panel:** The second structure has only one break, at the central point of the membrane (structure S2). **Right panel:** The third structure has obstacles aligned along the main diagonal of the structure (structure S3). The breaks between the obstacles are equally distributed.

2.2. Simulations of Gauss and Cauchy flights

We used numerical simulations to analyze the diffusion in the membrane systems mentioned above. A set of 100 randomly distributed independent tracers penetrated the available space, i.e., the black regions of the membranes shown in Figures 3 and 4, according to the conditions presented below.

A single movement of a tracer is

$$\vec{x}_i = [x_i \cos \phi, x_i \sin \phi], \tag{7}$$

where $|x_i|$ denotes the length of the step and ϕ is its direction.

The length of the step x_i is randomly selected from Gaussian

$$p_G(x) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left[-\frac{x^2}{2\sigma^2}\right] \tag{8}$$

or Cauchy (3) distributions with parameter $\sigma = 1$. The direction ϕ is selected according to the uniform distribution on the interval $[0, 2\pi)$. To generate normally distributed random variables, we used the Box–Muller transform [30]. The Cauchy distribution can be obtained from the uniform one using $\tan[\pi(u - 1/2)]$, where u is the uniformly distributed random number on the interval $[0, 1)$. Having determined the step \vec{x}_i , we next checked whether or not a line between the present position $\vec{r}(t)$ and the target position $\vec{r}(t) + \vec{x}_{i+1}$ crossed any obstacle or ended on it, and whether the tracer changed its position ($\vec{r}(t + 1) = \vec{r}(t) + \vec{x}_i$). Otherwise, it was assumed that the tracer was reflected and returned to its previous position ($\vec{r}(t + 1) = \vec{r}(t)$). The simulation was stopped after 10^7 such iterations. During the numerical calculations, the set of 100 independent tracer trajectories was recorded. The time was measured using the number of iterations and the distance; if not explicitly stated otherwise, it was measured in pixels. To avoid saturation of square displacement due to the finite size of the membrane, periodic boundary conditions were used. The dependence of the median time for Cauchy flights and the mean value of the square displacement x^2 of the time for Gaussian processes determined the effective diffusion exponent α defined in Equation (2).

Additionally, the diffusion was analyzed in terms of the effective exponent α (see (2)); however, for Cauchy flights we used the median instead of the mean value:

$$M[x^2](t) \sim \langle x^2 \rangle \sim t^\alpha \text{ for large } t. \tag{9}$$

The effective diffusion exponents were estimated by fitting the power law (9) to the MSD data for $t > 10^5$. Thus, the effective diffusion exponent at long time limits was a slope of the line fitted to the data in the double logarithmic plot:

$$\log \langle x^2 \rangle = \alpha \log t. \tag{10}$$

3. Results and Discussion

In the previous section, we have described the basis of Gaussian and Cauchy flight simulations. Simulations of Cauchy flights with and without drift were performed first for the artificial membrane structures MS1, MS2, MS3, and MS4 presented in Figure 3. These artificial structures resemble the real membrane morphology of sodium alginate membranes filled with iron oxide nanoparticles. The distribution of obstacles in the membrane is random. For real membranes, the distribution of powder depends on the method of membrane preparation and the type of powder used. The type of powder affects the random distribution of powder and aggregate formation. The generated structures correspond to real structures; consequently, the distribution of obstacles is random. The dependence of the median of the square displacement x^2 on time driven by Cauchy flights moving in the structures MS1, MS2, MS3, and MS4 is shown in Figure 5.

For comparison, the mean square displacement for Gaussian random walk on the same membranes is shown in Figure 6.

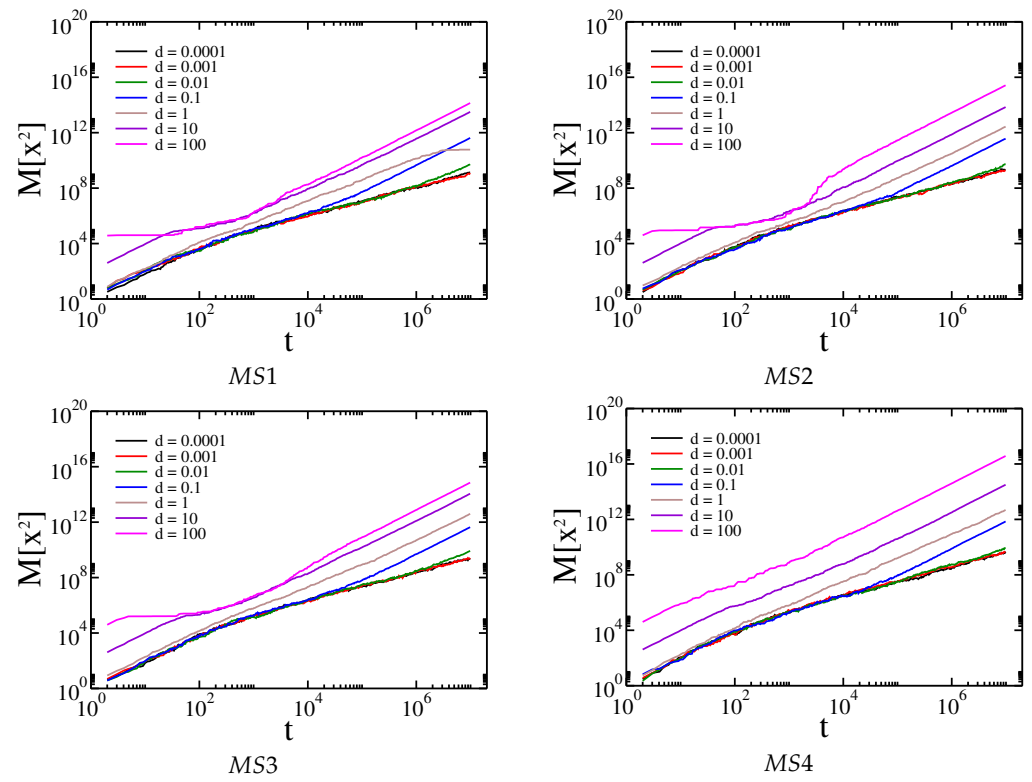


Figure 5. Dependence of the median of the square displacement x^2 on time driven by Cauchy flights moving in the structures MS1, MS2, MS3, and MS4, respectively.

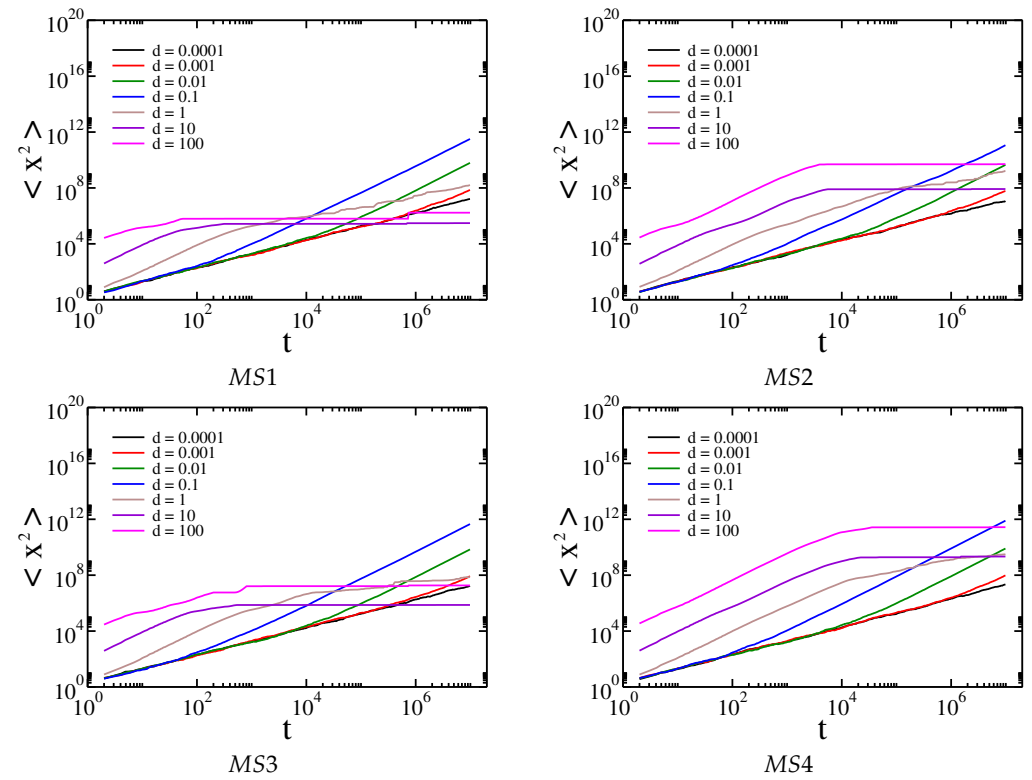


Figure 6. Dependence of the mean square displacement x^2 on time driven by Gaussian random walk in the structures MS1, MS2, MS3, and MS4, respectively.

For each membrane, a similar pattern is observed. In the beginning, the median of square displacement for Cauchy flights grows superlinearly. Then, for relatively small drift, the movement slows down, which confirms the previous results that the effective diffusion between obstacle types is generally determined by the properties of the environment and not by the internal process that causes the movement of the tracers [24–29,31]. For medium and high drifts, the movement is asymptotically superlinear; however, in the case of high drift, we observe a slowing down at medium times. This is because when a tracer hits an obstacle it requires time to avoid it. This time is longer when the drift is higher, because the tracer is pushed harder into the obstacle and the probability of drawing a step large enough to cancel the drift is relatively small. It is worth noting that for large drifts and Gaussian random walks the movement is entirely stopped, as the probability of drawing a large number decreases exponentially with its value. The above observations can be supported by the analysis of the effective diffusion exponent α , which is shown in Figure 7.

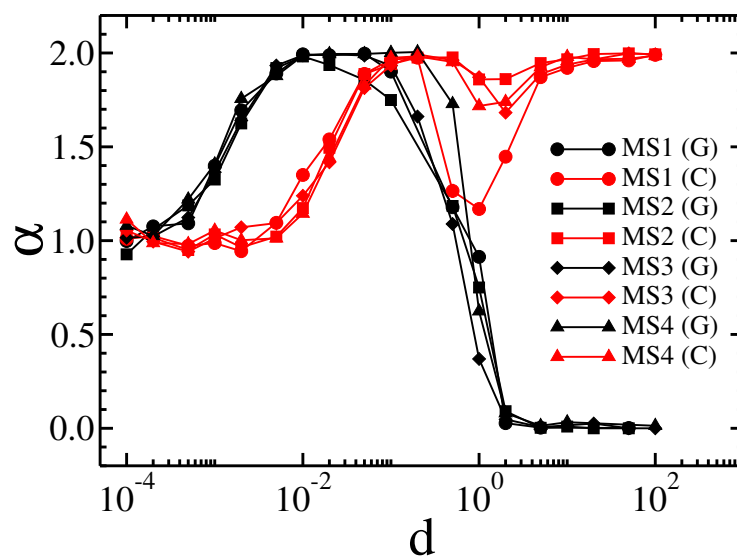


Figure 7. The dependence of the effective diffusion exponent α on the drift d for Gaussian random walks and Lévy flights on membranes MS1, MS2, MS3, and MS4.

Here, we notice that the effective exponent α for Gaussian random walks begins to grow with a smaller amount of drift than is the case for Cauchy flights. Additionally, for a larger drift that stops Gaussian diffusion, the local minimum of α is present for Cauchy flights.

In addition to the qualitatively same results for all membranes studied, there are a number of quantitative differences. For example, for Cauchy flights diffusion slowdown is not observed for the MS4 membrane, whereas it is present for the others. Therefore, we decided to generate specific sample structures of obstacles to determine the key factor in the type of observed results. Artificial membrane structures with differently placed obstacles are presented in Figure 4. The first structure has three breaks (structure S1). The second structure has only one break, at the central point of the membrane (structure S2). The third structure has obstacles aligned along the main diagonal of the structure (structure S3). Gaussian and Cauchy flight simulations with and without drift were performed on all these structures. The dependence of the median square displacement for Cauchy flight is shown in Figure 8, and the mean square displacement for the Brownian motion is shown in Figure 9.

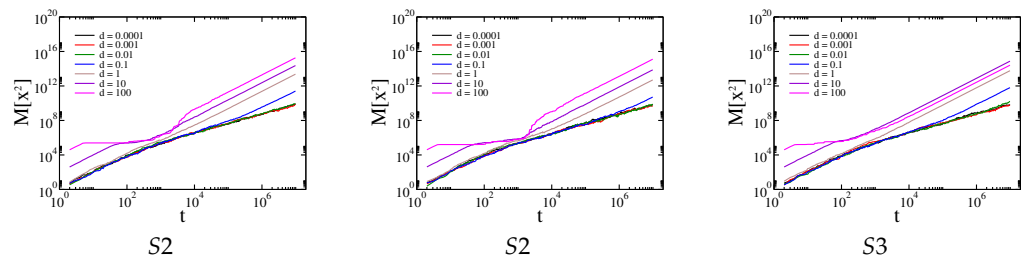


Figure 8. Dependence of the median of the square displacement x^2 on the time driven by Cauchy flights moving in the structures S1, S2, and S3, respectively.

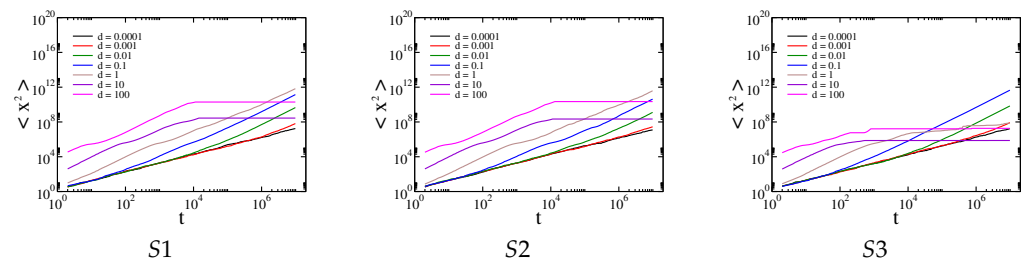


Figure 9. Dependence of the average of the square displacement x^2 on time driven by Gaussian random walk in the structures S1, S2, and S3, respectively.

The effective diffusion exponent for both types of transport is shown in Figure 10.

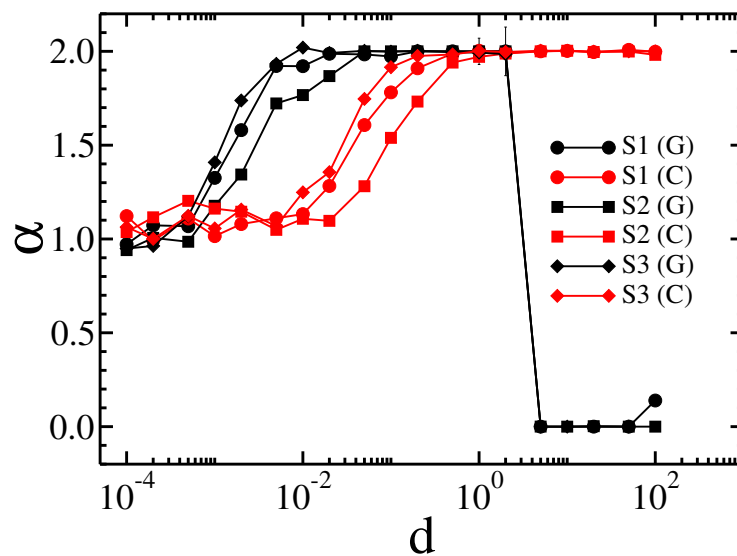


Figure 10. The dependence of the effective diffusion exponent α on the drift d for Gaussian random walks and Lévy flights in the membranes S1, S2, and S3.

In general, all the previously observed effects are present here. However, we can conclude that larger and more solid obstacles cause diffusion slowdown for intermediate times and Cauchy flights (see the results for structures S1 and S2 in comparison with those for S3). Because obstacles block most of the area and there are only small spaces in which to avoid them, the Gaussian random walk is efficiently timed by a sufficiently large drift. On the other hand, a small amount of drift $d \ll \sigma$ mainly speeds up Gaussian diffusion. This is due to the character of the Gaussian and Cauchy distributions. In the first case, most of the steps are comparable to σ . For the Cauchy distribution, there is generally a smaller step size, while from time to time a large leap occurs. However, these leaps are stopped by obstacles, while the small steps are not as efficient as in the case of the Gaussian distribution.

When $d \gg \sigma$ and the tracer hits an obstacle, it requires a relatively large step size to cancel the effect of the drift, which is quite probable for the Cauchy distribution and

almost impossible for the Gaussian distribution. Therefore, a rapid decrease in effective transport is observed for Gaussian diffusion, while the movement induced by Cauchy flight is barely affected.

4. Conclusions

The present study was designed in order to understand the relationship between membrane structures and their diffusive transport characteristics in the presence of external drift. The study focused on numerical simulation of particle movement in different membrane structures with differently spaced obstacles. Four of the studied structures were similar to the structures of sodium alginate membranes filled with iron oxide nanoparticles. The other three structures were designed specially to show the crucial factors that affect most diffusional transport under the influence of drift. We investigated the movement of the particles as driven by Gaussian random walks and Cauchy flights, showing that effective diffusion in membranes with external drift depends on the amount of drift, the type of internal mechanism that causes the movement of the particles, and the distribution of the obstacles. In cases of weak drift, the effective diffusion is fully determined by the environment (i.e., the properties of the membranes), whereas the internal mechanism (i.e., Cauchy flight or Brownian motion) does not matter. For higher drift, superdiffusion is recognized; however, when the drift is too strong, Brownian motion is almost stopped, as the tracers are constantly pushed against the obstacles. Due to the heavy-tailed distribution of Cauchy flights, this pushing can be overcome by random motion; thus, transport can continue with the effective exponent $\alpha \approx 2$. In general, the observed relations do not depend qualitatively on the morphology of the studied structures.

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Abbreviations

The following abbreviations are used in this manuscript:

| | |
|------------|--|
| α | Effective diffusion exponent |
| d | Drift |
| d_f | Fractal dimension of polymer matrix |
| ΔD | Degree of multifractality |
| MSD | Mean square displacement |
| ρ | Amount of polymer matrix |
| σ | Width of the Cauchy distribution/standard deviation of the Gaussian distribution |

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Article

Aliquots of MIL-140 and Graphene in Smart PNIPAM Mixed Hydrogels: A Nanoenvironment for a More Eco-Friendly Treatment of NaCl and Humic Acid Mixtures by Membrane Distillation

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Abstract: The problem of water scarcity is already serious and risks becoming dramatic in terms of human health as well as environmental safety. Recovery of freshwater by means of eco-friendly technologies is an urgent matter. Membrane distillation (MD) is an accredited green operation for water purification, but a viable and sustainable solution to the problem needs to be concerned with every step of the process, including managed amounts of materials, membrane fabrication procedures, and cleaning practices. Once it is established that MD technology is sustainable, a good strategy would also be concerned with the choice of managing low amounts of functional materials for membrane manufacturing. These materials are to be rearranged in interfaces so as to generate nanoenvironments wherein local events, conceived to be crucial for the success and sustainability of the separation, can take place without endangering the ecosystem. In this work, discrete and random supramolecular complexes based on smart poly(N-isopropyl acrylamide) (PNIPAM) mixed hydrogels with aliquots of ZrO(O₂C-C₁₀H₆-CO₂) (MIL-140) and graphene have been produced on a polyvinylidene fluoride (PVDF) sublayer and have been proven to enhance the performance of PVDF membranes for MD operations. Two-dimensional materials have been adhered to the membrane surface through combined wet solvent (WS) and layer-by-layer (LbL) spray deposition without requiring further subnanometer-scale size adjustment. The creation of a dual responsive nanoenvironment has enabled the cooperative events needed for water purification. According to the MD's rules, a permanent hydrophobic state of the hydrogels together with a great ability of 2D materials to assist water vapor diffusion through the membranes has been targeted. The chance to switch the density of charge at the membrane–aqueous solution interface has further allowed for the choice of greener and more efficient self-cleaning procedures with a full recovery of the permeation properties of the engineered membranes. The experimental evidence of this work confirms the suitability of the proposed approach to obtain distinct effects on a future production of reusable water from hypersaline streams under somewhat soft working conditions and in full respect to environmental sustainability.

Keywords: layer-by-layer; thermal and pH responsive membranes; responsive interfaces; water purification; membrane distillation



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1. Introduction

Water pollution, extraction from groundwater basins, climate changes, and geochemical cycles are some of the major causes of risk for human health, biodiversity, and planet survival [1,2]. Water stresses as well as upward domestic, industrial, and livestock water

consumption are seriously compromising the quality and quantity of usable water [3–5]. For such a reason, there is vigilant activity in identifying competitive and eco-sustainable technologies that support sophisticated and sustainable water management practices [6–8]. Membrane distillation (MD) is an example of green-powered technology, which allows freshwater to be recovered from wastewater and seawater through changes in the state of water [9,10]. In the most common thermally driven direct contact (DC) configuration, the MD technology uses hydrophobic porous membranes to separate two aqueous phases, one containing the stream to treat and the other containing pure water [11]. Applying a difference of temperature across the membrane, the water is evaporated from the hot side (feed = aqueous stream), is diffused as a vapor through the pores of the membrane and, is then collected at the cold side after condensation (permeate = pure water). A suitable combination of chemistry and morphology can make the membrane waterproof and permeable to water vapor at the same time [12,13]. In the logic of energy sustainability, MD can be also powered by solar, wind, and wave energy [14–16]. The competitiveness and sustainability of this technology is, however, strongly dependent on the choice of the membrane.

A membrane with hydrophobic properties is strongly desirable. Polymers such as polypropylene (PP), polytetrafluoroethylene (PTFE), and polyvinylidene fluoride (PVDF) are in fact the most used. PTFE is a highly crystalline polymer with excellent thermal stability and chemical resistance. However, the sintering method combined with the melt extrusion method is the unique practical route for the fabrication of hydrophobic membranes. PP is another crystalline polymer used to fabricate porous membranes by stretching and melt extrusion processes. However, PP membranes exhibit higher surface free tension and moderate thermal stability at high temperature. Unlike PTFE and PP, PVDF is marked by better solubility in common organic solvents and is easily adaptable when being moulded in distinctive and modifiable morphologies through the use of different and combined manufacturing techniques, including well-established phase separation. It is also a thermally stable and highly hydrophobic polymer with high resistance to most corrosive chemicals and organic materials. Over the last few years, PTFE, PP, and PVDF polymers and related copolymers have been used to fabricate commercial hydrophobic membranes, which have been initially applied in microfiltration and successively adapted to MD applications. While PTFE and PP membranes are often used in commercial and pilot MD systems, the potential of PVDF membranes in making MD operations much more competitive at scale is still under investigation. However, attempts at solutions in that direction have provided reasonable and encouraging results in the recent past [17]. Despite the fact that MD processes based on PVDF membranes are still a technology validated in a relevant environment, a lot of research has been carried out to explore the potential of this polymer in combination with other hydrophilic, organic, and inorganic materials. This is because improved surface and transport membrane properties are highly desirable for scaled MD processes [17]. For handling, PVDF is indeed one of the most used polymers for the fabrication of high-performing nanocomposite hydrophobic membranes, which are expected to catalyze the passage from traditional physical barriers to interactive chemical interfaces with amplified performance [18–29].

Among the various materials used, 2D materials have been demonstrated to be particularly attractive for improving the outputs of membrane separations [23,26,28]. However, less consideration has been given to managing their quantities with a regard for safety and respect for the environment. A desired target is to use the minimum quantity of nanofiller to enhance water yield. An option could be to confine small quantities of materials to the membrane surface considering that interfacial interactions between the membrane and surrounding environment can decide the final result [23,30–35]. In every membrane process, including MD operations, engineered membrane surfaces are in fact already expected to establish selective interactions with approaching solutions at the early stage [36–49]. Affinity and repulsive forces can be established at the interface so that permeation and/or refoulement can be well addressed.

This study provides experimental evidence about the effects of a dual responsive nanoenvironment, generated throughout the membrane surface, on the sustainability of the overall MD process. Aliquots of nanofiller are adhered to the membrane skin as a practical route for promoting sub-nanometer control over the surface properties so as to obtain (a) enhanced production of freshwater from hypersaline solutions containing NaCl and humic acid, (b) contrasted adhesion of foulants on the membrane surface, and (c) in situ self-cleaning action without the use of additional harsh chemical agents.

Specifically, a layer-by-layer (LbL) spray technique [50–60] is used for adsorbing randomly discrete complexes of a Zr-MOF compound and graphene and hydrogels on the surface of a PVDF membrane. While graphene together with other 2D materials has been successfully tested in MD operations [23,26,35,61–71], there is not yet a solid indication about the potential of metal–organic framework compounds (MOFs) in DCMD applications [72–74].

Large specific surface area, regular porous structure, and good thermal and chemical stability have made MOFs of significant interest for gas separation, catalysis, storage, and drug delivery [75–79], while their effective role in MD has yet to be proven. Cao et al. [67] proposed a study of molecular dynamic simulation through an ultrathin conductive MOF film, revealing the capability of the latter to permeate water to be three to six orders of magnitude higher than traditional membranes and one order of magnitude higher than single-layer nanoporous graphene or molybdenum disulfide (MoS_2). Yang et al. [72] proposed the fabrication via electrospinning of superhydrophobic poly(vinylidene fluoride) with Fe-MOF up to 5 wt.% for equipping DCMD devices. Fluxes up to $2.87 \text{ Lm}^{-2}\text{h}^{-1}$ have been obtained along with NaCl rejection of 99.99%. Cheng et al. [74] operated aluminum fumarate MOF/PVDF hollow fiber membranes in DCMD plants, yielding fluxes of $8.04 \text{ Lm}^{-2}\text{h}^{-1}$ at 50°C and $15.64 \text{ Lm}^{-2}\text{h}^{-1}$ at 60°C . A gain in flux was obtained by MOF-functionalized alumina tubes with values of $16.7 \text{ Lm}^{-2}\text{h}^{-1}$ at 50°C and $32.3 \text{ Lm}^{-2}\text{h}^{-1}$ at 60°C , though working in a more expensive vacuum configuration (VMD) [80]. In all these cases, MOFs have been used as physical spacers inside the polymer matrix to increase the intrinsic porosity of the membrane and open additional free gaps among the polymer chains. In this way, resistance to mass transfer was reduced and water flux was increased.

In the present work, we confine aliquots of $\text{ZrO}(\text{O}_2\text{C-C}_{10}\text{H}_6\text{-CO}_2)$ (MIL-140) and graphene to the surface of a PVDF membrane with the intent of triggering effective chemical interactions without affecting the original morphology and packing of the host polymer matrix. We use complexes of ionic thermo- and pH-responsive poly(N-isopropyl acrylamide) (PNIPAM) mixed hydrogels, i.e., acid- and amine-terminated hydrogels, to provide 2D materials with a chemical environment allowing (a) a random and electrostatically driven deposition of the nanofillers over the membrane skin (active surface), (b) hydrophobic thermal effects that prevent detachment or leaking of the adhered materials in aqueous media, and (c) modulated negative charge density for improved resistance to fouling and the stimulation of self-cleaning actions.

Random and discrete aliquots of MIL-140 and graphene have proven to be effective in enhancing water flux through cooperative interfacial forces established at the membrane–solution interface. The ‘hydrogel–2D materials’ complexes have also been demonstrated to contrast fouling events and remove foulants away from the surface through a simple switch of the ionic charge. Repulsion forces are further addressed at facilitating eco-friendly cleaning procedures, with a full recovery of the initial performance of the membranes bringing charged surfaces. These types of engineered membranes are promising and seem to bridge the distance between more traditional and new attractive families of responsive interfaces, which in the near future could make DCMD a more reliable, efficient, and environmentally friendly operation for the recovery of freshwater.

2. Experimental Section

2.1. Materials

PVDF (Solef[®] 6020, Solvay Solexis: water adsorption <0.040% at 23 °C after 24 h; $\rho_p = 1.78 \text{ kg/m}^3$) was kindly supplied by Solvay Specialty Polymers (Milan, Italy). Graphene (G) flakes were purchased from Sigma Aldrich (carbon > 95 wt.%; oxygen < 2 wt.%, Milan, Italy). MIL-140 [ZrO(O₂C-C₁₀H₆-CO₂)] (M) was synthesized according to the procedure reported in [81]. This choice was due to the fact that Zr-based MOFs are among the most water and solvent stable and mechanically resistant due to the strong Zr-O bonds [68]. N-Methyl-2-pyrrolidinone (NMP, Riedel de Häem: max 0.05% in water, $\rho = 1.03 \text{ kg m}^{-3}$) and 2-propanol (IPA, WWR PROLAB: $\rho = 0.78 \text{ kg m}^{-3}$, Milan, Italy) were used as the respective solvent and nonsolvent for the preparation of microporous PVDF membranes. N-ethyl-o,p-toluenesulphonamide (Sigma Aldrich, water solubility < 0.01 g/100 mL at 18 °C, Milan, Italy) was used as a WS. Carboxylic acid-terminated poly(N-isopropylacrylamide) (A, M_n 5000) and amine-terminated poly(N-isopropylacrylamide) (N, M_n 5000) were purchased from Sigma Aldrich. Fluorinert (FC-40, Novec, Merck, Milan, Italy) was used for gas-liquid displacement measurements for pore size and overall porosity estimation. Ultra-pure water (filtered by a USF ELGA plant) was used to investigate membrane anti-wetting properties. NaCl with a degree of purity of 100% was purchased from VWR Chemicals. Humic acid (HA, Sigma Aldrich, Milan, Italy) was mixed with NaCl for hypersaline solutions. All materials were used as received.

2.2. Membrane Preparation

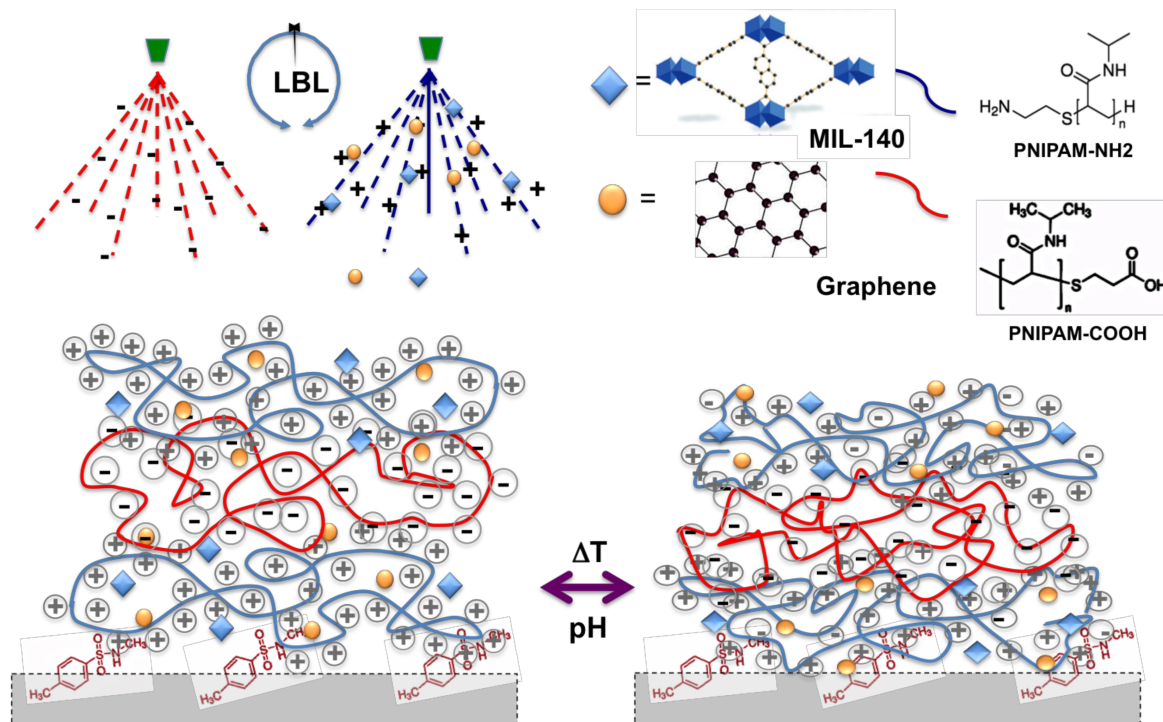
PVDF powder (12 wt.%) was dissolved and stirred for 24 h at 40 °C in NMP. The homogeneous solution was cast on a glass support through the use of a micrometric film applicator (Elcometer) with a gap size of 250 μm . The casting solution was then coagulated in 2-propanol and washed with deionized water. After drying at room temperature, the membrane was further treated at 40 °C for 1 h and then sprayed with a solution of N-ethyl-o/p-toluenesulphonamide dissolved in ethanol at 1.5 w%. PNIPAM-NH₂ (N) and PNIPAM-COOH (A) were dissolved in water (10^{-2} M based on the repeat unit molecular weight) at 16 °C while MIL-140 (M) and graphene (G) were dispersed in PNIPAM-NH₂ under ultrasound treatment for 24 h at a concentration of 1.0 mgmL^{-1} . The pH value of each solution was adjusted to 5.7, which is typical of solutions containing humic acid.

A PVDF membrane was used as the substrate for discrete and random deposition of ionic PNIPAM/nanofillers complexes. The solutions were alternatively sprayed five times starting from the amine-terminated hydrogel (N) using a commercial glass spray bottle held 50 cm from the substrate; a waiting period of 10 min was allowed between each layer to facilitate adsorption. For simplicity, these hybrid complexes were named as LAN in absence of 2D materials, LANG and LANM with graphene and Zr-MOF, respectively, and LANMG with graphene alternated with Zr-MOF (Scheme 1). All samples were dried in the air before testing.

2.3. Methods

Membrane morphology and topography features were examined using Scanning Electronic Microscopy (SEM, Zeiss EVO MA10, Oberkochen, Germany) and Atomic Force Microscopy (AFM, Nanoscope III Digital Instruments, VEECO Metrology Group, Santa Barbara, CA, USA). The latter was operated in tapping mode at a rate of 1 Hz across sample surfaces for 512 points. The pore size of the membranes was measured by gas-liquid displacement using a porosimeter (Capillary Flow Porometer-CFP 1500 AXEL, Porous Materials Inc., Ithaca, NY, USA). Overall porosity was measured by filling the membranes with FC-40. Membrane weight was estimated before and after filling and porosity was expressed in a percentage as the ratio between the volume occupied by the fluorine liquid and the volume of the membrane. The amount of materials deposited was estimated after drying through the use of a balance with five digits. Deposition, stability, and the thermo-responsive behavior of the ionic PNIPAM hydrogels were investigated by

infrared spectroscopy in ATR mode (Spectrum One System, Perkin Elmer, Milan, Italy). MOF adsorption was also inspected by EDX (Zeiss EVO MA10, Oberkochen, Germany). Waterproofness was estimated by measuring the contact angle values throughout the functionalized surfaces (Cam200 KSV instruments, LTD, Helsinki, Finland).



Scheme 1. Representative sequential deposition of ionic PNIPAM hydrogels and Zr-MOF and graphene on a PVDF substrate via an LBL spray technique.

2.4. Membrane Distillation Tests

Thermally driven DCMD experiments were executed using NaCl (35 gL^{-1}) and mixtures of NaCl/HA ($35 \text{ gL}^{-1}/1.0 \text{ mgmL}^{-1}$), flow rates of 6 Lh^{-1} (feed side) and 4.98 Lh^{-1} (permeate side), and temperatures of $T_{\text{feed}} = 45 \text{ }^\circ\text{C}$ and $T_{\text{perm}} = 16 \text{ }^\circ\text{C}$. Retentate and distillate streams were converged in a counter-current way toward the membrane module containing the membrane, where the liquid water was evaporated. On the retentate side, a pump was taking and sending the heated feed to the membrane module. Additionally, on the distillate side, a second pump ensured the counter-current recycling of the cold stream in order to remove the vapor diffusing through the pores of the membrane from the solution. The trans-membrane fluxes were calculated by weighing the variations in the distillate tanks. The experiments were run from 6 to 18 h continuously. The salt conductivity of the feed and permeate streams was measured at the end of every single experiment through the use of a conductive meter (HI 2300 bench meter supplied by Hanna Instruments, Woonsocket, RI, USA).

3. Results and Discussion

3.1. Membrane Fabrication

On the assumption that each membrane is crucial for molecular separation, new concept membranes are here proposed to make water purification more eco-friendly when a DCMD operation is performed. Engineered membrane surfaces have been designed through combined phase inversion, WS, and LbL spray procedures. The intent is to provide a greener route for the fabrication of new functional membranes designed for more sustainable management of water.

Figure 1 displays the surface and a cross section of PVDF membranes before and after surface engineering. It is pertinent to consider the spherulitic-like structure of the PVDF membrane induced by the exchange of solvents. This kind of morphology is due to crystallization events, which take place during phase inversion. Because PVDF is a semi-crystalline polymer, well-sized spherulites interlinked by polymeric filaments are formed during the delayed solid–liquid demixing of the polymer solution, as displayed in the image included in Figure 1a'. Free gaps are generated between the polymeric particles through the overall symmetrically structured film and work as effective pores of the membranes (Table 1). This singular topography is also somewhat attractive for its high resistance to wetting ($\theta = 130 \pm 2^\circ$), which can be regarded as an effect of the high irregularity of the surface ($Rq = 112 \text{ nm}$). Highly interconnected open paths together with high waterproofness make this kind of PVDF membrane a suitable candidate for MD applications.

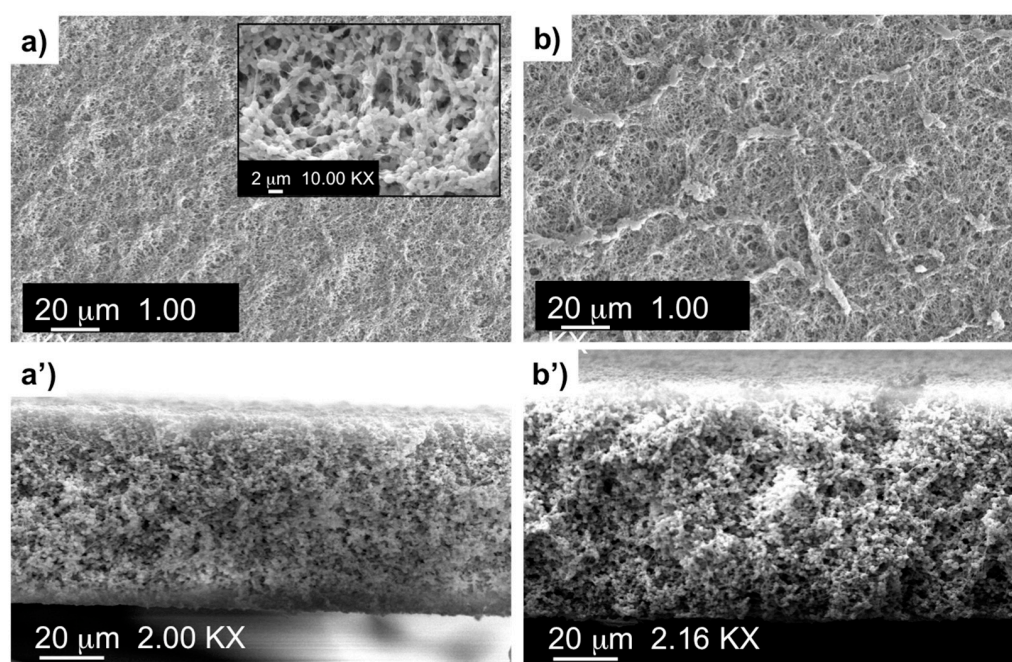


Figure 1. SEM images collected from the surface and along cross sections of pristine PVDF (a,a') and engineered PVDF-LANM (b,b') membranes.

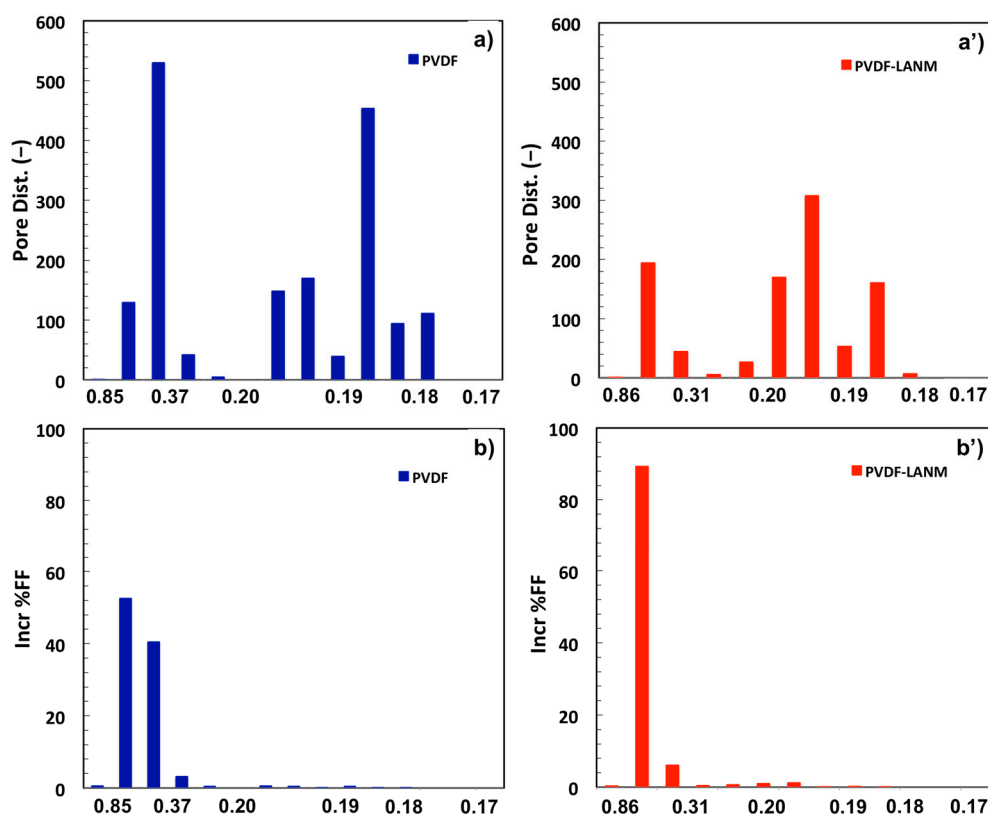
To make this PVDF membrane a well-suited sublayer for PNIPAM hydrogels, the film was subjected to WS treatment through the use of a solution of N-ethyl-o/p-toluenesulphonamide in ethanol. This organic compound has typical amphiphilic properties and very low water solubility, with subsequent good affinity towards materials with different chemistry and resistance to aqueous media. Separately, colloidal dispersions of MIL-140 and graphene were prepared in the hydrogel-NH₂. The pH value of all solutions was adjusted to 5.7 so that the density of charge generated through the hydrogel segment chains allowed ion pairs to be formed by electrostatic attraction. It is relevant to observe how no continuous nano-films, but rather discrete aggregations of the complexes, were randomly deposited through the surfaces without affecting the bulk of the membrane (Figure 1b,b'). SEM images reveal the formation of fibrotic cords without occlusion of surface pores or penetration inside the membrane structure. All porosity, pore size, and pore distribution values calculated for the engineered PVDF membranes almost overlap with those measured for pristine PVDF so as not to offer further resistance to mass transfer (Figure 2a,a'). Indeed, all membranes exhibit two clusters of pores with a mean pore size of around 0.40–0.47 μm and a smallest pore size of around 0.19 μm , while the bubble point is between 0.85 and 0.9 μm (Table 1).

Table 1. Structural parameters estimated for all pristine and engineered membranes.

| Complex | Overall Porosity (%) | Largest Pore Size (μm) | Mean Pore Size (μm) | Smallest Pore Size (μm) |
|------------|----------------------|-------------------------------------|----------------------------------|--------------------------------------|
| PVDF | 68 ± 2 | 0.88 ± 0.02 | 0.47 ± 0.01 | 0.197 ± 0.002 |
| PVDF-LAN | 67 ± 3 | 0.85 ± 0.03 | 0.40 ± 0.02 | 0.193 ± 0.007 |
| PVDF-LANG | 62 ± 1 | 0.85 ± 0.04 | 0.42 ± 0.03 | 0.198 ± 0.003 |
| PVDF-LANM | 62 ± 1 | 0.90 ± 0.03 | 0.40 ± 0.01 | 0.195 ± 0.002 |
| PVDF-LANMG | 68 ± 1 | 0.86 ± 0.04 | 0.42 ± 0.05 | 0.197 ± 0.005 |

However, it is important to observe that the pores around $0.4 \mu\text{m}$ in size provide the major contribution to the flow measured through the membrane. The incremental filter flow (incr. %FF) reaches the maximum value at $0.4 \mu\text{m}$ (Figure 2b,b'), providing an indication of the predominance of this pore cluster.

A morphological comparative analysis between PVDF membranes before and after functionalization (Figure 2 shows a comparison between pristine PVDF and PVDF-LANM membranes) reveals that the intrinsic structural features of the membranes do not undergo substantial changes. All of this is convenient if we consider that high interfacial area, which is crucial to water vapor diffusion during MD operations, is preserved.


Figure 2. Pore size distribution and incremental filter flow (incr. %FF) estimated for pristine PVDF (a,a') and engineered PVDF-LANM (b,b') membranes.

3.2. Characterization of LAN Complexes

The formation, chemical stability, and thermal responsiveness of polycation/polyanion (LAN) complexes were investigated through infrared analysis (Figure 3). Firstly, ATR spectra collected onto neat hydrogels yielded a clear indication of the typical frequencies associated to the amide group, with band I located at 1639 cm^{-1} and band II positioned at 1538 cm^{-1} , while C-N stretching was detected at 1458 cm^{-1} (Figure 2a). For PNIPAM-COOH, an additional very weak absorption associated with carboxylic acid is detected at 1710 cm^{-1} , while a broad absorbance between 3600 and 3200 with a maximum intensity at 3291 cm^{-1} is ascribed to the overlapping of O-H and N-H stretching modes.

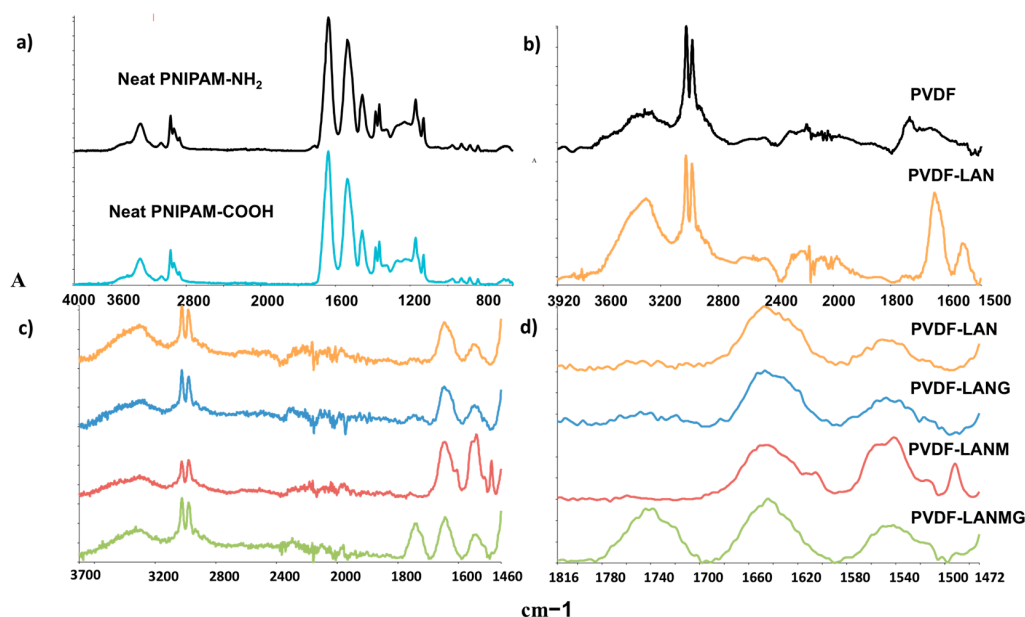


Figure 3. ATR spectra collected on (a) neat PNIPAM hydrogels; (b) PVDF functionalized with LAN; (c) PVDF functionalized with LANG, LAM, and LAMG complexes; (d) enlargement of the carbonyl regions related to LANG, LAM, and LAMG complexes.

Figure 3b shows how changes in the carbonyl amide vibrations— ν_s 1647 cm^{-1} and 1542 cm^{-1} —take place as the LAN complex is deposited onto the PVDF surface. Shifted carbonyl frequencies as well as broad flattening of O-H and N-H vibrations can be detected. The asset of the carbonyl bands is further modified when Zr-MOF is deposited on the surface of PVDF membranes through the use of ionic hydrogels (Figure 3c,d). In this case, an intensification of the carbonyl bands along with the appearance of various shoulders and a new peak around 1492 cm^{-1} can be appreciated. In this region of the spectrum, these overlapped and distinct new vibrations can be ascribed to the asymmetric and symmetric stretching of the carboxylate groups $\nu(\text{COO}^-)$, which are part of the $\text{ZrO}(\text{O}_2\text{C}-\text{C}_{10}\text{H}_6-\text{CO}_2)$ structure. Additionally, the intensity and broadness of the O-H stretching mode appears to be more intense around 3200 cm^{-1} . In the case of graphene, no substantial modifications are appreciated, while the spectrum collected on the membrane surface functionalized with the complex containing alternated aliquots of graphene and Zr-MOF (LANMG) shows three carbonyl stretching patterns, one of which is located at 1738 cm^{-1} (Figure 3c,d). This band is typical of the $\nu(\text{C}=\text{O})$ mode of non-coordinated and free carboxylic acid groups. It should be noted that amine-terminated hydrogel bringing Zr-MOF and graphene was alternated with acid-terminated hydrogel. This obtains amine- and acid-terminated hydrogels with greater ability to neutralize the counter charges during deposition of the practically inert graphene, thus yielding more available COOH moieties of Zr-MOF in the successive step.

EDX confirms the significant presence of Zr in the chemical composition of the MIL-140 particles adhered to the PVDF membrane surface (Figure 4), while SEM and AFM micrographs distinguish the presence of the nanofillers on the membrane surfaces (Figures 4 and 5). In the case of AFM, topographical bright and edged regions are well distinct from the sublayer, also yielding an indication of the irregularity of the surface (Figure 5a). The random deposition of discrete objects produces an increase in the roughness factor, with a subsequent improvement in water repellence (Figure 5b). At equilibrium, membranes functionalized with complexes containing graphene (LANG) show a contact angle value of $136 \pm 4^\circ$ against the $134 \pm 3^\circ$ estimated for membranes containing Zr-MOF alone (LANM). For membranes with mixed Zr-MOF and graphene (LANMG), the contact angle value undergoes a negligible decrease ($133 \pm 3^\circ$) due to the higher availability of free carboxyl groups, while a value of $129 \pm 4^\circ$ was measured on membranes functionalized with ionic hydrogels without 2D materials (LAN) (Table 1). While the liquid water entry

pressure (LEP_w) is less than 1 bar for all membrane samples, the singular topography of these hybrid membranes provides good resistance to liquid spreading due to the fact that the morphological component moves the surface properties towards higher irregularity and a subsequent reduced contact line between liquid and polymer (Figure 5c). In this case, 2D materials amplify the irregularity of the section profile, leading to a good fit between the root mean square deviation (R_q) and contact angle values (Table 2). Generally, increasing the value of surface roughness improved the anti-wetting behavior of engineered surfaces, thus leading to contact angle values of up to $137 \pm 4^\circ$. Regarding this point, a short premise is required. MD requires operating hydrostatic pressure lower than that of pressure-driven processes such as reverse osmosis (RO). It works at pressures near that of atmospheric pressure since small differences in vapor partial pressure are enough to promote mass transfer. Thus, the high degree of waterproofness estimated for all proposed membranes is mostly expected to balance the low values of LEP , thereby resulting in a suitable resistance to liquid intrusion.

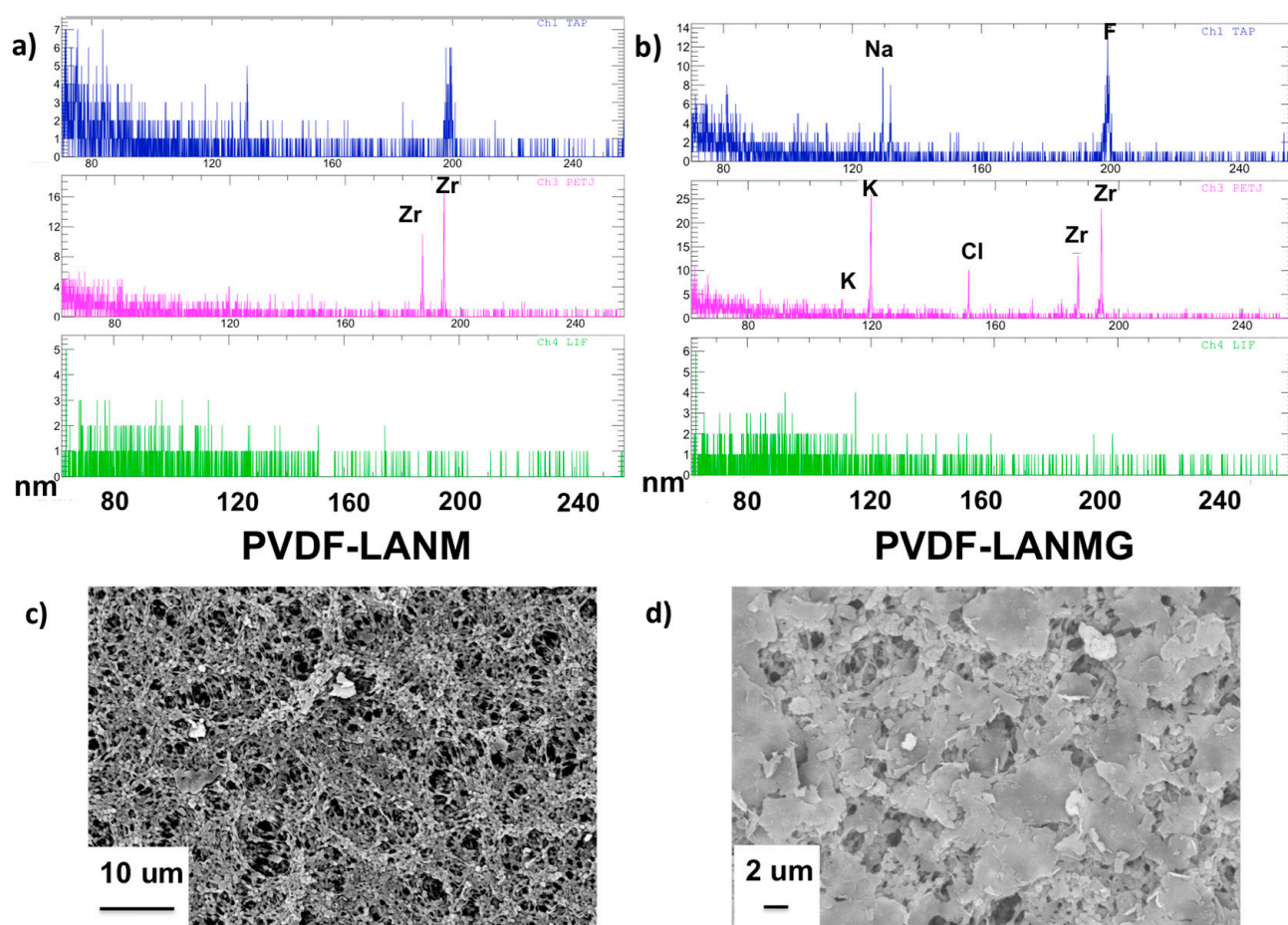


Figure 4. EDX spectra (a,b) and SEM images (c,d) collected on PNIPAM mixed hydrogels containing Zr-MOF (PVDFLANM) and Zr-MOF mixed with graphene (PVDF-LANMG).

Table 2. Contact angle values and surface roughness parameters related to the ion pairs adhered.

| Complex | $CA_{t=0}$ ($^\circ$) | CA_{eq} ($^\circ$) | R_a (nm) | R_q (nm) |
|------------|----------------------------|---------------------------|---------------|---------------|
| PVDF-LAN | 130 ± 4 | 129 ± 4 | 141 ± 66 | 184 ± 83 |
| PVDF-LANMG | 135 ± 3 | 133 ± 3 | 215 ± 72 | 262 ± 64 |
| PVDF-LANM | 136 ± 3 | 134 ± 3 | 223 ± 65 | 280 ± 77 |
| PVDF-LANG | 137 ± 4 | 136 ± 4 | 236 ± 59 | 299 ± 73 |

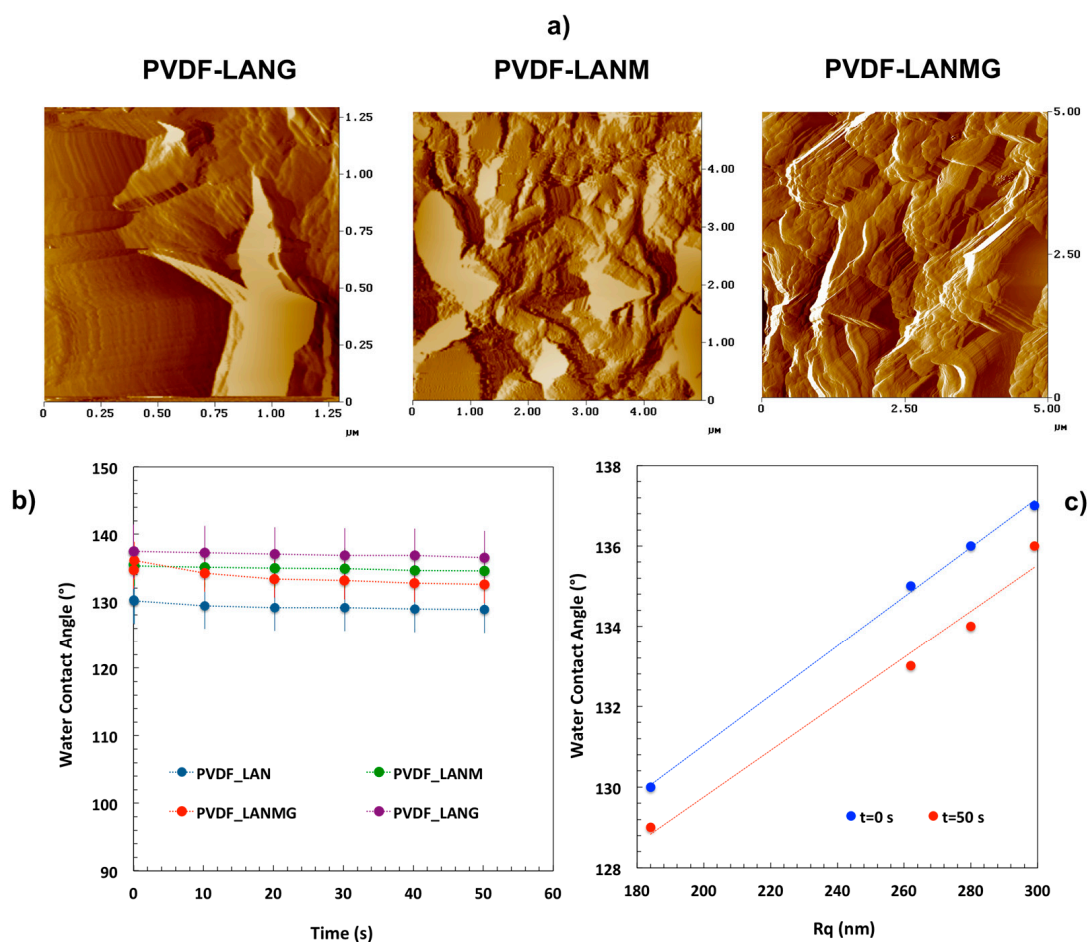


Figure 5. AFM topographies of the engineered membrane surfaces (a), contact angle values with time (b), and the relationships between water contact angles and surface roughness measured through all engineered membranes (c).

To evaluate the stability of the hierarchical materials in aqueous medium, membrane samples were submerged in water and stirred vigorously for 6 h at 40 °C. The films were then dried overnight and inspected again by infrared spectroscopy (Figure 6).

Comparison of the spectra collected from samples before and after treatment does not reveal substantial modifications to the intensity and vibration of the typical carbonyl bands, thereby suggesting the hydrophobic state of materials when operated at temperatures above 40 °C rather than no leakage. It is known that PNIPAM hydrogels exhibit hydrophobic properties in aqueous solution at temperatures higher than the Low Critical Solution Temperature (LCST, around 32–33 °C) [82–84]. Above the LCST, a rigid water-soluble ‘ice-like structure’ is generated from the effect of intermolecular aggregations. Water molecules are released and hydrophobic groups are exposed to each other. In this state, the hydrogel exhibits typical hydrophobic behavior and insolubility in water. It is also known that functional side groups in the polymer, as well as changes in pH, and salts dissolved in water, may affect the LCST in the order of 0.1 to 5 °C [85–87]. As an example, values of 33.1 to 37.7 °C have been detected at pH 5 for some synthesized poly(NIPAM-co-AAD) copolymers and acid-terminated hydrogels. Our experiments give a clear indication about the stability of the hydrogels complexes in aqueous solutions at 40 °C and pH 5.7, denoting affinity towards wet PVDF sublayers rather than water.

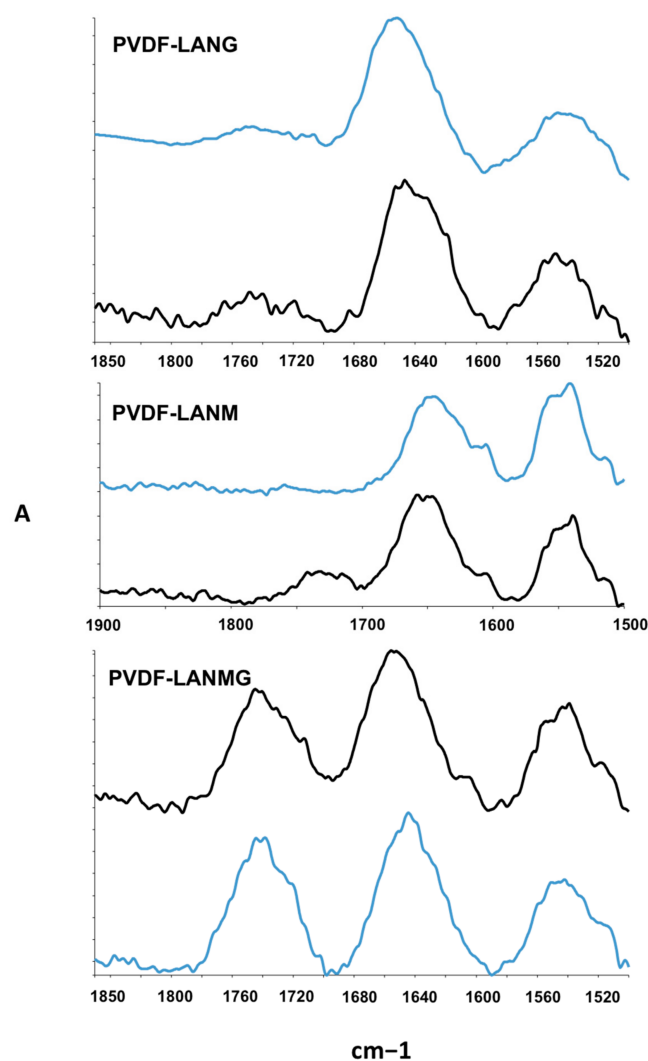


Figure 6. ATR spectra collected on the engineered PVDF membranes before (blue line) and after (black line) treatment at 40 °C under magnetic stirring.

3.3. Membrane Distillation Testing

Based on the previous results, MD experiments were carried out, with the composite–hybrid membranes exposed to saline streams at 45 °C. Under this temperature, the hydrogels were in a hydrophobic state and, hence, insoluble in aqueous media. Further, the pH value of the saline streams was around 5.7 and comparable to that of the LBL deposition. Thus, no changes in charge density are expected and the stability of the supramolecular complexes is preserved during MD. Experiments with a solution of NaCl at a concentration comparable to that of seawater (35 gL^{−1}) were conducted for 18 running hours.

Figure 7a displays the trend of the average flux measured through all membranes with time. As compared to the pristine PVDF, enhanced flux is observed through all functional membranes. A gain up to 17 and 19% is estimated for membranes adhered with LANG and LANM, respectively. The increase is around 10% for membranes functionalized with the LANGM complex. This is not surprising if we consider that MOFs are rich in carbonyl sites wherein water molecules can be temporarily adsorbed and transported through additional ordered nanoporous pathways. Similarly, defective graphene has been envisaged to have a great ability to assist water diffusion [26,35]. As expected, a slight decrease in flux is detected after 18 running hours, even if mass transfer through LANM continues to be the most consistent. All engineered membranes exhibit a selectivity of 99.99% against the 99.95% estimated for the pristine PVDF after the first six hours. At the end of the test,

values higher than 99.9% can be estimated for all graphene-engineered membranes, while pristine PVDF and PVDF-LANM exhibit a rejection value of 99.8% (Figure 7b).

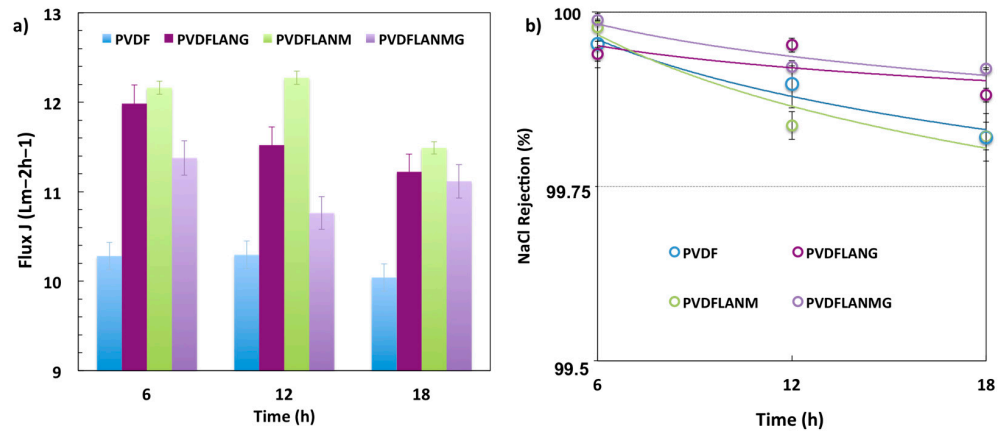


Figure 7. Averaged flux (a) and NaCl rejection (b) measured through pristine and engineered PVDF membranes during 18 continuous running hours with NaCl solution (35 gL^{-1}).

Mixtures such as NaCl/HA ($35 \text{ gL}^{-1}/1 \text{ mgmL}^{-1}$) were also treated with the engineered PVDF membranes (Figure 8a). After the first two hours of operation, fluxes of up to $11.7 \text{ Lm}^{-2}\text{h}^{-1}$ were measured, with an increase of up to 58% with respect to the pristine PVDF. An increase of 30% continued to persist after six hours of operation. Figure 8b shows how the flux ratio is four to five times greater than that of the pristine PVDF during the first hour of the process. Successively, membranes with Zr-MOF show a flux ratio 1.5–1.2 times greater, indicating better performance (Figure 8b). It is relevant to observe that humic acid is strongly negatively charged at a pH greater than 4.7 [87]. Because the MD operation was performed under a pH of 5.7, membranes functionalized with aliquots of LAN and Zr-MOF exhibit a further negative charge due to the deprotonation of $-\text{COOH}$ groups of the acid-terminated hydrogel and Zr-MOF structures. The same negative charge is consequently expected to produce higher repulsive electrostatic forces, thus leading to lower adhesion of the acid to the membrane surface. Initially, for the functional membranes, the removal rate of acid from the surface is higher than that on the neutral pristine membrane. As time progresses, the capability to contrast the acid adhesion on the surface continues to be more evident for membranes with a larger density of carboxylate groups (LANM, LANMG).

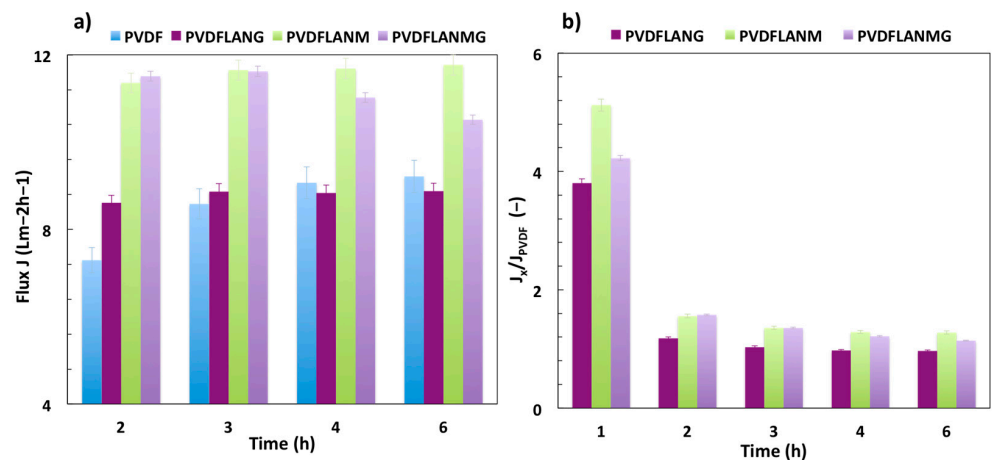


Figure 8. Averaged flux (a) through pristine and engineered membranes coming in contact with mixtures of NaCl/HA ($35 \text{ gL}^{-1}/1 \text{ mgmL}^{-1}$) and incremental ratio (b) with respect to the pristine PVDF.

Electrostatic repulsion has been also demonstrated to be crucial for cleaning. Reversible switching of the ionic charge throughout the surface has been proven to be enough

to remove foulants from the functionalized surface quickly. To preserve the hydrophobic state of the supramolecular complexes, the membranes were washed with water at 46 °C, while the pH value was switched to a pH of 6.5 for 30 min. After a successive washing with pure water for a further 30 min, the pH was adjusted to 5.7 again and washing was continued for a further 30 min. To evaluate the recovery capability of the membranes, MD tests with pure water were further carried out. As displayed in Figure 9a, factors of recovery of 98–99% were obtained with LANM and LANMG, while a percentage of 95% was assessed for LANG against an estimated 92% for pristine PVDF. This means that strongly negatively charged membrane surfaces exhibit a better ability to remove adhered HA as an effect of repulsive forces, whereas the neutral membranes lose this capacity in the absence of additional chemical reagents. This result has to be regarded as another wide-ranging aspect of sustainability for MD processes because no additional harsh chemical agents are required to restore the quality of the engineered membranes after cleaning. Additionally, the stability and integrity of the supramolecular structures was assessed after cleaning and water permeation. Figure 9b shows no changes in intensity and carbonyl frequencies, with a more pronounced contribution of the band associated with protonated carboxylic groups observed instead due to the slight effect of the pH value. In this way, the stability and chemical steadiness of the complexes deposited on PVDF surfaces are fully satisfactory.

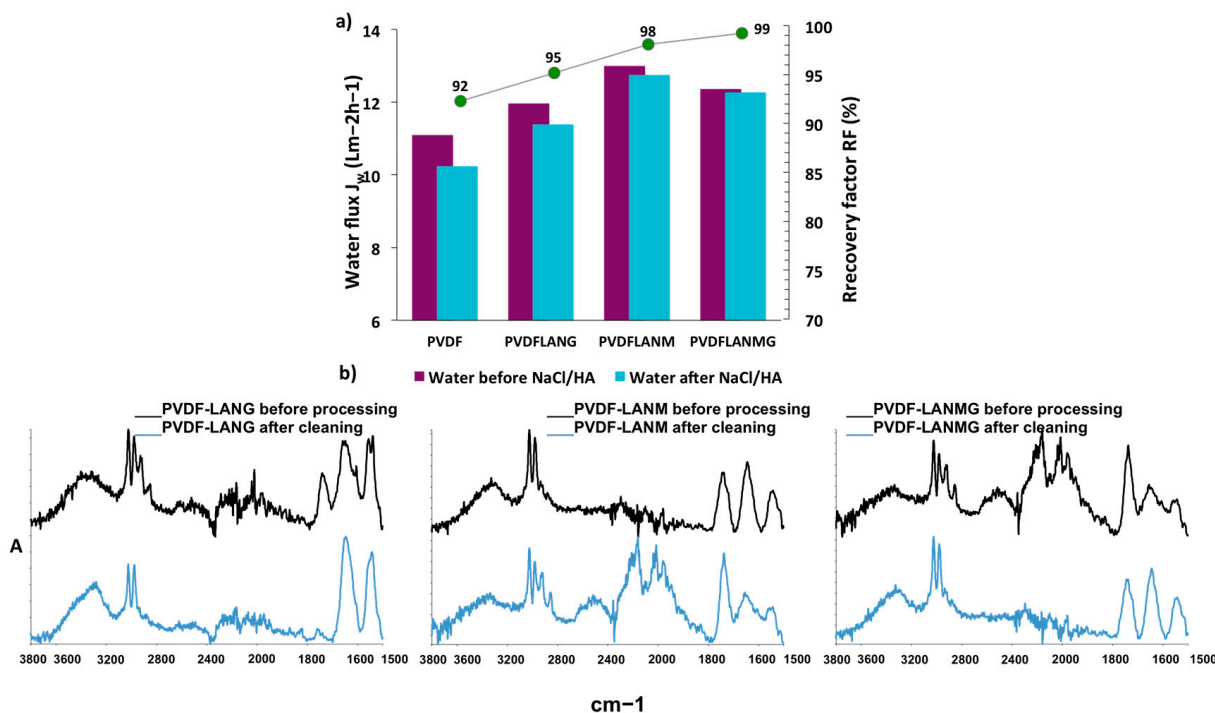


Figure 9. Water flux and recovery factor (a) estimated for all pristine and functional membranes before and after purification processes; ATR spectra (b) collected onto membranes before processing (blue line) and after the processing and cleaning procedure (black line).

In summary, we demonstrated how an ionic hydrogel-based nanoenvironment (0.5 mg/cm²), where aliquots of 2D materials are confined, yields proficient cooperative mechanisms at the membrane surface–solution interface. Interfacial forces control water diffusion through the membranes, as comparative analyses confirm (Table 3). A practice currently in wide use is the filling of electrospun PVDF membranes with MOFs at concentrations of 0.1 to 5% in order to increase permeability under hard fluid dynamics conditions [72–74,88–91]. Herein, aliquots of hybrid responsive MOF supramolecular structures are demonstrated to already produce positive effects on flux under much softer operating conditions. Concerning graphene, many studies have also focused on the incorporation of few-layer, nanoplatelet, nanosheet, and quantum dot graphene in

membranes [26,28,61,92,93]; however, only a few attempts have been made to adhere this material on the membrane surface for DCMD applications [35,92]. Herein, we demonstrate how the deposition of random and discrete aliquots of graphene on PVDF membrane surfaces is already beneficial to consistent productivity under mild conditions without the necessity of forming extensive coatings through more expensive procedures.

Table 3. Comparative data estimated for membranes functionalized with MOFs and graphene and operated in DCMD mode under different working conditions.

| Membrane | Nanofiller | Amount | ΔT | Feed/Permeate Flow Rate | Prist./Func. Membr. Flux | Reference |
|---------------------------------|--|------------------|------------|-------------------------|-------------------------------------|--------------|
| | | [%]/Mode | [°C] | [mLmin ⁻¹] | [Lm ⁻² h ⁻¹] | |
| PVDF nanofibrous membranes | Iron 1,3,5-benzenetricarboxylate MOF | 5 (bulk) | 32 | 1500/1500 | 2.1/3.3 | [72] |
| Triple-layer PVDF/PAN/PVDF ENMs | Hydrophobic SiO ₂ /MOF/hydrophilic SiO ₂ | 5/1.5/1 (bulk) | 30 | 1500/1500 | 2.68/4.4 | [73] |
| PVDF hollow fiber membrane | AlFu MOF | 1 (bulk) | 30 | 450/450 | 6.1/8.0 | [74] |
| PVDF-HFP ENMs * | AlFu MOF | 0.1 (bulk) | 40 | 500/500 | 10.6/17.0 | [91] |
| PVDF-f-G | Graphene | CVD (surface) | 50 | 1000/1000 | ~0 **/3 | [92] |
| PVDF/G0.005 | Graphene coating | WF *** (surface) | 24 | 100/80 | 5.4/7.5 | [61] |
| PVDF-LANM | [ZrO(O ₂ C-C ₁₀ H ₆ -CO ₂)] | LBL (surface) | 30 | 100/80 | 10.2/12.3 | In this work |
| PVDF-LANG | Graphene | LBL (surface) | 30 | 100/80 | 10.2/11.5 | In this work |
| PVDF-LANMG | [ZrO(O ₂ C-C ₁₀ H ₆ -CO ₂)] Graphene | LBL (surface) | 30 | 100/80 | 10.2/11.0 | In this work |

* ENMs: electrospun nanofiber membranes; ** PVDF: membrane with a densified skin layer; *** WF: Wet Filtration.

4. Conclusions

An eco-friendly strategy was proposed to realize new functional responsive interfaces that make membrane distillation processes much more sustainable. Zr-MOF and graphene were entangled with double responsive PNIPAM hydrogels, with discrete complexes randomly deposited on a PVDF sublayer via an LBL spray procedure. The stability and reliability of the adhered hybrid-composite structures were tuned, while the thermal and pH responsiveness of the PNIPAM was exploited to provide a hydrophobic state and a suitable repulsive environment during MD operations. The hydrophobicity of the surfaces was preserved when working at temperatures higher than the LCST traditionally observed for PNIPAM, while negative charge distribution through the membrane surface limited fouling due to HA. The hydrogels' pH responsiveness further addressed cleaning procedures in a safe and eco-friendly way, leading to a full recovery (RF = 98–99%) of initial water permeation properties for membranes mainly containing Zr-MOF complexes. Nanoscale confinement of 2D materials was hence demonstrated to be proficient and suitable for controlling interfacial events that result in beneficial changes in the overall productivity and eco-friendliness of MD processes. The effectiveness of membranes was proven through MD testing under somewhat soft conditions. An increase of up to 17–19% was measured through membranes functionalized with aliquots of PNIPAMs/graphene and PNIPAMs/MOFs coming in contact with synthetic seawater (NaCl 35 gL⁻¹). Flux through all engineered membranes was four to five times higher than in pristine PVDF membranes during the first hour of treatment with a mixture of sodium chloride (35 gL⁻¹) and humic acid (1 mgmL⁻¹), while better antifouling action was detected with increased time. This work demonstrates how the functionalization of PVDF membrane surfaces with aliquots of functional materials can be a more sustainable and fruitful route than incorporation of larger amounts of nanofiller into the bulk.

These novel responsive membranes can be regarded as the interplay of cooperative functions, which may well address the rationalization of new properties for functional PVDF membranes. This approach is aimed at paving the way for the realization of new families of active PVDF interfaces for more efficient, reliable, and sustainable recovery of reusable water by DCMD operations.

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Article

Application of Hybrid Electrobaromembrane Process for Selective Recovery of Lithium from Cobalt- and Nickel-Containing Leaching Solutions

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Abstract: New processes for recycling valuable materials from used lithium-ion batteries (LIBs) need to be developed. This is critical to both meeting growing global demand and mitigating the electronic waste crisis. In contrast to the use of reagent-based processes, this work shows the results of testing a hybrid electrobaromembrane (EBM) method for the selective separation of Li⁺ and Co²⁺ ions. Separation is carried out using a track-etched membrane with a pore diameter of 35 nm, which can create conditions for separation if an electric field and an oppositely directed pressure field are applied simultaneously. It is shown that the efficiency of ion separation for a lithium/cobalt pair can be very high due to the possibility of directing the fluxes of separated ions to opposite sides. The flux of lithium through the membrane is about 0.3 mol/(m² × h). The presence of coexisting nickel ions in the feed solution does not affect the flux of lithium. It is shown that the EBM separation conditions can be chosen so that only lithium is extracted from the feed solution, while cobalt and nickel remain in it.

Keywords: lithium extraction; spent lithium-ion battery; ion separation; electrobaromembrane separation; countercurrent electromigration



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1. Introduction

The development of new approaches for the extraction of valuable components from aqueous solutions is an important task. For the extraction of alkali, alkaline-earth and transition metal compounds on an industrial scale, pyrometallurgy, reagent-based methods of hydrometallurgy, sorption, electrochemical reduction, etc., having high efficiency are often used. Multistage ion separation processes are used in industry for the production of lithium, cobalt, nickel, zinc, titanium, etc., with high purity [1–3]. All these processes are well developed when treating natural sources. However, the valuable metals listed above can also be obtained from secondary sources (e-waste, sludge, ash, tailing, etc.) [4–6]. The problem is acute when using, for example, lithium-ion batteries (LIBs). Tests of lithium materials newly obtained from spent LIBs have shown that they are not inferior in performance to materials made from lithium obtained from primary sources (natural brines and minerals) [7–9], but all of them cannot be called environmentally friendly.

The authors of review papers [1–3] note that the extraction of lithium and no-less-valuable cobalt from leachates of spent LIBs has clear advantages over their extraction from natural sources. First, the concentration of lithium and cobalt in leachates is usually quite high. Second, the composition of leachates is more predictable and less varied. Third, leachates do not contain multiply charged ions such as Ca²⁺ and Mg²⁺, which have low value and which make it difficult to extract lithium from natural solutions. In addition, a

significant advantage is the reduction of the environmental burden due to the neutralization of spent LIBs as hazardous waste [10].

However, it is difficult to organize a LIB processing plant. This is mainly due to the need to sort different types of batteries and the complexity of their disassembly, as well as the high reactivity of materials in spent LIBs, which can ignite and explode on contact with air [11]. For these reasons, the reuse of spent LIBs is insignificant. For example, in Australia (world leader in lithium mining) in 2017–2018, only 6% of LIBs that were out of service during this period were recycled [12]. The profit from the recycling of different types of spent LIBs also differs. Bhandari et al. [13] note that the processing of NMC batteries (the cathode is obtained from a mixture of lithium, nickel, manganese and cobalt) is more economically attractive than the recycling of cheaper LFP batteries (lithium iron phosphate cathode). This is due to the ability to extract valuable nickel and cobalt from leachates of NMC batteries in addition to lithium, as in the case of LFP.

In industry, the processing of spent LIBs is carried out using three traditional methods: pyrometallurgy, hydrometallurgy, or a combination of these. Pyrometallurgical processing does not allow the extraction of lithium from spent LIBs; it goes to the slag [10]. The target component is valuable Co and other metals [14]. Lithium can be recovered from spent LIBs using hydrometallurgical processes. According to the latest estimates [13], one ton of spent NMC811-type LIBs can bring a profit from the sale of materials of at least USD 6500 (in 2021). More than 50% of the profit will come from recovered lithium.

Membrane methods for separating the components of spent LIBs are still under development [1]. Selective electrodialysis (SED) is most commonly used to extract Li^+ ions from LIB leachates [15–18]. The SED technology differs from conventional electrodialysis in the use of multiple units of at least one special-grade ion-exchange membrane (cell pair). These membranes are commercially available [1–3]. They pass singly charged ions well and reject multiply charged ones. This makes it possible to efficiently separate Li^+ ions from Co^{2+} , Ni^{2+} and Mn^{2+} [18].

Another attractive membrane technology is the hybrid electrobaromembrane (EBM) method [1]. Unlike electrodialysis, EBM separation uses nonselective porous membranes. Separated ions of the same charge sign move in an electric field through the pores of this membrane to the corresponding electrode, while a commensurate counter convective flow is created in the pores. The selectivity of separation is achieved due to the difference in the mobility of the competing ions [19–22].

In recent studies on EBM devices, impressive results have been achieved in the separation of Li^+/K^+ ions when using feed solutions imitating natural waters [23–25]. It was shown that the ion separation coefficient for the Li^+/K^+ ions can be as high as 59 [23,26] or even 150 [24,25]. For the Li^+/Na^+ pair, the selective permeability coefficient is somewhat lower, reaching 30 [24]. However, the EBM method and porous membranes have not yet been tested in the recovery of lithium from secondary sources represented by leachates or liquors of spent LIBs.

In this regard, the purpose of this study is to expand the scope of the EBM method, as well as to study the possibility of using nanoporous membranes that do not have selectivity for a certain type of ions but ensure their selective separation. The paper presents the results of testing a hybrid EBM method for separating Li^+ and Co^{2+} ions, as well as Li^+ , Co^{2+} and Ni^{2+} ions contained in leachates of spent LIBs. The efficiency of the EBM method is analyzed, and the parameters of ion separation are compared with other membrane methods.

2. Materials and Methods

Two types of feed solutions were used. A mixture of lithium and cobalt sulfates was used to determine the optimal separation parameters, and then nickel sulfate was added to this mixture to test the possibility of separating lithium from a more complex mixture. The main characteristics of the feed solution components that affect the efficiency of EBM separation are presented in Table 1.

Table 1. Some characteristics of ions (at 25 °C) in the feed solution.

| Ion | Symbol | Diffusion Coefficient, 10^{-9} m ² /s [27] | Stokes Radius, Å [28] |
|---------|-------------------------------|---|-----------------------|
| Lithium | Li ⁺ | 1.04 | 2.38 |
| Cobalt | Co ²⁺ | 0.73 | 3.35 |
| Nickel | Ni ²⁺ | 0.66 | 2.92 |
| Sulfate | SO ₄ ²⁻ | 1.06 | 2.30 |

A mixture of 0.05 M Li₂SO₄ and 0.05 M CoSO₄ was used as the first type of feed solution (pH = 4.2–4.4) and a mixture of 0.05 M Li₂SO₄, 0.025 M CoSO₄ and 0.025 M NiSO₄ was used as the second type of feed solution (pH = 5.2). The concentrations of the components were within wide limits, which are typical for solutions of spent LIB leachates.

In this work, a track-etched membrane (designated as TEM #811) was used as a nanoporous membrane. It was produced from a polyethylene terephthalate (PET) film at the Joint Institute for Nuclear Research (Dubna, Russia). The properties of the TEM are described in Table 2.

Table 2. Characteristics of the TEM#811 track-etched membrane.

| Parameter | Value |
|--|--|
| Thickness | 10 µm |
| Density (dry) * | 1.10 ± 0.05 g/cm ³ |
| Pore density ** | 5.0 × 10 ⁹ pores/cm ² |
| Pore diameter | 35 ± 3.0 nm ** |
| | 28 ± 2.0 nm *** |
| Surface porosity | 5.3% ± 1.0% |
| Water uptake | 5% |
| Hydraulic permeability | 0.10 ± 0.02 cm ³ /(cm ² × min × bar) |
| Functional groups | hydroxyl and carboxyl groups [29] |
| Exchange capacity * | 0.064 ± 0.003 mmol/g _{wet} |
| Electrical conductivity (0.1 M NaCl) * | 0.81 mS/cm |
| Integral diffusion permeability coefficient (0.1 M NaCl) * | 2.8 × 10 ⁻⁷ cm ² /s |

* The results are presented in Ref. [30] for sample #811. ** Estimated by scanning electron microscopy (SEM); *** estimated by hydraulic permeability.

On the left- and right-hand sides the TEM is surrounded by auxiliary anion-exchange (AEM) MA-41 heterogeneous membranes (JCC Shchekinoazot, Pervomayskiy, Russia) to form flow chambers. Solutions of the same composition and volume (0.15 L) were pumped through the left-hand (I) and right-hand (II) chambers, separated by a porous membrane, at the same flow rate (5.4 L/h) (Figure 1). A 0.1 M Na₂SO₄ solution was pumped through the electrode chambers (4 L). Separation experiments at given parameters were repeated at least four times. The duration of each experiment was 8 h; this amount of time was needed to measure the fluxes of competing ions at a given electric current and a pressure drop in a steady state of the system. A convective flow directed from chamber II to chamber I was created opposite to the electromigration of the competitive cations. This was achieved by increasing the pressure of the solution in the circuit passing through chamber II using an automatic nitrogen dosing system.

Samples of the solutions from chambers I and II were taken at the beginning and the end of the separation process to determine the concentration of Li⁺-ions using a Dionex ICS-3000 ion-chromatograph with a conductometric detector (Dionex, Sunnyvale, CA, USA). The concentration of cobalt and nickel (if any) was determined using direct spectrophotometric analysis with a UV-1800 TM ECOVIEW (Shanghai Mapada Instruments Co., Shanghai, China) instrument.

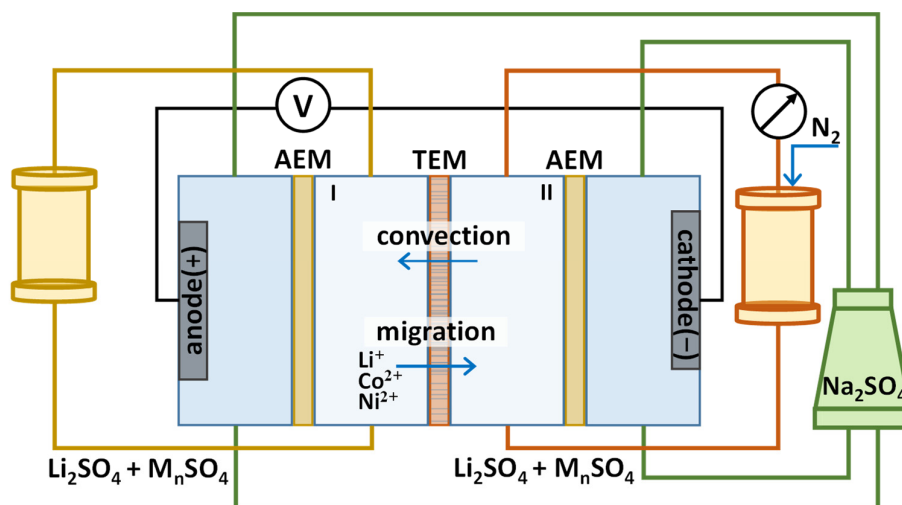
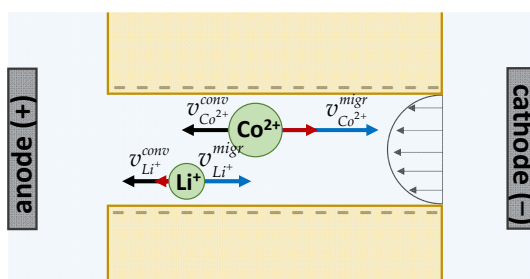


Figure 1. Schematic diagram of the setup for studying the parameters of the selective separation of $\text{Li}^+/\text{Co}^{2+}$ and $\text{Li}^+/\text{Co}^{2+}/\text{Ni}^{2+}$ cations using the hybrid electrobaromembrane method.

3. Results

Let us consider the mechanism of ion separation by the hybrid electrobaromembrane method in more detail (Figure 2). When only an external electric field is applied, the separated Li^+ and Co^{2+} ions migrate through the pores of the TEM to the negative cathode and their velocities are proportional to their mobility u_k (or diffusion coefficient D_k , multiplied by the charge numbers z_k): $v_k^{migr} = u_k E = (D_k z_k F / RT) E$, where E is the electric field strength. Under the action of one driving force (electric field), Li^+ and Co^{2+} ions are freely transferred through the wide pores of the membrane.



→ convection → electromigration → resulting velocity

Figure 2. Scheme of ion velocities in the pore of a track-etched membrane. The velocity of electromigration (blue arrows) is proportional to the ion mobility and electric field; the velocity of convective transfer (black arrows) depends only on the pressure drop and is the same for both ions. The resulting velocities (red arrows) can be directed to different sides.

When a pressure field is applied to the system along with the electric field, a convective flow is created in the pores of the TEM. The convective flow is opposite to the electromigration flow of separated ions. The velocity of convection is the same for both separated ions. For efficient separation, it is necessary to choose the ratio of the rates of convection and electromigration so that the resulting rate of the least mobile ion (here, these are Li^+ ions) tends to zero. It is also possible to choose conditions such that the ions to be separated move in different directions, as shown in Figure 2.

3.1. Theory of EBM Separation

Separation of ions by the EBM method occurs under the action of the two external forces mentioned above. Additionally, a concentration difference may appear when the solutions are different on both sides of the membrane. Therefore, when calculating the fluxes

of competing counterions ($k = 1, 2$), it is necessary to take into account electromigration, convection and diffusion contributions [23]:

$$j_1 = j_1^{migr} + j_1^{dif} + j_1^{conv} = \frac{i\tilde{t}_1}{z_1F} + c_1v^{conv}\gamma \tag{1}$$

$$j_2 = j_2^{migr} + j_2^{dif} + j_2^{conv} = \frac{i\tilde{t}_2}{z_2F} + c_2v^{conv}\gamma \tag{2}$$

where i is the current density and j_k, \tilde{t}_k, c_k and z_k are the flux density, effective transport number, concentration and charge number of cation k ; v^{conv} is the convective velocity; and γ is the surface porosity (the fraction of the membrane surface occupied by the pore openings).

The contribution of diffusion is taken into account implicitly through the value of the effective transport number. The \tilde{t}_k value characterizes the fraction of electric charge carried by ion k under the action of electric current and diffusion (if any). It is easy to see that in Equations (1) and (2), only the \tilde{t}_k and v^{conv} values are unknown. However, assuming that the convective velocity depends only on the pressure drop and is independent of the given current, this can be estimated by the Hagen-Poiseuille equation:

$$v^{conv} = \frac{1}{32} \frac{\Delta p d^2}{\eta L} \tag{3}$$

where Δp is the pressure difference between chamber II and chamber I, d and L are the diameter and length of a pore, and η is the liquid viscosity.

Now only \tilde{t}_k is unknown, and can be determined by the fit between the experimental and calculation results. At the same time, taking into account a relatively large pore diameter, \tilde{t}_k cannot be much greater than the (electromigration) transport numbers in a free solution t_k :

$$\tilde{t}_k \approx t_k = \frac{z_k^2 D_k c_k}{\sum_{j=1,2,3} z_j^2 D_j c_j} \tag{4}$$

Due to the different mobility of competing ions in an electric field, it is possible to choose values of the set current and pressure drop such that the flux of one of them through the track-etched membrane tends to zero [1,23,24]. Let us suppose that $j_2 = 0$; Equation (2) can be used to express the value of the convective velocity:

$$v^{conv} = \frac{i\tilde{t}_2}{z_2 c_2 F \gamma} \tag{5}$$

Substituting Equation (3) in Equation (1), taking into account that the convective flow is opposed to the electromigration flow, the following expression can be obtained:

$$j_1 = \frac{i}{F} \left(\frac{\tilde{t}_1}{z_1} - \frac{\tilde{t}_2}{z_2} \frac{c_1}{c_2} \right) \tag{6}$$

If Equation (4) is taken into account, expression (6) can be rewritten in the following form:

$$j_1 \approx \frac{i\tilde{t}_1}{z_1 F} \left(1 - \frac{z_2 D_2}{z_1 D_1} \right) \tag{7}$$

Conducting a brief analysis of Equation (7), it should be noted that the flux density of the ions, which has the highest mobility in an electric field, increases as the $z_2 D_2 / z_1 D_1$ ratio decreases. If $z_2 D_2$ is close to $z_1 D_1$, the competing ions cannot be separated.

3.2. Separation of $\text{Li}^+/\text{Co}^{2+}$ -Ions

Lithium and cobalt have different electromigration velocities v_k^{migr} , since this velocity is proportional to the electrical mobility of the ions. Cobalt ions are more mobile in an electric field than lithium ions. The $z_2 D_2 / z_1 D_1$ ratio for the $\text{Li}^+/\text{Co}^{2+}$ pair is about 0.71. This means that, from a theoretical point of view, the separation of these ions by the EBM method is possible. However, it is known that cobalt sulfate exists in neutral and acid aqueous solutions in two forms: Co^{2+} and CoSO_4 (Figure 3). According to the Medusa/Hydra software, at the pH value of the feed solution (4.2–4.4), the fraction of Co^{2+} ions is 0.6. For calculations, the values of the equilibrium constants from the Hydra built-in database were used [31].

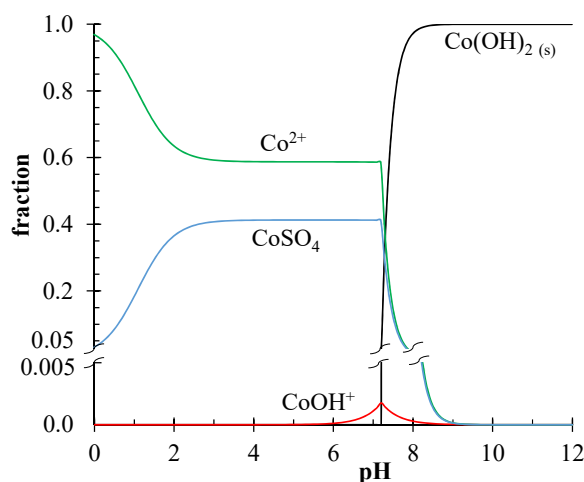


Figure 3. Equilibrium diagram of cobalt compounds in an aqueous solution obtained using the Medusa/Hydra software package [31].

Taking into account the proportion of Co^{2+} ions in the solutions pumped through chamber I and chamber II, the transport numbers of lithium and cobalt ions in free solution in Equation (4) are as follows: $t_{\text{Li}^+} = 0.17$ and $t_{\text{Co}^{2+}} = 0.14$, while $t_{\text{SO}_4^{2-}} = 0.69$. Due to the relatively large pore size ($d_{av} = 35 \pm 3$ nm), the transport numbers t_k of separated ions in a nanoporous membrane should not differ significantly from their transport numbers in solution. This means that the flux of cobalt ions through the membrane determined by electromigration should be lower than the lithium flux, despite the cobalt ions having higher mobility in an electric field.

To determine the experimental flux of cation k under separation ($k = \text{Li}^+$ or Co^{2+}), it is necessary to measure the quasi-stationary rate of change of the cation concentration dc_k/dt in chamber I or chamber II:

$$j_k = \frac{V}{s} \frac{dc_k}{dt} \tag{8}$$

where V is the volume of solution in chamber I or chamber II, s is the membrane surface area, and t is the duration of an experiment.

The efficiency of ion separation is characterized by the ion separation coefficient $S_{\text{Li}^+/\text{Co}^{2+}}$ (also called the permselectivity coefficient between two counterions) [32,33]:

$$S_{\text{Li}^+/\text{Co}^{2+}} = \frac{j_{\text{Li}^+}/j_{\text{Co}^{2+}}}{c_{\text{Li}^+}^0/c_{\text{Co}^{2+}}^0} = \frac{\Delta c_{\text{Li}^+}/\Delta c_{\text{Co}^{2+}}}{c_{\text{Li}^+}^0/c_{\text{Co}^{2+}}^0} \tag{9}$$

where j_{Li^+} and $j_{\text{Co}^{2+}}$ are the flux densities of Li^+ and Co^{2+} through the membrane, respectively; $c_{\text{Li}^+}^0$ and $c_{\text{Co}^{2+}}^0$ are the concentrations of these ions in chamber I or chamber II (in our case, the solutions in these chambers are the same); and Δc_{Li^+} and $\Delta c_{\text{Co}^{2+}}$ are the changes in concentrations of these ions in chamber I or chamber II. Note that when calculating the

value of $S_{Li^+/Co^{2+}}$, the total concentration of cobalt was used, and this can exist in two forms: as a doubly charged cation and in the composition of cobalt sulfate. The method of cobalt concentration measurement (see experimental section) also determines its total concentration. A similar approach has been previously used in other studies [34,35].

Figure 4 shows the results of the separation of Li^+ and Co^{2+} ions using the EBM method, as well as the results of the calculation using Equations (1)–(3). The experiments were carried out at the constant pressure drop of 0.3 bar between chambers II and I, since this value provided optimal separation without significant overflow of solution from the chamber under pressure II to the adjacent chamber I.

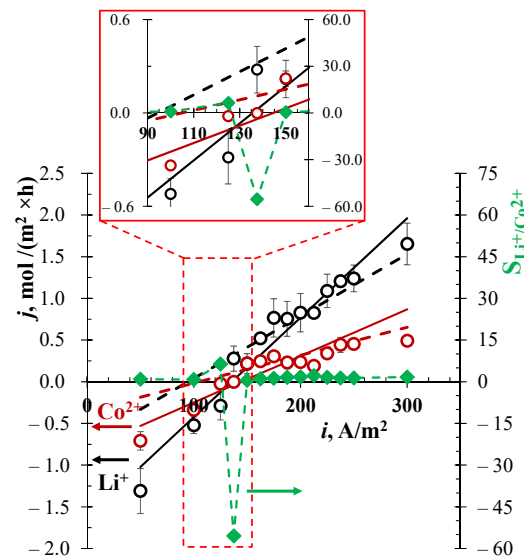


Figure 4. Flux densities of Li^+ (black circles) and Co^{2+} ions (dark red circles) through the TEM #811 membrane, and the ion separation coefficient $S_{Li^+/Co^{2+}}$ (diamonds with dashed green line) vs. the current density for the EBM system at a constant pressure drop of 0.3 bar. Experimental data are shown by markers; lines are calculated using Equations (1)–(3), taking into account $d = 32$ nm, $\tilde{t}_{Li^+} = 0.32$ and $\tilde{t}_{Co^{2+}} = 0.15$ (black and dark red solid lines) and $d = 26$ nm, with $\tilde{t}_{Li^+} = 0.20$ and $\tilde{t}_{Co^{2+}} = 0.09$ (black and dark red dashed lines) as fitting parameters.

When a low current value (50 A/m^2) is set in the system, the experimental values of the fluxes of both separated ions are negative at $\Delta p = 0.3$ bar. This means that the process is controlled by the convection. Since both separated ions experience the same effect of convection, one should not expect high separation selectivity with these parameters. When current density is in the range 125 – 137.5 A/m^2 , the flux of cobalt ions through the membrane tends to zero. In this case, the flux of lithium ions is significant, and is determined either by convection (at 125 A/m^2) or migration (at 137.5 A/m^2). This leads to an increase in selectivity, with a value ranging from 8 up to -55 . It is important to note that at 125 A/m^2 the fluxes of lithium and cobalt are negative and codirectional. At 137.5 A/m^2 , the lithium flux becomes positive, while the cobalt flux remains negative. Taking into account the fact that only lithium ions can leave the feed solution, i.e., the outgoing fluxes of the competing ions are zero, the separation coefficient should formally be set to infinity. However, since the feed solution and receiving solution in experiments were identical, $S_{Li^+/Co^{2+}}$ cannot be evaluated as infinity. Hereafter, when the fluxes of lithium and competing ions have opposite signs, “not available for calculation” will be written and abbreviated as “n/a”.

This feature makes it possible to effectively separate Li^+ and Co^{2+} ions despite the obtained value of the ion separation coefficient. With a further increase in current at $\Delta p = 0.3$ bar, the ion separation coefficient does not differ much from 1.

The values of the transport numbers \tilde{t}_k of competing cations in a nanoporous membrane were determined by the best fit between the experiment and the theory. When

$d = 32$ nm, the fitted values were 0.32 and 0.15 for lithium and cobalt, respectively. The difference from their transport numbers in solution ($t_{Li^+} = 0.17$ and $t_{Co^{2+}} = 0.14$) is probably due to the error in determining the average pore diameter (35 ± 3 nm according to SEM results). It was recently found that a loose cation-conductive intermediate gel layer between the pore solution and the nonconductive membrane bulk material can form in the pores of TEMs during track etching [36,37]. Some of the cations driven by the electric field pass through this loose layer, which leads to an increase in their transport numbers.

On the other hand, the formation of a loose layer can lead to narrowing in the middle part of the pores. Indeed, if the hydraulic permeability of TEM #811 is taken into account, then using the Hagen-Poiseuille Equation (3), an average pore size of 28 ± 2 nm can be obtained [23,36]. Figure 4 shows good agreement between the theoretical dependence (dashed lines) and positive values of the fluxes corresponding to the dominant migration. Here, the average diameter calculated from the hydraulic permeability (26 nm) and the values of the fitted transport numbers becomes closer to those in free solution ($\tilde{t}_{Li^+} = 0.20$ and $\tilde{t}_{Co^{2+}} = 0.09$ were used).

Since at the beginning of the experiment, the solutions on both sides of the TEM were the same, and the composition of these solutions changed only slightly (by less than 20%) during the experiment, the contribution of diffusion to the ion transport can be ignored. Therefore, the effective transport numbers in Equations (1) and (2) should be close to the electromigration transport numbers.

In addition, the presented theoretical analysis does not take into account the interaction of the separated cations with the hydroxyl and carboxyl groups of the polyethylene terephthalate TEM [38]. The negative charge of the pore walls will attract the lithium and cobalt ions inside the pore (mainly in the electrical double layer on the pore walls). As a result, the cation transport numbers in the pore should be slightly greater than in the free solution. Moreover, a fixed charge will affect doubly charged cobalt ions to a greater extent than lithium ions [39].

3.3. Separation of $Li^+/Co^{2+}/Ni^{2+}$ -Ions

In addition to lithium and cobalt, the leachates of spent LIBs also contain nickel and manganese ions, which are less valuable [1–3,18]. The literature analysis allows us to conclude that the presence of coexisting ions in the feed solution significantly affects the efficiency of separation by electrodialysis [15,18,40]. For example, Ji et al. [40] showed that the presence of Na^+ , K^+ and Ca^{2+} ions in natural water (used as a feed solution) negatively affects the efficiency of separation of Mg^{2+} and Li^+ by selective electrodialysis. With an increase in the c_{Na^+}/c_{Li^+} ratio in the feed solution from 1 to 20, S_{Mg^{2+}/Li^+} decreases from 8.7 to 1.8. The negative effect is associated with a decrease in the flux of Li^+ ions through the membrane in the presence of an excess of Na^+ ions. The presence of Ni^{2+} and Mn^{2+} ions in leachates as a feed solution similarly affects the flux of Co^{2+} ions through the membrane [15,18].

To evaluate the effect of coexisting Ni^{2+} ions on the separation efficiency of Li^+ and Co^{2+} ions through the TEM #811 membrane, optimal parameters selected from the dependence in Figure 4 ($\Delta p = 0.3$ bar; $i = 137.5$ A/m²) were used. The concentration of cobalt ions was reduced by half (usually the ratio of Co^{2+} and Ni^{2+} ions in leachates is approximately 1:1 [16,18]), due to which the ionic strength of the solution did not change. The composition of the feed solution was as follows: 0.05 M Li_2SO_4 , 0.025 M $CoSO_4$ and 0.025 M $NiSO_4$ (pH = 5.2). Table 3 shows the results of the separation of Li^+/Co^{2+} -ions by the EBM method in the presence of Ni^{2+} ions.

Under selected conditions, in the presence of Ni^{2+} ions, the selectivity of Li^+/Co^{2+} -ion separation is significantly reduced ($S_{Li^+/Co^{2+}} = 4$). The flux of lithium ions through the membrane remains the same, within experimental error, and the flux of cobalt ions increases (0.02 mol/(m² × h)).

Table 3. Comparison of separation efficiency of Li⁺/Co²⁺-ions and Li⁺/Co²⁺/Ni²⁺-ions by EBM method at Δ*p* = 0.3 bar.

| Current Density, <i>i</i> , A/m ² | Ions in the Feed Solution | <i>c</i> _{Mⁿ⁺} ⁰ , g/L | <i>j</i> _{Mⁿ⁺} , mol/(m ² × h) | <i>S</i> _{Li⁺/Mⁿ⁺} |
|---|---------------------------|---|---|---|
| Li ⁺ /Co ²⁺ -containing feed solution | | | | |
| 137.5 | Li ⁺ | 0.69 | 0.28 | – |
| | Co ²⁺ | 2.95 | –0.0025 | n/a |
| 125 | Li ⁺ | 0.69 | –0.29 | – |
| | Co ²⁺ | 2.95 | –0.022 | 8 |
| Li ⁺ /Co ²⁺ /Ni ²⁺ -containing feed solution | | | | |
| 137.5 | Li ⁺ | 0.69 | 0.33 | – |
| | Co ²⁺ | 1.47 | 0.02 | 4 |
| | Ni ²⁺ | 1.47 | 0.04 | 2 |
| 125 | Li ⁺ | 0.69 | 0.30 | – |
| | Co ²⁺ | 1.47 | –0.02 | n/a |
| | Ni ²⁺ | 1.47 | –0.005 | n/a |

The flux of Ni²⁺ ions through the membrane is 0.04 mol/(m² × h). The difference between the fluxes of Co²⁺ and Ni²⁺ is explained by the fact that NiSO₄ dissociates in aqueous solutions better than CoSO₄. The fraction of Ni²⁺ ions in the feed solution is approximately 0.61 (0.39 in the form of its sulfate), while that of Co²⁺ ions is 0.58 (pH = 5.2). The transport number (and hence the flux) of Ni²⁺ ions in pore solution is higher than the transport number of Co²⁺ ions (*t*_{Ni²⁺} = 0.07 and *t*_{Co²⁺} = 0.06). The *z*₂*D*₂/*z*₁*D*₁ ratio for the Li⁺/Ni²⁺ pair is higher than for the Li⁺/Co²⁺ pair (0.76 and 0.71, respectively). This means that it is more difficult to separate Li⁺ and Ni²⁺ ions than Li⁺ and Co²⁺. Along with the measurement error, this probably explains the high flux of these ions through the membrane compared to the experiment with the same parameters without the addition of Ni²⁺ ions. To estimate competing ion fluxes, the change in concentration over time in the chambers of the EBM device is determined against the background of a high concentration of the analyte in the feed solution.

Due to the fact that the fluxes of both Co²⁺ ions and Ni²⁺ ions were positive at 137.5 A/m² (controlled by migration), in order to increase the efficiency of lithium extraction, the current density was reduced to 125 A/m² at the same pressure value (Δ*p* = 0.3 bar) (Table 3). This caused the fluxes of cobalt and nickel to become negative, which means that the dominant transport mechanism is convection. However, the lithium flux changed insignificantly, from 0.33 to 0.30 mol/(m² × h). This allowed lithium to be fractionated from the mixed solution. Taking into account that the fluxes of cobalt and nickel ions are negative, the separation coefficient is not available for calculation. The energy consumption ranged from 0.55 to 0.92 kWh/mol Li⁺ for the entire cell (depending on *t*_{Li⁺}), which is comparable with the energy consumption of conventional electro dialysis. The methodology for calculating energy consumption is presented in Ref. [23].

3.4. Analysis of Obtained Results

Let us make a brief analysis of the obtained separation characteristics and compare them with similar characteristics found by different authors using other membrane methods. In Table 4, the results from some recent papers on the selective recovery of lithium from leachates of spent LIBs using membrane technologies were compiled. The fluxes of Li⁺ ions through the membrane, as well as the fluxes of competing cations, were calculated using the published data presented in the relevant articles [15–18,41,42]. Calculations were made using Equations (8) and (9).

Table 4. Comparison of recovery/rejection of used lithium using membrane methods.

| Method | Membrane | Feed Solution | Experiment Details | j_{Li^+} , mol/(m ² × h) | Competing Cation, C ⁺ | $j_{M^{n+}}$, mol/(m ² × h) | $S_{Li^+/M^{n+}}$ |
|---|--|--|--|--|---|--|---|
| Selective electro dialysis Ref. [18] | Cell with monovalent selective Selemon CSO (Asahi Glass, Tokyo, Japan) or Neosepta CIMS membranes, as well as Neosepta AMX (Astom, Shunan, Japan) | Leach solution of NMC111 cathodic materials: 2.60 g/L Li ⁺ 7.88 g/L Co ²⁺ , 8.01 g/L Ni ²⁺ , 4.40 g/L Mn ²⁺ , 51.45 g/L SO ₄ ²⁻ (pH = 2.8) | 125 A/m ² | 1.92 over the Selemon CSO 3.05 over the Neosepta CIMS | Co ²⁺ Ni ²⁺ Mn ²⁺ Co ²⁺ Ni ²⁺ Mn ²⁺ | 0.56 0.54 0.29 0.20 0.18 0.12 | 1.25 1.3 1.4 5.6 6.1 5.4 |
| Selective electro dialysis Ref. [17] | 5 cell pair with monovalent selective PC-MVK & PC-MVA membranes (PCA GmbH, Heusweiler, Germany) | 0.1 g/L Li ⁺ & 0.3 g/L Co ²⁺ | 5 V (1 V/cell) ~15 A/m ² | 0.1 | Co ²⁺ | 8.8×10^{-3} | 4 |
| Selective electro dialysis Ref. [15] | Cell with laboratory-made selective PAN-5C8Q membrane as well as Neosepta ASE (Astom, Shunan, Japan) | 0.027 g/L Li ⁺ , 0.108 g/L Co ²⁺ , 0.049 g/L Ni ²⁺ | 5 V | 0.047 | Co ²⁺ Ni ²⁺ | 0.044 1.5×10^{-3} | 0.5 7 |
| Conventional electro dialysis + complexation & selective electro dialysis Ref. [16] | Cell with monovalent selective Neosepta CMS membrane, as well as Neosepta AMX, Neosepta CMX (Astom, Japan) and PCA PC 400D (PCA GmbH, Heusweiler, Germany) | Leach solution of NMC111 cathodic materials: 0.07 g/L Li ⁺ , 0.2 g/L Co ²⁺ , 0.2 g/L Ni ²⁺ , 0.18 g/L Mn ²⁺ & SO ₄ ²⁻ (pH ~ 1.5) | 18 V (Stage 1) 18 V (Stage 2) 3 V (Stage 3) | 0.165 over the Neosepta CMS (Stage 3) | Ni-EDTA ⁿ⁻ over the PCA PC 400D (Stage 1) Co-EDTA ⁿ⁻ over the PCA PC 400D (Stage 2) Mn ²⁺ over the Neosepta CMS (Stage 3) | 0.057 0.042 | n/a n/a |
| Bipolar membrane electro dialysis + complexation Ref. [41] | Cell with Neosepta BP-1E bipolar membrane (Astom, Japan), as well as Selemon CMV and Selemon AMV (Asahi Glass, Tokyo, Japan) | 0.14 g/L Li ⁺ , 1.18 g/L Co ²⁺ , 3.72 g/L NO ₃ ⁻ , 1.84 g/L Na ⁺ (pH = 7.0) | 20 V | 0.77 over the Selemon CMV | Co-EDTA ⁿ⁻ over the Selemon AMV | 0.33 | n/a |
| Nanofiltration Ref. [42] | Cell with VNF2 nanofiltration membrane (Vontron Membrane Technology Ltd., Beijing, China) | Leach liquor of lithium-iron-phosphate spent Libs: 23.9 g/L Li ⁺ , 0.78 g/L Ni ²⁺ , 0.58 g/L Co ²⁺ , 0.67 g/L Mn ²⁺ , 27.3 g/L Fe ³⁺ , 0.18 g/L Al ³⁺ , 0.28 g/L Cr ³⁺ , 0.059 g/L Cu ²⁺ , 11.0 g/L PO ₄ ³⁻ (pH = 2.2) | 10 bar | 0.67 | Co ²⁺ Ni ²⁺ Mn ²⁺ | 3.0×10^{-4} 5.7×10^{-4} 3.0×10^{-4} | 6.2 4.7 8.2 |

Table 4. *Cont.*

| Method | Membrane | Feed Solution | Experiment Details | j_{Li^+} , mol/(m ² × h) | Competing Cation, C ⁺ | $j_{M^{n+}}$, mol/(m ² × h) | $S_{Li^+/M^{n+}}$ | |
|---|---|---|-----------------------------------|---------------------------------------|----------------------------------|---|-------------------|--|
| Hybrid electro-baromembrane (EBM) method [this study] | Cell with TEM #811 track-etched membrane, as well as two MA-41 (CC Shchekinoazot, Pervomayskiy, Russia) | 0.69 g/L Li ⁺ , 2.95 g/L Co ²⁺ , 9.6 g/L SO ₄ ²⁻ (pH = 4.2–4.4) | 137.5 A/m ² 0.3 bar | 0.36 * | Co ²⁺ | 0.01 * | 18 * | |
| | | or | | | | | | |
| | | 0.69 g/L Li ⁺ , 1.47 g/L Co ²⁺ , 1.47 g/L Ni ²⁺ , 9.6 g/L SO ₄ ²⁻ (pH = 5.2) | | 0.33 | Co ²⁺ | 0.02 | 4 | |
| | | | | | Ni ²⁺ | 0.04 | 2 | |

* Results of one of the experiments with codirectional fluxes of separated ions at given parameters, in contrast to the results discussed above (Figure 4, Table 3), where the average values for four series of experiments are presented.

It is known that selective electrodialysis (SED) allows separate monovalent and multivalent ions of the same charge sign using special-grade monovalent-ion-selective ion-exchange membranes. In the literature, the examples of the successful application of SED can be found to separate lithium from doubly charged ions of cobalt, nickel, and manganese [15,17,18]. Competing ion fluxes through ion-exchange membranes are determined by the set current and the concentration of ions in the feed solution. The ion separation coefficient $S_{Li^+/M^{n+}}$ can reach 5–7 [15,17,18].

Another approach is to use conventional and special-grade ion-exchange membranes together, as well as complexation with EDTA [16,41]. This makes it possible to transfer multiply charged cations, in the form of anionic complexes, through conventional ion-exchange membranes. Lithium does not form a complex and is transported over special-grade membranes. Separation efficiency increases significantly, but the ion separation coefficient cannot always be calculated (is not available), since lithium and doubly charged coexisting ions are transported through different membranes. Only a small portion of doubly charged ions are transported together with singly charged ions, and the ion separation coefficient reaches very high values [16].

In the pressure-driven-membrane method, nanofiltration can be effectively used to separate singly and multiply charged ions. As in the case of electrodialysis, the fluxes of the ions to be separated depend primarily on the magnitude of the driving force (excess pressure) and the concentration of the ions to be separated in the feed solution. The parameters of the selective extraction of lithium from spent LIBs using nanofiltration [42] are close to the parameters obtained using electrodialysis methods (Table 4).

The EBM method can compete with known membrane methods. The flux of lithium through the membrane is comparable to the fluxes of this ion obtained in nanofiltration and electrodialysis. However, the method makes it possible to choose the parameters in such a way that the fluxes of the separated ions are directed in opposite directions (Figure 4). It is possible to choose such conditions when Li^+ is extracted from chamber I (a positive flux) while the direction of fluxes of competing cations is negative: they cannot pass from chamber I (the feed solution) to chamber II (the receiving solution). Therefore, the selectivity coefficient is theoretically equal to infinity. This opens possibilities for fractionation of the components.

Although the ion-separation coefficient with the EBM method can be much higher than with other membrane methods [1], like other membrane methods, this method is not without drawbacks. The main problem lies in the accuracy of selecting the separation parameters in order to bring the flux of one of the competing ions to zero as accurately as possible or direct it in the opposite direction.

4. Conclusions

In this work, the possibility of using the hybrid electrobaromembrane (EBM) method for the separation of Li^+ and Co^{2+} ions, as well as Li^+ , Co^{2+} and Ni^{2+} contained in leachates of spent LIBs, was studied. For the separation, a track-etched membrane with a pore diameter d_{av} of 35 ± 3 nm was used. At this value of d_{av} , there are no steric hindrances for the ion transfer through the pores. However, the relatively wide pores of track-etched membranes are an important condition for creating oppositely directed convective transport and electromigration. This organization of these two fluxes allows very effective separation of ions with the same charge sign. Based on both the calculations and the results of the EBM separation experiment, the flux of lithium ions through the membrane can be expected to be about $0.3 \text{ mol}/(\text{m}^2 \times \text{h})$. Under conditions where the feeding and receiving solutions were identical, the flux of cobalt ions was directed from the receiving to the feeding solution and was close to zero ($-0.0025 \text{ mol}/(\text{m}^2 \times \text{h})$). Formally, through the calculation of the flux ratio, the ion separation coefficient of Li^+ and Co^{2+} ions was -55 . But taking into account that only lithium ions can leave the feed solution, the separation coefficient should be equal to infinity. The presence of coexisting nickel ions in the feed solution leads to a decrease in the separation efficiency of lithium and cobalt if the fluxes of these cations are codirected.

However, the separation conditions can be chosen so that only lithium is extracted from the initial solution, while the fluxes of other ions are oppositely directed.

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Article

On the Performance of a Ready-to-Use Electrospun Sulfonated Poly(Ether Ether Ketone) Membrane Adsorber

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Abstract: Motivated by the need for efficient purification methods for the recovery of valuable resources, we developed a wire-electrospun membrane adsorber without the need for post-modification. The relationship between the fiber structure, functional-group density, and performance of electrospun sulfonated poly(ether ether ketone) (sPEEK) membrane adsorbers was explored. The sulfonate groups enable selective binding of lysozyme at neutral pH through electrostatic interactions. Our results show a dynamic lysozyme adsorption capacity of 59.3 mg/g at 10% breakthrough, which is independent of the flow velocity confirming dominant convective mass transport. Membrane adsorbers with three different fiber diameters (measured by SEM) were fabricated by altering the concentration of the polymer solution. The specific surface area as measured with BET and the dynamic adsorption capacity were minimally affected by variations in fiber diameter, offering membrane adsorbers with consistent performance. To study the effect of functional-group density, membrane adsorbers from sPEEK with different sulfonation degrees (52%, 62%, and 72%) were fabricated. Despite the increased functional-group density, the dynamic adsorption capacity did not increase accordingly. However, in all presented cases, at least a monolayer coverage was obtained, demonstrating ample functional groups available within the area occupied by a lysozyme molecule. Our study showcases a ready-to-use membrane adsorber for the recovery of positively charged molecules, using lysozyme as a model protein, with potential applications in removing heavy metals, dyes, and pharmaceutical components from process streams. Furthermore, this study highlights factors, such as fiber diameter and functional-group density, for optimizing the membrane adsorber's performance.

Keywords: membrane adsorber; sulfonated poly(ether ether ketone) (sPEEK); electrospinning; lysozyme; dynamic adsorption capacity; fiber diameter; functional-group density; sulfonation degree; electrostatic interactions; specific surface area



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1. Introduction

Our resource consumption surpasses the earth's replenishment rate. To prevent depletion and ensure long-term sustainability, a transition from a linear to a circular economy is necessary [1]. Looking especially at the key element of water, a circular economy requires the purification of water to safeguard clean drinking water and the recovery of the valuable resources it contains [2]. Currently, many valuable resources are lost in discarded rest streams due to a lack of cost-effective recovery technologies [3]. In many cases, the concentration of the valuable resource is too low, resulting in high energy costs, or the rest streams are contaminated, increasing the purification process costs [3]. Additionally, every industry has unique resources in its rest stream, such as proteins in the dairy industry, ionic species (e.g., nitrogen, phosphorous, and potassium) in the agricultural industry, and dyes in the textile industry [4,5]. These factors make it challenging to find

a single, efficient technology to recover and valorize these resources [6,7]. Therefore, an efficient, and especially versatile, purification technology is needed that can be tailored to the needs of various industries.

Traditionally, adsorption processes using packed-bed column technology are frequently applied to remove target components or impurities from aqueous streams [8]. However, significant limitations involving high pressure drop and low throughput separation (the amount of material processed per unit time), seriously impede the development and scale-up of this technology [8,9]. In addition, coarse impurities can easily clog the column, making this technology unsuitable for streams with high-mass components [10]. To overcome these limitations, several developments in column technology have been made.

One such development is reducing the particle size in the packed bed, which increases the adsorption capacity. By reducing the size of the porous particle, the diffusion length is shortened, making the adsorption sites more accessible and increasing the throughput [11]. However, this also intensifies the limitations of clogging and high pressure drop, leading to column deformation and channeling. This results in an early breakthrough, which implies noncomplete utilization of the adsorption capacity [12].

Nonporous and/or core-shell rigid particles, on the other hand, offer the advantage of greater robustness and lower pressure drop [13]. Solute diffusion is no longer a limiting factor due to the absence of pores [8]. Unfortunately, these particles have a lower surface area, which results in a lower capacity [13].

Perfusive or super-porous particles have been developed to increase convective mass transport and reduce the diffusive dependency of the purification step to obtain higher throughput. These particles allow solute molecules to pass through faster and at lower pressures compared to packed beds and the capacity is higher compared to nonporous particles [8,14].

Expanded beds were developed to prevent clogging of the column [10]. An upward flow is applied to increase the space between the particles and allows coarser impurities to flow through. However, the size and density of the particles and the flow rate must be carefully balanced; if the particles are small, the flow must be limited to avoid overexpansion of the bed, while if the particles are large, the flow must be high enough to prevent sedimentation of the particles [15]. In both situations, the throughput of the bed is limited, either due to low flow rates or due to restricted diffusion reducing the adsorption capacity.

Membrane adsorbers have been developed to overcome these limitations. In these adsorbers, the adsorbent particles are fixed in a porous matrix or the matrix itself acts as the adsorbent [16]. The target substances are adsorbed on the adsorptive moieties in the membrane adsorber while the solvent with nonbinding and coarse impurities permeates through the pores [17]. Within this porous structure, convective mass transport takes place, which allows operation at higher flow rates compared to diffusion-controlled packed-bed chromatography [8,18]. This leads to a reduced pressure drop and facilitates the scale-up of the membrane adsorber technology [9]. However, most membrane adsorbers made by modifying micro/macroporous membranes have a low adsorption capacity due to a low surface area and a large pore size distribution [12]. A variance in porosity causes a preferential flow of the solute molecules through the larger pores resulting in an early breakthrough [8,12].

Electrospinning was introduced to enhance the surface area and versatility of membrane adsorbers, allowing the creation of nanofibrous porous mats with tailor-made functionalities to selectively recover valuable components [19]. This technique uses an electrostatic force to overcome the surface tension of a polymer solution, converting it into a fiber structure that is deposited on a collector paper forming a porous mat (Figure 1) [20,21]. These mats can be stacked with a random overlay orientation of the fibers to decrease the effective pore size distribution and achieve an even flow dispersion [22]. Electrospun membrane adsorbers offer a promising cost-effective platform technology for resource recovery. This is because electrospinning gives opportunities to (1) tailor the selectivity by functionalization of the electrospun membranes through polymer blending, functional

particle embedding during electrospinning or chemical post-functionalization; (2) control permeability by adjusting the bed height, porosity, and fiber diameter such that nonbinding and coarser impurities easily elute through the bed while the desired components can bind to the (functionalized) electrospun fibers; and (3) facilitate easy production and linear scale-up [19,23–25]. Recent progress made in the use of electrospun nanofibers for membrane adsorbers is discussed in several review papers [9,19,23,24]. So far, the versatility of electrospun membrane adsorbers has been studied primarily in terms of design, fabrication, and type of functionalization [19]. However, many of these adsorbers require multistep synthesis for functionalization [26–32], which limits their entrance into industry and the market. To overcome this, alternative fabrication routes, such as the use of pre-functionalized polymers should be explored [33]. Systematic studies to tailor the performance by controlling electrospinning conditions are limited [19].

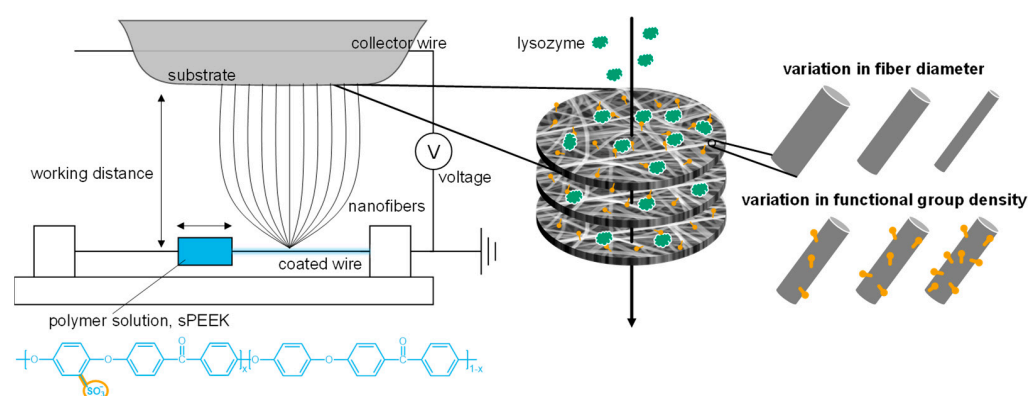


Figure 1. Schematic representation of the fabrication process for sPEEK membrane adsorbers using a wire-electrospinning device, along with a visualization of the factors studied for their impact on performance.

This study develops electrospun sulfonated poly(ether ether ketone) (sPEEK) membrane adsorbers that eliminate the need for any post-functionalization steps due to the inherent presence of the functional sulfonate groups in the polymer (Figure 1). The sulfonate groups allow selective binding of the model protein lysozyme under neutral pH through electrostatic interactions. The negatively charged strong acidic sulfonic acid groups and the positively charged lysozyme (with an isoelectric point of 11.35) are attracted to each other by Coulombic interactions [34,35]. Other interactions, such as hydrogen bonding, hydrophobic interactions, and van der Waals forces, also contribute to the binding affinity between the protein and the membrane adsorber [35–37]. The choice of lysozyme as the model protein in this study is due to its stability, antimicrobial properties, and is a natural preservative, which makes lysozyme an ideal test molecule and is therefore widely studied [38]. Most important, the versatility of electrospinning is explored with a focus on the effect of fiber thickness or functional-group density on the adsorber performance (Figure 1). The sPEEK fiber thickness was tailored by varying the concentration of the polymer solution and fine-tuning the process parameters of the electrospinner [20,39,40]. sPEEK membrane adsorbers with thicknesses of 90 ± 18 nm, 132 ± 27 nm, and 166 ± 18 nm were investigated. Additionally, the effect of the functional-group density on the binding capacity was studied by creating sPEEK-based membrane adsorbers from sPEEK with different sulfonation degrees (52%, 62%, and 72%).

2. Materials and Methods

2.1. Materials

Sulfonated poly(ether ether ketone) (sPEEK) was purchased from FumaTech-BWT GmbH, Bietigheim-Bissingen, Germany, Fumion[®] with sulfonation degrees of 52% (sPEEK-52, $x = 0.52$ in Figure 1), 62% (sPEEK-62), and 72% (sPEEK-72). Dimethylacetamide (DMAc)

was supplied by Sigma–Aldrich, Darmstadt, Germany and N-methylpyrrolidone (NMP) by Biosolve B.V., Valkenswaard, The Netherlands. To dry the solvents molecular sieves were used (4 Å, Sigma–Aldrich, Darmstadt, Germany). Hydrochloric acid (HCl, Supelco® from Sigma–Aldrich, Darmstadt, Germany), sodium hydroxide (NaOH, VWR Chemicals, Boxmeer, The Netherlands), and sodium chloride (NaCl, Sanal® P, AkzoNobel, Deventer, The Netherlands) were used for membrane pretreatment and/or characterization. Demineralized water was obtained from an Elga water purification system from Veolia, Weert, The Netherlands. Lysozyme (LZ) from hen egg white (Mw ~ 14,600, Fluka analytical), phosphate buffers saline (PBS) tablets (pH 7.4, 0.01 M PBS, total ionic strength 0.15 M, Sigma–Aldrich, Darmstadt, Germany), and syringe filter holders (25 mm, Sartorius, Goettingen, Germany) were used to measure the membrane performance.

2.2. Preparation of Electrospun Membranes

For electrospinning, the polymer is dissolved in a solvent. During the spinning process, the polymer solidifies, thereby forming a fiber. Both the polymer, solution, and process conditions define, e.g., the dimensions of the fiber. The driving force in electrospinning is the electrical field built between the polymer supply and the collector. This electric charge causes instability in the polymer solution because of the induction of charges on the polymer and the charge builds up mainly at the surface of the liquid, destabilizing the meniscus of the droplet on the wire. When the electric charge overcomes the surface tension, a jet is formed.

Before solution preparation, the sPEEK polymers were dried in the vacuum oven at 80 °C for six hours. The dried sPEEK-52 was used to prepare a 22 weight-% (wt %) solution using NMP as a solvent, which had been dried using molecular sieves. The solution was placed on the roller bench for at least 24 h. Additionally, the polymer solution was placed in an ultrasonic bath at 25 °C for at least 4 h to break up any gel particles that may be present in the solution. Polymer solutions of 17–25 wt % sPEEK-62 and sPEEK-72, with solubility properties distinct from sPEEK-52, were prepared using dried DMAc as a solvent and placed on the roller bench for at least 15 h. While the polymer solution was still hazy, the solution was sonicated to obtain a homogeneous transparent solution. Electrospinning was performed using a wire-electrospinning device (Nanospider NS LAB, Elmarco, Liberec, Czech Republic). The relative humidity and temperature of the electrospinning chamber were controlled (desiccant dehumidifier system, ML270PLUS, Munters, Den Haag, The Netherlands).

The polymer solutions were electrospun from a carrier with an orifice of 0.8 mm moving along the working wire electrode at a speed of 150 mm/s. The applied voltage between the working and collecting electrode (working distance was set to 150 mm) was set at 80 kV. The substrate was not moving and its distance to the collecting electrode was set at 25 mm. Nanofibers were produced at 22 ± 0.5 °C under 25 ± 1% relative humidity, except for 23.4 wt % sPEEK-72, which was produced under 20 ± 1% relative humidity. The obtained spunbound membranes were placed for conditioning in 1 M HCl on a shaking plate for one hour to ensure that all sulfonate groups have an H⁺ as their counterion. Then, the membranes were rinsed in demineralized water by refreshing the water multiple times until a neutral pH was obtained. Next, the membranes were dried in a vacuum oven at 80 °C for at least 6 h.

2.3. Membrane Characterization

2.3.1. Scanning Electron Microscopy (SEM)

The morphology of the fabricated membranes was evaluated using SEM (JEOL IT-100, Nieuw-Vennep, The Netherlands) with 10 kV accelerating voltage and probe current setting 32. All measured samples were platinum coated for 60 s at 40 mA using a sputtercoater (JFC-2300HR, JEOL, Nieuw-Vennep, The Netherlands). Fiber dimensions were measured on at least 100 spots at 10.000× magnification using ImageJ software.

2.3.2. BET Surface Area

The Brunauer–Emmett–Teller (BET) specific surface area of the electrospun membranes was determined by N₂ physisorption at liquid N₂ temperature (−196 °C) with a Micromeritics TriStar II (Eindhoven, The Netherlands) using the Plus 3.03 software with optimized BET calculation. Prior to the measurement, samples of ~0.1 g were outgassed for 20 h at 80 °C under vacuum.

2.3.3. Capillary Liquid Porometry

The pore size distribution was studied by porometry (Porolux 500, Porometer, Nazareth, Belgium) on a sample with a diameter of 25 mm. Nitrogen gas was used as the pressurizing agent, wetting was done with Porofil[®] (15.9 dyn/cm, supplied by Porometer, Nazareth, Belgium). From each membrane, two samples were measured with the following settings: shape factor 0.715, pressure increasing slope 120 s/bar, final pressure 6 bar, number of measurements steps wet curve 50, and number of measurements steps dry curve 25.

2.3.4. Water Uptake and Swelling

The sPEEK water uptake and swelling (%) were measured on cast sPEEK films in duplicates. Hereto the SPEEK solutions that were prepared for the electrospinning were cast on a glass plate using a 500 μm casting knife for sPEEK-52 and sPEEK-62, and a 300 μm casting knife for sPEEK-72. Then the films were dried for two days in a nitrogen box and six days in a nitrogen oven at 120 °C. Then the films were immersed in water for three days to ensure a fully saturated water uptake. Subsequently, the films were carefully wiped with paper to remove excess solution and weighed. The films were put in a vacuum oven at 60 °C for 20 h. Once again, the films were weighed, and the water uptake was calculated using Equation (1) and the thickness using Equation (2).

$$\text{Water uptake} = \frac{m_{\text{wet}} - m_{\text{dry}}}{m_{\text{dry}}} \cdot 100\% \quad (1)$$

where m_{wet} is the weight of the wet membrane (g) and m_{dry} is the weight of the dried membrane (g).

$$\text{Swelling thickness} = \frac{t_{\text{wet}} - t_{\text{dry}}}{t_{\text{dry}}} \cdot 100\% \quad (2)$$

where t_{wet} is the thickness of the wet membrane (μm) and t_{dry} is the thickness of the dried membrane (μm).

2.3.5. Ion-Exchange Capacity

The ion-exchange capacity reflects the number of functional cationic groups that are available for ion exchange. The ion-exchange capacity of the electrospun membranes was determined through acid–base titration as reported by Park et al. [41]. First, the membranes were immersed overnight in 1 M HCl to convert them into the H⁺ form. After, the membranes were thoroughly rinsed with demineralized water to remove the unbound H⁺ ions from the spunbound membranes. Subsequently, the membranes were soaked three times for one hour in 15 mL 2 M NaCl to exchange Na⁺ for H⁺. For each membrane sample, the combined salt solutions were titrated with 0.01 M NaOH using a titrator from Mettler Toledo with sensor DGi115-SC. The ion-exchange capacity (meq/g dry membrane) was calculated using the following Equation (3):

$$\text{Ion-exchange capacity} = \frac{M_{\text{NaOH}} \cdot V_{\text{NaOH}}}{m_{\text{dry}}} \quad (3)$$

where M_{NaOH} is the molar concentration of the sodium hydroxide solution (M), V_{NaOH} the volume of sodium hydroxide needed to titrate the acid (mL) and m_{dry} the dry mass of the membrane (g). All ion-exchange capacity measurements were executed in triplicate.

2.4. Membrane Performance

2.4.1. Static Lysozyme Adsorption

The maximum adsorption capacity of lysozyme on the membrane adsorbers was evaluated through static adsorption experiments. First, lysozyme solutions with concentrations ranging from 0–2.5 mg/mL in PBS buffer were made and measured by UV-vis spectroscopy at 280 nm (Shimadzu UV-1280, 's-Hertogenbosch, The Netherlands). The sPEEK membranes (~0.02 g) were immersed in 2 mL lysozyme solution with the pre-determined concentrations (in duplicates). The adsorption experiments were carried out at room temperature for 20 h on a shaking plate to ensure equilibrium and the concentrations were measured again. The amount of adsorbed lysozyme (mg/g) was calculated using the following Equation (4):

$$\text{Adsorbed lysozyme} = \frac{(C_0 - C_t) \cdot V}{m} \quad (4)$$

where C_0 is the initial concentration and C_t the equilibrium concentration of lysozyme in solution (mg/mL), V is the volume of the solution (mL), and m the mass of the membrane (g). The adsorption isotherm follows the Langmuir isotherm, which is described by the following Equation (5):

$$q_e = \frac{Q_m \cdot K_d \cdot C_e}{(1 + K_d \cdot C_e)} \quad (5)$$

where q_e is the equilibrium adsorption capacity (mg/g), C_e is the equilibrium concentration (mg/mL), and K_d is the equilibrium constant (mL/mg). Q_m is the maximum adsorption capacity using a curve fitting (mg/g).

2.4.2. Dynamic Lysozyme Adsorption

For the determination of the dynamic adsorption capacity, 5–20 membrane discs with a diameter of 25 mm each were cut and stacked in a filter holder (total mass membrane 0.05–0.15 g). The membrane mass available for adsorption was determined as the mass enclosed within the o-ring. The filter holder was connected to a syringe filled with PBS solution to flush the system and eliminate any potential contaminants. The flow velocity was controlled with a syringe pump (Chemyx Inc. Fusion 200, Stafford, TX, USA) and set at 1.0 mL/min for the adsorption step. The syringe was filled with 0.5 mg/mL lysozyme in PBS solution and the permeate was collected in fractions of ~0.7 mL. The concentration of lysozyme was determined by UV-vis spectroscopy at 280 nm. When the concentration in the permeate exceeded 10% of the feed concentration (breakthrough point) the pump was stopped. The adsorption capacity was determined by interpolation of the adsorption curve at 10% breakthrough. The dynamic adsorption was executed for most samples in duplicates, and the adsorption capacity showed an error margin of $\leq 11\%$. For the washing step, the lysozyme in the syringe was replaced by PBS buffer solution to remove the unbound lysozyme. The flow velocity in this washing step was set at 0.5 mL/min for practical reasons. For desorption, the syringe was filled with 0.5 M NaCl in PBS solution and the flow velocity was set at 1 mL/min. The amount of desorbed lysozyme in the desorption buffer was determined by UV-vis spectroscopy at 280 nm and the recovery is calculated using the following Equation (6):

$$\text{Recovery} = \frac{P_D}{P_L - P_{AW}} \cdot 100\% \quad (6)$$

where P_D is the amount of protein removed from the membrane stack in the desorption step (mg), P_L is the amount of protein loaded on the membrane stack (mg), and P_{AW} is the amount of unbound protein eluted in the adsorption and washing step (mg).

3. Results and Discussion

3.1. Electrospun sPEEK Membrane Adsorbers

Membrane adsorbers with sulfonic acid functional groups were fabricated by wire-electrospinning a 19 wt % sPEEK-62 in DMAc solution. SEM images of the nanofibrous mats after the conditioning step show a uniform fiber morphology with an average fiber diameter of 132 ± 27 nm (Figure 2) with an associated BET surface area of 12.3 ± 2.1 m²/g. The obtained fiber diameter is relatively small compared to the data provided in the review paper by Yang et al. (ranging from 150–15,000 nm) [9]. As a result, the surface area is relatively high compared to values reported in literature (4–7 m²/g) [9].

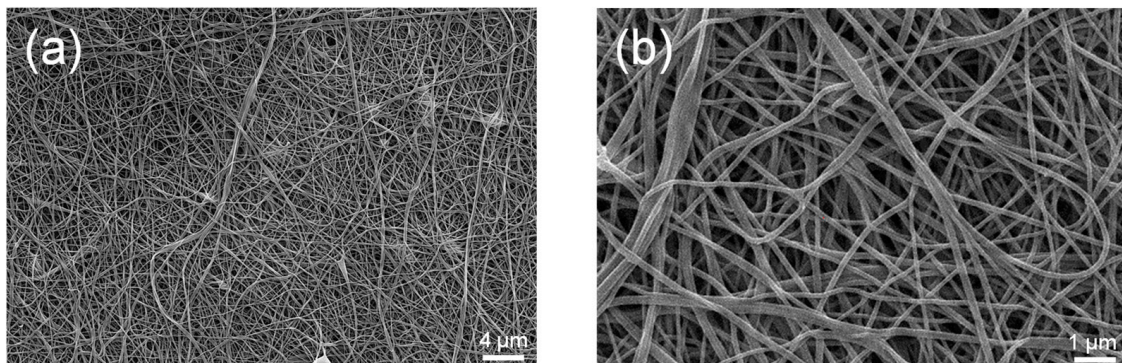


Figure 2. SEM images of sPEEK-62 membrane adsorbers in the H⁺ form made by wire-electrospinning using a 19 wt % polymer solution; (a) 2500× magnification and (b) 10,000× magnification.

Achieving a uniform plug-flow velocity through the membrane adsorber and utilizing its complete adsorption capacity relies on a narrow pore size distribution. The pore size distribution of this sPEEK-62 membrane is shown in Figure 3. The pores of the membrane are almost 50 times larger than the size of a lysozyme molecule ($4.5 \times 3 \times 3$ nm), allowing convective transport of the lysozyme without clogging the pores [42].

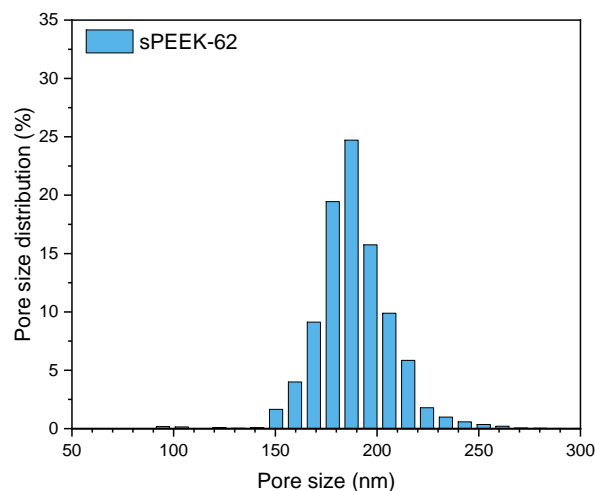


Figure 3. Pore size distribution measured with capillary liquid porometry of sPEEK-62 membrane adsorbers in the H⁺ form made by wire-electrospinning using a 19 wt % polymer solution.

The membrane adsorber performance is studied by measuring the static adsorption capacity using lysozyme as a model protein (Equation (4), Figure 4). Lysozyme is positively charged at neutral pH enabling electrostatic binding with the negatively charged sulfonic acid groups of sPEEK [34]. The experimental results in Figure 4 have been fitted using the Langmuir adsorption isotherm expression from Equation (5), which gives a maximum equilibrium adsorption capacity Q_m of 72 mg/g.

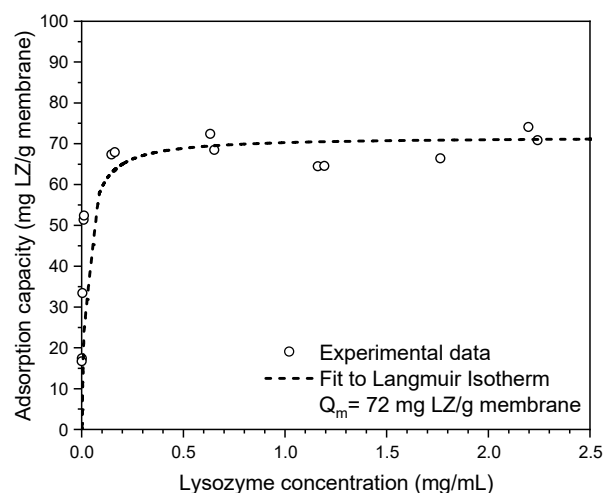


Figure 4. Static adsorption isotherm (at equilibrium concentration, C_e) of lysozyme (LZ) in PBS buffer (pH = 7.4) on an electrospun sPEEK-62 membrane adsorber with a maximum adsorption capacity (Q_m) of 72 mg LZ/g membrane.

The dynamic adsorption capacity of the membrane adsorber is studied by measuring the breakthrough curve, showing the lysozyme load (permeate volume in the adsorption step) versus the eluent concentration (Figure 5). Initially, no lysozyme is detected in the eluent, indicating full adsorption on the membrane adsorber. When the adsorber becomes saturated, with the majority of the adsorption sites occupied, the first lysozyme molecules start to break through, causing a lysozyme increase in the eluent. By convention, the dynamic adsorption capacity is determined at 10% breakthrough ($q_{10\%}$) to minimize product loss. Dynamic adsorption experiments of a stack of 20 membranes loaded with 0.5 mg/mL lysozyme at a flow of 0.1 mL/min show an adsorption capacity at 10% breakthrough of 59.3 mg/g (Figure 5a). This corresponds to a lysozyme adsorption capacity that is comparable to the static adsorption capacity at C_e of 0.05 mg/mL, being the concentration at 10% breakthrough. This means full utilization of the lysozyme adsorption capacity and indicates an almost ideal plug flow in the membrane stack. Furthermore, the dynamic adsorption capacity at varying flow velocity (from 0.1 mL/min to 1 mL/min) showed only small deviations (within the expected-error margin, as reported in the experimental section) and was independent of the set flow velocities, confirming that convective mass transport is dominant in the membrane adsorber stack (Figure 5a–c).

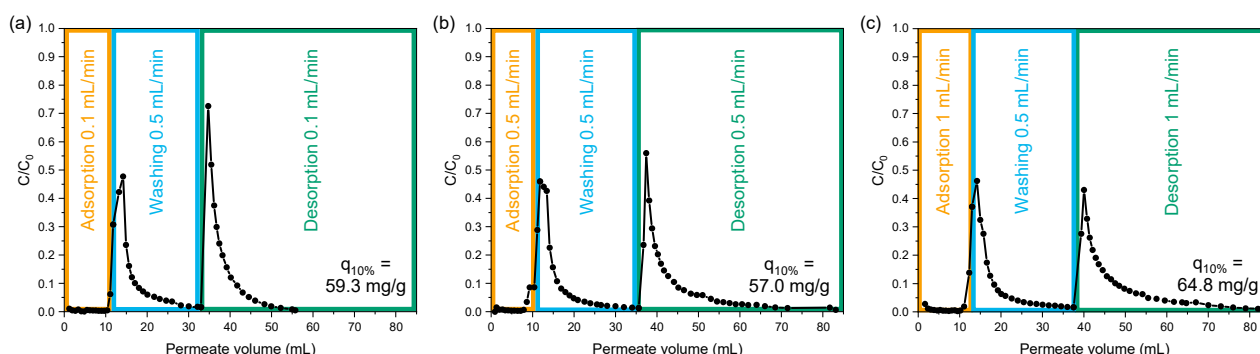


Figure 5. Dynamic lysozyme adsorption and desorption curves of electrospun sPEEK-62 membrane adsorbers with flow velocities of (a) 0.1 mL/min, (b) 0.5 mL/min, and (c) 1 mL/min (0.5 mg LZ/mL, pH 7.4); $q_{10\%}$ is the adsorption capacity at 10% breakthrough.

The peak in the desorption step shows the amount of lysozyme removed from the membrane adsorber using 0.5 M NaCl in PBS buffer, which is $31 \pm 2\%$ of the total adsorbed lysozyme, regardless of the flow velocity. This suggests that lysozyme adsorbs on the

membrane by two modes of adsorption, namely reversible electrostatic interactions, and irreversible hydrophobic interactions. Adsorption with these two modes is supported by the study of Dismer et al. who showed that in the case of a resin with a hydrophobic backbone (polystyrene) functionalized with sulfonic acid groups (such as our sulfonated PEEK), lysozyme binding occurs through electrostatic and hydrophobic interactions [43,44]. Furthermore, computer simulations by Yu et al. indicate that when the lysozyme binds with its hydrophobic region with only four positive residues in its surroundings (in contrast to the other hydrophobic region surrounded by 13 positive residues), desorption is inhibited [45]. Once the hydrophobic binding sites are covered with lysozyme only the reversible electrostatic binding sites remain available, which leads to an overall decrease in lysozyme adsorption capacity in the second and subsequent cycles (Figure 6). The recovery, normalized with the recovery of the first cycle, shows a small increase in the second cycle. This could be attributed to a binding rearrangement from hydrophobic to electrostatic binding, likely due to the change in ionic strength between the desorption step of cycle 1 (0.65 M) and the adsorption step of cycle 2 (0.15 M). At low ionic strength, electrostatic interactions are dominant in protein adsorption, while at higher ionic strength, the charges are screened by the ions in solution, and hydrophobic interactions become dominant in protein adsorption [46,47]. From the third cycle onward, the adsorption capacity and recovery remained fairly constant, indicating that the hydrophobic binding sites are occupied and interactions in these cycles primarily occur at the reversible electrostatic binding sites, i.e., sulfonic acid groups. These findings demonstrate that sPEEK-62 membrane adsorbers are reusable and capable of operating at a constant efficiency after the third cycle.

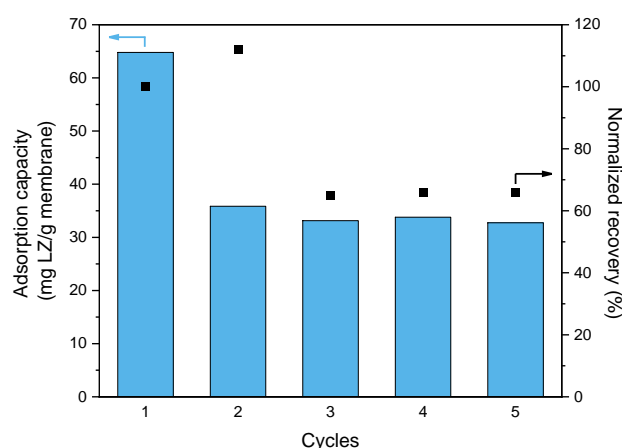


Figure 6. Dynamic lysozyme adsorption capacity and recovery of sPEEK-62 membrane adsorber for 5 cycles (flow velocity 1.0 mL/min, 0.5 mg LZ/mL, pH 7.4). The recovery is normalized with the recovery of the first cycle.

3.2. The Effect of Fiber Diameter

The versatility of electrospinning is explored with a focus on the relationship between fiber diameter and performance. The sPEEK-62 membrane adsorbers with different fiber diameters were fabricated by varying the concentration of the polymer solution in the wire-electrospinning process. The SEM images of the fabricated sPEEK membranes after proton exchange show a variation in fiber diameter from 90 ± 18 nm to 166 ± 18 nm with increasing polymer concentration (Figure 7). Based on our experience, this is the widest range of fiber diameters achievable through wire-electrospinning with this material because lower concentrations of polymer solution yield fibers with beads (as already observed in SEM with 17 wt % sPEEK-62), and higher concentrations are too viscous and prone to gelation, making them unsuitable for electrospinning [25,40]. Small optimizations in the electrospinning process parameters could be made to enlarge the variations in fiber diameter, although it is known that the polymer concentration has the largest effect on fiber diameter [39]. Despite the considerable variations in fiber diameter observed with

SEM, only minor variations in the surface area were measured with BET. Specifically, the measured surface areas were $11.2 \pm 0.7 \text{ m}^2/\text{g}$, $12.3 \pm 2.1 \text{ m}^2/\text{g}$, and $16.5 \pm 1.3 \text{ m}^2/\text{g}$ for the sPEEK-62 membrane adsorbers created with 17 wt %, 19 wt %, and 25 wt % polymer solution, respectively. Surprisingly, the membrane adsorber with the largest fiber diameter has the highest surface area, which could be due to the submicron-scale surface roughness of the fibers. This surface roughness is likely a result of buckling instability during the electrospinning process, where the skin layer formed on the polymer fibers collapses as the solvent evaporates, leading to a wrinkled surface. This phenomenon occurs more frequently with thicker fibers, which have a reduced surface-to-volume ratio and therefore longer drying times, increasing the likelihood of skin-layer formation [48–50]. Consequently, it is more probable that the thickest fiber has the greatest surface roughness, even though it cannot be observed with SEM. In contrast, the membrane adsorber with the smallest fiber diameter could have a reduced surface area due to the formation of beads.

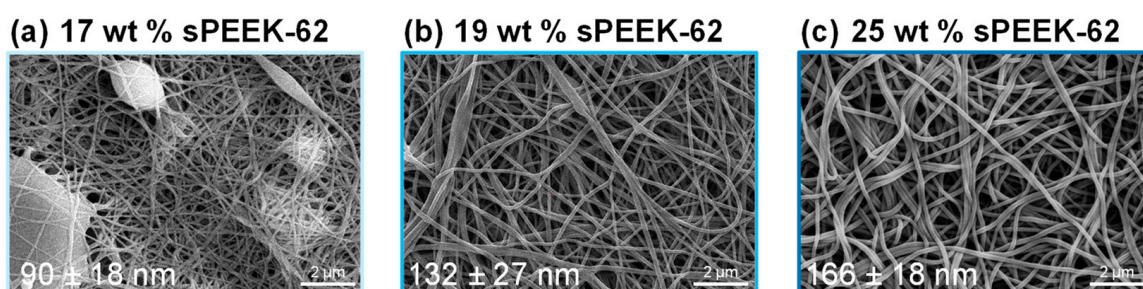


Figure 7. SEM images of sPEEK-62 membrane adsorbers in the H^+ form made by wire-electrospinning using polymer concentrations of (a) 17 wt %, (b) 19 wt %, and (c) 25 wt %. Average fiber diameters are given in the bottom left corner of each image.

For a fair comparison of the dynamic adsorption capacity, it is important to take the pore size distribution into account as it influences the flow distribution through the membrane adsorbers. The porometry results show that the pore size distribution is in the same range for all three samples (Figure 8) and will probably be narrowed down when using a stack of membranes [26,28]. It is worth noting that larger fiber diameters correspond to larger pore sizes. This is because thicker fibers are created by pulling more material from the wire, which makes the space between the fibers wider resulting in larger pores [51–54].

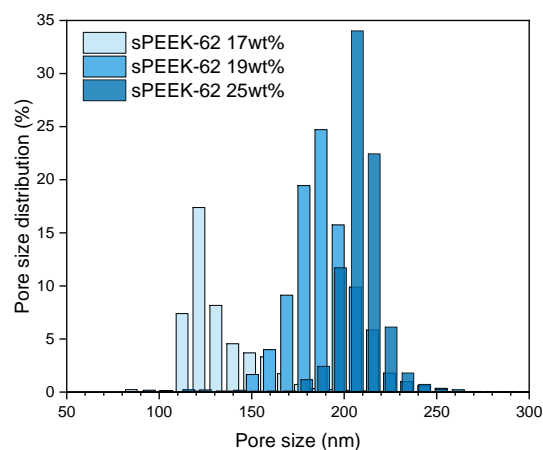


Figure 8. Pore size distribution of sPEEK-62 membrane adsorbers with different fiber diameters (made with polymer concentrations of 17 wt %, 19 wt %, and 25 wt %) as measured with porometry.

Dynamic adsorption measurements with lysozyme are performed to evaluate the performance of all three sPEEK-62 membrane adsorbers. The adsorption capacity results (in mg/g) show that there is no correlation between adsorption capacity and fiber diameter since the total surface area of the membrane did not change with a change in the fiber

diameter (Table 1). When the adsorption capacity is normalized for the surface area, a slight decrease is observed for the sPEEK-62 membranes produced with higher polymer concentrations. As the material properties remain constant for these membranes and the average pore size is larger for those produced with higher polymer concentrations, this suggests that the amount of lysozyme adsorbed per surface area is slightly decreased due to the larger pores. This could be attributed to the longer time required for the lysozyme to reach the surface of the adsorber. Despite this, the recovery values for all three membrane adsorbers were similar, as the material properties, i.e., ratio electrostatic and hydrophobic interactions, are identical for all three sPEEK-62 membranes.

Table 1. Dynamic lysozyme adsorption capacity at 10% breakthrough and recovery of sPEEK-62 membrane adsorbers with different fiber diameters (made with different polymer concentrations of 17 wt %, 19 wt %, and 25 wt %).

| | 17 wt % sPEEK-62 | 19 wt % sPEEK-62 | 25 wt % sPEEK-62 |
|---|---------------------|---------------------|---------------------|
| BET surface area (m²) | 11.2 ± 0.7 | 12.3 ± 2.1 | 16.5 ± 1.3 |
| Adsorption capacity (mg/g) | 66.0 ± 6.4 | 60.1 ± 6.6 | 76.0 ± 3.0 |
| Adsorption capacity (mg/m²) | 5.9 ± 0.7 | 4.9 ± 1.0 | 4.6 ± 0.4 |
| Recovery (%) | 37 ± 11% | 35 ± 6% | 36 ± 3% |

3.3. The Effect of Sulfonation Degree

The versatility of sPEEK membrane adsorbers is explored by using the sPEEK of variable sulfonation degrees (52%, 62%, and 72%), as validated by H-NMR using the method of Zaidi et al. [55]. The sulfonation degree determines the number of functional groups present for adsorption. First, the properties of the different sPEEK polymers are studied by measuring the water uptake and swelling behavior of cast sPEEK films (Table 2). The water uptake and swelling thickness properties of sPEEK-52 and sPEEK-62 are rather similar, whereas sPEEK-72 shows a substantial increase in both the water uptake and the swelling thickness. This is supported by Zaidi et al. where they show that the water uptake increases linearly with a sulfonation degree up to 65% (with a reported water uptake of 33%), followed by a rapid increase above a sulfonation degree of 70% (with a water uptake of 47% at a sulfonation degree of 72%) [55]. The high density of SO₃H groups in the highly sulfonated sPEEK can form clusters that absorb more water, explaining the rapid increase in water uptake [55,56]. The high water uptake results in severe swelling and gelation affecting the dimensional stability; at higher sulfonation degree values (100%) the polymer becomes even water soluble. Therefore sPEEK-72 was selected as the upper limit in sulfonation degree.

Table 2. Water uptake and swelling thickness of cast sPEEK films and the ion-exchange capacity of electrospun sPEEK membranes.

| | sPEEK-52 | sPEEK-62 | sPEEK-72 |
|--------------------------------------|-------------|-------------|-------------|
| Water uptake (%) | 34 ± 5% | 39 ± 2% | 51 ± 7% |
| Swelling thickness (%) | 11 ± 1% | 12 ± 9% | 18 ± 8% |
| Ion-exchange capacity (meq/g) | 1.30 ± 0.02 | 1.56 ± 0.05 | 1.89 ± 0.09 |

The experimentally determined ion-exchange capacity of the electrospun membranes is reported in Table 2. The quantity of accessible functional groups increases using sPEEK with a higher sulfonation degree. It should be noted that the ion-exchange capacity represents the overall number of functional cationic groups available for small ions that can penetrate inside the fiber. However, it is unlikely that lysozyme, with a dimension of approximately 4.5 × 3 × 3 nm [42], has access to the subsurface sulfonic acid groups, resulting in a lower quantity of functional groups available for lysozyme binding compared to the measured ion-exchange capacity.

The properties of the sPEEK polymer change with the sulfonation degree. In the electrospinning process, the charge mainly accumulates at the surface of the liquid, which destabilizes the meniscus of the droplet and changes the jet formation. For this reason, the electrospinning parameters had to be adjusted for every polymer solution (22 wt % sPEEK-52, 19 wt % sPEEK-62, and 23 wt % sPEEK-72), as described in the experimental section. Despite efforts to achieve uniform thickness in the sPEEK fibers of varying sulfonation degrees, variations in fiber diameter were observed in the SEM images (Figure 9). Additionally, the fusion of some fibers was observed in the sPEEK-72 membrane, which may be attributed to the high degree of swelling of this polymer. As a result, an increased average diameter is measured compared to the pristine membrane, which had an average diameter of 158 ± 35 nm (SEM image not shown).

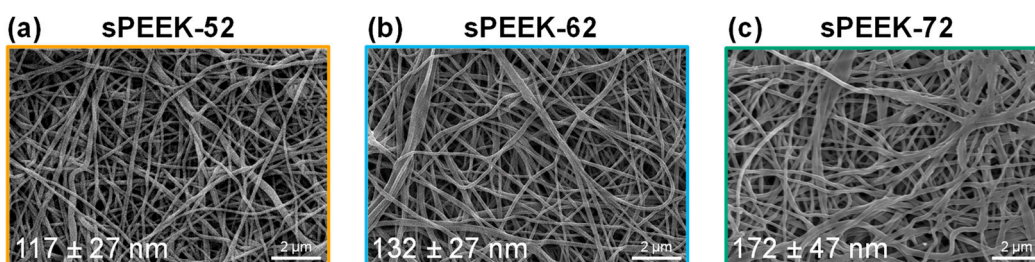


Figure 9. SEM images of membrane adsorbers in the H⁺ form made by wire-electrospinning using sPEEK with different sulfonation degrees of (a) 52%, (b) 62%, and (c) 72%. Average fiber diameters are given in the bottom left corner of each image.

The surface areas of the membranes, as measured with BET, were 14.9 ± 2.9 m²/g, 12.3 ± 2.1 m²/g, and 9.5 ± 2.4 m²/g for sPEEK-52, sPEEK-62, and sPEEK-72, respectively. The BET measurements show a reduction in surface area for the sPEEK-72 membrane compared to the sPEEK-62 membrane, likely due to the fusion of fibers, as observed in SEM. Additionally, the sPEEK-52 membrane shows an even higher surface area than the sPEEK-62 membrane with the smallest fiber diameter (11.2 ± 0.7 m²/g, 17 wt % sPEEK-62, discussed in the previous section), despite having a slightly higher fiber diameter. This can be attributed to a more homogenous fiber formation with sPEEK-52 resulting in a higher surface area.

Additionally, porometry showed that the sPEEK-52 membranes had a narrower pore size distribution, indicating more homogeneity of the fibrous structure (Figure 10). The average pore size of sPEEK-72 is slightly smaller than that of sPEEK-62, although sPEEK-72 has a higher fiber diameter. This reduction in pore size is likely due to the higher swelling of sPEEK-72.

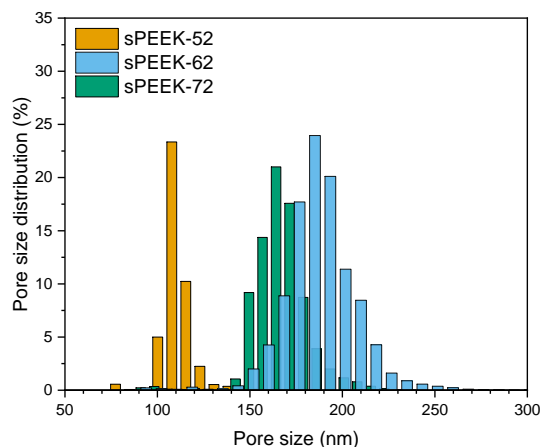


Figure 10. Pore size distribution of the sPEEK membrane adsorbers in the H⁺ form with different sulfonation degrees (52%, 62%, and 72%) as measured with porometry.

The effect of sulfonation degree on the membrane performance is studied by measuring the dynamic lysozyme adsorption and desorption curves. The results show that the sulfonation degree, i.e., the number of functional groups per unit mass, did not directly influence the lysozyme adsorption capacity in mg/g, as sPEEK-52 with the lowest functional-group density exhibits the highest adsorption capacity (Table 3). However, when considering the adsorption capacity in mg/m², which normalizes for surface area, the adsorption capacity increased with increasing sulfonation degree.

Table 3. Dynamic lysozyme adsorption capacity at 10% breakthrough and recovery of sPEEK membrane adsorbers with different sulfonation degrees (52%, 62%, and 72%).

| | sPEEK-52 | sPEEK-62 | sPEEK-72 |
|---|------------|------------|------------|
| BET surface area (m²) | 14.9 ± 2.9 | 12.3 ± 2.1 | 9.5 ± 2.4 |
| Adsorption capacity (mg/g) | 65.7 ± 5.4 | 60.1 ± 6.6 | 63.9 ± 3.4 |
| Adsorption capacity (mg/m²) | 4.4 ± 0.9 | 4.9 ± 1.0 | 6.7 ± 1.7 |
| Recovery (%) | 35 ± 5% | 35 ± 6% | 43 ± 7% |

The literature has shown that lysozyme with a net charge of +8 at neutral pH (17 positively charged residues and 9 negatively charged residues) binds with four positive key residues on the negatively charged surface of the membrane adsorber [44,46]. The orientation of lysozyme on the membrane surface depends on the ligand type, ionic strength, and surface interactions, and can be side-on (with its long axis parallel to the surface) or, more efficiently, end-on [44,46]. Depending on the orientation, the lysozyme monolayer surface coverage varies from 2–3 mg/m² [57]. The adsorption capacity in mg/m² shows that all our membranes are completely covered by lysozyme, without being limited in the number of functional groups. In fact, the coverage seems to exceed that of a monolayer. This is due to the discrepancy in measurement conditions. The BET is measured in the dry state, while the adsorption is measured in the wet state. The swelling of the fibers creates more spaces for lysozyme adsorption and increases the surface area beyond what is measured in the dry state using BET. This also explains the high adsorption capacity in mg/m² of the sPEEK-72 membrane adsorber, as severe swelling of this sample is observed increasing the surface area in the wet state. It is worth noting that the standard deviation for this sample is high, which may be attributed to the swelling resulting in fiber fusion which can vary between samples. Overall, the adsorption data, combined with the surface area, suggest that the swelling, which is linked to the sulfonation degree, is the main factor that increases the adsorption capacity.

However, this positive effect of swelling is not unlimited; with an increase in sulfonation degree, fiber swelling can increase the flow resistance. Pump stalling has been observed when the flow rates exceeded 1 mL/min, which limits the throughput and reduces the efficiency of the adsorption process. Moreover, excessive fiber swelling can create dead zones and promote channeling that induces a decrease in the dynamic adsorption capacity.

The lysozyme recovery is comparable for the sPEEK-52 and sPEEK-62 membranes, but it increases for the sPEEK-72 membrane. This can be attributed to the higher number of charged groups in the sPEEK-72 membrane, which also makes the surface more hydrophilic. As a result, the reversible electrostatic interactions are favored over the irreversible hydrophobic interactions, leading to easier desorption and a higher lysozyme recovery.

To put this work into perspective, the adsorption capacity of our membrane is similar to the adsorption capacity of Sartobind[®] S (52 mg/g), a commercial membrane adsorber with grafted polymers containing sulfonic acid groups [58]. However, in the literature, membrane adsorbers that surpass the adsorption capacity of our sPEEK are reported [59,60]. The drawback of these membrane adsorbers is that they require functionalization through multistep synthesis, whereas our sPEEK membranes can be directly used after electrospinning, making them easy to scale-up. Electrospinning offers the opportunity to tailor the fiber diameter; however, only small changes in surface area and, therefore, adsorption capacity are observed. To enhance the adsorption capacity, co-electrospinning of func-

tionalized adsorptive/affinity particles can be explored, which offer additional selective binding sites [17,23,24,61]. Another approach is grafting polymer brushes, which enable multilayer stacking of proteins, leading to high effective surface areas [62–65]. Increasing the number of functional groups is also a strategy for enhancing adsorption capacity, as done in this study by increasing the number of functional groups. However, an increased degree of sulfonation did not result in an increased lysozyme adsorption capacity. This is because lysozyme covers many more charged groups than itself needs to bind on the membrane adsorber; therefore, in this specific case of lysozyme binding, there is no advantage in increasing the charge density of the membrane adsorber. Conversely, smaller target molecules that do not cover the excess charge and that do not experience steric hindrance can benefit from a higher sulfonation degree. From this point of view, testing sPEEK membrane adsorbers has provided valuable insights into the relationship between surface area, swelling, and adsorption capacity.

4. Conclusions

In this work, a wire-electrospun sPEEK membrane adsorber was developed without the need for any additional functionalization step. This sPEEK-based membrane adsorber has a dynamic lysozyme adsorption capacity (at 10% breakthrough) of 59.3 mg/g. This is comparable to the static adsorption capacity at the same concentration, demonstrating full utilization of the lysozyme adsorption capacity and indicating an almost ideal plug flow in the membrane stack. The dynamic adsorption capacity is independent of the flow rate (varying from 0.1 to 1 mL/min), indicating that convective mass transport is dominant, and adsorption is not limited by diffusion. Cycling the dynamic adsorption capacity experiment suggests that lysozyme binds by two modes of adsorption, namely reversible electrostatic interactions and irreversible hydrophobic interactions. Furthermore, this experiment demonstrates that the sPEEK membrane adsorbers are reusable and capable of operating at a constant efficiency after the third cycle. The versatility of electrospinning was explored by creating nanofibrous mats with variable diameters, ranging from 90 ± 18 to 166 ± 18 nm. The dynamic adsorption capacity and surface area in this range of fiber diameters were minimally affected by variations in fiber diameter. Therefore, electrospinning offers membrane adsorbers with consistent performance, even when the process yields variations in fiber diameter. Additionally, the effect of the functional-group density on the binding capacity was studied by creating membrane adsorbers from sPEEK with different sulfonation degrees (52%, 62%, and 72%). In all presented cases, at least a monolayer coverage was obtained. This suggests that there is already an abundant number of functional groups available within the area occupied by a lysozyme molecule, and, therefore, increasing the functional-group density does not enhance the adsorption capacity. In brief, our study has demonstrated the successful development of a membrane adsorber that can be immediately used for binding positively charged molecules. However, the potential for performance tuning through adjustments to fiber diameter or functional-group density is limited.

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Article

Bactericide Activity of Cellulose Acetate/Silver Nanoparticles Asymmetric Membranes: Surfaces and Porous Structures Role

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Abstract: The antibacterial properties of cellulose acetate/silver nanoparticles (AgNP) ultrafiltration membranes were correlated with their integral asymmetric porous structures, emphasizing the distinct features of each side of the membranes, that is, the active and porous layers surfaces. Composite membranes were prepared from casting solutions incorporating polyvinylpyrrolidone-covered AgNP using the phase inversion technique. The variation of the ratio acetone/formamide and the AgNP content resulted in a wide range of asymmetric porous structures with different hydraulic permeabilities. Comprehensive studies assessing the antibacterial activity against *Escherichia coli* (cell death and growth inhibition of bacteria in water) were performed on both membrane surfaces and in *E. coli* suspensions. The results were correlated with the surface chemical composition assessed by XPS. The silver-free membranes presented a generalized growth of *E. coli*, which is in contrast with the inhibition patterns displayed by the membranes containing AgNP. For the surface bactericide test, the growth inhibition depends on the accessibility of *E. coli* to the silver present in the membrane; as the XPS results show, the more permeable membranes (CA30 and CA34 series) have higher silver signal detected by XPS, which is correlated with a higher growth inhibition. On the other hand, the inhibition action is independent of the membrane porous structure when the membrane is deeply immersed in an *E. coli* inoculated suspension, presenting almost complete growth inhibition.

Keywords: cellulose acetate/silver nanocomposite ultrafiltration membranes; antimicrobial properties; polyvinylpyrrolidone-coated silver nanoparticles; surface characterization

1. Introduction

Membrane pressure-driven processes are efficient, sustainable, and easy-to handle separation technologies even though membrane fouling still poses major drawbacks of flux reduction, modification of membrane selectivity, and reduction of membrane lifetime [1].

In particular, biofouling is originated by the deposition of microorganisms and extracellular polymeric substances (EPS) and causes high concern because microorganisms multiply over time and, even if the membrane can be regenerated, some cells remain and can multiply, re-forming a biofilm in a short time [2]. One of the most used solutions to overcome this limitation is the incorporation of nanoparticles in the polymeric structure of the membranes, which combines the properties of polymeric membranes with the characteristics of nanoparticles, intending to improve the mechanical properties of the membranes and enhance the permeation performance. Due to their known bactericidal potential, silver nanoparticles (AgNP) have been widely used to incorporate into membranes [3]. Qi et al. developed a one-pot method of synthesizing AgNP and immobilizing them by soaking a polysulfone ultrafiltration membrane in a mixture of silver nitrate, poly(ethylene glycol)methyl ether diol, and dopamine. The results indicated that membranes exhibited outstanding antibacterial properties with more than 90% of antibacterial efficiency against *Escherichia coli* and *Staphylococcus aureus* [4]. More recently, nanosilver stabilized with the polyhexamethylene biguanide hydrochloride (PHMB) was incorporated in situ onto the thin-film composite (TFC) NF90 membrane surface, and the results demonstrate that the system has a profound antibacterial effect against *Staphylococcus aureus* and *Escherichia coli* bacteria [5]. Peng et al. reported the deposition of silver nanoparticles (AgNP) on tunicate cellulose nanocrystals (TCNCs) by in situ hydrothermal reduction of silver nitrate, showing excellent antibacterial efficacy against *Staphylococcus aureus* and *Escherichia coli* [6].

In our earlier work, as an effort to overcome the biofilm phenomena, nanofiltration cellulose acetate/silver nanoparticle membranes with antimicrobial properties were developed [7].

Ultrafiltration (UF) cellulose acetate/silver nanoparticles (CA/Ag) membranes were prepared by phase inversion with polyvinylpyrrolidone-stabilized silver nanoparticles (AgNP) synthesized ex situ and incorporated in the casting solutions, with the solvent system having different acetone/formamide ratios [8]. The membranes casted with different acetone/formamide ratios displayed a range of asymmetric porous structures with tailored selective permeation properties. In the present work, the surfaces of these asymmetrical membranes were characterized by X-ray photoelectron spectroscopy (XPS), paying particular attention to the active layer to have a better understanding of the silver oxidation state and amount and its correlation with the antimicrobial effect against *Escherichia coli*. The interaction nanoparticles–bacteria and, therefore, the bactericide properties could be better explained by knowing the surface characteristics of the modified membranes. Therefore, it was aimed to shed light on the surface properties of the membranes using a surface driven technique such as XPS complemented with the analysis of the structural characteristics of the AgNP using X-ray diffraction.

Hypothesis:

The integral asymmetric porous structures of cellulose acetate membranes, some of them incorporating silver nanoparticles, are characterized by distinct features of the surfaces of the active and porous layers. The antibacterial activity against *Escherichia coli* in both silver-free and AgNP membranes can be correlated with the surface chemical composition, as well as with the silver accessibility, by detailed and systematic X-ray photoelectron spectroscopy (XPS) studies. Such analysis was never reported before.

2. Materials and Methods

2.1. Materials and Chemicals

Polyvinylpyrrolidone (PVP) (BDH Chemicals, Dubai, UAE, ~44,000 g/mol), sodium borohydride (Panreac, Barcelona, Spain, >96% purity), silver nitrate (Panreac, Barcelona, Spain, >99.8% purity), cellulose acetate (Sigma-Aldrich, Darmstadt, Germany, ~30,000 g/mol, 39.8 wt% acetyl, corresponding to a degree of substitution of 2.5), formamide (Sigma-Aldrich, Darmstadt, Germany, ≥99.5% purity), acetone (Labchem, Zelienople, PA, USA, 99.9% purity), polyethylene glycol (PEG) (Merck-Schuchardt, Munich, Germany, –1000, 3000, 6000, 8000, 10,000 and 20,000 Da), dextran (Amersham Phar-

macia Biotech AB, Staffanstorp, Sweden, 40,000 Da), nutrient broth (Becton, Dickinson and Company, Franklin Lakes, NJ, USA), yeast extract agar (YEA) (Biokar, Allonne, France), and *Escherichia coli* (*E. coli*) strain ATCC 700078 (WG5) were used.

2.2. Synthesis of Silver Nanoparticles

Silver nanoparticles were prepared and stabilized with PVP following a synthesis procedure adapted from Tashdjian et al. (2013) [9] and Figueiredo et al. (2015) [8].

2.3. Membranes Preparation

Cellulose acetate (CA) and cellulose acetate with AgNP (CA/Ag) flat sheet membranes were prepared by the phase inversion method [10,11].

The CA/Ag casting solutions were prepared with 0.1 and 0.4 wt% Ag, by the addition of the dispersion of AgNP in the CA casting solutions of different compositions (CA/acetone/formamide ratios used were 17/61/22, 17/53/30, and 17/49/34). The casting solutions were prepared at room temperature, according to the compositions and conditions presented in Table 1.

Table 1. Casting solutions compositions and film casting conditions of the CA membranes free of silver (CA22, CA30, and CA34), with 0.1wt% Ag (CA22Ag0.1, CA30Ag0.1, and CA34Ag0.1) and with 0.4wt% Ag (CA22Ag0.4, CA30Ag0.4, and CA34Ag0.4).

| Membrane | Casting Solution (wt%) | | | | | | | | |
|--------------------------------|------------------------|-----------|-----------|------|---|-----------|-------|-----------|-----------|
| | CA22 | CA22Ag0.1 | CA22Ag0.4 | CA30 | CA30Ag0.1 | CA30Ag0.4 | CA34 | CA34Ag0.1 | CA34Ag0.4 |
| Cellulose acetate | 17.0 | 16.4 | 15.3 | 17.0 | 16.4 | 15.3 | 17.0 | 16.4 | 15.3 |
| Formamide | 22.0 | 21.2 | 19.8 | 30.0 | 29.0 | 27.0 | 34.0 | 32.8 | 30.6 |
| Acetone | 61.0 | 58.9 | 54.9 | 53.0 | 51.1 | 47.7 | 49.0 | 47.3 | 44.1 |
| AgNPs | | | | | | | | | |
| Dispersion | - | 3.32 | 9.59 | - | 3.32 | 9.59 | - | 3.32 | 9.59 |
| Silver | - | 0.14 | 0.41 | - | 0.14 | 0.42 | - | 0.14 | 0.41 |
| Casting Conditions | | | | | | | | | |
| Temperature of solution (°C) | | | | | | | 20–25 | | |
| Temperature of atmosphere (°C) | | | | | | | 20–25 | | |
| Solvent evaporation time (min) | | | | | | | 0.5 | | |
| Gelation medium | | | | | Water at temperature of 0–3 °C during 1–2 h | | | | |

The CA and CA/Ag (Table 1) casting solutions were prepared according to the procedure reported by Figueiredo et al. (2015) [8]. To prepare the CA/Ag casting solutions, the AgNP dispersions were previously introduced in the acetone. The solutions were cast on a clean glass plate using a casting knife, with the gate height fixed at 0.25 mm.

2.4. Permeation Experiments

Permeation experiments were performed for pure water to characterize the membranes in terms of hydraulic permeability and, for organic solutes to determine the molecular weight cut-off (MWCO) of the membranes. The permeation experiments were performed in flat plate units with two detachable parts separated by a porous plate (membrane support), with a membrane surface area of $13.2 \times 10^{-4} \text{ m}^2$. Before the permeation experiments, the membranes were compacted for 2 h with deionized water at a transmembrane pressure of 3 bar.

2.4.1. Pure Water Permeation Experiments

The hydraulic permeability (L_p) is obtained by the slope of the straight line of pure water permeate fluxes (J_p) as a function of the transmembrane pressure (ΔP). The transmembrane pressures ranged from 1 to 3 bar with a flow rate of 180 L h^{-1} .

2.4.2. Molecular Weight Cut-Off Experiments

The MWCO parameter is defined by the molecular weight of a given macromolecule whose rejection is higher than 91% and is obtained using the rejection coefficients of organic solutes, such as polyethylene glycol (PEG), with different molecular weight (Merck Schuchardt, Munich, Germany – 1000, 3000, 6000, 8000, 10,000, and 20,000 Da) and Dextran (Amersham Pharmacia Biotech AB, Staffanstorp, Sweden – 40,000 Da).

The apparent rejection coefficients (f) are defined as $f = (C_f - C_p)/C_f$, where C_f and C_p are the organic solute concentrations in the bulk of the feed solution and of the permeate solution, respectively. To determine the MWCO, the curve of $\log(f/(1-f))$ is plotted as a function of the molecular weight of the organic solutes used, and the interception of this curve with the horizontal line corresponding to a rejection of 91% gives the MWCO of the membrane.

The permeation experiments were conducted using aqueous solutions of the organic solutes at 600 ppm and with a flow rate of 180 L h^{-1} at 1 bar. The organic solute concentrations in the feed and permeate solutions were determined in terms of total organic carbon (TOC) content, using a Dohrmann Total Organic Carbon Analyzer Model DC-85A.

2.5. X-ray Diffraction Analysis

The presence of crystalline silver in the nanoparticles was analyzed using X-ray diffraction (XRD). The characterization was performed on a dried powder sample, which was obtained by precipitation of the nanoparticles, adding acetone to the aqueous dispersion and washing with water and isopropanol. XRD analysis was carried out with a Bruker D8 Advance Diffractometer (Cu $K\alpha$ radiation, $\lambda = 1.54060 \text{ \AA}$; 40 kV, 35 mA), using a silicon single crystal ((911) orientation) as a sample holder to minimize scattering. The powder sample was analyzed in the range of 4 to 90° 2θ , with a step size of 0.02° and a counting time of 3.35 s. To estimate the average crystallite sizes and specific crystallite size anisotropy from diffraction peak broadening reflections (using the Scherrer equation), the Rietveld refinement (Le Bail method) was performed using the Bruker software TOPAS 4.2. For each Rietveld refinement, the instrumental correction was included as determined with a standard powder sample Al_2O_3 (from National Institute of Standards and Technology (NIST), as standard reference material, NIST 1976a). The diffraction pattern was analyzed qualitatively using the PDF-2 (2010) of ICDD databases.

2.6. X-ray Photoelectron Spectroscopy

Silver nanoparticle dispersions and membrane surfaces of both active and porous layers were characterized by XPS using a XSAM800 dual anode spectrometer from KRATOS. Operating conditions, data acquisition, and data treatment were described elsewhere [12]. Binding energies were corrected from the charge shift using the binding energy of aliphatic carbon as reference (285.0 eV). The following sensitivity factors were used for quantitative purposes: 0.25 for C 1s; 0.66 for O 1s; 0.42 for N 1s; and 4.05 for Ag 3d. Membranes and the AgNP dispersions were dried in a vacuum chamber before the XPS analysis. Unlike the AgNP used for XRD analysis, the particles were not purified, and the dispersion was analyzed as prepared.

2.7. Membranes Bactericide Properties

The bactericide properties of the CA and CA/Ag membranes were evaluated through tests performed under different experimental conditions, where the capability of the membranes to inhibit the generalized growth of bacteria in water, specifically of *E. coli*, was tested. The specific tests to evaluate the growth inhibition of *E. coli* in the presence of the

CA and CA/Ag membranes were conducted in three different ways designated by surface test, suspension test, and cell death test. The bactericide properties of the membranes were tested against *E. coli* strain ATCC 700078, also known as WG5. *E. coli* was grown in nutrient broth at 37 °C with orbital shaking (200 rpm) until the exponential growth phase was reached. Before incubation with the bacterial strain, each side of the membranes was sterilized for 30 min under ultraviolet (UV) radiation. The effectiveness of this sterilization was confirmed by incubating a sample of the sterilized membrane at 37 °C for 24 h in a Petri dish with YEA.

2.7.1. Surface Test

The surfaces of the active and porous layers were tested for their antibacterial properties. A sample of the membrane was placed in a Petri dish with YEA, and 100 µL of *E. coli* suspension (2.5×10^5 colony forming units (CFU)) was spread on the respective membrane surface. The plate was incubated at 37 °C for 24 h and the growth inhibition was evaluated.

2.7.2. Suspension Test

A sample of the membrane was placed in a flask with 2 mL of *E. coli* suspension (2.5×10^5 CFU) covering the membrane. The flask was capped and maintained at rest at room temperature for 24 h. On the next day, 10 mL of sterile water was added to the flask. The membrane was removed from the suspension and placed in a Petri dish with YEA. In parallel, the inoculated suspension was filtered through a Whatman membrane filter (0.2 µm), and this was placed in a Petri dish with YEA. Both were incubated at 37 °C for 24 h and the growth inhibition was evaluated.

2.7.3. Cell Death Test

A membrane sample was immersed in a solution containing 9 mL of sterilized water and 1 mL of the exponential-growth-phase culture of *E. coli* in a nutrient broth medium (8×10^7 CFU). The membrane was kept in the inoculated suspension at 37 °C with orbital shaking (200 rpm) for 18 h. To validate the bactericide effect of the membranes, samples of the suspension were collected at different contact times (143 min, 190 min, and 975 min), with the final sample taken at 18 h (1093 min) of incubation. At each time, 100 µL of the direct sample and several dilutions (10^{-2} , 10^{-4} , 10^{-5} , and 10^{-6}) were inoculated on YEA. The plates were incubated at 37 °C for 24 h, and the number of colonies was counted.

2.7.4. Growth Inhibition of Bacteria in the Water

To test the membrane's capability to inhibit the growth of bacteria in water, a membrane was immersed in water for 80 days. A sample of 10 mL of the water was filtered through a membrane filter of 0.45 µm (Whatman), the membrane filter was placed in a Petri dish with YEA and was incubated at 37 °C for 24 h. After incubation, the number of colonies was counted.

3. Results and Discussion

3.1. Membrane Synthesis and Permeation Experiments

Different asymmetric structures of cellulose acetate/AgNP (CA/Ag) membranes were synthesized with 0.1wt% Ag (CA22Ag0.1, CA30Ag0.1, and CA34Ag0.1) and 0.4wt% Ag (CA22A0.4, CA30Ag0.4, and CA34Ag0.4). Cellulose acetate (CA) membranes (CA22, CA30, and CA34) were also prepared to be used as references.

The pure water fluxes and the characteristic parameters of hydraulic permeability and MWCO of the synthesized membranes are listed in Table 2.

Table 2. CA and CA/Ag pure water fluxes (J_p), hydraulic permeabilities (L_p), and MWCO (membrane surface area: $13.2 \times 10^{-4} \text{ m}^2$).

| Membrane | J_p ($\text{kg m}^{-2} \text{ h}^{-1}$) | | | L_p ($\text{kg m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$) | MWCO (kDa) |
|-----------|---|--------|--------|--|------------|
| | 1 bar | 2 bar | 3 bar | | |
| CA22 | 2.62 | 6.62 | 11.03 | 3.50 | 4.17 |
| CA22Ag0.1 | 5.31 | 13.90 | 21.87 | 7.05 | 6.86 |
| CA22Ag0.4 | 9.75 | 22.40 | 33.89 | 11.16 | 15.35 |
| CA30 | 24.43 | 62.73 | 99.59 | 32.05 | 8.32 |
| CA30Ag0.1 | 55.06 | 136.59 | 202.57 | 66.85 | 17.58 |
| CA30Ag0.4 | 58.28 | 123.51 | 176.93 | 59.72 | 26.52 |
| CA34 | 68.55 | 176.68 | 236.81 | 80.88 | 31.43 |
| CA34Ag0.1 | 76.44 | 187.99 | 243.42 | 84.48 | 41.05 |
| CA34Ag0.4 | 62.60 | 123.17 | 172.80 | 59.10 | 31.96 |

The addition of 0.1% wt Ag introduced an increase of hydraulic permeability values in all the prepared membranes (CA22Ag0.1, CA30Ag0.1, and CA34Ag0.1), and the incorporation of 0.4% wt Ag only enhanced the permeability of the CA22 and CA30. On the other hand, the two membranes with 0.4% wt Ag, CA30Ag0.4 and CA34Ag0.4, presented lower hydraulic permeability values when compared with the CA30Ag0.1 and CA34Ag0.1 membranes. It was observed that for the tighter membranes (CA22), the hydraulic permeability was enhanced with the incorporation of silver nanoparticles in both concentrations; for the CA30 membranes, an increase of permeability was observed with the addition of 0.1% wt Ag, but the incorporation of 0.4% wt Ag induced a decrease in the hydraulic permeability value when compared with the CA30Ag0.1. The hydraulic permeability value for the more porous structure (CA34) slightly increased (5%) with the incorporation of 0.1% wt Ag and presented a strong decrease after the addition of the higher content of AgNPs (CA34Ag0.4), the results obtained being even lower than for the CA34 (with no AgNP). Taurozzi et al. (2008) [13] observed only an increase in permeability after silver nanoparticles incorporation for the denser polysulfone membrane. A higher silver nanoparticles content in casting solutions enhanced the hydraulic permeability of the denser CA22 membranes; however, for the CA30 and CA34 membranes, the increase of silver content from 0.1 to 0.4%Ag led to a decrease of the hydraulic permeability, which may be due to the pore-blocking by the AgNP [14] as a result of aggregation due to a lower compatibility between the silver dispersion and the casting solutions.

3.2. XRD Results

To obtain detailed information about the composition, crystalline structure, and mean size of nanocrystalline silver particles in the nanoparticle dispersion used to prepare the modified membranes, a quantitative phase analysis of the diffraction pattern of the precipitated particles (Figure 1) was performed using the computer program TOPAS 4.2. Precipitation of the particles was carried out by taking 1 mL of the nanoparticle dispersion, adding 1 mL of acetone, and leaving the mixture for 1 min in an ultrasound bath. After centrifugation, the supernatant was removed, and the nanoparticles were mixed thoroughly in 1 mL of water with the aid of the ultrasonicator to ensure dispersion. After that, 1 mL of acetone was added, and the entire washing procedure was repeated 6 times. The particles were dried under vacuum for several days.

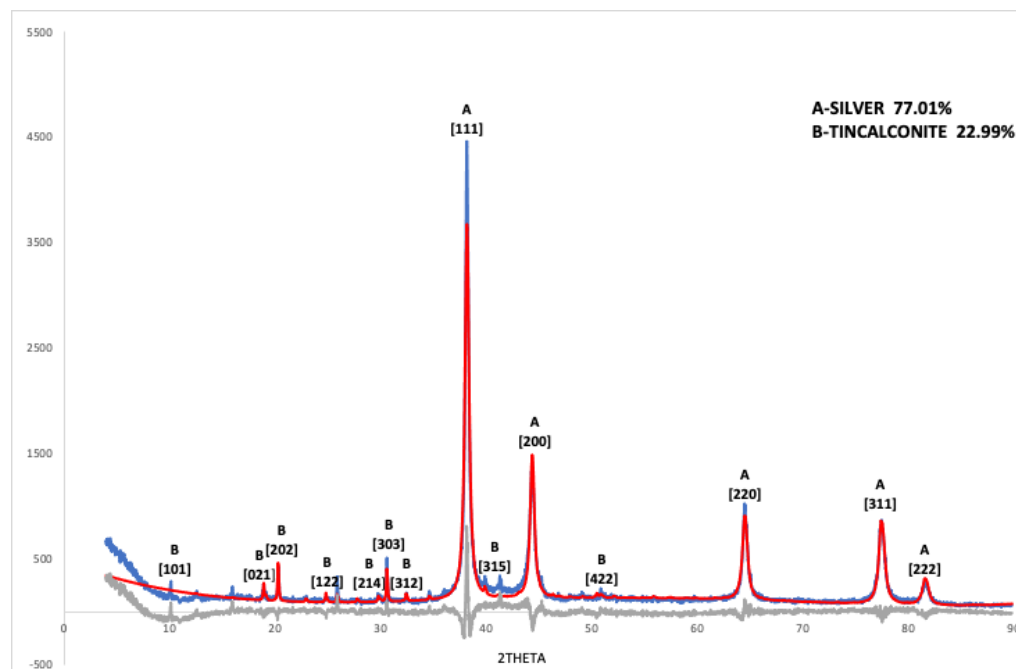


Figure 1. XRD measurements of powders obtained by precipitation from the aqueous dispersion (blue) and Rietveld refinement (red). Difference (Measured pattern—Rietveld refinement) (grey).

For the refinement, a crystal structure of silver according to PDF 01-087-0717 Silver 3C was applied. The atomic positions were determined by Rietveld refinement, obtaining a unit cell value of $a = 4.08681(37) \text{ \AA}$ assuming Fm-3m symmetry and an average silver particle size of 22.6 nm (Table 3). For the fit of the sample, a Rwp value of 20.82% was obtained, Rp: 15.82, and GOF: 2.89. In addition to silver (77%), the sample contained 23% of tincalconite (PDF 00-007-0277, $\text{Na}_2\text{B}_4\text{O}_7 \cdot 5\text{H}_2\text{O}$ syn), resulting from precipitation during the washing procedure of the oxidation products of the borohydride ions. As demonstrated from XPS characterization, boron/sodium compounds were not present in the modified membranes, showing that the soluble products of silver reduction do not affect the membrane composition during preparation.

Table 3. Rietveld refinement of silver nanoparticles.

| | | | | | | | |
|---------------------------------------|---------------|---------------------------------|----------------------|---------|------|------|-----|
| Phase Name: | Silver | Scale: | 0.0007801(63) | | | | |
| R-Bragg: | 2.028 | Cell Mass: | 431.470 | | | | |
| Space Group: | Fm-3m | Cell Volume (\AA^3): | 68.258(19) | | | | |
| Wt%—Rietveld: | | 77.0(12) | | | | | |
| Crystallite Size | | | | | | | |
| Cryst. Size Lorentzian (nm): | | 22.26(28) | | | | | |
| Cryst. Linear Absorp. Coeff. (1/cm): | | 2247.09(61) | | | | | |
| Crystal Density (g/cm^3): | | 10.4965(29) | | | | | |
| Preferred Orientation (Dir 1: 1 1 1): | | 0.7610(77) | | | | | |
| Lattice parameters | | | | | | | |
| a (\approx): | | 4.08681(37) | | | | | |
| Site | NP | X | Y | Z | Atom | Occ. | Beq |
| Ag | 4 | 0.00000 | 0.00000 | 0.00000 | Ag | 1 | 0 |

3.3. XPS Results

3.3.1. Silver Nanoparticles Dispersion

XPS detailed regions of silver nanoparticles dispersion (AgNP) and qualitative XPS analysis are shown in Figures 2 and 3.

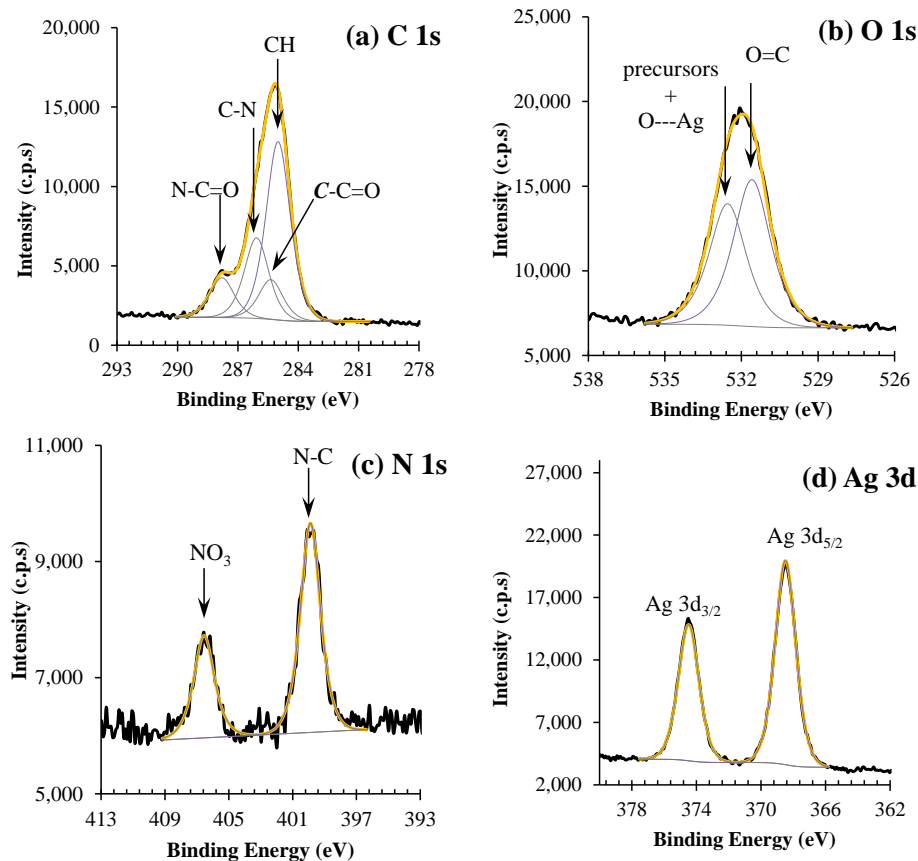


Figure 2. XPS regions of (a–d) for silver nanoparticle dispersions (AgNP).

| (a) Binding Energy (eV) | | | | |
|-------------------------|-------|-------|----------------------|----------------------|
| C 1s | O 1s | N 1s | Ag 3d _{5/2} | Ag 3d _{3/2} |
| 285.0 (β) | 531.6 | 399.9 | 368.4 | 374.4 |
| 285.4 (α) | 532.5 | 406.6 | | |
| 286.1 (σ) | | | | |
| 287.8 (γ) | | | | |

(b)

Figure 3. (a) Binding energy values for the PVP-stabilizing silver nanoparticle dispersion (AgNP), (b) Chemical structure of PVP and possible coordination with silver.

Four peaks centered at 285.0, 285.4, 286.1, and 287.8 eV, typical of PVP, were fitted into the C 1s envelope. The detailed assignment is presented in Figure 3.

The O 1s region (Figure 2b) was fitted with two peaks: one centered at 531.6 eV, which is attributed to oxygen double bound to carbon, as the one found in PVP; and another one, centered at 532.5 eV, assigned to oxygen from nitrate groups, which still remains in the colloidal system, to the interaction between oxygen and silver nanoparticles, and to some retained water [15]. The N 1s spectrum (Figure 2c) presents two peaks located at 399.9 and 406.6 eV, attributed to the N atom in PVP and NO₃⁻ (from precursor silver nitrate), respectively. The Ag 3d region of silver nanoparticles (Figure 2d) is a simple doublet with an

energy separation of 6.0 eV. The Ag 3d_{5/2} component is centered at 368.4 eV and, regarding the dispersion of the values reported in the literature, can be assigned to any oxidation state. However, the oxidation state can be identified from the Auger parameter, which is the sum of the experimental binding energy of the photoelectron peak Ag 3d_{5/2} and the experimental kinetic energy of the two most intense peaks of the Ag MNN Auger structure [16]. In this case, the computed value of 718.2 eV suggests that silver is oxidized or that the silver nanoparticles are covered with a layer of oxidized silver [16].

3.3.2. CA and CA/Ag Membranes

The results for CA and CA/Ag membranes XPS C 1s regions are displayed in Figures 4 and 5, respectively. The C 1s regions, for all the membranes, were fitted with three peaks centered at 285.0 eV (binding energy used to correct the charge shifts), attributed to aliphatic carbons, and at 286.7 ± 0.1 eV and 289.0 ± 0.2 eV, attributed to carbons from CA: C-O and carbons of ester groups, respectively [17]. Another peak at ~288 eV, assignable to carbon in the cellulose ring bonded to two oxygen atoms, exists in cellulose-derived polymers. However, given its low intensity (1/5 of C-O peak intensity), there was no need to add it in the fitting.

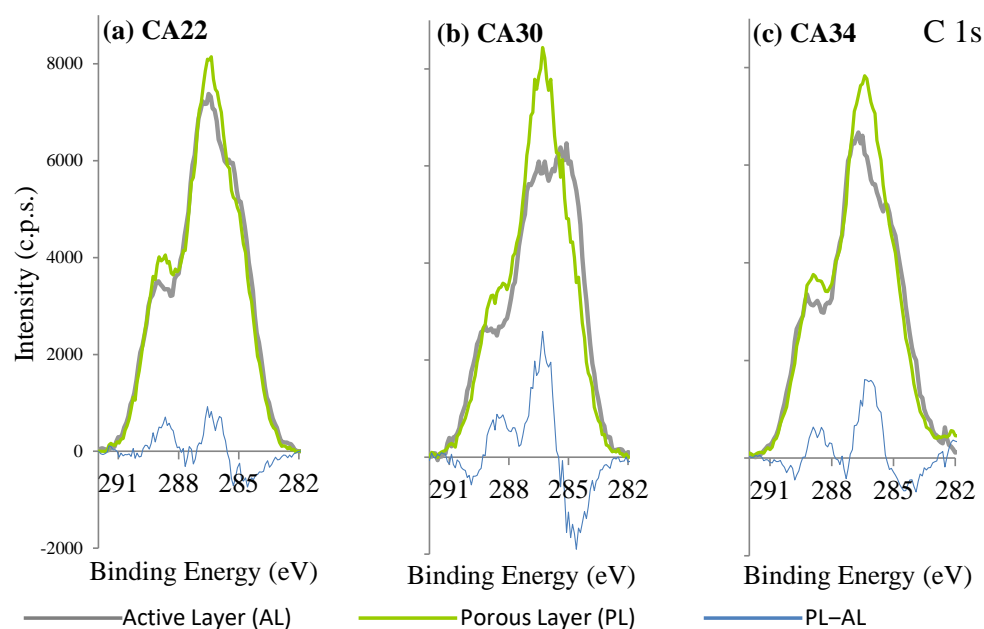


Figure 4. XPS C 1s regions of active layers (AL) and porous layers (PL) of CA membranes. The blue line is the difference between the PL and AL spectra.

The C 1s regions of the three silver-free membranes (Figure 4a–c), show some differences between the dense layer, also designated as the active layer (AL), and the porous (PL) layer. The membrane with larger difference between AL and PL is the CA30, and the one with smaller differences is the CA22, which is also the one with the smallest hydraulic permeability. The relative amount of aliphatic carbon atoms for each membrane is larger in AL than in the PL spectra. This fact may be associated with the presence of acetone residues in AL, which are expected to be larger in the denser layer (AL).

Little difference between AL and PL was observed for the silver-free CA22 membrane and for the CA22 silver-containing membranes (CA22Ag0.1 and CA22Ag0.4, Figure 5i–l), having very similar C 1s regions for AL and PL surfaces. In the other membranes, with higher hydraulic permeabilities, different C 1s regions are observed (Figure 5a–h): the relative intensity of the peak attributed to aliphatic carbon atoms (285 eV) is larger in AL than in PL, as observed for silver-free membranes.

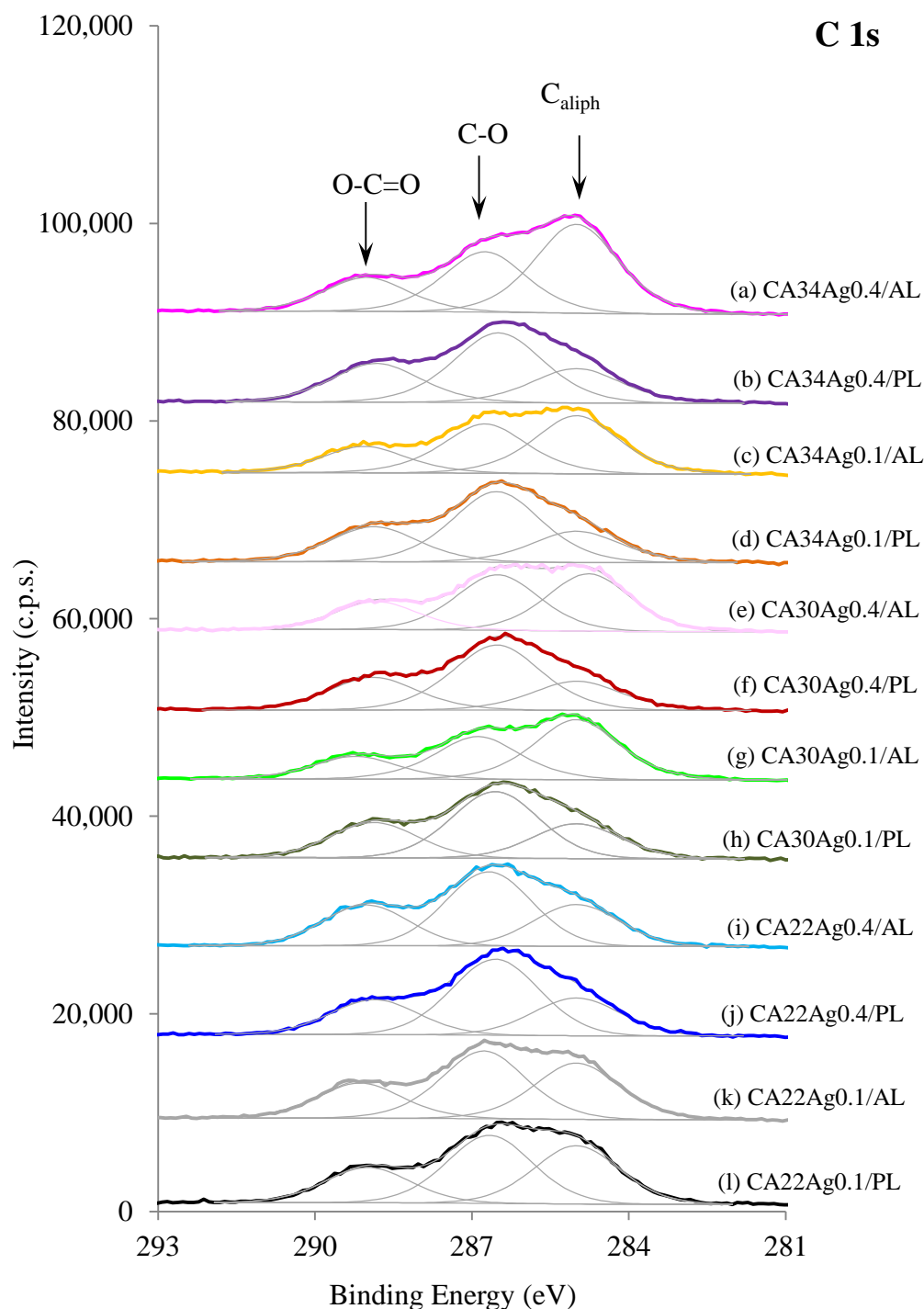


Figure 5. XPS C 1s regions of active layers (AL) and porous layers (PL) of CA/Ag membranes with 0.1% wt Ag and 0.4% wt Ag. A constant was added to each spectrum (except for l) for clarity.

The atomic ratios C/O and C3/C1 were computed from the C 1s and O 1s (not shown) regions, where C and O were computed from the total areas of C 1s and O 1s divided by the respective sensitivity factors, C3 is the amount of carbon atoms in O–C=O groups (peak at 289 eV), and C1 is the amount of aliphatic carbons (peak at 285 eV). These ratios can give a clear insight into the differences between AL and PL of CA and CA/Ag membranes. The results are gathered in Figure 6.

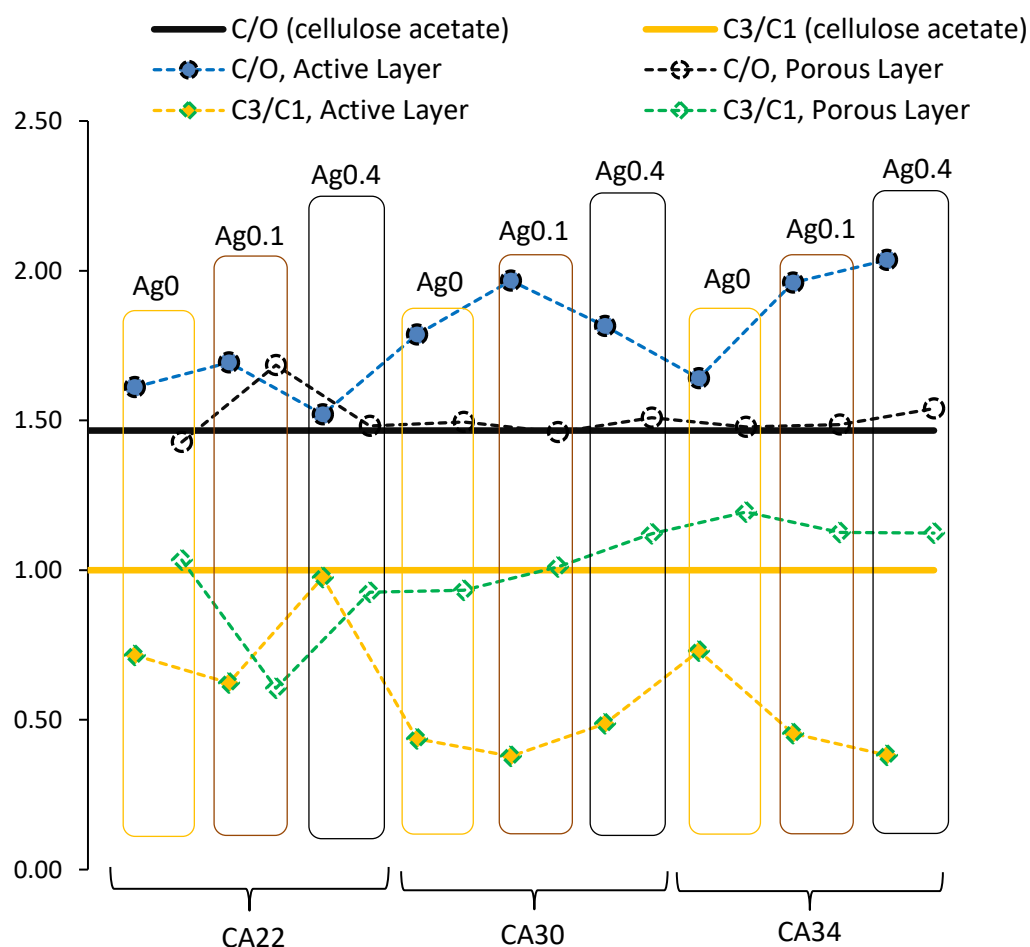


Figure 6. Atomic ratios C/O and C3/C1. The horizontal lines at 1.47 and 1 correspond, respectively, to stoichiometric ratios C/O and C3/C1 in cellulose acetate used to prepare the membranes.

For the cellulose acetate here used, the nominal ratio C/O is 1.47, and the ratio C3/C1 is 1. These stoichiometric values are represented as black and yellow horizontal lines respectively, in Figure 6. The full symbols are the experimental ratios for the membranes AL, and the empty symbols are the experimental ratios for the membranes PL. The results show, very clearly, that membrane porous layers have a composition closer to the one found in cellulose acetate than do the active layers. In fact, active layers seem to be richer in carbon than are the porous layers, particularly in aliphatic carbon, as noticed above in Figure 5a–h. Such difference may be due to the larger retention of some of the solvents used in the casting solution by the polymer-denser active layer. The exceptions are the membranes of lowest hydraulic permeabilities with 0.1 and 0.4 % wt Ag (CA22Ag0.1 and CA22Ag0.4, respectively), which present comparable C/O and C3/C1 ratios for both layers. This is in agreement with the results obtained for the C 1s region presented in Figure 5i–l.

The regions of N 1s and Si 2p were also investigated for the CA membranes (Figure 7). The presence of nitrogen in the CA membranes (silver-free membranes) was not expected at all. However, N 1s is clearly detected at the surface of active and porous layers of membranes without PVP stabilized AgNPs, as shown in Figure 7. The presence of nitrogen at the membrane surfaces may be attributed to the formamide used in the formulation of the casting solution, which could have been retained on the membrane during the phase inversion process, not diffusing completely into the aqueous phase. Silicon is clearly accumulating at the active layer surface. Silicon presence results most likely from contamination carried by the commercial CA polymer and may be washed from the porous layer by the coagulation bath.

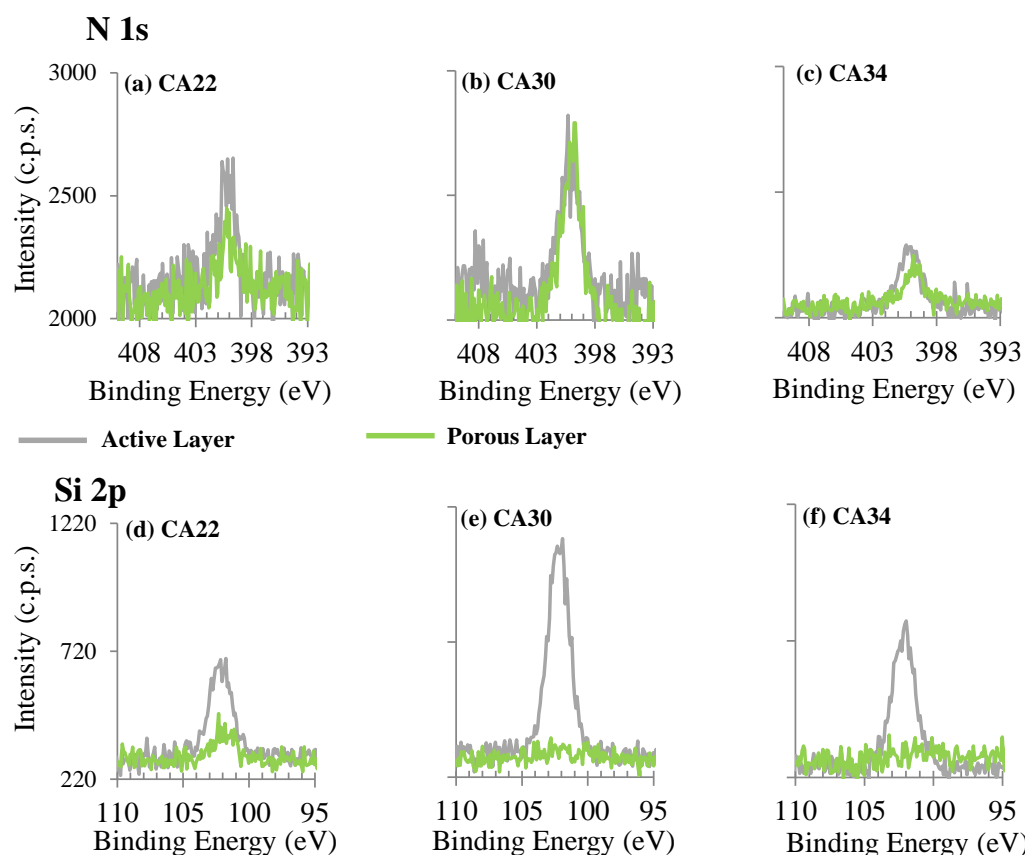


Figure 7. XPS N 1s and Si 2p regions of active layers (AL, grey spectra) and porous layers (PL, green spectra) of CA membranes (without Ag).

The source of nitrogen in CA/Ag membranes, in addition to the possible contribution of formamide used in casting solutions, can also have the input of the PVP used to stabilize the silver nanoparticles, avoiding agglomeration. High surface energy of the ultrasmall particles is normally controlled to overcome aggregation and loss of properties; PVP capability to protect the particles, and yield stable dispersions, has been previously reported [8,9,18,19]. Silicon is also detected in CA/Ag membranes. Its relative amount follows what is observed in the absence of Ag, being in a much larger amount at the AL surface than at the PL surface.

The Ag 3d XPS regions for CA/Ag membranes are displayed in Figure 8. They are doublets with energy separation of 6.0 eV. For the porous layers, two doublets were needed to fit the Ag 3d profile. This is, in principle, due to differential charge effects which are expected to occur in very rough surfaces. In some of the CA/Ag membranes, silver is not detected, which may not mean that nanoparticles are absent but that the silver nanoparticles may be located beyond the maximum depth probed by XPS, i.e., “buried” at more than 10 nm from the surface.

Membranes prepared with higher silver content (0.4% wt) are those where silver is more easily detected, particularly in the active layer of the membrane CA34. This corresponds well with the observation made by Figueiredo et al. (2015) [8] that most of the particles, particularly the smaller ones, concentrate in the active layers. In fact, for these membranes (CA34Ag0.4), a decrease was observed in the hydraulic permeability when compared with the CA34Ag0.1, which is associated with the pore-blocking caused by the AgNP in the AL. For the other two tighter membranes, CA30 and CA22, the respective increase of the membrane matrix density makes difficult the detection of AgNP leading to the decrease of the Ag 3d XPS signal of AL. On the other hand, it was observed that a

decrease in silver content (0.4 to 0.1% wt) also leads to an expected decrease of the Ag 3d XPS signal of AL.

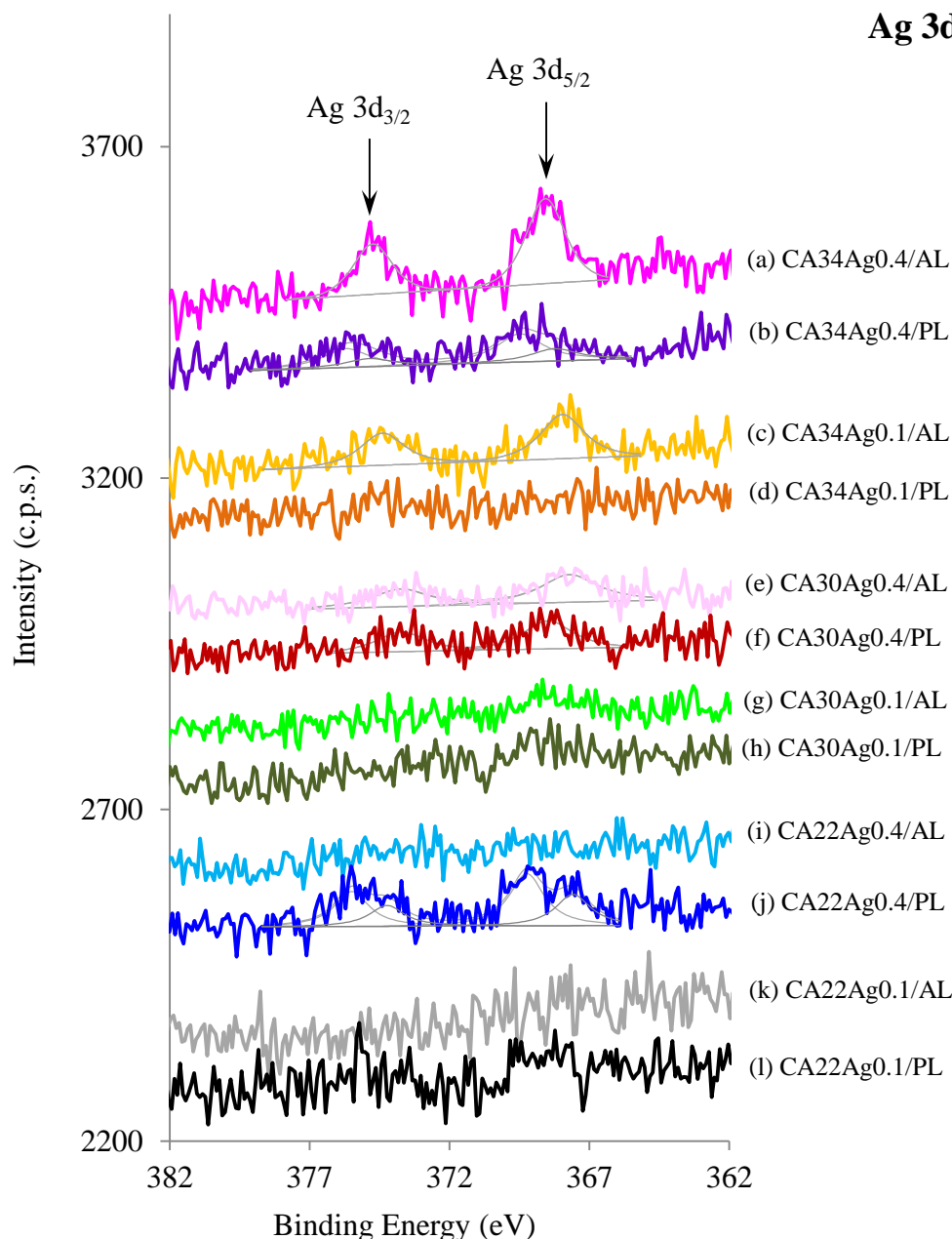


Figure 8. XPS Ag 3d regions of active layers (AL) and porous layers (PL) of CA/Ag with 0.1% wt Ag and 0.4% wt Ag membranes.

The Ag 3d XPS signals observed for the porous layers of the CA30 and CA34 are less intense than the ones for AL due to the preferential presence of AgNP in the AL [8]. In the CA22 membranes, there is a measurable Ag 3d signal from the porous layer, which is not observed for the active layer. This is because the active layers of CA22 membranes present a very dense matrix.

To identify the oxidation state of silver, one cannot rely only on the Ag 3d_{5/2} binding energy; instead, the Auger parameter (AP) must be computed [16]. However, the calculation of the Auger parameter was not possible for the CA/Ag membranes because the Auger structure (Ag MNN), needed to compute this parameter, was not detected. This effect can occur when Auger electrons (which have a kinetic energy lower than the Ag

3d photoelectrons) are coming from buried silver nanoparticles and are, then, attenuated by the membrane. Nevertheless, the Auger parameter found for the silver nanoparticle suspension ($AP = 718.2$ eV), the same suspension which was characterized by XRD, as mentioned above, suggests that silver is oxidized or that silver nanoparticles are covered with a layer of ionized silver. Since XRD results show that silver nanoparticles present a typical metallic silver structure, one can assume the surface of the particles is either oxidized or the silver is chemically interacting with the PVP molecules adsorbed. This is an important result, as the slow release of ionized silver, as a result of the equilibrium $2Ag_2O + 4H^+ \rightarrow 4Ag^+ + 2H_2O$, favors the continuous Ag^+ release from the nanoparticles surface, particularly in aerobic media as the one used in this work, where the oxidation reaction $4Ag(0) + O_2 \rightarrow 2Ag_2O$ leads to a slow but continuous supply of silver ions [20–24]. In this sense, XPS and XRD results complement each other.

3.4. Membranes Bactericide Properties

To investigate the bactericide properties of the CA/Ag membranes, specific tests were performed against *E. coli*. The general bacteria growth in water for a long period (80 days) was also analyzed.

3.4.1. Surface Test

The surface tests were described previously in Section 2.7.1 and were conducted for the three membrane structures (CA22, CA30, and CA34) with different AgNP contents (0%, 0.1%, and 0.4%). As can be seen from Figure 9 (adapted from [8]), the active and porous layers of membranes prepared under the same experimental conditions present very different characteristics; therefore, the bacterial growth inhibition pattern of the membrane layers was evaluated to have some insights about the possibility for these membranes preventing the biofouling phenomena. Before the tests, all the membranes were sterilized in a UV camera for 30 min on each side. No bacterial growth was observed following sterilization.

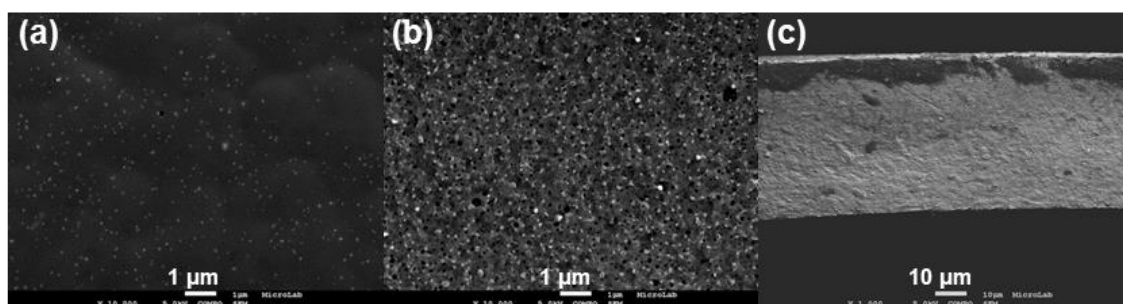


Figure 9. FESEM images of (a) active layer, (b) porous layer, and (c) cross-section of CA30Ag0.1 membrane (adapted from Ref. [8]. 2014, John Wiley and Sons).

From the results presented in Figure 10, it was possible to observe, for the silver-free membranes (Figure 10a,d,g), a generalized growth of *E. coli* in all the membrane active layer surfaces, forming a bacteria film on the membrane surface, visible even to the naked eye.

For the membranes with AgNP, the results obtained with the CA22Ag0.1 and CA22Ag0.4 (Figure 10b,c) show different zones on the membrane active layer, indicating that the *E. coli* growth was not generalized, with existing parts of the membrane surface exhibiting *E. coli* growth inhibition. In the surface test conducted for the active layer of membrane structures CA30 and CA34 with AgNP (0.1% and 0.4%), evident growth inhibition was observed, as only a few *E. coli* colonies (white dots) were detected in the Figure 10e,f,h,i.

The results obtained from the surface tests of the membrane porous layers are presented in Figure 11. The results obtained from the silver-free membranes porous layer indicate that *E. coli* growth inhibition was not observed (Figure 11a,d,g).

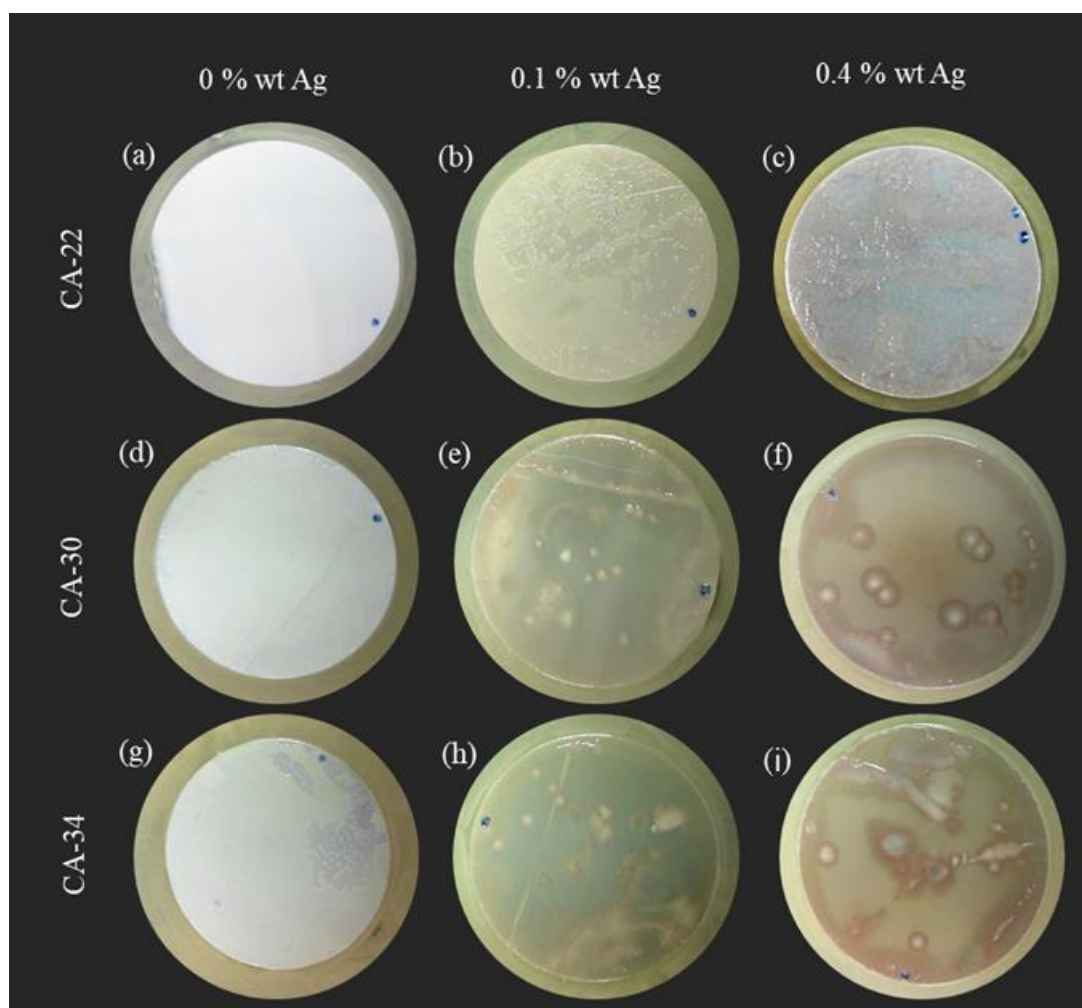


Figure 10. Results of *E. coli* growth inhibition tests on the active layers of CA membranes (a) CA22, (d) CA30, and (g) CA34; CA/Ag membranes with 0.1wt% Ag (b) CA22Ag0.1, (e) CA30Ag0.1, and (h) CA34Ag0.1; and CA/Ag membranes with 0.4wt% Ag (c) CA22Ag0.4, (f) CA30Ag0.4, and (i) CA34Ag0.4.

For the membranes CA22 with AgNP (0.1 and 0.4%), a slight increase in the growth inhibition for the membrane porous layer was observed, compared with the results obtained for the active layers of the CA22Ag0.1 and CA22Ag0.4 membranes. This is in line with the XPS results, which revealed a higher Ag concentration in the porous layer. For the CA30 and CA34 with AgNP, the results for the porous layer (Figure 11e,f,h,i) are comparable to those obtained for the active layers (Figure 10e,f,h,i), where significant *E. coli* growth inhibition is observed.

The difference observed in growth inhibition among the silver-containing membranes CA22 (CA22Ag0.1 and CA22Ag0.4), CA30 (CA30Ag0.1 and CA30Ag0.4), and CA34 (CA34Ag0.1 and CA34Ag0.4) may be related to the fact that the structure of the CA22 membranes is much tighter than the one of the CA30 and CA34 membranes. The presence of AgNP in the proximity of the active layer surfaces is also more difficult to detect for the CA22 silver-containing membranes (Figure 8). The differences between the surfaces of the active and porous layers of CA22Ag0.1 and CA22Ag0.4 membranes are consistent with the fact that a more porous structure promotes a better interaction between *E. coli* and the AgNP and, therefore, leads to high growth inhibition for the porous layers of CA22 silver-containing membranes. In addition, the XPS reveals that the porous layer contains a larger relative amount of silver.

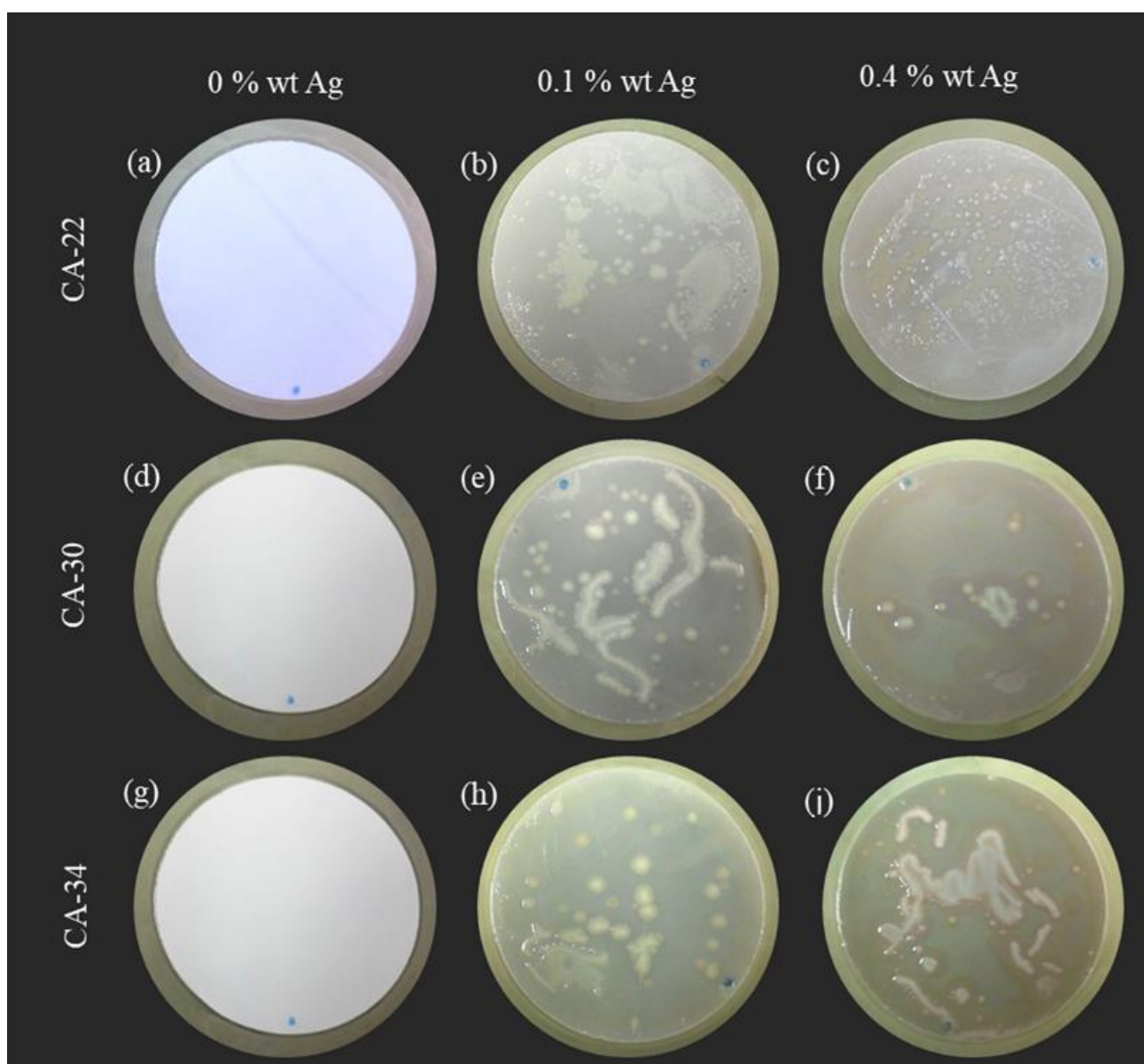


Figure 11. Results of *E. coli* growth inhibition on the porous layer of CA membranes (a) CA22, (d) CA30, and (g) CA34; CA/Ag membranes with 0.1wt% Ag (b) CA22Ag0.1, (e) CA30Ag0.1, and (h) CA34Ag0.1; and CA/Ag membranes with 0.4wt% Ag (c) CA22Ag0.4, (f) CA30Ag0.4, and (i) CA34Ag0.4.

As previously presented (Figure 8) and discussed, the XPS results indicate that in some membrane samples, the silver presence was not detected, which may be due to the fact that the AgNP might be located more than 10 nm away from the membrane's outermost surface. In addition to the difficulty of detecting the silver signal by XPS for the CA22 silver-containing membranes, the silver signal was perceptible for the porous layer of CA22Ag0.1 membrane (although not quantified due to the very poor signal-to-noise ratio), which is consistent with the lowest *E. coli* growth inhibition results obtained for the surface test of CA22 membranes.

3.4.2. Suspension Test

To complement the results obtained in the surface test, suspension tests were performed by immersing the membrane samples in *E. coli*-inoculated suspensions at room

temperature (20 °C), different from the temperature used in the surface tests, which was considered ideal for the *E. coli* growth (37 °C).

The membranes and the suspensions were evaluated in terms of *E. coli* growth inhibition, according to the experiments previously described in the Materials and Methods section. Figure 12 presents the membrane samples after immersion in the inoculated suspension (24 h) and incubation in a Petri dish with YEA at 37 °C (24 h), and Figure 13 presents the incubated filters used to filter de-inoculated suspension.

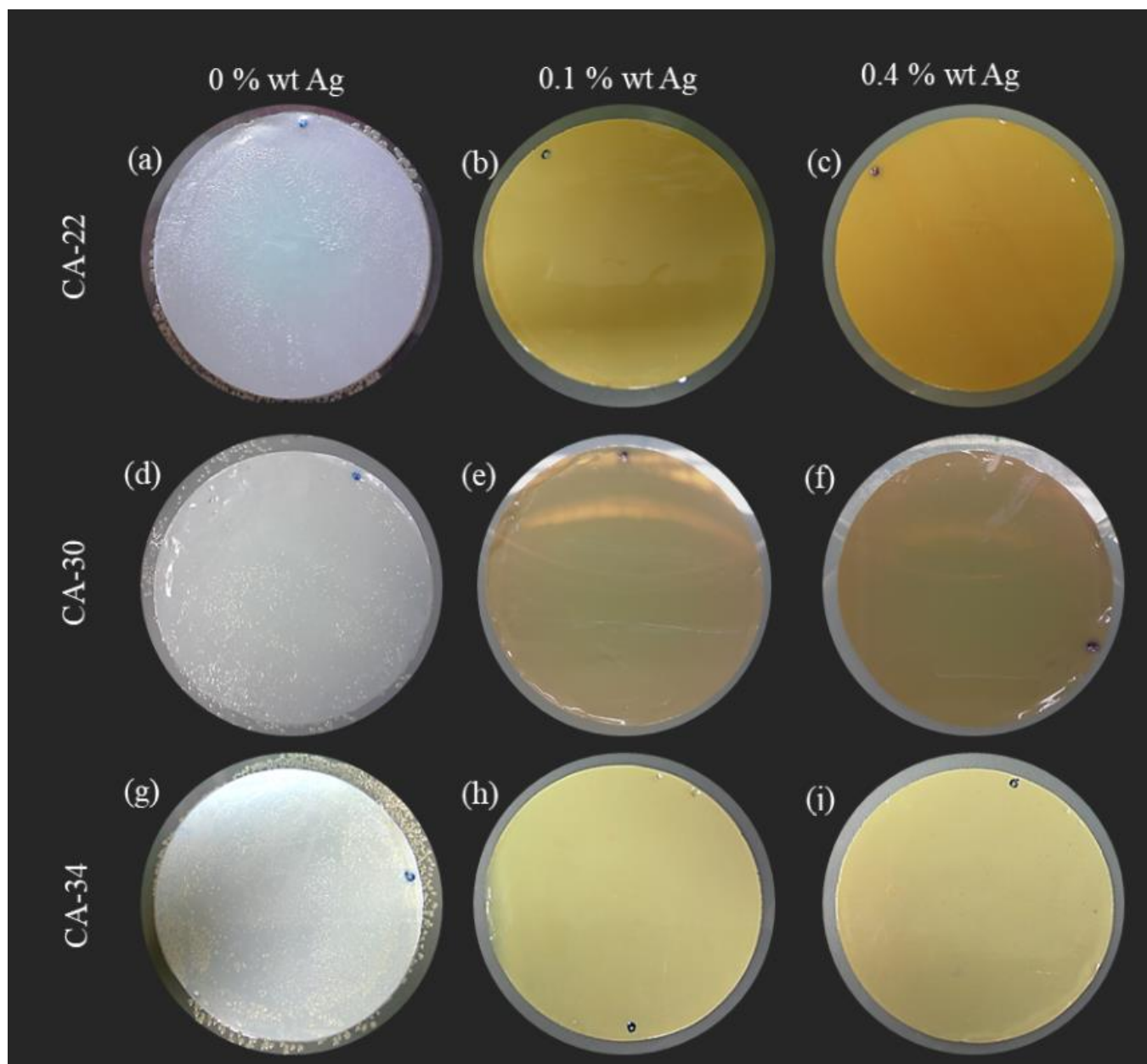


Figure 12. Results of *E. coli* growth inhibition on the membrane for the suspension test of CA membranes (a) CA22, (d) CA30, and (g) CA34; CA/Ag membranes with 0.1wt% Ag (b) CA22Ag0.1, (e) CA30Ag0.1, and (h) CA34Ag0.1; and CA/Ag membranes with 0.4wt% Ag (c) CA22Ag0.4, (f) CA30Ag0.4, and (i) CA34Ag0.4.

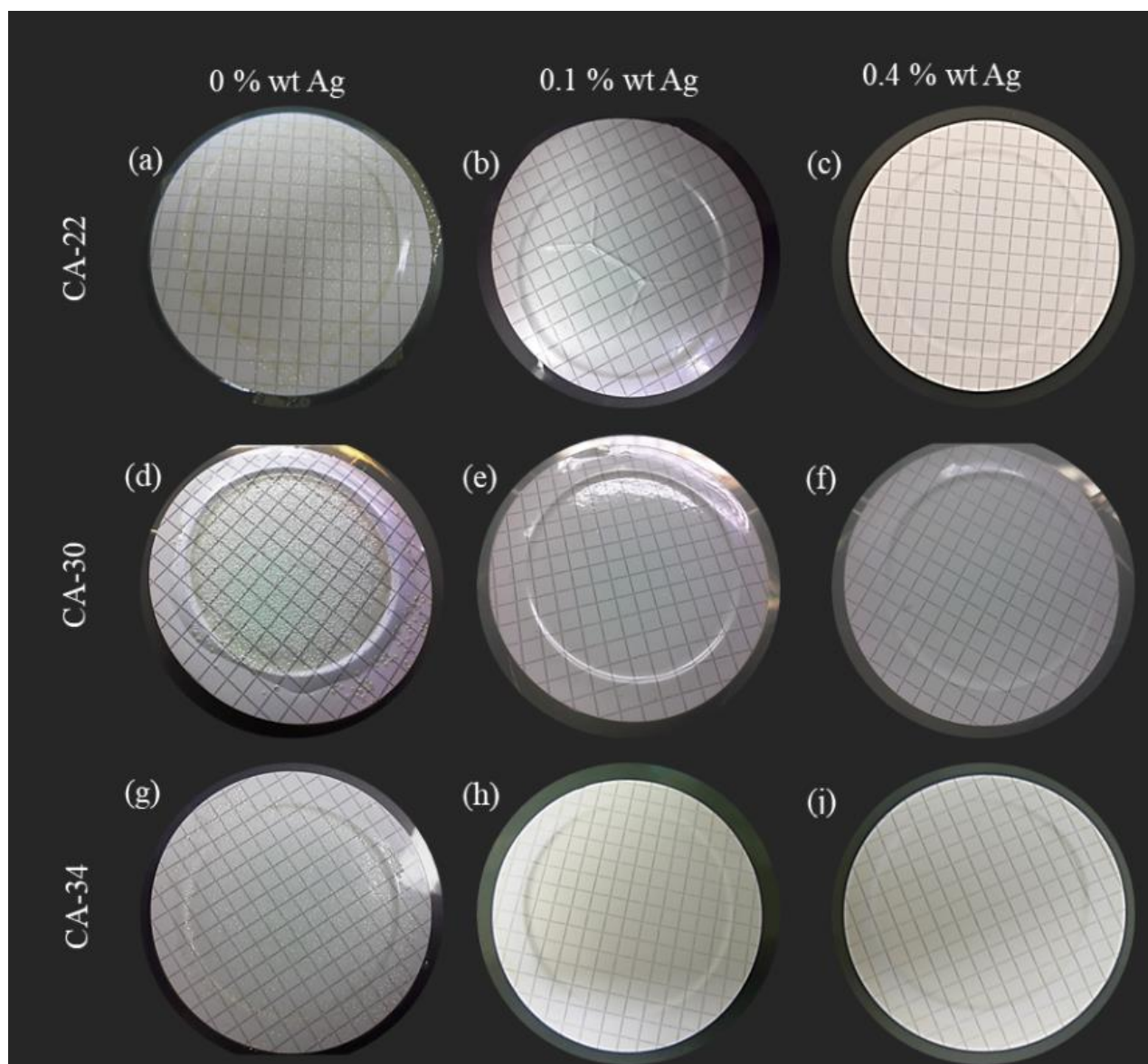


Figure 13. Results of *E. coli* growth inhibition on the suspension for the suspension test of CA and CA/Ag membranes. Silver-free membranes: (a) CA22, (d) CA30, and (g) CA34; CA/Ag membranes with 0.1wt% Ag: (b) CA22Ag0.1, (e) CA30Ag0.1, and (h) CA34Ag0.1; CA/Ag membranes with 0.4wt% Ag: (c) CA22Ag0.4, (f) CA30Ag0.4, and (i) CA34Ag0.4.

From the results presented in Figure 12, it can be seen very clearly that there is a total *E. coli* growth inhibition for all the membrane structures containing AgNP (Figure 12b,c,e,f,h,i). In contrast, the silver-free membranes (Figure 12a,d,g) show no *E. coli* growth inhibition.

Figure 13 presents the results for the membrane filters used to filter the *E. coli* suspensions, where the membranes were immersed for the suspension test (Figure 12). For the suspensions in contact with silver-free membranes, full development of bacterial growth was verified in the membrane filters (Figure 13a,d,g). On the other hand, the suspensions in contact with CA/Ag membranes lead to a total absence of *E. coli* colonies in the membrane filters (Figure 13b,c,e,f,h,i).

In the suspension test, with experimental conditions which are similar to the ones of the water filtration processes, there is clear evidence that the CA/Ag membranes promote total growth inhibition independently of the membrane structure and the silver content.

3.4.3. Cell Death Test

The previous results (Sections 3.4.1 and 3.4.2) demonstrate that the presence of silver nanoparticles introduces an antibacterial effect in the CA/Ag membranes, independent of the different silver content. To clarify the silver nanoparticles content effect on the antibacterial properties of silver-containing membranes, the cell death was performed for the CA34 membranes series because the membranes CA34Ag0.1 and CA34Ag0.4 are those where silver is more easily detected by XPS results. The membranes CA34, CA34Ag0.1, and CA34Ag0.4 were tested at 37 °C. The results are presented in Figures 13 and 14.

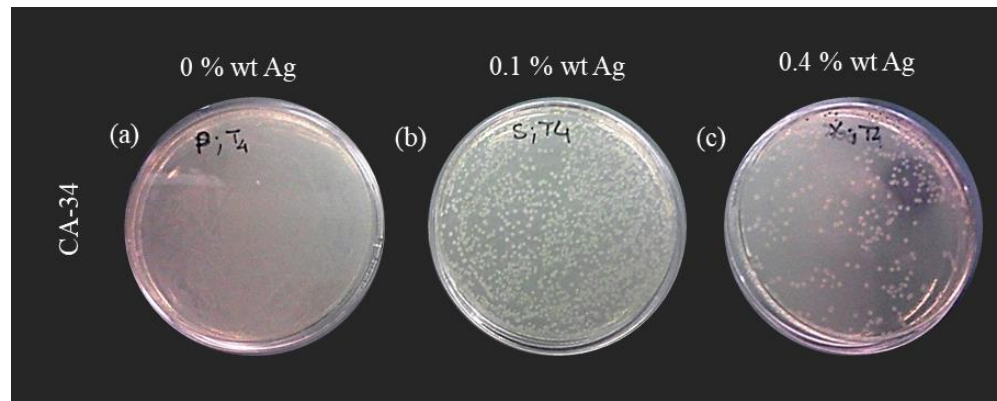


Figure 14. *E. coli* colonies after the incubation of the suspensions contacting with the membranes (1093 min). (a) Silver-free membranes (CA34); (b) CA/Ag membranes with 0.1wt% (CA34Ag0.1); and (c) CA/Ag membranes with 0.4wt% Ag (CA34Ag0.4).

The cell death test was carried out for 18 h and samples of the suspension were collected during this period. Figure 14 presents the image of the Petri dishes incubated with the sample collected at 1093 min of membrane/*E. coli* suspension contact. The results show a generalized growth of *E. coli* for the suspension in contact with silver-free membranes (Figure 14a). In contrast, there is growth inhibition for the tests conducted with the CA34Ag0.1 and CA34Ag0.4 membranes, resulting in a decrease in the number of *E. coli* colonies, which is even more pronounced for the CA34Ag0.4 membrane (Figure 14c).

Figure 15 displays, for the CA34, CA34Ag0.1, and CA34Ag0.4 membranes, the variation of *E. coli* concentration (CFU/mL) at different contact times of the suspensions with the membranes.

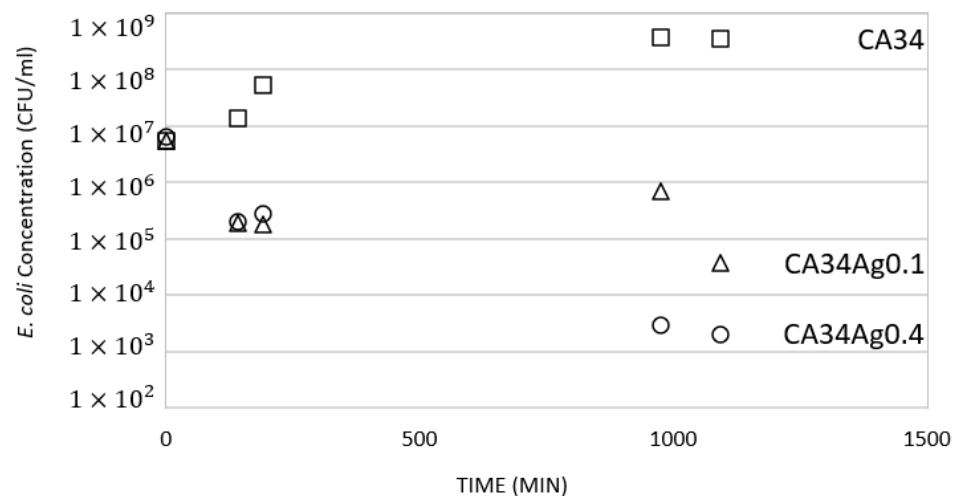


Figure 15. Variation of *E. coli* concentration (CFU/mL) at different contact times (143 min, 190 min, 975 min and 1093 min) of the suspensions with the membranes (□, CA34; △, CA34Ag0.1; and ○, CA34Ag0.4).

The concentration of *E. coli* decreases with the time of contact between the suspension and the CA/Ag membranes (CA34Ag0.1 and CA34Ag0.4). On the contrary, the concentration of *E. coli* increases with the time of contact between silver-free membranes and the *E. coli* suspension. For CA nanofiltration membranes incorporating silver nanoparticles or silver ion-exchanged β -zeolite, Beisl et al. (2019) [7] also verified a decrease in the concentration of *E. coli* along the time of contact between the suspension and the membranes.

3.4.4. Growth Inhibition of Bacteria in the Water

To consolidate the capability of silver-containing membranes to inhibit the growth of bacteria in water, the membranes CA30, CA30Ag0.1, and CA30Ag0.4 were immersed in water for a long period (80 days). The results are presented in Figure 16.

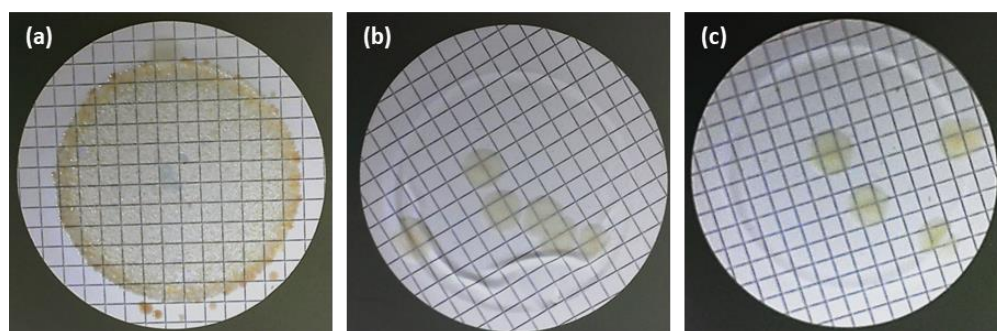


Figure 16. Inhibition growth test of microorganisms in water with membranes. (a) Silver-free membranes (CA30); (b) CA/Ag membranes with 0.1wt% Ag (CA30Ag0.1); and (c) CA/Ag membranes with 0.4wt% Ag (CA30Ag0.4).

The silver-free membranes showed generalized bacterial growth (Figure 16a). The silver-containing membranes, CA30Ag0.1 and CA30Ag0.4, display a strong reduction in the number of colonies in the filters. The CA30Ag0.1 membrane (Figure 16b) showed six colonies, while the CA30Ag0.4 membrane (Figure 16c) presented four colonies. These results provide strong evidence of bacterial growth inhibition in water contacting CA/Ag membranes, independently of structure, silver content, aggregation degree, and distribution of the particles in both dense and porous layers.

4. Conclusions

In this work, cellulose acetate/silver nanoparticles integral asymmetric ultrafiltration membranes were synthesized, characterized, and tested for their bactericidal properties. Combined XRD and XPS characterization showed that the silver nanoparticles are covered with ionic silver, able to solubilize and exert bactericide activity.

The active and porous layers' surfaces display different compositions, with the exception of the less permeable membranes, CA22Ag0.1 and CA22Ag0.4, that present similar C/O and O–C=O/(C–C, C–H) ratios in both surfaces. The porous layers composition of the more permeable membranes (CA30, CA30Ag0.1, CA30Ag0.4, CA34, CA34Ag0.1, and CA34Ag0.4) is closer to the composition of the CA polymer.

The silver-free membranes present a generalized growth of *E. coli*. This is in contrast with the inhibition pattern displayed by the membranes with AgNP. The antibacterial tests carried on the membrane surfaces of the less permeable membranes, CA22Ag0.1 and CA22Ag0.4, evidence a lower *E. coli* growth inhibition when compared with the more permeable membranes (CA30, CA30Ag0.1, CA30Ag0.4, CA34, CA34Ag0.1, and CA34Ag0.4) that present almost complete growth inhibition. Furthermore, the porous layers of the CA22Ag0.1 and CA22Ag0.4 show a slight increase in the *E. coli* growth inhibition in comparison with the corresponding active layers. This is in line with the XPS results which revealed a higher Ag concentration in the porous layer. For the more permeable membranes (CA30, CA30Ag0.1, CA30Ag0.4, CA34, CA34Ag0.1, and CA34Ag0.4), the significant *E. coli*

growth inhibition is comparable in both active and porous layers. For those membranes, XPS reveals a higher amount of silver in the active layer than in the porous one, as already stated in [8]. However, the access to the AgNP in the porous layer is higher. Apparently, the two effects compensate for each other leading to similar bactericide properties in both layers.

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Article

Magnetic-Responsive Liposomal Hydrogel Membranes for Controlled Release of Small Bioactive Molecules—An Insight into the Release Kinetics

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Abstract: This work explores the unique features of magnetic-responsive hydrogels to obtain liposomal hydrogel delivery platforms capable of precise magnetically modulated drug release based on the mechanical responses of these hydrogels when exposed to an external magnetic field. Magnetic-responsive liposomal hydrogel delivery systems were prepared by encapsulation of 1,2-dipalmitoyl-sn-glycero-3-phosphocoline (DPPC) multilayered vesicles (MLVs) loaded with ferulic acid (FA), i.e., DPPC:FA liposomes, into gelatin hydrogel membranes containing dispersed iron oxide nanoparticles (MNPs), i.e., magnetic-responsive gelatin. The FA release mechanisms and kinetics from magnetic-responsive liposomal gelatin were studied and compared with those obtained with conventional drug delivery systems, e.g., free liposomal suspensions and hydrogel matrices, to access the effect of liposome entrapment and magnetic field on FA delivery. FA release from liposomal gelatin membranes was well described by the Korsmeyer–Peppas model, indicating that FA release occurred under a controlled diffusional regime, with or without magnetic stimulation. DPPC:FA liposomal gelatin systems provided smoother controlled FA release, relative to that obtained with the liposome suspensions and with the hydrogel platforms, suggesting the promising application of liposomal hydrogel systems in longer-term therapeutics. The magnetic field, with low intensity (0.08 T), was found to stimulate the FA release from magnetic-responsive liposomal gelatin systems, increasing the release rates while shifting the FA release to a quasi-Fickian mechanism. The magnetic-responsive liposomal hydrogels developed in this work offer the possibility to magnetically activate drug release from these liposomal platforms based on a non-thermal related delivery strategy, paving the way for the development of novel and more efficient applications of MLVs and liposomal delivery systems in biomedicine.

Keywords: drug release kinetics; magnetic field; liposomes



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1. Introduction

Conventional clinical approaches, consisting of the direct administration of therapeutic compounds, have shown limited treatment efficiency, attributed to the short lifetime of these compounds, to the loss of the therapeutic molecules caused by loose guidance to the point of action and to the poor bioavailability of these bioactive compounds due to their low solubility in aqueous media (such as the case of lipophilic molecules). These problems have been circumvented by the increase of the drug doses, which may, however, potentiate drug cytotoxicity and the possibility of undesirable side effects.

Advances in this topic have been attempted through the development of biomimetic drug carriers, such as liposomes [1–8], micelles [9–13] and hydrogels [14–19]. These drug

vehicles are able to accommodate and protect the drug/bioactive molecules (e.g., growth factors, peptides, genes, vaccines, and cells) from hydrolytic or enzymatic degradation in the organism. However, they lack proper delivery efficiency given their structural instability [20] and consequent incapacity to hold the drug molecules, resulting in an uncontrolled drug release, e.g., burst release [21–23]. Efforts to develop delivery systems with improved stability have been carried out through the optimization of the chemical formulation of these delivery vehicles, mainly through the addition of structural stabilizers (e.g., cholesterol and poly-L-lysine) [24–27]. Cholesterol was found to enhance the lipophilic packing in the liposome bilayer, providing improved liposome stability [24], whereas the hydrophobic molecules/polymers have been added to strength bond interactions, providing improved liposome resistance to enzymatic or immune reactions [28,29]. Thus, a fine regulation of the permeability, fluidity and temperature transition of these vesicles is possible by rigorous control of the amount of the stabilizing component in the liposome structure.

An alternative approach takes advantage of a synergetic combination of liposomal technologies and the versatility of hydrogels to obtain delivery systems- liposomal hydrogel systems, with improved stability which are able to offer an enhanced controlled drug release [30]. Liposomal hydrogels have been developed either by the immobilization of gels in the liposome core [31–34] or by the encapsulation of liposomes into the hydrogel network [35–38]. These systems provide a double advantage; on the one hand, hydrogels confer higher liposome stability and protection against pH and ionic strength [30,37]. On the other hand, the encapsulated liposomes act as drug pockets with enhanced stability, leading to a more sustained and prolonged drug release comparatively than that found for conventional liposomes [39–42] or native hydrogel matrices [25]. Liposomal hydrogel delivery systems have shown improved efficiency for the treatment of several inflammatory diseases [43] and chemotherapy [44,45] comparatively to conventional therapies, ascribed to their ability to provide longer-term and in situ release of the target therapeutic compound, minimizing the toxic side effects due to drug leakage.

The improved stability of liposomal hydrogel systems results from a symbiotic effect that combines enhanced structural liposome stability conferred by the interaction with the hydrogel matrix, but, also, liposomes were found to act as stabilizing elements providing liposomal hydrogel systems with improved viscoelastic properties, conferring them additional structural cohesion [46,47].

The release kinetics and mechanisms from liposomal hydrogels are thus dependent on the combined transport resistance of the liposomal lipidic bilayer and the polymer matrix, which creates an additional rate-limiting effect on the diffusion of the therapeutic molecules. In this respect, the drug release is regulated by the hydrogel matrix's structural and chemical properties (e.g., density, porosity and hydrophilicity) and by the permeability of the liposome membrane to the target drug. In fact, studies from other authors have also shown that the transport from liposomal hydrogels is importantly dependent on the liposome characteristics, i.e., vesicle chemical formulation and surface charge [48], and drug hydrophilicity. As reported by Mourtas et al. [36], the release of lipophilic molecules is predominantly influenced by the drug properties and loading, in contrast to that observed for hydrophilic drugs whose diffusion from liposomal hydrogels depends on the characteristics of the bilipid vesicle, i.e., chemical formulation and rigidity.

The large structural and chemical versatility of the hydrogels as well as their ability to respond to external stimuli (e. g. temperature, light, magnetic field) have been also explored to obtain liposomal hydrogels offering more precise spatiotemporal controlled drug release. Magnetic-responsive liposomal hydrogels offer the possibility of a stimuli-triggered drug release, allowing for an on-demand diffusion of the drug/bioactive molecules in the site of action while avoiding drug losses during the trajectory of the delivery vehicle to the target tissue. Magnetic liposomal systems have been developed, relying on the hyperthermic effect produced by magnetic susceptible nanoparticles (e.g., iron oxide nanoparticles)—MNPs—when exposed to a high-frequency magnetic field (AMF) [49–53]. MNPs may be present in the aqueous liposome core, embedded

in the lipidic bilayer or as a solid MNP cluster forming the inner liposome core [47]. In both cases, magnetic-induced thermal release is prompted by the phase transition of the hydrogel from a solid state to a sol state at a specific temperature [51–53].

However, magnetic-responsive hydrogels exhibit unique mechanical responses upon magnetic stimulation, which have been very weakly explored in the development of magnetic-responsive liposomal systems. Magnetic-responsive hydrogels can reversibly switch their volume and shape when exposed to external magnetic field stimuli [54–56]. Such mechanical elastic distortions are due to the mobility of MNPs, imprisoned in the polymer network, in response to the attractive magnetic field forces. The mechanical distortions of hydrogels can be converted into forces and potentially interact with the entrapped liposomes, prompting the magnetically controlled release of the target bioactive molecules due to the structural destabilization of these vesicles and/or changes in the permeability of the liposome membranes. Magnetically controlled delivery may be thus obtained based on the mobility of the MNPs triggered by permanent or low-frequency magnetic field stimuli exploring the mechanical actuation of magnetic-responsive hydrogels, without thermal effects and thus extending the application of magnetic liposomal systems to compounds showing lower resistance to higher temperatures.

The present study proposes the development of magnetic-responsive liposomal hydrogels, which may be able to provide a sustainable magnetically controlled drug release prompted by the mechanical responses of magnetic-responsive hydrogels when exposed to an external magnetic field and not based on local thermal changes resulting from hyperthermia effects. The liposomal hydrogels were prepared by encapsulation of 1,2-dipalmitoyl-sn-glycero-3-phosphocoline (DPPC) liposomes loaded with ferulic acid (FA) into a gelatin matrix containing dispersed MNPs. FA was selected as a representative model of small therapeutic molecules with poor bioavailability. Furthermore, the FA's well-known anti-inflammatory and pro-angiogenic properties were also considered, envisaging the future application of these liposomal hydrogel systems in long-term therapeutics requiring sustained controlled drug release and/or as part of tissue engineering tools for enhanced tissue repair [57]. The first stage of this work is focused on the optimization of the DPPC:FA liposome formation procedures through the evaluation of the effect of different liposome preparation parameters, such as the dilution factor, sonication, chemical formulation and purification, on the DPPC:FA liposome characteristics. In a later stage, in-depth kinetic studies were carried out, aiming to provide good knowledge on the impact of the liposome encapsulation in the hydrogel matrix and on the effect of a magnetic field on the FA release kinetics and mechanisms while determining the possible use of these magnetic liposomal delivery systems in long-term treatments.

2. Materials and Methods

2.1. Materials

Gelatin from porcine skin, potassium phosphate monobasic ($\geq 99\%$), sodium phosphate dibasic ($\geq 99\%$), sodium chloride ($\geq 99\%$), potassium chloride ($\geq 99\%$), chloroform ($>99\%$), methanol ($>99\%$), glycerol ($>99.5\%$) and Trans-Ferulic acid were all purchased from Sigma-Aldrich (St. Louis, MO, USA). 16:0 PC (DPPC) 1,2-dipalmitoyl-sn-glycero-3-phosphocoline was obtained from Avanti Polar Lipids, Inc. (Alabaster, AL, USA).

2.2. Methods

2.2.1. Synthesis and Characterization of the Iron Oxide Nanoparticles

MNPs were prepared following the experimental procedures described in a previous publication [58]. Briefly, the MNPs were synthesized, in alkaline media, by chemical co-precipitation of two iron salts: FeCl_3 and FeCl_2 . An aqueous solution of 25% (*v/v*) ammonium hydroxide was added to the salt mixture, under permanent stirring at 1250 rpm, at 80 °C, in a N_2 atmosphere. The MNPs synthesized were characterized by transmission electronic microscopy (TEM) using a Hitachi H8100 TEM with a LAB6 filament and an acceleration tension of 200 kV to access the MNPs' size and size distribution.

2.2.2. Liposome Preparation, Loading and Purification

Multilamellar vesicles (MLVs) composed of 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC) were prepared using the thin-film hydration method following the procedures described by Pires et al. [59]. Briefly, it consisted of the dissolution of the lipidic component (DPPC) in an organic phase, which, in this case, was a methanol/chloroform (1:4) solution, followed by the formation of a lipidic film in the recipient walls by solvent evaporation by exposure to an inert gas stream (N₂ gas stream) for three hours. Finally, the lipidic film was hydrated with a phosphate buffer solution (PBS) at 55 °C for at least 1 h under constant stirring at 320 rpms, leading to the formation of unloaded DPPC vesicle systems. The DPPC vesicles were exposed to different sonication times varying from 15 min to 45 min, aiming to avoid the presence of larger vesicle aggregates. These unloaded vesicle systems (DPPC-unloaded liposomes) were used as the control samples for comparative terms.

DPPC liposomes loaded with FA (DPPC:FA liposomes) were prepared following the methodology described above but including the FA loading step, which was carried out by adding the FA to the organic phase. The obtained vesicle systems were sonicated for different times varying between 15 min and 60 min. The effect of sonication time on liposome structural characteristics was determined based on dynamic light scattering (DLS) measurements, which allowed for the selection of the ideal sonication time based on the liposome size heterogeneity assessed by analysis of the polydispersity index (PI).

Liposomes with different chemical formulations were prepared using a constant DPPC concentration of 2 mM and different FA concentrations in a way to obtain liposomes with 3:1, 10:1 and 30:1 relative DPPC:FA mass fractions in order to evaluate the influence of chemical formulation on the liposome dimension, size heterogeneity, encapsulation efficiency (% EE) and loading capacity (% LC).

Liposomes were purified using a dialysis bag of regenerated cellulose with a molecular weight cut-off of 14 kDa (Spectra/Pro, Biotech, USA) for removal of the unloaded FA remaining in solution after liposome formation. The dialysis bag was filled with the liposomal solution and immersed in a dialysate PBS solution, at pH 7.4, with a volume 100× higher than that of the liposome solution, under constant stirring for 40 h, at room temperature. The dialysate was exchanged after 18 h. The dialysates collected at 18 h and 40 h were analyzed by UV-Vis, and the absorbance was collected in a range from 200 nm to 900 nm to verify the efficiency and completeness of the unloaded FA removal.

2.2.3. Characterization of the Liposomes

Determination of Liposome Dimension

The dimensions of the loaded (DPPC:FA) and unloaded (DPPC) liposomes over time were determined through dynamic light scattering (DLS) using a nanoparticle analyzer (Nano Partica SZ-100, Horiba Scientific (Kyoto, Japan)). DLS measurements were performed in triplicate after liposome synthesis and purification, allowing for the determination of liposome size distribution, the mean size, the polydispersity index (PI) and the respective standard deviations.

Entrapment Efficiency (EE) and Loading Capacity (LC) of Ferulic Acid-Loaded Liposomes

The FA entrapment efficiency (EE) was determined for all the liposome suspensions according to Equation (1).

$$EE\% = \frac{M_{\text{Encap}}}{M_{\text{Total}}} \times 100 \quad (1)$$

where M_{Encap} is the amount of FA encapsulated in liposomes after dialysis (g) and M_{Total} is the initial amount of FA (g). The M_{Encap} was calculated by subtraction of the total amount of FA used to prepare the liposomal solution by the amount of FA released during dialysis. The amount of FA released during dialysis was assessed by determination of the absorbance at 311 nm (corresponding to the characteristic absorbance band of FA) in the dialysate samples collected over the dialysis time. The FA absorbance was converted to concentration

using the Lambert–Beer equation and then to the absolute FA mass value by considering the dialysate volume used in liposome dialysis.

The entrapment efficiency (EE) of FA was confirmed by FA quantification upon liposome lysis. In this case, liposomes loaded with FA were added, after dialysis, to a 10% methanol solution to induce the release of FA upon liposome destruction. The released FA was quantified by determination of the absorbance at 311 nm as described above.

The drug loading capacity (LC) was also calculated using Equation (2).

$$LC\% = \frac{M_{\text{Encap}}}{M_{\text{Lipid}}} \times 100 \quad (2)$$

where M_{Encap} is the same parameter as in the previous equation and M_{Lipid} is the total amount of lipid used to prepare the liposomal formulation.

2.2.4. Preparation of the Liposomal Hydrogel Membranes

The DPPC:FA liposomes were encapsulated into gelatin matrices/membranes by using active and passive approaches immediately after dialysis to minimize the possibility of liposomal aggregation and fusion. Active encapsulation was carried out by diffusion of unloaded (DPPC liposomes) and FA-loaded DPPC liposomes (DPPC:FA liposomes) into magnetic-responsive gelatin membranes previously prepared according to the procedure described by Manjua et al. [58]. Briefly, gelatin matrices were prepared by flat casting an 8% (*w/v*) porcine skin gelatin solution, containing 0.25% (*w/v*) of dispersed MNPs, in a silicone round mold and keeping it at 4 °C overnight for gelification. The gelatin hydrogel membranes doped with the MNPs were gently removed from the silicone round mold after gelification and crosslinked by immersion in 50 mL of an aqueous solution containing 1% (*v/v*) of glutaraldehyde (GA) for three hours at 4 °C. After crosslinking, the hydrogel membranes were washed with Milli-Q water for the removal of loosely bound components. Membrane washing was performed by immersion of the membranes in 10 mL of Milli-Q water under permanent stirring at 220 rpm. The washing solution was renewed every 10 min and analyzed in a UV-Vis spectrophotometer in a range from 200 nm to 900 nm. This washing procedure was repeated until the total disappearance of the bands corresponding to the release of unbound materials (loosely bound GA, gelatin molecules and MNPs). A minimum of five washing cycles were needed for complete membrane washing.

Passive encapsulation of the liposomes was performed by direct mixing of the liposomal solution into 8% gelatin solution at 40 °C. This mixture was stirred at 300 rpm for 15 min. This gelatin solution was then cast on a silicone round mold (0.2 mL/cm²) and kept at 4 °C, overnight, for gelification.

2.2.5. Characterization of the Hydrogel Membranes

Swelling Ability

Small hydrogel membrane pieces of 1 cm² were cut and dried for 2 h in a fume hood. The membrane samples were immersed in 5 mL of PBS buffer (pH 7.4) and kept in an incubator at 37 °C under constant stirring at 220 rpm. The membrane weight and dimensions were determined before the immersion and frequently monitored during membrane immersion for 28 h. The swelling ratio was calculated using Equation (3).

$$\% \text{Swelling ratio} = \frac{W_s - W_d}{W_d} \times 100 \quad (3)$$

where W_s and W_d correspond to the weight of swollen and dry hydrogel membranes, respectively.

Determination of the Hydrophilic Character and Water Uptake

The surface properties of the hydrogel membranes were assessed by determining the surface contact angle using a drop shape analyzer (KSV, CAM 100), coupled to a video camera for image acquisition. The surface contact angles were determined using glycerol

as a solvent, instead of water, to minimize the penetration of the drop into the hydrogel matrix. The contact angles were determined as the average of 6 measurements.

Analysis of the Structural Integrity of the Liposomes-Encapsulated Hydrogels

The liposomal-based hydrogels were analyzed by optical microscopy to infer the impact of the encapsulation process on the structural integrity of the liposomes. Optical microscopy analyses were performed using an optical microscope (Nikon, Eclipse Ci) adapted to a digital camera (ProgRes CT3). The liposomal-based hydrogel membranes were analyzed with 10× eyepiece magnification and objective magnification ranging from 4× to 50×.

Chemical Characterization of the Liposomal Hydrogels

ATR-FTIR spectroscopy analysis was performed to evaluate the hydrogel crosslinking and for inspecting the presence of possible interactions of MNPs and DPPC liposomes with the gelatin matrix. ATR-FTIR spectra were collected using an FT-IR spectrometer (PerkinElmer (Shelton, CT, USA), Spectrum Two) in the spectral region from 400 to 4000 cm^{-1} , at a 1 cm^{-1} resolution.

2.2.6. Ferulic Acid Release Assay

FA release assays were performed, aiming at characterizing the FA release kinetics and mechanisms from liposomal hydrogel membranes while understanding the contribution of the liposome, hydrogel network and magnetic field in the regulation of the FA release. The role of the liposome and magnetic field stimuli on the controlled release of FA was determined by a comparative analysis of the FA release from liposomal hydrogels with that obtained from hydrogels doped with FA (without liposomes) and from DPPC:FA liposomal suspensions. The FA release was conducted in the presence and absence of a magnetic field to assess the effect of magnetic stimulation on the FA release profiles.

The liposomal hydrogel systems used in the release assays were prepared by passive encapsulation of 3:1 and 10:1 DPPC:FA liposomes in an 8% (*w/v*) porcine gelatin solution containing dispersed MNPs with concentrations of 0%, 0.25% and 1% (*w/v*) and crosslinked with GA, as described in Section 2.2.4.

In this regard, small pieces of the different hydrogel membranes of 1 cm^2 were immersed in 5 mL of fresh PBS and placed in an incubator with an orbital shaker (Incubating Light Duty Orbital Shakers, Ohaus, Parsippany, NJ, USA) at a controlled temperature of 37 °C (physiological temperature). The PBS solution was frequently sampled over time and analyzed in a UV-Vis spectrophotometer for absorbance spectra acquisition at the spectral region of the FA, considering the absorbance band at 311 nm to avoid superimposition with the absorbance signal from proteins at 280 nm and possible interferences from dissolved gelatin. In the experiments conducted with magnetic field stimulation, a neodymium bar allowing a magnetic field intensity of 0.08 T was placed under the beaker containing the immersed hydrogel sample.

The release assays from liposomal solutions were carried out following identical procedures. However, in this case, the liposome solution was kept inside a regenerated cellulose dialysis bag with a molecular weight cut-off of 14 kDa (Spectra/Pro, Biotech, USA), which was then immersed in a PBS dialysate solution (pH 7.4) and placed inside an incubator with controlled temperature at 37 °C under constant orbital shaking. The FA release was monitored by periodic measurement of the dialysate absorbance at 311 nm. In this case, the resistance of the dialysis bag to the FA transport was considered negligible, considering the differences between the MWCO of the dialysis bag (14 kDa) and the FA molecular size (194.18 g/mol).

The FA release kinetics and mechanisms were studied by adjustment of the experimental data points to release kinetic models, such as zero-order, 1st-order, Higuchi

and Korsmeyer–Peppas models expressed by Equations (4)–(7) and their respective linearized forms [60,61].

$$\frac{dM_R}{dt} = k, \text{ zero-order model} \tag{4}$$

$$M_R = kt, \text{ linearized zero-order model}$$

$$\frac{dM_R}{dt} = k \times M_R, \text{ 1st-order model} \tag{5}$$

$$\text{Ln}(M_R) = \text{Ln}(M_{R0}) + kt, \text{ linearized 1st-order model}$$

$$M_R = k_H t^{0.5}, \text{ Higuchi model} \tag{6}$$

$$\text{Log}(M_R) = \text{Log}(k_H) + 0.5\text{Log}(t), \text{ linearized Higuchi model}$$

$$\frac{M_R}{M_T} = k_{KP} \times t^n, \text{ Korsmeyer–Peppas model} \tag{7}$$

$$\text{Log}\left(\frac{M_R}{M_T}\right) = \text{Log}(k_{KP}) + n\text{Log}(t), \text{ linearized Korsmeyer–Peppas model}$$

where M_R is the amount of the FA released to dissolution media at time t , M_T is the total amount of FA in the delivery platform (i.e., the hydrogel membrane, the liposome and the liposomal hydrogel) at the beginning of the experiment ($t = 0$ min), n is the exponential diffusion, and k_0 , k_1 , k_H and k_{KP} are the zero-order, 1st-order, Higuchi and Korsmeyer–Peppas release constants, corresponding to the constant release rates.

The FA release kinetics and mechanism were determined by a comparative analysis of the values obtained for the different release parameters.

3. Results and Discussion

3.1. Synthesis and Characterization of FA-Loaded Liposomes

The first stage of this work was focused on the synthesis of liposomes with fine-tuned characteristics, i.e., low-size heterogeneity, aiming to obtain monodispersed liposomal suspensions and thus avoiding the presence of vesicle aggregates. In this regard, the effect of different liposome preparation variables, such as the dilution factor of the liposome suspensions, sonication time, chemical formulation and purification, on liposome dimension and size heterogeneity was studied to ensure the optimal adjustment of the parameters, which may lead to the preparation of liposomes with desirable characteristics. The liposome dimension and size heterogeneity were evaluated based on the vesicle mean size and the polydispersity index (PI) values obtained by DLS analysis.

Table 1 shows the mean size and PI values obtained for unloaded liposomes with different dilution factors and prepared by exposure to different sonication times.

Table 1. Mean sizes and the respective PI values obtained for DPPC liposomes dispersed in PBS solution with different dilution factors and exposed to different sonication times.

| Liposome | Dilution Factor | Sonication Time (min) | Mean Size (nm) | PI |
|------------|-----------------|-----------------------|----------------|-------------|
| DPPC_5_30 | 5× | 30 | 705.3 ± 155.8 | 0.52 ± 0.03 |
| DPPC_10_30 | 10× | 30 | 559.5 ± 27.6 | 0.76 ± 0.21 |
| DPPC_5_45 | 5× | 45 | 270.6 ± 139.2 | 0.53 ± 0.09 |
| DPPC_10_45 | 10× | 45 | 237.7 ± 65.4 | 0.51 ± 0.12 |

As shown in Table 1, a remarkable decrease of ca. 60% in the liposome mean sizes was observed with the increase in the sonication time from 30 min to 45 min. The observed decrease in liposome sizes from 500–700 nm to the 200–300 nm range suggested an increase

in the content of small unilamellar vesicles (SUVs) to the detriment of the multilamellar vesicles (MLVs). The increase in the dilution factor also led to a decrease in the liposomal size, but, in this case, the effect was much less expressive than that obtained by the increase in the sonication time. The effect of the sonication time and the dilution factor on the PI values was not totally evident. However, PI values varying from 0.51 ± 0.12 and 0.53 ± 0.09 were consistently obtained when increasing the sonication time to 45 min. The DLS correlograms respective to the liposomes described in Table 1 were added in Figure A1A in Appendix A for a better perception of the effect of the sonication time on the liposome polydispersity (PI). A comparative analysis of the DLS correlograms in Figure A1A shows that the increase in the sonication time is followed by a narrowing of the correlation function, compatible with the increase in liposome size homogeneity (e.g., higher size monodisperse character) in suspension.

The impact of FA loading in the liposome and the liposome purification step on the liposome morphology was then inspected. DPPC liposomes loaded with FA (DPPC:FA liposomes) were prepared using different DPPC:FA ratios of 3:1, 10:1 and 30:1. Table 2 shows the mean size and PI values obtained for a non-diluted solution of the DPPC:FA liposomes, upon 60 min sonication, before and after purification by dialysis.

Table 2. Mean size and PI values obtained for non-diluted solutions of unloaded DPPC liposomes and DPPC liposomes loaded with 3:1, 10:1 and 30:1 DPPC:FA ratios, upon 60 min of sonication, before and after purification by dialysis.

| Liposome | Purification | Mean Size (nm) | PI |
|--------------|--------------|--------------------|-----------------|
| DPPC | | 106.6 ± 45.9 | 0.36 ± 0.34 |
| DPPC:FA_30:1 | No | 716.5 ± 103.7 | 1.49 ± 0.44 |
| DPPC:FA_10:1 | | 1385.7 ± 443.3 | 0.12 ± 0.01 |
| DPPC:FA_3:1 | | 1200.0 ± 257.8 | 0.12 ± 0.11 |
| DPPC:FA_30:1 | Yes | 714.1 ± 152.9 | 0.71 ± 0.01 |
| DPPC:FA_10:1 | | 992.6 ± 121.0 | 0.15 ± 0.06 |
| DPPC:FA_3:1 | | 775.9 ± 39.4 | 0.17 ± 0.02 |

The sonication time was further increased from 45 min (Table 1) to 60 min (Table 2), allowing for an additional reduction in the liposome size to 106.6 ± 45.9 and size polydispersity, expressed by a reduction in PI values of the unloaded DPPC, to 0.36 ± 0.34 . The impact of sonication time on the dimension of FA-loaded liposomes was also studied (Table A1 in Appendix A), and it was observed to produce a significant decrease in the PI values from the value of 0.63 ± 0.05 obtained for DPPC:FA 10:1 liposomes (Table A1 in Appendix A) to 0.12 ± 0.01 for DPPC:FA 10:1 liposomes (Table 2). No significant changes were noticed in DPPC:FA liposomes with a lower FA fraction, i.e., DPPC:FA 30:1 liposomes, suggesting a possible role of FA in the structural characteristics of these liposomes. In fact, Table 2 also shows that the encapsulation of FA led to an increase in the liposomal size, more evident in liposomes with higher FA fractions, i.e., 3:1 and 10:1 DPPC:FA liposomes, followed by a significant decrease in the PI to half of its value, thus evidencing the positive contribution of FA for the size homogeneity of the liposomal suspension.

Also, liposome purification by dialysis was found to have a remarkable effect on the liposome size, leading to a significant decrease in the average size of the liposomes from the 1200–1400 nm range to values below the microscale dimensions (<1000 nm), as illustrated in Figure 1 and Table 2. Minor changes were observed in the mean size of liposomes formed by the lowest FA proportion of 30:1 DPPC:FA. The liposome mean sizes obtained before purification suggested the predominance of MLVs with the potential presence of vesicle aggregates. Yet, despite the decrease in the average liposome sizes, MLVs were still predominant after dialysis, with the 3:1 DPPC:FA sizes varying from 750 nm to 1000 nm, whereas the 10:1 DPPC:FA sizes were mostly contained in the 750 nm–1750 nm range, as illustrated in Figure 1. The effect of dialysis was also noticed in the DLS correlograms of purified and non-purified liposomes shown in Figure A1B, confirming the efficiency of

dialysis in removing possible forming aggregates and large vesicles present in suspension. However, it is noteworthy that these changes in the liposome size distribution did not have a pronounced effect on the size heterogeneity of liposomes with higher FA content (3:1 and 10:1 DPPC:FA liposomes), as reflected by the insignificant changes in PI values (Table 2). Figure A1C also illustrates the DLS correlograms obtained for DPPC:FA liposomes after dialysis, prepared by an optimized selection of the liposome synthesis variables considered in the present work.

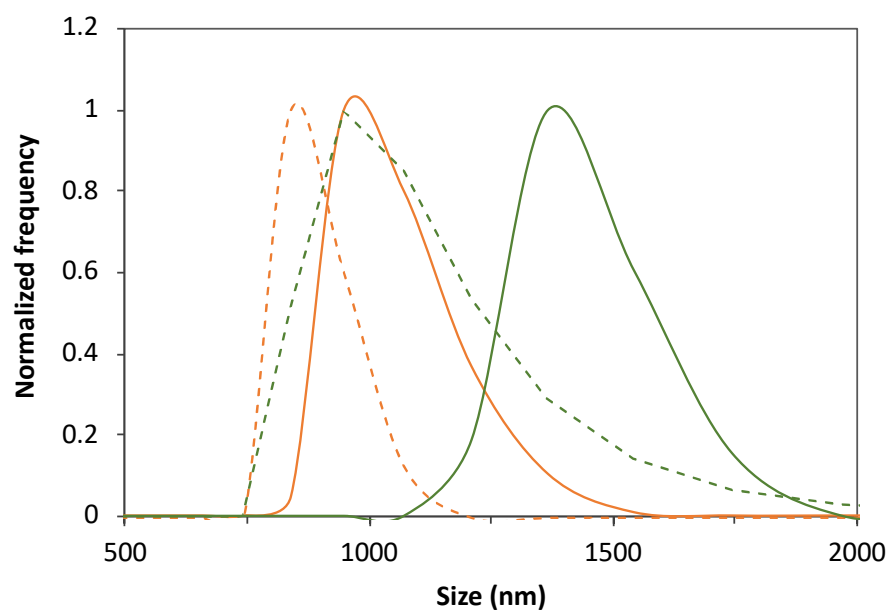


Figure 1. Illustration of the effect of FA loading, purification by dialysis and DPPC:FA formulation on the liposome size distribution. Size distribution of 3:1 (orange lines) and 10:1 (green lines) DPPC:FA liposomes before (solid lines) and after (dashed lines) dialysis.

The entrapment efficiency (EE) and loading capacity (LC) obtained for FA-loaded DPPC liposomes prepared using different DPPC:FA ratios were determined using Equations (1) and (2), respectively. The results obtained are listed in Table 3.

Table 3. Encapsulation efficiency (EE) and loading capacity (LC) obtained for liposomes prepared with different DPPC:FA ratios, upon purification by dialysis.

| Liposome | EE (%) | LC (%) |
|--------------|--------|--------|
| DPPC:FA_30:1 | 62.1 | 1.28 |
| DPPC:FA_10:1 | 73.53 | 6.73 |
| DPPC:FA_3:1 | 88.06 | 14.01 |

The results depicted in Table 3 show that the increase in the FA fraction in the liposomal formulations led to the increase in entrapment efficiency, EE, and loading capacity, LC. This result was already expectable as it results from the higher availability of FA for encapsulation in the lipidic bilayer of liposomes per amount of the lipidic component.

Considering the characteristics of the prepared DPPC:FA liposomes described above, the MLVs with the highest fractions of FA, i.e., 10:1 and 3:1 DPPC:FA, were selected for the development of the liposomal hydrogels described in the following sections of this paper.

3.2. Design and Characterization of the Liposomal Gelatin Membranes

The main goal of this work was to develop liposomal hydrogel delivery systems allowing for a magnetically modulated and long-term drug release while providing a deeper understanding of the impact of liposomal encapsulation and the effect of the

magnetic field on the release mechanisms and kinetics of small bioactive molecules with poor bioavailability. In this regard, FA, a small organic bioactive molecule with anti-inflammatory and pro-angiogenic properties, was used as a representative model molecule in this work.

The magnetic-responsive liposomal hydrogels were prepared by encapsulation of the MLV DPPC liposomes loaded with FA (3:1 and 10:1), previously described and listed in Table 2, into gelatin membranes containing dispersed iron oxide nanoparticles (MNPs)—magnetic-responsive gelatin. On the one hand, the liposomes were used as drug reservoirs offering a more efficient imprisonment of small therapeutic molecules than that achieved by direct drug immobilization in hydrogel matrices. On the other hand, the unique mechanical behavior of magnetic-responsive hydrogels (magnetic-responsive gelatin in the case of the present study) in the presence of magnetic stimuli was explored for improved in situ and on-demand regulation of FA delivery.

3.2.1. Encapsulation of Liposomes into the Gelatin Membranes

MLVs are vesicles consisting of multiple concentric lipid bilayers, which are considered less effective drug delivery systems than SUVs as they are more prone to fusion and aggregation, which may result in the loss of their enclosed payload. Furthermore, the possible immunogenicity and toxicity of MLV liposomes can also present difficulties; hence, SUVs tend to be preferred for more accurate targeting and effective cellular uptake. However, MLVs present specific characteristics that make them more attractive considering the primary objective of the present work, which is the development of liposomal hydrogel systems allowing for long-term drug storage and transportation. MLVs are larger than SUVs, allowing for a higher capacity for drug loading, and their multilayered lipid bilayers enable higher tolerance to harsh conditions such as pH changes or temperature variations while working as additional barriers to drug transport, delaying drug release and thus providing a controlled and prolonged drug release profile. Furthermore, the additional premises of using MLVs were that (i) MLVs largely remain intact during the hydrogel preparation process (crosslinking method), contrary to SUVs (higher tendency to rupture); (ii) the mechanical stability of SUVs, even in a hydrogel matrix, can be lower compared to the MLVs; and (iii) the lower size of SUVs can enable their easier diffusion through the gelatin hydrogel, and their unilamellarity tends to destabilize and immediately releases high levels of ferulic acid (burst release).

The encapsulation of DPPC:FA liposomes into gelatin membranes was attempted by active and passive immobilization procedures. Active immobilization involved the diffusion of the liposomes from the liposomal solution by swelling of the pre-formed magnetic-responsive gelatin membranes crosslinked with glutaraldehyde. Passive immobilization consisted of the direct addition of the liposome solution to the gelatin solution containing dispersed MNPs with an average size of 12.7 nm (Figure 2A) before casting and gelification. The liposomal gelatin membranes were then immersed in a glutaraldehyde solution for crosslinking, rendering magnetic-responsive gelatin membranes resembling the one shown in Figure 2B.

The liposomal gelatin membranes obtained by active and passive liposome encapsulation were inspected by using optical microscopy. Microscopy analysis of the liposomal hydrogels was performed for evaluation of the efficiency of the different experimental approaches used for encapsulation based on the presence of the encapsulated liposomes, the liposome dispersion quality and structural integrity. Figure 3 shows the images obtained through optical microscopy of the liposome hydrogel membranes with different crosslinking degrees, prepared by active and passive immobilization of DPPC:FA liposomes, before washing (on the left). The images shown on the right side correspond to the same respective gelatin membranes after washing by immersion for different durations (from 0 to 6 h) in PBS at pH 7.4 and 37 °C.

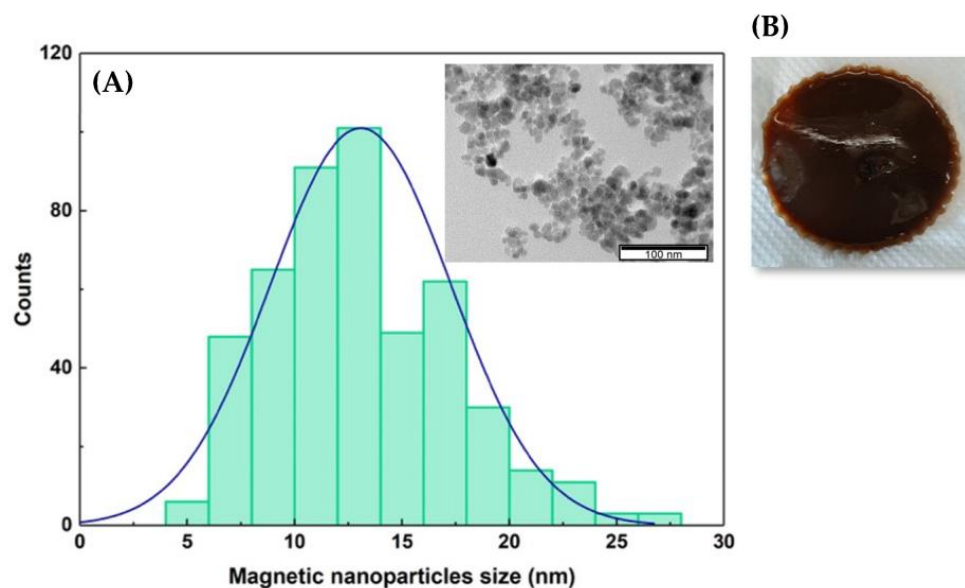


Figure 2. (A) Size distribution of the MNPs adjusted to a Gaussian function. Inset. Image of the MNPs obtained by transmission electron microscopy (TEM). (B) Photo of the magnetic-responsive gelatin doped with 1% of MNPs.

Several randomly dispersed vesicles resembling the typical structure of liposomes and/or liposome aggregates were identified before hydrogel washing in hydrogels prepared by active immobilization. A comparative analysis of Figure 3A,C,E seems to indicate that the number of immobilized vesicles depended on the hydrogel crosslinking degree, decreasing with the increase in the hydrogel crosslinking reaction time from 3 h to 6 h. The decrease in the number of immobilized vesicles was possibly explained by the limited diffusion of the liposomes into the hydrogel network, which was expected to increase with the increase in the crosslinking degree due to the reduction in the dimension of the hydrogel voids. An inspection of the microscopy images obtained for liposomal hydrogel membranes after washing, as shown in Figure 3B,D and F, revealed the total loss of the liposomal vesicles from the membranes during the washing process, suggesting that active immobilization led to a non-efficient internalization of liposomes into the gelatin matrix.

The removal of liposomes from the hydrogel membranes was possibly due to the limited access of liposomes to hydrogel cavities with reduced dimensions or located in less accessible regions of the crosslinked hydrogel, which would assure more efficient imprisonment of the liposomes. The limited diffusion of liposomes into the hydrogel network forced their location at the surface of the hydrogel and in the larger cavities in the hydrogel matrix, allowing for easier liposome removal by back diffusion to the clean washing solution, upon hydrogel swelling at 37 °C, due to the osmotic difference effect.

Finally, the passive immobilization of liposomes was tested, aiming at reducing the diffusional limited encapsulation of the liposomes. The microscopy images obtained for liposomal hydrogels prepared by passive immobilization in Figure 3G,H seem to indicate a much lower number of entrapped vesicles than that observed for liposomal hydrogels prepared by active immobilization, before washing (Figure 3G). Despite the reduced number, the immobilized vesicles showed good structural integrity and a liposome-like structure, and, thus, they were also ascribed to the presence of liposomes (non-discarding the possible presence of liposomal aggregates).

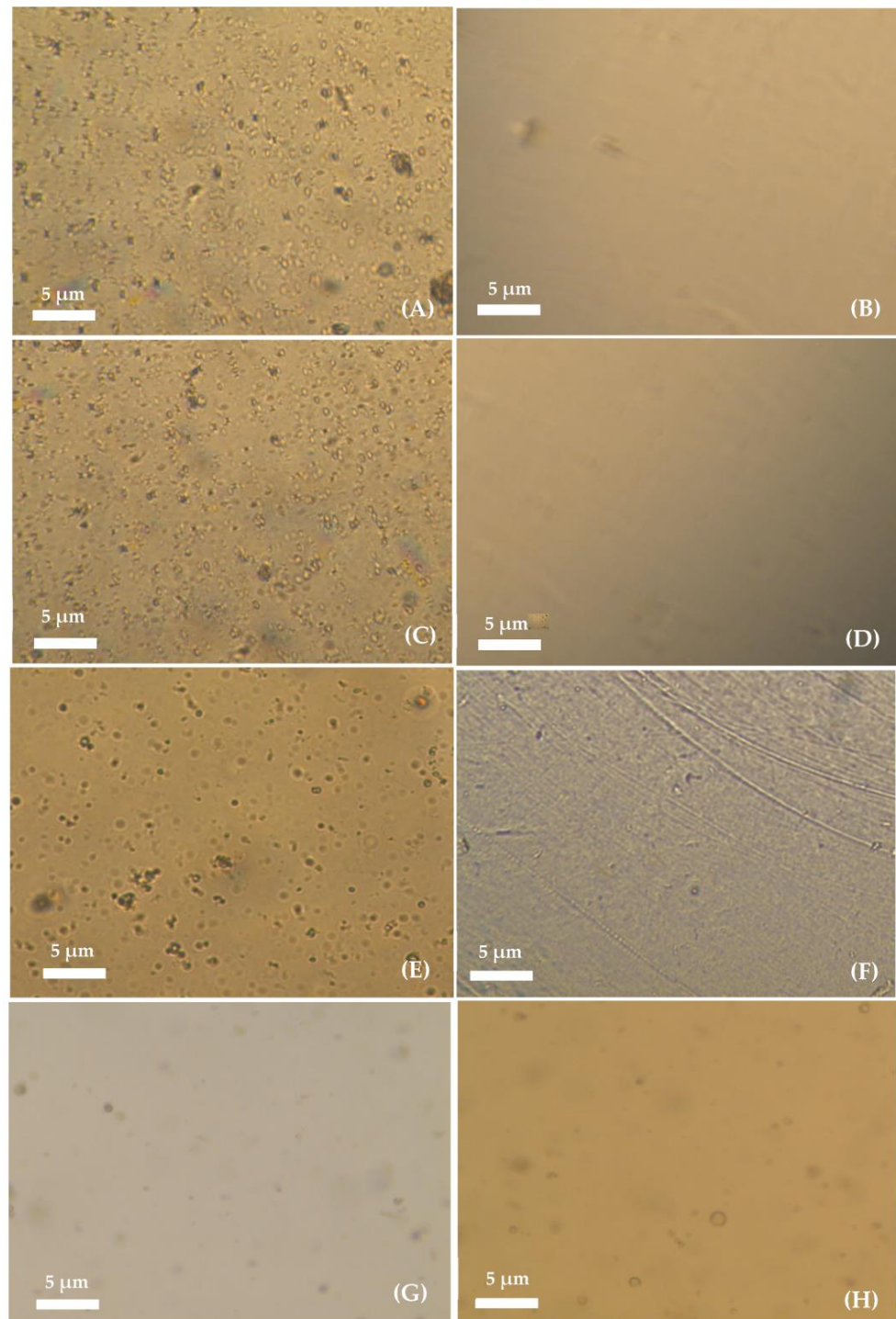


Figure 3. Images of the non-crosslinked liposomal-based hydrogel prepared by active immobilization of DPPC:FA liposomes acquired (A) before and (B) after washing for 6 h; liposomal-based hydrogel prepared by active immobilization of DPPC:FA liposomes in a gelatin membrane crosslinked for 3 h acquired (C) before and (D) after washing for 6 h; liposomal-based hydrogel prepared by active immobilization of DPPC:FA liposomes in gelatin membranes crosslinked for 6 h acquired (E) before (F) and after washing for 6 h; and liposomal-based hydrogel prepared by passive immobilization of DPPC:FA liposomes crosslinked for 3 h acquired (G) before and after (H) washing for 6 h. Hydrogel washing was performed through immersion of the hydrogels in PBS solution with pH 7.4 at 37 °C. All images were taken with 10× magnification with 10× eyepiece.

The comparative analysis of the hydrogel images before (Figure 3G) and after washing (Figure 3H) revealed a minimal loss of liposomes during the washing step, evidencing that passive immobilization allowed for more efficient development of liposomal hydrogel delivery systems with improved stability (with respect to the capacity to retain the encapsulated liposomes).

The ability of the gelatin membranes to retain and stabilize the encapsulated liposomes and to regulate FA release is very much dependent on their swelling capacity. The swelling ability is influenced by the temperature, the capacity of the crosslinking agent to covalently bind two polymer chains and the potential contribution of the embedded liposomes. Liposomes might possibly produce two opposite effects: 1. liposomes may be able to interact with the gelatin molecules, increasing the structural cohesion of the hydrogel matrix and contributing positively to the hydrogel stability but negatively to the hydrogel swelling capacity, and 2. liposomes may potentially perturb the access of the crosslinking agent to the gelatin molecules through a stereochemical effect, thus reducing the crosslinking efficiency. In this last case, the liposome would exert a negative contribution in terms of hydrogel stability and a much possible positive contribution in terms of the hydrogel swelling capacity.

3.2.2. Determination of the Liposomal Gelatin Membranes Swelling Ability

Studies were performed to evaluate the effect of liposomes and MNPs on the swelling ability of the gelatin membranes under specific physiological conditions, i.e., at pH 7.4 and 37 °C.

The swelling ratios of the gelatin membranes with embedded MNPs (magnetic-responsive gelatin membranes), gelatin membranes with encapsulated liposomes (without MNPs) and magnetic-responsive gelatin membranes containing 0.25% and 1% MNPs, with encapsulated liposomes, were determined using Equation (3) and compared with that obtained for native gelatin membranes, i.e., without any encapsulated component. The swelling ability of each liposomal gelatin membrane was determined based on an analysis of the swelling ratio profiles over time, represented in Figure 4.

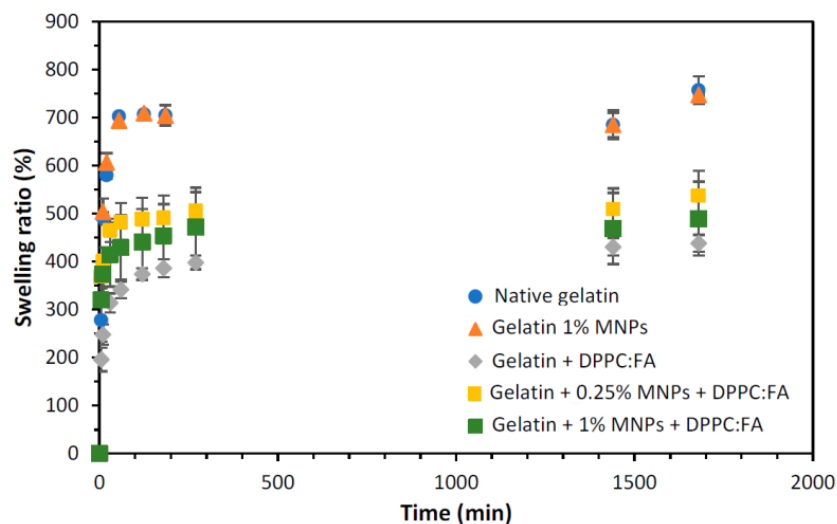


Figure 4. Swelling ratio obtained for gelatin membranes without (dark blue circles) and with 1% of MNPs (orange triangles), gelatin membranes with internalized DPPC:FA liposomes (grey diamonds) and magnetic-responsive gelatin membranes doped with 0.25% (yellow squares) and 1% MNPs (green squares) and with encapsulated 3:1 DPPC:FA liposomes, by immersion in PBS solution at 37 °C.

The analysis of the swelling ratio profiles revealed a more accentuated increase in the gelatin membrane swelling during the first hour, reaching a plateau after 120 min. Native gelatin membranes and gelatin membranes with embedded MNPs showed identical swelling ratios, evidencing that the presence of MNPs (in the concentrations used in

this study) does not significantly affect the swelling and water uptake capacity of these membranes. Contrastingly, a remarkable decrease in the maximum swelling was observed for gelatin membranes with immobilized DPPC:FA liposomes, suggesting the contribution of these liposomal structures to an additional cohesion of the gelatin matrix. A similar effect has been reported by other authors. Wu et al. [46] and Lee et al. [62] showed that the encapsulation of liposomes in hydrogel matrices improved the hydrogel strength, increasing the compressive modulus of the liposomal gel and thus justifying a higher resistance to deformation and lower swelling capacity. In a previous work, Lee et al. [62] explained the increase in the strength of gelatin-DPPC liposomal systems due to the interaction of liposomes with the hydrophobic moieties in a gelatin network, which acted as additional crosslinkers. However, given the diversity of the functional groups present in a protein chain and the high hydrophilicity of the hydrogel, the crosslinking effect of liposomes may also be plausibly explained by the interaction of the polar phospholipidic heads of the DPPC located at the external surface of liposomes with the hydrophilic moieties present in the gelatin chain.

The active contribution of the liposomes in hydrogel crosslinking may explain the higher retention of liposomes in liposomal hydrogel systems prepared by passive immobilization, as the addition of liposomes to the polymer solution before gelification may render the imprisonment of liposomes in more internal hydrogel regions, followed by a decreased liposome back diffusion ascribed to the lower swelling ability of these liposomal gelatins.

3.2.3. Chemical Characterization of the Liposomal Gelatin Membranes

The ATR-FTIR spectra of the liposomal gelatin membranes were determined and analyzed to obtain information on the chemical characteristics of the gelatin membranes and to confirm the presence of FA, MNPs and DPPC:FA liposomes in magnetic-responsive liposomal gelatin membranes. Figure 5 shows the transmittance spectra obtained for the different gelatin membranes.

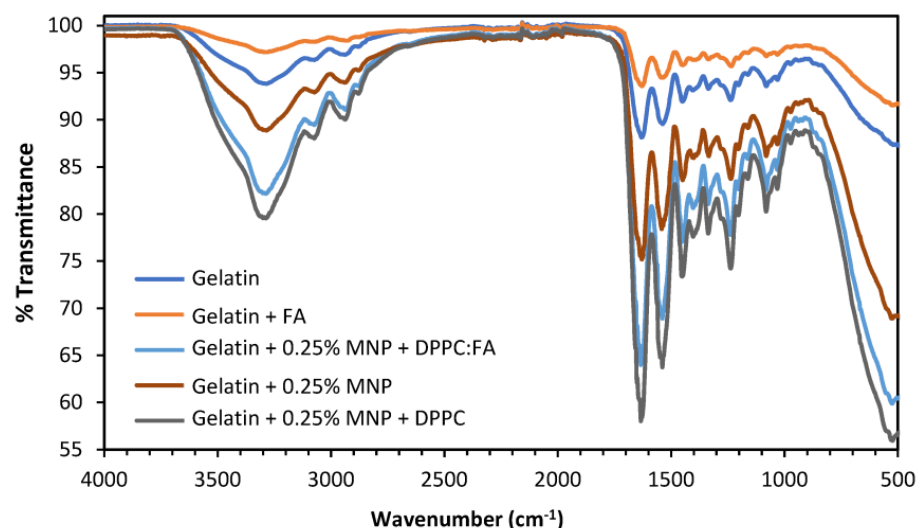


Figure 5. ATR-FTIR spectra for the native gelatin membranes with (orange line) and without (dark blue line) immobilized FA (without liposomes) and magnetic-responsive gelatin doped with 0.25% MNPs without liposomes (brown line), with unloaded liposomes (dark grey line) and with liposomes loaded with FA (light blue).

Similar FTIR spectra were obtained for all membranes analyzed, mostly characterized by the presence of the bands located in the same spectral region, indicating that the presence of FA, MNPs and DPPC:FA liposomes, possibly due to their small amounts in the membrane, have little influence on the FTIR spectra. A broad band identified between

3600 cm^{-1} and 3100 cm^{-1} was attributed to the -NH and -OH stretching vibrations [63], visible for all samples. The spectral region from 1700 cm^{-1} to 800 cm^{-1} shows major spectral bands at 1630 cm^{-1} , 1539 cm^{-1} and 1239 cm^{-1} , characteristic of gelatin spectra [63] and attributed, respectively, to the C=O and C-N stretching vibrations of the amide carbonyl group in Amide I, the N-H and C-N stretching vibrations of groups in Amide II and C-N and N-H stretching vibrations in Amide III.

A small spectral band at 523 cm^{-1} only observed for gelatin membranes embedded with MNPs was attributed to the presence of the MNPs. As reported in further literature, MNP's characteristic band is located from 580 cm^{-1} to 400 cm^{-1} [64], denoting a spectral shift for MNPs embedded in the gelatin membranes. This spectral shift from 580 cm^{-1} to 523 cm^{-1} might be explained by the low MNP concentrations, which make their detection difficult.

FA is the most difficult element to identify in these spectra, which may result from its low solubility in aqueous phases, justifying a low FA content outside liposome structures. Actually, the quantification of FA performed through UV-Vis analysis, after the destruction of a membrane containing 0.25% MNPs and embedded DPPC:FA liposomes, showed that the total amount of FA in the membranes was as low as $2.5 \times 10^{-5} \text{ g/cm}^2$. Furthermore, most of the characteristic spectra bands of FA are located between 1668 cm^{-1} and 685 cm^{-1} and are coincident with many of the characteristic spectral bands of gelatin, which also perturbs the detection of FA spectral signals.

3.3. Ferulic Acid Release Assays

The FA release profiles obtained from liposomal gelatin membranes embedded with 3:1 and 10:1 DPPC:FA liposomes were determined and compared with those obtained with native gelatin membranes with immobilized FA and with a free MLVs DPPC:FA liposome suspension in order to understand the impact of the hydrogel matrix on FA release from liposome delivery systems.

The liposomal hydrogels used for FA release assays were prepared via passive encapsulation of DPPC:FA liposomes, as previously described, and all FA release experiments were conducted at pH 7.4 and 37 °C to mimetic the physiological conditions of the human organism.

As shown in Figure 6, the FA release profiles obtained for all delivery systems studied were characterized by a faster FA release in the initial process stage, followed by a decrease in the FA release rates over the process time, suggesting the dependence of the release rate on the FA concentration. This trend was, however, less notorious for liposomal gelatins with encapsulated 3:1 DPPC:FA liposomes, which showed a more linear and smoother FA release over time. The release of FA directly immobilized into the gelatin matrices was complete after 2880 min (48 h) in contrast to that observed for liposome suspensions and liposomal gelatin membranes, which showed delayed FA delivery, with maximum cumulative FA release reaching values of 36.8%, 32.9%, 22.1% and 6.2% for liposome suspensions and liposomal hydrogels with 10:1 and 3:1 DPPC:FA liposomes, respectively, over an identical experimental period of 48 h.

For a deeper comparative analysis, the FA release profiles were adjusted to different mathematical models, such as zero-order (Equation (4)) and first-order (Equation (5)) kinetic models and to the empirical Higuchi (Equation (6)) and Korsmeyer–Peppas (Equation (7)) models, aiming at obtaining a good understanding of the FA release mechanisms and kinetics from the different delivering systems.

The FA release obtained for the different delivery systems was found not to be properly described by zero-order kinetic models, since the cumulative FA release does not depend linearly on time. Also, FA release profiles were not best described by a 1st-order kinetic function (Figure A2, in Appendix A), as evidenced by R^2 values < 0.94 (Table A2, in Appendix A), but they finely fitted to Higuchi models (Figure A3, in Appendix A), as expressed by R^2 values of 0.968 and 0.983 (Table A3, in Appendix A). However, despite the good fitting quality, it is important to notice that diffusional exponent values of 0.641,

0.807 and 0.141 were obtained for native hydrogels with immobilized FA and for 3:1 and 10:1 DPPC:FA liposome suspensions, which are significantly different from the exponential diffusion value of 0.5, characteristic of a Higuchian release. For this reason, it is not possible to conclude that the FA release mechanisms in these delivery systems followed a Higuchian release mechanism.

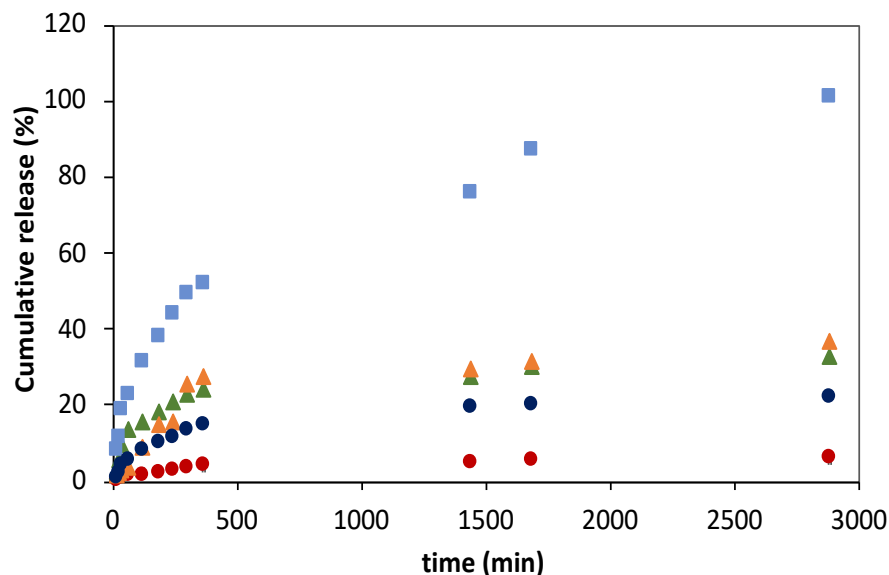


Figure 6. Ferulic acid (FA) release profiles from gelatin membranes with immobilized FA (light blue squares), from liposome suspensions prepared using 3:1 (green triangles) and 10:1 (orange triangles) DPPC:FA fractions and from magnetic–responsive liposomal gelatin membranes doped with 0.25% MNPs containing encapsulated 3:1 (red circles) and 10:1 (blue circles) DPPC:FA liposomes at 37 °C. The cumulative release of FA was determined by $M_R/M_T \times 100$.

FA release profiles from gelatin membranes with immobilized FA and gelatin membranes with encapsulated 3:1 and 10:1 DPPC:FA liposomes were acceptably described by Korsmeyer–Peppas models as shown in Figure A4 in Appendix A, showing R^2 values of 0.980, 0.934 and 0.915 (Table 4).

Table 4. Release constant (k_{KP}) and diffusion exponential (n) obtained for the FA release from gelatin membranes doped with free FA, free liposomes prepared using 3:1 and 10:1 DPPC:FA fractions and 3:1 and 10:1 DPPC:FA liposomes encapsulated in gelatin membranes over 48 h, at 37 °C.

| | Hydrogel + FA | DPPC:FA Hydrogel | | DPPC:FA Suspension | |
|----------|-------------------|-------------------|-------------------|--------------------|--------------------|
| | | 3:1 | 10:1 | 3:1 | 10:1 |
| k_{KP} | 3.818 ± 0.022 | 0.264 ± 0.068 | 1.104 ± 0.090 | 0.352 ± 0.197 | 0.238 ± 0.067 |
| n | 0.428 ± 0.051 | 0.426 ± 0.028 | 0.405 ± 0.037 | 5.810 ± 0.059 | 12.460 ± 0.080 |
| R^2 | 0.980 | 0.934 | 0.915 | 0.920 ± 0.129 | 0.794 ± 0.033 |
| | | | | 0.223 ± 0.022 | 0.129 ± 0.027 |
| | | | | 0.926 | 0.970 |
| | | | | 0.940 | 0.875 |

The FA release mechanism of each delivery system was determined by analysis of the releasing parameters, i.e., the release constant k_{KP} and the diffusion exponent, n, obtained by adjustment of the linearized Korsmeyer–Peppas models to the experimental data points as shown in Figure 7.

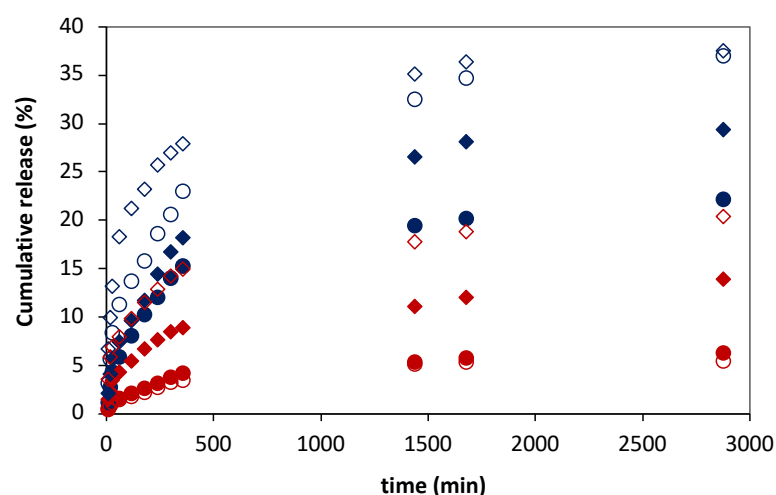


Figure 7. Ferulic acid (FA) release profiles from 3:1 (red symbols) and 10:1 (blue symbols) DPPC:FA liposomes embedded in magnetic-responsive gelatin membranes containing 0.25% MNPs (filled symbols) and 1% MNPs (empty symbols) in the absence (circles) and presence (diamonds) of magnetic field (MF) with an intensity of 0.08 T at 37 °C. The cumulative release of FA was determined by $M_R/M_T \times 100$.

The values obtained for the releasing parameters for each delivery system are listed in Table 4. The release constant, k , expresses the molecular releasing rates, whereas the diffusion exponent, n , indicates the transport mechanism underlying the FA release in each delivery system [60].

The diffusion exponent n was found to be closer to 0.5 for FA delivery from gelatin membranes with dispersed FA and from the DPPC:FA liposomes encapsulated within gelatin membranes, which indicates that the FA release in these systems occurs in a diffusional controlled regime [60], similarly to that previously reported [35]. A comparative analysis of the release constant k_{KP} revealed that the FA release process is significantly faster in gelatin membranes with dispersed FA, showing a release constant k_{KP} of 3.818, than that observed from DPPC:FA liposomes immobilized in gelatin membranes, characterized by k_{KP} values of 0.264 and 1.104 for liposomal hydrogel membranes containing 3:1 and 10:1 DPPC:FA liposomes, respectively, resulting in a remarkably delayed FA release, reaching maximum releasing values of ca. 20% in the same period of 48 h, as shown in Figure 6. The decrease in the FA release rates observed for liposomal hydrogels agrees with previous reports from other authors [35,38], being attributable to the imprisonment of FA into the liposomal vesicles, the higher resistance offered by liposomal hydrogels and the FA transport to the external media. Furthermore, it evidences the important regulatory effect of the liposomal structure in the FA release and its higher capacity to retain FA in comparison to that achieved with native gelatin membranes.

The results also unveiled that FA release from liposomal gelatin membranes is also dependent on the chemical formulation of DPPC:FA liposomes. DPPC:FA liposomes formed by a lower FA proportion, i.e., 10:1 DPPC:FA liposomes, allowed for a faster FA release than that observed for 3:1 DPPC:FA liposomes. Liposomal hydrogels containing dispersed 10:1 DPPC:FA liposomes allowed for 22.1% release of FA in 48 h, leading to a k_{KP} value of 1.104, which contrasts with the 6.2% FA release obtained with the liposomal hydrogels doped with 3:1 DPPC:FA liposomes in the same experimental period, which resulted in a k_{KP} value of 0.264. These results seem to be partially contradictory to those observed by Mourtas et al. [36]. These authors identically reported the existence of a dependence of the release rates of lipophilic molecules, in liposomal hydrogels, on the liposome payloads. However, in contrast with that observed in this work, they concluded that it increased with the increase in the drug load. The delayed FA release observed in the present work from liposomal hydrogels containing liposomes with higher FA loads

(3:1 DPPC:FA liposomes) may hypothetically be explained by the higher stability of these liposomes due to a strong interaction between the FA and the aliphatic chains of the phospholipids in the lipidic bilayer. Due to its hydrophobic character, FA is more likely allocated within the lipidic bilayer than in the hydrophilic liposome core. FA will possibly act as a stabilizer of the lipidic bilayer, creating a more cohesive vesicle and thus enhancing the liposome stability with a consequent decrease in FA release. However, changes in the FA release profiles associated with differences in the structural morphology and mechanical behavior of these liposomal gels caused by the different dimensions of the encapsulated liposomes cannot be excluded.

The FA release mechanisms obtained for the hydrogel-based delivery systems contrast with those obtained with DPPC:FA liposome suspensions. The FA-releasing profiles obtained for DPPC:FA liposome suspensions showed FA cumulative releases of 32.9% and 36.8% after 48 h for 3:1 and 10:1 DPPC:FA liposomes, respectively, corresponding to a delayed release of FA comparatively to that obtained with hydrogels with immobilized FA (Figure 6). These results reveal the higher ability of liposomes to regulate the release of small molecules than that obtained with the native gelatin hydrogels. The poorer drug delivery control of gelatin hydrogel membranes is attributed to the large dimension of the gelatin voids, characteristic of the swollen hydrogel networks, allied in this case to a low interaction of FA with the gelatin matrix, considering the different polarities of these two molecules.

It is interesting to note that the analysis of the FA release profiles with the Korsmeyer–Peppas model seemed to indicate that the release of FA from liposome suspensions obeys a dual-release regime (Figure A4 in Appendix A). As observed in this figure, FA delivery consists of two different releasing stages, with each one described by a different Korsmeyer–Peppas function (Table 4), evidencing a change in the FA release mechanism at the mid-term process. As shown in Table 4, in the initial stage, the FA release was characterized by n values of 0.794 and 0.920, respectively, for 10:1 and 3:1 DPPC:FA liposomes, indicating that the FA release occurs through an anomalous Fickian diffusion. The second stage was characterized by an abrupt decrease in the exponential diffusion n to values <0.3 , followed by a strong increase in the release rate constant, k_{KP} , from values lower than 0.5 in the first stage to values of 5.81 and 12.46 in the second process stage for 3:1 and 10:1 DPPC:FA liposomes, respectively. This change in the diffusion exponential value, n , evidences a change in the FA release mechanism from an anomalous Fickian diffusion, in the first stage, to a quasi-Fickian diffusion, in the second stage, corresponding to a limited diffusional transport of FA. This dual-release regime may be potentially explained by the lower stability of MLVs in suspension, which justifies a FA release associated with the destabilization/erosion of the outer layers of these vesicles. The FA release observed in the second stage might be explained by the release of FA from the more stable vesicle inner layers/vesicle core, leading to FA release governed by controlled diffusion mechanisms. Yet, this does not clearly explain the rise in the k_{KP} values in the second stage of the regime. The absence of these dual FA release regimes in liposomal hydrogels may thus be once more interpreted as the additional structural stabilization provided by liposome confinement in the hydrogel network, in agreement with that reported in further literature [25].

3.4. Magnetically Controlled Release of Ferulic Acid

Magnetic-responsive hydrogels, i.e., hydrogel matrices doped with magnetic susceptible components, such as the iron oxide nanoparticles (Fe_3O_4), are able to switch their volume and shape when exposed to a magnetic field [54,55]. Magnetic-responsive liposomal hydrogels reported in further literature have been developed for magnetic modulation of drug release based on their ability to produce hypothermia effects when exposed to an alternate magnetic field (AMF), resulting in the thermally induced release of the target drug [49,53]. In contrast, the magnetic-responsive gelatin membranes used in this work for encapsulation of DPPC:FA liposomes may also be used as mechanical actuators capable of

producing mechanical forces under magnetic stimulation, which are expected to activate the release of FA from the encapsulated liposomes.

Hence, studies were conducted to evaluate the ability of the magnetic field to control the FA release from magnetic-responsive liposomal hydrogels prepared in this work. In this case, FA release assays from magnetic-responsive gelatin membranes doped with 0.25% and 1% MNPs and embedded 3:1 and 10:1 DPPC:FA liposomes were performed in the absence (reference condition) and presence of an external permanent magnetic field with an intensity of 0.08 T, produced by a neodymium magnet.

Figure 7 shows the FA release profiles obtained for the magnetic-responsive liposomal gelatin membranes exposed and non-exposed to a magnetic field. A comparative analysis of the FA release profiles immediately evidences the effect of the liposome formulation and the %MNP present in the hydrogel membrane on the FA release. The results showed a consistently higher cumulative release of FA, varying between 22.1% and 36.8%, after 48 h for liposomal hydrogels embedded with 10:1 DPPC:FA liposomes (blue symbols), confirming the lower ability of 10:1 DPPC:FA liposomal hydrogel systems to retain the FA molecules.

Furthermore, the results show a higher FA release when the %MNP was increased from 0.25% to 1%, easily perceptible by comparing the filled (0.25 % MNPs) and empty (1% MNPs) symbols in Figure 7, evidencing that MNPs influence the delivery of FA even in the absence of a magnetic field. This effect cannot be explained by differences in the hydrogel swelling capacity, since, as discussed before, the swelling ratio obtained with gelatins with 0.25% MNPs was higher than that obtained with gelatin with a higher MNP concentration (Figure 4). However, it might be due to an additional instability of the FA molecules in the hydrogel matrix resulting from the increased polarity of the hydrogel matrix after increasing the %MNP, associated with the high hygroscopic properties of the MNPs.

The effect of hydrogel formulation on the FA release kinetics and mechanisms was accessed by comparative analysis of the releasing parameters obtained by adjustment of different release kinetic models. The experimental FA release profiles are clearly not described by a zero-order kinetic model since there is not a linear increase in the cumulative mass of the released FA over time. The FA release profiles are also not properly described by first-order kinetic functions, as expressed by the low R^2 values < 0.85 (Table A4) obtained for all delivery systems, denoting a poor fitting quality in each case (Figure A5, in Appendix A). In contrast, the FA release from these magnetic-responsive liposomal hydrogels was perfectly adjusted to Higuchi models (Figure A6A in Appendix A), with $R^2 > 0.980$ in most cases (Table 5), as well as to Korsmeyer–Peppas models, as evidenced by fittings to the Korsmeyer–Peppas function shown in Figure A6B and confirmed by the resultant R^2 values > 0.960 (Table 5).

Table 5. Release constants (k_H and k_{KP}) and diffusion exponential (n) obtained for 3:1 and 10:1 DPPC:FA liposomal gelatin systems for a period of 360 min in the presence and absence of a 0.08 T magnetic field, at 37 °C.

| Liposomal Hydrogel | | 0.25% MNPs | | | 1% MNPs | | | | |
|--------------------|------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | | 3:1 | 3:1_MF | 10:1 | 10:1_MF | 3:1 | 3:1_MF | 10:1 | 10:1_MF |
| Higuchi | $k_H (\times 10^{-7})$ | 0.225 ± 0.107 | 0.567 ± 0.042 | 0.428 ± 0.044 | 0.464 ± 0.064 | 0.331 ± 0.080 | 4.594 ± 0.043 | 0.837 ± 0.073 | 2.193 ± 0.057 |
| | n = 0.5 | 0.651 ± 0.054 | 0.494 ± 0.021 | 0.531 ± 0.022 | 0.551 ± 0.032 | 0.562 ± 0.040 | 0.363 ± 0.022 | 0.501 ± 0.036 | 0.381 ± 0.029 |
| | R^2 | 0.983 | 0.988 | 0.989 | 0.991 | 0.988 | 0.990 | 0.984 | 0.966 |
| Korsmeyer–Peppas | k_{KP} | 0.174 ± 0.044 | 0.550 ± 0.055 | 0.333 ± 0.103 | 0.715 ± 0.064 | 0.129 ± 0.079 | 1.784 ± 0.043 | 1.235 ± 0.073 | 3.237 ± 0.057 |
| | n | 0.531 ± 0.022 | 0.481 ± 0.028 | 0.663 ± 0.052 | 0.551 ± 0.032 | 0.562 ± 0.040 | 0.363 ± 0.022 | 0.501 ± 0.036 | 0.381 ± 0.029 |
| | R^2 | 0.989 | 0.990 | 0.990 | 0.991 | 0.988 | 0.990 | 0.984 | 0.966 |

As shown in Table 5, according to both Higuchi and Korsmeyer–Peppas models, for liposomal hydrogel delivery systems a decrease in the FA fraction from 3:1 to 10:1 DPPC:FA and an increase in the MNP content from 0.25% to 1% led a significant increase in the FA

release rates, with K_H and K_{KP} release constants registering an increase >50% (with the only exception of the liposomal hydrogels formed with 3:1 DPPC:FA liposomes). Besides the changes in the FA release rates, the FA release showed a good adjustment to the Higuchi model, leading to exponent values in good agreement with the characteristic Higuchi model exponent, i.e., 0.5 (Table 5), which evidences a diffusional controlled release of FA in the absence of magnetic field. An identical conclusion was taken from the analysis with Korsmeyer–Peppas models. This model assumes variable n values dependent on the FA release mechanism, but as shown in Table 5, Korsmeyer–Peppas led to n values ~ 0.5 , quite similar to those estimated with Higuchi models, confirming a Fickian diffusion delivery of FA in the absence of a magnetic field.

It was interesting to see the effect of the magnetic field on the FA release mechanisms. In this case, the magnetically induced FA release profiles were also perfectly fit to the Higuchi model but with a significant decrease in the diffusion exponential parameter to values lower than 0.5, which suggests that despite the good fitting quality, the magnetic field stimuli shift the FA from a Higuchian model. For this reason, the effect of the magnetic field on the FA release was only evaluated based on the releasing parameters estimated by the Korsmeyer–Peppas model.

In fact, the presence of a magnetic field resulted in a remarkable increase in the FA release rates, with k_{KP} registering values more than two-fold higher than that obtained for the same liposomal hydrogel delivery system in the absence of a magnetic field. It is important to note that the increase in FA release due to the thermal effect due to the magnetic field was excluded. The presence of thermal effects is mainly associated with the use of an alternate magnetic field (AMF) and not with permanent magnetic field conditions, such as those used in the release assays described in this work. Despite this, the absence of thermal effects on magnetic-responsive polymeric systems when exposed to a magnetic field up to 1.5 T was investigated and confirmed in previous works from the same authors [56,65]. The transport of FA from the liposomal hydrogel membrane under magnetic stimulation was kept in the controlled diffusion regime, with $n < 0.5$. However, the magnetic stimulation induced a decrease in the n values ($n < 0.4$ for liposomal hydrogel systems doped with 1% MNPs), suggesting a change from a Fickian diffusional to a quasi-diffusion mechanism. This change was clearer in liposomal hydrogels containing higher %MNPs, revealing, as expected, a more accentuated effect of the magnetic stimuli in hydrogels with higher MNP concentrations.

In analogy to the dual-release regime observed for the FA release profiles from free MLV suspensions, it might be plausible to think that the low FA release observed in the 48 h experiment in the absence of a magnetic field corresponds mainly to the release of FA located on the outer layers of the encapsulated MLVs. When the magnetic field is applied, it might force the release of FA from the internal layers or the core of MLVs by mechanical compression of the liposomes triggered by a magnetic-induced contraction of the gelatin matrix resulting from the mobility of MNPs imposed by the attraction magnetic field forces, as reported in further literature [54–59]. The magnetic-induced release may thus be associated with changes in the permeability of the liposome membrane, with or without rupture of the vesicle. Nevertheless, it might be also hypothesized that it may benefit from a lower accumulation of FA in the hydrogel matrix (due to a higher diffusion of FA from the hydrogel matrix upon magnetic hydrogel contraction), thus minimizing the potential decrease in the driving force for the FA liposomal release during the process.

Overall, these results prove that the magnetic behavior of hydrogels doped with MNPs may effectively be used for the activation of drug release, suggesting the potential use of magnetic-responsive liposomal hydrogels as delivery platforms for an enhanced controlled and on-demand delivery of therapeutic compounds/bioactive molecules. However, further work is still needed to better clarify the impact of this magnetic stimulatory strategy on the structural integrity of the encapsulated liposomes and the reproducibility of this drug release process. This work shows that magnetic-induced release can be accomplished

using low magnetic field intensities (0.08 T), which is minimally invasive to the human organism, contributing to the development of magnetic-responsive drug delivery for long-term treatments and simulating its integration into tissue engineering systems which may be further explored as novel and more efficient magnetic-responsive therapeutics for tissue repair [58].

4. Conclusions

This work was focused on the development of magnetic-responsive liposomal hydrogel membranes while proving their ability to provide enhanced magnetically controlled release of small molecules with therapeutic relevance and poor water solubility, such as ferulic acid (FA), based on the mechanical responsive behavior (mechanical distortions) of hydrogels doped with iron oxide nanoparticles (MNPs) upon magnetic stimulation. Magnetic-responsive liposomal hydrogels were found to delay the release of FA comparatively to that observed with conventional drug release systems, e.g., MLV suspensions or native hydrogel matrices. The delayed FA release was attributable to the additional stability of entrapped multilayered DPPC vesicles loaded with FA as well as to some resistance offered by the hydrogel matrix to the FA diffusion, resulting in a more sustained and longer-term release of this therapeutic molecule. The FA release from liposomal hydrogel systems was well described by the Korsmeyer–Peppas model, which showed that FA release followed a Fickian diffusion mechanism identical to that found for native hydrogels in the absence of a magnetic field. However, the encapsulation of MLVs into the hydrogel matrix renders a remarkable decrease in the constant release rates, confirming the delayed FA release from liposomal hydrogels. These results primarily suggest the promising use of these liposomal (MLVs) hydrogel platforms in therapeutics requiring a longer-term drug administration as an alternative to the less efficient conventional drug delivery systems while evidencing the valuable utilization of MLVs in biomedicine following their inclusion into hydrogel matrices.

The liposomal hydrogel delivery platforms were shown to be able to magnetically stimulate drug release, owing to the presence of dispersed MNPs. The magnetic-induced release of FA from these liposomal gelatin membranes was expressed by a significant increase in the release constant rates while keeping a diffusional controlled FA release mechanism but changing the FA release from Fickian diffusion to quasi-Fickian diffusion.

Magnetic-responsive liposomal hydrogels may be used as an independent non-cellular drug delivery strategy offering an on-demand and efficient magnetically controlled drug release into the target tissue, thus minimizing the drug losses caused by burst release. Furthermore, it may be easily combined with tissue engineering approaches, e.g., by integration of liposomes into magnetic-responsive tissue scaffolds. The impact of these magnetic-responsive liposomal hydrogels on the metabolic behavior of mammalian cells will be the focus of the following studies aiming to further explore and evaluate their potential for the development of novel and more efficient tissue repair strategies. On the one hand, they will take advantage of the magnetic responsiveness of these hydrogels to obtain in situ fine-tuned delivery of therapeutic agents; on the other hand, they will be used for the magnetic stimulation of key cell mechanotransduction processes for triggering improved cell development and faster tissue regeneration.

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Conflicts of Interest: The authors declare no conflict of interest.

Appendix A

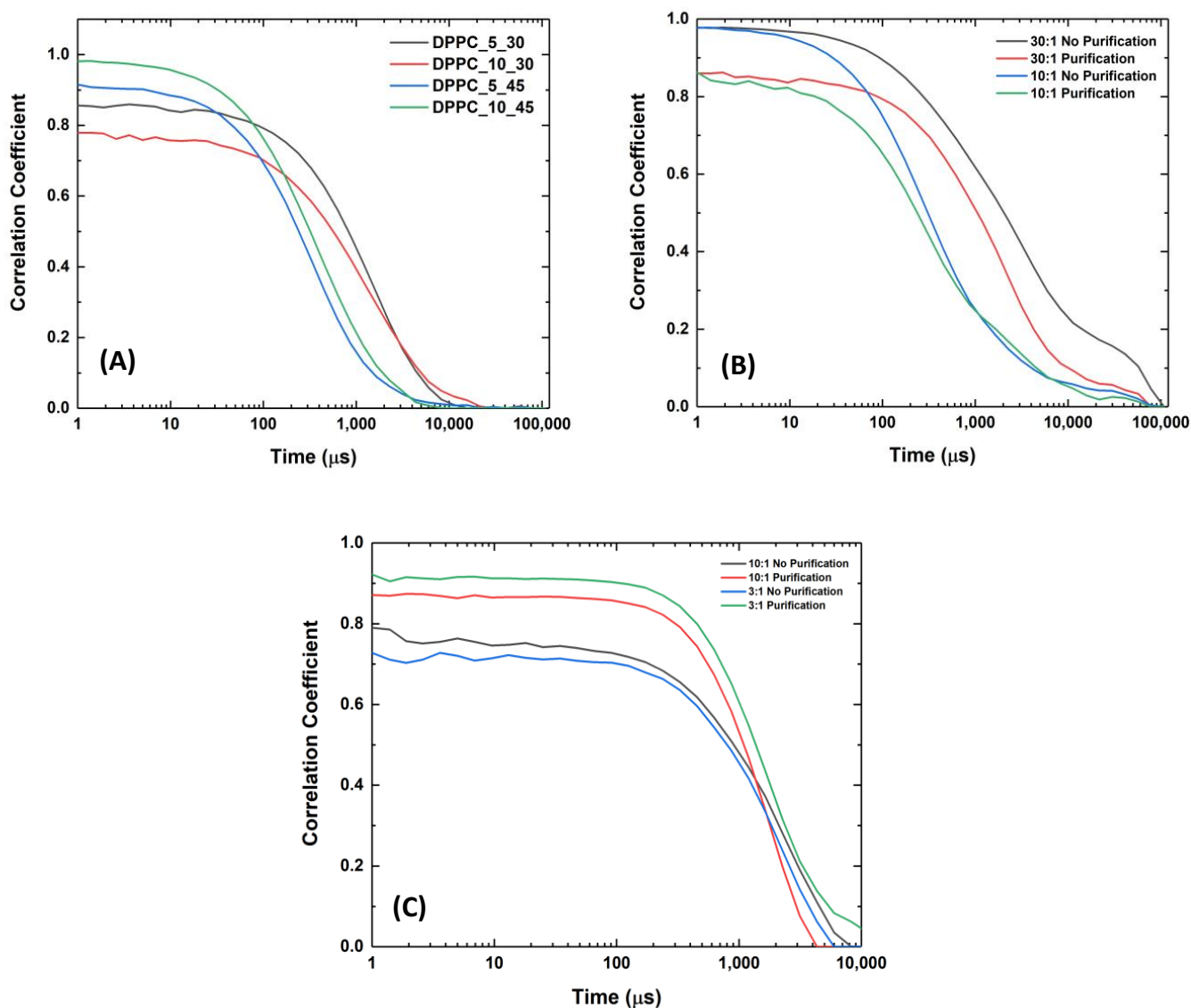


Figure A1. DLS correlograms obtained for (A) empty DPPC liposomes dispersed in PBS solution with different dilution factors ($5\times$ and $10\times$) and exposed to different sonication times (30 and 45 min); (B) 30:1 and 10:1 DPPC:FA liposomes, upon 45 min of sonication, before and after purification by dialysis; and (C) 3:1 and 10:1 DPPC:FA liposomes upon 60 min of sonication, before and after purification by dialysis.

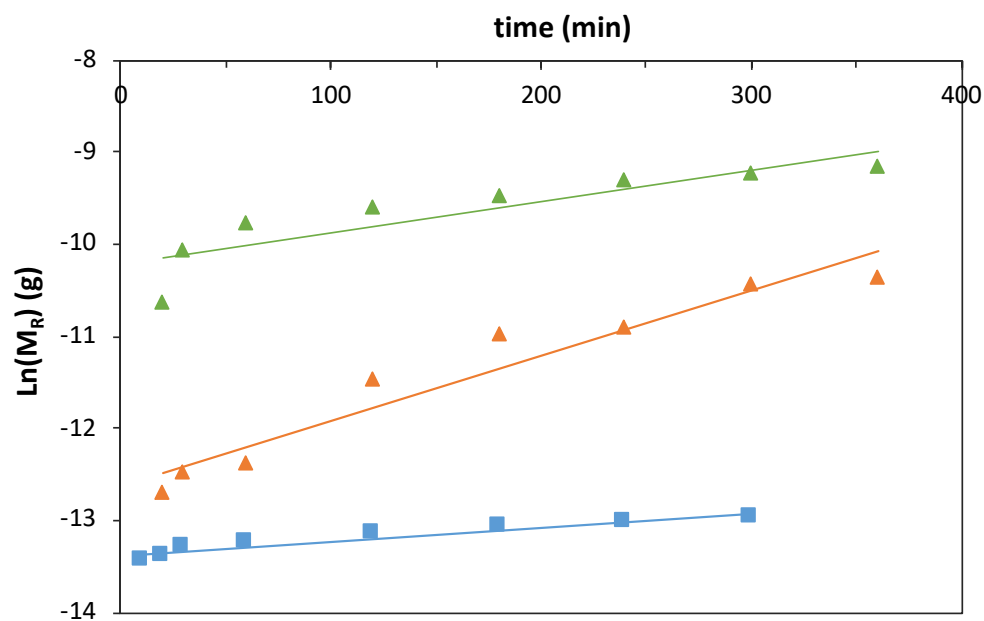


Figure A2. Logarithmic function of the ferulic acid (FA) mass release profiles obtained with magnetic-responsive gelatin membranes with embedded FA (blue squares) and liposome suspension with 10:1 (orange triangles) and 3:1 (green triangles) DPPC:FA fractions, at 37 °C, fit to 1st-order kinetic functions.

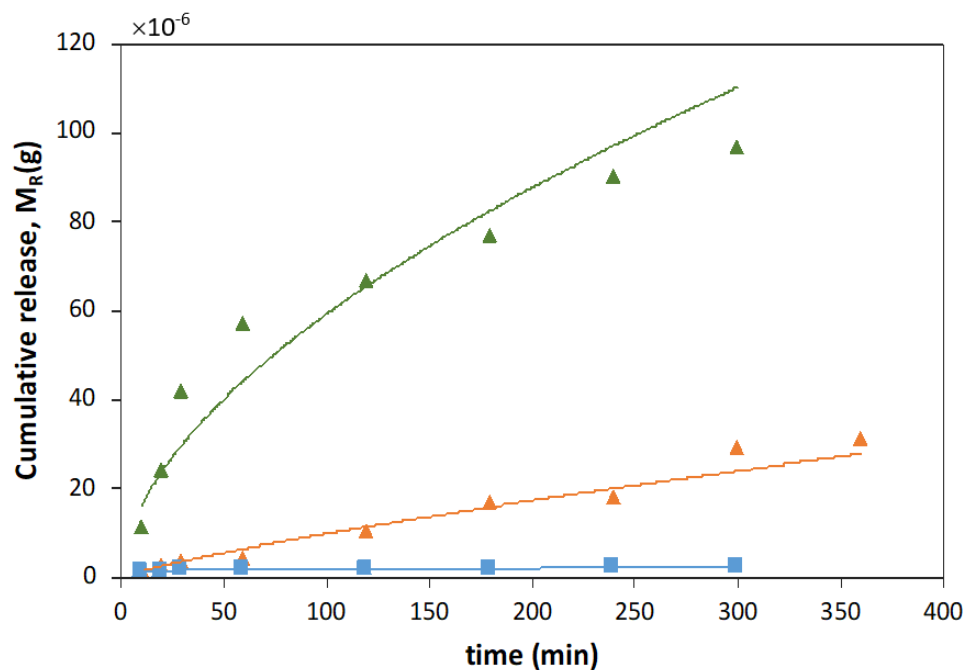


Figure A3. Ferulic acid (FA) mass release profiles obtained with magnetic-responsive gelatin membranes with embedded FA (blue squares) and liposome suspension with 10:1 (orange triangles) and 3:1 (green triangles) DPPC:FA fractions, at 37 °C, fit to Higuchi model.

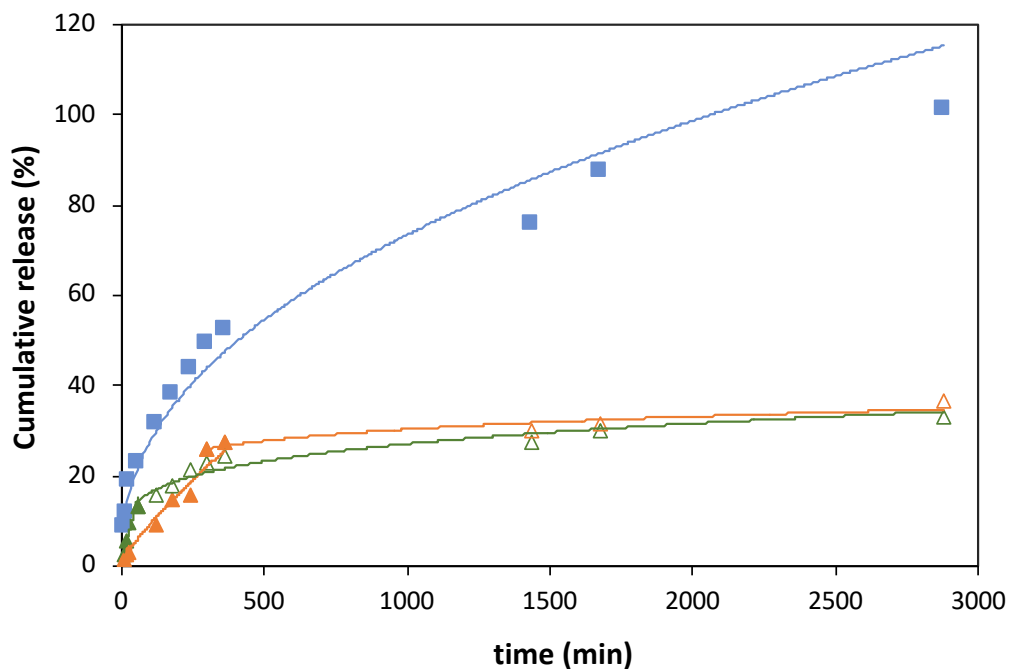


Figure A4. Ferulic acid (FA) release profiles obtained with magnetic-responsive gelatin membranes with embedded FA (blue squares) and liposome suspensions with 10:1 (orange triangles) and 3:1 (green triangles) DPPC:FA fractions, at 37 °C, fit to Korsmeyer–Peppas model. The cumulative release of FA was determined by $M_R/M_T \times 100$.

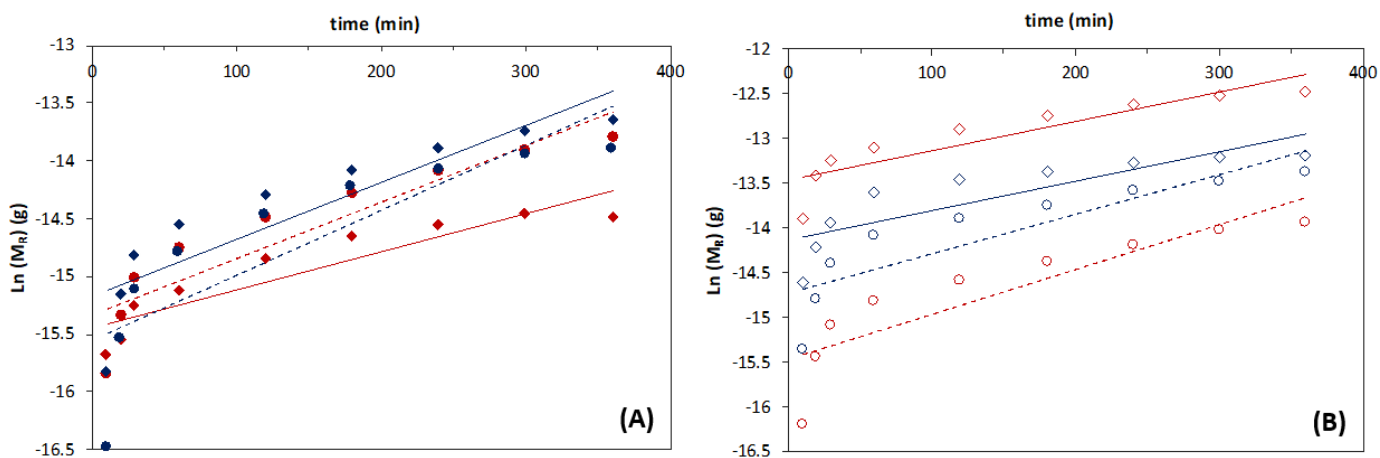


Figure A5. Logarithmic function of the ferulic acid (FA) mass release profiles obtained with magnetic-responsive liposomal gelatins containing (A) 0.25% and (B) 1% MNPs, with encapsulated 3:1 (red symbols) and 10:1 (blue symbols) DPPC:FA liposomes in the absence (circles) and presence (diamonds) of a magnetic field with an intensity of 0.08 T, at 37 °C, fit to a 1st-order kinetic model.

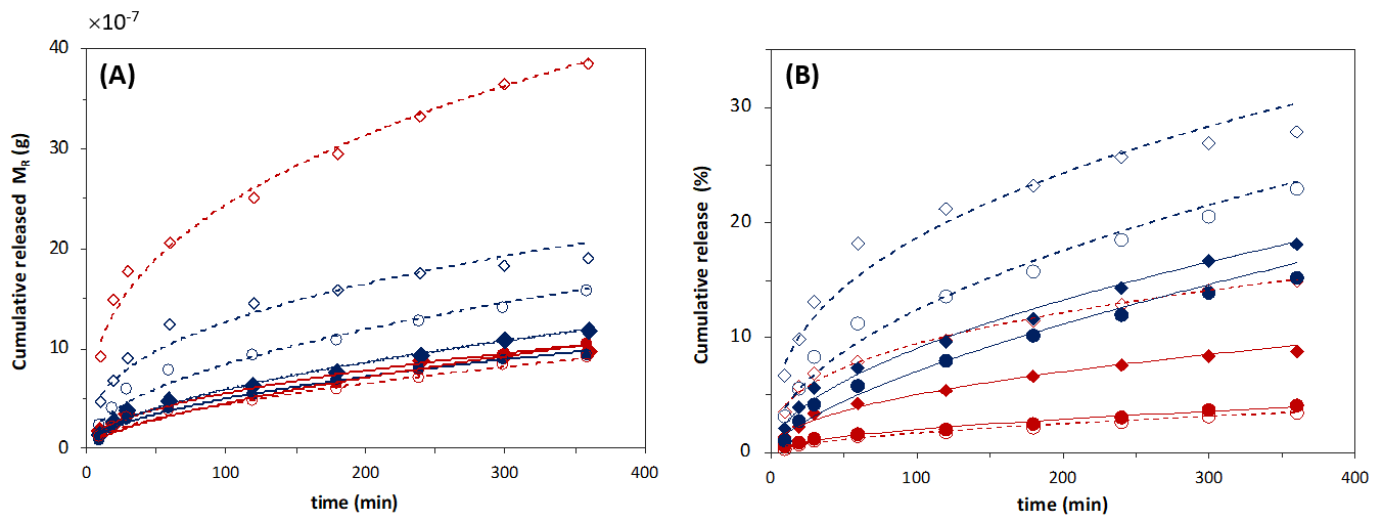


Figure A6. Ferulic acid (FA) release profiles obtained with a 3:1 (red symbols) and 10:1 (blue symbols) DPPC:FA liposomes encapsulated in magnetic-responsive gelatin membranes containing 0.25% MNPs (filled symbols) and 1% MNPs (empty symbols), in the absence (circles) and presence (diamonds) of magnetic field (MF) with an intensity of 0.08 T, for a period of 360 min, at 37 °C, fit to (A) Higuchi model, which considers the FA mass release, M_R , and (B) Korsmeyer–Peppas model which considers the FA release profiles determined by $M_R/M_T \times 100$.

Table A1. Mean size and PI values obtained for DPPC liposomes loaded with 30:1 and 10:1 DPPC:FA ratios, upon 45 min of sonication, before and after purification by dialysis.

| Liposome | Purification | Mean Size (nm) | PI |
|--------------|--------------|----------------|-------------|
| DPPC:FA_30:1 | No | 716.5 ± 103.7 | 1.49 ± 0.44 |
| DPPC:FA_10:1 | | 1930.1 ± 101.7 | 0.63 ± 0.09 |
| DPPC:FA_30:1 | Yes | 714.1 ± 152.9 | 0.71 ± 0.01 |
| DPPC:FA_10:1 | | 998.5 ± 131.0 | 0.63 ± 0.05 |

Table A2. Release constant k_1 (min^{-1}) obtained by fitting of the 1st-order kinetic function to the FA release from magnetic-responsive gelatin membranes with embedded FA and from liposome suspensions with 10:1 and 3:1 DPPC:FA fractions at 37 °C.

| | Hydrogel + FA | DPPC:FA Suspension | |
|-----------------------------|----------------------|----------------------|----------------------|
| | | 3:1 | 10:1 |
| k_1 (min^{-1}) | 1.6×10^{-3} | 3.4×10^{-3} | 7.1×10^{-3} |
| R^2 | 0.9205 | 0.767 | 0.933 |

Table A3. Higuchi constant, k_H , and diffusion exponential, obtained by fitting of Higuchi model to the FA release from magnetic-responsive gelatin membranes with embedded FA and from liposome suspensions with 10:1 and 3:1 DPPC:FA fractions at 37 °C.

| | Hydrogel + FA | DPPC:FA Suspension | |
|-----------|-----------------------|----------------------|-----------------------|
| | | 3:1 | 10:1 |
| k_H | 44.1×10^{-7} | 2.4×10^{-7} | 10.2×10^{-7} |
| $n = 0.5$ | 0.641 | 0.807 | 0.141 |
| R^2 | 0.945 | 0.968 | 0.983 |

Table A4. First-order rate constant, k_1 (min^{-1}), and R^2 values obtained by fitting of first-order kinetic function to the FA release profiles from magnetic-responsive liposomal gelatins containing 0.25% and 1% MNPs and encapsulated 3:1 and 10:1 DPPC:FA liposomes in the absence and presence of a magnetic field with an intensity of 0.08 T, at 37 °C.

| | 0.25% MNPs | | | | 1% MNPs | | | |
|-----------------------------|------------|--------|--------|---------|---------|--------|--------|---------|
| | 3:1 | 10:1 | 3:1 MF | 10:1 MF | 3:1 | 10:1 | 3:1 MF | 10:1 MF |
| k_1 (min^{-1}) | 0.0049 | 0.0056 | 0.0033 | 0.0049 | 0.0050 | 0.0044 | 0.0033 | 0.0032 |
| R^2 | 0.719 | 0.847 | 0.797 | 0.844 | 0.777 | 0.753 | 0.8035 | 0.7128 |

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Article

Functionalized GO Membranes for Efficient Separation of Acid Gases from Natural Gas: A Computational Mechanistic Understanding

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Abstract: Membrane separation technology is applied in natural gas processing, while a high-performance membrane is highly in demand. This paper considers the bright future of functionalized graphene oxide (GO) membranes in acid gas removal from natural gas. By molecular simulations, the adsorption and diffusion behaviors of several unary gases (N₂, CH₄, CO₂, H₂S, and SO₂) are explored in the 1,4-phenylenediamine-2-sulfonate (PDASA)-doped GO channels. Molecular insights show that the multi-layer adsorption of acid gases evaluates well by the Redlich-Peterson model. A tiny amount of PDASA promotes the solubility coefficient of CO₂ and H₂S, respectively, up to 4.5 and 5.3 mmol·g⁻¹·kPa⁻¹, nearly 2.5 times higher than those of a pure GO membrane, which is due to the improved binding affinity, great isosteric heat, and hydrogen bonds, while N₂ and CH₄ only show single-layer adsorption with solubility coefficients lower than 0.002 mmol·g⁻¹·kPa⁻¹, and their weak adsorption is insusceptible to PDASA. Although acid gas diffusivity in GO channels is inhibited below 20 × 10⁻⁶ cm²·s⁻¹ by PDASA, the solubility coefficient of acid gases is certainly high enough to ensure their separation efficiency. As a result, the permeabilities (*P*) of acid gases and their selectivities (α) over CH₄ are simultaneously improved ($P_{\text{CO}_2} = 7265.5$ Barrer, $\alpha_{\text{CO}_2/\text{CH}_4} = 95.7$; $P_{(\text{H}_2\text{S}+\text{CO}_2)} = 42075.1$ Barrer, $\alpha_{\text{H}_2\text{S}/\text{CH}_4} = 243.8$), which outperforms most of the ever-reported membranes. This theoretical study gives a mechanistic understanding of acid gas separation and provides a unique design strategy to develop high-performance GO membranes toward efficient natural gas processing.

Keywords: acid gas removal; graphene oxide; membrane separation; molecular simulation; natural gas



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1. Introduction

Methane (CH₄), as the main constituent of natural gas, is one kind of renewable energy source [1]. The raw natural gas coming from crude oil wells always exists in the form of mixtures, containing other light hydrocarbons, nitrogen (N₂), carbon dioxide (CO₂), hydrogen sulfide (H₂S), and sulfur dioxide (SO₂). Among these impurities, significant amounts of CO₂, H₂S, and SO₂ commonly called acid gases are the most harmful components in raw natural gas, which not only lowers the calorific value of CH₄ but also causes internal corrosion in gas pipelines [2,3]. Therefore, to meet the requirements of end users and the specifications of transportation pipelines, the removal of acid gases is an essential process in natural gas processing [3,4]. Several processes can be adopted to remove acid gases, including pressure swing adsorption, supersonic separation, and membrane separation. In addition, natural gas can also be purified by forming CO₂ hydrates from the gas mixtures [5–7]. The commercialized technology is amine scrubbing [8], which uses plenty of alkanolamine solutions in absorption columns to dissolve acid gases. However, it requires the use of large equipment, rapidly

increasing the operating cost [9], and lots of undesirable liquid wastes produced in this process pose a threat to the environment. Alternatively, with low energy consumption, low pollution and high separation efficiency, membrane gas separation technology is regarded as a potential candidate for acid gas removal [10]. Especially under ordinary operation conditions (i.e., room temperature and low operating pressure), it will achieve better economic benefits in natural gas processing.

Various membrane materials have been developed to address these challenging separations, such as polymer [11,12], metal-organic framework (MOF) [13,14] and graphene [15]. Among them, the polymeric membrane is the most large-scale development for commercial, while its performance is somewhat low primarily due to the trade-off effect. Fortunately, two-dimensional (2D) graphene oxide (GO) membranes with tailorable channels and abundant active sites are emerging candidates for boosting molecular separation performance [15,16]. It is reported that their inherent transport channels can be regulated for selective permeation at the sub-nanometer scale [17]. For instance, by adjusting ultraviolet irradiation, the interlayer spacing of GO membrane was precisely controlled by Zheng et al. to improve the separation efficiency of these two species with a very low molecular weight difference [18]. Our previous work also showed that the 1,4-phenylenediamine-2-sulfonate (PDASA)-functionalized GO channels facilitated the adsorption of the polar molecule (i.e., water), and then largely promoted its permeation [19]. For acid gas removal, the CO₂ permeability was successfully enhanced by incorporating GO nanosheets as the filler to create additional gas transport channels in polymers of intrinsic microporosity [20]. Additionally, using the strong affinity between GO and CO₂ was a brilliant strategy to enhance the CO₂ solubility in polyimide hybrid membranes [21]. After doping GO nanosheets, the CO₂/CH₄ separation performance of various polymeric membranes was promoted to outperform the 2008 Robeson upper bound [15,22].

However, as mentioned above, the GO nanosheet is mostly dispersed as a filler into mixed matrix membranes or prepared as hybrid membranes to separate CO₂/CH₄ [15,20,21,23], thus lack of exploration on pure GO membrane especially on its separation mechanism for acid gas removal. Fortunately, a few molecular simulations attempted to explore the CO₂/CH₄ separation process through pure GO membranes [24,25]. Whereas, for other 2D membranes, most previous simulations demonstrated that there were two main dominated separation mechanisms (i.e., the size-sieving effect and preferential adsorption) in natural gas processing [26–28]. A suitable aperture is key to the high separation performance of CO₂/CH₄ [26,27]. While in order to further improve the removal efficiency of CO₂, the separation mechanism should be governed by preferential adsorption, which helps to improve CO₂ separation selectivity [28]. However, until now, there has been no theoretical model established for acid gas separation through GO membranes. Therefore, in order to establish this theoretical model, it is necessary to study the acid gas permeation behavior in GO channels from the perspectives of adsorption and diffusion. Moreover, CO₂ and other acid gases (i.e., H₂S and SO₂) need to be studied at the same time. Furthermore, to improve the removal efficiency, a rational design of a GO membrane at the molecular level is highly in demand. This study aims to theoretically design a high-performance GO membrane toward acid gas removal and explore the separation models.

In this work, GO membranes are functionalized by PDASA (this selection is inspired by our previous experimental work [19]) to examine how it performs in removing acid gases (CO₂, H₂S, and SO₂) from CH₄ and N₂. By Grand Canonical Monte Carlo (GCMC) simulations, unary isotherms of different gases in GO membranes with variable doping amounts of PDASA are first studied by several adsorption models. To accurately describe the adsorption characteristics of different gases and provide molecular insights, structural and energetic analyses are conducted in GO channels via molecular distribution probability, radial distribution function (RDF), isosteric heat, and hydrogen bonds. The solubility coefficient is calculated to characterize the adsorption ability of different gases. Then gas diffusion behavior is explored by molecular dynamical (MD) simulations. After that, the acid gas separation performance is predicated on the basis of the solution-diffusion

mechanism. Finally, a performance comparison with previous reports is enclosed to demonstrate the potential of the PDASA-doped GO membranes in natural gas processing.

2. Models and Methods

Figure 1 shows the simulation models. First of all, GO nanosheets with the format of $C_{312}(O)_{65}(OH)_{79}(COOH)_4$ were constructed by the Material studio in amorphous cell as per our previous works [16,29–33]. Functional groups were randomly distributed on the sp^2 -conjugated surface of which the dimensions were $3 \times 3 \text{ nm}^2$, as shown in Figure 1a. The numbers of epoxy, hydroxyl and carboxyl groups were 65, 79, and 4, respectively, similar to our previous experimental reports [19]. As a result, the oxidized ratio that was defined by the total number of oxygen atoms to carbon atoms was about 0.48, which is feasible in membrane process simulation for both gas and liquid separations [16,32,33]. Five gases with variable electronegativities and kinetic diameters were investigated, as shown in Figure 1b. Electrostatic potentials show that the acid gases of CO_2 , H_2S and SO_2 exhibit higher electronegativity compared to CH_4 and N_2 . To reveal gas sorption and diffusion behaviors in the lamellar structure of GO membranes, two GO nanosheets were parallelly aligned with interlayer spacing initially set as 0.8 nm (Figure 1c). To increase the affinity between GO membrane and acid gases, interlayer channel was functionalized with PDASA groups (Figure 1f) that have a great affinity to polar molecules [19]. The number of doped PDASA molecules increased from 1 to 5, correspondingly to the doping amounts varying from 1.5 to 7.5 wt%. The atomic positions of GO nanosheets were flexible during simulations. After being loaded with PDASA groups, GO membranes were relaxed well, and then interlayer spacing was slightly enlarged, as shown in Figure 1d,e where the doping amounts are 4.5 wt% and 7.5 wt%, respectively.

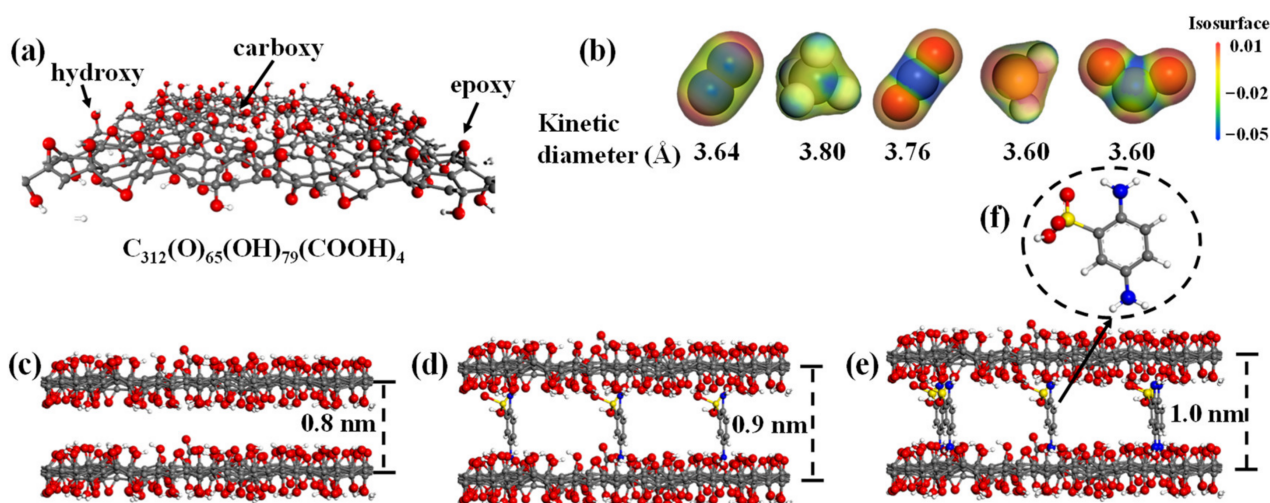


Figure 1. Simulation models. (a) GO nanosheet with the format of $C_{312}(O)_{65}(OH)_{79}(COOH)_4$. (b) Electrostatic potentials and kinetic diameters of gases. Configurations of GO membranes with variable PDASA-doping amounts: (c) 0.0%; (d) 4.5 wt%; (e) 7.5 wt%. (f) Molecular model of PDASA.

Before GCMC simulations, GO membranes and gases were performed with geometry optimization to search for a minimum energy structure. In this process, the convergence thresholds of energy, force and displacement were specified as 10^{-5} kcal/mol, 10^{-3} kcal/mol/Å and 10^{-5} Å, respectively. To calculate adsorption isotherms of gases in flexible GO membranes, the Configurational bias method [34] was performed with 10^7 equilibration and production steps. The temperature was maintained at 298 K by the algorithm of Nosé-Hoover thermostat [35]. Production frame was output every 10,000 steps. Partial charges were taken from the Compass force field [36], which was also used to describe interatomic interactions among membrane and variable gases. Here, nonbonded interactions were summarized by electrostatic and van der Waals potentials. Long-range electrostatic interactions were handled with the Ewald method [37]

with an accuracy of 10^{-5} kcal/mol, whereas van der Waals interaction potentials were predicated by the atom-based method with a 9.8 Å cut-off distance. Periodic boundary conditions are applied in all three directions. After adsorption simulations, the lowest energy configuration returned from the GCMC calculation was used as the initial frame to explore gas diffusion properties. In MD simulations, there were a total of 50 gas molecules inserted in GO membranes and they could freely roam in GO interlayers. The system reached temperature (298 K) equilibrium first in an isothermal-isobaric ensemble for 1 ns. The pressure was controlled at 1 bar by the Berendsen barostat [38] with a decay constant of 0.1 ps. Subsequently, the production runs were performed in a canonical ensemble. The time step was set as 0.5 fs and trajectories were recorded every 2 ps, and the total simulation time was 2 ns. The final results were averaged over three independent trials.

3. Results and Discussion

3.1. Adsorption Evaluation

To calculate the adsorption isotherms of different gases in GO membranes, GCMC simulations were performed under low pressures (0.01 KPa~1000 Kpa). The fugacity coefficients of unary gases (N_2 , CH_4 , CO_2 , H_2S and SO_2) are close to 1.0 under these pressures by physical property estimation in Aspen using the Peng-Robinson equation-of-state [39], indicating that the gas behavior approximates the ideal gas model. Therefore, the fugacity and pressure are approximately equal. Figure 2 shows the absolute adsorption isotherms of five gases are dependent on the relative pressures in GO membranes with variable doping amounts of PDASA. The adsorption capacities of CH_4 and N_2 slowly rise with increasing pressure. While for acid gases (CO_2 , H_2S and SO_2), their isotherms grow rapidly, especially a sudden increase at relatively low pressures, behaving in a different adsorption mode. As a result, the adsorption capacities of acid gases in GO membranes are obviously larger than those of CH_4 and N_2 . In addition, the maximum absorption capacity increases in the order of $N_2 < CH_4 < CO_2 < SO_2 < H_2S$. With increasing the doping amounts of PDASA from 0.0 to 7.5 wt%, the adsorption capacities of three acid gases increase at first and then decrease, as shown in Figure 1a–f. In view of the low density of adsorbed gases at low pressure and low temperature, the absolute adsorption capacity (Q_{ab}) obtained in our simulations is close to the excess adsorption capacity (Q_{ex}) that is determined in the experiment according to Equation (1) [40] where ρ_g is the gas density at simulated pressure and V_f is the free volume in GO membranes. Therefore, the absolute adsorption isotherms in Figure 1 without further conversion can be directly described by adsorption models.

$$Q_{ex} = Q_{ab} - \rho_g V_f \tag{1}$$

$$S_0 = \lim_{p \rightarrow 0} \frac{Q_e}{P} \tag{2}$$

$$Q_{ex} = \delta P + \frac{\beta P}{1 + \gamma P^n} = \left\{ \begin{array}{ll} \frac{Q_L K_L P}{1 + K_L P} & \delta = 0; n = 1 \text{ (Langmuir, for } CH_4 \text{ and } N_2) \tag{3} \\ \frac{\beta P}{1 + \gamma P^n} & \delta = 0; 0 < n < 1 \text{ (Redlich – Peterson, for } H_2S \text{ and } CO_2) \tag{4} \\ \delta P + \frac{\beta P}{1 + \gamma P^n} & \delta \neq 0; 0 < n < 1 \text{ (Dual – mode, for } SO_2) \tag{5} \end{array} \right.$$

$$S_0 = \left\{ \begin{array}{ll} Q_L K_L & \text{(for } CH_4 \text{ and } N_2) \tag{6} \\ \beta & \text{(for } H_2S \text{ and } CO_2) \tag{7} \\ \delta + \beta & \text{(for } SO_2) \tag{8} \end{array} \right.$$

The solubility coefficient (S_0) of infinite dilution is an important factor in characterizing membrane separation properties, which is defined as the slope of isotherm at infinite dilution (Equation (2)) [41–43]. When gas concentration is extremely low, several theoretical models (Equations (3)–(5)) are applied to fit isotherms to obtain the S_0 of gases in GO membranes, where P is the sorbate pressure, and δ , β and γ are fitting parameters. After curve fitting, it shows that the adsorption of CH_4 and N_2 obey the Langmuir model [44]

(Equation (3)) where Q_L is the maximal adsorption capacity and K_L is the adsorption equilibrium constant, indicating a simple adsorption process. While simulation results suggest a three-parameter model (i.e., Redlich-Peterson [45], Equation (4)) for CO_2 and H_2S , where n is the empirical constant. The adsorption behavior for SO_2 is a little complex as it needs more variables to fit the isotherm based on the dual-mode sorption model [46] as Equation (5). All fitting parameters are presented in Table S1. A high correlation coefficient (R^2) above 0.992 for most systems indicates the reliability of these adopted adsorption models [45]. These different theoretical models are ascribed to the variable adsorption mechanism of gases in GO membranes, which will be discussed below. Thereafter, the S_0 of different gases in GO membranes is accordingly calculated by Equations (6)–(8) [41–43].

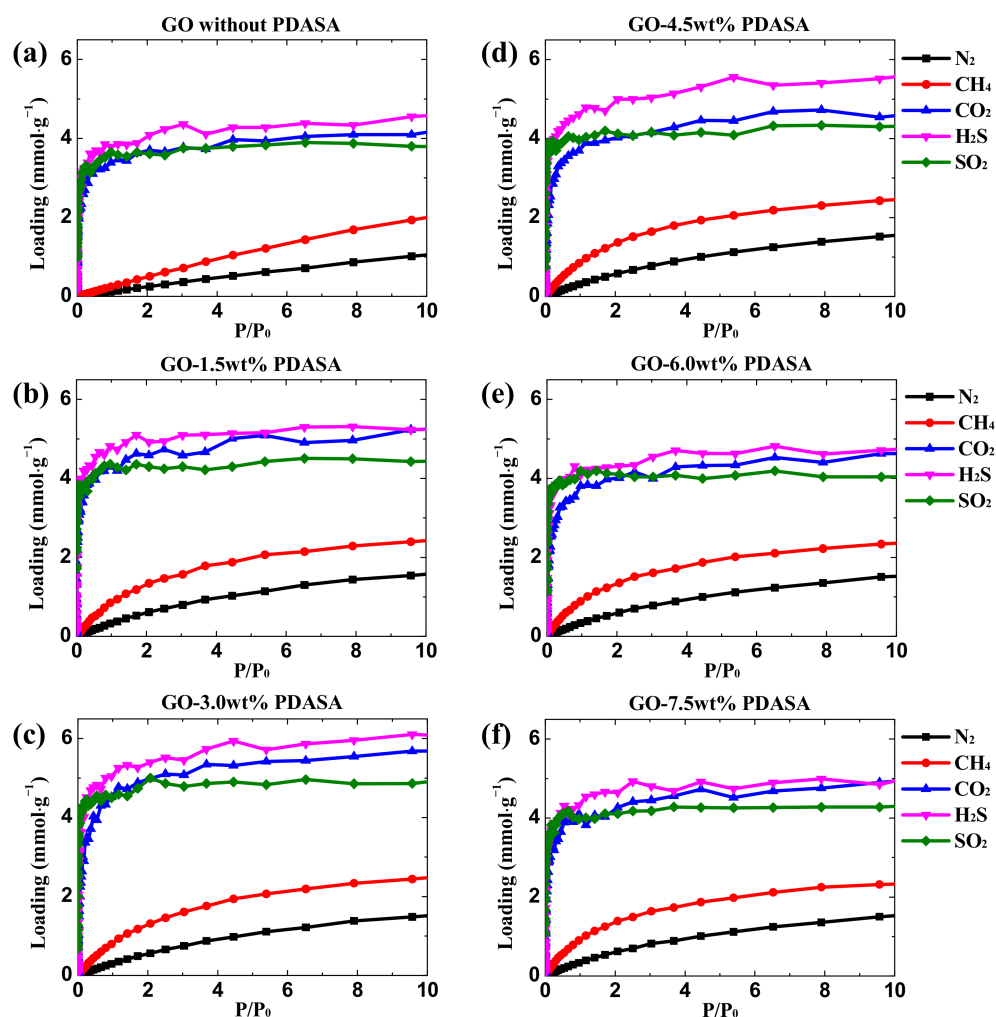


Figure 2. Unary isotherms of different gases in GO membranes with variable doping amounts of PDASA. (a) 0.0 wt%. (b) 1.5 wt%. (c) 3.0 wt%; (d) 4.5 wt%; (e) 6.0 wt%; (f) 7.5 wt%.

3.2. Adsorption Insight

To quantitatively evaluate the adsorption ability of different gases in GO membranes and understand the variable adsorption models, Figure 3 presents the calculated S_0 and the corresponding adsorption behaviors. The S_0 as a function of variable doping amounts of PDASA is shown in Figure 3a. For CH_4 and N_2 , the S_0 values in different GO membranes are less than $0.002 \text{ mmol}\cdot\text{g}^{-1}\cdot\text{kPa}^{-1}$, almost invariable with the doped PDASA. The distribution probability in Figure 3b reveals that the particles of CH_4 and N_2 are highly concentrated, forming single-layer adsorption. Snapshots in Figure 3c,d provide a visual perspective for these single-adsorbate cases, where CH_4 and N_2 deposit in the center of GO channels, indicating a weak adsorption ability. That is the reason their adsorption behaviors in GO

membranes can be accurately represented by Langmuir model [44]. On the contrary, CO₂ and H₂S exhibit a strong adsorption ability with the S₀ all above 3.4 mmol·g⁻¹·kPa⁻¹. As seen in Figure 3a, when the PDASA-doping amount is 3.0 wt%, CO₂ and H₂S exhibit the maximum S₀ values of 4.5 and 5.3 mmol·g⁻¹·kPa⁻¹, respectively, almost 2.5 times higher than those values of GO membranes without doping PDASA. Continuously increasing the doping amounts, the S₀ shows a downward trend. The adsorption ability of SO₂ in GO membranes is extremely strong as there is an almost vertical ascent motion at the start point of isotherms (Figure 2). Therefore, the S₀ of SO₂ are all above 80 mmol·g⁻¹·kPa⁻¹ and not compared in Figure 3a. Compared to CH₄ and N₂, for acid gases, their maximum distribution probability is not in the center of channels but on either side of the center. By visual of Figure 3e–g, CO₂, H₂S and SO₂ present multilayer adsorption in GO channels. In addition, they also have a probability to distribute “outside” channels due to periodic boundary conditions. The above complex adsorption behavior of CO₂ and H₂S indicates a strong adsorption ability, thus deserving the Redlich-Peterson model [45,47].

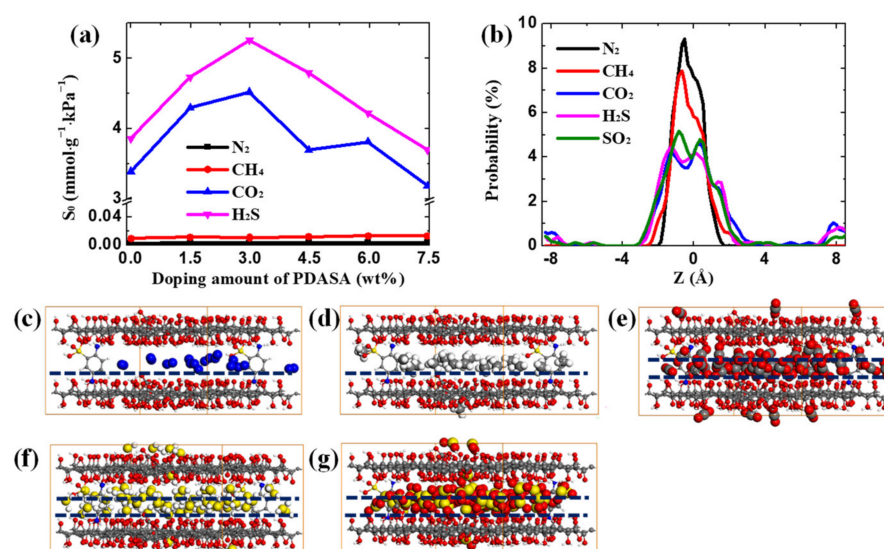


Figure 3. Adsorption behavior. (a) Solubility coefficient of different gases in GO membranes. (b) Distribution probability of gases in GO channels. Snapshots of variable gases adsorbed in GO channels. (c) N₂; (d) CH₄; (e) CO₂; (f) H₂S and (g) SO₂.

To reveal the positive effect of PDASA on acid gas adsorption in GO membranes, RDF, isosteric heat and hydrogen bonds are analyzed in Figure 4 to provide molecular insight into the adsorption process. The dynamic binding process between gases and PDASA is evaluated with RDF graph $g(r)$ based on Equation (9) [33], where r is the distance from species i to j , N_i represents the number of species i , $N_{ij}(r, r + \Delta r)$ is the number of i around j within a shell and V is the volume. The RDF value is a measure of binding affinity, whereas a high RDF value means a strong affinity of PDASA to gases. As seen in Figure 4a, the affinity increases following the sequence of $N_2 \approx CH_4 < CO_2 < H_2S \approx SO_2$. The high affinity of PDASA to acid gases is the primary reason for its positive effect on acid gas adsorption, while the weak guest-membrane affinities lead to the weak adsorption of CH₄ and N₂ in GO channels. Isosteric heat, a decisive factor of adsorption strength, is analyzed in Figure 4b. Obviously, the isosteric heats of five gases in GO membranes increase in the order of $N_2 < CH_4 < CO_2 \approx H_2S < SO_2$, confirming the strong adsorption strength of acid gases in GO membranes, especially for SO₂. Besides the binding affinity and isosteric heat, the strong adsorption of acid gases is also related to hydrogen bonds. Based on these two geometrical criteria [16], (1) $r(H \cdots O) \leq 0.35$ nm; (2) $\alpha(O-H \cdots O) \leq 30^\circ$, hydrogen bonds in acid gases adsorption process are pictured in Figure 4c–e. A great number of hydrogen bonds are formed between GO membranes and acid gases. In addition, the doped PDASA also contributes to the formation of hydrogen bonds, as shown in Figure 4f, which further

helps GO membranes to capture H₂S. The above effects synergistically promote acid gas adsorption, while large doping amounts will decrease the effective adsorption sites and reduce the packing efficiency of acid gases in GO channels due to the narrowing of the passage, which will be discussed below.

$$g_{ij}(r) = \frac{N_{ij}(r, r + \Delta r)V}{4\pi r^2 \Delta r N_i N_j} \quad (9)$$

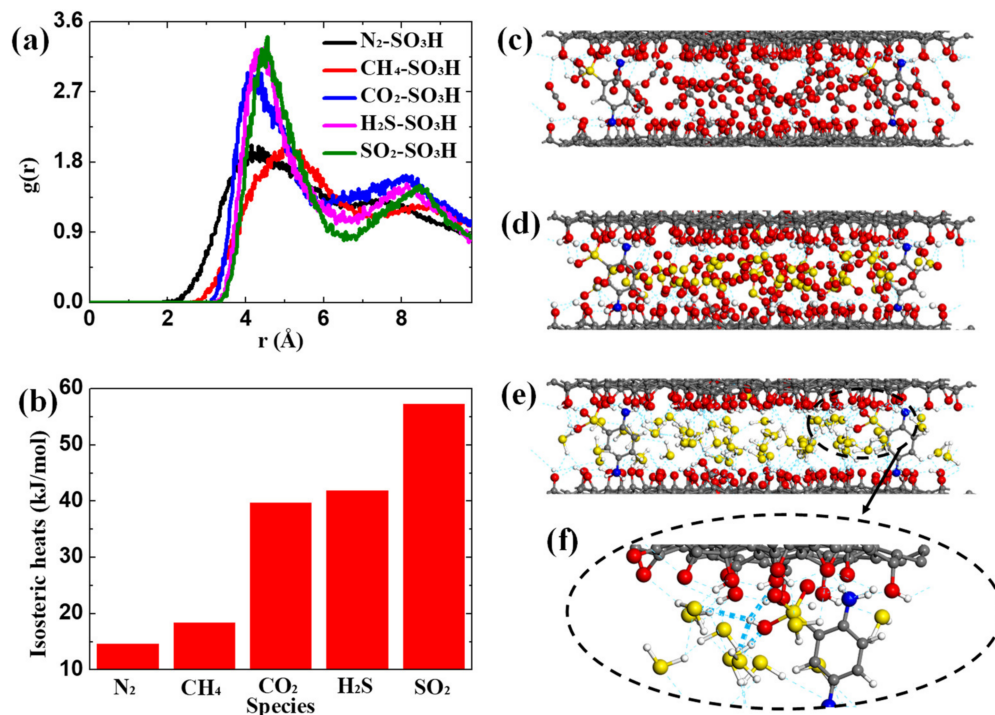


Figure 4. Adsorption Insights. (a) RDF of the doped PDASA to various gases. (b) Isosteric heats. Hydrogen bonds formed in the adsorption process of acid gases. (c) CO₂. (d) SO₂. (e) H₂S. (f) Hydrogen bonds around PDASA.

3.3. Diffusion Evaluation

Dynamical properties of gases in GO channels are evaluated by mean square displacement (MSD) according to Equation (10) [32,33] in which the N refers to the total number of particles and $r_i(t) - r_i(t_0)$ is the displacement distance of particle i from the initial state t_0 to the final state t . As shown in Figure 5, the gas mobility in GO channels with variable doping amounts of PDASA follows the sequence of $N_2 \approx CH_4 > H_2S > CO_2 > SO_2$, which means the diffusion process is not governed by the size-sieving effect. The large mobilities of CH₄ and N₂ in GO channels are attributed to their weak interactions with GO membranes, thus resulting in low mass-transfer resistance. Although with smaller molecular size, acid gases exhibit slow mobility in that the strong interactions generate a large transport resistance [16]. After doping the PDASA into GO channels, the mobilities of all gases slow down. Diffusion coefficient (D) is another key role in determining separation performance, which is calculated by the linear slope of MSD based on Equation (11) [32,33]. Taking the cases in pure GO membrane as examples, the logarithmic form shown in Figure S1 can be fitted linearly from 100 to 1000 ps with slopes larger than 0.94, indicating that the gas diffusion tends to stabilize and approach to a normal diffusion state [48]. Then the D can be obtained from this region in MSD curves. To uncover the diffusion mechanism of gases in GO channels, the quantitative diffusivity, accessible free volume (AFV) [49] and effective transport channels are analyzed in Figure 6. Figure 6a illustrates that the diffusion coefficient generally shows a decreasing trend with the increase in the PDASA-doping amount. For N₂ and CH₄, both have diffusion coefficients larger than $240 \times 10^{-7} \text{ cm}^2 \cdot \text{s}^{-1}$ due to the low transfer resistance, which agrees well with previous work [25], demonstrating the reliability of our calculations. In contrast, for

acid gases, their diffusivities in GO channels are relatively low. Especially for SO₂, its dynamic motion is severely restricted with diffusion coefficients lower than $80 \times 10^{-7} \text{ cm}^{-2} \cdot \text{s}^{-1}$. The AFV in variable GO membranes as a function of probe radius is shown in Figure 6b based on Equation (12) where V_f and V_o denote the free and occupied volumes, respectively. It shows that the AFV is sensitive to the probe radius. In addition, when the probe radius is larger than the molecular sizes of acid gases, the AFV nearly declines with the increase in the PDASA-doping amounts (Figure 6c). Figure 6d–i show the visualization of free volume. Apparently, the PDASA severed as barriers in GO channels to block the passage of gases (green region). With increasing the doping amounts, the effective passage is narrowed especially in GO-7.5 wt% PDASA (Figure 6i). That is the reason molecular diffusion is severely inhibited by doping PDASA in GO channels. This confirms that doping PDASA into GO channels brings a change not only in their adsorption but also in their diffusion. However, in this condition, diffusion is not supposed to govern the separation process of acid gases through the PDASA-doped GO membranes.

$$MSD(t) = \frac{1}{N} \left\langle \sum_{i=1}^N [r_i(t) - r_i(t_0)]^2 \right\rangle \tag{10}$$

$$D = \frac{1}{6} \lim_{t \rightarrow \infty} \frac{dMSD}{dt} \tag{11}$$

$$AFV = \frac{V_f}{V_f + V_o} \times 100\% \tag{12}$$

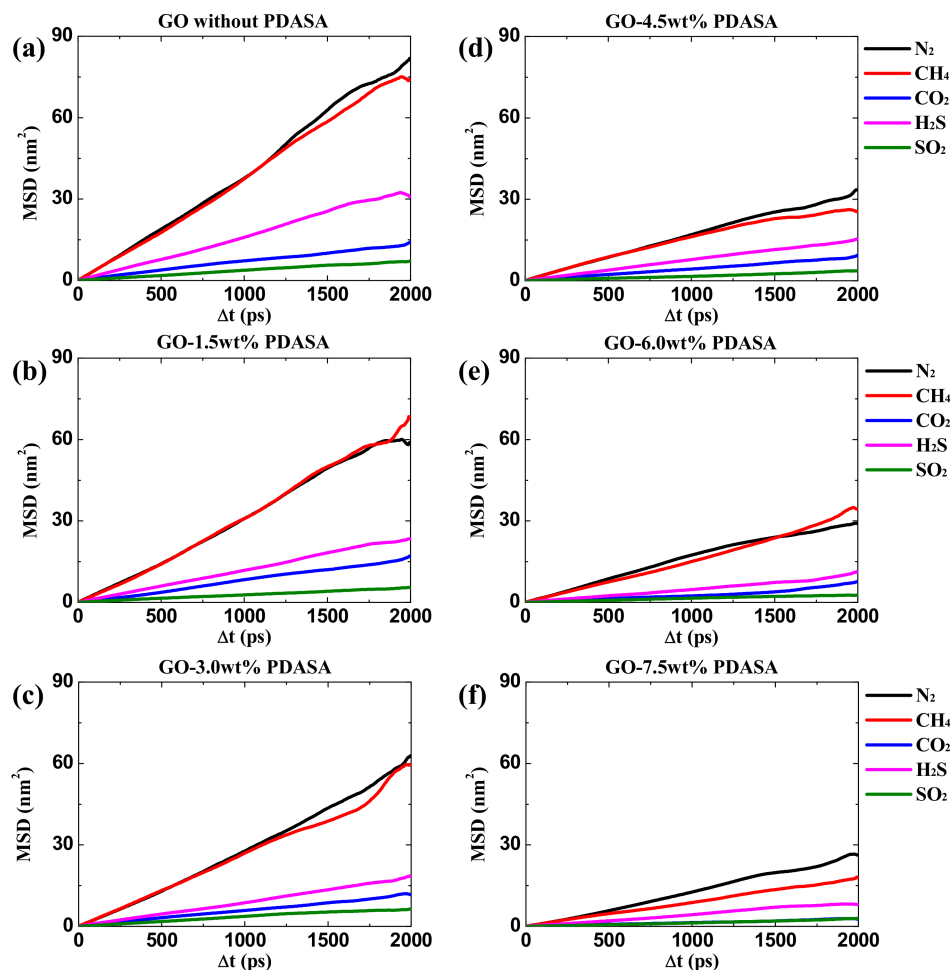


Figure 5. Mobility of gases in GO channels with variable doping amount of PDASA. (a) 0.0 wt%. (b) 1.5 wt%. (c) 3.0 wt%; (d) 4.5 wt%; (e) 6.0 wt%; (f) 7.5 wt%.

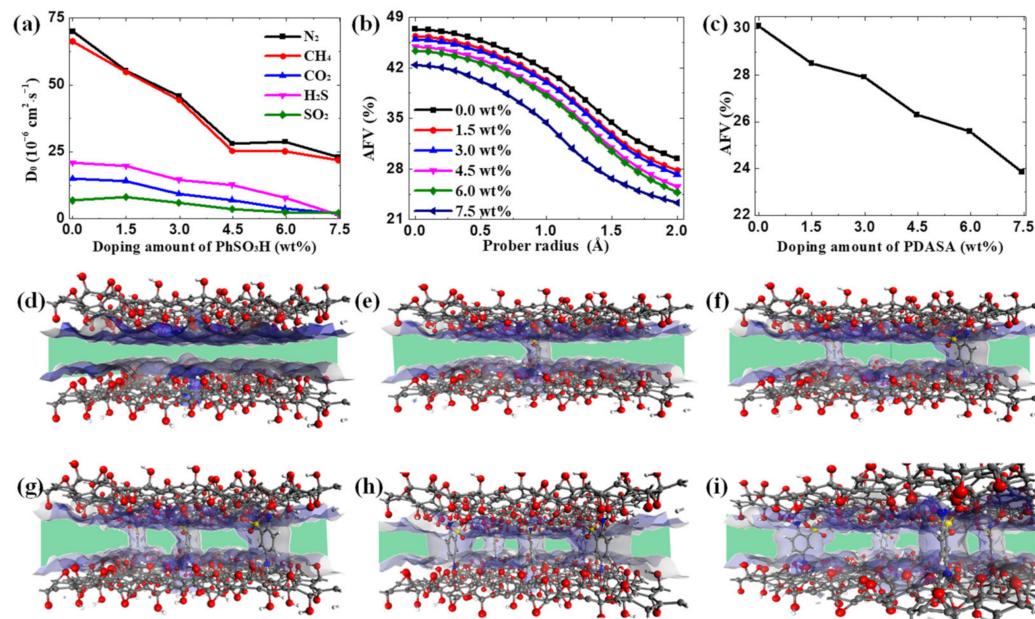


Figure 6. Diffusion insights. (a) Diffusion coefficient of different gases. (b) The free accessible volume of variable GO membranes. (c) The detected AFV with a 1.9 Å-sized probe is dependent on the doping amount of PDASA. Visualization of passage in variable GO channels. (d) 0.0 wt%; (e) 1.5 wt%; (f) 3.0 wt%; (g) 4.5 wt%; (h) 6.0 wt%; (i) 7.5 wt%.

3.4. Separation Performance Prediction

The permeability coefficient, P_i , with a typically reported unit of Barrer is determined on the basis of the solution-diffusion model in Equation (13), where the corresponding S_i and D_i have a unit of $\text{cm}^3(\text{STP}) \cdot \text{cm}^{-3} \cdot \text{mmHg}$ and $10^{-7} \text{ cm}^2 \cdot \text{s}^{-1}$, respectively, which are included in Table S2. The ideal gas selectivity, $\alpha_{i/j}$, is defined as the ratio of permeabilities of i and j by Equation (14). The separation performance of acid gases (CO₂ and H₂S) through PDASA-doped GO membranes is predicated in Figure 7. For CH₄ and N₂, their permeabilities are relatively low, as shown in Figure 7a; in contrast, acid gases exhibit high permeabilities thanks to their extraordinarily high S_0 in GO membranes, which indicates that this permeation process is governed by preferential adsorption. Doping a tiny amount of PDASA into GO channels helps to promote the permeability of CO₂ and H₂S by 21% and 18%, respectively. Figure 7b shows the ideal selectivities of CO₂/CH₄, CO₂/N₂, H₂S/CH₄ and H₂S/N₂. Apparently, the selectivities of the above four gas pairs also increase first and then decrease with the increase of PDASA-doping amounts, and their highest selectivities can be up to 95.7, 290.3, 200.8, and 608.2, respectively. The predicted separation performance is compared with experimental results. As shown in Figure 7c,d, the separation performance for both CO₂/CH₄ and (CO₂ + H₂S)/CH₄ of the PDASA-doped GO membranes were several orders of magnitude greater than most of the ever-reported membranes (Table S3) and far exceed the 2008 Robeson upper bound [22], suggesting the promising potential of the adsorption-dominated separation in acid gas treatment.

$$P_i = S_i D_i \tag{13}$$

$$\alpha_{i/j} = \frac{P_i}{P_j} = \frac{S_i D_i}{S_j D_j} \tag{14}$$

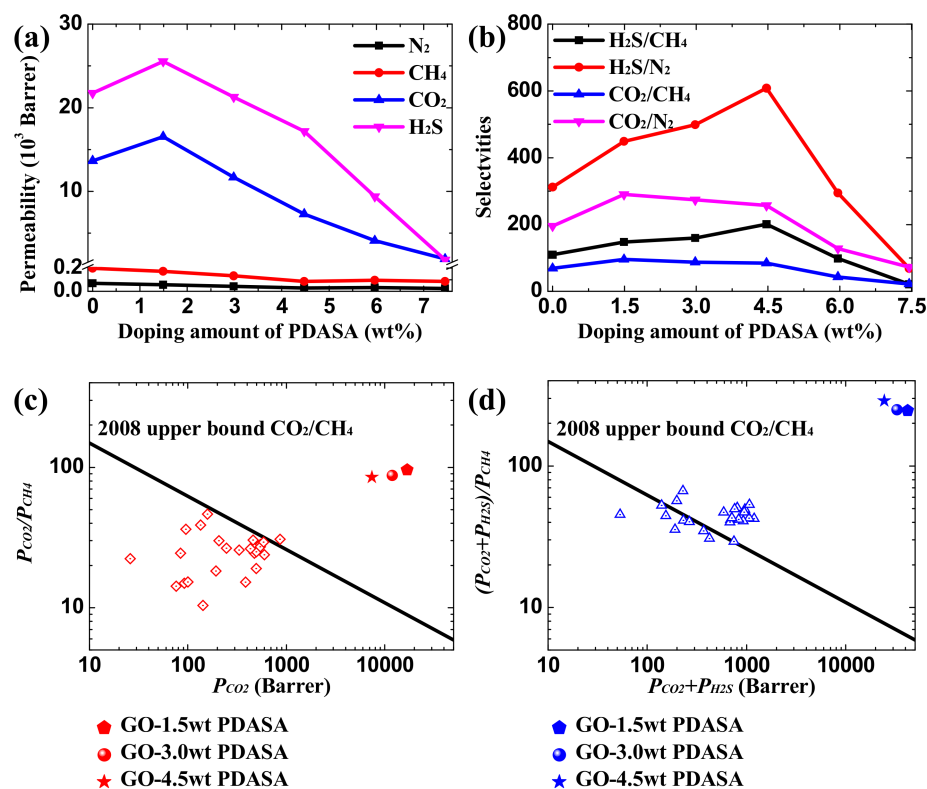


Figure 7. Separation performance. (a) Gas permeability. (b) Ideal selectivities of H₂S/CH₄, H₂S/N₂, CO₂/CH₄ and CO₂/N₂. Performance comparison for separations of (c) CO₂/CH₄ and (d) (CO₂ + H₂S)/CH₄ with other potential membranes and the 2008 Robeson upper bound of CO₂/CH₄ (Black line).

4. Conclusions

In summary, molecular simulations are performed to investigate the adsorption and diffusion behaviors of several gases in the PDASA-doped GO membranes. Doping a tiny amount (3.0 wt%) of PDASA into GO channels effectively promotes the adsorption ability of acid gases, with the solubility coefficient of H₂S and CO₂ improving almost 2.5 times, while the adsorption abilities of CH₄ and N₂ are almost invariable with the doped PDASA. Theoretical analysis demonstrates that the isotherms of CH₄ and N₂ show weak adsorption, following the Langmuir model, while acid gases exhibit multilayer adsorption in GO membranes, which is relatively complex and described by the Redlich-Peterson model. Molecular insights reveal that the strong adsorption of acid gases in GO membranes is ascribed to their high isosteric heat, great binding affinity and hydrogen bonds. While their diffusion in GO channels is restrained by doping PDASA due to the narrowing of the passage. Even so, the permeability of acid gases and their ideal selectivities over CH₄ are greatly enhanced over Robeson upper bound by doping a tiny amount of PDASA, which suggests that this removal process of acid gases is primarily dominated by preferential adsorption. From the bottom-up, this molecular understanding provides a strategy to develop high-performance GO membranes toward acid gas treatment. Such fundamental insights show the great potential of 2D membranes in the practical application of natural gas processing.

Supplementary Materials: The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/membranes12111155/s1>, Figure S1: The lg (MSD)-lg (t) curve for the transport of variable gases through pure GO and GO-7.5wt%PDASA membranes.; Table S1: Fitting parameters of α , γ , β , n and correlation coefficient (R²) for adsorption isotherms of different gases.; Table S2: The solubility co-efficient, diffusion coefficient and permeability with the typically

reported unit.; Table S3: Performance comparison for separations of CO₂/CH₄ and (CO₂+H₂S)/CH₄. (References [50–59] are cited in the supplementary materials.)

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Nomenclature

Symbols

| | |
|------------------------------|--|
| Q_{ex}, Q_{ab} | excess, absolute adsorption capacity (mmol·g ⁻¹) |
| ρ_g | gas density (mmol·cm ⁻³) |
| V_f, V_o | free, occupied volumes (cm ³ ·g ⁻¹) per unit mass |
| δ, β and γ | fitting parameters in the adsorption model |
| Q_L | maximal adsorption capacity in the Langmuir model |
| K_L | adsorption equilibrium constant in the Langmuir model |
| n | empirical constant in the Redlich-Peterson model |
| R^2 | correlation coefficient |
| $g(r)$ | radial distribution function (RDF) |
| MSD | mean square displacement (nm ²) |
| AFV | accessible free volume (%) |
| S_i | solubility coefficient (cm ³ (STP)·cm ⁻³ ·mmHg) |
| D_i | diffusion coefficient (10 ⁻⁷ cm ² ·s ⁻¹) |
| P_i | permeability coefficient (Barrer) |
| α_{ij} | gas selectivity of species i over j |

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Article

Analysis of the Influence of Process Parameters on the Properties of Homogeneous and Heterogeneous Membranes for Gas Separation

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Abstract: Heterogeneous membranes, otherwise known as Mixed Matrix Membranes (MMMs), which are used in gas separation processes, are the subject of growing interest. This is due to their potential to improve the process properties of membranes compared to those of homogeneous membranes, i.e., those made of polymer only. Using such membranes in a process involves subjecting them to varying temperatures and pressures. This paper investigates the effects of temperature and feed pressure on the process properties of homogeneous and heterogeneous membranes. Membranes made of Pebax[®] 2533 copolymer and containing additional fillers such as SiO₂, ZIF-8, and POSS-Ph were investigated. Tests were performed over a temperature range of 25–55 °C and a pressure range of 2–8 bar for N₂, CH₄, and CO₂ gases. It was found that temperature positively influences the increase in permeability, while pressure influences permeability depending on the gas used, which is related to the effect of pressure on the solubility of the gas in the membrane.



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Keywords: mixed matrix membranes; temperature dependence; pressure dependence; permeability; diffusivity; solubility

1. Introduction

Membrane gas separation is a process increasingly used in industrial processes [1]. In many cases, it is displacing other, more classical gas separation processes, such as adsorption, absorption, or cryogenic treatment. Using membrane techniques, good results are obtained in the separation of air components [2–4], biogas components [5–7], the separation of helium from natural gas [8,9], hydrogen recovery [10–12], natural gas sweetening [13–15], or air dehydration [16] and natural gas dehydration [17,18]. In order to improve the efficiency of the membrane process and to optimize the energy consumption of such a process, single or multi-stage plants are used, along with recirculation of selected streams [19–21]. Process efficiency and performance are also affected by process conditions, in particular, operating pressure and temperature [22–24]. The very important aspect is the selection of the correct membrane for the specific application. It is the selectivity of the membrane towards selected components of the gas mixture and the permeability of the membrane that determines its suitability for a particular application. However, in this paper, we focus on the influence of operational conditions on membrane performance. We can imagine such processes where high pressure or high temperature are required. For example, the natural gas is obtained under a pressure of several dozen bar. Its purification with membranes could, therefore, take place at high pressures, without the need to reduce it first. In turn, synthesis gas is also obtained at a pressure of several dozen bar and, additionally, at a temperature of several hundred degrees Celsius. Its treatment by membranes could, again, take place with only partial cooling. Other such examples of elevated temperature gases are any flue gases. We should note that the membranes discussed in this paper are made of polymers, which naturally limits the pressures and temperatures at which they can be used.

In membrane gas separation processes, dense polymeric membranes perform best. However, it has been known for years that such membranes have their limitations, in that it is difficult to simultaneously achieve high membrane selectivity and high product flux [25–27]. A method for overcoming these limitations is the manufacturing of polymer-based heterogeneous membranes, also known in the literature as Mixed Matrix Membranes [28–30]. In such membranes, solid particles such as silica, organometallic structures, nanotubes, or nanowires are dispersed in a polymer matrix. The presence of these fillers in the polymer matrix causes changes in the physico-chemical properties of the material and, thus, affects the process properties (selectivity and permeability) of the membrane [31,32]. As a result of such changes, membranes made from polymers and fillers improve their process properties and are no longer subject to the same limitations as membranes made solely from polymer. This is the reason why there is a growing interest in the manufacture, research and use of heterogeneous membranes. The properties of heterogeneous membranes are influenced both by the type of filler used and by the concentration of this filler in the matrix. A number of works have been devoted to this issue. Membrane properties, as mentioned earlier, are also affected by process parameters such as temperature or feed pressure. This issue has received much less attention in the literature, which is the motivation for the research and analysis undertaken in this paper. Indeed, it can be found in the literature that operating conditions (pressure, temperature) affect the process [33–38]. However, these mentions in the literature are made as general remarks, or as remarks referring to the process as such or in the context of mathematical modelling. There is no mention in the literature regarding the influence of process conditions on the various parameters describing membrane properties. Understanding the relationship between the effect of process conditions on membrane properties will also allow for better planning of the membrane gas separation process.

This paper presents a study of the process properties of homo- and heterogeneous flat membranes made of block copolymer and three types of fillers, namely SiO₂, ZIF–8, and POSS-Ph. The effects of temperature and feed pressure on membrane permeability and on diffusion and solubility coefficients were investigated.

2. Materials and Methods

2.1. Flat Membranes

The flat membranes were manufactured from a block copolymer with the trade name Pebax[®]2533 (Arkema, France). For heterogeneous membranes, the fillers were SiO₂ nanoparticles (nanopowder 10–20 nm, Sigma-Aldrich, Poznan, Poland), ZIF–8 (Basolite Z1200 by BASF, Sigma-Aldrich, Poznan, Poland) or POSS-Ph (PSS-Octaphenyl-substituted, Sigma-Aldrich, Poznan, Poland). The rationale for undertaking research with these particular compounds and the structural formulae of these materials can be found in another paper of ours [31]. In that paper, material studies of such heterogeneous membranes are presented.

Preparation of the flat membranes started with dissolving the polymer granules in a solvent, which, in this case, was 2–butanol (Sigma-Aldrich, Poznan, Poland). In preparing homogeneous membranes, a 7 wt% solution of polymer in solvent was prepared each time. The weighed solvent and polymer were placed in an oil bath and stirred vigorously at 80 °C until the solution was completely dissolved and homogenized, which took at least 24 h. For the manufacture of heterogeneous membranes, weighed amounts of fillers, i.e., SiO₂, ZIF–8, or POSS-Ph, respectively, were gradually added to the polymer solution at this stage. The amount of additives is specified as a mass percentage relative to the mass of the polymer in solution. When adding the fillers, the temperature of the solution was kept constant by constantly stirring it. The membrane-forming solution (mixture) was then left for a further 24 h at constant temperature and stirred continuously, this time at a lower intensity. In the case of homogeneous membranes, the step of adding fillers was omitted. Just before the membrane was formed, the solution was transferred to the ultrasonic bath for a few minutes. Finally, the solution was poured onto a heated glass

plate and spread over it with a casting knife (Elcometer, Manchester, UK). The membrane thus prepared, while still in the liquid state, was left under controlled conditions until the solvent evaporated and the membrane solidified. The membrane was removed from the glass plate during the ultrapure water bath. After drying, the membrane was tested.

The thickness of the fabricated membrane was measured by scanning electron microscopy (SEM) using a PhenomPro instrument (PhenomWorld, Eindhoven, The Netherlands).

2.2. Time Lag Method

The time lag method was used to measure membrane properties such as diffusion coefficient, solubility coefficient, or permeability [39,40]. A stand of our own design was used, which included a diaphragm module for a flat diaphragm, a vacuum pump, a connection to a gas pressure cylinder, pressure transmitters, a thermostatic device, and a computer with software.

The basic time lag method allows the diffusion coefficient (D) of the gas in the membrane to be determined. However, analysis of the rate of permeate pressure increase over time also allows the permeability of the membrane (P) to be determined, and consequently, by dividing the permeability by the diffusion coefficient, it allows the solubility coefficient (S) to be determined indirectly. This is consistent with Equation (1):

$$P = S \cdot D \quad (1)$$

The pure gases in vessels were supplied by Air Products (Warsaw, Poland).

3. Results and Discussion

The following chapter presents an analysis of the effects of feed pressure and process temperature on the separation properties of fabricated membranes with flat geometries. For this stage of the study, a homogeneous membrane made of Pebax[®]2533 was used. Two membranes with different concentrations of each filler used were selected, namely SiO₂, ZIF-8, and POSS-Ph. Time-lag tests were performed for three different temperatures (25 °C, 45 °C, 55 °C) and three different feed pressures (2 bar, 4 bar, 8 bar). Pure gases of N₂, CH₄, and CO₂ were used.

The resulting permeability values are shown in Figures 1–3 and in Tables A1–A4. In presenting the results of the gas permeation measurements of the developed membranes, a barrer unit was used, which is a non-SI unit, but is generally accepted in membrane-related literature. The conversion into SI units can be done as follows:

$$1 \text{ barrer} = 3.35 \cdot 10^{-16} \frac{\text{mol} \cdot \text{m}}{\text{m}^2 \cdot \text{s} \cdot \text{Pa}} \quad (2)$$

An analysis of Figures 1–3 and the corresponding Tables A1–A4 allows the following preliminary observations to be noted. The effect of feed temperature on membrane permeability is clearly discernible. Higher permeability values are observed for higher temperatures. This is consistent with the van't Hoff–Arrhenius equation [41,42]. Furthermore, the mobility of the polymer chains increases with increasing temperature [43,44]. As a result, the transport of gas molecules across the membrane is facilitated. However, it should be noted that the magnitude of the variation in membrane permeability over the tested temperature range of 25 °C–55 °C depends on the gas and the filler used. The largest percentage increase in permeation with increasing temperature was obtained for N₂, followed by CH₄ and CO₂. This relationship was obtained for all membrane types tested. In turn, for different fillers, the largest percentage increase in permeation as a function of temperature was obtained for ZIF-8 (ca. 40%), followed by SiO₂ (ca. 27%) and POSS-Ph (ca. 22%) in comparison to the homogenous membrane.

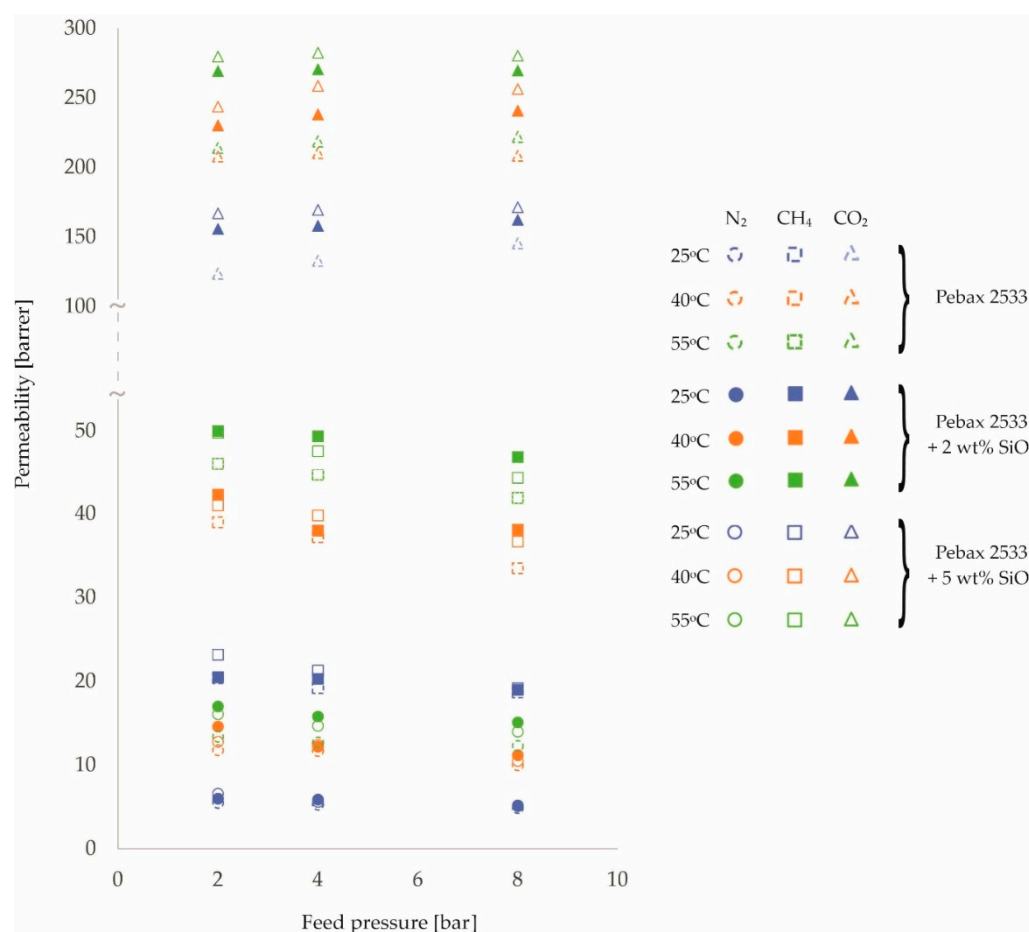


Figure 1. Change in permeability values of homogeneous membrane and heterogeneous membranes containing SiO₂ for different feed pressure and temperature values.

In contrast, changes in permeability values as a function of pressure are not so clear-cut. For N₂ and CH₄ gases, permeability decreases slightly with increasing pressure, while for CO₂ gas the situation is the opposite or, at the very least, no effect of pressure on the change in permeability is observed. Such observations can be made for both homogeneous and heterogeneous membranes. Explaining this effect requires further analysis, in particular, investigating the effect of pressure on diffusion and solubility coefficients. However, it is known that CO₂ molecules, due to the fact that they possess a quadra-pole moment, can interact differently with polymer chains than molecules of other gases [45–47]. The effect observed for N₂ and CH₄ gases, i.e., a decrease in permeability with increasing pressure, can be explained by the compression of the polymer chains of which the membrane is made [24,48,49]. The trend lines for the individual pressure-dependent permeability variations, not drawn on the graphs in Figures 1–3, are virtually parallel for a given gas and constant temperature. This means that the presence of nanoparticles filling the polymer matrix in a heterogeneous membrane has no additional effect on the compression (or prevention thereof) of the polymer chains in the membrane.

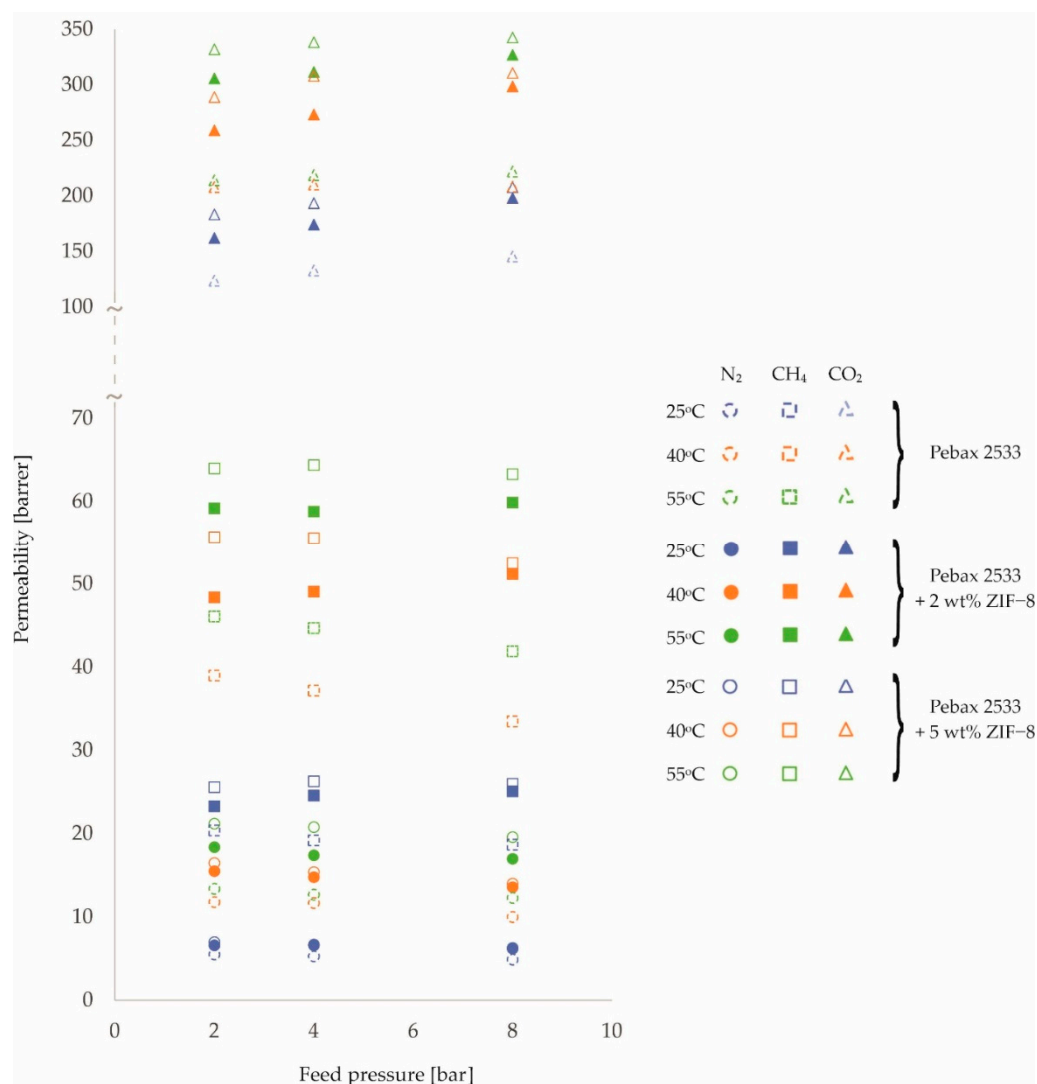


Figure 2. Change in permeability values of homogeneous membrane and heterogeneous membranes containing ZIF-8 for different feed pressure and temperature values.

By analyzing Figures 1–3, it is also possible to see the effect of the presence and concentration of fillers in the polymer matrix of the membrane on permeability. This issue is well known and described in the literature and will not be considered in this paper.

Further analysis of the effects of temperature and feed pressure on membrane properties will look at changes in the gas diffusion coefficient through homogeneous membrane and heterogeneous membranes. For the purposes of analysis, Figures 4–6 and the corresponding Tables A5–A8 have been drawn up, which contain the values of the diffusion coefficients measured for different membranes, different gases, and at different process parameter values.

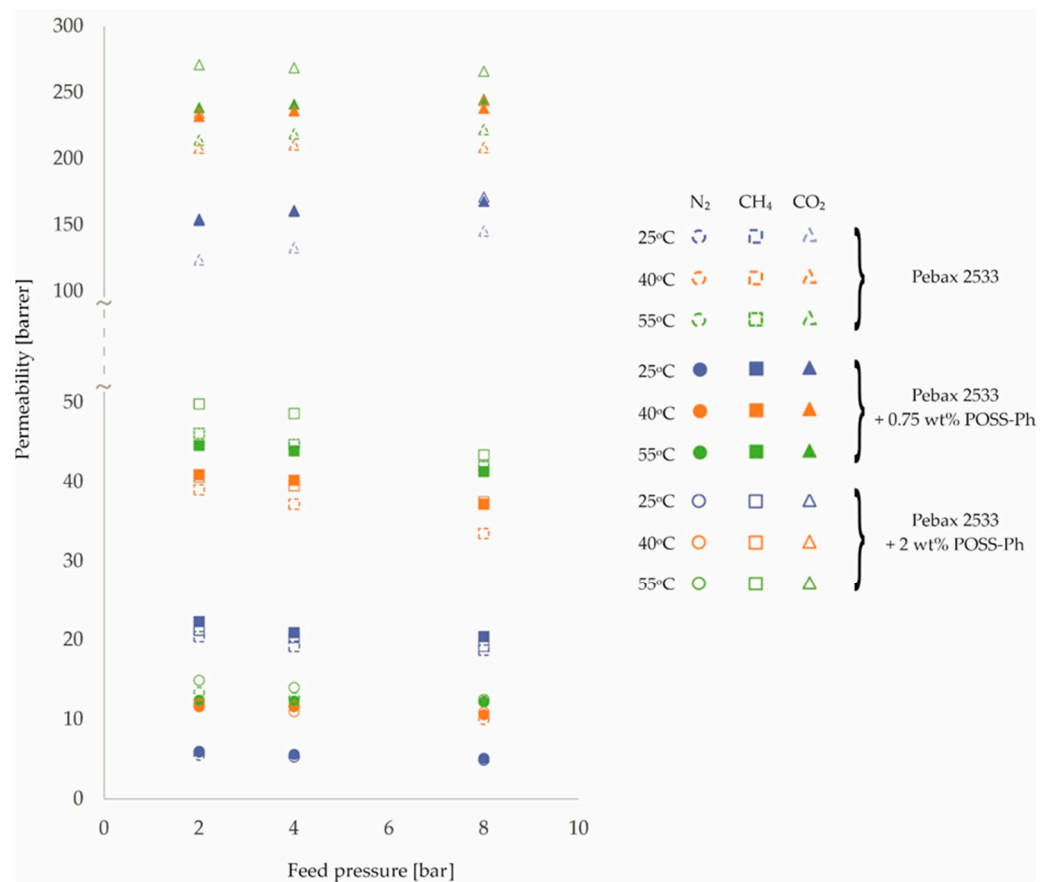


Figure 3. Change in permeability values of homogeneous membrane and heterogeneous membranes containing POSS-Ph for different feed pressure and temperature values.

On the basis of these diffusion coefficient measurements, it can be concluded that for all of the gases tested, there is an improvement in their diffusivity with increasing temperature for all of the membranes tested. In addition, it can be observed that for heterogeneous membranes there is a lower percentage increase in diffusivity than is the case for homogeneous structures. This is related to the interactions between the filler particles and the polymer chains, which reduce the potential for their mobility to increase with increasing process temperature. This is particularly evident for CO₂, but may be due to another adverse phenomenon. As the temperature increases, there is an increase in the free volumes in the polymer structure [50,51], which can result in an intensification of the contact between the filler particles and the polymer. The transport of this gas through the membrane is then impeded. It should also be noted that if the interactions described above were not present, the increase in gas diffusivity with temperature should be greatest for CO₂. This is because the molecules of this gas have the smallest kinetic diameter of the gases studied [24,52].

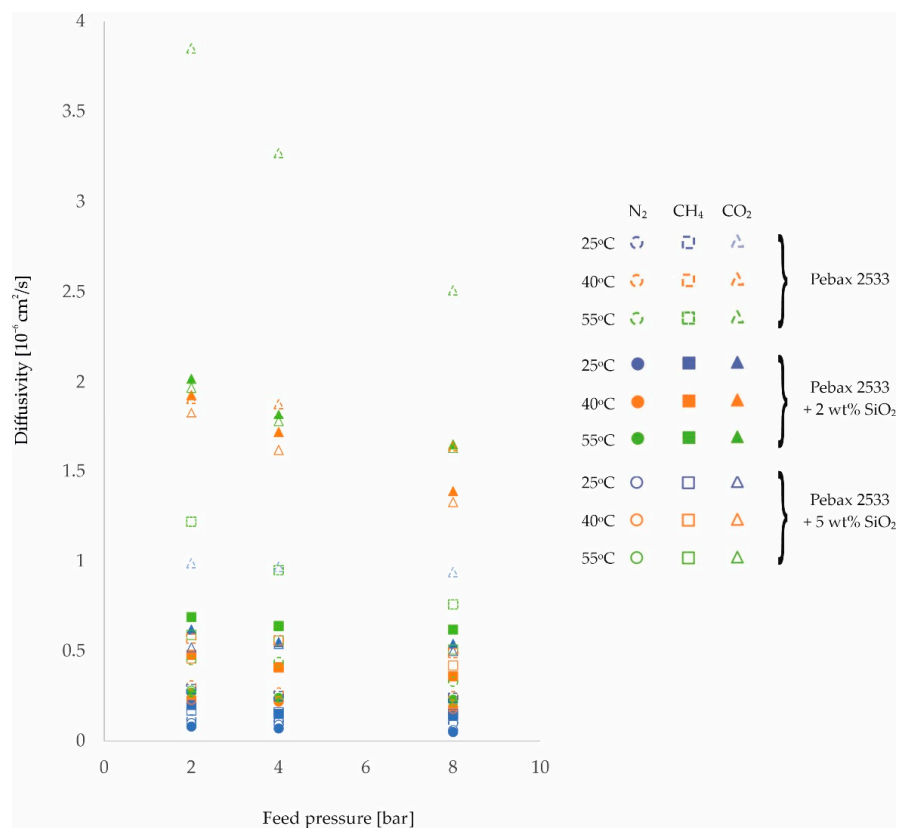


Figure 4. Change in diffusion coefficient values of homogeneous membrane and heterogeneous membranes containing SiO₂ for different feed pressure and temperature values.

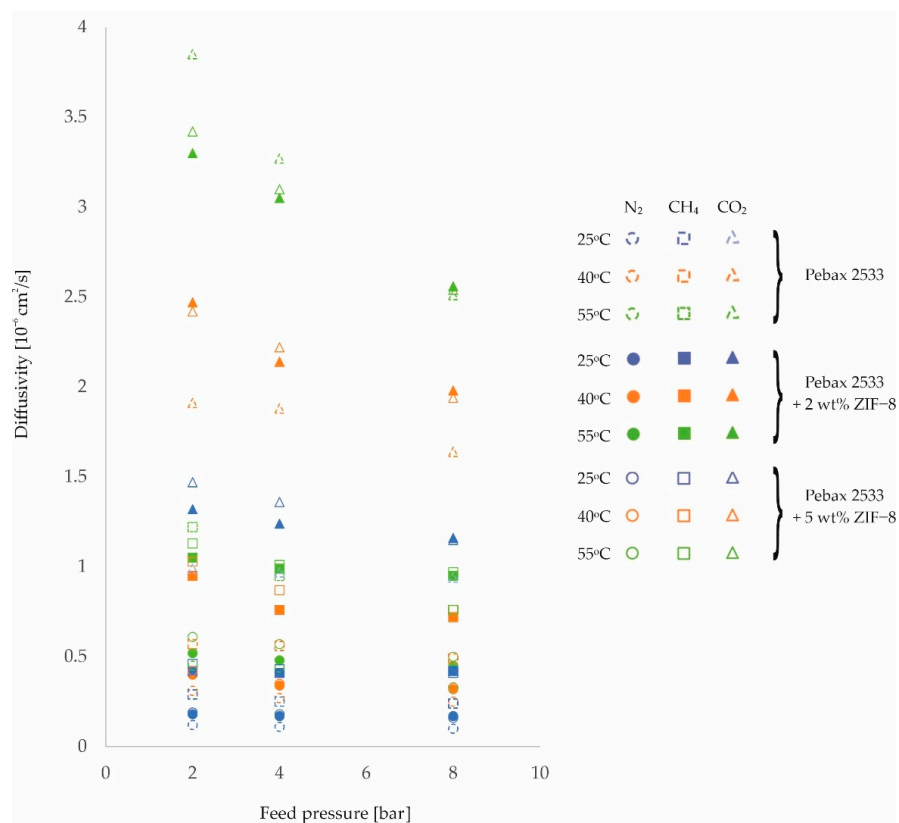


Figure 5. Change in diffusion coefficient values of homogeneous membrane and heterogeneous membranes containing ZIF-8 for different feed pressure and temperature values.

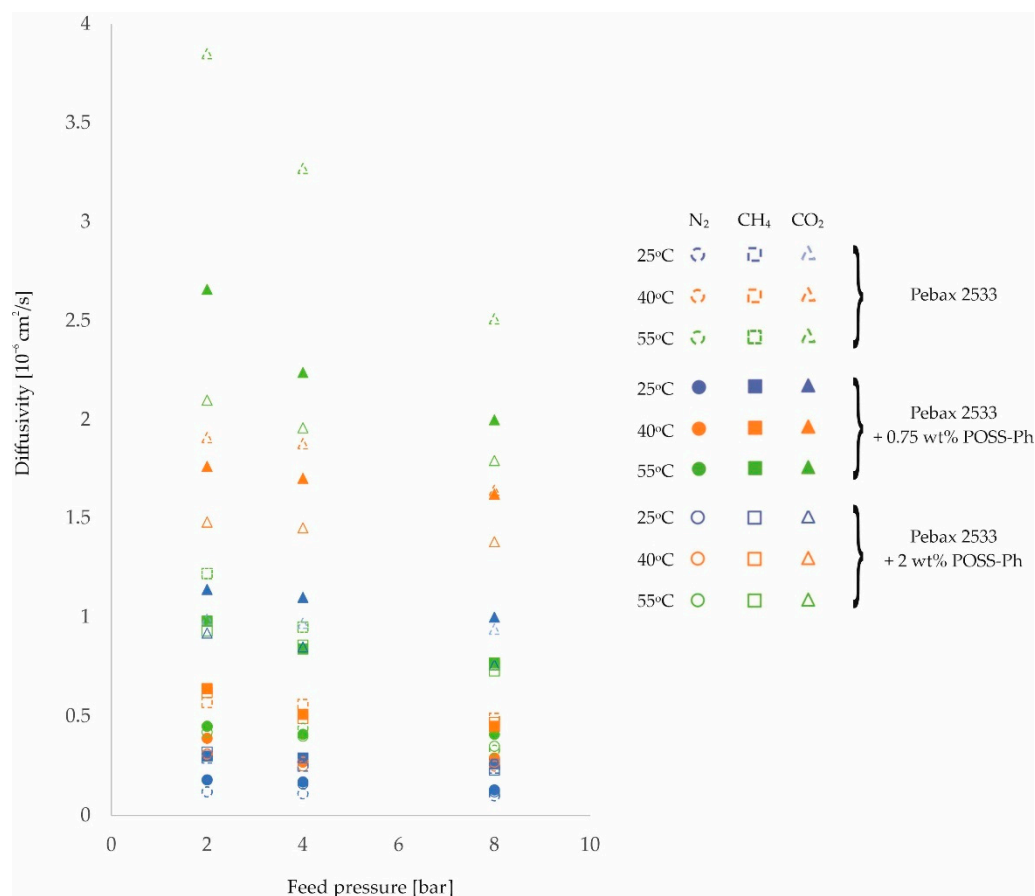


Figure 6. Change in diffusion coefficient values of homogeneous membrane and heterogeneous membranes containing POSS-Ph for different feed pressure and temperature values.

In addition, from the values obtained for the diffusion coefficients, it can be seen that they decrease with increasing feed pressure for all membranes and gases tested. This effect is related to the compression of the polymer as a result of the applied pressure, as already mentioned. Thus, the distances between the polymer chains and their mobility are reduced, which adversely affects the transport stage of the molecules by diffusion. An analysis of the diffusion component of the ideal selectivity coefficient $\alpha_{Di/j}$, calculated as the quotient of the diffusivity coefficient of one gas and the diffusivity coefficient of the other gas, reveals no clear change in the value of this parameter and no unambiguous trend describing the influence of the feed pressure on this parameter. This means that the compression of the polymer due to the applied pressure limits the transport of the tested gases to a similar extent. Similarly, the presence of an inorganic additive does not affect the magnitude and trend of gas diffusion changes with feed pressure.

The second parameter on which the rate of gas permeation through the membranes produced depends is the solubility coefficient, see Equation (1). The resulting values of this magnitude for different process conditions are shown in Figures 7–9 and in Tables A9–A12, for the homogeneous membrane and heterogeneous membranes, respectively. It should be recalled at this point that the solubility values contained in this paper were determined as a quotient of the measured values of permeability and diffusion coefficient, and not determined in separate measurements.

From the results shown in Figures 7–9, it can be seen that the solubility of gases on the surface of the fabricated materials decreases with increasing temperature. In contrast, as the feed pressure increases, the solubility of the gases in the membrane material increases. This is in line with predictions [53,54].

At this point, it is possible to return to the analysis of the effects of temperature and pressure on membrane permeability for CO₂, which, for this gas, was characterized by a

smaller effect of temperature and a different trend in the effect of pressure than for N₂ and CH₄ gases.

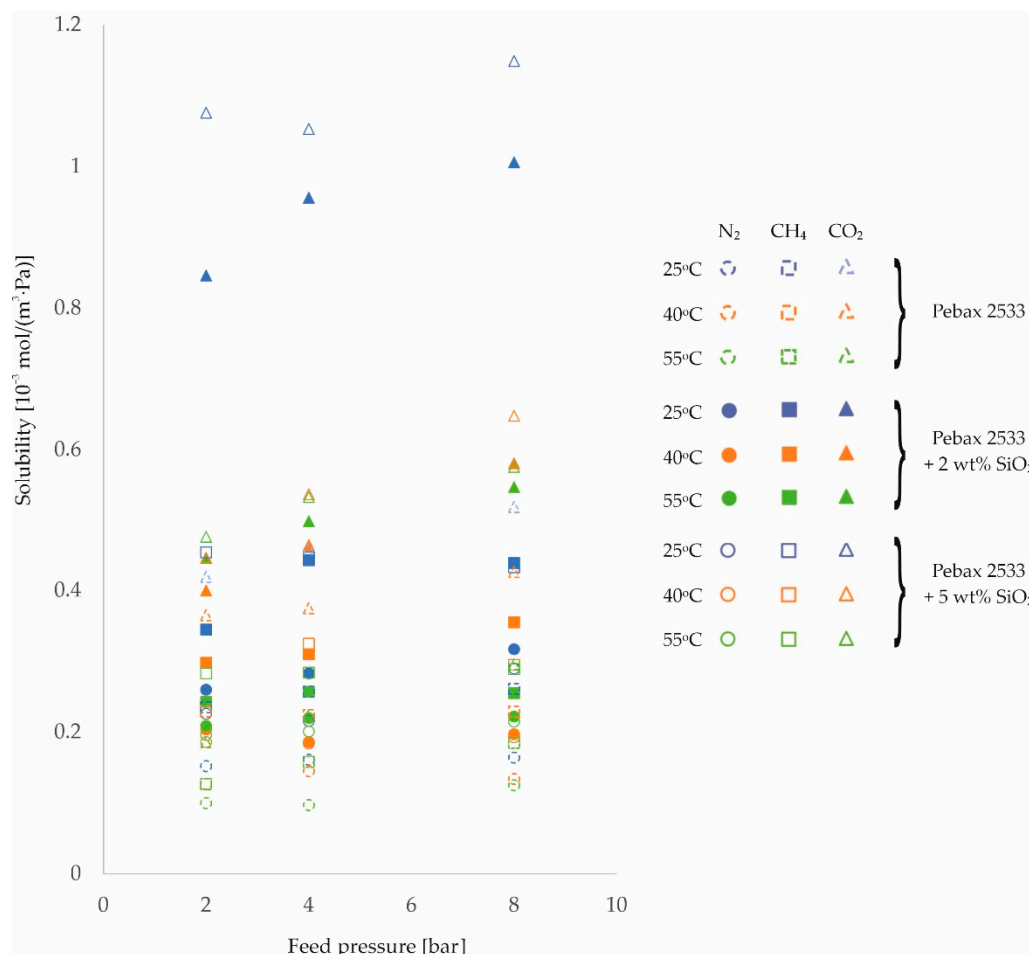


Figure 7. Change in solubility coefficient values of homogeneous membrane and heterogeneous membranes containing SiO₂ for different feed pressure and temperature values.

Comparing the results obtained at 25 °C and 55 °C for the membranes tested, the following average percentage increases in permeability were recorded: for N₂ +62%, for CH₄ +57%, and for CO₂ +40%. The markedly smaller increase in permeability for CO₂ with increasing temperature is associated with a greater decrease in its solubility at the membrane surface (N₂ −20%, CH₄ −36%, CO₂ −64%, respectively) and a smaller improvement in its diffusivity (N₂ +67%, CH₄ +67%, CO₂ +62%, respectively) relative to the other gases with increasing temperature. The effects observed are related to the lower molar heat of condensation of CO₂ relative to the other gases, and therefore also to the lower value of the enthalpy of dissolution, which additionally takes on a negative value for this gas [55]. This manifests itself in two effects. Firstly, a negative value of the enthalpy of dissolution means that the solubility of a given gas on the membrane surface deteriorates with increasing temperature, and secondly, the lower its value, the more difficult it is for the gas to condense [56]. This effect explains the different trend in changes in permeability with pressure to CO₂ gas noted earlier.

By dividing the permeability values for individual gases, the value of the ideal separation factor is obtained. Using the N₂/CO₂ mixture as an example, an analysis of the influence of process conditions on the ideal separation factor will be presented. The data shown in Figure 10 were obtained from the data shown in Figures 1–3 and in Tables A1–A4.

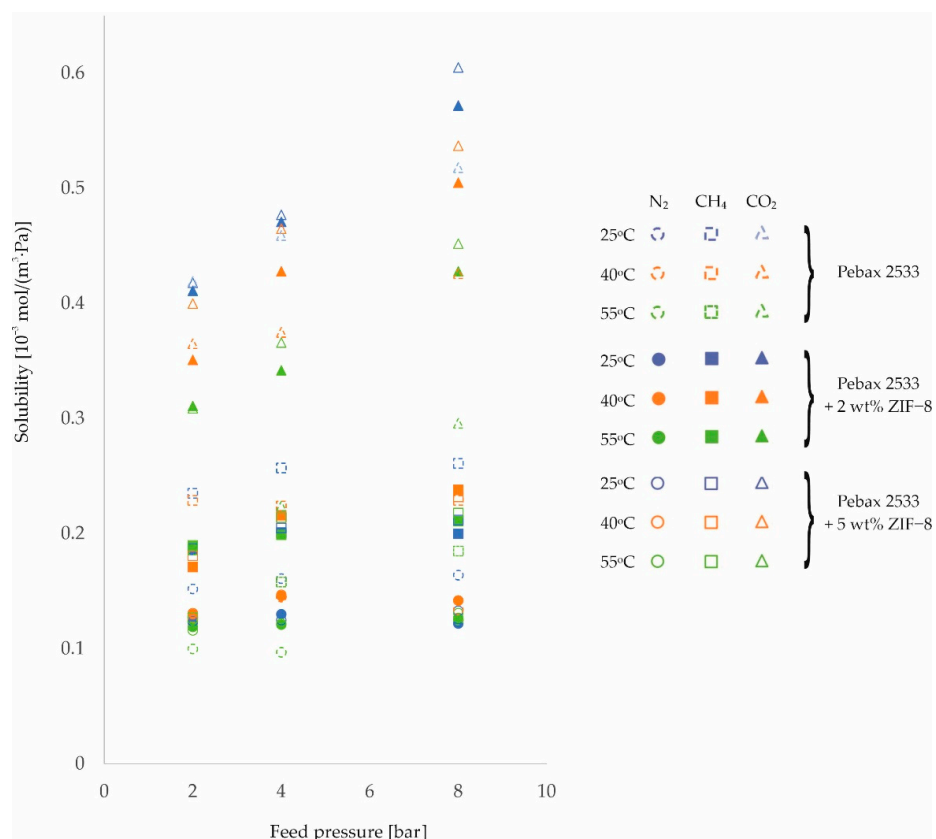


Figure 8. Change in solubility coefficient values of homogeneous membrane and heterogeneous membranes containing ZIF-8 for different feed pressure and temperature values.

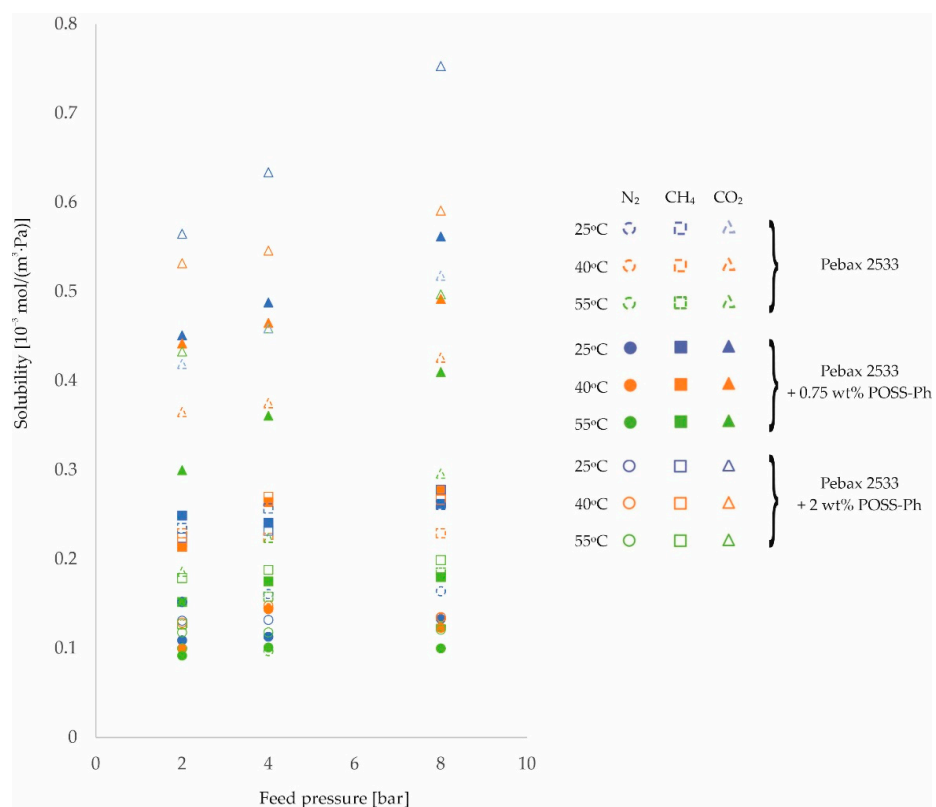


Figure 9. Change in solubility coefficient values of homogeneous membrane and heterogeneous membranes containing POSS-Ph for different feed pressure and temperature values.

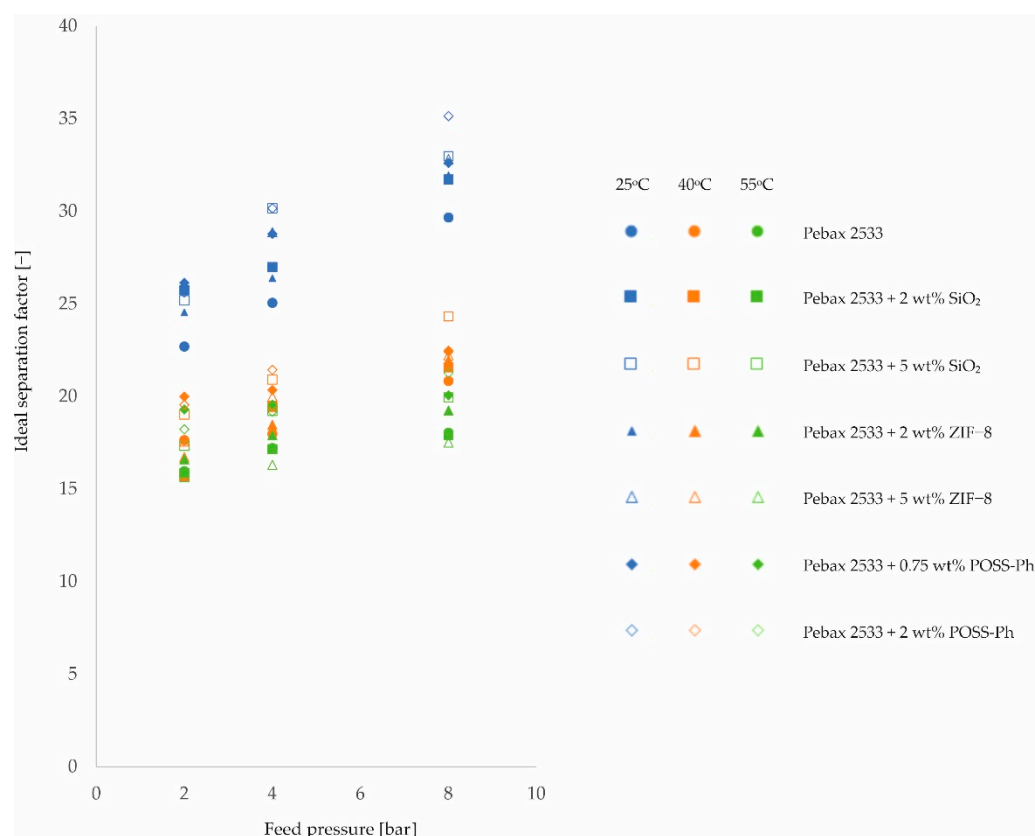


Figure 10. Change in ideal separation factor values for a N₂/CO₂ mixture of homogeneous membrane and heterogeneous membranes containing SiO₂, ZIF-8, and POSS-Ph for different feed pressure and temperature values.

Analyzing Figure 10, a strong correlation can be seen between the ideal separation factor and the feed pressure value. As the pressure increases, the ideal separation factor increases. This applies to all tested membranes, both homogeneous and heterogeneous. The trends for all these membranes are similar and the increase in the value of the ideal separation factor is approx. 40% when changing the feed pressure from 2 bar to 8 bar. A strong correlation is also observed when analyzing the influence of temperature on the value of the ideal separation factor. Here, however, the increasing temperature causes the value of the ideal separation factor to drop. The trends for the different types of membranes are similar. The decrease in the value of the ideal separation factor is ca. 30–45% (depending on type of filler) when changing the temperature from 25 °C to 55 °C. Physicochemical explanations of the observed effects should be sought in consideration of the influence of individual factors on the values of permeability, diffusivity, and solubility.

In the literature, one can find many works devoted to research on gas permeability through membranes made of Pebax[®]2533 copolymer or its modifications [57–69]. Therefore, it may be interesting for the readers to compare the results in the literature and the results obtained in the research of this work on the Robeson charts [25,27]. Figure 11 shows the comparison of results in the literature obtained for the pure Pebax[®]2533 polymer and its modifications with the authors’ results, with the influence of pressure (Figure 11A) and temperature (Figure 11B) on the process parameters of the membranes. The considerations are limited to one gas mixture, namely the CO₂/N₂ mixture.

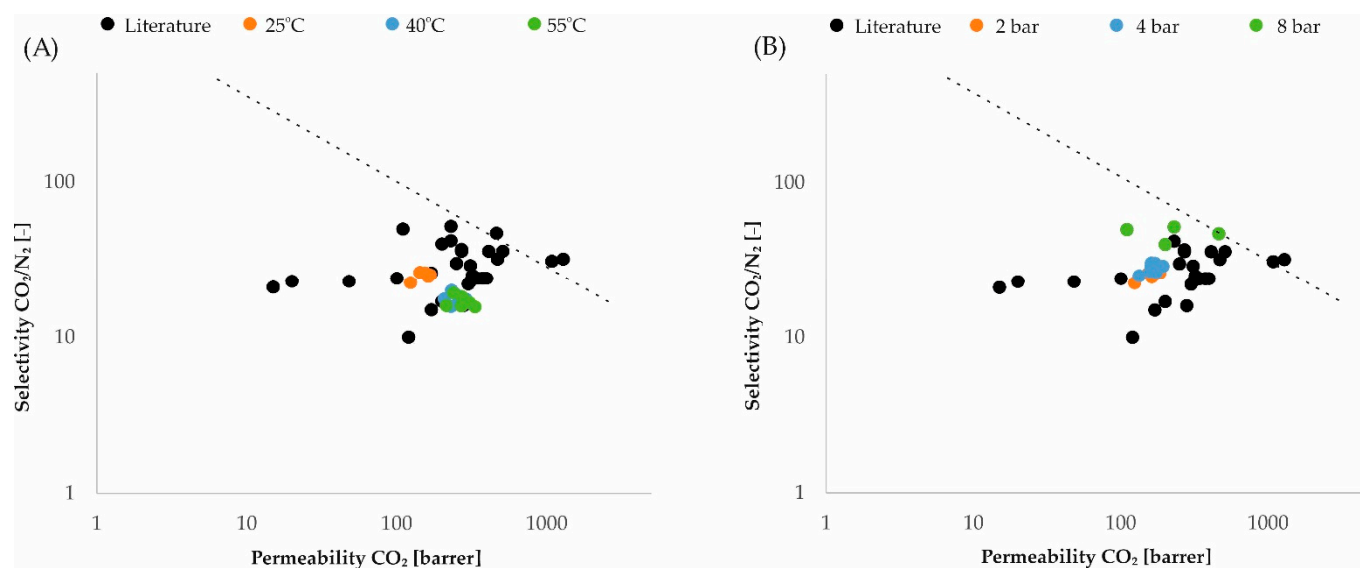


Figure 11. Comparison of results in the literature with the authors' results: (A) temperature effect at constant pressure 1 bar; (B) pressure effect at constant temperature 25 °C.

4. Conclusions

On the basis of the considerations presented above regarding the influence of process parameters on the diffusivity and solubility of gases, it is possible to determine the reasons for the changes in permeability of the membranes tested to the selected gases.

The value of membrane permeability to gases is influenced by both diffusion coefficient and solubility. An increase in temperature positively increases diffusivity, but negatively affects solubility. An increase in pressure negatively increases diffusivity, but positively increases solubility.

In general, an increase in temperature improves the permeability of membranes, meaning that the effect of temperature on diffusivity in this case outweighs the effect of temperature on solubility. In contrast, an increase in pressure worsens membrane permeability to N₂ and CH₄ gases, while it slightly improves permeability to CO₂. For N₂ and CH₄ gases, the effect of temperature on diffusivity appeared to be greater than the effect of temperature on solubility. For CO₂, on the other hand, the effect of temperature on solubility appeared to be the prevailing effect, which is due to the properties of the gas rather than the membrane.

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Appendix A

Table A1. Permeability of homogeneous membranes to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Permeability P_i [barrer] | | | | | | | | |
|---------------|---------------------|-----------------------------|--------------|--------------|--------------------------|--------------|--------------|--------------------------|---------------|---------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 | 2 | 5.5 ±0.1 | 11.8 ±0.3 | 13.4 ±0.3 | 20.4 ±0.3 | 39.0 ±0.6 | 46.1 ±0.7 | 123.7 ±1.0 | 207.9 ±1.7 | 214.0 ±1.7 |
| | 4 | 5.3 ±0.1 | 11.7 ±0.3 | 12.7 ±0.3 | 19.2 ±0.3 | 37.2 ±0.6 | 44.7 ±0.7 | 132.8 ±1.1 | 210.4 ±1.7 | 218.7 ±1.7 |
| | 8 | 4.9 ±0.1 | 10.0 ±0.3 | 12.3 ±0.3 | 18.7 ±0.3 | 33.5 ±0.5 | 41.9 ±0.7 | 145.4 ±1.2 | 208.4 ±1.7 | 222.0 ±1.8 |

Table A2. Permeability of heterogeneous membranes containing SiO₂ to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Permeability P_i [barrer] | | | | | | | | |
|------------------------------------|---------------------|-----------------------------|--------------|--------------|--------------------------|--------------|--------------|--------------------------|---------------|---------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +2 wt% SiO ₂ | 2 | 6.0 ±0.2 | 14.6 ±0.4 | 17.0 ±0.4 | 20.5 ±0.3 | 42.3 ±0.7 | 50.0 ±0.8 | 155.7 ±1.2 | 230.2 ±1.8 | 269.2 ±2.2 |
| | 4 | 5.9 ±0.1 | 12.2 ±0.3 | 15.8 ±0.4 | 20.3 ±0.3 | 38.0 ±0.6 | 49.4 ±0.8 | 158.0 ±1.3 | 238.1 ±1.9 | 270.6 ±2.2 |
| | 8 | 5.1 ±0.1 | 11.2 ±0.3 | 15.1 ±0.4 | 19.0 ±0.3 | 38.1 ±0.6 | 46.9 ±0.8 | 162.3 ±1.3 | 240.9 ±1.9 | 269.7 ±2.2 |
| PEBAX 2533 +5 wt% SiO ₂ | 2 | 6.6 ±0.2 | 12.8 ±0.3 | 16.1 ±0.4 | 23.2 ±0.4 | 41.0 ±0.7 | 49.8 ±0.8 | 167.0 ±1.3 | 243.8 ±2.0 | 279.8 ±2.2 |
| | 4 | 5.6 ±0.1 | 12.4 ±0.3 | 14.7 ±0.4 | 21.3 ±0.3 | 39.8 ±0.6 | 47.6 ±0.8 | 169.5 ±1.4 | 258.6 ±2.1 | 282.5 ±2.3 |
| | 8 | 5.2 ±0.1 | 10.5 ±0.3 | 14.0 ±0.4 | 19.2 ±0.3 | 36.7 ±0.6 | 44.3 ±0.7 | 171.5 ±1.4 | 256.4 ±2.1 | 280.4 ±2.2 |

Table A3. Permeability of heterogeneous membranes containing ZIF-8 to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Permeability P_i [barrer] | | | | | | | | |
|-------------------------|---------------------|-----------------------------|--------------|--------------|--------------------------|--------------|--------------|--------------------------|---------------|---------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +2 wt% ZIF-8 | 2 | 6.6 ±0.2 | 15.5 ±0.4 | 18.4 ±0.5 | 23.3 ±0.4 | 48.4 ±0.8 | 59.2 ±0.9 | 162.0 ±1.3 | 259.1 ±2.1 | 305.9 ±2.4 |
| | 4 | 6.6 ±0.2 | 14.8 ±0.4 | 17.4 ±0.4 | 24.6 ±0.4 | 49.1 ±0.8 | 58.8 ±0.9 | 174.2 ±1.4 | 273.3 ±2.2 | 311.6 ±2.5 |
| | 8 | 6.2 ±0.2 | 13.6 ±0.3 | 17.0 ±0.4 | 25.1 ±0.4 | 51.2 ±0.8 | 59.9 ±1.0 | 198.1 ±1.6 | 298.6 ±2.4 | 327.1 ±2.6 |

Table A3. Cont.

| Membrane Type | Feed Pressure [bar] | Permeability P_i [barrer] | | | | | | | | |
|----------------------------|---------------------|--|--------------|--------------|---|--------------|--------------|---|---------------|---------------|
| | | N ₂ Process Temperature [°C] | | | CH ₄ Process Temperature [°C] | | | CO ₂ Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +5 wt% ZIF-8 | 2 | 7.0 ±0.2 | 16.5 ±0.4 | 21.2 ±0.5 | 25.6 ±0.4 | 55.7 ±0.9 | 64.0 ±1.0 | 183.3 ±1.5 | 289.0 ±2.3 | 332.0 ±2.7 |
| | 4 | 6.7 ±0.2 | 15.4 ±0.4 | 20.8 ±0.5 | 26.3 ±0.4 | 55.6 ±0.9 | 64.4 ±1.0 | 193.5 ±1.5 | 308.0 ±2.5 | 338.4 ±2.7 |
| | 8 | 6.3 ±0.2 | 14.0 ±0.3 | 19.6 ±0.5 | 26.0 ±0.4 | 52.5 ±0.8 | 63.3 ±1.0 | 207.7 ±1.7 | 310.8 ±2.5 | 342.7 ±2.7 |

Table A4. Permeability of heterogeneous membranes containing POSS-Ph to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Permeability P_i [barrer] | | | | | | | | |
|------------------------------------|---------------------|--|--------------|--------------|---|--------------|--------------|---|---------------|---------------|
| | | N ₂ Process Temperature [°C] | | | CH ₄ Process Temperature [°C] | | | CO ₂ Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +0.75 wt% POSS-Ph | 2 | 5.9 ±0.1 | 11.6 ±0.3 | 12.4 ±0.3 | 22.3 ±0.4 | 40.9 ±0.7 | 44.6 ±0.7 | 153.5 ±1.2 | 232.0 ±1.9 | 238.5 ±1.9 |
| | 4 | 5.6 ±0.1 | 11.6 ±0.3 | 12.3 ±0.3 | 20.9 ±0.3 | 40.2 ±0.6 | 43.9 ±0.7 | 160.3 ±1.3 | 236.1 ±1.9 | 241.2 ±1.9 |
| | 8 | 5.1 ±0.1 | 10.6 ±0.3 | 12.2 ±0.3 | 20.4 ±0.3 | 37.2 ±0.6 | 41.3 ±0.7 | 167.8 ±1.3 | 238.0 ±1.9 | 244.9 ±2.0 |
| PEBAX 2533 +2 wt% POSS-Ph | 2 | 6.0 ±0.2 | 12.0 ±0.3 | 14.9 ±0.4 | 21.2 ±0.3 | 40.6 ±0.7 | 49.8 ±0.8 | 154.5 ±1.2 | 234.6 ±1.9 | 271.0 ±2.2 |
| | 4 | 5.3 ±0.1 | 11.0 ±0.3 | 14.0 ±0.4 | 20.3 ±0.3 | 39.5 ±0.6 | 48.6 ±0.8 | 161.0 ±1.3 | 236.2 ±1.9 | 268.6 ±2.1 |
| | 8 | 4.9 ±0.1 | 10.9 ±0.3 | 12.5 ±0.3 | 19.2 ±0.3 | 37.5 ±0.6 | 43.4 ±0.7 | 171.1 ±1.4 | 244.0 ±2.0 | 266.0 ±2.1 |

Table A5. Diffusion coefficient of homogeneous membranes to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Diffusivity D_i [10^{-6} cm ² /s] | | | | | | | | |
|---------------|---------------------|---|---------------|---------------|---|---------------|---------------|---|---------------|---------------|
| | | N ₂ Process Temperature [°C] | | | CH ₄ Process Temperature [°C] | | | CO ₂ Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 | 2 | 0.12 ±0.01 | 0.31 ±0.02 | 0.45 ±0.03 | 0.29 ±0.01 | 0.57 ±0.04 | 1.22 ±0.09 | 0.99 ±0.06 | 1.91 ±0.11 | 3.85 ±0.22 |
| | 4 | 0.11 ±0.01 | 0.27 ±0.02 | 0.44 ±0.03 | 0.25 ±0.01 | 0.56 ±0.04 | 0.95 ±0.07 | 0.97 ±0.06 | 1.88 ±0.11 | 3.27 ±0.19 |
| | 8 | 0.10 ±0.01 | 0.25 ±0.02 | 0.33 ±0.02 | 0.24 ±0.01 | 0.49 ±0.03 | 0.76 ±0.05 | 0.94 ±0.05 | 1.64 ±0.09 | 2.51 ±0.14 |

Table A6. Diffusion coefficient of heterogeneous membranes containing SiO₂ to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Diffusivity D_i [10^{-6} cm ² /s] | | | | | | | | |
|------------------------------------|---------------------|---|---------------|---------------|--------------------------|---------------|---------------|--------------------------|---------------|---------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process temperature [°C] | | | Process temperature [°C] | | | Process temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +2 wt% SiO ₂ | 2 | 0.08 ±0.01 | 0.24 ±0.02 | 0.27 ±0.02 | 0.20 ±0.00 | 0.48 ±0.03 | 0.69 ±0.05 | 0.62 ±0.04 | 1.93 ±0.11 | 2.02 ±0.12 |
| | 4 | 0.07 ±0.00 | 0.22 ±0.02 | 0.24 ±0.02 | 0.15 ±0.00 | 0.41 ±0.03 | 0.64 ±0.04 | 0.55 ±0.03 | 1.72 ±0.10 | 1.82 ±0.1 |
| | 8 | 0.05 ±0.00 | 0.19 ±0.01 | 0.23 ±0.02 | 0.14 ±0.00 | 0.36 ±0.03 | 0.62 ±0.04 | 0.54 ±0.03 | 1.39 ±0.08 | 1.65 ±0.09 |
| PEBAX 2533 +5 wt% SiO ₂ | 2 | 0.10 ±0.01 | 0.23 ±0.02 | 0.28 ±0.02 | 0.17 ±0.00 | 0.46 ±0.03 | 0.59 ±0.04 | 0.52 ±0.03 | 1.83 ±0.1 | 1.97 ±0.11 |
| | 4 | 0.09 ±0.01 | 0.22 ±0.02 | 0.24 ±0.02 | 0.16 ±0.00 | 0.41 ±0.03 | 0.56 ±0.04 | 0.54 ±0.03 | 1.62 ±0.09 | 1.78 ±0.1 |
| | 8 | 0.06 ±0.00 | 0.18 ±0.01 | 0.22 ±0.02 | 0.15 ±0.00 | 0.42 ±0.03 | 0.51 ±0.04 | 0.50 ±0.03 | 1.33 ±0.08 | 1.63 ±0.09 |

Table A7. Diffusion coefficient of heterogeneous membranes containing ZIF-8 to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Diffusivity D_i [10^{-6} cm ² /s] | | | | | | | | |
|-------------------------|---------------------|---|---------------|---------------|--------------------------|---------------|---------------|--------------------------|---------------|---------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +2 wt% ZIF-8 | 2 | 0.18 ±0.01 | 0.40 ±0.03 | 0.52 ±0.04 | 0.42 ±0.01 | 0.95 ±0.07 | 1.05 ±0.07 | 1.32 ±0.08 | 2.47 ±0.14 | 3.30 ±0.19 |
| | 4 | 0.17 ±0.01 | 0.34 ±0.02 | 0.48 ±0.03 | 0.41 ±0.01 | 0.76 ±0.05 | 0.99 ±0.07 | 1.24 ±0.07 | 2.14 ±0.12 | 3.05 ±0.17 |
| | 8 | 0.17 ±0.01 | 0.32 ±0.02 | 0.45 ±0.03 | 0.42 ±0.01 | 0.72 ±0.05 | 0.95 ±0.07 | 1.16 ±0.07 | 1.98 ±0.11 | 2.56 ±0.15 |
| PEBAX 2533 +5 wt% ZIF-8 | 2 | 0.19 ±0.01 | 0.42 ±0.03 | 0.61 ±0.04 | 0.46 ±0.01 | 1.03 ±0.07 | 1.13 ±0.08 | 1.47 ±0.08 | 2.42 ±0.14 | 3.42 ±0.19 |
| | 4 | 0.18 ±0.01 | 0.35 ±0.02 | 0.57 ±0.04 | 0.43 ±0.01 | 0.87 ±0.06 | 1.01 ±0.07 | 1.36 ±0.08 | 2.22 ±0.13 | 3.10 ±0.18 |
| | 8 | 0.16 ±0.01 | 0.33 ±0.02 | 0.50 ±0.04 | 0.41 ±0.01 | 0.76 ±0.05 | 0.97 ±0.07 | 1.15 ±0.07 | 1.94 ±0.11 | 2.54 ±0.14 |

Table A8. Diffusion coefficient of heterogeneous membranes containing POSS-Ph to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Diffusivity D_i [10^{-6} cm ² /s] | | | | | | | | |
|------------------------------------|---------------------|---|---------------|---------------|--------------------------|---------------|---------------|--------------------------|---------------|---------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +0.75 wt% POSS-Ph | 2 | 0.18 ±0.01 | 0.39 ±0.03 | 0.45 ±0.03 | 0.30 ±0.01 | 0.64 ±0.04 | 0.98 ±0.07 | 1.14 ±0.06 | 1.76 ±0.10 | 2.66 ±0.15 |
| | 4 | 0.17 ±0.01 | 0.27 ±0.02 | 0.41 ±0.03 | 0.29 ±0.01 | 0.51 ±0.04 | 0.84 ±0.06 | 1.10 ±0.06 | 1.70 ±0.10 | 2.24 ±0.13 |
| | 8 | 0.13 ±0.01 | 0.29 ±0.02 | 0.41 ±0.03 | 0.26 ±0.01 | 0.45 ±0.03 | 0.77 ±0.05 | 1.00 ±0.06 | 1.62 ±0.09 | 2.00 ±0.11 |
| PEBAX 2533 +2 wt% POSS-Ph | 2 | 0.18 ±0.01 | 0.31 ±0.02 | 0.42 ±0.03 | 0.32 ±0.01 | 0.62 ±0.04 | 0.93 ±0.07 | 0.92 ±0.05 | 1.48 ±0.08 | 2.10 ±0.12 |
| | 4 | 0.16 ±0.01 | 0.25 ±0.02 | 0.40 ±0.03 | 0.29 ±0.01 | 0.49 ±0.03 | 0.86 ±0.06 | 0.85 ±0.05 | 1.45 ±0.08 | 1.96 ±0.11 |
| | 8 | 0.12 ±0.01 | 0.27 ±0.02 | 0.35 ±0.02 | 0.23 ±0.01 | 0.47 ±0.03 | 0.73 ±0.05 | 0.76 ±0.04 | 1.38 ±0.08 | 1.79 ±0.10 |

Table A9. Solubility coefficient of homogeneous membranes to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Solubility S_i [10^{-3} mol/(m ³ ·Pa)] | | | | | | | | |
|---------------|---------------------|--|-----------------|-----------------|--------------------------|-----------------|-----------------|--------------------------|-----------------|-----------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 | 2 | 0.152 ±0.010 | 0.127 ±0.008 | 0.100 ±0.006 | 0.235 ±0.007 | 0.229 ±0.007 | 0.127 ±0.004 | 0.419 ±0.025 | 0.365 ±0.022 | 0.186 ±0.011 |
| | 4 | 0.161 ±0.010 | 0.145 ±0.009 | 0.097 ±0.006 | 0.257 ±0.008 | 0.224 ±0.007 | 0.158 ±0.005 | 0.459 ±0.028 | 0.375 ±0.022 | 0.224 ±0.013 |
| | 8 | 0.164 ±0.010 | 0.134 ±0.008 | 0.125 ±0.008 | 0.261 ±0.008 | 0.229 ±0.007 | 0.185 ±0.006 | 0.518 ±0.031 | 0.426 ±0.026 | 0.296 ±0.018 |

Table A10. Solubility coefficient of heterogeneous membranes containing SiO₂ to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Solubility S_i [10^{-3} mol/(m ³ ·Pa)] | | | | | | | | |
|---------------------------------------|---------------------|--|-----------------|-----------------|--------------------------|-----------------|-----------------|--------------------------|-----------------|-----------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +2 wt% SiO ₂ | 2 | 0.260 ±0.016 | 0.204 ±0.013 | 0.209 ±0.013 | 0.345 ±0.011 | 0.298 ±0.009 | 0.243 ±0.008 | 0.846 ±0.051 | 0.400 ±0.024 | 0.446 ±0.027 |
| | 4 | 0.283 ±0.018 | 0.186 ±0.012 | 0.220 ±0.014 | 0.443 ±0.014 | 0.310 ±0.010 | 0.257 ±0.008 | 0.956 ±0.057 | 0.464 ±0.028 | 0.498 ±0.030 |
| | 8 | 0.317 ±0.020 | 0.197 ±0.012 | 0.222 ±0.014 | 0.439 ±0.014 | 0.355 ±0.011 | 0.255 ±0.008 | 1.006 ±0.06 | 0.581 ±0.035 | 0.546 ±0.033 |

Table A10. Cont.

| Membrane Type | Feed Pressure [bar] | Solubility S_i [10^{-3} mol/($m^3 \cdot Pa$)] | | | | | | | | |
|---------------------------------------|---------------------|--|-----------------|-----------------|--------------------------|-----------------|-----------------|--------------------------|-----------------|-----------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +5 wt% SiO ₂ | 2 | 0.226 ±0.014 | 0.186 ±0.012 | 0.196 ±0.012 | 0.454 ±0.014 | 0.298 ±0.009 | 0.283 ±0.009 | 1.076 ±0.065 | 0.446 ±0.027 | 0.476 ±0.029 |
| | 4 | 0.216 ±0.014 | 0.184 ±0.012 | 0.201 ±0.013 | 0.447 ±0.014 | 0.325 ±0.010 | 0.284 ±0.009 | 1.053 ±0.063 | 0.536 ±0.032 | 0.532 ±0.032 |
| | 8 | 0.290 ±0.018 | 0.193 ±0.012 | 0.215 ±0.014 | 0.433 ±0.013 | 0.295 ±0.009 | 0.289 ±0.009 | 1.149 ±0.069 | 0.648 ±0.039 | 0.576 ±0.035 |

Table A11. Solubility coefficient of heterogeneous membranes containing ZIF-8 to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Solubility S_i [10^{-3} mol/($m^3 \cdot Pa$)] | | | | | | | | |
|----------------------------|---------------------|--|-----------------|-----------------|--------------------------|-----------------|-----------------|--------------------------|-----------------|-----------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +2 wt% ZIF-8 | 2 | 0.123 ±0.008 | 0.130 ±0.008 | 0.119 ±0.007 | 0.186 ±0.006 | 0.171 ±0.005 | 0.189 ±0.006 | 0.411 ±0.025 | 0.351 ±0.021 | 0.311 ±0.019 |
| | 4 | 0.130 ±0.008 | 0.146 ±0.009 | 0.121 ±0.008 | 0.201 ±0.006 | 0.216 ±0.007 | 0.199 ±0.006 | 0.471 ±0.028 | 0.428 ±0.026 | 0.342 ±0.021 |
| | 8 | 0.122 ±0.008 | 0.142 ±0.009 | 0.127 ±0.008 | 0.200 ±0.006 | 0.238 ±0.007 | 0.211 ±0.007 | 0.572 ±0.034 | 0.505 ±0.03 | 0.428 ±0.026 |
| PEBAX 2533 +5 wt% ZIF-8 | 2 | 0.124 ±0.008 | 0.131 ±0.008 | 0.116 ±0.007 | 0.187 ±0.006 | 0.181 ±0.006 | 0.190 ±0.006 | 0.418 ±0.025 | 0.400 ±0.024 | 0.309 ±0.019 |
| | 4 | 0.125 ±0.008 | 0.147 ±0.009 | 0.122 ±0.008 | 0.205 ±0.006 | 0.214 ±0.007 | 0.214 ±0.007 | 0.477 ±0.029 | 0.465 ±0.028 | 0.366 ±0.022 |
| | 8 | 0.133 ±0.008 | 0.142 ±0.009 | 0.131 ±0.008 | 0.212 ±0.007 | 0.232 ±0.007 | 0.218 ±0.007 | 0.605 ±0.036 | 0.537 ±0.032 | 0.452 ±0.027 |

Table A12. Solubility coefficient of heterogeneous membranes containing POSS-Ph to different gases measured for different feed pressure and temperature values.

| Membrane Type | Feed Pressure [bar] | Solubility S_i [10^{-3} mol/($m^3 \cdot Pa$)] | | | | | | | | |
|------------------------------------|---------------------|--|-----------------|-----------------|--------------------------|-----------------|-----------------|--------------------------|-----------------|-----------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +0.75 wt% POSS-Ph | 2 | 0.109 ±0.007 | 0.100 ±0.006 | 0.092 ±0.006 | 0.249 ±0.013 | 0.214 ±0.009 | 0.152 ±0.009 | 0.451 ±0.027 | 0.442 ±0.026 | 0.300 ±0.018 |
| | 4 | 0.113 ±0.007 | 0.144 ±0.009 | 0.101 ±0.006 | 0.241 ±0.008 | 0.264 ±0.007 | 0.175 ±0.005 | 0.488 ±0.029 | 0.465 ±0.028 | 0.361 ±0.022 |
| | 8 | 0.133 ±0.008 | 0.123 ±0.008 | 0.100 ±0.006 | 0.262 ±0.008 | 0.277 ±0.009 | 0.180 ±0.006 | 0.562 ±0.034 | 0.492 ±0.03 | 0.410 ±0.025 |

Table A12. Cont.

| Membrane Type | Feed Pressure [bar] | Solubility S_i [10^{-3} mol/(m ³ ·Pa)] | | | | | | | | |
|---------------------------------|---------------------|--|-----------------|-----------------|--------------------------|-----------------|-----------------|--------------------------|-----------------|-----------------|
| | | N ₂ | | | CH ₄ | | | CO ₂ | | |
| | | Process Temperature [°C] | | | Process Temperature [°C] | | | Process Temperature [°C] | | |
| | | 25 | 40 | 55 | 25 | 40 | 55 | 25 | 40 | 55 |
| PEBAX 2533 +2 wt% POSS-Ph | 2 | 0.131 ±0.008 | 0.129 ±0.008 | 0.118 ±0.007 | 0.224 ±0.007 | 0.219 ±0.007 | 0.179 ±0.006 | 0.565 ±0.034 | 0.532 ±0.032 | 0.433 ±0.026 |
| | 4 | 0.132 ±0.008 | 0.148 ±0.009 | 0.118 ±0.007 | 0.232 ±0.007 | 0.270 ±0.008 | 0.188 ±0.006 | 0.634 ±0.038 | 0.546 ±0.033 | 0.459 ±0.028 |
| | 8 | 0.135 ±0.008 | 0.135 ±0.008 | 0.121 ±0.008 | 0.278 ±0.009 | 0.267 ±0.008 | 0.199 ±0.006 | 0.753 ±0.045 | 0.591 ±0.035 | 0.497 ±0.03 |

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Article

Pathway for Water Transport through Breathable Nanocomposite Membranes of PEBAX with Ionic Liquid [C₁₂C₁im]Cl

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Abstract: Water transport through membranes is an attractive topic among the research dedicated to dehydration processes, microenvironment regulation, or more simply, recovery of freshwater. Herein, an atomistic computer simulation is proposed to provide new insights about a water vapor transport mechanism through PEBAX membranes filled with ionic liquid (IL) [C₁₂C₁im]Cl. Starting from experimental evidence that indicates an effective increase in water permeation as the IL is added to the polymer matrix (e.g., up to 85·10⁻³ (g·m)/(m²·day) at 318.15 K for PEBAX@2533 membranes loaded with 70% of IL), molecular dynamics simulations are proposed to explore the key role of IL in water transport inside membranes. The polar region composed of anions and cationic head groups of the IL is demonstrated to serve as the pathway for water transport through the membrane. Water molecules always stay near the pathway, which becomes wider and thus has a larger water-accessible area with increasing IL concentration. Hence, the diffusion coefficients of water molecules and ions increase as the IL concentration increases. The simulation provides useful indications about a microscopic mechanism that regulates the transport of water vapor through a kind of PEBAX/IL membrane, resulting in full agreement with the experimental evidence.

Keywords: ionic liquid crystal; PEBAX membrane; breathability; molecular dynamics simulation



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1. Introduction

PEBAX@2533 [80PTMO/PA12] is an elastomeric block copolyamide with high processability [1,2], mechanical strength [3], and high-performing permeability to vapors and quadrupolar and condensable gases [4–7]. These features make it particularly attractive and competitive for applications in wearable textiles [8], industrial equipment [4,9,10], microelectronics [11], and environmental protection [12]. This copolymer is widely used in the preparation of breathable films for regulation of microclimate [13,14], which is regarded as a micro-space air stream between two different neighboring gaps. The regulation of microclimate is of great importance in the preservation of works of art [15] since rapid changes in relative humidity can destroy or irreversibly compromise the integrity of cultural heritage. Similarly, a lack of balance between temperature and humidity can affect microclimate conditions in textiles [8,16,17], causing discomfort and chances of skin rashes, itching, and allergies. Last but not least, dehydration is also applied to preventing corrosion from condensed water in source gas streams [18,19]. The membrane technology

can provide suitable and intelligent solutions and benefits in the fields wherein removal or regulation of water vapor concentration is desired [20]. Despite the fact that a large number of materials have been proposed to intensify the transport of water vapor through the PEBAX membranes [14,21–24], most of them do not satisfy today's large demand of adapting themselves according to external changes in a fast and reversible way. This aspect is relevant to the design of intelligent systems that could restore and maintain constant desired microenvironments in a reversible and highly reproducible way.

Ionic liquids (ILs) are room-temperature molten salts with unique properties such as negligible vapor pressure, good thermal stability and non-flammability, as well as high ionic conductivity and a wide window of electrochemical stability [25–27]. They also have the ability to dissolve most organic and some inorganic materials as well as biopolymers [28]. Ionic liquid crystals (ILCs), namely ILs in their liquid crystal state, are a preferred family of materials for their reversible assembling ability [29,30]. Long-chain imidazolium-based ILs are well-known compounds capable of forming ILCs and continuously receive a great deal of attention as materials that combine the unique solvent properties of ILs with the long-range partial order of LCs [31]. Effective use of ILCs as electrolytes in dye-sensitized solar cells [32,33], electro-fluorescence switches [34], electrolytes for Li-ion batteries [35], and electrochemical sensors [36], to mention but a few, has been clearly demonstrated. When they are embedded in polymeric membranes, ILCs can play a key role since in the ionic fluid phase they have special conductive properties of mass and charge, while in the ordered fluid phase they have preferential directions that enable fine tuning of the transport properties of the geometry and structure of the polymer and of external parameters [37].

A lot of studies have been dedicated to the analysis of structure and dynamics in bulk ionic liquids [38–43], whilst the number of papers dedicated to the confinement of these materials in organic and inorganic matrixes and to changes in IL structure and interfaces is somewhat limited [44]. When filling polymeric matrixes with ILCs, changes in the final properties of the nanocomposite systems can be experimentally detected by suitable tools [45]; however, the relationship between the low dimensionality of interface systems and fluidity of the interfaces becomes quite difficult to understand. Indeed, the shape of the ILC interfaces and the orientation and structure of fluid molecules constantly change, producing nanoscale effects whose amplification is perceived on a larger scale. As known, IL compounds have a unique chemical structure with a large amount of possible organic cation and anion species from which to select. These ions can interact with organic polymers and inorganic nanofillers, and their intermolecular interactions can modify the polymer nanocomposites and enhance the interfacial connection between the polymer matrix and the nanofillers [46]. The modification of nanofillers by IL is a promising method to prepare multifunctional polymer nanocomposites, which can be regarded as a practical route for the development of adaptable and monitorable systems [44]. Much experimental evidence has shown that the addition of IL to PEBAX can lead to the formation of more amorphous and less crystalline membranes [47,48] due to the fact that ILs increase the tendency of polymers to form new bonds and form a homogeneous mixture with the IL. Ample experimental work has shown that membranes of PEBAX or other polymers with ILs have excellent gas permeability and selectivity [49–52]. In various types of ILs, imidazolium-based ILs are often used to modify nanofillers because they work in a wide variety of chemical structures [53].

In this work, we perform atomistic molecular dynamics (MD) simulations that provide new insights into the reorganization of 1-dodecyl-3-methylimidazolium chloride ($[\text{C}_{12}\text{C}_1\text{im}]\text{Cl}$, abbreviated as C_{12}) confined in PEBAX@2533 elastomeric membranes and into the establishment of local interfacial forces and tunneling events that assist water mass transfer through nanocomposite matrixes. As a case study, we analyze PEBAX@2533 membranes embedding a large amount of $[\text{C}_{12}\text{C}_1\text{im}]\text{Cl}$, which exhibit an increasing capability to transfer a large quantity of water vapor with concentration and temperature at high reproducibility and in a reversible way. The choice of PEBAX as a host matrix is

due to the fact that large amounts of nanofillers can be embedded in a nanofilm without compromising manageability thanks to its elastomeric properties.

Starting from experimental evidence of the changes in water permeability, our intent is to study, at the molecular scale, the relationships established between IL concentration and diffusing water molecules, in order to assess the behavior of ILs when confined in polymeric networks and approaching polar penetrant such as water. This study provides new useful insights about the action of ionic groups in water transport while also suggesting the ability of IL to self-assemble into somewhat ordered regions when constrained in an elastomeric host polymer network. Through our simulation results, we have found that the polar parts of the ILs form a polar network in the membrane, serving as a pathway for water to penetrate. The pathway becomes wider as the concentration of IL in the membrane increases; thus, a larger water-accessible area is provided and the diffusion of water molecules becomes faster. This effect increases further with temperature due to thermal motion of water molecules, which facilitates the diffusion through polar pathways. Undoubtedly, the choice of confining an IL in an elastomeric polymer network such as PEBAX provides more freedom of movement and rearrangement, yielding high reproducibility and reversibility, especially at higher temperatures. Moreover, with molecular mechanisms clarified, thermosensitive materials such as ILs are expected to provide a great chance of synchronizing transport properties with the external environment.

This study is therefore a critical and due step to identify driving forces for the design of responsive breathable membranes necessary for microclimate regulation and controlled dehydration. More specifically, this study can be regarded as a preliminary step towards controlling, at the molecular scale, water permeation through membranes for the development of intelligent devices that enable the regulation of humidity in microenvironments.

2. Experimental and Simulation Methods

2.1. Materials

The thermoplastic elastomer poly(ether-block-amide) (PEBAX@2533, Arkema, Milan, Italy) was used as a precursor of dense membranes (10 wt.%), and 1-dodecyl-3-methylimidazolium cation of $[C_{12}C_1im]Cl$ was purchased from IoLiTec (Heilbronn, Germany) and used as the nanofiller at different concentrations of 30, 50, and 70% (*w/w*), and 1-Propanol (PrOH) and *n*-butanol (BuOH) (99.5%, Carlo Erba, Milan, Italy) were used in a mixture (1:3 *v/v* %) to dissolve polymer and nanofiller. All materials were used as received.

2.2. Membrane Preparation and Breathability Tests

Nanocomposite membranes were prepared through the blend of an elastomeric poly(ether-block-amide) (PEBAX@2533) with -dodecyl-3-methylimidazolium cation of $[C_{12}C_1im]Cl$ according to dry phase inversion [22]. The membranes were prepared by dissolving the IL at different contents in a mixture of PrOH/BuOH, and the polymer was then added to homogeneous solutions under vigorous stirring. Clear solutions were poured in Petri glasses, and the solvents were evaporated under controlled environmental conditions ($T = 293.15$ K and $RH < 50\%$) to obtain membranes with a thickness of 50 μm . The membranes were air-dried for 4–5 days at room temperature and then stored in an oven at 40 °C for 3 days and under vacuum at room temperature for a further 7 days to remove solvent traces. The membrane breathability, herein expressed as water permeation ($g \cdot m / (m^2 \cdot day)$), was tested within a range of temperatures (298.15–318.15 K) according to the right cup method (ASTM E96B) [8,54]. Water breathability was expressed as the amount of water transmitted over time through a specific area of the membrane. The breathability was normalized per the thickness of each membrane for comparison.

2.3. Simulation Methods

All-atom MD simulations were performed to study the structural and dynamical characteristics of water molecules in PEBAX membranes filled with $[C_{12}C_1im]Cl$. The molecular structures are shown in Figure 1.

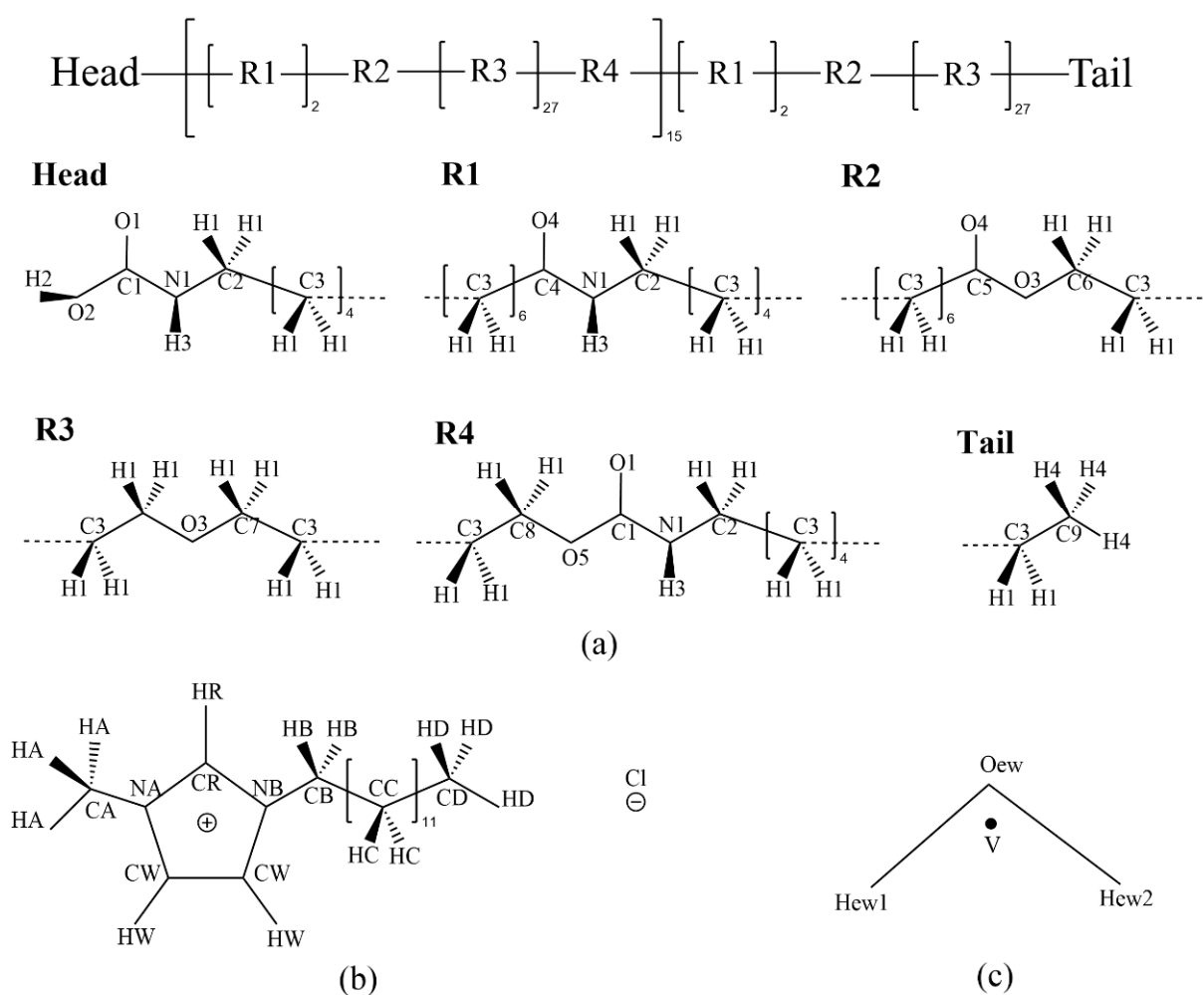


Figure 1. Molecular structures of PEBAX (a), [C₁₂C₁im]Cl (b), and TIP4P/EW water model (c).

The force field parameters for PEBAX and [C₁₂C₁im]Cl were all taken from the OPLS-AA force field [55,56]. The partial charges of all the atoms in PEBAX and [C₁₂C₁im]Cl were calculated with the RESP method [57]. As suggested by Chaban et al. [58], the net charges of [C₁₂C₁im]Cl were reduced by a factor of 0.8 to conveniently mimic ion polarizability. All partial charges we have calculated are listed in Table 1, and the associated atom names are marked in Figure 1. The TIP4P/EW force field was adopted to model water molecules (Figure 1c), in which the position of the virtual site 'V' $r_V = r_{Oew} + a(r_{Hew1} - r_{Oew}) + b(r_{Hew2} - r_{Oew})$ with $a = b = 0.106676721$, where r_{Oew} , r_{Hew1} , and r_{Hew2} are the positions of the oxygen and hydrogen atoms. All force field parameters of TIP4P/EW are listed in Table 2, from which the effective diameter of a water molecule can be estimated as about 2.8 angstroms [59]. To simulate membranes with different concentrations of [C₁₂C₁im]Cl, three different systems were constructed, whose data are summarized in Table 3. The number of water molecules remains 1/3 of [C₁₂C₁im]Cl ion-pair numbers, and five additional systems with a molecular ratio of water to C₁₂ of 1/3, 2/3, 1, 2, and 10 were also simulated, keeping the 30% *w/w* as a typical C₁₂ concentration (Table 3). Six PEBAX chains are enough for investigating their local structural features with respect to water and IL molecules.

Table 1. The partial charges of PEBAx and [C₁₂C₁im]Cl.

| Atom Name | Partial Charge/e | Atom Name | Partial Charge/e |
|-----------|------------------|-----------|------------------|
| C1 | 0.885 | O1 | −0.585 |
| C2 | 0.104 | O2 | −0.612 |
| C3 | −0.106 | O3 | −0.396 |
| C4 | 0.642 | O4 | −0.531 |
| C5 | 0.702 | O5 | −0.266 |
| C6 | 0.119 | O6 | −0.414 |
| C7 | 0.027 | N1 | −0.699 |
| C8 | −0.159 | NA | 0.176 |
| CA | −0.28 | NB | 0.176 |
| CB | −0.136 | H1 | 0.053 |
| CC | −0.096 | H2 | 0.423 |
| CD | −0.192 | H3 | 0.378 |
| CR | −0.072 | H4 | 0.064 |
| CW | −0.192 | HA | 0.144 |
| CI | −0.8 | HB | 0.144 |
| HW | 0.216 | HC | 0.048 |
| HR | 0.168 | HD | 0.064 |

Table 2. Force field parameters of the TIP4P/EW water model.

| Atom Name | Partial Charge/e | |
|---------------|------------------|--|
| Oew | 0 | |
| V | −1.04844 | |
| Hew1 | 0.52422 | |
| Hew2 | 0.52422 | |
| Valence bond | Bond length/nm | k _{bond} /kJ mol ^{−1} nm ^{−2} |
| Oew-Hew1 | 0.09572 | 502,416.0 |
| Oew-Hew2 | 0.09572 | 502,416.0 |
| Valence angle | Angle/° | k _{angle} /kJ mol ^{−1} rad ^{−2} |
| Hew1-Oew-Hew2 | 104.52 | 628.02 |

Table 3. Number of different molecules for the simulated systems.

| [C ₁₂ C ₁ im]Cl Concentrations in Weight | PEBAx Chains | [C ₁₂ C ₁ im]Cl Ion Pairs | Water Molecules | Water/C ₁₂ Ratio |
|--|--------------|---|-----------------|-----------------------------|
| 30% | 6 | 375 | 125 | 1/3 |
| 50% | 6 | 870 | 290 | 1/3 |
| 70% | 6 | 2040 | 680 | 1/3 |
| 30% | 6 | 375 | 125 | 1/3 |
| 30% | 6 | 375 | 250 | 2/3 |
| 30% | 6 | 375 | 375 | 1 |
| 30% | 6 | 375 | 750 | 2 |
| 30% | 6 | 375 | 3750 | 10 |

All the systems simulated in this work are in a cubic box with periodic boundary conditions applied to all three dimensions. A cutoff distance of 12 Å was used to treat the van der Waals (VDW) and the real part of the electrostatic interactions, and the particle-mesh Ewald method [60] was applied to calculating the electrostatic interactions. For three different membranes without water, initial configurations were prepared by a simulated annealing procedure in an *NVT* ensemble for 6 ns from 1000 K down to 600 K, continuously. Another simulated annealing procedure in an *NPT* ensemble was then followed with the following sequential steps: 4 ns at 600 K and 1 bar, 6 ns at 500 K and 100 bar, 6 ns at 450 K and 50 bar, 6 ns at 400 K and 1 bar, 10 ns at 350 K and 1 bar, and 10 ns at 298 K and 1 bar.

To prevent water molecules from erroneously affecting the structure of the nanocomposite films at high temperatures, water molecules are randomly inserted into the membrane configuration at 300 K and 0.5 ns in an *NPT* ensemble to obtain the appropriate system size. Water molecules are then fully mixed with the membrane in an *NVT* ensemble after lowering the temperature from 500 K down to 450, 400, 350, 328, and 298 K, with a simulation duration of 1 ns at each temperature. In order to obtain accurate kinetic data, a 60 ns simulation in an *NPT* ensemble was performed at 298 K and 318 K, respectively, and data were sampled in the last 20 ns simulation.

In these runs, the system temperature and pressure were kept constant by using the Nosé–Hoover thermostat [61] with a time constant of 0.5 ps and the Parrinello–Rahman barostat [62] with a time constant of 2 ps, respectively. All the simulations were performed with GROMACS 2020 software [63] with a time step of 1 fs.

3. Experimental Results

Dense nanocomposite PEBA membranes filled with [C₁₂C₁im]Cl were prepared via dry phase inversion with the intent to investigate how ILCs can affect water vapor transport through them. Changes in permeation, namely breathability, were investigated according to the right cup method procedure [8,54], and membranes filled with three different amounts of filler (30, 50, 70 *w/w*) were tested by running the temperature from 298.15 to 318.15 K and then down to 298.15 K again (Figure 2). Interestingly, the increase in the [C₁₂C₁im]Cl content produces amplified water transport, which is further raised with temperature. This effect appears to be more marked at the highest concentration of filler, indicating a wider assistance to water vapor permeation (Figure 2a). Moreover, the reversibility of the process can be detected as the temperature decreases from 318.15 to 298.15 K (Figure 2b), suggesting the IL as a powerful choice for making the membranes responsive to changes in temperature.

In all cases, a small amount of hysteresis can be observed between running uphill and downhill temperatures due to a probable reorganization of the materials assembled inside an elastomeric membrane. As proof, the third run uphill at 298.15 K indicates a substantial overlapping of permeation properties for the membranes containing different amounts of [C₁₂C₁im]Cl (Figure 2c). This implies a certain fluidity of ILC in this kind of constrained polymeric system and a great freedom to rearrange itself quickly and reversibly. On the other hand, the elasticity of the host polymer is expected to facilitate the self-assembly of the IL during confinement as well as its rearrangement with temperature (Figure 2b).

It is well known that PEBA@2533 has a great ability to embed large amounts of nanofiller [13,64] and dissolve polar penetrants through solution–diffusion mechanisms [65,66]. Considering the elastomeric features of this polymer [67], this behavior is not surprising and suggests a major accessibility of water molecules to hydrophilic sites, wherein they can be allocated temporarily. An increase in thermal motion is further expected to allow water to be accessible to a larger number of sorption regions and diffuse itself through broader fluctuating free gaps generated by higher mobility of the polymer segment chains. In a previous work [46], the DFT calculation demonstrated that a low concentration of a non-ionic organic nanofiller in PEBA causes a competition of interaction energies determining higher availability of polymer polar moieties as a domino effect, while at a higher concentration the polar moieties are saturated and disallow water sorption [46].

In the present study, a significant increase in water permeation is instead observed with raising IL concentration. However, it is crucial to understand how and if the IL self-assembles in a constrained environment and which chemical moieties are involved in water transfer. To understand which forces and events address the behavior of water molecules during penetration in mixed matrices, a computational investigation at the micro- and nano-scale is hence necessary.

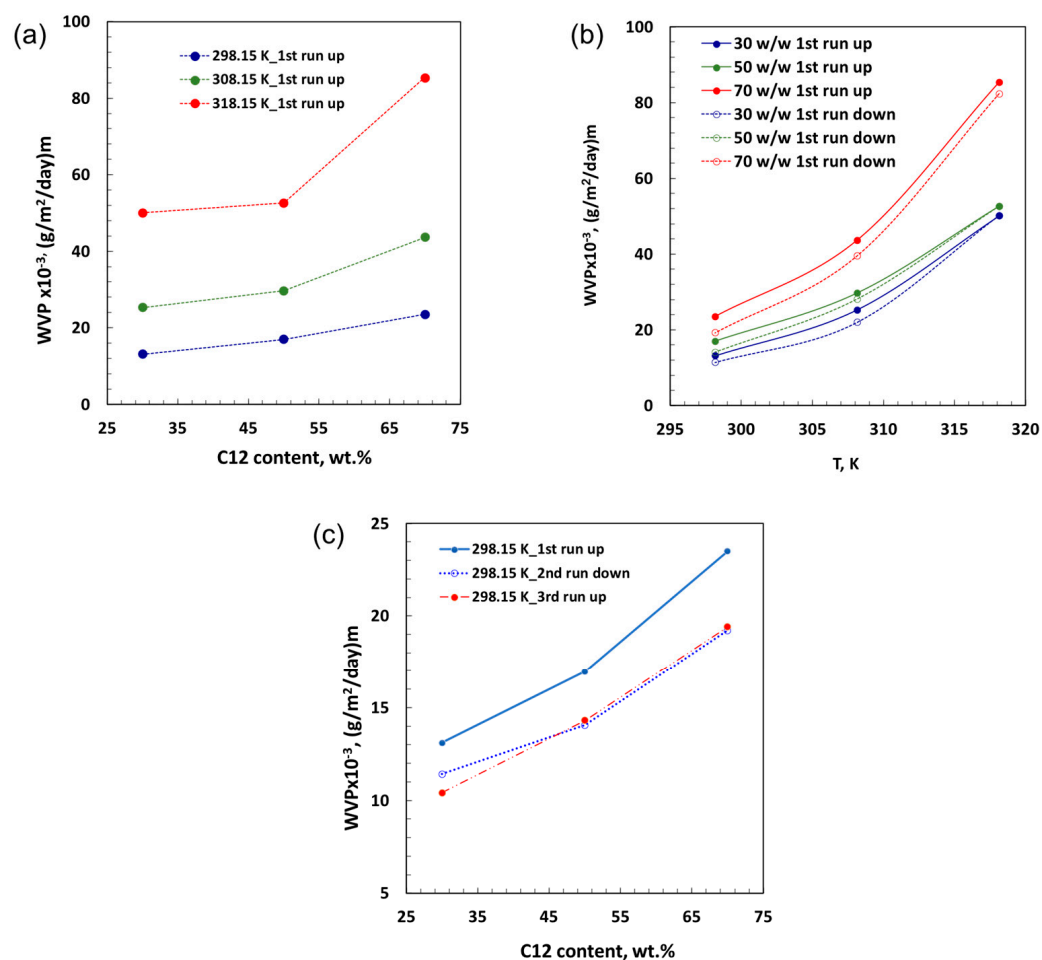


Figure 2. (a) Water permeation through PEBA membranes containing different amounts of $[C_{12}C_1im]Cl$. (b) Estimation of water permeation with running uphill and downhill temperatures. (c) Reproducibility of water permeation properties estimated at 298 K for all nanocomposite membranes.

With this purpose, MD simulations were performed to corroborate this experimental evidence, serving as a case study and shedding light on the role that polar intermolecular interactions and the charged pathway play in the transport of water molecules through polymeric matrixes containing a typical IL such as $[C_{12}C_1im]Cl$.

4. Simulation Results

4.1. Water Pathway Formed by IL in Nanocomposite Membrane

After the initial build-up structures of PEBA filled with $[C_{12}C_1im]Cl$ and water were equilibrated, the rearrangements of these molecules inside simulation boxes were examined. It was found that the IL cations were reorganized in designated regions compared to the structures of pure $[C_{12}C_1im]Cl$, orienting the polar head towards the core of the agglomerates composed of anions and cationic head groups, whereas the hydrophobic tails are oriented outwards (Figure 3a,b) due to the large amount of hydrocarbon chains in both $[C_{12}C_1im]Cl$ and PEBA that tend to mix with each other. Water molecules are more concentrated around Cl^- and the cationic head groups that form the water pathway, and only a few are present in the polymer region (Figures 3b and 4a). On the other hand, amides tend to aggregate with each other, which may promote the cross-linking of polymer chains and thus improve the strength of the membrane (Figures 3b and 4b). Moreover, the correlation between amides and the head of $[C_{12}C_1im]$ (Figure 4b) improves the interfacial interaction and filler–polymer interface compatibility in the blend membrane. As ILs can

form hydrogen bonds with the PA hard segments of PEBA [68], the enhanced hydrogen bonding leads to better dispersion of the filler in the polymer.

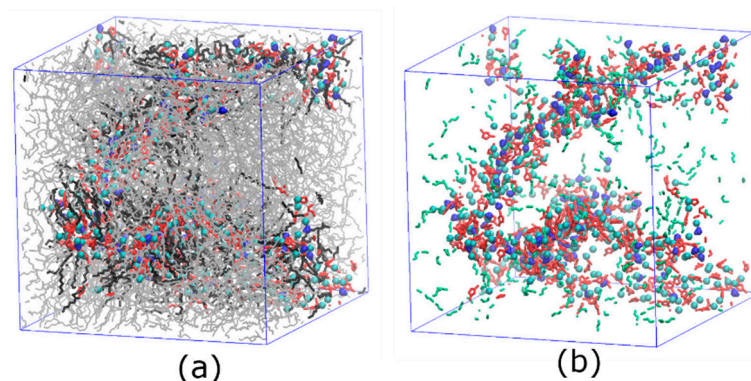


Figure 3. The snapshots of membranes with PEBA and 30% *w/w* [C₁₂C₁im]Cl. The mole ratio of water to [C₁₂C₁im]Cl is 1:3. (a) All components. (b) Polar groups (head of cation, Cl[−], water, and amide) only. The gray lines are PEBA chains, the black lines are [C₁₂C₁im] side chains, the red rings are cationic head groups, the cyan balls are chloride ions, the blue beans are water molecules, and the green sticks are amide bonds in PEBA.

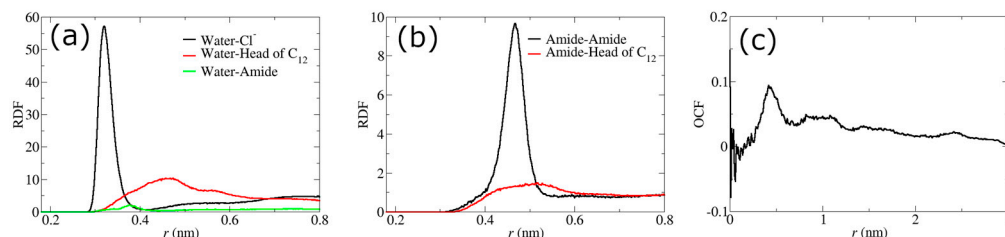


Figure 4. RDFs of (a) water with Cl[−], head of [C₁₂C₁im], and amides; (b) amides with amides and head of [C₁₂C₁im]; (c) orientational correlation function of [C₁₂C₁im] side chains, respectively, for the membrane with PEBA and 30% *w/w* [C₁₂C₁im]Cl at 298 K.

It is worth mentioning that although the pure [C₁₂C₁im]Cl system exhibits an ILC state [69] in the temperature range of this study, due to the similarity between the alkyl cationic side chains of [C₁₂C₁im]Cl and PEBA, the side chains themselves do not have long-range orientational correlations (Figure 4c), indicating that the ILs do not form the ILC structure inside PEBA.

From the above simulation results, we conclude that the polar parts of the IL, composed of anions and cationic head groups, form a continuous polar water pathway inside PEBA, which facilitates the transport of water molecules through the nanocomposite membrane of PEBA with [C₁₂C₁im]Cl. The PEBA polymers do not participate directly in forming the water pathway.

4.2. Influence of [C₁₂C₁im]Cl Concentration and Temperature

The network formed by the polar region of [C₁₂C₁im]Cl becomes larger with increasing [C₁₂C₁im]Cl concentration (Figure 5). Figure 6 shows the mean square displacements (MSDs), defined as $MSD(t) = \langle |r(t_0 + t) - r(t_0)|^2 \rangle$, where t is the time interval, $r(t_0)$ is the position of the atom at time t_0 , and $\langle \dots \rangle$ denotes the ensemble average, for water molecules with different IL concentrations at 298 K. It can be seen that water molecules diffuse faster in the membrane with a larger IL concentration due to the fact that the polar region of the IL is larger. The smoothness of the MSD curves indicates that the simulation time is long enough to obtain reliable dynamics. The diffusion coefficient for certain types of atoms can be calculated by fitting the slope of the corresponding MSD as $D = MSD/6t$.

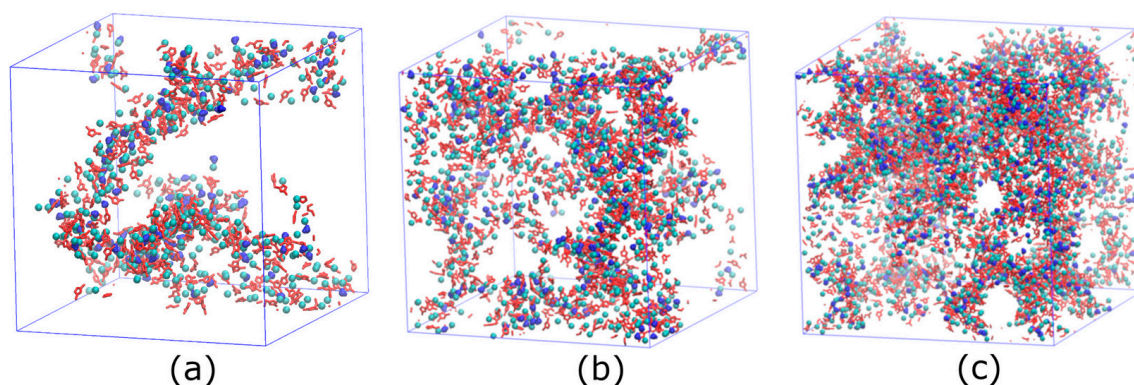


Figure 5. The snapshots of PEBA membranes with 30% *w/w* (a), 50% *w/w* (b), and 70% *w/w* (c) [C₁₂C₁im]Cl at 298 K. Red rings represent cationic head groups, cyan balls represent chloride ions, and blue beans represent water molecules.

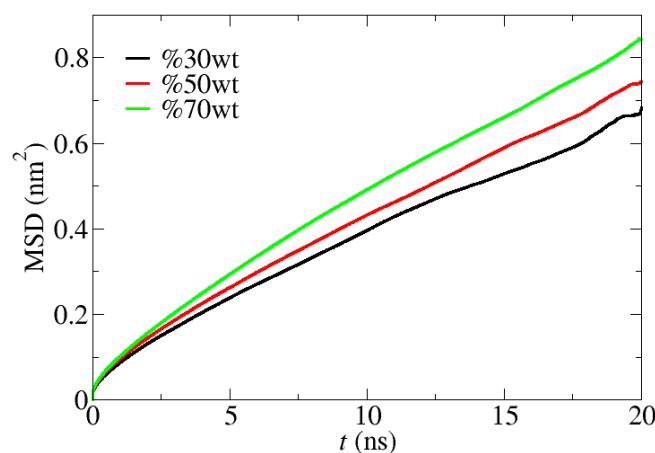


Figure 6. Mean square displacements for water molecules in the PEBA membranes with 30% *w/w*, 50% *w/w*, and 70% *w/w* [C₁₂C₁im]Cl, respectively, at 298 K.

With the diffusion coefficients listed, Table 4 indicates that the diffusion of all molecules, i.e., water, Cl[−] and N1, increases with the IL concentration, that the diffusion of water is much greater than for Cl[−] and N1, and that the diffusion coefficients of chloride ion and water molecules increase with temperature significantly and are close to those in an aqueous solution at 318 K.

Table 4. Diffusion coefficients of water molecules, Cl[−], and the N1 atoms in [C₁₂C₁im].

| Diffusion Coefficient 10 ^{−5} cm ² /s | | 30 <i>w/w</i> % | 50 <i>w/w</i> % | 70 <i>w/w</i> % |
|--|-------|-----------------|-----------------|-----------------|
| Water molecules | 298 K | 0.00520 | 0.00563 | 0.00658 |
| | 318 K | 0.01026 | 0.01449 | 0.01718 |
| Cl [−] | 298 K | 0.00032 | 0.00048 | 0.00062 |
| | 318 K | 0.00083 | 0.00139 | 0.00182 |
| N1 | 298 K | 0.00038 | 0.00051 | 0.00060 |
| | 318 K | 0.00072 | 0.00118 | 0.00163 |

The above results can be understood as follows. Since water molecules always tend to stay in the polar region of [C₁₂C₁im]Cl, a higher concentration of [C₁₂C₁im]Cl in the nanocomposite membrane leads to a larger accessible area of polar water pathway and thus to faster diffusion of water through the hydrophilic sites. On the other hand, the polar

water pathway assists the diffusion of water to provide an amplification of water transfer through the nanocomposite membranes. Additionally, because the thermal motion of water molecules increases with temperature, it is easier to move through the polar region at a higher temperature, which was also confirmed by our experimental evidence.

4.3. Influence of Water Concentration

To understand how water concentration influences the polar water pathway described above, we simulated the nanocomposite membrane with PEBAX and 30% *w/w* [C₁₂C₁im]Cl along with a various number of water molecules at *T* = 298 K. As can be seen in Figure 7a–e, most water molecules were distributed in the polar region of [C₁₂C₁im]Cl. Moreover, as shown in Figure 7e, when water molecules are excessive, they will gather together to form droplet-like local structures rather than being distributed almost evenly and individually along the polar water pathway.

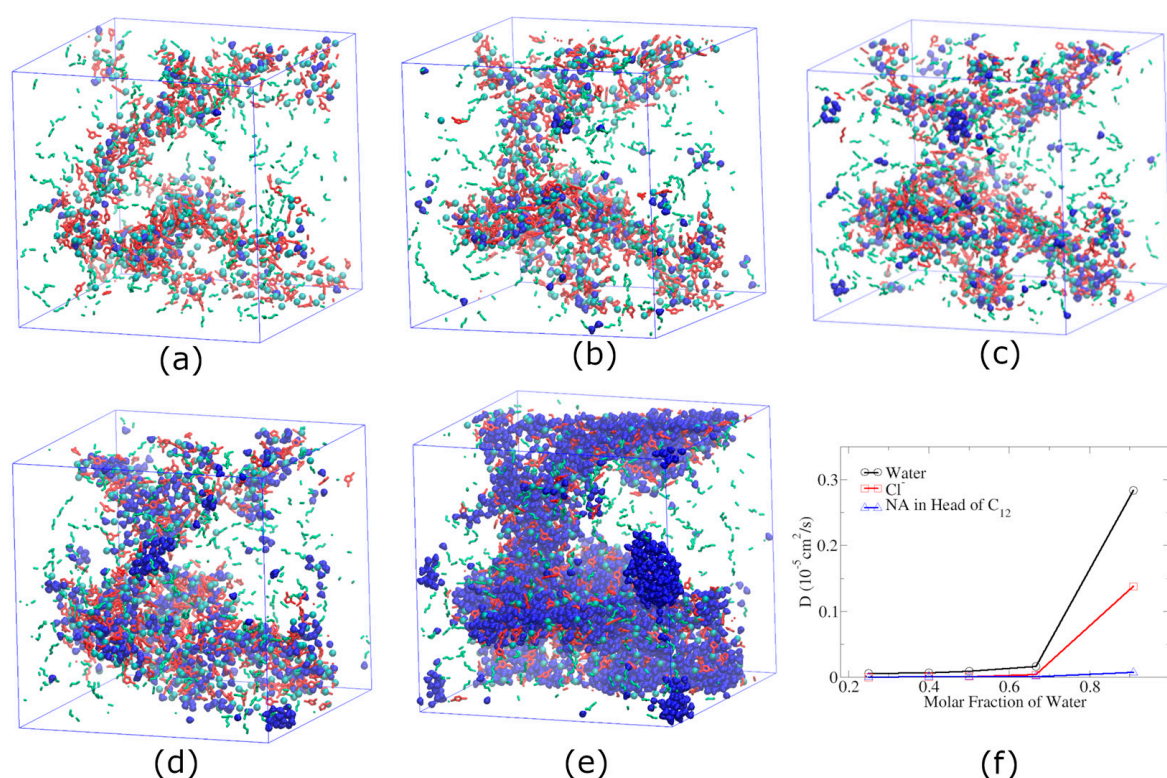


Figure 7. Snapshots of miscible membranes with PEBAX and 30% *w/w* [C₁₂C₁im]Cl at 298 K, whose mole ratios of water to [C₁₂C₁im]Cl are 1:3 (a), 2:3 (b), 1:1 (c), 2:1 (d), and 10:1 (e). The gray lines represent [C₁₂C₁im]Cl side chains, red rings represent cationic head groups, purple balls represent chloride ions, blue beads represent water molecules, and green beads represent amide bonds in PEBAX. The other parts of PEBAX are not shown. (f) Diffusion coefficients of water, Cl⁻, and the IL cationic head group at 298 K.

The diffusion coefficients of water molecules and chloride ions change slowly when the number of water molecules is small (Figure 7f). However, when the number of water molecules is large enough to form droplets, the diffusion coefficients of chloride ions and water molecules increase significantly and are close to those in an aqueous solution.

The tendency of the diffusivity of components in the system changing with the concentration of water molecules (Figure 7f) is basically consistent with the research results of Jiang et al.'s work [70] on water molecules in pure ILs, which reveals that the diffusion of water and ions is very slow but increases significantly when the water content is greater than 50% mole fraction.

These results indicate that when the water concentration is relatively low, water molecules distribute evenly and individually along the water pathway, the structural topology of the membrane is not altered, and the dynamics increase almost linearly with water concentration; when the water concentration is so high that water molecules are excessive, water molecules tend to form local water droplets, and correspondingly, the diffusivities of water molecules and anions increase drastically.

5. Conclusions

In [C₁₂C₁im]Cl and PEBAX nanocomposite membranes, at the nanometer scale, charged anions and cationic head groups of [C₁₂C₁im]Cl form a continuous polar network. Most water molecules disperse in the polar region of [C₁₂C₁im]Cl, and only very few are distributed near amide regions of the polymer. Therefore, we conclude that the polar region of the IL composed of anions and cationic head groups forms a water pathway for water to be transported through the IL-based polymeric membranes, and the PEBAX polymers do not participate in the water pathway directly. When the IL concentration increases, the polar network becomes larger, providing more hydrophilic sites where water molecules can be allocated. Consequently, the diffusion of water molecules increases with IL concentration and also increases with temperature due to faster thermal motion. The increase in water concentration changes the structural and dynamical properties of the system almost linearly when water molecules are not excessive, and drastically thereafter.

The above results suggest that the presence of hydrophilic [C₁₂C₁im]Cl regions inside polymer networks improves water adsorption and diffusion, yielding amplification of the mass transfer through the membrane, in full accordance with the experimental evidence. This study is a preliminary investigation on behavior of an IL in constrained host polymer matrices wherein water molecules are diffused. It provides useful indications about the behavior of materials confined in predefined volumetric space, suggesting that these kinds of materials are promising for realizing thermo-regulated permeable membranes.

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Review

Thorium Removal, Recovery and Recycling: A Membrane Challenge for Urban Mining

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Abstract: Although only a slightly radioactive element, thorium is considered extremely toxic because its various species, which reach the environment, can constitute an important problem for the health of the population. The present paper aims to expand the possibilities of using membrane processes in the removal, recovery and recycling of thorium from industrial residues reaching municipal waste-processing platforms. The paper includes a short introduction on the interest shown in this element, a weak radioactive metal, followed by highlighting some common (domestic) uses. In a distinct but concise section, the bio-medical impact of thorium is presented. The classic technologies for obtaining thorium are concentrated in a single schema, and the speciation of thorium is presented with an emphasis on the formation of hydroxo-complexes and complexes with common organic reagents. The determination of thorium is highlighted on the basis of its radioactivity, but especially through methods that call for extraction followed by an established electrochemical, spectral or chromatographic method. Membrane processes are presented based on the electrochemical potential difference, including barro-membrane processes, electrodialysis, liquid membranes and hybrid processes. A separate sub-chapter is devoted to proposals and recommendations for the use of membranes in order to achieve some progress in urban mining for the valorization of thorium.

Keywords: thorium removal; thorium recovery; thorium recycling; thorium separation; thorium transport; thorium separation processes; thorium membrane separation; thorium membrane concentration; thorium determination



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1. Introduction

Thorium is a relatively exotic element, although it is known to have a significant natural abundance compared to lead [1]. With a component of the actinide series at position #90 and a weight of the gram atom equaling 232.03, it is unstable (radioactive) in all its isotopes except isotope ²³²Th [2]. The half-time of ²³²Th is so long that it is considered stable when joining uranium, which also occurs naturally [3]. The interest in thorium as a nuclear material should have resulted in an increased interest both on the part of researchers and on the part of energy producers [4]. However, the particularity of thorium is that it cannot sustain a chain reaction by itself, as is the case with uranium and plutonium [5], but fission can be produced under the influence of neutrons from an external source [6]. If the thorium

atoms absorb a neutron, they turn into a heavier isotope, which then rapidly disintegrates into an isotope of the element protactinium and further into a fissioned uranium isotope under the incidence of bombardment with another neutron [7]. Because its disintegration line does not end with a material usable in the military industry, the interest in this nuclear fuel remains low [8].

Thus, the number of existing publications highlighted in Google Scholar [9] or SCOPUS [10], selected according to a specific algorithm [11] and using various keywords of scientific interest, is relatively moderate or even low (Table 1).

Table 1. The number of publications highlighted in Google Scholar on various keywords related to thorium.

| Keywords * | Scholar Google Publication Number in Different Periods | | | SCOPUS Publication Number |
|--------------------------------|--|-----------|-----------|---------------------------|
| | Any Time | 2014–2023 | 2021–2023 | 1995–2023 |
| Thorium separation | 162,000 | 82,000 | 12,900 | 2186 |
| Thorium concentration | 199,000 | 12,200 | 6200 | 7888 |
| Thorium recovery | 79,000 | 17,900 | 9200 | 896 |
| Thorium removal | 62,000 | 17,500 | 13,800 | 132 |
| Membrane thorium separation | 21,900 | 10,600 | 3730 | 458 |
| Membrane thorium concentration | 27,600 | 19,000 | 4610 | 141 |
| Membrane thorium recovery | 18,000 | 8600 | 3850 | 27 |
| Membrane thorium removal | 21,600 | 12,000 | 4500 | 5 |
| “Thorium separation” | 883 | 244 | 79 | 25 |
| “Thorium recovery” | 611 | 204 | 87 | 34 |
| “Thorium recycling” | 50 | 18 | 4 | 2 |
| “Thorium membrane” | 7 | 2 | – | 2 |

* accessed on 24 June 24 2023.

Recent publications are consistent and draw attention to the need to reconsider thorium as a nuclear material with a clear perspective [1–5] but also as an environmental pollutant [6–8]. On the other hand, new materials usable in various analytical or technological separative techniques are also studied [12–20].

However, after analyzing Table 1, many would be discouraged to start research on aspects of recovery, recycling, or removal of thorium from various sources, although its common applications have determined its presence in urban waste in surprisingly high concentrations.

This last observation led to the initiation of this paper, whose aim is to warn both researchers and environmental officers regarding the danger of the uncontrolled spread of thorium as well as propose simpler solutions for removal, recovery and recycling, based on processes very close to “urban mining”.

The specific objectives of this work are to emphasize the existence of thorium in various materials used over time for common applications, the toxicity and bio-medical implications of thorium, the established technologies for obtaining thorium, the speciation of thorium in aqueous solutions, and the determination of thorium membrane processes with integration perspectives in thorium recovery or removal technologies and proposals regarding this aspect.

2. Applications of Thorium

Thorium, and especially thorium dioxide, has found relatively numerous applications for a radioactive element, even if this radioactivity is weak [21–23]. As various and unex-

manufacturing), and in art objects (alloys, jewelry, sculptures, statues) [26,27], which leads to thorium being an environmental pollutant [28–30].

3. Toxicity and Bio-Medical Implications

Thorium is included on the list of carcinogenic substances [31], even though it decomposes through alpha decay [32], and the emitted alpha radiation cannot penetrate human skin [33].

The dangers associated with its radioactivity, due to the use of thorium in various technologies that capitalize on the high melting of thorium dioxide, lead to the following [34–38]:

- the amounts of thorium in the environment can be accidentally increased during processing;
- humans absorb thorium through food or drinking water (in areas adjacent to mining operations);
- the quantities in the air are very small (insignificant and generally neglected);
- amounts are high near hazardous waste storage or processing sites;
- amounts are high in industrial laboratories or mining laboratories that mill minerals containing thorium.

The medical effects, observed over time, of those who acquire thorium at work are as follows [39–42]:

- greater chance of developing lung disease;
- higher occurrence of lung and pancreatic cancer;
- changes in genetic material;
- higher instance of blood cancer;
- greater chance of developing liver diseases (when injecting thorium for X-rays);
- storage in bones (long-term exposure) can lead to the generation of bone cancer.

Being a heavy metal, the medical effects of thorium as well as the precautions for working with it must be considered [43,44].

At the same time, natural thorium is in secular equilibrium with its descendants, which makes it necessary to consider their radiotoxicity; for this reason, it is classified among the most dangerous radionuclides [45,46].

4. Classical Technology

Thorium is found in monazite (1 to 15%) in concentrations that allow it to be exploited on an industrial scale, through classical technologies [47]. At the same time, thorium appears in mining processes, especially those aimed at obtaining rare earths or uranium [48–50].

The schemes in Figure 2 show the main operations that lead to obtaining thorium from monazite through acid (Figure 2a) or the basic attack (Figure 2b) of impurities; however, in principle, any mineral is considered as a source of thorium, with the series of technological operations being the same [51–58].

In practice, the mineral (source of thorium) is brought to a state of fine grinding in order to be attacked by sulfuric acid or a base (sodium hydroxide), so that the parts of the mineral not containing thorium pass into the solution, while others are removed by filtration. The filtrate containing thorium (colloidal) can be directly processed (when purification is not done in this technology) or precipitated, filtered and finally subjected to purification by extraction in an organic solvent (kerosene) and TBP as a complexant [59], or an organic solvent and an amine or a selective complexant and re-extraction [60].

If the source of thorium is a mineral containing rare earths or the residue obtained during the processing of various minerals in order to obtain rare earth elements (REEs), then the basic procedures used in the separation, concentration and purification of thorium are leaching [61–63], precipitation [64–67], solvent extraction [68,69] and ion exchange [70].

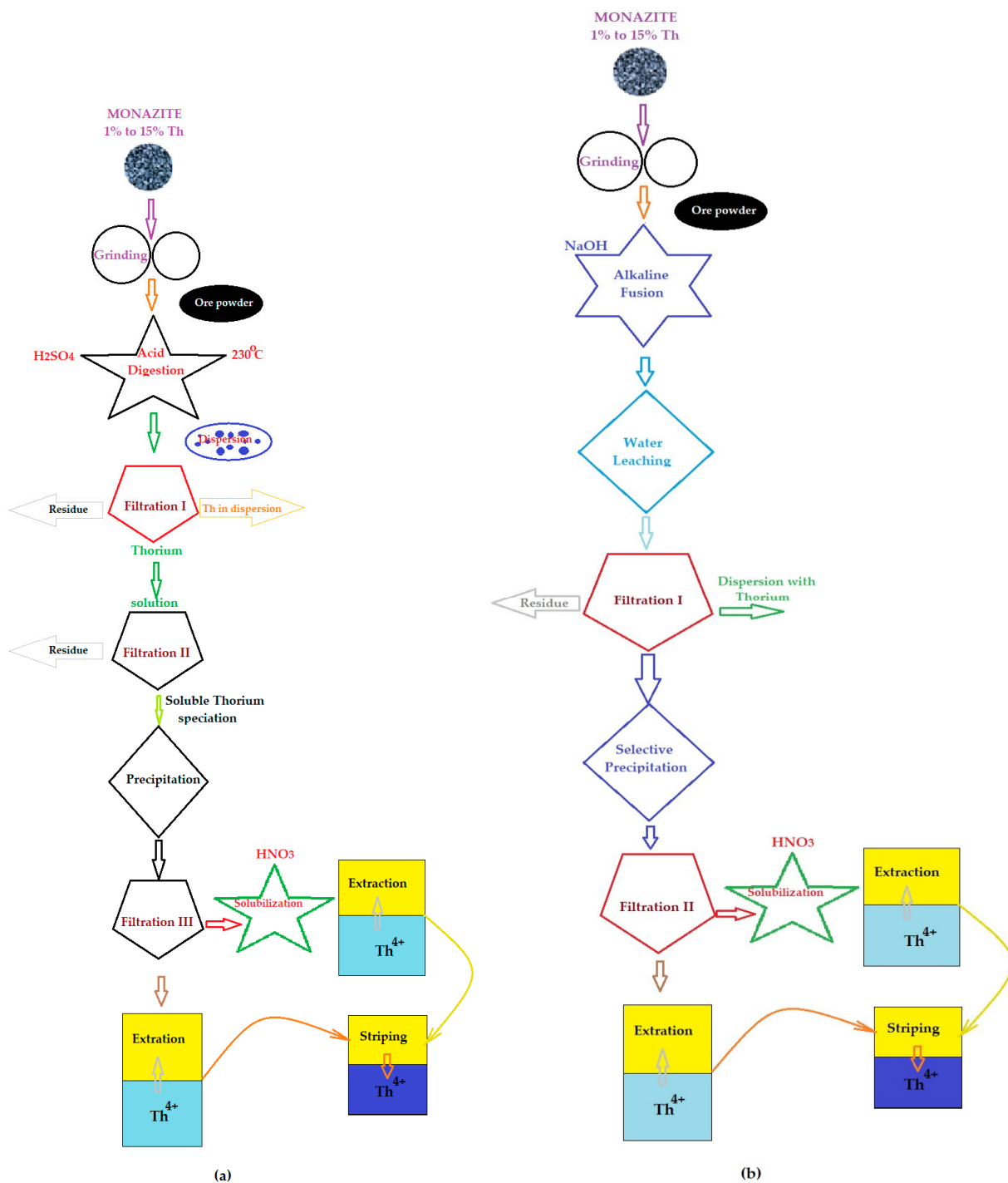


Figure 2. Simplified flowcharts for obtaining thorium from monazite: (a) acid digestion; (b) alkaline fusion.

Obtaining thorium from pure compounds (halogens, halides) or alloys can be performed using physical (thermal) or chemical (reduction) processes [71,72].

For the current work, which involves obtaining thorium from industrial residues or by-products (waste), the diagrams in Figure 2 present, as narrow technological points, the filtration and extraction operations likely to be replaced to avoid environmental pollution [73,74].

5. Thorium Speciation

Thorium compounds are relatively few compared to other elements, even the less reactive ones [75,76]. Thus, thorium dioxide, halogens or a nitride are encountered, but the speciation of the thorium ion (Th^{4+}) in aqueous solutions is of practical importance, as countless hydroxylated chemical species can be generated: $[\text{ThOH}]^{3+}$, $[\text{Th}(\text{OH})_2]^{2+}$, $[\text{Th}(\text{OH})_3]^+$, $[\text{Th}(\text{OH})_4]$, $[\text{Th}(\text{OH})_2(\text{CO}_3)_2]^{2-}$, $[\text{Th}_2(\text{OH})_2]^{6+}$ and $[\text{Th}(\text{H}_2\text{O})_9]^{4+}$ [77–79], hence the importance of the operational parameters (pH, ionic strength, temperature, contact ions in the aqueous solution [80–83]), which would be the object of the study of membrane processes.

When dissolving thorium nitrate (for example) in water, the mentioned hydroxyl species are formed, but also combinations that may include carbon dioxide (present in the environment). Considering the formation of only thorium hydroxides in aqueous solution, a series of chemical species are formed as a result of some equilibria with proton exchange, which is dependent on pH and is shown hypothetically in Figure 3. The degree of formation in solution of various chemical species can be determined exactly if the acidity constants of the chemical species and/or stability constants of the hydroxyl complexes are known [67].

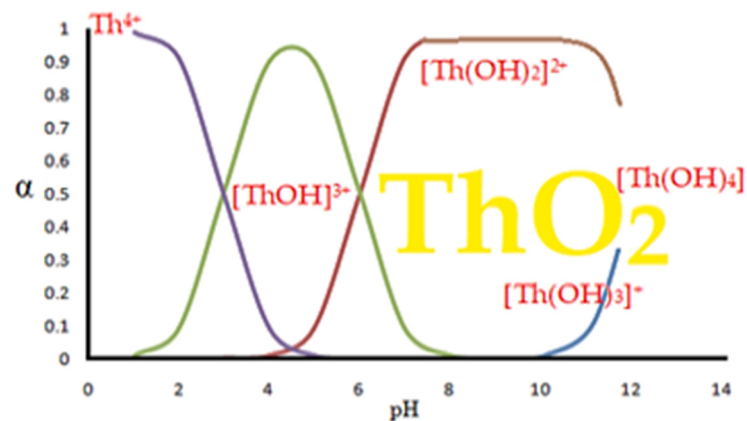


Figure 3. Hypothetical stability diagram of thorium hydroxo-complexes in aqueous medium.

The appearance of thorium dioxide is also related to the pH, ionic strength and temperature of the solution; however, as a solid phase, it depends essentially on the concentration of thorium obtained at a given moment in the phases of a technology, and more importantly, on the distribution of thorium in the environment [80–83].

At the same time, if we consider that thorium is obtained in the source solution as Th^{4+} ion, then a wide series of organic complexants (Figure 4) can contribute to the formation of some speciations involved in the concentration and recovery, especially through extraction, of thorium [84–102].

The speciation of thorium in aqueous solution is important because the various hydroxo-hydroxyl species have different sizes, so membrane processes based on size separation can be chosen accordingly, moving from reverse osmosis to nanofiltration or even to ultrafiltration or colloidal filtration. Certainly, the aspects of chemical speciation of thorium in the presence of some inorganic complexants, but especially organic ones, are much more difficult to exploit, because the new chemical species have various hydrophobic-hydrophilic shells, depending on the considered ligand. These chemical species can be considered for separations with liquid or composite membranes that exhibit selective or even specific interactions with the ligands that incorporate the thorium ion.

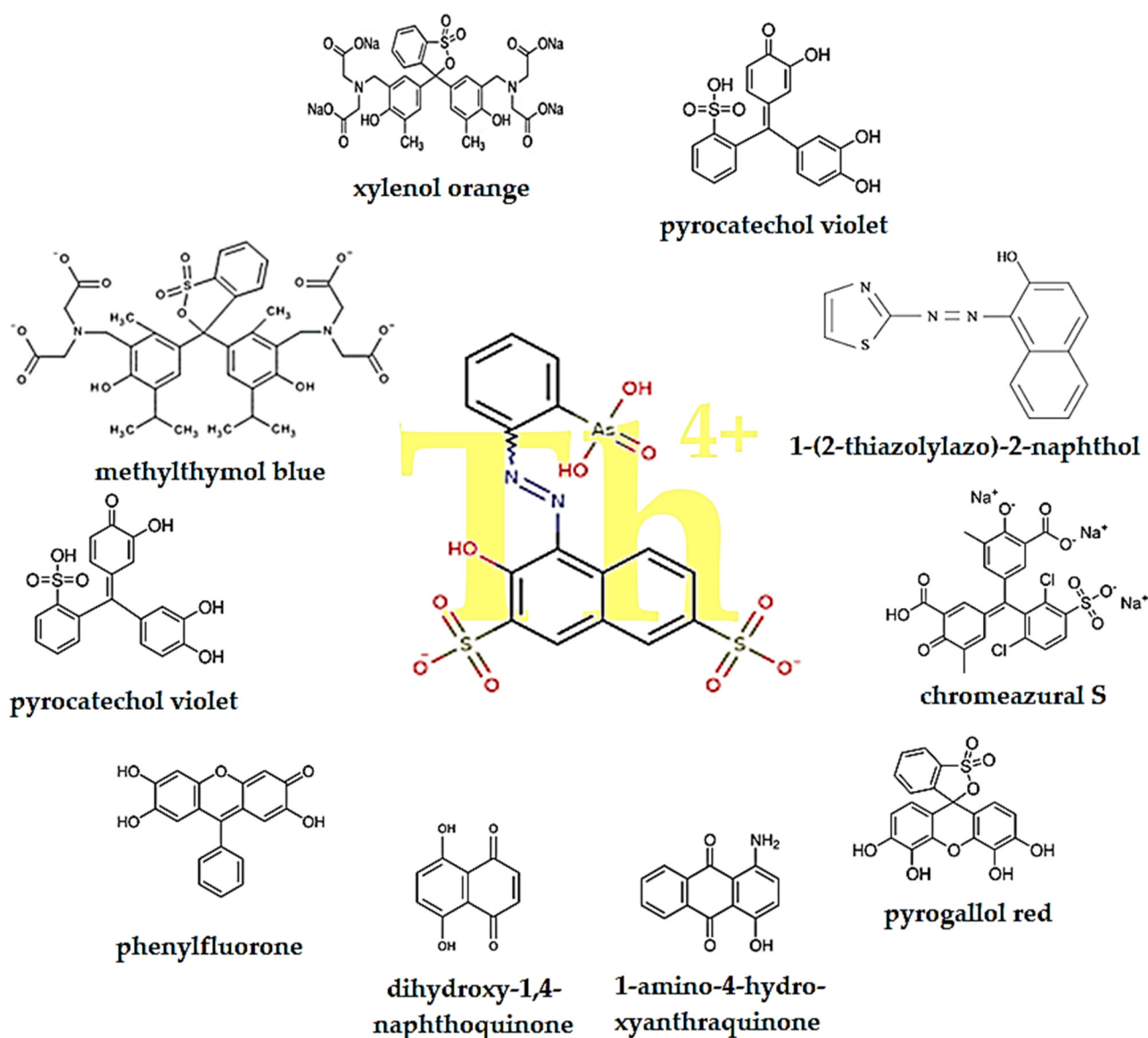


Figure 4. Common organic reagents involved in thorium ion complexation and/or extraction. The colored groups (in red) interact with the thorium ion (in yellow).

6. Thorium Determination

Although a radioactive element, physical–chemical analysis based on specific reactions finds permanent use in various applications [86–99]. The main reagent, studied exhaustively and used with excellent results in various working conditions, is Thorin, 1-(2-Arsenophenylazo)-2-hydroxy-3,6-naphthalene-disulfonic acid sodium salt, 2-(2-Hydroxy-3,6-disulfo-1-naphthylazo)-benzene-arsenic acid sodium salt; Empirical Formula (Hill Notation): $C_{16}H_{11}AsN_2Na_2O_{10}S_2$ [87,88].

Otherwise, the radiation analyses for thorium are few, although they refer to the entire radiation register (α , β or γ) [103–109] (Table 2).

That is why, alongside the highly developed spectrophotometric methods [95], the studied reagents are today widely used for preconcentration [96], with a view to the permanent development of new methods, including electrochemical, optode, electrochemical sensor and coupled spectral methods [110–134].

Table 2. Analysis methods for thorium: characteristics and applications.

| Analytical Methods | Samples and/or Applications | Characteristics | Refs. |
|---|---|--|-----------|
| Radiometric analysis (α , β or γ) | Determination of uranium, thorium, plutonium, americium and curium ultra-traces | Photon–electron rejecting α liquid scintillation | [103] |
| | Determination for levels of uranium and thorium in water along Oum Er-Rabia River | Alpha track detectors | [104] |
| | Thorium determination in intercomparison samples and in some Romanian building materials | Gamma ray spectrometry | [105] |
| X-ray fluorescence spectrometry | Thorium determination | Miscellaneous techniques | [106,107] |
| | Determination of thorium in natural water | Coupled with preconcentration method | [108] |
| | Trace element determination in thorium oxide | Total reflection X-ray fluorescence spectrometry | [109] |
| Inductively Coupled Plasma-(ICP-) | Analysis of rare earth elements, thorium and uranium in geochemical certified reference materials and soils | Mass spectrometry (ICP-MS) | [110] |
| | Determination of trace element concentrations and stable lead, uranium and thorium isotope ratios in in NORM and NORM-polluted sample leachates | Quadrupole-ICP-MS | [111] |
| | Chemical separation and determination of seventeen trace metals in thorium oxide matrix using a novel extractant—Cyanex-923 | Atomic Emission Spectrometry (AES) | [112] |
| | Determination of Th and U | AES with MSF | [113] |
| | Determination of trace thorium in uranium dioxide | AES | [114] |
| | Determination of REE, U, Th, Ba and Zr in simulated hydrogeological leachates | AES after matrix solvent extraction | [115] |
| | Determination of thorium and light rare-earth elements in soil water and its high molecular mass organic fractions | MS and on-line-coupled size-exclusion chromatography | [116] |
| | Determination of trace thorium and uranium impurities in scandium with high matrix | Optical Emission Spectrometry (OES) | [117] |
| | Determination of thorium (IV), titanium (IV), iron (III), lead (II) and chromium (III) on 2-nitroso-1-naphthol-impregnated MCI GEL CHP20P resin | Preconcentration and MS | [118] |
| | Trace metal determination in uranium and thorium compounds without prior matrix separation | Electrothermal vaporization and AES | [119] |
| Atomic Absorption Spectrometry (AAS) | Thorium, zirconium and vanadium as chemical modifiers in the determination of arsenic | Electrothermal atomization | [120] |
| Cyclic Voltametric (CV) | Application in some nuclear material characterizations | Uranyl ion in sulfuric acid solutions | [121] |
| Chemically Modified Electrode (CME) | Determination of thorium by adsorptive type | Poly-complex system | [122] |
| Fluorogenic thorium sensors | Based on 2,6-pyridinedicarboxylic acid-substituted tetraphenylethenes | Induced emission characteristics | [123] |
| Selective optode | Design and evaluation of thorium (IV) | Membrane was prepared by incorporating 4-(<i>p</i> -nitrophenyl azo)-pyrocatechol | [124] |
| Micellar electrokinetic chromatographic | Ore and fish samples | Analysis of Th, U, Cu, Ni, Co and FE | [125] |
| Laser-induced breakdown spectrometry | Determination of trace constituents in thoria | Determination of thorium or uranyl ions | [126,127] |
| Electrochemical and spectro-electrochemical | Studies of bis(diketonate) thorium (IV) and uranium (IV) porphyrins | Complexes were synthesized using a hexa-aza porphyrin | [128] |
| Electrochemically modified detector | Elemental analysis of actinides | Graphite electrode with phthalocyanine | [129] |
| Selective extraction and trace determination of thorium | Synthesis of its application in water samples by spectrophotometry | UiO-66-OH zirconium MOF | [130] |
| Anodic polarization of thorium | Study of tungsten, cadmium and thorium electrodes | Electrochemical impedance spectroscopy | [131] |
| High-performance liquid chromatography | Studies on lanthanides, uranium and thorium | Amide-modified reversed phase supports | [132] |
| Ion exchange | Extraction of thorium on resin | Available extraction chromatographic resin | [133] |
| | Separation of actinium from proton-irradiated thorium metal | Extraction chromatography | [134] |

The presented analytical methods offer, in addition to the information needed for the specific determinations of thorium in various matrices, possible ways of approaching separation through membrane techniques alternative to extraction, ion exchange, adsorption or chromatography.

7. Thorium Separation and/or Pre-Concentration

In analytical or technological research, the concentration of thorium has constituted a special problem, as it accompanies the rare earth elements (REEs), especially uranium [135,136].

The concentration process that is often followed and technologically supported is the pre-concentration of thorium [137–139].

Table 3 presents results that can form the basis of the development of urban thorium mining and that are focused on the concentration, pre-concentration, separation, extraction, ion exchange, sorption and bio-sorption of thorium or thorium–uranium from various samples and aqueous solutions [140–170].

Table 3. Concentration and separation of thorium using various techniques and selective materials.

| Processes/Methods/Techniques | Materials | Characteristics | Refs. |
|--|--|---|-------|
| Thorium removal | Different adsorbents | Activated carbons and zeolites (natural and synthetic) | [140] |
| Removal of thorium (IV) from aqueous Solutions | Modification of clinoptilolite as a robust adsorbent | Highly efficient thorium removal material | [141] |
| Preconcentration of uranium in natural water samples | New polymer with imprinted ions | Determination by digital imaging | [142] |
| Adsorption of trace thorium (IV) from aqueous solution | Mono-modified β -cyclodextrin polyrotaxane | Using response surface methodology (RSM) | [143] |
| Preconcentration and separation of actinides | Novel malonamide-grafted polystyrene-divinyl benzene resin | For hexavalent and tetravalent actinides such as U (VI), Th (IV) and Pu (IV) | [144] |
| Comparative adsorption | Mesoporous Al ₂ O ₃ | Selectivity of Th (IV) compared U (VI), La (III), Ce (III), Sm (III) and Gd (III) | [145] |
| Extraction and precipitation agents | α -aminophosphonates, -phosphinates, and -phosphine oxides | For rare earth metals, thorium, and uranium | [146] |
| Removal of polyvalent metal ions | Polyurea-crosslinked alginate aerogels | Eu (III) and Th (IV) from aqueous solutions | [147] |
| Method for separating thorium | Patented Chinese method | Separating cerium-fluoride and thorium | [148] |
| Extraction and recovery of cerium (IV) and thorium (IV) | α -aminophosphonate extractant | Extraction and recovery of Ce (IV) and Th (IV) from sulphate medium | [149] |
| Selective extraction and separation | Sulfate medium using Di(2-ethylhexyl)-N-heptylaminoethylphosphonate | Ce (IV) and Th (IV) from RE (III) | [150] |
| | α -aminophosphonate extractant | Ce (IV) from thorium and trivalent rare earths | [151] |
| | α -aminophosphonic acid HEHAPP | Heavy rare earths from chloride medium | [152] |
| | α -aminophosphonic acid extractant HEHAMP | Rare earths from chloride media | [153] |
| Study of thorium adsorption | PAN/zeolite composite adsorbent | Adsorption model | [154] |
| | Tulul Al-Shabba Zeolitic Tuff, Jordan | Adsorption of Th (IV) and U (VI) | [155] |
| | Sodium clinoptilolite | Removal of Th from aqueous solutions | [156] |
| | Modification of zeolite | Using tandem acid-base treatments | [157] |
| Selective cloud point extraction of thorium (IV) | Tetraazonium-based ionic liquid | Thorium extraction isotherm | [158] |
| Removal of thorium (IV) from aqueous solutions | Deoiled karanja seed cake | Optimization using Taguchi method | [159] |
| Retention of uranyl and thorium ions from radioactive solution | Peat moss | Retention of uranyl and Th ions from radioactive solution | [160] |
| Photocatalysis and adsorption | Photo-responsive metal-organic frameworks (MOFs) | Design strategies and emerging applications | [161] |
| Electrochemical and electrolytic separation | Th (IV) and Ce (III) in ThF ₄ –CeF ₃ –LiCl–KCl quaternary melt | Separation of Th (IV) and Ce (III) | [162] |

Table 3. Cont.

| Processes/Methods/Techniques | Materials | Characteristics | Refs. |
|---|---|--|-------|
| Selective removal | Hybrid mesoporous adsorbent as benzenesulfonamide-derivative@ZrO ₂ | Thorium ions from aqueous solutions | [163] |
| Extraction | Sodium diethyldithiocarbamate/polyvinyl chloride | Rare earth group separation from lamprophyre dyke leachate | [164] |
| Fluorescent sensors | Metal-organic framework (MOF) | Hazardous material detection | [165] |
| Zeolite adsorption | Separation of radionuclides | From a REE-containing solution | [166] |
| Equilibrium study | Acidic (chelating) and organophosphorus ligands | Equilibrium constants of mixed complexes of REE | [167] |
| Molecule for solvent extraction of metals | Thenoyltrifluoroacetone | Thorium extraction | [168] |
| Chemical adsorption | 8-Hydroxyquinoline immobilized bentonite | Removal of U and Th from their aqueous solutions | [169] |

The remarkable results in the development of organic ligands (Table 2), and especially of selective materials (Table 3), allow a confident approach to the recovery and recycling of thorium from electrical and electronic waste, but more generally (considering the slightly selective separation of waste) of residues that reach the integrated municipal storage and waste platforms (especially from construction).

8. Membrane and Membrane Processes

Membranes and membrane processes can be an attractive alternative for the separation of chemical species containing thorium from various sources, with reduced concentrations in this element. On the one hand, membranes can integrate into the classical technologies for obtaining thorium; on the other hand, the speculations that can be made between thorium and various complexants are compatible with membrane separations.

In order to highlight these aspects, this subchapter presents some characteristics of the main membrane processes.

Membranes and processes have evolved from laboratory-scale installations to industrial ones, having at the same time an increased economic and commercial importance [170]. Membrane processes have not only replaced some of the conventional separation processes but also have produced remarkable results in areas where conventional techniques are exhausted or very expensive [171]. Among the problems that have determined the exponential development of membrane processes are those of environmental protection, since technologies based on membranes and membrane separation techniques are recognized as ecological technologies [172].

8.1. Introduction to Membranes and Membrane Processes

If we focus on membrane processes, it can be stated that the membrane is a window of a multi-component system (Figure 5), with selective permeability for chemical species of the system [173]. This membrane allows the separation of the considered system, consisting of a continuous phase (solvent) in which ionic chemical species, molecules and macromolecules are dissolved. At the same time, molecular aggregates and dispersed particles can be separated into components by classical or membrane processes [174]. In order for the separation process to occur, the system must be subjected to an electrochemical potential difference or driving force ($\Delta\mu$) [175].

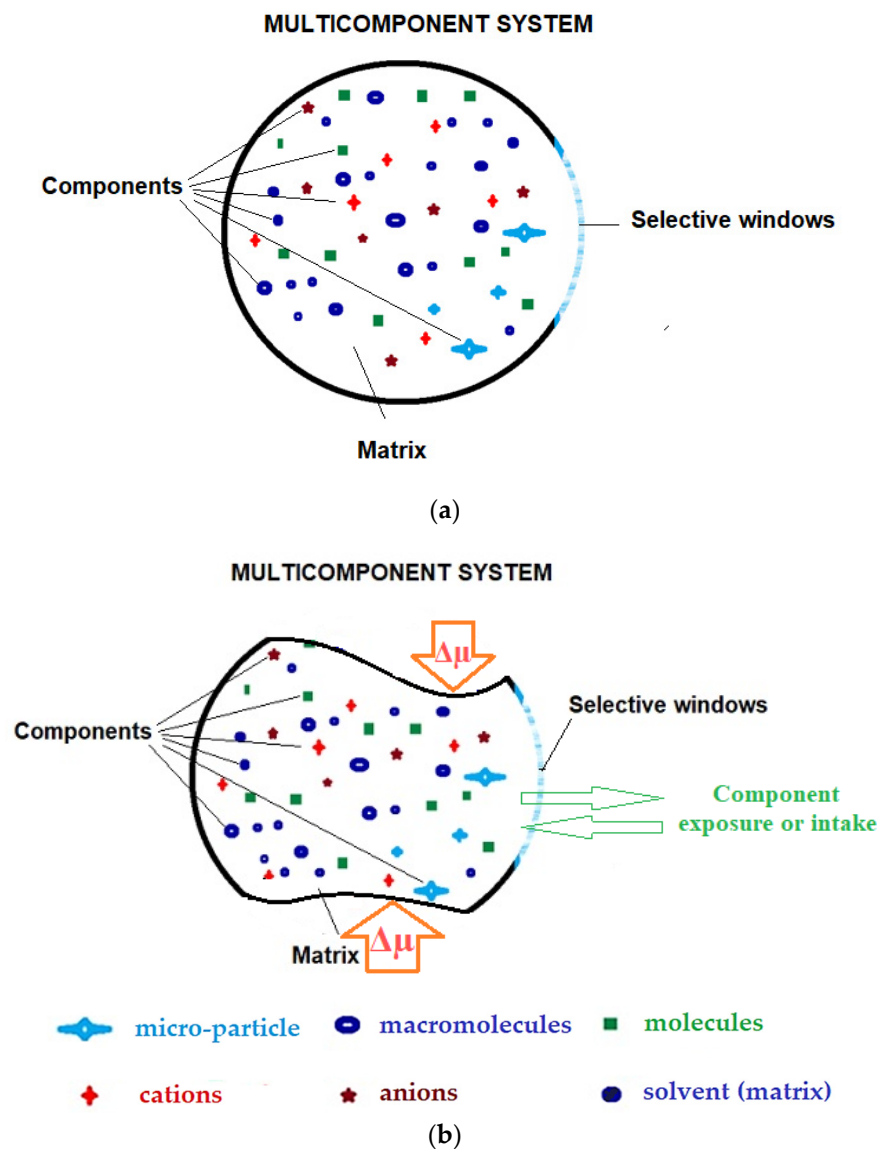


Figure 5. Multicomponent system bordered by a selective window, including ions, small molecules, macromolecules, nanoparticles, microparticles, microorganisms and viruses as suspended particles: (a) system in equilibrium; (b) system subject to an electrochemical potential difference ($\Delta\mu$). The meaning of shapes and symbols in Figure 5 is as follows.

The most important driving forces on membrane processes are as follows [176]:

- P = transmembrane pressure difference;
- Δc = concentration difference between the two compartments separated by a membrane;
- ΔE = potential difference.

It should be emphasized that in the last decade membrane processes involving potential gradient, thermal, magnetic, and interfacial tension, and volatility have undergone significant development [177].

In this subchapter, we will briefly present the essential aspects of the processes involving pressure or concentration gradient (liquid membranes).

8.2. Barro Membrane Processes

In membrane processes, the pressure difference (Δp) constitutes a technically and economically accessible driving force, leading to many applications, including microfiltration, ultrafiltration, nanofiltration and reverse osmosis (hyperfiltration) [178]. The first and most

developed application was the obtaining of drinking water from sea water (Figure 6a), when it was found that, by applying a pressure higher than the osmotic pressure of sea water, most of the solvent passes (96–99%) through a semi-permeable membrane [179]. While these processes have applications on an industrial scale, their introduction in a certain technology presents a flow optimization problem (Figure 6b,c) [178–180], which depends on the load in the chemical species to be removed from the solvent that constitutes the feed [181]. There is the option of operating using dead-end filtration of a cross-flow filtration system [182]. The design of filtration devices may differ; chemical equipment manufacturers compete to create prototypes with increasingly high performance by improving the flow on the membrane (Figure 6d,e) [183,184]. In filtration processes, regardless of preventative efforts, the membrane becomes dirty or clogs, or concentration polarization (solute accumulation) occurs on the layer adjacent to the membrane; thus, process engineering is complemented by the introduction of ultrasonic cleaning devices into the technology, cavitation, magnetic stirring, or pulsatile flow vibrations [185].

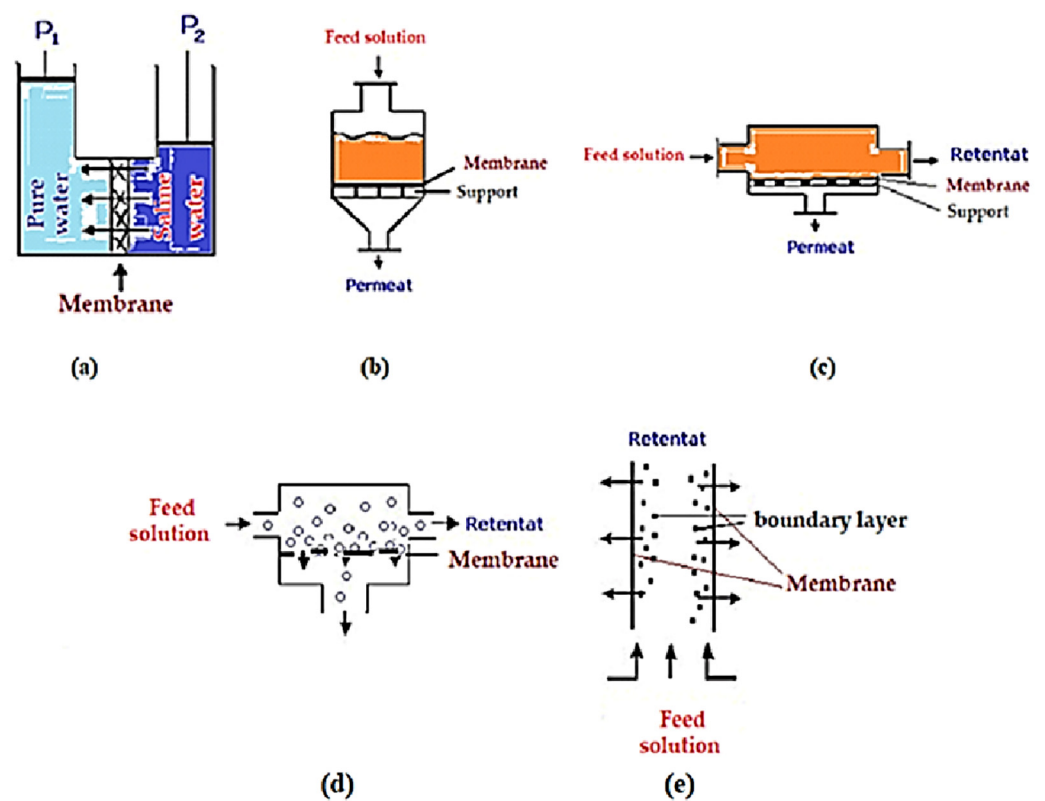


Figure 6. Membrane separation processes under pressure difference: (a) obtaining drinking water through reverse osmosis; (b) piston type (dead-end filtration); (c) tangential flow; (d) tangential flow through large sections; (e) flow through tubes.

However, in essence, the feeding can be done through large cylindrical, tubular, spiraled or capillary (hollow fiber) spaces, in which, along with the flow through and/or on the membrane and avoiding fouling (contamination, soiling), the aim is to increase the area of the contact surface of the membrane with the dispersed system of feeding (Figure 7) [186]. Of course, the operation can be done by introducing the feeding solution, as in Figure 7, but most often, with the feed solution being dirtier, it is inserted between tubes or fibers for a possible physical cleaning [187–189].

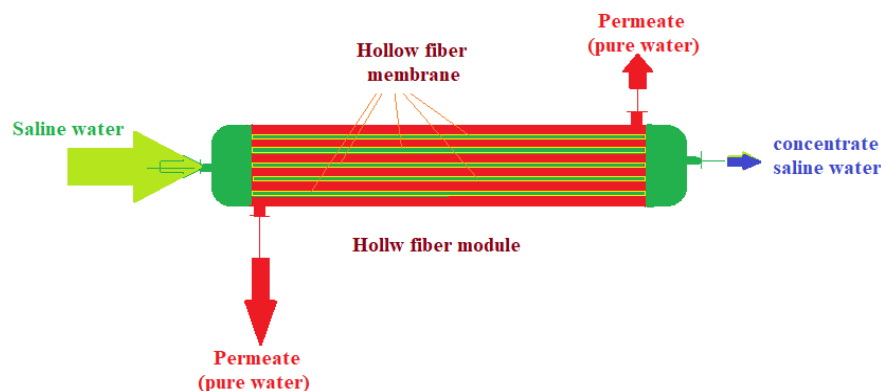


Figure 7. Advanced hollow-fiber filtration module.

A homogenous system can be separated by aggregation (segregation), so that instead of a high-pressure process (Table 4), a lower-pressure one is used [186,187].

Table 4. Characteristics of pressure gradient processes.

| Type of Membrane Process | Pore Diameter (nm) | Pressure (Bar) | Obtained Water Content |
|--------------------------|--------------------|----------------|---|
| Reverse osmosis | <0.6 | 25–60 | Pure water (poorly ionized) |
| Nanofiltration | 0.5–10 | 6–30 | Pure water (traces of molecular substances) |
| Ultrafiltration | 7–200 | 4–15 | Pure water, molecular substances and macromolecules |
| Microfiltration | 150–5000 | 0.1–2.5 | Pure water, molecular substances and colloids |

The first processes of this kind were promoted by Schamehorn, the ultrafiltration of micellar systems (MUF), which consisted of transforming a solution into an ultra-micro-dispersed system by adding suitable surfactants, followed by ultrafiltration [190–192].

The condition for using micellar ultrafiltration is that the micelles contain the organic compound, which means an impurity of the concentrate [193].

The variants of ultrafiltration and nanofiltration have undergone significant development due to nano-species and nanomaterials (nanoparticles, nanotubes, nanofibers, proteins, soluble polymers, polyelectrolytes, micelles and vesicles) also being used as carriers (Figure 8) in processes in liquid membranes [194].

The concentration polarization and the diffusion effects related to the sizes of solutes with low molecular masses can influence the working conditions of nano- and ultrafiltration, with the number of additives required being determined experimentally [195].

8.3. Electro-Membrane Processes

Electrodialysis is the most widespread separation process, carried out under an electric potential gradient, which involves ion exchange membranes [196]. In electrodialysis, the extraction, reconcentration and substitution operations are carried out without direct intervention of the electrodes [197]. They are placed at the end of the electrodialysis cells in order to maintain the electric potential difference between the compartments separated by the membranes (Figure 9) [197–199].

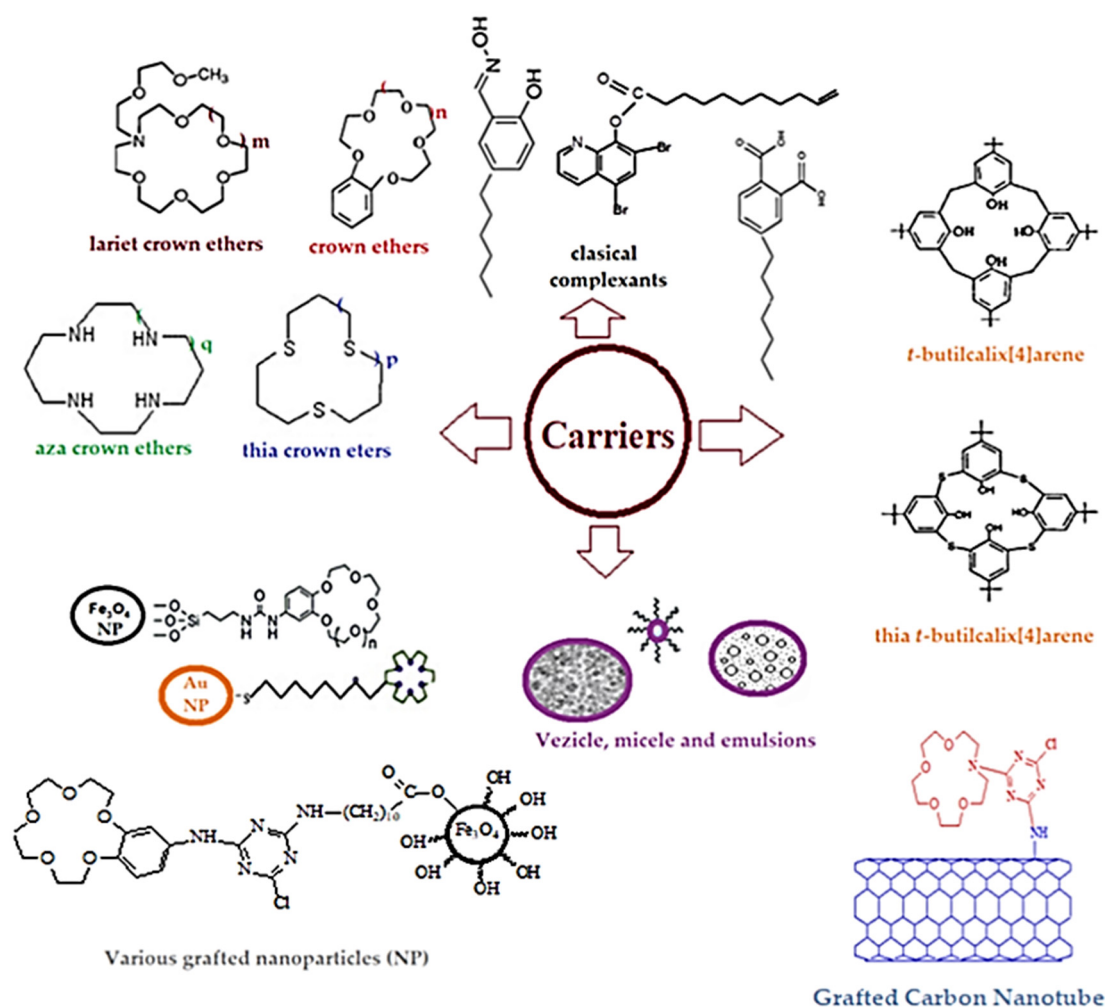
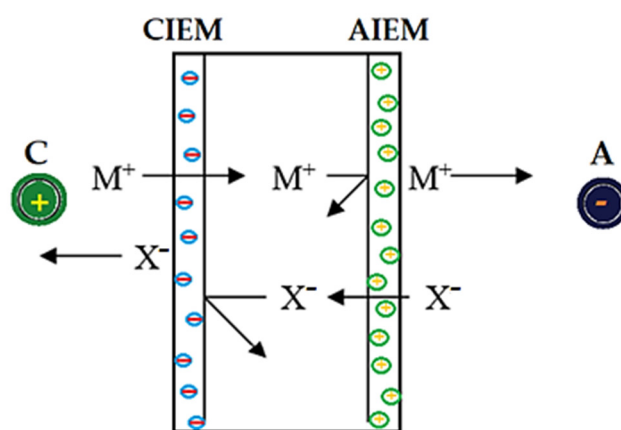


Figure 8. Common types of carriers: macrocyclic compounds, modified classical complexant agents and nano-species [194].



M = cation; X = anion; C = Cathode; A = Anode
 AIEM = Anion Ion-Exchange Membrane; CIEM = Cation Ion-Exchange Membrane

Figure 9. Scheme of an electrolysis cell for the concentration of a salt by electro dialysis with two ion exchange membranes.

If we associate an anion exchange membrane with a cathode, it is possible to eliminate an electrolyte, whose cation can be deposited by electrochemical reaction on the

cathode [200]. The electrolyte extracted from the diluted circuit by electro dialysis will be recovered in the concentrated circuit according to the principle in Figure 9. This electrolyte will not only be recovered but can be reconcentrated. Recovery and reconcentration are possible because the ions cannot migrate over their compartment, the M cation being retained by the anion exchange membrane, and the X anion by the cation exchange membrane [201,202].

Conducting electro dialysis requires ways to interpose electrodes, aqueous phases to be processed, and membranes, so that the operation can lead at the same time to solute concentrations or to the recovery of deionized water [203–205].

8.4. Membrane Processes Carried out under a Concentration Gradient (Liquid Membrane)

Although the concentration gradient is also found in processes with solid membranes (osmosis, dialysis, forward osmosis), this paper addresses processes with liquid membranes that have a high chance of developing applications in the valorization of thorium [206].

Separation systems with a liquid membrane (LM) or bulk liquid membrane (BLM) are formed by two homogenous liquid phases, immiscible with the membrane, called the source phase (SP) and the receiving phase (RP). The separation of the two liquid membranes is achieved with a third liquid, the membrane (M), which acts as a semi-permeable barrier between the two liquid phases [207–231].

An established graphic but also practical conception of liquid membranes (Figure 10) takes into account the density of the membrane, which is generally an organic solvent or a multicomponent system in which the continuous phase is the organic solvent [208].

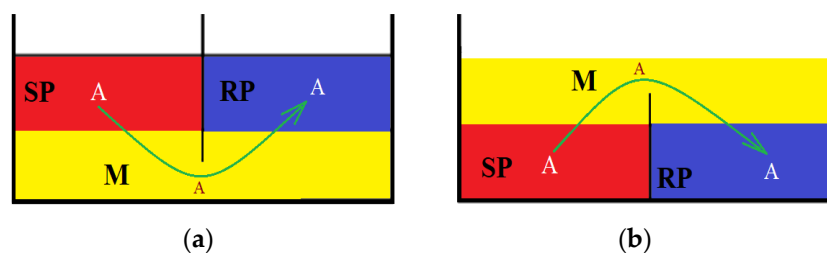


Figure 10. Schematic presentation of membrane systems with an organic solvent: denser (a) or less dense (b) than aqueous phases. Legend: M = membranes; SP = source phase; RP = receiving phase; A = chemical species of interest for separation [214].

The density of the membrane phase becomes unimportant if the membrane solvent is immobilized in or on a support [209], thus obtaining supported liquid membranes (SLMs). An interesting variant, but not yet sufficiently evaluated in separation processes, is the liquid membrane based on magnetic liquid (ferrofluid) [210], which also has no restrictions on the density of the organic solvent but involves special aspects in terms of stability and the transfer of table [211].

If we focus on BLMs, the technical problems to be solved are as follows: the large volume of solvent used (V), the small mass transfer area (σ), the unit ratio between the volume of the source phase, the volume of the receiving phase (r) and the volume of the membrane organic solvent (OS or M) and, therefore, implicitly, the long operating time (t) [212].

In order to improve the performance, hollow-fiber supported membranes (HFLMs) and emulsion membranes (ELMs) have been greatly developed (Figure 11) [213].

Recently, a BLM system with dispersed phases was studied, in which the aqueous phases of the separation system dispersed in/through the membranes. The membrane is a nanodispersed system of magnetic nanoparticles that have the role of ensuring both convection and transport for ionic chemical species in membranes based on saturated alcohols C₆–C₁₂ [214,215]. The most recent design is shown in Figure 12, but other variants using chemical nano-species are also used [216].

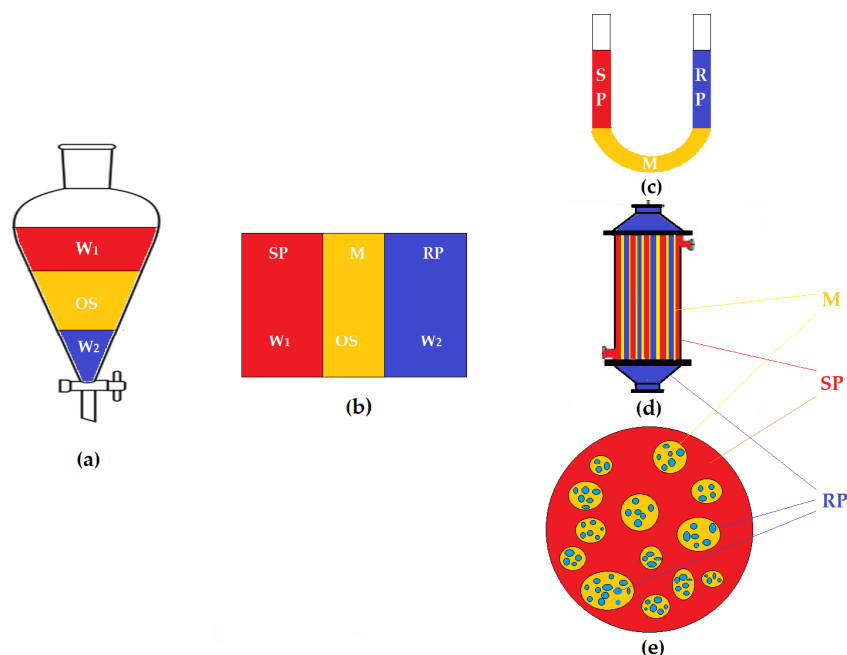


Figure 11. Schematic presentation of extraction and membrane systems with organic solvent: (a) water 1 (W1)–organic solvent (OS)–water extraction (W2); (b) liquid membranes (LMs); (c) bulk liquid membranes (BLMs); (d) supported liquid membranes (SLMs); (e) emulsion liquid membranes (ELMs). Legend: M = Membrane; SP = Source Phase; RP = Receiving Phase [214,216].

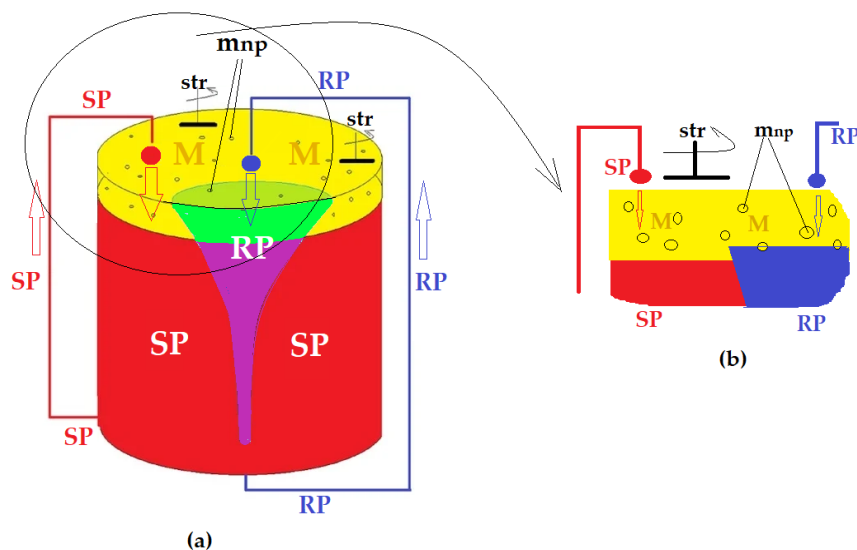


Figure 12. Schematic presentation of the permeation module with dispersed phases: (a) front view; (b) cross-section detail. Legend: SP—source phase; RP—receiving phase; M—organic solvent membrane; m_{np} —magnetic nanoparticles; str—stirrer with magnetic rods [216,217].

The BLM system with dispersed phases (based on Figure 8 carriers, for example) is close to the performance of liquid membranes on hollow-fiber supports or emulsion-type liquid membranes but has several limitations that restrict its applicability, including the stability of the membrane nanodispersion, control of the size of droplets in recirculating aqueous phases and losses of membrane material (solvent or nanoparticles) [217].

8.5. Transport in Liquid Membranes

The method of achieving the concentration gradient and the nature of the species dissolved in the phases of the membrane system have led to various types of transport

through liquid membranes [218–220]. Mainly, however, they can be narrowed down to those specified in Figure 13.

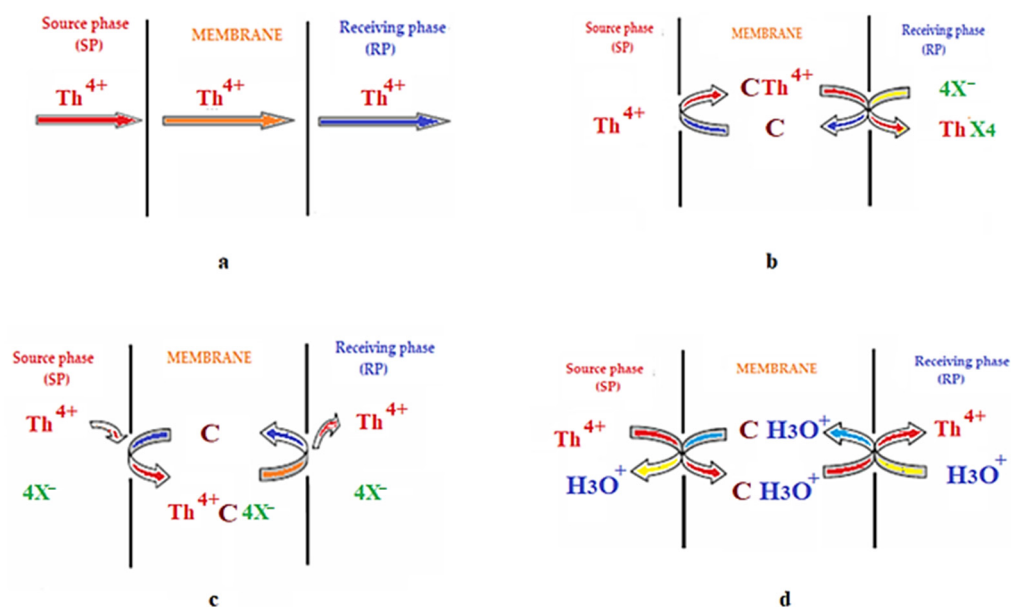


Figure 13. Schematic presentation of the transport mechanism by liquid membranes (C—carrier, X— anion complexant): (a) physical “simple” shipping; (b) transport with carrier; (c) coupled transport; (d) counter-transport.

8.5.1. Physical “Simple” Shipping

The simple diffusion type of transport through the solution is usually followed by the permeation of the solute through the liquid membrane due to the concentration gradient (Figure 13a). In this case, the transport of the component from the source phase through the membrane phase occurs with a higher solubility or diffusivity of the solute in the membrane phase. In this type of transport, the mass transfer rate is low and depends on the solubility of the solute in the organic phase, as well as the solubility of the solute in the source and receiver phases [221,222].

8.5.2. Facilitated Transport or Carrier-Mediated Transport

In carrier-mediated transport, a carrier is added to the membrane phase in order to increase the mass transfer rate or separation efficiency of the liquid membrane. It is also known as facilitated transport or transport mediated by a transporter [223]. In this case, the solute dissolved in the source phase, at the source phase–LM interface, reacts chemically with a transporter dissolved in the liquid membrane to form a complex. This complex reacts inversely at the LM–receiving phase interface, releasing the partitioned solute in the receiving phase (Figure 13b). In recent years, this type of transport mediated by a transporter has been intensively developed for the selective transport of cations, anions and neutral species through liquid membranes [224].

8.5.3. Coupled Co- or Counter-Transport

In this type of transport, the transport speed of a certain ion is dependent on the concentration of another ion. In the case of coupled co-transport, the metal ion is transferred together with a counter-anion, with the two species’ transport taking place in the same direction. In the coupled counter-transport type, the simultaneous transport of another ion from the receptor phase to the source phase takes place; thus, the transport of the two species takes place in opposite directions [225–227]. Figure 13c,d shows the types of co- and counter-coupled transport of a metal ion.

8.6. Hybrid Membrane Processes

The most common form of treatment of effluents containing heavy metal ions involves the precipitation of metals as a hydroxide, base salt or sulfur. Precipitation is often followed by an additional treatment, such as sedimentation or filtration processes [228–230].

The technique of liquid membranes also presents a huge potential for the application of the removal and valorization of heavy metals, especially for the purpose of environmental protection [221–223].

Currently, the most important commercial application of liquid membrane technologies is the treatment of wastewater and waste [212,224–226].

However, the use of liquid membranes has encountered many obstacles, mainly related to the use of solvents with high toxicity [227,228]; both the reduction of the amount of the membrane solvent required and their replacement with green solvents or nanodispersions have created better opportunities for this process [229,230].

The idea of using nanosystems has led to the development of hybrid processes, which basically follow the mechanism of liquid membranes, but the process design is more advanced [211,231].

9. Problems in Application and Achievement as Well as Development Perspectives of Urban Thorium Mining

The analysis of the processes in which the minerals or waste containing thorium are processed shows that the classic technologies have material losses in the environment, which could be reduced with or through membrane techniques [232–255]. Thus, in the classical thorium recovery technologies, some disadvantages [1,13–20,47–66] of the operations are highlighted (Table 5), which require improvements, especially from the perspective of the loss of thorium in the environment.

Table 5. Possible losses of thorium in the environment and remedial possibilities.

| Technological Operation | Losses of Thorium or of Thorium-Contaminated Materials | Means of Remediation or Reduction of Losses |
|----------------------------|---|---|
| Crushing, grinding | Dust removal Mill shutdown losses Losses when cleaning the machine | Microfilter installation Micro- and ultrafiltration of colloidal washing solutions |
| Solubilization or leaching | Incomplete solubilization with the chosen reagent Complete solubilization Insufficient concentration of thorium | Solubilization with a complementary reagent Selective reprecipitation and solubilization Concentration by precipitation and microfiltration |
| Filtration | Thorium retention in the precipitate Reduced concentration of thorium in the filtrate | Washing with solubilizing reagents Reprecipitation and micro- or ultrafiltration |
| Precipitation | Incomplete precipitation Precipitation of nanometric particles | Nanofiltration or reverse osmosis of the filtrate Colloidal ultrafiltration or nanofiltration |
| Extraction | Solvent losses Incomplete extraction | Solvent recovery Use of selective extractants |
| Ion exchange | Blockage of thorium in the ion exchanger (elution inefficiency) Incomplete retention | Change eluent Recovery of ion exchangers for destruction (burning) |

The problem of thorium separation, concentration and recycling can be approached by analyzing some of the contributions that offer both priority research directions and viable technical solutions (Table 6) [232–255].

Table 6. Aspects regarding the use of membrane techniques and membrane materials, with possible implications regarding thorium separation.

| Membrane Techniques | Materials and Applications | Characteristics | Refs. |
|--|--|--|-----------|
| Waste Treatment | Liquid radioactive waste treatment | | [232] |
| Liquid Filtration | Membrane surface patterning as a fouling mitigation | Strategy for Processes | [233] |
| | Gas separation membranes | | [234] |
| | Proton exchange membrane in fuel cells | | [235] |
| Ionic Liquid | Chitosan-based polymers as proton exchange | Roles of Chitosan-Supported Polymers | [236] |
| | Based electrolytes for energy storage devices | | [237] |
| | Toxicity to living organisms | | [238] |
| | Sequential determination of Copper (II) and Zinc (II) in natural waters and soil leachates | Chelating Resin | [239] |
| Polymer Inclusion Membranes (PIMs) | Application in the separation of non-ferrous metal ions | Membranes (PIMs) Doped with Alkylimidazole | [240] |
| | Poly(vinylidene-fluoride-co-hexafluoropropylene) extraction from sulfate solutions | Containing Aliquat® 336 and Dibutyl Phthalate | [241] |
| | Operational limits | Based on Dispersion Systems | [217,242] |
| Bulk Hybrid Liquid Membranes | Thorium transport: modeling and experimental validation | Continuous Bulk Liquid Membrane Technique | [243] |
| Membrane Fabrication | Sustainable membrane development | Polymers and Solvents Used | [244] |
| Light-Responsive Polymer Membranes | Miscellaneous application | Report Recent Progress In The Research Field | [245] |
| Adsorptive Membranes and Materials | Modern computer applications | Model for Rare Earth Element Ions | [246] |
| | Effect of the adsorption of multicharge cations on the selectivity | NF and Adsorption | [247] |
| | Extraction of uranium and thorium from aqueous solutions | NF and Extraction | [248] |
| Nanofiltration | Removal of fluoride | By Nature, Diatomite From High-Fluorine Water | [249] |
| | Removal of radioactive contamination of groundwater, special aspects and advantages | Including RO | [250] |
| | U from seawater by nanofiltration | Selective Concentration | [251] |
| Glutathione-Based Magnetic Nanocomposite | Sequestration and recovery of Th ions | Using Recyclable, Low-Cost Materials | [252] |
| Zeolite Hybrid Adsorbent | Case study of thorium (IV) | Evaluation of Sodium Alginate/Polyvinyl Alcohol/Polyethylene Oxide/ZSM5 Zeolite Hybrid Adsorbent | [253] |
| Functionalized Maleic-Based Polymer | Thorium (IV) removal from aqueous solutions | Synthesis, Characterization and Evaluation of Thiocarbazide Functionalization | [254] |
| Electro-deionization (EDI) | Th removal from aqueous solutions by electro-deionization (EDI) | Use of Response Surface Methodology for Optimization of Thorium (IV) | [255] |

Recent studies on the separation, concentration, removal or recovery of thorium from aqueous solutions, including by membrane techniques [256–271] (Table 7), have led to promising results, reinforcing the idea that membrane or hybrid processes can contribute to the imaging of the technological recycling of thorium from various residues, especially industrial, on municipal waste processing platforms.

Table 7. Recent materials and processes for thorium recovery.

| Processes | Applications | Characteristics | Refs. |
|---|---|--|-------|
| Solvent extraction and separation of thorium (IV) | Separation of thorium | From chloride media by a Schiff base | [256] |
| Leaching and precipitation of thorium ions | Th separation from Cataclastic rocks | Abu Rusheid Area, South Eastern Desert, Egypt | [257] |
| Ion exchange materials | Process for purification of ²²⁵ Ac from thorium and radium radioisotopes | Evaluation of inorganic ion exchange materials | [258] |
| Adsorption | Thorium adsorption | Graphene oxide nanoribbons/manganese dioxide composite material | [259] |
| | Thorium adsorption | Oxidized biochar fibers derived from <i>Luffa cylindrica</i> sponges | [260] |
| | Sorption behavior of thorium (IV) | Activated bentonite | [261] |
| | Adsorption of thorium (IV) response surface modelling and optimization | Amorphous silica | [262] |
| | Th (IV) adsorption | Titanium tetrachloride-modified sodium bentonite | [263] |
| | Evaluation of single and simultaneous thorium and uranium sorption from water systems | Electrospun PVA/SA/PEO/HZSM5 nanofiber | [264] |
| Synthesis and characterization of poly(TRIM/VPA)-functionalized graphene oxide nanoribbon aerogel | Highly efficient capture of thorium (IV) | Th ions separation from aqueous solutions | [265] |
| Vinyl-functionalized silica aerogel-like monoliths | Selective separation of radioactive thorium | Thorium separation from monazite | [266] |
| Recyclable GO@chitosan-based magnetic nanocomposite | Selective removal of uranium | From an aqueous solution of mixed radionuclides of uranium, cesium and strontium | [267] |
| Study of kinetics, thermodynamics, and isotherms of Sr adsorption | Graphene oxide (GO) and (aminomethyl) phosphonic acid–graphene oxide (AMPA–GO) | Th ion separation | [268] |
| Bulk liquid membrane containing Alamine 336 as a carrier | Kinetic study of uranium transport | Selectivity of the transport | [269] |
| Continuous bulk liquid membrane technique | Thorium transport | Modeling and experimental validation | [270] |
| Kinetic and isotherm analyses using response surface methodology (RSM) | Thorium (IV) adsorptive removal from aqueous solutions | By modified magnetite nanoparticles | [271] |

The various compounds [272–277], technologies and processes [272–285] proposed recently, but also some previously used [286–293], can contribute to the construction of a scheme for recuperative separation of thorium on an integrated municipal platform for processing, mainly the waste of electrical devices (lamps, tubes and mantles) and

electronics, but also those from the construction industry (welding electrodes, metallic materials and alloys).

In the diagrams in Figure 14, several proposals for technical solutions for urban thorium mining are presented, starting from the raw material: waste that ends up at integrated municipal waste management platforms.

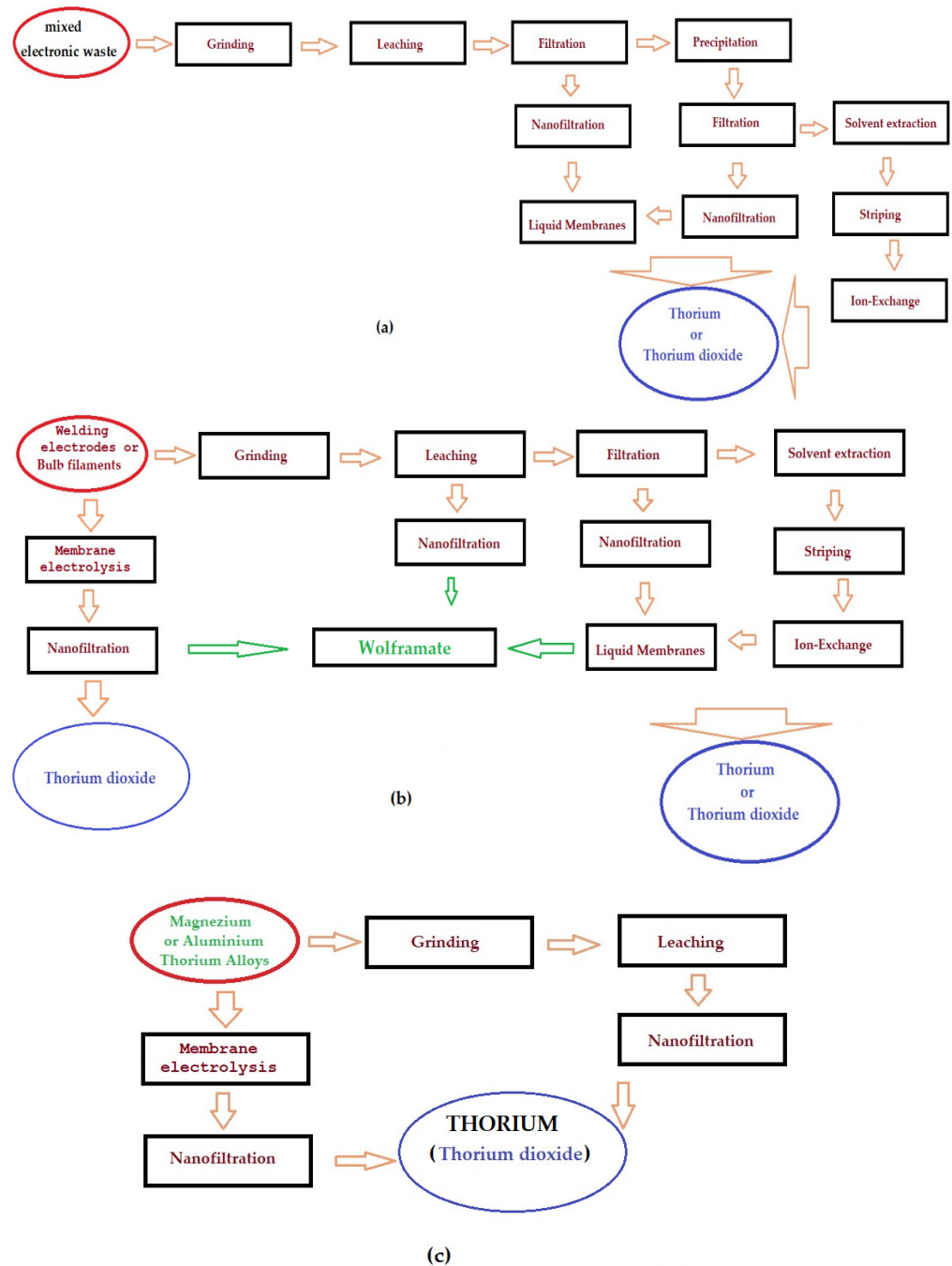


Figure 14. Scheme of proposals for the separation, recovery and recycling of thorium from waste of municipal waste management platforms: (a) valorization of thorium from unsorted waste; (b) recovery of thorium from electrodes and light bulb filaments; (c) valorization of thorium from magnesium or aluminum alloys.

Thus, in a first case (Figure 14a), it is assumed that the waste (assumed to be electrical and electronic waste or metal waste from metal construction materials) contains thorium and is totally unselected. This option would require the use of the classic scheme for the separation

of thorium from poor sources, including the following operations: leaching, filtration (sedimentation), precipitation, filtration, solubilization at Th^{4+} , extraction, re-extraction and ion exchange. In this operating scheme, membrane processes that can be integrated to increase the performance of the process are nanofiltration and/or liquid membranes.

A second case may be a raw material containing thorium alloyed with tungsten (filaments of incandescent lamps or other lighting fixtures, welding electrodes or building material alloys). Figure 14b shows the main operations, which consist of leaching, filtration, precipitation, filtration, nanofiltration, solubilization at Th^{4+} , extraction and stripping or membrane electrolysis and nanofiltration.

The third possible case would be a raw material consisting of various wastes from aluminum and magnesium alloys (Figure 14c). Such a waste content can be processed for thorium recovery by membrane electrolysis or acid attack, followed by filtration and nanofiltration.

The proposed operation schemes are highly dependent on the quality of the waste selection that reaches the integrated municipal waste for management and processing platforms.

Certainly, some selection criteria for residues containing thorium can be taken into account in order to approach a treatment scheme as close as possible to the technological flows dedicated to obtaining this element.

Thus, we can consider that the entire deposit where thorium components were found, with a concentration above 1%, can be treated according to the classic acidic or basic digestion schemes.

When the waste deposit receives metal waste (residues of welding electrodes, metal alloys of thorium with aluminum or magnesium), a nitric acid digestion scheme followed by extraction and/or ion exchange will be required.

10. Conclusions

Although a radioactive element and a promising raw material for nuclear power generation, thorium, being a fairly abundant metal (similar to lead), has surprising domestic uses: toothpaste, dental cement, crucibles for high-temperature work, filaments for incandescent bulbs, welding electrodes, aluminum or magnesium alloys, jewelry, sculptures, coats and goggles, devices working at high temperatures and lamps for electronic devices.

Current regulations consider thorium to be a carcinogenic element, and its bio-toxicity and impact on human health (affects internal organs and blood) require the recovery and recycling of thorium, especially in the case of waste from municipal management platforms.

Classical thorium recovery processes require acid or base attack on thorium-containing feedstock, filtration, re-solubilization, extraction and ion exchange.

A variety of complexants and transporters have been used for the separation and preconcentration of thorium (especially for its analysis), which leads to membrane applications (nanofiltration, colloidal ultrafiltration, liquid membranes, emulsion membranes) for thorium utilization.

Membrane processes can intervene throughout the thorium recovery and recycling stream, increasing the efficiency of the process and avoiding losses to the environment.

The proposed processing schemes for various wastes containing thorium highlight the possibility of removal, recovery and valorization of thorium, suggesting possible urban mining of this element.

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Abbreviations

| | |
|-----------|--|
| AAS | Atomic Absorption Spectrometry |
| BLM (MLV) | Bulk Liquid Membrane |
| CME | Chemically Modified Electrode |
| CV | Cyclic Voltammetry |
| D | Dialysis |
| DM | Membrane Distillation |
| E | Extraction |
| ED | Electrodialysis |
| EDI | Electro-deionization |
| ELM | Emulsion Liquid Membrane |
| F | Filtration |
| G | Grinding |
| HFLM | Hollow Fiber Liquid Membrane |
| HLM | Hybrid Liquid Membrane |
| ICP-AES | Inductively Coupled Plasma–Atomic Emission Spectrometry |
| ICP-MS | Inductively Coupled Plasma–Mass Spectrometry |
| ICP-OES | Inductively Coupled Plasma–Optical Emission Spectrometry |
| IE | Ion Exchange |
| M | Milling |
| MF | Microfiltration |
| MOF | Metal–Organic Framework |
| MUF | Micellar Ultra-Filtration system |
| N | Neutralization |
| NF | Nanofiltration |
| P | Precipitation |
| PV | Pervaporation |
| RE | Re-Extraction |
| REE | Rare Earth Element |
| RO | Reverse Osmosis |
| S | Striping |
| SG | Gas Separation |
| TBP | Tri-Butyl Phosphate |
| UF | Ultrafiltration |

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