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# Integral Transforms and Operational Calculus

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Edited by

H. M. Srivastava

Printed Edition of the Special Issue Published in *Symmetry*

# **Integral Transforms and Operational Calculus**



# Integral Transforms and Operational Calculus

Special Issue Editor

**H. M. Srivastava**

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## About the Special Issue Editor

**H. M. Srivastava** has held the position of Professor Emeritus in the Department of Mathematics and Statistics at the University of Victoria in Canada since 2006, having joined the faculty there in 1969, first as an Associate Professor (1969–1974) and then as a Full Professor (1974–2006). He began his university-level teaching career right after having received his M.Sc. degree in 1959 at the age of 19 years from the University of Allahabad in India. He earned his Ph.D. degree in 1965 while he was a full-time member of the teaching faculty at the J. N. V. University of Jodhpur in India. He has held numerous visiting research and honorary chair positions at many universities and research institutes in different parts of the world. Having received several D.Sc. ( *infoTitlehonoris causa*) degrees as well as honorary memberships and honorary fellowships of many scientific academies and learned societies around the world, he is also actively associated editorially with numerous international scientific research journals. His current research interests include several areas of Pure and Applied Mathematical Sciences such as (for example) Real and Complex Analysis, Fractional Calculus and Its Applications, Integral Equations and Transforms, Higher Transcendental Functions and Their Applications,  $q$ -Series and  $q$ -Polynomials, Analytic Number Theory, Analytic and Geometric Inequalities, Probability and Statistics, and Inventory Modeling and Optimization. He has published 33 books, monographs and edited volumes, 33 book (and encyclopedia) chapters, 48 papers in international conference proceedings, and **more than 1,200** scientific research articles in peer-reviewed international journals, as well as Forewords and Prefaces to many books and journals, and so on. He is a Clarivate Analytics [Thomson-Reuters] (Web of Science) Highly Cited Researcher. For further details about his other professional achievements and scholarly accomplishments, as well as honors, awards and distinctions, including the lists of his most recent publications such as Journal Articles, Books, Monographs and Edited Volumes, Book Chapters, Encyclopedia Chapters, Papers in Conference Proceedings, Forewords to Books and Journals), the interested reader should look into the following Web Site: <http://www.math.uvic.ca/~harimsri/>.



# Preface to "Integral Transforms and Operational Calculus"

This volume contains a total of 36 accepted submissions (including several invited feature articles) to the Special Issue of the MDPI's journal, *Symmetry* on the general subject-area of "Integral Transforms and Operational Calculus" from all over the world.

Investigations involving the theory and applications of integral transforms and operational calculus are remarkably wide-spread in many diverse areas of the mathematical, physical, chemical, engineering and statistical sciences. In this Special Issue, we invited and welcome review, expository and original research articles dealing with the recent advances on the topics of integral transforms and operational calculus as well as their multidisciplinary applications.

The suggested topics of interest for the call of papers for this Special Issue included, but were not limited to, the following keywords:

- Integral Transforms and Integral as well as Other Related Operators
- Applications Involving Mathematical (or Higher Transcendental) Functions
- Applications Involving Fractional-Order Differential and Differintegral Equations
- Applications Involving  $q$ -Series and  $q$ -Polynomials
- Applications Involving Analytic Number Theory
- Applications Involving Special Functions of Mathematical Physics and Applied Mathematics
- Applications Involving Geometric Function Theory of Complex Analysis

Several well-established scientific research journals, which are published by such publishers as (for example) Elsevier Science Publishers, John Wiley and Sons, Hindawi Publishing Corporation, Springer, De Gruyter, MDPI, and other publishing houses, have published and continue to publish a number of Special Issues of many of their journals on recent advances on different aspects, especially of the subject of one of the above-mentioned keywords, "Applications Involving Fractional-Order Differential and Differintegral Equations." Many widely-attended international conferences, too, continue to be successfully organized and held world-wide ever since the very first one on this particular subject-area in U.S.A. in the year 1974.

Finally, it gives me enormous pleasure in thanking all of the participants in this Special Issue as well as the editorial personnel in the MDPI Editorial Office for *Symmetry* for their contributions toward the success of this Special Issue. The wholehearted support and dedication of one and all are indeed greatly appreciated.

**H. M. Srivastava**  
*Special Issue Editor*



Article

# Some Symmetric Identities for the Multiple $(p, q)$ -Hurwitz-Euler eta Function

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**Abstract:** The main purpose of this paper is to find some interesting symmetric identities for the  $(p, q)$ -Hurwitz-Euler eta function in a complex field. Firstly, we define the multiple  $(p, q)$ -Hurwitz-Euler eta function by generalizing the Carlitz's form  $(p, q)$ -Euler numbers and polynomials. We find some formulas and properties involved in Carlitz's form  $(p, q)$ -Euler numbers and polynomials with higher order. We find new symmetric identities for multiple  $(p, q)$ -Hurwitz-Euler eta functions. We also obtain symmetric identities for Carlitz's form  $(p, q)$ -Euler numbers and polynomials with higher order by using symmetry about multiple  $(p, q)$ -Hurwitz-Euler eta functions. Finally, we study the distribution and symmetric properties of the zero of Carlitz's form  $(p, q)$ -Euler numbers and polynomials with higher order.

**Keywords:** Euler numbers and polynomials;  $q$ -Euler numbers and polynomials; Hurwitz-Euler eta function; multiple Hurwitz-Euler eta function; higher order  $q$ -Euler numbers and polynomials;  $(p, q)$ -Euler numbers and polynomials of higher order; symmetric identities; symmetry of the zero

**MSC:** 11B68; 11S40; 11S80

## 1. Introduction

The area of the specific functions like the gamma and beta functions, the hypergeometric functions, special polynomials, the zeta functions and the area of series such as  $q$ -series, and series representations are a rapidly developing area in advanced mathematics (see [1–15]). Many  $q$ -extensions of specific functions and polynomials have been studied (see [1,3,6–10,13,16]). Srivastava [15] discussed some properties and  $q$ -extensions of the Bernoulli polynomials, Euler polynomials, and Genocchi polynomials. Choi, Anderson and Srivastava have developed the  $q$ -extension of the Riemann zeta function and functions related to the Riemann zeta function (see [5]). Choi and Srivastava presented a generalized Hurwitz formula and Hurwitz-Euler eta function (see [4]). Recently, many authors have developed  $(p, q)$ -extensions of the special functions, Riemann zeta function and related functions (see [1,13,17–19]). The symmetry of special polynomials is also actively studied (see [8,9,19]).

We use this

$$\sum_{m_1=0}^n \cdots \sum_{m_r=0}^n = \sum_{m_1, \dots, m_r=0}^n .$$

We know the binomial formula as

$$(1 - a)^n = \sum_{i=0}^n \binom{n}{i} (-a)^i, \text{ where } \binom{n}{i} = \frac{n(n-1)\cdots(n-i+1)}{i!},$$

and

$$\frac{1}{(1 - a)^n} = (1 - a)^{-n} = \sum_{i=0}^{\infty} \binom{-n}{i} (-a)^i = \sum_{i=0}^{\infty} \binom{n+i-1}{i} a^i.$$

Choi and Srivastava [4] constructed and made formulas about the multiple Hurwitz-Euler eta function  $\eta_r(s, a)$  defined by following  $r$ -uple series:

$$\eta_r(s, a) = \sum_{k_1, \dots, k_r=0}^{\infty} \frac{(-1)^{k_1+\dots+k_r}}{(k_1 + \dots + k_r + a)^s}, \quad (\operatorname{Re}(s) > 0; a > 0; r \in \mathbb{N}),$$

where  $\mathbb{N}$  is the set of natural numbers. It is known that  $\eta_r(s, a)$  can be analytically continued to be all complex  $s$ -plane (see [4]). The  $(p, q)$ -number was defined as

$$[n]_{p,q} = \frac{p^n - q^n}{p - q} = p^{n-1} + p^{n-2}q + p^{n-3}q^2 + \dots + p^2q^{n-3} + pq^{n-2} + q^{n-1}.$$

It can be seen that the  $(p, q)$ -number contains a symmetric property, and this number is  $q$ -number when  $p = 1$ . In particular, we can see  $\lim_{q \rightarrow 1} [n]_{p,q} = n$  with  $p = 1$ . Since  $[n]_{p,q} = p^{n-1} [n]_{\frac{q}{p}}$ , we observe that  $p$ -numbers and  $(p, q)$ -numbers are different. In other words, by substituting  $q$  by  $\frac{q}{p}$  in the  $q$ -number, we could not obtain a  $(p, q)$ -number. Therefore, much research has been conducted in the area of special functions by using  $(p, q)$ -number (see [1,13,18,19]). In this article, the  $(p, q)$ -extension of the multiple form of Hurwitz-Euler eta function can be defined as follows: For  $s, x \in \mathbb{C}$  with  $\operatorname{Re}(x) > 0$ , the multiple  $(p, q)$ -Hurwitz-Euler eta function  $\eta_{p,q}^{(r)}(s, x)$  is defined by

$$\eta_{p,q}^{(r)}(s, x) = [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} \frac{(-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r}}{[m_1 + \dots + m_r + x]_{p,q}^s}.$$

The aim of this paper is to introduce and study a new some generalizations of the Carlitz's form higher order  $q$ -Euler numbers and polynomials, the multiple  $q$ -Euler zeta function, and the multiple Hurwitz  $q$ -Euler zeta function. We call them Carlitz's type higher-order  $(p, q)$ -Euler numbers and polynomials, the multiple  $(p, q)$ -Euler zeta function, and the multiple  $(p, q)$ -Hurwitz-Euler eta function. The paper is structured as follows. In Section 2 we define Carlitz's type higher-order  $(p, q)$ -Euler numbers and  $(p, q)$ -Euler polynomials and induce some of their properties involving elementary properties, distribution relation, property of complement, and so on. In Section 3, by using the Carlitz's type higher-order  $(p, q)$ -Euler numbers and polynomials, the multiple  $(p, q)$ -Euler zeta function and the multiple  $(p, q)$ -Hurwitz-Euler eta function are defined. We also present some connection formulae between the Carlitz's type higher-order  $(p, q)$ -Euler numbers and polynomials, the multiple  $(p, q)$ -Euler zeta function, and the multiple  $(p, q)$ -Hurwitz-Euler eta function. In Section 4 we give several symmetric identities about the multiple  $(p, q)$ -Hurwitz-Euler eta function and Carlitz's type higher-order  $(p, q)$ -Euler numbers and polynomials. In Section 5, we investigate the distribution and symmetry of the zero of Carlitz's type higher-order  $(p, q)$ -Euler polynomials using a computer. Our paper ends with Section 6, where the conclusions and future developments of this work are presented.

**Definition 1.** The classical higher-order Euler numbers denoted by  $E_n^{(r)}$  and Euler polynomials denoted by  $E_n^{(r)}(x)$  are defined as the below generating functions

$$\left(\frac{2}{e^t + 1}\right)^r = \sum_{n=0}^{\infty} E_n^{(r)} \frac{t^n}{n!}, \quad (|t| < \pi),$$

and

$$\left(\frac{2}{e^t + 1}\right)^r e^{xt} = \sum_{n=0}^{\infty} E_n^{(r)}(x) \frac{t^n}{n!}, \quad (|t| < \pi),$$

respectively (see [15]).

**Definition 2.** For  $0 < q < p \leq 1$ , the Carlitz's type  $(p, q)$ -Euler polynomials denoted by  $E_{n,p,q}(x)$  are defined as the below generating function (see [13])

$$\sum_{n=0}^{\infty} E_{n,p,q}(x) \frac{t^n}{n!} = [2]_q \sum_{m=0}^{\infty} (-1)^m q^m e^{[m+x]_{p,q}t}.$$

**2. Carlitz's Form Higher-Order  $(p, q)$ -Euler Numbers and Polynomials**

First, we think the Carlitz's form with high-order  $(p, q)$ -Euler numbers and polynomials as follows:

**Definition 3.** For  $r \in \mathbb{N}$ , the high-order  $(p, q)$ -Euler polynomials denoted by  $E_{n,p,q}^{(r)}(x)$  are defined like the generating function:

$$\sum_{n=0}^{\infty} E_{n,p,q}^{(r)}(x) \frac{t^n}{n!} = [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r} e^{[m_1+\dots+m_r+x]_{p,q}t}. \tag{1}$$

If  $x = 0$ ,  $E_{n,p,q}^{(r)} = E_{n,p,q}^{(r)}(0)$  are called the higher-order  $(p, q)$ -Euler numbers  $E_{n,p,q}^{(r)}$ . Note that if  $r = 1$ , then  $E_{n,p,q}^{(r)} = E_{n,p,q}$  and  $E_{n,p,q}^{(r)}(x) = E_{n,p,q}(x)$ . Observe that if  $p = 1, q \rightarrow 1$ , then  $E_{n,p,q}^{(r)} \rightarrow E_n^{(r)}$  and  $E_{n,p,q}^{(r)}(x) \rightarrow E_n^{(r)}(x)$ .

**Definition 4.** For  $r \in \mathbb{N}$ , the  $(h, p, q)$ -Euler polynomials with high-order denoted by  $E_{n,p,q}^{(r,h)}(x)$  are defined as the below generating function:

$$\sum_{n=0}^{\infty} E_{n,p,q}^{(r,h)}(x) \frac{t^n}{n!} = [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-q)^{m_1+\dots+m_r} p^{h(m_1+\dots+m_r)} e^{[m_1+\dots+m_r+x]_{p,q}t}. \tag{2}$$

If  $x = 0$ ,  $E_{n,p,q}^{(r,h)} = E_{n,p,q}^{(r,h)}(0)$  is called  $(h, p, q)$ -Euler numbers with higher-order denoted by  $E_{n,p,q}^{(r)}$ . Remark that if  $h = 0$ , then  $E_{n,p,q}^{(r,h)} = E_{n,p,q}^{(r)}$  and  $E_{n,p,q}^{(r,h)}(x) = E_{n,p,q}^{(r)}(x)$ . We see that if  $r = 1$ , then  $E_{n,p,q}^{(r,h)} = E_{n,p,q}^{(h)}$  and  $E_{n,p,q}^{(r,h)}(x) = E_{n,p,q}^{(h)}(x)$  (see [13]). Observe that if  $p = 1, q \rightarrow 1$ , then  $E_{n,p,q}^{(r,h)} \rightarrow E_n^{(r)}$  and  $E_{n,p,q}^{(r,h)}(x) \rightarrow E_n^{(r)}(x)$ .

By (1) and (2), we know that

$$\begin{aligned} E_{n,p,q}^{(r)}(x+y) &= \sum_{i=0}^n \binom{n}{i} p^{(n-i)x} q^{yi} E_{i,p,q}^{(r,n-i)}(x) [y]_{p,q}^{n-i}, \\ E_{n,p,q}^{(r)}(x) &= \sum_{i=0}^n \binom{n}{i} q^{xi} [x]_{p,q}^{n-i} E_{i,p,q}^{(r,n-i)}. \end{aligned} \tag{3}$$

**Theorem 1.** For  $r \in \mathbb{N}$ , we have

$$\begin{aligned} E_{n,p,q}^{(r)}(x) &= [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r} [m_1 + \dots + m_r + x]_{p,q}^n \\ &= \frac{[2]_q^r}{(p-q)^n} \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \left( \frac{1}{1+q^{l+1}p^{n-l}} \right)^r. \end{aligned}$$

**Proof.** When we use the Taylor series expansion of  $e^{[x]_{p,q}t}$ , we can get



$$\begin{aligned} \sum_{l=0}^{\infty} E_{l,p,q}^{(r)}(x) \frac{t^l}{l!} &= [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r} e^{[m_1+\dots+m_r+x]_{p,q} t} \\ &= \sum_{l=0}^{\infty} \left( [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r} [m_1+\dots+m_r+x]_{p,q}^l \right) \frac{t^l}{l!}. \end{aligned}$$

The first part of the theorem follows when we compare the coefficients of  $\frac{t^l}{l!}$  in the above equation. By  $(p, q)$ -numbers and binomial expansion, we also note that

$$\begin{aligned} E_{n,p,q}^{(r)}(x) &= [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r} [m_1+\dots+m_r+x]_{p,q}^n \\ &= [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r} \left( \frac{p^{m_1+\dots+m_r+x} - q^{m_1+\dots+m_r+x}}{p-q} \right)^n \\ &= \frac{[2]_q^r}{(p-q)^n} \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \\ &\quad \times \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1+\dots+m_r} q^{(l+1)(m_1+\dots+m_r)} p^{(n-l)(m_1+\dots+m_r)} \\ &= \frac{[2]_q^r}{(p-q)^n} \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \left( \frac{1}{1+q^{l+1}p^{n-l}} \right)^r. \end{aligned}$$

We finish the proof of Theorem 1.  $\square$

**Theorem 2.** For  $r \in \mathbb{N}$ , we get

$$E_{n,p,q}^{(r)}(x) = [2]_q^r \sum_{m=0}^{\infty} \binom{r+m-1}{m} (-1)^m q^m [m+x]_{p,q}^n. \tag{4}$$

**Proof.** By Taylor-Maclaurin series expansion of  $(1-a)^{-n}$ , we have

$$\left( \frac{1}{1+q^{l+1}p^{n-l}} \right)^r = \sum_{m=0}^{\infty} \binom{m+r-1}{m} (-1)^m (q^{l+1}p^{n-l})^m.$$

Also, by Theorem 1 and binomial expansion, one can obtain the desired result immediately.  $\square$

For  $d \in \mathbb{N}$  with  $d \equiv 1 \pmod{2}$ , by Theorem 1 we can show

$$\begin{aligned} E_{n,p,q}^{(r)}(x) &= \frac{[2]_q^r}{(p-q)^n} \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \sum_{a_1, \dots, a_r=0}^{d-1} \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{a_1+\dots+a_r} \\ &\quad \times (-1)^{m_1+\dots+m_r} q^{(l+1)(a_1+dm_1+\dots+a_r+dm_r)} p^{(n-l)(a_1+dm_1+\dots+a_r+dm_r)}. \end{aligned}$$

**Theorem 3.** (Distribution relation of  $(p, q)$ -Euler polynomials with higher-order). For  $d \in \mathbb{N}$  with  $d \equiv 1 \pmod{2}$ , we have

$$E_{n,p,q}^{(r)}(x) = \frac{[2]_q^r}{[2]_{q^d}^r} [d]_{p,q}^n \sum_{a_1, \dots, a_r=0}^{d-1} (-q)^{a_1+\dots+a_r} E_{n,p^d,q^d}^{(r)} \left( \frac{a_1+\dots+a_r+x}{d} \right).$$

**Proof.** Since

$$E_{n,p^d,q^d}^{(r)}\left(\frac{a_1 + \dots + a_r + x}{d}\right) = \frac{[2]_q^r}{(p^d - q^d)^n} \sum_{l=0}^n \binom{n}{l} (-1)^l q^{l(a_1 + \dots + a_r + x)} p^{(n-l)(a_1 + \dots + a_r + x)} \left(\frac{1}{1 + q^{d(l+1)} p^{d(n-l)}}\right)^r,$$

we have

$$\begin{aligned} & \sum_{a_1, \dots, a_r=0}^{d-1} (-q)^{a_1 + \dots + a_r} E_{n,p^d,q^d}^{(r)}\left(\frac{a_1 + \dots + a_r + x}{d}\right) \\ &= \frac{[2]_q^r}{(p^d - q^d)^n} \sum_{l=0}^n \binom{n}{l} (-1)^l q^{lx} p^{(n-l)x} \\ & \quad \times \sum_{a_1, \dots, a_r=0}^{d-1} (-1)^{a_1 + \dots + a_r} q^{a_1 + \dots + a_r} q^{l(a_1 + \dots + a_r)} p^{(n-l)(a_1 + \dots + a_r)} \left(\frac{1}{1 + q^{d(l+1)} p^{d(n-l)}}\right)^r. \end{aligned}$$

Hence, we derive

$$\begin{aligned} & \frac{[2]_q^r}{[2]_q^r} [d]_{p,q}^n \sum_{a_1, \dots, a_r=0}^{d-1} (-q)^{a_1 + \dots + a_r} E_{n,p^d,q^d}^{(r)}\left(\frac{a_1 + \dots + a_r + x}{d}\right) \\ &= \frac{[2]_q^r}{(p - q)^n} \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \left(\frac{1}{1 + q^{l+1} p^{n-l}}\right)^r. \end{aligned}$$

We prove Theorem 3.  $\square$

### 3. Multiple $(p, q)$ -Hurwitz-Euler eta Function

We define multiple  $(p, q)$ -Hurwitz-Euler eta function. This function makes  $(p, q)$ -Euler polynomials at negative integers with higher-order. Choi and Srivastava [4] defined  $\eta_r(s, a)$  by means of

$$\eta_r(s, a) = \sum_{k_1, \dots, k_r=0}^{\infty} \frac{(-1)^{k_1 + \dots + k_r}}{(k_1 + \dots + k_r + a)^s}, \quad (\operatorname{Re}(s) > 0; a > 0; r \in \mathbb{N}).$$

It is known that  $\eta_r(s, a)$  can be continued analytically to be all complex  $s$ -plane (see [4]). The  $(p, q)$ -extension of  $\eta_r(s, a)$  can be defined as follows:

**Definition 5.** For  $s, x \in \mathbb{C}$  with  $\operatorname{Re}(x) > 0$ , the multiple  $(p, q)$ -Hurwitz-Euler eta function  $\eta_{p,q}^{(r)}(s, x)$  is defined as

$$\eta_{p,q}^{(r)}(s, x) = [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} \frac{(-1)^{m_1 + \dots + m_r} q^{m_1 + \dots + m_r}}{[m_1 + \dots + m_r + x]_{p,q}^s}.$$

Observe that when  $p = 1, q \rightarrow 1$ , then  $2^r \eta_{p,q}^{(r)}(s, a) = \eta_r(s, a)$ .

Let

$$\begin{aligned} F_{p,q}^{(r)}(t, x) &= \sum_{n=0}^{\infty} E_{n,p,q}^{(r)}(x) \frac{t^n}{n!} \\ &= [2]_q^r \sum_{m_1, \dots, m_r=0}^{\infty} (-1)^{m_1 + \dots + m_r} q^{m_1 + \dots + m_r} e^{[m_1 + \dots + m_r + x]_{p,q} t}. \end{aligned} \tag{5}$$

**Theorem 4.** For  $r \in \mathbb{N}$ , we get

$$\eta_{p,q}^{(r)}(s, x) = \frac{1}{\Gamma(s)} \int_0^{\infty} F_{p,q}^{(r)}(x, -t) t^{s-1} dt, \tag{6}$$

where  $\Gamma(s) = \int_0^\infty z^{s-1} e^{-z} dz$ .

**Proof.** From (5) and Definition 5, we get

$$\begin{aligned} \eta_{p,q}^{(r)}(s, x) &= [2]_q^r \sum_{m_1, \dots, m_r=0}^\infty \frac{(-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r}}{[m_1 + \dots + m_r + x]_{p,q}^s} \\ &= [2]_q^r \frac{1}{\Gamma(s)} \sum_{m_1, \dots, m_r=0}^\infty \frac{(-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r}}{[m_1 + \dots + m_r + x]_{p,q}^s} \int_0^\infty z^{s-1} e^{-z} dz \\ &= \frac{[2]_q^r}{\Gamma(s)} \sum_{m_1, \dots, m_r=0}^\infty (-1)^{m_1+\dots+m_r} q^{m_1+\dots+m_r} \int_0^\infty e^{[m_1+\dots+m_r+x]_{p,q} t} t^{s-1} dt \\ &= \frac{1}{\Gamma(s)} \int_0^\infty F_{p,q}^{(r)}(x, -t) t^{s-1} dt. \end{aligned}$$

We are finished Theorem 4.  $\square$

The value of multiple  $(p, q)$ -Hurwitz-Euler eta function  $\eta_{p,q}^{(r)}(s, x)$  at negative integers is given explicitly by the following theorem:

**Theorem 5.** Let  $n \in \mathbb{N}$ . Then we obtain

$$\eta_{p,q}^{(r)}(-n, x) = E_{n,p,q}^{(r)}(x).$$

**Proof.** Again, by (5) and (6), we have

$$\eta_{p,q}^{(r)}(s, x) = \frac{1}{\Gamma(s)} \int_0^\infty F_{p,q}^{(r)}(x, -t) t^{s-1} dt = \frac{1}{\Gamma(s)} \sum_{m=0}^\infty E_{m,p,q}^{(r)}(x) \frac{(-1)^m}{m!} \int_0^\infty t^{m+s-1} dt. \tag{7}$$

We note that

$$\Gamma(-n) = \int_0^\infty e^{-z} z^{-n-1} dz = \lim_{z \rightarrow 0} 2\pi i \frac{1}{n!} \left( \frac{d}{dz} \right)^n (z^{n+1} e^{-z} z^{-n-1}) = 2\pi i \frac{(-1)^n}{n!}. \tag{8}$$

For  $n \in \mathbb{N}$ , let us take  $s = -n$  in (7). Then, by (7), (8), and Cauchy residue theorem, we have

$$\begin{aligned} \eta_{p,q}^{(r)}(-n, x) &= \lim_{s \rightarrow -n} \frac{1}{\Gamma(s)} \sum_{m=0}^\infty E_{m,p,q}^{(r)}(x) \frac{(-1)^m}{m!} \int_0^\infty t^{m-n-1} dt \\ &= 2\pi i \left( \lim_{s \rightarrow -n} \frac{1}{\Gamma(s)} \right) \left( E_{n,p,q}^{(r)}(x) \frac{(-1)^n}{n!} \right) \\ &= 2\pi i \left( \frac{1}{2\pi i \frac{(-1)^n}{n!}} \right) \left( E_{n,p,q}^{(r)}(x) \frac{(-1)^n}{n!} \right) = E_{n,p,q}^{(r)}(x). \end{aligned}$$

The proof of Theorem 5 is finished.  $\square$

By (4), we have

$$\sum_{n=0}^\infty E_{n,p,q}^{(r)} \frac{t^n}{n!} = [2]_q^r \sum_{m=0}^\infty \binom{m+r-1}{m} (-1)^m q^m e^{[m]_{p,q} t}.$$

From Taylor series of  $e^{[m]_{p,q} t}$  in the above formula, we can get

$$\sum_{n=0}^\infty E_{n,p,q}^{(r)} \frac{t^n}{n!} = \sum_{n=0}^\infty \left( [2]_q^r \sum_{m=0}^\infty \binom{m+r-1}{m} (-1)^m q^m [m]_{p,q}^n \right) \frac{t^n}{n!}.$$

If we compare coefficients  $\frac{t^m}{m!}$ , then we know

$$E_{n,p,q}^{(r)} = [2]_q^r \sum_{m=0}^{\infty} \binom{m+k-1}{m} (-1)^m q^m [m]_{p,q}^n \tag{9}$$

By using (9), we define multiple  $(p, q)$ -Euler zeta function like below formula:

**Definition 6.** For  $s \in \mathbb{C}$ , we define

$$\zeta_{p,q}^{(r)}(s) = [2]_q^r \sum_{m=1}^{\infty} \binom{m+r-1}{m} \frac{(-1)^m q^m}{[m]_{p,q}^s} \tag{10}$$

The function  $\zeta_{p,q}^{(r)}(s)$  makes the number  $E_{n,p,q}^{(r)}$  in negative integers. Instead of  $s, s = -n$  for  $n \in \mathbb{N}$  into (10), and using (9), we can obtain the below theorem:

**Theorem 6.** Let  $n \in \mathbb{N}$ , We have

$$\zeta_{p,q}^{(r)}(-n) = E_{n,p,q}^{(r)}$$

**4. Symmetric Identities for the Multiple  $(p, q)$ -Hurwitz-Euler eta Function**

Let  $w_1, w_2 \in \mathbb{N}$  where,  $w_1 \equiv 1 \pmod{2}, w_2 \equiv 1 \pmod{2}$ . For  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we get symmetry identities about the multiple  $(p, q)$ -Hurwitz-Euler eta function.

**Theorem 7.** Let  $w_1, w_2$  be natural numbers, where  $w_1 \equiv 1 \pmod{2}, w_2 \equiv 1 \pmod{2}$ . Then we obtain

$$\begin{aligned} & [w_2]_{p,q}^s [2]_{q^{w_2}}^r \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times \eta_{p^{w_1} q^{w_1}}^{(r)}(s, w_2 x + \frac{w_2}{w_1}(j_1 + \dots + j_r)) \\ & = [w_1]_{p,q}^s [2]_{q^{w_1}}^r \sum_{j_1, \dots, j_r=0}^{w_2-1} (-1)^{\sum_{i=1}^r j_i} q^{w_1 \sum_{i=1}^r j_i} \\ & \quad \times \eta_{p^{w_2} q^{w_2}}^{(r)}(s, w_1 x + \frac{w_1}{w_2}(j_1 + \dots + j_r)). \end{aligned} \tag{11}$$

**Proof.** We know that  $[xy]_q = [x]_q [y]_q$  for any  $x, y \in \mathbb{C}$ . Hence, using  $w_2 x + \frac{w_2}{w_1}(j_1 + \dots + j_r)$  instead of  $x$  and replacing by  $q^{w_1}$  and  $p^{w_1}$  instead of  $q$  and  $p$  in (11), respectively, we induce the next result

$$\begin{aligned} & \frac{1}{[2]_{q^{w_1}}^r} \eta_{p^{w_1} q^{w_1}}^{(r)}(s, w_2 x + \frac{w_2}{w_1}(j_1 + \dots + j_r)) \\ & = \sum_{m_1, \dots, m_r=0}^{\infty} \frac{(-1)^{m_1 + \dots + m_r} q^{w_1 m_1 + \dots + w_1 m_r}}{[m_1 + \dots + m_r + w_2 x + \frac{w_2}{w_1}(j_1 + \dots + j_r)]_{p^{w_1} q^{w_1}}^s} \\ & = \sum_{m_1, \dots, m_k=0}^{\infty} \frac{(-1)^{m_1 + \dots + m_r} q^{w_1 m_1 + \dots + w_1 m_r}}{\left[ \frac{w_1(m_1 + \dots + m_r) + w_1 w_2 x + w_2(j_1 + \dots + j_r)}{w_1} \right]_{p^{w_1} q^{w_1}}^s} \\ & = \sum_{m_1, \dots, m_r=0}^{\infty} \frac{(-1)^{m_1 + \dots + m_r} q^{w_1 m_1 + \dots + w_1 m_r}}{[w_1(m_1 + \dots + m_k) + w_1 w_2 x + w_2(j_1 + \dots + j_k)]_{p,q}^s} \\ & = \sum_{m_1, \dots, m_k=0}^{\infty} \frac{(-1)^{m_1 + \dots + m_r} q^{w_1 m_1 + \dots + w_1 m_r}}{[w_1]_{p,q}^s [w_1(m_1 + \dots + m_r) + w_1 w_2 x + w_2(j_1 + \dots + j_r)]_{p,q}^s} \end{aligned}$$

$$\begin{aligned}
 &= [w_1]_{p,q}^s \sum_{m_1, \dots, m_k=0}^{\infty} \sum_{i_1, \dots, i_k=0}^{w_2-1} \frac{(-1)^{m_1+\dots+m_r} q^{w_1 m_1+\dots+w_1 m_r}}{[w_1(m_1+\dots+m_r) + w_1 w_2 x + w_2(j_1+\dots+j_r)]_{p,q}^s} \\
 &= [w_1]_{p,q}^s \sum_{m_1, \dots, m_r=0}^{\infty} \sum_{i_1, \dots, i_r=0}^{w_2-1} (-1)^{\sum_{j=1}^r (w_2 m_j + i_j)} q^{w_1 \sum_{j=1}^r (w_2 m_j + i_j)} \\
 &\quad \times \left( [w_1(w_2 m_1 + i_1) + \dots + w_1(w_2 m_r + i_r) + w_1 w_2 x + w_2(j_1 + \dots + j_r)]_{p,q}^s \right)^{-1} \tag{12} \\
 &= [w_1]_{p,q}^s \sum_{m_1, \dots, m_r=0}^{\infty} \sum_{i_1, \dots, i_r=0}^{w_2-1} (-1)^{\sum_{j=1}^r m_j} (-1)^{\sum_{j=1}^r i_j} q^{w_1 w_2 \sum_{j=1}^r m_j} q^{w_1 \sum_{j=1}^r i_j} \\
 &\quad \times \left( [w_1 w_2(x + m_1 + \dots + m_r) + w_1(i_1 + \dots + i_r) + w_2(j_1 + \dots + j_r)]_{p,q}^s \right)^{-1}.
 \end{aligned}$$

Thus, from (12), we see the following equation.

$$\begin{aligned}
 &\frac{[w_2]_{p,q}^s}{[2]_{q^{w_1}}^r} \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{j_1+\dots+j_r} q^{w_2(j_1+\dots+j_r)} \eta_{p^{w_1}, q^{w_1}}^{(r)}(s, w_2 x + \frac{w_2}{w_1}(j_1 + \dots + j_r)) \\
 &= [w_1]_{p,q}^s [w_2]_{p,q}^s \sum_{m_1, \dots, m_r=0}^{\infty} \sum_{i_1, \dots, i_r=0}^{w_2-1} \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{l=1}^r (j_l + i_l + m_l)} q^{w_1 w_2 \sum_{l=1}^r m_l} \\
 &\quad \times q^{w_1 \sum_{l=1}^r i_l} q^{w_2 \sum_{l=1}^r j_l} \\
 &\quad \times \left( [w_1 w_2(x + m_1 + \dots + m_r) + w_1(i_1 + \dots + i_r) + w_2(j_1 + \dots + j_r)]_{p,q}^s \right)^{-1} \tag{13}
 \end{aligned}$$

By using the same method as (13), we have

$$\begin{aligned}
 &\frac{[w_1]_{p,q}^s}{[2]_{q^{w_2}}^r} \sum_{j_1, \dots, j_r=0}^{w_2-1} (-1)^{j_1+\dots+j_r} q^{w_1(j_1+\dots+j_r)} \eta_{p^{w_2}, q^{w_2}}^{(r)}(s, w_1 x + \frac{w_1}{w_2}(j_1 + \dots + j_r)) \\
 &= [w_1]_{p,q}^s [w_2]_{p,q}^s \sum_{m_1, \dots, m_k=0}^{\infty} \sum_{j_1, \dots, j_r=0}^{w_2-1} \sum_{i_1, \dots, i_r=0}^{w_1-1} (-1)^{\sum_{l=1}^r (j_l + i_l + m_l)} \\
 &\quad \times q^{w_1 w_2 \sum_{l=1}^r m_l} q^{w_2 \sum_{l=1}^r j_l} q^{w_1 \sum_{l=1}^r i_l} \\
 &\quad \times \left( [w_1 w_2(x + m_1 + \dots + m_r) + w_1(j_1 + \dots + j_r) + w_2(i_1 + \dots + i_r)]_{p,q}^s \right)^{-1} \tag{14}
 \end{aligned}$$

Therefore, by (13) and (14), we complete the proof Theorem 7.  $\square$

Taking  $w_2 = 1$  in Theorem 7, we obtain the below corollary.

**Corollary 1.** Let  $w_1$  be natural numbers, where  $w_1 \equiv 1 \pmod{2}$ . For  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we obtain

$$\begin{aligned}
 \eta_{n,p,q}^{(r)}(s, w_1 x) &= \frac{[2]_q^r}{[2]_{q^{w_1}}^r [w_1]_{p,q}^s} \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{l=1}^r j_l} q^{w_2 \sum_{l=1}^r j_l} \\
 &\quad \times \eta_{n,p^{w_1}, q^{w_1}}^{(r)}\left(s, x + \frac{j_1 + \dots + j_r}{w_1}\right). \tag{15}
 \end{aligned}$$

If  $p = 1, q \rightarrow 1$  in above Corollary 1, then we can see the below corollary.

**Corollary 2.** Let  $m \in \mathbb{N}$ .  $m \equiv 1 \pmod{2}$ . For  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we obtain

$$\eta_r(s, x) = \frac{1}{m^s} \sum_{j_1, \dots, j_r=0}^{m-1} (-1)^{j_1+\dots+j_r} \eta_r\left(s, \frac{x + j_1 + \dots + j_r}{m}\right). \tag{16}$$

For  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we see symmetry identities about higher-order  $(p, q)$ -Euler polynomials.

**Theorem 8.** Let  $w_1, w_2$  be natural numbers with  $w_1 \equiv 1 \pmod{2}$ ,  $w_2 \equiv 1 \pmod{2}$ . For  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we obtain

$$\begin{aligned} & [w_1]_{p,q}^n [2]_{q^{w_2}}^r \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times E_{n,p^{w_1},q^{w_1}}^{(r)} \left( w_2 x + \frac{w_2}{w_1} (j_1 + \dots + j_r) \right) \\ & = [w_2]_{p,q}^n [2]_{q^{w_1}}^r \sum_{j_1, \dots, j_r=0}^{w_2-1} (-1)^{\sum_{i=1}^r j_i} q^{w_1 \sum_{i=1}^r j_i} \\ & \quad \times E_{n,p^{w_2},q^{w_2}}^{(r)} \left( w_1 x + \frac{w_1}{w_2} (j_1 + \dots + j_r) \right). \end{aligned} \tag{17}$$

**Proof.** Using Theorems 5 and 7, we see easily the Theorem 8.  $\square$

Taking  $w_2 = 1$  in Theorem 8, we have the below corollary.

**Corollary 3.** Let  $w_1$  be the natural number with  $w_1 \equiv 1 \pmod{2}$ . For  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we obtain

$$\begin{aligned} E_{n,p^{w_1},q^{w_1}}^{(r)}(w_1 x) & = \frac{[2]_q^r}{[2]_{q^{w_1}}^r} [w_1]_{p,q}^n \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times E_{n,p^{w_1},q^{w_1}}^{(r)} \left( s, x + \frac{j_1 + \dots + j_r}{w_1} \right). \end{aligned} \tag{18}$$

If  $p = 1, q \rightarrow 1$  in the above Corollary, then we get the another Corollary.

**Corollary 4.** Let  $m$  be the natural number, where  $m \equiv 1 \pmod{2}$ . Let  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we see

$$E_n^{(r)}(x) = m^n \sum_{j_1, \dots, j_r=0}^{m-1} (-1)^{j_1 + \dots + j_r} E_n^{(r)} \left( \frac{x + j_1 + \dots + j_r}{m} \right). \tag{19}$$

By (3), we have

$$\begin{aligned} & \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times E_{n,p^{w_1},q^{w_1}}^{(r)} \left( w_2 x + \frac{w_2}{w_1} (j_1 + \dots + j_k) \right) \\ & = \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times \sum_{i=0}^n \binom{n}{i} q^{w_2(n-i)(j_1 + \dots + j_r)} p^{w_1 w_2 x i} E_{n-i,p^{w_1},q^{w_1}}^{(r,i)}(w_2 x) \left[ \frac{w_2}{w_1} (j_1 + \dots + j_r) \right]_{p^{w_1},q^{w_1}}^i \\ & = \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} p^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times \sum_{i=0}^n \binom{n}{i} q^{w_2(n-i) \sum_{i=1}^r j_i} p^{w_1 w_2 x i} E_{n-i,p^{w_1},q^{w_1}}^{(r,i)}(w_2 x) \left( \frac{[w_2]_{p,q}}{[w_1]_{p,q}} \right)^i [j_1 + \dots + j_r]_{p^{w_1},q^{w_1}}^i \end{aligned} \tag{20}$$

therefore, we can see the below theorem.

**Theorem 9.** Let  $w_1, w_2 \in \mathbb{N}$ . Let  $w_1 \equiv 1 \pmod{2}$ ,  $w_2 \equiv 1 \pmod{2}$ . Let  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we get

$$\begin{aligned} & \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times E_{n, p^{w_1}, q^{w_1}}^{(r)} \left( w_2 x + \frac{w_2}{w_1} (j_1 + \dots + j_r) \right) \\ & = \sum_{i=0}^n \binom{n}{i} [w_2]_{p,q}^i [w_1]_{p,q}^{-i} p^{w_1 w_2 x i} E_{n-i, p^{w_1}, q^{w_1}}^{(r,i)} (w_2 x) \\ & \quad \times \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2(n-i+1) \sum_{i=1}^r j_i} [j_1 \dots + j_r]_{p^{w_2}, q^{w_2}}^i. \end{aligned}$$

For all different integers  $n \geq 0$ , let

$$\mathcal{S}_{n,i,p,q}^{(r)}(w) = \sum_{j_1, \dots, j_r=0}^{w-1} (-1)^{\sum_{i=1}^r j_i} q^{(n-i+1) \sum_{i=1}^r j_i} [j_1 \dots + j_r]_{p,q}^i.$$

This sum  $\mathcal{S}_{n,i,p,q}^{(k)}(w)$  is called the alternating  $(p, q)$ -power sums.

By above Theorem 9, we get the result

$$\begin{aligned} & [2]_{q^{w_2}}^r [w_1]_{p,q}^n \sum_{j_1, \dots, j_r=0}^{w_1-1} (-1)^{\sum_{i=1}^r j_i} q^{w_2 \sum_{i=1}^r j_i} \\ & \quad \times E_{n, p^{w_1}, q^{w_1}}^{(r)} \left( w_2 x + \frac{w_2}{w_1} (j_1 + \dots + j_r) \right) \tag{21} \\ & = [2]_{q^{w_2}}^r \sum_{i=0}^n \binom{n}{i} [w_2]_{p,q}^i [w_1]_{p,q}^{n-i} p^{w_1 w_2 x i} E_{n-i, p^{w_1}, q^{w_1}}^{(r,i)} (w_2 x) \mathcal{S}_{n,i,p^{w_2}, q^{w_2}}^{(r)}(w_1). \end{aligned}$$

By using the same method as in (21), we have

$$\begin{aligned} & [2]_{q^{w_1}}^r [w_2]_{p,q}^n \sum_{j_1, \dots, j_r=0}^{w_2-1} (-1)^{\sum_{i=1}^r j_i} q^{w_1 \sum_{i=1}^r j_i} \\ & \quad \times E_{n, p^{w_2}, q^{w_2}}^{(r)} \left( w_1 x + \frac{w_1}{w_2} (j_1 + \dots + j_r) \right) \tag{22} \\ & = [2]_{q^{w_1}}^r \sum_{i=0}^n \binom{n}{i} [w_1]_{p,q}^i [w_2]_{p,q}^{n-i} p^{w_1 w_2 x i} E_{n-i, p^{w_2}, q^{w_2}}^{(r,i)} (w_1 x) \mathcal{S}_{n,i,p^{w_1}, q^{w_1}}^{(r)}(w_2). \end{aligned}$$

So we see the following result using (21) and (22) and Theorem 3.

**Theorem 10.** Let  $w_1, w_2$  be the natural numbers, where  $w_1 \equiv 1 \pmod{2}$ ,  $w_2 \equiv 1 \pmod{2}$ . Let  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we can see

$$\begin{aligned} & [2]_{q^{w_1}}^r \sum_{i=0}^n \binom{n}{i} [w_1]_{p,q}^i [w_2]_{p,q}^{n-i} p^{w_1 w_2 x i} E_{n-i, p^{w_2}, q^{w_2}}^{(r,i)} (w_1 x) \mathcal{S}_{n,i,p^{w_1}, q^{w_1}}^{(r)}(w_2) \\ & = [2]_{q^{w_2}}^r \sum_{i=0}^n \binom{n}{i} [w_2]_{p,q}^i [w_1]_{p,q}^{n-i} p^{w_1 w_2 x i} E_{n-i, p^{w_1}, q^{w_1}}^{(r,i)} (w_2 x) \mathcal{S}_{n,i,p^{w_2}, q^{w_2}}^{(r)}(w_1). \end{aligned}$$

Using Theorem 10, we induce the symmetric identity  $(p, q)$ -Euler numbers  $E_{n,p,q}^{(r)}$  for the higher-order in complex field.

**Corollary 5.** Let  $w_1, w_2$  be the natural numbers which have  $w_1 \equiv 1 \pmod{2}$ ,  $w_2 \equiv 1 \pmod{2}$ . For  $k \in \mathbb{N}$  and  $n \in \mathbb{Z}_+$ , we get

$$\begin{aligned} & [2]_{q^{w_1}}^r \sum_{i=0}^n \binom{n}{i} [w_1]_{p,q}^i [w_2]_{p,q}^{n-i} p^{w_1 w_2 x i} \mathcal{S}_{n,i,p^{w_1},q^{w_1}}^{(r)}(w_2) E_{n-i,p^{w_2},q^{w_2}}^{(r,i)} \\ &= [2]_{q^{w_2}}^r \sum_{i=0}^n \binom{n}{i} [w_2]_{p,q}^i [w_1]_{p,q}^{n-i} p^{w_1 w_2 x i} \mathcal{S}_{n,i,p^{w_2},q^{w_2}}^{(r)}(w_1) E_{n-i,p^{w_1},q^{w_1}}^{(r,i)}. \end{aligned}$$

**5. Zeros of the Higher-Order  $(p, q)$ -Euler Polynomials  $E_{n,p,q}^{(r)}(x) = 0$**

If it is difficult to find solutions of equations, visualizing distributions of solutions using a computer can help to find regular patterns of solutions. These are particularly interesting because it is hard to approach theoretically. Therefore, the work of the last section is of interest to us. Based on these results, we suggest a few unsolved problems.

The values of the  $E_{n,p,q}^{(r)}(x)$  are given by

$$\begin{aligned} E_{0,p,q}^{(r)}(x) &= 1, \\ E_{1,p,q}^{(r)}(x) &= \frac{[2]_q^r \left( p^x \left( \frac{1}{1+pq} \right)^r - q^x \left( \frac{1}{1+q^2} \right)^r \right)}{p - q}, \\ E_{2,p,q}^{(r)}(x) &= \frac{[2]_q^r \left( p^{2x} \left( \frac{1}{1+p^2q} \right)^r - 2p^x q^x \left( \frac{1}{1+pq^2} \right)^r + q^{2x} \left( \frac{1}{1+q^3} \right)^r \right)}{(p - q)^2}, \\ E_{3,p,q}^{(r)}(x) &= \frac{[2]_q^r \left( p^{3x} \left( \frac{1}{1+p^3q} \right)^r - 3p^{2x} q^x \left( \frac{1}{1+p^2q^2} \right)^r + 3p^x q^{2x} \left( \frac{1}{1+pq^3} \right)^r - q^{3x} \left( \frac{1}{1+q^4} \right)^r \right)}{(p - q)^3}. \end{aligned}$$

We see that the numerical results about approximate solutions of zeros of  $E_{n,p,q}^{(r)}(x) = 0$  are in Tables 1 and 2. In Table 1, the numbers of zeros of  $E_{n,p,q}^{(r)}(x) = 0$  are listed about a fixed  $p = \frac{1}{2}$  and  $q = \frac{1}{10}$ .

**Table 1.** Numbers of real and complex zeros of  $E_{n,p,q}^{(r)}(x)$ .

Degree $n$	$r = 1, p = \frac{1}{2}, q = \frac{1}{10}$		$r = 3, p = \frac{1}{2}, q = \frac{1}{10}$	
	Real Zeros	Complex Zeros	Real Zeros	Complex Zeros
1	1	0	0	1
2	2	0	*	*
3	1	2	1	2
4	2	2	*	*
5	1	4	1	4
6	2	4	2	4
7	1	6	1	6
8	*	*	*	*
9	1	8	1	8
10	2	8	2	8
11	1	10	1	10
12	2	10	2	10
13	1	12	1	12
14	*	*	2	12
15	1	14	1	14
16	*	*	*	*
17	1	16	1	16



The \* mark in inside of Table 1 means that there is no solution of  $E_{n,p,q}^{(r)}(x) = 0$ . It is possible to visualize the zeros of  $E_{n,p,q}^{(r)}(x) = 0$  using computer graphics. The zeros of  $E_{n,p,q}^{(r)}(x) = 0$ , where  $x \in \mathbb{C}$  are visualized in Figure 1.

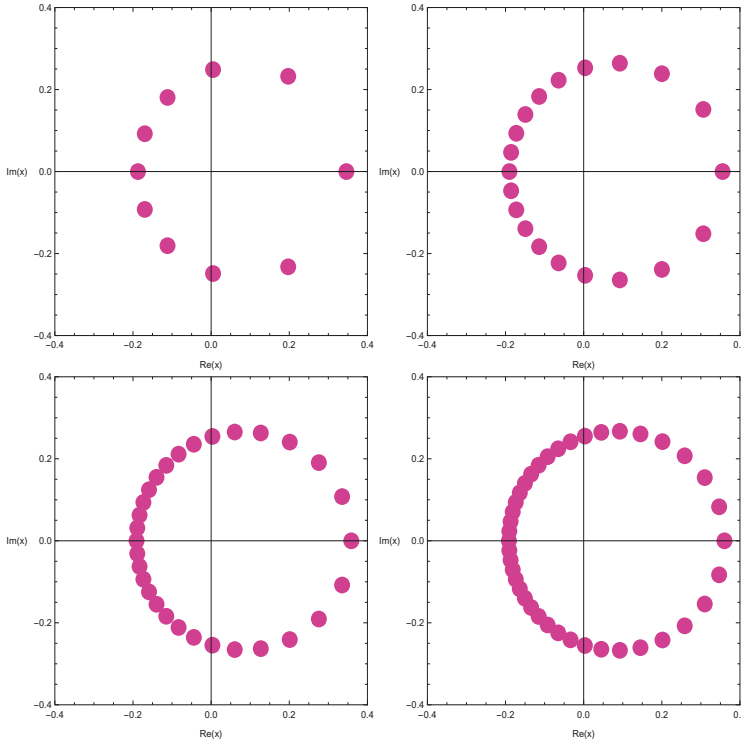


Figure 1. Zeros of  $E_{n,p,q}^{(r)}(x) = 0$ .

In Figure 1 (top-left), we chose  $r = 7, n = 10, p = 1/2$  and  $q = 1/10$ . In Figure 1 (top-right), we chose  $r = 7, n = 20, p = 1/2$  and  $q = 1/10$ . In Figure 1 (bottom-left), we chose  $r = 7, n = 30, p = 1/2$  and  $q = 1/10$ . In Figure 1 (bottom-right), we chose  $r = 7, n = 40, p = 1/2$  and  $q = 1/10$ . We can see that distribution of zeroes of  $E_{n,p,q}^{(r)}(x) = 0$  is very regular. Therefore, the theoretical prediction of the regularity of distributions of the zeros of  $E_{n,p,q}^{(r)}(x) = 0$  will remain as future research problems (Table 1).

Now, we have the numerical solution satisfying higher-order Euler polynomials  $E_{n,p,q}^{(r)}(x) = 0$  for  $x \in \mathbb{R}$ . The numerical solutions of the higher-order Euler polynomials  $E_{n,p,q}^{(r)}(x) = 0$  are listed in Table 2 about a fixed  $r = 3, p = \frac{1}{2}$ , and  $q = \frac{1}{10}$  and different value of  $n$ .

**Table 2.** Numerical solutions of  $E_{n,p,q}^{(3)}(x) = 0, p = \frac{1}{2}, q = \frac{1}{10}$ .

Degree $n$	$x$
1	0.0723976
2	*
3	0.206956
4	*
5	0.258552
6	-0.163912, 0.273465

The \* mark in Table 2 means that there is no solution of  $E_{n,p,q}^{(r)}(x) = 0$ .

### 6. Conclusions and Future Developments

This paper introduced the Carlitz’s form higher-order Euler numbers and polynomials. We have induced some formulas about the Carlitz’s form Euler numbers and polynomials with high-order. Symmetric identities about Carlitz’s form Euler numbers and polynomials with high-order are also gained. In addition, the result of [19] is a special case of  $r = 1$ , which can be induced from our paper. We make the following conjectures by numerical experiments:

**Conjecture 1.** Prove or disprove that  $E_{n,p,q}^{(r)}(x), x \in \mathbb{C}$ , has  $Im(x) = 0$  reflection symmetry analytic complex functions. Furthermore,  $E_{n,p,q}^{(r)}(x)$  has  $Re(x) = a$  reflection symmetry for  $a \in \mathbb{R}$ .

It have been checked about many values of  $n$ . It is still unknown when the conjecture 1 is true or false about each value  $n$  (see Figure 1).

In Table 1, there is no solution of that the Carlitz’s form  $(p, q)$ -Euler polynomials with higher-order is 0. Find such  $n$  so that there is no solution. If the Carlitz’s form  $(p, q)$ -Euler polynomials with higher-order has solutions, it is doubtful whether it has distinct solutions.

**Conjecture 2.** Prove or disprove that  $E_{n,p,q}^{(r)}(x) = 0$  has  $n$  distinct solutions.

We use the following symbols.  $R_{E_{n,p,q}^{(r)}(x)}$  denotes the number of real zeros of  $E_{n,p,q}^{(r)}(x) = 0$  on the real plane  $Im(x) = 0$  and  $C_{E_{n,p,q}^{(r)}(x)}$  denotes the number of complex zeros of  $E_{n,p,q}^{(r)}(x) = 0$ . We can check  $R_{E_{n,p,q}^{(r)}(x)} = n - C_{E_{n,p,q}^{(r)}(x)}$  (see Tables 1 and 2) because  $n$  is the degree of the polynomial  $E_{n,p,q}^{(r)}(x)$ .

Also, when the Carlitz’s form higher-order  $(p, q)$ -Euler polynomials is 0, if the equation has solutions, we have the following question:

**Conjecture 3.** Prove or disprove that

$$R_{E_{n,p,q}^{(r)}(x)} = \begin{cases} 1, & \text{if } n = \text{odd,} \\ 2, & \text{if } n = \text{even.} \end{cases}$$

We expect that the research in this direction will be a new approach using numerical methods for the study of Carlitz’s form Euler polynomials  $E_{n,p,q}^{(r)}(x) = 0$  (See [13,17,19,20]).

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Article

# A Note on the Truncated-Exponential Based Apostol-Type Polynomials

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**Abstract:** In this paper, we propose to investigate the truncated-exponential-based Apostol-type polynomials and derive their various properties. In particular, we establish the operational correspondence between this new family of polynomials and the familiar Apostol-type polynomials. We also obtain some implicit summation formulas and symmetric identities by using their generating functions. The results, which we have derived here, provide generalizations of the corresponding known formulas including identities involving generalized Hermite-Bernoulli polynomials.

**Keywords:** truncated-exponential polynomials; monomiality principle; generating functions; Apostol-type polynomials and Apostol-type numbers; Bernoulli, Euler and Genocchi polynomials; Bernoulli, Euler, and Genocchi numbers; operational methods; summation formulas; symmetric identities

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## 1. Introduction

Operational techniques involving differential operators, which is a consequence of the monomiality principle, provide efficient tools in the theory of conventional polynomial systems and their various generalizations. Steffensen [1] suggested the concept of poweroid, which happens to be behind the idea of monomiality. The principle of monomiality was subsequently reformulated and developed by Dattoli [2]. The strategy underlining this viewpoint is apparently simple, but the outcomes are remarkably deep.

In the theory of the monomiality principle, a polynomial set  $p_n(x)$  ( $n \in \mathbb{N}$ ;  $x \in \mathbb{C}$ ) is quasi-monomial if there exist two operators  $\widehat{M}$  and  $\widehat{P}$ , which are named the *multiplicative* and the *derivative* operators, respectively, are defined as follows:

$$\widehat{M}\{p_n(x)\} = p_{n+1}(x) \quad \text{and} \quad \widehat{P}\{p_n(x)\} = np_{n-1}(x),$$

together with the initial condition given by

$$p_0(x) = 1. \tag{1}$$

The operators  $\widehat{M}$  and  $\widehat{P}$  satisfy the following commutation relation:

$$[\widehat{M}, \widehat{P}] = \widehat{1}. \quad (2)$$

Thus, clearly, these operators display a Weyl group structure.

The properties of the polynomials  $p_n(x)$  can be deduced from those of the operators  $\widehat{M}$  and  $\widehat{P}$ . If  $\widehat{M}$  and  $\widehat{P}$  possess a differential character, then the polynomials  $p_n(x)$  satisfy the following differential equation:

$$\widehat{M}\widehat{P}\{p_n(x)\} = np_n(x). \quad (3)$$

The polynomial family  $p_n(x)$  can be explicitly constructed through the action of  $\widehat{M}^n$  on  $p_0(x)$  as follows:

$$p_n(x) = \widehat{M}^n\{p_0(x)\}. \quad (4)$$

Just as in (1), we shall always assume that  $p_0(x) = 1$ . In view of the above identity (4), the exponential generating function of  $p_n(x)$  can be written in the form:

$$\exp(t\widehat{M})\{1\} = \sum_{n=0}^{\infty} p_n(x) \frac{t^n}{n!} \quad (|t| < \infty). \quad (5)$$

We now introduce the truncated-exponential polynomials  $e_n(x)$  (see [3]) defined by the following series:

$$e_n(x) = \sum_{k=0}^n \frac{x^k}{k!}, \quad (6)$$

that is, by the first  $n + 1$  terms of the Taylor-Maclaurin series for the exponential function  $e^x$ . These truncated-exponential polynomials play an important rôle in many problems in optics and quantum mechanics. However, their properties are apparently as widespread as they should be. The truncated-exponential polynomials  $e_n(x)$  have been used to evaluate several overlapping integrals associated with the optical mode evolution or for characterizing the structure of the flattened beams. Their usefulness has led to the possibility of appropriately extending their definition. Actually, Dattoli et al. [4] systematically studied the properties of these polynomials.

The definition (6) does lead us to most (if not all) of the properties of the polynomials  $e_n(x)$ . We note the following representation:

$$e_n(x) = \frac{1}{n!} \int_0^{\infty} e^{-\xi} (x + \xi)^n d\xi, \quad (7)$$

which follows readily from the classical gamma-function representation (see, for details, [3]). Consequently, we have the following generating function for the truncated-exponential polynomials  $e_n(x)$  (see [4]):

$$\frac{e^{xt}}{1-t} = \sum_{n=0}^{\infty} e_n(x) t^n. \quad (8)$$

The definition (6) of  $e_n(x)$  can thus be extended to a family of potentially useful truncated-exponential polynomials as follows (see [4]):

$$[2]e_n(x) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \frac{x^{n-2k}}{(n-2k)!}, \quad (9)$$

which obviously possesses a generating function in the form (see [4]):

$$\frac{e^{xt}}{1-t^2} = \sum_{n=0}^{\infty} [2]e_n(x) t^n. \quad (10)$$

We also recall the higher-order truncated-exponential polynomials  $[r]e_n(x)$ , which are defined by the following series (see [4]):

$$[r]e_n(x) = \sum_{k=0}^{\lfloor \frac{n}{r} \rfloor} \frac{x^{n-rk}}{(n-rk)!} \tag{11}$$

and specified by the following generating function (see [4]):

$$\frac{e^{xt}}{1-t^r} = \sum_{n=0}^{\infty} [r]e_n(x)t^n. \tag{12}$$

The special two-variable case of the polynomials in (11) (that is, the case when  $r = 2$ ) are important for applications. Moreover, these polynomials help us derive several potentially useful identities in a simple way and in investigating other novel families of polynomial systems. Actually, Equation (12) enables us to give a new family of polynomials as has been given in Theorem 1.

A 2-variable extension of the truncated-exponential polynomials is given by (see [4])

$$[2]e_n(x, y) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \frac{y^k x^{n-2k}}{(n-2k)!} \tag{13}$$

and possesses the following generating function (see [4]):

$$\frac{e^{xt}}{1-yt^2} = \sum_{n=0}^{\infty} [2]e_n(x, y)t^n. \tag{14}$$

With a view to introducing a mixed family of polynomials related to the familiar Sheffer sequence, we first consider the 2-variable truncated-exponential polynomials (2VTEP)  $e_n^{(r)}(x, y)$  of order  $r$ , which are expressed explicitly by (see [5])

$$e_n^{(r)}(x, y) = \sum_{k=0}^{\lfloor \frac{n}{r} \rfloor} \frac{y^k x^{n-rk}}{(n-rk)!} \tag{15}$$

and which are generated by

$$\frac{e^{xt}}{1-yt^r} = \sum_{n=0}^{\infty} e_n^{(r)}(x, y) \frac{t^n}{n!}. \tag{16}$$

From (8), (10), (12), (14) and (16), we can deduce several special cases of the 2VTEP  $e_n^{(r)}(x, y)$ . For example, we have

$$e_n^{(2)}(x, y) = [2]e_n(x, y) \quad e_n^{(1)}(x, 1) = [r]e_n(x) \quad e_n^{(2)}(x, 1) = [2]e_n(x) \quad \text{and} \quad e_n^{(1)}(x, 1) = e_n(x). \tag{17}$$

As it is shown in [6,7], the 2VTEP  $e_n^{(r)}(x, y)$  are quasi-monomial (see also [1,2]) with respect to multiplicative and derivative operators given by

$$\widehat{M}_{e^{(r)}} = (x + ry\partial_y y \partial_x^{-1}) \tag{18}$$

and

$$\widehat{P}_{e^{(r)}} = \partial_x, \tag{19}$$

where

$$\partial_x = \frac{\partial}{\partial x} \quad \text{and} \quad \partial_y = \frac{\partial}{\partial y}.$$

Thus, if we apply the monomiality principle as well as the Equations (18) and (19), we have

$$\widehat{M}_{e^{(r)}}\{e_n^{(r)}(x, y)\} = e_{n+1}^{(r)}(x, y) \tag{20}$$

and

$$\widehat{P}_{e^{(r)}}\{e_n^{(r)}(x, y)\} = ne_{n-1}^{(r)}(x, y), \tag{21}$$

respectively.

The 2VTEP  $e_n^{(r)}(x, y)$  are quasi-monomial, so their properties can be derived from those of the multiplicative and derivative operators  $\widehat{M}_{e^{(r)}}$  and  $\widehat{P}_{e^{(r)}}$ , respectively. We thus find that

$$\widehat{M}_{e^{(r)}}\widehat{P}_{e^{(r)}}\{e_n^{(r)}(x, y)\} = ne_n^{(r)}(x, y), \tag{22}$$

which satisfies a differential equation for  $e_n^{(r)}(x, y)$  as follows:

$$(r\partial_x + ry\partial_y y\partial_x^r - n)e_n^{(r)}(x, y) = 0. \tag{23}$$

Again, since  $e_0^{(r)}(x, y) = 1$ , the 2VTEP  $e_n^{(r)}(x, y)$  can be explicitly constructed as follows:

$$e_n^{(r)}(x, y) = \widehat{M}_{e^{(r)}}^n\{e_0^{(r)}(x, y)\} = \widehat{M}_{e^{(r)}}^n\{1\}. \tag{24}$$

Equation (24) yields the following generating function of the 2VTEP  $e_n^{(r)}(x, y)$ :

$$\exp(\widehat{M}_{e^{(r)}}t)\{1\} = \sum_{n=0}^{\infty} e_n^{(r)}(x, y) \frac{t^n}{n!} \quad (|t| < \infty). \tag{25}$$

We can easily verify the following relation between  $\widehat{M}_{e^{(r)}}$  and  $\widehat{P}_{e^{(r)}}$ :

$$[\widehat{P}_{e^{(r)}}, \widehat{M}_{e^{(r)}}] = \widehat{1}. \tag{26}$$

Denoting the classical Bernoulli, Euler and Genocchi polynomials by  $B_n(x)$ ,  $E_n(x)$  and  $G_n(x)$ , respectively, we now recall their familiar generalizations  $B_n^{(\alpha)}(x)$ ,  $E_n^{(\alpha)}(x)$  and  $G_n^{(\alpha)}(x)$  of order  $\alpha$ , which are generated by (see, for details, [8–14]; see also [15] as well as the references cited therein):

$$\left(\frac{t}{e^t - 1}\right)^\alpha e^{xt} = \sum_{n=0}^{\infty} B_n^{(\alpha)}(x) \frac{t^n}{n!} \quad (|t| < 2\pi; 1^\alpha := 1), \tag{27}$$

$$\left(\frac{2}{e^t + 1}\right)^\alpha e^{xt} = \sum_{n=0}^{\infty} E_n^{(\alpha)}(x) \frac{t^n}{n!} \quad (|t| < \pi; 1^\alpha := 1) \tag{28}$$

and

$$\left(\frac{2t}{e^t + 1}\right)^\alpha e^{xt} = \sum_{n=0}^{\infty} G_n^{(\alpha)}(x) \frac{t^n}{n!} \quad (|t| < \pi; \alpha \in \mathbb{N}_0). \tag{29}$$

Obviously, we have

$$B_n^{(1)}(x) =: B_n(x), \quad E_n^{(1)}(x) =: E_n(x) \quad \text{and} \quad G_n^{(1)}(x) =: G_n(x). \tag{30}$$

It is also known that

$$B_n^{(1)}(0) =: B_n, \quad E_n^{(1)}(0) =: E_n \quad \text{and} \quad G_n^{(1)}(0) =: G_n \tag{31}$$

for the Bernoulli, Euler, and Genocchi numbers  $B_n$ ,  $E_n$  and  $G_n$ , respectively.

The Apostol-Bernoulli polynomials  $B_n^{(\alpha)}(x; \lambda)$  of order  $\alpha$  was introduced by Luo and Srivastava (see [16,17]). Subsequently, the Apostol-Euler polynomials  $E_n^{(\alpha)}(x; \lambda)$  and the Apostol-Genocchi polynomials  $G_n^{(\alpha)}(x; \lambda)$  of order  $\alpha$  were analogously studied by Luo (see [18–20]; see also [21–27]).

**Definition 1.** The Apostol-Bernoulli polynomials  $B_n^{(\alpha)}(x)$  of order  $\alpha$  are defined by

$$\left(\frac{t}{\lambda e^t - 1}\right)^\alpha = \sum_{n=0}^\infty B_n^{(\alpha)}(x; \lambda) \frac{t^n}{n!} \tag{32}$$

( $|t| < 2\pi$  when  $\lambda = 1$ ;  $|t| < |\log \lambda|$  when  $\lambda \neq 1$ ;  $1^\alpha := 1$ )

with

$$B_n^{(\alpha)}(x) = B_n^{(\alpha)}(x; 1) \quad \text{and} \quad B_n^{(\alpha)}(\lambda) = B_n^{(\alpha)}(0; \lambda), \tag{33}$$

where  $B_n^{(\alpha)}(\lambda)$  denotes the Apostol-Bernoulli numbers of order  $\alpha$ .

**Definition 2.** The Apostol-Euler polynomials  $E_n^{(\alpha)}(x)$  of order  $\alpha$  are defined by

$$\left(\frac{2}{\lambda e^t + 1}\right)^\alpha = \sum_{n=0}^\infty E_n^{(\alpha)}(x; \lambda) \frac{t^n}{n!} \tag{34}$$

( $|t| < \pi$  when  $\lambda = 1$ ;  $|t| < |\log(-\lambda)| < \pi$  when  $\lambda \neq 1$ ;  $1^\alpha := 1$ )

with

$$E_n^{(\alpha)}(x) = E_n^{(\alpha)}(x; 1) \quad \text{and} \quad E_n^{(\alpha)}(\lambda) = E_n^{(\alpha)}(0; \lambda), \tag{35}$$

where  $E_n^{(\alpha)}(\lambda)$  denotes the Apostol-Euler numbers of order  $\alpha$ .

**Definition 3.** The Apostol-Genocchi polynomials  $G_n^{(\alpha)}(x)$  of order  $\alpha$  are defined by

$$\left(\frac{2t}{\lambda e^t + 1}\right)^\alpha = \sum_{n=0}^\infty G_n^{(\alpha)}(x; \lambda) \frac{t^n}{n!} \tag{36}$$

( $|t| < \pi$  when  $\lambda = 1$ ;  $|t| < |\log(-\lambda)|$  when  $\lambda \neq 1$ ;  $1^\alpha := 1$ ) \tag{37}

with

$$G_n^{(\alpha)}(x) = G_n^{(\alpha)}(x; 1) \quad \text{and} \quad G_n^{(\alpha)}(\lambda) = G_n^{(\alpha)}(0; \lambda), \tag{38}$$

where  $G_n^{(\alpha)}(\lambda)$  denotes the Apostol-Genocchi numbers of order  $\alpha$ .

**Remark 1.** Whenever  $\lambda = 1$  in (32) and  $\lambda = -1$  in (36), the order  $\alpha$  of the Apostol-Bernoulli polynomials  $B_n^{(\alpha)}(x; \lambda)$  and the order  $\alpha$  of the Apostol-Genocchi polynomials  $G_n^{(\alpha)}(x; \lambda)$  should obviously be constrained to take on nonnegative integer values (see, for details, [14]). A similar remark would apply also to the order  $\alpha$  in all other analogous situations considered in this paper.

Among other authors, Özden (see [28,29]), Özden et al. ([30]) and Özarslan (see [31,32]) introduced and studied the unification of the above-defined Apostol-type polynomials. In particular, Özden ([29]) defined the unified polynomials  $Y_{n,\beta}^{(\alpha)}(x; k, a, b)$  of higher order by



$$\left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha e^{xt} = \sum_{n=0}^\infty Y_{n,\beta}^{(\alpha)}(x; k, a, b) \frac{t^n}{n!} \tag{39}$$

$$\left(|t| < 2\pi \text{ when } \beta = a; |t| < \left|b \log\left(\frac{\beta}{a}\right)\right| \text{ when } \beta \neq a; 1^\alpha := 1; k \in \mathbb{N}_0; a, b \in \mathbb{R} \setminus \{0\}; \alpha, \beta \in \mathbb{C}\right).$$

By putting  $x = 0$  in (39), we can readily obtain the corresponding unification  $Y_{n,\beta}^{(\alpha)}(k, a, b)$  of the Apostol-type polynomials, which is generated by

$$\left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha = \sum_{n=0}^\infty Y_{n,\beta}^{(\alpha)}(k, a, b) \frac{t^n}{n!}. \tag{40}$$

In fact, from Equations (32), (34), (36) and (39), we have

$$Y_{n,\lambda}^{(\alpha)}(x; 1, 1, 1) = B_n^{(\alpha)}(x; \lambda), \tag{41}$$

$$Y_{n,\lambda}^{(\alpha)}(x; 0, -1, 1) = E_n^{(\alpha)}(x; \lambda) \tag{42}$$

and

$$Y_{n,\lambda}^{(\alpha)}\left(x; 1, -\frac{1}{2}, 1\right) = G_n^{(\alpha)}(x; \lambda). \tag{43}$$

**Definition 4.** For an arbitrary real or complex parameter  $\lambda$ , the number  $S_k(n, \lambda)$  is given by Zhang and Yang (see [19])

$$\sum_{k=0}^\infty S_k(n, \lambda) \frac{t^k}{k!} = \frac{\lambda e^{(n+1)t} - 1}{\lambda e^t - 1}, \tag{44}$$

which, for  $\lambda = 1$ , yields

$$S_k(n, 1) =: S_k(n).$$

Our main objective in this article is to first appropriately combine the 2-variable truncated-exponential polynomials and the Apostol-type polynomials by means of operational techniques. This leads us to the truncated-exponential-based Apostol-type polynomials. By framing these polynomials within the context of the monomiality principle, we then establish their potentially useful properties. We also derive some other properties and investigate several implicit summation formulas for this general family of polynomials by making use of several different analytical techniques on their generating functions. We choose to point out some relevant connections between the truncated-exponential polynomials and the Apostol-type polynomials and thereby derive extensions of several symmetric identities.

## 2. Two-Variable Truncated-Exponential-Based Apostol-Type Polynomials

We now start with the following theorem arising from the generating functions for the truncated-exponential-based Apostol-type polynomials (TEATP), which are denoted by  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$ .

**Theorem 1.** The generating function for the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  is given by

$$\sum_{n=0}^\infty \left({}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)\right) \frac{t^n}{n!} = \left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha e^{xt} \left(\frac{1}{1 - yt^t}\right). \tag{45}$$

**Proof.** Replacing  $x$  in the left-hand side and the right-hand side of (39) by the multiplicative operator  $\widehat{M}_e^{(r)}$  of the 2VTEATP  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$ , we have

$$\left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha \exp(\widehat{M}_e^{(r)}t)\{1\} = \sum_{n=0}^\infty Y_{n,\beta}^{(\alpha)}(\widehat{M}_e^{(r)}; k, a, b) \frac{t^n}{n!} \left(|t| < \left|b \log\left(\frac{\beta}{a}\right)\right|\right). \tag{46}$$

Using Equation (25) in the left-hand side and Equation (18) in the right-hand side of Equation (46), we see that

$$\left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha \sum_{n=0}^\infty e_n^{(r)}(x, y) \frac{t^n}{n!} = \sum_{n=0}^\infty Y_{n,\beta}^{(\alpha)}\left(x + \frac{\phi'(y, \partial_x)}{\phi(y, \partial_x)}; k, a, b\right) \frac{t^n}{n!}. \tag{47}$$

Now, using Equation (16) in the left-hand side and denoting the resulting 2-variable truncated-exponential-based Apostol-type polynomials (2VTEATP) in the right-hand side by  $e^{(r)}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$ , we have

$${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) = Y_{n,\beta}^{(\alpha)}(\widehat{M}_e^{(r)}; k, a, b) = Y_{n,\beta}^{(\alpha)}\left(x + \frac{\phi'(y, \partial_x)}{\phi(y, \partial_x)}; k, a, b\right), \tag{48}$$

which yields the assertion (45) of Theorem 1.  $\square$

**Remark 2.** Equation (48) gives the operational representation involving the unified Apostol-type polynomials  $Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  and 2VTEATP  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$ .

To frame the 2VTEATP  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  within the context of monomiality principle, we state the following result.

**Theorem 2.** The 2VTEATP  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  are quasi-monomial with respect to the following multiplicative and derivative operators:

$$\widehat{M}_{e^{(r)Y}} = x + ry\partial_y y \partial_x^{r-1} + \frac{\alpha k(\beta^b e^t - a^b) - \alpha \beta^b \partial_x e^{\partial_x}}{\partial_x(\beta^b e^t - a^b)} \tag{49}$$

and

$$\widehat{P}_{e^{(r)Y}} = \partial_x. \tag{50}$$

**Proof.** Let us consider the following expression:

$$\partial_x \left\{ e^{xt} \frac{1}{1-yt^r} \right\} = t \left\{ e^{xt} \frac{1}{1-yt^r} \right\}. \tag{51}$$

Differentiating both sides of Equation (45) partially with respect to  $t$ , we see that

$$\begin{aligned} &\left(x + ry\partial_y y \partial_x^{r-1} + \frac{\alpha k(\beta^b e^t - a^b) - \alpha \beta^b t e^t}{t(\beta^b e^t - a^b)}\right) \left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha \frac{e^{xt}}{1-yt^r} \\ &= \sum_{n=0}^\infty {}_{e^{(r)}}Y_{n+1,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!}. \end{aligned} \tag{52}$$

Since

$$\phi(y, t) = \frac{1}{1-yt^r}$$

is an invertible series of  $t$ , therefore,

$$\frac{\phi'(y, \partial_x)}{\phi(y, \partial_x)}$$

possesses a power-series expansion in  $t$ . Thus, using (51), Equation (52) becomes

$$\begin{aligned} & \left( x + ry\partial_y y \partial_x^{r-1} + \frac{\alpha k(\beta^b e^{\partial_x} - a^b) - \alpha \beta^b \partial_x e^{\partial_x}}{\partial_x(\beta^b e^t - a^b)} \right) \left( \frac{2^{1-k} t^k}{\beta^b e^t - a^b} \right)^\alpha \frac{e^{xt}}{1 - yt^r} \\ &= \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n+1, \beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!}. \end{aligned} \tag{53}$$

Again, by using the generating function (45) in left-hand side of Equation (53) and rearranging the resulting summation, we have

$$\begin{aligned} & \sum_{n=0}^{\infty} \left( x + ry\partial_y y \partial_x^{r-1} + \frac{\alpha k(\beta^b e^{\partial_x} - a^b) - \alpha \beta^b \partial_x e^{\partial_x}}{\partial_x(\beta^b e^t - a^b)} \right) \left\{ {}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b) \right\} \frac{t^n}{n!} \\ &= \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n+1, \beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!}. \end{aligned} \tag{54}$$

Comparing the coefficients of  $\frac{t^n}{n!}$  in the Equation (54), we get

$$\begin{aligned} & \left( x + ry\partial_y y \partial_x^{r-1} + \frac{\alpha k(\beta^b e^{\partial_x} - a^b) - \alpha \beta^b \partial_x e^{\partial_x}}{\partial_x(\beta^b e^t - a^b)} \right) \left\{ {}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b) \right\} \\ &= {}_{e^{(r)}}Y_{n+1, \beta}^{(\alpha)}(x, y; k, a, b), \end{aligned} \tag{55}$$

which, in view of the monomiality principle exhibited in Equation (20) for  ${}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b)$ , yields the assertion (49) of Theorem 2.

We now prove the assertion (50) of Theorem 2. For this purpose, we start with the following identity arising from Equations (45) and (51):

$$\partial_x \left\{ \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!} \right\} = \sum_{n=1}^{\infty} {}_{e^{(r)}}Y_{n-1, \beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{(n-1)!}. \tag{56}$$

Rearranging the summation in the left-hand side of Equation (56), and then equating the coefficients of the same powers of  $t$  in both sides of the resulting equation, we find that

$$\partial_x \left\{ {}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b) \right\} = {}_{e^{(r)}}Y_{n-1, \beta}^{(\alpha)}(x, y; k, a, b) \quad (n \in \mathbb{N}), \tag{57}$$

which, in view of the monomiality principle exhibited in Equation (21) for  ${}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b)$ , yields the assertion (50) of Theorem 2. Our demonstration of Theorem 2 is thus completed.  $\square$

We note that the properties of quasi-monomials can be derived by means of the actions of the multiplicative and derivative operators. We derive the differential equation for the 2VTEATP  ${}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b)$  in the following theorem.

**Theorem 3.** The 2VTEATP  ${}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b)$  satisfies the following differential equation:

$$\left( x\partial_x + ry\partial_y y \partial_x^r + \frac{\alpha k(\beta^b e^t - a^b) - \alpha \beta^b \partial_x e^{\partial_x}}{(\beta^b e^t - a^b)} - n \right) \left\{ {}_{e^{(r)}}Y_{n, \beta}^{(\alpha)}(x, y; k, a, b) \right\} = 0, \tag{58}$$

**Proof.** Theorem 3 can be easily proved by combining (49) and (50) with the monomiality principle exhibited in (22). □

**Remark 3.** When  $r = 2$ , the 2VTEP  $e^{(r)}(x, y)$  of order  $r$  reduces to the 2VTEP  ${}_{[2]}e_n(x, y)$ . Therefore, if we set  $r = 2$  in Equation (45), we get the following generating function for the 2-variable truncated-exponential Apostol-type polynomials (2VTEATP)  ${}_{[2]}e^{(\alpha)}_{n,\beta}(x, y; k, a, b)$  :

$$\left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha e^{xt} \left(\frac{1}{1-yt^2}\right) = \sum_{n=0}^\infty {}_{[2]}e^{(\alpha)}_{n,\beta}(x, y; k, a, b) \frac{t^n}{n!}. \tag{59}$$

The series definition and other results for the 2VTEATP  ${}_{[2]}e^{(\alpha)}_{n,\beta}(x, y; k, a, b)$  can be obtained by taking  $r = 2$  in Theorems 1 and 2. Table 1 shown the special cases of the 2VTEATP  ${}_{e^{(r)}}Y_n(x, y; k, a, b)$ .

**Remark 4.** For the case  $y = 1$ , the polynomials  ${}_{[2]}e_n(x, 1)$  reduce to the truncated-exponential polynomials  ${}_{[2]}e_n(x)$ . Therefore, by taking  $y = 1$  in Equation (59), we get the following generating function for the truncated-exponential Apostol-type polynomials (TEATP)  ${}_{[2]}e^{(\alpha)}_{n,\beta}(x; k, a, b)$  :

$$\left(\frac{2^{1-k}t^k}{\beta^b e^t - a^b}\right)^\alpha e^{xt} \left(\frac{1}{1-t^2}\right) = \sum_{n=0}^\infty {}_{[2]}e^{(\alpha)}_{n,\beta}(x; k, a, b) \frac{t^n}{n!}. \tag{60}$$

**Table 1.** Some special cases of the 2VTEATP  ${}_{e^{(r)}}Y_n(x, y; k, a, b)$ .

S. No.	Values of the Parameter	Relation between the 2VTEATP ${}_{e^{(r)}}Y_n(x, y; k, a, b)$ and Its Special Case	Name of the Resultant Special Polynomials	Generating Functions and the Resultant of Special Polynomials
I.	$k = a = b = 1, \beta = \lambda$	${}_{e^{(r)}}Y_n(x, y; 1, 1, \lambda) = {}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda)$	2-variable truncated-exponential-based Apostol-Bernoulli polynomial	$\left(\frac{t}{\lambda e^t - 1}\right)^\alpha e^{xt} \left(\frac{1}{1-yt}\right) = \sum_{n=0}^\infty {}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda) \frac{t^n}{n!}$
II.	$k + 1 = -a = b = 1, \beta = \lambda$	${}_{e^{(r)}}Y_n(x, y; 0, -1, 1, \lambda) = {}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda)$	2-variable truncated-exponential-based Apostol-Euler polynomial	$\left(\frac{2}{\lambda e^t + 1}\right)^\alpha e^{xt} \left(\frac{1}{1-yt}\right) = \sum_{n=0}^\infty {}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda) \frac{t^n}{n!}$
III.	$k = -2a = b = 1, 2\beta = \lambda$	${}_{e^{(r)}}Y_n(x, y; 1, -\frac{1}{2}, 1, \lambda) = {}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda)$	2-variable truncated-exponential-based Apostol-Genocchi polynomial	$\left(\frac{2t}{\lambda e^t + 1}\right)^\alpha e^{xt} \left(\frac{1}{1-yt}\right) = \sum_{n=0}^\infty {}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda) \frac{t^n}{n!}$

In the case when  $\lambda = 1$ , the results obtained above for the 2VTEABP  ${}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda)$ , 2VTEAEP  ${}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda)$  and 2VTEAGP  ${}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda)$  give the corresponding results for the 2-variable truncated-exponential Bernoulli polynomials (2VTEBP) (of order  $\alpha$ )  ${}_{e^{(r)}}B_n^{(\alpha)}(x, y)$ , 2-variable truncated-exponential Euler polynomials (2VTEEP) (of order  $\alpha$ )  ${}_{e^{(r)}}E_n^{(\alpha)}(x, y)$  and 2-variable truncated-exponential Genocchi polynomials (2VTGBP) (of order  $\alpha$ )  ${}_{e^{(r)}}G_n^{(\alpha)}(x, y)$  [6]. Again for  $\alpha = 1$ , we get the corresponding results for the 2-variable truncated-exponential Bernoulli polynomials (2VTEBP)  ${}_{e^{(r)}}B_n(x, y)$ , 2-variable truncated-exponential Euler polynomials (2VTEEP)  ${}_{e^{(r)}}E_n(x, y)$  and 2-variable truncated-exponential Genocchi polynomials (2VTEGP)  ${}_{e^{(r)}}G_n(x, y)$ .

### 3. Implicit Formulas Involving the 2-Variable Truncated-Exponential Based Apostol-Type Polynomials

In this section, we employ the definition of the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  that help in proving the generalizations of the previous works of Khan et al. [33] and Pathan and Khan (see [34–36]). For the derivation of implicit formulas involving the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$ , the same considerations as developed for the ordinary Hermite and related polynomials in the works

by Khan et al. [33] and Pathan et al. (see [34–36]) apply as well. We first prove the following results involving the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$ .

**Theorem 4.** *The following implicit summation formulas for the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  holds true:*

$${}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(z, y; k, a, b) = \sum_{n=0}^q \sum_{p=0}^l \binom{q}{n} \binom{l}{p} (z-x)^{n+p} {}_{e^{(r)}}Y_{q+l-n-p,\beta}^{(\alpha)}(x, y; k, a, b). \tag{61}$$

**Proof.** We replace  $t$  by  $t + u$  and rewrite (45) as follows:

$$\left( \frac{2^{1-k}(t+u)^k}{\beta^b e^{t+u} - a^b} \right)^\alpha \left( \frac{1}{1-y(t+u)^r} \right) = e^{-x(t+u)} \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!}. \tag{62}$$

Replacing  $x$  by  $z$  in the Equation (62) and equating the resulting equation to the above equation, we get

$$e^{(z-x)(t+u)} \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!} = \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(z, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!}. \tag{63}$$

Upon expanding the exponential function (63), we get

$$\sum_{N=0}^{\infty} \frac{[(z-x)(t+u)]^N}{N!} \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!} = \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(z, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!}, \tag{64}$$

which, by appealing to the following series manipulation formula:

$$\sum_{N=0}^{\infty} f(N) \frac{(x+y)^N}{N!} = \sum_{m,n=0}^{\infty} f(m+n) \frac{x^m}{m!} \frac{y^n}{n!} \tag{65}$$

in the left-hand side of (64), becomes

$$\sum_{n,p=0}^{\infty} \frac{(z-x)^{n+p} t^n u^p}{n! p!} \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!} = \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(z, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!}. \tag{66}$$

Now, replacing  $q$  by  $q - n$  and  $l$  by  $l - p$ , and using a lemma in [37] in the left-hand side of (66), we get

$$\begin{aligned} & \sum_{q,l=0}^{\infty} \sum_{n=0}^q \sum_{p=0}^l \frac{(z-x)^{n+p}}{n! p!} {}_{e^{(r)}}Y_{q+l-n-p,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^q}{(q-n)!} \frac{u^l}{(l-p)!} \\ &= \sum_{q,l=0}^{\infty} {}_{e^{(r)}}Y_{q+l,\beta}^{(\alpha)}(z, y; k, a, b) \frac{t^q}{q!} \frac{u^l}{l!}. \end{aligned} \tag{67}$$

Finally, on equating the coefficients of the like powers of  $t$  and  $u$  in the equation (67), we get the required result (61) asserted by Theorem 4.  $\square$

If we set

$$k = a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 4, we get the following corollary.

**Corollary 1.** The following implicit summation formula for the truncated-exponential-based Bernoulli polynomials  ${}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}B_{q+l}^{(\alpha)}(z, y; \lambda) = \sum_{n=0}^q \sum_{p=0}^l \binom{q}{n} \binom{l}{p} (z-x)^{n+p} {}_{e^{(r)}}B_{q+l-p-n}^{(\alpha)}(x, y; \lambda). \tag{68}$$

For

$$k + 1 = -a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 4, we get the following corollary.

**Corollary 2.** The following implicit summation formula for the truncated-exponential-based Euler polynomials  ${}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}E_{q+l}^{(\alpha)}(z, y; \lambda) = \sum_{n=0}^q \sum_{p=0}^l \binom{q}{n} \binom{l}{p} (z-x)^{n+p} {}_{e^{(r)}}E_{q+l-p-n}^{(\alpha)}(x, y; \lambda). \tag{69}$$

Letting

$$k = -2a = b = 1 \quad \text{and} \quad 2\beta = \lambda$$

in Theorem 4, we get the following corollary.

**Corollary 3.** The following implicit summation formulas for the truncated-exponential-based Genocchi polynomials  ${}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}G_{q+l}^{(\alpha)}(z, y; \lambda) = \sum_{n=0}^q \sum_{p=0}^l \binom{q}{n} \binom{l}{p} (z-x)^{n+p} {}_{e^{(r)}}G_{q+l-p-n}^{(\alpha)}(x, y; \lambda). \tag{70}$$

**Theorem 5.** The following implicit summation formula involving the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  holds true:

$${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) = \sum_{s=0}^n \binom{n}{s} Y_{n-s,\beta}^{(\alpha)}(k, a, b) e_s^{(r)}(x, y). \tag{71}$$

**Proof.** By the definition (45), we have

$$\left( \frac{2^{1-k} t^k}{\beta^b e^t - a^b} \right)^\alpha e^{xt} \left( \frac{1}{1-yt^r} \right) = \sum_{n=0}^\infty Y_{n,\beta}^{(\alpha)}(k, a, b) \frac{t^n}{n!} \sum_{s=0}^\infty e_s^{(r)}(x, y) \frac{t^s}{s!}. \tag{72}$$

Now, replacing  $n$  by  $n - s$  in the right-hand side of the Equation (72) and comparing the coefficients of  $t$ , we get the result (71) asserted by Theorem 5.  $\square$

If we set

$$k = a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 5, we get the following corollary.

**Corollary 4.** The following implicit summation formula for the 2-variable truncated-exponential-based Bernoulli polynomials  ${}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}B_n^{(\alpha)}(x + z, y + u; \lambda) = \sum_{s=0}^n \binom{n}{s} B_{n-s}^{(\alpha)}(\lambda) e_s^{(r)}(x, y). \tag{73}$$

For

$$k + 1 = -a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 5, we get the following corollary.

**Corollary 5.** *The following implicit summation formula for the 2-variable truncated-exponential-based Euler polynomials  ${}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda)$  holds true:*

$${}_{e^{(r)}}E_n^{(\alpha)}(x + z, y + u; \lambda) = \sum_{s=0}^n \binom{n}{s} E_{n-s}^{(\alpha)}(\lambda) e_s^{(r)}(x, y). \tag{74}$$

Letting

$$k = -2a = b = 1 \quad \text{and} \quad 2\beta = \lambda$$

in Theorem 5, we get the following corollary.

**Corollary 6.** *The following implicit summation formula for the 2-variable truncated-exponential-based Genocchi polynomials  ${}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda)$  holds true:*

$${}_{e^{(r)}}G_n^{(\alpha)}(x + z, y + u; \lambda) = \sum_{s=0}^n \binom{n}{s} G_{n-s}^{(\alpha)}(\lambda) e_s^{(r)}(x, y). \tag{75}$$

**Theorem 6.** *The following implicit summation formula involving the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  holds true:*

$${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x + z, y; k, a, b) = \sum_{s=0}^n \binom{n}{s} {}_{e^{(r)}}Y_{n-s,\beta}^{(\alpha)}(x, y; k, a, b) z^s. \tag{76}$$

**Proof.** We first replace  $x$  by  $x + z$  in (45). Then, by using (16), we rewrite the generating function (45) as follows:

$$\begin{aligned} \left( \frac{2^{1-kt} t^k}{\beta^b e^t - a^b} \right)^\alpha e^{(x+z)t} \left( \frac{1}{1 - yt^r} \right) &= \sum_{n=0}^\infty {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!} \sum_{s=0}^\infty \frac{(zt)^s}{s!} \\ &= \sum_{n=0}^\infty {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x + z, y; k, a, b) \frac{t^n}{n!}. \end{aligned} \tag{77}$$

Furthermore, upon replacing  $n$  by  $n - s$  in l.h.s and comparing the coefficients of  $t^n$ , we complete the proof of Theorem 6.  $\square$

For

$$k = a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 6, we get the following corollary.

**Corollary 7.** *The following implicit summation formula for the 2-variable truncated-exponential-based Bernoulli polynomials  ${}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda)$  holds true:*

$${}_{e^{(r)}}B_n^{(\alpha)}(x + z, y + u; \lambda) = \sum_{s=0}^n \binom{n}{s} {}_{e^{(r)}}B_{n-s}^{(\alpha)}(x, y; \lambda) H_s(z, u). \tag{78}$$

Upon setting

$$k + 1 = -a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 6, we get the following corollary.

**Corollary 8.** The following implicit summation formula for the 2-variable truncated-exponential-based Euler polynomials  ${}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}E_n^{(\alpha)}(x + z, y + u; \lambda) = \sum_{s=0}^n \binom{n}{s} {}_{e^{(r)}}E_{n-s}^{(\alpha)}(x, y; \lambda) H_s(z, u). \tag{79}$$

Letting

$$k = -2a = b = 1 \quad \text{and} \quad 2\beta = \lambda$$

in Theorem 6, we get the following corollary.

**Corollary 9.** The following implicit summation formula for the 2-variable truncated-exponential-based Genocchi polynomials  ${}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}G_n^{(\alpha)}(x + z, y + u; \lambda) = \sum_{s=0}^n \binom{n}{s} {}_{e^{(r)}}G_{n-s}^{(\alpha)}(x, y; \lambda) H_s(z, u). \tag{80}$$

**Theorem 7.** The following implicit summation formula for the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  holds true:

$${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) = \sum_{r=0}^n \binom{n}{r} Y_{n-r,\beta}^{(\alpha)}(x - z; k, a, b) e^{(r)}(z, y). \tag{81}$$

**Proof.** Let us rewrite Equation (45) as follows:

$$\left( \frac{2^{1-kt} t^k}{\beta^b e^t - a^b} \right)^\alpha e^{(x-z+z)t} \left( \frac{1}{1 - yt^r} \right) = \sum_{n=0}^\infty Y_{n,\beta}^{(\alpha)}(x - z; k, a, b) \frac{t^n}{n!} \sum_{r=0}^\infty e^{(r)}(z, y) \frac{t^r}{r!}. \tag{82}$$

Replacing  $n$  by  $n - r$  and using (45), and then equating the coefficients of the of  $t^n$ , we complete the proof of Theorem 7.  $\square$

For

$$k = a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 7, we get the following corollary.

**Corollary 10.** The following implicit summation formula for the 2-variable truncated-exponential-based Apostol-type Bernoulli polynomials  ${}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}B_n^{(\alpha)}(x, y; \lambda) = \sum_{r=0}^n \binom{n}{r} B_{n-r}^{(\alpha)}(x - z; \lambda) e^{(r)}(z, y). \tag{83}$$

Letting

$$k + 1 = -a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 7, we get the following corollary.

**Corollary 11.** The following implicit summation formula for the 2-variable truncated-exponential-based Apostol-type Euler polynomials  ${}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda)$  holds true:

$${}_{e^{(r)}}E_n^{(\alpha)}(x, y; \lambda) = \sum_{r=0}^n \binom{n}{r} E_{n-r}^{(\alpha)}(x - z; \lambda) e^{(r)}(z, y). \tag{84}$$



If we set

$$k = -2a = b = 1 \quad \text{and} \quad 2\beta = \lambda$$

in Theorem 7, we get the following corollary.

**Corollary 12.** *The following implicit summation formula for the 2-variable truncated-exponential-based Apostol-type Genocchi polynomials  ${}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda)$  holds true:*

$${}_{e^{(r)}}G_n^{(\alpha)}(x, y; \lambda) = \sum_{r=0}^n \binom{n}{r} G_{n-r}^{(\alpha)}(x-z; \lambda) e^{(r)}(z, y). \tag{85}$$

**Theorem 8.** *The following implicit summation formula for the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  holds true:*

$${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x+1, y; k, a, b) = \sum_{m=0}^n \binom{n}{m} {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)}(x, y; k, a, b). \tag{86}$$

**Proof.** Using the generating function (45), we find that

$$\begin{aligned} & \sum_{n=0}^{\infty} \left( {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x+1, y; k, a, b) - {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) \right) \frac{t^n}{n!} \\ &= \left( \frac{2^{1-k}t^k}{\beta^b e^t - a^b} \right)^\alpha \left( \frac{1}{1-yt^r} \right) (e^t - 1) \\ &= \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!} \left( \sum_{r=0}^{\infty} \frac{t^m}{m!} - 1 \right) \\ &= \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!} \sum_{r=0}^{\infty} \frac{t^m}{m!} - \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!} \\ &= \sum_{n=0}^{\infty} \left[ \sum_{r=0}^n \binom{n}{r} {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)}(x, y; k, a, b) - {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) \right] \frac{t^n}{n!}. \end{aligned}$$

which, upon equating the coefficients of  $t^n$ , yields the assertion (86) of Theorem 8.  $\square$

**Remark 5.** *Several corollaries and consequences of Theorem 11 can be deduced by using many of the aforementioned specializations of the various parameters involved in Theorem 8.*

#### 4. General Symmetry Identities

In this section, we give general symmetry identities for the 2-variable truncated-exponential-based Apostol-type polynomials  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  by applying the generating functions (39) and (45). The results extend some known identities of Özarşlan (see [31,32]), Khan [38], and Pathan and Khan (see [34–36]).

**Theorem 9.** *Let  $\alpha, k \in \mathbb{N}_0, a, b \in \mathbb{R} \setminus \{0\}, \beta \in \mathbb{C}, x, y \in \mathbb{R}$  and  $n \in \mathbb{N}_0$ . Then the following symmetry identity holds true:*

$$\begin{aligned} & \sum_{m=0}^n \binom{n}{m} d^m c^{n-m} {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)}(dx, d^r y; k, a, b) {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)}(cx, c^r y; k, a, b) \\ &= \sum_{m=0}^n \binom{n}{m} c^m d^{n-m} {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)}(cx, c^r y; k, a, b) {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)}(dx, d^r y; k, a, b). \end{aligned} \tag{87}$$

**Proof.** Let us first consider the following expression:

$$g(t) = \left( \frac{c^k d^k 2^{2(1-k)} t^{2k}}{(\beta^b e^{ct} - a^b)(\beta^b e^{dt} - a^b)} \right)^\alpha e^{cdxt} \left( \frac{1}{1 - y(cdt)^r} \right) e^{cdXt} \left( \frac{1}{1 - Y(cdt)^r} \right),$$

which shows that the function  $g(t)$  is symmetric in the parameters  $a$  and  $b$ . Then, by expanding  $g(t)$  into series in two different ways, we get

$$\begin{aligned} g(t) &= \sum_{n=0}^{\infty} e^{(r)}Y_{n,\beta}^{(\alpha)}(dx, d^r y; k, a, b) \frac{(ct)^n}{n!} \sum_{m=0}^{\infty} e^{(r)}Y_{m,\beta}^{(\alpha)}(cX, c^r Y; k, a, b) \frac{(dt)^m}{m!} \\ &= \sum_{n=0}^{\infty} \sum_{m=0}^n \binom{n}{m} d^m c^{n-m} e^{(r)}Y_{n-m,\beta}^{(\alpha)}(dx, d^r y; k, a, b) e^{(r)}Y_{m,\beta}^{(\alpha)}(cX, c^r Y; k, a, b) t^n \end{aligned} \tag{88}$$

and

$$\begin{aligned} g(t) &= \sum_{n=0}^{\infty} e^{(r)}Y_{n,\beta}^{(\alpha)}(cx, c^r y; k, a, b) \frac{(dt)^n}{n!} \sum_{m=0}^{\infty} e^{(r)}Y_{m,\beta}^{(\alpha)}(dX, d^r Y; k, a, b) \frac{(ct)^m}{m!} \\ &= \sum_{n=0}^{\infty} \sum_{m=0}^n \binom{n}{m} c^m d^{n-m} e^{(r)}Y_{n-m,\beta}^{(\alpha)}(cx, c^r y; k, a, b) e^{(r)}Y_{m,\beta}^{(\alpha)}(dX, d^r Y; k, a, b) t^n. \end{aligned} \tag{89}$$

Comparing the coefficients of  $t^n$  on the right-hand sides of Equations (88) and (89), we arrive at the desired result (87). □

For

$$k = a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 9, we get the following corollary.

**Corollary 13.** For all  $c, d, r \in \mathbb{N}$ ,  $n \in \mathbb{N}_0$  and  $\lambda \in \mathbb{C}$ , the following symmetry identity for the 2-variable truncated-exponential-based Apostol-type Bernoulli polynomials holds true:

$$\begin{aligned} &\sum_{m=0}^n \binom{n}{m} d^m c^{n-m} e^{(r)}B_{n-m}^{(\alpha)}(dx, d^r y; \lambda) e^{(r)}B_m^{(\alpha)}(cX, c^r Y; \lambda) \\ &= \sum_{m=0}^n \binom{n}{m} c^m d^{n-m} e^{(r)}B_{n-m}^{(\alpha)}(cx, c^r y; \lambda) e^{(r)}B_m^{(\alpha)}(dX, d^r Y; \lambda). \end{aligned} \tag{90}$$

Putting

$$k + 1 = -a = b = 1 \quad \text{and} \quad \beta = \lambda$$

in Theorem 9, we get the following corollary.

**Corollary 14.** For all  $r \in \mathbb{N}$ ,  $n \in \mathbb{N}_0$  and  $\lambda \in \mathbb{C}$ , the following symmetry identity for the 2-variable truncated-exponential-based Apostol-type Euler polynomials holds true:

$$\begin{aligned} &\sum_{m=0}^n \binom{n}{m} d^m c^{n-m} e^{(r)}E_{n-m}^{(\alpha)}(dx, d^r y; \lambda) e^{(r)}E_m^{(\alpha)}(cX, c^r Y; \lambda) \\ &= \sum_{m=0}^n \binom{n}{m} c^m d^{n-m} e^{(r)}E_{n-m}^{(\alpha)}(cx, c^r y; \lambda) e^{(r)}E_m^{(\alpha)}(dX, d^r Y; \lambda). \end{aligned} \tag{91}$$

If we set

$$k = -2a = b = 1 \quad \text{and} \quad 2\beta = \lambda$$

in Theorem 9, we get the following corollary.

**Corollary 15.** For all  $r \in \mathbb{N}$ ,  $n \in \mathbb{N}_0$  and  $\lambda \in \mathbb{C}$ , the following symmetry identity for the 2-variable truncated-exponential-based Apostol-type Genocchi polynomials holds true:

$$\begin{aligned} & \sum_{m=0}^n \binom{n}{m} d^m c^{n-m} {}_{e^{(r)}}G_{n-m}^{(\alpha)}(dx, d^r y; \lambda) {}_{e^{(r)}}G_m^{(\alpha)}(cX, c^r Y; \lambda) \\ &= \sum_{m=0}^n \binom{n}{m} c^m d^{n-m} {}_{e^{(r)}}G_{n-m}^{(\alpha)}(cx, c^r y; \lambda) {}_{e^{(r)}}G_m^{(\alpha)}(dX, d^r Y; \lambda). \end{aligned} \tag{92}$$

**Theorem 10.** Let  $\alpha, k \in \mathbb{N}_0$ ,  $a, b \in \mathbb{R} \setminus \{0\}$ ,  $\beta \in \mathbb{C}$ ,  $x, y \in \mathbb{R}$  and  $n \in \mathbb{N}_0$ . Then the following symmetry identity holds true:

$$\begin{aligned} & \sum_{m=0}^n \binom{n}{m} \sum_{i=0}^{c-1} \sum_{j=0}^{d-1} c^{n-m} d^m {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)}\left(dx + \frac{d}{c}i + j, d^r y; k, a, b\right) {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)}(cX, c^r Y; k, a, b) \\ &= \sum_{m=0}^n \binom{n}{m} \sum_{i=0}^{d-1} \sum_{j=0}^{c-1} d^{n-m} c^m {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)}\left(cx + \frac{c}{d}i + j, c^r y; k, a, b\right) {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)}(dX, d^r Y; k, a, b). \end{aligned} \tag{93}$$

**Proof.** Let us first consider the following application:

$$\begin{aligned} g(t) &= \left( \frac{c^k d^k 2^{2(1-k)} t^{2k}}{(\beta^b e^{ct} - a^b)(\beta^b e^{dt} - a^b)} \right)^\alpha e^{cdxt} \left( \frac{1}{1 - y(cdt)^r} \right) \frac{(e^{cdt} - 1)^2}{(e^{ct} - 1)(e^{dt} - 1)} e^{cdXt} \left( \frac{1}{1 - Y(cdt)^r} \right) \\ &= \left( \frac{2^{(1-k)} c^k t^k}{\beta^b e^{ct} - a^b} \right)^\alpha e^{cdxt} \left( \frac{1}{1 - y(cdt)^r} \right) \left( \frac{e^{cdt} - 1}{e^{ct} - 1} \right) \left( \frac{2^{(1-k)} d^k t^k}{\beta^b e^{dt} - a^b} \right)^\alpha \\ &\quad \cdot e^{cdXt} \left( \frac{1}{1 - Y(cdt)^r} \right) \left( \frac{1}{e^{cdt} - 1} e^{dt} - 1 \right) \\ &= \left( \frac{2^{(1-k)} c^k t^k}{(\beta^b e^{ct} - a^b)} \right)^\alpha e^{cdxt} \left( \frac{1}{1 - y(cdt)^r} \right) \sum_{i=0}^{c-1} e^{dti} \left( \frac{2^{(1-k)} d^k t^k}{\beta^b e^{dt} - a^b} \right)^\alpha \\ &\quad \cdot e^{cdXt} \left( \frac{1}{1 - Y(cdt)^r} \right) e^{cdyt} \sum_{j=0}^{d-1} e^{ctj} \\ &= \sum_{n=0}^{\infty} \left[ \sum_{m=0}^n \binom{n}{m} \sum_{i=0}^{c-1} \sum_{j=0}^{d-1} c^{n-m} d^m {}_{e^{(r)}} \right. \\ &\quad \left. \cdot Y_{n-m,\beta}^{(\alpha)}\left(dx + \frac{d}{c}i + j, d^r y; k, a, b\right) {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)}(cX, c^r Y; k, a, b) \right] t^n. \end{aligned} \tag{94}$$

On the other hand, we have

$$\begin{aligned} g(t) &= \sum_{n=0}^{\infty} \left( \sum_{m=0}^n \binom{n}{m} \sum_{i=0}^{d-1} \sum_{j=0}^{c-1} d^{n-m} c^m \right. \\ &\quad \left. \cdot {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)}\left(cx + \frac{c}{d}i + j, c^r y; k, a, b\right) {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)}(dX, d^r Y; k, a, b) \right) t^n. \end{aligned} \tag{95}$$

By comparing the coefficients of  $t^n$  on the right-hand sides of (94) and (95), we arrive at the desired result (93) asserted by Theorem 10. □

**Remark 6.** Several corollaries and consequences of Theorem 11 can be derived by making use of many of the aforementioned specializations of the various parameters involved in Theorem 10.

**Theorem 11.** For each pair of integers  $a$  and  $b$  and all integers  $n \in \mathbb{N}_0$ , the following identity holds true:

$$\begin{aligned} & \sum_{m=0}^n \binom{n}{m} \sum_{i=0}^{c-1} \sum_{j=0}^{d-1} c^{n-m} d^m {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)} \left( dx + \frac{d}{c} i, d^r y; k, a, b \right) {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)} \left( cX + \frac{c}{d} j, c^r Y; k, a, b \right) \\ &= \sum_{m=0}^n \binom{n}{m} \sum_{i=0}^{d-1} \sum_{j=0}^{c-1} d^{n-m} c^m {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)} \left( cx + \frac{c}{d} i, c^r y; k, a, b \right) \\ & \quad \cdot {}_{e^{(r)}}Y_{m,\beta}^{(\alpha)} \left( dX + \frac{d}{c} j, d^r Y; k, a, b \right). \end{aligned} \tag{96}$$

**Proof.** The proof of Theorem 11 is analogous to that of Theorem 10, so we omit the details involved in the proof of Theorem 11.  $\square$

**Remark 7.** Several corollaries and consequences of Theorem 11 can be derived by applying many of the aforementioned specializations of the various parameters involved in Theorem 11.

We conclude our present investigation by proving the following symmetric identity involving the number  $S_k(n, \lambda)$ , which is defined by (44).

**Theorem 12.** For all positive integers  $a$  and  $b$ , and for  $n \in \mathbb{N}_0$ , the following symmetric identity holds true:

$$\begin{aligned} & \sum_{m=0}^n \binom{n}{m} c^{n-m} d^m {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)} (dx, d^r y; k, a, b) \sum_{i=0}^m \binom{m}{i} S_i \left( c-1; \left( \frac{\beta}{a} \right)^b \right) {}_{e^{(r)}}Y_{m-i,\beta}^{(\alpha)} (cX, c^r Y; k, a, b) \\ &= \sum_{m=0}^n \binom{n}{m} c^m d^{n-m} {}_{e^{(r)}}Y_{n-m,\beta}^{(\alpha)} (cx, c^r y; k, a, b) \sum_{i=0}^m \binom{m}{i} S_i \left( d-1; \left( \frac{\beta}{a} \right)^b \right) \\ & \quad \cdot {}_{e^{(r)}}Y_{m-i,\beta}^{(\alpha)} (dX, d^r Y; k, a, b). \end{aligned} \tag{97}$$

**Proof.** We first consider the function  $g(t)$  given by

$$\begin{aligned} g(t) &= \frac{(2^{2(1-k)} c^k d^k t^{2k})^\alpha (\beta^b e^{cdt} - a^b)}{(\beta^b e^{ct} - a^b)^\alpha (\beta^b e^{dt} - a^b)^{\alpha+1}} e^{cdxt} \left( \frac{1}{1-y(ctd)^r} \right) e^{cdXt} \left( \frac{1}{1-Y(ctd)^r} \right) \\ &= \left( \frac{2^{(1-k)} c^k t^k}{\beta^b e^{ct} - a^b} \right)^\alpha e^{cdxt} \left( \frac{1}{1-y(ctd)^r} \right) \left( \frac{\beta^b e^{cdt} - a^b}{\beta^b e^{dt} - a^b} \right)^\alpha \left( \frac{2^{(1-k)} d^k t^k}{\beta^b e^{dt} - a^b} \right)^\alpha e^{cdXt} \left( \frac{1}{1-Y(ctd)^r} \right) \\ &= \left( \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)} (dx, d^r y; k, a, b) \frac{(ct)^n}{n!} \right) \left[ \sum_{n=0}^{\infty} S_n \left( c-1; \left( \frac{\beta}{a} \right)^b \right) \frac{(dt)^n}{n!} \right] \\ & \quad \cdot \left( \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)} (cX, c^r Y; k, a, b) \frac{(dt)^n}{n!} \right). \end{aligned}$$

Using similar arguments as above, we get

$$\begin{aligned} g(t) &= \left( \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)} (cx, c^r y; k, a, b) \frac{(dt)^n}{n!} \right) \left[ \sum_{n=0}^{\infty} S_n \left( d-1; \left( \frac{\beta}{a} \right)^b \right) \frac{(ct)^n}{n!} \right] \\ & \quad \cdot \left( \sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)} (dX, d^r Y; k, a, b) \frac{(ct)^n}{n!} \right). \end{aligned} \tag{98}$$

Finally, after a suitable manipulation with the summation index in (98) followed by a comparison of the coefficients of  $t^n$ , the proof of Theorem 12 is completed.  $\square$

## 5. Conclusions

Özden ([29]) defined the unified polynomials  $Y_{n,\beta}^{(\alpha)}(x; k, a, b)$  of order  $\alpha$  by means of the following generating function (see also Remark 1 above):

$$\left( \frac{2^{1-kt^k}}{\beta^b e^t - a^b} \right)^\alpha e^{xt} = \sum_{n=0}^{\infty} Y_{n,\beta}^{(\alpha)}(x; k, a, b) \frac{t^n}{n!}$$

$$\left( |t| < 2\pi \text{ when } \beta = a; |t| < \left| b \log\left(\frac{\beta}{a}\right) \right| \text{ when } \beta \neq a; 1^\alpha := 1; k \in \mathbb{N}_0; a, b \in \mathbb{R} \setminus \{0\}; \alpha, \beta \in \mathbb{C} \right).$$

Basing our investigation upon this generating function, we have introduced generating function for the 2-variable truncated-exponential-based Apostol-type polynomials denoted by  ${}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b)$  as follows:

$$\sum_{n=0}^{\infty} {}_{e^{(r)}}Y_{n,\beta}^{(\alpha)}(x, y; k, a, b) \frac{t^n}{n!} = \left( \frac{2^{1-kt^k}}{\beta^b e^t - a^b} \right)^\alpha e^{xt} \left( \frac{1}{1 - yt^r} \right),$$

which we have found to be instrumental in deriving quasi-monomiality with respect to the following multiplicative and derivative operators:

$$\widehat{M}_{e^{(r)}Y} = x + ry\partial_y y \partial_x^{r-1} + \frac{\alpha k(\beta^b e^t - a^b) - \alpha \beta^b \partial_x e^{\partial_x}}{\partial_x(\beta^b e^t - a^b)}$$

and

$$\widehat{P}_{e^{(r)}Y} = \partial_x.$$

We have also presented a further investigation to obtain some implicit summation formulas and symmetric identities by means of their generating functions.

In our next investigation, we propose to study an appropriate combination of the operational approach with that involving integral transforms with a view to studying integral representations related to the truncated-exponential-based Apostol-type polynomials which we have introduced and studied in this article.

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# A Certain Family of Integral Operators Associated with the Struve Functions

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**Abstract:** This article presents the study of Struve functions and certain integral operators associated with the Struve functions. It contains the investigation of certain geometric properties like the strong starlikeness and strong convexity of the Struve functions. It also includes the criteria of univalence for a family of certain integral operators associated with the generalized Struve functions. The starlikeness and uniform convexity of the said integral operators are also part of this research.

**Keywords:** analytic functions; convex functions; starlike functions; strongly convex functions; strongly starlike functions; uniformly convex functions; Struve functions

**MSC:** 30C45; 30C50

## 1. Introduction

We denote by  $\mathcal{A}$  the class of functions  $f$  that are analytic in the open unit disc  $\mathcal{D} = \{z : |z| < 1\}$  and of the form:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n. \tag{1}$$

Let  $\mathcal{S}$  denote the class of all functions in  $\mathcal{A}$ , which are univalent in  $\mathcal{D}$ . Let  $\mathcal{S}^*(\alpha)$ ,  $\tilde{\mathcal{S}}^*(\alpha)$  and  $\tilde{\mathcal{C}}(\alpha)$  denote the classes of starlike, strongly starlike and strongly convex functions of order  $\alpha$ , respectively, and defined as:

$$\begin{aligned} \mathcal{S}^*(\alpha) &= \left\{ f : f \in \mathcal{A} \text{ and } \Re \left( \frac{zf'(z)}{f(z)} \right) > \alpha, z \in \mathcal{U}, \alpha \in [0, 1) \right\}, \\ \tilde{\mathcal{S}}^*(\alpha) &= \left\{ f : f \in \mathcal{A} \text{ and } \left| \arg \left( \frac{zf'(z)}{f(z)} \right) \right| < \frac{\alpha\pi}{2}, z \in \mathcal{U}, \alpha \in [0, 1) \right\}, \end{aligned}$$

and:

$$\tilde{\mathcal{C}}(\alpha) = \left\{ f : f \in \mathcal{A} \text{ and } \left| \arg \left( 1 + \frac{zf''(z)}{f'(z)} \right) \right| < \frac{\alpha\pi}{2}, z \in \mathcal{U}, \alpha \in [0, 1) \right\}.$$



It is clear that:

$$\tilde{\mathcal{S}}^*(1) = \mathcal{S}^*(0) = \mathcal{S}^*, \tilde{\mathcal{C}}(1) = \mathcal{C}(0) = \mathcal{C}.$$

The class  $\mathcal{UCV}$  of uniformly convex functions is defined as:

$$\mathcal{UCV} = \left\{ f \in \mathcal{A} : \Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) > \left| \frac{zf''(z)}{f'(z)} \right|, z \in \mathcal{D} \right\}.$$

For more detail, see [1]. If  $f$  and  $g$  are analytic functions, then the function  $f$  is said to be subordinate to  $g$ , written as  $f(z) \prec g(z)$ , if there exists a Schwarz function  $w$  with  $w(0) = 0$  and  $|w| < 1$  such that  $f(z) = g(w(z))$ . Furthermore, if the function  $g$  is univalent in  $\mathcal{U}$ , then we have the following equivalent relation:

$$f(z) \prec g(z) \iff f(0) = g(0) \quad \text{and} \quad f(\mathcal{U}) \subset g(\mathcal{U}).$$

Now, we consider the second order inhomogeneous differential equation:

$$z^2 w''(z) + zw'(z) + (z^2 - L^2) w(z) = \frac{4 \left(\frac{z}{2}\right)^{L+1}}{\sqrt{\pi} \Gamma\left(L + \frac{1}{2}\right)}. \tag{2}$$

The solution of the homogeneous part is Bessel functions of order  $L$ , where  $L$  is a real or complex number. For more details about Bessel functions, we refer to [2–8]. The particular solution of the inhomogeneous equation defined in Equation (2) is called the Struve function of order  $L$ ; see [9]. It is defined as:

$$X_L(z) = \sum_{n=0}^{\infty} \frac{(-1)^n (z/2)^{2n+L+1}}{\Gamma(n+3/2) \Gamma(L+n+3/2)}. \tag{3}$$

Now, we consider the differential equation:

$$z^2 w''(z) + zw'(z) - (z^2 + L^2) w(z) = \frac{4 \left(\frac{z}{2}\right)^{L+1}}{\sqrt{\pi} \Gamma\left(L + \frac{1}{2}\right)}. \tag{4}$$

The Equation (4) differs from the Equation (2) in the coefficients of  $w$ . Its particular solution is called the modified Struve functions of order  $L$  and is given as:

$$Y_L(z) = -ie^{-ip\pi/2} X_L(iz) = \sum_{n=0}^{\infty} \frac{\left(\frac{z}{2}\right)^{2n+L+1}}{\Gamma(n+3/2) \Gamma(L+n+\frac{3}{2})}.$$

Again, consider the second order inhomogeneous differential equation:

$$z^2 w''(z) + b z w'(z) + [c z^2 - L^2 + (1-b)L] w(z) = \frac{4 \left(\frac{z}{2}\right)^{L+1}}{\sqrt{\pi} \Gamma\left(L + \frac{b}{2}\right)}, \tag{5}$$

where  $b, c, L \in \mathbb{C}$ . The Equation (5) generalizes the Equations (2) and (4). In particular, for  $b = 1, c = 1$ , we obtain Equation (2), and for  $b = 1, c = -1$ , we obtain Equation (4). Its particular solution has the series form:

$$w_{L,b,c}(z) = \sum_{n=0}^{\infty} \frac{(-1)^n c^n (z/2)^{2n+L+1}}{\Gamma(n+3/2) \Gamma(L+n+(b+2)/2)} \tag{6}$$

and is called the generalized Struve function of order  $L$ . This series is convergent everywhere. We take the transformation:

$$u_{L,b,c}(z) = 2^L \sqrt{\pi} \Gamma(L + (b + 2) / 2) z^{(-L-1)/2} w_{L,b,c}(\sqrt{z}) = \sum_{n=0}^{\infty} \frac{(-c/4)^n z^n}{(3/2)_n (q)_n}, \tag{7}$$

where  $q = L + (b + 2) / 2 \neq 0, -1, -2, \dots$  and  $(\gamma)_n = \frac{\Gamma(\gamma+n)}{\Gamma(\gamma)} = \gamma(\gamma + 1) \dots (\gamma + n - 1)$ . This function is analytic in the whole complex plane and satisfies the differential equation:

$$4z^2 w''(z) + 2(2p + b + 3)zw'(z) + [cz + 2p + b]w(z) = 2p + b,$$

where  $\Gamma(\cdot)$  denotes the Gamma function. The function  $u_{L,b,c}$  unifies the Struve functions and modified Struve functions. The function  $u_{L,b,c}$  is not in the class  $\mathcal{A}$  of analytic functions; therefore, we consider the following normalized form of the Struve function as:

$$v_{L,b,c}(z) = zu_{L,b,c} = z + \sum_{n=1}^{\infty} \frac{(-c/4)^n z^{n+1}}{(3/2)_n (q)_n}. \tag{8}$$

**Special cases:**

(i) For  $b = 1, c = 1$ , we have the normalized Struve function  $\mathcal{X}_L : \mathcal{A} \rightarrow \mathcal{A}$  of order  $L$ . It is given as:

$$\begin{aligned} \mathcal{X}_L(z) &= 2^L \sqrt{\pi} \Gamma\left(L + \frac{3}{2}\right) z^{\frac{(-L+1)}{2}} X_L(\sqrt{z}) \\ &= z + \sum_{n=1}^{\infty} \frac{(-1/4)^n z^{n+1}}{(3/2)_n (q)_n}. \end{aligned} \tag{9}$$

(ii) For  $b = 1, c = -1$ , we have the normalized Struve function  $\mathcal{Y}_L : \mathcal{A} \rightarrow \mathcal{A}$  of order  $L$ . It is given as:

$$\begin{aligned} \mathcal{Y}_L(z) &= 2^L \sqrt{\pi} \Gamma\left(L + \frac{3}{2}\right) z^{\frac{(-L+1)}{2}} Y_L(\sqrt{z}) \\ &= z + \sum_{n=1}^{\infty} \frac{(1/4)^n z^{n+1}}{(3/2)_n (q)_n}. \end{aligned} \tag{10}$$

The functions  $u_{L,b,c}$  and  $v_{L,b,c}$  were introduced and studied by Orhan and Yugmur [10] and further investigated by other authors [11–13]. In the last few years, many mathematicians have set the univalence criteria of several of those integral operators that preserve the class  $\mathcal{S}$ . By using a variety of different analytic techniques, operators and special functions, several authors have studied the univalence criterion. Recently Din et al. [14] studied the univalence of integral operators involving generalized Struve functions. These operators are defined as follows:

$$\mathcal{F}_{\alpha_1, \dots, \alpha_n, \beta}(z) = \left[ \beta \int_0^z t^{\beta-1} \prod_{i=1}^n \left( \frac{v_{L_i, b, c}(t)}{t} \right)^{\frac{1}{\alpha_i}} dt \right]^{\frac{1}{\beta}}, \tag{11}$$

$$\mathcal{M}_{n, \gamma}(z) = \left[ (n\gamma + 1) \int_0^z \prod_{i=1}^n \{v_{L_i, b, c}(t)\}^{\gamma} dt \right]^{\frac{1}{n\gamma+1}}, \tag{12}$$

and:

$$\mathcal{Z}_\lambda(z) = \left[ \lambda \int_0^z t^{\lambda-1} \left( e^{v_{L_i,b,c}(t)} \right)^\lambda dt \right]^{1/\lambda}. \tag{13}$$

Now, we introduce the following integral operators  $H_{L_i,b,c,\gamma_i,\beta}, I_{L_i,b,c,\gamma_i,\delta_i,\beta} : \mathcal{A} \rightarrow \mathcal{A}$  involving the generalized Struve functions as:

$$H_{L_i,b,c,\gamma_i,\beta}(z) = \left\{ \beta \int_0^z t^{\beta-1} \prod_{i=1}^n \left( \frac{v_{L_i,b,c}(t)}{g_i(t)} \right)^{\gamma_i} dt \right\}^{\frac{1}{\beta}}, \tag{14}$$

$$I_{L_i,b,c,\gamma_i,\delta_i,\beta}(z) = \left\{ \beta \int_0^z t^{\beta-1} \prod_{i=1}^n \left( \frac{v'_{L_i,b,c}(t)}{t} \right)^{\gamma_i} (g'_i(t))^{\delta_i} dt \right\}^{\frac{1}{\beta}}, \tag{15}$$

where  $\gamma_i, \delta_i, \beta$  are nonzero complex numbers,  $L_i \in \mathbb{R}$  for all  $i = 1, 2, \dots, n$  and  $g_i \in \mathcal{A}$ .

In this paper, our aim is to study certain geometric properties like the strong starlikeness and strong convexity of the Struve functions and univalence for the integral operators  $H_{L_i,b,c,\gamma_i,\beta}$  and  $I_{L_i,b,c,\gamma_i,\delta_i,\beta}$  associated with the generalized Struve functions. The starlikeness and uniform convexity of the said integral operators are also part of this research.

### 2. Preliminary Results

We need the following lemmas to prove our main results.

**Lemma 1 ([15]).** Let  $G(z)$  be convex and univalent in the open unit disc with condition  $G(0) = 1$ . Let  $F(z)$  be analytic in the open unit disc with condition  $F(0) = 1$  and  $F \prec G$  in the open unit disc. Then,  $\forall n \in \mathbb{N} \cup \{0\}$ , we obtain:

$$(n+1)z^{-1-n} \int_0^z t^n F(t) dt \prec (n+1)z^{-1-n} \int_0^z t^n G(t) dt.$$

**Lemma 2 ([16]).** If  $g \in \mathcal{A}$  satisfies:

$$\left| 1 + \frac{zg''(z)}{g'(z)} \right| < 2, \quad \text{then } g \in \mathcal{S}^*.$$

**Lemma 3 ([17]).** If  $g \in \mathcal{A}$  satisfies:

$$\left| \frac{zg''(z)}{g'(z)} \right| < \frac{1}{2}, \quad \text{then } g \in \mathcal{UCV}.$$

**Lemma 4 ([10]).** If  $b, L \in \mathbb{R}$  and  $c \in \mathbb{C}, q = L + \frac{b+2}{2}$  are so constrained that  $q > \max \left\{ 0, \frac{7|c|}{24} \right\}$ , then the function  $v_{L,b,c} : \mathcal{D} \rightarrow \mathbb{C}$  satisfies the following inequalities.

- (i)  $\left| \frac{zv'_{L,b,c}(z)}{v_{L,b,c}(z)} - 1 \right| \leq \frac{|c|(6q-|c|)}{3(4q-|c|)(3q-|c|)},$
- (ii)  $\left| \frac{zv''_{L,b,c}(z)}{v'_{L,b,c}(z)} \right| \leq \frac{6|c|}{(12q-7|c|)}.$

**Lemma 5 ([18]).** If  $g \in \mathcal{A}$  satisfies the following inequality:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{zg''(z)}{g'(z)} \right| \leq 1, \Re(\alpha) > 0,$$

then for every complex number  $\beta$ ,  $\Re\beta \geq \Re(\alpha)$ , the function:

$$G_\beta(z) = \left( \beta \int_0^z t^{\beta-1} g'(t) dt \right)^{\frac{1}{\beta}} \in \mathcal{S}.$$

**Lemma 6 ([19]).** Let  $g(z) = z + a_2z^2 + \dots$  be the analytic function in  $\mathcal{D}$ . If:

$$\left| \frac{g''(z)}{g'(z)} \right| \leq K, \quad z \in \mathcal{D},$$

where  $K \simeq 3.05$ , then  $g$  is univalent in  $\mathcal{D}$ .

**Remark 1.** The constant  $K$  is the solution of the equation  $8[x(x-2)^3]^{\frac{1}{2}} - 3(4-x)^2 = 12$ . An approximation by using the computer programs suggest the value 3.03902118847875. Kudriasov used the approximated value equal to 3.05.

### 3. Geometric Properties of Generalized Struve Functions

**Theorem 1.** If  $q \geq \frac{7|c|}{12}$ , then  $v_{L,b,c} \in \tilde{\mathcal{S}}^*(\alpha)$ , where:

$$\alpha = \frac{2}{\pi} \arcsin \left( \psi \sqrt{1 - \frac{\psi^2}{4}} + \frac{\psi}{2} \sqrt{1 - \psi^2} \right) \tag{16}$$

and  $\psi = \frac{4|c|}{3(4q-|c|)}$  is such that  $\arcsin \frac{\psi}{2} + \arcsin \psi \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ .

**Proof.** By using Equation (8) with the triangle inequality, we have:

$$\left| v'_{L,b,c}(z) - 1 \right| \leq \sum_{n=1}^{\infty} \frac{|c|^n (n+1)}{(3/2)_n 4^n (q)_n}$$

By the help of the inequalities:

$$(3/2)_n \geq \frac{3}{4} (n+1), \quad (q)_n \geq q^n, \quad \forall n \geq 1,$$

we obtain:

$$\begin{aligned} \left| v'_{L,b,c}(z) - 1 \right| &\leq \frac{|c|}{3q} \sum_{n=1}^{\infty} \left( \frac{|c|}{4q} \right)^{n-1} \\ &= \frac{4|c|}{3(4q-|c|)} = \psi, \quad q > \frac{|c|}{4}. \end{aligned} \tag{17}$$

For  $q \geq \frac{7|c|}{12}$ , it is clear that  $0 < \psi \leq 1$ . Furthermore, from expression (17), we concluded that:

$$v'_{L,b,c}(z) \prec 1 + \psi z \quad \Rightarrow \quad \left| \arg \left( v'_{L,b,c}(z) \right) \right| < \arcsin \psi. \tag{18}$$

With the help of Lemma 1, take  $n = 0$  with  $F(z) = v'_{L,b,c}(z)$  and  $G(z) = 1 + \psi z$ , and we get:

$$\frac{v_{L,b,c}(z)}{z} \prec 1 + \frac{\psi}{2} z. \tag{19}$$

As a result:

$$\left| \arg \left( \frac{v_{L,b,c}(z)}{z} \right) \right| < \arcsin \frac{\psi}{2}. \tag{20}$$

By using relations (18) and (19), we obtain:

$$\begin{aligned} \left| \arg \left( \frac{zv'_{L,b,c}(z)}{v_{L,b,c}(z)} \right) \right| &= \left| \arg \left( \frac{z}{v_{L,b,c}(z)} \right) - \arg \left( v'_{L,b,c}(z) \right) \right| \\ &\leq \left| \arg \left( \frac{z}{v_{L,b,c}(z)} \right) \right| + \left| \arg \left( v'_{L,b,c}(z) \right) \right| \\ &< \arcsin \frac{\psi}{2} + \arcsin \psi. \end{aligned}$$

As  $0 < \psi \leq 1$ , thus one can write the above last expression as:

$$\left| \arg \left( \frac{zv'_{L,b,c}(z)}{v_{L,b,c}(z)} \right) \right| < \arcsin \left( \psi \sqrt{1 - \frac{\psi^2}{4}} + \frac{\psi}{2} \sqrt{1 - \psi^2} \right),$$

which shows that  $v_{L,b,c} \in \tilde{\mathcal{S}}^*(\alpha)$  for  $\alpha = \frac{2}{\pi} \arcsin \left( \psi \sqrt{1 - \frac{\psi^2}{4}} + \frac{\psi}{2} \sqrt{1 - \psi^2} \right)$ .  $\square$

**Theorem 2.** If  $q \geq \frac{4|c|}{3}$ , then  $v_{L,b,c} \in \tilde{\mathcal{C}}(\alpha)$ , where:

$$\alpha = \frac{2}{\pi} \arcsin \left( \varphi \sqrt{1 - \frac{\varphi^2}{4}} + \frac{\varphi}{2} \sqrt{1 - \varphi^2} \right), \tag{21}$$

and  $\varphi = \frac{2|c|}{3q-2|c|}$  is such that  $\arcsin \frac{\varphi}{2} + \arcsin \varphi \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ .

**Proof.** By using the well-known triangle inequality:

$$|z_1 + z_2| \leq |z_1| + |z_2|,$$

with the inequalities:

$$(n + 1)^2 \leq 4^n, (q)_n \geq q^n \quad \forall n \in \mathbb{N},$$

we obtain:

$$\begin{aligned} \left| \left( zv'_{L,b,c}(z) \right)' - 1 \right| &\leq \sum_{n=1}^{\infty} \frac{|c|^n (n+1)^2}{(3/2)_n 4^n (q)_n} \\ &\leq \frac{2|c|}{3q} \sum_{n=1}^{\infty} \left( \frac{2|c|}{3q} \right)^{n-1} \\ &= \frac{2|c|}{3q-2|c|} = \varphi. \end{aligned} \tag{22}$$

It is clear that  $0 < \varphi \leq 1$  for  $q \geq \frac{4|c|}{3}$ , and from the expression (22), we conclude that:

$$\left( zv'_{L,b,c}(z) \right)' < 1 + \varphi z \Rightarrow \left| \arg \left( zv'_{L,b,c}(z) \right)' \right| < \arcsin \varphi. \tag{23}$$

With the help of Lemma 1, take  $n = 0$  with  $F(z) = \left( zv'_{L,b,c}(z) \right)'$  and  $G(z) = 1 + \varphi z$ , and we get:

$$\frac{zv'_{L,b,c}(z)}{z} < 1 + \frac{\varphi}{2} z. \tag{24}$$

This implies that:

$$v'_{L,b,c}(z) < 1 + \frac{\varphi}{2}z.$$

As a result:

$$\left| \arg v'_{L,b,c}(z) \right| < \arcsin \frac{\varphi}{2}. \tag{25}$$

By using relations (23) and (25), we obtain:

$$\begin{aligned} \left| \arg \left( \frac{(zv'_{L,b,c}(z))'}{v'_{L,b,c}(z)} \right) \right| &= \left| \arg (zv'_{L,b,c}(z))' - \arg v'_{L,b,c}(z) \right| \\ &\leq \left| \arg (zv'_{L,b,c}(z))' \right| + \left| \arg (v'_{L,b,c}(z)) \right| \\ &< \arcsin \frac{\varphi}{2} + \arcsin \varphi. \end{aligned}$$

As  $0 < \varphi \leq 1$ , thus one can write the above last expression as:

$$\left| \arg \left( \frac{(zv'_{L,b,c}(z))'}{v'_{L,b,c}(z)} \right) \right| < \arcsin \left( \varphi \sqrt{1 - \frac{\varphi^2}{4}} + \frac{\varphi}{2} \sqrt{1 - \varphi^2} \right),$$

which shows that  $v_{L,b,c} \in \tilde{C}(\alpha)$  for  $\alpha = \frac{2}{\pi} \arcsin \left( \varphi \sqrt{1 - \frac{\varphi^2}{4}} + \frac{\varphi}{2} \sqrt{1 - \varphi^2} \right)$ .  $\square$

**Theorem 3.** Let  $q > \frac{19|c|}{12}$ , then  $v_{L,b,c} \in \mathcal{UCV}$ .

**Proof.** Since:

$$\left| \frac{zv''_{L,b,c}(z)}{v'_{L,b,c}(z)} \right| \leq \frac{6|c|}{(12q - 7|c|)}.$$

By using Lemma 3, we have the required result.  $\square$

#### 4. Univalence Criteria for Integral Operators

In this section, we find the univalence of these integral operators defined by generalized Struve functions, by using the above lemmas.

**Theorem 4.** Let  $L_1, \dots, L_n, b \in \mathbb{R}, c \in \mathbb{C}$  and  $q_i > \frac{7|c|}{24}$  with  $q_i = L_i + \frac{b+2}{2}, i = 1, \dots, n$ . Let  $v_{L_i,b,c} : \mathcal{D} \rightarrow \mathbb{C}$  be defined in the Equation (8). Suppose  $q = \min(q_1, q_2, \dots, q_n)$ ,  $\gamma_i$  are non-zero complex numbers and if  $g_i \in \mathcal{A}$  with:

$$\left| \frac{g''_i(z)}{g'_i(z)} \right| \leq K, \quad z \in \mathcal{D},$$

where  $K \simeq 3.05$ , these numbers satisfying the relations:

$$\frac{1}{\Re(\alpha)} \left( 1 + \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} \right) \sum_{i=1}^n |\gamma_i| + \frac{4}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| < 1, \tag{26}$$

when  $0 < \Re(\alpha) < 1$  and for  $\Re(\alpha) \geq 1$ :

$$\frac{1}{\Re(\alpha)} \left( 1 + \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} \right) \sum_{i=1}^n |\gamma_i| + 4 \sum_{i=1}^n |\gamma_i| < 1, \tag{27}$$

then for every complex number  $\beta, \Re(\beta) \geq \Re(\alpha) > 0$ , the function  $H_{L_i,b,c,\gamma_i,\beta}$  defined in (14) is univalent.

**Proof.** Consider the function:

$$H_{L_i,b,c,\gamma_i}(z) = \int_0^z \prod_{i=1}^n \left( \frac{v_{L_i,b,c}(t)}{g_i(t)} \right)^{\gamma_i} dt. \tag{28}$$

By taking the derivative of Equation (28), we get:

$$H'_{L_i,b,c,\gamma_i}(z) = \prod_{i=1}^n \left( \frac{v_{L_i,b,c}(z)}{g_i(z)} \right)^{\gamma_i}. \tag{29}$$

It is clear that  $H_{L_i,b,c,\gamma_i}(0) = H'_{L_i,b,c,\gamma_i}(0) - 1 = 0$ . It follows easily that:

$$\frac{zH''_{L_i,b,c,\gamma_i}(z)}{H'_{L_i,b,c,\gamma_i}(z)} = \sum_{i=1}^n \gamma_i \left\{ \left( \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right) - \left( \frac{zg'_i(z)}{g_i(z)} \right) \right\}$$

and:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{zH''_{L_i,b,c,\gamma_i}(z)}{H'_{L_i,b,c,\gamma_i}(z)} \right| \leq \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left\{ \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| + \sum_{i=1}^n |\gamma_i| \left| \frac{zg'_i(z)}{g_i(z)} \right| \right\}.$$

Now, using the Lemma 6, we have  $g_i \in \mathcal{S}, i = 1, \dots, n$ , and:

$$\left| \frac{zg'_i(z)}{g_i(z)} \right| \leq \frac{1 + |z|}{1 - |z|}. \tag{30}$$

By virtue of the above inequality (30), we get:

$$\begin{aligned} \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{zH''_{L_i,b,c,\gamma_i}(z)}{H'_{L_i,b,c,\gamma_i}(z)} \right| &\leq \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left\{ \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| + \sum_{i=1}^n |\gamma_i| \frac{1 + |z|}{1 - |z|} \right\} \\ &\leq \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| + \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \frac{2}{1 - |z|} \sum_{i=1}^n |\gamma_i|. \end{aligned}$$

First, we consider the part:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right|.$$

This implies that:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| \leq \frac{1}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right|.$$

Using Lemma 5, we have:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| \leq \frac{1}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left\{ 1 + \frac{|c|(6q_i - |c|)}{3(4q_i - |c|)(3q_i - |c|)} \right\}.$$

We define the function  $\tau : \left( \frac{7|c|}{24}, \infty \right) \rightarrow \mathbb{R}, \tau(x) = \frac{|c|(6x - |c|)}{3(4x - |c|)(3x - |c|)}$ . It is a decreasing function; therefore:

$$\frac{|c|(6q_i - |c|)}{3(4q_i - |c|)(3q_i - |c|)} \leq \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)},$$

hence:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| \leq \frac{1}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left\{ 1 + \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} \right\}. \tag{31}$$

Now, we consider the part:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \frac{2}{1 - |z|} \sum_{i=1}^n |\gamma_i|.$$

For this, we have the following cases:

- (1) For  $0 < \Re(\alpha) < 1$ , then the function  $v : (0, 1) \rightarrow \mathbb{R}$ ,  $v(x) = 1 - a^{2x}$ ,  $x = \Re(\alpha)$  and  $|z| = a$  is increasing and:

$$1 - |z|^{2\Re(\alpha)} \leq 1 - |z|^2;$$

therefore:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \frac{2}{1 - |z|} \sum_{i=1}^n |\gamma_i| \leq \frac{4}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i|. \tag{32}$$

From the inequalities (31) and (32), for  $0 < \Re(\alpha) < 1$ , we have:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{zH''_{L_i,b,c,\gamma_i}(z)}{H'_{L_i,b,c,\gamma_i}(z)} \right| \leq \frac{1}{\Re(\alpha)} \left( 1 + \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} \right) \sum_{i=1}^n |\gamma_i| + \frac{4}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i|. \tag{33}$$

- (2) For  $\Re(\alpha) \geq 1$ , consider the function  $w : [1, \infty) \rightarrow \mathbb{R}$ ,  $w(x) = \frac{1 - a^{2x}}{x}$ ,  $x = \Re(\alpha)$  and  $|z| = a$  is a decreasing function and:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \leq 1 - |z|^2;$$

therefore:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \frac{2}{1 - |z|} \sum_{i=1}^n |\gamma_i| \leq 4 \sum_{i=1}^n |\gamma_i|. \tag{34}$$

By combining the inequalities (31) and (34) for  $\Re(\alpha) \geq 1$ , we get:

$$\left( \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \right) \left| \frac{zH''_{L_i,b,c,\gamma_i}(z)}{H'_{L_i,b,c,\gamma_i}(z)} \right| \leq \frac{1}{\Re(\alpha)} \left( 1 + \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} \right) \sum_{i=1}^n |\gamma_i| + 4 \sum_{i=1}^n |\gamma_i|. \tag{35}$$

From the inequalities (26), (27), (33) and (35), we obtain:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{zH''_{L_i,b,c,\gamma_i}(z)}{H'_{L_i,b,c,\gamma_i}(z)} \right| < 1.$$

Therefore, using Lemma 5, we get the required result.  $\square$

**Theorem 5.** Let  $L_1, \dots, L_n, b \in \mathbb{R}, c \in \mathbb{C}$  and  $q_i > \frac{7|c|}{24}$  with  $q_i = L_i + \frac{(b+2)}{2}, i = 1, \dots, n$ . Let  $v_{L_i,b,c} : \mathcal{D} \rightarrow \mathbb{C}$  be defined in the Equation (8). Suppose  $q = \min(q_1, q_2, \dots, q_n), \gamma_i, \delta_i$  are non-zero complex numbers and if  $g_i \in \mathcal{A}$  with

$$\left| \frac{g_i''(z)}{g_i'(z)} \right| \leq K, \quad z \in \mathcal{D},$$

where  $K \simeq 3.05$ , and these numbers satisfy the relation:

$$\frac{1}{\Re(\alpha)} \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} \sum_{i=1}^n |\gamma_i| + \frac{2K}{(2\Re(\alpha) + 1) \frac{(2\Re(\alpha)+1)}{2\Re(\alpha)}} \sum_{i=1}^n |\delta_i| < 1. \tag{36}$$



Then, for every complex number  $\beta$ ,  $\Re(\beta) \geq \Re(\alpha) > 0$ , the function  $I_{L_i, b, c, \gamma_i, \delta_i, \beta}$  defined in Equation (15) is univalent.

**Proof.** Consider the function:

$$I_{L_i, b, c, \gamma_i, \delta_i}(z) = \int_0^z \prod_{i=1}^n \left( \frac{v_{L_i, b, c}(t)}{t} \right)^{\gamma_i} (g'_i(t))^{\delta_i} dt. \tag{37}$$

By taking the derivative of Equation (37), we get:

$$I'_{L_i, b, c, \gamma_i, \delta_i}(z) = \prod_{i=1}^n \left( \frac{v_{L_i, b, c}(z)}{z} \right)^{\gamma_i} (g'_i(z))^{\delta_i}. \tag{38}$$

It is clear that  $I_{L_i, b, c, \gamma_i, \delta_i} \in \mathcal{A}$ . It follows easily that:

$$\frac{z I''_{L_i, b, c, \gamma_i, \delta_i}(z)}{I'_{L_i, b, c, \gamma_i, \delta_i}(z)} = \sum_{i=1}^n \gamma_i \left( \frac{z v'_{L_i, b, c}(z)}{v_{L_i, b, c}(z)} - 1 \right) + \sum_{i=1}^n \delta_i \left\{ \frac{z g''_i(z)}{g'_i(z)} \right\}.$$

Therefore, we obtain:

$$\begin{aligned} & \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{z I''_{L_i, b, c, \gamma_i, \delta_i}(z)}{I'_{L_i, b, c, \gamma_i, \delta_i}(z)} \right| \\ & \leq \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left\{ |\gamma_i| \left| \frac{z v'_{L_i, b, c}(z)}{v_{L_i, b, c}(z)} - 1 \right| + |z| |\delta_i| \left| \frac{g''_i(z)}{g'_i(z)} \right| \right\}. \end{aligned}$$

This implies that:

$$\begin{aligned} \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{z I''_{L_i, b, c, \gamma_i, \delta_i}(z)}{I'_{L_i, b, c, \gamma_i, \delta_i}(z)} \right| & \leq \left\{ \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \left| \frac{z v'_{L_i, b, c}(z)}{v_{L_i, b, c}(z)} - 1 \right| \right. \\ & \left. + \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} |z| \sum_{i=1}^n |\delta_i| \left| \frac{g''_i(z)}{g'_i(z)} \right| \right\}. \end{aligned} \tag{39}$$

Using Lemmas 4 and 6, we get:

$$\begin{aligned} \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{z I''_{L_i, b, c, \gamma_i, \delta_i}(z)}{I'_{L_i, b, c, \gamma_i, \delta_i}(z)} \right| & \leq \left\{ \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| \frac{|c| (6q_i - |c|)}{3(4q_i - |c|) (3q_i - |c|)} \right. \\ & \left. + \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} |z| K \sum_{i=1}^n |\delta_i| \right\}. \end{aligned}$$

As was mentioned before:

$$\frac{|c| (6q_i - |c|)}{3(4q_i - |c|) (3q_i - |c|)} \leq \frac{|c| (6q - |c|)}{3(4q - |c|) (3q - |c|)};$$

therefore:

$$\begin{aligned} \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{z I''_{L_i, b, c, \gamma_i, \delta_i}(z)}{I'_{L_i, b, c, \gamma_i, \delta_i}(z)} \right| & \leq \left\{ \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \frac{|c| (6q - |c|)}{3(4q - |c|) (3q - |c|)} \sum_{i=1}^n |\gamma_i| \right. \\ & \left. + \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} |z| K \sum_{i=1}^n |\delta_i| \right\}. \end{aligned}$$

Consider the function  $h : [0, 1] \rightarrow \mathbb{R}, h(x) = \frac{x(1-x^{2a})}{a}, x = |z|, a = \Re(\alpha)$ . Then:

$$\max h(x) = \frac{2}{(2a + 1)^{\frac{(2a+1)}{2a}}}, x \in [0, 1].$$

This implies that:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{z I''_{L_i, b, c, \gamma_i, \delta_i}(z)}{I'_{L_i, b, c, \gamma_i, \delta_i}(z)} \right| \leq \left\{ \frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} \sum_{i=1}^n |\gamma_i| + \frac{2K}{(2\Re(\alpha) + 1)^{\frac{(2\Re(\alpha)+1)}{2\Re(\alpha)}} \sum_{i=1}^n |\delta_i| \right\}.$$

Using the inequalities (36) and (39), we get:

$$\frac{1 - |z|^{2\Re(\alpha)}}{\Re(\alpha)} \left| \frac{z I''_{L_i, b, c, \gamma_i, \delta_i}(z)}{I'_{L_i, b, c, \gamma_i, \delta_i}(z)} \right| < 1.$$

Therefore, by using Lemma 5, we get the required result.  $\square$

**Corollary 1.** Consider the function  $\mathcal{X}_{L_i}(z) : \mathcal{D} \rightarrow \mathbb{C}$  defined in the Equation (9). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ) and  $L = \min \{L_1, \dots, L_n\}$ . Furthermore, let the parameter  $\gamma_i$  be non-zero complex numbers with  $\{i = 1, 2, 3, \dots, n\}$  and if  $g_i \in \mathcal{A}$  with:

$$\left| \frac{g_i''(z)}{g_i'(z)} \right| \leq K, z \in \mathcal{D},$$

where  $K \simeq 3.05$ , and these numbers satisfy the relations:

$$\frac{1}{\Re(\alpha)} \left( 1 + \frac{4(3L + 4)}{3(24L^2 + 58L + 35)} \right) \sum_{i=1}^n |\gamma_i| + \frac{4}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| < 1,$$

when  $0 < \Re(\alpha) < 1$  and for  $\Re(\alpha) \geq 1$ :

$$\left[ \frac{1}{\Re(\alpha)} \left( 1 + \frac{4(3L + 4)}{3(24L^2 + 58L + 35)} \right) \sum_{i=1}^n |\gamma_i| + 4 \sum_{i=1}^n |\gamma_i| < 1 \right];$$

then for every complex number  $\beta, \Re(\beta) \geq \Re(\alpha) > 0$ , the function  $H_{L_i, b, c, \gamma_i, \beta}$  is univalent.

**Corollary 2.** Consider the function  $\mathcal{X}_{L_i}$  defined in the Equation (9). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ) and  $L = \min \{L_1, \dots, L_n\}$ . Furthermore, let the parameter  $\gamma_i, \delta_i$  be non-zero complex numbers and if  $g_i \in \mathcal{A}$  with:

$$\left| \frac{g_i''(z)}{g_i'(z)} \right| \leq K, z \in \mathcal{D},$$

where  $K \simeq 3.05$ , and these numbers satisfy the relation:

$$\frac{1}{\Re(\alpha)} \frac{4(3L + 4)}{3(24L^2 + 58L + 35)} \sum_{i=1}^n |\gamma_i| + \frac{2K}{(2\Re(\alpha) \Re(\gamma) + 1)^{\frac{(2\Re(\alpha)+1)}{2\Re(\alpha)}} \sum_{i=1}^n |\delta_i| < 1;$$

then for every complex number  $\beta, \Re(\beta) \geq \Re(\alpha) > 0$ , the function  $I_{L_i, b, c, \gamma_i, \delta_i, \beta}$  is univalent.

**Corollary 3.** Consider the function  $\mathcal{Y}_{L_i}(z) : \mathcal{D} \rightarrow \mathbb{C}$  defined in the Equation (10). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ) and  $L = \min \{L_1, L_2, \dots, L_n\}$ . Furthermore, let the parameters  $\gamma_i$  be non-zero complex numbers with  $\{i = 1, \dots, n\}$  and if  $g_i \in \mathcal{A}$  with:

$$\left| \frac{g_i''(z)}{g_i'(z)} \right| \leq K, \quad z \in \mathcal{D},$$

where  $K \simeq 3.05$ , and these numbers satisfy the relations:

$$\frac{1}{\Re(\alpha)} \left( 1 + \frac{4(3L+4)}{3(24L^2+58L+35)} \right) \sum_{i=1}^n |\gamma_i| + \frac{4}{\Re(\alpha)} \sum_{i=1}^n |\gamma_i| < 1,$$

when  $0 < \Re(\alpha) < 1$  and for  $\Re(\alpha) \geq 1$ :

$$\left[ \frac{1}{\Re(\alpha)} \left( 1 + \frac{4(3L+4)}{3(24L^2+58L+35)} \right) \sum_{i=1}^n |\gamma_i| + 4 \sum_{i=1}^n |\gamma_i| < 1 \right];$$

then for every complex number  $\beta$ ,  $\Re(\beta) \geq \Re(\alpha) > 0$ , the function  $H_{L_i,b,c,\gamma_i,\beta}$  is univalent.

**Corollary 4.** Consider the function  $\mathcal{Y}_{L_i}(z) : \mathcal{D} \rightarrow \mathbb{C}$  defined in the Equation (10). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ) and  $L = \min \{L_1, L_2, \dots, L_n\}$ . Furthermore, let the parameter  $\gamma_i, \delta_i$  be non-zero complex numbers and if  $g_i \in \mathcal{A}$  with:

$$\left| \frac{g_i''(z)}{g_i'(z)} \right| \leq K, \quad z \in \mathcal{D},$$

where  $K \simeq 3.05$ , and these numbers satisfy the relation:

$$\frac{1}{\Re(\alpha)\Re(\gamma)} \frac{4(3L+4)}{3(24L^2+58L+35)} \sum_{i=1}^n |\gamma_i| + \frac{2K}{(2\Re(\alpha)+1)} \frac{(2\Re(\alpha)+1)}{2\Re(\alpha)} \sum_{i=1}^n |\delta_i| < 1;$$

then for every complex number  $\beta$ ,  $\Re(\beta) \geq \Re(\alpha) > 0$ , the function  $I_{L_i,b,c,\gamma_i,\delta_i,\beta}$  is univalent.

### 5. Starlikeness and Uniform Convexity Criteria for the Integral Operator

In this section, we find the starlikeness and uniform convexity of these integral operators defined by generalized Struve functions.

**Theorem 6.** Let  $L_1, \dots, L_n, b \in \mathbb{R}, c \in \mathbb{C}$  and  $q_i > \frac{7|c|}{24}$  with  $q_i = L_i + \frac{(b+2)}{2}, i = 1, \dots, n$ . Let  $v_{L_i,b,c} : \mathcal{D} \rightarrow \mathbb{C}$  be defined in the Equation (8). Let the function  $g_i$  satisfy the condition  $\left| \frac{zg_i'(z)}{g_i(z)} \right| \leq M$ , where  $M$  is a positive integer. Suppose  $q = \min(q_1, \dots, q_n)$  and  $\gamma_i$  are non-zero complex numbers and these numbers satisfy the relation:

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{|c|(6q-|c|)}{3(4q-|c|)(3q-|c|)} + 1 \right) + |\gamma_i| M \right\} < 1,$$

then the function  $H_{L_i,b,c,\gamma_i,1}$  defined in the Equation (14) is in class  $\mathcal{S}^*$ .

**Proof.** Consider the function:

$$H_{L_i,b,c,\gamma_i,1}(z) = \int_0^z \prod_{i=1}^n \left( \frac{v_{L_i,b,c}(t)}{g_i(t)} \right)^{\gamma_i} dt. \tag{40}$$

Hence:

$$1 + \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} = \sum_{i=1}^n \left[ \gamma_i \left( \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right) - \gamma_i \left( \frac{zg'_i(z)}{g'_i(z)} \right) \right] + 1.$$

This implies that:

$$\left| 1 + \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| \leq \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| + \sum_{i=1}^n |\gamma_i| \left| \frac{zg'_i(z)}{g'_i(z)} \right| + 1. \tag{41}$$

Using Lemma 4(i),

$$\left| \frac{zv'_{L,b,c}(z)}{v_{L,b,c}(z)} - 1 \right| \leq \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)}$$

and:

$$\left| \frac{zg'_i(z)}{g'_i(z)} \right| \leq M,$$

we have:

$$\left| 1 + \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| \leq \sum_{i=1}^n \left\{ \gamma_i \left( \frac{|c|(6q_i - |c|)}{3(4q_i - |c|)(3q_i - |c|)} + 1 \right) + |\gamma_i M| \right\} + 1.$$

Since:

$$\frac{|c|(6q_i - |c|)}{3(4q_i - |c|)(3q_i - |c|)} \leq \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)},$$

therefore:

$$\left| 1 + \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| \leq \sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} + 1 \right) + |\gamma_i M| \right\} + 1.$$

Furthermore,

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} + 1 \right) + |\gamma_i M| \right\} < 1,$$

implies that:

$$\left| 1 + \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| < 2.$$

By using Lemma 2, the function  $H_{L_i,b,c,\gamma_i,1} \in \mathcal{S}^*$ .  $\square$

**Theorem 7.** Let  $L_1, \dots, L_n, b \in \mathbb{R}, c \in \mathbb{C}$  and  $q_i > \frac{7|c|}{24}$  with  $q_i = L_i + \frac{b+2}{2}, i = 1, \dots, n$ . Let  $v_{L_i,b,c} : \mathcal{D} \rightarrow \mathbb{C}$  be defined in the Equation (8). Let the function  $g_i$  satisfy the condition  $\left| \frac{zg'_i(z)}{g'_i(z)} \right| \leq M$ , where  $M$  is a positive integer. Suppose  $q = \min(q_1, q_2, \dots, q_n)$  and  $\gamma_i$  are non-zero complex numbers and these numbers satisfy the relation:

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} + 1 \right) + |\gamma_i M| \right\} < \frac{1}{2},$$

then the function  $H_{L_i,b,c,\gamma_i,1} \in \mathcal{UCV}$ .

**Proof.** Consider the function:

$$H_{L_i,b,c,\gamma_i,1}(z) = \int_0^z \prod_{i=1}^n \left( \frac{v_{L_i,b,c}(t)}{g_i(t)} \right)^{\gamma_i} dt. \tag{42}$$

This implies that:

$$\frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} = \sum_{i=1}^n \left[ \gamma_i \left( \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right) - \gamma_i \left( \frac{zg'_i(z)}{g'_i(z)} \right) \right].$$

Therefore:

$$\left| \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| \leq \sum_{i=1}^n |\gamma_i| \left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} \right| + \sum_{i=1}^n |\gamma_i| \left| \frac{zg'_i(z)}{g'_i(z)} \right|, \tag{43}$$

Using Lemma 4(i):

$$\left| \frac{zv'_{L_i,b,c}(z)}{v_{L_i,b,c}(z)} - 1 \right| \leq \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)}$$

and:

$$\left| \frac{zg'_i(z)}{g'_i(z)} \right| \leq M,$$

we have:

$$\left| \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| \leq \sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{|c|(6q_i - |c|)}{3(4q_i - |c|)(3q_i - |c|)} + 1 \right) + |\gamma_i| M \right\}.$$

Since:

$$\frac{|c|(6q_i - |c|)}{3(4q_i - |c|)(3q_i - |c|)} \leq \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)};$$

therefore:

$$\left| \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| \leq \sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} + 1 \right) + |\gamma_i| M \right\}.$$

Using:

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{|c|(6q - |c|)}{3(4q - |c|)(3q - |c|)} + 1 \right) + |\gamma_i| M \right\} < \frac{1}{2},$$

then:

$$\left| \frac{zH''_{L_i,b,c,\gamma_i,1}(z)}{H'_{L_i,b,c,\gamma_i,1}(z)} \right| < \frac{1}{2}.$$

Hence, by using Lemma 3,  $H_{L_i,b,c,\gamma_i,1} \in UC\mathcal{V}$ .  $\square$

**Corollary 5.** (1) Consider the function  $\mathcal{X}_{L_i}$  defined in the Equation (9). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ),  $L = \min \{L_1, L_2, \dots, L_n\}$  and the function  $g_i$  satisfy the condition  $\left| \frac{zg'_i(z)}{g'_i(z)} \right| \leq M$ , where  $M$  is a positive integer. Suppose  $q = \min \{q_1, q_2, \dots, q_n\}$  and  $\gamma_i$  are non-zero complex numbers and these numbers satisfy the inequality:

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{4(3L + 4)}{3(24L^2 + 58L + 35)} + 1 \right) + |\gamma_i| M \right\} < 1,$$

then the function  $H_{L_i,b,c,\gamma_i,1} \in \mathcal{S}^*$ .

(2) Consider the function  $\mathcal{X}_{L_i}$  defined as the Equation (9). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ),  $L = \min \{L_1, L_2, \dots, L_n\}$  and the function  $g_i$  satisfy the condition  $\left| \frac{zg'_i(z)}{g'_i(z)} \right| \leq M$ , where  $M$  is a positive integer. Suppose  $q = \min \{q_1, q_2, \dots, q_n\}$  and  $\gamma_i$  are non-zero complex numbers and these numbers satisfy the inequality:

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{8(3L + 4)}{3(24L^2 + 58L + 35)} + 1 \right) + |\gamma_i| M \right\} < \frac{1}{2},$$

then the function  $H_{L_i,b,c,\gamma_i,1} \in UC\mathcal{V}$ .

**Corollary 6.** (1) Consider the function  $\mathcal{Y}_{L_i}$  defined as the Equation (10). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ),  $L = \min \{L_1, L_2, \dots, L_n\}$  and the function  $g_i$  satisfy the condition  $\left| \frac{zg'_i(z)}{g_i(z)} \right| \leq M$ , where  $M$  is a positive integer. Suppose  $q = \min \{q_1, q_2, \dots, q_n\}$  and  $\gamma_i$  are non-zero complex numbers and these numbers satisfy the inequality:

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{4(3L+4)}{3(24L^2+58L+35)} + 1 \right) + |\gamma_i| M \right\} < 1,$$

then the function  $H_{L_i, b, c, \gamma_i, 1} \in \mathcal{S}^*$ .

(2) Consider the function  $\mathcal{Y}_{L_i}$  defined as the Equation (10). Let  $L_1, \dots, L_n > -1.75$  ( $n \in \mathbb{N}$ ),  $L = \min \{L_1, L_2, \dots, L_n\}$  and the function  $g_i$  satisfy the condition  $\left| \frac{zg'_i(z)}{g_i(z)} \right| \leq M$ , where  $M$  is a positive integer. Suppose  $q = \min \{q_1, q_2, \dots, q_n\}$  and  $\gamma_i$  are non-zero complex numbers and these numbers satisfy the inequality:

$$\sum_{i=1}^n \left\{ |\gamma_i| \left( \frac{8(3L+4)}{3(24L^2+58L+35)} + 1 \right) + |\gamma_i| M \right\} < \frac{1}{2},$$

then the function  $H_{L_i, b, c, \gamma_i, 1} \in \mathcal{UCV}$ .

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Article

# Exploiting the Symmetry of Integral Transforms for Featuring Anuran Calls

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**Abstract:** The application of machine learning techniques to sound signals requires the previous characterization of said signals. In many cases, their description is made using cepstral coefficients that represent the sound spectra. In this paper, the performance in obtaining cepstral coefficients by two integral transforms, Discrete Fourier Transform (DFT) and Discrete Cosine Transform (DCT), are compared in the context of processing anuran calls. Due to the symmetry of sound spectra, it is shown that DCT clearly outperforms DFT, and decreases the error representing the spectrum by more than 30%. Additionally, it is demonstrated that DCT-based cepstral coefficients are less correlated than their DFT-based counterparts, which leads to a significant advantage for DCT-based cepstral coefficients if these features are later used in classification algorithms. Since the DCT superiority is based on the symmetry of sound spectra and not on any intrinsic advantage of the algorithm, the conclusions of this research can definitely be extrapolated to include any sound signal.

**Keywords:** spectrum symmetry; DCT; MFCC; audio features; anuran calls

## 1. Introduction

Automatic processing of sound signals is a very active topic in many fields of science and engineering which find applications in multiple areas, such as speech recognition [1], speaker identification [2,3], emotion recognition [4], music classification [5], outlier detection [6], classification of animal species [7–9], detection of biomedical disease [10], and design of medical devices [11]. Sound processing is also applied in urban and industrial contexts, such as environmental noise control [12], mining [13], and transportation [14,15].

These applications typically include, among their first steps, the characterization of the sound: a process which is commonly known as feature extraction [16]. A recent survey of techniques employed in sound feature extraction can be found in [17], of which Spectrum-Temporal Parameters (STPs) [18], Linear Prediction Coding (LPC) coefficients [19], Linear Frequency Cepstral Coefficients (LFCC) [20], Pseudo Wigner-Ville Transform (PWVT) [21], and entropy coefficients [22] are of note.

Nevertheless, the Mel-Frequency Cepstral Coefficients (MFCC) [23] are probably the most widely employed set of features in sound characterization and the majority of the sound processing applications mentioned above are based on their use. Additionally, these features have also been successfully employed in other fields, such as analysis of electrocardiogram (ECG) signals [24], gait analysis [25,26], and disturbance interpretation in power grids [27].

On the other hand, the processing and classification of anuran calls have attracted the attention of the scientific community for biological studies and as indicators of climate change. This taxonomic



group is regarded as an outstanding gauge of biodiversity. Nevertheless, frog populations have suffered a significant decrease in the last years due to habitat loss, climate change and invasive species [28]. So, the continual monitoring of frog populations is becoming increasingly important to develop adequate conservation policies [29].

It should be mentioned that the system of sound production in ectotherms is strongly affected by the ambient temperature. Therefore, the temperature can significantly influence the patterns of calling songs by modifying the beginning, duration, and intensity of calling episodes and, thus, the anuran reproductive activity. The presence or absence of certain anuran calls in a certain territory, and their evolution over time, can therefore be used as an indicator of climate change.

In our previous work, several classifiers for anuran calls are proposed that use non-sequential procedures [30] or temporally-aware algorithms [31], or that consider score series [32], mainly using a set of MPEG-7 features [33]. MPEG-7 is an ISO/IEC standard developed by MPEG (Moving Picture Experts Group). In [34], the comparison of MPEG-7 and MFCC are undertaken both in terms of classification performance and computational cost. Finally, the optimal values of MFCC options for the classification of anuran calls are derived in [35].

State of the art classification of sound relies on Convolutional Neural Networks (CNN) that take input from some form of the spectrogram [36] or even the raw waveform [37]. Moreover, CNN deep learning approaches have also been used in the identification of anuran sound [38]. In spite of that, studying and optimizing the process of extracting MFCC features is of great interest at least for three reasons. First, because sound processing goes beyond the classification task, including procedures such as compression, segmentation, semantic description, sound database retrieval, etc. Secondly, because the spectrograms that feed the state-of-the-art deep CNN classifiers can be constructed using MFCC [39]. And finally due to the fact that CNN classifiers based on spectrograms or raw waveforms require intensive computing resources which makes them unsuitable for implementation in low-cost low-power-consumption distributed nodes, as is the usual case in environmental monitoring networks [35].

As presented in greater detail later, the MFCC features are a representation of the sounds in the cepstral domain. They are derived after a first integral transform (from time to frequency domain), which obtains the sound spectrum, and then a second integral transform is carried out (from frequency to cepstral domain). In this paper, we will show that, by exploiting the symmetry of the sound spectra, it is possible to obtain a more accurate representation of the anuran calls and the derived features will therefore more precisely reflect the sound.

The main contribution of the paper is to offer a better understanding of the reason (symmetry) that justify and quantify why Discrete Cosine Transform (DCT) has been extensively used to compute MFCC. In more detail, the paper will show that DCT-based sound features yielded to a significantly lower error representing spectra, which is a very convenient result for several applications such as sound compression. Additionally, through the paper it will be demonstrated that symmetry-based features (DCT) are less correlated, which is an advantage to be exploited in later classification algorithms.

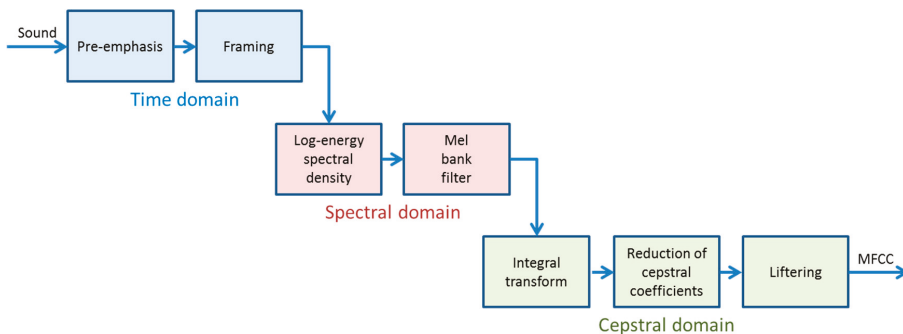
## 2. Materials and Methods

### 2.1. Extracting MFCC

The process of extracting the MFCC features from the  $n$  samples of a certain sound requires 7 steps in 3 different domains, which are depicted in Figure 1, and can be summarized as follows:

1. Pre-emphasis (time domain): The sound's high frequencies are increased to compensate for the fact that the Signal-to-Noise Ratio (SNR) is usually lower at these frequencies.
2. Framing (time domain): The  $n$  samples of the full-length sound segment are split into frames of short duration ( $N$  samples,  $N \ll n$ ). These frames are commonly obtained using non-rectangular

- overlapping windows (for instance, Hamming windows [40]). The subsequent steps are executed on the  $N$  samples of each frame.
3. Log-energy spectral density (spectral domain): Using the Discrete Fourier Transform (DFT) or its faster version, the Fast Fourier Transform (FFT), the  $N$  samples of each frame are converted into the  $N$  samples of an energy spectral density, which are usually represented in a log-scale.
  4. Mel bank filtering (spectral domain): The  $N$  samples of each frame's spectrum are grouped into  $M$  banks of frequencies, using  $M$  triangular filters centred according to the mel scale [41] and the mel Filter Bank Energy (mel-FBE) is obtained.
  5. Integral transform (cepstral domain): The  $M$  samples of the mel-FBE (in the spectral domain) are converted into  $M$  samples in the cepstral domain using an integral transform. In this article, it will be shown that the exploitation of the symmetry of the DFT integral transform obtained in step 3 yields a cepstral integral transform with a better performance.
  6. Reduction of cepstral coefficients (cepstral domain): The  $M$  samples of the cepstrum are reduced to  $C$  coefficients by discarding the least significant coefficients.
  7. Liftering (cepstral domain): The  $C$  coefficients of the cepstrum are finally liftered to compensate for the fact that high quefrency coefficients are usually much smaller than their low quefrency counterparts.



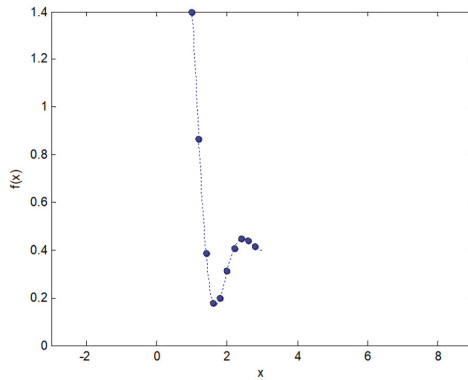
**Figure 1.** The process of extracting the Mel-Frequency Cepstral Coefficients (MFCC) features from a certain sound.

In this process, integral transforms are used twice: in step 3 to move from the time domain into the spectral domain; and in step 5 to move forward into the cepstral domain. In this paper, the symmetric properties of the DFT integral transform in step 3 will be exploited for the selection of the most appropriate integral transform required in step 5.

## 2.2. Integral Transforms of Non-Symmetric Functions

As detailed in the previous subsection, a sound spectrum is featured in order to obtain the MFCC of a sound, specifically by characterizing the logarithm of its energy spectral density. In short, this would be a particular case of the characterization of a function  $f(x)$  by means of a reduced set of values where, in this case,  $f(x)$  is the spectrum of a sound. To address this problem, which is none other than that of the compression of information, several techniques have been proposed, from among which the frequency representation of the function stands out. In effect, the idea underlying this type of technique is to consider the original signal, expand it in Fourier series, and then approximate the function by means of a few terms of its expansion. Thus, instead of having to supply the values of the function corresponding to each value of  $x$ , only the amplitude values (and eventually also the phase) of a reduced number of harmonics are provided.

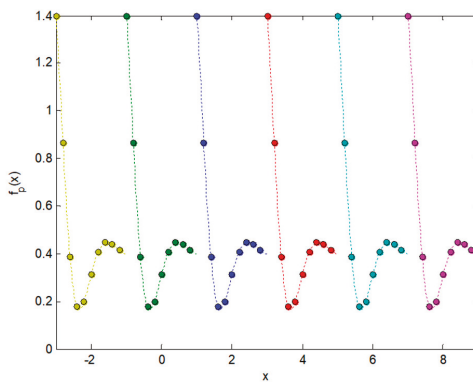
Let us consider an arbitrary example function  $f(x)$ , such as that shown in Figure 2, of which we know only one fragment in the interval  $[x_0, x_0 + P]$  (dashed line). Now let us consider that this function is sampled, and the values only at specific points for  $x = x_n$ , separated at intervals  $\Delta x$ , are known. By denoting  $N$  as the total number of points (samples) in a period, we know that  $\Delta x = P/N$ . The sampled function will be called  $\hat{f}(x_n) = f_n$  where the hat (^) above  $f$  represents a sampled function.



**Figure 2.** Known fragment of an example function  $f(x)$  (dashed line) and its corresponding sampled function  $\hat{f}(x_n)$  (dots).

The usual way to obtain the spectrum of that function is to define a periodic function  $f_p(x)$  of period  $P$  that coincides with the previous function in the known interval (see Figure 3), and to proceed to compute the spectrum of that new function. The spectral representation of the function  $f_p(x)$  is composed of the complex coefficients of the Fourier series expansion given by [42].

$$c_k = \frac{1}{P} \int_{x_0}^{x_0+P} f(x) e^{-j \frac{2\pi kx}{P}} dx. \tag{1}$$



**Figure 3.** Periodic function  $f_p(x)$  obtained by repetition of the known fragment of  $f(x)$ .

On the other hand, the sampled function,  $\hat{f}(x_n) = f_n$ , will have a spectral representation  $\hat{c}_k$  that corresponds to  $c_k$ , when the sampling of the variable  $x$  is taken into account. Now let us call  $I(x)$  the integrand of Equation (1), i.e.,

$$I(x) = f(x) e^{-j \frac{2\pi kx}{P}}, \tag{2}$$

and hence the spectral representation of the non-sampled function  $f_p(x)$  is featured by the coefficients

$$c_k = \frac{1}{P} \int_{x_0}^{x_0+P} I(x) dx. \tag{3}$$

in order to obtain the values  $\hat{c}_k$  that take into account the sampling of the variable  $x$ , the continuous calculation of the area that supposes the integral of the previous expression is substituted with the sum of the rectangles corresponding to the discrete values (sum of Riemann). In Figure 4, the calculation of the real part of  $\hat{c}_1$  is depicted for the example function  $f_p(x)$ .

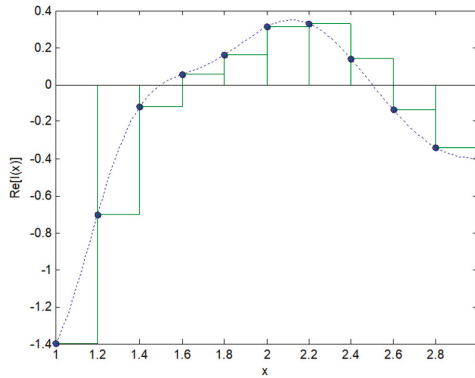


Figure 4. Integration of sampled functions (sum of Riemann).

Therefore,

$$\hat{c}_k \equiv [c_k]_{x=x_n} = \left[ \frac{1}{P} \int_{x_0}^{x_0+P} I(x) dx \right]_{x=x_n}. \tag{4}$$

From this equation it can be derived (see supplementary material) that

$$\hat{c}_k = \frac{1}{N} e^{-j \frac{2\pi k x_0}{N \Delta x}} \sum_{n=0}^{N-1} f_n e^{-j \frac{2\pi k n}{N}}. \tag{5}$$

It can be observed that the spectral representation  $\hat{c}_k$  depends on the point  $x_0$  selected as the origin of coordinates, due to the factor  $e^{-j \frac{2\pi k x_0}{N \Delta x}}$ . This factor does not affect the amplitude spectrum (since its modulus is 1), but it does affect the phase spectrum corresponding to the known time-shift property of the Fourier Transform. For practical purposes, the origin of coordinates is usually considered to be the starting point of the sequence, that is, at  $x_0 = 0$ , and hence the spectral representation finally becomes

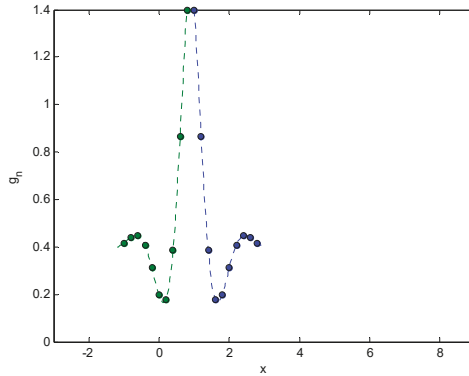
$$\hat{c}_k = \frac{1}{N} \sum_{n=0}^{N-1} f_n e^{-j \frac{2\pi k n}{N}}. \tag{6}$$

This expression coincides with the usual definition of the Discrete Fourier Transform (DFT) [43]. In other words: The Discrete Fourier Transform of a known fragment of a function presupposes the periodic repetition of that fragment.

### 2.3. Integral Transforms of Symmetric Functions

Let us now again consider the function  $f(x)$  of which we know only sampled values of a fragment  $f_n$  in the interval  $[x_0, x_0 + P]$ , as shown in Figure 2. An alternative way of representing its spectrum to that of periodically repeating the values  $f_n$  as in Figure 3, lies in defining a sequence of values  $g_n$

of length  $2P$  that coincides with  $f_n$  in the interval  $[x_0, x_0 + P]$ , which is its symmetric in the interval  $[x_0 - P, x_0]$ , as depicted in Figure 5.

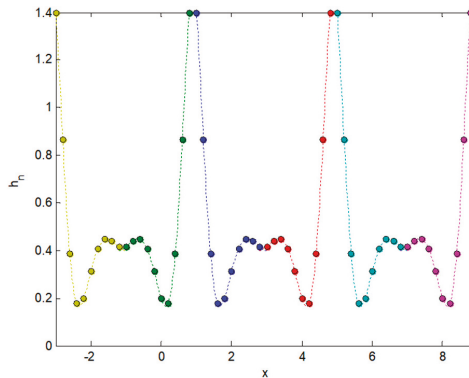


**Figure 5.** Known fragment of a symmetric example function  $g(x)$  (dashed line) and its corresponding sampled function  $\hat{g}(x_n)$  (dots). These functions are obtained by considering the original fragment of the example function  $f(x)$  (blue) and its symmetric (green).

It can be observed that

$$\begin{aligned} g_n &= f_n \quad \forall n \in [0, N - 1] \\ g_n &= f_{-n-1} \quad \forall n \in [-N, -1] \end{aligned} \tag{7}$$

Subsequently, a sequence of periodic values  $h_n$  of period  $P' = 2P$  is defined that coincides with  $g_n$  in the interval  $[x_0 - P, x_0 + P]$ , as shown in Figure 6.



**Figure 6.** Periodic function  $h_n$  obtained by repetition of the known fragment of  $g_n$ .

In order to obtain the spectrum of the sequence of values  $h_n$  it can be written that

$$\hat{c}_k = \frac{1}{P'} \sum_{x_n=x_0-P}^{x_n=x_0+P-\Delta x} h_n e^{-j\frac{2\pi k x_n}{P'}} \Delta x. \tag{8}$$

From this equation it can be derived (see supplementary material) that

$$\hat{c}_k = \frac{1}{2N} e^{-j\frac{\pi k x_0}{N\Delta x}} \left[ e^{j\frac{\pi k}{N}} \sum_{n=0}^{N-1} f_n e^{j\frac{\pi k n}{N}} + \sum_{n=0}^{N-1} f_n e^{-j\frac{\pi k n}{N}} \right]. \tag{9}$$

As can be observed, due to the factor  $e^{-j\frac{2\pi kx_0}{N\Delta x}}$ , the spectral representation  $\hat{c}_k$  depends on the point  $x_0$  where the origin of coordinates is defined. This factor does not affect the amplitude spectrum (since its modulus is 1), but it does affect the phase spectrum, which corresponds to the known time-shifting property of the Fourier transform. For practical purposes, the origin of coordinates is usually considered to be located the midpoint of the symmetric sequence  $g_n$ , that is,  $x_0 = \Delta x/2$ , as shown in Figure 7.

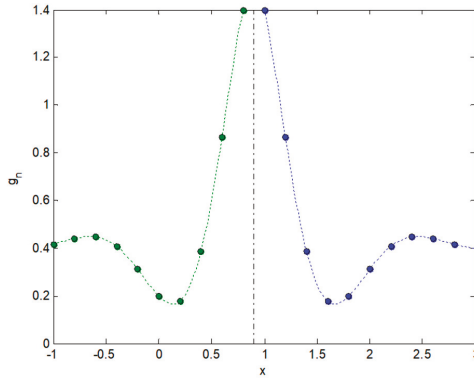


Figure 7. Defining the origin of coordinates.

Finally, the spectral representation becomes (see supplementary material)

$$\hat{c}_k = \frac{1}{N} \sum_{n=0}^{N-1} f_n \cos \left[ \frac{\pi k}{N} \left( n + \frac{1}{2} \right) \right]. \tag{10}$$

This expression coincides with the usual definition of the Discrete Cosine Transform (DCT) [44]. In other words, the Discrete Cosine Transform of a known fragment of a function presupposes the periodic repetition of that fragment and its symmetric.

#### 2.4. Representing Anuran Call Spectra

With this digression, we can now address the question posed at the beginning of Section 2.2 concerning the best way to characterize the spectrum of a sound by using the sum of its harmonics. Note that it is necessary to compute the spectrum (step 5) of a spectrum (step 4), that is, the trans-spectrum or the cepstrum, as previously discussed. The decision regarding whether this trans-spectrum (cepstrum) should be derived using either the Fourier transform, or the cosine transform, is based on the form of the fragment  $f_n$  (in this case the spectral values of the sound). That is, it should be considered whether the best approximation to the spectrum is either a periodic repetition of  $f_n$  or, in contrast, a periodic repetition of  $f_n$  and its symmetric.

Although this is a general question, we have addressed it in the context of a specific application by featuring anuran calls for their further classification. The dataset employed contains 1 hour and 13 minutes of sounds which have been recorded at five different locations (four in Spain, and one in Portugal) [32] and they were subsequently sampled at 44.1 kHz. The recordings include 4 types of anuran calls and, since they have been taken in their natural habitat, are affected by highly significant surrounding environmental noise (such as that of wind, water, rain, traffic, and voices).

In this paper, the duration of the frames (step 2) was set to 10 ms, such that each frame has  $N = 441$  data points and a total of  $W = 434,313$  frames are considered. The log-energy spectral density (step 3) is obtained using a standard FFT algorithm, which obtains a spectrum with  $N = 441$  values. The mel-scaling (step 4) employs a set of  $M = 23$  filters, and hence the mel-FBE spectrum is

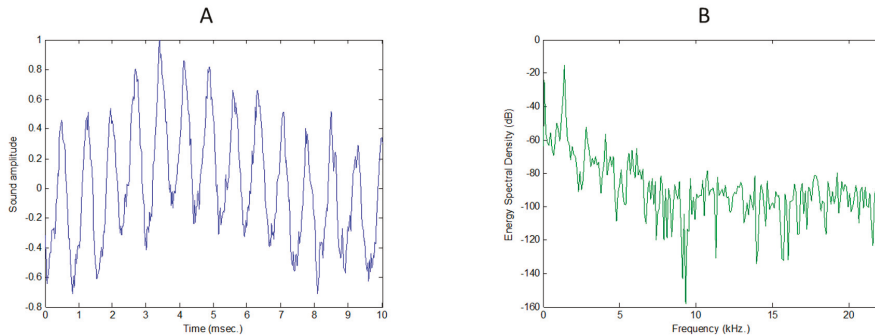
characterised by this number of values ( $M = 23$ ). In step 5, two different approaches for obtaining the cepstrum are used and compared: DFT and DCT. The results are then analysed for a different number of cepstral coefficients ( $1 \leq C \leq M$ ).

In order to carry out a more systematic study of the spectrum approximation error, let us call  $E_i(n)$  the original mel-FBE spectrum of the  $i$ -th frame (the result of step 4), where  $n$  is the filter index (equivalent to the frequency in mel scale). Let us also call  $H_i(m)$  the spectrum of  $E_i(n)$ , that is, the cepstrum as obtained in step 5, where  $m$  is the cepstral index (equivalent to the quefrency in mel scale). It can be written that  $H_i(m) = \mathcal{F}[E_i(n)]$ , where  $\mathcal{F}$  represents either the DFT or the DCT Fourier expansions.

After reducing the number of cepstral coefficients to a value of  $C \leq M$ , the resulting approximate cepstrum (step 6) will be called  $\tilde{H}_i(m)$ , where the tilde ( $\tilde{\phantom{H}}$ ) above the  $H$  represents an approximation. Using these  $C$  values in the corresponding Fourier expansion leads to an approximation of the mel-FBE, that is,  $\tilde{E}_i(n) = \mathcal{F}^{-1}[\tilde{H}_i(m)]$ . The approximation error for the  $i$ -th frame is therefore  $\varepsilon_i(n) = E_i(n) - \tilde{E}_i(n)$ , that is, a different error for each value of  $n$ , the filter index (or frequency in mel-scale). An error measure for the overall spectrum of the  $i$ -th frame can be obtained using the Root Mean Square Error ( $RMSE_i$ ) defined as:

$$RMSE_i \equiv \sqrt{\frac{1}{M} \sum_{n=0}^{M-1} [\varepsilon_i(n)]^2} = \sqrt{\frac{1}{M} \sum_{n=0}^{M-1} [E_i(n) - \tilde{E}_i(n)]^2}. \quad (11)$$

In this paper, an arbitrary selected single frame is first considered, mainly for illustration purposes. Its time-domain representation is depicted in Figure 8A while its spectrum is plotted in Figure 8B. Some other examples can be found in [32].



**Figure 8.** Sound amplitude for an arbitrarily selected frame of an anuran call (A); and its log-scale Energy Spectral Density (B).

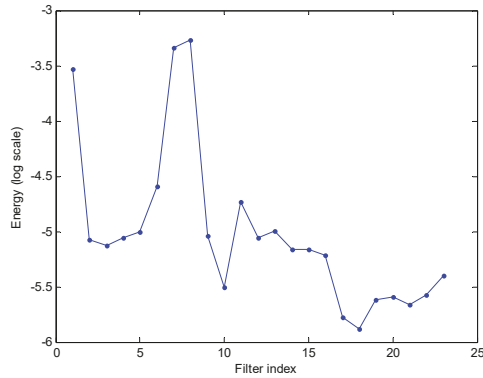
Additionally, in order to compare the performance of the 2 competing algorithms obtaining the cepstrum, an overall metric for the whole dataset is considered and defined as the mean RMSE for every frame, that is,

$$RMSE \equiv \frac{1}{W} \sum_{i=1}^W RMSE_i = \frac{1}{W} \sum_{i=1}^W \sqrt{\frac{1}{M} \sum_{n=0}^{M-1} [\varepsilon_i(n)]^2}. \quad (12)$$

### 3. Results

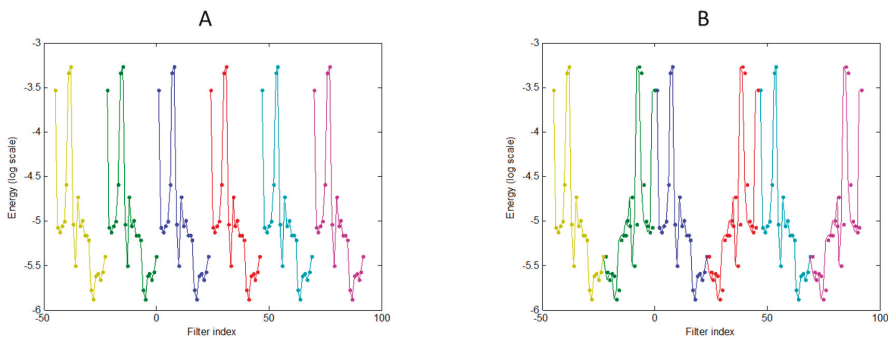
Let us first consider a single frame, arbitrarily selected from the whole sound dataset. Although these results are limited to that specific sound frame, very similar results are obtained if a different frame is selected. Moreover, at the end of this section, the overall sound dataset is considered.

For the case of the single frame, the mel-FBE spectrum obtained in step 4 is depicted in Figure 9. This is the  $f(x)$  function whose spectrum (cepstrum in this case) must be computed in step 5.



**Figure 9.** Mel Filter Bank Energy (mel-FBE) spectrum for an arbitrarily selected frame of an anuran call.

For this frame, let us consider whether it is better to use either a DFT or a DCT. The decision depends on whether the function  $f(x)$  can be considered as a fragment of a periodic repetition of: (A) the fragment, as shown in Figure 10A, or (B) the function and its symmetric, as shown in Figure 10B. In the first case, the DFT should be more appropriate, while in the second case the DCT would obtain better results.

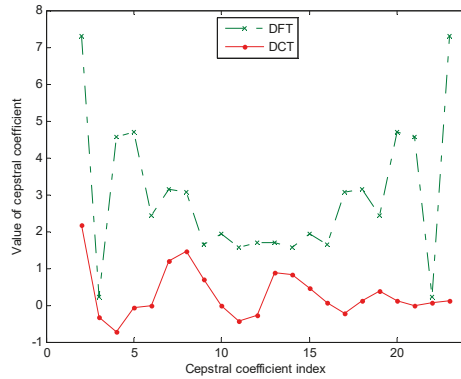


**Figure 10.** Periodic repetition of the mel-FBE spectrum (A); and the mel-FBE spectrum and its symmetric (B).

However, the mel-FBE is nothing but a rescaled and compressed way of presenting a spectrum. On the other hand, it is a well-known fact that the spectrum of a real signal is symmetric with respect to the vertical axis [43]. And finally, it is also known that the spectrum of a sampled signal is periodic [45]. For this reason, the repetition of the fragment of Figure 9 corresponds to Figure 10B and, therefore, using the DCT to compute its trans-spectrum (or cepstrum) should obtain better results. This hypothesis is verified in the following paragraphs for the selected frame, and, later in this section, it is verified for the whole dataset.

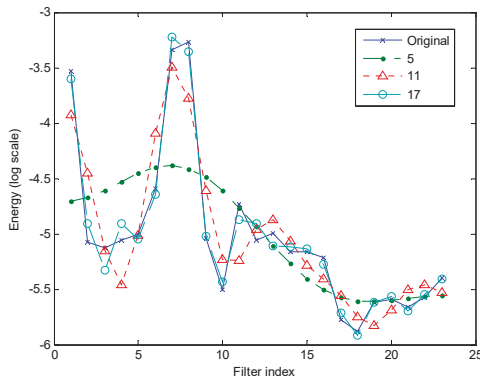
The number of coefficients obtained by applying either DCT or DFT is  $M = 23$ , that is, they have the same number of values that define the mel-FBE. The resulting cepstrum for the selected frame is shown in Figure 11.





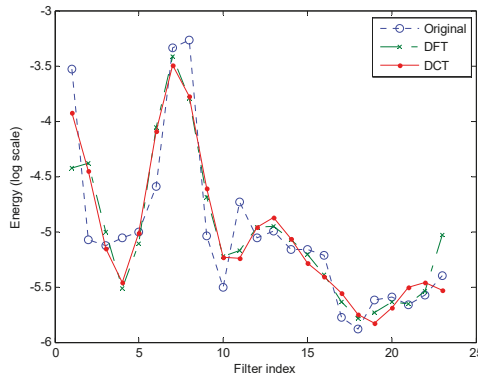
**Figure 11.** Cepstral representation of the mel-FBE spectrum (cepstrum).

The ability to compress information of the Fourier transforms (either in the DFT or DCT version) lies in the fact that it is not necessary to consider the full set of the  $M$  coefficients of the Fourier expansion to obtain a good approximation of the original function. In Figure 12, the original mel-FBE spectrum is depicted for the example frame, and those spectra recovered using  $C \leq M$  cepstral coefficients obtained using DCT.



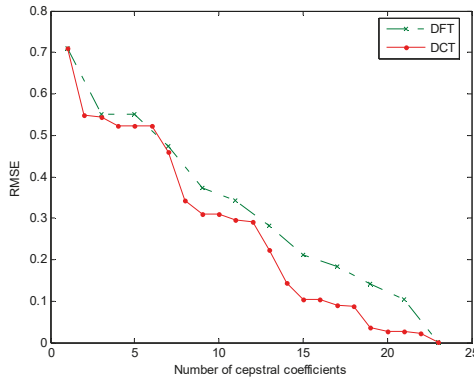
**Figure 12.** Mel-FBE spectrum for an arbitrarily selected frame of an anuran call. Original spectrum and recovered spectra using a different number of Discrete Cosine Transform (DCT) cepstral coefficients.

Additionally, as expected, the DCT achieves approximations to the original spectrum that are, in general, significantly better than those obtained for the DFT with the same number of coefficients. In Figure 13, the original mel-FBE spectrum is depicted for the example frame, and those spectra recovered using  $C = 11$  cepstral coefficients obtained using DFT and DCT.



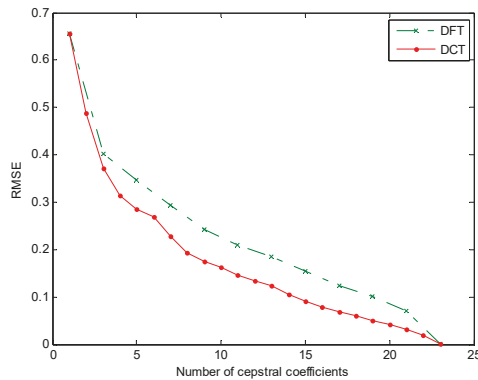
**Figure 13.** Mel-FBE spectrum for an arbitrarily selected frame of an anuran call. Original spectrum and recovered spectrum using  $C = 11$  coefficients obtained using Discrete Fourier Transform (DFT) and DCT.

In order to quantify the error of recovering the selected mel-FBE spectrum using  $C \leq M$  cepstral coefficients, the Root Mean Square Error (RMSE) is computed in accordance with Equation (11). The value of RMSE as a function of the number  $C$  of cepstral coefficients used for the recovery of the spectrum is depicted in Figure 14, both for DFT and DCT.



**Figure 14.** Root Mean Square Error recovering the original mel-FBE spectrum when a different number of  $C$  cepstral coefficients are used. The cepstral coefficients are obtained applying either DFT or DCT.

This analysis can be extended to include the computation of the RMSE for the whole dataset in accordance with Equation (12). The value of RMSE as a function of the number  $C$  of cepstral coefficients used for the recovery of the spectrum is depicted in Figure 15 for DFT and DCT separately.



**Figure 15.** Root Mean Square Error for the whole dataset when either DFT or DCT is employed.

#### 4. Discussion

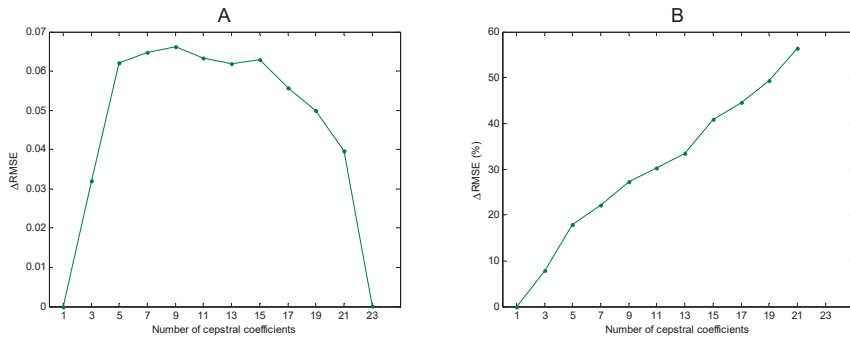
Let us first consider the  $RMSE_i$  for a single frame as depicted in Figure 14. Let us now regard the case where, for instance, the number of values required to describe the mel-FBE spectrum ( $M = 23$ ) is halved, and hence the number of cepstral coefficients used for the recovering an approximation of the spectrum is  $C = 11$  (in accordance with Equations (6) and (10)).

In this case, it can be observed that  $RMSE_i$  is 0.34 for DFT, and 0.30 for DCT. On the other hand, as depicted in Figure 9, the values of the mel-FBE spectrum lie within the range  $[-6, -3]$ , with a mean value of  $-5.02$ . This means that the relative error of the spectrum representation is only 6.84% for DFT (5.36% for DCT) when the number of values employed for that representation are halved.

Let us now focus on the RMSE when the DFT is used (green line), either for a single frame (Figure 14) or for the whole dataset (Figure 15). In both cases, it can be observed that RMSE has values only for an odd number of cepstral coefficients. This fact can be explained by recalling that, according to Equation (6), every DFT cepstral coefficient  $\hat{c}_k$  is a complex number for  $1 \leq k \leq M - 1$  and a real number for  $k = 0$ . On the other hand, according to Equation (10), the DCT cepstral coefficients  $\hat{c}_k$  are real numbers for every value of  $k$ . Additionally, it has to be considered that DFT cepstrum is symmetric (green line in Figure 11). Therefore, for  $k > 0$ , it can be written that  $\hat{c}_k = \hat{c}_{M-k+1}$  and, therefore, only one of these 2 terms have to be kept for recovery purposes. These circumstances jointly explain the odd number of DFT cepstral coefficients.

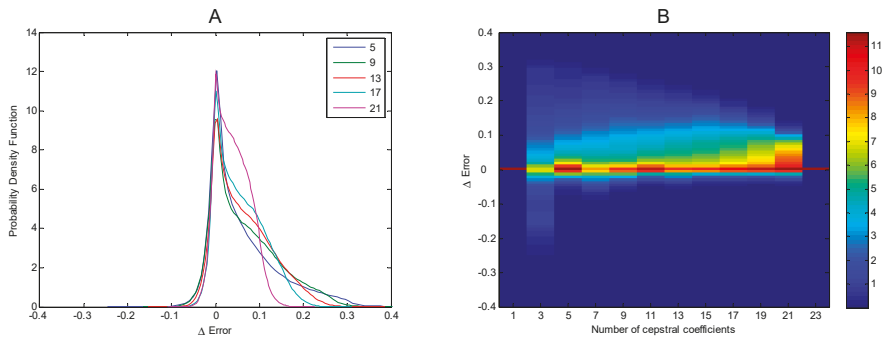
To clarify this idea, let us consider an example where  $M = 23$  and  $C = 5$ . The DCT cepstrum is then described using  $\hat{c}_0, \hat{c}_1, \hat{c}_2, \hat{c}_3$  and  $\hat{c}_4$ , that is, 5 real numbers which can be employed to approximately recover the mel-FBE spectrum. On the other hand, the DFT cepstrum is described using  $\hat{c}_0$ , which is a real number, and  $\hat{c}_1$  and  $\hat{c}_2$ , which are complex numbers, that is, although 3 terms are used, a total of 5 values (coefficients) are required. However, to approximately recover the mel-FBE spectrum, the terms  $\hat{c}_0, \hat{c}_1, \hat{c}_2, \hat{c}_{23}$  and  $\hat{c}_{22}$  can be used since  $\hat{c}_1 = \hat{c}_{23}$  and  $\hat{c}_2 = \hat{c}_{22}$ .

As regards the results obtained for the whole dataset (Figure 15), it can be seen that DCT is better at describing the mel-FBE spectra than is its DFT counterpart. This improvement (decrease of the RMSE), can be measured by defining  $\Delta RMSE \equiv RMSE_{DFT} - RMSE_{DCT}$  (Figure 16A) or its relative value  $\Delta RMSE(\%) \equiv 100 \cdot \Delta RMSE / RMSE_{DFT}$  (Figure 16B). For example, for  $C = 11$ , the RMSE is reduced from 0.209 (DFT) to 0.146, which involves an improvement of approximately 30%. For the degenerated cases where  $C = 1$  and  $C = M$ , there is no improvement. In the first case, only  $\hat{c}_0$  is used which, according to Equations (6) and (10), is the mean value of the mel-FBE spectrum, that is, the DFT and DCT recovering methods have the same error. On the other hand, if  $C = M$  then no reduction on the number of coefficients is achieved, and both equations exactly recover the original spectrum (no error).



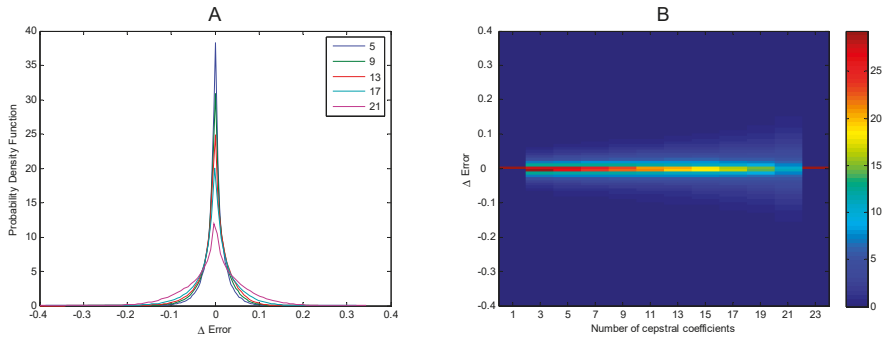
**Figure 16.** Improvement of DCT over DFT describing mel-FBE spectra. (A):  $\Delta RMSE$ . (B):  $\Delta RMSE(\%)$ .

The above results concern the mean improvement of DCT over DFT for every frame in the dataset. In a more in-depth analysis, let us also compute its probability density function (pdf). The results are depicted in Figure 17. In panel A, the pdf is shown for several values of the number of cepstral coefficients ( $C$ ). In panel B, the value of the pdf is colour-coded as a function of the improvement ( $\Delta Error$ ) and of the number of cepstral coefficients ( $C$ ). It can be observed that only a negligible number of the frames present a significant negative improvement, thereby demonstrating that DCT is superior to DFT.



**Figure 17.** Improvement of DCT over DFT in describing mel-FBE spectra. (A): Probability density function for several values of the number of cepstral coefficients. (B): Probability density function for each value of the number of cepstral coefficients.

The higher performance of DCT over DFT is due to the fact that the mel-FBE spectra are a special type of function derived from symmetric sound spectra. Consequently, if DCT and DFT were compared in the task of recovering arbitrary functions, they would each present equal performance. To demonstrate this claim, one million  $M$ -value arbitrary functions are randomly generated ( $M = 23$ ), and DFT and DCT are then employed to recover the original function with a reduced set of  $C$  coefficients to measure the errors of that recovery. Finally, the improvement of DCT over DFT is computed. The results are depicted in Figure 18 where it can be observed that positive and negative improvements are symmetrically distributed around a zero-mean improvement. Therefore, it can be concluded that DCT and DFT have similar performance in describing arbitrary functions.



**Figure 18.** Improvement of DCT over DFT in describing arbitrary function. (A): Probability density function for several values of the number of cepstral coefficients. (B): Probability density function for each value of the number of cepstral coefficients.

From the above results, it is clear that DCT offers superior performance featuring mel-FBE spectra and, therefore offers superior performance featuring sounds. When the purpose of these features is to be used as input to some kind of classifier, then DCT offers an additional advantage. It is a well-established result that classifiers obtain better results if their input features are low-correlated. The reason is clear: a classification algorithm that includes a new feature that is highly correlated with previous features adds almost no new information and, therefore, almost no classification improvement should be expected. Let us therefore examine the correlation between coefficients obtained by DFT and those by DCT.

Let us call  $\mu_u$  the mean value of the  $u$ -th coefficient  $\hat{c}_{ui}$  describing the  $i$ -th frame, obtained by

$$\mu_u = \frac{1}{W} \sum_{i=1}^W \hat{c}_{ui}, \tag{13}$$

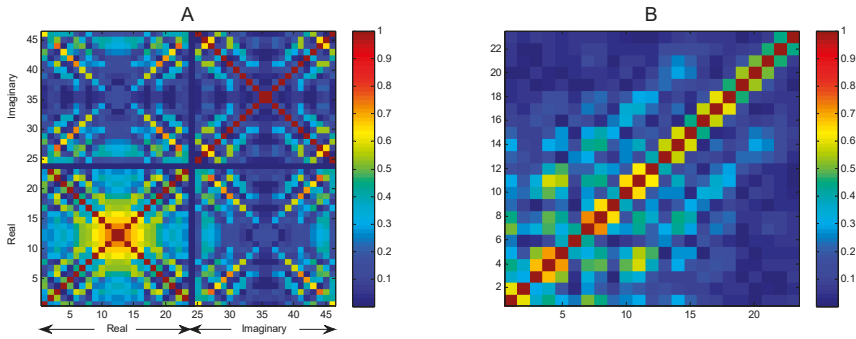
where  $W$  is the total number of frames in the dataset. The variance  $\sigma_u^2$  of the  $u$ -th coefficient can be obtained by

$$\sigma_u^2 = \frac{1}{W-1} \sum_{i=1}^W (\hat{c}_{ui} - \mu_u)^2. \tag{14}$$

The correlation  $\rho_{uv}$  between the  $u$ -th and the  $v$ -th coefficient for the whole dataset is therefore given by

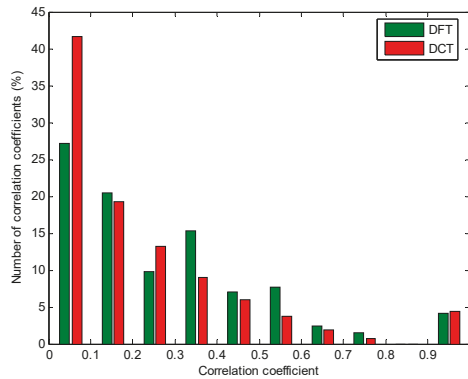
$$\rho_{uv} = \frac{1}{W-1} \sum_{i=1}^W \frac{\hat{c}_{ui} - \mu_u}{\sigma_u} \cdot \frac{\hat{c}_{vi} - \mu_v}{\sigma_v}. \tag{15}$$

In Figure 19, the absolute values of the correlation are shown, whereby the values for the case  $M = 23$  are colour-coded. The correlations corresponding to the DFT are shown in panel A and those corresponding to DCT in panel B. In the DFT case, each  $\hat{c}_{ui}$  factor is a complex number, and hence the total number of values is 46, whereby the first 23 coefficients represent the real parts and the last 23 the imaginary parts. By simply considering the colours in that figure, it is clear that DCT coefficients are less correlated.



**Figure 19.** Correlation between cepstral coefficients describing mel-FBE spectra for DFT (panel A) and DCT (panel B).

An alternative way to present this result is by using a histogram of the values of the correlation coefficients, as depicted in Figure 20. Those corresponding to DCT are more frequent for the low values of correlation, that is, DCT-obtained features are less correlated than those obtained using DFT. Hence, classifiers of a more efficient nature should be expected from using DCT.



**Figure 20.** Histogram of the correlation among cepstral coefficients describing mel-FBE spectra for DFT and DCT.

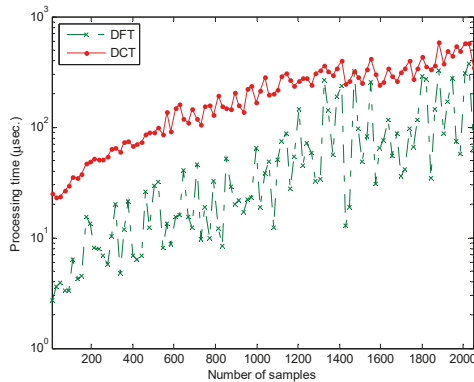
When the MFCC features are used as input of a later classification algorithm, the lower correlation of DCT-obtained features should yield to a better classification performance. The results obtained classifying anuran calls [35] do confirm a slight advantage for the DCT as it is reflected in Table 1. This table has been produced taking the best result (geometric mean of sensitivity and specificity) obtained through a set of ten classification procedures: minimum distance, maximum likelihood, decision trees, k-nearest neighbors, support vector machine, logistic regression, neural networks, discriminant function, Bayesian classifiers and hidden Markov models.

**Table 1.** Classification performance metrics for DCT and DFT.

Cepstral Transform	ACC	PRC	F <sub>1</sub>
DFT	94.27%	74.46%	77.67%
DCT	94.85%	76.76%	78.93%

Let us finally consider the computing efforts required for these two algorithms which mainly depend on the number of samples defining the mel-FBE spectra. Fast versions of DFT and DCT

algorithms have been tested on a conventional desktop personal computer. The results are depicted in Figure 21. It can be seen that DCT is about one order of magnitude slower than DFT. Although this fact is certainly a drawback of DCT it has a limited impact on conventional MFCC extraction process because the number of values describing the mel-FBE spectra is usually very low (about 20). Additional studies on processing times for anuran sounds classification can be found in [34].



**Figure 21.** Processing time required to compute the DFT and DCT vs. the number of samples describing mel-FBE spectra.

## 5. Conclusions

In this article, it has been shown that DCT outperforms DFT in the task of representing sound spectra. It has also been shown that this improvement is due to the symmetry of the spectrum and not to any intrinsic advantage of DCT.

In representing the mel-FBE spectra required to obtain the MFCC features of anuran calls, DCT errors are approximately 30% lower than DFT errors. This type of spectra is therefore much better represented using DCT.

Additionally, it has been shown that MFCC features obtained using DCT are remarkably less correlated than those obtained using DFT. This result will make DCT-based MFCC features more powerful in later classification algorithms.

Although only one specific dataset has been analysed herein, the advantage of DCT can easily be extrapolated to include any sound since this advantage is based on the symmetry of the spectrum of the sound

**Supplementary Materials:** The following are available online at <http://www.mdpi.com/2073-8994/11/3/405/s1>, supplementary material: Derivation of integral transforms expressions.

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Article

# Fuzzy Volterra Integro-Differential Equations Using General Linear Method

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**Abstract:** In this paper, a fuzzy general linear method of order three for solving fuzzy Volterra integro-differential equations of second kind is proposed. The general linear method is operated using the both internal stages of Runge-Kutta method and multivalued of a multisteps method. The derivation of general linear method is based on the theory of B-series and rooted trees. Here, the fuzzy general linear method using the approach of generalized Hukuhara differentiability and combination of composite Simpson's rules together with Lagrange interpolation polynomial is constructed for numerical solution of fuzzy volterra integro-differential equations. To illustrate the performance of the method, the numerical results are compared with some existing numerical methods.

**Keywords:** fuzzy volterra integro-differential equations; fuzzy general linear method; fuzzy differential equations; generalized Hukuhara differentiability

## 1. Introduction

Fuzzy differential equations (FDEs) and fuzzy integral equations (FIEs) have been extensively studied in the past few years. They have appeared in many applications such as fuzzy matrix spaces, population models, medicine, engineering problems, and others (see [1,2]). In the treatment of FDEs, one of the approaches was by using the definition of Hukuhara differentiability (see [3,4]). However, the Hukuhara differentiability experienced a disadvantage in its solutions. To overcome this, generalized Hukuhara differentiability was introduced by Bede and Gal in [5]. In the area of FIEs, the Riemann integral concept was proposed by Goetschel and Voxman in [6]. Another concept of integration is the Lebesgue concept by Kaleva in [7]. An early work in the numerical solutions of FDEs and FIEs is by Friedman et al. in [8]. Later, the area of interest in FIEs has been expanded into the fuzzy integro-differential equations (FIDEs). FIDEs take the form of both FDEs and FIEs. A particular class of FIDEs is known as fuzzy Volterra integro-differential equations (FVIDEs). The existence and uniqueness of FIDEs and FVIDEs solutions were investigated by Park and Jeong in [9], Hajjighasemi et al. in [10], and Zeinali et al. in [11]. Mikaeilvand et al. in [12] presented the numerical examples of FVIDEs using the differential transform method. In [13], Allahviranloo et al. proposed a new technique to solve the FVIDEs using definition of generalized differentiability. Later, Allahviranloo et al. in [14] discussed the existence and uniqueness of second-order FVIDEs using the fuzzy kernel. Then Matinfar et al. in [15] solved the FVIDEs using the variational iteration method while Sahu and Saha Ray used Legendre wavelet method in [16].

In this work, we propose the numerical solutions of FVIDEs using the general linear method (GLM) introduced by Butcher in [17]. The GLM is a generalization of Runge-Kutta method (RK) and linear multistep method derived based on theory of B-series and definition of rooted trees. Recently, the GLM was studied for finding the numerical solutions of FDEs by Rabiei et al. in [18] and based on

that, in this paper we develop the fuzzy third-order GLM together with suitable integration method to solve FVIDEs.

In Section 2, preliminaries on fuzzy numbers and theories are proposed. The concept of FVIDEs is discussed in Section 3. In Section 4, the general form of GLM is given followed by demonstration of the integration rules in Section 5. Then in Section 6, fuzzy version of the GLM combined with integration rules for FVIDE is developed while in Section 7, we derived the fuzzy RK method for FVIDEs using the same approaches used for GLM in Section 6. Section 8, some test problems are carried out to illustrate the efficiency of obtained method compared with a derived fuzzy RK method of order three in Section 7. Lastly, discussion and conclusion are presented in Section 9.

## 2. Preliminaries

In this section, some basic definitions on fuzzy numbers are given.

**Definition 1** (see [19]). Consider a fuzzy subset of the real line  $u : \mathbb{R} \rightarrow [0, 1]$ . Then  $u$  is a fuzzy number if it satisfies the following properties:

- (i)  $u$  is normal, that is  $\exists x_0 \in \mathbb{R}$  with  $u(x_0) = 1$ ;
- (ii)  $u$  is fuzzy convex, that is  $u(tx + (1-t)y) \geq \min u(x), u(y), \forall t \in [0, 1], x, y \in \mathbb{R}$ ;
- (iii)  $u$  is upper semicontinuous on  $\mathbb{R}$ , that is  $\forall \epsilon > 0 \exists \delta > 0$  such that  $u(x) - u(x_0) < \epsilon, |x - x_0| < \delta$ ;
- (iv)  $u$  is compactly supported, that is  $cl\{x \in \mathbb{R}; u(x) > 0\}$  is compact, where  $cl(A)$  denotes the closure of the set  $A$ .

Then  $\mathbb{R}_F$  is called the space of fuzzy numbers.

**Definition 2** (see [19]). For  $0 < r \leq 1$ , we have

$$[u]^r = \{x \in \mathbb{R}; u(x) \geq r\},$$

and

$$[u]^0 = cl\{x \in \mathbb{R}; u(x) > r\}.$$

Then the  $[u]^r$  denotes the  $r$ -level set of the fuzzy number  $u$ . The 1-level will refer to the core while the 0-level refers to the support of the fuzzy number.

**Proposition 1** (see [19]). A fuzzy number  $u$  is a pair  $u = (u^-, u^+)$  of functions  $u^-, u^+ : [0, 1] \rightarrow \mathbb{R}$ , implying the end points of  $r$ -level set, following the conditions:

- (i)  $u_r^- \in \mathbb{R}$  is a bounded nondecreasing left-continuous function  $\forall r \in [0, 1]$  and right-continuous for  $r = 0$ ;
- (ii)  $u_r^+ \in \mathbb{R}$  is a bounded nonincreasing left-continuous function  $\forall r \in [0, 1]$  and right-continuous for  $r = 0$ ;
- (iii)  $u_r^- \leq u_r^+$  for  $r = 1$ , which implies  $u_r^- \leq u_r^+, \forall r \in [0, 1]$ .

**Definition 3** (see [19]). Let  $u, v \in \mathbb{R}_F$ , the distance  $D(u, v)$  between two fuzzy intervals is defined by

$$D_\infty(u, v) = \sup_{r \in [0, 1]} \max\{|u_r^- - v_r^-|, |u_r^+ - v_r^+|\}.$$

Then  $D_\infty(u, v)$  is the Hausdorff distance between fuzzy numbers.

**Proposition 2** (see [19]). It is said that  $D_\infty(u, v)$  is a metric space in  $\mathbb{R}_F$  and the following properties hold:

- (i)  $D_\infty(u + w, v + w) = D_\infty(u, v), \forall u, v, w \in \mathbb{R}_F$ ;
- (ii)  $D_\infty(k \cdot u, k \cdot v) = |k|D_\infty(u, v), \forall u, v \in \mathbb{R}_F, \forall k \in \mathbb{R}$ ;
- (iii)  $D_\infty(u + v, w + e) \leq D_\infty(u, w) + D_\infty(v, e), \forall u, v, w, e \in \mathbb{R}_F$ .

**Definition 4.** A function  $f : \mathbb{R} \rightarrow \mathbb{R}_F$  is said to be fuzzy continuous function if  $f$  exists for any fixed arbitrary  $g_0 \in \mathbb{R}$  and  $\varepsilon > 0, \delta > 0$  such that  $|g - g_0| < \delta \implies D[f(g), f(g_0)] < \varepsilon$ .

**Definition 5.** Let  $x, y \in \mathbb{R}_F$ . If there exists  $z \in \mathbb{R}_F$  such that  $x = y \oplus z$ , then  $z$  is called the Hukuhara difference (H-difference) of  $x$  and  $y$  and it is denoted by  $x \ominus y$ . (Please note that,  $x \ominus y \neq x + (-y)$ ).

**Definition 6** (see [5]). Let  $f : (a, b) \rightarrow \mathbb{R}_F$  and  $x_0 \in (a, b)$ .  $f$  is known as strongly generalized differentiable at  $x_0$ , if there exists an element  $f'(x_0) \in \mathbb{R}_F$  such that

(i) for all  $h > 0$  sufficiently small,  $\exists f(x_0 + h) \ominus f(x_0), f(x_0) \ominus f(x_0 - h)$  and the limits in metric  $D$

$$\lim_{h \searrow 0} \frac{f(x_0 + h) \ominus f(x_0)}{h} = \lim_{h \searrow 0} \frac{f(x_0) \ominus f(x_0 - h)}{h} = f'(x_0), \tag{1}$$

is type-(i)-differentiability on  $(a, b)$ ,

(ii) for all  $h > 0$  sufficiently small,  $\exists f(x_0) \ominus f(x_0 + h), f(x_0 - h) \ominus f(x_0)$  and the limits in metric  $D$

$$\lim_{h \searrow 0} \frac{f(x_0) \ominus f(x_0 + h)}{(-h)} = \lim_{h \searrow 0} \frac{f(x_0 - h) \ominus f(x_0)}{(-h)} = f'(x_0). \tag{2}$$

is type-(ii)-differentiability on  $(a, b)$ ,

**Theorem 1** (see [20]). Let  $F : T \rightarrow \mathbb{R}_F$  be a function and denote  $[F(t)]_r = [f_r(t), g_r(t)]$ , for each  $r \in [0, 1]$ . Then

(i) If  $F$  is differentiable in the first form (1), then  $f_r$  and  $g_r$  are differentiable functions and  $[F'(t)]_r = [f'_r(t), g'_r(t)]$ ,

(ii) If  $F$  is differentiable in the second form (2), then  $f_r$  and  $g_r$  are differentiable functions and  $[F'(t)]_r = [g'_r(t), f'_r(t)]$ .

**Definition 7** (see [15]). Let  $f : [a, b] \rightarrow \mathbb{R}_F$ , for each partition  $P = \{t_0, t_1, \dots, t_n\}$  of  $[a, b]$  and for arbitrary  $\xi_i \in [t_{i-1}, t_i], 1 \leq i \leq n$ , and suppose

$$R_p = \sum_{i=1}^n (\xi_i)(t_i - t_{i-1}),$$

$$\Delta := \max\{|t_i - t_{i-1}|, 1 \leq i \leq n\}.$$

The integration of  $f(t)$  over  $[a, b]$  is

$$\int_a^b f(t)dt = \lim_{\Delta \rightarrow 0} R_p,$$

given that in metric  $D$ , the limit exists. The definite integral of fuzzy function  $f(t)$  exists, if  $f(t)$  is continuous function in metric  $D$ , and

$$\left( \int_a^b f(t)dt \right)_r^- = \int_a^b f_r^-(t)dt,$$

$$\left( \int_a^b f(t)dt \right)_r^+ = \int_a^b f_r^+(t)dt.$$

### 3. Fuzzy Volterra Integro-Differential Equations

Consider the first order fuzzy initial value problems of second kind FVIDEs given by

$$y'(t) = f(t, y) + \int_0^x K(t, s)y(s)ds, \quad y(t_0) = y_0 \in \mathbb{R}_F, \tag{3}$$

and in short notation is given as

$$y'(t) = f\left(t, y, \int_0^x K(t, s)y(s)ds\right), \quad y(t_0) = y_0 \in \mathbb{R}_F, \tag{4}$$

where function  $f : \mathbb{R} \times \mathbb{R}_F \rightarrow \mathbb{R}_F$ , crisp function  $K(t, s)$  are continuous and  $y_0$  is a fuzzy number.

Using Theorem 1, and extending the characterization theorem in [19], FVIDE given in (4) for type (i)-differentiability is equivalent to the following system of ODEs:

$$\begin{cases} (y_r^-)'(t) = f_r^-(t, y_r^-, y_r^+) + \int_0^t K^-(t, s)y(s)ds = F(t, y_r^-, y_r^+, \int_0^t K^-(t, s)y(s)ds), \\ (y_r^+)'(t) = f_r^+(t, y_r^-, y_r^+) + \int_0^t K^+(t, s)y(s)ds = G(t, y_r^-, y_r^+, \int_0^t K^+(t, s)y(s)ds), \\ y_r^-(0) = (y_0)_r^-, \\ y_r^+(0) = (y_0)_r^+, \end{cases} \tag{5}$$

and for type (ii)-differentiability FVIDE (4) is equivalent to the system of ODEs as follows:

$$\begin{cases} (y_r^-)'(t) = f_r^+(t, y_r^-, y_r^+) + \int_0^t K^+(t, s)y(s)ds = G(t, y_r^-, y_r^+, \int_0^t K^+(t, s)y(s)ds), \\ (y_r^+)'(t) = f_r^-(t, y_r^-, y_r^+) + \int_0^t K^-(t, s)y(s)ds = F(t, y_r^-, y_r^+, \int_0^t K^-(t, s)y(s)ds), \\ y_r^-(0) = (y_0)_r^-, \\ y_r^+(0) = (y_0)_r^+, \end{cases} \tag{6}$$

where

$$\begin{aligned} K^-(t, s)y(s)ds &= \begin{cases} K(t, s)y^-(s)ds, & K(t, s) \geq 0, \\ K(t, s)y^+(s)ds, & K(t, s) \leq 0, \end{cases} \\ K^+(t, s)y(s)ds &= \begin{cases} K(t, s)y^+(s)ds, & K(t, s) \geq 0, \\ K(t, s)y^-(s)ds, & K(t, s) \leq 0. \end{cases} \end{aligned}$$

### 4. General Linear Method

Consider the first order initial value problems

$$y'(x) = f(x, y(x)), \quad y(x_0) = y_0. \tag{7}$$

The general form of GLM (see [17]) is given as

$$Y_i = \sum_{j=1}^s a_{ij}hF_j + \sum_{j=1}^r u_{ij}y_j^{[n-1]}, \quad i = 1, 2, \dots, s, \tag{8}$$

$$y_i^{[n]} = \sum_{j=1}^s b_{ij}hF_j + \sum_{j=1}^r v_{ij}y_j^{[n-1]}, \quad i = 1, 2, \dots, r, \tag{9}$$

where  $n$  is the step number,  $y_i^{[n]}$ ,  $i = 1, 2, \dots, r$  are the approximate solutions,  $Y_i$ ,  $i = 1, 2, \dots, s$  is the stage values and  $F_i$ ,  $i = 1, 2, \dots, s$  is the stage derivatives.

The algebraic coefficients  $a, u, b$ , and  $v$  of the proposed method here, are given from Rabiei et al. in [18] as shown in Table 1.

Table 1. Coefficients of third-order GLM.

$a_{21} = \frac{13}{18}$	$a_{32} = 2$	$a_{31} = -\frac{17}{9}$	$u_{11} = 1$	$u_{12} = 0$	$u_{21} = \frac{7}{9}$	$u_{22} = \frac{2}{9}$	$u_{31} = \frac{17}{9}$	$u_{32} = -\frac{8}{9}$	
$b_{11} = \frac{1}{6}$	$b_{12} = \frac{2}{3}$	$b_{13} = \frac{1}{6}$	$v_{11} = 1$	$v_{12} = 0$	$b_{21} = 0$	$b_{22} = 0$	$b_{23} = 0$	$v_{21} = 1$	$v_{22} = 0$

5. Simpson’s Rule and Lagrange Interpolation Polynomial

In the FVIDE, the integral operator  $z \approx \int_0^t K(t,s)y(s)ds$  need to be approximated first before applying the third-order fuzzy GLM. The range of integration is divided into two intervals as shown below.

$$\int_0^t K(t,s)y(s)ds = \int_0^{t_n} K(t,s)y(s)ds + \int_{t_n}^{t_{n+c}} K(t,s)y(s)ds, \tag{10}$$

where the grid points are calculated by  $t_n = t_0 + nh$  with  $h = \frac{T-t_0}{N}$  and  $0 < n < N$ . The value  $c$  is the value of coefficient for third-order GLM given in Table 1.

The composite Simpson’s rule (Simpson’s II method defined in [21]) is used to compute the integration in the interval  $\int_0^{t_n} K(t,s)y(s)ds$ . Meanwhile we compute the integration in the interval  $\int_{t_n}^{t_{n+c}} K(t,s)y(s)ds$  using Lagrange’s interpolation method. The Lagrange interpolating polynomial is determined by interpolating on set of points  $\{t_{n-1}, t_n, t_{n+c}\}$ . For points  $\{t_{-1}, t_0, t_{\frac{1}{2}}\}$  the Lagrange interpolating polynomial is:

$$P(t) = \frac{(t - t_0)(t - t_{\frac{1}{2}})}{(t_{-1} - t_0)(t_{-1} - t_{\frac{1}{2}})}y_{-1} + \frac{(t - t_{-1})(t - t_{\frac{1}{2}})}{(t_0 - t_{-1})(t_0 - t_{\frac{1}{2}})}y_0 + \frac{(t - t_{-1})(t - t_0)}{(t_{\frac{1}{2}} - t_{-1})(t_{\frac{1}{2}} - t_0)}y_{1/2}. \tag{11}$$

Substituting  $t_{-1} = -h, t_0 = 0$ , and  $t_{\frac{1}{2}} = \frac{1}{2}h$  into (11) gives

$$P(t) = \frac{2t(t - h/2)}{3h^2}y_{-1} - \frac{2(t + h)(t - h/2)}{h^2}y_0 + \frac{4(t + h)t}{3h^2}y_{1/2}. \tag{12}$$

Then integrate Equation (12) with limit from 0 to  $\frac{h}{2}$ , to produce

$$\int_{t_n}^{t_{n+\frac{1}{2}}} K(t,s)y(s)ds = h \left\{ -\frac{1}{72}K(t,s)y(t_{n-1}) + \frac{7}{24}K(t,s)y(t_n) + \frac{2}{9}K(t,s)y(t_{n+\frac{1}{2}}) \right\}. \tag{13}$$

In the first step where  $n = 0$ , the value of  $y(t_{-1}) = y(t_0 - h)$  is evaluated by using a fourth order RK method.

6. Fuzzy General Linear Method for Fuzzy Volterra Integro-Differential Equations

Rabiei et al. [18] proposed the fuzzy GLM for solving FDEs. The convergence of the method also was proven. Here, by using the third-order GLM derived in [18], we will apply the fuzzy GLM for solving FVIDEs. Consider the fuzzy Problem 4, we denote the initial value  $y_0 \in \mathbb{R}_F$  with  $r$ -level sets

$$[y_0]_r = [y^-(t_0;r), y^+(t_0;r)], \quad r \in [0, 1]. \tag{14}$$

The set of equally spaced grid points  $t_0 < t_1 < t_2 \dots < t_N = T$  is a set of interval  $T$ . The exact solutions are given as

$$[\tilde{Y}(t)]_r = [\tilde{Y}^-(t;r), \tilde{Y}^+(t;r)], \tag{15}$$

are approximated by

$$[y(t)]_r = [y^-(t;r), y^+(t;r)]. \tag{16}$$

The grid points are calculated by  $t_n = t_0 + nh$  with  $h = \frac{T-t_0}{N}$  and  $0 < n < N$ . Thus, we have the exact and approximate solutions at  $t_n$  set as

$$[\tilde{Y}(t_n)]_r = [\tilde{Y}^-(t_n; r), \tilde{Y}^+(t_n; r)], \quad (17)$$

$$[y(t_n)]_r = [y^-(t_n; r), y^+(t_n; r)]. \quad (18)$$

The third-order fuzzy GLM for solving FVIDEs based on type (i)-differentiability is given by the formulae:

$$y_i^-(t_{n+1}; r) = \sum_{j=1}^{s=3} b_{ij} h F_j(t_n, y(t_n; r), z(t_n; r)) + \sum_{j=1}^{r=2} v_{ij} y_j^-(t_n; r), \quad i = 1, \dots, r, \quad (19)$$

$$y_i^+(t_{n+1}; r) = \sum_{j=1}^{s=3} b_{ij} h G_j(t_n, y(t_n; r), z(t_n; r)) + \sum_{j=1}^{r=2} v_{ij} y_j^+(t_n; r), \quad i = 1, \dots, r, \quad (20)$$

where

$$\begin{aligned} Y_1^-(y(t_n; r)) &= u_{11} y_1^-(t_n; r) + u_{12} y_2^-(t_n; r), \\ Y_1^+(y(t_n; r)) &= u_{11} y_1^+(t_n; r) + u_{12} y_2^+(t_n; r), \\ Y_2^-(y(t_n; r)) &= a_{21} h F_1(t_n, y(t_n; r), z(t_n; r)) + u_{21} y_1^-(t_n; r) + u_{22} y_2^-(t_n; r), \\ Y_2^+(y(t_n; r)) &= a_{21} h G_1(t_n, y(t_n; r), z(t_n; r)) + u_{21} y_1^+(t_n; r) + u_{22} y_2^+(t_n; r), \\ Y_3^-(y(t_n; r)) &= a_{31} h F_1(t_n, y(t_n; r), z(t_n; r)) + a_{32} h F_2(t_n, y(t_n; r), z(t_n; r)) \\ &\quad + u_{31} y_1^-(t_n; r) + u_{32} y_2^-(t_n; r), \\ Y_3^+(y(t_n; r)) &= a_{31} h G_1(t_n, y(t_n; r), z(t_n; r)) + a_{32} h G_2(t_n, y(t_n; r), z(t_n; r)) \\ &\quad + u_{31} y_1^+(t_n; r) + u_{32} y_2^+(t_n; r), \end{aligned} \quad (21)$$

such that

$$\begin{aligned} F_1(t_n, y(t_n; r)) &= \min \left\{ f(t_n + c_1 h, u, v) \mid u \in [Y_1^-(y(t_n; r)), Y_1^+(y(t_n; r))], \right. \\ &\quad \left. v \in [z_1^-(y(t_n; r)), z_1^+(y(t_n; r))] \right\}, \\ G_1(t_n, y(t_n; r)) &= \max \left\{ f(t_n + c_1 h, u, v) \mid u \in [Y_1^-(y(t_n; r)), Y_1^+(y(t_n; r))], \right. \\ &\quad \left. v \in [z_1^-(y(t_n; r)), z_1^+(y(t_n; r))] \right\}, \\ F_2(t_n, y(t_n; r)) &= \min \left\{ f(t_n + c_2 h, u, v) \mid u \in [Y_2^-(y(t_n; r)), Y_2^+(y(t_n; r))], \right. \\ &\quad \left. v \in [z_2^-(y(t_n; r)), z_2^+(y(t_n; r))] \right\}, \\ G_2(t_n, y(t_n; r)) &= \max \left\{ f(t_n + c_2 h, u, v) \mid u \in [Y_2^-(y(t_n; r)), Y_2^+(y(t_n; r))], \right. \\ &\quad \left. v \in [z_2^-(y(t_n; r)), z_2^+(y(t_n; r))] \right\}, \\ F_3(t_n, y(t_n; r)) &= \min \left\{ f(t_n + c_3 h, u, v) \mid u \in [Y_3^-(y(t_n; r)), Y_3^+(y(t_n; r))], \right. \\ &\quad \left. v \in [z_3^-(y(t_n; r)), z_3^+(y(t_n; r))] \right\}, \\ G_3(t_n, y(t_n; r)) &= \max \left\{ f(t_n + c_3 h, u, v) \mid u \in [Y_3^-(y(t_n; r)), Y_3^+(y(t_n; r))], \right. \\ &\quad \left. v \in [z_3^-(y(t_n; r)), z_3^+(y(t_n; r))] \right\}, \end{aligned} \quad (22)$$



and

$$\begin{aligned}
 z_1^-(y(t_n)) &= \int_0^{t_n} K^-(t,s)y(s;r)ds, \\
 z_1^+(y(t_n)) &= \int_0^{t_n} K^+(t,s)y(s;r)ds, \\
 z_2^-(y(t_n)) &= \int_0^{t_n} K^-(t,s)y(s;r)ds + \int_{t_n}^{t_n+c_2} K^-(t,s)y(s)ds, \\
 z_2^+(y(t_n)) &= \int_0^{t_n} K^+(t,s)y(s;r)ds + \int_{t_n}^{t_n+c_2} K^+(t,s)y(s)ds, \\
 z_3^-(y(t_n)) &= \int_0^{t_n} K^-(t,s)y(s)ds + \int_{t_n}^{t_n+c_3} K^-(t,s)y(s)ds, \\
 z_3^+(y(t_n)) &= \int_0^{t_n} K^+(t,s)y(s)ds + \int_{t_n}^{t_n+c_3} K^+(t,s)y(s)ds.
 \end{aligned} \tag{23}$$

Meanwhile the fuzzy third-order GLM for solving FVIDEs based on type (ii)-differentiability is given by the formulae:

$$y_i^-(t_{n+1};r) = \sum_{j=1}^{s=3} b_{ij}hG_j(t_n, y(t_n;r), z(t_n;r)) + \sum_{j=1}^{r=2} v_{ij}y_j^-(t_n;r), \quad i = 1, \dots, r, \tag{24}$$

$$y_i^+(t_{n+1};r) = \sum_{j=1}^{s=3} b_{ij}hF_j(t_n, y(t_n;r), z(t_n;r)) + \sum_{j=1}^{r=2} v_{ij}y_j^+(t_n;r), \quad i = 1, \dots, r, \tag{25}$$

where

$$\begin{aligned}
 Y_1^-(y(t_n;r)) &= u_{11}y_1^-(t_n;r) + u_{12}y_2^-(t_n;r), \\
 Y_1^+(y(t_n;r)) &= u_{11}y_1^+(t_n;r) + u_{12}y_2^+(t_n;r), \\
 Y_2^-(y(t_n;r)) &= a_{21}hG_1(t_n, y(t_n;r), z(t_n;r)) + u_{21}y_1^-(t_n;r) + u_{22}y_2^-(t_n;r), \\
 Y_2^+(y(t_n;r)) &= a_{21}hF_1(t_n, y(t_n;r), z(t_n;r)) + u_{21}y_1^+(t_n;r) + u_{22}y_2^+(t_n;r), \\
 Y_3^-(y(t_n;r)) &= a_{31}hG_1(t_n, y(t_n;r), z(t_n;r)) + a_{32}hG_2(t_n, y(t_n;r), z(t_n;r)) \\
 &\quad + u_{31}y_1^-(t_n;r) + u_{32}y_2^-(t_n;r), \\
 Y_3^+(y(t_n;r)) &= a_{31}hF_1(t_n, y(t_n;r), z(t_n;r)) + a_{32}hF_2(t_n, y(t_n;r), z(t_n;r)) \\
 &\quad + u_{31}y_1^+(t_n;r) + u_{32}y_2^+(t_n;r),
 \end{aligned} \tag{26}$$

where  $F_1, F_2, F_3, G_1, G_2$  and  $G_3$  are same as (22).

## 7. Fuzzy Runge-Kutta Method for Fuzzy Volterra Integro-Differential Equations

In this section, we will develop the fuzzy version of third-order RK method to solve the FVIDEs. The RK method is combined with suitable integration methods to deal with the integral part. It is appropriate to apply the composite Simpson's rule and Lagrange's method in Section 5 similarly. The coefficients (see [22]) for RK method is represented in Table 2. The general form of RK method for solving Equation (7) is given by

$$y_{n+1} = y_n + h \sum_{i=1}^s B_i k_i, \quad 1 \leq n \leq N-1, \tag{27}$$

where

$$\begin{aligned}
 k_1 &= f(x_n, y_n), \\
 k_i &= f(x_n + C_i h, y_n + h \sum_{j=1}^{i-1} A_{ij} k_j), \quad i = 2, 3, \dots, s.
 \end{aligned}
 \tag{28}$$

**Table 2.** Coefficients of third-order RK method.

$C_1 = 0$		
$C_2 = \frac{1}{2}$	$A_{21} = \frac{1}{2}$	
$C_3 = 1$	$A_{31} = -1$	$A_{32} = 2$
	$B_1 = \frac{1}{6}$	$B_2 = \frac{2}{3} \quad B_3 = \frac{1}{6}$

The formulae of a third-order RK method for FVIDEs based on type (i)-differentiability is given as follows:

$$y^-(t_{n+1}; r) = y^-(t_n; r) + hF(t_n, (y(t_n; r))), \tag{29}$$

$$y^+(t_{n+1}; r) = y^+(t_n; r) + hG(t_n, (y(t_n; r))), \tag{30}$$

while for type (ii)-differentiability is given as:

$$y^-(t_{n+1}; r) = y^-(t_n; r) + hG(t_n, (y(t_n; r))), \tag{31}$$

$$y^+(t_{n+1}; r) = y^+(t_n; r) + hF(t_n, (y(t_n; r))), \tag{32}$$

where

$$\begin{aligned}
 F(t_n, (y(t_n; r))) &= \{B_1 k_1^-(y(t_n; r)) + B_2 k_2^-(y(t_n; r)) + B_3 k_3^-(y(t_n; r))\}, \\
 G(t_n, (y(t_n; r))) &= \{B_1 k_1^+(y(t_n; r)) + B_2 k_2^+(y(t_n; r)) + B_3 k_3^+(y(t_n; r))\},
 \end{aligned}
 \tag{33}$$

where

$$\begin{aligned}
 k_1^-(y(t_n; r)) &= \min \left\{ f(t, u, v) \mid u \in [y^-(t_n; r), y^+(t_n; r)], v \in [z_1^-(y(t_n; r)), z_1^+(y(t_n; r))] \right\}, \\
 k_1^+(y(t_n; r)) &= \max \left\{ f(t, u, v) \mid u \in [y^-(t_n; r), y^+(t_n; r)], v \in [z_1^-(y(t_n; r)), z_1^+(y(t_n; r))] \right\}, \\
 k_2^-(y(t_n; r)) &= \min \left\{ f(t + C_2 h, u, v) \mid u \in [w_1^-(t_n; r), w_1^+(t_n; r)], v \in [z_2^-(y(t_n; r)), z_2^+(y(t_n; r))] \right\}, \\
 k_2^+(y(t_n; r)) &= \max \left\{ f(t + C_2 h, u, v) \mid u \in [w_1^-(t_n; r), w_1^+(t_n; r)], v \in [z_2^-(y(t_n; r)), z_2^+(y(t_n; r))] \right\}, \\
 k_3^-(y(t_n; r)) &= \min \left\{ f(t + C_3 h, u, v) \mid u \in [w_2^-(t_n; r), w_2^+(t_n; r)], v \in [z_3^-(y(t_n; r)), z_3^+(y(t_n; r))] \right\}, \\
 k_3^+(y(t_n; r)) &= \max \left\{ f(t + C_3 h, u, v) \mid u \in [w_2^-(t_n; r), w_2^+(t_n; r)], v \in [z_3^-(y(t_n; r)), z_3^+(y(t_n; r))] \right\},
 \end{aligned}
 \tag{34}$$

such that

$$\begin{aligned}
 w_1^-(t_n; r) &= y^-(t_n; r) + hA_{21}k_1^-, \\
 w_1^+(t_n; r) &= y^+(t_n; r) + hA_{21}k_1^+, \\
 w_2^-(t_n; r) &= y^-(t_n; r) + h(A_{31}k_1^- + A_{32}k_2^-), \\
 w_2^+(t_n; r) &= y^+(t_n; r) + h(A_{31}k_1^+ + A_{32}k_2^+),
 \end{aligned}
 \tag{35}$$

and

$$\begin{aligned}
 z_1^-(y(t_n)) &= \int_0^{t_n} K^-(t,s)y(s;r)ds, \\
 z_1^+(y(t_n)) &= \int_0^{t_n} K^+(t,s)y(s;r)ds, \\
 z_2^-(y(t_n)) &= \int_0^{t_n} K^-(t,s)y(s;r)ds + \int_{t_n}^{t_n+C_2} K^-(t,s)y(s)ds, \\
 z_2^+(y(t_n)) &= \int_0^{t_n} K^+(t,s)y(s;r)ds + \int_{t_n}^{t_n+C_2} K^+(t,s)y(s)ds, \\
 z_3^-(y(t_n)) &= \int_0^{t_{n+1}} K^-(t,s)y(s)ds, \\
 z_3^+(y(t_n)) &= \int_0^{t_{n+1}} K^+(t,s)y(s)ds.
 \end{aligned} \tag{36}$$

## 8. Numerical Results

We tested the fuzzy GLM to illustrate the efficiency of the method. Comparison is made between fuzzy versions of GLM and the RK method, Variational iteration method and homotopy perturbation method. The efficiency of method is shown in terms of error which is estimated by  $\underline{E}(t;r) = |\underline{y}(t;r) - \underline{Y}(t;r)|$  and  $\bar{E}(t;r) = |\bar{y}(t;r) - \bar{Y}(t;r)|$ . List of abbreviations used in the tabulated results are as follows:

$r$	$r$ -level set of fuzzy numbers,
$\tilde{Y}^-$	Left bound of exact solution,
$\tilde{Y}^+$	Right bound of exact solution,
$y^-$	Left bound of approximate solution,
$y^+$	Right bound of approximate solution,
$E^-$	Left bound of error computed ( $ y^- - \tilde{Y}^- $ ),
$E^+$	Right bound of error computed ( $ y^+ - \tilde{Y}^+ $ ),
GLM	Third-order general linear method from this paper,
RK	Third-order Runge-Kutta method from Section 7,
VIM	Variational iteration method from [15],
HAM	Homotopy perturbation method from [15].

### 8.1. Problem 1

Consider the following FVIDEs (see [15])

$$\begin{aligned}
 y'(t) &= C \frac{1}{12t} (36 - 5t^4) + \int_0^t (t^2 + s^2)y(s;r)ds, \\
 C &= [(r^5 + 2r)t^3, (6 - 3r^3)t^3], \quad y(0) = [0, 0], \quad 0 \leq s \leq t \leq 1.
 \end{aligned}$$

The equivalent system of ODEs based on (i)-differentiability:

$$\begin{aligned}
 (y^-)'(t;r) &= \frac{1}{12}rt^2(r^4 + 2)(36 - 5t^4) + \int_0^t (t^2 + s^2)y^-(s;r)ds, \quad y^-(0;r) = 0, \\
 (y^+)'(t;r) &= \frac{1}{4}t^2(r^3 - 2)(5t^4 - 36) + \int_0^t (t^2 + s^2)y^+(s;r)ds, \quad y^+(0;r) = 0.
 \end{aligned}$$

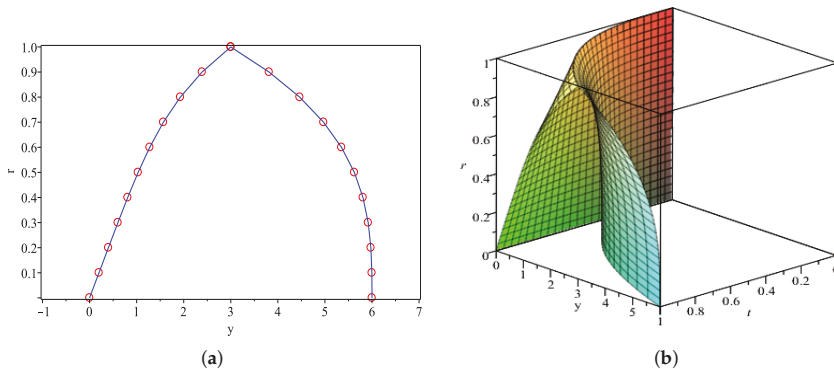
Exact solutions :

$$\begin{aligned}
 \tilde{Y}^-(t;r) &= (r^5 + 2r)t^3, \\
 \tilde{Y}^+(t;r) &= (6 - 3r^3)t^3.
 \end{aligned}$$

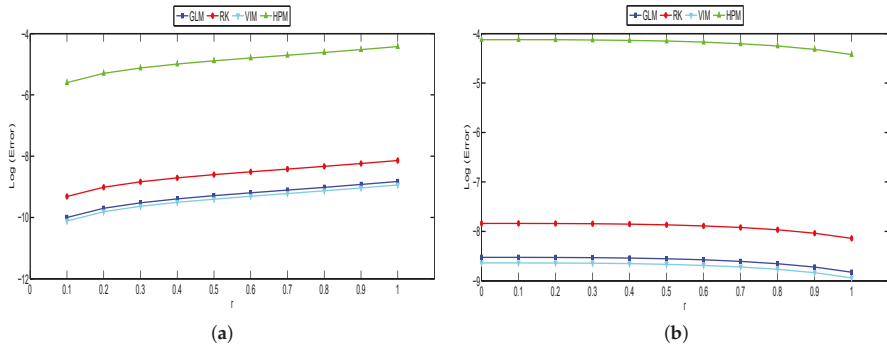
For Problem 1, the graphical results of GLM together with exact solutions are shown in Figure 1. The comparison of numerical results of GLM with existing methods is given in Table 3 and Figure 2.

**Table 3.** Comparison between GLM and existing methods for solving Problem 1.

$r$	GLM		RK		VIM	HPM
	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$	$E^-(t = 0.5; r)$	$E^-(t = 0.5; r)$
0.0	0	0	0	0	0	0
0.1	9.956810(−11)	1.209550(−9)	4.823713(−10)	7.462263(−9)	7.6675(−11)	2.5125(−6)
0.2	1.992855(−10)	2.420914(−9)	9.654662(−10)	1.493572(−8)	1.5347(−10)	5.0288(−6)
0.3	2.998988(−10)	3.643163(−9)	1.452902(−9)	2.247632(−8)	2.3095(−10)	7.5676(−6)
0.4	4.033500(−10)	4.899886(−9)	1.954086(−9)	3.022960(−8)	3.1061(−10)	1.0178(−5)
0.5	5.133730(−10)	6.236430(−9)	2.487103(−9)	3.847537(−8)	3.9534(−10)	1.2954(−5)
0.6	6.360880(−10)	7.727180(−9)	3.081621(−9)	4.767250(−8)	4.8984(−10)	1.6051(−5)
0.7	7.806100(−10)	9.482820(−8)	3.781770(−9)	5.850381(−8)	6.0113(−10)	1.9698(−5)
0.8	9.596280(−10)	1.165754(−8)	4.649055(−9)	7.192064(−8)	7.3899(−10)	2.4215(−5)
0.9	1.190025(−9)	1.445635(−8)	5.765231(−9)	8.918787(−8)	9.1641(−10)	3.0029(−5)
1.0	1.493445(−9)	1.814234(−8)	7.235207(−9)	1.119283(−7)	1.1501(−9)	3.7686(−5)
$r$	$E^+(t = 0.5; r)$		$E^+(t = 1; r)$		$E^+(t = 0.5; r)$	$E^+(t = 0.5; r)$
0.0	2.986892(−9)	3.628465(−8)	1.447042(−8)	2.238567(−7)	2.3001(−9)	7.5371(−5)
0.1	2.985401(−9)	3.626651(−8)	1.446318(−8)	2.237446(−7)	2.2990(−9)	7.5333(−5)
0.2	2.974945(−9)	3.613950(−8)	1.441254(−8)	2.229612(−7)	2.2909(−9)	7.5070(−5)
0.3	2.946571(−9)	3.579484(−8)	1.427506(−8)	2.208346(−7)	2.2691(−9)	7.4354(−5)
0.4	2.891310(−9)	3.512354(−8)	1.400736(−8)	2.166932(−7)	2.2265(−9)	7.2959(−5)
0.5	2.800214(−9)	3.401690(−8)	1.356601(−8)	2.098656(−7)	2.1564(−9)	7.0660(−5)
0.6	2.664307(−9)	3.236592(−8)	1.290761(−8)	1.996801(−7)	2.0517(−9)	6.7231(−5)
0.7	2.474641(−9)	3.006184(−8)	1.198874(−8)	1.854652(−7)	1.9057(−9)	6.2444(−5)
0.8	2.222250(−9)	2.699583(−8)	1.076599(−8)	1.665493(−7)	1.7113(−9)	5.6076(−5)
0.9	1.898170(−9)	2.305891(−8)	9.195954(−8)	1.422609(−7)	1.4617(−9)	4.7899(−5)
1.0	1.493445(−9)	1.814234(−8)	7.235207(−8)	1.119283(−7)	1.1501(−9)	3.7686(−5)



**Figure 1.** (a) Approximate solution of GLM (circle) and exact solution (line) at  $t = 1.0$ ; (b) 3D-plot of GLM for Problem 1.



**Figure 2.** (a) Graph of Log (Error) versus  $r$  at  $t = 0.5$  for left bound of solutions; (b) Graph of Log (Error) versus  $r$  at  $t = 0.5$  for right bound of solutions for Problem 1.

8.2. Problem 2

Consider the following FVIDEs (see [12])

$$y'(t) = C + \int_0^t y(s;r)ds,$$

$$C = [r - 1, 1 - r], \quad y(0) = [0, 0], \quad 0 \leq s \leq t \leq 1.$$

The equivalent system of ODEs based on (i)-differentiability:

$$(y^-)'(t;r) = (r - 1) + \int_0^t y^-(s;r)ds, \quad y^-(0;r) = 0,$$

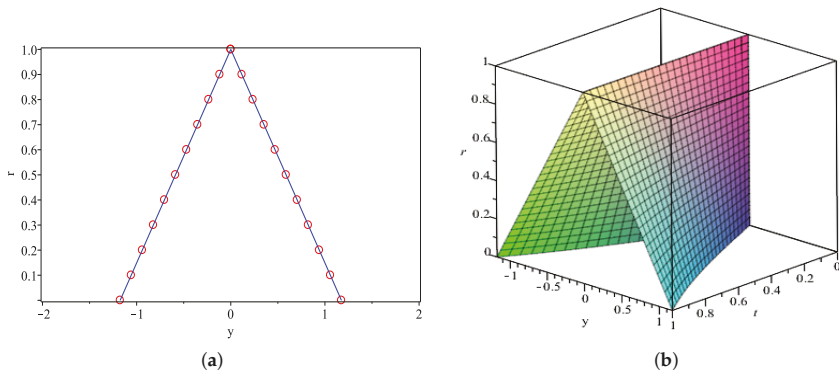
$$(y^+)'(t;r) = (1 - r) + \int_0^t y^+(s;r)ds, \quad y^+(0;r) = 0.$$

Exact solutions :

$$\tilde{Y}^-(t;r) = (r - 1) \sinh(t),$$

$$\tilde{Y}^+(t;r) = (1 - r) \sinh(t).$$

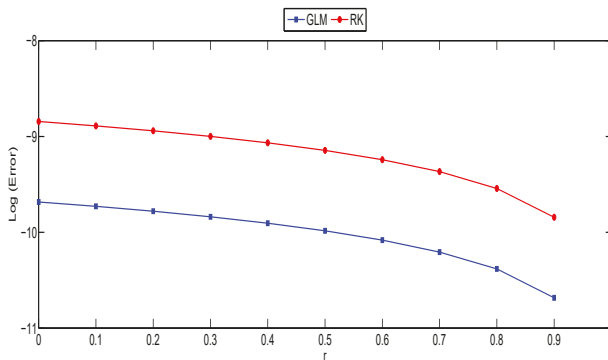
For Problem 2, the graph of approximate solutions and 3D-plot of GLM are represented in Figure 3. Table 4 and Figure 4, show the numerical results using GLM compared with RK method.



**Figure 3.** (a) Approximate solution of GLM (circle) and exact solution (line) at  $t = 1.0$ ; (b) 3D-plot of GLM for Problem 2.

**Table 4.** Comparison between GLM and RK for solving Problem 2.

GLM		RK		
$r$	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$
0.0	2.069650(−10)	8.391900(−10)	1.431575(−9)	6.462170(−9)
0.1	1.862700(−10)	7.552900(−10)	1.288422(−9)	5.815940(−9)
0.2	1.655720(−10)	6.713560(−10)	1.145253(−9)	5.169731(−9)
0.3	1.655720(−10)	5.874450(−10)	1.145253(−9)	4.523523(−9)
0.4	1.241780(−10)	5.035170(−10)	8.589440(−10)	3.877302(−9)
0.5	1.034820(−10)	4.195950(−10)	7.157840(−10)	3.231079(−9)
0.6	8.278600(−11)	3.356810(−10)	5.726270(−10)	2.584868(−9)
0.7	6.208900(−11)	2.517620(−10)	4.294700(−10)	1.938651(−9)
0.8	4.139400(−11)	1.678420(−10)	2.863150(−10)	1.292426(−9)
0.9	2.069650(−11)	8.391900(−10)	1.431575(−10)	6.462170(−9)
1.0	0	0	0	0
$r$	$E^+(t = 0.5; r)$	$E^+(t = 1; r)$	$E^+(t = 0.5; r)$	$E^+(t = 1; r)$
0.0	2.069650(−10)	8.391900(−10)	1.431575(−9)	6.462170(−9)
0.1	1.862700(−10)	7.552900(−10)	1.288422(−9)	5.815940(−9)
0.2	1.655720(−10)	6.713560(−10)	1.145253(−9)	5.169731(−9)
0.3	1.448800(−10)	5.874450(−10)	1.002105(−9)	4.523523(−9)
0.4	1.241780(−10)	5.035170(−10)	8.589440(−10)	3.877302(−9)
0.5	1.034820(−10)	4.195950(−10)	7.157840(−10)	3.231079(−9)
0.6	8.278600(−11)	3.356810(−10)	5.726270(−10)	2.584868(−9)
0.7	6.208900(−11)	2.517620(−10)	4.294700(−10)	1.938651(−9)
0.8	4.139400(−11)	1.678420(−10)	2.863150(−10)	1.292426(−9)
0.9	2.069650(−11)	8.391900(−10)	1.431575(−10)	6.462170(−9)
1.0	0	0	0	0



**Figure 4.** Graph of Log (Error) versus  $r$  at  $t = 0.5$  for left bound of solutions and right bound of solutions for Problem 2.

8.3. Problem 3

Consider the following FVIDEs (see [23])

$$y'(t) = C + \int_0^t (-y(s)) ds,$$

$$C = [2(r - 2) \sin(t), 2(2 - 3r) \sin(t)], \quad y(0) = [3r - 2, 2 - r], \quad 0 \leq t \leq 1.$$

The equivalent system of ODEs based on (i)-differentiability:

$$(y^-)'(t; r) = 2(r - 2) \sin(t) + \int_0^1 (-1)y^+(s; r)ds, \quad y^-(0; r) = 3r - 2,$$

$$(y^+)'(t; r) = 2(2 - 3r) \sin(t) + \int_0^1 (-1)y^-(s; r)ds, \quad y^+(0; r) = 2 - r.$$

Exact solutions based on (i)-differentiability:

$$\tilde{Y}^-(t; r) = -rt \sin(t) + (2 - r) \cos(t) + 2(r - 1)(\exp(t) + \exp(-t)),$$

$$\tilde{Y}^+(t; r) = -rt \sin(t) + (3r - 2) \cos(t) + 2(1 - r)(\exp(t) + \exp(-t)).$$

The equivalent system of ODEs based on (ii)-differentiability:

$$(y^-)'(t; r) = 2(2 - 3r) \sin(t) + \int_0^1 (-1)y^-(s; r)ds, \quad y^-(0; r) = 3r - 2,$$

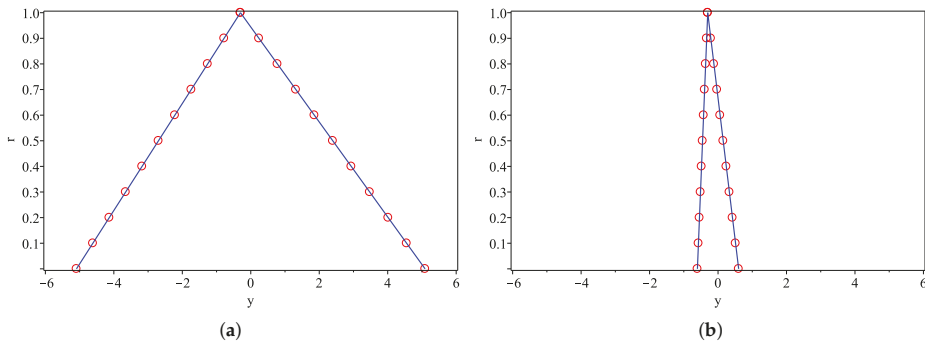
$$(y^+)'(t; r) = 2(r - 2) \sin(t) + \int_0^1 (-1)y^+(s; r)ds, \quad y^+(0; r) = 2 - r.$$

Exact solutions based on (ii)-differentiability:

$$\tilde{Y}^-(t; r) = (3r - 2)(\cos(t) - t \sin(t)),$$

$$\tilde{Y}^+(t; r) = (2 - r)(\cos(t) - t \sin(t)).$$

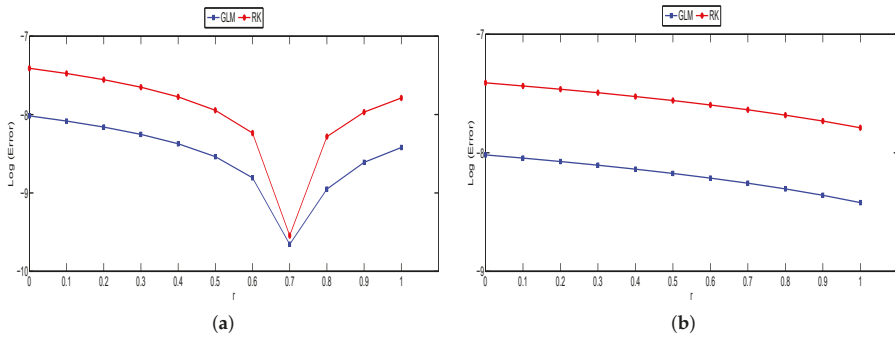
For Problem 3, the graph of approximate solution compared with exact solution is given in Figure 5. Also the numerical results of GLM are compared with RK method using the both types of differentiabilitys. The comparison of obtained results based on type (i)-differentiability are presented in Table 5 and Figure 6 whereas the results obtained based on type (ii)-differentiability are given in Table 6 and Figure 7. 3D-plots of GLM based on types (i) and (ii)-differentiability are shown in Figure 8.



**Figure 5.** (a) Approximate solution of GLM (circle) and exact solution (line) at  $t = 1.0$  using type (i)-differentiability; (b) Approximate solution of GLM (circle) and exact solution (line) at  $t = 1.0$  using type (ii)-differentiability for Problem 3.

**Table 5.** Comparison between GLM and RK for solving Problem 3 based on (i)-differentiability.

$r$	GLM		RK	
	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$
0.0	9.591260(-9)	1.703350(-8)	3.884529(-8)	8.745231(-8)
0.1	8.252610(-9)	1.499912(-8)	3.333684(-8)	7.665465(-8)
0.2	6.913930(-9)	1.296471(-8)	2.782840(-8)	6.585705(-8)
0.3	5.575350(-9)	1.093031(-8)	2.231994(-8)	5.505935(-8)
0.4	4.236740(-9)	8.895910(-9)	1.681141(-8)	4.426157(-8)
0.5	2.898090(-9)	6.861520(-9)	1.130299(-8)	3.346385(-8)
0.6	1.559467(-9)	4.827130(-9)	5.794547(-9)	2.266616(-8)
0.7	2.208310(-10)	2.792740(-9)	2.860890(-10)	1.186848(-8)
0.8	1.117786(-9)	7.583400(-9)	5.222359(-9)	1.070790(-8)
0.9	2.456414(-9)	1.276027(-9)	1.073082(-8)	9.726932(-8)
1.0	3.795042(-9)	3.310421(-9)	1.623928(-8)	2.052464(-8)
$r$	$E^+(t = 0.5; r)$	$E^+(t = 1; r)$	$E^+(t = 0.5; r)$	$E^+(t = 1; r)$
0.0	9.591260(-9)	1.703350(-8)	3.884529(-8)	8.745231(-8)
0.1	9.011600(-9)	1.566117(-8)	3.658467(-8)	8.075957(-8)
0.2	8.431990(-9)	1.428888(-8)	3.432415(-8)	7.406693(-8)
0.3	7.852400(-9)	1.291656(-8)	3.206350(-8)	6.737409(-8)
0.4	7.272740(-9)	1.154423(-8)	2.980293(-8)	6.068132(-8)
0.5	6.693130(-9)	1.017195(-8)	2.754225(-8)	5.398850(-8)
0.6	6.113500(-9)	8.799650(-9)	2.528165(-8)	4.729569(-8)
0.7	5.533890(-9)	7.427330(-9)	2.302113(-8)	4.060305(-8)
0.8	4.954250(-9)	6.054989(-9)	2.076049(-8)	3.391018(-8)
0.9	4.374664(-9)	4.682732(-9)	1.849986(-8)	2.721740(-8)
1.0	3.795042(-9)	3.310421(-9)	1.623928(-8)	2.052464(-8)

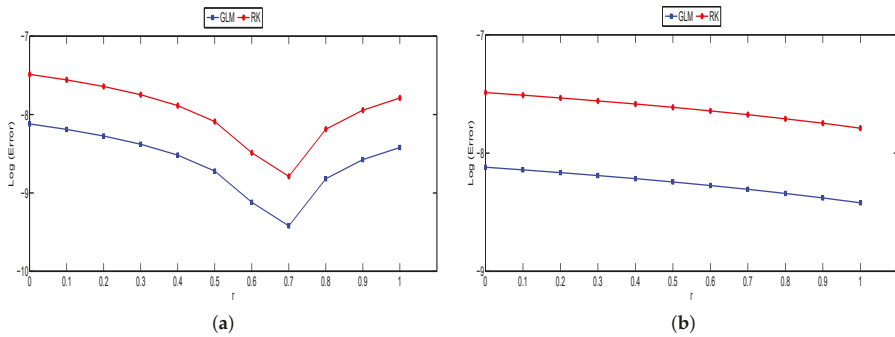


**Figure 6.** (a) Graph of Log (Error) versus  $r$  at  $t = 0.5$  for left bound of solutions using type (i)-differentiability; (b) Graph of Log (Error) versus  $r$  at  $t = 0.5$  for right bound of solutions using type (i)-differentiability for Problem 3.

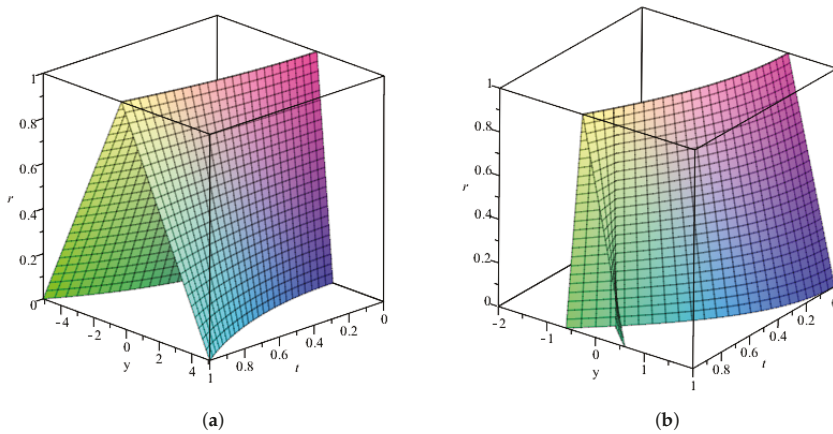


**Table 6.** Comparison between GLM and RK for solving Problem 3 based on (ii)-differentiability.

$r$	GLM		RK	
	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$	$E^-(t = 0.5; r)$	$E^-(t = 1; r)$
0.0	7.590100(-9)	6.620856(-9)	3.247856(-8)	4.104928(-8)
0.1	6.451570(-9)	5.627722(-9)	2.760677(-8)	3.489188(-8)
0.2	5.313055(-9)	4.634590(-9)	2.273503(-8)	2.873452(-8)
0.3	4.174528(-9)	3.641453(-9)	1.786324(-8)	2.257713(-8)
0.4	3.036036(-9)	2.648343(-9)	1.299143(-8)	1.641972(-8)
0.5	1.897518(-9)	1.655208(-9)	8.119643(-9)	1.026232(-8)
0.6	7.590100(-10)	6.620856(-10)	3.247856(-9)	4.104928(-9)
0.7	3.795042(-10)	3.310421(-10)	1.623928(-9)	2.052464(-9)
0.8	1.518015(-9)	1.324166(-9)	6.495721(-9)	8.209863(-8)
0.9	2.656530(-9)	2.317297(-9)	1.136750(-8)	1.436725(-8)
1.0	3.795042(-9)	3.310421(-9)	1.623928(-8)	2.052464(-8)
$r$	$E^+(t = 0.5; r)$	$E^+(t = 1; r)$	$E^+(t = 0.5; r)$	$E^+(t = 1; r)$
0.0	7.590100(-9)	6.620856(-9)	3.247856(-8)	4.104928(-8)
0.1	7.210590(-9)	6.289804(-9)	3.085463(-8)	3.899682(-8)
0.2	6.831070(-9)	5.958752(-9)	2.923064(-8)	3.694430(-8)
0.3	6.451570(-9)	5.627722(-9)	2.760677(-8)	3.489188(-8)
0.4	6.072060(-9)	5.296667(-9)	2.598287(-8)	3.283943(-8)
0.5	5.692550(-9)	4.965625(-9)	2.435890(-8)	3.078694(-8)
0.6	5.313055(-9)	4.634590(-9)	2.273503(-8)	2.873452(-8)
0.7	4.933553(-9)	4.303548(-9)	2.111106(-8)	2.668203(-8)
0.8	4.554036(-9)	3.972496(-9)	1.948713(-8)	2.462956(-8)
0.9	4.174528(-9)	3.641453(-9)	1.786324(-8)	2.257713(-8)
1.0	3.795042(-9)	3.310421(-9)	1.623928(-8)	2.052464(-8)



**Figure 7.** (a) Graph of Log (Error) versus  $r$  at  $t = 0.5$  for left bound of solutions using type (ii)-differentiability; (b) Graph of Log (Error) versus  $r$  at  $t = 0.5$  for right bound of solutions using type (ii)-differentiability for Problem 3.



**Figure 8.** (a) 3D-plot of GLM using type (i)-differentiability for Problem 3; (b) 3D-plot of GLM using type (ii)-differentiability for Problem 3.

## 9. Discussion and Conclusions

Using the fuzzy GLM and fuzzy RK derived in this paper, the three FVIDEs given in Problems 1–3 are solved and the numerical results are shown. In addition, the obtained numerical results are compared with two other existing methods, variational iteration method and homotopy perturbation method, for Problem 1 at  $t = 0.5$ .

For Problem 1, in Table 3, it is observed that the left bound of errors at  $t = 0.5$  obtained by the fuzzy GLM is competitive with the fuzzy RK for  $r = 0.2, 0.9, 1.0$ . At  $t = 1$ , the left bound errors from the fuzzy GLM is comparable with fuzzy RK when  $r = 0.1, 0.7, 0.8, 0.9$ . However, for the rest of errors fuzzy GLM achieved better accuracy compared to fuzzy RK. Moreover, the errors for the right bound of fuzzy GLM at both  $t = 0.5$  and  $t = 1$  are found to be one decimal place better than the fuzzy RK method. Meanwhile, the results acquired by GLM are almost the same with the results acquired by VIM. In comparison between GLM and HPM, GLM clearly outperformed the HPM.

For Problem 2, in Table 4, the fuzzy GLM is competitive with the fuzzy RK only when  $r = 0.4$  and  $r = 0.5$ , though for the rest of  $r$ -levels the fuzzy GLM outperformed the fuzzy RK again by one decimal place better. For Problem 3, both types of differentiability are applied to solve this problem. In Table 5 by using type (i)-differentiability, there are some competitive results between the fuzzy GLM and fuzzy RK. However, in Table 6 by using type (ii)-differentiability, for almost all  $r$ -levels the fuzzy GLM gave more accurate results than the fuzzy RK.

Graphical illustrations of approximated solutions by fuzzy GLM in comparison with the exact solutions and 3D-plots of GLM for solving FVIDEs are presented in Figures 1, 3, 5 and 8. The graphs shown that the GLM performed the accurate results. Moreover, in Figure 5b the approximate solutions of GLM based on type (ii)-differentiability showed smaller bound compared to the solutions based on type (i)-differentiability in Figure 5a. Considering that there exists a negative function in Problem 3, therefore the (ii)-differentiability approach is preferred. Graphs of comparison in terms of errors at  $t = 0.5$  between fuzzy GLM and other methods are showed as well. The fuzzy GLM is seen competitive with the VIM in Figure 2 meanwhile from Figures 4, 6, and 7, the fuzzy GLM is the more accurate method than HPM and RK3.

In conclusion, the fuzzy GLM combined with Simpson's II method and Lagrange interpolation polynomials is an efficient numerical method for solving FVIDEs.

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**Conflicts of Interest:** We declare that there does not exist any conflict of interest regarding this paper.

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Article

# Upper Bound of the Third Hankel Determinant for a Subclass of $q$ -Starlike Functions

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**Abstract:** The main purpose of this article is to find the upper bound of the third Hankel determinant for a family of  $q$ -starlike functions which are associated with the Ruscheweyh-type  $q$ -derivative operator. The work is motivated by several special cases and consequences of our main results, which are pointed out herein.

**Keywords:** analytic functions; Hadamard product; starlike functions;  $q$ -derivative (or  $q$ -difference) operator; Hankel determinant;  $q$ -starlike functions

**MSC:** Primary 05A30, 30C45; Secondary 11B65, 47B38

## 1. Introduction

We denote by  $\mathcal{A}(\mathbb{U})$  the class of functions which are analytic in the open unit disk

$$\mathbb{U} = \{z : z \in \mathbb{C} \quad \text{and} \quad |z| < 1\},$$

where  $\mathbb{C}$  is the complex plane. Let  $\mathcal{A}$  be the class of analytic functions having the following normalized form:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (\forall z \in \mathbb{U}) \quad (1)$$

in the open unit disk  $\mathbb{U}$ , centered at the origin and normalized by the conditions given by

$$f(0) = 0 \quad \text{and} \quad f'(0) = 1.$$

In addition, let  $\mathcal{S} \subset \mathcal{A}$  be the class of functions which are univalent in  $\mathbb{U}$ . The class of starlike functions in  $\mathbb{U}$  will be denoted by  $\mathcal{S}^*$ , which consists of normalized functions  $f \in \mathcal{A}$  that satisfy the following inequality:

$$\Re \left( \frac{zf'(z)}{f(z)} \right) > 0, \quad (\forall z \in \mathbb{U}). \quad (2)$$

If two functions  $f$  and  $g$  are analytic in  $\mathbb{U}$ , we say that the function  $f$  is subordinate to  $g$  and write in the form:

$$f \prec g \quad \text{or} \quad f(z) \prec g(z),$$

if there exists a Schwarz function  $w$  which is analytic in  $\mathbb{U}$ , with

$$w(0) = 0 \quad \text{and} \quad |w(z)| < 1,$$

such that

$$f(z) = g(w(z)).$$

In particular, if the function  $g$  is univalent in  $\mathbb{U}$ , then it follows that (cf., e.g., [1]; see also [2])

$$f(z) \prec g(z) \quad (z \in \mathbb{U}) \Rightarrow f(0) = g(0) \quad \text{and} \quad f(\mathbb{U}) \subset g(\mathbb{U}).$$

Moreover, for two analytic functions  $f$  and  $g$  given by

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (\forall z \in \mathbb{U})$$

and

$$g(z) = z + \sum_{n=2}^{\infty} b_n z^n \quad (\forall z \in \mathbb{U}),$$

the convolution (or the Hadamard product) of  $f$  and  $g$  is defined as follows:

$$f(z) * g(z) = z + \sum_{n=2}^{\infty} a_n b_n z^n.$$

We next denote by  $\mathcal{P}$  the class of analytic functions  $p$  which are normalized by

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n, \tag{3}$$

such that

$$\Re(p(z)) > 0 \quad (z \in \mathbb{U}).$$

We now recall some essential definitions and concept details of the basic or quantum ( $q$ -) calculus, which are used in this paper. We suppose throughout the paper that  $0 < q < 1$  and that

$$\mathbb{N} = \{1, 2, 3, \dots\} = \mathbb{N}_0 \setminus \{0\} \quad (\mathbb{N}_0 = \{0, 1, 2, 3, \dots\}).$$

**Definition 1.** Let  $q \in (0, 1)$  and define the  $q$ -number  $[\lambda]_q$  by

$$[\lambda]_q = \begin{cases} \frac{1 - q^\lambda}{1 - q} & (\lambda \in \mathbb{C}) \\ \sum_{k=0}^{n-1} q^k = 1 + q + q^2 + \dots + q^{n-1} & (\lambda = n \in \mathbb{N}). \end{cases}$$

**Definition 2.** Let  $q \in (0, 1)$  and define the  $q$ -factorial  $[n]_q!$  by

$$[n]_q! = \begin{cases} 1 & (n = 0) \\ \prod_{k=1}^{n-1} [k]_q & (n \in \mathbb{N}). \end{cases}$$

**Definition 3.** Let  $q \in (0, 1)$  and define the generalized  $q$ -Pochhammer symbol  $[\lambda]_{q,n}$  by

$$[\lambda]_{q,n} = \begin{cases} 1 & (n = 0) \\ \prod_{k=0}^n [\lambda + k]_q & (n \in \mathbb{N}). \end{cases}$$

**Definition 4.** For  $\omega > 0$ , let the  $q$ -gamma function  $\Gamma_q(\omega)$  be defined by

$$\Gamma_q(\omega + 1) = [\omega]_q \Gamma_q(\omega) \quad \text{and} \quad \Gamma_q(1) := 1.$$

**Definition 5.** (see [3,4]) The  $q$ -derivative (or the  $q$ -difference) operator  $D_q$  of a function  $f$  in a given subset of  $\mathbb{C}$  is defined by

$$(D_q f)(z) = \begin{cases} \frac{f(z) - f(qz)}{(1-q)z} & (z \neq 0) \\ f'(0) & (z = 0), \end{cases} \quad (4)$$

provided that  $f'(0)$  exists.

We note from Definition 5 that

$$\lim_{q \rightarrow 1^-} (D_q f)(z) = \lim_{q \rightarrow 1^-} \frac{f(qz) - f(z)}{(1-q)z} = f'(z),$$

for a differentiable function  $f$  in a given subset of  $\mathbb{C}$ . It is readily deduced from (1) and (4) that

$$(D_q f)(z) = 1 + \sum_{n=2}^{\infty} [n]_q a_n z^{n-1}. \quad (5)$$

The operator  $D_q$  plays a vital role in the investigation and study of numerous subclasses of the class of analytic functions of the form given in Definition 5. A  $q$ -extension of the class of starlike functions was first introduced in [5] by using the  $q$ -derivative operator (see Definition 6 below). A background of the usage of the  $q$ -calculus in the context of Geometric Function Theory was actually provided and the basic (or  $q$ -) hypergeometric functions were first used in Geometric Function Theory by Srivastava (see, for details, [6]). Some recent investigations associated with the  $q$ -derivative operator  $D_q$  in analytic function theory can be found in [7–13] and the references cited therein.

**Definition 6.** (see [5]) A function  $f \in \mathcal{A}(\mathbb{U})$  is said to belong to the class  $\mathcal{S}_q^*$  if

$$f(0) = f'(0) - 1 = 0 \quad (6)$$

and

$$\left| \frac{z}{f(z)} (D_q f)(z) - \frac{1}{1-q} \right| \leq \frac{1}{1-q} \quad (\forall z \in \mathbb{U}). \quad (7)$$

The notation  $\mathcal{S}_q^*$  was first used by Sahoo et al. (see [14]).

It is readily observed that, as  $q \rightarrow 1-$ , the closed disk given

$$\left| w - \frac{1}{1-q} \right| \leq \frac{1}{1-q}$$

becomes the right-half plane and the class  $\mathcal{S}_q^*$  reduces to  $\mathcal{S}^*$ . Equivalently, by using the principle of subordination between analytic functions, we can rewrite the conditions in (6) and (7) as follows (see [15]):

$$\frac{z}{f(z)} (D_q f)(z) \prec \hat{p} \quad \left( \hat{p} = \frac{1+z}{1-qz} \right).$$

**Definition 7.** (see [16]) For a function  $f \in \mathcal{A}(\mathbb{U})$ , the Ruscheweyh-type  $q$ -derivative operator is defined as follows:

$$\mathcal{R}_q^\delta f(z) = \phi(q, \delta + 1; z) * f(z) = z + \sum_{n=2}^\infty \psi_{n-1} a_n z^n \quad (z \in \mathbb{U}; \delta > -1), \tag{8}$$

where

$$\phi(q, \delta + 1; z) = z + \sum_{n=2}^\infty \psi_{n-1} z^n \tag{9}$$

and

$$\psi_{n-1} = \frac{\Gamma_q(\delta + n)}{[n-1]_q! \Gamma_q(\delta + 1)} = \frac{[n+1]_{n-1,q}}{[n-1]_q!}. \tag{10}$$

From (8) it can be seen that

$$\mathcal{R}_q^0 f(z) = f(z) \quad \text{and} \quad \mathcal{R}_q^1 f(z) = z D_q f(z),$$

$$\mathcal{R}_q^m f(z) = \frac{z D_q^m f(z) (z^{m-1} f(z))}{[m]_q!} \quad (m \in \mathbb{N}),$$

$$\lim_{q \rightarrow 1-} \phi(q, \delta + 1; z) = \frac{z}{(1-z)^{\delta+1}}$$

and

$$\lim_{q \rightarrow 1-} \mathcal{R}_q^\delta f(z) = f(z) * \frac{z}{(1-z)^{\delta+1}}.$$

This shows that, in case of  $q \rightarrow 1-$ , the Ruscheweyh-type  $q$ -derivative operator reduces to the Ruscheweyh derivative operator  $D^\delta f(z)$  (see [17]). From (8) the following identity can easily be derived:

$$z D_q \mathcal{R}_q^\delta f(z) = \left( 1 + \frac{[\delta]_q}{q^\delta} \right) \mathcal{R}_q^{\delta+1} f(z) - \frac{[\delta]_q}{q^\delta} \mathcal{R}_q^\delta f(z). \tag{11}$$

If  $q \rightarrow 1-$ , then

$$z \left( \mathcal{R}^\delta f(z) \right)' = (1 + \delta) \mathcal{R}^{\delta+1} f(z) - \delta \mathcal{R}^\delta f(z).$$

Now, by using the Ruscheweyh-type  $q$ -derivative operator, we define the following class of  $q$ -starlike functions.

**Definition 8.** For  $f \in \mathcal{A}(\mathbb{U})$ , we say that  $f$  belongs to the class  $\mathcal{RS}_q^*(\delta)$  if the following inequality holds true:

$$\left| \frac{z D_q \mathcal{R}_q^\delta f(z)}{f(z)} - \frac{1}{1-q} \right| \leq \frac{1}{1-q} \quad (z \in \mathbb{U}; \delta > -1)$$



or, equivalently, we have (see [15])

$$\frac{zD_q \mathcal{R}_q^\delta f(z)}{f(z)} \prec \frac{1+z}{1-qz} \tag{12}$$

by using the principle of subordination.

Let  $n \geq 0$  and  $j \geq 1$ . The  $j$ th Hankel determinant is defined as follows:

$$\mathcal{H}_j(n) = \begin{vmatrix} a_n & a_{n+1} & \cdot & \cdot & \cdot & a_{n+j-1} \\ a_{n+1} & \cdot & & & & \cdot \\ \cdot & \cdot & & & & \cdot \\ \cdot & \cdot & & & & \cdot \\ \cdot & \cdot & & & & \cdot \\ a_{n+j-1} & \cdot & \cdot & \cdot & \cdot & a_{n+2(j-1)} \end{vmatrix}$$

The above Hankel determinant has been studied by several authors. In particular, sharp upper bounds on  $\mathcal{H}_2(2)$  were obtained by several authors (see, for example, [18–21]) for various classes of normalized analytic functions. It is well-known that the Fekete-Szegő functional  $|a_3 - a_2^2| = \mathcal{H}_2(1)$ . This functional is further generalized as  $|a_3 - \mu a_2^2|$  for some real or complex  $\mu$ . In fact, Fekete and Szegő gave sharp estimates of  $|a_3 - \mu a_2^2|$  for real  $\mu$  and  $f \in \mathcal{S}$ , the class of normalized univalent functions in  $\mathbb{U}$ . It is also known that the functional  $|a_2 a_4 - a_3^2|$  is equivalent to  $\mathcal{H}_2(2)$ . Babalola [22] studied the Hankel determinant  $\mathcal{H}_3(1)$  for some subclasses of analytic functions. In the present investigation, our focus is on the Hankel determinant  $\mathcal{H}_3(1)$  for the above-defined function class  $\mathcal{R}\mathcal{S}_q^*(\delta)$ .

**2. A Set of Lemmas**

Each of the following lemmas will be needed in our present investigation.

**Lemma 1.** (see [23]) *Let*

$$p(z) = 1 + c_1z + c_2z^2 + \dots$$

*be in the class  $\mathcal{P}$  of functions with positive real part in  $\mathbb{U}$ . Then, for any complex number  $v$ ,*

$$|c_2 - v c_1^2| \leq \begin{cases} -4v + 2 & (v \leq 0) \\ 2 & (0 \leq v \leq 1) \\ 4v - 2 & (v \geq 1). \end{cases} \tag{13}$$

*When  $v < 0$  or  $v > 1$ , the equality holds true in (13) if and only if*

$$p(z) = \frac{1+z}{1-z}$$

*or one of its rotations. If  $0 < v < 1$ , then the equality holds true in (13) if and only if*

$$p(z) = \frac{1+z^2}{1-z^2}$$

*or one of its rotations. If  $v = 0$ , the equality holds true in (13) if and only if*

$$p(z) = \left(\frac{1+\rho}{2}\right) \frac{1+z}{1-z} + \left(\frac{1-\rho}{2}\right) \frac{1-z}{1+z} \quad (0 \leq \rho \leq 1)$$

*or one of its rotations. If  $v = 1$ , then the equality in (13) holds true if  $p(z)$  is a reciprocal of one of the functions such that the equality holds true in the case when  $v = 0$ .*

**Lemma 2.** (see [24,25]) Let

$$p(z) = 1 + p_1z + p_2z^2 + \dots$$

be in the class  $\mathcal{P}$  of functions with positive real part in  $\mathbb{U}$ . Then

$$2p_2 = p_1^2 + x(4 - p_1^2)$$

for some  $x, |x| \leq 1$  and

$$4p_3 = p_1^3 + 2(4 - p_1^2)p_1x - (4 - p_1^2)p_1x^2 + 2(4 - p_1^2)(1 - |x|^2)z$$

for some  $z (|z| \leq 1)$ .

**Lemma 3.** (see [26]) Let

$$p(z) = 1 + p_1z + p_2z^2 + \dots$$

be in the class  $\mathcal{P}$  of functions positive real part in  $\mathbb{U}$ . Then

$$|p_k| \leq 2 \quad (k \in \mathbb{N})$$

and the inequality is sharp.

### 3. Main Results

In this section, we will prove our main results. Throughout our discussion, we assume that

$$q \in (0, 1) \quad \text{and} \quad \delta > -1.$$

Our first main result is stated as follows.

**Theorem 1.** Let  $f \in \mathcal{RS}_q^*(\delta)$  be of the form (1). Then

$$|a_3 - \mu a_2^2| \leq \begin{cases} \frac{(1+q+q^2)\psi_1^2 - \mu(1+q)^2\psi_2}{q^2\psi_2\psi_1^2} & \left(\mu < \frac{(q^2+1)\psi_1^2}{(1+q)^2\psi_2}\right) \\ \frac{1}{q\psi_2} & \left(\frac{(q^2+1)\psi_1^2}{(1+q)^2\psi_2} \leq \mu \leq \frac{\psi_1^2}{\psi_2}\right) \\ \frac{\mu(1+q)^2\psi_2 - (1+q+q^2)\psi_1^2}{q^2\psi_2\psi_1^2} & \left(\mu > \frac{\psi_1^2}{\psi_2}\right), \end{cases}$$

where  $\psi_{n-1}$  is given by (10).

It is also asserted that, for

$$\frac{(q^2+1)\psi_1^2}{(1+q)^2\psi_2} \leq \mu \leq \frac{(1+q+q^2)\psi_1^2}{(1+q)^2\psi_2},$$

$$|a_3 - \mu a_2^2| + \left(\mu - \frac{(q^2+1)\psi_1^2}{(1+q)^2\psi_2}\right) |a_2|^2 \leq \frac{1}{q\psi_2}$$

and that, for

$$\frac{(1+q+q^2)\psi_1^2}{(1+q)^2\psi_2} \leq \mu \leq \frac{\psi_1^2}{\psi_2},$$

$$|a_3 - \mu a_2^2| + \left( \frac{\psi_1^2 - \mu \psi_2}{\psi_2} \right) |a_2|^2 \leq \frac{1}{q \psi_2}.$$

**Proof.** If  $f \in \mathcal{RS}_q^*(\delta)$ , then it follows from (12) that

$$\frac{z D_q \mathcal{R}_q^\delta f(z)}{f(z)} \prec \phi(z), \tag{14}$$

where

$$\phi(z) = \frac{1+z}{1-qz}.$$

We define a function  $p(z)$  by

$$p(z) = \frac{1+w(z)}{1-w(z)} = 1 + p_1z + p_2z^2 + p_3z^3 + \dots.$$

It is clear that  $p \in \mathcal{P}$ . From the above equation, we have

$$w(z) = \frac{p(z) - 1}{p(z) + 1}.$$

From (14), we find that

$$\frac{z D_q \mathcal{R}_q^\delta f(z)}{f(z)} = \phi(w(z)),$$

together with

$$\phi(w(z)) = \frac{2p(z)}{(1-q)p(z) + 1 + q}.$$

Now

$$\begin{aligned} & \frac{2p(z)}{(1-q)p(z) + 1 + q} \\ &= 1 + \frac{1}{2}(1+q)p_1z + \left\{ \frac{1}{2}(q+1)p_2 - \frac{1}{4}(1-q^2)p_1^2 \right\} z^2 \\ &+ \left\{ \frac{1}{2}(1+q)p_3 - \frac{1}{2}(1-q^2)p_1p_2 + \frac{1}{8}(1+q)(1-q)^2p_1^3 \right\} z^3 \\ &+ \left\{ \frac{1}{2}(1+q)p_4 - \frac{1}{4}(1-q^2)p_2^2 - \frac{1}{2}(1-q^2)p_1p_3 \right. \\ &\left. + \frac{3}{8}(1+q)(q-1)^2p_1^2p_2 + \frac{1}{16}(1+q)(1-q)^3p_1^4 \right\} z^4 + \dots \end{aligned}$$

Similarly, we get

$$\begin{aligned} \frac{z D_q \mathcal{R}_q^\delta f(z)}{\mathcal{R}_q^\delta f(z)} &= 1 + qa_2\psi_1z + \left\{ (q+q^2)\psi_2a_3 - q\psi_1^2a_2^2 \right\} z^2 + \left\{ (q+q^2+q^3)\psi_3a_4 \right. \\ &- \left. (2q+q^2)\psi_1\psi_2a_2a_3 + q\psi_1^3a_2^3 \right\} z^3 + \left\{ (q+q^2+q^3+q^4)\psi_5a_5 \right. \\ &- \left. (2q+q^2+q^3)\psi_2\psi_3a_2a_4 - (q+q^2)\psi_2^2a_3^2 \right. \\ &\left. + (3q+q^2)\psi_1^2\psi_2a_2^2a_3 - q\psi_1^4a_2^4 \right\} z^4 + \dots, \end{aligned}$$

Therefore, we have

$$a_2 = \frac{(1+q)}{2q\psi_1} p_1, \quad (15)$$

$$a_3 = \frac{1}{2q\psi_2} p_2 + \frac{(q^2+1)}{4q^2\psi_2} p_1^2 \quad (16)$$

and

$$\begin{aligned} a_4 = & \frac{(1+q)}{2q(1+q+q^2)\psi_3} p_3 - \frac{(1+q)(q-2)(2q+1)}{4q^2(1+q+q^2)\psi_3} p_1 p_2 \\ & + \frac{(1+q)(q^2+1)(q^2-q+1)}{8q^3(1+q+q^2)\psi_3} p_1^3. \end{aligned} \quad (17)$$

We thus obtain

$$\left| a_3 - \mu a_2^2 \right| = \frac{1}{2q\psi_2} \left| p_2 - \left( \frac{\mu(1+q)^2\psi_2 - (1+q^2)\psi_1^2}{2q\psi_1^2} \right) p_1^2 \right|. \quad (18)$$

Finally, by applying Lemma 1 and Equation (13) in conjunction with (18), we obtain the result asserted by Theorem 1.  $\square$

We now state and prove Theorem 2 below.

**Theorem 2.** Let  $f \in \mathcal{RS}_q^*(\delta)$  be of the form (1). Then

$$\left| a_2 a_4 - a_3^2 \right| \leq \frac{1}{q^2 \psi_2^2}.$$

**Proof.** From (15)–(17), we obtain

$$\begin{aligned} a_2 a_4 - a_3^2 = & \left( \frac{(1+q)^2}{4q^2(1+q+q^2)\psi_1\psi_3} \right) p_1 p_3 - \left( \frac{(1+q)^2(q-2)(2q+1)}{8q^3(1+q+q^2)\psi_1\psi_3} + \frac{(q^2+1)}{4q^3\psi_2^2} \right) p_1^2 p_2 \\ & - \left( \frac{1}{4q^2\psi_2^2} \right) p_2^2 + \left( -\frac{(q^2+1)^2}{16q^4\psi_2^2} + \frac{(1+q)^2(q^2+1)(q^2-q+1)}{16q^3(1+q+q^2)\psi_1\psi_3} \right) p_1^4. \end{aligned}$$

By using Lemma 2, we have

$$\begin{aligned} a_2 a_4 - a_3^2 = & \left( \frac{(1+q)^2(q^2+1)(q^2-q+1)}{16q^3(1+q+q^2)\psi_1\psi_3} - \frac{(q^2+1)^2}{16q^4\psi_2^2} \right) p_1^4 \\ & + \left( \frac{(1+q)^2}{16q^2(1+q+q^2)\psi_1\psi_3} \right) p_1 \left\{ p_1^3 + 2p_1(4-p_1^2)x \right. \\ & \left. - p_1(4-p_1^2)x^2 + 2(4-p_1^2)(1-|x|^2)z \right\} + \left( \frac{(q^2+1)}{8q^3\psi_2^2} \right. \\ & \left. - \frac{(1+q)^2(q-2)(2q+1)}{16q^3(1+q+q^2)\psi_1\psi_3} \right) p_1^2 \left\{ (p_1^2 + (4-p_1^2)x) \right\} \\ & - \left( \frac{1}{16q^2\psi_2^2} \right) \left\{ p_1^4 + (4-p_1^2)^2 x^2 + 2p_1^2(4-p_1^2)x \right\}. \end{aligned}$$

Now, taking the moduli and replacing  $|x|$  by  $\rho$  and  $p_1$  by  $p$ , we have

$$\begin{aligned} |a_2 a_4 - a_3^2| &\leq \frac{1}{\Lambda(q)} \left[ \omega(q) p^4 + 2q(1+q)^2 \psi_2^2 p (4-p^2) \right. \\ &\quad + \Omega(q) (4-p^2) p^2 \rho + (q(q+1)^2 \psi_2^2 p^2 + q(4-p^2) \\ &\quad \cdot (1+q+q^2) \psi_1 \psi_3 - 2q(1+q)^2 \psi_2^2 p) (4-p^2) \rho^2 \left. \right] \\ &= F(p, \rho), \end{aligned} \tag{19}$$

where

$$\Lambda(q) = 16q^3 (1+q+q^2) \psi_1 \psi_3 \psi_2^2,$$

$$\begin{aligned} \omega(q) &= \left| (3+3q-q^3+q^4) (1+q)^2 \psi_2^2 - (1+3q+2q^2+2q^3+q^4) \right. \\ &\quad \left. \cdot (1+q+q^2) \psi_1 \psi_3 \right| \end{aligned}$$

and

$$\Omega(q) = \left| (1+q)^2 (2q^2 - 5q - 2) \psi_2^2 + 2q(q^2 + 2) (1+q+q^2) \psi_1 \psi_3 \right|.$$

Upon differentiating both sides (19) with respect to  $\rho$ , we have

$$\begin{aligned} \frac{\partial F(p, \rho)}{\partial \rho} &= \left( \frac{1}{\Lambda(q)} \right) \left[ \Omega(q) (4-p^2) p^2 + 2(q(q+1)^2 \psi_2^2 p^2 + q(4-p^2) \right. \\ &\quad \left. \cdot (1+q+q^2) \psi_1 \psi_3 - 2q(1+q)^2 \psi_2^2 p) (4-p^2) \rho \right]. \end{aligned}$$

It is clear that

$$\frac{\partial F(p, \rho)}{\partial \rho} > 0,$$

which show that  $F(p, \rho)$  is an increasing function of  $\rho$  on the closed interval  $[0, 1]$ . This implies that the maximum value occurs at  $\rho = 1$ . This implies that

$$\max\{F(p, \rho)\} = F(p, 1) =: G(p).$$

We now observe that

$$\begin{aligned} G(p) &= \left( \frac{1}{\Lambda(q)} \right) \left[ (\omega(q) - \Omega(q) - q(q+1)^2 \psi_2^2 + (q+q^2+q^3) \psi_1 \psi_3) p^4 \right. \\ &\quad + (4\Omega(q) + 4q(q+1)^2 \psi_2^2 - 8(q+q^2+q^3) \psi_1 \psi_3) p^2 \\ &\quad \left. + 16(q+q^2+q^3) \psi_1 \psi_3 \right] \\ &= G(p). \end{aligned} \tag{20}$$

By differentiating both sides of (20) with respect to  $p$ , we have

$$\begin{aligned} G'(p) &= \left( \frac{1}{\Lambda(q)} \right) \left[ 4(\omega(q) - \Omega(q) - q(q+1)^2 \psi_2^2 + (q+q^2+q^3) \psi_1 \psi_3) p^3 \right. \\ &\quad \left. + 2(4\Omega(q) + 4q(q+1)^2 \psi_2^2 - 8(q+q^2+q^3) \psi_1 \psi_3) p \right]. \end{aligned}$$

Differentiating the above equation once again with respect to  $p$ , we get

$$G''(p) = \left(\frac{1}{\Lambda(q)}\right) \left[12(\omega(q) - \Omega(q) - q(q+1)^2 \psi_2^2 + (q+q^2+q^3) \psi_1 \psi_3) p^2 + 2(4\Omega(q) + 4q(q+1)^2 \psi_2^2 - 8(q+q^2+q^3) \psi_1 \psi_3)\right].$$

For  $p = 0$ , this shows that the maximum value of  $(G(p))$  occurs at  $p = 0$ . Hence, we obtain

$$|a_2 a_4 - a_3^2| \leq \frac{1}{q^2 \psi_2^2}.$$

The proof of Theorem 2 is thus completed.  $\square$

If, in Theorem 2, we let  $q \rightarrow 1-$  and put  $\delta = 1$ , then we are led to the following known result.

**Corollary 1.** (see [18]) Let  $f \in S^*$ . Then

$$|a_2 a_4 - a_3^2| \leq 1,$$

and the inequality is sharp.

**Theorem 3.** Let  $f \in \mathcal{RS}_q^*(\delta)$ . Then

$$|a_2 a_3 - a_4| \leq \frac{(1+q) \kappa(q)}{\psi_1 \psi_2 \psi_3 (q^2 + q^3 + q^4)},$$

where

$$\kappa(q) = \left| (1+q+q^2)^2 \psi_3 - (q^4 - 3q + 6q^2 + q + 1) \psi_1 \psi_2 \right|. \tag{21}$$

**Proof.** Using the values given in (15) and (16) we have

$$\begin{aligned} a_2 a_3 - a_4 &= \left( \frac{(1+q)(q^2+1)}{8q^3 \psi_1 \psi_2} - \frac{(1+q)(q^2+1)(q^2-q+1)}{8\psi_3 (q^2+q^3+q^4)} \right) p_1^3 \\ &+ \left( \frac{(1+q)}{4q^2 \psi_1 \psi_2} - \frac{(q-2)(2q+1)(1+q)}{4\psi_3 (q^2+q^3+q^4)} \right) p_1 p_2 \\ &- \left( \frac{(1+q)}{2(q+q^2+q^3) \psi_3} \right) p_3. \end{aligned} \tag{22}$$

We now use Lemma 2 and assume that  $p_1 \leq 2$ . In addition, by Lemma 3, we let  $p_1 = p$  and assume without restriction that  $p \in [0, 2]$ . Then, by taking the moduli and applying the trigonometric inequality on (22) with  $\rho = |x|$ , we obtain

$$\begin{aligned} |a_2 a_3 - a_4| &\leq \left( \frac{(1+q)}{8(q^3+q^4+q^5) \psi_1 \psi_2 \psi_3} \right) \left[ \kappa(q) p^3 + \eta(q) p(4-p^2) \rho \right. \\ &\quad \left. + 2q^2 \psi_1 \psi_2 (4-p^2) + q^2 \psi_1 \psi_2 (p-2)(4-p^2) \rho^2 \right] \\ &=: F(\rho), \end{aligned}$$

where

$$\eta(q) = \left| (q+q^2+q^3) \psi_3 + (2q^3 - q^2 - 2q) \psi_1 \psi_2 \right|$$

and  $\kappa(q)$  is given by (21). Differentiating  $F(\rho)$  with respect to  $\rho$ , we have

$$F'(\rho) = \left( \frac{(1+q)}{8(q^3 + q^4 + q^5) \psi_1 \psi_2 \psi_3} \right) \left[ \eta(q) p(4 - p^2) + 2q^2 \psi_1 \psi_2 (p - 2)(4 - p^2) \rho \right] > 0.$$

This implies that  $F(\rho)$  is an increasing function of  $\rho$  on the closed interval  $[0, 1]$ . Hence, we have

$$F(\rho) \leq F(1) \quad (\forall \rho \in [0, 1]),$$

that is,

$$F(\rho) \leq \left( \frac{(1+q)}{8(q^3 + q^4 + q^5) \psi_1 \psi_2 \psi_3} \right) \left[ (\kappa(q) - \eta(q) - q^2 \psi_1 \psi_2) p^3 + (4\eta(q) + 4q^2 \psi_1 \psi_2) p \right] =: G(p).$$

Since  $p \in [0, 2]$ ,  $p = 2$  is a point of maximum. We thus obtain

$$G(p) \leq \frac{(1+q) \kappa(q)}{(q^3 + q^4 + q^5) \psi_1 \psi_2 \psi_3},$$

which corresponds to  $\rho = 1$  and  $p = 2$  and it is the desired upper bound.  $\square$

For  $\delta = 1$  and  $q \rightarrow 1^-$ , we obtain the following special case of Theorem 3.

**Corollary 2.** (see [22]) Let  $f \in S^*$ . Then

$$|a_2 a_3 - a_4| \leq 2.$$

Finally, we prove Theorem 4 below.

**Theorem 4.** Let  $f \in \mathcal{RS}_q^*(\delta)$ . Then

$$\mathcal{H}_3(1) \leq \left[ \frac{(1+q+q^2)}{q^4 \psi_2^3} + \frac{\varkappa(q) \kappa(q)}{q^5 (1+q+q^2)^2 \psi_1 \psi_2 \psi_3^2} + \frac{\tau(q)}{q^5 (1+q+q^2+q^3) (1+q+q^2) \psi_2 \psi_4} \right],$$

where

$$\varkappa(q) = (1+q)^2 (q^4 - 3q^3 + 6q^2 + q + 1), \quad (23)$$

$$\tau(q) = (1+q) (4q^7 + 2q^6 + 6q^5 + 7q^4 + 13q^3 - q - 1) \quad (24)$$

and  $\kappa(q)$  is given by (21).

**Proof.** Since

$$\mathcal{H}_3(1) \leq |a_3| |a_2 a_4 - a_3^2| + |a_4| |a_2 a_3 - a_4| + |a_5| |a_3 - a_2^2|,$$

by using Lemma 3, we have

$$|a_4| \leq \frac{(1+q)(1+q+6q^2-3q^3+q^4)}{q^3(1+q+q^2)\psi_3}$$

and

$$|a_5| \leq \frac{\tau(q)}{q^4(1+q+q^2+q^3)(1+q+q^2)\psi_4'}$$

where  $\tau(q)$  is given by (24). Now, by applying Theorems 1–3, we have the required result asserted by Theorem 4.  $\square$

#### 4. Conclusions

By making use of the basic or quantum ( $q$ -) calculus, we have introduced a Ruscheweyh-type  $q$ -derivative operator. This Ruscheweyh-type  $q$ -derivative operator is then applied to define a certain subclass of  $q$ -starlike functions in the open unit disk  $U$ . We have successfully derived the upper bound of the third Hankel determinant for this family of  $q$ -starlike functions which are associated with the Ruscheweyh-type  $q$ -derivative operator. Our main results are stated and proved as Theorems 1–4. These general results are motivated essentially by their several special cases and consequences, some of which are pointed out in this presentation.

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Article

# Fractional Telegraph Equation and Its Solution by Natural Transform Decomposition Method

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**Abstract:** In this work, the natural transform decomposition method (NTDM) is applied to solve the linear and nonlinear fractional telegraph equations. This method is a combined form of the natural transform and the Adomian decomposition methods. In addition, we prove the convergence of our method. Finally, three examples have been employed to illustrate the preciseness and effectiveness of the proposed method.

**Keywords:** natural transform; Adomian decomposition method; Caputo fractional derivative; generalized mittag-leffler function

## 1. Introduction

The fractional calculus (non-integer) plays an important role in applied mathematics and other fields such as science, physics and engineering. It describes the smallest details of natural phenomena, which is better than using a calculus integer. In [1] the fractional telegraph equation is obtained from the classical telegraph equation by replacing the second-order distance derivative with the fractional derivative ( $0 < \alpha \leq 2$ ) given to it. The telegraph equation describes the signal propagation of an electrical signal in transmission cable lines in general. Recently, many researchers and engineers have done excellent work to solve the fractional telegraph equation by different methods, such as the Laplace transform method [2], Laplace transform variational iteration method [3], double Laplace transform method [4], variational iteration method [5], Adomian decomposition method [6], Mixture of a new integral transform and homotopy perturbation method (HPM) [7], homotopy analysis method (HAM) [8], Chebyshev tau method [9], and the method of separating variables [10]. The natural transform Adomian decomposition method (NTDM) is a combination of the natural transform method and Adomian decomposition method. The main aim of this article is to use the (NTDM) to obtain the approximate solution of linear and nonlinear fractional telegraph equations. The natural transform Adomian decomposition method is a sturdy mathematical method for solving linear and nonlinear fractional telegraph equation and is an amelioration of the existing methods.

## 2. Preliminaries

**Definition 1** ([11]). *The Adomian decomposition method is defined as*

$$A_n = \frac{1}{n!} \frac{d^n}{d\lambda^n} \left[ F \left( \sum_{i=1}^n \psi_i \lambda^i \right) \right]_{\lambda=0}, \quad n = 0, 1, 2, \dots \quad (1)$$

where the function  $F(\psi)$  is a nonlinear term and  $\lambda$  a formal parameter.

**Definition 2 ([12]).** The natural transform of a function  $f(t) > 0$  and  $f(t) = 0$  for  $t < 0$  is defined by

$$\mathbb{N}^+[f(t)] = R(s, u) = \frac{1}{u} \int_0^\infty e^{-\frac{st}{u}} f(t) dt; \quad s, u > 0 \tag{2}$$

where  $s$  and  $u$  are the transform variables.

**Definition 3 ([12,13]).** The inverse natural transform of a function is defined by

$$\mathbb{N}^-[R(s, u)] = f(t) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} e^{\frac{st}{u}} R(s, u) ds$$

**Definition 4 ([14]).** The natural transform of  $\frac{\partial^\alpha f(x,t)}{\partial t^\alpha}$  w.r.t  $(t)$  can be calculated as

$$\mathbb{N}^+\left[\frac{\partial^\alpha f(x, t)}{\partial t^\alpha}\right] = \frac{s^\alpha}{u^\alpha} R(s, u) - \sum_{k=0}^{n-1} \frac{s^{\alpha-k-1}}{u^{\alpha-k}} \left[\frac{\partial^\alpha f(x, 0)}{\partial t^\alpha}\right] \tag{3}$$

**Definition 5 ([14]).** The natural transform of Mittag-Leffler function  $E_{\alpha,\beta}$  is defined as follows

$$\mathbb{N}^+[f(x, t)] = \int_0^\infty e^{-st} f(x, ut) dt = \sum_{k=0}^\infty \frac{u^{k+1} \Gamma(k + \beta)}{s^{k+1} \Gamma(\alpha k + \beta)} \tag{4}$$

**Definition 6 ([15]).** A two parameter function of the Mittag-Leffler type is defined by the series expansion

$$E_{\alpha,\beta}(z) = \sum_{k=0}^\infty \frac{z^k}{\Gamma(\alpha k + \beta)}, \quad (\alpha > 0, \beta > 0) \tag{5}$$

### 3. Natural Transform Adomian Decomposition Method Linear and Nonlinear Telegraph Equations (NTADM)

In this section, we will study two problems as follows:

#### First Problem: linear fractional telegraph equations

In this part, we derive the main idea of the natural transform decomposition method to find the general solution for linear fractional telegraph equations.

We consider the following general multiterm fractional telegraph equation

$$\frac{\partial^\alpha \psi(x,t)}{\partial t^\alpha} = \frac{\partial^2 \psi(x,t)}{\partial x^2} - \frac{\partial \psi(x,t)}{\partial t} - \psi(x, t) + h(x, t), \tag{6}$$

$$0 < \alpha \leq 2 \text{ and } x, t \geq 0$$

subject to

$$\psi(x, 0) = f_1(x) \text{ and } \psi_t(x, 0) = f_2(x) \tag{7}$$

where  $h(x, t)$  is given function. The new technique of natural transform Adomian decomposition is based on the following steps. By applying the definition of natural transform to Equation (6), we get

$$\frac{s^\alpha}{u^\alpha} R(x, s, u) - \frac{s^{\alpha-1}}{u^\alpha} \psi(x, 0) - \frac{s^{\alpha-2}}{u^{\alpha-1}} \psi_t(x, 0) = \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x,t)}{\partial x^2} - \frac{\partial \psi(x,t)}{\partial t} - \psi(x, t) + h(x, t) \right], \tag{8}$$

substituting the initial conditions Equation (7) into Equation (8), we obtain

$$R(x, s, u) = \frac{1}{s} f_1(x) + \frac{u}{s^2} f_2(x) + \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x,t)}{\partial x^2} - \frac{\partial \psi(x,t)}{\partial t} - \psi(x, t) + h(x, t) \right]. \tag{9}$$

Now, implementing the inverse natural transform for Equation (9) we obtain the general solution of Equation (6) as follows:

$$\psi(x, t) = \Phi(x, t) + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) \right] \right], \quad (10)$$

where

$$\Phi(x, t) = \mathbb{N}^{-1} \left[ f_1(x) + t f_2(x) + \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [h(x, t)] \right], \quad (11)$$

the natural transform decomposition method defined the solution of  $\psi(x, t)$  by the infinite series

$$\psi(x, t) = \sum_{n=0}^{\infty} \psi_n(x, t). \quad (12)$$

The solution of Equation (10) is given by

$$\sum_{n=0}^{\infty} \psi_n(x, t) = \Phi(x, t) + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \sum_{n=0}^{\infty} \frac{\partial^2 \psi_n(x, t)}{\partial x^2} - \sum_{n=0}^{\infty} \frac{\partial \psi_n(x, t)}{\partial t} - \sum_{n=0}^{\infty} \psi_n(x, t) \right] \right]. \quad (13)$$

Here we assume that the inverse natural transform of each term in the right side of Equation (9) exists. The initial term

$$\psi_0(x, t) = \Phi(x, t), \quad (14)$$

consequently, the first few components can be written as

$$\begin{aligned} \psi_1(x, t) &= \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_0(x, t)}{\partial x^2} - \frac{\partial \psi_0(x, t)}{\partial t} - \psi_0(x, t) \right] \right] \\ \psi_2(x, t) &= \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_1(x, t)}{\partial x^2} - \frac{\partial \psi_1(x, t)}{\partial t} - \psi_1(x, t) \right] \right] \\ \psi_3(x, t) &= \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_2(x, t)}{\partial x^2} - \frac{\partial \psi_2(x, t)}{\partial t} - \psi_2(x, t) \right] \right] \\ &\vdots \\ &\vdots \end{aligned} \quad (15)$$

then we have

$$\psi_{n+1}(x, t) = \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_n(x, t)}{\partial x^2} - \frac{\partial \psi_n(x, t)}{\partial t} - \psi_n(x, t) \right] \right], \quad n \geq 0 \quad (16)$$

### Second Problem Nonlinear fractional telegraph equation:

We consider the general form of nonlinear fractional telegraph equation:

$$\frac{\partial^\alpha \psi(x, t)}{\partial t^\alpha} = \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - N\psi(x, t) + h(x, t), \quad (17)$$

$$0 < \alpha \leq 2 \quad \text{and} \quad x, t \geq 0$$

with the initial conditions

$$\psi(x, 0) = g_1(x) \quad \text{and} \quad \psi_t(x, 0) = g_2(x), \quad (18)$$

where  $N$  is a nonlinear,  $h(x, t)$  is a source term. By applying the definition of natural transform for Equation (17), we have

$$\frac{s^\alpha}{u^\alpha} R(x, s, u) - \frac{s^{\alpha-1}}{u^\alpha} \psi(x, 0) - \frac{s^{\alpha-2}}{u^{\alpha-1}} \psi_t(x, 0) = \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - N\psi(x, t) + h(x, t) \right], \quad (19)$$

by substituting initial conditions Equation (18) into Equation (19), we obtain

$$R(x, s, u) = \frac{1}{s}g_1(x) + \frac{u}{s^2}g_2(x) + \frac{u^\alpha}{s^\alpha}\mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - N\psi(x, t) + h(x, t) \right]. \quad (20)$$

Now, implementing the inverse natural transform for Equation (20), we obtain the general solution of Equation (17) in the form of,

$$\psi(x, t) = \Phi(x, t) + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial t^2} - \frac{\partial \psi(x, t)}{\partial t} - N\psi(x, t) \right] \right], \quad (21)$$

where

$$\Phi(x, t) = \mathbb{N}^{-1} \left[ g_1(x) + tg_2(x) + \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [h(x, t)] \right], \quad (22)$$

here we assume that the inverse natural transform of each term in the right side of Equation (22) exists. The natural transform decomposition method consists of calculating the solution in a series form

$$\psi(x, t) = \sum_{n=0}^{\infty} \psi_n(x, t), \quad (23)$$

the nonlinear term  $N\psi(x, t)$  becomes

$$N\psi(x, t) = \sum_{n=0}^{\infty} A_n, \quad (24)$$

where  $A_n$  defined by Equation (1). By substituting Equations (23) and (24) into Equation (21) we get

$$\sum_{n=0}^{\infty} \psi_n(x, t) = \Phi(x, t) + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \sum_{n=0}^{\infty} \frac{\partial^2 \psi_n(x, t)}{\partial x^2} - \sum_{n=0}^{\infty} \frac{\partial \psi_n(x, t)}{\partial t} - \sum_{n=0}^{\infty} A_n \right] \right], \quad (25)$$

by using the recursive relation

$$\psi_0(x, t) = \Phi(x, t) \quad (26)$$

consequently, the first few components can be written as

$$\begin{aligned} \psi_1(x, t) &= \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_0(x, t)}{\partial x^2} - \frac{\partial \psi_0(x, t)}{\partial t} - A_0 \right] \right] \\ \psi_2(x, t) &= \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^{+1} \left[ \frac{\partial^2 \psi_1(x, t)}{\partial x^2} - \frac{\partial \psi_1(x, t)}{\partial t} - A_1 \right] \right] \\ \psi_3(x, t) &= \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_2(x, t)}{\partial x^2} - \frac{\partial \psi_2(x, t)}{\partial t} - A_2 \right] \right], \\ &\vdots \\ &\vdots \end{aligned} \quad (27)$$

then we have

$$\psi_{n+1}(x, t) = \mathbb{N}^{-1} \left[ \frac{u^\alpha}{p^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_n(x, t)}{\partial t^2} + \frac{\partial \psi_n(x, t)}{\partial t} + A_n \right] \right], \quad n \geq 0 \quad (28)$$

the solution  $\psi_n(x, t)$  can be written as convergent series

$$\psi(x, t) = \sum_{n=0}^{\infty} \psi_n(x, t). \quad (29)$$

### 4. Convergence Analysis

In this section, the sufficient condition that guarantees existence of a unique solution is introduced and we discuss the convergence of the solution.

In next theorem we follow [16]

**Theorem 1. (Uniqueness theorem):** Equation (28) has a unique solution whenever  $0 < \varepsilon < 1$  where  $\varepsilon = \frac{(L_1+L_2+L_3)t^{\alpha+1}}{(\alpha-1)!}$

**Proof of Theorem 1.** Let  $E = (C [I], \|\cdot\|)$  be the Banach space of all continuous functions on  $I = [0, T]$  with the norm  $\|\cdot\|$ , we define a mapping  $F : E \rightarrow E$  where

$$\psi_{n+1}(x, t) = \Phi(x, t) + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [L [\psi_n(x, t)] + M [\psi_n(x, t)] + N [\psi_n(x, t)]] \right], \quad n \geq 0$$

where  $L [\psi(x, t)] \equiv \frac{\partial^2 \psi(x, t)}{\partial x^2}$  and  $M [\psi(x, t)] \equiv \frac{\partial \psi(x, t)}{\partial t}$ . Now suppose  $M [\psi(x, t)]$  and  $L [\psi(x, t)]$  is also Lipschitzian with  $|M\psi - M\widehat{\psi}| < L_1 |\psi - \widehat{\psi}|$  and  $|L\psi - L\widehat{\psi}| < L_2 |\psi - \widehat{\psi}|$  where  $L_1$  and  $L_2$  is Lipschitz constant respectively and  $\psi, \widehat{\psi}$  is different values of the function.

$$\begin{aligned} \|F\psi - F\widehat{\psi}\| &= \max_{t \in I} \left| \begin{array}{c} \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [L [\psi(x, t)] + M [\psi(x, t)] + N [\psi(x, t)]] \right] \\ - \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [L [\widehat{\psi}(x, t)] + M [\widehat{\psi}(x, t)] + N [\widehat{\psi}(x, t)]] \right] \end{array} \right|, \\ &\leq \max_{t \in I} \left| \begin{array}{c} \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [L [\psi(x, t)] - L [\widehat{\psi}(x, t)]] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [M [\psi(x, t)] - M [\widehat{\psi}(x, t)]] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ [N [\psi(x, t)] - N [\widehat{\psi}(x, t)]] \right] \end{array} \right|, \\ &\leq \max_{t \in I} \left[ \begin{array}{c} L_1 \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ |\psi(x, t) - \widehat{\psi}(x, t)| \right] \\ + L_2 \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ |\psi(x, t) - \widehat{\psi}(x, t)| \right] \\ + L_3 \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ |\psi(x, t) - \widehat{\psi}(x, t)| \right] \end{array} \right], \\ &\leq \max_{t \in I} (L_1 + L_2 + L_3) \left[ \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ |\psi(x, t) - \widehat{\psi}(x, t)| \right] \right], \\ &\leq (L_1 + L_2 + L_3) \left[ \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \|\psi(x, t) - \widehat{\psi}(x, t)\| \right] \right], \\ &= \frac{(L_1+L_2+L_3)t^{\alpha-1}}{(\alpha-1)!} \|\psi(x, t) - \widehat{\psi}(x, t)\|. \end{aligned}$$

Under the condition  $0 < \varepsilon < 1$ , the mapping is contraction. Therefore, by Banach fixed point theorem for contraction, there exists a unique solution to Equation (29). This ends the proof of Theorem 1.  $\square$

**Theorem 2. (Convergence Theorem):** The solution of Equations (6) and (18) in general forum will be convergence.

**Proof of Theorem 2.** Let  $S_n$  be the  $n^{th}$  partial sum, i.e.,  $S_n = \sum_{i=0}^n \psi_i(x, t)$ . We shall prove that  $\{S_n\}$  is a Cauchy sequence in Banach space  $E$ . By using a new formulation of Adomian polynomials we get

$$\begin{aligned}
 R(S_n) &= \widehat{A}_n + \sum_{r=0}^{n-1} \widehat{A}_r \\
 N(S_n) &= \widehat{A}_n + \sum_{c=0}^{n-1} \widehat{A}_c \\
 \|S_n - S_m\| &= \max_{t \in I} |S_n - S_m| = \max_{t \in I} \left| \sum_{i=m+1}^n \widehat{\psi}_i(x, t) \right|, p = 1, 2, 3, \dots \\
 &\leq \max_{t \in I} \left| \begin{array}{l} \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m+1}^n L[\psi_{n-1}(x, t)] \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m+1}^n M[\psi_{n-1}(x, t)] \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m+1}^n A_{n-1}(x, t) \right] \right] \end{array} \right|, \\
 &= \max_{t \in I} \left| \begin{array}{l} \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m}^{n-1} L[\psi_n(x, t)] \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m}^{n-1} M[\psi_n(x, t)] \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m+1}^n A_n(x, t) \right] \right] \end{array} \right|, \\
 &\leq \max_{t \in I} \left| \begin{array}{l} \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m}^{n-1} L(S_{n-1}) - L(S_{m-1}) \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m}^{n-1} M(S_{n-1}) - M(S_{m-1}) \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ \sum_{i=m+1}^n N(S_{n-1}) - N(S_{m-1}) \right] \right] \end{array} \right|, \\
 &\leq \max_{t \in I} \left| \begin{array}{l} \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ L(S_{n-1}) - L(S_{m-1}) \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ M(S_{n-1}) - M(S_{m-1}) \right] \right] \\ + \mathbb{N}^{-1} \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ N(S_{n-1}) - N(S_{m-1}) \right] \right] \end{array} \right|, \\
 &\leq L_1 \max_{t \in I} \mathbb{N}^{-1} \left| \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ (S_{n-1}) - (S_{m-1}) \right] \right] \right|, \\
 &+ L_2 \max_{t \in I} \mathbb{N}^{-1} \left| \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ (S_{n-1}) - (S_{m-1}) \right] \right] \right|, \\
 &+ L_3 \max_{t \in I} \mathbb{N}^{-1} \left| \left[ \frac{u^n}{s^\alpha} \mathbb{N}^+ \left[ (S_{n-1}) - (S_{m-1}) \right] \right] \right|. \\
 &= \frac{(L_1 + L_2 + L_3)t^{(\alpha-1)}}{(\alpha - 1)!} \|S_{n-1} + S_{m-1}\|
 \end{aligned}$$

Let  $n = m + 1$ ; then

$$\|S_{m+1} - S_m\| \leq \varepsilon \|S_m - S_{m-1}\| \leq \varepsilon^2 \|S_{m-1} - S_{m-2}\| \leq \dots \leq \varepsilon^m \|S_1 - S_0\|.$$

where  $\varepsilon = \frac{(L_1+L_2+L_3)t^{(\alpha-1)}}{(\alpha-1)!}$  similarly, we have, from the triangle inequality we have

$$\begin{aligned}
 \|S_n - S_m\| &\leq \|S_{m+1} - S_m\| + \|S_{m+2} - S_{m+1}\| + \dots + \|S_n - S_{n-1}\|, \\
 &\leq \left[ \varepsilon^m + \varepsilon^{m+1} + \dots + \varepsilon^{n-1} \right] \leq \|S_1 + S_0\|, \\
 &\leq \varepsilon^m \left( \frac{1 - \varepsilon^{n-m}}{\varepsilon} \right) \|\psi_1\|,
 \end{aligned}$$

since  $0 < \varepsilon < 1$  we have  $(1 - \varepsilon^{n-m}) < 1$ : then,

$$\|S_n - S_m\| \leq \frac{\varepsilon^m}{1 - \varepsilon} \max_{t \in I} \|\psi_1\|.$$

However,  $|\psi_1| < \infty$  (since  $\psi(x, t)$  is bounded) so, as  $m \rightarrow \infty$  then  $\|S_n - S_m\| \rightarrow 0$ , hence  $\{S_n\}$  is a Cauchy sequence in E so, the series  $\sum_{n=0}^\infty \psi_n$  converges and the proof is complete.  $\square$

**Theorem 3. (Error estimate:)** The maximum absolute truncation error of the series solution Equation (28) to Equation (6) is estimated to be:

$$\max_{t \in I} \left| \psi(x, t) - \sum_{n=1}^m \psi_n(x, t) \right| \leq \frac{\varepsilon^m}{1 - \varepsilon} \max_{t \in I} \|\psi_1\|,$$

**Proof of Theorem 3.** From Equation (28) and Theorem 2 we have

$$|S_n - S_m| \leq \frac{\varepsilon^m}{1 - \varepsilon} \max_{t \in I} \|\psi_1\|,$$

as  $n \rightarrow \infty$  then  $S_n \rightarrow \psi(x, t)$  so we have

$$\|\psi(x, t) - S_m\| \leq \frac{\varepsilon^m}{1 - \varepsilon} \max_{t \in I} \|\psi_1(x, t)\|,$$

finally, the maximum absolute truncation error in the interval  $I$  is

$$\max_{t \in I} \left| \psi(x, t) - \sum_{n=1}^m \psi_n(x, t) \right| \leq \max_{t \in I} \frac{\varepsilon^m}{1 - \varepsilon} |\psi_1(x, t)| = \frac{\varepsilon^m}{1 - \varepsilon} \|\psi_1(x, t)\|.$$

Thus, completing the proof of Theorem (3). □

### 5. Numerical Examples

In this section, we demonstrate the applicability of the previous method by the following examples.

**Example 1.** Consider the following space-fractional homogenous telegraph equation:

$$\frac{\partial^\alpha \psi(x, t)}{\partial t^\alpha} = \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t), \tag{30}$$

$$x, t \geq 0 \text{ and } 0 < \alpha \leq 2$$

with the initial conditions

$$\psi(x, 0) = e^{-x} \text{ and } \psi_t(x, 0) = -e^{-x}. \tag{31}$$

#### Solution 1

Applying natural transform for Equation (30) w.r.t ( $t$ ) on both sides, we get

$$\frac{s^\alpha}{u^\alpha} R(x, s, u) - \frac{s^{\alpha-1}}{u^\alpha} \psi(x, 0) - \frac{s^{\alpha-2}}{u^{\alpha-1}} \psi_t(x, 0) = \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) \right], \tag{32}$$

simplify and substitute the condition Equation (31), we get

$$R(x, s, u) = \frac{1}{s} e^x - \frac{u}{s^2} e^x + \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) \right], \tag{33}$$

using the inverse natural transform for Equation (33), we have

$$\psi(x, t) = e^x - te^x + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) \right] \right], \tag{34}$$



the correction function for Equation (34), is given by

$$\sum_{n=0}^{\infty} \psi_{n+1}(x, t) = e^{-x} - te^{-x} + \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \sum_{n=0}^{\infty} \frac{\partial^2 \psi_n(x, t)}{\partial x^2} - \sum_{n=0}^{\infty} \frac{\partial \psi_n(x, t)}{\partial t} - \sum_{n=0}^{\infty} \psi_n(x, t) \right] \right], \quad (35)$$

the initial term

$$\psi_0(x, t) = e^x - te^x, \quad (36)$$

then we have

$$\psi_{n+1}(x, t) = \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \sum_{n=0}^{\infty} \frac{\partial^2 \psi_n(x, t)}{\partial x^2} - \sum_{n=0}^{\infty} \frac{\partial \psi_n(x, t)}{\partial t} - \sum_{n=0}^{\infty} \psi_n(x, t) \right] \right], n \geq 0 \quad (37)$$

the first 3rd terms is given by

$$\begin{aligned} \psi_1(x, t) &= \frac{t^\alpha}{\Gamma(\alpha+1)} e^x, \\ \psi_2(x, t) &= -\frac{t^{2\alpha-1}}{\Gamma(2\alpha)} e^x, \\ \psi_3(x, t) &= \frac{t^{3\alpha-2}}{\Gamma(3\alpha-1)} e^x, \end{aligned} \quad (38)$$

then general form is successive approximation is given by

$$\psi_n(x, t) = e^x \left( 1 - t + \frac{t^\alpha}{\Gamma(\alpha+1)} - \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} + \frac{t^{3\alpha-2}}{\Gamma(3\alpha-1)} - \dots \right), \quad (39)$$

$$\psi_n(x, t) = e^x \left[ 1 + \sum_{k=0}^{\infty} (-1)^{k+1} \left[ \frac{t^{k\alpha-k+1}}{\Gamma(k\alpha-k+2)} \right] \right], \quad (40)$$

when  $\alpha = 2$  we get

$$\psi(x, t) = e^{x-t}. \quad (41)$$

**Example 2.** Consider the following space-fractional non-homogenous telegraph equation:

$$\begin{aligned} \frac{\partial^\alpha \psi(x, t)}{\partial t^\alpha} &= \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) + x^2 + t - 1, \\ x, t &\geq 0 \text{ and } 0 < \alpha \leq 2 \end{aligned} \quad (42)$$

with the initial conditions

$$\psi(x, 0) = x^2 \text{ and } \psi_t(x, 0) = 1. \quad (43)$$

**Solution 2**

Applying natural transform for both sides of Equation (42), we have

$$\frac{s^\alpha}{u^\alpha} R(x, s, u) - \frac{s^{\alpha-1}}{u^\alpha} \psi(x, 0) - \frac{s^{\alpha-2}}{u^{\alpha-1}} \psi_t(x, 0) = \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) \right] + \mathbb{N}^+ [x^2 + t - 1], \quad (44)$$

by simplifying and substitute the conditions, we obtain

$$R(x, s, u) = \frac{1}{s} x^2 + \frac{u}{s^2} + \frac{u^\alpha}{s^{\alpha+1}} x^2 + \frac{u^{\alpha+1}}{s^{\alpha+2}} - \frac{u^\alpha}{s^{\alpha+1}} + \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial t^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) \right]. \quad (45)$$

On using inverse natural transform Equation (45), we have

$$\psi(x, t) = x^2 + t + \frac{t^\alpha}{\Gamma(\alpha+1)} x^2 + \frac{t^{\alpha+1}}{\Gamma(\alpha+2)} - \frac{t^\alpha}{\Gamma(\alpha+1)} + \mathbb{N}^- \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} - \frac{\partial \psi(x, t)}{\partial t} - \psi(x, t) \right] \right], \quad (46)$$

therefore

$$\begin{aligned} \sum_{n=0}^{\infty} \psi_n(x, t) &= x^2 + t + \frac{t^\alpha}{\Gamma(\alpha+1)} x^2 + \frac{t^{\alpha+1}}{\Gamma(\alpha+2)} - \frac{t^\alpha}{\Gamma(\alpha+1)} \\ &+ \mathbb{N}^- \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \sum_{n=0}^{\infty} \frac{\partial^2 \psi_n(x, t)}{\partial x^2} - \sum_{n=0}^{\infty} \frac{\partial \psi_n(x, t)}{\partial t} - \sum_{n=0}^{\infty} \psi_n(x, t) \right] \right], \end{aligned} \quad (47)$$

the initial term

$$\psi_0(x, t) = x^2 + t + \frac{t^\alpha}{\Gamma(\alpha+1)} x^2 + \frac{t^{\alpha+1}}{\Gamma(\alpha+2)} - \frac{t^\alpha}{\Gamma(\alpha+1)}, \quad (48)$$

then we have

$$\sum_{n=0}^{\infty} \psi_{n+1}(x, t) = \mathbb{N}^{-1} \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \sum_{n=0}^{\infty} \frac{\partial^2 \psi_n(x, t)}{\partial x^2} - \sum_{n=0}^{\infty} \frac{\partial \psi_n(x, t)}{\partial t} - \sum_{n=0}^{\infty} \psi_n(x, t) \right] \right], \quad n \geq 0 \quad (49)$$

Now the components of the series solution are given by

$$\begin{aligned} \psi_1(x, t) &= \frac{t^\alpha}{\Gamma(\alpha+1)} - \frac{t^\alpha}{\Gamma(\alpha+1)} x^2 - \frac{t^{\alpha+1}}{\Gamma(\alpha+2)} + 2 \frac{t^{2\alpha}}{\Gamma(2\alpha+1)} \\ &- \frac{t^{2\alpha+1}}{\Gamma(2\alpha+2)} + \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} - \frac{t^{2\alpha}}{\Gamma(2\alpha+1)} x^2 - \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} x^2, \\ \psi_2(x, t) &= -2 \frac{t^{2\alpha}}{\Gamma(2\alpha+1)} + \frac{t^{2\alpha+1}}{\Gamma(2\alpha+2)} - \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} + \frac{t^{2\alpha}}{\Gamma(2\alpha+1)} x^2 \\ &+ \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} x^2 - 5 \frac{t^{3\alpha-1}}{\Gamma(3\alpha+2)} - 3 \frac{t^{3\alpha}}{\Gamma(3\alpha+1)} + 2 \frac{t^{3\alpha-1}}{\Gamma(3\alpha)} x^2 \\ &- \frac{t^{3\alpha-2}}{\Gamma(3\alpha-1)} + \frac{t^{3\alpha+1}}{\Gamma(3\alpha+2)} + \frac{t^{3\alpha}}{\Gamma(3\alpha+1)} x^2 + \frac{t^{3\alpha-2}}{\Gamma(3\alpha-1)} x^2, \\ \psi_3(x, t) &= 5 \frac{t^{3\alpha-1}}{\Gamma(3\alpha+2)} + 3 \frac{t^{3\alpha}}{\Gamma(3\alpha+1)} - 2 \frac{t^{3\alpha-1}}{\Gamma(3\alpha)} x^2 + \frac{t^{3\alpha-2}}{\Gamma(3\alpha-1)} - \frac{t^{3\alpha+1}}{\Gamma(3\alpha+2)} \\ &- \frac{t^{3\alpha}}{\Gamma(3\alpha+1)} x^2 - \frac{t^{3\alpha-2}}{\Gamma(3\alpha-1)} x^2 + \frac{t^{4\alpha}}{\Gamma(4\alpha+1)} - \frac{t^{4\alpha}}{\Gamma(4\alpha+1)} x^2 + 7 \frac{t^{4\alpha-1}}{\Gamma(4\alpha)} - 3 \frac{t^{4\alpha-1}}{\Gamma(4\alpha)} x^2 \\ &- 2 \frac{t^{4\alpha+1}}{\Gamma(4\alpha)} + 5 \frac{t^{4\alpha-1}}{\Gamma(4\alpha)} + 8 \frac{t^{4\alpha-2}}{\Gamma(4\alpha-1)} - 3 \frac{t^{4\alpha-2}}{\Gamma(4\alpha-1)} x^2 + \frac{t^{4\alpha-3}}{\Gamma(4\alpha-2)} - \frac{t^{4\alpha-3}}{\Gamma(4\alpha-2)} x^2, \end{aligned} \quad (50)$$

Substituting Equations (48) and (50) into Equation (47) gives the solution in a series form by

$$\sum_{n=0}^{\infty} \psi_n(x, t) = \psi_0(x, t) + \psi_1(x, t) + \psi_2(x, t) + \dots$$

$$\begin{aligned} \psi(x, t) = & x^2 + t + \frac{t^\alpha}{\Gamma(\alpha + 1)}x^2 + \frac{t^{\alpha+1}}{\Gamma(\alpha + 2)} - \frac{t^\alpha}{\Gamma(\alpha + 1)} \\ & + \frac{t^\alpha}{\Gamma(\alpha + 1)} - \frac{t^\alpha}{\Gamma(\alpha + 1)}x^2 - \frac{t^{\alpha+1}}{\Gamma(\alpha + 2)} + 2\frac{t^{2\alpha}}{\Gamma(2\alpha + 1)} \\ & - \frac{t^{2\alpha+1}}{\Gamma(2\alpha + 2)} + \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} - \frac{t^{2\alpha}}{\Gamma(2\alpha + 1)}x^2 - \frac{t^{2\alpha-1}}{\Gamma(2\alpha)}x^2 \\ & - 2\frac{t^{2\alpha}}{\Gamma(2\alpha + 1)} + \frac{t^{2\alpha+1}}{\Gamma(2\alpha + 2)} - \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} + \frac{t^{2\alpha}}{\Gamma(2\alpha + 1)}x^2 \\ & + \frac{t^{2\alpha-1}}{\Gamma(2\alpha)}x^2 - 5\frac{t^{3\alpha-1}}{\Gamma(3\alpha + 2)} - 3\frac{t^{3\alpha}}{\Gamma(3\alpha + 1)} + 2\frac{t^{3\alpha-1}}{\Gamma(3\alpha)}x^2 \\ & - \frac{t^{3\alpha-2}}{\Gamma(3\alpha - 1)} + \frac{t^{3\alpha+1}}{\Gamma(3\alpha + 2)} + \frac{t^{3\alpha}}{\Gamma(3\alpha + 1)}x^2 + \frac{t^{3\alpha-2}}{\Gamma(3\alpha - 1)}x^2 \\ & + 5\frac{t^{3\alpha-1}}{\Gamma(3\alpha + 2)} + 3\frac{t^{3\alpha}}{\Gamma(3\alpha + 1)} - 2\frac{t^{3\alpha-1}}{\Gamma(3\alpha)}x^2 + \frac{t^{3\alpha-2}}{\Gamma(3\alpha - 1)} - \frac{t^{3\alpha+1}}{\Gamma(3\alpha + 2)} \\ & - \frac{t^{3\alpha}}{\Gamma(3\alpha + 1)}x^2 - \frac{t^{3\alpha-2}}{\Gamma(3\alpha - 1)}x^2 + \frac{t^{4\alpha}}{\Gamma(4\alpha + 1)} - \frac{t^{4\alpha}}{\Gamma(4\alpha + 1)}x^2 + 7\frac{t^{4\alpha-1}}{\Gamma(4\alpha)} - 3\frac{t^{4\alpha-1}}{\Gamma(4\alpha)}x^2 \\ & - 2\frac{t^{4\alpha+1}}{\Gamma(4\alpha)} + 5\frac{t^{4\alpha-1}}{\Gamma(4\alpha)} + 8\frac{t^{4\alpha-2}}{\Gamma(4\alpha - 1)} - 3\frac{t^{4\alpha-2}}{\Gamma(4\alpha - 1)}x^2 + \frac{t^{4\alpha-3}}{\Gamma(4\alpha - 2)} - \frac{t^{4\alpha-3}}{\Gamma(4\alpha - 2)}x^2 \end{aligned}$$

at  $\alpha = 2$ , we obtain the exact solution of standard telegraph equation

$$\psi(x, t) = t + x^2 \tag{51}$$

**Example 3.** Consider the following space-fractional nonlinear telegraph equation:

$$\frac{\partial^\alpha \psi(x, t)}{\partial t^\alpha} = \frac{\partial^2 \psi(x, t)}{\partial x^2} + \frac{\partial \psi(x, t)}{\partial t} - \psi^2(x, t) + x\psi(x, t)\psi_x(x, t), \tag{52}$$

$x, t \geq 0$  and  $0 < \alpha \leq 2$

with the initial conditions

$$\psi(x, 0) = x \text{ and } \psi_t(x, 0) = x. \tag{53}$$

**Solution 3**

By taking natural transform for Equation (52), we have

$$\frac{s^\alpha}{u^\alpha} R(x, s, u) - \frac{s^{\alpha-1}}{u^{\alpha-1}} \psi(x, 0) - \frac{s^{\alpha-2}}{u^{\alpha-2}} \psi_t(x, 0) = \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} + \frac{\partial \psi(x, t)}{\partial t} - \psi^2(x, t) + x\psi(x, t)\psi_x(x, t) \right], \tag{54}$$

arrangement and substitute the initial condition, we get

$$R(x, s, u) = \frac{1}{s}x + \frac{u}{s^2}x + \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} + \frac{\partial \psi(x, t)}{\partial t} - \psi^2(x, t) + x\psi(x, t)\psi_x(x, t) \right] \right], \tag{55}$$

applying the inverse natural transform for Equation (55), we have

$$\psi(x, t) = x + tx + \mathbb{N}^- \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi(x, t)}{\partial x^2} + \frac{\partial \psi(x, t)}{\partial t} - \psi^2(x, t) + x\psi(x, t)\psi_x(x, t) \right] \right], \tag{56}$$

hence

$$\sum_{n=0}^{\infty} \psi_{n+1}(x, t) = (x + tx) + \mathbb{N}^- \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \sum_{n=0}^{\infty} \frac{\partial^2 \psi_n(x, t)}{\partial x^2} + \sum_{n=0}^{\infty} \frac{\partial \psi_n(x, t)}{\partial t} - \sum_{n=0}^{\infty} A_n(x, t) + x \sum_{n=0}^{\infty} B_n(x, t) \right] \right], \tag{57}$$

the initial term

$$\psi_0(x, t) = (x + tx). \quad (58)$$

Now the components of the series solution are given by

$$\psi_1(x, t) = \mathbb{N}^- \left[ \frac{u^\alpha}{s^\alpha} \mathbb{N}^+ \left[ \frac{\partial^2 \psi_0(x, t)}{\partial x^2} + \frac{\partial \psi_0(x, t)}{\partial t} - A_0(x, t) + xB_0(x, t) \right] \right], \quad (59)$$

$$\psi_1(x, t) = \left( \frac{t^\alpha}{\Gamma(\alpha+1)} x \right),$$

$$\psi_2(x, t) = \left( \frac{t^{\alpha+1}}{\Gamma(\alpha+2)} x \right), \quad (60)$$

$$\psi_3(x, t) = \left( \frac{t^{\alpha+2}}{\Gamma(\alpha+3)} x \right).$$

Since

$$\psi_n(x, t) = \psi_0(x, t) + \psi_1(x, t) + \psi_2(x, t) + \psi_3(x, t) + \dots \quad (61)$$

$$\psi(x, t) = x + tx + \frac{t^\alpha}{\Gamma(\alpha+1)} x + \frac{t^{\alpha+1}}{\Gamma(\alpha+2)} x + \frac{t^{\alpha+2}}{\Gamma(\alpha+3)} x + \dots \quad (62)$$

by substituting  $\alpha = 2$  in Equation (62), we obtain the exact solution of standard telegraph equation in the following form:

$$\psi(x, t) = xe^t \quad (63)$$

## 6. Numerical Result

In this section, we shall illustrate the accuracy and efficiency of the (NTDM) by comparing the approximate and exact solution.

Figure 1 confirm the accuracy and efficiency of the natural transform and Adomian decomposition method and discuss the behavior of exact solution and approximate solutions Equation (30) obtained by (NTDM) for the special case  $\alpha = 2$  for example (1). We see that Table 1 illustrated the absolute error by computing  $\psi = |\psi - \psi_{10}|$  where  $\psi$  is the exact solution and  $\psi_{10}$  is approximate solution of Equation (30) obtained by truncating the respective solution series Equation (40) at  $\psi_{10}$ . Approximate solutions converge very swiftly to the exact solutions in only 10th order approximations i.e., approximate solutions are nearly identical to the exact solutions. The accuracy of the result can be amelioration by generating more terms of the approximate solutions.

Figure 2 shows the exact solution and the approximate solution Equation (30) obtained by natural transform and Adomian decomposition method when  $\alpha$  decreasing then the  $\psi$  decreasing.

Table 2 discuss the solution of Example 1 by choosing different values of  $t = \{0, 0.5, 1, 1.5, 2\}$  and the values of  $\psi(x, t)$  decreasing when  $t$  increasing for different values of  $\alpha = 1.99, 1.98$  and  $1.97$ .

Figure 3 shows when setting  $\alpha = 2$  in the nth approximations and canceling noise terms yields the exact solution  $\psi = |\psi - \psi_{10}|$  as  $n \rightarrow \infty$ . The analytical solution for the exact solution and the approximate solution Equation (42) obtained by natural transform and Adomian decomposition method. In addition, the exact solution is presented graphically in Figure 3.

The exact and approximate solutions of Equation (52) are presented graphically in Figure 4, the approximate solution is given at  $\alpha = 1.99, 1.98$  and  $1.97$ . The value of the solution satisfies Equation (52) see in Table 3 for the values  $\alpha = 1.99, 1.98$  and  $1.97$ .

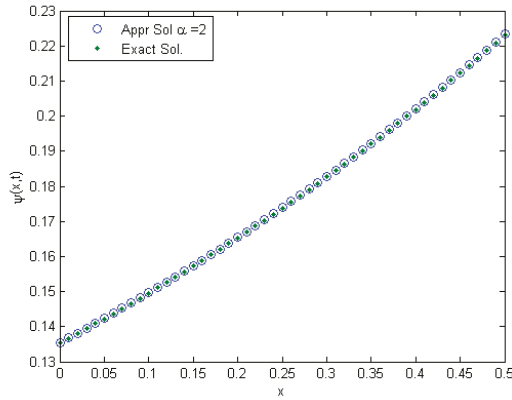


Figure 1. The Exact and Approximate Solutions of  $\psi(x, t)$  for Example 1 for  $\alpha = 2$ .

Table 1. Exact and Approximate Solution of  $\psi(x, t)$  for Example 1.

$t$	Exact Solution	Approximate Sol	$\psi =  \psi - \psi_{10} $
0.0	1.648721270700128	1.648721270700128	0.0
0.5	1.0	1.000000000040401	$4.040101586 e-11$
1.0	0.606530659712633	0.606530742852590	$8.313995659 e-8$
1.5	0.367879441171442	0.367886690723836	$7.249552393 e-6$
2.0	0.223130160148429	0.223303762933655	$1.569783692 e-4$

Table 2. Approximate Solution of  $\psi(x, t)$  for Example 1.

$t$	$\alpha = 1.99$	$\alpha = 1.98$	$\alpha = 1.97$
0.0	1.648721270700128	1.648721270700128	1.648721270700128
0.5	1.002243362235993	1.004498274095028	1.006764389796932
1.0	0.609569757949665	0.612585971492061	0.615579284214978
1.5	0.369222108754806	0.371788163947318	0.370522335669580
2.0	0.221291547575669	0.219269844467107	0.217240584657229

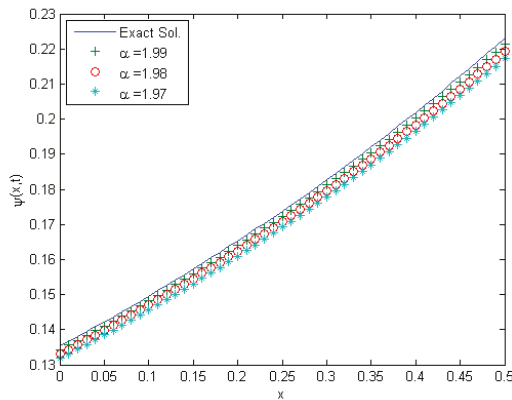


Figure 2. The Exact Solutions and Approximate Solutions of  $\psi(x, t)$  for Example 1 for different value for  $\alpha$ .

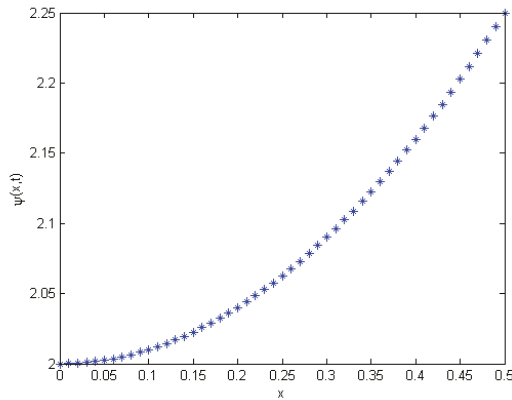


Figure 3. The exact solution of  $\psi(x, t)$  for Example 2.

Table 3. Approximate solution of  $\psi(x, t)$  for Example 3.

$t$	Exact Solution	$\alpha = 1.95$	$\alpha = 1.90$	$\alpha = 1.85$
0.0	0.5	0.5	0.5	1.5
5.0	0.824360635350064	0.830817752645242	0.837755999175080	0.845202109327201
1.0	1.359140914229523	1.378259288907402	1.398076433466764	1.418592017094073
1.5	2.240844535169032	2.276244404149126	2.312171661003479	2.348587393416824
2.0	3.694528049465325	3.748855797997422	3.803171995755493	3.857406067787722

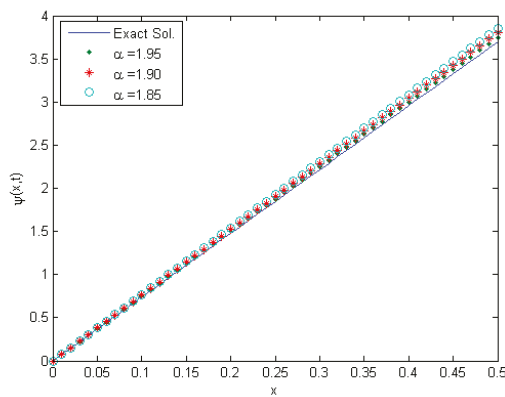


Figure 4. The approximate solutions of  $\psi(x, t)$  for Example 3 for  $\alpha = 1.95, \alpha = 1.90, \alpha = 1.85$  and exact solution.

### 7. Conclusions

We have successfully applied the natural transform and Adomian decomposition method to obtain the approximate solutions of the fractional telegraph equation. The (NTDM) give us small error and high convergence. As seen in Tables 1–3, errors are very small, and sometimes deflate as shown in Table 3. These techniques lead us to say that the method is accurate and efficient according to theoretical analysis and examples 3 and 4 the exact solution and approximate solution of  $\psi(x, t)$  are equal at  $\alpha = 2$  the absolute error equal zero.

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Article

# Some Difference Equations for Srivastava’s $\lambda$ -Generalized Hurwitz–Lerch Zeta Functions with Applications

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**Abstract:** In this article, we establish some new difference equations for the family of  $\lambda$ -generalized Hurwitz–Lerch zeta functions. These difference equations proved worthwhile to study these newly defined functions in terms of simpler functions. Several authors investigated such functions and their analytic properties, but no work has been reported for an estimation of their values. We perform some numerical computations to evaluate these functions for different values of the involved parameters. It is shown that the direct evaluation of involved integrals is not possible for the large values of parameter  $s$ ; nevertheless, using our new difference equations, we can evaluate these functions for the large values of  $s$ . It is worth mentioning that for the small values of this parameter, our results are 100% accurate with the directly computed results using their integral representation. Difference equations so obtained are also useful for the computation of some new integrals of products of  $\lambda$ -generalized Hurwitz–Lerch zeta functions and verified to be consistent with the existing results. A derivative property of Mellin transforms proved fundamental to present this investigation.

**Keywords:** analytic number theory;  $\lambda$ -generalized Hurwitz–Lerch zeta functions; derivative properties; recurrence relations; integral representations; Mellin transform

## 1. Introduction

In this paper, we practice the customary symbolizations:

$$N := \{1, 2, \dots\}; N_0 := N \cup \{0\}; Z^- := \{-1, -2, \dots\}; Z_0^- := Z^- \cup \{0\}, \quad (1)$$

where  $Z^-$  is the set of integers. The involved symbols  $R$ ,  $R^+$ , and  $C$  represent the set of real, positive real, and complex numbers, consistently.

The Hurwitz–Lerch zeta function has always been a topic of motivation for several researchers due to its impact in analytic number theory and other applied sciences. Recently, Srivastava presented a considerably new universal family of Hurwitz–Lerch zeta functions defined by [1] (p. 1487, Equation (1.14)):

$$\begin{aligned} & \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) \\ &= \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} \exp\left(-at - \frac{b}{t^\lambda}\right) P^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_p, \sigma_p) \end{matrix} ; ze^{-t} \right] dt; \end{aligned} \quad (2)$$

( $\min[R(a), R(s)] > 0; R(b) \geq 0; \lambda \geq 0$ )



so that, evidently, one can get the subsequent connection with the extended Hurwitz–Lerch zeta functions  $\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a)$  defined by the authors of [2] (p. 503, Equation (6.2)) (see also References [3,4]):

$$\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; 0, \lambda) = \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a) = e^b \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, 0). \tag{3}$$

In the above Equation (2),  $p\Psi^* q$  where  $(p, q \in \mathbb{N}_0)$  is the standard Fox–Wright function defined by the authors of [4] (p. 2219, Equation (1)) (see also References [3] (p. 516, Equation (1)) and [2] (p. 493, Equation (2.1)):

$$p\Psi^* q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; z \right] = \sum_{\chi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi} z^\chi}{([\mu_q]_{\sigma_q \chi} \chi!)} \tag{4}$$

Pochhammer symbols  $([\lambda_p]_{\rho_p n}) := [\lambda_1]_{\rho_p n} \cdots [\lambda_p]_{\rho_p n}$  symbolize the shifted factorial defined in terms of the basic Gamma function as follows:

$$(\lambda)_\rho = \frac{\Gamma(\lambda + \rho)}{\Gamma(\lambda)} = \begin{cases} 1 & (\rho = 0, \lambda \in \mathbb{C} \setminus \{0\}) \\ \lambda(\lambda + 1) \dots (\lambda + \chi - 1) & (\rho = \chi \in \mathbb{N}; \lambda \in \mathbb{C}), \end{cases} \tag{5}$$

$$\Delta := \sum_{j=1}^q \sigma_j - \sum_{j=1}^p \rho_j \text{ and } \nabla := \left( \prod_{j=1}^p \rho_j^{-\rho_j} \right) \cdot \left( \prod_{j=1}^q \sigma_j^{\sigma_j} \right).$$

The series given by Equation (4) converges in the entire complex  $z$ -plane for  $\Delta > -1$ ; and if  $\Delta = 0$ , the series (Equation (4)) converges only for  $|z| < \nabla$ . For more detailed discussion of such functions, we refer the interested reader to also see References [5–9].

The analysis of Srivastava’s  $\lambda$ -generalized Hurwitz–Lerch zeta functions and its different forms have attracted noteworthy concern, and many papers have subsequently appeared on this subject. Jankov et al. [10] and Srivastava et al. [3] discussed some inequalities for different cases of  $\lambda$ -generalized Hurwitz–Lerch zeta functions. Srivastava et al. [11] introduced a nonlinear operator related with the  $\lambda$ -generalized Hurwitz–Lerch zeta functions to analyze the inclusion properties of definite subclass of special type of meromorphic functions. Srivastava and Gaboury [12] deliberated on new expansion formulas for such functions (see, for details, References [13,14]; see also the further thoroughly associated studies cited in each of these publications). Luo and Raina [4] discussed some new inequalities involving Srivastava’s  $\lambda$ -generalized Hurwitz–Lerch zeta functions and obtained the following series representation [4] (p. 2221, Equation (6)):

$$\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) = \frac{1}{\lambda \Gamma(s)} \sum_{\chi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi} z^\chi}{([\mu_q]_{\sigma_q \chi} \chi!)} Z_{\frac{\lambda}{\chi}}^{\frac{s}{\chi}}(a + \chi) \lambda^b \frac{z^\chi}{\chi!(\chi+a)^s}, \tag{6}$$

$$(\lambda_j \in \mathbb{R} (j = 1, \dots, p) \text{ and } \mu_j \in \mathbb{R} \setminus \mathbb{Z} - 0 (j = 1, \dots, q); \rho_j > 0 (j = 1, \dots, p); \sigma_j > 0 (j = 1, \dots, q); 1 + \Delta \geq 0).$$

Srivastava beautifully described important results about the zeta and related functions in an expository article [15]. Choi et al. [16] further discussed these functions by introducing one more variable. Srivastava et al. [17] presented an innovative integral transform connected with the  $\lambda$ -extended Hurwitz–Lerch zeta function. More recently, Tassaddiq [18] obtained a new representation for this family of the  $\lambda$ -generalized Hurwitz–Lerch zeta functions in terms of complex delta functions such that the definition of these functions is formalized over the space of entire test functions denoted by  $Z$ . The author also listed and discussed all the possible special cases of Srivastava’s  $\lambda$ -generalized Hurwitz–Lerch zeta functions [18] (p. 4) in the form of a table. For the purposes of our present investigation, this table is given on the next page. For any use of the special cases of the generalized Hurwitz–Lerch zeta functions, the reader is referred to this table. For more detailed study of zeta and related functions, we refer the interested reader to References [19–40] and further bibliography cited therein.

Table 1. Different special cases of  $\lambda$ -generalized Hurwitz–Lerch zeta functions [18].

	$\lambda = 1$	$\mu = 1$	$\lambda = \mu = 1$	$b = 0$	$(p-1 = q = 0; \lambda_1 = \mu; \rho_1 = 1)$
$\rho = \rho_1, \dots, \rho_p; \sigma = \sigma_1, \dots, \sigma_q; \lambda^* = \lambda_1, \dots, \lambda_p; \mu^* = \mu_1, \dots, \mu_q$					
$\min\{\Re(\rho), \Re(\sigma)\} > 0; \Re(\theta) \geq 0; \lambda \geq 0;$ $\lambda$ -Generalized Hurwitz–Lerch Zeta Functions	$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm z, s, a; b, \lambda)$ [1], (p. 1487), Equation (1.14)	$\Phi_{\mu}^{(\rho, \sigma)}(\pm z, s, a, b)$ [41], (p. 90), Equation (6) and [42]	$\Phi_b(\pm z, s, a, \lambda)$ $\Phi_b(\pm z, s, a)$	$\Phi_{\mu}^{(\rho, \sigma)}(\pm z, s, a)$ ([43], p. 100, Equation (1.15))	$\Phi(\pm z, s, a)$ ([44], p. 27, Equation (1.11))
$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm e^{-x}, s, a; b, \lambda)$ $\lambda$ -Generalized Extended Fermi–Dirac and Extended Bose–Einstein Functions	$\Theta_{\lambda, \mu}^{(\rho, \sigma)}(x, s, a; b, \lambda)$	$\Theta_{\mu}^{(\rho, \sigma)}(x, s, a, b)$	$\Theta_b(x, s, a, \lambda)$	$\Theta_{\mu}^{(\rho, \sigma)}(x, s, a)$ Equation (45)	$\Theta_{\lambda}(x, s)$ ([45], p. 9, Equation (3.14))
$\Psi_{\lambda, \mu}^{(\rho, \sigma)}(x, s, a; b, \lambda)$ $\lambda$ -Generalized Bose–Einstein Functions	$\Psi_{\mu}^{(\rho, \sigma)}(x, s, a; b)$	$\Psi_b(x, s, a, b)$	$\Psi_b(x, s, a, \lambda)$	$\Psi_{\mu}^{(\rho, \sigma)}(x, s, a)$ ([43], p. 12, Equation (45))	$\Psi_a(x, s)$ [45], p. 115, Equation (4.4)
$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm z, s, 1; b, \lambda)$ $\lambda$ -Generalized Polylogarithm Functions	$\text{Li}_{\lambda, \mu}^{(\rho, \sigma)}(\pm z, s; b, \lambda)$	$\text{Li}_{\mu}^{(\rho, \sigma)}(\pm z, s; b)$	$\text{Li}_b(z, s, \lambda)$	$\text{Li}_{\mu}^{(\rho, \sigma)}(z, s)$ Equation (47)	$\text{Li}_k(z)$ [44], (Chapter 1)
$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm e^{-x}, s + 1, b, \lambda)$ $\lambda$ -Generalized Fermi–Dirac and Bose–Einstein Functions	$\text{F}_{\lambda, \mu}^{(\rho, \sigma)}(x, s; b, \lambda)$	$\text{F}_{\mu}^{(\rho, \sigma)}(x, s, a; b)$	$\text{F}_b(x, s, \lambda)$	$\text{F}_{\lambda, \mu}^{(\rho, \sigma)}(x, s)$ Equation (47)	$\text{F}_s(x)$ ([45], p. 109, Equation (1.12))
$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm 1, s, a; b, \lambda)$ $\lambda$ -Generalized Hurwitz zeta Functions	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s, a; b, \lambda)$	$\zeta_{\mu}^{(\rho, \sigma)}(s, a; b)$	$\zeta_b(s, a, \lambda)$	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s, a)$ Equation (45)	$\zeta(s, a)$ [44], (Chapter 1)
$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm 1, s, 1, b, \lambda)$ $\lambda$ -Generalized Riemann Zeta Functions	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s)$	$\zeta_{\mu}^{(\rho, \sigma)}(s; b)$	$\zeta_b(s, \lambda)$	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s)$ Equation (43)	$\zeta(s)$ [44], (Chapter 1)

In this research, our focus is to establish some new difference equations for the family of  $\lambda$ -generalized Hurwitz–Lerch zeta functions and its special cases by following the approach of Tassaddiq and Qadir [33]. From the above discussion and Table 1, we can notice that several authors presented and studied worthwhile generalizations of the Hurwitz–Lerch zeta functions. They obtained various analytic formulas, integral, and series representations. However, as we deeply study Riemann zeta functions, we know their values, their graphs, and several other important aspects. We could not develop this approach for these generalizations. Bayad and Chiki [43] obtained reduction and duality formulas of the generalized Hurwitz–Lerch zeta functions. Their results contain the earlier obtained results of Choi [47]. These reduction formulas were concerned with the reduction of one parameter that represent the generalized Hurwitz–Lerch zeta  $\Phi_\mu^*(z, s, a)$  and Hurwitz zeta functions  $\zeta_\mu^*(s, a)$  in terms of Hurwitz–Lerch zeta  $\Phi(z, s, a)$  and Hurwitz zeta  $\zeta(s, a)$  functions, respectively. The difference equations presented here have the advantage of reducing the generalized Hurwitz–Lerch zeta  $\Phi_\mu^*(z, s, a)$  and the generalized Hurwitz zeta functions  $\zeta_\mu^*(s, a)$  in terms of basic polylogarithm  $\text{Li}_s(z)$  and zeta functions  $\zeta(s)$ , respectively. That means we have reduced one more parameter and our results are simple enough to evaluate these functions for different values of the involved parameters. By following the approach developed in this paper, we can initiate a deeper analysis of these functions that will enhance their applications. The Riemann hypothesis is a well-known unsolved problem in analytic number theory [22]. It states that “all the non-trivial zeros of the zeta function exist on the real line  $s = \frac{1}{2}$ ”. These zeros seem to be complex conjugates and hence symmetric on this line. The integrals of the zeta function and its generalizations are vital in the study of Riemann hypothesis and for the investigation of zeta functions themselves. The study of distributions in statistical inference and reliability theory [1,48,49] also involves such integrals. Difference equations obtained in this investigation are worthwhile to evaluate integrals of products of the family of  $\lambda$ -generalized Hurwitz–Lerch zeta functions that are consistent with the existing results.

The plan of the paper as follows: We present some new difference equations involving the  $\lambda$ -generalized Hurwitz–Lerch zeta functions in Section 2 and obtain similar results for other related functions. We discuss some applications of these difference equations in Section 3 by evaluating some special cases of the function. Based upon the results of Section 2, we evaluate new integrals of products of these functions in Section 4. We conclude our results in the last Section 5 by highlighting some future directions of this work.

Throughout this investigation, conditions on the parameters will be considered standard as given in Equations (1)–(6) and Table 1 unless otherwise stated.

## 2. Results

### New Difference Equation of the $\lambda$ -Generalized Hurwitz–Lerch Zeta Functions

**Theorem 1.** Prove that  $\lambda$ -Generalized Hurwitz–Lerch zeta functions satisfy the following relation:

$$\frac{\Gamma(s)\Phi_{(\lambda_1+\rho_1, \dots, \lambda_p, \mu_1+\sigma_1, \dots, \mu_q+\sigma_q)}^{(\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q)}(z, s, a+1; b, \lambda) = \frac{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}{z^{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}}} \left[ \begin{aligned} & b\lambda\Gamma(s-\lambda-1)\Phi_{(\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q)}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s-\lambda-1, a, b) + \Gamma(s) \\ & \left[ \Phi_{(\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q)}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s-1, a; b, \lambda) - a\Phi_{(\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q)}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) \right] \end{aligned} \right]. \tag{7}$$

**Proof:** Consider the function:

$$f(t) = \exp\left(-at - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{aligned} & (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ & (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{aligned} ; ze^{-t} \right] \tag{8}$$

and differentiate Equation (8) to get:

$$\begin{aligned} & \frac{d}{dt} \left[ \exp\left(-at - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; ze^{-t} \right] \right] = \\ & -a \cdot \exp\left(-at - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; ze^{-t} \right] \\ & + b\lambda \frac{\exp\left(-at - \frac{b}{t^\lambda}\right)}{t^{\lambda+1}} p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; ze^{-t} \right] \\ & - z \frac{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}}{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}} \exp\left(- (a+1)t - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1 + \rho_1, \rho_1), \dots, (\lambda_p + \rho_p, \rho_p) \\ (\mu_1 + \sigma_1, \sigma_1), \dots, (\mu_q + \sigma_q, \sigma_q) \end{matrix}; ze^{-t} \right] \end{aligned} \tag{9}$$

so that:

$$\begin{aligned} f'(t) = & \exp\left(-at - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; ze^{-t} \right] \left[ -a + \frac{b\lambda}{t^{\lambda+1}} \right] \\ & - z \frac{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}}{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}} \exp\left(- (a+1)t - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1 + \rho_1, \rho_1), \dots, (\lambda_p + \rho_p, \rho_p) \\ (\mu_1 + \sigma_1, \sigma_1), \dots, (\mu_q + \sigma_q, \sigma_q) \end{matrix}; ze^{-t} \right], \end{aligned} \tag{10}$$

where we have used the usual differentiation and the derivative property, which is obtained on the same lines as given by Reference [1] (p. 1492, Equation (3.1)):

$$\begin{aligned} & \frac{d}{dt} \left[ p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; ze^{-t} \right] \right] = \\ & - ze^{-t} \frac{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}}{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}} p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1 + \rho_1, \rho_1), \dots, (\lambda_p + \rho_p, \rho_p) \\ (\mu_1 + \sigma_1, \sigma_1), \dots, (\mu_q + \sigma_q, \sigma_q) \end{matrix}; ze^{-t} \right]. \end{aligned} \tag{11}$$

Taking Mellin transform on both sides of Equation (8) and using the defining integral representation as given in Equation (2), we can write:

$$\Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) = M \left[ \exp\left(-at - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; ze^{-t} \right]; s \right]. \tag{12}$$

Using the derivative property of Mellin transform given by, see [50] (Chapter 10):

$$M[u'(y); \tau] = -(\tau - 1)M[u(y); \tau - 1] \tag{13}$$

we obtain the following equation:

$$\begin{aligned} \Gamma(s) \left[ a \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) - \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s - 1, a; b, \lambda) \right] = \\ b\lambda \Gamma(s - \lambda - 1) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s - \lambda - 1, a, b) \\ - \frac{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}}{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}} z \Gamma(s) \Phi_{\lambda_1 + \rho_1, \dots, \lambda_p + \rho_p, \mu_1 + \sigma_1, \dots, \mu_q + \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a + 1; b, \lambda) \end{aligned} \tag{14}$$

which leads to:

$$\begin{aligned} & \frac{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}}{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}} z \Gamma(s) \Phi_{\lambda_1 + \rho_1, \dots, \lambda_p + \rho_p, \mu_1 + \sigma_1, \dots, \mu_q + \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a + 1; b, \lambda) = \\ & b\lambda \Gamma(s - \lambda - 1) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s - \lambda - 1, a, b) \\ & + \Gamma(s) \left[ \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s - 1, a; b, \lambda) - a \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) \right]. \end{aligned} \tag{15}$$

After some simple modifications, one can arrive at the required result of Equation (7). □

**Remark 1.** We can obtain similar results for other related functions as listed in Table 1 by considering different parameter values in the resulting corollaries.

**Corollary 1.**  $\lambda$ -Generalized Extended Fermi–Dirac functions have the following representation:

$$\frac{e^{x \cdot (\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}}{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ \Gamma(s) \Theta_{\lambda_1 + \rho_1, \dots, \lambda_p + \rho_p, \mu_1 + \sigma_1, \dots, \mu_q + \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a + 1; b, \lambda) = \Gamma(s) \left[ a \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; b, \lambda) - \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - 1, a; b, \lambda) \right] - b\lambda \Gamma(s - \lambda - 1) \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - \lambda - 1, a, b, \lambda) \right] \quad (16)$$

and  $\lambda$ -Generalized Extended Bose–Einstein functions have the following representation:

$$\Gamma(s) \Psi_{\lambda_1 + \rho_1, \dots, \lambda_p + \rho_p, \mu_1 + \sigma_1, \dots, \mu_q + \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a + 1; b, \lambda) = \frac{e^{x \cdot (\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}}{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ b\lambda \Gamma(s - \lambda - 1) \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - \lambda - 1, a, b, \lambda) + \Gamma(s) \left[ \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - 1, a; b, \lambda) - a \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; b, \lambda) \right] \right]. \quad (17)$$

**Proof.** The results follow directly from Equation (7) upon replacing  $z \rightarrow \pm e^{-x}$  and using the parallel case given in row 2 and column 2 of Table 1. □

**Corollary 2.**  $\lambda$ -Generalized Fermi–Dirac functions have the following representation:

$$\Gamma(s) \Theta_{\lambda_1 + \rho_1, \dots, \lambda_p + \rho_p, \mu_1 + \sigma_1, \dots, \mu_q + \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, 2; b, \lambda) = \frac{e^{x \cdot (\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}}{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ b\lambda \Gamma(s - \lambda - 1) \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - \lambda - 1, b, \lambda) + \Gamma(s) \left[ \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - 1; b, \lambda) - \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; b, \lambda) \right] \right] \quad (18)$$

and  $\lambda$ -Generalized Bose–Einstein functions have the following representation:

$$\Gamma(s) \Psi_{\lambda_1 + \rho_1, \dots, \lambda_p + \rho_p, \mu_1 + \sigma_1, \dots, \mu_q + \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, 2; b, \lambda) = \frac{e^{x \cdot (\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}}{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ b\lambda \Gamma(s - \lambda - 1) \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - \lambda - 1, b, \lambda) + \Gamma(s) \left[ \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s - 1; b, \lambda) - \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; b, \lambda) \right] \right]. \quad (19)$$

**Proof.** The results follow directly from Equation (7) upon replacing  $z \rightarrow \pm e^{-x}$ ;  $a \rightarrow 1$  and taking the item from Table 1 corresponding to these parameter values. □

**Corollary 3.**  $\lambda$ -Generalized Polylogarithm functions have the following representation:

$$\Gamma(s) \text{Li}_{\lambda_1 + \rho_1, \dots, \lambda_p + \rho_p, \mu_1 + \sigma_1, \dots, \mu_q + \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, 2; b, \lambda) = \frac{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}{z \cdot (\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ b\lambda \Gamma(s - \lambda - 1) \text{Li}_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s - \lambda - 1, b, \lambda) + \Gamma(s) \left[ \text{Li}_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s - 1; b, \lambda) - \text{Li}_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s; b, \lambda) \right] \right]. \quad (20)$$

**Proof.** The result follows directly from Equation (7) upon replacing  $a \rightarrow 1$  and considering the specific case of these parameter values from Table 1.  $\square$

**Corollary 4.**  $\lambda$ -Generalized Hurwitz zeta functions have the following representation:

$$\begin{aligned} \Gamma(s) \zeta_{\lambda_1+\rho_1, \dots, \lambda_p+\rho_p, \mu_1+\sigma_1, \dots, \mu_q+\sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, a+1; b, \lambda) \\ = \frac{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ b\Gamma(s-\lambda-1) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s-\lambda-1, a; b, \lambda) \right. \\ \left. + \Gamma(s) \left[ \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s-1, a; b, \lambda) - a \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, a; b, \lambda) \right] \right]. \end{aligned} \tag{21}$$

**Proof.** The result follows directly from Equation (7) upon replacing  $z \rightarrow 1$  and in view of the defined item from Table 1 dependable on these parameter values.  $\square$

**Corollary 5.**  $\lambda$ -Generalized Riemann zeta functions have the following representation:

$$\begin{aligned} \zeta_{\lambda_1+\rho_1, \dots, \lambda_p+\rho_p, \mu_1+\sigma_1, \dots, \mu_q+\sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, 2; b, \lambda) \\ = \frac{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}{(\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ b\Gamma(s-\lambda-1) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s-\lambda-1; b, \lambda) \right. \\ \left. + \Gamma(s) \left[ \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s-1; b, \lambda) - \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s; b, \lambda) \right] \right]. \end{aligned} \tag{22}$$

**Proof.** The result follows directly from Equation (7) upon replacing  $z \rightarrow 1; a \rightarrow 1$  and with reference to the definite element from Table 1 stable with these parameter values.  $\square$

**Remark 2.** We can get similar representations for other special cases of these functions by considering different parameter variations in view of Table 1 column-wise.

Note that by taking  $b = 0$  in the above results, we can get the following formulae for unified extended Hurwitz–Lerch zeta functions  $\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; 0, \lambda)$ :

$$\begin{aligned} \Phi_{\lambda_1+\rho_1, \dots, \lambda_p+\rho_p, \mu_1+\sigma_1, \dots, \mu_q+\sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a+1) \\ = \frac{(\mu_1)_{\sigma_1} \dots (\mu_q)_{\sigma_q}}{z \cdot (\lambda_1)_{\rho_1} \dots (\lambda_p)_{\rho_p}} \left[ \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s-1, a) - a \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a) \right]. \end{aligned} \tag{23}$$

Next, by selecting  $p-1 = q = 0; \lambda_1 = \mu \neq 0; 1 = \rho_1$ , in the above results, we can get the following result for unified Hurwitz–Lerch zeta functions  $\Phi_{\mu}^*(z, s, a)$ :

$$\int_0^{\infty} \frac{t^{s-1} e^{-(a+1)t}}{(1-ze^{-t})^{\mu+1}} dt = \Gamma(s) \Phi_{\mu+1}^*(z, s, a+1) = \frac{\Gamma(s)}{\mu z} [\Phi_{\mu}^*(z, s-1, a) - a \Phi_{\mu}^*(z, s, a)]. \tag{24}$$

Next, we note that by taking  $\mu = 1$ , we get for Hurwitz–Lerch zeta functions:

$$\int_0^{\infty} \frac{t^{s-1} e^{-(a+1)t}}{(1-ze^{-t})^2} dt = \Gamma(s) \Phi_2^*(z, s, a+1) = \frac{\Gamma(s) [\Phi(z, s-1, a) - a \Phi(z, s, a)]}{z}. \tag{25}$$

If we consider the same parameter values as above but with  $b \neq 0$ , then we can find the following new results for the extended Riemann and Hurwitz zeta functions:

$$\begin{aligned} \mu z \Gamma(s) \Theta_{\mu+1}^\lambda(z, s, a + 1, b) &= b \lambda \Gamma(s - \lambda - 1) \Theta_\mu^\lambda(z, s - \lambda - 1, a, b) \\ &+ \Gamma(s) \left[ \Theta_\mu^\lambda(z, s - 1, a, b) - a \Theta_\mu^\lambda(z, s, a, b) \right] \end{aligned} \tag{26}$$

$$\int_0^\infty \frac{t^{s-1} e^{-(a+1)t - \frac{b}{t}}}{(1 - ze^{-t})^2} dt = \Gamma(s) \Phi_2^*(z, s, a + 1; b, 1) = \frac{b \Gamma(s-2)}{z} \Phi_b(z, s - 2, a) + \frac{\Gamma(s)}{z} [\Phi_b(z, s - 1, a) - a \Phi_b(z, s, a)] \tag{27}$$

$$\int_0^\infty \frac{t^{s-1} e^{-2t - \frac{b}{t}}}{(1 - e^{-t})^2} dt = \Gamma(s) \zeta_2^*(s, 2; b, 1) = b \Gamma(s - 2) \zeta_b(s - 2) + \Gamma(s) [\zeta_b(s - 1) - \zeta_b(s)]. \tag{28}$$

**3. Some applications of the difference equation**

In this section, we consider some interesting special cases of difference equations. On one side, these are useful to know the values of generalized Hurwitz zeta functions  $\Phi_\mu^*(z, s, a)$  in terms of zeta functions, and on the other, they lead to the computation of some elementary integrals that are nontrivial to obtain for small values of  $\mu = 2, 3, 4, 5$  and the large values of  $s$ .

Taking  $\mu = 2$  and  $a \rightarrow a + 1$  in Equation (24), we get:

$$\int_0^\infty \frac{t^{s-1} e^{-(a+2)t}}{(1 - ze^{-t})^3} dt = \Gamma(s) \Phi_3^*(z, s, a + 2) = \frac{\Gamma(s)}{1.2.z} [\Phi_2^*(z, s - 1, a + 1) - (a + 1) \Phi_2^*(z, s, a + 1)]. \tag{29}$$

Next, making use of Equation (25) on the right-hand side of the above Equation (29) leads to the following form of  $\Phi_3^*(z, s, a + 2)$  in terms of the Hurwitz–Lerch zeta function:

$$\begin{aligned} \int_0^\infty \frac{t^{s-1} e^{-(a+2)t}}{(1 - ze^{-t})^3} dt &= \frac{\Gamma(s)}{1.2.z^2} [\Phi(z, s - 2, a) - a \Phi(z, s - 1, a) - (a + 1) \Phi(z, s - 1, a) + a(a + 1) \Phi(z, s, a)] \\ &= \frac{\Gamma(s)}{1.2.z^2} [ \Phi(z, s - 2, a) - (2a + 1) \Phi(z, s - 1, a) + a(a + 1) \Phi(z, s, a) ]. \end{aligned} \tag{30}$$

Now we consider some interesting special cases of the above Equation (30).

For  $z = 1$ , it leads to the following representation in terms of the Hurwitz zeta function:

$$\int_0^\infty \frac{t^{s-1} e^{-(a+2)t}}{(1 - e^{-t})^3} dt = \frac{\Gamma(s)}{1.2} [\zeta(s - 2, a) - (2a + 1) \zeta(s - 1, a) + a(a + 1) \zeta(s, a)]; \tag{31}$$

$$\int_0^\infty \frac{t^{s-1} e^{-(a+2)t}}{(1 - e^{-t})^3} dt = \frac{\Gamma(s)}{1.2} [\zeta(s - 2, a) - (2a + 1) \zeta(s - 1, a) + a(a + 1) \zeta(s, a)]. \tag{32}$$

For  $a = 1$ , we get the following representation in terms of the polylogarithm function:

$$\int_0^\infty \frac{t^{s-1} e^{-3t}}{(1 - ze^{-t})^3} dt = \frac{\Gamma(s)}{1.2.z^3} [Li_{s-2}(z) - 3Li_{s-1}(z) + 2Li_s(z)]. \tag{33}$$

For  $a = 1, z = 1$ , it leads to the following relation in terms of the zeta function:

$$\int_0^\infty \frac{t^{s-1} e^{-3t}}{(1 - e^{-t})^3} dt = \frac{\Gamma(s)}{1.2} [2\zeta(s) + \zeta(s - 2) - 3\zeta(s - 1)]; (s \neq 1, 2, 3). \tag{34}$$

For  $s = 4$  in Equation (34), we get the following integral:

$$\int_0^\infty \frac{t^3 e^{-3t}}{(1 - e^{-t})^3} dt = \frac{\Gamma(4)}{1.2} [2\zeta(4) + \zeta(2) - 3\zeta(3)]. \tag{35}$$

Similarly, by considering different values of  $s$ , we can produce the following Tables 2 and 3 of values. These computations show that Mathematica is unable to compute the involved integral on

a commonly available computer for the large values of  $s$ , but it can be done using these new difference equations. For small values of  $s$ , our results are 100% accurate with the direct computed results

**Table 2.** Computation of  $\int_0^\infty \frac{t^{s-1}e^{-3t}}{(1-e^{-t})^3} dt$ .

s	Direct Evaluation by Mathematica	Using difference Equation (34)
4	0.610229	0.610229
30	$4.29669 \times 10^{16}$	$4.29669 \times 10^{16}$
40	$1.67783 \times 10^{27}$	$1.67783 \times 10^{27}$
45	$8.998 \times 10^{32}$	$8.998 \times 10^{32}$
46	$1.3497 \times 10^{34}$	$1.3497 \times 10^{34}$
48	$3.24227 \times 10^{36}$	$3.24227 \times 10^{36}$
48.5	$1.29353 \times 10^{37}$	$1.29353 \times 10^{37}$
48.9	$3.92781 \times 10^{37}$	$3.92781 \times 10^{37}$
49	$5.18762 \times 10^{37}$	$5.18762 \times 10^{37}$
52	$2.40071 \times 10^{41}$	$2.40071 \times 10^{41}$
56	$2.426 \times 10^{46}$	$2.426 \times 10^{46}$
160	Unable to compute	$1.349 \times 10^{206}$
220	Unable to compute	$1.121 \times 10^{314}$
400	Unable to compute	$2.269 \times 10^{675}$

Putting  $\mu = 3, a \rightarrow a + 2$  in Equation (24), we get:

$$\int_0^\infty \frac{t^{s-1}e^{-(a+3)t}}{(1-ze^{-t})^{3+1}} dt = \Gamma(s)\Phi_{3+1}^*(z, s, a + 3) = \frac{\Gamma(s)}{3.2} [\Phi_3^*(z, s - 1, a + 2) - (a + 2)\Phi_3^*(z, s, a + 2)]. \tag{36}$$

Next, combining the above two results (Equations (30) and (36)), we get the following representation in terms of the Hurwitz–Lerch zeta function

$$\int_0^\infty \frac{t^{s-1}e^{-(a+3)t}}{(1-ze^{-t})^4} dt = \frac{\Gamma(s)}{1.2.3.z^3} \left[ \Phi(z, s - 3, a) - 3(a + 1)\Phi(z, s - 2, a) + (3a^2 + 6a + 2)\Phi(z, s - 1, a) - a(a + 1)(a + 2)\Phi(z, s, a) \right]. \tag{37}$$

Some interesting special cases: For  $z = 1$ , it leads to the following representation in terms of the Hurwitz zeta function:

$$\int_0^\infty \frac{t^{s-1}e^{-(a+3)t}}{(1-e^{-t})^4} dt = \Gamma(s)\zeta_4^*(s, a + 3) = \frac{\Gamma(s)}{1.2.3} \left[ \zeta(s - 3, a) - 3(a + 1)\zeta(s - 2, a) + (3a^2 + 6a + 2)\zeta(s - 1, a) - a(a + 1)(a + 2)\zeta(s, a) \right]. \tag{38}$$

For  $a = 1$ , we get the following representation in terms of the polylogarithm function:

$$\int_0^\infty \frac{t^{s-1}e^{-4t}}{(1-ze^{-t})^4} dt = \Gamma(s)\Phi_4^*(z, s, 4) = \frac{\Gamma(s)}{1.2.3.z^4} [Li_{s-3}(z) - 6Li_{s-2}(z) + 11Li_{s-1}(z) - 6Li_s(z)]. \tag{39}$$

For  $a = 1, z = 1$ , it leads to the following relation in terms of the zeta function:



$$\int_0^\infty \frac{t^{s-1}e^{-4t}}{(1-e^{-t})^4} dt = \Gamma(s)\zeta_4^*(s, 4)$$

$$= \frac{\Gamma(s)}{1.2.3} \left[ \zeta(s-3) - 6\zeta(s-2) + 11\zeta(s-1) - 6\zeta(s) \right]; (s \neq 1, 2, 3, 4).$$
(40)

For s = 5, we have:

$$\int_0^\infty \frac{t^4 e^{-4t}}{(1-e^{-t})^4} dt = \Gamma(5)\zeta_4^*(4, 4)$$

$$= \frac{\Gamma(5)}{1.2.3} \left[ \zeta(2) - 6\zeta(3) + 11\zeta(4) - 6\zeta(5) \right].$$
(41)

**Table 3.** Computation of  $\int_0^\infty \frac{t^{s-1}e^{-4t}}{(1-e^{-t})^4} dt$ .

s	Direct Evaluation by Mathematica	By using difference Equation (40)
90	$1.07719 \times 10^{82}$	$1.07719 \times 10^{82}$
100	$5.8077 \times 10^{95}$	$5.8077 \times 10^{95}$
140	Unable to compute	$1.78191 \times 10^{156}$
160	Unable to compute	$1.37955 \times 10^{186}$

Similarly, putting  $\mu = 4, a \rightarrow a + 3$  in Equation (24), we get:

$$\int_0^\infty \frac{t^{s-1}e^{-(a+4)t}}{(1-ze^{-t})^{4+1}} dt = \Gamma(s)\Phi_{4+1}^*(z, s, a + 4)$$

$$= \frac{\Gamma(s)}{4.z} \left[ \Phi_4^*(z, s-1, a+3) - (a+3)\Phi_4^*(z, s, a+3) \right].$$
(42)

Next, combining the above two results (Equations (38) and (42)), we get:

$$\int_0^\infty \frac{t^{s-1}e^{-(a+4)t}}{(1-ze^{-t})^5} dt$$

$$= \frac{\Gamma(s)}{1.2.3.4.z^4} \left[ \begin{aligned} &\Phi(z, s-3, a) + (6a^2 + 18a + 11)\Phi(z, s-2, a) \\ &- (4a^3 + 18a^2 + 22a + 6)\Phi(z, s-2, a) + a(a+1)(a+2)(a+3)\Phi(z, s, a) \end{aligned} \right].$$
(43)

Some interesting special cases:

For z = 1:

$$\int_0^\infty \frac{t^{s-1}e^{-(a+4)t}}{(1-e^{-t})^5} dt = \Gamma(s)\zeta_5^*(s, a + 4)$$

$$= \frac{\Gamma(s)}{1.2.3.4} \left[ \begin{aligned} &\zeta(s-4, a) - 2(2a+3)\zeta(s-3, a) + (6a^2 + 18a + 11)\zeta(s-2, a) \\ &- (4a^3 + 18a^2 + 22a + 6)\zeta(s-2, a) + a(a+1)(a+2)(a+3)\zeta(s, a) \end{aligned} \right].$$
(44)

For a = 1:

$$\int_0^\infty \frac{t^{s-1}e^{-5t}}{(1-ze^{-t})^5} dt = \Gamma(s)\Phi_5^*(z, s, 5)$$

$$= \frac{\Gamma(s)}{1.2.3.4.z^5} \left[ \begin{aligned} &Li_{s-4}(z) - 10Li_{s-3}(z) + 37Li_{s-2}(z) \\ &- 50Li_{s-2}(z) + 24Li_s(z) \end{aligned} \right].$$
(45)

For a = 1, z = 1:

$$\int_0^\infty \frac{t^{s-1}e^{-5t}}{(1-e^{-t})^5} dt = \Gamma(s)\zeta_5^*(s, 5)$$

$$= \frac{\Gamma(s)}{1.2.3.4} \left[ \begin{aligned} &\zeta(s-4) - 10\zeta(s-3) + 37\zeta(s-2) \\ &- 50\zeta(s-2) + 24\zeta(s) \end{aligned} \right]; (s \neq 1, 2, 3, 4, 5).$$
(46)

Now put s = 6:

$$\int_0^\infty \frac{t^5 e^{-5t}}{(1-e^{-t})^5} dt = \Gamma(6)\zeta_5^*(6, 5)$$

$$= \frac{\Gamma(6)}{1.2.3.4} \left[ \begin{aligned} &\zeta(2) - 10\zeta(3) + 37\zeta(4) \\ &- 50\zeta(5) + 24\zeta(6) \end{aligned} \right].$$
(47)

Continuing in this way, by putting  $\mu = 5, a \rightarrow a + 4$  in Equation (24), we get:

$$\int_0^\infty \frac{t^{s-1}e^{-(a+5)t}}{(1-ze^{-t})^{s+1}} dt = \Gamma(s)\Phi_{5+1}^*(z, s, a + 5) \tag{48}$$

$$= \frac{\Gamma(s)}{5z} [\Phi_5^*(z, s - 1, a + 4) - (a + 4)\Phi_5^*(z, s, a + 4)].$$

Next, combining the above two results of Equations (43) and (48), we can get  $\Phi_6^*(z, s, a + 4)$  in terms of Hurwitz–Lerch zeta functions. Similarly, for nonzero values of  $z$ , for example,  $z = 0.3; a = 1; \mu = 3$  in Equation (33) we have:

$$\int_0^\infty \frac{(e^{-3} t^4)}{\left(1 - \frac{3e^{-t}}{10}\right)^3} dt = 0.125061 \tag{49}$$

$$\frac{\Gamma(5)(Li_3(0.3) - 3 Li_4(0.3) + 2 Li_5(0.3))}{2 (0.3)^3} = 0.125061 \tag{50}$$

and so on and so forth.

#### 4. Integrals of products of the family of $\lambda$ -Generalized Hurwitz–Lerch zeta functions

By means of the basic Parseval’s identity of Mellin transform [50] (Chapter 10) and difference equations obtained in Section 2, we can get the following integral formulae in view of Equation (2) and column 3 of Table 1. For example, for the generalized Hurwitz–Lerch zeta functions  $\Theta_\mu^\lambda(z, s, a, b)$ :

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Theta_\mu^\lambda(z, s, a, b)\Theta_\delta^\lambda(z, w-s, a, b) = \int_0^\infty \frac{t^{w-1}e^{-2at-\frac{2b}{t^\lambda}}}{(1-ze^{-t})^{\mu+\delta}} dt \tag{51}$$

that leads to the following by simply replacing  $\mu \rightarrow \mu + \delta - 1$  in Equation (26):

$$\Gamma(\omega)\Theta_{\mu+\delta}^\lambda(z, \omega, 2a, 2b) = \frac{1}{z(\mu-1+\delta)} \left[ \begin{array}{c} 2b\lambda\Gamma(\omega-\lambda-1)\Theta_{\mu-1+\delta}^\lambda(z, \omega-\lambda-1, 2a-1, 2b) \\ +\Gamma(\omega)\Theta_{\mu-1+\delta}^\lambda(z, \omega-1, 2a-1, 2b) \\ -(2a-1)\Gamma(\omega)\Theta_{\mu-1+\delta}^\lambda(z, \omega, 2a-1, 2b) \end{array} \right]. \tag{52}$$

Therefore, we get the following new integral formulae for other related cases given in column 3 of Table 1 and Equations (51) and (52):

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Theta_\mu^\lambda(z, s, a, b)\Theta_\delta^\lambda(z, w-s, a, b) = \int_0^\infty \frac{t^{w-1}e^{-2at-\frac{2b}{t^\lambda}}}{(1+e^{-x}e^{-t})^{\mu+\delta}} dt$$

$$= \frac{e^x}{\mu-1+\delta} \left[ \begin{array}{c} \Gamma(\omega) \left[ (2a-1)\Theta_{\mu-1+\delta}^\lambda(x, \omega, 2a-1, 2b) - \Theta_{\mu-1+\delta}^\lambda(x, \omega-1, 2a-1, 2b) \right] \\ -2b\lambda\Gamma(\omega-\lambda-1)\Theta_{\mu-1+\delta}^\lambda(x, \omega-\lambda-1, 2a-1, 2b) \end{array} \right] \tag{53}$$

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)F_\mu^\lambda(x, s, b)F_\delta^\lambda(x, w-s, b) = \int_0^\infty \frac{t^{w-1}e^{-2t-\frac{2b}{t^\lambda}}}{(1+e^{-x}e^{-t})^{\mu+\delta}} dt$$

$$= \frac{e^x}{\mu-1+\delta} \left[ \begin{array}{c} \Gamma(\omega) \left[ F_{\mu-1+\delta}^\lambda(x, \omega, 2b) - F_{\mu-1+\delta}^\lambda(x, \omega-1, 2b) \right] \\ -2b\lambda\Gamma(\omega-\lambda-1)F_{\mu-1+\delta}^\lambda(x, \omega-\lambda-1, 2b) \end{array} \right] \tag{54}$$

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Psi_\mu^\lambda(z, s, a, b)\Psi_\delta^\lambda(z, w-s, a, b) = \int_0^\infty \frac{t^{w-1}e^{-2at-\frac{2b}{t^\lambda}}}{(1-e^{-x}e^{-t})^{\mu+\delta}} dt$$

$$= \frac{e^x}{\mu-1+\delta} \left[ \begin{array}{c} 2b\lambda\Gamma(\omega-\lambda-1)\Psi_{\mu-1+\delta}^\lambda(x, \omega-\lambda-1, 2a-1, 2b) \\ +\Gamma(\omega) \left[ \Psi_{\mu-1+\delta}^\lambda(x, \omega-1, 2a-1, 2b) \right] - (2a-1)\Psi_{\mu-1+\delta}^\lambda(x, \omega, 2a-1, 2b) \end{array} \right] \tag{55}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)B_{\mu}^{\lambda}(x,s,b)B_{\delta}^{\lambda}(x,w-s,b) = \int_0^{\infty} \frac{t^{w-1}e^{-2t-\frac{2b}{t^{\lambda}}}}{(1-e^{-x}e^{-t})^{\mu+\delta}} dt \\ & = \frac{e^x}{\mu-1+\delta} \left[ \begin{aligned} & 2b\lambda\Gamma(\omega-\lambda-1)B_{\mu-1+\delta}^{\lambda}(x,\omega-\lambda-1,2b) \\ & +\Gamma(\omega)\left[B_{\mu-1+\delta}^{\lambda}(x,\omega-1,2b)-B_{\mu-1+\delta}^{\lambda}(x,w,2b)\right] \end{aligned} \right] \end{aligned} \tag{56}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)Li_{\mu}^{\lambda}(z,s,b)Li_{\delta}^{\lambda}(z,w-s,b) = \int_0^{\infty} \frac{t^{w-1}e^{-2t-\frac{2b}{t^{\lambda}}}}{(1-ze^{-t})^{\mu+\delta}} dt \\ & = \frac{1}{(\mu-1+\delta)z} \left[ \begin{aligned} & 2b\lambda\Gamma(\omega-\lambda-1)Li_{\mu-1+\delta}^{\lambda}(x,\omega-\lambda-1,2b) \\ & +\Gamma(\omega)\left[Li_{\mu-1+\delta}^{\lambda}(x,\omega-1,2b)-Li_{\mu-1+\delta}^{\lambda}(x,w,2b)\right] \end{aligned} \right] \end{aligned} \tag{57}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\zeta_{\mu}^{\lambda}(s,a;b)\zeta_{\delta}^{\lambda}(w-s,a;b) = \int_0^{\infty} \frac{t^{w-1}e^{-2at-\frac{2b}{t^{\lambda}}}}{(1-e^{-t})^{\mu+\delta}} dt \\ & = \frac{1}{(\mu-1+\delta)} \left[ \begin{aligned} & 2b\lambda\Gamma(\omega-\lambda-1)\zeta_{\mu-1+\delta}^{\lambda}(\omega-\lambda-1,2a-1,2b) \\ & +\Gamma(\omega)\left[\zeta_{\mu-1+\delta}^{\lambda}(w-1,2a-1,2b)-(2a-1)\zeta_{\mu-1+\delta}^{\lambda}(w,2a-1,2b)\right] \end{aligned} \right] \end{aligned} \tag{58}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\zeta_{\mu}^{\lambda}(s;b)\zeta_{\delta}^{\lambda}(w-s;b) = \int_0^{\infty} \frac{t^{w-1}e^{-2t-\frac{2b}{t^{\lambda}}}}{(1-e^{-t})^{\mu+\delta}} dt \\ & = \frac{1}{(\mu-1+\delta)} \left[ \begin{aligned} & 2b\lambda\Gamma(\omega-\lambda-1)\zeta_{\mu-1+\delta}^{\lambda}(\omega-\lambda-1,2b) \\ & +\Gamma(\omega)\left[\zeta_{\mu-1+\delta}^{\lambda}(w-1,2b)-\zeta_{\mu-1+\delta}^{\lambda}(w,2b)\right] \end{aligned} \right] . \end{aligned} \tag{59}$$

Next, for  $b = 0$ , we can get the following new formulae in view of column 8 of Table 1 and Equation (53):

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Phi_{\mu}^*(z,s,a)\Phi_{\delta}^*(z,w-s,a) = \int_0^{\infty} \frac{t^{w-1}e^{-2at}}{(1-ze^{-t})^{\mu+\delta}} dt \\ & = \frac{\Gamma(\omega)}{(\mu-1+\delta)z} \left[ \Phi_{\mu-1+\delta}^*(z,\omega-1,2a-1)-(2a-1)\Phi_{\mu-1+\delta}^*(z,w,2a-1) \right] \end{aligned} \tag{60}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Theta_{\mu}^*(x,s,a)\Theta_{\delta}^*(x,w-s,a) = \int_0^{\infty} \frac{t^{w-1}e^{-2at}}{(1+e^{-x}e^{-t})^{\mu+\delta}} dt \\ & = \frac{e^x\Gamma(\omega)}{\mu-1+\delta} \left[ (2a-1)\Theta_{\mu-1+\delta}^*(x,w,2a-1)-\Theta_{\mu-1+\delta}^*(x,\omega-1,2a-1) \right] \end{aligned} \tag{61}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)F_{\mu}^*(x,s)F_{\delta}^*(x,w-s) = \int_0^{\infty} \frac{t^{w-1}e^{-2t}}{(1+e^{-x}e^{-t})^{\mu+\delta}} dt \\ & = \frac{e^x\Gamma(\omega)}{\mu-1+\delta} \left[ F_{\mu-1+\delta}^*(x,w)-F_{\mu-1+\delta}^*(x,\omega-1) \right] \end{aligned} \tag{62}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Psi_{\mu}^*(x,s,a)\Psi_{\delta}^*(x,w-s,a) = \int_0^{\infty} \frac{t^{w-1}e^{-2at}}{(1-e^{-x}e^{-t})^{\mu+\delta}} dt \\ & = \frac{e^x\Gamma(\omega)}{\mu-1+\delta} \left[ \Psi_{\mu-1+\delta}^*(x,\omega-1,2a-1)-(2a-1)\Psi_{\mu-1+\delta}^*(x,w,2a-1) \right] \end{aligned} \tag{63}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)B_{\mu}^*(x,s)B_{\delta}^*(x,w-s) = \int_0^{\infty} \frac{t^{w-1}e^{-2t}}{(1-e^{-x}e^{-t})^{\mu+\delta}} dt \\ & = \frac{e^x\Gamma(\omega)}{\mu-1+\delta} \left[ B_{\mu-1+\delta}^*(x,\omega-1)-B_{\mu-1+\delta}^*(x,w) \right] \end{aligned} \tag{64}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)Li_{\mu}^*(z,s)Li_{\delta}^*(z,w-s) = \int_0^{\infty} \frac{t^{w-1}e^{-2t}}{(1-ze^{-t})^{\mu+\delta}} dt \\ & = \frac{\Gamma(\omega)}{(\mu-1+\delta)z} \left[ Li_{\mu-1+\delta}^*(z,\omega-1)-Li_{\mu-1+\delta}^*(z,w) \right] \end{aligned} \tag{65}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\zeta_{\mu}^*(s,a)\zeta_{\delta}^*(w-s,a)ds = \int_0^{\infty} \frac{t^{w-1}e^{-2at}}{(1-e^{-t})^{\mu+\delta}} dt \\ & = \frac{\Gamma(\omega)}{(\mu-1+\delta)} \left[ \zeta_{\mu-1+\delta}^*(\omega-1,a)-(2a-1)\zeta_{\mu-1+\delta}^*(w,a) \right] \end{aligned} \tag{66}$$

$$\begin{aligned} & \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\zeta_{\mu}^*(s)\zeta_{\delta}^*(w-s)ds = \int_0^{\infty} \frac{t^{w-1}e^{-2t}}{(1-e^{-t})^{\mu+\delta}} dt \\ & = \frac{\Gamma(\omega)}{(\mu-1+\delta)} \left[ \zeta_{\mu-1+\delta}^*(\omega-1)-\zeta_{\mu-1+\delta}^*(w) \right] . \end{aligned} \tag{67}$$

Next, if we consider  $\delta = \mu = \lambda = 1; b \neq 0$  in Equation (53), we can obtain the following new integral formulae in view of column 6 of Table 1:

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Phi_b(z,s,a) \Phi_b(z,w-s,a)ds = \int_0^\infty \frac{t^{w-1}e^{-2at-\frac{2b}{t}}}{(1-ze^{-t})^2} dt \tag{68}$$

$$= \frac{2b\Gamma(\omega-2)\Phi_{2b}(z,\omega-2,2a-1)+\Gamma(\omega)[\Phi_{2b}(z,\omega-1,2a-1)-(2a-1)\Phi_{2b}(z,\omega,2a-1)]}{z}$$

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\text{Li}_b(z,s) \text{Li}_b(z,w-s)ds = \int_0^\infty \frac{t^{w-1}e^{-2t-\frac{2b}{t}}}{(1-ze^{-t})^2} dt \tag{69}$$

$$= \frac{2b\Gamma(\omega-2)\text{Li}_{2b}(z,\omega-2)+\Gamma(\omega)[\text{Li}_{2b}(z,\omega-1)-\text{Li}_{2b}(z,\omega)]}{z}$$

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\zeta_b(s,a) \zeta_b(w-s,a)ds = \int_0^\infty \frac{t^{w-1}e^{-2at-\frac{2b}{t}}}{(1-e^{-t})^2} dt \tag{70}$$

$$= 2b\Gamma(\omega-2)\zeta_{2b}(\omega-2,2a-1) + \Gamma(\omega)[\zeta_{2b}(\omega-1,2a-1) - (2a-1)\zeta_{2b}(\omega,2a-1)]$$

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\zeta_b(s) \zeta_b(w-s)ds = \int_0^\infty \frac{t^{w-1}e^{-2t-\frac{2b}{t}}}{(1-e^{-t})^2} dt \tag{71}$$

$$= 2b\Gamma(\omega-2)\zeta_{2b}(\omega-2) + \Gamma(\omega)[\zeta_{2b}(\omega-1) - \zeta_{2b}(\omega)]$$

Similarly, by considering the different parameter values consistent with the results obtained in Section 2, one can obtain more integral formulae for the family of zeta and associated functions. One model is the following by means of Theorem 1:

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,s,a,b;\lambda)\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,w-s,a,b;\lambda)ds$$

$$= \frac{(\mu_1)_{\sigma_1}\dots(\mu_q)_{\sigma_q}}{z(\lambda_1)_{\rho_1}\dots(\lambda_p)_{\rho_p}} \left[ \begin{aligned} &2b\lambda\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}\Gamma(w-\lambda-1)(z,w-\lambda-1,2a-1,2b) \\ &+ \Gamma(\omega) \left[ \begin{aligned} &\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,w-1,2a-1;2b,\lambda) - \\ &(2a-1)\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,w,2a-1;2b,\lambda) \end{aligned} \right] \end{aligned} \right] \tag{72}$$

and for  $b = 0$ , it leads to:

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \Gamma(s)\Gamma(w-s)\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,s,a)\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,w-s,a)ds$$

$$= \frac{\Gamma(\omega)(\mu_1)_{\sigma_1}\dots(\mu_q)_{\sigma_q}}{z(\lambda_1)_{\rho_1}\dots(\lambda_p)_{\rho_p}} \left[ \begin{aligned} &\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,w-1,2a-1;2b,\lambda) - \\ &(2a-1)\Phi_{\lambda_1,\dots,\lambda_p,\mu_1,\dots,\mu_q}^{(\rho_1,\dots,\rho_p,\sigma_1,\dots,\sigma_q)}(z,w,2a-1;2b,\lambda) \end{aligned} \right]. \tag{73}$$

**5. Discussion and Future Directions**

In this study, we obtained some recurrence relations for the newly defined family of the  $\lambda$ -generalized Hurwitz–Lerch zeta functions using the familiar Mellin transforms. These relations proved valuable to acquire new integral formulae involving the family of zeta functions. The outcomes were also confirmed with the previous obtained results as special cases. It is remarkable that the recurrence relations obtained in this research work are worthwhile to achieve simple relations such as Equations (34) and (40) that express special cases of  $\lambda$ -generalized Hurwitz–Lerch zeta functions in terms of Riemann zeta functions, so that we can evaluate the values of these functions. By following the method, we can obtain significant new results by considering the further specific values of the involved parameters. This is useful for the further analysis of these functions by plotting the graphs and deriving different series and asymptotic representations, etc. This work is in progress and would be a part of some future research.

$\lambda$ -generalized Hurwitz–Lerch zeta functions analytically generalize the functions of the zeta family and offer consideration for some further presumable new members of this family that are not discussed in the literature. This aspect is most suitable for attaining new consequences from one key result. Our foremost results produce simultaneously important new results for a class of

well-studied functions by applying the new difference equations. The Bose–Einstein and Fermi–Dirac functions are of fundamental importance in quantum statistics that contracts by means of two specific categories of spin symmetry, that is, fermions and bosons. Fitting together these functions here with the  $\lambda$ -generalized Hurwitz–Lerch zeta functions yields substantial new identities for them that provides clues regarding the forthcoming applications of these difference equations in quantum physics and associated fields. This practice to acquire the outcomes by making use of new difference equations explores the required simplicity that always inspires hope. We have discussed here the direct consequences of our results. It is remarked that the method established in this research is in fact noteworthy for the analysis and study of these higher transcendental functions.

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Article

# Some General Classes of $q$ -Starlike Functions Associated with the Janowski Functions

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**Abstract:** By making use of the concept of basic (or  $q$ -) calculus, various families of  $q$ -extensions of starlike functions of order  $\alpha$  in the open unit disk  $\mathbb{U}$  were introduced and studied from many different viewpoints and perspectives. In this paper, we first investigate the relationship between various known classes of  $q$ -starlike functions that are associated with the Janowski functions. We then introduce and study a new subclass of  $q$ -starlike functions that involves the Janowski functions. We also derive several properties of such families of  $q$ -starlike functions with negative coefficients including (for example) distortion theorems.

**Keywords:** analytic functions; univalent functions; starlike and  $q$ -starlike functions;  $q$ -derivative (or  $q$ -difference) operator; sufficient conditions; distortion theorems; Janowski functions

**MSC:** Primary 05A30, 30C45; Secondary 11B65, 47B38

## 1. Introduction

The basic (or  $q$ -) calculus is the ordinary classical calculus without the notion of limits, while  $q$  stands for the quantum. The application of the  $q$ -calculus was initiated by Jackson [1,2]. Later, geometrical interpretation of the  $q$ -analysis was recognized through studies on quantum groups. It also suggests a relation between integrable systems and  $q$ -analysis. Aral and Gupta [3–5] defined and studied the  $q$ -analogue of the Baskakov-Durrmeyer operator, which is based on the  $q$ -analogue of the beta function. Some other important  $q$ -generalizations and  $q$ -extensions of complex operators are the  $q$ -Picard and the  $q$ -Gauss-Weierstrass singular-integral operators, which are discussed in [6–8].

In Geometric Function Theory, several subclasses of the normalized analytic function class  $\mathcal{A}$  have already been analyzed and investigated through various perspectives. The  $q$ -calculus provides valuable tools that have been extensively used in order to examine several subclasses of the normalized analytic function class  $\mathcal{A}$  in the open unit disk  $\mathbb{U}$ . Ismail et al. [9] were the first to use the  $q$ -derivative operator  $D_q$  in order to study a certain  $q$ -analogue of the class  $\mathcal{S}^*$  of starlike functions in  $\mathbb{U}$  (see Definition 6 below). Mohammed and Darus [10] studied the approximation and geometric properties of these  $q$ -operators in some subclasses of analytic functions in a compact disk. These  $q$ -operators are defined by using the convolution of normalized analytic functions and  $q$ -hypergeometric functions, where several interesting results were obtained (see [11,12]). Certain basic properties of the  $q$ -close-to-convex functions were studied by Raghavendar and Swaminathan [13]. Aral et al. [14] successfully studied the applications of the  $q$ -calculus in operator theory. Kanas and Raducanu [15] used the fractional



$q$ -calculus operators in investigations of certain classes of functions, which are analytic in the open unit disk  $\mathbb{U}$  by using the idea of the canonical domain. The coefficient inequality problems for  $q$ -closed-to-convex functions with respect to Janowski starlike functions were studied recently (see, for example, [16]). In the year 2016, Wongsajjai and Sukantamala [17] published a paper, in which they generalized certain subclasses of starlike functions in a systematic way. In fact, they made a very significant usage of the  $q$ -calculus basically in the context of Geometric Function Theory. Moreover, the generalized basic (or  $q$ -) hypergeometric functions were first used in Geometric Function Theory in a book chapter by Srivastava (see, for details [18], (p. 347 et seq.); see also [19]).

Motivated by the works of Wongsajjai and Sukantamala [17] and other related works cited above in this paper, we shall consider three new subfamilies of  $q$ -starlike functions with respect to Janowski functions. Several properties and characteristics, for example, sufficient conditions, inclusion results, distortion theorems, and radius problems, shall be discussed in this investigation. We shall also point out some relevant connections of our results with the existing results.

We denote by  $\mathcal{H}(\mathbb{U})$  the class of functions that are analytic in the open unit disk:

$$\mathbb{U} = \{z : z \in \mathbb{C} \quad \text{and} \quad |z| < 1\},$$

where  $\mathbb{C}$  is the set of complex numbers. Let  $\mathcal{A}$  be the subclass of functions  $f \in \mathcal{H}(\mathbb{U})$ , which are represented by the following Taylor-Maclaurin series expansion:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (z \in \mathbb{U}) \tag{1}$$

that is, which satisfy the normalization condition given by

$$f(0) = f'(0) - 1 = 0.$$

Furthermore, let  $\mathcal{S}$  be the class of functions in  $\mathcal{A}$ , which are univalent in  $\mathbb{U}$ .

The familiar class of starlike functions in  $\mathbb{U}$  will be denoted by  $\mathcal{S}^*$ , which consists of normalized functions  $f \in \mathcal{S}$  that satisfy the following conditions:

$$f \in \mathcal{S} \quad \text{and} \quad \Re\left(\frac{zf'(z)}{f(z)}\right) > 0 \quad (\forall z \in \mathbb{U}). \tag{2}$$

For two functions  $f$  and  $g$ , which are analytic in  $\mathbb{U}$ , we say that the function  $f$  is subordinate to  $g$  and write

$$f \prec g \quad \text{or} \quad f(z) \prec g(z),$$

if there exists a Schwarz function  $w$ , which is analytic in  $\mathbb{U}$  with

$$w(0) = 0 \quad \text{and} \quad |w(z)| < 1$$

such that

$$f(z) = g(w(z)).$$

In particular, if the function  $g$  is univalent in  $\mathbb{U}$ , then we have the following equivalence (cf., e.g., [20]; see also [21]):

$$f(z) \prec g(z) \quad (z \in \mathbb{U}) \iff f(0) = g(0) \quad \text{and} \quad f(\mathbb{U}) \subset g(\mathbb{U}).$$

We next denote by  $\mathcal{P}$  the class of analytic functions  $p$  in  $\mathbb{U}$ , which are normalized by

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n, \tag{3}$$

such that

$$\Re\{p(z)\} > 0.$$

In the next section (Section 2), we first give some basic definitions and concept details. Thereafter we will demonstrate three (presumably new) subclasses of the class  $S_q^*$  of  $q$ -starlike functions associated with the Janowski functions.

**2. A Set of Definitions**

Throughout this paper, we suppose that  $0 < q < 1$  and that

$$\mathbb{N} = \{1, 2, 3, \dots\} = \mathbb{N}_0 \setminus \{0\} \quad (\mathbb{N}_0 := \{0, 1, 2, \dots\}).$$

**Definition 1.** (See [22]) *A given function  $h$  with  $h(0) = 1$  is said to belong to the class  $\mathcal{P}[A, B]$  if and only if*

$$h(z) \prec \frac{1 + Az}{1 + Bz} \quad (-1 \leq B < A \leq 1).$$

The analytic function class  $\mathcal{P}[A, B]$  was introduced by Janowski [22], who showed that  $h(z) \in \mathcal{P}[A, B]$  if and only if there exists a function  $p \in \mathcal{P}$  such that

$$h(z) = \frac{(A + 1)p(z) - (A - 1)}{(B + 1)p(z) - (B - 1)} \quad (-1 \leq B < A \leq 1).$$

**Definition 2.** *A function  $f \in \mathcal{S}$  is said to belong to the class  $\mathcal{S}^*[A, B]$  if and only if there exists a function  $p \in \mathcal{P}$  such that*

$$\frac{zf'(z)}{f(z)} = \frac{(A + 1)p(z) - (A - 1)}{(B + 1)p(z) - (B - 1)} \quad (-1 \leq B < A \leq 1). \tag{4}$$

**Definition 3.** *Let  $q \in (0, 1)$ , and define the  $q$ -number  $[\lambda]_q$  by*

$$[\lambda]_q = \begin{cases} \frac{1 - q^\lambda}{1 - q} & (\lambda \in \mathbb{C}) \\ \sum_{k=0}^{n-1} q^k = 1 + q + q^2 + \dots + q^{n-1} & (\lambda = n \in \mathbb{N}). \end{cases}$$

**Definition 4.** *Let  $q \in (0, 1)$ , and define the  $q$ -factorial  $[n]_q!$  by*

$$[n]_q! = \begin{cases} 1 & (n = 0) \\ \prod_{k=1}^n [k]_q & (n \in \mathbb{N}). \end{cases}$$

**Definition 5.** (See [1,2]) *The  $q$ -derivative (or the  $q$ -difference) operator  $D_q f$  of a function  $f$  is defined, in a given subset of  $\mathbb{C}$ , by*

$$(D_q f)(z) = \begin{cases} \frac{f(z) - f(qz)}{(1 - q)z} & (z \neq 0) \\ f'(0) & (z = 0), \end{cases} \tag{5}$$

*provided that  $f'(0)$  exists.*

We note from Definition 5 that

$$\lim_{q \rightarrow 1^-} (D_q f)(z) = \lim_{q \rightarrow 1^-} \frac{f(z) - f(qz)}{(1-q)z} = f'(z)$$

for a function  $f$ , which is differentiable in a given subset of  $\mathbb{C}$ . It is readily deduced from (1) and (5) that

$$(D_q f)(z) = 1 + \sum_{n=2}^{\infty} [n]_q a_n z^{n-1}. \quad (6)$$

**Definition 6.** (See [9]) A function  $f \in \mathcal{S}$  is said to belong to the class  $\mathcal{S}_q^*$  of  $q$ -starlike functions in  $\mathbb{U}$  if

$$f(0) = f'(0) - 1 = 0 \quad (7)$$

and

$$\left| \frac{z}{f(z)} (D_q f)(z) - \frac{1}{1-q} \right| \leq \frac{1}{1-q} \quad (z \in \mathbb{U}). \quad (8)$$

We readily observe that, as  $q \rightarrow 1^-$ , the closed disk:

$$\left| w - \frac{1}{1-q} \right| \leq \frac{1}{1-q}$$

becomes the right-half complex plane, and the class  $\mathcal{S}_q^*$  of  $q$ -starlike functions in  $\mathbb{U}$  reduces to the familiar class  $\mathcal{S}^*$  of normalized starlike functions with respect to the origin ( $z = 0$ ). Equivalently, by using the principle of subordination between analytic functions, we can rewrite the conditions in (7) and (8) as follows (see [16]):

$$\frac{z}{f(z)} (D_q f)(z) \prec \hat{p}(z) \quad \left( \hat{p}(z) := \frac{1+z}{1-qz} \right). \quad (9)$$

We now introduce three (presumably new) subclasses of the class  $\mathcal{S}_q^*$  of  $q$ -starlike functions associated with the Janowski functions in the following way.

**Definition 7.** A function  $f \in \mathcal{A}$  is said to belong to the class  $\mathcal{S}_{(q,1)}^*[A, B]$  if and only if

$$\Re \left( \frac{(B-1) \frac{z D_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{z D_q f(z)}{f(z)} - (A+1)} \right) \geq 0.$$

We call  $\mathcal{S}_{(q,1)}^*[A, B]$  the class of  $q$ -starlike functions of Type 1 associated with the Janowski functions.

**Definition 8.** A function  $f \in \mathcal{A}$  is said to belong to the class  $f \in \mathcal{S}_{(q,2)}^*[A, B]$  if and only if

$$\left| \frac{(B-1) \frac{z D_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{z D_q f(z)}{f(z)} - (A+1)} - \frac{1}{1-q} \right| < \frac{1}{1-q}.$$

We call  $\mathcal{S}_{(q,2)}^*[A, B]$  the class of  $q$ -starlike functions of Type 2 associated with the Janowski functions.

**Definition 9.** A function  $f \in \mathcal{A}$  is said to belong to the class  $f \in \mathcal{S}_{(q,3)}^*[A, B]$  if and only if

$$\left| \frac{(B - 1) \frac{zD_q f(z)}{f(z)} - (A - 1)}{(B + 1) \frac{zD_q f(z)}{f(z)} - (A + 1)} - 1 \right| < 1.$$

We call  $\mathcal{S}_{(q,3)}^*[A, B]$  the class of  $q$ -starlike functions of Type 3 associated with the Janowski functions.

Each of the following special cases of the above-defined  $q$ -starlike functions:

$$\mathcal{S}_{(q,1)}^*[A, B], \quad \mathcal{S}_{(q,2)}^*[A, B] \quad \text{and} \quad \mathcal{S}_{(q,3)}^*[A, B]$$

is worthy of note.

I. If we put

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1$$

in Definition 7, we get the class  $\mathcal{S}_{(q,1)}^*(\alpha)$ , which was introduced and studied by Wongsajjai and Sukantamala (see [17], Definition 1).

II. If we put

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1,$$

in Definition 8, we are led to the class  $\mathcal{S}_{(q,2)}^*(\alpha)$ , which was introduced and studied by Wongsajjai and Sukantamala (see [17], Definition 2).

III. If we put

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1$$

in Definition 9, we have the class  $\mathcal{S}_{(q,3)}^*(\alpha)$ , which was introduced and studied by Wongsajjai and Sukantamala (see [17], Definition 3).

IV. If we put

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1$$

in Definition 8, we obtain the class  $\mathcal{S}_q^*(\alpha)$ , which was introduced and studied by Agrawal and Sahoo [23].

V. If we put

$$A = 1 \quad \text{and} \quad B = -1$$

in Definition 8, we get the class  $\mathcal{S}_q^*$  introduced and studied by Ismail et al. [9].

VI. In Definition 8, if we let  $q \rightarrow 1^-$  and put  $A = \lambda$  and  $B = 0$ , then we will arrive at the function class, studied by Ponnusamy and Singh (see [24]).

Geometrically, for  $f \in \mathcal{S}_{(q,k)}^*[A, B]$  ( $k = 1, 2, 3$ ), the quotient:

$$\frac{zD_q f(z)}{f(z)}$$

lies in the domains  $\Omega_j$  ( $j = 1, 2, 3$ ) given by

$$\Omega_1 = \left\{ w : w \in \mathbb{C} \text{ and } \Re(w) > \frac{A-1}{B-1} \right\},$$

$$\Omega_2 = \left\{ w : w \in \mathbb{C} \text{ and } \left| w - \frac{2+q(A-1)}{(B-1)q+(B+3)} \right| < \frac{A+1}{(B-1)q+(B+3)} \right\}$$

and

$$\Omega_3 = \left\{ w : w \in \mathbb{C} \text{ and } \left| w - \frac{2}{B+3} \right| < \frac{A+1}{B+3} \right\},$$

respectively.

In this paper, many properties and characteristics, for example sufficient conditions, inclusion results, distortion theorems, and radius problems, are discussed. We also indicate relevant connections of our results with a number of other related works on this subject.

### 3. Main Results and Their Demonstration

We first derive the inclusion results for the following generalized  $q$ -starlike functions:

$$\mathcal{S}_{(q,1)}^* [A, B], \quad \mathcal{S}_{(q,2)}^* [A, B] \quad \text{and} \quad \mathcal{S}_{(q,3)}^* [A, B],$$

which are associated with the Janowski functions.

**Theorem 1.** *If  $-1 \leq B < A \leq 1$ , then*

$$\mathcal{S}_{(q,3)}^* [A, B] \subset \mathcal{S}_{(q,2)}^* [A, B] \subset \mathcal{S}_{(q,1)}^* [A, B].$$

**Proof.** First of all, we suppose that  $f \in \mathcal{S}_{(q,3)}^* [A, B]$ . Then, by Definition 9, we have

$$\left| \frac{(B-1) \frac{zD_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{zD_q f(z)}{f(z)} - (A+1)} - 1 \right| < 1,$$

so that

$$\left| \frac{(B-1) \frac{zD_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{zD_q f(z)}{f(z)} - (A+1)} - 1 \right| + \frac{q}{1-q} < 1 + \frac{q}{1-q}. \tag{10}$$

By using the triangle inequality and Equation (10), we find that

$$\left| \frac{(B-1) \frac{zD_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{zD_q f(z)}{f(z)} - (A+1)} - \frac{1}{1-q} \right| < \frac{1}{1-q}. \tag{11}$$

The last expression in (11) now implies that  $f \in \mathcal{S}_{(q,2)}^* [A, B]$ , that is, that

$$\mathcal{S}_{(q,3)}^* [A, B] \subset \mathcal{S}_{(q,2)}^* [A, B].$$

Next, we let  $f \in \mathcal{S}_{(q,2)}^* [A, B]$ , so that

$$f \in \mathcal{S}_{(q,2)}^* [A, B] \iff \left| \frac{(B-1) \frac{zD_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{zD_q f(z)}{f(z)} - (A+1)} - \frac{1}{1-q} \right| < \frac{1}{1-q},$$

by Definition 8.

Since

$$\begin{aligned} \frac{1}{1-q} &> \left| \frac{(B-1) \frac{zD_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{zD_q f(z)}{f(z)} - (A+1)} - \frac{1}{1-q} \right| \\ &= \left| \frac{1}{1-q} - \frac{(B-1) \frac{zD_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{zD_q f(z)}{f(z)} - (A+1)} \right|, \end{aligned}$$

we have

$$\Re \left( \frac{(B-1) \frac{zD_q f(z)}{f(z)} - (A-1)}{(B+1) \frac{zD_q f(z)}{f(z)} - (A+1)} \right) > 0 \quad (z \in \mathbb{U}).$$

This last equation now shows that  $f \in \mathcal{S}_{(q,1)}^* [A, B]$ , that is, that

$$\mathcal{S}_{(q,2)}^* [A, B] \subset \mathcal{S}_{(q,1)}^* [A, B],$$

which completes the proof of Theorem 1.  $\square$

As a special case of Theorem 1, if we put

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1,$$

we get the following known result due to Wongsajjai and Sukantamala (see [17]).

**Corollary 1.** (See [17]) For  $0 \leq \alpha < 1$ ,

$$\mathcal{S}_{q,3}^* (\alpha) \subset \mathcal{S}_{q,2}^* (\alpha) \subset \mathcal{S}_{q,1}^* (\alpha).$$

Next, we present a remarkable simple characterization of functions in the class  $\mathcal{S}_{(q,2)}^* [A, B]$  of  $q$ -starlike functions of Type 2 associated with the Janowski functions.

**Theorem 2.** Let  $f \in \mathcal{A}$ . Then  $f \in \mathcal{S}_{(q,2)}^* [A, B]$  if and only if

$$\left| \frac{f(qz)}{f(z)} - \frac{\sigma}{(B-1)q + (B+3)} \right| \leq \frac{(A+1)(1-q)}{(B-1)q + (B+3)},$$

where

$$\sigma = (A-1)q^2 + (B-A+2)q + B+1.$$

**Proof.** The proof of Theorem 2 can be easily obtained from the fact that

$$\frac{zD_q f(z)}{f(z)} = \left( \frac{1}{1-q} \right) \left( 1 - \frac{f(qz)}{f(z)} \right)$$

and Definition 8 of the class  $\mathcal{S}_{(q,2)}^* [A, B]$  of  $q$ -starlike functions of Type 2 associated with the Janowski functions.  $\square$

Upon setting

$$A = 1 - 2\alpha \quad \text{and} \quad B = -1$$

in Theorem 2, we get the following known result.

**Corollary 2.** (See [23]) *Let  $f \in \mathcal{A}$ . Then,  $f \in \mathcal{S}_q^*(\alpha)$  if and only if*

$$\left| \frac{f(qz)}{f(z)} - \alpha q \right| \leq 1 - \alpha.$$

Our next result is directly obtained by using Theorem 1 and a known result given in [23].

**Theorem 3.** *The classes*

$$\mathcal{S}_{(q,1)}^* [A, B], \quad \mathcal{S}_{(q,2)}^* [A, B] \quad \text{and} \quad \mathcal{S}_{(q,3)}^* [A, B]$$

*of the generalized  $q$ -starlike functions of Type 1, Type 2, and Type 3, respectively, satisfy the following properties:*

$$\bigcap_{0 < q < 1} \mathcal{S}_{(q,1)}^* [A, B] = \bigcap_{0 < q < 1} \mathcal{S}_{(q,2)}^* [A, B] = \mathcal{S}^* [A, B]$$

and

$$\bigcap_{0 < q < 1} \mathcal{S}_{(q,1)}^* [A, B] = \bigcap_{0 < q < 1} \mathcal{S}_{(q,3)}^* [A, B] \subset \mathcal{S}^* [A, B].$$

Finally, by means of a coefficient inequality, we give a sufficient condition for the class  $\mathcal{S}_{(q,3)}^* [A, B]$  of generalized  $q$ -starlike functions of Type 3, which also provides a corresponding sufficient condition for the classes  $\mathcal{S}_{(q,1)}^* [A, B]$  and  $\mathcal{S}_{(q,2)}^* [A, B]$  of Type 1 and Type 2, respectively.

**Theorem 4.** *A function  $f \in \mathcal{A}$  and of the form (1) is in the class  $\mathcal{S}_{(q,3)}^* [A, B]$  if it satisfies the following coefficient inequality:*

$$\sum_{n=2}^{\infty} \left( 2q [n-1]_q + [n]_q (B+1) + (A+1) \right) |a_n| < |B-A|. \tag{12}$$

#### 4. Analytic Functions with Negative Coefficients

In this section, we introduce new subclasses of  $q$ -starlike functions associated with the Janowski functions, which involve negative coefficients. Let  $\mathcal{T}$  be a subset of  $\mathcal{A}$  consisting of functions with a negative coefficient, that is,

$$f(z) = z - \sum_{n=2}^{\infty} |a_n| z^n \quad (a_n \geq 0). \tag{13}$$

We also let

$$\mathcal{TS}_{(q,k)}^* [A, B] := \mathcal{S}_{(q,k)}^* [A, B] \cap \mathcal{T} \quad (k = 1, 2, 3). \tag{14}$$

**Theorem 5.** *If  $-1 \leq B < A \leq 1$ , then*

$$\mathcal{TS}_{(q,1)}^* [A, B] = \mathcal{TS}_{(q,2)}^* [A, B] = \mathcal{TS}_{(q,3)}^* [A, B].$$

**Proof.** In view of Theorem 1, it is sufficient here to show that

$$\mathcal{TS}_{(q,1)}^* [A, B] \subset \mathcal{TS}_{(q,3)}^* [A, B].$$

Indeed, if we assume that  $f \in \mathcal{TS}_{(q,1)}^* [A, B]$ , then we have

$$\Re \left( \frac{(B - 1) \frac{zD_q f(z)}{f(z)} - (A - 1)}{(B + 1) \frac{zD_q f(z)}{f(z)} - (A + 1)} \right) \geq 0,$$

so that

$$\Re \left( \frac{(B - 1) \frac{zD_q f(z)}{f(z)} - (A - 1)}{(B + 1) \frac{zD_q f(z)}{f(z)} - (A + 1)} - 1 \right) \geq -1.$$

After a simple calculation, we thus find that

$$\frac{2 [f(z) - zD_q f(z)]}{(B + 1) zD_q f(z) - (A + 1) f(z)} \geq -1,$$

that is, that

$$-\frac{2 \sum_{n=2}^{\infty} ([n]_q - 1) a_n z^n}{(A - B) + \sum_{n=2}^{\infty} ([n]_q (B + 1) - (A + 1)) a_n z^n} \geq -1,$$

which can be written as follows:

$$\frac{2 \sum_{n=2}^{\infty} ([n]_q - 1) a_n z^n}{(A - B) + \sum_{n=2}^{\infty} ([n]_q (B + 1) - (A + 1)) a_n z^n} < 1. \tag{15}$$

The last expression in (15) implies that

$$\frac{2 \sum_{n=2}^{\infty} ([n]_q - 1) a_n z^n}{|B - A| + \sum_{n=2}^{\infty} ([n]_q (B + 1) + (A + 1)) a_n z^n} \leq 1,$$

which satisfies (12). By Theorem 4, the proof of Theorem 5 is completed.  $\square$

In its special case, when

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1.$$

Theorem 5 reduces to the following known result.

**Corollary 3.** (See [17], Theorem 8) *If  $0 \leq \alpha < 1$ , then*

$$\mathcal{TS}_{(q,1)}^* (\alpha) = \mathcal{TS}_{(q,2)}^* (\alpha) = \mathcal{TS}_{(q,3)}^* (\alpha).$$

The assertions of Theorem 5 imply that the Type 1, Type 2, and Type 3 generalized  $q$ -starlike functions associated with the Janowski functions are exactly the same. For convenience, therefore, we state the following distortion theorem by using the notation  $\mathcal{TS}_{(q,k)}^* [A, B]$  in which it is tacitly assumed that  $k = 1, 2, 3$ .



**Theorem 6.** If  $f \in \mathcal{TS}_{(q,k)}^*[A, B]$  ( $k = 1, 2, 3$ ), then

$$r - \left( \frac{|B - A|}{\Lambda(2, A, B, q)} \right) r^2 \leq |f(z)| \leq r + \left( \frac{|B - A|}{\Lambda(2, A, B, q)} \right) r^2$$

$$(|z| = r \quad (0 < r < 1)),$$

where

$$\Lambda(n, A, B, q) = 2 \left( [n]_q - 1 \right) + [n]_q (B + 1) + (A + 1) \quad (n \in \mathbb{N} \setminus \{1\}). \tag{16}$$

**Proof.** We note that the following inequality follows from Theorem 4:

$$\Lambda(2, A, B, q) \sum_{n=2}^{\infty} |a_n| \leq \sum_{n=2}^{\infty} \Lambda(n, A, B, q) |a_n| < |B - A|,$$

which yields

$$|f(z)| \leq r + \sum_{n=2}^{\infty} |a_n| r^n \leq r + r^2 \sum_{n=2}^{\infty} |a_n| \leq r + \left( \frac{|B - A|}{\Lambda(2, A, B, q)} \right) r^2.$$

Similarly, we have

$$|f(z)| \geq r - \sum_{n=2}^{\infty} |a_n| r^n \geq r - r^2 \sum_{n=2}^{\infty} |a_n| \geq r - \left( \frac{|B - A|}{\Lambda(2, A, B, q)} \right) r^2.$$

We have thus completed the proof of Theorem 6.  $\square$

In its special case, when

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1,$$

if we let  $q \rightarrow 1-$ , Theorem 6 reduces to the following known result.

**Corollary 4.** (See [25]) If  $f \in \mathcal{TS}^*(\alpha)$ , then

$$r - \left( \frac{1 - \alpha}{2 - \alpha} \right) r^2 \leq |f(z)| \leq r + \left( \frac{1 - \alpha}{2 - \alpha} \right) r^2 \quad (|z| = r \quad (0 < r < 1)).$$

The following result (Theorem 7) can be proven by using arguments similar to those that were already presented in the proof of Theorem 6, so we choose to omit the details of our proof of Theorem 7.

**Theorem 7.** If  $f \in \mathcal{TS}_{(q,k)}^*[A, B]$  ( $k = 1, 2, 3$ ), then

$$1 - \left( \frac{2|B - A|}{\Lambda(2, A, B, q)} \right) r \leq |f'(z)| \leq 1 + \left( \frac{2|B - A|}{\Lambda(2, A, B, q)} \right) r$$

$$(|z| = r \quad (0 < r < 1)),$$

where  $\Lambda(n, A, B, q)$  is given by (16).

In its special case, when

$$A = 1 - 2\alpha \quad (0 \leq \alpha < 1) \quad \text{and} \quad B = -1,$$

if we let  $q \rightarrow 1-$ , Theorem 6 reduces to the following known result.

**Corollary 5.** (See [25]) If  $f \in \mathcal{TS}^*(\alpha)$ , then

$$1 - \left(\frac{2(1-\alpha)}{2-\alpha}\right)r \leq |f'(z)| \leq 1 + \left(\frac{2(1-\alpha)}{2-\alpha}\right)r \quad (|z| = r \quad (0 < r < 1)).$$

**Remark 1.** By using Theorem 4, it is easy to see that the function:

$$f_0(z) = z - \frac{|B-A| - \epsilon}{2q[n-1]_q + [n]_q(B+1) + (A+1)} z^n \in \mathcal{TS}_{(q,k)}[A, B] \tag{17}$$

where

$$0 < \epsilon < \frac{n|B-A| - 2q[n-1]_q + [n]_q(B+1) + (A+1)}{n}$$

and

$$2q[n-1]_q + ([n]_q(B+1) + (A+1)) < n(|B-A| - \epsilon),$$

but

$$f'_0(z) = 0$$

at

$$z_0 = \left[ \frac{2q[n-1]_q + ([n]_q(B+1) + (A+1))}{n(|B-A| - \epsilon)} \right]^{\frac{1}{n-1}} \left( \cos\left(\frac{2k\pi}{n-1}\right) + i \sin\left(\frac{2k\pi}{n-1}\right) \right) \in \mathbb{U}.$$

That is,  $f_0(z) \notin \mathcal{S}$  and also  $f_0(z) \notin \mathcal{S}^*$ . Therefore, it is interesting to study the radius of univalence and starlikeness of class  $\mathcal{TS}_{(q,k)}[A, B]$ .

**Theorem 8.** Let  $f \in \mathcal{TS}_{(q,k)}[A, B]$  ( $k = 1, 2, 3$ ). Then,  $f$  is univalent and starlike in  $|z| < r_0$ , where

$$r_0 = \min_{2 \leq n \leq M_0} \left[ \frac{2q[n-1]_q + [n]_q(B+1) + (A+1)}{n|B-A|} \right]^{\frac{1}{n-1}} \tag{18}$$

and  $M_0$  satisfies the following inequality:

$$M_0 > \exp\left(1 + \left| \ln \frac{(1-q)|B-A|}{(B+3) + (A-1)(1-q)} \right| \right).$$

**Proof.** To prove Theorem 8, it is sufficient to show that

$$|f'(z) - 1| < 1 \quad (|z| \leq r_0).$$

Now, we have

$$|f'(z) - 1| = \left| - \sum_{n=2}^{\infty} na_n z^{n-1} \right| \leq \sum_{n=2}^{\infty} n|a_n| |z|^{n-1}.$$

Thus,

$$|f'(z) - 1| < 1$$

if

$$\sum_{n=2}^{\infty} n|a_n| |z|^{n-1} < 1. \tag{19}$$

In light of Theorem 4, the inequality in (19) will be true if

$$n|z|^{n-1} \leq \frac{2q[n-1]_q + ([n]_q(B+1) + (A+1))}{|B-A|}. \tag{20}$$

Solving the inequality in (20) for  $z$ , we have

$$|z| \leq \left[ \frac{2q [n-1]_q + ([n]_q (B+1) + (A+1))}{n |B-A|} \right]^{\frac{1}{n-1}}. \tag{21}$$

Next, we need to find  $M_0 \in \mathbb{N}$  satisfying (18). Let  $f : [2, \infty) \rightarrow \mathbb{R}^+$  be the function defined by

$$f(x) = \left[ \frac{2q [x-1]_q + [x]_q (B+1) + (A+1)}{x |B-A|} \right]^{\frac{1}{x-1}} \tag{22}$$

Differentiating on both sides of (22) logarithmically, we have

$$f'(x) = \frac{f(x)}{(x-1)^2} \left[ \ln x - \frac{(x-1)(B+3)(q^x \ln q)}{(B+3)(1-q^x) + (A-1)(1-q)} + \ln \frac{(1-q)|B-A|}{(B+3)(1-q^x) + (A-1)(1-q)} - \frac{x-1}{x} \right]. \tag{23}$$

It is easy to see that the second term of (23) is positive. Since

$$\sup_{x \geq 2} \left| \ln \frac{(1-q)|B-A|}{(B+3)(1-q^x) + (A-1)(1-q)} \right| = \left| \frac{(1-q)|B-A|}{(B+3) + (A-1)(1-q)} \right|$$

and

$$\sup_{x \geq 2} \frac{x-1}{x} = 1$$

then the third and the last term in (23) can be dominated by  $\ln x$  when  $x$  is sufficiently large. This implies that  $f$  is an increasing function on  $[M_0, \infty)$ , where

$$M_0 > \exp \left( 1 + \left| \ln \frac{(1-q)|B-A|}{(B+3) + (A-1)(1-q)} \right| \right).$$

Therefore, the radius of univalence can be defined by

$$\begin{aligned} r_0 &= \inf_{n \geq 2} \left[ \frac{2q [n-1]_q + [n]_q (B+1) + (A+1)}{n |B-A|} \right]^{\frac{1}{n-1}} \\ &= \min_{2 \leq n \leq M_0} \left[ \frac{2q [n-1]_q + [n]_q (B+1) + (A+1)}{n |B-A|} \right]^{\frac{1}{n-1}} \end{aligned} \tag{24}$$

In view of (24), the proof of our Theorem is now completed.  $\square$

If, in Theorem 8, we let

$$B = -1 \quad \text{and} \quad A = (1 - 2\alpha)$$

we are led to the following known result:

**Corollary 6.** [17] Let  $f \in \mathcal{TS}_q$ . Then,  $f$  is univalent and starlike in  $|z| < r_0$ , where

$$r_0 = \min_{2 \leq n \leq M_0} \left[ \frac{[n]_q - \alpha}{n(1-\alpha)} \right]^{\frac{1}{n-1}} \tag{25}$$

and  $M_0$  satisfies the following inequality:

$$M_0 > \exp \left( 1 + \left| \ln \frac{(1-q)(1-\alpha)}{q + (1-q)(1-\alpha)} \right| \right).$$

Now, below, we give an example that validates Theorem 8.

**Example 1.** Consider the class  $\mathcal{TS}_{(0.75,k)}[0, \lambda]$  with  $\lambda = 0.99$ . By Theorem 8, we obtain the radius of univalence of class  $\mathcal{TS}_{(0.75,k)}[0, \lambda]$ , given by

$$\begin{aligned} r_0 &= \min_{2 \leq n \leq \exp(1 + |\ln 0.08256880734|)} \left[ \frac{3[n-1]_q - 0.01}{(0.99)n} \right]^{\frac{1}{n-1}} \\ &= \min_{2 \leq n \leq 33} \left[ \frac{3[n-1]_q - 0.01}{(0.99)n} \right]^{\frac{1}{n-1}} = 0.9691405946. \end{aligned}$$

Now, we consider the sharpness example function  $f_0(z)$  defined in (17) with  $n = 2$  and  $\epsilon = 0.001$ , that is,

$$f_0(z) = z - \frac{0.989}{5.24} z^2.$$

Obviously,  $f_0(z)$  is locally univalent on  $\mathbb{U}_{0.9691405946}$  because  $f'(z_0) = 0$  at  $z_0 = 2.649140540$  outside the open disk  $\mathbb{U}_{0.9691405946}$ . By applying Theorem 8, function  $f_0(z)$  is univalent on  $\mathbb{U}_{0.9691405946}$ .

The next Theorem (Theorem 9) can be derived by working in a similar way as in Theorem 8; here, we omit the proof.

**Theorem 9.** Let  $f \in \mathcal{TS}_{(q,k)}[A, B]$  ( $k = 1, 2, 3$ ). Then  $f$  is starlike of order  $\alpha$  in  $|z| < r_1$ , where

$$r_1 = \min_{2 \leq n \leq M_1} \left[ \frac{(2q[n-1]_q + [n]_q(B+1) + (A+1))(1-\alpha)}{(n-\alpha)|B-A|} \right]^{\frac{1}{n-1}} \quad (26)$$

and  $M_1$  satisfies the following inequality:

$$M_1 > \exp \left( 1 + \left| \ln \frac{(1-q)|B-A|}{((B+3) + (A-1)(1-q))(1-\alpha)} \right| \right).$$

## 5. Conclusions

In our present investigation, we first defined certain new subclasses of  $q$ -starlike functions, which are associated with the Janowski function. We then discussed many properties and characteristics of each of these subclasses of  $q$ -starlike functions including, for example, sufficient conditions, inclusion results, distortion theorems, and radius problems. For the motivation and validity of our results, we have also pointed out relevant connections with those that were given in earlier works.

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Article

# Some Subclasses of Uniformly Univalent Functions with Respect to Symmetric Points

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**Abstract:** This article presents the study of certain analytic functions defined by bounded radius rotations associated with conic domain. Many geometric properties like coefficient estimate, radii problems, arc length, integral representation, inclusion results and growth rate of coefficients of Taylor’s series representation are investigated. By varying the parameters in results, several well-known results in literature are obtained as special cases.

**Keywords:** functions of bounded boundary and bounded radius rotations; subordination; functions with positive real part; uniformly starlike and convex functions

## 1. Introduction

Let  $\mathcal{A}$  denote the family of complex valued functions  $f$  which are holomorphic (analytic) in  $\mathfrak{E} = \{z \in \mathbb{C} : |z| < 1\}$  and are normalized through the conditions  $f(0) = 0$  and  $f'(0) = 1$ . That is, for  $f \in \mathcal{A}$ , one may have its series form

$$f(z) = z + \sum_{k=2}^{\infty} a_k z^k, \quad z \in \mathfrak{E}. \quad (1)$$

The class  $\mathcal{UCV}$  is comprised those univalent functions  $f(z)$  by which every circular arc  $\mathfrak{C} \subset \mathfrak{E}$ , with center at  $\mathfrak{E}$ , is mapped onto the convex arc and such functions are known as uniformly convex functions. This class was first introduced by Goodman [1]. The interesting analytic condition of class  $\mathcal{UCV}$  was given in [2] and is stated as follows:

$$\mathcal{UCV} = \left\{ f \in \mathcal{A} : \Re \left\{ 1 + \frac{zf''(z)}{f'(z)} \right\} > \left| \frac{zf''(z)}{f'(z)} \right|, \quad z \in \mathfrak{E} \right\}.$$

Kanas et al. [3] further generalized the class  $\mathcal{UCV}$  by introducing the class of  $k$ -uniformly convex functions, named as  $k\text{-UCV}$ ,  $k \geq 0$  and the class  $k\text{-ST}$  of corresponding  $k$ -starlike functions. The class  $k\text{-UCV}$  is defined as follows:

$$k\text{-UCV} = \left\{ f \in \mathcal{A} : \Re \left\{ 1 + \frac{zf''(z)}{f'(z)} \right\} > k \left| \frac{zf''(z)}{f'(z)} \right|, \quad z \in \mathfrak{E} \right\}.$$

They, in addition, discussed these classes geometrically and established connections with the conic domains

$$\mathcal{G}_k = \left\{ u + iv ; u^2 > k^2 \left( (u - 1)^2 + v^2 \right) \right\}. \tag{2}$$

It is important to mention that the class  $k\text{-}\mathcal{UCV}$  was studied much earlier with some extra conditions but without geometrical interpretation. The class  $k\text{-}\mathcal{UCV}$  is defined geometrically in a way that the common region of  $\mathcal{E}$  and the disk  $|\mathfrak{D}| \leq k$  is mapped onto a convex domain by these univalent functions. Thus, the notion of convexity got the generalized version of  $k$ -uniform convexity. If  $k = 0$ , Then, the center  $\mathfrak{D}$  shifts to origin and thus  $k\text{-}\mathcal{UCV}$  takes the form of  $\mathcal{C}$ , the family of convex univalent functions.

The domain  $\mathcal{G}_k$  represents conic regions for certain values of parameter  $k$ , that is, it gives an elliptic region for  $k > 1$ , the hyperbolic region (right branch) for  $0 < k < 1$  and the parabolic region when  $k = 1$ . For more details, see [3–6]. The domain  $\mathcal{G}_{k,\beta}$ , which is generalization of  $\mathcal{G}_k$  is given as:

$$\mathcal{G}_{k,\beta} = (1 - \beta) \mathcal{G}_k + \beta,$$

where

$$\beta = \begin{cases} [0, 1), & \text{if } k \in [0, 1], \\ \left[ 0, 1 - \frac{\sqrt{k^2 - 1}}{k} \right), & \text{if } k > 1. \end{cases} \tag{3}$$

For details, see [7]. The function which gives the boundary curves of these conical regions is denoted by  $\varphi_{k,\beta}(z)$  which is holomorphic in  $\mathcal{E}$  and maps  $\mathcal{E}$  onto  $\mathcal{G}_{k,\beta}$  such that  $\varphi_{k,\beta}(z) = 1$  and  $\varphi'_{k,\beta}(0) > 1$  and is defined as:

$$\varphi_{k,\beta}(z) = \begin{cases} \frac{1+(1-2\beta)z}{1-z}, & k = 0, \\ 1 + \frac{2(1-\beta)}{\pi^2} \left( \log \frac{1+\sqrt{z}}{1-\sqrt{z}} \right)^2, & k = 1, \\ 1 + \frac{2(1-\beta)}{1-k^2} \sinh^2 \left[ \left( \frac{2}{\pi} \cos^{-1} k \right) \tan^{-1} h\sqrt{z} \right], & 0 < k < 1, \\ 1 + \frac{(1-\beta)}{k^2-1} \sin \left[ \frac{\pi}{2R(t)} \int_0^{\frac{u(z)}{\sqrt{t}}} \frac{1}{\sqrt{1-x^2}\sqrt{1-(tx)^2}} dx \right] + \frac{1}{k^2-1}, & k > 1. \end{cases} \tag{4}$$

For the detailed study of this function, we refer the readers to see [3,6].

Let  $k\text{-}\mathcal{P}(\beta)$  denote the family of holomorphic functions  $q(z)$  with  $q(0) = 1$  and  $q(z) \prec \varphi_{k,\beta}(z)$  for  $z \in \mathcal{E}$ , where the notion “ $\prec$ ” denotes the familiar subordinations. It is pertinent to have

$$k\text{-}\mathcal{P}(\beta) \subset \mathcal{P} \left( \frac{k + \beta}{1 + k} \right) \subset \mathcal{P},$$

where  $\mathcal{P}$  is the family of functions with a positive real part. In addition, for  $q \in k\text{-}\mathcal{P}(0)$ , we have

$$|\arg q(z)| \leq \frac{\lambda\pi}{2},$$

where

$$\lambda = \frac{2}{\pi} \tan^{-1}(1/k). \tag{5}$$

Therefore, one may write

$$q(z) = h^\lambda(z), \quad h(z) \in \mathcal{P}.$$

**Definition 1.** Let the function  $q(z)$  be holomorphic in  $\mathfrak{E}$  with  $q(0) = 1$ . Then,  $q \in k\text{-}\mathcal{P}_m(\beta)$ , if for  $m \geq 2$ ,  $k \geq 0$ ,  $z \in \mathfrak{E}$  and  $\beta$  is given by Equation (3), we have

$$q(z) = \left(\frac{m}{4} + \frac{1}{2}\right)q_1(z) - \left(\frac{m}{4} - \frac{1}{2}\right)q_2(z),$$

where  $q_1(z), q_2(z) \in k\text{-}\mathcal{P}(\beta)$  [8].

Taking  $k = 0$  and  $\beta = 0$ , the class  $\mathcal{P}_m$  introduced by Pinchuk [9] is obtained. In addition,  $k\text{-}\mathcal{P}_2(\beta) = k\text{-}\mathcal{P}(\beta)$ ,  $0\text{-}\mathcal{P}_m(\beta) = \mathcal{P}_m(\beta)$  and  $0\text{-}\mathcal{P}_2(\beta) = \mathcal{P}(\beta)$ , where  $\mathcal{P}_m(\beta)$  and  $\mathcal{P}(\beta)$  were introduced in [9].

It is noted that  $k\text{-}\mathcal{P}_m(\beta)$  is a convex set. Noor [8] introduced the classes  $k\text{-}\mathcal{UV}^m(\beta)$  and  $k\text{-}\mathcal{UR}^m(\beta)$  of  $k$ -uniformly bounded boundary and radius rotation of order  $\beta$  corresponding to the class  $k\text{-}\mathcal{P}_m(\beta)$ .

Now, we consider the following new subclasses of holomorphic functions.

**Definition 2.** A function  $f \in \mathcal{A}$  is known to be in  $k\text{-}\mathcal{UR}_s^m(\beta)$ ,  $k \geq 0$ ,  $m \geq 2$  and  $\beta$  is given by Equation (3), if

$$\frac{2zf'(z)}{f(z) - f(-z)} \in k\text{-}\mathcal{P}_m(\beta), \quad (z \in \mathfrak{E}).$$

**Definition 3.** A function  $f \in \mathcal{A}$  is known to be in the class  $k\text{-}\mathcal{B}_s^m(\alpha, \beta)$ ,  $\alpha > 0$ ,  $k \geq 0$ ,  $m \geq 2$  and  $\beta$  is given by Equation (3), if there exists  $g \in k\text{-}\mathcal{UR}_s^m(\beta)$  such that

$$\Re \left\{ \frac{zf'(z)}{f(z)} \left( \frac{2f(z)}{g(z) - g(-z)} \right)^\alpha \right\} > k \left| \frac{zf'(z)}{f(z)} \left( \frac{2f(z)}{g(z) - g(-z)} \right)^\alpha - 1 \right|,$$

or equivalently

$$\frac{zf'(z)}{f(z)} \left( \frac{2f(z)}{g(z) - g(-z)} \right)^\alpha \in k\text{-}\mathcal{P}(0).$$

It is pertinent to note that, by assigning specific values to parameters  $\alpha, \beta, m$  and  $k$  in  $k\text{-}\mathcal{UR}_s^m(\beta)$  and  $k\text{-}\mathcal{B}_s^m(\alpha, \beta)$ , several well-known subclasses of holomorphic and univalent functions are obtained, from which some are listed below:

1.  $0\text{-}\mathcal{UR}_s^m(\beta) = \mathcal{R}_s^m(\beta)$ , introduced by Bhargava et al. [10].
2. For  $m = 2$  and  $\alpha = 0$ , we obtain the class  $k\text{-}\mathcal{ST}_s(\beta)$ , and  $k\text{-}\mathcal{UK}_s(\beta)$ , for details, we refer to [8].
3.  $0\text{-}\mathcal{UR}_s^2(0) = \mathcal{S}_s^*$ , for details, see [11].

Throughout the article, we shall consider, unless otherwise stated, that  $m \geq 2$ ,  $\alpha > 0$ ,  $k \geq 0$  and  $\beta$  is given by Equation (3).

## 2. Preliminary Lemmas

**Lemma 1.** [12] Let  $k \in [0, \infty)$  and  $\varphi_{k,\beta}(z)$  be defined by Equation (4). If

$$\varphi_{k,\beta}(z) = 1 + Q_1z + Q_2z^2 + \dots,$$

Then,

$$Q_1 = \begin{cases} \frac{2\beta A^2}{1-k^2} & 0 \leq k < 1, \\ \frac{8\beta}{\pi^2} & k = 1, \\ \frac{\pi^2\beta}{4\sqrt{i(k^2-1)R^2(t)(1+t)}} & k > 1, \end{cases} \tag{6}$$



and

$$Q_2 = \begin{cases} \frac{(A^2+2)}{3} Q_1 & 0 \leq k < 1, \\ \frac{2}{3} Q_1 & k = 1, \\ \frac{4R^2(t)(t^2+6t+1)-\pi^2}{24\sqrt{tR^2(t)(1+t)}} Q_1 & k > 1, \end{cases} \tag{7}$$

where

$$A = \frac{2 \cos^{-1} k}{\pi},$$

and  $t \in (0, 1)$  is taken such that  $k = \cosh\left(\frac{\pi R'(t)}{R(t)}\right)$ ,  $R(t)$  is the Legendre's complete elliptic integral of the first kind.

To proceed our main results, the following Lemmas proved by Pommerenke [13] and Golusin [14] are needed.

**Lemma 2.** Let the holomorphic function  $p \in \mathcal{P}$ . Then [13]

$$\frac{1}{2\pi} \int_0^{2\pi} |p(z)|^2 d\theta \leq \frac{1+3r^2}{1-r^2}.$$

**Lemma 3.** Let the function  $s_1(z)$  be starlike in  $\mathfrak{C}$ . Then [14],

(i) : there exists  $\xi$  with  $|\xi| = r$  such that for all  $z, |z| = r$

$$|z - \xi| |s_1(z)| \leq \frac{2r^2}{1-r^2}$$

(ii)

$$\frac{r}{(1+r)^2} \leq s_1(z) \leq \frac{r}{(1-r)^2}.$$

### 3. Main Results

**Theorem 1.** Let  $f \in k\mathcal{UR}_s^m(\beta)$ . Then, the odd function

$$\phi(z) = \frac{f(z) - f(-z)}{2}$$

belongs to  $k\mathcal{UR}^m(\beta)$ .

**Proof.** Let  $f \in k\mathcal{UR}_s^m(\beta)$  and consider

$$\phi(z) = \frac{f(z) - f(-z)}{2}.$$

Logarithmic differentiation of the above relation yields

$$\frac{\phi'(z)}{\phi(z)} = \frac{f'(z) + f'(-z)}{f(z) - f(-z)},$$

or, equivalently,

$$z\frac{\phi'(z)}{\phi(z)} = \frac{1}{2} [q(z) + q(-z)],$$

where

$$q(z) = \frac{2zf'(z)}{f(z) - f(-z)} \quad \text{and} \quad q(-z) = \frac{2(-z)f'(-z)}{f(-z) - f(z)}.$$

Because  $f(z) \in k\mathcal{UR}_s^m(\beta)$ , then, there exist  $p_1(z), p_2(z) \in k\mathcal{P}(\beta)$  such that

$$q(z) = \frac{2zf'(z)}{f(z) - f(-z)} = \left(\frac{m}{4} + \frac{1}{2}\right)p_1(z) - \left(\frac{m}{4} - \frac{1}{2}\right)p_2(z).$$

Therefore, we have

$$\frac{z\phi'(z)}{\phi(z)} = \left(\frac{m}{4} + \frac{1}{2}\right) \frac{p_1(z) + p_1(-z)}{2} - \left(\frac{m}{4} - \frac{1}{2}\right) \frac{p_2(z) + p_2(-z)}{2}.$$

Since  $k\mathcal{P}(\beta)$  is a convex set, we have

$$\frac{p_i(z) + p_i(-z)}{2} \in k\mathcal{P}(\beta), \quad i = 1, 2.$$

Thus, we have that

$$\frac{z\phi'(z)}{\phi(z)} \in k\mathcal{P}_m(\beta), \quad (z \in \mathfrak{E}),$$

and hence  $\phi(z) \in k\mathcal{UR}^m(\beta)$ .  $\square$

When we take  $m = 2$ , the following result, proved by Noor [8], is obtained.

**Corollary 1.** Let  $f \in k\mathcal{ST}_s(\beta)$ . Then,

$$\phi(z) = \frac{1}{2} [f(z) - f(-z)]$$

belongs to  $k\mathcal{ST}(\beta)$ .

**Corollary 2.** Let  $f \in \mathcal{R}_s^m(\beta)$ . Then,

$$\phi(z) = \frac{1}{2} [f(z) - f(-z)]$$

belongs to  $\mathcal{R}^m(\beta)$ .

**Theorem 2.** If  $f \in k\mathcal{UR}_s^m(\beta)$ , then

$$f'(z) = \frac{p(z)}{2} \exp \left\{ \int_0^z \frac{1}{2\zeta} (p(\zeta) + p(-\zeta) - 2) d\zeta \right\} \tag{8}$$

for some  $p(z) \in k\mathcal{P}_m(\beta)$ .

**Proof.** Let  $f \in k\mathcal{UR}_s^m(\beta)$ . Then, by definition, one may have

$$\frac{2zf'(z)}{f(z) - f(-z)} = p(z), \quad p(z) \in k\mathcal{P}_m(\beta). \tag{9}$$

Simple computation leads us to

$$\frac{f(z) - f(-z)}{z} = \exp \left\{ \int_0^z \frac{1}{2\zeta} (p(\zeta) + p(-\zeta) - 2) d\zeta \right\}. \tag{10}$$

Using (9) in (10), we can easily obtain (8). □

When we take  $m = 2$ , the above result takes the following form, proved by Noor [8].

**Corollary 3.** *If  $f \in k-ST_s(\beta)$ , then*

$$f'(z) = \frac{p(z)}{2} \exp \left\{ \int_0^z \frac{1}{2\bar{\zeta}} (p(\bar{\zeta}) + p(-\bar{\zeta}) - 2) d\bar{\zeta} \right\}$$

for  $p(z) \in k-P(\beta)$ .

When  $m = 2, k = 0$  and  $\beta = 0$ . Then, we have the following result, proved in [11].

**Theorem 3.** *Let  $f \in k-UR_s^m(\beta)$  be of the form (1). Then,*

$$|a_2| \leq \frac{m}{8} |Q_1|, \tag{11}$$

where  $Q_1$  is given by (6).

**Proof.** Let  $f \in k-UR_s^m(\beta)$  and let it be of the form (9). Then,

$$f''(z) = \frac{p'(z)}{2} \exp \left\{ \int_0^z \frac{p(\bar{\zeta}) + p(-\bar{\zeta}) - 2}{2\bar{\zeta}} d\bar{\zeta} \right\} + \frac{p(z)}{2} \left\{ \frac{f(z) - f(-z)}{z} \right\}'. \tag{12}$$

From (12), we have  $f''(0) = \frac{p'(0)}{2}$ . It is well known that  $|p'(0)|$  in the class  $k-P_m(\beta)$  is  $|p'(0)| \leq \frac{m}{2} |Q_1|$ , where  $Q_1$  is given by (6). Thus, we get (11). □

**Corollary 4.** *The following disk is contained in the range of every function from  $k-UR_s^m(\beta)$ .*

$$|w| < \frac{8}{16 + m |Q_1|},$$

where  $Q_1$  is given by (6).

**Proof.** According to the Koebe theorem, each omitted value  $w$  satisfies

$$|w| > \frac{1}{2 + |a_2|}. \tag{13}$$

Using (13) and Theorem 3, we get the required result. □

By using the similar technique as used in [11], we have the following result.

**Theorem 4.** *Let  $f \in k-UR_s^m(\beta)$ . Then, for  $z = re^{i\theta}$  and  $0 \leq \theta_1 < \theta_2 \leq 2\pi$ ,*

$$\int_{\theta_1}^{\theta_2} \Re \left( \frac{zf'(z)}{f(z)} \right) d\theta > - (1 - \beta_1) \left( \frac{m}{2} - 1 \right) \pi,$$

for

$$\beta_1 = \frac{\beta + k}{1 + k}. \tag{14}$$

**Theorem 5.** Let  $f(z) \in k\mathcal{B}_s^m(\alpha, \beta)$ . Then, for  $z = re^{i\theta}$ ,

$$\int_{\theta_1}^{\theta_2} \Re J(\alpha, f(z)) \, d\theta > -\left(\alpha(1 - \beta_1)\left(\frac{m}{2} - 1\right) + \sigma\right) \pi, \tag{15}$$

where  $0 \leq \theta_1 < \theta_2 \leq 2\pi$ ,  $\beta_1$  is defined by (14) and

$$J(\alpha, f(z)) = \left(1 + \frac{zf''(z)}{f'(z)}\right) + (\alpha - 1) \frac{zf'(z)}{f(z)}. \tag{16}$$

**Proof.** Let

$$\frac{zf'(z)}{f(z)} \left(\frac{2f(z)}{g(z) - g(-z)}\right)^\alpha = h^\sigma(z),$$

where  $h(z) \in \mathcal{P}$ ,

$$\begin{aligned} \frac{(zf'(z))'}{f'(z)} + (\alpha - 1) \frac{zf'(z)}{f(z)} &= \frac{\sigma zh'(z)}{h(z)} + \frac{\alpha z\phi'(z)}{\phi(z)}, \\ \int_{\theta_1}^{\theta_2} \left[ \frac{(zf'(z))'}{f'(z)} + (\alpha - 1) \frac{zf'(z)}{f(z)} \right] d\theta &= \sigma \int_{\theta_1}^{\theta_2} \frac{zh'(z)}{h(z)} d\theta + \alpha \int_{\theta_1}^{\theta_2} \frac{z\phi'(z)}{\phi(z)} d\theta, \end{aligned}$$

where  $\phi(z)$  is an odd function of the form

$$\phi(z) = \frac{1}{2} [g(z) - g(-z)].$$

Since  $g(z) \in k\mathcal{UR}_s^m(\beta)$  and by Theorem 1  $\phi(z) \in k\mathcal{UR}^m(\beta) \subset \mathcal{R}^m(\beta_1)$ , therefore, by using Theorem 4, we have

$$\int_{\theta_1}^{\theta_2} \Re \left( \frac{z\phi'(z)}{\phi(z)} \right) d\theta > -(1 - \beta_1) \left( \frac{m}{2} - 1 \right) \pi. \tag{17}$$

In addition, we observe that, for  $h(z) \in \mathcal{P}$ ,

$$\begin{aligned} \frac{\partial}{\partial \theta} \arg h(re^{i\theta}) &= \frac{\partial}{\partial \theta} \Re \left\{ -i \ln h(re^{i\theta}) \right\} \\ &= \Re \left\{ \frac{re^{i\theta} h'(re^{i\theta})}{h(re^{i\theta})} \right\}. \end{aligned}$$

Therefore,

$$\int_{\theta_1}^{\theta_2} \Re \left\{ \frac{re^{i\theta} h'(re^{i\theta})}{h(re^{i\theta})} \right\} d\theta = \arg h(re^{i\theta_2}) - \arg h(re^{i\theta_1}),$$

which takes the form

$$\left| \int_{\theta_1}^{\theta_2} \Re \left\{ \frac{re^{i\theta} h'(re^{i\theta})}{h(re^{i\theta})} \right\} d\theta \right| = \left| \arg h(re^{i\theta_2}) - \arg h(re^{i\theta_1}) \right|.$$

This implies that

$$\max_{h \in \mathcal{P}(\beta)} \left| \int_{\theta_1}^{\theta_2} \Re \left( \frac{re^{i\theta} h'(re^{i\theta})}{h(re^{i\theta})} \right) d\theta \right| = \max_{h \in \mathcal{P}(\beta)} \left| \arg h(re^{i\theta_2}) - \arg h(re^{i\theta_1}) \right|. \tag{18}$$

Since  $h(z) \in \mathcal{P}$ , thus

$$\left| h(z) - \frac{1+r^2}{1-r^2} \right| \leq \frac{2r}{1-r^2}.$$

Thus, the values  $h(z)$  are contained in the circle of Apollonius with diameter end points  $\frac{1-r}{1+r}$  and  $\frac{1+r}{1-r}$  and radius  $\frac{2r}{1-r^2}$ . Thus, the maximum of  $|\arg h(z)|$  is attained at points where tangent ray from origin to the circle can be drawn, that is, when

$$\arg h(z) = \pm \sin^{-1} \left( \frac{2r}{1-r^2} \right).$$

Now,

$$\max_{h \in \mathcal{P}(\beta)} \left| \int_{\theta_1}^{\theta_2} \Re \left( \frac{re^{i\theta} h'(re^{i\theta})}{h(re^{i\theta})} \right) d\theta \right| \leq 2 \sin^{-1} \left( \frac{2r}{1-r^2} \right).$$

This implies that

$$\max_{h \in \mathcal{P}(\beta)} \left| \int_{\theta_1}^{\theta_2} \Re \left( \frac{re^{i\theta} h'(re^{i\theta})}{h(re^{i\theta})} \right) d\theta \right| \leq \pi - 2 \cos^{-1} \left( \frac{2r}{1-r^2} \right). \tag{19}$$

Thus,

$$\int_{\theta_1}^{\theta_2} \Re J(\alpha, f(z)) d\theta > - \left( \alpha(1-\beta_1) \left( \frac{m}{2} - 1 \right) + \sigma \right) \pi + 2\sigma \cos^{-1} \left( \frac{2r}{1-r^2} \right),$$

which gives

$$\int_{\theta_1}^{\theta_2} \Re J(\alpha, f(z)) d\theta > - \left( \alpha(1-\beta_1) \left( \frac{m}{2} - 1 \right) + \sigma \right) \pi, \quad (r \rightarrow 1).$$

This completes the proof.  $\square$

For talking  $k = 0$ , we obtain the integral representation for the class  $\mathcal{T}_s^m(\beta)$ .

**Corollary 5.** Let  $f \in \mathcal{T}_s^m(\beta)$ . Then, for  $z = re^{i\theta}$ ,

$$\int_{\theta_1}^{\theta_2} \Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) d\theta > -\sigma\pi,$$

where  $0 \leq \theta_1 < \theta_2 \leq 2\pi$ .

**Theorem 6.** Let  $f \in k\mathcal{B}_s^m(\alpha, \beta)$ . Then, for  $\frac{\alpha}{2-\sigma}(m+2)(1-\beta_1) > 1$ ,

$$L_r f(z) \leq \begin{cases} C(\alpha, \sigma, m, \beta_1) \mathfrak{M}^{1-\alpha}(r) \left( \frac{1}{1-r} \right)^{\alpha \left( \frac{m}{2} + 1 \right) (1-\beta_1) - 1 + \sigma}, & 0 < \alpha \leq 1, \\ C(\alpha, \sigma, m, \beta_1) \mathfrak{m}^{1-\alpha}(r) \left( \frac{1}{1-r} \right)^{\alpha \left( \frac{m}{2} + 1 \right) (1-\beta_1) - 1 + \sigma}, & \alpha > 1, \end{cases}$$

where  $\mathfrak{M}(r) = \max_{|z|=r} |f(z)|$ ,  $\mathfrak{m}(r) = \min_{|z|=r} |f(z)|$  and  $C(\alpha, \sigma, m, \beta_1)$  is a constant depending upon  $\alpha, \sigma, m$  and  $\beta_1$  only.

**Proof.** We know that

$$L_r f(z) = \int_0^{2\pi} |zf'(z)| d\theta, \quad z = re^{i\theta}, \quad 0 < r < 1.$$

Since  $f \in k\mathcal{B}_s^m(\alpha, \beta)$ , thus

$$\frac{zf'(z)}{f^{1-\alpha}(z)} \left( \frac{2}{g(z) - g(-z)} \right)^\alpha = p^\sigma(z), \quad p(z) \in \mathcal{P}.$$

By Theorem 1, we have for  $g \in k\mathcal{UR}_s^m(\beta)$ , the function

$$\phi(z) = \frac{1}{2} [g(z) - g(-z)] \in k\mathcal{UR}^m(\beta),$$

which yields

$$zf'(z) = (f(z))^{1-\alpha} (\phi(z))^\alpha p^\sigma(z).$$

Therefore, we have

$$\begin{aligned} L_r f(z) &\leq \int_0^{2\pi} |f(z)|^{1-\alpha} |\phi(z)|^\alpha |p(z)|^\sigma d\theta \\ &\leq \mathfrak{M}^{1-\alpha}(r) \int_0^{2\pi} |\phi(z)|^\alpha |p(z)|^\sigma d\theta. \end{aligned}$$

Since  $\phi(z) \in k\mathcal{UR}^m(\beta) \subset \mathcal{R}^m(\beta_1)$ , we have

$$\phi(z) = \frac{(s_1(z))^{\left(\frac{m}{4} + \frac{1}{2}\right)}}{(s_2(z))^{\left(\frac{m}{4} - \frac{1}{2}\right)}}, \quad s_1, s_2 \in k\mathcal{UR}^2(\beta).$$

Since  $k\mathcal{UR}^2(\beta) \subset \mathcal{S}^*(\beta_1)$ , so we can write

$$s_i(z) = z \left( \frac{\phi_i(z)}{z} \right)^{1-\beta_1}, \quad \text{for } i = 1, 2 \text{ and } \phi_i(z) \in \mathcal{S}^*.$$

Thus, for odd functions  $s_1(z), s_2(z) \in \mathcal{S}^*(\beta_1)$ , we have

$$\begin{aligned} L_r(f(z)) &\leq \mathfrak{M}^{1-\alpha}(r) \int_0^{2\pi} |z|^{\beta_1} \left| \frac{(\phi_1(z))^{(1-\beta_1)\left(\frac{m}{4} + \frac{1}{2}\right)}}{(\phi_2(z))^{(1-\beta_1)\left(\frac{m}{4} - \frac{1}{2}\right)}} \right|^\alpha |p(z)|^\sigma d\theta \\ &\leq \mathfrak{M}^{1-\alpha}(r) \int_0^{2\pi} \frac{|\phi_1(z)|^{\alpha\left(\frac{m}{4} + \frac{1}{2}\right)(1-\beta_1)}}{|\phi_2(z)|^{\alpha\left(\frac{m}{4} - \frac{1}{2}\right)(1-\beta_1)}} |p(z)|^\sigma d\theta \\ &\leq \mathfrak{M}^{1-\alpha}(r) \int_0^{2\pi} \frac{2^{\alpha\left(\frac{m}{2} - 1\right)(1-\beta_1)}}{r^{\alpha\left(\frac{m}{4} - \frac{1}{2}\right)(1-\beta_1)}} |(\phi_1(z))^{\alpha\left(\frac{m}{4} + \frac{1}{2}\right)(1-\beta_1)}| |p(z)|^\sigma d\theta \\ &= \frac{\mathfrak{M}^{1-\alpha}(r) 2^{\alpha\left(\frac{m}{2} - 1\right)(1-\beta_1)}}{r^{\alpha\left(\frac{m}{4} - \frac{1}{2}\right)(1-\beta_1)}} \int_0^{2\pi} |(\phi_1(z))^{\alpha\left(\frac{m}{4} + \frac{1}{2}\right)(1-\beta_1)}| |p(z)|^\sigma d\theta. \end{aligned}$$

Now, by making use of Holder’s inequality, with  $m_1 = 2/2 - \sigma$  and  $m_2 = 2/\sigma$  such that  $(1/m_1) + (1/m_2) = 1$ , we have

$$L_r(f(z)) \leq \frac{\mathfrak{M}^{1-\alpha}(r) \pi 2^{\alpha(\frac{m}{2}-1)(1-\beta_1)+1}}{r^{\alpha(\frac{m}{4}-\frac{1}{2})(1-\beta_1)}} \left( \frac{1}{2\pi} \int_0^{2\pi} |p(z)|^2 d\theta \right)^{\frac{\sigma}{2}} \times \left( \frac{1}{2\pi} \int_0^{2\pi} |\phi_1(z)|^{2\frac{\alpha}{\sigma}(\frac{m}{2}+1)(1-\beta_1)} d\theta \right)^{\frac{2-\sigma}{2}}.$$

By using Lemma 2 and distortion results, we obtain

$$L_r(f(z)) \leq \frac{\mathfrak{M}^{1-\alpha}(r) \pi 2^{\alpha(\frac{m}{2}-1)(1-\beta_1)+1}}{r^{\alpha(\frac{m}{4}-\frac{1}{2})(1-\beta_1)}} \left( \frac{1+3r^2}{1-r^2} \right)^{\frac{\sigma}{2}} \left( \frac{1}{2\pi} \int_0^{2\pi} \frac{r^{\frac{\alpha}{\sigma}(\frac{m}{2}+1)(1-\beta_1)}}{|1-re^{i\theta}|^{2\frac{\alpha}{\sigma}(\frac{m}{2}+1)(1-\beta_1)}} d\theta \right)^{\frac{2-\sigma}{2}} = \frac{\mathfrak{M}^{1-\alpha}(r) \pi 2^{\alpha(\frac{m}{2}-1)(1-\beta_1)+1}}{r^{\alpha(\frac{m}{4}-\frac{1}{2})(1-\beta_1)}} r^{\frac{\alpha}{\sigma}(\frac{m}{2}+1)(1-\beta_1)} \left( \frac{1}{2\pi} \int_0^{2\pi} \frac{1}{|1-re^{i\theta}|^{2\frac{\alpha}{\sigma}(\frac{m}{2}+1)(1-\beta_1)}} d\theta \right)^{\frac{2-\sigma}{2}} \left( \frac{1+3r^2}{1-r^2} \right)^{\frac{\sigma}{2}}.$$

This implies that

$$L_r(f(z)) \leq \mathfrak{M}^{1-\alpha}(r) \pi^{\frac{\sigma}{2}} 2^{\alpha(\frac{m}{2}-1)(1-\beta_1)+1+\sigma} \left( \frac{1}{(1-r)^{\frac{2\alpha}{\sigma}(\frac{m}{2}+1)(1-\beta_1)-1}} \right)^{\frac{2-\sigma}{2}} \left( \frac{1}{1-r} \right)^{\frac{\sigma}{2}} = \mathfrak{M}^{1-\alpha}(r) \pi^{\frac{\sigma}{2}} 2^{\alpha(\frac{m}{2}-1)(1-\beta_1)+1+\sigma} \left( \frac{1}{1-r} \right)^{\left(\frac{2-\sigma}{2}\right)\left(\frac{2\alpha}{\sigma}(\frac{m}{2}+1)(1-\beta_1)-1\right)} \left( \frac{1}{1-r} \right)^{\frac{\sigma}{2}} = C(\alpha, \sigma, m, \beta_1) \mathfrak{M}^{1-\alpha}(r) \left( \frac{1}{1-r} \right)^{\alpha(\frac{m}{2}+1)(1-\beta_1)-1+\sigma},$$

where

$$C(\alpha, \sigma, m, \beta_1) = \pi^{\frac{\sigma}{2}} 2^{\alpha(\frac{m}{2}-1)(1-\beta_1)+1+\sigma}$$

is a constant depending upon  $\alpha, \sigma, m$  and  $\beta_1$  only. Similarly, for  $\alpha > 1$ , we have

$$L_r(f(z)) \leq C(\alpha, \sigma, m, \beta_1) m^{\alpha-1}(r) \left( \frac{1}{1-r} \right)^{\alpha(\frac{m}{2}+1)(1-\beta_1)-1+\sigma}.$$

□

**Theorem 7.** Let  $f \in k\text{-}\mathcal{B}_s^m(\alpha, \beta)$ . Then, for  $n \geq 2$  and  $\frac{\alpha}{2-\sigma}(m+2)(1-\beta_1) > 1$ ,

$$|a_n| \leq \begin{cases} C_1(\alpha, \sigma, m, \beta_1) \mathfrak{M}^{1-\alpha}(n) (n)^{\alpha(\frac{m}{2}+1)(1-\beta_1)-2+\sigma}, & 0 < \alpha \leq 1, \\ C_1(\alpha, \sigma, m, \beta_1) m^{\alpha-1}(n) (n)^{\alpha(\frac{m}{2}+1)(1-\beta_1)-2+\sigma}, & \alpha > 1, \end{cases}$$

where  $\beta_1$  is given by (14) and  $m, \mathfrak{M}$  are the same as in Theorem 6 and  $C_1(\alpha, \sigma, m, \beta_1)$  is a constant.

**Proof.** Since  $z = re^{i\theta}$ , Cauchy theorem gives

$$na_n = \frac{1}{2\pi r^n} \int_0^{2\pi} z f'(z) e^{-in\theta} d\theta,$$

which reduces to

$$\begin{aligned}
 |na_n| &= \left| \frac{1}{2\pi r^n} \int_0^{2\pi} z f'(z) e^{-in\theta} d\theta \right| \\
 &\leq \frac{1}{2\pi r^n} \int_0^{2\pi} |z f'(z) e^{-in\theta}| d\theta.
 \end{aligned}$$

Therefore,

$$n |a_n| \leq \frac{1}{2\pi r^n} L_r f(z).$$

Now, using Theorem 6 for  $0 < \alpha \leq 1$ , we have

$$n |a_n| \leq \frac{1}{2\pi r^n} C(\alpha, \sigma, m, \beta_1) \mathfrak{M}^{1-\alpha}(r) \left(\frac{1}{1-r}\right)^{\alpha(\frac{m}{2}+1)(1-\beta_1)-1+\sigma}.$$

Putting  $r = 1 - \frac{1}{n}$ , we have

$$|a_n| \leq C_1(\alpha, \sigma, m, \beta_1) \mathfrak{M}^{1-\alpha}(r) (n)^{\alpha(\frac{m}{2}+1)(1-\beta_1)-2+\sigma}.$$

Similarly, we obtain the required result for  $\alpha > 1$ .  $\square$

**Theorem 8.** Let  $f \in k\mathcal{B}_s^m(\alpha, \beta)$ . Then, for  $\frac{\alpha}{2-\sigma}(m+2)(1-\beta_1) > 1$ ,

$$\left\| |a_{n+1}| - |a_n| \right\| \leq \begin{cases} \mathfrak{M}^{1-\alpha}(r) C_2(\alpha, \sigma, m, \beta_1) (n)^{\alpha(\frac{m}{2}+1)(1-\beta_1)+\sigma-3}, & 0 < \alpha \leq 1, \\ \mathfrak{m}^{1-\alpha}(r) C_2(\alpha, \sigma, m, \beta_1) (n)^{\alpha(\frac{m}{2}+1)(1-\beta_1)+\sigma-3}, & \alpha > 1, \end{cases}$$

where  $\mathfrak{m}(r) = \min_{|z|=r} |f(z)|$ ,  $\mathfrak{M}(r) = \max_{|z|=r} |f(z)|$  and  $C_2(\alpha, \sigma, m, \beta_1)$  is a constant depending upon  $\alpha, \sigma, m$  and  $\beta_1$  only.

**Proof.** We know that, for  $\zeta \in \mathfrak{E}$  and  $n \geq 1$ ,

$$|(n+1)\zeta a_{n+1} - na_n| \leq \frac{1}{2\pi r^{n+1}} \int_0^{2\pi} |z - \zeta| |z f'(z)| d\theta, \quad z = re^{i\theta}, \quad 0 < r < 1, \quad 0 \leq \theta \leq 2\pi.$$

As  $f \in k\mathcal{B}_s^m(\alpha, \beta)$ , thus

$$\frac{z f'(z)}{f(z)} \left( \frac{2f(z)}{g(z) - g(-z)} \right)^\alpha = p^\sigma(z), \quad p \in \mathcal{P}.$$

From Theorem 4, we have

$$\phi(z) = \frac{1}{2} [g(z) - g(-z)] \in k - \mathcal{UR}^m(\beta) \quad \text{for } g \in k - \mathcal{UR}_s^m(\beta).$$

This leads us to

$$z f'(z) = (f(z))^{1-\alpha} (\phi(z))^\alpha p^\sigma(z).$$



Thus, for  $\xi \in \mathfrak{E}$  and  $n \geq 1$ , we have

$$|(n + 1) \xi a_{n+1} - na_n| \leq \frac{M^{1-\alpha}(r)}{2\pi r^{n+1}} \int_0^{2\pi} |z - \xi| |\phi(z)|^\alpha |p(z)|^\sigma d\theta.$$

Since  $\phi(z) \in k\mathcal{UR}^m(\beta) \subset \mathcal{R}^m(\beta_1)$ , therefore, for  $\phi_1(z), \phi_2(z) \in \mathcal{S}^*$ , we have

$$\begin{aligned} |\xi a_{n+1}(n + 1) - na_n| &\leq \frac{M^{1-\alpha}(r)}{2\pi r^{n+1}} \int_0^{2\pi} |z|^\alpha |\beta_1| |z - \xi| \left| \frac{(\phi_1(z))^{(1-\beta_1)(\frac{m}{4} + \frac{1}{2})}}{(\phi_2(z))^{(1-\beta_1)(\frac{m}{4} - \frac{1}{2})}} \right|^\alpha |p(z)|^\sigma d\theta \\ &\leq \frac{M^{1-\alpha}(r)}{2\pi r^{n+1}} \int_0^{2\pi} |z - \xi| \frac{|(\phi_1(z))|^\alpha (1-\beta_1)(\frac{m}{4} + \frac{1}{2})}{|(\phi_2(z))|^\alpha (1-\beta_1)(\frac{m}{4} - \frac{1}{2})} |p(z)|^\sigma d\theta. \end{aligned}$$

Using Lemma 3(i), we have

$$\begin{aligned} |(n + 1) \xi a_{n+1} - na_n| &\leq \frac{2^\alpha (\frac{m}{2} - 1)^{(1-\beta_1)} M^{1-\alpha}(r)}{2\pi r^{n+1+\alpha(\frac{m}{4} - \frac{1}{2})} (1-\beta_1)} \\ &\times \int_0^{2\pi} |z - \xi| |(\phi_1(z))| |(\phi_1(z))|^{\alpha(1-\beta_1)(\frac{m}{4} + \frac{1}{2}) - 1} |p(z)|^\sigma d\theta. \end{aligned}$$

Now, using Lemma 3(ii), we have

$$|(n + 1) \xi a_{n+1} - na_n| \leq \frac{2^\alpha (\frac{m}{2} - 1)^{(1-\beta_1)} M^{1-\alpha}(r)}{2\pi r^{n-1+\alpha(\frac{m}{4} - \frac{1}{2})} (1-\beta_1) (1-r)} \int_0^{2\pi} |(\phi_1(z))|^{\alpha(1-\beta_1)(\frac{m}{4} + \frac{1}{2}) - 1} |p(z)|^\sigma d\theta.$$

Now, using Cauchy–Schwarz inequality, we have

$$\begin{aligned} |(n + 1) \xi a_{n+1} - na_n| &\leq \frac{2^\alpha (\frac{m}{2} - 1)^{(1-\beta_1)} M^{1-\alpha}(r)}{r^{n-1+\alpha(\frac{m}{4} - \frac{1}{2})} (1-\beta_1) (1-r)} \left( \frac{1}{2\pi} \int_0^{2\pi} |p(z)|^2 d\theta \right)^{\frac{\sigma}{2}} \\ &\times \left( \frac{1}{2\pi} \int_0^{2\pi} |(\phi_1(z))|^{\frac{\alpha(1-\beta_1)(\frac{m}{4} + \frac{1}{2}) - 2}{2-\sigma}} d\theta \right)^{\frac{2-\sigma}{2}}. \end{aligned}$$

By using Lemma 2 and distortion results, we obtain

$$\begin{aligned} |(n + 1) \xi a_{n+1} - na_n| &\leq \frac{2^\alpha (\frac{m}{2} - 1)^{(1-\beta_1)} M^{1-\alpha}(r)}{r^{n-1+\alpha(\frac{m}{4} - \frac{1}{2})} (1-\beta_1) (1-r)} \left( \frac{1+3r^2}{1-r^2} \right)^{\frac{\sigma}{2}} \\ &\times \left( \frac{1}{2\pi} \int_0^{2\pi} \frac{r^{\frac{1}{2-\sigma} \{ \alpha(\frac{m}{4} + 1)(1-\beta_1) - 2 \}}}{|1-re^{i\theta}|^{\frac{2}{2-\sigma} \{ \alpha(\frac{m}{4} + 1)(1-\beta_1) - 2 \}}} d\theta \right)^{\frac{2-\sigma}{2}} \\ &\leq \frac{C_2(\alpha, \sigma, m, \beta_1) M^{1-\alpha}(r) r^{\alpha(1-\beta_1) - n}}{(1-r)^{1+\frac{\sigma}{2}}} \left( \frac{1}{(1-re^{i\theta})^{\frac{2}{2-\sigma} \{ \alpha(\frac{m}{4} + 1)(1-\beta_1) - 2 \} - 1}} \right)^{\frac{2-\sigma}{2}} \\ &\leq \frac{C_2(\alpha, \sigma, m, \beta_1) M^{1-\alpha}(r)}{r^{n-1} (1-r)^{1+\frac{\sigma}{2}}} \left( \frac{1}{(1-r)^{\alpha(\frac{m}{4} + 1)(1-\beta_1) + \frac{\sigma}{2} - 3}} \right) \\ &\leq \frac{C_2(\alpha, \sigma, m, \beta_1) M^{1-\alpha}(r)}{r^{n-1} (1-r)^{\alpha(\frac{m}{4} + 1)(1-\beta_1) + \sigma - 2}}, \end{aligned}$$

where  $C_2(\alpha, \sigma, m, \beta_1)$  is a constant. Now, putting  $|\xi| = \frac{n}{n+1}$ , we obtain

$$n ||a_{n+1}| - |a_n|| \leq \frac{C_2(\alpha, \sigma, m, \beta_1) M^{1-\alpha}(r)}{r^{n-1} (1-r)^{\alpha(\frac{m}{4} + 1)(1-\beta_1) + \sigma - 2}}.$$

Now, taking  $r = 1 - \frac{1}{n}$  ( $n \rightarrow \infty$ ), we have

$$C_2(\alpha, \sigma, m, \beta_1) \mathfrak{M}^{1-\alpha}(r) (n)^{\alpha(\frac{m}{2}+1)(1-\beta_1)+\sigma-3}, \quad 0 < \alpha \leq 1.$$

Similarly for  $\alpha > 1$ , we have

$$\|a_{n+1}\| - \|a_n\| \leq C_2(\alpha, \sigma, m, \beta_1) m^{\alpha-1} (r) (n)^{\alpha(\frac{m}{2}+1)(1-\beta_1)+\sigma-3}.$$

Thus, the result follows.  $\square$

**Theorem 9.** Let  $f \in k\text{-}\mathcal{B}_s^m(\alpha, \beta)$  for  $\alpha > 0$ . Then,  $f(z)$  is  $\frac{1}{\alpha}$ -convex for  $|z| < r_m^*$ ,

$$r_m^* = \frac{2\alpha}{(\alpha m + 2\sigma - \alpha\beta_1 m) + \sqrt{(\alpha m + 2\sigma - \alpha\beta_1 m)^2 - 4\alpha^2(1 - 2\beta_1)}}, \quad \alpha > 0.$$

**Proof.** Let

$$zf'(z) = (f(z))^{1-\alpha} (\phi(z))^\alpha h^\sigma(z),$$

where  $\frac{g(z)-g(-z)}{2} = \phi(z) \in k\text{-}\mathcal{UR}^m(\beta) \subset \mathcal{R}^m(\beta_1)$  and  $h(z) \in \mathcal{P}$ . Differentiating logarithmically, we obtain

$$\frac{1}{\alpha} \left( \frac{(zf'(z))'}{f'(z)} \right) + \left( 1 - \frac{1}{\alpha} \right) \frac{zf'(z)}{f(z)} = \frac{z\phi'(z)}{\phi(z)} + \frac{\sigma zh'(z)}{h(z)}.$$

We can write

$$\begin{aligned} \Re \left\{ \frac{1}{\alpha} \left( \frac{(zf'(z))'}{f'(z)} \right) + \left( 1 - \frac{1}{\alpha} \right) \frac{zf'(z)}{f(z)} \right\} &= \Re \left( \frac{z\phi'(z)}{\phi(z)} \right) + \frac{\sigma}{\alpha} \Re \left( \frac{zh'(z)}{h(z)} \right) \\ &> \Re \left( \frac{z\phi'(z)}{\phi(z)} \right) - \frac{\sigma}{\alpha} \left| \frac{zh'(z)}{h(z)} \right|. \end{aligned}$$

Now, using the distortion results for the classes  $\mathcal{R}^m(\beta_1)$  and  $\mathcal{P}$ , we have

$$\begin{aligned} \Re \left\{ \frac{1}{\alpha} \left( \frac{(zf'(z))'}{f'(z)} \right) + \left( 1 - \frac{1}{\alpha} \right) \frac{zf'(z)}{f(z)} \right\} &\geq \beta_1 + \frac{(1 - \beta_1)(1 - mr + r^2)}{1 - r^2} - \frac{2\sigma r}{\alpha(1 - r^2)} \\ &= \frac{\alpha\beta_1(1 - r^2) + \alpha(1 - \beta_1)(1 - mr + r^2) - 2\sigma r}{\alpha(1 - r^2)} \\ &\geq \frac{\alpha(1 - 2\beta_1)r^2 - (\alpha m + 2\sigma - \alpha\beta_1 m)r + \alpha}{\alpha(1 - r^2)}, \end{aligned}$$

taking

$$\alpha(1 - 2\beta_1)r^2 - (\alpha m + 2\sigma - \alpha\beta_1 m)r + \alpha = 0,$$

$$r_m^* = \frac{(\alpha m + 2\sigma - \alpha\beta_1 m) \pm \sqrt{(\alpha m + 2\sigma - \alpha\beta_1 m)^2 - 4\alpha^2(1 - 2\beta_1)}}{2\alpha(1 - 2\beta_1)}.$$

Since  $0 \leq r < 1$ ,

$$\begin{aligned} r_m^* &= \frac{(\alpha m + 2\sigma - \alpha\beta_1 m) - \sqrt{(\alpha m + 2\sigma - \alpha\beta_1 m)^2 - 4\alpha^2(1 - 2\beta_1)}}{2\alpha(1 - 2\beta_1)} \\ &= \frac{2\alpha}{(\alpha m + 2\sigma - \alpha\beta_1 m) + \sqrt{(\alpha m + 2\sigma - \alpha\beta_1 m)^2 - 4\alpha^2(1 - 2\beta_1)}}, \quad \alpha > 0. \end{aligned}$$

This completes the proof.  $\square$

#### 4. Conclusions

In this article, we have presented certain analytic functions defined by bounded radius rotations associated with conic domain. We have investigated many geometric properties like coefficient estimate, radii problems, arc length, integral representation, inclusion results and growth rate of coefficients of Taylor's series representation. By varying the parameters in results, several well-known results in literature have been shown as special cases.

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Article

# Existence Theory for Nonlinear Third-Order Ordinary Differential Equations with Nonlocal Multi-Point and Multi-Strip Boundary Conditions

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**Abstract:** We investigate the solvability and Ulam stability for a nonlocal nonlinear third-order integro-multi-point boundary value problem on an arbitrary domain. The nonlinearity in the third-order ordinary differential equation involves the unknown function together with its first- and second-order derivatives. Our main results rely on the modern tools of functional analysis and are well illustrated with the aid of examples. An analogue problem involving non-separated integro-multi-point boundary conditions is also discussed.

**Keywords:** nonlinear boundary value problem; nonlocal; multi-point; multi-strip; existence; Ulam stability

## 1. Introduction

Consider a third-order ordinary differential equation of the form:

$$u'''(t) = f(t, u(t), u'(t), u''(t)), \quad a < t < T, \quad a, T \in \mathbb{R}, \quad (1)$$

supplemented with the boundary conditions:

$$\begin{aligned} \int_a^T u(s) ds &= \sum_{j=1}^m \gamma_j u(\sigma_j) + \sum_{i=1}^p \xi_i \int_{\rho_i}^{\rho_{i+1}} u(s) ds, \\ \int_a^T u'(s) ds &= \sum_{j=1}^m \mu_j u'(\sigma_j) + \sum_{i=1}^p \eta_i \int_{\rho_i}^{\rho_{i+1}} u'(s) ds, \\ \int_a^T u''(s) ds &= \sum_{j=1}^m \nu_j u''(\sigma_j) + \sum_{i=1}^p \omega_i \int_{\rho_i}^{\rho_{i+1}} u''(s) ds, \end{aligned} \quad (2)$$

where  $f : [a, T] \times \mathbb{R}^3 \rightarrow \mathbb{R}$  is a continuous function,  $a < \sigma_1 < \sigma_2 < \dots < \sigma_m < \rho_1 < \rho_2 < \dots < \rho_{p+1} < T$ , and  $\gamma_j, \mu_j, \nu_j \in \mathbb{R}^+$  ( $j = 1, 2, \dots, m$ ),  $\xi_i, \eta_i, \omega_i \in \mathbb{R}^+$  ( $i = 1, 2, \dots, p$ ).

As a second problem, we study Equation (1) with the following type non-separated boundary conditions:

$$\begin{aligned}\alpha_1 u(a) + \alpha_2 u(T) &= \sum_{j=1}^m \gamma_j u(\sigma_j) + \sum_{i=1}^p \xi_i \int_{\rho_i}^{\rho_{i+1}} u(s) ds, \\ \beta_1 u'(a) + \beta_2 u'(T) &= \sum_{j=1}^m \mu_j u'(\sigma_j) + \sum_{i=1}^p \eta_i \int_{\rho_i}^{\rho_{i+1}} u'(s) ds, \\ \delta_1 u''(a) + \delta_2 u''(T) &= \sum_{j=1}^m \nu_j u''(\sigma_j) + \sum_{i=1}^p \omega_i \int_{\rho_i}^{\rho_{i+1}} u''(s) ds,\end{aligned}\quad (3)$$

where  $\alpha_j, \beta_j, \delta_j \in \mathbb{R}$  ( $j = 1, 2$ ), while the rest of parameters are the same as fixed in the problem in Equations (1) and (2).

The subject of boundary value problems has been an interesting and important area of investigation in view of its varied application in applied sciences. One can find the examples in blood flow problems, underground water flow, chemical engineering, thermoelasticity, etc. For a detailed account of applications, see [1].

Nonlinear third-order ordinary differential equations frequently appear in the study of applied problems. In [2], the authors studied the existence of solutions for third-order nonlinear boundary value problems arising in nano-boundary layer fluid flows over stretching surfaces. In the study of magnetohydrodynamic flow of a second grade nanofluid over a nonlinear stretching sheet, the system of transformed governing equations involves a nonlinear third-order ordinary equation and is solved for local behavior of velocity distributions [3]. The investigation of the model of magnetohydrodynamic flow of second grade nanofluid over a nonlinear stretching sheet is also based on a nonlinear third-order ordinary differential equation [4].

During the last few decades, boundary value problems involving nonlocal and integral boundary conditions attracted considerable attention. In contrast to the classical boundary data, nonlocal boundary conditions help to model physical, chemical or other changes occurring within the given domain. For the study of heat conduction phenomenon in presence of nonclassical boundary condition, see [5]. The details on theoretical development of nonlocal boundary value problems can be found in the articles [6–10] and the references cited therein. On the other hand, integral boundary conditions play a key role in formulating the real world problems involving arbitrary shaped structures, for example, blood vessels in fluid flow problems [11–13]. For the recent development of the boundary value problems involving integral and multi-strip conditions, we refer the reader to the works [14–19].

In heat conduction problems, the concept of nonuniformity can be relaxed by using the boundary conditions of the form (2), which can accommodate the nonuniformities in form of points or sub-segments on the heat sources. In fact, the integro-multipoint conditions (2) can be interpreted as the sum of the values of the unknown function (e.g., temperature) at the nonlocal positions (points and sub-segments) is proportional to the value of the unknown function over the given domain. Moreover, in scattering problems, the conditions (2) can be helpful in a situation when the scattering boundary consists of finitely many sub-strips (finitely many edge-scattering problems). For details and applications in engineering problems, see [20–23].

In the present work, we derive the existence results for the problem in Equations (1) and (2) by applying Leray–Schauder nonlinear alternative and Krasnoselskii fixed-point theorem, while the uniqueness result is obtained with the aid of celebrated Banach fixed point theorem. These results are presented in Section 3. The Ulam type stability for the problem in Equations (1) and (2) is discussed in Section 4. In Section 5, we describe the outline for developing the existence theory for the problem in Equations (1) and (3). Section 2 contains the auxiliary lemmas related to the linear variants of the given problems, which lay the foundation for establishing the desired results. It is imperative to mention that the results obtained in this paper are new and yield several new results as special cases for appropriate choices of the parameters involved in the problems at hand.

### 2. Preliminary Result

In this section, we solve linear variants of the problems in Equations (1) and (2), and Equations (1) and (3).

**Lemma 1.** For  $g \in C([a, T], \mathbb{R})$  and  $\Lambda \neq 0$ , the unique solution of the problem consisting of the equation

$$u'''(t) = g(t), \quad t \in [a, T],$$

and the boundary condition in Equation (2) is

$$\begin{aligned} u(t) &= \int_a^t \frac{(t-s)^2}{2} g(s) ds \\ &- \frac{1}{\Lambda} \int_a^T \left[ A_1 A_2 \frac{(T-s)^3}{3!} + G_1(t) \frac{(T-s)^2}{2} + G_2(t)(T-s) \right] g(s) ds \\ &+ \frac{1}{\Lambda} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j A_1 A_2 \frac{(\sigma_j-s)^2}{2} + \mu_j G_1(t)(\sigma_j-s) + \nu_j G_2(t) \right] g(s) ds \\ &+ \frac{1}{\Lambda} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \left[ \int_a^s \left( \xi_i A_1 A_2 \frac{(s-\tau)^2}{2} + \eta_i G_1(t)(s-\tau) + \omega_i G_2(t) \right) g(\tau) d\tau \right] ds, \end{aligned} \tag{4}$$

where

$$G_1(t) = A_1 \left( A_4(t-a) - A_5 \right), \quad G_2(t) = A_3 \left( A_5 - A_4(t-a) \right) - A_2 \left( A_6 - A_4 \frac{(t-a)^2}{2} \right), \tag{5}$$

$$\left\{ \begin{aligned} \Lambda &= A_1 A_2 A_4, \quad A_1 = \left( T-a - \sum_{i=1}^p \omega_i (\rho_{i+1} - \rho_i) - \sum_{j=1}^m \nu_j \right) \neq 0, \\ A_2 &= \left( T-a - \sum_{i=1}^p \eta_i (\rho_{i+1} - \rho_i) - \sum_{j=1}^m \mu_j \right) \neq 0, \\ A_3 &= \frac{(T-a)^2}{2} - \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) - \sum_{j=1}^m \mu_j (\sigma_j - a), \\ A_4 &= \left( T-a - \sum_{i=1}^p \xi_i (\rho_{i+1} - \rho_i) - \sum_{j=1}^m \gamma_j \right) \neq 0, \\ A_5 &= \frac{(T-a)^2}{2} - \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) - \sum_{j=1}^m \gamma_j (\sigma_j - a), \\ A_6 &= \frac{(T-a)^3}{3!} - \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) - \sum_{j=1}^m \gamma_j \frac{(\sigma_j - a)^2}{2}. \end{aligned} \right. \tag{6}$$

**Proof.** Integrating  $u'''(t) = g(t)$  repeatedly from  $a$  to  $t$ , we get

$$u(t) = c_0 + c_1(t-a) + c_2 \frac{(t-a)^2}{2} + \int_a^t \frac{(t-s)^2}{2} g(s) ds, \tag{7}$$

where  $c_0, c_1$  and  $c_2$  are arbitrary unknown real constants. Moreover, from Equation (7), we have

$$u'(t) = c_1 + c_2(t-a) + \int_a^t (t-s) g(s) ds, \tag{8}$$

$$u''(t) = c_2 + \int_a^t g(s) ds. \tag{9}$$

Using the third condition of Equation (2) in Equation (9), we get

$$c_2 = \frac{1}{A_1} \left[ - \int_a^T (T-s)g(s)ds + \sum_{i=1}^p \omega_i \int_{\rho_i}^{\rho_{i+1}} \int_a^s g(\tau)d\tau ds + \sum_{j=1}^m v_j \int_a^{\sigma_j} g(s)ds \right]. \tag{10}$$

Making use of the second condition of Equation (2) in Equation (8) together with Equation (10) yields

$$\begin{aligned} c_1 = & \frac{1}{A_2} \left[ - \int_a^T \frac{(T-s)^2}{2} g(s)ds + \sum_{i=1}^p \eta_i \int_{\rho_i}^{\rho_{i+1}} \int_a^s (s-\tau)g(\tau)d\tau ds \right. \\ & + \sum_{j=1}^m \mu_j \int_a^{\sigma_j} (\sigma_j-s)g(s)ds \left. \right] + \frac{A_3}{A_1 A_2} \left[ - \int_a^T (T-s)g(s)ds + \sum_{i=1}^p \omega_i \int_{\rho_i}^{\rho_{i+1}} \int_a^s g(\tau)d\tau ds \right. \\ & \left. + \sum_{j=1}^m v_j \int_a^{\sigma_j} g(s)ds \right]. \tag{11} \end{aligned}$$

Finally, using the first condition of Equation (2) in Equation (7) together with Equations (10) and (11), we obtain

$$\begin{aligned} c_0 = & \frac{1}{\Lambda} \left\{ (A_3 A_5 - A_2 A_6) \left[ - \int_a^T (T-s)g(s)ds + \sum_{i=1}^p \omega_i \int_{\rho_i}^{\rho_{i+1}} \int_a^s g(\tau)d\tau ds \right. \right. \\ & + \sum_{j=1}^m v_j \int_a^{\sigma_j} g(s)ds \left. \right] - A_1 A_5 \left[ - \int_a^T \frac{(T-s)^2}{2} g(s)ds \right. \\ & + \sum_{i=1}^p \eta_i \int_{\rho_i}^{\rho_{i+1}} \int_a^s (s-\tau)g(\tau)d\tau ds + \sum_{j=1}^m \mu_j \int_a^{\sigma_j} (\sigma_j-s)g(s)ds \left. \right] \\ & + A_1 A_2 \left[ - \int_a^T \frac{(T-s)^3}{3!} g(s)ds + \sum_{i=1}^p \xi_i \int_{\rho_i}^{\rho_{i+1}} \int_a^s \frac{(s-\tau)^2}{2} g(\tau)d\tau ds \right. \\ & \left. \left. + \sum_{j=1}^m \gamma_j \int_a^{\sigma_j} \frac{(\sigma_j-s)^2}{2} g(s)ds \right] \right\}. \tag{12} \end{aligned}$$

In Equations (10)–(12), we have used the notations in Equation (6). Inserting the values of  $c_0, c_1$  and  $c_2$  in Equation (7) completes the solution to Equation (4). By direct computation, one can obtain the converse of the Lemma. □

**Lemma 2.** For  $h \in C([a, T], \mathbb{R})$ , the problem consisting of the equation  $u'''(t) = h(t), t \in [a, T]$  and non-separated boundary conditions in Equation (3) is equivalent to the integral equation

$$\begin{aligned} u(t) = & \int_a^t \frac{(t-s)^2}{2} h(s)ds \\ & - \frac{1}{\Delta} \int_a^T \left[ \alpha_2 \zeta_1 \zeta_2 \frac{(T-s)^2}{2} + \beta_2 P_1(t)(T-s) + \delta_2 P_2(t) \right] h(s)ds \\ & + \frac{1}{\Delta} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j \zeta_1 \zeta_2 \frac{(\sigma_j-s)^2}{2} + \mu_j P_1(t)(\sigma_j-s) + v_j P_2(t) \right] h(s)ds \\ & + \frac{1}{\Delta} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \left[ \xi_i \zeta_1 \zeta_2 \frac{(s-\tau)^2}{2} + \eta_i P_1(t)(s-\tau) + \omega_i P_2(t) \right] h(\tau)d\tau, \tag{13} \end{aligned}$$

where

$$P_1(t) = \zeta_1(\zeta_4(t - a) - \zeta_5), P_2(t) = \zeta_3(\zeta_5 - \zeta_4(t - a)) - \zeta_2(\zeta_6 - \zeta_4 \frac{(t - a)^2}{2}), \tag{14}$$

$$\left\{ \begin{array}{l} \Delta = \zeta_1 \zeta_2 \zeta_4, \zeta_1 = \left( \delta_1 + \delta_2 - \sum_{i=1}^p \omega_i(\rho_{i+1} - \rho_i) - \sum_{j=1}^m \nu_j \right) \neq 0, \\ \zeta_2 = \left( \beta_1 + \beta_2 - \sum_{i=1}^p \eta_i(\rho_{i+1} - \rho_i) - \sum_{j=1}^m \mu_j \right) \neq 0, \\ \zeta_3 = \beta_2(T - a) - \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1} - a)^2}{2} - \frac{(\rho_i - a)^2}{2} \right) - \sum_{j=1}^m \mu_j(\sigma_j - a), \\ \zeta_4 = \left( \alpha_1 + \alpha_2 - \sum_{i=1}^p \xi_i(\rho_{i+1} - \rho_i) - \sum_{j=1}^m \gamma_j \right) \neq 0, \\ \zeta_5 = \alpha_2(T - a) - \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1} - a)^2}{2} - \frac{(\rho_i - a)^2}{2} \right) - \sum_{j=1}^m \gamma_j(\sigma_j - a), \\ \zeta_6 = \alpha_2 \frac{(T - a)^2}{2} - \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1} - a)^3}{3!} - \frac{(\rho_i - a)^3}{3!} \right) - \sum_{j=1}^m \gamma_j \frac{(\sigma_j - a)^2}{2}. \end{array} \right. \tag{15}$$

**Proof.** We omit the proof as it runs parallel to that of Lemma 1.  $\square$

### 3. Main Results

Let us set  $\hat{f}(t) = f(t, u(t), u'(t), u''(t))$  and introduce a fixed point problem equivalent to the problem in Equations (1) and (2) via Lemma 1 as follows

$$u = \mathcal{L}u, \tag{16}$$

where the operator  $\mathcal{L} : \mathcal{H} \rightarrow \mathcal{H}$  is defined by

$$\begin{aligned} (\mathcal{L}u)(t) &= \int_a^t \frac{(t-s)^2}{2} \hat{f}(s) ds \\ &\quad - \frac{1}{\Lambda} \int_a^T \left[ A_1 A_2 \frac{(T-s)^3}{3!} + G_1(t) \frac{(T-s)^2}{2} + G_2(t)(T-s) \right] \hat{f}(s) ds \\ &\quad + \frac{1}{\Lambda} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j A_1 A_2 \frac{(\sigma_j - s)^2}{2} + \mu_j G_1(t)(\sigma_j - s) + \nu_j G_2(t) \right] \hat{f}(s) ds \\ &\quad + \frac{1}{\Lambda} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \xi_i A_1 A_2 \frac{(s-\tau)^2}{2} + \eta_i G_1(t)(s-\tau) + \omega_i G_2(t) \right] \hat{f}(\tau) d\tau ds. \end{aligned} \tag{17}$$

Here,  $\mathcal{H} = \{u | u, u', u'' \in C([a, T], \mathbb{R})\}$  is the Banach space equipped with the norm  $\|u\|_{\mathcal{H}} = \max_{t \in [a, T]} \{|u(t)| + |u'(t)| + |u''(t)|\} = \|u\| + \|u'\| + \|u''\|$ . From Equation (17), we have

$$\begin{aligned} (\mathcal{L}u)'(t) &= \int_a^t (t-s) \hat{f}(s) ds - \frac{1}{A_1 A_2} \int_a^T \left[ A_1 \frac{(T-s)^2}{2} + G_3(t)(T-s) \right] \hat{f}(s) ds \\ &\quad + \frac{1}{A_1 A_2} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \mu_j A_1(\sigma_j - s) + \nu_j G_3(t) \right] \hat{f}(s) ds \\ &\quad + \frac{1}{A_1 A_2} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \eta_i A_1(s-\tau) + \omega_i G_3(t) \right] \hat{f}(\tau) d\tau ds, \end{aligned} \tag{18}$$



$$\begin{aligned}
 (\mathcal{L}u)''(t) &= \int_a^t \widehat{f}(s)ds + \frac{1}{A_1} \left[ - \int_a^T (T-s)\widehat{f}(s)ds + \sum_{j=1}^m \int_a^{\sigma_j} v_j \widehat{f}(s)ds \right. \\
 &\quad \left. + \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \omega_i \widehat{f}(\tau) d\tau ds \right],
 \end{aligned}
 \tag{19}$$

where

$$G_3(t) = A_2(t - a) - A_3. \tag{20}$$

Observe that the existence of the fixed points for the operator in Equation (16) implies the existence of solutions for the problem in Equations (1) and (2).

For the sake of computational convenience in the forthcoming analysis, we set

$$Q = Q_1 + Q_2 + Q_3, \tag{21}$$

where

$$\begin{aligned}
 Q_1 &= \frac{(T-a)^3}{3!} + \frac{1}{|A_4|} \left[ \frac{(T-a)^4}{4!} + \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1}-a)^4}{4!} - \frac{(\rho_i-a)^4}{4!} \right) + \sum_{j=1}^m \gamma_j \frac{(\sigma_j-a)^3}{3!} \right] \\
 &\quad + \frac{b_1}{|\Lambda|} \left[ \frac{(T-a)^3}{3!} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j-a)^2}{2} \right] \\
 &\quad + \frac{b_2}{|\Lambda|} \left[ \frac{(T-a)^2}{2} + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m v_j (\sigma_j - a) \right],
 \end{aligned}
 \tag{22}$$

$$\begin{aligned}
 Q_2 &= \frac{(T-a)^2}{2} + \frac{1}{|A_2|} \left[ \frac{(T-a)^3}{3!} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j-a)^2}{2} \right] \\
 &\quad + \frac{b_3}{|A_1 A_2|} \left[ \frac{(T-a)^2}{2} + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m v_j (\sigma_j - a) \right],
 \end{aligned}
 \tag{23}$$

and

$$Q_3 = (T - a) + \frac{1}{|A_1|} \left[ \frac{(T-a)^2}{2} + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m v_j (\sigma_j - a) \right], \tag{24}$$

where  $\max_{t \in [a, T]} |G_1(t)| = b_1$ ,  $\max_{t \in [a, T]} |G_2(t)| = b_2$  and  $\max_{t \in [a, T]} |G_3(t)| = b_3$  ( $G_1(t)$ ,  $G_2(t)$  are given by Equation (5) while  $G_3(t)$  is defined in Equation (20)).

### 3.1. Existence of Solutions

In this subsection, we discuss the existence of solutions for the problem in Equations (1) and (2). In our first result, we make use of Krasnoselskii’s fixed point theorem [24].

**Theorem 1.** Let  $f : [a, T] \times \mathbb{R}^3 \rightarrow \mathbb{R}$  be a continuous function satisfying the conditions:

$$\begin{aligned}
 (H_1) \quad & \left| f(t, u, u', u'') - f(t, v, v', v'') \right| \leq \ell \left( |u - v| + |u' - v'| + |u'' - v''| \right), \quad \forall t \in [a, T], \\
 & \ell > 0, u, v, u', v', u'', v'' \in \mathbb{R};
 \end{aligned}$$

(H2) there exist a function  $\varepsilon \in C([a, T], \mathbb{R}^+)$  with  $\|\varepsilon\| = \sup_{t \in [a, T]} |\varepsilon(t)|$  such that

$$|\widehat{f}(t)| = |f(t, u, u', u'')| \leq \varepsilon(t), \quad \forall (t, u, u', u'') \in [a, T] \times \mathbb{R}^3;$$

(H3)  $\ell \left( Q - \frac{(T-a)}{6} [6 + 3(T-a) + (T-a)^2] \right) < 1$ , where  $Q$  is given by Equation (21).

Then, there exists at least one solution for the problem in Equations (1) and (2) on  $[a, T]$ .

**Proof.** Consider a closed ball  $B_r = \{(u, u', u'') : \|u\|_{\mathcal{H}} \leq r, u, u', u'' \in C([a, T], \mathbb{R})\}$  for fixed  $r \geq Q\|\varepsilon\|$  and introduce the operators  $\mathcal{L}_1$  and  $\mathcal{L}_2$  on  $B_r$  as follows:

$$\begin{aligned}
 (\mathcal{L}_1 u)(t) &= \int_a^t \frac{(t-s)^2}{2} \widehat{f}(s) ds, \\
 (\mathcal{L}_2 u)(t) &= -\frac{1}{\Lambda} \int_a^T \left[ A_1 A_2 \frac{(T-s)^3}{3!} + G_1(t) \frac{(T-s)^2}{2} + G_2(t)(T-s) \right] \widehat{f}(s) ds \\
 &\quad + \frac{1}{\Lambda} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j A_1 A_2 \frac{(\sigma_j-s)^2}{2} + \mu_j G_1(t)(\sigma_j-s) + \nu_j G_2(t) \right] \widehat{f}(s) ds \\
 &\quad + \frac{1}{\Lambda} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \zeta_i A_1 A_2 \frac{(s-\tau)^2}{2} + \eta_i G_1(t)(s-\tau) + \omega_i G_2(t) \right] \widehat{f}(\tau) d\tau ds.
 \end{aligned}$$

Moreover, we have

$$\begin{aligned}
 (\mathcal{L}_1 u)'(t) &= \int_a^t (t-s) \widehat{f}(s) ds, \quad (\mathcal{L}_1 u)''(t) = \int_a^t \widehat{f}(s) ds, \\
 (\mathcal{L}_2 u)'(t) &= -\frac{1}{A_1 A_2} \int_a^T \left[ A_1 \frac{(T-s)^2}{2} + G_3(t)(T-s) \right] \widehat{f}(s) ds \\
 &\quad + \frac{1}{A_1 A_2} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \mu_j A_1 (\sigma_j-s) + \nu_j G_3(t) \right] \widehat{f}(s) ds \\
 &\quad + \frac{1}{A_1 A_2} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \eta_i A_1 (s-\tau) + \omega_i G_3(t) \right] \widehat{f}(\tau) d\tau ds, \\
 (\mathcal{L}_2 u)''(t) &= \frac{1}{A_1} \left[ -\int_a^T (T-s) \widehat{f}(s) ds + \sum_{j=1}^m \int_a^{\sigma_j} \nu_j \widehat{f}(s) ds + \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \omega_i \widehat{f}(\tau) d\tau ds \right].
 \end{aligned}$$

Notice that  $\mathcal{L} = \mathcal{L}_1 + \mathcal{L}_2$ . For  $u, v \in B_r$ , and  $t \in [a, T]$ , we have

$$\begin{aligned}
 &\|\mathcal{L}_1 u + \mathcal{L}_2 v\| \\
 = &\sup_{t \in [a, T]} \left\{ \left| \int_a^t \frac{(t-s)^2}{2} f(s, u(s), u'(s), u''(s)) ds \right. \right. \\
 &- \frac{1}{\Lambda} \int_a^T \left[ A_1 A_2 \frac{(T-s)^3}{3!} + G_1(t) \frac{(T-s)^2}{2} + G_2(t)(T-s) \right] f(s, v(s), v'(s), v''(s)) ds \\
 &+ \frac{1}{\Lambda} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j A_1 A_2 \frac{(\sigma_j-s)^2}{2} + \mu_j G_1(t)(\sigma_j-s) + \nu_j G_2(t) \right] f(s, v(s), v'(s), v''(s)) ds \\
 &\left. \left. + \frac{1}{\Lambda} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \zeta_i A_1 A_2 \frac{(s-\tau)^2}{2} + \eta_i G_1(t)(s-\tau) + \omega_i G_2(t) \right] f(\tau, v(\tau), v'(\tau), v''(\tau)) d\tau ds \right\} \right. \\
 \leq &\|\varepsilon\| \sup_{t \in [a, T]} \left\{ \frac{(t-a)^3}{3!} + \frac{1}{|A_4|} \left[ \frac{(T-a)^4}{4!} + \sum_{i=1}^p \zeta_i \left( \frac{(\rho_{i+1}-a)^4}{4!} - \frac{(\rho_i-a)^4}{4!} \right) + \sum_{j=1}^m \gamma_j \frac{(\sigma_j-a)^3}{3!} \right] \right. \\
 &+ \frac{|G_1(t)|}{|\Lambda|} \left[ \frac{(T-a)^3}{3!} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j-a)^2}{2} \right] \\
 &\left. + \frac{|G_2(t)|}{|\Lambda|} \left[ \frac{(T-a)^2}{2} + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m \nu_j (\sigma_j-a) \right] \right\} \leq \|\varepsilon\| Q_1,
 \end{aligned}$$

where  $Q_1$  is given by Equation (22). In a similar manner, it can be shown that

$$\|(\mathcal{L}_1 u)' + (\mathcal{L}_2 v)'\| \leq \|\varepsilon\| Q_2, \quad \|(\mathcal{L}_1 u)'' + (\mathcal{L}_2 v)''\| \leq \|\varepsilon\| Q_3,$$

where  $Q_2$  and  $Q_3$  are, respectively, given by Equations (23) and (24). Consequently, we obtain

$$\|\mathcal{L}_1 u + \mathcal{L}_2 v\|_{\mathcal{H}} \leq \|\varepsilon\| Q \leq r,$$

where we have used  $(H_2)$  and Equation (21). From the above inequality, it follows that  $\mathcal{L}_1 u + \mathcal{L}_2 v \in B_r$ . Thus, the first condition of Krasnoselskii's fixed point theorem [24] is satisfied. Next, we show that  $\mathcal{L}_2$  is a contraction. For  $u, v \in \mathbb{R}$ , it follows by the assumption  $(H_1)$  that

$$\begin{aligned} & \|\mathcal{L}_2 u - \mathcal{L}_2 v\| \\ & \leq \sup_{t \in [a, T]} \left\{ \frac{1}{|\Lambda|} \int_a^T \left[ |A_1 A_2| \frac{(T-s)^3}{3!} + |G_1(t)| \frac{(T-s)^2}{2} + |G_2(t)|(T-s) \right] \right. \\ & \quad \times \left| f(s, u(s), u'(s), u''(s)) - f(s, v(s), v'(s), v''(s)) \right| ds + \frac{1}{|\Lambda|} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j |A_1 A_2| \frac{(\sigma_j - s)^2}{2} \right. \\ & \quad \left. + \mu_j |G_1(t)|(\sigma_j - s) + \nu_j |G_2(t)| \right] \left| f(s, u(s), u'(s), u''(s)) - f(s, v(s), v'(s), v''(s)) \right| ds \\ & \quad + \frac{1}{|\Lambda|} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \xi_i |A_1 A_2| \frac{(s-\tau)^2}{2} + \eta_i |G_1(t)|(s-\tau) + \omega_i |G_2(t)| \right] \\ & \quad \times \left| f(\tau, u(\tau), u'(\tau), u''(\tau)) - f(\tau, v(\tau), v'(\tau), v''(\tau)) \right| d\tau ds \left. \right\} \\ & \leq \ell \left( \|u - v\| + \|u' - v'\| + \|u'' - v''\| \right) \left\{ \frac{1}{|A_4|} \left[ \frac{(T-a)^4}{4!} + \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1}-a)^4}{4!} - \frac{(\rho_i-a)^4}{4!} \right) \right. \right. \\ & \quad \left. \left. + \sum_{j=1}^m \gamma_j \frac{(\sigma_j - a)^3}{3!} \right] + \frac{b_1}{|\Lambda|} \left[ \frac{(T-a)^3}{3!} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j - a)^2}{2} \right] \right. \\ & \quad \left. + \frac{b_2}{|\Lambda|} \left[ \frac{(T-a)^2}{2} + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m \nu_j (\sigma_j - a) \right] \right\} \\ & \leq \ell \left( Q_1 - \frac{(T-a)^3}{3!} \right) \|u - v\|_{\mathcal{H}}. \end{aligned}$$

Similarly, we can obtain

$$\|(\mathcal{L}_2 u)' - (\mathcal{L}_2 v)'\| \leq \ell \left( Q_2 - \frac{(T-a)^2}{2} \right) \|u - v\|_{\mathcal{H}},$$

and

$$\|(\mathcal{L}_2 u)'' - (\mathcal{L}_2 v)''\| \leq \ell \left( Q_3 - (T-a) \right) \|u - v\|_{\mathcal{H}}.$$

Thus, we get

$$\|\mathcal{L}_2 u - \mathcal{L}_2 v\|_{\mathcal{H}} \leq \ell \left( Q - \frac{(T-a)}{6} [6 + 3(T-a) + (T-a)^2] \right) \|u - v\|_{\mathcal{H}},$$

which, in view of the condition  $(H_3)$ , implies that  $\mathcal{L}_2$  is a contraction. Thus, the second hypothesis of Krasnoselskii's fixed point theorem [24] is satisfied. Finally, we verify the third and last hypothesis of

Krasnoselskii’s fixed point theorem [24] that  $\mathcal{L}_1$  is compact and continuous. Observe that continuity of  $f$  implies that the operator  $\mathcal{L}_1$  is continuous. In addition,  $\mathcal{L}_1$  is uniformly bounded on  $B_r$  as

$$\|\mathcal{L}_1 u\|_{\mathcal{H}} \leq \|\varepsilon\| \left[ \frac{(T-a)^3}{3!} + \frac{(T-a)^2}{2} + (T-a) \right].$$

Let us fix  $\sup_{(t,u,u',u'') \in [a,T] \times B_r} |f(t,u,u',u'')| = \bar{f}$ , and take  $a < t_1 < t_2 < T$ . Then,

$$\begin{aligned} |(\mathcal{L}_1 u)(t_2) - (\mathcal{L}_1 u)(t_1)| &= \left| \int_a^{t_1} \left[ \frac{(t_2-s)^2}{2} - \frac{(t_1-s)^2}{2} \right] \widehat{f}(s) ds \right. \\ &\quad \left. + \int_{t_1}^{t_2} \frac{(t_2-s)^2}{2} \widehat{f}(s) ds \right| \\ &\leq \bar{f} \left( \frac{(t_2-t_1)^3}{3} + \frac{1}{3!} |(t_2-a)^3 - (t_1-a)^3| \right) \rightarrow 0 \text{ as } t_2 \rightarrow t_1, \end{aligned}$$

independently of  $u \in B_r$ . In addition, we have

$$\begin{aligned} |(\mathcal{L}_1 u)'(t_2) - (\mathcal{L}_1 u)'(t_1)| &= \left| \int_a^{t_1} [(t_2-s) - (t_1-s)] \widehat{f}(s) ds + \int_{t_1}^{t_2} (t_2-s) \widehat{f}(s) ds \right| \\ &\leq \bar{f} \left| (t_2-t_1)(t_1-a) + \frac{(t_2-t_1)^2}{2} \right| \rightarrow 0 \text{ as } t_2 \rightarrow t_1, \end{aligned}$$

independently of  $u \in B_r$  and

$$|(\mathcal{L}_1 u)''(t_2) - (\mathcal{L}_1 u)''(t_1)| \leq \bar{f}(t_2 - t_1) \rightarrow 0 \text{ as } t_2 \rightarrow t_1,$$

independently of  $u \in B_r$ . From the preceding arguments, we deduce that  $\mathcal{L}_1$  is relatively compact on  $B_r$ . Hence, the operator  $\mathcal{L}_1$  is compact on  $B_r$  by the Arzelá–Ascoli theorem. Since all the hypotheses of Krasnoselskii’s fixed point theorem [24] are verified, its conclusion applies to the problem in Equations (1) and (2). □

**Remark 1.** When the role of the operators  $\mathcal{L}_1$  and  $\mathcal{L}_2$  is mutually interchanged, the condition  $(H_3)$  of Theorem 1 takes the form:  $\ell \frac{(T-a)}{6} [6 + 3(T-a) + (T-a)^2] < 1$ .

In the next result, we make use of Leray–Schauder nonlinear alternative for single valued maps [25].

**Theorem 2.** Suppose that  $f : [a, T] \times \mathbb{R}^3 \rightarrow \mathbb{R}$  is a continuous function and the following conditions hold:

- (H<sub>4</sub>)  $|\widehat{f}(t)| = |f(t,u,u',u'')| \leq p(t)\Psi(|u|), \forall (t,u,u',u'') \in [a,T] \times \mathbb{R}^3$ , where  $p \in C([a,T], \mathbb{R}^+)$ , and  $\Psi : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  is a nondecreasing function;
- (H<sub>5</sub>) there exists a positive constant  $N$  satisfying the inequality:

$$\frac{N}{\|p\| \Psi(N) Q} > 1,$$

where  $Q$  is defined by Equation (21). Then, the problem in Equations (1) and (2) has at least one solution on  $[a, T]$ .

**Proof.** We verify the hypotheses of Leray–Schauder nonlinear alternative [25] in several steps. We first show that the operator  $\mathcal{L} : \mathcal{H} \rightarrow \mathcal{H}$  defined by Equation (17) maps bounded sets into bounded sets in

$\mathcal{H}$ . Let us consider a set  $B_{\bar{r}} = \{ (u, u', u'') : \|u\|_{\mathcal{H}} \leq \bar{r}, u, u', u'' \in C([a, T], \mathbb{R}), \bar{r} > 0 \}$  and note that it is bounded in  $\mathcal{H}$ . Then, in view of the condition  $(H_4)$ , we get

$$\begin{aligned} \|(\mathcal{L}u)\| &= \sup_{t \in [a, T]} \left\{ \left| \int_a^t \frac{(t-s)^2}{2} \widehat{f}(s) ds \right. \right. \\ &\quad - \frac{1}{\Lambda} \int_a^T \left[ A_1 A_2 \frac{(T-s)^3}{3!} + G_1(t) \frac{(T-s)^2}{2} + G_2(t)(T-s) \right] \widehat{f}(s) ds \\ &\quad + \frac{1}{\Lambda} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j A_1 A_2 \frac{(\sigma_j-s)^2}{2} + \mu_j G_1(t)(\sigma_j-s) + \nu_j G_2(t) \right] \widehat{f}(s) ds \\ &\quad \left. + \frac{1}{\Lambda} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \xi_i A_1 A_2 \frac{(s-\tau)^2}{2} + \eta_i G_1(t)(s-\tau) + \omega_i G_2(t) \right] \widehat{f}(\tau) d\tau ds \right\} \\ &\leq \|p\| \Psi(\|u\|_{\mathcal{H}}) Q_1 \leq \|p\| \Psi(\bar{r}) Q_1, \end{aligned}$$

where  $Q_1$  is given by Equation (22). Similarly, one can establish that

$$\|(\mathcal{L}u)'\| \leq \|p\| \Psi(\bar{r}) Q_2, \quad \|(\mathcal{L}u)''\| \leq \|p\| \Psi(\bar{r}) Q_3,$$

where  $Q_2$  and  $Q_3$  are given by Equations (23) and (24), respectively. In view of the foregoing arguments, we have

$$\|(\mathcal{L}u)\|_{\mathcal{H}} \leq \|p\| \Psi(\bar{r}) Q,$$

where  $Q$  is given by Equation (21). Next, it is verified that the operator  $\mathcal{L}$  maps bounded sets into equicontinuous sets in  $\mathcal{H}$ . Notice that  $\mathcal{L}$  is continuous in view of the continuity of  $\widehat{f}(t)$ . Let  $t_1, t_2 \in [a, T]$  with  $t_1 < t_2$  and  $u \in B_{\bar{r}}$ . Then, we have

$$\begin{aligned} &|(\mathcal{L}u)(t_2) - (\mathcal{L}u)(t_1)| \\ &\leq \left| \int_a^{t_1} \left[ \frac{(t_2-s)^2}{2} - \frac{(t_1-s)^2}{2} \right] \widehat{f}(s) ds + \int_{t_1}^{t_2} \frac{(t_2-s)^2}{2} \widehat{f}(s) ds \right| \\ &\quad + \frac{1}{|\Lambda|} \int_a^T (t_2 - t_1) \left[ |A_1 A_4| \frac{(T-s)^2}{2} + \left( |A_3 A_4| + \frac{|A_2 A_4|}{2} (t_2 + t_1) \right) (T-s) \right] \widehat{f}(s) ds \\ &\quad + \frac{1}{|\Lambda|} \sum_{j=1}^m \int_a^{\sigma_j} (t_2 - t_1) \left[ \mu_j |A_1 A_4| (\sigma_j - s) + \nu_j \left( |A_3 A_4| + \frac{|A_2 A_4|}{2} (t_2 + t_1^2) \right) \right] |\widehat{f}(s)| ds \\ &\quad + \frac{1}{|\Lambda|} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s (t_2 - t_1) \left[ \eta_i |A_1 A_4| (s - \tau) + \omega_i \left( |A_3 A_4| + \frac{|A_2 A_4|}{2} (t_2 + t_1) \right) \right] |\widehat{f}(\tau)| d\tau ds \\ &\leq \|p\| \Psi(\bar{r}) \left\{ \frac{(t_2 - t_1)^3}{3} + \frac{1}{3!} \left| (t_2 - a)^3 - (t_1 - a)^3 \right| \right. \\ &\quad + \frac{(t_2 - t_1)}{|A_2|} \left[ \frac{(T-a)^3}{3!} + \sum_{j=1}^m \mu_j \frac{(\sigma_j - a)^2}{2} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1} - a)^3}{3!} - \frac{(\rho_i - a)^3}{3!} \right) \right] \\ &\quad + \frac{1}{|\Lambda|} \left( |A_3 A_4| (t_2 - t_1) + \frac{|A_2 A_4|}{2} (t_2^2 - t_1^2) \right) \left[ \frac{(T-a)^2}{2} + \sum_{j=1}^m \nu_j (\sigma_j - a) \right. \\ &\quad \left. + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1} - a)^2}{2} - \frac{(\rho_i - a)^2}{2} \right) \right] \left. \right\} \rightarrow 0 \text{ as } (t_2 - t_1) \rightarrow 0, \end{aligned}$$

independently of  $u \in B_{\bar{r}}$ . Moreover, we have

$$\begin{aligned} |(\mathcal{L}u)'(t_2) - (\mathcal{L}u)'(t_1)| &\leq \|p\|\Psi(\bar{r})\left\{\left|(t_2 - t_1)(t_1 - a) + \frac{(t_2 - t_1)^2}{2}\right| \right. \\ &\quad + \frac{(t_2 - t_1)}{|A_1|}\left[\frac{(T - a)^2}{2} + \sum_{j=1}^m v_j(\sigma_j - a)\right. \\ &\quad \left. \left. + \sum_{i=1}^p \omega_i\left(\frac{(\rho_{i+1} - a)^2}{2} - \frac{(\rho_i - a)^2}{2}\right)\right]\right\} \rightarrow 0 \text{ as } (t_2 - t_1) \rightarrow 0, \end{aligned}$$

independently of  $u \in B_{\bar{r}}$  and

$$\begin{aligned} |(\mathcal{L}u)''(t_2) - (\mathcal{L}u)''(t_1)| &\leq \left| \int_{t_1}^{t_2} \widehat{f}(s) ds \right| \\ &\leq \|p\|\Psi(\bar{r})(t_2 - t_1) \rightarrow 0 \text{ as } (t_2 - t_1) \rightarrow 0, \end{aligned}$$

independently of  $u \in B_{\bar{r}}$ . In view of the foregoing arguments, the Arzelà–Ascoli theorem applies and hence the operator  $\mathcal{L} : \mathcal{H} \rightarrow \mathcal{H}$  is completely continuous. The conclusion of Leray–Schauder nonlinear alternative [25] is applicable once we establish the boundedness of all solutions to the equation  $u = \lambda\mathcal{L}u$  for  $\lambda \in [0, 1]$ . Let  $u$  be a solution of the problem in Equations (1) and (2). Then, as before, one can find that

$$|u(t)| = |\lambda(\mathcal{L}u)(t)| \leq \|p\|\Psi(\|u\|_{\mathcal{H}})Q,$$

which can alternatively be written in the following form after taking the norm for  $t \in [a, T]$ :

$$\frac{\|u\|_{\mathcal{H}}}{\|p\|\Psi(\|u\|_{\mathcal{H}})Q} \leq 1.$$

By the assumption  $(H_5)$ , we can find a positive number  $N$  such that  $\|u\|_{\mathcal{H}} \neq N$ . Introduce a set  $U = \{u \in C([a, T], \mathbb{R}) : \|u\|_{\mathcal{H}} < N\}$  such that the operator  $\mathcal{L} : \bar{U} \rightarrow C([a, T], \mathbb{R})$  is continuous and completely continuous. In view of the the choice of  $U$ , there does not exist any  $u \in \partial U$  satisfying  $u = \lambda\mathcal{L}(u)$  for some  $\lambda \in (0, 1)$ . Thus, it follows from the nonlinear alternative of Leray–Schauder nonlinear alternative [25] that  $\mathcal{L}$  has a fixed point  $u \in \bar{U}$  which corresponds a solution of the problem in Equations (1) and (2).  $\square$

### 3.2. Uniqueness of Solutions

In this subsection, the uniqueness of solutions for the problem in Equations (1) and (2) is established by means of contraction mapping principle due to Banach.

**Theorem 3.** *Let  $f : [a, T] \times \mathbb{R}^3 \rightarrow \mathbb{R}$  be a continuous function satisfying the assumption  $(H_1)$  with  $\ell < Q^{-1}$ , where  $Q$  is given by Equation (21). Then, there exists a unique solution for the problem in Equations (1) and (2) on  $[a, T]$ .*

**Proof.** Let us define a set  $B_w = \{u, u', u'' \in C([a, T], \mathbb{R}) : \|u\|_{\mathcal{H}} \leq w\}$ , where  $w \geq \frac{QM}{1 - \ell Q}$ ,  $\sup_{t \in [a, T]} |f(t, 0, 0, 0)| = M$ , and show that  $\mathcal{L}B_w \subset B_w$ , where the operator  $\mathcal{L}$  is defined by

Equation (17). For any  $u \in B_w, t \in [a, T]$ , one can find with the aid of the condition  $(H_1)$  that  $|\widehat{f}(t)| \leq \|u\|_{\mathcal{H}} + M \leq \ell w + M$ . Then, for  $u \in B_w$ , we have

$$\begin{aligned} \|(\mathcal{L}u)\| &= \sup_{t \in [a, T]} \left| \int_a^t \frac{(t-s)^2}{2} \widehat{f}(s) ds \right. \\ &\quad - \frac{1}{\Lambda} \int_a^T \left[ A_1 A_2 \frac{(T-s)^3}{3!} + G_1(t) \frac{(T-s)^2}{2} + G_2(t)(T-s) \right] \widehat{f}(s) ds \\ &\quad + \frac{1}{\Lambda} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j A_1 A_2 \frac{(\sigma_j-s)^2}{2} + \mu_j G_1(t)(\sigma_j-s) + \nu_j G_2(t) \right] \widehat{f}(s) ds \\ &\quad \left. + \frac{1}{\Lambda} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \xi_i A_1 A_2 \frac{(s-\tau)^2}{2} + \eta_i G_1(t)(s-\tau) + \omega_i G_2(t) \right] \widehat{f}(\tau) d\tau ds \right| \\ &\leq \sup_{t \in [a, T]} \left\{ \frac{(t-a)^3}{3!} + \frac{1}{|A_4|} \left[ \frac{(T-a)^4}{4!} + \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1}-a)^4}{4!} - \frac{(\rho_i-a)^4}{4!} \right) + \sum_{j=1}^m \gamma_j \frac{(\sigma_j-a)^3}{3!} \right] \right. \\ &\quad + \frac{|G_1(t)|}{|\Lambda|} \left[ \frac{(T-a)^3}{3!} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j-a)^2}{2} \right] \\ &\quad \left. + \frac{|G_2(t)|}{|\Lambda|} \left[ \frac{(T-a)^2}{2} + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m \nu_j (\sigma_j-a) \right] \right\} (\ell w + M) \\ &\leq Q_1(\ell w + M), \end{aligned}$$

where  $Q_1$  is given by Equation (22). In addition,

$$\|(\mathcal{L}u)'\| \leq (\ell w + M)Q_2 \text{ and } \|(\mathcal{L}u)''\| \leq (\ell w + M)Q_3,$$

where  $Q_2$  and  $Q_3$  are, respectively, given by Equations (23) and (24). Consequently, we have

$$\|(\mathcal{L}u)\|_{\mathcal{H}} \leq (\ell w + M)Q \leq w,$$

where  $Q$  is given by Equation (21). This shows that  $\mathcal{L}B_w \subset B_w$ . Next, it is shown that the operator  $\mathcal{L}$  is a contraction. For that, let  $u, v \in \mathcal{H}$ . Then, we have

$$\begin{aligned} \|\mathcal{L}u - \mathcal{L}v\| &= \sup_{t \in [0, T]} \left| \mathcal{L}u(t) - \mathcal{L}v(t) \right| \\ &\leq \sup_{t \in [a, T]} \left\{ \int_a^t \frac{(t-s)^2}{2} \left| f(s, u(s), u'(s), u''(s)) - f(s, v(s), v'(s), v''(s)) \right| ds \right. \\ &\quad + \frac{1}{|\Lambda|} \int_a^T \left[ |A_1 A_2| \frac{(T-s)^3}{3!} + |G_1(t)| \frac{(T-s)^2}{2} + |G_2(t)|(T-s) \right] \\ &\quad \times \left| f(s, u(s), u'(s), u''(s)) - f(s, v(s), v'(s), v''(s)) \right| ds \\ &\quad + \frac{1}{|\Lambda|} \sum_{j=1}^m \int_a^{\sigma_j} \left[ |\gamma_j| |A_1 A_2| \frac{(\sigma_j-s)^2}{2} + \mu_j |G_1(t)|(\sigma_j-s) + \nu_j |G_2(t)| \right] \\ &\quad \left. \times \left| f(s, u(s), u'(s), u''(s)) - f(s, v(s), v'(s), v''(s)) \right| ds \right\} \end{aligned}$$

$$\begin{aligned}
 & + \frac{1}{|\Lambda|} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \xi_i |A_1 A_2| \frac{(s-\tau)^2}{2} + \eta_i |G_1(t)|(s-\tau) + \omega_i |G_2(t)| \right] \\
 & \times \left| f(\tau, u(\tau), u'(\tau), u''(\tau)) - f(\tau, v(\tau), v'(\tau), v''(\tau)) \right| d\tau ds \Big\} \\
 \leq & \ell \left( \|u - v\| + \|u' - v'\| + \|u'' - v''\| \right) \left\{ \frac{(T-a)^3}{3!} \right. \\
 & + \frac{1}{|A_4|} \left[ \frac{(T-a)^4}{4!} + \sum_{i=1}^p \xi_i \left( \frac{(\rho_{i+1}-a)^4}{4!} - \frac{(\rho_i-a)^4}{4!} \right) + \sum_{j=1}^m \gamma_j \frac{(\sigma_j-a)^3}{3!} \right] \\
 & + \frac{b_1}{|\Lambda|} \left[ \frac{(T-a)^3}{3!} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j-a)^2}{2} \right] \\
 & \left. + \frac{b_2}{|\Lambda|} \left[ \frac{(T-a)^2}{2} + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m \nu_j (\sigma_j - a) \right] \right\} \\
 \leq & \ell Q_1 \|u - v\|_{\mathcal{H}}.
 \end{aligned}$$

In a similar manner, one can obtain

$$\|(\mathcal{L}u)' - (\mathcal{L}v)'\| \leq \ell Q_2 \|u - v\|_{\mathcal{H}}, \quad \|(\mathcal{L}u)'' - (\mathcal{L}v)''\| \leq \ell Q_3 \|u - v\|_{\mathcal{H}}.$$

Consequently, we deduce that

$$\|\mathcal{L}u - \mathcal{L}v\|_{\mathcal{H}} \leq \ell Q \|u - v\|_{\mathcal{H}},$$

which, in view of the given condition ( $\ell < Q^{-1}$ ), shows that the operator  $\mathcal{L}$  is a contraction. Thus, by the conclusion of Banach contraction mapping principle, the operator  $\mathcal{L}$  has a unique fixed point, which implies that the problem in Equations (1) and (2) has a unique solution on  $[a, T]$ .  $\square$

### 3.3. Examples

Here, we illustrate the results obtained in the last subsections with the aid of examples.

**Example 1.** Consider the following integral multi-point and multi-strip boundary value problem:

$$u'''(t) = \frac{1}{45\sqrt{t^2+3}} \tan^{-1} u(t) + \frac{1}{162} \frac{|u'|}{(|u'|+1)} + \frac{1}{270t} \frac{|u''|^2}{(|u''|^2+1)} + \cos(t-1), \quad t \in [1, 4], \tag{25}$$

$$\begin{cases} \int_1^4 u(s) ds = \sum_{i=1}^4 \xi_i \int_{\rho_i}^{\rho_{i+1}} u(s) ds + \sum_{j=1}^3 \gamma_j u(\sigma_j), \\ \int_1^4 u'(s) ds = \sum_{i=1}^4 \eta_i \int_{\rho_i}^{\rho_{i+1}} u'(s) ds + \sum_{j=1}^3 \mu_j u'(\sigma_j), \\ \int_1^4 u''(s) ds = \sum_{i=1}^4 \omega_i \int_{\rho_i}^{\rho_{i+1}} u''(s) ds + \sum_{j=1}^3 \nu_j u''(\sigma_j), \end{cases} \tag{26}$$

where  $a = 1, T = 4, m = 3, p = 4, \gamma_1 = 1/2, \gamma_2 = 7/10, \gamma_3 = 9/10, \mu_1 = 1/4, \mu_2 = 5/12, \mu_3 = 7/12, \nu_1 = 2/5, \nu_2 = 13/20, \nu_3 = 9/10, \sigma_1 = 7/4, \sigma_2 = 15/8, \sigma_3 = 16/8, \rho_1 = 5/2, \rho_2 = 8/3, \rho_3 = 17/6, \rho_4 = 18/6, \rho_5 = 19/6, \xi_1 = 3/4, \xi_2 = 25/28, \xi_3 = 29/28, \xi_4 = 33/28, \eta_1 = 2/7, \eta_2 =$



$23/56, \eta_3 = 15/28, \eta_4 = 37/56, \omega_1 = 1/5, \omega_2 = 2/5, \omega_3 = 3/5, \omega_4 = 4/5$ . Clearly,  $|f(t, u, u', u'')| \leq \frac{\pi}{90\sqrt{t^2+3}} + \frac{1}{270t} + \frac{163}{162}$  and

$$|f(t, u, u', u'') - f(t, v, v', v'')| \leq \ell (|u - v| + |u' - v'| + |u'' - v''|)$$

with  $\ell = 1/90$ . Using the given data, it is found that  $A_1 \approx 0.716667 \neq 0, A_2 \approx 1.434524 \neq 0, A_3 \approx 2.768849, A_4 \approx 0.257143 \neq 0, A_5 \approx 1.414087, A_6 \approx 2.512768$ , and  $|\Lambda| \approx 0.264363$  ( $\Lambda$  and  $A_i$  ( $i = 1, \dots, 6$ ) are defined by Equation (6)),  $Q_1 \approx 35.810002, Q_2 \approx 18.708093, Q_3 \approx 12.638560$  and  $Q \approx 67.156655$  ( $Q_1, Q_2, Q_3$  and  $Q$  are given by Equations (22), (23), (24) and (21), respectively). Furthermore, we note that all the conditions of Theorem 1 are satisfied with

$$\ell \left( Q - \frac{(T-a)}{6} [6 + 3(T-a) + (T-a)^2] \right) \approx 0.612852 < 1.$$

Hence, the problem in Equations (25) and (26) has a solution on  $[1, 4]$  by Theorem 1.

Since  $\ell Q \approx 0.746185 < 1$ , therefore the conclusion of Theorem 3 also applies to Equation (26).

**Example 2.** Consider the third-order ordinary differential equation

$$u'''(t) = \frac{1}{18\sqrt{t+24}} \left[ \frac{1}{21\pi} \sin(3\pi u) + \frac{3}{4}u'(t) + \frac{|u''|}{|u''|+1} \right], t \in [1, 4] \tag{27}$$

supplemented with the boundary conditions in Equation (26). Evidently,

$$|f(t, u, u', u'')| \leq \frac{1}{18\sqrt{t+24}} \left( \frac{|u|}{7} + \frac{3}{4}|u'(t)| + 1 \right).$$

Let us set  $\Psi(\|u\|) = \frac{\|u\|}{7} + \frac{3}{4}\|u'\| + 1, p(t) = \frac{1}{18\sqrt{t+24}}, (\|p\| = \frac{1}{90})$ . The condition  $(H_5)$  implies that  $N > 2.235673$ . In consequence, it follows by the conclusion of Theorem 2 that the problem (27) and (26) has at least one solution on  $[1, 4]$ .

#### 4. Ulam Stability

This section is concerned with the Ulam stability of the problem in Equations (1) and (2) by considering its equivalent integral equation:

$$\begin{aligned} v(t) &= \int_a^t \frac{(t-s)^2}{2} \widehat{f}(s) ds \\ &- \frac{1}{\Lambda} \int_a^T \left[ A_1 A_2 \frac{(T-s)^3}{3!} + G_1(t) \frac{(T-s)^2}{2} + G_2(t)(T-s) \right] \widehat{f}(s) ds \\ &+ \frac{1}{\Lambda} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j A_1 A_2 \frac{(\sigma_j-s)^2}{2} + \mu_j G_1(t)(\sigma_j-s) + \nu_j G_2(t) \right] \widehat{f}(s) ds \\ &+ \frac{1}{\Lambda} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \xi_i A_1 A_2 \frac{(s-\tau)^2}{2} \right. \\ &\left. + \eta_i G_1(t)(s-\tau) + \omega_i G_2(t) \right] \widehat{f}(\tau) d\tau ds. \end{aligned} \tag{28}$$

Let us introduce a continuous nonlinear operator  $\chi : \mathcal{H} \rightarrow \mathcal{H}$  given by

$$\chi v(t) = v'''(t) - \widehat{f}(t).$$

**Definition 1.** For each  $\epsilon > 0$  and for each solution  $v \in \mathcal{H}$ , we call the problem in Equations (1) and (2) Ulam–Hyers stable provided that

$$\|\chi v\| \leq \epsilon, \quad (29)$$

and there exists a solution  $v_1 \in \mathcal{H}$  of Equation (1) such that  $\|v_1 - v\| \leq \varrho \epsilon_1$  for positive real numbers  $\varrho$  and  $\epsilon_1(\epsilon)$ .

**Definition 2.** Let there exist a function  $\kappa \in C(\mathbb{R}^+, \mathbb{R}^+)$  and a solution  $v_1 \in \mathcal{H}$  of Equation (1) with  $|v_1(t) - v(t)| \leq \kappa(\epsilon), t \in [a, T]$  for each solution  $v \in \mathcal{H}$  of Equation (1). Then, the problem in Equations (1) and (2) is called generalized Ulam–Hyers stable.

**Definition 3.** The problem in Equations (1) and (2) is said to be Ulam–Hyers–Rassias stable with respect to  $\varphi \in C([a, T], \mathbb{R}^+)$  if

$$|\chi v(t)| \leq \epsilon \varphi(t), \quad t \in [a, T], \quad (30)$$

and there exists a solution  $v_1 \in \mathcal{H}$  of Equation (1) such that

$$|v_1(t) - v(t)| \leq \varrho \epsilon_1 \varphi(t), \quad t \in [a, T],$$

where  $\epsilon, \varrho, \epsilon_1$  are the same as defined in Definition 1.

**Theorem 4.** If  $(H_1)$  and the condition  $\ell < Q^{-1}$  (see Theorem 3) are satisfied, then the problem in Equations (1) and (2) is both Ulam–Hyers and generalized Ulam–Hyers stable.

**Proof.** Recall that  $v_1 \in \mathcal{H}$  is a unique solution of Equation (1) by Theorem 3.6. Let  $v \in \mathcal{H}$  be an other solution of (1) which satisfies Equation (29). For every solution  $v \in \mathcal{H}$  (given by Equation (28)) of Equation (1), it is easy to see that  $\chi$  and  $\mathcal{L} - I$  are equivalent operators. Therefore, it follows from Equations (16) and (29) and the fixed point property of the operator  $\mathcal{L}$  given by Equation (17) that

$$\begin{aligned} |v_1(t) - v(t)| &= |\mathcal{L}v_1(t) - \mathcal{L}v(t) + \mathcal{L}v(t) - v(t)| \leq |\mathcal{L}v_1(t) - \mathcal{L}v(t)| + |\mathcal{L}v(t) - v(t)| \\ &\leq \ell Q \|v_1 - v\|_{\mathcal{H}} + \epsilon, \end{aligned}$$

which, on taking the norm for  $t \in [a, T]$  and solving for  $\|v_1 - v\|_{\mathcal{H}}$ , yields

$$\|v_1 - v\|_{\mathcal{H}} \leq \frac{\epsilon}{1 - \ell Q},$$

where  $\epsilon > 0$  and  $\ell Q < 1$  (given condition).

Letting  $\epsilon_1 = \frac{\epsilon}{1 - \ell Q}$ , and  $\varrho = 1$ , the Ulam–Hyers stability condition holds true. Furthermore, one can notice that the generalized Ulam–Hyers stability condition also holds valid if we set  $\kappa(\epsilon) = \frac{\epsilon}{1 - \ell Q}$ .  $\square$

**Theorem 5.** Let the assumptions of Theorem 4 be satisfied and that there exists a function  $\varphi \in C([a, T], \mathbb{R}^+)$  satisfying the condition in Equation (30). Then, the problem in Equations (1) and (2) is Ulam–Hyers–Rassias stable with respect to  $\varphi$ .

**Proof.** As argued in the proof of Theorem 4, we can get

$$\|v_1 - v\|_{\mathcal{H}} \leq \epsilon_1 \|\varphi\|,$$

with  $\epsilon_1 = \frac{\epsilon}{1 - \ell Q}$ .  $\square$

5. Existence Results for the Problem in Equations (1) and (3)

We only outline the idea for obtaining the existence and uniqueness results for the problem in Equations (1) and (3). In relation to the problem in Equations (1) and (3), we introduce an operator  $S : \mathcal{H} \rightarrow \mathcal{H}$  by Lemma 2 as

$$\begin{aligned}
 (Su)(t) &= \int_a^t \frac{(t-s)^2}{2} \widehat{f}(s) ds \\
 &\quad - \frac{1}{\Delta} \int_a^T \left[ \alpha_2 \zeta_1 \zeta_2 \frac{(T-s)^2}{2} + \beta_2 P_1(t)(T-s) + \delta_2 P_2(t) \right] \widehat{f}(s) ds \\
 &\quad + \frac{1}{\Delta} \sum_{j=1}^m \int_a^{\sigma_j} \left[ \gamma_j \zeta_1 \zeta_2 \frac{(\sigma_j-s)^2}{2} + \mu_j P_1(t)(\sigma_j-s) + \nu_j P_2(t) \right] \widehat{f}(s) ds \\
 &\quad + \frac{1}{\Delta} \sum_{i=1}^p \int_{\rho_i}^{\rho_{i+1}} \int_a^s \left[ \xi_i \zeta_1 \zeta_2 \frac{(s-\tau)^2}{2} + \eta_i P_1(t)(s-\tau) + \omega_i P_2(t) \right] \widehat{f}(\tau) d\tau ds,
 \end{aligned} \tag{31}$$

where

$$P_1(t) = \zeta_1 \left( \zeta_4(t-a) - \zeta_5 \right), P_2(t) = \zeta_3 \left( \zeta_5 - \zeta_4(t-a) \right) - \zeta_2 \left( \zeta_6 - \zeta_4 \frac{(t-a)^2}{2} \right),$$

and  $\zeta_i (i = 1, \dots, 6)$  are given by Equation (15).

Moreover, we set

$$\begin{aligned}
 \Theta_1 &= \frac{(T-a)^3}{3!} + \frac{1}{|\zeta_4|} \left[ |\alpha_2| \frac{(T-a)^3}{3!} + \sum_{i=1}^p \zeta_i \left( \frac{(\rho_{i+1}-a)^4}{4!} - \frac{(\rho_i-a)^4}{4!} \right) + \sum_{j=1}^m \gamma_j \frac{(\sigma_j-a)^3}{3!} \right] \\
 &\quad + \frac{p_1}{|\Delta|} \left[ |\beta_2| \frac{(T-a)^2}{2} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j-a)^2}{2} \right] \\
 &\quad + \frac{p_2}{|\Delta|} \left[ |\delta_2|(T-a) + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m \nu_j (\sigma_j-a) \right], \\
 \Theta_2 &= \frac{(T-a)^2}{2} + \frac{1}{|\zeta_2|} \left[ |\beta_2| \frac{(T-a)^2}{2} + \sum_{i=1}^p \eta_i \left( \frac{(\rho_{i+1}-a)^3}{3!} - \frac{(\rho_i-a)^3}{3!} \right) + \sum_{j=1}^m \mu_j \frac{(\sigma_j-a)^2}{2} \right] \\
 &\quad + \frac{p_3}{|\zeta_1 \zeta_2|} \left[ |\delta_2|(T-a) + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m \nu_j (\sigma_j-a) \right], \\
 \Theta_3 &= (T-a) + \frac{1}{|\zeta_1|} \left[ |\delta_2|(T-a) + \sum_{i=1}^p \omega_i \left( \frac{(\rho_{i+1}-a)^2}{2} - \frac{(\rho_i-a)^2}{2} \right) + \sum_{j=1}^m \nu_j (\sigma_j-a) \right],
 \end{aligned} \tag{32}$$

where  $\max_{t \in [a, T]} |P_1(t)| = p_1, \max_{t \in [a, T]} |P_2(t)| = p_2$  and  $\max_{t \in [a, T]} |\zeta_2(t-a) - \zeta_3| = p_3$  ( $P_1(t)$  and  $P_2(t)$  are given by Equation (14)). With the aid of the operator  $S$  defined by Equation (31) and the notations in Equation (32), we can obtain the existence results (analog to the ones derived in Section 3) for the problem in Equations (1) and (3). As an example, we formulate the uniqueness result for the problem in Equations (1) and (3) as follows.

**Theorem 6.** Let  $f : [a, T] \times \mathbb{R}^3 \rightarrow \mathbb{R}$  be a continuous function satisfying the Lipschitz condition  $(H_1)$  with the Lipschitz constant  $\ell_1$  (instead of  $\ell$  in  $(H_1)$ ) such that  $\ell_1(\Theta_1 + \Theta_2 + \Theta_3) < 1$ , where  $\Theta_1, \Theta_2$  and  $\Theta_3$  are given by (32). Then, the problem in Equations (1) and (3) has a unique solution on  $[a, T]$ .

Now, we present an example illustrating Theorem 6.

**Example 3.** Consider the following problem:

$$\left\{ \begin{array}{l} u'''(t) = \frac{1}{210} \sin u + \frac{1}{4\sqrt{t+440}} u'(t) + \frac{1}{168} \frac{|u''|}{(|u''|+1)} + e^{-t}, \quad t \in [1,4], \\ \alpha_1 u(a) + \alpha_2 u(T) = \sum_{i=1}^4 \xi_i \int_{\rho_i}^{\rho_{i+1}} u(s) ds + \sum_{j=1}^3 \gamma_j u(\sigma_j), \\ \beta_1 u'(a) + \beta_2 u'(T) = \sum_{i=1}^4 \eta_i \int_{\rho_i}^{\rho_{i+1}} u'(s) ds + \sum_{j=1}^3 \mu_j u'(\sigma_j), \\ \delta_1 u''(a) + \delta_2 u''(T) = \sum_{i=1}^4 \omega_i \int_{\rho_i}^{\rho_{i+1}} u''(s) ds + \sum_{j=1}^3 \nu_j u''(\sigma_j), \end{array} \right. \quad (33)$$

where  $\alpha_1 = 1/4$ ,  $\alpha_2 = 1/2$ ,  $\beta_1 = 1/5$ ,  $\beta_2 = 3/8$ ,  $\delta_1 = 1/3$ ,  $\delta_2 = 2/3$ . The other constants are the same as chosen in example 3.7. Clearly,  $|f(t, u, u', u'') - f(t, v, v', v'')| \leq \ell_1(|u - v| + |u' - v'| + |u'' - v''|)$ , with  $\ell_1 = 1/84$ . Using the given data, we find that  $|\zeta_1| \approx 1.283333 \neq 0$ ,  $|\zeta_2| \approx 0.990476 \neq 0$ ,  $|\zeta_3| \approx 0.606151$ ,  $|\zeta_4| \approx 1.992857 \neq 0$ ,  $|\zeta_5| \approx 1.585913$ ,  $|\zeta_6| \approx 0.262769$ , and  $|\Delta| \approx 2.533142$  ( $\Delta$  and  $\zeta_i$  ( $i = 1, \dots, 6$ ) are given by Equation (15)),  $\Theta_1 \approx 23.050129$ ,  $\Theta_2 \approx 15.505245$ ,  $\Theta_3 \approx 6.434525$  ( $\Theta_1$ ,  $\Theta_2$  and  $\Theta_3$  are given by Equation (32)) and  $\ell_1(\Theta_1 + \Theta_2 + \Theta_3) \approx 0.535594 < 1$ . Obviously, all the conditions of Theorem 6 hold and therefore Theorem 6 applies to the problem in Equation (33).

## 6. Conclusions

We developed the existence theory and Ulam stability for a third-order nonlinear ordinary differential equation equipped with: (i) nonlocal integral multi-point and multi-strip; and (ii) non-separated integro-multi-point boundary conditions. The results obtained in this paper are new and quite general, and lead to several new ones for appropriate choices of the parameters involved in the problems at hand. For example, letting  $\gamma_j = \rho_j = \nu_j = 0, \forall j$  and  $\xi_i = \eta_i = \omega_i = 0, \forall i$  in Equation (2), the results for the problem in Equations (1) and (2), respectively, correspond to the ones for: (i) nonlocal integral multi-strip boundary conditions; and (ii) nonlocal integral multi-point boundary conditions. Likewise, by fixing  $\alpha_k = \beta_k = \delta_k = 0, k = 1, 2$  in the results of this paper, we obtain the ones for a third-order differential equation with purely nonlocal multi-point and multi-strip boundary conditions. Setting  $\gamma_j = \rho_j = \nu_j = \xi_i = \eta_i = \omega_i = 0, \forall j, i$  and  $\alpha_k = \beta_k = \delta_k = 1, k = 1, 2$ , the results obtained for the problem in Equations (1) and (3) reduce to the ones for anti-periodic boundary conditions. In the nutshell, the work presented in this paper significantly contributes to the existing literature on the topic.

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Article

# Geometric Properties of Certain Analytic Functions Associated with the Dziok-Srivastava Operator

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**Abstract:** The objective of the present paper is to derive certain geometric properties of analytic functions associated with the Dziok–Srivastava operator.

**Keywords:** analytic function; subordination; Dziok–Srivastava operator

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## 1. Introduction

Throughout this paper, we assume that:

$$n, p \in \mathbb{N}, -1 \leq B < A \leq 1, \alpha > 0 \text{ and } \beta < 1. \quad (1)$$

Let  $A_n(p)$  denote the class of functions of the form:

$$f(z) = z^p + \sum_{k=n+p}^{\infty} a_k z^k \quad (2)$$

which are analytic in the open unit disk  $U = \{z : |z| < 1\}$ . If  $f(z) = z^p + \sum_{k=n+p}^{\infty} a_k z^k \in A_n(p)$  and  $g(z) = z^p + \sum_{k=n+p}^{\infty} b_k z^k \in A_n(p)$ , then the Hadamard product (or convolution) of  $f$  and  $g$  is defined by:

$$(f * g)(z) = z^p + \sum_{k=n+p}^{\infty} a_k b_k z^k$$

For:

$$\alpha_j \in \mathbb{C} \ (j = 1, 2, \dots, l) \text{ and } \beta_j \in \mathbb{C} \setminus \{0, -1, -2, \dots\} \ (j = 1, 2, \dots, m)$$

the generalized hypergeometric function  ${}_1F_m(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m; z)$  is defined by:

$${}_1F_m(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m; z) = \sum_{k=0}^{\infty} \frac{(\alpha_1)_k \cdots (\alpha_l)_k}{(\beta_1)_k \cdots (\beta_m)_k} \frac{z^k}{k!}$$

$$(l \leq m + 1; l, m \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}; z \in U)$$

where  $(x)_k$  is the Pochhammer symbol given by  $(x)_k = x(x+1) \cdots (x+k-1)$  for  $k \in \mathbb{N}$  and  $(x)_0 = 1$ . Corresponding to the function  $z^p {}_1F_m(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m; z)$ , the well-known Dziok–Srivastava operator [1]  $H(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m) : A_n(p) \rightarrow A_n(p)$  is defined by:

$$H(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m)f(z) = (z^p {}_1F_m(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m; z) * f(z))$$

$$(l \leq m + 1; l, m \in \mathbb{N}_0; z \in U)$$

If  $f \in A_n(p)$  is given by (2), then we have:

$$H(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m)f(z) = z^p + \sum_{k=n+p}^{\infty} \frac{(\alpha_1)_k \cdots (\alpha_l)_k}{(\beta_1)_k \cdots (\beta_m)_k} \frac{a_k}{k!} z^k$$

For convenience, we write:

$$H_m^l(\alpha_1) = H(\alpha_1, \dots, \alpha_l; \beta_1, \dots, \beta_m) \quad (l \leq m + 1; l, m \in \mathbb{N}_0)$$

It is noteworthy to mention that the Dziok–Srivastava operator is a generalization of certain linear operators considered in earlier investigations.

Next, we consider the function  $h(A, B; z) = (1 + Az)/(1 + Bz)$  for  $z \in U$ . It is known that the function  $h(A, B; z)$  is the conformal map of  $U$  onto a disk, symmetrical with respect to the real axis, which is centered at the point  $(1 - AB)/(1 - B^2)$  ( $B \neq \pm 1$ ) and with its radius equal to  $(A - B)/(1 - B^2)$  ( $B \neq \pm 1$ ). Furthermore, the boundary circle of this disk intersects the real axis at the points  $(1 - A)/(1 - B)$  and  $(1 + A)/(1 + B)$  with  $B \neq \pm 1$ .

Let  $P[A, B]$  denote the class of functions of the form  $p(z) = 1 + p_1z + \dots$ , which are analytic in  $U$  and satisfy the subordination  $p(z) \prec h(A, B; z)$ . It is clear that  $p \in P[A, B]$  if and only if:

$$\left| p(z) - \frac{1 - AB}{1 - B^2} \right| < \frac{A - B}{1 - B^2} \quad (-1 < B < A \leq 1; z \in U)$$

and:

$$\text{Re}p(z) > \frac{1 - A}{2} \quad (B = -1; z \in U)$$

For two functions  $f$  and  $g$  analytic in  $U$ ,  $f$  is said to be subordinate to  $g$ , written by  $f(z) \prec g(z)$  ( $z \in U$ ), if there exists a Schwarz function  $w$  in  $U$  such that:

$$|w(z)| \leq |z| \quad \text{and} \quad f(z) = g(w(z)) \quad (z \in U)$$

Furthermore, if the function  $g$  is univalent in  $U$ , then:

$$f(z) \prec g(z) \quad (z \in U) \iff f(0) = g(0) \quad \text{and} \quad f(U) \subset g(U)$$

Many properties of analytic functions have been investigated by several authors (see [1–11]). In this paper, we derive certain geometric properties of analytic functions associated with the well-known Dziok–Srivastava operator.

## 2. Main Results

**Theorem 1.** Let  $f$  belong to the class  $A_n(p)$ . Furthermore, let:

$$\frac{H_m^l(\alpha_1)f(z)}{z^p} \in P[A, B]. \tag{3}$$

Then:

$$\text{Re} \left\{ \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \right\} \tag{4}$$

$$\leq \begin{cases} \frac{1 + (A+B+n\alpha(A-B))r^n + ABr^{2n}}{(1+Br^n)^2} & \text{if } M_n(A, B, \alpha, r) \leq 0, \\ \frac{L_n^2 - 4\alpha^2 K_A K_B}{4\alpha(A-B)r^{n-1}(1-r^2)K_B} & \text{if } M_n(A, B, \alpha, r) \geq 0, \end{cases} \tag{5}$$

where:

$$\begin{cases} K_A = 1 - A^2r^{2n} + nAr^{n-1}(1 - r^2), \\ K_B = 1 - B^2r^{2n} + nBr^{n-1}(1 - r^2), \\ L_n = 2\alpha(1 - AB r^{2n}) + n\alpha(A + B)r^{n-1}(1 - r^2) + (A - B)r^{n-1}(1 - r^2), \\ M_n(A, B, \alpha, r) = 2\alpha K_B(1 + Ar^n) - L_n(1 + Br^n). \end{cases} \tag{6}$$

The result is sharp.

**Proof.** For  $z = 0$ , the equality in (4) holds true. Thus, we assume that  $0 < |z| = r < 1$ . From (3), we can write:

$$\frac{H_m^l(\alpha_1)f(z)}{z^p} = \frac{1 + Az^n\varphi(z)}{1 + Bz^n\varphi(z)} \quad (z \in U), \tag{7}$$

where  $\varphi(z)$  is analytic and  $|\varphi(z)| \leq 1$  in  $U$ . From (7), we have:

$$\begin{aligned} \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' &= \frac{H_m^l(\alpha_1)f(z)}{z^p} + \frac{\alpha(A - B)z^n(n\varphi(z) + z\varphi'(z))}{(1 + Bz^n\varphi(z))^2} \\ &= \frac{H_m^l(\alpha_1)f(z)}{z^p} + \frac{n\alpha}{A - B} (A - BH_m^l(\alpha_1)f(z)/z^p)(H_m^l(\alpha_1)f(z)/z^p - 1) \\ &\quad + \frac{\alpha(A - B)z^{n+1}\varphi'(z)}{(1 + Bz^n\varphi(z))^2} \end{aligned} \tag{8}$$

By using the Carathéodory inequality:

$$|\varphi'(z)| \leq \frac{1 - |\varphi(z)|^2}{1 - r^2},$$

we get:

$$\begin{aligned} \operatorname{Re} \left\{ \frac{z^{n+1}\varphi'(z)}{(1 + Bz^n\varphi(z))^2} \right\} &\leq \frac{r^{n+1}(1 - |\varphi(z)|^2)}{(1 - r^2)|1 + Bz^n\varphi(z)|^2} \\ &= \frac{r^{2n}|A - BH_m^l(\alpha_1)f(z)/z^p|^2 - |H_m^l(\alpha_1)f(z)/z^p - 1|^2}{(A - B)^2r^{n-1}(1 - r^2)} \end{aligned} \tag{9}$$

Set  $\frac{H_m^l(\alpha_1)f(z)}{z^p} = u + iv \quad (u, v \in \mathbb{R})$ . Then, (8) and (9) give:

$$\begin{aligned} \operatorname{Re} \left\{ \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \right\} &\leq \left( 1 + n\alpha \frac{A + B}{A - B} \right) u - \frac{n\alpha A}{A - B} - \frac{n\alpha B}{A - B} (u^2 - v^2) \\ &\quad + \alpha \frac{r^{2n}((A - Bu)^2 + (Bv)^2) - ((u - 1)^2 + v^2)}{(A - B)r^{n-1}(1 - r^2)} \\ &= \left( 1 + n\alpha \frac{A + B}{A - B} \right) u - \frac{n\alpha}{A - B} (A + Bu^2) \\ &\quad + \alpha \frac{r^{2n}(A - Bu)^2 - (u - 1)^2}{(A - B)r^{n-1}(1 - r^2)} + \frac{\alpha}{A - B} \left( nB - \frac{1 - B^2r^{2n}}{r^{n-1}(1 - r^2)} \right) v^2 \end{aligned} \tag{10}$$



Note that:

$$\begin{aligned} \frac{1 - B^2r^{2n}}{r^{n-1}(1 - r^2)} &\geq \frac{1 - r^{2n}}{r^{n-1}(1 - r^2)} = \frac{1}{r^{n-1}}(1 + r^2 + r^4 + \dots + r^{2(n-2)} + r^{2(n-1)}) \\ &= \frac{1}{2r^{n-1}}[(1 + r^{2(n-1)}) + (r^2 + r^{2(n-2)}) + \dots + (r^{2(n-1)} + 1)] \\ &\geq n \geq nB \end{aligned} \tag{11}$$

Using (10) and (11), we obtain:

$$\begin{aligned} \operatorname{Re}\left\{\frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z\left(\frac{H_m^l(\alpha_1)f(z)}{z^p}\right)'\right\} &\leq \left(1 + n\alpha\frac{A+B}{A-B}\right)u - \frac{n\alpha}{A-B}(A + Bu^2) \\ &\quad + \alpha\frac{r^{2n}(A - Bu)^2 - (u - 1)^2}{(A - B)r^{n-1}(1 - r^2)} = \psi_n(u) \end{aligned} \tag{12}$$

It is known that for  $|\xi| \leq \sigma$  ( $\sigma < 1$ ),

$$\left|\frac{1 + A\xi}{1 + B\xi} - \frac{1 - AB\sigma^2}{1 - B^2\sigma^2}\right| \leq \frac{(A - B)\sigma}{1 - B^2\sigma^2} \tag{13}$$

and:

$$\frac{1 - A\sigma}{1 - B\sigma} \leq \operatorname{Re}\left\{\frac{1 + A\xi}{1 + B\xi}\right\} \leq \frac{1 + A\sigma}{1 + B\sigma} \tag{14}$$

Furthermore, (7) and (14) show that:

$$\frac{1 - Ar^n}{1 - Br^n} \leq \operatorname{Re}\left\{\frac{H_m^l(\alpha_1)f(z)}{z^p}\right\} \leq \frac{1 + Ar^n}{1 + Br^n}.$$

Now, we calculate the maximum value of  $\psi_n(u)$  on the segment  $\left[\frac{1 - Ar^n}{1 - Br^n}, \frac{1 + Ar^n}{1 + Br^n}\right]$ . Obviously,

$$\begin{aligned} \psi_n'(u) &= 1 + n\alpha\frac{A+B}{A-B} - \frac{2n\alpha B}{A-B}u + 2\alpha\frac{(1 - ABr^{2n}) - (1 - B^2r^{2n})u}{(A - B)r^{n-1}(1 - r^2)} \\ \psi_n''(u) &= -\frac{2\alpha}{A - B}\left(nB + \frac{1 - B^2r^{2n}}{r^{n-1}(1 - r^2)}\right) < 0 \quad (\text{see (11)}) \end{aligned} \tag{15}$$

and  $\psi_n'(u) = 0$  if and only if:

$$\begin{aligned} u = u_n &= \frac{2\alpha(1 - ABr^{2n}) + n\alpha(A + B)r^{n-1}(1 - r^2) + (A - B)r^{n-1}(1 - r^2)}{2\alpha[1 - B^2r^{2n} + nBr^{n-1}(1 - r^2)]} \\ &= \frac{L_n}{2\alpha K_B} \quad (\text{see (6)}) \end{aligned} \tag{16}$$

Since:

$$\begin{aligned} &2\alpha K_B(1 - Ar^n) - L_n(1 - Br^n) \\ &= 2\alpha[(1 - Ar^n)(1 - B^2r^{2n}) - (1 - Br^n)(1 - ABr^{2n})] \\ &\quad - n\alpha r^{n-1}(1 - r^2)[(A + B)(1 - Br^n) - 2B(1 - Ar^n)] - (A - B)r^{n-1}(1 - r^2)(1 - Br^n) \\ &= -2\alpha(A - B)r^n(1 - Br^n) - n\alpha(A - B)r^{n-1}(1 - r^2)(1 + Br^n) \\ &\quad - (A - B)r^{n-1}(1 - r^2)(1 - Br^n) < 0 \end{aligned}$$

we see that:

$$u_n > \frac{1 - Ar^n}{1 - Br^n} \tag{17}$$

However,  $u_n$  is not always less than  $\frac{1+Ar^n}{1+Br^n}$ . The following two cases arise.

Case (I).  $u_n \geq \frac{1+Ar^n}{1+Br^n}$ , that is  $M_n(A, B, \alpha, r) \leq 0$ . In view of  $\psi'_n(u_n) = 0$  and (15), the function  $\psi_n(u)$  is increasing on the segment  $\left[\frac{1-Ar^n}{1-Br^n}, \frac{1+Ar^n}{1+Br^n}\right]$ . Thus, we deduce from (12) that, if  $M_n(A, B, \alpha, r) \leq 0$ , then:

$$\begin{aligned} \operatorname{Re} \left\{ \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \right\} &\leq \psi_n \left( \frac{1+Ar^n}{1+Br^n} \right) \\ &= \left( 1 + n\alpha \frac{A+B}{A-B} \right) \left( \frac{1+Ar^n}{1+Br^n} \right) \\ &\quad - \frac{n\alpha}{A-B} \left( A+B \left( \frac{1+Ar^n}{1+Br^n} \right)^2 \right) \\ &= \frac{1+Ar^n}{1+Br^n} - \frac{n\alpha}{A-B} \left( 1 - \frac{1+Ar^n}{1+Br^n} \right) \left( A - B \frac{1+Ar^n}{1+Br^n} \right) \\ &= \frac{1+(A+B+n\alpha(A-B))r^n + ABr^{2n}}{(1+Br^n)^2} \end{aligned}$$

This gives (4).

Next, we consider the function  $f$  defined by:

$$\frac{H_m^l(\alpha_1)f(z)}{z^p} = \frac{1 + Az^n}{1 + Bz^n}$$

which satisfies the condition (3). It is easy to check that:

$$\frac{H_m^l(\alpha_1)f(r)}{r^p} + \alpha r \left( \frac{H_m^l(\alpha_1)f(r)}{r^p} \right)' = \frac{1 + (A+B+n\alpha(A-B))r^n + ABr^{2n}}{(1+Br^n)^2}$$

which implies that the inequality (4) is sharp.

Case (II).  $u_n \leq \frac{1+Ar^n}{1+Br^n}$ , that is  $M_n(A, B, \alpha, r) \geq 0$ . In this case, we easily have:

$$\operatorname{Re} \left\{ \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \right\} \leq \psi_n(u_n) \tag{18}$$

In view of (6),  $\psi_n(u)$  in (12) can be written as:

$$\psi_n(u) = \frac{-\alpha K_B u^2 + L_n u - \alpha K_A}{(A-B)r^{n-1}(1-r^2)} \tag{19}$$

Therefore, if  $M_n(A, B, \alpha, r) \geq 0$ , then it follows from (16), (18), and (19) that:

$$\begin{aligned} \operatorname{Re} \left\{ \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \right\} &\leq \frac{-\alpha K_B u_n^2 + L_n u_n - \alpha K_A}{(A-B)r^{n-1}(1-r^2)} \\ &= \frac{L_n^2 - 4\alpha^2 K_A K_B}{4\alpha(A-B)r^{n-1}(1-r^2)K_B} \end{aligned}$$

To show the sharpness, we take:

$$\frac{H_m^l(\alpha_1)f(z)}{z^p} = \frac{1 + Az^n \varphi(z)}{1 + Bz^n \varphi(z)} \quad \text{and} \quad \varphi(z) = \frac{z - c_n}{1 - c_n z}$$

where  $c_n \in \mathbb{R}$  is determined by:

$$\frac{H_m^l(\alpha_1)f(r)}{r^p} = \frac{1 + Ar^n\varphi(r)}{1 + Br^n\varphi(r)} = u_n \in \left( \frac{1 - Ar^n}{1 - Br^n}, \frac{1 + Ar^n}{1 + Br^n} \right)$$

Clearly,  $-1 < \varphi(r) \leq 1, -1 \leq c_n < 1, |\varphi(z)| \leq 1 (z \in U)$ , and so,  $f$  satisfies the condition (3). Since:

$$\varphi'(r) = \frac{1 - c_n^2}{(1 - c_n r)^2} = \frac{1 - |\varphi(r)|^2}{1 - r^2}$$

from the above argument, we find that:

$$\frac{H_m^l(\alpha_1)f(r)}{r^p} + \alpha r \left( \frac{H_m^l(\alpha_1)f(r)}{z^p} \right)' = \psi_n(u_n)$$

The proof of the theorem is now completed.  $\square$

**Corollary 1.** Let  $f \in A_1(p)$ , and satisfy  $\text{Re}\{H_m^l(\alpha_1)f(z)/z^p\} > \beta (\beta < 1; z \in U)$ . Then, for  $|z| = r < 1$ ,

$$\text{Re} \left\{ \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \right\} \leq \beta + (1 - \beta) \frac{1 + 2\alpha r - r^2}{(1 - r)^2}$$

The result is sharp.

**Proof.** By considering  $\frac{H_m^l(\alpha_1)f(z)/z^p - \beta}{1 - \beta}$  instead of  $H_m^l(\alpha_1)f(z)/z^p$ , we only need to prove the corollary for  $\beta = 0$ . Putting  $n = A = 1$  and  $B = -1$  in (6), we get:

$$K_1 = 2(1 - r^2), \quad K_{-1} = 0, \quad L_1 = 2\alpha(1 + r^2) + 2(1 - r^2)$$

and:

$$M_1(1, -1, \alpha, r) = -2(1 - r)[1 + \alpha - (1 - \alpha)r^2] \leq 0$$

Consequently, an application of (4) in Theorem 2.1 yields:

$$\text{Re} \left\{ \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \right\} \leq \frac{1 + 2\alpha r - r^2}{(1 - r)^2}$$

The sharpness follows immediately from that of Theorem 1.  $\square$

**Theorem 2.** Let  $\alpha_j (j = 1, 2, \dots, l)$  and  $\beta_s (s = 1, 2, \dots, m)$  be positive real numbers. Furthermore, let  $f(z) = z^p + \sum_{k=n+p}^{\infty} a_k z^k \in A_n(p)$ , and satisfy:

$$\frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' \in P[A, B] \tag{20}$$

Then:

$$|a_k| \leq \frac{k!(A - B)(\beta_1)_k \cdots (\beta_m)_k}{(1 + \alpha(k - p))(\alpha_1)_k \cdots (\alpha_l)_k} \quad (k \geq n + p) \tag{21}$$

The result is sharp for each  $k \geq n + p$ .

**Proof.** It is well known that if:

$$g(z) = \sum_{k=1}^{\infty} b_k z^k \prec \varphi(z) \quad (z \in U)$$

where  $g(z)$  is analytic in  $U$  and  $\varphi(z) = z + \dots$  is convex univalent in  $U$ , then  $|b_k| \leq 1$  ( $k = 1, 2, 3, \dots$ ).  
 From (20), we have:

$$\begin{aligned} \frac{1}{A-B} \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f(z)}{z^p} \right)' - 1 \right) &= \frac{1}{A-B} \sum_{k=n+p}^{\infty} \frac{(1 + \alpha(k-p))(\alpha_1)_k \cdots (\alpha_l)_k \cdot a_k}{k!(\beta_1)_k \cdots (\beta_m)_k} z^{k-p} \quad (22) \\ &< \frac{z}{1+Bz} \quad (z \in U) \end{aligned}$$

In view of the function  $\frac{z}{1+Bz}$  being convex univalent in  $U$ , it follows from (22) that:

$$\frac{(1 + \alpha(k-p))(\alpha_1)_k \cdots (\alpha_l)_k}{k!(A-B)(\beta_1)_k \cdots (\beta_m)_k} |a_k| \leq 1 \quad (k \geq n+p)$$

which gives (21).

Next, we consider the function  $f_{k-p}(z)$  defined by:

$$f_{k-p}(z) = z^p + (A-B) \sum_{q=1}^{\infty} \frac{(-B)^{q-1}(\beta_1)_{qk} \cdots (\beta_m)_{qk} (qk)!}{(1 + \alpha q(k-p))(\alpha_1)_{qk} \cdots (\alpha_l)_{qk}} z^{q(k-p)+p} \quad (z \in U; k \geq n+p)$$

Since:

$$\frac{H_m^l(\alpha_1)f_{k-p}(z)}{z^p} + \alpha z \left( \frac{H_m^l(\alpha_1)f_{k-p}(z)}{z^p} \right)' = \frac{1 + Az^{k-p}}{1 + Bz^{k-p}} < \frac{1 + Az}{1 + Bz} \quad (z \in U)$$

and:

$$f_{k-p}(z) = z^p + \frac{k!(A-B)(\beta_1)_k \cdots (\beta_m)_k}{(1 + \alpha(k-p))(\alpha_1)_k \cdots (\alpha_l)_k} z^k + \dots$$

for each  $k \geq n+p$ , the proof of Theorem 2 is completed.  $\square$

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Article

# Continuous Wavelet Transform of Schwartz Tempered Distributions in $S'(\mathbb{R}^n)$

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**Abstract:** In this paper, we define a continuous wavelet transform of a Schwartz tempered distribution  $f \in S'(\mathbb{R}^n)$  with wavelet kernel  $\psi \in S(\mathbb{R}^n)$  and derive the corresponding wavelet inversion formula interpreting convergence in the weak topology of  $S'(\mathbb{R}^n)$ . It turns out that the wavelet transform of a constant distribution is zero and our wavelet inversion formula is not true for constant distribution, but it is true for a non-constant distribution which is not equal to the sum of a non-constant distribution with a non-zero constant distribution.

**Keywords:** function spaces and their duals; distributions; tempered distributions; Schwartz testing function space; generalized functions; distribution space; wavelet transform of generalized functions; Fourier transform

## 1. Introduction

As studied in the earlier works (see, for example, [1–12], we define a Schwartz testing function space  $S(\mathbb{R}^n)$  to consist of  $C^\infty$  functions  $\phi$  defined on  $\mathbb{R}^n$  and satisfying the following conditions:

$$\sup_{x \in \mathbb{R}^n} \left| x_n^{m_n} \cdots x_2^{m_2} x_1^{m_1} \frac{\partial^{k_n}}{\partial x_n} \frac{\partial^{k_{n-1}}}{\partial x_{n-1}} \cdots \frac{\partial^{k_2}}{\partial x_2} \frac{\partial^{k_1}}{\partial x_1} \phi(x_1, x_2, x_3, \dots, x_n) \right| < \infty \quad (1)$$

$|m|, |k| = 0, 1, 2, \dots$

The topology over  $S(\mathbb{R}^n)$  is generated by the following sequence of semi-norms:

$$\{\gamma_{m,k}\}_{|m|,|k|=0}^\infty$$

where

$$\gamma_{m,k}(\phi) = \sup_{x \in \mathbb{R}^n} \left| |x^m| \phi^{(k)}(x) \right|, \quad (2)$$

$$|m| = m_1 + m_2 + m_3 + \cdots + m_n,$$

$$|k| = k_1 + k_2 + k_3 + \cdots + k_n,$$

$$|x^m| = |x_1^{m_1} x_2^{m_2} x_3^{m_3} \cdots x_n^{m_n}|,$$

$$\phi^{(k)}(x) = \frac{\partial^{k_n}}{\partial x_n} \cdots \frac{\partial^{k_3}}{\partial x_3} \frac{\partial^{k_2}}{\partial x_2} \frac{\partial^{k_1}}{\partial x_1} \phi(x).$$

These collections of semi-norms in Equation (2) are separating which means that an element  $\phi \in S(\mathbb{R}^n)$  is non-zero if and only if there exists at least one of the semi-norms  $\gamma_{m,k}$  satisfying  $\gamma_{m,k}(\phi) \neq 0$ . A sequence  $\{\phi_\nu\}_{\nu=1}^\infty$  in  $S(\mathbb{R}^n)$  tends to  $\phi$  in  $S(\mathbb{R}^n)$  if and only if  $\gamma_{m,k}(\phi_\nu - \phi) \rightarrow 0$  as  $\nu$  goes to  $\infty$  for each of the subscripts  $|m|, |k| = 0, 1, 2, \dots$ , are as defined above. Now, one can verify that the function  $e^{-(t_1^2+t_2^2+t_3^2+\dots+t_n^2)} \in S(\mathbb{R}^n)$  and the sequence

$$\frac{\nu - 1}{\nu} e^{-(t_1^2+t_2^2+t_3^2+\dots+t_n^2)} \rightarrow e^{-(t_1^2+t_2^2+t_3^2+\dots+t_n^2)}$$

in  $S(\mathbb{R}^n)$  as  $\nu \rightarrow \infty$ . The Dirac delta function  $\delta(t)$  is defined here by

$$\langle \delta(t_1 - a_1, t_2 - a_2, t_3 - a_3, \dots, t_n - a_n), \phi(t_1, t_2, t_3, \dots, t_n) \rangle = \phi(a_1, a_2, a_3, \dots, a_n).$$

So, we have

$$\langle \delta(t_1, t_2, t_3, \dots, t_n), \phi(t_1, t_2, t_3, \dots, t_n) \rangle = \phi(0, 0, 0, \dots, 0) \quad (\phi \in S(\mathbb{R}^n)).$$

It is easy to check that  $\delta(t_1, t_2, \dots, t_n)$  is a continuous linear functional on  $S(\mathbb{R}^n)$ . A regular distribution generated by a locally integrable function is an element of  $S'(\mathbb{R}^n)$ .

Our objective now is to find an element  $\psi \in S(\mathbb{R}^n)$ , which is a wavelet, so as to be able to define the wavelet transform of  $f \in S'(\mathbb{R}^n)$  with respect to this kernel.

A function  $\psi \in L^2(\mathbb{R}^n)$  is a window function if it satisfies the following conditions:

$$x_i \psi(x), x_i x_j \psi(x), \dots, x_1 x_2 x_3 \cdots x_n \psi(x) \tag{3}$$

belonging to  $L^2(\mathbb{R}^n)$ . Here,  $i, j, k, \dots$  take on all assumed values  $1, 2, 3, \dots$  and all the lower suffixes in a term in Equation (3) are different. It has been proved by Pandey et al. [4,13] that a window function which is an element of  $L^2(\mathbb{R}^n)$  belongs to  $L^1(\mathbb{R}^n)$ . It is easy to verify that every element of  $S(\mathbb{R}^n)$  is a window function.

A window function  $\psi$  belonging to  $L^2(\mathbb{R}^n)$  and satisfying the following condition:

$$\int_{-\infty}^{\infty} \psi(x_1, x_2, x_3, \dots, x_i, \dots, x_n) dx_i = 0 \quad (\forall i = 1, 2, 3, \dots, n) \tag{4}$$

also satisfies the admissibility condition given by

$$\int_{\mathbb{R}^n} \frac{|\hat{\psi}(\Lambda)|^2}{|\Lambda|} d\Lambda < \infty, \tag{5}$$

where

$$\hat{\psi}(\Lambda) = \hat{\psi}(\lambda_1, \lambda_2, \lambda_3, \dots, \lambda_n),$$

$$|\Lambda| = |\lambda_1 \lambda_2 \cdots \lambda_n|$$

and  $\hat{\psi}(\Lambda)$  is the Fourier transform of  $\psi(x) \equiv \psi(x_1, x_2, x_3, \dots, x_n)$  (see also a recent work [14]). Clearly,  $\psi$  in Equation (4) is a wavelet [13]. As an example, one can easily verify that the function given by

$$\psi(x) = x_1 x_2 \cdots x_n e^{-(x_1^2+x_2^2+x_3^2+\dots+x_n^2)}$$

is a wavelet belonging to  $S(\mathbb{R}^n)$ . Let  $s(\mathbb{R}^n)$  be a subspace of  $S(\mathbb{R}^n)$  such that every element  $\phi \in s(\mathbb{R}^n)$  satisfies Equation (4). Clearly, every element of  $s(\mathbb{R}^n)$  is a wavelet [4].

Now, if  $f \in S'(\mathbb{R}^n)$  and  $\psi$  is a wavelet belonging to  $S(\mathbb{R}^n)$ , the wavelet transform of  $f$  can be defined by

$$W_f(a, b) = \left\langle f(x), \frac{1}{\sqrt{|a|}} \psi\left(\frac{x-b}{a}\right) \right\rangle,$$

where  $\langle \cdot, \cdot \rangle$  denotes the inner product and  $(a, b)$  is the argument of wavelet transform  $W_f(a, b)$  of  $f$  with respect to wavelet  $\psi$ ,

$$\psi\left(\frac{x-b}{a}\right) = \psi\left(\frac{x_1-b_1}{a_1}, \frac{x_2-b_2}{a_2}, \frac{x_3-b_3}{a_3}, \dots, \frac{x_n-b_n}{a_n}\right)$$

$$(a_i \neq 0 \quad (\forall i = 1, 2, 3, \dots, n))$$

and

$$|a| = |a_1 a_2 a_3 \dots a_n|.$$

Our objective next is to prove the following inversion formula:

$$\left\langle \frac{1}{C_\psi} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} W_f(a, b) \psi\left(\frac{t-b}{a}\right) \frac{db da}{\sqrt{|a|a^2}}, \phi(t) \right\rangle \rightarrow \langle f, \phi \rangle, \quad \phi \in S(\mathbb{R}^n) \tag{6}$$

by interpreting the convergence in  $S'(\mathbb{R}^n)$ . Here, we have

$$C_\psi = (2\pi)^n \int_{\mathbb{R}^n} \frac{|\hat{\psi}(\wedge)|^2}{|\wedge|} d\wedge.$$

The derivation of the inversion formula given by the formula (6) is difficult. We, therefore, make an easy approach. The work on the multidimensional wavelet transform with positive scale  $[a > 0]$  was done by Daubechies [15], Meyer [16], Pathak [17], and some others. Motivated by the earlier works [6,8,12], Pandey et al. [4] studied a generalization of these works and extended the multidimensional wavelet transform with real scale  $[a \neq 0]$ . In the year 1995, Holschneider [18] extended the multidimensional wavelet transform to Schwartz tempered distributions with positive scales  $[a > 0]$ . Recently, Weisz [19,20] studied the inversion formula for the continuous wavelet transform and found its convergence in  $L^p$  and Wiener amalgam spaces. Postnikov et al. [21] studied computational implementation of the inverse continuous wavelet transform without a requirement of the admissibility condition.

Our objective in this investigation is to extend the wavelet transform to Schwartz tempered distributions with real scale  $[a \neq 0]$ . The standard cut off of negative frequencies (which is required to apply continuous wavelet transform with  $a > 0$ ) may result in a loss of information if the transformed functions were non-symmetric (in the Fourier space) mixture of real and imaginary frequency components. Our proposed and proven inversion formula is free from the mentioned defect. The main advantage of our work is a possible further practical utility of the proven result and the simplicity of calculation; in addition, our extension of the multidimensional wavelet inversion formula is the most general. In [4], it is proved that a window function  $\psi(x) \in L^2(\mathbb{R}^n)$  is a wavelet if and only if the integral of  $\psi$  along each of the axes is zero; therefore, any  $\psi(x) \in s(\mathbb{R}^n)$  is a wavelet. Hence, the wavelet transform of a constant distribution is zero.

We thus realize that two elements of  $S'(\mathbb{R}^n)$  having equal wavelet transform will differ by a constant in general. Holschneider [18] uses the wavelet inversion formula for  $f \in S'(\mathbb{R}^n)$ , but he does not mention the wavelet inversion formula and its convergence in  $S'(\mathbb{R}^n)$ . Perhaps, he takes it for granted, as such an inversion formula is valid for elements of  $L^2(\mathbb{R}^n)$  by interpreting convergence in  $L^2(\mathbb{R}^n)$ . Our objective in this paper is to fill up all these gaps. We will prove the inversion Formula (6) in Section 3.



## 2. Structure of Generalized Functions of Slow Growth

Elements of  $S'(\mathbb{R}^n)$  are called tempered distributions or distributions of slow growth.

**Definition 1.** A function  $f(x)$  is said to be a function of slow growth in  $\mathbb{R}^n$  if, for  $m \geq 0$ , we have

$$\int_{\mathbb{R}^n} |f(x)| (1 + |x|)^{-m} dx < \infty$$

and it determines a regular functional  $f$  in  $S'(\mathbb{R}^n)$  by the formula given by

$$\langle f, \phi \rangle = \int_{\mathbb{R}^n} f(x)\phi(x)dx \quad (\phi \in S(\mathbb{R}^n)). \quad (7)$$

It is easy to verify that the functional  $f$  defined by Equation (7) exists  $\forall \phi \in S(\mathbb{R}^n)$  and that it is linear as well as continuous on  $S(\mathbb{R}^n)$ .

We now quote a theorem of Vladimirov proved in his book [8].

**Theorem 1.** If  $f \in S'(\mathbb{R}^n)$ , then there exists a continuous function  $g$  of slow growth in  $\mathbb{R}^n$  and an integer  $m \geq 0$  such that

$$f(x) = D_1^m D_2^m D_3^m \cdots D_n^m g(x), \quad \frac{\partial}{\partial x_i} \equiv D_i \quad (8)$$

or, equivalently,

$$f(x) = D^m g(x) \quad (D := D_1 D_2 D_3 \cdots D_n). \quad (9)$$

The  $n$ -dimensional wavelet inversion formula for tempered distributions will now be proved very simply by using the structure Formula (9). This structure formula enables us to reduce the wavelet analysis problem relating to tempered distributions to the classical wavelet analysis problem of  $L^2(\mathbb{R}^n)$  functions. Our wavelet inversion formula of  $L^2(\mathbb{R}^n)$  functions will be used quite successfully in order to derive the wavelet inversion formula for the wavelet transform of tempered distributions.

## 3. Wavelet Transform of Tempered Distributions in $\mathbb{R}^n$ and Its Inversion

Henceforth, we assume that  $a \neq 0$  implies each of the components  $a_i \neq 0$  for all  $i = 1, 2, 3, \dots, n$  and that  $a > 0$  means each of the component  $a_i$  of  $a$  is greater than zero. Moreover,  $|a| > \epsilon$  will mean that  $|a_i| > \epsilon$  for all  $i = 1, 2, 3, \dots, n$ .

Let  $\psi(x) = \psi(x_1, x_2, \dots, x_n) \in S(\mathbb{R}^n)$ . Then  $\psi(x)$  is a window function and is a wavelet if and only if

$$\int_{-\infty}^{\infty} \psi(x_1, x_2, \dots, x_i, \dots, x_n) dx_i = 0 \quad (\forall i = 1, 2, \dots, n). \quad (10)$$

We define  $\psi\left(\frac{x-b}{a}\right) \equiv \psi\left(\frac{x_1-b_1}{a_1}, \frac{x_2-b_2}{a_2}, \dots, \frac{x_n-b_n}{a_n}\right)$ , where  $a_i, b_i$  are real numbers and none of the  $a_i$  is zero. The wavelet transform  $W_f(a, b)$  of  $f$  with respect to the kernel  $\frac{1}{\sqrt{|a|}}\psi\left(\frac{x-b}{a}\right)$  is defined by

$$W_f(a, b) = \left\langle f(x), \frac{1}{\sqrt{|a|}}\psi\left(\frac{x-b}{a}\right) \right\rangle. \quad (11)$$

Here, we assume that

$$|a| = |a_1 a_2 a_3 \cdots a_n| \quad (a_i \neq 0 \quad (i = 1, 2, 3, \dots, n)).$$

We now prove the following lemmas which will be used to prove the main inversion formula.

**Lemma 1.** (see [13]) Let  $\phi \in S(\mathbb{R}^n)$  and  $\psi$  be a wavelet belonging to  $S(\mathbb{R}^n)$ .

$$\begin{aligned} \frac{1}{C_\psi} \int_{a \in \mathbb{R}^n} \int_{b \in \mathbb{R}^n} \int_{t \in \mathbb{R}^n} (-D_t)^m \phi(t) \bar{\psi}\left(\frac{t-b}{a}\right) \psi\left(\frac{x_0-b}{a}\right) \frac{dt db da}{a^2|a|} \\ = (-D_x)^m \phi(x)|_{x=x_0} \quad (\forall x_0 \in \mathbb{R}^n). \end{aligned}$$

This is called pointwise convergence of the wavelet inversion formula.

**Lemma 2.** Let  $\phi \in S(\mathbb{R}^n)$  and let  $\psi$  be a wavelet belonging to  $S(\mathbb{R}^n)$ . Then

$$\frac{1}{C_\psi} \int_{a \in \mathbb{R}^n} \int_{b \in \mathbb{R}^n} \int_{t \in \mathbb{R}^n} (-D_t)^m \phi(t) \bar{\psi}\left(\frac{t-b}{a}\right) \psi\left(\frac{x-b}{a}\right) \frac{dt db da}{a^2|a|}$$

converges to  $(-D_x^m) \phi(x)$  uniformly for all  $x \in \mathbb{R}^n$ .

**Proof.** Let

$$F(\wedge) = \frac{1}{(2\pi)^{\frac{n}{2}}} \int_{\mathbb{R}^n} (-D_t)^m \phi(t) e^{-i \wedge \cdot t} dt$$

be the Fourier transform of  $(-D_t)^m \phi(t)$ . It follows that, in the sense of  $L^2(\mathbb{R}^n)$  convergence [17],

$$\begin{aligned} \frac{1}{C_\psi} \int_{a \in \mathbb{R}^n} \int_{b \in \mathbb{R}^n} \int_{c \in \mathbb{R}^n} (-D_t)^m \phi(t) \bar{\psi}\left(\frac{t-b}{a}\right) \psi\left(\frac{x-b}{a}\right) \frac{dt db da}{a^2|a|} \\ = \frac{1}{(2\pi)^{\frac{n}{2}}} \int_{\mathbb{R}^n} F(\wedge) e^{i \wedge \cdot x} d\wedge = (-D_x)^m \phi(x). \end{aligned}$$

This convergence is also uniform by a Weierstrass  $M$ -test because

$$\left| \frac{1}{(2\pi)^{\frac{n}{2}}} \int_{\mathbb{R}^n} F(\wedge) e^{i \wedge \cdot x} d\wedge \right| \leq \frac{1}{(2\pi)^{\frac{n}{2}}} \int_{\mathbb{R}^n} |F(\wedge)| d\wedge < \infty$$

and

$$F(\wedge) \in S(\mathbb{R}^n).$$

□

**Theorem 2.** Let  $f \in S'(\mathbb{R}^n)$  and  $W_f(a, b)$  be its wavelet transform defined by

$$W_f(a, b) = \left\langle f(x), \frac{1}{\sqrt{|a|}} \psi\left(\frac{x-b}{a}\right) \right\rangle.$$

Then the inversion formula of the wavelet transform  $W_f(a, b)$  is given by

$$\left\langle \frac{1}{C_\psi} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} W_f(a, b) \psi\left(\frac{t-b}{a}\right) \frac{db da}{\sqrt{|a|} a^2}, \phi(t) \right\rangle = \langle f, \phi \rangle \tag{12}$$

$$(\forall \phi \in S(\mathbb{R}^n)),$$

where the equality holds true almost everywhere.

**Proof.** Using the structure formula (9) for  $f$ , we find by distributional differentiation that

$$W_f(a, b) = \left\langle D_x^m g(x), \frac{1}{\sqrt{|a|}} \psi \left( \frac{x-b}{a} \right) \right\rangle = \left\langle g(x), (-D_x)^m \frac{1}{\sqrt{|a|}} \psi \left( \frac{x-b}{a} \right) \right\rangle.$$

Here, we have

$$(-D_x) = (-D_{x_1})(-D_{x_2})(-D_{x_3}) \cdots (-D_{x_n}) D_{x_i} \equiv \frac{\partial}{\partial x_i} \quad (i = 1, 2, 3, \dots, n).$$

We thus obtain

$$W_f(a, b) = \left\langle g(x), (D_b)^m \frac{1}{\sqrt{|a|}} \psi \left( \frac{x-b}{a} \right) \right\rangle$$

$$D_b = \left( \frac{\partial}{\partial b_1} \frac{\partial}{\partial b_2} \frac{\partial}{\partial b_3} \cdots \frac{\partial}{\partial b_n} \right).$$

□

The expression on the left-hand side in (12) can be written as follows:

$$\Omega := \frac{1}{C_\psi} \int_{t \in \mathbb{R}^n} \int_{a \in \mathbb{R}^n} \int_{b \in \mathbb{R}^n} \int_{x \in \mathbb{R}^n} g(x) D_b^m \frac{1}{\sqrt{|a|}} \bar{\psi} \left( \frac{x-b}{a} \right) \psi \left( \frac{t-b}{a} \right) \bar{\phi}(t) dx db da dt$$

$$= \frac{1}{C_\psi} \int_{t \in \mathbb{R}^n} \int_{a \in \mathbb{R}^n} \int_{x \in \mathbb{R}^n} g(x) \left[ \int_{b \in \mathbb{R}^n} \left\{ D_b^m \bar{\psi} \left( \frac{x-b}{a} \right) \right\} \psi \left( \frac{t-b}{a} \right) db \right] \frac{\bar{\phi}(t) dx da dt}{a^2 |a|}. \tag{13}$$

We now evaluate the integral in the big bracket by parts to find from Equation (13) that

$$\Omega = \frac{1}{C_\psi} \int_{t \in \mathbb{R}^n} \int_{a \in \mathbb{R}^n} \int_{x \in \mathbb{R}^n} g(x) \left[ \int_{b \in \mathbb{R}^n} \bar{\psi} \left( \frac{x-b}{a} \right) (-D_b)^m \psi \left( \frac{t-b}{a} \right) db \right] \frac{\bar{\phi}(t) dx da dt}{a^2 |a|}$$

$$= \frac{1}{C_\psi} \int_{t \in \mathbb{R}^n} \int_{a \in \mathbb{R}^n} \int_{x \in \mathbb{R}^n} g(x) \left[ \int_{b \in \mathbb{R}^n} \bar{\psi} \left( \frac{x-b}{a} \right) (+D_t)^m \psi \left( \frac{t-b}{a} \right) db \right] \frac{\bar{\phi}(t) dx da dt}{a^2 |a|},$$

which, upon inverting the order of integration with respect to  $a$  and  $t$ , yields

$$\Omega = \frac{1}{C_\psi} \int_{a \in \mathbb{R}^n} \int_{t \in \mathbb{R}^n} \int_{b \in \mathbb{R}^n} \int_{x \in \mathbb{R}^n} g(x) \bar{\psi} \left( \frac{x-b}{a} \right) dx D_t^m \psi \left( \frac{t-b}{a} \right) db \bar{\phi}(t) \frac{dt da}{|a|^2 |a|}$$

$$= \frac{1}{C_\psi} \int_{a \in \mathbb{R}^n} \int_{b \in \mathbb{R}^n} \int_{x \in \mathbb{R}^n} g(x) \bar{\psi} \left( \frac{x-b}{a} \right) dx \int_{t \in \mathbb{R}^n} \psi \left( \frac{t-b}{a} \right) db (-D_t)^m \bar{\phi}(t) \frac{dt da}{|a|^2 |a|}. \tag{14}$$

In order to justify the inversion of the order of integration with respect to  $a$  and  $t$ , we first perform the integration in the region  $[(a, t) : |a| > \epsilon, a, t \in \mathbb{R}^n]$ , invert the order of integration and then let  $\epsilon \rightarrow 0$ . This existence of the triple integral in terms of  $b, a$  and  $t$  in Equation (14) is proved by using the Plancherel theorem with respect to the variable  $b$ . Thus, by using

$$C_\psi = (2\pi)^n \int_{\mathbb{R}^n} \frac{|\hat{\psi}(\wedge)|^2}{|\wedge|} d\wedge,$$

we notice that the variable  $a$  disappears from the denominator and every calculation goes on smoothly. Since the functions  $\phi$  and  $\psi$  are elements of  $S(\mathbb{R}^n)$ , the Fubini's theorem can be applied in order to justify the above interchanges of the order of integration.

Now, Equation (14) can be written as follows:

$$\left\langle g(x), \frac{1}{C_\psi} \int_{a \in \mathbb{R}^n} \int_{b \in \mathbb{R}^n} \int_{t \in \mathbb{R}^n} (-D_t)^m \phi(t) \bar{\psi} \left( \frac{t-b}{a} \right) dt \psi \left( \frac{x-b}{a} \right) \frac{db da}{|a|^2 |a|} \right\rangle \quad (15)$$

$$= \langle g(x), (-D_x)^m \phi(x) \rangle, \quad (16)$$

by means of the wavelet inversion formula in  $\mathbb{R}^n$  [4] and Lemma 2.

We note that the triple integral in Equation (15) converges uniformly to  $(-D_x)^m \phi(x) \forall x \in \mathbb{R}^n$ . Thus, Equation (15) becomes Equation (16):

$$\begin{aligned} \langle g(x), (-D_x)^m \phi(x) \rangle &= \langle (D_x)^m g(x), \phi(x) \rangle \\ &= \langle f(x), \phi(x) \rangle. \end{aligned}$$

#### 4. Conclusions

In our present investigation, we have introduced and studied a continuous wavelet transform of a Schwartz tempered distribution  $f \in S'(\mathbb{R}^n)$  with the wavelet kernel  $\psi \in S(\mathbb{R}^n)$ . We have successfully derived the corresponding wavelet inversion formula by interpreting convergence in the weak topology of  $S'(\mathbb{R}^n)$ .

We have found that the wavelet transform of a constant distribution is zero and also that our wavelet inversion formula is not true for constant distribution, but it is true for a non-constant distribution which is not equal to the sum of a non-constant distribution with a non-zero constant distribution. Our results and findings are stated and proved as Lemmas and Theorems.

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Article

# A Dunkl–Type Generalization of Szász–Kantorovich Operators via Post–Quantum Calculus

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**Abstract:** In this paper, we define the  $(p, q)$ -variant of Szász–Kantorovich operators via Dunkl-type generalization generated by an exponential function and study the Korovkin-type results. We also obtain the convergence of our operators in weighted space by the modulus of continuity, Lipschitz class, and Peetre’s  $K$ -functionals. The extra parameter  $p$  provides more flexibility in approximation and plays an important role in symmetrizing these newly-defined operators.

**Keywords:**  $(p, q)$ -integers; Dunkl analogue; generating functions; generalization of exponential function; Szász operator; modulus of continuity

## 1. Introduction and Preliminaries

Bernstein [1] and  $q$ -Bernstein ([2,3]) operators have become very important tools in the study of approximation theory and several branches of applied sciences and engineering. For  $[r]_{p,q} = \frac{p^r - q^r}{p - q}$ ,  $r = 0, 1, 2, \dots$ ,  $0 < q < p \leq 1$ , the  $(p, q)$ -Bernstein operators were introduced by Mursaleen et al. [4]:

$$B_r^{p,q}(g; y) = \frac{1}{p^{\frac{r(r-1)}{2}}} \sum_{m=0}^r \begin{bmatrix} r \\ m \end{bmatrix}_{p,q} p^{\frac{m(m-1)}{2}} y^k \prod_{s=0}^{r-m-1} (p^s - q^s y) g\left(\frac{[m]_{p,q}}{p^{m-r}[r]_{p,q}}\right), \quad y \in [0, 1], \quad (1)$$

where  $[r]_{p,q}$  denotes the  $(p, q)$ -integer.

The  $(p, q)$ -analogues of exponential functions are defined in two forms as follows:

$$e_r^{p,q}(y) = \sum_{r=0}^{\infty} p^{\frac{r(r-1)}{2}} \frac{y^r}{[r]_{p,q}!}, \quad E_r^{p,q}(y) = \sum_{r=0}^{\infty} q^{\frac{r(r-1)}{2}} \frac{y^r}{[r]_{p,q}!},$$

with the property that  $e_r^{p,q}(y)E_r^{p,q}(-y) = 1$ . In the case of  $p = 1$ ,  $e_r^{p,q}(y)$  and  $E_r^{p,q}(y)$  reduce to  $q$ -analogues of exponential functions.

The Dunkl-type generalization of Szász operators [5] was introduced by Sucu [6] and the  $q$ -analogue by Ben Cheikh et al. [7]. İçöz [8] introduced the  $q$ -Dunkl analogue of Szász operators defined by:

$$D_{\eta}^q(g; y) = \frac{1}{e_{\eta}^q([r]_q y)} \sum_{m=0}^{\infty} \frac{([r]_q y)^m}{\gamma_{\eta}^q(m)} g\left(\frac{1 - q^{2\eta\theta_{m+m}}}{1 - q^r}\right) \quad (2)$$

where  $\eta > -\frac{1}{2}$ ,  $y \geq 0$ ,  $0 < q < 1$ ,  $g \in C[0, \infty)$  and  $C[0, \infty)$  is the set of all continuous functions defined on  $[0, \infty)$ .

The  $(p, q)$ - and  $q$ -Dunkl analogues have been studied by several authors (see [9–24]). For the most recent work on  $(p, q)$ -approximation, we refer to [25–27]. Recently, Alotaibi et al. [28] generalized the  $q$ -Dunkl analogue of Szász operators via  $(pq)$ -calculus as follows:

$$\mathcal{D}_\eta^{p,q}(g; y) = \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{\frac{m(m-1)}{2}} \mathcal{G} \left( \frac{p^{2\eta\theta_m+m} - q^{2\eta\theta_m+m}}{p^{m-1}(p^r - q^r)} \right) \tag{3}$$

where for  $q \in (0, 1)$ ,  $p \in (q, 1]$ , and  $\eta > -\frac{1}{2}$ , the  $(p, q)$ -Dunkl analogue of exponential functions is defined by:

$$e_\eta^{p,q} = \sum_{r=0}^\infty p^{\frac{r(r-1)}{2}} \frac{y^r}{\gamma_\eta^{p,q}(r)}, \quad y \in [0, \infty) \tag{4}$$

$$\gamma_\eta^{p,q}(r) = \frac{\prod_{i=0}^{\lfloor \frac{r+1}{2} \rfloor - 1} p^{2\eta(-1)^{i+1}+1} ((p^2)^i p^{2\eta+1} - (q^2)^i q^{2\eta+1}) \prod_{j=0}^{\lfloor \frac{r}{2} \rfloor - 1} p^{2\eta(-1)^{j+1}} ((p^2)^j p^2 - (q^2)^j q^2)}{(p - q)^r}, \tag{5}$$

$$\gamma_\eta^{p,q}(r + 1) = \frac{p^{2\eta(-1)^{r+1}+1} (p^{2\eta\theta_{r+1}+r+1} - q^{2\eta\theta_{r+1}+r+1})}{(p - q)} \gamma_\eta^{p,q}(r), \tag{6}$$

$$\theta_r = \begin{cases} 0 & \text{for } r = 2\ell, \ell = 1, 2, \dots, n \\ 1 & \text{for } r = 2\ell + 1, \ell = 1, 2, \dots, n. \end{cases} \tag{7}$$

and  $\lfloor \frac{r}{2} \rfloor$  denotes the greatest integer function; also, we have:

$$(\alpha - \beta)_{p,q}^r = \begin{cases} \prod_{j=0}^{r-1} (p^j \alpha - q^j \beta) & \text{if } r = 1, 2, \dots, n \\ 1 & \text{if } r = 0. \end{cases}$$

**Lemma 1.** For  $g(t) = 1, t, t^2$

1\*.  $\mathcal{D}_\eta^{p,q}(1; y) = 1;$

2\*.  $\mathcal{D}_\eta^{p,q}(t; y) = y;$

3\*.  $y^2 + \frac{q^{2\eta}}{[r]_{p,q}} [1 - 2\eta]_{p,q} \frac{e_\eta^{p,q}(\frac{q}{p}[r]_{p,q}y)}{e_\eta^{p,q}([r]_{p,q}y)} y \leq \mathcal{D}_\eta^{p,q}(t^2; y) \leq y^2 + \frac{1}{[r]_{p,q}} [1 + 2\eta]_{p,q} y.$

### 2. New Operators and Estimations of Moments

In this section, we construct the  $(p, q)$ -variant of Szász–Kantorovich operators via Dunkl-type generalization as follows.

**Definition 1.** For any  $y \in [0, \infty)$ ,  $g \in C[0, \infty)$   $r \in \mathbb{N}$  and  $0 < q < p \leq 1$ , we define:

$$\mathcal{K}_\eta^{p,q}(g; y) = \frac{[r]_{p,q}}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{-(m+2\eta\theta_m)} p^{\frac{m(m-1)}{2}} \int_{qA}^{qA+B} \mathcal{G} \left( \frac{t}{qp^{m-1}} \right) d_{p,q}t. \tag{8}$$

We use the following relation:

$$[m + 1 + 2\eta\theta_m]_{p,q} = q[m + 2\eta\theta_m]_{p,q} + p^{m+2\eta\theta_m}, \tag{9}$$

$$\mathcal{A} = \frac{[m + 2\eta\theta_m]_{p,q}}{[r]_{p,q}}, \quad \mathcal{B} = \frac{p^{m+2\eta\theta_m}}{[r]_{p,q}} \tag{10}$$

where the parameter  $\eta \geq 0$ .

To show the uniform convergence of operators  $\mathcal{K}_\eta^{p,q}(\cdot; \cdot)$ , we take  $q = q_r$ ,  $p = p_r$  with  $0 < q_r < 1$  and  $q_r < p_r \leq 1$  such that:

$$\lim_{r \rightarrow \infty} p_r \rightarrow 1, \quad \lim_{r \rightarrow \infty} q_r \rightarrow 1, \quad \lim_{r \rightarrow \infty} p_r^r \rightarrow u, \quad \lim_{r \rightarrow \infty} q_r^r \rightarrow v, \quad (0 < u, v \leq 1). \tag{11}$$

For  $p = 1$ , these operators reduce to the operators defined in [29]. For  $\eta = 0$ , these are reduced to the  $(p, q)$ -variant of Kantorovich-type operators defined by [30].

**Lemma 2.** Let  $g(t) = g_i$  such that  $g_i = t^{i-1}$  for  $i = 1, 2, 3$ . Then, we have:

- (1)  $\mathcal{K}_\eta^{p,q}(g_1; y) = 1$
- (2)  $\mathcal{K}_\eta^{p,q}(g_2; y) \leq \frac{2}{[2]_{p,q}}y + \frac{1}{[2]_{p,q}q[r]_{p,q}}$
- (3)  $\mathcal{K}_\eta^{p,q}(g_3; y) \leq \frac{3}{[3]_{p,q}}y^2 + \frac{1}{[3]_{p,q}[r]_{p,q}} \left( [1 + 2\eta]_{p,q} + \frac{1}{q[r]_{p,q}} \right) y + \frac{1}{[3]_{p,q}q^2[r]_{p,q}^2}$ .

**Proof.** Using (9) and (10), we get:

$$\int_{qA}^{qA+B} f\left(\frac{t}{qp^{k-1}}\right) d_{p,q}t = \begin{cases} \mathcal{B} & \text{for } g(t) = g_1 \\ \frac{\mathcal{B}}{[2]_{p,q}p^{m-1}q} (2q\mathcal{A} + \mathcal{B}) & \text{for } g(t) = g_2 \\ \frac{\mathcal{B}}{[3]_{p,q}p^{2(m-1)q^2}} (3q^2\mathcal{A}^2 + 3q\mathcal{A}\mathcal{B} + \mathcal{B}^2) & \text{for } g(t) = g_3 \end{cases} \tag{12}$$

If we take  $g(t) = g_1$ , then from (12), we have:

$$\begin{aligned} \mathcal{K}_\eta^{p,q}(g_1; y) &= \frac{[r]_{p,q}}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^{\infty} \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{-(m+2\eta\theta_m)} p^{\frac{m(m-1)}{2}} \int_{qA}^{qA+B} d_{p,q}t \\ &= 1. \end{aligned}$$

For  $g(t) = g_2$ , (12) implies:

$$\begin{aligned} \mathcal{K}_\eta^{p,q}(g_2; y) &= \frac{1}{[2]_{p,q}q[r]_{p,q}} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^{\infty} \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{-(m+2\eta\theta_m)} p^{\frac{m(m-1)}{2}} \\ &\times p^{1+2\eta\theta_m} (2q[m + 2\eta\theta_m]_{p,q} + p^{m+2\eta\theta_m}) \\ &= \frac{2}{[2]_{p,q}[r]_{p,q}} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^{\infty} \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{\frac{(m-1)(m-2)}{2}} [m + 2\eta\theta_m]_{p,q} \\ &+ \frac{1}{[2]_{p,q}q[r]_{p,q}} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^{\infty} \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{1+2\eta\theta_m} \\ &= \frac{2}{[2]_{p,q}} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^{\infty} \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{\frac{m(m-1)}{2}} \left( \frac{p^{m+2\eta\theta_m} - q^{m+2\eta\theta_m}}{p^{m-1}(p^r - q^r)} \right) \\ &+ \frac{1}{[2]_{p,q}q[r]_{p,q}} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^{\infty} \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{1+2\eta\theta_m}. \end{aligned}$$

Separating into even and odd terms, we get:

$$\begin{aligned} \mathcal{K}_\eta^{p,q}(g_2; y) &= \frac{2}{[2]_{p,q}}y + \frac{p}{[2]_{p,q}q[r]_{p,q}} & \text{for } r = 0, 2, 4, \dots \\ \mathcal{K}_\eta^{p,q}(g_2; y) &= \frac{2}{[2]_{p,q}}y + \frac{p^{1+2\eta}}{[2]_{p,q}q[r]_{p,q}} & \text{for } r = 1, 3, 5, \dots \end{aligned}$$



Since  $0 < q < p \leq 1, \eta \geq 0$ , and  $p^{1+2\eta} \leq 1$ , we have:

$$\mathcal{K}_\eta^{p,q}(g_2; y) \leq \frac{2}{[2]_{p,q}}y + \frac{1}{q[2]_{p,q}[r]_{p,q}}.$$

Similarly for  $g(t) = g_3$ , we have:

$$\begin{aligned} \mathcal{K}_\eta^{p,q}(g_3; y) &= \frac{3}{[3]_{p,q}[r]_{p,q}^3} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{\frac{m(m-1)}{2}-2(m-1)} [m + 2\eta\theta_m]_{p,q}^2 \\ &+ \frac{3}{[3]_{p,q}q[r]_{p,q}^3} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{m+2\eta\theta_m} p^{\frac{m(m-1)}{2}-2(m-1)} [m + 2\eta\theta_m]_{p,q} \\ &+ \frac{1}{[3]_{p,q}q^2[r]_{p,q}^2} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{2(m+2\eta\theta_m)} p^{\frac{m(m-1)}{2}-2(m-1)} \\ &= \frac{3}{[3]_{p,q}[r]_{p,q}^2} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{\frac{m(m-1)}{2}} \left( \frac{p^{m+2\eta\theta_m} - q^{m+2\eta\theta_m}}{p^{m-1}(p^r - q^r)} \right)^2 \\ &+ \frac{3}{[3]_{p,q}q[r]_{p,q}^2} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{\frac{m(m-1)}{2}} \left( \frac{p^{m+2\eta\theta_m} - q^{m+2\eta\theta_m}}{p^{m-1}(p^r - q^r)} \right) \\ &+ \frac{1}{[3]_{p,q}q^2[r]_{p,q}^2} \frac{1}{e_\eta^{p,q}([r]_{p,q}y)} \sum_{m=0}^\infty \frac{([r]_{p,q}y)^m}{\gamma_\eta^{p,q}(m)} p^{\frac{m(m-1)}{2}} p^{2(1+2\eta\theta_m)}. \end{aligned}$$

Hence, for  $m = 0, 2, 4, \dots$ , we have:

$$\mathcal{K}_\eta^{p,q}(g_3; y) \leq \frac{3}{[3]_{p,q}}y^2 + \frac{3}{[3]_{p,q}[r]_{p,q}} \left( [1 + 2\eta]_{p,q} + \frac{p}{q[r]_{p,q}} \right) y + \frac{p^2}{q^2[3]_{p,q}[r]_{p,q}^2},$$

and for  $m = 1, 3, 5, \dots$ ,

$$\mathcal{K}_\eta^{p,q}(g_3; y) \leq \frac{3}{[3]_{p,q}}y^2 + \frac{3}{[3]_{p,q}[r]_{p,q}} \left( [1 + 2\eta]_{p,q} + \frac{p}{q[r]_{p,q}} \right) y + \frac{p^2}{[3]_{p,q}q^2[r]_{p,q}^2}.$$

Therefore,

$$\mathcal{K}_\eta^{p,q}(g_3; y) \leq \frac{3}{[3]_{p,q}}y^2 + \frac{3}{[3]_{p,q}[r]_{p,q}} \left( [1 + 2\eta]_{p,q} + \frac{1}{q[r]_{p,q}} \right) y + \frac{1}{[3]_{p,q}q^2[r]_{p,q}^2}.$$

This completes the proof of Lemma 2.  $\square$

**Lemma 3.** Let  $\chi_i = (t - y)^i$  for  $i = 1, 2$ . Then, we have:

$$\mathcal{K}_\eta^{p,q}(\chi_i; y) \leq \begin{cases} \left( \frac{2}{[2]_{p,q}} - 1 \right) y + \frac{1}{[2]_{p,q}q[r]_{p,q}} & \text{for } i = 1 \\ \left( \frac{3}{[3]_{p,q}} + 1 - \frac{4}{[2]_{p,q}} \right) y^2 \\ + \frac{1}{q[r]_{p,q}} \left( \frac{3}{[3]_{p,q}} \left( \frac{1}{[r]_{p,q}} + q[1 + 2\eta]_{p,q} \right) - \frac{2}{[2]_{p,q}} \right) y \\ + \frac{1}{[3]_{p,q}q^2[r]_{p,q}^2} & \text{for } i = 2. \end{cases} \tag{13}$$

### 3. Main Results

In this section, we study the Korovkin-type approximation theorems for positive linear operators  $\mathcal{K}_\eta^{p_r, q_r}(\cdot; \cdot)$  defined by (8). We denote the set of all bounded and continuous functions by  $C_B[0, \infty)$  equipped with norm  $\|g\|_{C_B} = \sup_{y \in [0, \infty)} |g(y)|$ . We write:

$$\mathfrak{E} := \left\{ g(y) : y \in [0, \infty), \frac{g(y)}{1+y^2} \text{ is convergent as } y \rightarrow \infty \right\}.$$

Let:

$$\begin{aligned} B_\sigma[0, \infty) &= \{g : |g(y)| \leq \mathcal{M}_g \sigma(y)\}, \\ C_\sigma[0, \infty) &= \{g : g \in B_\sigma[0, \infty) \cap C[0, \infty)\}, \\ C_\sigma^k[0, \infty) &= \left\{ g : g \in C_\sigma[0, \infty) \text{ and } \lim_{y \rightarrow \infty} \frac{g(y)}{\sigma(y)} = k \right\}, \end{aligned}$$

where  $\sigma(y)$  is the weight function given by  $\sigma(y) = 1 + y^2$ ,  $k$  is a constant, and  $\mathcal{M}_g$  depends on  $g$ .  $C_\sigma[0, \infty)$  is equipped with the norm  $\|g\|_\sigma = \sup_{y \in [0, \infty)} \frac{|g(y)|}{\sigma(y)}$ .

**Theorem 1.** Let  $q_r, p_r$  be the real numbers, with  $q_r \in (0, 1)$  and  $p_r \in (q_r, 1]$  for every integer  $r$ , satisfying  $(q_r) \rightarrow 1$  and  $(p_r) \rightarrow 1$  as  $r \rightarrow \infty$ . Then, for every  $g \in C[0, \infty) \cap \mathfrak{E}$ ,

$$\lim_{r \rightarrow \infty} \mathcal{K}_\eta^{p_r, q_r}(g; y) = g(y)$$

uniformly on each compact subset of  $[0, \infty)$ .

**Proof.** For the proof of the uniform convergence of the operators  $\mathcal{K}_\eta^{p_r, q_r}$  on each compact subset of  $[0, \infty)$ , we apply the well-known Korovkin theorem [31]. It is sufficient to show that  $\lim_{r \rightarrow \infty} \mathcal{K}_\eta^{p_r, q_r}(g_i; y) = y^{i-1}$ , where  $g_i = t^{i-1}$  for  $i = 1, 2, 3$ .

Clearly, if  $q_r \rightarrow 1, p_r \rightarrow 1$  as  $r \rightarrow \infty$ , then  $\frac{1}{[r]_{p_r, q_r}} \rightarrow 0, \frac{r}{[r]_{p_r, q_r}} \rightarrow 1$ . This yields that:

$$\lim_{r \rightarrow \infty} \mathcal{K}_\eta^{p_r, q_r}(g_1; y) = 1, \quad \lim_{r \rightarrow \infty} \mathcal{K}_\eta^{p_r, q_r}(g_2; y) = y, \quad \lim_{r \rightarrow \infty} \mathcal{K}_\eta^{p_r, q_r}(g_3; y) = y^2.$$

□

**Theorem 2.** Let  $q_r, p_r$  be the real numbers, with  $q_r \in (0, 1)$  and  $p_r \in (q_r, 1]$  for every integer  $r$ , satisfying  $(q_r) \rightarrow 1$  and  $(p_r) \rightarrow 1$  as  $r \rightarrow \infty$ . Then, for every  $g \in C_\sigma^k[0, \infty)$ , we have:

$$\lim_{r \rightarrow \infty} \left\| \mathcal{K}_\eta^{p_r, q_r}(g; y) - g \right\|_\sigma = 0. \tag{14}$$

**Proof.** Suppose  $g(t) \in C_\sigma^k[0, \infty)$  and  $g(t) = g_\tau$ , where  $g_\tau = t^{\tau-1}$  for  $\tau = 1, 2, 3$ . Then, from the well-known Korovkin theorem, we have  $\mathcal{K}_\eta^{p_r, q_r}(g_\tau; y) \rightarrow y^{\tau-1}$  ( $r \rightarrow \infty$ ) uniformly for each  $\tau = 1, 2, 3$ . Hence, from Lemma 2, we have:

$$\lim_{r \rightarrow \infty} \left\| \mathcal{K}_\eta^{p_r, q_r}(g_1; y) - 1 \right\|_\sigma = 0. \tag{15}$$

For  $\tau = 2$ ,

$$\begin{aligned} & \left\| \mathcal{K}_\eta^{p_r, q_r}(g_2; y) - y \right\|_\sigma \\ &= \sup_{y \geq 0} \frac{\left| \mathcal{K}_\eta^{p_r, q_r}(g_2; y) - y \right|}{1 + y^2} \\ &\leq \left( \frac{2}{[2]_{p_r, q_r}} - 1 \right) \sup_{y \geq 0} \frac{y}{1 + y} + \frac{1}{q_r [2]_{p_r, q_r} [r]_{p_r, q_r}} \sup_{y \geq 0} \frac{1}{1 + y}. \end{aligned}$$

Then:

$$\lim_{r \rightarrow \infty} \left\| \mathcal{K}_\eta^{p_r, q_r}(g_2; y) - y \right\|_\sigma = 0. \tag{16}$$

Similarly, if we take  $\tau = 3$ ,

$$\begin{aligned} & \left\| \mathcal{K}_\eta^{p_r, q_r}(g_3; y) - y^2 \right\|_\sigma \\ &= \sup_{y \geq 0} \frac{\left| \mathcal{K}_\eta^{p_r, q_r}(g_3; y) - y^2 \right|}{1 + y^2} \\ &\leq \left( \frac{3}{[3]_{p_r, q_r}} - 1 \right) \sup_{y \geq 0} \frac{y^2}{1 + y^2} \\ &+ \frac{3}{[3]_{p_r, q_r} [r]_{p_r, q_r}} \left( [1 + 2\eta]_{p_r, q_r} + \frac{1}{q_r [r]_{p_r, q_r}} \right) \sup_{y \geq 0} \frac{y}{1 + y^2} \\ &+ \frac{1}{[3]_{p_r, q_r} q_r^2 [r]_{p_r, q_r}^2} \sup_{y \geq 0} \frac{1}{1 + y^2}, \\ & \lim_{r \rightarrow \infty} \left\| \mathcal{K}_\eta^{p_r, q_r}(g_3; y) - y^2 \right\|_\sigma = 0. \end{aligned} \tag{17}$$

This completes the proof.  $\square$

The modulus of continuity  $\omega_b(g; \delta)$  of the function  $g \in \tilde{C}[0, \infty)$  is defined by:

$$\omega_b(g; \delta) = \sup_{|t-y| \leq \delta; y, t \in [0, b]} |g(t) - g(y)| \tag{18}$$

where  $\tilde{C}[0, \infty)$  denotes the space of uniformly-continuous functions on  $[0, \infty)$ . It is obvious that  $\lim_{\delta \rightarrow 0^+} \omega_b(g; \delta) = 0$  and for  $g \in C[0, \infty)$ :

$$|g(t) - g(y)| \leq \left( \frac{|t - y|}{\delta} + 1 \right) \omega_b(g; \delta). \tag{19}$$

**Theorem 3.** Let  $q_r, p_r$  be the real numbers, with  $q_r \in (0, 1)$  and  $p_r \in (q_r, 1]$  for every integer  $r$ , satisfying  $(q_r) \rightarrow 1$  and  $(p_r) \rightarrow 1$  as  $r \rightarrow \infty$ . Then, for every  $g \in C_\sigma[0, \infty)$ :

$$\left| \mathcal{K}_\eta^{p_r, q_r}(g; y) - g(y) \right| \leq 2 \left( \omega_{b+1}(g; \delta_\eta(y)) + \mathcal{M}_g (1 + b^2) (\delta_\eta(y))^2 \right),$$

where  $\delta_\eta(y) = \sqrt{\mathcal{K}_\eta^{p_r, q_r}(\chi_2; y)}$ ,  $\mathcal{M}_g$  is a constant depending only on  $g$  and  $\mathcal{K}_\eta^{p_r, q_r}(\chi_2; y)$  is defined by Lemma 3; and  $[0, b + 1] \subset [0, \infty), b > 0$ .

**Proof.** Let  $y \in [0, b]$  and  $t > b + 1$ , with  $t > 0$ . Then, for  $\delta > 0$ , we have:

$$|g(t) - g(y)| \leq \omega_{b+1}(g; |t - y|) \leq \left(1 + \frac{|t - y|}{\delta}\right) \omega_{b+1}(g; \delta). \tag{20}$$

By applying the Cauchy–Schwarz inequality and the linearity of  $\mathcal{K}_\eta^{p_r, q_r}$ :

$$\mathcal{K}_{r, \eta}^{p_r, q_r} |g(t) - g(y); y| \leq \left( \left(1 + \frac{1}{\delta} \mathcal{K}_\eta^{p_r, q_r}((t - y)^2; y)\right)^{\frac{1}{2}} \right) \omega_{b+1}(g; \delta). \tag{21}$$

For  $t - y > 1$ , we have:

$$\begin{aligned} |g(t) - g(y)| &\leq \mathcal{M}_g (2 + y^2 + t^2) \\ &\leq \mathcal{M}_g (2 + 3y^2 + 2(t - y)^2) \leq 2\mathcal{M}_g(1 + b^2)(t - y)^2 \\ \mathcal{K}_\eta^{p_r, q_r} (|g(t) - g(y)|; y) &\leq 2\mathcal{M}_g(1 + b^2) \mathcal{K}_\eta^{p_r, q_r} ((t - y)^2; y). \end{aligned} \tag{22}$$

From (21) and (22), we easily see that:

$$\begin{aligned} \left| \mathcal{K}_\eta^{p_r, q_r} (g; y) - g(y) \right| &\leq \mathcal{K}_\eta^{p_r, q_r} |g(t) - g(y); y| \\ &\leq \left( \left(1 + \frac{1}{\delta} \mathcal{K}_\eta^{p_r, q_r}((t - y)^2; y)\right)^{\frac{1}{2}} \right) \omega_{b+1}(g; \delta) \\ &\quad + 2\mathcal{M}_g(1 + b^2) \mathcal{K}_\eta^{p_r, q_r} ((t - y)^2; y) \\ &= \left(1 + \frac{1}{\delta} \mathcal{K}_\eta^{p_r, q_r}(\chi_2; y)\right)^{\frac{1}{2}} \omega_{b+1}(g; \delta) \\ &\quad + 2\mathcal{M}_g(1 + b^2) \mathcal{K}_\eta^{p_r, q_r}(\chi_2; y) \end{aligned}$$

If we choose  $\delta = \delta_\eta(y) = \sqrt{\mathcal{K}_\eta^{p_r, q_r}(\chi_2; y)}$ , then we get our result.  $\square$

For any  $g \in C[0, \infty]$ ,  $\mathcal{L} > 0$ ,  $0 < \nu \leq 1$  and  $\gamma_1, \gamma_2 \in [0, \infty)$ , we recall that:

$$Lip_{\mathcal{L}}(v) = \{g : |g(\gamma_1) - g(\gamma_2)| \leq \mathcal{L} |\gamma_1 - \gamma_2|^\nu\}. \tag{23}$$

**Theorem 4.** Let  $q_r, p_r$  be the real numbers, with  $q_r \in (0, 1)$  and  $p_r \in (q_r, 1]$  for every integer  $r$ , satisfying  $(q_r) \rightarrow 1$  and  $(p_r) \rightarrow 1$  as  $r \rightarrow \infty$ . Then, for each  $g \in Lip_{\mathcal{L}}(v)$ , we have:

$$\left| \mathcal{K}_\eta^{p_r, q_r} (g; y) - g(y) \right| \leq \mathcal{L} (\delta_\eta(y))^\nu,$$

where  $\delta_\eta(y)$  is defined by Theorem 3.

**Proof.** Using Theorem 4, (23), and the well-known Hölder’s inequality, we get:

$$\begin{aligned} \left| \mathcal{K}_\eta^{p_r, q_r}(g; y) - g(y) \right| &\leq \left| \mathcal{K}_{r, \eta}^{p_r, q_r}(g(t) - g(y); y) \right| \\ &\leq \mathcal{K}_\eta^{p_r, q_r}(|g(t) - g(y)|; y) \\ &\leq \mathcal{L} \mathcal{K}_\eta^{p_r, q_r}(|t - y|^v; y) \\ &\leq \mathcal{L} \left( \mathcal{K}_\eta^{p_r, q_r}(g_1; y) \right)^{\frac{2-v}{2}} \left( \mathcal{K}_\eta^{p_r, q_r}(|t - y|^2; y) \right)^{\frac{v}{2}} \\ &= \mathcal{L} \left( \mathcal{K}_\eta^{p_r, q_r}(\chi_2; y) \right)^{\frac{v}{2}}. \end{aligned}$$

This completes the proof of the theorem.  $\square$

We denote:

$$C_B^2[0, \infty) = \{ \psi : \psi \in C_B[0, \infty) \text{ and } \psi', \psi'' \in C_B[0, \infty) \}, \tag{24}$$

$$\|\psi\|_{C_B^2(\mathbb{R}^+)} = \|\psi\|_{C_B[0, \infty)} + \|\psi'\|_{C_B[0, \infty)} + \|\psi''\|_{C_B[0, \infty)}, \tag{25}$$

$$\|\psi\|_{C_B[0, \infty)} = \sup_{y \in [0, \infty)} |\psi(y)|. \tag{26}$$

**Theorem 5.** Let  $q_r, p_r$  be the real numbers, with  $q_r \in (0, 1)$  and  $p_r \in (q_r, 1]$  for every integer  $r$ , satisfying  $(q_r) \rightarrow 1$  and  $(p_r) \rightarrow 1$  as  $r \rightarrow \infty$ . Then:

$$\left| \mathcal{K}_\eta^{p_r, q_r}(\psi; y) - \psi(y) \right| \leq Y_\eta(y) \|\psi\|_{C_B^2[0, \infty)}, \tag{27}$$

where  $Y_\eta(y) = \delta_\eta(y) \left( 1 + \frac{\delta_\eta(y)}{2} \right)$  and  $\delta_\eta(y)$  is defined by Theorem 3.

**Proof.** From the Taylor series expansion for any  $\psi \in C_B^2[0, \infty)$ , we have:

$$\psi(t) = \psi(y) + \psi'(y)(t - y) + \psi''(\varphi) \frac{(t - y)^2}{2} \text{ for } \varphi \in (y, t),$$

$$|\psi(t) - \psi(y)| \leq \mathfrak{A} |t - y| + \frac{1}{2} \Omega (t - y)^2,$$

where:

$$\mathfrak{A} = \sup_{y \in [0, \infty)} |\psi'(y)| = \|\psi'\|_{C_B[0, \infty)} \leq \|\psi\|_{C_B^2[0, \infty)},$$

$$\Omega = \sup_{y \in [0, \infty)} |\psi''(y)| = \|\psi''\|_{C_B[0, \infty)} \leq \|\psi\|_{C_B^2[0, \infty)}.$$

Therefore,

$$|\psi(t) - \psi(y)| \leq \left( |t - y| + \frac{1}{2} (t - y)^2 \right) \|\psi\|_{C_B^2[0, \infty)}.$$

By applying the linearity of  $\mathcal{K}_\eta^{p_r, q_r}$ , we get:

$$\begin{aligned} & \left| \mathcal{K}_\eta^{p_r, q_r}(\psi; y) - \psi(y) \right| \\ & \leq \left( \mathcal{K}_\eta^{p_r, q_r}(|t - y|; y) + \frac{1}{2} \mathcal{K}_\eta^{p_r, q_r}((t - y)^2; y) \right) \|\psi\|_{C_B^2[0, \infty)} \\ & \leq \left( \left( \mathcal{K}_\eta^{p_r, q_r}(\chi_2; y) \right)^{\frac{1}{2}} + \frac{1}{2} \mathcal{K}_\eta^{p_r, q_r}(\chi_2; y) \right) \|\psi\|_{C_B^2[0, \infty)} \\ & = \left( \delta_\eta(y) + \frac{(\delta_\eta(y))^2}{2} \right) \|\psi\|_{C_B^2[0, \infty)}. \end{aligned}$$

This completes the proof of the theorem.  $\square$

Peetre’s  $K$ -functional  $K_2(g; \delta)$  for  $\delta > 0$  (see [32]) is defined by:

$$K_2(g; \delta) = \inf_{\psi \in C_B^2[0, \infty)} \left\{ \left( \delta \|\psi'' + \|g - \psi\|_{C_B[0, \infty)} \right) \right\} \tag{28}$$

for all  $\psi \in C_B^2[0, \infty)$ .

For a given positive constant  $\mathfrak{L} > 0$ :

$$K_2(g; \delta) \leq \mathfrak{L} \omega_2(g; \delta^{\frac{1}{2}}),$$

where the second-order modulus of continuity denoted by  $\omega_2(g; \delta)$  is defined as:

$$\omega_2(g; \delta) = \sup_{0 < h < \delta} \sup_{y \in [0, \infty)} |g(y) + g(y + 2h) - 2g(y + h)|. \tag{29}$$

**Theorem 6.** Let  $q_r, p_r$  be the real numbers, with  $q_r \in (0, 1)$  and  $p_r \in (q_r, 1]$  for every integer  $r$ , satisfying  $(q_r) \rightarrow 1$  and  $(p_r) \rightarrow 1$  as  $r \rightarrow \infty$ . Then, for all  $g \in C_B[0, \infty)$ , we have:

$$\begin{aligned} & \left| \mathcal{K}_\eta^{p_r, q_r}(g; y) - g(y) \right| \\ & \leq 2\mathcal{A} \left\{ \omega_2 \left( g; \sqrt{\frac{Y_\eta(y)}{2}} \right) + \min \left( 1; \frac{Y_\eta(y)}{2} \right) \|g\|_{C_B[0, \infty)} \right\}, \end{aligned}$$

where  $\mathcal{A}$  is a positive constant and  $Y_\eta(y)$  is given in Theorem 5.

**Proof.** We take  $\psi \in C_B^2[0, \infty)$  and apply Theorem (5). Thus:

$$\begin{aligned} \left| \mathcal{K}_\eta^{p_r, q_r}(g; y) - g(y) \right| & \leq \left| \mathcal{K}_\eta^{p_r, q_r}(g - \psi; y) \right| + \left| \mathcal{K}_\eta^{p_r, q_r}(\psi; y) - \psi(y) \right| + |g(y) - \psi(y)| \\ & \leq 2 \|g - \psi\|_{C_B[0, \infty)} + Y_\eta(y) \|\psi\|_{C_B^2[0, \infty)} \\ & = 2 \left( \|g - \psi\|_{C_B[0, \infty)} + \frac{Y_\eta(y)}{2} \|\psi\|_{C_B^2[0, \infty)} \right). \end{aligned}$$

By taking the infimum over all  $\psi \in C_B^2[0, \infty)$  and using (28), we get:

$$\left| \mathcal{K}_\eta^{p_r, q_r}(g; y) - g(y) \right| \leq 2K_2 \left( g; \frac{Y_\eta(y)}{2} \right).$$

Now, from [33] for all  $g \in C_B[0, \infty)$ , we have the relation:

$$K_2(g; \delta) \leq \mathcal{A} \{ \min(1; \delta) + \omega_2(g; \sqrt{\delta}) \|g\|_{C_B[0, \infty)} \},$$

where  $\mathcal{A} > 0$  is an absolute constant. If we choose  $\delta = \frac{Y_\eta(y)}{2}$ , then we get the desired result.  $\square$

#### 4. Conclusions

In this paper, we have studied the approximation results via Dunkl generalization of the Szász–Kantorovich operators in  $(p, q)$ -calculus. These types of modifications enable us to generalize error estimation rather than the classical and  $q$ -calculus on the interval  $[0, \infty)$  obtained in [29]. We have also proven the Korovkin-type results and obtained the convergence of our operators in weighted space by the modulus of continuity, Lipschitz class, and Peetre’s  $K$ -functionals. We have a more generalized version of the operators [29,30], and if we take  $\eta = 0$  in (8), then the operators  $\mathcal{K}_\eta^{p,q}$  reduce to the operators defined by [30].

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# Starlike Functions Related to the Bell Numbers

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**Abstract:** The present paper aims to establish the first order differential subordination relations between functions with a positive real part and starlike functions related to the Bell numbers. In addition, several sharp radii estimates for functions in the class of starlike functions associated with the Bell numbers are determined.

**Keywords:** differential subordination; starlike functions; Bell numbers; radius estimate

**MSC:** 30C45; 30C55; 30C80

## 1. Introduction

Let  $\mathcal{A}$  be a class of analytic functions  $f$  in the open unit disk  $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$  and normalized by the conditions  $f(0) = 0$  and  $f'(0) = 1$ . Suppose  $\mathcal{S}$  is a subclass of  $\mathcal{A}$  consisting of univalent functions. An analytic function  $f$  is subordinate to  $g$ , written as  $f \prec g$ , if there exists an analytic function  $w : \mathbb{D} \rightarrow \mathbb{D}$  with  $|w(z)| \leq |z|$  such that  $f(z) = g(w(z))$  ( $z \in \mathbb{D}$ ). Moreover, if  $g$  is univalent in  $\mathbb{D}$ , then the equivalent conditions for subordination can be written as  $f(0) = g(0)$  and  $f(\mathbb{D}) \subseteq g(\mathbb{D})$ . By imposing some geometric and analytic conditions over the functions in the class  $\mathcal{S}$ , many authors considered several subclasses of  $\mathcal{S}$ . Various subclasses of starlike and convex functions were studied in the literature, and they can be unified by considering an analytic univalent function  $\varphi$  with a positive real part in  $\mathbb{D}$ , symmetric about the real axis and starlike with respect to  $\varphi(0) = 1$ , and  $\varphi'(0) > 0$ . Ma and Minda [1] studied the class

$$\mathcal{S}^*(\varphi) := \left\{ f \in \mathcal{A} : \frac{zf'(z)}{f(z)} \prec \varphi(z) \right\}.$$

The class  $\mathcal{S}^*(\varphi)$  for various choice of the domain  $\varphi(\mathbb{D})$  was considered in recent years. The class  $\mathcal{S}^*[A, B] := \mathcal{S}^*((1 + Az)/(1 + Bz))$  ( $-1 \leq B < A \leq 1$ ) was introduced by Janowski [2]. For  $0 \leq \alpha \leq 1$ , the class  $\mathcal{S}^*(\alpha) := \mathcal{S}^*[1 - 2\alpha, -1]$  is the class of starlike functions of order  $\alpha$ . Uralegaddi et al. [3] defined the class

$$\mathcal{M}(\beta) := \left\{ f \in \mathcal{A} : \operatorname{Re} \left( \frac{zf'(z)}{f(z)} \right) < \beta \quad (\beta > 1) \right\} = \mathcal{S}^* \left( \frac{1 + (1 - 2\beta)z}{1 - z} \right).$$

Several authors considered various special cases of the class of Janowski starlike functions by considering some specific functions, namely  $\varphi_q(z) := z + \sqrt{1 + z^2}$ ,  $\varphi_0(z) := 1 +$

$(z/k)((k+z)/(k-z))$  ( $k = \sqrt{2} + 1$ ),  $\varphi_s(z) := 1 + \sin z$ , and  $G_\alpha(z) := 1 + z/(1 - \alpha z^2)$ . Some of those classes are:  $\mathcal{S}_L^* := \mathcal{S}^*(\sqrt{1+z})$  [4],  $\mathcal{S}_q^* := \mathcal{S}^*(\varphi_q(z))$  [5],  $\mathcal{S}_e^* = \mathcal{S}^*(e^z)$  [6],  $\mathcal{S}_R^* = \mathcal{S}^*(\varphi_0)$  [7],  $\mathcal{S}_s^* = \mathcal{S}^*(\varphi_s)$  [8],  $\mathcal{BS}^*(\alpha) := \mathcal{S}^*(G_\alpha(z))$ ,  $0 \leq \alpha < 1$  [9,10]. For a brief survey on these classes, readers may refer to [11,12].

It should be noted that the special cases of  $\varphi$ , mentioned above, are univalent in the unit disk. In 2011, Dziok et al. [13,14] considered  $\varphi$  to be a non-univalent function associated with the Fibonacci numbers, defined by

$$\tilde{p}(z) := \varphi(z) = \frac{1 + \tau^2 z^2}{1 - \tau z - \tau^2 z^2}, \quad \tau := (1 - \sqrt{5}) / 2$$

which maps the unit disk  $\mathbb{D}$  on to a shell-like domain in the right-half plane. Further, they defined the class  $\mathcal{S}_\tau^* := \{f \in \mathcal{A} : z f'(z) / f(z) \prec \tilde{p}(z)\}$ . The functions  $f \in \mathcal{S}_\tau^*$  are starlike of order  $\sqrt{5}/10$ .

Motivated by the above defined classes, we consider a function associated with the Bell Numbers. For a fixed non-negative integer  $n$ , the Bell numbers  $B_n$  count the possible disjoint partitions of a set with  $n$  elements into non-empty subsets or, equivalently, the number of equivalence relations on it. The Bell numbers  $B_n$  satisfy a recurrence relation involving binomial coefficients  $B_{n+1} = \sum_{k=0}^n \binom{n}{k} B_k$ . Clearly  $B_0 = B_1 = 1, B_2 = 2, B_3 = 5, B_4 = 15, B_5 = 52$ , and  $B_6 = 203$ . For more details, see [15–21]. Kumar et al. [22] considered the function

$$Q(z) := e^{e^z - 1} = \sum_{n=0}^{\infty} B_n \frac{z^n}{n!} = 1 + z + z^2 + \frac{5}{6} z^3 + \frac{5}{8} z^4 + \dots \quad (z \in \mathbb{D})$$

which is starlike with respect to 1 and its coefficients generate the Bell numbers. Kumar et al. [22] defined the class  $\mathcal{S}_B^*$  by  $\mathcal{S}_B^* := \mathcal{S}^*(Q)$ . From [1], note that the function  $f \in \mathcal{S}_B^*$  if and only if there exists an analytic function  $q$ , satisfying  $q(z) \prec Q(z)$  ( $z \in \mathbb{D}$ ), such that

$$f(z) = I(q(z)) = z \exp \left( \int_0^z \frac{q(t) - 1}{t} dt \right).$$

The above representation shows that the functions in the class  $\mathcal{S}_B^*$  can be seen as an integral transform  $I(q(z))$  of the function  $q$  with  $f(0) = 0$  and  $f'(0) = 1$ . The reader may refer to the paper [23] and the references cited therein for integral transform related works. The authors in [22] determined sharp coefficient bounds on the six initial coefficients, Hankel determinant, and on the first three consecutive higher order Schwarzian derivatives for functions in the class  $\mathcal{S}_B^*$ .

Let  $\mathcal{P}$  be the class of analytic functions  $p : \mathbb{D} \rightarrow \mathbb{C}$  with  $p(0) = 1$  and  $\text{Re } p(z) > 0$  ( $z \in \mathbb{D}$ ). In 1989, Nunokawa et al. [24] showed that if  $1 + zp'(z) \prec 1 + z$ , then  $p(z) \prec 1 + z$ . In 2007, Ali et al. [25] computed the condition on  $\beta$ , in each case, for which

$$1 + \frac{\beta z p'(z)}{p^j(z)} \prec \frac{1 + Dz}{1 + Ez} \quad (j = 0, 1, 2) \text{ implies } p(z) \prec \frac{1 + Az}{1 + Bz},$$

$A, B, C, D, E, F \in [-1, 1]$ . Further, Ali et al. [26] determined some sufficient conditions for normalized analytic functions to lemniscate starlike functions. Recently, Kumar and Ravichandran [27] obtained sufficient conditions for first order differential subordinations so that the corresponding analytic function belongs to the class  $\mathcal{P}$ . In 2016, Tuneski [28] gave a criteria for analytic functions to be Janowski starlike. For more details, see [11,29–33].

Motivated by above works, in Section 2, using the theory of differential subordination developed by Miller and Mocanu, a sharp bound on parameter  $\beta$  is determined in each case so that  $p(z) \prec Q(z)$ , whenever  $1 + \beta z p'(z) / p^j(z)$  ( $j = 0, 1, 2$ ) is subordinate to the function  $\varphi_0(z)$  or  $\sqrt{1+z}$  or  $G_\alpha(z)$  or  $(1 + Az) / (1 + Bz)$  or  $\varphi_s(z)$  or  $\varphi_q(z)$ . Further, various sufficient conditions are obtained for  $f \in \mathcal{A}$  to be in the class  $\mathcal{S}_B^*$  as an application of these subordination results. In Section 3,  $\mathcal{S}_B^*$ -radius for the class of Janowski starlike functions and some other well-known classes of analytic functions are investigated.

## 2. Differential Subordinations

Theorem 1 provides estimate on  $\beta$  so that  $p(z) \prec Q(z)$  holds, whenever  $1 + \beta zp'(z) \prec \varphi_0(z)$  or  $\varphi_s(z)$  or  $\sqrt{1+z}$  or  $G_\alpha(z)$  or  $(1 + Az)/(1 + Bz)$  or  $\varphi_s(z)$  or  $\varphi_q(z)$  or  $e^z$ .

To prove our main results, we need the following lemma due to Miller and Mocanu:

**Lemma 1.** ([32] Theorem 3.4h, p. 132) *Let  $q$  be analytic in  $\mathbb{D}$  and let  $\psi$  and  $v$  be analytic in a domain  $U$  containing  $q(\mathbb{D})$  with  $\psi(w) \neq 0$  when  $w \in q(\mathbb{D})$ . Set*

$$Q(z) := zq'(z)\psi(q(z)) \text{ and } h(z) := v(q(z)) + Q(z).$$

Suppose that

- (i) either  $h$  is convex, or  $Q$  is starlike univalent in  $\mathbb{D}$  and
- (ii)  $\operatorname{Re} \left( \frac{zh'(z)}{Q(z)} \right) > 0$  for  $z \in \mathbb{D}$ .

If  $p$  is analytic in  $\mathbb{D}$ , with  $p(0) = q(0)$ ,  $p(\mathbb{D}) \subseteq U$  and

$$v(p(z)) + zp'(z)\psi(p(z)) \prec v(q(z)) + zq'(z)\psi(q(z)),$$

then  $p \prec q$ , and  $q$  is most dominant.

**Theorem 1.** *Let  $l(e) = (1 - e^{(1-e)/e})^{-1}$ ,  $0 < \alpha < 1$ ,  $0 < B < A < 1$ , and  $p$  be an analytic function defined in  $\mathbb{D}$  with  $p(0) = 1$ .*

Set

$$Y_\beta(z, p(z)) = 1 + \beta zp'(z).$$

Then, the following are sufficient for  $p(z) \prec Q(z)$ .

- (a)  $Y_\beta(z, p(z)) \prec \varphi_0(z)$  for  $\beta \geq l(e)(1 - \sqrt{2} + \log 2) \approx 0.59533$ .
- (b)  $Y_\beta(z, p(z)) \prec \sqrt{1+z}$  for  $\beta \geq l(e)(2(1 - \log 2)) \approx 1.30984$ .
- (c)  $Y_\beta(z, p(z)) \prec G_\alpha(z)$  for  $\beta \geq l(e) \frac{1}{2\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}}$ .
- (d)  $Y_\beta(z, p(z)) \prec \frac{1+Az}{1+Bz}$  for  $\beta \geq l(e) \frac{A-B}{B} \log(1-B)^{-1}$ .
- (e)  $Y_\beta(z, p(z)) \prec \varphi_s(z)$  for  $\beta \geq l(e) \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!(2n+1)} \approx 2.01905$ .
- (f)  $Y_\beta(z, p(z)) \prec \varphi_q(z)$  for  $\beta \geq l(e)(2 - \sqrt{2} - \log 2 + \log(1 + \sqrt{2})) \approx 1.65198$ .
- (g)  $Y_\beta(z, p(z)) \prec e^z$  for  $\beta \geq l(e) \sum_{n=0}^{\infty} \frac{(-1)^{n-1}}{n!n} \approx 0.785166$ .

The lower bound on  $\beta$  in each case is sharp.

**Proof.** Let the functions  $v$  and  $\psi$  be defined by  $v(w) = 1$  and  $\psi(w) = \beta$ .

(a) Define the function  $q_\beta : \mathbb{D} \rightarrow \mathbb{C}$  by

$$q_\beta(z) = 1 - \frac{1}{\beta k} \left( z + 2k \log \left( 1 - \frac{z}{k} \right) \right)$$

is a solution of the differential equation  $\beta zq'(z) = \varphi_0(z) - 1$  and is analytic in  $\mathbb{D}$ . Now consider the function

$$Q(z) = zq'_\beta(z)\psi(q_\beta(z)) = \varphi_0(z) - 1 = \frac{k+z-2k^2}{k-z}.$$

It can be easily seen that  $Q$  is starlike in  $\mathbb{D}$  and the function  $h$  is defined by

$$h(z) := v(q(z)) + Q(z) = 1 + Q(z)$$

satisfies the following inequality

$$\operatorname{Re} \left( \frac{zh'(z)}{Q(z)} \right) = \operatorname{Re} \left( \frac{zQ'(z)}{Q(z)} \right) > 0 \quad (z \in \mathbb{D}).$$

Therefore, from Lemma 1, we conclude that

$$1 + \beta zp'(z) \prec 1 + \beta zq'_\beta(z) \text{ implies } p \prec q_\beta. \tag{1}$$

Now the subordination  $p \prec Q$  holds if subordination  $q_\beta \prec Q$ . Thus, the subordination  $q_\beta \prec Q$  holds if the inequalities

$$Q(-1) \leq q_\beta(-1) \leq q_\beta(1) \leq Q(1)$$

hold and these yield a necessary condition for subordination  $p \prec Q$  to hold. In view of the graph of the respective function, the necessary condition is also sufficient condition. The inequalities  $q_\beta(-1) \geq Q(-1)$  and  $q_\beta(1) \leq Q(1)$  yield  $\beta \geq \beta_1$  and  $\beta \geq \beta_2$ , where

$$\beta_1 = \frac{1 - \sqrt{2} + \log 2}{1 - e^{(1-e)/e}} \quad \text{and} \quad \beta_2 = \frac{1 - \sqrt{2} - 2 \log(2 - \sqrt{2})}{e^{(e-1)/e} - 1}.$$

Now the subordination  $q_\beta \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ .

(b) The function

$$q_\beta(z) = \frac{\beta + 2(\sqrt{1+z} - \log(1 + \sqrt{1+z}) + \log 2 - 1)}{\beta}$$

is an analytic solution of the first order differential equation  $\beta zq'(z) = \sqrt{1+z} - 1$  in  $\mathbb{D}$ . The function  $Q$  defined by  $Q(z) = zq'_\beta(z)\psi(q_\beta(z)) = \sqrt{1+z} - 1$  is starlike in  $\mathbb{D}$  and the function  $h(z) := v(q(z)) + Q(z)$  satisfies  $\operatorname{Re}(zh'(z)/Q(z)) = \operatorname{Re}(zQ'(z)/Q(z)) > 0, z \in \mathbb{D}$ . Therefore, in view of the subordination relation 1, the required subordination  $p \prec Q$  holds if subordination  $q_\beta \prec Q$  holds. Thus, the subordination  $q_\beta \prec Q$  holds if the inequalities

$$Q(-1) \leq q_\beta(-1) \leq q_\beta(1) \leq Q(1)$$

hold which in-turn yield a necessary condition for subordination  $p \prec Q$ . The inequalities  $q_\beta(-1) \geq Q(-1)$  and  $q_\beta(1) \leq Q(1)$  yield  $\beta \geq \beta_1 = 2(1 - \log 2)/1 - e^{(1-e)/e}$  and  $\beta \geq \beta_2 = 2(\sqrt{2} - 1 + \log 2 - \log(1 + \sqrt{2}))/ (e^{(1-e)/e} - 1)$ , respectively. Therefore, the subordination  $q_\beta \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ .

(c) The analytic function

$$q_\beta(z) = \frac{2\sqrt{\alpha}\beta + \log \frac{1+\sqrt{\alpha}z}{1-\sqrt{\alpha}z}}{2\sqrt{\alpha}\beta}$$

is a solution of the differential equation  $\beta zq'_\beta(z) = G_\alpha(z) - 1$  in  $\mathbb{D}$ . Now computation shows that

$$Q(z) = zq'_\beta(z)\psi(q_\beta(z)) = \frac{z}{1 - \alpha z^2}$$

is starlike in  $\mathbb{D}$ . Note that the function  $h(z) := v(q(z)) + Q(z) = 1 + Q(z)$  satisfies  $\operatorname{Re}(zh'(z)/Q(z)) = \operatorname{Re}(zQ'(z)/Q(z)) > 0$  in  $\mathbb{D}$ . Therefore, in view of the subordination relation 1, the required subordination  $p \prec Q$  holds if subordination  $q_\beta \prec Q$ . Similar to as in part (a), the desired subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where  $\beta_1 = l(e)g(\alpha)$  and  $\beta_2 = -l(e)g(\alpha)$  such that

$$g(\alpha) = \frac{1}{2\sqrt{\alpha}} \log \frac{1 + \sqrt{\alpha}}{1 - \sqrt{\alpha}}.$$

(d) Consider the analytic function

$$q_\beta(z) = \frac{B\beta + (A - B) \log(1 + Bz)}{B\beta}$$

which is a solution of differential equation

$$\beta z q'(z) = \frac{(A - B)z}{1 + Bz}.$$

Since the function  $(A - B)z/(1 + Bz)$  is starlike in  $\mathbb{D}$ , it follows that  $Q(z) = zq'_\beta(z)\psi(q_\beta(z))$  is starlike in  $\mathbb{D}$ . The function  $h : \mathbb{D} \rightarrow \mathbb{C}$  defined by  $h(z) := v(q_\beta(z)) + Q(z) = 1 + Q(z)$  satisfies  $\text{Re}(zh'(z)/Q(z)) > 0$  ( $z \in \mathbb{D}$ ). Thus, as in previous case, the subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where

$$\beta_1 = \frac{(A - B) \log(1 - B)^{-1}}{B(1 - e^{(1-e)/e})} \quad \text{and} \quad \beta_2 = \frac{(A - B) \log(1 + B)}{B(e^{(1-e)/e} - 1)}.$$

(e) The differential equation

$$\frac{dq}{dz} = \frac{\sin z}{\beta z}$$

has an analytic solution

$$q_\beta(z) = 1 + \frac{1}{\beta} \sum_{n=0}^{\infty} \frac{(-1)^n z^{2n+1}}{(2n+1)!(2n+1)}$$

in  $\mathbb{D}$ . Now the function  $Q(z) = zq'_\beta(z)\psi(q_\beta(z)) = \sin z$  is starlike in  $\mathbb{D}$  and the function  $h(z) := v(q(z)) + Q(z) = 1 + Q(z)$ , satisfies  $\text{Re}(zh'(z)/Q(z)) = \text{Re}(zQ'(z)/Q(z)) > 0$  holds. As in part (a), the desired subordination  $p(z) \prec Q(z)$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where

$$\beta_1 = \frac{1}{(1 - e^{(1-e)/e})} \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!(2n+1)} \approx 2.01905$$

and

$$\beta_2 = \frac{1}{(e^{(e-1)} - 1)} \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!(2n+1)} \approx 0.206779.$$

(f) The differential equation

$$\frac{dq}{dz} = \frac{z + \sqrt{1 + z^2} - 1}{\beta z}$$

has an analytic solution

$$q_\beta(z) = \frac{\beta + (z + \sqrt{1 + z^2} - \log(1 + \sqrt{1 + z^2}) - 1 + \log 2)}{\beta}.$$

Computation shows that the function

$$Q(z) = zq'_\beta(z)\psi(q_\beta(z)) = z + \sqrt{1 + z^2} - 1$$

is starlike in  $\mathbb{D}$ . As before, the function  $h(z) := v(q(z)) + Q(z)$  satisfies  $\text{Re}(zh'(z)/Q(z)) > 0$ ,  $z \in \mathbb{D}$ . Therefore, the desired subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where

$$\beta_1 = \frac{2 - \sqrt{2} - \log 2 + \log(1 + \sqrt{2})}{1 - e^{(1-e)/e}} \approx 1.65198$$

and

$$\beta_2 = \frac{\sqrt{2} + \log 2 - \log(1 + \sqrt{2})}{e^{(1-e)/e} - 1} \approx 0.267979.$$

(g) The differential equation

$$\frac{dq}{dz} = \frac{e^z - 1}{\beta z}$$

has an analytic solution

$$q_\beta(z) = 1 + \frac{1}{\beta} \sum_{n=0}^{\infty} \frac{z^n}{n!n}.$$

Note that the function  $Q(z) = zq'_\beta(z)\psi(q_\beta(z)) = e^z$  is starlike in the unit disk  $\mathbb{D}$  and the function  $h(z) := v(q(z)) + Q(z) = 1 + Q(z)$  satisfies  $\text{Re}(zh'(z)/Q(z)) = \text{Re}(zQ'(z)/Q(z)) > 0$ . Now the subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where

$$\beta_1 = \frac{1}{(1 - e^{(1-e)/e})} \sum_{n=0}^{\infty} \frac{(-1)^{n-1}}{n!n} \approx 0.785166 \quad \text{and} \quad \beta_2 = \frac{1}{(e^{(e-1)} - 1)} \sum_{n=0}^{\infty} \frac{1}{n!n} \approx 0.288069.$$

This ends the proof.  $\square$

Theorem 1 also provides the following various sufficient conditions for the normalized analytic functions  $f$  to be in the class  $\mathcal{S}_B^*$ .

Let function  $f \in \mathcal{A}$  and set

$$Y_\beta \left( z, \frac{zf'(z)}{f(z)} \right) = 1 + \beta \frac{zf'(z)}{f(z)} \left( 1 - \frac{zf'(z)}{f(z)} + \frac{zf''(z)}{f'(z)} \right).$$

If either of the following subordination holds

- (a)  $Y_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_0(z)$  ( $\beta \geq 0.59533$ ),
- (b)  $Y_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \sqrt{1+z}$  ( $\beta \geq 1.30984$ ),
- (c)  $Y_\beta \left( z, \frac{f'(z)}{f(z)} \right) \prec G_\alpha(z)$  ( $\beta \geq \frac{1}{(1-e^{(1-e)/e})} \frac{1}{2\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}}$ ),
- (d)  $Y_\beta \left( z, \frac{f'(z)}{f(z)} \right) \prec \frac{1+Az}{1+Bz}$  ( $\beta \geq \frac{1}{(1-e^{(1-e)/e})} \frac{A-B}{B} \log(1-B)^{-1}$ ),
- (e)  $Y_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_s(z)$  ( $\beta \geq 2.01905$ ),
- (f)  $Y_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_q(z)$  ( $\beta \geq 1.65198$ ),
- (g)  $Y_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec e^z$  ( $\beta \geq 0.785166$ ),

then  $f \in \mathcal{S}_B^*$ .

The next result gives sharp lower bound on  $\beta$  such that subordination  $p \prec Q$  holds, whenever  $1 + \beta zp'(z)/p(z) \prec \varphi_0(z)$  or  $\varphi_s(z)$  or  $\sqrt{1+z}$  or  $G_\alpha(z)$  or  $(1+Az)/(1+Bz)$  or  $\varphi_s(z)$  or  $\varphi_q(z)$  or  $e^z$ .

**Theorem 2.** Let  $0 < \alpha < 1$ ,  $0 < B < A < 1$ , and  $p$  be an analytic function defined in  $\mathbb{D}$  with  $p(0) = 1$ .

Set

$$\Omega_\beta(z, p(z)) = 1 + \beta \frac{zp'(z)}{p(z)}.$$

Then, the following conditions are sufficient for subordination  $p \prec Q$ .

- (a)  $\Omega_\beta(z, p(z)) \prec \varphi_0(z)$  for  $\beta \geq \frac{e(2(1+\sqrt{2})\log\sqrt{2}-1)}{(e-1)(1+\sqrt{2})} \approx 0.441266$ .
- (b)  $\Omega_\beta(z, p(z)) \prec \sqrt{1+z}$  for  $\beta \geq \frac{2e(1-\log 2)}{e-1} \approx 0.970868$ .
- (c)  $\Omega_\beta(z, p(z)) \prec G_\alpha(z)$  for  $\beta \geq \frac{e}{2(e-1)\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}}$ .
- (d)  $\Omega_\beta(z, p(z)) \prec \frac{1+Az}{1+Bz}$  for  $\beta \geq \frac{e}{B(e-1)}(A-B) \log(1-B)^{-1}$ .

- (e)  $\Omega_\beta(z, p(z)) \prec \varphi_s(z)$  for  $\beta \geq \frac{e}{e-1} \sum_{n=0}^\infty \frac{(-1)^n}{(2n+1)!(2n+1)} \approx 1.49655$ .
- (f)  $\Omega_\beta(z, p(z)) \prec \varphi_q(z)$  for  $\beta \geq \frac{e}{e-1} (2 - \sqrt{2} + \log(1 + \sqrt{2}) - \log 2) \approx 1.22447$ .
- (g)  $\Omega_\beta(z, p(z)) \prec e^z$  for  $\beta \geq \frac{1}{e-1} \sum_{n=0}^\infty \frac{1}{n!n} \approx 0.766987$ .

The lower bound on  $\beta$  in each case is sharp.

**Proof.** Let us define  $v(w) = 1$  and  $\psi(w) = \beta/w$  for all  $w \in \mathbb{C}$ .

(a) The function

$$q_\beta(z) = \exp\left(-\frac{1}{\beta k} \left(z + 2k \log\left(1 - \frac{z}{k}\right)\right)\right)$$

satisfies the differential equation  $\beta z q'(z)/q(z) = \varphi_0(z) - 1$ . Clearly, the function  $\mathcal{Q} : \mathbb{D} \rightarrow \mathbb{D}$  defined by  $\mathcal{Q}(z) = z q'_\beta(z) \psi(q_\beta(z)) = (z - 2k^2 + k)/(k - z)$  is starlike in  $\mathbb{D}$ . Further, the function  $h(z) := v(q_\beta(z)) + \mathcal{Q}(z)$  satisfies  $\operatorname{Re}(zh'(z)/\mathcal{Q}(z)) > 0$  ( $z \in \mathbb{D}$ ). Thus, using Lemma 1, it follows that

$$1 + \beta \frac{z p'(z)}{p(z)} \prec 1 + \beta \frac{z q'_\beta(z)}{q_\beta(z)} \text{ implies } p \prec q_\beta. \tag{2}$$

Now using Theorem 1 (a), the subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where

$$\beta_1 = \frac{(-1 + 2(1 + \sqrt{2}) \log \sqrt{2})e}{(e - 1)(1 + \sqrt{2})}$$

and

$$\beta_2 = -\frac{(1 + 2(1 + \sqrt{2}) \log(2 - \sqrt{2}))}{(e - 1)(1 + \sqrt{2})}.$$

(b) The function

$$q_\beta(z) = \exp\left(\frac{2}{\beta} \left(\sqrt{1+z} - \log(1 + \sqrt{1+z}) + \log 2 - 1\right)\right)$$

is a solution of the differential equation

$$\beta \frac{z q'(z)}{q(z)} = \sqrt{1+z} - 1.$$

Moreover, the function  $\mathcal{Q}(z) = z q'_\beta(z) \psi(q_\beta(z)) = \sqrt{1+z} - 1$  is starlike in  $\mathbb{D}$  and a computation shows that the function  $h(z) := v(q_\beta(z)) + \mathcal{Q}(z)$  satisfies  $\operatorname{Re}(zh'(z)/\mathcal{Q}(z)) > 0$  ( $z \in \mathbb{D}$ ). Now the desired subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where  $\beta_1 = 2e(1 - \log 2)/(e - 1)$  and  $\beta_2 = 2(-1 + \sqrt{2} + \log 2 - \log(1 + \sqrt{2}))/e - 1$ .

(c) Consider the function  $q_\beta$  defined by

$$q_\beta(z) = \exp\left(\frac{1}{2\sqrt{\alpha}\beta} \log \frac{1 + \sqrt{\alpha z}}{1 - \sqrt{\alpha z}}\right).$$

It can be verified that the function  $q_\beta$  is a solution of the differential equation

$$\beta \frac{z q'(z)}{q(z)} = \frac{1}{1 - \alpha z^2}.$$

Now the function  $\mathcal{Q}(z) = z q'_\beta(z) \psi(q_\beta(z)) = 1/(1 - \alpha z^2)$  is starlike in  $\mathbb{D}$  and the function  $h(z) := v(q_\beta(z)) + \mathcal{Q}(z)$  satisfies  $\operatorname{Re}(zh'(z)/\mathcal{Q}(z)) > 0$  ( $z \in \mathbb{D}$ ). Now, as in previous cases,  $p \prec Q$  holds only if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where

$$\beta_1 = \frac{e}{2(e-1)\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}} \text{ and } \beta_2 = \frac{1}{2(e-1)\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}}.$$

(d) Let the function  $q_\beta(z) = \exp((A-B)\log(1+Bz)/\beta B)$  be an analytic solution of the differential equation

$$1 + \beta \frac{zq'(z)}{q(z)} = \frac{1 + Az}{1 + Bz}.$$

Now the desired subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where  $\beta_1 = e(A-B)\log(1-B)^{-1}/B(e-1)$  and  $\beta_2 = e(A-B)\log(1+B)/B(e-1)$ .

(e) The differential equation  $\beta zq'(z)/q(z) = \sin z$  has an analytic solution given by

$$q_\beta(z) = \exp\left(\frac{1}{\beta} \sum_{n=0}^{\infty} \frac{(-1)^n z^{2n+1}}{(2n+1)!(2n+1)}\right).$$

As in part Theorem 2 (a), the subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$  where

$$\beta_1 = \frac{e}{e-1} \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!(2n+1)} \approx 1.49655$$

and

$$\beta_2 = \frac{1}{e-1} \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!(2n+1)} \approx 0.55055.$$

(f) The solution of the differential equation

$$\frac{dq}{dz} = \frac{z + \sqrt{1+z^2} - 1}{\beta z}$$

is given by

$$q_\beta(z) = \exp\left(\frac{z + \sqrt{1+z^2} - \log(1 + \sqrt{1+z^2}) - 1 + \log 2}{\beta}\right).$$

As in proof of Theorem 2 (a), the desired result holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_1$ , where  $\beta_1 = e(2 - \sqrt{2} + \log(1 + \sqrt{2}) - \log 2)/(e - 1)$  and  $\beta_2 = (\sqrt{2} - \log(1 + \sqrt{2}) + \log 2)/(e - 1)$ .

(g) The differential equation  $\beta zq'(z)/q(z) = e^z - 1$  has a solution

$$q_\beta(z) = \exp\left(\frac{1}{\beta} \sum_{n=1}^{\infty} \frac{z^n}{n!n}\right)$$

analytic in  $\mathbb{D}$ . Thus, as previous, the subordination  $p \prec Q$  holds if  $\beta \geq \max\{\beta_1, \beta_2\} = \beta_2$ , where

$$\beta_1 = \frac{e}{e-1} \sum_{n=0}^{\infty} \frac{(-1)^{n-1}}{n!n} \approx 0.581976 \text{ and } \beta_2 = \frac{1}{e-1} \sum_{n=0}^{\infty} \frac{1}{n!n} \approx 0.766987.$$

This ends the proof.  $\square$

Next, Theorem 2 also provides the following various sufficient conditions for the normalized analytic functions  $f$  to be in the class  $\mathcal{S}_\beta^*$ . Let the function  $f \in \mathcal{A}$  and set

$$\Omega_\beta\left(z, \frac{zf'(z)}{f(z)}\right) = 1 + \beta \left(1 - \frac{zf'(z)}{f(z)} + \frac{zf''(z)}{f'(z)}\right).$$

If either of the following subordination conditions are fulfilled:



- (a)  $\Omega_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_0(z) \ (\beta \geq 0.441266),$
- (b)  $\Omega_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \sqrt{1+z} \ (\beta \geq 0.970868),$
- (c)  $\Omega_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec G_\alpha(z) \ (\beta \geq \frac{e}{2(e-1)\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}}),$
- (d)  $\Omega_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \frac{1+Az}{1+Bz} \ (\beta \geq \frac{e}{B(e-1)}(A-B) \log(1-B)^{-1}),$
- (e)  $\Omega_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_s(z) \ (\beta \geq 1.49655),$
- (f)  $\Omega_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_q(z) \ (\beta \geq 1.22447),$
- (g)  $\Omega_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec e^z \ (\beta \geq 0.766987),$

then  $f \in \mathcal{S}_B^*$ .

In the following theorem, the sharp lower bound on  $\beta$  is obtained so that the subordination  $p \prec Q$  holds, whenever  $1 + \beta zp'(z)/p^2(z) \prec \varphi_0(z)$  or  $\varphi_s(z)$  or  $\sqrt{1+z}$  or  $G_\alpha(z)$  or  $(1+Az)/(1+Bz)$  or  $\varphi_s(z)$  or  $\varphi_q(z)$  or  $e^z$ . These results can be proved by defining the functions  $\nu, \psi : \mathbb{D} \rightarrow \mathbb{D}$  defined by  $\nu(w) = 1$  and  $\psi(w) = \beta/w^2$  and proceeding in a similar fashion as in the proofs of Theorems 1 and 2.

**Theorem 3.** Let  $0 < \alpha < 1, 0 < B < A < 1,$  and  $p$  be an analytic function defined in  $\mathbb{D}$  with  $p(0) = 1.$

Set

$$\Xi_\beta(z, p(z)) = 1 + \beta \frac{zp'(z)}{p^2(z)}.$$

Then, the following conditions are sufficient for  $p \prec Q.$

- (a)  $\Xi_\beta(z, p(z)) \prec \varphi_0(z)$  for  $\beta \geq \frac{1+2(\sqrt{2}+1) \log(2-\sqrt{2})}{(1+\sqrt{2})(e^{(1-\epsilon)}-1)} \approx 0.798642.$
- (b)  $\Xi_\beta(z, p(z)) \prec \sqrt{1+z}$  for  $\beta \geq \frac{2(-1+\sqrt{2}+\log 2-\log(1+\sqrt{2}))}{1-e^{(1-\epsilon)}} \approx 0.550768.$
- (c)  $\Xi_\beta(z, p(z)) \prec G_\alpha(z)$  for  $\beta \geq \frac{e^{\epsilon-1}}{e^{\epsilon-1}-1} \frac{1}{2\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}}.$
- (d)  $\Xi_\beta(z, p(z)) \prec \frac{1+Az}{1+Bz}$  for  $\beta \geq \frac{e^{(1-\epsilon)/\epsilon}}{1-e^{(1-\epsilon)/\epsilon}} \frac{(A-B) \log(1-B)^{-1}}{B}.$
- (e)  $\Xi_\beta(z, p(z)) \prec \varphi_s(z)$  for  $\beta \geq \frac{e^{\epsilon-1}}{e^{\epsilon-1}-1} \sum_{n=0}^\infty \frac{(-1)^n}{(2n+1)!(2n+1)} \approx 1.15278.$
- (f)  $\Xi_\beta(z, p(z)) \prec \varphi_q(z)$  for  $\beta \geq \frac{e^{\epsilon-1}}{e^{\epsilon-1}-1} (\sqrt{2} - \log(1+\sqrt{2}) + \log 2) \approx 1.49397.$
- (g)  $\Xi_\beta(z, p(z)) \prec e^z$  for  $\beta \geq \frac{e^{\epsilon-1}}{e^{\epsilon-1}-1} \sum_{n=0}^\infty \frac{1}{n!n} \approx 1.60597.$

The lower bound on  $\beta$  in each case is sharp.

Let  $f \in \mathcal{A}$  and set

$$\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) = 1 + \beta \left( \frac{zf'(z)}{f(z)} \right)^{-1} \left( 1 - \frac{zf'(z)}{f(z)} + \frac{zf''(z)}{f'(z)} \right).$$

If either of the following subordination holds

- (a)  $\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_0(z) \ (\beta \geq 0.798642),$
- (b)  $\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \sqrt{1+z} \ (\beta \geq 0.550768),$
- (c)  $\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec G_\alpha(z) \ (\beta \geq \frac{e^{\epsilon-1}}{e^{\epsilon-1}-1} \frac{1}{2\sqrt{\alpha}} \log \frac{1+\sqrt{\alpha}}{1-\sqrt{\alpha}}),$
- (d)  $\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \frac{1+Az}{1+Bz} \ (\beta \geq \frac{e^{(1-\epsilon)/\epsilon}}{1-e^{(1-\epsilon)/\epsilon}} \frac{(A-B) \log(1-B)^{-1}}{B}),$
- (e)  $\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_s(z) \ (\beta \geq 1.15278),$
- (f)  $\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec \varphi_q(z) \ (\beta \geq 1.49397),$
- (g)  $\Xi_\beta \left( z, \frac{zf'(z)}{f(z)} \right) \prec e^z \ (\beta \geq 1.60597),$

then  $f \in \mathcal{S}_B^*.$

### 3. Radius Estimates

Let  $\theta_1$  and  $\theta_2$  be two sub-families of  $\mathcal{A}$ . The  $\theta_1$  radius of  $\theta_2$  is the largest number  $\rho \in (0, 1)$  such that  $r^{-1}f(rz) \in \theta_1, 0 < r \leq \rho$  for all  $f \in \theta_2$ . Grunsky [34] obtained the radius of starlikeness for functions in the class  $\mathcal{S}$ . Sokół [35] computed the radius of  $\alpha$ -convexity and  $\alpha$ -starlikeness for a class  $\mathcal{S}_L^*$ . In 2016, authors [7] determined the  $\mathcal{S}_R^*$ -radius for various subclasses of starlike functions. For more results on radius problems, see [36–41].

The main technique involved in tackling the  $\mathcal{S}_B^*$ -radius estimates for classes of functions  $f$  is the determination of the disk that contains the values of  $zf'(z)/f(z)$ . The associated technical lemma is achieved as:

**Lemma 2.** Let  $Q(z) := e^{e^z-1}, z \in \mathbb{D}$ . Define the function  $r : [e^{1/e-1}, e^{e-1}] \rightarrow \mathbb{R}^+$  by

$$r(a) := \begin{cases} \frac{ea - e^{1/e}}{e}, & e^{\frac{1}{e}-1} \leq a \leq \frac{e^{1/e} + e^e}{2e}; \\ \frac{e^e - ea}{e}, & \frac{e^{1/e} + e^e}{2e} \leq a \leq e^{e-1}. \end{cases}$$

Then, the following holds:

$$\{w \in \mathbb{C} : |w - a| < r(a)\} \subset \Omega_B \subset \left\{w \in \mathbb{C} : |w - 1| < \frac{e^e - e}{e}\right\}.$$

**Proof.** To prove the assertion, we let  $z = e^{it}, t \in (-\pi, \pi]$ . Therefore,

$$Q(e^{it}) = e^{e^{e^{it}}-1} = u(t) + iv(t)$$

with

$$u(t) := \cos(\sin(\sin t)e^{\cos t}) \exp(e^{\cos t} \cos(\sin t) - 1)$$

and

$$v(t) := \sin(\sin(\sin t)e^{\cos t}) \exp(e^{\cos t} \cos(\sin t) - 1).$$

Now, consider the square of the distance of an arbitrary point  $(u(t), v(t))$  on the boundary of  $\partial Q(\mathbb{D})$  from  $(a, 0)$  and is given by

$$h(t) = d^2(t) = a^2 - 2ae^{e^{\cos t} \cos(\sin t) - 1} \cos(\sin(\sin t)e^{\cos t}) + e^{2e^{\cos t} \cos(\sin t) - 2}.$$

Now we need to prove  $|w - a| < r(a)$  is the largest disk contained in  $Q(\mathbb{D})$ . For this, we need to show that  $\min_{-\pi \leq t \leq \pi} d(t) = r(a)$ . Since  $h$  is an even function, i.e.,  $h(t) = h(-t)$ , we need to only consider the case when  $t \in [0, \pi]$ . Now  $h'(t) = 0$  has three roots viz.  $0, \pi$  and  $t_0(a) \in (0, \pi)$ . Among these roots, the root  $t_0(a)$  depends on  $a$  and graphics reveals that  $h$  is increasing in the interval  $[0, t_0(a)]$  and decreasing in  $[t_0(a), \pi]$ , and therefore,  $h$  attains its minimum either at  $0$  or  $\pi$ . Further computations give  $h(\pi) = (ea - e^{1/e})^2 / e^2$  and  $h(0) = (e^e - ea)^2 / e^2$ . Hence, we have

$$\min_{-\pi \leq t \leq \pi} h(t) = \min\{h(0), h(\pi)\} = \begin{cases} h(\pi), & e^{\frac{1}{e}-1} \leq a \leq \frac{e^{1/e} + e^e}{2e}; \\ h(0), & \frac{e^{1/e} + e^e}{2e} \leq a \leq e^{e-1}. \end{cases}$$

Therefore, we can write

$$\min_{-\pi \leq t \leq \pi} d(t) = \begin{cases} \frac{ea - e^{1/e}}{e}, & e^{\frac{1}{e}-1} \leq a \leq \frac{e^{1/e} + e^e}{2e}; \\ \frac{e^e - ea}{e}, & \frac{e^{1/e} + e^e}{2e} \leq a \leq e^{e-1}. \end{cases}$$

To find the circle of minimum radius with center at  $(1, 0)$  containing the domain  $Q(\mathbb{D})$ , we need to find the maximum distance from  $(1, 0)$  to an arbitrary point on the boundary of the domain  $Q(\mathbb{D})$ . The square of this distance function is given by

$$\phi(t) = -2e^{\cos t} \cos(\sin t)^{-1} \cos(\sin(\sin t)e^{\cos t}) + e^{2\cos t} \cos(\sin t)^{-2} + 1.$$

The equation  $\phi'(t) = 0$  has two roots in  $[0, \pi]$ , namely 0 and  $\pi$ . It is easy to see that  $\phi(0) = (e - e^e)^2 / e^2$  and  $\phi(\pi/2) = (e - e^{1/e})^2 / e^2$ . Therefore,

$$\max\{\phi(0), \phi(\pi)\} = \phi(0) = \frac{(e - e^e)^2}{e^2}.$$

Hence, the radius of the smallest disk containing  $Q(\mathbb{D})$  is  $(e - e^e) / e$ . This ends the proof.  $\square$

We now recall some classes and results related to them which are to be used for further development of this section. For  $-1 \leq B < A \leq 1$ , let

$$\mathcal{P}_n[A, B] := \left\{ p(z) = 1 + \sum_{k=n}^{\infty} c_k z^k : p(z) \prec \frac{1 + Az}{1 + Bz} \right\}.$$

Let us denote  $\mathcal{P}_n(\alpha) := \mathcal{P}_n[1 - 2\alpha, -1]$  and  $\mathcal{P}_1(0) =: \mathcal{P}$ . For  $f \in \mathcal{A}$ , if we set  $p(z) = zf'(z) / f(z)$  and  $p(z) = 1 + zf''(z) / f'(z)$ , then the class  $\mathcal{P}[A, B]$  is denoted by  $\mathcal{S}^*[A, B]$  and  $\mathcal{K}[A, B]$ , respectively. These classes were introduced and studied by [2]. Further, let  $\mathcal{S}^*(\alpha) := \mathcal{S}^*[1 - 2\alpha, -1]$ .

The following results will be needed:

**Lemma 3.** [42] *If  $p \in \mathcal{P}_n[A, B]$ , then, for  $|z| = r$ ,*

$$\left| p(z) - \frac{1 - ABr^{2n}}{1 - B^2r^{2n}} \right| \leq \frac{(A - B)r^n}{1 - B^2r^{2n}}.$$

*In particular, if  $p \in \mathcal{P}_n(\alpha)$ , then, for  $|z| = r$ ,*

$$\left| p(z) - \frac{(1 + (1 - 2\alpha))r^{2n}}{1 - r^{2n}} \right| \leq \frac{2(1 - \alpha)r^n}{1 - r^{2n}}.$$

**Lemma 4.** [43] *If  $p \in \mathcal{P}_n(\alpha)$ , then, for  $|z| = r$ ,*

$$\left| \frac{zp'(z)}{p(z)} \right| \leq \frac{2(1 - \alpha)nr^n}{(1 - r^n)(1 + (1 - 2\alpha)r^n)}.$$

The main objective of this section is to determine the  $\mathcal{S}_B^*$ -radii constants for functions belonging to certain well-known subclasses of  $\mathcal{A}$ . Let  $\mathcal{G}$  denote the class of functions  $f \in \mathcal{S}$  for which  $f(z)/z \in \mathcal{P}$ . The following theorem gives the sharp  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{G}$ .

**Theorem 4.** *Let  $f \in \mathcal{G}$ . Then, the sharp  $\mathcal{S}_B^*$ -radius is*

$$R_{\mathcal{S}_B^*}(\mathcal{G}) := \frac{e - e^{1/e}}{\sqrt{2e^2 - 2e^{1+\frac{1}{e}} + e^{2/e} + e}} \approx 0.222654.$$

**Proof.** Since  $f \in \mathcal{G}$ , therefore,  $f(z)/z \in \mathcal{P}$ . Then, from Lemma 2, we must have

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| \leq \frac{2r}{1 - r^2}$$

Therefore,  $f \in \mathcal{S}_B^*$  if  $2r/(1-r^2) \leq (e - e^{1/e})/e$ , or equivalently if

$$(e - e^{1/e})r^2 + 2er + e^{1/e} - e \leq 0$$

which holds for all

$$r \leq \frac{e - e^{1/e}}{\sqrt{2e^2 - 2e^{1+\frac{1}{e}} + e^{2/e} + e}} =: R_{\mathcal{S}_B^*}(\mathcal{G}) \approx 0.222654.$$

For verification of sharpness, consider the function  $f(z) = z(1+z)/(1-z)$ . Then,  $f(z)/z \in \mathcal{P}$  and at  $z = R_{\mathcal{S}_B^*}(\mathcal{G})$ , we have

$$\frac{R_{\mathcal{S}_B^*}(\mathcal{G})f'(R_{\mathcal{S}_B^*}(\mathcal{G}))}{f(R_{\mathcal{S}_B^*}(\mathcal{G}))} - 1 = \frac{R_{\mathcal{S}_B^*}(\mathcal{G})}{1 - R_{\mathcal{S}_B^*}(\mathcal{G})} = 1 - e^{\frac{1}{e}-1}.$$

Hence the result is sharp.  $\square$

In the following theorem, we shall investigate sharp  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{S}^*[A, B]$ .

**Theorem 5.** Let  $f \in \mathcal{S}^*[A, B]$ . Then,

- for  $0 \leq B < A \leq 1$ , the sharp  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{S}^*[A, B]$  is

$$R_{\mathcal{S}_B^*}(\mathcal{S}^*[A, B]) = \min \left\{ 1; \frac{\sqrt{e - e^{1/e}}}{\sqrt{eAB - e^{1/e}B^2}}; \frac{e^{1/e} - e}{e^{1/e}B - eA} \right\}.$$

- for  $-1 \leq B < 0 \leq A \leq 1$ , the sharp  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{S}^*[A, B]$  is

$$R_{\mathcal{S}_B^*}(\mathcal{S}^*[A, B]) = \min \left\{ 1; \sqrt{\frac{-2e + e^{1/e} + e^e}{-2eAB + e^{1/e}B^2 + e^eB^2}}; \frac{e^{1/e} - e}{e^{1/e}B - eA} \right\}.$$

**Proof.** Let  $f \in \mathcal{S}^*[A, B]$ . Then using Lemma 4, we see that  $f$  maps the disk  $|z| \leq r$  onto the disk

$$\left| \frac{zf'(z)}{f(z)} - \frac{1 - ABr^2}{1 - B^2r^2} \right| \leq \frac{(A - B)r}{1 - B^2r^2}.$$

The center of the above disk is at  $(c, 0)$  and the radius is  $R$ , where

$$c := \frac{1 - ABr^2}{1 - B^2r^2} \quad \text{and} \quad R := \frac{(A - B)r}{1 - B^2r^2}.$$

(1) We see that  $c \leq (e^{1/e} + e^e)/(2e)$  holds for all  $0 \leq B < A \leq 1$  and  $0 < r < 1$ . Further, the condition  $1 - e^{1/e} \leq c$  is equivalent to

$$-eABr^2 + e^{1/e}B^2r^2 - e^{1/e} + e \geq 0$$

which holds for all

$$r \leq \sqrt{\frac{e - e^{1/e}}{eAB - e^{1/e}B^2}} =: r_1.$$

Further computation shows that the condition  $R \leq (e^e a - e^{1/e})/e$  is equivalent to  $eAr - e^{1/e}Br + e^{1/e} - e \leq 0$  which holds for all

$$r \leq \frac{e^{1/e} - e}{e^{1/e}B - eA} =: r_2.$$

Now from Lemma 2,  $f \in \mathcal{S}_B^*$  for all  $|z| \leq R_{\mathcal{S}_B^*}(\mathcal{S}^*[A, B]) = \min \{1; r_1; r_2\}$ .

(2) Let  $-1 \leq B < 0 \leq A \leq 1$ . Then we see that  $e^{1/e-1} \leq c$  holds for all  $0 < r < 1$ . Further,  $c \leq (e^e + e^{1/e})/2e$  is equivalent to

$$-2eABr^2 + e^{1/e}B^2r^2 + e^eB^2r^2 - e^{1/e} - e^e + 2e \leq 0$$

which holds for

$$r \leq \sqrt{\frac{-2e + e^{1/e} + e^e}{-2eAB + e^{1/e}B^2 + e^eB^2}} =: r_3.$$

Now, as in the previous case  $R < (ec - e^{1/e})/e$  holds if  $r \leq r_2$ . Therefore,  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{S}^*[A, B]$  is  $R_{\mathcal{S}_B^*}(\mathcal{S}^*[A, B]) = \min\{1; r_2; r_3\}$ .

The equality holds in case of the function  $f_0$  defined by

$$f_0(z) = \begin{cases} z(1 + Bz)^{\frac{4}{B}-1}, & B \neq 0; \\ ze^{Az}, & B = 0. \end{cases}$$

This ends the proof.  $\square$

**Remark 1.** Let  $f \in \mathcal{S}^*$ . Then, since  $\mathcal{S}^* = \mathcal{S}^*[0, -1]$ , it follows from the above theorem, that the  $\mathcal{S}_B^*$ -radius for starlike functions is  $r_4 := (e - e^{1/e})/(e + e^{1/e}) \approx 0.30594$ . To see the sharpness, consider the Koebe function  $k(z) = z/(1 - z)^2$ . Then, at  $z = r_4$ , we have

$$\frac{r_4 f'(r_4)}{f(r_4)} = \frac{1 + r_4}{1 - r_4} = e^{1-\frac{1}{e}}.$$

Because the function  $k$  is univalent too, it follows that the  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{S}$  and  $\mathcal{S}^*$  is  $r_4$ . Therefore, the radius  $r_4$  can not be increased. Thus, we have the following:

**Corollary 1.** The sharp  $\mathcal{S}_B^*$ -radius for the classes  $\mathcal{S}$  and  $\mathcal{S}^*$  is  $(e - e^{1/e})/(e + e^{1/e}) \approx 0.30594$ .

Let the class  $\mathcal{F}_1$  be defined by

$$\mathcal{F}_1 := \left\{ f \in \mathcal{A} : \operatorname{Re} \frac{f(z)}{g(z)} > 0 \text{ and } \operatorname{Re} \frac{g(z)}{z} > 0, g \in \mathcal{A} \right\}.$$

The following theorem gives the sharp  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{F}_1$ .

**Theorem 6.** Let  $f \in \mathcal{F}_1$ . Then, the sharp  $\mathcal{S}_B^*$ -radius is

$$R_{\mathcal{S}_B^*}(\mathcal{F}_1) = \frac{e - e^{1/e}}{\sqrt{5e^2 - 2e^{1+\frac{1}{e}} + e^{2/e} + 2e}} \approx 0.11557.$$

**Proof.** Since  $f \in \mathcal{F}_1$ , there is  $g \in \mathcal{A}$  such that  $\operatorname{Re}(g(z)/z) > 0$ . Define the functions  $p, h : \mathbb{D} \rightarrow \mathbb{C}$  by

$$p(z) = \frac{g(z)}{z} \text{ and } h(z) = \frac{f(z)}{g(z)}.$$

Then, through some assumptions, we have  $p, h \in \mathcal{P}$ . Now using Lemma 4, we get

$$\begin{aligned} \left| \frac{zf'(z)}{f(z)} - 1 \right| &\leq \left| \frac{zh'(z)}{h(z)} \right| + \left| \frac{zp'(z)}{p(z)} \right| \\ &\leq \frac{4r}{1-r^2} \leq \frac{e - e^{1/e}}{e}, \end{aligned}$$

this holds if and only if  $(e - e^{1/e})r^2 + 4er + e^{1/e} - e \leq 0$ , that is if

$$r \leq \frac{e - e^{1/e}}{\sqrt{5e^2 - 2e^{1+\frac{1}{e}} + e^{2/e} + 2e}} =: R_{S_B^*}(\mathcal{F}_1) \approx 0.11557.$$

Consider the functions  $f_2$  and  $g_2$  defined by

$$f_2(z) = z \left( \frac{1+z}{1-z} \right)^2 \quad \text{and} \quad g_2(z) = z \left( \frac{1+z}{1-z} \right).$$

Further, we have  $\text{Re}(f_2(z)/g_2(z)) > 0$  and  $\text{Re}(g_2(z)/z) > 0$ , and therefore  $f \in \mathcal{F}_1$ . Now a computation shows that, for  $z = R_{S_B^*}(\mathcal{F}_1)$ ,

$$\frac{R_{S_B^*}(\mathcal{F}_1)f_2'(R_{S_B^*}(\mathcal{F}_1))}{f_2(R_{S_B^*}(\mathcal{F}_1))} - 1 = \frac{4R_{S_B^*}(\mathcal{F}_1)}{1 - R_{S_B^*}(\mathcal{F}_1)^2} = 1 - e^{\frac{1}{e}-1}.$$

Hence the result is sharp.  $\square$

Let us define the class  $\mathcal{F}_2$  by

$$\mathcal{F}_2 := \left\{ f \in \mathcal{A} : \text{Re} \frac{f(z)}{g(z)} > 0 \text{ and } \text{Re} \frac{g(z)}{z} > 1/2, g \in \mathcal{A} \right\}.$$

The following theorem gives the sharp  $S_B^*$ -radius for the class  $\mathcal{F}_2$ .

**Theorem 7.** *Let  $f \in \mathcal{F}_2$ . Then, the sharp  $S_B^*$ -radius is*

$$S_B^*(\mathcal{F}_2) = \frac{2(e - e^{1/e})}{\sqrt{17e^2 - 12e^{1+\frac{1}{e}} + 4e^{2/e} + 3e}} \approx 0.145776.$$

**Proof.** Since  $f \in \mathcal{F}_2$  and  $g \in \mathcal{A}$  satisfies  $\text{Re}(g(z)/z) > 1/2$ . Now define the functions  $p, h : \mathbb{D} \rightarrow \mathbb{C}$  by  $p(z) = g(z)/z$  and  $h(z) = f(z)/g(z)$ . Then, it is clear that  $p \in \mathcal{P}(1/2)$  and  $h \in \mathcal{P}$ . Further, since  $f(z) = zp(z)h(z)$ , it follows from Lemma 4, get

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| \leq \frac{3r + r^2}{1 - r^2} \leq \frac{e - e^{1/e}}{e}$$

provided  $-e^{1/e}r^2 + 2er^2 + 3er + e^{1/e} - e \leq 0$ . This holds for

$$r \leq \frac{2(e - e^{1/e})}{\sqrt{17e^2 - 12e^{1+\frac{1}{e}} + 4e^{2/e} + 3e}} =: S_B^*(\mathcal{F}_2) \approx 0.145776.$$

Thus,  $f \in S_B^*$  for  $r \leq S_B^*(\mathcal{F}_2)$ .

For the sharpness of the result, consider the functions

$$f_3(z) = \frac{z(1+z)}{(1-z)^2} \quad \text{and} \quad g_3(z) = \frac{z}{1-z}.$$

Then, we see that  $\text{Re}(f_3(z)/g_3(z)) > 0$  and  $\text{Re}(g_3(z)/z) > 1/2$ , and therefore,  $f \in \mathcal{F}_2$ . Now from the definition of  $f_0$ , we see that at  $z = S_B^*(\mathcal{F}_2)$ ,

$$\frac{\mathcal{S}_B^*(\mathcal{F}_2)f_3'(\mathcal{S}_B^*(\mathcal{F}_2))}{f_3(\mathcal{S}_B^*(\mathcal{F}_2))} - 1 = \frac{3\mathcal{S}_B^*(\mathcal{F}_2) + \mathcal{S}_B^*(\mathcal{F}_2)^2}{1 - \mathcal{S}_B^*(\mathcal{F}_2)^2} = 1 - e^{\frac{1}{e}-1}.$$

This confirms the sharpness of the result. □

Define the class  $\mathcal{F}_3$  by

$$\mathcal{F}_3 := \left\{ f \in \mathcal{A} : \left| \frac{f(z)}{g(z)} - 1 \right| < 1 \text{ and } \operatorname{Re} \frac{g(z)}{z} > 0, g \in \mathcal{A} \right\}.$$

The next result gives the sharp  $\mathcal{S}_B^*$ -radius for the class  $\mathcal{F}_3$ .

**Theorem 8.** *Let  $f \in \mathcal{F}_3$ . Then, the sharp  $\mathcal{S}_B^*$ -radius is*

$$\mathcal{S}_B^*(\mathcal{F}_3) = \frac{2(e - e^{1/e})}{\sqrt{17e^2 - 12e^{1+\frac{1}{e}} + 4e^{2/e} + 3e}} \approx 0.145776.$$

**Proof.** Since  $f \in \mathcal{F}_3$ , it follows that  $p \in \mathcal{P}$  and  $h \in \mathcal{P}(1/2)$ , where the functions  $p, h : \mathbb{D} \rightarrow \mathbb{C}$  are defined by  $p(z) = g(z)/z$  and  $h(z) = g(z)/f(z)$ . Now since  $f(z) = zp(z)/h(z)$  from Lemma 4, we have

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| \leq \frac{3r + r^2}{1 - r^2} \leq \frac{e - e^{1/e}}{e}$$

which holds for all  $r \leq \mathcal{S}_B^*(\mathcal{F}_3)$ .

Consider the functions  $f_4$  and  $g_4$  defined by

$$f_4(z) = \frac{z(1+z)^2}{(1-z)} \text{ and } g_4(z) = \frac{z(1+z)}{1-z}.$$

The results are sharp, since at  $z = \mathcal{S}_B^*(\mathcal{F}_3)$ , we have

$$\frac{\mathcal{S}_B^*(\mathcal{F}_3)f_4'(\mathcal{S}_B^*(\mathcal{F}_3))}{f_4(\mathcal{S}_B^*(\mathcal{F}_3))} = 2 - e^{\frac{1}{e}-1}.$$

This completes the proof. □

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Article

# On Meromorphic Functions Defined by a New Operator Containing the Mittag–Leffler Function

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**Abstract:** This study defines a new linear differential operator via the Hadamard product between a  $q$ -hypergeometric function and Mittag–Leffler function. The application of the linear differential operator generates a new subclass of meromorphic function. Additionally, the study explores various properties and features, such as convex properties, distortion, growth, coefficient inequality and radii of starlikeness. Finally, the work discusses closure theorems and extreme points.

**Keywords:** differential operator;  $q$ -hypergeometric functions; meromorphic function; Mittag–Leffler function; Hadamard product

2010 MSC: 30C45

## 1. Introduction

Let  $\Sigma$  denote the class of functions of the form

$$f(z) = z^{-1} + \sum_{j=1}^{\infty} a_j z^j, \tag{1}$$

which are analytic in the punctured open unit disk  $\mathbb{U}^* = \{z : z \in \mathbb{C}, 0 < |z| < 1\} = \mathbb{U} \setminus \{0\}$ .

Let  $\Sigma^*(\rho)$  and  $\Sigma_k(\rho)$  denote the subclasses of  $\Sigma$  that are meromorphically starlike functions of order  $\rho$  and meromorphically convex functions of order  $\rho$  respectively. Analytically, a function  $f$  of the form (1) is in the class  $\Sigma^*(\rho)$  if it satisfies

$$\operatorname{Re} \left\{ -\frac{zf'(z)}{f(z)} \right\} > \rho \quad (z \in \mathbb{U}^*),$$

and  $f \in \Sigma_k(\rho)$  if satisfies

$$\operatorname{Re} \left\{ -\left( 1 + \frac{zf''(z)}{f'(z)} \right) \right\} > \rho \quad (z \in \mathbb{U}^*).$$

The Hadamard product for two functions  $f \in \Sigma$ , defined by (1) and

$$g(z) = z^{-1} + \sum_{j=1}^{\infty} b_j z^j,$$

is given by

$$f(z) * g(z) = z^{-1} + \sum_{j=1}^{\infty} a_j b_j z^j. \tag{2}$$

For the two functions  $f(z)$  and  $g(z)$  analytic in  $\mathbb{U}$ , we say that  $f(z)$  is subordinate to  $g(z)$ , written  $f \prec g$  or  $f(z) \prec g(z)$  ( $z \in \mathbb{U}$ ), if there exists a Schwarz function  $w(z)$  in  $\mathbb{U}$  with  $w(0) = 0$  and  $|w(z)| < 1$  ( $z \in \mathbb{U}$ ), such that  $f(z) = g(w(z))$ , ( $z \in \mathbb{U}$ ).

For complex parameters  $a_i, b_k, q$  ( $i = 1, \dots, l, k = 1, \dots, r, b_k \in \mathbb{C} \setminus \{0, -1, -2, \dots\}$ ) the basic hypergeometric function (or  $q$ -hypergeometric function)  ${}_l\Psi_r(z)$  is defined by:

$${}_l\Psi_r(a_1, \dots, a_l; b_1, \dots, b_r; q, z) = \sum_{j=0}^{\infty} \frac{(a_1, q)_j \dots (a_l, q)_j}{(q, q)_j (b_1, q)_j \dots (b_r, q)_j} \times [(-1)^j q^{\binom{j}{2}}]^{1+r-l} z^j, \tag{3}$$

with  $\binom{j}{2} = j(j-1)/2$ , where  $q \neq 0$  when  $l > r + 1$  ( $l, r \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}, \mathbb{N} = \{1, 2, \dots\}$ ), and  $(a, q)_j$  is the  $q$ -analogue of the Pochhammer symbol  $(a)_j$  defined by:

$$(a, q)_j = \begin{cases} (1-a)(1-aq)(1-aq^2)\dots(1-aq^{j-1}), & j = 1, 2, 3, \dots, \\ 1, & j = 0. \end{cases}$$

The hypergeometric series defined by (3) was initially introduced by Heine in 1846 and referred to as the Heines series. More details on  $q$ -theory are available in [1–3] for readers to refer to.

It is clear that

$$\lim_{q \rightarrow 1^-} [{}_l\Psi_r(q^{a_1}, \dots, q^{a_l}; q^{b_1}, \dots, q^{b_r}; q, (q-1)^{1+r-l}z)] = {}_lF_r(a_1, \dots, a_l; b_1, \dots, b_r; z),$$

where  ${}_lF_r(a_1, \dots, a_l; b_1, \dots, b_r; z)$  represents the generalised hypergeometric function (as shown in [4]).

Riemann, Gauss, Euler and others have conducted extensive studies of hypergeometric functions some hundreds years ago. The focus on this area is based mostly on the structural beauty and distinctive applications that this theory has, which include dynamic systems, mathematical physics, numeric analysis and combinatorics. Based on this, hypergeometric functions are utilised in various disciplines and this includes geometric function theory. One example that can be associated with the hypergeometric functions is the well-known Dziok–Srivastava operator [5,6] defined via the Hadamard product.

Now for  $z \in \mathbb{U}$ ,  $|q| < 1$ , and  $l = r + 1$ , the  $q$ -hypergeometric function defined in (3) takes the following form:

$${}_l\Psi_r(a_1, \dots, a_l; b_1, \dots, b_r; q, z) = \sum_{j=0}^{\infty} \frac{(a_1, q)_j \dots (a_l, q)_j}{(q, q)_j (b_1, q)_j \dots (b_r, q)_j} z^j, \tag{4}$$

which converges absolutely in the open unit disk  $\mathbb{U}$ .

In reference to the function  ${}_l\Psi_r(a_1, \dots, a_l; b_1, \dots, b_r; q, z)$  for meromorphic functions  $f \in \Sigma$  that consist of functions in the form of (1), (see Aldweby and Darus [7], Murugusundaramoorthy and Janani [8]), as illustrated below, have recently introduced the  $q$ -analogue of the Liu–Srivastava operator

$$\begin{aligned} {}_lY_r(a_1, \dots, a_l; b_1, \dots, b_r; q, z)f(z) &= z^{-1} {}_l\Psi_r(a_1, \dots, a_l; b_1, \dots, b_r; q, z) * f(z) \\ &= z^{-1} + \sum_{j=1}^{\infty} \frac{\prod_{i=1}^l (a_i, q)_{j+1}}{(q, q)_{j+1} \prod_{k=1}^r (b_k, q)_{j+1}} a_j z^j. \end{aligned} \tag{5}$$

For convenience, we write

$${}_lY_r(a_1, \dots, a_l; b_1, \dots, b_r; q, z)f(z) = {}_lY_r(a_i, b_k; q, z)f(z).$$

Before going further, we state the well-known Mittag–Leffler function  $E_\alpha(z)$ , put forward by Mittag–Leffler [9,10], as well as Wiman’s generalisation [11]  $E_{\alpha,\beta}(z)$  given respectively as follows:

$$E_\alpha(z) = \sum_{j=0}^{\infty} \frac{z^j}{\Gamma(\alpha j + 1)}, \tag{6}$$

and

$$E_{\alpha,\beta}(z) = \sum_{j=0}^{\infty} \frac{z^j}{\Gamma(\alpha j + \beta)}, \tag{7}$$

where  $\alpha, \beta \in \mathbb{C}$ ,  $Re(\alpha) > 0$  and  $Re(\beta) > 0$ .

There has been a growing focus on Mittag–Leffler-type functions in recent years based on the growth of possibilities for their application for probability, applied problems, statistical and distribution theory, among others. Further information about how the Mittag–Leffler functions are being utilised can be found in [12–18]. In most of our work related to Mittag–Leffler functions, we study the geometric properties, such as the convexity, close-to-convexity and starlikeness. Recent studies on the  $E_{\alpha,\beta}(z)$  Mittag–Leffler function can be seen in [19]. Additionally, Ref. [20] presents findings related to partial sums for  $E_{\alpha,\beta}(z)$ .

The function given by (7) is not within the class  $\Sigma$ . Based on this, the function is then normalised as follows:

$$\begin{aligned} \Omega_{\alpha,\beta}(z) &= z^{-1}\Gamma(\beta)E_{\alpha,\beta}(z) \\ &= z^{-1} + \sum_{j=1}^{\infty} \frac{\Gamma(\beta)}{\Gamma(\alpha(j+1) + \beta)} z^j. \end{aligned} \tag{8}$$

Having use of the function  $\Omega_{\alpha,\beta}(z)$  given by (8), a new operator  $\mathfrak{D}_{\beta}^{\alpha,m}[a_l, b_r, \lambda] : \Sigma \rightarrow \Sigma$  is defined, in terms of Hadamard product, as follows:

$$\begin{aligned} \mathfrak{D}_{\beta}^{\alpha,0}[a_l, b_r, \lambda]f(z) &= {}_l Y_r(a_i, b_k; q, z)f(z) * \Omega_{\alpha,\beta}(z), \\ \mathfrak{D}_{\beta}^{\alpha,1}[a_l, b_r, \lambda]f(z) &= (1 - \lambda)({}_l Y_r(a_i, b_k; q, z)f(z) * \Omega_{\alpha,\beta}(z)) + \lambda z({}_l Y_r(a_i, b_k; q, z)f(z) * \Omega_{\alpha,\beta}(z))', \\ &\vdots \\ \mathfrak{D}_{\beta}^{\alpha,m}[a_l, b_r, \lambda]f(z) &= \mathfrak{D}_{\beta}^{\alpha,1}(\mathfrak{D}_{\beta}^{\alpha,m-1}[a_l, b_r, \lambda]f(z)). \end{aligned} \tag{9}$$

If  $f \in \Sigma$ , then from (9) we deduce that

$$\mathfrak{D}_{\beta}^{\alpha,m}[a_l, b_r, \lambda]f(z) = z^{-1} + \sum_{j=1}^{\infty} [1 + (j - 1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}(a_l, b_r) a_j z^j, \tag{10}$$

where

$$\nabla_{(j+1,\alpha,\beta)}(a_l, b_r) = \frac{\prod_{i=1}^l (a_i, q)_{j+1}}{(q, q)_{j+1} \prod_{k=1}^r (b_k, q)_{j+1}} \left( \frac{\Gamma(\beta)}{\Gamma(\alpha(j+1) + \beta)} \right). \tag{11}$$

**Remark 1.** It can be seen that, when specialising the parameters  $\lambda, l, r, m, \alpha, \beta, q, a_1, \dots, a_l$  and  $b_1, \dots, b_r$ , it is observed that the defined operator  $\mathfrak{D}_{\beta}^{\alpha,m}[a_l, b_r, \lambda]f(z)$  leads to various operators. Examples are presented for further illustration.

- For  $\lambda = 1, l = 1, r = 0, \beta = 1, \alpha = 0, a_1 = q$  and  $q \rightarrow 1$  we get the operator  $I^m f(z)$  studied by El-Ashwah and Aouf [21].
- For  $m = 0, \alpha = 0, \beta = 1, a_i = q^{a_i}, b_k = q^{b_k}, a_i > 0, b_k > 0, (i = 1, \dots, l; k = 1, \dots, r, l = r + 1)$  and  $q \rightarrow 1$  we get the operator  $\mathcal{H}_{l,r}[a_i, b_k]f(z)$  which was investigated by Liu and Srivastava [22].

- For  $m = 0, l = 2, r = 1, \beta = 1, \alpha = 0, a_2 = q$  and  $q \rightarrow 1$  we get the operator  $\mathcal{N}[a_1, b_1]f(z)$  studied by Liu and Srivastava [23].
- For  $m = 0, l = 1, r = 0, \beta = 1, \alpha = 0, a_1 = \lambda + 1$  and  $q \rightarrow 1$  we get the operator  $\mathcal{D}^\lambda f(z) = (1/z(1 - z)^{\lambda+1}) * f(z)$  ( $\lambda > -1$ ) was introduced by Ganigi and Uralegaddi [24], and then it was generalised by Yang [25].

A range of meromorphic function subclasses have been explored by, for example, Challab et al. [26], Elrifai et al. [27], Lashin [28], Liu and Srivastava [22] and others. These works have inspired our introduction of the new subclass  $\mathcal{T}_{\alpha, \beta}^m(a_1, b_r, \lambda; D, H, d)$  of  $\Sigma$ , which involves the operator  $\mathfrak{D}_{\beta}^{\alpha, m}[a_1, b_r, \lambda]f(z)$ , and is shown as follows:

**Definition 1.** For  $-1 \leq H < D \leq 1$ , the function  $f \in \Sigma$  is in the class  $\mathcal{T}_{\alpha, \beta}^m(a_1, b_r, \lambda; D, H, d)$  if it satisfies the inequality

$$1 - \frac{1}{d} \left\{ \frac{z(\mathfrak{D}_{\beta}^{\alpha, m}[a_1, b_r, \lambda]f(z))'}{\mathfrak{D}_{\beta}^{\alpha, m}[a_1, b_r, \lambda]f(z)} + 1 \right\} < \frac{1 + Dz}{1 + Hz} \tag{12}$$

or, equivalently, to:

$$\left| \frac{\frac{z(\mathfrak{D}_{\beta}^{\alpha, m}[a_1, b_r, \lambda]f(z))'}{\mathfrak{D}_{\beta}^{\alpha, m}[a_1, b_r, \lambda]f(z)} + 1}{H - \frac{z(\mathfrak{D}_{\beta}^{\alpha, m}[a_1, b_r, \lambda]f(z))'}{\mathfrak{D}_{\beta}^{\alpha, m}[a_1, b_r, \lambda]f(z)} + [(D - H)d + H]} \right| < 1 \tag{13}$$

Let  $\Sigma^*$  denote the subclass of  $\Sigma$  consisting of functions of the form:

$$f(z) = z^{-1} + \sum_{j=1}^{\infty} |a_j|z^j. \tag{14}$$

Now, we define the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$  by

$$\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d) = \mathcal{T}_{\alpha, \beta}^m(a_1, b_r, \lambda; D, H, d) \cap \Sigma^*.$$

## 2. Main Result

This section presents work to acquire sufficient conditions in which (14) gives the function  $f$  within the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ , as well as demonstrates that this condition is required for functions which belong to this class. In addition, linear combinations, growth and distortion bounds, closure theorems and extreme points are presented for the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ .

In our first theorem, we begin with the necessary and sufficient conditions for functions  $f$  in  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ .

**Theorem 1.** Let the function  $f(z)$  be of the form (14). Then the function  $f(z) \in \mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$  if and only if

$$\sum_{j=1}^{\infty} [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_1, b_r) |a_j| \leq |d|(D - H). \tag{15}$$

**Proof.** Suppose that the inequality (15) holds true, we obtain

$$\begin{aligned} & \left| \frac{\frac{z(\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z))'}{\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z)} + 1}{H \frac{z(\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z))'}{\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z)} + [(D - H)d + H]} \right| \\ &= \left| \frac{z(\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z))' + \mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z)}{Hz(\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z))' + [d(D - H) + H]\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z)} \right| \\ &= \left| \frac{\sum_{j=1}^\infty (j + 1) [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r) |a_j| z^{j+1}}{d(D - H) + \sum_{j=1}^\infty [H(j + 1) + d(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r) |a_j| z^{j+1}} \right| \\ &< 1 \quad (z \in \mathbb{U}^*). \end{aligned}$$

Then, by the maximum modulus theorem, we have  $f(z) \in \mathcal{T}_{\alpha, \beta}^{m,*}(a_l, b_r, \lambda; D, H, d)$ .

Conversely, assume that  $f(z)$  is in the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_l, b_r, \lambda; D, H, d)$  with  $f(z)$  of the form (14), then we find from (13) that

$$\begin{aligned} & \left| \frac{z(\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z))' + \mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z)}{Hz(\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z))' + [d(D - H) + H]\mathfrak{D}_\beta^{\alpha,m}[a_l, b_r, \lambda]f(z)} \right| \\ &= \left| \frac{\sum_{j=1}^\infty (j + 1) [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r) |a_j| z^{j+1}}{d(D - H) + \sum_{j=1}^\infty [H(j + 1) + d(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r) |a_j| z^{j+1}} \right| \tag{16} \\ &< 1, \end{aligned}$$

since the above inequality is genuine for all  $z \in \mathbb{U}^*$ , choose values of  $z$  on the real axis. After clearing the denominator in (16) and letting  $z \rightarrow 1^-$  through real values, we get

$$\sum_{j=1}^\infty [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r) |a_j| \leq |d|(D - H).$$

Thus, we obtain the desired inequality (15) of Theorem 1.  $\square$

**Corollary 1.** If the function  $f$  of the form (14) is in the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_l, b_r, \lambda; D, H, d)$  then

$$|a_j| \leq \frac{|d|(D - H)}{[(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r)} \quad (j \geq 1),$$

the result is sharp for the function

$$f(z) = z^{-1} + \frac{|d|(D - H)}{[(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r)} z^j \quad (j \geq 1). \tag{17}$$

Growth and distortion bounds for functions belonging to the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_l, b_r, \lambda; D, H, d)$  will be given in the following result:

**Theorem 2.** If a function  $f$  given by (14) is in the class  $\mathcal{T}_{\alpha,\beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$  then for  $|z| = r$ , we have:

$$\frac{1}{r} - \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)} r \leq |f(z)| \leq \frac{1}{r} + \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)} r,$$

and

$$\frac{1}{r^2} - \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)} \leq |f'(z)| \leq \frac{1}{r^2} + \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)}.$$

**Proof.** By Theorem 1,

$$\begin{aligned} & [2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r) \sum_{j=1}^{\infty} |a_j| \\ & \leq \sum_{j=1}^{\infty} [(j+1)(1-H) - |d|(D-H)] [1 + (j-1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}(a_1, b_r) |a_j| \leq |d|(D-H), \end{aligned}$$

which yields:

$$\sum_{j=1}^{\infty} |a_j| \leq \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)}.$$

Therefore,

$$|f(z)| \leq \frac{1}{|z|} + |z| \sum_{j=1}^{\infty} |a_j| \leq \frac{1}{|z|} + \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)} |z|,$$

and

$$|f(z)| \geq \frac{1}{|z|} - |z| \sum_{j=1}^{\infty} |a_j| \geq \frac{1}{|z|} - \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)} |z|.$$

Now, by differentiating both sides of (14) with respect to  $z$ , we get:

$$|f'(z)| \leq \frac{1}{|z|^2} + \sum_{j=1}^{\infty} |a_j| \leq \frac{1}{|z|^2} + \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)},$$

and

$$|f'(z)| \geq \frac{1}{|z|^2} - \sum_{j=1}^{\infty} |a_j| \geq \frac{1}{|z|^2} - \frac{|d|(D-H)}{[2(1-H) - |d|(D-H)]\nabla_{(2,\alpha,\beta)}(a_1, b_r)}.$$

□

Next, we determine the radii of meromorphic starlikeness and convexity of order  $\rho$  for functions in the class  $\mathcal{T}_{\alpha,\beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ .

**Theorem 3.** Let the function  $f$  given by (14) be in the class  $\mathcal{T}_{\alpha,\beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ . Thus, we have:

(i)  $f$  is meromorphically starlike of order  $\rho$  in the disc  $|z| < r_1$ , that is

$$\operatorname{Re} \left\{ -\frac{zf'(z)}{f(z)} \right\} > \rho \quad (|z| < r_1, 0 \leq \rho < 1),$$

where

$$r_1 = \inf_{j \geq 1} \left\{ \frac{(1-\rho)[(j+1)(1-H) - |d|(D-H)][1 + (j-1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}(a_1, b_r)}{|d|(D-H)(j+\rho)} \right\}^{\frac{1}{j+1}}. \quad (18)$$

(ii)  $f$  is meromorphically convex of order  $\rho$  in the disc  $|z| < r_2$ , that is

$$\operatorname{Re} \left\{ - \left( 1 + \frac{zf''(z)}{f'(z)} \right) \right\} > \rho \quad (|z| < r_2, 0 \leq \rho < 1),$$

where

$$r_2 = \inf_{j \geq 1} \left\{ \frac{(1 - \rho) [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r)}{j|d|(D - H)(j + \rho)} \right\}^{\frac{1}{j+1}}. \tag{19}$$

**Proof.** (i) From the definition (14), we can get:

$$\left| \frac{\frac{zf'(z)}{f(z)} + 1}{\frac{zf'(z)}{f(z)} - 1 + 2\rho} \right| \leq \frac{\sum_{j=1}^{\infty} (j + 1)|a_j||z|^{j+1}}{2(1 - \rho) - \sum_{j=1}^{\infty} (j - 1 + 2\rho)|a_j||z|^{j+1}}.$$

Then, we have:

$$\left| \frac{\frac{zf'(z)}{f(z)} + 1}{\frac{zf'(z)}{f(z)} - 1 + 2\rho} \right| \leq 1 \quad (0 \leq \rho < 1),$$

if

$$\sum_{j=1}^{\infty} \left( \frac{j + \rho}{1 - \rho} \right) |a_j||z|^{j+1} \leq 1. \tag{20}$$

Thus, by Theorem 1, the inequality (20) will be true if

$$\left( \frac{j + \rho}{1 - \rho} \right) |z|^{j+1} \leq \frac{[(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r)}{|d|(D - H)},$$

then

$$|z| \leq \left\{ \frac{(1 - \rho) [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_l, b_r)}{|d|(D - H)(j + \rho)} \right\}^{\frac{1}{j+1}}.$$

The last inequality leads us immediately to the disc  $|z| < r_1$ , where  $r_1$  is given by (18).

(ii) In order to prove the second affirmation of Theorem 3, we find from (14) that:

$$\left| \frac{2 + \frac{zf''(z)}{f'(z)}}{\frac{zf''(z)}{f'(z)} + 2\rho} \right| \leq \frac{\sum_{j=1}^{\infty} j(j + 1)|a_j||z|^{j+1}}{2(1 - \rho) - \sum_{j=1}^{\infty} j(j - 1 + 2\rho)|a_j||z|^{j+1}}.$$

Thus, we have the desired inequality:

$$\left| \frac{2 + \frac{zf''(z)}{f'(z)}}{\frac{zf''(z)}{f'(z)} + 2\rho} \right| \leq 1 \quad (0 \leq \rho < 1),$$

if

$$\sum_{j=1}^{\infty} j \left( \frac{j + \rho}{1 - \rho} \right) |a_j||z|^{j+1} \leq 1. \tag{21}$$



Thus, by Theorem 1, the inequality (21) will be true if

$$j \left( \frac{j + \rho}{1 - \rho} \right) |z|^{j+1} \leq \frac{[(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_1, b_r)}{|d|(D - H)},$$

then

$$|z| \leq \left\{ \frac{(1 - \rho) [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}(a_1, b_r)}{j|d|(D - H)(j + \rho)} \right\}^{\frac{1}{j+1}}.$$

The last inequality readily yields the disc  $|z| < r_2$ , where  $r_2$  is given by (19).  $\square$

The closure theorems and extreme points of the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$  will now be determined.

**Theorem 4.** The class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$  is closed under convex linear combinations.

**Proof.** Assume that the functions

$$f_i(z) = z^{-1} + \sum_{j=1}^{\infty} |a_{j,i}| z^j \quad (i = 1, 2),$$

are in  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ . It suffices to show that the function  $h$  defined by

$$h(z) = (1 - c)f_1(z) + cf_2(z) \quad (0 \leq c \leq 1),$$

is in the class  $\mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ , since

$$h(z) = z^{-1} + \sum_{j=1}^{\infty} [(1 - c)|a_{j,1}| + c|a_{j,2}|] z^j \quad (0 \leq c \leq 1).$$

In view of Theorem 1, we have:

$$\begin{aligned} & \sum_{j=1}^{\infty} [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)} \cdot \{(1 - c)|a_{j,1}| + c|a_{j,2}|\} \\ &= (1 - c) \sum_{j=1}^{\infty} [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)} |a_{j,1}| \\ &+ c \sum_{j=1}^{\infty} [(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)} |a_{j,2}| \\ &\leq (1 - c)|d|(D - H) + c|d|(D - H) = |d|(D - H), \end{aligned}$$

which shows that  $h(z) \in \mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$ .  $\square$

**Theorem 5.** Let  $f_o(z) = \frac{1}{z}$  and

$$f_j(z) = \frac{1}{z} + \frac{|d|(D - H)}{[(j + 1)(1 - H) - |d|(D - H)] [1 + (j - 1)\lambda]^m \nabla_{(j+1, \alpha, \beta)}} z^j \quad (j \geq 1).$$

Then  $f \in \mathcal{T}_{\alpha, \beta}^{m,*}(a_1, b_r, \lambda; D, H, d)$  if and only if it can be expressed in the form

$$f(z) = \sum_{j=0}^{\infty} v_j f_j(z), \tag{22}$$

where

$$v_j \geq 0 \quad \text{and} \quad \sum_{j=0}^{\infty} v_j = 1.$$

**Proof.** Let the function  $f(z)$  be expressed in the form given by (22), then

$$f(z) = z^{-1} + \sum_{j=1}^{\infty} v_j \frac{|d|(D-H)}{[(j+1)(1-H) - |d|(D-H)][1+(j-1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}} z^j$$

and for this function, we have:

$$\begin{aligned} & \sum_{j=1}^{\infty} [(j+1)(1-H) - |d|(D-H)][1+(j-1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}(a_l, b_r) \\ & \times v_j \frac{|d|(D-H)}{[(j+1)(1-H) - |d|(D-H)][1+(j-1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}} \\ & = \sum_{j=1}^{\infty} v_j |d|(D-H) = |d|(D-H)(1-v_0) \leq |d|(D-H) \end{aligned}$$

The condition (15) is satisfied. Thus,  $f \in \mathcal{T}_{\alpha,\beta}^{m,*}(a_l, b_r, \lambda; D, H, d)$

Conversely, we suppose that  $f \in \mathcal{T}_{\alpha,\beta}^{m,*}(a_l, b_r, \lambda; D, H, d)$ , since

$$|a_j| \leq \frac{|d|(D-H)}{[(j+1)(1-H) - |d|(D-H)][1+(j-1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}} \quad (j \geq 1),$$

we set

$$v_j = \frac{[(j+1)(1-H) - |d|(D-H)][1+(j-1)\lambda]^m \nabla_{(j+1,\alpha,\beta)}}{|d|(D-H)} |a_j|, \quad (j \geq 1),$$

and

$$v_0 = 1 - \sum_{j=1}^{\infty} v_j,$$

so it follows that

$$f(z) = \sum_{j=0}^{\infty} v_j f_j(z).$$

This completes the assertion of Theorem 5.  $\square$

### 3. Conclusions

Studying the theory of analytical functions has been an area of concern for many researchers. A more specific field is the study of inequalities in complex analysis. Literature review indicates lots of studies based on the classes of analytical functions. The interplay of geometry and analysis represents a very important aspect in complex function theory study. This rapid growth is directly linked to the relation that exists between analytical structure and geometric behaviour. Motivated by this approach, in the current study, we have introduced a new meromorphic function subclass which is related to both the Mittag–Leffler function and  $q$ -hypergeometric function, and we have obtained sufficient and necessary conditions in relation to this subclass. Linear combinations, distortion theory and other properties are also explored. For further research we could study the certain classes related to functions with respect to symmetric points associated with hypergeometric and Mittag–Leffler functions.

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Article

# Hermite-Type Collocation Methods to Solve Volterra Integral Equations with Highly Oscillatory Bessel Kernels

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**Abstract:** In this paper, we present two kinds of Hermite-type collocation methods for linear Volterra integral equations of the second kind with highly oscillatory Bessel kernels. One method is direct Hermite collocation method, which used direct two-points Hermite interpolation in the whole interval. The other one is piecewise Hermite collocation method, which used a two-points Hermite interpolation in each subinterval. These two methods can calculate the approximate value of function value and derivative value simultaneously. Both methods are constructed easily and implemented well by the fast computation of highly oscillatory integrals involving Bessel functions. Under some conditions, the asymptotic convergence order with respect to oscillatory factor of these two methods are established, which are higher than the existing results. Some numerical experiments are included to show efficiency of these two methods.

**Keywords:** Volterra integral equations; highly oscillatory Bessel kernel; Hermite interpolation; direct Hermite collocation method; piecewise Hermite collocation method

## 1. Introduction

Volterra integral equations arise from many mathematical problems in engineering and physics [1–3]. For example, the numerical solution of a scalar retarded potential integral equation posted on an infinite flat surface,

$$\int_{\mathbb{R}^2} \frac{u(x', t - |x' - x|)}{|x' - x|} dx' = a(x, t) \quad \text{on } \mathbb{R}^2 \times (0, T),$$

where  $u$  and  $a$  satisfy the causality condition  $u \equiv 0, a \equiv 0$  for all  $t \leq 0$ . The continuous Fourier transform (CFT) of a function  $g \in L^2(\mathbb{R}^2)$  is  $\tilde{g} \in L^2(\mathbb{R}^2)$  defined by  $\tilde{g}(\vec{\omega}) = \int_{\mathbb{R}^2} g(x) e^{-ix\vec{\omega}} dx$ . When  $a(\cdot, t), u(\cdot, t) \in L^2(\mathbb{R}^2)$  for  $t \in (0, T)$ , by taking CFT, Davies and Duncan [2] reformulated it as the following Volterra integral equation of the first kind with highly oscillatory Bessel kernel,

$$2\pi \int_0^t \tilde{u}(\vec{\omega}, t - R) J_0(\omega R) dR = \tilde{a}(\vec{\omega}, t), \quad \text{for } \vec{\omega} \in \mathbb{R}^2, t \in (0, T), \quad (1)$$

where  $J_m(x)$  is the first-kind Bessel function of order  $m$ , which is the solution of the Bessel equation  $\frac{d^2 y}{dx^2} + \frac{1}{x} \frac{dy}{dx} + (1 - \frac{m^2}{x^2})y = 0$ . In 2005, for the study of the problem of the electromagnetic scattering from a large cavity, G. Bao and W. W. Sun [1] reformulated (1) as a Volterra integral equation with Cauchy singular and highly oscillatory Hankel kernel.

The Bessel kernel of the above Equation (1) has a parameter  $\omega$ . Obviously, when  $\omega \gg 1$ , the Bessel kernel function becomes highly oscillatory. When resort to numerical solutions of Equation (1), the computation of integrals involved Bessel kernel functions is inevitable. However, the classical quadrature rules, such as Newton-Cotes rule, Clenshaw-Curtis rule or Gauss rule, are failed to calculate this kind of integral. Hence, adopting suitable quadrature rules for the corresponding highly oscillatory integrals plays an important role in obtaining the numerical solution.

The function  $J_0(\omega(x-t))$  satisfies the condition of Theorem 2.1.8 ([4], p. 64). Upon differentiation with respect to  $x$ , the first-kind Volterra integral Equation (1) can be rewritten as the second-kind Volterra integral equations. In this paper, we treat the following Volterra integral equation of the second kind with highly oscillatory Bessel kernel

$$u(x) - \int_0^x J_m(\omega(x-t))u(t)dt = f(x), \quad x \in [0,1], t \in I := [0,x], \quad (2)$$

where  $u(x)$  is an unknown function,  $f(x)$  is a given smooth function,  $J_m$  is the Bessel function of the first kind of order  $m \geq 0$  and the frequency  $\omega$  is a parameter. When  $\omega \gg 1$ , the Bessel kernel function is highly oscillatory, and this makes solving Equation (2) a challenging problem.

In recent years, there has been tremendous interest in developing methods for solving highly oscillatory Volterra integral equation, such as discontinuous Galerkin method [5], Filon-type method [6,7], collocation method [4,8,9], collocation boundary value method [10,11], collocation method on uniform mesh [12], collocation method on graded mesh [13].

Xiang and Brunner [14] presented three methods: direct Filon method, piecewise constant collocation method and piecewise linear collocation method for the equation,

$$u(x) - \int_0^x J_m(\omega(x-t)) \frac{u(t)}{(x-t)^\alpha} dt = f(x), \quad x \in [0,1], t \in I := [0,x], 0 < \alpha < 1, f(x) \in C^1[0,1].$$

Based on the asymptotic analysis of the solution, they gave corresponding convergence rates in terms of the frequency for these methods. For the case of the  $\alpha = 0, f \in C^2[0,1]$ , Fang et al. [15] showed that the optimal convergence with respect to the  $\omega$  are  $O(\omega^{-2}), O(\omega^{-3/2}), O(\omega^{-2})$  respectively. These three methods, same as other existing methods, are constructed by original integral equation or its equivalent equation. Since only the function value in start point is used, which leads to low error precision. In this paper, we present two kinds of Hermite-type collocation methods by combining original integral equation and its differential equation. The new methods will use the values of function and derivative function in start point, which gets higher error precision than that of the above three methods.

The rest of the paper is organized as follows. In Section 2, we present two efficient methods for Equation (2): direct Hermite collocation method and piecewise Hermite collocation method. We show the error bound with respect to the frequency  $\omega$  In Section 3. In Section 4, several numerical examples are included to verify the results of theoretical analysis. It is observed from numerical experiments that these methods have higher accuracy as compared with the Direct Filon method in [14].

## 2. Hermite-Type Collocation Methods

### 2.1. Direct Hermite Collocation Method (Algorithm 1)

Differentiate both sides of Equation (2),

$$u'(x) - J_m(0)u(x) - \int_0^x (J_m(\omega(x-t)))'u(t)dt = f'(x). \quad (3)$$

Since

$$(J_m(\omega(x-t)))' = \begin{cases} \frac{\omega}{2}(-J_{m+1}(\omega(x-t)) + J_{m-1}(\omega(x-t))), & m > 0, \\ -\omega J_1(\omega(x-t)), & m = 0, \end{cases} \tag{4}$$

it follows that for the case  $m = 0$ ,

$$u'(x) - J_0(0)u(x) + \omega \int_0^x (J_1(\omega(x-t)))u(t)dt = f'(x), \tag{5}$$

and for  $m > 0$ ,

$$u'(x) - J_m(0)u(x) + \frac{\omega}{2} \int_0^x (J_{m+1}(\omega(x-t)) - J_{m-1}(\omega(x-t)))u(t)dt = f'(x). \tag{6}$$

Let us denote the Hermite interpolant polynomial between  $u(0)$  and  $u(x_j)$  by

$$u_h(x) = H_{0j}u(0) + H_{1j}u(x_j) + H_{2j}u'(0) + H_{3j}u'(x_j),$$

where the polynomials

$$\begin{aligned} H_{0j} &= \left(1 + \frac{2x}{x_j}\right) \left(\frac{x-x_j}{x_j}\right)^2, & H_{1j} &= \left(1 + 2\frac{x-x_j}{-x_j}\right) \left(\frac{x}{x_j}\right)^2, \\ H_{2j} &= x \left(\frac{x-x_j}{-x_j}\right)^2, & H_{3j} &= (x-x_j) \left(\frac{x}{x_j}\right)^2, \end{aligned}$$

mean the fundamental polynomials with respect to the nodes 0 and  $x_j$ . Then the collocation systems follow

$$u_j^d - \int_0^{x_j} J_m(\omega(x_j-t))(H_{0j}u_0 + H_{1j}u_j^d + H_{2j}u'_0 + H_{3j}u_j^d)dt = f_j, \tag{7}$$

$$u_j^d - J_m(0)u_j^d + \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j-t)) - J_{m-1}(\omega(x_j-t)))(H_{0j}u_0 + H_{1j}u_j^d + H_{2j}u'_0 + H_{3j}u_j^d)dt = f'_j, \tag{8}$$

where  $u_j^d$  denotes an approximation of  $u(x_j)$ ,  $u_j^d$  denotes an approximation of  $u'(x_j)$ . That is

$$(1 - I(1, j, m))u_j^d - I(3, j, m)u_j^d = f_j + I(0, j, m)u_0 + I(2, j, m)u'_0, \tag{9}$$

$$\begin{aligned} &(-J_m(0) + \frac{\omega}{2}(I(1, j, m+1) - I(1, j, m-1)))u_j^d + \left(1 + \frac{\omega}{2}(I(3, j, m+1) - I(3, j, m-1))\right)u_j^d \\ &= f'_j - \frac{\omega}{2}(I(0, j, m+1) - I(0, j, m-1))u_0 - \frac{\omega}{2}(I(2, j, m+1) - I(2, j, m-1))u'_0. \end{aligned} \tag{10}$$

Solving these systems, we get direct Hermite appromximate schemes for  $m = 0$ ,

$$u_j^d = \frac{(f_j + I(0, j, 0)u_0 + I(2, j, 0)u'_0)(1 + \omega I(3, j, 1)) + (f'_j - \omega I(0, j, 1)u_0 - \omega I(2, j, 1)u'_0)I(3, j, 0)}{(1 - I(1, j, 0))(1 + \omega I(3, j, 1)) + I(3, j, 0)(-1 + \omega I(1, j, 1))}, \tag{11}$$

$$u_j^d = \frac{(f_j + I(0, j, 0)u_0 + I(2, j, 0)u'_0)(1 - \omega I(1, j, 1)) + (f'_j - \omega I(0, j, 1)u_0 - \omega I(2, j, 1)u'_0)(1 - I(1, j, 0))}{-I(3, j, 0)(1 - \omega I(1, j, 1)) + (1 + \omega I(3, j, 0))(1 - I(1, j, 0))}, \tag{12}$$

for  $m > 0$ ,

$$u_j^d = \frac{b_1 a_{22} - b_2 a_{12}}{a_{11} a_{22} - a_{21} a_{12}}, \quad u_j^d = \frac{a_{11} b_2 - a_{21} b_1}{a_{11} a_{22} - a_{21} a_{12}}, \tag{13}$$

where

$$\begin{aligned}
 a_{11} &= 1 - I(1, j, m), \quad a_{12} = -I(3, j, m), \quad a_{21} = -J_m(0) + \frac{\omega}{2}(I(1, j, m + 1) - I(1, j, m - 1)), \\
 a_{22} &= 1 + \frac{\omega}{2}(I(3, j, m + 1) - I(3, j, m - 1)), \quad b_1 = f_j + I(0, j, m)u_0 + I(2, j, m)u'_0, \\
 b_2 &= f'_j - \frac{\omega}{2}(I(0, j, m + 1) - I(0, j, m - 1))u_0 - \frac{\omega}{2}(I(2, j, m + 1) - I(2, j, m - 1))u'_0.
 \end{aligned}$$

$I(k, j, m)$  denotes the moment

$$I(k, j, m) = \int_0^{x_j} H_{kj} J_m(\omega(x_j - t)) dt \quad k = 0, 1, 2, 3.$$

The specific calculation formula follows

$$I(0, j, m) = \frac{3}{x_j^2} L(2, m, \omega, x_j) - \frac{2}{x_j^3} L(3, m, \omega, x_j), \tag{14}$$

$$I(1, j, m) = L(0, m, \omega, x_j) - \frac{3}{x_j^2} L(2, m, \omega, x_j) + \frac{2}{x_j^3} L(3, m, \omega, x_j), \tag{15}$$

$$I(2, j, m) = \frac{1}{x_j} L(2, m, \omega, x_j) - \frac{1}{x_j^2} L(3, m, \omega, x_j), \tag{16}$$

$$I(3, j, m) = -L(1, m, \omega, x_j) + \frac{2}{x_j} L(2, m, \omega, x_j) - \frac{1}{x_j^2} L(3, m, \omega, x_j). \tag{17}$$

The moments  $L[\mu, m, \omega, a] = \int_0^a t^\mu J_m(\omega t) dt$  can be efficiently calculated by

$$L[\mu, m, \omega, a] = \frac{2^\mu \Gamma\left(\frac{m+\mu+1}{2}\right)}{a^2 \omega^{\mu+1} \Gamma\left(\frac{m-\mu+1}{2}\right)} + \frac{(m + \mu - 1) J_m(\omega a) s_{\mu-1, m-1}^{(2)}(\omega a) - J_{m-1}(\omega a) s_{\mu, m}^{(2)}(\omega a)}{a \omega^\mu}, \tag{18}$$

where  $\Gamma(x) = \int_0^\infty e^{-t} t^{x-1} dt$  denotes the Gamma function and  $s_{\mu, \nu}^{(2)}(z)$  denotes the Lommel function of the second kind [16,17]. Once  $\omega$  is large, the Lommel function can be efficiently approximated by truncating

$$s_{\mu, \nu}^{(2)}(z) = z^{\mu-1} \left[ 1 - \frac{(\mu-1)^2 - \nu^2}{z^2} + \dots + (-1)^p \frac{[(\mu-1)^2 - \nu^2] \dots [(\mu-2p+1)^2 - \nu^2]}{z^{2p}} \right] + O(z^{\mu-2p-2}) \tag{19}$$

---

**Algorithm 1:** direct Hermite collocation method.

---

1. Compute  $L[i, m, \omega, x_j], i = 0, 1, 2, 3$  by (18);
  2. Compute  $I(k, j, m), k = 0, 1, 2, 3$  by (14)–(17);
  3. Compute  $u_j^d$  and  $u_j^{t^d}$  by (13).
- 

### 2.2. Piecewise Hermite Collocation Method

To obtain higher-order approximations, a direct improvement of the direct Hermite collocation method is the composite Hermite collocation method, which is so-called piecewise Hermite collocation method (Algorithm 2), that is split the interval into several bins and apply the formula over each bin independently of the other.

Without loss of generality, suppose that  $I_\Delta = \{x_j = j * h : j = 0, 1, \dots, N\}$  is a uniform nodal point and  $\hat{u}(x)$  is an approximation of  $u(x)$  such that  $\hat{u}(x)|_{[x_{j-1}, x_j]}$  is the Hermite interpolant polynomial between  $u(x_{j-1})$  and  $u(x_j)$  for  $j = 1, \dots, N$ .



Let us define

$$\hat{u}(x) = \hat{H}_0 u(x_{j-1}) + \hat{H}_1 u(x_j) + \hat{H}_2 u'(x_{j-1}) + \hat{H}_3 u'(x_j),$$

where the polynomials

$$\begin{aligned} \hat{H}_0 &= \left(1 + 2 \frac{x-x_{j-1}}{x_j-x_{j-1}}\right) \left(\frac{x-x_j}{x_j-x_{j-1}}\right)^2 = \left(1 + 2 \frac{x-x_{j-1}}{h}\right) \left(\frac{x-x_j}{h}\right)^2, \\ \hat{H}_1 &= \left(1 + 2 \frac{x_j-x}{x_j-x_{j-1}}\right) \left(\frac{x-x_{j-1}}{x_j-x_{j-1}}\right)^2 = \left(1 + 2 \frac{x_j-x}{h}\right) \left(\frac{x-x_{j-1}}{h}\right)^2, \\ \hat{H}_2 &= (x-x_{j-1}) \left(\frac{x-x_j}{x_j-x_{j-1}}\right)^2 = (x-x_{j-1}) \left(\frac{x-x_j}{h}\right)^2, \quad \hat{H}_3 = (x-x_j) \left(\frac{x-x_{j-1}}{x_j-x_{j-1}}\right)^2 = (x-x_j) \left(\frac{x-x_{j-1}}{h}\right)^2 \end{aligned}$$

denote the fundamental polynomials with respect to the nodes  $x_{j-1}$  and  $x_j$ . Then the collocation systems follow

$$u_j - \sum_{i=1}^{j-1} \int_{x_{i-1}}^{x_i} J_m(\omega(x_j-t)) \hat{u}_i(t) dt - \int_{x_{j-1}}^{x_j} J_m(\omega(x_j-t)) \hat{u}_j(t) dt = f_j, \tag{20}$$

$$\begin{aligned} u'_j - J_m(0)u_j + \frac{\omega}{2} \sum_{i=1}^{j-1} \int_{x_{i-1}}^{x_i} (J_{m+1}(\omega(x_j-t)) - J_{m-1}(\omega(x_j-t))) * \hat{u}_i(t) dt \\ + \frac{\omega}{2} \int_{x_{j-1}}^{x_j} (J_{m+1}(\omega(x_j-t)) - J_{m-1}(\omega(x_j-t))) * \hat{u}_j(t) dt = f'_j. \end{aligned} \tag{21}$$

This leads to the piecewise Hermite collocation method

$$\begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} \begin{bmatrix} u_j \\ u'_j \end{bmatrix} = \begin{bmatrix} r_1 \\ r_2 \end{bmatrix}, \tag{22}$$

where

$$\begin{aligned} b_{11} &= 1 - A_{jj1m}, \quad b_{12} = -A_{jj3m}, \quad b_{21} = -J_m(0) + \frac{\omega}{2}(A_{jj1(m+1)} - A_{jj1(m-1)}), \\ b_{22} &= 1 + \frac{\omega}{2}(A_{jj3(m+1)} - A_{jj3(m-1)}), \\ r_1 &= f_j + \sum_{i=1}^{j-1} (A_{ij0m}u_{i-1} + A_{ij1m}u_i + A_{ij2m}u'_{i-1} + A_{ij3m}u'_i) + A_{ij0m}u_{j-1} + A_{ij2m}u'_{j-1}, \\ r_2 &= f'_j - \frac{\omega}{2} \sum_{i=1}^{j-1} (A_{ij0(m+1)} - A_{ij0(m-1)})u_{i-1} + (A_{ij1(m+1)} - A_{ij1(m-1)})u_i \\ &\quad + (A_{ij2(m+1)} - A_{ij2(m-1)})u'_{i-1} + (A_{ij3(m+1)} - A_{ij3(m-1)})u'_i \\ &\quad - \frac{\omega}{2} \left( (A_{jj0(m+1)} - A_{jj0(m-1)})u_{j-1} + (A_{jj2(m+1)} - A_{jj2(m-1)})u'_{j-1} \right), \end{aligned} \tag{23}$$

$A_{ijkm}$  denotes the moment

$$A_{ijkm} = \int_{x_{i-1}}^{x_i} \hat{H}_{ki} J_m(\omega(x_j-t)) dt \quad k = 0, 1, 2, 3.$$

The specific calculation formula is following that

$$\begin{aligned}
 A_{ij0m} &= (L(0, m, \omega, (j - i + 1)h) - L(0, m, \omega, (j - i)h)) (2j - 2i + 3)(j - i)^2 \\
 &\quad - (L(1, m, \omega, (j - i + 1)h) - L(1, m, \omega, (j - i)h)) (j - i + 1)(j - i)6/h \\
 &\quad + (L(2, m, \omega, (j - i + 1)h) - L(2, m, \omega, (j - i)h)) 3(2j - 2i + 1)/h^2 \\
 &\quad - (L(3, m, \omega, (j - i + 1)h) - L(3, m, \omega, (j - i)h)) 2/h^3, \\
 A_{ij1m} &= (L(0, m, \omega, (j - i + 1)h) - L(0, m, \omega, (j - i)h)) (j - i + 1)^2(-2j + 2i + 1) \\
 &\quad + (L(1, m, \omega, (j - i + 1)h) - L(1, m, \omega, (j - i)h)) (j - i + 1)(j - i)6/h \\
 &\quad - (L(2, m, \omega, (j - i + 1)h) - L(2, m, \omega, (j - i)h)) 3(2j - 2i + 1)/h^2 \\
 &\quad + (L(3, m, \omega, (j - i + 1)h) - L(3, m, \omega, (j - i)h)) 2/h^3, \\
 A_{ij2m} &= (L(0, m, \omega, (j - i + 1)h) - L(0, m, \omega, (j - i)h)) (j - i + 1)(j - i)^2h \\
 &\quad - (L(1, m, \omega, (j - i + 1)h) - L(1, m, \omega, (j - i)h)) (3j - 3i + 2)(j - i) \\
 &\quad + (L(2, m, \omega, (j - i + 1)h) - L(2, m, \omega, (j - i)h)) (3j - 3i + 1)/h \\
 &\quad - (L(3, m, \omega, (j - i + 1)h) - L(3, m, \omega, (j - i)h)) /h^2, \\
 A_{ij3m} &= (L(0, m, \omega, (j - i + 1)h) - L(0, m, \omega, (j - i)h)) (j - i + 1)^2(j - i)h \\
 &\quad - (L(1, m, \omega, (j - i + 1)h) - L(1, m, \omega, (j - i)h)) (j - i + 1)(3j - 3i + 1) \\
 &\quad + (L(2, m, \omega, (j - i + 1)h) - L(2, m, \omega, (j - i)h)) (3j - 3i + 2)/h \\
 &\quad - (L(3, m, \omega, (j - i + 1)h) - L(3, m, \omega, (j - i)h)) /h^2.
 \end{aligned} \tag{24}$$

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**Algorithm 2:** piecewise Hermite collocation method.

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1. Compute  $L[i, m, \omega, x_j], i = 0, 1, 2, 3$  by (18);
  2. Compute  $A_{ijkm}, k = 0, 1, 2, 3$  by (24);
  3. Compute  $u_j$  and  $u'_j$  by (22).
- 

### 3. Error Analyses

Firstly, we introduce some useful lemmas, which will be used to prove theorems for the later analyses.

**Lemma 1** ([15], Lemma 1). *For any integers  $\mu, \nu \geq 0$  and  $x \in (0, 1]$ , the following integral*

$$\omega \int_0^x J_\mu(\omega t) J_\nu(\omega(x - t)) dt \tag{25}$$

*is uniformly bounded with respect to  $\omega > 0$ .*

**Lemma 2** ([15], Lemma 2). *Suppose  $g_\omega(t) \in C[0, 1]$  and  $g_\omega(t) = O(1)$  as  $\omega \rightarrow \infty$ . Then for any  $\nu > 0$  and  $x \in (0, 1]$ , it is true that the integral*

$$\int_0^x \frac{g_\omega(t) J_\nu(\omega t)}{t} dt \tag{26}$$

*is uniformly bounded with respect to  $\omega > 0$ .*

**Lemma 3** ([18], Lemma 2.1). *For any  $\omega \gg 1, m \geq 0$  and  $h_\omega(t)$  satisfies*

- $\int_0^1 |h'_\omega(s)| ds$  is integrable;
- $\int_0^1 |h'_\omega(s)| ds$  and  $h_\omega(t)$  are bounded in  $\omega \in (0, \infty]$  for fixed  $t$ , respectively,

it is true that

$$\left| \int_0^1 h_\omega(t)t^k J_m(\omega t) dt \right| \leq \begin{cases} K_1 \omega^{-1-k}, & -1 < k < \frac{1}{2}, \\ K_2 \omega^{-3/2}, & k \geq \frac{1}{2}, \end{cases} \tag{27}$$

where the constants  $K_1$  and  $K_2$  are independent of  $\omega$ .

Let  $\mathcal{A} : C(I) \rightarrow C(I)$  denote the linear Volterra integral operator defined by

$$(\mathcal{A}u)(t) := \int_0^x J_m(\omega(x-t))u(t)dt, \quad x \in [0, 1], t \in I := [0, x],$$

and  $\mathcal{I}$  denote identity operator. Then Equation (2) can be reformulated more compactly as

$$(\mathcal{I} - \mathcal{A})u = f. \tag{28}$$

To get the expression of (1)–(3) order derivatives of the solution of (2), we first discuss the relation between the integral operator  $\mathcal{A}$  and the differential operator  $D$ .

**Theorem 1.** Assume  $f \in C^3[0, 1]$ . The Volterra operator  $\mathcal{A}^n := C(I) \rightarrow C(I)$  defined by  $(\mathcal{A}^n u)(x) := \int_0^x K_n(t, x)u(t)dt$ ,  $n \geq 1$ , where  $K_n(t, x)$  are the iterated kernels. Then the solution of (2) satisfies

$$u = \sum_{j=0}^{\infty} \mathcal{A}^j f, \tag{29}$$

$$Du = \sum_{j=0}^{\infty} \left( f(0)\mathcal{A}^{j-1}r + \mathcal{A}^j Df \right), \tag{30}$$

$$D^2u = \sum_{j=0}^{\infty} \left( f(0)r(0)\mathcal{A}^{j-2}r + f(0)\mathcal{A}^{j-1}Dr + f'(0)\mathcal{A}^{j-1}r + \mathcal{A}^j D^2f \right), \tag{31}$$

$$D^3u = \sum_{j=0}^{\infty} \left( f(0)r(0)(r(0)\mathcal{A}^{j-3}r + \mathcal{A}^{j-2}Dr) + f(0)(r'(0)\mathcal{A}^{j-2}r + \mathcal{A}^{j-1}D^2r) \right. \\ \left. + f'(0)(r(0)\mathcal{A}^{j-2}r + \mathcal{A}^{j-1}Dr) + (f''(0)\mathcal{A}^{j-1}r + \mathcal{A}^j D^3f) \right). \tag{32}$$

where,  $r(x) = J_m(\omega x)$  and  $\mathcal{A}^j = 0$  if  $j < 0$ . Moreover, we have both of  $\|Du\|_\infty, \|D^2u - f(0)Dr - D^2f\|_\infty$  and  $\|D^3u - f(0)r(0)Dr - f(0)D^2r - f'(0)Dr - D^3f\|_\infty$  are uniformly bounded with respect to  $\omega$ .

**Proof.**

$$\mathcal{A}^j f = \int_0^x J_m(\omega(x-s_1)) \int_0^{s_1} J_m(\omega(s_1-s_2)) \dots \int_0^{s_{j-1}} J_m(\omega(s_{j-1}-s))f(s)ds ds_{j-1} \dots ds_1. \tag{33}$$

Let  $s'_1 = x - s_1, s'_2 = s_1 - s_2, \dots, s'_{j-1} = s_{j-2} - s_{j-1}, s' = s_{j-1} - s$ , it follows that

$$\mathcal{A}^j f = \int_0^x J_m(\omega s'_1) \int_0^{x-s'_1} J_m(\omega s'_2) \dots \int_0^{x-\sum_{k=1}^{j-1} s'_k} J_m(\omega s')f \left( x - \sum_{k=1}^{j-1} s'_k - s' \right) ds' ds'_{j-1} \dots ds'_1. \tag{34}$$

Then

$$D\mathcal{A}^j f = f(0) \int_0^x J_m(\omega s'_1) \int_0^{x-s'_1} J_m(\omega s'_2) \dots \int_0^{x-\sum_{k=1}^{j-2} s'_k} J_m(\omega s'_{j-1})J_m \left( \omega(x - \sum_{k=1}^{j-1} s'_k) \right) ds'_{j-1} \dots ds'_1 \\ + \int_0^x J_m(\omega s'_1) \int_0^{x-s'_1} J_m(\omega s'_2) \dots \int_0^{x-\sum_{k=1}^{j-1} s'_k} J_m(\omega s')f' \left( x - \sum_{k=1}^{j-1} s'_k - s' \right) ds' ds'_{j-1} \dots ds'_1 \\ = f(0)\mathcal{A}^{j-1}r + \mathcal{A}^j Df. \tag{35}$$

Since

$$u = \sum_{j=0}^{\infty} \mathcal{A}^j f, \tag{36}$$

this series is uniformly absolutely convergent, therefore we can differentiate it term by term

$$\begin{aligned} Du &= \sum_{j=0}^{\infty} \left( f(0) \mathcal{A}^{j-1} r + \mathcal{A}^j Df \right), \\ D^2 u &= \sum_{j=0}^{\infty} \left( f(0) r(0) \mathcal{A}^{j-2} r + f(0) \mathcal{A}^{j-1} Dr + f'(0) \mathcal{A}^{j-1} r + \mathcal{A}^j D^2 f \right), \\ D^3 u &= \sum_{j=0}^{\infty} \left( f(0) r(0) (r(0) \mathcal{A}^{j-3} r + \mathcal{A}^{j-2} Dr) + f(0) (r'(0) \mathcal{A}^{j-2} r + \mathcal{A}^{j-1} D^2 r) \right. \\ &\quad \left. + f'(0) (r(0) \mathcal{A}^{j-2} r + \mathcal{A}^{j-1} Dr) + (f''(0) \mathcal{A}^{j-1} r + \mathcal{A}^j D^3 f) \right), \end{aligned}$$

where  $\mathcal{A}^j = 0$  if  $j < 0$ .  $\square$

If we define

$$\|\mathcal{A}^j\| := \sup \frac{\|\mathcal{A}^j \phi\|_{\infty}}{\|\phi\|_{\infty}} = \max_{x \in I} \int_0^x |K_j(x, s)| ds$$

and recall that  $\|\mathcal{A}^j \phi\|_{\infty} \leq \|\mathcal{A}^j\| \|\phi\|_{\infty}$ , we find

**Remark 1.**

$$\|\mathcal{A}^j\| \leq \max\{|J_m(\omega(x-s))| : (x, s) \in I \times (0, x)\} / j! \leq 1/j!,$$

$$\begin{aligned} \|Du\| &\leq \sum_{j=0}^{\infty} \left( f(0) \|\mathcal{A}^{j-1}\| \|r\| + \|\mathcal{A}^j\| \|Df\| \right), \\ \left\| D^2 u - f(0) \sum_{j=0}^{\infty} \mathcal{A}^{j-1} Dr \right\| &\leq \sum_{j=0}^{\infty} \left( f(0) r(0) \|\mathcal{A}^{j-2}\| \|r\| + f'(0) \|\mathcal{A}^{j-1}\| \|r\| + \|\mathcal{A}^j\| \|D^2 f\| \right), \\ \|D^3 u - \sum_{j=0}^{\infty} (f(0) r(0) \mathcal{A}^{j-2} Dr - f(0) \mathcal{A}^{j-1} D^2 r - f'(0) \mathcal{A}^{j-1} Dr) &\leq \sum_{j=0}^{\infty} (\|f(0) r^2(0)\| \|\mathcal{A}^{j-3}\| \|r\| \\ &\quad + |f(0) r'(0)| \|\mathcal{A}^{j-2}\| \|r\| + f'(0) r(0) \|\mathcal{A}^{j-2}\| \|r\| + |f''(0)| \|\mathcal{A}^{j-1}\| \|r\| + \|\mathcal{A}^j\| \|D^3 f\|), \end{aligned}$$

then, we have  $\|Du\|_{\infty}$ ,  $\|D^2 u - f(0) Dr - D^2 f\|_{\infty}$  and  $\|D^3 u - f(0) r(0) Dr - f(0) D^2 r - f'(0) Dr - D^3 f\|_{\infty}$  are uniform bounded with respect to  $\omega$ .

**Theorem 2.** Assuming  $f \in C^3[0, 1]$ , the pointwise error of the direct Hermite collocation method for (2) satisfies

$$|u(x_i) - u_i^d| = \begin{cases} O(\omega^{-3}), f(0) = 0 \\ O(\omega^{-2}), f(0) \neq 0 \end{cases} \quad \omega \rightarrow \infty, \quad i = 1, 2, 3, \dots, N. \tag{37}$$

$$|u'(x_i) - u_i^{d'}| = \begin{cases} O(\omega^{-2}), f(0) = 0 \\ O(\omega^{-1}), f(0) \neq 0 \end{cases} \quad \omega \rightarrow \infty, \quad i = 1, 2, 3, \dots, N. \tag{38}$$

**Proof.** We only prove a situation  $m > 0$ . For the case  $m = 0$ , the proof method is similar.

By the definition of the direct Hermite collocation method, for any  $x_j \in I_N$ , it follows that

$$\begin{cases} E(x_j) - \int_0^{x_j} J_m(\omega(x_j - t))E(t)dt = 0, \\ E'(x_j) - J_m(0)E(t) + \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))E(t)dt = 0, \end{cases} \tag{39}$$

where  $E(x) = u(x) - u_h(x)$  be the error function. Interpolating  $E(x)$  at  $x = 0$  and  $x = x_j$ , we have

$$E(x) = H_{1j}E(x_j) + H_{3j}E'(x_j) + R(x), \tag{40}$$

where  $R(x)$  denotes the remainder of the Hermite interpolation. As we know  $E(x)$  satisfies that  $E(0) = E'(0) = 0$ . Substituting (40) into (39), we are led to

$$\begin{cases} E(x_j) - \int_0^{x_j} J_m(\omega(x_j - t))(H_{1j}E(x_j) + H_{3j}E'(x_j) + R(t))dt = 0, \\ E'(x_j) - J_m(0)E(t) \\ + \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))(H_{1j}E(x_j) + H_{3j}E'(x_j) + R(t))dt = 0. \end{cases} \tag{41}$$

That is

$$\begin{cases} (1 - \int_0^{x_j} J_m(\omega(x_j - t))H_{1j}dt)E(x_j) - \int_0^{x_j} J_m(\omega(x_j - t))H_{3j}dtE'(x_j) = \int_0^{x_j} J_m(\omega(x_j - t))R(t)dt \\ (-J_m(0) + \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))H_{1j}dt) E(x_j) \\ + (1 + \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))H_{3j}dt) E'(x_j) \\ = -\frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))R(t)dt. \end{cases} \tag{42}$$

Therefore, the error  $E(x_j)$  can be computed by

$$E(x_j) = \frac{Q_1}{Q_3}, \quad E'(x_j) = \frac{Q_2}{Q_3}, \tag{43}$$

where

$$\begin{aligned} Q_1 &= \int_0^{x_j} J_m(\omega(x_j - t))R(t)dt * \left( 1 + \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))H_{3j}dt \right) \\ &\quad - \int_0^{x_j} J_m(\omega(x_j - t))H_{3j}dt * \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))R(t)dt \\ Q_2 &= \int_0^{x_j} J_m(\omega(x_j - t))R(t)dt * \left( J_m(0) - \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))H_{1j}dt \right) \\ &\quad - \left( 1 - \int_0^{x_j} J_m(\omega(x_j - t))H_{1j}dt \right) * \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))R(t)dt \\ Q_3 &= \left( 1 - \int_0^{x_j} J_m(\omega(x_j - t))H_{1j}dt \right) * \left( 1 + \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))H_{3j}dt \right) \\ &\quad - \int_0^{x_j} J_m(\omega(x_j - t))H_{3j}dt * \left( J_m(0) - \frac{\omega}{2} \int_0^{x_j} (J_{m+1}(\omega(x_j - t)) - J_{m-1}(\omega(x_j - t)))H_{1j}dt \right). \end{aligned}$$

Defining  $R(x_j - t) = S(t)$ , then  $S(0) = S'(0) = S(x_j) = S'(x_j)$ . From Lemma 1 to Lemma 3, we can easily get  $Q_3 = O(1)$  with respect to  $\omega$ . What shall we do is prove that

$$\int_0^{x_j} J_m(\omega s)S(s)ds = \begin{cases} O(\omega^{-3}), & f(0) = 0 \\ O(\omega^{-2}), & f(0) \neq 0 \end{cases}.$$

Using integration by parts twice, we get

$$\begin{aligned} \int_0^{x_j} J_m(\omega s)S(s)ds &= \int_0^{x_j} S(s)d\frac{s^{m+1}J_{m+1}(\omega s)}{\omega s^{m+1}} \\ &= \frac{1}{\omega^2} \int_0^{x_j} \left( S''(s) - (2m+3)\frac{S'(s)}{s} + (m+1)(m+3)\frac{S(s)}{s^2} \right) J_{m+2}(\omega s)ds. \end{aligned}$$

Denote

$$J = \int_0^{x_j} \left( S''(s) - (2m+3)\frac{S'(s)}{s} + (m+1)(m+3)\frac{S(s)}{s^2} \right) J_{m+2}(\omega s)ds.$$

So, we only need to prove that  $J = \begin{cases} O(\omega^{-1}) & f(0) = 0 \\ O(1) & f(0) \neq 0 \end{cases}$ .

In the following, we show that the convergence degree of  $J$  with respect to  $\omega$ .

Letting

$$F(s) = S''(s) - (2m+3)\frac{S'(s)}{s} + (m+1)(m+3)\frac{S(s)}{s^2},$$

then we have

$$F(0) = S''(0) - (2m+3)S'(0) + (m+1)(m+3)\frac{S''(0)}{2},$$

$$\begin{aligned} J &= \int_0^{x_j} F(s)J_{m+2}(\omega s)ds \\ &= \int_0^{x_j} (F(s) - F(0))J_{m+2}(\omega s)ds + \int_0^{x_j} F(0)J_{m+2}(\omega s)ds \\ &= \frac{1}{\omega} \left( J_{m+3}(\omega s)(F(s) - F(0))\Big|_{s=0}^{s=x_j} - \int_0^{x_j} (F'(s) - (m+3)\frac{F(s) - F(0)}{s})J_{m+3}(\omega s)ds \right) \\ &\quad + F(0) \int_0^{x_j} J_{m+2}(\omega s)ds. \end{aligned}$$

Observing that

$$\begin{aligned} F'(s) &= S'''(s) - (2m+3)\frac{S''(s)s - S'(s)}{s^2} + (m+1)(m+3)\left(\frac{S'(s)}{s^2} - \frac{2S(s)}{s^3}\right), \\ \frac{F(s) - F(0)}{s} &= \frac{S''(s)s - S'(0)}{s} - (2m+3)\left(\frac{S'(s)}{s} - S''(0)\right) + (m+1)(m+3)\left(\frac{S'(s)}{s^2} - \frac{S''(0)}{2}\right). \end{aligned}$$

Notice that

$$\begin{aligned} S'''(s) &= u'''(s) - u_h'''(s) \\ &= u'''(s) + c_1 \cdot u'_0 + c_2 \cdot u'_j, \end{aligned}$$

where  $c_1$  and  $c_2$  are some constants independent of  $\omega$ . For  $u_h(x)$  is cubic polynomial, we can easily show that  $u_h'''(s) = O(1)$  with respect to  $\omega$ . According to Theorem 1 it follows that  $\|D^3u - f(0)r(0)Dr - f(0)D^2r - f'(0)Dr - D^3f\|_\infty$ . Together with Lemma 3 we can easily get

$$\int_0^{x_j} (u'''(s) - f(0)r(0)Dr - f(0)D^2r - f'(0)Dr - D^3f)J_m(\omega(x_j - s))ds = \begin{cases} O(1), & f(0) = 0, \\ O(\omega), & f(0) \neq 0. \end{cases}$$

That is

$$\int_0^{x_j} S'''(s)J_m(\omega(x_j - s))ds = \begin{cases} O(1), & f(0) = 0, \\ O(\omega), & f(0) \neq 0. \end{cases}$$

Then

$$J = \begin{cases} O(\omega^{-1}), & f(0) = 0, \\ O(1), & f(0) \neq 0. \end{cases}$$

Therefore, we can get

$$|u(x_i) - u_i^d| = \begin{cases} O(\omega^{-3}), f(0) = 0 \\ O(\omega^{-2}), f(0) \neq 0 \end{cases} \quad \omega \rightarrow \infty, \quad i = 1, 2, 3, \dots, N. \tag{44}$$

$$|u'(x_i) - u_i^{d'}| = \begin{cases} O(\omega^{-2}), f(0) = 0 \\ O(\omega^{-1}), f(0) \neq 0 \end{cases} \quad \omega \rightarrow \infty, \quad i = 1, 2, 3, \dots, N. \tag{45}$$

□

**Theorem 3.** Assuming  $f \in C^3(I)$ , the error of the piecewise Hermite collocation method for (2) satisfies

$$|u(x_i) - u_i| = \begin{cases} O(\omega^{-3}h), f(0) = 0 \\ O(\omega^{-2}h), f(0) \neq 0 \end{cases} \quad \omega \rightarrow \infty, \quad i = 1, 2, 3, \dots, N. \tag{46}$$

$$|u'(x_i) - u_i'| = \begin{cases} O(\omega^{-2}h), f(0) = 0 \\ O(\omega^{-1}h), f(0) \neq 0 \end{cases} \quad \omega \rightarrow \infty, \quad i = 1, 2, 3, \dots, N. \tag{47}$$

**Proof.** For the piecewise Hermite collocation method,  $u(x_j)$  satisfies

$$u(x_j) - \sum_{k=1}^{j-1} \int_{x_{k-1}}^{x_k} J_m(\omega(x_j - t))u(t)dt - \int_{x_{j-1}}^{x_j} J_m(\omega(x_j - t))u(t)dt = f(x_j). \tag{48}$$

Combining the above equation with

$$u_j - \sum_{k=1}^{j-1} \int_{x_{k-1}}^{x_k} J_m(\omega(x_j - t))\hat{u}_k(t)dt - \int_{x_{j-1}}^{x_j} J_m(\omega(x_j - t))\hat{u}_j(t)dt = f(x_j), \tag{49}$$

we get

$$\varepsilon_j = \frac{\sum_{k=1}^{j-1} \varepsilon_k \int_{x_{k-1}}^{x_k} J_m(\omega(x_j - t))dt + \sum_{k=1}^j \int_{x_{k-1}}^{x_k} J_m(\omega(x_j - t))r_k(t)dt}{1 - \int_0^{x_1} J_m(\omega t)dt}, \tag{50}$$

where  $\varepsilon_j = u(x_j) - u_j, j = 1, 2, \dots, N$  and  $r_k(t) = (u(t) - \hat{u}_k(t))|_{t \in [x_{k-1}, x_k]}$ . An argument similar to the one used in Theorem 2 shows that

$$\frac{\sum_{k=1}^j \int_{x_{k-1}}^{x_k} J_m(\omega(x_j - t))r_k(t)dt}{1 - \int_0^{x_1} J_m(\omega t)dt} = \begin{cases} O(\omega^{-3}h), f(0) = 0 \\ O(\omega^{-2}h), f(0) \neq 0 \end{cases} \tag{51}$$

the desired result is then found by employing the generalized discrete Gronwall inequality ([4], p. 95). Similarly, one can derive the convergence order of  $|u'(x_i) - u_i'|$ . □

### 4. Numerical Examples

From Section 4, we can see that direct Hermite collocation method and piecewise Hermite collocation method are very efficient for solving the second-kind Volterra integral equation with highly oscillatory Bessel kernel. They possess the property that the higher oscillation, the higher accuracy. In this section, based on the Formulas (11), (13) and (22), we present some preliminary numerical experiments to verify the result of theoretical analysis. The experiments are performed on a 1.86 GHz PC with 2 GB of RAM. We are using the R2016a version of the MATLAB system. The following Direct Filon method (DF) is presented in paper [14].

**Example 1.** Consider the following equation

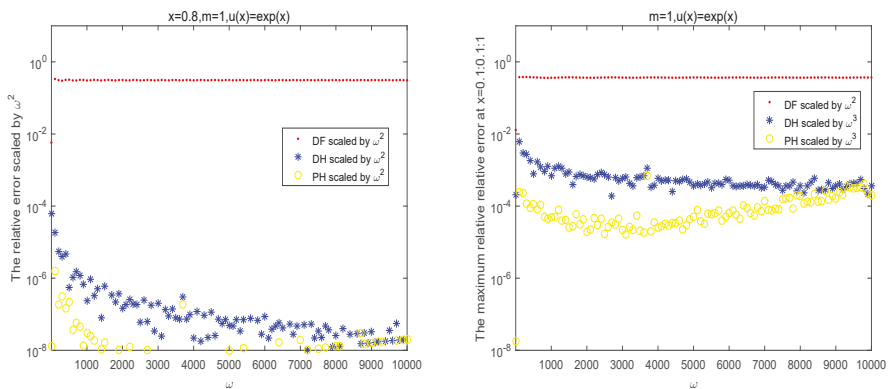
$$u(x) - \int_0^x J_m(\omega(x-t))u(t)dt = f(x) \quad \text{with } x \in I = [0, 1], \tag{52}$$

where  $f(x) = e^x - \int_0^x J_m(\omega(x-t))e^t dt$ . The analytic solution is  $u(x) = e^x$ .

In Table 1, we compare the relative error of  $u(x)$  from the DF method, piecewise linear collocation method, direct Hermite collocation, and piecewise Hermite collocation method. In Table 2, for fixed  $\omega$ , we compare the relative error of  $u(x)$  from the piecewise linear collocation method and piecewise Hermite collocation method when the steps are different. In Figures 1–3, we can see the convergence rate with respect to  $\omega$  of these methods.

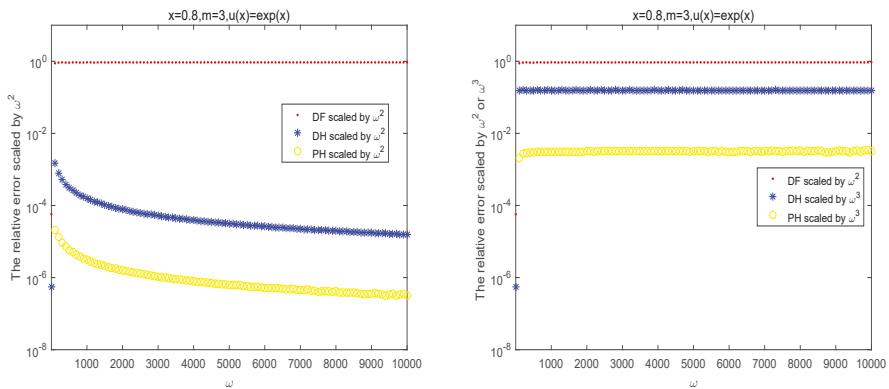
**Table 1.** Relative errors of  $u(x)$  in  $N$ -point approximations to the Example 1 by the DF method, the piecewise linear method (PL), the direct Hermite method(DH) and the piecewise Hermite collocation method(PH). The step is 0.1 for piecewise method and the test point is 0.8.

$\omega \backslash Method$	DF	PL	DH	PH
10	$6.85 \times 10^{-3}$	$6.86 \times 10^{-5}$	$8.80 \times 10^{-5}$	$1.14 \times 10^{-8}$
100	$8.89 \times 10^{-5}$	$1.06 \times 10^{-5}$	$1.56 \times 10^{-7}$	$2.08 \times 10^{-9}$
1000	$9.38 \times 10^{-7}$	$1.31 \times 10^{-7}$	$1.56 \times 10^{-10}$	$3.08 \times 10^{-12}$
10,000	$9.36 \times 10^{-9}$	$1.46 \times 10^{-9}$	$1.57 \times 10^{-13}$	$3.39 \times 10^{-15}$

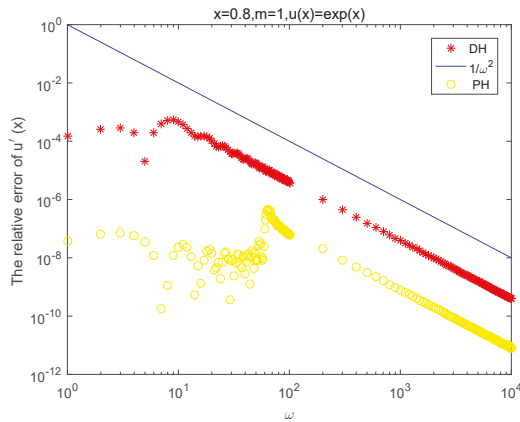


**Figure 1.** The relative errors of  $u(x)$  for DF method, direct Hermite collocation method (DH) and piecewise Hermite collocation method (PH) at point  $x = 0.8$  (left), the maximum relative errors at collocation points  $x = 0.1:0.1:1$  (right).





**Figure 2.** The relative errors of  $u(x)$  at point  $x = 0.8$  for DF method, direct Hermite collocation method (DH), piecewise Hermite collocation method (PH).



**Figure 3.** The relative error of  $u'(x)$ .

**Table 2.** Relative errors of  $u(x)$  in  $N$ -point approximations to the Example 1 by the PL method and the piecewise Hermite collocation method (PH). where  $\omega = 1000$  and the test point is 0.8.

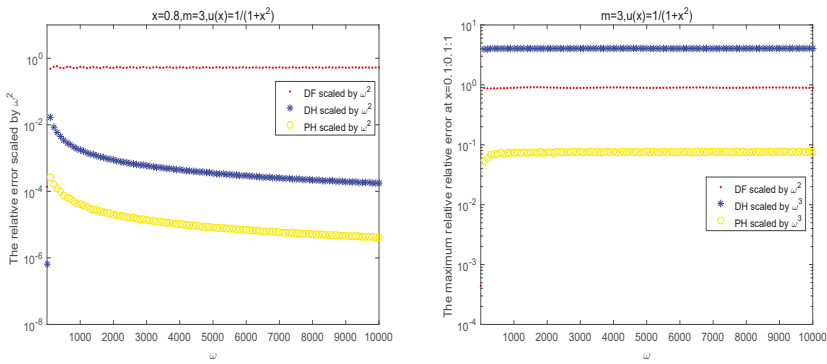
Method \ $h$	0.2	0.1	0.05	0.01
PL	$2.71 \times 10^{-7}$	$1.31 \times 10^{-7}$	$5.69 \times 10^{-8}$	$1.10 \times 10^{-8}$
PH	$1.21 \times 10^{-11}$	$3.08 \times 10^{-12}$	$7.44 \times 10^{-13}$	$1.03 \times 10^{-14}$

**Example 2.** Consider the following equation,

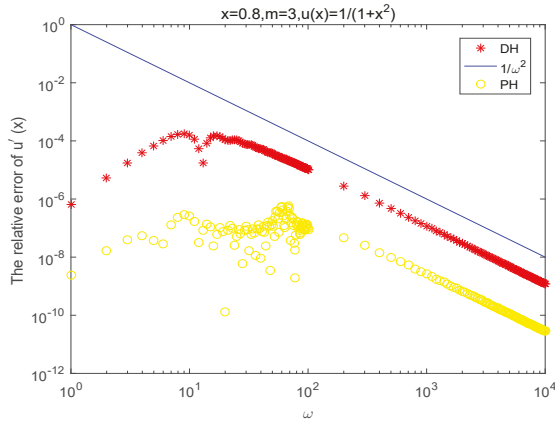
$$u(x) - \int_0^x J_3(\omega(x-t))u(t)dt = f(x) \quad \text{with } x \in I = [0, 1], \tag{53}$$

where  $f(x) = \frac{1}{1+x^2} - \int_0^x J_3(\omega(x-t))\frac{1}{1+t^2}dt$ . The analytic solution is  $u(x) = \frac{1}{1+x^2}$ .

We can see the numerical solutions from the Tables 3 and 4 and Figures 4 and 5.



**Figure 4.** The relative errors of  $u(x)$  for DF method, direct Hermite collocation method (DH) and piecewise Hermite collocation method (PH) at point  $x = 0.8$  (left), the maximum relative errors at collocation points  $x = 0.1:0.1:1$  (right).



**Figure 5.** The relative error of  $u'(x)$ .

**Table 3.** Relative errors of  $u(x)$  in  $N$ -point approximations to the Example 2 by the DF method, the PL method, the DH method, and the piecewise Hermite collocation method (PH). The step is 0.1 for piecewise method and the test point is 0.8.

$\omega \backslash Method$	DF	PL	DH	PH
10	$1.13 \times 10^{-2}$	$6.83 \times 10^{-5}$	$4.34 \times 10^{-4}$	$2.22 \times 10^{-7}$
100	$5.10 \times 10^{-5}$	$7.23 \times 10^{-6}$	$1.68 \times 10^{-6}$	$2.53 \times 10^{-8}$
1000	$5.12 \times 10^{-7}$	$6.77 \times 10^{-8}$	$1.75 \times 10^{-9}$	$4.11 \times 10^{-11}$
10,000	$5.32 \times 10^{-9}$	$9.68 \times 10^{-10}$	$1.75 \times 10^{-12}$	$4.21 \times 10^{-14}$

**Table 4.** Relative errors of  $u(x)$  in  $N$ -point approximations to the Example 2 by the PL method and the piecewise Hermite collocation method (PH). where  $\omega = 10,000$  and the test point is 0.8.

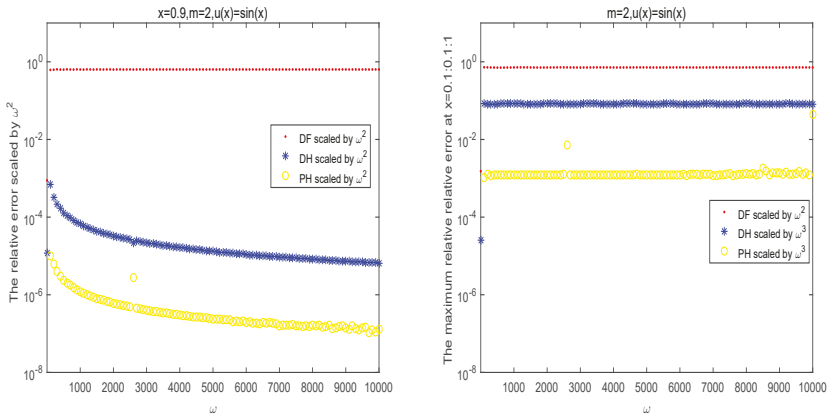
Method \ $h$	0.2	0.1	0.05	0.01
PL	$1.64 \times 10^{-9}$	$9.68 \times 10^{-10}$	$4.91 \times 10^{-10}$	$8.78 \times 10^{-11}$
PH	$1.85 \times 10^{-13}$	$4.21 \times 10^{-14}$	$1.00 \times 10^{-14}$	0

**Example 3.** Consider the following equation,

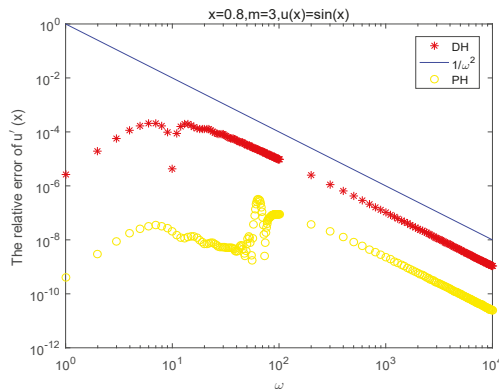
$$u(x) - \int_0^x J_m(\omega(x-t))u(t)dt = f(x) \quad \text{with } x \in I = [0, 1], \tag{54}$$

where  $f(x) = \sin(x) - \int_0^x J_2(\omega(x-t))\sin(t)dt$ . The analytic solution is  $u(x) = \sin(x)$ .

Results of these calculations are given in Table 5 and Figures 6 and 7.



**Figure 6.** The relative errors of  $u(x)$  for DF method, direct Hermite collocation method (DH) and piecewise Hermite collocation method (PH) at point  $x = 0.9$  (left), the maximum relative errors at collocation points  $x = 0.1:0.1:1$  (right).



**Figure 7.** The relative error of  $u'(x)$ .

**Table 5.** Relative errors of  $u(x)$  in  $N$ -point approximations to the Example 3 by the DF method and the PL method and the DH method, and the piecewise Hermite collocation method (PH). The step is 0.1 for piecewise method and the test point is 0.9.

$\omega \backslash Method$	DF	PL	DH	PH
10	$5.02 \times 10^{-3}$	$7.35 \times 10^{-5}$	$7.88 \times 10^{-5}$	$1.23 \times 10^{-8}$
100	$6.31 \times 10^{-5}$	$6.83 \times 10^{-6}$	$7.01 \times 10^{-8}$	$1.03 \times 10^{-9}$
1000	$6.38 \times 10^{-7}$	$8.92 \times 10^{-8}$	$6.62 \times 10^{-11}$	$1.20 \times 10^{-12}$
10,000	$6.35 \times 10^{-9}$	$9.88 \times 10^{-10}$	$6.56 \times 10^{-14}$	$1.28 \times 10^{-15}$

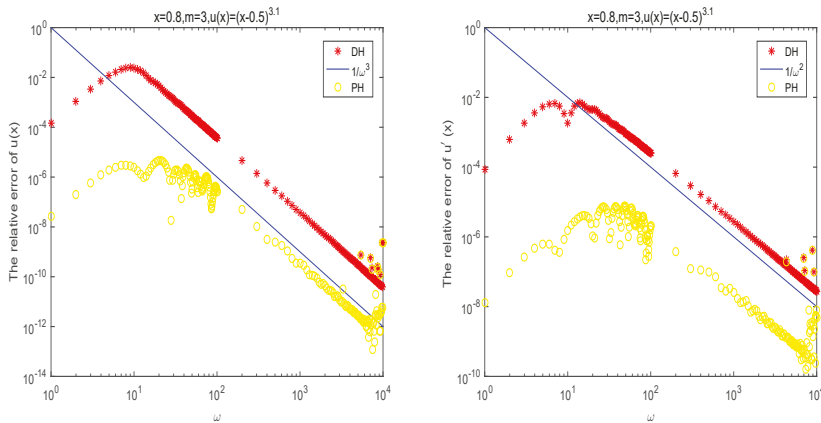
**Example 4.** Consider the following equation,

$$u(x) - \int_0^x J_3(\omega(x-t))u(t)dt = f(x) \quad \text{with } x \in I = [0, 1], \tag{55}$$

where  $f(x) = (x - 0.5)^{3.1} - \int_0^x J_3(\omega(x-t))(t - 0.5)^{3.1}dt$ . The analytic solution is  $u(x) = (x - 0.5)^{3.1}$ .

We can see the numerical solutions from the Figure 8.

From above examples, as can be seen, there is a good agreement between the present result and the exact solution. The Hermite-type collocation methods are better than DF method and PL collocation method. For Hermite-type collocation methods, the higher oscillation, the higher accuracy. For fixed frequency, the error is decrease with the increase of nodes.



**Figure 8.** The relative errors of  $u(x)$  and  $u'(x)$  for direct Hermite collocation method (DH) and piecewise Hermite collocation method (PH) .

**5. Conclusions**

Collocation methods are efficient in solving Volterra integral equation with highly oscillatory kernel. In this paper, we present two collocation methods: DH collocation method and piecewise Hermite collocation method. The first conclusion to be drawn from the numerical evidence presented earlier is that Hermite-type collocation methods are higher efficient than existent collocation methods. Both methods can calculate the approximate value of function value and derivative value simultaneously. Finally, while we have considered only the case of Bessel kernel in this paper, the Hermite-type collocation methods can be extended to Fourier kernel.

In the future work, we will study better methods to solve the Volterra integral equations with different kernel and Fredholm integral equations.

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# Certain Results of $q$ -Sheffer–Appell Polynomials

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**Abstract:** In this paper, the class of  $q$ -Sheffer–Appell polynomials is introduced. The generating function, series definition, determinant definition and some other identities of this class are established. Certain members of  $q$ -Sheffer–Appell polynomials are investigated and some properties of these members are derived. In addition, the class of 2D  $q$ -Sheffer–Appell polynomials is introduced. Further, the graphs of some members of  $q$ -Sheffer–Appell polynomials and 2D  $q$ -Sheffer–Appell polynomials are plotted for different values of indices by using Matlab.

**Keywords:**  $q$ -Sheffer–Appell polynomials; generating relations; determinant definition; recurrence relation;  $q$ -Hermite–Bernoulli polynomials;  $q$ -Hermite–Euler polynomials;  $q$ -Hermite–Genocchi polynomials

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## 1. Introduction and Preliminaries

The subject of  $q$ -calculus leads to a new method for computations and classifications of  $q$ -special functions. It was launched in the 1920s. However, it has gained importance and considerable popularity during the last three decades [1–9]. In the last decades,  $q$ -calculus has been developed into an interdisciplinary subject and served as a bridge between physics and mathematics. The recent interest in the subject is due to the fact that  $q$ -series has popped in such various areas as quantum groups, statistical mechanics, transcendental number theory, etc. The definitions and notations of  $q$ -calculus reviewed here are taken from [10] (see also [11,12]).

The  $q$ -analog of the Pochhammer symbol  $(\delta)_\kappa$ , also called a  $q$ -shifted factorial, are defined by

$$(\delta; q)_0 = 1, (\delta; q)_\kappa = \prod_{r=0}^{\kappa-1} (1 - \delta q^r), \kappa \in \mathbb{N}, \delta \in \mathbb{C}. \quad (1)$$

The  $q$ -analogs of a complex number  $\delta$  and of the factorial function are given as follows:

$$[\delta]_q = \frac{1 - q^\delta}{1 - q}, q \in \mathbb{C} - \{1\}, \delta \in \mathbb{C}, \quad (2)$$

$$[\kappa]_q = \sum_{\nu=1}^{\kappa} q^{\nu-1}, [0]_q = 0, [\kappa]_q! = \prod_{\nu=1}^{\kappa} [\nu]_q = [1]_q [2]_q [3]_q \dots [\kappa]_q, [0]_q! = 1, \kappa \in \mathbb{N}, q \in \mathbb{C} \setminus \{0, 1\}. \quad (3)$$

The  $q$ -binomial coefficients  $\begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q$  are defined by

$$\begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q = \frac{(q; q)_\kappa}{(q; q)_\nu (q; q)_{\kappa-\nu}} = \frac{[\kappa]_q!}{[\nu]_q! [\kappa - \nu]_q!}, \nu = 0, 1, 2, \dots, \kappa. \quad (4)$$

The  $q$ -analog of the classical derivative  $D u$  of a function  $u$  at a point  $0 \neq \tau \in \mathbb{C}$  is given as

$$D_q u(\tau) = \frac{u(\tau) - u(q\tau)}{\tau - q\tau}, \quad 0 < |q| < 1, \quad \tau \neq 0. \tag{5}$$

In addition, we note that

(i)  $\lim_{q \rightarrow 0} D_q u(\tau) = \frac{du(\tau)}{d\tau}$ , where  $\frac{d}{d\tau}$  denotes the classical ordinary derivative, (6)

(ii)  $D_q(a_1 u(\tau) + a_2 v(\tau)) = a_1 D_q u(\tau) + a_2 D_q v(\tau)$ , (7)

(iii)  $D_q(uv)(\tau) = u(q\tau)D_q v(\tau) + v(\tau)D_q u(\tau) = u(\tau)D_q v(\tau) + D_q u(\tau)v(q\tau)$ , (8)

(vi)  $D_q\left(\frac{u(\tau)}{v(\tau)}\right) = \frac{v(\tau)D_q u(\tau) - u(\tau)D_q v(\tau)}{v(\tau)v(q\tau)} = \frac{v(q\tau)D_q u(\tau) - u(q\tau)D_q v(\tau)}{v(\tau)v(q\tau)}$ . (9)

The  $q$ -exponential functions  $e_q(\tau)$  and  $E_q(\tau)$  are defined as:

$$e_q(\tau) = \sum_{\kappa=0}^{\infty} \frac{\tau^\kappa}{[\kappa]_q!} := \frac{1}{((1-q)\tau; q)_\infty}, \quad 0 < |q| < 1, |\tau| < |1-q|^{-1}, \tag{10}$$

$$E_q(\tau) = \sum_{\kappa=0}^{\infty} q^{\frac{1}{2}\kappa(\kappa-1)} \frac{\tau^\kappa}{[\kappa]_q!} := (- (1-q); q)_\infty, \quad 0 < |q| < 1, \tau \in \mathbb{C}. \tag{11}$$

which satisfy the following properties:

$$D_q e_q(\tau) = e_q(\tau), \quad D_q E_q(\tau) = E_q(q\tau), \tag{12}$$

$$e_q(\tau)E_q(-\tau) = E_q(\tau)e_q(-\tau) = 1. \tag{13}$$

The class of Appell polynomials was introduced and characterized completely by Appell [13]. Further, Throne [14], Sheffer [15] and Varma [16] studied this class of polynomials from different point of views. Sharma and Chak [17] introduced a  $q$ -analog for the class of Appell polynomials and called this sequence of polynomials as  $q$ -Harmonic. Later, Al-Salam [1] established the class of  $q$ -Appell polynomials  $\{\mathcal{A}_{\kappa,q}(z)\}_{\kappa=0}^{\infty}$  and investigated some of its properties. These polynomials appear in several problems of theoretical physics, applied mathematics, approximation theory and many other branches of mathematics. The polynomials  $\mathcal{A}_{\kappa,q}(z)$  (of degree  $\kappa$ ) are called  $q$ -Appell polynomials provided that they satisfy the following  $q$ -differential equation

$$D_{q,z}\{\mathcal{A}_{\kappa,q}(z)\} = [\kappa]_q \mathcal{A}_{\kappa-1,q}(z), \quad \kappa = 0, 1, 2, 3, \dots; \quad q \in \mathbb{C}, 0 < |q| < 1. \tag{14}$$

The generating function for the  $q$ -Appell polynomials  $\mathcal{A}_{\kappa,q}(z)$  is given as:

$$\mathcal{A}_q(\tau) e_q(z\tau) = \sum_{\kappa=0}^{\infty} \mathcal{A}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}, \tag{15}$$

where

$$\mathcal{A}_q(\tau) = \sum_{\kappa=0}^{\infty} \mathcal{A}_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!}, \quad \mathcal{A}_q(\tau) \neq 0; \quad \mathcal{A}_{0,q} = 1, \tag{16}$$

is an analytic function at  $\tau = 0$  and  $\mathcal{A}_{\kappa,q} := \mathcal{A}_{\kappa,q}(0)$  denotes the  $q$ -Appell numbers.

We note that the function  $\mathcal{A}_q(\tau)$  is called the determining function for the set  $\mathcal{A}_{\kappa,q}(z)$ . Based on suitable selection for the function  $\mathcal{A}_q(\tau)$ , different members belonging to the family of  $q$ -Appell polynomial  $\mathcal{A}_{\kappa,q}(z)$  can be obtained. These members along with their notations, names and generating functions are listed in Table 1.

**Table 1.** Certain members of q-Appell family.

S. No.	$A_q(\tau)$	Generating Functions	Polynomials
I.	$A_q(\tau) = \frac{\tau}{(e_q(\tau)-1)}$	$\frac{\tau}{(e_q(\tau)-1)} e_q(z\tau) = \sum_{\kappa=0}^{\infty} \mathfrak{B}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}$	The q-Bernoulli polynomials [2,18,19]
II.	$A_q(\tau) = \frac{[2]_q}{(e_q(\tau)+1)}$	$\frac{[2]_q}{(e_q(\tau)+1)} e_q(z\tau) = \sum_{\kappa=0}^{\infty} \mathcal{E}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}$	The q-Euler polynomials [3,19,20]
III.	$A_q(\tau) = \frac{[2]_q \tau}{(e_q(\tau)+1)}$	$\frac{[2]_q \tau}{(e_q(\tau)+1)} e_q(z\tau) = \sum_{\kappa=0}^{\infty} \mathcal{G}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}$	The q-Genocchi polynomials [7,19,21]

In 1978, Roman and Rota [22] used the umbral calculus to define the sequence of Sheffer polynomials whose their characteristics proved that this new proposed family of polynomials is equivalent to the family of polynomials of type zero, which was previously introduced by Sheffer [23]. Later, Roman [24] proposed a similar umbral approach under the area of nonclassical umbral calculus which is called q-umbral calculus. Recently, Kim et al. [5] introduced the q-Sheffer polynomials (qSP)  $s_{\kappa,q}(z)$  for  $(v(\tau), u(\tau))$  by means of the following generation function:

$$\frac{1}{v(u^{-1}(\tau))} e_q(zu^{-1}(\tau)) = \sum_{\kappa=0}^{\infty} s_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}, \text{ for all } z \in \mathbb{C}, \tag{17}$$

where  $u^{-1}(\tau)$  is the compositional inverse of  $u(\tau)$ .

In addition, the q-Sheffer polynomials may be alternatively defined as:

$$\phi_q(\tau) e_q(zH(\tau)) = \sum_{\kappa=0}^{\infty} s_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}, \tag{18}$$

where

$$\phi_q(\tau) = \sum_{\kappa=0}^{\infty} \phi_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!} \text{ and } H(\tau) = \sum_{\kappa=0}^{\infty} H_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!}. \tag{19}$$

In view of Equations (17) and (18), we have

$$\phi_q(\tau) = \frac{1}{v(u^{-1}(\tau))} \text{ and } H(\tau) = u^{-1}(\tau). \tag{20}$$

The q-Sheffer polynomials for the pair  $(\phi(\tau), \tau)_q$  is called the q-Appell polynomials  $\mathcal{A}_{\kappa,q}(z)$  and for the pair  $(1, H(\tau))_q$  becomes the q-associated Sheffer polynomials  $s_{\kappa,q}(z)$ .

Recently, Duran et al. [25] introduced the q-Hermite polynomials (qHP)  $\mathcal{H}_{\kappa,q}(z)$  by means of the following generating function:

$$e_q([2]_q z \tau) e_q(-\tau^2) = \sum_{\kappa=0}^{\infty} \mathcal{H}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{21}$$

In [25],  $(p, q)$ -number is defined by  $[x]_{p,q} = \frac{p^x - q^x}{p - q}$ . It is worth noting that  $[x]_{p,q} = c[x]_q$  for some constant  $c$  in  $p$ . Thus, there is no need to deal with the family of  $(p, q)$ -Sheffer–Appell polynomials.

In the present article, a new family of q-Sheffer–Appell polynomials (qSAP) is introduced by means of generating functions, series and determinant definitions. Further, some results are obtained for some members of this family. In the next section, the q-Sheffer–Appell polynomials are introduced by means of the generating functions and series definition. In addition, the determinant definition and many interesting properties of these q-hybrid special polynomials are derived. In Section 3, we consider some members of q-Sheffer–Appell polynomials and obtain the determinant definitions and some other properties of these members. In Section 4, the class of 2D q-Sheffer–Appell polynomials (2DqSAP) is also introduced. In Section 5, the graphs of some members of q-Sheffer–Appell polynomials and 2D q-Sheffer–Appell polynomials are plotted for different values of indices by using Matlab.



## 2. q-Sheffer–Appell Polynomials

In this section, the generating function, series definition and determinant definition for the q-Sheffer–Appell polynomials  ${}_s\mathcal{A}_{\kappa,q}(z)$  are introduced.

To establish the generating function for the qSAP by making use of replacement technique, the following result is proved:

**Theorem 1.** *The following generating function for the q-Sheffer–Appell polynomials  ${}_s\mathcal{A}_{\kappa,q}(z)$  holds true:*

$$\mathcal{A}_q(\tau)\phi_q(\tau) e_q(zH(\tau)) = \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}. \quad (22)$$

**Proof.** By expanding the q-exponential function  $e_q(z\tau)$  in the left hand side of Equation (15) and then replacing the powers of  $z$ , i.e.,  $z^0, z, z^2, \dots, z^\kappa$ , by the corresponding polynomials  $s_{0,q}(z), s_{1,q}(z), s_{2,q}(z), \dots, s_{\kappa,q}(z)$  in the left hand side and  $z$  by  $s_{1,q}(z)$  in the right hand side of the resultant equation, we have

$$\mathcal{A}_q(\tau) \left( 1 + s_{1,q}(z) \frac{\tau}{[1]_q!} + s_{2,q}(z) \frac{\tau^2}{[2]_q!} + \dots + s_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!} + \dots \right) = \sum_{\kappa=0}^{\infty} \mathcal{A}_{\kappa,q}(s_{1,q}(z)) \frac{\tau^\kappa}{[\kappa]_q!}. \quad (23)$$

Further, summing up the series in left hand side and then using Equation (18) in the resultant equation, we get

$$\mathcal{A}_q(\tau)\phi_q(\tau) e_q(zH(\tau)) = \sum_{\kappa=0}^{\infty} \mathcal{A}_{\kappa,q}(s_{1,q}(z)) \frac{\tau^\kappa}{[\kappa]_q!}. \quad (24)$$

Finally, indicating resultant qSAP by  ${}_s\mathcal{A}_{\kappa,q}(z)$ , that is

$$\mathcal{A}_{\kappa,q}(s_{1,q}(z)) = {}_s\mathcal{A}_{\kappa,q}(z), \quad (25)$$

the assertion in Equation (22) is proved.  $\square$

Next, we introduce the series definition for the qSAP  ${}_s\mathcal{A}_{\kappa,q}(z)$  by proving the following result:

**Theorem 2.** *The q-Sheffer–Appell polynomials  ${}_s\mathcal{A}_{\kappa,q}(z)$  are defined by the following series definition:*

$${}_s\mathcal{A}_{\kappa,q}(z) = \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{A}_{\nu,q} s_{\kappa-\nu,q}(z). \quad (26)$$

**Proof.** In view of Equations (16) and (18), Equation (22) can be written as:

$$\sum_{\nu=0}^{\infty} \mathcal{A}_{\nu,q} \frac{\tau^\nu}{[\nu]_q!} \sum_{\kappa=0}^{\infty} s_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!} = \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}, \quad (27)$$

which on using the Cauchy product rule [26] gives

$$\sum_{\kappa=0}^{\infty} \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{A}_{\nu,q} s_{\kappa-\nu,q}(z) \frac{\tau^\kappa}{[\kappa]_q!} = \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}. \quad (28)$$

Now, comparing the coefficients of identical powers of  $\tau$  in above equation, we arrive at our assertion in Equation (26).  $\square$

**Theorem 3.** *The q-Sheffer–Appell polynomials  ${}_s\mathcal{A}_{\kappa,q}(z)$  satisfy the following linear homogeneous recurrence relation:*

$${}_s\mathcal{A}_{\kappa,q}(z) = \frac{1}{[\kappa]_q} \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q (\alpha_\nu + z\beta_\nu) {}_s\mathcal{A}_{\kappa-\nu,q}(z), \quad (29)$$

where

$$\begin{aligned} \tau \frac{\mathcal{A}_q(q\tau)(D_{q,\tau}\phi_q(\tau) + \phi_q(\tau)(D_{q,\tau}\mathcal{A}_q(\tau))}{\mathcal{A}_q(\tau)\phi_q(\tau)} &= \sum_{\kappa=0}^{\infty} \alpha_{\kappa} \frac{\tau^{\kappa}}{[\kappa]_q!}, \\ \tau \frac{\mathcal{A}_q(q\tau)\phi_q(q\tau)(D_{q,\tau}H(\tau))}{\mathcal{A}_q(\tau)\phi_q(\tau)} &= \sum_{\kappa=0}^{\infty} \beta_{\kappa} \frac{\tau^{\kappa}}{[\kappa]_q!}. \end{aligned} \tag{30}$$

**Proof.** Consider the generating function

$$F_q(z, \tau) = \mathcal{A}_q(\tau)\phi_q(\tau) e_q(zH(\tau)) = \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^{\kappa}}{[\kappa]_q!}. \tag{31}$$

Taking the  $q$ -derivative of Equation (31) partially with respect to  $\tau$ , we get

$$\begin{aligned} D_{q,\tau}(F_q(z, \tau)) &= \{\mathcal{A}_q(q\tau)(D_{q,\tau}\phi_q(\tau) + \phi_q(\tau)(D_{q,\tau}\mathcal{A}_q(\tau))\}e_q(zH(\tau)) \\ &\quad + z \mathcal{A}_q(q\tau)\phi_q(q\tau)(D_{q,\tau}H(\tau))e_q(zH(\tau)) \end{aligned} \tag{32}$$

Now, factorizing  $F_q(z, \tau)$  from its left hand side and after that multiplying both sides by  $\tau$ , it follows that

$$\begin{aligned} &\tau D_{q,\tau}(F_q(z, \tau)) \\ = F_q(z, \tau) &\left\{ \tau \frac{\mathcal{A}_q(q\tau)\phi_q(\tau)(D_{q,\tau}\mathcal{A}_q(\tau))(D_{q,\tau}\phi_q(\tau))}{\mathcal{A}_q(\tau)\phi_q(\tau)} + z\tau \frac{\mathcal{A}_q(q\tau)\phi_q(q\tau)(D_{q,\tau}H(\tau))}{\mathcal{A}_q(\tau)\phi_q(\tau)} \right\}. \end{aligned} \tag{33}$$

In view of the assumption in Equations (30) and (31), Equation (33) can be expressed as

$$\sum_{\kappa=0}^{\infty} [\kappa]_q {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^{\kappa}}{[\kappa]_q!} = \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^{\kappa}}{[\kappa]_q!} \left\{ \sum_{\kappa=0}^{\infty} \alpha_{\kappa} \frac{\tau^{\kappa}}{[\kappa]_q!} + z \sum_{\kappa=0}^{\infty} \beta_{\kappa} \frac{\tau^{\kappa}}{[\kappa]_q!} \right\}, \tag{34}$$

which on using the Cauchy product rule, gives

$$\sum_{\kappa=0}^{\infty} [\kappa]_q {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^{\kappa}}{[\kappa]_q!} = \sum_{\kappa=0}^{\infty} \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q (\alpha_{\nu} + z\beta_{\nu}) {}_s\mathcal{A}_{\kappa-\nu,q}(z) \frac{\tau^{\kappa}}{[\kappa]_q!}. \tag{35}$$

Finally, equating the coefficients of identical powers of  $\tau$  in above equation and after that dividing both sides of the resultant equation by  $[\kappa]_q$ , we get the assertion in Equation (29).  $\square$

Due to the importance of determinant form for the computational and applied purposes, we derive the determinant definition for the  $q$ SAP  ${}_s\mathcal{A}_{\kappa,q}(z)$ .

**Theorem 4.** The  $q$ -Sheffer–Appell polynomials  ${}_s\mathcal{A}_{\kappa,q}(z)$  of degree  $\kappa$  are defined by

$${}_s\mathcal{A}_{0,q}(z) = \frac{1}{\mathcal{B}_{0,q}}, \tag{36}$$

$${}_s\mathcal{A}_{\kappa,q}(z) = \frac{(-1)^\kappa}{(\mathcal{B}_{0,q})^{\kappa+1}} \begin{vmatrix} 1 & s_{1,q}(z) & s_{2,q}(z) & \dots & s_{\kappa-1,q}(z) & s_{\kappa,q}(z) \\ \mathcal{B}_{0,q} & \mathcal{B}_{1,q} & \mathcal{B}_{2,q} & \dots & \mathcal{B}_{\kappa-1,q} & \mathcal{B}_{\kappa,q} \\ 0 & \mathcal{B}_{0,q} & [2]_q \mathcal{B}_{1,q} & \dots & [1]_q^{\kappa-1} \mathcal{B}_{\kappa-2,q} & [1]_q^\kappa \mathcal{B}_{\kappa-1,q} \\ 0 & 0 & \mathcal{B}_{0,q} & \dots & [2]_q^{\kappa-1} \mathcal{B}_{\kappa-3,q} & [2]_q^\kappa \mathcal{B}_{\kappa-2,q} \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ 0 & 0 & 0 & \dots & \mathcal{B}_{0,q} & [1]_q^\kappa \mathcal{B}_{1,q} \end{vmatrix}, \tag{37}$$

$$\mathcal{B}_{\kappa,q} = -\frac{1}{\mathcal{A}_{0,q}} \left( \sum_{\nu=1}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{A}_{\nu,q} \mathcal{B}_{\kappa-\nu,q} \right), \quad \kappa = 1, 2, 3, \dots,$$

where  $\mathcal{B}_{0,q} \neq 0$ ,  $\mathcal{B}_{0,q} = \frac{1}{\mathcal{A}_{0,q}}$  and  $s_{\kappa,q}(z) (\kappa = 0, 1, 2, \dots)$  are the  $q$ -Sheffer polynomials of degree  $\kappa$ .

**Proof.** Consider  ${}_s\mathcal{A}_{\kappa,q}(z)$  to be a sequence of the  $q$ SAP defined by Equation (22) and  $\mathcal{A}_{\kappa,q}, \mathcal{B}_{\kappa,q}$  be two numerical sequences (the coefficients of  $q$ -Taylor’s series expansions of functions) such that

$$\mathcal{A}_q(\tau) = \mathcal{A}_{0,q} + \mathcal{A}_{1,q} \frac{\tau}{[1]_q!} + \mathcal{A}_{2,q} \frac{\tau^2}{[2]_q!} + \dots + \mathcal{A}_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!} + \dots, \quad \kappa = 0, 1, 2, 3, \dots; \mathcal{A}_{0,q} \neq 0, \tag{38}$$

$$\hat{\mathcal{A}}_q(\tau) = \mathcal{B}_{0,q} + \mathcal{B}_{1,q} \frac{\tau}{[1]_q!} + \mathcal{B}_{2,q} \frac{\tau^2}{[2]_q!} + \dots + \mathcal{B}_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!} + \dots, \quad \kappa = 0, 1, 2, 3, \dots; \mathcal{B}_{0,q} \neq 0, \tag{39}$$

satisfying

$$\mathcal{A}_q(\tau) \hat{\mathcal{A}}_q(\tau) = 1. \tag{40}$$

On using Cauchy product rule for the two series production  $\mathcal{A}_q(\tau) \hat{\mathcal{A}}_q(\tau)$ , we get

$$\begin{aligned} \mathcal{A}_q(\tau) \hat{\mathcal{A}}_q(\tau) &= \sum_{\kappa=0}^{\infty} \mathcal{A}_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!} \sum_{\kappa=0}^{\infty} \mathcal{B}_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!} \\ &= \sum_{\kappa=0}^{\infty} \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{A}_{\nu,q} \mathcal{B}_{\kappa-\nu,q} \frac{\tau^\kappa}{[\kappa]_q!}. \end{aligned}$$

Consequently,

$$\sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{A}_{\nu,q} \mathcal{B}_{\kappa-\nu,q} = \begin{cases} 1, & \text{if } \kappa = 0, \\ 0, & \text{if } \kappa > 0. \end{cases} \tag{41}$$

That is,

$$\begin{cases} \mathcal{B}_{0,q} = \frac{1}{\mathcal{A}_{0,q}}, \\ \mathcal{B}_{\kappa,q} = -\frac{1}{\mathcal{A}_{0,q}} \left\{ \sum_{\nu=1}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{A}_{\nu,q} \mathcal{B}_{\kappa-\nu,q} \right\}, \quad \kappa = 0, 1, 2, \dots \end{cases} \tag{42}$$

Next, multiplying both sides of Equation (22) by  $\hat{\mathcal{A}}_q(t)$ , we get

$$\mathcal{A}_q(\tau) \hat{\mathcal{A}}_q(\tau) \phi_q(\tau) e_q(zH(\tau)) = \hat{\mathcal{A}}_q(\tau) \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{43}$$

Further, in view of Equations (18), (39) and (40), the above equation can be expressed as

$$\sum_{\kappa=0}^{\infty} s_{\kappa,q}(z) \frac{\tau^{\kappa}}{[\kappa]_q!} = \sum_{\kappa=0}^{\infty} B_{\kappa,q} \frac{\tau^{\kappa}}{[\kappa]_q!} \sum_{\kappa=0}^{\infty} s_{\kappa,q}(z) \frac{\tau^{\kappa}}{[\kappa]_q!}. \tag{44}$$

Now, on using Cauchy product rule for the two series in the right hand side of Equation (44), we obtain the following infinite system for the unknowns  $s_{\kappa,q}(z)$ :

$$\left\{ \begin{array}{l} B_{0,q} s_{\mathcal{A}_{0,q}}(z) = 1, \\ B_{1,q} s_{\mathcal{A}_{0,q}}(z) + B_{0,q} s_{\mathcal{A}_{1,q}}(z) = s_{1,q}(z) \\ B_{2,q} s_{\mathcal{A}_{0,q}}(z) + \begin{bmatrix} 2 \\ 1 \end{bmatrix}_q B_{1,q} s_{\mathcal{A}_{1,q}}(z) + B_{0,q} s_{\mathcal{A}_{2,q}}(z) = s_{2,q}(z), \\ \vdots \\ B_{\kappa-1,q} s_{\mathcal{A}_{0,q}}(z) + \begin{bmatrix} \kappa-1 \\ 1 \end{bmatrix}_q B_{\kappa-2,q} s_{\mathcal{A}_{1,q}}(z) + \dots + B_{0,q} s_{\mathcal{A}_{\kappa-1,q}}(z) = s_{\kappa-1,q}(z), \\ B_{\kappa,q} s_{\mathcal{A}_{0,q}}(z) + \begin{bmatrix} \kappa \\ 1 \end{bmatrix}_q B_{\kappa-1,q} s_{\mathcal{A}_{1,q}}(z) + \dots + B_{0,q} s_{\mathcal{A}_{\kappa,q}}(z) = s_{\kappa,q}(z), \\ \vdots \end{array} \right. \tag{45}$$

Obviously, the first equation of the system in Equation (45) leads to our first assertion in Equation (36). The coefficient matrix of the system in Equation (45) is lower triangular, thus this assist us to obtain the unknowns  $s_{\kappa,q}(z)$  by applying Cramer rule to the first  $\kappa + 1$  equations of the system in Equation (45). According to this, we can obtain

$$s_{\mathcal{A}_{\kappa,q}}(z) = \frac{\begin{vmatrix} B_{0,q} & 0 & 0 & \dots & 0 & 1 \\ B_{1,q} & B_{0,q} & 0 & \dots & 0 & s_{1,q}(z) \\ B_{2,q} & \begin{bmatrix} 2 \\ 1 \end{bmatrix}_q B_{1,q} & B_{0,q} & \dots & 0 & s_{2,q}(z) \\ \vdots & \vdots & \vdots & \dots & \vdots & \vdots \\ B_{\kappa-1,q} & \begin{bmatrix} \kappa-1 \\ 1 \end{bmatrix}_q B_{\kappa-2,q} & \begin{bmatrix} \kappa-1 \\ 2 \end{bmatrix}_q B_{\kappa-3,q} & \dots & B_{0,q} & s_{\kappa-1,q}(z) \\ B_{\kappa,q} & \begin{bmatrix} \kappa \\ 1 \end{bmatrix}_q B_{\kappa-1,q} & \begin{bmatrix} \kappa \\ 2 \end{bmatrix}_q B_{\kappa-2,q} & \dots & \begin{bmatrix} \kappa \\ \kappa-1 \end{bmatrix}_q B_{1,q} & s_{\kappa,q}(z) \end{vmatrix}}{\begin{vmatrix} B_{0,q} & 0 & 0 & \dots & 0 & 1 \\ B_{1,q} & B_{0,q} & 0 & \dots & 0 & 0 \\ B_{2,q} & \begin{bmatrix} 2 \\ 1 \end{bmatrix}_q B_{1,q} & B_{0,q} & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots & \vdots \\ B_{\kappa-1,q} & \begin{bmatrix} \kappa-1 \\ 1 \end{bmatrix}_q B_{\kappa-2,q} & \begin{bmatrix} \kappa-1 \\ 2 \end{bmatrix}_q B_{\kappa-3,q} & \dots & B_{0,q} & 0 \\ B_{\kappa,q} & \begin{bmatrix} \kappa \\ 1 \end{bmatrix}_q B_{\kappa-1,q} & \begin{bmatrix} \kappa \\ 2 \end{bmatrix}_q B_{\kappa-2,q} & \dots & \begin{bmatrix} \kappa \\ \kappa-1 \end{bmatrix}_q B_{1,q} & B_{0,q} \end{vmatrix}} \tag{46}$$

where  $\kappa = 1, 2, 3, \dots$ , which on expanding the determinant in the denominator and taking the transpose of the determinant in the numerator, yields to

$${}_s\mathcal{A}_{\kappa,q}(z) = \frac{1}{(\mathcal{B}_{0,q})^{\kappa+1}} \begin{vmatrix} \mathcal{B}_{0,q} & \mathcal{B}_{1,q} & \mathcal{B}_{2,q} & \dots & \mathcal{B}_{\kappa-1,q} & \mathcal{B}_{\kappa,q} \\ 0 & \mathcal{B}_{0,q} & \begin{bmatrix} 2 \\ 1 \end{bmatrix}_q \mathcal{B}_{1,q} & \dots & \begin{bmatrix} \kappa-1 \\ 1 \end{bmatrix}_q \mathcal{B}_{\kappa-2,q} & \begin{bmatrix} \kappa \\ 1 \end{bmatrix}_q \mathcal{B}_{\kappa-1,q} \\ 0 & 0 & \mathcal{B}_{0,q} & \dots & \begin{bmatrix} \kappa-1 \\ 2 \end{bmatrix}_q \mathcal{B}_{\kappa-3,q} & \begin{bmatrix} \kappa \\ 2 \end{bmatrix}_q \mathcal{B}_{\kappa-2,q} \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ 0 & 0 & 0 & \dots & \mathcal{B}_{0,q} & \begin{bmatrix} \kappa \\ \kappa-1 \end{bmatrix}_q \mathcal{B}_{1,q} \\ 1 & s_{1,q}(z) & s_{2,q}(z) & \dots & s_{\kappa-1,q}(z) & s_{\kappa,q}(z) \end{vmatrix}. \tag{47}$$

Finally, after  $\kappa$  circular row exchanges, i.e., after moving the  $j$ th row to the  $(j + 1)$ th position for  $j = 1, 2, 3, \dots, \kappa - 1$ , we arrive at our assertion in Equation (37).  $\square$

**Theorem 5.** The following identity for the qSAP  ${}_s\mathcal{A}_{\kappa,q}(z)$  holds true:

$${}_s\mathcal{A}_{\kappa,q}(z) = \frac{1}{\mathcal{B}_{0,q}} \left( s_{\kappa,q}(z) - \sum_{v=0}^{\kappa-1} \begin{bmatrix} \kappa \\ v \end{bmatrix}_q \mathcal{B}_{\kappa-v,q} {}_s\mathcal{A}_{v,q}(z) \right), \quad \kappa = 1, 2, \dots \tag{48}$$

**Proof.** Expanding the determinant in Equation (37) with respect to the  $(\kappa + 1)$ th row and using a similar approach used in ([27], Theorem 3.1), the assertion in Equation (48) is proved.  $\square$

### 3. Examples

Several members belonging to the q-Sheffer–Appell family  ${}_s\mathcal{A}_{\kappa,q}(z)$  can be derived by making suitable selections for the functions  $\mathcal{A}_q(\tau)$ ,  $\phi_q(\tau)$  and  $H(\tau)$ . The q-Hermite polynomials (qHP)  $\mathcal{H}_{\kappa,q}(z)$  [25] are one of the important members of q-Sheffer family. In addition, the q-Bernoulli polynomials  $\mathfrak{B}_{\kappa,q}(z)$ , q-Euler polynomials  $\mathcal{E}_{\kappa,q}(z)$  and q-Genocchi polynomials  $\mathcal{G}_{\kappa,q}(z)$  are considerable members of the q-Appell family. In this section, we introduce the q-Hermite–Bernoulli polynomials  ${}_H\mathfrak{B}_{\kappa,q}(z)$ , q-Hermite–Euler polynomials  ${}_H\mathcal{E}_{\kappa,q}(z)$  and q-Hermite–Genocchi polynomials  ${}_H\mathcal{G}_{\kappa,q}(z)$  by means of the generating functions, series definitions and also explore other properties of these members.

#### 3.1. q-Hermite–Bernoulli Polynomials

Since, for  $\mathcal{A}_q(\tau) = \frac{\tau}{e_q(\tau)-1}$ , the qAP  $\mathcal{A}_{\kappa,q}(z)$  reduce to the qBP  $\mathfrak{B}_{\kappa,q}(z)$  (Table 1(I)) and for  $\phi_q(\tau) = e_q(-\tau^2)$ ,  $H(\tau) = [2]_q\tau$  the qSP  ${}_s\mathcal{A}_{\kappa,q}(z)$  reduce to qHP  $\mathcal{H}_{\kappa,q}(z)$ , for the same choices of  $\mathcal{A}_q(\tau)$ ,  $\phi_q(\tau)$  and  $H(\tau)$ , the qSAP  ${}_s\mathcal{A}_{\kappa,q}(z)$  reduce to qHBP  ${}_H\mathfrak{B}_{\kappa,q}(z)$ . In view of Equation (22), the generating function for the qHBP  ${}_H\mathfrak{B}_{\kappa,q}(z)$  is given as:

$$\frac{\tau}{e_q(\tau) - 1} e_q([2]_q z \tau) e_q(-\tau^2) = \sum_{\kappa=0}^{\infty} {}_H\mathfrak{B}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{49}$$

In view of Equation (26), the qHBP  ${}_H\mathfrak{B}_{\kappa,q}(z)$  of degree  $\kappa$  are defined by the series:

$${}_H\mathfrak{B}_{\kappa,q}(z) = \sum_{v=0}^{\kappa} \begin{bmatrix} \kappa \\ v \end{bmatrix}_q \mathfrak{B}_{v,q} \mathcal{H}_{\kappa-v,q}(z). \tag{50}$$

In view of Equation (48), the following identity for the qHBP  ${}_H\mathfrak{B}_{\kappa,q}(z)$  holds true:

$${}_H\mathfrak{B}_{\kappa,q}(z) = \frac{1}{\mathcal{B}_{0,q}} \left( \mathcal{H}_{\kappa,q}(z) - \sum_{v=0}^{\kappa-1} \begin{bmatrix} \kappa \\ v \end{bmatrix}_q \mathcal{B}_{\kappa-v,q} {}_H\mathfrak{B}_{v,q}(z) \right), \quad \kappa = 1, 2, \dots \tag{51}$$

Further, by taking  $s_{\kappa,q}(z) = \mathcal{H}_{\kappa,q}(z)$ ,  $\mathcal{B}_{0,q} = 1$  and  $\mathcal{B}_{j,q} = \frac{1}{[j+1]_q}$  ( $j = 1, 2, 3, \dots$ ) in Equations (36) and (37), we obtain the determinant definition of the qHBP  ${}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z)$  given as:

**Definition 1.** The q-Hermite–Bernoulli polynomials  ${}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z)$  of degree  $\kappa$  are defined by

$$\begin{aligned}
 & {}_{\mathcal{H}}\mathfrak{B}_{0,q}(z) = 1, \tag{52} \\
 & {}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z) = (-1)^\kappa \begin{vmatrix} 1 & \mathcal{H}_{1,q}(z) & \mathcal{H}_{2,q}(z) & \dots & \mathcal{H}_{\kappa-1,q}(z) & \mathcal{H}_{\kappa,q}(z) \\ 1 & \frac{1}{[2]_q} & \frac{1}{[3]_q} & \dots & \frac{1}{[\kappa]_q} & \frac{1}{[\kappa+1]_q} \\ 0 & 1 & [2]_q \frac{1}{[2]_q} & \dots & [1]_q \frac{1}{[\kappa-1]_q} & [1]_q \frac{1}{[\kappa]_q} \\ 0 & 0 & 1 & \dots & [2]_q \frac{1}{[\kappa-2]_q} & [2]_q \frac{1}{[\kappa-1]_q} \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ 0 & 0 & 0 & \dots & 1 & [1]_q \frac{1}{[2]_q} \end{vmatrix}, \tag{53} \\
 & \kappa = 1, 2, 3, \dots,
 \end{aligned}$$

where  $\mathcal{H}_{\kappa,q}(z)$  ( $\kappa = 0, 1, 2, 3, \dots$ ) are the q-Hermite polynomials of degree  $\kappa$ .

**Theorem 6.** The q-Hermite–Bernoulli polynomials  ${}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z)$  satisfy the following q-recurrence relations:

$$D_{q,z} {}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z) = [2]_q [\kappa]_q {}_{\mathcal{H}}\mathfrak{B}_{\kappa-1,q}(z), \tag{54}$$

$$D_{q,z}^{(k)} {}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z) = \frac{[2]_q^k [\kappa]_q!}{[\kappa - k]_q!} {}_{\mathcal{H}}\mathfrak{B}_{\kappa-k,q}(z). \tag{55}$$

**Proof.** Applying the q-derivative with respect to  $z$  to both sides of Equation (49), we get

$$\begin{aligned}
 \sum_{\kappa=0}^{\infty} D_{q,z} {}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!} &= [2]_q \tau \frac{\tau}{e_q(t) - 1} e_q([2]_q z \tau) e_q(-\tau^2) \\
 &= [2]_q \sum_{\kappa=0}^{\infty} [\kappa]_q {}_{\mathcal{H}}\mathfrak{B}_{\kappa-1,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{56}
 \end{aligned}$$

Now, equating the coefficient of like powers of  $\tau$  in both sides of the above equation, we get the assertion in Equation (54). Similarly, on applying the q-derivative with respect to  $z$  to both sides of Equation (49)  $k$  times, we get the assertion in Equation (55).  $\square$

### 3.2. q-Hermite–Euler Polynomials

Since, for  $\mathcal{A}_q(\tau) = \frac{[2]_q}{e_q(\tau)+1}$ , the qAP  $\mathcal{A}_{\kappa,q}(z)$  reduce to the qEP  $\mathcal{E}_{\kappa,q}(z)$  (Table 1(II)) and for  $\phi_q(\tau) = e_q(-\tau^2)$ ,  $H(t) = [2]_q \tau$  the qSP  $s_{\kappa,q}(z)$  reduce to qHP  $\mathcal{H}_{\kappa,q}(z)$ , for the same choices of  $A_q(\tau)$ ,  $\phi_q(\tau)$  and  $H(\tau)$ , the qSAP  ${}_s\mathcal{A}_{\kappa,q}(z)$  reduce to qHEP  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$ . In view of Equation (22), the generating function for the qHEP  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  is given as:

$$\frac{[2]_q}{e_q(\tau) + 1} e_q([2]_q z \tau) e_q(-\tau^2) = \sum_{\kappa=0}^{\infty} {}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{57}$$

In view of Equation (26), the qHEP  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  of degree  $\kappa$  are defined by the series:

$${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z) = \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{E}_{\nu,q} \mathcal{H}_{\kappa-\nu,q}(z). \tag{58}$$

In view of Equation (48), the following identity for the qHEP  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  holds true:

$${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z) = \frac{1}{\mathcal{B}_{0,q}} \left( \mathcal{H}_{\kappa,q}(z) - \sum_{\nu=0}^{\kappa-1} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{B}_{\kappa-\nu,q} {}_{\mathcal{H}}\mathcal{E}_{\nu,q}(z) \right), \quad \kappa = 1, 2, \dots \tag{59}$$

Further, by taking  $s_{\kappa,q}(z) = \mathcal{H}_{\kappa,q}(z)$ ,  $\mathcal{B}_{0,q} = 1$  and  $\mathcal{B}_{j,q} = \frac{1}{2} (j = 1, 2, 3, \dots)$  in Equations (36) and (37), we obtain the determinant definition of the qHEP  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  given as:

**Definition 2.** The q-Hermite-Euler polynomials  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  of degree  $\kappa$  are defined by

$${}_{\mathcal{H}}\mathcal{E}_{0,q}(z) = 1, \tag{60}$$

$${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z) = (-1)^\kappa \begin{vmatrix} 1 & \mathcal{H}_{1,q}(z) & \mathcal{H}_{2,q}(z) & \dots & \mathcal{H}_{\kappa-1,q}(z) & \mathcal{H}_{\kappa,q}(z) \\ 1 & \frac{1}{2} & \frac{1}{2} & \dots & \frac{1}{2} & \frac{1}{2} \\ 0 & 1 & \begin{bmatrix} 2 \\ 1 \end{bmatrix}_q \frac{1}{2} & \dots & \begin{bmatrix} \kappa-1 \\ 1 \end{bmatrix}_q \frac{1}{2} & \begin{bmatrix} \kappa \\ 1 \end{bmatrix}_q \frac{1}{2} \\ 0 & 0 & 1 & \dots & \begin{bmatrix} \kappa-1 \\ 2 \end{bmatrix}_q \frac{1}{2} & \begin{bmatrix} \kappa \\ 2 \end{bmatrix}_q \frac{1}{2} \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ 0 & 0 & 0 & \dots & 1 & \begin{bmatrix} \kappa-1 \\ \kappa-1 \end{bmatrix}_q \frac{1}{2} \end{vmatrix}, \tag{61}$$

$\kappa = 1, 2, 3, \dots,$

where  $\mathcal{H}_{\kappa,q}(z) (\kappa = 0, 1, 2, 3, \dots)$  are the q-Hermite polynomials of degree  $\kappa$ .

**Theorem 7.** The q-Hermite-Euler polynomials  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  satisfy the following q-recurrence relations:

$$D_{q,z} {}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z) = [2]_q [ \kappa ]_q {}_{\mathcal{H}}\mathcal{E}_{\kappa-1,q}(z), \tag{62}$$

$$D_{q,z}^{(k)} {}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z) = \frac{[2]_q^k [ \kappa ]_q!}{[ \kappa - k ]_q!} {}_{\mathcal{H}}\mathcal{E}_{\kappa-k,q}(z). \tag{63}$$

**Proof.** Using a similar approach used in the proof of Theorem 6, we are led to the assertions in Equations (62) and (63). □

### 3.3. q-Hermite-Genocchi Polynomials

Since, for  $\mathcal{A}_q(\tau) = \frac{[2]_q \tau}{e_q(\tau)+1}$ , the qAP  $\mathcal{A}_{\kappa,q}(z)$  reduce to the qGP  $\mathcal{G}_{\kappa,q}(z)$  (Table 1(III)) and for  $\phi_q(\tau) = e_q(-\tau^2)$ ,  $H(\tau) = [2]_q \tau$  the qSP  $s_{\kappa,q}(z)$  reduce to qHP  $\mathcal{H}_{\kappa,q}(z)$ , for the same choices of  $A_q(\tau)$ ,  $\phi_q(\tau)$  and  $H(\tau)$ , the qSAP  ${}_s\mathcal{A}_{\kappa,q}(z)$  reduce to qHGP  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  which in view of Equation (22) can be defined by means of following generating functions:

$$\frac{[2]_q \tau}{e_q(\tau) + 1} e_q([2]_q z \tau) e_q(-\tau^2) = \sum_{\kappa=0}^{\infty} {}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z) \frac{\tau^\kappa}{[ \kappa ]_q!}. \tag{64}$$

In view of Equation (26), the qHGP  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  of degree  $\kappa$  are defined by the series:

$${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z) = \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{G}_{\nu,q} \mathcal{H}_{\kappa-\nu,q}(z). \tag{65}$$

In view of Equation (48), the following identity for the qHGP  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  holds true:

$${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z) = \frac{1}{\mathcal{B}_{0,q}} \left( \mathcal{H}_{\kappa,q}(z) - \sum_{\nu=0}^{\kappa-1} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q \mathcal{B}_{\kappa-\nu,q} {}_{\mathcal{H}}\mathcal{G}_{\nu,q}(z) \right), \quad \kappa = 1, 2, \dots \tag{66}$$

Further, by taking  $s_{\kappa,q}(z) = \mathcal{H}_{\kappa,q}(z)$ ,  $\mathcal{B}_{0,q} = 1$  and  $\mathcal{B}_{j,q} = \frac{1}{2[j+1]_q}$  ( $j = 1, 2, 3, \dots$ ) in Equations (36) and (37), we obtain the determinant definition of the qHGP  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  given as:

**Definition 3.** The q-Hermite–Genocchi polynomials  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  of degree  $\kappa$  are defined by

$$\begin{aligned}
 & {}_{\mathcal{H}}\mathcal{G}_{0,q}(z) = 1, \tag{67} \\
 & {}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z) = (-1)^\kappa \begin{vmatrix} 1 & \mathcal{H}_{1,q}(z) & \mathcal{H}_{2,q}(z) & \dots & \mathcal{H}_{\kappa-1,q}(z) & \mathcal{H}_{1,q}(z) \\ 1 & \frac{1}{2[2]_q} & \frac{1}{2[3]_q} & \dots & \frac{1}{2[\kappa]_q} & \frac{1}{2[\kappa+1]_q} \\ 0 & 1 & \frac{[2]_q}{[1]_q} \frac{1}{2[2]_q} & \dots & \frac{[\kappa-1]_q}{[1]_q} \frac{1}{2[\kappa-1]_q} & \frac{[\kappa]_q}{[1]_q} \frac{1}{2[\kappa]_q} \\ 0 & 0 & 1 & \dots & \frac{[\kappa-1]_q}{[2]_q} \frac{1}{2[\kappa-2]_q} & \frac{[\kappa]_q}{[2]_q} \frac{1}{2[\kappa-1]_q} \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ \cdot & \cdot & \cdot & \dots & \cdot & \cdot \\ 0 & 0 & 0 & \dots & 1 & \frac{[\kappa-1]_q}{[1]_q} \frac{1}{2[2]_q} \end{vmatrix}, \tag{68} \\
 & \kappa = 1, 2, 3, \dots,
 \end{aligned}$$

where  $\mathcal{H}_{\kappa,q}(z)$  ( $\kappa = 0, 1, 2, 3, \dots$ ) are the q-Hermite polynomials of degree  $\kappa$ .

**Theorem 8.** The q-Hermite–Genocchi polynomials  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  satisfy the following q-recurrence relations:

$$D_{q,z} {}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z) = [2]_q [k]_q {}_{\mathcal{H}}\mathcal{G}_{\kappa-1,q}(z), \tag{69}$$

$$D_{q,z}^{(k)} {}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z) = \frac{[2]_q^k [k]_q!}{[\kappa - k]_q!} {}_{\mathcal{H}}\mathcal{G}_{\kappa-k,q}(z). \tag{70}$$

**Proof.** Using a similar approach used in the proof of Theorem 6, we are led to the assertions in Equations (69) and (70). □

In the next section, we introduce a new class of the 2D q-Sheffer–Appell polynomials by means of generating function and series representation.

### 4. 2D q-Sheffer–Appell Polynomials

Recently, Keleshteri and Mahmudov [27] introduced the 2D q-Appell polynomials (2DqAP)  $\{\mathcal{A}_{\kappa,q}(z_1, z_2)\}_{\kappa=0}^\infty$ , which are defined by means of the generating functions:

$$\mathcal{A}_q(\tau) e_q(z_1\tau) E_q(z_2\tau) = \sum_{\kappa=0}^\infty \mathcal{A}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}, \quad 0 < q < 1, \tag{71}$$

where

$$\mathcal{A}_q(\tau) = \sum_{\kappa=0}^\infty \mathcal{A}_{\kappa,q} \frac{\tau^\kappa}{[\kappa]_q!}, \quad \mathcal{A}_q(\tau) \neq 0; \quad \mathcal{A}_{0,q} = 1 \tag{72}$$

and  $\mathcal{A}_{\kappa,q} := \mathcal{A}_{\kappa,q}(0, 0)$  denotes the 2D q-Appell numbers.

Some members of the 2D q-Appell polynomials are listed in Table 2.

The approach used in the previous section is further exploited to introduce the 2D q-Sheffer–Appell polynomials (2DqSAP) and the focus is on deriving its generating functions and series definitions.



**Table 2.** Some members of 2D q-Appell polynomials.

S. No.	$A_q(\tau)$	Generating Functions	Polynomials
I.	$A_q(\tau) = \frac{\tau}{(e_q(\tau)-1)}$	$\frac{\tau}{(e_q(\tau)-1)} e_q(z_1\tau) E_q(z_2\tau) = \sum_{\kappa=0}^{\infty} \mathfrak{B}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}$	The 2D q-Bernoulli polynomials [21,28]
II.	$A_q(\tau) = \frac{[2]_q}{(e_q(\tau)+1)}$	$\frac{[2]_q}{(e_q(\tau)+1)} e_q(z_1\tau) E_q(z_2\tau) = \sum_{\kappa=0}^{\infty} \mathcal{E}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}$	The 2D q-Euler polynomials [21,28]
III.	$A_q(\tau) = \frac{[2]_q \tau}{(e_q(\tau)+1)}$	$\frac{[2]_q \tau}{(e_q(\tau)+1)} e_q(z_1\tau) E_q(z_2\tau) = \sum_{\kappa=0}^{\infty} \mathcal{G}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}$	The 2D q-Genocchi polynomials [21,28]

To establish the generating function for the 2DqSAP, the following result is proved:

**Theorem 9.** *The following generating function for the 2D q-Sheffer–Appell polynomials  ${}_s\mathcal{A}_{\kappa,q}(z_1, z_2)$  holds true:*

$$A_q(\tau)\phi_q(\tau) e_q(z_1H(\tau))E_q(z_2\tau) = \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{73}$$

**Proof.** By expanding the first q-exponential function  $e_q(z_1\tau)$  in the left hand side of Equation (71) and then replacing the powers of  $z_1$  i.e.,  $z_1^0, z_1^1, z_1^2, \dots, z_1^\kappa$  by the corresponding polynomials  $s_{0,q}(z_1), s_{1,q}(z_1), s_{2,q}(z_1), \dots, s_{\kappa,q}(z_1)$  in the left hand side and  $z_1$  by  $s_{1,q}(z_1)$  in the right hand side of the resultant equation, we have

$$A_q(\tau) \left( 1 + s_{1,q}(z_1) \frac{\tau}{[1]_q!} + s_{2,q}(z_1) \frac{\tau^2}{[2]_q!} + \dots + s_{\kappa,q}(z_1) \frac{\tau^\kappa}{[\kappa]_q!} + \dots \right) E_q(z_2\tau) = \sum_{\kappa=0}^{\infty} \mathcal{A}_{\kappa,q}(s_{1,q}(z_1), z_2) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{74}$$

Further, summing up the series in left hand side and then using Equation (18) in the resultant equation, we get

$$A_q(\tau)\phi_q(\tau) e_q(z_1H(\tau))E_q(z_2\tau) = \sum_{\kappa=0}^{\infty} \mathcal{A}_{\kappa,q}(s_{1,q}(z_1), z_2) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{75}$$

Finally, denoting the resultant qSAP in the right hand side of the above equation by  ${}_s\mathcal{A}_{\kappa,q}(z_1, z_2)$ , that is

$$\mathcal{A}_{\kappa,q}(s_{1,q}(z_1), z_2) = {}_s\mathcal{A}_{\kappa,q}(z_1, z_2), \tag{76}$$

the assertion in Equation (22) is proved.  $\square$

**Theorem 10.** *The 2D q-Sheffer–Appell polynomials  ${}_s\mathcal{A}_{\kappa,q}(z_1, z_2)$  are defined by the following series definitions:*

$${}_s\mathcal{A}_{\kappa,q}(z_1, z_2) = \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q q^{\frac{\nu(\nu-1)}{2}} z_2^\nu {}_s\mathcal{A}_{\kappa,q}(z_1). \tag{77}$$

**Proof.** Using Equations (11) and (1) in Equation (73), we get

$$\sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z_1) \frac{\tau^\kappa}{[\kappa]_q!} \sum_{\nu=0}^{\infty} q^{\frac{\nu(\nu-1)}{2}} z_2^\nu \frac{\tau^\nu}{[\nu]_q!} = \sum_{\kappa=0}^{\infty} {}_s\mathcal{A}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}. \tag{78}$$

Now, using the Cauchy product rule in the left hand side of the above equation and then equating the coefficients of like powers of  $\tau$  in both sides of the resultant equation, we get the assertion in Equation (77).  $\square$

Since for  $\phi_q(\tau) = e_q(-\tau^2), H(\tau) = [2]_q\tau$  the qSP  $s_{\kappa,q}(z)$  reduce to qHP  $\mathcal{H}_{\kappa,q}(z)$ , by making same choices for the functions  $\phi_q(\tau)$  and  $H(\tau)$  in Equations (73) and (77), we get

$$\mathcal{A}_q(\tau)e_q([2]_q z_1 \tau)e_q(-\tau^2)E_q(z_2 \tau) = \sum_{\kappa=0}^{\infty} \mathcal{H}\mathcal{A}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}, \tag{79}$$

$$\mathcal{H}\mathcal{A}_{\kappa,q}(z_1, z_2) = \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q q^{\frac{\nu(\nu-1)}{2}} z_2^\nu \mathcal{H}\mathcal{A}_{\kappa-\nu,q}(z_1). \tag{80}$$

Certain members belonging to the 2D q-Appell family are given in Table 2. By making suitable choices for the functions  $\mathcal{A}_q(t)$  in Equations (79) and (80), the generating functions and series definitions for the corresponding member belonging to the 2D q-Hermite–Appell family can be obtained. The resultant 2D q-Hermite–Appell polynomials (2DqHAP) along with their generating functions and series definitions are given in Table 3.

**Table 3.** Certain members belonging to the 2DqHAP  $\mathcal{H}\mathcal{A}_{\kappa,q}(z_1, z_2)$ .

S. No.	$\mathcal{A}_q(\tau)$	Generating Functions	Series Definition	Polynomials
I.	$\frac{\tau}{(e_q(\tau)-1)}$	$\frac{\tau}{(e_q(\tau)-1)}e_q([2]_q z_1 \tau)e_q(-\tau^2)E_q(z_2 \tau)$ $= \sum_{\kappa=0}^{\infty} \mathcal{H}\mathcal{B}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}$	$\mathcal{H}\mathcal{B}_{\kappa,q}(z_1, z_2)$ $= \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q q^{\frac{\nu(\nu-1)}{2}} z_2^\nu \mathcal{H}\mathcal{B}_{\kappa-\nu,q}(z_1)$	The 2D q-Hermite–Bernoulli polynomials
II.	$\frac{[2]_q}{(e_q(\tau)+1)}$	$\frac{[2]_q}{(e_q(\tau)+1)}e_q([2]_q z_1 \tau)e_q(-\tau^2)E_q(z_2 \tau)$ $= \sum_{\kappa=0}^{\infty} \mathcal{H}\mathcal{E}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}$	$\mathcal{H}\mathcal{E}_{\kappa,q}(z_1, z_2)$ $= \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q q^{\frac{\nu(\nu-1)}{2}} z_2^\nu \mathcal{H}\mathcal{E}_{\kappa-\nu,q}(z_1)$	The 2D q-Hermite–Euler polynomials
III.	$\frac{[2]_q \tau}{(e_q(\tau)+1)}$	$\frac{[2]_q \tau}{(e_q(\tau)+1)}e_q([2]_q z_1 \tau)e_q(-\tau^2)E_q(z_2 \tau)$ $= \sum_{\kappa=0}^{\infty} \mathcal{H}\mathcal{G}_{\kappa,q}(z_1, z_2) \frac{\tau^\kappa}{[\kappa]_q!}$	$\mathcal{H}\mathcal{G}_{\kappa,q}(z_1, z_2)$ $= \sum_{\nu=0}^{\kappa} \begin{bmatrix} \kappa \\ \nu \end{bmatrix}_q q^{\frac{\nu(\nu-1)}{2}} z_2^\nu \mathcal{H}\mathcal{G}_{\kappa-\nu,q}(z_1)$	The 2D q-Hermite–Genocchi polynomials

### 5. Graphical Representation

In this section, the shapes of some members of the q-Sheffer–Appell polynomials and 2D q-Sheffer–Appell polynomials are displayed with the help of Matlab.

To draw the graphs of qHBP  $\mathcal{H}\mathcal{B}_{\kappa,q}(z)$ , qHEP  $\mathcal{H}\mathcal{E}_{\kappa,q}(z)$  and qHGP  $\mathcal{H}\mathcal{G}_{\kappa,q}(z)$ , we considered the first four values of q-Hermite polynomials  $\mathcal{H}_{\kappa,q}(z)$  [25]; the expressions of these polynomials are listed in Table 4.

**Table 4.** Expressions of the first four  $\mathcal{H}_{\kappa,q}(z)$ .

$\kappa$	0	1	2	3
$\mathcal{H}_{\kappa,q}(z)$	1	$[2]_q z$	$[2]_q^2 z^2 - [2]_q$	$[2]_q^3 z^3 - [3]_q [2]_q^2 z$

Next, setting  $\kappa = 3$  in the determinant definitions in Equations (53), (61) and (68), we have

$$\mathcal{H}\mathcal{B}_{3,q}(z) = (-1)^3 \begin{vmatrix} 1 & \mathcal{H}_{1,q}(z) & \mathcal{H}_{2,q}(z) & \mathcal{H}_{3,q}(z) \\ 1 & \frac{1}{[2]_q} & \frac{1}{[3]_q} & \frac{1}{[4]_q} \\ 0 & 1 & \begin{bmatrix} 2 \\ 1 \end{bmatrix}_q \frac{1}{[2]_q} & \begin{bmatrix} 3 \\ 1 \end{bmatrix}_q \frac{1}{[3]_q} \\ 0 & 0 & 1 & \begin{bmatrix} 3 \\ 2 \end{bmatrix}_q \frac{1}{[2]_q} \end{vmatrix}, \tag{81}$$

$$\mathcal{H}\mathcal{E}_{3,q}(z) = (-1)^3 \begin{vmatrix} 1 & \mathcal{H}_{1,q}(z) & \mathcal{H}_{2,q}(z) & \mathcal{H}_{3,q}(z) \\ 1 & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ 0 & 1 & \begin{bmatrix} 2 \\ 1 \end{bmatrix}_q \frac{1}{2} & \begin{bmatrix} 3 \\ 1 \end{bmatrix}_q \frac{1}{2} \\ 0 & 0 & 1 & \begin{bmatrix} 3 \\ 2 \end{bmatrix}_q \frac{1}{2} \end{vmatrix} \tag{82}$$

and

$${}_{\mathcal{H}}\mathcal{G}_{3,q}(z) = (-1)^3 \begin{vmatrix} 1 & \mathcal{H}_{1,q}(z) & \mathcal{H}_{2,q}(z) & \mathcal{H}_{3,q}(z) \\ 1 & \frac{1}{2[2]_q} & \frac{1}{2[3]_q} & \frac{1}{2[4]_q} \\ 0 & 1 & [2]_q \frac{1}{2[2]_q} & [3]_q \frac{1}{2[3]_q} \\ 0 & 0 & 1 & [2]_q \frac{1}{2[2]_q} \end{vmatrix}. \tag{83}$$

Now, taking  $q = \frac{1}{3}$  and using the expressions of the  $\mathcal{H}_{\kappa,q}(z)$  in Table 4, Equations (81)–(83) become

$${}_{\mathcal{H}}\mathfrak{B}_{3,\frac{1}{3}}(z) = \frac{64}{27}z^3 - \frac{52}{27}z^2 - \frac{103}{9}z + \frac{1049}{720}, \tag{84}$$

$${}_{\mathcal{H}}\mathcal{E}_{3,\frac{1}{3}}(z) = \frac{64}{27}z^3 - \frac{104}{81}z^2 - \frac{26}{9}z + \frac{17}{18}, \tag{85}$$

$${}_{\mathcal{H}}\mathcal{G}_{3,\frac{1}{3}}(z) = \frac{64}{27}z^3 + \frac{11}{27}z^2 - \frac{931}{324}z - \frac{2129}{5760}. \tag{86}$$

Similarly, we can obtain the values of  ${}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z)$ ,  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  and  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  for  $\kappa = 1, 2$  and  $q = \frac{1}{3}$  as: For  $\kappa = 2$ , we get

$${}_{\mathcal{H}}\mathfrak{B}_{2,\frac{1}{3}}(z) = \frac{16}{9}z^2 - \frac{4}{3}z - \frac{199}{156}, \tag{87}$$

$${}_{\mathcal{H}}\mathcal{E}_{2,\frac{1}{3}}(z) = \frac{16}{9}z^2 - \frac{8}{9}z - \frac{3}{2}, \tag{88}$$

$${}_{\mathcal{H}}\mathcal{G}_{2,\frac{1}{3}}(z) = \frac{16}{9}z^2 - \frac{2}{3}z - \frac{931}{624}. \tag{89}$$

For  $\kappa = 1$ , we get

$${}_{\mathcal{H}}\mathfrak{B}_{1,\frac{1}{3}}(z) = -\frac{3}{4} + \frac{4}{3}z, \tag{90}$$

$${}_{\mathcal{H}}\mathcal{E}_{1,\frac{1}{3}}(z) = -\frac{1}{2} + \frac{4}{3}z, \tag{91}$$

$${}_{\mathcal{H}}\mathcal{G}_{1,\frac{1}{3}}(z) = -\frac{3}{8} + \frac{4}{3}z. \tag{92}$$

Further, setting  $\kappa = 3, q = \frac{1}{3}$  in the series definitions of  ${}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z_1, z_2)$ ,  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z_1, z_2)$  and  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z_1, z_2)$  given in Table 3 and using the expressions of  ${}_{\mathcal{H}}\mathfrak{B}_{\kappa,q}(z)$ ,  ${}_{\mathcal{H}}\mathcal{E}_{\kappa,q}(z)$  and  ${}_{\mathcal{H}}\mathcal{G}_{\kappa,q}(z)$  for  $\kappa = 1, 2, 3$  from Equations (84)–(92), we have

$${}_{\mathcal{H}}\mathfrak{B}_{3,\frac{1}{3}}(z_1, z_2) = \frac{64}{27}z_1^3 - \frac{52}{27}z_1^2 - \frac{103}{9}z_1 + \frac{1049}{720} + \frac{304}{27}z_1^2z_2 - \frac{76}{9}z_1z_2 - \frac{3781}{468}z_2 - \frac{19}{36}z_2^2 + \frac{76}{81}z_1z_2^2 + \frac{1}{729}z_2^3, \tag{93}$$

$${}_{\mathcal{H}}\mathcal{E}_{3,\frac{1}{3}}(z_1, z_2) = \frac{64}{27}z_1^3 - \frac{104}{81}z_1^2 - \frac{26}{9}z_1 + \frac{17}{18} + \frac{304}{27}z_1^2z_2 - \frac{152}{27}z_1z_2 - \frac{19}{2}z_2 - \frac{19}{54}z_2^2 + \frac{76}{81}z_1z_2^2 + \frac{1}{729}z_2^3, \tag{94}$$

$${}_{\mathcal{H}}\mathcal{G}_{3,\frac{1}{3}}(z_1, z_2) = \frac{64}{27}z_1^3 + \frac{11}{27}z_1^2 - \frac{931}{324}z_1 - \frac{2129}{5760} + \frac{304}{27}z_1^2z_2 - \frac{38}{9}z_1z_2 - \frac{17689}{1872}z_2 - \frac{19}{72}z_2^2 + \frac{76}{81}z_1z_2^2 + \frac{1}{729}z_2^3. \tag{95}$$

Now, with the help of Matlab and using Equations (52), (60), (67), (84)–(95), we get the following Figures 1–6.

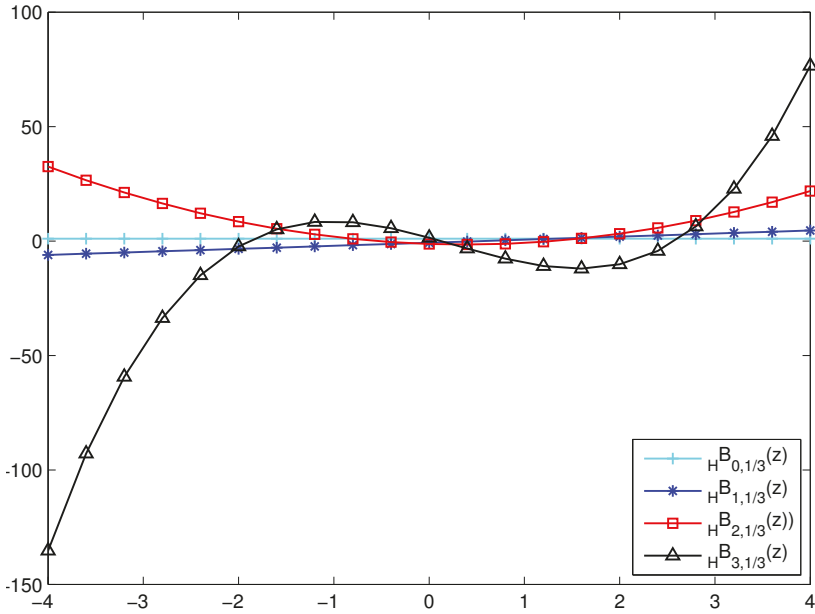


Figure 1. Graph of  $\eta \mathcal{B}_{\kappa,q}(z)$ .

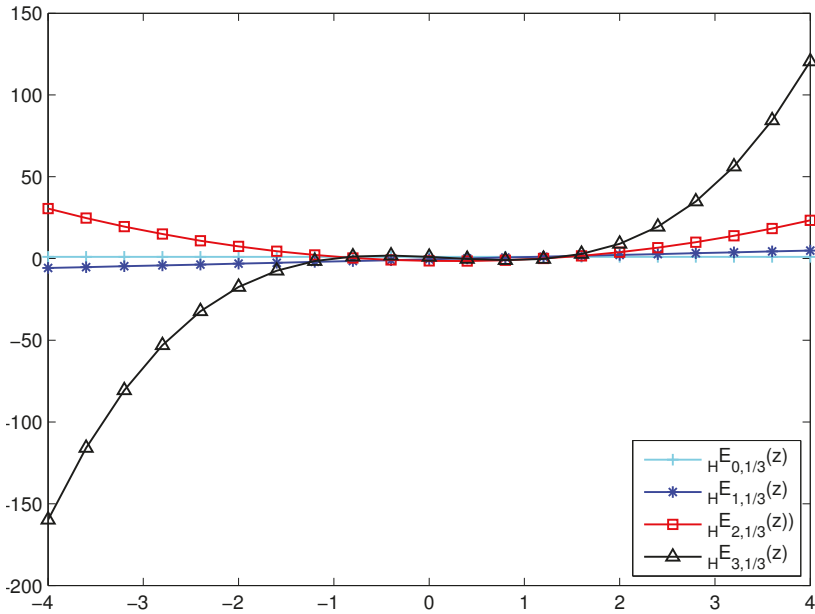


Figure 2. Graph of  $\eta \mathcal{E}_{\kappa,q}(z)$ .

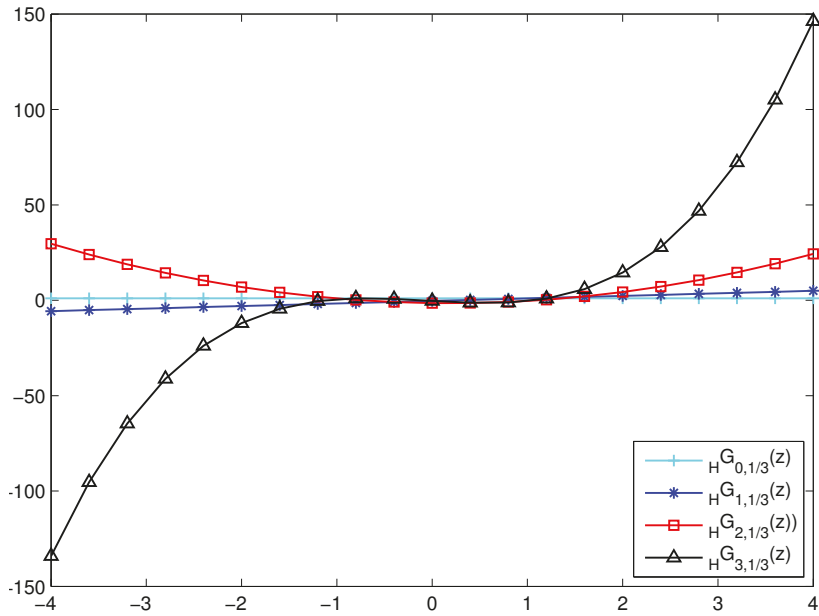


Figure 3. Graph of  $\mathcal{H}G_{\kappa,q}(z)$ .

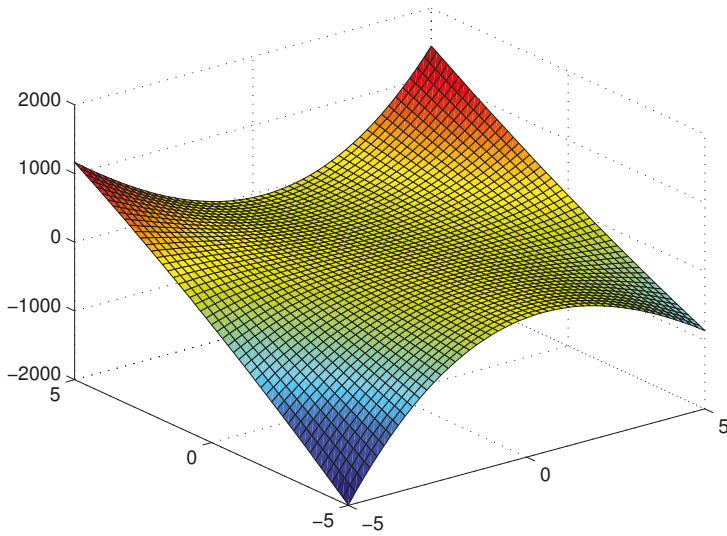


Figure 4. Surface plot of  $\mathcal{H}\mathcal{B}_{3,1/3}(z_1, z_2)$ .

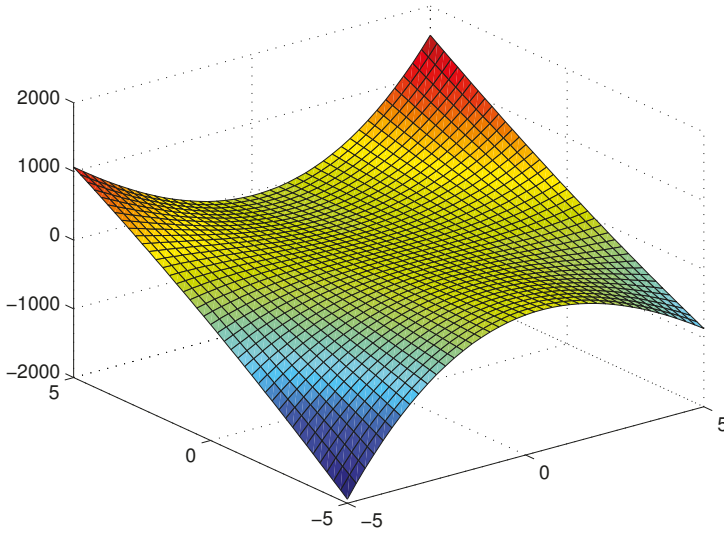


Figure 5. Surface plot of  $\mathcal{H}\mathcal{E}_{3, \frac{1}{3}}(z_1, z_2)$ .

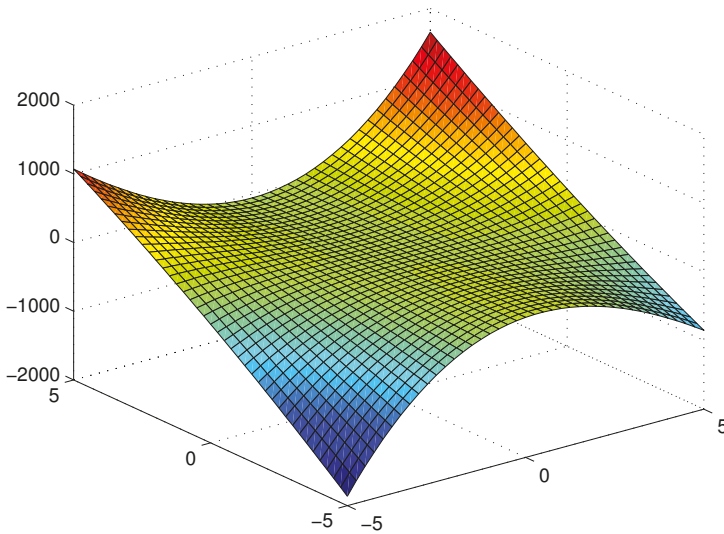


Figure 6. Surface plot of  $\mathcal{H}\mathcal{G}_{3, \frac{1}{3}}(z_1, z_2)$ .

### 6. Further Remarks

It is worth noting that the results derived in the previous sections can be exploited to establish further new relations.

Let us consider the following relation

$$[2]_q^{-\kappa} D_{q,z}^\kappa e_q(-[2]_q z \tau) = (-\tau)^\kappa e_q(-[2]_q z \tau), \tag{96}$$

which, on replacing  $\kappa$  by  $2\kappa$  and multiplying both sides of the resultant equation by  $\frac{1}{[\kappa]_q!}$ , gives

$$\frac{1}{[\kappa]_q!} [2]_q^{-2\kappa} D_{q,z}^{2\kappa} e_q(-[2]_q z \tau) = \frac{1}{[\kappa]_q!} (-\tau)^{2\kappa} e_q(-[2]_q z \tau). \tag{97}$$

Now, taking summation on both sides of the above equation and then multiplying both sides of the resultant equation by  $\frac{\tau}{e_q(\tau)-1}$  and using Equation (49), we get

$$\sum_{\kappa=0}^{\infty} \mathcal{H}\mathfrak{B}_{\kappa,q}(x) \frac{\tau^\kappa}{[\kappa]_q!} = \frac{\tau}{e_q(\tau)-1} \sum_{\kappa=0}^{\infty} \frac{[2]_q^{-2\kappa}}{[\kappa]_q!} D_{q,z}^{2\kappa} e_q([2]_q x \tau), \tag{98}$$

where  $x = -z$ .

Similarly, we can obtain the following results:

$$\sum_{\kappa=0}^{\infty} \mathcal{H}\mathcal{E}_{\kappa,q}(x) \frac{\tau^\kappa}{[\kappa]_q!} = \frac{[2]_q}{e_q(\tau)+1} \sum_{\kappa=0}^{\infty} \frac{[2]_q^{-2\kappa}}{[\kappa]_q!} D_{q,z}^{2\kappa} e_q([2]_q x \tau), \tag{99}$$

$$\sum_{\kappa=0}^{\infty} \mathcal{H}\mathcal{G}_{\kappa,q}(x) \frac{\tau^\kappa}{[\kappa]_q!} = \frac{[2]_q \tau}{e_q(\tau)+1} \sum_{\kappa=0}^{\infty} \frac{[2]_q^{-2\kappa}}{[\kappa]_q!} D_{q,z}^{2\kappa} e_q([2]_q x \tau), \tag{100}$$

where  $x = -z$ .

### 7. Conclusions

We would like to underline that the  $q$ -series and  $q$ -polynomials have many applications in different fields of mathematics, physics and engineering. In the present article, we demonstrate how a new replacement technique has been adopted to introduce mixed type  $q$ -special polynomials and different method to establish their  $q$ -recurrence relation.

To extend this new and significant approach, the hybrid class of the  $q$ -Sheffer–Appell polynomials and 2D  $q$ -Sheffer–Appell polynomials are introduced by means of series expansion and generating functions. The determinant form related to  $q$ -Sheffer–Appell polynomials are derived, which are important for the computational and applied purposes. This process can be used to establish further a wide variety of formulas and new relations for several other  $q$ -special polynomials.

The  $q$ -difference equation for the two iterated  $q$ -Appell and mixed type  $q$ -Appell polynomials are established in [29,30]. This aspect may be considered in future investigation.

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Article

# The Order of Strongly Starlikeness of the Generalized $\alpha$ -Convex Functions

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**Abstract:** We consider the order of the strongly-starlikeness of the generalized  $\alpha$ -convex functions. Some sufficient conditions for functions to be  $p$ -valently strongly-starlike are given.

**Keywords:** analytic;  $\alpha$ -convex function; starlike function; strongly-starlike function; subordination

**MSC:** 30C45; 30C80

## 1. Introduction

Let  $\mathbb{N}$ ,  $\mathbb{R}$  and  $\mathbb{C}$  denote the sets of positive integers, real numbers and complex numbers, respectively.

**Definition 1.** A function  $f$  is called  $p$ -valent in a domain  $\mathbb{D} \subset \mathbb{C}$  if the equation  $f(z) = w$  has at most  $p$  roots in  $\mathbb{D}$  for every complex number  $w$ , and there is a complex number  $w_0$  such that  $f(z) = w_0$  has exactly  $p$  roots in  $\mathbb{D}$ .

Let  $\mathcal{A}(p)$  denote the class of analytic functions in  $\mathbb{U} = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}$  of the form:

$$f(z) = z^p + \sum_{n=1}^{\infty} a_{p+n} z^{p+n} \quad (p \in \mathbb{N}). \quad (1)$$

For  $p = 1$ , we denote  $\mathcal{A} := \mathcal{A}(1)$ .

**Definition 2.** A function  $f \in \mathcal{A}(p)$  is said to be  $p$ -valently starlike in  $\mathbb{U}$  if it satisfies:

$$\operatorname{Re} \left\{ \frac{zf'(z)}{f(z)} \right\} > 0 \quad (z \in \mathbb{U}). \quad (2)$$

We denote by  $\mathcal{S}_p^*$  the subclass of  $\mathcal{A}(p)$  consisting of all  $p$ -valently starlike functions in  $\mathbb{U}$ .

**Definition 3.** If  $f \in \mathcal{A}(p)$  satisfies:

$$\left| \arg \left\{ \frac{zf'(z)}{f(z)} \right\} \right| < \frac{\beta\pi}{2} \quad (z \in \mathbb{U}) \quad (3)$$

for some  $\beta \in (0, 1]$ , then the function  $f$  is called  $p$ -valently strongly-starlike of order  $\beta$  in  $\mathbb{U}$ . We denote this class by  $\mathcal{SS}_p^*(\beta)$ .

For  $p = 1$ , the class  $\mathcal{SS}_1^*(\beta)$  was introduced by Brannan and Kirwan [1]. It is clear that:

$$\mathcal{SS}_p^*(\beta) \subset \mathcal{S}_p^* \quad \text{and} \quad \mathcal{SS}_p^*(1) = \mathcal{S}_p^*.$$

The strongly-starlike functions and related functions have been extensively studied by several authors (see, e.g., [1–16]).

We say that for functions  $f$  and  $g$  analytic in  $\mathbb{U}$ ,  $g$  is subordinate to  $f$ , written  $g \prec f$ , if there exists a Schwarz function  $w$  such that  $g(z) = f(w(z))$  for  $z \in \mathbb{U}$ . In particular, if  $f$  is univalent in  $\mathbb{U}$ , then:

$$g(z) \prec f(z) \quad (z \in \mathbb{U}) \iff g(0) = f(0) \quad \text{and} \quad g(\mathbb{U}) \subset f(\mathbb{U}).$$

In [17], Mocanu first introduced the class:

$$\mathcal{M}(\alpha) = \left\{ f \in \mathcal{A} : \operatorname{Re} \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} \right) + (1 - \alpha) \frac{zf'(z)}{f(z)} \right\} > 0, \quad \alpha \in \mathbb{R}, \quad z \in \mathbb{U} \right\} \quad (4)$$

of  $\alpha$ -convex functions, which give a continuous passage from convex to starlike functions. He proved that every  $\alpha$ -convex function is starlike. Recently, Nunokawa, Sokól and Trabka-Wieclaw [8] considered the generalized  $\alpha$ -convex function class:

$$\mathcal{M}(\alpha, \beta) = \left\{ f \in \mathcal{A} : \left| \arg \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} \right) + (1 - \alpha) \frac{zf'(z)}{f(z)} \right\} \right| < \frac{\beta\pi}{2}, \quad \alpha \in \mathbb{R}, \quad \beta \in (0, 1], \quad z \in \mathbb{U} \right\}.$$

In this paper, we shall further study the properties of the generalized  $\alpha$ -convex functions. Several sufficient conditions for functions to be  $p$ -valently strongly starlike are obtained.

The following lemmas will be required in our investigation.

**Lemma 1** (See [18]). *Let  $g$  be analytic and univalent in  $\mathbb{U}$ . Furthermore, let  $\theta$  and  $\varphi$  be analytic in a domain  $\mathbb{D} \supseteq g(\mathbb{U})$  with  $\varphi(w) \neq 0$  for  $w \in g(\mathbb{U})$ . Put:*

$$Q(z) = zg'(z)\varphi(g(z)) \quad \text{and} \quad h(z) = \theta(g(z)) + Q(z)$$

and suppose that

- (i)  $Q$  is univalent starlike in  $\mathbb{U}$  and
- (ii)  $\operatorname{Re} \left\{ \frac{zh'(z)}{Q(z)} \right\} = \operatorname{Re} \left\{ \frac{\theta'(g(z))}{\varphi(g(z))} + \frac{zQ'(z)}{Q(z)} \right\} > 0 \quad (z \in \mathbb{U})$ .

If  $q$  is analytic in  $\mathbb{U}$  with  $q(0) = g(0)$ ,  $q(\mathbb{U}) \subset \mathbb{D}$  and:

$$\theta(q(z)) + zq'(z)\varphi(q(z)) \prec \theta(g(z)) + zg'(z)\varphi(g(z)) = h(z) \quad (z \in \mathbb{U}), \quad (5)$$

then  $q(z) \prec g(z)$  ( $z \in \mathbb{U}$ ). The function  $g$  is the best dominant of (5).

**Lemma 2** (See [19]). *Let  $p(z)$  be an analytic function in  $\mathbb{U}$  of the form:*

$$p(z) = 1 + \sum_{n=m}^{\infty} c_n z^n, \quad c_m \neq 0, \quad m \geq 1,$$

with  $p(z) \neq 0$  in  $\mathbb{U}$ . If there exists a point  $z_0$ ,  $|z_0| < 1$ , such that:

$$|\arg\{p(z)\}| < \frac{\pi}{2}$$

for  $|z| < |z_0|$  and:

$$|\arg\{p(z_0)\}| = \frac{\pi}{2},$$

then:

$$\frac{z_0 p'(z_0)}{p(z_0)} = il,$$

where:

$$l \geq \frac{m}{2} \left( a + \frac{1}{a} \right) \quad \text{when} \quad \arg\{p(z_0)\} = \frac{\pi}{2}$$

and:

$$l \leq -\frac{m}{2} \left( a + \frac{1}{a} \right) \quad \text{when} \quad \arg\{p(z_0)\} = -\frac{\pi}{2},$$

where  $p(z_0) = \pm ia, a > 0$ .

## 2. Main Results

**Theorem 1.** Let  $\lambda_0, \lambda, \beta, a \in \mathbb{R}$  satisfy  $\lambda \geq 0, \lambda_0 a \geq 0, 0 < \beta \leq 1$  and  $|a| \leq \frac{1}{\beta}$ . If  $q$  is analytic in  $\mathbb{U}$  with  $q(0) = 1$  and satisfies:

$$\lambda_0(q(z))^a + \lambda q(z) + \frac{zq'(z)}{q(z)} \prec h(z) \quad (z \in \mathbb{U}), \tag{6}$$

where:

$$h(z) = \lambda_0 \left( \frac{1+z}{1-z} \right)^{a\beta} + \lambda \left( \frac{1+z}{1-z} \right)^\beta + \frac{2\beta z}{1-z^2} \tag{7}$$

is (close-to-convex) univalent in  $\mathbb{U}$ , then:

$$|\arg\{q(z)\}| < \frac{\beta\pi}{2} \quad (z \in \mathbb{U}). \tag{8}$$

The bound  $\beta$  in (8) is sharp for the function  $q$  defined by:

$$q(z) = \left( \frac{1+z}{1-z} \right)^\beta. \tag{9}$$

**Proof.** We choose:

$$g(z) = \left( \frac{1+z}{1-z} \right)^\beta, \quad \theta(w) = \lambda_0 w^a + \lambda w \quad \text{and} \quad \varphi(w) = \frac{1}{w}$$

in Lemma 1. Then, the function  $g$  is analytic and convex univalent in  $\mathbb{U}$  and:

$$|\arg\{g(z)\}| < \frac{\beta\pi}{2} \quad (z \in \mathbb{U}). \tag{10}$$

It is clear that  $\varphi$  and  $\theta$  are analytic in a domain  $\mathbb{D}$ , which contains  $g(\mathbb{U})$  and  $q(\mathbb{U})$  with  $\varphi(w) \neq 0$  for  $w \in g(\mathbb{U})$ . The function  $Q$  given by:

$$Q(z) = zg'(z)\varphi(g(z)) = \frac{2\beta z}{1-z^2}$$

is univalent starlike. Further, we have:

$$\begin{aligned} \theta(g(z)) + Q(z) &= \lambda_0 \left( \frac{1+z}{1-z} \right)^{a\beta} + \lambda \left( \frac{1+z}{1-z} \right)^\beta + \frac{2\beta z}{1-z^2} \\ &= h(z), \end{aligned}$$

and so:

$$\begin{aligned} \frac{zh'(z)}{Q(z)} &= \frac{\theta'(g(z))}{\varphi(g(z))} + \frac{zQ'(z)}{Q(z)} \\ &= \lambda_0 a(g(z))^a + \lambda g(z) + \frac{zQ'(z)}{Q(z)}. \end{aligned} \tag{11}$$

Furthermore, for  $|a| \leq \frac{1}{\beta}$ , we find that:

$$|\arg \{(g(z))^a\}| = \frac{|a|\beta\pi}{2} \leq \frac{\pi}{2} \quad (z \in \mathbb{U}). \tag{12}$$

Therefore, it follows from (10)–(12) that:

$$\operatorname{Re} \left\{ \frac{zh'(z)}{Q(z)} \right\} > 0 \quad (z \in \mathbb{U}).$$

The other conditions of Lemma 1 are also satisfied. Hence, we conclude that:

$$q(z) \prec g(z) = \left( \frac{1+z}{1-z} \right)^\beta \quad (z \in \mathbb{U})$$

and the function  $g$  is the best dominant of (6).

Furthermore, for the function  $q$  defined by (9), we have:

$$\lambda_0(q(z))^a + \lambda q(z) + \frac{zq'(z)}{q(z)} = h(z)$$

and it follows that the bound  $\beta$  in (8) is sharp. The proof of Theorem 1 is completed.  $\square$

**Theorem 2.** Let  $\alpha > 0, 0 < \beta < 1$  and  $\delta > 0$ . If  $f \in \mathcal{A}(p)$  satisfies  $f(z)f'(z) \neq 0$  ( $0 < |z| < 1$ ) and:

$$\left| \arg \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)} \right) + \left( \frac{zf'(z)}{pf(z)} \right)^\delta \right\} \right| < \frac{\beta\pi}{2} \quad (z \in \mathbb{U}), \tag{13}$$

then:

$$\left| \arg \left\{ \frac{zf'(z)}{f(z)} \right\} \right| < \frac{\beta\pi}{2\delta} \quad (z \in \mathbb{U}). \tag{14}$$

In particular, if  $\delta \geq 1$ , then  $f$  is  $p$ -valently strongly starlike of order  $\frac{\beta}{\delta}$ . The bound  $\frac{\beta\pi}{2}$  in (13) is the largest number such that (14) holds true.

**Proof.** One can see that the condition (13) is a generalization of the condition (4). For  $f \in \mathcal{A}(p)$  satisfying  $f(z)f'(z) \neq 0$  ( $0 < |z| < 1$ ), we define the function  $p(z)$  by:

$$p(z) = \left( \frac{zf'(z)}{pf(z)} \right)^\delta \quad (z \in \mathbb{U}). \tag{15}$$

Then,  $p(z)$  is analytic in  $\mathbb{U}$  with  $p(0) = 1$ . The condition (13) becomes:

$$\left| \arg \left\{ p(z) + \frac{\alpha zp'(z)}{\delta p(z)} \right\} \right| < \frac{\beta\pi}{2} \quad (z \in \mathbb{U}). \tag{16}$$

Putting:

$$\lambda_0 = 0 \quad \text{and} \quad \lambda = \frac{\delta}{\alpha}$$

in Theorem 1 and using (16), we find that if:

$$\begin{aligned} & \frac{\alpha}{\delta} \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)} \right) + \left( \frac{zf'(z)}{pf(z)} \right)^\delta \right\} \\ &= \frac{\delta}{\alpha} p(z) + \frac{zp'(z)}{p(z)} \prec h(z), \end{aligned} \tag{17}$$

where:

$$h(z) = \frac{\delta}{\alpha} \left( \frac{1+z}{1-z} \right)^\beta + \frac{2\beta z}{1-z^2} \tag{18}$$

is (close-to-convex) univalent in  $\mathbb{U}$ , then (14) is true.

Letting  $0 < \theta < \pi$  and  $x = \cot \frac{\theta}{2}$ , we deduce that:

$$\begin{aligned} \arg\{h(e^{i\theta})\} &= \arg \left\{ \frac{\delta}{\alpha} \left( \frac{1+e^{i\theta}}{1-e^{i\theta}} \right)^\beta + \frac{2\beta e^{i\theta}}{1-e^{2i\theta}} \right\} \\ &= \arg \left\{ \frac{\delta}{\alpha} x^\beta e^{\frac{\beta\pi i}{2}} + \frac{\beta i}{2} \left( x + \frac{1}{x} \right) \right\} \\ &= \arctan \left\{ \frac{\delta x^\beta \sin\left(\frac{\beta\pi}{2}\right) + \frac{\alpha\beta}{2} \left(x + \frac{1}{x}\right)}{\delta x^\beta \cos\left(\frac{\beta\pi}{2}\right)} \right\} \geq \frac{\beta\pi}{2}. \end{aligned} \tag{19}$$

Hence, in view of  $h(e^{-i\theta}) = \overline{h(e^{i\theta})}$ , we deduce from (19) that  $h(\mathbb{U})$  contains the sector  $|\arg w| < \frac{\beta\pi}{2}$ . Consequently, if  $f \in \mathcal{A}(p)$  satisfies (13), then the subordination (17) holds true.

For the function  $f$  defined by:

$$f(z) = \exp \left( p \int_0^z \frac{1}{t} \left( \frac{1+t}{1-t} \right)^{\frac{\beta}{\delta}} dt \right) \in \mathcal{A}(p),$$

we find after some computations that  $f$  satisfies (14) and:

$$\frac{\alpha}{\delta} \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)} \right) + \left( \frac{zf'(z)}{pf(z)} \right)^\delta \right\} = h(z),$$

which shows that the bound  $\frac{\beta\pi}{2}$  in (13) is the largest number such that (14) holds true. The proof of Theorem 2 is completed.  $\square$

**Theorem 3.** Let  $\delta > 0$  and  $\alpha \in \mathbb{C}$ . Assume that  $-\frac{\pi}{2} < \varphi = \arg\{\alpha\} \leq 0$ . If  $f \in \mathcal{A}(p)$  satisfies  $f(z)f'(z) \neq 0$  ( $0 < |z| < 1$ ) and:

$$-\frac{\pi}{2} + \arctan \left\{ \frac{|\alpha| \sin \varphi}{2\delta + |\alpha| \cos \varphi} \right\} < \arg \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)} \right) + \left( \frac{zf'(z)}{pf(z)} \right)^\delta \right\} < \frac{\pi}{2} + \varphi \tag{20}$$

for  $z \in \mathbb{U}$ , then:

$$\left| \arg \left\{ \frac{zf'(z)}{f(z)} \right\} \right| < \frac{\pi}{2\delta} \quad (z \in \mathbb{U}).$$

In particular, if  $\delta \geq 1$ , then  $f$  is  $p$ -valently strongly starlike of order  $\frac{1}{\delta}$ .

**Proof.** Define the function  $p(z)$  by (15). Then, the condition (20) becomes:

$$-\frac{\pi}{2} + \arctan \left\{ \frac{|\alpha| \sin \varphi}{2\delta + |\alpha| \cos \varphi} \right\} < \arg \left\{ p(z) + \frac{\alpha z p'(z)}{\delta p(z)} \right\} < \frac{\pi}{2} + \varphi \quad (z \in \mathbb{U}). \tag{21}$$

We want to prove that:

$$|\arg\{p(z)\}| < \frac{\pi}{2} \quad (z \in \mathbb{U}). \tag{22}$$

If there exists a point  $z_0$  ( $|z_0| < 1$ ) such that:

$$|\arg\{p(z)\}| < \frac{\pi}{2} \quad (|z| < |z_0|)$$

and:

$$|\arg\{p(z_0)\}| = \frac{\pi}{2},$$

then from Lemma 2, we have:

$$\frac{z_0 p'(z_0)}{p(z_0)} = il,$$

where  $p(z_0) = \pm ai, a > 0$  and:

$$l \geq \frac{m}{2} \left( a + \frac{1}{a} \right) \quad \text{when} \quad \arg\{p(z_0)\} = \frac{\pi}{2}$$

and:

$$l \leq -\frac{m}{2} \left( a + \frac{1}{a} \right) \quad \text{when} \quad \arg\{p(z_0)\} = -\frac{\pi}{2}.$$

For the case  $\arg\{p(z_0)\} = -\frac{\pi}{2}$ , we have  $l < 0$  and:

$$\begin{aligned} \arg \left\{ p(z_0) + \frac{\alpha z_0 p'(z_0)}{\delta p(z_0)} \right\} &= \arg \left\{ -ai + \frac{l\alpha}{\delta} i \right\} = -\frac{\pi}{2} + \arg \left\{ a - \frac{l\alpha}{\delta} \right\} \\ &= -\frac{\pi}{2} + \arctan \left\{ \frac{\text{Im} \left( a - \frac{l\alpha}{\delta} \right)}{\text{Re} \left( a - \frac{l\alpha}{\delta} \right)} \right\} = -\frac{\pi}{2} + \arctan \left\{ \frac{-l|\alpha| \sin \varphi}{a\delta - l|\alpha| \cos \varphi} \right\} \\ &\leq -\frac{\pi}{2} + \arctan \left\{ \frac{\frac{1}{2} \left( a + \frac{1}{a} \right) |\alpha| \sin \varphi}{a\delta + \frac{1}{2} \left( a + \frac{1}{a} \right) |\alpha| \cos \varphi} \right\} \\ &\leq -\frac{\pi}{2} + Q(\alpha, \varphi), \end{aligned} \tag{23}$$

where:

$$Q(\alpha, \varphi) = \max_{a>0} \left\{ \arctan \left( \frac{|\alpha|(a^2 + 1) \sin \varphi}{(2\delta + |\alpha| \cos \varphi)a^2 + |\alpha| \cos \varphi} \right) \right\}.$$

The function:

$$g(a) = \frac{|\alpha|(a^2 + 1) \sin \varphi}{(2\delta + |\alpha| \cos \varphi)a^2 + |\alpha| \cos \varphi}, \quad a > 0$$

has a positive derivative:

$$g'(a) = \frac{-4a\delta|\alpha| \sin \varphi}{((2\delta + |\alpha| \cos \varphi)a^2 + |\alpha| \cos \varphi)^2} \geq 0 \quad \text{for} \quad -\frac{\pi}{2} < \varphi \leq 0,$$

hence:

$$Q(\alpha, \varphi) = \lim_{a \rightarrow \infty} \arctan\{g(a)\} = \arctan \frac{|\alpha| \sin \varphi}{2\delta + |\alpha| \cos \varphi}.$$

Therefore, (23) becomes:

$$\arg \left\{ p(z_0) + \frac{\alpha z_0 p'(z_0)}{\delta p(z_0)} \right\} \leq -\frac{\pi}{2} + \arctan \left\{ \frac{|\alpha| \sin \varphi}{2\delta + |\alpha| \cos \varphi} \right\},$$

which contradicts (21). Thus,

$$|\arg\{p(z)\}| < \frac{\pi}{2} \quad (z \in \mathbb{U}).$$

For the case  $\arg\{p(z_0)\} = \frac{\pi}{2}$ , applying the same method as the above, we have  $l > 0$  and:

$$\begin{aligned} \arg \left\{ p(z_0) + \frac{\alpha z_0 p'(z_0)}{\delta p(z_0)} \right\} &= \arg \left\{ ai + \frac{l\alpha}{\delta} i \right\} \\ &= \frac{\pi}{2} + \arg \left\{ a + \frac{l\alpha}{\delta} \right\} \geq \frac{\pi}{2} + \varphi. \end{aligned}$$

This contradicts (21). Now, the proof of Theorem 3 is completed.  $\square$

**Remark 1.** For  $\varphi = 0$  and  $\delta = 1$ , Theorem 3 becomes the known result in [17] that every  $\alpha$ -convex function is starlike.

Applying the same method as the above, we can prove the following theorem.

**Theorem 4.** Let  $\delta > 0$  and  $\alpha \in \mathbb{C}$ . Assume that  $0 \leq \varphi = \arg\{\alpha\} < \frac{\pi}{2}$ . If  $f \in \mathcal{A}(p)$  satisfies  $f(z)f'(z) \neq 0$  ( $0 < |z| < 1$ ) and:

$$-\frac{\pi}{2} + \varphi < \arg \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)} \right) + \left( \frac{zf'(z)}{pf(z)} \right)^\delta \right\} < \frac{\pi}{2} + \arctan \left\{ \frac{|\alpha| \sin \varphi}{2\delta + |\alpha| \cos \varphi} \right\} \quad (24)$$

for  $z \in \mathbb{U}$ , then:

$$\left| \arg \left\{ \frac{zf'(z)}{f(z)} \right\} \right| < \frac{\pi}{2\delta} \quad (z \in \mathbb{U}).$$

In particular, if  $\delta \geq 1$ , then  $f$  is  $p$ -valently strongly starlike of order  $\frac{1}{\delta}$ .

**Theorem 5.** Theorem 5. Let  $0 < \alpha < 1$ ,  $0 < \beta < 1$  and  $\beta < \delta \leq 1$ . If  $f \in \mathcal{A}(p)$  satisfies  $f(z)f'(z) \neq 0$  ( $0 < |z| < 1$ ) and:

$$\left| \arg \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} \right) + (1 - \alpha) \left( \frac{zf'(z)}{pf(z)} \right)^\delta \right\} \right| < \frac{\beta\pi}{2} \quad (z \in \mathbb{U}), \quad (25)$$

then:

$$\left| \arg \left\{ \frac{zf'(z)}{f(z)} \right\} \right| < \frac{\beta\pi}{2\delta} \quad (z \in \mathbb{U}), \quad (26)$$

or  $f$  is  $p$ -valently strongly starlike of order  $\frac{\beta}{\delta}$ . The bound  $\frac{\beta\pi}{2}$  in (25) is the largest number such that (26) holds true.

**Proof.** It is obvious that the condition (25) is a generalization of the condition (4). Defining the function  $p(z)$  by (15), the condition (25) becomes:

$$\left| \arg \left\{ p\alpha(p(z))^\frac{1}{\delta} + (1 - \alpha)p(z) + \frac{\alpha zp'(z)}{\delta p(z)} \right\} \right| < \frac{\beta\pi}{2} \quad (z \in \mathbb{U}). \quad (27)$$

Setting:

$$a = \frac{1}{\delta}, \quad \lambda_0 = p\delta \quad \text{and} \quad \lambda = \frac{\delta(1-\alpha)}{\alpha}$$

in Theorem 1 and using (27), we see that if:

$$\begin{aligned} & \frac{\alpha}{\delta} \left\{ \alpha \left( 1 + \frac{zf''(z)}{f'(z)} \right) + (1-\alpha) \left( \frac{zf'(z)}{pf(z)} \right)^\delta \right\} \\ & = p\delta(p(z))^{\frac{1}{\delta}} + \frac{\delta(1-\alpha)}{\alpha} p(z) + \frac{zp'(z)}{p(z)} \prec h(z), \end{aligned} \tag{28}$$

where:

$$h(z) = p\delta \left( \frac{1+z}{1-z} \right)^{\frac{\beta}{\delta}} + \frac{\delta(1-\alpha)}{\alpha} \left( \frac{1+z}{1-z} \right)^\beta + \frac{2\beta z}{1-z^2} \tag{29}$$

is (close-to-convex) univalent in  $\mathbb{U}$ , then (26) holds true.

Letting  $0 < \theta < \pi$  and  $x = \cot \frac{\theta}{2}$ , we have:

$$h(e^{i\theta}) = p\delta x^{\frac{\beta}{\delta}} e^{\frac{\beta\pi i}{2\delta}} + \frac{\delta(1-\alpha)}{\alpha} x^\beta e^{\frac{\beta\pi i}{2}} + \frac{\beta i}{2} \left( x + \frac{1}{x} \right)$$

and:

$$\arg \left\{ h(e^{i\theta}) \right\} = \arctan \left\{ \frac{p\delta x^{\frac{\beta}{\delta}} \sin \left( \frac{\beta\pi}{2\delta} \right) + \frac{\delta(1-\alpha)}{\alpha} x^\beta \sin \left( \frac{\beta\pi}{2} \right) + \frac{\beta}{2} \left( x + \frac{1}{x} \right)}{p\delta x^{\frac{\beta}{\delta}} \cos \left( \frac{\beta\pi}{2\delta} \right) + \frac{\delta(1-\alpha)}{\alpha} x^\beta \cos \left( \frac{\beta\pi}{2} \right)} \right\}.$$

For  $x > 0, 0 < \alpha < 1$  and  $0 < \frac{\beta}{\delta} < 1$ , we deduce that:

$$\begin{aligned} \arg \left\{ h(e^{i\theta}) \right\} & \geq \arctan \left\{ \frac{p\delta x^{\frac{\beta}{\delta}} \sin \left( \frac{\beta\pi}{2\delta} \right) + \frac{\delta(1-\alpha)}{\alpha} x^\beta \sin \left( \frac{\beta\pi}{2} \right)}{p\delta x^{\frac{\beta}{\delta}} \cos \left( \frac{\beta\pi}{2\delta} \right) + \frac{\delta(1-\alpha)}{\alpha} x^\beta \cos \left( \frac{\beta\pi}{2} \right)} \right\} \\ & = \arctan \left\{ \tan \left( \frac{\beta\pi}{2} \right) \frac{p\delta x^{\frac{\beta}{\delta}} \cos \left( \frac{\beta\pi}{2\delta} \right) \tan \left( \frac{\beta\pi}{2\delta} \right) \cot \left( \frac{\beta\pi}{2} \right) + \frac{\delta(1-\alpha)}{\alpha} x^\beta \cos \left( \frac{\beta\pi}{2} \right)}{p\delta x^{\frac{\beta}{\delta}} \cos \left( \frac{\beta\pi}{2\delta} \right) + \frac{\delta(1-\alpha)}{\alpha} x^\beta \cos \left( \frac{\beta\pi}{2} \right)} \right\} \\ & \geq \frac{\beta\pi}{2}, \end{aligned} \tag{30}$$

since:

$$\tan \left( \frac{\beta\pi}{2\delta} \right) \cot \left( \frac{\beta\pi}{2} \right) \geq \tan \left( \frac{\beta\pi}{2} \right) \cot \left( \frac{\beta\pi}{2} \right) = 1 \quad \text{for} \quad \frac{1}{\delta} \geq 1.$$

In view of the proof of Theorem 2, we find from (30) that  $h(\mathbb{U})$  contains the sector  $|\arg w| < \frac{\beta\pi}{2}$ . Hence, if  $f \in \mathcal{A}(p)$  satisfies (25), then the subordination (28) holds true.

The sharpness part of the proof is similar to that in the proof of Theorem 2, and so, we omit it. The proof of Theorem 5 is completed.  $\square$

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Article

# On a Generalization of the Initial-Boundary Problem for the Vibrating String Equation

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**Abstract:** In the present paper, we study a generalization of the initial-boundary problem for the inhomogeneous vibrating string equation. The initial conditions include the higher order derivatives of the unknown function. The problem is studied under homogeneous boundary conditions of the first kind. The uniqueness and existence of a regular solution of the problem are proved. To prove the main result we use the spectral decomposition method.

**Keywords:** vibrating string equation; initial conditions; spectral decomposition; regular solution; the uniqueness of the solution; the existence of a solution

## 1. Introduction

The differential equations are used to model the real world application problems in science and engineering that involve several parameters as well as the change of variables with respect to others. Most of these problems will require the solution of initial and boundary conditions, that is, the solution to the differential equations are forced to satisfy certain conditions and data. However, to model most of the real world problems is very complicated task and in many forms it is also difficult to find the exact solution. Boundary value problems for the Laplace, Poisson and Helmholtz equations with boundary conditions containing the higher order derivatives were studied in works by Bavrin [1], Karachik [2–5], Sokolovskii [6]. In the papers [7–13], boundary problems, including higher derivatives on the boundary, were studied for the Poisson, Helmholtz, and biharmonic equations. It should be noted that unlike our work, in the mentioned papers [1–6,14], the higher order derivative is given on the entire boundary. For an inhomogeneous heat equation, an initial-boundary problem containing a higher order derivative in the presence of an initial condition was studied in [15]. Now we reconsider the following equation

$$\frac{\partial^2 u}{\partial t^2} - \frac{\partial^2 u}{\partial x^2} = f(x, t) \quad (1)$$

in the domain  $\Omega = \{(x, y) \mid 0 < x < p, 0 < t < T\}$  where  $f(x, t)$  is a given function. Then we try to find a solution of the Equation (1) in the domain  $\Omega$  which satisfies the following conditions

$$u(0, t) = 0, \quad 0 \leq t \leq T, \quad (2)$$

$$u(p, t) = 0, \quad 0 \leq t \leq T, \quad (3)$$

$$\frac{\partial^k u(x, 0)}{\partial t^k} = \varphi_k(x), \quad 0 \leq x \leq p, \quad (4)$$

$$\frac{\partial^{k+1}u(x,0)}{\partial t^{k+1}} = \psi_k(x), \quad 0 \leq x \leq p, \tag{5}$$

where  $k \geq 1$  is a fixed integer number. For the case  $k = 0$  and  $f(x, t) = 0$ , the problem (1)–(5) was studied in [16]. Further Tikhonov in [17] studied homogeneous heat equation with the boundary condition  $\sum_{k=0}^n a_k \frac{\partial^k u(0,t)}{\partial x^k} = 0$  and the initial condition  $u(x, 0) = 0$  in the domain  $(0 < x < \infty, t > 0)$ . Similarly, Bitsadze in [14] studied the Laplace equation in an  $n$ -dimensional domain  $D$  under the condition

$$\frac{d^m u}{dV^m} = f(x), \quad x \in \partial D$$

and proved its Fredholm property. There is also more related literature on the boundary conditions problem, see for example ([18–25]). In the present paper, we study a generalized initial-boundary problem (2)–(5) for the inhomogeneous vibrating string Equation (1). The initial conditions include the higher order derivatives of the unknown function. The problem is studied under homogeneous boundary conditions of the first kind. We prove the uniqueness and existence of a regular solution of the problem. To solve the problem (1)–(5), we apply the spectral decomposition method.

### 2. The Uniqueness of Solution

**Theorem 1.** *The solution of the problem (1)–(5) is unique if it exists.*

**Proof.** Let  $f(x, t) = 0$  in  $\bar{\Omega}$ ,  $\varphi_k(x) = 0$ ,  $\psi_k(x) = 0$  in  $[0, p]$ . We show that the homogeneous problem (1)–(5) has only the trivial solution. It is known [26], the functions

$$X_n(x) = \sqrt{\frac{2}{p}} \sin(\lambda_n x), \quad \lambda_n = \frac{n\pi}{p}, \quad n = 1, 2, \dots \tag{6}$$

form in  $L_2(0, p)$  a complete orthonormal system. Following [27], we consider the functions

$$\alpha_n(t) = \int_0^p u(x, t) X_n(x) dx, \quad 0 \leq t \leq T, \tag{7}$$

where  $u(x, t)$  is the solution of the homogeneous equation corresponding to the Equation (1). Differentiating (7) twice with respect to  $t$ , we obtain from the corresponding homogeneous Equation (1)

$$\alpha_n''(t) + \lambda_n^2 \alpha_n(t) = 0. \tag{8}$$

The solution of (8) has the form

$$\alpha_n(t) = a_n \cos(\lambda_n t) + b_n \sin(\lambda_n t).$$

To find the unknown coefficients  $a_n$  and  $b_n$ , we use the homogeneous conditions (4) and (5), which lead to the following equations:

$$\alpha_n^{(k)}(t) = 0, \quad \alpha_n^{(k+1)}(t) = 0. \tag{9}$$

It is not difficult to verify that

$$\begin{aligned} \alpha_n^{(k)}(t) &= \lambda_n^k \left[ a_n \cos\left(\frac{\pi k}{2} + \lambda_n t\right) + b_n \sin\left(\frac{\pi k}{2} + \lambda_n t\right) \right], \\ \alpha_n^{(k+1)}(t) &= \lambda_n^{k+1} \left[ a_n \cos\left(\frac{\pi(k+1)}{2} + \lambda_n t\right) + b_n \sin\left(\frac{\pi(k+1)}{2} + \lambda_n t\right) \right]. \end{aligned}$$

Using (9), we obtain the following system of equations to determine the unknown coefficients  $a_n$  and  $b_n$ :

$$\begin{aligned} a_n \cos \frac{\pi k}{2} + b_n \sin \frac{\pi k}{2} &= 0, \\ a_n \cos \frac{\pi(k+1)}{2} + b_n \sin \frac{\pi(k+1)}{2} &= 0, \end{aligned}$$

whose determinant of coefficients is 1. Hence, that  $a_n(t) = 0$ . By completeness of functions  $X_n(x)$ , the Equation (7) implies that  $u(x, t) = 0$  in  $\bar{\Omega}$ .  $\square$

### 3. The Existence of Solution

We search the solution of (1) in the form

$$u(x, t) = \sum_{n=1}^{\infty} u_n(t) X_n(x). \quad (10)$$

Expand the functions  $f(x, t)$ ,  $\varphi_k(x)$ , and  $\psi_k(x)$  in Fourier series by functions  $X_n(x)$ :

$$f(x, t) = \sum_{n=1}^{\infty} f_n(t) X_n(x), \quad (11)$$

$$\varphi_k(x) = \sum_{n=1}^{\infty} \varphi_{kn} X_n(x), \quad (12)$$

$$\psi_k(x) = \sum_{n=1}^{\infty} \psi_{kn} X_n(x), \quad (13)$$

where

$$f_n(t) = \int_0^p f(x, t) X_n(x) dx, \quad (14)$$

$$\varphi_{kn} = \int_0^p \varphi_k(x) X_n(x) dx, \quad (15)$$

$$\psi_{kn} = \int_0^p \psi_k(x) X_n(x) dx. \quad (16)$$

Substituting (10) and (11) into (1), we obtain

$$u_n''(t) + \lambda_n^2 u_n(t) = f_n(t).$$

It can be shown that the solution of this equation satisfying the conditions

$$u_n^{(k)}(0) = \varphi_{kn}, \quad u_n^{(k+1)}(0) = \psi_{kn},$$

is

$$\begin{aligned}
 u_n(t) &= \frac{\varphi_{kn}}{\lambda_n^k} \cos\left(\frac{\pi k}{2} - \lambda_n t\right) - \frac{\psi_{kn}}{\lambda_n^{k+1}} \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \\
 &+ \sum_{s=0}^{\left[\frac{k+1}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k+1-2s}} f_n^{(k-1-2s)}(0) \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \\
 &- \sum_{s=0}^{\left[\frac{k}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-2s}} f_n^{(k-2-2s)}(0) \cos\left(\frac{\pi k}{2} - \lambda_n t\right) \\
 &+ \frac{1}{\lambda_n} \int_0^t f_n(\tau) \sin(\lambda_n(t - \tau)) d\tau.
 \end{aligned}
 \tag{17}$$

Hereinafter  $\sum_{s=0}^m (\dots) = 0$  for  $m < 0$ . Substituting (17) into (10), we get

$$\begin{aligned}
 u(x, t) &= \sum_{n=1}^{\infty} X_n(x) \left\{ \frac{\varphi_{kn}}{\lambda_n^k} \cos\left(\frac{\pi k}{2} - \lambda_n t\right) - \frac{\psi_{kn}}{\lambda_n^{k+1}} \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \right. \\
 &+ \sum_{s=0}^{\left[\frac{k+1}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k+1-2s}} f_n^{(k-1-2s)}(0) \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \\
 &- \sum_{s=0}^{\left[\frac{k}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-2s}} f_n^{(k-2-2s)}(0) \cos\left(\frac{\pi k}{2} - \lambda_n t\right) \\
 &\left. + \frac{1}{\lambda_n} \int_0^t f_n(\tau) \sin(\lambda_n(t - \tau)) d\tau \right\}.
 \end{aligned}
 \tag{18}$$

Using (18) we find the following derivatives of  $u(x, t)$ .

$$\begin{aligned}
 \frac{\partial^2 u}{\partial t^2} &= \sum_{n=1}^{\infty} X_n(x) \left\{ -\frac{\varphi_{kn}}{\lambda_n^{k-2}} \cos\left(\frac{\pi k}{2} - \lambda_n t\right) + \frac{\psi_{kn}}{\lambda_n^{k-1}} \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \right. \\
 &- \sum_{s=0}^{\left[\frac{k+1}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-1-2s}} f_n^{(k-1-2s)}(0) \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \\
 &+ \sum_{s=0}^{\left[\frac{k}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-2-2s}} f_n^{(k-2-2s)}(0) \cos\left(\frac{\pi k}{2} - \lambda_n t\right) + f_n(0) \cos(\lambda_n t) \\
 &\left. + \frac{1}{\lambda_n} f_n'(0) \sin(\lambda_n t) + \frac{1}{\lambda_n} \int_0^t f_n''(\tau) \sin(\lambda_n(t - \tau)) d\tau \right\}.
 \end{aligned}
 \tag{19}$$

$$\begin{aligned}
 \frac{\partial^2 u}{\partial x^2} &= \sum_{n=1}^{\infty} X_n(x) \left\{ -\frac{\varphi_{kn}}{\lambda_n^{k-2}} \cos\left(\frac{\pi k}{2} - \lambda_n t\right) + \frac{\psi_{kn}}{\lambda_n^{k-1}} \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \right. \\
 &- \sum_{s=0}^{\left[\frac{k+1}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-1-2s}} f_n^{(k-1-2s)}(0) \sin\left(\frac{\pi k}{2} - \lambda_n t\right) \\
 &+ \sum_{s=0}^{\left[\frac{k}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-2-2s}} f_n^{(k-2-2s)}(0) \cos\left(\frac{\pi k}{2} - \lambda_n t\right) - f_n(t) \\
 &\left. + f_n(0) \cos(\lambda_n t) + \frac{1}{\lambda_n} f_n'(0) \sin(\lambda_n t) + \frac{1}{\lambda_n} \int_0^t f_n''(\tau) \sin(\lambda_n(t - \tau)) d\tau \right\}.
 \end{aligned}
 \tag{20}$$

$$\begin{aligned}
 \frac{\partial^k u}{\partial t^k} &= \sum_{n=1}^{\infty} X_n(x) \left\{ \varphi_{kn} \cos(\lambda_n t) + \frac{1}{\lambda_n} \psi_{kn} \sin(\lambda_n t) \right. \\
 &\left. + \frac{(-1)^k}{\lambda_n} \int_0^t f_n^{(k)}(\tau) \sin[\pi k + \lambda_n(t - \tau)] d\tau \right\},
 \end{aligned}
 \tag{21}$$

$$\begin{aligned}
 \frac{\partial^{k+1} u}{\partial t^{k+1}} &= \sum_{n=1}^{\infty} X_n(x) \left\{ -\lambda_n \varphi_{kn} \sin(\lambda_n t) + \psi_{kn} \cos(\lambda_n t) + \frac{(-1)^k}{\lambda_n} f_n^{(k)}(0) \sin(\lambda_n t) \right. \\
 &\left. + \frac{(-1)^{k+1}}{\lambda_n} \int_0^t f_n^{(k+1)}(\tau) \sin[\pi(k+1) + \lambda_n(t - \tau)] d\tau \right\}.
 \end{aligned}
 \tag{22}$$

Next we need to prove the absolute and uniformly convergence of the series (18)–(22). Below we prove several lemmas that are used in the proof of the existence theorem.

**Lemma 1.** *Let  $f(x, t) \in C^1(\bar{\Omega})$ ,  $f(0, t) = f(p, t) = 0$ ,  $\frac{\partial f}{\partial x} \in Lip_\alpha[0, p]$  uniformly with respect to  $t$  and  $0 < \alpha < 1$ . Then the series (11) converges absolutely and uniformly in  $\bar{\Omega}$ .*

**Proof.** Integrating in parts (14) we find

$$f_n(t) = \frac{\sqrt{2p}}{n\pi} \int_0^p \frac{\partial f(x, t)}{\partial x} \cos(\lambda_n x) dx.$$

Then [28]

$$|f_n(t)| \leq \frac{c}{n^{1+\alpha}}, \quad c = \frac{Kp^{\frac{3}{2}+\alpha}}{\pi\sqrt{2}},$$

where  $K$  is the Hölder constant. Since the series  $\sum_{n=1}^{\infty} \frac{1}{n^{1+\alpha}}$  converges, therefore the series (11) converges absolutely and uniformly in  $\bar{\Omega}$ . □

**Lemma 2.** *Let  $\varphi_k(x) \in W_2^1(0, p)$ ,  $\varphi_k(0) = \varphi_k(p) = 0$ . Then the series (12) converges absolutely and uniformly in  $[0, p]$ .*

**Proof.** Integrating by parts (15) we obtain

$$\varphi_{kn} = \frac{1}{\lambda_n} \varphi_{kn}^{(1)}, \quad \varphi_{kn}^{(1)} = \int_0^p \varphi_k'(x) \sqrt{\frac{2}{p}} \cos(\lambda_n x) dx.$$

Using the Bessel inequality [29],  $\sum_{n=1}^{\infty} |\varphi_{kn}^{(1)}|^2 \leq \|\varphi_k'\|_{L_2(0,p)}^2$  and the inequality  $\sum_{n=1}^{\infty} |\varphi_{kn}| = \frac{p}{\pi} \sum_{n=1}^{\infty} \frac{1}{n} |\varphi_{kn}^{(1)}|$  and using the Hölder inequality for the sum [29] yields

$$\sum_{n=1}^{\infty} \frac{1}{n} |\varphi_{kn}^{(1)}| \leq \left( \sum_{n=1}^{\infty} \frac{1}{n^2} \right)^{\frac{1}{2}} \left( \sum_{n=1}^{\infty} |\varphi_{kn}^{(1)}|^2 \right)^{\frac{1}{2}} \leq \frac{\pi}{\sqrt{6}} \|\varphi_k'\|_{L_2(0,p)}.$$

Here the equality  $\sum_{n=1}^{\infty} \frac{1}{n^2} = \frac{\pi^2}{6}$  was used. This implies the absolute and uniformly convergence of the series (12) on  $[0, p]$ . □

**Lemma 3.** *Let  $\psi_k(x) \in W_2^1(0, p)$ ,  $\psi_k(0) = \psi_k(p) = 0$ . Then the series (13) converges absolutely and uniformly on  $[0, p]$ .*

The proof is similar to the proof of Lemma 3.

**Lemma 4.** *Let  $\varphi_k(x) \in W_2^1(0, p)$ ,  $\varphi_k(0) = \varphi_k(p) = 0$ . Then the series*

$$\sum_{n=1}^{\infty} \lambda_n \varphi_{kn} X_n(x) \sin(\lambda_n t) \tag{23}$$

*converges absolutely and uniformly in  $\bar{\Omega}$ .*

**Proof.** Integrating by parts (15) we obtain

$$\varphi_{kn} = \frac{1}{\lambda_n^2} \varphi_{kn}^{(2)}, \quad \varphi_{kn}^{(2)} = \int_0^p \varphi_k''(x) \sqrt{\frac{2}{p}} \sin(\lambda_n x) dx.$$

Using the Parseval equality [29],

$$\sum_{n=1}^{\infty} |\varphi_{kn}^{(2)}|^2 = \|\varphi_k''\|_{L_2(0,p)}^2,$$

we obtain

$$\sum_{n=1}^{\infty} \lambda_n |\varphi_{kn}| = \sum_{n=1}^{\infty} \frac{1}{\lambda_n} |\varphi_{kn}^{(2)}| \leq \left( \sum_{n=1}^{\infty} \frac{1}{\lambda_n^2} \right)^{\frac{1}{2}} \left( \sum_{n=1}^{\infty} |\varphi_{kn}^{(2)}|^2 \right)^{\frac{1}{2}} = \frac{p}{\sqrt{6}} \|\varphi_k''\|_{L_2(0,p)}.$$

Hence, the series (23) converges absolutely and uniformly in  $\bar{\Omega}$ .  $\square$

**Lemma 5.** If  $\frac{\partial^{k+1} f(x,t)}{\partial t^{k+1}} \in C(\bar{\Omega})$ , then the series

$$\sum_{n=1}^{\infty} \frac{X_n(x)}{\lambda_n} \int_0^t f_n^{(m)}(\tau) \sin \left[ \frac{\pi(k+m)}{2} + \lambda_n(t-m) \right] d\tau, \quad m = 1, 2, \dots, k+1$$

converges absolutely and uniformly in  $\bar{\Omega}$ .

**Proof.** Applying the Hölder inequality for integrals [29], we get

$$\frac{1}{\lambda_n} \left| \int_0^t f_n^{(m)}(\tau) \sin \left[ \frac{\pi(k+m)}{2} + \lambda_n(t-m) \right] d\tau \right| \leq \frac{\sqrt{T}}{\lambda_n} \|f_n^{(m)}\|_{L_2(0,T)}.$$

Now applying the Hölder inequality for sums and the Bessel inequality, we find

$$\sum_{n=1}^{\infty} \frac{1}{\lambda_n} \|f_n^{(m)}\|_{L_2(0,T)} \leq \frac{p}{\sqrt{6}} \left\| \frac{\partial^m f}{\partial t^m} \right\|_{L_2(0,T)}.$$

$\square$

Next, consider the following series

$$\sum_{n=1}^{\infty} X_n(x) \sum_{s=0}^{\left[\frac{k+1}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k+1-2s}} f_n^{(k+1-2s)}(0) \sin \left( \frac{\pi k}{2} - \lambda_n t \right), \tag{24}$$

$$\sum_{n=1}^{\infty} X_n(x) \sum_{s=0}^{\left[\frac{k}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-2s}} f_n^{(k-2s)}(0) \cos \left( \frac{\pi k}{2} - \lambda_n t \right), \tag{25}$$

$$\sum_{n=1}^{\infty} X_n(x) \sum_{s=0}^{\left[\frac{k}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-2s}} f_n^{(k-2s)}(0) \cos \left( \frac{\pi k}{2} - \lambda_n t \right), \tag{26}$$

$$\sum_{n=1}^{\infty} X_n(x) \sum_{s=0}^{\left[\frac{k+1}{2}\right]-1} \frac{(-1)^s}{\lambda_n^{k-1-2s}} f_n^{(k-1-2s)}(0) \sin \left( \frac{\pi k}{2} - \lambda_n t \right). \tag{27}$$

**Lemma 6.** If  $\frac{\partial^{k-1}f(x,t)}{\partial t^{k-1}} \in C(\overline{\Omega})$ ,  $k \geq 1$ , then the series (24) converges absolutely and uniformly in  $\overline{\Omega}$ .

**Proof.** Consider the series

$$\sum_{n=1}^{\infty} \sum_{s=0}^{\lfloor \frac{k+1}{2} \rfloor - 1} \frac{|f_n^{(k-1-2s)}(0)|}{\lambda_n^{k+1-2s}}. \tag{28}$$

For  $s = 0$  we have  $\sum_{n=1}^{\infty} \frac{|f_n^{(k-1)}(0)|}{\lambda_n^{k+1}}$ . The convergence of this series is obvious. Let  $s = \lfloor \frac{k+1}{2} \rfloor - 1$ . Then

$$(1) \quad k - 1 - 2s = \begin{cases} 1, & \text{if } k \text{ is even,} \\ 0, & \text{if } k \text{ is odd,} \end{cases} \quad (2) \quad k + 1 - 2s = \begin{cases} 3, & \text{if } k \text{ is even,} \\ 2, & \text{if } k \text{ is odd.} \end{cases}$$

Therefore, the series (28) converges and so the series in (24) converges absolutely and uniformly in  $\overline{\Omega}$ .  $\square$

**Lemma 7.** If  $\frac{\partial^{k-2}f(x,t)}{\partial t^{k-2}} \in C(\overline{\Omega})$ ,  $k \geq 2$ , then the series (25) converges absolutely and uniformly in  $\overline{\Omega}$ .

**Proof.** Consider the series

$$\sum_{n=1}^{\infty} \sum_{s=0}^{\lfloor \frac{k}{2} \rfloor - 1} \frac{|f_n^{(k-2-2s)}(0)|}{\lambda_n^{k-2s}}. \tag{29}$$

If  $k = 2$ , then  $s = 0$ , and  $\sum_{n=1}^{\infty} \frac{|f_n^{(k-2)}(0)|}{\lambda_n^k}$  converges. It is easy to check that if  $s = 0, 1, \dots, (\lfloor \frac{k}{2} \rfloor - 1)$ , then  $k - 2 - 2s \geq 0$ . Thus, the series in (29) converges. Therefore, the series (25) converges absolutely and uniformly in  $\overline{\Omega}$ .  $\square$

**Lemma 8.** Let  $\frac{\partial^{k-2}f(x,t)}{\partial t^{k-2}} \in C(\overline{\Omega})$ ,  $k \geq 2$ . If either  $k$  is odd or  $k$  is even and

$$\frac{\partial f(x,t)}{\partial x} \in C(\overline{\Omega}), \quad f(0,t) = f(p,t) = 0, \tag{30}$$

then the series (26) converges absolutely and uniformly in  $\overline{\Omega}$ .

**Proof.** The proof is completed by showing that the series

$$\sum_{n=1}^{\infty} \sum_{s=0}^{\lfloor \frac{k}{2} \rfloor - 1} \frac{|f_n^{(k-2-2s)}(0)|}{\lambda_n^{k-2-2s}} \tag{31}$$

is the convergent. Indeed, if we let  $k \geq 2$  and  $s = \lfloor \frac{k}{2} \rfloor - 1$ , then

$$k - 2 - 2s = \begin{cases} 0, & \text{if } k \text{ is even,} \\ 1, & \text{if } k \text{ is odd.} \end{cases}$$

Therefore, the series (31) converges for odd  $k$ . Then the series (26) converges absolutely and uniformly in  $\overline{\Omega}$ . If  $k = 2$ , then  $s = 0$  and the series (31) takes the form

$$\sum_{n=1}^{\infty} |f_n(0)|, \quad f_n(0) = \int_0^p f(x,0)X_n(x)dx. \tag{32}$$

In general, for any even  $k$ , the term of



$$\sum_{s=0}^{\lfloor \frac{k}{2} \rfloor - 1} \frac{|f_n^{k-2-2s}(0)|}{\lambda_n^{k-2-2s}}$$

corresponding to  $s = \lfloor \frac{k}{2} \rfloor - 1$  is  $|f_n(0)|$ . For  $n = 1, 2, \dots$ , these terms in (31) form the series (32). Show that the series (32) converges. Indeed, integrating the last integral, we obtain by virtue of (30) that

$$f_n(0) = \frac{1}{\lambda_n} f_n^{(1,0)}(0), \quad f_n^{(1,0)} = \int_0^p \frac{\partial f(x,0)}{\partial x} \sqrt{\frac{2}{p}} \cos(\lambda_n x) dx. \tag{33}$$

By using the Bessel inequality

$$\sum_{n=1}^{\infty} |f_n^{(1,0)}(0)|^2 \leq \left\| \frac{\partial f(x,0)}{\partial x} \right\|_{L_2(0,p)}^2,$$

and therefore taking into account (33), we can see that

$$\begin{aligned} \sum_{n=1}^{\infty} |f_n(0)| &= \sum_{n=1}^{\infty} \frac{1}{\lambda_n} |f_n^{(1,0)}(0)| \\ &\leq \left( \sum_{n=1}^{\infty} \frac{1}{\lambda_n^2} \right)^{\frac{1}{2}} \left( \sum_{n=1}^{\infty} |f_n^{(1,0)}(0)|^2 \right)^{\frac{1}{2}} \leq \frac{p}{\sqrt{6}} \left\| \frac{\partial f(x,0)}{\partial x} \right\|_{L_2(0,p)}. \end{aligned}$$

Thus, the series (26) converges absolutely and uniformly in  $\bar{\Omega}$  for any even  $k$ .  $\square$

**Lemma 9.** Let  $\frac{\partial^{k-1} f(x,t)}{\partial t^{k-1}} \in C(\bar{\Omega})$ ,  $k \geq 1$ . If either  $k$  is even or  $k$  is odd and conditions (30) are satisfied, then the series (27) converges absolutely and uniformly in  $\bar{\Omega}$ .

**Proof.** In order to prove this Lemma it is sufficient to prove that the following series

$$\sum_{n=1}^{\infty} \sum_{s=0}^{\lfloor \frac{k+1}{2} \rfloor - 1} \frac{|f_n^{(k-1-2s)}(0)|}{\lambda_n^{k-1-2s}}$$

convergent. Indeed, for  $s = \lfloor \frac{k+1}{2} \rfloor - 1$ , we have

$$k - 1 - 2s = \begin{cases} 0, & \text{if } k \text{ is even,} \\ 1, & \text{if } k \text{ is odd.} \end{cases}$$

The rest of the proof runs as the proof of Lemma 8.  $\square$

**Theorem 2.** Let

- (1)  $f(x, t) \in C^1(\bar{\Omega})$ ,  $f(0, t) = f(p, t) = 0$ ,  
and  $\frac{\partial f}{\partial x} \in Lip_{\alpha}[0, p]$  uniformly with respect to  $t$ ,  $0 < \alpha < 1$ ;
- (2)  $\frac{\partial^k f(x, t)}{\partial t^k} \in C(\bar{\Omega})$ ,  $\frac{\partial^{k+1} f(x, t)}{\partial t^{k+1}} \in L_2(\Omega)$ ;
- (3)  $\varphi_k(x) \in W_2^2(0, p)$ ,  $\varphi_k(0) = \varphi_k(p) = 0$ ;
- (4)  $\psi_k(x) \in W_2^1(0, p)$ ,  $\psi_k(0) = \psi_k(p) = 0$ .

Then the series (18)–(22) converge absolutely and uniformly in  $\bar{\Omega}$ , the solution (18) satisfies the Equation (1), conditions (2)–(5), and  $u(x, t) \in C_{x,t}^{2,k+1}(\bar{\Omega})$ .

**Proof.** The fact that the series (18)–(22) converge absolutely and uniformly follows from Lemmas 1–9. Properties of the function  $X_{II}(x)$  imply that (18) satisfies the conditions (2) and (3). Passing to the limit as  $t \rightarrow 0$  in equalities (21) and (22), we can see that (18) satisfies the conditions (4) and (5). Comparing series (19) and (20), we can see that (18) satisfies Equation (1). The fact that the series (20) and (22) converge uniformly and absolutely in  $\bar{\Omega}$  imply that  $u(x, t) \in C_{x,t}^{2,k+1}(\bar{\Omega})$  and that (18) satisfies the Equation (1).  $\square$

#### 4. Conclusions

We have studied and generalized the initial-boundary problem for the inhomogeneous vibrating string equation. The problems studied in the present paper are the first work for hyperbolic equation which contain higher order derivatives of unknown function in initial conditions. This problem generalizes the classic initial-boundary value problems for hyperbolic equation. We have proved the uniqueness and existence of a regular solution of the problem. To prove the main result we have used the spectral decomposition method. In addition, we have explicitly presented the solution in the form of series. We also state that the extension to the multi variables form is an open question.

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Article

# Geometric Properties of Normalized Mittag–Leffler Functions

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**Abstract:** The aim of this paper is to investigate certain properties such as convexity of order  $\mu$ , close-to-convexity of order  $(1 + \mu)/2$  and starlikeness of normalized Mittag–Leffler function. We use some inequalities to prove our results. We also discuss the close-to-convexity of Mittag–Leffler functions with respect to certain starlike functions. Furthermore, we find the conditions for the above-mentioned function to belong to the Hardy space  $\mathcal{H}^p$ . Some of our results improve the results in the literature.

**Keywords:** analytic functions; Mittag–Leffler functions; starlike functions; convex functions; Hardy space

**MSC:** 30C45; 33E12.

## 1. introduction

The one parameter Mittag–Leffler function  $\mathbb{E}_\alpha(z)$  defined by

$$\mathbb{E}_\alpha(z) = \sum_{m=0}^{\infty} \frac{z^m}{\Gamma(\alpha m + 1)} \quad (1)$$

was introduced by Mittag–Leffler [1]. This function of complex variable is entire. The series defined by Equation (1) converges in  $\mathbb{C}$  when  $\operatorname{Re}(\alpha) > 0$ . Consider that the function  $\mathbb{E}_{\alpha,\kappa}(z)$  which generalizes the function  $\mathbb{E}_\alpha(z)$  is defined by

$$\mathbb{E}_{\alpha,\kappa}(z) = \sum_{m=0}^{\infty} \frac{z^m}{\Gamma(\alpha m + \kappa)}, \alpha, \kappa \in \mathbb{C}, z \in \mathbb{C}. \quad (2)$$

It was introduced by Wiman [2] and was named as Mittag–Leffler type function. The series in Equation (2) converges in  $\mathbb{C}$  when  $\operatorname{Re}(\alpha) > 0$  and  $\operatorname{Re}(\kappa) > 0$ . Furthermore, the functions defined in (1) and (2) are entire of order  $1/\operatorname{Re}(\alpha)$  and of type 1, for more details, see [3]. The function  $\mathbb{E}_{\alpha,\kappa}(z)$  and its analysis with its generalizations is increasingly becoming a rich research area in mathematics and its related fields. A number of researchers studied and analyzed the function given in (2) (see Wiman [2,4,5]). One can find this function in the study of kinetic equation of fractional order, Lévy flights, random walks, super-diffusive transport as well as in investigations of complex systems.

In a similar manner, the advanced studies of these functions reflect and highlight many vital properties of these functions. The function  $\mathbb{E}_{\alpha,\kappa}(z)$  generalizes many functions such as

$$\begin{aligned} \mathbb{E}_{1,1}(z) &= e^z, \quad \mathbb{E}_{1,2}(z) = \frac{e^z - 1}{z}, \\ \mathbb{E}_{2,1}(z) &= \cosh(\sqrt{z}), \quad \mathbb{E}_{2,2}(z) = \frac{\sinh(\sqrt{z})}{\sqrt{z}}. \end{aligned}$$

The interested readers are suggested to go through [6–9].

Let  $\mathcal{A}$  be the family of all functions  $g$  having the form

$$g(z) = z + \sum_{m=2}^{\infty} a_m z^m, \tag{3}$$

and are analytic in  $\mathcal{D} = \{z : |z| < 1\}$  and  $\mathcal{S}$  denote the family of univalent functions from  $\mathcal{A}$ . The families of functions which are convex, starlike and close-to-convex of order  $\mu$ , respectively, are defined as:

$$\begin{aligned} \mathcal{C}(\mu) &= \left\{ g : g \in \mathcal{A} \text{ and } \operatorname{Re} \left( 1 + \frac{zg''(z)}{g'(z)} \right) > \mu, \quad z \in \mathcal{D}; 0 \leq \mu < 1 \right\}, \\ \mathcal{S}^*(\mu) &= \left\{ g : g \in \mathcal{A} \text{ and } \operatorname{Re} \left( \frac{zg'(z)}{g(z)} \right) > \mu, \quad z \in \mathcal{D}; 0 \leq \mu < 1 \right\}, \end{aligned}$$

and

$$\mathcal{K}(\mu) = \left\{ g : g \in \mathcal{A} \text{ and } \operatorname{Re} \left( \frac{g'(z)}{h'(z)} \right) > \mu, \quad z \in \mathcal{D}; 0 \leq \mu < 1; h \in \mathcal{C} \right\}.$$

It is clear that  $\mathcal{C}^*(0) = \mathcal{C}$ ,  $\mathcal{S}^*(0) = \mathcal{S}^*$  and  $\mathcal{K}(0) = \mathcal{K}$ . Consider the class  $\mathcal{H}$  of all analytic functions in  $\mathcal{D}$  and  $\mu < 1$ , Baricz [10] introduced the classes

$$\mathcal{P}_\eta(\mu) = \left\{ p : p \in \mathcal{H}, p(0) = 1, \operatorname{Re} \left\{ e^{i\eta} (p(z) - \mu) \right\} > 0, \quad z \in \mathcal{D}, \eta \in \mathbb{R} \right\}$$

and

$$\mathcal{R}_\eta(\mu) = \left\{ f : f \in \mathcal{A} \text{ and } \operatorname{Re} \left\{ e^{i\eta} (f'(z) - \mu) \right\} > 0, \quad z \in \mathcal{D}, \eta \in \mathbb{R} \right\}.$$

For  $\eta = 0$ , we have the classes of analytic functions  $\mathcal{P}_0(\alpha)$  and  $\mathcal{R}_0(\alpha)$  respectively. Also for  $\eta = 0$  and  $\alpha = 0$ , we have the classes  $\mathcal{P}$  and  $\mathcal{R}$ .

For the functions  $g \in \mathcal{A}$  given by (1) and  $h \in \mathcal{A}$  given by

$$h(z) = z + \sum_{m=2}^{\infty} b_m z^m,$$

then the convolution (Hadamard product) of  $g$  and  $h$  is defined as:

$$(g * h)(z) = z + \sum_{m=2}^{\infty} a_m b_m z^m, \quad z \in \mathcal{D}.$$

It is clear that the function  $\mathbb{E}_{\alpha,\kappa}(z)$  is not in class  $\mathcal{A}$ . Recently, Bansal and Prajapat [11] considered the normalization of the function  $\mathbb{E}_{\alpha,\kappa}(z)$  given as

$$E_{\alpha,\kappa}(z) = \Gamma(\kappa) z \mathbb{E}_{\alpha,\kappa}(z) = z + \sum_{m=1}^{\infty} \frac{\Gamma(\kappa) z^{m+1}}{\Gamma(\alpha m + \kappa)}, \quad \alpha, \kappa \in \mathbb{C}, \operatorname{Re}(\alpha) > 0, \kappa \neq 0, -1, \dots$$

In this article, we investigate some geometric properties of function  $E_{\alpha,\kappa}(z)$  with real parameters  $\alpha$  and  $\kappa$ .

We need the following results in our investigations.

**Lemma 1** ([12]). *If  $g \in \mathcal{A}$  and*

$$|zg''(z)| < \frac{1-\mu}{4}, \quad z \in \mathcal{D}; \quad 0 \leq \mu < 1,$$

then

$$\operatorname{Re} \{g'(z)\} > \frac{1+\mu}{2}, \quad z \in \mathcal{D}; \quad 0 \leq \mu < 1.$$

**Lemma 2** ([13]). *Let  $\kappa \in \mathbb{C}$  such that  $\operatorname{Re}(\kappa) > 0$ ,  $c \in \mathbb{C}$  and  $|c| \leq 1$ ,  $c \neq -1$ . If  $h \in \mathcal{A}$  satisfies*

$$\left| c|z|^{2\beta} + \left( 1 - |z|^{2\beta} \frac{zh'(z)}{\beta h'(z)} \right) \right| \leq 1, \quad z \in \mathcal{D},$$

then

$$C_\beta(z) = \left\{ \beta \int_0^z t^{\beta-1} h'(t) dt \right\}^{1/\beta}, \quad z \in \mathcal{D}$$

is analytic and univalent in  $\mathcal{D}$ .

**Lemma 3** ([14]). *Let  $g(z) = z + a_2z^2 + \dots + a_mz^m + \dots$ , be analytic in  $\mathcal{D}$  and in addition  $1 \geq 2a_2 \geq \dots \geq ma_m \geq \dots \geq 0$  or  $1 \leq 2a_2 \leq \dots \leq ma_m \leq \dots \leq 2$ , then  $g(z)$  is in class  $\mathcal{K}$  with respect to the function  $z \rightarrow -\log(1-z)$ . Also if the function  $g(z) = z + 3a_3 + \dots + a_{2m-1}z^{2m-1} + \dots$ , which is odd and analytic in  $\mathcal{D}$  and satisfies in addition  $1 \geq 3a_3 \geq \dots \geq (2m+1)a_{2m+1} \geq \dots \geq 0$  or  $1 \leq 3a_3 \leq \dots \leq (2m+1)a_{2m+1} \leq \dots \leq 2$ , then  $g(z) \in \mathcal{S}$  in  $\mathcal{D}$ .*

**Lemma 4** ([15]). *If  $g(z) = \sum_{m=1}^\infty a_mz^{m-1}$ , such that  $a_1 = 1$  and  $a_m \geq 0, \forall m \geq 2$ , is analytic in  $\mathcal{D}$  and if  $\{a_m\}_{m=1}^\infty$  is a sequence which is decreasing, i.e.,  $a_{m+2} + a_m - 2a_{m+1} \geq 0$  and  $a_m - a_{m+1} \geq 0, \forall m \geq 1$ , then*

$$\operatorname{Re} \left\{ \sum_{m=1}^\infty a_mz^{m-1} \right\} > \frac{1}{2}, \quad \forall z \in \mathcal{D}.$$

**Lemma 5** ([15]). *If  $a_m \geq 0, \{ma_m\}$  and  $\{ma_m - (m+1)a_{m+1}\}$  both are non-increasing, then the function  $g$  defined by (3) is in  $\mathcal{S}^*$ .*

## 2. Starlikeness, Convexity, Close-to-Convexity

**Theorem 1.** *Let  $\alpha \geq \frac{3}{2}$  and  $\kappa \geq \frac{3}{2}$ . Then,*

$$\operatorname{Re} \left\{ \frac{E_{\alpha,\kappa}(z)}{z} \right\} > \frac{1}{2}, \quad \text{for } z \in \mathcal{D}.$$

**Proof.** For the proof of this result, we have to show that

$$\{a_m\}_{m=1}^\infty = \left\{ \frac{\Gamma(\kappa)}{\Gamma(\alpha(m-1) + \kappa)} \right\}_{m=1}^\infty$$

is a decreasing sequence. Consider

$$\begin{aligned}
 a_m - a_{m+1} &= \frac{\Gamma(\kappa)}{\Gamma(\alpha(m-1) + \kappa)} - \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} \\
 &= \Gamma(\kappa) \left\{ \frac{\Gamma(\alpha m + \kappa) - \Gamma(\alpha(m-1) + \kappa)}{\Gamma(\alpha(m-1) + \kappa) \Gamma(\alpha m + \kappa)} \right\} > 0,
 \end{aligned}$$

where  $\forall m \geq 1, \alpha \geq \frac{3}{2}$  and  $\kappa \geq \frac{3}{2}$ . Now, to show that  $\{a_m\}_{m=1}^\infty$  is decreasing, we prove that  $a_m + a_{m+2} \geq 2a_{m+1}$ .

Take

$$\begin{aligned}
 a_m - 2a_{m+1} + a_{m+2} &= \frac{\Gamma(\kappa)}{\Gamma(\alpha(m+1) + \kappa)} + \frac{\Gamma(\kappa)}{\Gamma(\alpha(m-1) + \kappa)} - \frac{2\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} \\
 &= \Gamma(\kappa) \left\{ \frac{\Gamma(\alpha m + \kappa) \Gamma(\alpha(m+1) + \kappa) - 2\Gamma(\alpha(m-1) + \kappa) \Gamma(\alpha(m+1) + \kappa)}{\Gamma(\alpha(m-1) + \kappa) \Gamma(\alpha m + \kappa) \Gamma(\alpha(m+1) + \kappa)} \right\} \\
 &= \Gamma(\kappa) \left[ \frac{\Gamma(\alpha(m+1) + \kappa) \{ \Gamma(\alpha m + \kappa) - 2\Gamma(\alpha(m-1) + \kappa) \}}{\Gamma(\alpha(m-1) + \kappa) \Gamma(\alpha m + \kappa) \Gamma(\alpha(m+1) + \kappa)} \right].
 \end{aligned}$$

The above expression is non negative  $\forall m \geq 1, \alpha \geq \frac{3}{2}$  and  $\kappa \geq \frac{3}{2}$ , which shows that  $\{a_m\}_{m=1}^\infty$  is decreasing and convex sequence. Now, from the Lemma 4, we have

$$\operatorname{Re} \left( \sum_{m=1}^\infty b_m z^{m-1} \right) > \frac{1}{2}, \quad z \in \mathcal{D},$$

which is equivalent to

$$\operatorname{Re} \left( \frac{E_{\alpha,\kappa}(z)}{z} \right) > \frac{1}{2}, \quad z \in \mathcal{D}.$$

□

**Theorem 2.** Let  $\alpha \geq 2.67$  and  $\kappa \geq 1$ . Then,  $E_{\alpha,\kappa}(z)$  is starlike in the open unit disc  $\mathcal{D}$ .

**Proof.** To show that  $E_{\alpha,\kappa}(z)$  is starlike in  $\mathcal{D}$ , we prove that  $\{ma_m\}$  and  $\{ma_m - (m+1)a_{m+1}\}$  both are non-increasing in view of Lemma 5. Since  $a_m \geq 0$  for the normalized Mittag-Leffler function under the given conditions, consider

$$\begin{aligned}
 ma_m - (m+1)a_{m+1} &= \frac{m\Gamma(\kappa)}{\Gamma(\alpha(m-1) + \kappa)} - \frac{(m+1)\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} \\
 &= \Gamma(\kappa) \left\{ \frac{m\Gamma(\alpha m + \kappa) - (m+1)\Gamma(\alpha(m-1) + \kappa)}{\Gamma(\alpha(m-1) + \kappa) \Gamma(\alpha m + \kappa)} \right\} > 0
 \end{aligned}$$

for  $m \geq 1, \alpha \geq 2.67$  and  $\kappa \geq 1$ . Now,

$$\begin{aligned}
 ma_m - 2(m+1)a_{m+1} + (m+2) &= \frac{m\Gamma(\kappa)}{\Gamma(\alpha(m-1) + \kappa)} - \frac{2(m+1)\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} + \frac{(m+2)\Gamma(\kappa)}{\Gamma(\alpha(m+1) + \kappa)} \\
 &= \Gamma(\kappa) \left\{ \frac{-2(m+1)\Gamma(\alpha(m-1) + \kappa)\Gamma(\alpha(m+1) + \kappa) + m\Gamma(\alpha m + \kappa)\Gamma(\alpha(m+1) + \kappa) + (m+2)\Gamma(\alpha(m-1) + \kappa)\Gamma(\alpha m + \kappa)}{\Gamma(\alpha(m-1) + \kappa)\Gamma(\alpha m + \kappa)\Gamma(\alpha(m+1) + \kappa)} \right\} \\
 &= \Gamma(\kappa) \left[ \frac{\Gamma(\alpha(m+1) + \kappa) \{m\Gamma(\alpha m + \kappa) - 2(m+1)\Gamma(\alpha(m-1) + \kappa)\} + (m+2)\Gamma(\alpha(m-1) + \kappa)\Gamma(\alpha m + \kappa)}{\Gamma(\alpha(m-1) + \kappa)\Gamma(\alpha m + \kappa)\Gamma(\alpha(m+1) + \kappa)} \right].
 \end{aligned}$$

The above relation is non-negative  $\forall m \geq 1, \alpha \geq 2.67$  and  $\kappa \geq 1$ . Thus, from Lemma 5,  $E_{\alpha,\kappa}(z)$  is starlike in  $\mathcal{D}$ .  $\square$

**Theorem 3.** Let  $\alpha \geq 3.323$  and  $\kappa \geq 1$ . Then,

$$\operatorname{Re} \{E'_{\alpha,\kappa}(z)\} > \frac{1}{2}, \quad (z \in \mathcal{D}).$$

**Proof.** Consider

$$\begin{aligned}
 E_{\alpha,\kappa}(z) &= z + \sum_{m=2}^{\infty} \frac{\Gamma(\kappa)z^m}{\Gamma(\alpha(m-1) + \kappa)}, \\
 E'_{\alpha,\kappa}(z) &= 1 + \sum_{m=2}^{\infty} \frac{m\Gamma(\kappa)}{\Gamma(\alpha(m-1) + \kappa)}z^{m-1}, \\
 E'_{\alpha,\kappa}(z) &= 1 + \sum_{m=2}^{\infty} A_m z^{m-1}.
 \end{aligned}$$

Here,  $A_m = \frac{m\Gamma(\kappa)}{\Gamma(\alpha(m-1) + \kappa)}$ . By taking the same computations as in Theorem 2, we get the proof.  $\square$

**Theorem 4.** If  $\alpha \geq 1$  and  $\kappa \geq 1$ , then  $z \rightarrow E_{\alpha,\kappa}(z)$  is in  $\mathcal{K}$  with respect to the function  $-\log(1-z)$ .

**Proof.** Set

$$E_{\alpha,\kappa}(z) = z + \sum_{m=2}^{\infty} a_{m-1}z^m,$$

and we have  $a_{m-1} > 0$  for all  $m \geq 2$  and  $a_1 = \frac{\Gamma(\kappa)}{\Gamma(\alpha + \kappa)} \leq 1$ . For the proof of this result, we use Lemma 3. Therefore, we have to show that  $\{ma_{m-1}\}_{m \geq 2}$  is decreasing. Now,

$$\begin{aligned}
 ma_{m-1} - (m+1)a_m &= \Gamma(\kappa) \left[ \frac{m}{\Gamma(\alpha(m-1) + \kappa)} - \frac{m+1}{\Gamma(\alpha m + \kappa)} \right], \\
 &= \Gamma(\kappa) \left[ \frac{m\Gamma(\alpha m + \kappa) - (m+1)\Gamma(\alpha(m-1) + \kappa)}{\Gamma(\alpha(m-1) + \kappa)\Gamma(\alpha m + \kappa)} \right] > 0.
 \end{aligned}$$

By restricting parameters, we note that  $ma_{m-1} - (m+1)a_m > 0$  for all  $m \geq 2$ . Thus,  $\{ma_{m-1}\}_{m \geq 2}$  is a decreasing sequence—hence the result.  $\square$

**Theorem 5.** If  $\alpha \geq 1$  and  $\kappa \geq 1$ , then  $z \rightarrow zE_{\alpha,\kappa}(z^2)$  is in  $\mathcal{K}$  respect to the function  $\frac{1}{2} \log\left(\frac{1+z}{1-z}\right)$ .



**Proof.** Set

$$zE_{\alpha,\kappa}(z^2) = z + \sum_{m=2}^{\infty} A_{2m-1}z^{2m-1}.$$

Here,  $A_{2m-1} = a_{m-1} = \frac{\Gamma(\kappa)}{\Gamma(\alpha(m-1)+\kappa)}$  for all  $m \geq 2$ . In addition, it is clear that  $a_1 \leq 1$ . Mainly, we have to show that  $\{(2m-1)a_{m-1}\}_{m \geq 2}$  is decreasing. Now,

$$\begin{aligned} (2m-1)a_{m-1} - (2m+1)a_m &= \Gamma(\kappa) \left[ \frac{(2m-1)}{\Gamma(\alpha(m-1)+\kappa)} - \frac{(2m+1)}{\Gamma(\alpha m + \kappa)} \right], \\ &= \Gamma(\kappa) \left[ \frac{(2m-1)\Gamma(\alpha m + \kappa) - (2m+1)\Gamma(\alpha(m-1)+\kappa)}{\Gamma(\alpha(m-1)+\kappa)\Gamma(\alpha m + \kappa)} \right] > 0. \end{aligned}$$

By using conditions on parameters, we observe that  $(2m-1)a_{m-1} - (2m+1)a_m > 0$  for all  $m \geq 2$ . Thus,  $\{(2m-1)a_{m-1}\}_{m \geq 2}$  is a decreasing sequence. By applying Lemma 3, we have the required result.  $\square$

**Theorem 6.** If  $\alpha \geq 1$  and  $\kappa \geq 3.214319744$ , then  $E_{\alpha,\kappa}(z) \in S^*$  in  $\mathcal{D}$ .

**Proof.** Let  $p(z) = \frac{zE'_{\alpha,\kappa}(z)}{E_{\alpha,\kappa}(z)}$ ,  $z \in \mathcal{D}$ . Then, the function  $p$  is analytic in  $\mathcal{D}$  with  $p(0) = 1$ . To prove  $E_{\alpha,\kappa}(z)$  is starlike in  $\mathcal{D}$ , we just prove that  $Re p(z) > 0$  in  $z \in \mathcal{D}$ . For this, it is enough to show  $|p(z) - 1| < 1$  for  $z \in \mathcal{D}$ . By using the inequalities

$$\begin{aligned} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} &\leq \frac{1}{(\kappa)_m}, \quad \alpha \geq 1, \kappa \geq 1, m \in \mathbb{N}, \\ m(\kappa)_m &\leq 2^{m-1}\kappa(\kappa+1)_{m-1}, \quad \kappa \geq 1, m \in \mathbb{N}, \end{aligned}$$

we have

$$\begin{aligned} \left| E'_{\alpha,\kappa}(z) - \frac{E_{\alpha,\kappa}(z)}{z} \right| &= \left| \sum_{m=1}^{\infty} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} m z^m \right| \\ &\leq \sum_{m=1}^{\infty} \frac{2^{m-1}}{\kappa(\kappa+1)^{m-1}} \\ &\leq \frac{1}{\kappa} \sum_{m=1}^{\infty} \left( \frac{2}{\kappa+1} \right)^{m-1} \\ &= \frac{\kappa+1}{\kappa(\kappa-1)}, \quad (\kappa > 1). \end{aligned} \tag{4}$$

Furthermore, using reverse triangle inequality and the inequality  $(\kappa)^m \leq (\kappa)_m$ , we obtain

$$\begin{aligned} \left| \frac{E_{\alpha,\kappa}(z)}{z} \right| &= \left| 1 + \sum_{m=1}^{\infty} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} z^m \right| \\ &\geq 1 - \sum_{m=1}^{\infty} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} \\ &\geq 1 - \sum_{m=1}^{\infty} \frac{1}{(\kappa)_m} \\ &\geq 1 - \frac{1}{\kappa} \sum_{m=1}^{\infty} \left( \frac{1}{\kappa+1} \right)^{m-1} \\ &= \frac{\kappa^2 - \kappa - 1}{\kappa^2} \quad (\kappa > 0). \end{aligned} \tag{5}$$

By combining (4) and (5), we get

$$\left| \frac{zE'_{\alpha,\kappa}(z)}{E_{\alpha,\kappa}(z)} - 1 \right| \leq \frac{\kappa(\kappa+1)}{(\kappa-1)(\kappa^2-\kappa-1)}. \tag{6}$$

Therefore,  $E_{\alpha,\kappa}(z) \in \mathcal{S}^*$  in  $\mathcal{D}$  if  $\frac{\kappa(\kappa+1)}{(\kappa-1)(\kappa^2-\kappa-1)} \leq 1$ . In other words, we have to show that  $\kappa^3 - 3\kappa^2 - \kappa + 1 \geq 0$ . The inequality is satisfied for  $\kappa \geq 3.214319744$ . Hence,  $E_{\alpha,\kappa}(z)$  is starlike in  $\mathcal{D}$ .  $\square$

**Remark 1.** Recently, Bansal and Prajpat [11] proved that  $E_{\alpha,\kappa}(z)$  is starlike, if  $\alpha \geq 1$  and  $\kappa \geq (3 + \sqrt{17})/2 \approx 3.56155281$ . The above result improves the result in [11].

**Theorem 7.** If  $\alpha \geq 1$  and  $\kappa \geq 3.56155281$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{C}$  in  $\mathcal{D}$ .

**Proof.** Let  $p(z) = 1 + \frac{zE''_{\alpha,\kappa}(z)}{E_{\alpha,\kappa}(z)}$ ,  $z \in \mathcal{D}$ . Then,  $p(z)$  is analytic in  $\mathcal{D}$  with  $p(0) = 1$ . To show that  $E_{\alpha,\kappa}(z)$  is convex in  $\mathcal{D}$ , it is enough to prove that  $|p(z) - 1| < 1, z \in \mathcal{D}$ . By using the inequalities

$$\begin{aligned} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} &\leq \frac{1}{(\kappa)_m}, \quad \alpha \geq 1, \kappa \geq 1, m \in \mathbb{N}, \\ 2m(m+1)(\kappa)_m &\leq 4^{m-1}\kappa(\kappa+1)_{m-1}, \quad \kappa \geq 1, m \in \mathbb{N}, \end{aligned}$$

we have

$$\begin{aligned} |zE''_{\alpha,\kappa}(z)| &= \left| \sum_{m=1}^{\infty} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} m(m+1) z^m \right| \\ &\leq \sum_{m=1}^{\infty} \frac{4^{m-1}}{2\kappa(\kappa+1)^{m-1}} \\ &\leq \frac{2}{\kappa} \sum_{m=1}^{\infty} \left( \frac{4}{\kappa+1} \right)^{m-1} \\ &= \frac{2(\kappa+1)}{\kappa(\kappa-3)}, \quad (\kappa > 3). \end{aligned} \tag{7}$$

Furthermore, using the inequality  $m(\kappa)^m \leq 2^{m-1}(\kappa)_m$ , then we have

$$\begin{aligned} |E'_{\alpha,\kappa}(z)| &= \left| 1 + \sum_{m=1}^{\infty} (m+1) \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} z^m \right| \\ &\geq 1 - \sum_{m=1}^{\infty} (m+1) \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} \\ &\geq 1 - \sum_{m=1}^{\infty} \frac{1}{(\kappa)_m} \\ &\geq 1 - \frac{2}{\kappa} \sum_{m=1}^{\infty} \left( \frac{2}{\kappa+1} \right)^{m-1} \\ &= \frac{\kappa^2 - 3\kappa - 2}{\kappa(\kappa-1)} \quad (\kappa > 0). \end{aligned} \tag{8}$$

From (7) and (8), we get

$$\left| \frac{zE''_{\alpha,\kappa}(z)}{E'_{\alpha,\kappa}(z)} \right| \leq \frac{2(\kappa^2 - 1)}{(\kappa-1)(\kappa^2 - 3\kappa - 2)}. \tag{9}$$

This implies that  $E_{\alpha,\kappa}(z) \in \mathcal{C}$  in  $\mathcal{D}$  if  $\frac{2(\kappa^2-1)}{(\kappa-1)(\kappa^2-3\kappa-2)} \leq 1$ . To prove our result, we have to show that  $\kappa^3 - 6\kappa^2 + 7\kappa + 6 \geq 0$ . The inequality is satisfied for  $\kappa \geq 3.5615528$ . Hence,  $E_{\alpha,\kappa}(z)$  is convex in  $\mathcal{D}$ .  $\square$

Consider the integral operator  $\mathcal{F}_\gamma : \mathcal{D} \rightarrow \mathbb{C}$ , where  $\gamma \in \mathbb{C}$ ,  $\gamma \neq 0$ ,

$$\mathcal{F}_\gamma(z) = \left\{ \gamma \int_0^z t^{\gamma-2} E_{\alpha,\kappa}(t) dt \right\}, \quad z \in \mathcal{D}.$$

Here,  $\mathcal{F}_\gamma \in \mathcal{A}$ . We prove that  $\mathcal{F}_\gamma \in \mathcal{S}$  in  $\mathcal{D}$ .

**Theorem 8.** Let  $M \in \mathbb{R}^+$  such that  $|E_{\alpha,\kappa}(z)| \leq M$  in  $\mathcal{D}$ . If

$$|\gamma - 1| + \frac{\kappa(\kappa + 1)}{(\kappa - 1)(\kappa^2 - \kappa - 1)} + \frac{M}{|\gamma|} \leq 1,$$

then  $\mathcal{F}_\gamma \in \mathcal{S}$  in  $\mathcal{D}$ .

**Proof.** A calculation gives

$$\frac{z\mathcal{F}_\gamma''(z)}{\mathcal{F}_\gamma'(z)} = \frac{zE'_{\alpha,\kappa}(z)}{E_{\alpha,\kappa}(z)} + \frac{z^{\gamma-1}}{\gamma} E_{\alpha,\kappa}(z) + \gamma - 2, \quad z \in \mathcal{D}.$$

Since  $E_{\alpha,\kappa}(z) \in \mathcal{A}$ , then by Schwarz Lemma, triangle inequality and (6), we obtain

$$\begin{aligned} (1 - |z|^2) \frac{z\mathcal{F}_\gamma''(z)}{\mathcal{F}_\gamma'(z)} &\leq (1 - |z|^2) \left[ |\gamma - 1| + \left| \frac{zE'_{\alpha,\kappa}(z)}{E_{\alpha,\kappa}(z)} - 1 \right| + \frac{|z|^{\gamma-1}}{|\gamma|} \left| \frac{E_{\alpha,\kappa}(z)}{z} \right| \right] \\ &\leq (1 - |z|^2) \left[ |\gamma - 1| + \frac{\kappa(\kappa + 1)}{(\kappa - 1)(\kappa^2 - \kappa - 1)} + \frac{M}{|\gamma|} \right]. \end{aligned}$$

By using Lemma 2,  $\mathcal{F}_\gamma \in \mathcal{S}$  in  $\mathcal{D}$ .  $\square$

**Theorem 9.** Let  $\alpha \geq 1$ ,  $\mu \in [0, 1]$  and  $z \in \mathcal{D}$ .

- (i) If  $\kappa > \frac{(11-3\mu) + \sqrt{\mu^2 - 12\mu + 17}}{2(1-\mu)}$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{K}\left(\frac{1+\mu}{2}\right)$ .
- (ii) If  $\kappa < 1 - \frac{\{(k+2)(k+\alpha_0)(k+\alpha_0-1) + (k+1)\}}{\kappa(k+1)(k+\alpha_0)(k+\alpha_0-1)}$ , then  $\frac{E_{\alpha,\kappa}(z)}{z} \in \mathcal{P}(\mu)$ .
- (iii) If  $(1 - \mu)\kappa^3 + (2\mu - 3)\kappa^2 - \kappa + (1 - \mu) > 0$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{S}^*(\mu)$ .
- (iv) If  $(1 - \mu)\kappa^3 + (6\mu - 8)\kappa^2 + (7 - 7\mu)\kappa + (8 - 6\mu) > 0$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{C}(\mu)$ .

**Proof.** (i) Using (7) and Lemma 1, we get

$$|zE''_{\alpha,\kappa}(z)| \leq \frac{2(\kappa + 1)}{\kappa(\kappa - 3)} < \frac{1 - \mu}{4},$$

where  $0 \leq \mu < 1 - \frac{8(\kappa+1)}{\kappa(\kappa-3)}$  and  $\kappa > \frac{(11-3\mu) + \sqrt{\mu^2 - 12\mu + 17}}{2(1-\mu)}$ . This shows that  $E_{\alpha,\kappa}(z) \in \mathcal{K}\left(\frac{1+\mu}{2}\right)$ .

(ii) To prove  $\frac{E_{\alpha,\kappa}(z)}{z} \in \mathcal{P}(\mu)$ , we have to show that  $|g(z) - 1| < 1$ , where  $g(z) = \frac{\{E_{\alpha,\kappa}(z)/z\} - \mu}{(1-\mu)}$ . By using triangle inequality with

$$\begin{aligned} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} &\leq \frac{1}{(\kappa)_m}, \quad m \in \mathbb{N}, \\ (\kappa)_m &> \kappa(\kappa + \alpha_0)^{m-1}, \quad (\kappa > 0; m \in \mathbb{N} \setminus \{1, 2\}), \end{aligned}$$

(see [16]), where

$$\alpha_0 \approx 1.302775637\dots$$

is the largest root of the equation

$$\alpha^2 + \alpha - 3 = 0,$$

we have

$$\begin{aligned} |g(z) - 1| &= \left| \frac{1}{(1-\mu)} \sum_{m=1}^{\infty} \frac{\Gamma(\kappa)}{\Gamma(\alpha m + \kappa)} z^m \right| \\ &\leq \frac{1}{(1-\mu)} \sum_{m=1}^{\infty} \frac{1}{(\kappa)_m} \\ &\leq \frac{1}{(1-\mu)} \left\{ \frac{1}{\kappa} + \frac{1}{\kappa(\kappa+1)} + \sum_{m=3}^{\infty} \frac{1}{\kappa(\kappa+\alpha_0)^{m-1}} \right\} \\ &= \frac{1}{(1-\mu)} \frac{\{(\kappa+2)(\kappa+\alpha_0)(\kappa+\alpha_0-1) + (\kappa+1)\}}{\kappa(\kappa+1)(\kappa+\alpha_0)(\kappa+\alpha_0-1)}. \end{aligned}$$

This implies that  $\frac{E_{\alpha,\kappa}(z)}{z} \in \mathcal{P}(\mu)$ , for  $0 < \mu < 1 - \frac{\{(\kappa+2)(\kappa+\alpha_0)(\kappa+\alpha_0-1) + (\kappa+1)\}}{\kappa(\kappa+1)(\kappa+\alpha_0)(\kappa+\alpha_0-1)}$ .

(iii) We use the inequality  $\left| \frac{zE'_{\alpha,\kappa}(z)}{E_{\alpha,\kappa}(z)} - 1 \right| < 1 - \mu$  to show the starlikeness of order  $\mu$  for the function  $E_{\alpha,\kappa}(z)$ . By using (4) and (5), we have

$$\left| \frac{zE'_{\alpha,\kappa}(z)}{E_{\alpha,\kappa}(z)} - 1 \right| \leq \frac{\kappa(\kappa+1)}{(\kappa-1)(\kappa^2 - \kappa - 1)} < 1 - \mu.$$

This implies that

$$\mu < 1 - \frac{\kappa(\kappa+1)}{(\kappa-1)(\kappa^2 - \kappa - 1)}.$$

This completes the proof.

(iv) We use the inequality  $\left| \frac{zE''_{\alpha,\kappa}(z)}{E'_{\alpha,\kappa}(z)} \right| < 1 - \mu$  to show that  $E_{\alpha,\kappa}(z) \in \mathcal{C}(\mu)$ . By using (7) and (8), we have

$$\left| \frac{zE''_{\alpha,\kappa}(z)}{E'_{\alpha,\kappa}(z)} \right| \leq \frac{2(\kappa^2 - 1)}{(\kappa - 3)(\kappa^2 - 3\kappa - 2)} < 1 - \mu.$$

This implies that

$$\mu < 1 - \frac{2(\kappa^2 - 1)}{(\kappa - 3)(\kappa^2 - 3\kappa - 2)},$$

hence the result.  $\square$

Substituting  $\mu = 0$  in Theorem 9, we obtained the following results.

**Corollary 1.** Let  $\alpha \geq 1, z \in \mathcal{D}$ .

- (i) If  $\kappa > \frac{11 + \sqrt{17}}{2}$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{K}\left(\frac{1}{2}\right)$ .
- (ii) If  $\frac{\{(\kappa+2)(\kappa+\alpha_0)(\kappa+\alpha_0-1) + (\kappa+1)\}}{\kappa(\kappa+1)(\kappa+\alpha_0)(\kappa+\alpha_0-1)} < 1$ , then  $\frac{E_{\alpha,\kappa}(z)}{z} \in \mathcal{P}$ .
- (iii) If  $\kappa^3 - 3\kappa^2 - \kappa + 1 > 0$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{S}^*$ .
- (iv) If  $\kappa^3 - 8\kappa^2 + 7\kappa + 8 > 0$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{C}$ .

**Remark 2.** It is clear that  $E_{\alpha,\kappa}(z) \in \mathcal{K}\left(\frac{1}{2}\right)$  when  $\alpha \geq 1, \kappa > 7.56155$  and  $E_{\alpha,\kappa}(z) \in \mathcal{C}$  when  $\alpha \geq 1, \kappa > 6.796963$ . It concludes that our results improve the results of ([17], corollary 2.1).

### 3. Hardy Space of Mittag–Leffler Function

Consider the class  $\mathcal{H}$  of analytic functions in  $\mathcal{D} = \{z : |z| < 1\}$  and  $\mathcal{H}^\infty$  denote the space bounded functions on  $\mathcal{H}$ . Let  $g \in \mathcal{H}$ , set

$$M_q(r, g) = \begin{cases} \left( \frac{1}{2\pi} \int_0^{2\pi} |g(re^{i\theta})|^q d\theta \right)^{1/q}, & 0 < q < \infty, \\ \max \{|g(z)| : |z| \leq r\}, & q = \infty. \end{cases}$$

If  $M_q(r, g)$  is bounded for  $r \in [0, 1)$ , then  $g \in \mathcal{H}^q$ . It is clear that

$$\mathcal{H}^\infty \subset \mathcal{H}^p \subset \mathcal{H}^q, \quad 0 < p < q < \infty.$$

For some details, see [18]. It is also known [18] that, if  $\text{Re}(g'(z)) > 0$  in  $\mathcal{D}$ , then

$$\begin{cases} g' \in \mathcal{H}^p, & p < 1, \\ g \in \mathcal{H}^{p/(1-p)}, & 0 < p < 1. \end{cases}$$

Hardy spaces of certain special functions are studied in [10,19,20].

**Lemma 6 ([21]).**  $\mathcal{P}_0(\mu) * \mathcal{P}_0(\eta) \subset \mathcal{P}_0(\gamma)$ , where  $\gamma = 1 - 2(1 - \mu)(1 - \eta)$  and  $\mu, \eta < 1$ . The value  $\gamma$  can not be improved.

**Lemma 7 ([22]).** For  $\mu, \eta < 1$  and  $\gamma = 1 - 2(1 - \mu)(1 - \eta)$ , we have  $\mathcal{R}_0(\mu) * \mathcal{R}_0(\eta) \subset \mathcal{R}_0(\gamma)$ , or equivalently  $\mathcal{P}_0(\mu) * \mathcal{P}_0(\eta) \subset \mathcal{P}_0(\gamma)$ .

**Lemma 8 ([23]).** If the function  $g$ , convex of order  $\mu$ , where  $\mu \in [0, 1)$ , is not of the form

$$g(z) = \begin{cases} l + dz(1 - ze^{i\zeta})^{2\mu-1}, & \mu \neq 1/2, \\ l + d \log(1 - ze^{i\zeta}), & \mu = 1/2, \end{cases}$$

for  $d, l \in \mathbb{C}$ , and  $\zeta \in \mathbb{R}$ , then the following statements are true:

- (i) There exist  $\delta = \delta(g) > 0$  such that  $g' \in \mathcal{H}^{\delta+1/[2(1-\mu)]}$ .
- (ii) If  $\mu \in [0, 1/2)$ , then there exists  $\tau = \tau(g) > 0$  such that  $g \in \mathcal{H}^{\tau+1/(1-2\mu)}$ .
- (iii) If  $\mu \geq 1/2$ , then  $g \in \mathcal{H}^\infty$ .

**Theorem 10.** Let  $\mu \in [0, 1)$ ,  $(1 - \mu)\kappa^3 + (6\mu - 8)\kappa^2 + (7 - 7\mu)\kappa + (8 - 6\mu) > 0$ .

- (i) If  $\mu \in [0, 1/2)$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{H}^{1/(1-2\mu)}$ .
- (ii) If  $\mu \geq 1/2$ , then  $E_{\alpha,\kappa}(z) \in \mathcal{H}^\infty$ .

**Proof.** By using the definition of the hypergeometric function

$${}_2F_1(a, b, c; z) = \sum_{m=0}^{\infty} \frac{(a)_m (b)_m}{(c)_m} \frac{z^m}{m!}$$

we have

$$\begin{aligned} l + \frac{dz}{(1 - ze^{i\zeta})^{1-2\mu}} &= l + dz {}_2F_1(1, 1 - 2\alpha, 1; ze^{i\zeta}), \\ &= l + d \sum_{m=0}^{\infty} \frac{(1 - 2\alpha)_m}{m!} e^{i\zeta m} z^{m+1}, \end{aligned}$$

for  $l, d \in \mathbb{C}, \mu \neq 1/2$  and for real  $\varsigma$ . On the other hand,

$$\begin{aligned} l + d \log(1 - ze^{i\gamma}) &= l - dz {}_2F_1(1, 1, 2; ze^{i\varsigma}), \\ &= l - d \sum_{m=0}^{\infty} \frac{1}{m+1} e^{i\varsigma m} z^{m+1}. \end{aligned}$$

Therefore, the function  $E_{\alpha, \kappa}(z)$  is not of the form of  $l + dz(1 - ze^{i\gamma})^{2\mu-1}$  (for  $\mu \neq 1/2$ ) and  $l + d \log(1 - ze^{i\gamma})$  (for  $\mu = 1/2$ ). We know that, by part (iv) of Theorem 9,  $E_{\alpha, \kappa}(z) \in \mathcal{C}(\mu)$ . Therefore, by using Lemma 8, we have the required result.  $\square$

**Theorem 11.** Let  $\frac{\{(\kappa+2)(\kappa+\alpha_0)(\kappa+\alpha_0-1)+(\kappa+1)\}}{\kappa(\kappa+1)(\kappa+\alpha_0)(\kappa+\alpha_0-1)} < 1$  and  $f \in \mathcal{D}$ . Then, convolution  $E_{\alpha, \kappa} * f$  is in  $\mathcal{H}^\infty \cap \mathcal{R}$ .

**Proof.** Let  $h(z) = E_{\alpha, \kappa}(z) * g(z)$ . Then,  $h'(z) = \frac{E_{\alpha, \kappa}(z)}{z} * g'(z)$ . Using the Corollary 1 part ii, we have  $\frac{E_{\alpha, \kappa}(z)}{z} \in \mathcal{P}$ . As  $g \in \mathcal{R}$ ; therefore, by using Lemma 6  $h \in \mathcal{R}$ . Now, the function  $\frac{E_{\alpha, \kappa}(z)}{z}$  is complete; therefore,  $h(z)$  is complete. This implies that  $h(z)$  is bounded. Thus, we have the required result.  $\square$

**Theorem 12.** Let  $\preceq < 1 - \frac{\{(\kappa+2)(\kappa+\alpha_0)(\kappa+\alpha_0-1)+(\kappa+1)\}}{\kappa(\kappa+1)(\kappa+\alpha_0)(\kappa+\alpha_0-1)}, \mu \in [0, 1]$  and  $z \in \mathcal{D}$ . If  $g \in \mathcal{P}(\eta)$ , then  $E_{\alpha, \kappa}(z) * g \in \mathcal{R}(\gamma)$ , where  $\gamma = 1 - 2(1 - \mu)(1 - \eta)$ .

**Proof.** Let  $h(z) = E_{\alpha, \kappa}(z) * g(z)$ . Then, it is clear that  $h'(z) = \frac{E_{\alpha, \kappa}(z)}{z} * g'(z)$ . Using Theorem 9 part (ii), we have  $\frac{E_{\alpha, \kappa}(z)}{z} \in \mathcal{P}(\mu)$ . As  $g \in \mathcal{R}$ , therefore, by using Lemma 6 and the fact that  $g' \in \mathcal{P}(\eta)$ , we have  $h'(z) \in \mathcal{P}(\gamma)$ , where  $\gamma = 1 - 2(1 - \mu)(1 - \eta)$ . Consequently,  $h \in \mathcal{R}(\gamma)$ .  $\square$

**Corollary 2.** Let  $\mu \in [0, 1]$  and  $\preceq < 1 - \frac{\{(\kappa+2)(\kappa+\alpha_0)(\kappa+\alpha_0-1)+(\kappa+1)\}}{\kappa(\kappa+1)(\kappa+\alpha_0)(\kappa+\alpha_0-1)}$ . If  $g \in \mathcal{R}(\eta)$ ,  $\eta = (1 - 2\mu) / (2 - 2\mu)$ , then  $E_{\alpha, \kappa}(z) * g \in \mathcal{R}(0)$ .

**Corollary 3.** Let  $\mu \in [0, 1]$  and  $\frac{\{(\kappa+2)(\kappa+\alpha_0)(\kappa+\alpha_0-1)+(\kappa+1)\}}{\kappa(\kappa+1)(\kappa+\alpha_0)(\kappa+\alpha_0-1)} < 1$ . If  $g \in \mathcal{R}(1/2)$ , then  $E_{\alpha, \kappa}(z) * g \in \mathcal{R}(0)$ .

#### 4. Applications

Now, we present some applications of the above theorems. It is clear that

$$E_{1,2}(z) = e^z - 1, E_{1,3}(z) = \frac{2e^z - z - 1}{z}, E_{1,4}(z) = \frac{6e^z - 3z^2, -6z - 6}{z^2}.$$

From Theorem 9, we get the following:

**Corollary 4.** (i) If  $0 \leq \mu < \mu_0$ , where  $\mu_0 \approx 0.26759$ , then  $E_{1,2}(z) \in \mathcal{P}(\mu)$ .

(ii) If  $0 \leq \mu < \mu_1$ , where  $\mu_1 \approx 0.55988$ , then  $E_{1,3}(z) \in \mathcal{P}(\mu)$ .

(iii) If  $0 \leq \mu < \mu_2$ , where  $\mu_2 \approx 0.68904$ , then  $E_{1,4}(z) \in \mathcal{P}(\mu)$ .

**Corollary 5.** If  $0 \leq \mu < \mu_3$ , where  $\mu_3 \approx 0.39393$ , then  $E_{1,4}(z) \in \mathcal{S}^*(\mu)$ .

**Corollary 6.** (i) Let  $0 \leq \mu < \mu_4$ , where  $\mu_4 \approx 0.2675930$ . If  $g \in \mathcal{R}(\eta)$ ,  $\eta = (1 - 2\mu) / (2 - 2\mu)$ , then  $E_{1,2}(z) * g \in \mathcal{R}(0)$ .

(ii) Let  $0 \leq \mu < \mu_5$ , where  $\mu_5 \approx 0.55987780$ . If  $g \in \mathcal{R}(\eta)$ ,  $\eta = (1 - 2\mu) / (2 - 2\mu)$ , then  $E_{1,3}(z) * g \in \mathcal{R}(0)$ .

(iii) Let  $0 \leq \mu < \mu_6$ , where  $\mu_6 \approx 0.68904320$ . If  $g \in \mathcal{R}(\eta)$ ,  $\eta = (1 - 2\mu) / (2 - 2\mu)$ , then  $E_{1,4}(z) * g \in \mathcal{R}(0)$ .

## 5. Conclusions

In this paper, we have studied certain geometric properties of Mittag-Leffler functions such as starlikeness, convexity and close-to-convexity. We have also found the Hardy spaces of Mittag-Leffler functions. Further, we have improved some results in the literature.

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# Some Generating Functions for $q$ -Polynomials

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**Abstract:** Demonstrating the striking symmetry between calculus and  $q$ -calculus, we obtain  $q$ -analogues of the Bateman, Pasternack, Sylvester, and Cesàro polynomials. Using these, we also obtain  $q$ -analogues for some of their generating functions. Our  $q$ -generating functions are given in terms of the basic hypergeometric series  ${}_4\phi_5$ ,  ${}_5\phi_5$ ,  ${}_4\phi_3$ ,  ${}_3\phi_2$ ,  ${}_2\phi_1$ , and  $q$ -Pochhammer symbols. Starting with our  $q$ -generating functions, we are also able to find some new classical generating functions for the Pasternack and Bateman polynomials.

**Keywords:** basic hypergeometric functions; generating functions;  $q$ -polynomials

**MSC:** 33D45; 33C20

## 1. Introduction

We will adopt the following notations for sets:  $\mathbb{N} := \{1, 2, 3, \dots\}$ ,  $\mathbb{N}_0 := \{0, 1, 2, \dots\}$ , and  $\mathbb{C}$  is the set of complex numbers. We also adopt the conventions that an empty sum vanishes and the empty product is unity.

The generalized hypergeometric series  ${}_rF_s$  is given by [1] (1.4.1)

$${}_rF_s \left( \begin{matrix} a_1, \dots, a_r \\ b_1, \dots, b_s \end{matrix} ; z \right) = \sum_{n=0}^{\infty} \frac{(a_1)_n \cdots (a_r)_n z^n}{(b_1)_n \cdots (b_s)_n n!},$$

where  $|z| < 1$ , the Pochhammer symbol (rising factorial) is defined by  $(a)_n := (a)(a+1)\cdots(a+n-1)$ , and the  $(b_i)$  are such that these denominator factors never vanish. A  $q$ -analogue of the hypergeometric series  ${}_rF_s$  is the basic hypergeometric series [2]

$${}_r\phi_s \left( \begin{matrix} a_1, a_2, \dots, a_r \\ b_1, b_2, \dots, b_s \end{matrix} \middle| q, z \right) = \sum_{n=0}^{\infty} \frac{(a_1; q)_n (a_2; q)_n \cdots (a_r; q)_n}{(b_1; q)_n (b_2; q)_n \cdots (b_s; q)_n} \left[ (-1)^n q^{\frac{n(n-1)}{2}} \right]^{1+s-r} \frac{z^n}{(q; q)_n}, \quad (1)$$

where  $q \neq 0$  when  $r > s + 1$ . We refer to [2] for convergence properties of the series, however note that  $(b_i)$  is such that the denominator factors never vanish. This occurs when  $b_i = q^{-m}$  for some  $m \in \mathbb{N}_0$ . Further note the important limit [1] (p. 15)

$$\lim_{q \uparrow 1} {}_r\phi_s \left( \begin{matrix} q^{a_1}, q^{a_2}, \dots, q^{a_r} \\ q^{b_1}, q^{b_2}, \dots, q^{b_s} \end{matrix} \middle| q, (q-1)^{1+s-r} z \right) = {}_rF_s \left( \begin{matrix} a_1, a_2, \dots, a_r \\ b_1, b_2, \dots, b_s \end{matrix} ; z \right). \quad (2)$$

The  $q$ -Pochhammer symbol with non-negative integer subscript is defined by

$$(a; q)_0 = 1, \quad (a; q)_n = (1 - a)(1 - q) \cdots (1 - aq^{n-1}), \quad n \in \mathbb{N}.$$

Some useful properties of the  $q$ -Pochhammer symbols that we will take advantage of with  $n \in \mathbb{N}_0$  include [1] (1.8.18)

$$(q^{-n}; q)_k = \frac{(q; q)_n}{(q; q)_{n-k}} (-1)^k q^{\frac{k(k-1)}{2} - nk}, \quad k = 0, 1, \dots, n \tag{3}$$

and [1] (1.8.21-22)

$$(a; q)_{2n} = (a^{\frac{1}{2}}; q)_n (-a^{\frac{1}{2}}; q)_n ((aq)^{\frac{1}{2}}; q)_n (- (aq)^{\frac{1}{2}}; q)_n. \tag{4}$$

Furthermore, one has [1] (p. 12)

$$(a; q)_\infty := \prod_{k=1}^{\infty} (1 - aq^{k-1}),$$

and a generalization of the  $q$ -Pochhammer symbol for arbitrary  $\lambda \in \mathbb{C}$  is given by [1] (1.8.9)

$$(a; q)_\lambda := \frac{(a; q)_\infty}{(aq^\lambda; q)_\infty}, \quad |q| < 1, \tag{5}$$

where the principal value of  $q^\lambda$  is taken. Note that, from (5), it follows that, for  $a, \alpha, \beta \in \mathbb{C}$ ,

$$(a; q)_{\alpha+\beta} = (a; q)_\alpha (aq^\alpha; q)_\beta.$$

We need to define some other  $q$ -analogues, such as the  $q$ -analogue of a (real) number  $[a]_q$ , and the  $q$ -factorial  $[n]_q!$ . For the  $q$ -number one has [1] (1.8.1)

$$[a]_q := \frac{1 - q^a}{1 - q},$$

where  $q \neq 0, q \neq 1$ , and the  $q$ -factorial [2] (1.2.44)

$$[0]_q! = 1, \quad [n]_q! = \prod_{k=1}^n [k]_q, \quad n \in \mathbb{N}.$$

We also will need a  $q$ -analogue of the binomial theorem [2] (1.3.2)

$${}_1\phi_0 \left( \begin{matrix} a \\ - \end{matrix} \middle| q, z \right) = \sum_{k=0}^{\infty} \frac{(a; q)_k}{(q; q)_k} z^k = \frac{(az; q)_\infty}{(z; q)_\infty}, \quad |z| < 1, |q| < 1. \tag{6}$$

The  $q$ -binomial coefficient is defined for  $a, b \in \mathbb{C}$ , [2] (1.40)

$$\begin{bmatrix} a \\ b \end{bmatrix}_q := \frac{(q^{b+1}; q)_\infty (q^{a-b+1}; q)_\infty}{(q; q)_\infty (q^{a+1}; q)_\infty},$$

which specializes if  $n \in \mathbb{N}_0, k = 0, 1, \dots, n$ , to [2] (1.39)

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{(q; q)_n}{(q; q)_k (q; q)_{n-k}}.$$

## Mathematical and Physical Applications

H. Bateman introduced in [3], the hypergeometric polynomial which we refer to in this paper as the Bateman polynomials. The goal of his research in the direction of these polynomials was in trying to better understand some radiation and conduction problems in which one requires the inverse of the Laplace transform. Our generalized  $q$ -generating functions for the Bateman and Pasternack (generalized Bateman) polynomials may very well be useful to the study of  $q$ -analogues of these radiation and conduction problems. Sylvester (1879) [4] investigated his polynomials and showed that the numbers  $\varphi_n(\frac{1}{4})$  can be used for the computation of the numbers of different terms in the determinant of a skew-symmetric matrix of degree  $2n$ . Similarly,  $\varphi_n(\frac{1}{8})$  is significant for the computation of the number of different terms in a determinant of degree  $4n$ , which is skew-symmetric with respect to both diagonals (see [5] (pp. 255–256)). Cesàro polynomials  $g_n^{(s)}(z)$  are in fact the  $s$ th mean of the first  $n$  partial sums of  $1 + x + x^2 + \dots$  (see [6] (p. 185)). Our generalizations should apply in  $q$ -generalizations of all of these problems, such as for the  $q$ -Laplace transform and for  $q$ -Bernstein polynomials.

## 2. The Bateman, Sylvester, Pasternack, and Cesàro Polynomials

H. Bateman introduced in [3], the generalized hypergeometric polynomial:

$$\mathcal{Z}_n(z) = {}_2F_2 \left( \begin{matrix} -n, n+1 \\ 1, 1 \end{matrix} \middle| z \right).$$

By using [7] (Theorem 48), we obtain the generating function

$$\sum_{n=0}^{\infty} \mathcal{Z}_n(z) t^n = \frac{1}{1-t} {}_1F_1 \left( \begin{matrix} \frac{1}{2} \\ 1 \end{matrix} \middle| \frac{-4zt}{(1-t)^2} \right).$$

Using the above information, the Bateman polynomials are defined as [8] (p. 25)

$$\mathcal{B}_n(z) = {}_3F_2 \left( \begin{matrix} -n, n+1, \frac{z+1}{2} \\ 1, 1 \end{matrix} \middle| 1 \right).$$

He also obtained the generating functions:

$$\sum_{n=0}^{\infty} \mathcal{B}_n(z) t^n = \frac{1}{1-t} {}_2F_1 \left( \begin{matrix} \frac{1}{2}, \frac{z+1}{2} \\ 1 \end{matrix} \middle| \frac{-4t}{(1-t)^2} \right),$$

$$\sum_{n=0}^{\infty} (\mathcal{B}_n(z-2) - \mathcal{B}_n(z)) t^n = \frac{2t}{(1-t)^3} {}_2F_1 \left( \begin{matrix} \frac{3}{2}, \frac{z+1}{2} \\ 2 \end{matrix} \middle| \frac{-4t}{(1-t)^2} \right).$$

**Lemma 1.** Let  $t \in \mathbb{C}$ . Then the following relation holds:

$$\sum_{n=0}^{\infty} \mathcal{B}_n(-2n-1) \frac{t^n}{n!} = e^t \mathcal{Z}_m(-t). \quad (7)$$

The Bateman polynomial  $\mathcal{B}_n$  was generalized by Pasternack in [9]. He defines the polynomial  $\mathcal{B}_n^m$  as

$$\mathcal{B}_n^m(z) = {}_3F_2 \left( \begin{matrix} -n, n+1, \frac{z+m+1}{2} \\ 1, m+1 \end{matrix} \middle| 1 \right)$$

for  $m \in \mathbb{C} \setminus \{-1\}$ . These polynomials reduce to the Bateman polynomials when  $m = 0$ . Further information regarding such polynomials and their connection with (classical) orthogonal polynomials

can be found in [10]. Indeed, we can write the Pasternack polynomials in terms of the continuous Hahn polynomials as follows [10] (p. 893):

$$\mathcal{B}_n^m(z) = \frac{1}{i^n(m+1)_n} p_n \left( \frac{-iz}{2}; \frac{1+m}{2}, \frac{1-m}{2}, \frac{1-m}{2}, \frac{1+m}{2} \right).$$

We also consider the Sylvester polynomials, defined as (see [6] (p. 185))

$$\varphi_n(z) = \frac{z^n}{n!} {}_2F_0 \left( \begin{matrix} -n, z \\ - \end{matrix} \middle| -\frac{1}{z} \right).$$

Notice that we also can write the Sylvester polynomials in terms of (classical) orthogonal polynomials [1] (p. 48)

$$\varphi_n(z) = (-1)^n L_n^{(-z-n)}(x) = \frac{z^n}{n!} C_n(-z; z).$$

Here  $L_n^{(\alpha)}$  and  $C_n$  represent the Laguerre and Charlier polynomials. It is also known that the Sylvester polynomials satisfy the generating functions

$$\sum_{n=0}^{\infty} \varphi_n(z) t^n = \frac{e^{zt}}{(1-t)^z},$$

$$\sum_{n=0}^{\infty} (\lambda)_n \varphi_n(z) t^n = \frac{1}{(1-zt)^\lambda} {}_2F_0 \left( \begin{matrix} \lambda, z \\ - \end{matrix} \middle| \frac{t}{1-zt} \right).$$

The Cesàro polynomials are defined as [6] (p. 449)

$$g_n^{(s)}(z) = \frac{(1+s)_n}{n!} {}_2F_1 \left( \begin{matrix} -n, 1 \\ -s-n \end{matrix} \middle| z \right).$$

Observe that this family can be written in terms of Jacobi polynomials [6] (p. 449) as

$$g_n^{(s)}(z) = P_n^{(s+1, -s-n-1)}(2z-1). \tag{8}$$

Furthermore, they satisfy the generating functions: [11] (4.2)

$$\sum_{n=0}^{\infty} g_n^{(s)}(z) t^n = (1-t)^{-s-1} (1-zt)^{-1}, \tag{9}$$

$$\sum_{n=0}^{\infty} \binom{n+\ell}{\ell} g_{n+\ell}^{(s)}(z) t^n = (1-t)^{-s-1-\ell} (1-zt)^{-1} g_\ell^{(s)} \left( \frac{z(1-t)}{1-zt} \right). \tag{10}$$

The aim of this paper is to obtain the  $q$ -analogue of all these families of polynomials as well as the  $q$ -analogues of the generating functions stated above. The structure of this paper is as follows. In Section 2, we give some preliminaries on  $q$ -calculus and we define some  $q$ -analogues of the Bateman, Sylvester, Pasternack, and Cesàro polynomials. In Section 3, we state and prove most (see Remark 1 below) of the  $q$ -analogues of the generating functions associated with the  $q$ -Bateman,  $q$ -Sylvester,  $q$ -Pasternack, and  $q$ -Cesàro polynomials.

### 3. The $q$ -Analogues of the Bateman, Sylvester, Pasternack, and Cesàro Polynomials

Taking into account the  $q$ -definitions in Section 1 and the polynomials introduced therein, we define the  $q$ -Bateman polynomial as

$$\mathcal{L}_n(z; q) = {}_2\phi_2 \left( \begin{matrix} q^{-n}, q^{n+1} \\ q, q \end{matrix} \middle| q, q^n z \right),$$

$$\mathcal{B}_n(z; q) = {}_3\phi_2 \left( \begin{matrix} q^{-n}, q^{n+1}, q^{\frac{1+z}{2}} \\ q, q \end{matrix} \middle| q, q^n \right),$$

define the  $q$ -Pasternack polynomial as

$$\mathcal{B}_n^m(z; q) = {}_3\phi_2 \left( \begin{matrix} q^{-n}, q^{n+1}, q^{\frac{1+z+m}{2}} \\ q, q^{m+1} \end{matrix} \middle| q, q^n \right),$$

define the  $q$ -Sylvester polynomial as

$$\varphi_n(z; q) = \frac{z^n}{(q; q)_n} {}_2\phi_0 \left( \begin{matrix} q^{-n}, q^z \\ - \end{matrix} \middle| q, q^n z^{-1} \right),$$

and define the  $q$ -Cesàro polynomial as

$$g_n^{(s)}(z; q) = \frac{(q^{s+1}; q)_n}{(q; q)_n} {}_2\phi_1 \left( \begin{matrix} q^{-n}, q \\ q^{-s-n} \end{matrix} \middle| q, z \right).$$

**Lemma 2.** The  $q$ -Cesàro polynomial can be written as

$$g_n^{(s)}(z; q) = \sum_{k=0}^n \begin{bmatrix} k+s \\ s \end{bmatrix}_q (zq^s)^{n-k}. \tag{11}$$

**4. The Generating Functions**

**Theorem 1.** Let  $q, t, z \in \mathbb{C}, |q| < 1, |t| < 1, |z| < 1$ . Then the  $q$ -Bateman polynomials satisfy the following generating functions:

$$\sum_{n=0}^{\infty} \mathcal{B}_n(z; q) t^n = \frac{1}{1-t} {}_5\phi_5 \left( \begin{matrix} -q, q^{\frac{1}{2}}, -q^{\frac{1}{2}}, q^{\frac{z+1}{2}}, 0 \\ q, qt^{\frac{1}{2}}, -qt^{\frac{1}{2}}, (qt)^{\frac{1}{2}}, -(qt)^{\frac{1}{2}} \end{matrix} \middle| q, t \right), \tag{12}$$

$$\sum_{n=0}^{\infty} \mathcal{L}_n(z; q) t^n = \frac{1}{1-t} {}_4\phi_5 \left( \begin{matrix} -q, q^{\frac{1}{2}}, -q^{\frac{1}{2}}, 0 \\ q, qt^{\frac{1}{2}}, -qt^{\frac{1}{2}}, (qt)^{\frac{1}{2}}, -(qt)^{\frac{1}{2}} \end{matrix} \middle| q, zt \right), \tag{13}$$

$$\sum_{n=0}^{\infty} (\mathcal{B}_n(z-2; q) - \mathcal{B}_n(z; q)) t^n = \frac{(1+q)q^{\frac{z-1}{2}}t}{(t; q)_3} {}_5\phi_5 \left( \begin{matrix} -q^2, q^{\frac{3}{2}}, -q^{\frac{3}{2}}, q^{\frac{z+1}{2}}, 0 \\ q^2, q^2t^{\frac{1}{2}}, -q^2t^{\frac{1}{2}}, (q^3t)^{\frac{1}{2}}, -(q^3t)^{\frac{1}{2}} \end{matrix} \middle| q, qt \right). \tag{14}$$

**Proof.** Let us start by proving Identity (12). One has

$$\sum_{n=0}^{\infty} \mathcal{B}_n(z; q) t^n = \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^{-n}; q)_k (q^{n+1}; q)_k (q^{\frac{z+1}{2}}; q)_k}{(q; q)_k (q; q)_k (q; q)_k} (q^k t)^n.$$

Using (3), we obtain

$$\sum_{n=0}^{\infty} \mathcal{B}_n(z; q) t^n = \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q; q)_{n+k} (q^{\frac{z+1}{2}}; q)_k}{(q; q)_{n-k} (q; q)_k (q; q)_k (q; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} t^n.$$

Next, we rearrange the double summation and set  $n \mapsto n + k$ , obtaining

$$\begin{aligned} \sum_{n=0}^{\infty} \mathcal{B}_n(z; q) t^n &= \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{(q; q)_{n+2k} (q^{\frac{z+1}{2}}; q)_k}{(q; q)_n (q; q)_k (q; q)_k (q; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} t^{n+k} \\ &= \sum_{k=0}^{\infty} \frac{(q; q)_{2k} (q^{\frac{z+1}{2}}; q)_k}{(q; q)_k (q; q)_k (q; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} \sum_{n=0}^{\infty} \frac{(q^{2k+1}; q)_n}{(q; q)_n} t^n. \end{aligned}$$

By using (4) and the  $q$ -analogue of the binomial theorem (6), we obtain

$$\begin{aligned} &= \sum_{k=0}^{\infty} \frac{(-q; q)_k (q^{\frac{1}{2}}; q)_k (-q^{\frac{1}{2}}; q)_k (q^{\frac{z+1}{2}}; q)_k}{(q; q)_k (q; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} \frac{(q^{2k+1}; q)_{\infty}}{(t; q)_{\infty}} \\ &= \sum_{k=0}^{\infty} \frac{(-q; q)_k (q^{\frac{1}{2}}; q)_k (-q^{\frac{1}{2}}; q)_k (q^{\frac{z+1}{2}}; q)_k}{(t; q)_{2k+1} (q; q)_k (q; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} \\ &= \frac{1}{1-t} \sum_{k=0}^{\infty} \frac{(-q; q)_k (q^{\frac{1}{2}}; q)_k (-q^{\frac{1}{2}}; q)_k (q^{\frac{z+1}{2}}; q)_k}{(q; q)_{2k} (q; q)_k (q; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} \\ &= \frac{1}{1-t} \sum_{k=0}^{\infty} \frac{(-q; q)_k (q^{\frac{1}{2}}; q)_k (-q^{\frac{1}{2}}; q)_k (q^{\frac{z+1}{2}}; q)_k}{(q; q)_k ((qt)^{\frac{1}{2}}; q)_k (-qt)^{\frac{1}{2}}; q)_k (qt^{\frac{1}{2}}; q)_k (-qt^{\frac{1}{2}}; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} \frac{t^k}{(q; q)_k}. \end{aligned}$$

Hence, the identity follows. In order to prove Identity (14), one has

$$\begin{aligned} \sum_{n=0}^{\infty} \left( \mathcal{B}_n(z-2; q) - \mathcal{B}_n(z; q) \right) t^n &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^{-n}; q)_k (q^{n+1}; q)_k}{(q; q)_k (q; q)_k (q; q)_k} q^{nk} \left( (q^{\frac{z-1}{2}}; q)_k - (q^{\frac{z+1}{2}}; q)_k \right) t^n \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^{-n}; q)_k (q^{n+1}; q)_k}{(q; q)_k (q; q)_k (q; q)_k} q^{nk} (q^{\frac{z+1}{2}}; q)_{k-1} (1 - q^k) (-q^{\frac{z-1}{2}}) t^n \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q; q)_{n+k} (q^{\frac{z+1}{2}}; q)_{k-1} (-1)^{k-1} q^{\frac{z-1}{2}} q^{\frac{k(k-1)}{2}}}{(q; q)_{n-k} (q; q)_{k-1} (q; q)_k (q; q)_k} t^n. \end{aligned}$$

Taking into account that the above expression vanishes at  $k = 0$ , we set  $k \mapsto k + 1$ , rearrange the double sum, and set  $n \mapsto n + k$ , yielding

$$= \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{(q; q)_{n+2k+1} (q^{\frac{z+1}{2}}; q)_k (-1)^k q^{\frac{z-1}{2}} q^{\frac{k(k+1)}{2}}}{(q; q)_{n-1} (q; q)_k (q; q)_{k+1} (q; q)_{k+1}} t^{n+k}.$$

Here, again, the series vanishes at  $n = 0$ , so we set  $n \mapsto n + 1$ . Applying some basic identities of  $q$ -Pochhammer symbols, we obtain

$$\begin{aligned} &= (1+q) q^{\frac{z-1}{2}} t \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{(q^3; q)_{n+2k} (q^{\frac{z+1}{2}}; q)_k (-1)^k q^{\frac{k(k-1)}{2}}}{(q; q)_n (q; q)_k (q^2; q)_k (q^2; q)_k} (qt)^k t^n \\ &= (1+q) q^{\frac{z-1}{2}} t \sum_{k=0}^{\infty} \frac{(q^3; q)_{2k} (q^{\frac{z+1}{2}}; q)_k}{(q; q)_k (q^2; q)_k (q^2; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} (qt)^k \sum_{n=0}^{\infty} \frac{(q^{3+2k}; q)_n}{(q; q)_n} t^n. \end{aligned}$$

Applying again the  $q$ -analogue of the binomial theorem (6) and simplifying, we obtain

$$\begin{aligned} &= (1+q)q^{\frac{z-1}{2}}t \sum_{k=0}^{\infty} \frac{(q^3; q)_{2k}(q^{\frac{z+1}{2}}; q)_k}{(q; q)_k(q^2; q)_k(q^2; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} (qt)^k \frac{1}{(t; q)_{3+2k}} \\ &= (1+q)q^{\frac{z-1}{2}}t \sum_{k=0}^{\infty} \frac{(q^{\frac{3}{2}}; q)_k(-q^{\frac{3}{2}}; q)_k(-q^2; q)_k(q^{\frac{z+1}{2}}; q)_k}{(q; q)_k(q^2; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} (qt)^k \frac{1}{(t; q)_{3+2k}} \\ &= \frac{(1+q)}{(t; q)_3} q^{\frac{z-1}{2}}t \sum_{k=0}^{\infty} \frac{(q^{\frac{3}{2}}; q)_k(-q^{\frac{3}{2}}; q)_k(-q^2; q)_k(q^{\frac{z+1}{2}}; q)_k(-1)^k q^{\frac{k(k-1)}{2}}}{(q; q)_k(q^2; q)_k((tq^3)^{\frac{1}{2}}; q)_k(- (tq^3)^{\frac{1}{2}}; q)_k(q^2 t^{\frac{1}{2}}; q)_k(-q^2 t^{\frac{1}{2}}; q)_k} (qt)^k. \end{aligned}$$

Therefore, the identity follows. Let us prove the generating function Equation (14). We have

$$\begin{aligned} \sum_{n=0}^{\infty} \mathcal{E}_n^i(z; q) t^n &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^{-n}; q)_k(q^{n+1}; q)_k(-1)^k q^{\frac{k(k-1)}{2}}}{(q; q)_k(q; q)_k(q; q)_k} z^k q^{nk} t^n \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q; q)_{n+k} q^{k(k-1)}}{(q; q)_{n-k}(q; q)_k(q; q)_k(q; q)_k} z^k t^n. \end{aligned}$$

As in the previous identities, we rearrange the double sums and set  $n \mapsto n + k$ , obtaining

$$\begin{aligned} &= \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{(q; q)_{n+2k} q^{k(k-1)}}{(q; q)_n(q; q)_k(q; q)_k(q; q)_k} z^k t^{n+k} = \sum_{k=0}^{\infty} \frac{(q; q)_{2k} q^{k(k-1)}}{(q; q)_k(q; q)_k(q; q)_k} (zt)^k \sum_{n=0}^{\infty} \frac{(q^{2k+1}; q)_n}{(q; q)_n} t^n \\ &= \sum_{k=0}^{\infty} \frac{(q; q)_{2k} q^{k(k-1)}}{(q; q)_k(q; q)_k(q; q)_k} (zt)^k \frac{1}{(t; q)_{2k+1}} = \frac{1}{1-t} \sum_{k=0}^{\infty} \frac{(-q; q)_k(q^{\frac{1}{2}}; q)(-q^{\frac{1}{2}}; q)q^{k(k-1)}}{(q; q)_k(q; q)_k(tq; q)_{2k}} (zt)^k \\ &= \frac{1}{1-t} \sum_{k=0}^{\infty} \frac{(-q; q)_k(q^{\frac{1}{2}}; q)(-q^{\frac{1}{2}}; q)q^{k(k-1)}}{(q; q)_k(q; q)_k((tq)^{\frac{1}{2}}; q)_k(- (tq)^{\frac{1}{2}}; q)_k(qt^{\frac{1}{2}}; q)_k(-qt^{\frac{1}{2}}; q)_k} (zt)^k. \end{aligned}$$

Hence, the identity follows since  $((-1)^k q^{\frac{k(k-1)}{2}})^2 = q^{k(k-1)}$ . Note that, in order for the generating functions to converge, one must require  $|t| < 1$ . Furthermore, one must also have that the denominator parameters of the basic hypergeometric series must not be equal to a factor of  $q^{-m}$  for some  $m \in \mathbb{N}_0$ . This requires that  $|t| < |q|^{-1}$ , which is greater than unity since  $|q| < 1$ , so  $|t| < 1$  suffices. Since  $|t| < 1$ , then  $|z| < 1$  as well. This completes the proof.  $\square$

**Theorem 2.** Let  $j, m \in \mathbb{N}_0, q, t, \lambda \in \mathbb{C}, |q| < 1, |t| < 1$ . Then the following identities hold:

$$\sum_{n=0}^{\infty} \mathcal{B}_m^j(-2n - j - 1; q) \frac{(q^\lambda; q)_n}{(q; q)_n} t^n = \frac{1}{(t; q)_\lambda} {}_4\phi_3 \left( \begin{matrix} q^{-m}, q^{m+1}, q^\lambda, 0 \\ q, q^{j+1}, qt^{-1} \end{matrix} \middle| q, q^m \right), \tag{15}$$

$$\sum_{n=0}^{\infty} \mathcal{B}_m^j(-2n - j - 1; q) t^n = \frac{1}{1-t} {}_3\phi_2 \left( \begin{matrix} q^{-m}, q^{m+1}, 0 \\ q^{j+1}, qt^{-1} \end{matrix} \middle| q, q^m \right), \tag{16}$$

$$\sum_{n=0}^{\infty} \mathcal{B}_m(-2n - 1; q) \frac{t^n}{(q; q)_n} = \frac{1}{(t; q)_\infty} {}_4\phi_3 \left( \begin{matrix} q^{-m}, q^{m+1}, 0, 0 \\ q, q, qt^{-1} \end{matrix} \middle| q, q^m \right), \tag{17}$$

where the principal value of  $q^\lambda$  is taken.

**Proof.** Let us prove (15). Setting  $z = -2n - 1 - j$  in the  $q$ -Pasternack polynomial, and using their basic hypergeometric expansion, we have

$$\begin{aligned} \sum_{n=0}^{\infty} \mathcal{B}_m^j(-2n-1-j; q) \frac{(q^\lambda; q)_n}{(q; q)_n} t^n &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^{-m}; q)_k (q^{m+1}; q)_k (q^{-n}; q)_k}{(q; q)_k (q; q)_k (q^{j+1}; q)_k} q^{nk} t^n \frac{(q^\lambda; q)_n}{(q; q)_n} \\ &= \sum_{n=0}^{\infty} \frac{(q^\lambda; q)_n t^n}{(q; q)_n} \sum_{k=0}^{\min(m,n)} \frac{(q^{-m}; q)_k (q^{m+1}; q)_k (q^{-n}; q)_k q^{mk}}{(q; q)_k (q; q)_k (q^{j+1}; q)_k} \\ &= \sum_{k=0}^m \frac{(q^{-m}; q)_k (q^{m+1}; q)_k (q^\lambda; q)_k (tq^m)^k}{(q; q)_k (q; q)_k (q^{j+1}; q)_k} \sum_{n=0}^{\infty} \frac{(q^{-n-k}; q)_k (q^{\lambda+k}; q)_n t^n}{(q^{k+1}; q)_n} \\ &= \sum_{k=0}^m \frac{(q^{-m}; q)_k (q^{m+1}; q)_k (q^\lambda; q)_k (-t)^k q^{mk + \binom{k}{2} - k^2}}{(q; q)_k (q; q)_k (q^{j+1}; q)_k} \sum_{n=0}^{\infty} \frac{(q^{\lambda+k}; q)_n t^n q^{-nk}}{(q; q)_n} \\ &= \sum_{k=0}^m \frac{(q^{-m}; q)_k (q^{m+1}; q)_k (q^\lambda; q)_k (-t)^k q^{mk + \binom{k}{2} - k^2}}{(q; q)_k (q; q)_k (q^{j+1}; q)_k} {}_1\phi_0 \left( \begin{matrix} q^{\lambda+k} \\ - \end{matrix} \middle| q, tq^{-k} \right) \\ &= \frac{1}{(t; q)_\lambda} {}_4\phi_3 \left( \begin{matrix} q^{-m}, q^{m+1}, q^\lambda, 0 \\ q, q^{j+1}, qt^{-1} \end{matrix} \middle| q, t \right), \end{aligned}$$

where we have used (5), (6) [1] (1.8.6), which completes the proof of (15). Observe that, if we set  $\lambda = 1$  in (15), we obtain (16). Since  $|q| < 1$ , taking the limit  $\lambda \rightarrow \infty$  yields (17). Note that, in order for the generating functions to converge, one must require  $|t| < 1$ . This completes the proof.  $\square$

Setting  $t \mapsto t(1 - q)$  and taking the  $q \uparrow 1$  limit of (17) produces Lemma 1 since

$$\begin{aligned} \lim_{q \uparrow 1} \frac{(1 - q)^n}{(q; q)_n} &= \frac{1}{n!}, & \lim_{q \uparrow 1} \frac{1}{(t(1 - q); q)_\infty} &= e^t, \\ \lim_{q \uparrow 1} {}_4\phi_3 \left( \begin{matrix} q^{-m}, q^{m+1}, 0, 0 \\ q, q, \frac{q}{(1-q)t} \end{matrix} \middle| q, q^m \right) &= {}_2F_2 \left( \begin{matrix} -m, m + 1 \\ 1, 1 \end{matrix} \middle| -t \right), \end{aligned}$$

which follows easily by expanding the denominator factor involving  $t$  and using (2).

In fact, we are now able to obtain new classical generating functions for the Pasternack and Bateman polynomials by taking the  $q \uparrow 1$  limit in (15), (16).

**Corollary 1.** Let  $j, m \in \mathbb{N}_0, t, \lambda \in \mathbb{C}$ . Then the following identities hold:

$$\sum_{n=0}^{\infty} \mathcal{B}_m^j(-2n-1-j) \frac{(\lambda)_n}{n!} t^n = \frac{1}{(1-t)^\lambda} {}_3F_2 \left( \begin{matrix} -m, m+1, \lambda \\ 1, j+1 \end{matrix} ; \frac{-t}{1-t} \right), \tag{18}$$

$$\sum_{n=0}^{\infty} \mathcal{B}_m(-2n-1) \frac{(\lambda)_n}{n!} t^n = \frac{1}{(1-t)^\lambda} {}_3F_2 \left( \begin{matrix} -m, m+1, \lambda \\ 1, 1 \end{matrix} ; \frac{-t}{1-t} \right), \tag{19}$$

$$\sum_{n=0}^{\infty} \mathcal{B}_m^j(-2n-1-j) t^n = \frac{1}{1-t} {}_2F_1 \left( \begin{matrix} -m, m+1 \\ j+1 \end{matrix} ; \frac{-t}{1-t} \right), \tag{20}$$

$$\sum_{n=0}^{\infty} \mathcal{B}_m(-2n-1) t^n = \frac{1}{1-t} P_m \left( \frac{1+t}{1-t} \right), \tag{21}$$

where  $P_m(x)$  is the Legendre polynomial [1] (Section 9.8.3).



**Proof.** In (15), take the  $q \uparrow 1$  limit. Note that

$$(t; q)_\lambda = \frac{(t; q)_\infty}{(tq^\lambda; q)_\infty} = {}_1\phi_0 \left( \begin{matrix} q^{-\lambda} \\ - \end{matrix} \middle| q, tq^\lambda \right).$$

Thus, by using (2),

$$\lim_{q \uparrow 1} (t; q)_\lambda = {}_1F_0 \left( \begin{matrix} -\lambda \\ - \end{matrix} \middle| t \right) = (1 - t)^\lambda.$$

Hence by expanding the denominator factor involving  $t$  using (2) in (15) produces (18). Setting  $j = 0$  and  $\lambda = 1$  in (18), produces (19), (20), respectively. Setting  $j = 0$  in (20) produces (21), by noting [1] (9.8.62). □

**Theorem 3.** Let  $m \in \mathbb{N}_0, q, t, z \in \mathbb{C}, |q| < 1, |t| < 1$ . Then the  $q$ -Pasternack polynomials satisfy the following generating function:

$$\sum_{n=0}^{\infty} \mathcal{B}_n^m(z; q) t^n = \frac{1}{1-t} {}_5\phi_5 \left( \begin{matrix} -q, q^{\frac{1}{2}}, -q^{\frac{1}{2}}, q^{\frac{z+m+1}{2}}, 0 \\ q^{m+1}, qt^{\frac{1}{2}}, -qt^{\frac{1}{2}}, (qt)^{\frac{1}{2}}, -(qt)^{\frac{1}{2}} \end{matrix} \middle| q, t \right). \tag{22}$$

**Proof.** Taking into account the expression of the basic hypergeometric series for these polynomials, we have

$$\begin{aligned} \sum_{n=0}^{\infty} \mathcal{B}_n^m(z; q) t^n &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^{-n}; q)_k (q^{n+1}; q)_k (q^{\frac{z+m+1}{2}}; q)_k}{(q; q)_k (q; q)_k (q^{m+1}; q)_k} (q^k t)^n \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q; q)_{n+k} (q^{\frac{z+m+1}{2}}; q)_k}{(q; q)_{n-k} (q; q)_k (q; q)_k (q^{m+1}; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} t^n \\ &= \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{(q; q)_{n+2k} (q^{\frac{z+m+1}{2}}; q)_k}{(q; q)_n (q; q)_k (q; q)_k (q^{m+1}; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} t^{n+k} \\ &= \sum_{k=0}^{\infty} \frac{(q; q)_{2k} (q^{\frac{z+m+1}{2}}; q)_k}{(q; q)_k (q; q)_k (q^{m+1}; q)_k} (-1)^k q^{\frac{k(k-1)}{2}} t^k \frac{(q^{2k+1}; q)_\infty}{(t; q)_\infty} \\ &= \frac{1}{1-t} \sum_{k=0}^{\infty} \frac{(q; q)_{2k} (q^{\frac{z+m+1}{2}}; q)_k}{(q; q)_k (q; q)_k (q^{m+1}; q)_k (qt; q)_{2k}} (-1)^k q^{\frac{k(k-1)}{2}} t^k \\ &= \frac{1}{1-t} \sum_{k=0}^{\infty} \frac{(q^{\frac{1}{2}}; q)_k (-q^{\frac{1}{2}}; q)_k, (-q; q)_k (q^{\frac{z+m+1}{2}}; q)_k (-1)^k q^{\frac{k(k-1)}{2}}}{(q; q)_k (q^{m+1}; q)_k ((qt)^{\frac{1}{2}}; q)_k (-qt)^{\frac{1}{2}}; q)_k (qt^{\frac{1}{2}}; q)_k (-qt^{\frac{1}{2}}; q)_k} t^k, \end{aligned}$$

which completes the proof. Note that upon comparison with (1), one requires the vanishing numerator element in the basic hypergeometric series due to the factor  $(-1)^k q^{\frac{k(k-1)}{2}}$  in the sum, so it is not of type  ${}_4\phi_5$ . □

Observe that we obtain (12) by setting  $m = 0$  in (22).

**Theorem 4.** Let  $q, t, z, \lambda \in \mathbb{C}$ ,  $|q| < 1$ ,  $|t| < 1$ . Then the  $q$ -Sylvester polynomials satisfy the following generating functions:

$$\sum_{n=0}^{\infty} (q^\lambda; q)_n \varphi_n(z; q) t^n = \frac{1}{(zt; q)_\lambda} {}_2\phi_1 \left( \begin{matrix} q^\lambda, q^z \\ ztq^\lambda \end{matrix} \middle| q, t \right), \tag{23}$$

$$\sum_{n=0}^{\infty} \varphi_n(z; q) t^n = \frac{1}{(t; q)_z (zt; q)_\infty}, \tag{24}$$

where the principal values of  $q^z$  and  $q^\lambda$  are taken.

**Proof.** Let us prove the generating function (23) by using an analogous method as before, namely

$$\begin{aligned} \sum_{n=0}^{\infty} (q^\lambda; q)_n \varphi_n(z; q) t^n &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^{-n}; q)_k (q^z; q)_k (-1)^k q^{-\frac{k(k-1)}{2}} (q^\lambda; q)_n}{(q; q)_n (q; q)_k} q^{nk} z^{n-k} t^n \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(q^z; q)_k (q^\lambda; q)_n}{(q; q)_{n-k} (q; q)_k} z^{n-k} t^n = \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{(q^z; q)_k (q^\lambda; q)_{n+k}}{(q; q)_n (q; q)_k} z^n t^{n+k} \\ &= \sum_{k=0}^{\infty} \frac{(q^z; q)_k (q^\lambda; q)_k}{(q; q)_k} t^k \sum_{n=0}^{\infty} \frac{(q^{\lambda+k}; q)_n (zt)^n}{(q; q)_n} = \sum_{k=0}^{\infty} \frac{(q^z; q)_k (q^\lambda; q)_k}{(q; q)_k (zt; q)_{\lambda+k}} t^k \\ &= \frac{1}{(zt; q)_\lambda} \sum_{k=0}^{\infty} \frac{(q^z; q)_k (q^\lambda; q)_k}{(q; q)_k (ztq^\lambda; q)_k} t^k. \end{aligned}$$

Since  $|q| < 1$ , (24) follows from taking  $\lambda \rightarrow \infty$  and applying the  $q$ -binomial theorem.  $\square$

Now we find the  $q$ -analogue of the first generating function for the  $q$ -Cesàro polynomials (9).

**Theorem 5.** Let  $t, z, s \in \mathbb{C}$ ,  $|t| < 1$ ,  $|z| < 1$ . Then the  $q$ -Cesàro polynomials satisfy the following generating function:

$$\sum_{n=0}^{\infty} g_n^{(s)}(z; q) t^n = \frac{1}{(1 - tzq^s)(t; q)_{s+1}}.$$

**Proof.** Let us prove this by using (11) and some basic properties of the  $q$ -Pochhammer symbols and the  $q$ -binomial coefficient. One has

$$\begin{aligned} \sum_{n=0}^{\infty} g_n^{(s)}(z; q) t^n &= \sum_{n=0}^{\infty} \sum_{k=0}^n \begin{bmatrix} k+s \\ s \end{bmatrix}_q (zq^s)^{n-k} t^n = \sum_{n=0}^{\infty} \sum_{k=0}^n \begin{bmatrix} n-k+s \\ s \end{bmatrix}_q (zq^s)^k t^n \\ &= \sum_{k=0}^{\infty} (tzq^s)^k \sum_{n=0}^{\infty} \begin{bmatrix} n+s \\ s \end{bmatrix}_q t^n = \frac{1}{(1 - tzq^s)(t; q)_{s+1}}, \end{aligned}$$

where we have used the geometric series, (5), and (6), which completes the proof.  $\square$

The demonstration that we obtain (9) upon taking the limit  $q \uparrow 1$  follows by using (5) and then (6).

**Remark 1.** We were unable to find the  $q$ -analogue of the second generating function (10) for the  $q$ -Cesàro polynomials. The method which Agarwal and Manocha [11] used to obtain (10) does not seem to

straightforwardly generate a corresponding  $q$ -analogue. Furthermore, using (8), one can see that (10) is equivalent to the following generating function for Jacobi polynomials [12] (3.15)

$$\sum_{n=0}^{\infty} \binom{m+n}{m} P_{m+n}^{(\alpha, \beta-n)}(x) t^n = (1-t)^\beta \left(1 - \frac{1}{2}(x+1)t\right)^{-\alpha-\beta-m-1} P_m^{(\alpha, \beta)} \left( \frac{x - \frac{1}{2}(x+1)t}{1 - \frac{1}{2}(x+1)t} \right). \quad (25)$$

Unfortunately, this formula does not seem amenable to a natural  $q$ -analogue. Note that (25) is given with a misprint in [6] (p. 165, Problem 9(ii)).

**Remark 2.** It has been mentioned by a referee that Theorems 4, 5 can be derived from the results contained in [13]. However, it is not clear to the authors how to go from the  $q$ -Bernoulli polynomials to the  $q$ -Sylvester and  $q$ -Cesàro polynomials. Moreover, the generating functions for  $q$ -Sylvester and  $q$ -Cesàro polynomials do not look similar to the generating functions given in [13].

## 5. Conclusions

In this paper, we introduced several  $q$ -polynomials and derived  $q$ -analogues of most of the known generating functions for these polynomials. In particular, this was accomplished for the Bateman, Sylvester, Pasternack, and Cesàro polynomials. In Corollary 1, we also were able to find new classical generating functions, by taking  $q \uparrow 1$  limits of the  $q$ -generating functions we obtained. We were unable to find a  $q$ -analogue for the classical generating function for  $q$ -Cesàro polynomials (10) (see Remark 1). This would be an interesting project for the future. It would be interesting to see if it is possible to use  $q$ -calculus to obtain  $q$ -analogues of the results obtained in [3].

**Remark 3.** Note that we recently discovered that the Ph.D. thesis of Mohammad Asif [14] (Chapter 4), under the direction of Prof. Mumtaz Ahmad Khan, contains some of the material that appears in this manuscript. Asif treats both  $q$ -Bateman polynomials, the  $q$ -Pasternack polynomials, and the  $q$ -Cesàro polynomials, all of which are defined in precisely the same way, although Asif uses different notations to display these polynomials. Asif also treats  $q$ -Shively pseudo-Laguerre polynomials and  $q$ -Gottlieb polynomials. Asif does not treat the  $q$ -Sylvester polynomials. It should be noted, however, that Asif arrives at the wrong conclusions for the lower parameters in Theorem 1 and in (17). His notation may or may not be at fault in his representation of Theorem 5. He does find the correct result for Theorem 3.

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Article

# A New Representation for Srivastava's $\lambda$ -Generalized Hurwitz-Lerch Zeta Functions

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**Abstract:** Taking inspiration principally from some of the latest research, we develop a new series representation for the  $\lambda$ -generalized Hurwitz-Lerch zeta functions. This representation led to important new results. The Fourier transform played a foundational role in this work. The duality property of the Fourier transform became significant for checking the consistency of the results. Some known data has been verified as special cases of the results obtained in this investigation.

**Keywords:** Hurwitz-Lerch zeta function; generalized functions; analytic number theory;  $\lambda$ -generalized Hurwitz-Lerch zeta functions; derivative properties; series representation

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## 1. Introduction

The Hurwitz-Lerch zeta function has always been remained a focal point for numerous investigators because of its influence on analytic number theory and further practical disciplines. Recently, Srivastava [1] offered a substantially innovative class of Hurwitz-Lerch zeta functions, namely,  $\lambda$ -generalized Hurwitz-Lerch zeta functions. The exploration of its diverse forms has garnered notable concern, and numerous papers have consequently been presented on this subject. Jankov et al. [2] and Srivastava et al. [3] have offered inequalities by considering diverse cases of these functions. Srivastava et al. [4], have presented a nonlinear operator connected to  $\lambda$ -generalized Hurwitz-Lerch zeta functions, in order to investigate the inclusion properties of the definite subclass of a special type of meromorphic functions. Srivastava and Gaboury [5] have considered new expansion formulas for such functions (see, for related data, [6,7]; see also more systematically supplementary revisions cited in these publications). Luo and Raina [8] have discussed an interesting series representation. They also acquired some new inequalities comprising Srivastava's  $\lambda$ -generalized Hurwitz-Lerch zeta functions.

By taking inspiration from all these outcomes, in our current investigation, we consistently present all the special cases of this newly concentrated family of Srivastava's  $\lambda$ -generalized Hurwitz-Lerch zeta functions in the form of a table. On the one hand, we take account of extended Fermi-Dirac and Bose-Einstein functions defined by Srivastava et al. [9], and on the other, we focus on the close relationship of these functions with the family of zeta and related functions. The purpose of this analysis is to discover some fascinating innovative outcomes for Srivastava's  $\lambda$ -generalized Hurwitz-Lerch zeta functions and their different cases by succeeding the methodology of Chaudhry & Qadir [10], Tassaddiq & Qadir [11,12], Tassaddiq [13], Lail & Qadir [14], and Tassaddiq [15]. In these articles [10–15], the authors have investigated new representations for gamma, generalized gamma, extended Fermi-Dirac and Bose-Einstein functions, and Hypergeometric functions, respectively, in terms of complex delta functions. More recently, Tassaddiq [16] has obtained some new results for Srivastava's  $\lambda$ -generalized Hurwitz-Lerch zeta functions by using its Mellin transform representation.

In the present work, we acquire a different representation for the recently introduced family of the  $\lambda$ -generalized Hurwitz-Lerch zeta functions in terms of complex delta functions. We validate this over the space of entire test functions denoted by  $Z$ . In the usual sense, we can think of a function being

defined in the form of an integral or a series of some variables, or in terms of elementary functions. Nevertheless, it requires consideration as an object in itself, characterized by an integral or a series. This is the only possibility to study the function further than its original domain of description. This is necessary for diverse applications of any function. This concern comes to be principally significant while talking about the concept of higher transcendental functions. Such functions have different series, asymptotic, and integral representations to express functions in diverse domains and to give more simple proofs of its properties when compared to others. Therefore, our new representation is a powerful modeling tool that generalizes the domain of the  $\lambda$ -generalized Hurwitz-Lerch zeta functions from complex numbers to complex functions. It applies to functionals that depend on functions, rather than functions that depend on numbers. Since the methodology used is new, therefore each general result in this paper has the capacity to obtain similar new results for well-studied functions. It provides a computational technique to evaluate integrals of the products of these functions. The stability of the results is confirmed by means of classical methods. In any case, this investigation evidence is meaningful for delivering substantial and innovative results. The approach used is simple and interesting.

Next, we will present the basic definitions and preliminaries by dividing this section into two sections, namely (Section 2.1) and (Section 2.2). In Section 2.1, we discuss preliminaries related to Srivastava’s  $\lambda$ -generalized Hurwitz-Lerch zeta functions, while in Section 2.2, we discuss basic preliminaries relevant with distributions (generalized functions) that are necessary to understand the results presented in this paper. The organization of the ensuing sections of this paper is as follows: We present a new representation of the  $\lambda$ -generalized Hurwitz-Lerch zeta functions in Section 3. We achieve analogous outcomes for new associated functions. We discuss the convergence and consequences of new representation in Section 4. We present the Fourier transform representation in Section 5. We check the validity of the results achieved by new representation in Section 5. We summarize our present analysis in the last Section 6. Some interesting new formulae created by giving variations to different parameters are presented in Appendix A.

**2. Materials and Methods**

*2.1. Srivastava’s  $\lambda$ -Generalized Hurwitz-Lerch Zeta Functions*

Consider the ordinary symbolizations

$$N := \{1, 2, \dots\}; N_0 := N \cup \{0\}; Z^- := \{-1, -2, \dots\}; Z_0^- := Z^- \cup \{0\} \tag{1}$$

where  $Z^-$  is the set of negative integers. The symbols  $R, R^+$ , and  $C$  symbolize the sets of real, positive real, and complex numbers, individually throughout the paper.

The standard Fox-Wright function is an extension for the generalized hypergeometric function that is defined by ([8] (p. 2219) Equation (1)) (see also [3], (p. 516), Equation (1)) and [17] p. (493), Equation (2))

$$p \Psi^* q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; z \right] = \sum_{\chi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi} z^\chi}{([\mu_q]_{\sigma_q \chi} \chi!)} \tag{2}$$

$(\lambda_j, \mu_k \in C \text{ and } \rho_j, \sigma_k \in R_+ (j = 1, \dots, p; k = 1, \dots, q)).$

Pochhammer symbols  $([\lambda_p]_{\rho_p \chi}) := [\lambda_1]_{\rho_1 \chi} \dots [\lambda_p]_{\rho_p \chi}$  are the shifted factorial, defined in terms of the basic gamma function as follows:

$$(\lambda)_\rho = \frac{\Gamma(\lambda + \rho)}{\Gamma(\lambda)} = \begin{cases} 1 (\rho = 0, \rho \in C \setminus \{0\}) \\ \lambda(\lambda + 1) \dots (\lambda + \chi - 1) (\rho = \chi \in N; \lambda \in C), \end{cases} \tag{3}$$

$$\Delta := \sum_{j=1}^q \sigma_j - \sum_{j=1}^p \rho_j \text{ and } \nabla := \left( \prod_{j=1}^p \rho_j^{-\rho_j} \right) \cdot \left( \prod_{j=1}^q \sigma_j^{\sigma_j} \right).$$

The series given by (2) converges in the complete complex  $z$ -plane for  $\Delta > -1$ ; and if  $\Delta = 0$ , the series (2) converges for specific values of  $|z| < \nabla$ . For more a comprehensive exchange of such functions, we refer the interested reader to see the references [18–23].

Srivastava’s  $\lambda$ -generalized Hurwitz-Lerch zeta function as presented by ([1], p. 1487, Equation (4))

$$\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) := \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} \exp\left(-at - \frac{b}{t^\lambda}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; ze^{-t} \right] dt \tag{4}$$

$(\min[\Re(a), \Re(s)] > 0; \Re(b) \geq 0; \lambda \geq 0)$

are central for this research paper. Luo and Raina obtained the following series representation ([8], p. 2221, Equation (6))

$$\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) = \frac{1}{\lambda \Gamma(s)} \sum_{\chi=0}^\infty \frac{(\lambda_p)_{p, \chi}}{(\mu_q)_{q, \chi}} \frac{1}{\chi!} Z_{\frac{1}{\lambda}}^{\frac{s}{\lambda}}(a + \chi)^\lambda b^{\frac{z\chi}{(\chi+a)^s}} \tag{5}$$

$(\lambda_j \in \mathbf{R}(j = 1, \dots, p) \text{ and } \mu_j \in \mathbf{R} \setminus \mathbf{Z} - 0(j = 1, \dots, q); \rho_j > 0(j = 1, \dots, p); \sigma_j > 0(j = 1, \dots, q); 1 + \Delta \geq 0)$

so that, obviously, one can get the following association with extended Hurwitz-Lerch zeta functions ([17], p. 503, Equation (6.2)) (see also [3,24])

$$\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; 0, \lambda) = \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a) = e^b \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, 0). \tag{6}$$

By making use of Equations (4)–(6), we list all the items in the subsequent table that are straightforward to achieve in view of different values of the parameters as specified column and row wise on the next page.

Now if we go through the previous research, we notice that the different cases of  $\lambda$ -generalized Hurwitz-Lerch zeta functions specified in the third column and second row, explicitly  $\Theta_\mu^\lambda(\mp z, s, a; b)$ , have been defined and explored by [25], (p. 90), Equation (1.6), and [26]. Some of its most interesting versions were studied and considered by [27]. The original class of zeta functions specifically and explicitly is: Hurwitz-Lerch zeta function  $\Phi(\pm z, s, a)$ , [28], (p. 27), Equation (1.11), extended Fermi-Dirac  $\Theta_a(x; s)$ , [9], (p. 9), Equation (3.14), extended Bose-Einstein  $\Psi_a(x; s)$ , [9], (p. 115), Equation (4.4), Fermi-Dirac  $F_s(x)$ , [9], p. 109, Equation (1.12)], Bose-Einstein  $B_s(x)$ , [9], (p. 109), Equation (1.12), Polylogarithm  $\phi(z, s)$ , [28], (Chapter 1), Hurwitz zeta  $\zeta(s, a)$  [28], (Chapter 1), and Riemann zeta functions  $\zeta(s)$ , [28] (Chapter 1), respectively are listed in the last column of Table 1. Two of the items in the first row specifically  $\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(\pm z, s, a)$  are defined by [1], (p. 1486), Equation 1.11 (see also [17]) and  $\Phi_\mu^s(\pm z, s, a)$  defined by [29], p. 100, Equation (1.5). The extended Riemann zeta  $\zeta_b(s)$  [30], (p. 308) and Hurwitz zeta functions  $\zeta_b(s, a)$  [30], (p. 308) are noticeable in the last two rows. For additional comprehensive study of zeta and related functions, we refer the reader to [1–32] and related discussions therein.

Table 1. Different Special Cases of  $\lambda$ -Generalized Hurwitz-Lerch Zeta Functions.

	$\rho = \rho_1, \dots, \rho_p, \sigma = \sigma_1, \dots, \sigma_q, \lambda = \lambda_1, \dots, \lambda_p, \mu = \mu_1, \dots, \mu_q$	$\lambda = 1$	$\mu = 1$	$\lambda = \mu = 1$	$b = 0$	$b = 0$	$\gamma - 1 = q = 0, \lambda_1 = \mu_1, \rho_1 = 1$
$\lambda$ -Generalized Hurwitz-Lerch Zeta Functions	$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm z, s, a; b, \lambda)$ [1], (p. 1487), Equation (1.14)	$\Phi_{\mu}^{\lambda}(\pm z, s, a; b)$ [25], (p. 90), Equation (6) and [26]	$\Phi_b(\pm z, s, a, \lambda)$	$\Phi_b(\pm z, s, a)$	$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm z, s, a)$ [1], p. 1486, Equation (1.11) & [17]	$\Phi_{\mu}^{\lambda}(\pm z, s, a)$ [28], p. 27, Equation (1.11)	$\Phi(\pm z, s, a)$ [28], p. 27, Equation (1.11)
$\lambda$ -Generalized Extended Fermi-Dirac and Extended Bose-Einstein Functions	$\Phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm e^{-x}, s, a; b, \lambda)$	$\Theta_{\mu}^{\lambda}(x, s, a; b)$	$\Theta_b(x, s, a; \lambda)$	$\Theta_b(x, s, a)$	$\Theta_{\lambda, \mu}^{(\rho, \sigma)}(x, s, a)$	$\Theta_{\mu}^{\lambda}(x, s, a)$ [9], p. 9, Equation (3.14)	$\Theta_{\lambda}(x, s)$ [9], p. 9, Equation (3.14)
$\lambda$ -Generalized Polylogarithm Functions	$\phi_{\lambda, \mu}^{(\rho, \sigma)}(\pm z, s; b, \lambda)$	$\Psi_{\mu}^{\lambda}(x, s, a; b)$	$\Psi_b(x, s, a, \lambda)$	$\Psi_b(x, s, a)$	$\Psi_{\lambda, \mu}^{(\rho, \sigma)}(x, s, a)$	$\Psi_{\mu}^{\lambda}(x, s, a)$ [9], p. 115, Equation (4.4)	$\Psi_{\lambda}(x, s)$ [9], p. 115, Equation (4.4)
$\lambda$ -Generalized Fermi-Dirac and Bose-Einstein Functions	$F_{\lambda, \mu}^{(\rho, \sigma)}(x, s; b, \lambda)$	$F_{\mu}^{\lambda}(x, s, b)$	$F_b(x, s, \lambda)$	$F_b(x, s)$	$F_{\lambda, \mu}^{(\rho, \sigma)}(x, s)$	$F_{\mu}^{\lambda}(x, s)$ [9], p. 109, Equation (1.12)	$F_{\lambda}(x)$ [9], p. 109, Equation (1.12)
$\lambda$ -Generalized Hurwitz zeta Functions	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s, a; b, \lambda)$	$\zeta_{\mu}^{\lambda}(s, a; b)$	$\zeta_b(s, a, \lambda)$	$\zeta_b(s, a)$	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s, a)$	$\zeta_{\mu}^{\lambda}(s, a)$ [27], p. 12, Equation (4.2)	$\zeta(z, s)$ [28], (Chapter 1)
$\lambda$ -Generalized Riemann Zeta Functions	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(\pm 1, s, a; b, \lambda)$	$\zeta_{\mu}^{\lambda}(s, a; b)$	$\zeta_b(s, a, \lambda)$	$\zeta_b(s, a)$	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s, a)$	$\zeta_{\mu}^{\lambda}(s, a)$ [27], p. 12, Equation (4.5)	$\zeta(s, a)$ [28], (Chapter 1)
$\lambda$ -Generalized Riemann Zeta Functions	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(\pm 1, s, 1; b, \lambda)$	$\zeta_{\mu}^{\lambda}(s; b)$	$\zeta_b(s, \lambda)$	$\zeta_b(s)$	$\zeta_{\lambda, \mu}^{(\rho, \sigma)}(s)$	$\zeta_{\mu}^{\lambda}(s)$ [27]	$\zeta(s)$ [28], (Chapter 1)



2.2. Distributions and Test Functions

Continuous linear functionals that act on some space of test functions are commonly known as generalized functions (or distributions). These are the elements of the corresponding dual space of test functions. A review of such elements is significant, because they not only have locally integrable functions, but also consist of additional objects that are not regular distributions. Consequently, several actions such as integration, differentiation, and limits that are defined for functions can be applied to functionals. A delta functional commonly used in singular distribution is defined by

$$\langle \delta(u - a), \varphi(t) \rangle = \varphi(a) (\forall \varphi \in D, a \in \mathbb{R}), \tag{7}$$

where for a non-zero  $a$ ,  $\delta(-u) = \delta(u)$ ;  $\delta(au) = \frac{\delta(t)}{|a|}$ .

A multi-volume presentation [33] (Vol. I-V) by Gelfand and Shilov is a great treatise on such functions. The commonly used spaces of test functions are the spaces of compact support functions denoted by  $D$ , and the space of rapidly decaying functions denoted by  $S$ , that also have derivatives of all orders. The spaces  $D'$  and  $S'$  are the dual spaces of  $D$  and  $S$ . Spaces  $S$  and  $S'$  are closed under the Fourier transform, but  $D$  and  $D'$  are not. The Fourier transform of the elements of  $D'$  are continuous linear functionals acting on the elements of  $z$  that comprises of entire functions such that their Fourier transforms are in  $D$  [34]. The entire function  $\varphi \in z$  does not vanish on some interval  $a < u < b$ , but vanishes universally. Accordingly

$$z' \supset S' \supset S \supset z; D' \cap z \equiv 0; D' \supset S' \supset S \supset D. \tag{8}$$

The elements of  $Z$  consist of entire analytic functions satisfying the following set of inequalities

$$|s^q \varphi(s)| \leq C_q e^{a|\tau|}; (q = 0, 1, 2, \dots) \tag{9}$$

where the constants  $a$  and  $C_q$  may depend on  $\varphi$ . By ([33], Vol 1, p. 169, Equation (8)), we take the Fourier transform of exponential function

$$F[e^{at}; \omega] = 2\pi \delta(\omega - ia) \tag{10}$$

as an example of distribution that is an element of  $z'$  and for  $\forall g \in z'$  ([33], (p. 159), Equation (4)), see also ([34], p. 201, Equation (9))

$$g(s + b) = \sum_{r=0}^{\infty} g^{(r)}(s) \frac{b^r}{r!}. \tag{11}$$

So that we have the following basic identity

$$\delta(s + b) = \sum_{r=0}^{\infty} \delta^{(r)}(s) \frac{b^r}{r!}; \langle \delta^{(r)}(s), \varphi(s) \rangle = (-1)^r \varphi^{(r)}(0). \tag{12}$$

For an additional extensive study of these spaces, we refer the reader to [33] (Vol. I-V), [34,35] and the related bibliography therein.

Throughout this investigation, conditions on the parameters will be considered standard as given in (1)–(6) unless otherwise stated.

### 3. Results

#### New Series Representation of the $\lambda$ -Generalized Hurwitz-Lerch Zeta Functions

**Theorem 1.**  $\lambda$ -generalized Hurwitz-Lerch zeta functions have the following representation

$$\begin{aligned} & \Gamma(s)\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) \\ &= 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})}{([\mu_q]_{\sigma q \chi})} \frac{(z)^\chi}{\chi!} \frac{(-\chi+a)^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi). \end{aligned} \tag{13}$$

**Proof:** Let us first replace  $t = e^y$  and  $s = \sigma + i\tau$  in Equation (4), then we get

$$\begin{aligned} & \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a) = \\ &= \frac{1}{\Gamma(s)} \int_{-\infty}^{\infty} e^{y(\sigma+i\tau)} \exp\left(-ae^y - \frac{b}{e^{\lambda y}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; z \exp(-e^y) \right] dt, \end{aligned} \tag{14}$$

( $\min[\Re(a), \Re(s)] > 0$ ).

Now, writing the series form of the Fox-Wright function

$$p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; z \exp(-e^y) \right] = \sum_{\chi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})}{([\mu_q]_{\sigma q \chi})} \frac{z^\chi}{\chi!} \exp(-\chi e^y) \tag{15}$$

and then collecting and expanding the exponential terms

$$e^{\sigma y} \exp\left(-\left(a + \chi\right)e^y - \frac{b}{e^{\lambda y}}\right) = \sum_{\xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})}{([\mu_q]_{\sigma q \chi})} \frac{(z)^\chi}{\chi!} \frac{(-\chi+a)^\xi}{\xi!} \frac{(-b)^\psi}{\psi!}, \tag{16}$$

we get

$$\begin{aligned} & \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; b, \lambda) \\ &= \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})}{([\mu_q]_{\sigma q \chi})} \frac{(z)^\chi}{\chi!} \frac{(-\chi+a)^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \int_{-\infty}^{\infty} e^{i\tau y} e^{(\sigma+\xi-\psi\lambda)y} dy. \end{aligned} \tag{17}$$

The order of summation and integration is interchangeable due to uniform convergence of the integral. By using Equation (10), we get

$$\begin{aligned} \int_{-\infty}^{\infty} e^{i\tau y} e^{(\sigma+\xi-\psi\lambda)y} dy &= F\left[e^{(\sigma+\xi-\psi\lambda)y}; \tau\right] = 2\pi \delta(\tau - i(\sigma + \xi - \psi\lambda)) \\ &= 2\pi \delta\left[\frac{1}{i} (i\tau - (\sigma + \xi - \psi\lambda))\right] \\ &= 2\pi |i| \delta(\sigma + i\tau + \xi - \psi\lambda) = 2\pi \delta(s + \xi - \psi\lambda). \end{aligned} \tag{18}$$

The above Equations (17) and (18) lead to the required result.  $\square$

**Remark 1.** We can get analogous outcomes for further associated functions as enumerated row-wise in Table 1, in view of altered parameter values in the form of following corollaries.

**Corollary 1.**  $\lambda$ -Generalized Extended Fermi-Dirac functions have the following representation

$$\begin{aligned} & \Gamma(s)\Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; b, \lambda) \\ &= 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})}{([\mu_q]_{\sigma q \chi})} \frac{(-e^{-x})^\chi}{\chi!} \frac{(-\chi+a)^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi) \end{aligned} \tag{19}$$

and  $\lambda$ -Generalized Extended Bose-Einstein functions have the following representation

$$\begin{aligned} \Gamma(s) \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; b, \lambda) \\ = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi} (e^{-x})^\chi}{([\mu_q]_{\sigma q \chi})} \frac{(-(\chi+a))^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi). \end{aligned} \tag{20}$$

**Proof.** This holds by simply replacing  $z \rightarrow \pm e^{-x}$  on both sides of (13) and by means of the corresponding item specified in column 2 and row 2 of Table 1.  $\square$

**Corollary 2.**  $\lambda$ -Generalized Fermi-Dirac functions have the following representation

$$\begin{aligned} \Gamma(s) F_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; b, \lambda) \\ = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi} (-e^{-x})^\chi}{([\mu_q]_{\sigma q \chi})} \frac{(-(\chi+1))^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi) \end{aligned} \tag{21}$$

and  $\lambda$ -Generalized Extended Bose-Einstein functions have the following representation

$$\begin{aligned} \Gamma(s) B_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; b, \lambda) \\ = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi} (e^{-x})^\chi}{([\mu_q]_{\sigma q \chi})} \frac{(-(\chi+a))^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi). \end{aligned} \tag{22}$$

**Proof.** Both results hold by simply replacing  $z \rightarrow \pm e^{-x}$ ;  $a \rightarrow 1$  on both sides of (13) and in view of defined item from Table 1 reliable on these parameter values.  $\square$

**Corollary 3.**  $\lambda$ -Generalized Polylogarithm functions has the following representation

$$\begin{aligned} \Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s; b, \lambda) \\ = 2\pi z \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi} (z)^\chi}{([\mu_q]_{\sigma q \chi})} \frac{(-(\chi+1))^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi). \end{aligned} \tag{23}$$

**Proof.** This holds by simply replacing  $a \rightarrow 1$  on both sides of (13) and using the precise element from Table 1 equivalent to these constraint values.  $\square$

**Corollary 4.**  $\lambda$ -Generalized Hurwitz zeta functions has the following representation

$$\Gamma(s) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, a; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi}}{([\mu_q]_{\sigma q \chi})} \frac{1}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi). \tag{24}$$

**Proof.** This holds by simply replacing  $z \rightarrow 1$  on both sides of (13) and, in view of particular items from Table 1, stable with these parameter values.  $\square$

**Corollary 5.**  $\lambda$ -Generalized Riemann zeta functions has the following representation

$$\Gamma(s) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi}}{([\mu_q]_{\sigma q \chi})} \frac{1}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \frac{(-b)^\psi}{\psi!} \delta(s + \xi - \lambda \psi). \tag{25}$$

**Proof.** This holds by simply replacing  $z \rightarrow 1; a \rightarrow 1$  on both sides of (13) and, in view of certain components from Table 1, is firm with these considered values. □

**Remark 2.** We can get similar representations for other special cases of these functions by considering different parameter variations in view of Table 1 column-wise.

By putting  $\lambda = 0$  in the above results (13), and in view of the relation (6), we get the following new results:

$$\Gamma(s)\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi} (z)^\chi (-\chi + a)^\xi}{([\mu_q]_{\sigma_q \chi} \chi! \xi!} \delta(s + \xi). \tag{26}$$

Next by considering  $b = 0$  in (26), we get the following results:

$$\Gamma(s)\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi} (z)^\chi (-\chi + a)^\xi}{([\mu_q]_{\sigma_q \chi} \chi! \xi!} \delta(s + \xi). \tag{27}$$

Considering,  $p - 1 = q = 0; (\lambda_1 = \mu; \rho_1 = 1)$ , the above Equation (13) would reduce immediately to the following form

$$\Gamma(s)\Theta_\mu^\lambda(z, s, a; b) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_\chi \frac{(z)^\chi (-\chi + a)^\xi (-b)^\psi}{\chi! \xi! \psi!} \delta(s + \xi - \lambda \psi). \tag{28}$$

Next, specifying  $\mu = 1$  in (28), one can get the following new result as special case

$$\Gamma(s)\Theta(z, s, a; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (z)^\chi \frac{(-\chi + a)^\xi (-b)^\psi}{\xi! \psi!} \delta(s + \xi - \lambda \psi). \tag{29}$$

Next, again by giving variations to different parameters, we can get similar representations for other special cases of these functions.

By putting  $\lambda = 0$  in the above result (29), we get the following new result

$$\Gamma(s)\Phi(z, s, a; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (z)^\chi \frac{(-\chi + a)^\xi}{\xi!} \delta(s + \xi). \tag{30}$$

By putting  $b = 0$  in (30), we get the following results for the original family of Hurwitz-Lerch zeta function and its special cases ([13], Chapter 4):

$$\Gamma(s)\Phi(z, s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} (z)^\chi \frac{(-\chi + a)^\xi}{\xi!} \delta(s + \xi), \tag{31}$$

$$\Gamma(s)\phi(z, s) = 2\pi z \sum_{\chi, \xi=0}^{\infty} (z)^\chi \frac{(-\chi + 1)^\xi}{\xi!} \delta(s + \xi), \tag{32}$$

$$\Gamma(s)\zeta(s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{(-\chi + a)^\xi}{\xi!} \delta(s + \xi), \tag{33}$$

$$\Gamma(s)\zeta(s) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{(-\chi + 1)^\xi}{\xi!} \delta(s + \xi). \tag{34}$$

**Remark 3.** Note that we have obtained a demonstration given in the form of complex delta functions that is only meaningful in the sense of distributions once defined as an inner product with some suitable function. For example, divide both sides of (34) in the usual sense

$$1 = \frac{\sum_{\chi, \xi=0}^{\infty} \frac{-(\chi+1)^\xi}{\xi!} \delta(s + \xi)}{\Gamma(s)\zeta(s)}. \tag{35}$$

In addition, we get

$$1 = \sum_{\chi, \xi=0}^{\infty} \frac{-(\chi+1)^\xi}{\xi!} \frac{1}{\Gamma(-\xi)\zeta(-\xi)}, \tag{36}$$

where the product  $\Gamma(-\xi)\zeta(-\xi)$  contributes only for even values of  $\xi$ , because zeros of zeta cancel the poles of gamma functions while for other values of  $\xi$ , the right-hand side sum will vanish due to  $\Gamma(-\xi)$  in the reciprocal. Therefore, we get

$$1 = \sum_{\chi, \xi=1}^{\infty} \frac{(\chi)^{2\xi}}{(2\xi)!} + 0 \implies 1 = \sum_{\chi=0}^{\infty} \cosh(\chi) \implies 1 = \infty \tag{37}$$

that leads to an obvious contradiction.

Meanwhile, if we consider the inner product

$$\langle \Gamma(s)\zeta(s), \frac{1}{\Gamma(s)\zeta(s)} \rangle = \sum_{\chi, \xi=0}^{\infty} \frac{-(\chi+1)^\xi}{\xi!} \langle \delta(s + \xi), \frac{1}{\Gamma(s)\zeta(s)} \rangle \tag{38}$$

then we get

$$\int_{s \in \mathbb{C}} 1 ds = \sum_{\chi, \xi=0}^{\infty} \frac{-(\chi+1)^\xi}{\xi!} \frac{1}{\Gamma(-\xi)\zeta(-\xi)}. \tag{39}$$

Due to the reason as stated above we get

$$\int_{s \in \mathbb{C}} 1 ds = \sum_{\chi, \xi=1}^{\infty} \frac{(\chi)^{2\xi}}{(2\xi)!} + 0, \tag{40}$$

$$\int_{s \in \mathbb{C}} 1 ds = \int_{-\infty}^{+\infty} 1 ds = \sum_{\chi=0}^{\infty} \cosh(\chi), \tag{41}$$

and both sides diverge. Therefore, we need to be very rigorous in selecting a class of functions for which this representation is meaningful or convergent.

#### 4. Convergence and Applications of New Series Representation

The representation of the  $\lambda$ -generalized Hurwitz-Lerch zeta function

$$\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, \mathbf{a}; \mathbf{b}, \lambda)$$

and related functions is attained in the form of the series of delta function that is defined simply if converges as distributions or generalized functions. Therefore, these new representations are well defined for the functions for which these infinite series converge. Meanwhile, the complex delta function acts as a continuous linear functional on the space  $\mathbf{Z}$ . Hence, it is straightforward that the series of delta functions are obviously the continuous linear functionals acting on the space  $\mathbf{Z}$ . (The

results may also be true for some larger spaces, but here in our present investigation, we are just restricting to  $\mathbf{Z}$ . Therefore,  $\forall \Lambda(s) \in \mathbf{Z}$ , we get from (13)

$$\begin{aligned} & \langle \Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; \mathbf{b}, \lambda), \Lambda(s) \rangle = \\ & 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})_{\sigma q \chi}}{([\mu_q]_{\sigma q \chi})_{\sigma q \chi}} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+a)^\xi)}{\xi!} \frac{(-b)^\psi}{\psi!} \langle \delta(s + \xi - \lambda \psi), \Lambda(s) \rangle; (\forall \Lambda(s) \in \mathbf{Z}) \\ & = \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})_{\sigma q \chi}}{([\mu_q]_{\sigma q \chi})_{\sigma q \chi}} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+a)^\xi)}{\xi!} \frac{(-b)^\psi}{\psi!} \Lambda(\lambda \psi - \xi). \end{aligned} \tag{42}$$

Here, in the above equation, we have used the shifting property of delta functions as follows

$$\langle \delta(s + \xi - \lambda \psi), \Lambda(s) \rangle = \Lambda(\lambda \psi - \xi), \tag{43}$$

which being the elements of space  $\mathbf{Z}$  are slowly increasing (bounded by a polynomial) test functions and note that sum over the coefficients is

$$\begin{aligned} \text{sumoverthecoefficients} &= \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})_{\sigma q \chi}}{([\mu_q]_{\sigma q \chi})_{\sigma q \chi}} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+a)^\xi)}{\xi!} \frac{(-b)^\psi}{\psi!} \\ &= \exp(-a - b) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; \frac{z}{e} \right], \end{aligned} \tag{44}$$

which is finite and well defined. Therefore, by using the famous Abel convergent test or by ([35], Proposition 1, p. 46), it is obvious that new series given by (13) converges for  $\forall \Lambda(s) \in \mathbf{Z}$ , which leads to a similar fact for its special and other related cases given in Section 3 and Appendix A.

As already mentioned, in our present investigation, we proved the convergence for slowly increasing functions, but it can now be observed that the series converges for a larger space of functions. Therefore, the condition is necessary and not sufficient, that means for  $\forall \Lambda(s) \in \mathbf{Z}$ , the series is convergent but if the series is convergent, then  $\Lambda(s)$  may belong to some other large space for which delta function is meaningful.

Next, by using the new representation of  $\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; \mathbf{b}, \lambda)$ , we can find some new integral formulae and verify them by using classical Fourier transform. First, we consider a simple example of a specific set of functions

$$\Lambda(s) = \omega^{s\beta} (\omega \neq 0; s \in \mathbf{C}; \beta \in \mathbb{R}). \tag{45}$$

By taking the inner product of these functions with (13) and using the basic (shift) property of delta functions, we get

$$\begin{aligned} & \int_{s \in \mathbf{C}} \omega^{s\beta} \Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; \mathbf{b}, \lambda) ds = \\ & 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{([\lambda_p]_{\rho p \chi})_{\sigma q \chi}}{([\mu_q]_{\sigma q \chi})_{\sigma q \chi}} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+a)^\xi)}{\xi!} \frac{(-b)^\psi}{\psi!} \omega^{(\lambda \psi - \xi)\beta} \\ & = 2\pi \exp\left(-a\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; z \cdot \exp(-\omega^{-\beta}) \right]. \end{aligned} \tag{46}$$

Similarly, by considering the action of  $\Lambda(s)$  for representations (19)–(34), we can get the following new results:

$$\begin{aligned} & \int_{s \in \mathbf{C}} \omega^{s\beta} \Gamma(s) \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; \mathbf{b}, \lambda) ds \\ & = 2\pi \exp\left(-a\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; -\exp(-x - \omega^{-\beta}) \right]; \end{aligned} \tag{47}$$

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; b, \lambda) ds = 2\pi \exp\left(-a\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; \exp(-x - \omega^{-\beta}) \right]; \tag{48}$$

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) F_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; b, \lambda) ds = 2\pi \exp\left(-\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; -\exp(-x - \omega^{-\beta}) \right]; \tag{49}$$

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) B_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; b, \lambda) ds = 2\pi \exp\left(-\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; \exp(-x - \omega^{-\beta}) \right]; \tag{50}$$

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s; b, \lambda) ds = 2\pi z \cdot \exp\left(-\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; z \exp(-\omega^{-\beta}) \right]; \tag{51}$$

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, a; b; \lambda) ds = 2\pi \exp\left(-a\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; \exp(-\omega^{-\beta}) \right]; \tag{52}$$

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s; b, \lambda) ds = 2\pi \exp\left(-\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; \exp(-\omega^{-\beta}) \right]. \tag{53}$$

By putting  $b = 0$ , in (46), we get the following new results: (and if we put  $\lambda = 0$ , we get  $e^b$  times the following results (54)):

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a) ds = \sum_{\chi, \xi=0}^{\infty} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \omega^{(\lambda\psi-\xi)\beta} = 2\pi \exp(-a\omega^{-\beta}) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix}; z \cdot \exp(-\omega^{-\beta}) \right]. \tag{54}$$

By considering  $p - 1 = q = 0$  ( $\lambda_1 = \mu; \rho_1 = 1$ ),  $b \neq 0$  in Equation (46) we can get the following

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \Theta_\mu^\lambda(z, s, a; b) ds = \frac{2\pi \exp\left(- (a-1)\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right)}{(\exp(\omega^{-\beta}) - z)^\mu}. \tag{55}$$

Taking  $b = 0$  in the above results (55) leads to the following new result:

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \Phi_\mu^*(z, s, a) ds = \frac{2\pi \exp(- (a-1)\omega^{-\beta})}{(\exp(\omega^{-\beta}) - z)^\mu}. \tag{56}$$

By considering other parametric values as,  $p - 1 = q = 0$ ;  $\lambda_1 = \mu; \rho_1 = 1$ ;  $b \neq 0$ ;  $\lambda = \mu = 1$  the above result (54) shrinks instantly to the subsequent result:

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \Phi_b(z, s, a) ds = \frac{2\pi \exp\left(- (a-1)\omega^{-\beta} - \frac{b}{\omega^{-\lambda\beta}}\right)}{(\exp(\omega^{-\beta}) - z)}. \tag{57}$$

Next, by putting  $b = 0$  in the above Equations (57), we get the following [13], (Chapter 4):

$$\int_{s \in \mathbb{C}} \omega^{s\beta} \Gamma(s) \Phi(z, s, a) ds = \frac{\exp(-(a-1)\omega^{-\beta})}{(\exp(\omega^{-\beta}) - z)}. \tag{58}$$

**Remark 4.** Results obtained in this section give insights for further new results. For example, consider  $\omega = \frac{1}{e}$ , then we get the Laplace transform of the  $\lambda$ -generalized Hurwitz-Lerch zeta functions and the related family of functions. Before going on further with this new representation, we consider the consistency of the new results in the subsequent section.

### 5. Fourier Transform Representation

The main purpose of this section is to verify the consistency of the results obtained by the new series representation with the classical Fourier transform representation. Different transform representations have always been of interest for such functions.

By replacing  $t = e^y$  and  $s = \sigma + i\tau$  in Equation (4), the Fourier transform representation of  $\lambda$ -generalized Hurwitz-Lerch zeta functions is

$$\begin{aligned} & \Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s, a; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} \exp\left(-ae^y - \frac{b}{e^{\lambda y}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; ze^y \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(a), \Re(s)] > 0; \Re(b) \geq 0; \lambda \geq 0). \end{aligned} \tag{59}$$

Similarly for the  $\lambda$ -generalized extended Fermi-Dirac functions

$$\begin{aligned} & \Gamma(s) \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} \exp\left(-ae^y - \frac{b}{e^{\lambda y}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; -e^{-x} \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(a), \Re(s)] > 0; \Re(b) \geq 0; \lambda \geq 0) \end{aligned} \tag{60}$$

and Extended Bose-Einstein Functions

$$\begin{aligned} & \Gamma(s) \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} \exp\left(-ae^y - \frac{b}{e^{\lambda y}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; e^{-x} \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(a), \Re(s)] > 0; \Re(b) \geq 0; \lambda \geq 0). \end{aligned} \tag{61}$$

For  $\lambda$ -generalized Fermi-Dirac functions

$$\begin{aligned} & \Gamma(s) F_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} \exp\left(-e^y - \frac{b}{e^{\lambda y}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; -e^{-x} \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(a), \Re(s)] > 0; \Re(b) \geq 0; \lambda \geq 0) \end{aligned} \tag{62}$$



and Bose-Einstein functions

$$\begin{aligned} & \Gamma(s) \mathcal{B}_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} \exp\left(-e^y - \frac{b}{e^{\lambda y}}\right) p^{\mathbf{Y}^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; e^{-x} \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(\mathbf{a}), \Re(s)] > 0; \Re(\mathbf{b}) \geq 0; \lambda \geq 0). \end{aligned} \tag{63}$$

For  $\lambda$ -generalized Polylogarithm functions

$$\begin{aligned} & \Gamma(s) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ z e^{\sigma y} \exp\left(-e^y - \frac{b}{e^{\lambda y}}\right) p^{\mathbf{Y}^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; z \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(\mathbf{a}), \Re(s)] > 0; \Re(\mathbf{b}) \geq 0; \lambda \geq 0) \end{aligned} \tag{64}$$

For  $\lambda$ -generalized Hurwitz zeta functions

$$\begin{aligned} & \Gamma(s) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, \mathbf{a}; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} \exp\left(-ae^y - \frac{b}{e^{\lambda y}}\right) p^{\mathbf{Y}^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(\mathbf{a}), \Re(s)] > 0; \Re(\mathbf{b}) \geq 0; \lambda \geq 0). \end{aligned} \tag{65}$$

For  $\lambda$ -generalized Riemann zeta functions

$$\begin{aligned} & \Gamma(s) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s; \mathbf{b}, \lambda) \\ &= \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} \exp\left(-e^y - \frac{b}{e^{\lambda y}}\right) p^{\mathbf{Y}^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; \exp(-e^y) \right]; \tau \right] \\ & (\min[\Re(\mathbf{a}), \Re(s)] > 0; \Re(\mathbf{b}) \geq 0; \lambda \geq 0). \end{aligned} \tag{66}$$

Similarly, by giving variations to different parameters, we can get similar representations for other special cases of these functions in consideration of Table 1.

### 6. Verification of the Results Obtained by New Representation

For the Fourier transform of any function  $f(t)$ , duality property holds as

$$\mathcal{F} \left[ \sqrt{2\pi} \mathcal{F} [f(t); \tau]; \beta \right] = 2\pi f(-\beta). \tag{67}$$

Hence, from (59)–(66), by applying (67), we obtain the following

$$\begin{aligned} & \mathcal{F} \left\{ \Gamma(\sigma + i\tau) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, \sigma + i\tau, \mathbf{a}; \mathbf{b}, \lambda); \beta \right\} = \\ & \mathcal{F} \left\{ \sqrt{2\pi} \mathcal{F} \left[ e^{\sigma y} e^{-ae^y - \frac{b}{e^{\lambda y}}} p^{\mathbf{Y}^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; z e^{-e^y} \right]; \tau \right]; \beta \right\} = f(-\beta) \\ &= 2\pi e^{-\sigma\beta} \exp\left(-ae^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\mathbf{Y}^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; z \exp(-e^{-\beta}) \right] \\ & (\min[\Re(\mathbf{a}), \Re(s)] > 0; \Re(\mathbf{b}) \geq 0; \lambda \geq 0), \end{aligned} \tag{68}$$

$$\begin{aligned} & \text{Or } \int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, \sigma + i\tau, \mathbf{a}; \mathbf{b}, \lambda) d\tau \\ &= 2\pi e^{-\sigma\beta} \exp\left(-ae^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\mathbf{Y}^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, & (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, & (\mu_q, \sigma_q) \end{matrix} ; z \exp(-e^{-\beta}) \right], \end{aligned} \tag{69}$$

which is the special case of our main result (46) for  $w = e; s = \sigma + i\tau$  and verifies that results obtained by the new representation are consistent with the classical results.

If we put  $\beta = 0$  in the above equation (69), we get the following integral:

$$\int_{-\infty}^{+\infty} \Gamma(\sigma + i\tau) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, \sigma + i\tau, a; b, \lambda) d\tau = 2\pi e^{-a-b} p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; \frac{z}{e} \right], \tag{70}$$

which is also a specific case of our main result (46). It shows that our new representation produces new results that cannot be found by other methods, but special cases of our obtained results are consistent with the classical results.

Similarly, by considering different parametric values in the above equations and as given in Table 1 in Section 2, we can get the following list of integrals: (one can also note that results obtained by new representation are not only more general than the results obtained by Fourier transform representation but also consistent with the special cases of these results)

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) \Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, \sigma + i\tau, a; b, \lambda) d\tau = 2\pi e^{-\sigma\beta} \exp\left(-ae^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; e^{-x} \exp(-e^{-\beta}) \right]; \tag{71}$$

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) \Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, \sigma + i\tau, a; b, \lambda) d\tau = 2\pi e^{-\sigma\beta} \exp\left(-ae^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; -e^{-x} \exp(-e^{-\beta}) \right]; \tag{72}$$

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) B_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, \sigma + i\tau; b, \lambda) d\tau = 2\pi e^{-\sigma\beta} \exp\left(-e^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; e^{-x} \exp(-e^{-\beta}) \right]; \tag{73}$$

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) F_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, \sigma + i\tau; b, \lambda) d\tau = 2\pi e^{-\sigma\beta} \exp\left(-e^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; -e^{-x} \exp(-e^{-\beta}) \right]; \tag{74}$$

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, \sigma + i\tau; b, \lambda) d\tau = 2\pi z e^{-\sigma\beta} \exp\left(-e^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; z \exp(-e^{-\beta}) \right]; \tag{75}$$

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(\sigma + i\tau, a; b, \lambda) d\tau = 2\pi e^{-\sigma\beta} \exp\left(-ae^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; \exp(-e^{-\beta}) \right]; \tag{76}$$

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) \zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(\sigma + i\tau; b, \lambda) d\tau = 2\pi e^{-\sigma\beta} \exp\left(-ae^{-\beta} - \frac{b}{e^{-\lambda\beta}}\right) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; \exp(-e^{-\beta}) \right]; \tag{77}$$

$$\int_{-\infty}^{+\infty} e^{i\tau\beta} \Gamma(\sigma + i\tau) \Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, \sigma + i\tau, a) d\tau = 2\pi e^{-\sigma\beta} \exp(-ae^{-\beta} - b) p^{\Psi^*} q \left[ \begin{matrix} (\lambda_1, \rho_1), \dots, (\lambda_p, \rho_p) \\ (\mu_1, \sigma_1), \dots, (\mu_q, \sigma_q) \end{matrix} ; z \exp(-e^{-\beta}) \right]. \tag{78}$$

For  $\beta = 0$ , the above results (68)–(78) yield some interesting and simple integral formulae. To confirm the consistency of the results obtained by new representation, it can be noted that the results obtained in this Section (68)–(78) can be generated as special cases of (46)–(48) for  $\omega = e, s = \sigma + i\tau$  and vice versa. These are straightforward to obtain by using a basic fact of the Fourier transform and therefore to test the consistency of new representations as they become more important.

**7. Discussion and Future Directions**

The confluence of distributions (generalized functions) with classical integral transformations has become a remarkably influential tool in the theory of partial differential equations. It has solved various physical and engineering problems that cannot be solved by using classical methods. In this paper, we obtained a new representation for the newly defined family of the  $\lambda$ -generalized Hurwitz-Lerch zeta functions in terms of complex delta functions such that the definition of these functions is formalized over the space of entire test functions denoted by  $\mathcal{Z}$ . This is significant for advancing the foundations of distributional (generalized function) concepts for such higher transcendental functions and enhancing their applications to solve real-world problems. The Riemann hypothesis is a famous and unsolved problem at present in analytic number theory [31]. It states that "all the non-trivial zeros of the zeta function lie on the real line  $s = 1/2$ ". These zeros appear symmetrically as complex conjugates on this line. The integrals of the zeta function and its generalizations are essential in the investigation of Riemann hypothesis and for the study of zeta functions. Such integrals are also important for the study of distributions in statistical inference and reliability theory [1,26,32]. By using this new definition of the  $\lambda$ -generalized Hurwitz-Lerch zeta functions, one can find such integrals in a simple and uniform way.

$\lambda$ -generalized Hurwitz-Lerch zeta functions systematically oversimplify the functions of the zeta family and provide understanding for some other potential new members of this family that are not found in the literature. This element is very useful for achieving new results from one main result. Our main result generates at once significant new results for a class of well-studied functions by applying the methodology of this paper. The Fermi-Dirac and Bose-Einstein functions arose in the distribution functions for quantum statistics that deals with two particular kinds of spin symmetry, namely, bosons and fermions. Their close connection considered in this investigation with the  $\lambda$ -generalized Hurwitz-Lerch zeta functions have provided some significant new results for these functions that directly develop the future applications of these representations in quantum physics and related fields. The technique to obtain the results by using new representation explores a required simplicity that is always desirous. These are some straightforward examples. It is expected that the approach developed in this investigation will be doubtlessly significant for further exploration of these higher transcendental functions in future research.

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**Appendix A New Results by Considering Special Cases for Section 2 in View of Table 1**

$$\Gamma(s)\Theta_{\lambda_1, \dots, \lambda_p, \sigma_1, \dots, \sigma_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi})}{([\mu_q]_{\sigma_q \chi})} \frac{(-e^{-x})^\chi}{\chi!} \frac{(-(\chi + a))^\xi}{\xi!} \delta(s + \xi); \tag{A1}$$

$$\Gamma(s)\Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi})}{([\mu_q]_{\sigma_q \chi})} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi + a))^\xi}{\xi!} \delta(s + \xi); \tag{A2}$$

$$\Gamma(s)B_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi); \quad (A3)$$

$$\Gamma(s)F_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi); \quad (A4)$$

$$\Gamma(s)\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s) = 2\pi z e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi); \quad (A5)$$

$$\Gamma(s)\zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, a) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{1}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \delta(s+\xi); \quad (A6)$$

$$\Gamma(s)\zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{1}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi); \quad (A7)$$

$$\Gamma(s)\Theta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \delta(s+\xi) \quad (A8)$$

$$\Gamma(s)\Psi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \delta(s+\xi) \quad (A9)$$

$$\Gamma(s)B_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi) \quad (A10)$$

$$\Gamma(s)F_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(x, s) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi) \quad (A11)$$

$$\Gamma(s)\Phi_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(z, s) = 2\pi z \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi) \quad (A12)$$

$$\Gamma(s)\zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{1}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \delta(s+\xi) \quad (A13)$$

$$\Gamma(s)\zeta_{\lambda_1, \dots, \lambda_p, \mu_1, \dots, \mu_q}^{(\rho_1, \dots, \rho_p, \sigma_1, \dots, \sigma_q)}(s) = 2\pi \sum_{\chi, \xi=0}^{\infty} \frac{([\lambda_p]_{\rho_p \chi}}{([\mu_q]_{\sigma_q \chi})} \frac{1}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \delta(s+\xi) \quad (A14)$$

$$\Gamma(s)\Theta_{\mu}^{\lambda}(x, s, a; b) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s+\xi-\lambda\psi) \quad (A15)$$

$$\Gamma(s)\Psi_{\mu}^{\lambda}(x, s, a; b) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+a))^\xi}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s+\xi-\lambda\psi) \quad (A16)$$

$$\Gamma(s)B_{\mu}^{\lambda}(x, s; b) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s+\xi-\lambda\psi) \quad (A17)$$

$$\Gamma(s)F_{\mu}^{\lambda}(x, s; b) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s+\xi-\lambda\psi) \quad (A18)$$

$$\Gamma(s)\Phi_{\mu}^{\lambda}(z, s; b) = 2\pi z \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_{\chi} \frac{(z)^\chi}{\chi!} \frac{(-(\chi+1))^\xi}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s+\xi-\lambda\psi) \quad (A19)$$

$$\Gamma(s)\zeta_{\mu}^{\lambda}(s, a; b, a) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s+\xi-\lambda\psi) \quad (\text{A20})$$

$$\Gamma(s)\zeta_{\mu}^{\lambda}(s; b) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+1))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s+\xi-\lambda\psi) \quad (\text{A21})$$

$$\Gamma(s)\Phi_{\mu}^{*}(z, s, a, b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(z)^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A22})$$

$$\Gamma(s)\Theta_{\mu}^{*}(x, s, a, b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(-e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A23})$$

$$\Gamma(s)\Psi_{\mu}^{*}(x, s, a, b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A24})$$

$$\Gamma(s)B_{\mu}^{*}(x, s, b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A25})$$

$$\Gamma(s)F_{\mu}^{*}(x, s, b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A26})$$

$$\Gamma(s)\Phi_{\mu}^{*}(z, s; b, \lambda) = 2\pi e^b z \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(z)^{\chi}}{\chi!} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A27})$$

$$\Gamma(s)\zeta_{\mu}^{*}(s, a; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{1}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A28})$$

$$\Gamma(s)\zeta_{\mu}^{*}(s; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{1}{\chi!} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A29})$$

$$\Gamma(s)\Phi_{\mu}^{*}(z, s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(z)^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A30})$$

$$\Gamma(s)\Theta_{\mu}^{*}(x, s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(-e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A31})$$

$$\Gamma(s)\Psi_{\mu}^{*}(x, s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A32})$$

$$\Gamma(s)B_{\mu}^{*}(x, s) = 2\pi \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A33})$$

$$\Gamma(s)F_{\mu}^{*}(x, s) = 2\pi \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(-e^{-x})^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A34})$$

$$\Gamma(s)\Phi_{\mu}^{*}(z, s; b, \lambda) = 2\pi z \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{(z)^{\chi}}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A35})$$

$$\Gamma(s)\zeta_{\mu}^{*}(s, a) = 2\pi \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{1}{\chi!} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A36})$$

$$\Gamma(s)\zeta_{\mu}^{*}(s) = 2\pi \sum_{\chi, \xi=0}^{\infty} (\mu)_{\chi} \frac{1}{\chi!} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s+\xi) \quad (\text{A37})$$

$$\Gamma(s)\Theta(x, s, a; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (-e^{-x})^{\chi} \frac{(-(\chi+a))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s + \xi - \lambda\psi) \quad (\text{A38})$$

$$\Gamma(s)\Psi(x, s, a; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (e^{-x})^{\chi} \frac{(-(\chi+a))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s + \xi - \lambda\psi) \quad (\text{A39})$$

$$\Gamma(s)B(x, s; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (e^{-x})^{\chi} \frac{(-(\chi+1))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s + \xi - \lambda\psi) \quad (\text{A40})$$

$$\Gamma(s)F(x, s; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} (-e^{-x})^{\chi} \frac{(-(\chi+1))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s + \xi - \lambda\psi) \quad (\text{A41})$$

$$\Gamma(s)\Phi(z, s; b, \lambda) = 2\pi z \sum_{\chi, \xi, \psi=0}^{\infty} (z)^{\chi} \frac{(-(\chi+1))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s + \xi - \lambda\psi) \quad (\text{A42})$$

$$\Gamma(s)\zeta(s, a; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{(-(\chi+a))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s + \xi - \lambda\psi) \quad (\text{A43})$$

$$\Gamma(s)\zeta(s; b, \lambda) = 2\pi \sum_{\chi, \xi, \psi=0}^{\infty} \frac{(-(\chi+1))^{\xi}}{\xi!} \frac{(-b)^{\psi}}{\psi!} \delta(s + \xi - \lambda\psi) \quad (\text{A44})$$

$$\Gamma(s)\Phi(z, s, a; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (z)^{\chi} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A45})$$

$$\Gamma(s)\Theta(x, s, a; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (-e^{-x})^{\chi} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A46})$$

$$\Gamma(s)\Psi(x, s, a; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (e^{-x})^{\chi} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A47})$$

$$\Gamma(s)F(x, s; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (-e^{-x})^{\chi} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A48})$$

$$\Gamma(s)B(x, s; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} (e^{-x})^{\chi} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A49})$$

$$\Phi(z, s; b) = 2\pi z e^b \sum_{\chi, \xi=0}^{\infty} (z)^{\chi} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A50})$$

$$\Gamma(s)\zeta(s, a; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{(-(\chi+a))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A51})$$

$$\Gamma(s)\zeta(s; b) = 2\pi e^b \sum_{\chi, \xi=0}^{\infty} \frac{(-(\chi+1))^{\xi}}{\xi!} \delta(s + \xi) \quad (\text{A52})$$

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Article

# On Some Statistical Approximation by $(p, q)$ -Bleimann, Butzer and Hahn Operators

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**Abstract:** In this article, we propose a different generalization of  $(p, q)$ -BBH operators and carry statistical approximation properties of the introduced operators towards a function which has to be approximated where  $(p, q)$ -integers contains symmetric property. We establish a Korovkin approximation theorem in the statistical sense and obtain the statistical rates of convergence. Furthermore, we also introduce a bivariate extension of proposed operators and carry many statistical approximation results. The extra parameter  $p$  plays an important role to symmetrize the  $q$ -BBH operators.

**Keywords:**  $q$ -Bleimann–Butzer–Hahn operators;  $(p, q)$ -integers;  $(p, q)$ -Bernstein operators;  $(p, q)$ -Bleimann–Butzer–Hahn operators; modulus of continuity; rate of approximation;  $K$ -functional

**MSC:** 41A10; 41A25; 41A36

## 1. Introduction

The  $q$ -analog of Bleiman, Butzer and Hahn operators (BBH) [1] is defined by:

$$L_n^q(f; x) = \frac{1}{\ell_n^q(x)} \sum_{k=0}^n f\left(\frac{[k]_q}{[n-k+1]_q q^k}\right) q^{\frac{k(k-1)}{2}} \begin{bmatrix} n \\ k \end{bmatrix}_q x^k, \quad (1)$$

where  $\ell_n^q(x) = \prod_{k=0}^{n-1} (1 + q^k x)$ .

For  $q = 1$ , the sequence of  $q$ -BBH operators (1) reduces to the classical BBH-operators [2] in which authors investigated pointwise convergence properties of the BBH-operators in a compact sub-interval of  $\mathbb{R}_+$ .

Let  $H_\omega$  denote the space of all real-valued functions  $f$  defined on the semi-axis  $\mathbb{R}_+$  [3], where  $\omega$  is the usual modulus of continuity satisfying

$$|f(x) - f(y)| \leq \omega\left(\left|\frac{x}{1+x} - \frac{y}{1+y}\right|\right)$$

for any  $x, y \geq 0$ .

Gadjiev and Çakar [3] established the Korovkin type theorem which gives the convergence for the sequence of linear positive operators (LPO) to the functions in  $H_\omega$ .

Now, we recollect the following theorem:

**Theorem 1** ([3]). Let  $\{A_n\}$  be the sequence of LPOs from  $H_\omega$  into  $C_B(\mathbb{R}_+)$  such that

$$\lim_{n \rightarrow \infty} \left\| A_n \left( \left( \frac{t}{1+t} \right)^v ; x \right) - \left( \frac{x}{1+x} \right)^v \right\|_{C_B} = 0, \quad v = 0, 1, 2,$$

then, for any function  $f \in H_\omega$

$$\lim_{n \rightarrow \infty} \|A_n(f) - f\|_{C_B} = 0.$$

$(p, q)$ -calculus, also called post-quantum calculus, is a generalization of  $q$ -calculus which has lots of applications in quantum physics. In approximation theory, the very first  $(p, q)$ -type generalization of Bernstein polynomials was introduced by Mursaleen et al. [4] using  $(p, q)$ -calculus and improved the said operators (see Erratum [4]). The theory of semigroups of the linear operators is used in order to prove the existence and uniqueness of a weak solutions of boundary value problems in thermoelasticity of dipolar bodies (see [5,6]).

Recently, a very nice application and usage of extra parameter  $p$  has been discussed in [7] in the computer-aided geometric design. In that paper, authors applied these  $(p, q)$ -Bernstein bases to construct  $(p, q)$ -Bézier curves which are further generalizations of  $q$ -Bézier curves [8]. For more results on LPOs and its  $(p, q)$ -analogues, one can refer to [9–15].

Now, we provide some notations on  $(p, q)$ -calculus.

$[n]_{p,q}$  stands for  $(p, q)$ -integers defined as

$$[n]_{p,q} = p^{n-1} + p^{n-2}q + p^{n-3}q^2 \dots + q^{n-1} = \begin{cases} \frac{p^n - q^n}{p - q} & (p \neq q \neq 1), \\ \frac{1 - q^n}{1 - q} & (p = 1), \\ n & (p = q = 1), \end{cases} \quad (2)$$

$$(ax + by)_{p,q}^n := \sum_{j=0}^n p^{\binom{n-j}{2}} q^{\binom{j-1}{2}} \begin{bmatrix} n \\ j \end{bmatrix}_{p,q} a^{n-j} b^j x^{n-j} y^j,$$

$$(x + y)_{p,q}^n = (x + y)(px + qy)(p^2x + q^2y) \dots (p^{n-1}x + q^{n-1}y),$$

$$(1 - x)_{p,q}^n = (1 - x)(p - qx)(p^2 - q^2x) \dots (p^{n-1} - q^{n-1}x),$$

and the binomial coefficients in  $(p, q)$ -calculus are given by

$$\begin{bmatrix} n \\ j \end{bmatrix}_{p,q} = \frac{[n]_{p,q}!}{[j]_{p,q}! [n-j]_{p,q}!}.$$

By easy computation, we have the relation given below:

$$q^j [n - j + 1]_{p,q} = [n + 1]_{p,q} - p^{n-j+1} [j]_{p,q}.$$

Authors suggest the readers [16–19].

The  $(p, q)$ -analogue of BBH operators was introduced by Mursaleen et al. in [20] as follows:

$$L_n^{p,q}(f; x) = \frac{1}{\ell_n^{p,q}(x)} \sum_{j=0}^n f \left( \frac{p^{n-j+1} [j]_{p,q}}{[n-j+1]_{p,q} q^j} \right) p^{\frac{(n-j)(n-j-1)}{2}} q^{\frac{j(j-1)}{2}} \begin{bmatrix} n \\ j \end{bmatrix}_{p,q} x^j, \tag{3}$$

where  $x \geq 0, 0 < q < p \leq 1, \ell_n^{p,q}(x) = \prod_{s=0}^{n-1} (p^s + q^s x)$  and function  $f$  is defined on the semi axis  $\mathbb{R}_+$ . If we put  $p = 1$ , we get the  $q$ -BBH operators (1). In that paper, authors established different approximation properties of the sequence of operators (3).

**Theorem 2** ([20]). *Let  $p = (p_n), q = (q_n)$  satisfying  $\lim_{n \rightarrow \infty} p_n = 1, \lim_{n \rightarrow \infty} q_n = 1$  for  $0 < q_n < p_n \leq 1$  and if  $L_n^{p_n, q_n}(f; x)$  is defined by Label (3). Then, for any function  $f \in H_\omega$ ,*

$$\lim_{n \rightarrow \infty} \|L_n^{p_n, q_n} f - f\|_{C_B} = 0.$$

Mursaleen and Nasiruzzaman constructed bivariate  $(p, q)$ -BBH operators [21] and studied many nice properties based on that sequence of operators and also given some generalization of that sequence of bivariate operators introducing one more parameter  $\gamma$  in the operators.

The statistical convergence is another notion of convergence, which was introduced by Fast [22] nearly fifty years ago and now this is a very active area of research. The statistical limit of a sequence is an extension of the idea of limit of sequence in an ordinary sense. The natural density of  $K \subset \mathbb{N}$  is defined as:

$$\delta(K) = \lim_n \frac{1}{n} \{k \leq n : k \in K\}$$

whenever the limit exists (see [23,24]). The sequence  $x = (x_k)$  is said to be statistically convergent to a number  $L$  means if, for every  $\epsilon > 0$ ,

$$\delta\{k : |x_k - L| \geq \epsilon\} = 0,$$

and it is denoted by  $st - \lim_k x_k = L$ . It can be easily seen that every convergent sequence is statistically convergent but not conversely.

Now, we will state some preliminary results on positive linear operators:

**Proposition 1** ([25]). *If  $L$  is an operator, linear and positive, then, for every  $x \in X$ , we have*

$$|L f| \leq L(|f|). \tag{4}$$

**Proposition 2** ([25]). *(Hölder’s inequality for LPOs). Let  $L : X \rightarrow Y$  be an operator, linear and positive, and let  $1/p + 1/q = 1$ , where  $p, q > 1$  are real numbers. Then, for every  $f, g \in X$*

$$L(|f \cdot g|) \leq (L(|f|^p))^{\frac{1}{p}} \cdot (L(|g|^q))^{\frac{1}{q}}. \tag{5}$$

**Remark 1** ([25]). *A particular case of Proposition 2 is the Cauchy–Schwarz’s inequality for LPOs, which is obtained from Hölder’s inequality for  $p = q = 2$  as:*

$$|L(f \cdot g; x)| \leq \sqrt{L(f^2; x)} \cdot \sqrt{L(g^2; x)}. \tag{6}$$

We have organized the rest of the paper as follows. In Section 2, we have constructed  $(p, q)$ -BBH operators and calculated some auxiliary results. In Sections 3 and 4, Korovkin type results and rate of convergence are established in statistical sense, respectively. Section 5 is devoted to the construction of

the bivariate  $(p, q)$ -BBH operators. In Section 6, we have computed rate of statistical convergence for the bivariate  $(p, q)$ -BBH operators.

### 2. Construction of Operators and Moment Estimation

Ersan and Dođru [26] introduced a generalization of (1) and studied different statistical approximation properties of the operators towards a function  $f$  which has to be approximated. Inspired with the work of Ersan and Dođru [26], we construct a  $(p, q)$ -analogue generalization of the sequence of operators defined in [26] or, on the other hand, we generalize the operators introduced in [20] as follows:

$\forall x \geq 0, 0 < q < p \leq 1$ , let us define a sequence of  $(p, q)$ -BBH operators as follows:

$$\begin{aligned} \mathcal{B}_n^{p,q}(f; x) &= \frac{q/p}{\zeta_n^{p,q}(x)} \sum_{j=0}^n f\left(\frac{p^{n-j+1}[j]_{p,q}}{[n-j+1]_{p,q}q^j}\right) p^{\frac{(n-j)(n-j-1)}{2}} q^{\frac{j(j-1)}{2}} \left[ \begin{matrix} n \\ j \end{matrix} \right]_{p,q} x^j, \end{aligned} \tag{7}$$

where

$$\zeta_n^{p,q}(x) = \prod_{s=0}^{n-1} (p^s + q^s x) = \sum_{j=0}^n p^{\frac{(n-j)(n-j-1)}{2}} q^{\frac{j(j-1)}{2}} \left[ \begin{matrix} n \\ j \end{matrix} \right]_{p,q} x^j. \tag{8}$$

It is easy to verify that, if  $p = q = 1$ , the operators turn into the classical BBH operators. The sequence of operators (7) is of course more generalized than (1), and it is more flexible than (1).

We need the following lemma to our main result:

**Lemma 1.** *Let the sequence of operators be given by (7). Then,*

$$\mathcal{B}_n^{p,q}(1; x) = \frac{q}{p} \tag{9}$$

for any  $x \geq 0, 0 < q < p \leq 1$ .

**Proof.** The proof is obvious with the help of the relation (8), so we skip the proof.  $\square$

**Lemma 2.** *Let the sequence of operators be given by (7). Then,*

$$\mathcal{B}_n^{p,q}\left(\frac{t}{1+t}; x\right) = \frac{q[n]_{p,q}}{[n+1]_{p,q}} \frac{x}{1+x} \tag{10}$$

for any  $x \geq 0, 0 < q < p \leq 1$ .

**Proof.** Let  $t = \frac{p^{n-j+1}[j]_{p,q}}{[n-j+1]_{p,q}q^j}$ , then  $\frac{t}{1+t} = \frac{p^{n-j+1}[j]_{p,q}}{[n+1]_{p,q}}$ , so

$$\begin{aligned} \mathcal{B}_n^{p,q}\left(\frac{t}{1+t}; x\right) &= \frac{q/p}{\zeta_n^{p,q}(x)} \frac{[n]_{p,q}}{[n+1]_{p,q}} \sum_{j=1}^n p^{n-j+1} p^{\frac{(n-j)(n-j-1)}{2}} q^{\frac{j(j-1)}{2}} \left[ \begin{matrix} n-1 \\ j-1 \end{matrix} \right]_{p,q} x^j \\ &= \frac{q/p}{\zeta_n^{p,q}(x)} \frac{[n]_{p,q}x}{[n+1]_{p,q}} \sum_{j=0}^{n-1} p^{n-j} p^{\frac{(n-j-2)(n-j-1)}{2}} q^{\frac{j(j+1)}{2}} \left[ \begin{matrix} n-1 \\ j \end{matrix} \right]_{p,q} x^j \\ &= \frac{q/p}{\zeta_n^{p,q}(x)} \frac{p^n [n]_{p,q}x}{[n+1]_{p,q}} \sum_{j=0}^{n-1} p^{\frac{(n-j-1)(n-j-2)}{2}} q^{\frac{j(j-1)}{2}} \left[ \begin{matrix} n-1 \\ j \end{matrix} \right]_{p,q} \left(\frac{qx}{p}\right)^j. \end{aligned}$$

By using (8), the result can be easily obtained. □

**Lemma 3.** Let the sequence of operators be given by (7). Then,

$$\mathcal{B}_n^{p,q} \left( \left( \frac{t}{1+t} \right)^2 ; x \right) = \frac{pq^3 [n]_{p,q} [n-1]_{p,q}}{[n+1]_{p,q}^2} \frac{x^2}{(1+x)(p+qx)} + \frac{p^n q [n]_{p,q}}{[n+1]_{p,q}^2} \frac{x}{1+x} \tag{11}$$

for any  $x \geq 0, 0 < q < p \leq 1$ .

**Proof.** It is easy to verify that

$$[j]_{p,q} = p^{j-1} + q[j-1]_{p,q}; \quad [j]_{p,q}^2 = q[j]_{p,q}[j-1]_{p,q} + p^{j-1}[j]_{p,q}. \tag{12}$$

With the help of (12), we can have

$$\begin{aligned} & \mathcal{B}_n^{p,q} \left( \left( \frac{t}{1+t} \right)^2 ; x \right) \\ &= \frac{q/p}{\zeta_n^{p,q}(x)} \left\{ \frac{q[n]_{p,q}[n-1]_{p,q}}{[n+1]_{p,q}^2} \sum_{j=2}^n p^{2n-2j+2} p^{\frac{(n-j)(n-j-1)}{2}} q^{\frac{j(j-1)}{2}} \begin{bmatrix} n-2 \\ j-2 \end{bmatrix}_{p,q} x^j \right. \\ & \quad \left. + \frac{[n]_{p,q}}{[n+1]_{p,q}^2} \sum_{j=1}^n p^{2n-2j+2} p^{j-1} p^{\frac{(n-j)(n-j-1)}{2}} q^{\frac{j(j-1)}{2}} \begin{bmatrix} n-1 \\ j-1 \end{bmatrix}_{p,q} x^j \right\} \\ &= \frac{q/p}{\zeta_n^{p,q}(x)} \left\{ \frac{q[n]_{p,q}[n-1]_{p,q}}{[n+1]_{p,q}^2} \sum_{j=0}^{n-2} p^{2n-2j-2} p^{\frac{(n-j-2)(n-j-3)}{2}} q^{\frac{(j+2)(j+1)}{2}} \begin{bmatrix} n-2 \\ j \end{bmatrix}_{p,q} x^{j+2} \right. \\ & \quad \left. + \frac{[n]_{p,q}}{[n+1]_{p,q}^2} \sum_{j=0}^{n-1} p^{2n-2j} p^j p^{\frac{(n-j-1)(n-j-2)}{2}} q^{\frac{(j+1)j}{2}} \begin{bmatrix} n-1 \\ j \end{bmatrix}_{p,q} x^{j+1} \right\} \\ &= \frac{q/p}{\zeta_n^{p,q}(x)} \left\{ \frac{p^{2n-2} q^2 [n]_{p,q} [n-1]_{p,q}}{[n+1]_{p,q}^2} x^2 \sum_{j=0}^{n-2} p^{\frac{(n-j-2)(n-j-3)}{2}} q^{\frac{j(j-1)}{2}} \begin{bmatrix} n-2 \\ j \end{bmatrix}_{p,q} \left( \frac{q^2 x}{p^2} \right)^j \right. \\ & \quad \left. + \frac{p^{2n} [n]_{p,q}}{[n+1]_{p,q}^2} x \sum_{j=0}^{n-1} p^{\frac{(n-j-1)(n-j-2)}{2}} q^{\frac{j(j-1)}{2}} \begin{bmatrix} n-1 \\ j \end{bmatrix}_{p,q} \left( \frac{qx}{p} \right)^j \right\}. \end{aligned}$$

Now, using (8), we can get the desired result. □

### 3. Korovkin Type Statistical Approximation Properties

In this section, we obtain the Korovkin type statistical approximation theorem for our sequence of operators (7). Let us give the following theorem:

**Theorem 3.** [3] Let  $\{A_n\}$  be the sequence of LPOs from  $H_\omega$  into  $C_B(\mathbb{R}_+)$  such that

$$st - \lim_n \left\| A_n \left( \left( \frac{t}{1+t} \right)^v ; x \right) - \left( \frac{x}{1+x} \right)^v \right\|_{C_B} = 0, \quad v = 0, 1, 2.$$

Then, for any function  $f \in H_\omega$ ,

$$st - \lim_n \|A_n(f) - f\|_{C_B} = 0.$$

Let us take  $p = (p_n)$  and  $q = (q_n)$  such that

$$st - \lim_n p_n = 1, \quad st - \lim_n q_n = 1. \tag{13}$$

**Theorem 4.** Let  $\mathcal{B}_n^{p,q}(f; x)$  be the sequence of operators (7) and the sequences  $p = (p_n)$  and  $q = (q_n)$  satisfy the assumption (13) for  $0 < q_n < p_n \leq 1$ . Then, for any function  $f \in H_\omega$ ,

$$st - \lim_n \|\mathcal{B}_n^{p,q}(f; \cdot) - f\| = 0.$$

**Proof.** For  $\nu = 0$  and using (9), we can have

$$st - \lim_n \|\mathcal{B}_n^{p_n, q_n}(1; x) - 1\| = st - \lim_n \left| \frac{q_n}{p_n} - 1 \right|.$$

By (13), the following can be easily verified, which is

$$st - \lim_n \|\mathcal{B}_n^{p_n, q_n}(1; x) - 1\| = 0.$$

For  $\nu = 1$  and using (10), we get

$$\left\| \mathcal{B}_n^{p_n, q_n} \left( \frac{t}{1+t}; x \right) - \frac{x}{1+x} \right\| \leq \left| q_n \frac{[n]_{p_n, q_n}}{[n+1]_{p_n, q_n}} - 1 \right| = 1 - q_n \frac{[n]_{p_n, q_n}}{[n+1]_{p_n, q_n}}.$$

For a given  $\epsilon > 0$ , let us define the following sets:

$$U = \left\{ n : \left\| \mathcal{B}_n^{p_n, q_n} \left( \frac{t}{1+t}; x \right) - \frac{x}{1+x} \right\| \geq \epsilon \right\},$$

and

$$U' = \left\{ n : 1 - q_n \frac{[n]_{p_n, q_n}}{[n+1]_{p_n, q_n}} \geq \epsilon \right\}.$$

It is easily perceived that  $U \subset U'$ , so we can write

$$\begin{aligned} \delta \left\{ k \leq n : \left\| \mathcal{B}_n^{p_n, q_n} \left( \frac{t}{1+t}; x \right) - \frac{x}{1+x} \right\| \geq \epsilon \right\} \\ \leq \delta \left\{ k \leq n : 1 - q_n \frac{[n]_{p_n, q_n}}{[n+1]_{p_n, q_n}} \geq \epsilon \right\}. \end{aligned}$$

On using (13), it is clear that

$$st - \lim_n \left( 1 - q_n \frac{[n]_{p_n, q_n}}{[n+1]_{p_n, q_n}} \right) = 0.$$

Thus,

$$\delta \left\{ k \leq n : 1 - q_n \frac{[n]_{p_n, q_n}}{[n+1]_{p_n, q_n}} \geq \epsilon \right\} = 0;$$

then,

$$st - \lim_n \left\| \mathcal{B}_n^{p_n, q_n} \left( \frac{t}{1+t}; x \right) - \frac{x}{1+x} \right\| = 0.$$

Lastly, for  $\nu = 2$  and using (11), we obtain

$$\begin{aligned} & \left\| \mathcal{B}_n^{p_n, q_n} \left( \frac{t}{1+t}; x \right)^2 - \left( \frac{x}{1+x} \right)^2 \right\| \\ &= \left\| \frac{p_n q_n^3 [n]_{p_n, q_n} [n-1]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \frac{x^2}{(1+x)(p_n + q_n x)} + \frac{p_n^n q_n [n]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \frac{x}{1+x} - 1 \right\| \\ &\leq \left| \frac{p_n q_n^2 [n]_{p_n, q_n} [n-1]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} - 1 \right| + \left| \frac{p_n^n q_n [n]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \right|. \end{aligned} \tag{14}$$

Using  $[n+1]_{p_n, q_n} = p_n [n]_{p_n, q_n} + q_n^n$ , the following can be easily justified that

$$\frac{[n]_{p_n, q_n} [n-1]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} = \frac{1}{p_n^3} \left( 1 - \frac{2q_n^n + p_n q_n^{n-1}}{[n+1]_{p_n, q_n}} + \frac{q_n^{2n} + p_n q_n^{2n-1}}{[n+1]_{p_n, q_n}^2} \right).$$

Substituting it in (14), we can have

$$\begin{aligned} & \left\| \mathcal{B}_n^{p_n, q_n} \left( \left( \frac{t}{1+t} \right)^2; x \right) - \left( \frac{x}{1+x} \right)^2 \right\| \\ &\leq \left| \frac{q_n^2}{p_n^2} - 1 \right| + \left| \frac{q_n^2}{p_n^2} \left( \frac{2q_n^n + p_n q_n^{n-1}}{[n+1]_{p_n, q_n}} - \frac{q_n^{2n} + p_n q_n^{2n-1}}{[n+1]_{p_n, q_n}^2} \right) \right| + \left| \frac{p_n^n q_n [n]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \right| \\ &\leq \frac{q_n^2}{p_n^2} - 1 + \frac{q_n^2}{p_n^2} \left( \frac{2q_n^n + p_n q_n^{n-1}}{[n+1]_{p_n, q_n}} - \frac{q_n^{2n} + p_n q_n^{2n-1}}{[n+1]_{p_n, q_n}^2} \right) + \frac{p_n^n}{[n+1]_{p_n, q_n}} - \frac{p_n^{2n}}{[n+1]_{p_n, q_n}^2}. \end{aligned}$$

If we choose  $\alpha_n = \frac{q_n^2}{p_n^2} - 1$ ,  $\beta_n = \frac{q_n^2}{p_n^2} \left( \frac{2q_n^n + p_n q_n^{n-1}}{[n+1]_{p_n, q_n}} - \frac{q_n^{2n} + p_n q_n^{2n-1}}{[n+1]_{p_n, q_n}^2} \right)$ , and

$\gamma_n = \frac{p_n^n}{[n+1]_{p_n, q_n}} - \frac{p_n^{2n}}{[n+1]_{p_n, q_n}^2}$ , then by (13), we have

$$st - \lim_n \alpha_n = st - \lim_n \beta_n = st - \lim_n \gamma_n = 0. \tag{15}$$

For any given  $\epsilon > 0$ , now we define four sets as follows:

$$\begin{aligned} U &= \left\{ n : \left\| \mathcal{B}_n^{p_n, q_n} \left( \left( \frac{t}{1+t} \right)^2; x \right) - \left( \frac{x}{1+x} \right)^2 \right\| \geq \epsilon \right\}, \\ U_1 &= \left\{ n : \alpha_n \geq \frac{\epsilon}{3} \right\}, U_2 = \left\{ n : \beta_n \geq \frac{\epsilon}{3} \right\}, U_3 = \left\{ n : \gamma_n \geq \frac{\epsilon}{3} \right\}. \end{aligned}$$

It is obvious that  $U \subset U_1 \cup U_2 \cup U_3$ . Then, we obtain

$$\begin{aligned} \delta \left\{ k \leq n : \left\| \mathcal{B}_n^{p_n, q_n} \left( \left( \frac{t}{1+t} \right)^2; x \right) - \left( \frac{x}{1+x} \right)^2 \right\| \geq \epsilon \right\} \\ \leq \delta \left\{ k \leq n : \alpha_n \geq \frac{\epsilon}{3} \right\} + \delta \left\{ k \leq n : \beta_n \geq \frac{\epsilon}{3} \right\} + \delta \left\{ k \leq n : \gamma_n \geq \frac{\epsilon}{3} \right\}. \end{aligned}$$

It is clear that the right-hand side of the above inequality is zero by (15); then,

$$st - \lim_n \left\| \mathcal{B}_n^{p_n, q_n} \left( \left( \frac{t}{1+t} \right)^2; x \right) - \left( \frac{x}{1+x} \right)^2 \right\| = 0.$$

Hence, the proof is completed.  $\square$

#### 4. Rates of Statistical Convergence

This section is devoted to find rates of statistical convergence of operators (7). The modulus of continuity for the space of functions  $f \in H_\omega [1]$  is defined by

$$\tilde{\omega}(f; \delta) = \sup_{x,t \geq 0, \left| \frac{t}{1+t} - \frac{x}{1+x} \right| \leq \delta} |f(t) - f(x)|,$$

where  $\tilde{\omega}(f; \delta)$  satisfies the following conditions:  $\forall f \in H_\omega(R_+)$

1.  $\lim_{\delta \rightarrow 0} \tilde{\omega}(f; \delta) = 0,$
2.  $|f(t) - f(x)| \leq \tilde{\omega}(f; \delta) \left( \frac{\frac{t}{1+t} - \frac{x}{1+x}}{\delta} + 1 \right).$

**Theorem 5.** Let  $p = (p_n)$  and  $q = (q_n)$  be the sequences satisfying (13) and  $0 < q_n < p_n \leq 1,$  we have

$$|\mathcal{B}_n^{p_n, q_n}(f; x) - f(x)| \leq \tilde{\omega}(f; \sqrt{\delta_n(x)}) \left( \frac{q_n}{p_n} + 1 \right),$$

where

$$\begin{aligned} \delta_n(x) &= \left( \frac{x}{1+x} \right)^2 \left( \frac{q_n^4(1+x)}{p_n + q_n x} \frac{[n]_{p_n, q_n} [n-1]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} - \frac{2q_n^2[n]_{p_n, q_n}}{p_n [n+1]_{p_n, q_n}^2} + \frac{q_n^2}{p_n^2} \right) \\ &\quad + \frac{p_n^{n-1} q_n^2 [n]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \frac{x}{1+x}. \end{aligned} \tag{16}$$

**Proof.**

$$\begin{aligned} &|\mathcal{B}_n^{p_n, q_n}(f; x) - f(x)| \\ &\leq \mathcal{B}_n^{p_n, q_n}(|f(t) - f(x)|; x) \\ &\leq \tilde{\omega}(f; \delta) \left\{ \mathcal{B}_n^{p_n, q_n}(1; x) + \frac{1}{\delta} \mathcal{B}_n^{p_n, q_n} \left( \left| \frac{t}{1+t} - \frac{x}{1+x} \right|; x \right) \right\}. \end{aligned}$$

By using the Cauchy–Schwarz inequality (see (6)) and using (9)–(11), we have

$$\begin{aligned} &|\mathcal{B}_n^{p_n, q_n}(f; x) - f(x)| \\ &\leq \tilde{\omega}(f; \delta_n) \left( \frac{q_n}{p_n} + \frac{1}{\delta_n} \left\{ \left( \mathcal{B}_n^{p_n, q_n} \left( \frac{t}{1+t} - \frac{x}{1+x} \right)^2; x \right) \right\}^{\frac{1}{2}} \left( \mathcal{B}_n^{p_n, q_n}(1^2; x) \right)^{\frac{1}{2}} \right) \\ &\leq \tilde{\omega}(f; \delta_n) \left( \frac{q_n}{p_n} + \frac{1}{\delta_n} \left\{ \left( \frac{x}{1+x} \right)^2 \left( \frac{q_n^4(1+x)}{p_n + q_n x} \frac{[n]_{p_n, q_n} [n-1]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \right. \right. \right. \\ &\quad \left. \left. \left. - \frac{2q_n^2[n]_{p_n, q_n}}{p_n [n+1]_{p_n, q_n}^2} + \frac{q_n^2}{p_n^2} \right) + \frac{p_n^{n-1} q_n^2 [n]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \frac{x}{1+x} \right\}^{\frac{1}{2}} \right). \end{aligned}$$

Thus, it is obvious that, by choosing  $\delta_n$  as in (16), the theorem is proved.  $\square$

Notice that, by conditions in (13),  $st - \lim_n = 0.$  Then, we have

$$st - \lim_n \tilde{\omega}(f; \delta_n) = 0.$$



This provides us the pointwise rate of statistical convergence of the sequence of operators  $B_n^{p_n, q_n}(f; x)$  to  $f(x)$ .

Now, we will contribute an estimate related to the rate of approximation by means of Lipschitz type maximal functions.

Lenze [27] introduced a Lipschitz type maximal function as

$$\tilde{f}_\alpha(x) = \sup_{t>0, t \neq x} \frac{f(t) - f(x)}{|x - t|^\alpha}.$$

The Lipschitz type maximal function space on  $E \subset \mathbb{R}_+$  is defined in [1] as follows:

$$\tilde{W}_{\alpha, E} = \left\{ f : \sup(1 + x)^\alpha \tilde{f}_\alpha(x) \leq M \frac{1}{(1 + y)^\alpha}; x \geq 0 \text{ and } y \in E \right\},$$

where function  $f$  is bounded and continuous on  $\mathbb{R}_+$ ,  $0 < \alpha \leq 1$  and  $M$  is a positive constant.

**Theorem 6.** If  $B_n^{p_n, q_n}(f; x)$  is defined by (7), then  $\forall f \in \tilde{W}_{\alpha, E}$ , we have

$$|B_n^{p_n, q_n}(f; x) - f(x)| \leq M \left( \rho_n(x)^{\frac{\alpha}{2}} \left( \frac{q_n}{p_n} \right)^{\frac{2-\alpha}{2}} + \frac{2q_n}{p_n} d(x, E) \right),$$

where

$$\begin{aligned} \rho_n(x) = & \left( \frac{x}{1+x} \right)^2 \left( \frac{p_n q_n^3 (1+x)}{p_n + q_n x} \frac{[n]_{p_n, q_n} [n-1]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} - \frac{2q_n [n]_{p_n, q_n}}{p_n [n+1]_{p_n, q_n}^2} + \frac{q_n}{p_n} \right) \\ & + \frac{p_n^n q_n [n]_{p_n, q_n}}{[n+1]_{p_n, q_n}^2} \frac{x}{1+x}. \end{aligned}$$

**Proof.** A similar technique used in Theorem 7 in [26] will be taken to provide the proof. Letting  $x \geq 0$ ,  $(x, x_0) \in \mathbb{R}_+ \times E$ , it is understood that

$$|f - f(x)| \leq |f - f(x_0)| + |f(x_0) - f(x)|.$$

Since  $B_n^{p_n, q_n}(f; x)$  is a linear and positive operator,  $f \in \tilde{W}_{\alpha, E}$ , using the previous inequality, we have

$$\begin{aligned} & |B_n^{p_n, q_n}(f; x) - f(x)| \\ & \leq B_n^{p_n, q_n}(|f - f(x_0)|; x) + |f(x_0) - f(x)| B_n^{p_n, q_n}(1; x) \\ & \leq M \left( B_n^{p_n, q_n} \left( \left| \frac{t}{1+t} - \frac{x_0}{1+x_0} \right|^\alpha; x \right) + \frac{|x - x_0|^\alpha}{(1+x)^\alpha (1+x_0)^\alpha} B_n^{p_n, q_n}(1; x) \right). \end{aligned} \tag{17}$$

Consequently, we obtain

$$\begin{aligned} & B_n^{p_n, q_n} \left( \left| \frac{t}{1+t} - \frac{x_0}{1+x_0} \right|^\alpha; x \right) \\ & \leq B_n^{p_n, q_n} \left( \left| \frac{t}{1+t} - \frac{x}{1+x} \right|^\alpha; x \right) + \frac{|x - x_0|^\alpha}{(1+x)^\alpha (1+x_0)^\alpha} B_n^{p_n, q_n}(1; x). \end{aligned}$$

Using the Hölder’s inequality (see (5)) with  $p = \frac{2}{\alpha}$  and  $q = \frac{2}{2-\alpha}$  and using (9)–(11), we have

$$\begin{aligned} & \mathcal{B}_n^{p_n, q_n} \left( \left| \frac{t}{1+t} - \frac{x}{1+x} \right|^\alpha ; x \right) \\ & \leq \mathcal{B}_n^{p_n, q_n} \left( \left( \frac{t}{1+t} - \frac{x}{1+x} \right)^2 ; x \right)^{\frac{\alpha}{2}} (\mathcal{B}_n^{p_n, q_n}(1^2; x))^{\frac{2-\alpha}{2}} \\ & \quad + \frac{|x-x_0|^\alpha}{(1+x)^\alpha(1+x_0)^\alpha} \mathcal{B}_n^{p_n, q_n}(1; x) \\ & = \rho_n(x)^{\frac{\alpha}{2}} \left( \frac{q_n}{p_n} \right)^{\frac{2-\alpha}{2}} + \frac{q_n}{p_n} \frac{|x-x_0|^\alpha}{(1+x)^\alpha(1+x_0)^\alpha}. \end{aligned}$$

If the above result is substituted in (17), we will get our desired result. Hence, the theorem is proved. □

**Corollary 1.** If  $\mathcal{B}_n^{p_n, q_n}(f; x)$  is defined by (7) and take  $E = \mathbb{R}_+$  implies  $d(x, E) = 0$ , then a special case of Theorem 6 can be obtained as the following result:  $\forall f \in \tilde{W}_{\alpha, \mathbb{R}_+}$

$$|\mathcal{B}_n^{p_n, q_n}(f; x) - f(x)| \leq M \rho_n(x)^{\frac{\alpha}{2}} \left( \frac{q_n}{p_n} \right)^{\frac{2-\alpha}{2}},$$

where  $\rho_n(x)$  is the same as in Theorem 6.

### 5. Construction of the Bivariate Operators

In this section, we define a bivariate version of operators (7) and study their approximation properties.

For  $\mathbb{R}_+^2 = [0, \infty) \times [0, \infty)$ ,  $f : \mathbb{R}_+^2 \rightarrow \mathbb{R}$  and  $0 < q_{n_1}, q_{n_2} < p_{n_1}, p_{n_2} \leq 1$ , let us define the bivariate case of the operators (7) as follows:

$$\begin{aligned} & \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}}(f; x) \\ & = \frac{q_{n_1}/p_{n_1}}{\zeta_{n_1}^{p_{n_1}, q_{n_1}}(x)} \frac{q_{n_2}/p_{n_2}}{\zeta_{n_2}^{p_{n_2}, q_{n_2}}(y)} \sum_{j_1=0}^{n_1} \sum_{j_2=0}^{n_2} f \left( \frac{p_{n_1}^{n_1-j_1+1} [j_1]_{p_{n_1}, q_{n_1}}}{[n_1-j_1+1]_{p_{n_1}, q_{n_1}} q_{n_1}^{j_1}}, \frac{p_{n_2}^{n_2-j_2+1} [j_2]_{p_{n_2}, q_{n_2}}}{[n_2-j_2+1]_{p_{n_2}, q_{n_2}} q_{n_2}^{j_2}} \right) \\ & \quad \times p_{n_1}^{\frac{(n_1-j_1)(n_1-j_1-1)}{2}} \frac{j_1(j_1-1)}{q_{n_1}^{\frac{j_1(j_1-1)}{2}}} \frac{p_{n_2}^{(n_2-j_2)(n_2-j_2-1)}}{2} \frac{j_2(j_2-1)}{q_{n_2}^{\frac{j_2(j_2-1)}{2}}} \begin{bmatrix} n_1 \\ j_1 \end{bmatrix}_{p_{n_1}, q_{n_1}} \begin{bmatrix} n_2 \\ j_2 \end{bmatrix}_{p_{n_2}, q_{n_2}} x^{j_1} y^{j_2}, \end{aligned} \tag{18}$$

where  $\zeta_{n_1}^{p_{n_1}, q_{n_1}}(x) = \prod_{s=0}^{n_1-1} (p_{n_1}^s + q_{n_1}^s x)$  and  $\zeta_{n_2}^{p_{n_2}, q_{n_2}}(y) = \prod_{s=0}^{n_2-1} (p_{n_2}^s + q_{n_2}^s y)$ .

For  $K = I^2 = [0, \infty) \times [0, \infty)$ , the modulus of continuity for bivariate case is defined by

$$|f(s, t) - f(x, y)| \leq \omega_2 \left( f : \left| \frac{s}{1+s} - \frac{x}{1+x} \right|, \left| \frac{t}{1+t} - \frac{y}{1+y} \right| \right)$$

for each  $f \in H_{\omega_2}$ . Details of the modulus of continuity for the bivariate case can be found in [28].

Now, we will investigate Korovkin type approximation properties by using the following test functions:

$$e_0(u, v) = 1, e_1(u, v) = \frac{u}{1+u}, e_2(u, v) = \frac{v}{1+v}, e_3(u, v) = \left( \frac{u}{1+u} \right)^2 + \left( \frac{v}{1+v} \right)^2.$$

#### Lemma 4.

1.  $\mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}}(e_0; x, y) = \frac{q_{n_1} q_{n_2}}{p_{n_1} p_{n_2}},$

$$\begin{aligned}
 2. \quad & \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(e_1; x, y) = \frac{q_{n_1} q_{n_2} \binom{[n_1]}{p_{n_1} q_{n_1}}}{p_{n_2} \binom{[n_1+1]}{p_{n_1} q_{n_1}}} \frac{x}{1+x} \\
 3. \quad & \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(e_2; x, y) = \frac{q_{n_1} q_{n_2} \binom{[n_2]}{p_{n_2} q_{n_2}}}{p_{n_1} \binom{[n_2+1]}{p_{n_2} q_{n_2}}} \frac{y}{1+y} \\
 4. \quad & \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(e_3; x, y) \\
 &= \frac{q_{n_1}^4 q_{n_2} \binom{[n_1]}{p_{n_1} q_{n_1}} \binom{[n_1-1]}{p_{n_1} q_{n_1}}}{p_{n_2} \binom{[n_1+1]}{p_{n_1} q_{n_1}}^2} \frac{x^2}{(1+x)(p_{n_1} + q_{n_1} x)} + \frac{p_{n_1}^{n_1-1} q_{n_1}^2 q_{n_2} \binom{[n_1]}{p_{n_1} q_{n_1}}}{p_{n_2} \binom{[n_1+1]}{p_{n_1} q_{n_1}}^2} \frac{x}{1+x} \\
 &+ \frac{q_{n_1} q_{n_2}^4 \binom{[n_2]}{p_{n_2} q_{n_2}} \binom{[n_2-1]}{p_{n_2} q_{n_2}}}{p_{n_1} \binom{[n_2+1]}{p_{n_2} q_{n_2}}^2} \frac{y^2}{(1+y)(p_{n_2} + q_{n_2} y)} + \frac{p_{n_2}^{n_2-1} q_{n_2}^2 q_{n_1} \binom{[n_2]}{p_{n_2} q_{n_2}}}{p_{n_1} \binom{[n_2+1]}{p_{n_2} q_{n_2}}^2} \frac{y}{1+y}.
 \end{aligned}$$

Let  $(p_{n_1}), (p_{n_2}), (q_{n_1})$  and  $(q_{n_2})$  be the sequences that converge statistically to 1 but not convergent in ordinary sense, so it can be written as for  $0 < q_{n_1}, q_{n_2} < p_{n_1}, p_{n_2} \leq 1$ ,

$$st - \lim_{n_1} p_{n_1} = st - \lim_{n_2} p_{n_2} = st - \lim_{n_1} q_{n_1} = st - \lim_{n_2} q_{n_2} = 1. \tag{19}$$

Now, with condition (19), let us show the statistical convergence of the sequence of bivariate operators (18).

**Theorem 7.** Let  $(p_{n_1}), (p_{n_2}), (q_{n_1})$  and  $(q_{n_2})$  be the sequences satisfying the condition (19) and let  $\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f; x)$  be the sequence of bivariate positive linear operators acting from  $H_{\omega_2}(\mathbb{R}_+^2)$  into  $C_B(\mathbb{R}_+)$ . Then, for any  $f \in H_{\omega_2}$ ,

$$st - \lim_{n_1, n_2} \|\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f) - f\| = 0.$$

**Proof.** Using Lemma 4, the proof can be achieved similarly the proof of Theorem 4.  $\square$

### 6. Rates of Convergence of the Bivariate Operators

For  $f \in H_{\omega_2}(\mathbb{R}_+^2)$ , modulus of continuity for bivariate case is defined as follows [28]:

$$\begin{aligned}
 \tilde{\omega}(f; \delta_1, \delta_2) = \sup_{x, s \geq 0} \left\{ |f(s, t) - f(x, y)|; \left| \frac{s}{1+s} - \frac{x}{1+x} \right| \leq \delta_1, \right. \\
 \left. \left| \frac{t}{1+t} - \frac{y}{1+y} \right| \leq \delta_2, (s, t) \in \mathbb{R}_+^2, (x, y) \in \mathbb{R}_+^2 \right\}.
 \end{aligned}$$

Here,  $\tilde{\omega}(f; \delta_1, \delta_2)$  satisfies the conditions:

$$\tilde{\omega}(f; \delta_1, \delta_2) \rightarrow 0 \text{ if } \delta_1, \delta_2 \text{ tend to } 0, \text{ and}$$

$$|f(s, t) - f(x, y)| \leq \tilde{\omega}(f; \delta_1, \delta_2) \left( 1 + \frac{\left| \frac{s}{1+s} - \frac{x}{1+x} \right|}{\delta_1} \right) \left( 1 + \frac{\left| \frac{t}{1+t} - \frac{y}{1+y} \right|}{\delta_2} \right). \tag{20}$$

Now, we give the rate of the statistical convergence of the bivariate operators (18) by means of modulus of continuity in  $H_{\omega_2}$ :

**Theorem 8.** Let  $(p_{n_1}), (p_{n_2}), (q_{n_1})$  and  $(q_{n_2})$  be the sequences satisfying the condition (19). Then, we have

$$|\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f; x, y) - f(x, y)| \leq 4\omega \left( f; \sqrt{\delta_{n_1}(x)} \sqrt{\delta_{n_2}(y)} \right), \tag{21}$$

where

$$\delta_{n_1}(x) = \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left( \frac{s}{1+s} - \frac{x}{1+x} \right)^2; x, y \right), \tag{22}$$

$$\delta_{n_2}(y) = \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}} \left( \left( \left| \frac{t}{1+t} - \frac{y}{1+y} \right| \right)^2 ; x, y \right). \tag{23}$$

**Proof.** By using (20), we have

$$\begin{aligned} & |\mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}}(f; x, y) - f(x, y)| \leq \tilde{\omega}(f; \delta_1, \delta_2) \\ & \times \left\{ \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}}(e_0; x, y) + \frac{1}{\delta_{n_1}} \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}} \left( \left| \frac{s}{1+s} - \frac{x}{1+x} \right| ; x, y \right) \right\} \\ & \times \left\{ \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}}(e_0; x, y) + \frac{1}{\delta_{n_2}} \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}} \left( \left| \frac{t}{1+t} - \frac{y}{1+y} \right| ; x, y \right) \right\}. \end{aligned} \tag{24}$$

Using Cauchy–Schwarz inequality (see (6)), we have

$$\begin{aligned} & \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}} \left( \left| \frac{s}{1+s} - \frac{x}{1+x} \right| ; x, y \right) \\ & \leq \left\{ \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}} \left( \left( \left| \frac{s}{1+s} - \frac{x}{1+x} \right| \right)^2 ; x, y \right) \right\}^{\frac{1}{2}} \left\{ \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}}(e_0^2; x, y) \right\}^{\frac{1}{2}}, \end{aligned}$$

and

$$\begin{aligned} & \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}} \left( \left| \frac{t}{1+t} - \frac{y}{1+y} \right| ; x, y \right) \\ & \leq \left\{ \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}} \left( \left( \left| \frac{t}{1+t} - \frac{y}{1+y} \right| \right)^2 ; x, y \right) \right\}^{\frac{1}{2}} \left\{ \mathcal{B}_{n_1, n_2}^{p_{n_1}, p_{n_2}, q_{n_1}, q_{n_2}}(e_0^2; x, y) \right\}^{\frac{1}{2}}. \end{aligned}$$

Putting above inequalities in (24) and choosing  $\delta_{n_1}(x)$  and  $\delta_{n_2}(y)$  as in (22) and (23), respectively, we get our desired result (21). The theorem is completed.  $\square$

In the end, we will present the rates of statistical convergence of the bivariate operators (18) by means of Lipschitz type maximal functions.

Let us give the Lipschitz type maximal function space for the bivariate case on  $E \times E \subset \mathbb{R}_+ \times \mathbb{R}_+$  as

$$\begin{aligned} \tilde{W}_{\alpha_1, \alpha_2, E^2} = \left\{ f : \sup (1+s)^{\alpha_1} (1+t)^{\alpha_2} \tilde{f}_{\alpha_1, \alpha_2}(x, y) \leq M \frac{1}{(1+x)^{\alpha_1}} \frac{1}{(1+y)^{\alpha_2}} ; \right. \\ \left. x, y \geq 0, (s, t) \in E^2 \right\}. \end{aligned} \tag{25}$$

Here,  $f$  is a bounded and continuous function in  $\mathbb{R}_+$ ,  $M$  is a positive constant and  $0 \leq \alpha_1, \alpha_2 \leq 1$ , and then let us define  $\tilde{f}_{\alpha_1, \alpha_2}$  as follows:

$$\tilde{f}_{\alpha_1, \alpha_2}(x, y) = \sup_{s, t > 0} \frac{|f(s, t) - f(x, y)|}{|s-x|^{\alpha_1} |t-y|^{\alpha_2}}.$$

**Theorem 9.** Let  $(p_{n_1}), (p_{n_2}), (q_{n_1})$  and  $(q_{n_2})$  be the sequences satisfying the condition (19). Then, we have

$$\begin{aligned}
 |\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f; x, y) - f(x, y)| &\leq M \left( \frac{q_{n_1} q_{n_2}}{p_{n_1} p_{n_2}} \right) \\
 &\times \left\{ \delta_{n_1}(x)^{\frac{\alpha_1}{2}} \delta_{n_2}(y)^{\frac{\alpha_2}{2}} \left( \frac{q_{n_1} q_{n_2}}{p_{n_1} p_{n_2}} \right)^{1 - \frac{\alpha_1 + \alpha_2}{2}} + \delta_{n_1}(x)^{\frac{\alpha_1}{2}} d(y, E)^{\alpha_2} \left( \frac{q_{n_1} q_{n_2}}{p_{n_1} p_{n_2}} \right)^{-\frac{\alpha_1}{2}} \right. \\
 &\left. + \delta_{n_2}(y)^{\frac{\alpha_2}{2}} d(x, E)^{\alpha_1} \left( \frac{q_{n_1} q_{n_2}}{p_{n_1} p_{n_2}} \right)^{-\frac{\alpha_2}{2}} + 2d(x, E)^{\alpha_1} d(y, E)^{\alpha_2} \right\},
 \end{aligned}$$

where  $0 < \alpha_1, \alpha_2 \leq 1, d(x, E) = \inf\{|x - y| : y \in E\}, \delta_{n_1}(x)$  and  $\delta_{n_2}(y)$  are defined as in (22) and (23), respectively.

**Proof.** Let  $x, y \geq 0$  and  $(x_0, y_0) \in E^2$ . Then, we can write

$$|f(s, t) - f(x, y)| \leq |f(s, t) - f(x_0, y_0)| + |f(x_0, y_0) - f(x, y)|.$$

Applying the positive linear operators  $\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f; x)$  on both the sides of the above inequality and using (25), we obtain

$$\begin{aligned}
 &|\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f; x, y) - f(x, y)| \\
 &\leq \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(|f(s, t) - f(x_0, y_0)|; x, y) \\
 &\quad + |f(x_0, y_0) - f(x, y)| \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(e_0; x, y) \\
 &\leq M \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left| \frac{s}{1+s} - \frac{x_0}{1+x_0} \right|^{\alpha_1} \left| \frac{t}{1+t} - \frac{y_0}{1+y_0} \right|^{\alpha_2}; x, y \right) \\
 &\quad + M \left| \frac{x}{1+x} - \frac{x_0}{1+x_0} \right|^{\alpha_1} \left| \frac{y}{1+y} - \frac{y_0}{1+y_0} \right|^{\alpha_2} \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(e_0; x, y). \tag{26}
 \end{aligned}$$

It is known that  $(u + v)^\alpha \leq u^\alpha + v^\alpha$  and  $0 \leq \alpha \leq 1$ , so it can be written as

$$\begin{aligned}
 \left| \frac{s}{1+s} - \frac{x_0}{1+x_0} \right|^{\alpha_1} &\leq \left| \frac{s}{1+s} - \frac{x}{1+x} \right|^{\alpha_1} + \left| \frac{x}{1+x} - \frac{x_0}{1+x_0} \right|^{\alpha_1} \\
 \left| \frac{t}{1+t} - \frac{y_0}{1+y_0} \right|^{\alpha_2} &\leq \left| \frac{t}{1+t} - \frac{y}{1+y} \right|^{\alpha_2} + \left| \frac{y}{1+y} - \frac{y_0}{1+y_0} \right|^{\alpha_2}.
 \end{aligned}$$

By using the above inequalities in (26), we have

$$\begin{aligned}
 &|\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f; x, y) - f(x, y)| \\
 &\leq \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left| \frac{s}{1+s} - \frac{x}{1+x} \right|^{\alpha_1} \left| \frac{t}{1+t} - \frac{y}{1+y} \right|^{\alpha_2}; x, y \right) \\
 &\quad + \left| \frac{y}{1+y} - \frac{y_0}{1+y_0} \right|^{\alpha_2} \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left| \frac{s}{1+s} - \frac{x}{1+x} \right|^{\alpha_1}; x, y \right) \\
 &\quad + \left| \frac{x}{1+x} - \frac{x_0}{1+x_0} \right|^{\alpha_1} \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left| \frac{t}{1+t} - \frac{y}{1+y} \right|^{\alpha_2}; x, y \right) \\
 &\quad + \left| \frac{x}{1+x} - \frac{x_0}{1+x_0} \right|^{\alpha_1} \left| \frac{y}{1+y} - \frac{y_0}{1+y_0} \right|^{\alpha_2} \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(e_0; x, y).
 \end{aligned}$$

Using Hölder's inequality with  $p_1 = \frac{2}{\alpha_1}$ ,  $p_2 = \frac{2}{\alpha_2}$ ,  $q_1 = \frac{2}{2-\alpha_1}$ ,  $q_2 = \frac{2}{2-\alpha_2}$  (see (5)), we obtain

$$\begin{aligned} & \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left| \frac{s}{1+s} - \frac{x}{1+x} \right|^{\alpha_1} \left| \frac{t}{1+t} - \frac{y}{1+y} \right|^{\alpha_2}; x, y \right) \\ &= \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left| \frac{s}{1+s} - \frac{x}{1+x} \right|^{\alpha_1}; x, y \right) \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \left| \frac{t}{1+t} - \frac{y}{1+y} \right|^{\alpha_2}; x, y \right) \\ &\leq \left( \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \frac{s}{1+s} - \frac{x}{1+x} \right)^2; x, y \right)^{\frac{\alpha_1}{2}} \left( \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} (e_0^2; x, y) \right)^{\frac{2-\alpha_1}{2}} \\ &\quad \times \left( \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} \left( \frac{t}{1+t} - \frac{y}{1+y} \right)^2; x, y \right)^{\frac{\alpha_2}{2}} \mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}} (e_0^2; x, y)^{\frac{2-\alpha_2}{2}}. \end{aligned}$$

If we use the above inequality in (26), we get our desired result. Thus, the proof is completed.  $\square$

**Corollary 2.** If we take  $E = [0, \infty)$ , then because of  $d(x, E) = 0$  and  $d(y, E) = 0$ , we have

$$|\mathcal{B}_{n_1, n_2}^{p_{n_1} p_{n_2} q_{n_1} q_{n_2}}(f; x, y) - f(x, y)| \leq M \left( \frac{q_{n_1} q_{n_2}}{p_{n_1} p_{n_2}} \right)^{2 - \frac{\alpha_1 + \alpha_2}{2}} \delta_{n_1}(x)^{\frac{\alpha_1}{2}} \delta_{n_2}(y)^{\frac{\alpha_2}{2}},$$

where  $\delta_{n_1}(x)$  and  $\delta_{n_2}(y)$  are same as defined as in (22) and (23), respectively.

## 7. Conclusions

In this paper, we have constructed  $(p, q)$ -BBH operators and calculated some auxiliary results for these newly defined operators. We also established Korovkin type results and rate of convergence in a statistical sense. Furthermore, we constructed the bivariate  $(p, q)$ -BBH operators and computed rate of statistical convergence for the bivariate  $(p, q)$ -BBH operators. Our results are more general than the results for BBH and  $q$ -BBH operators.

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Article

# Optimizing a Password Hashing Function with Hardware-Accelerated Symmetric Encryption

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**Abstract:** Password-based key derivation functions (PBKDFs) are commonly used to transform user passwords into keys for symmetric encryption, as well as for user authentication, password hashing, and preventing attacks based on custom hardware. We propose two optimized alternatives that enhance the performance of a previously published PBKDF. This design is based on (1) employing a symmetric cipher, the Advanced Encryption Standard (AES), as a pseudo-random generator and (2) taking advantage of the support for the hardware acceleration for AES that is available on many common platforms in order to mitigate common attacks to password-based user authentication systems. We also analyze their security characteristics, establishing that they are equivalent to the security of the core primitive (AES), and we compare their performance with well-known PBKDF algorithms, such as Scrypt and Argon2, with favorable results.

**Keywords:** symmetric; encryption; password; hash; cryptography; PBKDF

## 1. Introduction

Key derivation functions are employed to obtain one or more keys from a master secret. This is especially useful in the case of user passwords, which can be of arbitrary length and are unsuitable to be used directly as fixed-size cipher keys, so, there must be a process for converting passwords into secret keys. This process is performed by password-based key derivation functions (PBKDFs). PBKDFs are also called password hashing functions, and they are commonly employed in user authentication since they have certain advantages over other password processing methods: they are capable of accepting a salt, preventing precalculated table attacks; they are one-way functions (much as ordinary cryptographic hash functions), so the hashed password database cannot be reversed if it is stolen; and they can usually be parameterized in terms of temporal and memory cost to prevent attacks based on massively parallel hardware, like general-purpose graphical processing units (GPGPU) or custom hardware. Password hashing is a field of active research (see [1,2]), with several recent publications [3–10] that improve the current industry standard (PBKDF2, see [11]). Besides password hashing and key derivation, PBKDFs have found applications in the field of cryptocurrencies and blockchain algorithms, where they are used as proof-of-work functions for such designs (see [12]).

Symmetric encryption (see [13]) is a type of cryptography that employs the same (or easily derivable from one another) keys for encryption and decryption, hence the establishment of a symmetric process from *cleartext* to *ciphertext* and, back again, from *ciphertext* to *cleartext*. There are two basic kinds of symmetric cryptosystems: block ciphers and stream ciphers; they differ in that block ciphers have no internal state and usually process data in blocks, while stream ciphers



do have an internal state and process data element by element (an element is usually a bit or a byte of data). Nevertheless, most block ciphers can be run in operation modes that make them work as stream ciphers; such is the case in our proposal, where we employ the current advanced encryption standard (AES, [14]) in counter (CTR) mode to work as a stream cipher in the role of a pseudo-random number generator (PRNG); the use of AES as a PRNG has been proposed by the United States National Institute of Standards and Technology (NIST, see [15]). Besides having been independently tested for almost two decades and considered secure by the community, AES has the advantage of being accelerated in hardware on most common modern processors, like those found on laptop, desktop or server machines we use nowadays.

The main contributions of this paper are two different optimizations of a previously proposed PBKDF (see [3]) that favorably compare in performance to the original version and widely employed PBKDFs, such as Scrypt [7] and Argon2 [5]. This is significant for user authentication applications that are based on passwords, as well as in blockchain applications where a proof-of-work algorithm is required. Taking advantage of the fact that hardware acceleration support is available for AES, our proposed PBKDF design reduces the performance advantage of attackers employing GPGPU or custom hardware since its main core primitive is also run on hardware.

The rest of the paper is structured as follows: a short review on related work is included in Section 2, the proposed optimized algorithms are described in Section 3, the results obtained by our studies are presented in Section 4, the significance of the results are discussed in Section 5, while the testing methodology is detailed in Section 6, followed by some conclusions and future lines of work in Section 7.

## 2. Related Work

There is abundant recent literature on the connection between symmetry and cryptography. Chang et al. proposed a mobility network authentication scheme based on elliptic-curve cryptography (see [16]) that ensures anonymity, security, and convenience. Hung et al. designed a lattice-based revocable certificateless signature scheme (see [17]) that aims to resist cryptanalysis, even in the post-quantum era. Sakalauskas et al. improved upon an asymmetric cipher based on the matrix power function (see [18]) to avoid a successful discrete logarithm attack against the original version. Ramadan et al. published a survey of public key infrastructure (PKI)-based security for mobile systems (see [19]) covering aspects such as authentication, key agreement, and privacy.

Qiao et al. described a black-box traceable ciphertext-policy attribute-based encryption (CP-ABE, see [20,21]) that is scalable and efficient and, therefore, better suited for cryptographic cloud storage. Zhu et al. cryptanalyzed an image encryption algorithm based on a chaos s-box (see [22]), proposing an improved version with better security and performance. Park et al. described the use cases, challenges, and solutions involved in the application of blockchain-based security technologies to cloud computing (see [23]).

Chang et al. proposed password-authenticated key exchange and protected password change protocols that do not involve symmetric or asymmetric cryptosystems (see [24]), basing the security on the computational Diffie–Hellman assumption in the random oracle model. Nam et al. presented a provably secure three-party password-only authenticated key exchange protocol (see [25]) that can run in only two rounds of communication.

Regarding PBKDF and password hashing functions, the finalists to the Password Hashing Competition (*Argon2* [5], *Catena* [6], *Makwa* [8], *Lyra2* [9], and *Yescrypt* [10]) are highly relevant functions related to our proposed optimized PBKDF. Also, there is previous work by the authors in this field, including the original version of the proposal ([3]) and others (see [4,26]).

## 3. Description

In the following, we describe the parameters and elements, as well as the design, of the original PBKDF function and the proposed optimized versions. There are three variants of our proposal:

the original version (*AESCTR-o*, published in [3]); the intermediate optimization step (*AESCTR-i*); and the final optimization (*AESCTR-f*). We use a pseudocode notation loosely based on the Go language to describe the initialization and output stages of the proposal.

### 3.1. Parameters

Our proposal and most PBKDF designs share a very similar set of parameters, mainly including the user password (*pass[]*) and random salt (*salt[]*) byte strings to be hashed, the length of the output hash to be generated (*plen*), and some kinds of cost parameters. Those PBKDFs that have been designed to slow down attackers employing GPGPU or specialized hardware usually involve two cost parameters: a time cost (*ptime*) and a memory cost (*pmem*) that, due to its nature, tends to influence the time cost as well. These parameters are the same as in the original version (see [3] for more details).

Most of the variables of the algorithm are unchanged as well; *M[]* is the main memory buffer that is parameterized by *plen* and *pmem*, while *out[]* constitutes the output hash of the function. Also, *M64[]* and *out64[]* are employed in the final optimization (*AESCTR-f*) to perform 64-bit native operations for performance reasons.

The algorithm employs SHA3-256 as a secure cryptographic hash function (see [27]) during the initial seeding phase, and AES-128 (see [14]) is used in CTR mode as a pseudo-random generator; both of these could be swapped for different, equivalent primitives were it necessary in the future.

### 3.2. Initialization

The initialization stage is unchanged from the original version of the algorithm (see [3]) and is reproduced here in Figure 1. We have added comments to detail the seeding and buffer initialization steps.

```
// Generate a 256-bit hash (seed) from the password and salt
fH := sha3.New256()
fH.Write(pass)
fH.Write(0)
fH.Write(salt)
seed := fH.Sum(nil)

// Build an AES-128-CTR instance from the seed value
blk := aes.NewCipher(seed[0:16])
fC := cipher.NewCTR(blk, seed[16:32])

// Allocate and fill output buffer
out := make([]byte, plen)
fC.XORKeyStream(out, out)

// Allocate and fill memory buffer
M := make([]byte, pmem*plen)
fC.XORKeyStream(M, M)
```

Figure 1. Initialization stage pseudocode (common to all variants).

### 3.3. Output

The intermediate optimization attempts to improve the performance of the original version (see [3]) by avoiding AES encryption steps inside the loops and just encrypting the *out[]* buffer as the last step. For this reason, there is a second inner loop that generates a new index so that a different row from *M[]* can be processed into *out[]*. These modifications are shown in Figure 2 (pseudocode) and in Figure 3 (flow diagram).

```

for t := 0; t < ptime; t++ {
for m := 0; m < pmem; m++ {
i := (int(out[0:8])%pmem) * plen
for o := 0; o < len(out); o++ {
M[i+o] -= out[o]
}
i = (i*i) % pmem
for o := 0; o < len(out); o++ {
out[o] -= (M[i+o] ^ out[o])
}
}
}
fC.XORKeyStream(out, out)

```

Figure 2. Intermediate (*AESCTR-i*) output stage pseudocode.

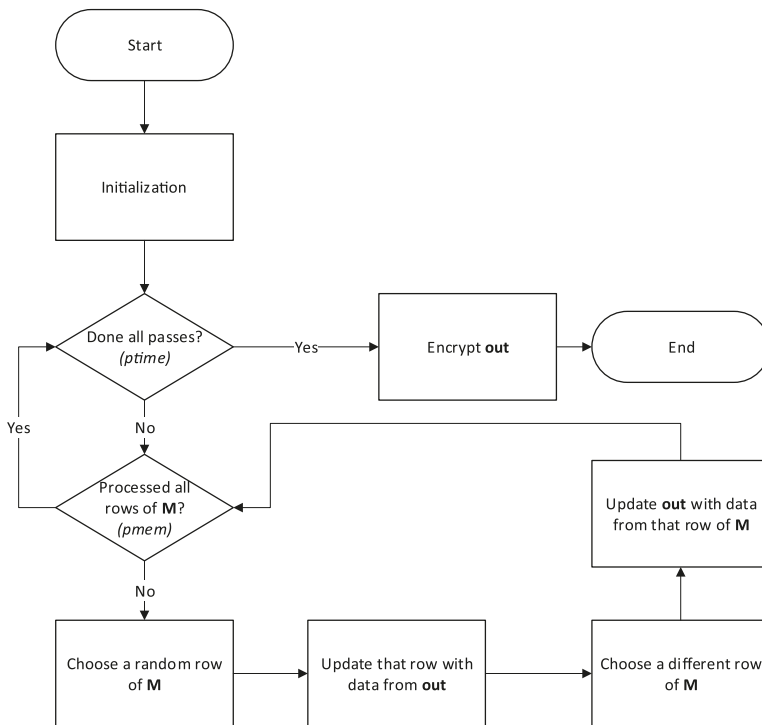


Figure 3. Flow diagram for the intermediate (*AESCTR-i*) output stage.

The final optimization further improves the performance by avoiding writing back to  $M[i]$  and having a second inner loop. Also, memory access and operations are performed in 64-bit. The differences between the final and intermediate optimizations are shown in Figure 4 (pseudocode) and in Figure 5 (flow diagram).

```

for t := 0; t < ptime; t++ {
for m := 0; m < pmem; m++ {
i := (int(out[0:8])%pmem) * plen/8
for o := 0; o < len(out); o++ {
out64[o] -= (M64[i+o] ^ out64[o])
}
}
}
fC.XORKeyStream(out, out)

```

Figure 4. Final (AESCTR-f) output stage pseudocode.

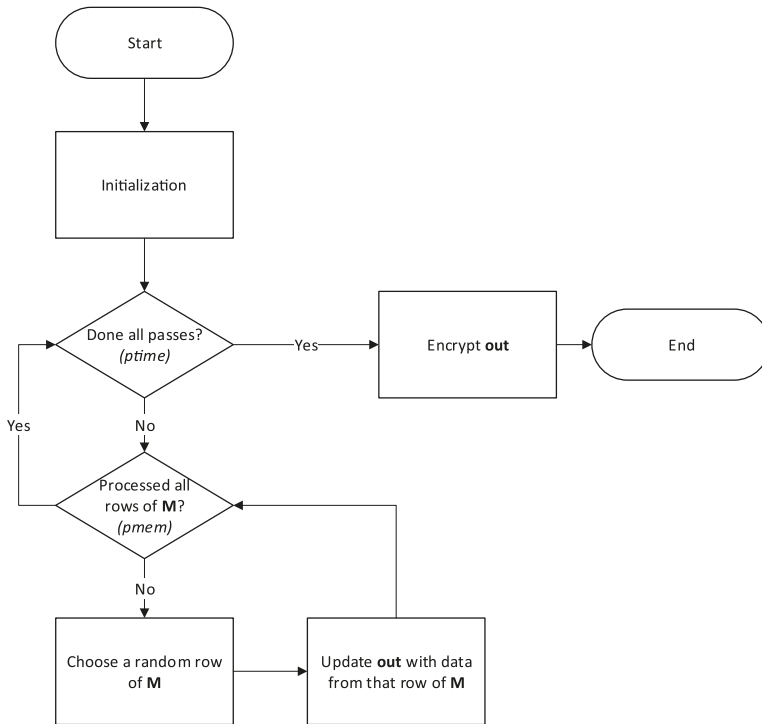


Figure 5. Flow diagram for the final (AESCTR-f) output stage.

#### 4. Results

Regarding performance, we benchmarked in the following the optimized proposals, together with the original version ([3]), when modulating the time (*ptime*) and space (*pmem*) complexity parameters. The testing methodology is detailed in Section 6.

In Figure 6, we present the computational cost (execution time in logarithmic scale) of all three variants of the proposal as the *pmem* parameter modulation ranges from  $2^8$  to  $2^{23}$  entries of 32 bytes (a memory usage varying from 8 KB to 256 MB) and with *ptime* = 1. We can see that the intermediate optimization is a significant improvement over the original function, but it is clearly overtaken by the final optimization, which is about 5 times faster than the original version.

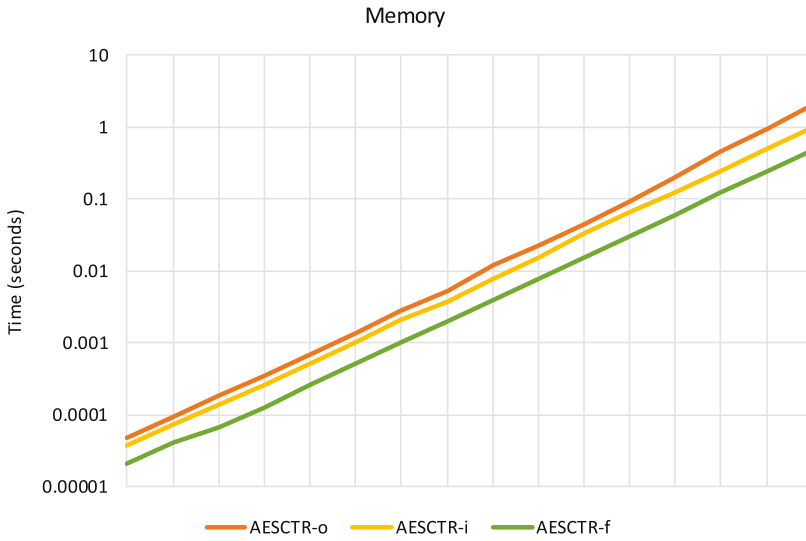


Figure 6. Performance while modulating the spatial cost parameter (*pmem*).

Figure 7 shows the behavior of the proposed optimizations when the time parameter is modulated. In this test, *ptime* values range from 1 to  $2^{15}$  passes and memory usage (*pmem*) is kept constant at  $2^8$  entries of *plen* bytes (8 KB of memory). In this case, the difference in performance of the final optimization (*AESCTR-f*) is more pronounced than in the case of the memory parameter.

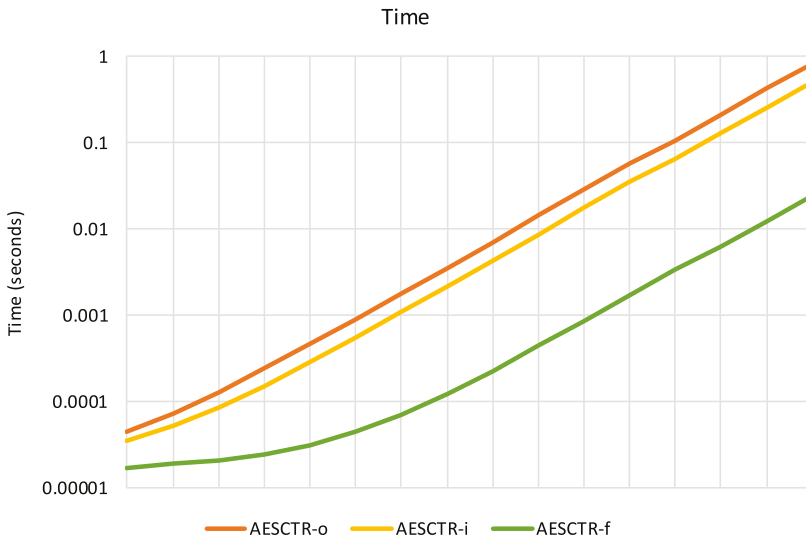


Figure 7. Performance while modulating the temporal cost parameter (*ptime*).

Figure 8 represents the execution time (in logarithmic scale) when both parameters, *pmem* and *ptime*, are simultaneously modulated in a double *for* loop. The outer loop is *pmem*, corresponding to the

number of entries in the main memory buffer,  $M[]$ , going from  $2^8$  to  $2^{15}$ , and the inner loop corresponds to  $ptime$ , ranging from 1 to  $2^7$ ; the maximum amount of memory usage is 128 MB and occurs when  $pmem = 2^{15}$  and  $ptime = 2^7$ . The sawtooth shapes are expected in a double-loop arrangement. In this combined benchmark, the performance gain achieved with the final optimization is readily apparent.

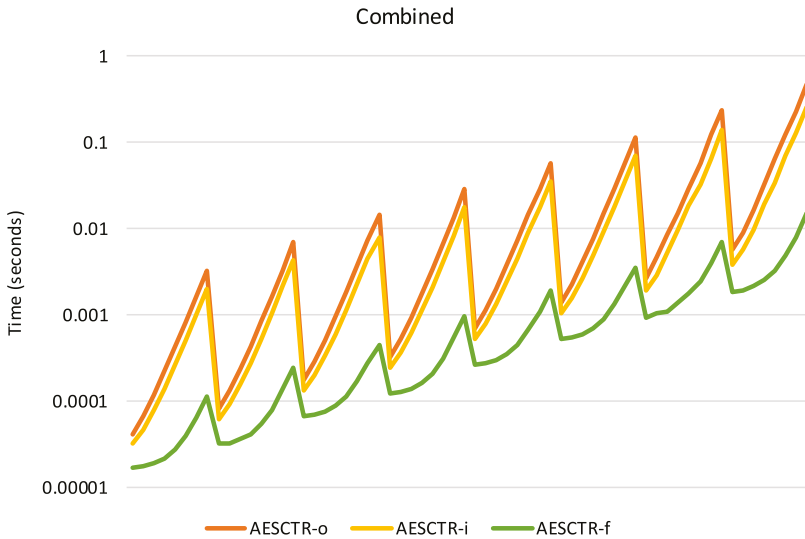


Figure 8. Performance while simultaneously modulating both  $ptime$  and  $pmem$  cost parameters.

## 5. Discussion

In the following, we discuss the security characteristics of our proposal and compare all three variants to Scrypt and Argon2 in terms of performance.

### 5.1. Comparison with Scrypt and Argon2

Scrypt (see [7]) is a PBKDF that was designed by Colin Percival in 2009. It has been employed for many services and applications, acting as a de facto standard in recent years. It has also been employed as a proof-of-work algorithm in some blockchain implementations.

Argon2 (see [5]) became the Password Hashing Competition (PHC) winner in 2015, overcoming finalists such as Catena [6], Lyra2 [9], Yescrypt [10], and Makwa [8]. It provides very interesting options, such as data-dependent or data-independent memory access, and is gaining traction in many new secure authentication implementations.

As shown in Figure 9, for an equal amount of memory, Scrypt is slower than all three variants of the proposed AES-CTR algorithm, while Argon2 is a very efficient algorithm, but the final optimization (AESCTR-f) is slightly faster than Argon2. Moreover, the *speedup* between our proposal and Argon2 increases with memory usage, as shown in Table 1.

It is interesting to note that while Argon2 has been implemented using Intel's SSE4 instruction set and our proposal takes advantage of the native AES instructions, Scrypt does not benefit directly from the hardware acceleration available in modern processors. More details regarding the testing methodology are included in Section 6.

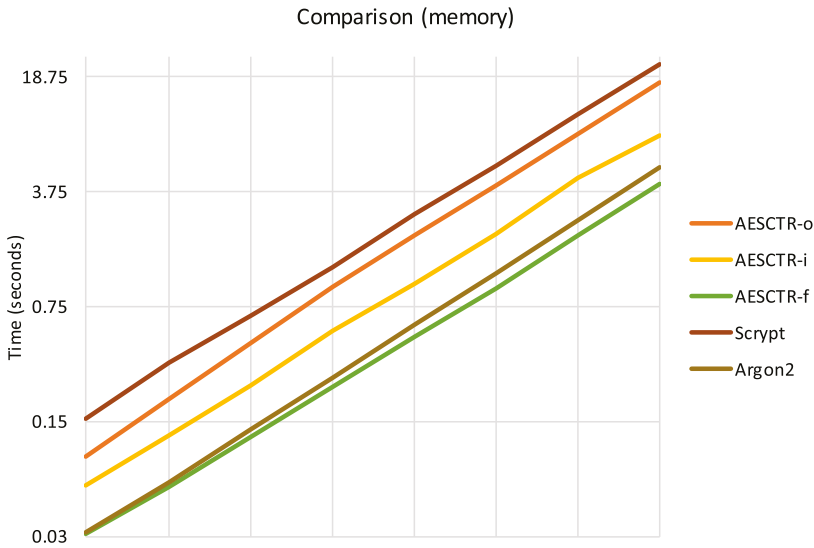


Figure 9. Comparison with Scrypt and Argon2.

Table 1. Speedup between the final optimization and Argon2.

pmem	AESCTR-f (s)	Argon2 (s)	Speedup
8	0.03	0.03	1.04
9	0.06	0.06	1.07
10	0.12	0.13	1.11
11	0.24	0.28	1.16
12	0.49	0.58	1.19
13	0.98	1.21	1.24
14	2.02	2.51	1.25
15	4.15	5.27	1.27

## 5.2. Security

This design is based on two different cryptographic primitives: a pseudo-random generator that provides the initial contents of the memory and output buffers, and a hash function that processes the user password and salt and produces a seed for this pseudo-random generator. We employed a symmetric cipher, AES-128 [14] in CTR mode, as the pseudo-random generator and SHA3-256 [27] as the hash function that provides the seed (128-bit key and initialization vector) for AES.

Both of these cryptographic primitives are well-known, independently tested current standards. Nevertheless, if they were to be deemed insecure in the future, they could be replaced by different, size-compatible, secure alternatives.

The proposed optimized design should be on par with the original version and these primitives at a minimum-security level of 128 bits against brute force attacks since the output of the PBKDF (in all three variants) comes directly from the output of the AES-128 symmetric cipher.

## 6. Methods

We employed the Go programming language (version 1.11.1, see [28]) for the implementation of all tested algorithms; Go is an excellent choice for cryptography testing since it is a very efficient, compiled language and includes most security standards and algorithms in its standard library. All benchmarks were run on the same computer, a desktop PC with an Intel i7 CPU (6950X, 3.5

GHz and with AES Native Instructions support) and 32 GB of RAM, running Microsoft Windows 10 (1803 release). The length for passwords, salts, and output was chosen as 32 bytes (256 bits), and all tests were run 100 times to avoid the interference from external processes as much as possible.

In the case of the comparison benchmarks, the official implementations available in the [golang.org/x/crypto](http://golang.org/x/crypto) packages were used for Scrypt and Argon2 testing. It should be noted that this implementation of Argon2 supports hardware acceleration via SSE4 instructions and that the recommended parameters (three passes) and a single thread were used. To ensure fair testing, an equal amount of RAM usage was chosen for each algorithm in all comparison tests.

## 7. Conclusions

We optimized a previously published password-based key derivation function that employs the Advanced Encryption Standard (AES) in counter mode as a core primitive, proposing two new algorithms based on the original design: a more conservative optimization and a fully optimized one. The design philosophy is based on taking advantage of the custom AES instructions available on most modern processors that enable hardware support to defend against brute force password cracking attacks mounted on specialized hardware or general-purpose graphical processing units.

We have also analyzed the performance of all three variants in comparison with Scrypt and Argon2, which are the current industry standards in terms of password hashing functions. The final optimization version of the algorithm (*AESCTR-f*) is faster than Argon2 for an equal amount of memory usage, showing that AES can be an excellent candidate for the design of password hashing functions. Moreover, since the design is based primarily on AES in counter mode as a pseudo-random generator and the final output is directly encrypted with AES, we can establish that our proposal is equivalent, in terms of security, to this extensively analyzed encryption standard.

For future research, there are several possible interesting topics, such as server-side ROM, client-independent update, server relief, parallelism, or specialized implementations, among others.

*Server-side ROM* is an extra security measure that involves employing a very big random file on the server as part of the hashing process. In this way, an attacker would have to produce the same random file, and the large amount of memory required would further deter the use of specialized hardware. Our proposal can be adapted to accept such a file as part of the algorithm without compromising its performance or security.

*Client-independent update* is the capability of changing PBKDF parameters without the need for the user to reenter the password. This is a convenient feature in password authentication since the server can increase the security of the PBKDF as necessary but without any friction for the end users. This can be performed without modification to the proposed algorithm by multiple-step processing, but other optimized methods might be possible.

*Server relief* implies delegating part of the PBKDF computation to the end user so that the server is less impacted by computational requirements of password-authenticating a large number of users simultaneously. It is, in essence, a way of increasing the parallelism of the server and reducing the advantage that attackers might have by using general-purpose graphical processing units or other specialized hardware. This usually involves some kind of protocol in order to share the computational load between the server and the user node in a secure manner.

*Multiple-thread parallelism* can also be studied and incorporated by modifying the proposed final optimization (*AESCTR-f*). This can be useful in situations where server relief is not possible or when further parallelism is desired. Since Argon2 allows for multiple-thread parallelism, a comparison between the parallel performance scalability of the final optimization and Argon2 might be possible.

*Specialized implementation* on hardware platforms, such as general-purpose graphic processing units or field programmable gate arrays, could be very useful for further performance testing and optimization of the proposed PBKDF algorithm.

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**Conflicts of Interest:** the authors declare no conflict of interest.

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Article

# Complex Spirals and Pseudo-Chebyshev Polynomials of Fractional Degree

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**Abstract:** The complex Bernoulli spiral is connected to Grandi curves and Chebyshev polynomials. In this framework, pseudo-Chebyshev polynomials are introduced, and some of their properties are borrowed to form classical trigonometric identities; in particular, a set of orthogonal pseudo-Chebyshev polynomials of half-integer degree is derived.

**Keywords:** Bernoulli spiral; Grandi curves; Chebyshev polynomials; pseudo-Chebyshev polynomials; orthogonality property

**MSC:** 33C45; 12E10; 42C05; 14H50

## 1. Introduction

The purpose of this article is to emphasize some simple connections among mathematical objects apparently of different types as the Bernoulli spirals, the Grandi (rhodonea) curves, and the first and second kind Chebyshev polynomials. Namely, by considering polar coordinates and the complex form of the Bernoulli spiral, a straightforward connection between the real and imaginary part of the Bernoulli spiral with the Grandi curves follows. Even the Chebyshev polynomials come out immediately.

Since the rhodonea curves exist even for a fractional index, it is possible to define an extension of the first and second kind Chebyshev polynomials to the case of rational degree. Actually, the resulting functions are not polynomials, but irrational functions. However, several properties of these functions can be derived from their trigonometric definition, by using standard identities of circular functions. In particular, for the function of half-integer degree,  $T_{n+1/2}$  and  $U_{n+1/2}$ , the orthogonality property still holds, in the interval  $(-1, 1)$ , with respect to the same weight function of their polynomial counterparts.

The second section of the article is devoted to recalling the most simple examples of spirals, including the Archimedes, Bernoulli, Fermat, and other spirals, which can be derived by using an analogy with Cartesian coordinates. Namely, the above-mentioned spirals, considered in the plane  $(\rho, \theta)$ , correspond to elementary curves in the plane  $(x, y)$ , which are, respectively, straight lines, exponential, and power functions. This is, possibly, the motivation for the frequent occurrence of spirals or Grandi curves in natural forms (see, e.g., [1,2]).

## 2. Spirals

The Archimedes spiral [3] (Figure 1) has the polar equation:

$$\rho = a\theta, \quad (a > 0, \quad \theta \in \mathbf{R}). \quad (1)$$

If  $\theta > 0$ , the spiral turns counter-clockwise, if  $\theta < 0$ , the spiral turns clockwise.

Bernoulli’s (logarithmic) spiral [4] (Figure 1) has the polar equation:

$$\begin{aligned} \rho &= a b^\theta, \quad (a, b \in \mathbf{R}^+), \\ \theta &= \log_b \left( \frac{\rho}{a} \right). \end{aligned} \tag{2}$$

Varying the parameters  $a$  and  $b$ , one gets different types of spirals.

The coefficient  $a$  changes the size, and the term  $b$  controls it to be “narrow” and in what direction it wraps itself.

Since  $a$  and  $b$  are positive constants, some interesting cases are possible. The most studied logarithmic spiral is called harmonic, as the distance between coils is in the harmonic progression whose ratio is  $\phi = \frac{\sqrt{5}-1}{2}$ , that is, the “golden ratio” relevant to the unit segment.

The logarithmic spiral was discovered by René Descartes in 1638 and studied by Jakob Bernoulli (1654–1705).

Pierre Varignon (1654–1722) called it an equiangular spiral because:

1. The angle between the tangent at a point and the polar radius passing through that point is constant.
2. The angle of inclination with respect to the concentric circles with the center in the origin is also constant.

It is an example of a fractal. As it is written on J. Bernoulli’s tomb: *Eadem mutata resurgo*, but the spiral represented there is of the Archimedes type.

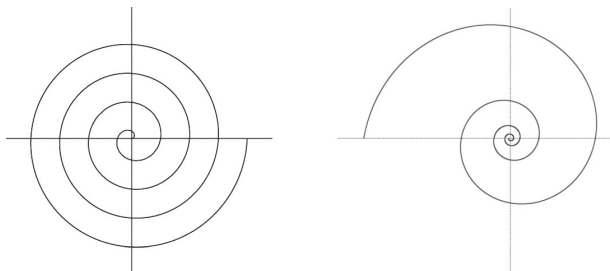


Figure 1. Archimedes’ vs. Bernoulli’s spiral.

Fermat’s spiral (or parabolic) (Figure 2) has the polar equation:

$$\rho = \pm a \theta^{1/2}. \tag{3}$$

Fermat’s (parabolic) spiral suggests the possibility of introducing intermediate graphs between Archimedes’ and Bernoulli’s spirals.

In fact, in the plane  $(\theta, \rho)$ , the graph of Archimedes’ spiral is a straight line, while the Bernoulli spiral has an exponential graph, and the Fermat spiral a parabolic graph.

Then, putting:

$$\rho = a \theta^{m/n}, \quad (m, n \text{ positive integers, } n \neq 0), \tag{4}$$

one gets a family of spirals at varying  $m$  and  $n$ .

Notice that, if  $m > n$ , the exponent being greater than one, the coils of the spiral are widening (Figure 2), while if  $m < n$ , the exponent is less than one, and therefore, the coils of the spiral are shrinking (as in Fermat’s case).

Another possibility is to assume  $\theta^{m/n}$ , where  $m/n < 0$  (in this case, the coils are wrapped around the origin (Figure 3) or to use a graph with horizontal asymptotes, in order to get an asymptotic spiral.

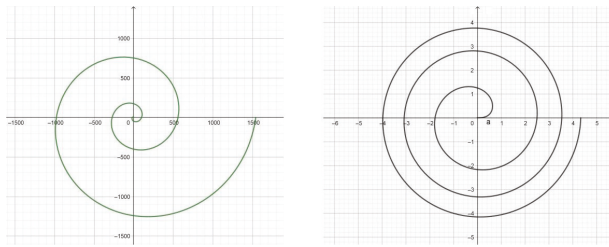


Figure 2. Spiral,  $\rho = \theta^{3/2}$ , and Fermat spiral,  $\rho = \theta^{1/2}$ .

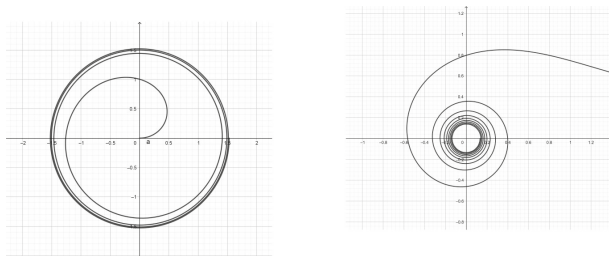


Figure 3. Spiral,  $\rho = \theta^{-1/2}$ , and asymptotic spiral,  $\rho = \arctan(\theta)$ .

In what follows, we consider a “canonical form” of the Bernoulli spirals assuming  $a = 1, b = e^n$ , that is the simplified polar equation:

$$\rho = e^{n\theta}, \quad (n \in \mathbf{N}). \tag{5}$$

### 3. The Complex Bernoulli Spiral

We now introduce the complex case, putting:

$$\rho = \Re\rho + i \Im\rho, \tag{6}$$

and considering a Bernoulli spiral of the type:

$$\rho = e^{in\theta} = \cos n\theta + i \sin n\theta. \tag{7}$$

Therefore, we have:

$$\rho_1 = \Re\rho = \cos n\theta, \quad \rho_2 = \Im\rho = \sin n\theta. \tag{8}$$

#### 3.1. Rhodonea Curves

The curves defined in polar coordinates by:

$$\rho_1 = \Re\rho = \cos n\theta, \tag{9}$$

are called rhodonea curves or Grandi roses (examples in Figure 4), by the name of Luigi Guido Grandi (1671–1742), who communicated his discovery in a letter to Leibniz in 1713 [5].

Curves of the type:

$$\rho_2 = \Im\rho = \sin n\theta, \tag{10}$$

are essentially equivalent to the preceding ones, up to a rotation of  $\frac{\pi}{2n}$  radians.

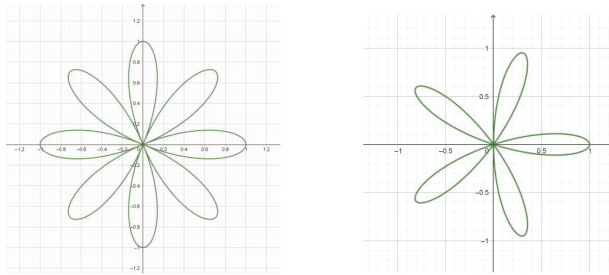


Figure 4. Rhodonea,  $\rho = \cos(4\theta)$ , and rhodonea,  $\rho = \cos(5\theta)$ .

### 3.2. Chebyshev Polynomials

The Chebyshev polynomials of the first and second kind were introduced by Pafnuty L. Chebyshev (1821–1894). They can be derived as the real and imaginary part of the exponential function  $e^{in\theta} = (\cos\theta + i\sin\theta)^n$  (see Equation (7)), putting  $x = \cos\theta$ , and using the Euler formula (see [6] for details).

The first kind Chebyshev polynomials are important in approximation theory and Gaussian quadrature rules. In fact, by using their roots—called Chebyshev nodes—the resulting interpolation polynomial minimizes the Runge phenomenon. Furthermore, the relevant approximation is the best approximation to a continuous function under the maximum norm.

Linked with these polynomials are also the Chebyshev polynomials of the second kind, which appear in computing the powers of  $2 \times 2$  non-singular matrices [7]. Generalizations of such polynomials have been also introduced, in particular for computing powers of higher order matrices (see, e.g., [8,9]).

An excellent book is [10]. The importance of these polynomial sets in applications is shown in [11].

Recently, the Chebyshev polynomials of the first and second kind have been used in order to represent the real and imaginary part of complex Appell polynomials [12,13].

The connection of the second kind Chebyshev polynomials with classical polynomials of number theory has been recently underlined by Kim T., Kim D.S. et al. (see, e.g., [14–16]).

Definition of Chebyshev polynomials of the first kind:

$$\begin{aligned} T_0(x) &= 1, \\ T_1(x) &= x, \\ T_{n+1}(x) &= 2x T_n(x) - T_{n-1}(x), \end{aligned} \tag{11}$$

$$T_n(x) = \cos(n \arccos(x)) \Leftrightarrow T_n(\cos\theta) = \cos(n\theta).$$

Definition of Chebyshev polynomials of the second kind:

$$\begin{aligned} U_0(x) &= 1, \\ U_1(x) &= 2x, \\ U_{n+1}(x) &= 2x U_n(x) - U_{n-1}(x), \end{aligned} \tag{12}$$

$$\sqrt{1-x^2} U_{n-1}(x) = \sin(n \arccos(x)) \Leftrightarrow U_{n-1}(\cos\theta) = \frac{\sin[n\theta]}{\sin\theta}.$$

As a consequence of the above considerations, it is possible to note the connection of the rhodonea curves with the first kind Chebyshev polynomials.

In fact, the rhodonea curve  $\rho = \cos(n\theta)$  (Figure 4) can be interpreted as  $T_n(\cos\theta)$  (Equation (11)).

In a similar way, the second kind Chebyshev polynomials have as graphical images the roses of the type  $U_n(\cos \theta)$  corresponding to  $\sin((n - 1)\theta) / \sin(\theta)$  (examples in Figure 5).

Note that, in both cases, the rhodonea curve has  $n$  petals if  $n$  is odd and  $2n$  petals if  $n$  is even.

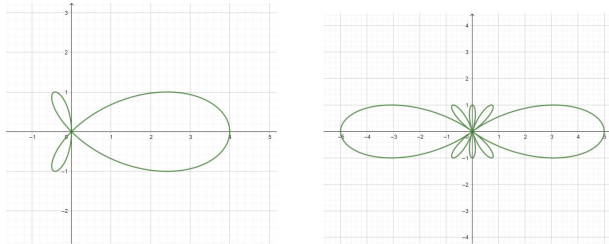


Figure 5.  $U_4$  rose,  $\rho = \sin(3\theta) / \sin(\theta)$ , and  $U_5$  rose,  $\rho = \sin(4\theta) / \sin(\theta)$ .

#### 4. Pseudo-Chebyshev Polynomials

The rhodonea curves exist even for rational values of the index  $n$  (see, e.g., [17]). This allows us to consider the sets of first and second kind pseudo-Chebyshev polynomials (graphical examples in Figures 6 and 7). The prefix “pseudo” is used because actually, they are not polynomials, but irrational functions, as it is seen in what follows.

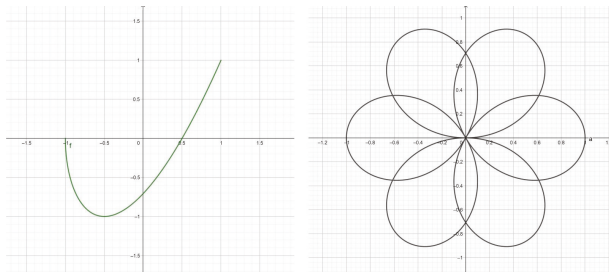


Figure 6. Pseudo  $T_{3/2} = \cos(1.5 \arccos(x))$ , and rhodonea,  $\rho = \cos(1.5\theta)$ .

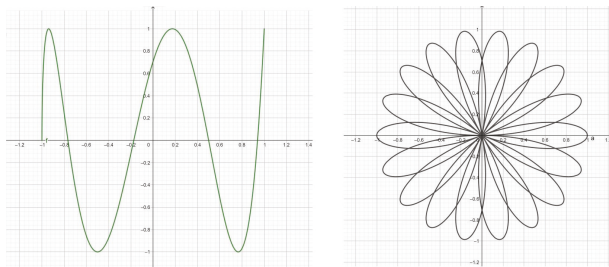


Figure 7. Pseudo  $T_{9/2} = \cos(4.5 \arccos(x))$ , and rhodonea,  $\rho = \cos(4.5\theta)$ .

We start assuming the degree in the form  $n + \frac{1}{2}$ , that is a semi-integer number. This seems to be the most interesting case, since the resulting functions  $T_{n+1/2}$  and  $U_{n+1/2}$  are proven to be orthogonal, in the interval  $(-1, 1)$ , with respect to the same, corresponding weights, of the first and second kind Chebyshev polynomials.

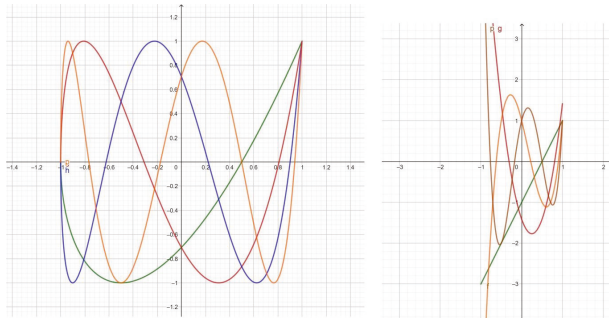
We put, by definition:

$$T_{k+\frac{1}{2}}(x) = \cos\left(\left(k + \frac{1}{2}\right) \arccos(x)\right) \tag{13}$$

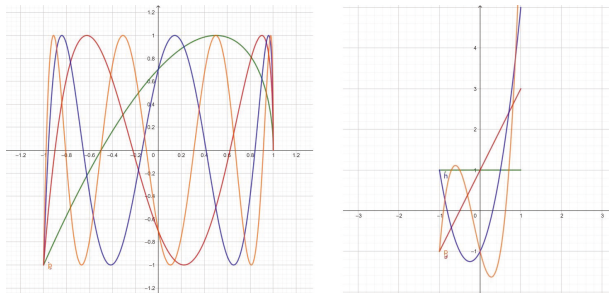
$$\sqrt{1-x^2} U_{k-\frac{1}{2}}(x) = \sin\left(\left(k + \frac{1}{2}\right) \arccos(x)\right). \tag{14}$$

**Remark 1.** It is worth noting that the third and fourth kind Chebyshev polynomials  $V_n(x)$  and  $W_n(x)$  (see, e.g., [18]) have a similar definition, but they do not coincide with the pseudo-Chebyshev, since actually they are true polynomials, and satisfy orthogonality properties with respect to different weights (see Figures 8 and 9).

The third and fourth kind Chebyshev polynomials have been studied and applied by several scholars (see, e.g., [18–20]), because they are useful in quadrature rules, when the singularities occur only at one of the end points (+1 or −1) (see [10]). Furthermore, recently, they have been applied in numerical analysis for solving high odd-order boundary value problems with homogeneous or nonhomogeneous boundary conditions [19].



**Figure 8.** Pseudo  $T_{n/2}$ ,  $n = 3, 5, 7, 9$ , and third kind  $V_k(x)$   $k = 1, 2, 3, 4$ .



**Figure 9.** Pseudo  $U_{n/2}$ ,  $n = 1, 3, 5, 7$ , and fourth kind  $W_k(x)$   $k = 0, 1, 2, 3$ .

#### 4.1. The Case of Half-Integer Degree

In particular, we have:

$$T_{1/2}(x) = \cos\left(\frac{1}{2} \arccos(x)\right) = \sqrt{\frac{1+x}{2}}, \tag{15}$$

$$\sqrt{1-x^2} U_{-1/2}(x) = \sin\left(\frac{1}{2} \arccos(x)\right) = \sqrt{\frac{1-x}{2}}. \tag{16}$$

Therefore, we find:



$$\begin{aligned}
 T_{3/2}(x) &= \cos\left(\frac{3}{2} \arccos(x)\right) = \cos\left(\arccos(x) + \frac{1}{2} \arccos(x)\right) \\
 &= \cos(\arccos(x)) \cos\left(\frac{1}{2} \arccos(x)\right) - \sin(\arccos(x)) \sin\left(\frac{1}{2} \arccos(x)\right) \\
 &= x \sqrt{\frac{1+x}{2}} - \sqrt{1-x^2} \sqrt{\frac{1-x}{2}},
 \end{aligned}
 \tag{17}$$

$$\begin{aligned}
 T_{5/2}(x) &= \cos\left(\frac{5}{2} \arccos(x)\right) \cos\left(2 \arccos(x) + \frac{1}{2} \arccos(x)\right) \\
 &= \cos(2 \arccos(x)) \cos\left(\frac{1}{2} \arccos(x)\right) - \sin(2 \arccos(x)) \sin\left(\frac{1}{2} \arccos(x)\right) \\
 &= T_2(x) \sqrt{\frac{1+x}{2}} - \sqrt{1-x^2} U_1(x) \sqrt{\frac{1-x}{2}}.
 \end{aligned}
 \tag{18}$$

4.2. Recurrence Relations

We have, in general:

$$\begin{aligned}
 T_{n+1/2}(x) &= \cos\left(n \arccos(x) + \frac{1}{2} \arccos(x)\right) \\
 &= T_n(x) \sqrt{\frac{1+x}{2}} - \sqrt{1-x^2} U_{n-1}(x) \sqrt{\frac{1-x}{2}},
 \end{aligned}$$

that is:

$$T_{n+1/2}(x) = T_n(x) T_{1/2}(x) - (1-x^2) U_{n-1}(x) U_{-1/2}(x).
 \tag{19}$$

In a similar way, for the second kind, we find:

$$U_{n+1/2}(x) = U_{n-1}(x) T_{1/2}(x) + U_{-1/2}(x) T_n(x).
 \tag{20}$$

**Remark 2.** Note that the number of rose petals of the curves  $\rho = \cos\left(\frac{n}{2} \theta\right)$ ,  $n = 1, 3, 5, \dots$  is given by the sequence  $\{2, 6, 10, 14, 18, 22, \dots\}$ , which appears in the Encyclopedia of Integer Sequences [21] at A016825: positive integers congruent to  $2 \pmod 4$ :  $a(n) = 4n + 2$ , for  $n \geq 0$ .

4.3. More General Formulas

By using cosine addition formulas, putting:

$$\frac{m}{n} = \frac{p}{q} + \frac{r}{s},
 \tag{21}$$

we find:

$$T_{m/n}(x) = T_{p/q}(x) T_{r/s}(x) - (1-x^2) U_{(p/q)-1}(x) U_{(r/s)-1}(x),
 \tag{22}$$

and by using the sine addition formulas:

$$U_{m/n}(x) = U_{(p/q)-1}(x) T_{r/s}(x) + U_{(r/s)-1}(x) T_{p/q}(x).
 \tag{23}$$

## Particular Results

$$T_1(x) = T_{1/3}(x) T_{2/3}(x) - (1 - x^2) U_{-2/3}(x) U_{-1/3}(x). \quad (24)$$

$$T_1(x) = \cos \left[ 3 \cdot \frac{1}{3} \arccos(x) \right] = 4 T_{1/3}^3(x) - 3 T_{1/3}(x). \quad (25)$$

$$T_2(x) = \cos \left[ 3 \cdot \frac{2}{3} \arccos(x) \right] = 4 T_{2/3}^3(x) - 3 T_{2/3}(x). \quad (26)$$

$$\begin{aligned} T_{2/3}(x) &= \cos \left[ 2 \cdot \frac{1}{3} \arccos(x) \right] = 1 - 2 \sin^2 \left[ \frac{1}{3} \arccos(x) \right] \\ &= 1 - 2(1 - x^2) U_{-2/3}(x). \end{aligned} \quad (27)$$

$$\begin{aligned} U_{-1/3}(x) &= \frac{\sin \left[ 2 \cdot \frac{1}{3} \arccos(x) \right]}{\sqrt{1 - x^2}} \\ &= \frac{2}{\sqrt{1 - x^2}} \sin \left[ \frac{1}{3} \arccos(x) \right] \cos \left[ \frac{1}{3} \arccos(x) \right] = 2 U_{-2/3}(x) T_{1/3}(x). \end{aligned} \quad (28)$$

$$U_{-2/3}(x) = \frac{\sin \left[ \frac{1}{3} \arccos(x) \right]}{\sqrt{1 - x^2}} = \sqrt{\frac{1 - T_{1/3}^2(x)}{1 - x^2}}. \quad (29)$$

Combining the above equations, we find:

$$\begin{aligned} T_1(x) &= T_{1/3}(x) T_{2/3}(x) - 2 T_{1/3}(x) \left( 1 - T_{1/3}^2(x) \right) \\ &= T_{1/3}(x) \left( 2 T_{1/3}^2(x) + T_{2/3}(x) - 2 \right). \end{aligned} \quad (30)$$

## 4.4. Orthogonality for Half-Integer Degree

**Theorem 1.** The Chebyshev functions  $T_{m/2}(x)$  satisfy the orthogonality property:

$$\int_{-1}^1 T_{m/2}(x) T_{n/2}(x) \frac{1}{\sqrt{1 - x^2}} dx = 0, \quad (m \neq n), \quad (31)$$

where  $m, n$  are positive odd numbers such that  $m + n = 2k$ ,  $k = 2, 3, 4, \dots$ ,

$$\int_{-1}^1 T_{m/2}^2(x) \frac{1}{\sqrt{1 - x^2}} dx = \frac{\pi}{2}. \quad (32)$$

**Proof.** As a consequence of Werner formulas, we have, under the above conditions,

$$\begin{aligned} \int_{-1}^1 \cos(m/2 \arccos(x)) \cos(n/2 \arccos(x)) \frac{1}{\sqrt{1 - x^2}} dx &= (\text{putting } x = \cos(2t)) \\ &= 2 \int_0^{\pi/2} \cos(mt) \cos(nt) dt = 0, \end{aligned} \quad (33)$$

and:

$$\int_{-1}^1 \cos^2(m/2 \arccos(x)) \frac{1}{\sqrt{1-x^2}} dx = 2 \int_0^{\pi/2} \cos^2(mt) dt = \frac{\pi}{2}. \quad (34)$$

□

**Theorem 2.** The Chebyshev functions  $U_{m/2}(x)$  satisfy the orthogonality property:

$$\int_{-1}^1 U_{m/2}(x) U_{n/2}(x) \sqrt{1-x^2} dx = 0, \quad (m \neq n), \quad (35)$$

where  $m, n$  are positive odd numbers such that  $m + n = 2k, k = 2, 3, 4, \dots$ ,

$$\int_{-1}^1 U_{m/2}^2(x) \sqrt{1-x^2} dx = \frac{\pi}{2}. \quad (36)$$

**Proof.** We have, under the above conditions,

$$\begin{aligned} \int_{-1}^1 \sin(m/2 \arccos(x)) \sin(n/2 \arccos(x)) \sqrt{1-x^2} dx &= (\text{putting } x = \cos(2t)) \\ &= 2 \int_0^{\pi/2} \sin(mt) \sin(nt) dt = 0, \end{aligned} \quad (37)$$

and:

$$\int_{-1}^1 \sin^2(m/2 \arccos(x)) \sqrt{1-x^2} dx = 2 \int_0^{\pi/2} \sin^2(mt) dt = \frac{\pi}{2}. \quad (38)$$

□

## 5. Conclusions

The complex form of the Bernoulli spiral, by using Euler formulas, allows us to emphasize connections with Grandi (rhodonea) curves. The rhodonea with the fractional index can be viewed as an extension of first and second kind Chebyshev polynomials to irrational functions. The properties of these “pseudo-Chebyshev functions” are borrowed from classical trigonometric identities. In particular, in the case of half-integer degree, the corresponding functions satisfy the same orthogonality property of the corresponding Chebyshev polynomials of integer degree.

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Article

# Generalized Liouville–Caputo Fractional Differential Equations and Inclusions with Nonlocal Generalized Fractional Integral and Multipoint Boundary Conditions

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**Abstract:** We develop the existence criteria for solutions of Liouville–Caputo-type generalized fractional differential equations and inclusions equipped with nonlocal generalized fractional integral and multipoint boundary conditions. Modern techniques of functional analysis are employed to derive the main results. Examples illustrating the main results are also presented. It is imperative to mention that our results correspond to the ones for a symmetric second-order nonlocal multipoint integral boundary value problem under suitable conditions (see the last section).

**Keywords:** differential equation; differential inclusion; Liouville–Caputo-type fractional derivative; fractional integral; existence; fixed point

## 1. Introduction

Fractional order differential and integral operators extensively appear in the mathematical modeling of various scientific and engineering phenomena. The main advantage for using these operators is their nonlocal nature, which can describe the past history of processes and material involved in the phenomena. Thus, fractional-order models are more realistic and informative than their corresponding integer-order counterparts. Examples include bio-engineering [1], Chaos and fractional dynamics [2], ecology [3], financial economics [4], etc. Widespread applications of methods of fractional calculus in numerous real world phenomena motivated many researchers to develop this important branch of mathematical analysis—for instance, see the texts [5–8].

Fractional differential equations equipped with a variety of boundary conditions have recently been studied by several researchers. In particular, overwhelming interest has been shown in the study of nonlocal nonlinear fractional-order boundary value problems (FBVPs). The concept of nonlocal conditions dates back to the work of Bitsadze and Samarski [9] and these conditions facilitate describing the physical phenomena taking place inside the boundary of the given domain. In computational fluid dynamics (CFD) studies of blood flow problems, it is hard to justify the assumption of a circular cross-section of a blood vessel due to its changing geometry throughout the vessel. This issue has been addressed by the introduction of integral boundary conditions. In addition, integral boundary conditions are used in regularization of ill-posed parabolic backward problems. Moreover, integral boundary conditions play an important role in mathematical models for bacterial self-regularization [10].

On the other hand, multivalued (inclusions) problems are found to be of special significance in studying dynamical systems and stochastic processes. Examples include granular systems [11,12], control problems [13,14], dynamics of wheeled vehicles [15], etc. For more details, see the text [16],

which addresses the pressing issues in stochastic processes, queueing networks, optimization and their application in finance, control, climate control, etc. In previous work [17], synchronization processes involving fractional differential inclusions are studied.

The area of investigation for nonlocal nonlinear fractional boundary value problems includes existence and uniqueness of solutions, stability and oscillatory properties, analytic and numerical methods. The literature on the topic is now much enriched and covers fractional order differential equations and inclusions involving Riemann–Liouville, Liouville–Caputo (Caputo), Hadamard type derivatives, etc. For some recent works on the topic, we refer the reader to a series of papers [18–36] and the references cited therein.

In this paper, we introduce and study a new class of boundary value problems of Liouville–Caputo-type generalized fractional differential equations and inclusions (instead of taking the usual Liouville–Caputo fractional order derivative) supplemented with nonlocal generalized fractional integral and multipoint boundary conditions. Precisely, we consider the problems:

$$\begin{cases} {}^{\rho}D_{0+}^{\alpha}y(t) = f(t, y(t)), \quad t \in J := [0, T], \\ y(T) = \sum_{i=1}^m \sigma_i {}^{\rho}I_{0+}^{\beta}y(\eta_i) + \kappa, \quad \delta y(0) = \sum_{j=1}^k \mu_j y(\xi_j), \\ 0 < \eta_1 < \dots < \eta_i < \dots < \eta_m < \xi_1 < \dots < \xi_j < \dots < \xi_k < T, \end{cases} \tag{1}$$

and

$$\begin{cases} {}^{\rho}D_{0+}^{\alpha}y(t) \in F(t, y(t)), \quad t \in J := [0, T], \\ y(T) = \sum_{i=1}^m \sigma_i {}^{\rho}I_{0+}^{\beta}y(\eta_i) + \kappa, \quad \delta y(0) = \sum_{j=1}^k \mu_j y(\xi_j), \\ 0 < \eta_1 < \dots < \eta_i < \dots < \eta_m < \xi_1 < \dots < \xi_j < \dots < \xi_k < T, \end{cases} \tag{2}$$

where  ${}^{\rho}D_{0+}^{\alpha}$  is the Liouville–Caputo-type generalized fractional derivative of order  $1 < \alpha \leq 2$ ,  ${}^{\rho}I_{0+}^{\beta}$  is the generalized (Katugampola type) fractional integral of order  $\beta > 0, \rho > 0$ ,  $f : J \times \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function,  $\sigma_i, \mu_j, \kappa \in \mathbb{R}, i = 1, 2, \dots, m, j = 1, 2, \dots, k, \delta = t^{1-\rho} \frac{d}{dt}$ , and  $F : J \times \mathbb{R} \rightarrow \mathcal{P}(\mathbb{R})$  is a multivalued function ( $\mathcal{P}(\mathbb{R})$  is the family of all nonempty subsets of  $\mathbb{R}$ ).

The rest of the paper is arranged as follows: Section 2 contains some preliminary concepts related to our work and a vital lemma associated with the linear variant of the given problem, which is used to convert the given problems into fixed point problems. In Section 3, the existence and uniqueness results for problem (1) are obtained by using a Banach contraction mapping principle, Krasnoselskii’s fixed point theorem and Leray–Schauder nonlinear alternative. Existence results for the inclusions problem (2) are studied in Section 4 via Leray–Schauder nonlinear alternative, and Covitz and Nadler fixed point theorem for multi-valued maps. Examples illustrating the obtained results are also included.

## 2. Preliminaries

Denote by  $X_c^p(a, b)$  the space of all complex-valued Lebesgue measurable functions  $\varphi$  on  $(a, b)$  equipped with the norm:

$$\|\varphi\|_{X_c^p} = \left( \int_a^b |x^c \varphi(x)|^p \frac{dx}{x} \right)^{1/p} < \infty \quad c \in \mathbb{R}, 1 \leq p \leq \infty.$$

Let  $L^1(a, b)$  represent the space of all Lebesgue measurable functions  $\psi$  on  $(a, b)$  endowed with the norm:

$$\|\psi\|_{L^1} = \int_a^b |\psi(x)| dx < \infty.$$

We further recall that  $AC^n(J, \mathbb{R}) = \{x : J \rightarrow \mathbb{R} : x, x', \dots, x^{(n-1)} \in C(J, \mathbb{R}) \text{ and } x^{(n-1)} \text{ is absolutely continuous}\}$ . For  $0 \leq \epsilon < 1$ , we define  $C_{c,\rho}(J, \mathbb{R}) = \{f : J \rightarrow \mathbb{R} : (t^{\rho} - a^{\rho})^{\epsilon} f(t) \in C(J, \mathbb{R})\}$

endowed with the norm  $\|f\|_{C_{\epsilon,\rho}} = \|(t^\rho - a^\rho)^\epsilon f(t)\|_C$ . Moreover, we define the class of functions  $f$  that have absolutely continuous  $\delta^{n-1}$ -derivative, denoted by  $AC_\delta^n(J, \mathbb{R})$ , as follows:  $AC_\delta^n(J, \mathbb{R}) = \left\{ f : J \rightarrow \mathbb{R} : \delta^{n-1}f \in AC(J, \mathbb{R}), \delta = t^{1-\rho} \frac{d}{dt} \right\}$ , which is equipped with the norm  $\|f\|_{C_\delta^n} = \sum_{k=0}^{n-1} \|\delta^k f\|_C$ . More generally, the space of functions endowed with the norm  $\|f\|_{C_{\delta,\epsilon}^n} = \sum_{k=0}^{n-1} \|\delta^k f\|_C + \|\delta^n f\|_{C_{\epsilon,\rho}}$  is defined by

$$C_{\delta,\epsilon}^n(J, \mathbb{R}) = \left\{ f : J \rightarrow \mathbb{R} : \delta^{n-1}f \in C(J, \mathbb{R}), \delta^n f \in C_{\epsilon,\rho}(J, \mathbb{R}), \delta = t^{1-\rho} \frac{d}{dt} \right\}.$$

Notice that  $C_{\delta,0}^n = C_\delta^n$ .

**Definition 1** ([37]). For  $-\infty < a < t < b < \infty$ , the left-sided and right-sided generalized fractional integrals of  $f \in X_c^p(a, b)$  of order  $\alpha > 0$  and  $\rho > 0$  are respectively defined by

$$({}^\rho I_{a+}^\alpha f)(t) = \frac{\rho^{1-\alpha}}{\Gamma(\alpha)} \int_a^t \frac{s^{\rho-1}}{(t^\rho - s^\rho)^{1-\alpha}} f(s) ds, \tag{3}$$

$$({}^\rho I_{b-}^\alpha f)(t) = \frac{\rho^{1-\alpha}}{\Gamma(\alpha)} \int_t^b \frac{s^{\rho-1}}{(s^\rho - t^\rho)^{1-\alpha}} f(s) ds. \tag{4}$$

**Definition 2** ([38]). For  $0 \leq a < x < b < \infty$ , the generalized fractional derivatives, associated with the generalized fractional integrals (3) and (4), are respectively defined by

$$\begin{aligned} ({}^\rho D_{a+}^\alpha f)(t) &= \left( t^{1-\rho} \frac{d}{dt} \right)^n ({}^\rho I_{a+}^{n-\alpha} f)(t) \\ &= \frac{\rho^{\alpha-n+1}}{\Gamma(n-\alpha)} \left( t^{1-\rho} \frac{d}{dt} \right)^n \int_a^t \frac{s^{\rho-1}}{(t^\rho - s^\rho)^{\alpha-n+1}} f(s) ds, \end{aligned} \tag{5}$$

$$\begin{aligned} ({}^\rho D_{b-}^\alpha f)(t) &= \left( -t^{1-\rho} \frac{d}{dt} \right)^n ({}^\rho I_{b-}^{n-\alpha} f)(t) \\ &= \frac{\rho^{\alpha-n+1}}{\Gamma(n-\alpha)} \left( -t^{1-\rho} \frac{d}{dt} \right)^n \int_t^b \frac{s^{\rho-1}}{(s^\rho - t^\rho)^{\alpha-n+1}} f(s) ds, \end{aligned} \tag{6}$$

if the integrals exist.

**Definition 3** ([39]). The left-sided and right-sided Liouville–Caputo-type generalized fractional derivatives of  $f \in AC_\delta^n[a, b]$  of order  $\alpha \geq 0$  are respectively defined via the above generalized fractional derivatives as

$${}^\rho_c D_{a+}^\alpha f(x) = {}^\rho D_{a+}^\alpha \left[ f(t) - \sum_{k=0}^{n-1} \frac{\delta^k f(a)}{k!} \left( \frac{t^\rho - a^\rho}{\rho} \right)^k \right](x), \quad \delta = x^{1-\rho} \frac{d}{dx}, \tag{7}$$

$${}^\rho_c D_{b-}^\alpha f(x) = {}^\rho D_{b-}^\alpha \left[ f(t) - \sum_{k=0}^{n-1} \frac{(-1)^k \delta^k f(b)}{k!} \left( \frac{b^\rho - t^\rho}{\rho} \right)^k \right](x), \quad \delta = x^{1-\rho} \frac{d}{dx}, \tag{8}$$

where  $n = [\alpha] + 1$ .

**Lemma 1** ([39]). Let  $\alpha \geq 0, n = [\alpha] + 1$  and  $f \in AC_\delta^n[a, b]$ , where  $0 < a < b < \infty$ . Then, 1. if  $\alpha \notin \mathbb{N}$ ,

$${}^\rho_c D_{a+}^\alpha f(t) = \frac{1}{\Gamma(n-\alpha)} \int_a^t \left( \frac{t^\rho - s^\rho}{\rho} \right)^{n-\alpha-1} \frac{(\delta^n f)(s) ds}{s^{1-\rho}} = {}^\rho I_{a+}^{n-\alpha} (\delta^n f)(t), \tag{9}$$

$${}^\rho_c D_{b-}^\alpha f(t) = \frac{1}{\Gamma(n-\alpha)} \int_t^b \left( \frac{s^\rho - t^\rho}{\rho} \right)^{n-\alpha-1} \frac{(-1)^n (\delta^n f)(s) ds}{s^{1-\rho}} = {}^\rho I_{b-}^{n-\alpha} (\delta^n f)(t). \tag{10}$$

2. If  $\alpha \in \mathbb{N}$ ,

$${}^{\rho}D_{a^+}^{\alpha}f = \delta^n f, \quad {}^{\rho}D_{b^-}^{\alpha}f = (-1)^n \delta^n f. \tag{11}$$

**Lemma 2** ([39]). Let  $f \in AC_{\delta}^n[a, b]$  or  $C_{\delta}^n[a, b]$  and  $\alpha \in \mathbb{R}$ . Then,

$${}^{\rho}I_{a^+}^{\alpha} {}^{\rho}D_{a^+}^{\alpha}f(x) = f(x) - \sum_{k=0}^{n-1} \frac{(\delta^k f)(a)}{k!} \left(\frac{x^{\rho} - a^{\rho}}{\rho}\right)^k,$$

$${}^{\rho}I_{b^-}^{\alpha} {}^{\rho}D_{b^-}^{\alpha}f(x) = f(x) - \sum_{k=0}^{n-1} \frac{(-1)^k (\delta^k f)(a)}{k!} \left(\frac{b^{\rho} - x^{\rho}}{\rho}\right)^k.$$

In particular, for  $0 < \alpha \leq 1$ , we have

$${}^{\rho}I_{a^+}^{\alpha} {}^{\rho}D_{a^+}^{\alpha}f(x) = f(x) - f(a), \quad {}^{\rho}I_{b^-}^{\alpha} {}^{\rho}D_{b^-}^{\alpha}f(x) = f(x) - f(b).$$

For computational convenience, we introduce the notations:

$$A_1 = 1 - \sum_{j=1}^k \mu_j \frac{\xi_j^{\rho}}{\rho}, \quad A_2 = \sum_{j=1}^k \mu_j, \tag{12}$$

$$B_1 = \frac{T^{\rho}}{\rho} - \sum_{i=1}^m \sigma_i \frac{\eta_i^{\rho(\beta+1)}}{\rho^{\beta+1} \Gamma(\beta+2)}, \quad B_2 = 1 - \sum_{i=1}^m \sigma_i \frac{\eta_i^{\rho\beta}}{\rho^{\beta} \Gamma(\beta+1)}, \tag{13}$$

$$\Omega = A_1 B_2 + B_1 A_2. \tag{14}$$

The following lemma, related to the linear variant of problem (1), plays a key role in converting the given problem into a fixed point problem.

**Lemma 3.** Let  $h \in C(0, T) \cap L(0, T)$ ,  $y \in AC_{\delta}^2(J)$  and  $\Omega \neq 0$ . Then, the solution of the boundary value problem (BVP):

$$\begin{cases} {}^{\rho}D_{0^+}^{\alpha}y(t) = h(t), \quad t \in J := [0, T], \\ y(T) = \sum_{i=1}^m \sigma_i {}^{\rho}I_{0^+}^{\beta}y(\eta_i) + \kappa, \quad \delta y(0) = \sum_{j=1}^k \mu_j y(\xi_j), \\ 0 < \eta_1 < \dots < \eta_m < \dots < \xi_1 < \dots < \xi_k < \dots < \xi_k < T, \end{cases} \tag{15}$$

is given by

$$y(t) = {}^{\rho}I_{0^+}^{\alpha}h(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^{\rho}I_{0^+}^{\alpha}h(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^{\rho}I_{0^+}^{\alpha+\beta}h(\eta_i) - {}^{\rho}I_{0^+}^{\alpha}h(T) + \kappa \right] \right\} + \frac{t^{\rho}}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^{\rho}I_{0^+}^{\alpha}h(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^{\rho}I_{0^+}^{\alpha+\beta}h(\eta_i) - {}^{\rho}I_{0^+}^{\alpha}h(T) + \kappa \right] \right\}. \tag{16}$$

**Proof.** Applying  ${}^{\rho}I_{0^+}^{\alpha}$  on the fractional differential equation in (15) and using Lemma 2, the solution of fractional differential equation in (15) for  $t \in J$  is

$$y(t) = {}^{\rho}I_{0^+}^{\alpha}h(t) + c_1 + c_2 \frac{t^{\rho}}{\rho} = \frac{\rho^{1-\alpha}}{\Gamma(\alpha)} \int_0^t s^{\rho-1} (t^{\rho} - s^{\rho})^{\alpha-1} h(s) ds + c_1 + c_2 \frac{t^{\rho}}{\rho}, \tag{17}$$

for some  $c_1, c_2 \in \mathbb{R}$ . Taking  $\delta$ -derivative of (17), we get

$$\delta y(t) = {}^{\rho}I_{0^+}^{\alpha-1}h(t) + c_2 = \frac{\rho^{2-\alpha}}{\Gamma(\alpha-1)} \int_0^t s^{\rho-1} (t^{\rho} - s^{\rho})^{\alpha-2} h(s) ds + c_2. \tag{18}$$



Using the boundary condition  $\delta y(0) = \sum_{j=1}^k \mu_j y(\xi_j)$  in (18), we get

$$c_2 = \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha h(\xi_j) + c_1 \sum_{j=1}^k \mu_j + c_2 \sum_{j=1}^k \mu_j \frac{\xi_j^\rho}{\rho},$$

which, on account of (12), takes the form:

$$A_1 c_2 - A_2 c_1 = \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha h(\xi_j). \tag{19}$$

Applying the generalized integral operator  ${}^\rho I_{0^+}^\beta$  on (17), we get

$${}^\rho I_{0^+}^\beta y(t) = {}^\rho I_{0^+}^{\alpha+\beta} h(t) + c_1 \frac{t^{\rho\beta}}{\rho^\beta \Gamma(\beta+1)} + c_2 \frac{t^{\rho(\beta+1)}}{\rho^{\beta+1} \Gamma(\beta+2)}, \tag{20}$$

which, together with the boundary condition  $y(T) = \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^\beta y(\eta_i) + \kappa$ , yields

$$\begin{aligned} {}^\rho I_{0^+}^\alpha h(T) + c_1 + c_2 \frac{T^\rho}{\rho} &= \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} h(\eta_i) + \sum_{i=1}^m \sigma_i c_1 \frac{\eta_i^{\rho\beta}}{\rho^\beta \Gamma(\beta+1)} \\ &+ \sum_{i=1}^m \sigma_i c_2 \frac{\eta_i^{\rho(\beta+1)}}{\rho^{\beta+1} \Gamma(\beta+2)} + \kappa. \end{aligned} \tag{21}$$

Using the notations (13) in (21), we obtain

$$B_1 c_2 + B_2 c_1 = \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} h(\eta_i) - {}^\rho I_{0^+}^\alpha h(T) + \kappa. \tag{22}$$

Solving the system of Equations (19) and (22) for  $c_1$  and  $c_2$ , we find that

$$c_1 = \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha h(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} h(\eta_i) - {}^\rho I_{0^+}^\alpha h(T) + \kappa \right] \right\}. \tag{23}$$

and

$$c_2 = \frac{1}{\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha h(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} h(\eta_i) - {}^\rho I_{0^+}^\alpha h(T) + \kappa \right] \right\}. \tag{24}$$

Substituting the values of  $c_1$  and  $c_2$  in (17), we get Equation (16). The converse follows by direct computation. The proof is completed.  $\square$

### 3. Main Results for the Problem (1)

By Lemma 3, we define an operator  $\mathcal{G} : \mathcal{C} \rightarrow \mathcal{C}$  ( $\mathcal{C} = C(J, \mathbb{R})$ ) associated with problem (1) as

$$\begin{aligned} \mathcal{G}y(t) &= {}^\rho I_{0^+}^\alpha f(t, y(t)) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha f(\xi_j, y(\xi_j)) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} f(\eta_i, y(\eta_i)) \right. \right. \\ &\quad \left. \left. - {}^\rho I_{0^+}^\alpha f(T, y(T)) + \kappa \right] \right\} + \frac{t^\rho}{\rho \Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha f(\xi_j, y(\xi_j)) \right. \\ &\quad \left. + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} f(\eta_i, y(\eta_i)) - {}^\rho I_{0^+}^\alpha f(T, y(t)) + \kappa \right] \right\}. \end{aligned} \tag{25}$$

In the following, for brevity, we use the notations:

$$\Lambda = \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha + \beta + 1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha + \beta + 1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} \right] \right\}. \tag{26}$$

In the first result, we establish the existence of solutions for problem (1) via Leray–Schauder nonlinear alternative [40].

**Theorem 1.** *Suppose that the following conditions hold:*

- (H<sub>1</sub>) *For a function  $\phi \in L^1([0, T], \mathbb{R}^+)$ , and a nondecreasing function  $\psi : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  such that  $|f(t, y)| \leq \phi(t)\psi(\|y\|)$ ,  $\forall (t, y) \in [0, T] \times \mathbb{R}$ ;*
- (H<sub>2</sub>) *there exists a positive constant  $\mathcal{M}$  such that*

$$\frac{\mathcal{M}}{\psi(\mathcal{M})\Lambda_1 + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}} > 1,$$

where

$$\Lambda_1 = {}^\rho I_{0^+}^\alpha \phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\}. \tag{27}$$

Then, there exists at least one solution for problem (1) on  $[0, T]$ .

**Proof.** Firstly, we show that the operator  $\mathcal{G} : \mathcal{C} \rightarrow \mathcal{C}$  defined by (25) is continuous and completely continuous.

*Step 1:  $\mathcal{G}$  is continuous.*

Let  $\{y_n\}$  be a sequence such that  $y_n \rightarrow y$  in  $\mathcal{C}$ . Then,

$$\begin{aligned} |\mathcal{G}(y_n)(t) - \mathcal{G}(y)(t)| &\leq {}^\rho I_{0^+}^\alpha |f(t, y_n(t)) - f(t, y(t))| + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |f(\xi_j, y_n(\xi_j)) - f(\xi_j, y(\xi_j))| \right. \\ &\quad \left. + |A_1| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y_n(\eta_i)) - f(\eta_i, y(\eta_i))| + {}^\rho I_{0^+}^\alpha |f(T, y_n(T)) - f(T, y(T))| \right] \right\} \\ &\quad + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |f(\xi_j, y_n(\xi_j)) - f(\xi_j, y(\xi_j))| \right. \\ &\quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y_n(\eta_i)) - f(\eta_i, y(\eta_i))| + {}^\rho I_{0^+}^\alpha |f(T, y_n(T)) - f(T, y(T))| \right] \right\} \\ &\leq \Lambda \|f(\cdot, y_n) - f(\cdot, y)\|. \end{aligned}$$

In view of continuity of  $f$ , it follows from the above inequality that

$$\|\mathcal{G}(y_n) - \mathcal{G}(y)\| \leq \Lambda \|f(\cdot, y_n) - f(\cdot, y)\| \rightarrow 0, \text{ as } n \rightarrow \infty.$$

*Step 2:  $\mathcal{G}$  maps bounded sets into bounded sets in  $\mathcal{C}$ .*

For a positive number  $r$ , it will be shown that there exists a positive constant  $\ell$  such that  $\|\mathcal{G}(y)\| \leq \ell$  for any  $y \in B_r = \{y \in C : \|y\| \leq r\}$ . By  $(H_1)$ , for each  $t \in J$ , we have

$$\begin{aligned} |\mathcal{G}(y)(t)| &\leq {}^\rho I_{0^+}^\alpha |f(t, y(t))| + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha |f(\xi_j, y(\xi_j))| + |A_1| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y(\eta_i))| \right. \right. \\ &\quad \left. \left. + {}^\rho I_{0^+}^\alpha |f(T, y(T))| + |\kappa| \right] \right\} + \frac{t^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha |f(\xi_j, y(\xi_j))| \right. \\ &\quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y(\eta_i))| + {}^\rho I_{0^+}^\alpha |f(T, y(T))| + |\kappa| \right] \right\} \\ &\leq {}^\rho I_{0^+}^\alpha \phi(T) \psi(\|y\|) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) \psi(\|y\|) + |A_1| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) \psi(\|y\|) \right. \right. \\ &\quad \left. \left. + {}^\rho I_{0^+}^\alpha \phi(T) \psi(\|y\|) + |\kappa| \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) \psi(\|y\|) \right. \\ &\quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) \psi(\|y\|) + {}^\rho I_{0^+}^\alpha \phi(T) \psi(\|y\|) + |\kappa| \right] \right\} \\ &\leq \psi(\|y\|) \left( {}^\rho I_{0^+}^\alpha \phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) \right. \right. \right. \\ &\quad \left. \left. + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\} \\ &\quad + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}. \\ &\leq \psi(\|r\|) \left( {}^\rho I_{0^+}^\alpha \phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) \right. \right. \right. \\ &\quad \left. \left. + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\} \\ &\quad + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|} := \ell. \end{aligned}$$

Step 3:  $\mathcal{G}$  maps bounded sets into equicontinuous sets of  $C$ .

Let  $B_r$  be a bounded set of  $C$  as in Step 2, Then, for  $t_1, t_2 \in (0, T]$  with  $t_1 < t_2$ , and  $y \in B_r$ , we have

$$\begin{aligned} &|\mathcal{G}(y)(t_2) - \mathcal{G}(y)(t_1)| \\ &\leq \left| {}^\rho I_{0^+}^\alpha f(t_2, y(t_2)) - {}^\rho I_{0^+}^\alpha f(t_1, y(t_1)) \right| + \frac{|t_2^\rho - t_1^\rho|}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha |f(\xi_j, y(\xi_j))| \right. \\ &\quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y(\eta_i))| + {}^\rho I_{0^+}^\alpha |f(T, y(T))| + |\kappa| \right] \right\} \\ &\leq \frac{\rho^{1-\alpha} \psi(r)}{\Gamma(\alpha)} \left| \int_0^{t_1} \left[ \frac{s^{\rho-1}}{(t_2^\rho - s^\rho)^{1-\alpha}} - \frac{s^{\rho-1}}{(t_1^\rho - s^\rho)^{1-\alpha}} \right] \phi(s) ds + \int_{t_1}^{t_2} \frac{s^{\rho-1}}{(t_2^\rho - s^\rho)^{1-\alpha}} \phi(s) ds \right| \\ &\quad + \frac{|t_2^\rho - t_1^\rho|}{\rho|\Omega|} \left\{ \psi(r) \left( |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right) + |A_2| |\kappa| \right\} \\ &\rightarrow 0 \text{ as } t_2 \rightarrow t_1, \end{aligned}$$

independently of  $y \in B_r$ . In view of steps 1–3, it follows by the Arzelà–Ascoli theorem that the operator  $\mathcal{G} : C \rightarrow C$  is completely continuous.

Step 4: We show that there exists an open set  $V \subseteq C$  with  $y \neq \lambda \mathcal{G}(y)$  for  $\lambda \in (0, 1)$  and  $y \in \partial V$ .

Let  $y \in C$  be a solution of  $y = \lambda \mathcal{G}y$  for  $\lambda \in [0, 1]$ . Then, for  $t \in [0, T]$ , we have

$$\begin{aligned} |y(t)| &= |\lambda(\mathcal{G}y)(t)| \\ &\leq {}^\rho I_{0^+}^\alpha |f(t, y(t))| + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha |f(\xi_j, y(\xi_j))| + |A_1| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y(\eta_i))| \right. \right. \\ &\quad \left. \left. + {}^\rho I_{0^+}^\alpha |f(T, y(T))| + |\kappa| \right] \right\} + \frac{t^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha |f(\xi_j, y(\xi_j))| \right. \\ &\quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y(\eta_i))| + {}^\rho I_{0^+}^\alpha |f(T, y(T))| + |\kappa| \right] \right\} \\ &\leq \psi(\|y\|) \left( {}^\rho I_{0^+}^\alpha \phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) \right. \right. \right. \\ &\quad \left. \left. + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^\rho I_{0^+}^\alpha \phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^\rho I_{0^+}^{\alpha+\beta} \phi(\eta_i) + {}^\rho I_{0^+}^\alpha \phi(T) \right] \right\} \\ &\quad + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}, \end{aligned}$$

which, on taking the norm for  $t \in J$ , implies that

$$\frac{\|y\|}{\psi(\|y\|)\Lambda_1 + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}} \leq 1.$$

By the assumption  $(H_2)$ , we can find a positive number  $\mathcal{M}$  such that  $\|y\| \neq \mathcal{M}$ . Introduce  $V = \{y \in C : \|y\| < \mathcal{M}\}$  and observe that the operator  $\mathcal{G} : \bar{V} \rightarrow C$  is continuous and completely continuous. By the definition of  $V$ , there does not exist any  $y \in \partial V$  satisfying  $y = \lambda \mathcal{G}(y)$  for some  $\lambda \in (0, 1)$ . Hence, we deduce by the nonlinear alternative of Leray–Schauder type [40] that  $\mathcal{G}$  has a fixed point  $y \in \bar{V}$  that is indeed a solution of the problem (1). This completes the proof.  $\square$

In the next result, we prove the existence of solutions for problem (1) by applying Krasnoselskii’s fixed point theorem [41].

**Theorem 2.** Let  $f : [0, T] \times \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function such that the following assumptions hold:

- $(H_3)$   $|f(t, x) - f(t, y)| \leq L\|x - y\|, \quad \forall t \in [0, T], L > 0, x, y \in \mathbb{R};$
- $(H_4)$   $|f(t, y)| \leq \Phi(t), \quad \forall (t, y) \in [0, T] \times \mathbb{R},$  and  $\Phi \in C([0, T], \mathbb{R}^+).$

Then, problem (1) has at least one solution on  $[0, T]$ , provided that

$$L \left( \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha + \beta + 1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} \right] \right\} \right) < 1. \tag{28}$$

**Proof.** Let us fix  $\bar{r} \geq \|\Phi\|\Lambda + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}$ , where  $\|\Phi\| = \sup_{t \in J} |\Phi(t)|$  and consider  $B_{\bar{r}} = \{y \in C : \|y\| \leq \bar{r}\}$ . Let us split the operator  $\mathcal{G} : C \rightarrow C$  defined by (25) on  $B_{\bar{r}}$  as  $\mathcal{G} = \mathcal{A} + \mathcal{B}$ , where  $\mathcal{A}$  and  $\mathcal{B}$  are given by

$$\mathcal{A}(t) = {}^\rho I_{0^+}^\alpha f(t, y(t)) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j^\rho I_{0^+}^\alpha f(\xi_j, y(\xi_j)) + A_1 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0^+}^{\alpha+\beta} f(\eta_i, y(\eta_i)) - {}^\rho I_{0^+}^\alpha f(T, y(T)) + \kappa \right] \right\},$$

and

$$\mathcal{B}(t) = \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j^\rho I_{0^+}^\alpha f(\xi_j, y(\xi_j)) + A_2 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0^+}^{\alpha+\beta} f(\eta_i, y(\eta_i)) - {}^\rho I_{0^+}^\alpha f(T, y(t)) + \kappa \right] \right\}.$$

For  $x, y \in B_{\bar{r}}$ , we find that

$$\begin{aligned} \|\mathcal{A}x + \mathcal{B}y\| &\leq \sup_{t \in J} \left\{ \rho I_{0+}^{\alpha} |f(t, x(t))| + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j|^{\rho} I_{0+}^{\alpha} f(\xi_j, x(\xi_j)) + A_1 \left[ \sum_{i=1}^m |\sigma_i|^{\rho} I_{0+}^{\alpha+\beta} |f(\eta_i, x(\eta_i))| \right. \right. \right. \\ &\quad \left. \left. + \rho I_{0+}^{\alpha} |f(T, x(T))| + |\kappa| \right] \right\} + \frac{t^{\rho}}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^{\rho} I_{0+}^{\alpha} |f(\xi_j, y(\xi_j))| \right. \\ &\quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^{\rho} I_{0+}^{\alpha+\beta} |f(\eta_i, y(\eta_i))| + \rho I_{0+}^{\alpha} |f(T, y(T))| + |\kappa| \right] \right\} \\ &\leq \|\Phi\| \left\{ \frac{T^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} \right. \right. \\ &\quad \left. \left. + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta}\Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} \right] \right\} + \frac{T^{\rho}}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} \right. \right. \\ &\quad \left. \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta}\Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} \right] \right\} + \frac{|\kappa|(\rho|A_1| + T^{\rho}|A_2|)}{\rho|\Omega|} \right\} \\ &\leq \|\Phi\| \Lambda + \frac{|\kappa|(\rho|A_1| + T^{\rho}|A_2|)}{\rho|\Omega|} < \bar{r}. \end{aligned}$$

Thus,  $\mathcal{A}x + \mathcal{B}y \in B_{\bar{r}}$ . Now, for  $x, y \in B_{\bar{r}}$  and for each  $t \in J$ , we obtain

$$\begin{aligned} \|\mathcal{B}x - \mathcal{B}y\| &\leq \sup_{t \in J} \left\{ \frac{t^{\rho}}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j|^{\rho} I_{0+}^{\alpha} |f(\xi_j, x(\xi_j)) - f(\xi_j, y(\xi_j))| \right. \right. \\ &\quad \left. \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i|^{\rho} I_{0+}^{\alpha+\beta} |f(\eta_i, x(\eta_i)) - f(\eta_i, y(\eta_i))| + \rho I_{0+}^{\alpha} |f(T, x(T)) - f(T, y(T))| \right] \right\} \right\} \\ &\leq L \left( \frac{T^{\rho}}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta}\Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} \right] \right\} \right) \|x - y\|, \end{aligned}$$

which, together with condition (28), implies that  $\mathcal{B}$  is a contraction. Continuity of  $f$  implies that the operator  $\mathcal{A}$  is continuous. In addition,  $\mathcal{A}$  is uniformly bounded on  $B_{\bar{r}}$  as

$$\begin{aligned} \|\mathcal{A}y\| &\leq \|\Phi\| \left( \frac{T^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta}\Gamma(\alpha+\beta+1)} \right. \right. \right. \\ &\quad \left. \left. + \frac{T^{\rho\alpha}}{\rho^{\alpha}\Gamma(\alpha+1)} \right] \right\} \right) + \frac{|A_1||\kappa|}{|\Omega|}. \end{aligned}$$

In order to show the compactness of the operator  $\mathcal{A}$ , let  $\sup_{(t,y) \in J \times B_{\bar{r}}} |f(t, y)| = \bar{f} < \infty$ . Consequently, for  $t_1, t_2 \in J, t_1 < t_2$ , we have

$$\begin{aligned} \|(\mathcal{A}y)(t_2) - (\mathcal{A}y)(t_1)\| &\leq \left\| \frac{\rho^{1-\alpha}}{\Gamma(\alpha)} \left[ \int_0^{t_1} s^{\rho-1} [(t_2^{\rho} - s^{\rho})^{\alpha-1} - (t_1^{\rho} - s^{\rho})^{\alpha-1}] f(s, y(s)) ds \right. \right. \\ &\quad \left. \left. + \int_{t_1}^{t_2} s^{\rho-1} (t_2^{\rho} - s^{\rho})^{\alpha-1} f(s, y(s)) ds \right] \right\| \\ &\leq \frac{\bar{f}}{\rho^{\alpha}\Gamma(\alpha+1)} \left\{ 2(t_2^{\rho} - t_1^{\rho})^{\alpha} + |t_2^{\rho\alpha} - t_1^{\rho\alpha}| \right\}. \end{aligned}$$

As the right-hand side of the above inequality tends to zero independently of  $y \in B_{\bar{r}}$  when  $t_2 \rightarrow t_1$ , therefore  $\mathcal{A}$  is equicontinuous. Thus,  $\mathcal{A}$  is relatively compact on  $B_{\bar{r}}$ . Hence, the conclusion of Arzelà-Ascoli theorem applies and that  $\mathcal{A}$  is compact on  $B_{\bar{r}}$ . Since all the conditions of Krasnoselskii's

fixed point theorem hold true, it follows by Krasnoselskii’s fixed point theorem that problem (1) has at least one solution on  $J$ .  $\square$

Our final result in this section is concerned with the uniqueness of solutions for problem (1) and is based on a Banach fixed point theorem.

**Theorem 3.** Assume that  $f : [0, T] \times \mathbb{R} \rightarrow \mathbb{R}$  is continuous and the condition  $(H_3)$  holds. Then, problem (1) has a unique solution on  $J$  if

$$L\Lambda < 1, \tag{29}$$

where  $\Lambda$  is defined by (26).

**Proof.** In view of the condition (29), consider a set  $B_{\tilde{r}} = \{y \in \mathcal{C} : \|y\| \leq \tilde{r}\}$ , where

$$\tilde{r} > \frac{f_0\Lambda + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}}{1 - L\Lambda}, \sup_{t \in [0, T]} |f(t, 0)| = f_0$$

and show that  $\mathcal{G}B_{\tilde{r}} \subset B_{\tilde{r}}$  ( $\mathcal{G}$  is defined by (25)). For  $y \in B_{\tilde{r}}$ , using  $(H_3)$ , we get

$$\begin{aligned} & |\mathcal{G}(y)(t)| \\ & \leq {}^\rho I_{0^+}^\alpha [|f(t, y(t)) - f(t, 0)| + |f(t, 0)|] + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha [|f(\xi_j, y(\xi_j)) - f(\xi_j, 0)| + |f(\xi_j, 0)|] \right. \\ & \quad + A_1 \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} [|f(\eta_i, y(\eta_i)) - f(\eta_i, 0)| + |f(\eta_i, 0)|] + {}^\rho I_{0^+}^\alpha [|f(T, y(T)) - f(T, 0)| + |f(T, 0)|] \right. \\ & \quad \left. \left. + |\kappa| \right\} + \frac{t^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha [|f(\xi_j, y(\xi_j)) - f(\xi_j, 0)| + |f(\xi_j, 0)|] \right. \\ & \quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} [|f(\eta_i, y(\eta_i)) - f(\eta_i, 0)| + |f(\eta_i, 0)|] + {}^\rho I_{0^+}^\alpha [|f(T, y(T)) - f(T, 0)| + |f(T, 0)|] \right. \right. \\ & \quad \left. \left. + |\kappa| \right\} \right\} \\ & \leq (L\|y\| + f_0) \left[ \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha + \beta + 1)} \right. \right. \right. \\ & \quad \left. \left. + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} \right\} \right] + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha + \beta + 1)} \right. \right. \\ & \quad \left. \left. + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha + 1)} \right] \right\} + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|} \\ & \leq (L\tilde{r} + f_0)\Lambda + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|} \leq \tilde{r}, \end{aligned}$$

which, on taking the norm for  $t \in J$ , yields  $\|\mathcal{G}(y)\| \leq \tilde{r}$ . This shows that  $\mathcal{G}$  maps  $B_{\tilde{r}}$  into itself. Now, we establish that the operator  $\mathcal{G}$  is a contraction. For that, let  $y, z \in \mathcal{C}$ . Then, we get

$$\begin{aligned} |\mathcal{G}(y)(t) - \mathcal{G}(z)(t)| & \leq {}^\rho I_{0^+}^\alpha [|f(t, y(t)) - f(t, z(t))|] + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |f(\xi_j, y(\xi_j)) - f(\xi_j, z(\xi_j))| \right. \\ & \quad \left. + A_1 \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y(\eta_i)) - f(\eta_i, z(\eta_i))| + {}^\rho I_{0^+}^\alpha |f(T, y(T)) - f(T, z(T))| \right] \right\} \\ & \quad + \frac{t^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |f(\xi_j, y(\xi_j)) - f(\xi_j, z(\xi_j))| \right. \\ & \quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |f(\eta_i, y(\eta_i)) - f(\eta_i, z(\eta_i))| + {}^\rho I_{0^+}^\alpha |f(T, y(T)) - f(T, z(T))| \right] \right\} \end{aligned}$$

$$\leq L\Lambda\|y - z\|.$$

Consequently, we obtain

$$\|\mathcal{G}(y) - \mathcal{G}(z)\| \leq L\Lambda\|y - z\|,$$

which implies that  $\mathcal{G}$  is a contraction by the condition (29). Hence,  $\mathcal{G}$  has a unique fixed point by a Banach fixed point theorem. Equivalently, we deduce that problem (1) has a unique solution on  $J$ . The proof is completed.  $\square$

**Example 1.** Consider the following boundary value problem

$$\begin{cases} {}^{1/3}cD_{0+}^{7/5}y(t) = f(t, y(t)), \quad t \in [0, 2], \\ y(2) = 1/2 {}^{1/3}I^{3/5}y(1/4) + 2/3 {}^{1/3}I^{3/5}y(3/4) + 2/9, \\ \delta y(0) = 2/5 y(1) + 4/5 y(3/2), \end{cases} \quad (30)$$

where  $\rho = 1/3$ ,  $\alpha = 7/5$ ,  $\sigma_1 = 1/2$ ,  $\sigma_2 = 2/3$ ,  $\beta = 3/5$ ,  $\eta_1 = 1/4$ ,  $\eta_2 = 3/4$ ,  $\mu_1 = 2/5$ ,  $\mu_2 = 4/5$ ,  $\kappa = 2/9$ ,  $\xi_1 = 1$ ,  $\xi_2 = 3/2$ ,  $T = 2$  and  $f(t, y(t))$  will be fixed later.

Using the given data, we find that  $|A_1| = 2.947314182$ ,  $|A_2| = 1.2$ ,  $|B_1| = 0.491608875$ ,  $|B_2| = 1.181571585$ ,  $|\Omega| = 4.072393340$ , and  $\Lambda = 27.12293267$  ( $A_i, B_i$  ( $i = 1, 2$ ),  $\Omega$  and  $\Lambda$  are respectively given by Equations (12), (13), (14) and (26)).

For illustrating Theorem 1, we take

$$f(t, y) = \frac{(1+t)}{60} \left( \frac{|y|}{|y|+1} + y + \frac{1}{8} \right). \quad (31)$$

Clearly,  $f(t, x)$  is continuous and satisfies the condition  $(H_1)$  with  $\phi(t) = \frac{(1+t)}{60}$ ,  $\psi(\|y\|) = \|y\| + \frac{9}{8}$ . By the condition  $(H_2)$ , we find that  $\mathcal{M} > 2.390158$ . Thus, all conditions of Theorem 1 are satisfied and, consequently, there exists at least one solution for problem (30) with  $f(t, y(t))$  given by (31) on  $[0, 2]$ .

In order to illustrate Theorem 2, we choose

$$f(t, y) = \frac{\tan^{-1}y + e^{-t}}{4\sqrt{81 + \sin t}}. \quad (32)$$

It is easy to check that  $f(t, x)$  is continuous and satisfies the conditions  $(H_3)$  and  $(H_4)$  with  $L = 1/36$  and  $\Phi(t) = \frac{\pi+2e^{-t}}{8\sqrt{81+\sin t}}$ . In addition,

$$L \left( \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} \right) \approx 0.420512 < 1.$$

Thus, all of the conditions of Theorem 2 hold true. Thus, by the conclusion of Theorem 2, problem (30) has at least one solution on  $[0, 2]$ .

With  $L\Lambda \approx 0.753415 < 1$ , one can note that the assumptions of Theorem 3 are also satisfied. Hence, the conclusion of Theorem 3 applies and the problem (30) with  $f(t, y)$  given (32) has a unique solution on  $[0, 2]$ .

#### 4. Existence Results for the Problem (2)

This section is devoted to the existence of solutions for problem (2).

**Definition 4.** A function  $y \in C([0, T], \mathbb{R})$  possessing Liouville–Caputo-type generalized derivative of order  $\alpha$  is said to be a solution of the boundary value problem (2) if  $y(T) = \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^\beta y(\eta_i) + \kappa$ ,  $\delta y(0) = \sum_{j=1}^k \mu_j y(\xi_j)$  and there exists function  $v \in L^1([0, 1], \mathbb{R})$  such that  $v(t) \in F(t, y(t))$  a.e. on  $[0, T]$  and

$$y(t) = {}^\rho I_{0^+}^\alpha v(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\} + \frac{t^\rho}{\rho \Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\}. \tag{33}$$

4.1. The Carathéodory Case

Here, we present an existence result for problem (2) when  $F$  has convex values and is of the Carathéodory type. The main tool of our study is a nonlinear alternative of Leray–Schauder type [40].

**Theorem 4.** Assume that:

- (C<sub>1</sub>)  $F : [0, T] \times \mathbb{R} \rightarrow \mathcal{P}_{cp,c}(\mathbb{R})$  is  $L^1$ -Carathéodory, where  $\mathcal{P}_{cp,c}(\mathbb{R}) = \{Y \in \mathcal{P}(\mathbb{R}) : Y \text{ is compact and convex}\}$ ;
- (C<sub>2</sub>) there exists a continuous nondecreasing function  $\Psi : [0, \infty) \rightarrow (0, \infty)$  and a function  $\Phi \in L^1([0, T], \mathbb{R}^+)$  such that

$$\|F(t, y)\|_{\mathcal{P}} := \sup\{|x| : x \in F(t, y)\} \leq \Phi(t)\Psi(\|y\|) \text{ for each } (t, y) \in [0, T] \times \mathbb{R};$$

- (C<sub>3</sub>) there exists a constant  $W > 0$  such that

$$\frac{\|W\|}{\Psi(\|W\|)\Lambda_2 + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}} > 1,$$

where

$$\Lambda_2 = {}^\rho I_{0^+}^\alpha \Phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha \Phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I_{0^+}^\alpha \Phi(T) \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha \Phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I_{0^+}^\alpha \Phi(T) \right] \right\}. \tag{34}$$

Then, problem (2) has at least one solution on  $[0, T]$ .

**Proof.** In order to convert problem (2) into a fixed point problem, we introduce an operator  $\mathcal{N} : \mathcal{C} \rightarrow \mathcal{P}(\mathcal{C})$  by

$$\mathcal{N}(y) = \{h \in \mathcal{C} : h(t) = \mathcal{F}(y)(t)\}, \tag{35}$$

where

$$\mathcal{F}(y)(t) = {}^\rho I_{0^+}^\alpha v(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\} + \frac{t^\rho}{\rho \Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\},$$

for  $v \in S_{F,y}$ . Obviously, the fixed points of the operator  $\mathcal{N}$  correspond to solutions of the problem (2).

It will be shown in several steps that the operator  $\mathcal{N}$  satisfies the assumptions of the Leray–Schauder nonlinear alternative [40].

Step 1.  $\mathcal{N}(y)$  is convex for each  $y \in \mathcal{C}$ .

This step is obvious since  $S_{F,y}$  is convex ( $F$  has convex values).



Step 2.  $\mathcal{N}$  maps bounded sets (balls) into bounded sets in  $\mathcal{C}$ .

For a positive number  $R$ , let  $B_R = \{y \in \mathcal{C} : \|y\| \leq R\}$  be a bounded ball in  $\mathcal{C}$ . Then, for each  $h \in \mathcal{N}(y)$ ,  $y \in B_R$ , there exists  $v \in S_{F,\mathcal{N}}$  such that

$$h(t) = {}^\rho I_{0^+}^\alpha v(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\} + \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\}.$$

Then, for  $t \in [0, T]$ , we have

$$\begin{aligned} |h(t)| &\leq {}^\rho I_{0^+}^\alpha |v(t)| + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha |v(\xi_j)| + |A_1| \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} |v(\eta_i)| + {}^\rho I_{0^+}^\alpha |v(T)| + |\kappa| \right] \right\} \\ &\quad + \frac{t^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha |v(\xi_j)| + |A_2| \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} |v(\eta_i)| + {}^\rho I_{0^+}^\alpha |v(T)| + |\kappa| \right] \right\} \\ &\leq \Psi(\|y\|) \left( {}^\rho I_{0^+}^\alpha \Phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha \Phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I_{0^+}^\alpha \Phi(T) \right] \right\} \right) \\ &\quad + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha \Phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I_{0^+}^\alpha \Phi(T) \right] \right\} \\ &\quad + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}. \end{aligned}$$

Thus,

$$\begin{aligned} \|h\| &\leq \Psi(R) \left( {}^\rho I_{0^+}^\alpha \Phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha \Phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I_{0^+}^\alpha \Phi(T) \right] \right\} \right) \\ &\quad + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha \Phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I_{0^+}^\alpha \Phi(T) \right] \right\} \\ &\quad + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|} := \tilde{\ell}. \end{aligned}$$

Step 3.  $\mathcal{N}$  maps bounded sets into equicontinuous sets of  $\mathcal{C}$ .

Let  $t_1, t_2 \in (0, T]$ ,  $t_1 < t_2$ , and let  $y \in B_R$ . Then,

$$\begin{aligned} &|h(t_2) - h(t_1)| \\ &\leq \left| {}^\rho I_{0^+}^\alpha v(t_2) - {}^\rho I_{0^+}^\alpha v(t_1) \right| + \frac{|t_2^\rho - t_1^\rho|}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |v(\xi_j)| \right. \\ &\quad \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |v(\eta_i)| + {}^\rho I_{0^+}^\alpha |v(T)| + |\kappa| \right] \right\} \\ &\leq \frac{\rho^{1-\alpha} \Psi(R)}{\Gamma(\alpha)} \left| \int_0^{t_1} \left[ \frac{s^{\rho-1}}{(t_2^\rho - s^\rho)^{1-\alpha}} - \frac{s^{\rho-1}}{(t_1^\rho - s^\rho)^{1-\alpha}} \right] \Phi(s) ds + \int_{t_1}^{t_2} \frac{s^{\rho-1}}{(t_2^\rho - s^\rho)^{1-\alpha}} \Phi(s) ds \right| \\ &\quad + \frac{|t_2^\rho - t_1^\rho|}{\rho|\Omega|} \left\{ \Psi(R) \left( |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha \Phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I_{0^+}^\alpha \Phi(T) \right] \right) + |A_2| |\kappa| \right\} \\ &\rightarrow 0 \text{ as } t_2 - t_1 \rightarrow 0, \end{aligned}$$

independently of  $y \in B_R$ . In view of the foregoing steps, the Arzelà–Ascoli theorem applies and that the operator  $\mathcal{N} : \mathcal{C} \rightarrow \mathcal{P}(\mathcal{C})$  is completely continuous.

In our next step, we show that  $\mathcal{N}$  is u.s.c. We just need to establish that  $\mathcal{N}$  has a closed graph as it is already shown to be completely continuous [42] (Proposition 1.2).

Step 4.  $\mathcal{N}$  has a closed graph.

Let  $y_n \rightarrow y_*$ ,  $h_n \in \mathcal{N}(y_n)$  and  $h_n \rightarrow h_*$ . Then, we have to show that  $h_* \in \mathcal{N}(y_*)$ . Associated with  $h_n \in \mathcal{N}(y_n)$ , we have that  $v_n \in S_{F,y_n}$  such that for each  $t \in [0, T]$ ,

$$h_n(t) = {}^\rho I_{0^+}^\alpha v_n(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v_n(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v_n(\eta_i) - {}^\rho I_{0^+}^\alpha v_n(T) + \kappa \right] \right\} + \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v_n(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v_n(\eta_i) - {}^\rho I_{0^+}^\alpha v_n(T) + \kappa \right] \right\}.$$

Thus, it is sufficient to establish that there exists  $v_* \in S_{F,y_*}$  such that for each  $t \in [0, T]$ ,

$$h_*(t) = {}^\rho I_{0^+}^\alpha v_*(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v_*(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v_*(\eta_i) - {}^\rho I_{0^+}^\alpha v_*(T) + \kappa \right] \right\} + \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v_*(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v_*(\eta_i) - {}^\rho I_{0^+}^\alpha v_*(T) + \kappa \right] \right\}.$$

Next, we introduce the linear operator  $\Theta : L^1([0, T], \mathbb{R}) \rightarrow C$  as

$$v \mapsto \Theta v(t) = {}^\rho I_{0^+}^\alpha v(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\} + \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\}.$$

Observe that  $\|h_n(t) - h_*(t)\| \rightarrow 0$  as  $n \rightarrow \infty$ . Therefore, by a closed graph result obtained in [43],  $\Theta \circ S_F$  is a closed graph operator. Moreover, we have that  $h_n(t) \in \Theta(S_{F,y_n})$ . As  $y_n \rightarrow y_*$ , we have that

$$h_*(t) = {}^\rho I_{0^+}^\alpha v_*(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v_*(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v_*(\eta_i) - {}^\rho I_{0^+}^\alpha v_*(T) + \kappa \right] \right\} + \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v_*(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v_*(\eta_i) - {}^\rho I_{0^+}^\alpha v_*(T) + \kappa \right] \right\}$$

for some  $v_* \in S_{F,y_*}$ .

Step 5. There exists an open set  $\mathcal{U} \subseteq C([0, T], \mathbb{R})$  with  $y \notin \lambda \mathcal{N}(y)$  for any  $\lambda \in (0, 1)$  and all  $y \in \partial \mathcal{U}$ .

Let  $\lambda \in (0, 1)$  and  $y \in \lambda \mathcal{N}(y)$ . Then, we can find  $v \in L^1([0, T], \mathbb{R})$  and  $v \in S_{F,y}$  such that, for  $t \in [0, T]$ , we have

$$y(t) = \lambda {}^\rho I_{0^+}^\alpha v(t) + \frac{\lambda}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\} + \lambda \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j {}^\rho I_{0^+}^\alpha v(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} v(\eta_i) - {}^\rho I_{0^+}^\alpha v(T) + \kappa \right] \right\}.$$

As in Step 2, one can find that

$$|y(t)| \leq {}^\rho I_{0^+}^\alpha |v(t)| + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |v(\xi_j)| + |A_1| \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} |v(\eta_i)| + {}^\rho I_{0^+}^\alpha |v(T)| + |\kappa| \right] \right\} + \frac{t^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |v(\xi_j)| + |A_2| \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{0^+}^{\alpha+\beta} |v(\eta_i)| + {}^\rho I_{0^+}^\alpha |v(T)| + |\kappa| \right] \right\}$$

$$\begin{aligned} &\leq \Psi(y) \left( {}^\rho I^\alpha \Phi(T) + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I^\alpha \Phi(\xi_j) + |A_1| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |\Phi(\eta_i)| + {}^\rho I^\alpha |\Phi(T)| \right] \right\} \right. \\ &\quad + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I^\alpha \Phi(\xi_j) + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} \Phi(\eta_i) + {}^\rho I^\alpha \Phi(T) \right] \right\} \\ &\quad \left. + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|} \right), \end{aligned}$$

which implies that

$$\frac{\|y\|}{\Psi(\|y\|)\Lambda_2 + \frac{|\kappa|(\rho|A_1| + T^\rho|A_2|)}{\rho|\Omega|}} \leq 1.$$

By the assumption (C<sub>3</sub>), there exists  $W$  such that  $\|y\| \neq W$ . Let us set

$$U = \{y \in C(J, \mathbb{R}) : \|y\| < W\}.$$

Observe that the operator  $\mathcal{N} : \bar{U} \rightarrow \mathcal{P}(C(J, \mathbb{R}))$  is a compact multi-valued map, u.s.c. with convex closed values. From the choice of  $U$ , there does not exist  $y \in \partial U$  satisfying  $y \in \lambda \mathcal{N}(y)$  for some  $\lambda \in (0, 1)$ . In consequence, we deduce by the nonlinear alternative of Leray–Schauder type [40] that the operator  $\mathcal{N}$  has a fixed point  $y \in \bar{U}$  that is a solution of problem (2). This completes the proof.  $\square$

#### 4.2. The Lipschitz Case

Let  $(X, d)$  be a metric space induced from the normed space  $(X; \|\cdot\|)$ . Define  $H_d : \mathcal{P}(X) \times \mathcal{P}(X) \rightarrow \mathbb{R} \cup \{\infty\}$  as  $H_d(P, Q) = \max\{\sup_{p \in P} d(p, Q), \sup_{q \in Q} d(P, q)\}$ , where  $d(P, q) = \inf_{p \in P} d(p, q)$  and  $d(p, Q) = \inf_{q \in Q} d(p, q)$ . Then,  $(\mathcal{P}_{cl,b}(X), H_d)$  is a metric space [16]. (Here,  $\mathcal{P}_{cl,b}(X) = \{Y \in \mathcal{P}(X) : Y \text{ is closed and bounded}\}$ ).

In the following result, we apply a fixed point theorem (If  $N : X \rightarrow \mathcal{P}_{cl}(X)$  is a contraction, then  $FixN \neq \emptyset$ , where  $\mathcal{P}_{cl}(X) = \{Y \in \mathcal{P}(X) : Y \text{ is closed}\}$ ) due to Covitz and Nadler [44].

**Theorem 5.** *Let the following conditions hold:*

- (C<sub>4</sub>)  $F : [0, T] \times \mathbb{R} \rightarrow \mathcal{P}_{cp}(\mathbb{R})$  is such that  $F(\cdot, y) : [0, T] \rightarrow \mathcal{P}_{cp}(\mathbb{R})$  is measurable for each  $y \in \mathbb{R}$ , where  $\mathcal{P}_{cp}(\mathbb{R}) = \{Y \in \mathcal{P}(\mathbb{R}) : Y \text{ is compact}\}$ ;
- (C<sub>5</sub>)  $H_d(F(t, y), F(t, \bar{y})) \leq \theta(t)|y - \bar{y}|$  for almost all  $t \in [0, T]$  and  $y, \bar{y} \in \mathbb{R}$  with  $\theta \in C([0, T], \mathbb{R}^+)$  and  $d(0, F(t, 0)) \leq \theta(t)$  for almost all  $t \in [0, T]$ , where

Then, problem (2) has at least one solution on  $[0, T]$  if  $\|\theta\|\Lambda < 1$ , i.e.,

$$\begin{aligned} K := & \|\theta\| \left[ \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right. \right. \\ & \left. \left. + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} \right. \\ & \left. + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} \right] < 1. \end{aligned} \tag{36}$$

**Proof.** By the assumption (C<sub>4</sub>), it is clear that the set  $S_{F,y}$  is nonempty for each  $y \in \mathcal{C}$  and thus there exists a measurable selection for  $F$  (see Theorem III.6 [45]). Firstly, it will be shown that  $\mathcal{N}(y) \in \mathcal{P}_{cl}(\mathcal{C})$  for each  $y \in \mathcal{C}$ , where the operator  $\mathcal{N}$  is defined by (35). Let  $\{u_n\}_{n \geq 0} \in \mathcal{F}(y)$  be such that  $u_n \rightarrow u$  ( $n \rightarrow \infty$ ) in  $\mathcal{C}$ . Then,  $u \in \mathcal{C}$  and we can find  $v_n \in S_{F,y_n}$  such that, for each  $t \in [0, T]$ ,

$$u_n(t) = {}^\rho I_{0^+}^\alpha v_n(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j {}^\rho I^\alpha v_n(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i {}^\rho I_{\eta_0}^{\alpha+\beta} v_n(\eta_i) - {}^\rho I^\alpha v_n(T) + \kappa \right] \right\}$$

$$+ \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j^\rho I_{0+}^\alpha v_n(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0+}^{\alpha+\beta} v_n(\eta_i) - \rho I_{0+}^\alpha v_n(T) + \kappa \right] \right\}.$$

Since  $F$  has compact values, we pass onto a subsequence (if necessary) such that  $v_n$  converges to  $v$  in  $L^1([0, T], \mathbb{R})$ , which implies that  $v \in S_{F,y}$  and for each  $t \in [0, T]$ , we have

$$\begin{aligned} u_n(t) \rightarrow u(t) &= \rho I_{0+}^\alpha v(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j^\rho I_{0+}^\alpha v_n(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0+}^{\alpha+\beta} v(\eta_i) - \rho I_{0+}^\alpha v(T) + \kappa \right] \right\} \\ &+ \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j^\rho I_{0+}^\alpha v(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0+}^{\alpha+\beta} v(\eta_i) - \rho I_{0+}^\alpha v(T) + \kappa \right] \right\}. \end{aligned}$$

Thus,  $u \in \mathcal{N}(y)$ .

Next, it will be shown that there exists  $K < 1$  (defined by (36)) such that

$$H_d(\mathcal{N}(y), \mathcal{N}(\bar{y})) \leq K \|y - \bar{y}\| \text{ for each } y, \bar{y} \in \mathcal{C}.$$

Let  $y, \bar{y} \in \mathcal{C}$  and  $h_1 \in \mathcal{F}(y)$ . Then, there exists  $v_1(t) \in F(t, y(t))$  for each  $t \in [0, T]$  and that

$$\begin{aligned} h_1(t) &= \rho I_{0+}^\alpha v_1(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j^\rho I_{0+}^\alpha v_1(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0+}^{\alpha+\beta} v_1(\eta_i) - \rho I_{0+}^\alpha v_1(T) + \kappa \right] \right\} \\ &+ \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j^\rho I_{0+}^\alpha v_1(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0+}^{\alpha+\beta} v_1(\eta_i) - \rho I_{0+}^\alpha v_1(T) + \kappa \right] \right\}. \end{aligned}$$

By (C<sub>5</sub>),  $H_d(F(t, y), F(t, \bar{y})) \leq \theta(t) |y(t) - \bar{y}(t)|$  and that there exists  $w \in F(t, \bar{y}(t))$  satisfying the inequality:  $|v_1(t) - w| \leq \theta(t) |y(t) - \bar{y}(t)|$ ,  $t \in [0, T]$ .

Next, we introduce  $\mathcal{S} : [0, T] \rightarrow \mathcal{P}(\mathbb{R})$  as

$$\mathcal{S}(t) = \{w \in \mathbb{R} : |v_1(t) - w| \leq \theta(t) |y(t) - \bar{y}(t)|\}.$$

By Proposition III.4 [45], the multivalued operator  $\mathcal{S}(t) \cap F(t, \bar{y}(t))$  is measurable. Thus, there exists a function  $v_2(t)$ , which is a measurable selection for  $\mathcal{S}$  and that  $v_2(t) \in F(t, \bar{y}(t))$ . Thus, for each  $t \in [0, T]$ , we have  $|v_1(t) - v_2(t)| \leq \theta(t) |y(t) - \bar{y}(t)|$ .

Next, we define

$$\begin{aligned} h_2(t) &= \rho I_{0+}^\alpha v_2(t) + \frac{1}{\Omega} \left\{ -B_1 \sum_{j=1}^k \mu_j^\rho I_{0+}^\alpha v_2(\xi_j) + A_1 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0+}^{\alpha+\beta} v_2(\eta_i) - \rho I_{0+}^\alpha v_2(T) + \kappa \right] \right\} \\ &+ \frac{t^\rho}{\rho\Omega} \left\{ B_2 \sum_{j=1}^k \mu_j^\rho I_{0+}^\alpha v_2(\xi_j) + A_2 \left[ \sum_{i=1}^m \sigma_i^\rho I_{0+}^{\alpha+\beta} v_2(\eta_i) - \rho I_{0+}^\alpha v_2(T) + \kappa \right] \right\} \end{aligned}$$

for each  $t \in [0, T]$ . Then,

$$\begin{aligned} & |h_1(t) - h_2(t)| \\ & \leq {}^\rho I_{0^+}^\alpha |v_1(t) - v_2(t)| + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |v_1(\xi_j) - v_2(\xi_j)| + |A_1| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |v_1(\eta_i) - v_2(\eta_i)| \right. \right. \\ & \left. \left. + {}^\rho I_{0^+}^\alpha |v_1(T) - v_2(T)| \right] \right\} + \frac{t^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| {}^\rho I_{0^+}^\alpha |v_2(\xi_j) - v_1(\xi_j)| + |A_2| \left[ \sum_{i=1}^m |\sigma_i| {}^\rho I_{0^+}^{\alpha+\beta} |v_2(\eta_i) - v_1(\eta_i)| \right. \right. \\ & \left. \left. + {}^\rho I_{0^+}^\alpha |v_2(T) - v_1(T)| \right] \right\} \\ & \leq \|\theta\| \left[ \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} \right] \\ & \left. + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} \|y - \bar{y}\|. \end{aligned}$$

Hence,

$$\begin{aligned} \|h_1 - h_2\| & \leq \|\theta\| \left[ \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} \right. \right. \right. \\ & \left. \left. + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right. \\ & \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} \|y - \bar{y}\|. \end{aligned}$$

Analogously, interchanging the roles of  $y$  and  $\bar{y}$ , we find that

$$\begin{aligned} H_d(\mathcal{N}(y), \mathcal{N}(\bar{y})) & \leq \|\theta\| \left[ \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + \frac{1}{|\Omega|} \left\{ |B_1| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} + |A_1| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} \right. \right. \right. \\ & \left. \left. + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} + \frac{T^\rho}{\rho|\Omega|} \left\{ |B_2| \sum_{j=1}^k |\mu_j| \frac{\xi_j^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right. \\ & \left. + |A_2| \left[ \sum_{i=1}^m |\sigma_i| \frac{\eta_i^{\rho(\alpha+\beta)}}{\rho^{\alpha+\beta} \Gamma(\alpha+\beta+1)} + \frac{T^{\rho\alpha}}{\rho^\alpha \Gamma(\alpha+1)} \right] \right\} \|y - \bar{y}\|. \end{aligned}$$

This shows that  $\mathcal{N}$  is a contraction. Therefore, the operator  $\mathcal{N}$  has a fixed point  $y$  by Covitz and Nadler [44], which corresponds to a solution of problem (2). This completes the proof.  $\square$

**Example 2.** Consider the following inclusions problem:

$$\begin{cases} {}^{1/3}{}_c D_{0^+}^{7/5} y(t) \in F(t, y(t)), \quad t \in [0, 2], \\ y(2) = 1/2 {}^{1/3} I^{3/5} y(1/4) + 2/3 {}^{1/3} I^{3/5} y(3/4) + 2/9, \\ \delta y(0) = 2/5 y(1) + 4/5 y(3/2), \end{cases} \tag{37}$$

where  $F(t, y(t))$  will be defined later.

The values of  $|A_1|$ ,  $|A_2|$ ,  $|B_1|$ ,  $|B_2|$ ,  $|\Omega|$  and  $\Lambda$  are the same as those in Example 1. For illustrating Theorem 4, we take

$$F(t, y(t)) = \left[ \frac{e^{-t}}{\sqrt{4000+t}} \left( \sin y + \frac{1}{2} \right), \frac{(1+t)}{60} \left( \tan^{-1} y + y + \frac{1}{4} \right) \right]. \tag{38}$$

It is easy to check that  $F(t, y(t))$  is  $L^1$ -Carathéodory. In view of  $(C_2)$ , we find that  $\Phi(t) = \frac{(1+t)}{60}, \Psi(\|y\|) = \|y\| + \frac{2\pi+1}{4}$  and the condition  $(C_3)$  implies that  $W > 3.289470$ . Thus, all hypotheses of Theorem 4 hold true and the conclusion of Theorem 4 applies to problem (37) with  $F(t, y(t))$  given by (38) on  $[0, 2]$ .

Now, we illustrate Theorem 5 by considering

$$F(t, y(t)) = \left[ \frac{(t+1)}{4\sqrt{900+t}} \left( \tan^{-1} y + \sin t \right), \frac{e^{-t} \cos t}{250} \left( \frac{|y|}{|y|+1} + \frac{1}{8} \right) \right]. \quad (39)$$

Clearly,

$$H_d(F(t, y), F(t, \bar{y})) \leq \frac{(t+1)}{120} \|y - \bar{y}\|.$$

Letting  $\theta(t) = \frac{(t+1)}{120}$ , we observe that  $d(0, F(t, 0)) \leq \theta(t)$  for almost all  $t \in [0, 2]$  and that  $K \approx 0.6780733168 < 1$  ( $K$  is given by (36)). As the assumptions of Theorem 5 hold true, there exists at least one solution for problem (37) with  $F(t, y(t))$  given by (39) on  $[0, 2]$ .

## 5. Conclusions

We have developed the existence theory for fractional differential equations and inclusions involving the Liouville–Caputo-type generalized derivative, supplemented with nonlocal generalized fractional integral and multipoint boundary conditions. Our results are based on the modern techniques of the functional analysis. In case of a single valued problem (1), we have obtained three results: the first two results deal with the existence of solutions while the third one is concerned with the uniqueness of solutions for the given problem. The first existence result relies on a Leray–Schauder nonlinear alternative, which allows the nonlinearity  $f(t, y)$  to behave like  $|f(t, y)| \leq \phi(t)\psi(\|y\|)$  (see  $(H_1)$ ) and the second results, depending on Krasnoselskii’s fixed point theorem, handles the nonlinearity  $f(t, y)$  of the form described by the conditions  $(H_3)$  and  $(H_4)$ . The third result provides a criterion ensuring a unique solution of the problem at hand by requiring the nonlinear function  $f(t, y)$  to satisfy the classical Lipschitz condition and is based on a Banach fixed point theorem. The tools of the fixed point theory chosen for our case are easy to apply and extend the scope of the obtained results in the scenario of simplicity of the assumptions. Again, for the inclusion problem (2), the idea is to assume a simple set of conditions to establish the existence of solutions for problem (2) involving both convex and nonconvex valued maps. As a matter of fact, the fixed point theorems chosen to solve the multivalued problem (2) are standard and popular in view of their applicability. Concerning the choice of the method to solve a given problem, one needs to look at the set of assumptions satisfied by the single and multivalued maps involved in the problem, which decides the selection of the tool to be employed. As an application of the present work, the generalization of the Feynman and Wiener path integrals developed by Laskin [46], in the context of fractional quantum mechanics and fractional statistical mechanics, can be enhanced further. We emphasize that we obtain new results associated with symmetric solutions of a second-order ordinary differential equation equipped with nonlocal fractional integral and multipoint boundary conditions if we take  $0 < \eta_1 < \dots < \eta_i < \dots < \eta_m < \xi_1 < \dots < \xi_j < \dots < \xi_k < T/2$  and  $f(t, x)$  to be symmetric on the interval  $[0, T]$  for all  $x \in \mathbb{R}$  when  $\alpha \rightarrow 2^-$  ( $\rho = 1$ ).

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Article

# Convolution and Partial Sums of Certain Multivalent Analytic Functions Involving Srivastava–Tomovski Generalization of the Mittag–Leffler Function

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**Abstract:** We derive several properties such as convolution and partial sums of multivalent analytic functions associated with an operator involving Srivastava–Tomovski generalization of the Mittag–Leffler function.

**Keywords:** analytic function; Hadamard product (convolution); partial sum; Srivastava–Tomovski generalization of Mittag–Leffler function; subordination

## 1. Introduction

The Mittag–Leffler function  $E_\alpha(z)$  [1] and its generalization  $E_{\alpha,\beta}(z)$  [2] are defined by the following series:

$$E_\alpha(z) = \sum_{n=0}^{\infty} \frac{z^n}{\Gamma(\alpha n + 1)} \quad (z, \alpha \in \mathbb{C}; \operatorname{Re}(\alpha) > 0) \quad (1)$$

and

$$E_{\alpha,\beta}(z) = \sum_{n=0}^{\infty} \frac{z^n}{\Gamma(\alpha n + \beta)} \quad (z, \alpha, \beta \in \mathbb{C}; \operatorname{Re}(\alpha) > 0), \quad (2)$$

respectively. It is known that these functions are extensions of exponential, hyperbolic, and trigonometric functions, since

$$E_1(z) = E_{1,1}(z) = e^z,$$

$$E_2(z^2) = E_{2,1}(z^2) = \cosh z$$

and

$$E_2(-z^2) = E_{2,1}(-z^2) = \cos z.$$

The functions  $E_\alpha(z)$  and  $E_{\alpha,\beta}(z)$  arise naturally in the resolvent of fractional integro-differential and fractional differential equations which are involved in random walks, super-diffusive transport problems, the kinetic equation, Lévy flights, and in the study of complex systems. In particular, the Mittag–Leffler function is an explicit formula for the solution the Riemann–Liouville fractional integrals that was developed by Hille and Tamarkin.

In [3], Srivastava and Tomovski defined a generalized Mittag–Leffler function  $E_{\alpha,\beta}^{\gamma,k}(z)$  as follows:

$$E_{\alpha,\beta}^{\gamma,k}(z) = \sum_{n=0}^{\infty} \frac{(\gamma)_{nk} z^n}{\Gamma(\alpha n + \beta) n!}, \quad (3)$$

$$(\alpha, \beta, \gamma, k, z \in \mathbb{C}; \operatorname{Re}(\alpha) > \max\{0, \operatorname{Re}(k) - 1\}; \operatorname{Re}(k) > 0),$$

where  $(x)_n$  is the Pochhammer symbol

$$(x)_n = \frac{\Gamma(x+n)}{\Gamma(x)} = x(x+1)\cdots(x+n-1) \quad (n \in \mathbb{N}; \quad x \in \mathbb{C})$$

and  $(x)_0 = 1$ . They proved that the function  $E_{\alpha,\beta}^{\gamma,k}(z)$  given by (3) is an entire function in the complex plane. Recently, Attiya [4] proved that, if  $\text{Re}(\alpha) \geq 0$  with  $\text{Re}(k) = 1$  and  $\beta \neq 0$ , the power series in (3) converges absolutely and analytically in  $\mathbb{U} = \{z : |z| < 1\}$  for all  $\gamma \in \mathbb{C}$ . We call the function  $E_{\alpha,\beta}^{\gamma,k}(z)$  the Srivastava–Tomovski generalization of the Mittag–Leffler function.

Let  $\mathcal{A}(p)$  be the class of functions of the form

$$f(z) = z^p + \sum_{n=2}^{\infty} a_{n+p-1} z^{n+p-1} \quad (p \in \mathbb{N}) \tag{4}$$

which are analytic in  $\mathbb{U}$ . For  $p = 1$ , we write  $\mathcal{A} := \mathcal{A}(1)$ . The Hadamard product (or convolution) of two functions

$$f_j(z) = z^p + \sum_{n=2}^{\infty} a_{n+p-1,j} z^{n+p-1} \in \mathcal{A}(p) \quad (j = 1, 2)$$

is given by

$$(f_1 * f_2)(z) = z^p + \sum_{n=2}^{\infty} a_{n+p-1,1} a_{n+p-1,2} z^{n+p-1} = (f_2 * f_1)(z).$$

Let  $\mathcal{P}$  denote the class of functions  $\varphi$  with  $\varphi(0) = 1$ . Suppose that  $f$  and  $g$  are analytic in  $\mathbb{U}$ . If there exists a Schwarz function  $w$  such that  $f(z) = g(w(z))$  for  $z \in \mathbb{U}$ , then we say that the function  $f$  is subordinate to  $g$  and write  $f(z) \prec g(z)$  for  $z \in \mathbb{U}$ . Furthermore, if  $g$  is univalent in  $\mathbb{U}$ , then the following equivalence holds true:

$$f(z) \prec g(z) \quad (z \in \mathbb{U}) \Leftrightarrow f(0) = g(0) \quad \text{and} \quad f(\mathbb{U}) \subset g(\mathbb{U}).$$

Throughout this paper, we assume that

$$\alpha, \beta, \gamma, k \in \mathbb{C}; \quad \text{Re}(\alpha) > \max\{0, \text{Re}(k) - 1\} \quad \text{and} \quad \text{Re}(k) > 0.$$

We define the function  $Q_{\alpha,\beta}^{\gamma,k}(z) \in \mathcal{A}(p)$  associated with the Srivastava–Tomovski generalization of the Mittag–Leffler function by

$$Q_{\alpha,\beta}^{\gamma,k}(z) = \frac{\Gamma(\alpha + \beta)}{(\gamma)_k} z^{p-1} \left( E_{\alpha,\beta}^{\gamma,k}(z) - \frac{1}{\Gamma(\beta)} \right) \quad (z \in \mathbb{U}). \tag{5}$$

For  $f \in \mathcal{A}(p)$ , we introduce a new operator  $H_{\alpha,\beta}^{\gamma,k} : \mathcal{A}(p) \rightarrow \mathcal{A}(p)$  by

$$\begin{aligned} H_{\alpha,\beta}^{\gamma,k} f(z) &= Q_{\alpha,\beta}^{\gamma,k}(z) * f(z) \\ &= z^p + \sum_{n=2}^{\infty} \frac{\Gamma(\gamma + nk)\Gamma(\alpha + \beta)}{\Gamma(\gamma + k)\Gamma(\alpha n + \beta)n!} a_{n+p-1} z^{n+p-1}. \end{aligned} \tag{6}$$

Note that  $H_{0,\beta}^{\gamma,1} f(z) = f(z)$ . From (6), we easily have the following identity:

$$z \left( H_{\alpha,\beta}^{\gamma,k} f(z) \right)' = \left( \frac{\gamma}{k} + 1 \right) H_{\alpha,\beta}^{\gamma+1,k} f(z) - \left( \frac{\gamma}{k} + 1 - p \right) H_{\alpha,\beta}^{\gamma,k} f(z). \tag{7}$$

It is noteworthy to mention that the Fox–Wright hypergeometric function  ${}_q\Psi_s$  is more general than many of the extensions of the Mittag–Leffler function.

Now, we introduce a new subclass of  $\mathcal{A}(p)$  by using the operator  $H_{\alpha,\beta}^{\gamma,k}$ .

**Definition 1.** A function  $f \in \mathcal{A}(p)$  is said to be in  $\Omega_{\alpha,\beta}^{\gamma,k}(\lambda; \varphi)$  if it satisfies the first-order differential subordination:

$$(1 - \lambda)z^{-p}H_{\alpha,\beta}^{\gamma,k}f(z) + \frac{\lambda}{p}z^{-p+1} \left( H_{\alpha,\beta}^{\gamma,k}f(z) \right)' \prec \varphi(z), \tag{8}$$

where  $\lambda \in \mathbb{C}$  and  $\varphi \in \mathcal{P}$ .

**Lemma 1.** ([5]). Let  $g(z) = 1 + \sum_{n=m}^{\infty} b_n z^n$  ( $m \in \mathbb{N}$ ) be analytic in  $\mathbb{U}$ . If  $\text{Re}(g(z)) > 0$  ( $z \in \mathbb{U}$ ), then

$$\text{Re}(g(z)) \geq \frac{1 - |z|^m}{1 + |z|^m} \quad (z \in \mathbb{U}).$$

The study of the Mittag–Leffler function is an interesting topic in Geometric Function Theory. Many properties of the Mittag–Leffler function and the generalized Mittag–Leffler function can be found, e.g., in [6–22]. In this paper we shall make a further contribution to the subject by showing some interesting properties such as convolution and partial sums for functions in the class  $\Omega_{\alpha,\beta}^{\gamma,k}(\lambda; \varphi)$ .

**2. Properties of the Class  $\Omega_{\alpha,\beta}^{\gamma,k}(\lambda; \varphi)$**

**Theorem 1.** Let  $\lambda \geq 0$  and

$$f_j(z) = z^p + \sum_{n=2}^{\infty} a_{n+p-1,j} z^{n+p-1} \in \Omega_{\alpha,\beta}^{\gamma,k}(\lambda; \varphi_j) \quad (j = 1, 2), \tag{9}$$

where

$$\varphi_j(z) = \frac{1 + A_j z}{1 + B_j z} \quad \text{and} \quad -1 \leq B_j < A_j \leq 1. \tag{10}$$

If  $f \in \mathcal{A}(p)$  is defined by

$$H_{\alpha,\beta}^{\gamma,k}f(z) = \left( H_{\alpha,\beta}^{\gamma,k}f_1(z) \right) * \left( H_{\alpha,\beta}^{\gamma,k}f_2(z) \right), \tag{11}$$

then  $f \in \Omega_{\alpha,\beta}^{\gamma,k}(\lambda; \varphi)$ , where

$$\varphi(z) = \rho + (1 - \rho) \frac{1 + z}{1 - z} \tag{12}$$

and  $\rho$  is given by

$$\rho = \begin{cases} 1 - \frac{4(A_1 - B_1)(A_2 - B_2)}{(1 - B_1)(1 - B_2)} \left( 1 - \frac{p}{\lambda} \int_0^1 \frac{t^{\lambda-1}}{1+t} dt \right) & (\lambda > 0), \\ 1 - \frac{2(A_1 - B_1)(A_2 - B_2)}{(1 - B_1)(1 - B_2)} & (\lambda = 0). \end{cases} \tag{13}$$

The bound  $\rho$  is sharp when  $B_1 = B_2 = -1$ .

**Proof.** We consider the case when  $\lambda > 0$ . Since  $f_j \in \Omega_{\alpha,\beta}^{\gamma,k}(\lambda; \varphi_j)$ , it follows that

$$\begin{aligned} p_j(z) &= (1 - \lambda)z^{-p}H_{\alpha,\beta}^{\gamma,k}f_j(z) + \frac{\lambda}{p}z^{-p+1} \left( H_{\alpha,\beta}^{\gamma,k}f_j(z) \right)' \\ &\prec \frac{1 + A_j z}{1 + B_j z} \quad (j = 1, 2) \end{aligned} \tag{14}$$

and

$$\begin{aligned}
 H_{\alpha,\beta}^{\gamma,k} f_j(z) &= \frac{p}{\lambda} z^{-\frac{p(1-\lambda)}{\lambda}} \int_0^z t^{\frac{p}{\lambda}-1} p_j(t) dt \\
 &= \frac{p}{\lambda} z^p \int_0^1 t^{\frac{p}{\lambda}-1} p_j(tz) dt \quad (j = 1, 2).
 \end{aligned}
 \tag{15}$$

Now, if  $f \in \mathcal{A}(p)$  is defined by (11), we find from (14) that

$$\begin{aligned}
 H_{\alpha,\beta}^{\gamma,k} f(z) &= \left( H_{\alpha,\beta}^{\gamma,k} f_1(z) \right) * \left( H_{\alpha,\beta}^{\gamma,k} f_2(z) \right) \\
 &= \left( \frac{p}{\lambda} z^p \int_0^1 t^{\frac{p}{\lambda}-1} p_1(tz) dt \right) * \left( \frac{p}{\lambda} z^p \int_0^1 t^{\frac{p}{\lambda}-1} p_2(tz) dt \right) \\
 &= \frac{p}{\lambda} z^p \int_0^1 t^{\frac{p}{\lambda}-1} p_0(tz) dt,
 \end{aligned}
 \tag{16}$$

where

$$p_0(z) = \frac{p}{\lambda} \int_0^1 t^{\frac{p}{\lambda}-1} (p_1 * p_2)(tz) dt.
 \tag{17}$$

Further, by using (14) and the Herglotz theorem, we see that

$$\operatorname{Re} \left\{ \left( \frac{p_1(z) - \rho_1}{1 - \rho_1} \right) * \left( \frac{1}{2} + \frac{p_2(z) - \rho_2}{2(1 - \rho_2)} \right) \right\} > 0 \quad (z \in \mathbb{U}),$$

which leads to

$$\operatorname{Re}\{ (p_1 * p_2)(z) \} > \rho_0 = 1 - 2(1 - \rho_1)(1 - \rho_2) \quad (z \in \mathbb{U}),$$

where

$$0 \leq \rho_j = \frac{1 - A_j}{1 - B_j} < 1 \quad (j = 1, 2).$$

Moreover, according to Lemma, we have

$$\operatorname{Re}\{ (p_1 * p_2)(z) \} \geq \rho_0 + (1 - \rho_0) \frac{1 - |z|}{1 + |z|} \quad (z \in \mathbb{U}).
 \tag{18}$$

Thus, it follows from (16) to (18) that

$$\begin{aligned}
 &\operatorname{Re} \left\{ (1 - \lambda) z^{-p} H_{\alpha,\beta}^{\gamma,k} f(z) + \frac{\lambda}{p} z^{-p+1} \left( H_{\alpha,\beta}^{\gamma,k} f(z) \right)' \right\} = \operatorname{Re}\{ p_0(z) \} \\
 &= \frac{p}{\lambda} \int_0^1 t^{\frac{p}{\lambda}-1} \operatorname{Re}\{ (p_1 * p_2)(tz) \} dt \\
 &\geq \frac{p}{\lambda} \int_0^1 t^{\frac{p}{\lambda}-1} \left( \rho_0 + (1 - \rho_0) \frac{1 - |z|t}{1 + |z|t} \right) dt \\
 &> \rho_0 + \frac{p(1 - \rho_0)}{\lambda} \int_0^1 t^{\frac{p}{\lambda}-1} \frac{1 - t}{1 + t} dt \\
 &= 1 - 4(1 - \rho_1)(1 - \rho_2) \left( 1 - \frac{p}{\lambda} \int_0^1 \frac{t^{\frac{p}{\lambda}-1}}{1 + t} dt \right) \\
 &= \rho,
 \end{aligned}$$

which proves that  $f \in \Omega_{\alpha,\beta}^{\gamma,k}(\lambda; \varphi)$  for the function  $\varphi$  given by (12).

In order to show that the bound  $\rho$  is sharp, we take the functions  $f_j \in \mathcal{A}(p)$  ( $j = 1, 2$ ) defined by

$$H_{\alpha,\beta}^{\gamma,k} f_j(z) = \frac{p}{\lambda} z^{-\frac{p(1-\lambda)}{\lambda}} \int_0^z t^{\frac{p}{\lambda}-1} \left( \frac{1 + A_j t}{1 - t} \right) dt \quad (j = 1, 2),
 \tag{19}$$

for which we have

$$\begin{aligned}
 p_j(z) &= (1 - \lambda)z^{-p}H_{\alpha,\beta}^{\gamma,k}f_j(z) + \frac{\lambda}{p}z^{-p+1} \left( H_{\alpha,\beta}^{\gamma,k}f_j(z) \right)' \\
 &= \frac{1 + A_jz}{1 - z} \quad (j = 1, 2)
 \end{aligned}$$

and

$$\begin{aligned}
 (p_1 * p_2)(z) &= \frac{1 + A_1z}{1 - z} * \frac{1 + A_2z}{1 - z} \\
 &= 1 - (1 + A_1)(1 + A_2) + \frac{(1 + A_1)(1 + A_2)}{1 - z}.
 \end{aligned}$$

Hence, for the function  $f$  given by (11), we have

$$\begin{aligned}
 &(1 - \lambda)z^{-p}H_{\alpha,\beta}^{\gamma,k}f(z) + \frac{\lambda}{p}z^{-p+1} \left( H_{\alpha,\beta}^{\gamma,k}f(z) \right)' \\
 &= \frac{p}{\lambda} \int_0^1 t^{\frac{p}{\lambda}-1} \left( 1 - (1 + A_1)(1 + A_2) + \frac{(1 + A_1)(1 + A_2)}{1 - tz} \right) dt \\
 &\rightarrow \rho \quad (\text{as } z \rightarrow -1),
 \end{aligned}$$

which shows that the number  $\rho$  is the best possible when  $B_1 = B_2 = -1$ .

For the case when  $\lambda = 0$ , the proof of Theorem 1 is simple, and we choose to omit the details involved. Now the proof of Theorem 1 is completed.  $\square$

**Theorem 2.** Let  $\alpha, \beta, \gamma, k$ , and  $\lambda$  be positive real numbers. Let  $f(z) = z^p + \sum_{n=2}^{\infty} a_{n+p-1}z^{n+p-1} \in \mathcal{A}(p)$ ,  $s_1(z) = z^p$ , and  $s_m(z) = z^p + \sum_{n=2}^m a_{n+p-1}z^{n+p-1}$  ( $m \geq 2$ ). Suppose that

$$\sum_{n=2}^{\infty} c_n |a_{n+p-1}| \leq 1, \tag{20}$$

where

$$c_n = \frac{1 - B}{A - B} \cdot \frac{\Gamma(\gamma + nk)\Gamma(\alpha + \beta)}{\Gamma(\beta + n\alpha)\Gamma(\gamma + k)n!} \left( 1 + \frac{\lambda}{p}(n - 1) \right) \tag{21}$$

and  $-1 \leq B < A \leq 1$ .

(i) If  $-1 \leq B \leq 0$ , then  $f \in \Omega_{\alpha,\beta}^{\gamma,k} \left( \lambda; \frac{1+Az}{1+Bz} \right)$ .

(ii) If  $\{c_n\}_1^{\infty}$  is nondecreasing, then

$$\operatorname{Re} \left\{ \frac{f(z)}{s_m(z)} \right\} > 1 - \frac{1}{c_{m+1}} \tag{22}$$

and

$$\operatorname{Re} \left\{ \frac{s_m(z)}{f(z)} \right\} > \frac{c_{m+1}}{1 + c_{m+1}} \tag{23}$$

for  $z \in \mathbb{U}$ . The estimates in (22) and (23) are sharp for each  $m \in \mathbb{N}$ .

**Proof.** From the assumptions of Theorem 2, we have  $c_n > 0$  ( $n \in \mathbb{N}$ ). Let

$$\begin{aligned}
 J(z) &= (1 - \lambda)z^{-p}H_{\alpha,\beta}^{\gamma,k}f(z) + \frac{\lambda}{p}z^{-p+1} \left( H_{\alpha,\beta}^{\gamma,k}f(z) \right)' \\
 &= 1 + \sum_{n=2}^{\infty} \frac{\Gamma(\gamma + nk)\Gamma(\alpha + \beta)}{\Gamma(\beta + n\alpha)\Gamma(\gamma + k)n!} \left( 1 + \frac{\lambda}{p}(n - 1) \right) a_{n+p-1}z^{n-1}.
 \end{aligned} \tag{24}$$

(i) For  $-1 \leq B \leq 0$  and  $z \in \mathbb{U}$ , it follows from (20), (21), and (24), that

$$\begin{aligned} & \left| \frac{J(z) - 1}{A - BJ(z)} \right| \\ &= \left| \frac{\sum_{n=2}^{\infty} \frac{\Gamma(\gamma+nk)\Gamma(\alpha+\beta)}{\Gamma(\beta+n\alpha)\Gamma(\gamma+k)n!} \left(1 + \frac{\lambda}{p}(n-1)\right) a_{n+p-1} z^{n-1}}{A - B - B \sum_{n=2}^{\infty} \frac{\Gamma(\gamma+nk)\Gamma(\alpha+\beta)}{\Gamma(\beta+n\alpha)\Gamma(\gamma+k)n!} \left(1 + \frac{\lambda}{p}(n-1)\right) a_{n+p-1} z^{n-1}} \right| \\ &\leq \frac{\sum_{n=2}^{\infty} c_n |a_{n+p-1}|}{1 - B + B \sum_{n=2}^{\infty} c_n |a_{n+p-1}|} \leq 1, \end{aligned}$$

which implies that

$$(1 - \lambda)z^{-p} H_{\alpha,\beta}^{\gamma,k} f(z) + \frac{\lambda}{p} z^{-p+1} \left( H_{\alpha,\beta}^{\gamma,k} f(z) \right)' \prec \frac{1 + Az}{1 + Bz}.$$

Hence,  $f \in \Omega_{\alpha,\beta}^{\gamma,k} \left( \lambda; \frac{1+Az}{1+Bz} \right)$ .

(ii) Under the hypothesis in part (ii) of Theorem 2, we can see from (21) that  $c_{n+1} > c_n > 1$  ( $n \in \mathbb{N}$ ). Therefore, we have

$$\sum_{n=2}^m |a_{n+p-1}| + c_{m+1} \sum_{n=m+1}^{\infty} |a_{n+p-1}| \leq \sum_{n=2}^{\infty} c_n |a_{n+p-1}| \leq 1. \tag{25}$$

Upon setting

$$p_1(z) = c_{m+1} \left\{ \frac{f(z)}{s_m(z)} - \left( 1 - \frac{1}{c_{m+1}} \right) \right\} = 1 + \frac{c_{m+1} \sum_{n=m+1}^{\infty} a_{n+p-1} z^{n-1}}{1 + \sum_{n=2}^{\infty} a_{n+p-1} z^{n-1}},$$

and applying (25), we find that

$$\left| \frac{p_1(z) - 1}{p_1(z) + 1} \right| \leq \frac{c_{m+1} \sum_{n=m+1}^{\infty} |a_{n+p-1}|}{2 - 2 \sum_{n=2}^m |a_{n+p-1}| - c_{m+1} \sum_{n=m+1}^{\infty} |a_{n+p-1}|} \leq 1 \quad (z \in \mathbb{U}),$$

which readily yields (22).

If we take

$$f(z) = z^p - \frac{z^{m+p}}{c_{m+1}}, \tag{26}$$

then

$$\frac{f(z)}{s_m(z)} = 1 - \frac{z^m}{c_{m+1}} \rightarrow 1 - \frac{1}{c_{m+1}} \quad \text{and} \quad z \rightarrow 1^-,$$

which shows that the bound in (22) is the best possible for each  $m \in \mathbb{N}$ .

Similarly, if we put

$$p_2(z) = (1 + c_{m+1}) \left( \frac{s_m(z)}{f(z)} - \frac{c_{m+1}}{1 + c_{m+1}} \right),$$

then we can deduce that

$$\begin{aligned} \left| \frac{p_2(z) - 1}{p_2(z) + 1} \right| &\leq \frac{(1 + c_{m+1}) \sum_{n=m+1}^{\infty} |a_{n+p-1}|}{2 - 2 \sum_{n=2}^m |a_{n+p-1}| - (c_{m+1} - 1) \sum_{n=m+1}^{\infty} |a_{n+p-1}|} \\ &\leq 1 \quad (z \in \mathbb{U}), \end{aligned}$$

which yields (23).

The bound in (23) is sharp for each  $m \in \mathbb{N}$ , with the extremal function  $f$  given by (26). The proof of Theorem 2 is thus completed.  $\square$

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Article

# Modified Kudryashov Method to Solve Generalized Kuramoto-Sivashinsky Equation

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**Abstract:** The generalized Kuramoto–Sivashinsky equation is investigated using the modified Kudryashov method for the new exact solutions. The modified Kudryashov method converts the given nonlinear partial differential equation to algebraic equations, as a result of various steps, which upon solving the so-obtained equation systems yields the analytical solution. By this way, various exact solutions including complex structures are found, and their behavior is drawn in the 2D plane by Maple to compare the uniqueness and wave traveling of the solutions.

**Keywords:** generalized Kuramoto–Sivashinsky equation; modified Kudryashov method; exact solutions; Maple graphs

## 1. Introduction

In engineering and science, the problems arising from the wave propagation of communication between two (or) more systems such as electromagnetic waves in wireless sensor networks, water flow in dams during an earthquake, stability of the output in electricity current, viscous flows in fluid dynamics, magneto hydro dynamics, turbulence in microtides and other physical phenomena are described by the non-linear evolution equations (NLEE). In modeling such aforesaid media continuously described by the generalized Kuramoto–Sivashinsky equation (GKSE) [1] given by the nonlinear partial differential equation for  $u = u(x, t)$  and non-zero constants  $\alpha$ ,  $\beta$  and  $\gamma$ :

$$u_t + uu_x + \alpha u_{xx} + \beta u_{xxx} + \gamma u_{xxxx} = 0. \quad (1)$$

The GKSE and its solutions play huge roles in flowing in viscous fluids, feedback in the output of self-loop controllers, trajectory systems and gas dynamics. The process of solving NLEE analytically and numerically uses symbolic computation procedures such as exact solution techniques and cardinal function methods such as wavelet transforms, respectively. When  $\alpha = \gamma = 1$  and  $\beta = 0$ , Equation (1) leads to the Kuramoto–Sivashinsky equation (KSE). N. A. Kudryashov solved Equation (1) by the method of Weiss–Tabor–Carnevale and obtained exact solutions in [1]. E. J. Parkes et al. applied the tanh method for Equation (1) by taking  $\alpha = \beta = 1$  and solving using the Mathematica package; they also solved Equation (1) by taking  $\alpha = -1$  and  $\beta = 1$  in [2]. B. Abdel-Hamid in [3] assumed the initial solution as the PDE for  $u$  and solved exactly for  $\alpha = 1$  and  $\beta = 0$  in Equation (1). D. Baldwin et al. [4] applied the tanh and sech methods to Equation (1) with  $\alpha = \gamma = 1$  and solved using the Mathematica package. C. Li et al. [5] solved Equation (1) of the form  $u_t + \beta u^m u_x + \gamma u^r u_{xx} + \delta u_{xxx} = 0$  using the Bernoulli equation as the auxiliary differential equation. By the simplest equation method, again, N. A. Kudryashov solved Equation (1) by considering  $u_x = u^m u_x$  and obtained the solution for general  $m$  with some restrictions in [6]. A. H. Khater et al.

in [7] used Chebyshev polynomials and applied the collocation points to solve approximations of Equation (1). M. G. Porshokouhi et al. in [8] solved Equation (1) for different values of constants and approximately solved by the variational iteration method. In [9], C.M. Khalique reduced Equation (1) by Lie symmetry and solved exactly by the simplest equation method with Riccati and Bernoulli equations separately. D. Feng in [10] by taking  $\beta = 0$  and  $uu_x = \gamma uu_x$  in Equation (1) solved using the Riccati equation as the auxiliary differential equation. M. Lakestani et al. used the B-spline approximation function and solved Equation (1) numerically in [11], where they used tanh exact solutions for error estimations. J. Yang et al. in [12] used the sine-cosine method and dynamic bifurcation method to solve the more generalized GKSE and its related equations to Equation (1). In [13], J. Rashidinia et al. solved Equation (1) by Chebyshev wavelets. O. Acan et al. applied the reduced differential transform method to solve Equation (1) by taking  $\beta = 0$  in [14].

For solving the nonlinear partial differential equations, there have been many schemes applied such as the Kudryashov method by M. Foroutan et al. in [15] and K. K. Ali et al. in [16]; the modified Kudryashov method by K. Hosseini et al. in [17,18], D. Kumar et al. in [19], A. K. Joardar et al. in [20] and A.R. Seadawy et al. in [21]; the generalized Kudryashov method by F. Mahmud et al. in [22], S. T. Demiray et al. in [23] and S. Bibi et al. in [24]; the sine-cosine method by K. R. Raslan et al. in [25]; the sine-Gordon method by H. Bulut et al. in [26]; the sinh-Gordon equation expansion method by H. M. Baskonus et al. in [27], Y. Xian-Lin et al. in [28] and A. Esen et al. in [29]; the extended trial equation method by K. A. Gepreel in [30], Y. Pandir et al. in [31] and Y. Gurefe et al. in [32]; the Exp-function method by L.K. Ravi et al. in [33], A. R. Seadawy et al. in [34] and M. Nur Alam et al. in [35]; the Jacobi elliptic function method by S. Liu et al. in [36]; the F-expansion method by A. Ebaid et al. in [37]; and the extended  $\left(\frac{G'}{G}\right)$  method by E. M. E. Zayed and S. Al-Joudi et al. in [38].

The GKSE Equation (1) does not have the solution for general  $\alpha$  and  $\beta$ ; however, for the different values of  $\alpha$  and  $\beta$ , the solution exists for (1), which can be found in [1–14]. In this work, we apply the modified Kudryashov method (MKM) to solve the GKSE in which we compute the constants  $\alpha$  and  $\beta$  by the MKM. Then, for the each solution, a two-dimensional graph is drawn to show the wave traveling.

## 2. Analysis of the Modified Kudryashov Method

The modified Kudryashov method involves the following steps in solving the nonlinear partial differential equations (NLPDE) [17–21]:

**Step 1.** Consider the given NLPDE of the following form  $u = u(x, t)$ .

$$P(u, u_t, u_x, u_{tt}, u_{xx}, u_{xt}, \dots) = 0. \quad (2)$$

**Step 2.** Apply the wave transformation  $u(x, t) = u(\eta)$  in Equation (2), where:

$$\eta = \mu(x - \lambda t). \quad (3)$$

Here,  $\mu$  is the wave variable and  $\lambda$  is the velocity; both are non-zero constants. Hence, Equation (2) transforms to the following ODE:

$$O(u, u', u'', uu', \dots) = 0, \quad (4)$$

where the prime represents the derivative with respect to  $\eta$ .

**Step 3.** Let the initial solution guess of Equation (4) be,

$$u(\eta) = A_0 + \sum_{i=1}^N A_i [Q(\eta)]^i, \tag{5}$$

where  $N$  is a non-zero and positive constant calculated by the principle of homogeneous balancing of Equation (4),  $A_i; i = 0, 1, 2, \dots$  are unknowns to be calculated and  $Q(\eta)$  is the solution of the following auxiliary ODE:

$$\frac{dQ(\eta)}{d\eta} = Q(\eta) [Q(\eta) - 1] \ln(a); a \neq 1, \tag{6}$$

given by,

$$Q(\eta) = \frac{1}{1 + Da^\eta}, \tag{7}$$

where  $D$  is the integral constant and we assume  $D = 1$ .

**Step 4.** Substituting Equations (5) and (6) in Equation (4) leads to the polynomial in  $Q(\eta)^i; i = 0, 1, 2, \dots$ . As  $Q(\eta)^i \neq 0$ , so collecting its coefficients and then equating to zero give the systems of overdetermined algebraic equations, which upon solving give the unknowns of Equations (3) and (5).

**Step 5.** Finally, substituting the values of Step 4 in Equation (5) and then in Equation (3) gives the solution  $u(x, t)$  of Equation (2).

### 3. MKM Application to Solve the Generalized Kuramoto–Sivashinsky Equation

Applying the wave transformation with Equation (3) to Equation (1) leads to the ODE, and then, integrating once the ODE by taking integration constant to zero transforms to the following ODE:

$$-\lambda u + \frac{u^2}{2} + \alpha \mu u^{(1)} + \beta \mu^2 u^{(2)} + \gamma \mu^3 u^{(3)} = 0, \tag{8}$$

where  $u = u(\eta)$  and the superscripts  $(.)$  represent the derivatives w. r. t.  $\eta$ . By the homogeneous balancing of Equation (8),  $N = 3$ , and hence, the initial guess solution of Equation (8) from Equation (5) is given by,

$$u(\eta) = A_0 + A_1 Q(\eta) + A_2 (Q(\eta))^2 + A_3 (Q(\eta))^3. \tag{9}$$

Substituting Equations (6) and (9) in Equation (8) results in the sixth order polynomial of  $Q(\eta)$ . Collecting the coefficients of  $(Q(\eta))^i; i = 0, 1, \dots, 6$  and equating each coefficient to zero gives the systems of algebraic equations, which upon solving by Maple give the unknowns in Equations (9), (3) and  $(\alpha, \beta)$  in Equation (8). The resulting values are substituted in Equation (9) along with Equations (3) and (7), which give the exact solution of Equation (1) for the specific values of constants  $\alpha$  and  $\beta$ . Substituting the  $\alpha$  and  $\beta$  values in Equation (1) and the unknowns  $A_i; i = 0, 1, 2, 3$  in Equation (9) where  $Q(\eta)$  is given by Equation (7) yields the following exact solutions. Let  $\delta_1 = \gamma \mu \ln(a)$ ,  $\delta_2 = \gamma \mu^2 \ln(a)^2$  and  $\delta_3 = \gamma \mu^3 \ln(a)^3$  in the following cases.

**Case 1.** For  $\alpha = \delta_2$  and  $\beta = 4\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = A_1 = 0, A_2 = 120\delta_3, A_3 = -120\delta_3, \lambda = 6\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 1),

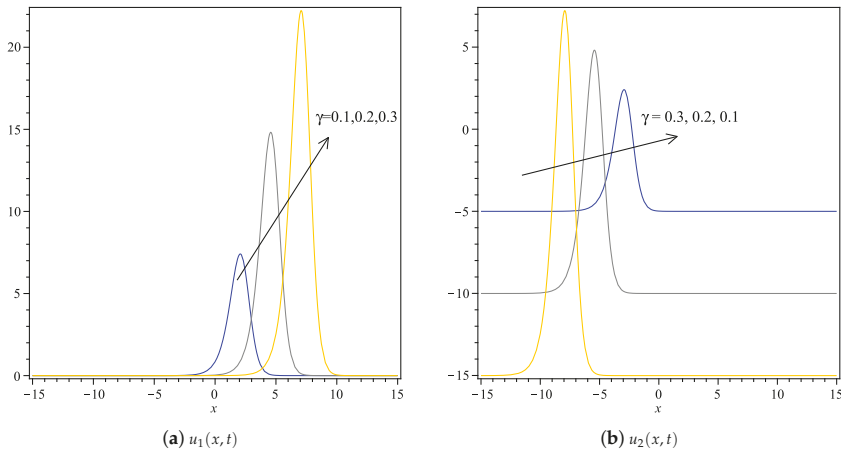
$$u_1(x, t) := \frac{120\delta_3 a^{\mu x - 6\delta_3 \mu t}}{(1 + a^{\mu x - 6\delta_3 \mu t})^3}. \tag{10}$$

Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = -12\delta_3, A_1 = 0, A_2 = 120\delta_3, A_3 = -120\delta_3, \lambda = -6\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 1),

$$u_2(x, t) := -\frac{12\delta_3 \left(1 + a^{3\mu x} e^{3(6\delta_3 \mu \ln(a)t)} + 3a^{2\mu x} e^{2(6\delta_3 \mu \ln(a)t)} - 7a^{\mu x} e^{6\delta_3 \mu \ln(a)t}\right)}{(1 + a^{\mu x} e^{6\delta_3 \mu \ln(a)t})^3}. \tag{11}$$



**Figure 1.** Solutions in Case 1, Equations (10) and (11), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-15, 15]$  for different values of  $\gamma$ .

**Case 2.** For  $\alpha = \delta_2$  and  $\beta = -4\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = 0, A_1 = -120\delta_3, A_2 = 240\delta_3, A_3 = -120\delta_3, \lambda = -6\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 2),

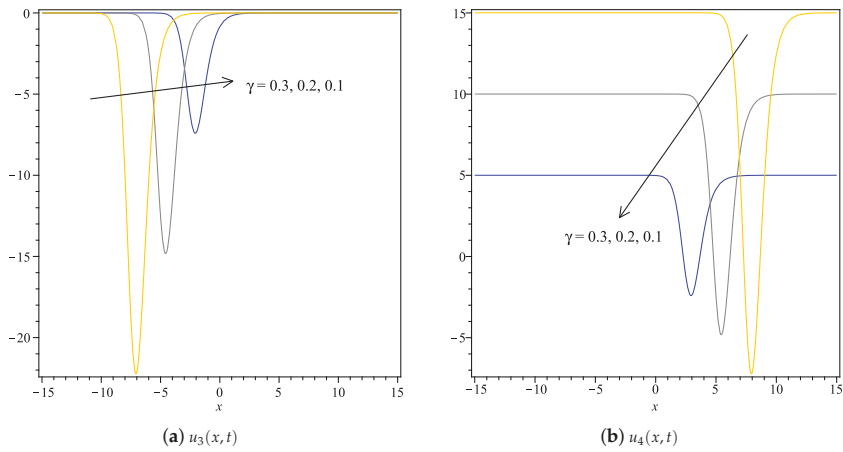
$$u_3(x, t) := -\frac{120\delta_3 a^{2(\mu x + 6\delta_3 \mu t)}}{(1 + a^{\mu x + 6\delta_3 \mu t})^3}. \tag{12}$$

Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = 12\delta_3, A_1 = -120\delta_3, A_2 = 240\delta_3, A_3 = -120\delta_3, \lambda = 6\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 2),

$$u_4(x, t) := \frac{12\delta_3 \left(a^{3(\mu x - 6\delta_3 \mu t)} - 7a^{2(\mu x - 6\delta_3 \mu t)} + 3a^{\mu x - 6\delta_3 \mu t} + 1\right)}{(1 + a^{\mu x - 6\delta_3 \mu t})^3}. \tag{13}$$



**Figure 2.** Solutions in Case 2, Equations (12) and (13), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-15, 15]$  for different values of  $\gamma$ .

**Case 3.** For  $\alpha = -19\delta_2$  and  $\beta = 0$  in Equation (1), the unknown coefficients are given by,

$$A_0 = -60\delta_3, A_1 = 0, A_2 = 180\delta_3, A_3 = -120\delta_3, \lambda = -30\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 3),

$$u_5(x, t) := -\frac{60\delta_3 e^{2(30\delta_3\mu \ln(a)t)} \left( a^{3\mu x} e^{30\delta_3\mu \ln(a)t} + 3a^{2\mu x} \right)}{\left( 1 + a^{\mu x} e^{30\delta_3\mu \ln(a)t} \right)^3}. \tag{14}$$

Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = A_1 = 0, A_2 = 180\delta_3, A_3 = -120\delta_3, \lambda = 30\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 3),

$$u_6(x, t) := \frac{60\delta_3 \left( 1 + 3a^{\mu x - 30\delta_3\mu t} \right)}{\left( 1 + a^{\mu x - 30\delta_3\mu t} \right)^3}. \tag{15}$$

**Case 4.** For  $\alpha = 47\delta_2$  and  $\beta = 12\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = A_1 = A_2 = 0, A_3 = -120\delta_3, \lambda = -60\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 4),

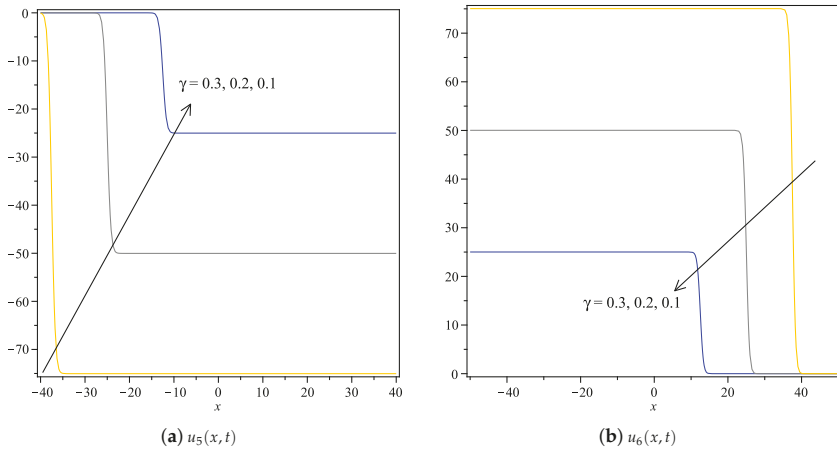
$$u_7(x, t) := -\frac{120\delta_3}{\left( 1 + a^{\mu x + 60\delta_3\mu t} \right)^3}. \tag{16}$$

Further, for the same  $\alpha$  and  $\beta$ , the second set of unknown coefficients are given by,

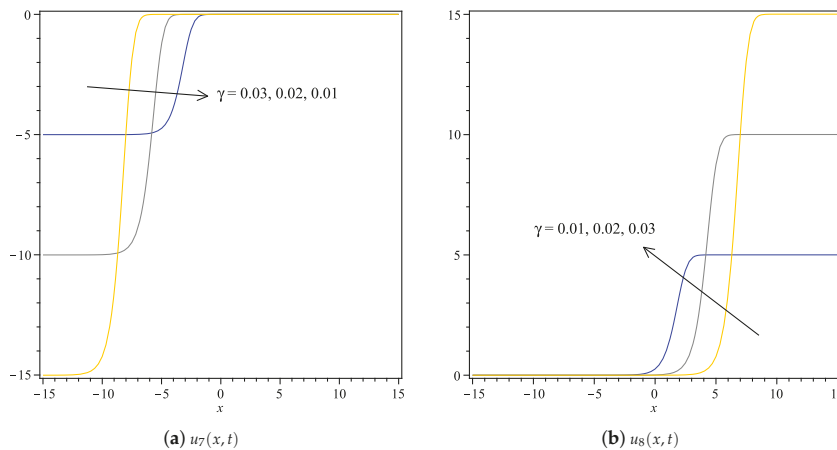
$$A_0 = 120\delta_3, A_1 = A_2 = 0, A_3 = -120\delta_3, \lambda = 60\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 4),

$$u_8(x, t) := \frac{120\delta_3 \left( 3a^{\mu x} e^{2(60\delta_3\mu \ln(a)t)} + 3a^{2\mu x} e^{60\delta_3\mu \ln(a)t} + a^{3\mu x} \right)}{\left( a^{\mu x} + e^{60\delta_3\mu \ln(a)t} \right)^3}. \tag{17}$$



**Figure 3.** Solutions in Case 3, Equations (14) and (15), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-40, 40]$  for  $u_5(x, t)$  and in  $x \in [-50, 50]$  for  $u_6(x, t)$  for different values of  $\gamma$ .



**Figure 4.** Solutions in Case 4, Equations (16) and (17), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-15, 15]$  for different values of  $\gamma$ .

**Case 5.** For  $\alpha = 47\delta_2$  and  $\beta = -12\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = 0, A_1 = -360\delta_3, A_2 = 360\delta_3, A_3 = -120\delta_3, \lambda = -60\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 5),

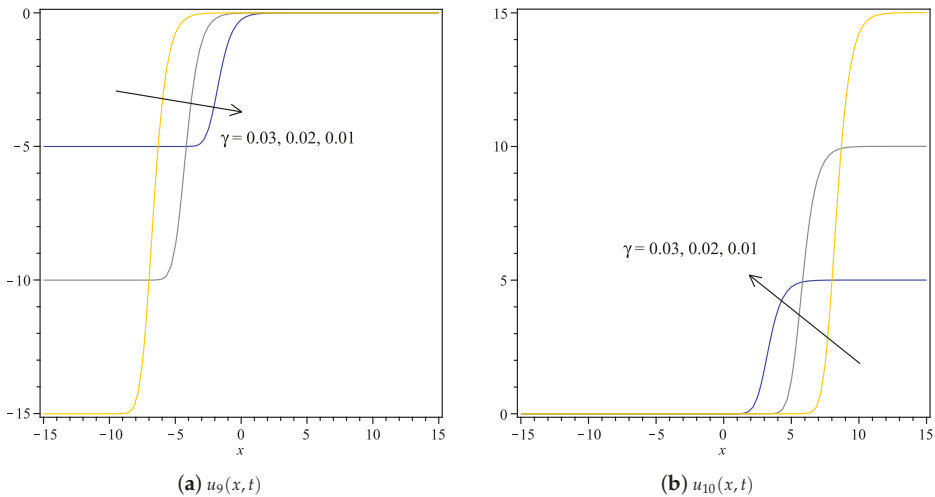
$$u_9(x, t) := -\frac{120\delta_3 \left( 3a^{2\mu x} e^{2(60\delta_3\mu \ln(a)t)} + 3a^{\mu x} e^{60\delta_3\mu \ln(a)t} + 1 \right)}{\left( 1 + a^{\mu x} e^{60\delta_3\mu \ln(a)t} \right)^3}. \tag{18}$$

Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = 120\delta_3, A_1 = -360\delta_3, A_2 = 360\delta_3, A_3 = -120\delta_3, \lambda = 60\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 5),

$$u_{10}(x, t) := \frac{120\delta_3 a^{3(\mu x - 60\delta_3\mu t)}}{\left( 1 + a^{\mu x - 60\delta_3\mu t} \right)^3}. \tag{19}$$



**Figure 5.** Solutions in Case 5, Equations (18) and (19), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-15, 15]$  for different values of  $\gamma$ .

**Case 6.** For  $\alpha = 73\delta_2$  and  $\beta = 16\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = 180\delta_3, A_1 = 0, A_2 = -60\delta_3, A_3 = -120\delta_3, \lambda = 90\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 6),

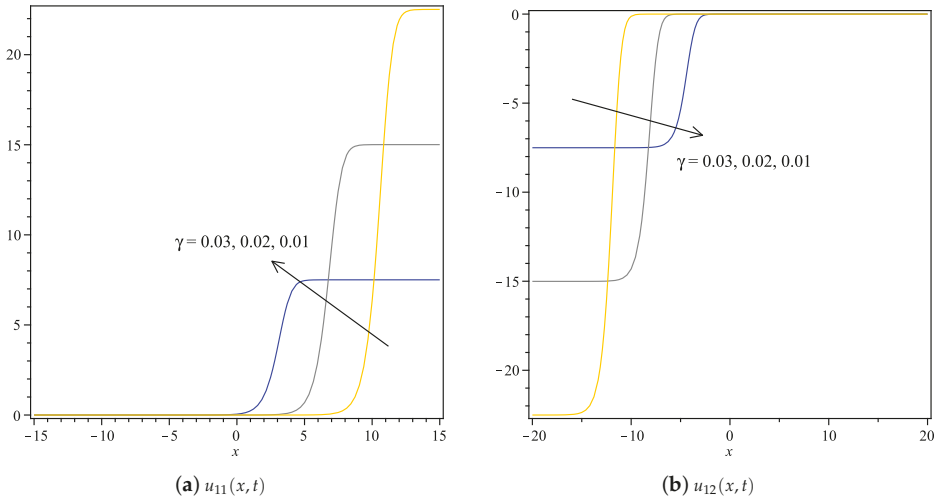
$$u_{11}(x, t) := \frac{60\delta_3 \left( 8a^{\mu x} e^{2(90\delta_3\mu \ln(a)t)} + 9a^{2\mu x} e^{90\delta_3\mu \ln(a)t} + 3a^{3\mu x} \right)}{\left( e^{90\delta_3\mu \ln(a)t} + a^{\mu x} \right)^3}. \tag{20}$$

Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = A_1 = 0, A_2 = -60\delta_3, A_3 = -120\delta_3, \lambda = -90\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 6),

$$u_{12}(x, t) := -\frac{60\delta_3 (3 + a^{\mu x + 90\delta_3 \mu t})}{(1 + a^{\mu x + 90\delta_3 \mu t})^3}. \tag{21}$$



**Figure 6.** Solutions in Case 6, Equations (20) and (21), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-15, 15]$  for  $u_{11}(x, t)$  and  $x \in [-20, 20]$  for  $u_{12}(x, t)$  for different values of  $\gamma$ .

**Case 7.** For  $\alpha = 73\delta_2$  and  $\beta = -16\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = 180\delta_3, A_1 = -480\delta_3, A_2 = 420\delta_3, A_3 = -120\delta_3, \lambda = 90\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 7),

$$u_{13}(x, t) := \frac{60\delta_3 (a^{2\mu x} e^{90\delta_3 \mu \ln(a)t} + 3a^{3\mu x})}{(e^{90\delta_3 \mu \ln(a)t} + a^{\mu x})^3}. \tag{22}$$

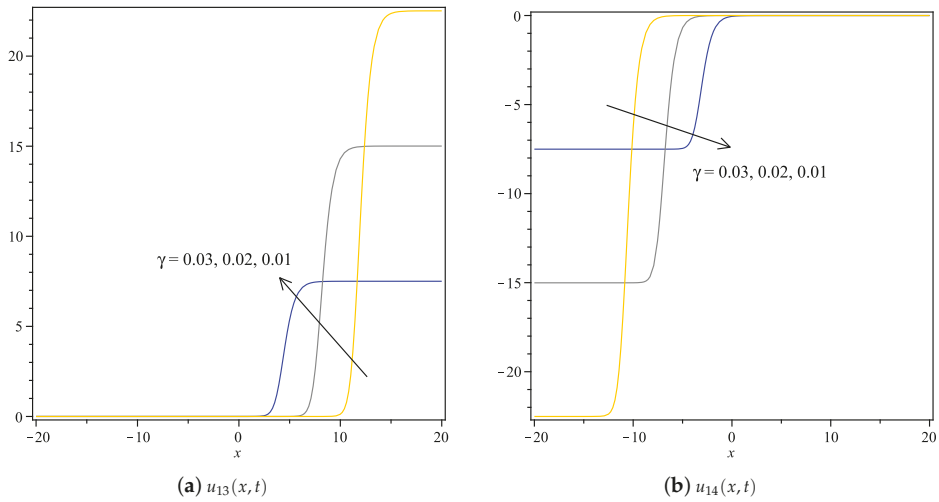
Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = 0, A_1 = -480\delta_3, A_2 = 420\delta_3, A_3 = -120\delta_3, \lambda = -90\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 7),

$$u_{14}(x, t) := -\frac{60\delta_3 (8a^{2\mu x} e^{2(90\delta_3 \mu \ln(a)t)} + 9a^{\mu x} e^{90\delta_3 \mu \ln(a)t} + 3)}{(1 + a^{\mu x} e^{90\delta_3 \mu \ln(a)t})^3}. \tag{23}$$





**Figure 7.** Solutions in Case 7, Equations (22) and (23), respectively from left to right for  $a = 5$ ,  $\mu = 1$  and  $t = 1$  in  $x \in [-20, 20]$  for different values of  $\gamma$ .

**Case 8.** For  $\alpha = \frac{19}{11}\delta_2$  and  $\beta = 0$  in Equation (1), the unknown coefficients are given by,

$$A_0 = \frac{60}{11}\delta_3, A_1 = -\frac{720}{11}\delta_3, A_2 = 180\delta_3, A_3 = -120\delta_3, \lambda = \frac{30}{11}\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 8),

$$u_{15}(x, t) := \frac{60\delta_3 a^{(\mu x - \frac{30}{11}\delta_3 \mu t)} \left( a^{2(\mu x - \frac{30}{11}\delta_3 \mu t)} - 9a^{(\mu x - \frac{30}{11}\delta_3 \mu t)} + 12 \right)}{11 \left( 1 + a^{(\mu x - \frac{30}{11}\delta_3 \mu t)} \right)^3}. \tag{24}$$

Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = 0, A_1 = -\frac{720}{11}\delta_3, A_2 = 180\delta_3, A_3 = -120\delta_3, \lambda = -\frac{30}{11}\delta_3.$$

Therefore, the exact solution of Equation (1) is given by (Figure 8),

$$u_{16}(x, t) := -\frac{60\delta_3 \left( 1 - 9a^{(\mu x + \frac{30}{11}\delta_3 \mu t)} + 12a^{2(\mu x + \frac{30}{11}\delta_3 \mu t)} \right)}{11 \left( 1 + a^{(\mu x + \frac{30}{11}\delta_3 \mu t)} \right)^3}. \tag{25}$$

**Case 9.** For  $\alpha = -\delta_2$  and  $\beta = 4i\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = 0, A_1 = -60\mu^3 \ln(a)^3 (\gamma - i\gamma), A_2 = 60(3 - i)\delta_3, A_3 = -120\delta_3, \lambda = 4i\delta_3.$$

Therefore, the exact complex solution of Equation (1) is given by,

$$u_{17}(x, t) := \frac{60\delta_3 a^{\mu x - 4i\delta_3 \mu t} \left( i + 1 + (i - 1) a^{\mu x - 4i\delta_3 \mu t} \right)}{\left( 1 + a^{\mu x - 4i\delta_3 \mu t} \right)^3}. \tag{26}$$

The 2D graph of real and imaginary parts of  $u_{17}(x, t)$  are drawn in Figure 9.

Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = -8i\delta_3, A_1 = -60\mu^3 \ln(a)^3 (\gamma - i\gamma), A_2 = 60(3 - i)\delta_3, A_3 = -120\delta_3, \lambda = -4i\delta_3.$$

Therefore, the exact complex solution of Equation (1) is given by,

$$u_{18}(x, t) := -\frac{8\delta_3}{(1 + a^{\mu x + 4i\delta_3 \mu t})^3} \left[ i(1 + a^{3(\mu x + 4i\delta_3 \mu t)}) + \left(\frac{15 - 9i}{2}\right) a^{2(\mu x + 4i\delta_3 \mu t)} - \left(\frac{15 + 9i}{2}\right) a^{\mu x + 4i\delta_3 \mu t} \right]. \quad (27)$$

where  $i = \sqrt{-1}$ . The 2D graphs of the real and imaginary parts of  $u_{18}(x, t)$  are drawn in Figure 10.

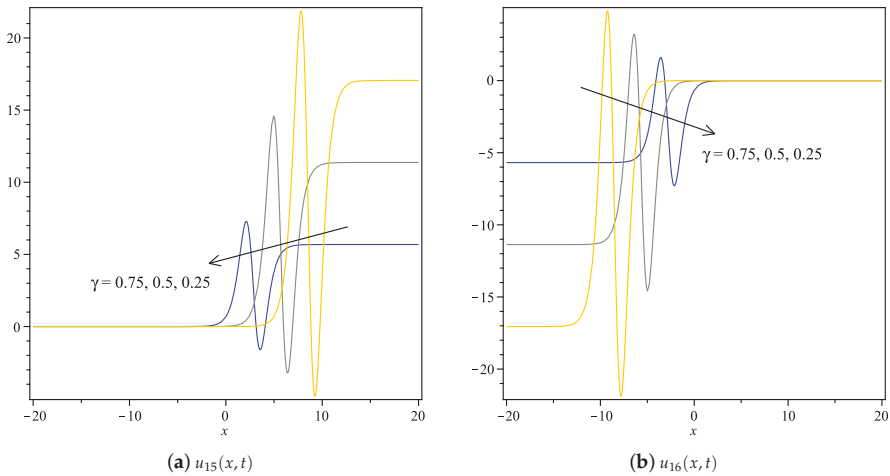


Figure 8. Solutions in Case 8, Equations (24) and (25), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-20, 20]$  for different values of  $\gamma$ .

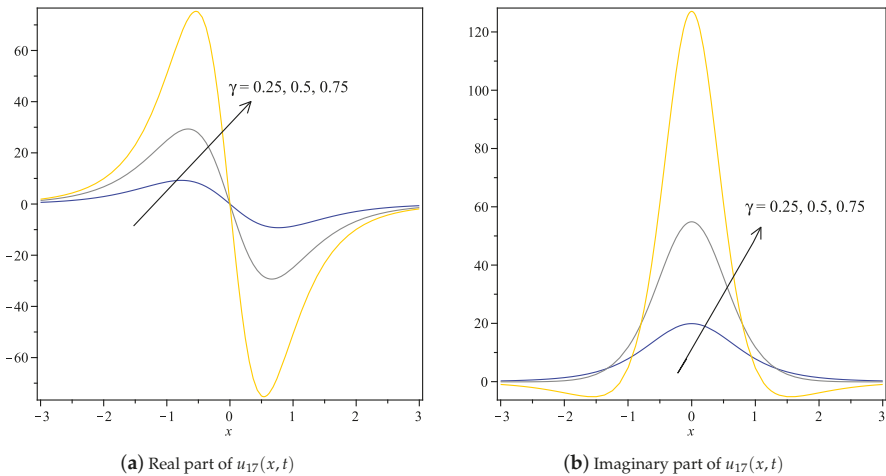
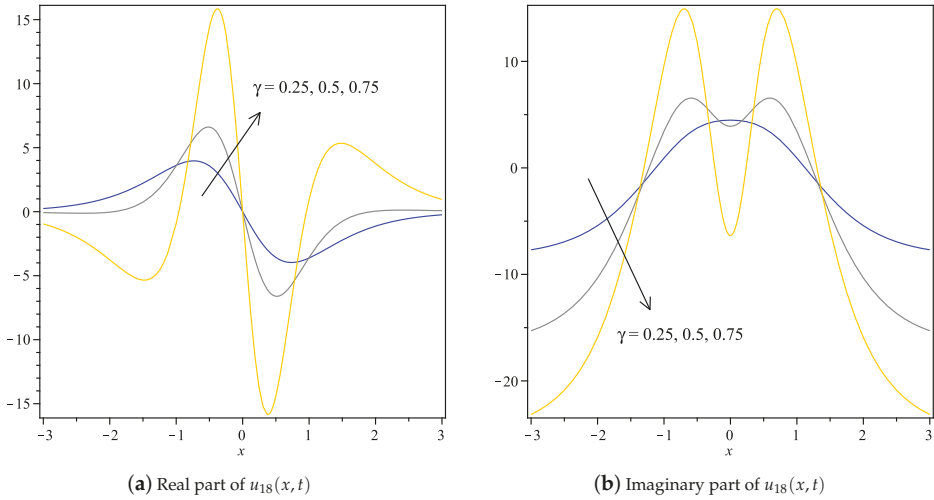


Figure 9. Real and imaginary part of the solution in Case 9, Equation (26), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-3, 3]$  for different values of  $\gamma$ .



**Figure 10.** Real and imaginary part of the solution in Case 9, Equation (27), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-3, 3]$  for different values of  $\gamma$ .

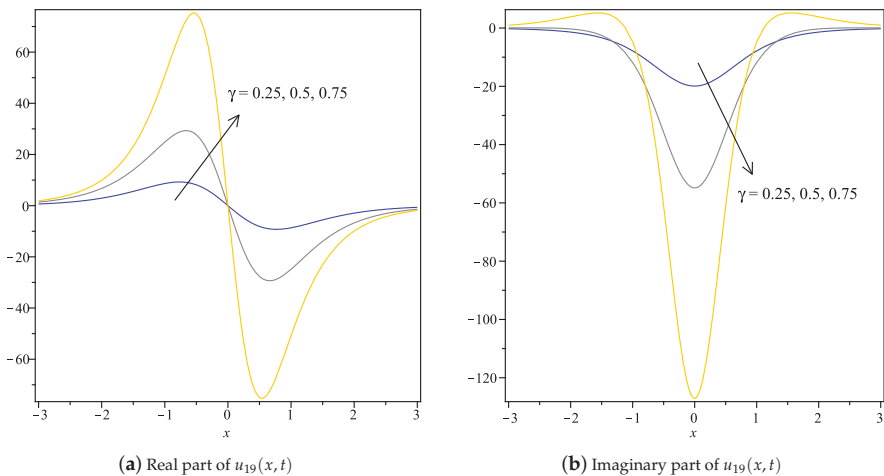
**Case 10.** For  $\alpha = -\delta_2$  and  $\beta = -4i\delta_1$  in Equation (1), the unknown coefficients are given by,

$$A_0 = 0, A_1 = -60\mu^3 \ln(a)^3(\gamma + i\gamma), A_2 = 60(3 + i)\delta_3, A_3 = -120\delta_3, \lambda = -4i\delta_3.$$

Therefore, the exact complex solution of Equation (1) is given by,

$$u_{19}(x, t) := -\frac{60\delta_3 a^{\mu x + 4i\delta_3 \mu t} (i - 1 + (i + 1)a^{\mu x + 4i\delta_3 \mu t})}{(1 + a^{\mu x + 4i\delta_3 \mu t})^3}. \tag{28}$$

The 2D graphs of real and imaginary parts of  $u_{19}(x, t)$  are drawn in Figure 11.



**Figure 11.** Real and imaginary part of the solution in Case 10, Equation (28), respectively from left to right for  $a = 5, \mu = 1$  and  $t = 1$  in  $x \in [-3, 3]$  for different values of  $\gamma$ .

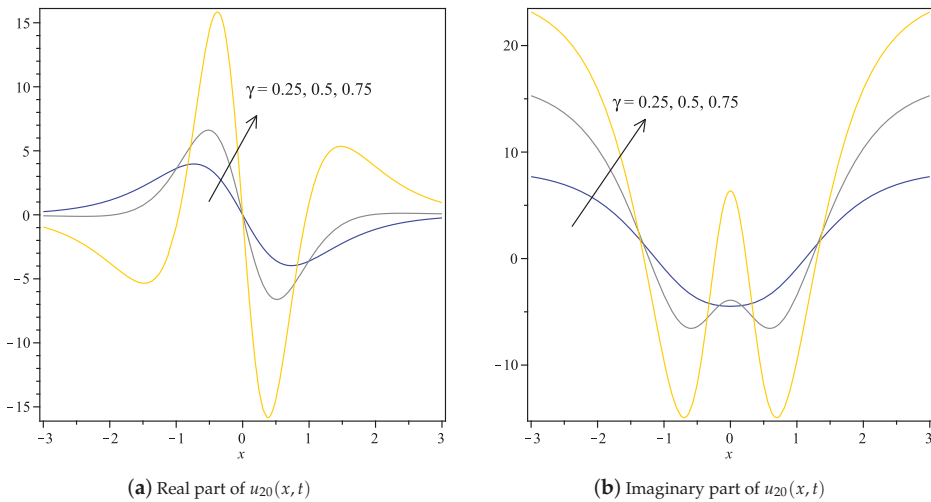
Further, for the same  $\alpha$  and  $\beta$  value, the second set of unknown coefficients are given by,

$$A_0 = 8i\delta_3, A_1 = -60\mu^3 \ln(a)^3(\gamma + i\gamma), A_2 = 60(3 + i)\delta_3, A_3 = -120\delta_3, \lambda = 4i\delta_3.$$

Therefore, the exact complex solution of Equation (1) is given by,

$$u_{20}(x, t) := \frac{8\delta_3}{(1 + a^{\mu x - 4i\delta_3 \mu t})^3} \left[ i(1 + a^{3(\mu x - 4i\delta_3 \mu t)}) - \left(\frac{15 + 9i}{2}\right) a^{2(\mu x - 4i\delta_3 \mu t)} + \left(\frac{15 - 9i}{2}\right) a^{\mu x - 4i\delta_3 \mu t} \right]. \quad (29)$$

where  $i = \sqrt{-1}$ . The 2D graphs of the real and imaginary parts of  $u_{20}(x, t)$  are drawn in Figure 12.



**Figure 12.** Real and imaginary part of the solution in Case 10, Equation (29), respectively from left to right for  $a = 7, \mu = 1$  and  $t = 1$  in  $x \in [-3, 3]$  for different values of  $\gamma$ .

#### 4. Conclusions

In this work, the generalized Kuramoto–Sivashinsky equation is solved, and the exact solutions have been found. The aforesaid GKSE has solutions for the different values of  $\alpha$  and  $\beta$ , which we obtained by the application of the modified Kudryashov method, and we found 10 classes of  $(\alpha, \beta)$  pairs and their corresponding two distinct exact solutions for each pair of Equation (1) in Cases 1–10. The two-dimensional simulations of the solutions in Figures 1–12 show their behavioral pattern and wave train traveling for different values of  $\gamma$ . However, the wave structures vary when the values of  $a, \mu, t$  and the domain changes in the 2D plane. The solutions found in this work will be useful in studying electromagnetic waves, fluid flows and the areas where GKSE plays a vital role. All the solutions are validated in the Maple computer algebra system by substituting them in the original equation. Our new solutions are compared with the previous solutions of GKSE in Appendices A and B.

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**Appendix A. GKSE in the Previous Studies**

N.A. Kudryashov in [6] solved for the exact solution of Equation (1). Based on the homogeneous balancing, he has taken the following initial solution.

$$u(\eta) = A_0 + A_1g(\eta) + A_2g(\eta)^2 + A_3g(\eta)^3.$$

where  $g(\eta)$  is the solution of  $\frac{dg(\eta)}{d\eta} = b - g(\eta)^2$ , and obtained the following values.

1.

$$A_0 = -\frac{\beta^3}{576\gamma^2}, A_1 = \frac{5\beta^2}{4\gamma}, A_2 = -15\beta, A_3 = 120\gamma, \alpha = \frac{47\beta^2}{144\gamma}, b = \frac{\beta^2}{576\gamma^2}, C_0 = -\frac{5\beta^3}{144\gamma^2}.$$

2.

$$A_0 = \frac{30\beta^3}{128\gamma^2}, A_1 = -\frac{30\beta^2}{16\gamma}, A_2 = -30\beta, A_3 = 120\gamma, \alpha = \frac{\beta^2}{16\gamma}, b = \frac{\beta^2}{64\gamma^2}, C_0 = \frac{3\beta^3}{32\gamma^2}.$$

In the same work, he solved Equation (1) with the auxiliary equations  $\left(\frac{dg(z)}{dz}\right)^2 + 4g(z)^3 - ag(z)^2 - 2bg(z) + d = 0$  and  $\frac{d^2g(z)}{dz^2} + 6g(z)^2 - ag(z) - b = 0$  and obtained other values for unknowns.

C.M. Khalique in [9] solved Equation (1) by taking the Bernoulli equation  $\frac{dh(\eta)}{d\eta} = ah(\eta) + bh(\eta)^2$  and Riccati equation  $\frac{dh(\eta)}{d\eta} = ah(\eta)^2 + bh(\eta) + c$  as the auxiliary ODE and obtained the following values respectively by using each ODE. For both the auxiliary equation the constant values are  $a = 1, b = 3$  and  $c = 1$ :

1.

$$A_0 = v - 6a^3\gamma, A_1 = -120a^2b\gamma, A_2 = 240ab^2\gamma, A_3 = -120b^3\gamma, \alpha = a^2\gamma, \beta = 4a\gamma.$$

2.

$$A_0 = -990\gamma + 60\gamma k + v, A_1 = 60\gamma + 180\gamma k, A_2 = 60\gamma k, A_3 = -120\gamma, \alpha = 365\gamma, \beta = -36\gamma - 4\gamma k.$$

While comparing the above values, our solutions of Equation (1) in this work are new to the surveyed literature.

**Appendix B. Studying GKSE by GKM and SGEEM**

1. For solving Equation (1) by the generalized Kudryashov method [22–24], the homogeneous balancing of Equation (8) gives  $N = M + 3$ , which has infinite solutions. For the value  $M = 1$ , this gives  $N = 4$ . Therefore,

$$u(\eta) = \frac{A_0 + A_1Q(\eta) + A_2(Q(\eta))^2 + A_3(Q(\eta))^3 + A_4(Q(\eta))^4}{B_0 + B_1Q(\eta)}.$$

where  $Q(\eta)$  is the solution of  $\frac{dQ(\eta)}{d\eta} = Q(\eta)(Q(\eta) - 1)$ , Applying these equations to Equation (8) leads to the polynomial in  $Q(\eta)$  and its powers. Collecting the coefficients of  $(Q(\eta))^i; i = 0, 1, 2, \dots$  and attempting to solve the overdetermined equations results in the continuous execution of Maple. Hence, we conclude that Equation (1) cannot be solved by the generalized Kudryashov method.

2. Next, for solving Equation (1) by the sine-Gordon equation expansion method [26], the homogeneous balancing is the same as the MKM given by  $N = 3$ . Thus,

$$u(\eta) = A_0 + A_1 \tanh(\eta) + B_1 \operatorname{sech}(\eta) + A_2 \tanh^2(\eta) + B_2 \tanh(\eta)\operatorname{sech}(\eta) + A_3 \tanh^3(\eta) + B_3 \tanh^2(\eta)\operatorname{sech}(\eta).$$

Substituting the above equation  $u(\eta)$  in Equation (8) and following the steps in [26] lead to the polynomials in  $\sin(w)$ ,  $\cos(w)$ , their products and powers. Collecting the coefficients, equating them to zero and solving in Maple result in the continuous execution. Thus, we conclude that Equation (1) cannot be solved by the sine-Gordon equation expansion method either.

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Article

# On the Existence of the Solutions of a Fredholm Integral Equation with a Modified Argument in Hölder Spaces

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**Abstract:** This article concerns the entity of solutions of a quadratic integral equation of the Fredholm type with an altered argument,  $x(t) = p(t) + x(t) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau$ , where  $p, k$  are given functions,  $T$  is the given operator satisfying conditions specified later and  $x$  is an unknown function. Through the classical Schauder fixed point theorem and a new conclusion about the relative compactness in Hölder spaces, we obtain the existence of solutions under certain assumptions. Our work is more general than the previous works in the Conclusion section. At the end, we introduce several tangible examples where our entity result can be adopted.

**Keywords:** Fredholm integral equation; Schauder fixed point theorem; Hölder condition

## 1. Introduction

The work of differential equations, with an altered argument being latest, has continued for decades. For more data and consequences related to these equations, see [1–3]. These topics have linear modifications of their arguments and have been worked on by the authors in the papers [1–15]. Integral equations of course stem from several applications in specification numerous real-world problems (see [16,17] and the references therein). Quadratic integral equations arise naturally in applications to real-world problems. For example, problems in the kinetic theory of gases and in the theory of radiative transfer lead to the quadratic integral equation:

$$x(t) = 1 + tx(t) \int_0^1 \frac{\Phi(\tau)}{t + \tau} x(\tau) d\tau,$$

(see [18–21]). The integral equations of a similar form have been examined by several authors [22–28]. Furthermore, some studies using similar techniques have been dedicated to a micropolar porous body and vibrations in thermoelasticity [29,30].

Very recently, J. Banaś and R. Nalepa et al. [4] studied the following equation:

$$x(t) = p(t) + x(t) \int_a^b k(t, \tau)x(\tau)d\tau. \quad (1)$$

Further, J. Caballero, M. Darwish and K. Sadarangani et al. [5] studied the following equation:

$$x(t) = p(t) + x(t) \int_0^1 k(t, \tau)x(r(\tau))d\tau. \quad (2)$$



Furthermore, J. Cabelloro Mena, R. Nalepa and K. Sadarangani et al. [6] studied the following equation:

$$x(t) = p(t) + x(t) \int_0^1 k(t, \tau) \left\{ \max_{\eta \in [0, r(\tau)]} |x(\eta)| \right\} d\tau. \tag{3}$$

The purpose of this paper is to examine the existence of solutions of the following integral equation of the Fredholm type with a changed argument,

$$x(t) = p(t) + x(t) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau, \quad t \in I = [0, 1]. \tag{4}$$

Equation (4) is more general than many equations considered up to now and includes (1), (2) and (3) as special cases. Notice that Equation (1) in [4] for  $a = 0$  and  $b = 1$  is a particular case of (4) with  $(Tx)(\tau) = x(\tau)$ . Furthermore, it should be noted that Equation (4) is more general than Equation (2) considered in [5]. If we take  $(Tx)(\tau) = x(r(\tau))$ , then the equation:

$$x(t) = p(t) + x(t) \int_0^1 k(t, \tau)x(r(\tau))d\tau$$

is obtained from Equation (4). Further, notice that Equation (3) in [6] is a particular case of (4), for  $(Tx)(\tau) = \max_{\eta \in [0, r(\tau)]} |x(\eta)|$ , where the function  $r : [0, 1] \rightarrow [0, 1]$  is continuous and nondecreasing.

Compared to the previous works [4–6], we have further generalized the new assumptions in finding the solutions of (1), (2) and (3).

Our solutions substitute for the spaces of functions satisfactory the Hölder condition, and this is a source of the originality of the article. To do this, we will use a recent consequence about the classical Schauder fixed point theorem and the relative compactness in Hölder spaces.

**2. Preliminaries and Notations**

In this section, we present definitions, notations and theorems that are used along this paper. The following known definitions are available in [4,5,31,32].

Let  $[a, b]$  be a closed interval in  $\mathbb{R}$ ; by  $C[a, b]$ , we indicate the space of continuous functions defined on  $[a, b]$  equipped with the supremum norm, i.e.,

$$\|x\|_\infty = \sup \{|x(t)| : t \in [a, b]\}$$

for  $x \in C[a, b]$ . For a fixed  $\alpha$  with  $0 < \alpha \leq 1$ , by  $H_\alpha[a, b]$ , we will indicate the spaces of the real functions  $x$  defined on  $[a, b]$  and satisfying the Hölder condition, that is those functions  $x$  for which there exists a constant  $H_x^\alpha$  such that:

$$|x(t) - x(s)| \leq H_x^\alpha |t - s|^\alpha \tag{5}$$

for all  $t, s \in [a, b]$ . It is well proven that  $H^\alpha[a, b]$  is a linear subspace of  $C[a, b]$ . Furthermore, for  $x \in H^\alpha[a, b]$ , by  $H_x^\alpha$ , we will indicate the least possible stable value for which Inequality (5) is satisfied. Rather, we put:

$$H_x^\alpha = \sup \left\{ \frac{|x(t) - x(s)|}{|t - s|^\alpha} : t, s \in [a, b] \text{ and } t \neq s \right\}. \tag{6}$$

The space  $H_\alpha[a, b]$  with  $0 < \alpha \leq 1$  may be equipped with the norm:

$$\|x\|_\alpha = |x(a)| + H_x^\alpha$$

for  $x \in H_\alpha[a, b]$ . Here,  $H_x^\alpha$  is defined by (6). In [4], the authors demonstrated that  $(H_\alpha[a, b], \|\cdot\|_\alpha)$  with  $0 < \alpha \leq 1$  is a Banach space.

**Lemma 1.** For  $0 < \alpha \leq 1$  and  $x \in H_\alpha[a, b]$ , we have:

$$\|x\|_\infty \leq \max(1, (b - a)^\alpha) \|x\|_\alpha.$$

In particular, the inequality  $\|x\|_\infty \leq \|x\|_\alpha$  is satisfied for  $a = 0$  and  $b = 1$  [4].

**Lemma 2.** For  $0 < \alpha < \beta \leq 1$ , we have:

$$H_\beta[a, b] \subset H_\alpha[a, b] \subset C[a, b].$$

Furthermore, for  $x \in H_\beta[a, b]$ , we have:

$$\|x\|_\alpha \leq \max(1, (b - a)^{\beta - \alpha}) \|x\|_\beta.$$

Particularly, the inequality  $\|x\|_\infty \leq \|x\|_\alpha \leq \|x\|_\beta$  is satisfied for  $a = 0$  and  $b = 1$ , [4].

**Lemma 3.** Let us assume that  $0 < \alpha < \beta \leq 1$  and  $E$  is a bounded subset in  $H_\beta[a, b]$ , then  $E$  is a relatively compact subset in  $H_\alpha[a, b]$  [5].

**Lemma 4.** Assume that  $0 < \alpha < \beta \leq 1$ , and by  $B_r^\beta$ , we indicate the ball centered at  $\theta$  and radius  $r$  in the space  $H_\beta[a, b]$ , i.e.,  $B_r^\beta = \{x \in H_\beta[a, b] : \|x\|_\beta \leq r\}$ .  $B_r^\beta$  is a closed subset of  $H_\alpha[a, b]$  [5].

**Corollary 1.** Assume that  $0 < \alpha < \beta \leq 1$  and  $B_r^\beta$  is a relatively compact subset in  $H_\alpha[a, b]$  and a closed subset of  $H_\alpha[a, b]$ , then  $B_r^\beta$  is a compact subset in the space  $H_\alpha[a, b]$ , [5].

Now let us give the following theorem, which is the base tool used in our study.

**Theorem 1** (Schauder’s fixed point theorem). Let  $E$  be a nonempty, compact subset of a Banach space  $(X, \|\cdot\|)$ , convex, and let  $T : E \rightarrow E$  be a continuity mapping. Then,  $T$  has at least one fixed point in  $E$  [7].

### 3. Main Result

Now, we are ready to give the main result of the paper. In this section, we introduce the following sufficient conditions for the main theorem in our study, and we will prove the solvability of Equation (4) in Hölder spaces.

Hereafter, we suppose unless stated otherwise that  $\alpha$  and  $\beta$  are arbitrarily fixed numbers such that  $0 < \alpha < \beta \leq 1$ .

**Theorem 2.** Assume that the following Conditions (i)–(iv) are satisfied:

- (i)  $p \in H_\beta[0, 1]$ .
- (ii)  $k : [0, 1] \times [0, 1] \rightarrow \mathbb{R}$  is a continuous function such that there exists a constant  $k_\beta > 0$  such that:

$$|k(t, \tau) - k(s, \tau)| \leq k_\beta |t - s|^\beta,$$

for any  $t, s, \tau \in [0, 1]$ .

- (iii) The operator  $T : H_\beta[0, 1] \rightarrow C[0, 1]$  is continuous on  $H_\beta[0, 1]$  with respect to the norm  $\|\cdot\|_\alpha$ , and there exists a function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ , which is non-decreasing such that the inequality holds:

$$\|Tx\|_\infty \leq f(\|x\|_\beta),$$

for any  $x \in H_\beta[0, 1]$ .

(iv) There exists a positive solution  $r_0$  of the inequality:

$$\|p\|_\beta + (2K + k_\beta)rf(r) \leq r,$$

where the constant  $K$  is defined by:

$$\sup \left\{ \int_0^1 |k(t, \tau)|d\tau : t \in [0, 1] \right\} \leq K.$$

Then, Equation (4) has at least one solution  $x = x(t)$  belonging to space  $H_\alpha[0, 1]$ .

**Proof.** Now, let us consider  $x \in H_\beta[0, 1]$  and the operator  $F$  defined on the space  $H_\beta[0, 1]$  by the formula:

$$(Fx)(t) = p(t) + x(t) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau,$$

for  $t \in [0, 1]$ . Then, for arbitrarily fixed  $t, s \in [0, 1], (t \neq s)$ , in view of our assumptions, we get:

$$\begin{aligned} & (Fx)(t) - (Fx)(s) \\ &= p(t) + x(t) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau - p(s) - x(s) \int_0^1 k(s, \tau)(Tx)(\tau)d\tau \\ &= p(t) - p(s) + x(t) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau - x(s) \int_0^1 k(s, \tau)(Tx)(\tau)d\tau \\ &\quad + x(s) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau - x(s) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau \\ &= p(t) - p(s) + (x(t) - x(s)) \int_0^1 k(t, \tau)(Tx)(\tau)d\tau \\ &\quad + x(s) \int_0^1 (k(t, \tau) - k(s, \tau))(Tx)(\tau)d\tau \end{aligned}$$

and:

$$\begin{aligned} & \frac{|(Fx)(t) - (Fx)(s)|}{|t - s|^\beta} \\ &\leq \frac{|p(t) - p(s)|}{|t - s|^\beta} + \frac{|x(t) - x(s)|}{|t - s|^\beta} \int_0^1 |k(t, \tau)| |(Tx)(\tau)| d\tau \\ &\quad + \frac{|x(s)|}{|t - s|^\beta} \int_0^1 |k(t, \tau) - k(s, \tau)| |(Tx)(\tau)| d\tau \\ &\leq H_p^\beta + \|x\|_\beta \|Tx\|_\infty \int_0^1 |k(t, \tau)| d\tau \\ &\quad + |x(s)| \int_0^1 \frac{|k(t, \tau) - k(s, \tau)|}{|t - s|^\beta} |(Tx)(\tau)| d\tau \tag{7} \\ &\leq H_p^\beta + \|x\|_\beta \|Tx\|_\infty K + \|x\|_\infty \|Tx\|_\infty \int_0^1 k_\beta \frac{|t - s|^\beta}{|t - s|^\beta} d\tau \\ &\leq H_p^\beta + \|x\|_\beta \|Tx\|_\infty K + \|x\|_\beta \|Tx\|_\infty k_\beta \\ &\leq H_p^\beta + \|x\|_\beta f(\|x\|_\beta) K + \|x\|_\beta f(\|x\|_\beta) k_\beta \\ &= H_p^\beta + (K + k_\beta) \|x\|_\beta f(\|x\|_\beta). \end{aligned}$$

This demonstrates that the operator  $F$  maps  $H_\beta[0, 1]$  into itself.

Besides, for any  $x \in H_\beta[0, 1]$ , we get:

$$\begin{aligned}
 |(Fx)(0)| &\leq |p(0)| + |x(0)| \int_0^1 |k(0, \tau)| |(Tx)(\tau)| d\tau \\
 &\leq |p(0)| + \|x\|_\infty \|Tx\|_\infty K \\
 &\leq |p(0)| + \|x\|_\beta \|Tx\|_\infty K \\
 &\leq |p(0)| + \|x\|_\beta f(\|x\|_\beta) K.
 \end{aligned}
 \tag{8}$$

By the inequalities (7) and (8), we derive that:

$$\|Fx\|_\beta \leq \|p\|_\beta + (2K + k_\beta) \|x\|_\beta f(\|x\|_\beta).
 \tag{9}$$

Since positive number  $r_0$  is the solution of the inequality given in Hypothesis (iv), from (9) and function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ , which is non-decreasing, we conclude that the inequality:

$$\|Fx\|_\beta \leq \|p\|_\beta + (2K + k_\beta)r_0 f(r_0) \leq r_0
 \tag{10}$$

holds. As a result, it follows that  $F$  transform the ball:

$$B_{r_0}^\beta = \{x \in H_\beta[0, 1] : \|x\|_\beta \leq r_0\}$$

into itself. That is,  $F : B_{r_0}^\beta \rightarrow B_{r_0}^\beta$ . Thus, we have that the set  $B_{r_0}^\beta$  is relatively compact in  $H_\alpha[0, 1]$  for any  $0 < \alpha < \beta \leq 1$ . Furthermore,  $B_{r_0}^\beta$  is a compact subset in  $H_\alpha[0, 1]$ .

In the sequel, we will demonstrate that the operator  $F$  is continuous on  $B_{r_0}^\beta$  with respect to the norm  $\|\cdot\|_\alpha$ , where  $0 < \alpha < \beta \leq 1$ .

Let  $y \in B_{r_0}^\beta$  be an arbitrary point in  $B_{r_0}^\beta$ . Then, we get:

$$\begin{aligned}
 &(Fx)(t) - (Fy)(t) - ((Fx)(s) - (Fy)(s)) \\
 = &p(t) + x(t) \int_0^1 k(t, \tau)(Tx)(\tau) d\tau \\
 &- p(t) - y(t) \int_0^1 k(t, \tau)(Ty)(\tau) d\tau \\
 &- p(s) - x(s) \int_0^1 k(s, \tau)(Tx)(\tau) d\tau \\
 &+ p(s) + y(s) \int_0^1 k(s, \tau)(Ty)(\tau) d\tau
 \end{aligned}
 \tag{11}$$

for any  $x \in B_{r_0}^\beta$  and  $t, s \in [0, 1]$ . Equality (11) can be rewritten as:

$$\begin{aligned}
 &(Fx)(t) - (Fy)(t) - ((Fx)(s) - (Fy)(s)) \\
 = &x(t) \int_0^1 k(t, \tau)(Tx)(\tau) d\tau - y(t) \int_0^1 k(t, \tau)(Tx)(\tau) d\tau \\
 &+ y(t) \int_0^1 k(t, \tau)(Tx)(\tau) d\tau - y(t) \int_0^1 k(t, \tau)(Ty)(\tau) d\tau \\
 &- x(s) \int_0^1 k(s, \tau)(Tx)(\tau) d\tau + y(s) \int_0^1 k(s, \tau)(Tx)(\tau) d\tau \\
 &- y(s) \int_0^1 k(s, \tau)(Tx)(\tau) d\tau + y(s) \int_0^1 k(s, \tau)(Ty)(\tau) d\tau.
 \end{aligned}
 \tag{12}$$

By (12), we have:

$$\begin{aligned}
 &(Fx)(t) - (Fy)(t) - ((Fx)(s) - (Fy)(s)) \\
 = &(x(t) - y(t)) \int_0^1 k(t, \tau)(Tx)(\tau) d\tau \\
 &+ y(t) \int_0^1 k(t, \tau)((Tx)(\tau) - (Ty)(\tau)) d\tau \\
 &- (x(s) - y(s)) \int_0^1 k(s, \tau)(Tx)(\tau) d\tau \\
 &- y(s) \int_0^1 k(s, \tau)((Tx)(\tau) - (Ty)(\tau)) d\tau.
 \end{aligned}
 \tag{13}$$

(13) yields the following equality:

$$\begin{aligned}
 & ((Fx)(t) - (Fy)(t)) - ((Fx)(s) - (Fy)(s)) \\
 = & [(x(t) - y(t)) - (x(s) - y(s))] \int_0^1 k(t, \tau)(Tx)(\tau)d\tau \\
 & + (x(s) - y(s)) \int_0^1 (k(t, \tau) - k(s, \tau))(Tx)(\tau)d\tau \\
 & + (y(t) - y(s)) \int_0^1 k(t, \tau)((Tx)(\tau) - (Ty)(\tau))d\tau \\
 & + y(s) \int_0^1 (k(t, \tau) - k(s, \tau))((Tx)(\tau) - (Ty)(\tau))d\tau.
 \end{aligned} \tag{14}$$

Hence, taking into account (14), we can write:

$$\begin{aligned}
 & \frac{|(Fx)(t)-(Fy)(t)-((Fx)(s)-(Fy)(s))|}{|t-s|^\alpha} \\
 \leq & \frac{|(x(t)-y(t))-(x(s)-y(s))|}{|t-s|^\alpha} \int_0^1 |k(t, \tau)| |(Tx)(\tau)| d\tau \\
 & + \frac{|x(s) - y(s)|}{|t - s|^\alpha} \int_0^1 |k(t, \tau) - k(s, \tau)| |(Tx)(\tau)| d\tau \\
 & + \frac{|y(t) - y(s)|}{|t - s|^\alpha} \int_0^1 |k(t, \tau)| |(Tx)(\tau) - (Ty)(\tau)| d\tau \\
 & + \frac{|y(s)|}{|t - s|^\alpha} \int_0^1 |k(t, \tau) - k(s, \tau)| |(Tx)(\tau) - (Ty)(\tau)| d\tau \\
 \leq & \|x - y\|_\alpha \|Tx\|_\infty K + \|x - y\|_\infty \|Tx\|_\infty \int_0^1 k_\beta |t - s|^{\beta-\alpha} d\tau \\
 & + \|y\|_\alpha \|Tx - Ty\|_\infty K + \|y\|_\infty \|Tx - Ty\|_\infty \int_0^1 k_\beta |t - s|^{\beta-\alpha} d\tau \\
 \leq & K \|x - y\|_\alpha \|Tx\|_\infty + k_\beta \|x - y\|_\alpha \|Tx\|_\infty \\
 & + K \|y\|_\alpha \|Tx - Ty\|_\infty + k_\beta \|y\|_\alpha \|Tx - Ty\|_\infty \\
 \leq & K f(\|x\|_\beta) \|x - y\|_\alpha + k_\beta f(\|x\|_\beta) \|x - y\|_\alpha \\
 & + K \|y\|_\alpha \|Tx - Ty\|_\infty + k_\beta \|y\|_\alpha \|Tx - Ty\|_\infty \\
 = & (K + k_\beta) f(\|x\|_\beta) \|x - y\|_\alpha + (K + k_\beta) \|y\|_\alpha \|Tx - Ty\|_\infty
 \end{aligned} \tag{15}$$

for all  $t, s \in [0, 1]$  with  $t \neq s$ . Besides, for  $x, y \in B_{r_0}^\beta$ , we obtain the following inequality:

$$\begin{aligned}
 & |(Fx)(0) - (Fy)(0)| \\
 = & \left| p(0) + x(0) \int_0^1 k(0, \tau)(Tx)(\tau)d\tau \right. \\
 & \left. - p(0) - y(0) \int_0^1 k(0, \tau)(Ty)(\tau)d\tau \right| \\
 = & \left| x(0) \int_0^1 k(0, \tau)(Tx)(\tau)d\tau - y(0) \int_0^1 k(0, \tau)(Tx)(\tau)d\tau \right. \\
 & \left. + y(0) \int_0^1 k(0, \tau)(Tx)(\tau)d\tau - y(0) \int_0^1 k(0, \tau)(Ty)(\tau)d\tau \right| \\
 = & \left| (x(0) - y(0)) \int_0^1 k(0, \tau)(Tx)(\tau)d\tau \right. \\
 & \left. + y(0) \int_0^1 k(0, \tau)((Tx)(\tau) - (Ty)(\tau))d\tau \right| \\
 \leq & \|x - y\|_\infty K \|Tx\|_\infty + \|y\|_\infty K \|Tx - Ty\|_\infty \\
 \leq & K \|x - y\|_\alpha \|Tx\|_\infty + K \|y\|_\alpha \|Tx - Ty\|_\infty \\
 \leq & K f(\|x\|_\beta) \|x - y\|_\alpha + K \|y\|_\alpha \|Tx - Ty\|_\infty.
 \end{aligned} \tag{16}$$

From (15) and (16), we have that:

$$\begin{aligned}
 & \|Fx - Fy\|_\alpha \\
 = & |(Fx - Fy)(0)| + H_{Fx-Fy}^\alpha \\
 = & |(Fx)(0) - (Fy)(0)| \\
 & + \sup \left\{ \frac{|(Fx)(t)-(Fy)(t)-((Fx)(s)-(Fy)(s))|}{|t-s|^\alpha} : t, s \in [0, 1] \text{ and } t \neq s \right\} \\
 \leq & (2K + k_\beta) f(\|x\|_\beta) \|x - y\|_\alpha + (2K + k_\beta) \|y\|_\alpha \|Tx - Ty\|_\infty.
 \end{aligned} \tag{17}$$

Moreover, since  $\|y\|_\alpha \leq \|y\|_\beta \leq r_0$  and  $f(\|x\|_\beta) \leq f(r_0)$ , we derive from (17) that the following inequality holds:

$$\|Fx - Fy\|_\alpha \leq (2K + k_\beta)f(r_0)\|x - y\|_\alpha + (2K + k_\beta)r_0\|Tx - Ty\|_\infty. \tag{18}$$

Since the operator  $T : H_\beta[0, 1] \rightarrow C[0, 1]$  is continuous on  $H_\beta[0, 1]$  with respect to the norm  $\|\cdot\|_\alpha$ , it is also continuous at the point  $y \in B_{r_0}^\beta$ . Let us take an arbitrary  $\varepsilon > 0$ . Since the operator  $T$  is continuous at the point  $y \in B_{r_0}^\beta$ , there exists  $\delta > 0$  such that the inequality:

$$\|Tx - Ty\|_\infty < \frac{\varepsilon}{2(2K + k_\beta)r_0}$$

is satisfied for all  $x \in B_{r_0}^\beta$ , where  $\|x - y\|_\alpha < \delta$  and:

$$0 < \delta < \frac{\varepsilon}{2(2K + k_\beta)f(r_0)}.$$

Then, taking into account (18), we derive the following inequality:

$$\begin{aligned} \|Fx - Fy\|_\alpha &\leq (2K + k_\beta)f(r_0)\|x - y\|_\alpha + (2K + k_\beta)r_0\|Tx - Ty\|_\infty \\ &< \frac{\varepsilon}{2} + \frac{\varepsilon}{2} \\ &= \varepsilon. \end{aligned}$$

As a result, we infer that the operator  $F$  is continuous at the point  $y \in B_{r_0}^\beta$ . Because  $y$  was chosen arbitrarily, we deduce that  $F$  is continuous on  $B_{r_0}^\beta$  with respect to the norm  $\|\cdot\|_\alpha$ . As  $B_{r_0}^\beta$  is compact in  $H_\alpha[0, 1]$ , from the classical Schauder fixed point theorem, we get the desired result.  $\square$

#### 4. Examples

In this part, we conclude the article by presenting two examples that illustrate the generality and efficiency of our results.

**Example 1.** Let us consider the following quadratic integral equation:

$$x(t) = \sqrt[6]{q \cos t + \hat{q}} + x(t) \int_0^1 \sqrt[5]{mt^2 + \tau} \sin x^2(\tau) d\tau, \quad t \in I = [0, 1]. \tag{19}$$

Here,  $q, \hat{q}$  and  $m$  are the suitable nonnegative constants to be determined such that Conditions (i)–(iv) of Theorem 2 hold.

Set  $p(t) = \sqrt[6]{q \cos t + \hat{q}}$  and  $k(t, \tau) = \sqrt[5]{mt^2 + \tau}$  for all  $t, \tau \in [0, 1]$ .

It is easily seen that:

$$\begin{aligned} |p(t) - p(s)| &= \left| \sqrt[6]{q \cos t + \hat{q}} - \sqrt[6]{q \cos s + \hat{q}} \right| \\ &\leq \left| \sqrt[6]{q \cos t + \hat{q}} - \sqrt[6]{q \cos s + \hat{q}} \right| \\ &\leq \sqrt[6]{q} |\cos t - \cos s| \\ &\leq \sqrt[6]{2q} \left| \sin\left(\frac{t+s}{2}\right) \right| \left| \sin\left(\frac{t-s}{2}\right) \right| \\ &\leq \sqrt[6]{q} \sqrt[6]{|t-s|} \\ &= \sqrt[6]{q} |t-s|^{\frac{1}{6}} \end{aligned}$$

for all  $t, s \in [0, 1]$ . This says that  $p \in H_{\frac{1}{6}}[0, 1]$  and, moreover,  $H_{\frac{1}{6}}^{\frac{1}{6}} = \sqrt[6]{q}$ . Therefore, we can take the constants  $\alpha$  and  $\beta$  as  $0 < \alpha < \frac{1}{6}$  and  $\beta = \frac{1}{6}$ . Therefore, Assumption (i) of Theorem 2 holds. Note that:

$$\begin{aligned} \|p\|_{\frac{1}{6}} &= |p(0)| + \sup \left\{ \frac{|p(t) - p(s)|}{|t - s|^{\frac{1}{6}}} : t, s \in [0, 1] \text{ and } t \neq s \right\} \\ &= |p(0)| + H_{\frac{1}{6}}^{\frac{1}{6}} = \sqrt[6]{q + \hat{q}} + \sqrt[6]{q}. \end{aligned}$$

Further, we have:

$$\begin{aligned} |k(t, \tau) - k(s, \tau)| &= \left| \sqrt[5]{mt^2 + \tau} - \sqrt[5]{ms^2 + \tau} \right| \\ &\leq \left| \sqrt[5]{m(t^2 - s^2)} \right| \\ &= \sqrt[5]{m} \sqrt[5]{|t^2 - s^2|} \\ &= \sqrt[5]{m} \sqrt[5]{|t - s|} \sqrt[5]{|t + s|} \\ &\leq \sqrt[5]{2m} |t - s|^{\frac{1}{6}} |t + s|^{\frac{1}{30}} \\ &\leq \sqrt[5]{2m} |t - s|^{\frac{1}{6}} \end{aligned}$$

for all  $t, s, \tau \in [0, 1]$ . Assumption (ii) of Theorem 2 holds with  $k_{\beta} = k_{\frac{1}{6}} = \sqrt[5]{2m}$ .

Since  $(Tx)(\tau) = \sin x^2(\tau)$  and:

$$|\sin x^2(\tau)| \leq |x^2(\tau)| = |x(\tau)| |x(\tau)| \leq \|x\|_{\infty}^2 \leq \|x\|_{\beta}^2$$

for all  $x \in H_{\beta}[0, 1]$  and  $\tau \in [0, 1]$ , the inequality:

$$\|Tx\|_{\infty} = \sup_{\tau \in [0, 1]} |\sin x^2(\tau)| \leq \|x\|_{\beta}^2$$

holds. Therefore, we can choose the function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  as  $f(x) = x^2$ . This function is non-decreasing and satisfies the inequality in Assumption (iii).

We will show that the operator  $T : H_{\beta}[0, 1] \rightarrow C[0, 1]$  is continuous on  $H_{\beta}[0, 1]$  with respect to the norm  $\|\cdot\|_{\alpha}$ . Let us take  $x, y \in H_{\beta}[0, 1]$  and  $\tau \in [0, 1]$ .

It is clear that:

$$\begin{aligned} |\sin x^2(\tau) - \sin y^2(\tau)| &\leq |x^2(\tau) - y^2(\tau)| \\ &= |x(\tau) - y(\tau)| |x(\tau) + y(\tau)| \\ &= |x(\tau) - y(\tau)| |x(\tau) - y(\tau) + 2y(\tau)| \\ &\leq |x(\tau) - y(\tau)| (|x(\tau) - y(\tau)| + 2|y(\tau)|) \\ &\leq \|x - y\|_{\infty} (\|x - y\|_{\infty} + 2\|y\|_{\infty}) \\ &\leq \|x - y\|_{\alpha} (\|x - y\|_{\alpha} + 2\|y\|_{\alpha}) \end{aligned}$$

and:

$$\|Tx - Ty\|_{\infty} \leq \sup_{\tau \in [0, 1]} |\sin x^2(\tau) - \sin y^2(\tau)| \leq \|x - y\|_{\alpha} (\|x - y\|_{\alpha} + 2\|y\|_{\alpha}).$$

Now, we will show that  $T$  is continuous at the point  $y \in H_\beta[0, 1]$  with respect to the norm  $\|\cdot\|_\alpha$ . Let us take an arbitrary  $\varepsilon > 0$ . Then, there exists the positive number  $\delta$  such that  $\|x - y\|_\alpha < \delta$  and the inequality:

$$\|Tx - Ty\|_\infty < \delta (\delta + 2\|y\|_\alpha) < \varepsilon$$

is satisfied for all  $x \in H_\beta[0, 1]$ , where  $0 < \delta < \sqrt{\|y\|_\alpha^2 + \varepsilon} - \|y\|_\alpha$ . Therefore, we can choose the positive number  $\delta$  as  $\delta = \frac{1}{2}\sqrt{\|y\|_\alpha^2 + \varepsilon} - \|y\|_\alpha$ . As a result, we infer that the operator  $T$  is continuous at the point  $y \in B_{r_0}^\beta$ . Since  $y$  was chosen arbitrarily, we deduce that  $T$  is continuous on  $H_\beta[0, 1]$  with respect to the norm  $\|\cdot\|_\alpha$ .

Further, we can calculate that:

$$\begin{aligned} \sup \left\{ \int_0^1 |k(t, \tau)| d\tau : t \in [0, 1] \right\} &= \sup \left\{ \int_0^1 |\sqrt[5]{mt^2 + \tau}| d\tau : t \in [0, 1] \right\} \\ &= \sup \left\{ \frac{5}{6} \left( \sqrt[5]{(mt^2 + 1)^6} - \sqrt[5]{(mt^2)^6} \right) : t \in [0, 1] \right\} \\ &\leq \sup \left\{ \frac{5}{6} \sqrt[5]{(mt^2 + 1)^6} : t \in [0, 1] \right\} \\ &= \frac{5}{6} \sqrt[5]{(m + 1)^6} \\ &\leq \sqrt[5]{(m + 1)^6} \\ &= K. \end{aligned}$$

In this case, the inequality appearing in assumption (vi) of Theorem 2 takes the following form:

$$\|p\|_{\frac{1}{6}} + (2K + k_\beta)rf(r) \leq r$$

which is equivalent to:

$$\sqrt[6]{q} + \sqrt[6]{q} + \hat{q} + \left( 2\sqrt[5]{(m + 1)^6} + \sqrt[5]{2m} \right) rr^2 \leq r. \tag{20}$$

There exists a positive number  $r_0$  satisfying (20) provided that the constants  $q, \hat{q}$  and  $m$  are chosen as suitable.

For example, if one chose  $q = \frac{1}{10^{18}}, \hat{q} = 0$  and  $m = \frac{1}{2^{16}}$ , then the inequality:

$$\frac{2}{10^3} + \left( 2\sqrt[5]{\left(\frac{1}{2^{16}} + 1\right)^6} + 0.125 \right) r^3 \leq r$$

holds for  $r = r_0 = \frac{1}{10}$ . Therefore, using Theorem 2, we infer that there is at least one solution  $x$  of Equation (19) in the space  $H_\alpha[0, 1]$  with  $0 < \alpha < \frac{1}{6}$ .

**Example 2.** Let us consider the following quadratic integral equation:

$$x(t) = \frac{1}{10^6} \arctan \sqrt[3]{t + \ln q} + x(t) \int_0^1 \sqrt[3]{m \sin t + \tau} \sqrt{|x(\tau)|} d\tau, \quad t \in I = [0, 1], \tag{21}$$

where  $q$  and  $m$  are the suitable positive constants to be selected for which Conditions (i)–(iv) of Theorem 2 hold.

Set  $p(t) = \frac{1}{10^6} \arctan \sqrt[3]{t + \ln q}$  and  $k(t, \tau) = \sqrt[3]{m \sin t + \tau}$  for all  $t, \tau \in [0, 1]$ .



It is obvious that the inequality:

$$\begin{aligned}
 |p(t) - p(s)| &= \left| \frac{1}{10^6} \arctan \sqrt[3]{t + \ln q} - \frac{1}{10^6} \arctan \sqrt[3]{s + \ln q} \right| \\
 &\leq \left| \frac{1}{10^6} \arctan \left( \sqrt[3]{t + \ln q} - \sqrt[3]{s + \ln q} \right) \right| \\
 &= \frac{1}{10^6} \left| \sqrt[3]{t + \ln q} - \sqrt[3]{s + \ln q} \right| \\
 &\leq \frac{1}{10^6} \left| \sqrt[3]{t + \ln q - s - \ln q} \right| \\
 &\leq \frac{1}{10^6} \sqrt[3]{|t - s|} \\
 &= \frac{1}{10^6} |t - s|^{\frac{1}{3}}
 \end{aligned}$$

holds for all  $t, s \in [0, 1]$ . Therefore,  $p \in H_{\frac{1}{3}}[0, 1]$  and  $H_p^{\frac{1}{3}} = \frac{1}{10^6}$ . Hence, the constants  $\alpha$  and  $\beta$  can be taken as  $0 < \alpha < \frac{1}{3}$  and  $\beta = \frac{1}{3}$ .

Therefore, Assumption (i) of Theorem 2 is satisfied. Note that:

$$\begin{aligned}
 \|p\|_{\frac{1}{3}} &= |p(0)| + \sup \left\{ \frac{|p(t) - p(s)|}{|t - s|^{\frac{1}{3}}} : t, s \in [0, 1] \text{ and } t \neq s \right\} \\
 &= |p(0)| + H_p^{\frac{1}{3}} = \frac{1}{10^6} \left| \arctan \sqrt[3]{\ln q} \right| + \frac{1}{10^6}.
 \end{aligned}$$

Further, we have:

$$\begin{aligned}
 |k(t, \tau) - k(s, \tau)| &= \left| \sqrt[3]{m \sin t + \tau} - \sqrt[3]{m \sin s + \tau} \right| \\
 &\leq \left| \sqrt[3]{m(\sin t - \sin s)} \right| \\
 &\leq \sqrt[3]{2m \left| \cos \left( \frac{t+s}{2} \right) \right| \left| \sin \left( \frac{t-s}{2} \right) \right|} \\
 &\leq \sqrt[3]{m} |t - s|^{\frac{1}{3}}
 \end{aligned}$$

for all  $t, s, \tau \in [0, 1]$ . Assumption (ii) of Theorem 2 is satisfied with  $k_{\beta} = k_{\frac{1}{3}} = \sqrt[3]{m}$ .

Since  $(Tx)(\tau) = \sqrt{|x(\tau)|}$  and:

$$\sqrt{|x(\tau)|} \leq \sqrt{\|x\|_{\infty}} \leq \sqrt{\|x\|_{\beta}}$$

for all  $x \in H_{\beta}[0, 1]$  and  $\tau \in [0, 1]$ , the inequality:

$$\|Tx\|_{\infty} = \sup_{\tau \in [0, 1]} \left| \sqrt{|x(\tau)|} \right| \leq \sqrt{\|x\|_{\beta}}$$

holds. Therefore, we can choose the function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  as  $f(x) = \sqrt{x}$ . This function is non-decreasing and satisfies the inequality in Assumption (iii).

We will show that the operator  $T : H_{\beta}[0, 1] \rightarrow C[0, 1]$  is continuous on  $H_{\beta}[0, 1]$  with respect to the norm  $\|\cdot\|_{\alpha}$ . Let us take  $x, y \in H_{\beta}[0, 1]$  and  $\tau \in [0, 1]$ . It is certain that:

$$\left| \sqrt{|x(\tau)|} - \sqrt{|y(\tau)|} \right| \leq \sqrt{|x(\tau) - y(\tau)|} \leq \sqrt{\|x - y\|_{\infty}} \leq \sqrt{\|x - y\|_{\alpha}}$$

and:

$$\|Tx - Ty\|_\infty \leq \sup_{\tau \in [0,1]} \left| \sqrt{|x(\tau)|} - \sqrt{|y(\tau)|} \right| \leq \sqrt{\|x - y\|_\alpha}.$$

Now, we will show that  $T$  is continuous at the point  $y \in H_\beta[0, 1]$  with respect to the norm  $\|\cdot\|_\alpha$ . Let us take an arbitrary  $\varepsilon > 0$ . Then, there exists the positive number  $\delta$  such that  $\|x - y\|_\alpha < \delta$  and the inequality:

$$\|Tx - Ty\|_\infty \leq \sqrt{\|x - y\|_\alpha} < \varepsilon$$

is satisfied for all  $x \in H_\beta[0, 1]$ . Here, we can choose the positive number  $\delta$  as  $\delta = \varepsilon^2$ .

As a result, we infer that the operator  $T$  is continuous at the point  $y \in B_{r_0}^\beta$ . Since  $y$  was chosen arbitrarily, we deduce that  $T$  is continuous on  $H_\beta[0, 1]$  with respect to the norm  $\|\cdot\|_\alpha$ .

Further, we can calculate that:

$$\begin{aligned} & \sup \left\{ \int_0^1 |k(t, \tau)| d\tau : t \in [0, 1] \right\} \\ &= \sup \left\{ \int_0^1 \left| \sqrt[3]{m \sin t + \tau} \right| d\tau : t \in [0, 1] \right\} \\ &= \sup \left\{ \frac{1}{3} \left( \sqrt[3]{(m \sin t + 1)^4} - \sqrt[3]{(m \sin t)^4} \right) : t \in [0, 1] \right\} \\ &\leq \sup \left\{ \frac{1}{3} \sqrt[3]{(m \sin t + 1)^4} : t \in [0, 1] \right\} \\ &\leq \frac{1}{3} \sqrt[3]{(m + 1)^4} \\ &\leq \sqrt[3]{(m + 1)^4} \\ &= K. \end{aligned}$$

In this case, the inequality appearing in Assumption (vi) of Theorem 2 takes the following form:

$$\|p\|_{\frac{1}{3}} + (2K + k_\beta)rf(r) \leq r$$

which is equivalent to:

$$\frac{1}{10^6} \left( \left| \arctan \sqrt[3]{\ln q} \right| + 1 \right) + \left( 2\sqrt[3]{(m + 1)^4} + \sqrt[3]{m} \right) r\sqrt{r} \leq r. \tag{22}$$

There exists a positive number  $r_0$  satisfying (22) for chosen suitable constants  $q$  and  $m$ .

For example, if one chooses  $q = 1$  and  $m = \frac{1}{5^{12}}$ , then the inequality:

$$\frac{1}{10^6} + \left( 2\sqrt[3]{\left(1 + \frac{1}{5^{12}}\right)^4} + 0.0016 \right) r^{\frac{3}{2}} \leq r$$

holds for  $r = r_0 = \frac{1}{10^4}$ . Therefore, using Theorem 2, we infer that there is at least one solution  $x$  of Equation (21) in the space  $H_\alpha[0, 1]$  with  $0 < \alpha < \frac{1}{3}$ .

### 5. Conclusions

In this paper, we have investigated the existence of solutions of the integral Equation (4). It should be noted that Equation (4) is more general than many equations considered up to now. For example, it includes the equations examined in previous studies [4–6]. That is, if we take the operator  $T$  as

$(Tx)(\tau) = x(\tau)$ , we obtain the integral Equation (1) in [4] with  $a = 0$  and  $b = 1$ . On the other hand, if we take  $(Tx)(\tau) = x(r(\tau))$ , we have the integral Equation (2) in [5]. Further, if we take  $(Tx)(\tau) = \max_{\eta \in [0, r(\tau)]} |x(\eta)|$ , we have the integral Equation (3) in [6].

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Article

# A Class of Nonlinear Boundary Value Problems for an Arbitrary Fractional-Order Differential Equation with the Riemann-Stieltjes Functional Integral and Infinite-Point Boundary Conditions

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**Abstract:** In this paper, we investigate the existence of an absolute continuous solution to a class of first-order nonlinear differential equation with integral boundary conditions (BCs) or with infinite-point BCs. The Liouville-Caputo fractional derivative is involved in the nonlinear function. We first consider the existence of a solution for the first-order nonlinear differential equation with  $m$ -point nonlocal BCs. The existence of solutions of our problems is investigated by applying the properties of the Riemann sum for continuous functions. Several examples are given in order to illustrate our results.

**Keywords:** nonlinear boundary value problems; fractional-order differential equations; Riemann-Stieltjes functional integral; Liouville-Caputo fractional derivative; infinite-point boundary conditions; advanced and deviated arguments; existence of at least one solution

**MSC:** primary 26A33, 34B18, 34K37; secondary 34A08, 34B10

## 1. Introduction

Our objective in this article is to investigate the existence of absolute continuous solutions of the nonlocal first-order boundary value problem (BVP) with the nonlinear function involving the Liouville-Caputo fractional derivative:

$$\frac{dx}{dt} = f(t, D^\alpha x(t)) \quad \text{a.e.} \quad (0 < t < 1; 0 < \alpha \leq 1), \quad (1)$$

together with either the Riemann-Stieltjes functional integral boundary condition (with the advanced or deviated argument  $\phi$ ) given by

$$\int_0^1 x(\phi(s)) dg(s) = x_0 \quad (g : [0, 1] \rightarrow [0, 1]; g(s) \geq 0) \quad (2)$$

or the infinite-point boundary conditions given by

$$\sum_{k=1}^{\infty} a_k x(\phi(\tau_k)) = x_0 \quad (a_k > 0; \tau_k \in (0, 1); \phi(\tau_k) \leq \tau_k), \quad (3)$$

where  $g : [0, 1] \rightarrow [0, 1]$  is an increasing function,  $\alpha \in (0, 1]$  and  $D^\alpha$  denotes the Liouville-Caputo fractional derivative of order  $\alpha$ . The integral in (2) is the Riemann-Stieltjes type with respect to  $g(s)$ . In the case when  $g(s) = s$ , the Riemann-Stieltjes integral in the boundary condition given by (2) reduces to the relatively more familiar Riemann integral.

In the case when  $\alpha = 1$ , the BVP (1) becomes the implicit differential problem given by

$$\frac{dx}{dt} = f\left(t, \frac{dx}{dt}\right) \quad \text{a.e.} \quad (0 < t < 1)$$

under the Riemann-Stieltjes functional integral BC (2) or infinite-point BCs (3).

Our results in this article are based upon *Kolmogorov's Compactness Criterion* (see [1]) and upon Schauder's Fixed Point Theorem (see [2]).

Nonlinear BVPs with nonlocal multi-point BCs have received a lot of attention in recent years. In fact, various conditions are obtained for the existence of solutions by (for example) Alvan et al. [3], Benchohra et al. [4], Boucherif [5], El-Sayed and Bin-Taher [6], Gao and Han [7], Hamani et al. [8] and Nieto et al. [9] (see also the references to the related earlier works which are cited in each of these investigations).

BVPs with integral BCs arise naturally in semiconductor problems [10], thermal conduction problems [11], hydrodynamic problems [12], population dynamics model [13], and so on (see also [14]). Recently, these BVPs were extensively studied by (among others) Akcan and Çetin [15], Boucherif [16], Benchohra et al. [17], Chalishajar and Kumar [18], Dou et al. [19], Li and Zhang [20], Liu et al. [21], Song et al. [22], Tokmagambetov and Torebek [23], Wang et al. [24] and Yang and Qin [25] (see also the references to the related earlier works which are cited in each of these investigations).

The study of BVPs involving infinite-point BCs has become attractive recently. In the year 2011, Gao and Han [7] firstly studied the solutions to the fractional-order differential equation problem with infinite-point BCs. Ever since then, many significant and interesting cases of BVPs of fractional order were considered with infinite-point BCs by (for example) Ge et al. [26], Guo et al. [27], Hu and Zhang [28], Li et al. [29], Liu et al. [30], Zhang and Zhong [31] and Zhang [32] (see also to the references cited therein). In the year 2016, Xu and Yang [33] proposed a generalization of the PID controller and studied two kinds of fractional-order differential equations arising in control theory together with the infinite point boundary conditions. Their results can describe the corresponding control system accurately and also provide a platform for the understanding of our environment. However, investigations on the infinite-point BVPs for differential equations of fractional or integer order have gradually aroused people's attentions and interests, but such investigations are still not too many.

Motivated by the above-mentioned developments and results, we consider the BVP given by (1) and (2) or by (1) and (3). In each case, we determine sufficient conditions on  $f$  guaranteeing that the problem (1) under the Riemann-Stieltjes functional integral BC (2) or the problem (1) under infinite-point BC (3) has a solution. We first find the solutions of the problem (1) with the  $m$ -point BCs given by

$$\sum_{k=1}^m a_k x(\phi(\tau_k)) = x_0 \quad (a_k \neq 0; 0 < \tau_k < 1), \quad (4)$$

and then, by using the properties of the Riemann sum for continuous functions, we investigate the solutions of the BVP given by (1) and (2) as well as the BVP given by Equations (1) and (3). The solutions of our problems in the Carathéodory sense are given under some weak conditions on  $f$ , which are sufficiently general and easy to check.

Our work has the following salient features. Firstly, a unified investigation involving both the Riemann-Stieltjes integral as well as infinite points is presented here in the BCs of the BVP (1). Secondly, to the best of our knowledge, most (if not all) of the earlier works dealt with the Riemann-Stieltjes integral BCs or infinite-point BCs as separate cases. Here, if we have a way of getting the continuous solution of the  $m$ -point BVP, we can (in a simple way) get a solution to the BVP with the Riemann-Stieltjes integral or infinite points in the BCs.

**2. Preliminaries**

Let  $C(I)$  be the space of continuous functions defined on  $I$  with the norm given by

$$\|x\| = \sup_{t \in I} |x(t)|,$$

and  $AC[0, 1]$  be the space of all absolutely continuous functions on  $[0, 1]$ .

In addition, let  $L_1(I)$  denote the class of the Lebesgue-integrable functions on the interval  $I = [0, 1]$  with the norm given by

$$\|y\|_{L_1} = \int_0^1 y(\xi) d\xi.$$

**Definition 1.** The Riemann-Liouville fractional integral of the function  $f \in L_1[0, T]$  of order  $\beta > 0$  is defined by (see [34,35])

$$I^\beta f(t) = \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} f(s) ds.$$

**Definition 2.** The Caputo (or, more precisely, the Liouville-Caputo) fractional derivative of  $f(t)$  of order  $\alpha$  ( $0 < \alpha \leq 1$ ) is defined as follows (see [34,35])

$$D^\alpha f(t) = I^{1-\alpha} \frac{d}{dt} \{f(t)\} = \frac{1}{\Gamma(1-\alpha)} \int_0^t (t-s)^{-\alpha} \frac{d}{ds} \{f(s)\} ds.$$

**3. Existence of Solutions to (1) with the  $m$ -Point BCs (4)**

**Definition 3.** A function  $x$  is called a solution of problem (1) with the  $m$ -point BCs (4) if  $x \in AC[0, 1]$  and satisfies (1) and (4).

We make several assumptions as detailed below:

- (i) The function  $f : [0, 1] \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function, that is, it possesses the following properties:
  - (a) For each  $t \in [0, 1]$ ,  $f(t, \cdot)$  is continuous;
  - (b) For each  $u \in \mathbb{R}$ ,  $f(\cdot, u)$  is measurable.
- (ii) The function  $\phi : [0, 1] \rightarrow [0, 1]$  is continuous and advanced,  $\phi(t) \geq t$ , or continuous and deviated,  $\phi(t) \leq t$ .
- (iii) There exists an integrable function  $a \in L_1[0, 1]$  and a constant  $b > 0$  such that

$$|f(t, u)| \leq a(t) + b|u| \quad \text{for each } t \in [0, 1] \text{ and } u \in \mathbb{R}$$

**Lemma 1.** The boundary value problem given by (1) and (4) is equivalent to the following integral equation:

$$x(t) = A \left( x_0 - \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi \right) + \int_0^t y(\xi) d\xi, \tag{5}$$

where  $y(t)$  is the solution of fractional-order integral equation given by

$$y(t) = f(t, I^{1-\alpha}y(t)) \quad (t \in [0, 1]) \tag{6}$$

and

$$A = \left( \sum_{k=1}^m a_k \right)^{-1}.$$

**Proof.** We begin by considering the problem (1) with the  $m$ -point BCs in (4). If we put  $y(t) = x'(t)$  in (1), then Definition 2 implies that

$$y(t) = f(t, I^{1-\alpha}y(t)).$$

We also have

$$x(t) = x(0) + I^1y(t). \tag{7}$$

We now use the nonlocal condition (4) in order to compute the constant  $x(0)$ . Indeed, upon setting  $t = \phi(\tau_k) \in (0, 1)$  in Equation (7), we get

$$x(\phi(\tau_k)) = \int_0^{\phi(\tau_k)} y(\xi) d\xi + x(0),$$

so that we have

$$\sum_{k=1}^m a_k x(\phi(\tau_k)) = \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi + x(0) \sum_{k=1}^m a_k.$$

From Equation (4), we find that

$$x_0 = \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi + x(0) \sum_{k=1}^m a_k,$$

which yields

$$x(0) = A \left( x_0 - \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi \right).$$

Substituting this last evaluation in Equation (7), we obtain formula (5).

Finally, in order to complete the proof of the above Lemma, we show that Equation (5) satisfies problem (1) together with the  $m$ -point BCs in (4). In fact, from (5), we obtain

$$D^\alpha x(t) = I^{1-\alpha} \frac{d}{dt} \{x(t)\} = I^{1-\alpha}y(t).$$

In addition, upon differentiating (5) with respect to  $t$ , we have

$$\frac{dx}{dt} = y(t) = f(t, I^{1-\alpha}y(t)) = f(t, D^\alpha x(t)).$$

Again, from (5), we have

$$\sum_{k=1}^m a_k x(\phi(\tau_k)) = x_0 - \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi + \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi = x_0.$$

This proves the equivalence between the nonlocal problem given by (1) and (2) and the integral Equation (5).  $\square$

For the problem (1) with the  $m$ -point BCs (4), we prove Theorem 1 below.



**Theorem 1.** Suppose that the assumptions (i) to (iii) are satisfied. If

$$\frac{b}{\Gamma(2-\alpha)} < 1,$$

then the fractional-order integral equation (6) has a solution  $y \in L_1[0, 1]$ .

Suppose also that the coefficients  $a_k$  satisfy the following inequality:

$$\sum_{k=1}^m a_k \neq 0.$$

Then the problem (1) together with the  $m$ -point BCs in (4) has at least one solution  $x \in AC[0, 1]$  given by (5).

**Proof.** Let us define the operator  $T$  associated with Equation (6) by

$$(Ty)(t) = f(t, I^{1-\alpha}y(t)).$$

In addition, for a positive number  $r$ , let

$$B_r = \{y : y \in L_1(I) \text{ and } \|y\|_{L_1} \leq r\} \subset L_1[0, 1],$$

where

$$r \geq \frac{\|a\|_{L_1}}{1 - \frac{b}{\Gamma(2-\alpha)}}.$$

Clearly,  $B_r$  is nonempty, closed, convex and bounded.

From the assumption (i), we can deduce that the operator  $T$  is continuous.

Suppose that  $y$  is an arbitrary element in  $B_r$ . We will show that  $TB_r \subset B_r$ . Indeed, from (6) and the assumptions (i) and (iii), we get

$$\begin{aligned} \|Ty\|_{L_1} &= \int_0^1 |Ty(t)| dt \\ &\leq \int_0^1 |a(t)| dt + b \int_0^1 \int_0^t \frac{(t-\xi)^{-\alpha}}{\Gamma(1-\alpha)} |y(\xi)| d\xi dt \\ &\leq \|a\|_{L_1} + b \int_0^1 \int_\xi^1 \frac{(t-\xi)^{-\alpha}}{\Gamma(1-\alpha)} dt |y(\xi)| d\xi \\ &\leq \|a\|_{L_1} + \frac{b}{\Gamma(2-\alpha)} \|y\|_{L_1} \leq \|a\|_{L_1} + \frac{b}{\Gamma(2-\alpha)} r \leq r, \end{aligned}$$

which implies that  $TB_r \subset B_r$ .

We will now show that  $T$  is a compact operator. In fact, if we let  $\Omega$  be a bounded subset of  $B_r$ , then  $T(\Omega)$  is clearly seen to be bounded in  $L_1[0, 1]$ , that is, the first condition of Kolmogorov's Compactness Criterion (see [1]) is satisfied.

We next prove that

$$(Ty)_h \rightarrow Ty \text{ uniformly in } L_1[0, 1] \quad (h \rightarrow 0),$$

where

$$(Ty)_h(t) = \frac{1}{h} \int_t^{t+h} (Ty)(\xi) d\xi.$$

For each  $y \in \Omega$ , we thus find that

$$\begin{aligned} \|(Ty)_h - Ty\|_{L_1} &= \int_0^1 |(Ty)_h(t) - (Ty)(t)| dt \\ &= \int_0^1 \left| \frac{1}{h} \int_t^{t+h} (Ty)(\xi) d\xi - (Ty)(t) \right| dt \\ &\leq \int_0^1 \left( \frac{1}{h} \int_t^{t+h} |(Ty)(\xi) - (Ty)(t)| d\xi \right) dt \\ &\leq \int_0^1 \frac{1}{h} \int_t^{t+h} |f(\xi, I^{1-\alpha}y(\xi)) - f(t, I^{1-\alpha}y(t))| d\xi dt. \end{aligned}$$

By the assumptions (i) and (iii),  $y \in \Omega$  implies that  $f \in L_1[0, 1]$ , so it follows that (see [36])

$$\frac{1}{h} \int_t^{t+h} |f(\xi, I^{1-\alpha}y(\xi)) - f(t, I^{1-\alpha}y(t))| d\xi \rightarrow 0 \quad (h \rightarrow 0) \text{ a.e.} \quad (t \in [0, 1]).$$

Then, by Kolmogorov’s Compactness Criterion (see [1]), we find that  $T(\Omega)$  is relatively compact, that is,  $T$  is a compact operator.

As a consequence of Schauder’s Fixed Point Theorem (see [2]), the operator  $T$  has a fixed point in  $B_r$ . This proves the existence of the solution  $y \in L_1[0, 1]$  of Equation (6). Consequently, based on the above Lemma, problem (1) together with the  $m$ -point BCs (4) possess a solution  $x \in AC(0, 1)$ .

Now, from Equation (5), we have

$$x(0) = \lim_{t \rightarrow 0^+} x(t) = Ax_0 - A \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi$$

and

$$x(1) = \lim_{t \rightarrow 1^-} x(t) = Ax_0 - A \sum_{k=1}^m a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi + \int_0^1 y(\xi) d\xi,$$

from which we deduce that Equation (5) has a solution  $x \in AC[0, 1]$ .

Consequently, the nonlocal problem given by (1) and (4) has a solution  $x \in AC[0, 1]$  given by (5).  $\square$

#### 4. Riemann-Stieltjes Functional Integral BCs

Let  $x \in AC[0, 1]$  be a solution of the problem (1) with the  $m$ -point BCs in (4). Then, we have the following theorem.

**Theorem 2.** *Suppose that the assumptions (i) to (iii) are satisfied. If*

$$\frac{b}{\Gamma(2 - \alpha)} < 1$$

*and  $g : [0, 1] \rightarrow [0, 1]$  is an increasing function, then there exists a solution  $x \in AC[0, 1]$  of the following problem:*

$$x'(t) = f(t, D^\alpha x(t)) \text{ a.e.} \quad (t \in (0, 1); \alpha \in (0, 1]),$$

*together with the Riemann-Stieltjes functional integral condition:*

$$\int_0^1 x(\phi(s)) dg(s) = x_0,$$

which is represented by

$$x(t) = [g(1) - g(0)]^{-1} x_0 - [g(1) - g(0)]^{-1} \cdot \int_0^1 \int_0^{\phi(s)} y(\xi) d\xi dg(s) + \int_0^t y(\xi) d\xi. \tag{8}$$

**Proof.** Let

$$a_k = g(t_k) - g(t_{k-1}) \quad (\tau_k \in (t_{k-1}, t_k); 0 \leq t_0 < t_1 < t_2, \dots < t_n \leq 1).$$

Then, the multi-point nonlocal condition (4) becomes

$$\sum_{k=1}^m [g(t_k) - g(t_{k-1})] x(\phi(\tau_k)) = x_0.$$

From the continuity of the solution  $x$  of the multi-point nonlocal problem given by (1) and (4), we can get

$$\lim_{m \rightarrow \infty} \sum_{k=1}^m [g(t_k) - g(t_{k-1})] x(\phi(\tau_k)) = \int_0^1 x(\phi(s)) dg(s).$$

Furthermore, the multi-point nonlocal boundary condition (4) can be transformed into the following Riemann-Stieltjes functional integral form:

$$\int_0^1 x(\phi(s)) dg(s) = x_0.$$

In addition, from the functional integral Equation (5), we have

$$\begin{aligned} \lim_{m \rightarrow \infty} x(t) &= [g(1) - g(0)]^{-1} x_0 - [g(1) - g(0)]^{-1} \\ &\cdot \lim_{m \rightarrow \infty} \sum_{k=1}^m [g(t_k) - g(t_{k-1})] \int_0^{\phi(\tau_k)} y(\xi) d\xi + \int_0^t y(\xi) d\xi \\ &= [g(1) - g(0)]^{-1} x_0 - [g(1) - g(0)]^{-1} \\ &\cdot \int_0^1 \int_0^{\phi(s)} y(\xi) d\xi dg(s) + \int_0^t y(\xi) d\xi. \end{aligned}$$

Hence, the continuous solution of the first-order nonlinear differential Equation (1) with the Riemann-Stieltjes functional integral condition (2) is given by (8). □

We would like to provide two examples of the first order BVP (1) with the Riemann-Stieltjes functional integral boundary condition (2) (with the advanced or deviated argument  $\phi$ ) whose solutions are ensured by Theorem 2.

**Example 1.** Let the nonlinear function  $f(t, u)$  in (1) be given by

$$f(t, u) = \cos(3(t + 1)) + \frac{1}{5} (t^3 \sin u + e^{-t} u).$$

It is clear that the assumptions (i) and (iii) of Theorem 2 are fulfilled with

$$a(t) = \cos(3(t + 1)) \in L_1[0, 1] \quad \text{and} \quad b = \frac{2}{5}.$$

Let the fractional order in (1) be  $\alpha = \frac{1}{2}$ . Then

$$\frac{b}{\Gamma(2 - \alpha)} \approx 0.4503338 < 1.$$

In this case, the first-order BVP (1) has the following form:

$$\frac{dx}{dt} = \cos(3(t+1)) + \frac{1}{5} [t^3 \sin D^{1/2}x(t) + e^{-t} D^{1/2}x(t)]. \tag{9}$$

Let the function  $g : [0, 1] \rightarrow [0, 1]$  be defined by the formula

$$g(t) = t \ln(1+t).$$

If  $\beta \in (0, 1)$ , we consider the advanced function  $\phi(t) = t^\beta$ . Then, the integral condition (2) assumes the following form:

$$\int_0^1 x(t^\beta) d(t \ln(1+t)) = x_0. \tag{10}$$

Thus, clearly, one can obtain the existence of a solution of (9) and (10).

**Example 2.** Let  $f(t, u)$ ,  $g(t)$ ,  $\alpha$  and  $\beta$  be as in Example (1) and consider the deviated function  $\phi(t) = \beta t$ . Then the functional integral condition (2) becomes

$$\int_0^1 x(\beta t) d(t \ln(1+t)) = x_0. \tag{11}$$

Therefore, we can obtain the existence of a solution of (9) and (11).

We now consider another Riemann-Stieltjes nonlocal integral boundary condition.

**Corollary 1.** Let the assumptions of Theorem 2 be satisfied. Then there exists a solution  $x \in AC[0, 1]$  of the following problem:

$$x'(t) = f(t, D^\alpha x(t)) \text{ a.e.} \quad (t \in (0, 1); \alpha \in (0, 1]),$$

together with the Riemann-Stieltjes nonlocal integral condition given by

$$\int_c^d x(\phi(s)) dg(s) = x_0 \quad (0 < c < d < 1),$$

which is represented by

$$x(t) = [g(d) - g(c)]^{-1} x_0 - [g(d) - g(c)]^{-1} \cdot \int_c^d \int_0^{\phi(s)} y(\xi) d\xi dg(s) + \int_0^t y(\xi) d\xi.$$

**Proof.** The proof of the above corollary is similar to that of Theorem 2. Here, in this case, we let

$$a_k = g(t_k) - g(t_{k-1}) \quad (\tau_k \in (t_{k-1}, t_k); 0 < c \leq t_0 < t_1 < t_2, \dots < t_n \leq d < 1).$$

□

### 5. Infinite-Point Boundary Conditions

Let  $x \in AC[0, 1]$  be the solution of the nonlocal problem given by (1) and (4). Then, we have the following theorem.

**Theorem 3.** Let the assumptions (i) and (iii) be satisfied and let

$$\phi(\tau_k) \leq \tau_k \quad \text{and} \quad \frac{b}{\Gamma(2-\alpha)} < 1.$$

Suppose also that the following series:

$$\sum_{k=1}^{\infty} a_k = B^{-1}$$

is convergent. Then there exists a solution  $x \in AC[0, 1]$  of the nonlocal problem (1) and (3) given by the following integral equation:

$$x(t) = B x_0 - B \sum_{k=1}^{\infty} a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi + \int_0^t y(\xi) d\xi \tag{12}$$

for every solution  $y$  of the functional equation (6).

**Proof.** Let  $x \in AC[0, 1]$  be a solution of the infinite point BVP (1) and (4) given by (5). Since

$$|a_k x(\phi(\tau_k))| \leq a_k \|x\| \quad \text{and} \quad \left| a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi \right| \leq a_k \|y\|_{L_1},$$

by the comparison test, the series in (3) and

$$\sum_{k=1}^{\infty} a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi$$

are convergent. Thus, by taking the limit as  $m \rightarrow \infty$  in (5), we obtain

$$x(t) = B x_0 - B \sum_{k=1}^{\infty} a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi + \int_0^t y(\xi) d\xi,$$

which, for every solution  $y$  of the functional Equation (6), satisfies the differential Equation (1). Furthermore, from (12), we have

$$\begin{aligned} \sum_{k=1}^{\infty} a_k x(\phi(\tau_k)) &= B^{-1} B x_0 - B^{-1} B \sum_{k=1}^{\infty} a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi \\ &\quad + \sum_{k=1}^{\infty} a_k \int_0^{\phi(\tau_k)} y(\xi) d\xi = x_0. \end{aligned} \tag{13}$$

This proves that the solution of the integral equation (12) satisfies the problem given by (1) under infinite-point BCs (3). □

### 6. Further Illustrative Examples

In this section, we consider the following examples with a view to illustrating some of our main results.

**Example 3.** Consider the following infinite-point BVP:

$$\frac{dx}{dt} = \frac{\ln(1 + |D^{2/3}x(t)|)}{2 + t^2} + t^3 e^{-t^2} \quad a.e. \quad (0 < t < 1)$$

together with

$$\sum_{k=1}^{\infty} \frac{1}{k^3} x\left(\frac{k^2 - 1}{k^2} - \sigma \sin^2\left(\sqrt{\frac{k^2 - 1}{k^2}}\right)\right) \quad (0 \leq \sigma \leq 1).$$

If we set

$$f(t, u) = \frac{\ln(1 + |u(t)|)}{2 + t^2} + t^3 e^{-t^2},$$

then

$$|f(t, u)| \leq t^3 e^{-t^2} + \frac{1}{3} |u|.$$

We also set

$$a(t) = t^3 e^{-t^2} \in L_1[0, 1] \quad \text{and} \quad b = \frac{1}{3}.$$

Thus, clearly, assumptions (i) and (iii) are satisfied.

On the other hand, we have

$$\alpha = \frac{2}{3} \quad \text{so that} \quad \frac{b}{\Gamma(2 - \alpha)} \approx 0.3731148 < 1.$$

Now, if we let

$$\phi(\tau_k) = \tau_k - \lambda \sin^2(\sqrt{\tau_k}) \quad \text{and} \quad \tau_k = \frac{k^2 - 1}{k^2} \in (0, 1),$$

then

$$\phi(\tau_k) \leq \tau_k.$$

In addition, the following series:

$$\sum_{k=1}^{\infty} a_k = \sum_{k=1}^{\infty} \frac{1}{k^3}$$

is convergent. Therefore, by appealing to Theorem 3, the given infinite-point BVP has an absolute continuous solution.

**Example 4.** Consider the following infinite-point implicit BVP:

$$\frac{dx}{dt} = \frac{[x'(t)]^3}{2(1 + |x'(t)|^2)} + \frac{1}{4\pi} \sin(\pi x'(t)) + \cos t^3 + 3 \quad \text{a.e.} \quad \left(0 < t < 1; \quad x'(t) := \frac{dx}{dt}\right)$$

together with

$$\sum_{k=1}^{\infty} 10 \left(\frac{3}{4}\right)^k x\left(\frac{1}{k^3} - \lambda \exp\left(-\frac{1}{k^3}\right)\right) \quad (0 \leq \lambda \leq 1).$$

If we set

$$f(t, u) = \frac{u^3}{2(1 + |u|^2)} + \frac{1}{4\pi} \sin(\pi u) + \cos t^3 + 3,$$

then

$$|f(t, u)| \leq \cos t^3 + 3 + \frac{3}{4} |u|,$$

Now, putting

$$a(t) = \cos t^3 + 3 \in L_1[0, 1] \quad \text{and} \quad b = \frac{3}{4},$$

the assumptions (i) and (iii) hold true.

We have

$$\alpha = 1 \quad \text{so that} \quad \frac{b}{\Gamma(2 - \alpha)} = \frac{3}{4} < 1.$$

On the other hand, if we let

$$\phi(\tau_k) = \tau_k - \lambda \exp(-\tau_k) \quad \text{and} \quad \tau_k = \frac{1}{k^3} \in (0, 1),$$

then

$$\phi(\tau_k) \leq \tau_k.$$

We also see that the following series:

$$\sum_{k=1}^{\infty} a_k = 10 \sum_{k=1}^{\infty} \left(\frac{2}{3}\right)^{k-1}$$

is convergent. Therefore, by applying Theorem 3, the given infinite-point implicit BVP has an absolute continuous solution in  $[0, 1]$ .

## 7. Conclusions

In our present investigation, we have considered the existence of an absolute continuous solution to a class of first-order nonlinear differential equation with integral boundary conditions (BCs) or with infinite-point BCs (see Theorems 2 and 3 and the above Corollary). We have demonstrated that, if we can get the continuous solutions to BVPs with  $m$ -point BCs, we can easily get the solutions to these problems with integral BCs or with infinite-point BCs. Several examples have also been given in order to illustrate some of our main results. We note that the fractional differential Equation (1) involves the ordinary derivative  $\frac{dx}{dt}$  of order 1 on its left-hand side. In the foreseeable future, we propose to investigate the possibility of extending our results to such other higher-order derivatives as

$$\frac{d^2x}{dt^2}, \quad \frac{d^3x}{dt^3}, \quad \frac{d^4x}{dt^4}, \quad \dots,$$

occurring on the left-hand side of the fractional differential Equation (1), involving the Liouville-Caputo fractional derivatives together with integral BCs and/or the infinite-point BCs.

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Article

# Third-Order Hankel Determinant for Certain Class of Analytic Functions Related with Exponential Function

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**Abstract:** Let  $S_1^*$  denote the class of analytic functions  $f$  in the open unit disk  $\mathbb{D} = \{z : |z| < 1\}$  normalized by  $f(0) = f'(0) - 1 = 0$ , which is subordinate to exponential function,  $\frac{zf'(z)}{f(z)} \prec e^z$  ( $z \in \mathbb{D}$ ). In this paper, we aim to investigate the third-order Hankel determinant  $H_3(1)$  for this function class  $S_1^*$  associated with exponential function and obtain the upper bound of the determinant  $H_3(1)$ . Meanwhile, we give two examples to illustrate the results obtained.

**Keywords:** analytic function; Hankel determinant; exponential function; upper bound

**MSC:** 30C45; 30C50; 30C80

## 1. Introduction

Let  $\mathcal{S}$  denote the class of functions  $f$  which are analytic and univalent in the open unit disk  $\mathbb{D} = \{z : |z| < 1\}$  of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (z \in \mathbb{D}). \quad (1)$$

Assume that  $\mathcal{P}$  denote the class of analytic functions  $p$  normalized by

$$p(z) = 1 + c_1 z + c_2 z^2 + c_3 z^3 + \dots$$

and satisfying the condition  $\operatorname{Re} p(z) > 0$  ( $z \in \mathbb{D}$ ).

It is easy to see that, if  $p(z) \in \mathcal{P}$ , then exists a Schwarz function  $\omega(z)$  with  $\omega(0) = 0$  and  $|\omega(z)| < 1$ , such that (see [1])

$$p(z) = \frac{1 + w(z)}{1 - w(z)} \quad (z \in \mathbb{D}).$$

Now, we start with recalling the definition of subordination.

Suppose that  $f$  and  $g$  are two analytic functions in  $\mathbb{D}$ . Then, we say that the function  $g$  is subordinate to the function  $f$ , and we write

$$g(z) \prec f(z) \quad (z \in \mathbb{D}),$$

if there exists a Schwarz function  $\omega(z)$  with  $\omega(0) = 0$  and  $|\omega(z)| < 1$ , such that (see [2])

$$g(z) = f(\omega(z)) \quad (z \in \mathbb{D}).$$

Recently, Mendiratta et al. in [3] introduced the following subclass  $S_1^*$  of analytic functions associated with exponential function.

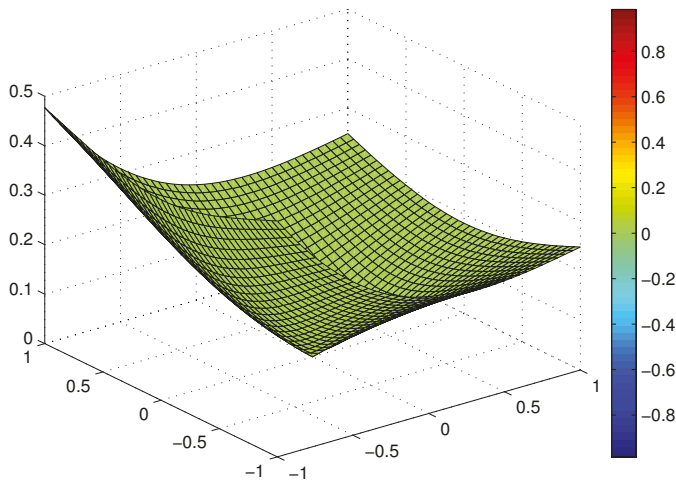
**Definition 1.** (see [3]). A function  $f \in \mathcal{S}$  is said to be in the class  $S_1^*$ , if it satisfies the following condition:

$$\frac{zf'(z)}{f(z)} \prec e^z \quad (z \in \mathbb{D}). \tag{2}$$

We easily observe that,  $f \in S_1^*$ , if and only if

$$\left| \log \frac{zf'(z)}{f(z)} \right| < 1 \quad (z \in \mathbb{D}). \tag{3}$$

In fact, if we choose  $f(z) = z + \frac{1}{4}z^2$ , then, from Equation (3), we can sketch the figure of the function class  $S_1^*$  (see Figure 1).



**Figure 1.** the figure of the function class  $S_1^*$  for  $f(z) = z + \frac{1}{4}z^2$ .

The  $q^{th}$  Hankel determinant for  $q \geq 1$  and  $n \geq 1$  is stated by Noonan and Thomas [4] as

$$H_q(n) = \begin{vmatrix} a_n & a_{n+1} & \cdots & a_{n+q-1} \\ a_{n+1} & a_{n+2} & \cdots & a_{n+q} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n+q-1} & a_{n+q} & \cdots & a_{n+2q-2} \end{vmatrix} \quad (a_1 = 1).$$

This determinant has been considered by several authors, for example, Noor [5] determined the rate of growth of  $H_q(n)$  as  $n \rightarrow \infty$  for functions  $f(z)$  given by Equation (1) with bounded boundary and Ehrenborg [6] studied the Hankel determinant of exponential polynomials.

In particular, we have

$$H_2(1) = \begin{vmatrix} a_1 & a_2 \\ a_2 & a_3 \end{vmatrix} = a_3 - a_2^2 \quad (a_1 = 1, n = 1, q = 2),$$

$$H_2(2) = \begin{vmatrix} a_2 & a_3 \\ a_3 & a_4 \end{vmatrix} = a_2a_4 - a_3^2 \quad (n = 2, q = 2),$$

and

$$H_3(1) = \begin{vmatrix} a_1 & a_2 & a_3 \\ a_2 & a_3 & a_4 \\ a_3 & a_4 & a_5 \end{vmatrix} \quad (n = 1, q = 3).$$

Since  $f \in \mathcal{S}$ ,  $a_1 = 1$ , thus

$$H_3(1) = a_3(a_2a_4 - a_3^2) - a_4(a_4 - a_2a_3) + a_5(a_3 - a_2^2).$$

We note that  $H_2(1)$  is the well-known Fekete-Szego functional (see, for instance, [7–12]).

In recent years, many authors studied the second-order Hankel determinant  $H_2(2)$  and the third-order Hankel determinant  $H_3(1)$  for various classes of functions, the interested readers can see, for example, [13–22]. We note that, they discussed the determinants  $H_2(2)$  and  $H_3(1)$  based on the function classes, which are all subordinate to a certain function  $\frac{1+Az}{1+Bz}$  ( $-1 \leq B < A \leq 1$ ;  $z \in \mathbb{D}$ ). Until now, very few researchers have studied the above determinants for the function class, subordinated to  $e^z$  ( $z \in \mathbb{D}$ ). So, in this paper, we aim to investigate the third-order Hankel determinant  $H_3(1)$  for the function class  $S_1^*$ , which is associated with exponential function, and obtain the upper bound of the above determinant.

## 2. Main Results

In order to prove our desired results, we shall require the following lemmas.

**Lemma 1.** (see [23]). *If  $p(z) \in \mathcal{P}$ , then exists some  $x, z$  with  $|x| \leq 1, |z| \leq 1$ , such that*

$$\begin{aligned} 2c_2 &= c_1^2 + x(4 - c_1^2), \\ 4c_3 &= c_1^3 + 2c_1x(4 - c_1^2) - (4 - c_1^2)c_1x^2 + 2(4 - c_1^2)(1 - |x|^2)z. \end{aligned}$$

**Lemma 2.** (see [24]). *Let  $p(z) \in \mathcal{P}$ , then*

$$|c_n| \leq 2, \quad n = 1, 2, \dots$$

**Lemma 3.** (see [3]). *If the function  $f(z) \in S_1^*$  and of the form Equation (1), then*

$$|a_2| \leq 1, \quad |a_3| \leq \frac{3}{4}, \quad |a_4| \leq \frac{17}{36}, \quad |a_5| \leq 1. \tag{4}$$

We now state and prove the main results of our present investigation.

**Theorem 1.** *If the function  $f(z) \in S_1^*$  and of the form Equation (1), then we have*

$$|a_3 - a_2^2| \leq \frac{1}{2}. \tag{5}$$

**Proof.** Since  $f(z) \in S_1^*$ , according to the definition of subordination, then there exists a Schwarz function  $\omega(z)$  with  $\omega(0) = 0$  and  $|\omega(z)| < 1$ , such that

$$\frac{zf'(z)}{f(z)} = e^{\omega(z)}.$$

Now

$$\begin{aligned} \frac{zf'(z)}{f(z)} &= \frac{z + \sum_{n=2}^{\infty} na_n z^n}{z + \sum_{n=2}^{\infty} a_n z^n} \\ &= (1 + \sum_{n=2}^{\infty} na_n z^{n-1}) [1 - a_2 z + (a_2^2 - a_3) z^2 - (a_2^3 - 2a_2 a_3 + a_4) z^3 + \dots] \\ &= 1 + a_2 z + (2a_3 - a_2^2) z^2 + (a_2^3 - 3a_2 a_3 + 3a_4) z^3 + \dots \end{aligned} \tag{6}$$

Define a function

$$p(z) = \frac{1 + \omega(z)}{1 - \omega(z)} = 1 + c_1 z + c_2 z^2 + \dots$$

Then, we notice that  $p(z) \in \mathcal{P}$  and

$$\omega(z) = \frac{p(z) - 1}{1 + p(z)} = \frac{c_1 z + c_2 z^2 + c_3 z^3 + \dots}{2 + c_1 z + c_2 z^2 + c_3 z^3 + \dots}$$

On the other hand,

$$\begin{aligned} e^{\omega(z)} &= 1 + \omega(z) + \frac{\omega(z)^2}{2!} + \frac{\omega(z)^3}{3!} + \dots \\ &= 1 + \frac{c_1 z + c_2 z^2 + c_3 z^3 + \dots}{2 + c_1 z + c_2 z^2 + c_3 z^3 + \dots} + \frac{1}{2} \left( \frac{c_1 z + c_2 z^2 + c_3 z^3 + \dots}{2 + c_1 z + c_2 z^2 + c_3 z^3 + \dots} \right)^2 + \frac{1}{6} \left( \frac{c_1 z + c_2 z^2 + c_3 z^3 + \dots}{2 + c_1 z + c_2 z^2 + c_3 z^3 + \dots} \right)^3 + \dots \\ &= 1 + \frac{1}{2} (c_1 z + c_2 z^2 + c_3 z^3 + \dots) \left[ 1 - \frac{c_1 z}{2} + \left( \frac{c_1^2}{4} - \frac{c_2}{2} \right) z^2 - \left( \frac{c_1^3}{8} - \frac{c_1 c_2}{2} + \frac{c_3}{2} \right) z^3 + \dots \right] \\ &\quad + \frac{1}{8} (c_1 z + c_2 z^2 + c_3 z^3 + \dots)^2 \left[ 1 - \frac{c_1 z}{2} + \left( \frac{c_1^2}{4} - \frac{c_2}{2} \right) z^2 - \left( \frac{c_1^3}{8} - \frac{c_1 c_2}{2} + \frac{c_3}{2} \right) z^3 + \dots \right]^2 \\ &\quad + \frac{1}{48} (c_1 z + c_2 z^2 + c_3 z^3 + \dots)^3 \left[ 1 - \frac{c_1 z}{2} + \left( \frac{c_1^2}{4} - \frac{c_2}{2} \right) z^2 - \left( \frac{c_1^3}{8} - \frac{c_1 c_2}{2} + \frac{c_3}{2} \right) z^3 + \dots \right]^3 + \dots \\ &= 1 + \frac{1}{2} c_1 z + \left( \frac{c_2}{2} - \frac{c_1^2}{8} \right) z^2 + \left( \frac{c_1^3}{48} - \frac{c_1 c_2}{4} + \frac{c_3}{2} \right) z^3 + \dots \end{aligned} \tag{7}$$

On comparing the coefficients of  $z, z^2, z^3$  between the Equations (6) and (7), we obtain

$$a_2 = \frac{c_1}{2}, \quad a_3 = \frac{c_2}{4} + \frac{c_1^2}{16}, \quad a_4 = \frac{c_3}{6} + \frac{c_1 c_2}{24} - \frac{c_1^3}{288}. \tag{8}$$

So,

$$|a_3 - a_2^2| = \left| \frac{c_2}{4} + \frac{c_1^2}{16} - \frac{c_1^2}{4} \right| = \left| \frac{c_2}{4} - \frac{3c_1^2}{16} \right|.$$

Using Lemma 1, we thus know that

$$|a_3 - a_2^2| = \left| \frac{x(4 - c_1^2)}{8} - \frac{c_1^2}{16} \right|.$$

Letting  $|x| = t \in [0, 1], c_1 = c \in [0, 2]$  and applying the triangle inequality, the above equation reduces to

$$|a_3 - a_2^2| \leq \frac{t(4 - c^2)}{8} + \frac{c^2}{16}.$$

Suppose that

$$F(c, t) := \frac{t(4 - c^2)}{8} + \frac{c^2}{16},$$

then we get

$$\frac{\partial F}{\partial t} = \frac{4 - c^2}{8} \geq 0,$$

which shows that  $F(c, t)$  is an increasing function on the closed interval  $[0, 1]$  about  $t$ . Therefore, the function  $F(c, t)$  can get the maximum value at  $t = 1$ , that is

$$\max F(c, t) = F(c, 1) = \frac{(4 - c^2)}{8} + \frac{c^2}{16}.$$

Next, let

$$G(c) := \frac{(4 - c^2)}{8} + \frac{c^2}{16} = \frac{1}{2} - \frac{c^2}{16}.$$

Then, we easily find the function  $G(c)$  have a maximum value at  $c = 0$ , also which is

$$|a_3 - a_2^2| \leq G(0) = \frac{1}{2}.$$

The proof of Theorem 1 is thus completed.  $\square$

**Theorem 2.** If the function  $f(z) \in S_1^*$  and of the form Equation (1), then we have

$$|a_2 a_3 - a_4| \leq \frac{896\sqrt{2} + 385}{3087}. \tag{9}$$

**Proof.** From the Equation (8), we have

$$\begin{aligned} |a_2 a_3 - a_4| &= \left| \frac{c_1 c_2}{8} + \frac{c_1^3}{32} - \frac{c_3}{6} - \frac{c_1 c_2}{24} + \frac{c_1^3}{288} \right| \\ &= \left| \frac{c_1 c_2}{12} - \frac{c_3}{6} + \frac{5c_1^3}{144} \right|. \end{aligned}$$

Again, by applying Lemma 1, we get

$$|a_2 a_3 - a_4| = \left| \frac{(4 - c_1^2)c_1 x^2}{24} - \frac{(4 - c_1^2)c_1 x}{24} - \frac{(4 - c_1^2)(1 - |x|^2)z}{12} + \frac{5c_1^3}{144} \right|.$$

Assume that  $|x| = t \in [0, 1]$ ,  $c_1 = c \in [0, 2]$ . Then, using the triangle inequality, we deduce that

$$|a_2 a_3 - a_4| \leq \frac{(4 - c^2)ct^2}{24} + \frac{(4 - c^2)ct}{24} + \frac{(4 - c^2)}{12} + \frac{5c^3}{144}.$$

Setting

$$F(c, t) := \frac{(4 - c^2)ct^2}{24} + \frac{(4 - c^2)ct}{24} + \frac{(4 - c^2)}{12} + \frac{5c^3}{144}.$$

Hence, we have

$$\frac{\partial F}{\partial t} = \frac{(4 - c^2)ct}{12} + \frac{(4 - c^2)c}{24} \geq 0,$$

namely, that  $F(c, t)$  is an increasing function on the closed interval  $[0, 1]$  about  $t$ . This implies that the maximum value of  $F(c, t)$  occurs at  $t = 1$ , which is

$$\max F(c, t) = F(c, 1) = \frac{(4 - c^2)c}{24} + \frac{(4 - c^2)c}{24} + \frac{(4 - c^2)}{12} + \frac{5c^3}{144}.$$

Now define

$$G(c) := \frac{(4 - c^2)c}{24} + \frac{(4 - c^2)c}{24} + \frac{(4 - c^2)}{12} + \frac{5c^3}{144}.$$

then

$$G'(c) = \frac{(4-c^2)}{12} - \frac{c^2}{6} - \frac{c}{6} + \frac{15c^2}{144}.$$

Let  $G'(c) = 0$ , then the root is  $c = r = \frac{-4+8\sqrt{2}}{7}$ . And so the function  $G(c)$  have a maximum value attained at  $c = r = \frac{-4+8\sqrt{2}}{7}$ , also which is

$$|a_2a_3 - a_4| \leq G(r) = \frac{896\sqrt{2} + 385}{3087}.$$

The proof of Theorem 2 is completed.  $\square$

**Theorem 3.** If the function  $f(z) \in S_1^*$  and of the form Equation (1), then we have

$$|a_2a_4 - a_3^2| \leq \frac{7}{12}. \quad (10)$$

**Proof.** Suppose that  $f(z) \in S_1^*$ , then from Equation (8), we have

$$\begin{aligned} |a_2a_4 - a_3^2| &= \left| \frac{c_1c_3}{12} + \frac{c_1^2c_2}{48} - \frac{c_1^4}{576} - \left(\frac{c_2}{4} + \frac{c_1^2}{16}\right)^2 \right| \\ &= \left| \frac{c_1c_3}{12} - \frac{c_1^2c_2}{96} - \frac{c_1^4}{576} - \frac{c_2^2}{16} - \frac{c_1^4}{256} \right|. \end{aligned}$$

In view of Lemma 1, we thus obtain

$$\begin{aligned} |a_2a_4 - a_3^2| &= \left| \frac{c_1c_3}{12} + \frac{c_1^2c_2}{48} - \frac{c_1^4}{576} - \left(\frac{c_2}{4} + \frac{c_1^2}{16}\right)^2 \right| \\ &= \left| \frac{xc_1^2(4-c_1^2)}{192} - \frac{x^2c_1^2(4-c_1^2)}{48} - \frac{x^2(4-c_1^2)^2}{64} - \frac{c_1(4-c_1^2)(1-|x|^2)z}{24} - \frac{c_1^4}{256} \right|. \end{aligned}$$

Also, let  $|x| = t \in [0, 1]$ ,  $c_1 = c \in [0, 2]$ . Then, using the triangle inequality, we get

$$|a_2a_4 - a_3^2| \leq \frac{tc^2(4-c^2)}{192} + \frac{t^2c^2(4-c^2)}{48} + \frac{t^2(4-c^2)^2}{64} + \frac{(4-c^2)}{12} + \frac{c^4}{256}.$$

Assume that

$$F(c, t) := \frac{tc^2(4-c^2)}{192} + \frac{t^2c^2(4-c^2)}{48} + \frac{t^2(4-c^2)^2}{64} + \frac{(4-c^2)}{12} + \frac{c^4}{256},$$

thus, we have

$$\frac{\partial F}{\partial t} = \frac{c^2(4-c^2)}{192} + \frac{tc^2(4-c^2)}{24} + \frac{t(4-c^2)^2}{32} \geq 0,$$

which implies that  $F(c, t)$  increases on the closed interval  $[0, 1]$  about  $t$ . That is, that  $F(c, t)$  have a maximum value at  $t = 1$ , which is

$$\max F(c, t) = F(c, 1) = \frac{5c^2(4-c^2)}{192} + \frac{(4-c^2)^2}{64} + \frac{(4-c^2)}{12} + \frac{c^4}{256}.$$

Taking

$$G(c) := \frac{5c^2(4-c^2)}{192} + \frac{(4-c^2)^2}{64} + \frac{(4-c^2)}{12} + \frac{c^4}{256},$$

then we have

$$G'(c) = \frac{5c(4-c^2)}{96} - \frac{c(4-c^2)}{16} - \frac{c}{6} - \frac{5c^3}{96} + \frac{c^3}{64}.$$

If  $G'(c) = 0$ , then the root is  $c = 0$ . After a simple calculation, we can deduce that  $G''(0) < 0$ , which means that the function  $G(c)$  can take the maximum value at  $c = 0$ , also which is

$$|a_2a_4 - a_3^2| \leq G(0) = \frac{7}{12},$$

and so we complete the proof of Theorem 3.  $\square$

**Theorem 4.** *If the function  $f(z) \in S_1^*$  and of the form Equation (1), then we have*

$$|H_3(1)| \leq \frac{165,095 + 60,928\sqrt{2}}{444,528} \approx 0.565. \tag{11}$$

**Proof.** Because

$$H_3(1) = a_3(a_2a_4 - a_3^2) - a_4(a_4 - a_2a_3) + a_5(a_3 - a_2^2),$$

so, by applying the triangle inequality, we obtain

$$|H_3(1)| \leq |a_3||a_2a_4 - a_3^2| + |a_4||a_4 - a_2a_3| + |a_5||a_3 - a_2^2|. \tag{12}$$

Next, substituting Equations (4), (5), (8) and (10) into (12), we easily get the desired assertion Equation (11).

Finally, we give two examples to illustrate the results obtained.  $\square$

**Example 1.** *If we choose the function  $f(z) = e^z - 1 = z + \sum_{n=2}^{\infty} \frac{z^n}{n!} \in S_1^*$ , then we have*

$$\begin{aligned} |H_3(1)| &\leq |a_3||a_2a_4 - a_3^2| + |a_4||a_4 - a_2a_3| + |a_5||a_3 - a_2^2| \\ &= \frac{1}{3!} \times \left| \frac{1}{2!} \times \frac{1}{4!} - \frac{1}{3!} \times \frac{1}{3!} \right| + \frac{1}{4!} \times \left| \frac{1}{4!} - \frac{1}{2!} \times \frac{1}{3!} \right| + \frac{1}{5!} \times \left| \frac{1}{3!} - \frac{1}{2!} \times \frac{1}{2!} \right| \\ &\approx 0.004 < 0.565. \end{aligned}$$

**Example 2.** *If we put the function  $f(z) = -\log(1 - z) = z + \sum_{n=2}^{\infty} \frac{z^n}{n} \in S_1^*$ , then we get*

$$\begin{aligned} |H_3(1)| &\leq |a_3||a_2a_4 - a_3^2| + |a_4||a_4 - a_2a_3| + |a_5||a_3 - a_2^2| \\ &= \frac{1}{3} \times \left| \frac{1}{2} \times \frac{1}{4} - \frac{1}{3} \times \frac{1}{3} \right| + \frac{1}{4} \times \left| \frac{1}{4} - \frac{1}{2} \times \frac{1}{3} \right| + \frac{1}{5} \times \left| \frac{1}{3} - \frac{1}{2} \times \frac{1}{2} \right| \\ &\approx 0.042 < 0.565. \end{aligned}$$

### 3. Conclusions

In this paper, we mainly investigate the third-order Hankel determinant  $H_3(1)$  for the function class  $S_1^*$ , which is subordinate to exponential function, and obtain the upper bound of the above determinant. The results obtained generalize and unify the theories of Hankel determinants in geometric function theory.

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Article

# Geometric Properties of Lommel Functions of the First Kind

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**Abstract:** In the present paper, we find sufficient conditions for starlikeness and convexity of normalized Lommel functions of the first kind using the admissible function methods. Additionally, we investigate some inclusion relationships for various classes associated with the Lommel functions. The functions belonging to these classes are related to the starlike functions, convex functions, close-to-convex functions and quasi-convex functions.

**Keywords:** Lommel functions; univalent functions; starlike functions; convex functions; inclusion relationships

## 1. Introduction

Let  $\mathcal{A}$  denote the family of functions  $f$  of the form:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

which are analytic in the open unit disk  $\mathbb{D}$  and satisfy the usual normalization condition  $f(0) = f'(0) - 1 = 0$ . Let  $\mathcal{S}$  denote the subclass of  $\mathcal{A}$  which are univalent in  $\mathbb{D}$ . Also let  $\mathcal{S}^*(\alpha)$  and  $\mathcal{C}(\alpha)$  denote the subclasses of  $\mathcal{A}$  consisting of functions which are starlike of order  $\alpha$  and convex of order  $\alpha$  in  $\mathbb{D}$ , respectively. Analytically, these classes are characterized by the equivalence:

$$f \in \mathcal{S}^*(\alpha) \iff \Re \left\{ \frac{zf'(z)}{f(z)} \right\} > \alpha \quad (0 \leq \alpha < 1, z \in \mathbb{D})$$

and

$$f \in \mathcal{C}(\alpha) \iff \Re \left\{ 1 + \frac{zf''(z)}{f'(z)} \right\} > \alpha \quad (0 \leq \alpha < 1, z \in \mathbb{D}).$$

For convenience, let  $\mathcal{S}^*(0) = \mathcal{S}^*$  and  $\mathcal{C}(0) = \mathcal{C}$  which are the classes of starlike functions and convex functions, respectively. Furthermore, let  $\mathcal{C}(\beta, \alpha)$  and  $\mathcal{C}^*(\beta, \alpha)$  be the subclasses of  $\mathcal{A}$  defined by

$$\mathcal{C}(\beta, \alpha) = \left\{ f \in \mathcal{A} : \exists g \in \mathcal{S}^*(\alpha) \text{ s.t. } \Re \left\{ \frac{zf'(z)}{g(z)} \right\} > \beta \quad (0 \leq \alpha, \beta < 1; z \in \mathbb{D}) \right\}$$

and

$$\mathcal{C}^*(\beta, \alpha) = \left\{ f \in \mathcal{A} : \exists g \in \mathcal{C}(\alpha) \text{ s.t. } \Re \left\{ \frac{(zf'(z))'}{g'(z)} \right\} > \beta \quad (0 \leq \alpha, \beta < 1; z \in \mathbb{D}) \right\},$$

respectively. The functions in the classes  $\mathcal{C}(\beta, \alpha)$  and  $\mathcal{C}^*(\beta, \alpha)$  are known as close-to-convex functions and quasi-convex functions, respectively.

The Lommel function of the first kind  $s_{\mu, \nu}$  which is expressed in terms of a hypergeometric series

$$s_{\mu, \nu}(z) = \frac{z^{\mu+1}}{(\mu - \nu + 1)(\mu + \nu + 1)} {}_1F_2 \left( 1; \frac{\mu - \nu + 3}{2}, \frac{\mu + \nu + 3}{2}; -\frac{z^2}{4} \right),$$

where  $\mu \pm \nu$  are not negative odd integers, is a particular solution of the following inhomogeneous Bessel differential equation [1]:

$$z^2 w''(z) + z w'(z) + (z^2 - \nu^2) w(z) = z^{\mu+1}.$$

It is observed that the function  $s_{\mu, \nu}$  does not belong to the class  $\mathcal{A}$ . Recently, Yağmur [2] and Baricz et al. [3] considered the following function  $h_{\mu, \nu}$  defined by:

$$h_{\mu, \nu}(z) = (\mu - \nu + 1)(\mu + \nu + 1) z^{(1-\mu)/2} s_{\mu, \nu}(\sqrt{z})$$

and they obtained some geometric properties of the function  $h_{\mu, \nu}$ . For another interesting properties of Lommel function, we can refer to [4,5].

The above function  $h_{\mu, \nu}$  belongs to  $\mathcal{A}$  and is expressed by:

$$h_{\mu, \nu}(z) = \sum_{n=1}^{\infty} \frac{(-1/4)^{n-1}}{\binom{\mu-\nu+3}{n-1} \binom{\mu+\nu+3}{n-1}} z^n \quad ((-\mu \pm \nu - 3)/2 \notin \mathbb{N} := \{1, 2, \dots\}), \tag{1}$$

where  $(\lambda)_n$  is the Pochhammer symbol which defined in terms of Euler’s gamma function such that  $(\lambda)_n = \Gamma(\lambda + n)/\Gamma(\lambda) = \lambda(\lambda + 1) \cdots (\lambda + n - 1)$ .

Corresponding to the function  $h_{\mu, \nu}$  defined by (1), we consider a linear operator  $L_{\mu, \nu} : \mathcal{A} \rightarrow \mathcal{A}$  defined by:

$$L_{\mu, \nu} f(z) = h_{\mu, \nu}(z) * f(z) \quad ((-\mu \pm \nu - 3)/2 \notin \mathbb{N}, z \in \mathbb{D}, f \in \mathcal{A}), \tag{2}$$

in terms of the Hadamard product (or convolution)  $*$ . Then it can be easily observed from (1) and (2) that the following relation holds:

$$z(L_{\mu+1, \nu+1} f(z))' = \left( \frac{\mu + \nu + 3}{2} \right) L_{\mu, \nu} f(z) - \left( \frac{\mu + \nu + 1}{2} \right) L_{\mu+1, \nu+1} f(z). \tag{3}$$

In a few years ago, many authors introduced new subclasses of univalent (or multivalent) functions by using several linear operators and found many properties of them [6–13]. In [14,15], various inclusion relationships associated with several subclasses of analytic functions were investigated.

Motivated by their works, by using the linear operator  $L_{\mu, \nu}$ , we define new subclasses of  $\mathcal{A}$  as follows:

$$\mathcal{S}_{\mu, \nu}^*(\alpha) := \left\{ f \in \mathcal{A} : \Re \left\{ \frac{z(L_{\mu, \nu} f(z))'}{L_{\mu, \nu} f(z)} \right\} > \alpha \quad (0 \leq \alpha < 1; z \in \mathbb{D}) \right\},$$

$$\mathcal{K}_{\mu, \nu}(\alpha) := \left\{ f \in \mathcal{A} : \Re \left\{ 1 + \frac{z(L_{\mu, \nu} f(z))''}{(L_{\mu, \nu} f(z))'} \right\} > \alpha \quad (0 \leq \alpha < 1; z \in \mathbb{D}) \right\},$$

$$\mathcal{C}_{\mu, \nu}(\beta, \alpha) := \left\{ f \in \mathcal{A} : \exists g \in \mathcal{S}_{\mu, \nu}^*(\alpha) \text{ s.t. } \Re \left\{ \frac{z(L_{\mu, \nu} f(z))'}{L_{\mu, \nu} g(z)} \right\} > \beta \quad (0 \leq \alpha, \beta < 1; z \in \mathbb{D}) \right\}$$

and

$$\mathcal{C}_{\mu, \nu}^*(\beta, \alpha) := \left\{ f \in \mathcal{A} : \exists g \in \mathcal{K}_{\mu, \nu}(\alpha) \text{ s.t. } \Re \left\{ \frac{(z(L_{\mu, \nu} f(z))')')'}{(L_{\mu, \nu} g(z))'} \right\} > \beta \quad (0 \leq \alpha, \beta < 1; z \in \mathbb{D}) \right\}.$$

Here, we note that a function  $f$  belongs to the class  $\mathcal{S}_{\mu,\nu}^*(\alpha)$  ( $\mathcal{K}_{\mu,\nu}(\alpha)$ ,  $\mathcal{C}_{\mu,\nu}(\beta, \alpha)$  and  $\mathcal{C}_{\mu,\nu}^*(\beta, \alpha)$ ) is equivalent to that the function  $L_{\mu,\nu}f(z)$  belongs to the class  $\mathcal{S}^*(\alpha)$  ( $\mathcal{K}(\alpha)$ ,  $\mathcal{C}(\beta, \alpha)$  and  $\mathcal{C}^*(\beta, \alpha)$ , respectively). Further, from the linearity of the operator  $L_{\mu,\nu}$ , the following relations hold:

$$f(z) \in \mathcal{K}_{\mu,\nu}(\alpha) \iff zf'(z) \in \mathcal{S}_{\mu,\nu}^*(\alpha) \tag{4}$$

and

$$f(z) \in \mathcal{C}_{\mu,\nu}^*(\beta, \alpha) \iff zf'(z) \in \mathcal{C}_{\mu,\nu}(\beta, \alpha). \tag{5}$$

In the present paper some geometric properties of the normalized Lommel function of the first kind are obtained by applying the method of admissible function. In Section 2, we find some sufficient conditions for starlikeness and convexity for the function  $h_{\mu,\nu}$ . In Section 3, we investigate some inclusion relationships for the classes  $\mathcal{S}_{\mu,\nu}^*(\alpha)$ ,  $\mathcal{K}_{\mu,\nu}(\alpha)$ ,  $\mathcal{C}_{\mu,\nu}(\beta, \alpha)$  and  $\mathcal{C}_{\mu,\nu}^*(\beta, \alpha)$  which are related to the function  $h_{\mu,\nu}$ .

The following lemmas will be used for the proof of our results.

**Lemma 1.** ([16] Miller and Mocanu) *Let  $\Omega$  be a set in the complex plane  $\mathbb{C}$  and let  $b$  be a complex number such that  $\Re(b) > 0$ . Suppose that the function  $\psi : \mathbb{C}^3 \times \mathbb{D} \rightarrow \mathbb{C}$  satisfies the condition*

$$\psi(i\rho, \sigma, a + ib; z) \notin \Omega$$

for all real  $\rho, \sigma, a, b \in \mathbb{R}$  with  $\sigma \leq -|b - i\rho|^2 / (2\Re(b))$ ,  $\sigma + a \leq 0$  and  $z \in \mathbb{D}$ . If the function  $p(z)$  defined by  $p(z) = b + b_1z + b_2z^2 + \dots$  is analytic in  $\mathbb{D}$  and if

$$\psi(p(z), zp'(z), z^2p''(z); z) \in \Omega,$$

then  $\Re\{p(z)\} > 0$  in  $\mathbb{D}$ .

**Lemma 2.** ([17] Miller and Mocanu) *Let  $u = u_1 + iu_2, v = v_1 + iv_2$  with  $u_1, u_2, v_1, v_2 \in \mathbb{R}$  and  $\Delta \subset \mathbb{C}^2$ . Suppose that  $\Phi : \Delta \rightarrow \mathbb{C}$  satisfies the following conditions*

1.  $\Phi(u, v)$  is continuous in  $\Delta$ ;
2.  $(1, 0) \in \Delta$  and  $\Re\{\Phi(1, 0)\} > 0$ ;
3.  $\Re\{\Phi(iu_2, v_1)\} \leq 0$  for all  $(iu_2, v_1) \in \Delta$  such that  $v_1 \leq -(1 + u_2^2) / 2$ .

Let  $p$  be an analytic function in  $\mathbb{D}$  such that  $p(0) = 1$  and  $(p(z), zp'(z)) \in \Delta$  for all  $z \in \mathbb{D}$ . If  $\Re\{\Phi(p(z), zp'(z))\} > 0$  in  $\mathbb{D}$ , then  $\Re\{p(z)\} > 0$  in  $\mathbb{D}$ .

For analytic functions  $f$  and  $g$ , we say that  $f$  is subordinate to  $g$ , denoted by  $f \prec g$ , if there is an analytic function  $\omega : \mathbb{D} \rightarrow \mathbb{D}$  with  $|\omega(z)| < |z|$  such that  $f(z) = g(\omega(z))$ . Further, if  $g$  is univalent, then the definition of subordination  $f \prec g$  can be simplified into the conditions  $f(0) = g(0)$  and  $f(\mathbb{D}) \subseteq g(\mathbb{D})$  (See [18], p. 36).

**Lemma 3.** ([19] Eenigenburg et al.) *Let  $h$  be convex univalent in  $\mathbb{D}$  and  $w$  be analytic in  $\mathbb{D}$  with  $\Re\{w(z)\} \geq 0$  in  $\mathbb{D}$ . If  $q$  is analytic in  $\mathbb{D}$  and  $q(0) = h(0)$ , then the subordination*

$$q(z) + w(z)zq'(z) \prec h(z) \quad (z \in \mathbb{D})$$

implies that

$$q(z) \prec h(z) \quad (z \in \mathbb{D}).$$

**Lemma 4.** ([2] Yağmur) *If  $\mu > -1, \nu \in \mathbb{R}$  where  $\mu \pm \nu$  are not negative odd integers, and*

$$(\mu + 1)[(\mu + 1)(\mu + 3) - v^2] \geq \frac{1}{8},$$

then  $\Re \{h_{\mu,\nu}(z)/z\} > 0$  in  $\mathbb{D}$ .

### 2. Sufficient Conditions for Starlikeness and Convexity

We find some sufficient conditions for starlikeness and convexity of the function  $h_{\mu,\nu}$  given by (1).

**Theorem 1.** Let  $\mu$  and  $\nu$  be real numbers such that  $\mu \pm \nu$  are not negative odd integers,  $\mu > 2$ ,

$$(\mu + 1)[(\mu + 1)(\mu + 3) - v^2] \geq \frac{1}{8} \tag{6}$$

and

$$-\frac{1}{2}(\mu - 2) + \frac{1}{96}(\mu - 2)^{-1} - \frac{1}{4}((\mu - 1)^2 - v^2) \leq 0. \tag{7}$$

Then the function  $h_{\mu,\nu}$  is a starlike univalent function in  $\mathbb{D}$ .

**Proof.** Since

$$h_{\mu,\nu}(z) = (\mu - \nu + 1)(\mu + \nu + 1)z^{(1-\mu)/2}s_{\mu,\nu}(\sqrt{z})$$

and the function  $s_{\mu,\nu}$  satisfies the inhomogeneous differential equation

$$z^2s''_{\mu,\nu}(z) + zs'_{\mu,\nu}(z) + (z^2 - v^2)s_{\mu,\nu}(z) = z^{\mu+1},$$

we have

$$z^2h''_{\mu,\nu}(z) + \mu zh'_{\mu,\nu}(z) + \frac{1}{4}(z + (\mu - 1)^2 - v^2)h_{\mu,\nu}(z) - \left(\frac{\mu - \nu + 1}{2}\right)\left(\frac{\mu + \nu + 1}{2}\right)z = 0. \tag{8}$$

Set

$$p(z) = \frac{zh'_{\mu,\nu}(z)}{h_{\mu,\nu}(z)}. \tag{9}$$

From (6) and Lemma 4,  $\Re \{h_{\mu,\nu}(z)/z\} > 0$  for all  $z \in \mathbb{D}$  and this implies that  $h_{\mu,\nu}(z) \neq 0$  holds for all  $z \in \mathbb{D} \setminus \{0\}$ . Therefore  $p$  is analytic in  $\mathbb{D}$  and  $p(0) = 1$ . Furthermore, by (8) and (9), we have the following equation

$$\left[ zp'(z) + p(z)^2 + (\mu - 1)p(z) + \frac{1}{4}(z + (\mu - 1)^2 - v^2) \right] h_{\mu,\nu}(z) = \left(\frac{\mu - \nu + 1}{2}\right)\left(\frac{\mu + \nu + 1}{2}\right)z.$$

Now, we put

$$\tilde{p}(z) = zp'(z) + p(z)^2 + (\mu - 1)p(z) + \frac{1}{4}(z + (\mu - 1)^2 - v^2).$$

Then we have

$$\tilde{p}(z)h_{\mu,\nu}(z) = \left(\frac{\mu - \nu + 1}{2}\right)\left(\frac{\mu + \nu + 1}{2}\right)z.$$

Differentiating the above equation and multiplying by  $z$ , we get

$$[z\tilde{p}'(z) + (p(z) - 1)\tilde{p}(z)]h_{\mu,\nu}(z) = 0.$$

Since  $z\tilde{p}'(z) + (p(z) - 1)\tilde{p}(z) = 0$  at  $z = 0$  and  $h_{\mu,\nu}(z) \neq 0$  for all  $z \in \mathbb{D} \setminus \{0\}$ , we have

$$z\tilde{p}'(z) + (p(z) - 1)\tilde{p}(z) = 0$$

in  $\mathbb{D}$ , or equivalently,

$$p(z)^3 + (\mu - 2)p(z)^2 + z^2p''(z) + 3zp'(z)p(z) + (\mu - 1)zp'(z) + \frac{1}{4} \left( z + (\mu - 1)(\mu - 5) - v^2 \right) p(z) - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) = 0 \tag{10}$$

in  $\mathbb{D}$ . Now, let  $\Omega = \{0\}$  and define a function  $\psi : \mathbb{C}^3 \times \mathbb{D} \rightarrow \mathbb{C}$  by

$$\begin{aligned} \psi(r, s, t; z) &= r^3 + (\mu - 2)r^2 + t + 3rs + (\mu - 1)s + \frac{1}{4} \left( z + (\mu - 1)(\mu - 5) - v^2 \right) r - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right). \end{aligned}$$

Then the Equation (10) can be rewritten as

$$\psi(p(z), zp'(z), z^2p''(z); z) \in \Omega.$$

Moreover it holds that

$$\begin{aligned} \Re \{ \psi(\rho i, \sigma, a + ib; z) \} &= -(\mu - 2)\rho^2 + a + (\mu - 1)\sigma + \frac{1}{4} \Re \left\{ \left( z + (\mu - 1)(\mu - 5) - v^2 \right) i\rho \right\} - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &< -\frac{1}{2}(\mu - 2)(1 + 3|\rho|^2) + \frac{1}{4}|\rho| - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right), \end{aligned} \tag{11}$$

for  $z \in \mathbb{D}$  and  $\rho, \sigma, a, b \in \mathbb{R}$  with  $\sigma \leq -(1 + \rho^2)/2$  and  $\sigma + a \leq 0$ . Define a function  $g : [0, \infty) \rightarrow \mathbb{R}$  by

$$g(\rho) = -\frac{1}{2}(\mu - 2)(1 + 3\rho^2) + \frac{1}{4}\rho.$$

Then,  $g'(\rho) = 0$  occurs when  $\rho = \rho^* := 1/(12(\mu - 2)) > 0$  and  $g''(\rho^*) = -3(\mu - 2) < 0$ . Therefore, the function  $g$  has its maximum

$$g(\rho^*) = -\frac{1}{2}(\mu - 2) + \frac{1}{96}(\mu - 2)^{-1}$$

on the half interval  $[0, \infty)$ . Hence from (7) and (11) we have

$$\begin{aligned} \Re \{ \psi(\rho i, \sigma, a + ib; z) \} &< g(\rho) - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &\leq -\frac{1}{2}(\mu - 2) + \frac{1}{96}(\mu - 2)^{-1} - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &\leq 0, \end{aligned}$$

for all  $z \in \mathbb{D}$  and all  $\rho, \sigma, a, b \in \mathbb{R}$  with  $\sigma \leq -(1 + \rho^2)/2$  and  $\sigma + a \leq 0$ . By Lemma 1, we have  $\Re \{ p(z) \} > 0$  in  $\mathbb{D}$  which shows that  $h_{\mu, v}$  is starlike in  $\mathbb{D}$ .  $\square$

**Example 1.** We note that  $\mu = 5/2$  and  $v = 1/2$  satisfy the condition of Theorem 1. Therefore the function

$$h_{5/2, 1/2}(z) = 12 \left( \frac{z + 2 \cos \sqrt{z} - 2}{z} \right) \tag{12}$$

is starlike in  $\mathbb{D}$ .

**Theorem 2.** Let  $\mu$  and  $v$  be real numbers such that  $\mu \pm v$  are not negative odd integers,  $\mu > 2$ ,

$$(\mu + 1)[(\mu + 1)(\mu + 3) - v^2] \geq \frac{1}{8}$$

and

$$\begin{cases} \frac{1}{96}(\mu - 2)^{-1} + (\mu - 2) - \frac{1}{4}((\mu - 1)^2 - v^2) \leq 0, & \text{if } \mu \leq \frac{25}{12}, \\ -\frac{1}{2}\mu + \frac{5}{4} - \frac{1}{4}((\mu - 1)^2 - v^2) \leq 0, & \text{if } \mu > \frac{25}{12}. \end{cases} \tag{13}$$

Then the function  $h_{\mu,v}$  is a convex univalent function in  $\mathbb{D}$ .

**Proof.** First of all, we observe that the condition (13) implies (7) in Theorem 1. To see this, we assume that the inequality (13) holds. For the case  $2 < \mu \leq 25/12$ , from the inequality  $-(\mu - 2)/2 \leq \mu - 2$ , we can easily obtain the inequality (7). For the case  $\mu > 25/12$ , it is sufficient to check the following inequality holds:

$$-\frac{1}{2}(\mu - 2)^2 + \frac{1}{96} \leq -\frac{1}{2}\mu(\mu - 2) + \frac{5}{4}(\mu - 2).$$

And the above inequality is true for  $\mu > 25/12$ , since

$$-\frac{1}{2}\mu(\mu - 2) + \frac{5}{4}(\mu - 2) + \frac{1}{2}(\mu - 2)^2 - \frac{1}{96} = \frac{1}{4}\left(\mu - \frac{49}{24}\right) > \frac{1}{96}.$$

Therefore the function  $h_{\mu,v}$  is starlike univalent, hence  $h'_{\mu,v}(z) \neq 0$  in  $\mathbb{D}$ . Now, set

$$p(z) = 1 + \frac{zh''_{\mu,v}(z)}{h'_{\mu,v}(z)} \quad (z \in \mathbb{D}).$$

Since  $h'_{\mu,v}(z) \neq 0$  in  $\mathbb{D}$ ,  $p$  is analytic in  $\mathbb{D}$  with  $p(0) = 1$ . And we have

$$zh''_{\mu,v}(z) = (p(z) - 1)h'_{\mu,v}(z) \tag{14}$$

and

$$2zh''_{\mu,v}(z) + z^2h^{(3)}_{\mu,v}(z) = [zp'(z) + p(z)^2 - p(z)]h'_{\mu,v}(z). \tag{15}$$

Furthermore, from (8), we have

$$(p(z) + \mu - 1)zh'_{\mu,v}(z) + \frac{1}{4}\left(z + (\mu - 1)^2 - v^2\right)h_{\mu,v}(z) - \left(\frac{\mu - v + 1}{2}\right)\left(\frac{\mu + v + 1}{2}\right)z = 0. \tag{16}$$

Differentiating (16) and multiplying by  $z$ , we get

$$\begin{aligned} & z^2p'(z)h'_{\mu,v}(z) + (p(z) + \mu - 1)zh'_{\mu,v}(z) + (p(z) + \mu - 1)z^2h''_{\mu,v}(z) \\ & + \frac{1}{4}zh_{\mu,v}(z) + \frac{1}{4}\left(z + (\mu - 1)^2 - v^2\right)zh'_{\mu,v}(z) \\ & - \left(\frac{\mu - v + 1}{2}\right)\left(\frac{\mu + v + 1}{2}\right)z = 0. \end{aligned} \tag{17}$$

Substituting (17) into (16), we obtain

$$\begin{aligned} & (p(z) + \mu - 1)z^2h''_{\mu,v}(z) + [zp'(z) + \frac{1}{4}\left(z + (\mu - 1)^2 - v^2\right)]zh'_{\mu,v}(z) \\ & - \frac{1}{4}\left((\mu - 1)^2 - v^2\right)h_{\mu,v}(z) = 0. \end{aligned} \tag{18}$$

Differentiating (18) and using the equalities (14) and (15), we get

$$z^2 p''(z) + 3zp'(z)p(z) + (\mu - 1)zp'(z) + p(z)^3 + (\mu - 2)p(z)^2 + \frac{1}{4} \left( z + (\mu - 1)(\mu - 5) - v^2 \right) p(z) + \frac{1}{4} \left( z - (\mu - 1)^2 + v^2 \right) = 0. \tag{19}$$

Now, let  $\Omega = \{0\}$  and define a function  $\psi : \mathbb{C}^3 \times \mathbb{D} \rightarrow \mathbb{C}$  by

$$\begin{aligned} &\psi(r, s, t; z) \\ &= t + 3rs + (\mu - 1)s + r^3 + (\mu - 2)r^2 + \frac{1}{4} \left( z + (\mu - 1)(\mu - 5) - v^2 \right) r + \frac{1}{4} \left( z - (\mu - 1)^2 + v^2 \right). \end{aligned}$$

Then, (19) becomes

$$\psi(p(z), zp'(z), z^2 p''(z); z) \in \Omega.$$

And simple calculations give us that

$$\begin{aligned} &\Re \{ \psi(i\rho, \sigma, a + ib; z) \} \\ &= a + (\mu - 1)\sigma - (\mu - 2)\rho^2 + \frac{1}{4} \Re \{ i\rho z \} + \frac{1}{4} \Re \{ z - (\mu - 1)^2 + v^2 \} \\ &\leq -(\mu - 2)\rho^2 + (\mu - 2)\sigma + \frac{1}{4} \Re \{ (1 + i\rho)z \} - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &< -(\mu - 2)\rho^2 - \frac{1}{2}(\mu - 2)(1 + \rho^2) + \frac{1}{4} \sqrt{1 + \rho^2} - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &= -\frac{3}{2}(\mu - 2)u^2 + (\mu - 2) + \frac{1}{4}u - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right), \end{aligned} \tag{20}$$

for all  $z \in \mathbb{D}$  and all  $\rho, \sigma, a, b, u \in \mathbb{R}$  with  $\sigma \leq -(1 + \rho^2)/2$ ,  $\sigma + a \leq 0$  and  $u = \sqrt{1 + \rho^2}$ . Define a function  $g : [1, \infty) \rightarrow \mathbb{R}$  by

$$g(u) = -\frac{3}{2}(\mu - 2)u^2 + \frac{1}{4}u + \mu - 2.$$

Then, by putting  $u^* = 1/(12(\mu - 2)) > 0$ , we have  $g'(u^*) = 0$ . Moreover it holds that  $g''(u) = -3(\mu - 2) < 0$  for all  $u \in [1, \infty)$ . Therefore  $u = u^*$  gives the maximum value for  $g$  when  $\mu \leq 25/12$ . On the other hand, when  $\mu > 25/12$  the function  $g$  is maximized by setting  $u = 1$ . Hence, for the case  $\mu \leq 25/12$ , it follows from (13) and (20) that

$$\begin{aligned} &\Re \{ \psi(i\rho, \sigma, a + ib; z) \} \\ &< g(u^*) - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &\leq \frac{1}{96}(\mu - 2)^{-1} + (\mu - 2) - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &\leq 0, \end{aligned}$$

for all  $z \in \mathbb{D}$  and all  $\rho, \sigma, a, b \in \mathbb{R}$  with  $\sigma \leq -(1 + \rho^2)/2$  and  $\sigma + a \leq 0$ . Similarly, for the case  $\mu > 25/12$ , we obtain

$$\begin{aligned} &\Re \{ \psi(i\rho, \sigma, a + ib; z) \} \\ &< g(1) - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &\leq -\frac{1}{2}\mu + \frac{5}{4} - \frac{1}{4} \left( (\mu - 1)^2 - v^2 \right) \\ &\leq 0, \end{aligned}$$

for all  $z \in \mathbb{D}$  and all  $\rho, \sigma, a, b \in \mathbb{R}$  with  $\sigma \leq -(1 + \rho^2)/2$  and  $\sigma + a \leq 0$ . By Lemma 1, we thus have  $\Re \{ p(z) \} > 0$  in  $\mathbb{D}$  which shows that  $h_{\mu, v}$  is convex in  $\mathbb{D}$ .  $\square$



**Example 2.** We note that  $\mu = 5/2$  and  $\nu = 1/2$  satisfy the condition of Theorem 2. Therefore the function  $h_{5/2,1/2}$  given by (12) is convex in  $\mathbb{D}$ .

### 3. Inclusion Relationships

Now, we investigate some inclusion relationships for the classes  $\mathcal{S}_{\mu,\nu}^*(\alpha)$ ,  $\mathcal{K}_{\mu,\nu}(\alpha)$ ,  $\mathcal{C}_{\mu,\nu}(\beta, \alpha)$  and  $\mathcal{C}_{\mu,\nu}^*(\beta, \alpha)$ . We begin by proving our first inclusion relationship for the class  $\mathcal{S}_{\mu,\nu}^*(\alpha)$ .

**Theorem 3.** Let  $\mu, \nu$  and  $\alpha$  be real numbers such that  $\mu \pm \nu$  are not negative odd integers,  $0 \leq \alpha < 1$  and  $2\alpha + \mu + \nu + 1 \geq 0$ . Then

$$\mathcal{S}_{\mu,\nu}^*(\alpha) \subset \mathcal{S}_{\mu+1,\nu+1}^*(\alpha).$$

**Proof.** Let  $f \in \mathcal{S}_{\mu,\nu}^*(\alpha)$  and define a function  $\phi : \mathbb{C} \rightarrow \mathbb{C}$  by

$$\phi(z) = \frac{1}{1-\alpha} \left( \frac{z(L_{\mu+1,\nu+1}f(z))'}{L_{\mu+1,\nu+1}f(z)} - \alpha \right). \tag{21}$$

Then  $\phi$  is analytic in  $\mathbb{D}$  and  $\phi(0) = 1$ . From the equality (3), we get

$$\left( \frac{\mu + \nu + 3}{2} \right) \frac{L_{\mu,\nu}f(z)}{L_{\mu+1,\nu+1}f(z)} = \frac{z(L_{\mu+1,\nu+1}f(z))'}{L_{\mu+1,\nu+1}f(z)} + \frac{\mu + \nu + 1}{2}. \tag{22}$$

By combining (21) and (22), we obtain

$$\frac{L_{\mu,\nu}f(z)}{L_{\mu+1,\nu+1}f(z)} = \frac{2}{\mu + \nu + 3} \left[ (1-\alpha)\phi(z) + \alpha + \frac{\mu + \nu + 1}{2} \right]. \tag{23}$$

Now, by applying the logarithmic differentiation on both sides of (23) and multiplying the resulting equation by  $z$ , we have

$$\frac{z(L_{\mu,\nu}f(z))'}{L_{\mu,\nu}f(z)} = \frac{z(L_{\mu+1,\nu+1}f(z))'}{L_{\mu+1,\nu+1}f(z)} + \frac{(1-\alpha)z\phi'(z)}{(1-\alpha)\phi(z) + \alpha + \frac{\mu + \nu + 1}{2}}$$

which, in view of (21), yields

$$\frac{1}{1-\alpha} \left( \frac{z(L_{\mu,\nu}f(z))'}{L_{\mu,\nu}f(z)} - \alpha \right) = \phi(z) + \frac{z\phi'(z)}{(1-\alpha)\phi(z) + \alpha + \frac{\mu + \nu + 1}{2}}. \tag{24}$$

Now, we define a function  $\Phi : \mathbb{C}^2 \rightarrow \mathbb{C}$  by

$$\Phi(u, v) = u + \frac{v}{(1-\alpha)u + \alpha + \frac{\mu + \nu + 1}{2}}.$$

Observe that  $\Phi$  is continuous on

$$\Delta := \left( \mathbb{C} \setminus \left\{ \frac{\alpha + \frac{\mu + \nu + 1}{2}}{\alpha - 1} \right\} \right) \times \mathbb{C},$$

$(1, 0) \in \Delta$  and  $\Re \{ \Phi(1, 0) \} > 0$ . Since  $f \in \mathcal{S}_{\mu,\nu}^*(\alpha)$ , it follows from (24) that  $\Re \{ \Phi(\phi(z), z\phi'(z), z^2\phi''(z)) \} > 0$  for all  $z \in \mathbb{D}$ . Also, for  $(iu_2, v_1) \in \Delta$  with  $u_2, v_1 \in \mathbb{R}$  such that  $v_1 \leq -(1 + u_2^2)/2$ , we have

$$\begin{aligned} \Re \{ \Phi(iu_2, v_1) \} &= \Re \left\{ iu_2 + \frac{v_1}{i(1-\alpha)u_2 + \alpha + \frac{\mu+v+1}{2}} \right\} \\ &= \frac{v_1 \left( \alpha + \frac{\mu+v+1}{2} \right)}{(1-\alpha)^2 u_2^2 + \left( \alpha + \frac{\mu+v+1}{2} \right)^2} \\ &\leq -\frac{1}{2} \left( 1 + u_2^2 \right) \frac{\alpha + \frac{\mu+v+1}{2}}{(1-\alpha)^2 u_2^2 + \left( \alpha + \frac{\mu+v+1}{2} \right)^2} \\ &< 0 \end{aligned}$$

which shows that  $\Re \{ \Phi(iu_2, v_1) \} < 0$ . Therefore, by Lemma 2, we have

$$\Re \{ \phi(z) \} > 0 \quad (z \in \mathbb{D}).$$

Thus, by making use of (21), we find that  $f \in \mathcal{S}_{\mu+1, \nu+1}^*(\alpha)$ . This completes the proof of Theorem 3.  $\square$

**Theorem 4.** Let  $\mu, \nu$  and  $\alpha$  be real numbers such that  $\mu \pm \nu$  are not negative odd integers,  $0 \leq \alpha < 1$  and  $2\alpha + \mu + \nu + 1 \geq 0$ . Then

$$\mathcal{K}_{\mu, \nu}(\alpha) \subset \mathcal{K}_{\mu+1, \nu+1}(\alpha).$$

**Proof.** By applying (4) and Theorem 3, we observe that

$$\begin{aligned} f \in \mathcal{K}_{\mu, \nu}(\alpha) &\iff zf' \in \mathcal{S}_{\mu, \nu}^*(\alpha) \\ &\implies zf' \in \mathcal{S}_{\mu+1, \nu+1}^*(\alpha) \\ &\iff f \in \mathcal{K}_{\mu+1, \nu+1}(\alpha) \end{aligned}$$

which proves Theorem 4.  $\square$

**Theorem 5.** Let  $\mu, \nu, \alpha$  and  $\beta$  be real numbers such that  $\mu \pm \nu$  are not negative odd integers,  $0 \leq \alpha < 1$ ,  $0 \leq \beta < 1$  and  $2\alpha + \mu + \nu + 1 \geq 0$ . Then

$$\mathcal{C}_{\mu, \nu}(\beta, \alpha) \subset \mathcal{C}_{\mu+1, \nu+1}(\beta, \alpha).$$

**Proof.** Let  $f \in \mathcal{C}_{\mu, \nu}(\beta, \alpha)$ . Then there exists a function  $g \in \mathcal{S}_{\mu, \nu}^*(\alpha)$  such that

$$\Re \left\{ \frac{z(L_{\mu, \nu} f(z))'}{L_{\mu, \nu} g(z)} \right\} > \beta. \tag{25}$$

Define a function  $\phi : \mathbb{D} \rightarrow \mathbb{C}$  by

$$\phi(z) = \frac{1}{1-\beta} \left( \frac{z(L_{\mu+1, \nu+1} f(z))'}{L_{\mu+1, \nu+1} g(z)} - \beta \right). \tag{26}$$

Then,  $\phi$  is analytic in  $\mathbb{D}$  with  $\phi(0) = 1$ . Using the identity (3), we also have

$$\begin{aligned} \frac{z(L_{\mu,\nu}f(z))'}{L_{\mu,\nu}g(z)} &= \frac{L_{\mu,\nu}(zf'(z))}{L_{\mu,\nu}g(z)} \\ &= \frac{z(L_{\mu+1,\nu+1}(zf'(z)))' + \left(\frac{\mu+\nu+1}{2}\right)L_{\mu+1,\nu+1}(zf'(z))}{z(L_{\mu+1,\nu+1}g(z))' + \left(\frac{\mu+\nu+1}{2}\right)L_{\mu+1,\nu+1}g(z)} \\ &= \frac{\frac{z(L_{\mu+1,\nu+1}(zf'(z)))'}{L_{\mu+1,\nu+1}g(z)} + \left(\frac{\mu+\nu+1}{2}\right)\frac{L_{\mu+1,\nu+1}(zf'(z))}{L_{\mu+1,\nu+1}g(z)}}{\frac{z(L_{\mu+1,\nu+1}g(z))'}{L_{\mu+1,\nu+1}g(z)} + \frac{\mu+\nu+1}{2}}. \end{aligned} \tag{27}$$

Now we define a function  $q : \mathbb{D} \rightarrow \mathbb{C}$  by

$$q(z) = \frac{1}{1-\alpha} \left( \frac{z(L_{\mu+1,\nu+1}g(z))'}{L_{\mu+1,\nu+1}g(z)} - \alpha \right). \tag{28}$$

Since  $g \in \mathcal{S}_{\mu,\nu}^*(\alpha)$ , by Theorem 3, we have  $g \in \mathcal{S}_{\mu+1,\nu+1}^*(\alpha)$  and therefore we get  $\Re\{q(z)\} > 0$  in  $\mathbb{D}$ . Upon substituting from (26) and (28) into (27), we have

$$\frac{z(L_{\mu,\nu}f(z))'}{L_{\mu,\nu}g(z)} = \frac{\frac{z(L_{\mu+1,\nu+1}(zf'(z)))'}{L_{\mu+1,\nu+1}g(z)} + \left(\frac{\mu+\nu+1}{2}\right)((1-\beta)\phi(z) + \beta)}{(1-\alpha)q(z) + \alpha + \frac{\mu+\nu+1}{2}}. \tag{29}$$

By logarithmically differentiating both sides of (26) with respect to  $z$ , we have

$$\frac{z(L_{\mu+1,\nu+1}(zf'(z)))'}{L_{\mu+1,\nu+1}g(z)} = ((1-\beta)\phi(z) + \beta)((1-\alpha)q(z) + \alpha) + (1-\beta)z\phi'(z)$$

which, in conjunction with (29), yields

$$\frac{1}{1-\beta} \left( \frac{z(L_{\mu,\nu}f(z))'}{L_{\mu,\nu}g(z)} - \beta \right) = \phi(z) + \frac{z\phi'(z)}{(1-\alpha)q(z) + \alpha + \frac{\mu+\nu+1}{2}}.$$

Put

$$\omega(z) = \frac{1}{(1-\alpha)q(z) + \alpha + \frac{\mu+\nu+1}{2}}.$$

Then,  $\omega$  is analytic in  $\mathbb{D}$  and, from the inequality (25), we have

$$\Re\{\phi(z) + \omega(z)z\phi'(z)\} > 0$$

in  $\mathbb{D}$ . Using the fact that  $\Re\{q(z)\} > 0$  in  $\mathbb{D}$  and the inequality  $2\alpha + \mu + \nu + 1 \geq 0$ , we have  $\Re\{\omega(z)\} > 0$  in  $\mathbb{D}$ . Applying Lemma 3 with  $h(z) = (1+z)/(1-z)$ , we have  $\Re\{\phi(z)\} > 0$  in  $\mathbb{D}$ . Thus, by making use of (26), we get  $f \in \mathcal{C}_{\mu+1,\nu+1}(\beta, \alpha)$ . This completes the proof of Theorem 5.  $\square$

Finally, we state the inclusion relationship for the class  $\mathcal{C}_{\mu,\nu}^*(\beta, \alpha)$ .

**Theorem 6.** Let  $\mu, \nu, \alpha$  and  $\beta$  be real numbers such that  $\mu \pm \nu$  are not negative odd integers,  $0 \leq \alpha < 1$ ,  $0 \leq \beta < 1$  and  $2\alpha + \mu + \nu + 1 \geq 0$ . Then

$$\mathcal{C}_{\mu,\nu}^*(\beta, \alpha) \subset \mathcal{C}_{\mu+1,\nu+1}^*(\beta, \alpha).$$

**Proof.** By applying (5) and Theorem 5, we observe that

$$\begin{aligned} f \in \mathcal{C}_{\mu,\nu}^*(\beta, \alpha) &\iff zf'(z) \in \mathcal{C}_{\mu,\nu}(\beta, \alpha) \\ &\implies zf'(z) \in \mathcal{C}_{\mu+1,\nu+1}(\beta, \alpha) \\ &\iff f \in \mathcal{C}_{\mu+1,\nu+1}^*(\beta, \alpha) \end{aligned}$$

which proves Theorem 6.  $\square$

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Article

# Symmetric Identities for $(P, Q)$ -Analogue of Tangent Zeta Function

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**Abstract:** The goal of this paper is to define the  $(p, q)$ -analogue of tangent numbers and polynomials by generalizing the tangent numbers and polynomials and Carlitz-type  $q$ -tangent numbers and polynomials. We get some explicit formulas and properties in conjunction with  $(p, q)$ -analogue of tangent numbers and polynomials. We give some new symmetric identities for  $(p, q)$ -analogue of tangent polynomials by using  $(p, q)$ -tangent zeta function. Finally, we investigate the distribution and symmetry of the zero of  $(p, q)$ -analogue of tangent polynomials with numerical methods.

**Keywords:** tangent numbers; tangent polynomials; Carlitz-type  $q$ -tangent numbers; Carlitz-type  $q$ -tangent polynomials;  $(p, q)$ -analogue of tangent numbers and polynomials;  $(p, q)$ -analogue of tangent zeta function; symmetric identities; zeros

**MSC:** 11B68; 11S40; 11S80

## 1. Introduction

The field of the special polynomials such as tangent polynomials, Bernoulli polynomials, Euler polynomials, and Genocchi polynomials is an expanding area in mathematics (see [1–16]). Many generalizations of these polynomials have been studied (see [1,3–9,11–18]). Srivastava [14] developed some properties and  $q$ -extensions of the Euler polynomials, Bernoulli polynomials, and Genocchi polynomials. Choi, Anderson and Srivastava have discussed  $q$ -extension of the Riemann zeta function and related functions (see [5,17]). Dattoli, Migliorati and Srivastava derived a generalization of the classical polynomials (see [6]).

It is the purpose of this paper to introduce and investigate a new some generalizations of the Carlitz-type  $q$ -tangent numbers and polynomials,  $q$ -tangent zeta function, Hurwitz  $q$ -tangent zeta function. We call them Carlitz-type  $(p, q)$ -tangent numbers and polynomials,  $(p, q)$ -tangent zeta function, and Hurwitz  $(p, q)$ -tangent zeta function. The structure of the paper is as follows: In Section 2 we define Carlitz-type  $(p, q)$ -tangent numbers and polynomials and derive some of their properties involving elementary properties, distribution relation, property of complement, and so on. In Section 3, by using the Carlitz-type  $(p, q)$ -tangent numbers and polynomials,  $(p, q)$ -tangent zeta function and Hurwitz  $(p, q)$ -tangent zeta function are defined. We also contains some connection formulae between the Carlitz-type  $(p, q)$ -tangent numbers and polynomials and the  $(p, q)$ -tangent zeta function, Hurwitz  $(p, q)$ -tangent zeta function. In Section 4 we give several symmetric identities about  $(p, q)$ -tangent zeta function and Carlitz-type  $(p, q)$ -tangent polynomials and numbers. In the following Section, we investigate the distribution and symmetry of the zero of Carlitz-type  $(p, q)$ -tangent polynomials using a computer. Our paper ends with Section 6, where the conclusions and future developments of this work are presented. The following notations will be used throughout this paper.

- $\mathbb{N}$  denotes the set of natural numbers.
- $\mathbb{Z}_0^- = \{0, -1, -2, -2, \dots\}$  denotes the set of nonpositive integers.
- $\mathbb{R}$  denotes the set of real numbers.
- $\mathbb{C}$  denotes the set of complex numbers.

We remember that the classical tangent numbers  $T_n$  and tangent polynomials  $T_n(x)$  are defined by the following generating functions (see [19])

$$\frac{2}{e^{2t} + 1} = \sum_{n=0}^{\infty} T_n \frac{t^n}{n!}, \quad (|2t| < \pi), \tag{1}$$

and

$$\left(\frac{2}{e^{2t} + 1}\right) e^{xt} = \sum_{n=0}^{\infty} T_n(x) \frac{t^n}{n!}, \quad (|2t| < \pi). \tag{2}$$

respectively. Some interesting properties of basic extensions and generalizations of the tangent numbers and polynomials have been worked out in [11,12,18–20]. The  $(p, q)$ -number is defined as

$$[n]_{p,q} = \frac{p^n - q^n}{p - q} = p^{n-1} + p^{n-2}q + p^{n-3}q^2 + \dots + p^2q^{n-3} + pq^{n-2} + q^{n-1}.$$

It is clear that  $(p, q)$ -number contains symmetric property, and this number is  $q$ -number when  $p = 1$ . In particular, we can see  $\lim_{q \rightarrow 1} [n]_{p,q} = n$  with  $p = 1$ . Since  $[n]_{p,q} = p^{n-1} [n]_{\frac{q}{p}}$ , we observe that  $(p, q)$ -numbers and  $p$ -numbers are different. In other words, by substituting  $q$  by  $\frac{q}{p}$  in the definition  $q$ -number, we cannot have  $(p, q)$ -number. Duran, Acikgoz and Araci [7] introduced the  $(p, q)$ -analogues of Euler polynomials, Bernoulli polynomials, and Genocchi polynomials. Araci, Duran, Acikgoz and Srivastava developed some properties and relations between the divided differences and  $(p, q)$ -derivative operator (see [1]). The  $(p, q)$ -analogues of tangent polynomials were described in [20]. By using  $(p, q)$ -number, we construct the Carlitz-type  $(p, q)$ -tangent polynomials and numbers, which generalized the previously known tangent polynomials and numbers, including the Carlitz-type  $q$ -tangent polynomials and numbers. We begin by recalling here the Carlitz-type  $q$ -tangent numbers and polynomials (see [18]).

**Definition 1.** For any complex  $x$  we define the Carlitz-type  $q$ -tangent polynomials,  $T_{n,q}(x)$ , by the equation

$$F_q(t, x) = \sum_{n=0}^{\infty} T_{n,q}(x) \frac{t^n}{n!} = [2]_q \sum_{m=0}^{\infty} (-1)^m q^m e^{[2m+x]_q t}. \tag{3}$$

The numbers  $T_{n,q}(0)$  are called the Carlitz-type  $q$ -tangent numbers and are denoted by  $T_{n,q}$ . Based on this idea, we generalize the Carlitz-type  $q$ -tangent number  $T_{n,q}$  and  $q$ -tangent polynomials  $T_{n,q}(x)$ . It follows that we define the following  $(p, q)$ -analogues of the the Carlitz-type  $q$ -tangent number  $T_{n,q}$  and  $q$ -tangent polynomials  $T_{n,q}(x)$ . In the next section we define the  $(p, q)$ -analogue of tangent numbers and polynomials. After that we will obtain some their properties.

### 2. $(p, q)$ -Analogue of Tangent Numbers and Polynomials

Firstly, we construct  $(p, q)$ -analogue of tangent numbers and polynomials and derive some of their relevant properties.

**Definition 2.** For  $0 < q < p \leq 1$ , the Carlitz-type  $(p, q)$ -tangent numbers  $T_{n,p,q}$  and polynomials  $T_{n,p,q}(x)$  are defined by means of the generating functions

$$F_{p,q}(t) = \sum_{n=0}^{\infty} T_{n,p,q} \frac{t^n}{n!} = [2]_q \sum_{m=0}^{\infty} (-1)^m q^m e^{[2m]_{p,q} t}, \tag{4}$$

and

$$F_{p,q}(t, x) = \sum_{n=0}^{\infty} T_{n,p,q}(x) \frac{t^n}{n!} = [2]_q \sum_{m=0}^{\infty} (-1)^m q^m e^{[2m+x]_{p,q}t}, \tag{5}$$

respectively.

Setting  $p = 1$  in (4) and (5), we can obtain the corresponding definitions for the Carlitz-type  $q$ -tangent numbers  $T_{n,q}$  and  $q$ -tangent polynomials  $T_{n,q}(x)$  respectively. Obviously, if we put  $p = 1$ , then we have

$$T_{n,p,q}(x) = T_{n,q}(x), \quad T_{n,p,q} = T_{n,q}.$$

Putting  $p = 1$ , we have

$$\lim_{q \rightarrow 1} T_{n,p,q}(x) = T_n(x), \quad \lim_{q \rightarrow 1} T_{n,p,q} = T_n.$$

**Theorem 1.** For  $n \in \mathbb{N} \cup \{0\}$ , one has

$$T_{n,p,q} = [2]_q \left(\frac{1}{p-q}\right)^n \sum_{l=0}^n \binom{n}{l} (-1)^l \frac{1}{1+q^{2l+1}p^{2(n-l)}}. \tag{6}$$

**Proof.** By (4), we have

$$\begin{aligned} \sum_{n=0}^{\infty} T_{n,p,q} \frac{t^n}{n!} &= [2]_q \sum_{m=0}^{\infty} (-1)^m q^m e^{[2m]_{p,q}t} \\ &= \sum_{n=0}^{\infty} \left( [2]_q \left(\frac{1}{p-q}\right)^n \sum_{l=0}^n \binom{n}{l} (-1)^l \frac{1}{1+q^{2l+1}p^{2(n-l)}} \right) \frac{t^n}{n!}. \end{aligned}$$

Equating the coefficients of  $\frac{t^n}{n!}$ , we arrive at the desired result (6).  $\square$

If we put  $p = 1$  in Theorem 1, we obtain (cf. [18])

$$T_{n,q} = [2]_q \left(\frac{1}{1-q}\right)^n \sum_{l=0}^n \binom{n}{l} (-1)^l \frac{1}{1+q^{2l+1}}.$$

Next, we construct the Carlitz-type  $(h, p, q)$ -tangent polynomials  $T_{n,p,q}^{(h)}(x)$ . Define the Carlitz-type  $(h, p, q)$ -tangent polynomials  $T_{n,p,q}^{(h)}(x)$  by

$$T_{n,p,q}^{(h)}(x) = [2]_q \sum_{m=0}^{\infty} (-1)^m q^m p^{hm} [2m+x]_{p,q}^n. \tag{7}$$

**Theorem 2.** For  $n \in \mathbb{N} \cup \{0\}$ , one has

$$\begin{aligned} T_{n,p,q}(x) &= [2]_q \left(\frac{1}{p-q}\right)^n \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \frac{1}{1+q^{2l+1}p^{2(n-l)+h}} \\ &= [2]_q \sum_{m=0}^{\infty} (-1)^m q^m [2m+x]_{p,q}^n. \end{aligned}$$

**Proof.** By (5), we obtain

$$T_{n,p,q}(x) = [2]_q \left(\frac{1}{p-q}\right)^n \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \frac{1}{1+q^{2l+1}p^{2(n-l)}}. \tag{8}$$

Again, by using (5) and (8), we obtain

$$\begin{aligned} & \sum_{n=0}^{\infty} T_{n,p,q}(x) \frac{t^n}{n!} \\ &= \sum_{n=0}^{\infty} \left( [2]_q \left( \frac{1}{p-q} \right)^n \sum_{l=0}^n \binom{n}{l} (-1)^l q^{xl} p^{(n-l)x} \frac{1}{1+q^{2l+1}p^{2(n-l)}} \right) \frac{t^n}{n!} \\ &= [2]_q \sum_{m=0}^{\infty} (-1)^m q^m e^{[2m+x]_{p,q}t}. \end{aligned} \tag{9}$$

Since  $[x + 2y]_{p,q} = p^{2y}[x]_{p,q} + q^x[2y]_{p,q}$ , we have

$$T_{n,p,q}(x) = [2]_q \sum_{l=0}^n \binom{n}{l} [x]_{p,q}^{n-l} q^{xl} \sum_{k=0}^l \binom{l}{k} (-1)^k \left( \frac{1}{p-q} \right)^l \frac{1}{1+q^{2k+1}p^{2(n-k)}}. \tag{10}$$

By using (9) and (10),  $(p, q)$ -number, and the power series expansion of  $e^{xt}$ , we give Theorem 2.

□

Furthermore, by (7) and Theorem 2, we have

$$\begin{aligned} T_{n,p,q}(x) &= \sum_{l=0}^n \binom{n}{l} [x]_{p,q}^{n-l} q^{xl} T_{l,p,q}^{(2n-2l)}, \\ T_{n,p,q}(x+y) &= \sum_{l=0}^n \binom{n}{l} p^{xl} q^{y(n-l)} [y]_{p,q}^l T_{n-l,p,q}^{(2l)}. \end{aligned}$$

From (4) and (5), we can derive the following properties of the Carlitz-type tangent numbers  $T_{n,p,q}$  and polynomials  $T_{n,p,q}(x)$ . So, we choose to omit the details involved.

**Proposition 1.** For any positive integer  $n$ , one has

- (1)  $T_{n,p,q}(x) = \frac{[2]_q}{[2]_q^m} [m]_{p,q}^n \sum_{a=0}^{m-1} (-1)^a q^a T_{n,p^m,q^m} \left( \frac{2a+x}{m} \right), (m = \text{odd}).$
- (2)  $T_{n,p^{-1},q^{-1}}(2-x) = (-1)^n p^n q^n T_{n,p,q}(x).$

**Theorem 3.** For  $n \in \mathbb{N} \cup \{0\}$ , one has

$$qT_{n,p,q}(2) + T_{n,p,q} = \begin{cases} [2]_q, & \text{if } n = 0, \\ 0, & \text{if } n \neq 0. \end{cases}$$

**Theorem 4.** If  $n$  is a positive integer, then we have

$$\sum_{l=0}^{n-1} (-1)^l q^l [2l]_{p,q}^m = \frac{(-1)^{n+1} q^n T_{m,p,q}(2n) + T_{m,p,q}}{[2]_q}.$$

**Proof.** By (4) and (5), we get

$$- [2]_q \sum_{l=0}^{\infty} (-1)^{l+n} q^{l+n} e^{[2l+2n]_{p,q}t} + [2]_q \sum_{l=0}^{\infty} (-1)^l q^l e^{[2l]_{p,q}t} = [2]_q \sum_{l=0}^{n-1} (-1)^l q^l e^{[2l]_{p,q}t}. \tag{11}$$



Hence, by (4), (5) and (11), we have

$$\begin{aligned} & (-1)^{n+1}q^n \sum_{m=0}^{\infty} T_{m,p,q}(2n) \frac{t^m}{m!} + \sum_{m=0}^{\infty} T_{m,p,q} \frac{t^m}{m!} \\ &= \sum_{m=0}^{\infty} \left( [2]_q \sum_{l=0}^{n-1} (-1)^l q^l [2l]_{p,q}^m \right) \frac{t^m}{m!}. \end{aligned}$$

Equating coefficients of  $\frac{t^m}{m!}$  gives Theorem 4.  $\square$

### 3. (p, q)-Analogue of Tangent Zeta Function

Using Carlitz-type (p, q)-tangent numbers and polynomials, we define the (p, q)-tangent zeta function and Hurwitz (p, q)-tangent zeta function. These functions have the values of the Carlitz-type (p, q)-tangent numbers  $T_{n,p,q}$ , and polynomials  $T_{n,p,q}(x)$  at negative integers, respectively. From (4), we note that

$$\begin{aligned} \left. \frac{d^k}{dt^k} F_{p,q}(t) \right|_{t=0} &= [2]_q \sum_{m=0}^{\infty} (-1)^m q^m [2m]_{p,q}^k \\ &= T_{k,p,q}, (k \in \mathbb{N}). \end{aligned}$$

From the above equation, we construct new (p, q)-tangent zeta function as follows:

**Definition 3.** We define the (p, q)-tangent zeta function for  $s \in \mathbb{C}$  with  $Re(s) > 0$  by

$$\zeta_{p,q}(s) = [2]_q \sum_{n=1}^{\infty} \frac{(-1)^n q^n}{[2n]_{p,q}^s}. \tag{12}$$

Notice that  $\zeta_{p,q}(s)$  is a meromorphic function on  $\mathbb{C}$ (cf.7). Remark that, if  $p = 1, q \rightarrow 1$ , then  $\zeta_{p,q}(s) = \zeta_T(s)$  which is the tangent zeta function (see [19]). The relationship between the  $\zeta_{p,q}(s)$  and the  $T_{k,p,q}$  is given explicitly by the following theorem.

**Theorem 5.** Let  $k \in \mathbb{N}$ . We have

$$\zeta_{p,q}(-k) = T_{k,p,q}.$$

Please note that  $\zeta_{p,q}(s)$  function interpolates  $T_{k,p,q}$  numbers at non-negative integers. Similarly, by using Equation (5), we get

$$\left. \frac{d^k}{dt^k} F_{p,q}(t, x) \right|_{t=0} = [2]_q \sum_{m=0}^{\infty} (-1)^m q^m [2m + x]_{p,q}^k \tag{13}$$

and

$$\left( \frac{d}{dt} \right)^k \left( \sum_{n=0}^{\infty} T_{n,p,q}(x) \frac{t^n}{n!} \right) \Big|_{t=0} = T_{k,p,q}(x), \text{ for } k \in \mathbb{N}. \tag{14}$$

Furthermore, by (13) and (14), we are ready to construct the Hurwitz (p, q)-tangent zeta function.

**Definition 4.** For  $s \in \mathbb{C}$  with  $Re(s) > 0$  and  $x \notin \mathbb{Z}_0^-$ , we define

$$\zeta_{p,q}(s, x) = [2]_q \sum_{n=0}^{\infty} \frac{(-1)^n q^n}{[2n + x]_{p,q}^s}. \tag{15}$$

Obverse that the function  $\zeta_{p,q}(s, x)$  is a meromorphic function on  $\mathbb{C}$ . We note that, if  $p = 1$  and  $q \rightarrow 1$ , then  $\zeta_{p,q}(s, x) = \zeta_T(s, x)$  which is the Hurwitz tangent zeta function (see [19]). The function

$\zeta_{p,q}(-k, x)$  interpolates the numbers  $T_{k,p,q}(x)$  at non-negative integers. Substituting  $s = -k$  with  $k \in \mathbb{N}$  into (15), and using Theorem 2, we easily arrive at the following theorem.

**Theorem 6.** Let  $k \in \mathbb{N}$ . One has

$$\zeta_{p,q}(-k, x) = T_{k,p,q}(x).$$

**4. Some Symmetric Properties About  $(P, Q)$ -Analogue of Tangent Zeta Function**

Our main objective in this section is to obtain some symmetric properties about  $(p, q)$ -tangent zeta function. In particular, some of these symmetric identities are also related to the Carlitz-type  $(p, q)$ -tangent polynomials and the alternate power sums. To end this section, we focus on some symmetric identities containing the Carlitz-type  $(p, q)$ -tangent zeta function and the alternate power sums.

**Theorem 7.** Let  $w_1$  and  $w_2$  be positive odd integers. Then we have

$$\begin{aligned} & [2]_{q^{w_1}} [w_1]_{p,q}^s \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} \zeta_{p^{w_2}, q^{w_2}} \left( s, w_1 x + \frac{2w_1 i}{w_2} \right) \\ &= [2]_{q^{w_2}} [w_2]_{p,q}^s \sum_{j=0}^{w_1-1} (-1)^j q^{w_2 j} \zeta_{p^{w_1}, q^{w_1}} \left( s, w_2 x + \frac{2w_2 j}{w_1} \right). \end{aligned}$$

**Proof.** For any  $x, y \in \mathbb{C}$ , we observe that  $[xy]_{p,q} = [x]_{p^y, q^y} [y]_{p,q}$ . By substituting  $w_1 x + \frac{2w_1 i}{w_2}$  for  $x$  in Definition 4, replace  $p$  by  $p^{w_2}$  and replace  $q$  by  $q^{w_2}$ , respectively, we derive

$$\begin{aligned} \zeta_{p^{w_2}, q^{w_2}} \left( s, w_1 x + \frac{2w_1 i}{w_2} \right) &= [2]_{q^{w_2}} \sum_{n=0}^{\infty} \frac{(-1)^n q^{w_2 n}}{[w_1 x + \frac{2w_1 i}{w_2} + 2n]_{p^{w_2}, q^{w_2}}^s} \\ &= [2]_{q^{w_2}} [w_2]_{p,q}^s \sum_{n=0}^{\infty} \frac{(-1)^n q^{w_2 n}}{[w_1 w_2 x + 2w_1 i + 2w_2 n]_{p,q}^s}. \end{aligned}$$

Since for any non-negative integer  $m$  and positive odd integer  $w_1$ , there exist unique non-negative integer  $r$  such that  $m = w_1 r + j$  with  $0 \leq j \leq w_1 - 1$ . Thus, this can be written as

$$\begin{aligned} & \zeta_{p^{w_2}, q^{w_2}} \left( s, w_1 x + \frac{2w_1 i}{w_2} \right) \\ &= [2]_{q^{w_2}} [w_2]_{p,q}^s \sum_{\substack{w_1 r + j = 0 \\ 0 \leq j \leq w_1 - 1}}^{\infty} \frac{(-1)^{w_1 r + j} q^{w_2 (w_1 r + j)}}{[2w_2 (w_1 r + j) + w_1 w_2 x + 2w_1 i]_{p,q}^s} \\ &= [2]_{q^{w_2}} [w_2]_{p,q}^s \sum_{j=0}^{w_1-1} \sum_{r=0}^{\infty} \frac{(-1)^{w_1 r + j} q^{w_2 (w_1 r + j)}}{[w_1 w_2 (2r + x) + 2w_1 i + 2w_2 j]_{p,q}^s}. \end{aligned}$$

It follows from the above equation that

$$\begin{aligned} & [2]_{q^{w_1}} [w_1]_{p,q}^s \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} \zeta_{p^{w_2}, q^{w_2}} \left( s, w_1 x + \frac{2w_1 i}{w_2} \right) \\ &= [2]_{q^{w_1}} [2]_{q^{w_2}} [w_1]_{p,q}^s [w_2]_{p,q}^s \\ & \quad \times \sum_{i=0}^{w_2-1} \sum_{j=0}^{w_1-1} \sum_{r=0}^{\infty} \frac{(-1)^{r+i+j} q^{(w_1 w_2 r + w_1 i + w_2 j)}}{[w_1 w_2 (2r + x) + 2w_1 i + 2w_2 j]_{p,q}^s}. \end{aligned} \tag{16}$$

From the similar method, we can have that

$$\begin{aligned} \zeta_{p^{w_1}, q^{w_1}} \left( s, w_2 x + \frac{2w_2 j}{w_1} \right) &= [2]_{q^{w_1}} \sum_{n=0}^{\infty} \frac{(-1)^n q^{w_1 n}}{[w_2 x + \frac{2w_2 j}{w_1} + 2n]_{p^{w_1}, q^{w_1}}^s} \\ &= [2]_{q^{w_1}} [w_1]_{p, q}^s \sum_{n=0}^{\infty} \frac{(-1)^n q^{w_1 n}}{[w_1 w_2 x + 2w_2 j + 2w_1 n]_{p, q}^s}. \end{aligned}$$

After some calculations in the above, we have

$$\begin{aligned} &[2]_{q^{w_2}} [w_2]_{p, q}^s \sum_{j=0}^{w_1-1} (-1)^j q^{w_2 j} \zeta_{p^{w_1}, q^{w_1}}^{(h)} \left( s, w_2 x + \frac{2w_2 j}{w_1} \right) \\ &= [2]_{q^{w_1}} [2]_{q^{w_2}} [w_1]_{p, q}^s [w_2]_{p, q}^s \\ &\quad \times \sum_{i=0}^{w_2-1} \sum_{j=0}^{w_1-1} \sum_{r=0}^{\infty} \frac{(-1)^{r+i+j} q^{(w_1 w_2 r + w_1 i + w_2 j)}}{[w_1 w_2 (2r + x) + 2w_1 i + 2w_2 j]_{p, q}^s}. \end{aligned} \tag{17}$$

Thus, from (16) and (17), we obtain the result. □

**Corollary 1.** For  $s \in \mathbb{C}$  with  $Re(s) > 0$ , we have

$$\zeta_{p, q}(s, w_1 x) = [w_1]_{p, q}^{-s} \sum_{j=0}^{w_1-1} (-1)^j q^j \zeta_{p^{w_1}, q^{w_1}} \left( s, \frac{x + 2j}{w_1} \right).$$

**Proof.** Let  $w_2 = 1$  in Theorem 7. Then we immediately get the result. □

Next, we also derive some symmetric identities for Carlitz-type  $(p, q)$ -tangent polynomials by using  $(p, q)$ -tangent zeta function.

**Theorem 8.** Let  $w_1$  and  $w_2$  be any positive odd integers. The following multiplication formula holds true for the Carlitz-type  $(p, q)$ -tangent polynomials:

$$\begin{aligned} &[2]_{q^{w_1}} [w_2]_{p, q}^n \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} T_{n, p^{w_2}, q^{w_2}} \left( w_1 x + \frac{2w_1 i}{w_2} \right) \\ &= [2]_{q^{w_2}} [w_1]_{p, q}^n \sum_{j=0}^{w_1-1} (-1)^j q^{w_2 j} T_{n, p^{w_1}, q^{w_1}} \left( w_2 x + \frac{2w_2 j}{w_1} \right). \end{aligned}$$

**Proof.** By substituting  $T_{n, p, q}(x)$  for  $\zeta_{p, q}(s, x)$  in Theorem 7, and using Theorem 6, we can find that

$$\begin{aligned} &[2]_{q^{w_1}} [w_1]_{p, q}^{-n} \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} \zeta_{p^{w_2}, q^{w_2}} \left( -n, w_1 x + \frac{2w_1 i}{w_2} \right) \\ &= [2]_{q^{w_1}} [w_1]_{p, q}^{-n} \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} T_{n, p^{w_2}, q^{w_2}} \left( w_1 x + \frac{2w_1 i}{w_2} \right), \end{aligned} \tag{18}$$

and

$$\begin{aligned} &[2]_{q^{w_2}} [w_2]_{p, q}^{-n} \sum_{j=0}^{w_1-1} (-1)^j q^{w_2 j} \zeta_{p^{w_1}, q^{w_1}} \left( -n, w_2 x + \frac{2w_2 j}{w_1} \right) \\ &= [2]_{q^{w_2}} [w_2]_{p, q}^{-n} \sum_{j=0}^{w_1-1} (-1)^j q^{w_2 j} T_{n, p^{w_1}, q^{w_1}} \left( w_2 x + \frac{2w_2 j}{w_1} \right). \end{aligned} \tag{19}$$

Thus, by (18) and (19), this concludes our proof. □

Considering  $w_1 = 1$  in the Theorem 8, we obtain as below equation.

$$T_{n,p,q}(x) = \frac{[2]_q}{[2]_{q^{w_2}}} [w_2]_{p,q}^n \sum_{j=1}^{w_2-1} (-1)^j q^j T_{n,p^{w_2},q^{w_2}} \left( \frac{x+2j}{w_2} \right).$$

Furthermore, by applying the addition theorem for the Carlitz-type  $(h, p, q)$ -tangent polynomials  $T_{n,p,q}^{(h)}(x)$ , we can obtain the following theorem.

**Theorem 9.** Let  $w_1$  and  $w_2$  be any positive odd integers. Then one has

$$\begin{aligned} & [2]_{q^{w_2}} \sum_{l=0}^n \binom{n}{l} [w_2]_q^l [w_1]_{p,q}^{n-l} p^{w_1 w_2 x l} T_{n-l,p^{w_1},q^{w_1}}^{(2l)}(w_2 x) \mathcal{T}_{n,l,p^{w_2},q^{w_2}}(w_1) \\ &= [2]_{q^{w_1}} \sum_{l=0}^n \binom{n}{l} [w_1]_{p,q}^l [w_2]_{p,q}^{n-l} p^{w_1 w_2 x l} T_{n-l,p^{w_2},q^{w_2}}^{(2l)}(w_1 x) \mathcal{T}_{n,l,p^{w_1},q^{w_1}}(w_2). \end{aligned}$$

**Proof.** From Theorem 8, we have

$$\begin{aligned} & [2]_{q^{w_1}} [w_2]_{p,q}^n \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} T_{n,p^{w_2},q^{w_2}} \left( w_1 x + \frac{2w_1 i}{w_2} \right) \\ &= [2]_{q^{w_1}} [w_2]_{p,q}^n \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} \sum_{l=0}^n \binom{n}{l} q^{2w_1(n-l)i} p^{w_1 w_2 x l} \\ & \quad \times T_{n-l,p^{w_2},q^{w_2}}^{(2l)}(w_1 x) \left( \frac{[w_1]_{p,q}}{[w_2]_{p,q}} \right)^l [2i]_{p^{w_1},q^{w_1}}^l \\ &= [2]_{q^{w_1}} [w_2]_{p,q}^n \sum_{l=0}^n \binom{n}{l} \left( \frac{[w_1]_{p,q}}{[w_2]_{p,q}} \right)^l p^{w_1 w_2 x l} T_{n-l,p^{w_2},q^{w_2}}^{(2l)}(w_1 x) \\ & \quad \times \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} q^{2(n-l)w_1 i} [2i]_{p^{w_1},q^{w_1}}^l. \end{aligned}$$

Therefore, we obtain that

$$\begin{aligned} & [2]_{q^{w_1}} [w_2]_{p,q}^n \sum_{i=0}^{w_2-1} (-1)^i q^{w_1 i} T_{n,p^{w_2},q^{w_2}} \left( w_1 x + \frac{2w_1 i}{w_2} \right) \\ &= [2]_{q^{w_1}} \sum_{l=0}^n \binom{n}{l} [w_1]_{p,q}^l [w_2]_{p,q}^{n-l} p^{w_1 w_2 x l} T_{n-l,p^{w_2},q^{w_2}}^{(2l)}(w_1 x) \mathcal{T}_{n,l,p^{w_1},q^{w_1}}(w_2), \end{aligned} \tag{20}$$

and

$$\begin{aligned} & [2]_{q^{w_2}} [w_1]_{p,q}^n \sum_{j=0}^{w_1-1} (-1)^j q^{w_2 j} T_{n,p^{w_1},q^{w_1}} \left( w_2 x + \frac{2w_2 j}{w_1} \right) \\ &= [2]_{q^{w_2}} \sum_{l=0}^n \binom{n}{l} [w_2]_q^l [w_1]_{p,q}^{n-l} p^{w_1 w_2 x l} T_{n-l,p^{w_1},q^{w_1}}^{(2l)}(w_2 x) \mathcal{T}_{n,l,p^{w_2},q^{w_2}}(w_1). \end{aligned} \tag{21}$$

where  $\mathcal{T}_{n,l,p,q}(k) = \sum_{i=0}^{k-1} (-1)^i q^{(1+2n-2l)i} [2i]_{p,q}^l$  is called as the alternate power sums. Thus, the theorem can be established by (20) and (21). □

### 5. Zeros of the Carlitz-Type $(P, Q)$ -Tangent Polynomials

The purpose of this section is to support theoretical predictions using numerical experiments and to discover new exciting patterns for zeros of the Carlitz-type  $(p, q)$ -tangent polynomials  $T_{n,p,q}(x)$ . We propose some conjectures by numerical experiments. The first values of the  $T_{n,p,q}(x)$  are given by

$$\begin{aligned}
 T_{0,p,q}(x) &= 1, \\
 T_{1,p,q}(x) &= -\frac{-p^x - p^x q^3 + q^x + p^2 q^{1+x}}{(p-q)(1+p^2 q)(1-q+q^2)}, \\
 T_{2,p,q}(x) &= \frac{p^{2x} + p^{2+2x} q^3 + p^{2x} q^5 + p^{2+2x} q^8 - 2p^x q^x + q^{2x} - 2p^{4+x} q^{1+x}}{(p-q)^2(1+p^4 q)(1+p^2 q^3)(1-q+q^2-q^3+q^4)} \\
 &\quad - \frac{2p^x q^{5+x} - 2p^{4+x} q^{6+x} + p^4 q^{1+2x} + p^2 q^{3+2x} + p^6 q^{4+2x}}{(p-q)^2(1+p^4 q)(1+p^2 q^3)(1-q+q^2-q^3+q^4)}.
 \end{aligned}$$

Tables 1 and 2 present the numerical results for approximate solutions of real zeros of  $T_{n,p,q}(x)$ . The numbers of zeros of  $T_{n,p,q}(x)$  are tabulated in Table 1 for a fixed  $p = \frac{1}{2}$  and  $q = \frac{1}{10}$ .

**Table 1.** Numbers of real and complex zeros of  $T_{n,p,q}(x), p = \frac{1}{2}, q = \frac{1}{10}$ .

Degree $n$	Real Zeros	Complex Zeros
1	1	0
2	2	0
3	1	2
4	2	2
5	1	4
6	2	4
7	1	6
8	2	6
9	1	8
10	2	8
11	1	10
12	2	10
13	1	12
14	2	12
$\vdots$	$\vdots$	$\vdots$
30	2	28

**Table 2.** Numerical solutions of  $T_{n,p,q}(x) = 0, p = \frac{1}{2}, q = \frac{1}{10}$ .

Degree $n$	$x$
1	0.0147214
2	-0.0451666, 0.0490316
3	0.0737013
4	-0.0782386, 0.0906197
5	0.102727
6	-0.0935042, 0.111767

The use of computer has made it possible to identify the zeros of the Carlitz-type  $(p, q)$ -tangent polynomials  $T_{n,p,q}(x)$ . The zeros of the Carlitz-type  $(p, q)$ -tangent polynomials  $T_{n,p,q}(x)$  for  $x \in \mathbb{C}$  are plotted in Figure 1.

In Figure 1(top-left), we choose  $n = 10, p = 1/2$  and  $q = 1/10$ . In Figure 1(top-right), we choose  $n = 20, p = 1/2$  and  $q = 1/10$ . In Figure 1(bottom-left), we choose  $n = 30, p = 1/2$  and  $q = 1/10$ . In Figure 1(bottom-right), we choose  $n = 40, p = 1/2$  and  $q = 1/10$ . It is amazing

that the structure of the real roots of the Carlitz-type  $(p, q)$ -tangent polynomials  $T_{n,p,q}(x)$  is regular. Thus, theoretical prediction on the regular structure of the real roots of the Carlitz-type  $(p, q)$ -tangent polynomials  $T_{n,p,q}(x)$  is await for further study (Table 1). Next, we have obtained the numerical solution satisfying Carlitz-type  $(p, q)$ -tangent polynomials  $T_{n,p,q}(x) = 0$  for  $x \in \mathbb{R}$ . The numerical solutions are tabulated in Table 2 for a fixed  $p = \frac{1}{2}$  and  $q = \frac{1}{10}$  and various value of  $n$ .

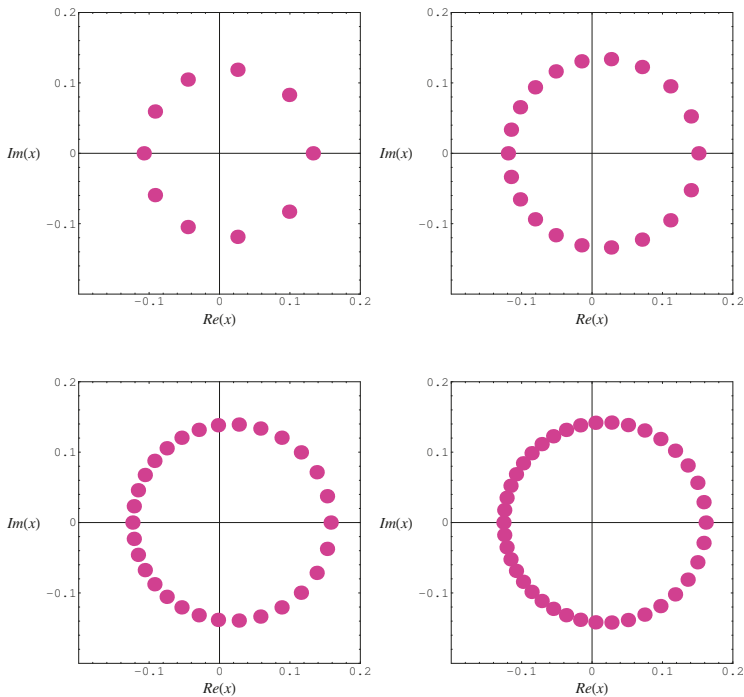


Figure 1. Zeros of  $T_{n,p,q}(x)$ .

### 6. Conclusions and Future Developments

This study constructed the Carlitz-type  $(p, q)$ -tangent numbers and polynomials. We have derived several formulas for the Carlitz-type  $(h, q)$ -tangent numbers and polynomials. Some interesting symmetric identities for Carlitz-type  $(p, q)$ -tangent polynomials are also obtained. Moreover, the results of [18] can be derived from ours as special cases when  $q = 1$ . By numerical experiments, we will make a series of the following conjectures:

**Conjecture 1.** Prove or disprove that  $T_{n,p,q}(x), x \in \mathbb{C}$ , has  $Im(x) = 0$  reflection symmetry analytic complex functions. Furthermore,  $T_{n,p,q}(x)$  has  $Re(x) = a$  reflection symmetry for  $a \in \mathbb{R}$ .

Many more values of  $n$  have been checked. It still remains unknown if the conjecture holds or fails for any value  $n$  (see Figure 1).

**Conjecture 2.** Prove or disprove that  $T_{n,p,q}(x) = 0$  has  $n$  distinct solutions.

In the notations:  $R_{T_{n,p,q}(x)}$  denotes the number of real zeros of  $T_{n,p,q}(x)$  lying on the real plane  $Im(x) = 0$  and  $C_{T_{n,p,q}(x)}$  denotes the number of complex zeros of  $T_{n,p,q}(x)$ . Since  $n$  is the degree of the polynomial  $T_{n,p,q}(x)$ , we get  $R_{T_{n,p,q}(x)} = n - C_{T_{n,p,q}(x)}$  (see Tables 1 and 2).

**Conjecture 3.** Prove or disprove that

$$R_{T_{n,p,q}(x)} = \begin{cases} 1, & \text{if } n = \text{odd}, \\ 2, & \text{if } n = \text{even}. \end{cases}$$

We expect that investigations along these directions will lead to a new approach employing numerical method regarding the research of the Carlitz-type  $(p, q)$ -tangent polynomials  $T_{n,p,q}(x)$  which appear in applied mathematics, and mathematical physics (see [11,18–20]).

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Article

# Sharp Bounds on the Higher Order Schwarzian Derivatives for Janowski Classes

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**Abstract:** Higher order Schwarzian derivatives for normalized univalent functions were first considered by Schippers, and those of convex functions were considered by Dorff and Szynal. In the present investigation, higher order Schwarzian derivatives for the Janowski star-like and convex functions are considered, and sharp bounds for the first three consecutive derivatives are investigated. The results obtained in this paper generalize several existing results in this direction.

**Keywords:** higher order Schwarzian derivatives; Janowski star-like function; Janowski convex function; bound on derivatives

**MSC:** 30C45; 30C50; 30C80

## 1. Introduction

Let  $\mathcal{A}$  be the class of analytic functions defined on the unit disk  $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$  and having the form:

$$f(z) = z + a_2z^2 + a_3z^3 + \dots \tag{1}$$

The subclass of  $\mathcal{A}$  consisting of univalent functions is denoted by  $\mathcal{S}$ . An analytic function  $f$  is subordinate to another analytic function  $g$  if there is an analytic function  $w$  with  $|w(z)| \leq |z|$  and  $w(0) = 0$  such that  $f(z) = g(w(z))$ , and we write  $f \prec g$ . If  $g$  is univalent, then  $f \prec g$  if and only if  $f(0) = g(0)$  and  $f(\mathbb{D}) \subseteq g(\mathbb{D})$ . The classes  $\mathcal{S}^*$  and  $\mathcal{K}$  of star-like and convex functions, respectively, are among the most studied subclasses of  $\mathcal{S}$ . These classes are defined, respectively, as:

$$\mathcal{S}^* := \left\{ f \in \mathcal{S} : \operatorname{Re} \left( \frac{zf'(z)}{f(z)} \right) > 0, z \in \mathbb{D} \right\}$$

and:

$$\mathcal{K} := \left\{ f \in \mathcal{S} : \operatorname{Re} \left( 1 + \frac{zf''(z)}{f'(z)} \right) > 0, z \in \mathbb{D} \right\}.$$

The Koebe function  $k(z) = z/(1-z)^2 \in \mathcal{S}^*$  and  $z/(1-z) \in \mathcal{K}$ .

General forms of these classes were considered by Janowski [1]. For  $-1 \leq B < A \leq 1$ , these classes are defined by:

$$\mathcal{S}^*[A, B] := \left\{ f \in \mathcal{S} : \frac{zf'(z)}{f(z)} \prec \frac{1 + Az}{1 + Bz} \right\} \text{ and } \mathcal{K}[A, B] := \left\{ f \in \mathcal{S} : 1 + \frac{zf''(z)}{f'(z)} \prec \frac{1 + Az}{1 + Bz} \right\}.$$

These classes are called the class of Janowski star-like and Janowski convex functions, respectively. On specializing the parameters  $A$  and  $B$ , we get several well-known classes such as  $\mathcal{S}^* := \mathcal{S}^*[1, -1]$  and  $\mathcal{K} := \mathcal{K}[1, -1]$ . The functions  $h_0$  and  $k_0$  defined by:

$$h_0(z) = \begin{cases} z(1+Bz)^{\frac{A}{B}-1}, & B \neq 0; \\ ze^{Az}, & B = 0, \end{cases} \quad (2)$$

and:

$$k_0(z) = \begin{cases} \frac{1}{A}[(1+Bz)^{\frac{A}{B}} - 1], & B \neq 0, A \neq 0; \\ \frac{1}{B} \log(1+Bz), & A = 0; \\ \frac{1}{A}[e^{Az} - 1], & B = 0. \end{cases} \quad (3)$$

belong to the classes  $\mathcal{S}^*[A, B]$  and  $\mathcal{K}[A, B]$  ( $-1 \leq B < A \leq 1$ ), respectively. In particular,  $2z/(2-z) \in \mathcal{S}^*[1/2, -1/2]$  and  $4z/(2-z)^2 \in \mathcal{K}[1/2, -1/2]$ .

The quantity  $a_2^2 - a_3$  is associated with the Schwarzian derivative of function  $f \in \mathcal{S}$ . Recall that the Schwarzian derivative of a locally univalent function  $f$  is defined by:

$$\mathbf{S}(f)(z) := \left( \frac{f''(z)}{f'(z)} \right)' - \frac{1}{2} \left( \frac{f''(z)}{f'(z)} \right)^2$$

which is an important quantity in univalent function theory. For example, the quantity  $a_3 - \mu a_2^2 = (f'''(0) - 3\mu(f''(0))^2/2)/6$  is called the Fekete–Szegő functional, and finding the sharp bound on modulus of this quantity is popularly known as the Fekete–Szegő problem. Nehari [2] (see also [3]) proved that the necessary condition for an analytic function  $f$  to be in the class  $\mathcal{S}$  is  $|\mathbf{S}(f)(z)| \leq 6(1-|z|^2)^{-2}$ , and the sufficient condition is  $|\mathbf{S}(f)(z)| \leq 2(1-|z|^2)^{-2}$ . In both directions, the results are the best possible in the sense that the constants two and six cannot be replaced by the smaller numbers. The sharpness of the later condition was verified by Hille [4]. The first inequality is sharp in the case of the Koebe function, whereas the sharpness in the second can be seen in the case of the function  $f_0(z) = (1/2) \log((1+z)/(1-z))$ . Later, Nehari [5] proved that if  $f$  is a convex function, then  $|\mathbf{S}(f)(z)| \leq 2(1-|z|^2)^{-2}$ .

Aharonov and Harmelin [6] studied the higher order Schwarzian derivatives  $\sigma_n(f)$  with invariance under composition on the left by Möbius transformations  $T$ ,  $\sigma_n(T \circ f) = \sigma_n(f)$ , and their relation to univalence of the function  $f$ . The higher order Schwarzian derivative is defined as follows (see [6,7]):

$$\sigma_3(f) = \mathbf{S}(f)$$

and for any integer  $n \geq 4$ , it is given by:

$$\sigma_{n+1}(f) = (\sigma_n(f))' - (n-1)\sigma_n(f) \frac{f''}{f'}.$$

In particular,

$$\sigma_4(f) = \frac{f^{(4)}}{f'} - 6 \frac{f''' f'}{f'^2} + \left( \frac{f''}{f'} \right)^3$$

and for:

$$\sigma_5(f) = \frac{f^{(5)}}{f'} - 10 \frac{f^{(4)} f''}{f'^2} - 6 \left( \frac{f'''}{f'} \right)^2 + 48 \frac{f''' f'^2}{f'^3} - 36 \left( \frac{f''}{f'} \right)^4.$$

Schippers [7] derived the differential equation for the Loewner flow of the Schwarzian derivative of univalent functions and used this to investigate the bounds on the modulus of higher order Schwarzian derivatives. These bounds were shown to be sharp in the case of the Koebe function. He also proved certain two-point distortion theorems for the higher order Schwarzian derivatives in terms of the hyperbolic metric. Later, the higher order Schwarzian derivatives for convex functions

were considered by Dorff and Szynal [8]. Since the class  $\mathcal{K}$  is linearly invariant (see [9]), so there is no loss in restricting consideration to  $\sigma_n(f)(0) =: \mathbf{S}_n$ . From the above definition of  $\sigma_n(f)$ , we see that  $\mathbf{S}_3 = \sigma_3(f)(0) = 6(a_3 - a_2^2)$ ,  $\mathbf{S}_4 = \sigma_4(f)(0) = 24(a_4 - 3a_2a_3 + 2a_3^2)$  and  $\mathbf{S}_5 = \sigma_5(f)(0) = 24(5a_5 - 20a_2a_4 - 9a_3^2 + 48a_3a_2^2 - 24a_2^4)$ . Dorff and Szynal proved that  $|\mathbf{S}_3| \leq 2$ ,  $|\mathbf{S}_4| \leq 4$  and  $|\mathbf{S}_5| \leq 12$  with inequality in the case of the function:

$$f_n(z) = \int_0^z (1 - t^{n-1})^{-\frac{2}{n-1}} dt, \quad n = 3, 4, 5.$$

They also conjectured that the maximal value of  $|\mathbf{S}_n|$  for  $n = 6, 7, 8, \dots$  is attained in the case of the function  $f_n$  defined above.

In general, it is not so easy for researchers to deal with the higher order Schwarzian derivatives as the methods in geometric function theory known at present time are not substantial enough. However, they have a very important role in geometric/univalent function theory. In particular, Gal [10], by using the powerful method of admissible functions of Miller and Mocanu [11], investigated the geometric criterion of univalence, which combines higher order Schwarzian derivatives with those of the Ruscheweyh and Sălăgean operators. Therefore, it is very natural to consider higher order Schwarzian derivatives for various geometric results of analytic functions. In this direction, Tamanoi [12], investigated various properties of higher Schwarzian derivatives and their relation with combinatorial polynomials. Tamanoi also proved that the higher Schwarzian derivatives are Möbius invariant; see [12] (p. 135 Theorems 3–3(ii)). Later, in 2011, Kim and Sugawa [13] investigated relations between the Aharonov invariants ([13,14]) and Tamanoi's Schwarzian derivatives of higher order and gave a recursive formula for Tamanoi's Schwarzians. In the same paper, they proposed a new definition of invariant Schwarzian derivatives of a non-constant holomorphic function between Riemann surfaces with conformal metrics. In 2011, Kim and Sugawa reviewed the Pöschl–Minda derivatives [15,16] and Schwarzian derivatives of higher order due to Aharonov [14], Tamanoi [12] and Kim and Sugawa [13] for a non-constant holomorphic map between Riemann surfaces with conformal metrics. They also proved that the higher-order Schwarzian derivatives of Aharonov and Tamanoi cannot be extended to holomorphic functions between projective Riemann surfaces unlike the classical Schwarzian derivatives. The higher order Schwarzian derivative are useful in the study of the properties of non-linear dynamical system and has been studied extensively by several researchers [17]. For many applications of the higher order Schwarzian derivatives related to the real functions, the reader may refer to [17,18] and the references cited therein. Kwon and Sim [19], in 2017, using the theory of admissible functions investigated some sufficient conditions for normalized analytic functions to be star-like, associated with Tamanoi's Schwarzian derivative of third order.

Motivated by the works of Schippers [7] and Dorff and Szynal [8] and other related works cited above, in this paper, we shall consider the higher order Schwarzian derivatives for Janowski star-like and convex functions. The sharp bound on the first three consecutive Schwarzian derivatives for Janowski star-like and convex functions is investigated. We shall also point out some relevant connections of our results with the existing result. Several examples in support of our main results are also given with explanations. To prove our results, we need the following results:

Let  $\mathcal{B}$  be the class of Schwarz functions consisting of analytic functions of the form  $w(z) = c_1z + c_2z^2 + c_3z^3 + \dots$  ( $z \in \mathbb{D}$ ) and satisfying the condition  $|w(z)| < 1$  for  $z \in \mathbb{D}$ . Let  $\mathcal{P}$  denote the class of analytic functions of the form  $p(z) = 1 + p_1z + p_2z^2 + p_3z^3 + \dots$  for which  $\operatorname{Re} p(z) > 0$  ( $z \in \mathbb{D}$ ). The following correspondence between the classes  $\mathcal{B}$  and  $\mathcal{P}$  holds:

$$p \in \mathcal{P} \text{ if and only if } w(z) = \frac{p(z) - 1}{p(z) + 1} \in \mathcal{B}. \quad (4)$$

Comparing coefficients in (4), we have:

$$c_1 = \frac{p_1}{2}, c_2 = \frac{2p_2 - p_1^2}{4}, c_3 = \frac{4p_3 - 4p_1p_2 + p_1^3}{8}, c_4 = \frac{8p_4 - 8p_1p_3 - 4p_2^2 + 6p_1^2p_2 - p_1^4}{16}. \tag{5}$$

Consider the functional  $\Psi(\mu, \nu) = |c_3 + \mu c_1 c_2 + \nu c_1^3|$  for  $w \in \mathcal{B}$  and  $\mu, \nu \in \mathbb{R}$ .

**Lemma 1.** *If  $w \in \mathcal{B}$  [20] (p. 128 Lemma 2), then for any real numbers  $\mu$  and  $\nu$ , we have:*

$$|\Psi(\mu, \nu)| \leq \begin{cases} 1, & (\mu, \nu) \in \Omega_1 \cup \Omega_2 \cup \{(2, 1)\}; \\ |v|, & (\mu, \nu) \in \bigcup_{k=3}^7 \Omega_k; \\ \frac{2}{3}(|\mu| + 1) \left(\frac{|\mu| + 1}{3(|\mu| + \nu + 1)}\right)^{1/2}, & (\mu, \nu) \in \Omega_8 \cup \Omega_9; \\ \frac{1}{3}v \left(\frac{\mu^2 - 4}{\mu^2 - 4\nu}\right) \left(\frac{\mu^2 - 4}{3(\nu - 1)}\right)^{1/2}, & (\mu, \nu) \in \Omega_{10} \cup \Omega_{11} - \{(2, 1)\}; \\ \frac{2}{3}(|\mu| - 1) \left(\frac{|\mu| - 1}{3(|\mu| - \nu - 1)}\right)^{1/2}, & (\mu, \nu) \in \Omega_{12}. \end{cases}$$

Here, the symbols  $\Omega_k$ 's are defined as follows:

$$\begin{aligned} \Omega_1 &:= \{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \leq 1/2, |v| \leq 1\}, \\ \Omega_2 &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : \frac{1}{2} \leq |\mu| \leq 2, \frac{4}{27}(|\mu| + 1)^3 - (|\mu| + 1) \leq \nu \leq 1\right\}, \\ \Omega_3 &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \leq \frac{1}{2}, \nu \leq -1\right\}, \Omega_4 := \left\{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \geq 1/2, \nu \leq -\frac{2}{3}(|\mu| + 1)\right\}, \\ \Omega_5 &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \leq 2, \nu \geq 1\right\}, \Omega_6 := \left\{(\mu, \nu) \in \mathbb{R}^2 : 2 \leq |\mu| \leq 4, \nu \geq \frac{1}{12}(\mu^2 + 8)\right\}, \\ \Omega_7 &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \geq 4, \nu \geq \frac{2}{3}(|\mu| - 1)\right\}, \\ \Omega_8 &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : \frac{1}{2} \leq |\mu| \leq 2, -\frac{2}{3}(|\mu| + 1) \leq \nu \leq \frac{4}{27}(|\mu| + 1)^3 - (|\mu| + 1)\right\}, \\ \Omega_9 &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \geq 2, -\frac{2}{3}(|\mu| + 1) \leq \nu \leq \frac{2|\mu|(|\mu| + 1)}{\mu^2 + 2|\mu| + 4}\right\}, \\ \Omega_{10} &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : 2 \leq |\mu| \leq 4, \frac{2|\mu|(|\mu| + 1)}{\mu^2 + 2|\mu| + 4} \leq \nu \leq \frac{1}{12}(\mu^2 + 8)\right\}, \\ \Omega_{11} &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \geq 4, \frac{2|\mu|(|\mu| + 1)}{\mu^2 + 2|\mu| + 4} \leq \nu \leq \frac{2|\mu|(|\mu| - 1)}{\mu^2 - 2|\mu| + 4}\right\}, \\ \Omega_{12} &:= \left\{(\mu, \nu) \in \mathbb{R}^2 : |\mu| \geq 4, \frac{2|\mu|(|\mu| - 1)}{\mu^2 - 2|\mu| + 4} \leq \nu \leq \frac{2}{3}(|\mu| - 1)\right\}. \end{aligned}$$

The extremal functions, up to rotations, are of the form:

$$w_1(z) = z^3, w_2(z) = z, w_3(z) = \frac{z(t_1 - z)}{1 - t_1z}, w_4(z) = \frac{z(t_2 + z)}{1 + t_2z}$$

and  $w_5(z) = c_1z + c_2z^2 + c_3z^3 + \dots$ , where the parameters  $t_1, t_2$  and the coefficients  $c_i$  are given by:

$$t_1 = \left(\frac{|\mu| + 1}{3(|\mu| + \nu + 1)}\right)^{1/2}, t_2 = \left(\frac{|\mu| - 1}{3(|\mu| - \nu - 1)}\right)^{1/2}, c_1 = \left(\frac{2\nu(\mu^2 + 2) - 3\mu^2}{3(\nu - 1)(\mu^2 - 4\nu)}\right)^{1/2},$$

$$c_2 = (1 - c_1^2)^{i\theta_0}, \quad c_3 = -c_1 c_2 e^{i\theta_0}, \quad \theta_0 = \pm \arccos \left[ \frac{\mu}{2} \left( \frac{\nu(\mu^2 + 8) - 2(\mu^2 + 2)}{2\nu(\mu^2 + 2) - 3\mu^2} \right)^{1/2} \right].$$

**Lemma 2.** If  $w \in \mathcal{B}$  [21](see also, [22]), then for any complex numbers  $\tau$ , we have:

$$|c_2 - \tau c_1^2| \leq \max \{1; |\tau|\}.$$

The result is sharp for the functions  $w(z) = z$  or  $w(z) = z^2$ .

**Lemma 3.** Let  $\hat{\alpha}, \hat{\beta}, \hat{\gamma}$  and  $\hat{a}$  satisfy the inequalities [23] (p. 506 Lemma 2.1),  $0 < \hat{\alpha} < 1, 0 < \hat{a} < 1$  and:

$$8\hat{a}(1 - \hat{a})[(\hat{\alpha}\hat{\beta} - 2\hat{\gamma})^2 + (\hat{\alpha}(\hat{a} + \hat{\alpha}) - \hat{\beta})^2] + \hat{\alpha}(1 - \hat{a})(\hat{\beta} - 2\hat{a}\hat{\alpha})^2 \leq 4\hat{a}\hat{\alpha}^2(1 - \hat{a})^2(1 - \hat{a}). \quad (6)$$

If  $p(z) = 1 + p_1z + p_2z^2 + p_3z^3 + \dots \in \mathcal{P}$ , then:

$$|\hat{\gamma}p_1^4 + \hat{a}p_2^2 + 2\hat{\alpha}p_1p_3 - (3/2)\hat{\beta}p_1^2p_2 - p_4| \leq 2.$$

## 2. Main Results

The following theorem gives the sharp bound on the first three consecutive higher order Schwarzian derivatives for Janowski convex functions. In fact, Theorem 1 is a generalization of the result in [8] (p. 8 Theorem 1) due to Dorff and Szyal.

**Theorem 1.** Let  $f \in \mathcal{K}[A, B]$ . Then, the following implications hold:

1. If  $-1 \leq B < A \leq 1$ , then  $|\mathbf{S}_3| \leq (A - B)$ .

2. (a) If either of the set of conditions:

$$|3A + B| \leq 1$$

or  $1 \leq |3A + B| \leq 4$  and:

$$\frac{4}{27} \left( \left| \frac{3A + B}{2} \right| + 1 \right)^3 - \left( \left| \frac{3A + B}{2} \right| + 1 \right) \leq \frac{A(A + B)}{2} \leq 1$$

hold, then  $|\mathbf{S}_4| \leq 2(A - B)$ .

(b) If  $1 \leq |3A + B| \leq 4$  and:

$$-\frac{2}{3} \left( \left| \frac{3A + B}{2} \right| + 1 \right) \leq \frac{A(A + B)}{2} \leq \frac{4}{27} \left( \left| \frac{3A + B}{2} \right| + 1 \right)^3 - \left( \left| \frac{3A + B}{2} \right| + 1 \right)$$

hold, then:

$$|\mathbf{S}_4| \leq \frac{4(A - B)}{3} \left( \left| \frac{3A + B}{2} \right| + 1 \right) \left( \frac{\left| \frac{3A + B}{2} \right| + 1}{3 \left( \left| \frac{3A + B}{2} \right| + \frac{A(A + B)}{2} + 1 \right)} \right)^{1/2}.$$

3. If  $-1 < B < A < 1$  and:

$$\begin{aligned} & (A^2 - 2A + 2) (A - 1)^2 (A - B)^2 (A + B - 2)(A + B + 2) \\ & - 36 (A^2 - 1)^2 (A + B - 2)(A + B) + 24(A + 1)(A - 1)^2 (A - B) \geq 0 \quad (7) \end{aligned}$$

hold, then  $|\mathbf{S}_5| \leq 6(A - B)$ .

All estimates are sharp.

**Proof.** Let  $f \in \mathcal{K}[A, B]$ . For such a function  $f$ , by definition, we can write:

$$1 + \frac{zf''(z)}{f'(z)} = \frac{1 + Aw(z)}{1 + Bw(z)}, \tag{8}$$

where  $w(z) = c_1z + c_2z^2 + c_3z^3 + \dots \in \mathcal{B}$ . Comparing the coefficients of the like power terms in (8), we have:

$$a_2 = \frac{1}{2}(A - B)c_1, \quad a_3 = \frac{1}{6}(A - B) \left[ (A - 2B)c_1^2 + c_2 \right], \tag{9}$$

$$a_4 = \frac{1}{24}(A - B) \left[ (A^2 - 5AB + 6B^2)c_1^3 + (3A - 7B)c_1c_2 + 2c_3 \right] \tag{10}$$

and:

$$a_5 = \frac{1}{120}(A - B) \left[ (A^3 - 24B^3 - 9A^2B + 26B^2A)c_1^4 + 2(3A^2 - 17AB + 23B^2)c_1^2c_2 + 3(A - 3B)c_2^2 + 4(2A - 5B)c_1c_3 + 6c_4 \right]. \tag{11}$$

(1) From (9), we have:

$$\begin{aligned} S_3 &= 6(a_3 - a_2^2) \\ &= (A - B) \left[ c_2 - \frac{A + B}{2}c_1^2 \right]. \end{aligned} \tag{12}$$

Now, an application of Lemma 2 in (12) gives the desired estimate on  $|S_3|$ . The function for which equality holds is given by (8) with the choice  $w(z) = z^2$ .

(2) Next, we consider:

$$\begin{aligned} S_4 &= 24(a_4 - 3a_2a_3 + 2a_2^3) \\ &= 2(A - B) \left[ c_3 + \mu c_1c_2 + \nu c_1^3 \right] \\ &= 2(A - B)Y(\mu, \nu), \end{aligned} \tag{13}$$

where  $Y(\mu, \nu) := c_3 + \mu c_1c_2 + \nu c_1^3$  with  $\mu := -(3A + B)/2$  and  $\nu := A(A + B)/2$ .

Assume that  $\Omega_i$ 's are as defined in Lemma 1 with  $\mu$  and  $\nu$  as given above. We observe that  $\nu = A(A + B)/2 \geq -1$  as  $AB \geq -2 - A^2$ , and so,  $(\mu, \nu) \notin \Omega_3$ . Furthermore,  $|\mu| = |-(3A + B)/2| < 2$  because  $-4 < 3A + B < 4$ . Therefore, we can conclude that  $(\mu, \nu) \notin \Omega_i$  ( $i = 6, 7, 9, 10, 11, 12$ ). Moreover,  $\nu < 1$  as  $A^2 + AB < 2$ . This reveals that  $(\mu, \nu) \notin \Omega_5$ . We now claim that  $(\mu, \nu) \notin \Omega_4$ . For this, we first assume that  $\mu \leq 0$ . Then,  $|\mu| \geq 1/2$  gives  $3A + B \geq 1$ . Furthermore, the condition  $\nu \leq (-2/3)(|\mu| + 1)$  holds if  $3A(A + B)/2 \leq -2(3A + B + 2)$  or equivalently if  $-3A(A + B) \geq 2(3A + B + 2) = 2(3A + B) + 4 \geq 6$ , that is if  $-AB \geq 2 + A^2$ . Clearly, this is false. Similarly, in the case when  $\mu \leq 0$ , the condition  $\nu \leq (-2/3)(|\mu| + 1)$  does not hold. Thus, we conclude that our claim is true. Further, if  $|3A + B| \leq 1$ , then  $(\mu, \nu) \in \Omega_1$ . Furthermore, if  $1 \leq |3A + B| \leq 4$  and:

$$\frac{4}{27} \left( \left| -\frac{3A + B}{2} \right| + 1 \right)^3 - \left( \left| -\frac{3A + B}{2} \right| + 1 \right) \leq \frac{A(A + B)}{2} \leq 1,$$

then  $(\mu, \nu) \in \Omega_2$ . In view of Lemma 1, we see that if  $(\mu, \nu) \in \Omega_1 \cup \Omega_2$ , then  $|Y(\mu, \nu)| \leq 1$ , and hence,  $|S_4| \leq 2(A - B)$ . The function for which equality holds is given by (8) with the choice  $w(z) = z^3$ .

Now, if  $1 \leq |3A + B| \leq 4$  and:

$$-\frac{2}{3} \left( \left| \frac{3A+B}{2} \right| + 1 \right) \leq \frac{A(A+B)}{2} \leq \frac{4}{27} \left( \left| \frac{3A+B}{2} \right| + 1 \right)^3 - \left( \left| \frac{3A+B}{2} \right| + 1 \right),$$

then  $(\mu, \nu) \in \Omega_8$ . Now, an application of Lemma 1, in this case, gives:

$$\begin{aligned} |Y(\mu, \nu)| &\leq \frac{2}{3} (|\mu| + 1) \left( \frac{|\mu| + 1}{3(|\mu| + \nu + 1)} \right)^{1/2} \\ &= \frac{2}{3} \left( \left| \frac{3A+B}{2} \right| + 1 \right) \left( \frac{\left| \frac{3A+B}{2} \right| + 1}{3 \left( \left| \frac{3A+B}{2} \right| + \frac{A(A+B)}{2} + 1 \right)} \right)^{1/2}. \end{aligned}$$

This inequality together with (13) gives the desired bound on  $|S_4|$ . To show the sharpness, we consider the function  $f$  defined by (8) with the choice of the Schwarz function:

$$w(z) = \frac{z(t_1 - z)}{1 - t_1 z},$$

where:

$$t_1 := \left( \frac{\left| \frac{3A+B}{2} \right| + 1}{3 \left( \left| \frac{3A+B}{2} \right| + \frac{A(A+B)}{2} + 1 \right)} \right)^{1/2}.$$

For this Schwarz function  $w$ , we see that  $c_1 = t_1, c_2 = t_1^3 - 1, c_3 = t_1^3 - t_1$  and:

$$\begin{aligned} S_4 &= 2(A - B) \left[ c_3 + \left| \frac{3A+B}{2} \right| c_1 c_2 + \frac{A(A+B)}{2} c_1^3 \right] \\ &= 2(A - B) \left[ t_1^3 - t_1 + \left| \frac{3A+B}{2} \right| t_1(t_1^2 - 1) + \frac{A(A+B)}{2} t_1^3 \right] \\ &= 2(A - B) \left[ t_1^3 \left( \left| \frac{3A+B}{2} \right| + \frac{A(A+B)}{2} + 1 \right) - t_1 \left( \left| \frac{3A+B}{2} \right| + 1 \right) \right] \\ &= \frac{4(A - B)}{3} \left( \left| \frac{3A+B}{2} \right| + 1 \right) \left( \frac{\left| \frac{3A+B}{2} \right| + 1}{3 \left( \left| \frac{3A+B}{2} \right| + \frac{A(A+B)}{2} + 1 \right)} \right)^{1/2}. \end{aligned}$$

This confirms the sharpness of the result.

(3) Now, it remains to find the estimate on  $|S_5|$ . Using (5)–(11), we get:

$$\begin{aligned} S_5 &= 24(5a_5 - 20a_2a_4 - 9a_3^2 + 48a_2^2a_3 - 24a_2^4) \\ &= 3(A - B) \left[ \hat{\gamma} p_1^4 + \hat{a} p_2^2 + 2\hat{\alpha} p_1 p_3 - (3/2)\hat{\beta} p_1^2 p_2 - p_4 \right] \\ &= 3(A - B) \Psi(\hat{\gamma}, \hat{a}, \hat{\alpha}, \hat{\beta}), \end{aligned} \tag{14}$$

where  $\Psi(\hat{\gamma}, \hat{a}, \hat{\alpha}, \hat{\beta}) := \hat{\gamma} p_1^4 + \hat{a} p_2^2 + 2\hat{\alpha} p_1 p_3 - (3/2)\hat{\beta} p_1^2 p_2 - p_4$  with the parameters  $\hat{\gamma}, \hat{a}, \hat{\alpha}$  and  $\hat{\beta}$  given by:

$$\hat{\gamma} := \frac{(A - 1)^2(2 - A - B)}{16}, \quad \hat{a} := \frac{2 - A - B}{4}, \quad \hat{\alpha} := \frac{1 - A}{2}, \quad \hat{\beta} := \frac{(1 - A)(3 - B - 2A)}{6}.$$

Since the case  $A = 1, B = -1$  was considered by Dorff and Szydal [8], we assume that  $A$  and  $B$  are constrained as  $-1 < B < A < 1$ . Under these conditions, it is a simple matter to verify that  $0 < \hat{a} < 1$  and  $0 < \hat{\alpha} < 1$ . Moreover, the condition (6) holds if and only if:

$$\frac{(A - 1)^2 (A^2 - 2A + 2) (A - B)^2 (A + B - 2)(A + B + 2)}{1152} + \frac{1}{48} (A - 1)^2 (A + 1)(A - B) \geq \frac{1}{32} (A^2 - 1)^2 (A + B - 2)(A + B)$$

or equivalently, if and only if (7) holds. Therefore, in view of Lemma 3, we conclude that if the above condition holds, then we must have  $|\Psi(\hat{\gamma}, \hat{\alpha}, \hat{\alpha}, \hat{\beta})| \leq 2$ , and thus, from (14), the result follows at once. Equality holds in the case of the function  $f$  defined by (8) with the choice of the Schwarz function  $w(z) = z^4$ .  $\square$

**Remark 1.** In particular, when  $A = 1$  and  $B = -1$ , Theorem 1 reduces to the result in [8] (p. 8 Theorem 1) due to Dorff and Szynal.

**Example 1.** Setting  $A = 1/2$  and  $B = -1$  in Equation (3), we get the function  $f_1 \in \mathcal{K}$  defined by:

$$f_1(z) = \frac{2(1 - \sqrt{1-z})}{\sqrt{1-z}} = z + \frac{3z^2}{4} + \frac{5z^3}{8} + \frac{35z^4}{64} + \frac{63z^5}{128} + \dots$$

Here, we see that,  $B = -1 < 1/2 = A, a_2 = 3/4, a_3 = 5/8, a_4 = 35/64$  and  $|\mathbf{S}_3| = 6|a_3 - a_2^2| = 3/8 < 3/2 = A - B$ . This supports Part (1) of Theorem 1. Now, for the function  $f_1$ , we see that  $3A + B = 1/2 < 1$  and  $|\mathbf{S}_4| = 24|a_4 - 3a_2a_3 + 2a_2^3| = 3/8 < 2(A - B) = 3$ . This supports Part 2(a) of Theorem 1.

**Example 2.** Let  $A = 1/2$  and  $B = -1$  in Equation (3). Then, we get the function  $f_2 \in \mathcal{K}$  defined by:

$$f_2(z) = e^z - 1 = z + \frac{z^2}{2} + \frac{z^3}{6} + \frac{z^4}{24} + \frac{z^5}{120} + \dots$$

Here,  $1 < |3A + B| = 3 < 4, a_2 = 1/2, a_3 = 1/6, a_4 = 1/24$  and  $|\mathbf{S}_4| = 24|a_4 - 3a_2a_3 + 2a_2^3| = 1 < 2(A - B) = 2$ . This supports Part 2(b) of Theorem 1. For an example satisfying Part (3) of Theorem 1, we set  $A = 3/4$  and  $B = 1/4$  in Equation (3), and thus, we get the function  $f_3$  defined by:

$$f_3(z) = z + \frac{z^2}{4} + \frac{z^3}{48}$$

Here,  $a_2 = 1/4, a_3 = 1/48, a_4 = 0, a_5 = 0$  and  $|\mathbf{S}_5| = 24|5a_5 - 20a_2a_4 - 9a_3^2 + 48a_2^2a_3 - 24a_2^4| = 27/32 < 3 = 6(A - B)$ .

**Theorem 2.** Let  $f \in \mathcal{S}^*[A, B]$ . Then, the following inequalities hold:

1. If  $-1 \leq B < A \leq 1$ , then  $|\mathbf{S}_3| \leq 3(A - B)$ .
2. (a) If  $A$  and  $B$  satisfy either:

$$|B - 3A| \leq 1/2 \text{ and } |A(2A - B)| \leq 1$$

or:

$$1/2 \leq |B - 3A| \leq 1 \text{ and } \frac{4}{27}(|B - 3A| + 1)^3 - (|B - 3A| + 1) \leq A(2A - B) \leq 1,$$

then  $|\mathbf{S}_4| \leq 8(A - B)$ .

(b) Let us denote:

$$T(A, B) := -\frac{2}{3}(|B - 3A| + 1).$$



If either of the following sets of conditions:

$$1/2 \leq |B - 3A| \leq 2, \quad T(A, B) \leq A(2A - B) \leq \frac{4}{27}(|B - 3A| + 1)^3 - (|B - 3A| + 1),$$

or:

$$|B - 3A| \geq 2, \quad T(A, B) \leq A(2A - B) \leq \frac{2|B - 3A|(|B - 3A| + 1)}{(B - 3A)^2 + |B - 3A| + 4}$$

hold, then:

$$|S_4| \leq \frac{16(A - B)(|B - 3A| + 1)^{3/2}}{3(3(|B - 3A| + A(2A - B) + 1))^{1/2}}.$$

(c) Moreover,

$$|S_4| \leq \begin{cases} 8(A - B)|A(2A - B)|, & 2 \leq |B - 3A| \leq 4, \quad A(2A - B) \geq ((B - 3A)^2 + 8)/12; \\ 48, & A = 1, \quad B = -1. \end{cases}$$

3. If  $A$  and  $B$  satisfy the conditions  $0 < (A + 1) [43A^2 - 43A(B - 1) + 10B^2 - 23B + 10] < 80, 0 < 13A - 3B + 10 < 20$  and:

$$\begin{aligned} &450(13A - 3B - 10)(13A - 3B + 10) (4A^2 - 4AB + B^2 - 1)^2 - 50(2A - B - 1)(2A - B + 1) \\ &(8A^2 + A(7 - 9B) + (B - 7)B)^2 - (13A - 3B - 10)(13A - 3B + 10)(1849A^4 - 172A^3(23B - 20) \\ &+ A^2(2976B^2 - 5916B + 2878) - 4A(230B^3 - 798B^2 + 928B - 231) \\ &+ 100B^4 - 520B^3 + 1177B^2 - 630B + 98)(A - B)^2 \leq 0, \quad (15) \end{aligned}$$

then  $|S_5| \leq 15(A - B)$ .

All estimates are sharp.

**Proof.** Since  $f \in \mathcal{S}^*[A, B]$ , it follows that there exists a Schwarz function  $w(z) = c_1z + c_2z^2 + c_3z^3 + \dots$  such that:

$$\frac{zf'(z)}{f(z)} = \frac{1 + Aw(z)}{1 + Bw(z)}. \tag{16}$$

Comparing the coefficients on both sides of (16), we have:

$$a_2 = (A - B)c_1, \quad a_3 = \frac{1}{2}(A - B) (Ac_1^2 - 2Bc_1^2 + c_2), \tag{17}$$

$$a_4 = \frac{1}{6}(A - B) [(A - 2B)(A - 3B)c_1^3 + (3A - 7B)c_1c_2 + 2c_3] \tag{18}$$

and:

$$\begin{aligned} a_5 = \frac{1}{24}(A - B)[(A^3 - 9A^2B + 26AB^2 - 24B^3)c_1^4 + (6A^2 - 34AB + 46B^2) c_1^2c_2 \\ + 4(2A - 5B) c_1c_3 + 3(A - 3B) c_2^2 + 6c_4]. \quad (19) \end{aligned}$$

As in the proof of Theorem 1, using (17)–(19), we get:

$$S_3 = -3(A - B) (Ac_1^2 - c_2), \quad S_4 = 8(A - B) [A(2A - B)c_1^3 + (B - 3A) c_1c_2 + c_3] \tag{20}$$

and:

$$S_5 = -\frac{3}{16}(A - B)[(A + 1)(43A^2 - 43A(B - 1) + 10B^2 - 23B + 10)p_4^4 - 4(43A^2 + A(53 - 33B) + 5B^2 - 23B + 15)p_1^2p_2 + 80(2A - B + 1)p_1p_3 + 4(13A - 3B + 10)p_2^2 - 80p_4]. \quad (21)$$

(1) From (20), we have:

$$\begin{aligned} |S_3| &= 3(A - B) |Ac_1^2 - c_2| \\ &\leq 3(A - B) \max\{1; |A|\} \\ &= 3(A - B). \end{aligned}$$

This gives the required estimate on  $|S_3|$ . The extremal function in this case is given by (16) with the function  $w(z) = z^2$ .

(2) Again, from (20), we get:

$$\begin{aligned} |S_4| &= 8(A - B) |A(2A - B)c_1^3 + (B - 3A)c_1c_2 + c_3| \\ &\leq 8(A - B) |c_3 + \mu c_1c_2 + \nu c_1^3|, \end{aligned} \quad (22)$$

where  $\mu := B - 3A$  and  $\nu := A(2A - B)$ . Assume that  $\Omega'_i$ s are as defined in Lemma 1 with the setting  $\mu$  and  $\nu$  mentioned above. In particular,  $|\mu| \not\geq 4$  and  $|\mu| = 4$  if and only if  $A = 1$  and  $B = -1$ . It can be easily verified that for  $A = 1$  and  $B = -1$ ,  $(\mu, \nu) \notin \Omega_{11} \cup \Omega_{12}$ . Now, in view of Lemma 1, from (22), we see that if  $A$  and  $B$  satisfy either:

$$|B - 3A| \leq \frac{1}{2} \text{ and } |A(2A - B)| \leq 1$$

or:

$$\frac{1}{2} \leq |B - 3A| \leq 1 \text{ and } \frac{4}{27}(|B - 3A| + 1)^3 - (|B - 3A| + 1) \leq A(2A - B) \leq 1,$$

then  $|S_4| \leq 8(A - B)$ . The extremal function in this case is given by (16) with the choice of the function  $w(z) = z^3$ .

Further, since  $\nu > -1$ , it follows that  $(\mu, \nu) \notin \Omega_3$ . Moreover, a computation reveals that  $(\mu, \nu) \notin \Omega_4 \cup \Omega_5$ . Furthermore, it can be verified that  $(\mu, \nu) \in \Omega_7$  if and only if  $A = 1$  and  $B = -1$ . Now, an application of Lemma 1 gives:

$$|S_4| \leq \begin{cases} 8(A - B)|A(2A - B)|, & 2 \leq |B - 3A| \leq 4, A(2A - B) \geq ((B - 3A)^2 + 8)/12; \\ 48, & A = 1, B = -1. \end{cases}$$

The extremal function in this case is given by (16) with the choice of the function  $w(z) = z$ .

Similarly, we can prove that if either of the sets of following conditions:

$$1/2 \leq |B - 3A| \leq 2, \quad -\frac{2}{3}(|B - 3A| + 1) \leq A(2A - B) \leq \frac{4}{27}(|B - 3A| + 1)^3 - (|B - 3A| + 1),$$

or:

$$|B - 3A| \geq 2, \quad -\frac{2}{3}(|B - 3A| + 1) \leq A(2A - B) \leq \frac{2|B - 3A|(|B - 3A| + 1)}{(B - 3A)^2 + |B - 3A| + 4}$$

hold, then

$$|S_4| \leq \frac{16(A - B)(|B - 3A| + 1)^{3/2}}{3(3(|B - 3A| + A(2A - B) + 1))^{1/2}}.$$

To show the sharpness, we consider the function  $f$  defined by (16) with the choice of the Schwarz function  $w(z) = z(t_2 - z)/(1 - t_2z)$ , where:

$$t_2 := \left( \frac{|B - 3A| + 1}{3(|B - 3A| + A(2A - B) + 1)} \right)^{1/2}.$$

For the Schwarz function  $w$ , given above, we see that  $c_1 = t_2, c_2 = t_2^2 - 1, c_3 = t_2^3 - t_2$  and:

$$\begin{aligned} S_4 &= 8(A - B) \left( A(2A - B)c_1^3 + |B - 3A|c_1c_2 + c_3 \right) \\ &= 8(A - B) \left( A(2A - B)t_2^3 + |B - 3A|t_2(t_2^2 - 1) + t_2^3 - t_2 \right) \\ &= 8(A - B) \left( [A(2A - B) + |B - 3A| + 1]t_2^3 - (|B - 3A| + 1)t_2 \right) \\ &= -\frac{16(A - B)}{3} \frac{(|B - 3A| + 1)^{3/2}}{(3(|B - 3A| + A(2A - B) + 1))^{1/2}}. \end{aligned}$$

This confirms the sharpness of the result.

(3) Finally, it remains to find the estimate on  $|S_5|$ . The expression for  $S_5$  given in (21) can be written as:

$$S_5 = -\frac{15}{2}(A - B)[\hat{\gamma}p_1^4 + \hat{a}p_2^2 + 2\hat{a}p_1p_3 - (3/2)\hat{\beta}p_1^2p_2 - p_4], \tag{23}$$

where:

$$\hat{\gamma} := (A + 1) \left( 43A^2 - 43A(B - 1) + 10B^2 - 23B + 10 \right) / 80, \quad \hat{a} := (13A - 3B + 10) / 20$$

$$\hat{\alpha} := (2A - B + 1) / 2, \quad \hat{\beta} := \left( 43A^2 + A(53 - 33B) + 5B^2 - 23B + 15 \right) / 30.$$

In order to apply Lemma 3, we assume that the parameters  $A$  and  $B$  satisfy the conditions  $0 < (A + 1) [43A^2 - 43A(B - 1) + 10B^2 - 23B + 10] < 80$  and  $0 < (13A - 3B + 10) < 20$  together with the condition:

$$\begin{aligned} &(13A - 3B - 10)(13A - 3B + 10)(A - B)^2(1849A^4 - 172A^3(23B - 20) \\ &\quad + A^2(2976B^2 - 5916B + 2878) - 4A(230B^3 - 798B^2 + 928B - 231) \\ &\quad + 100B^4 - 520B^3 + 1177B^2 - 630B + 98) \\ &\quad + 50(2A - B - 1)(2A - B + 1) \left( 8A^2 + A(7 - 9B) + (B - 7)B \right)^2 \\ &\geq 450(13A - 3B - 10)(13A - 3B + 10) \left( 4A^2 - 4AB + B^2 - 1 \right)^2, \end{aligned}$$

or equivalently, if (15) holds.

Thus, all conditions of Lemma 3 are fulfilled. Therefore, we have  $|S_5| \leq 15(A - B)$ . The function  $f$  defined by (16) with the choice of the Schwarz function  $w(z) = z^4$  shows that the result is sharp. This completes the proof.  $\square$

**Example 3.** Let  $A = 1/2$  and  $B = -1$ . Then, from Equation (2), we get the function  $f_4$  defined by:

$$f_4(z) = \frac{z}{(1 - z)^{3/2}} = z + \frac{3z^2}{2} + \frac{15z^3}{8} + \frac{35z^4}{16} + \frac{315z^5}{128} + \frac{693z^6}{256} + \dots$$

The function  $f_4 \in \mathcal{S}^*$  and satisfies the assertion in Part (1) of Theorem 2, as for this function, we have  $a_2 = 3/2, a_3 = 15/8, a_4 = 35/16$  and  $|S_3| = 6|a_3 - a_2^2| = 9/4 < 9/2 = 3(A - B)$ .

**Example 4.** (i) Let  $A = 1/2$  and  $B = -1$  in Equation (2). Then, we get the function  $f_5 \in \mathcal{S}^*$  defined by:

$$f_5(z) = ze^{z/4} = z + \frac{z^2}{4} + \frac{z^3}{32} + \frac{z^4}{384} + \frac{z^5}{6144} + \dots$$

Here, we have  $|B - 3A| = 3/4 < 1/2$  and  $|A(2A - B)| = 1/8 < 1, a_2 = 1/4, a_3 = 1/32, a_4 = 1/384$  and  $|S_4| = 1/4 < 2 = 8(A - B)$ . This verifies the result asserted in Part 2(a) of Theorem 2.

(ii) Let  $A = 0$  and  $B = -1$ . Then, we have:

$$T(A, B) := -\frac{2}{3}(|B - 3A| + 1) = -\frac{4}{3} \cdot 1/2 < |B - 3A| = 1 < 2$$

and

$$T(A, B) = -\frac{4}{3} < A(2A - B) = 0 < \frac{4}{27}(|B - 3A| + 1)^3 - (|B - 3A| + 1) = 12.$$

For  $A = 0$  and  $B = -1$ , we get the function  $f_6$  from Equation (2) defined by:

$$f_6(z) = \frac{z}{1 - z} = z + z^2 + z^3 + z^4 + z^5 + \dots$$

Now, a computation gives

$$|S_4| = 0 < \frac{32}{3\sqrt{3}} = \frac{16(A - B)(|B - 3A| + 1)^{3/2}}{3[3(|B - 3A| + A(2A - B) + 1)]^{1/2}}.$$

This confirms the correctness of the assertion in 2(b) of Theorem 2.

(iii) For an example of a function satisfying the assertion in 2(c) of Theorem 2, we take  $A = 1$  and  $B = -1$  in Equation (2). Then, we get the function  $f_7$  defined by:

$$f_7(z) = \frac{z}{(1 - z)^2} = z + 2z^2 + 3z^3 + 4z^4 + \dots$$

For this function, it can be verified that  $|S_4| = 48$ .

(iv) For  $A = -1/4$  and  $B = -1/2$ , computations show that  $0 < 13A - 3B + 10 = 33/4 < 20$ :

$$0 < (A + 1) \left[ 43A^2 - 43A(B - 1) + 10B^2 - 23B + 10 \right] = \frac{507}{64} < 80$$

and Inequality (15) becomes  $-2777538049/65536 < 0$ . Setting  $A = -1/4$  and  $B = -1/2$  in (2), we get the function  $f_8$  defined by:

$$f_8(z) = z + \frac{z^2}{4} + \frac{5z^4}{128} + \frac{35z^5}{2048} + \dots$$

For this function, we see that  $S_4 = 0 < 15/4 = 15(A - B)$ . This verifies the assertion in Part (3) of Theorem 2.

### 3. Conclusion

In Theorems 1 and 2, the sharp bounds on the first three consecutive derivatives for Janowski convex and star-like functions are investigated. Examples 1 and 2 support the conclusions of Theorem 1, whereas Examples 3 and 4 validate the assertions in Theorem 2. The results obtained in this paper generalize several existing results in this direction, and they are pointed out. It would be interesting to investigate the estimation on other higher order Schwarzian derivatives and their applications to study the properties of a non-linear dynamical system.

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Article

# On the Convolution Quadrature Rule for Integral Transforms with Oscillatory Bessel Kernels

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**Abstract:** Lubich's convolution quadrature rule provides efficient approximations to integrals with special kernels. Particularly, when it is applied to computing highly oscillatory integrals, numerical tests show it does not suffer from fast oscillation. This paper is devoted to studying the convergence property of the convolution quadrature rule for highly oscillatory problems. With the help of operational calculus, the convergence rate of the convolution quadrature rule with respect to the frequency is derived. Furthermore, its application to highly oscillatory integral equations is also investigated. Numerical results are presented to verify the effectiveness of the convolution quadrature rule in solving highly oscillatory problems. It is found from theoretical and numerical results that the convolution quadrature rule for solving highly oscillatory problems is efficient and high-potential.

**Keywords:** highly oscillatory; convolution quadrature rule; volterra integral equation; Bessel kernel; convergence

## 1. Introduction

Highly oscillatory integrals (HOI) arise frequently in antenna problems involving Sommerfeld integrals (see [1,2]), computation of mutual impedance between conductors (see [3,4]) and many other oscillatory problems (HOP). Generally, an oscillatory integral can be written as

$$I[f] = \int_0^b f(t)W(t)dt. \quad (1)$$

Here  $W(\cdot)$  denotes a highly oscillatory function and  $f(\cdot)$  is slowly varied. Due to the high oscillation, classical quadrature rules (e.g., Newton-Cotes and Gauss rules) are often ineffective, and calculation of this class of integrals is deemed to be a challenging problem ([5]).

Past decades witness a rapid development of researches on calculation of HOIs. Based on Filon's idea ([6]), Iserles and Nørsett developed the Filon-type method by approximating the slowly varied function by its Hermite interpolant. Both of theoretical and numerical results manifested that this method enjoyed high-order convergence rates with respect to the frequency ([7]). To get stable and fast algorithms, Domínguez, et al. ([8]), and Xiang, et al. ([9]), proposed the Clenshaw-Curtis-Filon-type method, respectively, which enjoyed extensive applications at present.

Although Filon's methodology leads to many efficient algorithms, most of them suffer to complicate computation of moment integrals. An alternative way to addressing this problem is transforming the integral interval into the complex plane, which derives the numerical steepest descent method. In [10], the complex integration method in the standard case was discussed extensively. In [11], the general form and corresponding error analysis were studied. This method was extended to the case of HOIs on semi-finite intervals in [12]. It is notable that the numerical steepest descent

method with Gauss-Laguerre quadrature may not provide satisfactory solutions in practice (see [13]). Therefore, computation of transformed integrals is still an interesting topic.

Another important quadrature rule for HOIs is Levin's method ([14]). It enjoys a wide application for its being free of computing complex moments and less restrictions to integrands. The spirit of this method is transforming the integration problem into an ODE, and solving this equation by collocation methods. It is well-known that implementing of Levin methods comes down to efficient solutions of linear systems, which are often singular and dense. In [15], an SVD solver for the ill-conditioned system was presented. In [16], Olver developed a moment-free method by using the shifted GMRES. Recently, by employing the property of Chebyshev polynomials and preconditioners, a sparse and well-conditioned Levin method was constructed in [17].

There are many other important methods for calculating HOIs, for example, the homotopy perturbation method ([18]), the generalized quadrature rule ([19]), the extrapolation method ([20]). For simplicity, we omit the details. It is quite unexpected that little attention has been paid to the convolution quadrature rule (CQ) for HOIs ([21,22]), especially its asymptotic property in the case of high oscillation. In fact, CQ is well-known for its efficient in evaluating convolution integrals, and oscillatory integrals of convolution-type play significant roles in solving oscillatory and evolutionary problems (see [23,24]). Therefore, it is a meaningful issue to study CQ for HOIs.

Consider integral transforms with Bessel kernels as

$$\int_0^x f(t)J_m(\omega(x-t))dt, \quad x \in [0, T], \quad (2)$$

with  $m \geq 0$  being an integer and  $\omega \gg 1$ , and oscillatory Volterra integral equations as

$$\int_0^x J_0(\omega(x-t))u(t)dt = f(x), \quad x \in [0, T], \quad (3)$$

where  $f(\cdot)$  is sufficiently smooth,  $f(0) = 0$ , and  $u(t)$  is unknown. In this paper, we are devoted to studying convergence property of CQ with respect to the frequency for solving above two problems. The same models have been considered in [25,26], where authors concluded that Filon-type methods enjoyed the property that the higher the oscillation, the better the approximation. In the following, we will find CQ share a similar property as Filon-type methods, and even better when they are applied to solving highly oscillatory integral equations. The remaining parts are organized as follows. In Section 2, we briefly review CQ and give the convergence analysis. A modified rule is also proposed in this part. Then we study CQ for solving Volterra integral equations with highly oscillatory kernels in Section 3. Some numerical experiments are carried out in Section 4 to verify our given results.

## 2. Convergence of the Convolution Quadrature Rule

In this section, we revisit Lubich's convolution quadrature firstly. Then the convergence property with respect to the frequency is studied. In [21,22], Lubich proposed an algorithm for computing the following integral,

$$I[f, g] = \int_0^x f(t)g(x-t)dt, \quad x > 0. \quad (4)$$

Let  $F(\cdot)$  denote the Laplace transform of  $f(\cdot)$  and satisfy

- $F(s)$  is analytic in the region  $|\arg(s-c)| < \pi - \varphi$ ,  $\varphi < \pi/2$ ,  $c \in \mathbb{R}$ ;
- there exist constants  $M$  and  $\mu$ , such that  $|F(s)| \leq M|s|^{-\mu}$ .

By the definition of Laplace transform, it follows that

$$f(t) = \frac{1}{2\pi i} \int_{\Gamma} F(\lambda)e^{\lambda t}d\lambda, \quad (5)$$

where  $\Gamma$  is a curve locates in the analytic region of  $F(s)$  and goes from  $\infty \cdot e^{-i(\pi-\varphi)}$  to  $\infty \cdot e^{i(\pi-\varphi)}$ .

Substituting (5) into (4) gives

$$\int_0^x f(t)g(x-t)dt = \frac{1}{2\pi i} \int_{\Gamma} F(\lambda) \int_0^x e^{\lambda t} g(x-t) dt d\lambda. \tag{6}$$

Noting that  $y(x) = \int_0^x e^{\lambda t} g(x-t) dt$  satisfies the initial value problem

$$\begin{cases} \frac{dy}{dx} = \lambda y(x) + g(x), \\ y(0) = 0. \end{cases} \tag{7}$$

Defining the grid  $\{t_n := nh, n = 0, 1, \dots\}$ , we can approximate  $y(x)$  by

$$\sum_{j=0}^k \alpha_j y_{n+j-k} = h\beta(\lambda y_n + g(nh)), n \geq 0, \tag{8}$$

where  $y_{-k} = \dots = y_{-1} = 0, g(x) = 0(x < 0)$ . Multiplying both sides by  $\zeta^n (n \geq 0)$  in (8) and summing give

$$(\alpha_0 \zeta^k + \dots + \alpha_k) \mathbf{y}(\zeta) = \beta(h\lambda \mathbf{y}(\zeta) + h\mathbf{g}(\zeta)), \tag{9}$$

where  $\mathbf{y}(\zeta) = \sum_{n=0}^{\infty} y_n \zeta^n, \mathbf{g}(\zeta) = \sum_{n=0}^{\infty} g(nh) \zeta^n$ . Letting

$$\delta(\zeta) = \frac{\alpha_0 \zeta^k + \dots + \alpha_k}{\beta}, \tag{10}$$

it follows that

$$\mathbf{y}(\zeta) = \left( \frac{\delta(\zeta)}{h} - \lambda \right)^{-1} \mathbf{g}(\zeta). \tag{11}$$

Since  $F(s)$  is analytic in the inside region of the curve  $\Gamma$ , we have, by Cauchy’s integral formula (see [27], p. 32),

$$\frac{1}{2\pi i} \int_{\Gamma} F(\lambda) \left( \frac{\delta(\zeta)}{h} - \lambda \right)^{-1} d\lambda = F\left( \frac{\delta(\zeta)}{h} \right). \tag{12}$$

Therefore, it follows that

$$\begin{aligned} \frac{1}{2\pi i} \int_{\Gamma} F(\lambda) \mathbf{y}(\zeta) d\lambda &= \frac{1}{2\pi i} \int_{\Gamma} F(\lambda) \left( \frac{\delta(\zeta)}{h} - \lambda \right)^{-1} \mathbf{g}(\zeta) d\lambda \\ &= F\left( \frac{\delta(\zeta)}{h} \right) \mathbf{g}(\zeta). \end{aligned} \tag{13}$$

Here the coefficient corresponding to  $\zeta^n$  denotes an approximation to the integral (4) at  $x = nh$ . Suppose

$$F\left( \frac{\delta(\zeta)}{h} \right) = \sum_{j=0}^{\infty} w_j(h) \zeta^j. \tag{14}$$



Then CQ for (4) is defined as

$$Q_h^{CQ}(x) = \sum_{0 \leq jh \leq x} w_j(h)g(x - jh). \tag{15}$$

By the definition of coefficients of Taylor expansions, we get

$$w_n(h) = \frac{1}{2\pi i} \int_{|z|=\rho} F\left(\frac{\delta(\zeta)}{h}\right) z^{-n-1} dz, \tag{16}$$

where  $\rho$  is sufficiently small such that the disc  $|z| \leq \rho$  falls in the analytic region of  $F(\delta(\zeta)/h)$ . Letting  $z = \rho e^{i\theta}$ , we obtain

$$w_n(h) = \frac{\rho^{-n}}{2\pi} \int_0^{2\pi} F\left(\frac{\delta(\rho e^{i\theta})}{h}\right) e^{-in\theta} d\theta. \tag{17}$$

Discretizing  $\int_0^{2\pi} F\left(\frac{\delta(\rho e^{i\theta})}{h}\right) e^{-in\theta} d\theta$  by the composite trapezoid rule gives

$$\begin{aligned} \int_0^{2\pi} F\left(\frac{\delta(\rho e^{i\theta})}{h}\right) e^{-in\theta} d\theta &= \sum_{l=0}^{L-1} \int_{l\frac{2\pi}{L}}^{(l+1)\frac{2\pi}{L}} F\left(\frac{\delta(\rho e^{i\theta})}{h}\right) e^{-in\theta} d\theta \\ &\approx \sum_{l=0}^{L-1} \frac{\pi}{L} \left( F\left(\frac{\delta(\rho e^{il\frac{2\pi}{L}})}{h}\right) e^{-inl\frac{2\pi}{L}} + F\left(\frac{\delta(\rho e^{i(l+1)\frac{2\pi}{L}})}{h}\right) e^{-in(l+1)\frac{2\pi}{L}} \right) \\ &= \frac{2\pi}{L} \sum_{l=0}^{L-1} F\left(\frac{\delta(\rho e^{il\frac{2\pi}{L}})}{h}\right) e^{-inl\frac{2\pi}{L}}. \end{aligned} \tag{18}$$

The last equal sign works due to  $F\left(\frac{\delta(\rho e^{i\theta})}{h}\right) e^{-in\theta}$  is  $2\pi$ -periodic. This leads to

$$w_n(h) \approx \frac{\rho^{-n}}{L} \sum_{l=0}^{L-1} F\left(\frac{\delta(\rho e^{il\frac{2\pi}{L}})}{h}\right) e^{-inl\frac{2\pi}{L}}, \quad n = 0, 1, \dots, N. \tag{19}$$

Its computation complexity is  $O(N \log N)$  by FFT. In this paper, we adopt  $L = 10N$ ,  $\rho^N = \sqrt{\epsilon}$ ,  $\epsilon = 10^{-16}$  to guarantee a precision of order  $O(\sqrt{\epsilon})$  in (19).

**Remark 1.** In [28], convolution quadrature weights were rewritten as

$$w_j(h) = \int_0^\infty g(s)\phi_j(s/h)ds. \tag{20}$$

Here  $\phi_j(t) = e^{-t} \frac{t^j}{j!}$  for backward differentiation formula of order 1 (BDF1), and  $\phi_j(t) = \frac{H_j(\sqrt{2t})}{j!} \left(\frac{t}{2}\right)^{j/2} e^{-3t/2}$  for BDF2 with  $H_j(\cdot)$  denoting the  $j$ th Hermite polynomial. Recurrence relations of frequently-used bases can be found in Table 1.

**Table 1.** Recurrence relations for the CQ basis functions.

Scheme	Initial Basis ( $\phi_{-n} = 0, n \geq 1$ )	Recurrence for Basis Functions ( $j \geq 1$ )
BDF1	$\phi_0(t) = e^{-t}$	$j\phi_j(t) - t\phi_{j-1}(t) = 0$
BDF2	$\phi_0(t) = e^{-3t/2}$	$j\phi_j(t) - 2t\phi_{j-1}(t) + t\phi_{j-2}(t) = 0$
BDF3	$\phi_0(t) = e^{-11t/6}$	$j\phi_j(t) - 3t\phi_{j-1}(t) + 3t\phi_{j-2}(t) - t\phi_{j-3}(t) = 0$
BDF4	$\phi_0(t) = e^{-25t/12}$	$j\phi_j(t) - 4t\phi_{j-1}(t) + 6t\phi_{j-2}(t) - 4t\phi_{j-3}(t) + t\phi_{j-4}(t) = 0$

Existing convergence analysis of CQ often restricts to the property with respect to the stepsize. For example, setting

$$\mathcal{F}(s)g(x) = \int_0^x f(t)g(x-t)dt, \tag{21}$$

$$\mathcal{F}(s_h)g(x) = \sum_{0 \leq jh \leq x} w_j(h)g(x-jh), \tag{22}$$

the convergence rate of CQ is as follows

**Theorem 1.** ([21], Theorem 3.1) Suppose that  $\delta(\zeta)$  satisfies

- $\delta(\zeta)$  is analytic and without zeros in a neighbourhood of the closed unit disc  $|\zeta| \leq 1$ , with the exception of a zero at  $\zeta = 1$ ;
- $|\arg \delta(\zeta)| \leq \pi - \alpha$ , for  $|\zeta| < 1$ , for some  $\alpha > \varphi$ ;
- $\frac{\delta(e^{-h})}{h} = 1 + O(h^p)$ , for some  $p \geq 1$ .

Then we have

$$|\mathcal{F}(s_h)g(x) - \mathcal{F}(s)g(x)| \leq Cx^{p-1} \{h|g(0)| + \dots + h^{p-1}|g^{(p-2)}(0)| + h^p(|g^{(p-1)}(0)| + x \max_{0 \leq t \leq x} |g^{(p)}(t)|)\}, \tag{23}$$

where the constant  $C$  does not depend on the stepsize  $h$ .

One point which should be remarkable is that A-stable linear multistep methods for solving the initial value problem (7) are more reliable in actual computation. Therefore, in this paper, we make use of BDF2 in numerical experiments.

In the following parts, we study the convergence property of CQ for

$$I[f] = \int_0^b f(t)J_m(\omega t)dt, \omega \gg 1. \tag{24}$$

Here  $J_m(\cdot)$  denotes the first kind Bessel function of order  $m$  with  $m$  being a nonnegative integer. Defining  $\tilde{f}(s) := f(b-s)$ , we transform (1) into

$$I[f] = \int_0^b \tilde{f}(b-s)J_m(\omega s)ds. \tag{25}$$

Here the Laplace transform of  $J_m(\omega s)$  can be represented as (see [29], p. 1024)

$$F_{J_m}(s) = \frac{(\sqrt{s^2 + \omega^2} - s)^m}{\omega^m \sqrt{s^2 + \omega^2}}. \tag{26}$$

Before elaborating on the convergence property of CQ, the following lemma should be presented first.

**Lemma 1.** Suppose  $f(\cdot)$  is continuous on the interval  $S$ , where  $S$  may be a closed interval on the positive real axis or  $[a, \infty)$  for some  $a \geq 0$ . Assume  $\int_S |f(t)|dt, \int_S |f'(t)|dt$  exist. Then for any  $v > 0$  and sufficiently large  $\omega$ , there exists a constant  $C$ , which does not depend on the frequency  $\omega$ , such that

$$\left| \int_S f(t)J_m(\omega t)dt \right| \leq C\omega^{-1}. \tag{27}$$

Furthermore, if the interval  $S$  does not contain origin, then it follows

$$\left| \int_S f(t)J_m(\omega t)dt \right| \leq C\omega^{-3/2}. \tag{28}$$

This lemma can be proved by integration by parts and we omit the detail. Now the main theorem of this section follows.

**Theorem 2.** Suppose  $f(\cdot) \in C^2[0, b]$ , and  $\int_0^b |f(t)|dt, \int_0^b |f'(t)|dt, \int_0^b |f''(t)|dt$  exist. Then there exists a positive constant  $C$  independent of  $\omega$ , such that, as the frequency  $\omega$  tends to infinity, CQ satisfies

$$|Q_h^{CQ}(b) - I[f]| \leq C\omega^{-3/2}. \tag{29}$$

**Proof.** Denote by  $\delta_j$  the coefficients of  $\delta(\zeta) = \sum_{j=0}^{\infty} \delta_j \zeta^j$ , and define

$$s_h g(x) := \frac{1}{h} \sum_{0 \leq jh \leq x} \delta_j g(x - jh), \quad 0 < x \leq b. \tag{30}$$

Then  $I[f]$  can be represented as a Dunford-Taylor integral

$$I[f] = \mathcal{F}(s_h)\tilde{f}(x) = \frac{1}{2\pi i} \int_{\Gamma} F_{J_m}(\lambda)(s_h - \lambda)^{-1}\tilde{f}(x)d\lambda. \tag{31}$$

By noting

$$F_{J_m}(s) = \int_0^{\infty} e^{-ts} J_m(\omega t)dt, \tag{32}$$

we have

$$\begin{aligned} \mathcal{F}(s_h)\tilde{f}(x) &= \frac{1}{2\pi i} \int_{\Gamma} \int_0^{\infty} e^{-t\lambda} J_m(\omega t)(s_h - \lambda)^{-1}\tilde{f}(x)dt d\lambda \\ &= \int_0^{\infty} J_m(\omega t)e^{-ts_h}\tilde{f}(x)dt. \end{aligned} \tag{33}$$

This implies

$$\begin{aligned} \mathcal{F}(s_h)\tilde{f}(x) - \mathcal{F}(s)\tilde{f}(x) &= \int_0^x J_m(\omega t)(e^{-ts_h}\tilde{f}(x) - \tilde{f}(x - t))dt \\ &\quad + \int_x^{\infty} J_m(\omega t)e^{-ts_h}\tilde{f}(x)dt. \end{aligned} \tag{34}$$

Define  $\phi_1(t) := e^{-ts_h}\tilde{f}(x) - \tilde{f}(x - t)$  and  $\phi_2(t) := e^{-ts_h}\tilde{f}(x)$ . By recurrence relations and derivatives of Bessel functions, we get

$$\begin{aligned}
 \mathcal{F}(s_h)\tilde{f}(x) - \mathcal{F}(s)\tilde{f}(x) &= \phi_1(0) \int_0^x J_m(\omega t) dt + \frac{1}{\omega}(\phi_1(t) - \phi_1(0))J_{m+1}(\omega t)\Big|_{t=0}^x \\
 &\quad - \frac{1}{\omega} \int_0^x \left( \phi_1'(t) - \frac{m+1}{t}(\phi_1(t) - \phi_1(0)) \right) J_{m+1}(\omega t) dt \\
 &\quad + \frac{1}{\omega} \phi_2(t)J_{m+1}(\omega t)\Big|_{t=x}^\infty \\
 &\quad - \frac{1}{\omega} \int_x^\infty \left( \phi_2'(t) - \frac{m+1}{t}\phi_2(t) \right) J_{m+1}(\omega t) dt.
 \end{aligned} \tag{35}$$

According to  $\phi_1(0) = 0$  and Lemma 1, it follows

$$|\mathcal{F}(s_h)\tilde{f}(x) - \mathcal{F}(s)\tilde{f}(x)| \leq C\omega^{-3/2}, \tag{36}$$

where the constant C does not depend on  $\omega$ . This completes the proof.  $\square$

This theorem verifies the accuracy of CQ will increase as the frequency tends to infinity. According to the proof of Theorem 2, we can eliminate low order terms in (35) and get a modified convolution quadrature rule.

- Modified convolution quadrature rule for (1) of the first kind (MCQ1).

$$Q_h^{mcq1}(b) = \mathcal{F}(s_h)(f(x) - f(b)) \Big|_{x=1} + f(b) \int_0^b J_m(\omega t) dt. \tag{37}$$

According to ([30], p. 681), we have

$$\int_0^1 t^\mu J_\nu(\omega t) dt = \frac{2^\mu \Gamma(\frac{\mu+\nu+1}{2})}{\omega^{\mu+1} \Gamma(\frac{\nu-\mu+1}{2})} + \omega^{-\mu}(\mu + \nu - 1)J_\nu(\omega)s_{\mu-1,\nu-1}^{(2)}(\omega) - \omega^{-\mu}J_{\nu-1}(\omega)s_{\mu,\nu}^{(2)}(\omega). \tag{38}$$

Here  $s_{\mu,\nu}^{(2)}(x)$  denotes the Lommel function of the second kind, and can be efficient computed by asymptotic expansions ([31]). This implies

$$\int_0^b J_m(\omega t) dt = \frac{1}{b^2\omega} + \frac{(m-1)J_m(\omega b)s_{-1,m-1}^{(2)}(\omega b) - J_{m-1}(\omega b)s_{0,m}^{(2)}(\omega b)}{b}. \tag{39}$$

Analogy to the proof of Theorem 2, we immediately arrive at the following results.

**Theorem 3.** Suppose  $f(\cdot) \in C^2[0, b]$ , and  $\int_0^b |f(t)| dt, \int_0^b |f'(t)| dt, \int_0^b |f''(t)| dt$  exist. Then there exists a positive constant C independent of  $\omega$ , such that, as the frequency  $\omega$  tends to infinity, MCQ1 satisfies

$$|Q_h^{mcq1}(b) - I[f]| \leq C\omega^{-2}. \tag{40}$$

**Remark 2.** By Lubich’s methodology of eliminating low order terms, we also obtain a modified convolution quadrature rule of the second kind for (1) (MCQ2). Although these two modified quadrature rules share the same convergence rates with respect to the stepsize, their convergence properties are quite different in the case of calculation of HOI. We will illustrate this phenomenon in Section 4.

### 3. Application to a Volterra Equation

In literature, convolution quadrature rules are important tools for solving Volterra equations with convolution kernels ([32]). Many numerical experiments show they are efficient for solving some highly oscillatory Volterra integral equations (HOVIE). Consider HOVIE (3),

$$\int_0^x J_0(\omega(x-t))u(t)dt = f(x), \quad x \in [0, T],$$

where  $f(\cdot)$  is sufficiently smooth and  $f(0) = 0$ . Let

$$I_N := \{x_n : 0 = x_0 < x_1 < \dots < x_N = T\}, \tag{41}$$

be a uniform grid on  $[0, T]$  with spacing  $h := T/N$ . This equation arises from acoustic scattering problems (see [33]). By applying CQ to (3), we get

$$\sum_{j=0}^k w_{k-j}(h)\tilde{u}_j = f_k, \quad k = 1, 2, \dots, N. \tag{42}$$

Here  $u_j$  denotes the numerical solution  $\tilde{u}(x)$  at  $x_j$  and  $f_k := f(x_k)$ . Once the initial value  $u_0$  is known, the numerical solution at the uniform grid  $I_N$  can be obtained by solving the linear system (42), and the numerical solution on  $[0, T]$  can be written as

$$\tilde{u}(x) := \sum_{j=0}^N \tilde{u}_j \phi_j(x). \tag{43}$$

Following the methodology from [24,26], we establish the convergence analysis by expressing the error function in terms of moments of kernels with highly oscillatory kernels. So let us consider some integrals involving Bessel kernels.

**Lemma 2.** Define the functional  $T_x : C([0, T]) \rightarrow R$  as

$$T_x(f) = \int_0^x J_m(\omega s)f(s)ds, \tag{44}$$

with  $x \in [0, T]$  and  $f \in C([0, T])$ . Then  $\infty$ -norm of the functional  $T_x$  satisfies

$$\|T_x\| \leq C\omega^{-1/2}, \quad \text{as } \omega \rightarrow \infty, \tag{45}$$

where  $C$  is a constant independent of  $\omega$ .

**Proof.** It is easy to show

$$\|T_x\| = \int_0^x |J_m(\omega s)| ds. \tag{46}$$

By the variable transformation  $t = \omega s$ , we have

$$\|T_x\| = \frac{1}{\omega} \int_0^{\omega x} |J_m(t)| dt. \tag{47}$$

According to the asymptotic expansions of Bessel functions (see [34], p. 228), there exists  $Z > 0$  such that for any  $z > Z$ , and a constant  $C^*$ , we have

$$|J_m(z)| \leq \frac{C^*}{\sqrt{z}}. \tag{48}$$

This implies

$$\begin{aligned} \|T_x\| &= \frac{1}{\omega} \int_0^Z |J_m(t)| dt + \frac{1}{\omega} \int_Z^{\omega x} |J_m(t)| dt \\ &\leq \frac{1}{\omega} \int_0^Z |J_m(t)| dt + \frac{1}{\omega} \int_Z^{\omega x} \frac{C^*}{\sqrt{z}} dt. \end{aligned} \tag{49}$$

Therefore, there exists a constant  $C$ , such that

$$\|T_x\| \leq C\omega^{-1/2}, \text{ as } \omega \rightarrow \infty. \tag{50}$$

This completes the proof.  $\square$

**Lemma 3.** For any integers  $\mu, \nu > 0$  and  $x \in (0, T]$ , the following integral

$$I = \omega^{1/2} \int_0^x \frac{J_\mu(\omega t) J_\nu(\omega(x-t))}{t} dt \tag{51}$$

is uniformly bounded with respect to  $\omega > 0$ .

**Proof.** The variable transformation  $s = \omega t$  gives

$$I = \omega^{1/2} \int_0^{\omega x} \frac{J_\mu(s) J_\nu(\omega x - s)}{s} ds. \tag{52}$$

According to ([34], p. 242), we have

$$I = \frac{\omega^{1/2} J_{\mu+\nu}(\omega x)}{\mu}. \tag{53}$$

By the asymptotic expansion of Bessel functions, we completes the proof.  $\square$

Now we get the convergence property of CQ for the numerical solution to HOVIE.

**Theorem 4.** Suppose that  $f \in C^3[0, T]$ , then the convolution quadrature rule for solving (3) introduces a unique numerical solution  $\tilde{u}$ , and satisfies

$$|u(x) - \tilde{u}(x)| \leq C\omega^{-1/2}, x \in I_N, \omega \rightarrow \infty, \tag{54}$$

where  $C$  is a constant independent of  $\omega$ .

**Proof.** By noting

$$\mathcal{F}(s_h)\tilde{u}(x) = f(x) \tag{55}$$

and

$$\mathcal{F}(s)u(x) = f(x) \tag{56}$$

with  $x \in I_h$ , we get the error equation

$$\mathcal{F}(s_h)\epsilon(x) - (\mathcal{F}(s)u(x) - \mathcal{F}(s_h)u(x)) = 0, \tag{57}$$

where  $\epsilon(x) := \tilde{u}(x) - u(x)$ . By Remark 1 and Lemma 1, we have

$$w_j(h) = \begin{cases} O(\omega^{-1}), & j = 0, \\ O(\omega^{-3/2}), & j > 0. \end{cases} \tag{58}$$

Therefore, the remaining work is proving  $\mathcal{F}(s)u(x) - \mathcal{F}(s_h)u(x)$  behaves as  $O(\omega^{-3/2})$ . A similar process to the proof of Theorem 2 gives

$$\begin{aligned} \mathcal{F}(s_h)u(x) - \mathcal{F}(s)u(x) &= \phi_1(0) \int_0^x J_0(\omega t) dt + \frac{1}{\omega} (\phi_1(t) - \phi_1(0)) J_1(\omega t) \Big|_0^x \\ &\quad - \frac{1}{\omega} \int_0^x \left( \phi_1'(t) - \frac{1}{t} (\phi_1(t) - \phi_1(0)) \right) J_1(\omega t) dt \\ &\quad + \frac{1}{\omega} \phi_2(t) J_1(\omega t) \Big|_x^\infty - \frac{1}{\omega} \int_x^\infty \left( \phi_2'(t) - \frac{1}{t} \phi_2(t) \right) J_1(\omega t) dt, \end{aligned} \tag{59}$$

where  $\phi_1(t) := e^{-ts_h}u(x) - u(x - t)$  and  $\phi_2(t) := e^{-ts_h}u(x)$ . Consider the integrals

$$\tilde{I} := \int_0^x \left( \phi_1'(t) - \frac{1}{t} (\phi_1(t) - \phi_1(0)) \right) J_1(\omega t) dt + \int_x^\infty \left( \phi_2'(t) - \frac{1}{t} \phi_2(t) \right) J_1(\omega t) dt. \tag{60}$$

By using integration by parts we have

$$\begin{aligned} \tilde{I} &= \frac{1}{\omega} \left( \phi_1'(t) - \frac{\phi_1(t)}{t} \right) J_2(\omega t) \Big|_0^x - \frac{1}{\omega} \int_0^x \frac{t^2 \phi_1''(t) - 3t \phi_1'(t) + 3\phi_1(t)}{t^2} J_2(\omega t) dt \\ &\quad + \frac{1}{\omega} \left( \phi_2'(t) - \frac{\phi_2(t)}{t} \right) J_2(\omega t) \Big|_x^\infty - \frac{1}{\omega} \int_x^\infty \left( \phi_2''(t) - \frac{3\phi_2'(t)}{t} + \frac{3\phi_2(t)}{t^2} \right) J_2(\omega t) dt. \end{aligned} \tag{61}$$

According to [35], the exact solution to (3) can be written as

$$u(x) = f'(x) + \omega^2 \int_0^x J_0(\omega s) f(x - s) ds - \omega \int_0^x J_1(\omega s) f'(x - s) ds. \tag{62}$$

Furthermore, we have

$$u'(x) = f''(x) + \omega^2 \int_0^x J_0(\omega s) f'(x - s) ds - \omega J_1(\omega x) f'(0) - \omega \int_0^x J_1(\omega s) f''(x - s) ds, \tag{63}$$

$$u''(x) = f'''(x) + \frac{\omega J_1(\omega x)}{x} f'(0) + \omega \int_0^x \frac{J_1(\omega s)}{s} f''(x - s) ds. \tag{64}$$

A direct calculation implies  $\frac{t^2 \phi_1''(t) - 3t \phi_1'(t) + 3\phi_1(t)}{t^2} + \frac{\omega J_1(\omega(x - t))}{x - t} f'(0)$  is  $O(\omega)$  as  $\omega$  goes to infinity. By Lemmas 2 and 3, we obtain

$$\left| \int_0^x \frac{t^2 \phi_1''(t) - 3t \phi_1'(t) + 3\phi_1(t)}{t^2} J_2(\omega t) dt \right| \sim O(\omega^{1/2}), \omega \rightarrow \infty. \tag{65}$$

With the help of Lemma 1, we have  $\tilde{I} = O(\omega^{-1/2})$ . It follows that

$$| \mathcal{F}(s)u(x) - \mathcal{F}(s_h)u(x) | = O(\omega^{-3/2}). \tag{66}$$

Combining Equations (58) and (66) gives

$$|u(x) - \tilde{u}(x)| \leq C\omega^{-1/2}, x \in I, \omega \rightarrow \infty, \tag{67}$$

where  $C$  is a constant independent of  $\omega$ . This completes the proof.  $\square$

#### 4. Numerical Results

In this section, we present some numerical results to verify given estimates in previous sections. All experiments are performed in MATLAB 2013b.

As a first example, we consider the following HOI,

$$I_1 = \int_0^1 J_0(\omega t) \frac{1}{1+25t^2} dt, I_2 = \int_0^2 J_1(\omega t) \cos(t) e^{-t} dt. \tag{68}$$

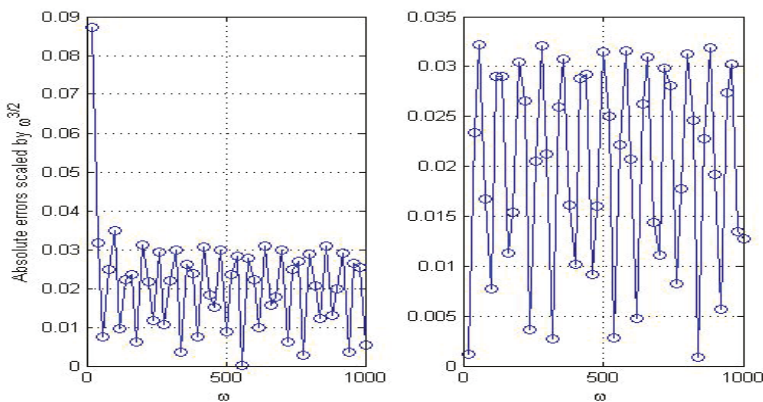
In Figures 1–3, we show the convergence rates of three convolution quadrature rules. Slowly varied lines in these figures manifest that given asymptotic orders in Section 2 are optimal. Absolute errors of these methods are given in Tables 2 and 3. The numerical results illustrate MCQ1 is much more efficient than other two methods in computing HOI.

**Table 2.** Comparisons of quadrature rules for  $\int_0^1 J_0(\omega t) \frac{1}{1+25t^2} dt$ .

$\omega$	20	100	200	400	600	800	1000
CQ	$9.7 \times 10^{-4}$	$3.4 \times 10^{-5}$	$1.1 \times 10^{-5}$	$9.4 \times 10^{-7}$	$1.5 \times 10^{-6}$	$1.3 \times 10^{-6}$	$1.7 \times 10^{-7}$
MCQ1	$8.3 \times 10^{-4}$	$5.0 \times 10^{-6}$	$6.3 \times 10^{-7}$	$6.6 \times 10^{-8}$	$2.1 \times 10^{-8}$	$1.2 \times 10^{-8}$	$7.2 \times 10^{-9}$
MCQ2	$9.4 \times 10^{-4}$	$3.5 \times 10^{-5}$	$1.1 \times 10^{-5}$	$9.4 \times 10^{-7}$	$1.5 \times 10^{-6}$	$1.3 \times 10^{-6}$	$1.7 \times 10^{-7}$

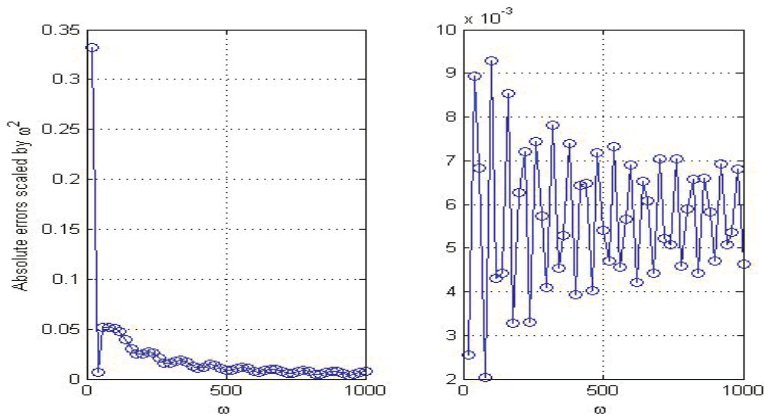
**Table 3.** Comparisons of quadrature rules for  $\int_0^2 J_1(\omega t) \cos(t) e^{-t} dt$ .

$\omega$	20	100	200	400	600	800	1000
CQ	$1.3 \times 10^{-5}$	$7.8 \times 10^{-6}$	$1.1 \times 10^{-5}$	$1.3 \times 10^{-6}$	$1.4 \times 10^{-6}$	$1.4 \times 10^{-6}$	$4.0 \times 10^{-7}$
MCQ1	$6.3 \times 10^{-6}$	$9.3 \times 10^{-7}$	$1.6 \times 10^{-7}$	$2.5 \times 10^{-8}$	$1.9 \times 10^{-8}$	$9.2 \times 10^{-9}$	$4.6 \times 10^{-9}$
MCQ2	$1.3 \times 10^{-5}$	$7.8 \times 10^{-6}$	$1.1 \times 10^{-5}$	$1.3 \times 10^{-6}$	$1.4 \times 10^{-6}$	$1.4 \times 10^{-6}$	$4.0 \times 10^{-7}$

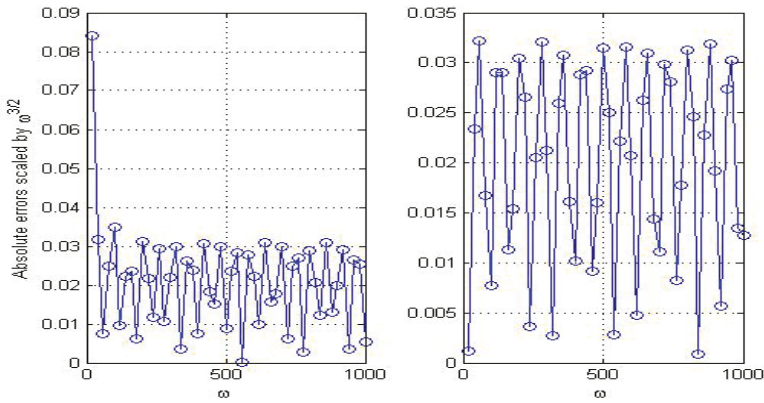


**Figure 1.** Asymptotic convergence rates of CQ for  $\int_0^1 J_0(\omega t) \frac{1}{1+25t^2} dt$  (left) and  $\int_0^2 J_1(\omega t) \cos(t) e^{-t} dt$  (right).





**Figure 2.** Asymptotic convergence rates of MCQ1 for  $\int_0^1 J_0(\omega t) \frac{1}{1+25t^2} dt$  (left) and  $\int_0^2 J_1(\omega t) \cos(t) e^{-t} dt$  (right).

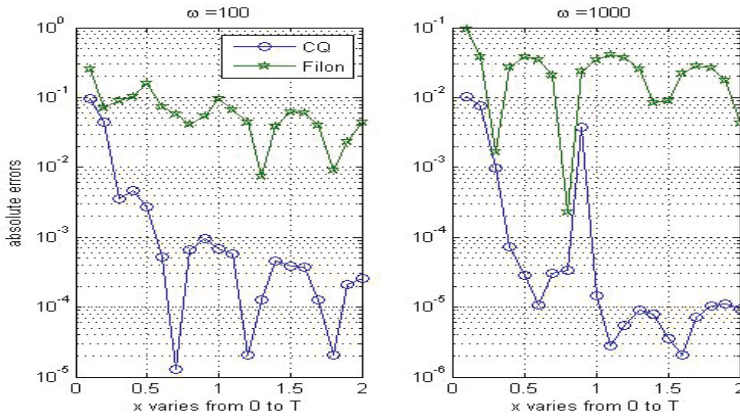


**Figure 3.** Asymptotic convergence rates of MCQ2 for  $\int_0^1 J_0(\omega t) \frac{1}{1+25t^2} dt$  (left) and  $\int_0^2 J_1(\omega t) \cos(t) e^{-t} dt$  (right).

In the second example, we consider application of CQ to solving HOVIE (3). Firstly, by letting  $T = 2$  and  $N = 20$ , we give the computed solution in Table 4 with various  $\omega$ . Absolute errors listed in this table show CQ shares the same property as Filon methods ([24,26]), that is, the higher the oscillation, the better the approximation. Then we compare these two methods in Figure 4, where we can learn CQ behaves better than Filon methods.

**Table 4.** CQ for Volterra integral equations with  $f(x) = xe^{-x}$ .

$\omega$	$x = 0.1$	$x = 0.4$	$x = 0.8$	$x = 1.2$	$x = 1.6$	$x = 1.8$	$x = 2$
10	$3.3 \times 10^{-1}$	$7.6 \times 10^{-2}$	$4.7 \times 10^{-2}$	$2.5 \times 10^{-2}$	$8.0 \times 10^{-3}$	$1.4 \times 10^{-2}$	$3.1 \times 10^{-3}$
100	$9.1 \times 10^{-2}$	$5.5 \times 10^{-3}$	$6.3 \times 10^{-4}$	$7.0 \times 10^{-5}$	$3.5 \times 10^{-4}$	$3.6 \times 10^{-5}$	$2.6 \times 10^{-4}$
200	$5.0 \times 10^{-2}$	$1.4 \times 10^{-4}$	$3.6 \times 10^{-4}$	$1.1 \times 10^{-4}$	$1.2 \times 10^{-4}$	$1.1 \times 10^{-4}$	$1.8 \times 10^{-5}$
500	$1.9 \times 10^{-2}$	$1.9 \times 10^{-4}$	$1.2 \times 10^{-5}$	$4.6 \times 10^{-5}$	$3.7 \times 10^{-5}$	$2.2 \times 10^{-5}$	$6.8 \times 10^{-6}$
1000	$1.0 \times 10^{-2}$	$5.9 \times 10^{-7}$	$3.9 \times 10^{-5}$	$1.2 \times 10^{-5}$	$8.7 \times 10^{-10}$	$9.6 \times 10^{-6}$	$9.2 \times 10^{-6}$



**Figure 4.** Comparisons between Filon methods and CQ for solving Volterra equations with  $f(x) = \frac{x}{1+x^2}$ .

**5. Conclusions**

The above theoretical and numerical results contribute to the study on the convergence property of CQ for solving HOP. The theoretical results in Section 2 reveal the convergence rate of CQ with respect to the frequency, that is, CQ converges in negative powers of  $\omega$  as  $\omega$  goes to infinity. Among them, the new modified rule (MCQ1) enjoys the fastest convergence rate. When we apply CQ to solving HOVIE, similar phenomenon is detected and analyzed. The numerical results in Section 4 show given convergence orders in Section 2 are optimal. In addition, this paper merely opens a window to the convergence theory of CQ for HOP, much work on various versions of CQ, such as Runge-Kutta CQ ([36]), Fourier CQ ([37]), and so forth, is needed in the future.

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## Abbreviations

The following abbreviations are used in this manuscript:

BDF	backward differentiation formula
CQ	convolution quadrature rule
FFT	fast Fourier transform
GMRES	generalized minimal residual method
HOI	highly oscillatory integral
HOP	highly oscillatory problem
ODE	ordinary differential equation
HOVIE	highly oscillatory Volterra integral equation
MCQ1	modified convolution quadrature of the first kind
MCQ2	modified convolution quadrature of the second kind

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