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# Abiotic Stress of Crops

Molecular Genetics and Genomics

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Edited by  
Zhaoshi Xu

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# **Abiotic Stress of Crops: Molecular Genetics and Genomics**



# Abiotic Stress of Crops: Molecular Genetics and Genomics

Guest Editor

**Zhaoshi Xu**



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# About the Editor

## Zhaoshi Xu

Zhaoshi Xu, Professor, Head of the Research Group of Wheat Molecular Breeding, Institute of Crop Sciences, Chinese Academy of Agricultural Sciences. He was awarded the titles of Changbai Mountain's leading talents and Tianshan Talent. He mainly engages in analysis of molecular regulatory networks of drought-tolerance genes and the synergistic regulation mechanism between Fusarium crown rot resistance and drought tolerance in wheat. He presided more than 10 national projects, obtained more than 50 national invention patents, and published more than 100 papers. He was selected for the "Top 2% of Global Scientists" list in 2025.



## Article

# Genome-Wide Identification of the WRKY Gene Family in Four Cotton Varieties and the Positive Role of *GhWRKY31* in Response to Salt and Drought Stress

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**Abstract:** The WRKY gene family is ubiquitously distributed in plants, serving crucial functions in stress responses. Nevertheless, the structural organization and evolutionary dynamics of WRKY genes in cotton have not been fully elucidated. In this study, a total of 112, 119, 217, and 222 WRKY genes were identified in *Gossypium arboreum*, *Gossypium raimondii*, *Gossypium hirsutum*, and *Gossypium barbadense*, respectively. These 670 WRKY genes were categorized into seven distinct subgroups and unequally distributed across chromosomes. Examination of conserved motifs, domains, *cis*-acting elements, and gene architecture collectively highlighted the evolutionary conservation and divergence within the WRKY gene family in cotton. Analysis of synteny and collinearity further confirmed instances of expansion, duplication, and loss events among WRKY genes during cotton evolution. Furthermore, *GhWRKY31* transgenic *Arabidopsis* exhibited heightened germination rates and longer root lengths under drought and salt stress. Silencing *GhWRKY31* in cotton led to reduced levels of ABA, proline, POD, and SOD, along with downregulated expression of stress-responsive genes. Yeast one-hybrid and molecular docking assays confirmed the binding capacity of *GhWRKY31* to the W box of *GhABF1*, *GhDREB2*, and *GhRD29*. The findings collectively offer a systematic and comprehensive insight into the evolutionary patterns of cotton WRKYs, proposing a suitable regulatory framework for developing cotton cultivars with enhanced resilience to drought and salinity stress.

**Keywords:** WRKY; transgene; gene silencing; molecular docking; yeast one-hybrid

## 1. Introduction

The increase in worldwide temperatures presents hurdles for the growth and maturation of higher plants, as they encounter diverse abiotic stresses such as extreme temperatures, drought, and high salinity. These stresses not only hinder plant growth but also contribute to a gradual decline in global crop production [1–3]. To address these challenges and acclimate to adverse growth conditions, plants have evolved a series of intricate regulatory mechanisms [4,5]. In this complex system, stress receptor genes, stress-related transcription factors (TFs), and downstream response genes collaborate to create a sophisticated interconnected network [6–9].

The transcription factors (TFs), which play vital roles in regulating gene expression by binding to *cis*-acting elements upstream of the transcription start site (TSS), are critical components in the molecular network of stress response [10–13]. WRKY TFs are one of the most extensive in plants, playing crucial roles in diverse responses to abiotic stressors [14,15]. The WRKY family members have a highly conserved WRKY domain, consisting of the WRKYGQK motif and a CX<sub>4–5</sub>CX<sub>22–23</sub>HXH zinc-finger motif [16]. The WRKYGQK motif binds to the W box (TTGACC/T) on the promoter of downstream genes and regulates their

expression under various abiotic stresses [17,18]. WRKY TFs are typically classified into seven groups based on the number of WRKY domains (two domains in Group I proteins and one in the others) and the primary amino acid sequence (C<sub>2</sub>-H<sub>2</sub> structure in Group IIa-e proteins and C<sub>2</sub>HC structure in Group III proteins) [16,18].

Throughout the growth and development of higher plants, encountering stress is unavoidable, necessitating a robust defense mechanism to mitigate its impact. WRKY TFs associated with plant responses to abiotic stress have been extensively documented. For instance, *AtWRKY46* displays rapid induction under water stress conditions and regulates genes involved in reactive oxygen species (ROS) scavenging and cellular osmoprotection. Overexpression of *AtWRKY46* leads to heightened sensitivity to osmotic stress in soil-grown *Arabidopsis* [19]. Conversely, silencing *AtWRKY63* suppresses the expression of stress-responsive genes *RD29A* and *COR47*, thereby compromising drought tolerance in *Arabidopsis* seedlings [20]. The double mutants of *AtWRKY25* and *AtWRKY33* in *Arabidopsis* exhibit heightened susceptibility to salt stress, whereas overexpression of either *AtWRKY25* or *AtWRKY33* enhances salt stress tolerance [21]. The heterologous expression of wheat *TaWRKY146* in *Arabidopsis* enhances drought resistance by facilitating stomatal closure, elevating proline levels, and reducing malondialdehyde (MDA) accumulation [22]. In *Camellia sinensis*, upregulation of *CsWRKY2* under exogenous abscisic acid (ABA) and drought stress enhances drought tolerance by modulating downstream genes in the ABA signaling pathway [23]. Moreover, overexpression of *DgWRKY2/3/4* significantly promotes germination rate and root length in soybean and *Arabidopsis* seedlings under high salinity conditions [24–26]. Collectively, these findings underscore the pivotal role of WRKY family members as key regulators in plants under drought and salt stress scenarios [27].

Cotton, the most vital oilseed and fiber crop, accounts for 35% of the total fiber used worldwide. Previous studies have suggested that all diploid and tetraploid cotton species have evolved from a common ancestor, which subsequently diversified to produce nine groups, including the A-G, K, and AD genomes [28,29]. Cultivated cotton mainly consists of four cotton subspecies, including *G. arboreum* (A2-genome species), *G. raimondii* (D5-genome species), *G. hirsutum* (AD1-genome species), and *G. barbadense* (AD2-genome species). Encouragingly, due to the release of high-quality whole-genome sequences of the four cultivated species [30–33], the genome-wide analysis of the WRKY gene family is feasible and will help to elucidate its regulatory functions in stimuli responses such as osmotic, drought, and salt. Nevertheless, the precise and systematic investigation of WRKY genes in cultivated cotton is largely understudied, and the comprehensive functional validation of WRKYs in response to osmotic, drought, and salt stress remains incomplete [34].

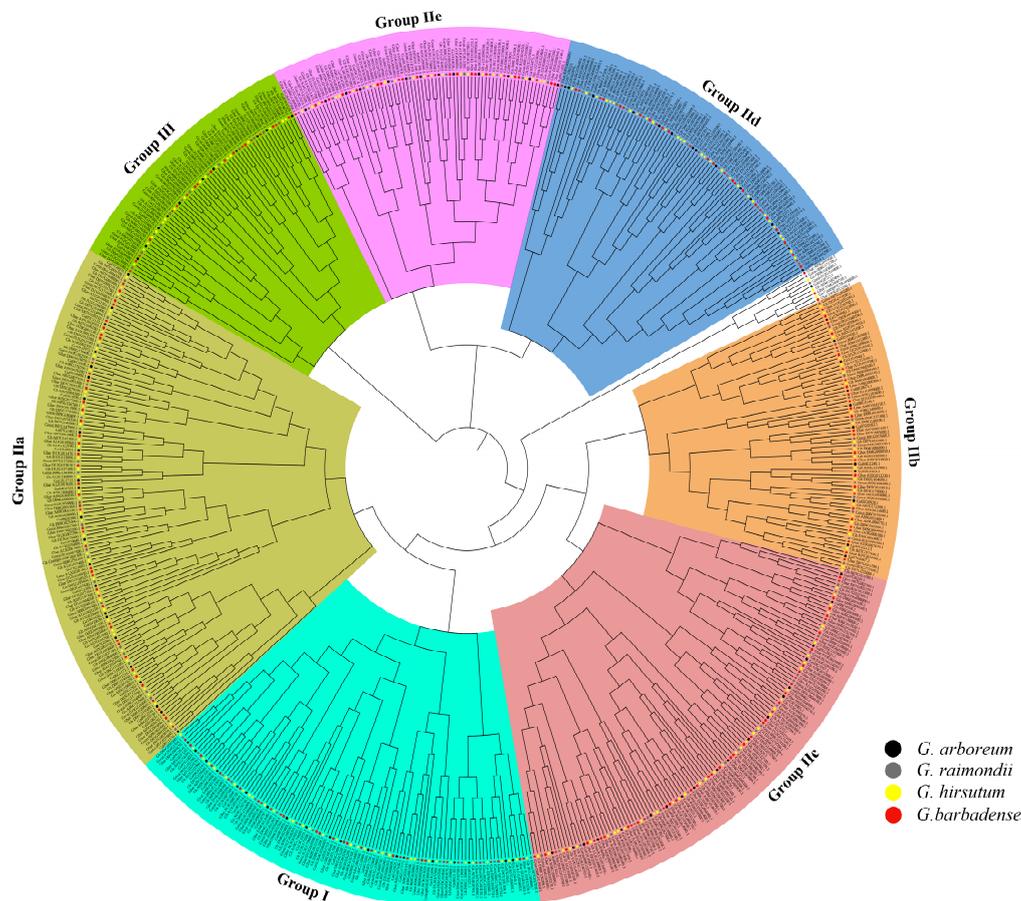
In this work, the phylogenetic tree, chromosomal distribution, cis-acting elements, conserved motifs and domains, and collinearity relationship of WRKYs were analyzed in cotton. We next confirmed that *GhWRKY31* was up-regulated under salt and drought stress. Functional assays involving heterologous expression and VIGS revealed that *GhWRKY31* contributed to salt and drought tolerance in both *Arabidopsis* and cotton. The drought- and salt-induced expression of genes, such as *GhABF1*, *GhABF2*, *GhDREB2*, *GhRD29*, *GhNAC4*, *GhP5CS*, and *GhSOS1*, was inhibited in *GhWRKY31*-silencing seedlings. Furthermore, the YIH assay confirmed the binding of *GhWRKY31* to the W box of *GhABF1*, *GhDREB2*, and *GhRD29*. Our results not only present a comprehensive analysis of the cotton WRKY gene family but also provide new insights for breeding cotton against abiotic stresses.

## 2. Results

### 2.1. Identification and Phylogenetic Analysis of the WRKY Gene Family

The shortest WRKY protein, Gbar\_D06G009260, comprises 144 amino acids, whereas the longest proteins, Gbar\_D12G019910 and Gorai.008G200800, consist of 1340 amino acids. The isoelectric point (pI) values vary from 4.72 (Gbar\_D11G016820) to 9.98 (Gorai.004G219300 and Gh\_D08G210300). The molecular weights (MW) range from 16,630.56 (Gorai.011G114200) to 151,574.34 (Gorai.008G200800). Subcellular localization analysis using the Plant-PLoc

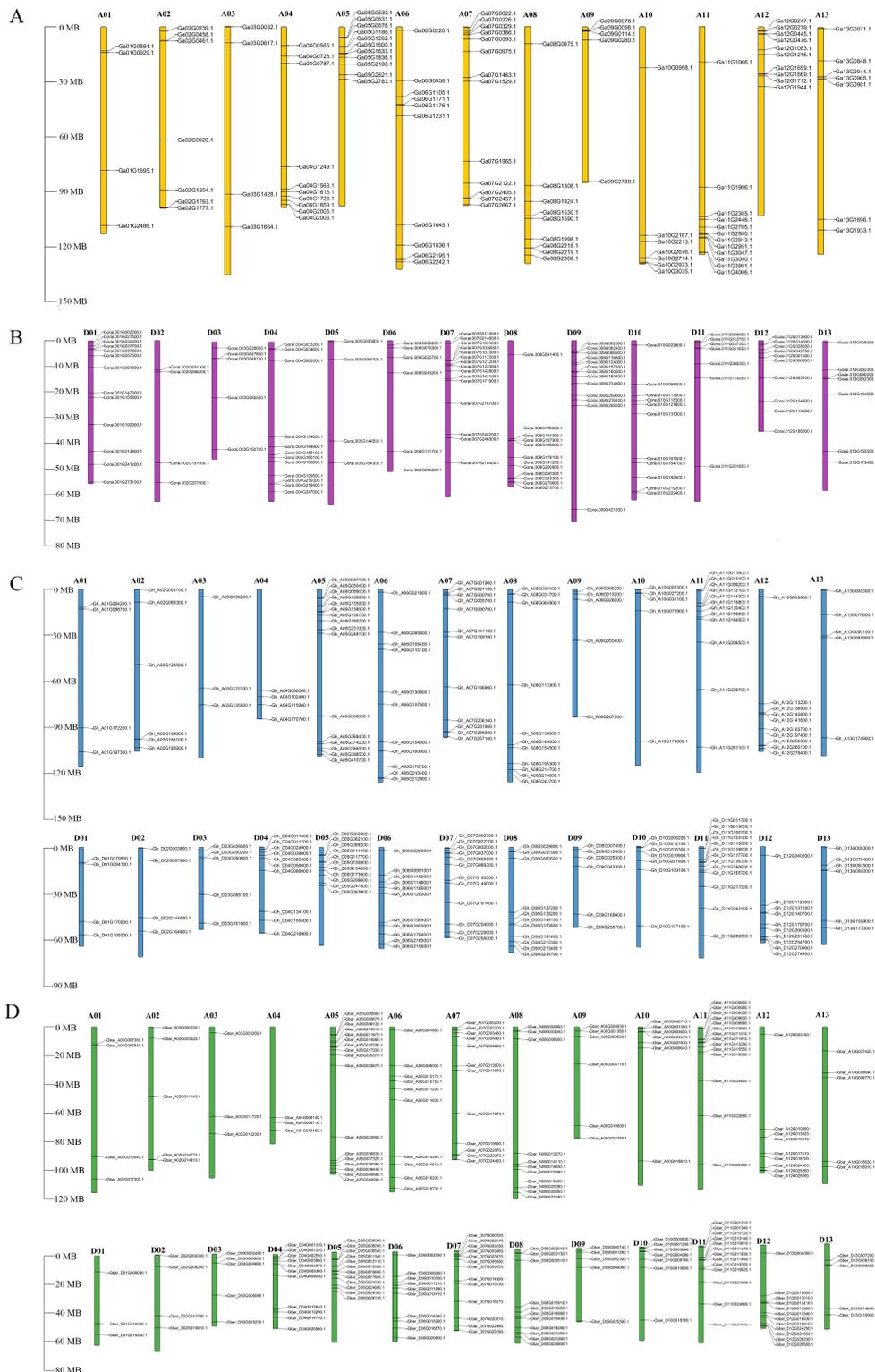
database indicated that WRKY proteins predominantly localize in the nucleus (Supplementary Table S1). A phylogenetic tree was constructed by employing the maximum likelihood method to elucidate the evolutionary relationships among 670 WRKY proteins in cotton (Figure 1). The WRKY proteins were categorized into seven clades, encompassing Group I (107), Group IIa-IIe (134, 74, 122, 86, and 75), and Group III (63), which were further distributed unevenly across seven subgroups.



**Figure 1.** A maximum likelihood (1000 bootstraps) phylogenetic tree of WRKY proteins in *G. arboreum*, *G. raimondii*, *G. hirsutum*, and *G. barbadense*. The 7 color modules represent the 7 subfamilies of WRKY proteins, and no background module indicates unclassified WRKY proteins.

## 2.2. Chromosome Location of WRKY Genes

To further analyze the distribution of WRKY genes, the chromosome location was mapped (Figure 2). In *Gossypium arboreum* and *Gossypium raimondii*, 109 and 119 WRKY genes were, respectively, localized in the At or Dt sub-genomes. Ga14G1656, Ga14G1714, and Ga14G1560 were identified within contigs (Figure 2A,B). The highest number of WRKY genes in *G. arboreum* was found on the A07 chromosome (13), whereas the lowest was on the A03 chromosome (4) (Figure 2A). For *G. raimondii*, the D01 and D09 chromosomes harbored the highest number of *GrWRKYs* (13), whereas the D02 and D05 chromosomes contained the fewest (4) (Figure 2B). In *Gossypium hirsutum*, chrA05 exhibited the highest WRKY gene count with 16 members, whereas chrA03 had the lowest with three *GhWRKYs*. Notably, Gh\_Contig00579G000600, Gh\_Contig00383G000300, and Gh\_Contig01109G001300 were located in contigs without chromosomal assignments (Figure 2C). In *Gossypium barbadense*, WRKY genes were mapped across chrA01 to chrA13 (four to seventeen genes per chromosome) and chrD01 to chrD13 (three to thirteen genes per chromosome) (Figure 2D). Consequently, WRKY family members were distributed disparately among cotton chromosomes.



**Figure 2.** Chromosomal distribution of WRKY genes in (A) *G. arboreum*, (B) *G. raimondii*, (C) *G. hirsutum*, and (D) *G. barbadense*. The chromosome number is shown at the top of each chromosome, and the scale for the length of chromosomes is megabases (Mb).

### 2.3. Conserved Motifs and Domains, Cis-Acting Elements, and Gene Structure of WRKYs

To elucidate the detailed characteristics of WRKYs, an analysis encompassing gene structure, conserved motifs, domains, and *cis*-acting elements was conducted. Ten conserved motifs were identified in WRKY proteins across four cotton strains. Notably, the

majority of WRKY proteins exhibited more than two motifs, with exceptions noted in five GaWRKY, 15 GrWRKY, and 30 GbWRKY proteins. Consistently, motifs 1 and 2 were universally present in all WRKY members (Supplementary Table S2). Furthermore, the identification of at least one WRKYGQK domain in WRKY proteins was observed, with 107 Group I WRKY proteins containing two WRKYGQK domains (Figure 3). Additionally, the presence of basic region-leucine zipper (bZIP) domains (PF00170) was detected in 20 WRKY proteins, and plant\_zn\_clust (PF10533) structures were predominantly situated at the N-terminus of 80 WRKY members (Figure 3). These findings underscore the evolutionary stability and diversity of WRKY proteins within the cotton genome.

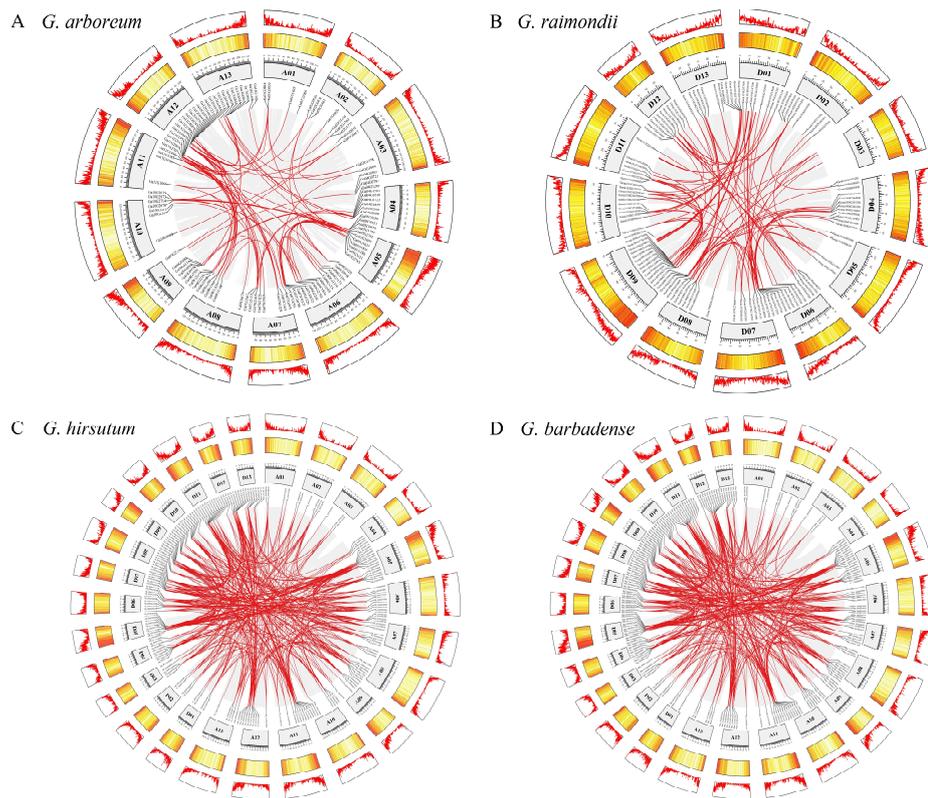
To further investigate the biological function of WRKYs, we identified *cis*-acting elements in the 5'-upstream regions of 2000 bp (Supplementary Table S3). A total of 12 different functions of *cis*-elements were identified, and these *cis*-acting elements related to stress responses were found abundantly in the promoter of WRKYs (Figure 3). The *cis*-acting elements can be divided into three categories: hormone-responsive sites (auxin-responsive element, gibberellin-responsive element, and MeJA-responsive element), transcription factor binding sites (MYB binding site, MYBHv1 binding site, and WRKY binding site), and growth and development sites (MYB binding site involved in drought inducibility, light responsiveness, flavonoid biosynthesis, low-temperature-induced responses, and defense- and stress-induced responses) (Figure 3).

#### 2.4. Duplication and Collinearity of GaWRKYs, GrWRKYs, GhWRKYs, and GbWRKYs

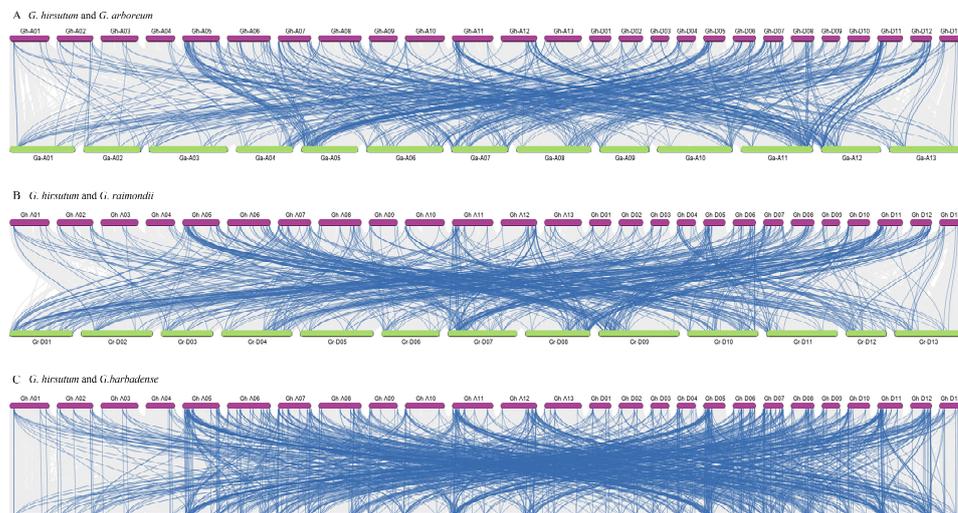
The expansion pattern of WRKYs was elucidated through the construction of a duplication circos plot. In the diploid genomes of *Gossypium raimondii* and *Gossypium arboreum*, 88 and 102 WRKYs, respectively, were identified as originating from whole-genome duplication (WGD) or segmental duplication events (Figure 4A,B). Ga05G0631, Ga08G2219, Gorai.001G037800, Gorai.004G219400, and Gorai.009G062400 were tandem duplications and were distributed on chromosomes A05, A08, D01, D04, and D09, respectively (Figure 4A,B). Moreover, 17 and 14 WRKY genes were dispersed within the At or Dt sub-genomes (Supplementary Table S4). In *Gossypium hirsutum*, a substantial proportion (97.66%) of WRKYs were attributed to WGD or segmental duplication events. Among these, the WRKY genes Gh\_A08G214800, Gh\_D05G062100, and Gh\_D08G210400 underwent tandem duplication, while Gh\_D04G011700 and Gh\_D07G055500 were dispersed, with respective locations on chromosomes A08, D04, D05, D07, and D08 (Figure 4C) (Supplementary Table S4). A total of 213 WRKYs had undergone whole-genome duplication (WGD) or segmental duplication events in *G. barbadense*. Gbar\_A08G020300 (ChrA08), Gbar\_D08G021260 (ChrD08), Gbar\_A03G013230 (ChrA03), and Gbar\_A11G020420 (ChrA11) appeared as tandem duplications or dispersion (Figure 4D) (Supplementary Table S4).

The hybridization event leading to the evolution of *Gossypium hirsutum* and *Gossypium barbadense* involved *Gossypium arboreum* (an A-genome species) and *Gossypium raimondii* (a D-genome species). A syntenic map was generated to investigate the evolutionary connections of WRKY genes between *G. hirsutum* and three other species (Supplementary Table S5). Through MCScan analysis, 571, 621, and 1044 duplicated gene pairs were identified between *G. hirsutum* and *G. arboreum*, *G. hirsutum* and *G. raimondii*, and *G. hirsutum* and *G. barbadense*, respectively (Figure 5). Notably, in *G. arboreum* and *G. raimondii*, the highest number of collinear relationships occurred on ChrA11 (85) and ChrD07 (98), with ChrA09 and ChrD05 exhibiting the fewest collinear relationships at 17 and 21, respectively (Figure 5A,B). Additionally, *G. barbadense* displayed 87, 75, 73, 65, and 78 collinear relationships on chromosomes A05, A11, D05, D07, and D11 among the 1044 gene pairs (Figure 5C). In short, the aforementioned results indicate an uneven distribution of collinear relationships across chromosomes, suggesting occurrences of deletion and duplication events within the WRKY gene family.





**Figure 4.** Duplicated WRKY genes based on the collinearity of all chromosomes in (A) *G. arboreum*, (B) *G. raimondii*, (C) *G. hirsutum*, and (D) *G. barbadense*. The number of genes is presented by a heatmap and a linear map, of which the red presents regions of high gene density, and yellow indicates a low-density region. The WRKY gene pairs with a syntenic relationship are linked by red lines, and the scale on the boxes above is in megabases (Mb).

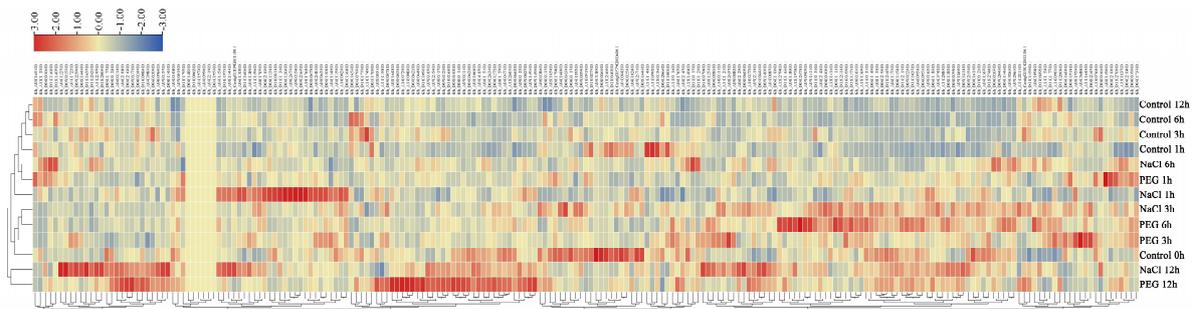


**Figure 5.** Synteny analysis of WRKY genes. Orthologous relationships between (A) *G. hirsutum* and *G. arboreum*, (B) *G. hirsutum* and *G. raimondii*, and (C) *G. hirsutum* and *G. barbadense* were investigated. Blue lines highlight duplicated WRKY gene pairs, while the gray lines in the background indicate all collinear relationships.

### 2.5. Expression Profiling and qRT-PCR Verification of GhWRKY Responses to Salt and Drought Stress

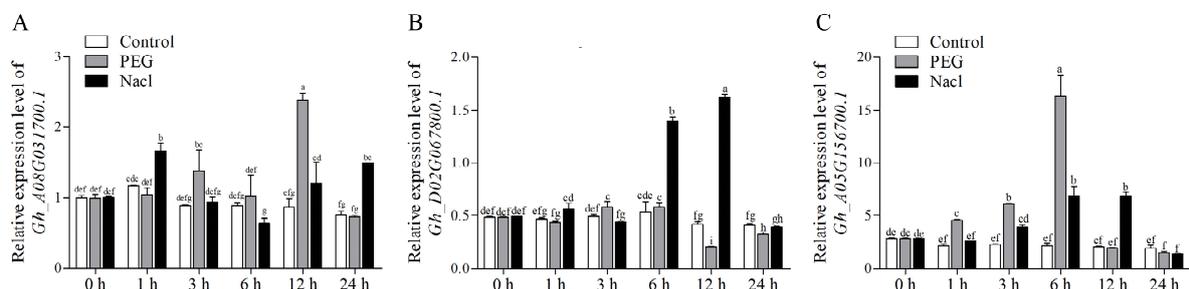
Transcriptome analysis of *Gossypium hirsutum* revealed distinct expression patterns of GhWRKYs under salt and drought stress conditions. Notably, following 3 h of salt treatment

and 3 to 6 h of PEG treatment, a cluster of WRKY genes, such as Gh\_A05G156700.1, Gh\_D03G026500.1, Gh\_A05G368400.1, and Gh\_A06G109400.1, displayed elevated expression levels. Moreover, Gh\_A05G156700.1, Gh\_D02G067800.1, Gh\_A08G149000.1, Gh\_D08G210300.1, and Gh\_D08G191400.1 exhibited peak expression levels after 1 and 6 h of salt treatment or 1 h of PEG treatment. Additionally, Gh\_A08G031700.1, Gh\_A09G013200.1, and Gh\_D03G050200.1 reached their highest expression levels after 12 h of salt treatment or 12 h of PEG treatment. These findings indicate that a subset of *GhWRKYs* are responsive to salt and drought stress in *G. hirsutum* (Figure 6).



**Figure 6.** A cluster heatmap of expression patterns of *GhWRKYs* in response to NaCl and PEG treatment. Each line represents the expression of WRKY genes in different treatments, and the expression values in the row scale were normalized. The color scale varies from red to blue, indicating the high or low expression of each WRKY gene.

Next, qRT-PCR was employed to assess the expression dynamics of selected *GhWRKYs* in response to PEG and NaCl treatments. As expected, Gh\_A08G031700.1, Gh\_D02G067800.1, and Gh\_A05G156700.1 exhibited distinct expression patterns in the presence of PEG and NaCl solutions (Figure 7). Specifically, Gh\_A08G031700.1 demonstrated sensitivity to both PEG and NaCl treatments, with upregulation observed at 3 and 12 h under PEG treatment, and at 1, 12, and 24 h under NaCl treatment ( $p < 0.05$ ) (Figure 7A). Gh\_D02G067800.1 responded primarily to salt stress, showing a significant increase in expression at 6 and 12 h under NaCl treatment ( $p < 0.05$ ) (Figure 7B). Moreover, Gh\_A05G156700.1 displayed enhanced expression levels at 1, 3, and 6 h following PEG treatment ( $p < 0.05$ ), and similarly exhibited upregulation after NaCl treatment at 3, 6, and 12 h (Figure 7C). Thus, Gh\_A05G156700.1 was chosen for subsequent functional validation studies under salt and drought stress conditions.



**Figure 7.** The expression levels of 3 WRKY genes in the leaves of *G. hirsutum* seedlings under PEG and NaCl stress. Gh\_A08G031700.1 expression in the control group was set to 100% at 0 h. (A–C) represent the relative expression level of Gh\_A08G031700.1, Gh\_D02G067800.1, and Gh\_A05G156700.1, respectively. Data represent the means  $\pm$  SE from three independent experiments. The error bar represents the standard error of the mean, and the lowercase letter above the bar indicates a significant difference ( $p < 0.05$ ).

### 2.6. GhWRKY31 Improved the Tolerance of Transgenic Arabidopsis to Drought and Salt Stress

qRT-PCR analysis confirmed the upregulation of *GhWRKY31* (Gh\_A05G156700.1) in response to both drought and salt stress. Subsequently, a study was conducted to explore the role of *GhWRKY31* by assessing the drought and salt stress tolerance of transgenic *Arabidopsis* plants overexpressing *GhWRKY31* following homozygous molecular characterization (Supplementary Figure S1). Both seed germination and root length in WT *Arabidopsis* were significantly suppressed by mannitol and NaCl treatments. The germination rates of WT plants were notably reduced to 83% under 100 mM, 76% under 200 mM, and 58% under 300 mM mannitol treatment (Figure 8A,B), and were suppressed to 56% and 36% under 100 mM and 150 mM NaCl treatment, respectively (Figure 8E,F). Meanwhile, the root length of WT was also inhibited under 100 mM (2.84 cm), 200 mM (2.23 cm), and 300 mM (1.62 cm) mannitol (Figure 8C,D), and was suppressed to 2.24 and 1.84 cm under 50 mM and 100 mM salt conditions, respectively (Figure 8G,H). On the contrary, the germination rates and root length of *GhWRKY31* OE lines were significantly higher than those of WT. The germination rates were nearly 100%, 100%, and 90% under 100 mM, 200 mM, and 300 mM mannitol. Under 50 mM, 100 mM, and 150 mM NaCl solution, the germination rates of *GhWRKY31* OE lines were almost up to 100% (Figure 8B,F). In addition, the root length of OE lines was 3.36 cm, 3.14 cm, 2.33 cm, 3.24 cm, and 2.37 cm under 100 mM, 200 mM, 300 mM mannitol, 50 mM, and 100 mM NaCl treatments, respectively. These measurements were significantly longer than those of WT ( $p < 0.05$ ) (Figure 8D,H). Hence, the heterologous expression of *GhWRKY31* in *Arabidopsis* significantly improved drought and salt tolerance.

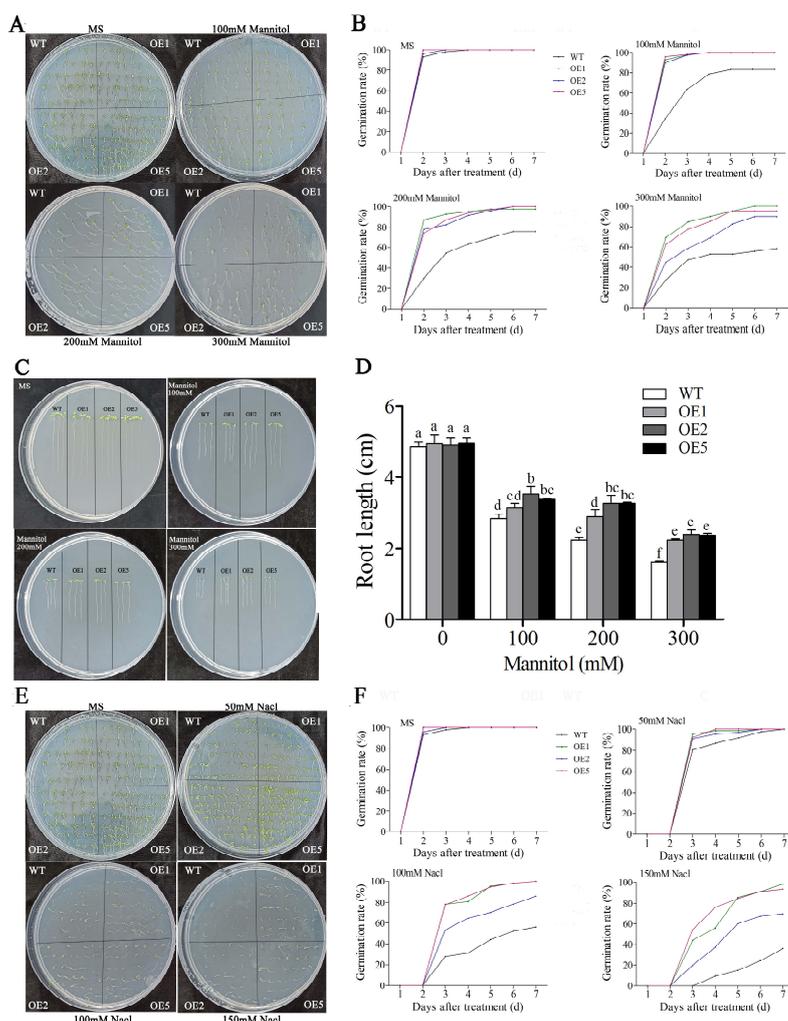
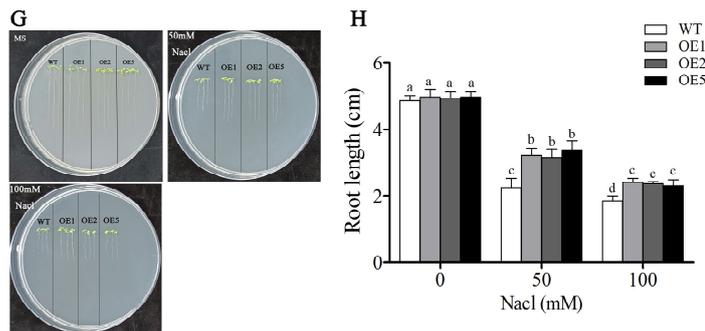


Figure 8. Cont.



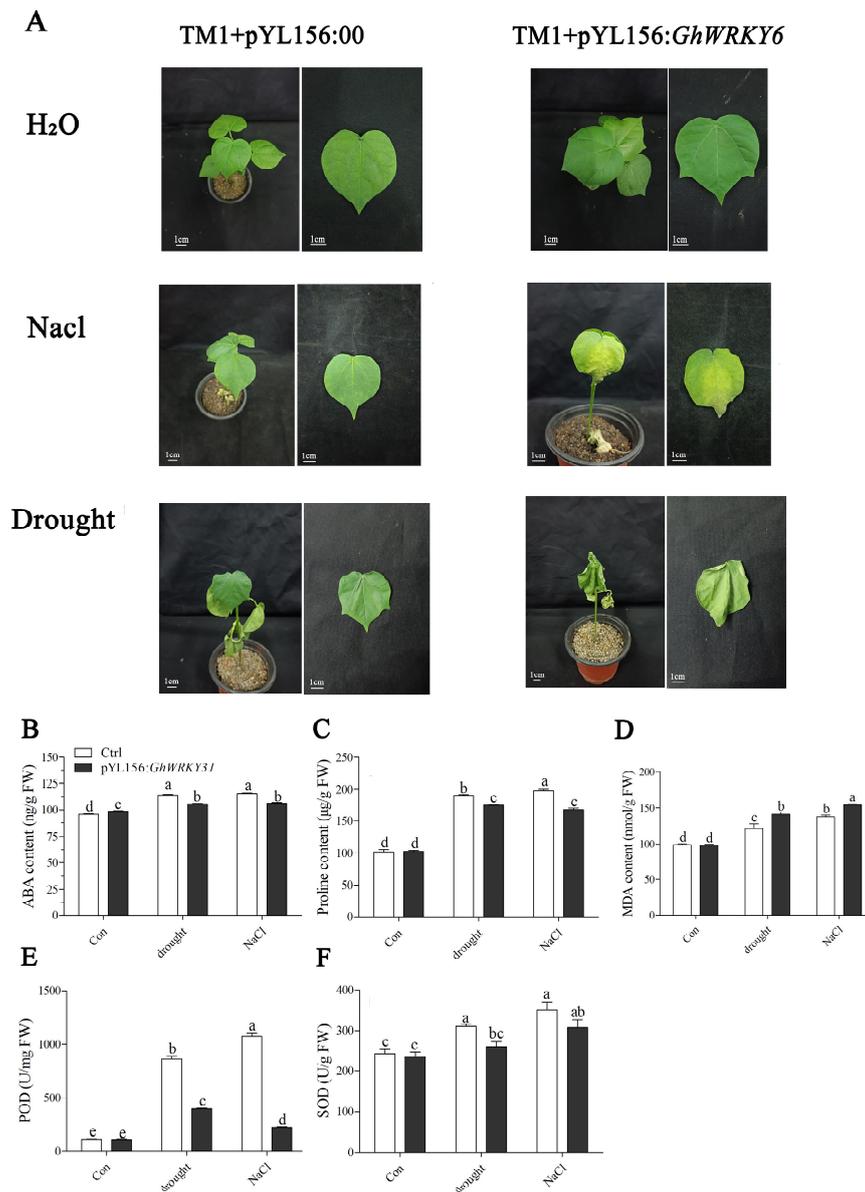
**Figure 8.** The germination rates and root length of *GhWRKY31* OE lines and WT under mannitol and salt conditions. (A,E) Phenotypic comparison of seedlings grown on 1/2 MS with 0 mM, 100 mM, 200 mM, and 300 mM mannitol or 0 mM, 50 mM, 100 mM, and 150 mM NaCl after 7 days. (B,F) Germination rates of seedlings grown under the conditions described in (A,E). (C,D,G,H) Phenotypic comparison and root length of seedlings grown on 1/2 MS with 0 mM, 100 mM, 200 mM, and 300 mM mannitol or 0 mM, 50 mM, and 100 mM NaCl after 7 days. Data represent the means  $\pm$  SE from three independent experiments. The lowercase letters above the bar indicate the significant difference ( $p < 0.05$ ).

### 2.7. VIGS of *GhWRKY31* Reduced Drought and Salt Tolerance in *G. hirsutum*

To further elucidate the function of *GhWRKY31* in *G. hirsutum*, VIGS was employed to decrease the transcription level of *GhWRKY31*. qRT-PCR was used to evaluate the silencing efficiency of *GhWRKY31*. The expression level of *GhWRKY31* was reduced by approximately 75% in pYL156: *GhWRKY31* plants (Supplementary Figure S2). As expected, no stress-related phenotype was observed in the seedlings of 'TM1+pYL156: 00' and 'TM1+pYL156: *GhWRKY31*' under water conditions. Nevertheless, the leaves of 'TM1+pYL156: *GhWRKY31*' seedlings exhibited shrinkage and yellowing characteristics compared with 'TM1+pYL156: 00' (empty vector seedlings) under 200 mM NaCl treatment (Figure 9A). Meanwhile, after a 14-day water-deficit treatment, the leaves of 'TM1+pYL156: 00' showed a healthier phenotype compared to 'TM1+pYL156: *GhWRKY31*' seedlings. The latter exhibited symptoms such as shrinkage, rolling, wilting, and death (Figure 9A). Additionally, the ABA and proline contents accumulated less in 'TM1+pYL156: *GhWRKY31*' seedlings than in the control group seedlings under drought and salt stress. Meanwhile, MDA accumulation was higher in 'TM1+pYL156: *GhWRKY31*' seedlings compared to the seedlings in the control group. Moreover, the activities of peroxidase (POD) and superoxide dismutase (SOD) were higher in plants in the control group than in 'TM1+pYL156: *GhWRKY31*' plants under drought and salt stress (Figure 9B–F).

### 2.8. *GhWRKY31* Regulates the Expression of Salt- and Drought-Induced Genes

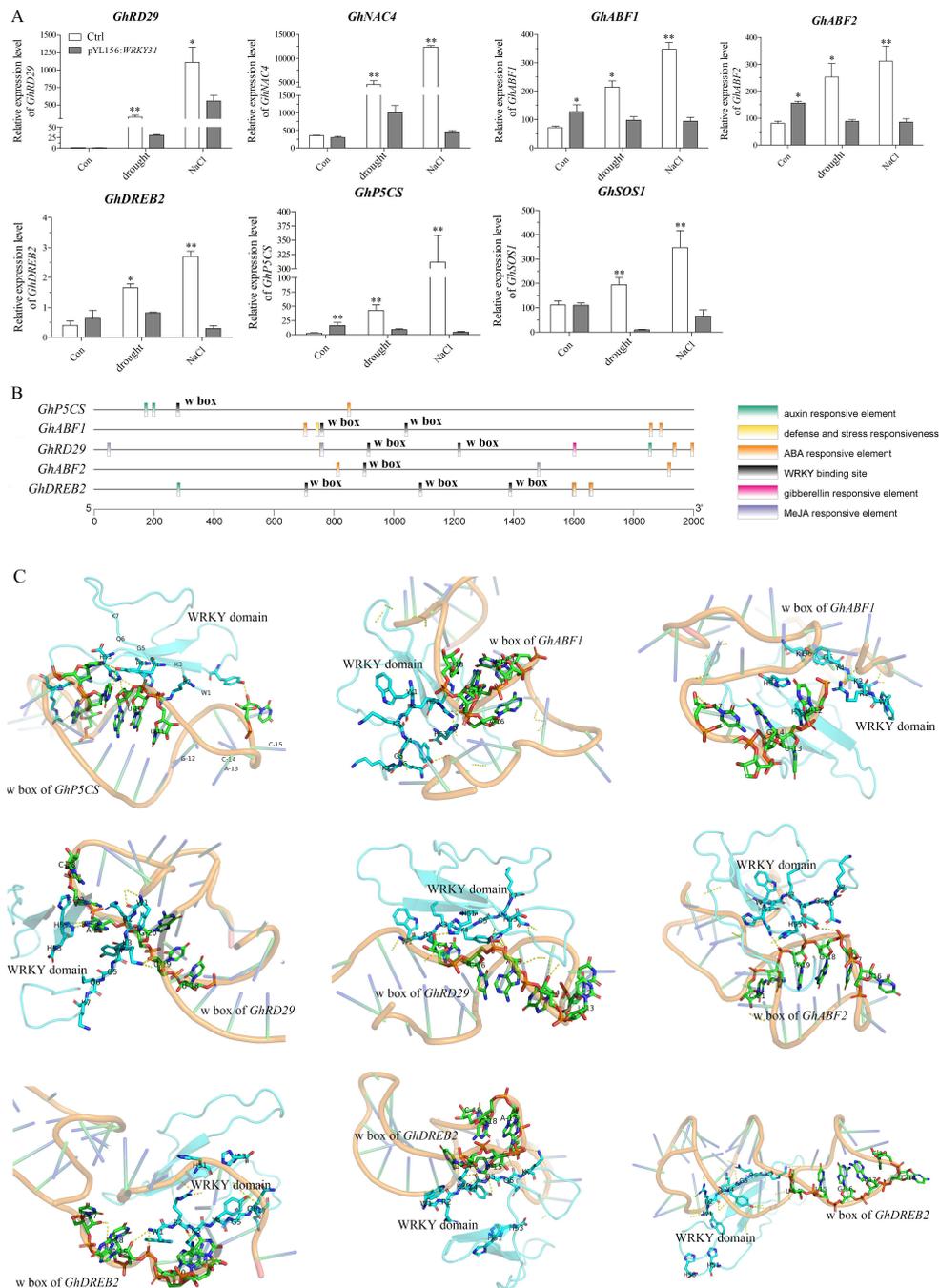
The *GhWRKY31*-silenced cotton seedlings exhibited heightened sensitivity to drought and salt stress. To elucidate the target genes of *GhWRKY31* in response to drought and salt in cotton, we conducted qRT-PCR analysis to determine whether *GhWRKY31* is essential for the expression of ABA-, drought-, and salt-induced genes. The expression levels of *GhRD29*, *GhNAC4*, *GhABF1*, *GhABF2*, *GhDREB2*, *GhP5CS*, and *GhSOS1* were induced in the control group under drought and NaCl stress. However, silencing *GhWRKY31* resulted in a decrease in the induction of the seven genes under drought and salt stress. Specifically, the expression levels of *GhABF1*, *GhABF2*, *GhP5CS*, and *GhSOS1* were suppressed to levels lower than those observed in the control group (Figure 10A).



**Figure 9.** *GhWRKY31*-VIGS cotton seedlings exhibit increased sensitivity to drought and salt stress. (A) Leaf phenotypes showed shrinkage, yellowing, wilting, and death under water deficit conditions and 200 mM NaCl treatment. (B) ABA, (C) proline, (D) MDA content, (E) POD, and (F) SOD activity under water deficit conditions and 200 mM NaCl treatment. Data represent the means  $\pm$  SE from three independent experiments. The lowercase letter above the bar indicates the significant difference ( $p < 0.05$ ).

Further analysis focused on the identification of the W box (TTGACC/T) motif, which is crucial for the specific DNA binding of WRKY family members in the promoter regions of the aforementioned seven genes. We found that one, two, two, one, and three W boxes (TTGACC) were located in the promoter regions of *GhP5CS*, *GhABF1*, *GhRD29*, *GhABF2*, and *GhDREB2*, respectively (Figure 10B). Subsequently, molecular docking studies were performed using HDOCK v1.1 and PyMOL 2.5.0 software to explore potential interaction sites between the *GhWRKY31* protein and the W box motifs of these five genes. The confidence scores for the interactions of *GhWRKY31* with *GhP5CS*, *GhABF1*, *GhRD29*, *GhABF2*, and *GhDREB2* were determined as 0.8611, 0.9525/0.9050, 0.7619/0.8815, 0.8930, and 0.8576/0.8654/0.9492, respectively. The results indicated the formation of stable

complexes between the WRKY domain of GhWRKY31 and the adjacent W box sequences, sustained by robust hydrogen bond interactions (Figure 10C).

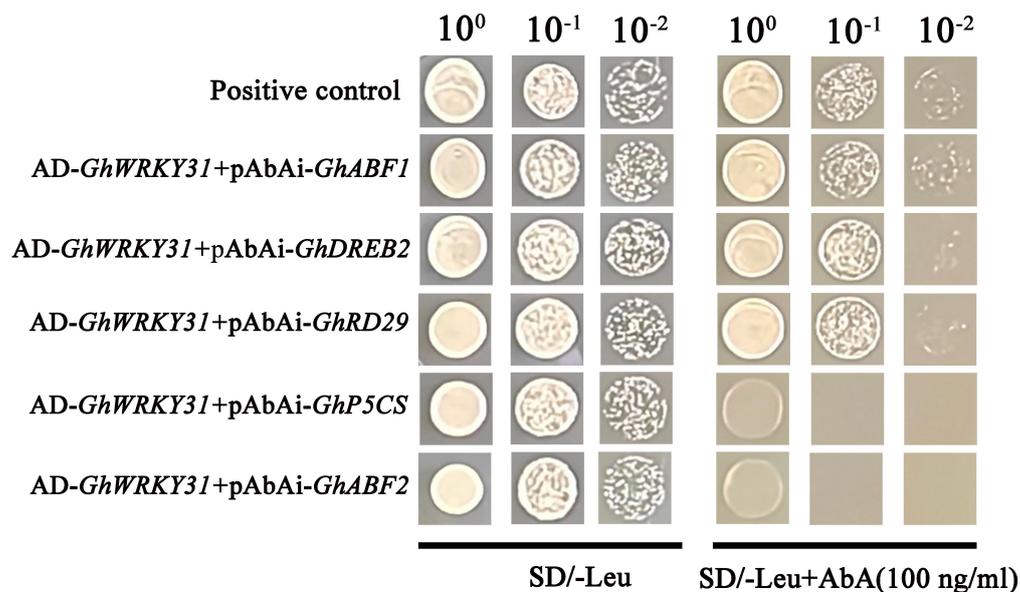


**Figure 10.** The gene expression levels induced by salt and drought were regulated by *GhWRKY31* in *G. hirsutum* leaves. (A) Silencing of *GhWRKY31* inhibits salt- and drought-induced gene expression. The data are shown as the mean  $\pm$  SD from three independent biological replicates. (\*\*,  $p < 0.01$ ; \*,  $p < 0.05$ ; Student's *t*-test). (B) The *cis*-acting elements are located 2000bp upstream of the *GhP5CS*, *GhABF1*, *GhRD29*, *GhABF2*, and *GhDREB2* promoters. (C) The 3D structure of molecular docking for the binding of the *GhWRKY31* protein and the W boxes of *GhP5CS*, *GhABF1*, *GhRD29*, *GhABF2*, and *GhDREB2*. The yellow dashed line represents hydrogen bonding interactions.

### 2.9. *GhWRKY31* Binds to the Promoter Regions of *GhABF1*, *GhDREB2*, and *GhRD29*

The Yeast one-hybrid (Y1H) assay was employed to further investigate the binding affinity of the *GhWRKY31* protein to *GhP5CS*, *GhABF1*, *GhABF2*, *GhDREB2*, and *GhRD29*.

Firstly, we confirmed that 100 ng/mL of AbA could inhibit the self-activation of pAbAi-bait. The results showed that the transformation yeast containing the combination of GhWRKY31 with the W box (TTGACC/T) of *GhABF1*, *GhDREB2*, *GhRD29*, *GhP5CS*, and *GhABF2* grew on SD/-Leu medium. The GhWRKY31 protein specifically bound to the fragment that contained the core TTGACC/T motif of *GhABF1*, *GhDREB2*, and *GhRD29* in the SD/-Leu+AbA (100 ng/mL) medium. These findings support the conclusion that GhWRKY31 directly binds to the promoter regions of *GhABF1*, *GhDREB2*, and *GhRD29* (Figure 11).



**Figure 11.** Y1H assay of GhWRKY31 with *GhABF1*, *GhDREB2*, *GhRD29*, *GhP5CS*, and *GhABF2*. The promoters of *GhABF1*, *GhDREB2*, *GhRD29*, *GhP5CS*, and *GhABF2*, which contain the putative TTGACC/T transformation (W box), were constructed in the pAbAi vector. The ORF of *GhWRKY31* was constructed in the pGADT7 vector. Yeast cells were diluted with distilled water ( $10^0$  to  $10^{-2}$ ) and cultured on SD/-Leu medium supplemented with 100 ng/mL of Aureobasidin A (AbA).

### 3. Discussion

WRKY TFs are ubiquitously present throughout the plant kingdom, representing a remarkably conserved protein family. Currently, genome-wide studies of the WRKY gene family have been extensively conducted [14,15], revealing their crucial involvement in responding to various abiotic stressors [8,35,36]. Cotton, one of the most important economic crops, has remained relatively scarcely researched with regard to its WRKY gene family. Hence, the study investigates the evolution and function of WRKY genes in cotton based on analysis of genome-wide duplication, heterogenous expression in *Arabidopsis*, VIGS in *G. hirsutum* 'TM1', molecular docking, and Y1H.

In this study, 112 *GaWRKYs*, 119 *GrWRKYs*, 217 *GhWRKYs*, and 222 *GbWRKYs* were identified. Since cotton underwent hybridization and polyploidization 1.5 Mya, the number of WRKY genes in tetraploid cotton has increased to be ~2-fold greater than that of diploid cotton [37]. Next, the 670 WRKYs were divided into seven subgroups (Figure 1) based purely on phylogenetic data [38] and were unevenly distributed among different subfamilies. The analysis of chromosomal positioning showed the absence of WRKY genes on chrD01, chrD09, and chrD10, and the acquisition of WRKY genes on chrD05, chrD06, and chrD11 during the formation of tetraploid cotton. These findings provide valuable insights into the evolutionary dynamics of the WRKY gene family in cotton.

To further elucidate the evolutionary relationships among WRKY TFs in cotton, an analysis of the conserved motifs and domains of WRKY genes was conducted. Each WRKY gene typically exhibited one or two conserved WRKYGQK domains and a distinctive zinc-

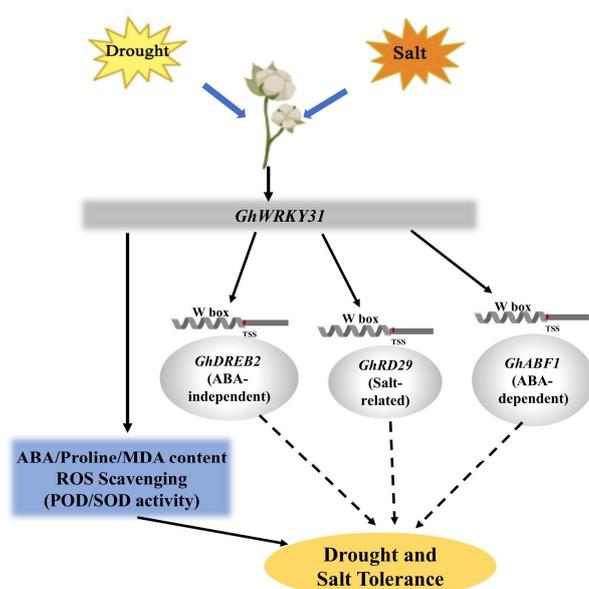
finger structure at the C-terminus, comprising consecutive conserved motifs (Figure 3). The WRKY domain was predominantly situated at the central region of the protein sequences, with consistent motifs and domains observed within the same subgroup across the four cotton species analyzed. These findings suggest a high degree of conservation among WRKY genes throughout cotton evolution. Nonetheless, sequence similarity in regions outside the motifs and domains of WRKY genes was comparatively lower, indicating complexity and diversity in the evolutionary trajectory of cotton WRKY genes (Figure 3). *Cis*-acting elements located in the promoter region are recognized for their crucial role in gene expression regulation, offering insights into gene functionality. The *cis*-acting elements of WRKYs encompass phytohormone response elements, development and stress-related elements, as well as transcription factor binding sites (Figure 3). These elements are likely to influence hormonal responses, abiotic stress responses, and interactions with transcription factors. Notably, similar *cis*-acting elements have been documented in other plant species such as *Vitis vinifera* [39], *Calohyppnum plumiforme* [40], and *Chrysanthemum lavandulifolium* [41]. Furthermore, the structural analysis revealed significant variations in the proportions of UTRs and CDSs among the different cotton species, potentially attributed to homologous recombination events resulting from the artificial domestication of cotton [30,42].

In general, WGD, segmental duplication, tandem duplication, and transposon-induced duplication represent primary mechanisms capable of modifying the function, evolution, and configuration of TFs, leading to the emergence of novel subfamilies [43–45]. Our study revealed that the frequencies of WGD or segmental duplication events exceeded those of tandem duplication, underscoring the fact that the amplification and evolution of WRKY genes are predominantly driven by WGD or segmental duplication, with tandem duplication playing a secondary role (Figure 4). Likewise, these evolutionary events were also found in mung bean [10], wheat [15], and cherry [46], with WGD and segmental duplication events exerting a primary effect. In subsequent investigations into the evolutionary mechanisms of WRKY genes, synteny analysis revealed numerous collinear WRKY gene pairs between *G. hirsutum* and three other species (Figure 5). This conservation may be attributed to the stability in gene number and arrangement during the 1.5 million years of hybridization, polyploidy, and evolutionary processes in cotton. Nonetheless, partial WRKY genes were lost during evolution, potentially as a result of the artificial domestication process spanning 8000 years. The retention of these preserved WRKY genes is believed to significantly enhance cotton's survival and adaptability, as well as the quality and length of its fibers [30,33].

Currently, WRKY TFs have been identified to play a crucial role in the regulation of plant responses to drought and salt stress [20,23–25]. Our investigations indicated that a cluster of WRKY genes exhibited differential expression in response to PEG or NaCl treatment (Figure 6), and the *GhWRKY31* emerged as a potential candidate gene associated with salt and drought stress response in *Gossypium hirsutum* (Figure 7). Subsequently, to delve deeper into the function of *GhWRKY31*, the Super1300: *WRKY31* vector was engineered, leading to the generation of homozygous *GhWRKY31* transgenic *Arabidopsis* lines. Assessment of phenotypic traits indicated that under stress conditions, the germination rate and root length of the WT were notably inferior to those of the *GhWRKY31* OE lines, suggesting that *GhWRKY31* was found to confer dual resistance to salt and drought stress in *Arabidopsis* (Figure 8). Similarly, the overexpression of *GhWRKY39-1* in *Nicotiana benthamiana* not only heightened salt stress tolerance but also conferred enhanced resistance to bacterial pathogen infection [47]. Transgenic tobacco overexpressing *GhWRKY25* exhibited improved seedling tolerance to salt stress while displaying decreased resistance to mannitol-induced osmotic and drought stress [48]. Furthermore, numerous WRKY genes have been documented to actively respond to osmotic, drought, and salt stress in various plant species. For example, the overexpression of *MbWRKY5* [49], *MfWRKY40* [50], *CmWRKY10* [51], and *TaWRKY93* [52] in *Arabidopsis* or tobacco led to heightened resistance to osmotic and high-salinity stress compared to the WT, whereas overexpression of *ZmWRKY17* [53], *CdWRKY50* [54], and

*VvWRKY50* [55] resulted in susceptibility under PEG, mannitol, or NaCl treatment. These outcomes underscore the pivotal role of heterologous WRKY gene expression in diverse abiotic stress responses in *Arabidopsis* and tobacco.

To enhance the understanding of *GhWRKY31*'s function, drought and salt tolerance assessments were conducted in *G. hirsutum* utilizing VIGS technology. The leaves of *GhWRKY31*-silenced cotton seedlings exhibited heightened sensitivity to water-deficit and NaCl conditions. Reduced levels of ABA and proline content, coupled with elevated MDA accumulation, indicated decreased resistance to drought and salt stress in VIGS cotton plants. Analysis of POD and SOD activities confirmed that the WT plants possessed superior ROS-scavenging capacity compared to *GhWRKY31*-silenced cotton seedlings (Figure 9). Notably, *ABF1/2*, *DREB2*, and *RD29* were identified as key players in ABA-dependent or ABA-independent responses to drought and salt stress, exerting a positive regulatory function under drought and NaCl conditions [56,57]. The induction of *P5CS*, a pivotal enzyme in proline biosynthesis, in response to drought and high salt levels was observed [58]. Our investigation revealed suppressed expression levels of *GhABF1*, *GhABF2*, *GhDREB2*, *GhRD29*, and *GhP5CS* in *GhWRKY31*-VIGS cotton leaves. Similarly, silencing of *GhWRKY46* [59] and *XsWRKY20* [60] via virus-induced gene silencing resulted in increased sensitivity to drought or salt stress, evidenced by weakened physiological phenotypes, heightened MDA content, diminished proline accumulation, and notable inhibition of stress-related gene expression levels, such as *ABI3*, *ABF2*, *DREB1*, *DREB2*, *RD22*, *LEA5*, and *P5CS*, in WRKY-silenced seedlings. Furthermore, molecular docking analysis illustrated the formation of stable complexes through multiple hydrogen bonds between the WRKYGQK domain of *GhWRKY31* and the W boxes of *GhABF1*, *GhDREB2*, and *GhRD29* (Figure 10). Additional research has corroborated that the WRKYGQK domain of *SlWRKY3/4*, *CcWRKY1/51/70*, and *HvWRKY46* can establish hydrogen bonds with the W box of stress-related genes, exhibiting diverse bonding strengths among these members of the WRKY subfamily [61–63]. Additionally, Y1H analysis confirmed that *GhABF1*, *GhDREB2*, and *GhRD29* directly interact with the *GhWRKY31* protein (Figure 11). Therefore, *GhWRKY31*, serving as a positive regulator in response to drought and salinity stress, has the potential to confer salt and drought resistance in *Arabidopsis* and cotton through the upregulation of *GhABF1*, *GhRD29*, and *GhDREB2* (Figure 12). Collectively, these findings not only support the advancement of research on WRKY genes implicated in stress resilience in cotton but also establish a theoretical framework for plant cultivation in arid and saline environments.



**Figure 12.** A working model of the role of the *GhWRKY31* module in drought and salt stress responses in cotton.

## 4. Materials and Methods

### 4.1. Identification of WRKY Family Members

Four cotton genome assembly files (FASTA format) and genome annotation files (GFF3 format), including *G. arboreum* (CRI version, strain SXY1) [30], *G. raimondii* (JGI version, strain Ulbr.) [31], *G. hirsutum* (CRI version, strain Tm-1) [32], and *G. barbadense* (HAU version, strain 3–79) [33], were downloaded from Cotton FGD [64]. The Hidden Markov Model (HMM) file (PF03106) was downloaded from the Pfam database (<http://pfam.xfam.org/> (accessed on 22 April 2023)) [65]. HMMER 3.0 [66] was used to screen the potential WRKY proteins, and the key parameters were set as default ( $1 \times 10^{-5}$ ). Next, WRKY proteins were manually screened using SMART (<http://smart.emblheidelberg.de/> (accessed on 2 June 2023)) and NCBI CDD (<https://www.ncbi.nlm.nih.gov/Structure/bwrpsb/bwrpsb.cgi/> (accessed on 3 June 2023)). Finally, non-WRKY domains and incorrect and repetitive family members were deleted.

### 4.2. Multiple Sequence Alignment and Phylogenetic Tree Construction

The full-length amino acid sequences of WRKY proteins were aligned using the ClustalW program. Based on the alignments provided, a maximum likelihood tree was constructed using the MEGA 7.0 program (<http://www.megasoftware.net/> (accessed on 19 June 2023)) [67], and the bootstrap test was carried out with 1000 iterations. Finally, the phylogenetic tree was plotted using interactive tree of life v5.0 (iTOL) (<https://itol.embl.de/> (accessed on 25 June 2023)) [68].

### 4.3. Chromosomal Locations, Gene Structure, Conserved Motifs and Domains, and Cis-Acting Elements of WRKY Proteins

To map the chromosomal distribution of WRKY genes in 4 cotton species, the above reference genomes and annotation files, as well as WRKY protein IDs, were incorporated into the gene location visualization toolkit of TBtools [69]. For the analysis of WRKY gene structures, we extracted information on WRKY gene structures using reference genomes and annotation files. We then visualized the WRKY gene structures using the gene structure toolkit of TBtools. The conserved motifs of WRKY proteins were analyzed using the MEME database (<http://meme-suite.org/> (accessed on 5 July 2023)), and the conserved domains were obtained through the NCBI CD-Search (<https://www.ncbi.nlm.nih.gov/Structure/cdd/wrpsb.cgi> (accessed on 8 July 2023)). The acquired data were then plotted using TBtools. To investigate the *cis*-acting elements of WRKY promoters, the 5'-upstream regions of 2000 bp were downloaded from Cotton FGD. Subsequently, the sequences were analyzed using the PlantCARE database (<http://bioinformatics.psb.ugent.be/webtools/plantcare/html/> (accessed on 21 July 2023)) [70] and visualized with TBtools.

### 4.4. Duplication and Collinearity Analysis of WRKY Proteins

The MCScan program [71] was used to detect gene pairs with a BLASTp search ( $e$ -value  $< 10^{-5}$ ). Next, the chromosome length file, gene density file, and WRKY ID highlighting file were created from the reference genomes and annotation files, respectively. The prepared files were separately placed into the multiple collinearity scanning toolkit, dual synteny plotter toolkit, and advance circos toolkit of TBtools [72] for analysis of gene collinearity relationships and duplication events among the WRKYs in four cotton species.

### 4.5. Cotton Materials and Stress Treatments

Upland cotton *G. hirsutum* (Tm-1) was obtained from Cotton Research of the Chinese Academy of Agricultural Sciences (Anyang, Henan Province, China). The cotton seeds were sterilized with 3% H<sub>2</sub>O<sub>2</sub> for 12 h and then washed with distilled water. Subsequently, the seedlings were grown in a greenhouse at 28 °C with a 16 h light/8 h dark photoperiod until the second true leaf expanded.

For the validation of expression levels for *GhWRKYs* under drought and salt stress treatments, cotton seedlings were irrigated with 20% (*w/v*) PEG6000 (drought-mimicking)

and 200 mM NaCl solution, respectively. Seedlings with water were used as the control group. All leaves were collected at 0, 1, 3, 6, 12, and 24 h and stored at  $-80^{\circ}\text{C}$  for further experiments.

#### 4.6. Transcriptome Analysis and qRT-PCR Verification of WRKY Genes

The RNA-seq raw data of PEG- and NaCl-treated *G. hirsutum* were downloaded from NCBI (<https://www.ncbi.nlm.nih.gov/bioproject/?term=PRJNA490626> (accessed on 3 September 2023)) [73]. Firstly, we downloaded the raw sequencing data. Following the removal of adapters by Fastq and Trim Galore, the sequencing reads were aligned to the genome of *G. hirsutum* using STAR [74]. Next, RSEM [75] was employed to obtain the expression quantification (FPKM value) of *GhWRKYs*. The FPKM values of *WRKY* genes were log<sub>2</sub>-transformed and plotted using the TBtools heatmap.

The total RNA of *G. hirsutum* was extracted using a FastPure Universal Plant Total RNA Isolation Kit (Vazyme, Nanjing, China). The first-strand cDNA was synthesized using a TaKaRa kit (TaKaRa, Japan). RT-qPCR was performed using the Universal SYBR qPCR Master Mix kit (Vazyme, Nanjing, China), with 1  $\mu\text{L}$  of cDNA template, 0.5  $\mu\text{L}$  each of forward and reverse primers (at a working concentration of 10  $\mu\text{M}$ ), 5  $\mu\text{L}$  of SYBR qPCR master mix, and 3  $\mu\text{L}$  of nuclease-free water. The primers were designed using Primer Premier 5.0 (Supplementary Table S6). *GhActin7* was used as an internal control. A total volume of 10  $\mu\text{L}$  was carried out in the Light Cycler<sup>®</sup> 96 fluorescence quantitative PCR instrument (ABI7500; Applied Biosystems, America). The expression levels of *WRKY* genes were calculated by the  $2^{-\Delta\Delta\text{Ct}}$  method [76].

#### 4.7. Heterologous Overexpression and Stress Tolerance Assay in *Arabidopsis*

The wild-type (WT) *Arabidopsis* (Ecotype Col-0) was used as the receptor for *GhWRKY31* genetic transformation. The seeds of WT *Arabidopsis* were surface-sterilized with 5% sodium hypochlorite and washed with sterile water 5 times. These seeds were then stored at  $4^{\circ}\text{C}$  for 24 h. Next, the seeds were evenly sown on 1/2 MS solid mediums and cultured in a greenhouse (16 h light/8 h dark cycle,  $22^{\circ}\text{C}$ ) for 7 days. Next, these seedlings were replanted in a 3:1 mixture of vermiculite and nutrient soil.

The *GhWRKY31* CDS was inserted into the *XbaI/KpnI* restriction enzyme sites of the Super1300 plasmid. The Super1300:*GhWRKY31* vector was transformed into *Agrobacterium tumefaciens* strain GV3101, and full-flowering *Arabidopsis* seedlings were used for genetic transformation by the floral dip method [77]. The *GhWRKY31* overexpression (OE) lines were selected using hygromycin. Here, the 4-week-old OE lines of *GhWRKY31* were identified by RT-qPCR. We finally obtained 5 independent OE lines of *GhWRKY31* and named them OE1, 2, 3, 4, and 5.

The seeds of WT and *GhWRKY31* OE lines of *Arabidopsis* were evenly planted on 1/2 MS solid media containing different concentrations of mannitol (0, 100, 200, and 300 mM) and NaCl (0, 50, 100, and 150 mM). The germination rate was recorded for 7 consecutive days using a magnifier. To measure root length, seedlings were initially grown upright on fresh 1/2 MS solid medium for 3 days, and then transferred to 1/2 MS solid medium supplemented with mannitol (0, 100, 200, and 300 mM) and NaCl (0, 50, and 100 mM) for a period of 5 to 7 days.

#### 4.8. Virus-Induced Gene Silencing (VIGS), Stress Treatments, and Determination of Biochemical Indexes

As a previous study described [78], the CDS of *GhWRKY31* was amplified from *G. hirsutum* using RT-PCR. The CDS of *GhWRKY31* was inserted into the pYL156 vector to construct a pYL156:*GhWRKY31* fusion vector. Subsequently, the pYL156: 00 (empty vector) and pYL156:*GhWRKY31* vectors were severally transformed into *A. tumefaciens* strain GV3101. The bacterial fluid of recombinant GV3101 was used to infect the cotyledons of *G. hirsutum* seedlings through injection. The leaves were collected for RNA extraction and to detect interference efficiency using qRT-PCR.

For the drought and salt tolerance assays, seedlings of ‘TM1’+pYL156: 00 (empty vector injection) and ‘TM1’+pYL156: *GhWRKY31* (*GhWRKY31* injection) were treated for 14 days with water, water-deficit conditions, and 200 mM NaCl solution. Additionally, the cotton leaves were crushed and added to the cold alcohol extract. The ABA was dissolved from the plant cells into the extraction solution by stirring at a low temperature. A centrifuge was used to separate the plant residues and cell fragments suspended in the alcohol extract. The ABA concentrate was obtained by transferring the alcohol extract into a new centrifuge tube. Subsequently, ABA levels (ng/g. FW) were detected using an ABA Elisa kit (SIONBESTBIO, YX-E21782, Shanghai, China). Following the crushing of cotton leaves, proline and MDA extracts were added separately and the mixture was subjected to low-temperature stirring to ensure full contact. A centrifuge was employed to isolate plant residues and cell fragments that were suspended in the extract. Analysis of proline ( $\mu\text{g/g}$ . FW) (Solarbio, BC0290, Beijing, China) and MDA (nmol/g. FW) (Solarbio, BC0025, Beijing) contents was carried out using dedicated kits for each compound.

To determine SOD (U/g. FW) and POD (U/mg. FW) activity, cotton leaves were finely crushed and mixed with a test tube containing phosphoric acid buffer and polyethylene glycol /EDTA. The mixture was stirred under ice bath conditions to ensure complete contact between the sample and the extract, facilitating the release of the POD and SOD enzymes from the cells. The resulting extract was then centrifuged and transferred to a new centrifuge tube for activity determination using a POD kit (Solarbio, BC0095, Beijing, China) and a SOD kit (Solarbio, BC0175, Beijing, China).

#### 4.9. Molecular Docking Simulation

The interaction between the *GhWRKY31* protein and the W box of stress-related genes was investigated using HDOCK v1.1 software. The nucleotide sequence of stress-related genes and the amino acid sequence of *GhWRKY31* were introduced into receptors and ligands modules in HDOCK (<http://hdock.phys.hust.edu.cn/> (accessed on 6 January 2024)) [79]. The output interaction model files were imported into PyMOL 2.5.0. The center of the docking boxes, which were based on the position of the crystal ligand, were constructed minutely. Next, the atoms for polarity docking were selected, and the docking relationship was plotted. In addition, the confidence score (CS) indicates the likelihood of binding between two molecules ( $\text{CS} = 1.0/[1.0 + e^{0.02 \times (\text{docking score} + 150)}]$ ). The two molecules would be very likely to bind if  $\text{CS} > 0.7$ .

#### 4.10. Yeast One-Hybrid (Y1H) Assay

The Y1H assay was performed following the same methodology as a previous study [80]. The full-length sequence of *GhWRKY31* was cloned into the pGADT7 vector between the *EcoRI* and *BamHI* sites. The recombinant plasmid was co-transformed into yeast Y1HGold with pAbAi-*GhP5CS*, pAbAi-*GhABF1*, pAbAi-*GhABF2*, pAbAi-*GhDREB2*, and pAbAi-*GhRD29*. pGADT-53 was used as a positive control, and all transformed candidates were grown on SD/-Ura/-Leu medium with 0 or 100 ng/mL of Aureobasidin A (AbA) for 3–5 days.

#### 4.11. Statistical Analysis

The data were statistically analyzed using SPSS 10.0 software and plotted by Graph-Pad Prism 5.0. There were 3 biological replicates for each experiment, and the data were presented as means  $\pm$  SD of three independent experiments. Experimental data were analyzed by Student’s *t*-test, and the bars with different letters indicate significant differences ( $p < 0.05$ ).

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants13131814/s1>, Table S1. WRKY genes in *G. arboreum*, *G. raimondii*, *G. hirsutum*, and *G. barbadense*. Table S2. WRKY genes containing 2 conserved motifs in *G. arboreum*, *G. raimondii*, *G. hirsutum*, and *G. barbadense*. Table S3. *cis*-acting elements in the promoter regions of WRKY genes in *G. arboreum*, *G. raimondii*, *G. hirsutum*, and *G. barbadense*. Table

S4. Dispersed, segmental, tandem and proximal duplications of WRKY genes in *G. arboreum*, *G. raimondii*, *G. hirsutum*, and *G. barbadense*. Table S5. Synteny analysis of WRKY genes in *G. arboreum*, *G. raimondii*, *G. hirsutum*, and *G. barbadense*. Table. S6 The primers used in the study. Figure S1 qRT-PCR identification of *GhWRKY31* transgenic *Arabidopsis* lines. The two-week-old seedlings were used to perform the molecular identification of *GhWRKY31* transgenic *Arabidopsis* plant and lines. WT: wild type; OE1, 2, 3, 4, and 5: *GhWRKY31* transgenic *Arabidopsis* lines of T<sub>3</sub> generation. The lower letter above the bar indicates the significant difference ( $p < 0.05$ ). Figure S2 qRT-PCR identification of silencing efficiency in cotton seedlings. The data represent the means  $\pm$  SE from three independent experiments. Independent t-tests indicated that there was significant difference among the 'TM1', 'TM1 + pYL156:00' and 'TM1+pYL156:*GhWRKY31*'. The lower letter above the bar indicates the significant difference ( $p < 0.05$ ).

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Review

# Salt Tolerance in Soybeans: Focus on Screening Methods and Genetics

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**Abstract:** Salinity greatly affects the production of soybeans in arid and semi-arid lands around the world. The responses of soybeans to salt stress at germination, emergence, and other seedling stages have been evaluated in multitudes of studies over the past decades. Considerable salt-tolerant accessions have been identified. The association between salt tolerance responses during early and later growth stages may not be as significant as expected. Genetic analysis has confirmed that salt tolerance is distinctly tied to specific soybean developmental stages. Our understanding of salt tolerance mechanisms in soybeans is increasing due to the identification of key salt tolerance genes. In this review, we focus on the methods of soybean salt tolerance screening, progress in forward genetics, potential mechanisms involved in salt tolerance, and the importance of translating laboratory findings into field experiments via marker-assisted pyramiding or genetic engineering approaches, and ultimately developing salt-tolerant soybean varieties that produce high and stable yields. Progress has been made in the past decades, and new technologies will help mine novel salt tolerance genes and translate the mechanism of salt tolerance into new varieties via effective routes.

**Keywords:** soybean; salinity stress; salinity tolerance; ion homeostasis; gene identification

## 1. Introduction

Soil salinity is due to the accumulation of soluble salts, including chlorides and sulfates of sodium, and more than 3% of farmlands are seriously threatened by salinization [1,2]. Salinity usually inhibits crop growth through the osmotic effect, which reduces a plant's water take up or ion toxic effect, which inhibits enzyme activity [3]. The extent and severity of the effect of saline soils on crop production is predicted to worsen because of factors such as global warming and inadequate drainage of irrigated land [4–6]. The need to expand agriculture into marginal lands, coupled with increasing global food requirements due to increasing population sizes, requires the development of crops that can achieve higher yields in soils with higher salt contents [7,8]. Salt stress reduces the yield of most crops [4,9–11]. The exploration of salt-tolerant crop plants that can withstand high salinity is considered one of the most effective biological strategies to cope with this problem and sustain food production [12]. Among the wide range of salt concentrations in saline soils, it was found that moderately saline soil had a minimal effect on reducing the soybean seed

yield of salt-tolerant varieties, indicating the promising potential for using these varieties in saline fields [13].

As a source of vegetable protein and oil worldwide, soybean (*Glycine max* L. Merrill) plays important roles in human nutrition, animal feed, and oilseed production [14]. It was reported that extracts of soil solutions with conductivity (ECe) values of 5 dS m<sup>-1</sup> or greater affect soybean germination and later developmental stages [15,16]. Soil salinity values of 7.3 and 9.6 dS m<sup>-1</sup> caused complete stand loss of salt-sensitive and intermediate salt-tolerant soybeans, respectively. In contrast, the stands of salt-tolerant varieties were not appreciably decreased with salt, indicating inheritance control over salt tolerance [13]. Significant progress has been made in the evaluation and genetic analysis of soybean salt tolerance during the past few decades. In this review, we focus on the advances in genetics and possible mechanisms of salinity tolerance in soybeans at different growth stages. Suggestions are made for future studies that aim to improve salt tolerance via the ontogeny of soybeans.

## 2. Identification of Salt-Tolerant Accessions in Soybean

### 2.1. Salt Tolerance Evaluation at Soybean Germination Stage

Many germination tests have illustrated the negative effects of salt exposure on soybean germination. High salt concentrations decrease the soybean germination rate and inhibit the growth of radicle and lateral roots [17]. A study conducted in 1983 provided genetic resources on soybean salt tolerance at the germination stage and identified salt-tolerant soybean landraces and varieties under controlled conditions. The researchers used the relative injury index as a criterium to score the salt tolerance of soybean accessions from level 1 (a relative injury index of 0–20%) to level 5 (90.1–100%) at high salinity (a 1.6% NaCl solution) and were able to select tolerant soybean genotypes with scores of lower than 3 (a relative injury index of lower than 65%) [18]. In the Seventh Five-Year Plan of China, a total of 10,128 soybean accessions were evaluated for salt tolerance at the germination stage, and the results showed that 9.1% of genotypes were tolerant (based on relative injury index levels 1–3) [19]. Parameters, such as germination percentage (G%), tissue water content (TWC), and root length (TL), were considered useful indicators for the selection of salt-tolerant soybean in the germination stage while exposed to 150 mM and 200 mM NaCl stress [20]. The relative germination index (ST-GI) and relative germination rate (ST-GR) were significantly positively correlated with each other under 150 mM NaCl stress and could be used as indexes of salt tolerance at the germination stage [21].

### 2.2. Evaluation of Salt-Tolerant Soybean Accessions at Emergence Stage

Salt tolerance at the emergence stage is likely to be more important than in the germination stage because germinated seeds under certain saline conditions, like germination on salinized filter paper, may not break through the soil crust when the soil surface is hard [22]. Salinity decreased the emergence rates of soybeans grown in soil with less than 1.0% salt (a dry soil base), despite all soybeans achieving maximum germination rates. Differences in relative emergence rates were observed between the different soybean varieties grown under higher salt contents, which might be due to the effect of chloride ions [13,23]. At a salt concentration of 330 mM, the soybean cv. Williams attained a high germination rate (81%); however, seedling growth declined to 5%, even when exposed to a lower 220 mM NaCl stress [23]. This suggests that soybean seeds can survive under saline conditions during the germination stage, but the stress can be fatal at the emergence stage. Only one soybean genotype was used in this experiment; therefore, a variety of soybean accessions should be used to evaluate the correlations between genotypic differences at the germination and emergence stages. Variations in salt tolerance were reported in near-isogenic lines (NILs) that differ in maturity in the background of the soybean cultivars 'Lee' and 'Essex', indicating that specific genes in the NILs of maturity groups IV or VI may related to salt tolerance [24]. Recently, two flowering-related loci, *E2* and *J*, were found to be related to soybean seedling salt tolerance. Knockout of *E2* generated soybean lines with

enhanced salt tolerance and shortened maturity [25], and loss of the function of *J* reduced salt tolerance and prolonged the maturity of soybean [26], indicating that flowering earlier might help soybeans avoid salinity toxicity. However, the effects of maturity-related genes on germination under salinity stress remain unknown. In fields salinized with sodium chloride and calcium chloride salts, soybean emergence was significantly reduced when the ECe reached  $11 \text{ dS m}^{-1}$  [24]. In order to overcome the spatial heterogeneity in saline field trials, Liu et al. (2020) developed a method using vermiculite as a culture substrate treated with a salt solution after soybean sowing, whereby soybeans grown under 150 mM NaCl showed a different salt tolerance. The salt tolerance index (SI) calculated using the relative growth of seedlings was found to be significantly related to salt tolerance and was used for the identification of salt-tolerant soybean accessions [27].

### 2.3. Salt Tolerance of Soybean at Seedling Stage

The screening of salt tolerance at the different stages of soybean growth has been conducted for more than half a century (Table 1). Much of the research has focused on soybean seedling stages because salt tolerance increases with the progression of plant age [18]. Much effort has been devoted to developing rapid, visual methods for the selection of salt-tolerant accessions. One such effort categorized soybeans as “includers” (salt-sensitive) or “excluders” (salt-tolerant). Soybeans grown under saline conditions accumulate more chloride ions in the stems and leaves, which causes severe leaf necrosis and even mortality, and were thus assigned as “includer” soybeans. Those soybeans that showed no necrosis and only a moderate growth reduction were assigned as “excluder” soybeans [28]. Three Cl excluders and four includers were grown hydroponically with 0, 40, 80, 120, and 160 mM NaCl for seven days. Includers and excluders showed the greatest differences at the 120 mM NaCl stress level, where the average leaf  $\text{Na}^+$  and  $\text{Cl}^-$  contents were 2.64 and 1.96 times higher for includers than excluders, respectively. Thus, the addition of 120 mM NaCl in the hydroponic system was the most effective concentration for screening salt-tolerant soybean genotypes without chemical analysis [29]. A method using sandy soil in plastic containers (named the PC method) was compared with the hydroponic method by exposing 14 soybean genotypes to salt at the V2–V3 stages. The leaf scorch scores and leaf chloride contents were comparable for both methods and salt damage appeared approximately four days sooner in the PC method; thus, the cheaper and less labor-intensive PC method was considered the better one that could be adopted by soybean breeders [30]. Using two Cl includers and two excluders as materials, a pot assay of soybean exposed to 120 mM NaCl over two weeks (for 2 h in a salt solution each day) was recently conducted to establish a leaf scorch scale (LSS) to visually rate the level of salt tolerance of plants. The scale ranges from one (healthy dark green leaves with no chlorosis) to nine (necrotic leaves). The results indicate that the LSS was positively correlated with  $\text{Cl}^-$  contents in leaves ( $r = 0.87\text{--}0.88$ ;  $p < 0.001$ ), which suggests that the LSS and  $\text{Cl}^-$  content may be used as criteria to identify tolerant genotypes [31]. In a simple screening method using vermiculite as a substrate, 200 mM NaCl was added to the tray every two days over five days (totaling 600 mM NaCl) after soybean unifoliate leaves were fully expanded, and a significant negative relationship of the leaf chlorophyll content (SPAD value) with the leaf  $\text{Na}^+$  content and salt tolerance was observed eight days after the final NaCl solution application [32]. This simple method was effectively used in salt tolerance gene mapping and functional analysis [33–35]. In a pot assay, a 25 mM NaCl solution was added to the soil every alternate day until a total concentration of 150 mM NaCl was added to each pot. A total of 170 soybean accessions were assigned to four groups: tolerant, moderately tolerant, moderately sensitive, and sensitive accessions. The relative total dry weight (DW) of 30-day-old seedlings, followed by the relative shoot and petiole DW, were considered as the more important discriminatory variables, while the relative root DW was considered as a secondary variable used to segregate accessions into the four groups [36]. This is in agreement with a previous study that reported the shoot growth of soybeans was more affected than the root growth under saline conditions [37]. In contrast, Lee et al.

(2008) [30] observed that the shoot dry weight was less affected than the root dry weight. The conflicting results may be attributed to the experimental methods and mediums as well as genotypes used in each study. Overall, the comparisons suggest that relative dry weight is an unreliable indicator to assess salt tolerance in plants, and screening methods based on leaf injury have been widely used for salt tolerance evaluation at the seedling stage. To better understand the genetic control of salt tolerance, efforts should be spent on developing effective screening methods that mimic saline conditions in the field. Moreover, precautions must be taken to avoid common issues in large-scale evaluations of the salt tolerance of soybeans in the field when irrigating large fields with saline water [13,19]. For example, soil salinity can greatly vary from the head- to the tail-ends of furrow-irrigated fields and negatively affect the precision of evaluating plant salt tolerance [24,38].

**Table 1.** Evaluation and identification of salt-tolerant accessions of soybeans at different developmental stages.

Stage	Stress Condition	Indicators	Total Accessions	No. of Tolerant Accessions	Proportion of Tolerant Accessions	Reference
Germination	1.6% NaCl	Salt damage index	10,128	924	9.1%	Shao et al. (1993) [19]
Germination	2.0% NaCl	Relative salt damage rate	760	141	18.5%	Li et al. (1996) [39]
Germination	150 and 200 mM NaCl	GR	10	3	30.0%	Shelke et al. (2017) [20]
Germination	150 mM NaCl	IR, GR, and GI	191	/	0.0%	Kan et al. (2015) [40]
Germination	1.2% NaCl	Relative salt damage rate	793	117	14.8%	Jiang et al. (2012) [41]
Emergence	Saline soil: 3.1–13.7 dS m <sup>-1</sup>	Relative seedling emergence rate	6	2	33.3%	Abel and MacKenzie (1964) [13]
Emergence	Saline soil: 3–6 dS m <sup>-1</sup>	GR	7	3	42.9%	Wang et al. (1999) [24]
Emergence	150 mM NaCl	SI	27	10	37.0%	Liu et al. (2020) [27]
Seedling	5.0–10.2 dS m <sup>-1</sup>	Cl <sup>-</sup> content in leaves	6	4	66.7%	Abel and MacKenzie (1964) [13]
Seedling	Saline water (EC = 15–17 dS m <sup>-1</sup> )	Green loss grade (1–5)	10,128	457	4.5%	Shao et al. (1993) [19]
Seedling	25 mM to 150 mM NaCl	SDW	170	18	10.6%	Mannan et al. (2010) [36]
Seedling	120 mM NaCl	Leaf damage	7	3	42.9%	Valencia et al. (2008) [29]
Seedling	100 mM NaCl	Leaf damage	14	5	35.7%	Lee et al. (2008) [30]
Seedling	200 mM NaCl	Leaf damage	8	4	50.0%	Jiang et al. (2013) [32]
Seedling	21 ± 3 dS m <sup>-1</sup>	Salt damage index	793	41	5.17%	Jiang et al. (2012) [41]
Seedling	120 mM NaCl	Leaf damage	98	36	36.7%	Ledesma et al. (2016) [31]
Emergence	200 mM NaCl	SI	27	12	44.4%	Liu et al. (2020) [27]
Whole period	Saltwater irrigation	Degree of salt damage	2000	7	0.4%	Shao et al. (1986) [18]
Whole period	Saline soil	Relative salt tolerance index	793	35	4.41%	Jiang et al. (2012) [41]

#### 2.4. Salt Tolerance Identification in Later Soybean Growing Period

Salt stress affects many agronomic traits of soybean, especially yield, mainly via the reduction in the branch number, pod number, grain weight, and 100-grain weight, which ultimately leads to yield reduction [42]. Screening soybeans throughout the whole growing period in a saline field is difficult, especially for a large number of accessions, due to salinity heterogeneity and uncontrolled environments. Saline water irrigation in field or pot experiments was used for the identification of salt tolerance at later soybean growing stages. Soybean accessions were planted in saline fields and irrigated with saline water (EC = 20 to 24 dS m<sup>-1</sup>) at the flowering and podding stages, and seven tolerant varieties like Wenfeng 7, Jindou 33, and Tiefeng 8 were identified [18]. Using salt-tolerant varieties (Wenfeng 7, Zhongye 1, Tiefeng 8, and Zhonghuang 10) as controls, a two-year salt tolerance evaluation of 280 soybean varieties was conducted in saline fields during the whole growth period of soybean, the biomass and grain weight of each variety were investigated at the maturity stage, the relative salt tolerance index was calculated, and only 35 soybean varieties showed high tolerance in both years, in which only 3 and 11 were tolerant at the germination and seedling stages, respectively [41]. In a potting experiment, soybean varieties were treated with 80 mM NaCl at the V3, R2, R4, and R6 stages, respectively. Biomass and pod weight were greatly affected in both tolerant and sensitive genotypes when salt was applied at the R6 stage, indicating that R6 is one of the most sensitive stages to salinity stress [43].

### 3. Genetics of Salinity Tolerance in Soybeans

#### 3.1. Genetic Control of Salt Tolerance in Soybeans

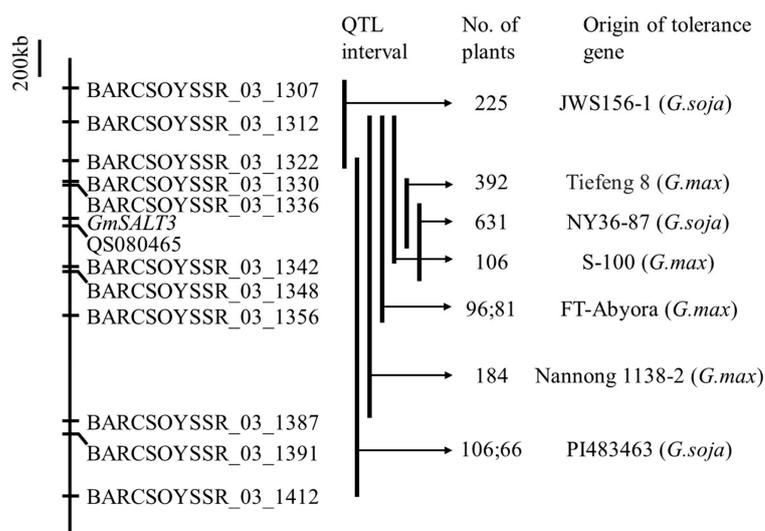
Variations in salt tolerance exist in soybeans at different developmental stages, indicating potential tolerance gene resources in soybean accessions. To understand the genetic architecture of salt tolerance and improve selection efficiency, linkage and association analyses were used to identify loci controlling salt tolerance at the germination stage. Indices (the relative imbibition ratio (IR); the relative ratio of germination index (GI); and the relative germination rate (GR)) representing possible mechanisms were used, and 11 QTLs located on chromosomes 2, 7, 8, 10, 17, and 18 underlying the complex traits were identified in an RIL population, NJRIKY, developed from a cross between Kefeng 1 and Nannong 1138-2 [21]. In the association analysis of natural soybean populations, 11 SNP- and 22 SSR-trait associations were identified [21,40]. Although several candidate genes on chromosomes 8, 9, and 18 were verified in response to salt stress, no consistent loci were identified in bi-parental segregating and natural populations [21]. The significant correlation between different indices, such as the GI and GR, and the co-association with related markers indicated that effective indices like the ratio of the germination rate under salt conditions to the germination rate under no-salt conditions (ST-GR) can be used for future experiments. The advantage of using the GR over the GI is that to obtain the GI, we need to manually count the number of germinated seeds in each Petri dish daily throughout the experiment, while to obtain the GR, we only need to count the number of germinated seeds once, at the end of the experiment. In an association mapping study of salt-tolerance-related markers in the emergence stage, the salt tolerance index (STI) based on the phenotypes of root length (LR), fresh or dry root weight (FWR; DWR), the biomass of seedlings (BS), and the length of hypocotyls (LH) were used as indices, and 19 QTLs were detected on various chromosomes, with only 2 related to LR-STI. Additional results showed that epistatic interactions between QTLs related to FWR-STI had strong effects ( $r^2 > 5\%$ ) [44]. The minor effects and fewer conserved QTLs in different populations indicated that salt tolerance at the germination and emergence stages are likely controlled by quantitative loci.

In order to investigate the potential genetic control of salt tolerance, crosses were made using soybean chloride includers and excluders and 30-day-old seedlings of segregating populations grown in fields that were furrow-irrigated with saline water (an equal mixture of NaCl and CaCl<sub>2</sub>). The F<sub>2</sub> populations of the includers × excluders were segregated in ratios of three non-necrotic plants (low chloride content) to one necrotic plant (very high chloride content), indicating the likelihood of a single gene governing the inheritance of salt tolerance. The gene symbols *Ncl* and *ncl* were proposed for the dominant (excluder) and recessive (includer) alleles, respectively. The phenotype of individuals was primarily sorted according to the level of leaf necrosis, while only 10 individuals were determined by the chloride concentration [28]. More than two decades later, three salt-tolerant and three salt-sensitive soybean cultivars were used to make crosses and evaluated for salt tolerance inheritance. Populations were planted in saline fields and irrigated with saline water (EC = 4.2 to 21 dS m<sup>-1</sup>, depending on current drought or non-drought conditions). The resulting segregation of phenotypes indicated that salt tolerance in all of the three tolerant cultivars was governed by a dominant gene [45]. In the F<sub>2,3</sub> population derived from the cross of Peking (salt-sensitive) and wild soybean NY36-87 (salt-tolerant), the ratio of salt-tolerant to separation to salt-sensitive families was consistent with 1:2:1, indicating that the seedling salt tolerance of the NY36-87 wild soybean is controlled by a dominant single gene [46].

An F<sub>2,5</sub> population from a cross of the salt-tolerant cultivar S-100 and the salt-sensitive cultivar Tokyo, for the first time, was used for salt tolerance QTL mapping. The heritability of salt tolerance was 0.85 and 0.48 in the field and greenhouse environments, respectively. A major salt tolerance QTL was mapped on the soybean linkage group N (LG N, Chr. 03) in a 3.6 cM interval between SSR markers Sat\_091 and Satt237 based on the phenotypes of plants grown in a field, greenhouse, and combined environments of the two [47]. This major QTL was confirmed in several salt-tolerant soybean varieties, such as Nannong

1138-2, Tiefeng 8, Jidou 12, Fiskeby III, and FT-Abyora [33,48–51]. The genetic and mapping results have been described in more detail in recently published reviews [15,52–55]. The leaf sodium (LSC) and leaf chloride (LCC) contents of the F<sub>2.3</sub> population derived from Williams 82 and the tolerant soybean Fiskeby III were used as physiological traits in QTL mapping. For the LCC, only one genomic region with a high  $R^2$  (58.9%) was identified on Chr. 03, where the major salt tolerance QTL was located. While for the LSC, except for the locus on Chr. 03, another dominant gene (a positive allele in the sensitive parent Williams 82) was located on Chr. 13, which explained 11.5% of the observed total variation, and no significant epistatic interactions were detected between these two loci [51]. Genome-wide association mapping based on leaf chloride concentration and SPAD showed SNPs on Chr. 02, 03, 14, 16, and 20, and all were significantly associated with both traits. These results suggest novel genes are involved in soybean salt tolerance at the seedling stage and the potential application of these SNP markers in the evaluation of accessions and breeding selection [56]. Recently, two major QTLs associated with the LSS and CCR were identified in Williams82 × PI483460B RIL populations. *qSalt\_Gm03*, associated with the CCR and LSS, was located in the same region as the known salt tolerance gene *GmCHX1*. Another new locus, *qSalt\_Gm18*, significantly associated with the LSS, was mapped on Chr. 18. The salt tolerance alleles of the two loci were both from PI483460B [57].

Salt tolerance gene mapping of wild soybeans has also obtained vital progress in addition to that of cultivated soybeans. In F<sub>2</sub> populations derived from soybean cultivars and salt-tolerant wild soybeans, a major salt tolerance QTL was mapped on Chr. 03 [46,58], indicating that the same QTL or major gene was present on Chr. 03 in wild and cultivated soybeans (Figure 1). An F<sub>2</sub> population of PI483463 × S-100 was used to determine the allelic relationship of wild accession PI483463 and cultivar S-100. The population was segregated as 15 (tolerant):1 (sensitive), indicating that the gene in wild soybean was different from that in S-100, and the gene was assigned as *Ncl2* in PI483463 [59]. However, the salt tolerance QTL in PI483463 was mapped within a 658 kb region on Chr. 03 using an RIL population derived from PI483463 and Hutcheson [60]. Because different sensitive parents were used in these two studies and PI483463 showed a higher tolerance than S-100 after 30 days of salt stress, it is difficult to rule out the possibility that PI483463 has a different salt tolerance gene [59,60]. A new salt tolerance locus on Chr. 18, named *GmSALT18*, was identified in an F<sub>2.3</sub> population derived from the salt-sensitive variety Peking and the salt-tolerant wild soybean NY36-87 [46]. These wild soybeans should be further investigated to clone novel salt tolerance genes.



**Figure 1.** Schematic of salt tolerance QTL/gene mapping interval in Chr. 03 at the seedling stage. Bold vertical lines signify the QTL interval in each study, and the origin of the salt tolerance gene and the number of individuals in the segregating populations are also marked. All markers are *Glyma.Wm82.a2* version [34,46–49,58,60].

### 3.2. Candidate Gene Contributes to Salt Tolerance in Soybean

A major salt tolerance locus *qST-8* related to salt tolerance at the soybean germination stage was mapped onto Chr. 08 using QTL mapping in the RIL population and GWAS in the natural population. *Glyma.08g102000*, which belongs to the CDF (cation diffusion facilitator) family, was found to be the candidate gene of *GmCDF1*. Hairy root transformation experiments showed that the gene negatively regulated soybean salt tolerance by maintaining  $K^+$ – $Na^+$  homeostasis in shoots under salt stress. Haplotype analysis showed that two SNPs were significantly associated with salt tolerance, and Hap2 was more salt-tolerant than Hap1 (Table 2) [61].

The major salt tolerance QTL locus on Chr. 03 was conserved in both cultivated and wild soybeans. The isolation of the dominant gene has been the focus of extensive research efforts. By re-sequencing 96 RI lines derived from the salt-tolerant wild soybean W05 and the sensitive cultivar C08, a bin map was constructed, and a salt-related QTL was mapped in a 388 kb genomic region that overlapped with a previously mapped *Ncl* locus on Chr. 03. A root-specific expressed cation/ $H^+$  exchanger gene *Glyma03g32900* was identified as the candidate gene, which was named as *GmCHX1*. The expression of *GmCHX1* in hairy roots leads to a higher fresh root weight than the control. Moreover, transgenic tobacco BY-2 cells showed higher survival rates under 100 mM NaCl treatment, confirming the salt tolerance function of this candidate gene was from wild soybean (Table 2) [62]. A map-based cloning strategy was conducted for fine mapping the salt tolerance gene *GmSALT3* (a salt-tolerance-associated gene on chromosome 3) in cultivated soybean Tiefeng 8, and only *Glyma03g32900*, an endoplasmic-reticulum-localized gene, was predicted to be present in a 17.5 kb candidate region according to the reference genome Williams 82 (Table 2) [34]. Salt-tolerant wild soybeans were used for the identification of a novel salt tolerance gene, and a 7 bp InDel in the promoter region of *Glyma.11G149900* (*GsERD15B*) was found to be associated with salt tolerance. Genetic transformation proved that a Hap2-type promoter enhanced hairy root growth under salt stress, and 87.5% (42 of 48) of tolerant soybeans belong to Hap2. The average STR (salt tolerance rating) of Hap1 is 4.20, which is significantly higher than that of Hap2 (1.64) (Table 2) [63].

In addition to forward genetics, a series of genes encoding ion transporters and transcriptional factors were cloned from soybean via homologous cloning and functionally evaluated in *Arabidopsis*, tobacco, or soybean [64–70]. Functionally verified salt tolerance genes in soybeans have been carefully summarized in a recent review [71].

**Table 2.** Salt tolerance genes identified in soybean and related molecular markers.

Tolerance Gene	Associated Markers	Salt Tolerance	Reference
<i>GmSALT3</i>	Pro-Ins, H2-Ins, H3-MboII, H4-NlaIII, and H5-Del	Seedling stage	Guan et al. (2021) [72]
	Tn-I, I-S, TGCT-D, and C-I	Seedling stage	Lee et al. (2018) [73]
<i>GmCHX1</i>	—	Seedling stage	Qi et al. (2014) [62]
	M1, M2, M3, M4, and M5	Seedling stage	Patil et al. (2016) [74]
<i>GmCDF1</i>	—	Germination stage	Zhang et al. (2019) [61]
		Seedling stage	
<i>GsERD15B</i>	dCAPS- <i>GsERD15B</i> -promoter	Seedling stage	Jin et al. (2021) [63]

### 3.3. Dissecting Salt Tolerance Mechanisms in Soybean

Mechanisms related to the salt stress response, including signaling, osmotic stress, and ionic homeostasis, have been reviewed in detail [71]. In this review, we focus on salt tolerance genes cloned using forward genetics, because these genes are likely to be more valuable in marker-assisted selection breeding. However, this does not preclude that other genes may also contribute to salt tolerance breeding.

Independent studies have cloned the major salt tolerance gene *GmSALT3/GmCHX1/GmNcl*, which regulates salt tolerance at the soybean seedling stage [34,62,75]. *GmSALT3* is an ER-localized protein regulating ions transport to shoots in a root-dependent manner [34]. The salt tolerance gene *Ncl* can reduce  $\text{Na}^+$ ,  $\text{K}^+$ , and  $\text{Cl}^-$  accumulation in soybean leaves under salt stress and function like cation–chloride cotransporter (CCC) genes [75]. Recently, it was proved in a heterologous system that the *GmSALT3* protein contributed  $\text{Na}^+$ ,  $\text{K}^+$ , and  $\text{Cl}^-$  transport in *Xenopus laevis* oocytes. Detailed analysis of three sets of salt-tolerant NILs (NIL-*GmSALT3*) and salt-sensitive NILs (NIL-*Gmsalt3*) showed that *GmSALT3* mediates  $\text{Na}^+$  and  $\text{Cl}^-$  exclusion from shoots via net xylem loading or phloem re-translocation, although the exact molecular mechanism requires further study [35,76]. Transcriptomic analysis has been used to unravel the molecular mechanisms of *GmSALT3*, which suggests *GmSALT3* might help to detoxify ROS toxicity through the flavonoid biosynthesis pathway [77]. Recently, it was reported that the membrane-bound NAC with trans-membrane motif1-like (NTL) transcription factor *GmNTL1* can bind to the promoter of *GmSALT3/GmCHX1/GmNcl* to promote soybean salt tolerance by activating gene transcription [78], while the other gene, *GmERD15B*, might promote soybean salt tolerance via the up-regulation of stress-related genes, including *GmbZIP1*, *Gmp5CS*, *GmCAT4*, and *GmSOS1* [63].

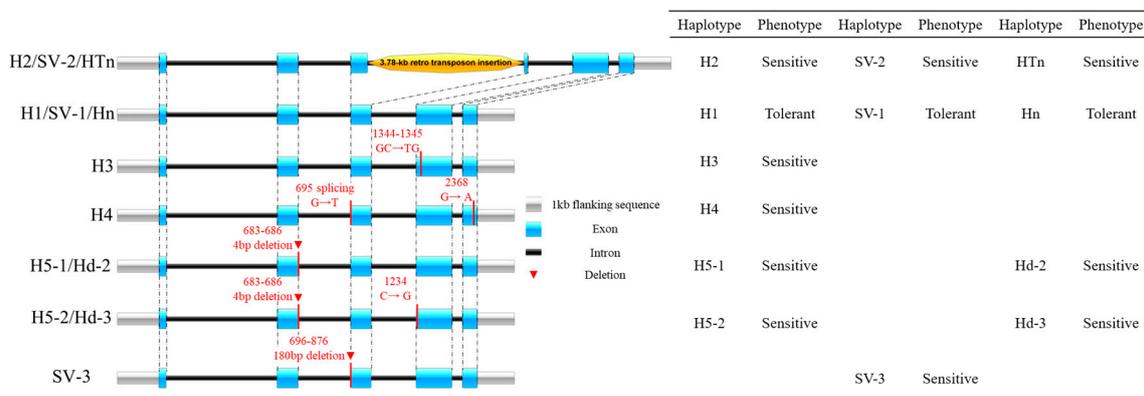
Salinity inhibits seed germination in plants by altering different growth processes including the imbibition of water, enzyme activities, and hormonal balance [3]. *GmCDF1* is the only candidate gene related to salt tolerance at the germination stage, the function of which was proved in soybean hairy roots. Overexpression of *GmCDF1* could decrease soybean salt tolerance by affecting  $\text{K}^+$ – $\text{Na}^+$  homeostasis in soybean roots and shoots [61]. The mechanism through which *GmCDF1* regulates soybean germination under salt stress needs further exploration.

#### 4. Salt-Tolerant Accessions and Molecular Markers in Soybean Breeding for Saline Soils

##### 4.1. Major Genes Involved in Soybean Salt Tolerance Provide Molecular Approach to Tolerant Soybean Screening and Breeding

An RAPD marker tightly linked to the salt tolerance gene was identified in the soybean cultivar Jindou 33 and used for the identification of tolerant accessions [79,80]. Subsequent sequencing of specific fragments of the RAPD marker in soybeans showed that the sequence is part of *Glyma03g32920.1*, from which a sequence-characterized amplified region (SCAR) marker was developed for the fine mapping of the salt tolerance gene [33]. Two SSR markers, Sat\_091 and Satt237, were suggested to be useful for salt-tolerant soybean breeding due to the tight linkage of SSR markers with major QTLs on linkage group N and the association of marker alleles with salt tolerance in soybean descendants [47]. With the cloning of the major salt tolerance gene *GmSALT3/GmCHX1/GmNcl* [34,62,75], variations in the gene promoter and coding regions were identified in diverse soybean accessions [72, 74]. Taking those haplotypes observed in more than ten soybean accessions as the main haplotypes, there are seven main haplotypes of *GmSALT3/GmCHX1/GmNcl* reported in soybean (Figure 2). Haplotype H1/Hn/SV-1 is the only conserved tolerant allele that has a functional domain. H2/HTn/SV-2 is a salt-sensitive haplotype with a 3.78 kb Ty1/copia retrotransposon insertion in the third exon; H5-1/Hd-2 is a sensitive haplotype with a 4 bp deletion in exon 2; and H5-2/Hd-3 has the same 4 bp deletion as that of H5-1/Hd-2, with an additional C > G variation in exon 3. H3 and H4 are two sensitive haplotypes only observed in Chinese soybean accessions [34,72]. SV-3 is a sensitive haplotype with a ~180 bp deletion in exon 3, and this allele was only reported by Patil et al. [74]. SNP assays and PCR-based markers were developed according to the variations in *GmSALT3/GmCHX1* and showed the precise identification (>90%) of salt-tolerant accessions, providing functional markers for targeted breeding [72–74]. A new variation in the promoter region of *GmCHX1* was proved to be a conditional gene-expression-related allele that existed in four salt-tolerant lines. It provides a new allele for salt tolerance breeding [81]. A 7 bp Indel in the promoter region of an early responsive to dehydration 15B (*GsERD15B*) gene was found to be related

to the salt tolerance rating in wild soybean (*G. soja*), and a dCAPS marker was designed to distinguish the two alleles [63]. It remains unknown what the variation of *GsERD15B* in cultivated soybeans is and how it can be used in soybean breeding. Ten haplotypes of *GmCDF1* were detected that control salt tolerance at the germination stage, and haplotype Hap2 was more tolerant than Hap1 [61], while no further molecular markers related to this gene were reported.



**Figure 2.** Main structure and coding variations of *GmSALT3* observed in soybean accessions. Blue boxes indicate exons, the black bars represent introns, gray boxes represent the 1 kb flanking sequence of *GmSALT3*, dotted lines indicate the exon position, orange block represents the 3.78 kb retro transposon insertion, and key variants are listed with red letters (only those haplotypes observed in more than 10 accessions were counted) [72–74].

#### 4.2. Creation of Salt-Tolerant Soybean

The identification of salt tolerance loci is likely to contribute to the development of salt-tolerant soybean varieties. To confirm the function of known tolerance genes like *GmSALT3*, near-isogenic lines harboring *GmSALT3* (NIL-T) or *Gmsalt3* (NIL-S) were created using a marker-assisted strategy. Each pair of NILs contained 95.6–99.3% genetic similarity and were used to elucidate gene function in salinized soil. No yield penalty was observed for *GmSALT3* under normal field conditions, and a significantly higher 100-seed weight and total plant seed weight were found in NIL-T lines in salinized fields [35]. Under salt stress, NILs with the salt tolerance allele showed a yield decrease of less than 29.5%, whereas NILs with the salt sensitivity allele experienced a more pronounced yield reduction of 44.0–55.8%, indicating the presence of the salt tolerance gene contributed to sustainable soybean production in saline fields [75]. Commercial soybean cultivars containing *GmSALT3*, such as Zhonghuang 30 and Zhonghuang 13, which have been approved to be salt-tolerant, are potential resources for the breeding of salt-tolerant soybeans [72].

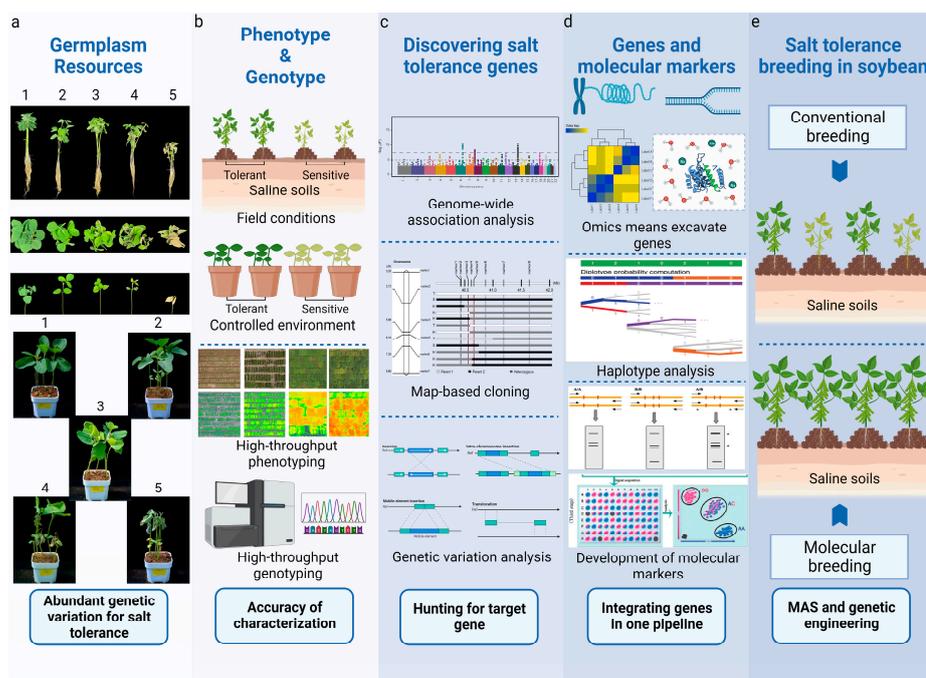
In addition to the introgression of *GmSALT3* into soybeans via marker-assisted selection, new salt-tolerant lines have been created using transgenic approaches. Overexpression lines with the transcription factor *GmSIN1* showed rapid emergence and higher yields compared with the salt-tolerant variety Wei6823 under saline conditions [64]. Soybean seedlings with overexpression of nuclear factor Y C subunit *GmNF-YC14* had higher biomass than the wild type under salt stress [70]. Transgenic soybean lines overexpressing a class B heat shock factor *HsFB2b* had higher survival rates than wild-type Jack after 7 d of 300 mM NaCl treatment, and the variations in the promoter of *HsFB2b* may be useful for breeding tolerant soybeans [68]. By introducing nuclear factor Y subunit *GmNFYA* into soybean Jack, the plant height and survival rate were greatly improved under 300 mM NaCl stress [69].

#### 5. Perspective

The salt tolerance of soybeans is the result of contributions from genetic loci involved in different developmental stages. Full-seed germination is the initial step for plants to achieve greater yields in saline fields, especially where the timing of sowing usually depends on

rainfall events. Studies have shown that the genetic control of salt tolerance varies at different growth stages in soybeans [13,35,56]. Despite these studies, there is still a gap in knowledge on the genetic responses and underlying mechanisms involved in salt tolerance between the germination and emergence stages. This may be partly due to difficulties in conducting these types of studies, which are time-consuming and labor-intensive. They require screening a large number of accessions for germination and seedling vigor to determine maximum levels of salinity stress on plants and are inherently more difficult under more unpredictable field conditions compared with laboratory conditions [82]. Therefore, it is necessary to develop ways to reduce these complexities and difficulties by developing, for example, feasible selection indicators that can bridge the differences between lab and field environments and high-throughput screening technologies to more accurately and precisely phenotype large numbers of samples, especially when measuring plants grown in control conditions could be avoided. WinRoots is a system recently developed for soybean phenomics study, which made RGB (red–green–blue) images of the roots and shoots canopy phenotype easily collected [83]. Identifying traits related to salt stress responses at particular developmental stages using thermal sensors and RGB imaging will lead to the identification of major QTLs on trait variation that can be applied to breeding. The salt tolerance genes that have been genetically characterized have created opportunities to develop salt-tolerant soybean varieties via marker-assisted selection (MAS) using tightly linked or functional molecular markers.

Salt stress causes a reduction in growth because energetic resources must be allocated away from photosynthetic processes to accommodate the need for osmotic adjustment [4]. The introduction of salt tolerance genes into crops, for example, *Nax2* in wheat and *Gm-SATL3* in soybean, only mitigates the losses in yield due to salt stress rather than restoring the full yield achievable in non-saline fields due to the energy used for osmotic adjustment [35,51,84]. Therefore, knowing how to more effectively use salt tolerance genes or their regulators in soybean breeding via genetic engineering, i.e., transgenic manipulation or genomic editing, to improve yield under both saline and non-saline conditions depends on our advances in understanding the underlying mechanisms and warrants further exploration. ‘Omics’ approaches may be useful to determine the roles of known genes and identify the pathways and essential genes involved in salt tolerance (Figure 3).



**Figure 3.** Breeding salt-tolerant soybeans. (a) Abundant variations in salt tolerance exist in soybean accessions. Numbers from 1 (the most tolerant) to 5 (the most sensitive) indicate salt tolerance level.

(b) Characterizing soybean accessions with high-throughput phenotyping methods under conditions that mimic realistic saline field conditions and cost-effective genotyping approaches. (c) Identifying target genes and functional variants for salt-tolerance-related traits. (d) Integration of single-nucleotide variations and ‘omics’ at gene level. (e) With knowledge of key gene variations and related pathways, MAS and other biological approaches will become the most effective way for breeding.

Although we have evaluated some genetic loci, as salt tolerance is a very complex physiological process, the following challenges remain: (1) approaches that can be easily used to screen the phenotypes of soybean accessions; (2) major gene(s) contributing to specific developmental stages without a yield penalty under both saline and non-saline conditions; and (3) effective ways for trait stacking to obtain salt-tolerant soybeans throughout the whole growing period.

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## Article

# Exploring Genetics by Environment Interactions in Some Rice Genotypes across Varied Environmental Conditions

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**Abstract:** Rice production faces challenges related to diverse climate change processes. Heat stress combined with low humidity, water scarcity, and salinity are the foremost threats in its cultivation. The present investigation aimed at identifying the most resilient rice genotypes with yield stability to cope with the current waves of climate change. A total of 34 rice genotypes were exposed to multilocation trials. These locations had different environmental conditions, mainly normal, heat stress with low humidity, and salinity-affected soils. The genotypes were assessed for their yield stability under these conditions. The newly developed metan package of R-studio was employed to perform additive main effects and multiplicative interactions modelling and genotype-by-environment modelling. The results indicated that there were highly significant differences among the tested genotypes and environments. The main effects of the environments accounted for the largest portion of the total yield sum of squared deviations, while different sets of genotypes showed good performance in different environments. AMMI1 and GGE biplots confirmed that Giza179 was the highest-yielding genotype, whereas Giza178 was considered the most-adopted and highest-yielding genotype across environments. These findings were further confirmed by the which-won-where analysis, which explained that Giza178 has the greatest adaptability to the different climatic conditions under study. While Giza179 was the best under normal environments, N22 recorded the uppermost values under heat stress coupled with low humidity, and GZ1968-S-5-4 manifested superior performance regarding salinity-affected soils. Giza 177 was implicated regarding harsh environments. The mean vs. stability-based rankings indicated that the highest-ranked genotypes were Giza179 > Giza178 > IET1444 > IR65600-77 > GZ1968-S-5-4 > N22 > IR11L236 > IR12G3213. Among them, Giza178, IR65600-77, and IR12G3213 were the most stable genotypes. Furthermore, these results were confirmed by cluster-analysis-based stability indices. A significant and positive correlation was detected between the overall yield under all the environments with panicle length, number of panicles per plant, and thousand grain weight. Our study sheds light on the notion that the Indica/Japonica and Indica types have greater stability potential over the Japonica ones, as well as the potential utilization of genotypes with wide adaptability, stability, and high yield, such as Giza178, in the breeding programs for climate change resilience in rice.

**Keywords:** multilocation; climate change; heat stress; GGE biplot; AMMI analysis; yield stability; genotypes ranking; sustainability; biodiversity

## 1. Introduction

Rice (*Oryza sativa* L.) plays a vital role as a staple component in the diets of significant portions of the global population. As the world's population is projected to reach 10 billion

by 2058 [1], the importance of rice production becomes even more critical. Approximately 755 million tons of paddy rice are produced annually from about 162 million hectares of land [2]. However, in order to ensure food security and combat poverty, there is a pressing need for a substantial increase, exceeding 60%, in high-quality rice production [3,4].

To meet this escalating demand, programs of rice breeding have implemented various strategies to enhance yield potential and develop high-yielding rice varieties. These strategies have often focused on utilizing specific germplasms tailored to a single environmental condition, such as submergence, upland, lowland, salinity, or drought. However, the ever-growing threat of climate change poses new challenges, emphasizing the importance of stability and adaptability in rice cultivars across different environmental conditions. Therefore, it is crucial to model genotype-by-environment interactions (GEI), quantify genotypic resilience, and assess the stability of the parental genotypes that are frequently used as donor varieties. Such an approach is necessary to increase the efficiency in genotype selection and determine the adaptability of the genotype under multi-environment trials (METs) [3,5–9]. Parental selection for crosses might consider high adaptation (genotype capability to positively adapt to surrounding stressors) and yield stability (genotype capacity to react in relation to the environment's yield prospective) across various environments (places, seasons, or both). Taking these considerations into account, parent selection is also critical for reproduction tasks looking for a greater area of protection, particularly in places with diverse environmental and soil conditions [10]. Wide adaptability and stability under different environments are the most important characteristics that should be imbedded as criteria for varietal selection in breeding programs. Rice genotypes with widespread adaptability could be recommended as elite parents in rice breeding programs to improve general adaptability in various climates, particularly with the unpredictability of climate change events and the potential occurrence of extreme stressors simultaneously or successively. Several statistical approaches have been created to enhance the precision of genotype  $\times$  environment interactions and to aid understanding the stability as well as adaptability of tested genotypes [3,11].

Grain yield is considered the most reliable determinant of genotypic performance, mainly determined by the additive effects of genotype (G), environment (E), and the non-additive effect of GEI [12]. Evaluating different rice varieties across diverse rice production locations provides essential insights into their performance and resilience to the inherent environmental factors unique to each location. Furthermore, this approach allows for tailored genotype selection tuned to distinct natural environments [13]. Additionally, such multilocation preliminary yield trials facilitate the selection of promising varieties by demonstrating their yield potential and stability across diverse environments [14]. So long as the environment differs in terms of climatic conditions, the varieties that exhibit stability across these environments demonstrate greater resilience to the effects of climate change.

Several statistical methods have been developed to measure GEI, including regression coefficient [15], sum of squared deviations due to regression [16], and additive main effects and multiplicative interaction (AMMI) [17]. Another method, known as  $G + G \times E$  (genotype + genotype  $\times$  environment, GGE) polygon view, incorporates a graphical approach (GGE biplot), which characterizes the mega-environment, ranks varieties, and identifies the best genotypes in each environment (which-won-where) [18,19].

In recent development, a novel R package named "metan" has been specifically designed to analyze multi-environment trials (METs) [20]. This package offers a workflow-based procedure that encompasses sequential functions for assessing commonly used parametric and nonparametric stability statistics [21]. The metan package provides a comprehensive set of tools for MET data management, manipulating, analyzing, and visualizing the MET data. It has been successfully employed to quantify yield stability in various crops, including rice [14], lentil [22], wheat [23], soybean [24,25], sugarcane [26], and others [27–31].

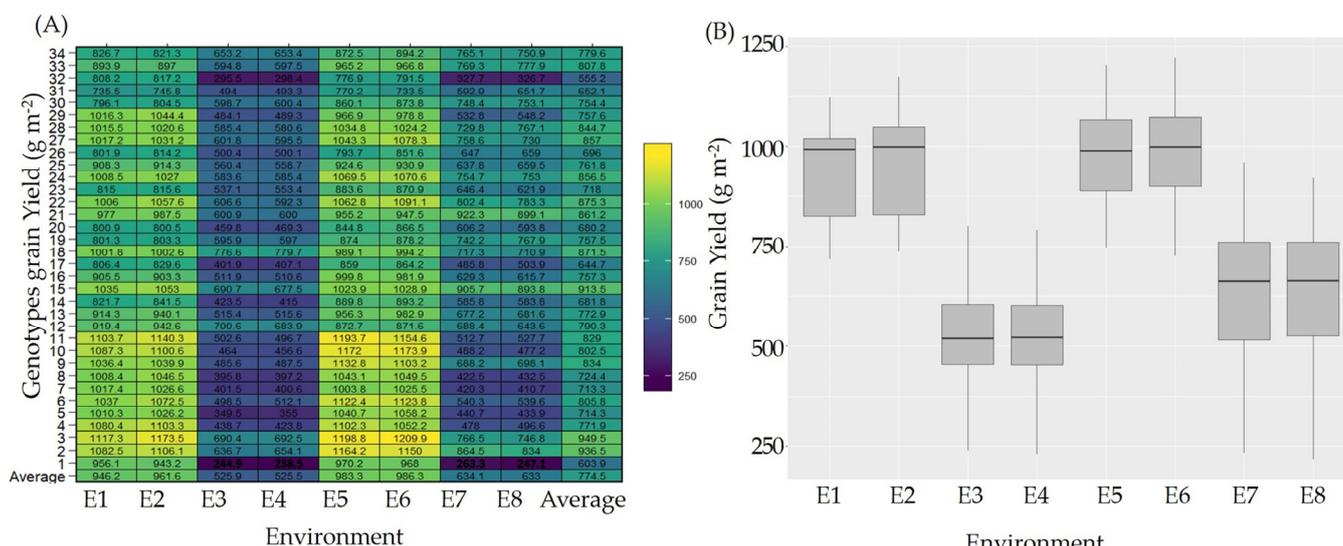
In the current investigation, the yield performance of different rice genotypes was evaluated at different rice growing environments. These genotypes are commonly employed as donors for different breeding purposes, such as salinity, water shortage, and

disease resistance. These genotypes are tested under environments where heat stress, low humidity, and salinity stress are naturally inherited in these locations. This study aims to conduct a comprehensive multilocation evaluation of yield performance, identifying genotypes with both high yield potential and stability in diverse locations.

## 2. Results

### 2.1. GY Combined AMMI Analysis of Variance and Genotypic Variability

To cope with climate change, 34 genotypes were assessed at four locations during two seasons of study (2021 and 2022). The obtained results indicated highly significant differences among the genotypes across the different environments under study (Figure 1A,B and Table 1). The AMMI model analysis of variance for the 34 genotypes across the four locations throughout the two seasons exhibited a significant influence of all the factors analyzed on the genotypes' yield performance (Table 1). The main effects of environments accounted for a substantial portion, as much as 71.29%, of the total sum of the squared deviations for the genotypes' yield, while the proportion that accounted for G and GEI was 14.77 and 13.94, respectively. Accordingly, 29.32% of the grain yield variability could be attributed to identifying genotypes with narrow adaptability.



**Figure 1.** Genotypic performance across the different locations. (A) Genotypes' grain yield means across the 8 different environments. (B) Box plot of grain yield for the 8 different environments explaining the differences among the 4 locations.

The variability among the genotypes is supported by the differences between the mean yield values of the genotypes under study as they ranged from 238.5 g m<sup>-2</sup> for Giza177 (G1) in 2022 at the Alexandria location to 1209 g m<sup>-2</sup> for Giza179 (G3) in 2022 at the Gemmiza location (Figure 1A, Supplementary file: Table S3). Furthermore, the average yield production throughout the locations was also highly changeable. The average yield at Alexandria revealed the lowest values (525.9 g m<sup>-2</sup> and 525.5 g m<sup>-2</sup> for the two seasons, respectively), while the Gemmiza site recorded the maximum values (987.7 and 990.4 g m<sup>-2</sup>, respectively) for the two seasons of study (Figure 1B).

### 2.2. GEI-Structure-Based Additive Main Effect and Multiplicative Interaction Model

The decomposition of the effect in the interaction-related multiplicative variance of the GEI was depicted by AMMI analysis and yielded four significant principal components of interaction (IPCA, Table 1). These four IPCAs explained 99.5 percent of the total GEI effects, contributing 88.9%, 7.9%, 2.2%, and 0.5% for IPCA1, IPCA 2, IPCA3, and IPCA4, respectively. Meanwhile, IPCA5, IPCA6, and IPCA7 displayed nonsignificant impacts, and their ratio amounted to 0.5. IPCA1 and IPCA2 together gathered about 96.9%, explaining

that both IPCAs are the best predictive model and sufficient for explaining the GEI. These results further indicate the extensive interaction of multivariate datasets with the PCA output, subsequently extracting and understanding the patterns regarding GEI that existed in the yield performance of the 34 genotypes under the different environments.

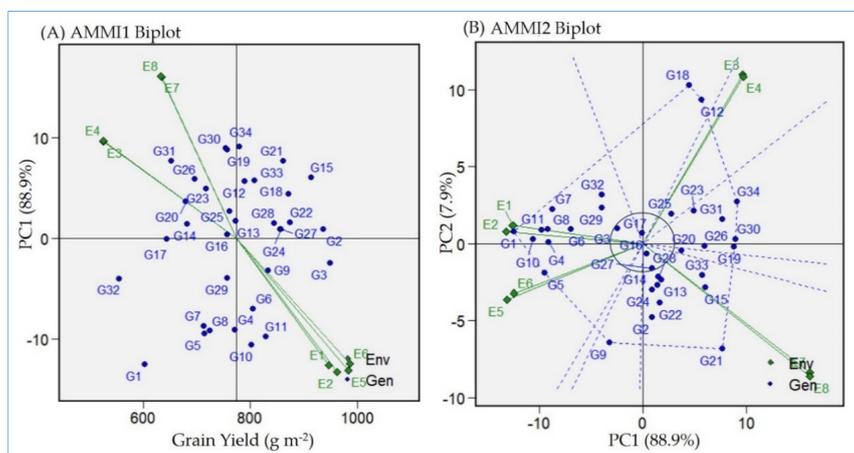
**Table 1.** AMMI analysis of variance model and GEI decomposition.

Source	Df	Sum Sq	Mean Sq	F Value	Pr (>F)	Proportion	Accumulated
ENV	7	32,277,639	4,611,091	5554.827	0		
REP(ENV)	16	13,281.69	830.1054	1.703935	0.042241		
GEN	33	6,685,134	202,579.8	415.83	0		
GEN:ENV	231	6,311,279	27,321.56	56.08221	$8.8 \times 10^{-275}$		
PC1	39	5,612,970	143,922.3	295.43	0	88.9	88.9
PC2	37	499,545.4	13,501.23	27.71	0	7.9	96.9
PC3	35	141,380.5	4039.443	8.29	0	2.2	99.1
PC4	33	28,625.39	867.4361	1.78	0.0054	0.5	99.5
PC5	31	15,441.88	498.1253	1.02	0.439	0.2	99.8
PC6	29	10,612.79	365.9583	0.75	0.8262	0.2	100
PC7	27	2703	100.1111	0.21	1	0	100
Residuals	528	257,225.6	487.1697				
Total	1046	51,855,839	49,575.37				

ENV: environments; REP: replicates; GEN: genotypes; PC: principal components; Df: degree of freedom; Sq: squares; and Pr: probability.

**2.3. Detection of Stable and High-Yielding Genotypes via AMMIs Model Biplot**

To identify high-yielding and stable genotypes across the environments, the AMMI1 biplot was generated. The AMMI1 biplot plots the means of the genotypes and environments against their PCA1 (Figure 2A). The AMMI1 biplot revealed that those environments located at Alexandria (E3 and E4) and Kharga oasis (E7 and E8) produced the lowest yields across the genotypes. Similarly, Sakha103 (G32) showed the minimized grain yield average across the different environments presented in the current research (Figure 2A and Supplementary file: Table S3). Furthermore, the AMMI1 biplot exhibited that Giza179 (G3) had the uppermost yielding values, whereas Giza178 (G2) was considered the most widely adopted and highest-yielding genotype across the environments. Based on the genotypes’ performance with PC1 estimates, a value close to zero means that the genotype has general adaptability under the different environments. Morobereccan (G17) and Nerica 7 (G16) were the most stable genotypes, owing the least PC1 values of 0.22 and 0.3, respectively (Figure 2A and Supplementary file: Table S4).



**Figure 2.** (A) The “AMMI1” biplot displays the main effect (GY) and IPC1 effect values explaining the relationship among tested genotypes and environments. (B) The “AMMI2” biplot displays the main

axes of G+GEI effect (IPCA1 and IPCA2) values for the tested genotypes and environments. The tested genotypes are 34 (G1:G34 in blue color) grown in four locations in the two consecutive years, 2021 and 2022 (E1 and E2 = Sakha; E3 and E4 = Alexandria; E5 and E6 = Gemmiza; E7 and E8 = Kharga oasis).

The AMMI2 biplot pinpointed the genotype stability performance regarding yield based on IPCA1 and IPCA2 values (Figure 2B). The genotypes and environments with lower IPCA1 and IPCA2 values that are plotted in close proximity to the plot origin are considered the most stable ones. This explains the reduced interaction ability of genotypes and their adaptability regarding the different environments under study. Based on the AMMI2 biplot, Nerica 7 (G16), Morobereccan (G17), IR11L236 (G27), and Giza179 (G3) are demonstrated to be considerable genotypes. Both the AMMI1 and AMMI2 biplots indicated the instability of Giza177 (G1) and Vandana (G30) for the different environments in the present research.

#### 2.4. Which–Won–Where Approach Based on GGE Biplot for Detecting the Best-Performing Genotypes

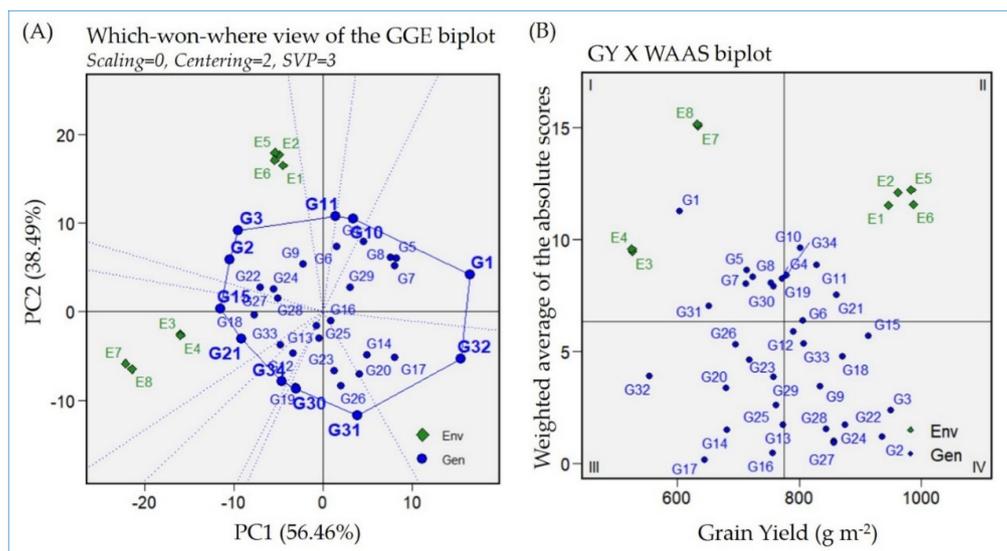
The GGE biplot polygon view based on the which–won–where structure of a MET approach is the simplest and most efficient method for detecting the genotype and its environmental interaction (Figure 3A). It is used for interpreting GEI and detecting superior genotypes across different environments. In the current investigation, the biplot polygon view showed the tested environments in two different sectors. The environments of the locations Gemmiza (E5 and E6) and Sakha (E1 and E2) were located in the same sector, while the other two locations (Alexandria (E3 and E4) and Kharga oasis (E7 and E8)) were separated in another sector. The genotypes at the corner of each section of those environments had the highest yield for the corresponding environments. The genotypes joined by the polygon are the farthest from the origin and called vertex genotypes. Those vertex genotypes located in the same sector or close to a specific environment are considered the best genotypes for this environment. Accordingly, Giza179 (G3) is the best-performing genotype in Sakha and Gemmiza, followed by Sakha Super 300 (G11). Likewise, N22 (G21) followed by IET1444 (G15) are considered the most suitable genotypes for environments E7 and E8 of Kharga oasis. IET1444 (G15) and Giza178 (G2) had stable performance for the environments located in their sectors. Furthermore, Giza178 (G2) exists in the middle of all the environments; consequently, it is stable across all the study environments. In contrast, Giza177 (G1) is situated on the opposite side of E3, E4, E7, and E8, which reflects the minimum appropriateness for these environments. Similarly, Dular (G31) exists on the opposite side of E1, E2, E5, and E6, reflecting the same situation.

#### 2.5. Grain Yield Versus Weighted Average of Absolute Score Stability Index Biplot

Various stability statistics were estimated and presented in the Supplementary Materials (Table S5). Based on GY, which considers the main breeder selection criteria, genotypes Giza179, Giza178, IET1444, IR65600-77, GZ1968-S-5-4, and N22 achieved the highest rank in this regard. The weighted average of absolute scores (WAASB) was also used to better identify the most adapted genotypes across the different environments based on the mean GY and stability. The GY × WAAS biplot displayed the distribution of the tested rice genotypes and environments based on the genotypes' GY mean and WAASB values, as presented in Figure 3B.

The first quadrant I, contains those genotypes that are low yielding and unstable across all tested environments. Among these genotypes Giza177 (G1), G4, G5, G7, G8, G19, G30, and G31. These genotypes are less desirable as they showed lower grain yield and inconsistent performance compared to the mean of overall grain yield. In addition, this quadrant has low GY environments E3, E4, E7 and E8. Accordingly, the genotypes and environments located in this quadrant have the largest response to GEI. The second section (quadrant II), contains the environments E1, E2, E5 and E6, coupled with the genotypes that have a GY above average with a high GEI response, such as G6, G10, G11, G34, and G21. These genotypes are less reliable as they have high yield under normal

conditions, but didn't display consistently under harsh environments. GY × WAAS biplot also presented genotypes that showed relative stable performance across the evaluated environments. These genotypes were existed in the quadrants III and IV. G13, G14, G16, G17, G20, G23, G26, G29 and G32 presented minimum yield but stable performance across the tested environments (Figure 3B). Those genotypes are more suitable for harsh environments. At the same time, G2, G3, G9, G12, G15, G18, G22, G24, G27, G28 and G33 located in quadrant IV. This quadrant contains the genotypes showing high yield performance without being influenced significantly by specific environmental conditions. These genotypes are desirable for broad adaptation ability.

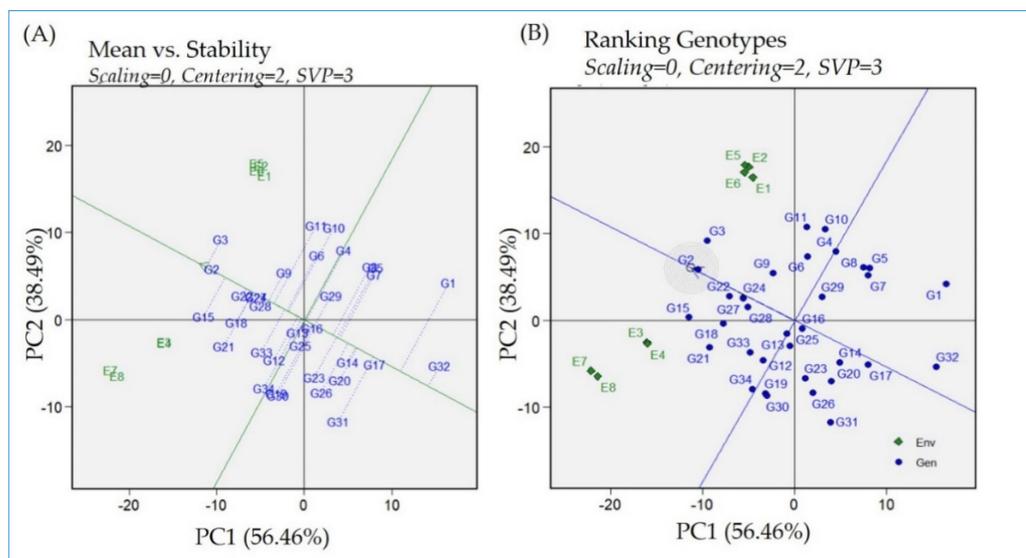


**Figure 3.** (A) The “which-won-where” polygon biplot displays the winning genotypes at each environment. (B) The “GY vs. WAAS” biplot displays the most-adopted genotypes across the tested environments. The tested genotypes are 34 (G1:G34 in blue color) grown in four locations in the two consecutive years, 2021 and 2022 (E1 and E2 = Sakha; E3 and E4 = Alexandria; E5, and E6 = Gemmiza; E7 and E8 = Kharga oasis).

### 2.6. GGE Biplot—Means Versus Stability Model and Ranking of Rice Genotypes' Performance

The genotypes' mean performance versus stability biplot provides a visual tool for discriminating the tested genotypes (Figure 4A,B). This biplot presents the two PCs (1 and 2), which, for their additive percentage, explain the G + GE effects, respectively. The single-arrowed line in the biplot (Figure 4A) is the average environmental average (AEA) and points towards higher mean performance across the tested genotypes. Regarding the AEA, the average environment corresponding to the average values of the two PCs is pinpointed by the arrowhead in Figure 4A and further circled in Figure 4B. The genotypes located in the circle are considered to be the best genotypes. The perpendicular line to the AEA is called the average ordinate environment (AOE), and the intersection is the point that represents both the average mean performance and high stability. Other perpendicular lines linking the genotypes to the AEA explain the stability of the genotype. The closeness of genotypes to the AEA explains their stability across environments. However, using the ranking of the biplot, the ideal genotype is Giza178 (G2), being in the center of the circle. Giza178 had a high yield and the best adaptability among the other genotypes under consideration. Giza179 (G3) also exhibited high yielding ability. Giza 177 (G1) manifested a decrease in yielding ability with decreased stability compared to the other genotypes under study. The ranking of the genotypes from the worst to the best could be followed using the AEA direction. The yield average reduced in Sakha103 (G32) to the lowest values across the environments, followed by Giza177 (G1), Morberekkan (G17), Dular (G31), IRAT112 (G20), and Nerica 9 (G14), following the AEA direction until Giza179 (G3). The uppermost-ranked

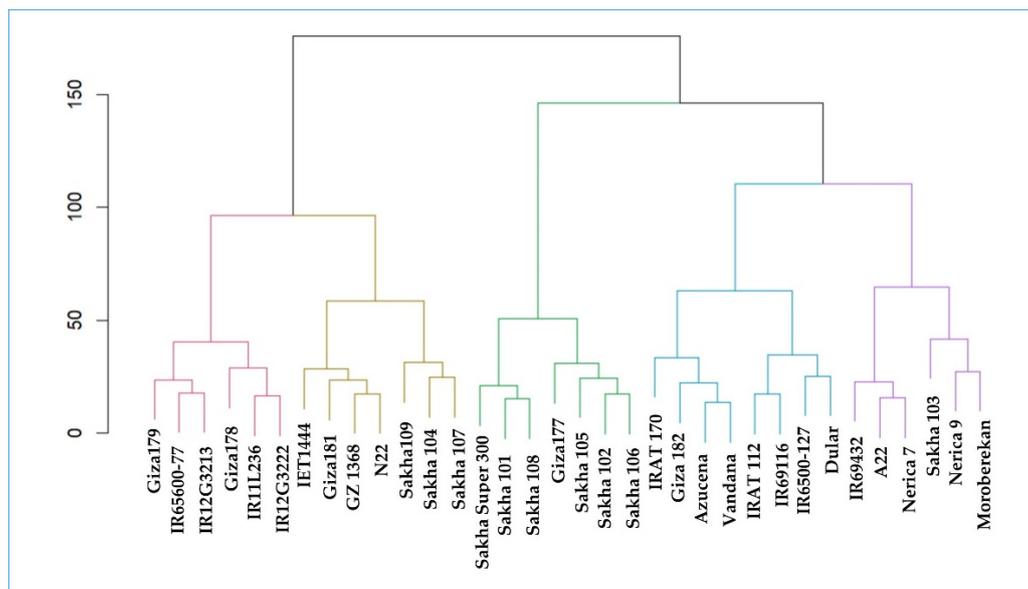
genotypes were assigned for Giza179 (G3) > Giza178 (G2) > IET1444 (G15) > IR65600-77 (G22) > GZ1968-S-5-4 (G18) > N22 (G21) > IR11L236 (G27) > IR12G3213 (G24).



**Figure 4.** (A) The “mean versus stability” model describing the interaction effect of the tested rice genotypes evaluated across eight environments. (B) The “ranking genotypes” model of biplot to assess the ideal genotype. The tested genotypes are 34 (G1:G34 in blue color) grown in four locations in the two consecutive years, 2021 and 2022 (E1 and E2 = Sakha; E3 and E4 = Alexandria; E5 and E6 = Gemmiza; E7 and E8 = Kharga oasis).

### 2.7. Cluster-Analysis-Based Stability Indices

Several stability indices were measured based on the genotypes’ yield records in the environments under the present constructed study. Stability indices such as environmental variance, mean variance component, GE variance component, joint regression analysis, Tai’s stability statistics, coefficient of variance, superiority index, and AMMI-based stability statistics are normally used to rank the genotypes based on their estimated values. These indices are Shukla\_R,  $W_i_g_R$ ,  $W_i_f_R$ ,  $W_i_u_R$ , Ecoval\_R, Sij\_R,  $Pi_a_R$ ,  $Pi_f_R$ ,  $Pi_u_R$ , Gai\_R, S1\_R, S2\_R, S3\_R, S6\_R, N1\_R; Supplementary Table S5. The indices’ values corresponding to the tested genotypes were manipulated based on the squared Euclidean distance to conduct hierarchical cluster analysis via Ward’s method (Figure 5). This method was used to group the genotypes with the same stability in the same cluster. Based on this fact, the tested rice genotypes were grouped in two main clusters (CL), resembling the main grouping pattern. Each CL was divided into two subclusters (SCL). The first SCL colored with red contains genotypes that had similar stability measures. Those genotypes (Giza179, Giza178, IR65600-77, IR11L236, IR12G3213, and IR12G3222) have the highest overall mean performance across the different environments. These genotypes are among the top-ranked ones based on mean vs. stability ranking. Furthermore, IET1444 was clustered with N22, and they are the top-performing genotypes under Kharga oasis environments. GZ1968-S-5-4 is the top-performing genotype under Alexandria conditions, whereas IET1444 is considered among the top-four-performing genotypes. For the Gemmiza location, Sakha104 and Sakha 107 are among the top-performing genotypes, whereas Sakha104 and Sakha109 are categorized at the same rank in the overall mean performance of the genotypes as compared to other genotypes. These results confirm the goodness of the stability indices in ranking the genotypes and their utilization in clustering the genotypes into different groups.



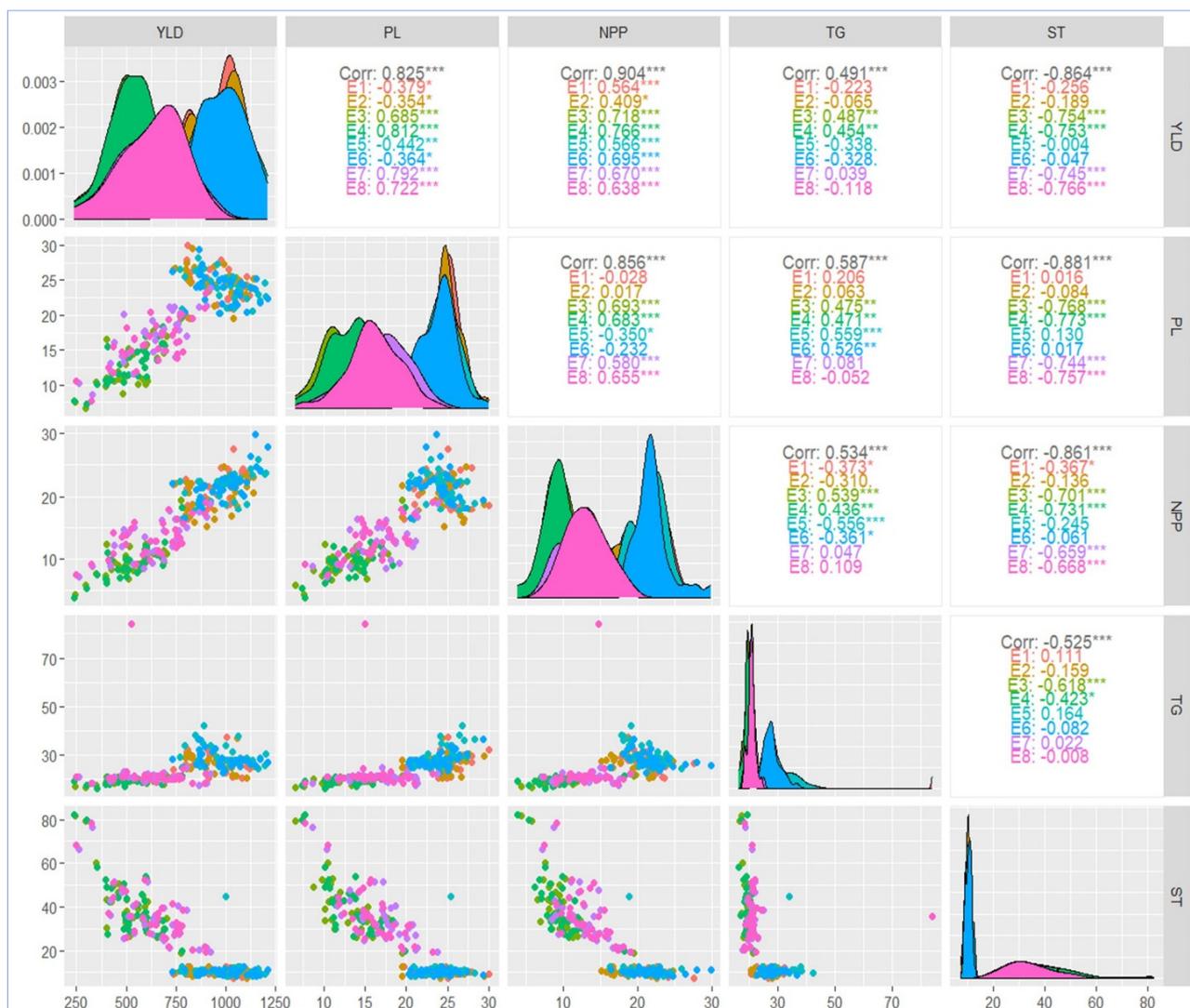
**Figure 5.** Hierarchical dendrogram classifying the 34 rice genotypes based on their ranks for GY and stability statistics conducted via Ward's method.

The Sakha Super 300, Sakha101, and Sakha 108 genotypes showed top performance in the Sakha and Gemmiza locations. However, their rank deteriorated in the unfavorable environments of Alexandria and Kharga oasis as compared to the other tested genotypes. Giza177, Sakha105, Sakha102, and Saka 106 were grouped together in the middle subcluster, indicating their average performance under favorable conditions and low performance under unfavorable conditions. At the same time, IRAT17, Giza182, Azucena, and Vandana were grouped together and provided a slightly more stable performance in unfavorable conditions and an unstable performance under favorable conditions when compared to the other genotypes. Moreover, IRAT112, IR69116, IR6500-127, and Dular in the same subcluster had average performance under harsh environments and low performance under favored environments.

The Sakha 103, Nerica 9, and Moroberekan genotypes grouped together in the purple subcluster and displayed a reduction in their performance with regard to the overall performance under the different environments. In the last subcluster, IR69432, A22, and Nerica7 located together due to their average ranking across the different environments.

### 2.8. Correlation Coefficient Analysis

The correlations among the studied yield-related characteristics and yield were analyzed under the different environments (Figure 6). All the evaluated characteristics have a significant correlation with yield. A significant and positive correlation was detected between the overall yield under all the environments with panicle length ( $r = 0.825$ ), number of panicles per plant ( $r = 0.904$ ), and thousand grain weight ( $r = 0.491$ ). In contrast, the total yield performance negatively correlated with the genotypes' sterility percentage under all the environments. Interestingly, panicle length showed a highly significant and positive correlation with yield under harsh conditions in contrast to the negative ones under favored environments. Furthermore, sterility was negatively correlated with all the measured characteristics. In the density plots presented in the diagonal, it is noted that E1, E2, E6, E8, and E2 have the highest peaks compared to the others for yield, panicle length, number of panicles per plant, thousand grain weight, and sterility percentages, respectively.



**Figure 6.** Spearman correlation coefficients for the yield, and other studied yield-related traits. Scatterplots of each trait’s pair of numeric variables are situated in the left part of the figure. Variable distribution is drawn on the diagonal. YLD: yield; PL: panicle length (cm); NPP: number of panicles per plant; TG: thousand grain weight (g); and ST: sterility (%). These traits were recorded for the tested genotypes grown in the four locations for the two consecutive years, 2021 and 2022 (E1 and E2 = Sakha; E3 and E4 = Alexandria; E5 and E6 = Gemmiza; E7 and E8 = Kharga oasis). \*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$ .

### 3. Discussion

Breeding for yield stability across different environments is becoming the most popular program with the current waves of climatic changes. Annually, there are major fluctuations in climate records, especially with regard to water scarcity, temperature, and humidity. Those stressors are considered among the main ones affecting rice production [10]. Identifying the most suitable genotypes for a specific climatic location involves an important step of starting a breeding program for such an environment. AMMI analysis of variance of 34 rice genotypes over eight environments for grain yield is provided in Table 1. Significant differences were detected among E, G, and GEI. This means that the genotypes showed different behavior in their environments. This enables the breeder to justify the selection of genotypes based on the magnitude of interaction with the environment [32,33]. Environments accounted for the highest sum of squares, 70.68%, explaining the highest differences among them. This indicates that different sets of genotypes appeared to be

high-yielding in different environments. Accordingly, selection of the genotypes based on the environment is effective while considering genotype performance and GEI. The high effect of environments was mainly due to the unique environmental features for each location. These variability among the environmental conditions may have activated some yield-enhancing genes in different genotypes, which developed a significant GEI and resulted in high yield variability (Figure 1A,B). While investigating the genotypic yield stability across environments, the research reported by Barona et al. 2021, Dewi et al. 2014, Tariku et al. 2013, and Zewdu et al. 2020 [34–37] also concluded that the environmental effect recorded the highest impact. Meanwhile, other investigations reported genotypes' effects to be the most effective impacts. In these investigations, the differences between environments were mainly due to one effect, such as identifying the most stable rice genotype across different fertilizer levels [14].

Apparently, our investigation confirms that high variability exists between the tested environments. Two locations had favored environments, Sakha and Gemmiza (Supplementary Table S6), where the environmental conditions and soil properties are suitable for good rice growth. Contrarily, Kharga oasis and Sobahya had unfavored environments. Kharga oasis has high temperature records during the growing seasons together with low humidity, while the Alexandria site suffered from poor soil conditions. These conditions were reflected in the genotypes' yield performance across environments (Figure 1A,B). Consequently, the rankings of the tested genotypes, based on yield performance, were subject to changes in specific locations. Giza179, Sakha Super 300, Sakha 108, and Giza178 developed the highest grain yield under the favored conditions of Sakha and Gemmiza. Meanwhile, GZ1968-S-5-4, IRAT170, IET1444, and Giza179 were the best at the Alexandria location despite affecting the soil with salinity. Moreover, at the unfavored environment of Kharga oasis, with heat stress accompanied by low humidity conditions, genotypes N22, IET144, Giza178, and IR65600-77 recorded the best values and the highest ranking. These findings demonstrate the influence of GEI on the performance of the tested genotypes. GEI reduced the performance of the evaluated genotypes by affecting their yield under unfavored conditions. This output is supported by the findings of others [38,39].

To quantify the magnitude of the GEI in the current investigation, the GEI principal components (PCs) were assessed. GEI was partitioned into seven PCs (Table 1). The first two significant IPCs (PC 1 and PC 2) accounted for approximately 96.9% of the interaction between the E and G. This indicates that these two PCAs were sufficient to present the GEI complex patterns. Consequently, all the interaction information could be speculated by plotting these two PCs. Our findings provide proofing for the results of several earlier investigations obtained by others that support the idea that the first two IPCs of the AMMI model are the most important during quantifying GEI [14,34–37].

The AMMI model provides an effective analytical procedure to understand the GEI through a graphical biplot tool to clearly identify the ideal genotypes [21,40,41]. Among these are AMMI1 and two biplots. AMMI1 revealed that Giza 178 is the best genotype with regard to stability and mean performance across environments (Figure 2A). Genotypes with small interaction with the environment have IPCA1 values close to zero [5,21]. Consequently, Giza178 showed wider adaptation to the environments (normal, heat stress, and salinity stress) under the current research, and high yielding performance. Contrarily, Giza177 showed small adaptability to the tested environments. This is mainly due to lacking specific genes that could provide the genotype resistance to the harsh environments of Kharga oasis and Sobahya. The AMMI2 biplot summarizes information based on the first two PCs (PC1 and PC2 in GEI). Genotypes with low IPCA1 and IPCA2 values have low interaction with the environments [38–40,42–44]. These genotypes are centered near to the origin of the AMMI2 biplot. Among these genotypes, Giza179 had high yielding performance and had general adaptability to the conditions under study. Giza179 is an Egyptian rice cultivar bred for climate change resistance [9,45].

Evaluating the genotypes across multilocation trials provide the fundamentals regarding variation. The GGE biplot clarifies the best-performing genotypes across all the

environments [42,46]. The polygon view of the GGE biplot (which-won-where) illustrated the winning genotypes for each environment under study (Figure 3A). This biplot view considers the most efficient and simplest method for characterizing the genotypes and their interaction with the environment [5,14]. In this biplot, the genotypes with the longest distance from the biplot origin in the same direction or close to one or more from the environment are considered the best genotypes for those environments. Giza179 is the superior-performing genotype in the Sakha and Gemmiza environments, followed by Sakha Super 300. These environments are considered ideal for rice crop growth in Egypt. Meanwhile, in a harsh environment where heat stress is excited in Kharga oasis, N22 and IET1444 were preferable. This finding is supported by N22 and IET1444 harboring specific genes for heat and water shortage stress tolerance [43,44,47–49]. Furthermore, IET1444 and Giza178 were located in the middle between the eight studied environments regarding their stable performance [5], while Giza177 was inferior for the environments located in Kharga oasis and Sobahya. This was mainly due to the harsh environments coupled with heat and salinity stress. Giza177 is a popular Egyptian variety with high grain quality characteristics but is sensitive to heat and salinity stress [47,50].

We further calculated the stability index of WAAS to consider the sum of the absolute values of the genotypes' IPCAs [39,43]. Then, a  $GY \times WAAS$  biplot was generated to better clarify the superior-performing genotypes. In terms of WAAS values, Moroberekan represents the minimal and desirable WAAS value. Moroberekan is a drought-blast-resistant genotype but with poor yield potential under favored conditions [47,51]. These features provided the genotype with stability performance across the different environments tested in the current study. However, as the biplot considers both GY and WAAS values for the genotypes, Giza178 and Giza179 are the superior ones (Figure 3B). These genotypes had high yield and minimum estimates for WAAS stability index. The  $GY \times WAAS$  biplot further divided the tested genotypes into four different categories (i.e., high-yielding stable, high-yielding not stable, low-yielding stable, and low-yielding unstable genotypes). This classification provides a clear vision for rice breeders to predict the genotypic performance of each genotype under study prior to integrating them in the different programs.

It is essential to assess the average stability together with the genotype's performance through the means versus stability model of a GGE biplot (Figure 4A,B). This model is capable of detecting high-ranking genotypes with great stability performance based on AEC decisions [23,52]. Giza179 is considered the highest, whereas the ideal genotype was Giza178 via being high-yielding and more stable than Giza179. In contrast, Giza177 had low stability with sensitivity to harsh environments under study, which decreased its overall yield performance. Based on AEC ranking, the lowest-ranked genotypes are Sakha103, Giza177, Moroberekan, Dular, IRAT112, and Nerica 9, while the top-ranked genotypes are Giza179 (G3) > Giza178 (G2) > IET1444 (G15) > IR65600-77 (G22) > GZ1968-S-5-4 (G18) > N22 (G21) > IR11L236 (G27) > IR12G3213 (G24). Among them, Giza178, IR65600-77, and IR12G3213 were the most stable ones. These genotypes could be utilized in breeding programs while having high yielding ability under unfavored environments and also maintaining good performance under normal conditions.

To further identify the groups of the genotypes based on the different stability indices, cluster analysis was conducted. It is worth mentioning that, based on this analysis, the genotypes with the highest overall mean performance across the different environments were clustered in a single SCL. These genotypes are Giza179, Giza178, IR65600-77, IR11L3213, IR12G3213, and IR12G3222, which are the top-ranked ones based on mean vs. stability ranking (Figure 5).

Correlation coefficients indicated that number of panicles per plant exhibited the highest positive correlation with grain yield under all the environments. However, the overall correlation coefficient between panicle length and grain yield records was significantly positive, and the coefficient was positive under unfavored environments and negative under favored environments (Figure 6). This indicated the importance of panicle length as a characteristic for increasing yield under harsh environments. This positive relationship

suggests that it is possible to improve yield by breeding cultivars with higher panicle length. These findings are supported by those obtained by Laza et al. [49,52], who highlighted the importance of panicle size as a promising characteristic for enhancing cultivars' yield potential.

Considering our results, Giza179 and Giza178 exhibited high performance. Giza178 was the ideal genotype and had the widest adaptability. GZ1968-S-5-4 displayed the best genotype performance under Alexandria environments, where salinity stress affected the soil. At the same time, N22 presented the best values in Kharga oasis since high temperature coupled with low humidity are the common environmental conditions at this location.

#### 4. Materials and Methods

##### 4.1. Plant Materials

In the present research, a total of 34 rice genotypes were carefully selected. These genotypes could be classified into two sets. The first set consisted of elite Egyptian rice cultivars, specifically selected for their adaptability within the Egyptian rice production systems. These cultivars have been extensively cultivated and grown throughout Egypt, making them representative of the local rice landscape. The second set comprised international donor genotypes, known for their tolerance to salinity and water shortage stresses (Table 2). These donor genotypes were incorporated into the breeding program to enhance the resilience of the Egyptian elite cultivars against these specific constraints.

**Table 2.** List of genotypes used in the study.

No.	Genotype	Origin	Type
1	Giza 177	Egypt	Japonica
2	Giza 178	Egypt	Indica/Japonica
3	Giza 179	Egypt	Indica/Japonica
4	Sakha 101	Egypt	Japonica
5	Sakha 102	Egypt	Japonica
6	Sakha 104	Egypt	Japonica
7	Sakha 105	Egypt	Japonica
8	Sakha 106	Egypt	Japonica
9	Sakha 107	Egypt	Japonica
10	Sakha 108	Egypt	Japonica
11	Sakha Super 300	Egypt	Japonica
12	IRAT 170	Ivory Cost	Tropical japonica
13	A22	Srilanka	Indica
14	Nerica 9	Ivory cost	Indica
15	IET 1444	Inbdia	Indica
16	Nerica 7	Ivory Cost	Indica
17	Moroberekan	Guinea	Japonica
18	GZ 1368-S-5-4	Egypt	Indica
19	Azucena	Philippine	Japonica
20	IRAT 112	Ivory Cost	Indica
21	N22	India	Aus
22	IR65600-77	Philippines	Indica
23	IR69116	Philippines	Indica
24	IR12G3213	Philippines	Indica
25	IR69432	Philippines	Indica
26	IR6500-127	Philippines	Indica
27	IR11L236	Philippines	Indica
28	IR12G3222	Philippines	Indica
29	Sakha 109	Egypt	Japonica
30	Vandana	India	Indica
31	Dular	India	Indica
32	Sakha 103	Egypt	Japonica
33	Giza 181	Egypt	Indica
34	Giza 182	Egypt	Indica

#### 4.2. Experimental Locations and Climatic Conditions

The research trials were conducted across four distinct locations in Egypt, as presented in Table 3.

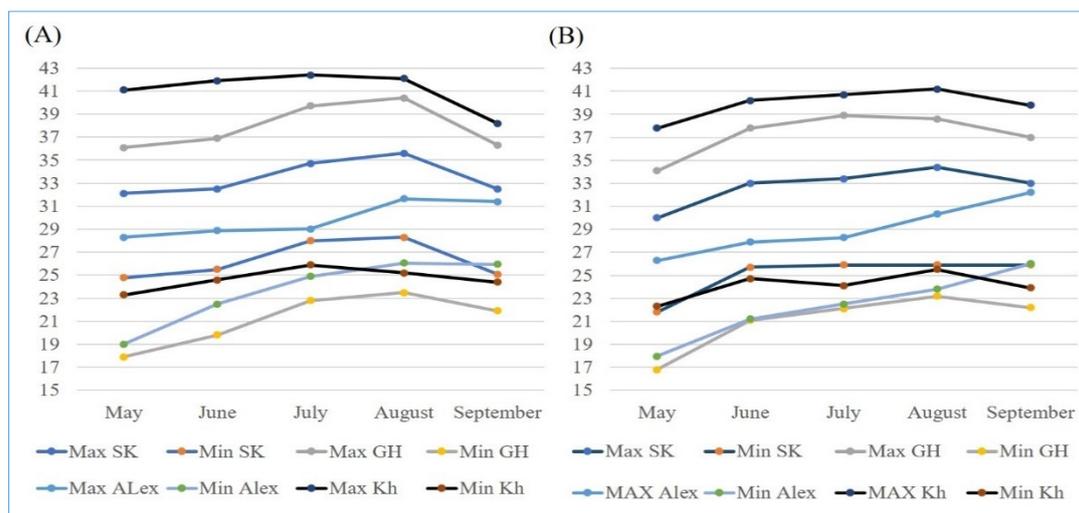
**Table 3.** List of four locations used in the present study.

No.	Location (Governorate)	Altitude–Latitude
1	Sakha (Kafr El-Sheikh)	31.09° N and 30.9° E
2	Gemmiza (ElGharbya)	30.88° N and 31.05° E
3	Sobahya (Alexandria)	31.2° N and 29.9° E
4	Kharga oasis (New Valley)	25.4° N and 30.5° E

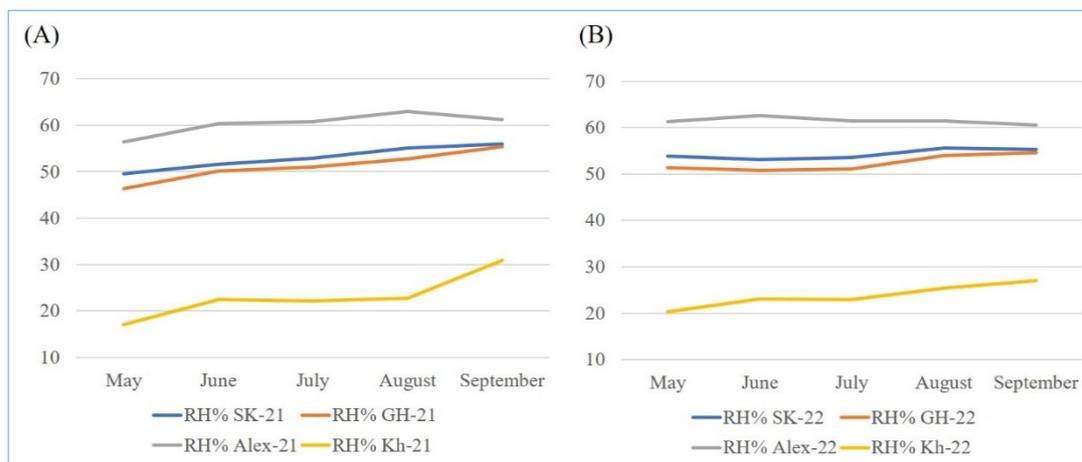
The trials were carried out over two consecutive growing seasons, 2021 and 2022. The temperature data for the four locations over the two years were acquired from <https://weather.com/> (accessed on 3 December 2022), while the humidity data were extracted from the NASA POWER project for agricultural needs (<https://power.larc.nasa.gov/> (accessed on 1 June 2023)).

Each of the four locations exhibits unique climatic conditions and soil properties. Kharga location, for instance, experiences high temperatures accompanied by very low humidity during the two growing seasons (Figures 7 and 8, Supplementary Table S1). The climatic conditions varied between the two seasons (Figures 1 and 2). Alexandria (Sobahya) location recorded the lowest maximum temperature and the highest humidity among the four locations, whereas the minimum temperature was exhibited at Gemmiza location during the two cropping seasons.

The physical and chemical analyses of the 8 environments' soils are presented in Table S2. The soils of the Gemmiza and Sakha locations are clay for the two seasons, while in Alexandria location was sandy clay loam. In contrast, Kharga oasis included loam sandy and clay sandy for 2021 and 2022 seasons, respectively. The Alexandria location soil analysis indicated the existence of high salt stress since  $\text{Na}^+$  was 59.10 and 58.94, whereas  $\text{Cl}^-$  amounted to 74.5 and 70.78 for the two seasons, 2021 and 2022, respectively.



**Figure 7.** The monthly average maximum and minimum temperature (°C) at four locations during 2021 (A) and 2022 (B) rice seasons.



**Figure 8.** The monthly average of relative humidity (%) at the four locations during 2021 (A) and 2022 (B) rice seasons.

#### 4.3. Experimental Design and Data Recording

Randomized complete block design (RCBD) with three replications was adopted at each of the four different locations during the two successive seasons. The experiment consisted of total eight environments, representing the combination of the four locations and the two years. Each genotype's seeds were planted in seedbed in May and transplanted after 25 days. The experimental plots were structured with five rows 2 m long with planting space of 20 cm × 20 cm. This layout was standardized for each genotype within every environment. To maintain the uniformity of all factors, except for the varying climatic conditions specific to each environment, standard agronomical practices such as field preparation, fertilizer application, and weed control were implemented. At the harvest stage, the plots were harvested at harvest stage and grain yield ( $\text{g m}^{-1}$ ) was recorded for each experimental plot after adjusting the moisture content. Furthermore, the yield-related characteristics, such as number of panicles per plant, panicle length (cm), thousand grain weight (g), and sterility (%), were studied.

#### 4.4. Statistical Analysis

The statistical packages available in R (R Core Team [53]) version 4.2.3. were used to conduct multivariate procedures for stability analysis according to AMMI and GGE. The "metan" package [20] was applied for AMMI analysis, whereas AMMI Model merged ANOVA and PCA techniques. The package was also employed for GGE biplot analysis [54], stability statistical analysis, and weighted average of absolute scores (WAAS) [43], while GGE-Biplot-GUI package was functioned to support the GGE-biplot-based analysis. Additionally, hierarchical cluster analysis was conducted via "Nbclust" package [55]. The AMMI analysis followed the below mathematical equation:  $Y_{ge} = \mu + \alpha_g + \beta_e + \sum_n \lambda_n \gamma_{gn} \delta_{en} + \rho_{ge}$ , where  $Y_{ge}$  represents the grain yield for a particular genotype (g) in a given environment (e),  $\mu$  is the genotype grand mean,  $\alpha_g$  is the deviation of genotype performance from the mean,  $\beta_e$  stands for the deviation of environment from the mean,  $\lambda_n$  signifies the singular value of n component,  $\gamma_{gn}$  indicates the value of eigenvector for genotype (g), and  $\delta_{en}$  is the value of eigenvector for e and  $\rho_{ge}$ , which is the remaining residual [55], while the GGE biplot model mathematical equation was  $P_{ij} = (y_{ij} - \mu - \delta_j) / \lambda_j = (\beta_i + \epsilon_{ij}) / \lambda_j$ , where  $P_{ij}$  is the matrix for genotype i and environment j,  $\mu$  is the genotype grand mean,  $\delta_j$  is the column (environment) main effect,  $\lambda_j$  is an evaluating factor,  $\beta_i$  is the row (genotype) main effect, and  $\epsilon_{ij}$  stands for GEI, and  $y_{ij}$  is a two-way table for G and E [56]. The Spearman correlation coefficient was calculated among the grain yield, the studied yield-related characteristics using the GGaly R software package.

## 5. Conclusions

Different sets of genotypes showed high yield performance under different environments. While the environments had the highest portion of yield sum of squared deviations, the results showed that the selection should be conducted based on genotypes' performance with respect to GEI. Furthermore, the results of AMMI, GGE biplot, and which-won-where showed that Giza178 had less response to the differences among the tested environments. This indicates that, compared to the tested genotypes, it has wide adaptability and capability as a climate-change-resilient genotype.

**Supplementary Materials:** The following supporting information can be downloaded at <https://www.mdpi.com/article/10.3390/plants13010074/s1>, Table S1: The monthly maximum and minimum temperature (°C) as well as relative humidity (%) at four locations during 2021 and 2022 rice seasons; Table S2: Physical and chemical properties of experimental field soils at four locations in the rice growing seasons; Table S3: Genotypes mean performance under the eight different environments; Table S4: Genotypes and environments overall mean performance and their PC1; Table S5: Genotypes rankings based on yield performance and several stability indices; Table S6: Favorable and unfavorable classification of the environments.

**Author Contributions:** M.A. and M.I.G. conceptualized the study. M.I.G., M.A., R.Y.E.-A., T.M.E.-h., S.A.E.-N. and E.M.D. conducted field experiments. M.I.G., R.Y.E.-A., T.M.E.-h., S.A.E.-N. and E.M.D. worked on the availability of weather records and soil analysis. M.A. and M.I.G. conducted the statistical analysis and wrote the first manuscript draft. M.R. revised the final version. All authors have read and agreed to the published version of the manuscript.

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## Article

# Melatonin-Mediated Enhancement of Photosynthetic Capacity and Photoprotection Improves Salt Tolerance in Wheat

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**Abstract:** The role of melatonin in plant growth and response to environmental stress has been widely demonstrated. However, the physiological and molecular regulation of salt tolerance in wheat seedlings by melatonin remains unclear. In this study, we investigated changes in phenotype, physiology, photosynthetic parameters, and transcript levels in wheat seedlings to reveal the role of melatonin in the regulation of salt tolerance in wheat. The results indicate that the application of exogenous melatonin significantly alleviates growth inhibition, reactive oxygen species accumulation, and membrane oxidative damage induced by salt stress in wheat. Additionally, exogenous melatonin increased antioxidant enzyme activity and regulated photosynthetic gas exchange. Transcriptomic data showed a significant up-regulation of genes encoding light-harvesting chlorophyll protein complex proteins in photosynthesis and genes related to chlorophyll and carotenoid biosynthesis under the influence of melatonin. These results suggest that exogenous melatonin improves salt tolerance in wheat seedlings by enhancing the antioxidant, photoprotective, and photosynthesis activities.

**Keywords:** wheat (*Triticum aestivum* L); salt stress; melatonin; RNA-seq; photosynthesis

## 1. Introduction

Soil salinization is a global issue resulting from both global climate change and inappropriate agricultural production, including incorrect farming techniques and excessive fertilizer application [1,2]. More than 20% of the world's arable land has been threatened by salt stress, which is severely reducing food production [3,4], threatening sustainable agricultural development and global food security [5–8]. Salt stress causes osmotic stress, ionic toxicity, and oxidative damage to plants, which in turn inhibits seed germination, development, flowering and fruiting, and ultimately leads to reduced crop yields [9–12]. Salt-mediated osmotic stress reduces the ability of plants to draw nutrients and water from the soil, leading to growth stagnation [1,13]. Ionic toxicity caused by high Na<sup>+</sup> and Cl<sup>-</sup> concentrations disrupts cellular homeostasis, increases membrane peroxidation, and inhibits photosynthesis in plants [14,15]. In addition, salt stress induces the accumulation of reactive oxygen species (ROS), such as hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) and superoxide anion (O<sub>2</sub><sup>-</sup>), resulting in oxidative damage and inhibition of plant growth and development [16,17].

Plants have developed a complex mechanism against salt stress, including regulating endogenous hormone levels, ion homeostasis, osmotic potential, and activity of antioxidant

enzymes [18–20]. Plants control ion uptake and transport by the salt overly sensitive (SOS) signaling pathway, which can maintain  $\text{Na}^+/\text{K}^+$  in cells by exporting excess  $\text{Na}^+$  when triggered by  $\text{Ca}^{2+}$  in the cytoplasm [21–25]. Moreover, plants remove excess  $\text{Na}^+$  via  $\text{Na}^+/\text{H}^+$  antiporters located at plasma and vesicle membranes [17,26,27]. Plant cells can increase the osmotic potential by accumulating osmoregulatory substances, such as soluble sugars, proline, inorganic ions, betaine, and polyols, thereby increasing salt tolerance [28]. ROS, which play an essential role in cell signaling, will be increased dramatically in plants when subjected to adversity stress and cause oxidative damage to cellular structures [9,29]. The ROS scavenging system mediated by antioxidant enzymes, including ascorbate peroxidase (APX), superoxide dismutase (SOD), peroxidase (POD), and catalase (CAT), can reduce the extent of cellular damage by scavenging the excessive accumulation of ROS, which is an important way to reduce oxidative damage in plants [7,30].

Melatonin (N-acetyl-5-methoxy tryptamine, MT) is a famous animal hormone involved in many bio-processes that include sleep, mood, day–night rhythms, seasonal reproductive physiology, temperature homeostasis, sexual behavior, antioxidant activity, and immune enhancement [31,32]. However, it is not a hormone unique to animals but is commonly found in plants [33]. Since 1995, when three laboratories simultaneously reported MT in plants, studies have detected and quantified MT in roots, shoots, leaves, fruits, and seeds of a considerable variety of plants [34–36]. To this day, MT is understood as a universal regulator of plant growth and development processes and various stress responses [31,37]. For example, MT maintains  $\text{Na}^+/\text{K}^+$  homeostasis under salt stress by increasing root  $\text{H}^+$ -pump activity and the sensitivity of  $\text{Na}^+/\text{K}^+$  transporter proteins to ROS and reactive nitrogen species (RNS) [38]. MT has been reported to increase seed germination and the root/stem ratio in cucumber under water stress [39]. Furthermore, MT was reported to reduce chlorophyll degradation, delay leaf senescence, improve antioxidant enzyme activity, increase osmoregulatory substances, and maintain hydrogen peroxide homeostasis in plants under abiotic stress [19,40–42]. Melatonin increased chlorophyll content, assimilation rate (A), stomatal conductance (gs), Internal  $\text{CO}_2$  (Ci), and transpiration rate (Tr) and also increased photosystem II (PSII) activity, PSII response center protein D1, Lhcb1, and Lhcb2 in rice, maize, and tomato under various stresses [43–45].

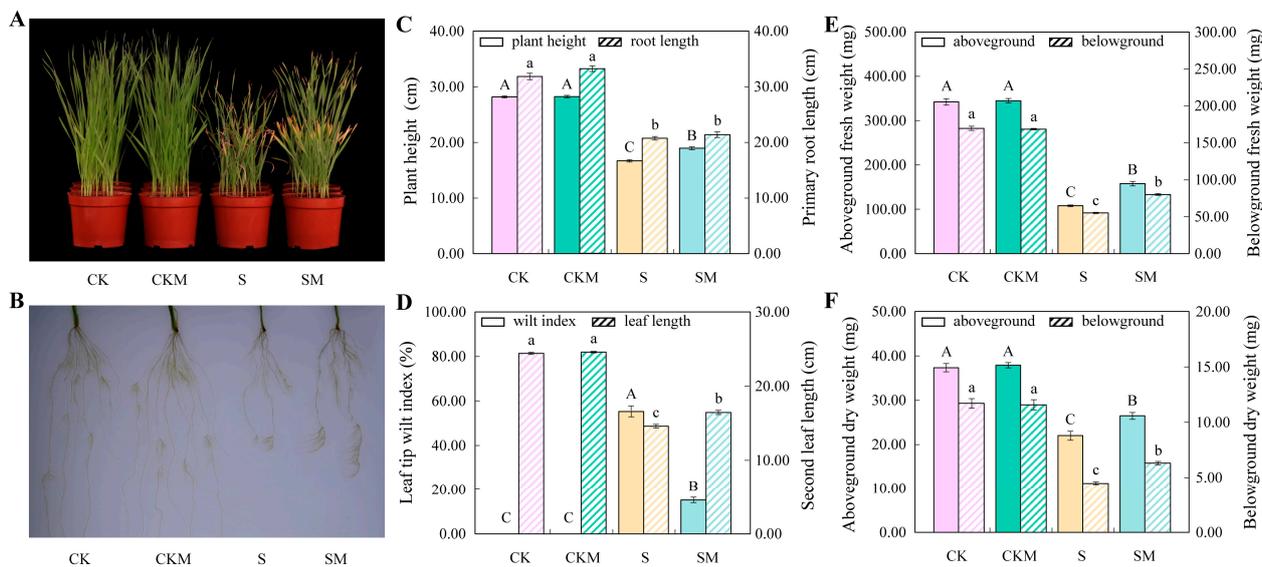
Wheat (*Triticum aestivum* L.), the second largest food crop in the world, suffers from the salinization of arable land, which seriously affects yields [4]. Therefore, how to improve the salt tolerance of wheat is gradually becoming an agricultural research hotspot. Although some studies have demonstrated the protective effect of applied exogenous melatonin on plants under salt stress conditions, MT-mediated salinity tolerance mechanisms in wheat seedlings have been studied less. Therefore, it is important to investigate the physiological and molecular mechanisms of wheat seedlings impacted by MT under salt stress, particularly the influence of melatonin on wheat seedling photosynthesis. In this study, wheat biomass, antioxidant enzyme activity, photosynthesis, and transcriptome sequencing were measured for different treatments. The results show that exogenous MT was involved in redox metabolism, alteration of photosynthetic parameters, and biosynthesis of photosynthetic proteins during wheat growth under salt stress, which improved the salt tolerance of wheat at the seedling stage.

## 2. Results

### 2.1. Phenotypes of Wheat Seedlings in Different Treatments under Salt Stress

After 20 days of growth under salt stress conditions, the phenotypes of wheat seedlings had significant differences (Figure 1). Compared to the seedlings grown under CK (normal condition without MT) and CKM (normal condition with MT) conditions, the plant height and root length of seedlings of S (salt stress without MT) and SM (salt stress with MT) groups were significantly decreased, and the wilting and yellowing of the first leaves were more serious. After MT application, the plant height and leaf length of SM treatment seedlings significantly increased by 13.66% and 12.70%, respectively, compared to those of untreated seedlings under salt stress, while the leaf tip wilt index significantly decreased

by 72.53% (Figure 1A–D). Meanwhile, the application of exogenous MT led to a 46.2% and 47.97% increase in fresh weight and a 20.3% and 45.91% increase in dry weight of aboveground and belowground seedlings, respectively, under salt stress (Figure 1E,F). Notably, MT had no significant effect on primary root length (Figure 1C), but significantly increased the length and number of lateral roots (Figure 1B). Overall, our results show that melatonin improved the growth of wheat under salt stress but had no significant effect on wheat growth under normal conditions.

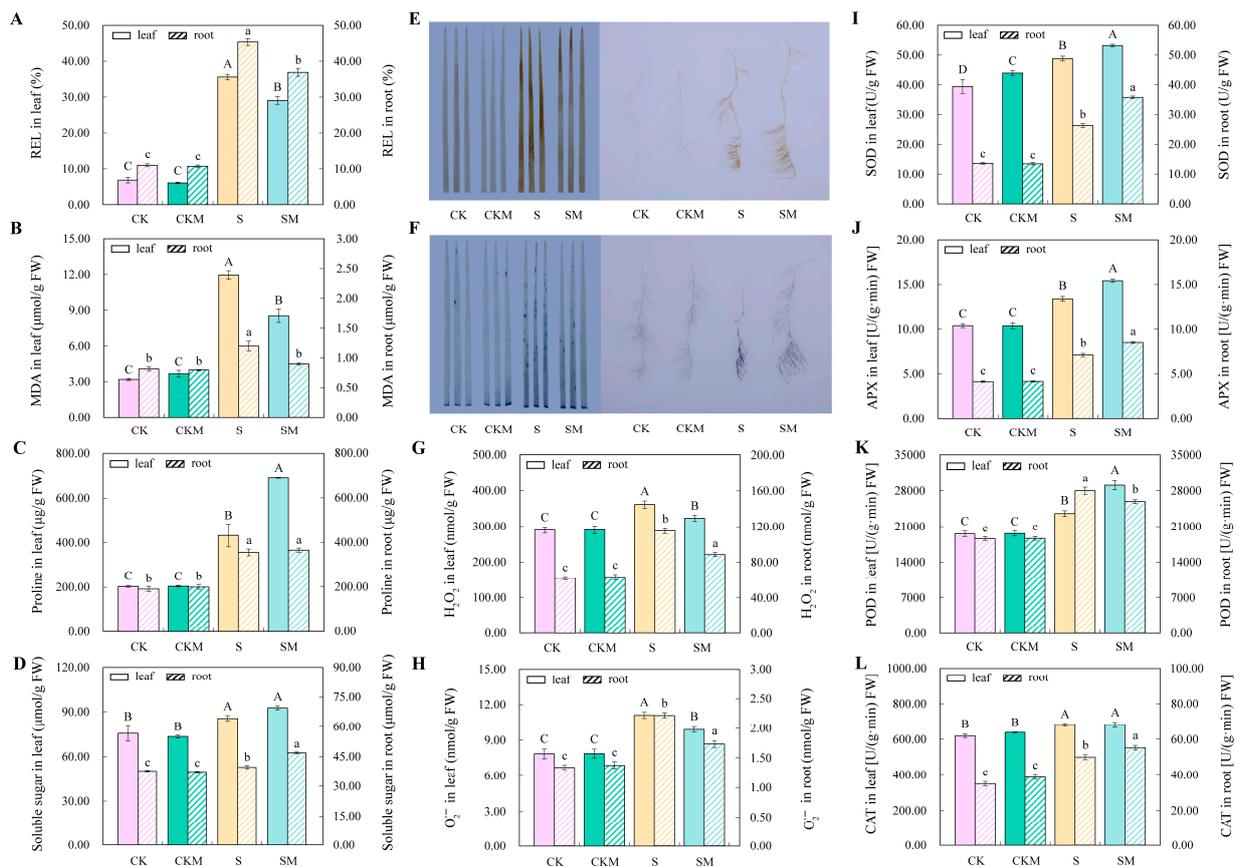


**Figure 1.** The effect of different conditions (CK, CKM, S, and SM) on the growth of wheat seedlings. (A) Phenotypes; (B) primary root growth; (C) plant height and root length; (D) leaf tip wilt index and second leaf length; (E) above- and belowground fresh weight; (F) dry weight. Values are the averages of replicates  $\pm$  deviation (SD,  $n = 3$ ). Different letters indicate significant differences according to the Duncan test ( $p < 0.05$ ). Uppercase letters indicate comparisons between indicators on the left and lowercase letters indicate comparisons between indicators on the right.

## 2.2. Measuring the Antioxidant and Osmoregulatory Abilities of MT

To reveal the antioxidant capacity of MT and its effect on osmoregulation, we determined the REL, MDA content, osmoregulatory substance content, ROS content, and antioxidant enzyme activities of wheat seedlings under different treatments. The results of measuring REL, MDA, proline, and soluble sugar contents of wheat seedlings show a significant increase in both leaves and roots under salt stress, indicating that salt stress damaged the membrane structure of wheat seedlings and induced the accumulation of osmoregulatory substances (Figure 2A–D). However, exogenous MT significantly reduced REL and MDA content in seedlings, while increased proline content in leaves and soluble sugar content in the root system under salt stress were detected, but no significant effect on seedlings under normal conditions (Figure 2A–D), which suggested that MT reduced salt stress-induced oxidative damage and alleviated osmotic stress by increasing osmoregulatory substances.

Under stress conditions, the level of ROS in plants increases dramatically [46]. In this study, exogenous MT had no significant effect on  $H_2O_2$  and  $O_2^-$  in leaves and roots under normal conditions, but the size and number of brown and blue spots on the leaves and roots were significantly reduced compared to those in untreated seedlings under salt stress, and the results of  $H_2O_2$  and  $O_2^-$  content measurements were consistent with the staining results (Figure 2E–H). These results indicate that MT can effectively reduce the accumulation of ROS in wheat seedling tissues under salt stress.



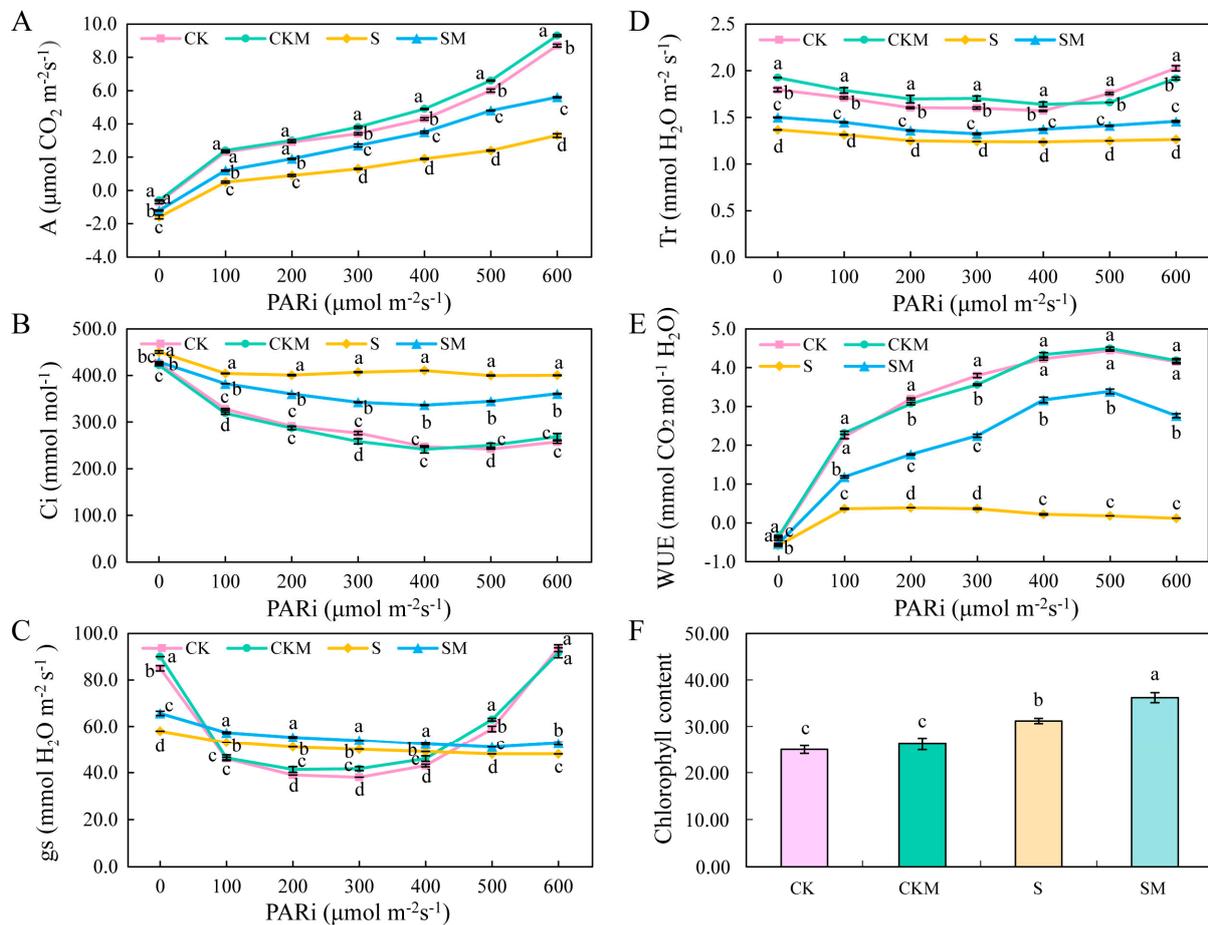
**Figure 2.** Effect of exogenous MT on physiological indicators of wheat seedlings under salt stress. (A) The rate of REL in leaves and roots; (B) MDA content in leaves and roots; (C) proline content in leaves and roots; (D) soluble sugar content in leaves and roots; (E) histochemical staining of  $\text{H}_2\text{O}_2$  levels in leaves and roots— $\text{H}_2\text{O}_2$  was brown-spotted; (F) histochemical staining of  $\text{O}_2^-$  levels in leaves and roots— $\text{O}_2^-$  was blue spotted; (G)  $\text{H}_2\text{O}_2$  content in leaves and roots; (H)  $\text{O}_2^-$  content in leaves and roots; (I) SOD activities in leaves and roots; (J) APX activities in leaves and roots; (K) POD activities in leaves and roots; (L) CAT activities in leaves and roots. Different letters indicate significant differences according to the Duncan test ( $p < 0.05$ ). Uppercase letters indicate comparisons between indicators on the left and lowercase letters indicate comparisons between indicators on the right.

The results of antioxidant enzyme (SOD, APX, POD, and CAT) activities assay show that the antioxidant enzyme activities of wheat seedlings applied with exogenous MT did not change significantly under normal conditions, but increased significantly under salt stress (Figure 2I–L). The activities of SOD, APX, and POD in leaves and the activities of SOD, APX, and CAT in the root system were significantly increased (Figure 2I–L).

### 2.3. Photosynthetic Parameters and Chlorophyll Content of Wheat Seedlings under Different Treatments

The assimilation rate of seedlings was significantly decreased under salt stress compared to normal conditions (Figure 3A). However, exogenous melatonin increased the assimilation rate of seedlings, which was significantly increased by 69.70% at  $600 \mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$  in the SM group compared with the S group (Figure 3A). Compared with normal conditions,  $\text{C}_i$  was increased by salt stress but decreased after the application of MT (Figure 3B). At different light intensities, the  $g_s$  and  $\text{Tr}$  of wheat seedlings in the SM group increased by an average of 9.7% and 12.87%, respectively, compared to the S group (Figure 3C,D). The WUE of wheat increased with increasing light intensity (Figure 3E). Salt-stressed plants showed a significant decrease in the WUE of seedlings, while exogenous MT significantly attenuated

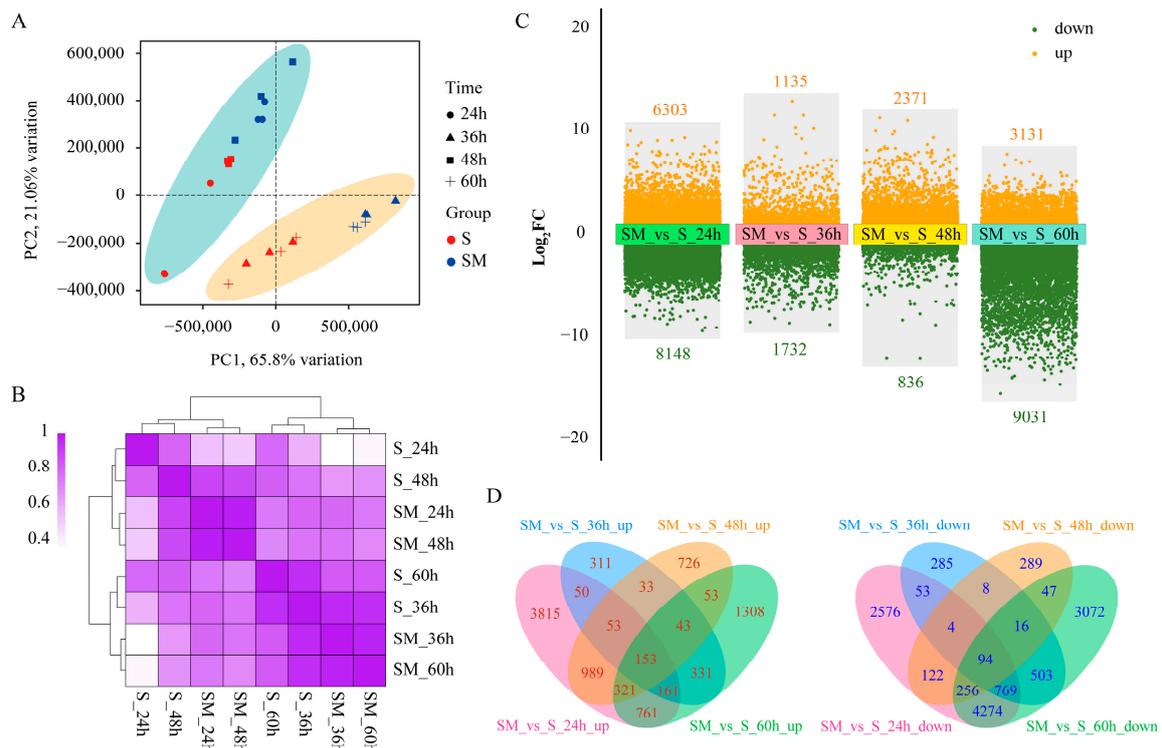
the effect of salt stress on WUE (Figure 3E). Salt stress increased the chlorophyll content of wheat, while exogenous MT treatment further increased the chlorophyll content of wheat under salt stress (Figure 3F). These results suggest that MT enhanced the photosynthesis of wheat seedlings under salt stress by improving the assimilation rate and WUE, which promoted the seedlings' growth.



**Figure 3.** Effect of exogenous MT on photosynthetic parameters of wheat seedlings. (A) Assimilation rate (A); (B) internal CO<sub>2</sub> (Ci); (C) stomatal Conductance (gs); (D) transmission rate (Tr); (E) water use efficiency (WUE); (F) chlorophyll content. Different letters indicate significant differences according to the Duncan test ( $p < 0.05$ ).

#### 2.4. Comprehensive Transcriptome Analysis of S and SM at Different Times

To investigate the molecular mechanism of exogenous MT to improve salt tolerance in wheat seedlings, we performed RNA-seq on the seedlings of the S group and SM group at 24, 36, 48, and 60 h after MT application. Each sample obtained an average of 7.85 GB of data, with an average of Q30 > 91.26% (Table S2). To verify the reliability of RNA-seq, six genes were randomly selected for qRT-PCR analysis, and the results show that their expression patterns were consistent with the RNA-seq data (Figure S1), indicating that the RNA-seq data are reliable and can be used for further analysis. The results of principal component analysis (PCA) and cluster analysis show that samples from different time points of the same treatment were clustered together, while samples from different treatments had significant dispersion, indicating that MT had a significant effect on transcript levels in seedlings from all four different time points under salt stress (Figure 4A,B).



**Figure 4.** The effect of MT on transcript levels in wheat seedlings from all four different time points under salt stress. (A) Principal component analysis (PCA); (B) heat map of clustering among treatments; (C) DEGs for SM-vs-S at different time points; (D) Venn diagram of DEGs.

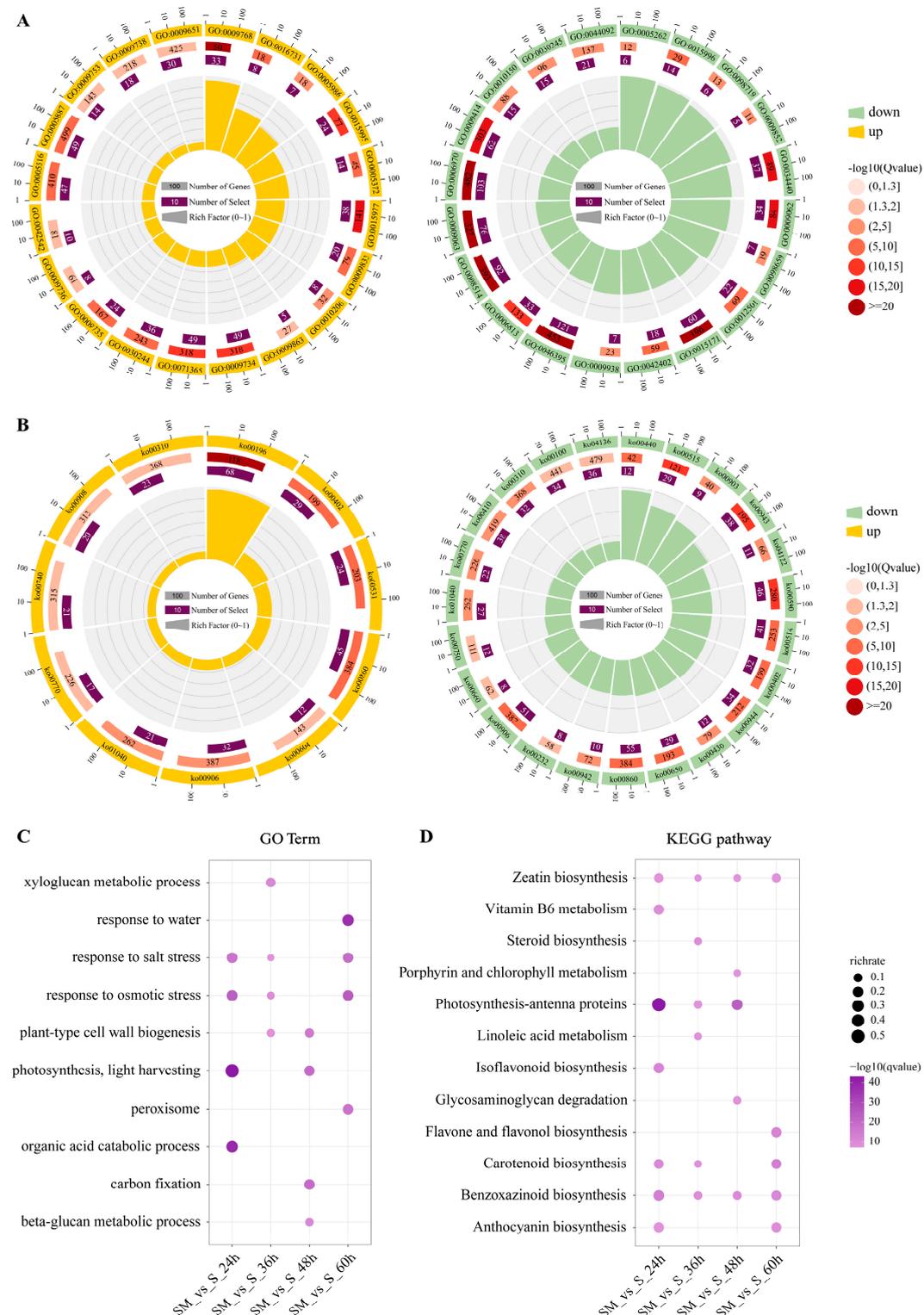
A total of 14,451 (6303 up-regulated, 8148 down-regulated), 2867 (1135 up-regulated, 1732 down-regulated), 3207 (2371 up-regulated, 836 down-regulated), and 12,162 (3131 up-regulated, 9031 down-regulated) differentially expressed genes (DEGs) were identified in SM treatments compared to S treatments at 24, 36, 48, and 60 h, respectively (Figure 4C). The Venn diagram showed that a total of 153 up-regulated overlapping DEGs and 94 down-regulated overlapping DEGs were identified at all different time points, indicating that these genes were involved in the MT-mediated regulation of salt tolerance in wheat seedlings both day and night (Figure 4D).

### 2.5. Functional and Pathway Annotation of DEGs

GO enrichment analysis was performed to annotate the DEGs of seedlings between SM-vs-S comparisons (Figure 5A, Tables S3 and S4). The results show that the up-regulated DEGs were mainly enriched in “photosynthesis, light harvesting in photosystem I (GO:0009768)”, “sucrose biosynthetic process (GO:0005986)”, “response to cytokinin (GO:0009735)”, “chlorophyll biosynthetic process (GO:0015995)”, and “carbon fixation (GO:0015977)” (Figure 5A, Table S3). The down-regulated DEGs in GO terms were mainly enriched in the “chlorophyll catabolic process (GO:0015996)”, “lipid oxidation (GO:0034440)”, “auxin catabolic process (GO:0009852)”, and “sodium ion import across plasma membrane (GO:0098719)” (Figure 5A, Table S4).

To gain insight into the biological processes affected by exogenous MT, we performed KEGG pathway analysis (Figure 5B, Tables S5 and S6). Among them, the up-regulated DEGs mainly involved “photosynthesis-antenna proteins (ko00196)”, “porphyrin and chlorophyll metabolism (ko00860)”, and “carotenoid biosynthesis (ko00906)” pathways (Figure 5B, Table S5). The down-regulated DEGs were mainly enriched in “porphyrin and chlorophyll metabolism (ko00860)” and “arachidonic acid metabolism (ko00590)” (Figure 5B, Table S6). The results of GO and KEGG reveal that MT enhanced the response of

wheat to salt stress mainly by regulating the expression of genes involved in photosynthesis, phytohormone regulation, and energy metabolism.



**Figure 5.** Functional and pathway annotation of DEGs in SM-vs-S comparison. **(A)** Gene ontology (GO) enrichment analysis of DEGs; **(B)** Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analysis of DEGs; **(C)** GO enrichment analysis; **(D)** KEGG enrichment analysis of DEGs in different time comparison groups of wheat seedling leaves SM-vs-S.

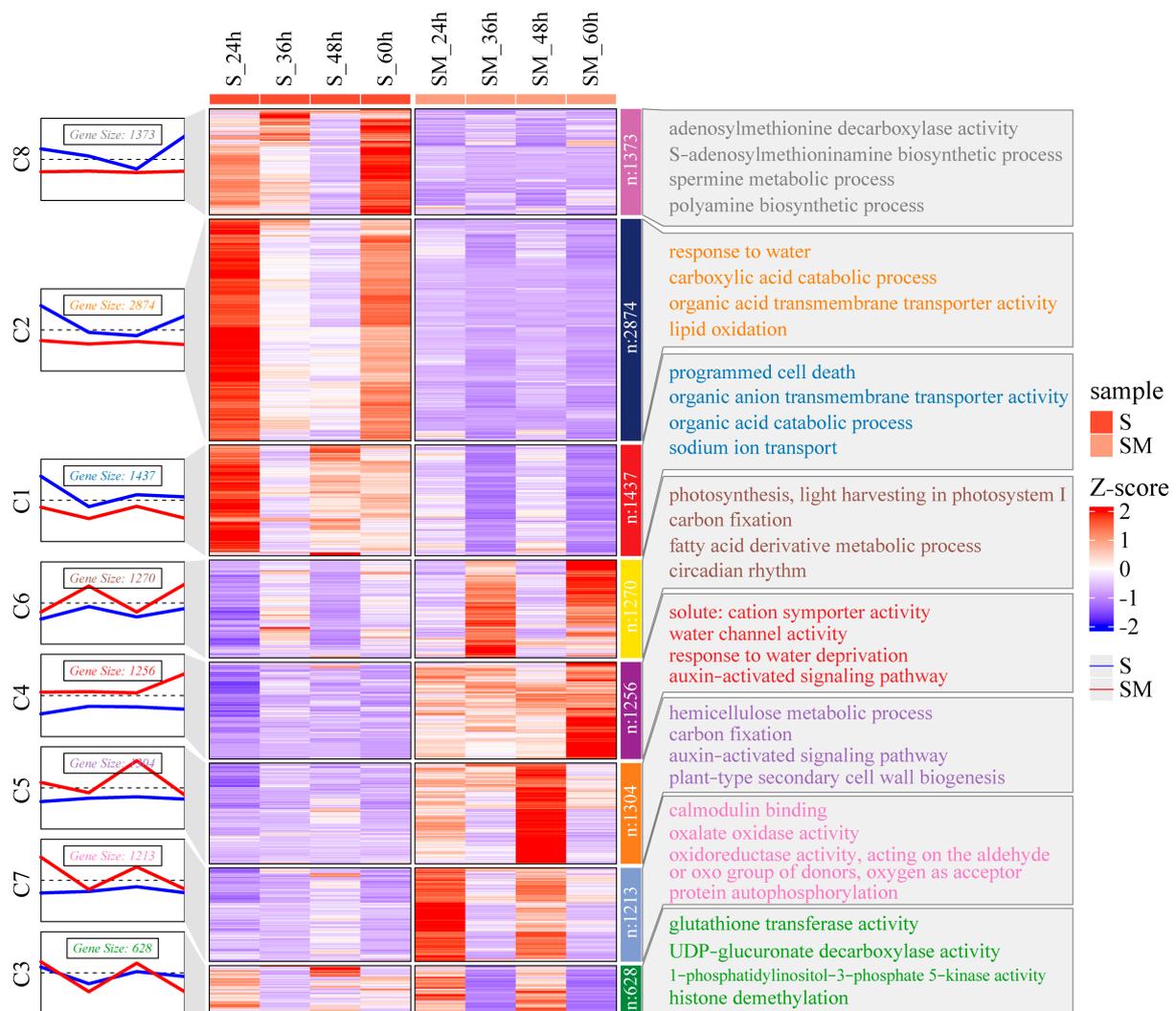
Then, we analyzed the function and pathway of DEGs identified at different time points, respectively. The GO results show that MT-induced DEGs were mainly enriched in stress-responsive terms like “response to osmotic stress” and “response to salt stress” and in terms related to cell structure like “xyloglucan metabolic process” and “plant-type cell wall biogenesis” at 24 h (Figure 5A). In addition, DEGs were mainly enriched in “carbon fixation” and “photosynthesis, light harvesting” which are related to photosynthesis at 48 h, and in “response to water” and “peroxisome” at 60 h (Figure 5A). The results of KEGG enrichment analysis showed that DEGs were enriched to the “benzoxazinoid biosynthesis” and “zeatin biosynthesis” pathways at all times. Photosynthesis-antenna proteins were enriched at 24, 36, and 48 h. In addition, carotenoid biosynthesis was enriched at 24, 36, and 60 h (Figure 5B). Overall, MT improved salt tolerance in wheat by regulating the expression of genes in photosynthesis and antioxidant-related pathways.

### 2.6. Expression Pattern Clustering of the DEGs

To investigate the expression pattern of DEGs, we used the Mfuzz clustering method to perform a time series analysis of all DEGs. The result show that all DEGs were clustered into eight clusters according to their different expression patterns (Figure 6). Among them, C1, C2, C4, and C6, which clustered 2874, 1437, 1270, and 1256 DEGs, respectively, were considered to have a great contribution to improved salt tolerance in wheat. The DEGs clustered in C1 and C2 were consistently down-regulated by MT induction, while those clustered in C4 and C6 were consistently up-regulated by MT (Figure 6). GO enrichment analysis showed that the DEGs in C1 were mainly involved in “programmed cell death”, “organic anion transmembrane transporter activity”, and “sodium ion transport”, while the DEGs in C2 were mainly enriched in “response to water”, “carboxylic acid catabolic process”, and “lipid oxidation”. These results suggest that MT had a positive effect on the maintenance of cellular osmotic potential and protected cell structure by inhibiting lipid oxidation and controlling ion transportation. The DEGs in the C6 were mainly associated with “photosynthesis, light harvesting in photosystem I”, “carbon fixation”, and “circadian rhythm”. The DEGs in C4 were mainly related to “solute: cation symporter activity”, “water channel activity”, and “auxin-activated signaling pathway”. These results suggest that MT increased biomass by enhancing carbon fixation and photosynthesis in wheat seedlings under salt stress, and enhanced the response of wheat to salt stress by increasing the auxin-activated signaling pathway (Figure 6).

### 2.7. Transcription Factor and Co-Expression Network Analysis in S and SM Treatments

To investigate the regulation of transcription factors in wheat seedlings by MT under salt stress, the statistical analysis of transcription factors and network analysis were performed. A larger number of transcription factors (TFs) genes in 48 TF families were differentially regulated in wheat under salt stress (Figure 7A). The gene families with the highest number of differentially expressed TFs included the AP2/EREBP, bHLH, MYB, NAC, and WRKY families with 96, 97, 140, 110, and 81 DEGs, respectively (Figure 7A). Next, a co-expression network was created to identify key TFs associated with MT to improve salt tolerance in seedlings. Notably, *TraesCS4A02G017700* (*CDF1*) and *TraesCS5A02G311300* (*CBF14*) were at the center of the network and may be key TFs encoding genes induced by MT to regulate salt tolerance in wheat seedlings (Figure 7B).

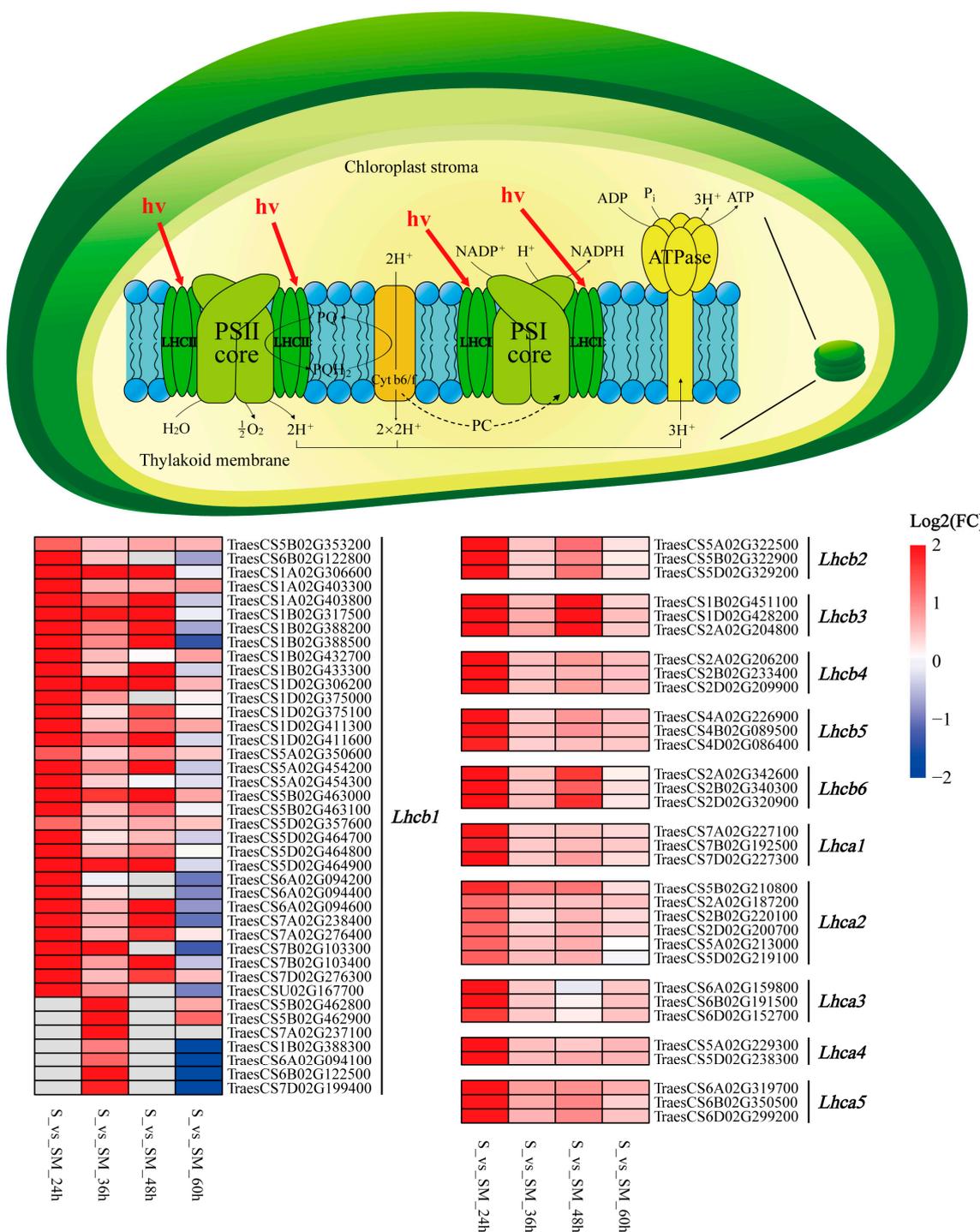


**Figure 6.** Heat map of expression pattern clustering and GO enrichment analysis of DEGs in SM-vs-S comparison. The folded line graph represented the Mfuzz clustered expression pattern of DEGs.

### 2.8. Effect of Exogenous MT on LHC

Notably, the results of KEGG enrichment analysis show that photosynthetic antenna protein-related genes were significantly up-regulated by MT induction. The LHC, also known as the light-harvesting antenna complex, is responsible for collecting light energy for transmission to the photosynthetic reaction center, including LHCI and LHCII (Figure 8). In this study, 17 DEGs of five binding proteins in LHCI were found to be up-regulated at all times (Figure 8). A total of 55 DEGs encoding LHCII-related proteins, of which 40 Lhcb1 encoding genes, were up-regulated at 24, 36, and 48 h, while 15 DEGs encoding Lhcb2-6 were up-regulated at all times (Figure 8). The up-regulation of LHC-related genes indicates that MT improves photosynthesis in seedlings by increasing the leaves' light-harvesting ability under salt stress.



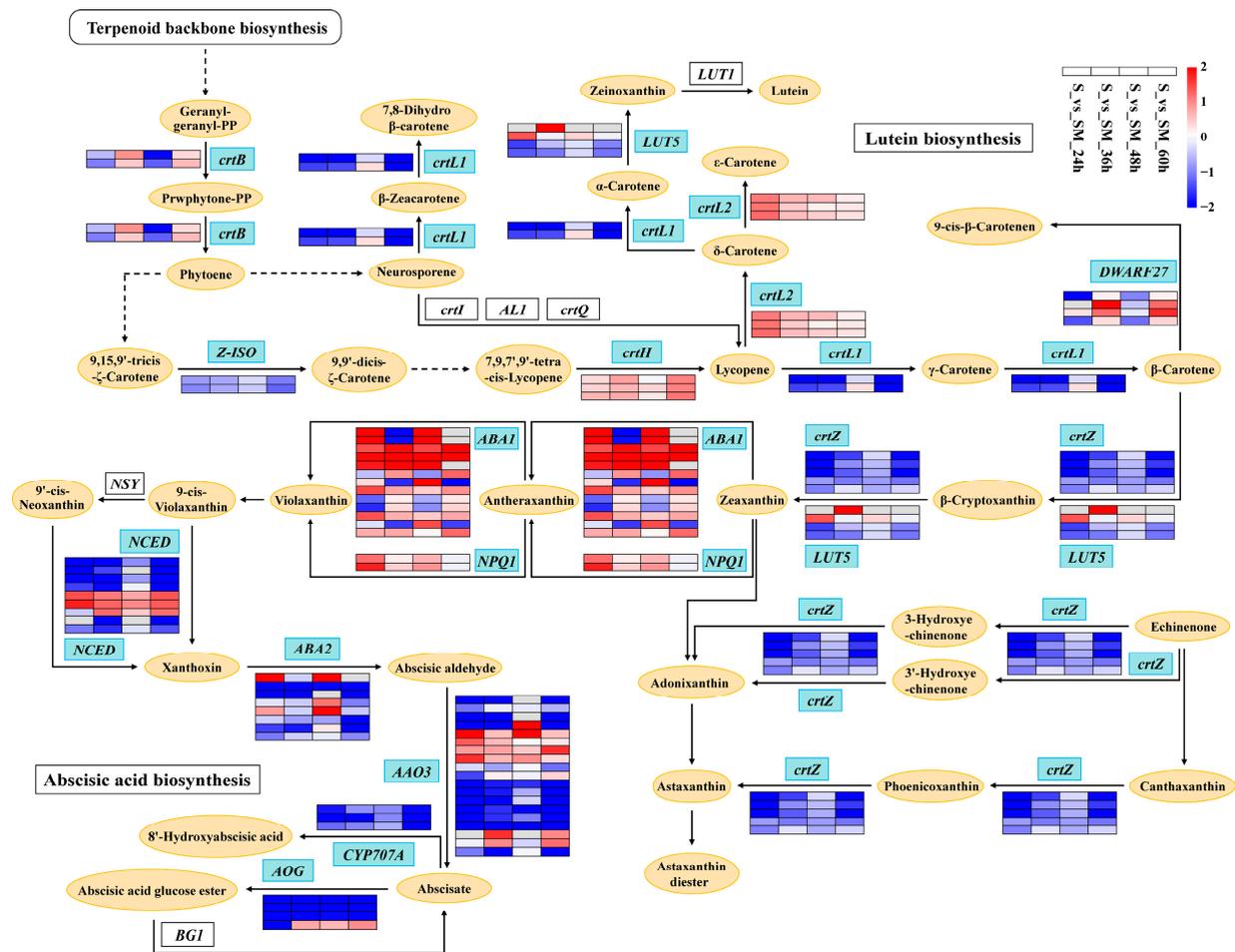


**Figure 8.** Photosynthesis light reaction process. PSI: Photosystem I; PSII: Photosystem II; LHCI: Light-harvesting chlorophyll protein complex I; LHCII: Light-harvesting chlorophyll protein complex II; PQ: Plastoquinone; PQH<sub>2</sub>: Plastoquinol-1; Cyt b6/f: Cytochrome b6/f complex; PC: Plastocyanin; ATPase: ATP Synthase.

### 2.9. Effect of Exogenous MT on Photosynthetic Pigments

Chlorophylls and carotenoids are involved in the uptake, transfer, and conversion of light energy in photosynthesis. A total of 100 DEGs in the “porphyrin and chlorophyll metabolism” pathway were regulated by MT (Figures 9 and S2). Among them, *EARS*, *HemA*, and *HemF* were up-regulated by MT under salt stress (Figures 9 and S2). MT induced





**Figure 10.** Carotenoid biosynthesis pathway. *crtB*: 15-cis-phytoene synthas; *crtL1*: lycopene beta-cyclase; *crtL2*: lycopene epsilon-cyclase; *crtH*: polycopene isomerase; *ABA1*: zeaxanthin epoxidase; *NPQ1*: violaxanthin de-epoxidase; *NCED*: 9-cis-epoxycarotenoid dioxygenase; *ABA2*: xanthoxin dehydrogenase; *AAO3*: abscisic-aldehyde oxidase; *CYP707A*: (+)-abscisic acid 8'-hydroxylase; *AOG*: abscisate beta-glucosyltransferase; *Z-ISO*: zeta-carotene isomerase; *crtZ*: beta-carotene 3-hydroxylase; *LUT5*: beta-ring hydroxylase; *DWARF27*: beta-carotene isomerase.

### 3. Discussion

#### 3.1. Exogenous MT Alleviates the Growth Inhibition of Wheat Seedlings under Salt Stress

It is well known that crop growth, development, and production are inhibited by abiotic stresses [47]. However, plants have evolved different physiological, biochemical, and morphological strategies to cope with salt stress [48]. Growth inhibition is the most evident characteristic of plants exposed to environmental stresses [49]. The most commonly reported function of MT is to promote plant growth under abiotic stresses [50]. In the present study, exogenous MT application alleviated the inhibition of wheat growth caused by salt stress and significantly increased the plant height and biomass of wheat under salt stress (Figure 1), which is consistent with the results of earlier studies on canola, wheat, and naked oat [51–53]. Under salt stress, plant water absorption is hindered due to the disruption of the osmotic potential balance in the root cells, resulting in a physiological drought in the plant [13]. Exogenous MT promoted the accumulation of osmoregulatory substances, such as proline and soluble sugar, which maintain the osmotic balance of cells (Figure 2C,D).

Ionic toxicity occurs when  $\text{Na}^+$  concentration reaches a threshold in plant cells, and in severe cases necrotic spots are formed in the leaves [54]. In the present study, the leaf wilt index of wheat under salt stress was 55.27%, whereas the leaf wilt index of wheat

applied with exogenous MT under salt stress was 15.18% (Figure 1D), indicating that MT significantly alleviated the leaf damage caused by salt stress. Meanwhile, osmotic stress and ion toxicity induced by salt stress caused the excessive accumulation of ROS in the electron transport process, which caused oxidative damage to the cytoplasmic membrane [55,56]. MT, which can scavenge H<sub>2</sub>O<sub>2</sub> directly [57], is an effective antioxidant [40]. Our study indicated that exogenous MT increased the activities of antioxidant enzymes and reduced the accumulation of ROS in wheat to reduce oxidative damage induced by salt stress (Figure 2). Zhang et al. also found that MT increased the activity of antioxidant enzymes and reduced the accumulation of ROS in cucumbers under water stress [39].

### 3.2. Exogenous MT Alleviates the Photosynthetic Inhibition Affected by Salt Stress

Photosynthesis is the main driver of plant growth and production [58]. Plant growth has been reported to be affected by salt stress-induced reduced photosynthesis [59]. The decrease in photosynthesis under salt stress may be related to stomatal limiting factors and non-stomatal limiting factors [60]. The salt stress-induced reduction in photosynthesis is caused by the disruption of the light-harvesting chlorophyll protein complex (LHC) and Photosystem II (PSII), the inhibition of chlorophyll biosynthesis, and the repair mechanism of PSII, blockage of electron transport, reduction in enzyme activity and CO<sub>2</sub> supply, and promotion of stomatal closure [61–64]. Our study further demonstrated the role of MT in maintaining photosynthesis under stressful environments. Compared to the MT-untreated group, exogenous MT increased photosynthetic parameters and chlorophyll content to improve the ability of photosynthesis in wheat under stress (Figure 3). Cui et al. reported that MT increased *g<sub>s</sub>* and *T<sub>r</sub>* in wheat under drought stress [65], illustrating that MT may influence WUE by affecting *g<sub>s</sub>*. Under salt stress, the assimilation rate may be influenced by the stomatal limitation factor and non-stomatal limitation factor [66]. Stomatal limiting factors include a decrease in *g<sub>s</sub>*, which limits the entry of CO<sub>2</sub> from the air into the mesophyll cells and affects the photosynthetic rate. Non-stomatal limiting factors may reduce photosynthesis by inhibiting carbon assimilation, including decreased LHC light energy uptake, reduced PS activity, and reduced enzyme activity required for carbon fixation, resulting in the underutilization of CO<sub>2</sub> and increase in *C<sub>i</sub>*. In this study, we demonstrated that exogenous MT has a regulatory effect on non-stomatal limiting factors, exhibiting a significant increase in *C<sub>i</sub>* under low *g<sub>s</sub>* and a significant decrease after the application of exogenous MT under salt stress (Figure 3B). These results suggest that MT may have increased the photosynthetic rate by increasing the efficiency of carbon assimilation in photosynthesis. In the study of cold-stressed wheat seedlings, the authors considered that MT enhances photosynthetic rate through its protective effects on Rubisco expression and photosynthetic pigments, thus ensuring the normal growth of wheat [67]. Zhou et al. suggested that MT could alleviate the inhibition of photosynthetic electron transport and D1 repair cycle protein synthesis under salt stress, thereby enhancing the tolerance of photosynthetic activity to salt stress in tomatoes [66].

### 3.3. Exogenous MT Enhances the Light Harvesting of Photosynthesis

Photosynthesis plays an important role in plant growth and development by converting light energy into chemical energy through two stages: photoreaction and carbon fixation. During the photoreaction stage, the LHC, which binds to PSII, is mainly involved in light harvesting, transfer, and photoprotection (Figure 8) [68,69]. In this study, we found that the genes encoding Lhca (Lhca1, Lhca2, Lhca3, Lhca4, Lhca5) and Lhcb (Lhcb1, Lhcb2, Lhcb3, Lhcb4, Lhcb5, Lhcb6, Lhcb7) were abundantly up-regulated by MT in response to salt stress (Figure 8). Jiang et al. found that the expression of Lhcb1 was up-regulated under cold, heat, salt, and drought stress in *Apium graveolens* [70]. Under osmotic stress, MT was able to up-regulate the levels of D1, Lhcb5, and Lhcb6 proteins and promote the dephosphorylation of LHCII and D1 [71]. Light is the source of energy for photosynthesis, and when the rate of energy absorption exceeds the rate of electron transfer, it leads to photooxidative damage [72]. The previous study reported that Lhcb4 plays a decisive role

in LHCII and cannot be replaced by other Lhc subunits, while deletion mutants of Lhcb4 are defective in photoprotection [73]. Meanwhile, Lhcb5 (CP26) was demonstrated to be involved in non-photochemical quenching (NPQ) related to photoprotection in PSII [74]. Furthermore, *Lhca1*, *Lhca2*, *Lhca3*, and *Lhca4* encode the four antenna proteins which are responsible for connecting PSI and LHCB [75]. Our results suggest that the application of exogenous MT may enhance photosynthesis by improving the capacity of light energy capture and photoprotection in wheat leaves under salt stress.

#### 3.4. Exogenous MT Affects the Synthesis of Chlorophylls and Carotenoids

Chlorophylls and carotenoids are two types of photosynthetic pigments in plants. It has been shown that a part of the chlorophyll converts light energy into chemical energy at the photoreaction center, while the rest of the chlorophyll and carotenoids bind to the LHC for light energy capture and transfer [76–78]. MT can mitigate the reduction in photosynthetic pigments and improve plant growth under stressful conditions [44,71]. Similar conclusions were obtained from our study. MT significantly increased the total chlorophyll content of wheat leaves under salt stress (Figure 3F). The levels of the chlorophyll synthesis precursor L-Glutamyl-tRNA were elevated due to the significant up-regulation of *EARS* (Figure 9), and genes related to the carotenoid synthesis pathway were also regulated by MT (Figure 10). Jahan et al. found that MT significantly up-regulated the expression of *protochlorophyllide oxidoreductase (por)*, *chlorophyll a oxygenase (CAO)* genes, and increased chlorophyll content, in agreement with our findings [45]. In addition, violaxanthin and neoxanthin in the carotenoid synthesis pathway are also precursors for the biosynthesis of the phytohormone ABA [79]. Carotenoids, as auxiliary pigments in photosynthesis, can direct photons not absorbed by chlorophyll molecules to the reaction centers of photosynthesis [80]. Carotenoids also play an important role in photoprotection by quenching free radical triplet-state chlorophyll and singlet oxygen, or by active NPQ, or heat dissipation, before oxidative damage occurs [80–82]. These results indicate that exogenous MT improves the light-harvesting ability and photoprotective capacity of seedlings by increasing the total chlorophyll content and regulating the chlorophyll and carotenoid synthesis and metabolism, thereby improving the salt tolerance of wheat seedlings.

## 4. Materials and Methods

### 4.1. Materials and Treatments

Wheat “Zhongmai886” (ZM886) was used in this study. MT was purchased from Bio Basic Inc. (BBI, Shanghai, China) and all other chemicals were purchased from Sinopharm Chemical Reagent Beijing Co., Ltd. (Beijing, China).

Wheat seeds were sown in plastic pots (11 cm × 9 cm) containing a mixture of grass peat and vermiculite (1:1.5). To investigate the response of wheat seedlings to melatonin under salt stress, wheat seedlings were divided into control group (CK, normal conditions) and salt stress group (S, treated with 300 mM NaCl) at V2 stage. On the same evening, half of the seedlings in each group were sprayed with 5 mL of 100 μM MT in each pot of wheat leaves (CKM and SM) and the other half of the seedlings were sprayed with the same mass of distilled water (CK and S) for 5 days. The NaCl and MT concentrations were chosen in a pre-experiment. Each group of treatments had three biological replicates. The experiment was carried out in the Wisdom Agriculture Experimental Greenhouse at Beijing University of Agriculture, where were maintained at 25/20 °C (day/night), 40% relative humidity, 600 μmol·m<sup>2</sup>·s<sup>-1</sup> photosynthetically active radiation, and 12/12 h (light/dark) photoperiod.

### 4.2. Morphological Observation

Morphological indices were measured after 20 days of MT treatment in seedlings. The plant height, length of the first leaf ( $L_1$ ), length of the wilt and yellowed part of the first leaf ( $L_2$ ), and root length of wheat seedlings were measured with a straightedge, and

fresh dry weight was measured with an analytical balance. Three replicates were used for each treatment.

$$\text{Leaf tip wilt index (\%)} = L_2/L_1 \times 100\%$$

#### 4.3. Measurement of Malondialdehyde Content and Relative Electrolyte Leakage Rate (REL)

Physiological indicators were measured after 15 days of MT treatment in seedlings. All measurements were performed in three biological replicates. The content of malondialdehyde (MDA) was determined according to the method described by Hodges [83] and Diao [84] with some modifications. A total of 0.4 g of seedling leaves or roots and 8 mL 10% trichloroacetic acid (TCA) were added into a pre-cooled mortar and ground; then, they were centrifuged at 25 °C and 4000 × g for 10 min and the supernatant was the crude extract of MDA. A total of 2 mL of the centrifuged supernatant (2 mL of distilled water for the control) was mixed with 2 mL of 0.6% thiobarbituric acid (TBA) and the MDA absorption was spectrophotometrically measured at 450, 532, and 600 nm. Relative electrolyte leakage was determined according to the method described by Dionisio [85] and Zhang [39]. A total of 1 g of fresh wheat leaves or roots were cut into pieces and placed in the test tube with 10 mL of distilled deionized water. The extracts were incubated in a constant temperature water bath at 32 °C for 2 h and the electrical conductivity (EC1) of the extracts cooled to 25 °C was measured using an electrical conductivity meter (DDS-307A, YOKE Instrument, Shanghai, China). The samples were boiled for 20 min to release all electrolytes and cooled to 25 °C to measure the boiling conductivity (EC2). The value of distilled deionized water was also measured (EC3). The REL was expressed by the following formula:

$$\text{REL} = (\text{EC1} - \text{EC3})/(\text{EC2} - \text{EC3}) \times 100\%.$$

#### 4.4. Measurement of Proline and Soluble Sugars Contents

The proline content was determined according to the method of Ye [86]. Briefly, 1 g of fresh wheat leaves or roots was homogenized in 10 mL of 3% sulphosalicylic acid and extracted in a boiling water bath for 10 min. Then, 2 mL extract liquid was incubated in a boiling water bath for 30 min with 2 mL ice acetic acid and 2 mL acidic ninhydrin solution. After cooling, the extract was extracted with toluene and measured at 520 nm. The soluble sugar content was determined according to the method described by Shi [87], with some modifications. The samples were incubated in a boiling water bath for 30 min. The supernatant after centrifugation (25 °C, 5000 × g, 10 min) was mixed with 0.25 mL anthrone and 2.5 mL concentrated sulfuric acid and incubated in a boiling water bath for 10 min. The absorbance at 630 nm was measured and the content was calculated according to the standard curve of the sucrose standard.

#### 4.5. Measurement of Reactive Oxygen Species and Antioxidant Enzyme Activities

The contents of H<sub>2</sub>O<sub>2</sub> and O<sub>2</sub><sup>−</sup> in leaves and roots were determined according to the methods of Zhang [88] and Ke et al. [89]. Pre-cooled acetone was used to extract the H<sub>2</sub>O<sub>2</sub> in the sample and detected by monitoring the absorbance of the titanium peroxide complex at 412 nm. A total of 1 mL of 65 mM phosphate buffer (pH = 7.8) was added to 0.3 g of samples, centrifuged in a centrifuge (4 °C, 5000 × g, 15 min), and the supernatant was the O<sub>2</sub><sup>−</sup> extraction. The O<sub>2</sub><sup>−</sup> content of the leaves was detected by the absorbance at 530 nm of the pink azo compound formed by the hydroxylamine reaction.

Histochemical staining for H<sub>2</sub>O<sub>2</sub> and O<sub>2</sub><sup>−</sup> was conducted according to the methods of Thorsten [90] and Dunand et al. [91]. H<sub>2</sub>O<sub>2</sub> staining was performed by immersing fresh wheat leaves in a solution containing 1 mg/mL 3,3'-diaminobenzidine (DAB, pH = 3.0) under light-proof conditions. O<sub>2</sub><sup>−</sup> staining was performed by immersing fresh wheat leaves in 100 mM Nitro blue tetrazolium (NBT, dissolved in 50 mM phosphate buffer, pH = 7.5) solution. In both cases, the leaves were shaken in a shaker for 4–5 h (37 °C, 80–100 r/min). The leaves were rinsed with ethanol/lactic acid/glycerol (3:1:1; v/v) for 15 min in a 90–95 °C water bath to remove chlorophyll and any unreacted dye for observation later.

A total of 0.2 g of sample was added in a centrifuge tube with 2 mL phosphate buffer (pH = 7.8), and then centrifuged in a centrifuge (4 °C, 12,000× g, 20 min), and finally the supernatant was collected for analysis of enzyme activities. The enzymatic activity of superoxide dismutase (SOD) was measured by its inhibition of the photochemical reduction in nitroblue tetrazolium using the method of Stewart and Bewley [92]. Peroxidase (POD) activity was determined by converting guaiacol to tetra guaiacol and measuring at 470 nm using the method of Andrea [93]. Catalase (CAT) activity was measured by detecting the change in absorbance of H<sub>2</sub>O<sub>2</sub> at 240 nm using the method of Patra [94]. Ascorbate peroxidase (APX) activity was determined according to the method described in Nakano [95] and combined with the method in Zhou [96]. A total of 0.3 g of the sample was added to 2 mL of 50 mM PBS (pH = 7.8), 0.2 mM EDTA, 2 mM AsA, and then centrifuged in a centrifuge (4 °C, 12,000× g, 20 min). APX activity was assessed by measuring the change in absorbance over time at 290 nm.

#### 4.6. Measurement of Gas Exchange Parameters and Chlorophyll Content

A portable photosynthesizer (CIRAS-3, HANSHA SCIENCE AND TECHNOLOGY GROUP CO., LIMITED, China, HongKong) was used to measure gas exchange parameters, including assimilation rate ( $A$ ,  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ), internal CO<sub>2</sub> ( $C_i$ ,  $\text{mmol mol}^{-1}$ ), stomatal conductance ( $g_s$ ,  $\text{mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$ ), transport rate ( $T_r$ ,  $\text{mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$ ), and water use efficiency (WUE,  $\text{mmol CO}_2 \text{ mol}^{-1} \text{ H}_2\text{O}$ ). Measurements were performed in a greenhouse with room and leaf temperatures maintained at 25 °C, reference CO<sub>2</sub> at 425  $\mu\text{mol mol}^{-1}$ , and relative humidity between 60 and 80%. Chlorophyll content was measured using a chlorophyll meter (SPAD-502 PLUS, KONICA MINOLTA, Japan). Each treatment was replicated three times and the middle of the second leaf of wheat was selected for measurement.

#### 4.7. RNA-Seq Analysis and Quantitative Real-Time PCR Assays

Total RNA was isolated from wheat leaves treated with S and SM after 24, 36, 48, and 60 h by using the Plant Total RNA Kit (Beijing Zoman, Beijing, China) according to the manufacturer's protocol. Three biological replicates were set up for each treatment group at different time points. Transcriptome sequencing was performed by the Beijing Genomics Institute (BGI, Beijing, China) based on the MGISEQ-2000 platform. Clean reads were compared to genomic sequences by Bowtie2 (v2.2.5, Ben Langmead, Baltimore, MD, USA) and then gene expression was calculated for each sample using RSEM (v1.2.8, Bo Li, Madison, WI, USA) [97]. Identification of differentially expressed genes (DEGs) between SM and S treatments was performed using DESeq2 (v1.34.0, Michael I Love, Boston, Germany) [98].  $\text{Padj} \leq 0.05$  and  $|\log_2\text{FoldChange}| \geq 1.0$  were used as standards to recognize the DEGs. The clusterProfile (v4.2.2, Guangchuang Yu, Guangzhou, China) was used for GO (gene ontology) enrichment analysis and (Kyoto Encyclopedia of Genes and Genomes) KEGG pathway analysis. Gene expression patterns were clustered using Mfuzz (v2.54.0, Lokesh Kumar, Berlin, Germany) in R [99].

Quantitative Real-time PCR (qRT-PCR) was used to test the reliability of the transcriptome data. Specific primers of DEGs were designed using the online quantitative primer database (<https://bioinfo.ut.ee/primer3-0.4.0/>, accessed on 12 April 2023). The primer sequence information of *TaActin1* (internal reference gene) and six randomly selected DEGs are shown in Table S1. LightCycler 96 Instrument (F. Hoffmann-La Roche Ltd., Indianapolis, IN, USA) was applied for qRT-PCR analysis. The samples used for the experiments were consistent with RNA-seq, with three biological replicates per treatment. Relative expression was calculated by the  $2^{-\Delta\Delta\text{CT}}$  method [100].

#### 4.8. Statistical Analysis

One-way ANOVA was performed using IBM SPSS Statistics 22 software (v22.0, IBM Corp, Armonk, NY, USA) for the evaluation of significant differences, and the final results are expressed as mean  $\pm$  standard error, with  $p < 0.05$  being statistically significant.

## 5. Conclusions

The results of this study show that MT could effectively alleviate the growth retardation of wheat seedlings induced by salt stress. In addition, MT can reduce the accumulation of reactive oxygen species induced by salt stress, increase the activity of antioxidant enzymes, and alleviate the oxidative damage induced by salt stress. In this study, we found that exogenous MT increased the assimilation rate and chlorophyll content of wheat seedlings under salt stress, and MT improved the salt tolerance of wheat by regulating the expression of genes of photosynthetic capacity, photoprotection, and antioxidant-related pathways. Taken together, the present study revealed the regulatory mechanism of exogenous MT in improving the photosynthetic capacity and salt tolerance of seedlings under salt stress through the genes related to light capture and photoprotection through the measurement of physiological and biochemical indices and transcriptome analysis, which will provide a theoretical basis for the cultivation of new salt-tolerant varieties in the future.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12233984/s1>.

**Author Contributions:** R.L. (Runzhi Li): Conceptualization, Methodology, Supervision, Writing—Reviewing and Editing. D.Y. and J.W.: Investigation, Methodology, Data curation, Writing—Original draft preparation. Z.L. (Zhenzong Lu), R.L. (Rui Liu), Y.H. and B.S.: Investigation. Z.X., L.D., Y.W., Z.P., C.Y., Y.G. and Z.L. (Ziyang Liu): Resources. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** The RNA-seq dataset in this study has been uploaded to SRA database in NCBI (BioProject ID: PRJNA1034758).

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Article

# Transcriptome Analysis of Native Kentucky Bluegrass (*Poa pratensis* L.) in Response to Osmotic Stress

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**Abstract:** Kentucky bluegrass (*Poa pratensis* L.) is an important cool season turfgrass species with a high cold tolerance, but it is sensitive to drought. It is valuable for the applications of Kentucky bluegrass to improve its drought tolerance. However, little is known about the underlying drought mechanism. In the present study, transcriptomic profiling in the roots and leaves of the Kentucky bluegrass cultivar ‘Qinghai’, in response to osmotic stress in the form of treatment with 2 h and 50 h of 25% (*v/v*) PEG-6000, was analyzed. The results showed that a large number of genes were significantly up-regulated or down-regulated under osmotic stress. The majority of genes were up-regulated in leaves but down-regulated in roots after 2 h and 50 h of osmotic stress, among them were 350 up-regulated DEGs and 20 down-regulated DEGs shared in both leaves and roots. GO and KEGG analysis showed that carbohydrate metabolism, polyamine and amino acid metabolism and the plant hormone signaling pathway were enriched in the leaves and roots of ‘Qinghai’ after osmotic stress. The genes involving in carbohydrate metabolism were up-regulated, and sucrose, trehalose and raffinose levels were consistently increased. The genes involved in polyamine and amino acid metabolism were up-regulated in leaves in response to osmotic stress and several amino acids, such as Glu, Met and Val levels were increased, while the genes involved in photosynthesis, carbon fixation and citrate cycle in leaves were down-regulated. In addition, the genes involved in plant hormone biosynthesis and signal transduction were altered in leaves after osmotic stress. This study provided promising candidate genes for studying drought mechanisms in ‘Qinghai’ and improving the drought tolerance of Kentucky bluegrass and drought-sensitive crops.

**Keywords:** Kentucky bluegrass; osmotic stress; transcriptomes analysis; carbohydrate metabolism; polyamine and amino acid metabolism; plant hormone signaling pathway

## 1. Introduction

Drought is the most common environmental stress that severely restricts plant growth and development [1]. Drought stress alters the expression of thousands of genes that results in biochemical, physiological and morphological changes in plants [2]. Photosynthesis, which is considered to be one of the most crucial biological processes for the survival of plants, is greatly affected by stomatal closure during drought stress [3], and as such, carbon fixation will be hindered [4]. Plant hormones are involved in plant adaptation to drought. Abscisic acid (ABA) is accumulated after plants are exposed to drought, which regulates stomata closure and downstream gene expression through ABA signaling [3]. Cytokinin (CTK) delays leaf senescence under drought stress [4]. Auxin (IAA) and gibberellin (GA) levels are decreased in response to drought, resulting in reduced growth

for saving energy [5,6]. Polyamines (PAs) play a certain role in growth and development and in resisting adverse environmental factors. Levels of PAs including putrescine (Put), spermidine (Spd), and spermine (Spm) are increased in plants under drought conditions [7]. PAs regulate the antioxidant defense system to scavenge reactive oxygen species (ROSs) under stress conditions [8].  $\gamma$ -Aminobutyric acid (GABA), that is produced from polyamine oxidation, is accumulated to protect plants against drought stress by increasing osmolytes and reducing oxidative damage via antioxidants [9]. Soluble sugars are one of the small molecular osmolytes induced by drought stress, among which sucrose is the main soluble sugar. They are largely accumulated under drought stress as a result of starch degradation, while the intermediates in sugar metabolism provide a carbon skeleton for amino acid synthesis [10].

Irrigation is an essential cultivation tool for maintaining turf quality. The turfgrass species or cultivar with increased drought tolerance is always a major issue in urban landscape and sports field applications [11]. Kentucky bluegrass (*Poa pratensis* L.) is one of the most important cool-season type turfgrass species. It has a high cold tolerance but is sensitive to drought. Changes in fatty acid composition and saturation levels and antioxidant enzyme activities are involved in drought tolerance in Kentucky bluegrass [12]. The drought-tolerant cultivar ‘Midnight’ maintains a higher net photosynthetic rate ( $P_n$ ), and higher activities of ribulose-1,5-bisphosphate carboxylase (Rubisco) and glyceraldehyde phosphate dehydrogenase (GADPH) than the drought-sensitive cultivar ‘Brilliant’ during drought stress [13]. In addition, drought stress-induced injury to Kentucky bluegrass is associated with hormonal alteration, and the plants with higher levels of CTK and IAA and lower levels of ABA have better photosynthetic function and performance under drought stress [14]. Exogenous application of ethephon, silicate and 5-aminolevulinic acid increases drought tolerance in Kentucky bluegrass, with improved photosynthesis and an antioxidant defense system under drought stress [15–17]. An RNA-seq analysis of the leaves of the cultivar ‘Midnight II’ in response to PEG-6000 treatment revealed that DEGs were enriched in “plant hormone signal transduction” and the “MAPK signaling pathway”. Some up-regulated DEGs included *PYL*, *JAZ* and *BSK* involved in the hormone signaling transduction of ABA, jasmonic acid (JA) and brassinosteroid (BR) [18]. An RNA-seq approach using three germplasm sources with different drought tolerances identified transcript isoforms exhibiting a shared response of all three germplasm sources to drought stress and transcript isoforms exhibiting a tolerance response, where the more drought-tolerant germplasm sources exhibited higher transcript differences compared to the drought-susceptible cultivar [19].

A native Kentucky bluegrass cultivar named ‘Qinghai’ with extreme cold tolerance was selected from the collections in Dari County, Qinghai Province, which is located in the alpine cold region at an attitude of 4000 m [20]. The molecular responses to drought in ‘Qinghai’ have not been investigated, and yet it is important for breeders to use this special gene resource to improve drought tolerance in Kentucky bluegrass by using modern biotechnological tools. The objective of this study was to investigate transcriptomic responses in ‘Qinghai’ to osmotic stress at early stage of osmotic treatment (2 h to 50 h) and the enriched KEGG pathways. Based on the analysis, some candidate key genes associated with drought tolerance could be selected and identified in the future.

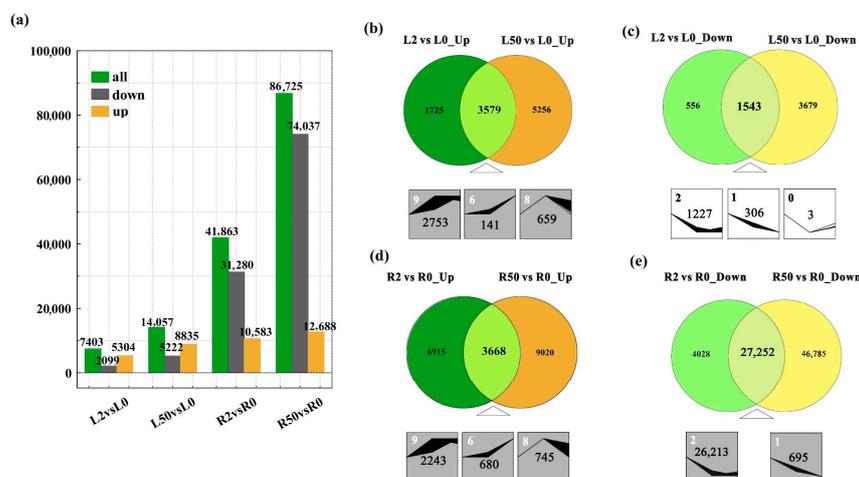
## 2. Results

### 2.1. Global Analysis of Gene Expression Profiles and the Differentially Expressed Genes (DEGs) in Response to Osmotic Stress

Transcriptomic analysis based on deep RNA-seq was performed to understand the global gene expression profiles in ‘Qinghai’ in response to osmotic stress. A total of 18 cDNA samples from leaves and roots were sequenced using the Illumina. A total of 407,753,079 raw reads were obtained (Table S1). After removing the low-quality reads and adaptor sequences, 398,144,703 clean reads were obtained (Table S1). The clean reads were then de novo assembled using Trinity software (2.4.0), and a total of 1,090,844 transcripts

were obtained. The average length of the transcripts was 831 bp, and the N50 length was 1049 bp (Table S2). In addition, 569,270 unigenes were obtained with an average length of 737 bp, and the N50 length was 870 bp (Table S2). Among them 365,785 (64.25%) unigenes could be matched to at least one database. 271,475 (47.68%), 116,438 (20.45%), 121,782 (21.39%), 204,584 (35.93%), 248,701 (43.68%) and 103,921 (18.25%) unigenes were matched to the Non-Redundant Protein Sequence Database (Nr), NCBI nucleotide sequences (Nt), the Kyoto Encyclopedia of Genes and Genomes (KO), Swiss-prot, Pfam, Gene Ontology (GO) and Clusters of Orthologous Groups of proteins (KOG), respectively, while only 23,636 (4.15%) unigenes could be matched to all databases (Table S3).

A total of 7403 DEGs (5304 up-regulated and 2099 down-regulated) and 14,057 DEGs (8835 up-regulated and 5222 down-regulated) were obtained from leaves after 2 h and 50 h of osmotic treatment, respectively, while 41,863 DEGs (10,583 up-regulated, 31,280 down-regulated) and 86,725 DEGs (12,688 up-regulated, 74,037 down-regulated) were obtained from roots after 2 h and 50 h, respectively (Figure 1a). The data indicated that more genes were altered by osmotic treatment in roots than in leaves, and the majority of genes were up-regulated in leaves but down-regulated in roots. The Venn diagram shows that 3579 DEGs among the up-regulated genes in leaves were shared at 2 h and 50 h after osmotic treatment, and they showed three trend profiles, including 2753 DEGs in profile 9, 141 DEGs in profile 6 and 659 DEGs in profile 8 (Figure 1b). Among the down-regulated genes in leaves, 1543 DEGs were shared at 2 h and 50 h after osmotic treatment, and they were shown in profile 2 (1227 DEGs), profile 1 (306 DEGs) and profile 0 (3 DEGs) (Figure 1c). Among the up-regulated genes in roots, 3668 DEGs were shared at 2 h and 50 h after osmotic treatment, and they showed patterns in profile 9 (2243 DEGs), profile 6 (680 DEGs) and profile 8 (745 DEGs), respectively (Figure 1d). Among the down-regulated genes in roots, 27,252 DEGs were shared at 2 h and 50 h after osmotic treatment, and they showed patterns in profile 2 (26,213 DEGs) and profile 1 (695 DEGs) (Figure 1e).

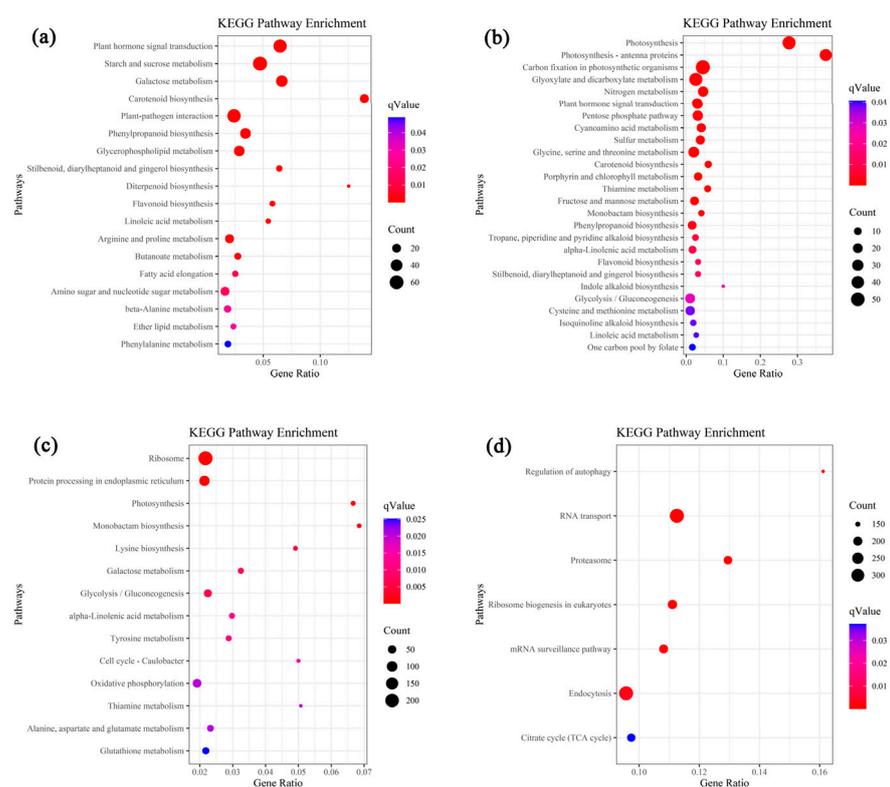


**Figure 1.** Global analysis of differentially expressed genes (DEGs) in leaves and roots after osmotic stress. (a) The number of DEGs in ‘Qinghai’ under osmotic stress; (b–e) the comparative analysis and trend profiles of DEGs in leaves and roots of ‘Qinghai’ after osmotic stress. L0, L2 and L50 indicate the genes in leaves at 0 h, 2 h or 50 h after osmotic stress. R0, R2 and R50 indicate the genes in roots at 0 h, 2 h or 50 h after osmotic stress. Expression profiles were ordered by the number of differentially expressed genes. Blocks indicate significant enrichment trends ( $p \leq 0.05$ ). The top-left number in blocks represents the trend ID, and the different trend ID indicates different expression trends. The middle number in blocks represents the number of genes.

## 2.2. Analysis of Gene Ontology (GO) and KEGG Pathway Enrichment of DEGs in Leaves

The up-regulated DEGs (3579) shared at 2 h and 50 h after osmotic stress in leaves were analyzed using GO and KEGG enrichment. Based on  $q$ -value  $< 0.05$ , 208 GO terms and 18 KEGG pathways were enriched. The top 30 GO terms including 20 terms in “bi-

ological process” and ten terms in “molecular function” are listed in Figure S1a. The enriched pathways included “plant hormone signal transduction” (55 genes), “starch and sucrose metabolism” (63 genes), “galactose metabolism” (39 genes), “carotenoid biosynthesis” (21 genes), “plant-pathogen interaction” (56 genes), “phenylpropanoid biosynthesis” (32 genes), “glycerophospholipid metabolism” (31 genes), “stilbenoid, diarylheptanoid and gingerol biosynthesis” (ten genes), “diterpenoid biosynthesis” (six genes), “flavonoid biosynthesis” (nine genes), “linoleic acid metabolism” (eight genes), “arginine and proline metabolism” (21 genes), “butanoate metabolism” (12 genes), “fatty acid elongation” (ten genes), “amino sugar and nucleotide sugar metabolism” (21 genes), “beta-alanine metabolism” (14 genes), “ether lipid metabolism” (nine genes), “phenylalanine metabolism” (11 genes) (Figure 2a).



**Figure 2.** KEGG enrichment analysis of the up- and down-regulated DEGs in leaves and roots after osmotic stress. (a) The enriched KEGG pathways of the up-regulated DEGs in leaves shared at 2 h and 50 h after osmotic stress. (b) The enriched KEGG pathways of the down-regulated DEGs in leaves shared at 2 h and 50 h after osmotic stress. (c) The enriched KEGG pathways of the up-regulated DEGs in roots shared at 2 h and 50 h after osmotic stress. (d) The enriched KEGG pathways of the down-regulated DEGs in roots shared at 2 h and 50 h after osmotic stress. The *q*-value is represented by colors from blue to red. The size of the dark dots reflects the number of DEGs involved in each metabolism pathway.

The down-regulated DEGs (1543) shared at 2 h and 50 h after osmotic stress in leaves were analyzed using GO and KEGG enrichment. Based on *q*-value < 0.05, 286 GO terms and 26 KEGG pathways were enriched. The top 30 GO terms are listed in Figure S1b, including “biological process” (11 terms), “cellular component” (13 terms) and “molecular function” (6 terms). The enriched pathways included “photosynthesis” (46 genes), “photosynthesis-antenna proteins” (37 genes), “carbon fixation in photosynthetic organisms” (55 genes), “glyoxylate and dicarboxylate metabolism” (46 genes), “nitrogen metabolism” (24 genes), “plant hormone signal transduction” (26 genes), “pentose phosphate pathway” (24 genes), “cyanoamino acid metabolism” (17 genes), “sulfur metabolism” (17 genes), “glycine, serine and threonine metabolism” (27 genes), “carotenoid biosynthesis” (9 genes), “porphyrin and

chlorophyll metabolism" (13 genes), "thiamine metabolism" (8 genes), "fructose and mannose metabolism" (15 genes), "monobactam biosynthesis" (6 genes), "phenylpropanoid biosynthesis" (15 genes), "tropane, piperidine and pyridine alkaloid biosynthesis" (seven genes), "alpha-Linolenic acid metabolism" (11 genes), "flavonoid biosynthesis" (5 genes), "stilbenoid, diarylheptanoid and gingerol biosynthesis" (five genes), "indole alkaloid biosynthesis" (two genes), "glycolysis/gluconeogenesis" (21 genes), "cysteine and methionine metabolism" (18 genes), "isoquinoline alkaloid biosynthesis" (six genes), "linoleic acid metabolism" (four genes) and "one carbon pool by folate" (seven genes) (Figure 2b).

### 2.3. Gene Ontology (GO) and KEGG Pathway Enrichment of DEGs in Roots

The up-regulated DEGs (3668) shared at 2 h and 50 h after osmotic stress in roots were analyzed using GO and KEGG enrichment. Based on  $q$ -value  $< 0.05$ , 401 GO terms and 14 KEGG pathways were enriched. The top 30 GO terms included "biological process" (23 terms), "cellular component" (two terms) and "molecular function" (five terms, Figure S1c). The enriched KEGG pathways included "ribosome" (221 genes), "protein processing in endoplasmic reticulum" (95 genes), "photosynthesis" (11 genes), "monobactam biosynthesis" (ten genes), "lysine biosynthesis" (11 genes), "galactose metabolism" (19 genes), "glycolysis/Gluconeogenesis" (44 genes), "alpha-linolenic acid metabolism" (19 genes), "tyrosine metabolism" (20 genes), "cell cycle-caulobacter" (eight genes), "oxidative phosphorylation" (58 genes), "thiamine metabolism" (seven genes), "alanine, aspartate and glutamate metabolism" (28 genes) and "glutathione metabolism" (32 genes, Figure 2c).

Analysis of GO and KEGG enrichment of the down-regulated DEGs (27252) shared at 2 h and 50 h after osmotic stress in roots showed that, based on  $q$ -value  $< 0.05$ , 899 GO terms and seven KEGG pathways were enriched. The top 30 GO terms included "biological process" (including ten terms), "cellular component" (including six terms) and "molecular function" (including 14 terms, Figure S1d). The enriched KEGG pathways included "regulation of autophagy" (146 genes), "RNA transport" (343 genes), "proteasome" (190 genes), "ribosome biogenesis in eukaryotes" (205 genes), "mRNA surveillance pathway" (197 genes), "endocytosis" (340 genes) and "citrate cycle (TCA cycle)" (185 genes) (Figure 2d).

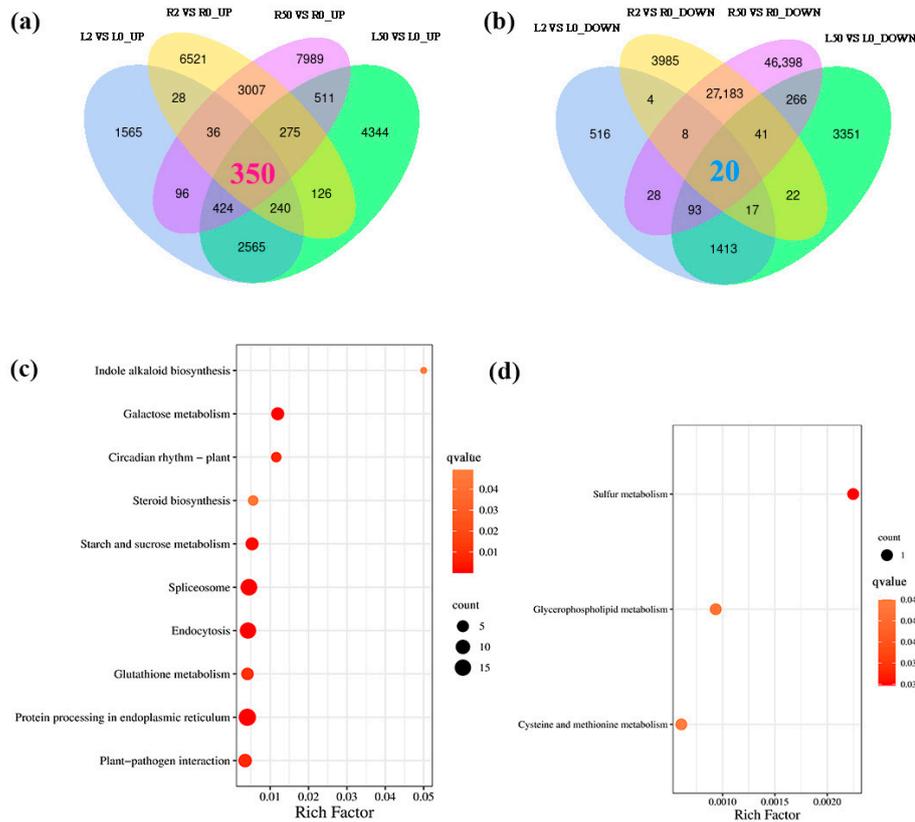
### 2.4. DEGs Joint Analysis of the Up-Regulated and Down-Regulated in Leaves and Roots

All the up-regulated and down-regulated DEGs were analyzed using a Venn diagram. The results showed that 350 up-regulated DEGs were shared in both leaves and roots at 2 h and 50 h after osmotic treatment (Figure 3a), while 20 down-regulated DEGs were shared (Figure 3b). The up-regulated DEGs were mapped to 10 pathways (Figure 3c), including "protein processing in endoplasmic reticulum" (18 genes), "spliceosome" (16 genes), "galactose metabolism" (seven genes), "endocytosis" (15 genes), "starch and sucrose metabolism" (seven genes), "plant-pathogen interaction" (eight genes), "circadian rhythm-plant" (three genes), "glutathione metabolism" (six genes), "steroid biosynthesis" (three genes), and "indole alkaloid biosynthesis" (one gene) (Figure 3c). Three genes among twenty down-regulated DEGs could be annotated, and they were mapped to "sulfur metabolism", "glycerophospholipid metabolism" and "cysteine and methionine metabolism" (Figure 3d).

### 2.5. The Genes Involving in Carbohydrate Metabolism Were Up-Regulated in Leaves and Roots in Response to Osmotic Stress

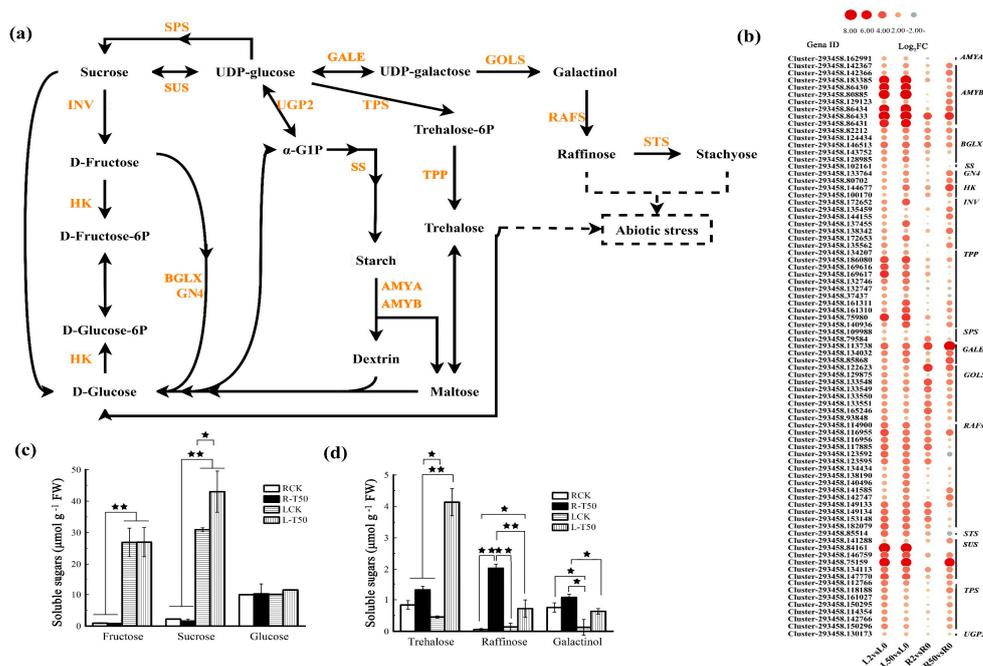
Plants accumulate sugars under drought stress. The up-regulated DEGs clustered the in sucrose and starch metabolism pathway combined with those in raffinose, trehalose and stachyose biosynthesis were further analyzed. The metabolic pathway and the key enzymes are shown in Figure 4a. The DEGs included *sucrose-phosphate synthase* (SPS, two genes), *sucrose synthase* (SuSase, six genes),  $\beta$ -*fructofuranosidase* (INV, seven genes) in sucrose biosynthesis and metabolism, *hexokinase* (HK, two genes), *glucan endo-1,3-beta-glucosidase 4* (GN4, two genes),  $\beta$ -*glucosidase* (BGLX, five genes) for fructose-6-phosphate and glucose-

6-phosphate biosynthesis. In addition, *trehalose 6-phosphate synthase (TPS)* and *trehalose 6-phosphate phosphatase (TPP)* for trehalose biosynthesis, *inositol 3- $\alpha$ -galactosyltransferase (GOLS)*, eight genes), *raffinose synthases (RAFS)*, fifteen genes) and *stachyose synthase (STS)*, one gene) for raffinose biosynthesis were up-regulated in leaves and roots after osmotic stress.  $\alpha$ -Amylase (*AMYA*) and  $\beta$ -amylase (*BMYB*, nine genes) for starch degradation and *UTP-glucose-1-phosphate uridylyltransferase (UGP2)*, *starch synthase (SSS)* and *UDP-glucose 4-epimerase (GALE)* were also up-regulated (Figure 4b).



**Figure 3.** Comprehensive analysis of the DEGs shared in both leaves and roots. (a) The number of up-regulated DEGs shared in both leaves and roots after 2 h and 50 h of osmotic stress. (b) The number of down-regulated DEGs shared in both leaves and roots after 2 h and 50 h of osmotic stress. (c) The enriched KEGG pathways of the up-regulated DEGs shared in both leaves and roots after 2 h and 50 h of osmotic stress. (d) The enriched KEGG pathways of the down-regulated DEGs shared in both leaves and roots after 2 h and 50 h of osmotic stress. The red color digit in venn (a) represents the number of up-regulated DEGs share in both leaves and roots, the blue color digit in venn (b) represents the number of down-regulated DEGs in both leaves and roots. The  $q$ -value is represented by red color from light red to dark red. The size of the dark dots reflects the number of DEGs involved in each metabolism pathway.

Soluble sugars in leaves and roots in response to osmotic stress were measured. Fructose and sucrose levels were higher in leaves than in roots (Figure 4c), while trehalose and galactinol levels were higher in roots than in leaves under control condition (Figure 4d). The sucrose level was increased in leaves but not in roots after osmotic stress, while fructose and glucose levels were not altered in either leaves or roots (Figure 4c). Trehalose and raffinose levels were increased in both leaves and roots, while the galactinol level was increased in leaves but not in roots after osmotic stress (Figure 4d).

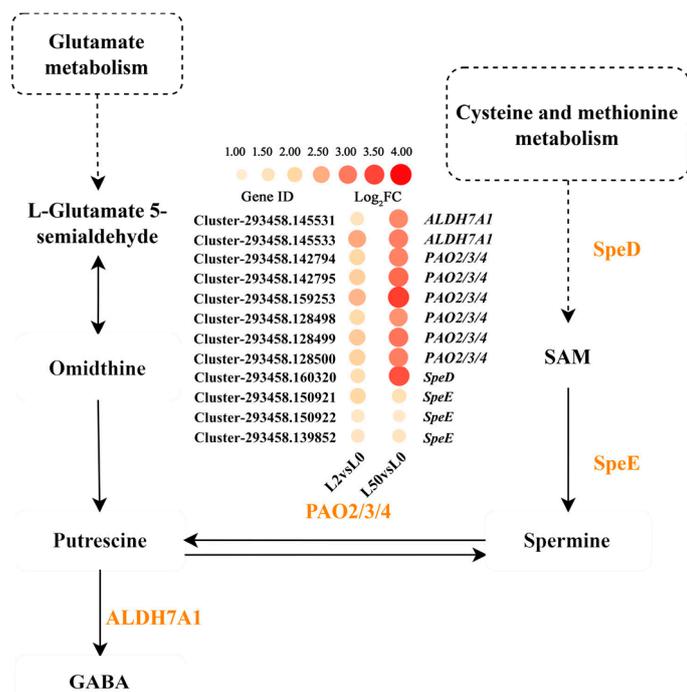


**Figure 4.** Analysis of DEGs involved in carbohydrate metabolism and soluble sugars in leaves and roots in response to osmotic stress. (a) Major metabolism pathway and key enzymes of carbohydrate metabolism. (b) The expression patterns of DEGs involved in carbohydrate metabolism. (c,d) Soluble sugar levels in leaves and roots in response to 50 h of osmotic stress. The dashed lines in pathway represent participation, the dashed boxes represent pathway, the solid lines in pathway represents synthesis. The color spectrum of heat map ranging from blue to red represents the  $\log_2$  FC from low to high,  $|\log_2 FC| \geq 1$ . The soluble sugars were measured after 50 h of treatment with 25% PEG. RCK and LCK indicate the control roots and leaves, respectively, while R-T50 and L-T50 indicate the samples of roots and leaves after 50 h of treatment with 25% PEG. All data are presented as means  $\pm$  SE from three independent experiments. The asterisks \* and \*\* indicate significant difference at  $p < 0.05$  and  $0.01$ , respectively.

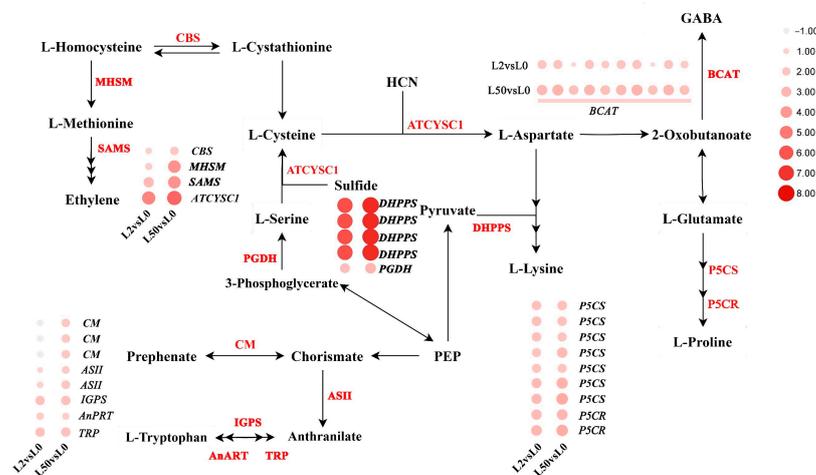
**2.6. The Genes Involving in Polyamine and Amino Acid Biosynthesis and Metabolism Were Up-Regulated in Leaves in Response to Osmotic Stress**

S-adenosylmethionine decarboxylase (SpeD) and spermidine synthase (SpeE) are key enzymes for polyamine biosynthesis, while polyamine oxidase and aldehyde dehydrogenase (ALDH7A1) catalyze oxidation of polyamines to produce  $\alpha$ -aminobutyric acid (GABA). *SpeD*, *SpeE* (three genes), *PAO2*, 3, 4 (six genes) and *ALDH7A1* (two genes) were up-regulated after 2 h and 50 h of osmotic stress (Figure 5), indicating that polyamine biosynthesis and metabolism were involved in the response to osmotic stress.

Thirty-one genes involved in amino acid biosynthesis and metabolism were up-regulated in leaves in response to osmotic stress.  $\delta$ -1-pyrroline-5-carboxylate synthetase (*P5CS*, seven genes) and pyrroline-5-carboxylate reductase (*P5CR*, two genes) involved in proline biosynthesis were up-regulated after 2 h and 50 h of osmotic stress. *Branched-chain amino acid aminotransferase* (*BCAT*, ten genes) involved in GABA biosynthesis, *chorismate mutase* (*CM*, three genes), *anthranilate synthase component II* (*ASII*, two genes), *indole-3-glycerol phosphate synthase* (*IGPS*), *anthranilate phosphoribosyltransferase* (*AnPRT*) and *phosphoribosylanthranilate isomerase* (*TRP*) involved in tryptophan biosynthesis, *4-hydroxy-tetrahydrodipicolinate synthase* (*DHDPS*, four genes) involved in lysine biosynthesis, *D-3-phosphoglycerate dehydrogenase* (*PGDH*), *L-3-cyanoalanine synthase/cysteine synthase* (*ATCYSC1*) and *cystathionine  $\beta$ -synthase* (*CBS*) involved in cysteine biosynthesis, *5-methyltetrahydropteroyltriglutamate-homocysteine methyltransferase* (*MHSM*) and *S-adenosylmethionine synthetase* (*SAMS*) involved in methionine biosynthesis were up-regulated after 50 h of osmotic stress (Figure 6).



**Figure 5.** Analysis of DEGs involved in polyamine biosynthesis and metabolism under osmotic stress. The color spectrum ranging from yellow to red represents log<sub>2</sub>FC values from low to high, |Log<sub>2</sub>FC| ≥ 1. The dashed lines represent source, the dashed boxes represent pathway, the solid lines represent synthesis.



**Figure 6.** Analysis of DEGs involved in amino acid biosynthesis and metabolism under osmotic stress. Red color represents up-regulated genes. The color spectrum ranging from white to red represents log<sub>2</sub>FC values from low to high, |Log<sub>2</sub>FC| ≥ 1.

Free amino acids in leaves were detected in response to osmotic stress. Except Cys, Orn, Asp, Ser, Lys, Tyr and Ile, the levels of Glu, Gly, Met, Thr, Ala, Arg, His, Trp, Leu, Pro, Phe and Val in leaves were increased after 50 h of osmotic stress. The Glu, Met and Val were maintained at high levels under both control and osmotic stress conditions and were increased by osmotic stress. Pro, Val and Phe levels showed approximately 20, 36, and 33-fold increases after osmotic stress, respectively (Table 1). In addition, some of the amino acid derivatives, except Tau, Cysthi, β-AiBA and 1-Mehis, were significantly increased after osmotic stress, among them, the GABA level was increased by 43.6-fold (Table 1).

**Table 1.** Amino acids and their derivative levels in leaves in response to osmotic stress.

Amino Acid and Derivative	Control ( $\mu\text{g g}^{-1}$ DW)	Osmotic Stress ( $\mu\text{g g}^{-1}$ DW)
Glutamate (Glu)	642.88 $\pm$ 77.95	1142.38 $\pm$ 15.92 **
Ornithine (Orn)	0.17 $\pm$ 0.016	0.74 $\pm$ 0.34
Glycine (Gly)	0.57 $\pm$ 0.06	1.98 $\pm$ 0.47 *
Methionine (Met)	0.35 $\pm$ 0.03	2.20 $\pm$ 0.13 **
Threonine (Thr)	8.99 $\pm$ 2.47	26.50 $\pm$ 6.39 *
Asparagine (Asp)	9.61 $\pm$ 0.76	33.23 $\pm$ 10.70
Serine (Ser)	9.06 $\pm$ 2.49	40.53 $\pm$ 17.01
Alanine (Ala)	4.33 $\pm$ 0.35	21.29 $\pm$ 5.68 *
Arginine (Arg)	0.54 $\pm$ 0.19	6.22 $\pm$ 2.19 *
Lysine (Lys)	0.52 $\pm$ 0.17	9.23 $\pm$ 3.77
Tyrosine (Tyr)	0.55 $\pm$ 0.37	9.92 $\pm$ 4.44
Histidine (His)	1.17 $\pm$ 0.16	15.54 $\pm$ 5.19 *
Tryptophan (Trp)	1.04 $\pm$ 0.78	15.25 $\pm$ 5.53 *
l-isoleucine (Ile)	1.14 $\pm$ 0.36	21.32 $\pm$ 5.00
Leucine (Leu)	0.34 $\pm$ 0.14	14.68 $\pm$ 6.41 *
Proline (Pro)	5.03	102.41 $\pm$ 40.42 *
Phenylalanine (Phe)	0.92 $\pm$ 0.39	30.21 $\pm$ 10.76 *
Valine (Val)	0.94 $\pm$ 0.40	33.48 $\pm$ 12.98 **
Cysteine (Cys)	1.27 $\pm$ 0.26	1.30 $\pm$ 0.42
Taurine (Tau)	0.01 $\pm$ 0.00	0.35 $\pm$ 0.29
$\alpha$ -aminobutyric acid (GABA)	1.09 $\pm$ 0.44	47.60 $\pm$ 17.95 *
Cysthionine (Cysthi)	0.08 $\pm$ 0.02	0.03 $\pm$ 0.00
$\alpha$ -aminoadipic acid ( $\alpha$ -AAA)	1.40 $\pm$ 0.09	13.68 $\pm$ 4.43 *
$\beta$ -aminoisobutyric acid ( $\beta$ -AiBA)	1.14 $\pm$ 0.99	1.81 $\pm$ 1.14
P-Serine (P-Ser)	0.99 $\pm$ 0.26	2.95 $\pm$ 0.21 *
$\beta$ -Alanine ( $\beta$ -Ala)	0.18 $\pm$ 0.05	1.71 $\pm$ 0.60 *
1-methylhistidine (1-Mehis)	0.39 $\pm$ 0.06	3.66 $\pm$ 2.44
$\alpha$ -aminobutyric acid ( $\alpha$ -ABA)	0.57 $\pm$ 0.06	4.62 $\pm$ 1.14 *
Total	696.27	1573.99

Means of three independent samples and standard errors are presented. The asterisks \* and \*\* indicate significant difference at  $p < 0.05$  and  $0.01$ , respectively.

### 2.7. The DEGs Involving in Photosynthesis and Carbon Fixation in Leaves Were Down-Regulated in Response to Osmotic Stress

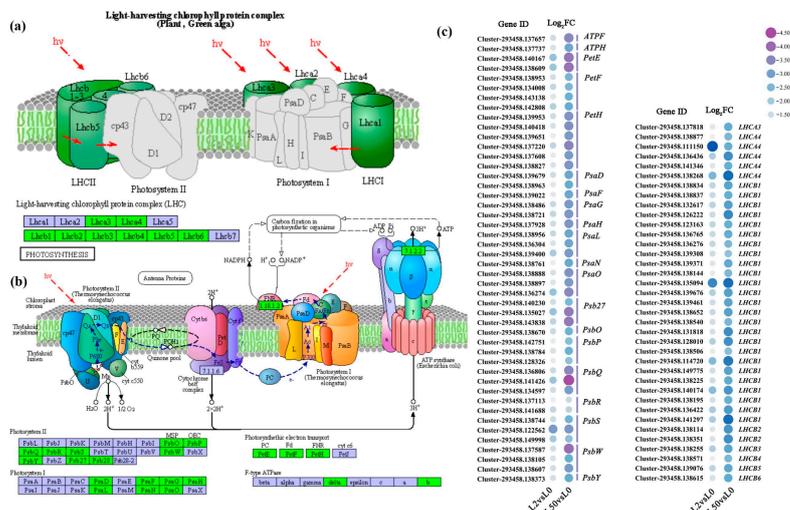
Among the down-regulated DEGs in leaves, eighty-four genes were clustered in antenna protein (Figure 7a) and photosynthesis (Figure 7b) pathways. They included photosystem I (19 genes), photosystem II (13 genes), photosynthesis electron transport (12 genes), F-type ATPase (two genes) and the light-harvesting chlorophyll protein complex (38 genes), which were down-regulated after 2 h and 50 h of osmotic stress (Figure 7c).

The down-regulated DEGs in glyoxylate and dicarboxylate metabolism pathway were further analyzed. The pathway is shown in Figure 8b, and *ribulose-bisphosphate carboxylase small chain (Rubisco)* (seven genes), *phosphoglycerate kinase (PGK)* (two genes), *glyceraldehyde-3-phosphate dehydrogenase (GAP)* (eight genes), *fructose-bisphosphate aldolase class I (ALDO)* (eight genes), *fructose-1,6-bisphosphatase I (FBPase)* (four genes) and *sedoheptulose-1,7-bisphosphatase (SBPase)* (two genes) were down-regulated after 2 h and 50 h of osmotic stress (Figure 8b).

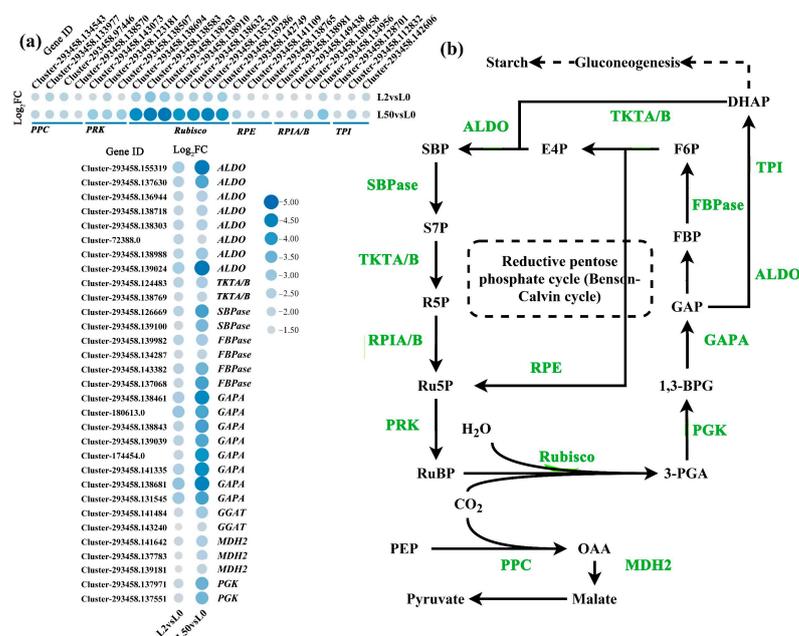
### 2.8. The Expression of Genes Involving in Plant Hormone Biosynthesis and Signal Transduction Were Altered in Leaves in Response to Osmotic Stress

ABA is accumulated under osmotic stress to improve osmotic tolerance. Sixteen DEGs in the ABA biosynthesis pathway were up-regulated after osmotic stress, including three *beta-carotene 3-hydroxylase (CrtZ)*, nine *9-cis-epoxycarotenoid dioxygenase (NCED)*, one *xanthoxin dehydrogenase (ABA2)* and *abscisic-aldehyde oxidase 3 (AAO3)*, and two *abscisic acid 8'-hydroxylase (CYP707A)*, but *Violaxanthin deepoxidase (VDE)* was down-regulated (Figure 9a). The altered expression of the above genes was consistent with ABA accumulation in plants under osmotic stress. In addition, forty-three genes involved in ABA signal transduction

were up-regulated, including eight *ABA-dependent kinases* *SNF1-regulated protein kinase 2* (*SnRK2s*), twenty-eight *ABA negative regulated genes* *protein phosphatase 2C* (*PP2C*) and seven *ABA responsive element binding factor* (*ABF*), while four *ABA receptor* encoding genes *Pyrabactin Resistance 1-like* (*PYL*) were down-regulated (Figure 9b).



**Figure 7.** Analysis of DEGs involved in photosynthesis pathway in leaves. (a,b) Major pathway of photosynthesis and key antenna protein. (c) The expression patterns of DEGs involved in photosynthesis pathway. The green rectangles in pathway represent down-regulated DEGs, while the blue rectangles in pathway represent insignificant changes genes. The color spectrum of heat map represents log<sub>2</sub>FC values from high to low, |Log<sub>2</sub>FC| ≥ 1. Schemes were retrieved from KEGG (ko00195, ko00196). Shapes and arrows follow the KEGG representation standards (https://www.kegg.jp/kegg/, accessed on 13 November 2023), except for color codes.

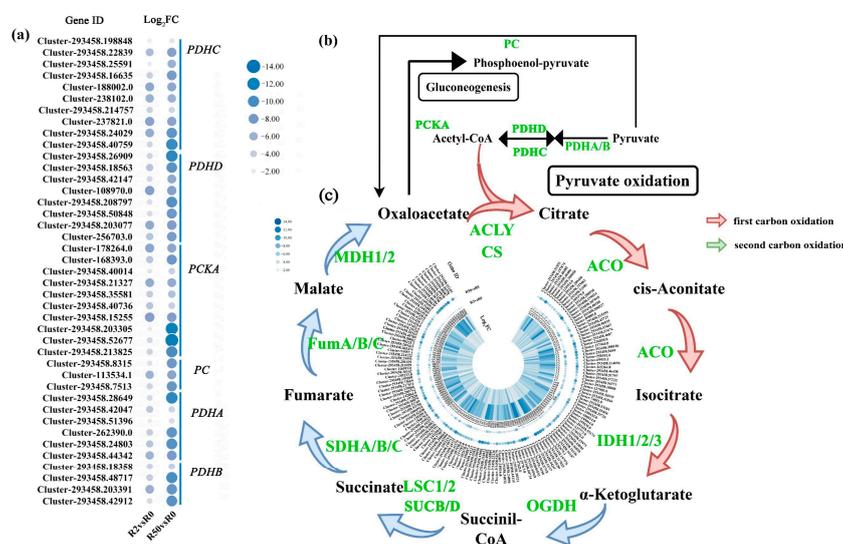


**Figure 8.** Analysis of DEGs involved in carbon fixation under osmotic stress. (a) The expression patterns of DEGs involved in carbon fixation; (b) major metabolism pathway and key enzymes of carbon fixation. The green digits represent down-regulated DEGs. Schemes were retrieved from KEGG (ko00710). The dashed lines represent participation, the dashed boxes represent pathway, the solid lines represent synthesis. The color spectrum of heat map ranging from white to blue represents Log<sub>2</sub>FC values from high to low, |Log<sub>2</sub>FC| ≥ 1.



### 2.9. The Genes Involved in Citrate Cycle in Roots Were Down-Regulated in Response to Osmotic Stress

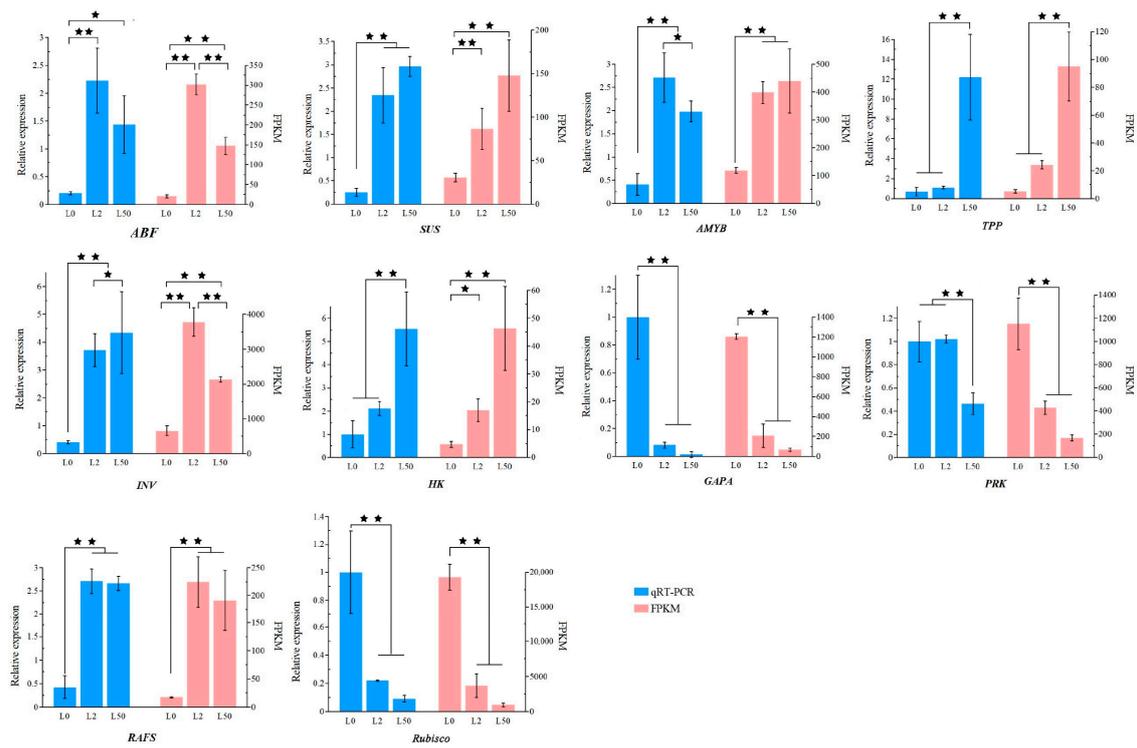
The TCA cycle is an important aerobic pathway for the oxidation of carbohydrates and fatty acids. The cycle starts with acetyl-CoA and goes back to oxaloacetate at the end of the cycle. *Pyruvate dehydrogenase E1 component alpha subunit (PDHA/B/C/D)*, 20 genes expression was down-regulated. *Phosphoenolpyruvate carboxykinase ATP (PCKA)*, ten genes in the gluconeogenesis pathway was dramatically down-regulated (Figure 10a,b). A large number of DEGs involving in citrate cycle were down-regulated (Figure 10b,c), including *ATP citrate lyase (ACLY)*, 11 genes, *citrate synthase (CS)*, 14 genes, *aconitate hydratase (ACO)*, 12 genes and *isocitrate dehydrogenase (IDH1/3)*, 26 genes for the first carbon oxidation, and *2-oxoglutarate dehydrogenase E1 component (OGDH)*, 14 genes, *2-oxoglutarate dehydrogenase E2 component (SUCB)*, six genes, *succinyl-CoA synthetase alpha subunit (LSC1/2)*, 19 genes, *succinate dehydrogenase (ubiquinone) flavoprotein subunit (SDHA/B/C)*, 14 genes, *class II fumarate hydratase (FumA/B/C)*, eight genes, *malate dehydrogenases (MDH1)*, 20 genes).



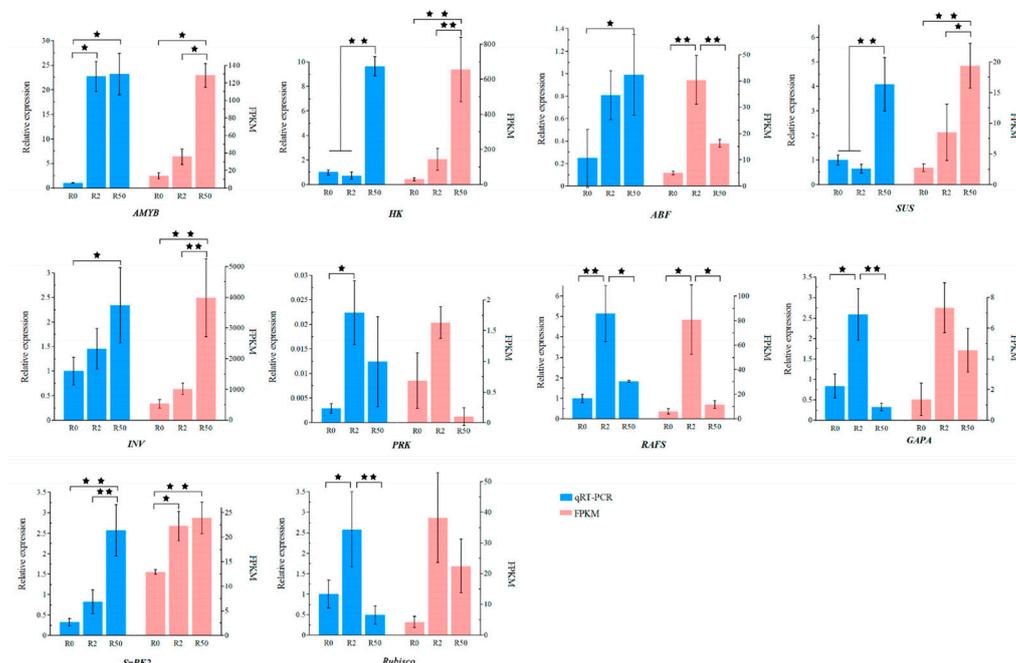
**Figure 10.** Analysis of DEGs involved in citrate cycle pathway in roots under osmotic stress. (a) The expression patterns of the DEGs involved in pyruvate oxidation and gluconeogenesis. (b) Major pathway and key enzymes of tyrosine biosynthesis and citrate cycle. (c) The key enzymes and expression patterns of the DEGs in TCA. The green-labeled genes represent down-regulated genes. The color spectrum of heat map ranging from white to blue represents log<sub>2</sub>FC values from high to low, |Log<sub>2</sub>FC| ≥ 1.

### 2.10. Verification of Several Differentially Expressed Genes in Response to Osmotic Stress

Ten DEGs in leaves and roots were randomly selected for validation with qRT-PCR (Figures 11 and 12). Ten DEGs were differentially expressed among roots and leaves after osmotic stress. Among them, *ABF*, *SUS*, *AMYB*, *INV*, *HK*, *TPP* and *RAFS* expression was up-regulated, while *GAPA*, *PRK* and *Rubisco* expression was down-regulated in leaves after osmotic stress for 2 h and 50 h. *AMYB*, *INV*, *SUS*, *GAPA*, *SnRK2*, *ABF*, *PRK*, *RAFS*, *Rubisco* and *HK* expression in roots was significantly up-regulated after osmotic stress. The relative expression of these genes in leaves or roots were consistent with the RNA-seq results.



**Figure 11.** Validation of ten DEGs profiles in leaves using qRT-PCR. All data are presented as means  $\pm$  SE from three independent experimental replicates. The asterisks \* and \*\* above the column indicate significant difference at  $p < 0.05$  and  $0.01$ , respectively.



**Figure 12.** Validation of the ten DEG profiles in roots by qRT-PCR. All data are presented as means  $\pm$  SE from three independent experimental replicates. The asterisks \* and \*\* above the column indicate significant difference at  $p < 0.05$  and  $0.01$ , respectively.

### 3. Discussion

Plants respond to osmotic stress by changing their morphology and physical and biochemical properties, resulting from alterations in the expression of numerous genes.

The transcriptomic profiling of ‘Qinghai’ in response to osmotic stress was analyzed in the present study. The results showed that 3579 genes were continuously up-regulated and 1543 genes were continuously down-regulated in leaves after 2 h and 50 h of osmotic stress, while 3668 genes were continuously up-regulated and 27,252 genes were continuously down-regulated in roots after 2 h and 50 h of osmotic stress. Some differentially enriched pathways were obtained in leaves and roots of ‘Qinghai’ after osmotic stress. Consistent with work on Kentucky bluegrass [19], the stress-related pathways, such as carbohydrate metabolism, polyamine and amino acid metabolism and plant hormone signaling pathway, were also differentially enriched in our research under osmotic stress. Through comparative analysis, 350 up-regulated genes and 20 down-regulated genes shared in both leaves and roots after 2 h and 50 h of osmotic stress were identified. Most of these DEGs are involved in some key biological processes, suggesting these DEGs are crucial in ‘Qinghai’ coping with osmotic stress.

The down-regulated genes in leaves were enriched in the Calvin–Benson cycle, photorespiration, the photosynthetic electron transport chain and antenna proteins. Photosynthesis is the first process affected by drought stress, and photosynthetic proteins have been reported to be the most affected proteins under osmotic stress [21]. The concentration of PSII and PSI proteins as well as *Lhcb* have been shown to decrease in water stress [22]. It is likely that a large number of photosynthesis genes were down-regulated expression, suggesting photosynthesis was severely restricted under osmotic stress. In addition, *ribulose-bisphosphate carboxylase small chain (Rubisco)*, *phosphoglycerate kinase (PGK)*, *glyceraldehyde-3-phosphate dehydrogenase (GAP)*, *fructose-bisphosphate aldolase class I (ALDO)*, *fructose-1,6-bisphosphatase I (FBPase)* and *sedoheptulose-1,7-bisphosphatase (SBPase)* in carbon fixation were also down-regulated, and carbohydrate synthesis was reduced in ‘Qinghai’ under osmotic stress.

The TCA cycle is not only a bridge connecting carbohydrate, amino acid, lipid and protein metabolism, but also an engine to generate energy and reduce the power needed to drive metabolism [23]. The TCA cycle is also one of the most important protection systems for plants under abiotic stress [24]. Altered levels of L-asparagine and citric acid in the TCA cycle is associated with the difference in drought resistance among the soybeans [25]. Drought-resistant sorghum coped with drought stress through promoting the TCA cycle to improve sphingolipid biosynthesis [26]. In our analysis, the expression of *CS*, *ACO*, *IDH*, *OGDH*, *SUC*, *SDH*, *FUM* and *MDH* in the TCA pathway were down-regulated, suggesting that the TCA cycle in ‘Qinghai’ was inhibited under osmotic stress.

Carbohydrate content will directly affect many physiological processes in plants, such as photosynthesis and the glycolytic pathway [27]. Starch biosynthesis and accumulation were reported to be significantly reduced after drought stress [10]. *α-Amylase (AMYA)* and *β-amylase*, used for starch metabolism, were up-regulated. The degradation of starch will provide a substrate for the synthesis of other soluble sugars in response to osmotic stress. High levels of soluble sugar could improve plant resistance. *SUS* and *SPS* are key enzymes in the sucrose biosynthesis pathway, and previous research showed that *SPS* activity increased in wheat under drought stress [28]. *INV* and *HK* function promote the hydrolysis of sucrose into glucose. The key genes, *GOLS*, *RAFs*, *STS* and *TPS*, were involved in the trehalose and raffinose biosynthesis and were significantly up-regulated in *C. pilosula* roots after drought stress [29]. The alteration of the expression of key genes in sugar pathways are closely related to plant drought tolerance. These DEGs involved in sucrose, trehalose and raffinose biosynthesis and metabolism were up-regulated in leaves and roots of ‘Qinghai’, which was consistent with the increased sucrose, trehalose and raffinose concentrations after osmotic stress, while fructose and glucose levels were not altered in either leaves or roots (Figure 2c,d). In addition, the concentration of trehalose was dramatically increased in leaves of ‘Qinghai’ compared with the control after osmotic stress due to the constant expression of the active *TPSs* and *TPPs* in leaves, while raffinose accumulates mainly due to the high expression of *RAFS* in roots. The results were similar

to many previous studies showing that oligosaccharides play an essential role in osmotic stress [30,31].

Polyamines participate in abiotic stress, and the accumulation of polyamines enhances resistance to abiotic stress in plants [32]. Spermidine can improve photosynthetic capacity and participate in hormone signal transmission under stress [33]. Overexpression of *speE* increased spermidine accumulation and enhanced tolerance to multiple environmental stresses in *Arabidopsis* [34]. In addition, the increased activity of PAOs in the backconversion pathway that catalyzes spermine and spermidine to putrescine is also involved in abiotic stress [35]. *SpeD*, *SpeE* and *PAO2, 3, 4* and *ALDH7A1* (two genes) expression was up-regulated in ‘Qinghai’ after 2 h and 50 h of osmotic stress, indicating that polyamine biosynthesis and metabolism were involved in the response to osmotic stress, and polyamine accumulation was associated with the strong drought tolerance of ‘Qinghai’. GABA is also an important molecule and it participates in plant protection, promoting ethylene (ETH) production and affecting plant growth [36]. *ALDH7A1* expression was up-regulated, and amino acid content increased in the metabolic pathway in ‘Qinghai’ after osmotic stress, indicating that GABA may be also important for resisting osmotic stress in ‘Qinghai’.

Phenylalanine catalyzes the oxidation of glutamate- $\gamma$ -semialdehyde into glutamate with the reduction of NAD (+) into NADH, and is involved in osmotic regulation. Glutamate plays multiple roles in abiotic stresses, such as salt, cold, heat and osmotic [37,38]. The large number of genes in the arginine and proline metabolism pathways were up-regulated after osmotic stress in osmotic-tolerant plants [39]. P5CS and P5CR are key enzymes in the proline synthesis pathway, catalyzing proline synthesis from glutamate. These observations are consistent with our analysis. Thirty-one genes involved in amino acid biosynthesis and metabolism were up-regulated in leaves in response to osmotic stress, including P5CS and P5CR. It is likely that the levels of 18 amino acids increased after osmotic stress. Among them, Pro and Phe levels showed 20 and 33.5-fold increase after osmotic stress, indicating increased proline and phenylalanine content is important for enhancing osmotic tolerance in ‘Qinghai’.

ABA is a defensive phytohormone and regulates stomatal closure, gene expression and the accumulation of osmotic protectants [40].  $\beta$ -carotene is the precursor of ABA biosynthesis. It is hydrolyzed to produce zeaxanthin catalyzed by *CrtZ*, while zeaxanthin is further oxidized to produce violaxanthin. Violaxanthin is converted to ABA via several steps catalyzed by *NCED*, *ABA2* and *AAO*. *CrtZ*, *ABA2*, *NCED*, *AAO3* and *CYP707A* expression was up-regulated in ‘Qinghai’ after osmotic stress. The accumulated ABA activates downstream signaling components to mediate signal cross-talking with other pathways [41]. The abscisic acid receptors *PYR/PYLs* are a positive factor combining with ABA to inhibit *PP2C* by phosphorylation in ABA signal transduction. The phosphorylated *PP2C* release the *SnRK2*, while *SnRK2* further regulate *ABF* by phosphorylation to induce related genes expression. The expression of most *ZmPP2Cs* were dramatically induced by multiple stresses in Maize (drought, salt, and ABA) [42]. Overexpression of *ABF* could improve osmotic tolerance in plants [43]. In our study, the expression of *PP2C*, *SnRK2s* and *ABF* were up-regulated, while four *PYL* genes were down-regulated after osmotic stress. There may be a complex balancing mechanism of osmotic stress in ‘Qinghai’.

Gibberellins are an antagonist of ABA in the regulation of drought tolerance [44]. Gibberellins (GA) promote plant growth, but negatively regulate drought tolerance [45]. *GA2ox* catalyzes the oxidation of *Gas*, and positively regulates drought tolerance [46]. Gibberellin receptor gene *GID1* was reported to regulate stomatal development. The *gid1* mutant showed impaired biosynthesis of endogenous GA under drought stress [47]. Transcription factor *PIF4* was reported to regulate auxin biosynthesis and is involved in stress response [48]. Consistent with these observations, *GA2ox*, *GID1* and *PIF4* expression was also up-regulated to synergistically regulate plant development and response to osmotic stress.

## 4. Materials and Methods

### 4.1. Plant Growth and Osmotic Stress

The Kentucky bluegrass ‘Qinghai’ (*Poa pratensis* cv. Qinghai) were seeded in plastic pots containing a mixture of peat and vermiculite and grown in greenhouse at 25 °C under nature light. Two-month-old seedlings were washed carefully and incubated in 1/2 Hoagland nutrient solution for one week before osmotic treatment. The seedlings were transferred to 1/2 Hoagland nutrient solution containing 25% (*v/v*) PEG-6000, while 1/2 Hoagland nutrient solution was used as the control. Leaves and roots were harvested after 0 h, 2 h and 50 h of stress treatment. RNA was isolated for transcriptome sequencing analysis. Free amino acids and soluble sugars were measured after 50 h of stress treatment.

### 4.2. RNA Isolation and RNA-Seq Analysis

Total RNA was extracted from 0.5 g roots and leaves using IZOL reagent (Invitrogen, Carlsbad, CA, USA) according to the manufacturer’s instructions [26], three RNA samples at each time point were used to construct a cDNA library of repeats using Gene Denovo Biotechnology Co. (Guangzhou, China) as described by Li et al. (2022) [26] for sequencing using Illumina HiSeq TM 2500. The RNA-seq data were deposited in the sequence read archive (SRA) of the NCBI database (accession number: PRJNA1025311). The FASTQ formatted raw sequence reads were pre-processed through in-house perl scripts by removing reads containing an adapter and those with more than 5% “N” base, and low-quality reads (length > 50% of the bases at *p*-value ≤ 5) were removed to obtain high-quality clean data. The cleaned reads were performed de novo transcriptome assembly using Trinity (v2.90) with default settings [49].

All assembled unigenes were subjected to alignment and annotation using the following databases: Non-Redundancy Protein (NR) database (<http://www.ncbi.nlm.nih.gov/>, accessed on 2 June 2021), Swiss-Prot database (<http://www.expasy.ch/sprot/>, accessed on 2 June 2021), Pfam database (<http://pfam.xfam.org/>, accessed on 2 June 2021), Cluster of Orthologous Groups of proteins (COG) database (<http://www.ncbi.nlm.nih.gov/COG/>, accessed on 2 June 2021), Gene Ontology (GO) database (<http://www.geneontology.org/>, accessed on 2 June 2021) and the Kyoto Encyclopedia of Genes and Genomes (KEGG) database (<http://www.genome.jp/kegg/>, accessed on 11 November 2023) [50]. The BLASTx algorithm (v2.2.28+) was employed with an E-value threshold of ≤10<sup>−5</sup> for database searches. The best BLAST hit was used to determine the sequence orientation of the unigenes

### 4.3. In-Depth Analysis of Differential Gene Expression

The reference sequence used for mapping the clean reads of each sample was generated from transcriptome sequences assembled using Trinity. Mapping was performed using RSEM (v1.3.1) (<http://deweylab.biostat.wisc.edu/rsem/>, accessed on 2 June 2021) (bowtie 2, the parameter is mismatch 0). The expression levels of individual unigenes were quantified using the metric of transcripts per kilobase of exon model per million mapped reads (TPM). Differential gene expression analysis was conducted using DESeq (v1.20.0) software; the unigenes were determined as differentially expressed genes (DEGs) with false discovery rate (FDR) ≤ 0.05 or absolute log<sub>2</sub> (foldchange (FC)) value ≥ 1, FC = FPKM (treat)/FPKM (control), FC > 2 or FC < 0.5. Enrichment analysis for differentially expressed genes in KEGG pathways and GO terms was performed using the R package “ClusterProfile” [51], with a *q*-value ≤ 0.05 to identify significantly enriched GO terms and KEGG pathways.

### 4.4. Trend Analysis

To understand the expression patterns of DEGs, trend analysis was used to cluster genes with similar expression patterns in different time samples. Clustering of the DEGs was performed by using version 1.3.13 of Short Time-series Expression Miner (STEM) software [52], and clustered profiles were considered significant when *p* values were ≤0.05.

#### 4.5. Measurements of Free Amino Acids

Fresh leaves (0.2 g) were powdered and soaked in 3 mL of 20 mM hydrochloric acid for measurements of free amino acids as previously described [53]. The mixture experienced 30 min of ultrasound vibration and was centrifuged at 12,000 rpm for 10 min. Then, 1 mL of the supernatant was mixed with 1 mL of 5% sulfosalicylic acid and placed at room temperature for 1 h and centrifuged at 12,000 rpm for 10 min. The supernatant was filtered with a 0.22 µm membrane and the free amino acids were measured using automatic amino acid analyzer Hitachi L-8900.

#### 4.6. Measurement of Soluble Sugars

The extraction of soluble sugars was based on a previously method [54]. Fresh leaves (0.5 g) were harvested and dried at 80 °C in a baking oven. The dried leaves were powdered and soaked in 5 mL of 80% (v/v) ethanol in a water bath at 80 °C for 30 min. The mixture was cooled at room temperature and centrifuged at 14,000 rpm for 20 min. The supernatant was combined after 3 repeated extractions and evaporated in a water bath at 80 °C. Then, the samples were dissolved in 1 mL of ultrapure water and filtered with a 0.22 µm membrane before testing. The extracts were separated on acetonitrile-water and water with a Carbohydrate column (4.6 mm × 250 mm, 5-Micron; Agilent Technologies Inc., Santa Clara, CA, USA) and a Hi-Plex Ca column (4 mm × 250 mm, 8 µm; Agilent Technologies Inc.), respectively, and were analyzed using the Agilent Technologies 1260 Infinity II with RID detector. Sucrose, raffinose, trehalose, glucose, galactinol and fructose concentrations were calculated based on the standard curve for each sugar and calibrated with the recovery of the whole analysis procedure.

#### 4.7. Quantitative Real-Time PCR (qRT-PCR) Analysis

Total RNA was extracted from the roots and leaves of the Kentucky bluegrass using TRIZOL reagent (Invitrogen, CA, USA) according to the manufacturer's instructions. The cDNA was synthesized using the PrimeScript™ II 1st Strand cDNA Synthesis Kit (Solarbio, Beijing, China) according to the manufacturer's instructions. The qRT-PCR was performed using SYBR® Premix Ex Taq™ II kit (Takara Biomedical Technology, Dalian, China) and a Light Cycl®96 Real-Time PCR system (Roche Life Science, Shanghai, China) according to the manufacturer's instructions. The reaction conditions were 94 °C for 5 min, followed by 40 cycles of 95 °C for 15 s and 60 °C for 1 min. The *PpActin* gene was used as the internal control. The relative expression level of gene was calculated using the  $2^{-\Delta\Delta C_t}$  method [55]. The specific primers were listed in Supplementary Table S4. Three independent technical repeats and three biological replicates were performed.

## 5. Conclusions

Transcriptome analysis of Kentucky bluegrass cultivar 'Qinghai' showed that the majority of genes were up-regulated in leaves but down-regulated in roots in response to osmotic stress. The genes involved in stress-related pathway, such as carbohydrate metabolism, polyamine and amino acid metabolism and plant hormone signaling pathways were altered. The levels of sucrose, trehalose and raffinose, as well as amino acids, such as Glu, Val, Met and Pro, were increased after osmotic stress. Overall, our study contributes to a systematic understanding of changes in DEGs and critical metabolism in 'Qinghai' after osmotic stress. This study provides a theoretical basis for studying drought mechanisms in 'Qinghai'.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12233971/s1>, Table S1. Data quality summary of samples. Table S2. Summary statistics of the common vetch transcriptome assemblies. Table S3. Number of functional comments. Table S4. Specific primers of quantitative real-time PCR (qRT-PCR). Figure S1. GO enrichment analysis of the up- and down-regulated DEGs in leaves and roots. Figure S2: Analysis

of DEGs involved in signal transduction of plant hormones (IAA, CTK, ETH, BR, JA) in leaves in response to osmotic stress.

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**Data Availability Statement:** Raw Illumina sequence data were deposited in the National Center for Biotechnology Information (NCBI) and be accessed in the sequence read archive (SRA) database (<https://www.ncbi.nlm.nih.gov/sra>, accessed on 7 October 2023). The accession number is PRJNA1025311 (<https://www.ncbi.nlm.nih.gov/bioproject/PRJNA1025311>, accessed on 7 October 2023), which includes 18 accession items (SAMN37714455-SAMN37714472). All data generated or analyzed during this study are included in this published article and its supplementary information files.

**Conflicts of Interest:** The authors declare no conflict of interest.

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# Identification of Morphogenesis-Related NDR Kinase Signaling Network and Its Regulation on Cold Tolerance in Maize

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**Abstract:** The MOR (Morphogenesis-related NDR kinase) signaling network, initially identified in yeast, exhibits evolutionary conservation across eukaryotes and plays indispensable roles in the normal growth and development of these organisms. However, the functional role of this network and its associated genes in maize (*Zea mays*) has remained elusive until now. In this study, we identified a total of 19 maize MOR signaling network genes, and subsequent co-expression analysis revealed that 12 of these genes exhibited stronger associations with each other, suggesting their potential collective regulation of maize growth and development. Further analysis revealed significant co-expression between genes involved in the MOR signaling network and several genes related to cold tolerance. All MOR signaling network genes exhibited significant co-expression with *COLD1* (*Chilling tolerance divergence1*), a pivotal gene involved in the perception of cold stimuli, suggesting that *COLD1* may directly transmit cold stress signals to MOR signaling network genes subsequent to the detection of a cold stimulus. The findings indicated that the MOR signaling network may play a crucial role in modulating cold tolerance in maize by establishing an intricate relationship with key cold tolerance genes, such as *COLD1*. Under low-temperature stress, the expression levels of certain MOR signaling network genes were influenced, with a significant up-regulation observed in *Zm00001d010720* and a notable down-regulation observed in *Zm00001d049496*, indicating that cold stress regulated the MOR signaling network. We identified and analyzed a mutant of *Zm00001d010720*, which showed a higher sensitivity to cold stress, thereby implicating its involvement in the regulation of cold stress in maize. These findings suggested that the relevant components of the MOR signaling network are also conserved in maize and this signaling network plays a vital role in modulating the cold tolerance of maize. This study offered valuable genetic resources for enhancing the cold tolerance of maize.

**Keywords:** maize; MOR signaling network; cold stress; cold tolerance

## 1. Introduction

The MOR signaling network is indispensable for the proper growth and development of eukaryotes [1,2]. The signaling pathway, initially discovered in yeast, plays a crucial role in governing cell morphogenesis, polarity, and cytokinesis in *Saccharomyces cerevisiae* [3–9]. Mutations in the MOR components of yeast result in cellular demise or impairments in cellular polarity [6,10]. The MOR signaling network is highly conserved across eukaryotes and likely plays a pivotal role in the regulation of plant stem cell maintenance as well as cell polarization [1,2].

The MOR signaling network comprises two protein kinases, KIC1 (Kinase that interacts with cell division cycle1) and CBK1 (Cell wall biosynthesis kinase1), along with three

associated proteins, MOB (Mps one binder), MO25 (Mouse embryo scaffolding protein25) and TAO3/FRY (Transcriptional activator of OCH1/Furry) [1,6,11,12]. Among them, CBK1 serves as the pivotal component of this regulatory pathway. This protein is a serine-threonine protein kinase belonging to the NDR (Nuclear Dbf2 Related) kinase family and exerts its influence on cellular growth and development through phosphorylation of downstream effector proteins [1,2,13]. The activation of CBK1 is facilitated by MOB, and its interaction with CBK1 is essential for the regulation of kinase activity [6,8]. KIC1 is a GCK (germinal center kinase) in the Ste20 (sterile 20 protein) kinase family and is a MAP4K (mitogen-activated protein kinase kinase kinase kinase) which phosphorylates CBK1, thereby activating its function [12,14]. The protein MO25 functions as an activator for KIC1, while TAO3/FRY serves as a mediator linking the interaction between KIC1-MO25 and CBK1-MOB, thereby facilitating the phosphorylation of CBK1 by KIC1 [9,12,15].

Cold stress significantly impedes the growth, development, and distribution of plants, thus, posing a substantial threat to agricultural production [16]. Plants have developed diverse molecular mechanisms to effectively respond to cold stress, and several key regulatory factors in response to this environmental condition have been identified [17–20]. The perception of cold stimuli involves the cell membranes, calcium channels, and COLD1, a gene encoding G-protein regulator [18,19,21]. After perceiving the cold stimulus, plants initiate a diverse array of regulatory networks to induce the expression of *COR* (*Cold regulated*) genes [19]. The CBF/DREB1 (C-repeat binding factor/Dehydration-responsive element binding factor1)-dependent transcriptional regulatory pathway represents the central mechanism underlying plant responses to cold stress [22]. The up-regulation of the CBF/DREB1 gene in response to low temperature triggers the activation of the *COR* gene's expression and subsequent accumulation of protective substances, including osmolytes and cryoprotective proteins, thus enhancing cold tolerance [22]. ICE1 (Inducer of CBF expression1) and ICE2 facilitate the expression of CBF/DREB1 genes, thereby positively regulating cold tolerance [23–25]. The phosphorylation of ICE1 is a critical factor in the regulation of plant cold tolerance, and under cold stress conditions, the *Arabidopsis* protein kinase OST1/SnRK2.6 (Open stomata1/SNF1-related protein kinase2.6) phosphorylates ICE1 to enhance its transcriptional activity and stability [26]. Moreover, *Arabidopsis* MPK3 (mitogen-activated protein kinase3) and MPK6 phosphorylate ICE1, thereby attenuating its protein stability and impairing its target binding activity [27,28]. However, in rice, OsMPK3 exerts a positive regulatory role in enhancing cold resistance by impeding the degradation of OsICE1 during periods of cold stress [29].

Maize (*Zea mays*) is globally recognized as one of the most pivotal crops [30–32], with seed germination and seedling growth being particularly susceptible to cold stress, especially during the early spring season [33–36]. The growth of maize leaves and seedlings was adversely affected by the reduced rate of cell division caused by low temperatures [37]. When the temperature drops below  $-2.2\text{ }^{\circ}\text{C}$  for a few minutes and remains below  $0\text{ }^{\circ}\text{C}$  for more than 4 h, the stems, leaves, and ears suffer irreparable damage [34]. The formation rate of leaves is decelerated by low temperatures, leading to a reduction in the overall leaf count [38]. Moreover, the growth of maize roots is also influenced by lower temperatures [39]. Consequently, exposure to lower temperatures can result in impaired germination, inhibited seedling growth, and even tissue or whole plant mortality, thereby potentially leading to crop failure [33,40,41]. Currently, several key genes involved in the response of maize to cold stress have been identified, such as *RR1* (*Response regulator 1*), *CesA* (*Cellulose synthase*), *MPK8*, *bZIP68* (*basic leucine zipper 68*), *bZIP113*, *TSAH1* (*Tryptophan synthase A homolog1*), *DREB1s*, and *ICE1* [42–46]. The identification of these genes holds significant implications for enhancing the cold tolerance of maize. However, the current comprehension regarding cold tolerance-related genes in maize remains incomplete. The exploration of more cold tolerance-related genes and regulatory mechanisms is of great significance for the development of cold-tolerant maize varieties. The MOR signaling network is necessary for normal growth and development of eukaryotes, potentially serving as a pivotal regulator in plant stem cell maintenance and cell polarization [1]. Furthermore,

the MOR signaling network genes of *Arabidopsis* exhibit predominant expression in the shoot apical meristem and inflorescence meristem [1], and these developmental stages of maize are susceptible to cold stress [33–35]. The MOR signaling network genes potentially participate in the regulation of cold stress response in maize.

In this study, the genes comprising the MOR signaling network in maize were identified through sequence similarity searches using known components of *Arabidopsis*. The co-expression analysis was employed to ascertain the potential involvement of MOR signaling network genes in the regulation of cold stress in maize. Moreover, utilizing qRT-PCR (quantitative real-time polymerase chain reaction) analysis, it was ascertained that cold stress exerts regulatory effects on the expression of genes within the MOR signaling network. Subsequently, a mutant of one of these genes was identified and analyzed, thus elucidating its pivotal role in governing cold tolerance in maize. The results of this study will contribute to the elucidation of the regulatory mechanism underlying cold tolerance in maize and provide valuable gene resources for the breeding of cold-tolerant maize varieties.

## 2. Results

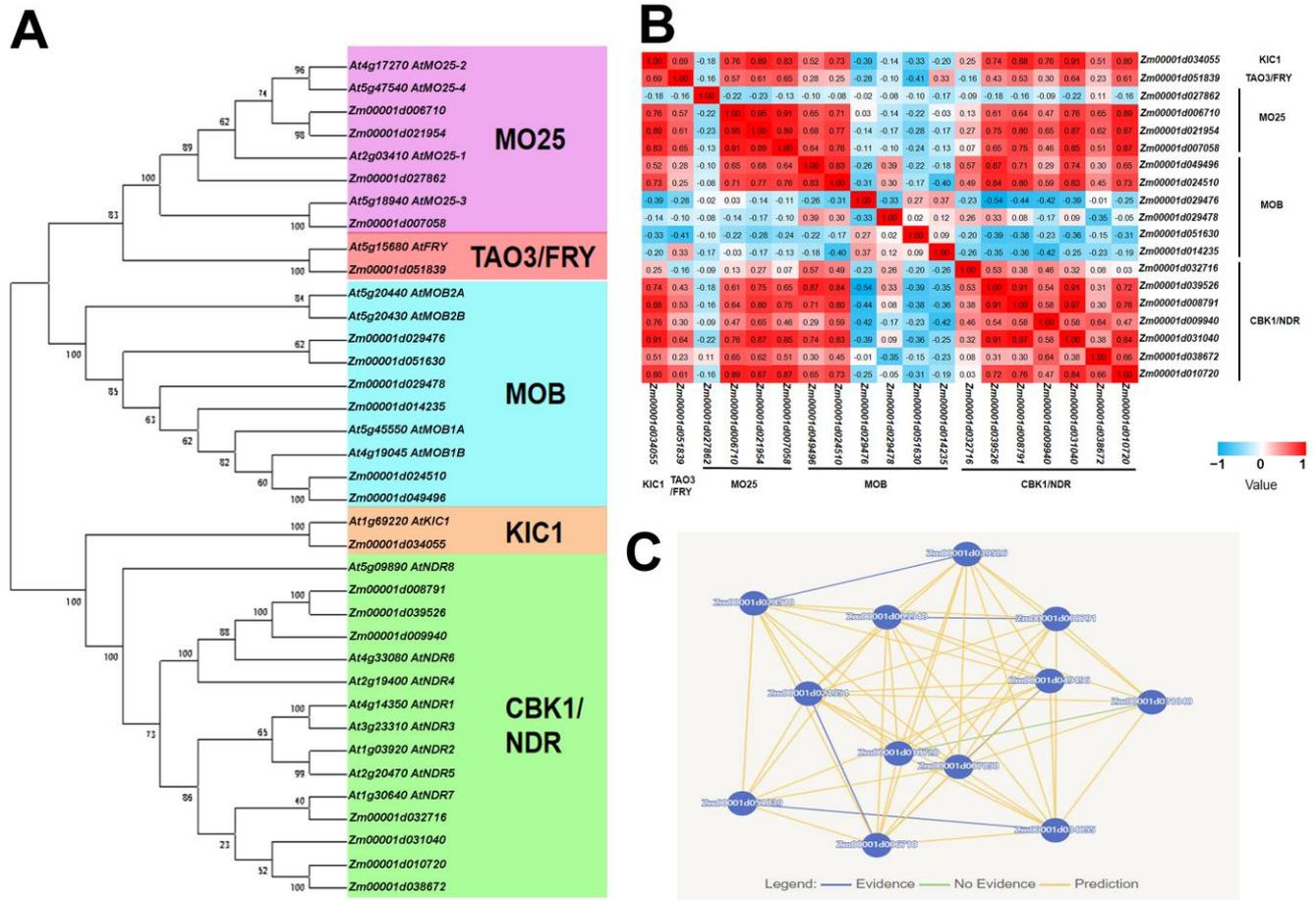
### 2.1. Identification of Pivotal Components of Maize MOR Signaling Network

The *Arabidopsis* MOR signaling network genes were employed to query the maize genome annotation database using BLASTp, resulting in the identification of a total of 19 maize MOR signaling network genes. Among these genes, only one exhibits homology to *Arabidopsis* KIC1 kinase, another one shows homology to TAO3/FRY protein, four share homology with MO25 protein, six exhibit homology with MOB protein, and seven show homology with CBK1 protein (Figure 1A; Table S1). These 19 genes together constitute the MOR signaling network of maize, which may play a crucial role in growth and development. To further ascertain whether the identified MOR pathway genes belong to the same signaling network, we conducted a co-expression analysis among these genes. The KIC1 coding gene *Zm00001d034055*; TAO3/FRY coding gene *Zm00001d051839*; MO25 coding genes *Zm00001d006710*, *Zm00001d021954*, and *Zm00001d007058*; MOB coding genes *Zm00001d049496* and *Zm00001d024510*; as well as CBK1 coding genes *Zm00001d039526*, *Zm00001d008791*, *Zm00001d009940*, *Zm00001d031040*, and *Zm00001d010720* exhibit significant co-expression with each other (Figure 1B; Table S2). The results of co-expression analysis unveiled a robust interrelationship among these 12 genes out of the 19 MOR signaling network genes identified in this study, implying their potential collective regulation on the growth and development of maize. Furthermore, the interactions among these 12 genes were analyzed utilizing the Pathway Mapping function provided by the MaizeNetome website (<http://minteractome.ncpgr.cn/>, accessed on 15 August 2023) [47], unveiling potential interconnections and implying their participation in a common regulatory pathway (Figure 1C). Therefore, we have chosen these 12 genes as essential components of the maize MOR signaling network for further examination.

### 2.2. Co-Expression Analysis of MOR Signaling Network Genes and Cold Tolerance-Related Genes Revealed the Potential Regulatory Mechanism of Cold Tolerance in Maize

To elucidate the contribution of MOR signaling network genes to cold stress tolerance in maize, we conducted a co-expression analysis between MOR signaling network genes and cold tolerance-related genes. The findings revealed a significant co-expression between genes involved in the MOR signaling network and multiple cold tolerance-related genes (Figure 2A; Table S3). All MOR signaling network genes showed significant co-expression with *COLD1*, a pivotal gene involved in the perception of cold stimuli [18]. These findings suggested that upon detection of a cold stimulus, *COLD1* may directly transmit a signal of cold stress to genes involved in the MOR signaling network. Furthermore, eight, eight, seven, and six MOR signaling network genes were significantly co-expressed with *bZIP68*, *MPK6*, *CESA4*, and *ICE2*, respectively (Figure 2A; Table S3). These genes have previously been identified as pivotal regulators of cold tolerance in maize and *Arabidopsis* [24,44–46]. These results indicated that the MOR signaling network may be involved in the regulation

of cold tolerance of maize. Moreover, we used the Pathway Mapping function of the MaizeNetome website (<http://minteractome.ncpgr.cn/>, accessed on 15 August 2023) [47] to analyze the interaction between cold tolerance-related genes and *CBK1* genes, as *CBK1* potentially belongs to the MOR signaling network member that directly governs cold tolerance-related genes. The potential interaction between *CBK1* and these cold tolerance-related genes further suggests the involvement of the MOR pathway in regulating cold tolerance (Figure 2B).

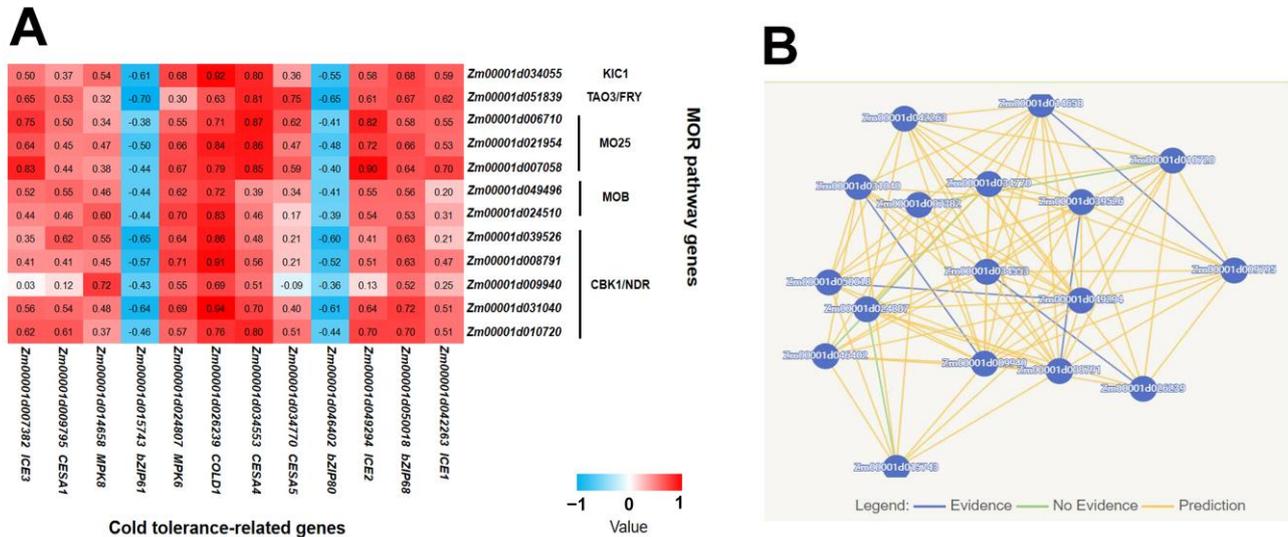


**Figure 1.** Identification of MOR signaling network genes in maize. (A) Phylogenetic analysis of MOR signaling network genes in maize and *Arabidopsis*. A total of 19 maize MOR signaling network genes were identified. The neighbor-joining algorithm is employed for the assessment of evolutionary distances. The numbers at the nodes represent the percentage of 1000 bootstraps. (B) Co-expression analysis of 19 maize MOR signaling network genes. In total, 12 of the genes had stronger correlations. (C) These 12 genes potentially interact with each other. The network map was generated using the Pathway Mapping function available on the MaizeNetome website (<http://minteractome.ncpgr.cn/>, accessed on 15 August 2023).

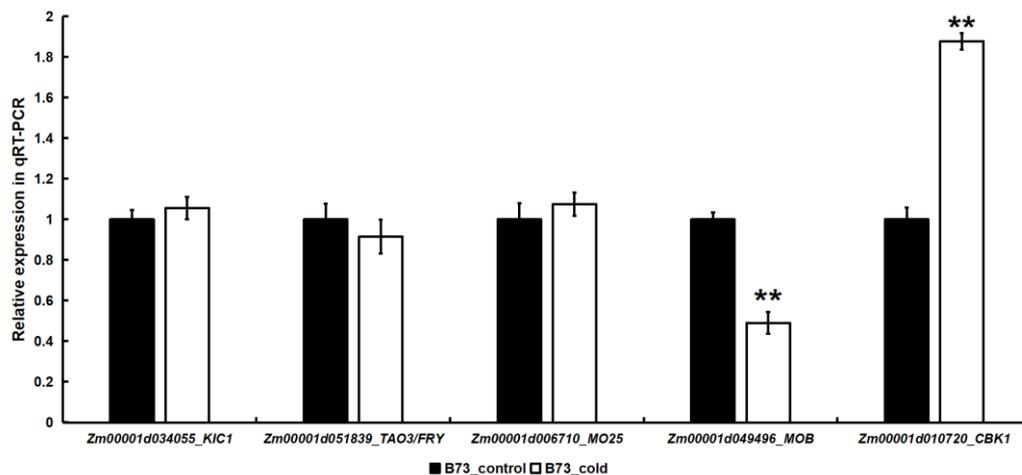
### 2.3. The Expression of Certain MOR Signaling Network Genes in Maize Was Regulated by Cold Stress

We subjected maize B73 seedlings to cold treatment and assessed the expression of *Zm00001d034055*, *Zm00001d051839*, *Zm00001d006710*, *Zm00001d049496*, and *Zm00001d010720*, following cold stress using qRT-PCR. These five genes encode KIC1, TAO3/FRY, MO25, MOB, and CBK1, respectively, and represent key components of the MOR pathway. The results of gene expression analysis revealed a significant up-regulation in the expression of *Zm00001d010720* following cold treatment, as compared to the control, while there was a significant down-regulation in the expression level of *Zm00001d049496* (Figure 3). Furthermore, the expression levels of *Zm00001d034055*, *Zm00001d051839*, and *Zm00001d006710*

did not show any significant variation following cold treatment compared to the control (Figure 3). The findings suggested that the expression of some MOR signaling network genes was regulated by cold stress, potentially contributing to the modulation of cold tolerance in maize.



**Figure 2.** The MOR signaling network potentially participates in the regulation of cold tolerance in maize. (A) MOR signaling network genes and cold tolerance-related genes were significantly co-expressed. (B) There are potential interactions between CBK1 and genes related to cold tolerance. The network map was generated using the Pathway Mapping function available on the MaizeNetome website (<http://minteractome.ncpgr.cn/>, accessed on 15 August 2023).

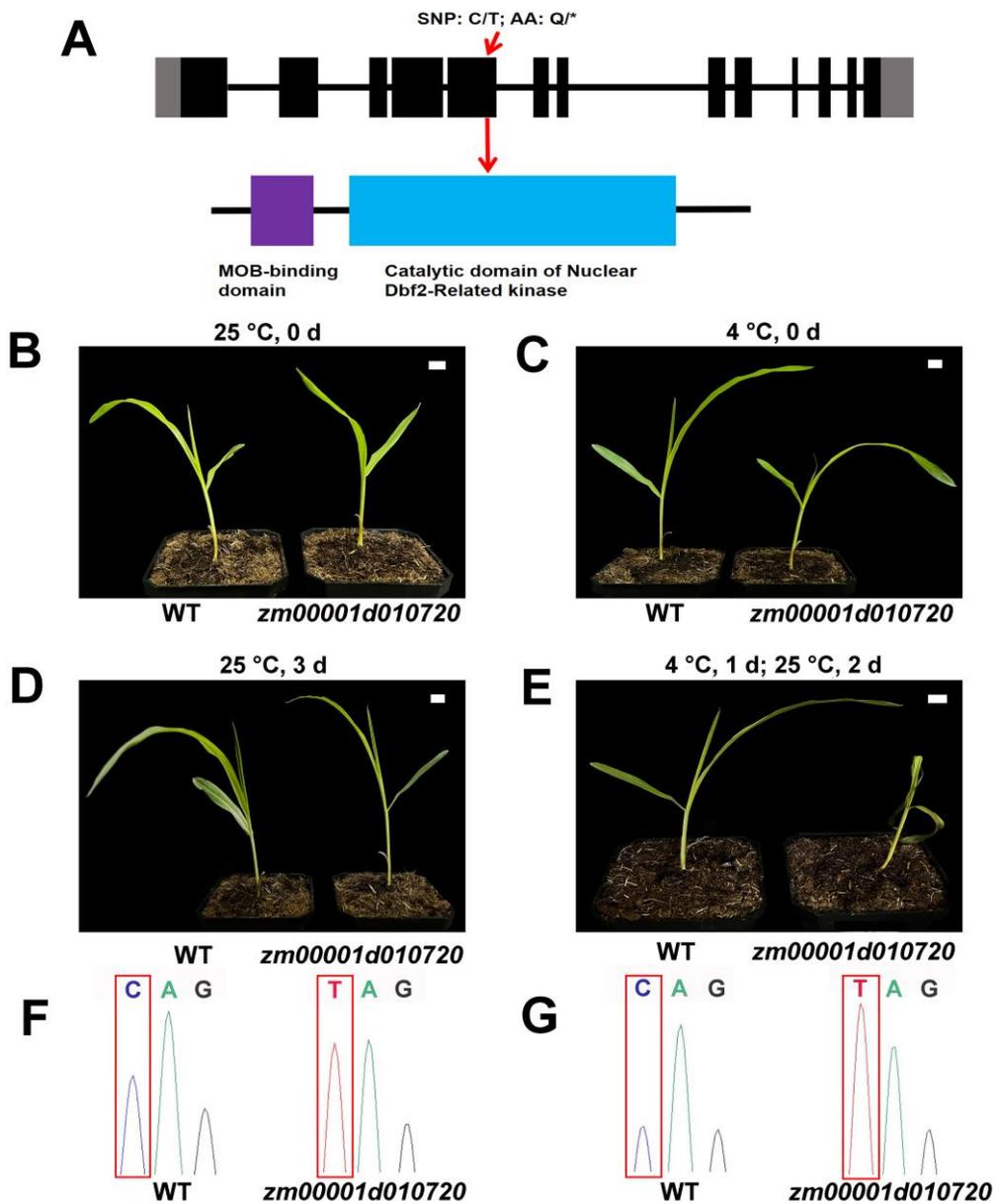


**Figure 3.** Cold stimulation regulated the expression of certain genes in maize in the MOR signaling network. \*\* significant at  $p < 0.01$  by the Student's  $t$  test.

#### 2.4. The Mutant of *zm00001d010720* Exhibited Heightened Susceptibility to Cold Stress

We further identified and analyzed a mutant *zm00001d010720*, which encodes the CBK1 kinase. The mutation of *Zm00001d010720* is a C-to-T substitution in exon 5, which results in the transformation of a glutamine residue to a premature stop codon, resulting in the partial deletion of the catalytic domain of kinase in *Zm00001d010720*, potentially impacting its protein functionality (Figure 4A). Fourteen-day-old WT (wild type) and *zm00001d010720* seedlings were subjected to a 24-h cold treatment at 4 °C and then we observed the growth of the plants after two days of recovery at 25 °C. After cold treatment, the *zm00001d010720* seedling exhibited wilting and subsequent mortality, whereas the WT seedling displayed normal growth (Figure 4C,E,G). However, both the WT and *zm00001d010720* seedlings

without cold treatment could grow normally in the same period (Figure 4B,D,F). The results demonstrated that the mutation in the *Zm00001d010720* gene, which encodes CBK1 kinase, significantly impaired the cold tolerance of maize. This finding suggested that this gene plays a regulatory role in modulating the response of maize to low-temperature stress.



**Figure 4.** The mutant *zm00001d010720* showed increased susceptibility to cold stress. (A) Schematic diagram of *Zm00001d010720* gene with indicated mutation sites (**top**) and protein conserved domains (**bottom**). Black boxes represent coding regions, gray boxes represent the 5' and 3' untranslated regions, and lines represent introns. SNP, single-nucleotide polymorphism; AA, amino acids; \*, stop gained. (B) Before cold treatment, the phenotypes of wild type and mutant seedlings in control group. Scale bar, 1 cm. (C) Before cold treatment, the phenotypes of wild type and mutant seedlings in cold stress group. Scale bar, 1 cm. (D) The phenotype of wild type and mutant seedlings in control group after 3 days. Scale bar, 1 cm. (E) The phenotypes of wild type and mutant seedlings after treatment at 4 °C for one day and recovery at 25 °C for two days. The mutant seedling displayed wilting and subsequent mortality. Scale bar, 1 cm. (F) Mutation site analysis of wild type and mutant seedlings in control group. (G) Mutation site analysis of wild type and mutant seedlings in cold stress group.

### 3. Discussion

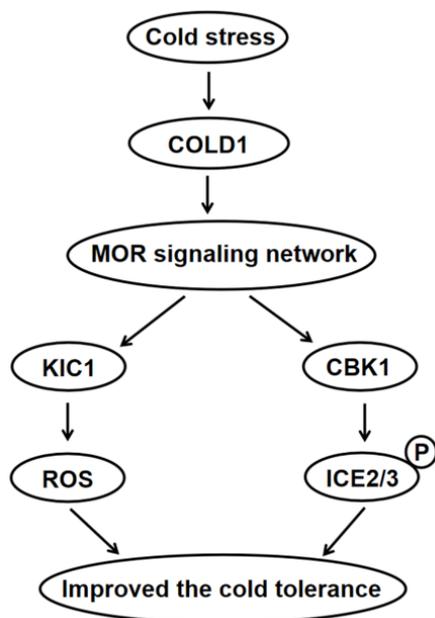
#### 3.1. Relevant Components of the MOR Signaling Network Exhibit Conservation in Maize

In this study, we identified a total of 19 MOR signaling network genes in maize, 12 of which were more closely related to each other and they may collaborate to govern the growth and development of maize, suggesting the conservation of MOR signaling network components in maize (Figure 1; Tables S1 and S2). The MOR signaling pathway was first discovered in yeast and is necessary for normal growth and development [3–9]. This signaling pathway has received limited attention in plants and has only been reported in *Arabidopsis* [1,2]. The MOR signaling network genes of *Arabidopsis* are mainly expressed as the shoot apical meristem and inflorescence meristem, which may play a crucial regulatory role in plant stem cell maintenance and cell polarization [1]. Currently, the elucidation of this signaling pathway in maize and its regulatory mechanisms governing plant cold tolerance remains elusive. However, it is expected that the expression pattern of these genes in maize will be similar to that observed in *Arabidopsis*. Additionally, at these developmental stages, maize is susceptible to cold stress hazards [33–35] and MOR signaling network genes possess the potential to participate in the regulation of the maize cold stress response. Furthermore, the functional characterization of these 12 MOR signaling network genes in maize remains unreported. However, the function of its homologous genes in *Arabidopsis* has been partially documented and these genes in maize may also exhibit similar functions to their counterparts in *Arabidopsis*. The *Arabidopsis* KIC1 protein is implicated in the regulation of cellular polarity, cell proliferation, cell expansion, and antibacterial immune responses [48–50]. The *Arabidopsis* TAO3/FRY protein is potentially implicated in the G-protein signaling pathway and exerts an influence on morphogenesis [51]. Furthermore, the *Arabidopsis* MOB protein interacts with KIC1 and CBK1 and is involved in the regulation of cell proliferation, cell expansion, pollen development and germination, and plant growth and development [48,52,53]. And, the *Arabidopsis* CBK1 protein plays a pivotal role in various aspects of growth and development, including embryogenesis, pollen development, and germination [53–55]. The findings of these studies suggested that genes involved in the MOR signaling network may also play a vital role in regulating maize growth and development.

#### 3.2. The MOR Signaling Network Genes Play a Crucial Role in the Regulation of Cold Tolerance in Maize

Our study revealed significant co-expression between genes related to the MOR signaling network and multiple genes associated with cold tolerance in maize, with all MOR signaling network genes exhibiting a close relationship to *COLD1*, a pivotal gene involved in perceiving cold stimuli (Figure 2A; Table S3). After perceiving the cold stimulus, maize *COLD1* may transmit a signal to the MOR signaling network genes, thereby triggering a cold tolerance response that is dependent on this specific signaling cascade. *COLD1* encodes the G-protein regulator [18,19,21], and the G-protein signaling pathway represents a crucial mechanism for transducing extracellular signals into intracellular responses [51]. The *Arabidopsis* TAO3/FRY protein exhibits a close association with the G-proteins [51], suggesting that *COLD1* may potentially mediate the transmission of cold signals via TAO3/FRY. Through its interaction with KIC1 and CBK1, TAO3/FRY facilitates the phosphorylation of CBK1 by KIC1, thereby activating the MOR pathway to regulate growth, development, and stress response [9,12,15]. KIC1 can induce extracellular ROS (reactive oxygen species) burst, thereby positively modulating immune responses in *Arabidopsis* [49]. Furthermore, ROS also plays a crucial role in the regulation of cold stress response [20]. These results suggested that KIC1 may play a role in the regulation of cold stress through the modulation of ROS. In this study, the expression levels of some MOR pathway genes were modulated in response to cold stress, exhibiting a significant up-regulation in *Zm00001d010720* and a notable down-regulation in *Zm00001d049496* (Figure 3), indicating that cold stress regulated the MOR signaling network. These two genes encode the CBK1 kinase and MOB protein, respectively, which play a crucial role in regulating plant growth and development [48,52–55] and may modulate the cold tolerance of maize during the seedling. Our further study

found that the mutation of *Zm00001d010720* rendered maize more susceptible to cold stress (Figure 4). This observation indicated that the MOR signaling network genes exert a positive regulatory influence on cold stress in maize. The mutation site of *Zm00001d010720* is situated at amino acid position 302 within the protein-coding sequence, resulting in premature termination of the protein sequence at this site and subsequent deletion of several crucial protein binding sites, such as active sites and polypeptide substrate binding sites (Figure S1). In the future, we can identify proteins that interact with this protein of the WT and *zm00001d010720* and subsequently compare their differences to identify key downstream proteins associated with cold tolerance. Furthermore, we also analyzed upstream transcription factors that may regulate the expression of *Zm00001d010720*. Through co-expression analysis, we identified 252 transcription factors that exhibited co-expressions with *Zm00001d010720* (Table S4), further using the maize gene expression data from qTeller (<https://qteller.maizegdb.org/>, accessed on 13 August 2023). In total, 39 of these transcription factors were identified as having at least twofold changes in expression after cold stress, and these 39 transcription factors were more likely to act as regulatory genes upstream of *Zm00001d010720* (Table S4). We also used the plant promoter analysis website Plantpan4.0 (<http://plantpan.itps.ncku.edu.tw/plantpan4/index.html>, accessed on 13 August 2023) to analyze the promoter region of *Zm00001d010720* and identified that 8 of these 39 transcription factors may have binding sites in the promoter region of *Zm00001d010720* (Figure S2; Table S4). The presence of *bZIP68* (*Zm00001d050018*), a well-documented key gene associated with maize cold tolerance [46], among these eight identified transcription factors suggests that *Zm00001d010720* plays a pivotal role in the regulatory mechanisms governing cold tolerance in maize. In conjunction with the above studies, we postulate that the cold signal is transmitted to the MOR signaling pathway after being sensed by *COLD1*, potentially facilitating both *KIC1*-mediated ROS generation and phosphorylation of *ICE2*, *ICE3*, and other proteins via *CBK1* to enhance the cold tolerance of maize (Figure 5).



**Figure 5.** A putative model of the MOR signaling network regulating cold tolerance of maize. After being sensed by *COLD1*, the cold signal is transmitted to the MOR signaling network, potentially facilitating *KIC1*-mediated ROS generation, and phosphorylation of *ICE2* and *ICE3* via *CBK1*, thus enhancing the cold tolerance of maize. *CBK1*, Cell wall biosynthesis kinase; *COLD1*, Chilling tolerance divergence1; *ICE2/3*, Inducer of C-repeat binding factor expression2/3; *KIC1*, Kinase that interacts with cell division cycle1; *MOR*, Morphogenesis-related NDR kinase; *ROS*, reactive oxygen species.

## 4. Materials and Methods

### 4.1. Plant Materials

The materials used in this study included maize inbred line B73 (stored within our laboratory) and an ethylmethane sulfonate (EMS)-mutagenized stop-gained mutant (EMS4-0ab1d7, *zm00001d010720*) which were obtained from the Maize EMS-induced Mutant Database (MEMD; <https://elabcaas.cn/memd/public/index.html#/>, accessed on 20 August 2023) [56].

### 4.2. Identification of MOR Signaling Network Genes in Maize

The maize genome dataset downloaded from maizeGDB (Maize Genetics and Genomics Database, <https://www.maizegdb.org/>, accessed on 13 August 2023) was searched using BLASTp to identify the MOR signaling network genes, with the query sequences derived from the MOR signaling network genes in *Arabidopsis* [1].

### 4.3. Phylogenetic Analysis

The protein sequences of maize MOR signaling network genes were downloaded from maizeGDB, and the protein sequence of *Arabidopsis* MOR signaling network genes was downloaded from NCBI (National Center for Biotechnology Information, <https://www.ncbi.nlm.nih.gov/>, accessed on 13 August 2023). Protein sequences were aligned using MUSCLE in the MEGA5.1 software (v.5.1.1). The neighbor-joining algorithm was employed to assess evolutionary distances, while phylogeny testing was performed using the bootstrap method with 1000 replicates.

### 4.4. Co-Expression Analysis

The maize gene expression data from qTeller (<https://qteller.maizegdb.org/>, accessed on 13 August 2023) was utilized to perform a co-expression analysis of MOR signaling network genes with each other, as well as the co-expression analysis between MOR signaling network genes and cold tolerance-related genes (*COLD1*, *ICE1*, *ICE2*, *ICE3*, *MPK6*, *MPK8*, *bZIP61*, *bZIP68*, *bZIP80*, *CesA1*, *CesA4*, and *CesA5*) using SPSS software (v.24.0). Given the direct manifestation of leaf damage in maize following cold stress [44–46], we extracted 24 leaf-related expression data from the qTeller for co-expression analysis (Table S5). Significant co-expression was observed when the Pearson's correlation coefficient was  $\geq 0.60$  [57].

### 4.5. RNA Extraction and qRT-PCR Analysis

The seeds of B73 were sown in pots and grown under controlled conditions at a temperature of 25 °C with a photoperiod of 16 h light and 8 h darkness. When the seedlings were fourteen days old, they were subjected to a cold stress treatment at 4 °C for a duration of 24 h. B73 cold-treated leaves and control leaves were taken, respectively, and 3 biological replicates were collected. All samples were immediately frozen in liquid nitrogen and stored at −80 °C. The total RNA from each sample was extracted using Trizol reagent (Invitrogen, Carlsbad, CA, USA). The expression of *Zm00001d034055*, *Zm00001d051839*, *Zm00001d006710*, *Zm00001d049496*, and *Zm00001d010720* in cold stressed B73 and control was validated using qRT-PCR. The Fast Quant RT Kit (TianGen, Beijing, China) was used to synthesize the first strand cDNAs. The qRT-PCR was then conducted using the Bio-Rad iQ5 (Bio-Rad, Hercules, CA, USA) according to the SuperReal PreMix Plus (SYBR Green) instructions (TianGen, Beijing, China). All reactions were performed with three technical replicates, and the expression levels were normalized using *GAPDH* (*Glyceraldehyde-3-phosphate dehydrogenase*) as an internal reference. The qRT-PCR primers are listed in Table S6.

### 4.6. Identification and Analysis of Mutant *zm00001d010720*

An EMS-mutagenized stop-gained mutant (EMS4-0ab1d7) of *Zm00001d010720* was obtained from MEMD (<https://elabcaas.cn/memd/public/index.html#/>, accessed on

20 August 2023) [56]. The material was grown at the Chongzhou Modern Agricultural Research and Development Base, Sichuan Agricultural University. The row length was 3 m, and the row width was 0.6 m with a plant spacing of 0.3 m within rows. The genotypes of each plant were determined using PCR, and the homozygous mutant seeds were obtained through self-pollination. The primers are listed in Table S6. The homozygous seeds of *zm00001d010720* and B73 were sown in pots and cultivated under controlled conditions at a temperature of 25 °C, with a photoperiod consisting of 16 h of light followed by 8 h of darkness. The fourteen-day-old seedlings were treated with cold stress at 4 °C for 24 h. After cold stress, the plants recovered at 25 °C for 2 days, and then the growth was observed.

## 5. Conclusions

In summary, we have successfully identified 19 maize MOR signaling network genes for the first time and observed that 12 of them exhibit a higher degree of co-expression, suggesting the conservation of these signaling network components in maize and their potential significance in maize growth and development. The subsequent analysis revealed the involvement of MOR signaling network genes in the regulation of cold tolerance. The expression levels of some MOR pathway genes were regulated under cold stress, and the mutation in *Zm00001d010720*, which codes CBK1, confers increased cold stress sensitivity upon maize. These findings suggested that genes associated with the MOR signaling network play a pivotal role in modulating cold tolerance in maize. This study has contributed novel genetic resources to facilitate the development of cold-tolerant maize varieties.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12203639/s1>, Table S1: List of 19 MOR signaling network genes in maize; Table S2: Co-expression analysis of 19 maize MOR signaling network genes; Table S3: Co-expression analysis of MOR signaling network genes and cold tolerance-related genes; Table S4: Upstream transcription factors analysis of *Zm00001d010720*; Table S5: Expression data for co-expression analysis in this study; Table S6: Primers used in this study; Figure S1: Analysis of protein conserved domain of *Zm00001d010720*; Figure S2: Promoter analysis of *Zm00001d010720*.

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## Article

# Overexpression of *OsPIN9* Impairs Chilling Tolerance via Disturbing ROS Homeostasis in Rice

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**Abstract:** The auxin efflux transporter PIN-FORMED (PIN) family is one of the major protein families that facilitates polar auxin transport in plants. Here, we report that overexpression of *OsPIN9* leads to altered plant architecture and chilling tolerance in rice. The expression profile analysis indicated that *OsPIN9* was gradually suppressed by chilling stress. The shoot height and adventitious root number of *OsPIN9*-overexpressing (OE) plants were significantly reduced at the seedling stage. The roots of OE plants were more tolerant to *N*-1-naphthylphthalamic acid (NPA) treatment than WT plants, indicating the disturbance of auxin homeostasis in OE lines. The chilling tolerance assay showed that the survival rate of OE plants was markedly lower than that of wild-type (WT) plants. Consistently, more dead cells, increased electrolyte leakage, and increased malondialdehyde (MDA) content were observed in OE plants compared to those in WT plants under chilling conditions. Notably, OE plants accumulated more hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) and less superoxide anion radicals (O<sub>2</sub><sup>-</sup>) than WT plants under chilling conditions. In contrast, catalase (CAT) and superoxide dismutase (SOD) activities in OE lines decreased significantly compared to those in WT plants at the early chilling stage, implying that the impaired chilling tolerance of transgenic plants is probably attributed to the sharp induction of H<sub>2</sub>O<sub>2</sub> and the delayed induction of antioxidant enzyme activities at this stage. In addition, several *OsRboh* genes, which play a crucial role in ROS production under abiotic stress, showed an obvious increase after chilling stress in OE plants compared to that in WT plants, which probably at least in part contributes to the production of ROS under chilling stress in OE plants. Together, our results reveal that *OsPIN9* plays a vital role in regulating plant architecture and, more importantly, is involved in regulating rice chilling tolerance by influencing auxin and ROS homeostasis.

**Keywords:** *OsPIN9*; polar auxin transport; chilling tolerance; ROS homeostasis; antioxidant enzymes; rice (*Oryza sativa* L.)

## 1. Introduction

Due to extreme weather events, plants are progressively subjected to various abiotic stresses, such as chilling stress. Chilling stress restricts the geographical distribution and affects plant productivity [1,2]. Rice (*Oryza sativa* L.) originates from tropical and subtropical regions and is more sensitive to chilling stress than other cereal crops [3–5]. Previous data showed that rice yield decreased by 30–40% due to cold stress in temperate areas [6]. Therefore, improving rice chilling tolerance for maintaining food security is an urgent target [7]. In recent years, excellent progress has been made in understanding the physiological and molecular mechanisms underlying chilling stress [1,8–10]. Chilling stress triggers Ca<sup>2+</sup> influx, which plays a key role in chilling tolerance [1,11–13]. For example, COLD1 (CHILLING-TOLERANCE DIVERGENCE 1) and OsCNGC9 (CYCLIC NUCLEOTIDE-GATED CHANNEL 9) have been demonstrated to regulate rice chilling tolerance by mediating Ca<sup>2+</sup> influx under chilling stress [7,14]. Growing evidence shows

that low temperatures dramatically induce the expression of the well-known C-repeat binding factor (*CBF*)/dehydration-responsive element binding factor (*DREB*) genes, which play a crucial role in plant chilling tolerance [15–18]. Conversely, evidence also showed that the transcriptional abundance of these transcription factors plays a minor role in cold tolerance [19–21]. Additionally, reactive oxygen species (ROS) are pivotal in various abiotic stress adaptations [22,23]. Low-level ROS at the early stress stage act as signals to trigger diverse stress responses, whereas excessive ROS cause cell damage; therefore, ROS homeostasis should be well controlled during abiotic stresses [10], including chilling tolerance [21,24–26]. In line with this, it is evidenced that more ROS are accumulated at the relative earlier chilling stage, while less ROS accumulation is detected at the late chilling stage in high chilling tolerance rice than in low chilling tolerance rice, which suggests that ROS homeostasis plays a vital role in regulating rice chilling tolerance [21].

The phytohormone auxin (indole-3-acetic acid, IAA) functions in almost all plant developmental processes and is involved in responses to many environmental clues, such as light, gravity, and temperature fluctuations [27–30]. Most auxin is synthesized in primordial and young leaves [31–33] and is transported basipetally to facilitate plant growth and development. The plant-specific PIN-FORMED (PIN) efflux carriers are the most important players in this process [34], which cooperated with the AUXIN1 (AUX1)/LIKE AUX1 (LAX) influx carriers, the phosphoglycoprotein (PGP/MDR/ABCB) efflux/influx transporters [35,36] and PIN-LIKES (PILS) family proteins [37] to drive polar auxin transport.

Significant progress has been made in the role of *PIN* genes, which are mainly derived from the dissection of *PIN* genes in the model plants *Arabidopsis thaliana* and rice [38–42]. The *Arabidopsis* genome possesses eight *PIN* genes, and the function of these *AtPIN* genes has been deeply investigated [38,43,44]. In contrast, the role of rice *OsPIN* genes is largely unknown. Twelve *OsPIN* genes have been identified in the rice genome [45,46], and several *OsPIN* genes have been functionally identified to play key roles in regulating rice growth and development by influencing polar auxin transport. *OsPIN1b*, which is also named *OsPIN1*, is mainly expressed in the vascular tissues and root primordial and functions in regulating the emergence of adventitious roots [47]. Our previous study showed that *OsPIN1b*, also designated as *OsPIN1a* [46], is associated with root negative phototropism [48]. Further investigation indicated that *OsPIN1a* and *OsPIN1b* redundantly regulate root and inflorescence development, while *OsPIN1c* and *OsPIN1d* are redundantly involved in modulating panicle formation [49]. *OsPIN2* regulates rice root system architecture and gravitropism by influencing auxin transport and distribution in roots, especially in columella cells [50–52]. *OsPIN5b* is constitutively expressed in vegetative and reproductive tissues and functions in modulating rice architecture and yield [53]. *OsPIN10a* (also designated as *OsPIN3t*) is mainly expressed in vascular tissue and functions in drought stress response and drought tolerance [54]. Recently, the monocot-specific *OsPIN9* gene has been indicated to function in regulating tiller bud outgrowth and affecting tiller number [55]. In addition, the expression profile of *PIN* genes, such as tissue-specific analysis and response to abiotic stress, in the other two monocot plants, sorghum (*Sorghum bicolor*) and maize (*Zea mays*), was deeply investigated [56–58]. Taken together, although excellent progress has been made in the functional dissection of *OsPIN* genes, which mainly focuses on rice growth and development, whether and how *OsPIN* genes are involved in regulating abiotic stresses, especially chilling stress, is still elusive.

The underlying mechanism of auxin in regulating cold tolerance is largely unknown, although auxin plays key roles in regulating plant growth and development. Increasing evidence has shown that cold stress is tightly related to auxin homeostasis. For example, an earlier study indicated that temperature could influence exogenous auxin transport velocity in some plant species [59]. Although the underlying mechanism of auxin in regulating cold tolerance is almost completely unknown, cold stress not only differentially regulates the expression of auxin-responsive genes but also markedly increases auxin content in rice [30,60]. Additionally, auxin transport could be suppressed and restored by low temperature and room temperature, respectively [61]. Inhibition of intracellular

trafficking of auxin efflux carriers substantially influences auxin homeostasis under cold conditions [29]. Further research demonstrated that GNOM, a SEC7 containing ARF-GEF, which is closely associated with endosomal trafficking of auxin efflux carriers, can positively regulate *Arabidopsis* cold tolerance [62]. Collectively, these results strongly suggest that auxin homeostasis and transport are implicated in regulating plant chilling tolerance. However, little is known about the underlying molecular mechanisms involved in the role of auxin homeostasis and transport in chilling stress.

Previous studies demonstrated that *OsPIN9* functions in polar auxin transport and is involved in regulating adventitious root number and tiller number [55]. Recently, Manna et al. (2022) [63] reported that salt and drought treatment significantly induced *OsPIN9* expression, indicating the potential role of *OsPIN9* in abiotic stress adaptation. In line with this, our previous study showed that mutation of *OsPIN9* by CRISPR/Cas9 technology confers enhanced chilling tolerance in rice [64]. However, whether and how *OsPIN9* overexpression regulates rice chilling tolerance is unknown. In addition, since off-target effects or newly formed mutation proteins derived from CRISPR/Cas9 technology have the potential to cover the true function of target genes, it is necessary to further verify gene function by upregulating its expression. Here, we functionally examined the role of *OsPIN9* in modulating chilling tolerance by overexpressing technology. Our results showed that overexpression of *OsPIN9* not only leads to the alteration of rice architecture but also impairs rice chilling tolerance. We provided strong evidence that *OsPIN9* plays a crucial role in modulating rice chilling tolerance and shed light on the relationship between polar auxin transport and chilling tolerance in rice.

## 2. Results

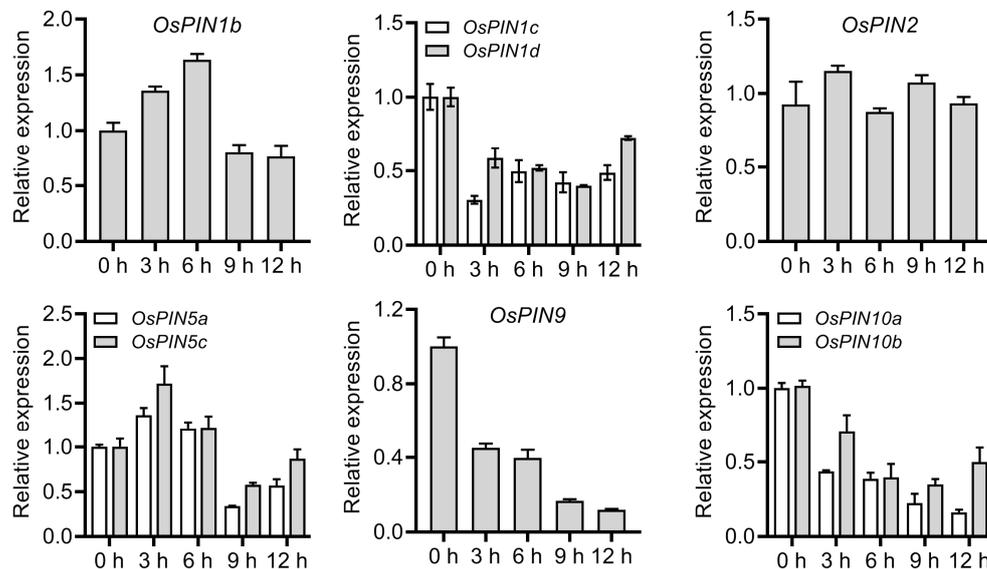
### 2.1. Expression Patterns of *OsPIN* Genes under Chilling Stress

Increasing evidence shows that plant chilling tolerance is tightly associated with polar auxin transport [29,59,61,62]. However, the mechanism by which *OsPIN* genes respond to chilling stress is still largely unknown. To this end, quantitative real-time polymerase chain reaction (qRT-PCR) was performed to evaluate the responses of *OsPIN* genes in rice roots upon chilling stress. Nine *OsPIN* genes (*OsPIN1b*, *OsPIN1c*, *OsPIN1d*, *OsPIN2*, *OsPIN5a*, *OsPIN5b*, *OsPIN9*, *OsPIN10a*, and *OsPIN10b*), which can be detected in rice roots [39,45,46], were selected to analyze the expression profiles under chilling stress. *OsPIN1b* displayed a different expression pattern under chilling treatment compared to *OsPIN1c* and *OsPIN1d*, albeit belonging to the same subfamily. In detail, *OsPIN1b* was induced to the highest expression after chilling for 6 h and then decreased sharply, while *OsPIN1c* and *OsPIN1d* were suppressed after chilling for 3 h and kept to a relatively constant level thereafter. *OsPIN2* remained at a constant level under chilling treatment. Similar to *OsPIN1b*, *OsPIN5a* and *OsPIN5c* also showed increased expression first and then decreased. Three monocot-specific *PIN* genes, *OsPIN9*, *OsPIN10a*, and *OsPIN10b*, were greatly inhibited after chilling treatment and gradually decreased with treatment duration (Figure 1). Intriguingly, the paralogous *OsPIN* genes, *OsPIN1c/1d*, *OsPIN5a/5c*, and *OsPIN10a/10b*, showed a similar expression profile under chilling stress, implying that they might play a synergistic role in chilling tolerance.

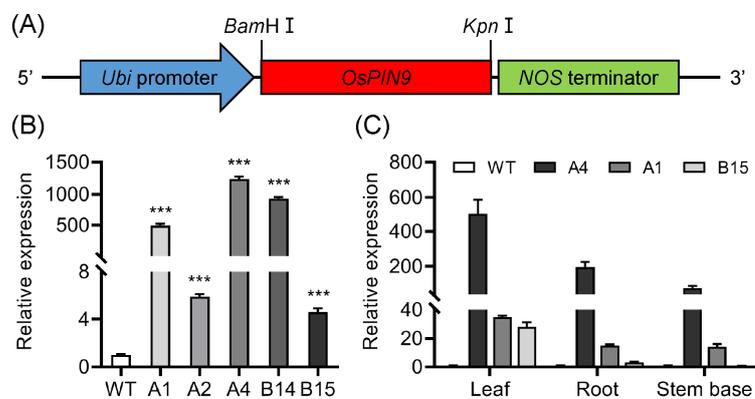
### 2.2. Generating *OsPIN9*-Overexpressing Transgenic Rice and Phenotypes of Transformants

The monocot-specific *OsPIN9*, which was dramatically suppressed under chilling treatment, was chosen for further study. To obtain insight into the function of *OsPIN9* in chilling tolerance, a plasmid carrying the *OsPIN9* ORF, driven by a strong constitutive *Ubiquitin* promoter (*pUbi*) (Figure 2A), was introduced into rice cultivar Nipponbare by *Agrobacterium*. The transgenic plants were confirmed by PCR using genomic DNA as a template with specific primers. qRT-PCR analysis showed that the *OsPIN9* transcript significantly increased by 3.6–1237 times in the five tested transgenic line roots compared with that in WT plants (Figure 2B). Among which, A4 and B14 represented the high expression levels, A1 represented the moderate expression level, and A2 and B15 represented the low

expression levels. Three lines, A4, A1, and B15, which represented high, moderate, and low expression levels of *OsPIN9*, were employed to further analyze the expression of *OsPIN9* in leaves, roots, and stem bases. The highest increase in expression was detected in leaves, followed by roots and stem bases (Figure 2C). Two T<sub>2</sub> homozygous transgenic lines, A4 and B14, with high expression levels of *OsPIN9*, designated as OE1 and OE2, respectively, were used for further investigation.

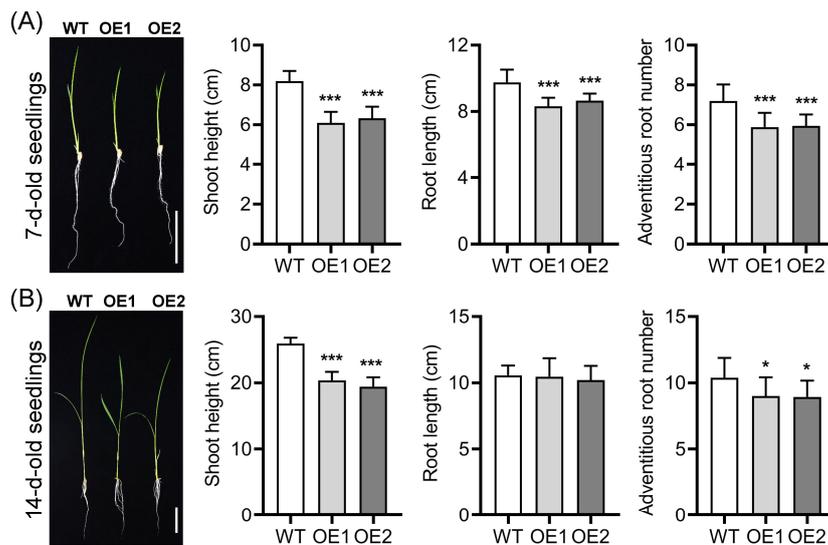


**Figure 1.** Expression profiles of *OsPIN* genes under chilling treatment. Values are means  $\pm$  standard deviation (SD) ( $n = 3$ ).



**Figure 2.** Generation of *OsPIN9*-overexpressing transgenic lines. (A) Schematic diagram of *pUbi:OsPIN9*. (B) Expression of *OsPIN9* in different transgenic lines. The expression level of *OsPIN9* in WT was set to one. The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*\*\*:  $p < 0.001$ . (C) Expression analysis of *OsPIN9* in diverse tissues of *OsPIN9* transgenic lines. Values are means  $\pm$  standard deviation (SD) ( $n = 3$ ).

Overexpression of *OsPIN9* significantly decreased shoot height, root length, and adventitious root number after germination for 7 d in comparison with those in WT plants (Figure 3A). In detail, compared with the WT plants, the shoot height, root length, and adventitious root number of the OE plants were significantly decreased by 23–26%, 11–15%, and 17–18%, respectively. After germination for 14 d, shoot height and adventitious root number of OE lines declined by 21–25% and 13–14%, respectively, compared to those in WT plants. However, the root length was similar to WT plants at this stage (Figure 3B), indicating that rice plants can dynamically adapt to the change in auxin transport to some extent.



**Figure 3.** Phenotypes of wild-type (WT) and *OsPIN9*-overexpressing lines (OE) in 7 day old seedlings (A) and 14 day old seedlings (B). Bar = 4 cm. Values are means  $\pm$  standard deviation (SD) ( $n = 24$ ). The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*:  $p < 0.05$ ; \*\*:  $p < 0.001$ .

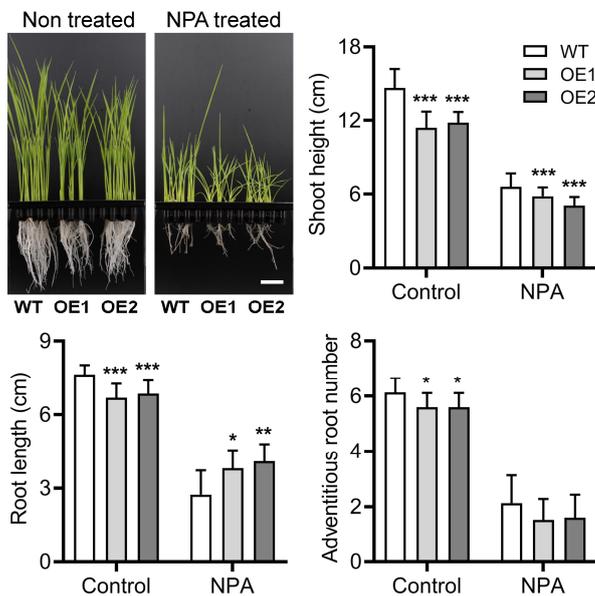
### 2.3. Overexpression of *OsPIN9* Disturbs Auxin Homeostasis in Rice

It was reported that overexpression of *OsPIN9* accelerated auxin transport from shoots to roots and resulted in an increase and decrease of IAA in roots and shoots, respectively [55]. *N*-1-naphthylphthalamic acid (NPA) is an inhibitor of polar auxin transport and can interact with PIN carriers directly [65]. To further assess the role of *OsPIN9* in auxin transport, germinated wild-type and transgenic seeds were cultured in Kimura B complete nutrient solution [39] supplemented with 0.5  $\mu\text{M}$  NPA. After 7 d treatment, NPA obviously inhibited plant growth; the shoot height of OE lines was significantly decreased compared to WT plants both under normal conditions and NPA treatment. Contrastingly, the root length of OE lines was shorter than that of WT under normal conditions, while it increased significantly more than that of WT plants under NPA treatment. In detail, the root length of OE lines was significantly decreased by 10–12% when compared with WT under normal conditions, whereas it was about 39% and 49% longer compared with control plants after NPA treatment, indicating the roots of OE lines were more resistant to NPA than those of WT plants (Figure 4). Overexpression of *OsPIN9* significantly lowered the adventitious root number under control conditions, while NPA treatment severely decreased the adventitious root number and completely abolished the difference in adventitious root number between WT and OE lines. In addition, the ability to grow upright in some OE plant shoots was obviously inhibited and showed a partially impaired negative gravitropism compared with that in WT plants, indicating that the shoots of OE lines are probably more sensitive to NPA than those of WT plants (Figure 4). Collectively, these results demonstrate that auxin homeostasis is disturbed in OE lines.

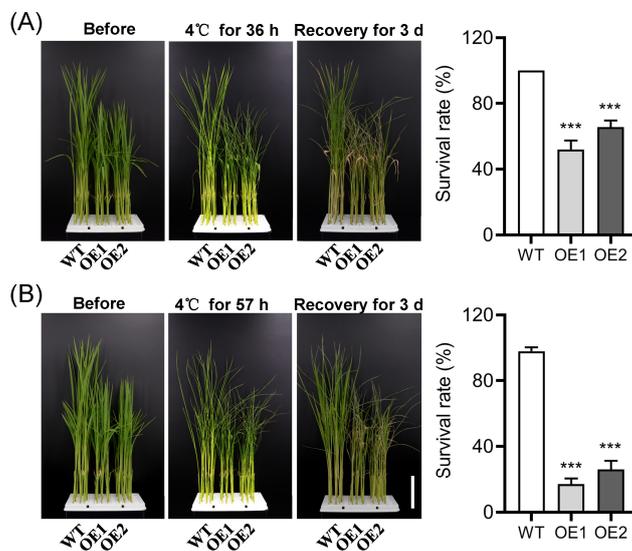
### 2.4. Overexpression of *OsPIN9* Impairs Chilling Tolerance in Rice

Due to the fact that polar auxin transport is closely related to chilling stress [66], and PIN carriers perform a rate-limiting role in regulating auxin efflux [34], as well as the quick suppression of *OsPIN9* under chilling stress (Figure 1), the role of *OsPIN9* in chilling tolerance was evaluated in WT and OE plants. Fourteen day old rice seedlings were moved from 28  $^{\circ}\text{C}$  to 4  $^{\circ}\text{C}$ . After chilling for 36 h, most WT leaves remained normal, but almost all leaves of OE plants displayed rolling and wilting (Figure 5A). The survival rate of OE plants was significantly lower than that of WT plants after 3 d of recovery at 28  $^{\circ}\text{C}$  (Figure 5A). Consistently, after 57 h of chilling treatment followed by 3 d of recovery, the survival rate of WT was dramatically higher than that of OE plants (Figure 5B). In detail,

WT plants showed a 98% survival rate, while the survival rates of OE1 and OE2 were only about 17% and 26%, respectively. These results suggest that the expression of *OsPIN9* is involved in negatively modulating rice chilling tolerance.



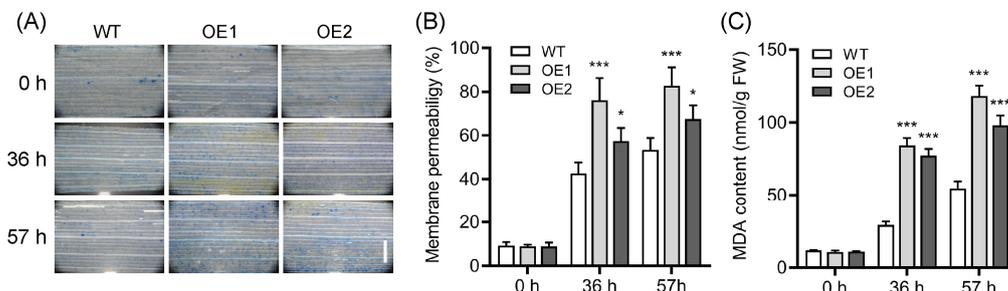
**Figure 4.** Sensitivity analysis of wild-type (WT) and *OsPIN9*-overexpressing (OE) plants under NPA treatment. Bar = 2 cm. Values are means  $\pm$  standard deviation (SD) ( $n = 24$ ). The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$ .



**Figure 5.** Chilling tolerance analysis of WT and *OsPIN9*-overexpressing (OE) rice. Wild-type (WT) and OE plants were treated with 4 °C for 36 h (A) and 57 h (B), followed by another 3 days of recovery under normal conditions, and then the survival rate was analyzed statistically. Bar = 5 cm. Values are means  $\pm$  standard deviation (SD) ( $n = 24$ ). The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*\*\*:  $p < 0.001$ .

We then detected several physiological indicators, including cell death, electrolyte leakage, and malondialdehyde (MDA) contents, to evaluate the influence of overexpression of *OsPIN9* on rice chilling tolerance. Trypan blue staining, which is an indicator of cell death, was performed to monitor the cell damage. There was no apparent difference in trypan blue staining in leaves between WT and OE plants under normal growth conditions, while staining was more intensive in the leaves of OE plants compared to that of WT plants

after chilling for 36 h and 57 h (Figure 6A), indicating that the cell death in OE plants is greater than that in WT plants. In line with this, another two indicators, electrolyte leakage and MDA contents, were both significantly increased in OE plants compared to those in WT plants after chilling for 36 h and 57 h (Figure 6B,C). Taken together, these results strongly suggest that overexpression of *OsPIN9* substantially impairs rice chilling tolerance.



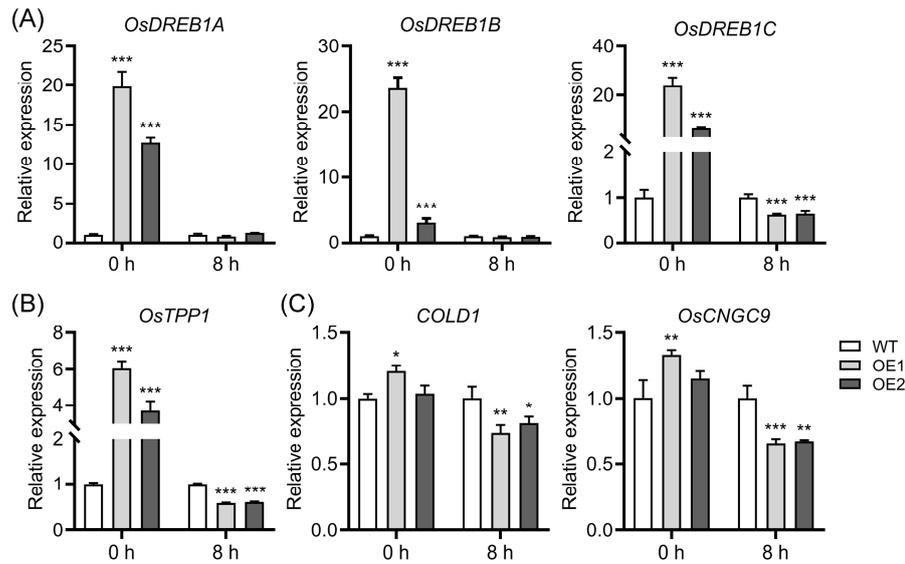
**Figure 6.** Overexpression of *OsPIN9* causes cell damage in rice seedling leaves. **(A)** Trypan blue staining. Bar = 1 mm. **(B)** Electrolyte leakage. **(C)** Malondialdehyde contents. Values are means  $\pm$  standard deviation (SD) ( $n = 6$ ). The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*:  $p < 0.05$ ; \*\*\*:  $p < 0.001$ .

### 2.5. The Role of *OsDREB1* Regulon and $Ca^{2+}$ Signaling-Related Genes in Chilling Stress

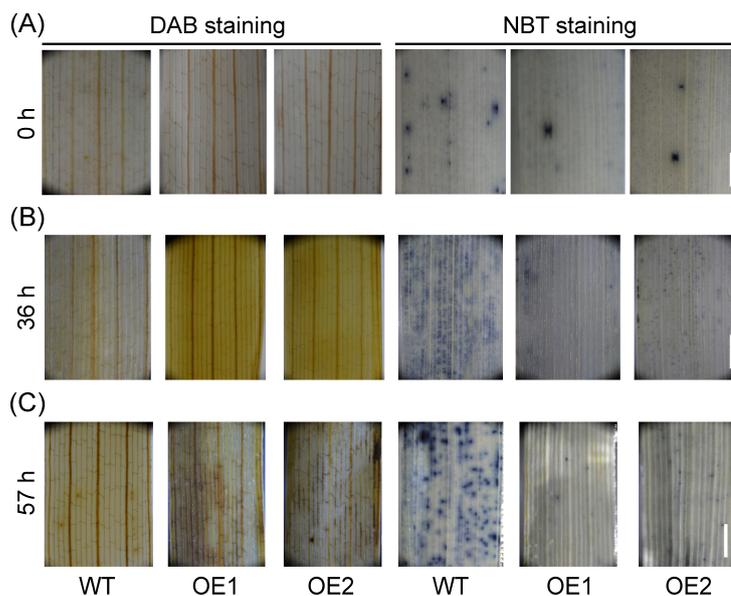
The expression of *OsDREB1* genes could be dramatically induced by low temperatures [19,67], and more and more evidence has demonstrated that *OsDREB1* genes play a vital role in chilling stress [14,19,67]. We then detected the expression levels of *OsDREB1A*, *OsDREB1B*, and *OsDREB1C* in WT and OE plants upon chilling stress. Under normal conditions, these *OsDREB1* genes were significantly more induced in OE plants than in WT plants (Figure 7A). However, after chilling for 8 h, the expression levels of these genes in OE plants were similar to or even lower than those in WT plants (Figure 7A). Similarly, trehalose-6-phosphate phosphatase 1 (*OsTPP1*), which has been demonstrated to play a vital role in rice cold tolerance [9,68], displayed a similar expression profile with *OsDREB1* genes in WT and OE plants under both normal and chilling conditions (Figure 7B). Additionally, increasing evidence shows that  $Ca^{2+}$  signaling is involved in chilling tolerance regulation [9,69], and *COLD1* and *OsCNGC9* have been demonstrated to facilitate cytoplasmic  $Ca^{2+}$  elevation and positively regulate rice cold tolerance [7,14]. To evaluate the role of these  $Ca^{2+}$  signaling-related genes in OE lines under chilling stress, we further examined the expression of these two genes under normal and chilling conditions. The results showed that both *COLD1* and *OsCNGC9* in OE lines were slightly induced compared to those in WT plants under normal conditions, while strikingly suppressed under chilling stress (Figure 7C). Collectively, these data suggest that the defense response might be triggered in advance under normal conditions, and the *DREB1* regulon and  $Ca^{2+}$  signaling are likely to be implicated in regulating the chilling tolerance of OE lines.

### 2.6. *OsPIN9*-Overexpressing Plants Accumulated More $H_2O_2$ Rather Than $O_2^-$ under Chilling Stress

In addition to the well-known CBF/DREB regulon, reactive oxygen species (ROS) also play a crucial role in chilling stress [21]. To further investigate the underlying mechanism of *OsPIN9* under chilling stress, ROS content assays were performed by diaminobenzidine tetrahydrochloride (DAB 4HCl) staining for  $H_2O_2$  and NBT staining for  $O_2^-$  under normal and chilling conditions. The result showed that OE plants accumulated a similar level of  $H_2O_2$  and  $O_2^-$  compared to WT plants before chilling treatment (Figure 8A). After chilling for 36 h, the leaves of OE plants accumulated more  $H_2O_2$  and less  $O_2^-$  compared to WT plants (Figure 8B). Consistently, more  $H_2O_2$  and less  $O_2^-$  were still detected in OE leaves compared to WT plants after chilling for 57 h (Figure 8C). These results indicate that OE lines accumulate more  $H_2O_2$  than  $O_2^-$  when compared with WT plants, which probably causes cell damage and impairs rice chilling tolerance.



**Figure 7.** Expression analysis of *OsDREB1* genes, *OsTPP1*, and  $\text{Ca}^{2+}$  signaling genes under normal and chilling conditions in wild-type (WT) and *OsPIN9*-overexpressing (OE) plants. (A) *OsDREB1s* gene expression analysis. (B) *OsTPP1* expression analysis. (C)  $\text{Ca}^{2+}$  signaling gene expression analysis. Values are means  $\pm$  standard deviation (SD) ( $n = 3$ ). The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$ .

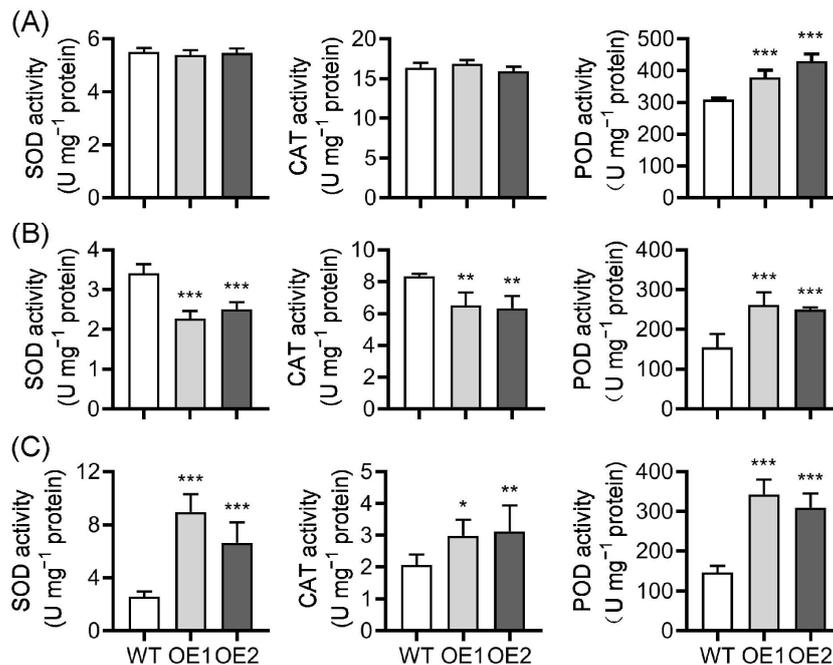


**Figure 8.** ROS detection in wild-type (WT) and *OsPIN9*-overexpressing (OE) leaves before and after chilling treatments.  $\text{H}_2\text{O}_2$  and  $\text{O}_2^-$  in WT and OE leaves were monitored by DAB and NBT staining, respectively, before chilling stress (A) and after chilling for 36 h (B) and 57 h (C). Bar = 1 mm.

### 2.7. The Delayed Induction of Antioxidant Enzymes Probably Leads to the Accumulation of $\text{H}_2\text{O}_2$ in OE Lines

ROS are vital signaling molecules that play a crucial role in biotic and abiotic stress responses, while their content should be carefully controlled in plant cells via an array of enzymatic and non-enzymatic antioxidants [23]. Given that ROS homeostasis is disturbed in OE lines, we then analyzed the activities of three antioxidant enzymes, including superoxide dismutase (SOD), catalase (CAT), and peroxidase (POD), which play a key role in ROS scavenging [10,23], before chilling treatment and after chilling for 36 h and 72 h. There were no significant differences in SOD and CAT activities in WT and OE plants, while POD activity was significantly increased in OE lines compared to WT plants under normal

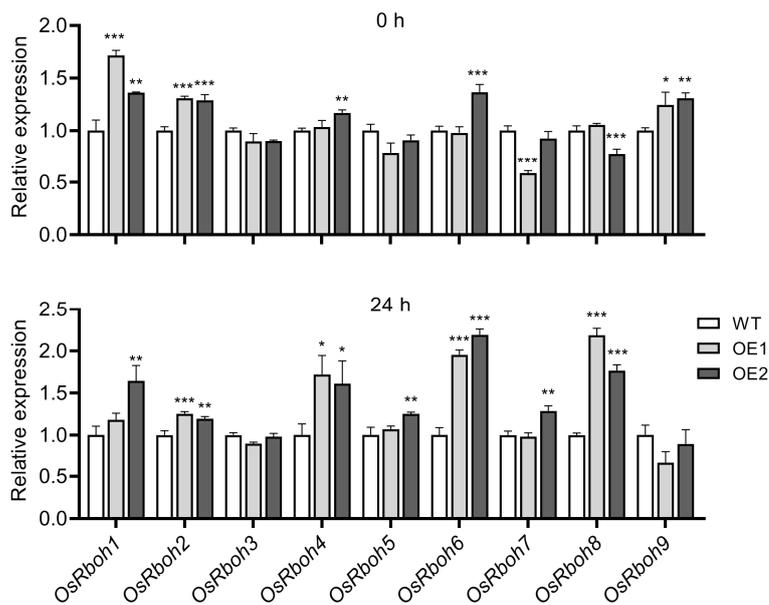
conditions (Figure 9A). After chilling for 36 h, the activities of CAT and SOD decreased significantly in OE plants compared to those in WT plants. To our surprise, the POD activity was still strikingly higher in OE lines than in WT plants (Figure 9B). After chilling for 57 h, the activities of the three enzymes in OE lines all increased greatly compared to those in WT plants (Figure 9C). These results imply that it is likely that the decreased activities of SOD and CAT rather than increased POD activity led to the accumulation of H<sub>2</sub>O<sub>2</sub> in OE lines at the early chilling stage, and the delayed induction of SOD and CAT is ineffective to quench excess ROS at the late chilling stage and causes cell damage in OE lines. However, considering that SOD usually functions in the conversion of O<sub>2</sub><sup>-</sup> to H<sub>2</sub>O<sub>2</sub>, the source of the accumulated H<sub>2</sub>O<sub>2</sub> during chilling stress needs further investigation.



**Figure 9.** Analysis of antioxidant enzyme activities in wild-type (WT) and *OsPIN9*-overexpressing (OE) plants before and after chilling treatments. Enzyme activities were detected before chilling stress (A) and after chilling for 36 h (B) and 57 h (C) in WT and OE lines. Values are means  $\pm$  standard deviation (SD) ( $n = 6$ ). The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$ .

### 2.8. *OsRboh* Genes Show Differential Expression in *OsPIN9*-Overexpressing Lines

Previous reports showed that respiratory burst oxidase homologs (*Rboh*), also known as NADPH oxidase, play critical roles in various cellular activities and responses to abiotic stresses by regulating the production of ROS [70–72]. For the higher production of H<sub>2</sub>O<sub>2</sub> detected in OE lines, we assayed the expression of *OsRboh* genes before and after chilling stress treatment to evaluate the source of H<sub>2</sub>O<sub>2</sub> in OE lines. As shown in Figure 10, under normal conditions, most *OsRboh* genes in transgenic lines kept similar expression levels compared to those in WT plants, except for *OsRboh1*, *OsRboh2*, and *OsRboh9*, which showed a slight increase in OE lines. After chilling for 24 h, more *OsRboh* genes were induced, including *OsRboh2*, *OsRboh4*, *OsRboh6*, and *OsRboh8*, and showed a relative higher expression level in OE lines, which may contribute to the production of H<sub>2</sub>O<sub>2</sub> in transgenic lines under chilling conditions.



**Figure 10.** *OsRboh* expression analysis in wild-type (WT) and *OsPIN9*-overexpressing (OE) lines before and after chilling treatment. The expression level of *OsRboh* genes in WT was set to one. The data were analyzed by ANOVA and Tukey's tests at a  $p < 0.05$  significance level. \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$ .

### 3. Discussion

Although excellent progress has been made in the molecular mechanisms of plant cold adaptation [8–10], auxin, the first phytohormone discovered and one of the most important phytohormones in plant growth and developmental regulation, its role in modulating chilling adaptation is still elusive. In this present study, the role of a monocot-specific *OsPIN9* gene, which mainly functions in regulating auxin transport basipetally, in chilling stress was investigated by overexpression technology.

Auxin plays a vital role in regulating almost all cellular processes, and in many cases, an appropriate auxin level and distribution within plant tissues are direct determinators affecting plant architecture [73]. *PIN* genes have been demonstrated to play a central role in auxin efflux and, consequently, influence auxin content and distribution in various tissues. Expression levels of *PIN* genes are usually closely associated with plant architecture [74,75]. The most representative is *AtPIN1*, and disruption of *AtPIN1* seriously influences inflorescence development and leads to the formation of needle-like inflorescence in *Arabidopsis* [76]. The rice genome possesses 12 *OsPIN* genes [45,46], and although the possibility of redundant functions of *OsPIN* genes exists [49], mutation of most *OsPIN* genes substantially influences rice architecture [47,49,53–55,77]. The previous report demonstrated that the *OsPIN9* carrier functions in auxin transport basipetally, overexpression of *OsPIN9* substantially increases auxin content in rice roots [55], so we speculate that the auxin content in OE plant roots should be increased compared to that in WT plants. In line with this, the OE plant roots displayed a more resistant phenotype under NPA treatment (Figure 4), implying an increased auxin content in the OE roots. It was reported that overexpression of *OsPIN9* increased the adventitious root number [55]. Unexpectedly, we observed a lower number of adventitious roots in these two OE lines, which is different from the previous report [55]. Considering that adventitious root number is tightly associated with auxin content [55], and auxin regulates plant growth and development usually in a concentration-dependent manner, relative high auxin content accelerates plant growth, while excess auxin content strongly inhibits plant growth. Therefore, we speculate that the dramatic expression of *OsPIN9* probably leads to excess accumulation of auxin in OE roots, which suppresses adventitious root number (Figure 3). In agreement with this,

the relative lower expression of *OsPIN9* (A2 line) significantly increased adventitious root number when compared to WT plants (Figure S1).

Rice is sensitive to chilling stress [7,78–80], and severe chilling disasters hamper rice growth and strikingly impair rice productivity [10]. Previous reports have suggested that chilling stress is implicated in auxin transport in plants [29,30,61,62]. However, there is no direct genetic evidence regarding the role of auxin transport in the regulation of chilling stress. In this study, we provided direct evidence that *OsPIN9*, which functions in auxin transport basipetally and could be quickly suppressed by chilling stress (Figure 1), is involved in rice chilling tolerance. The chilling treatment assay showed that OE lines are more sensitive to low temperatures than WT plants (Figure 5); further experiments confirmed that OE lines possess more dead cells (Figure 6A), higher membrane permeability (Figure 6B), and increased MDA content (Figure 6C) compared to WT plants after chilling treatment. Increased MDA content usually acts as a marker of lipid peroxidation [81], especially under various abiotic stresses [82,83], including chilling stress. Apart from rice, MDA levels were also employed to evaluate chilling tolerance in other plants, such as bermudagrass (*Cynodon dactylon*) [84] and *Pyrus betulaefolia* [85]. Collectively, these results strongly demonstrate that *OsPIN9* is involved in regulating rice chilling tolerance, which is probably mediated by influencing auxin transport. Over the last decade, significant progress has been made in the dissection of the underlying molecular mechanisms of plant chilling adaptation. At least the CBF/DREB-dependent cold-signaling pathway, the  $\text{Ca}^{2+}$  signaling pathway, the ROS-dominated adaptation mechanism, and the phytohormone regulation mechanism [1,9,86] have been demonstrated to regulate plant cold adaptation. Several lines of evidence have strongly suggested that overexpression of *OsPIN9* notably impairs rice chilling tolerance (Figures 5 and 6), while how *OsPIN9* influences plant chilling tolerance is completely unknown. To this end, we first examined whether the impaired chilling tolerance of OE lines is associated with the CBF/DREB-dependent cold signaling pathway and the  $\text{Ca}^{2+}$  signaling pathway by employing qRT-PCR technology. The expression of *OsDREB1A*, *OsDREB1B*, and *OsDREB1C* was detected before and after chilling stress due to the sharp induction of these genes under chilling conditions [19,67]. Surprisingly, the *OsDREB1* genes, *OsTPP1*, *COLD1*, and *OsCNGC9* were all induced before treatment and kept at similar or even lower levels in OE lines compared to those in WT plants after chilling treatment (Figure 7). Considering that *OsDREB1* genes are mainly induced by low temperatures [19], and higher expression of *OsTPP1*, *COLD1*, and *OsCNGC9* also facilitates plant cold adaptation [7,14,68], we speculate that a stress response probably occurred in OE lines before chilling treatment. In contrast, all genes show a similar or lower expression level in OE lines compared to WT plants under chilling conditions, suggesting that the DREB regulon and  $\text{Ca}^{2+}$  signaling might be implicated in the impaired chilling tolerance of OE lines. Further investigations are needed to address this speculation.

Next, we assayed ROS levels to clarify the role of ROS homeostasis in WT and OE lines under chilling stress. Chilling stress triggers ROS accumulation [21,87]. Low-level  $\text{H}_2\text{O}_2$  can act as a signal to trigger the stress response at the early stress stage, whereas at the later stress stage, high-level  $\text{H}_2\text{O}_2$  can damage plant cells [10,87]. Surprisingly, we only observed the accumulation of  $\text{H}_2\text{O}_2$  in OE leaves at both early and late chilling stages, while  $\text{O}_2^-$  was not accumulated during the chilling stages (Figure 8), indicating that ROS homeostasis is disturbed in OE plant cells and that  $\text{H}_2\text{O}_2$  rather than  $\text{O}_2^-$  causes cell damage in OE lines. It has been demonstrated that ROS homeostasis plays a vital role in plant abiotic stress adaptations [88,89], and ROS content must be carefully controlled by many ROS scavenging and detoxification systems during stress conditions [10]. Antioxidant enzyme activity measurement showed that SOD and CAT activities were significantly decreased and increased in OE lines compared to those in WT plants at the early and late chilling stages, respectively, while POD activity was always strikingly higher than that in WT plants (Figure 9B,C), even before chilling treatment (Figure 9A), indicating that the prompt trigger of antioxidant enzymes, mainly SOD and CAT in OE lines, plays a vital role in quenching accumulated ROS at the early chilling stage. Additionally, although antioxidant enzymes

showed significantly higher activities in OE lines than in WT plants at the late chilling stage (Figure 9C), which could not detoxify excess H<sub>2</sub>O<sub>2</sub> quickly (Figure 8C), indicating that the delayed induction of the antioxidant enzymes is not enough to scavenge ROS at the late chilling stage, and the constantly high levels of H<sub>2</sub>O<sub>2</sub> then damage cells.

To further evaluate the source of H<sub>2</sub>O<sub>2</sub> in OE lines under chilling conditions, we assayed the expression of *OsRboh* genes before and after chilling stress. Since only a slight increase of *OsRboh1*, *OsRboh2*, and *OsRboh9* was detected in OE lines before chilling stress, it is reasonable that less ROS accumulation was observed under normal conditions. In contrast, more *OsRboh* genes were induced after chilling treatment, indicating that *OsRboh* is at least in part involved in ROS production after chilling stress. We also noticed that these induced *OsRboh* genes were still kept at low expression levels (up to about twofold) even after chilling treatment (Figure 10). Although evidence has shown that *Rboh* plays a vital role in ROS production under various abiotic stress conditions [70,71], we cannot rule out the possibility that other ROS-production sources probably exist that contribute to the ROS burst after chilling stress in OE lines.

#### 4. Materials and Methods

##### 4.1. Plant Materials, Growth Conditions, and Chilling Treatment

Rice *japonica* variety Nipponbare was employed for the physiological experiments and rice transformation. Hydroponic experiments were performed according to our previous report [90]. Briefly, rice seeds were sterilized first and then cultured in darkness for 3–4 days at 30 °C. The germinated seeds were transferred to Kimura B complete nutrient solution in plant growth chambers with a 12 h of light (30 °C)/12 h of darkness (25 °C) photoperiod and 60–70% relative humidity.

To assay the responses of *OsPIN* genes to chilling treatment, 14 day old seedlings were transferred to low temperatures (4 °C), and root samples were collected at the indicated time points. The samples were then frozen quickly using liquid nitrogen and preserved at –80 °C for gene expression analysis.

To evaluate rice chilling tolerance, 14 day old WT and OE seedlings were transferred to 4 °C for 36 h and 57 h, followed by a 3 d recovery, and then the survival rate and physiological indicators were analyzed.

##### 4.2. Vector Construction and Generation of the Transgenic Plants

The full-length *OsPIN9* gene was amplified by PrimeSTAR HS DNA Polymerase (TaKaRa Biotechnology Co., Ltd., Dalian, China) from rice cDNA using the specific primers listed in Table S1. A plant expression vector, pCAMBIA1301-pUbi, which was kindly provided by Dr. Yao-Guang Liu (College of Life Sciences, South China Agricultural University, Guangzhou, China), was used for overexpressing *OsPIN9*. To insert into the expression vector pCAMBIA1301-pUbi, the restriction sites *Bam*H I and *Kpn* I were added at the 5' end of forward and reverse primers, respectively. The recombinant construct was named *pUbi:OsPIN9* and confirmed by restriction enzyme digestion and sequencing. The constructed plasmid was then transformed into *Agrobacterium tumefaciens* (EHA105) and employed for rice transformation according to the previous report [91]. Homozygous T<sub>3</sub>-generation transgenic plants were used for further studies.

##### 4.3. Quantitative RT-PCR

qRT-PCR was performed according to a previous report [39]. In short, total RNA was extracted from the collected samples using RNAiso Plus (TAKARA Bio Inc., Dalian, China), and reverse transcription was conducted using HiScript III RT SuperMix for qPCR (Nanjing Vazyme Biotech Company, Ltd., Nanjing, China). The online website INTEGRATED DNA TECHNOLOGIES (<https://sg.idtdna.com>, accessed on 1 May 2019) was used to design the gene-specific primers. qRT-PCR was conducted using AceQ Universal SYBR qPCR Master Mix (Nanjing Vazyme Biotech Company, Ltd.) and the Lightcycle<sup>®</sup> 96 system. At least three biological replicates and three technical repetitions were performed to assay the gene

expression. The *OsACTIN1* gene (Os03g0718100) was employed as an internal control. All primers used in this study are listed in Table S1, and gene names and ID numbers used for qRT-PCR in this study are listed in Table S2.

#### 4.4. Exogenous NPA Treatment

NPA is usually employed as a classical inhibitor of polar auxin transport to elucidate the underlying mechanisms of plant growth and development associated with polar auxin transport [65]. For analyzing the responses of WT and OE lines to NPA treatment, germinated seeds were transferred into Kimura B complete nutrient solution containing 0.5  $\mu$ M NPA and cultured for 7 d, and then the shoot height, root length, and adventitious root number were assessed. WT and OE lines cultured under normal conditions were used as controls in this experiment.

#### 4.5. Physiological Analysis

Trypan blue staining was conducted as described previously [92] with minor modifications. Rice leaves were sampled before and after chilling treatment and stained with a lactophenol-trypan blue solution (10 mL lactic acid, 10 mL glycerol, 10 g phenol, and 10 mg trypan blue, mixed in 10 mL distilled water). The leaves were boiled in a water bath for 10 min in trypan blue solution and then kept at room temperature for 1 h. Decolorization was performed using chloral hydrate solution (25 g chloral hydrate dissolved in 10 mL distilled water). Electrolyte conductivity and MDA content were detected according to the previous report [93]. 3',3'-diaminobenzidine (DAB) and nitro blue tetrazolium (NBT) staining were performed as previously reported [21]. Briefly, rice leaves were first cut into sections (about 2 cm in length) and then soaked in DAB and NBT solutions, respectively, overnight at 37 °C. Alcohol (95%) was used for decolorization. CAT, POD, and SOD activities were assayed according to the previous methods [94] with minor modifications. In short, 0.1 g of rice leaves were broken with liquid nitrogen and then homogenized in 1 mL of extraction buffer (50 mM phosphate, pH 7.8). After centrifugation, the supernatant was used for enzyme activity assays. CAT activity was measured in a reaction solution consisting of 50 mM phosphate buffer (pH 7.0), 0.2% H<sub>2</sub>O<sub>2</sub>, and enzyme extract. POD activity was measured in a reaction mixture (0.2% H<sub>2</sub>O<sub>2</sub>, 0.2% guaiacol, and enzyme extract) at 470 nm. SOD activity was assayed in a reaction mixture containing 50 mM phosphate, pH 7.8, 130 mM L-methionine, 750  $\mu$ M NBT, 100  $\mu$ M EDTA, 20  $\mu$ M riboflavin, and enzyme extract at 560 nm. The Coomassie Brilliant Blue G-250 staining method was employed to measure protein content [95].

#### 4.6. Data Analysis

Each experiment was biologically replicated at least three times. The one-way analysis of variance (ANOVA) method was used to statistically analyze experimental data by GraphPad PRISM 8 version 8.0.2 (GraphPad Software Inc., San Diego, CA, USA) at the significance levels of  $p < 0.05$  (\*),  $p < 0.01$  (\*\*), and  $p < 0.001$  (\*\*\*), and all data are given as means  $\pm$  SD.

## 5. Conclusions

In conclusion, in this report, we systematically monitored the responses of *OsPIN* genes to chilling treatment and generated transgenic rice plants with high expression levels of *OsPIN9*. The transgenic plants showed impaired chilling tolerance compared to WT plants, which is probably mainly caused by the sharp accumulation of H<sub>2</sub>O<sub>2</sub> and delayed induction of SOD and CAT. *OsRboh* genes, at least in part, contribute to the production of ROS in OE lines under chilling stress conditions. The DREB regulon and Ca<sup>2+</sup> signaling pathway are implicated in the chilling tolerance of OE lines, while the detailed functional mechanisms need further investigation. Several issues need further discussion, for example, how the relationship between auxin homeostasis and ROS homeostasis is derived and where the accumulated H<sub>2</sub>O<sub>2</sub> is derived from? What is the role of O<sub>2</sub><sup>-</sup> and POD in OE

lines under chilling stress? Further investigation focused on these detailed issues may lead to insight into the underlying molecular mechanisms of rice chilling tolerance and may provide potential targets for breeding chilling-resistant crops.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12152809/s1>, Figure S1: Adventitious root number analysis in the transgenic A2 line; Table S1: Primers used in this study; Table S2: Gene names and ID numbers used for qRT-PCR in this study.

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Review

# The Potential of CRISPR/Cas Technology to Enhance Crop Performance on Adverse Soil Conditions

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**Abstract:** Worldwide food security is under threat in the actual scenery of global climate change because the major staple food crops are not adapted to hostile climatic and soil conditions. Significant efforts have been performed to maintain the actual yield of crops, using traditional breeding and innovative molecular techniques to assist them. However, additional strategies are necessary to achieve the future food demand. Clustered regularly interspaced short palindromic repeat/CRISPR-associated protein (CRISPR/Cas) technology, as well as its variants, have emerged as alternatives to transgenic plant breeding. This novelty has helped to accelerate the necessary modifications in major crops to confront the impact of abiotic stress on agriculture systems. This review summarizes the current advances in CRISPR/Cas applications in crops to deal with the main hostile soil conditions, such as drought, flooding and waterlogging, salinity, heavy metals, and nutrient deficiencies. In addition, the potential of extremophytes as a reservoir of new molecular mechanisms for abiotic stress tolerance, as well as their orthologue identification and edition in crops, is shown. Moreover, the future challenges and prospects related to CRISPR/Cas technology issues, legal regulations, and customer acceptance will be discussed.

**Keywords:** genetic engineering; extremophytes; food security; abiotic stress

## 1. Introduction

Humans have depended on plants throughout their existence. Since the beginning of agriculture and the domestication of plants, agronomic management and traditional breeding have provided humanity with the modern varieties that feed the world today [1]. In contrast to the primary evolution of land plants, which occurred under unfavorable conditions (e.g., drought, fluctuating light, and temperature) [2], domestication occurred under relatively stress-free, managed conditions [3,4]. Later, during the green revolution of the mid-twentieth century, agricultural breeding radically modified plant architecture to achieve high yields [5]. As a result, the current world situation is that crop plants are much more used as food and feed than wild species [6].

Unfortunately, improvement of yield-related traits can compromise resource allocation to other traits, impairing biotic and abiotic stress tolerance [4]. This trade-off between traits hinders the capacity of crop species to mitigate the effect of changing environmental conditions [7]. Therefore, crop domestication increased the likelihood of these species being

more sensitive to stresses than their wild relatives [8,9]. Within this framework, some crops can only achieve high yields with management-intensive modern agricultural practices [10].

Indeed, crop production is already being affected across several regions worldwide due to climate change. The rising frequency of extreme climate events threatens further damage to food and feed production [11]. Many species are and will be affected by combinations of elevated atmospheric CO<sub>2</sub> concentration, increased temperatures, and changing seasonal rainfall patterns [12]. Between 2013 and 2016, for example, all Caribbean islands experienced an extensive drought that pushed more than two million people into food insecurity [13], and over 50% of the crops were lost in some of these regions [14]. Additionally, drought cost the United States of America (USA) USD \$250 billion in damages, one of the costliest natural disasters [15]. Aside from natural causes, agricultural practices, such as artificial fertilization, burning agricultural residues, trading, long-distance transportation, and pesticides, are responsible for significant carbon and methane emissions and environmental pollution [16]. These factors, combined, are believed to have accelerated climate change, and there is an urgent need to adopt practices to reduce the future impacts of extreme climate events [17].

Currently, abiotic stresses, such as drought, salinity, and flooding, already limit food production severely, resulting in yearly global losses of over USD \$100 billion to the agricultural sector [18,19]. Coupled with the abovementioned stresses, heavy metal accumulation and nutrient deficiencies promote hostile soils for food, feed, and fuel production [18,20]. While healthy soils are pivotal to sustainable crop yield, one-third of global soils face progressive degradation [21,22]. Therefore, effective adaptation strategies are needed to mitigate the negative impacts of these soils on crop production. As such, technology-based approaches are a faster alternative to traditional techniques and management strategies [23].

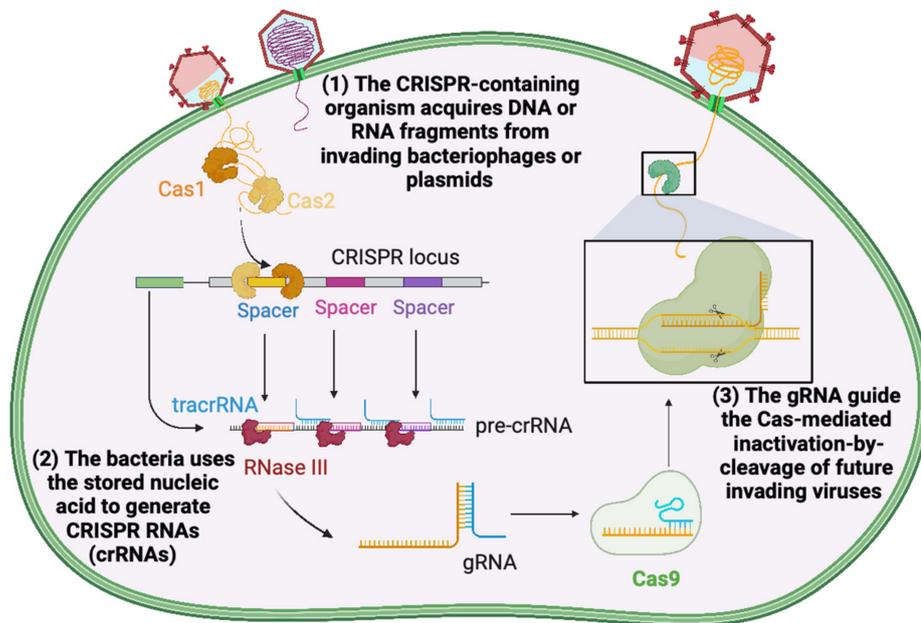
Current and developing technologies that might aid in creating or enhancing stress resilience in crops include molecular-assisted plant breeding [24], genetic manipulation of traits by transgenesis or gene editing [25,26], plant-microbe engineering [27,28], de novo domestication of wild species [29], and artificial apomixis [30]. In most of these approaches, natural genetic variability and gene orthology are sources of targets for genetic manipulation to enhance crops. Natural variation in the gene, in its *cis*-regulatory elements, protein-coding sequence, transcription start and termination sites, and splice sites, can explain intra-species variability regarding stress tolerance, for example [31]. In addition, since the genome-wide functional characterization is unavailable for any single species, researchers use the orthology-function conjecture, wherein orthologous genes might perform similar functions in different species [32]. In both cases, genetic information from intra- or interspecies variation guides the strategies to engineer desirable traits. In this context, an under-utilized genetic resource resides in crop wild relatives and extremophytes that can be naturally tolerant to extreme conditions [33]. A broader knowledge of extremophytes' genetics could provide even more information to the plant biotechnology toolbox.

Given this background, this review will focus on recent advances in the applications of gene editing by Clustered Regularly Interspaced Short Palindromic Repeat (CRISPR)/CRISPR-associated protein (Cas) systems in crops to cope with hostile soil conditions, such as drought, flooding, salinity, accumulation of heavy metals or toxic elements, and nutrient deficiency. Since CRISPR/Cas technologies enable the targeted and accurate genetic modification of crops without the incorporation of foreign DNA, they increase the speed of crop improvement [34] and are gaining popularity instead of classic transgenesis [35]. The use of extremophytes as reservoirs of natural variants and orthologue targets for CRISPR/Cas applications, as well as future challenges and prospects of this technology, are also discussed.

## 2. A Broad Overview of CRISPR/Cas Technologies in Plants

Precise genome editing techniques and applications have radically changed after the development of CRISPR/Cas technologies. The CRISPR defense systems were first noticed in bacterial genomes in 1987 [36], as part of the natural adaptive immunity in bacteria and

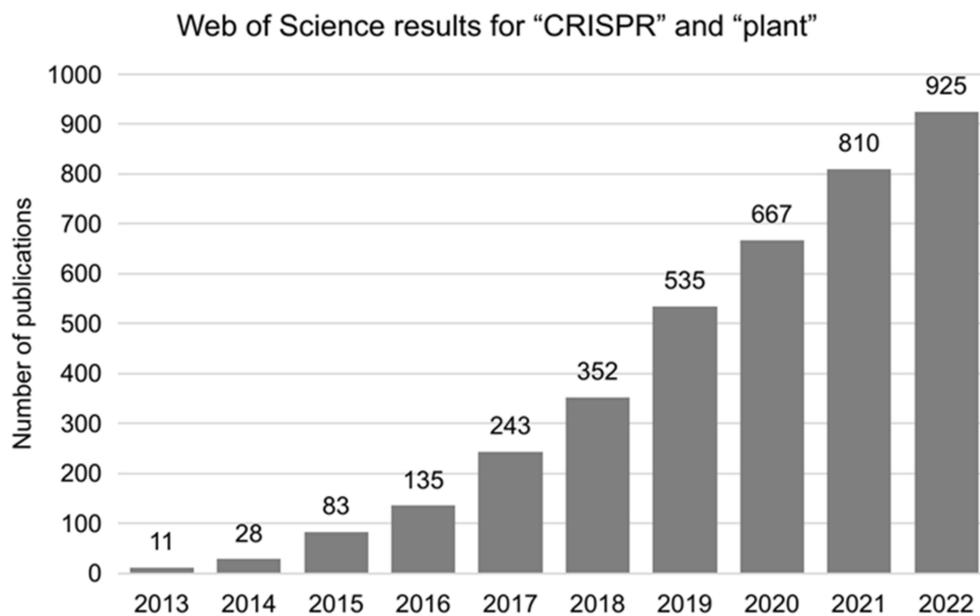
Archaea [37,38]. In general, CRISPR/Cas-mediated immunity occurs in three steps: (1) the CRISPR-containing organism acquires deoxyribonucleic acid (DNA) or ribonucleic acid (RNA) fragments from invading bacteriophages or plasmids, then (2) it uses the stored nucleic acid to generate CRISPR RNAs (crRNAs) to (3) guide the RNA (gRNA) toward the Cas-mediated inactivation-by-cleavage of future invading viruses (Figure 1) [39].



**Figure 1.** CRISPR/Cas-mediated immunity in bacteria: Three main phases. Image adapted from CRISPR-Cas9 adaptive immune system of *Streptococcus pyogenes* against bacteriophages template by BioRender.com (2023). Retrieved from <https://app.biorender.com/biorender-templates>, accessed on 31 March 2023.

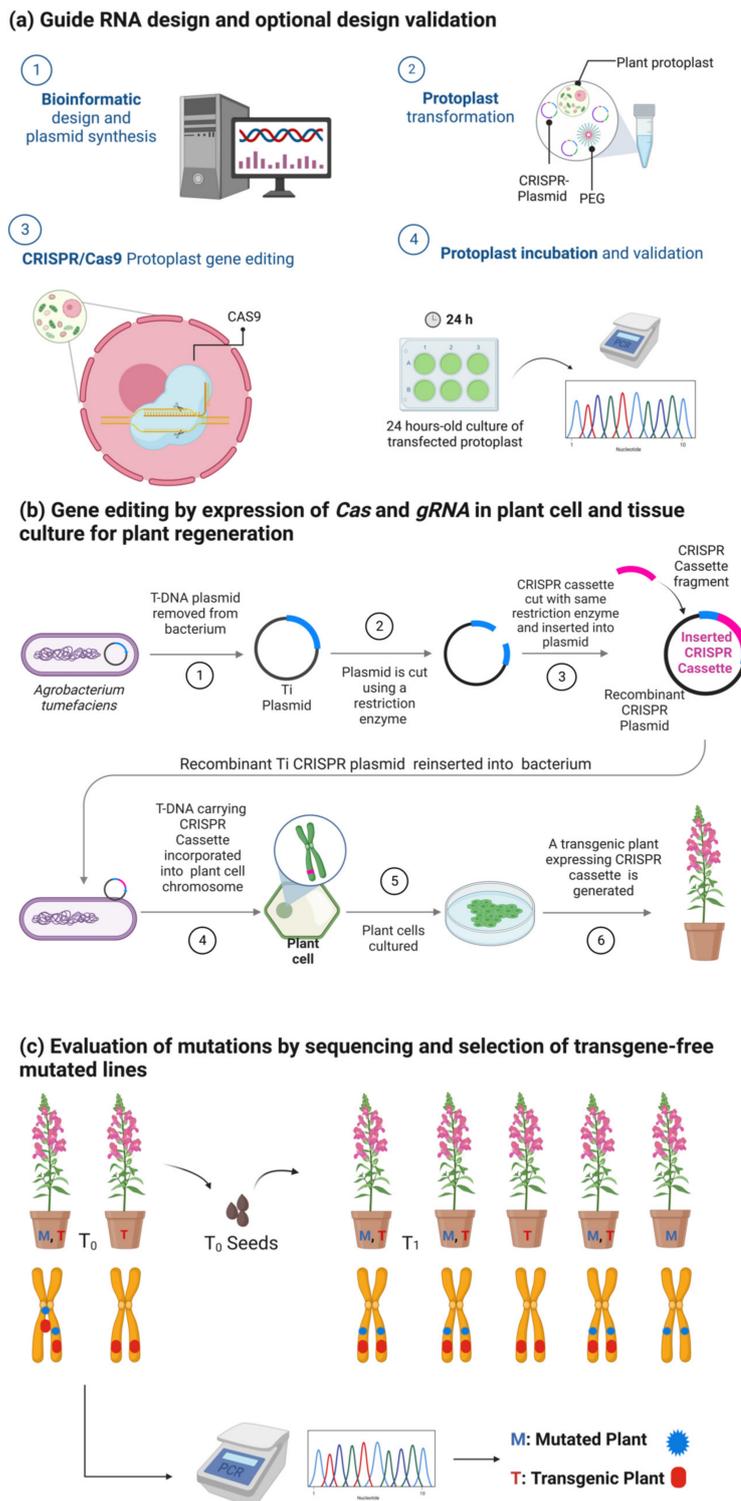
In 2012, Jinek et al. [37] showed that the Type II CRISPR/Cas9 system of *Streptococcus pyogenes* could be mimicked using a single chimeric gRNA instead of the natural trans-activating crRNA (tracrRNA):crRNA duplex. Similar work was concurrently published by Gasiunas et al. (2012) [40], utilizing the CRISPR/Cas9 system from the bacterium *Streptococcus thermophilus*. Both publications proposed using the artificial CRISPR/Cas9 system to induce double strand breaks (DSB) at target locations in a genome of interest and to take advantage of the error-prone DSB repair pathways to generate genetic variability. Since then, CRISPR/Cas technologies have become synonymous with a relatively cheap, global gene-editing tool, and several modifications and enhancements have been made in this first decade of use [39]. In addition, since the CRISPR/Cas system is diverse, and different combinations of Cas proteins participate in the immune process depending on the host species [41], more systems and variations can be discovered.

In plants, the first five reports of CRISPR/Cas9-based genome editing were published in August 2013 and focused on demonstrating the vast versatility of the technology in the area of plant biology (proof of concept) in the model species *Arabidopsis thaliana*, *Nicotiana benthamiana*, and *Oryza sativa* [42–46]. Shortly after, the CRISPR/Cas9 system became a helpful tool for the functional annotation of plant genes [47], and the first reports of its use in model crops showed successful results in sorghum [48], wheat [49], maize [50], and soybean [51,52]. Since 2012, the number of publications related to genome editing in plants using this technology has grown exponentially (Figure 2), and 925 Research Articles were published in 2022 alone.



**Figure 2.** New original research papers per year in the Web of Science database (webofscience.com) from 2013 to 2021 containing the keywords “plant” and “CRISPR”.

In the majority of these publications, the general protocol needed to achieve a CRISPR gene-edited line in plants is comprised of four main steps: (1) guide RNA design and optional design validation, (2) gene editing by expression of *Cas* and *gRNA* plant cells, (3) tissue culture for plant regeneration, and (4) evaluation of mutations by sequencing and selection of transgene-free mutated lines (Figure 3). For successful gene editing, 20 nucleotides specific to the target DNA sequence must be provided in the *gRNA*, applying standard RNA–DNA complementary base-pairing rules [53]. The target sites must be contiguous to a Protospacer Adjacent Motif (PAM) sequence, which varies depending on the *Cas* nuclease chosen [54]. In the case of the *Cas9* nuclease, activity is directed to any DNA region preceding a 5'-NGG-3' PAM sequence [55]. Several bioinformatics tools have been developed to help design *gRNAs* and predict their off-target potential [56]. An optional validation of the *gRNA* can be performed by transiently expressing the *Cas/gRNA* in plant protoplasts. In this stage, genomic DNA from the protoplast culture is submitted to sequencing to evaluate the presence of mutations in the desired region [48]. After proper *gRNA* design, a *Cas/gRNA* transgene is used for the genetic transformation of a target plant, using the appropriate explants and tissue culture protocols (Figure 3b). Then, the last step is to assess whether independent lines carry mutations in the target site by conventional PCR, followed by Sanger sequencing or Illumina deep sequencing. An additional step is usually added to eliminate the *Cas/gRNA* cassette to prevent off-target mutations induced by the nuclease's constant expression and to reduce concerns about 'genome-editing' plants. Thus, one of the most used strategies to obtain mutant lines without the *Cas/gRNA*-expressing transgene is selection by Mendelian segregation, facilitated by visual markers, such as fluorescent proteins (Figure 3c) [57]. However, other transgene-free methods are desired, since traditional methods are laborious and time-consuming. These methods include technologies for self-elimination of transgenes, direct delivery of the *Cas/gRNA* ribonucleoprotein (RNP), or expression of *Cas/gRNA* by viral vectors [58].



**Figure 3.** Standard protocol for generating transgene-free gene-edited plants using CRISPR/Cas9. (a) The use of protoplasts is the most common technique for validating CRISPR/Cas9 construct designs and generating transient gene expression. (b) Then, *Agrobacterium*-mediated transformation is the common technique to generate CRISPR/Cas9 mutated plants with a stable gene expression. (c) Finally, elimination of transgenic sequences is performed to generate “null segregants” via Mendelian segregation. Images (a,b) are adapted from *Agrobacterium*-Mediated Transformation and CRISPR-Cas9 Gene Editing in *Trypanosoma cruzi* templates by BioRender.com (2022). Retrieved from <https://app.biorender.com/biorender-templates>, accessed on 31 March 2023.

Following the success of CRISPR/Cas technologies in single gene editing, several modifications were developed to enhance and diversify their use [39,59]. The multiplex CRISPR approach is a strategy that enables the editing of multiple genes in a single transformation event and uses multiple gRNAs delivered at once to achieve this goal [60–63]. Abdallah et al. [60] used three distinct gRNAs to knockout five *TaSal1* genes in wheat, resulting in drought-tolerant seedlings. In another example, Lorenzo et al. [64] used 12 gRNAs, in combination, to target the knockout of 12 different growth-related genes, producing lines with enhanced yield in maize. Another approach is to produce point mutations using CRISPR base editing, which does not create breaks in the DNA. This technique uses a catalytically defective Cas enzyme, with nickase activity in a single DNA strand (nCAs). Then, nCas is fused with enzymes with deaminase activity that modify the bases in the window targeted by the gRNA [54]. A different technology, Prime Editing CRISPR (CRISPR-PE), allows the insertion of sequences at the target location by providing a RNA template in the gRNA (then called pegRNA) when nCas produces a single strand break. In this technique, nCas is fused with a Reverse Transcriptase that uses the template pegRNA to insert the modification on the generated single strand [54]. Other systems, such as CRISPR-Combo [65], combine gene editing capabilities with CRISPR/Cas-based gene expression activation to boost plant genome engineering. In this case, gRNAs with different protospacer lengths determine whether the target is cleaved by the Cas9 (20 nt protospacer) or activated by the MS2-SunTag activator (15 nucleotides protospacer). The authors tested several applications of the system, and one example is the concurrent activation of *AtFT*, accelerating flowering time and inactivation of herbicide target genes *AtALS* and *AtACC2*. By selecting only early flowering plants, transgene-free herbicide-resistant mutants were easily detected [65]. The different CRISPR/Cas technologies can be used in genome-wide screens, which provide a targeted approach in generating a large number of mutants that can be selected by their phenotype in a desired condition [66]. The identification of causal genes is then easily performed by using the gRNAs as barcodes in deep sequencing [67]. Gaillochet et al. [66] and Pan et al. [68] review CRISPR screening in plants in more detail, demonstrating its potential to identify genes and generate varieties tolerant to multiple stresses, since mutants can be selected by phenotype after they are established. The toolbox of CRISPR/Cas technologies is still expanding, and this plethora of strategies to manipulate plant genomes shows promise to generate transgene-free stress-resilient crops.

From this perspective, genome manipulation techniques have always supported basic and applied crop research and are crucial for modern agricultural production [69]. Although these techniques require prior physiology and molecular genetics knowledge of the plant species under study, at least 42 plant species have been successfully edited by CRISPR/Cas technologies [70]. An attractive characteristic of CRISPR/Cas systems is their success in genome editing polyploid species, which is the case for most crop and biofuel species [71]. With the theoretical knowledge and the know-how of gene-editing techniques, custom modifications can be targeted to specific genes to improve desired traits in a highly predictive manner. The following sections summarize stress-related genetic discoveries in crops achieved by CRISPR/Cas and discuss the potential of these technologies for engineering crops with higher tolerance to extreme conditions affecting the soil–plant interface.

### 3. Advances in Engineering Commercial Crop Genomes to Cope with Different Hostile Soil Conditions

The decline in soil quality poses a significant challenge to agriculture [72], and CRISPR/Cas systems can be valuable tools to address abiotic stress-related traits in plants. These traits are often controlled by regulatory genes, which can be knocked out or down to improve tolerance [73]. However, natural environments typically present a combination of different stresses simultaneously, and while most progress has been made in studying individual stresses, genome-wide association studies and transcriptomic information have identified numerous candidate genes involved in stress tolerance regulation that are poten-

tial targets for CRISPR/Cas applications [74]. Cross-species analysis of stress responses shows a conserved core genetic response to stresses [75–77], which may help develop genotype-independent strategies to cope with a changing climate. CRISPR-edited single genes that confer tolerance to individual stresses can be used as a starting point for a multiplexed approach, where combinations of mutations can confer combined stress tolerance.

### 3.1. Drought Stress Tolerance

Water deficiency is a chronic abiotic crop stress that impacts plant growth and development, constituting about 70% of potential crop yield and productivity losses globally [78]. Drought exists either due to significantly less rainfall or a significant decrease in the quantity of moisture, and it is considered a substantial abiotic stress, hindering agriculture and forestry [78]. Indeed, modeled climate change projections show an even worse scenario for drought, independent of the decrease or increase in greenhouse emissions in most of the world [79,80]. Soil drought can have significant negative impacts on crops by reducing plant growth, altering plant architecture, delaying or inhibiting plant development, reducing reproductive success, and increasing susceptibility to diseases and pests [78].

Plant responses to drought might be classified in five critical processes: sensing, avoidance, tolerance, escape, and recovery. After drought sensing, two pathways can be activated: an abscisic acid (ABA)-dependent pathway or an ABA-independent pathway, triggering the activation of transcription factors and specific drought responsive genes [81,82]. Drought avoidance involves morpho-physiological changes, such as stomatal closure, leaf area or leaf number reduction, wax synthesis, and increased root systems [82]. On the other hand, drought tolerance involves mechanisms to cope with severe drought at different phenological stages, such as changes in stomatal density, gene expression of drought responsive genes, and synthesis of osmoprotectants. In addition, the reduction of photosynthesis rate under drought leads to an imbalance in energy, inducing the production of Reactive Oxygen Species (ROS), which are signaling molecules of stress that can damage the plant cellular machinery [83]. An additional tolerance mechanism is the biosynthesis of antioxidant molecules and expression of the enzymatic antioxidant system to ameliorate the oxidative cellular stress triggered by drought conditions [83]. Short life cycles or early flowering, on the other hand, are examples of escape mechanism to drought, and they could be interesting targets for gene editing. Finally, recovery, the capacity of the plant to survive a severe drought event, involves processes of cellular protection, repair, and stress priming to promote photosynthesis recovery, and it has been extensively studied in resurrection plants [84]. These cellular processes are all targets for genetic manipulation, and several elements have already been studied by CRISPR/Cas technologies. A comprehensive summary of research that employed CRISPR/Cas-directed mutagenesis strategies to study drought stress tolerance in crops is presented in Table 1. All of the studies have proven to either enhance or reduce performance of the mutant plants in comparison to the wild type by experiments in growth chambers, greenhouses, or in the field. Proof-of-concept studies and cross-species gene validation studies were excluded from Table 1 for brevity.

**Table 1.** Studies employing CRISPR/Cas on genes related to drought stress. TF: Transcription Factor; GA: Gibberellic acid; ABA: Abscisic acid; BR: Brassinosteroid; SA: Salicylic acid; KO = Knockout; KD = Knockdown; I.N.F. = Information Not Found, KU: Knockup.

Species	Target Locus	Pathway/Function	Effect on Tolerance	Result	Reference
<i>Brassica napus</i>	<i>BnaA6.RGA</i>	Growth regulation/DELLA transcription regulator	Enhanced	Gain-of-function	[85]
	<i>BnaA6.RGA</i>				
	<i>BnaC7.RGA</i>	Growth regulation/DELLA transcription regulator	Reduced	KO	[85]
	<i>BnaA9.RGA</i>				
	<i>BnaC9.RGA</i>				
<i>Cucumis sativus</i>	<i>CsAKT1</i>	Osmoregulation/K <sup>+</sup> transporter	Reduced	KO	[86]
<i>Fragaria vesca</i>	<i>FvICE1</i>	Cold stress response/TF	Reduced	KO	[87]
	<i>GmHdz4</i>	Drought stress response/HD-ZIP I TF	Enhanced	KO	[88]
<i>Glycine max</i>	<i>GmLHY1a</i>				
	<i>GmLHY1b</i>	Regulation of circadian rhythm/TF	Enhanced	KO	[89]
	<i>GmLHY2a</i>				
	<i>GmLHY2b</i>				
	<i>GmCOL1a</i>	Flowering time/CONSTANS-like TF	Reduced	KO	[90]
	<i>GmMYB118</i>	Flavonoid biosynthesis/MYB TF	Reduced	Amino acid change	[91]
	<i>GmNAC12</i>	Abiotic stress response/NAC TF	Reduced	KO	[92]
	<i>GmNAC8</i>	Nodulation, abiotic stress response/NAC TF	Reduced	KO	[93]
<i>Medicago sativa</i>	<i>MsSPL8</i>	Nodulation, growth, GA pathway/SPL TF	Enhanced	KD	[94]
	<i>NtA1TR1</i>				
<i>Nicotiana tabacum</i>	<i>NtA1TR2</i>				
	<i>NtA1TR3</i>	ROS homeostasis/ABA-induced transcription repressors	Enhanced	I.N.F.	[95]
	<i>NtA1TR5</i>				
	<i>NtA1TR6</i>				
	<i>NtPOD63L</i>	Cell wall integrity/class III peroxidase	Enhanced	KO	[96]
	<i>NtRAV4</i>	Growth, development, stress response/RAV TF	Enhanced	KO	[97]

Table 1. Cont.

Species	Target Locus	Pathway/Function	Effect on Tolerance	Result	Reference
	<i>Ghd2</i>	Grain development, flowering/CCT TF	Enhanced	KO	[98]
	<i>JMJ710</i>	Flowering time/Histone demethylase	Enhanced	KO	[99]
	<i>osa-MIR535</i>	Phosphate homeostasis, root development/Drought-induced miRNAs	Enhanced	KO	[100]
	<i>OsABA8ox2</i>	Biosynthesis of ABA/ABA hydroxylase	Enhanced	KO	[101]
	<i>OsDST</i>	ABA-dependent stress signaling/Zinc finger TF	Enhanced	Domain deletion	[102]
	<i>OsERA1</i>	BR signaling/GASA growth regulator	Enhanced	I.N.F.	[103]
	<i>OsFTL4</i>	Flowering/PEBP, florigen	Enhanced	KO	[104]
	<i>OsIPK1</i>	Growth, development, ion homeostasis/Kinase	Enhanced	11-aminoacid deletion	[105]
	<i>OsNAC016</i>	Growth, development, hormone signaling, abiotic stress response/NAC TF	Enhanced	KO	[106]
	<i>OsNAC092</i>	Biotic and abiotic stress response/NAC TF	Enhanced	KO	[107]
	<i>OsNRI.2</i>	Nitrogen metabolism/Nitrate reductase	Enhanced	KO	[108]
	<i>OsPPR035</i>	Energy metabolism, stress response/Mitochondrial RNA editing	Enhanced	KO	[109]
	<i>OsPPR406</i>	Energy metabolism, stress response/Mitochondrial RNA editing	Enhanced	KO	[109]
	<i>OsPYL9</i>	Stress responses/ABA receptor	Enhanced	KO	[110]
	<i>OsWRKY5</i>	ABA signaling/WRKY TF	Enhanced	KO	[111]
	<i>SRLJ.2</i>	Root development, stress response/LRR-RLK protein	Enhanced	KD	[112]
	<i>osa-MIR171</i>	Flavonoid biosynthesis/miRNA	Reduced	KO	[113]
	<i>osa-MIR818b</i>	Stress response/Drought-induced miRNAs	Reduced	KD	[114]
	<i>OsADR3</i>	Spikelet development/MADS-box TF	Reduced	KO	[115]
	<i>OsASLRK</i>	Root development/Armadillo-like Repeat Kinesin	Reduced	KD	[116]
	<i>OsZIP86</i>	Stress response/bZIP TF	Reduced	KO	[117]
	<i>OsCCR10</i>	Biosynthesis of lignin/cinnamoyl-CoA reductase	Reduced	KO	[118]
	<i>OsDIP1</i>	Root water uptake/Aquaporin	Reduced	KO	[119]
	<i>OsFTIP6</i>	Flowering, leaf senescence, plant architecture/Florigen transporter	Reduced	KO	[120]
	<i>OsGRP3</i>	RNA processing/Glycine-rich RNA-binding protein	Reduced	KO	[121]
	<i>OsHB22</i>	Growth, development, abiotic stress response/HD-ZIP TF	Reduced	KO	[120]
	<i>OsMYB60</i>	Osmoprotectants and antioxidants biosynthesis/MYB TF	Reduced	KO	[122]
	<i>OsMYBR57</i>	Drought stress response/MYB-Related TF	Reduced	KO	[120]
	<i>OsNAC006</i>	Abiotic stress response/NAC TF	Reduced	KO	[123]
	<i>OsNACT7</i>	Development, stress response/NAC TF	Reduced	KO	[124]
	<i>OsNPF8.1</i>	Nutrient acquisition/Phosphate transporter	Reduced	KO	[125]
	<i>OsPM1</i>	Ion homeostasis/Plasma membrane protein	Reduced	KO	[126]
	<i>OsPUB67</i>	Protein degradation, root development/U-box E3 ubiquitin ligase	Reduced	KO	[127]
	<i>OsRINGzfl</i>	Protein degradation/RING zinc finger E3 ligase	Reduced	KO	[128]
	<i>OsRNS4</i>	Biotic and abiotic stress response/S-like RNase	Reduced	KD	[116]
	<i>OsSAPK2</i>	Stress/ABA-activated protein kinase	Reduced	KO	[129]
	<i>OsSAPK3</i>	Stress/ABA-activated protein kinase	Reduced	KO	[130]
	<i>IPA1/OsSPL14</i>	Growth, development, environmental stimuli response/SPL TF	Reduced	KO	[131]
	<i>OsAO3</i>	ABA biosynthesis/Aldehyde oxidase	Reduced	KO	[132]

Table 1. Cont.

Species	Target Locus	Pathway/Function	Effect on Tolerance	Result	Reference
<i>Populus</i> clone 717-1B4 ( <i>Populus tremula</i> × <i>Populus alba</i> )	<i>PdGNC</i>	Carbon and nitrogen metabolism/TF	Reduced	KO	[133]
<i>Populus</i> clone NE-19 ( <i>Populus nigra</i> × ( <i>Populus deltoides</i> × <i>P. nigra</i> ))	<i>PdNF-YB21</i>	Flowering, growth, abiotic stress response/NF-Y TF	Reduced	KO	[134]
<i>Populus trichocarpa</i>	<i>PtRADA2b-3</i>	Chromatin modification/Histone acetyltransferase adaptor	Reduced	KO	[135]
<i>Solanum lycopersicum</i>	<i>SIALD1</i>	Stress responses/Pipecolic acid	Enhanced	KO	[136]
	<i>SIARF4</i>	Auxin signaling/Auxin response factor	Enhanced	KO	[137]
	<i>SIKR26</i>	Cytokinin pathway/Type-B Response Regulator	Enhanced	KO	[138]
	<i>SISNAT2</i>	Negative regulation of rbcL/RUBISCO lysine acetylase	Enhanced	KO	[139]
	<i>SILBD40</i>	Lateral root development/LBD TF	Reduced	KO	[140]
<i>Solanum tuberosum</i>	<i>SIMAPK3</i>	Biotic and abiotic stress response/Mitogen-Activated Protein Kinase	Reduced	KO	[141]
	<i>SINPR1</i>	Plant immunity/SA receptor	Reduced	KO	[142]
	<i>SP3C</i>	Anti-florigen/PEBP	Reduced	KO	[143]
<i>Triticum aestivum</i>	<i>StFLORE</i>	Flowering/long non-coding RNA	Reduced	KD	[144]
	<i>TaSat1</i> (6 homeologs)	Monophosphate 3'-phosphoadenosine 5'phosphate (PAP) signaling	Enhanced	KO	[145]
	<i>TaCER1-6A</i>	Cuticle biosynthesis	Reduced	KO	[146]
	<i>TaIPT8</i>	Cytokinin biosynthesis/isopentenyltransferase	Reduced	KO	[147]
<i>Vitis vinifera</i>	<i>TaPYL1-1B</i>	Abscisic acid receptor	Reduced	KD	[148]
	<i>VvEPFL9-1</i>	Stomata formation	Enhanced	KO	[149]
	<i>ARGO58</i>	Negative regulator of ethylene responses	Enhanced	KU	[150]
	<i>ZmLBD5</i>	LBD Transcription factor	Enhanced	KO	[151]
	<i>ZmLRT</i>	lateral root Development/miR166a-encoding gene	Enhanced	KO	[152]
	<i>ZmPP84</i>	PP2C Phosphatase	Enhanced	KO	[153]
	<i>ZmSAG39</i>	Papain-like cysteine proteases	Enhanced	KO	[154]
	<i>ZmTCP14</i>	TCP Transcription factor	Enhanced	KO	[155]
	<i>ZmATHB-6</i>	Homeobox Transcription Factor	Reduced	KO	[156]
	<i>ZmEREB46</i>	Ethylene-responsive Transcription factor	Reduced	KO	[157]
<i>Zea mays</i>	<i>ZmRBOHC</i>	NADPH oxidase	Reduced	KO	[158]
	<i>ZmRtnJ6</i>	Reticulon-like protein	Reduced	KO	[159]
	<i>ZmSRL5</i>	Cuticle biosynthesis	Reduced	KO	[160]
	<i>ZmSRO1d-S</i>	Oxidative and abiotic stress response	Reduced	KO	[158]

Most studies employing CRISPR/Cas genome editing to study drought resistance so far have occurred in rice varieties (Table 1). An in-frame deletion of a *DROUGHT AND SALT TOLERANCE (DST)* gene using CRISPR/Cas9 in *O. sativa* subsp. *indica* caused deletion of the C-terminal EAR motif in the protein product, which produced plants with broader leaves and reduced stomatal density in comparison with the wild type, resulting in enhanced water retention under dehydration stress [102]. The rice CONSTANS-like transcription factor, *Ghd2*, regulates drought-induced leaf senescence, and its knockout (KO) by CRISPR/Cas9 enhances drought tolerance by delaying the senescence process [98]. While these examples are of mutations that increased tolerance to drought, several CRISPR studies revealed genes whose KO impairs tolerance. The KO of gene *OsNPF8.1*, a nitrate transporter, reduced tolerance to drought and salt stress, as well as lower grain yield with less N accumulation in comparison with the control genotype [125].

Another important characteristic of CRISPR/Cas technologies is their ability to KO microRNAs, previously difficult to achieve by classic transgenesis due to their short sequence [161]. Um et al. (2022) [113] used CRISPR/Cas9 to generate KO rice lines for *osa-MIR171*, which showed sensitivity to drought in comparison to the wild type. With additional experiments, the authors show that *osa-MIR171* regulates the expression of flavonoid biosynthesis genes, which are known participants of stress response pathways [162]. Contrastingly, the CRISPR/Cas9 KO of *osa-miR535* in rice enhances the tolerance of plants to dehydration and PEG stresses in comparison to unedited plants [100]. Interestingly, *osa-miR535* is a highly conserved miRNA present in more than 50 plant species [163], which makes it an interesting target to engineer drought tolerance in crops.

In *Solanum lycopersicum* (tomato), pipecolic acid (Pip) biosynthetic gene, *SIALD1*, CRISPR-generated mutants show elevated drought resistance compared with the wild-type, a phenotype associated with CO<sub>2</sub> assimilation, photosystems activities, and antioxidant enzyme activities [136]. Still, in tomatoes, the KO of the jasmonic acid-responsive transcription factor *SILBD40* by CRISPR/Cas9 enhanced drought tolerance in comparison to unedited plants [140]. Similarly, in maize, CRISPR/Cas9 KO mutants of another LBD transcription factor, *ZmLDB5*, have higher grain yield under drought stress compared to the wild type and do not exhibit differences in well-watered conditions [151]. In soybean, a quadruple KO of circadian rhythm transcription factors *GmLHY1a*, *GmLHY1b*, *GmLHY2a*, and *GmLHY2b* produced plants with enhanced drought tolerance and delayed maturity in comparison to the unedited genotype [89]. It is important to note that, although most CRISPR/Cas research so far has focused on model crops, such as rice and maize, other species are being explored, including oilseed rape [85], cucumber [86], strawberry [87], alfalfa [94], tobacco [95–97], poplar [133–135], potato [144], wheat [145–148], and grape [149] (Table 1). Collectively, these studies provide a growing database of mutant alleles that modulate responses to drought in crops, which could be used to breed stress-resilient cultivars. Furthermore, based on orthology principles, similar mutations could be effective across different species.

### 3.2. Flooding and Waterlogging Tolerance

Although drought and flood are viewed as opposing stresses and are usually studied separately, they share molecular pathways of tolerance, and both stresses reduce energy-consuming processes, facilitating the allocation of energetic resources to stress adaptation [164,165]. Similar to plants in drought stress, shoots need to adapt to dehydration caused by impaired root hydraulics and leaf water loss after a flood event [165]. Due to climate change, there is evidence suggesting that compound extremes, such as the co-occurrence of droughts and floods, are increasing in many parts of the world, including the United States, Europe, and Asia [166]. When the El-Niño South Oscillation perseveres, for example, it leads to prolonged flooding in some areas [80], and drought–flood abrupt alternation is becoming more unpredictable [167]. The co-occurrence of droughts and floods can amplify their impacts and create complex challenges for ecosystems, agriculture, water resources, and human settlements.

In addition to flooding, characterized by the presence of standing water above the soil surface, another phenomenon of excess water in the soil is waterlogging, which is the lack of drainage [168]. Both flooding and waterlogging can cause significant damage to crops and soil, but they have different impacts on plant growth and development [169]. While some plants may be adapted to tolerate occasional flooding, most plants are highly sensitive to waterlogging and can suffer from reduced growth, root damage, and even death [170]. Unfortunately, until the publication of this review, no studies involving CRISPR/Cas have tackled waterlogging, and only two examples of specific flood-related CRISPR studies were found (Table 2). In both cases, the evaluated gene KOs present reduced tolerance to floods. The *OsGF14h* gene encodes a 14-3-3 protein in weedy *O. sativa* subsp. *japonica* cultivar WR04-6, and its KO mutant in this background is sensitive to anaerobic conditions imposed by flooding stress. Interestingly, already sensitive modern cultivars SN9816 and Nipponbare show six polymorphic sites in the coding sequence of *OsGF14h*, which produce an incomplete isoform of the 14-3-3 protein [171]. The second study knocked out the ethylene-response factor-like gene *SUB1A* in a flooding-tolerant cultivar of *O. sativa* subsp. *indica*, Chiherang-Sub1, resulting in sensitivity to the flooding experiment, similar to the wild-type cultivar Chiherang [172]. Another interesting study by Ye et al. [173] verified that CRISPR-mediated KO of gene *OsCBL10* is embryo-lethal, but natural variations in the gene's promoter were associated with flooding tolerance.

**Table 2.** Studies employing CRISPR/Cas on genes related to flooding stress. GA: Gibberellic Acid; ABA: Abscisic Acid; KO = Knockout.

Species	Target Locus	Pathway/Function	Effect on Tolerance	Result	Reference
<i>Oryza sativa</i>	<i>OsGF14h</i>	ABA and GA signaling/14-3-3 protein	Reduced	KO	[171]
	<i>SUB1A</i>	Ethylene-responsive transcription factor	Reduced	KO	[172]

In summary, since drought and flood may coexist and possibly share regulatory mechanisms in plants, it is urgent to revisit already characterized mutants tolerant to drought concerning flood tolerance. This would be an effective strategy to accelerate the discovery of genes conferring this trait. Furthermore, genetic resources for flood tolerance might be found in crop wild relatives. All major crop families possess members that show adaptation to seasonal wetlands, including members of genera *Oryza* and *Zea* (*Poaceae*), *Lotus* (*Fabaceae*), *Solanum* (*Solanaceae*), and *Rorippa* (*Brassicaceae*), which can provide insight into plastic survival strategies lost during crop domestication or selection for production agriculture [174].

### 3.3. Salinity Stress Tolerance

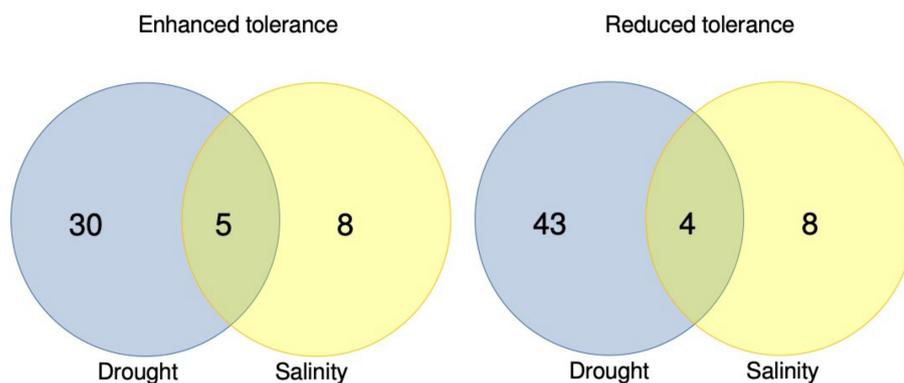
Another global problem in agriculture, affecting over 400 million ha worldwide, with direct implications on crop yield and food security, is soil salinity [72]. This phenomenon can be caused by irrigation with saline water or over-irrigation [175], excessive fertilization [176], conversion of natural habitats to agricultural land [177,178], geological factors [179], climate effects, sea level increase, flooding, or tsunamis [180,181]. The effects of salinity on crops have been extensively studied at the physiological and molecular levels, including osmotic and toxic consequences on different phenological stages [182–184].

Physiological consequences of salinity stress include ion toxicity, which impairs the uptake and transport of essential nutrients [185], osmotic stress, which reduces water potential in cells [186], oxidative stress [187], changes in the expression of genes involved in growth and development [188], and alteration of hormones impacting growth and stress responses [189]. At the early phase of perception, sodium/hydrogen exchangers (NHXs) and high-affinity potassium transporters (HKTs) import  $\text{Na}^+$  ions and activate a  $\text{Na}^+$  sensing module [190]. Then, early signaling is activated, involving  $\text{K}^+$ ,  $\text{Ca}^{2+}$ , cGMP,

phospholipids, ROS, and protein kinases that can activate hormones and gene responses downstream [191].

This signal cascade allows the expression of different adaptive mechanisms, such as growth and developmental response, ion exclusion and sequestration, and the synthesis of compatible solutes to cope with osmotic stress [183]. Some tolerant plant species have developed specific mechanisms to excrete salt ions through specialized structures [192]. Other species can induce the synthesis of osmoprotectant metabolites, such as proline, glycine betaine,  $\gamma$ -GABA, spermidine, spermine, putrescine, mannitol, sucrose, trehalose, and enzymatic and non-enzymatic antioxidant molecules [193–196].

Salinity stress is the second most common abiotic stress with available CRISPR/Cas data, with many of the same genes also implicated in drought tolerance (Table 3, Figure 4). There are five genes with CRISPR mutants that enhance both drought and salinity tolerance: *OsPPR035* and *OsPPR406* [109], *OsDST* [102], *osa-MIR535* [100], and *OsIPK1* [105], all in rice. There are also four genes with mutants having reduced stress tolerance: *OsNPF8.1* [125] and *OsDIP1* [119], in rice, as well as *GmMYB118* [91] and *GmCOL1a* [90], in soybean. This overlap is largely explained by the shared genetic networks involved in the ABA-dependent and ABA-independent pathways of the abiotic stress response [197]. The CRISPR/Cas9-generated in-frame deletion of 33 bp in gene *OsIPK1* controlled the synthesis of phytic acid and conferred salt and drought tolerance without apparent penalties in yield [105]. The expression of ABA-independent TF *OsDREB1A* is upregulated in both stresses in *osipk1\_1* mutants, corroborating the overlap between shared stress regulation.



**Figure 4.** Overlap between genes involved in drought and salinity stresses in CRISPR studies presented in this review. There are a total of 35 studies of gene editing promoting enhanced drought tolerance, of which five overlap with enhanced salinity tolerance. Studies of reduced drought tolerance sum to 47, of which four also show reduced salinity tolerance. For salinity, a total of 13 genes shows enhanced tolerance, while 12 show reduced tolerance.

In addition to the shared genes, 16 other studies are summarized in Table 3. One of these studies in rice generated 14 CRISPR-mediated mutations in gene *OsRR22*, a B-type response regulator TF involved in cytokinin signal transduction and metabolism [198]. These mutations confer salt tolerance at the seedling and mature stages compared with wild-type plants, without effects on other agronomic traits [199]. In soybean, CRISPR/Cas9 was used to validate the participation of TF *GmNAC06* in salt stress, since KO mutants display poor performance under experimental conditions in comparison to the wild-type and overexpression lines [200]. Contrastingly, enhanced performance in laboratory and field salinity stress experiments was found for double and quadruple KO soybean mutants *gmaitr36* and *gmaitr23456*, respectively [201]. This was achieved by a multiplexed approach of CRISPR/Cas9-mediated KO of *GmAITS* genes, which are ABA-induced transcription repressors involved in regulating ABA signaling [202].

**Table 3.** Studies employing CRISPR/Cas on genes related to salinity stress. TF: Transcription Factor; ABA: Abscisic Acid; KO = Knockout; KD = Knockdown; I.N.F. = Information Not Found.

Species	Target Locus	Pathway/Function	Effect on Tolerance	Result	Reference
<i>Cucurbita moschata</i>	<i>CmoPIP1-4</i>	Plasma membrane intrinsic protein	Reduced	KO	[203]
<i>Glycine max</i>	<i>GmAATR2</i> <i>GmAATR3</i> <i>GmAATR4</i> <i>GmAATR5</i> <i>GmAATR6</i>	ABA-induced transcription repressor	Enhanced	KO	[201]
	<i>E2</i>	Photoperiodic flowering	Enhanced	KO	[204]
	<i>GmCOL1a</i>	CONSTANS-like TF	Reduced	KO	[90]
	<i>GmMYB118</i>	MYB TF	Reduced	Amino acid change	[91]
	<i>GmNAC06</i>	NAC TF	Reduced	I.N.F.	[200]
<i>Hordeum vulgare</i>	<i>HVP10</i>	Vacuolar H <sup>+</sup> -pyrophosphatase	Reduced	KO	[205]
<i>Oryza sativa</i>	<i>osa-MIR535</i>	Drought-induced miRNA	Enhanced	KO	[100]
	<i>OsbHLH024</i>	bHLH TF	Enhanced	KO	[206]
	<i>OsDST</i>	Zn Finger TF	Enhanced	Domain deletion	[102]
	<i>OsIPK1</i>	Inositol 1,3,4,5,6-pentakisphosphate 2-kinase	Enhanced	11-amino acid deletion	[105]
	<i>OsPPR035</i>	Chloroplast RNA editing	Enhanced	KO	[109]
	<i>OsPPR406</i>	Chloroplast RNA editing	Enhanced	KO	[109]
	<i>OsRR22</i>	B-type RR TF	Enhanced	KO	[199]
	<i>OsVDE</i>	cycle/Violaxanthin deoxidase	Enhanced	KD	[207]
	<i>BEAR1</i>	bHLH TF	Reduced	KD	[208]
	<i>OsDIP1</i>	TF-interacting protein	Reduced	KO	[119]
	<i>OsGLY13</i>	glyoxalase	Reduced	KO	[209]
	<i>OsNPF8.1</i>	Peptide transporter	Reduced	KO	[125]
	<i>OsWRKY28</i>	WRKY TF	Reduced	KO	[210]
<i>OsWRKY54</i>	WRKY TF	Reduced	KO	[211]	
<i>Solanum lycopersicum</i>	<i>AIT1.1</i>	ABA transporter	Enhanced	KO	[212]
	<i>SLABIG1</i>	HD-ZIP II TF	Enhanced	KO	[213]
	<i>SIHyPRP1</i>	Hybrid Proline-rich protein	Enhanced	Domain deletion	[214]
	<i>Put2</i>	Polyamine uptake transporter	Reduced	KO	[215]

### 3.4. Heavy Metals or Toxic Element Tolerance

Heavy metals occur naturally in the Earth's crust, and the release of these metals into the soil can occur due to natural or anthropogenic processes. Some of the natural causes of heavy metals in soils are the weathering of parent rock, releasing trace amounts of metals, transport of heavy metals from one location to another during floods, landslides or wind erosion, and atmospheric deposition from volcanic emissions, among others [216]. The biological activity of microorganisms, plants, and animals can also concentrate heavy metals in the soil through biological processes, such as uptake and bioaccumulation [217]. Anthropogenic heavy metal accumulation in soils is far more significant than natural

sources, and the use of agrochemicals is the most impactful [217]. Fertilizers, pesticides, and herbicides can contain these harmful molecules, as well as cause soil acidity and erosion, which intensifies their accumulation in soils and possibly contaminates the water table [218,219].

The accumulation of heavy metals and toxic elements in plant tissues can affect their nutritional quality, making them unsuitable for consumption or even harmful to human health. This is a significant concern in the food industry and public health because of the reported diseases associated with the consumption of heavy metal-contaminated foods and exposure to contaminated environments [220,221]. In mining countries, such as Chile, the accumulation of heavy metals derived from the copper industry, for example, has generated the contamination of soils and groundwater in localities considered nowadays as "sacrifice zones", such as Puchuncaví and Quintero-Ventanas Bay [222]. Besides, other mining-associated activities or agricultural practices, such as smelting, industrial exhaust, irrigation with mining wastewater, natural presence in some agricultural soils, and applying fertilizers and pesticides with heavy metal traces, have generated a similar problem worldwide [223]. The primary heavy metals and metalloids found in contaminated soils are copper (Cu), zinc (Zn), lead (Pb), cadmium (Cd), mercury (Hg), and arsenic (As). In southern China, for instance, the analysis of rice samples from contaminated or very industrialized areas showed a high percentage (56 to 87%) of samples contaminated with Cd [224]. Additionally, since rice is the second-most produced staple food worldwide, its contamination generates concern and health risks in different countries [223].

Since heavy metals and toxic elements can have negative impacts on crop growth and development, they can accumulate in plant tissues and lead to reduced yield, quality, and even plant death [225]. These contaminants can also affect nutrient uptake and interfere with photosynthesis, respiration, and transpiration [226]. Physiological and molecular impacts on plants may lead to growth inhibition, chlorosis, necrosis, reduced photosynthesis, and decreased crop yield. These elements can also affect the uptake and transport of essential nutrients, leading to nutrient imbalances and deficiencies. At the molecular level, heavy metals and toxic elements can induce oxidative stress, disrupt cellular homeostasis, alter gene expression, and impair enzymatic activities [227]. Additionally, heavy metals and toxic elements can alter the composition and diversity of the plant-associated microbial communities, affecting plant-microbe interactions and nutrient cycling in the soil [228].

Some metal elements are essential micronutrients for the enzymatic cellular machinery to function. However, under an unbalance of heavy metal homeostasis, some plant species have developed mechanisms to deal with the rise of their concentrations in different cellular compartments [229]. Among the mechanisms involved, we can mention the expression of Heavy Metal ATPases (HMA) proteins [230], Zn and Fe-regulated Membrane Transporter (ZIP) proteins [231], Cation Diffusion Facilitator (CDF) proteins [232], Cation/hydrogen Exchangers (CAX) proteins [233], High-affinity Copper Transport (COPT) proteins [234], Natural Resistant Associated Macrophage (NRAMPs) proteins [235], the bHLH TFs [236], and low molecular weight chelators and subcellular sequesters, such as metallothioneins, phytochelatins, amino acids, nicotinamides, glutathione, and defensins [237,238].

Most of the genes encoding the expression of the aforementioned proteins are potential targets for CRISPR/Cas9 modification to modulate heavy metal tolerance. Although major efforts have been performed using the advances in omics tools, to identify molecular targets controlling heavy metal tolerance in plants [239], few studies show heavy metal tolerance modification for major crops (Table 4). The modulation of a plant's response to this stress depends on its application. For phytoremediation, the goal is to increase the uptake of heavy metals from highly contaminated lands, while avoiding accumulation in final food products requires a decrease in the uptake of these molecules. In rice, for instance, the KO of Cd/Mn transporter *OsNRAMP5* confers Cd tolerance to a wide range of external Cd concentrations, producing shoots with sufficient nutrients and grains with lower Cd accumulation [240]. The KO of *OsNRAMP5* in two *O. sativa* subsp. *japonica* varieties generated lines with decreased accumulation of Cd in aerial organs, but reduced

yield in comparison to unedited plants in both hydroponic and field experiments [241]. Similarly, KO of the rice Low Cadmium (*OsLCD*) gene also diminished Cd accumulation in the shoot, but maintained yield under high Cd concentrations in comparison to the wild genotype [242]. Another Cd-related gene, *Sl1*, was knocked out in tomatoes, and edited plants displayed increased Cd accumulation in plant tissues, as well as increased ROS activity in comparison to the wild-type and overexpression lines [243].

The R2R3 MYB transcription factor *OsARM1* regulates arsenic(As)-associated transporter genes, and KO lines generated by CRISPR/Cas9 improve the tolerance of rice to As in comparison to the wild-type [244]. A similar proof of concept used the KO of Antioxidant Protein 1 (*OsATX1*) gene, a Cu chaperone in rice, which induced an increase in Cu concentration in roots, thereby decreasing the root-to-shoot translocation of Cu [245]. The CRISPR/Cas technology has also been used to deal with other toxic element contamination in soils, such as radioactive Cs+. The inactivation of Cs+ transporter *OsHAK1* in rice by CRISPR/Cas9 dramatically reduced the uptake of Cs+ in highly Cs+ contaminated lands from Fukushima, Japan [246].

**Table 4.** Studies employing CRISPR/Cas on genes related to flooding heavy metal and toxic element stresses. TF: Transcription Factor; KO = Knockout.

Species	Target Locus	Pathway/Function	Effect on Tolerance	CRISPR Result	Reference
<i>Oryza sativa</i>	<i>OsHAK1</i>	Cs+-permeable transporter	Cesium resistant	KO	[246]
	<i>OsATX1</i>	Cu chaperone	Dosage-dependent tolerant	KO	[245]
	<i>osa-MIR535</i>	Drought-induced miRNAs	Enhanced	KO	[247]
	<i>OsARM1</i>	R2R3 MYB TF regulator of As-associated transporters genes	Enhanced	KO	[244]
	<i>OsLCD</i>	Unknown, Cd related	Enhanced	KO	[242]
	<i>OsLCT1</i>	Low affinity cation transporter	Enhanced	KO	[248]
	<i>OsNRAMP1</i>	Cd and Mn transporter	Enhanced	KO	[249]
	<i>OsNRAMP5</i>	Cd and Mn transporter	Enhanced	KO	[240,248]
	<i>OsPMEI12</i>	Pectin Methyltransferase	Enhanced	KO	[250]
<i>Solanum lycopersicum</i>	<i>Sl1</i>	E3 Ubiquitin ligase	Reduced	KO	[243]

### 3.5. Tolerance to Barrenness

Nutrient deficiencies in soils can be triggered by a variety of factors, such as soil pH and soil organic matter, which influence the types and the abundance of essential nutrients, respectively [251]. Other factors, such as soil texture, can affect nutrient availability. Soil compaction can affect the nutrient and water access by the root system, and excessive plant uptake causes nutrient depletion in soils that are heavily cropped or in which fertilization is inadequate [252]. Agricultural practices that can lead to soil barrenness or degradation include the overuse of chemical fertilizers, leading to nutrient imbalances and soil acidification [253], as well as monocultures, which can deplete soil nutrients, leading to reduced yields and increased susceptibility to pests and diseases [254]. Moreover, soil erosion results in a loss of soil organic matter, nutrients, and soil structure, leading to reduced productivity and increased vulnerability to drought and flooding [255]. In addition, pesticide use can harm beneficial microorganisms and disrupt soil food webs, leading to reduced soil fertility and productivity over time [256]. Additionally, the use of fertilizers to boost the yield of

crops has allowed for maintaining the requirements for global food security during the years past the green revolution. However, this practice is under the threat of actual climate change and geopolitical sceneries [257,258]. However, the environmental pollution and ecological degradation generated by the indiscriminate use of fertilizers [259], as well as the fertilizers' price increment generated by recent events, such as the Russian-Ukraine conflict [258], will raise the cost of the farmer's production, making this practice unsustainable over time, as we know today. Finally, natural disasters, such as floods, droughts, and wildfires, can also contribute to soil barrenness by altering soil properties and reducing nutrient availability [260].

Nutrient use efficiency (NUE) is the capability of a crop to take up the nutrients from soil, transport them, assimilate them, and use them to maximize its yield. NUE is a very complex trait, involving several plant functions and metabolic pathways. The polyploidy nature of major crops makes their manipulation a big challenge for plant researchers. Nevertheless, some studies have been performed to improve NUE using transgenic [261], siRNA [262], and gene over-expression approaches [263,264], which have shown impressive advances focused on NUE. Nowadays, physiological and genomic information can be used to select targets for CRISPR/Cas NUE improvement, showing promising results. Recent thorough reviews were published on potential targets for nutrient use efficiency [265–267], and more CRISPR-based studies might benefit from this knowledge. In total, eight studies are summarized in Table 5, including one in barley, five in rice, one in *Populus*, and one in wheat.

For example, a CRISPR cytosine base editing system (CBE) was used to generate a C-T point mutation in gene *OsNRT1.1B* of Japonica rice cv. Nipponbare, causing amino acid conversion T327M [268]. This mutation corresponds to an allele difference between rice varieties Nipponbare (T327) and IR24 (M327) [269], and the base editing conversion of the Nipponbare allele results in better NUE in comparison to the wild type [268,269]. Interestingly, the mutated DST protein in the Indica rice cv. MT1010 *dst* mutant shows enhanced drought and salinity tolerance [102], while its CRISPR KO in Japonica rice cv. ZH11 impairs NUE in comparison with the wild type, showing reduced growth in nitrogen-poor substrates [108].

In another major staple food crop, wheat, lines with mutant alleles of Abnormal Cytokinin Response 1 Repressor 1 Protein (TaARE1) were generated by CRISPR/Cas9, showing increased NUE, delayed senescence, and higher grain yield than the wild-type [270]. The same orthologous gene in barley, *HvARE1*, was mutated by CRISPR/Cas9, generating improved NUE in mutant lines *1are1-E-7-6* (amino acid substitution E78G) and *2are1-K-4* (substitution N205D) [271]. Recently, the overexpression of the *PdGNC* transcription factor in poplar was found to increase nitrate uptake, remobilization, and assimilation, improving overall NUE in this species, which was validated using CRISPR/Cas9 mutants [272].

**Table 5.** Studies employing CRISPR/Cas on genes related to nutrient deficiency stress. TF: Transcription Factor; KO = Knockout.

Species	Target Locus	Pathway/Function	Effect on Tolerance	Result	Reference
<i>Hordeum vulgare</i>	<i>HvARE1</i>	Abnormal cytokinin response 1 repressor 1 protein	Enhanced	Amino acid change	[271]
<i>Oryza sativa</i>	<i>NRT1.1B</i>	Nitrogen transporter gene	Enhanced	Base editing	[268]
	<i>OsDST</i>	Zinc finger TF	Reduced	Domain deletion	[108]
	<i>OsNPF3.1</i>	Nitrate/Peptide transporter	Reduced	KO	[273]
	<i>OsNPF8.1</i>	Nitrate/Peptide transporter	Reduced	KO	[125]
	<i>OsNR1.2</i>	Nitrate/Peptide transporter	Reduced	KO	[108]

Table 5. Cont.

Species	Target Locus	Pathway/Function	Effect on Tolerance	Result	Reference
<i>Populus</i> clone 717-1B4 ( <i>Populus tremula</i> × <i>Populus alba</i> )	<i>PdGNC</i>	Nitrate uptake	Reduced	KO	[133]
<i>Triticum aestivum</i>	<i>TaARE1-A</i> <i>TaARE1-B</i> <i>TaARE1-D</i>	Abnormal cytokinin response 1 repressor 1 protein	Enhanced	KO	[270]

#### 4. Extremophytes: Genetic Reservoirs for CRISPR/Cas Applications

Although evolutionarily distant species may exhibit different transcriptional responses to stress, they share core genetic regulatory elements [75]. In this context, studying extremophytes' genetics poses a great opportunity to find potential targets for genetic manipulation, leading to enhanced stress-related traits. Extremophiles can be defined as organisms capable of dealing with extreme conditions of pH, temperature, pressure, salinity, high concentrations of gasses (such as CO<sub>2</sub>), metals, and ionizing radiation, for example [274,275]. The first well-studied extremophiles are microorganisms, which have already been extensively used in the bioprospection of potentially valuable enzymes, mainly in the biofuel industry [276]. A classic example is the DNA polymerase isolated from the thermophilic bacterium *Thermus aquaticus* [277], an essential enzyme in molecular biology research. Another example is the use of extremophile microbiota that induce drought/salinity resistance in plants, which have been isolated from deserts [278] and Antarctica [279]. Although there is high interest in extremozymes, bioactive compounds, and cultured extremophiles for direct use in the industry [280], little has been explored in plant genetic engineering.

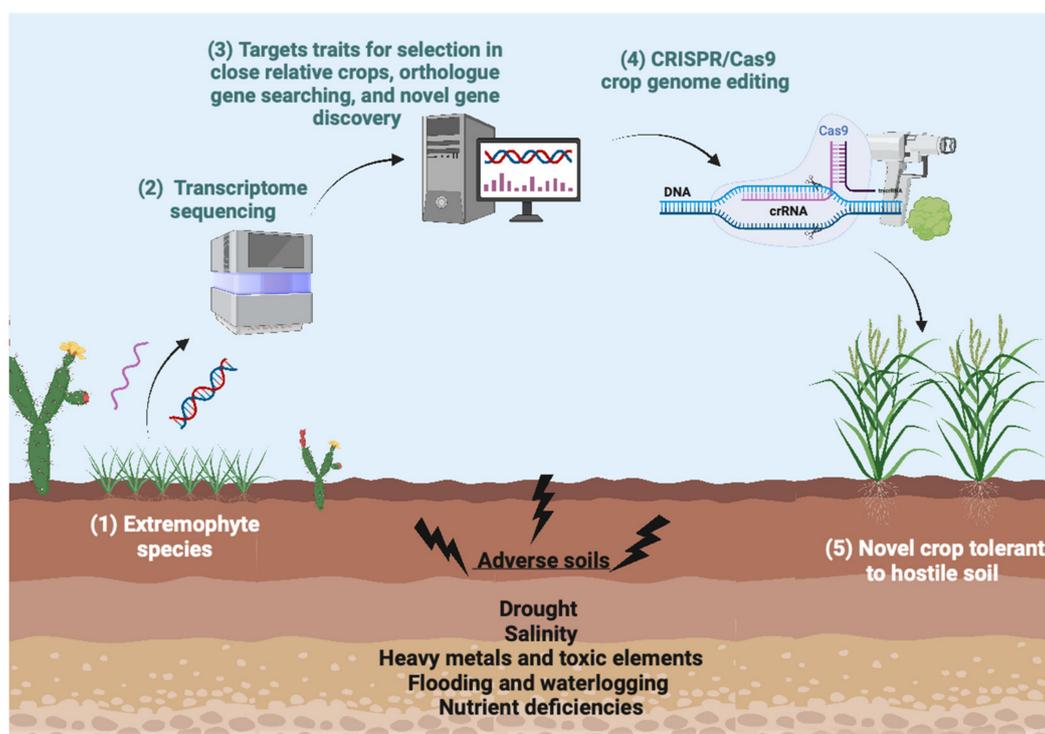
Extremophyte species grow in harsh conditions, which are limiting to unadapted species. For instance, propagules of sub-Antarctic species may arrive in more extreme Antarctic regions, but few can establish new individuals that survive more than one season, and none can establish populations without human intervention [281]. This unique feature of extremophytes defies the trade-off between growth and stress resilience, since they can properly balance their resources, obtained from photosynthesis, to adapt to the extreme climatic factors to complete their life cycles [282]. In this context, deserts (warm and cold), salt pans, geothermal springs, and high mountains, common niches of extremophytes, serve as excellent model conditions to study plant performance on hostile soils [283].

Unfortunately, studies on the molecular and physiological determinants of the trade-off between growth and stress tolerance are scarce, particularly in non-model species. This gap leaves a significant source of variation for photosynthetic functioning and stress tolerance unexplored. Therefore, the unique opportunity provided by extremophytes to investigate how they differentially invest their photosynthetic resources to adapt their life cycles under extreme climatic factors can be leveraged to understand the mechanistic bases of the trade-off between productivity and stress tolerance [282,284]. Moreover, extremophytes offer a promising source of valuable traits for the biotechnology industry to improve crop productivity, as well as at least to maintain it in agricultural regions affected by climate change scenarios [280,285]. As the climate changes, extremophytes can provide insights into the future. Discovering the molecular and biochemical adaptations employed by these plants can enhance our understanding of how plants, in general, will respond to climate change [286].

Interestingly, even though molecular mechanisms controlling plant physiology during abiotic stress have been amply reviewed in model plants and crops [287,288], our knowledge of the molecular mechanisms that support extremophytes success is more limited [275]. Some of the best-studied extremophytes are the resurrection plants, for their potential as ideal models to engineer crops with enhanced drought tolerance [289,290]. Similarly, many studies have been performed on halophyte plants, including highly salt-tolerant close relatives of *A. thaliana*, allowing for direct comparisons of stress tolerance mechanisms [3].

Since established protocols for greenhouse cultivation, in vitro culture, and transformation or gene editing of extremophytes are scarce, functional genetic studies have mostly focused on the heterologous expression of extremophile proteins in model plants [31]. For instance, HIGH-AFFINITY POTASSIUM TRANSPORTER (*HKT*) genes from the halophytes *Thellungiella salsuginea* [291], *Eutrema parvula* [292], and *Suaeda salsa* [293] have been expressed ectopically in *Arabidopsis* plants, and they confer salt tolerance in comparison to the wild-type protein.

Transcriptome sequencing is another strategy to study the reprogrammed metabolism observed in some extremophytes, enabling target trait selection in close relative crops. All major crop families possess members that show adaptation to hostile soils, including members of genera *Oryza* and *Zea* (*Poaceae*), *Lotus* (*Fabaceae*), *Solanum* (*Solanaceae*), and *Arabidopsis*, *Rorippa* (*Brassicaceae*) [174,294,295]. These species can provide insights into plastic survival strategies to hostile conditions, which were lost during crop domestication or selection for intensive agriculture. The identification of the genetic factors controlling stress tolerance traits in extremophytes can guide the search for orthologs in closely related crops, which would then be modified by CRISPR/Cas technologies (Figure 5).



**Figure 5.** Schematic depicting the use of extremophytes and new sequencing technologies to identify new gene targets that can be modified in crops using CRISPR/Cas9. Image created with BioRender.com, accessed on 31 March 2023.

For instance, the transcriptomic analysis of *Populus euphratica*, a desert tree related to the commercial species poplar, showed a reprogrammed metabolism under salt stress, where genes involved in ABA regulation are differentially expressed [296]. Thereby, negative regulators of stress tolerance previously identified in extremophytes could be knocked down using a CRISPR/Cas system. Meanwhile, the sequences of promoters or positively regulatory regions of stress response genes could be modified, as was shown for the generation of HDR-based editing to produce a salt-tolerant *SIHKT1;2* alleles in tomato utilizing the CRISPR/Cpf1-geminiviral replicon technique [297]. In another example, CRISPR/Cas9 KO of metallophyte *Sedum pumbizincicola* Heavy Metal ATPase 1 (*SpHMA1*) helped to characterize the function of *SpHMA1* in protecting PSII from Cd toxicity [298]. Therefore, there is still much to be explored and discovered in these extremophile species that can be used for crops to face the challenges of climate change and hostile soils.

## 5. Challenges and Prospects

### 5.1. Combined Stresses

Although great advances have been made in the study of stresses, most of these discoveries assessed plant responses to single stresses. In natural conditions, the combination of stresses is usually the norm, and climate change will also affect the intensity and frequency of these compound stresses [166]. Given these statements, it is possible to assume that combined stresses complicate the equation for stress resilience engineering. However, elegant systems, such as BREEDIT [64], aim to solve this problem by editing a combination of genes with multiplex CRISPR, resulting in additive roles in stress or yield traits. In their proof-of-concept study, a knock-out of 48 different genes involved in plant growth was conducted, combining 12 genes simultaneously, and generating over 1000 different edited lines with potential enhancements in yield [64]. If a similar strategy is used to knock out multiple genes associated with the suppression of stress tolerance, the combinations of such mutations could help establish a multi-tolerant plant line. Furthermore, sophisticated systems, such as CRISPR-Combo [65], couple gene editing with gene activation, allowing for fine-tuned metabolic engineering.

### 5.2. Technological Limitations and Potential Solutions

CRISPR/Cas systems have been widely acknowledged for their potential to improve crops through gene insertion, removal, point mutation, and gene replacement. However, their use in agricultural research is still in the early stages, with most reports constrained to proof-of-concept findings [299]. Even though CRISPR has been successfully applied in at least 42 plant species [70], there is still a need for a global mechanism that is genotype-independent. Several efforts are underway to improve the limitations of CRISPR/Cas technologies, such as limited PAM sites, off-target mutations, low HDR efficacy, and time consumption due to the *Agrobacterium*-mediated transformation system [300]. For instance, several mutated Cas enzymes opened the possibility of more diverse PAM sites with lower off-target potential [39], and slightly more efficient HDR could be achieved with CRISPR/Cas12a [301].

Regarding transformation limitations, advances have been achieved in both *Agrobacterium*-mediated and other methods, such as the use of viral vectors. The latter, although efficient, is limited by the size of the Cas-encoding sequences, which are very large [302]. Recently discovered Cas12f1 is considerably smaller, allowing for the use of viral vectors to produce gene editing without transgene integration [54]. These strategies hold promise for expanding the application of CRISPR/Cas9 in agriculture and addressing some of its current limitations. An important step forward in monocotyledonous and recalcitrant plant transformation, mediated by *Agrobacterium*, is the use of morphogenetic factors to induce somatic cells into initiating embryogenesis, thus partly circumventing the need for strenuous callus induction and regeneration studies [303,304]. Another important discovery is the newly described “cut-dip-budding” (CDB) system, which enables gene editing in previously recalcitrant species, which is the case for many crops and wild relatives [305]. The CBD system relies on the ability of plants to generate basal shoots from adventitious buds in roots, and it was already applied successfully in species where transformation was either difficult or impossible. An advantage of the CBD system is the absence of in vitro or sterile culture, since all steps can be performed directly in soil [305]. These technologies are promising for the application of CRISPR in wild relatives or extremophyte species to study gene function and to apply these discoveries in crop plants.

### 5.3. Field Evaluation of CRISPR-Modified Crops

The usefulness of the CRISPR/Cas editing techniques must be demonstrated before the large-scale distribution of any new variety possessing them [306]. However, as shown in Table S1, most studies on hostile soil tolerance in plants modified by CRISPR/Cas systems were only evaluated in the laboratory or greenhouse. Therefore, verifying whether results can be translated to crop plants grown in the field is crucial [307]. In addition, field

trials provide a tremendous amount of otherwise unknown information on how plants respond to environmental changes under agricultural systems [308]. Unfortunately, the diverse landscape of legislation regarding gene-edited plants has hindered large-scale field trials, and most such tests have occurred only in China [309]. In 2018, the first field trial of a CRISPR/Cas9 gene-edited crop, *Camelina sativa*, began in Europe at the Rothamsted Research in the UK and provided a wealth of essential data and enabled the evaluation of the potential of a new trait [310]. During the experiment, the UK Department for Environment, Food & Rural Affairs reclassified gene-edited crops as GMOs, and the next field trial only occurred in 2021 [307]. Later, in 2021, field tests of low-asparagine gene-edited wheat were performed in this same research field and were essential to confirm the results observed in the laboratory [311]. Additionally, in 2021, Lee and Hutton (2021) [306] conducted field trials during three consecutive seasons using CRISPR-driven jointless pedicel, as well as fresh-market tomatoes, without detecting significant differences in fruit size yield between CRISPR-modified tomatoes and WT tomatoes [306]. Despite these studies, further field trials conducted across a broader range of regions are imperative to authenticate the scientific effectiveness of gene-edited plants and instill greater assurance and security for both producers and end consumers.

#### 5.4. Regulation and Customer Acceptance

Important limitations on CRISPR/Cas-modified crops are the legal regulation of plant genome editing and consumer acceptance. Although CRISPR crops are being developed and grown globally, this trend is accompanied by legal, ethical, and policy debates. The technical limitations of CRISPR and whether existing GMO regulations should apply to CRISPR-edited crops are key issues [312]. In the scientific community, there is a belief that mutations generated by CRISPR/Cas9 are no different from those induced by nature or conventional breeding. Thus, plants created through this technology should not undergo the same regulatory processes as conventional GMOs. However, on a global scale, opinions differ, and some countries believe that CRISPR-generated crops should undergo the same regulations as GMOs before entering the market [313,314].

For instance, the United States and the European Union have different approaches to CRISPR-edited crops. The former is more permissive because they do not have to undergo the same regulatory process as GMOs, while in the European Union, they are considered GMOs. However, several countries have already regulated that plants generated through CRISPR with only InDels or homologous inserts can be excluded from GMO regulation [312,314–316]. Hence, the international community is considering whether certain CRISPR-edited crops can be excluded from regulatory oversight and what safety data would be required for CRISPR-edited crops to be regulated in specific countries.

The success and adoption of gene-edited foods depend ultimately on consumer acceptance, which has been a problem for GMO foods due to misinformation. Consumers worldwide display limited understanding, misconceptions, and unfamiliarity with GMO food products [317]. Consumer acceptance of gene-edited foods varies across countries. In China, 45% of respondents ( $n = 835$ ) agreed that gene-edited plant products should be allowed, compared to 36% for transgenic plant products [318]. In Brazil, producers ( $n = 37$ ) are prone to planting transgenic beans (84%), and consumers ( $n = 100$ ) are willing to include them in their diets (79%) [319]. In the UK ( $n = 490$ ) and Switzerland ( $n = 505$ ), participants expressed higher acceptance levels for genome editing than for transgenic modification. Acceptance depends on perceived benefits, scientific uncertainty, and location [320]. Acceptance levels for these technologies depend mainly on whether the application is believed to be beneficial, how scientific uncertainty is perceived, and where they reside [35,316]. Surveys, such as these, and the amount of safety data required, will affect the overall cost of regulation, an essential factor to consider when bringing new CRISPR plants to market [314,321].

## 6. Concluding Remarks

The worldwide deterioration of soil quality has emerged as a critical challenge for agriculture, compounded by the escalating impact of climate change. This looming crisis poses a significant risk to food security, particularly as we approach the year 2050. Unfortunately, there is no single solution to address the issue of hostile soils or to ensure food production in the future. Instead, an integrated, multidisciplinary approach is necessary, leveraging specific tools and solutions to mitigate the detrimental effects of hostile soils on agriculture. By combining these solutions from diverse approaches, we can potentially safeguard agriculture and ensure global food security. These tools include CRISPR/Cas technologies, which enable the precise editing of crop genomes to develop plants that are more tolerant to the stresses of hostile soils. In the past decade, this technique has demonstrated its efficacy in accurately editing the genomes of various organisms, including plants.

As reviewed here, several scientific studies have provided concrete evidence of the effectiveness of CRISPR/Cas technologies for developing crops that are tolerant to hostile soils. These studies have demonstrated successful applications of the technology in improving plant tolerance to stressors, such as drought, heavy metals, salinity, and NUE. As a result, CRISPR/Cas systems are increasingly being considered viable solutions to these agricultural challenges. Notably, a significant amount of research on using CRISPR to develop stress-tolerant crops is being conducted in China, suggesting a potential technological advantage in this area due to its legal status on gene editing organisms.

Although CRISPR technologies for genome engineering in plants are not infallible, ongoing technical advancements are addressing its limitations. Meanwhile, the regulatory landscape is becoming more lenient, allowing for greater openness towards CRISPR-mutated crops that are transgene-free and exempt from traditional GMO regulations. Additionally, consumer acceptance of CRISPR-modified products is predicted to increase, and evidence supports the continued use of this technology for plant breeders. To achieve crops tolerant to future challenges, we suggest leveraging CRISPR technology alongside advances in sequencing and the search for new genetic targets in extremophytes. These developments, alongside novel management strategies and biotechnologies, provide promising solutions for ensuring stable food security by 2050.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12091892/s1>, Table S1: Studies employing CRISPR/Cas on genes related to drought, flooding and waterlogging, salinity, heavy metal and toxic elements, and barrenness tolerance, extended.

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## Article

# Genome and Transcriptome Identification of a Rice Germplasm with High Cadmium Uptake and Translocation

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**Abstract:** The safe production of food on Cd-polluted land is an urgent problem to be solved in South China. Phytoremediation or cultivation of rice varieties with low Cd are the main strategies to solve this problem. Therefore, it is very important to clarify the regulatory mechanism of Cd accumulation in rice. Here, we identified a rice variety with an unknown genetic background, YSD, with high Cd accumulation in its roots and shoots. The Cd content in the grains and stalks were 4.1 and 2.8 times that of a commonly used japonica rice variety, ZH11, respectively. The Cd accumulation in the shoots and roots of YSD at the seedling stage was higher than that of ZH11, depending on sampling time, and the long-distance transport of Cd in the xylem sap was high. Subcellular component analysis showed that the shoots, the cell wall, organelles, and soluble fractions of YSD, showed higher Cd accumulation than ZH11, while in the roots, only the cell wall pectin showed higher Cd accumulation. Genome-wide resequencing revealed mutations in 22 genes involved in cell wall modification, synthesis, and metabolic pathways. Transcriptome analysis in Cd-treated plants showed that the expression of pectin methylesterase genes was up-regulated and the expression of pectin methylesterase inhibitor genes was down-regulated in YSD roots, but there were no significant changes in the genes related to Cd uptake, translocation, or vacuole sequestration. The yield and tiller number per plant did not differ significantly between YSD and ZH11, but the dry weight and plant height of YSD were significantly higher than that of ZH11. YSD provides an excellent germplasm for the exploration of Cd accumulation genes, and the cell wall modification genes with sequence- and expression-level variations provide potential targets for phytoremediation.

**Keywords:** cadmium accumulation; cell wall; pectin methylesterase; rice; phytoremediation; multi-omics

## 1. Introduction

Owing to the rapid development of industrialization in both urban and rural areas of China, the Cd pollution levels in some farmlands exceed China's national standard of 0.2 mg kg<sup>-1</sup> (GB2762-2005), leading to Cd rice production, which poses a potential threat to human health. Cd in the human body can affect human reproduction and cause Itai-itai Disease [1]. Breeding low-Cd crop varieties and the phytoremediation of Cd-contaminated farmland are two important coping strategies [2]. Therefore, it is of great practical significance to elucidate the accumulation mechanism of Cd in rice.

Phytoremediation, in which metal pollutants of soil, such as Cd, can be absorbed by natural or artificially bred special plants through their roots to remove Cd or reduce their bioavailability in soil [3,4], which mainly includes plant extraction, plant stabilization, plant filtration, and plant stimulation [5,6]. Such methods are economically feasible, environmentally friendly, and highly applicable.

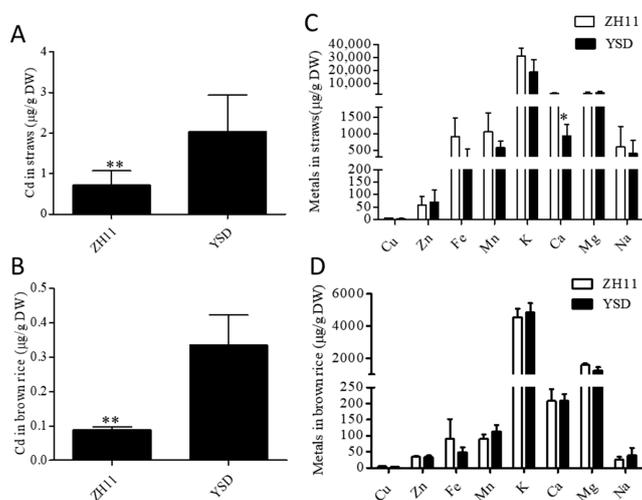
At present, Cd uptake and transport in rice is mainly thought to be conducted by the transporters of some essential metal elements. For example, the NRAMP (natural resistance-associated macrophage protein) family, NRAMP5, which is responsible for Mn uptake [7], NRAMP1, which is responsible for Fe and Mn uptake [8,9], and Zip5/9, which is responsible for Zn uptake, all contribute to the uptake of Cd [10,11]. Under the action of the P1B-type ATPases, known as heavy metal ATPases (HMAs), HMA3, some of the Cd taken up by the roots is stored in vacuoles [12,13]. Under the action of HMA2, some of it is transported to the shoots via long-distance transport in the xylem sap [14,15]. The Cd in the shoots is distributed from the nodes to the grains under the action of a low-affinity cation transporter, LCT1 [16]. Recently, OsCD1, belonging to the major facilitator superfamily, was found to be involved in root Cd uptake and contribute to grain accumulation in rice. Natural variation in OsCD1 with a missense mutation, Val449Asp, is responsible for the divergence of rice grain Cd accumulation between indica and japonica [17]. The rice defensin gene, CAL1, can chelate cytoplasmic Cd and efflux it into the xylem sap for long-distance transport to the leaves for storage [18].

The cell wall plays an important role in the detoxification and hyperaccumulation of Cd in *Sedum plumbizincicola* [19]. It has been reported that the cell wall can bind Cd, preventing Cd from entering the cytoplasm and reducing the toxicity of Cd in Arabidopsis [20]. When pectin in the cell wall is demethylated, it exposes the Cd ions to more carboxyl binding, playing a major role in Cd detoxification in *Brassica napus* [21]. The application of boron can decrease Cd content in plant tissues and improve the antioxidative system whilst increasing the Cd content in the cell wall, thus alleviating Cd and oxidation stress in rice [22]. Salicylic acid remarkably decreased Cd concentrations in roots and shoots of rice seedlings, and increased the distribution ratio of Cd in the root cell wall fraction through regulating root cell wall composition via nitric oxide signaling [23]. This study aimed to identify the physiological and molecular mechanisms of a rice germplasm YSD with high Cd uptake and translocation, which potentially provide valuable materials and genetic resources for phytoremediation.

## 2. Results

### 2.1. YSD Accumulated More Cd in the Straw and Grains Than ZH11

At the harvest stage of the rice, the Cd content in the rice straw and grains of YSD was significantly higher than that of ZH11, and the Cd accumulation in the rice straw and grains of YSD was 2.8 times and 4.1 times that of ZH11, respectively (Figure 1A,B). However, there were no significant differences in other elements in rice straw and grains, except for Ca, which was 54% lower in the YSD straw than in the ZH11 straw (Figure 1C,D).

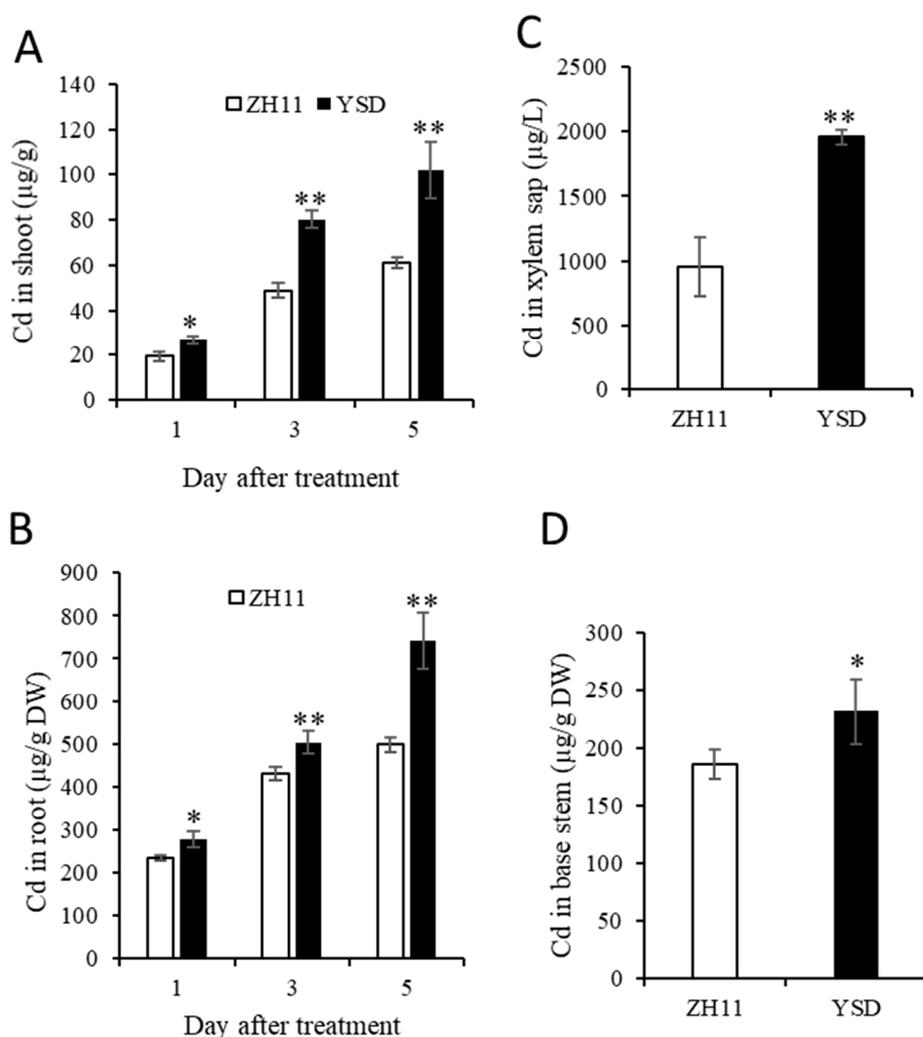


**Figure 1.** Cd content in YSD straw and grain was significantly higher than that in ZH11. Determination of Cd and other metal elements in YSD and ZH11 straw (A,C) and brown rice (B,D) at ripening stage

stage grow in 0.4 mg/kg Cd-contaminated field. Data are presented as the means ( $\pm$ SD),  $n = 5$ . Significant differences were determined using the Student's *t*-test: \*  $p < 0.05$ , \*\*  $p < 0.01$ .

### 2.2. Time-Dependent Higher Cd Accumulation in the Shoots and Roots of YSD

To further determine the phenotype of the high Cd accumulation in YSD, we treated rice with 10  $\mu$ M Cd for 1, 3, and 5 days. The results showed that the Cd accumulation in the shoots and roots of YSD was significantly and time-dependently higher than that of ZH11. The Cd accumulation of YSD was 1.4, 1.6, and 1.7 times that of ZH11 in the shoots after Cd treatment for 1, 3, and 5 days, respectively (Figure 2A); it was 1.2, 1.2, and 1.5 times that of ZH11 in the roots (Figure 2B). We detected the Cd content in xylem sap treated with 10  $\mu$ M Cd for 1 day and found that the concentration of Cd in the xylem sap of YSD was significantly higher (2.1 times higher) than that of ZH11 (Figure 2C). In addition, the Cd content at the junction of the root and stem of YSD was 25% higher than that of ZH11 (Figure 2D).

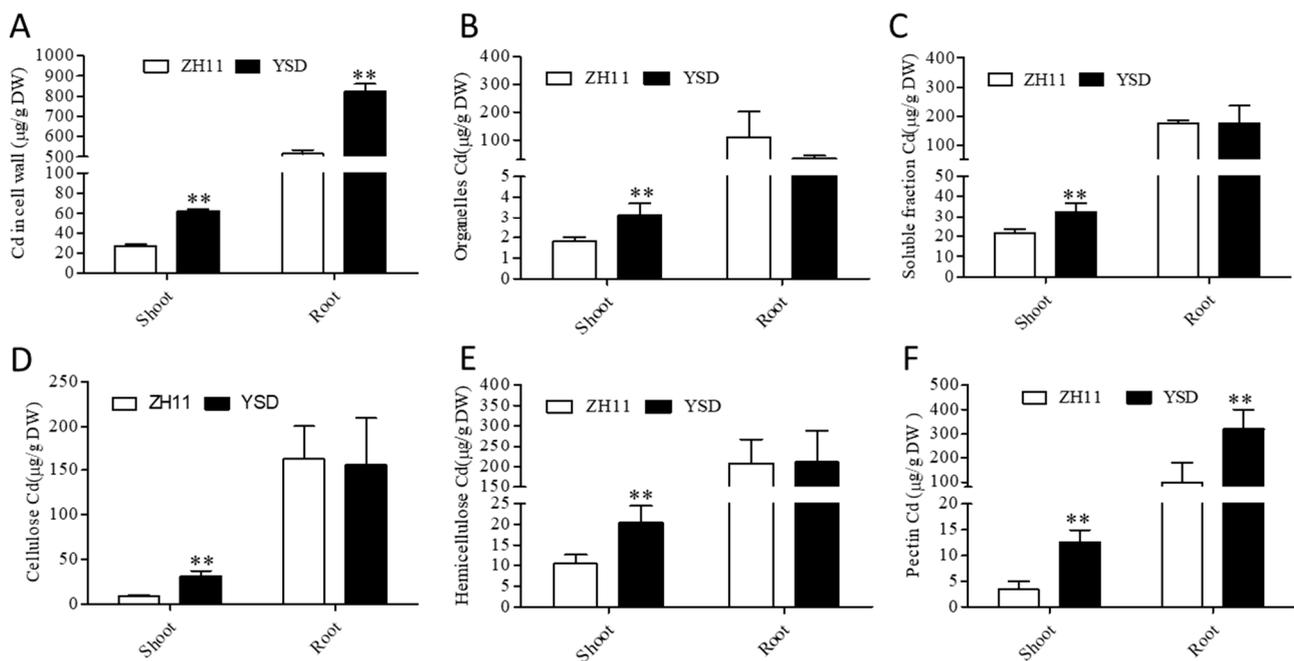


**Figure 2.** Time-dependent higher Cd accumulation in shoots and roots of YSD than ZH11. After 4 weeks of hydroponics, rice seedlings were treated with 10  $\mu$ M Cd for 1, 3, and 5 days, Cd content in the shoot (A), root (B), basal stem (C) and xylem sap (D) were determined by ICP-MS. Data are presented as the means ( $\pm$ SD),  $n = 5$ . Significant differences were determined using the Student's *t*-test: \*  $p < 0.05$ , \*\*  $p < 0.01$ .

### 2.3. Cd Content in Various Subcellular and Cell Wall Components of YSD and ZH11

The Cd content in the shoot cell wall, organelle, and soluble fractions of YSD was 2.3, 1.7, and 1.5 times higher, respectively, than that of ZH11 (Figure 3A–C), representing a

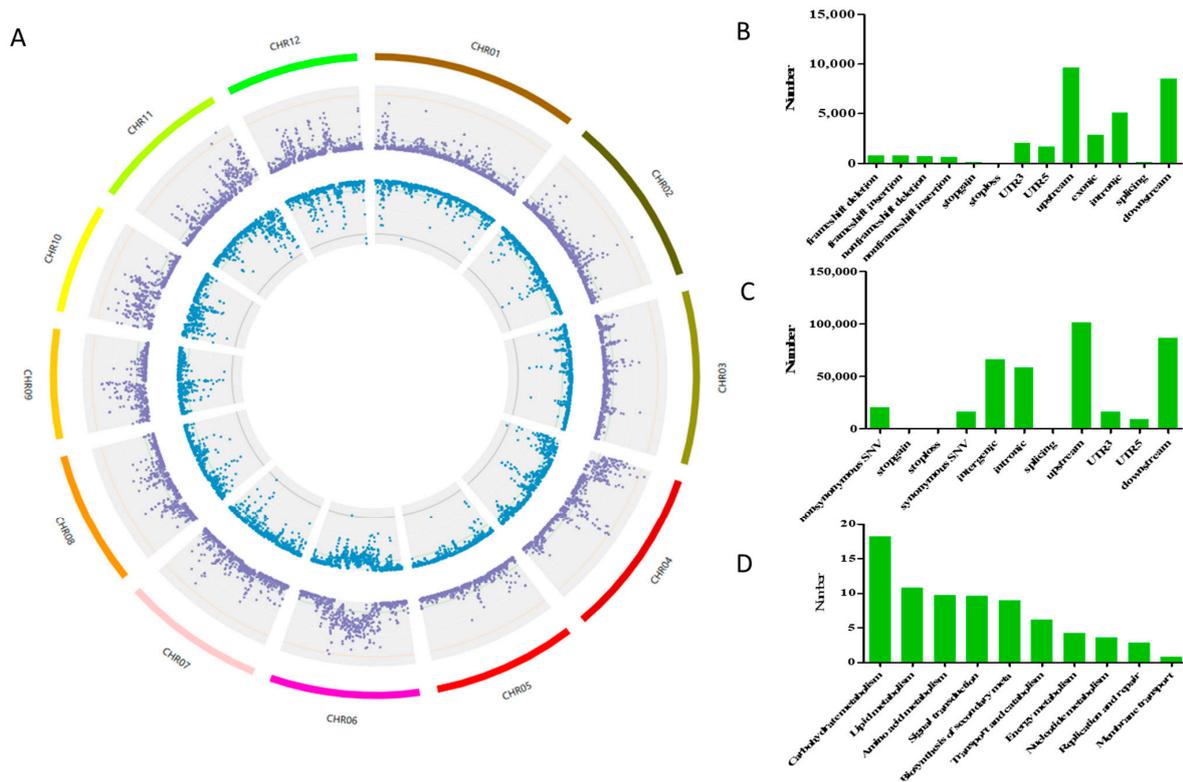
significant difference. The Cd content in the YSD roots was 59.8% higher than that in the ZH11 roots for the cell wall fraction, but there were no significant differences in the Cd content in the organelle and soluble fractions (Figure 3A–C). When we detected the Cd content in different components of the cell wall, the results showed that the Cd content in the shoot cellulose, hemicellulose, and pectin of YSD was 3.4, 1.9, and 3.6 times higher, respectively, than that of ZH11, representing a significant difference (Figure 3D–F). The Cd content in the pectin in the YSD roots was 223% higher than that in ZH11 roots, but there were no significant differences in the Cd content in the cellulose or hemicellulose in the roots (Figure 3D–F). These results indicate that the cell wall, organelles, and soluble fractions of YSD shoots all possess characteristics of high Cd accumulation, while the high Cd accumulation in the roots may be mainly determined by the cell wall pectin.



**Figure 3.** Cd content in different subcellular and cell wall components of YSD and ZH11. After 4 weeks of hydroponics, rice seedlings were treated with 10 µM Cd for 3 days, Cd content in cell wall (A), organelles (B), soluble part (C), cellulose (D), hemicellulose (E), and pectin (F) of the shoot and root were determined by ICP-MS. Data are presented as the means (±SD), n = 3. Significant differences were determined using the Student's *t*-test: \*\* *p* < 0.01.

#### 2.4. Genome-Level Variation of YSD Compared with ZH11

To study the effect of YSD genome-level variation on Cd accumulation, genome resequencing was conducted. The results showed that, compared with ZH11, there were 968,795 SNP sites and 185,084 InDel sites in the whole YSD genome (Figure 4A). These SNPs resulted in non-synonymous mutations in the coding regions of 5006 genes, the premature termination of 363 genes, the termination codon loss of 112 genes, and synonymous mutations in the coding regions of 3844 genes (Figure 4B). The InDel loci resulted in frameshift mutations in the coding regions of 1498 genes, non-frameshift mutations in 1258 genes, the premature termination of 84 genes, and the loss of termination codons in 12 genes (Figure 4C). KEGG pathway analysis of these affected genes revealed that 156 are carbohydrate-metabolism-related genes, 92 are lipid-metabolism-related genes, and 53 are membrane transport- and catalysis-related genes (Figure 4D). GO enrichment found 22 mutated genes related to cell wall synthesis and modification (Table 1).



**Figure 4.** Genome-level variation of YSD compared to ZH11. Fresh leaves of 10-day-old plants were sampled for isolation of genomic DNA (gDNA) to perform whole-genome resequencing. (A) Genome variation Circos diagram. Circle 1: The chromosome; Circle 2: The purple locus represents the distribution of SNP density in the genome; Circle 3: Blue sites represent fractions of genomic InDel density. (B) Statistical map of SNP annotation results at gene level. (C) Map of InDel annotation results at gene level, and (D) pathway analysis of mutated gene loci.

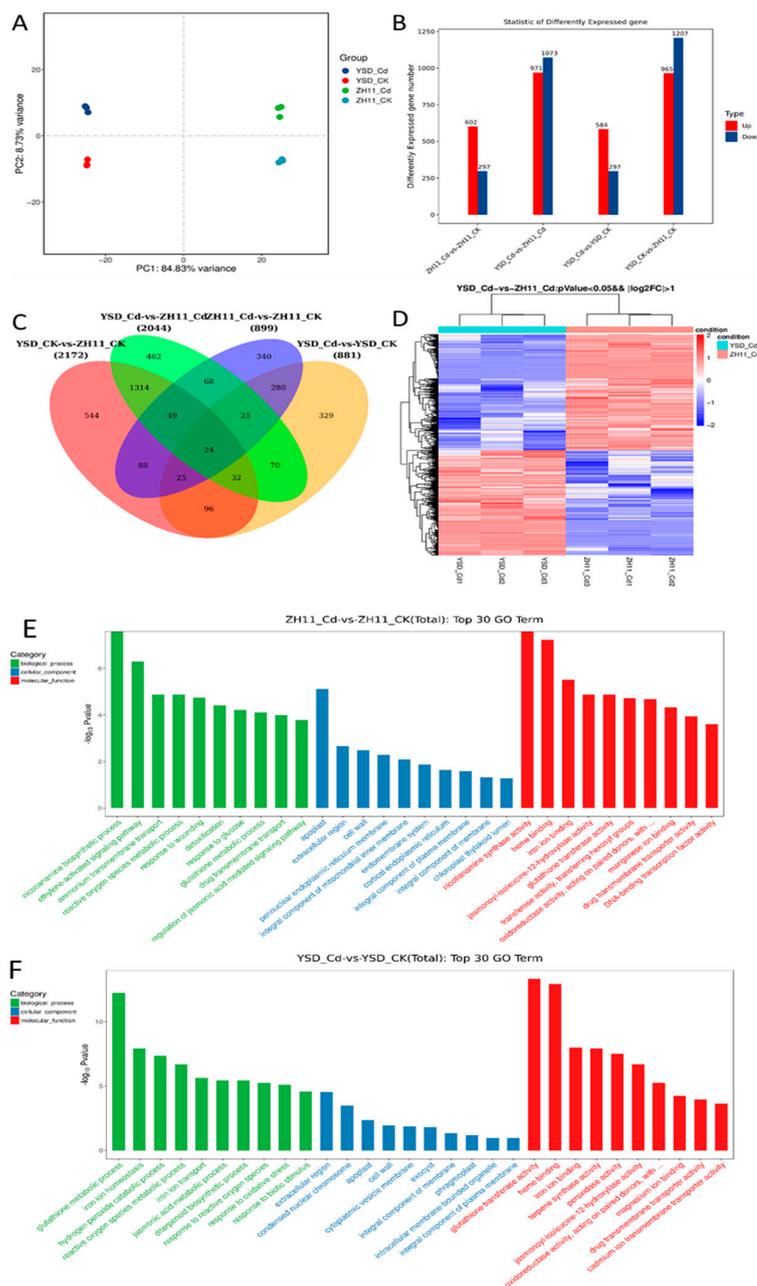
**Table 1.** Cell-wall-associated variation in gene annotation.

GO Annotation	Number	Mutant Gene ID
Cell wall biogenesis	4	<i>Os03g0172000</i> ; <i>Os03g0172200</i> ; <i>Os03g0172700</i> ; <i>Os03g0172100</i>
Cell wall modification	8	<i>Os02g0558200</i> ; <i>Os01g0160100</i> ; <i>Os01g0188400</i> ; <i>Os01g0857400</i> ; <i>Os03g0584224</i> ; <i>Os01g0168600</i> ; <i>Os01g0159800</i> ; <i>Os04g0600800</i>
Plant-type cell wall organization	6	<i>Os02g0156600</i> ; <i>Os01g0918400</i> ; <i>Os01g0917900</i> ; <i>Os02g0816200</i> ; <i>Os02g0139300</i> ; <i>Os04g0449000</i>
Cell wall macromolecule catabolic process	4	<i>Os01g0357800</i> ; <i>Os04g0116200</i> ; <i>Os04g0166000</i> ; <i>Os01g0224000</i>

### 2.5. Differentially Expressed Genes between YSD and ZH11 Roots

To reveal the differentially expressed genes in response to Cd in YSD roots, transcriptome sequencing was performed on ZH11 and YSD roots. Principal component analysis showed that the first principal component could explain 84.83% and the second 8.7% of the gene expression differences in the roots of the two cultivars (Figure 5A). There were 602 up-regulated and 297 down-regulated genes in the ZH11 roots, and 584 up-regulated and 297 down-regulated genes in the YSD roots when exposed to Cd treatment (Figure 5B). Compared to ZH11, 972 genes in YSD were up-regulated and 1073 down-regulated under Cd treatment (Figure 5B). Under control treatment conditions, 965 YSD genes were up-regulated and 1207 down-regulated (Figure 5C). A Venn diagram showed that 354 genes responded to the change in Cd in both YSD and ZH11 (Figure 5C), while 545 genes responded only in ZH11, and 527 genes responded only in YSD. Under both, Cd treatment and control conditions, 1419 differentially changed genes were consistent in YSD and ZH11.

There were 778 genes that were differentially expressed only under control conditions, and 625 genes that responded only to Cd treatment. Finally, 24 genes differed in all four comparisons (Figure 5C). Figure 5D shows a heat map of differentially expressed genes under Cd treatment. We conducted GO enrichment analysis on the differentially expressed genes, and the results showed that the differentially expressed genes in the ZH11 roots were mainly enriched in the process of nicotinamide synthesis, ethylene signal transduction, and ammonium transmembrane transport. The differentially expressed genes were mainly located in the extracellular apoplast (Figure 5E). The differentially expressed genes in the YSD roots were mainly enriched in GSH metabolism, iron and superoxide balance, and the differentially expressed genes were mainly located in the extracellular region of the cell wall (Figure 5F).



**Figure 5.** Analysis of transcriptome data from YSD and ZH11 roots. After 4 weeks of hydroponics, rice seedlings were treated with 0, 10  $\mu\text{M}$  Cd for 3 days, and roots were taken for RNA-seq analysis.

(A) Principal component analysis. (B) Number of up\_ and down\_ regulated differentially expressed genes. (C) Venn diagram analysis of differentially expressed genes, (D) Heat map of differentially expressed genes. (E) GO annotation of differentially expressed genes in response to Cd stress in the roots of ZH11. (F) GO annotation of differentially expressed genes in response to Cd stress in the roots of YSD.

### 2.6. Nicotinamide Promotes Cd Translocation in Rice

According to the GO enrichment results of ZH11 in response to Cd, we found that the expression of the nicotinamide synthesis genes, *NAS1* and *NAS2*, in ZH11 under Cd treatment was 3.5 and 3.6 times higher, respectively, than that in YSD (Supplementary Figure S1A,B). The nicotinamide content in the ZH11 roots and shoots was 37% and 21% higher, respectively, than that in YSD. In ZH11 (Supplementary Figure S1C), the expression of *YSL2*, which is responsible for NA–Fe transport, was 592 times higher than that of YSD (Supplementary Figure S1D). Based on the Cd accumulation characteristics of ZH11 and YSD, as well as this result, we speculated that nicotinamide synthesis and *YSL2*-mediated metal chelate transport may be negatively correlated with Cd accumulation. We designed an in vitro experiment involving the addition of 0, 10, and 100  $\mu\text{M}$  nicotinamide to a rice solution, and found that in vitro, 10 and 100  $\mu\text{M}$  nicotinamide treatment increased the Cd accumulation in ZH11 shoots by 175% and 195% (Supplementary Figure S1E), and in YSD shoots by 165% and 175%, respectively (Supplementary Figure S1E). This is contrary to our speculation, indicating that the differential accumulation characteristics of YSD and ZH11 Cd may not be caused by the differences in nicotinamide synthesis.

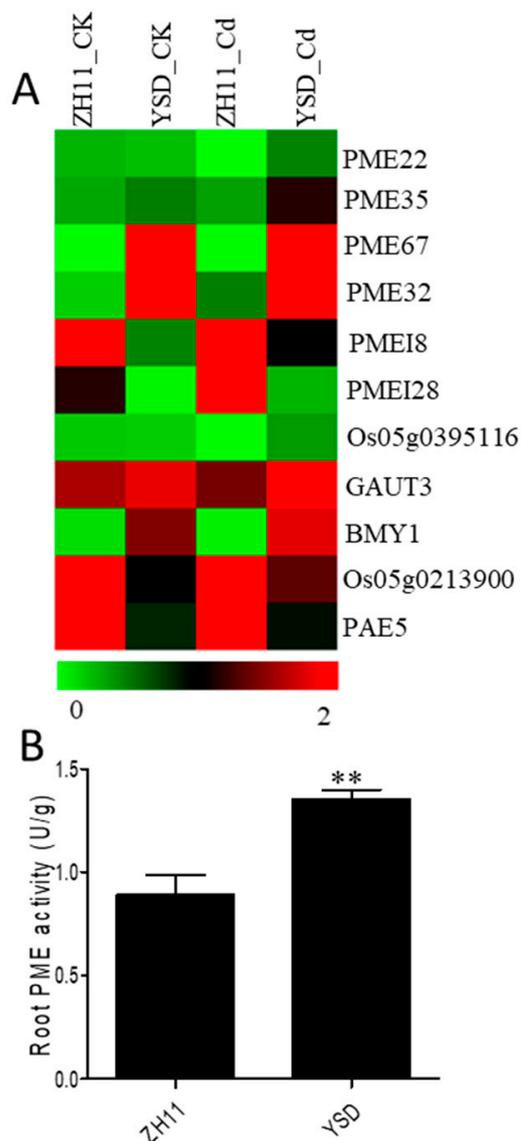
According to the GO enrichment results of Cd in YSD, we found that GSH transferase gene expression in YSD under Cd treatment was 2–3 times higher than that in ZH11, and the GSH content in the roots and shoots was significantly lower (37% and 30%) than that in ZH11 (Supplementary Figure S2). This result indicates that GSH metabolism may be involved in the different Cd accumulation and tolerance characteristics of YSD and ZH11.

### 2.7. No Significant Changes in Cd Uptake and Transport-Related Genes

In addition, we analyzed the expression of the currently reported genes responsible for Cd uptake, translocation, and vacuolar storage, and found that the genes responsible for Cd uptake (*NRMP1/NRAMP5/ZIP5*), long-distance transport (*HMA2/CAL1*), and vacuolar storage (*HMA3*) did not differ significantly between YSD and ZH11 (Supplementary Figure S3), except for the significantly down-regulated expression of the Cd uptake gene *ZIP9* in YSD roots (Supplementary Figure S3). These results indicate that the high Cd accumulation characteristics of YSD may not be caused by changes in the expression of these genes.

### 2.8. Expression of Pectin Modification and Metabolism-Related Genes

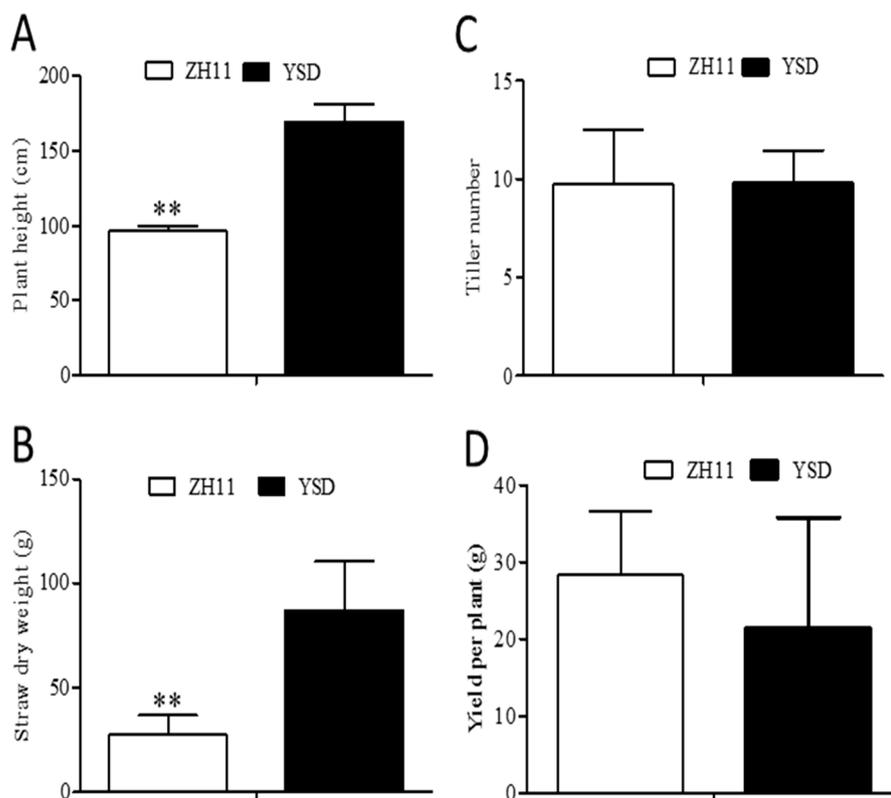
Previous studies found that differences in Cd accumulation between YSD and ZH11 roots were mainly determined by cell wall pectin. We analyzed the expression of genes related to pectin modification and catabolism. In YSD roots, the expression levels of PME genes (*PME22/PME35/PME67/PME32*) and pectin-synthesis-related genes (*Os05g0385116/GAUT3/BYM1*) were significantly higher than in ZH11 roots (Figure 6A), while those of PME-inhibitor genes (*PMEI8/PMEI28*) and pectin cleavage-related genes (*Os05g0213900/PAE5*) were significantly lower (Figure 6A). The PME activity was 51% higher in YSD than in ZH11 roots after Cd treatment (Figure 6B). These results reveal that pectin modification plays an important role in determining root Cd accumulation.



**Figure 6.** Differentially expressed genes (DEGs) involved in cell wall pectin metabolism and PME activity between YSD and ZH11 root under Cd treatments. **(A)** Transcriptional profiling of pectin methylesterase (PME 22/PME35/PME67/PME32), pectin methylesterase inhibitor protein (PMEI8/PMEI28), pectate lyase (Os05g0213900/PAE5) and pectin biosynthesis (CAUT3/BMV1). **(B)** PME activity in leaves of 30-day-old rice treated with 10  $\mu$ M CdCl<sub>2</sub> for 3 days. Data are presented as the means of 3 independent biological replicates ( $n = 3$ ) and vertical bars represent the SD., \*\* indicates significant differences between the cultivars at  $p < 0.01$ .

### 2.9. Comparison of Agronomic Characteristics between YSD and ZH11

We compared the agronomic traits of YSD and ZH11. The plant height of YSD was 175% higher than that of ZH11 (Figure 7A) and the straw dry weight of YSD was 3.15 times that of ZH11 (Figure 7B). There were no significant differences in the tiller number or yield per plant between the two cultivars (Figure 7C,D).



**Figure 7.** Analysis of agronomic characters of YSD and ZH11. Measurement of rice height (A), straw dry weight (B), tiller number (C) and yield per plant (D) at harvest stage. Data are presented as the means ( $\pm$ SD),  $n = 10$ . Significant differences were determined using the Student's *t*-test: \*\*  $p < 0.01$ .

### 3. Discussion

A wild rice variety, YSD, with high Cd accumulation at the seedling and harvest stages, was identified (Figures 1 and 2). Agronomic trait analysis showed that the plant height and straw dry weight of YSD were significantly higher than that of ZH11 (Figure 7). Genome resequencing showed that the mutant genes were significantly enriched in genes related to carbon metabolism (Figure 4D), which might be the reason for the higher biomass of YSD.

The cell wall is the first defense barrier for plant cells. Many studies have shown that different components of the cell wall can chelate Cd and reduce the toxicity of Cd to cells [19–21]. Compared to ZH11, the cell wall components, soluble fraction, and organelles of YSD shoots showed obvious high Cd accumulation characteristics, while only the cell walls in the root showed high Cd accumulation (Figure 3). Genome-wide resequencing showed that 22 genes related to the synthesis and modification of YSD cell walls were mutated (Table 1). Pectin-modifying genes in the roots were significantly changed: the expressions of pectin methylesterase genes and pectin synthesis genes were up-regulated, while those of pectin-inhibiting genes and lytic-related genes were down-regulated (Figure 6). These results suggest that YSD cell wall plays an important role in high Cd accumulation, which may be caused by the enhancement of the Cd apoplast transport pathway.

The transcriptomic analysis of genes responsible for Cd uptake, long-distance transport, and vacuolar storage in YSD roots showed no significant changes compared to ZH11 (Supplementary Figure S3), suggesting that the high accumulation of Cd in YSD may not be caused by these reported genes. Transcriptomics revealed that, when YSD responds to Cd stress, the GSH metabolic pathway is significantly enriched, and the GSH content in YSD plants is significantly reduced (Supplementary Figure S2). GSH maintains the redox balance in YSD cells as a precursor of phytochelatin synthesis, and two proteins of the ABC family in *Arabidopsis* mediate the vacuole storage of the phytochelatin–Cd complex [24–27]. Currently, the gene that mediates PC–Cd transport in rice has not

been identified; thus, it may also be mediated by the ABC family. Therefore, YSD provides valuable germplasm resources for the subsequent cloning of new Cd accumulating rice varieties.

Nicotinamide, as a ligand of some metal elements including Fe, Mn, and Cu, mediates the absorption and transport of NA–metal complexes, with the participation of YSL transporters [28]. Our study found that the expression level and content of nicotinamide synthesis genes in YSD were significantly lower than those in ZH11, and the expression level of YSL12 was significantly lower than that in ZH11 (Supplementary Figure S1A–D). These results suggest that the nicotinamide content and YSL12 expression in rice may be negatively correlated with Cd transport. *In vitro* nicotinamide treatment increased Cd accumulation in rice shoots (Supplementary Figure S1E), but had no effect on Cd uptake in the roots (Supplementary Figure S1F), suggesting that nicotinamide had different effects on Cd uptake and transport *in vivo* and *in vitro*.

#### 4. Conclusions

In summary, we identified YSD, a rice variety with high Cd accumulation that provides excellent germplasm resources for the exploration of Cd accumulation-related genes, and the cell wall modification genes with sequence- and expression-level variations that provide potential targets for phytoremediation. Moreover, our findings suggest that the apoplast cell wall may play a key role in the accumulation of Cd.

#### 5. Materials and Methods

##### 5.1. Plant Materials, Analysis of Agronomic Characters and Growth Conditions

Zhonghua 11 (ZH11) is a commonly used japonica rice variety; whereas, YSD is a rice germplasm collected from Huaihua city with unclear genetic background. The rice was grown in paddy fields contaminated with 0.4 mg/kg Cd in Changsha, until the seeds were harvested. At the mature stage, 10 rice plants were randomly selected from YSD and ZH11 varieties, and the plant height, straw dry weight and yield per plant were measured, respectively.

The conditions for the hydroponic cultivation of the rice in the greenhouse were as follows: the seeds were soaked in water at 30 °C for 36 h in the dark, and the seeds with good germination were planted in 96-well bottomless plates. Rice seedlings were hydroponically cultivated, as previously described [18].

##### 5.2. Plant Sampling and Elemental Determination

At 4 weeks, the hydroponically grown rice seedlings were exposed to the Cd treatments indicated in the following section before being sampled. The xylem sap collected from five plants was pooled into one replicate, and a total of three replicates were used for each line, as previously described [18]. The metal content was determined using inductively coupled plasma mass spectrometry (ICP-MS), as previously described [29].

*In vitro* niacinamide treatment was performed on 4-week-old hydroponic rice seedlings treated with 10 µM Cd, 10 µM Cd + 10 µM niacinamide and 10 µM Cd + 100 µM niacinamide for 3 days, respectively. The content of Cd in the shoots was determined by ICP-MS.

##### 5.3. Extraction of Subcellular and Cell Wall Components and Determination of Cd Content

At 4 weeks, the rice plants were treated with 10 µM CdCl<sub>2</sub> for 3 days. The shoots and roots of the plants were then collected. The subcellular components were extracted by differential centrifugation, as previously described [17]. The extracted cell wall and organelle fractions were dried in an oven, and after drying, the cell wall, organelle, and soluble fractions were digested in 70% HNO<sub>3</sub> (*v/v*), before the Cd concentration was determined by ICP-MS.

Pectin, cellulose, and hemicellulose were extracted according to the methods, as previously described [21]. The Cd concentrations were determined by ICP-MS after dilution. Pectin methylesterase (PME) activity was determined, as previously described [20].

#### 5.4. Whole-Genome Resequencing

Fresh leaves from 10-day-old plants were sampled for the isolation of genomic DNA. An Illumina HiSeq 4000 system (read length 350–500 bp, paired end) belonging to the OE Biotech company (Shanghai, China) was used to perform whole-genome resequencing to distinguish variations in the genomic DNA [30]. A total of 17G of data was generated, covering 40 times the rice genome. Genome-wide single-nucleotide polymorphisms (SNPs) and insertions/deletions (InDels) were identified and characterized between ‘YSD’ and ‘ZH11’ by the OE Biotech company (Shanghai, China).

#### 5.5. RNA-SEQ

The roots of ZH11 and YSD were cultured for 28 days and sampled after 10  $\mu$ M Cd treatment for 3 days. Three plant roots were sampled as replicates and quickly submerged in liquid nitrogen and sent to the OE Biotech company (Shanghai, China) for RNA sequencing analysis. Each replicated sample produced an average of 6G data. Three biological replicates were set. Heat maps of the differentially expressed genes were constructed using the MeV software (<http://www.tm4.org/>, 8 July 2021).

#### 5.6. Determination of Glutathione and Nicotinamide Content

The glutathione (GSH) and nicotinamide content were determined by Suzhou Comin Biotechnology Co., Ltd. Specifically, the GSH in the roots and shoots was measured using a kit (GSH-1-W), with the o-phthalaldehyde fluorescence derivatization method. Nicotinamide was detected as described, with minor modification [31]. First, approximately 0.1 g of the sample was weighed out and 1 mL of mobile phase A was added. The mixture was then homogenized in an ice bath and an ice-bath ultrasound was conducted for 30 min. The sample was centrifuged at  $8000\times g$  for 10 min and the supernatant was collected, filtered using a needle filter, and subjected to high-performance liquid chromatography. A Rigol L-3000 system with a CST Daiso C18 (250 mm  $\times$  4.6 mm, 5  $\mu$ m) column was used. Mobile phase A was 0.05 mol/L sodium acetate aqueous solution (acetic acid was used to adjust the pH to 4.5) and mobile phase B was methanol. The ratio of A to B was 90:10. The injection volume was 10  $\mu$ L, the flow rate was 1 mL/min, the column temperature was 35  $^{\circ}$ C, the sample departure time was 30 min, and a UV detector wavelength of 261 nm was used for the method group.

#### 5.7. Statistical Analysis and Data Availability

The data were analyzed using minimum differential multiple-range comparisons with the SPSS software, and each experiment was carried out with at least three biological replicates.  $p < 0.05$  was considered to indicate a significant difference, and  $p < 0.01$  was considered to indicate a highly significant difference. Charts were prepared with GraphPad Prism 8. The raw data for the whole-genome resequencing and mRNA transcriptome sequencing are available from the corresponding author on request.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12061226/s1>, Figure S1: Nicotinamide treatment promoted the translocation of cadmium in rice. Figure S2: GST transferase expression level and GSH content in YSD and ZH11. Figure S3: Expression level of Cd uptake and translocation gene between YSD and ZH11 root under Cd treatments.

**Author Contributions:** J.-S.L. designed the experiments and analyzed the data; B.G. and Y.H. performed most of the experiments; C.-Z.C. analyzed genomic and transcriptome data; Y.Y. determined the element content Z.Z. and J.-S.L. wrote and revised the manuscript. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** The data presented in this study are available on request from the corresponding author.

**Conflicts of Interest:** The authors declare no conflict of interest.

## Abbreviations

CDTA: cyclohexane diamine tetraacetic acid; GSH, glutathione; ICP-MS, inductively coupled plasma mass spectrometry; PME, pectin methylesterase; SNP, single-nucleotide polymorphism.

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Review

# Plant Tolerance to Drought Stress with Emphasis on Wheat

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**Abstract:** Environmental stresses, such as drought, have negative effects on crop yield. Drought is a stress whose impact tends to increase in some critical regions. However, the worldwide population is continuously increasing and climate change may affect its food supply in the upcoming years. Therefore, there is an ongoing effort to understand the molecular processes that may contribute to improving drought tolerance of strategic crops. These investigations should contribute to delivering drought-tolerant cultivars by selective breeding. For this reason, it is worthwhile to review regularly the literature concerning the molecular mechanisms and technologies that could facilitate gene pyramiding for drought tolerance. This review summarizes achievements obtained using QTL mapping, genomics, synteny, epigenetics, and transgenics for the selective breeding of drought-tolerant wheat cultivars. Synthetic apomixis combined with the *msh1* mutation opens the way to induce and stabilize epigenomes in crops, which offers the potential of accelerating selective breeding for drought tolerance in arid and semi-arid regions.

**Keywords:** CHIP; climate change; epigenetic; genomics; histone code; QTL; transcription factors; transgenic crops

## 1. Introduction

Agriculture is an essential economic activity, as well as a source of food and jobs, which makes its sustainability mandatory [1]. However, the quantity and quality of cultivated crops depend on local weather variables [2] and particularly on water availability. The global warming that we now observe [3] makes it difficult to predict the type of challenges that selective breeders will face to guarantee food security in the near future [4]. Nonetheless, selective breeding for increased tolerance to drought is vital [5] to mitigate inevitable pressures on water supply [6]. With the deficit of water progressively increasing [7–9], its value is being assessed more carefully [10]. In any case, the consequences of global warming suggest that societies will have to adapt their behavior to reach sustainability [11] since water shortages and/or unpredictable supply from one year to the next will remain a recurrent problem for farmers from now on [12,13].

In the mid-XX century, agriculture changed the course of its evolution through the so-called Green Revolution, i.e., the introduction of cultivation protocols involving high-yielding varieties, chemical fertilizers, pesticides, irrigation, and mechanization. Today, together with maize, rice, and soybean, wheat represents a staple food for humanity [14]. However, the pressure for the continuous increase of food production by agriculture is becoming critical, despite the necessity of biodiversity preservation and climate change mitigation. Because wheat is a primary source of calories and proteins, biotic and abiotic stresses may constitute bottlenecks for the human population, whose size continues to grow. In particular, rust disease, a fungal pathogen of cereals, is seen as a foremost threat to the sustainable growth of wheat production [15,16]. Indeed, agricultural practices will need

to keep pace with the intensification of sustainable food production to face the challenge of feeding a world population estimated to reach over nine billion by 2050 [17,18].

Since drought is a major hurdle that limits crop yields, further investigations of wheat drought tolerance-related processes are critical for the genetic improvement of drought tolerance in this crop [19,20].

A document from the Intergovernmental Panel on Climate Change [21] indicated that dangers emerging from climate change could worsen the risks, vulnerability, and unpredictability facing humans and ecosystems. To address these threats, the European Union issued policies in 2021 to “*adapt to climate change in a consistent manner in all policy areas*” and to “*focus, in particular, on the most vulnerable and impacted populations and sectors*” [22]. Taking Egypt as an example, people rely exclusively on irrigation to produce about 9 million of the 20 million tons of wheat consumed annually and import the other half. Because Egypt is currently the largest wheat-importing country in the world, it tries to become less reliant on imports by increasing its production [23]. The authorities promote the increase of wheat production via (i) vertical expansion by way of offering the wheat farmers all their needs including fertilizers, pesticides, advice, laboratory support, and first-class cultivars, and (ii) horizontal expansion by cultivating wheat in new and reclaimed lands. Hopefully, given its political effort, Egypt may have witnessed in 2019 a ranking of 5th among the regions of high grain productivity [24] with the benefit of introducing new cultivars [25]. Because rain precipitation may decrease in the future, the wheat yield of this country could fall by as much as 9% in 2030 and by 20% in 2060 [3,26].

Because we are concerned about plant adaptation for tolerance to drought-stress, with particular emphasis on wheat production challenges in the era of climate change, we focused this review on selective breeding through genetics and epigenetics in an effort to integrate data and concepts. We concluded that synthetic apomixis combined with the *msh1* mutation opens the way to induce and stabilize epigenomes in crops, which offers the potential for accelerating selective breeding of drought-tolerant crops for arid and semi-arid regions.

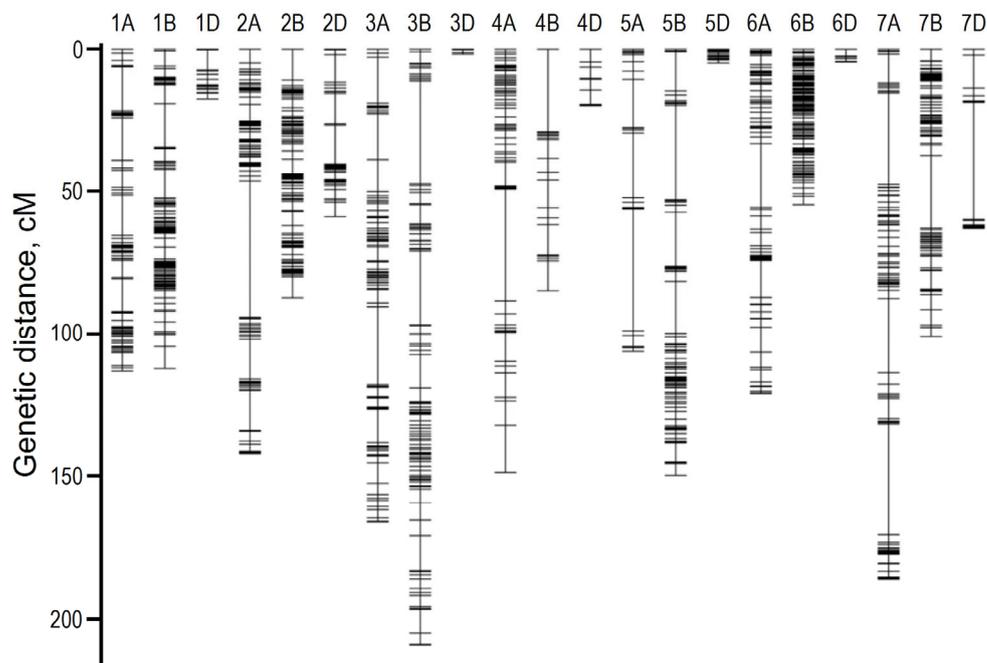
## 2. QTL Mapping for Drought Stress Tolerance in Plants

Drought-tolerance refers to polygenic traits or quantitative trait loci (QTL) identified in crop plants (Table S1a,b). Conceptually, drought tolerance occurred during the transition of plants from water to land, and the role of drought tolerance was to allow this transition [27]. Some of the drought-related-QTLs are associated with root architecture, plant biomass, water soluble carbohydrates, membrane stability index, and grain yield. Some of these QTLs are linked to agronomic or physiological traits associated with drought-stress and can contribute up to 20% phenotypic variation [28]. Examples of QTLs involved in drought stress tolerance and their related candidate genes in model plants are given in Table S2 [29]. For some other interesting references on QTLs of drought tolerance in crops of economical importance, see [30–48].

Genome-wide association study (GWAS) of wheat has been used to map QTLs associated with seedling drought tolerance [49]. Multiple significant QTLs associated with seedling drought tolerance were identified on chromosomes 1B, 2A, 2B, 2D, 3A, 3B, 3D, 4B, 5A, 5B, 6B, and 7B. Twelve stable QTLs responded to drought stress for various traits; among them were shoot length and leaf chlorophyll fluorescence, which were good indicators of drought stress tolerance. Some QTLs of wheat detected by Maulana et al. [49] co-localized with previously reported QTLs (i) for root and shoot traits at the seedling stage and (ii) for canopy temperature at the grain-filling stage. Some significant single-nucleotide polymorphisms (SNPs) were identified in candidate genes involved in plant abiotic stress responses, which will allow marker-assisted selective breeding for drought tolerance at the seedling stage [50].

The combination of GWAS and QTL mapping at seedling stage has revealed candidate genes and SNP networks controlling traits of recovery and tolerance associated with drought in seedlings of winter wheat [51]. Mathew et al. [52] found that drought

tolerance and drought-recovery were only weakly correlated. Interestingly, most QTLs associated with drought-recovery were different from those associated with drought tolerance. These authors also colocalized SNPs with 14 genes, as shown in Table S1a. The markers complete the genetic map of QTLs for drought tolerance that was developed by Hussain et al. [53] by mapping SNPs obtained through crossing drought-tolerant (Harry) and drought-susceptible (Wesley) lines (Figure 1).



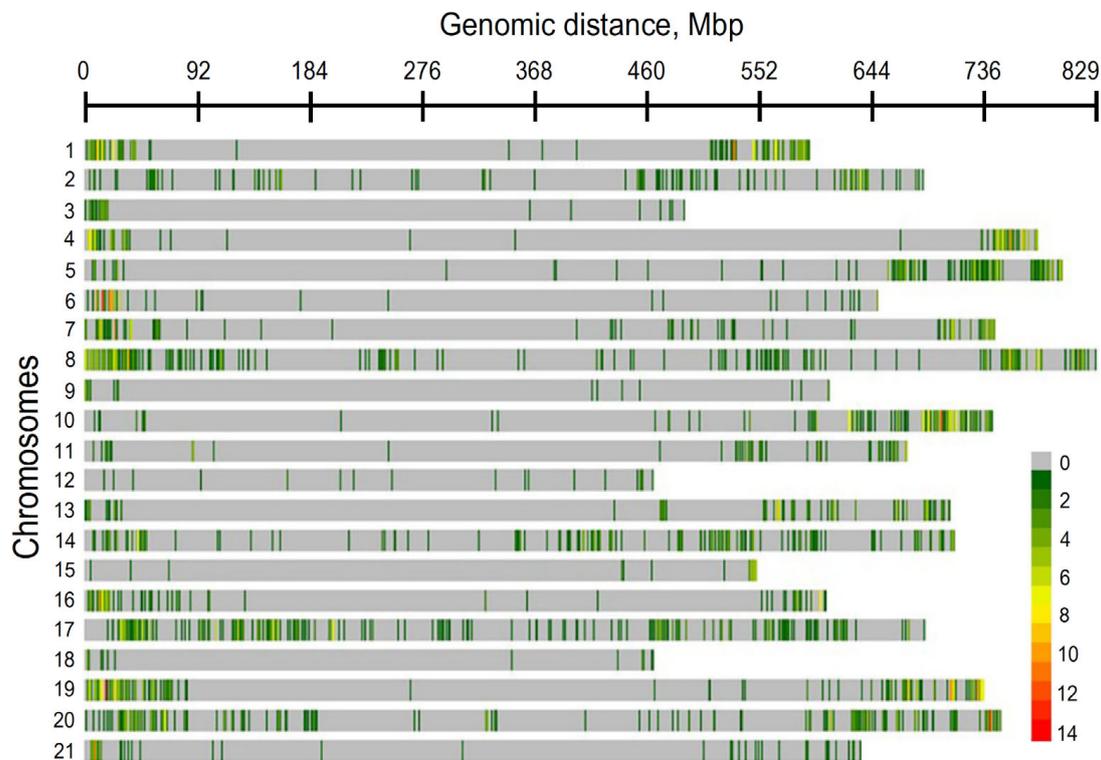
**Figure 1.** Linkage map constructed from genotyping-by-sequencing derived SNPs in a recombinant inbred population obtained from a cross between drought-tolerant (Harry) and drought-susceptible (Wesley) lines of wheat (adapted with permission from ref. [53], Copyright 2017 owned by Nature. More details on “Copyright and Licensing” are available via: <https://creativecommons.org/licenses/by/4.0/>).

The genetic map by Hussain et al. [53] clearly shows a distortion of the physical map of drought-associated SNPs as reported by Sallam et al. [51] (Figure 2). The biased distribution of transcriptionally active regions along cereal chromosomes was also reported by other authors [54,55]. The same observation was made for QTLs associated with other traits [48]. Despite regular Giemsa banding along *Hordeum vulgare* [56], Figure 2 clearly shows that pericentromeric areas are generally empty of drought-associated SNPs, which tend to be more frequent at the distal chromosome extremities. This trend has been recognized since the decade of the 1990s [57–60] and suggests a distal preference for transcriptionally active genes along plant chromosomes [61] correlating with a higher crossing-over frequency [60,62].

The high rate of synteny in Poaceae [63–66] is expected to help to find orthologous genes in other cereals [67]. Thus, it is interesting to look at genes for drought tolerance in other plant crops of this group and even in other plant models [48,68–72]. In this sense, a methodology was developed to identify key drought-adaptive genes and the mechanisms in which they are involved, to test their evolutionary conservation. Empirically defined filtering criteria were used to facilitate a robust integration of microarray experiments from *Arabidopsis*, rice, wheat, and barley available in public databases [73].

*Sorghum* (*Sorghum bicolor* L. Moench) is a C4 cereal crop adapted to different environmental conditions, including drought-prone areas where many other staple crops fail [74]. It is among the most drought-adapted cereal crops, but its adaptation is not yet well understood. Transcriptome analysis of drought tolerance at the seedling stage revealed that about

half ( $n = 70$ ) of the up-regulated genes in response to drought were novel with no known function and the remainder were TFs, signaling and stress-related proteins implicated in drought tolerance in other crops [75].



**Figure 2.** Distribution of SNPs on wheat chromosomes in a biparental mapping population derived from crossing drought-tolerant (Harry) and drought-susceptible (Wesley) inbred lines (adapted with permission from ref. [51], Copyright 2022 owned by Elsevier. More details on “Copyright and Licensing” are available via: <https://creativecommons.org/licenses/by/4.0/>).

In sorghum, premature leaf death occurs when water starts to be limiting during the grain filling stage. Premature leaf senescence, in turn, leads to charcoal rot, stalk lodging, and significant yield loss. Most sorghum cultivars have pre-flowering drought tolerance, but many do not have any significant post-flowering drought tolerance. Stay-green is one form of drought-tolerance mechanism giving sorghum a tolerance to premature senescence under soil drought-stress during the post-flowering stage. The stay-green trait results in larger functional photosynthetic leaf area during grain filling and even after physiological maturity [76]. In sorghum, four genomic regions associated with the stay-green trait were identified in all field trials and accounted for 53.5% of the phenotypic variance [77]. In wheat, deciphering stay-green revealed variations in the exons of *CaO* and *RCCR* associated with a significant difference in the regulation of *CaO* and *Cab* at seven days after anthesis under terminal heat stress [78].

### 3. Genomics

As outlined above, plant species may use various drought adaptive mechanisms depending on their biological setup, which reflects an adaptive evolutionary genome strategy, given their environment. Still, for most plant species, the eco-physiological and genetic mechanisms underlying variation for drought adaptation in the field are not known. Thus, deciphering the mechanisms of drought adaptation remains a foremost challenge in plant biology and breeding [79–81].

### 3.1. Genome Phenotype and Genome Strategy

In vertebrates, the genome was shown to be organized into two major components with specific functionalities [82] according to guanine plus cytosine (GC) content. The base changes during vertebrate evolution were such that the GC-poor compartment remained poor in cold-blooded vertebrates (fishes, amphibians, reptiles), but their GC-rich compartment became even richer in GC during their transition to warm-blooded vertebrates (mammals and birds). This compositional transition led to a range of functional adaptations at the molecular level [82]. The effect of this major transition [83] on the genome structure of modern species of both groups can still be observed through GC composition at the regional level, which led Bernardi to coin the term isochores in 2007 for DNA stretches larger than 300 Kbp that do not vary by more than an average standard deviation of ~2% GC [84].

The concept of genome phenotype correlates with that of genome strategy and this last concept was recognized through codon usage analyses by Grantham et al. [85] and extended to plant genomes by Carels [86]. Indeed, as noted by Grantham et al. [85] “Systematic third base choices can be used to establish a kind of genetic distance, which reflects differences in coding strategy. The patterns of codon choice we find seem compatible with the idea that the genome and not the individual gene is the unit of selection. Each gene in a genome tends to conform to its species’ usage of the codon catalog”. By extension, Grantham et al. [85] suggested that selection acts on genomes by shaping coding sequences through their codon usage, which would comprise an adaptive strategy given an evolutionary history resulting in particular features of the genome phenotype. Carels [86] recognized the difference in the organization of intergenic sequences in humans and maize despite their similarity in genome size and bias of GC composition of codons. This is particularly clear when comparing the compartmentalization in the maize gene space to the compartmentalization in the human genome, which shows that the compositional regression line of GC in the 3rd position of codons with intergenic sequences is too steep in maize to have a complete separation between GC-poor and GC-rich compartments. The slope of the maize orthogonal regression line is about four times steeper than that of the human one, which leads to about seven times the contraction of the gene space compared to humans. As a result, only ~3% GC separates the GC-poor and GC-rich compartments. Interestingly, zein genes, which are expressed only during the embryonic phase, are located in the GC-poor compartment [86]. This situation mimics the expression of embryonic genes in the vertebrate GC-poor compartment. More recently, the concept of genome strategy as a response to adaptation to environmental challenges was recognized in bacteria [87]. Surprisingly, almost all the interval of GC variation observed among bacterial species [88], the so-called universal correlation, is found within the genomes of species of warm-blooded vertebrates. This implies that genes are spread over GC-poor as well as GC-rich contexts offering a richer range of promoter sequences for interaction with TFs than might be the case with only one GC-poor compartment. Therefore, one may see in genome compartmentalization according to regional composition an opportunity for a more diverse genetic code exploration on which environmental selection may operate. A type of driving adaptation that has been proposed is the maximization of energy use in challenging conditions [89]. Another functional correlation that could also operate is a trend for larger use of beta-sheets in proteins from GC-poor genes and of turns in proteins from GC-rich genes [89].

Considering plants, it is interesting to note here that a compositional transition occurred in Poaceae compared to other monocots and dicots [90], which is very clear when considering the third positions of codons [91,92]. However, when considering the intergenic sequences, the transition occurred in the shifting mode [93] and an isochores structure typical of warm-blooded vertebrates could not be found [86]. By contrast, the existence of the GC-rich compartment inside the gene space of maize indicates that some long-term process of regional GC enrichment mechanism has played a role in the genome compartmentalization at sequences of a size around ~100 kb, in the past history of maize. This compartmentalization that results from the correlation between the compositional distri-

bution of GC-poor, GC-rich genes, and ~100 kb sequences is striking since it fits with the compositional distribution and interspersion rate of retrotranspon families in the gene space. The organization in GC-poor and GC-rich compartments still exists with the gene space preferentially within the GC-rich compartment [86]. The absence of an isochore structure may reflect the different chromosome and nucleus organization between plants and vertebrates.

Vertebrates have a complex Giemsa banding that correlates with isochore distribution [94,95] and nucleus spatial organization [95,96] following the fractal globule model of chromosome distribution [97,98]. The degree of DNA condensation of human chromosomes is relatively low compared to that of plants for which there may be more associated proteins on their surface; hence a longer digestion time is needed [56,99] for karyotype preparation. Since transcriptionally active regions show a distal preference for gene location along plant chromosomes (especially in the cases of large genomes) as in vertebrates [54,55,61,84,100], one may conclude that pericentromeric euchromatin islands are richer in GC-poor genes [101,102], which are known to be less active transcriptionally [61,103] and under other regulation processes [104].

In contrast to warm-blooded vertebrates where centromeric regions and heterochromatin are packed on the nucleus membrane, while euchromatin loops toward its center [95], interphase plant cells present two types of spatial organization [105]: (i) Rosette, in *Arabidopsis*, i.e., heavily methylated interspersed heterochromatin segments of chromosomes that interact with their centromeres (B compartment) to form chromocenters, while euchromatin (A compartment) oozes from chromocenters as loops spanning 0.2–2 Mbp. Euchromatin loops are rich in acetylated histones, whereas chromocenters contain less acetylated histones [106]. (ii) Rabbi, in cereals, i.e., telomeres and centromeres of chromosomes cluster at two different poles in the nucleus [107]. The interphase chromosome distribution in *Arabidopsis* follows the fractal globule distribution as in vertebrates [108], but the 3D distribution of Rabbi chromosomes is quite different since they have a parallel spatial arrangement [109]. These different chromosome distributions in interphase cells of vertebrates and plants are another layer of genome phenotype corresponding to a genome strategy coding for a molecular strategy pervading the whole cell machinery [89,91,110]. Such a biological framework cannot be modified within a short time, which means that it can be, eventually, negatively selected and lead to extinction in case of low fitness for drastic environmental changes since it implies a long evolutionary history correlated to evolutionary inertia to produce them.

It is clear from the discussion above that Poaceae occupy a special place in the spectrum of genome organization in angiosperms. Even if intergenic sequences are relatively homogeneous in composition, GC-rich genes have CpG islands in their promoter regions, while this is not the case for GC-poor genes [93]. Since recombination appears to be redirected to gene promoters and CpG islands [111] in humans, it is likely to be the case in plants as well because of the similarity of chromatin features between both biological systems. As outlined above, these regulatory domains may indicate adaptative peculiarities in Poaceae compared to other dicots and monocots, such as a potential for higher tolerance to various abiotic stresses.

### 3.2. Wheat Genome

During GFAR [112], it was stated that scientists from the International Maize and Wheat Improvement Center (CIMMYT) would sequence the genomes of 15 wheat varieties from breeding programs worldwide. This initiative enabled scientists and breeders to identify much faster the key genes able to improve yield as well as tolerance to heat, drought, pest, and other important crop traits.

Wheat is the largest crop genome decoded to date [113]. For sake of comparison, the largest plant genome to date is the Japanese andromeda (*Paris japonica* (Franch. and Sav.) Franch.) with 149 Gbp and the smallest is *Arabidopsis thaliana* L. with 125 Mbp. The wheat haploid genome (~17 Gbp) is about five to six times larger than the human one,

so it is a complex structure comprised of three independent genomes. Each sub-genome accounts for ~5.5 Gbp [114]. The high proportion of repetitive DNA (retrotransposons covering >80% of the genome) [115] makes it difficult to decrypt the genome structure of this crop. However, the mapping of markers such as (i) expressed sequence tags (EST), (ii) SNPs, and (iii) contigs (see: <https://wheat.pw.usda.gov/cgi-bin/GG3/browse.cgi>, accessed on 14 January 2023) is helping in this task and a complete genome sequence is being regularly updated by Ensembl (see: [https://plants.ensembl.org/Triticum\\_aestivum/Info/Index](https://plants.ensembl.org/Triticum_aestivum/Info/Index), accessed on 14 January 2023). Until now, more than 1.07 million wheat ESTs were sequenced [116,117], and there are BAC libraries for each of the three sub-genomes (A, B, and D) covering each specific chromosome or chromosome arm. According to the IWGSC Annual Report from 2021, the “WGSC Annotation v2.1 contains 266,753 genes, comprising 106,913 high confidence (HC) genes and 159,840 low confidence (LC) genes” ([https://www.wheatgenome.org/content/download/4298/40943/version/1/file/2021\\_IWGSC\\_AnnualReport.pdf](https://www.wheatgenome.org/content/download/4298/40943/version/1/file/2021_IWGSC_AnnualReport.pdf), accessed on 14 January 2023). BLAST searchable wheat genomic sequences are now available at [https://wheat.pw.usda.gov/cgi-bin/seqserve/blast\\_wheat.cgi](https://wheat.pw.usda.gov/cgi-bin/seqserve/blast_wheat.cgi) (accessed on 14 January 2023).

When investigating the genome of *T. aestivum* cv. ‘Chinese Spring’, *T. urartu*, *Aegilops speltoides*, *T. turgidum* cv. Cappelli, *T. turgidum* cv. Strongfield by whole genome high-throughput shotgun sequencing, class I retroelements were confirmed to be the most abundant. Compared to B, class II mobile elements (DNA transposons) were more frequent in the A and D genomes, respectively [115].

The diploid wheat A and D genome were sequenced first to detect their homologous genes. Shotgun sequencing of wheat genome was initially performed with SOLiD, 454, and Illumina [118]. However, the large quantity of repeat DNA made it difficult to assemble the complete genome. To overcome this difficulty, sequencing was combined with SNP markers, physical and genetic mapping [119–121]. GWAS combined with Targeted Induced Local Lesions in Genome (TILLING) was used to annotate the tetraploid and hexaploid wheat gene function as well as to characterize the genetic diversity and ancestral relations between populations [122]. In drought conditions, a larger number of genes differentially expressed were mapped on the B genome than on the other subgenomes, particularly on chromosomes 3B, 5B and 2B. These genes were involved in 116 different pathways [123].

### 3.3. Genomic Contribution to Understanding the Molecular Bases of Wheat Response to Stress

Plants offer a living context for a wide range of molecular interactions to occur among different stress factors. The large number of genes controlling abiotic stress [124] is a challenge that obliged the adoption of multi-omics strategies [125].

Since map-based cloning of genes for tolerance to abiotic stresses is still difficult with forward genetic strategies in wheat (by seeking the genetic basis of a phenotype—typically by inducing mutations), reverse genetic methods (by seeking to learn which phenotypes are controlled by particular genetic sequences) have been widely used to identify heat-responsive genes in wheat. Transcriptome analysis including microarray and RNA-seq are high-throughput strategies to detect differentially expressed genes that were used to analyze the response to heat stress [126]. For instance, differentially expressed genes (DEGs) in wheat grain and flag leaf enabled the identification of three genes, *TaFBR1*, *TaFBR2*, and *TaFBR3*, which may be crucial for wheat’s ability to increase its thermotolerance [127]. The resistance of genes to harmful environmental conditions that could be involved in flavonoid synthesis has been extensively investigated [128]. For instance, a prior study showed that *TaFSL1* improved transgenic Arabidopsis’ ability to tolerate salinity by causing primary root extension when compared to control plants [129]. In another transcriptome investigation, *TabZIP60*, a transcription factor encoding gene, was up-regulated as a result of heat stress and controlled the expression patterns of 1104 genes [130].

Because of their sessile standing, plants are continuously challenged by various biotic and abiotic stresses during their life cycle [131]. To cope with this challenge, plants have evolved complex molecular mechanisms to adapt to these abiotic stresses. As has

been shown, DNA methylation and non-coding RNAs (ncRNA) are involved in the post-transcriptional regulation of wheat response to heat [130]. The discovery of miRNAs and their regulatory mechanisms for controlling genes involved in various developmental, biological, and stress responses has advanced our understanding of gene regulation in plants [132]. miRNA appears to target many genes. For instance, in the case of the tolerance to salinity in roots, 75 miRNAs were identified by *in silico* investigation to possibly target 861 mRNA. The genetic development of wheat cultivars may be aided by this information, which might promote our understanding of how miRNAs mediate the molecular mechanisms of tolerance to abiotic stresses [133].

LncRNAs are a diversified class of RNAs generally defined as transcripts larger than 200 nucleotides that are not translated into protein. LncRNAs include intergenic lincRNAs, intronic ncRNAs as well as sense and antisense lincRNAs involved in the control of transcriptional regulation and genome imprinting in processes such as plant development, disease resistance and nutrient acquisition, through chromatin remodeling, histone modification, pri-mRNA alternative splicing, or acting as ‘target mimicry’ (see refs in [131]). The analysis of differentially expressed lincRNAs, miRNAs, and genes has revealed regulatory networks of lincRNA-miRNA-mRNA modules involved in response to drought stress in wheat [134].

#### 4. Epigenetic Modifications

There is growing evidence indicating that plants implement sophisticated epigenetic mechanisms to fine-tune their responses to environmental stresses. Epigenetic processes involving DNA methylation, histone modification, chromatin remodeling, and ncRNAs make up wheat’s response to drought stress. Changes in chromatin, histone, and DNA mainly serve the purpose of memorizing past stress events and enable plants to deal with climate challenges and thrive in stressful environments.

Epigenetics is the study of heritable phenotypic changes that do not involve alteration of the genetic code itself. Epigenetics includes DNA methylation [135], histone modifications, histone variants, and ncRNA modifications that influence the structure and accessibility of chromatin [136] to transcription machinery and alter gene expression [137]. An increased number of investigations have shown the participation of epigenetic mechanisms in the response of plants to abiotic stresses [138]. Therefore, deciphering the epigenetic codes of plant stress response could be of great significance for the selective breeding of drought-tolerant crops [139].

Plants respond through various short-term and long-term strategies depending on whether the stress is permanent or transitory. Short-term strategies include alteration in plant homeostasis while long-term strategies include trans-generational changes involving the development of heritable gene expression changes. This mechanism consists of creating new epigenetic marks while erasing old ones as well as increasing the expression of some genes while silencing the expression of others [140]. Epigenetic reprogramming in response to various environmental challenges contributes to phenotypic diversity as well as tolerance to these challenges [141]. According to Priyanka et al. [141], the mechanism of an epigenetic process can be divided into three stages: (i) Epigenator, which is a trigger, such as foods, toxins, radiation, or hormones, that alters the cells’ environment to produce an epigenetic phenotype. These triggering cues are transient but last long enough to initiate the epigenetic process. (ii) Epigenetic initiator, which translates the epigenator signal into an epigenetic modification of chromatin. An epigenetic initiator is primed by the epigenator and determines the location on a chromosome that should be marked. (iii) Epigenetic maintainer, which sustains the chromatin environment in the current and succeeding generations. Persistence of the chromatin configuration may require cooperation between the initiator and maintainer.

#### 4.1. DNA Methylation

As proven by the analysis of Methylation Sensitive Amplification Polymorphism (MSAP), plants can remember their past environmental experience and retrieve their associated information [142,143]. DNA demethylation is an active DNA repair-related mechanism. For example, the Decreased DNA Methylation 1 (DDM1), a nucleosome remodeler involved in the maintenance of DNA methylation, and the Repressor of Transcriptional Silencing 1 (ROS1), a primary factor required for active DNA demethylation, were determined to be involved in UV-B DNA damage repair. A short-term memory induced by a single stress on the parent resulted in epigenetic modifications that were also observed in their first offspring and were reprogrammed in further generations. By contrast, multiple stresses led to long-term memory, and parent modifications were transferred to several generations [144]. Short-term memory allows plants to remain tolerant to certain stresses for up to about 10 days. By contrast, long-term stress memory is regulated by epigenetic modifications and can potentially last for the whole life of plants suffering from continuous stress; ultimately, it may be transferred to the offspring. The transgenerational epigenetic memory of meiotic prophase chromatin features may be inherited from interphase somatic imprinting since plants do not have germline. This long-term stress memory plays an important role in the adaptation and evolution of plants [145]. Epigenetic regulation of genes depends on the types of epigenetic marks and their position on genes [146]. In plants, DNA methylation happens in diverse types of cytosine contexts, including CpG, CpHpG, and CpHpH (where H represents A, T, or C); methylation at these sites is catalyzed by METHYLTRANSFERASE 1 (MET1), CHROMOMETHYLASE 3 (CMT3), and DOMAINS REARRANGED METHYLTRANSFERASE 2 (DRM2), respectively. In Arabidopsis, DNA methylation can be erased by members of the DNA glycosylase family of demethylases, including DEMETER (DME), ROS1, DEMETER-LIKE 1 (DML1), DML2, and DML3.

#### 4.2. Histone Code

The N-terminal extremity of histone is known as the *tail* and is rich in basic amino acids such as lysine and arginine. In addition to DNA methylation, covalent modifications to histone tails, such as methylation, acetylation, phosphorylation, ubiquitination, sumoylation, glycosylation, and ADP-ribosylation constitute another type of epigenetic code, which is conserved across different kingdoms. Modifications to histone tails alter chromatin packaging with the consequence that condensed chromatin structure, such as in the B compartment, results in transcription inhibition because the transcription machinery is unable to access DNA, while loose chromatin, such as in the A compartment, results in transcription activation [147].

According to the histone code hypothesis, the transcription of genetic information encoded in DNA is in part regulated by chemical modifications carried by histone proteins on their unstructured ends (tail) [148]. Unlike acetylation, methylation shows a diverse pattern; several different arginine and lysine residues (R3 of H2A, R3, K20 of H4 and K4, K9, K27, K36, R2, and R17 of H3, etc.) can undergo various types of methylation such as mono, di or tri-methyl residues (arginine undergoes mono and di-methylation only while lysine can undergo mono, di and tri methylation). Depending on the type of methylation, histones can either activate or inhibit the expression of a gene. For example, H3K4 trimethylation activates transcription but K9 and K27 dimethylation in H3 acts as a transcription repressor. Methylation does not alter the net charge of histone-like acetylation but it affects hydrophobicity by the addition of methyl groups and hence may change histone DNA interactions or may create a binding site for various proteins that promote or inhibit the transcription machinery. Histone lysine methyl transferases (HKMT) and protein arginine methyl transferases (PRMT) are responsible for lysine and arginine methylation, respectively. As an example, CAM plants switch from the C3-photosynthetic cycle to the CAM pathway to reduce water loss in case of drought stress [149]. This switching process from the C3 to CAM pathway is coupled with the activation of genomic methylation and hypermethylation of satellite DNA [150].

### 4.3. Histone Modifications

ChIP-seq and spectrophotometer analyses of the four histone modifications, histone H3 lysine4 trimethylation (H3K4me<sub>2</sub>), H3K4me<sub>3</sub>, H3K9me<sub>2</sub>, or H3K27me<sub>3</sub> in seedlings of *Arabidopsis* plants under salt and drought stress, revealed that the priming treatment (under which seeds are rehydrated and then dried back just before germination) altered the epigenomic landscape. After re-watering, leaf water potential, membrane stability, photosynthetic processes, reactive oxygen species (ROS) generation, anti-oxidative activities, lipid peroxidation, and osmotic potential completely recover in the case of moderately stressed plants but do not fully recover in severely stressed plants [151–153]. When rewatered, plants recover by growing new shoots. The extent of recovery due to rewatering strongly depends on drought intensity, duration, and plant genetics. In wheat, higher photosynthetic rates during drought and rapid recovery after rewatering produce less-pronounced yield declines in tolerant cultivars than in susceptible ones, which suggests that their ability to maintain functions during drought-stress and to quickly recover after rewatering are important factors determining their final productivity [65].

The output of gene transcription is influenced by the input of stress signals due to the well-documented trans-cis interaction between TFs and target DNA regions. However, there are still gaps between the transcription of genes and the anchoring of TFs, and these gaps in chromatin accessibility may be of fundamental importance. Histone methylation is one of the most functionally diverse epigenetic mechanisms because it can either exert activation or repression on target genes depending on the location of lysine residues, in contrast to DNA methylation or histone acetylation, which mark gene repression and activation, respectively [154]. Sani et al. [155] showed that priming-induced changes also depended on the specific lysine residues that were methylated. Despite being small, the changes were confirmed by semi-quantitative-PCR; they varied in number and preferentially targeted TFs. Several genes with priming-induced differences in H3K27me<sub>3</sub> showed altered transcriptional responsiveness to a second stress treatment but the number of location-specific changes of H3K27me<sub>3</sub> decreased, suggesting that the memory fades over time. In a genome-wide investigation of the gene expression profile in rice under drought-stress, differential H3K4me<sub>3</sub> methylation was found to be significantly and positively correlated with transcript level only for a subset of genes under drought stress conditions. Moreover, for the H3K4me<sub>3</sub>-regulated stress-related genes, the H3K4me<sub>3</sub> modification level was mainly increased in genes with low expression and decreased in genes with high expression under drought-stress [156].

Indeed, histone modifications, such as H3K4me<sub>3</sub>, H3K9ac, H3K9me<sub>2</sub>, H3K23ac, H3K27ac, H3K27me<sub>3</sub>, and H4ac, along with DNA methylation could be correlated with gene expression in response to abiotic stresses [157]. Some of the histone modifications occur quickly in response to environmental variations, while others occur gradually according to the challenges imposed on gene expression to control physiological homeostasis and development under environmental stress. Since histone acetylation tends to induce gene activation its removal can lead to gene repression and silencing. Although changes in histone modifications can be correlated with gene activity, the molecular mechanisms through which the chemical modifications influence the chromosomal structure and the accessibility of TFs are still not fully understood.

Plants memorize abiotic stress when exposed to it and can better tolerate it when experiencing it again later on [158]. The memorization process induced by DNA and histone modifications occurs through (i) the up-regulation of small RNAs (micro-RNAs or miRNAs) and short interfering RNAs (siRNAs), (ii) the down-regulation of repressors (specific proteins inhibiting transcription), and (iii) the down-regulation of specific siRNAs, which induce the up-regulation of activators required for the regulation of plant hormone and transcription factors. In *Arabidopsis*, in addition to siRNAs, it has been shown that lncRNA also participates in the regulation of plant stress-responsive gene expression via DNA de novo cytosine methylation through the RNA-directed DNA methylation (RdDM) pathway. RNAPIV-generated siRNAs could be loaded to Argonaute 4 (AGO4) and interact

with lncRNAs generated by RNAPII to constitute a siRNA–AGO4–lncRNA silencing complex, which subsequently recruits the DMT domains rearranged methyltransferase 2 (DRM2) to mediate DNA de novo cytosine methylation. Mutants deficient in NRPD2, an essential subunit of RNAPIV, were hypersensitive to heat stress, suggesting that the RdDM pathway is essential to the regulation of plant stress responses (see refs in [159]).

Interestingly, the family of SWI2/SNF2 chromatin remodeling complexes can regulate the methylation of both DNA and histone marks for gene activation under environmental stresses [160], as was shown for drought tolerance in rice [161]. Examples of histone modifications and chromatin remodeling are (i) rice SWI/SNF2 ATPase BRHIS1 that regulates the expression of disease defense-related *OsPBZc* and *OsSIRK1* genes through specific interaction with mono-ubiquitinated *H2A.Xa/H2A.Xb/H2A.3* and *H2B.7* variants at those gene loci, (ii) Arabidopsis BRM that represses the expression of heat-activated *HSEA3* and *HSP101* genes by removing H4K16ac at their chromatin loci through interaction with HD2C, and (iii) PWR proteins that form a chromatin-remodeling complex with HDA9 and ABI4 to repress the drought-responsive *CYP707A1/2* genes in Arabidopsis. Histone modifiers and transcription regulators also coordinate chromatin dynamics and nucleosome configurations at transcription sites to modulate gene expression [162].

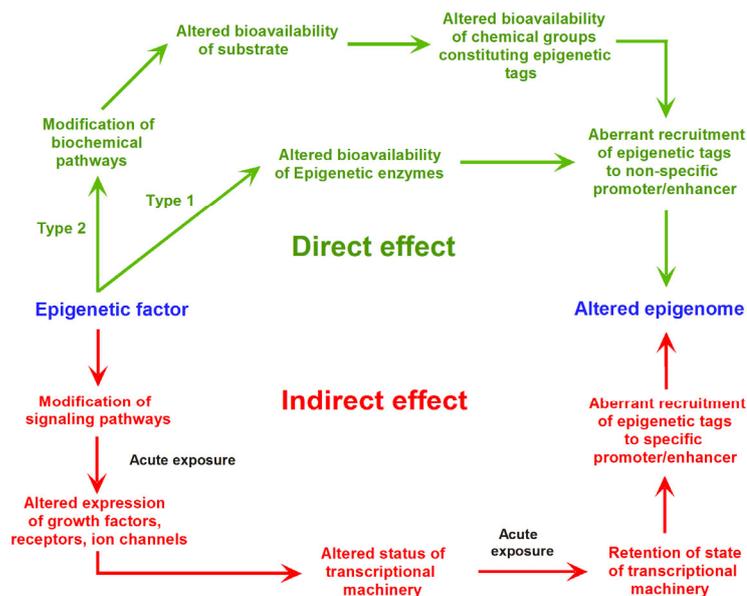
The interplay between histone modifications and DNA methylation provides plants with a multifaceted and robust regulatory circuitry for transcriptional reprogramming in response to stress. For example, DNA methylation changes and various histone modifications, such as H3K4me3, H3K9ac, and H3K9me2 are concertedly regulated for transcriptional activation or repression of the salt-responsive genes such as *Glyma08g41450*, *Glyma11g02400*, *Glyma20g30840* in soybean as well as *SUVH2/5/8*, *ROS1*, *MSH6*, *APUM3*, *MOS6*, and *DRB2* in Arabidopsis. The rice transcriptional complex SUVH7-BAG4-MYB106, consisting of a DNA methylation reader, a chaperone regulator, and a TF, activates *OsHKT1*. In addition, the expression of the Arabidopsis pathogenesis-related *SNC1* gene is cooperatively regulated by the chromatin-remodeling proteins CHR5 and DDM1/SYD for nucleosome occupancy and DNA methylation, along with the histone modifiers HUB1/2 and ATXR7/MOS9 for H2Bub and H3K4me3, respectively, in plant immune responses [163].

The HDA9-PWR-ABI4 complex plays a central role in the regulation of the molecular response to drought stress through the inhibition of ABA catabolism to promote the accumulation of active ABA, thereby protecting plants against dehydration. ABA regulates several physiological processes, including plant growth and development, flowering time as well as leaf size and morphology. Further investigations are required to understand how this complex modulates genes activated by ABI4 at a chromatin level [164].

## 5. Chromatin Structure

Chromatin immunoprecipitation or ChIP is a method used to investigate the dynamic interactions of specific proteins and DNA sites. These interactions have a significant role in various cellular processes such as replication, transcription, DNA damage repair, genome stability, and gene regulation [165]. This technique allows the study of various cellular mechanisms inside cells according to protein–DNA interactions. As the name chromatin immunoprecipitation suggests, this method allows the identification of DNA–protein interactions by immunoprecipitation. ChIP is being extensively used to depict TFs, variants of histone, chromatin-modifying enzymes, and histone post-translational modifications [166,167]. ChIP followed by high-throughput sequencing (ChIP-seq) is used to study genome-wide protein–DNA interactions to understand gene regulation in native chromatin. ChIP-seq is used to identify, map, and characterize the specific DNA fragments that interact with proteins in vivo [168].

ChIP assays have been successful in identifying the histone modifications responsible for epigenetic regulation. However, the results obtained by ChIP assays provide only indirect candidate residues that may be targets of modifications [136]. Thus, gathering direct or indirect pieces of evidence that enable correlation of the functions of histone modifications with the plant epigenetic landscape is still a challenge, as shown in Figure 3 [169].



**Figure 3.** Ways that an epigenetic factor might affect the epigenome and change how genes are expressed. Direct and indirect influences on the epigenome might result from epigenetic changes produced by an external source or intrinsic environment. Red is for epigenetic factors with indirect impacts, while green is for factors with direct effects. Here, epigenetic factor is understood as any molecule, such as ncRNAs, metabolites, or phytohormones induced by endogenous or environmental stresses, able to induce global changes affecting the DNA methylation of multiple genes or modify the expression of specific genes (adapted from ref. [169], Copyright 2014 owned by Frontiers. More details on “Copyright and Licensing” are available via: <https://creativecommons.org/licenses/by/4.0/>).

The mapping of chromatin by CHIP-seq in seedlings of allohexaploid wheat enabled detection of distinct chromatin architectural features surrounding various functional elements including genes, promoters, enhancer-like elements, and transposons [170]. Thousands of new gene regions, trans- and cis-regulatory elements were identified based on the combinatorial pattern of chromatin features. As expected, the subset of genome active regulatory elements that include promoters and enhancer-like elements (roughly 1.5%) is characterized by a high degree of chromatin openness, histone acetylation, abundance of CpG islands, and low DNA methylation levels since they are associated with genes, which are found in their majority in the A compartment [147]. A comparison across sub-genomes revealed that negative selection is targeting sequence and chromatin features involved in gene regulation. The divergent enrichment of cis-elements between enhancer-like sequences and promoters implies that these functional elements are targeted by different TFs [170].

In mammals, chromatin analyses revealed that: (i) low recombination domains and regions of elevated linkage disequilibrium (LD) tend to coincide with topologically associated domains (TAD) and isochores; (ii) double-strand break (DSB) and recombination frequencies increase in the short loops of GC-rich TADs, whereas recombination cold spots are typical of lamina-associated domains (LAD), which mediate chromatin tethering to the lamina of the nuclear envelope; and (iii) binding and loading of proteins, which are critical for DSB and meiotic recombination, are higher in GC-rich TADs. Recombination is favored or suppressed in specific mega-base-sized regions [171] according to linkage disequilibrium (LD), which is positively correlated to GC%, with strong LD being typical of GC-poor regions [172]. In humans, hotspots of recombination are associated with local GC spikes (1 to 2 kb in size) and with an increase of the local mutation rate resulting in G or C nucleotides, but without an effect on substitution rate or divergence [173], which means that only allele interchanges between sister chromatin are affected. Thus, GC-rich R-bands favor DSB due to lower chromatin fiber stiffness [174]. As a result, TADs and LADs are

characterized by a non-random association of chromosomal recombination profile and chromatin architecture. TADs represent an assembly of chromatin loops (with boundaries of 0.2–2 Mb in size [175], which can be resolved into contact domains, of 185 Kb in median size [176]. LADs have a median size of 500 Kb, are GC-poor, and are distributed over the whole genome [177–179]. DSBs correlate with H3K4me3 [180,181] and typically take place in GC-rich nucleosome-depleted loop sequences with the consequence that GC-rich domains are more recombinogenic compared to GC-poor ones. GC-rich regions are typically (i) highly transcriptionally active, (ii) characterized by H3K4me3, H3K9ac, and H3K36me3 marks, and (iii) harbor shorter loops than GC-poor domains [179]. The correspondence between LD-regions, isochores, and TADs boundaries strongly suggests the existence of a common genomic code of chromatin architecture in mammalian meiotic and mitotic cells [182].

In plants, chromatin functionalities occurred through DNA mechanical anchoring via nucleolus-associated domains (NADs), which are chromatin regions interacting with the nucleolus, and LADs. Chromosomal A and B compartments can be further segmented as TADs of intertwined loops in the range of 0.1–1.0 Mb of relatively independent chromatin regions, which promote a larger rate of interaction between cis and trans-regulatory sequences (enhancers and promoters) as well as gene kissing [183,184]. TADs were only observed in plant species having genome sizes larger than 400 Mb [170,185,186]. Chromatin loops connect the distant regulatory elements to their target loci by physical approximation. The regulatory function of chromatin loops occurs through the formation of (i) a repressive loop by the intermediation of histone modifiers–H3K27me3–polycomb protein–lncRNAs complex, such as in heterochromatin, which is characterized by a low gene density, low transcriptional activity, high repressive epigenetic modifications, and higher transposon density (B compartment); (ii) a silencing loop by the intermediation of H3K9me2-reader (ADCP1)–ncRNAs complex, and (iii) a transcriptional loop hub formed by the intermediation of the H3K4me3 modifiers-RNA Pol-II-eRNAs complex and characterized by high gene density, activating epigenetic modifications, and active transcriptional activity (A compartment) [161,187].

A general model for the role of epigenetic elements in stress responses of wheat and barley has been proposed by Kong et al. [159] where DNA methylation, histone modifications, and chromatin remodeling are regulated through siRNA and lncRNA epigenetic processes, including RdDM and histone modification as well as genome topology changes, affecting gene expression in response to the environmental stresses.

## 6. Transcription Factors

TFs help plants to respond to abiotic stress through priming stimuli by inducing the accumulation of inactive transcription factors, and inactive signaling compounds. A primed state is a kind of state of readiness for another stress event by which plants are capable of quicker and more effective activation of stress-protective responses (see refs in [188]). TFs are the endpoints of signal transduction networks and may control numerous pathways simultaneously, which has prompted practical strategies for engineering plants with improved stress tolerance [189,190]. Many key genes and transcription regulators governing morpho-physiological traits were found to control root architecture and stomatal development for soil moisture extraction and its retention, which justifies their use as targets for molecular breeding strategies of selective breeding for drought tolerance [191]. For example, *DRO1* in rice (*Oryza sativa* L.) and *ERECTA* in Arabidopsis and rice were identified as enhancers of drought tolerance via regulation of root traits and leaf transpiration. Tools such as (i) the reference genome sequence for wheat, (ii) functional reverse genetics, and (iii) genome editing technologies are expected to aid in deciphering the functional roles of genes and regulatory networks underlying adaptive phenological traits as well as the development of drought-tolerant cultivars [192]. Another example is *DUO-B1*, which is a gene encoding an APETALA2/ethylene response factor (AP2/ERF) protein, one of the largest families of transcription factors that have been linked to a variety of biological pro-

cesses. Based on the DNA binding domain (DBD), AP2/ERFs were classified into AP2, RAV (related to *Abscisic acid insensitive3/Viviparous1*), DREB (subgroup A1–A6), ERF (subgroup B1–B6), and others [193]. The members of the RAV (one of the most abundant transcription factor families in plants) and ethylene response (ERF) subfamily are frequently engaged in phytohormone signaling, disease resistance, and abiotic stress response. CRISPR-Cas9 genome editing showed that the wild gene controls meristem activity and branching in its orthologues from *Brachypodium distachyon* (L.) P. Beauv., bread wheat, and Arabidopsis. CRISPR-induced mutations of *DUO-B1* led to mild supernumerary spikelets, increased grain number per spike, and increased yield under field conditions without affecting other major agronomic traits. Pyramiding this gene with loci that are responsible for improved photosynthesis and nitrogen use efficiency could broaden the utilization of *DUO-B1* alleles to increase yield potential [194].

It has been found that genes involved in drought tolerance were regulated by TFs such as: (i) Basic leucine zipper (bZIP), which controls various biological functions involved in pathogen defense, seed maturation, light and stress signaling, and flowering [195]; (ii) Basic helix-loop-helix (bHLH) TFs, which act as transcriptional regulators involved in stress response, phytochrome signaling, anthocyanin biosynthesis, fruit ripening, carpel and epidermal development [195]; (iii) WRKY, which has a ~60 amino acid domain whose WRKYGQK sequence is completely conserved and followed by a zinc finger motif, participating in signaling networks for plant defense (some WRKY family members may play important roles in the maturation of root cells, senescence, dormancy, fruit maturity, tannin synthesis in the seed coat, and embryo development [196]); (iv) Myeloblastosis (MYB), which is involved in the regulation of primary and secondary metabolism, the control of cell development and the cell cycle as well as in the participation in defense and response to various biotic and abiotic stresses, hormone synthesis, and signal transduction [195]; and (v) NACs, which have a variety of significant functions in plant development as well as in responses to biotic (defense to parasites) and abiotic stresses. The establishment of shoot apical meristems, lateral root development, senescence, cell wall construction, and secondary metabolism are other processes that NACs control in plants [195].

## 7. Transgenic Approach

The main response mechanisms to drought stress were uncovered by studying *A. thaliana*, and multiple drought tolerance genes that are highly conserved among plants are being engineered into crops [197]. These genes relate to drought escape, control of flowering time, stomatal responses, T6P pathways, and some root traits. So far, most plants with enhanced drought resistance have displayed reduced crop yield. However, the uncoupling of drought tolerance and plant growth seems possible at least in Arabidopsis since it was shown that increasing brassinosteroid receptors in vascular plant tissues confers tolerance to drought without penalizing growth [198]. Arabidopsis is a good model to test drought-responsive strategies that may have interesting agronomic potential and might be translated into crops by transformation. Of course, this issue must be carefully addressed since genes from different plant species may not behave in the same way from one system to the other. One obvious example is that the GC content of an Arabidopsis gene will be below that of the grass genome context and would probably end up methylated. The question here is not merely to transfer a gene as it is but rather to transfer the functional information it carries.

Plants exposed to abiotic stress exhibit enhanced levels of ROS, which affect chlorophyll biosynthesis, photosynthetic capacity, carbohydrate, protein, lipid, and antioxidant enzyme activities. Thus, transgenic breeding offers a tempting platform to mitigate these negative effects [199].

However, pyramiding genes by transgeny may be difficult because stress tolerance phenotypes are QTLs. They must first be dissected to exploit their use by accelerating genetic introgression using molecular markers or site-directed mutagenesis [200].

Genetic improvement for drought-tolerant wheat cultivars is a major aim of wheat breeders as indicated in Table S3. This table summarizes the current genes used to improve the drought tolerance of wheat through genetic engineering [201].

## 8. Epigenetics and Crop Improvement

As seen above, epigenetics is another layer of information enabling an organism to adapt to environmental conditions such as biotic and abiotic stresses. This adaptation occurs by modulating massive gene expression through hundreds of molecular players including ncRNAs [202,203]. In this way, epigenetic changes act as a buffer mechanism to alleviate short term stressful effects from the environment by adapting the organism's gene expression response [204]. When stress conditions last for a long time, the gene expression profile may, eventually, be passed on to the next generation, but the benefits could be at the expense of undesirable phenotypic traits as well [205], which means that the mechanism involved must be well understood. Both short and long-term memory has specific advantages and drawbacks that favor selective breeding to produce so-called smart-crops [206]. However, a difficulty with epigenetics is that the effect it induces can be confused with the genetic trait that supports it. Hofmeister et al. [207] developed an epigenotyping procedure that enabled uncoupling this type of interaction, which allows a better understanding of patterns of epiallele inheritance. In *Arabidopsis*, exposure to abiotic stress during several generations was observed to induce heritable phenotypic changes, but the observed effects depended on plant genotype, which suggested an interaction between genetic background and inheritance of induced epigenetic patterns [208]. Since the experiment involved several generations, allele shuffling must have occurred from one generation to the next and even under the hypothesis that the epigenetic pattern would be the same, different phenotypes would result because of different allele combinations being expressed. Nonetheless, there is no reason to think that it is not possible to select alleles for the epigenetic (epialleles) process itself and this could result in different epigenetic patterning. Surveys have shown that stable epialleles can accumulate, segregate in populations, and be selected for [209–211]. Stable epialleles can therefore be linked to quantitative trait loci (epiQTL). To identify epiQTLs, epigenetic recombinant inbred lines (epiRILs) are created using genetically identical parental (isogenic) plants, which differ in levels of DNA methylation [207]. Epigenetic traits that could contribute to improving drought tolerance were reviewed in [206] and could be obtained by recurrent epi-selection, hybrid mimics, epigenomic selection, epigenome editing, and stress priming mechanisms.

Recently, the *msh1* mutant was discovered to induce epigenetic reprogramming. The *MSH1* is a plant-specific gene which encodes a protein that targets plastids. Disruption of *MSH1* function leads to variation in plant growth rate, flowering time, response to short day length, leaf morphology, variegation, and stress response, which are phenotypes that are reproducible across a range of plant species [212]. The *msh1* mutant induces genome-wide DNA methylation repatterning, changes in siRNA expression, and heritable nongenetic memory. Gene networks affected by methylation repatterning include auxin-related pathways, so that altered expression of auxin-response genes contributes to the increased plant vigor phenotype in a way similar to heterosis [213].

As an example of an *MSH1* system application for the induction of epigenetic variation in crops, Raju et al. [214] developed epi-lines by crossing a wild type with an isogenic transgenic soybean line for *msh1*. The progeny showed a wide variation both in greenhouse and field trials and a low epitype–environment interaction, which indicated yield stability and low effect of environmental constraints.

One may conclude from the experiments with *msh1* that involvement of a significant amount of individual RNA and proteins seems to be essential for manifesting phenotypic diversity and phenotypic expression of heterosis and hybrid vigor. According to Bharti Thapa and Shrestha [215], the best hybrids seem to have a significantly larger number of gene expressed compared to the best parent at different stages. Concerning methylation level, it was found that (i) it is lower in heterotic hybrids than in related non-heterotic

hybrids, (ii) it is higher in old, low yielding inbred lines, and (iii) it is lower in modern inbred lines, especially those selected for high yield [215].

As seen above, plant germ lines develop from somatic cells [216], which means that epigenetic information can be transferred by vegetative cloning, graft, or through gametogenesis, but epigenetic states can often be unstable in this last case. Vegetative cloning and graft are not feasible in cereals, but apomixis seems to be a viable option by enabling F1 hybrids with superior traits to be clonally propagated. Engineering synthetic apomixis was performed in inbred rice by converting the meiotic division into mitotic division and triggering parthenogenesis. A hybrid apomictic clone that produces more than 95% of clonal seeds across at least three generations was produced from a commercial F1 rice hybrid by T-DNA transformation of embryo-derived callus [217]. This achievement combined with the *msh1* mutation opens the way to induce and stabilize epigenomes in crops, which offers the potential of accelerating selective breeding for drought tolerance in arid and semi-arid regions.

## 9. Conclusions

Understanding the physiological processes that lead to increased drought tolerance in plant models such as *Arabidopsis*, rice, and maize is helping to map the genes that can be validated as functional for selective breeding purposes. Drought tolerance is a QTL, but some of the genes involved in that QTL usually explain a larger proportion of the detected phenotype. Genetic and physical mapping through SNP association and GWAS helps to detect these genes, which can then be proven to be involved in drought tolerance by functional assays such as knockdown. Such genes can then be transferred by transgenesis or by selective breeding. According to the classical approach, *in vitro* culture and transgenesis help to produce clones carrying specific traits that can then be crossed with elite lines for pyramiding genes. Nonetheless, improving the understanding of epigenetics and stress memory will also contribute to the selective breeding of complex agronomic traits. The uncovering of DNA-binding sites of particular binding proteins, such as transcription factors or chromatin-associated proteins is enabling the exploration of the genome epigenetic landscape for such purposes. However, epigenomes are known to be unstable from one generation to the next, which makes their industrial exploitation difficult. Recently the discovery of the *msh1* mutation has made it possible to produce epigenetic variability in several crops with similar effects to heterosis. New techniques of synthetic apomixis are being developed that permit clonal propagation accessions for several generations, which enable us to glimpse the future contribution of epigenetics to selective breeding including for drought tolerance.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12112170/s1>, References [218–260] are cited in the supplementary materials. Table S1a: QTLs of agronomic traits identified for drought tolerance in cereals (updated from [29]); Table S1b: QTLs of agronomic traits identified in soybean for drought tolerance (updated from [228]); Table S2: Drought stress and their related candidate genes in model plants (updated from [29]); Table S3: Improving drought tolerance of cereals through engineering genes (updated from [201]).

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## Article

# Identification of Drought Tolerant Rice (*Oryza Sativa* L.) Genotypes with Asian and African Backgrounds

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**Abstract:** Drought is among the major abiotic stresses on rice production that can cause yield losses of up to 100% under severe drought conditions. Neither of the rice varieties currently grown in Burundi can withstand very low and irregular precipitation. This study identified genotypes that have putative quantitative trait loci (QTLs) associated with drought tolerance and determined their performance in the field. Two hundred and fifteen genotypes were grown in the field under both drought and irrigated conditions. Genomic deoxyribonucleic acid (DNA) was extracted from rice leaves for further genotypic screening. The results revealed the presence of the QTLs qDTY12.1, qDTY3.1, qDTY2-2\_1, and qDTY1.1 in 90%, 85%, 53%, and 22% of the evaluated genotypes, respectively. The results of the phenotypic evaluation showed a significant yield reduction due to drought stress. Yield components and other agronomic traits were also negatively affected by drought. Genotypes having high yield best linear unbiased predictions (BLUPs) with two or more major QTLs for drought tolerance, including IR 108044-B-B-B-3-B-B, IR 92522-45-3-1-4, and BRRI DHAN 55 are of great interest for breeding programs to improve the drought tolerance of lines or varieties with other preferred traits.

**Keywords:** soil moisture content; quantitative trait loci; irrigated; yield; improvement; Burundi

## 1. Introduction

Rice is the principal food grain consumed by more than half of the world's population [1]. However, rice (*Oryza sativa* L.) production faces biotic and abiotic constraints worldwide [2]. The most common biotic constraints include blast, sheath rot, and brown spot in Burundi [3,4]. Among abiotic constraints, drought stress is the major one in rain-fed ecologies [2]. For numerous soils, at least two weeks without rainfall induces noticeable negative differences in drought sensitivity during the vegetative stage, and at least seven days without rainfall causes severe drought damage during the reproductive stage [5]. Drought can cause yield losses of up to 21% under mild drought, up to 51% under moderate drought, and up to 90.6% in severe cases [6], depending on the grown variety, growth stage, degree, and duration of the stress.

Reduced grain yield is a result of morphological responses such as increases in leaf rolling, stomata closure, and leaf tip drying; molecular responses that include changes in gene expression (up/down regulation of transcripts) and the activation of relevant transcription factors and signaling pathways; and physiological and biochemical responses such as reductions in transpiration, photosynthesis, chlorophyll content, membrane stability, stomatal conductance, and increases in osmoprotectants [7]. Drought stress reduces the performance of rice varieties that are grown worldwide [8–10].

In East Africa, rice-growing areas are exposed to severe drought [10]. In Burundi, the major constraints on rice production include inputs, flooding, and drought, which accounted for 41%, 30%, and 29%, respectively [11]. In Burundi, irrigated lowland rice

is grown in Moso and mostly in the Imbo region. Most farmers in Imbo do not regularly obtain water for irrigation due to insufficient or destroyed infrastructures. Imbo is generally semiarid with low and irregular rainfall that can reach up to 500 mm per year [12]. Neither of the current rice varieties grown in Burundi can withstand such a complicated rainfall pattern. Thus, screening rice varieties suitable for Burundi becomes a priority using an appropriate approach.

A modified conventional breeding approach was suggested to integrate phenotyping, genotyping, and a strategy to screen many lines among which selection can be made [13]. The approach improved the assessment of plant responses to drought stress.

Three levels of drought stress corresponding to 5% ( $\text{m}^3/\text{m}^3$ ), 10.6% ( $\text{m}^3/\text{m}^3$ ), and 16% ( $\text{m}^3/\text{m}^3$ ) soil moisture content, representing severe, moderate, and low drought, respectively, were used by Singh et al. [14] to assess the response of rice cultivars to early-season drought stress. Different methods of screening rice genotypes for drought tolerance have been used by researchers through classical markers or DNA/molecular markers [15,16]. Depending on the specific objective, each type of marker may present advantages and/or disadvantages. Currently, single nucleotide polymorphic markers (SNPs) are mostly used due to their high frequency, low mutation rates, and high-throughput nature [17]. Molecular markers have been a very useful tool mostly in Asian countries (Philippines, India, Nepal, Malaysia, etc.) where different quantitative trait loci (QTLs) for drought tolerance have been identified [16,18].

Polygenic architectures were reported for many traits under both drought and irrigated environments. Previous studies have demonstrated that conditional neutrality is more common than antagonistic pleiotropy [19]. This provides an explanation as to why rice breeders successfully developed drought tolerant rice lines and varieties without a yield penalty in irrigated environments [20]. Shamsudin et al. [21] found a positive interaction between qDTY2.2 and qDTY12.1 in the developed rice lines through marker assisted breeding.

According to IRRI, QTLs with large effects that are qDTY1.1, qDTY2.2, qDTY3.1, qDTY3.2, and qDTY12.1 may be used to improve rice varieties for grain yield under reproductive-stage drought in lowland areas [18]. Introgression or pyramiding of some of these QTLs was successfully achieved, especially in Asia, where drought-tolerant varieties have been released [18,22].

The International Rice Research Institute (IRRI) released genotypes IR 86781-3-3-1-1 and IR 81412-B-B-82-1 in the Philippines, and IR 82077-B-B-71-1, IR 82589-B-B-84-3, and IR 83388-B-B-108-3 in Malawi, Bangladesh, and Nepal, respectively, for drought tolerance in lowland ecosystems. IR 79913-B-176-B-4 and IR 55423-01 are upland varieties that were released in the Philippines and India, respectively [13]. However, there is no information about the use of these genotypes in rice improvement for drought tolerance. Furthermore, there is no report on the release of drought-tolerant rice varieties in Burundi [8].

Therefore, there is a need to effectively utilize the identified QTLs for drought tolerance in developing drought-tolerant rice lines to meet the preferences of producers in Burundi. To enhance breeding efforts, potentially drought-tolerant genotypes were collected from Asia and Eastern and Southern African countries. This study aimed to identify genotypes that have putative QTLs associated with drought tolerance.

## 2. Results

In the current study, the phenotypic data from a sample of 10 plants and genotypic data from two leaves (two plants) of each of the 215 genotypes were subjected to REML analysis or nonparametric testing. The association between phenotype and genotype, which was assessed through Chi-square test of independence, provided insightful results.

### 2.1. Evolution of Drought Stress Symptoms and Nonparametric Test for Scores

Before the drought stress, the tested plants had no symptoms of leaf rolling and drying. The appearance of leaf rolling symptoms started between two and three weeks

while symptoms of leaf drying appeared between three and four weeks after stress initiation. The drought stress was observed at the vegetative and reproductive stage. At maturity, with two cycles of drought stress, it was easy to differentiate between stressed and control plants.

The Kruskal-Wallis nonparametric test showed no significant differences between genotypes for both leaf rolling and leaf drying. Significant differences were detected between genotypes by the Kruskal-Wallis nonparametric test for plant phenotypic acceptability, panicle phenotypic acceptability, seed phenotypic acceptability, panicle exertion, and the severity of brown spot under both drought stress and irrigated conditions. Differences in the incidence of sheath rot were only significant between the evaluated genotypes under irrigated conditions (Table 1).

**Table 1.** Kruskal-Wallis rank sum test for phenotypic acceptability and diseases cores for field experiments.

Drought Experiment						
Change	PPA	PaPA	SPA	PE	ISR	BS
Chi-Square	303.18	278.15	290.15	288.03	244.90	315.80
d.f.	214	214	214	214	214	214
Pr > Chi-Square	0.0001	0.0021	0.0004	0.0005	0.0700	0.0000
Irrigated (control)						
Chi-Square	285.54	324.65	340.54	324.89	280.46	262.60
d.f.	214	214	214	214	214	214
Pr > Chi-Square	0.0008	0.000	0.000	0.000	0.002	0.013

PPA = plant phenotypic acceptability, PaPA = panicle phenotypic acceptability, SPA = seed phenotypic acceptability, PE = panicle exertion, ISR = incidence of sheath rot and BS = severity of brown spot, d.f. = degree of freedom.

### 2.2. Restricted Maximum Likelihood Analysis for Yield, Yield Components and Other Agronomic Traits

Linear mixed model analysis revealed highly significant ( $p \leq 0.001$ ) differences in plant height, number of total tillers, days to 50% flowering, days to maturity, number of panicles per plant, panicle length, number of filled grains per panicle, one thousand grain weight, and grain yield of screened genotypes under drought stress and irrigated conditions. Significant differences ( $p \leq 0.01$ ) were detected among the tested genotypes for spikelet fertility (Table 2).

**Table 2.** Restricted Maximum Likelihood analysis for yield and other agronomic traits for field experiments.

Drought Experiment											
Source of Variation	d.f.	PH	TT	DFI	DM	PP	PL	SF	NFGP	TGW	Yield
Rep	1	1.48 ns	93.39 *	1771.74 ***	1857.27 ***	75.55 *	26.83 *	23.82 ns	1013.10 ns	1.66 ns	1.48 ns
Rep/block	9	69.99 ***	11.15 ***	71.71 ***	103.36 ***	9.31 ***	4.70 ***	237.77 **	648.80 **	10.63 **	2.93 ***
Genotype <sup>a</sup>	213/214	222.07 ***	9.85 ***	261.53 ***	225.60 ***	8.83 ***	4.37 ***	170.07 **	586.78 ***	24.42 ***	1.95 ***
Residual <sup>b</sup>	181.03–187.08	22.21	2.72	18.86	19.44	2.44	1.36	96.42	282.10	4.48	0.77
LEE <sup>b</sup>	181.03–187.08	24.98	3.10	21.04	21.82	2.79	1.54	106.30	310.46	4.93	0.87
Cv%		6.73	16.56	4.55	3.62	16.93	6.17	28.68	21.05	9.10	34.33
SED		5.00	1.76	4.59	4.67	1.67	1.24	10.31	17.62	2.22	0.93
Irrigated experiment (control)											
Rep	1	251.35 ns	30.13 ns	422.89 *	205.09 ns	30.25 ns	144.07 ***	1096.10 ***	1832.30 ns	55.83 *	1.07 ns
Rep/block	9	143.94 **	18.03 ***	42.41 ***	42.54 ***	14.38 ***	2.52 *	c	1038.20 ***	7.40 ns	1.44 ns
Genotype	214	288.90 ***	6.30 ***	131.02 ***	118.35 ***	5.38 ***	2.95 ***	59.56 **	798.65 ***	31.64 ***	2.95 ***
Residual <sup>b</sup>	185.28–193.01	55.19	2.66	4.96	7.64	2.48	1.10	25.97	254.10	4.17	1.065
LEE <sup>b</sup>	185.28–193.01	59.69	2.93	5.45	8.35	2.73	1.19	c	280.23	4.48	1.1535
CV%		7.96	15.38	2.48	2.34	15.98	4.80	6.08	13.70	7.69	21.083
s.e.d.		7.73	1.71	2.34	2.89	1.65	1.09	5.18	16.74	2.12	1.074

\*\*\* Significant at  $p \leq 0.001$ , \*\* significant at  $p \leq 0.01$ , \* significant at  $p \leq 0.05$ , ns = nonsignificant at  $p \leq 0.05$ , <sup>a</sup> = the degree of freedom of genotype varies because of missing data, <sup>b</sup> = the degree of freedom of residual and LEE varies because of the nature of the lattice layout during analysis, LEE = lattice effective error, CV = coefficient of variation, s.e.d. = standard error of difference, d.f. = degree of freedom, PH = plant height, TT = number of total tillers, DFI = days to flowering, DM = days to maturity, PP = number of panicles per plant, PL = panicle length, SF = spikelet fertility, NFGP = number of filled grains per panicle, TGW = one thousand grain weight, <sup>c</sup> = analysis was performed according to randomized complete block design because blocks were not significant in alpha lattice design for variable spikelet fertility.

The mean yield was 2.71 t/ha, the minimum yield was 0.08 t/ha, and the maximum yield was 5.72 t/ha for screened genotypes under drought stress. The BLUP for yield under drought varied between 1.24 and 3.97 t/ha. For the irrigated experiment, the mean yield was 5.10 t/ha, the minimum yield was 1.58 t/ha, and the maximum yield was 9.12 t/ha. BLUP for yield varied between 2.93 and 7.54 under irrigated conditions. The grand mean of yield reduction was 2.33 t/ha, corresponding to 46.15%. More details on individual genotype performance are provided in the Table 3, Tables S2 and S3 of Supplementary Material.

**Table 3.** Summary of means and genotypic information for the ten best and ten worst genotypes and checks based on yield BLUPs under drought stress.

DESIGNATION	YBs	Ys	Yns	RY	STIY	PPAs	PPAns	SM	qDTY12.1	qDTY2.2	qDTY3.1	qDTY1.1
IR 108044-B-B-B-3-B-B	3.97	5.06 ab	6.20	18.27	0.82	1.04	4.11	11.98	++	++	-:-	++
IR 108031-B-B-B-2-B-B	3.91	5.03 ab	6.08	17.30	0.83	4.06	2.36	13.34	++	++	++	-:-
MUSESEKARA	3.73	4.50 abcd	5.34	15.88	0.84	3.03	2.99	15.79	++	-:-	-:-	-:-
IR 92522-45-3-1-4	3.64	4.42 abcd	6.76	34.68	0.65	3.99	3.21	10.28	++	++	++	-:-
IR 97011-7-4-1-3-B	3.57	4.42 abcd	5.83	26.80	0.73	1.94	2.35	13.73	++	-:-	++	-:-
YASIMIN AROMATIC	3.54	4.11 abcd	5.62	26.96	0.73	3.69	3.05	16.59	++	++	++	-:-
BRRI DHAN 55	3.53	4.08 abcd	4.70	13.20	0.87	2.90	3.87	10.65	++	-:-	++	++
IR 103421-B-B-5-3	3.51	3.94 abcd	5.17	23.87	0.76	3.97	2.88	8.47	?	*	++	+-
IR 112671-126-1-4-B	3.48	4.14 abcd	7.10	41.58	0.58	4.02	3.09	13.06	++	-:-	++	-:-
RGA-B RGA-1												
BASMATI	3.45	3.96 abcd	6.58	40.62	0.59	3.97	2.95	12.94	++	-:-	++	++
IR												
106172:496-2007-23-3-6	1.90	1.44 abcd	4.83	70.15	0.30	7.13	4.92	9.21	++	++	++	-:-
SUPA DE												
NYANZA-LAC	1.87	1.15 abcd	5.69	79.86	0.20	6.99	5.09	14.02	++	-:-	++	-:-
JAMBO TWENDE	1.81	1.03 bcd	4.27	75.84	0.24	6.99	7.23	13.96	++	++	++	++
IR 107015-18-3-1-B	1.77	0.29 d	2.90	89.99	0.10	9.00	9.14	12.07	++	-:-	++	-:-
NERICA 10	1.74	1.08 abcd	3.11	65.14	0.35	6.03	6.36	13.83	++	++	++	++
EDIGET												
(WAB189-B-B-B-HB)	1.68	0.95 bcd	2.33	59.41	0.41	5.07	7.33	15.21	++	++	++	++
LINE-8A-2	1.68	0.99 abcd	2.62	62.36	0.38	6.01	5.78	14.60	++	++	++	++
MKIA WA NYUMBU	1.68	0.81 cd	3.29	75.34	0.25	8.94	6.76	7.79	++	++	++	-:-
NERICA 4	1.60	0.83 cd	2.56	67.48	0.33	5.98	3.62	14.71	++	++	++	++
FRX 472	1.24	0.08 cd	4.89	98.43	0.02	9.02	6.41	11.07	++	-:-	++	-:-
IR 86781-3-3-1-1 <sup>+</sup>	3.30	3.74 abcd	5.00	25.22	0.75	3.97	3.80	14.82	++	++	-:-	-:-
IR 64 <sup>+</sup>	3.02	3.45 abcd	6.10	43.50	0.56	8.06	4.18	8.98	++	-:-	++	-:-

+ = Check; a,b,c,d = means that share the same letter are not significantly different, they belong to the same group; YBs = yield best linear unbiased prediction under drought stress, Ys = yield under drought stress, Yns = yield under non stress conditions, RY = percentage of reduction in yield, STIY = stress tolerance index for yield, PPAs = plant phenotypic acceptability under drought stress, PPAns = plant phenotypic acceptability under irrigated conditions, SM = soil moisture, qDTY = quantitative trait loci for drought tolerance, ++ = homozygote, +- = heterozygote, -:- = negative for targeted QTL, ? = absent in one sample and present in the second sample for qDTY 12.1, \* = was homozygote in one sample and heterozygote in other samples for qDTY2.2.

### 2.3. Association between Phenotypic Data and Targeted QTLs for Drought Tolerance

Most of the screened genotypes had at least two major quantitative trait loci (QTLs) for drought tolerance. Genotypes that had four, three, two, and one of the targeted QTLs had a mean yield BLUP of 2.38 t/ha, 2.75 t/ha, 2.65 t/ha, and 2.82 t/ha, respectively. Furthermore, the mean stress tolerance index for yield was 0.52, 0.56, 0.53, and 0.56 for genotypes with four, three, two, and one of the targeted QTLs, respectively. The genotypic results showed the presence of the QTLs qDTY12.1, qDTY3.1, qDTY2.2, and qDTY1.1 in 194, 183, 114, and 48 genotypes corresponding to 90%, 85%, 53%, and 22% of the evaluated genotypes, respectively (Figure 1a). The QTL qDTY12.1 was more observed in the genotypes from Asia (Figure 1b) compared to those from Africa (Figure 1c); and the opposite occurred for qDTY1.1.



The likelihood ratio chi-square showed a significant association between qDTY2.2 and all the phenotypic data under drought stress. The QTL qDTY1.1 was only significantly associated with the yield best linear unbiased predictions under drought stress. Other QTLs were not significantly associated with the phenotypic data (Table 4).

**Table 4.** Chi-Square test of independence for phenotypic and genotypic data.

Variable	d.f. <sup>1</sup>	qDTY2.2 LRC	qDTY3.1 LRC	qDTY12.1 LRC	qDTY1.1 LRC
YBs	132	171.90 *	132.64 ns	106.16 ns	161.08 *
Ys	214	297.83 ***	191.04 ns	141.97 ns	228.33 ns
DFI	213	295.05 ***	188.26 ns	139.20 ns	228.33 ns
DM	213	295.05 ***	191.04 ns	141.97 ns	228.33 ns
SF	214	297.83 ***	191.04 ns	141.97 ns	228.33 ns
TT	210	296.37 ***	190.68 ns	141.75 ns	225.31 ns
PH	213	296.37 ***	190.68 ns	141.75 ns	225.31 ns
PP	213	296.37 ***	190.68 ns	141.75 ns	225.31 ns
PL	209	293.60 ***	187.91 ns	138.98 ns	225.31 ns
TGW	214	297.83 ***	191.04 ns	141.97 ns	228.33 ns
NFGP	214	297.83 ***	191.04 ns	141.97 ns	228.33 ns
PPA	176	252.05 ***	161.22 ns	107.29 ns	183.60 ns
PaPA	168	242.01 ***	159.49 ns	116.65 ns	180.15 ns
SPA	169	234.74 ***	162.26 ns	113.20 ns	195.06 ns
PE	172	234.37 **	155.67 ns	124.29 ns	199.56 ns
LD1	109	146.27 **	109.16 ns	88.19 ns	131.91 ns
LD2	143	195.55 **	141.44 ns	105.56 ns	168.01 ns
LD3	168	241.33 ***	140.76 ns	110.43 ns	179.10 ns
LD4	177	259.69 ***	147.35 ns	114.92 ns	195.06 ns
LR1	137	192.73 **	141.44 ns	103.47 ns	159.33 ns
LR2	175	236.46 **	166.76 ns	130.88 ns	194.69 ns
LR3	172	234.74 ***	158.44 ns	122.56 ns	191.24 ns
LR4	179	250.69 ***	172.31 ns	133.65 ns	187.42 ns
BS	197	278.42 ***	185.49 ns	130.88 ns	217.24 ns
ISR	193	260.74 ***	174.40 ns	124.29 ns	214.47 ns

<sup>1</sup> = the degrees of freedom vary because of variation in cells with expected frequency, YBs = yield best linear unbiased prediction under drought stress, Ys = yield under drought stress, DFI = days to flowering, DM = days to maturity, SF = spikelet fertility, TT = number of total tillers, PH = plant height, PP = number of panicles per plant, PL = panicle length, TGW = one thousand grain weight, NFGP = number of filled grains per panicle, PPA = plant phenotypic acceptability, PaPA = panicle phenotypic acceptability, SPA = seed phenotypic acceptability, PE = panicle exertion, LD = leaf drying, LR = leaf rolling, BS = severity of brown spot, ISR = incidence of sheath rot, d.f. = degree of freedom, qDTY = quantitative trait loci for drought tolerance, LRC = likelihood ratio Chi-square, \*\*\* Significant at  $p \leq 0.001$ , \*\* significant at  $p \leq 0.01$ , \* significant at  $p \leq 0.05$ , ns = nonsignificant at  $p \leq 0.05$ .

#### 2.4. Correlation Analysis for Drought Traits, Yield, and Other Agronomic Traits of Genotypes Evaluated in 2020 at Gihanga Research Station

Correlations between the yield, the number of panicles per plant, and the number of filled grains per panicle were positive and highly significant. Negative and significant correlations were found between yield, leaf rolling, leaf drying, and plant phenotypic acceptability. Strong, positive, and highly significant correlations were detected between the yield, the yield BLUP, and the STI for yield. Correlations between yield and one thousand grain weight, plant height, panicle exertion, and severity of brown spot were negative and non-significant. Strong, positive, and highly significant correlation was detected between the panicle length and the plant height. Highly significant and negative correlations were detected between the plant height, one thousand grain weight, and the number of panicles per plant (Table 5).

**Table 5.** Correlations between traits of evaluated rice genotypes for the field drought experiment.

	YIELD	DFI	LD1	LD4	LR4	NFGP	TGW	PH	PP	PPA	BS	STIY
DFI	0.08 ns											
LD1	−0.20 **	0.07 ns										
LD4	−0.15 *	0.12 ns	0.32 ***									
LR4	−0.20 **	0.10 ns	0.18 *	0.50 ***								
NFGP	0.41 ***	−0.26 ***	−0.03 ns	−0.04 ns	−0.18 **							
TGW	−0.11 ns	−0.20 **	0.07 ns	−0.09 ns	−0.41 ***	−0.05 ns						
PH	−0.11 ns	−0.07 ns	0.12 ns	−0.03 ns	−0.21 **	0.18 **	0.40 ***					
PP	0.43 ***	0.51 ***	−0.04 ns	0.02 ns	0.18 **	−0.19 **	−0.48 ***	−0.51 ***				
PPA	−0.57 ***	0.05 ns	0.12 ns	0.19 **	0.30 ***	−0.24 ***	−0.07 ns	−0.09 ns	−0.12 ns			
BS	−0.07 ns	−0.17 *	0.06 ns	0.12 ns	0.14 *	−0.07 ns	−0.10 ns	−0.11 ns	0.05 ns	0.30 ***		
STIY	0.75 ***	0.15 *	0.21 **	0.28 ***	0.19 **	0.36 ***	0.05 ns	0.01 ns	0.20 **	0.48 ***	0.03 ns	
YdB	0.95 ***	0.10 ns	0.22 **	0.19 **	0.22 **	0.38 ***	0.15 *	0.11 ns	0.44 ***	0.57 ***	0.09 ns	0.72 ***

\*\*\* Significant at  $p \leq 0.001$ , \*\* significant at  $p \leq 0.01$ , \* significant at  $p \leq 0.05$ , ns nonsignificant at  $p \leq 0.05$ , DFI = days to flowering, LD = leaf drying, LR = leaf rolling, NFGP = number of filled grains per panicle, TGW = one thousand grain weight, PH = plant height, PP = number of panicles per plant, PPA = plant phenotypic acceptability, BS = severity of brown spot, STIY = stress tolerance index for yield, YdB = yield best linear unbiased prediction.

### 3. Discussion

This study detected significant differences in leaf rolling and leaf drying among genotypes under drought stress. Furthermore, differences in plant height, number of panicles per plant, one thousand grain weight, and grain yield were significant, suggesting genetic diversity among the tested genotypes. This implies the possibility of selecting most drought-tolerant lines for their further use by farmers or by the breeding program. Comparable results were reported by Mohd Ikmal et al. [23] in BC1 F4 lines. Spikelet fertility and yield components were significantly reduced by drought stress. Similarly, all cultivars subjected to drought stress exhibited a significant grain yield reduction in a study conducted by Adhikari et al. [9]. The effects of drought on morphological and agronomic traits, including leaf area, panicle length, plant height, tillering ability, and efficiency, results in decreased yield [24].

The performance of the evaluated genotypes was better under irrigated conditions than under drought stress conditions. The current results agree with the findings of previous studies on drought where the best cultivars under nonstress conditions exhibited poor performance under stress conditions [9,18]. The reduction in performance of a given genotype increases with drought intensity [14]. This validates the significant efforts that breeders and geneticists have put into coping with water scarcity by finding QTLs and genes for drought tolerance and deploying them in genotypes with different backgrounds [13,18,22]. Therefore, growing drought-tolerant rice varieties is an alternative genetic adaptive strategy to increase rice yield and production in areas where farmers have limited access to water for irrigation [8].

A positive correlation between yield and the number of filled grains per panicle and the number of panicles per hill indicates that a higher number of panicles per plant and a higher number of filled grains per panicle lead to higher yield. Abd Allah et al. [25] reported that the number of panicles per plant, the number of filled grains per panicle, and 100 grain weight are key traits in improving yield under both irrigated and drought stress conditions. The negative correlation between yield and plant phenotypic acceptability is due to the nature of the scale for scoring phenotypic acceptability where higher scores correspond to poor performance [5]. The results imply that the phenotypically desirable genotypes also had higher yields.

Strong, positive, and highly significant correlations between the yield, the yield BLUP, and the STI for yield suggest that high yielding genotypes can be selected based on BLUP or STI. However, the results of this study showed that the correlation coefficient between the yield and the BLUP was greater than the one between yield and STI for yield under drought stress. Furthermore, some genotypes with high STI had low yield under both drought and irrigated conditions (Table 3 and Tables S2 and S3).

Best linear unbiased prediction (BLUP) was reported to be the most efficient prediction method among the commonly used methods for selection [26]. Breeding values imply the

ability to perform well in crosses, and they have been recommended to select genotypes with high performance in most of the desirable traits [13].

A significantly negative correlation between the number of days to flowering and the number of filled grains per panicle shows that the longer the cycle of the genotypes, the fewer the number of filled grains per panicle due to the increase in drought intensity at the reproductive stage. Guimarães et al. [27] stated that late-flowering genotypes had high spikelet sterility. The negative and nonsignificant correlation between yield and brown spot shows that yield was slightly affected by this disease. Severe cases of this disease were reported with a yield loss of 50–90% in Bengal [17].

Promising lines were found among screened genotypes during the current study. The genotype IR 108044-B-B-3-B-B was classified as the best in the field based on yield BLUP. This genotype has three of the targeted QTLs for drought tolerance, including qDTY2.2, which was significantly associated with all the phenotypic data, and qDTY1.1, which was found in a few genotypes and was significantly associated with the yield BLUPs. In the same way, the genotype IR 92522-45-3-1-4 was ranked fourth based on the yield BLUP from the field where it was under severe drought stress and had three of the targeted QTLs for drought tolerance, including qDTY2.2. Similarly, the genotype BRR1 DHAN 55 was under severe drought stress but was ranked seventh in yield BLUP and had three of the major targeted QTLs for drought tolerance, including qDTY1.1. The BLUPs and high yield of these genotypes under drought can enable them to be considered parents for drought tolerance, which can be used to improve existing rice varieties. Dhawan et al. [28] used Nagina 22 as a drought-tolerant parent, for which the yield was 1.77 t/ha under drought stress.

The yield of genotypes IR 97013-8-1-3-2-B, IR 13240-108-2-2-3, WAHIWAHI, and IR 97013-19-1-3-1-B was reduced by rodents that strongly attacked them a few days before pesticide application. The high scores of leaf rolling and leaf drying from the field experiment indicate the presence of a high intensity of drought during this study. High leaf drying induced a reduced yield, confirmed by the negative correlation between these traits. Similar results were obtained by Bocco et al. [24] where more plants with high leaf drying provided lower yields.

The majority of evaluated genotypes had at least two QTLs for drought tolerance providing some yield advantage under drought stress. Appropriate QTL combinations is a good approach for improving drought tolerance [16,21,29]. In the current study, some genotypes with all the four major QTLs were among the worst genotypes under drought stress. Indeed, most of these genotypes with low yield under drought stress were low-yielding even under no stress conditions. Researchers have reported that a high yield potential under no stress is a good indicator of a high yield advantage under drought stress [21]. Another reason could be the interaction between these QTLs, even if conditional neutrality was reported to be more common than antagonistic pleiotropy [19]. The QTLs qDTY2.2 and qDTY3.1 pyramided with qDTY12.1 significantly increased the yield of lines having qDTY12.1 in the study of Shamsudin et al. [21]. Therefore, pyramiding the best combination of alleles with favorable interactions is the best strategy to improve the performance of rice varieties under drought stress [13]. In this study, the QTLs qDTY12.1, qDTY3.1, and qDTY2.2 were present in more than 50% of the evaluated genotypes. Shamsudin et al. [21] found qDTY12.1, qDTY3.1, and qDTY2.2 in 82%, 36%, and 18% of selected pyramided lines, respectively. The analysis of genetic diversity of 60 rice genotypes detected qDTY12.1 and qDTY2.2 in 43.3% and 6.67% of evaluated genotypes, respectively [30].

During the current study, only qDTY2.2 was significantly associated with all the phenotypic data. This indicates that qDTY2.2 is a major QTL for drought tolerance that can be used for the improvement of preferred varieties in Burundi. However, qDTY1.1 was also significantly associated with the yield BLUPs only; further study using other genotypes in Burundi shall help to confirm our findings. Kadam et al. [31] demonstrated the complexity of yield traits under drought stress by detecting very many different QTLs between years and treatments, even by comparing with previous studies using the same genotypes. Overlapping

transcriptions between the water-use efficiency and the days to flowering revealed a genetic basis for a trade-off between drought avoidance and drought escape in rice [19]. Therefore, a statistical power analysis accompanying a proper genotypic and phenotypic sampling is very important for QTL studies [32,33]. Drought tolerance is a complex trait that is characterized by low heritability, genotype-by-environment interactions, genetic interactions, and polygenic effects [16]. Furthermore, drought and heat are reported to often occur together. The genes regulating tolerance to these stresses are different but share some signaling pathways [34]. Several genetic management approaches have been suggested by researchers to increase rice production in a changing climate [34,35]. Rice improvement for drought tolerance may continue through pyramiding major QTLs for drought tolerance by crossing elite × elite cultivars or by marker-assisted backcrossing [21,29] involving landraces or wild rice as a source of drought tolerance [36] followed by multi-environmental trials to select for a specific environment or location [16].

#### 4. Materials and Methods

##### 4.1. Experimental Plant Materials and Study Area

A total of 215 rice genotypes with diverse origins, including potentially aromatic and potentially drought-tolerant genotypes, were screened. Based on information from previous reports, IR 64 was used as a drought susceptible check [37,38] while IR 86781-3-3-1-1 [13] was used as drought tolerant check. These genotypes were provided by the research institutions ISABU and IRRI in accordance with the national and international regulations of plant materials exchange. More details related to the parentage of evaluated genotypes and their geographical origin can be found in the Supplementary Table S1.

The experiments were set in the field at Gihanga Central Imbo in Burundi, which is located at 29°2'14.3" E and 3°10'23.9" S. With an elevation of 839 m above sea level, the annual mean temperature is 24 °C. During this study, the total rainfall was 141.63 mm in five months and half. More information on weather data is provided in the Appendix A (Figure A1).

##### 4.2. Field Experimental Design, Agricultural Practices, and Drought Treatment

Tested genotypes were grown in an alpha lattice design with 2 replications for both irrigated and non-irrigated experiments. Seeding was done on 17 July 2019 and transplanting was performed three weeks after seeding. Each genotype had five rows and occupied 5.4 m<sup>2</sup> with only one seedling per hill. At transplanting time, fertilizers were applied according to the formula NPK 75-30-30 at a rate of 65 kg of DAP, 29 kg of urea, and 50 kg of K<sub>2</sub>O per ha, as recommended by the Ministry of Environment, Agriculture, and Livestock [39]. Drought stress was initiated at 28 days after the last date of transplanting by draining the field of the drought experiment [38]. Other agricultural practices were performed as recommended by the MINEAGRIE [39].

Soil samples were taken from the field before plowing and were analyzed for further field and drought management. The results of soil analysis are presented in the Appendix B (Table A1). Soil classification into texture classes was performed according to Moormann and Van Breemen [40] using Texture AutoLookup (TAL) 42 software. The permanent wilting point was then determined [41]. Two polyvinyl chloride (PVC) pipes measuring 1.2-m-long × 2-inch diameter with small perforations at the bottom were installed 1 m below the soil surface in different replicates of the field for water table measurements.

Another PVC pipe measuring 0.52 m long × 2 inches in diameter was installed 0.5 m below the soil surface. Measurement of the water table was performed using a meter stick from one week after draining the field until harvesting time. Using the Hand Held (HH2, version 4.3) soil moisture meter, the soil moisture content was recorded once the genotypes had started showing symptoms of leaf rolling. Re-irrigation was performed once the soil moisture content was almost at the permanent wilting point. Water was removed from the plots after 6 h to initiate the second cycle of drought stress [38]. Experimental plants underwent two cycles of drought stress.

#### 4.3. Genotyping Procedure

Two leaf samples were harvested from each genotype at six weeks after transplanting before booting. These samples were taken to the laboratory at IRRI-Burundi, where they were punched by an EP100 machine and kept in the wells of plates at  $-80\text{ }^{\circ}\text{C}$  for 24 h. Samples in plates were later transferred to a lyophilizer for 48 h. To check the presence or absence of the major QTLs for drought tolerance, these samples were subjected first to genomic deoxyribonucleic acid (DNA) extraction and then to the Kompetitive Allele Specific Polymerase chain reaction (KASP) method in the INTERTEK laboratory according to the method described by Kanyange [3]. The SNP markers targeting major QTLs for drought tolerance are provided in the Table 6.

**Table 6.** SNP markers and targeted QTLs for drought tolerance.

SNP ID	QTL	Favorable Allele	Unfavorable Allele
snpOS0085	qDTY3.1	A	G
snpOS0091	qDTY12.1	T	C
snpOS00400	qDTY1.1	G	C
snpOS00412	qDTY2.2	C	A

SNP = single nucleotide polymorphisms, QTL = quantitative trait loci.

#### 4.4. Data Collection and Analysis

The drought traits of leaf rolling and leaf drying were recorded at most twice a week once some genotypes showed symptoms and at the end of the stress cycle before reirrigation [38]. The mean leaf rolling scores were obtained using the IRRI standard evaluation system for rice [5], where: 0 = leaves healthy, 1 = leaves start to fold (shallow), 3 = leaves folding (deep V-shape), 5 = leaves fully cupped (U-shape), 7 = leaf margins touching (0-shape), and 9 = leaves tightly rolled.

In the same way, mean leaf drying scores were obtained using the IRRI standard evaluation system for rice [5] where: 0 = no symptoms, 1 = slight tip drying, 3 = tip drying extended up to  $\frac{1}{4}$  length in most leaves, 5 = one-fourth to  $\frac{1}{2}$  of all leaves dried, 7 = more than  $\frac{2}{3}$  of all leaves fully dried, and 9 = all plants apparently dead. The plant height, the number of days to 50% flowering, and the number of days to maturity were recorded.

At the maturity period, data were recorded from ten hills in each plot for the number of tillers per plant, number of panicles per plant, panicle length, number of filled grains per panicle, and 1000 filled grain weight [5]. Data collected from the whole plot included phenotypic acceptability of the plant, phenotypic acceptability of panicle, phenotypic acceptability of seeds, panicle exertion, and grain yield. The filled grains from each plot were weighed using a high-accuracy electronic scale, and grain yield (t/ha, 13%) for each genotype was computed using Formula (1) [42]:

$$\text{Grain yield (t/ha)} = (\text{Plot grain weight (Kg/plot)} \times 10,000 \times (100 - \text{GMC})) / ((100 - 13) \times (\text{harvested plot area}) \times 1000) \quad (1)$$

where t/ha is tons per hectare and GMC is grain moisture content (%).

The percentage reduction in grain yield [28] and the stress tolerance index (STI) [9] were calculated using Formulas (2) and (3):

$$\text{Yield reduction (\%)} = (Y_{\text{ins}} - Y_{\text{is}}) \times 100 / Y_{\text{ins}} \quad (2)$$

$$\text{STI} = (Y_{\text{ins}} - Y_{\text{is}}) / ((Y_{\text{ins}})^2) \quad (3)$$

where,  $Y_{\text{ins}}$  is the Yield of  $i$ th genotype under non-stress condition and  $Y_{\text{is}}$  represents the yield of  $i$ th genotype under stress condition. Other data collected from the whole plot included diseases that were present in many plots or that had high severity, such as sheath rot and brown spot.

The collected data was subjected to restricted maximum likelihood (ReML) and mixed linear model analysis using Genstat14. Genotypes were attributed fixed effects, while

replicates and blocks had random effects. Means were separated using Tukey's test at the 5% level of significance [43] after detecting significant differences. Scores for drought traits, phenotypic acceptability, and diseases were subjected to Kruskal–Wallis nonparametric tests [33] using the Statistical Tool for Agricultural Research (STAR).

The genotypic data underwent a numerical scoring method by assigning one to a positive allele and zero to a negative allele. To test the association between phenotypic and genotypic data, a chi-square test of independence was performed using STAR. To display the genetic dissimilarity of tested genotypes, a weighted neighbor-joining tree was constructed in DARwin 6.0.21 [44]. To generate a dendrogram, the genotypic data was subjected to hierarchical clustering with 1000 bootstrap *p*-values in KDCompute 1.5.2.beta [45].

Through multivariate analysis, a biplot was generated and helped to reduce the number of traits to consider for correlation analysis. To determine genotypes that can be considered as potential parents for drought tolerance improvement in rice, best linear unbiased predictions (BLUPs) were calculated using R statistical software.

## 5. Conclusions

The current study demonstrated that drought stress significantly reduced yield for all tested genotypes. The intensity and duration of drought stress may be considered when selecting drought-tolerant rice lines. Genotypes having high yield best linear unbiased predictions (BLUPs) with two or more major QTLs for drought tolerance, including IR 108044-B-B-B-3-B-B, IR 92522-45-3-1-4, and BRRI DHAN 55, are of great interest for drought tolerance improvement in Burundi. However, further studies using other genotypes, including segregating populations, are needed in Burundi to confirm the effectiveness of qDTY 2.2 and qDTY 1.1 in controlling drought tolerance and their interaction with other potentially putative QTLs. This will enable the breeding program to successfully use these putative QTLs for drought tolerance improvement of locally grown varieties in a changing climate. Future research shall provide a list of genes within the QTLs recommended by IRRI for grain yield under drought stress, their interactions, and their mode of action in the light of ABA-mediated drought tolerance pathways [46], ERECTA-mediated drought tolerance [47], and DREB-based ABA-independent drought tolerance responses [48].

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants12040922/s1>, Table S1: Origin of genotypes, Table S2: Means for agronomic traits including yield and yield components, drought traits and diseases under drought stress in the field. Table S3: Means for agronomic traits including yield and yield components and diseases under irrigated conditions in the field.

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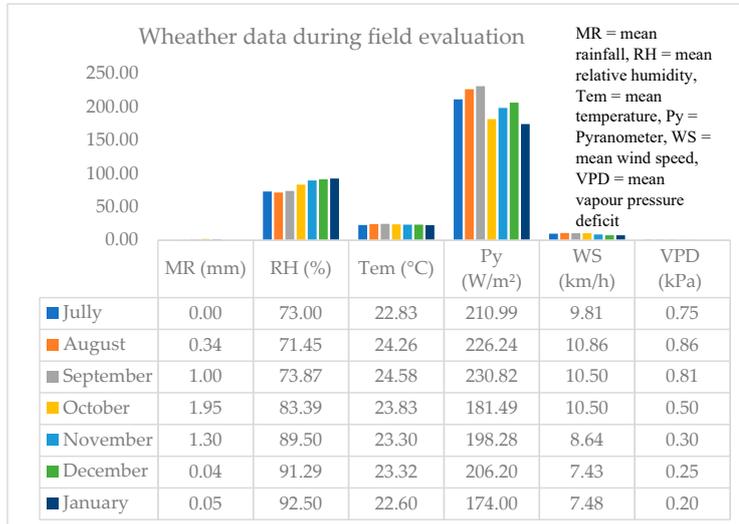
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**Data Availability Statement:** The data supporting the conclusions of this article are provided within the article, in the appendices and in the Supplementary Materials. If more information such as raw data is needed, it will be provided by the corresponding author on reasonable request.

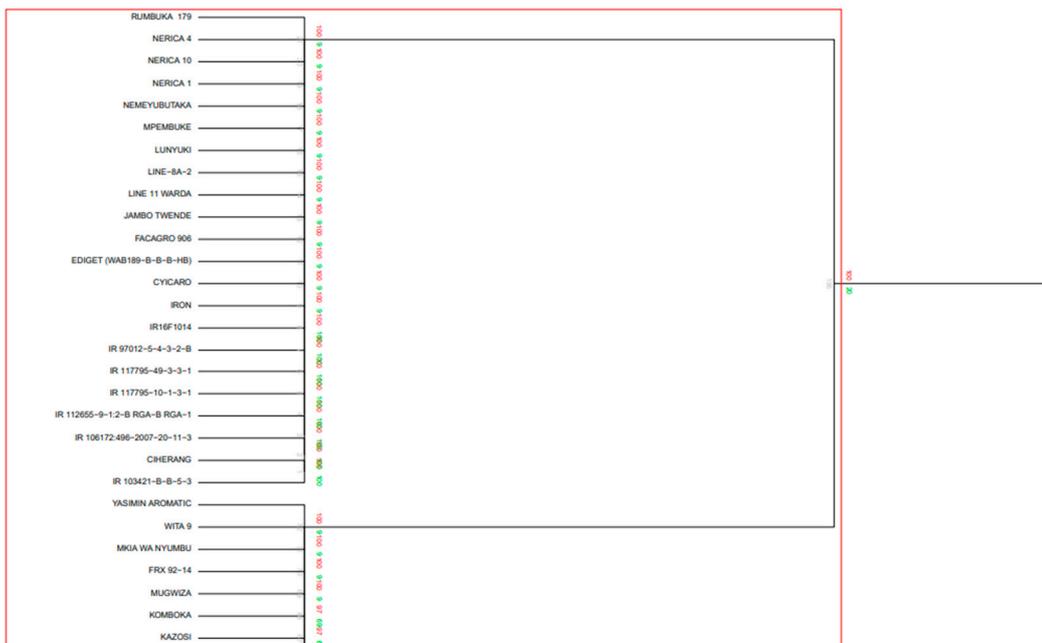
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### Appendix A



**Figure A1.** Weather data in the field at Gihanga from mid-July 2020 to early January 2021.



**Figure A2.** Cont.

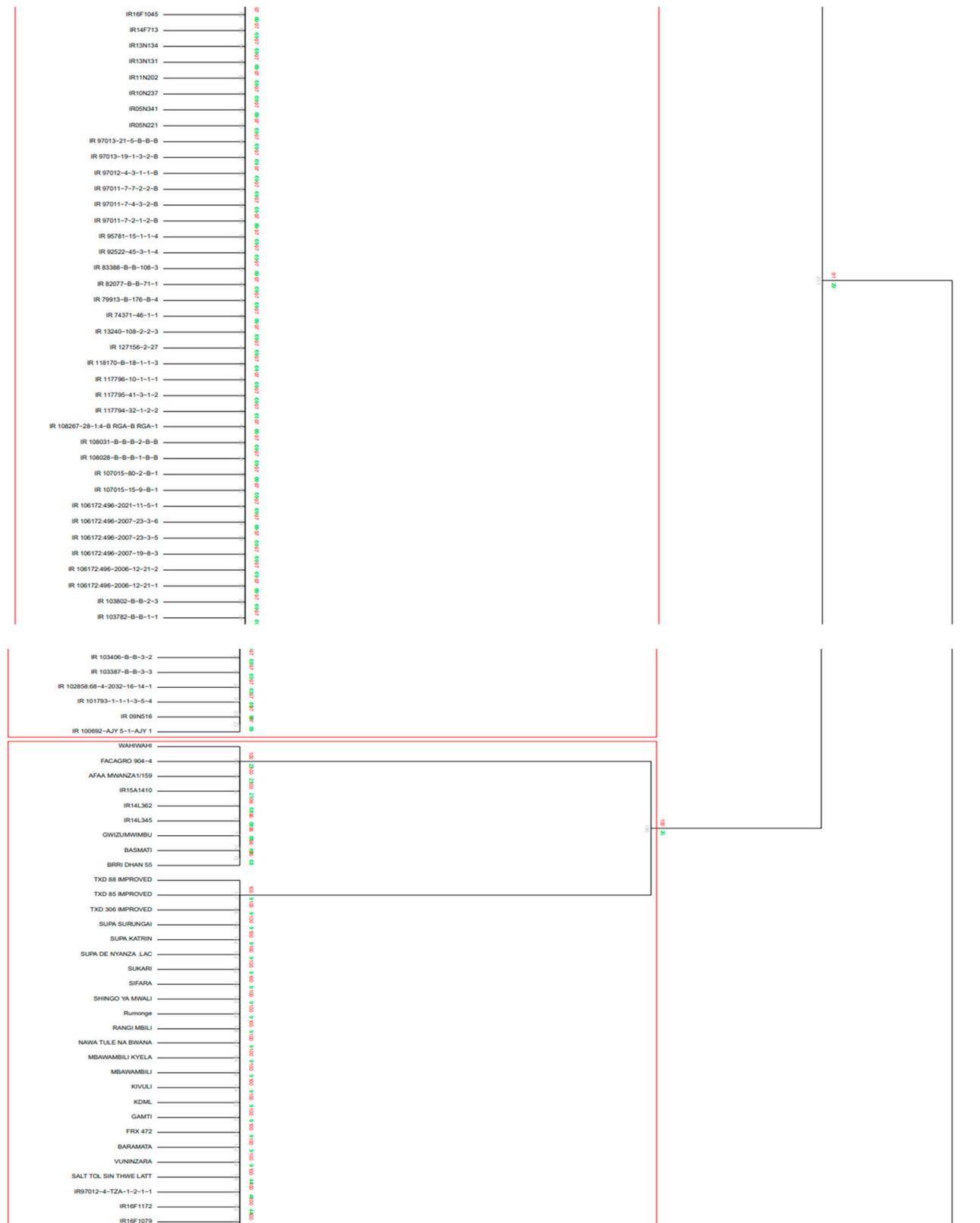


Figure A2. Cont.

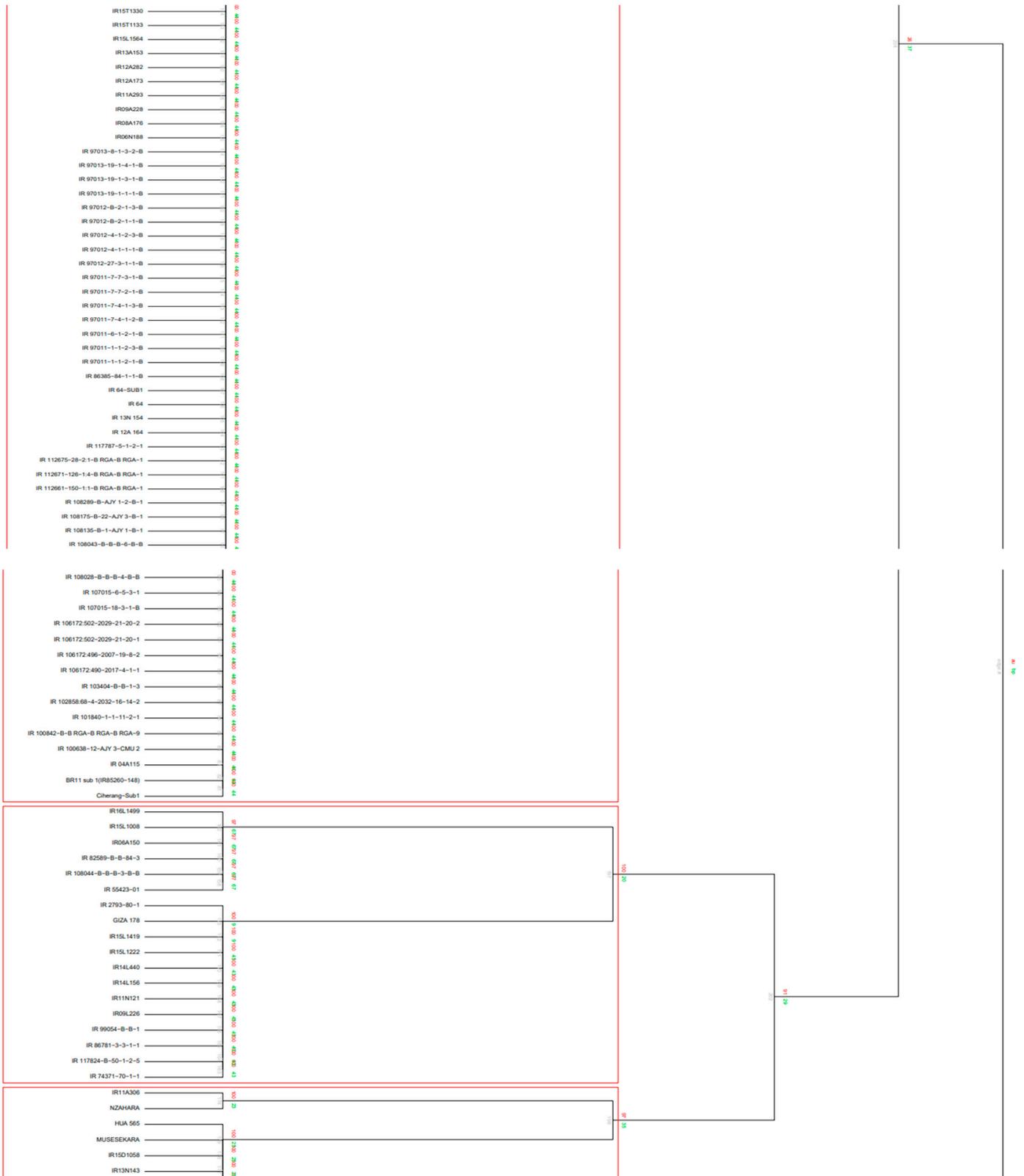


Figure A2. Cont.

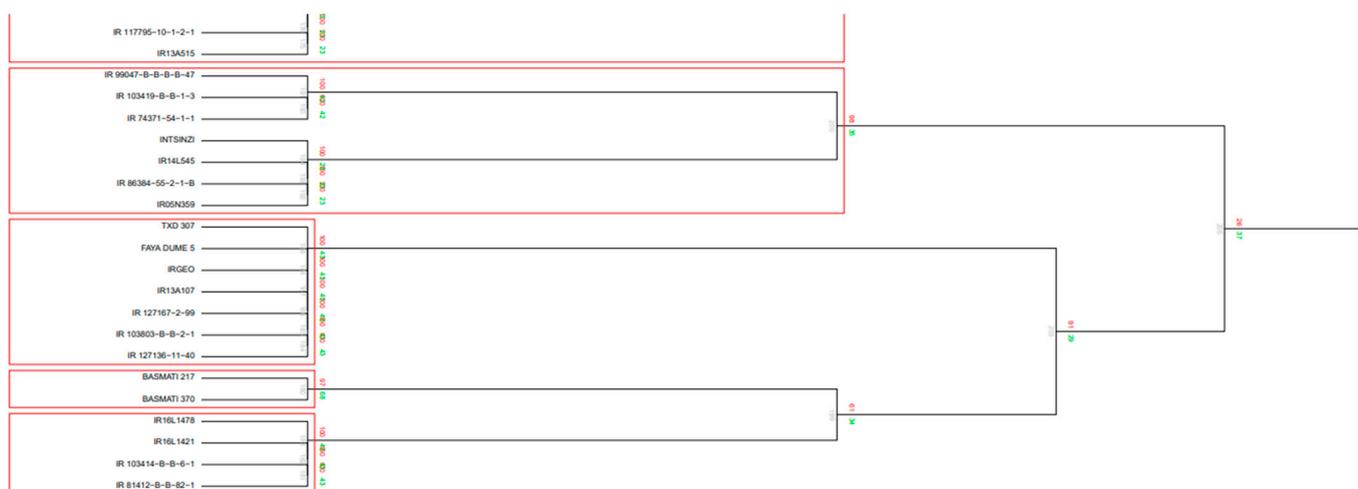


Figure A2. Dendrogram of 215 genotypes based on four targeted QTLs for drought tolerance.

### Appendix B

Table A1. Results of soil analysis for Gihanga site in Imbo Lowland.

Rep	Block	Soil Texture			Texture	PWP (%)
		Sand (%)	Silt (%)	Clay (%)		
1	1	85.8	5.81	12.41	loamy sand	8.5
1	2 and 3	62.25	22.54	13.41	sandy loam	9.1
1	4 and 5	81.91	5.4	11.5	loamy sand	8.5
1	6 and 7	84.36	5.94	7.06	loamy sand	8.5
1	8 and 9	83.14	5.67	6.19	loamy sand	8.5
2	1 and 2	83.28	4.85	10.35	loamy sand	8.5
2	3 and 4	79.42	5.91	11.76	sandy loam	9.1
2	5 and 6	76.74	5.3	15.02	sandy loam	9.1
2	7 and 8	74.2	4.62	14.04	sandy loam	9.1
2	9	79.19	4.97	12.83	sandy loam	9.1
pH and some nutrients content						
pH H <sub>2</sub> O	% N	P (mg/kg)	K(mEq/100 g)	Na(mEq/100 g)	Zn(mg/kg)	Fe(mg/kg)
5.5	0.09	32.4	0.33	0.14	0.37	443

PWP = permanent wilting point, pH = hydrogen ion concentration, N = nitrogen, P = phosphorus, K = potassium, Na = sodium, Zn = zinc, Fe = iron, % = percent, mg = milligram, kg = kilogram, mEq = milliequivalent.

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Article

# Comparative Transcriptome Analysis of Tolerant and Sensitive Genotypes of Common Bean (*Phaseolus vulgaris* L.) in Response to Terminal Drought Stress

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**Abstract:** We conducted a genome-wide transcriptomic analysis of three drought tolerant and sensitive genotypes of common bean to examine their transcriptional responses to terminal drought stress. We then conducted pairwise comparisons between the root and leaf transcriptomes from the resulting tissue based on combined transcriptomic data from the tolerant and sensitive genotypes. Our transcriptomic data revealed that 491 (6.4%) DEGs (differentially expressed genes) were upregulated in tolerant genotypes, whereas they were downregulated in sensitive genotypes; likewise, 396 (5.1%) DEGs upregulated in sensitive genotypes were downregulated in tolerant genotypes. Several transcription factors, heat shock proteins, and chaperones were identified in the study. Several DEGs in drought DB (data Base) overlapped between genotypes. The GO (gene ontology) terms for biological processes showed upregulation of DEGs in tolerant genotypes for sulfate and drug transmembrane transport when compared to sensitive genotypes. A GO term for cellular components enriched with upregulated DEGs for the apoplast in tolerant genotypes. These results substantiated the temporal pattern of root growth (elongation and initiation of root growth), and ABA-mediated drought response in tolerant genotypes. KEGG (kyoto encyclopedia of genes and genomes) analysis revealed an upregulation of MAPK (mitogen activated protein kinase) signaling pathways and plant hormone signaling pathways in tolerant genotypes. As a result of this study, it will be possible to uncover the molecular mechanisms of drought tolerance in response to terminal drought stress in the field. Further, genome-wide transcriptomic analysis of both tolerant and sensitive genotypes will assist us in identifying potential genes that may contribute to improving drought tolerance in the common bean.

**Keywords:** transcriptomics; common bean; root; leaf; terminal drought stress; tolerant genotypes; sensitive genotypes

## 1. Introduction

The common bean (*Phaseolus vulgaris* L.) is grown extensively in various regions, from lowland tropical to semi-arid environments, either as a monoculture or intercropped. As a legume, it is an abundant source of total protein, micronutrients, and energy [1]. In the event of terminal or intermittent drought, common bean yield can be negatively affected by up to 60%, a loss that is only further worsened when soil moisture is reduced to 60–70% during grain filling [2–4]. Plants employ three key strategies to adapt to drought conditions and respond appropriately. Drought escape through changes in molecular mechanisms that allow them to adapt to the environment, drought avoidance through modifications to morphological and physiological characteristics, and drought tolerance by protein stabilization and osmotic adaptations to cope with dehydration [5]. As a result,

a combination of morphological, physiological, biochemical, and molecular changes is more than likely to be induced by changes in the upregulation of several regulatory and functional genes to sense and respond to drought stress [6].

Studying signal perception, gene expression and regulation, and metabolic pathways followed during drought stress is crucial for understanding how plants respond to drought stress [7]. Furthermore, analysis of gene enrichment in metabolic pathways under drought stress will aid in screening for potential genes and drought response mechanisms in diverse plants [8]. It is essential to understand plants' common and specific gene expression and regulation during drought stress [9]. Due to metabolic and biological processes differing between tissues above and below ground [5], energy production in the leaves is fine-tuned based on the water availability in the roots. Understanding how plants respond to drought stress can be improved by investigating differential gene expression patterns between the tissues above and below ground [10]. Such a study may lead to the discovery of a coordinated biological process, or a distinct pattern of processes followed by each type of tissue. Therefore, a better understanding of drought-specific biological processes, and crosstalk between the regulatory mechanisms of different tissues may contribute significantly towards advancing knowledge of the general molecular mechanisms underlying drought response [11].

Next-generation sequencing, such as RNA sequencing (RNA-Seq), has become increasingly common in analyzing plants' transcriptome under drought stress. The Andean [12] and Mesoamerican [13] common bean genomes have been sequenced, with a total of 587 megabase pairs (Mbp) and 549.6 Mbp, respectively. These studies provide insight into the genetic basis of biotic and abiotic stress responses [12]. Therefore, genomic information favors the identification of genes that are drought responsive through the use of transcriptomic analysis [2].

Different genotypes of common bean have been studied for differences in gene expression, co-expression of genes, and the relationship between several pathways and the biological functions of specific genes during drought stress [2,14,15]. Genome-wide gene expression analysis in the contrasting genotypes supports the identification of genetic interactions responsible for diverse drought response patterns, genotype-specific drought responses, and possible candidate genes for breeding drought tolerance [16–18]. Studying drought responses in field conditions is likely to contribute to a better understanding of the molecular mechanisms underlying drought responses and facilitate the development of effective drought mitigation strategies [19].

Tolerant chickpea (*Cicer arietinum*) genotypes were found to be able to conserve water during terminal drought stress, which was later utilized in reproductive stages. Yet there were no significant differences in root traits between tolerant and sensitive genotypes. Interestingly, roots temporal pattern of water uptake relates to drought tolerance [19,20]. Another study showed that the root-to-shoot ratio increased when plants were exposed to the terminal and intermittent drought stress [21]. Thus, root and shoot growth are highly coordinated in water deficit conditions [22]. In a comparison of differential gene expression in response to the onset of water stress between three hybrid *Brachiaria* genotypes, it has been suggested that faster root growth can offer advantages to the plant in terms of extracting water from soil during a short period of rain [23].

In leaves of the signal grass (*Brachiaria* (Trin.) Griseb.) genotypes, a GO term for carbohydrate and cell wall metabolism was enriched by upregulated DEGs compared to downregulated DEGs in tolerant genotypes. Alternatively, DEGs for apoplastic peroxidase activities, which involved lignification and elasticity of secondary cell walls, were significantly downregulated in the roots of all genotypes, suggesting the pattern of gene expression and the root structure may be influential in response to water stress [10]. In the present study, it is anticipated that root DEGs derived from tolerant genotypes might be enriched with respect to the temporal pattern of root activities, which include variation in cellular response and signaling pathways when responding to drought stress, specifically terminal drought stress in field conditions.

Our study provides genome-wide transcriptomic analysis of six genotypes, three tolerant and three sensitive, grown under terminal drought stress to drought conditions. Our previous study tested these genotypes at two locations for broad temperate and tropical adaptations [24]. Terminal drought stress (after flowering) was imposed on all genotypes in the field. The transcriptomes of both leaves and roots have been generated, and comparisons have been made between tolerant roots and leaves and sensitive roots and leaves. It is anticipated that the described approach will lead to greater insight into the molecular mechanisms of drought tolerance in common bean genotypes.

## 2. Materials and Methods

### 2.1. Field Experiment

Six genotypes of common bean (*Phaseolus vulgaris* L.) were used in the present study. Tolerant genotypes: Merlot' / /05F-5055-1/98020-3-1-6-2 (SB-DT3), and USPT-ANT / /'Matterhorn' /98078-5-15-1(SB-DT2) [24], Matterhorn [25], and three sensitive genotypes: Sawtooth [26], Merlot [27], and Stampede [28]. The field experiment was conducted as described previously [29] (Figure 1). A field of silt loam soil (Typic Ustorthents) was used for the cultivation of the common bean genotypes (41°56.6' N, 103°41.9' W, 1240 m elevation). There was 75% silt, 15% sand, and 10% clay in the soil. In addition, it had a cation exchange capacity of 17 meq/100 g, a pH of 7.8, and an organic matter content of 14 mg/g. Since the field contained 20.5 kg of residual nitrogen and the manure credit contained 25.9 kg of nitrogen, no additional nitrogen was applied to the field. Most plants reached anthesis (flowering) before the drought was imposed. Until then, the plants were irrigated by drip irrigation. The precipitation totaled 135.1 mm before flowering. This includes irrigation of the plants twice (101.6 mm) and precipitation of 33.5 mm. The rainfall after flowering totaled 16.3 mm, and the plants were not irrigated. Upon reaching almost physiological maturity, the leaves and roots of the plants were collected and frozen in liquid nitrogen. The samples were collected from three sensitive and three tolerant genotypes grown under terminal drought stress in three replicates, resulting in 18 samples [(3 × 3) + (3 × 3)]. Agronomic characters of the tolerant and sensitive genotypes as well as their starch and fat contents were analyzed in our previous study [29], Subramani et al., 2022. For each genotype, RNA isolation and library preparation were performed separately.



**Figure 1.** Experiments conducted under stress and non-stress conditions in the field.

### 2.2. RNA Isolation and cDNA Synthesis

Total RNA was isolated from leaves and roots using the Spectrum Total Plant RNA kit (Sigma-Aldrich, St Louis, MO, USA) according to the manufacturer's instructions. Genomic DNA contamination was eliminated by using DNase I (Invitrogen, Waltham, MA, USA). RNA concentration and purity were determined by the Nanodrop 2000 spectrophotometer (Thermo Scientific, Wilmington, DE, USA). Most RNA samples had a 260/280 ratio between 2 and 2.1. The RNA integrity was then assessed with agarose gel electrophoresis and

Agilent Bioanalyzer 2100 (Agilent Technologies, Santa Clara, CA, USA). The complementary DNA (cDNA) was synthesized from 1 µg of total RNA according to the manufacturer's instructions using the ProtoScript II First Strand cDNA Synthesis kit (New England Biolabs, Ipswich, MA, USA).

### 2.3. Library Preparation and Sequencing

The RNA-Seq libraries were prepared using the Illumina TruSeq Stranded mRNA Sample Preparation Kit (Illumina Inc., San Diego, CA, USA). Briefly, Poly(A) tail mRNA was enriched for first-strand c-DNA synthesis. Following the second strand synthesis, multiple washes were carried out for the end repairs. A single A nucleotide was then added to the 3' ends. PCR was performed to enrich both ends of the shorter fragments with adapters. The cDNA fragment pools were then loaded, and a paired-end read of 150 bp sequencing was performed on the Illumina HiSeq™ 2500 at the Delaware Biotechnology Institute in Newark, DE, USA.

### 2.4. Pre-Processing RNA-Seq Data

*Phaseolus vulgaris* v2.1, downloaded from phytozome v13, was used for genome and gene references. The following URLs were accessed on 8 September 2021. ASTQC (v0.11.9; <http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>) was used to assess sequence quality, and Trim Galore (v 0.6.5; [http://www.bioinformatics.babraham.ac.uk/projects/trim\\_galore/](http://www.bioinformatics.babraham.ac.uk/projects/trim_galore/)) was used to trim adapters and reads based on Phred33 score 30, and reads of at least 75 bases were retained. The quality-trimmed reads were mapped to the STAR-indexed genome using STAR (v2.7.9a) [30]. The STAR mapping results, and annotation files (GTF/GFF) were used for reference genome as input for the HTSeq package (version 0.13.5) [31] to calculate the read counts for each gene feature for each sample. For htseq-count, -m union and the -s reverse were used. A read count matrix for all samples was generated by merging counts from all samples with custom Perl scripts.

### 2.5. Differentially Expressed Genes (DEGs) Identification

DEGs (differentially expressed genes) were identified using DESeq2 (v 1.32.0) [32]. Pairwise comparisons were made between Tolerant root vs leaves and Sensitive root vs leaves. A read count matrix generated using HTSeq was used as input for DESeq2. Genes with a non-zero read count in at least one sample were selected. Then zeros in the matrix were replaced by ones to avoid infinite values being calculated for fold change. DESeq2 uses the negative binomial distribution based on the data model and performs specific estimate variance–mean tests. DESeq2 determines significant DEGs using the Wald test. *p*-value and adjusted *p*-values of false discovery rate (FDR) to correct for multiple tests were added using DESeq2 based on the Wald test.

### 2.6. Functional Analysis of DEGs

ClusterProfiler (Version 4.0.5) [33], and R package (R Version 4.1.0) were used to perform Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment of significant differentially expressed genes in all pairwise comparisons ( $\text{padj} < 0.05$  and  $\log_2 \text{FC} \pm 2$ ). Only the DEGs lists were included for analysis. To perform GO analysis of corresponding DEGs, the  $\log_2 \text{FC}$  was included as input for agriGO v2 [34] using Singular Enrichment Analysis (SEA) and parametric Analysis of Gene Set Enrichment (PAGE) tools. We selected *Phaseolus vulgaris* species and custom GO database of *P. vulgaris* v 2.1 genome (downloaded from Phytozome v13) (accessed on 8 September 2021) and default parameters such as complete GO database, significance cutoff 0.05, and Hochberg (FDR) method as multiple test correction methods to perform agriGO. The statistically significant GO terms associated with DEGs were derived.

## 2.7. Volcano Plots

Volcano plots were generated to illustrate a pattern of significant DE genes using the “EnhancedVolcano” R package (v1.10.0) (R version 4.1.0) (accessed on 16 November 2021) using default options/parameters. The pattern and number of significant DE genes were selected based on  $\log_2FC$  and  $padj < 0.05$ .

## 2.8. Quantitative Real-Time (qRT-PCR)

QRT-PCR was performed to validate the RNA-Seq results. The C-DNA was synthesized from the root RNA samples. The primers were designed for the up and down-regulated DEGs. The list of primers and the corresponding genes were provided in Supplementary Table S6. The primers were designed using the primerQuest™ Tool (Integrated DNA Technologies (IDT), Coralville, IA, USA). The primers were validated by conventional PCR before being used in qRT-PCR. The qRT-PCR was performed on the ABI 7500 real-time PCR (Applied Biosystems, Foster City, CA, USA). Each reaction was carried out in a 25  $\mu$ L master mix containing 100 ng of C-DNA, 10  $\mu$ M each of forward and reverse primers, and 12.5  $\mu$ L of SYBR Green PCR Master Mix (Germantown, MD, USA). The reaction was run at an initial denaturation of 95 °C for 10 min, followed by 40 cycles at 95 °C for 15 s and a final extension at 60 °C for 1 min. The samples were run in triplicate, and the actin gene [35] was used to normalize qPCR samples and as an internal control. The comparative CT method  $2^{-\Delta CT}$  [36] was used to calculate relative expressions.

## 3. Results

### 3.1. Data Quality and Summary of Reads

A total of 4615 million reads were obtained from the tolerant and sensitive root and leaf samples. There were approximately 129 million reads from each sample (Table 1). More than 92% of reads were mapped to the reference genome (*Phaseolus vulgaris* v2.1). These confirmed the high data quality and could be used for further analysis. In order to visualize the variation in RNA sequences between root and leaf samples, principal component analysis was performed with DESeq2. There was a clear distinction between root and leaf replicates from tolerant and sensitive genotypes on the PCA scatter plot (Supplementary Figure S1). In addition, this will provide further confirmation that there is great variation in gene expression between the roots and leaves.

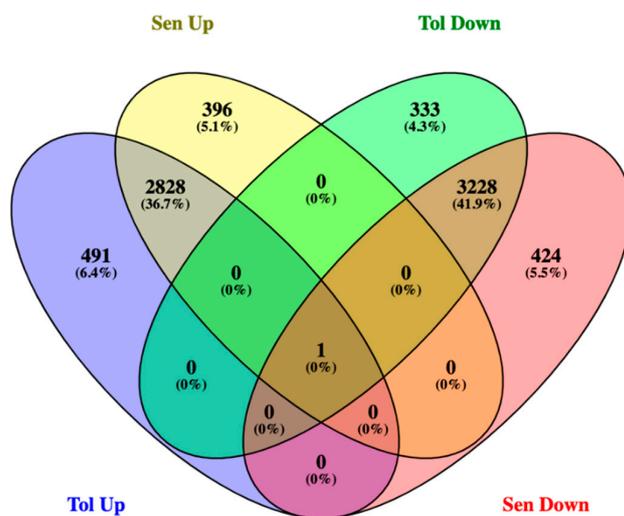
**Table 1.** Summary of reads with average read counts for the individual samples and the cumulative totals of both roots and leaves.

Tolerant Root		Tolerant Leaves		Sensitive Root		Sensitive Leaves	
Matt_7R	139,640,906	Matt_10L	107,711,956	Swa_4R	130,624,430	Swa_2L	130,454,386
Matt_7R	138,965,052	Matt_14L	116,817,638	Swa_5R	133,482,394	Swa_6L	110,347,824
Matt_9R	154,185,446	Matt_17L	117,538,996	Swa_6R	152,247,298	Swa_9L	125,654,646
<b>Total</b>	<b>432,791,404</b>	<b>Total</b>	<b>342,068,590</b>	<b>Total</b>	<b>416,354,122</b>	<b>Total</b>	<b>366,456,856</b>
<b>Average</b>	<b>144,263,801</b>	<b>Average</b>	<b>114,022,863</b>	<b>Average</b>	<b>138,784,707</b>	<b>Average</b>	<b>122,152,285</b>
MerX_10R	174,025,346	MerX_11L	162,408,546	Mer_1R	104,058,518	Mer_1L	160,053,034
MerX_11R	119,788,128	MerX_15L	119,504,092	Mer_2R	120,540,136	Mer_5L	144,966,788
MerX_12R	106,557,634	MerX_16L	110,960,258	Mer_3R	112,068,328	Mer_7L	128,432,570
<b>Total</b>	<b>400,371,108</b>	<b>Total</b>	<b>392,872,896</b>	<b>Total</b>	<b>336,666,982</b>	<b>Total</b>	<b>433,452,392</b>
<b>Average</b>	<b>133,457,036</b>	<b>Average</b>	<b>130,957,632</b>	<b>Average</b>	<b>112,222,327</b>	<b>Average</b>	<b>144,484,131</b>
USPT_13R	84,249,968	USPT_12L	168,923,136	Stam_16R	115,711,432	Stam_3L	110,748,340
USPT_14R	128,161,580	USPT_13L	107,542,292	Stam_17R	102,825,568	Stam_4L	140,862,648
USPT_15R	121,624,450	USPT_18L	138,913,792	Stam_18R	144,821,904	Stam_8L	129,873,270
<b>Total</b>	<b>334,035,998</b>	<b>Total</b>	<b>415,379,220</b>	<b>Total</b>	<b>363,358,904</b>	<b>Total</b>	<b>381,484,258</b>
<b>Average</b>	<b>111,345,333</b>	<b>Average</b>	<b>138,459,740</b>	<b>Average</b>	<b>121,119,635</b>	<b>Average</b>	<b>127,161,419</b>

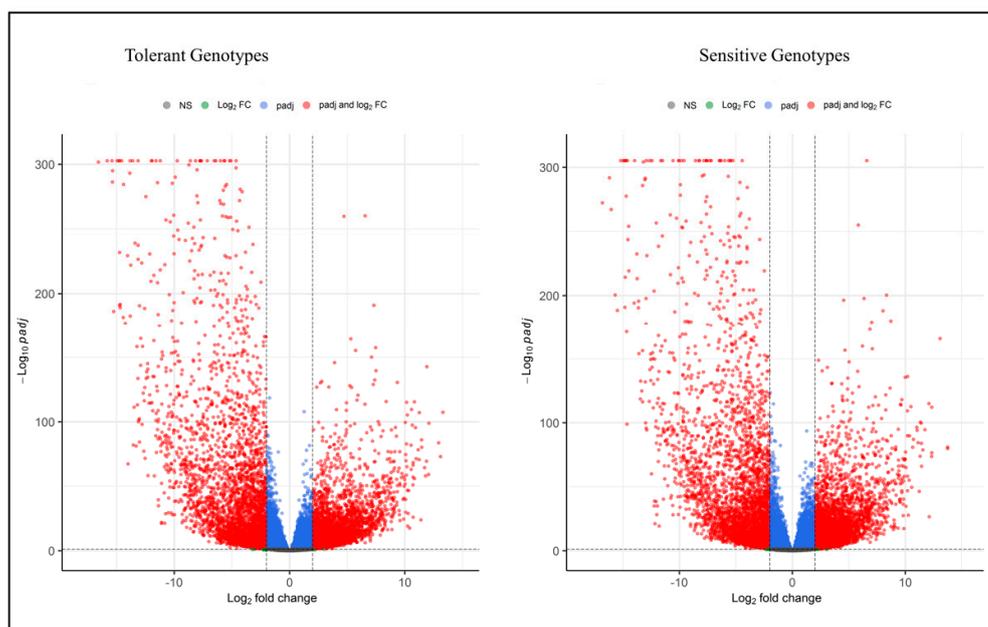
Matt—Matterhorn; MerX—MerlotX; Swa—Sawtooth; Mer—Merlot; Stam—Stampede.

### 3.2. Specific Gene Expression within Tolerant and Sensitive Genotypes

Using the two pairwise comparisons (Tolerant genotypes roots vs. leaves, Sensitive genotypes roots vs. leaves), the total number of responsive genes identified with the restriction to  $FDR < 0.05$  was 15,685 for the tolerant and 16,226 for the sensitive genotypes (Supplementary Tables S1 and S2). With  $FDR < 0.05$  and  $\log_2 FC \pm 2$ , 491 (6.4%) upregulated DEGs were unique to the tolerant genotype. Similarly, 396 (5.1%) were enriched in sensitive genotypes (Figure 2). These DEGs are likely to play an important role in response to terminal drought. Furthermore, the scatter plot was constructed based on the  $\log_2$  fold change and  $-\log_{10} p_{adj}$  to determine the upregulated and downregulated genes by restricting  $FDR < 0.05$  and  $\log_2$  fold change  $\pm 2$ . In total, 3319 DEGs were upregulated, and 3561 genes were downregulated in the tolerant genotypes comparisons. In the sensitive genotypes comparisons, 3224 DEGs were upregulated, and 3652 were downregulated (Figure 3).



**Figure 2.** Venn diagram shows the potential upregulated and downregulated DEGs in tolerant and sensitive genotypes. DEGs were quantified based on  $\log_2$  fold changes  $\pm 2$  and  $FDR < 0.05$ .



**Figure 3.** Volcano plots illustrate the up and downregulation of DEGs in tolerant and sensitive genotypes. The red color indicates the up and down regulation of DEGs based on the  $\log_2$  fold change and  $p_{adj} < 0.05$ .

### 3.3. GO Enrichment Analysis

A GO annotation analysis was performed to analyze DEGs based on  $\text{padj} < 0.05$ , associated with cellular components, molecular functions, and biological processes. In both the genotypes comparisons, biological process enrichment revealed that DEGs enriched in photosynthesis, light harvesting, response to oxidative stress, recognition of pollen, defense response, lipid biosynthetic process, response to biotic stimulus, and response to auxin (Figure 4). Among the selected DEGs 2726 of the tolerant genotypes comparisons, 345 genes were involved in diverse biological processes. In contrast, out of 2706 DEGs, 303 genes were involved in biological processes for the sensitive genotypes. It was found that sulfate transport and drug transmembrane transport were the two biological processes enriched specifically in tolerant genotype comparisons (Figure 4). The gene ratios were almost identical between the two genotypes for each biological process (Supplementary Table S3). Among 3576 DEGs, 133 and 92 were enriched for cellular component function for tolerant and sensitive genotype comparisons, respectively. The DEGs specific to cellular components, such as the apoplast, were enriched in the tolerant genotypes compared to the sensitive genotypes. In each cellular component function, the gene ratios were similar. However, the tolerant genotypes were more enriched than the sensitive genotypes for the molecular function category. These include xenobiotic transmembrane transporter activity, antiporter activity, secondary active sulfate transmembrane transporter activity, sulfate transmembrane transporter activity, electron transfer activity, and xyloglucan:xyloglucosyl transferase activity. Also, specific molecular functions enriched for sensitive genotypes were hydrolase activity, acting on ester bonds, and two iron, two sulfur cluster binding. Higher DEGs ratios for heme binding were 189 out of 3448 and 186 out of 3424 for the tolerant and sensitive genotypes, respectively. The differences in the DEGs enrichment may indicate the possible biological functional differences in the tolerant and sensitive genotypes in response to drought stress.

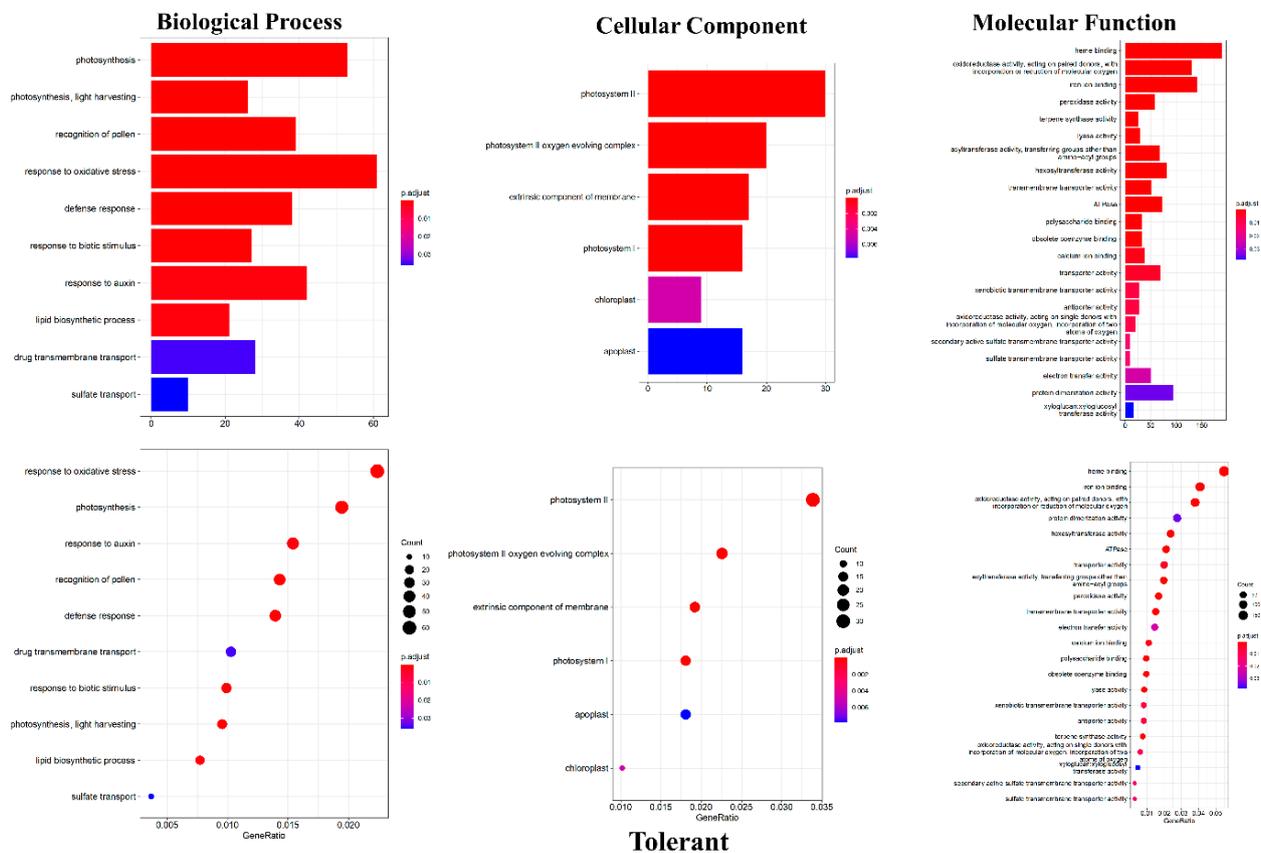
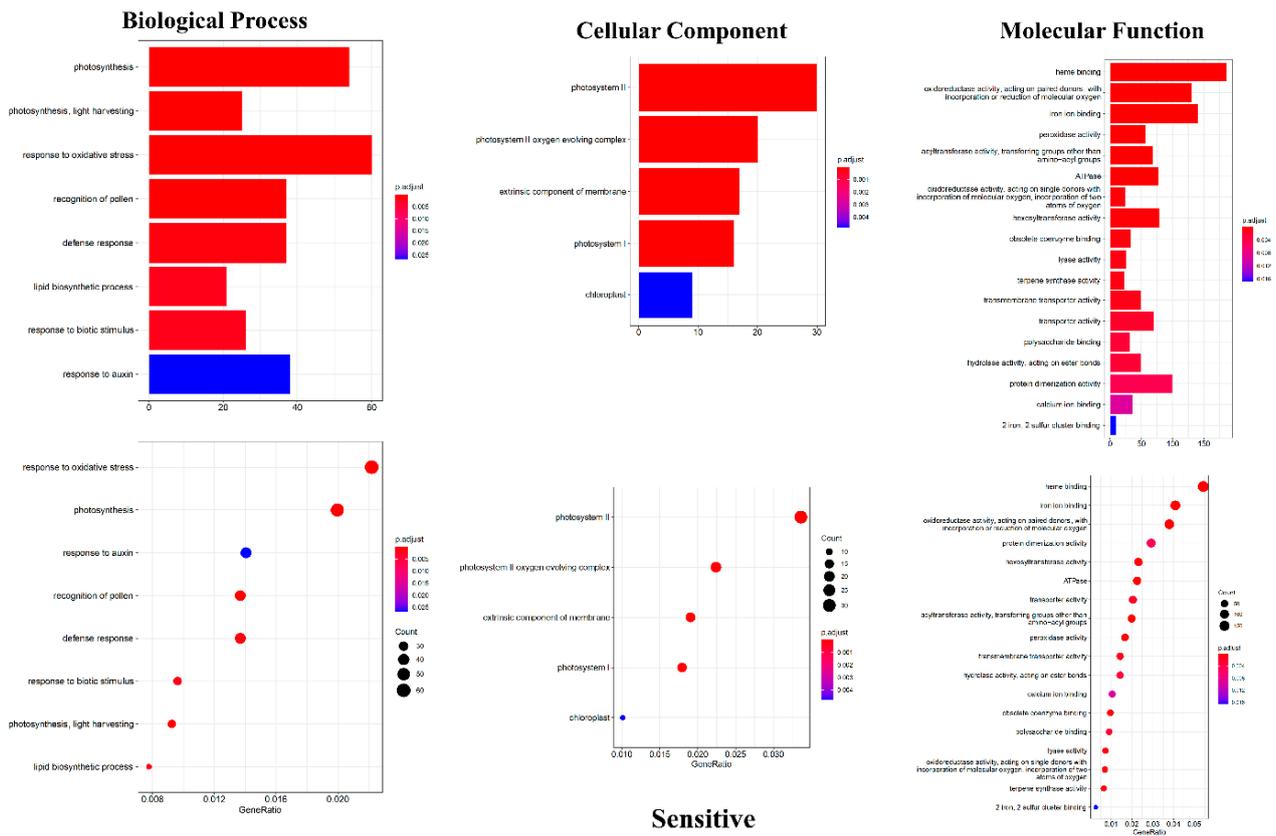


Figure 4. Cont.

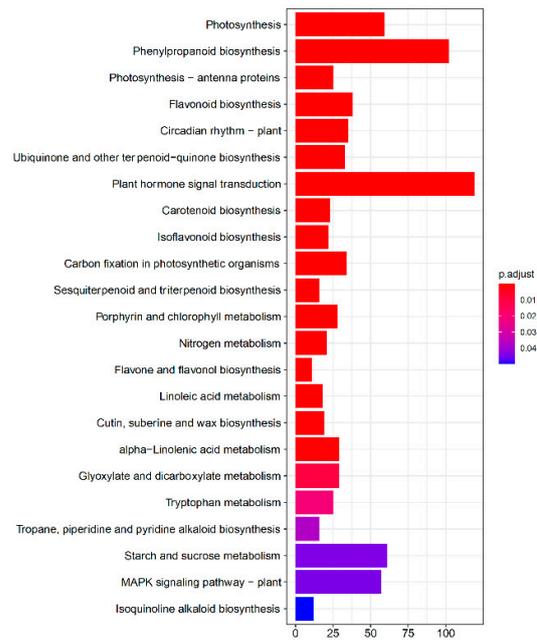


**Figure 4.** Based on gene ontology (GO) annotation, the root and leaf comparisons of tolerant and sensitive genotypes reveal DEG enrichment for biological processes, cellular components, and molecular functions. Gene ratios for enriched DEGs are included. The number of genes involved are plotted against each GO terms (Biological process, cellular components, molecular function). The DEGs were annotated against the GO database. The GO enrichment was based on the padj < 0.05.

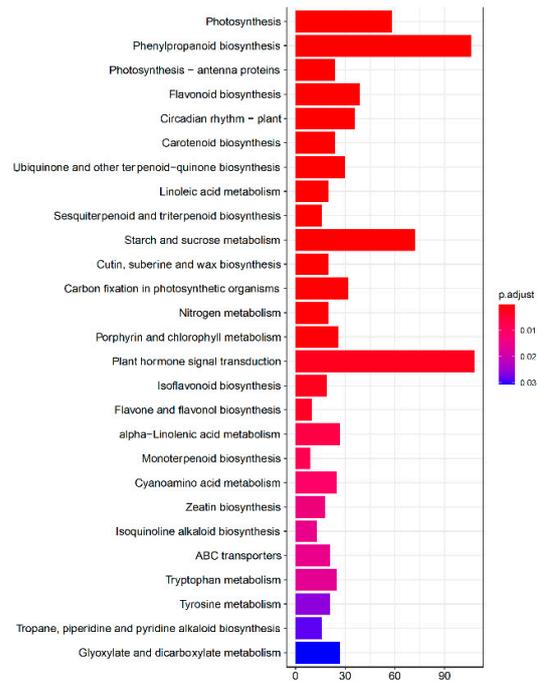
### 3.4. KEGG and DEGs

In addition, KEGG enrichment function analyses were performed for both the tolerant and sensitive genotype comparisons. In both the tolerant and sensitive genotype comparisons, the number of genes enriched for the plant hormone signal transduction pathway followed by phenylpropanoid biosynthesis was higher than the other pathways (Figure 5). MAPK signaling pathway was specific to tolerant genotype comparisons, while monoterpenoid biosynthesis, cyanoamino acid metabolism, zeatin biosynthesis, ABC transporters, and tyrosine metabolism were exclusive to sensitive genotype comparisons. More pathways in sensitive than tolerant genotype comparisons were identified. DEGs for starch and sucrose metabolism were comparatively upregulated in sensitive genotypes. Differences in the pathways may be associated with specific functional roles in response to drought stress.

## Tolerant



## Sensitive

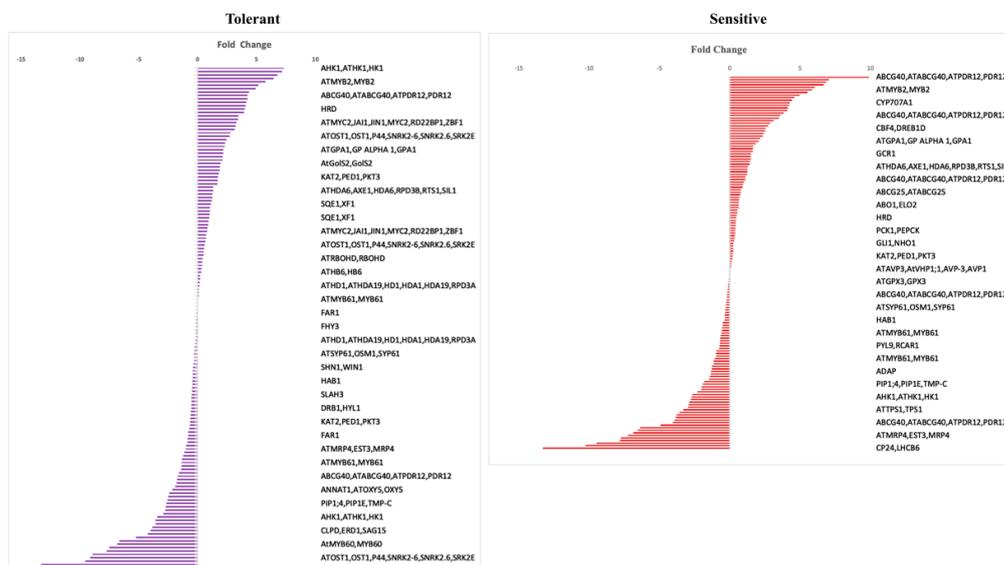


**Figure 5.** DEG enrichment of the metabolic pathway. There was a difference between the tolerant and sensitive genotypes in the number of genes enriched for the particular pathways.

### 3.5. DEGs in Drought DB (Data Base)

It was found that 155 and 154 DEGs from tolerant and sensitive genotype comparisons, respectively, had best hits to *Arabidopsis* genes in the drought database. These lists of genes, their names, and descriptions, identified from phytozome, along with the corresponding log<sub>2</sub>FC, are included in an Excel file (Supplementary Table S4). Among the DEGs, Phvul.002G107100 and Phvul.001G166500 were the two genes with higher expression (Log<sub>2</sub>FC > 7) in the tolerant and sensitive genotypes comparisons (Figure 6). The Phvul.002G107100 gene is homologous to AHK1 in *Arabidopsis*. The AHK1 (Histidine kinase 1) gene was reported to regulate drought and salt responses through ABA (abscisic acid) independent and dependent signaling pathways [37]. Similarly, the sensitive geno-

types contain the homologous gene Phvul.001G166500 to *Arabidopsis* ABCG40. The *atabcg40* mutants exhibited reduced drought tolerance due to impaired lateral root formation and loss of stomatal function [38]. Upregulated DEGs might play an important role in drought responses associated with ABA in both genotypes' comparisons.



**Figure 6.** DEGs identified in the tolerant and sensitive genotype comparisons have the best hits to *Arabidopsis* genes in drought DB. DEGs are shown with fold changes.

### 3.6. Transcription Factors (TFs)

Out of 25,419 genes, 1418 TFs were identified in the tolerant genotype comparisons. Similarly, out of 25,466 genes in the sensitive genotypes, 1416 TFs were identified (Supplementary Table S5). The C2H2 family protein of TFs was associated with the up-regulated DEGs Phvul.002G075900 and Phvul.002G075900 with  $\text{Log}_2\text{FC} > 10$  in tolerant and sensitive genotypes, respectively. Transcription factors zinc finger protein 7 and LOB domain-containing protein 27 were identified specifically in the tolerant genotypes' comparisons. In the sensitive genotypes, myb domain protein 82, myb domain protein 21, WOX family protein, homeodomain GLABROUS 12 AGAMOUS-like 58, myb domain protein 101, myb domain protein 64 and zinc finger protein 3 were identified specifically.

### 3.7. Validation of Differentially Expressed Genes

To confirm the results of RNA-Seq, we analyzed the expression patterns of randomly selected up and downregulated differentially expressed transcripts using qRT-PCR. QRT-PCR results for selected DEGs were similar to those obtained by RNA-Seq. Comparisons of the expression patterns revealed a strong correlation ( $r^2 = 0.8693$ ) (Supplementary Figure S2) between both techniques.

## 4. Discussion

The incidence of terminal drought stress in common bean is most common in bean growing areas. Drought stress adversely impacts the quality and yield of common bean, particularly during the pod development and seed filling stages [4,39]. Therefore, improving the plant's ability to withstand this stress is considered a key objective of breeding programs [4,40]. The complete genome sequence of the common bean is now available. This makes it possible to discover transcription regulation patterns and enrich gene databases by exploring transcriptome maps and related pathways among tolerant and sensitive genotypes. In addition to improving drought tolerance, this may also help identify the molecular mechanisms of abiotic stress [2]. Several studies have examined the transcriptional response of common bean to drought stress under controlled conditions [2,15,41–44].

However, studies that developed and utilized transcriptome data associated with terminal drought stress imposed on field grown common bean genotypes are limited. The primary objective of this study is to identify transcriptional changes and molecular mechanisms underlying the terminal drought stress induced on sensitive and tolerant genotypes of field-grown common beans.

#### 4.1. Potential DEGs in Tolerant and Sensitive Genotypes

In total, 486 DEGs that were upregulated in tolerant genotypes were found to be downregulated in sensitive genotypes. It is likely that these DEGs contribute to drought tolerance. Among these genes, Phvul.010G050500, an ethylene-responsive element binding factor 13, has been reported as a drought candidate gene in solanaceous plants and is involved in gene regulation of metabolic pathways [45]. Phvul.010G088500 (S-locus lectin protein kinase family protein) is also known to be expressed highly in rice (*Oryza sativa*) and *Arabidopsis* as a response to stress conditions such as drought, salt, and other biotic stresses [46]. The log<sub>2</sub>FC of these genes was >5 in tolerant genotypes. Also, the C2H2 and C2HC zinc fingers superfamily protein (Phvul.002G256900) and the ethylene response factor 1 (Phvul.001G160200) both display log<sub>2</sub>FC > 4 and are upregulated specifically in tolerant genotypes. These transcription factors regulate gene expression in abiotic stresses such as drought and salt genes in *Arabidopsis* [47,48]. Similarly, 392 DEGs upregulated in sensitive genotypes were downregulated in tolerant genotypes. Among the DEGs, Phvul.011G163300, annotated as Ankyrin repeat family protein, had a log<sub>2</sub>FC > 2. Ankyrin repeat protein (DRA1) is reported to negatively regulate drought tolerance in *Arabidopsis* [49]. The volcano plot analysis indicated that more genes were up and downregulated in the tolerant genotypes than in the sensitive genotypes. In the tolerant genotypes, Phvul.011G182500 had a log<sub>2</sub>FC > 13 and padj < 0.05. This gene is associated with an MLP-like protein 43 that is highly expressed in roots and cotyledons. It is a positive regulator of drought stress response and regulates ABA-responsive gene expression [50]. Additionally, Phvul.003G285900 is upregulated (Log<sub>2</sub>Fc > 7) with best hits in drought DB in drought-tolerant genotypes, while its homologous (PCK1) has been reported to influence drought tolerance in *Arabidopsis* by reducing stomatal conductance [51]. Similarly, in sensitive genotypes, Phvul.001G166500 showed upregulation with log<sub>2</sub>FC > 9, and its homologous PDR12 (pleiotropic drug resistance 12) was reported to improve drought tolerance mediated through efficient ABA transport in *Arabidopsis* [38]. DEGs such as Phvul.008G147800 and Phvul.L002632 were upregulated in both tolerant and sensitive genotypes with log<sub>2</sub>FC > 13. They belong to the RmlC-like cupins superfamily protein, which is known to function in the biological process of small molecules (metabolites) involved in oxidoreductase and disomerase activities. It has been reported that these metabolites, such as amines and sulfur amino acids, contribute to maintaining osmotic potential and preventing water loss in plants during stress conditions [52]. In addition, a similar gene was identified (Phvul.002G107100) that has the highest hits in drought DB as well as a higher expression level, i.e., log<sub>2</sub>FC > 7, in both the tolerant and sensitive genotypes. The homologous version of this gene (HK1) has enhanced drought tolerance and indicates ABA-dependent drought response in *Arabidopsis* [37,53].

#### 4.2. GO Enrichment

GO enrichment was carried out for the selected DEGs to identify the preferred GO terms based on padj < 0.05. The analysis revealed enrichment in DEGs in response to various stresses or inducers, such as photosystem, oxidative stress, signaling, hormone, biotic stimulus, metabolite transport, defense response, and enzymes. In common bean, these GO terms are primarily enriched during drought stress [2], indicating that DEG enrichment is drought-specific and responsive to terminal drought stress. Among GO terms for biological processes, the DEGs upregulated in the tolerant genotypes specifically are related to sulfate transport and drug transmembrane transport compared to sensitive genotypes. Similarly, Pereira et al., 2020 [2] reported DEG enrichment associated with the

formation of sulfur-containing compounds in drought-tolerant genotypes of common bean. Sulfate transport is an important component of abiotic stress responses, such as drought. When plant roots are exposed to abiotic stresses, such as drought, sulfate transport plays a critical role in producing sufficient ABA and glutathione compounds [54]. As part of the ABA biosynthesis process, cysteine is utilized as a sulfur donor via sulfate transport. Additionally, sulfate accumulation in the root system increases cysteine and glutathione compounds, which contribute to root growth during drought stress [55]. Therefore, it is possible that upregulation of sulfate transport in tolerant genotypes is critical for drought tolerance through an increase in ABA synthesis, glutathione, and root growth during terminal drought stress. In addition, DEGs' enrichment for drug transmembrane transport is elevated in drug-tolerant genotypes. The upregulation of DEGs for drug transmembrane transport has been reported in other plant species such as *Phormium tenax* (New Zealand Flax), *Pinus massoniana* (Masson Pine), and *Boea hygrometrica* [56–58] under drought stress as well. In contrast, both genotypes exhibited higher enrichment of gene ratios related to the GO term "response to oxidative stress".

In the GO term for cellular components, DEG enrichment for apoplast was upregulated in the tolerant genotypes, but downregulated in the sensitive genotypes. In drought-stressed plants, the alkaline nature of apoplast aids in the accumulation of anionic form of ABA to initiate stomata closure [59]. During drought stress, apoplasts are also reported to participate in nutrient transfer from roots and ROS (reactive oxygen species)-mediated cell signaling [60,61]. Moreover, apoplastic ROS can enhance root elongation via loosening cell walls and protect the root from ROS-induced damage during water stress [62]. Thus, apoplasts play a role in endogenous signaling as well as in the initiation of root growth in tolerant genotypes during terminal drought stress. For the molecular function category, DEGs' enrichment was found to be upregulated for more GO terms such as xenobiotic transmembrane transporter activity, antiporter activity, secondary active sulfate transmembrane transporter activity, sulfate transmembrane transporter activity, electron transfer activity, and xyloglucan:xyloglucosyl transferase activity in tolerant genotypes than in sensitive genotypes. Their role in enhancing drought tolerance has been reported [63–65].

#### 4.3. KEGG

KEGG pathway enrichment was conducted for significant DEGs in the tolerant and sensitive genotype comparisons. In both genotypes, the number of DEGs associated with plant hormone signal transduction pathways is high, followed by phenylpropanoid biosynthesis. Plant hormones play a key role in regulating plant growth, development, and response to biotic and abiotic stresses. Several plant hormones, including ABA, jasmonic acids (JA), ethylene (ET), and salicylic acids (SA), may act as mediators in the prevention or response to drought stress [66]. The hormones work through a coordinated network of signal transduction and cross-talk between hormones to facilitate the switchover of pathways to cope with drought stress [67]. Similarly, Wang et al. (2020) [68] report that the shared DEGs in response to drought stress indicate overlap and crosstalk between hormone signal transduction pathways in Tobacco (*Nicotiana tabacum*).

Phenylpropanoids, such as flavonoids, isoflavonoids, plant hormones, anthocyanins, and lignins, play an important role in biotic and abiotic stress [69]. DEGs associated with the phenylpropanoid pathway were enriched in tolerant genotypes in this study. A similar enrichment of DEGs for the phenylpropanoids pathway has been reported in foxtail millet (*Setaria italica* (L.) P. Beauv.) under drought stress [70].

However, DEGs' enrichment for the MAPK signaling pathway was upregulated in tolerant genotypes. Mitogen-activated protein kinases (MAPKs) are signal transduction modules that transmit extracellular signals into cells. They regulate gene expression by phosphorylating many transcription factors. A number of MAPK components, including MAPK kinase and MAPK kinase kinase, contribute to the development of cells, hormonal activities, and biotic and abiotic stresses [71,72]. A drought-induced MAPK pathway enrichment with upregulated DEGs has been reported for pearl millet (*Pennisetum glaucum*

(L.) [73]. There is also a possibility that in this study, the interaction of enriched pathways, such as the plant hormone signaling pathway and MAPK pathways, can positively impact drought tolerance in tolerant genotypes, as they share common byproducts [74].

DEGs enrichment for monoterpenoid biosynthesis was upregulated in sensitive genotypes. Plant terpenes are synthesized in the cytosol as well as plastid through the mevalonate (MVA) and 2C-methyl-D-erythritol-4-phosphate pathway (MEP) [75]. Terpenoid metabolites have been reported to protect plants against biotic and abiotic stresses [76]. Field drought stress conditions were found to modulate monoterpenoid biosynthesis. Long-term and severe field drought stress resulted in the downregulation of DEGs that encode structural enzymes for monoterpenoid biosynthesis [77]. This may explain the downregulation of DEGs for monoterpenoid biosynthesis in tolerant genotypes in this study. Similarly, Wan et al. (2022) [78] reported that DEGs downregulated for monoterpenoid biosynthesis in alfalfa (*Medicago sativa* L.) under drought stress.

## 5. Conclusions

We analyzed comparative transcriptomes of roots and leaves of tolerant as well as sensitive genotypes of field-grown common beans under terminal drought stress. DEG regulation was significantly different between tolerant and sensitive genotypes, with downregulated DEGs significantly higher in sensitive genotypes than upregulated DEGs. DEGs overlapping between genotypes were also found in the drought database. Several transcription factors, heat shock proteins, and chaperones were identified in both genotype comparisons. ABA-regulated drought stress responses were associated with DEGs with higher expression in both genotypes. It was found that DEGs in the tolerant genotype were involved in signal transduction, oxidative stress damage, and transportation. The enrichment of DEGs for biological and cellular components' GO terms in tolerant genotypes may further explain the temporal pattern of root growth and the ABA-dependent drought tolerance. KEGG pathway analysis indicates that drought-tolerant genotypes exhibit crosstalk between pathways. It is likely that transcription factors associated with pathways, including ERF, BHLH, EIL, and bZIP, contribute to drought tolerance in response to terminal drought stress. The pairwise transcriptomic approaches revealed molecular signatures such as upregulated DEGs enriched with cellular components and biological processes for apoplasts, sulfate, and drug membrane transport in tolerant genotypes, compared to sensitive genotypes. KEGG analysis revealed MAPK and plant hormone signaling pathways interaction in tolerant genotypes. These molecular signatures may contribute to the development of genotypes' tolerance against terminal drought stress. In the future, this study may also serve as a reference for understanding the drought stress transcriptomes of other legume species.

**Supplementary Materials:** The following are available online at <https://www.mdpi.com/article/10.3390/plants12010210/s1>, Supplementary Figure S1: Principle component analysis indicates differences between the replicates of leaves and roots, as well as root samples differing from leaf samples from each genotype; Supplementary Figure S2: (a) Comparison of qRT-PCR and RNA-Seq results for the selected DEGs. Data shown are the mean value of triplicates  $\pm$ SD (b) Correlation between qRT-PCR and RNA-Seq techniques; Supplementary Table S1 and Table S2: The table shows the up or downregulated DEGs in tolerant and sensitive genotypes compared between roots and leaves with FDR < 0.05; Supplementary Table S3: Comparison of the tolerant and sensitive genotypes in root vs. leaf shows the enrichment of DEGs on biological processes, cellular components, and molecular functions; Supplementary Table S4: A summary of DEG enrichment in the droughtDB is presented in the table along with the identification of the best hit Arabidopsis ID. Most DEGs of tolerant and sensitive genotypes in the drought database overlap; Supplementary Table S5: An analysis of transcription factors and their family of tolerant and sensitive genotypes is presented in the table. DEGs with higher log<sub>2</sub>FC are similar between the two genotypes; Supplementary Table S6: List of up and downregulated genes and their primer sequences for the validation of RNA-Seq by qRT-PCR.

**Author Contributions:** V.K. designed the study. M.S. and R.H. performed the experiments. M.S. drafted the manuscript. C.A.U. conducted the field experiment, provided the plant samples, and edited the manuscript. K.B. and J.T. analyzed the data, assisted in writing, and edited the manuscript. All authors have read and agreed to the published version of the manuscript.

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## Article

# A Locus Controlling Leaf Rolling Degree in Wheat under Drought Stress Identified by Bulked Segregant Analysis

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**Abstract:** Drought stress frequently occurs, which seriously restricts the production of wheat (*Triticum aestivum* L.). Leaf rolling is a typical physiological phenomenon of plants during drought stress. To understand the genetic mechanism of wheat leaf rolling, we constructed an F<sub>2</sub> segregating population by crossing the slight-rolling wheat cultivar “Aikang 58” (AK58) with the serious-rolling wheat cultivar “Zhongmai 36” (ZM36). A combination of bulked segregant analysis (BSA) with Wheat 660K SNP Array was used to identify molecular markers linked to leaf rolling degree. A major locus for leaf rolling degree under drought stress was detected on chromosome 7A. We named this locus *LEAF ROLLING DEGREE 1* (*LERD1*), which was ultimately mapped to a region between 717.82 and 720.18 Mb. Twenty-one genes were predicted in this region, among which the basic helix-loop-helix (bHLH) transcription factor *TraesCS7A01G543300* was considered to be the most likely candidate gene for *LERD1*. The *TraesCS7A01G543300* is highly homologous to the *Arabidopsis* ICE1 family proteins ICE/SCREAM, SCREAM2 and bHLH093, which control stomatal initiation and development. Two nucleotide variation sites were detected in the promoter region of *TraesCS7A01G543300* between the two wheat cultivars. Gene expression assays indicated that *TraesCS7A01G543300* was higher expressed in AK58 seedlings than that of ZM36. This research discovered a candidate gene related to wheat leaf rolling under drought stress, which may be helpful for understanding the leaf rolling mechanism and molecular breeding in wheat.

**Keywords:** *Triticum aestivum* L.; drought; leaf rolling; bulked segregant analysis; gene mapping

## 1. Introduction

Wheat (*Triticum aestivum* L.) is a staple food for more than 250 million people worldwide [1]. In addition to the benefit for nutrition and health, wheat provides about 21% of dietary calories and 20% of protein for humans, playing a prominent role in improving food security [2]. However, drought stress occurs frequently, which seriously restricts the production of wheat [3]. Therefore, improving wheat drought tolerance is an important approach to ensure food security [4,5]. By selecting and pyramiding favorable alleles related to drought tolerance traits in elite cultivars, crop performance in drought environments can be improved [6].

Leaf rolling is a typical physiological phenomenon of plants during drought stress, which is observed in various higher plants [7]. Leaf rolling is considered an adaptation to arid environments in wheat [8]. Moderate leaf rolling and erect leaf morphology are propitious to enhancing light capture, gas exchange for photosynthesis and carbon fixation [9]. Moreover, semi-rolling of leaves can reduce water loss by transpiration and interception of solar radiation by canopy; thus, it is of great significance to improve the adaptability of plants to environmental stress [10]. Consequently, the moderate rolling leaf trait is one of

the aims of genetic improvement and molecular breeding in crops. Until now, more than 17 leaf rolling mutants, at least 70 genes/QTLs and 28 differentially expressed proteins related to leaf rolling traits have been reported in rice [11–16]. To date, at least five mutants with rolling leaves have been characterized in maize [17]. However, the genetic mechanism of leaf rolling under drought stress in wheat is rarely reported.

The stomatal is the main channel for water transpiration and gas exchange in plants, and changes in stomata density and morphology may affect plant drought tolerance [18]. An analysis of wilting mutant *multi-trait weakened (muw)* suggested that the increase in stomatal density can accelerate plant water loss and thus reduce drought tolerance [19]. In tomato, DELLA protein PROCERA (PRO) promotes the stomatal response to abscisic acid (ABA), and the pro mutant exhibited increased stomatal conductance and reduced drought tolerance [20]. Some proteins that are independent of the ABA signaling pathway can also play a function in drought tolerance by regulating stomatal closure, such as stress-responsive NAC 1 and SIMILAR TO RADICAL-INDUCED CELL DEATH1 [21,22].

In *Arabidopsis*, a genetic regulatory network has been identified that strictly controls stomatal development and pattern formation [23]. This includes three basic helix-loop-helix (bHLH) transcription factors that promote stomatal formation, SPEECHLESS (SPCH) is essential for the initiation of stomatal lineage, MUTE influences meristemoid to guard mother cell (GMC) conversion, FAMA determines the GMC to mature guard cell (GC) transition [24–26]. Two para-homologous protein ICE1/SCREAM (SCRM) and SCRM2 directly interact with SPCH, MUTE and FAMA, and then specify their sequential actions [27]. To prevent adjacent cells from becoming stomata, some extracellular and plasma membrane binding proteins are necessary to concert signals between developing stomatal and pavement cells [28], such as the EPIDERMAL PATTERNING FACTOR (EPF) and the Leu-rich repeat membrane protein TOO MANY MOUTHS (TMM) [29,30].

The fundamental mechanisms of stomatal formation in terrestrial plants are relatively conservative [23]. In rice, OsSPCH, OsMUTE, OsFAMA and OsICE1 are essential for the formation of mature stomata, which are orthologues of stomatal development regulators in *Arabidopsis* [31,32]. In moss, the most ancient extant stomata lineages, the formation of mature stomata requires PpSMF1 and PpSCRM1, which are also orthologous to the SPCH, MUTE, FAMA and ICE/SCRM in *Arabidopsis* [33,34]. The partnerships between ICE1/SCRM and SPCH, MUTE and FAMA are essential for the initiation and maturation of monocotyledonous stomata, but their protein function may be slightly different from that of *Arabidopsis* [31,35]. For example, in *Brachypodium*, the initiation of stomatal lineage requires the BdSCRM1, while the differentiation and function of stomatal complexes require BdSCRM2, which appears to be redundant in *Arabidopsis* [31,36]. A transcription factor BdMUTE has been proved to be necessary for subsidiary cell formation in the wheat relative *Brachypodium* [37].

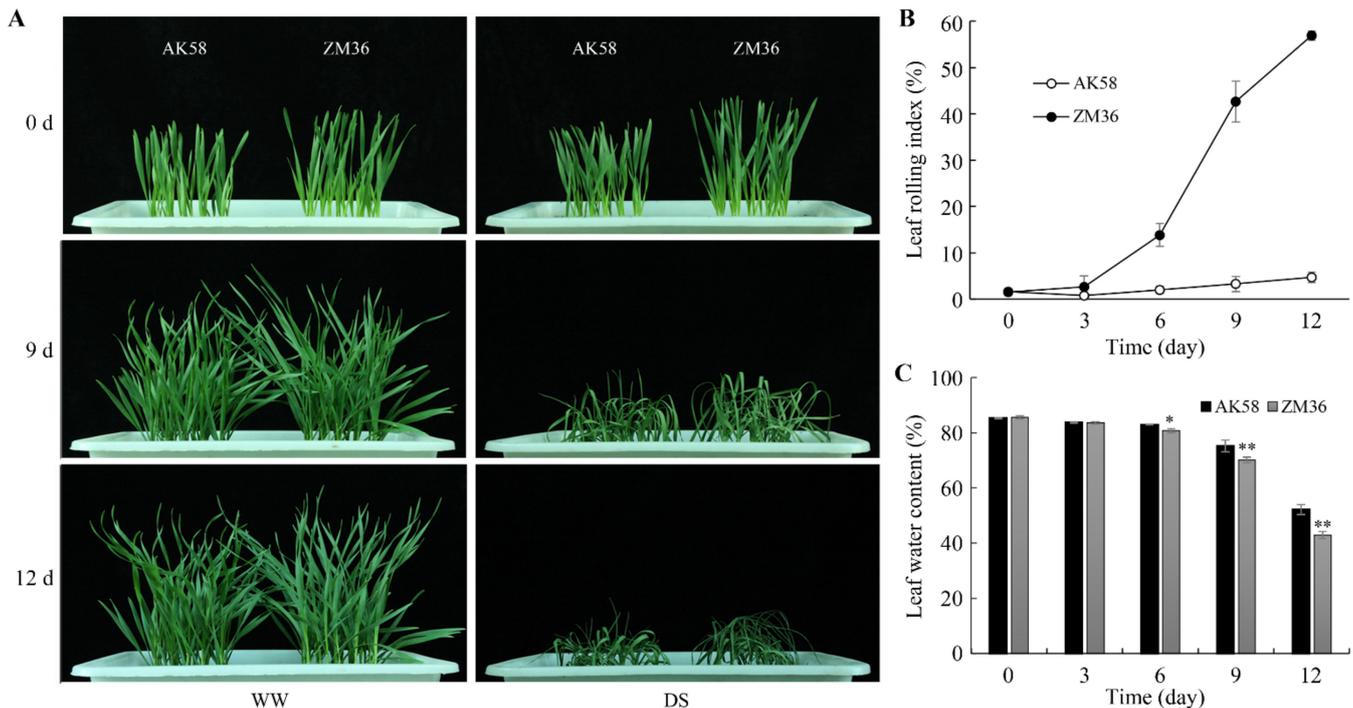
The present study was based on the difference in leaf rolling degree under drought stress between two wheat cultivars, “Aikang 58” and “Zhongmai 36”. We constructed an F2 population by crossing the two cultivars and used a combination of BSA with a Wheat 660K SNP array to identify molecular markers linked to leaf rolling degree. The objective of the present study was to map the locus that controls leaf rolling in wheat under drought stress.

## 2. Results

### 2.1. The Leaf Rolling Degree of AK58 Was Lower than That of ZM36 under Drought Stress

Two Chinese wheat cultivars, “Aikang 58” (AK58) and “Zhongmai 36” (ZM36), were used to compare the difference in leaf rolling degree. AK58 is a high-yield variety widely cultivated in irrigated areas of China, released by the Henan Institute of Science and Technology in 2005. ZM36 is a stable-yield variety suitable for rain-fed area cultivation, released by the Institute of Crop Sciences, Chinese Academy of Agricultural Sciences in 2018. The plants of AK58 and ZM36 grew well under well-watered conditions. On the 9th day of drought treatment, AK58 plants exhibited flat leaves, while ZM36 plant leaves

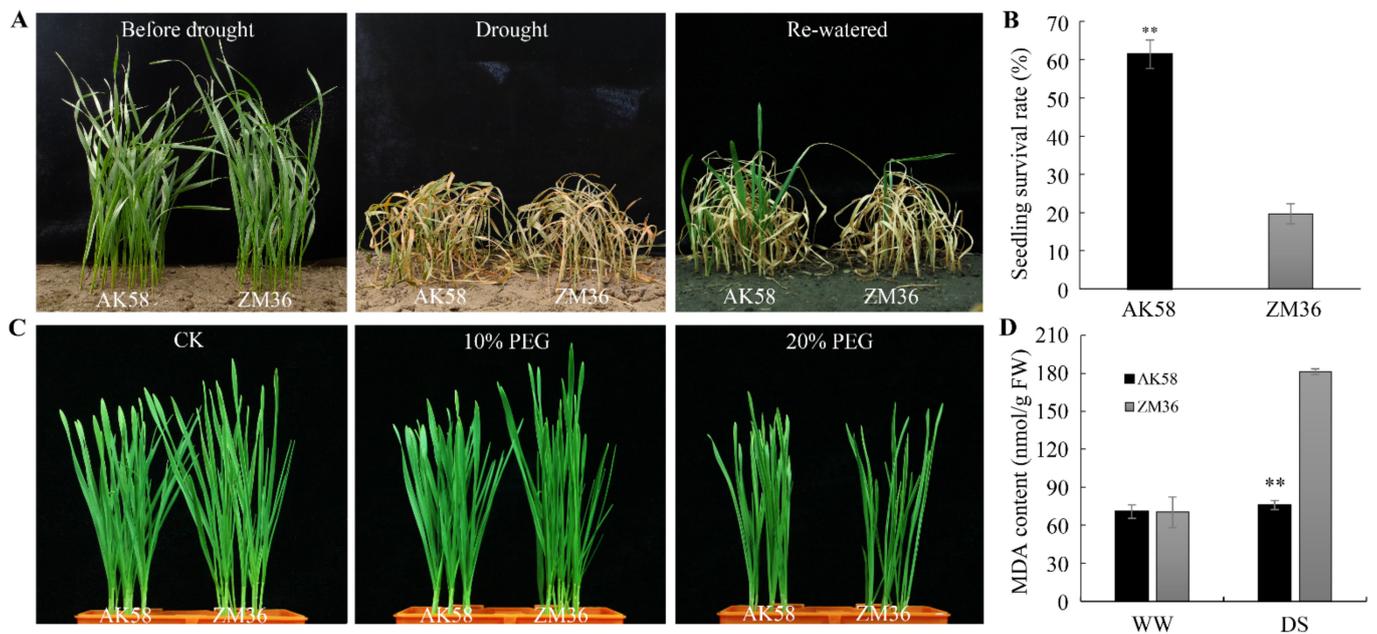
showed a slight rolling phenotype. On the 12th day of drought treatment, the difference in leaf morphology became more obvious: the leaves of AK58 were wilted but remained flat, and the leaves of ZM36 were wilted and seriously rolled (Figure 1A). With the increase in drought stress treatment time, the leaf rolling index (LRI) of ZM36 leaves increased significantly, while AK58 leaves did not change significantly (Figure 1B). The leaf water contents of AK58 and ZM36 decreased; however, from the 6th day, the leaf water content of ZM36 was significantly lower than that of AK58 (Figure 1C). Therefore, wheat variety AK58 was identified as “slight-rolling” type, while ZM36 was “serious-rolling” type under drought stress at the seedling stage.



**Figure 1.** Under drought stress, the leaf rolling of ZM36 was more serious than that of AK58. (A) The seedling phenotype of the AK58 and ZM36 under WW (well-watered) and DS (drought stress) for 0, 9 and 12 d. (B) Leaf rolling index of AK58 and ZM36 under DS. (C) Leaf water contents of AK58 and ZM36 under DS. Data represent means  $\pm$  SE. Error bars indicate SE. \*, *t*-test with  $p < 0.05$ ; \*\*, *t*-test with  $p < 0.01$ .

## 2.2. AK58 Is More Tolerant to Drought than ZM36 at Seedling Stage

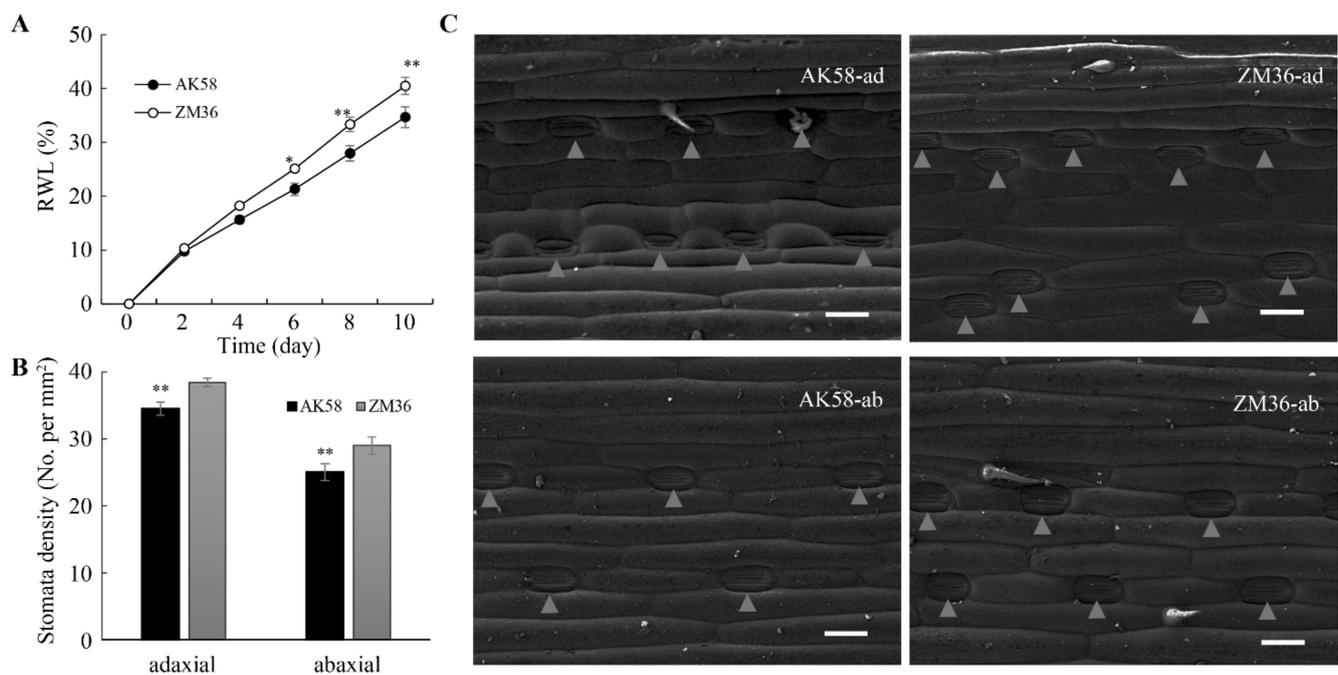
To investigate the relationship between seedling drought tolerance and leaf rolling degree in wheat, we evaluated the seedling drought tolerance of ZM36 and AK58. On the 11th day after re-watering, the survival rate of AK58 plants was 61.4%, and that of ZM36 was 19.6% (Figure 2A,B). The Malondialdehyde (MDA) content of ZM36 was significantly higher than that of AK58 on the 9th day of drought treatment (Figure 2D). We also performed PEG-600 treatment to simulate drought stress. Both AK58 and ZM36 plants exhibited turgid and flat leaves in the medium without PEG-6000 (Figure 2C left), but in mediums with 10% or 20% PEG-6000, ZM36 plants showed a rolling-leaf phenotype, while AK58 leaves remained flat (Figure 2C). These results demonstrated that AK58 has stronger drought tolerance than ZM36 at the seedling stage. In this experiment, the drought tolerance of wheat cultivars AK58 and ZM36 at the seedling stage was negatively correlated with the leaf rolling degree under drought stress.



**Figure 2.** Seedlings of wheat cultivar AK58 were more tolerant to dehydration than ZM36. (A) Performance of AK58 and ZM36 seedlings before drought (left), drought stress (DS) for 18 days (middle) and re-watered for 11 days (right). (B) Seedling survival rates of AK58 and ZM36 exposed to drought stress followed by re-watering. (C) Seedlings of AK58 and ZM36 grown in hydroponic culture under water (CK) or PEG-6000 treatment for 36 h. (D) MDA contents in leaves of AK58 and ZM36 seedlings grown under well-watered (WW) and DS. Values represent means  $\pm$  SE. \*\*, *t*-test with  $p < 0.01$ .

### 2.3. AK58 Leaves Exhibited Lower Stomatal Density than That of ZM36

To investigate the physiological basis of leaf rolling, we measured the rate of water loss (RWL) from excised-leaf of AK58 and ZM36. The RWL of ZM36 was significantly higher than that of AK58 from the 6th day (Figure 3A). Stomata on the leaf surface are the main channel for plants to discharge water [38]. Therefore, the difference in RWL between AK58 and ZM36 may be caused by the difference in stomatal morphology and/or density. There was no significant difference in the stomatal characteristics between AK58 and ZM36 leaves under drought stress (Figure S1). We then observed the leaf surface structures of AK58 and ZM36 plants by scanning electron microscopy (SEM). The stomatal densities on the adaxial (ad) or abaxial (ab) epidermis of ZM36 leaves were significantly higher than that of AK58 (Figure 3B,C); however, no other significant structural differences were observed on the AK58 and ZM36 leaf surface in this experiment.

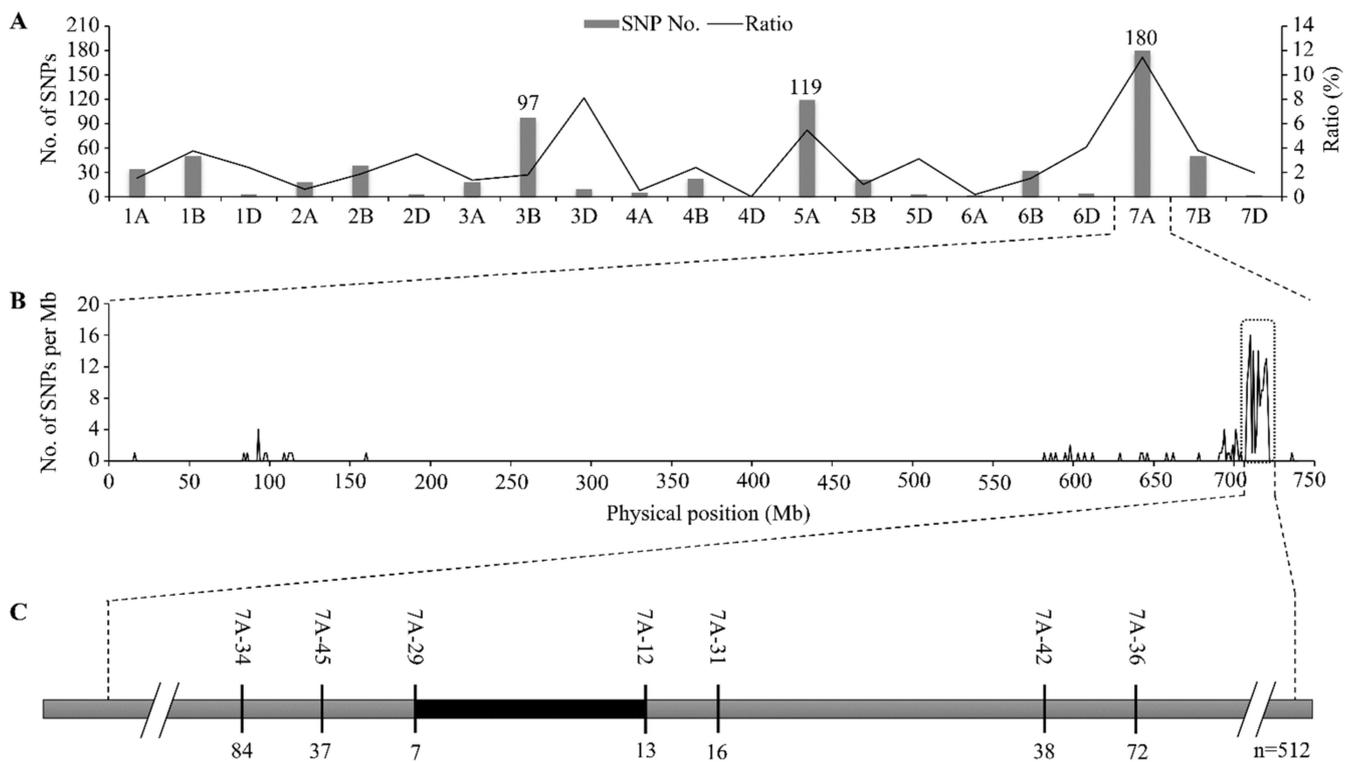


**Figure 3.** ZM36 has a higher leaf RWL and stomatal density than AK58. **(A)** RWL of AK58 and ZM36 plants. **(B)** Stomata density of AK58 and ZM36. Data represent means  $\pm$  SE. \*, *t*-test with  $p < 0.05$ ; \*\*, *t*-test with  $p < 0.01$ . **(C)** SEM analysis of the adaxial (ad) and abaxial (ab) epidermis of AK58 and ZM36. Triangles indicate stomata. Scale bars = 100  $\mu$ m.

#### 2.4. Genetic Analysis and Mapping of Leaf Rolling Degree Locus

To understand the genetics underlying the leaf rolling in wheat, we constructed a wheat  $F_2$  segregating population by crossing a slight-rolling type cultivar AK58 with a serious-rolling cultivar ZM36. Under drought stress, seedlings of  $F_2$  population individuals showed a continuous phenotypic distribution from slight-rolling to serious-rolling, which provided evidence that leaf rolling degree was a quantitative trait (Figure S2). A combination of BSA with Wheat 660K SNP Array was used to identify molecular markers linked to leaf rolling degree. Between the two parents and two bulks, a total of 721 SNP loci from the Wheat 660K SNP Array showed homozygous polymorphisms. The highest number and proportion of polymorphic SNPs were identified on chromosome 7A among all 21 chromosomes, indicating that 7A was likely the chromosome carrying the rolling degree locus, which was consequently named *LEAF ROLLING DEGREE 1* (*LERD1*) (Figure 4A). The chromosome interval 708–721 Mb was the predicted region for *LERD1* (Figure 4B).

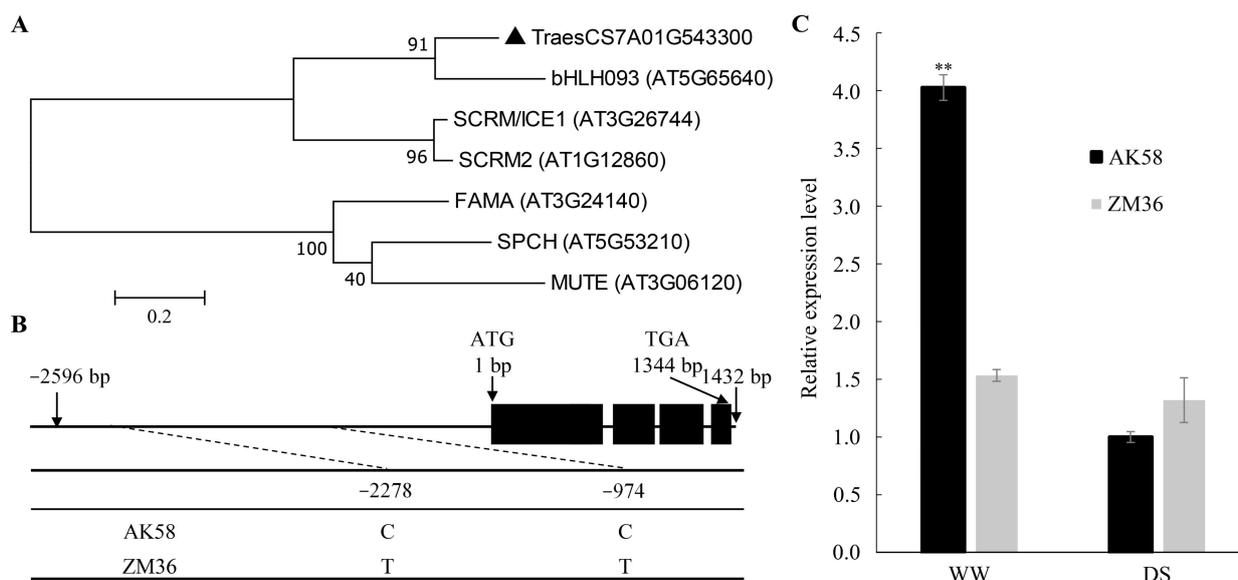
To minimize the effects of errors caused by environmental effects and phenotyping errors, we chose 256 plants with serious-rolling phenotypes from the  $F_2$  population of ~4000 individuals for further analysis. Seven polymorphic molecular markers were used to genotype individuals in the mapping population. According to the number of recombinants between *LERD1* and the molecular markers in the mapping population, *LERD1* was ultimately mapped to a region between 7A-29 (717.82 Mb) and 7A-12 (720.18 Mb) on chromosome 7A (Figure 4C).



**Figure 4.** Mapping of locus controlling wheat leaf rolling degree. (A) The number and percentage of polymorphic SNP loci in each of the 21 wheat chromosomes. (B) The number of SNPs in each 1 Mb region of chromosome 7A identified using the Wheat 660K SNP array. (C) *LERD1* was mapped to a 2.36 Mb region between markers 7A-29 and 7A-12. The black rectangle represents the final target region harboring *LERD1*. The numbers below the bar indicate the number of recombinants between *LERD1* and the molecular marker.

### 2.5. Candidate Gene Prediction and Bioinformatics Analysis

A total of 21 genes were predicted in the target chromosome region, including a bHLH transcription factor TraesCS7A01G543300 (Table S1, Figure S3). TraesCS7A01G543300 encodes homologs of the ICE1/SCREAM, SCRM2 proteins and bHLH93 protein from *Arabidopsis* (Figure 5A), which were considered to be the major regulators of stomatal development in *Arabidopsis* [27]. Sequence polymorphism assays indicated that there was no nucleotide variation site in the coding region of TraesCS7A01G543300, but two SNPs were detected in the promoter region, one at  $-2278$  bp and another at  $-974$  bp between AK58 and ZM36 (Figure 5B). Differences in the promoter region may affect gene expression, so we measured the relative expression of genes at the seedling stage. The relative expression of TraesCS7A01G543300 in AK58 seedling was 2.6 times that of ZM36 under the WW condition (Figure 5C). Therefore, TraesCS7A01G543300 was considered the most likely candidate gene for *LERD1*.



**Figure 5.** Candidate gene analysis. **(A)** Molecular phylogeny of *TraesCS7A02G543300* and related bHLHs in *Arabidopsis*. **(B)** Schematic diagram of the *TraesCS7A02G543300* structure. The ATG start codon was designated as position 1 bp. Polymorphic sites were detected in the promoter region of *TraesCS7A02G543300*. **(C)** qPCR analysis of gene *TraesCS7A02G543300* expression. Data represent means  $\pm$  SE (n = 3). \*\*,  $p < 0.01$ .

### 3. Discussion

Traditional quantitative trait loci (QTL) mapping methods rely on phenotyping and genotyping of a large number of individuals from a mapping population, which is time-consuming and laborious [39]. BSA has been used to overcome this problem by only genotyping individuals with extreme phenotypes [40]. The advent and application of BSA and next-generation sequencing technologies have provided new opportunities for the rapid identification of QTLs [41]. Wang et al. developed a method to map QTLs by directly sequencing graded-pool samples from  $F_2$  progeny using modified BSA [42]. Yu et al. used an  $F_2$  population for Bulk Segregant RNA-seq (BSR-seq) and cloned a *knotted 1* homolog [43]. Wheat 660K SNP array is economical and reliable, which demonstrates great potential for marker-assisted selection [44]. In the present study, a combination of BSA and Wheat 660K SNP array was used to identify the locus affecting the leaf rolling of the wheat seedling. The *LERD1* has been mapped to a 2.36 Mb region, but further fine mapping requires more genetic populations, such as recombinant inbred lines (RIL) or near-isogenic lines (NIL) population.

Leaf rolling occurs when the uptake of soil water by the root system does not balance the need for evaporation [45]. Vascular plants maintain a balance between  $CO_2$  absorption and water loss by stomatal movement, about 90% of leaf water loss in plants occurs through stomata [46,47]. Under drought stress, plants protect themselves from excessive water loss by diminishing stomatal aperture to reduce transpiration [48]. Previous studies have shown that wheat varieties that can maintain comparatively high leaf water potential and low transpiration rate under drought conditions tend to have stronger drought tolerance [49]. Leaf rolling in wheat can improve fog capturing and transport and enhance adaptation to drought stress in an arid climate [50,51].

In this study, the leaf water content of ZM36 was significantly lower than that of AK58 under drought stress, and the RWL of ZM36 was higher than that of AK58 (Figures 1C and 3A). We observed that there was no significant difference in stomatal aperture between AK58 and ZM36 under drought stress (Figure S1), while the stomatal density of ZM36 was higher than that of AK58 (Figure 3B,C). Therefore, we speculate the difference in RWL between ZM36 and AK58 is probably related to the difference in stomatal density.

The candidate genes may be involved in the regulation of stomatal density in wheat plants, thereby affecting the water loss rate of wheat plants under drought stress. An environmentally induced leaf-rolling phenotype is usually caused by abnormalities in the number, morphology, size or distribution of the bulliform cells [10]. Whether the bulliform cells of AK58 and ZM36 are different needs to be further analyzed.

The bHLH093 belongs to the ICE1 family bHLH-LZs, the expression of *bHLH093* may competitively inhibit the function of SCRM [27]. Overexpression of *bHLH093* with the 35S promoter resulted in a weak decreased number of mature stomata phenotypes [26]. In this study, *TraesCS7A02G543300* mapped within the target chromosome region encodes a bHLH family protein homologous to SCRM and bHLH093, which is more evolutionarily similar to *bHLH093* (Figure S3). The AK58 seedlings with higher *TraesCS7A02G543300* expression had a relatively lower stomatal density, while ZM36 with lower gene expression and relatively higher stomatal density. Our results suggested that *TraesCS7A02G543300* may have similar functions as *bHLH093*, but further detailed experimental evidence is needed.

In this study, we mapped a locus that controls leaf rolling of wheat seedlings under drought stress. We named it *LERD1*, which was finally mapped to a 2.36 Mb region between 717.82 and 720.18 Mb on chromosome 7A. A phenotypic analysis of two parents indicated that the RWL of ZM36 was higher than that of AK58, and the stomatal density of ZM36 was significantly higher than that of AK58. The bHLH transcription factor *TraesCS7A01G543300*, which is an ortholog of stomatal development regulators in *Arabidopsis*, was considered to be the most likely candidate gene for *LERD1*.

## 4. Materials and Methods

### 4.1. Plant Materials and Growth Condition

Two Chinese wheat cultivars, “Aikang 58” (AK58) and “Zhongmai 36” (ZM36) and an F<sub>2</sub> population derived from the cross of AK58 × ZM36 were used as the plant materials. AK58 is a high-yield cultivar widely cultivated in irrigated areas of China, released by the Henan Institute of Science and Technology in 2005 [52]. ZM36 is a stable-yield cultivar suitable for rain-fed area cultivation, released by the Institute of Crop Sciences, Chinese Academy of Agricultural Sciences in 2018. A total of about 4000 individuals of the F<sub>2</sub> population were used for genetic analysis and fine mapping.

For the pot experiment, wheat seeds were sown in containers (length 26 cm, width 19 cm, and height 9 cm), each containing 3 kg of mixed loam and organic fertilizer. The containers were placed horizontally in the field under rain-off shelter, which is located in the National Wheat Improvement Center in Beijing, China (116°28' E, 39°48' N).

### 4.2. Leaf Rolling Degree Assays

The leaf phenotype of wheat seedlings was observed under well-watered (WW) and drought stress (DS) treatments. WW refers to maintaining an adequate water supply, and DS means stop watering from the three-leaf stage. The day of the last watering was defined as the 0th day of drought treatment. On the 0th, 9th and 12th day of drought treatment, the soil moisture content was 21.8%, 7.2% and 5.8%, respectively.

For LRI (leaf rolling index), the largest leaf width (L<sub>w</sub>) and the natural distance of the leaf margins (L<sub>n</sub>) of the second fully expanded leaves were measured during 09:00–10:30. LRI was calculated using a formula  $LRI = (L_w - L_n) / L_w \times 100\%$  [53]. The experiment was set up in three biological replicates, and 10 leaves were measured in each replicate.

For leaf water content, fresh leaf weight (F<sub>w</sub>) was measured immediately after sampling. Dry weight (D<sub>w</sub>) was recorded after drying the leaves at 70 °C. Leaf water content was calculated as  $(F_w - D_w) / F_w \times 100\%$ .

According to the leaf morphology of plants treated with drought for 12 d, the leaf rolling degree of the F<sub>2</sub> population was classified into three grades: slight-rolling, leaf blade remained flat; moderate-rolling, leaf blade is partially contracted and curled; serious-rolling, leaf blade curled tightly (Figure S2).

#### 4.3. Drought Tolerance Assays

For seedling survival rate, 14-day-old plants were stopped from water supply for 18 d, then re-watered, and the number of surviving plants was surveyed on the 11th day. On the 0th and 18th day of drought treatment, the soil moisture content was 21.8% and 3.9%, respectively. Statistical data were based on data obtained from three independent experiments.

For osmotic stress, 7-day-old seedlings were cultured in a hydroponic medium containing different concentrations of polyethylene glycol (PEG-6000) for 48 h. The plants were cultured in growth chambers with a 14 h light: 10 h dark period, 25 °C: 20 °C, and 70% relative humidity.

#### 4.4. Rate of Water Loss (RWL) from Excised-Leaf

For detection of RWL, about 5 g of excised fresh leaves were incubated in the dark at 25 °C with 70% relative humidity and weighed every 2 h.  $RWL = (W_0 - W_t)/W_0$ .  $W_0$ : fresh weight of initial leaves.  $W_t$ : weight of leaves at  $t$  hours. The experiment was set up in three biological replicates.

#### 4.5. Leaf Structure Assays

For light microscope analysis, the leaves of AK58 and ZM36 seedlings on the same leaf position were selected for stomatal aperture assay on the 5th day of drought treatment. The brush was dipped in gum Arabic solution and applied on the surface of leaves at 10:00. After drying, the film was removed with tweezers and placed on the slide, and one drop of distilled water was added to prepare the film and examined for stomatal opening using a light microscope (B5–223 IEP, Motic China Group, Xiamen, China).

For scanning electron microscopy (SEM) analysis, the leaves with the same position were collected from the three-leaf seedlings. Leaf samples were fixed on the sample table using conductive tape before being imaged by SEM (TM4000, Hitachi, Japan) at 5 kV.

#### 4.6. Genetic Analysis of Leaf Rolling

The chromosome loci linked to leaf rolling was identified using the bulked segregant analysis (BSA) method, following the procedure of Wu et al. [54]. Two pools of extreme types of leaf rolling were constructed using the  $F_2$  population. The slight-rolling pool was prepared by mixing equal amounts of leaves sampled from 20 individuals with slight-rolling. The serious-rolling pool was prepared by mixing equal amounts of leaves sampled from 20 serious-rolling individuals.

Genomic DNA was isolated from leaves using the CTAB method [55]. The two pools and two parents were genotyped with the Wheat 660K SNP Array (CapitalBio Technology Corporation, Beijing, China). The monomorphic, heterozygous and poor-quality SNP markers with ambiguous SNP signals were excluded, and homozygous polymorphism SNP markers linked to leaf rolling degree were used for further analysis. The physical locations of all SNP markers were searched in the IWGSC RefSeq v1.0, using BLAST.

#### 4.7. Fine Mapping

To finely map the target locus, 18 SSR markers and 14 InDel (insertion/deletion) markers were developed in the predicted chromosomal region, of which four SSR markers and three InDel markers were polymorphic between two parents (Table S2). The mapping population was constructed from 256 individuals with serious-rolling phenotypes selected from the  $F_2$  population of ~4000 individuals. The seven polymorphic markers and mapping population were used for genotyping analysis.

#### 4.8. Bioinformatics Analysis and Expression Analysis of Candidate Gene

To predict functions of candidate genes, their amino acid sequences were used to blast against the NCBI database (<https://www.ncbi.nlm.nih.gov/>, accessed on 6 January 2021). The polymorphism assays of genomic DNA sequences were conducted using

Lasergene 7.1.0 (DNASTAR, Inc., Madison, WI, USA). A neighbor-joining phylogenetic tree was constructed using the MEGA software v5.2 (<https://www.megasoftware.net/>, <https://www.ncbi.nlm.nih.gov/>, accessed on 6 January 2021).

Total RNA was extracted from young leaves of 15-day-old seedlings using an RNAPrep Pure Plant Kit (Tiangen, Beijing, China) and reverse transcription was performed using a FastQuant RT Kit with gDNase (Tiangen, Beijing, China). Real-time quantitative RT-PCR was performed on a Roche LightCycler<sup>®</sup> 96 Real-Time PCR System using the SYBR Green PCR Master Mix Reagent “Tli RNaseH Plus” (TaKaRa, Beijing, China) [56]. *TaTubulin* was used as the endogenous control. The primers are listed in Table S2.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/plants11162076/s1>, Figure S1: Stomatal morphology on the abaxial surface of AK58 and ZM36 leaves under drought stress; Figure S2: Leaf rolling degree of the F<sub>2</sub> population; Figure S3. Molecular phylogeny of TraesCS7A02G543300 and related bHLHs; Table S1: Annotations of genes predicted in the candidate region on chromosome 7A; Table S2: Primers used in this study.

**Author Contributions:** J.W. and R.J. designed the experiments. X.Y. performed most of the experiments. Y.X., C.L. and L.L. participated in part of the phenotype evaluation. X.Y. and J.W. analyzed the results and wrote the manuscript. L.H. and X.M. provided advice on the experiments. The project was conceived, planned, and supervised by R.J. All authors have read and agreed to the published version of the manuscript.

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