

# Recent Developments in Annexin Biology

Edited by

Jyoti K. Jaiswal, Volker Gerke, Ursula Rescher and Lina Hsiu Kim Lim

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#### **Editors**

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#### **About the Editors**

**Jyoti K. Jaiswal** is a Professor of Genomics and Precision Medicine at the George Washington University School of Medicine and director of microscopy facility as well as a senior investigator at the Children's National Hospital. As a cell and developmental biologist, his studies investigate the mechanisms involved in the repair of injured cells and tissues. These studies have led to the discovery of novel cell and tissue repair mechanisms, including the role of annexins. These discoveries have led to the development of new therapies to treat degenerative diseases, by enhancing cell and tissue repair.

**Volker Gerke** is a Professor of Biochemistry at the Centre for Molecular Biology of Inflammation at the University of Münster. He works on the role of membrane organization and transport in cell differentiation and migration and studies properties and functions of the annexin and S100 families of Ca2+-binding proteins. Among other things work in his group identified mechanistic principles of regulated exocytosis in endothelial cells and novel aspects of membrane domain formation by peripherally associated proteins.

Ursula Rescher heads the Research Group "Cell Signal Regulation" associated with the Institute of Medical Biochemistry at the Centre for Molecular Biology of Inflammation in Münster, Germany. Professor Rescher's research team is interested in the molecular and cellular mechanisms that control the host inflammatory response. Her interdisciplinary research focuses on the signaling events at cellular interfaces at the crossroads of cell biology, innate immunity, and pathogens. The key research topics include functional analysis of G-protein coupled Pattern Recognition Receptors and analysis of late endosome as an important hub for the segregation of incoming cargo and as the site of the endosomal escape for enveloped viruses. She is particularly interested in the annexin protein family and investigates their functions with a range of cellular, molecular, immunological, biochemical, and biophysical methods and animal models. Her vision and goals are to identify key cell components and explore their potential for targeted therapy.

**Lina Hsiu Kim Lim** is an Associate Professor at the National University of Singapore and leads the Inflammation & Cancer Laboratory in the NUS Immunology Program, at the Life Sciences Institute. She is an immunologist with 20 years of experience in inflammatory animal models such as asthma, infection, and cancer. The main focus of her research is on Annexin-A1 and its regulation of signaling pathways and host factors involved in inflammation, infection, and cancer.





Editoria

## Special Issue "Recent Developments in Annexin Biology"

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Abstract: Discovered over 40 years ago, the annexin proteins were found to be a structurally conserved subgroup of Ca<sup>2+</sup>-binding proteins. While the initial research on annexins focused on their signature feature of Ca<sup>2+</sup>-dependent binding to membranes, over the years the biennial Annexin conference series has highlighted additional diversity in the functions attributed to the annexin family of proteins. The roles of these proteins now extend from basic science to biomedical research, and are being translated into the clinic. The research on annexins involves a global network of researchers, and the 10th biennial Annexin conference brought together over 80 researchers from ten European countries, USA, Brazil, Singapore, Japan and Australia for 3 days in September 2019. In this conference, the discussions focused on two distinct themes—the role of annexins in cellular organization and in health and disease. The articles published in this Special Issue cover these two main themes discussed at this conference, offering a glimpse into some of the notable findings in the field of annexin biology.

**Keywords:** Annexin; inflammation; membrane; injury; exocytosis; membrane repair; infection; virus; lipid; cancer

#### 1. Roles of Annexins in Cellular Organization

Several of the studies described in this Special Issue focus on uncovering the cellular roles of annexins, and illustrate the unique involvement of annexins in cellular homeostasis and stress. A homeostatic role of annexin that is examined by Thornton et al. [1] identifies the requirement of intracellular Annexin A2 (AnxA2) in supporting the biogenesis of the Birbeck granule, a specialized compartment found in the Langerhans cells. In contrast, Gabel et al. [2] focus on the extracellular release of AnxA2 by way of secretory granule fusion in the neuroendocrine cells, which results in AnxA2 becoming detectable at the extracellular surface. Matos et al. [3] continue the examination of AnxA2 by focusing on characterizing the mechanism by which it interacts with membranes. They analyze AnxA2 assembly at the membrane by using a novel chemical crosslinker and purified native and mutated AnxA2 proteins to identify how AnxA2 oligomerizes upon binding to membranes with negatively charged phospholipids. Expanding on the lipid and membrane interaction role of AnxA2, Bittel et al. [4]

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show the importance of the lipid-, protein- and calcium-binding ability of AnxA2 in sensing injury to the plasma membrane, and coordinating a cellular repair response by facilitating injury-triggered vesicle fusion. The role of annexins in membrane repair is also examined by Croissant et al. [5], who make use of correlative light and electron microscopy approaches. Through these studies they identify that plasma membrane injury causes an accumulation of AnxA6 at the site of injury, where it facilitates formation of a plug derived from the membranes at the injury site to help close the hole in the membrane. These roles of annexins in membrane repair, and other roles in structurally remodeling membranes, are reviewed by Bendix et al. [6]. They offer an in-depth perspective on the structural and biochemical roles of annexins through studies conducted at the interface of physics and biology. Transitioning from the structural to a biochemical aspect of annexin biology, Gröper et al. [7] have explored the idea of biased agonism in cell signaling by the cell surface formyl peptide receptors (FPRs), which bind extracellular AnxA1. They describe that the diversity of the homeostatic and inflammatory signals received by FPRs are efficiently distinguished intracellularly not by the selective activation of intracellular signaling pathways, but by way of a source-independent transmission of the danger signal via an agonist bias. Several other studies presented in this Special Issue continue to extend the cellular role of annexins into their implications for health.

#### 2. Role of Annexins in Health and Disease

To provide a summary of the involvement of annexins in inflammation and host defense, Dallacasagrande and Hajjar [8] focus on Annexin A2. Their review article describes how AnxA2, which in the homeostatic state sustains anti-inflammatory functions during acute as well as chronic inflammatory states, contributes to disease states when this homeostasis is disrupted. Sanches et al. [9] extend the roles of annexins in inflammation by exploring the involvement of AnxA1 in the inhibition of the release of inflammatory mediators by macrophages. They show that the release of these mediators is increased, leading to greater inflammasome activation in macrophages lacking AnxA1, which causes reduced viability. Hebeda et al. [10] explored the other aspect of AnxA1 in inflammation—acting extracellularly through the FPR-AnxA1 signaling axis. They identify the importance of this process and demonstrate the role of this process in facilitating embryo implantation in the uterus at the blastocyst stage. Vital et al. [11] examined another aspect of FPR-AnxA1—its involvement in thrombus-induced inflammation during sepsis and sickle cell disease. Using intravital imaging together with an AnxA1 mimetic peptide, they observe that targeting this signaling through the Fpr2/ALX receptor helps reduce the severity of the inflammatory response. Needless to state, one of the most pressing health challenges of this past year has been the impact of viral infection on human health. Cui et al. [12] touched upon this topic by exploring the involvement of AnxA1 in host-pathogen interactions in the context of the flu virus. They employed RNA sequencing to examine how the classical autophagy pathway in the host cell is altered by the influenza A virus infection, and discovered the importance of AnxA1 in enhancing autophagy in infected cells. The nexus between annexins, autophagy and human health was further elucidated by Meneses-Salas et al. [13], focusing on the involvement of autophagy in the degradation of AnxA6 and its impact on the devastating childhood disorder Nieman Pick Disease. Finally, two of the studies report on the involvement of annexins in cancer. Focusing on the estrogen receptor-negative breast cancers, Mahdi et al. [14] propose an important role of AnxA2 upregulation in the metastasis and progression of this cancer. This is extended further in the review by Korolkova et al. [15], which takes a broader view of this subject and discusses the contribution of AnxA6 to the progression of various cancers. Focusing on AnxA6, they discuss the relevance of AnxA6 upregulation in the treatment of triple negative breast cancers. These diverse studies offer a bird's eye view of the several important health and disease-related processes that annexins are implicated in.

#### 3. Conclusions

It is apparent that even after 40 years of studying annexins, research on these prolific proteins is still growing. We hope that the work presented in this Special Issue will offer readers a window into the increasing diversity of the cellular and physiological roles attributed to annexins. The biennial Annexin conferences continue to offer a venue for the exchange of these ideas to further our understanding of the roles of annexins, and harness this knowledge to improve the multiple facets of human health that are affected by these proteins.

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Article

## The Essential Role of anxA2 in Langerhans Cell Birbeck Granules Formation

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Abstract: Langerhans cells (LC) are the resident antigen presenting cells of the mucosal epithelium and play an essential role in initiating immune responses. LC are the only cells in the body to contain Birbeck granules (BG), which are unique cytoplasmic organelles comprised of c-type lectin langerin. Studies of BG have historically focused on morphological characterizations, but BG have also been implicated in viral antigen processing which suggests that they can serve a function in antiviral immunity. This study focused on investigating proteins that could be involved in BG formation to further characterize their structure using transmission electron microscopy (TEM). Here, we report a critical role for the protein annexin A2 (anxA2) in the proper formation of BG structures. When anxA2 expression is downregulated, langerin expression decreases, cytoplasmic BG are nearly ablated, and the presence of malformed BG-like structures increases. Furthermore, in the absence of anxA2, we found langerin was no longer localized to BG or BG-like structures. Taken together, these results indicate an essential role for anxA2 in facilitating the proper formation of BG.

Keywords: anxA2; Birbeck granules; Langerhans cell; A2t

#### 1. Introduction

Birbeck granules (BG) are cytoplasmic organelles which resemble tennis rackets in two-dimensional (2D) cross-sections. Since their discovery nearly 60 years ago, BG have largely remained elusive in derivation, composition, and function [1]. BG exist in a wide variety of morphologies when imaged through transmission electron microscopy (TEM), however, characteristic images typically contain a translucent "head" portion attached to a "rod" containing 5 to 10 nm linear striations through its center [2]. Three-dimensionally, BG "rods" are composed of two adjacent, superimposed zippered membranes forming a flat, circular disk or cytomembrane sandwiching structure (CMS) connected to the "head", a vesicular lobe on the outer edge [3,4].

BG are the hallmark structures found in Langerhans cells (LC), which are the antigen presenting cells (APC) of the mucosal epithelium [5]. LC are highly specialized cells of the innate immune system which have the primary function of sampling their environment for foreign antigens [6]. Langerin (CD207), a type-II transmembrane c-type lectin, is the primary protein comprising BG and is a requisite for formation [7,8]. Collective evidence has demonstrated a function for langerin and BG in antigen binding, uptake, and processing through a nonclassical pathway [9–12]. In this process, langerin acts as a cell surface pattern recognition receptor (PRR) and is trafficked through the endosomal

recycling compartment (ERC) under the control of Rab11a where it accumulates in recycling endosomes (RE) [13–16]. Once a critical intracellular langerin concentration is reached in the RE, a budding event facilitated by the Rab11a/myosin Vb/Rab11-FIP2 complex induces BG formation through membrane superimposition and a zippering of langerin interactions at the carbohydrate recognition domains (CRD) [4,5,7,17]. Cytoplasmic BG are proposed to process antigens for presentation via CD1a, an MHC-class I-like protein, to initiate the adaptive immune response through T cell activation [18].

Once a foreign antigen is recognized, LC undergo phenotypic and functional changes to become mature. This is characterized by an upregulation of co-stimulatory molecules such as CD80 and CD86, secretion of proinflammatory cytokines, and chemokine-mediated migration to lymph nodes where antigen-specific T cell priming occurs. LC are often the first immune cells to be in contact with pathogens that target or must bypass the mucosal epithelium such as human immunodeficiency virus (HIV) and human papillomavirus (HPV). BG structures sequester HIV and prevent its dissemination through selective degradation [10]. When exposed to HPV capsids, LC fail to mount a proper immune response. There is delayed maturation, a reduced level of MHC surface expression, and little to no costimulatory signals, which results in improper T cell priming [19]. We previously reported that this manipulation of LC by HPV is facilitated by the interaction with the annexin A2 S100A10 heterotetramer (A2t) [20]. Further investigation showed that A2t was directly involved in infectious trafficking of HPV virions [21]. In exploring the role of A2t in HPV–LC interactions, we made the interesting observation that BG structures were impacted by modulation of A2t expression, warranting further investigation into the relationship between A2t and BG formation.

#### 2. Materials and Methods

#### 2.1. Cell Culture

The CD34+ human acute myeloid leukemia cell line, MUTZ-3, was gifted by Rik J. Scheper from the VU Medical Center in Amsterdam, The Netherlands. MUTZ-3 cells were cultured at a density of  $2\times10^5$  cells/mL in minimum essential media, Alpha 1X (MEM $\alpha$ ) with Earle's salts, ribonucleosides, deoxyribonucleosides, and L-glutamine (Corning, NY, USA) supplemented with 20% heat-inactivated human fetal bovine serum (Omega Scientific, Tarzana, CA, USA), 10% conditioned medium from the renal carcinoma cell line 5637, and 50  $\mu$ M 2-mercaptoethanol (Thermo Fisher, Carlsbad, CA, USA) at 37 °C with 5% CO2. To induce a Langerhans cell phenotype, MUTZ-3 cells were seeded at a density of 1  $\times$  10<sup>5</sup> cells/mL and were cultured for 14 days in the medium conditions described above. On days 0, 4, and 8, the cells were treated with a cytokine regimen containing 100 ng/mL GM-CSF (Sanofi, Bridgewater, NJ, USA), 2.5 ng/mL TNF $\alpha$  (PeproTech, Rocky Hill, NJ, USA), and 10 ng/mL human TGF $\beta$  (Thermo Fisher). Medium was replenished on day 8 of differentiation.

To generate 5637 conditioned medium, 5637 cells were cultured in RMPI 1640, 1X with L-glutamine (Corning) supplemented with 10% heat-inactivated human fetal bovine serum (Omega Scientific), 50  $\mu$ M 2-mercaptoethanol (Thermo Fisher), and 1X gentamycin (Lonza, Walkersville, MD, USA) at 37 °C with 5% CO2. At 80% confluency, cells were harvested, seeded at a density of  $15\times10^6$  in a 175 cm² tissue culture flask, and were allowed to reach confluency overnight. The medium was replaced at 24 h and collected at 72 h post seeding.

The spontaneously immortalized keratinocyte cell line, HaCaT, was cultured in Dulbecco's modification of Eagle's medium (DMEM) with 4.5 g/L glucose, L-glutamine, and sodium pyruvate (Corning, 10-013CV, NY, USA) supplemented with 10% heat-inactivated human fetal bovine serum (Omega Scientific), and 1X gentamycin (Lonza, Walkersville, MD, USA) at 37 °C with 5% CO<sub>2</sub>. Cells were passaged at 80% confluency.

#### 2.2. Transmission Electron Microscopy

Cells were pelleted and fixed in 2.5% glutaraldehyde. Cells were post fixed with 1% osmium tetroxide (Electron Microscopy Sciences, Hatfield, PA, USA) in deionized water for 1 h at room

temperature. The pellets were treated with 1% uranyl acetate for 1 h and, then, dehydrated through a graded addition of ethanol for 5 min each. Finally, the samples were embedded in epoxy resin of Eponate, NMA, DDSA, and DMP-30. Then, the pellets were sectioned into 70 nm ultrathin sections. Samples were imaged using a Jeol 2000 transmission electron microscope (JEOL USA, Peabody, MA, USA).

#### 2.3. Immunoelectron Microscopy

Cells were processed for immunogold labeling, as previously described [22–24]. They were high-pressure frozen in PBS containing 20% BSA (Millipore-Sigma, Burlington, MA, USA) using an EMPact2 with RTS (Leica Microsystems, Vienna, Austria). Freeze-substitution was performed in acetone containing 0.1% uranyl acetate and 2% water in a Leica AFS2 (Leica Microsystems, Vienna, Austria). Then, cells were embedded in HM20 and UV polymerized at -50 °C for 24 h. Next, samples were sectioned into 70 nm sections, picked up on formvar-coated copper grids, and blocked for 10 min in blocking buffer (0.5% BSA in PBS). Primary goat anti-langerin (1:100; R&D Systems, Minneapolis, MN, USA), goat anti-anxA2 (1:1000; R&D Systems), and goat anti-S100A10 (1:1000; R&D Systems) were diluted in blocking buffer. After centrifugation at 14,000 rpm for 2 min, the supernatant was used to label the blocked sections for 30 min at RT, followed by five washes for 2 min each in 0.01% PBS Tween-20. Then, a rabbit anti-goat bridging antibody was diluted at 1:50 in blocking buffer. After centrifugation at 14,000 rpm for 2 min, the supernatant was used to label the sections for 30 min at RT, followed by five washes for 2 min each in 0.01% PBS Tween-20. Finally, 10 nm protein A gold (Electron Microscopy Sciences) was diluted to 1:50 in blocking buffer and used to label sections for 30 min at RT, followed by three washes for 2 min each with PBS and two washes for 2 min each with deionized water. The antibody-labeled sections were examined at 80 kV on a Morgagni 268 (FEI, Hillsboro OR, USA).

#### 2.4. shRNA-Mediated Knockdown of A2/A2t

MUTZ-3 cells were transduced at a MOI of 5 with human annexin A2 shRNA or control shRNA lentiviral particles (Santa Cruz Biotechnology, Dallas, TX, USA) following the manufacturer's protocol. Stably transduced cells were selected with puromycin. Then, A2/A2t knockdown MUTZ-3 cells were differentiated to M-LC, as described above. Knockdown of annexin A2 and S100A10 was, then, confirmed via Western blot.

#### 2.5. Western Blot and Protein Quantification

Cells were lysed using RIPA buffer (Thermo Fisher) with Halt protease inhibitor (Thermo Fisher). Protein was quantified using a NanoDrop 2000 spectrophotometer (Thermo Fisher). Samples were run on a 10% Bis-Tris agarose gel with MES (Thermo Fisher). Gels were transferred using the iBlot2 mini system (Thermo Fisher) and were blocked in PBS Starting Block Blocking Buffer (Thermo Fisher) prior to primary antibody staining overnight at 4 °C with anti-anxA2 (BD Biosciences, San Jose, CA, USA), anti-S100A10 (BD Biosciences), anti-langerin (Cell Signaling Technology, Danvers, MA, USA), anti-beta-actin (Cell Signaling Technology), or GAPDH (Cell Signaling Technology). Secondary antibodies, anti-mouse IRDye 800CW (LI-COR, Lincoln, NE, USA), and anti-rabbit (H+L) Alexa Fluor 680 (Thermo Fisher), were added for 1 h at room temperature. Membranes were imaged using the Odyssey imaging system (LI-COR) and protein images were analyzed and quantified using Image Studio Lite software (version 5.2.3, LI-COR).

#### 2.6. Flow Cytometry

Flow cytometry samples were collected using a Cytomics FC500 flow cytometer (Beckman Coulter, Brea, CA, USA) and data were analyzed using CXP software v2.2 (Beckman Coulter, Indianapolis, IN, USA). The following antibodies were purchased from BioLegend (San Diego, CA, USA): PE anti mouse/human CD207, PerCP/Cy5.5 anti-human CD1a, PE mouse IgG1, and PerCP/Cy5.5 mouse IgG1.

For intracellular staining, cells were prepared according to the Affymetrix eBioscience protocol. Briefly, single cell suspensions were treated with IC Fixation Buffer, 1X Permeabilization Buffer, and the appropriate antibody, prior to being analyzed via flow cytometry.

#### 2.7. Quantification of BG

Stereology was performed as described in Griffiths 1993 and was used to quantitate BG present in sections of 20 M-LC and 20 anxA2/A2t KD M-LC. Samples were blinded, and cells were chosen at random. Undifferentiated, aberrant, apoptotic cells, cells with no dendrites, and cells damaged during preparation (large cracks) were excluded. Each cell was imaged at a magnification of  $22,000\times$  (scale = 325 pixels/µm) and the images were stitched together using Fiji software package (v20170530, National Institutes of Health, Bethesda, MD, USA) to create whole-cell images. A lattice grid (grid distance of 1 µm) containing a systematic system of test points (points of intersection of test lines) was placed over each of the whole-cell micrographs. The number of points landing over BG and BG-like profiles was counted, as was the total number of points over the entire cytoplasm profile (Figure S3). To quantify differences in BG abundance, the following parameters were studied where P = points:

Points landing on BG per points landing on cytoplasm =  $P_{BG}/P_{cytoplasm}$ ; Points landing on BG-like structures per points landing on cytoplasm =  $P_{BG-like}/P_{cytoplasm}$ . A membrane structure was counted as BG-like if:

- 1. Sheet-shaped membrane =
- 2. Or elongated sheet-shaped invagination of the plasma membrane;
- 3. Not ER (no ribosomes);
- 4. Not Golgi (not part of a Golgi stack);
- 5. Not vesicular.

A membrane was counted as BG if as BG-like but with a clear membrane-membrane boundary ("zipper") anywhere within the membrane =

#### 2.8. Statistical Analysis and Software Used

For EM images, statistical analysis was done using Stata v14.0 software (StataCorp, College Station, TX, USA) and verified by biostatistics consultation through the Southern California Clinical and Translational Science Institute (SC CTSI, Los Angeles, CA, USA). Data were plotted as box and whisker plots, and the Wilcoxon rank sum test was used to determine significance between groups. Significance was assigned to a value of  $p \le 0.05$ . For all other experiments, statistical analyses were performed using GraphPad Prism (v8, GraphPad Software, San Diego, CA, USA). Details for each individual experiment can be found in figure legends.

#### 3. Results

#### 3.1. MUTZ-3-Derived LC Are an Appropriate Model to Study BG Structure

In lieu of a commercially available cell line, LC studies often rely upon peripheral blood mononuclear cells (PBMC) isolated from human donors. However, using freshly isolated primary cells for structural studies of BG has several limitations, including donor heterogeneity. PBMC-derived LC cannot be maintained in a culture long term and are not amenable to gene manipulation. To work around these limitations, our study of BG structure utilized the immortalized MUTZ-3 cell line, which was generated from a CD34+ human acute myeloid leukemia [25]. Following a 14-day differentiation via cytokine regimen, langerin-expressing MUTZ-3-derived LC (M-LC) are generated with a consistent conversion rate of 30% to 40% as assessed by positive langerin (CD207) and CD1a expression and negative DC-SIGN expression (Figure S1A). These differentiated cells are phenotypically similar to

primary human LC and have the same expression profile for langerin, CD1a, E-cadherin, HLA-DR, and other markers that are characteristic of LC [26,27]. M-LC are also functional in inducing anti-tumor T cell immunity [27], have similar transcription profiles to primary LC [28], and have been previously used to study BG sequestration of HIV [29]. Furthermore, M-LC have an abundance of BG [27], making it an ideal model system for our research question.

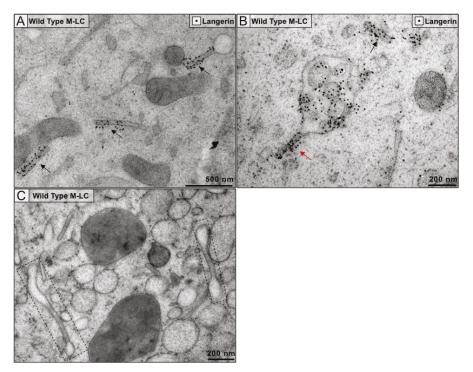
### 3.2. Immunogold Staining of Langerin in M-LC Gives Insight into Proper BG Structure Formation and Demonstrates a Novel BG Structure

The gold standard to study BG morphology is using TEM to capture 2D cross-sections of LC. The samples used for this process were prepared by high-pressure freezing and freeze-substitution, which provided excellent preservation of cellular structures and preserved epitopes for immunolabeling. We used a primary antibody, followed by a bridging rabbit anti-primary antibody and protein A bound to 10 nm gold particles to visualize the distribution of langerin in M-LC. The bridging antibody allowed us to standardize the labeling reaction across primary antibodies and also amplify the signal [30]. Langerin is a highly abundant, locally concentrated protein; gold particles found within images are localized to the cell and are rarely found in extracellular spaces (background = 0.22 gold/ $\mu$ m²), indicating the specificity of the labeling (Figure S1B).

As others have reported, we observed abundant cytoplasmic BG and robust labeling of langerin localized to the BG rods (Figure 1A). The distribution of langerin labeling, as indicated by the arrows, highlights that langerin primarily localizes to the rod and is absent from the head portions of BG. These findings support previous observations that BG rod striations are formed through langerin interactions [17,31]. Langerin was also found at the cell surface and in invaginations at the plasma membrane, likely demonstrating endocytosis of surface langerin or recycling of langerin back to the surface (Figure S1C). As langerin trafficking and accumulation in the RE is a requisite to BG formation, it is not surprising that cytoplasmic vesicles containing langerin staining were also observed (Figure S1D).

BG have been established as subdomains of the ERC and bud from the RE through interactions between accumulated langerin and the Rab11a/myosin Vb/Rab11-FIP2 complex [5,16]. BG morphology is unique as compared with the RE in TEM; while RE are typically large vesicles (>500 nm diameter) containing internal membranes, BG are relatively smaller, consisting of a translucent vesicular lobe (<200 nm diameter) connected to a striated rod. For the first time, we captured the proposed BG budding event, from an RE containing intracellular langerin stores (Figure 1B). In this cross-section, distinct langerin labeling and membrane zippering show the formation of a BG budding from the RE. This vesicle is likely an RE, due to its size, the presence of internal membranes, and labeling of accumulated langerin. From this image, it appears that BG formation begins with langerin interactions creating the CMS as the head portion is not apparent and the budding rod is still attached to the RE. These findings provide visual support of the collective evidence regarding langerin recycling, trafficking and accumulation, and its driving role in BG formation from the RE.

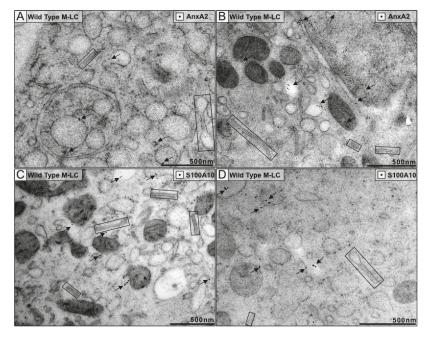
In addition to the typical tennis-racket shaped BG structures, we also observed BG that resembled dumbbells in these electron micrographs (Figure 1C). According to the current model, BG are disk-shaped structures with a spherical vesicle-like formation at one of the ends [3]. However, these dumbbells demonstrate that BG are composed of multiple lobules or vesicles connected to a central CMS. To further understand the three-dimensional (3D) morphology of these dumbbell-shaped BG, we imaged serial sections of M-LC (Figure S1E). The same connectivity between a BG-sheet and the RE was also visible in serial sections (Figure S1E, arrow in bottom left corner of −200 nm through +200 nm). As these structures have not been observed in primary LC, these dumbbells could be unique to the MUTZ-3 system. It is possible that these structures do exist in primary cells but have not yet been reported. It is noteworthy, however, that these dumbbell structures were seen frequently in M-LC, as represented in Figure 1C and Supplemental Figure S1E.



**Figure 1.** Birbeck granules in wild type MUTZ-derived langerhans cells (LC) (M-LC) have abundant langerin labeling localized to the cytomembrane sandwiching structure (CMS). (**A**) 10 nm gold particles (black arrows) show langerin labeling is localized to the CMS in Birbeck granules (BG); (**B**) Langerin labeling is contained within a cytoplasmic multivesicular endosome, likely a recycling endosome. Here, a BG CMS through langerin zippering from these stores is visible (red arrow); (**C**) BG structures containing multiple vesicular lobes on each end of the CMS (boxes). Images are representative of at least three biological replicates with a minimum of three grids each.

#### 3.3. Neither Subunit of A2t Colocalizes to BG Structures

A2t localization in M-LC was determined by immunolabeling using a polyclonal goat anti-annexin A2 antibody. This polyclonal antibody was raised against the full length of the anxA2 protein and allowed us to maximize the epitopes that could be recognized. AnxA2 binds membrane phospholipids, which was observed with gold labeling localized to the plasma membrane and membranes of organelles, as well as in the nucleus. We did not observe consistent localization of anxA2 labeling to BG (Figure 2A,B, Figure S2A,B). Since the anxA2 staining was not abundant, we verified the lack of A2t localizing to BG by also staining for S100A10. A polyclonal goat anti-S100A10 antibody raised against the full length of S100A10 was used to label the protein in M-LC. Similar to anxA2, S100A10 labeling was predominantly localized to non-BG structures, but some BG were labeled (Figure 2C,D and Figure S2C,D). The low levels of labeling only allowed us to speculate, based on the abundance of BG structures and the rare labeling of either anxA2 or S100A10 on them, that BG do not contain substantial amounts of A2t at steady state.

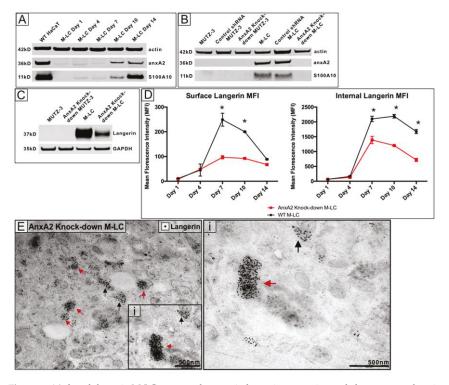


**Figure 2.** Neither subunit of the annexinA2 S100A10 heterotetramer (A2t) localize to BG in wild type M-LC. Immunoelectron microscopy with 10 nm gold particles was used to visualize the cellular distribution of anxA2 (**A**,**B**) and S100A10 (**C**,**D**). BG are boxed and gold labels are indicated by arrows. Images represent staining from tree independent biological replicates and a minimum of 3 grids.

#### 3.4. Knockdown of A2t Results in Reduced Expression and Non-BG Localization of Langerin

Because anx A2 is a key player in endosome biogenesis [32], next, we sought to investigate other ways that A2t could contribute to BG formation, such as mediating internal and surface langerin expression. Interestingly, predifferentiated MUTZ-3 do not express anxA2, however, M-LC do. M-LC lysates collected throughout the 14-day differentiation showed A2t expression beginning around day 10 (Figure 3A). AnxA2 was knocked-down in predifferentiated MUTZ-3 using a lentiviral sh RNA, causing S100A10 degradation as well (Figure 3B). We also found that overall langerin expression was decreased with anxA2 knockdown M-LC as compared with wild type (WT) M-LC (Figure 3C). Quantification of Western blot band densities are found in Supplemental Table S1.

In the steady state, langerin is regularly recycled from the cell surface through the ERC to form BG, and then trafficked back [5,14]. We sought to determine if the decreased langerin expression could be attributed to a recycling defect resulting in an altered distribution between intracellular and surface langerin. To examine this, we compared expression of surface and intracellular langerin in WT and anxA2 knockdown MUTZ-3 throughout the 14 days of M-LC differentiation via flow cytometry. Within anxA2 knockdown M-LC, surface langerin expression was significantly decreased on days 7 and 10 as compared with the WT M-LC (Figure 3D). Internal langerin expression was also significantly decreased in anxA2 knockdown M-LC on days 7, 10, and 14 (Figure 3D). These data indicate that anxA2 is involved in transport of langerin to the cell surface, as its absence significantly decreases surface langerin expression. It also suggests that langerin expression is dependent upon the expression of anxA2.



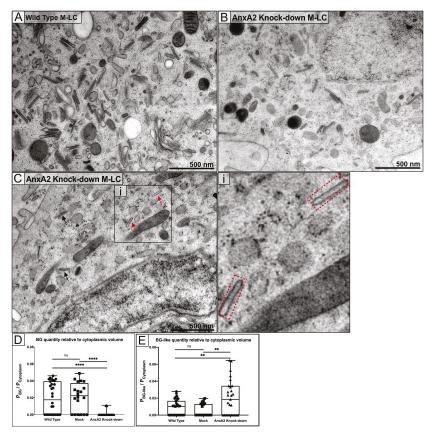
**Figure 3.** A2t knockdown in M-LC causes a decrease in langerin expression and aberrant cytoplasmic localization. **(A)** M-LC were collected throughout the 14-day differentiation for analysis of A2t expression via Western blot. The human keratinocyte cell line HaCaT were used as a positive control; **(B)** Western blot of MUTZ-3 and fully differentiated, day-14 M-LC show successful A2t knockdown in M-LC; **(C)** At day 14 of differentiation, langerin expression is decreased with A2t knockdown as compared with the WT M-LC; **(D)** Surface and internal langerin expression was assessed via flow cytometry in both wild type and A2t knockdown MUTZ-3 throughout differentiation. Data represents three biological replicates (n = 9) (\* p < 0.05, paired Student's t-test); **(E)** Black arrows indicate langerin labeling specific to BG and red arrows show abnormal, non-BG associated langerin clusters.

#### 3.5. The Absence of A2t Results in Abnormal Cellular Distribution of Langerin and Incomplete BG Formation

To investigate changes with intracellular langerin distribution, we compared immunolabeled anxA2 in WT and knockdown M-LC. Intracellular langerin labeling in anxA2 knockdown M-LC visibly differed from WT M-LC (Figure 3E). In striking contrast to the robust rod-shaped labeling patterns seen in WT M-LC (Figure 1), langerin was found highly concentrated in clusters contained in vesicles throughout the cytosol, similar to the occasional endosomal labeling staining seen in WT M-LC. In the few discernable BG, langerin labeling was inconsistent and only partially localized to some BG structures (Figure 3E). Aberrant distribution of langerin observed with anxA2 knockdown suggests impaired or abnormal trafficking since langerin is no longer localized to BG structures and is instead contained in clusters throughout the cytosol.

The most significant effect of anxA2 knockdown on M-LC was the near-disappearance of proper BG formation (Figure 4A,B). In addition to the decreased BG abundance, most BG found were incomplete as they were missing the central rod striations and had fewer misshapen heads but retained the unique flattened dimensions of BG rods (Figure 4C). We termed these abnormal structures as "BG-like". To quantify the effect of anxA2 knockdown on BG formation, we compared WT and anxA2

knockdown M-LC using stereology [30], stitching together whole-cell cross-sections from individual TEM micrographs taken at 22,000×. Randomly chosen cells from two biological replicates were imaged and analyzed in 20 mock-infected, 30 wild type, and 27 anxA2 knockdown M-LC. BG and BG-like structures were quantified by overlaying 1  $\mu$ m spaced grid on the cell and counting the grid intersection points that fall either on BG (PBG and PBG-like) or in the cytoplasm (Pcytoplasm) (Figure S3). The ratio of BG to cytoplasm in anxA2 knockdown M-LC was significantly decreased (p < 0.0001) as compared with wild type M-LC (Figure 4D). BG-like occurrence in anxA2 knockdown was also significantly increased as compared with wild type M-LC (p = 0.0056) (Figure 4E).



**Figure 4.** BG formation is significantly reduced in the absence of A2t. Wild type M-LC (**A**) contain an abundance of cytoplasmic BG as compared with A2t knockdown M-LC (**B**). At the same magnification, the relative abundance of cytoplasmic BGin WT and A2t knockdown M-LC is compared; (**C**) BG-like structures (red arrows) were observed in anxA2 knockdown M-LC and the missing striation through the center of the CMS is visualized (red box, insert i); (**D**,**E**) Stereology was used to analyze images for BG/BG-like quantification in wild type, mock-infected, and anxA2 knockdown M-LC. A 1  $\mu$ m grid was overlaid to quantify cytoplasmic volume (Pcytoplasm) and BG abundance (PBG). Each point represents a single cell. Box and whisker plots show minimum, 1st quartile, median, 3rd quartile, and maximum values for each of the groups. \*\* p < 0.01, \*\*\*\* p < 0.0001, one-way ANOVA followed by Tukey's multiple comparisons test. Data are representative of two biological replicates.

#### 4. Discussion

In this body of work, we have described an essential role for A2t in BG formation. Annexins have been shown to have diverse cellular functions and are known for their membrane remodeling capabilities [33]. A2t itself is a multifunctional protein comprised of two monomeric annexin A2 (anxA2) subunits bridged by an \$100A10 dimer and has been described in cellular trafficking events such as promoting actin remodeling and facilitating endosomal transport [32,33]. Interestingly, anxA2 is found specifically within the membranes of Rab11a<sup>+</sup> RE and proper intracellular distribution of RE is dependent upon anxA2 [34,35]. Using the transferrin receptor to model the ERC, A2t depletion drastically alters the shape and distribution of recycling endosomes [35]. The literature demonstrates A2t regulation of RE morphology and cellular distribution, processes which are also utilized in LC for the formation of BG.

Given the relatively unknown processes regulating BG formation, we cannot definitively describe how A2t is involved in this process. The loss of proper BG formation in the absence of A2t shows a defect in the ability of langerin to interact to form CMS and ultimately BG. We speculate, based upon the literature and the observed BG structural abnormalities in this study, that A2t acts in conjunction with Rab11a at the RE to promote langerin interactions and the formation of BG. Despite our efforts, we were not able to discern any localization of A2t in BG or a direct functional role that A2t plays in mediating langerin trafficking and BG formation. However, since A2t often acts as a scaffolding protein, it could facilitate BG structural formation indirectly. As such, we would not necessarily expect to find it localized to BG via IEM imaging following formation in a steady state.

On the basis of the data presented in this study, the loss of BG and the formation of BG-like structures can be attributed to anxA2 deficiency. In the absence of A2t, langerin trafficking through the ERC is disrupted, leading to langerin clustering and accumulation throughout the cytosol and a significant loss of BG formation. For further elucidation of the role of anxA2 in BG formation, additional studies would be needed to investigate whether anxA2 physically interacts with langerin or Rab11a. Future mechanistic studies could also involve time course experiments studying changes in trafficking of langerin in the absence of anxA2. Overall, we have highlighted A2t as a new, previously unidentified, player in Langerhans cell BG formation and added to the foundational knowledge of BG for future studies that focus on characterizing their biogenesis, structures, and functions.

Supplementary Materials: The following are available online at <a href="http://www.mdpi.com/2073-4409/9/4/974/s1">http://www.mdpi.com/2073-4409/9/4/974/s1</a>, Figure S1: MUTZ-3 derived LC is an acceptable model to study BG morphology and langerin localization using IEM, Figure S2: Supplemental images to Figure 2 of AnxA2 and S100A10 labeling in WT M-LC, Table S1: Western blot band quantifications. Figure S3: Whole-cell composite example for quantifying BG an BG-like structures using stereology.

**Author Contributions:** Conceptualization, W.M.K., D.M.D.S., and J.G.S.; methodology, W.M.K., D.M.D.S., S.M.T., V.D.S., C.B., and J.G.S.; formal analysis, S.M.T. and C.B.; investigation, S.M.T., V.D.S., and C.B.; resources, C.B., J.G.S., K.P.L., J.R.T., D.M.D.S., and W.M.K.; writing—original draft preparation, S.M.T.; writing—review and editing, S.M.T., C.B., J.G.S., J.R.T., D.M.D.S., and W.M.K.; visualization, S.M.T. and C.B.; supervision, D.M.D.S. and W.M.K.; project administration, D.M.D. and W.M.K.; funding acquisition W.M.K. All authors have read and agreed to the published version of the manuscript.

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Article

## Annexin A2 Egress during Calcium-Regulated Exocytosis in Neuroendocrine Cells

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**Abstract:** Annexin A2 (AnxA2) is a calcium- and lipid-binding protein involved in neuroendocrine secretion where it participates in the formation and/or stabilization of lipid micro-domains required for structural and spatial organization of the exocytotic machinery. We have recently described that phosphorylation of AnxA2 on Tyr<sup>23</sup> is critical for exocytosis. Considering that Tyr<sup>23</sup> phosphorylation is known to promote AnxA2 externalization to the outer face of the plasma membrane in different cell types, we examined whether this phenomenon occurred in neurosecretory chromaffin cells. Using immunolabeling and biochemical approaches, we observed that nicotine stimulation triggered the egress of AnxA2 to the external leaflets of the plasma membrane in the vicinity of exocytotic sites. AnxA2 was found co-localized with tissue plasminogen activator, previously described on the surface of chromaffin cells following secretory granule release. We propose that AnxA2 might be a cell surface tissue plasminogen activator receptor for chromaffin cells, thus playing a role in autocrine or paracrine regulation of exocytosis.

Keywords: annexinA2 egress; exocytosis; chromaffin cells

#### 1. Introduction

Molecules such as neurotransmitters and hormones are secreted by calcium-regulated exocytosis [1,2]. In neuroendocrine cells, exocytosis implies the recruitment and subsequent fusion of secretory granules at specific sites of the plasma membrane. The scaffolding protein annexin A2 (AnxA2) is a promoter of these sites of exocytosis in cells activated for secretion. AnxA2 binds two major actors of exocytosis, actin and phospholipids. In chromaffin cells, electron tomography has revealed that actin filaments bundled by AnxA2 contribute to the formation of lipid micro-domains at the plasma membrane required for the spatial and functional organization of the exocytotic machinery [3–5]. More recently, we have found that AnxA2 needs to be phosphorylated on Tyr<sup>23</sup> to stabilize the lipid platform determining the exocytotic site, and then dephosphorylated to bundle actin filaments for stably anchoring granules, implying that the phosphorylation cycle of AnxA2 on Tyr<sup>23</sup> is critical for neuroendocrine secretion [6].

In some cell types, one of the consequences of the AnxA2 phosphorylation is the egress of AnxA2, i.e., its passage through the plasma membrane. For instance, AnxA2 appeared on the cell surface of endothelial cells following a thermal shock [7], calcium-stimulated fibroblasts [8] or depolarized cortical neurons [9]. In neurons, cell surface AnxA2 was found to interact with tissue plasminogen activator (t-PA), which is involved in synaptic plasticity and memorization [10] as well as in neuronal death

via plasmin proteolysis activity [11]. In chromaffin cells, one study reported that AnxA2 is "secreted" unconventionally in the extracellular medium following nicotine stimulation [12]. This release of AnxA2 was correlated with catecholamine secretion and found to be independent of cell death.

Having recently shown that the AnxA2 is phosphorylated on Tyr<sup>23</sup> during exocytosis [6], we examined whether Tyr<sup>23</sup> phosphorylation of AnxA2 could lead to its externalization in chromaffin cells. Using immunolabeling and biochemical approaches, we show here that nicotine stimulation triggers the egress of AnxA2 to the external leaflets of the plasma membrane and identify tissue plasminogen activator (t-PA) as a potential partner at the chromaffin cell surface.

#### 2. Materials and Methods

#### 2.1. Antibodies and Reagents

Rabbit polyclonal antibodies directed against AnxA2 purified from bovine aorta were a generous gift from J.C. Cavadore (Inserm U-249) [13]. Monoclonal antibodies anti-AnxA2 and anti-pTyr<sup>23</sup>-AnxA2 (85.Tyr24) were purchased respectively from BD Transduction Laboratories (Pont de Claix, France) and Santa Cruz Biotechnology Inc. (Dallas, TX, USA). Mouse monoclonal antibodies directed against dopamine \( \mathbb{G}\)-hydroxylase (EC.1.14.17.1: DBH), used to specifically label secretory granules in chromaffin cells [14], were purchased from Sigma-Aldrich (St. Louis, MO, USA) and the rabbit polyclonal anti-chromogranin A (CgA) from Abcam (Amsterdam, Netherlands). Rabbit polyclonal anti-mouse tissue plasminogen activator (t-PA) antibodies were from Molecular Innovations (Novi, MI, USA). Mouse monoclonal antibodies against 5100A10 were from Transduction Laboratories (Lexington, KY, USA). Sheep polyclonal antibodies against bovine phenyl ethanolamine *N*-transferase (PNMT) were purchased from Chemicon International Inc., (Temecula, CA, USA) and TRITC- or Atto-647 *N*-phalloidin from Sigma-Aldrich (St. Louis). Rabbit polyclonal anti-CD63 antibodies were from Santa Cruz Biotechnology Inc (Dallas, TX, USA). Secondary antibodies coupled to Alexa Fluor® conjugates (488, 561 or 647) or gold particles were from Molecular Probes (Invitrogen, Cergy Pontoise, France) and Aurion (Wageningen, Netherlands) respectively.

The construct allowing for the simultaneous expression of the catalytic subunit of the tetanus toxin (TTx) and the GFP was generated by subcloning both the eGFP and the TTx (residues 1 to 457, a generous gift from Thomas Binz [15]) into a bidirectional expression vector. The eGFP was PCR amplified as a BglII/PstI fragment using 5′-TATAGATCTCGCCACCATGGTGAGCAAGGGCGA-3′ and 5′-CGCCTGCAGTTACTTGTACAG CTCGTCCATGC-3′ primers and cloned into the MCS2 of the pBI-CMV1 vector (TAKARA Bio USA, Mountain View, CA, USA). Then, the TTx was PCR amplified as an MluI/NotI fragment using 5′-TATACGCGTGCCACCATGCCGATCACCATCAAC-3′ and 5′-TATGCGGCCGCTTAAGCGGT ACGGTTGTACAG-3′ primers and cloned into the MCS1 of the pBI-GFP vector. The botulinum C toxin (Bot C Tx) plasmid expressing the light chain of the toxin [16] was co-transfected with pmaxGFP to identify cells expressing the toxin.

#### 2.2. Chromaffin Cell Culture and Transfection

Chromaffin cells were isolated from fresh bovine adrenal glands by perfusion with collagenase A, purified on self-generating Percoll gradients and maintained in culture as previously described [17]. To induce exocytosis, chromaffin cells were washed twice with Locke's solution (140 mM NaCl, 4.7 mM KCl, 2.5 mM CaCl<sub>2</sub>, 1.2 mM KH<sub>2</sub>PO<sub>4</sub>, 1.2 mM MgSO<sub>4</sub>, 11 mM glucose, 0.56 mM ascorbic acid, 0.01 mM ethylene diamine tetraacetic acid (EDTA) and 15 mM Hepes, pH 7.5), and then stimulated either with Locke's solution containing 20  $\mu$ M nicotine or high K+ solution (86,9 mM NaCl, 59 mM KCl, 2.5 mM CaCl<sub>2</sub>, 1.2 mM KH<sub>2</sub>PO<sub>4</sub>, 1.2 mM MgSO<sub>4</sub>, 11 mM glucose, 0.56 mM ascorbic acid, 0.01 mM EDTA and 15 mM Hepes, pH 7.2).

TTx-GFP was transfected into chromaffin cells ( $5 \times 10^6$  cells) by electroporation (Amaxa Nucleofactor systems, Lonza, Levallois, France) according to the manufacturer's instructions. Electroporated cells

were immediately recovered in warm culture medium and plated onto fibronectin-coated glass coverslips. Experiments were performed 48 h after transfection.

#### 2.3. Cell Stimulation and Extracellular AnxA2 and t-PA Measurements

Two days after plating in 5 cm Petri dishes,  $10 \times 10^6$  chromaffin cells were washed for 5 min with Locke's solution, then 5 min with Locke's solution without Ca<sup>2+</sup> (140 mM NaCl, 4.7 mM KCl, 1.2 mM KH<sub>2</sub>PO<sub>4</sub>, 1.2 mM MgSO<sub>4</sub>, 0.25 mM ethylene glycol-tetraacetic acid (EGTA), 1 mM glucose, 125 µM ascorbate and 15 mM HEPES, pH 7.5) to discard cellular debris. Cells were then stimulated for 5 min with 1 mL of either 20  $\mu$ M nicotine in Locke's solution or of high  $K^+$  solution. Similarly, control cells were treated with Locke's solution. The media of stimulated cells were recovered (fraction "secreted material") and the cells were further treated for 5 min with calcium-free Locke's solution containing 20 mM EGTA (fraction "EGTA eluate"). Finally, cells were scraped into 500 μL lysis buffer (Cell Extraction Buffer, Novex®, Fisher Scientific, Illkirch-Graffenstaden, France) supplemented with protease inhibitor cocktail (P8340, Sigma-Aldrich, St. Louis). The lysates were sonicated and centrifuged and the supernatants were recovered. Secreted material and the EGTA eluate fractions were concentrated 10 times to obtain 50 µL (Spin-X UF, Corning, Wiesbaden, Germany) for AnxA2 and t-PA detection. Aliquots of supernatants were used for the lactate dehydrogenase (LDH) activity assay (QuantiChromTM LDH, D2DH-100, BioAssay Systems, Hayward, CA, USA). To isolate extracellular vesicles, the secreted material was centrifuged at  $300 \times g$  for 10 min at 4 °C to discard cellular debris, then the supernatant was centrifuged at  $100,000 \times g$  for 2 h at 4 °C. The pellet was suspended in 15  $\mu$ L of lane marker reducing sample buffer (Thermo Scientific, Illkirch Graffenstaden, Franch). Then the samples were separated on a 4-12% SDS-PAGE gel (Novex, Thermo Scientific), blotted to nitrocellulose with the Trans-blot Turbo System (Biorad, Marnes-la Coquette, Franch) and revealed with Substrat chemiluminescent SuperSignal™ (West Femto, Thermo Scientific).

#### 2.4. Immunofluorescence and Confocal Microscopy

For immunocytochemistry, chromaffin cells, grown on fibronectin-coated glass coverslips, were fixed and labeled as described previously [14]. The transient accessibility of DBH to the plasma membrane of chromaffin cells was tested by incubating cells for 5 min in Locke's solution containing 20  $\mu\text{M}$  nicotine and anti-DBH antibodies diluted to 1:100. F-actin was stained with TRITC-phalloidin (0.5  $\mu\text{g/mL}$ ) for 15 min in the dark at room temperature. Labeled cells were visualized using a Leica SP5II confocal microscope. Nonspecific fluorescence was assessed by incubating cells with the secondary fluorescent-conjugated antibodies. To compare the labeling of cells from different conditions within the same experiment, images were acquired at the equatorial plane of the nucleus with the same parameters of the lasers and photomultipliers. The amount of AnxA2 or t-PA labeling associated with the plasma membrane was measured with ICY software [18] and expressed as the average fluorescence intensity normalized to the labeling surface, and divided by the total area of each cell. This allowed a quantitative cell-to-cell comparison of the fluorescence detected in cells.

#### 2.5. Plasma Membrane Sheet Preparation and Transmission Electron Microscopy Observation

Cytoplasmic face-up membrane sheets were prepared and processed as previously described [19]. Briefly, carbon-coated Formvar films on nickel electron grids were inverted onto unstimulated or nicotine-stimulated chromaffin cells incubated with antibodies. To prepare membrane sheets, pressure was applied to the grids for 20 s, then the grids were lifted so that the fragments of the upper cell surface adhered to the grid. These membrane portions were fixed in 2% paraformaldehyde for 10 min at 4 °C. After blocking in PBS with 1% BSA and 1% acetylated BSA, the immune labeling was performed and revealed with gold particle-conjugated secondary antibodies. These membrane portions were fixed in 2.5% glutaraldehyde in PBS, postfixed with 0.5% OsO4, dehydrated in a graded ethanol series, treated with hexamethyldisilazane (Sigma-Aldrich, St. Louis), air-dried and observed using a Hitachi 7500 transmission electron microscope.

#### 2.6. Statistical Analysis

As specified in figure legends, groups of data are presented as mean (±SEM) or median and were analyzed using a Mann-Whitney test. Asterisks in each box and whisker plot indicate statistical significance.

#### 3. Results

### 3.1. AnxA2 Crosses the Plasma Membrane in Stimulated Chromaffin Cells in a Ca<sup>2+</sup>-Dependent Manner and Accumulates on the Extracellular Membrane Leaflet

Bovine chromaffin cells represent a good model to study regulated exocytosis [20]. They express various nicotinic receptors and accordingly exocytosis is triggered by nicotinic agonists [21,22]. To examine whether AnxA2 could be found on the cell surface of stimulated chromaffin cells, living chromaffin cells maintained under resting conditions or stimulated with nicotine were incubated in the presence of anti-AnxA2 antibodies to specifically label AnxA2 potentially present on the cell surface. Cells were then fixed and labeled with phalloidin-TRITC to reveal the actin cytoskeleton. Resting cells characterized by a typical F-actin ring at the cell periphery displayed only faint cell surface AnxA2 labeling (Figure 1a). In contrast, while F-actin labeling decreased in stimulated cells in line with the actin depolymerization occurring during exocytosis, the labeling of AnxA2 on the cell surface increased (Figure 1a). In some experiments, cells stimulated with nicotine in the presence of the AnxA2 antibodies were further washed with a calcium-free Locke's solution containing 20 mM EGTA to collect proteins bound in a calcium-dependent manner to the cell surface (S + EGTA). Washing cells with EGTA led to a diminution of the cell surface AnxA2 labeling. Semi-quantitative analysis of the confocal images (Figure 1b) indicated that cell stimulation increased by approximately three times the amount of AnxA2 detected on the surface of chromaffin cells and confirmed the calcium sensitivity of AnxA2 binding to the plasma membrane.

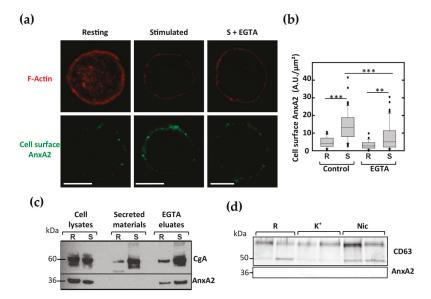


Figure 1. Annexin A2 (AnxA2) is present on the outer face of the plasma membrane of stimulated chromaffin cells. (a) AnxA2 labeling at the surface of chromaffin cells in the resting condition (Resting), stimulated with  $20 \mu M$  nicotine without (Stimulated) or after washing with calcium-free Locke's solution

containing 20 mM EGTA (Stimulated + EGTA). Anti-AnxA2 antibodies were revealed with Alexa Fluor®488-conjugated anti-rabbit antibodies and F-actin with TRITC-phalloidin. Confocal images were recorded in the same optical section by a dual exposure procedure with the same parameters of lasers and photomultipliers. Scale bar: 10 μM. (b) Semi-quantitative analysis of cell surface AnxA2 labeling in chromaffin cells in resting condition (R) or stimulated with 20 µM nicotine (S) without (Control) or after EGTA wash (EGTA). AnxA2 labeling is expressed in arbitrary units. Statistical significance for medians was determined using a Mann-Whitney test. Dotted lines indicate the mean and asterisks statistical significance (\*\*\* = p < 0.001, \*\* = p < 0.01). Three experiments were done on independent cell cultures and pooled (n = 32 and 63 control cells, 28 and 63 EGTA-treated cells for resting and stimulated conditions, respectively). (c) Lysate, secreted material and EGTA eluate from chromaffin cells in the resting condition (R), or stimulated with 20 µM nicotine (S) were analyzed by western blot and revealed with anti-CgA and anti-AnxA2 antibodies. Data correspond to a typical experiment representative of three independent experiments. (d) The 100,000 g pellet of secreted materials from chromaffin cells in the resting condition (R), or stimulated with high K<sup>+</sup> solution (K<sup>+</sup>) or 20 µM nicotine (Nic) were analyzed by western blot and revealed with anti-CD63 and anti-AnxA2 antibodies. Samples analyzed were obtained from two independent experiments.

Next, a biochemical approach was performed using fractions collected from nicotine-stimulated chromaffin cells. As illustrated in Figure 1c, AnxA2 was not found in the secreted material containing chromogranin A (CgA), but it could be detected in the calcium-free EGTA solution (EGTA eluates) used to wash cells after stimulation and in the cell lysates. In line with the absence of AnxA2 in secretory granules, these data suggest that AnxA2 is not secreted via the conventional exocytotic pathway but it is found bound in a calcium-dependent manner on the extracellular face of the plasma membrane following cell stimulation. Moreover, we did not detect AnxA2 in 100,000 g pellets of secreted materials (Figure 1d) containing the specific marker of extracellular vesicle CD63 [23]. Thus, AnxA2 did not seem to be associated with the extracellular vesicles released after cell stimulation.

#### 3.2. Tyr <sup>23</sup> Phosphorylated AnxA2 Tetramer Binds the External Face of the Plasma Membrane

In chromaffin cells, we have previously showed that AnxA2 can be found in monomeric and tetrameric forms, associated with two S100A10 molecules [19]. We examined whether cell surface AnxA2 was phosphorylated on Tyr<sup>23</sup> and whether S100A10 was present on the cell surface upon cell stimulation. Live chromaffin cells were stimulated with nicotine in the presence of anti-pTyr<sup>23</sup> AnxA2 or anti-S100A10 antibodies. Both antibodies labeled the surface of stimulated cells, suggesting that cell surface AnxA2 is  $Tyr^{23}$  phosphorylated and associated with S100A10. Thus, AnxA2 tetramer could be present on the external face of the plasma membrane (Figure 2a,b). Bovine chromaffin cells are constituted of two populations: the adrenergic cells secreting adrenaline and noradrenergic cells secreting noradrenaline [24], and we have previously showed that S100A10 is selectively expressed in adrenergic cells [14]. Thus, we performed a staining experiment for cell surface AnxA2 together with S100A10 or phenylethanolamine N-methyltransferase (PNMT), selectively expressed in adrenergic cells [24]. Figure 2c shows a S100A10-positive cell close to two S100A10-negative cells and all cells display a similar staining of cell surface AnxA2. Accordingly, cell surface AnxA2 labeling was observed on PNMT-positive and -negative cells (Figure 2d). Altogether, these data suggest that AnxA2 translocated through the plasma membrane of stimulated chromaffin cells even in the absence of S100A10.

#### 3.3. The Egress of AnxA2 is Linked to Exocytosis

To probe the idea that AnxA2 egress is related to exocytosis, we labeled in parallel cell surface AnxA2 and the exocytotic sites using anti-DBH antibodies [14]. In live cells stimulated for exocytosis, the granule-associated DBH becomes transiently accessible to the antibody only at sites of exocytosis, leading to the appearance of fluorescent patches at the cell surface [14].

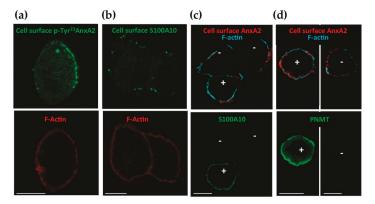


Figure 2. Tyr<sup>23</sup>-phosphorylated AnxA2 and S100A10 are associated with the surface of stimulated chromaffin cells. (a) The p-Tyr<sup>23</sup>AnxA2 labeling at the surface of stimulated chromaffin cells. Anti-p-Tyr<sup>23</sup>AnxA2 antibodies were revealed with Alexa Fluor<sup>®</sup>488-conjugated anti-mouse antibodies and F-actin with TRITC-phalloidin to visualize the cell shape. (b) S100A10 labeling at the surface of stimulated chromaffin cells. Anti-S100A10 antibodies were revealed with Alexa Fluor<sup>®</sup>488-conjugated anti-mouse antibodies and F-actin with TRITC-phalloidin. (c) Confocal micrograph of the triple labeling of cell surface AnxA2, of intracellular S100A10 and of F-actin labeled with Atto-647 *N*-phalloidin in stimulated chromaffin cells. (d) Confocal micrographs of the triple labeling of cell surface AnxA2, of intracellular PNMT and of F-actin labeled with Atto-647 *N*-phalloidin in stimulated chromaffin cells. For (a–d), confocal images were recorded in the same optical section by a dual exposure procedure. Scale bar: 10 μM.

As illustrated in Figure 3a, resting chromaffin cells exhibited only a few DBH patches, confirming the low levels of baseline exocytotic activity in the absence of secretagogue, and displayed only a weak cell surface AnxA2 staining. Stimulation for 5 min with nicotine triggered the appearance of a patchy pattern of DBH surface staining and concomitantly increased cell surface AnxA2 labeling (Figure 3a). We also observed the co-localization between DBH and AnxA2 at the cell surface (Figure 3a, merge). Semi-quantitative analysis indicated that  $65.5 \pm 4.12\%$  ( $\pm SEM$ , n = 27) of the cell surface AnxA2 labeling colocalized with DBH labeling. This indicated that the AnxA2 egress primarily takes place in the vicinity of the exocytotic sites. Next, we examined the time course of AnxA2 appearance on the cell surface of nicotine-stimulated chromaffin cells using a semi-quantitative analysis (Figure 3b). Egress of AnxA2 was observed after 30 s of stimulation and it peaked after 60 s. Thus, the kinetics of AnxA2 egress correlated well with the rapid kinetics of AnxA2 Tyr<sup>23</sup> phosphorylation during exocytosis, but preceded the maximal exocytotic response usually observed after 180 s of stimulation in our experimental conditions [6]. To confirm the link between the AnxA2 egress and exocytosis, we examined whether the formation of the Soluble NSF Attachment Proteins REceptor (SNARE) complexes was necessary for the externalization of AnxA2. A bicistronic plasmid encoding for tetanus toxin (TTx) and GFP was expressed in chromaffin cells. TTx is known to specifically cleave VAMP2, a protein required for SNARE complex formation and exocytosis [25]. As illustrated in Figure 3c, cell surface AnxA2 labeling was reduced in stimulated cells expressing TTx as compared to electroporated control cells not expressing TTx. Semi-quantitative analysis confirmed that expression of TTx reduced AnxA2 egress in stimulated cells (Figure 3d). Thus, VAMP2 cleavage and the consequent inhibition of the SNARE complex formation reduced AnxA2 externalization and its appearance on the cell surface. Similar results were obtained in cells expressing botulinum toxin C, which cleaves SNAP-25 and syntaxin-1 (Figure 3c,d). These findings indicate that the egress of AnxA2 seems to depend on the formation of SNARE complexes and therefore on the completion of exocytosis in chromaffin cells.

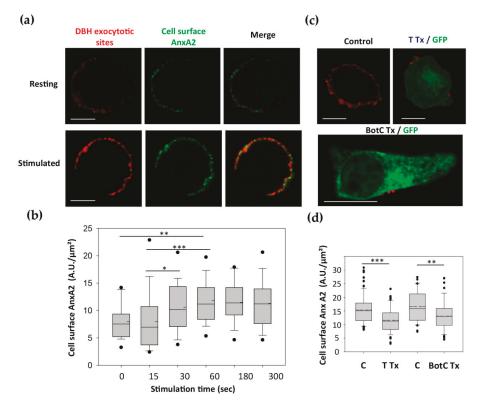
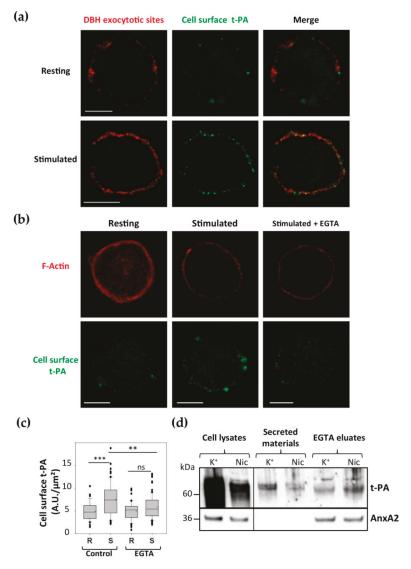


Figure 3. AnxA2 egress was correlated with exocytosis. (a) Dual labeling of cell surface AnxA2 and exocytotic sites. Cells were stimulated with nicotine 20 µM in the presence of anti-DBH and anti-AnxA2 antibodies. Cells were then fixed and incubated with secondary antibodies coupled to Alexa Fluor®561 and Alexa Fluor®488, respectively. Confocal images were recorded in the same optical section and with the same parameters of lasers and photomultipliers. Scale bar: 10 µM. (b) Time course of AnxA2 egress after cell stimulation. Chromaffin cells were stimulated with 20 µM nicotine during different times in the presence of AnxA2 antibodies. The cell surface AnxA2 labeling is expressed in arbitrary units. Statistical significance for medians was determined using a Mann-Whitney test. Dotted lines indicate the mean and asterisks statistical significance (\*\*\* = p < 0.001, \*\* = p < 0.01, \* = p < 0.05). Two experiments were done on independent cell cultures and pooled. Number of cells analyzed were 19 (0 s), 25 (15 s), 29 (30 s), 23 (60 s), 21 (180 s). (c) Effect of tetanus and botulinum C toxins on the AnxA2 egress in chromaffin cells. Electroporated cells expressing TTx/GFP, BotC Tx/GFP or no toxin (Control, C) were stimulated with high K+ solution in the presence of anti-AnxA2 antibodies, fixed and then incubated with secondary antibodies coupled to Alexa Fluor®561. Confocal images were recorded in the same optical section. Scale bar: 10 μM. (d) Semi-quantitative analysis of cell surface AnxA2 labeling in stimulated cells is expressed in arbitrary units (Control n = 79 cells, TTx/GFP n = 69 cells, Control n = 39 cells, BotC Tx/GFP n = 39 cells). Statistical significance for medians was determined using a Mann-Whitney test. Dotted lines indicate the mean and asterisks statistical significance (\*\*\* = p < 0.001, \*\* = p < 0.01). Three experiments were done on independent cell cultures and pooled.

#### 3.4. Cell Surface Membrane-Associated t-PA is Present Close to Exocytotic Sites

Cell surface AnxA2 has been described as a co-receptor of t-PA [26]. Cell surface AnxA2 tetramer was shown to capture circulating plasminogen and t-PA to promote plasmin generation that participates in fibrinolysis [26]. Moreover, previous studies reported the presence of t-PA in secretory granules

and its release upon chromaffin cell stimulation [27], and t-PA was found to bind to the cell surface by interacting with an unknown receptor [28]. We confirmed the presence of t-PA at the surface of chromaffin cells (Figure 4). Double labeling of living cells stimulated with nicotine in the presence of anti-t-PA and anti-DBH antibodies revealed the appearance of a patchy pattern of DBH surface staining and a concomitant increase in t-PA labeling (Figure 4a). We also observed the co-localization between t-PA and DBH at the cell surface (Figure 3a, merge). Semi-quantitative analysis indicated that  $68 \pm 2.7\%$  ( $\pm$ SEM, n = 33) of the cell surface t-PA labeling colocalized with DBH labeling.



**Figure 4.** Tissue plasminogen activator (t-PA) is present on the outer leaflet of the plasma membrane of stimulated chromaffin cells. (a) Dual labeling of cell surface t-PA and exocytotic sites. Cells were stimulated with nicotine  $20~\mu M$  in the presence of anti-t-PA and anti-DBH antibodies. Cells were then fixed and incubated with secondary antibodies coupled to Alexa Fluor®488 and Alexa Fluor®551,

respectively. Confocal images were recorded in the same optical section and with the same parameters of lasers and photomultipliers. Scale bar:  $10~\mu M$ . (b) The t-PA labeling on the surface of chromaffin cells in the resting condition (R), stimulated with  $20~\mu M$  nicotine without (S) or after EGTA wash (S + EGTA). Anti-t-PA antibodies were revealed with Alexa Fluor<sup>®</sup> 488-conjugated anti-rabbit antibodies and F-actin with TRITC-phalloidin to visualize the cell shape. Confocal images were recorded in the same optical section by a dual exposure procedure. Scale bar:  $10~\mu M$ . (c) Semi-quantitative analysis of t-PA labeling on the cell surface of chromaffin cells in the resting condition (R), stimulated with  $20~\mu M$  nicotine (S) without (Control) or after EGTA wash (S + EGTA). The t-PA labeling is expressed in arbitrary units. Statistical significance for medians was determined using a Mann-Whitney test. Dotted lines indicate the mean and asterisks statistical significance (\*\*\*\* = p < 0.001, \*\* = p < 0.01). Three experiments were done on independent cell cultures and pooled (n = 36 and 65 control cells, 40 and 75 EGTA-treated cells for resting and stimulated conditions, respectively). (d) Lysate, secreted material and EGTA eluate from chromaffin cells in the resting condition (R) or stimulated with nicotine  $20~\mu M$  (S) were analyzed by western blot and revealed with anti-t-PA and anti-AnxA2 antibodies. Data correspond to a typical experiment representative of three independent experiments.

We further characterized the binding of t-PA at the cell surface of stimulated chromaffin cells using a similar approach to that used to visualize cell surface AnxA2. Live cells were maintained at rest or stimulated with nicotine in the presence of anti-t-PA antibodies prior to fixation. In resting cells, t-PA labeling was barely visible, whereas in stimulated cells, a clear increase in cell surface labeling was observed (Figure 4b). Washing the nicotine-stimulated cells with calcium-free EGTA containing Locke's solution prior to incubation with the anti-t-PA antibodies resulted in a clear reduction in t-PA labeling (Figure 4b). Semi-quantitative analysis (Figure 4c) confirmed that cell stimulation increased by approximately 2.5-fold the t-PA staining on the surface of chromaffin cells and validated the calcium sensitivity of t-PA binding to the plasma membrane. Figure 4d illustrates a western blot analysis of the fractions collected from cells stimulated with nicotine or high K<sup>+</sup> solution and subsequently washed for 5 min with EGTA solution. In the cell lysates and secreted material, t-PA was found, in line with the presence of t-PA in secretory granules. It was also detected in the EGTA eluates, indicating that part of the t-PA released by exocytosis remained bound to the cell surface in a calcium-dependent manner. CgA, a major component stored in chromaffin granules and released by exocytosis, also bound to the cell surface following secretion (Figure 1c).

#### 3.5. AnxA2 and t-PA are Side by Side at Cell Surface of Stimulated Chromaffin Cells

To further explore the spatial relationship between t-PA, AnxA2 and the sites of exocytosis, experiments were designed to analyze at the ultrastructural level the outer face of the chromaffin cell plasma membrane. The localization of cell surface AnxA2 or t-PA and granule membranes transiently inserted into the plasma membrane after exocytosis was examined on plasma membrane sheets from chromaffin cells stimulated with 20 µM nicotine in the presence of anti-DBH antibodies to reveal exocytotic granule membranes [29] and anti-AnxA2 or anti-t-PA antibodies (Figure 5). Cells were fixed and labeled with anti-mouse antibodies revealed with 10 nm gold particles and anti-rabbit antibodies revealed with 15 nm gold particles to label DBH/anti-DBH complexes and AnxA2/anti-AnxA2 or t-PA/anti-t-PA, respectively. Ultrastructural images obtained by transmission electron microscopy revealed the appearance of gold-labeled DBH clusters on the cell surface (Figure 5a), corresponding to the insertion of the granular membrane in the plasma membrane of nicotine-stimulated cells [29]. Cell surface AnxA2, as well as t-PA (Figure 5a,b), tended to localize at the periphery of the DBH-labeled areas. Double-labeling experiments with anti-t-PA and anti-AnxA2 antibodies using a combination of 10 and 15 nm gold particles indicated AnxA2 and t-PA formed mixed clusters at the cell surface (Figure 5c,d). Both types of beads were found in close proximity, suggesting a possible interaction of t-PA with AnxA2 at the surface of stimulated chromaffin cells, in line with the idea that AnxA2 could be a t-PA receptor at the surface of chromaffin cells.

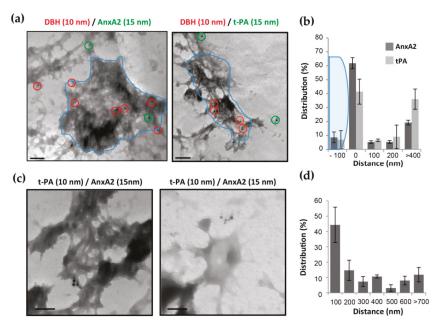


Figure 5. Membrane topography of AnxA2, t-PA and exocytotic sites after immunogold labeling of the outer face of the plasma membrane sheets prepared from stimulated chromaffin cells. (a) Plasma membrane sheets were prepared from bovine chromaffin cells stimulated by nicotine for 5 min. To label DBH, AnxA2 and t-PA exposed at the surface of cells undergoing exocytosis, anti-DBH, anti-AnxA2 and anti-t-PA antibodies were added during stimulation. Membrane sheets were labeled with anti-mouse antibodies coupled to 10 nm gold particles to detect DBH antibodies revealing exocytotic sites (red circle) and rabbit antibodies coupled to 15 nm gold particles to label AnxA2 or t-PA (green circle). (b) The histogram represents the relative distribution of 15 nm gold particles as a function of their distance from the granule membrane once inserted in the plasma membrane (blue line). The distance was measured and the number of particles was counted manually with Photoshop. Three experiments were done on independent cell cultures (c). Double staining experiment for t-PA (10 nm gold particles) and AnxA2 (15 nm gold particles) were performed with the same protocol. Scale bar: 100 nm. (d) The histogram represents the relative distribution of 10 nm gold particles (t-PA) as a function of their distance from 15 nm gold particles (AnxA2). The distance was measured and the number of particles was counted manually with Photoshop. Two experiments were done on two independent cell cultures.

#### 4. Discussion

During neuroendocrine secretion, AnxA2 participates together with the actin cytoskeleton in the formation of lipid micro-domains required for the docking and fusion of secretory granules with the plasma membrane [5,13,19]. We have recently observed that AnxA2 needs to be phosphorylated on Tyr<sup>23</sup> to form these lipid platforms supporting exocytosis [6]. Since Tyr<sup>23</sup> phosphorylation of AnxA2 has been reported to induce its translocation from the inner to the outer side of the plasma membrane [7], we examined here whether AnxA2 might be externalized in neurosecretory chromaffin cells. In agreement with these findings, the present report favors a model in which AnxA2 is not conventionally secreted in the extracellular medium, but rather translocates through the plasma membrane and remains attached to the surface of stimulated chromaffin cells. Here, p-Tyr<sup>23</sup>-AnxA2 was found associated with the outer face of the plasma membrane in a calcium-dependent interaction. Hence, our observations are in agreement with previous results obtained in endothelial cells [7] and keratinocytes [30], but also in neurosecretory GABAergic neurons [9]. AnxA2 egress is closely linked

to the process of exocytosis since the externalization of AnxA2 required the formation of the SNARE complexes and occurred near the sites of secretory granule fusion. In addition, at the cell surface, AnxA2 was found to co-localize with t-PA in a calcium-dependent manner. We propose that cell surface AnxA2 could function as a receptor for secreted t-PA.

#### 4.1. By Which Mechanism is AnxA2 Translocated to the Cell Surface in Chromaffin Cells?

Despite the description of many extracellular functions for AnxA2, the mechanism underlying its translocation across the plasma membrane to the cell surface remains unclear. There are a number of soluble proteins lacking signal peptides which are secreted in the extracellular medium through a process called "unconventional secretion" [31]. Two major pathways for this secretion involve either the direct translocation across the plasma membrane or the secretion via extracellular vesicles. For instance, in NIH 3T3 fibroblasts, the cell surface appearance of AnxA2 has been linked to the fusion of multi-vesicular bodies with the plasma membrane, whereas in intestinal epithelial cells, it depends on the fusion of secretory vesicles with the plasma membrane, and in stimulated macrophages or ultraviolet-irradiated keratinocytes it requires caspase-1 activation [32]. In chromaffin cells, the implication of secretory granules and extracellular vesicles is unlikely since AnxA2 was neither found in the soluble secreted material nor associated with the extracellular vesicles released after cell stimulation, but detected on the outer face of the plasma membrane where it bound in a calcium-dependent manner. Furthermore, we did not find AnxA2 in a 100,000 g pellet of the secreted material, suggesting that AnxA2 is not associated with the extracellular vesicles released after cell stimulation. Consequently, the most likely mechanism for AnxA2 membrane translocation involves direct insertion into the lipid bilayer, allowing the passage of AnxA2 through the plasma membrane.

The insertion of annexins into model membranes has been demonstrated in vitro for several members of this large family of proteins (AnxA1, A2, A4, A5 and A6) [33]. Within cells, AnxA2 was also shown to translocate across membranes [34]. AnxA2 membrane translocation requires calcium-dependent binding to negatively charged phospholipids such as phosphatidylserine (PS) and a lipid flipping activity. Accordingly, the phospholipid scramblase TMEM16F was reported to contribute to AnxA2 membrane crossing [35]. A similar mechanism has been described for the unconventional secretion of fibroblast growth factors (FGFs) [36], which also requires calcium and is linked to PS egress [37]. It is tempting to draw parallels with AnxA2 in chromaffin cells, since secretagogue-evoked stimulation of chromaffin cells triggers the appearance of PS at the cell surface, presumably at the periphery of the granule fusion sites [29,38]. This PS egress depends on the lipid scramblase PLSCR-1 [39]. The contribution of PLSCR-1 in AnxA2 egress needs now to be tested in chromaffin cells. Finally, an alternative mechanism has been proposed in enterocytes, which involves the extrusion of AnxA2 during hemifusion [40].

#### 4.2. What Might be the Role of Cell Surface AnxA2?

The most well-documented role of cell surface AnxA2 is as co-receptor of t-PA and plasminogen [26]. Plasminogen and t-PA were previously described on the surface of chromaffin cells but their receptors remained unidentified [41]. Of note, t-PA was also detected in the secretory granules of a subpopulation of chromaffin cells [42]. In the present report, t-PA and AnxA2 were both found on the surface of chromaffin cells. Both t-PA and cell surface AnxA2 were eluted by EGTA, indicating that both proteins bind to the membrane in a calcium-dependent manner. Although the direct interaction of t-PA with chromaffin cell surface AnxA2 remains to be demonstrated, AnxA2 is able to interact with t-PA and its primary substrate, plasminogen [43]. Thus, by recruiting released t-PA and circulating plasminogen, cell surface AnxA2 might well serve as an extracellular proteolytic center that locally generates plasmin. Plasmin is known to cleave released CgA into a variety of biologically active peptides, some of which may significantly inhibit the nicotinic stimulation of catecholamine release from PC12 cells and primary bovine adrenal chromaffin cells [28]. For instance, the fragment CgA<sub>360-373</sub> is selectively generated by plasmin and the corresponding synthetic peptide markedly inhibited nicotine-induced catecholamine

release [44]. Plasmin generated at the cell surface could also activate signals, leading to protein kinase C-mediated phosphorylation of intracellular AnxA2, thereby dissociating the AnxA2 complex and preventing further catecholamine release [32]. In neuronal tissues, plasminogen activators and cell surface AnxA2 have both been detected at high levels and implicated in processes like neuronal plasticity and synaptic remodeling within the hippocampus and cerebellum during memory [10].

Additionally, AnxA2, via its ability to sequester and laterally organize PS [45], could promote the formation or stabilization of PS-rich domains in the external leaflet of the plasma membrane. These AnxA2-mediated clusters of PS at the cell surface may represent a concentrated signal for endocytosis as has been shown for AnxA5 and phagocytosis [46]. Finally, we cannot exclude that the AnxA2 tetramer could potentially interact with neighboring phospholipid membranes and therefore serve as a bridge between two adjacent cells [47].

To summarize, we propose that, upon cell stimulation, AnxA2 is recruited to the plasma membrane to form a tetramer with S100A10. Once phosphorylated at the plasma membrane, AnxA2 phosphorylated on Tyr<sup>23</sup> stabilizes lipid micro-domains required to recruit/organize the priming/docking machinery for exocytosis. Although AnxA2 Tyr<sup>23</sup> dephosphorylation is required to promote the formation of actin bundles that strongly anchor secretory granules to the exocytotic sites, a fraction of Tyr<sup>23</sup>-phosphorylated AnxA2 appears to cross the plasma membrane near exocytotic sites. At the cell surface, AnxA2 as a co-receptor of t-PA could thus participate in various autocrine and/or paracrine activities.

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Article

# Membrane Binding Promotes Annexin A2 Oligomerization

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**Abstract:** Annexin A2 (AnxA2) is a cytosolic Ca<sup>2+</sup> regulated membrane binding protein that can induce lipid domain formation and plays a role in exocytosis and endocytosis. To better understand the mode of annexin-membrane interaction, we analyzed membrane-bound AnxA2 assemblies by employing a novel 3-armed chemical crosslinker and specific AnxA2 mutant proteins. Our data show that AnxA2 forms crosslinkable oligomers upon binding to membranes containing negatively charged phospholipids. AnxA2 mutants with amino acid substitutions in residues predicted to be involved in lateral protein–protein interaction show compromised oligomer formation, albeit still being capable of binding to negatively charged membranes in the presence of Ca<sup>2+</sup>. These results suggest that lateral protein–protein interactions are involved in the formation of AnxA2 clusters on a biological membrane.

**Keywords:** annexin A2; microdomain; cross-linker; quartz crystal microbalance with dissipation monitoring (QCM-D)

## 1. Introduction

Biological membranes can segregate into microdomains of defined lipid and protein composition that serve diverse but yet very specific tasks. One category of these microdomains, often referred to as lipid rafts, is enriched in cholesterol as well as sphingomyelin species present in the extracellular leaflet and in certain cases phosphatidylinositol (4,5)-bisphosphate [PI(4,5)P<sub>2</sub>] present in the cytoplasmic leaflet. Rafts are particularly well studied in the plasma membrane of eukaryotic cells, where they serve as assembly and transmission platforms in outside-in as well as inside-out signaling and also regulate membrane trafficking events to and from the plasma membrane (for review see [1-3]). To function in these processes, rafts have to be highly dynamic, both with respect to lipid and protein composition as well as size, thus they assemble into larger structures and also disassemble again on a rapid time scale. Dynamic assembly/disassembly is driven by intrinsic properties of the raft lipids and proteins and is affected, among other things, by cholesterol content and degree of fatty acid saturation in the incorporated phospho- and sphingolipids. Importantly, raft dynamics are also controlled by membrane-associated proteins that function by binding to raft lipids or proteins and affect their properties and distribution. These associated proteins are often regulated in their membrane association and thus raft-controlling properties enable cells to rapidly respond to certain stimuli by altered membrane microdomain assembly. One important regulatory event is a change in intracellular Ca<sup>2+</sup> concentration and a number of raft-associated proteins whose membrane interaction is regulated

by  $Ca^{2+}$  (for review see [4]). Annexins are a family of such  $Ca^{2+}$  regulated proteins that bind to acidic phospholipids in the cytoplasmic leaflets of cellular membranes in a peripheral and reversible manner (for review see [5–7]).

Annexin A2 (AnxA2) is a member of the annexin family that has been shown to associate with raft-like microdomains in certain cells and certain physiological scenarios (for review see [8]). As other annexins, it directly binds to headgroups of negatively charged phospholipids and requires the presence of these lipids in membranes (and raft domains) for high-affinity association. One such lipid is  $PI(4,5)P_2$ , and AnxA2 has been shown to interact with this phosphoinositide in a specific manner [9,10]. In addition to binding to  $PI(4,5)P_2$  and other acidic phospholipids, in particular phosphatidylserine (PS), in a  $Ca^{2+}$  regulated manner, the protein can also form two-dimensional assemblies on  $PI(4,5)P_2$  or PS containing model membranes and can cluster these lipids into domains [11–14]. Most likely, this lipid segregating property of AnxA2 is responsible for a function of the protein in regulating membrane-cytoskeleton contacts, cell polarity, and exocytotic granule docking and fusion [15–19]. However, the molecular basis underlying the phospholipid segregating properties of AnxA2, in particular the role of potential protein-protein interactions in this process, is not known.

Structurally, AnxA2 has a fold similar to all other annexins. It comprises a conserved core domain that is built of four repeats, each with five  $\alpha$  helices, and a unique N-terminal domain mediating interactions with protein ligands. The core forms a slightly bent structure, where type-II  $\text{Ca}^{2+}$  binding sites as well as the membrane binding site are located on the convex side. A high resolution crystal structure of AnxA2 has been obtained revealing anti-parallel dimers of AnxA2 in the crystallized unit [20] and amino acid side chains residing at this dimer interface could provide lateral contacts in two-dimensional AnxA2 assemblies.

Here we have investigated the oligomeric state of membrane-bound AnxA2 to assess whether protein–protein interactions could represent the molecular mechanism underlying AnxA2-driven  $PI(4,5)P_2$  and PS segregation. Therefore, we developed a novel chemical crosslinker that revealed the existence of AnxA2 oligomers. These oligomers only form in the presence of  $Ca^{2+}$  and require membrane binding, thus could represent the molecular structures driving a segregation of AnxA2-bound phospholipids. Moreover, mutating residues present at the dimer interface identified positions that reside in close proximity in membrane-bound AnxA2 oligomers and could participate in oligomer formation.

## 2. Materials and Methods

### 2.1. Lipids, Chemicals

Cholesterol (Chol), 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC), 1-palmitoyl-2-oleoyl-sn-glycero-3-phoshocholine (POPC), 1-palmitoyl-2-oleoylsn-glycero-3-phosho-L-serine (sodium salt) (POPS), and 1,2-dioleoyl-sn-glycero-3 [phosphoinositol-4,5-bisphosphate](triammonium salt) (PI(4,5)P<sub>2</sub>) were purchased from Avanti Polar Lipids Inc. (Alabaster, AL, USA). Lipids and cholesterol were dissolved in chloroform/methanol (1:1, v/v), except for PI(4,5)P<sub>2</sub> that was dissolved in chloroform/methanol/water (20:9:1 v/v). Other chemicals were purchased from Applichem (Darmstadt, Germany), Merck KGaA (Darmstadt, Germany), Carl Roth GmbH (Karlsruhe, Germany) and Sigma-Aldrich (Munich, Germany). Water was purified and deionized with a cartridge system from Millipore (18.2 M $\Omega$ ). For all the SDS-PAGE and Western blots the marker PageRuler Plus Prestained Protein Ladder from Thermo Scientific was used (Waltham, MA, USA).

## 2.2. Crosslinker Synthesis

Biotinyl N-Tris((2-(2.5-dioxopyrrolidin-1-yl propionate triethyleneglycolamido) ethoxy) methyl) methylamide, herein referred to as  $Biotin_{3xNHS}X$ -Linker, was synthesized as described in detail in the Supplementary Information (SI). Briefly, spacer synthesis started with 2- (2- (2-chloroethoxy) ethoxy) ethanol, which was converted into the ester (1) in a MICHAEL reaction with t-butyl

acrylate and sodium in tetrahydrofuran (THF) (Figure 1). The chloride (1) was then mixed with sodium azide (NaN<sub>3</sub>) and the azide obtained was reduced to the amine-terminated spacer with triphenylphosphine. In the convergent procedure, Tris (hydroxymethyl) aminomethane (THAM) was converted with t-butyl acrylate and sodium hydroxide (NaOH) in THF to the triple-functionalized amine (3), which was then protected with benzyl chloroformate (4). This protective group shows stable behavior in the case of tri-fluoro acetic acid (TFA) initiated acidic hydrolysis. This was followed by selective TFA deprotection of the ester-protected hydroxyl group with subsequent peptide coupling of the molecule (4) and the spacer (2). In the next step, the N-Cbz protective group was removed under reductive conditions using hydrogen and palladium on activated carbon (Pd/C). The amine (5) obtained was further processed under peptide coupling conditions with D(+)biotin, diisopropylethylamine (DIPEA), and benzotriazol-1-yl-oxytripyrrolidinophosphonium hexafluorophosphate (PyBOP) to yield the biotin-functionalized product (7). The activated crosslinker (Biotin $_{3xNHS}X$ -linker) was obtained after deprotection of the ester units and direct functionalization of the carboxylic acids with N-hydroxysuccinimide (NHS) and N, N'-dicyclohexylcarbodiimide (DCC) in THF.

**Figure 1.** Synthesis of the  $Biotin_{3xNHS}X$ -Linker: (**I.**) t-butylacrylate, sodium, THF, 16h, 13%, (**II.**) 1.  $NaN_3$ , DMF, 70 °C, 2d, 2.  $PPh_3$ ,  $H_2O$ , THF, 4d, quant., (**III.**) t-butylacrylate, NaOH, DMSO, 15 °C and then warm up to rt, 20h, 23%, (**IV.**) benzyl chloroformate,  $Na_2CO_3$  (aq.), DCM, 4d, 94%, (**V.**) 1. TFA, DCM, 2h, 2. (**2**), DIPEA, PyBoP, DMF, 24 h, 69%, (**VI.**)  $H_2$ , Pd/C, MeOH, 3d, 88%, (**VII.**) D(+)Biotin, DIPEA, PyBoP, DMF, 18h, 38%, (**VII.**) 1. TFA, DCM, Toluol, 2h, 2. N-hydroxysuccinimide, DCC, THF, 3d, quant. See SI and Figures S1 and S2 for details.

# 2.3. Liposome Preparation and Co-Sedimentation

Lipids dissolved in chloroform/methanol were mixed at the desired molar ratio and composition. Chloroform was evaporated under a stream of nitrogen and traces of solvent were removed in vacuum for 4 h. Lipid films were stored at 4 °C until use. Liposomes were formed by hydration of the lipid film in a PBS –/– buffer. Small unilamellar vesicles (SUVs, 50 nm) or large unilamellar vesicles (LUVs, 100 or 200 nm) were obtained by extrusion through polycarbonate membranes (Avanti Polar Lipids

Inc.). SUVs were employed in quartz crystal microbalance with dissipation monitoring (QCM-D) experiments to facilitate vesicle rupture that occurs following vesicle coalescence on the sensor surface and results in the formation of a stable bilayer [21]. LUVs were used in liposome co-sedimentation and crosslinking experiments to ensure efficient co-pelleting and prevent rupture more often observed with high curvature vesicles.

Co-sedimentation experiments employed liposomes composed of POPC:Chol:POPS (60:20:20) with a defined size of 200 nm at a final concentration of 1 mg/mL. Liposomes were incubated for 1h at 4 °C with the desired AnxA2 derivative at a liposome/protein ratio of 10/1 ( $\mu$ L/ $\mu$ g) in PBS –/– buffer containing 1 mM CaCl<sub>2</sub>. Ultracentrifugation (UC) was performed to pellet the liposomes (96600 g, 4 °C for 20 min), the supernatant was collected and the pellet resuspended in 500  $\mu$ L of PBS with 1 mM CaCl<sub>2</sub>, followed by 20 min incubation at 4 °C. After a second UC, the supernatant was collected and the pellet was resuspended in 500  $\mu$ L of PBS with 5 mM EGTA and incubated for 30 min at 4 °C. A third UC yielded a supernatant (EGTA eluate) and a pellet that was resuspended in 500  $\mu$ L of PBS with 5 mM EGTA. All fractions were analyzed via SDS-PAGE and immunoblotting with AnxA2-specific antibodies [22].

#### 2.4. QCM-D Measurements

Quartz Crystal Microbalance with Dissipation (QCM-D) analysis was performed as described before [21,23] using a Q-Sense E4 QCM-D (Q-Sense, Gothenburg, Sweden) equipped with four temperature controlled flow cells in a parallel configuration connected to a peristaltic pump (Ismatec IPC, Glattbrugg, Switzerland), at a flow rate of 80.4  $\mu$ L/min. A bilayer was established by fusion of SUVs composed of POPC/DOPC/Chol/POPS/PI(4,5)P2 (37:20:20:20:3). Binding measurements were performed at 20 °C in HBS buffer supplemented with 250  $\mu$ M Ca²+ and AnxA2 constructs at 50 nM. 250  $\mu$ M Ca²+ and a relatively complex lipid mixture were chosen in the QCM-D experiments to directly compare the results to our previous data obtained by QCM-D analysis of AnxA2 and other AnxA2 mutants [21,24]. Frequency and dissipation shifts of the 7th overtone resonance frequency of the quartz sensor (QSX 303, 50 nm SiO2, 4.95 MHz) were recorded. OriginPro v. 9.1 (OriginLab Corp.) was used for data analysis.

#### 2.5. Crosslinking of AnxA2

LUVs (100 nm) composed of POPC:DOPC:Chol:POPS (40:20:20:20) were used at 3.33 µg/µL in HBS pH 7,4. Control #1 contained only the AnxA2 derivative (60 µg) in 1 mM CaCl<sub>2</sub>, i.e., a reaction in the absence of membranes, while control #2 contained a mixture of AnxA2 (60 μg) with 100 µg of LUVs, 5 mM EGTA, and 0.3 mM Biotin<sub>3xNHS</sub>X-Linker, i.e., a reaction in the absence of  $Ca^{2+}$ . The actual  $Ca^{2+}$ /crosslink sample consisted of LUVs (100  $\mu$ g), AnxA2 (60  $\mu$ g), 1 mM CaCl<sub>2</sub>, and 0.3 mM Biotin<sub>3xNHS</sub>X-Linker. A Ca<sup>2+</sup> concentration of 1 mM was used in these experiments to ensure efficient phospholipid binding of the protein. All components were mixed with exception of the Biotin<sub>3xNHS</sub>X-Linker, which was added after 30 min, and incubation was then continued for another 30 min while shaking. The reaction was stopped with 5× PAGE sample buffer without  $\beta$ -mercaptoethanol. For a better separation in the gel, 3  $\mu$ L of a 100 mM EGTA solution was added to each sample. Samples were kept for 15 min at RT before analysis by 10% SDS-PAGE. Gels were stained with Coomassie Brilliant Blue. Quantification of crosslinked oligomer bands was achieved by gating the area in the stained gel lane above the position of AnxA2 dimers in all samples and relating its intensity to that of the monomer band in the respective sample. In this quantification, the actual dimer band was excluded because AnxA2 species migrating at the dimer position in SDS-PAGE, which do not reflect the physical state of the protein in solution and most likely form during SDS sample preparation, have been observed before [22]. They would mask an association not caused by the crosslink. Image Studio Lite (LI-COR Corporate Offices, NE, USA) and Graphpad Prism 4 (GraphPad Software, San Diego, CA, USA) were used for quantification.

## 2.6. Mutagenesis

The human AnxA2 cDNA carrying a substitution at amino acid 66 (glutamate-for-alanine) to establish a monoclonal antibody epitope was cloned into the pSE420 expression vector as described [11] to yield pSE420-AnxA2A66E.

AnxA2 6x (pSE420-AnxA2A66E\_6x) was generated by mutating 6 amino acids in the template pSE420-AnxA2A66E using site-directed mutagenesis as described [23]. Mutations were introduced at amino acid positions: 81 (K to A), 189 (E to K), 196 (R to S), 206 (K to A), 212 (K to S) and 219 (E to K). The following primers were employed in the mutagenesis reactions: K81A\_For 5'-CCAGAG AAGGACCAAAGCGGAACTTGCATCAGCAC-3' and K81A\_Rev 5'-GTGCTGATGCAAGTTCCGC TTTGGTCCTTCTCTGG-3'. E189K\_For 5'-GGCTCTGTCATTGATTATAAACTGATTGACCAAGA TGCTC-3' E189K\_Rev 5'-GAGCATCTTGGTCAATCAGTTTATAAACTGATTGACCAAGA For 5'-CGCTGGAGTGAAGAGGGCAGGAACTGATGTTCCC-3' K206A\_Rev 5'-GGGAACATCA GTTCCTGCCCTCTTCACTCCAGCG-3', R196S\_For 5'-CTGATTGACCAAGATGCTAGTGATCTC TATGACGCTGGAG-3' R196S\_Rev 5'-CTCCAGCGTCATAGAGATCACTAGCATCATGTCAAT CAG-3', K212S\_For 5'-CAGGAACTGATGTTCCCTCGTGGATCAGCATCATG-3' K212S\_Rev 5'-CAT GATGCTGATCCACGAGGGAACATCAGTTCCTG-3', E219K\_For 5'-ATCAGCATCATGACCAA GCGGAGCGTGCCC-3' E219K\_Rev 5'-GGGCACGCTCCGCTTGGTCATGATGATGAT-3', (Biomers, Ulm, Germany):

AnxA2 10x (pSE420-AnxA2A66E\_10x) was generated using pSE420-AnxA2A66E\_6x as template by introducing 4 additional amino acid substitutions at amino acid positions: 36 (R to S), 53 (V to A), 54 (T to A) and 328 (K to A). The following primers were used: R36A\_For 5'-CCTATACTAACTTTGA TGCTGAGAGCGATGCTTTGAACATTG-3' R36A\_Rev 5'-GTTTCAATGTTCAAAGCATCGCTCTC AGCATCAAAG-3', V53A\_T54A\_For 5'-CAAAGGTGTGGATGAGGCCGCCATTGTCAACATTTTG-3' V53A\_T54A\_Rev 5'-CAAAATGTTGACAATGGCGGCCTCATCCACACCTTTG-3', K328A\_For: 5'-TA AGGGCGACTACCAGGCAGCGCTGCTGTACCTG-3' K328A\_Rev 5'-AGGTACAGCAGCGCT GCCTGGTAGTCGCCCTTAG-3' (Biomers, Ulm, Germany).

#### 2.7. Protein Expression and Purification

For protein expression, E. coli cells transformed with the respective pSE420 plasmid were grown at 37 °C in LB medium supplemented with ampicillin to an optical density of 0.6 at 600 nm (OD600). Protein expression was then induced by addition of isopropyl  $\beta$ -D-1-thiogalactopyranoside to a final concentration of 1 mM. After expression for 4 h, cells were harvested by centrifugation at 5000× g for 10 min at 4 °C. Protein purification was performed by diethylamioethyl- and carboxymethyl-cellulose ion exchange chromatography and proteins were alkylated specifically at Cys-8 by 2-iodoacetamide treatment to prevent disulfide mediated protein crosslink as previously described [23].

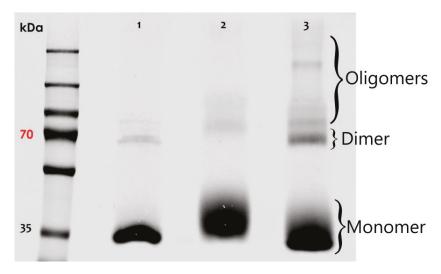
#### 3. Results

#### 3.1. Protein-Protein Interaction in Membrane-Bound AnxA2

AnxA2 has been shown by atomic force microscopy to form two-dimensional assemblies on model membranes containing negatively charged phospholipids [13]. To analyze whether these assemblies are characterized by homotypic protein–protein interactions, we performed chemical crosslinking studies of membrane-bound versus soluble AnxA2. Therefore, we first developed a novel crosslinker, herein referred to as  $Biotin_{3xNHS}X$ -linker, that due to its trifunctional nature should efficiently link proximal amino groups in proteins (Figure 1).  $Biotin_{3xNHS}X$ -linker also contained a biotin group enabling streptavidin-mediated detection and enrichment.

Biotin<sub>3xNHS</sub>X-linker was then used to study the nature of AnxA2 assemblies on model membranes. Purified AnxA2 (Figure S3) was treated with Biotin<sub>3xNHS</sub>X-linker either in the absence of membranes or following  $Ca^{2+}$ -dependent binding to liposomes containing the negatively charged AnxA2-binding lipid phosphatidylserine (PS). Figure 2 shows the results of these crosslinking experiments. While a

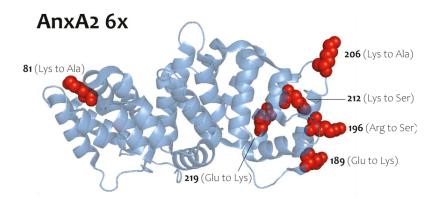
very small amount of higher molecular mass species was observed in the control reactions, i.e., AnxA2 samples in the absence of membranes or Ca<sup>2+</sup>, significant crosslink products indicative of oligomeric AnxA2 assemblies were generated when AnxA2 bound to PS-containing liposomes was subjected to the crosslinking reaction. Thus, our crosslink approach involving the Biotin3xNHSX-Linker indicates that membrane binding triggers the formation of AnxA2 oligomers, in which the proteins engage in lateral contacts spatially close enough to allow an effective covalent linkage by the trifunctional crosslinker.

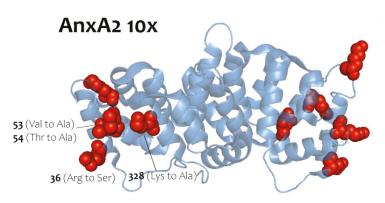


**Figure 2.** SDS-PAGE of crosslinking reactions involving alkylated AnxA2 wild-type (WT). Lane 1: Control #1 (AnxA2 WT +  $Ca^{2+}$ ); lane 2: Control #2 (AnxA2 WT +  $Ca^{2+}$ ) + Biotin3xNHSX-Linker); lane 3:  $Ca^{2+}$ /membrane sample (AnxA2 WT +  $Ca^{2+}$ ) + Biotin3xNHSX-Linker). Brackets on the right indicate the positions of AnxA2 monomers, dimers, and oligomers. Dimer formation most likely occurs during sample preparation, whereas the oligomers likely present AnxA2 assemblies that form following membrane interaction and are then stabilized by the crosslinker. A representative result of  $Ca^{2+}$ 0 independently performed experiments is shown.

#### 3.2. Annexin A2 Oligomers on Model Membranes are Stabilized by Lateral Protein-Protein Interactions

To address the nature of the homotypic AnxA2 interaction, which occurs following membrane binding and can be stabilized by Biotin<sub>3xNHS</sub>X-linker, we generated two AnxA2 derivatives, in which residues predicted to participate in lateral protein-protein interactions in the crystal structure of an anti-parallel AnxA2 dimer [20] (see also pdb entry of the crystal structure of this AnxA2 dimer at 1XJL) were mutated to side chains of opposite charge or to alanine or serine (Figure 3). Importantly, the residues mutated are not part of the known type-II or type-III Ca<sup>2+</sup>-binding sites of AnxA2 [25] and so far have not been identified as sites of posttranslational modification. Moreover, the residues selected are characterized by polar or charged side chains and thus could engage in salt bridges and/or other ionic interactions that would favor oligomer formation. Provided that the two-dimensional AnxA2 assemblies on membranes involve these residues located on the lateral surface of the folded AnxA2 molecule, the mutants, herein named AnxA2 6x and AnxA2 10x, should show a compromised oligomer formation and thus Biotin<sub>3xNHS</sub>X-linker mediated crosslink. Moreover, as the mutations do not involve residues of the Ca<sup>2+</sup>/membrane binding sites, AnxA2 6x and AnxA2 10x are expected to retain the capability to bind to membranes containing acidic phospholipids.





**Figure 3.** AnxA2 crystal structure highlighting mutations introduced in the AnxA2 6x and 10x constructs. AnxA2 6x top [81 (Lys to Ala), 189 (Glu to Lys), 196 (Arg to Ser), 206 (Lys to Ala), 212 (Lys to Ser) and 219 (Glu to Lys)] and AnxA2 10x bottom [36 (Arg to Ser), 53 (Val to Ala), 54 (Thr to Ala) and 328 (Lys to Ala)]. Illustrations were created using the AnxA2 crystal structure (PDB code: 1XJL).

AnxA2 6x and 10x were purified following the protocol developed for the wild-type protein (Figure S1). Importantly, this also involved alkylation of the exposed cysteine-8 as disulfide bridge formation involving this cysteine residue is observed under oxidative conditions [23]. The mutants were first characterized with respect to their ability to bind to membranes containing acidic phospholipids in a Ca<sup>2+</sup>-dependent manner by employing liposome co-pelleting and solid-supported membrane-binding assays. Figure 4 shows that AnxA2 6x and 10x effectively bind to PS-containing liposomes in the presence of Ca<sup>2+</sup>. As observed for the wild-type protein, this binding is fully reversible, i.e., the bound protein is released when the liposome-protein mixtures are treated with the Ca<sup>2+</sup> chelator EGTA. Analysis of protein binding to solid supported membrane bilayers was carried out using a quartz crystal microbalance with dissipation (QCM-D). QCM-D is a well-established tool to evaluate and quantify protein–lipid interactions [21]. By applying SUVs, a bilayer can be formed on a sensor chip connected to a quartz microbalance and the ability of proteins to interact with this lipid bilayer can be measured via decrease in the resonance frequency of the quartz crystal that occurs as a result of mass adsorption. Importantly, due to the unique Ca<sup>2+</sup>-dependent and fully reversible interaction of AnxA2 with membranes, AnxA2 bound to the solid-supported membrane can be readily released from the bilayer by Ca<sup>2+</sup> chelation with EGTA [24]. QCM-D recordings performed with the different AnxA2 derivatives revealed that AnxA2 wild-type (WT) and the AnxA2 6x and 10x mutants show similar binding kinetics and resonance frequency shifts (ΔΔF) of 19 Hz for AnxA2 WT, 18.5 Hz for

AnxA2 6x, and 16.7 Hz for AnxA2 10x (Figure 4). Moreover, in each case the binding is fully reversible upon addition of EGTA and the dissipation increase is rather minimal indicating that a relatively rigid protein layer is formed on the solid-supported bilayer.

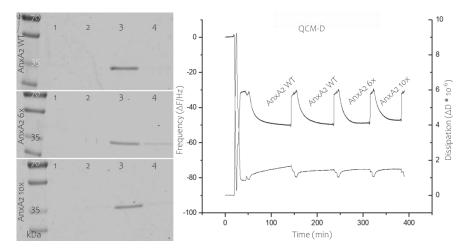
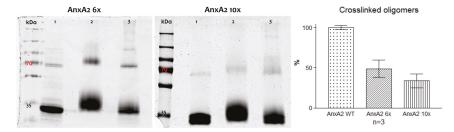


Figure 4. Membrane binding of AnxA2 constructs. Left, Liposome co-pelleting assay analyzed by SDS-PAGE of the different fractions. AnxA2 wild-type (WT), AnxA2 6x, or AnxA2 10x were mixed with PS-containing liposomes in the presence of 1 mM Ca<sup>2+</sup>. Liposomes were pelleted and the supernatant, i.e., non-bound material, was collected (lane 1). Liposomes were then washed in Ca<sup>2+</sup>-containing buffer, yielding a second supernatant (Ca<sup>2+</sup> wash, lane 2). Subsequently, the pelleted liposomes were washed with EGTA-containing buffer resulting in release of the Ca<sup>2+</sup>-dependently bound material (EGTA eluate, lane 3). The final liposome pellet containing non-released protein is shown in lane 4. The gel shows a representative result of n = 5 independently performed experiments. Right, QCM-D measurements, frequency (as deviation from resonance frequency,  $\Delta F$ ) is shown in the upper recordings and dissipation ( $\Delta$ D) in the lower. Following formation of a solid-supported bilayer (at a  $\Delta$ F of around -30 Hz in these settings), AnxA2 WT was added in the presence of Ca<sup>2+</sup>, resulting in a drop in resonance frequency to approximately -49 Hz. Addition of EGTA removed all bound protein with resonance frequency returning to its initial bilayer value (-30 Hz). Recording was continued with subsequent additions (in Ca<sup>2+</sup> containing buffer) and release (in EGTA containing buffer) of AnxA2 WT (to show reversibility of the reaction), AnxA2 6x, and AnxA2 10x. The QCM-D recordings were performed at least three times each for the different, independently purified AnxA2 derivatives.

Next, the lateral side chain mutants, AnxA2 6x and AnxA2 10x, were characterized with respect to their ability to form crosslinkable oligomers following membrane binding. Mutant proteins were bound to PS-containing liposomes and proteins residing in close proximity were covalently linked employing the Biotin<sub>3xNH5</sub>X-linker. Figure 5 shows that the capability of forming crosslinked high molecular mass products was significantly compromised in both mutants when compared to the wild-type protein (Figure 2). A quantification of the oligomeric products revealed that higher molecular mass products representing crosslinked AnxA2 oligomers are reduced to approximately 48% and 33% for AnxA2 6x and AnxA2 10x, respectively, when compared to the wild-type protein. Thus, side chains identified in AnxA2 crystals as potential protein–protein contact sites appear to reside in close proximity in membrane-bound AnxA2, suggesting that lateral protein–protein interactions accompany the membrane association of AnxA2



**Figure 5.** Chemical crosslinking of alkylated AnxA2 mutant proteins. Left, SDS-PAGE of crosslinking reactions involving AnxA2 6x and 10x. Each AnxA2 derivative was subjected to chemical crosslinking in the presence or absence of  $Ca^{2+}$  and LUVs. Lanes 1: Controls #1 (AnxA2 +  $Ca^{2+}$ ); lanes 2: Controls #2 (AnxA2 + LUVs + EGTA + Biotin<sub>3xNHS</sub>X-Linker); lanes 3:  $Ca^{2+}$ /membrane sample (AnxA2 + LUVs +  $Ca^{2+}$  + Biotin<sub>3xNHS</sub>X-Linker). Right, quantification of crosslinked oligomer bands for each AnxA2 derivative (calculated in relation to the respective monomer band, see Materials and Methods). Given is the relative percentage of these oligomer bands compared to those obtained for the wild-type protein analyzed in a parallel reaction. Three independent crosslinking reactions were analyzed for each protein species and the standard error of means is indicated.

#### 4. Discussion

In addition to binding to membranes containing acidic phospholipids in the presence of elevated  $Ca^{2+}$  concentrations, at least some annexins can also form two-dimensional clusters or assemblies on model membranes. Such assemblies have been extensively studied in the case of AnxA5, which forms highly ordered 2D crystals on the membrane [26–28]. In the case of AnxA5, the building blocks in these crystalline arrays are trimers, and residues mediating and stabilizing trimer formation have been mapped [29]. In contrast to AnxA5, AnxA2 does not form such ordered crystalline arrays on model membranes, but rather appears to associate into more irregular two-dimensional assemblies of amorphous shapes [13]. Interestingly, these assemblies have been shown to segregate the AnxA2-binding phospholipids [PS, PI(4,5)P<sub>2</sub>] into domains underneath the bound proteins, and this activity has been suggested to underlie the function of AnxA2 in  $Ca^{2+}$ -regulated exocytosis [11,12,14, 16,30]. Thus, understanding the molecular basis of the AnxA2-mediated lipid segregation is of high relevance for understanding the cellular properties of the protein.

Here, we have used a chemical cross-linking approach employing a novel trifunctional compound and combined this with the characterization of AnxA2 mutant derivatives to address the nature of the 2D assemblies formed by this annexin on model membranes. Our analysis revealed that these assemblies are characterized by close protein proximity most likely involving protein–protein interactions that can be stabilized by chemical crosslinking. Moreover, we identified amino acid residues residing on the lateral surface of the folded protein that are either directly involved in the crosslink or mediate interactions between bound AnxA2 moieties that are lost in the 6x and 10x mutants. Thus, it appears likely that the assemblies containing AnxA2 and its interacting lipids [PS, PI(4,5)P<sub>2</sub>] are at least partly stabilized by lateral protein–protein interactions.

Our analysis benefitted from the introduction of a novel trifunctional crosslinker. Typically, chemical crosslinkers are capable of connecting spatially proximate amino acids of proteins. When selecting the crosslinker, the chemical selectivity and activity of the functional groups towards the amino acids to be linked should be taken into account. In addition, the "length/size" of the crosslinker is crucial, since it defines the maximum spatial distance of the linked amino acids. The Biotin $_{3xNHS}X$ -linker synthesized here is a homo-trifunctionalized NHS ester crosslinker, whose N-hydroxy-succinimide group can react with primary amines or alcohol groups, thus yielding high crosslink efficiency that allowed the detection of AnxA2 protein proximity in the membrane-bound form.

Although AnxA2 has been shown to cluster the lipids bound by the protein, the composition of the membrane patch underneath bound AnxA2 is not known. Most likely it also contains

cholesterol as it has been shown that membrane cholesterol renders the AnxA2 binding cooperative, suggesting a cooperative nature of the assembly formation. As the protein assemblies formed by AnxA2 on the membrane are accompanied by close protein apposition, it appears plausible that the cooperation is at least in part mediated by a conformational change in the membrane-bound AnxA2, which renders it capable of undergoing protein–protein interactions. Future experiments involving streptavidin-mediated enrichment of membrane patches bound to Biotin $_{3xNHS}$ X-linker-crosslinked AnxA2 should shed some light on the lipid composition in the associated membrane. The Ca<sup>2+</sup>-regulated and reversible manner underlying the cluster formation of AnxA2 on cholesterol and PS/PI(4,5)P<sub>2</sub> containing membranes is most likely highly relevant for its cellular function as it would support the dynamic formation of lipid platforms that could function as sites for exocytosis, and are also involved in epithelial cell polarization shown to depend on AnxA2 [15].

In summary, we introduce a novel water-soluble trifunctional NHS crosslinker, which was suitable to covalently link AnxA2 protein moieties bound to model membranes containing negatively charged phospholipids. Moreover, we could identify amino acid side chains residing on the lateral surface of folded AnxA2 that are required for efficient crosslink, and thus likely support lateral protein–protein interactions of membrane-bound AnxA2, possibly by providing salt bridges and/or other ionic interactions.

Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4409/9/5/1169/s1. Supplementary Methods including detailed protocol of the chemical synthesis of Biotin<sub>3xNHS</sub>X-Linker. Figure S1: 

1H-NMR and 13C-NMR spectrum of Biotin<sub>3xNHS</sub>X-Linker, 400 MHz, CDCl<sub>3</sub>. Figure S2: HSQC spectrum of Biotin<sub>3xNHS</sub>X-Linker. Figure S3: SDS-PAGE and Western Blot of AnxA2 WT purification steps.

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Article

# Annexin A2 Mediates Dysferlin Accumulation and Muscle Cell Membrane Repair

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Abstract: Muscle cell plasma membrane is frequently damaged by mechanical activity, and its repair requires the membrane protein dysferlin. We previously identified that, similar to dysferlin deficit, lack of annexin A2 (AnxA2) also impairs repair of skeletal myofibers. Here, we have studied the mechanism of AnxA2-mediated muscle cell membrane repair in cultured muscle cells. We find that injury-triggered increase in cytosolic calcium causes AnxA2 to bind dysferlin and accumulate on dysferlin-containing vesicles as well as with dysferlin at the site of membrane injury. AnxA2 accumulates on the injured plasma membrane in cholesterol-rich lipid microdomains and requires Src kinase activity and the presence of cholesterol. Lack of AnxA2 and its failure to translocate to the plasma membrane, both prevent calcium-triggered dysferlin translocation to the plasma membrane and compromise repair of the injured plasma membrane. Our studies identify that Anx2 senses calcium increase and injury-triggered change in plasma membrane cholesterol to facilitate dysferlin delivery and repair of the injured plasma membrane.

Keywords: muscle injury; plasma membrane; vesicle; muscular dystrophy

## 1. Introduction

Mechanical strain associated with muscle contraction routinely damages the myofiber plasma membrane (PM) [1]. For continued functioning of muscle tissue in the face of persistent myofiber PM injury, myofibers need to efficiently repair these injuries [2]. This is achieved with the help of the muscle membrane protein dysferlin, mutations in which impair myofiber repair leading to muscle degeneration [3–5]. Dysferlin is a member of the Ferlin protein family that contains calcium and membrane binding FerA and C2 domains [6]. Ferlin proteins are similar to the C2 domain-containing proteins such as synaptotagmins, which facilitate Ca<sup>2+</sup>-triggered vesicle fusion [6,7]. Thus, dysferlin is implicated in facilitating injury-triggered membrane fusion to enable plasma membrane repair (PMR) [8]. Studies from invertebrate egg cells and cultured mammalian cells have identified a role of membrane fusion in repairing the injured PM [9]. Prior studies show that dysferlin and associated PMR proteins accumulate at the site of sarcolemma damage in mature myofibers [10,11]. Using dysferlin deficient mouse myofibers and patient myoblasts, we have identified that dysferlin helps tether

lysosomes at the PM, which allows PMR by enabling rapid lysosome fusion following PM injury [12]. Dysferlin localizes to cell and internal membranes in uninjured cells and is enriched in cholesterol-rich PM domains that are internalized upon injury [13–16] PM injury also results in injury-triggered dysferlin accumulation at the repairing PM, but the existing PM repair mechanisms fail to fully explain this injury-triggered increase in PM dysferlin or if this accumulation plays a role in PMR [12–20].

In recent years, annexins A1, A2, A4, A5, and A6 have been recognized for their contribution to PMR in myofibers and in other cells [11,15,19,21–27]. Annexins interact with membrane phospholipids in a calcium-dependent manner and serve as sensors linking Ca<sup>2+</sup> signals with changes in the membrane that they bind [24,28]. Annexins affect PMR through numerous mechanisms—promoting membrane blebbing and shedding [11,22,29,30], increasing membrane curvature and closure [22], assembling membrane reinforcing protein arrays [26], and interacting with the cytoskeleton to stabilize the wounded membrane [25,31]. Annexins also facilitate calcium-triggered aggregation and fusion of membranes, processes that have been suggested to regulate PMR [15,27,32]. In response to myofiber PM injury, annexins accumulate at the site of repair [11,15]. While annexins A2 and A6 are required for skeletal myofiber PMR, AnxA1 is dispensable in mammalian skeletal myofiber repair [19,23,33]. Use of mice lacking AnxA2 shows the requirement of AnxA2 for repairing injured skeletal myofibers in a manner that is synergistic with dysferlin-mediated PMR [23]. Further, AnxA2 has been shown to directly interact with dysferlin in myotubes, which is suggested to be mediated by the N-terminal C2 domains of dysferlin [34–36].

Here, we examine the mechanism by which AnxA2 interacts with dysferlin and if this mediates myoblast PMR. We have employed live imaging and biochemical analysis to study the response of AnxA2 and dysferlin to PM injury and monitor their accumulation on the cell and the intracellular membranes. Live cell imaging showed that within seconds of PM injury-triggered calcium increase, AnxA2 accumulates on dysferlin vesicles and the injured PM, accumulating at the site of PMR. Accumulation of AnxA2 at the injured PM requires cholesterol and Src kinase activity. AnxA2 knockdown or inhibition of its PM translocation prevents PM accumulation of dysferlin, and compromises PMR. These studies point to a requirement of AnxA2 for the repair of PM injury and for the injury-triggered exocytic delivery of dysferlin to the PM.

### 2. Methods

#### 2.1. Cell Culture and Treatment

C2C12 myoblast cell line was maintained in high glucose Dulbecco's modified Eagle's medium (DMEM) with Glutamax and sodium pyruvate (Invitrogen) supplemented with 10% fetal bovine serum (Hyclone, Thermo Fisher Scientific, Waltham MA, USA) and 100  $\mu$ g/mL penicillin and streptomycin (Invitrogen, Carlsbad, CA, USA). For live cell imaging of proteins, cultured myoblasts were plated on 25 mm (#1.5) glass coverslips (at ~4000 cells per cm²), and after growing overnight, plasmids were transfected using Lipofectamine LTX (Life Technologies, Carlsbad, CA, USA). Plasmids utilized include dysferlin-GFP, caveolin-1 RFP, annexin A2 mCherry and annexin A2-GFP (1–2  $\mu$ g per ~40,000 cells).

For differentiation into myotubes, annexin A2-GFP-transfected cells were grown to confluence and then put for 3 days in differentiation media (low-glucose DMEM (1 g/L) (GIBCO/Invitrogen), supplemented with 5% horse serum (Thermo Fisher #16050-122) and 1% penicillin/streptomycin antibiotic. Three days after commencement of differentiation, transfected myotubes were assessed for annexin A2 repair response as described below (see Section 2.2.1—Laser Injury). For AnxA2 knockdown, C2C12 cells were transfected with pSuper empty vector (control) or with AnxA2 shDNA. Individual clones were selected using 400 mg/mL G418 (Thermo Fisher) and used for further analysis.

Generating Immortalized Annexin A2 Knockout Myoblasts for Laser Injury Assays

AnxA2-KO mice were crossed with H-2K<sup>b</sup>-tsA58 immortomouse [37] (The Jackson laboratory, Bar Harbor, ME, USA) to produce homozygous mice lacking the AnxA2 gene and with the SV40 large

T antigen transgene. AnxA2 KO was confirmed using genomic DNA PCR as described previously [23]. Presence of SV40 large T carrier transgene was confirmed using PCR (forward primer: T ant-R 5' GAG TTT CAT CCT GAT AAA GGA GG. Reverse primer: T ant-F 5' GTG GTG TAA ATA GCA AAG CAA GC). EDL muscles were harvested from two-week-old mice and placed in DMEM media containing fresh collagenase (2 mg/mL) in a 35 °C shaking water bath for under 2 h and dissociated into isolated fibers via trituration. Viable, isolated fibers were chosen and plated individually and cultured at 33 °C at 10% of CO<sub>2</sub> in a 24-well plate coated with Matrigel at 1 mg/mL in DMEM +20% FBS +2% L-glutamine +2% v/v chicken embryo extract +1% penicillin and streptomycin. Media was supplemented with fresh gamma-interferon at 20 U/mL (added every two days). Fibers were removed as individual myoblasts or clones were visible. These clones were allowed to proliferate to 40% confluence, were harvested and expanded independently into clonal cultures. These conditionally immortalized AnxA2 knockout and control C57bl6 mouse myoblast clones were cultured at 33 °C due to the heat labile nature of the SV40 large T antigen, which is expressed under the control of interferon-gamma (IFN-γ). Myoblasts were cultured on gelatin-coated dishes (0.01% gelatin) until reaching ~70% confluence, at which time they were plated on glass coverslips and subjected to FM-dye repair assays described below (see Section 2.2.1—Laser Injury).

#### 2.2. Injury Assays

These were performed as reported previously [38] and described below.

### 2.2.1. Laser Injury

Cells cultured on coverslips were transferred to cell imaging media (CIM-HBSS with  $10\,\text{mM}$  HEPES, with (+Ca2+) or without added 1 mM calcium-chloride (-Ca2+), pH 7.4), with or without 1 mg/mL FM1-43 dye (Life Technologies). The coverslipds were placed in a microscopy stage-top ZILCS incubator (Tokai Hit Co., Fujinomiya-shi, Japan) maintained at 37 °C. For laser injury, a 1- to 5- $\mu$ m² area was irradiated for 10 ms with a pulsed laser (Ablate! 3i Intelligent Imaging Innovations, Inc. Denver, CO, USA). Cells were imaged using an IX81 microscope (Olympus America, Center Valley, PA, USA), in either confocal or total internal reflected fluorescence (TIRF; penetration depth = 150 nm) mode. For confocal imaging, the imaging plane was set at the membrane-coverslip interface or in the middle of the cell body. Images were acquired with a  $60 \times /1.45$  numerical aperture oil objective and a 561-nm, and 488-nm laser (Cobolt). Kinetics of plasma membrane repair was determined via real-time tracking of cellular FM dye intensity ( $\Delta$ F/F, where F is the original fluorescence intensity pre-injury) over the repair period. Membrane translocation of fluorescently-tagged repair proteins (dysferlin, annexin A2) and cholesterol lipids was determined in the same manner ( $\Delta$ F/F, where F is the original fluorescence intensity of the fluorescent protein or cholesterol).

#### 2.2.2. Dysferlin Vesicle Fusion Assessment

Tracking of dysferlin vesicle fusion was conducted as previously described [39,40]. Briefly, dysferlin-GFP transfected myoblasts (n = 5) were imaged using TIRF microscopy (penetration depth = 150 nm), and laser-injured as described above. 5–10 individual dysferlin-labeled vesicles were tracked over the repair/resealing period per cell to obtain the following parameters—total fluorescence emission intensity, peak/maximal fluorescence intensity, and the width<sup>2</sup> of its intensity profile (in  $\mu$ m<sup>2</sup>) assessed at each timepoint post-injury for each vesicle (via SlideBook image analysis software—3i Intelligent Imaging Innovations, Inc. Denver, CO, USA). The generated fluorescence kinetics and size characteristics curves for each dysferlin vesicle were averaged with all other vesicles analyzed, to obtain an average trace of dysferlin vesicle dynamics upon membrane injury. From these parameters, vesicle fusion was established using the following criteria—1. total and peak fluorescence curves must increase rapidly; 2. total fluorescence intensity should remain elevated (as the fluorophores from the vesicle are delivered to the plasma membrane) while the peak fluorescence intensity decreases (due to

the lateral spread of fluorphores within the cell membrane); 3. fluorophores spread in the plasma membrane at a rate that is comparable to the diffusion coefficient of the dysferlin-GFP protein [39,40].

#### 2.2.3. Kymograph Analyses

Co-transfected myoblasts (annexin A2-GFP+ dysferlin mCherry; annexin A2-GFP+caveolin-1-RFP) were cultured and subjected to laser injury as described above. Images were captured at two-second intervals, with 488-nm and 561-nm lasers (exposure = 100 ms each), over a 25–30 s timeframe. Post-processing for kymograph analyses was performed with Slidebook software. To obtain kymographs, a line was drawn (5–10  $\mu$ m length) across the cell depicting potential colocalization of repair-associated proteins (annexin A2+dysferlin, annexin-A2+caveolin-1) from the time-lapse videos. The fluorescence signature of the drawn line, for both fluorescent channels (each for a different repair-associated protein) was plotted in-sequence over the entire capture period onto a two-dimensional kymograph (y-axis = time, x-axis = sampling line distance). Kymograph sampling was initiated prior to laser injury and concluded ~20 s after injury to track temporal changes in protein co-localization.

#### 2.2.4. Glass Bead Injury

Transfected cells cultured on coverslips were transferred to CIM alone (injury-induced protein translocation experiments) or CIM+ 2 mg/mL of lysine-fixable FITC-dextran (Life Technologies) (repair capacity experiments). Cells were injured by rolling glass beads (Sigma-Aldrich) over the cells, allowed to heal at 37 °C for 5 min, and then either 1. immediately fixed (4% PFA, injury-induced protein translocation experiments), or 2. incubated at 37 °C for 5 min in CIM/PBS buffer +2 mg/mL of lysine-fixable TRITC dextran (Life Technologies), followed by Paraformaldehyde (PFA) fixation (repair capacity experiments). Cell nuclei were counterstained with Hoechst 33,342, and cells were then mounted in fluorescence mounting medium (Dako) and imaged via confocal microscopy  $(60 \times /1.45 \text{ NA} \text{ oil objective} - \text{injury-induced protein translocation experiments}/20 \times \text{ objective} - \text{repair capacity experiments}$ ). The number of FITC-positive cells (injured and repaired) and TRITC-positive cells (injured and not repaired) were counted and expressed as a percentage of the total injured cells.

#### 2.3. Cell Membrane Cholesterol Response Assays

To label cell membrane outer leaflet cholesterol, C2C12 cells were incubated in CIM supplemented with 5 uM Polyethylene (PEG) conjugated FITC-cholesterol (Nanocs, Inc.) and subsequently subjected to laser injury as described above. Localized cholesterol accumulation was assessed with SlideBook image analysis software (3i, Denver, CO, USA), with FITC-labelled cholesterol fluorescence intensity assessed near the injury site ( $\Delta$ F/F, where F is the original FITC cholesterol fluorescence intensity value prior to injury).

#### 2.4. Cholesterol Depletion Assay

Annexin-2 transfected myoblasts were either untreated or depleted of cholesterol via 20 mM methyl- $\beta$ -cyclodextrin (M $\beta$ CD) treatment for 20 min at 37 °C. Untreated and cholesterol-depleted cells were subsequently imaged in CIM with or without M $\beta$ CD respectively. Cells from both conditions were subsequently exposed to laser injury or 10 uM ionomycin and imaged via real-time TIRF microscopy (ionomycin experiments) or confocal microscopy (laser injury experiments) at two-second intervals to track annexin-2 cell surface translocation over a 5–15-min period. Twenty to thirty cells were assessed for annexin-2 translocation kinetics in both untreated control and M $\beta$ CD-treated cells.

### 2.5. Western Blotting

Cells were lysed with RIPA buffer (Sigma-Aldrich) containing a protease inhibitor cocktail (Fisher Scientific, Waltham, MA, USA). Proteins transferred to nitrocellulose membranes were probed with the indicated antibodies against dysferlin (Novocastra, Buffalo Grove, IL, USA), Anx A2

(BD biosciences, #610068), caveolin-1 (Abcam, #ab2910), b-actin (Cell Signaling, #4967), or cadherin (Cell Signaling, #4968). Primary antibodies were followed by the appropriate HRP-conjugated secondary antibodies (Sigma-Aldrich) and chemiluminescent western blotting substrate (Fisher, Waltham, MA, USA; GE Healthcare, Pittsburgh, PA, USA). The blots were then processed on Bio-Lite X-ray film (Denville Scientific, Metuchen, NJ, USA), and signals for AnxA2 and dysferlin protein bands were normalized to that of the internal control–cadherin.

## 2.6. Membrane Fraction Protein Analysis

Cells cultured at ~75–80% confluence in wells of a 6-well plate were used for cell surface protein pull down as described previously [17]. Briefly, untreated myoblasts or those treated with ionomycin, or those incubated for 30 min (37 °C CO2 incubator, in DMEM) with DMSO (control, 1 uL/mL) or Src kinase inhibitors herbimycin A (1  $\mu$ M), or PP2 (10  $\mu$ M), were washed in chilled Hanks' balanced salt solution (HBSS; Sigma-Aldrich). Cells were then treated with 0.5 mg/mL cell-impermeant EZ-Link Sulfo-NHS-LC-Biotin (Thermo Fisher Scientific) made in cold HBSS (pH 7.4) and incubated for 30 min at 4 °C. This allowed biotinylating only the cell surface proteins accessible at the cell surface. Following a wash with cold HBSS, unreacted biotin was quenched with cold 0.1 m Tris-HCl solution (pH 7.4) for 15 min. Cells were then lysed using RIPA, and equal amounts of protein lysate were used to bind via MyOne Streptavidin C1 beads (250 uL), which were washed and put into SDS loading buffer. At the time of western blotting for membrane fraction-enriched proteins, beads and lysate samples were heated for 30 min at 50 °C, and bead supernatant (or cell lysates) was loaded on a 4–12% gradient MOPS NuPage gel.

## 2.7. Dysferlin Immunoprecipitation (IP)

A confluent dish of myoblasts or myotubes that were untreated or injured by cell scraping were collected in ice-cold lysis buffer (50 mM Tris HCL, pH 7.5, 150 mM NaCl, 50 mM deoxy cholate, 0.2% Triton  $\times 100$ ,  $1\times$  protease inhibitor cocktail). Cells were lysed via alternate rounds of liquid nitrogen freezing and thawing, lysates centrifuged (14,000 rpm, 30-min.), and supernatant assessed for protein content. Separately, covalently conjugated protein A sepharose beads (polycloncal antibodies, GE healthcare) or protein G sepharose beads (monoclonal antibodies) were washed  $3\times$  with lysis buffer and re-suspended in lysis buffer and stored at  $4\,^{\circ}$ C.

Immunoprecipitation – Cell lysates were pre-cleared via incubation with washed sepharose beads (1 h) and incubated in rabbit polyclonal anti-dysferlin antibody (NCL-hamlet, Leica, Wetzlar, Germany). Subsequently, the washed sepharose beads were added and incubated for 2 h. Proteins were eluted off the beads by addition of 2× sample buffer and loaded into a 4–10% tris-glycine SDS-PAGE gel (Invitrogen). Gels were processed as above for Western blot analysis using primary antibodies (Abcam rabbit anti-dysferlin, 1:500 dilution; BD biosciences anti-annexin A2 antibody, 1:2000 dilution; BD biosciences rabbit anti-caveolin-1, 1:2000 dilution). Blots were probed with secondary antibodies (anti-rabbit, 1:50,000 dilution; anti-mouse, 1:5000 dilution) for 1–2 h in 5% milk (TBST buffer) and stained with either ECL plus substrate (GE healthcare), femto super signal substrate (Thermo Scientific) for dysferlin and annexin blots, or ECL substrate (GE healthcare) for anti-caveolin detection.

# 2.8. Statistical Analysis

One-way ANOVA followed by a Tukey's HSD post-hoc test was used to determine differences in annexin A2 expression among clone populations, calcium-stimulated cell surface protein quantification measures, and to compare the proportion of myoblasts that failed to repair following injury in AnxA2 knockdown, knockout, and M $\beta$ CD-treatment conditions. Repeated-measures ANOVA was used to assess for differences in cell-surface dysferlin and AnxA2 expression across ionomycin exposure timepoints between clone populations and membrane fractions following injury. For annexin 2 membrane translocation and myoblast repair kinetics (FM-dye-intensity kinetics), all generated curves were compared via mixed model ANOVA with analyses for interaction effects between the main effects

of treatment condition and time or trial. In the event of significant interaction, group differences in FM dye fluorescence intensity/membrane fluorescence/eccentric force were assessed per time point via a Holm-Sidak test and Huynh-Feldt correction due to violation of sphericity. For all statistical analysis, alpha level was set at p < 0.05.

#### 3. Results

## 3.1. Cell Injury Triggers AnxA2 and Dysferlin Co-Accumulation on the Membrane

Annexins are cytosolic proteins that accumulate on the injured plasma membrane. As injury-triggered calcium influx is a major stimulus for annexin response to PM injury, we examined injury-triggered and Ca<sup>2+</sup>-dependent PM translocation AnxA2 in the muscle cell. Confocal microscopy of myoblasts expressing GFP-tagged AnxA2 showed that within seconds of injury, AnxA2 accumulates at the site of PM injury (Figure 1A,B and Video S1). Next, we examined this response of AnxA2 in differentiated myotubes and found AnxA2 responded similarly by accumulating at the site of PM injury (Figure 1C,D). Injury-triggered accumulation of AnxA2 required calcium influx, as cells injured in the absence of extracellular calcium showed no AnxA2 translocation at the injury site (Figure 1A,B). This response of AnxA2 occurred independently of the mode of PM injury, as PM injury by glass beads resulted in accumulation of the endogenous AnxA2 at the site of injury (Figure 1E). Interestingly, at the site of injury, AnxA2 co-accumulated with the transmembrane protein dysferlin (Figure 1F). Moreover, we observed that in these injured cells, AnxA2 also accumulated on dysferlin-containing intracellular vesicles (Figure 1F inset). To visualize this process in real time, we used live cell spinning disc confocal imaging together with focal laser injury to monitor the response of GFP-tagged AnxA2 in myoblast expressing mCherry-tagged dysferlin. Within seconds of being injured, cytosolic AnxA2 rapidly translocated to membrane-proximal dysferlin-containing vesicles (Figure 1G, inset, kymograph and Video S2). Independently, to assess the fate of the dysferlin-containing vesicles near the plasma membrane upon focal laser injury, we employed total internal reflection fluorescence microscopy (TIRFM). PM injury caused the dysferlin-containing vesicles to rapidly disappear (Video S3) with injury causing the vesicles to release dysferlin-GFP (Figure 1H, inset, Video S4). To assess if this is due to vesicle fusion or injury-triggered vesicle movement we used the approach that we have previously described to assess the fusogenic fate of vesicles using TIRFM (see Methods). This analysis identified that injury resulted in rapid delivery of the dysferlin-GFP protein from the vesicle to the PM, and in the PM, the protein diffused away from the site of fusion at a rate of  $0.6 \,\mu\text{m}^2/\text{s}$ , a rate consistent with the diffusion of membrane proteins (Figure 11). Together, these results demonstrate that Ca<sup>2+</sup> influx following focal PM muscle injury in myoblasts triggers rapid accumulation of AnxA2 and dysferlin at the injury site which is co-incident with fusion of dysferlin-containing vesicles.

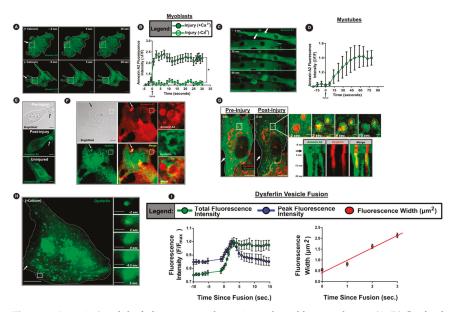


Figure 1. Annexin 2 and dysferlin co-accumulate at injured myoblast membrane. (A-D) Confocal images of (A) myoblasts and (C) myotubes expressing AnxA2-GFP (green) during focal laser injury (site marked by white arrow). (B,D) Plots showing the intensity of AnxA2-GFP at the site of membrane injury in myoblasts injured in the presence or absence of extracellular calcium (B) and in myotubes injured in the presence of extracellular calcium (D). (n = 12 cells/myotubes per condition, B: \* p < 0.05, main effect of treatment condition). (E,F) Confocal images of glass-bead-injured myoblasts stained for (E) endogenous AnxA2 or (F) AnxA2 and dysferlin. Arrows indicate the site of glass bead injury. Inset in panel F shows vesicle in the injury-proximal region (marked by white box). (G) Confocal images at the membrane-coverslip interface of dysferlin-mCherry and AnxA2-GFP co-transfected myoblasts injured in the presence of calcium (arrow marks the injury site). Images in the inset are of the white box showing rapid AnxA2 translocation to dysferlin-containing vesicles prior to its fusion. Kymograph depicts the vesicles highlighted in G and spans the duration of the repair response, beginning at eight seconds prior to injury—black arrow indicates time of membrane injury. (H) TIRFM images of dysferlin-GFP-expressing myoblasts with the inset representing the white box and showing fusion of a single dysferlin-containing vesicle following injury (white arrow). Scale bar = 10 um. (I) Plots showing averaged fluorescence intensity of dysferlin-GFP vesicles imaged using TIRFM. Green trace depicts total fluorescence intensity. Blue trace shows peak fluorescence intensity. Red trace shows the area (width<sup>2</sup>) of the dysferlin-GFP fluorescence over the course of vesicle fusion and beyond. Traces are the average of n = 5 cells (5–10 dysferlin vesicles per cell). All data are presented as mean  $\pm$  SEM. \* p < 0.05 vs. -calcium condition determined via mixed model ANOVA with analyses for interaction effects between treatment condition and time. Scale bar =  $10 \mu m$  (insets =  $1 \mu m$ ).

# 3.2. PM Translocation of AnxA2 Enables Ca<sup>2+</sup>-Triggered PM Accumulation of Dysferlin

With injury in the presence of calcium triggering AnxA2 and dysferlin co-accumulation at the injury site and on dysferlin-containing vesicles, which fuse with the injured membrane, we examined if AnxA2 facilitates calcium-triggered PM accumulation of dysferlin. To test this, we generated C2C12 myoblast cell lines stably knocked down (>80%) for AnxA2 (Figure 2A,B). To mimic large cytosolic  $Ca^{2+}$  increase (without PM damage) we treated cells with calcium ionophore, which acutely increases the cytosolic  $Ca^{2+}$  while keeping the plasma membrane intact. This approach allows selective biotinylation of cell membrane proteins that are detectable extracellularly using the approach we previously described [17,18]. AnxA2 and dysferlin showed a time-dependent increase in their cell

surface levels in control (empty vector) myoblasts, but this was prevented in AnxA2 knockdown myoblasts (AnxA2shDNA) (Figure 2C,D). We next examined if  $Ca^{2+}$ -triggered cell surface translocation of dysferlin required just the presence of AnxA2 or if it also required translocation of AnxA2 to the PM. Previous studies have identified the requirement of tyrosine 23 phosphorylation of AnxA2 by Src kinase for its PM trafficking [41,42]. Thus, to block AnxA2 phosphorylation we treated healthy myoblasts with two independent Src kinase inhibitors—herbimycin-A and PP2—which effectively impair phosphorylation of tyrosine 23 residue of AnxA2 and prevent its membrane translocation [41]. Following an acute (20 min) treatment with either one of these inhibitors, we found that  $Ca^{2+}$  increase failed to trigger PM translocation of AnxA2, monitored using a cell surface biotinylation approach (Figure 2E–G). Concomitantly, this also reduced  $Ca^{2+}$ -triggered PM accumulation of dysferlin by ~10-fold (Figure 2E–G). Together, the above findings highlight that AnxA2 facilitates  $Ca^{2+}$ -triggered translocation of dysferlin to the injured PM.

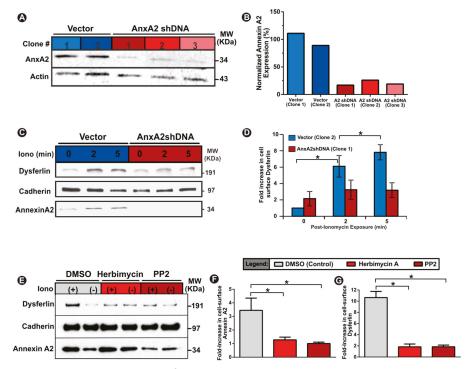


Figure 2. Annexin A2 enables  $Ca^{2+}$ -dependent increase in cell surface dysferlin. (A,B) Western blot images (A) and quantification (B) of control vector clones and Annexin A2 knockdown clones demonstrating  $\leq 20\%$  annexin A2 expression. (C) Western blot images and (D) quantification of cell-surface dysferlin in vector and annexin 2 knockdown cells at specified timepoints after calcium stimulation with calcium ionophore. (E) Western blot images and (F,G) quantification of cell-surface dysferlin and annexin 2 in ionomycin-treated cells co-treated with either DMSO (control) or annexin 2 phosphorylation inhibitors (herbimcyin A and PP2). Fold-increase values represent intensity of specific protein band normalized to respective cadherin protein band. Data is presented as mean  $\pm$  SEM, n=3 biological replicates. \* p < 0.05 vs. Vector Clone 2 (B,D) or DMSO (F,G). (B,F,G) assessed via one-way ANOVA, (D) assessed via repeated measures ANOVA, alpha set at p < 0.05.

## 3.3. Cholesterol is Required for AnxA2 and Dysferlin Accumulation at the Injured PM

Lipid microdomains facilitate PM interaction of AnxA2 and enable regulated exocytosis in lung and chromaffin cells [43–45]. Further, in non-muscle cells, dysferlin resides in cholesterol-rich lipid microdomains [46,47], and PM lipids (sterols and sphingomyelin) are involved in muscle cell repair [10,48]. Caveolae are the PM compartments enriched in cholesterol and sphingomyelin lipids. Dysferlin interacts with the caveolar protein caveolin, and caveolae support dysferlin endocytosis and PMR [49,50]. We thus examined the involvement of cholesterol and caveolae in AnxA2-dysferlin interaction and their injury-triggered membrane accumulation. Using live cell confocal imaging of PMR in myoblasts co-expressing AnxA2-GFP and caveolin-1-RFP, we observed rapid injury-triggered AnxA2 co-localization with caveolin-1 (Figure 3A,B, Video S5). Next, we examined if Ca<sup>2+</sup>-triggered PM translocation of AnxA2 was cholesterol dependent by using TIRF microscopy of myoblasts expressing AnxA2-GFP. Treatment with calcium ionophore caused a rapid PM translocation of AnxA2, which was nearly abolished by acute cholesterol extraction (20 min MβCD treatment) (Figure 3C,D). To independently establish that injury-triggered increase in Ca<sup>2+</sup> leads endogenous AnxA2 and dysferlin to interact in cholesterol-rich PM lipid microdomain, we injured C2C12 myotubes in the presence and absence of extracellular Ca<sup>2+</sup>. Following scrape injury of these muscle cells, lipid microdomains were isolated by bicarbonate extraction of the membrane followed by density gradient fractionation. Western blot analysis of the resulting fraction showed that even in the muscle cells, the majority (60–80%) of dysferlin is present in caveolin-1-containing lipid rafts (fractions 3 and 4), which are devoid of any AnxA2 when PM is injured in the absence of extracellular Ca<sup>2+</sup> (Figure 3E,F). However, injury in the presence of extracellular Ca<sup>2+</sup> caused AnxA2 (~5% of the total) to be localized in the same fraction with dysferlin and caveolin, and this AnxA2 presence also increased the relative amount of dysferlin in this fraction (Figure 3E,F). These results demonstrate that membrane injury induces translocation of Anx2 protein to membrane cholesterol-rich domains associated with caveolin and dysferlin protein. Further, in support of this injury-triggered AnxA2-dysferlin interaction we immunoprecipitated dysferlin in the injured and uninjured muscle cells and found that dysferlin interacts with and pulls down AnxA2 in the injured but not in the uninjured cells (Figure 3G). As cholesterol is a critical component of caveolae, we directly monitored the response of cholesterol lipids to PM injury. For this we labeled the cell membrane with fluorescent PEG-cholesterol and monitored the effect of focal injury. Similarly to AnxA2-GFP, we observed that PM injury caused the cholesterol to accumulate at the site of injury (Figure 3H,I, Video S6). These results indicate that both AnxA2 and cholesterol lipid respond to PM injury by accumulating at the site of injury and they partition there together with dysferlin in lipid microdomains.

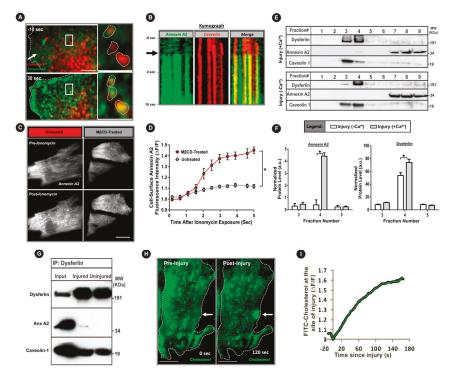


Figure 3. Injury causes binding of annexin A2 with dysferlin and co-accumulation in cholesterol-rich membrane domains. (A) TIRFM images of myoblasts co-expressing caveolin-1-RFP (red) and AnxA2-GFP (green) following laser injury (white arrow) in the presence of calcium. Inset shows zoom of white boxes demonstrating injury-induced translocation of AnxA2 to caveolin-enriched membrane domains. (B) Kymograph showing colocalization kinetics of AnxA2 (green) at the caveolin-rich membrane regions (red) following PM injury. Kymograph spans the duration of repair response beginning at eight seconds pre-injury and the black arrow indicates time of injury. (C) TIRFM images and (D) plot quantifying AnxA2 (white) membrane translocation upon calcium stimulation (ionomycin) in untreated and cholesterol-depleted (M $\beta$ CD-treated) cells (n = 20 cells per condition, 4 biological repeats). (E) Western blot images and (F) quantification of the membrane fraction of myoblasts injured in the presence or absence of extracellular calcium (n = 4). (G) Western blot of injured and uninjured myoblasts immunoprecipitated using anti-dysferlin antibody and probed for AnxA2 and caveolin co-immunoprecipitation. (H,I) Confocal images (H) and quantification (I) of FITC-cholesterol after focal laser injury (white arrow) in a myoblast. All data are presented as mean  $\pm$  SEM. \* p < 0.05vs. - MBCD-treated (D) or Ca<sup>2+</sup> condition (F). Differences in the kinetics of cell-surface AnxA2-GFP upon ionomycin exposure (D), determined via mixed model ANOVA with analyses for interaction effects between treatment condition and time. Differences in membrane fraction protein quantification (F), determined via repeated-measures ANOVA. Scale bar =  $10 \mu m$ .

#### 3.4. AnxA2 and Its Interaction with Dysferlin is Required for Plasma Membrane Repair

The results above identified the requirement of AnxA2, Src kinase activity and PM cholesterol in  $Ca^{2+}$ -triggered dysferlin accumulation at the injured PM. We next assessed the requirement of these regulators in muscle cell PM repair. First, we used glass bead injury assay to examine the PMR ability of AnxA2 knockdown myoblast cell lines. Cells were injured in the absence ( $-Ca^{2+}$ ) or presence ( $+Ca^{2+}$ ) of extracellular calcium, which showed that compared to control cells that failed to repair in the absence of  $Ca^{2+}$ , injury in the presence of  $Ca^{2+}$  led to a 10-fold improvement in PMR ability

(Figure 4A,B). However, knockdown of AnxA2 caused a 4-fold increase in the number of injured myoblasts that failed to repair (Figure 4A,B). Next, to examine if AnxA2 knockout has a similar effect on myoblast repair we isolated primary myoblasts from annexin A2 knockout and wild-type mice bred with the immortomouse background (see Methods). We first established a lack of any detectable AnxA2 protein in these knockout myoblasts (Figure 4C). We then used the laser-injury assay to study their PMR and found that AnxA2 knockout significantly impaired PMR ability, with nearly all of the AnxA2 KO cells failing to repair (Figure 4D-F). Subsequently, we examined if it is the lack of AnxA2 or inhibition of PM translocation of AnxA2 and dysferlin that impairs PMR. For this we acutely treated cells with the Src inhibitors herbimycin A and PP2, as noted above. Both these inhibitors caused a two-fold reduction in the ability of myoblasts to repair from glass bead injury (Figure 4G). Lastly, we examined if the inhibition of PM translocation of AnxA2 by cholesterol depletion also affects PMR. Using the laser injury assay, we monitored the PMR kinetics and ability following focal laser injury. Depleting the PM cholesterol with MβCD caused the treated cells to take up significantly greater FM-dye as early as 15 s post injury, and it continued even three minutes post injury (Figure 4H,I). Nearly 60% of MβCD-treated cells failed to repair PM injuries, establishing the requirement of PM cholesterol in facilitating PMR (Figure 4J).

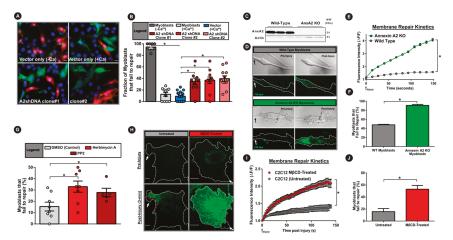


Figure 4. Annexin A2 and its PM translocation are required for myoblast cell membrane repair. (A) Images of myoblasts subjected to glass bead injury in the presence of FITC dextran (green) followed by TRITC dextran (red) to mark cells that failed to repair. (B) Quantification of the proportion of injured myoblasts that fail to repair (300 cells per condition, n = 3). (C) Western blot demonstrating presence or complete lack of AnxA2 protein in primary myoblasts isolated from wild-type and AnxA2 knockout mice respectively. (D) Brightfield and confocal images of FM dye (green) fluorescence in primary myoblasts prior to or following laser injury. (E) Plot showing the kinetics of intracellular FM dye fluorescence intensity change during PM repair in wild-type (green) and AnxA2-knockout myoblasts (gray) (n = 12 cells per condition). (F) Plot quantifying of the proportion of primary myoblasts that fail to repair following laser membrane injury (60–70 cells per condition, n = 4). (G) Plot demonstrating the proportion of myoblasts that fail to repair from glass bead injury (as for A,B) following Src tyrosine kinase inhibition with herbimycin A or PP2 (200 cells per condition, n = 5). (H) Confocal images of untreated (left) or cholesterol-depleted (right) myoblasts pre- and 3 min. post injury (site marked by white arrow) in the presence of extracellular FM dye (green). (I) Plot showing the averaged kinetics of FM-dye entry in untreated and cholesterol-depleted cells (n = 30 cells each). (J) Plot quantifying the proportion of untreated or cholesterol-extracted C2C12 myoblasts that fail to repair (30 cells per

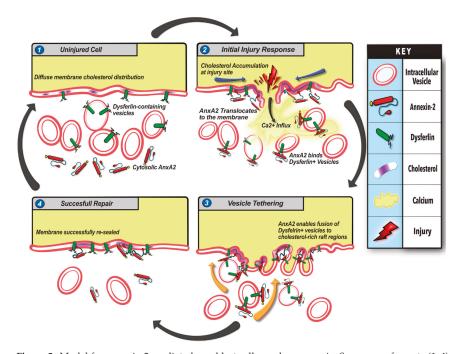
condition, n = 3). Data is presented as mean  $\pm$  SEM. \* p < 0.05 vs. vector-control cells (**B**), wild-type cells (**E**,**F**), DMSO-treated cells (**G**), and M $\beta$ CD-treated cells (**I**,**J**). Treatment induced differences in myoblast repair was assessed via one-way ANOVA (B,G) or an independent samples t-test (**F**,**J**). For kinetics analysis (**E**,**I**) mixed model ANOVA with analyses for interaction effects between treatment condition and time was used (\* p < 0.05, main effect of condition). Scale bar = 10  $\mu$ m.

#### 4. Discussion

The plasma membrane of the eukaryotic cell allows exchange and communication with the extracellular environment, while simultaneously isolating the cytoplasm from the harsh extracellular surroundings [51]. Failure to rapidly repair PM disruption results in pronounced cellular damage and cell death [51,52]. The cell's reliance on the PMR process is even greater in mechanically active tissues such as skeletal muscle, where PM injury is frequent and failure to repair leads to degenerative diseases such as muscular dystrophies, including limb girdle muscular dystrophy 2B caused by mutations in dysferlin [3,53]. Our analysis of PMR in a muscle cell line and primary myoblasts identified that this involves PM injury-triggered responses including calcium influx, Src kinase activity, and redistribution of cholesterol, AnxA2, and dysferlin. Coordination of these events involves calcium, Src activity, and cholesterol dependent recruitment of AnxA2 following PM injury that facilitates fusion of dysferlin-containing vesicles (Figure 5). The precise choreography of ions, lipids, and proteins following injury ensures timely and efficient repair of the PM. These events are complimentary—blocking Ca<sup>2+</sup> influx, Src kinase activity, or cholesterol dynamics, each blunt AnxA2 membrane translocation upon PMR. Caveolar domains in the PM serve as sites for AnxA2 binding, but they are also the site of PM-resident dysferlin, which allows synergism between Ca<sup>2+</sup>-triggered interaction of AnxA2 and dysferlin proteins. Injury-induced accumulation of cholesterol at the injury site enhances this further to support AnxA2 and dysferlin co-accumulation. These multipartite synergistic interactions provide a mechanism for tight spatial and temporal control of the PMR events.

Annexins are implicated in PMR through their role in facilitating membrane blebbing, shedding, and stabilization of the injured PM [11,21,22,26,29,30]. However, annexins are also suggested to regulate PMR by facilitating vesicle aggregation and fusion [15,34,54]. Indeed, the ability of AnxA2 to bind Ca<sup>2+</sup> and phospholipids enables aggregation and fusion of chromaffin granules and endosomes [55,56]. In accordance with the known role of AnxA2 tyrosine phosphorylation in Ca<sup>2+</sup>-triggered recruitment of AnxA2 on vesicles [57], we find that injury causes AnxA2 binding to dysferlin-containing vesicles—an effect that is abrogated by the drugs that inhibit this phosphorylation (Figure 1). Subsequently, these dysferlin-containing vesicles undergo fusion (Figure 1). We show that lack of AnxA2 or use of drugs that impair its phosphorylation both impair Ca<sup>2+</sup>-triggered cell surface accumulation of dysferlin (Figure 2). However, with the wide range of cellular roles of Src kinase [58], future studies with AnxA2 with Tyr23-Ala mutation would help to establish the importance of this phosphorylation event in AnxA2-mediated PMR.

At the plasma membrane, dysferlin and AnxA2 accumulate in cholesterol-rich microdomains, and lack of cholesterol impairs cell surface delivery of AnxA2 (Figure 3). Further, cholesterol interacts with AnxA2, and enhances the ability of AnxA2 to facilitate vesicle aggregation and fusion [44,45,59]. These observations implicate AnxA2 in exocytic delivery of dysferlin to the injured PM (Figure 5). However, dysferlin itself is a C2 domain-containing membrane protein implicated in regulating vesicle fusion [60]. Dysferlin deficit in muscle cells reduces rapid lysosome exocytosis and leads to the accumulation of sub-membranous vesicles [10,61]. These findings support the role of dysferlin in vesicle fusion. However, the detailed mechanism of dysferlin vesicle fusion and its regulation by AnxA2, dysferlin, or other binding partners, requires further investigation.



**Figure 5.** Model for annexin 2-mediated myoblast cell membrane repair. Summary of events (1–4) involved in myoblast membrane repair based on results of this study. (1) In the uninjured cell, AnxA2 is distributed diffusely in the cytosol, while cholesterol microdomains and associated dysferlin are distributed diffusely in the plasma membrane. Additionally, dysferlin also localizes on intracellular vesicles. (2) Injury to the cell membrane induces rapid influx of extracellular Ca<sup>2+</sup> ions through the ruptured membrane followed by cholesterol accumulation at the injury site, AnxA2 phosphorylation, leading to its association with dysferlin and plasma membrane lipids and cholesterol-rich microdomains. (3) AnxA2 binding promotes fusion of dysferlin-containing vesicles with the injured membrane. (4) Through the cholesterol and AnxA2-mediated exocytosis of dysferlin-containing vesicles, the cell membrane level of dysferlin increases concomitantly with the repair of the wounded membrane. This allows the cell to return to a pre-injury state with redistribution of AnxA2, cholesterol, and dysferlin to their resting state. Impairment in any step of this repair pathway—reduction in AnxA2, lack of calcium influx, cholesterol depletion, or impairment of Annexin 2 phosphorylation—interferes with myoblast membrane repair.

Independent of the precise mechanism by which AnxA2 facilitates dysferlin accumulation at the injured cell membrane, our findings establish the importance of this process for PMR (Figure 4). These findings reinforce prior work identifying that, similar to the dysferlin deficient myofibers, AnxA2-null myofibers also show poor PMR and develop progressive myopathy, leading to muscle force loss [23]. However, AnxA2 also plays an additional role in dysferlinopathic muscle degeneration, which is due to the extracellular accumulation in the dysferlin-deficient skeletal muscles [62–64]. Ca<sup>2+</sup> increase following cell injury triggers release of AnxA2 outside the muscle cell (Figure 2). While the extracellular role of AnxA2 in vascular physiology is well described, we have previously shown that extracellular increase in AnxA2 interferes with normal muscle tissue repair [23,64]. As a result of this, despite poor repair of AnxA2-null myofibers, they do not undergo fibroadipogenic loss [64]. Further, lack of AnxA2 in dysferlin-deficient mice protect their muscle from fibroadipogenic loss [64]. This shows AnxA2 functions in muscle both at an intracellular and extracellular level, working at the interface of muscle cell and tissue repair response. While the intracellular function of AnxA2 is

to sense PM injury and contribute to coordinating a membrane repair response, AnxA2 is also secreted from the cells where it facilitates tissue-level repair response. Linking the local AnxA2-mediated membrane repair response with tissue-wide signaling is an exciting and warranted area of future studies that may likely provide insights into how cellular and tissue-level repair responses are coordinated for successful repair of muscle injuries.

Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4409/9/9/1919/s1, Video S1: Annexin A2 surface translocation with injury, Video S2: Annexin A2 transcloates to dysferlin-containing vesicles and membrane-bound dysferlin upon injury, Video S3: Dysferlin vesicle fusion upon injury, Video S4: Fusion of single dysferlin-containing vesicle upon injury, Video S5: Annexin A2 translocates to caveolin-1-rich membrane regions upon injury, Video S6: Membrane cholesterol accumulation near the site of injury.

**Author Contributions:** Cell biological studies were performed by D.C.B. with help from G.C., A.D., and L.S. L.M.S.T., A.B.D. and S.M. performed the biochemical analyses. A.D. generated the knockout cells. J.K.J. conceived and designed the study, acquired funding and supervised the work. All authors have read and agreed to the published version of the manuscript.

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Article

# Annexin-A6 in Membrane Repair of Human Skeletal Muscle Cell: A Role in the Cap Subdomain

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Abstract: Defects in membrane repair contribute to the development of some muscular dystrophies, highlighting the importance to decipher the membrane repair mechanisms in human skeletal muscle. In murine myofibers, the formation of a cap subdomain composed notably by annexins (Anx) is critical for membrane repair. We applied membrane damage by laser ablation to human skeletal muscle cells and assessed the behavior of annexin-A6 (AnxA6) tagged with GFP by correlative light and electron microscopy (CLEM). We show that AnxA6 was recruited to the site of membrane injury within a few seconds after membrane injury. In addition, we show that the deficiency in AnxA6 compromises human sarcolemma repair, demonstrating the crucial role played by AnxA6 in this process. An AnxA6-containing cap-subdomain was formed in damaged human myotubes in about one minute. Through transmission electron microscopy (TEM), we observed that extension of the sarcolemma occurred during membrane resealing, which participated in forming a dense lipid structure in order to plug the hole. By properties of membrane folding and curvature, AnxA6 helped in the formation of this tight structure. The compaction of intracellular membranes—which are used for membrane resealing and engulfed in extensions of the sarcolemma—may also facilitate elimination of the excess of lipid and protein material once cell membrane has been repaired. These data reinforce the role played by AnxA6 and the cap subdomain in membrane repair of skeletal muscle cells.

**Keywords:** annexin-A6; membrane repair; human skeletal muscle; cap subdomain; fluorescence; electron microscopy; CLEM

#### 1. Introduction

Plasma membrane of numerous cell types, such as cardiac or skeletal muscle cells during contraction [1,2], epithelial cells during the passage of food bolus [3] or endothelial cells submitted to blood flux [4], endure mechanical stress that can induce membrane damages. For example, it has been observed that up to 20% of skeletal muscle cells can be damaged during physical exercise [1]. Currently, the absence or failure of membrane resealing leads to cell death and may contribute to the development of degenerative diseases, such as muscular dystrophies. Among these, limb-girdle muscular dystrophy type R2 (LGMDR2, formerly 2B) or Miyoshi myopathy and LGMDR12 (formerly 2 L) are, respectively characterized by mutations in the dysferlin and anoctamin-5 genes, leading to several dysfunctions including a failure in cell membrane repair process [5,6].

Following plasma membrane damage, extracellular mM Ca<sup>2+</sup> concentration enters the cell. The increase of intracellular Ca<sup>2+</sup> concentration triggers the repair machinery in eukaryotic cells ensuring a rapid resealing of large plasma membrane ruptures [7–9]. Several proteins have been identified as belonging to the membrane repair machinery such as AHNAK, acid sphingomyelinase, ESCRT complexes, MG53, S100 proteins, SNAREs, synaptotagmins, calpains, caveolins, dysferlin or Anx [10]. The Anx family consists of twelve soluble proteins in mammals, named AnxA1 to A13

(the number 12 is non-assigned) [11]. Anx share the property of binding to membranes exposing negatively charged phospholipids in a Ca<sup>2+</sup>-dependent manner [11,12], with little specificity for anionic lipid head-groups, yet the Ca<sup>2+</sup> concentration required for binding varies considerably between Anx [13–15]. Anx present a common carboxy-terminal membrane-binding domain formed by the cyclic arrangement of conserved 70 amino-acid repeats [11,16]. This membrane-binding domain has the shape of a slightly curved rhomboid with a convex membrane-binding face where Ca<sup>2+</sup>-binding loops are exposed and a concave face from which protrudes the amino-terminal domain. The amino-terminal part is very variable in size and is assumed to be responsible for the functional specificity of Anx [11,12,16,17]. The presence of different Anx in skeletal muscle [18–21]—together with the participation of several members of the Anx family in membrane repair processes [22,23]—raises the question of a collective role of these proteins in the protection and repair of sarcolemma injuries.

AnxA6, which is the largest Anx with a molecular weight of 68 kDa, exhibits eight 70 amino-acid conserved sequences forming two modules of four repeats [12]. It has been reported that at high Ca<sup>2+</sup> concentration (about 2 mM), AnxA6 is able to bind two distinct phosphatidylserine-containing membranes, probably by the two distinct modules [24]. The use of this property for promoting membrane repair remains elusive so far. Implication of AnxA6 in the sarcolemma repair machinery has been mainly characterized in animal models. Roostalu and Strähle have shown that AnxA6 knock-down in muscle cells leads to a form of myopathy due to a defective membrane repair in zebrafish [19]. They have observed that dysferlin and AnxA6 accumulate at the disruption site independently one to each other and are immediately followed by AnxA1 and A2, building a crucial scaffold for membrane repair. The absence of AnxA6 inhibits the accumulation of AnxA1 and A2, indicating that the sequential recruitment of Anx is therefore crucial for membrane repair [19]. The formation of a similar scaffold has been observed in murine myofibers [25]. After membrane injury, AnxA1, A2, A5 and A6 aggregate into a tight structure positioned on the exterior surface of the myofiber that the authors termed the "repair cap" subdomain (hereafter termed cap subdomain). Adjacent to the cap subdomain is a region the authors referred to as the "shoulder" subdomain"—where phosphatidylinositol-4,5-bisphosphate, phosphatidylserine, dysferlin, MG53, BIN1 and EHD2 accumulate [25]. Regarding human cells, the rapid recruitment of Anx in a tight structure, which may look like a cap subdomain, has been observed for AnxA5 in skeletal muscle cells and AnxA4 and AnxA6 in cancer epithelial cells [26,27]. In these cancer epithelial cells, Nylandsted and collaborators have shown that AnxA6 induces membrane curvature, which may facilitate membrane resealing [27,28].

Hitherto, no muscular dystrophy has been linked to AnxA6 deficiency, but the etiology of numerous muscular dystrophies remains unknown. Nevertheless, AnxA6 has been identified as being a genetic modifier of muscular dystrophy [21,29]. Indeed, a truncated form of AnxA6, called AnxA6N32, prevents the translocation of wild-type AnxA6 to the disruption site in mice muscle fibers, leading to a reduced or inexistent cap subdomain and inhibition of membrane repair in these cells. The importance of AnxA6 in membrane repair has been recently reinforced by the demonstration that the treatment with recombinant AnxA6 protects against acute muscle injury in wild-type mice and reduced the level of serum creatinine kinase, a biomarker of disease, in a model of muscular dystrophy [30]. A dysfunction of AnxA6 in human muscle cells may therefore greatly impact the severity of the disease.

Here, we analyzed the response of myotubes established from a human skeletal muscle cell line to membrane damage by laser ablation. We observed that myotubes rendered deficient for AnxA6 suffered from a defect of membrane repair. In damaged myotubes, the behavior of AnxA6 coupled to GFP was analyzed and compared to AnxA5 and dysferlin. We observed that AnxA6 belongs to the cap subdomain built during membrane resealing. By means of CLEM, we characterized the cap subdomain, which is notably composed of an extension of the cell membrane. This extension of the cell membrane may promote membrane resealing and also participate in the compaction of lipid and protein material that has to be eliminated after membrane repair.

#### 2. Materials and Methods

#### 2.1. Culture of Human Skeletal Muscle Cells

The healthy LHCN-M2 (referred to hereafter as LHCN) cell line was provided by the platform for immortalization of human cells from the Center of Research in Myology (Paris, France). This cell line was established from satellite cells of the pectoralis major muscle of a 41-year-old subject [31]. Myoblasts were cultured in a skeletal muscle medium composed by one volume of Medium 199 with glutaMAX<sup>TM</sup> (Gibco® by by Thermo Fisher Scientific, Waltham, MA, USA), four volumes of Dubelcco's modified Eagle Medium (DMEM) with high-glucose and glutaMAX<sup>TM</sup> and without pyruvate (Gibco® by Thermo Fisher Scientific, Waltham, MA, USA) supplemented with 20% fetal bovine serum, 50- $\mu$ g/mL gentamycin and a commercial mix of skeletal muscle cell growth medium supplements (ref. C-39365, Promocell, Heidelberg, Germany), which included 12.5- $\mu$ g/mL fetuin, 2.5- $\mu$ g/mL human recombinant epidermal growth factor, 0.25- $\mu$ g/mL basic fibroblast growth factor, 2.5- $\mu$ g/mL insulin, 0.1- $\mu$ g/mL dexamethasone. Myotubes were obtained by cultivating 90%-confluence myoblasts for three days in a differentiation medium, composed of the skeletal muscle medium supplemented only with 10- $\mu$ g/mL insulin.

The MDA-MB-231 cancer cell line was cultured in DMEM containing high-glucose, pyruvate and gluta $MAX^{TM}$  (Gibco® by Thermo Fisher Scientific) supplemented with 10% fetal bovine serum.

#### 2.2. Western Blot

Myotubes were trypsinized, pelleted and resuspended in 300 μL of Dulbecco's phosphate buffer saline (D-PBS) depleted in Ca²+ and supplemented with 1-mM EGTA. Sonication of ice-cold cell suspension was performed with a Branson digital sonifier (amplitude 20%, duration 2 min, interval 5 s and pulse 5 s) and two successive centrifugations at 13,000 g for 1 min allowed to remove cell debris. 10 μg protein extracts were separated on 10% SDS-PAGE. Tank electrophoretic transfer (Bio-Rad, Hercules, CA, USA) onto PVDF membrane was performed for 1 h at 100 V. AnxA5 (35 kDa) and AnxA6 (68 kDa) were, respectively detected with mouse anti-AnxA5 (Sigma, Saint-Louis, MI, USA) and anti-A6 (Santa Cruz Biotechnology, Dallas, TX, USA) monoclonal antibodies and GAPDH (loading control) was detected with a rabbit anti-GAPDH polyclonal antibody (Santa Cruz Biotechnology). Primary antibodies were diluted 1:1000 in saturation solution composed by Tris buffer saline (10-mM Tris, 150-mM NaCl, pH 8.0) supplemented with 0.1% Tween20 and 5% non-fat dry milk. Revelation was performed using secondary antibodies conjugated to horse-radish peroxidase (GE-Healthcare, Chicago, IL, USA) diluted 1:2000 in saturation solution and Opti-4CN™ colorimetric kit (Bio-Rad, Hercules, CA, USA). ImageJ software was used to measure the relative intensity of protein bands.

#### 2.3. Membrane Rupture and Repair Assay

Membrane repair was assayed as recently reported [32], according to a protocol that differed slightly from our previous studies [26,33]. Myotubes were cultured in complete growth medium on 18\*18-mm glass coverslips (Nunc). A solution of 5- $\mu$ g/mL FM1-43 (Thermo Fisher Scientific, Waltham, MA, USA) in D-PBS containing 2-mM Ca²+ was maintained over ice and subsequently added in a homemade coverslip cell chamber, where the coverslip was mounted. To induce membrane damage, cells were irradiated at 820 nm with a tunable pulsed depletion laser Mai Tai HP (Spectra-Physics, Irvine, USA) of an upright two-photon confocal scanning microscope (TCS SP5, Leica, Wetzlar, Germany) equipped with an HCX APO L U–V-I 63.0 × 0.90 water lens. Irradiation consisted of 1 scan (1.3 s) of a 1  $\mu$ m × 1  $\mu$ m area with a power of 110 ( $\pm$ 5) mW. 512 × 512 images were acquired at 1.3 s intervals with pinhole set to 1 Airy unit. Membrane rupture and repair processes were monitored by measuring variations in fluorescence intensity of FM1-43 as previously described [26,33]. The FM1-43 was excited by the 488-nm laser line (intensity set at 30% of maximal power) and fluorescence emission was measured between 520 nm and 650 nm. For quantitative analysis, the fluorescence intensity

was integrated over the whole cell surface and corrected for the fluorescence value recorded before irradiation, using ImageJ software.

#### 2.4. Transduction of AnxA6-Targetting shRNA Lentiviral Particles in LHCN Myotubes

The following shRNA sequences, cloned into the pLKO.1 puro-vector (MISSION® shRNA plasmids, Sigma, Saint-Louis, MI, USA), were used: AnxA6-targetting shRNAA6-1 (TRCN0000011461, Sigma): 5'CCGGCGG GCACTTCTGCCAAGAAATCTCGAGATTTCTTGGCAGAAGTGCCCGTT TTT3'; shRNAA6-2 (TRC N0000008686, Sigma): 5'CCGGCGGTTGGTGTTCGATGAGTATCTCGAGATA CTCATCGAACACCAACCGTTTTT3'; control shRNA: 5'CCTAAGGTTAAGTCGCCCTCGCTCGAGCG  $AGGGGGACTTAACCTTAGG^{3^{\prime}}. \ The \ two \ AnxA6-targetting \ shRNAs \ were \ selected \ from \ a \ commercial$ library according to the "mean knockdown level" given by the supplier (0.9 and 0.87, respectively). Lentiviral-based particles containing shRNAs were produced by Bordeaux University lentiviral vectorology platform (US005, Bordeaux, France) by transient transfection of 293T cells. MDA-MB-231 cells and LHCN myoblasts were cultured in their respective growth medium for 24 h. Transduction was carried out by adding concentrated lentiviral particles to the cells at a multiplicity of infection (MOI) of 100 in Opti-MEM® medium for 24 h. Cells were subsequently incubated with growth medium for 24 h and then 2-µg/mL puromycin (Sigma, Saint-Louis, MI, USA) were added in order to select transduced cells. 72 h after antibiotic treatment, western-blot analysis or immunocytofluorescence experiments were performed as described in Sections 2.2 and 2.6, respectively. To establish Anx-deficient myotubes, myoblasts were incubated in differentiation medium for 7 h 30 and then transduced with shRNA lentiviral particles at a MOI of 100 in Opti-MEM® medium for 24 h. Subsequently, cells were incubated in differentiation medium for 24 h and 2-µg/mL puromycin were added for 72 h in order to select transduced myotubes.

#### 2.5. Subcellular Traffic of AnxA6-GFP in Damaged Myotubes

The pA6-GFP plasmid was constructed by cloning AnxA6 cDNA into the pEGFP-N1 (Takara Bio USA, Mountain View, CA, USA) plasmid and was a gift of Volker Gerke (University of Munster, Germany). The pA5-mCh plasmid, which contained recombinant AnxA5-mCherry, was constructed from pA6-GFP using the In-Fusion® HD Cloning kit (Takara Bio USA, Mountain View, CA, USA). Anx-GFP or Anx-mCherry fusion proteins harbored the fluorescent tag at the C-terminus. Myotubes at 65 h of differentiation were transfected using Lipofectamine 2000 reagent (Thermo Fisher Scientific, Waltham, MA, USA). 0.4  $\mu$ g plasmid was mixed with 0.52  $\mu$ L Lipofectamine 2000 for 20 min at room temperature and the mixture was incubated with cells cultured on 18\*18-mm glass coverslip for 4 h at 37 °C in 200  $\mu$ L Opti-MEM® (Thermo Fisher Scientific, Waltham, MA, USA). Transfection was renewed once and myotubes were then incubated for at least 24 h in differentiation medium before analysis. For myotubes co-expressing pA6-GFP together with pA5-mCh, fluorescent signal of GFP and mCherry is, respectively presented in green and magenta within the manuscript, for a better visualization of AnxA5-mCherry. Magenta is the color that the human eye best distinguishes from green [34].

#### 2.6. Immunocytofluorescence

Cells were fixed and permeabilized in 100% methanol solution (5 min, at  $-20\,^{\circ}$ C). All subsequent steps (antibody incubation and washes) were performed using 2% BSA in D-PBS solution. Primary antibody at 1:100 and secondary antibody at 1:1000 were successively incubated with cells for 1 h at 37 °C. Antibodies were mouse monoclonal anti-AnxA6 antibody (Santa Cruz Biotechnology, sc-271859), mouse monoclonal anti-Dysferlin antibody (Leica, NCL-Hamlet), Alexa Fluor 488-coupled anti-mouse goat antibody and Alexa Fluor 546-coupled anti-rabbit donkey antibody (Thermo Fisher Scientific, Waltham, MA, USA). Finally, cells were washed in D-PBS and nuclear counterstaining was performed with DAPI (Sigma, Saint-Louis, MI, USA).

#### 2.7. Immuno-TEM

For subcellular localization of endogenous Anx and CLEM in damaged cells, myotubes were formed and cultured in a 35-mm glass bottom dish equipped with a square-patterned coverslip (MatTek, Ashland, MA, USA). Cell membrane rupture was performed by laser ablation according to the protocol described above, but in the absence of FM1-43 to avoid fluorescence crosstalk. Myotubes were fixed in 1% glutaraldehyde solution for 20 min at room temperature, as described previously [35]. They were subsequently incubated in 25-mM ammonium chloride for 15 min and permeabilization and saturation of nonspecific sites were performed in a mixture of 2% BSA and 0.1% TritonX100 in D-PBS for 30 min. All subsequent steps (antibody incubation and washes) were performed using 2% BSA in D-PBS solution. Mouse anti-AnxA6 monoclonal antibody at 1:100 and secondary Alexa Fluor 488- and gold nanoparticles-conjugated anti-mouse goat antibody (FluoroNanogold, Nanoprobes, NY, USA) at 1:100 were successively incubated with myotubes for 1 h at 37 °C. After three rinses, myotubes were observed by fluorescence microscopy. Cells were then postfixed overnight at 4 °C in a mixture of 4% paraformaldehyde and 2% glutaraldehyde in 0.1-M cacodylate buffer (pH 7.4). Signal amplification was performed using the HQ silver kit (Nanoprobes, NY, USA) according to the manufacturer's instructions. Cells were treated with 1% osmium tetroxide in 0.1-M cacodylate buffer for 1 h at room temperature and then dehydrated with ethanol and finally embedded in Epon-Araldite. Thin sections (65 nm) were collected using EM UC7 ultramicrotome (Leica, Wetzlar, Germany) and stained successively with 5% uranyl acetate and 1% lead citrate. TEM observation was performed with a FEI CM120 operated at 120 kV. Images were recorded with a USC1000 slow scan CCD camera (Gatan, Pleasanton, CA, USA).

#### 3. Results

#### 3.1. Expression and Distribution of AnxA6 in Human Skeletal Muscle Cells

In order to investigate the relative expression of AnxA6 in human skeletal muscle cells, western-blot analysis was performed from the healthy human myogenic cell line LHCN, which was established from satellite cells isolated from pectoralis major adult muscle [31]. We observed that myotubes showed a 3-fold increase in AnxA6 expression compared to myoblasts (Figure 1A,B), whereas AnxA5 expression is unchanged between myoblasts and myotubes as previously reported [26]. By immunocytofluorescence, we observed that endogenous AnxA6 is exclusively cytoplasmic in LHCN myoblasts and myotubes (Figure 1C). Homogenous distribution of the fluorescence suggested that AnxA6 is mainly cytosolic. When LHCN myoblasts and myotubes were transfected by an AnxA6-GFP expression plasmid, we observed that the fusion protein also exhibited a cytosolic localization (Figure 1D).

We concluded therefore that AnxA6 localizes exclusively in the cytoplasm of human myoblasts and myotubes, as previously described for other cell types or species [19,36]. AnxA6 expression is enhanced in myotubes, which suggests it is important for physiological processes in differentiated muscle cells.

#### 3.2. AnxA6 is Mandatory for Membrane Repair in Human Skeletal Muscle Cells

In order to study the involvement of AnxA6 in membrane repair, we had to generate AnxA6-deficient myotubes. As with other post-mitotic cells, transfection or transduction of differentiated muscle cells remains a challenging task. Here, we applied a shRNA strategy as previously performed for the knock-down of AnxA5 in LHCN myotubes [26]. Screening experiments were performed using the MDA-MB-231 cell line, which is easier to be transduced, with two candidate shRNAs selected from a commercial library. Western-blot analysis showed that both shRNAs are able to specifically reduce AnxA6 expression of more than 90% systematically (n = 3, Figure S1). Using experimental conditions established with MDA-MB-231 cells, LHCN myoblasts were then transduced with both shRNAs. AnxA6 expression in transduced LHCN myoblasts was about 40% lower than in control cells, in a fairly similar way whatever the shRNA sequence (Figure S2). No synergy

effect was observed when both shRNA sequences were mixed. As expected, the level of knock-down was lower in myoblasts compared to MDA-MB-231 cells. LHCN myotubes, which are multinucleated cells measuring several hundred µm long, are normally established from fusion of myoblasts cultured three days in the differentiation medium [26] (Figure S3A). However, as previously reported for AnxA5-deficient LHCN myoblasts [26], we observed that LHCN myoblasts rendered deficient in AnxA6 were unable to form myotubes (Figure S3B), suggesting that AnxA6 is involved in the process of cell differentiation and/or fusion. This result also implied that shRNA transduction had to be carried out during the formation of myotubes. We determined that transduction had to be performed 8 h after starting incubation of myoblasts in differentiation medium. Since western-blot analysis requires a large number of cells and prevents to distinguish between myotubes and myoblasts remaining in culture, we quantified the effect of shRNAA6 transduction specifically in myotubes by immunocytofluorescence. Preliminary experiments showed that quantification by immunocytofluorescence gave similar results to western-blot analysis regarding the relative expression of AnxA6 in shRNA-transduced or control LHCN myoblasts (Figure S4). Whatever the shRNA sequence used; we observed a decrease of about 60% of the expression of AnxA6 in shRNA-transduced LHCN myotubes (Figure S5). Subsequent experiments were performed using the LHCN myotubes transduced with the shRNAA6-2 sequence, which are hereafter named AnxA6-deficient myotubes.

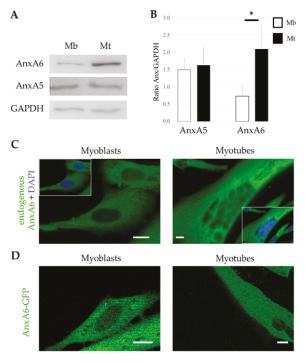


Figure 1. Expression and subcellular distribution of AnxA6 in human myoblasts and myotubes. (A,B) Cellular content in endogenous AnxA5 and AnxA6 in healthy LHCN-M2 (LHCN) myoblasts (Mb) and myotubes (Mt) was quantified through western-blot analysis; (B) data are mean  $\pm$  SEM from five experiments. Wilcoxon test, \* p < 0.05; (C) subcellular localization of endogenous AnxA6 (green) in LHCN myoblasts and myotubes by immunocytofluorescence. In the insets, nuclear counterstaining with DAPI is displayed (blue). Scale bars:  $10 \mu m$ ; (D) subcellular localization of AnxA6-GFP in living LHCN myoblasts and myotubes by fluorescence microscopy. Scale bars:  $10 \mu m$ .

Sarcolemma repair assay was performed by laser ablation in the presence of Ca<sup>2+</sup> and FM1-43, as previously described [32,33]. By analyzing changes in the FM1-43 intracellular fluorescence intensity, we first confirmed that LHCN myotubes are able to reseal a µm-size membrane damage in about 80 s (Figure 2A,C) as previously reported [26]. When AnxA6-deficient LHCN myotubes were submitted to the same irradiation conditions, different types of response were observed. Some myotubes exhibited an increase in fluorescence intensity limited to an area close to the disruption site (Figure 2B, repaired). The kinetics of fluorescence intensity changes were characterized by an increase for about 70-90 s and then the presence of a plateau (Figure 2D, repaired), which indicated a rapid resealing of cell membrane. Some other myotubes exhibited a strong and large increase of the intracellular fluorescence intensity (Figure 2B,D, unrepaired), which meant that they were unable to reseal cell membrane damage. Finally, for a significant part (about 50%) of them we were unable to determine whether cell membrane resealing occurred or not (Table S1). Indeed, kinetics associated with these myotubes exhibited whether a weak, but continuous raise of the intracellular fluorescence intensity or the presence of a plateau at a very high level of fluorescence intensity (Figure 2B,D undetermined). These complex and unclear responses of AnxA6-deficient myotubes to laser membrane damage result probably from a variable AnxA6 expression due to an uncomplete shRNA knock-down. We had therefore to slightly modify the way to analyze membrane repair. Control and AnxA6-deficient myotubes were cultured on glass bottom dishes equipped with a square-patterned coverslip that enabled cell tracking during different stages of the experiment (Figure 3). A field identified by means of the alphanumeric code was imaged and myotubes present in the field were successively damaged by laser ablation exactly as in the standard membrane repair assay. In the case of control LHCN myotubes, which are able to rapidly reseal sarcolemma damage, we observed that a large majority (about 90%, Table S2A) of damaged myotubes were still adhered on the coverslip 1 h after laser ablation (Figure 3A). A similar result was observed when LHCN myotubes were transduced with a scrambled shRNA (data not shown). However, when AnxA6-deficient LHCN myotubes were submitted to the same experimental conditions, we observed that only 30% of myotubes were still present on the coverslip 1 h after laser ablation (Figure 3B and Table S2B). It is likely that the loss of 70% of myotubes is due to the absence of membrane repair, which leads to the death of cells that are released from the coverslip. We therefore concluded that the deficiency in AnxA6 compromises sarcolemma repair, suggesting that AnxA6 is a crucial component of the membrane repair machinery in human skeletal muscle cells.

#### 3.3. Recruitment of AnxA6 at the Membrane Disruption Site and Formation of the Cap Subdomain

All proteins composing the repair machinery, notably dysferlin [5], Anx [18,19,37–39] and MG-53 [40], are rapidly recruited to the membrane disruption site. In order to investigate the behavior of AnxA6 during human sarcolemma injury, we analyzed the subcellular trafficking of AnxA6 fused to GFP in laser-damaged LHCN myotubes. For this purpose, laser ablation was performed at two different positions on cells in order to study the kinetics of recruitment from two different perspectives. Membrane damage was carried out either at the lateral edge —which is the conventional way to perform membrane damage by laser ablation—or on the surface of the myotube (Figure S6). Irradiation at the edge of the cell allowed to visualize the wounded area sideways, whereas the irradiation on the surface allowed a view from the top.

We observed that AnxA6-GFP was recruited to the disruption site immediately after membrane damage and appeared to be primarily associated with the plasma membrane (Figure 4A, +1.3 s and Video S1). Fluorescence intensity increased mainly at the plasma membrane around the rupture site over a large area (+13.0 s). From about 20 s after membrane injury, AnxA6-GFP that was interacting with the plasma membrane seemed to be released from the inner leaflet and went back to the cytoplasm (Video S1). This result suggested that intracellular Ca<sup>2+</sup> concentration rapidly decreased, probably while plasma membrane was resealed. Then, we observed that AnxA6-GFP concentrated in a structure (+26.0 s) that persisted beyond 65 s (Figure 4B). We checked by immunocytofluorescence that endogenous AnxA6 accumulated similarly in damaged control LHCN myotubes (Figure 4C). This tight

structure that was positioned on the exterior surface of the myotube is identical to the cap subdomain previously described in murine myofibers [25]. In these cells, adjacent to the cap subdomain is the shoulder subdomain, which is composed notably by dysferlin, MG53, BIN1 and EHD2 [25]. To confirm the presence of both subdomains in repaired LHCN myotubes, we damaged AnxA6-GFP-expressing myotubes by laser ablation and performed immunostaining of dysferlin. If we observed a region where AnxA6 and dysferlin overlapped, we were able also to distinguish two specific regions with either AnxA6-GFP or dysferlin corresponding, respectively to the cap and shoulder subdomains (Figure 4D). When laser ablation was performed on the surface of the myotube, we observed a slower recruitment of AnxA6-GFP to the disruption site (Figure 4E and Video S2). For instance, no increase of fluorescence intensity was observed around the disruption site within the first 20 s after membrane damage. This slower dynamic resulted probably from a stronger membrane damage, as the laser penetrated deeply in the cytoplasm. AnxA6-GFP was finally recruited about 20 s after membrane injury in a wave-like motion (Video S2). Such a behavior, which was not identified when the wounded area was visualized sideways, has been previously reported for S100A11 during its recruitment to the laser-injured membrane site in HeLa cells [41]. Molecular mechanisms responsible for this specific motion remain to be elucidated. After about 30 s, we observed that AnxA6-GFP accumulated at the disruption site, plugging the hole created by the membrane injury (Figure 4E). A strictly different behavior was observed in damaged MCF-7 cancer cells, in which AnxA6-GFP is recruited to wound edges where it promotes the closure of the hole by triggering contraction of the membrane edges [27]. The role played by AnxA6 in the processes of membrane repair may therefore be different in human skeletal muscle and cancer epithelial cells.

We previously reported that AnxA5 participates in the membrane repair of human skeletal myotubes [26] and AnxA5 has also been observed as composing the cap subdomain in murine myofibers [25]. In order to compare the traffic of AnxA5 and AnxA6 in human skeletal muscle cells during sarcolemma repair, we imaged AnxA5-mCherry and AnxA6-GFP in LHCN myotubes damaged by laser ablation. We observed that AnxA5-mCherry and AnxA6-GFP were recruited nearly immediately and simultaneously to the damaged site of the plasma membrane (Figure 5A and Video S3). Nevertheless, a major difference distinguished AnxA5 from AnxA6: AnxA5 was present in a limited area at the disruption site, while AnxA6 spread more widely on the plasma membrane around the disruption site. AnxA5 and AnxA6 were present in the cap subdomain, AnxA5 composing the core of the subdomain (Figure 5B). This result is consistent with previous studies showing the presence of AnxA5 in the cap subdomain of murine myofibers [25] and human myotubes [26]. We previously showed that AnxA5 forms two-dimensional arrays around the disruption site to prevent the expansion of the tear and promote membrane repair [26,37]. The observation of a small cluster of AnxA5-mCherry in the cap subdomain could correspond to AnxA5 arrays that interacted with the edges of the torn membrane and were then packaged in the cap after membrane resealing. All together, these results indicated that membrane repair in human skeletal muscle cells is based on the formation of a cap subdomain, composed notably by AnxA6.

#### 3.4. Visualization of AnxA6 and the Cap Subdomain by TEM

To further characterize the cap subdomain and the role played by AnxA6 in this structure, we imaged by CLEM damaged AnxA6-GFP-expressing myotubes, as previously described [26]. Briefly, we observed AnxA6-GFP in a single damaged myotube successively through fluorescence microscopy and TEM. Immunostaining of AnxA6 using a secondary antibody coupled to gold nanoparticles enabled to analyze the distribution of the protein in the damaged myotube at high resolution. We first carried out membrane damage at the edge of the myotube (Figure 6A,B) and focused our attention on the characterization of the ultrastructure of the cap subdomain. Indeed, little information was known about the origin, structure and function of the cap subdomain. It has been proposed that the cap subdomain may correspond to an ordered protein scaffold required for membrane repair, which is composed notably by Anx [25]. Even if the cap subdomain can vary considerably in size, TEM images

revealed that it is characterized by an accumulation of disorganized membrane material on the exterior surface of the myotube, exactly where laser ablation was performed (Figure 6A and Figure S7). In most cases, membrane material that was accumulated in the cap subdomain appeared as a tangle of filaments, suggesting it is of cell membrane origin. This excess of plasma membrane may arise from lysosome exocytosis, which would increase the membrane surface and thus reduce the tension exerted by the cortical cytoskeleton, as previously proposed [42]. AnxA6-GFP appeared to be accumulated mainly outside the cell in the cap subdomain, interacting primarily with membrane filaments that may correspond to extensions of the sarcolemma (Figure 6A). Endogenous AnxA6 exhibited a similar distribution (Figure S7).

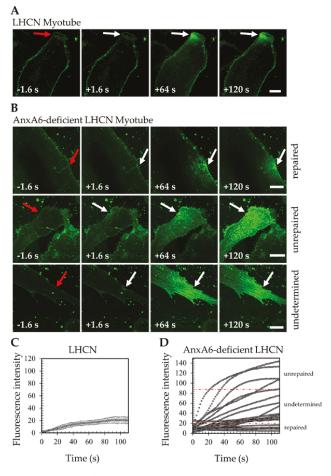


Figure 2. Responses of AnxA6-deficient LHCN myotubes to laser ablation. (A-B) Sequences of images showing the response of a wild-type (A) and three different AnxA6-deficient (B) LHCN myotubes to 110-mW laser irradiation in the presence of 2-mM  $\rm Ca^{2+}$  and FM1-43 (green). In these panels, the area of membrane irradiation is marked with a red arrow before irradiation and a white arrow after irradiation. Time at which the image was recorded before (-) or after (+) irradiation is indicated. Scale bars = 20  $\mu$ m; (C,D) Data represent the FM1-43 fluorescence intensity integrated over whole cell section, which is plotted versus time. For LHCN myotubes (mean  $\pm$  SEM of 20 cells within 2 independent experiments), the fluorescence intensity reached a plateau after ~80 s (C). For AnxA6-deficient myotubes, very different

kinetics were observed from one myotube to another. As an example, one typical experiment with 20 cells is presented in (**D**). When the presence of a plateau and a maximal fluorescence intensity lower than 20 a.u. were observed, the cell was classified as "repaired cell" (lower part of the graph, as indicated). When the kinetics of fluorescence changes showed a continuous increase with values higher than 90 a.u., the cell was classified as "unrepaired cell" (upper part). Otherwise, cells were classified as "undetermined cells". Data analysis is presented in Table S1. Red dashed lines divide the three types of response.

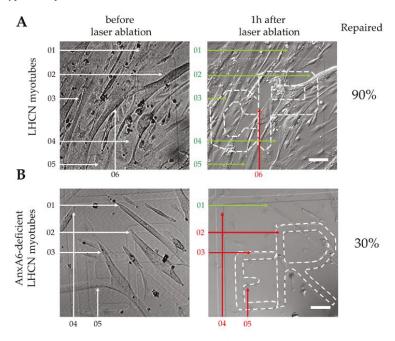


Figure 3. Laser ablation leads to the death of AnxA6-deficient LHCN myotubes. (A) Control or (B) AnxA6-deficient LHCN myotubes were cultivated on a square-patterned coverslip displaying an alphanumeric code that enabled cell tracking. Left-hand images exhibit myotubes before laser ablation. Each arrow indicates the area to be damaged, which is identified by a number. On the right-hand images, the alphanumeric code has been drawn for sake of clarity. Green arrows indicate myotubes that are still present 1 h after laser ablation. In contrast, red arrows point out myotubes that disappeared. About 100 cells over 5 independent experiments were analyzed for each condition. Data analysis is presented in Table S2. Scale bars =  $100 \ \mu m$ .

When LHCN myotubes were damaged on their surface, accumulation of membrane material at the disruption site was visible on several sections (Figure 6E). A tangle of membranes was observed in sections located above the plane of the damaged sarcolemma, which may correspond to the cap subdomain that is localized outside the cell (Figure 6E, Section 1). Deeply in the cytoplasm we observed that an accumulation of membrane material filled the entire area damaged by laser ablation. We can hypothesize that the laser penetrated deeply in the cytoplasm and created a significant membrane damage, which required greater mobilization of intracellular material to plug the tear (Figure 6E, Sections 2 and 3). AnxA6-GFP was found mainly associated with membranes present above the plane of the initial position of the sarcolemma (Section 1) and rarely in cytoplasmic sections (Sections 2 and 3). AnxA6 seems therefore accumulated essentially in the cap subdomain outside the damaged area, in agreement with the fluorescence images of AnxA6-GFP (Figure 4A).

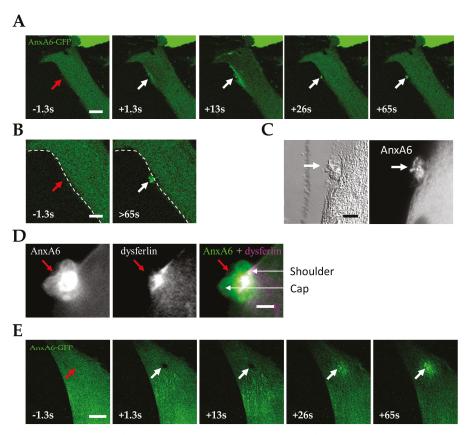
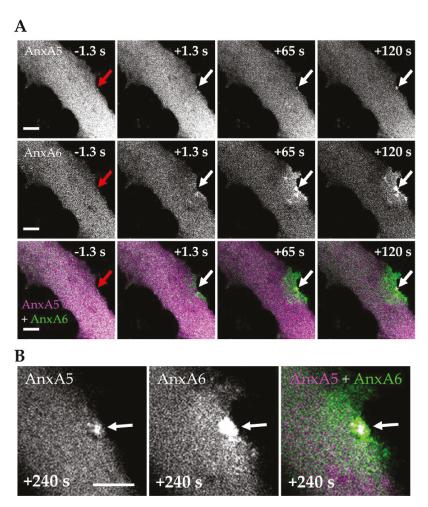


Figure 4. Recruitment of AnxA6 to the damaged membrane site and formation of the cap subdomain in LHCN myotube. (A) LHCN myotubes were transfected with the plasmid pA6-GFP and membrane damage experiments were performed by laser ablation at the lateral edge of the myotube. Red arrow, area before irradiation; white arrow, area after irradiation. Scale bar:  $10 \, \mu m$ ; (B) Magnified images of the disruption site before (left) and more than 65 s (right) after laser ablation. The dashed white line corresponds to the position of the plasma membrane before irradiation. Scale bar:  $5 \, \mu m$ ; (C) Distribution of endogenous AnxA6 in a damaged LHCN myotube. The plasma membrane of LHCN myotubes was damaged by laser irradiation. Myotubes were immunostained for AnxA6 and observed in bright-field (left) and fluorescence microscopy (right). White arrow, irradiated area. Scale bar:  $5 \, \mu m$ ; (D) Distribution of AnxA6 and dysferlin between cap and shoulder subdomains. A LHCN myotube expressing AnxA6-GFP (green) was damaged at the lateral edge and then fixed and immunostained for dysferlin (magenta). Red arrow, irradiated area (E) LHCN myotubes were transfected with the plasmid pA6-GFP and membrane damage experiments were performed by laser ablation on the surface of the myotube. Red arrow, area before irradiation; white arrow, area after irradiation. Scale bar:  $20 \, \mu m$ .



**Figure 5.** Distribution of AnxA5 and AnxA6 within the cap subdomain. LHCN myotubes were transfected with the plasmids pA6-GFP and pA5-mCherry to express AnxA6-GFP (green) and AnxA5-mCherry (magenta) and membrane damage experiments were performed by laser ablation at the edge of the myotube. (**A**) Follow-up of the recruitment of AnxA6 and AnxA5 within 120 s after membrane damage. Red arrow, area before irradiation; white arrow, area after irradiation; (**B**) Enlarged images of the damaged area 240 s after membrane damage. Scale bars: 10 μm.

To get further insight in the formation of the cap subdomain, we sought to fix myotubes rapidly after membrane damage. We had the opportunity to observe the membrane disruption site during the formation of the cap subdomain (Figure 7). This event was characterized by the fact that AnxA6 was still interacting with a large area around the disruption site (Figure 7B).

The typical image displayed in Figure 7C shows the complex structure of the disruption site during membrane resealing (Figure 7C). Multiple intracellular vesicles were present at the base of the membrane material accumulated at the disruption site, supporting the hypothesis of the formation of a lipid patch. We also observed a tangle of membrane filaments that formed the main part of the protrusion. AnxA6 was mainly interacting with these membrane filaments, which obviously looked like extensions of the sarcolemma.

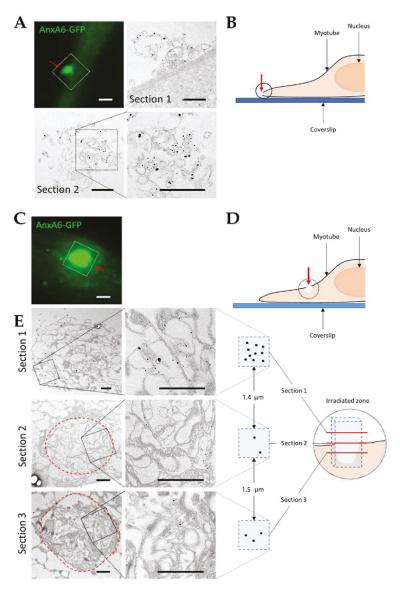


Figure 6. Correlative light and electron microscopy (CLEM) imaging of damaged AnxA6-GFP-expressing LHCN myotubes. LHCN myotubes were transfected with pA6-GFP plasmid and membrane damage was performed on the edge (A) or on the surface (C, E) of the myotubes as featured in (B) and (D), respectively. After membrane injury, AnxA6-GFP (green) was observed in fluorescence microscopy for about two minutes and chemically fixed. Myotubes were then immunostained for AnxA6 with a secondary antibody coupled with a gold nanoparticle (black particles in A and E) and analyzed by TEM (bright images in A and E). Fluorescence images in (A) and (C) correspond to the localization of AnxA6-GFP about two minutes after membrane injury. In (E), the diagram on the right represents the irradiated area and the location of the three sections observed. Red arrow, irradiated area; red dotted lines, boundaries of the damaged area. Scale bar in fluorescence microscopy: 5  $\mu$ m. Scale bar in TEM: 1  $\mu$ m.

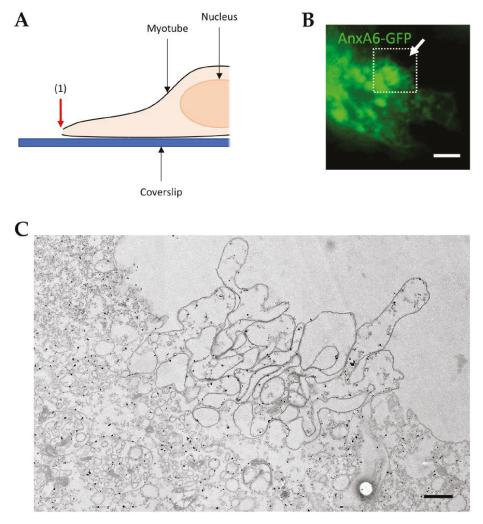


Figure 7. CLEM imaging of AnxA6-GFP-expressing LHCN myotubes during the formation of the cap subdomain. (A) LHCN myotubes were transfected with pA6-GFP plasmid and membrane damage was performed at the edge of the myotubes, which were then rapidly fixed. (B) Recruitment of AnxA6-GFP was checked by fluorescence microscopy as described in the legend of Figure 6. (C) Myotubes were then immunostained for AnxA6 with a secondary antibody coupled with a gold nanoparticle and observed in TEM. Red and white arrows, irradiated area. Scale bar in fluorescence microscopy: 5  $\mu$ m. Scale bar in TEM: 1  $\mu$ m.

#### 4. Discussion

Here we show that the deficiency of AnxA6 in human myotubes compromises sarcolemma repair. This result confirms previous studies that have shown the crucial role played by AnxA6 in membrane repair [19,25,27,30]. We observed that AnxA6 expression increases during differentiation of myoblasts into myotubes, which correlates with the fact that myotubes are more prone to membrane damage and must be well-equipped to cope with more frequent mechanical stress due to contraction. In laser damaged human myotubes, AnxA6 is recruited to the disruption site within seconds after

sarcolemma rupture, where it mainly interacts with cell membrane. About one minute after injury, AnxA6 is found in a very tight structure outside the myotube, which is identical to the cap subdomain previously described by McNally and collaborators [25]. The presence of dysferlin at the base of the cap subdomain indicates the existence of shoulder subdomains in human resealing sarcolemma, as described in murine myofibers [25].

Structure, origin and specific function of the cap subdomain remained unclear. It has been reported that it may correspond to a protein scaffold mainly constituted of Anx and essential for membrane repair [25]. Currently, our TEM images reveal the presence of a dense and disorganized membrane structure outside the myotube several minutes after membrane resealing, which corresponds to the cap subdomain. The cap subdomain would therefore not be a protein scaffold that participates in membrane repair, but rather the excess of lipid and protein material to be eliminated after membrane repair. Our TEM images also reveal that during the process of membrane resealing a large part of the membrane material is constituted of "filaments" that seem to correspond to extension of the cell membrane. Expansion of cell membrane triggered by lysosome exocytosis has been already proposed in order to reduce tension exerted by cortical cytoskeleton and thus promote membrane repair [42]. Our finding has resolved a longstanding mystery about "filaments" stained by FM1-43 and randomly observed by fluorescence microscopy during our membrane repair assays. This applied in particular to murine perivascular cells damaged by laser ablation, when the protocol used a powerful laser (160 mW) irradiating a large area (9  $\mu$ m²) (Video S4) [37].

In collaboration with the cluster of intracellular vesicles, the extension of the cell membrane may participate in the resealing process by forming a dense lipid structure plugging the hole. Compaction of the membrane material in this tangle of cell membrane may also facilitate its elimination once cell membrane was resealed. In zebrafish myofibers, it was observed that this task is performed by macrophages [43]. This would explain why the cap subdomain persists for several tens of minutes after membrane injury in our experiments, where only muscle cells are present.

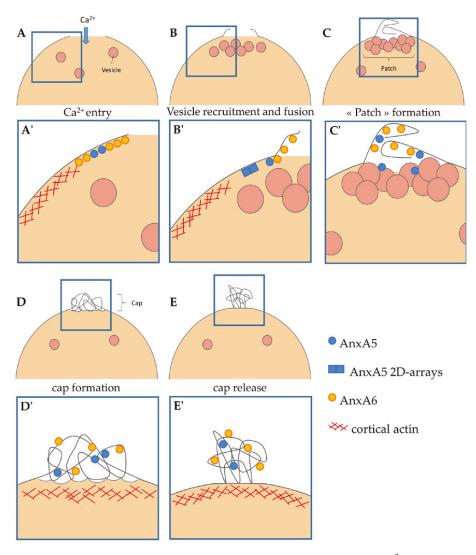
Numerous studies question the existence of the lipid "patch" for membrane-resealing. We hypothesize that the formation of a lipid "patch" [8] and the cap subdomain are not mutually exclusive and may coexist. They could actually correspond to two successive stages of the membrane repair process, the formation of the cap subdomain resulting from the excess of membranes produced for membrane resealing and intended to be released.

Which is the role of AnxA6 in these processes? During membrane resealing, we observed that AnxA6 interacts essentially with plasma membrane. With AnxA5, AnxA6 is part of less  $Ca^{2+}$ -sensitive Anx for membrane binding [44]. The  $Ca^{2+}$  gradient created at the disruption site after membrane injury supports the binding of AnxA6 to cell membrane rather than to intracellular vesicles present deeply in the cytoplasm. It has been proposed that AnxA6 induces a constriction force closing the tear after AnxA4-induced plasma-membrane invagination in damaged MCF-7 cells [27]. Unlike MCF-7 cells, no inward invagination was observed at the disruption site in damaged human skeletal muscle cells. In addition, when membrane damage was performed on the surface of the myotube, neither fluorescence video-microscopy nor TEM images revealed the presence of AnxA6 surrounding the hole created by membrane disruption. AnxA6 may therefore act differently in cancer epithelial MCF-7 and muscle LHCN cells. It is important to note that AnxA4 is highly expressed in cancer cells [45,46] and that MCF-7 cells were exposed to strong injuries by laser irradiation inducing a large wound diameter (up to 3  $\mu$ m) in the study cited above [27]. As the membrane repair mechanism may vary depending on the cell type, the extent of the damage and the spatial position of the injury [23,27,47], different experimental conditions may explain different behaviors observed for AnxA6.

The Anx family has been shown to be able to modify membrane morphology by inducing curvature and folding [27,28]. Together with their ability to aggregate adjacent membranes [14,24], Anx could allow the compaction of extended cell membrane present at the disruption site in order to promote membrane resealing and then to facilitate the release of the membrane excess. We have shown that AnxA6 is rapidly recruited to the disruption site, by mainly interacting with the plasma

membrane. At the disruption site, the excess of plasma membrane covered by AnxA6 could initiate the formation of the cap subdomain. By inducing folding and curvature of the extensions of cell membrane, AnxA6 may allow to form a tight structure plugging the hole. Once sarcolemma was resealed, AnxA6 is exclusively found in the cap subdomain interacting with accumulated membranes. It may therefore help at condensing membranes to be eliminated, this elimination being probably performed by macrophages [43]. The presence of two Anx core domains gives the ability to AnxA6 to bridge two adjacent membranes [24], such as two regions of the cell membrane and/or vesicle membranes. In addition, phylogenetic analysis of Anx has revealed that the core domain present at the N-terminus was similar to AnxA3 whereas at the C-terminus it was similar to AnxA5 [48]. Anx induce different and specific morphologies upon interaction with membranes [28]. For instance, AnxA3 rolls the membrane in a fragmented manner from free edges, producing multiple thin rolls, whereas AnxA5 induces cooperative roll-up of the membrane. AnxA6 may therefore induce multiple membrane rearrangements at the disruption site, which promote membrane repair and the formation of the cap subdomain.

Taken together our results enable to propose a model of the implication of AnxA6 in membrane repair of human skeletal muscle cells (Figure 8). The entry of Ca<sup>2+</sup> induces the binding of AnxA6 to membranes surrounding the disruption site (Figure 8A,A'). At this stage, depolymerization of cortical actin and lysosome exocytosis induced by the massive increase of intracellular Ca<sup>2+</sup> concentration may lead to a reduction in the tension exerted by the cortical cytoskeleton, as proposed by Togo et al. [42]. Increase in membrane surface by lysosome exocytosis may lead in the formation of an extension of sarcolemma at the disruption site, on which AnxA6 is attached (Figure 8B,B'). At this time, AnxA5 forms 2D arrays at the edges of the torn sarcolemma to prevent the expansion of the tear, as previously reported [26]. The aggregation of intracellular vesicles recruited to the disruption site may then form a lipid patch in order to plug the hole and interrupt exchanges between the intra- and extracellular environments (Figure 8C,C'). Anx and particularly AnxA6, may induce folding and curvature [28] of the excess of sarcolemma, thus initiating the formation of a tight membrane structure. Membrane excess due to lengthened sarcolemma and input of intracellular vesicles may form a structure where Anx accumulate (Figure 8D,D'). The ability of Anx to induce membrane aggregation and curvature [28] allows the compaction of this membrane excess to form the cap subdomain. The newformed membrane and the elimination of the cap subdomain by other cell types such as macrophages [43], may allow the membrane integrity of the cell to be regained (Figure 8E,E').



**Figure 8.** Model of membrane repair in human skeletal muscle cells. (A,A) Entry of  $Ca^{2+}$  induces the recruitment of Anx to the plasma membrane, notably AnxA5 and AnxA6. (B,B) The membrane tension is reduced by depolymerization of actin and exocytosis of lysosomes [42]. The increase in sarcolemma surface leads to excess membrane at the disruption site on which AnxA6 is associated. AnxA5 forms 2D arrays that strengthen the sarcolemma and limit the expansion of the tear, as previously reported [26]. Intracellular vesicles are recruited to the disruption site. (C,C) Aggregation of intracellular vesicles forms a "patch" that plugs the rupture. AnxA6 and maybe AnxA5, induce the folding of the extensions of sarcolemma in order to form a tight structure. (D,D) Accumulation of Anx leads to the folding and curvature [28] of membranes and the formation of the cap subdomain. (E,E) The integrity of the sarcolemma is restored by the newformed plasma membrane and the elimination of the cap subdomain by macrophages (not represented) [43].

Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4409/9/7/1742/s1, Figure S1: Decrease in the expression of endogenous AnxA6 in MDA-MB-231 cells by shRNA strategy, Figure S2: Decrease in the expression of endogenous AnxA6 in shRNA-transduced LHCN myoblasts, Figure S3: The absence of differentiation and/or fusion of AnxA6-deficient LHCN myoblasts, Figure S4: Knock-down of AnxA6 in LHCN myoblasts assessed by immunocytofluorescence, Figure S5: Knock-down of AnxA6 in LHCN myotubes by shRNA strategy, Figure S6: Schematic representation of the positioning of laser for membrane injury on myotubes, Figure S7: Characterization of the ultrastructure of the cap subdomain, Table S1: Data analysis of the responses of AnxA6-deficient LHCN myotubes to laser ablation, Table S2: Data analysis of the responses of AnxA6-deficient LHCN myotubes to laser ablation according to the protocol presented in Figure 3, Video S1: Behavior of AnxA6-GFP in response to membrane damage by laser ablation performed on the surface of a LHCN myotube, Video S3: Behavior of AnxA6-GFP and AnxA5-mCherry in response to membrane damage by laser ablation in a LHCN myotube, Video S4: Membrane filaments emerge from murine perivascular cell damaged by powerful laser irradiation.

**Author Contributions:** C.C. and A.B. performed most experiments with assistance from C.G. (generation of Anx-GFP plasmids), F.B. (cell culture and western-blot) and S.T. (CLEM experiments). A.B. coordinated the entire project. A.B. and C.C. designed the experiments and contributed to the writing of the manuscript. Contribution of each author can be summarized as follows: conceptualization, C.C. and A.B.; methodology, C.C., S.T. and A.B.; validation, C.C. and A.B.; formal analysis, C.C., C.G., F.B., S.T. and A.B.; investigation, C.C., C.G., F.B., S.T. and A.B.; writing—original draft preparation, C.C. and A.B.; writing—review and editing, C.C. and A.B.; supervision, A.B.; project administration, A.B.; funding acquisition, C.C. for PhD funding and A.B. for the AFM-telethon grants. All authors have read and agreed to the published version of the manuscript.

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Review

### Interdisciplinary Synergy to Reveal Mechanisms of Annexin-Mediated Plasma Membrane Shaping and Repair

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**Abstract:** The plasma membrane surrounds every single cell and essentially shapes cell life by separating the interior from the external environment. Thus, maintenance of cell membrane integrity is essential to prevent death caused by disruption of the plasma membrane. To counteract plasma membrane injuries, eukaryotic cells have developed efficient repair tools that depend on Ca<sup>2+</sup>- and phospholipid-binding annexin proteins. Upon membrane damage, annexin family members are activated by a Ca<sup>2+</sup> influx, enabling them to quickly bind at the damaged membrane and facilitate wound healing. Our recent studies, based on interdisciplinary research synergy across molecular cell biology, experimental membrane physics, and computational simulations show that annexins have additional biophysical functions in the repair response besides enabling membrane fusion. Annexins possess different membrane-shaping properties, allowing for a tailored response that involves rapid bending, constriction, and fusion of membrane edges for resealing. Moreover, some annexins have high affinity for highly curved membranes that appear at free edges near rupture sites, a property that might accelerate their recruitment for rapid repair. Here, we discuss the mechanisms of annexin-mediated membrane shaping and curvature sensing in the light of our interdisciplinary approach to study plasma membrane repair.

**Keywords:** annexin; plasma membrane repair; membrane curvature; membrane curvature sensing; membrane shaping; interdisciplinary research; cell rupture; membrane damage

#### 1. Introduction

Membranes of eukaryotic cells play fundamental roles in organizing cellular compartments and cluster specific molecules to generate signaling platforms. Beyond defining the physical cell boundary, the plasma membrane serves a dual purpose: it allows the communication and exchange of solutes with the extracellular environment, while guarding the cell from its surroundings. In addition, the plasma membrane facilitates cell–cell interactions and adhesion and regulates the architecture of the cell. Thus, it is crucial that the plasma membrane is kept intact to ensure cell survival.

The plasma membrane is composed of both structural and signaling lipids, as well as embedded proteins. The major structural lipids are glycerophospholipids (such as phosphatidylserine and phosphatidylcholine), sterols, and sphingolipids (e.g., sphingomyelin) [1]. Of note, the enrichment of sphingolipids and sterols, like cholesterol, aids in resisting mechanical stress [2]. Plasma membrane topology is shaped by the geometrical properties of its lipids, in combination with both integrated and peripheral proteins, including components of the cytoskeleton [3]. Glycerophospholipids with small head groups, such as phosphatidylethanolamines and phosphatidic acid, are cone-shaped and have the propensity to induce membrane curvature, thus lipid shape is intimately related to membrane shape [3,4]. Moreover, the surface charge of membranes influences its interaction with proteins, including curvature inducing regulators, and is determined by the head group charge of individual lipids [5,6]. This is exemplified by the family of annexin proteins that are characterized by their capacity to bind negatively charged phospholipids in a Ca<sup>2+</sup>-dependent manner, to exert their various functions in membrane organization, trafficking, and repair [7].

Unlike prokaryotic cells, which are shielded by a cell wall, mammalian cells are primarily protected by their plasma membrane and appear more susceptible to cell injuries. To counteract the eminent threat that membrane damage would impose on cellular homeostasis, robust repair mechanisms have evolved to ensure that membrane holes are sealed within the timeframe of tens-of-seconds to maintain cell integrity [8,9]. If disruptions to the plasma membrane are not repaired rapidly, the resultant pronounced osmotic and ionic imbalances would lead to cell death. Skeletal muscle and cardiac muscle cells are classical examples of cells that, due to their high mechanic activity, need to cope with extensive plasma membrane disruptions [10]. Similarly, epithelial cells in the gut and skin fibroblasts are exposed to both mechanical and chemical stimuli and experience regular wounding [11]. However, it seems that all cell types can potentially experience plasma membrane injury and have the capacity to repair lesions [12].

Deficiency in plasma membrane repair is associated with several diseases. The best established link between repair deficiency and disease phenotype is observed in muscular dystrophies, such as dysferlin-deficient muscular dystrophy, where a failure to repair repeated membrane lesions leads to progressive muscle wasting [13]. Inversely, our research supports that enhanced plasma membrane repair plays a role in helping metastatic breast cancer cells cope with the physical stress imposed during invasion [14,15].

The importance of  $Ca^{2+}$  for membrane repair was recognized in 1930 by Heilbrunn, who discovered that sea urchin eggs failed to repair upon mechanical damage when the surrounding solution was depleted of  $Ca^{2+}$  [16]. Despite the scarce knowledge regarding membranes and cell compartmentalization at the time, this discovery pointed to  $Ca^{2+}$  as a fundamental trigger of plasma membrane repair. In intact resting cells the intracellular free  $Ca^{2+}$  concentrations in the cytoplasm are in the nanomolar range (~100 nM), while extracellular  $Ca^{2+}$  concentrations are in the millimolar range [17]. This steep  $Ca^{2+}$  gradient is important for signaling events and is established by actively pumping  $Ca^{2+}$  into the extracellular space and intracellular  $Ca^{2+}$  stores, which is also crucial to prevent  $Ca^{2+}$  cytotoxicity. Upon plasma membrane injury, the  $Ca^{2+}$  gradient leads to a rapid and pronounced flux of  $Ca^{2+}$  into the cell's cytoplasm, which poses a threat to the cell [18,19]. However, this rise in  $Ca^{2+}$  acts at the same time as the triggering event for plasma membrane repair mechanisms.

#### 2. Annexins in Repair

Several functions have been reported for annexins, and most of them include their involvement in membrane trafficking events [20]. Interestingly, during the last two decades more evidence regarding their role in plasma membrane repair has emerged [20–23]. Most proteins that play a role in plasma membrane repair have the ability to bind Ca<sup>2+</sup> and become activated upon injury-induced Ca<sup>2+</sup> influx. However, the recruitment of repair components to the injured plasma membrane can also be facilitated via complex formation with other Ca<sup>2+</sup>-binding proteins. This is exemplified by recent data showing a link between the Ca<sup>2+</sup>-dependent, phospholipid-binding protein annexin A7 (ANXA7), and the shedding of damaged membrane during repair facilitated by the endosomal sorting complex required for transport III (ESCRT-III) complex [22]. In detail, ANXA7 localizes to the injury site where it forms a complex with apoptosis-linked gene 2 (ALG-2) and ALG-2-interacting protein X (ALIX) [23]. ANXA7 facilitates thereby anchoring of ALG-2 to the damaged plasma membrane, where ALG-2 can assemble the ESCRT-III complex and drive shedding of damaged membrane [22].

Accumulating evidence indicates that annexins are instrumental for coping with plasma membrane injuries, although their exact mode of action is not well characterized. Annexins are a family of proteins (in mammals: ANXA1-11 and ANXA13) which share a structurally conserved C-terminal domain and the functional property of being able to bind anionic phospholipids in a Ca<sup>2+</sup>-dependent manner. Binding to membranes is mediated by their core domain, which consists of four characteristic structural annexin repeats [24]. The N-terminal domain varies in length and composition and is responsible for the interaction with other proteins, giving rise to different functional properties [24].

Work on dysferlin-deficient mouse muscle cells pioneered the hypothesis that annexins function in plasma membrane repair. ANXA1 and ANXA2 were found to associate with dysferlin upon muscle cell membrane injury. Since ANXA1 and ANXA2 were known to cause aggregation and fusion of liposomes in vitro [25], Lennon et al. proposed that the annexins could aid repair by facilitating fusion of vesicles with the injured plasma membrane [26]. Wounding experiments, using laser injury, confirmed that ANXA1 localizes to the injury site and that inhibition of ANXA1 function impedes plasma membrane repair [27].

Involvement of ANXA1 and ANXA6 could also be detected in microvesicle shedding of streptolysin O (SLO) pores [28]. Furthermore, data from the Protein Data Bank (PDB), where annexins have been crystallized, show that annexins have varying Ca<sup>2+</sup> binding affinity, which adds another level of complexity to plasma membrane repair mechanisms. In line with this, ANXA6 was shown to have higher Ca2+ sensitivity than ANXA1, which led to differential recruitment patterns depending on the extent of the membrane lesions [28]. The importance of ANXA6 in muscle cell plasma membrane repair was recognized when mutations of the ANXA6 gene were found to negatively impact the phenotype of dysferlinopathy (a group of rare muscular dystrophies with recessive mutations in the dysferlin gene). Focal laser injury experiments revealed that ANXA6 localizes to the site of injury within seconds forming a repair cap [29,30]. Later work showed that ANXA6 localization to the injury site in muscle cells is actin-dependent and coincides with recruitment of ANXA1, ANXA2, and ANXA5 [31].

Even though the function of annexins in plasma membrane repair was initially merely explained by the ability of annexins to aid vesicle fusion, research now indicates that other mechanisms play a role for annexin-mediated wound closure. ANXA5, for example, was shown to assemble into 2D protein arrays around the injury site, preventing wound expansion [32,33]. To that end, using supported membrane models we have identified membrane binding and shaping features of other annexins that are independent of vesicle fusion events, pointing to a direct effect of annexins on membranes [34]. For example, ANXA4 was shown to generate a curvature force at free membrane edges because of its Ca<sup>2+</sup>-dependent homo-trimerization, while binding of ANXA6 led to a constriction force [35]. Therefore, it is likely that annexins possess different membrane-shaping properties, allowing for a tailored response that meets the needs of repair. In concert with other described processes, such as actin remodeling, annexins lead to efficient and rapid repair.

Interestingly, certain annexins, which are central components in plasma membrane repair, also display strong affinity for high membrane curvatures as we have shown recently in Moreno-Pescador et al. [36]. This suggests that membrane shaping and curvature sensing are coupled in a feedback loop where initial curvature generation by annexins leads to further recruitment of more annexins. It is therefore essential that the effect of biophysical cues, like membrane curvature, are thoroughly investigated for a comprehensive understanding of the activity and function of annexins.

#### 3. Interdisciplinary Approaches to Address Annexin Function

Given their complexity and rapid dynamics, detailed mechanisms of annexin-mediated membrane shaping and repair can only be resolved by incorporating sophisticated physical methods for assessing biophysical parameters like curvature and tension. Thus, interdisciplinary research within biophysics, molecular simulations, and molecular and cellular biology is needed to understand these mechanisms. This approach proved successful, as exemplified in recent studies showing that annexin family members share a common ability of membrane curvature induction, which seems to be important for their function in repair [24,25]. Here, the impact of annexins on planar membranes with stable, free edges are relevant for studying the membrane conformation near a hole.

The annexin core domain has a convex shape at its membrane-binding interface [21]. Thus, membrane-association of annexins can generally be expected to induce spontaneous membrane curvature and possibly produce membrane shape changes. The shape changes generated by annexins depend crucially on the initial membrane geometry and on the presence of free membrane edges in particular. Thus, the use of membrane model systems prepared with specific geometries allow the systematic study of the interplay between annexin binding, membrane shape, and shape remodeling.

Supported planar membranes in a stacked conformation are prepared using spincoating with the secondary membranes existing as isolated patches on the primary membrane. The patches have stable, free edges at their borders and have minimal interactions with the solid support due to the presence of the primary membrane [26]. These membrane patches serve as useful models for the plasma membrane near the injury site and address the experimental challenge that a membrane containing a hole is often unstable and the hole is short-lived. The planar patches allow out-of-plane bending, away from the supported surface and can be used for monitoring shape changes induced by different proteins. To this end, the impact of different annexin family members on the morphology of membrane patches with free edges were recently examined [24].

Notably, the annexin members ANXA4 and ANXA5 were both found to induce rolling of the patch starting from the free membrane edges at the patch border. Complete roll-up of a cell-sized membrane patch (50–100  $\mu m$ ) occurs over a time scale of 5–10 s. This result shows that membrane binding of ANXA4 and ANXA5 under physiological conditions induces spontaneous curvature. The curvature generation can be expected to also occur near a plasma membrane hole when Ca²+ briefly enters the cytoplasm and cytosolic annexin binds to the negatively charged internal leaflet.

In order to model curvature generation around a plasma membrane hole, it has proven useful to describe the system using theoretical modeling of the membrane curvature energy.

According to the classical theory by Helfrich [27], the curvature elastic energy  $H_{curve}$  of a membrane with area A can be written as:

$$H_{\text{curve}} = \int_{A} \left[ \frac{1}{2} k_c (\overline{c} - c_0)^2 + k_G \overline{c_G} \right] dA \tag{1}$$

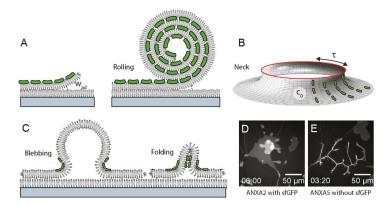
where  $k_c$  is the mean curvature elastic modulus [J],  $k_G$  is the gaussian curvature elastic modulus [J], and  $c_0$  is the spontaneous curvature [ $m^{-1}$ ]. The local curvature of the membrane is described by the two principal radii of curvature,  $R_1$  and  $R_2$ . The mean curvature is defined as:  $\bar{c} = \frac{1}{R_1} + \frac{1}{R_2}$  and the gaussian curvature as:  $\bar{c}_G = \frac{1}{R_1} \frac{1}{R_2}$ .

 $H_{curve}$  describes the curvature energy associated with a membrane of a general shape, for example a curved membrane near a plasma membrane hole. The spontaneous curvature  $c_0$ , is a quantity

describing the tendency of a membrane to spontaneously curve with a curvature radius ( $1/c_o$ ). The effect of annexin binding to a membrane is potentially complex, but it can approximately be modeled as a non-zero value of  $c_o$ . When describing a membrane containing a hole, there will additionally exist a tension force (edge tension) associated with the formation of the free edge. In a simple picture, the edge tension acts to contract the hole while the curvature energy ( $H_{curve}$  equation) acts oppositely, by bending the membrane out-of-plane and increasing the edge radius. As previously shown [28], an equilibrium configuration is possible that balances the curvature and tension energies to create a stable neck-like shape of the membrane near the hole. We propose that ending of the membrane near a plasma membrane hole, via a mechanism as described above, plays a functional role in the plasma membrane repair process. In a cellular system, membrane re-shaping is envisioned to involve the concerted action of several annexins plus other repair proteins to rapidly bend, constrict, and finally seal the hole (Figure 1A–C).

Members of the family of human annexins were shown to induce distinctly different morphologies in the planar membrane patches [24]. This was observed despite the fact that the annexins all contain a membrane-binding core domain, which is highly conserved. In addition to large scale (cooperative) rolling as induced by ANXA4 and ANXA5, rolling in a fragmented morphology was observed for ANXA3 and ANXA13. Rolling was not observed for ANXA1 and ANXA2, which instead both induced a blebbing/folding type morphology of the membrane patch (Figure 1D,E). ANXA7 and ANXA11 induce rolling, in addition to the generation of lens-shaped membrane inclusions containing the protein and phosphatidylserine lipids. In total, the morphologies induced by annexins in membrane patches correlate well with a dendrogram of their amino acid sequences [24]. This points to an important functional role of the N-terminal annexin domain in reshaping membranes.

A deeper insight into the interplay between molecular curvatures and the rich polymorphic membrane shapes, which can be induced by annexins, will require theoretical simulations and also development of assays for studying membrane shaping in 3D, e.g., surrounding a membrane hole, and to study curvature sensing by this large class of proteins.



**Figure 1.** Binding of annexins (green) to a planar membrane patch with free edges and adhesion energy  $w_{ad}$  inducing spontaneous curvature and a rolling morphology of the patch (**A**). Translation to the geometry of a membrane hole (**B**) where the edge tension  $\tau$  and the spontaneous curvature  $c_0$  acts to create a stable neck conformation. Example of blebbing/folding morphologies induced by ANXA1 and ANXA2 (**C**) and examples of fluorescence data for patches (POPC: 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphocholine, POPS: (1-palmitoyl-2-oleoyl-sn-glycero-3-phospho-L- serine), 9:1 ratio, DiDC18) showing blebbing (**D**) and rolling (**E**).

More specifically, the recent developments in super-resolution microscopy, like stochastic optical reconstruction microscopy (STORM) [29] and stimulated emission depletion (STED) [30], will be

valuable for investigating the shape evolution of the injured site. STORM has been used to image the cortical actin of cells with great detail [31] and could be used for resolving the rearrangement of cortical actin, which is known to regulate both plasma membrane shape and tension. Finally, faster imaging modes like STED or high speed-atomic force microscopy (AFM) could capture the steps in the formation of a hole and the subsequent membrane healing.

#### 4. Annexins Are Recruited by Membrane Curvature

Proteins with the ability to shape membranes into highly curved structures often have the ability to sense high membrane curvatures. Such proteins include the well-studied Bin/amphiphysin/Rvs BAR domain containing proteins, which have all been verified as both curvature generators and sensors of specific membrane curvatures that correlate with their molecular shape [32–34]. The curvature sensing ability of annexins has only recently been recognized [35,36] despite the convex shape of the conserved membrane binding core domain, present in all annexins. Naively, one could expect that the convex shape, of the membrane binding domain, would imply that all annexins could be potential sensors of negative membrane curvatures. However, looking at the non-trivial curvature generation by different annexins this argument could be too simple, in particular considering the complex protein–protein interactions, variable Ca<sup>2+</sup> sensitivity, and the variability in the N-terminal domains amongst the annexins.

Our studies, using isolated giant plasma membranes vesicles (GPMVs), have shown that both ANXA4 [36] and ANXA5 [35] are efficient sensors of negative membrane curvatures. Optical manipulation of the GPMVs was used to extract nanotubes which exhibit extreme membrane curvatures as shown in several studies on giant unilamellar vesicles (GUVs) [34]. Using GPMVs isolated from living cells expressing ANXAs coupled to GFP circumvents the step of protein encapsulation used in studies with artificial vesicles and also allows the curvature sensing to be investigated in a more physiologically-relevant environment with complex lipid and protein composition (See Figure 2A–C). Using optical manipulation, nanotubes were extracted from the vesicles (Figure 2D–F) and protein sorting between the nanotube and the vesicle membrane was quantified by the relative sorting parameter, S:

$$S = \frac{\left(I_{prot}/I_{mem}\right)_{tube}}{\left(I_{prot}/I_{mem}\right)_{tube}} \tag{2}$$

where S is a measure of the relative density of protein on the tube  $(I_{prot})_{\text{tube}}$  versus the quasi-flat surface on the vesicle,  $(I_{prot})_{\text{vesicle}}$ . The intensity from a lipid analog in the membrane,  $(I_{mem})_{\text{tube}}$  scales with the tube diameter and  $(I_{mem})_{\text{vesicle}}$  is used for normalization to account for possible different concentrations of membrane dye in different vesicles.

As shown in Figure 2E,G the density of ANXA5 was found to increase significantly within nanotubes extracted from GPMVs which were derived from HEK293T cells. The increase in density of ANXA5 ranged up to 10–15 times higher than the density on the quasi-flat region on the vesicle membrane (Figure 2G) although with significant heterogeneity in the sorting as shown in Figure 2E,G,H. Interestingly, the curvature sensing of the cognate protein ANXA2 did show a majority of sorting values below 1 indicating a slightly negative affinity for the curvatures within the nanotubes (Figure 2F–G). These results indicate that proteins from the annexin family can differ remarkably in curvature sensing despite the similarly shaped membrane binding core domain. Furthermore, we recently found that ANXA4 senses membrane curvature with a similar effect as ANXA5 and the curvature sensing was dependent on the ability of ANXA4 to form trimers [36]. The curvature sensing and membrane rolling induced by ANXA4 and ANXA5, but not ANXA2, could together indicate that trimerization is critical for membrane curvature sensing and curvature induction. Beyond these results, future experiments should test whether the two dimensional curvature of annexins can discriminate between spherical and cylindrical curvatures in similar assays as used in Li et al. [37].

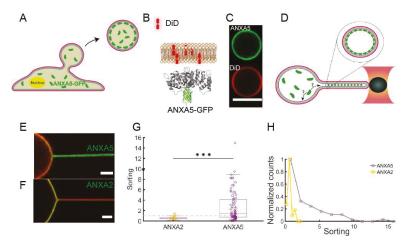


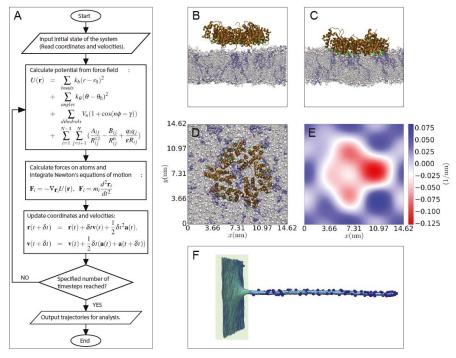
Figure 2. Membrane curvature sensing of ANXA2 and ANXA5 measured in lipid nanotubes extracted from Giant Plasma Membrane Vesicles (GPMVs). (A) GPMVs are derived from HEK293T cells expressing ANXA2-GFP or ANXA5-GFP. (B) GFP tagged annexins bind to the inner side of the lipophilic carbocyanine DiD labeled membrane. (C) Images of DiD (red) labeled GPMVs containing ANXA5-GFP (green). (D) Schematic of optical manipulation of the vesicles to form nanotubes with radius ~50nm and length  $10\mu m$ . (E) Overlay image of a GPMV and nanotube containing GFP tagged ANXA5 and DiD membrane label. (F) Overlay image of a GPMV and nanotube containing GFP tagged ANXA2 and membrane label DiD. (G) Quantification of curvature sorting for ANXA2 and ANXA5, respectively. The dashed line represents Sorting = 1 corresponding to no sorting. \*\*\* p = 0.004. (H) The Sorting values from (G) plotted as a histogram which reveals significant heterogeneity in the Sorting by ANXA5. Reproduced with permission from [35].

Consistent with the rolling and sensing experiments discussed above multiscale simulations have revealed that ANXA4 trimers both induce and sense membrane curvatures [36]. All-atom (AA) molecular dynamics (MD) simulations provide molecular details of the interactions of annexins with lipid membranes of various compositions. Coarse-grained MD simulations, where multiple heavy atoms in a molecule are represented by single beads, are used to analyze the interactions of multiple annexin molecules with a bilayer surface, and the micron-scale scaffolding of annexins on a membrane surface [38]. Large-scale membrane conformations induced by annexins are probed by Monte-Carlo simulations, where the membrane is modeled as a fluid triangulated surface, and the annexin molecules are modeled as a vector field that induced local curvature changes in the membrane [36]. The three different computational techniques constitute an inter-coupled multi-scale simulation strategy where annexin-membrane interactions are investigated from the molecular level all the way to mesoscale fluctuations in membrane shape.

We used all-atom simulations to calculate the annexin-induced curvature upon POPC (1-Palmitoyl-2-oleoylphosphatidylcholine):POPS (1-Palmitoyl-2-oleoylphosphatidylserine) (4:1 ratio) lipid membranes in the presence of  $Ca^{2+}$ . Simulations of ANXA4 reveal that the ANXA4 trimer induces a significant negative average mean curvature of  $0.0024 \pm 0.0002$  nm<sup>-1</sup> on the bilayer. The curvature is calculated by fitting the coordinates of the lipid head group phosphorus atoms by a two-dimensional Fourier series. The simulations also reveal a significant accumulation of PS lipids below the annexin trimer surface, which is to be expected because  $Ca^{+2}$  ions cross-link between anionic amino acids on the annexin trimer and anionic POPS lipids [39]. It is worth noting that although such lipid reorganization can be observed in all-atom simulations on time-scales of hundreds of nanoseconds, the diffusion of lipids in the membrane plane is slow, and is best examined by coarse-grained simulations on longer time scales. The trimer of ANXA5 has a similar impact on bilayer properties, while the effect of

monomers of ANXA4 and ANXA5 differ in their interaction with the bilayer surface, compared to their trimer forms (unpublished data). Future studies along the lines described in the previous section are needed to test the theoretical predictions in experimental model systems using GUVs with controlled membrane curvatures and known protein densities.

The curvature calculated from the all-atom MD simulations is fed as a parameter into the Monte-Carlo simulations, which show that ANXA4 trimers accumulate on the inner membrane of highly curved nanotubes, and are desorbed from the less curved membrane surface (Figure 3). The data are in excellent agreement with the curvature-sensing experiments presented in Figure 2, which show a significant increase in the concentration of ANXA5 within nanotubes compared to the flat GPMV surface. The Monte-Carlo method described here simulates the distribution of annexins on a closed membrane surface. We are currently developing a framework of the Monte-Carlo simulations where the effect of annexins onto a free membrane edge can be investigated. Such a setup will open the possibility of investigating the effect of multiple annexins on the shape evolution of a free membrane edge, bringing us even closer to simulating the mechanism of membrane repair.



**Figure 3.** (A) An overview of the molecular dynamics (MD) procedure, with a simple form of force field and velocity-verlet integration algorithm. The integration timestep in all-atom MD is usually 2 fs. (B) Initial simulation setup of the ANXA4 trimer near a POPC:POPS (4:1) bilayer. (C) Final snapshot showing the indentation of the membrane. (D) Top view of the final snapshot. (E) The 2D curvature profile for a surface passing through the center of the membrane in panel C. (F) Monte-Carlo simulation snapshot of ANXA4 protein affinity for a membrane patch (flat) and a highly curved nanotube generated by pulling a vertex from a flat membrane. Note that the proteins are depicted on the outer surface for clarity.

#### 5. Annexin Scaffolding

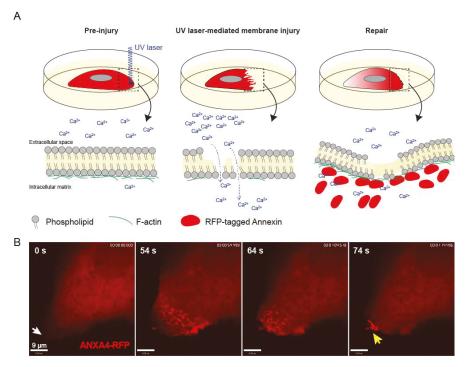
Some members of the annexin family have been shown to form large scale protein scaffolds at high protein densities. These scaffolds have been imaged by atomic force microscopy (AFM) and consist of

a regular lattice of trimers. High-speed AFM has even shown the dynamics of the protein lattice [40]. Protein immobility has also been measured for annexin bound plasma membrane nanotubes indicating that protein lattices can also form in highly curved regions. The functional role of these lattices in membrane repair remains unknown, however it was recently shown that ANXA5 can change the physical properties of the membrane [39]. Additionally, another function could be to provide friction to the membrane and stabilize the membrane rupture against further expansion [41].

#### 6. Approaches to Inflict Damage to the Plasma Membrane

Many cell studies aimed at studying plasma membrane resealing use ablation laser to inflict spatial damage to the cell membrane. Here, a single and localized wound can be induced at the plasma membrane of cultured cells and repair can be followed using fast time-lapse imaging [13,41,42]. The main advantages include user's control over the extent of damage by adjusting the laser power and the ability to assess cellular repair kinetic and monitor the action of fluorescently-tagged proteins involved in repair (Figure 4) [43]. A possible drawback is that membrane damage triggered by UV-ablation laser induces local high temperatures at the injured membrane, which can potentially affect membrane proteins and lipids and create thermally-induced diffusion and denaturation artifacts. However, we and others have demonstrated tempo-spatial recruitment of repair proteins occurs within 10-45s of laser-induced plasma membrane injury [28,44], indicating that the repair machinery is not disabled by the collateral thermal damage. Importantly, complimentary methods to induce membrane injury, such as the use of glass bead injury, detergents, and scraping, show that the same repair proteins are recruited to damaged membrane (including annexins, actin, ESCRT III) as with laser injury and needed for repair [14,45]. This suggests that laser-induced plasma membrane injury can provide insight into repair mechanisms that are of biological significance, since all injuries (artificial and, potentially, physiological/pathological) converge the point of Ca<sup>2+</sup> influx, which is the key stimulus for plasma membrane repair mechanisms.

An alternative method for inflicting cell injury is to use thermoplasmonic [46]. Irradiation of plasmonic nanostructures using near infrared (NIR) light results in an extremely localized temperature increase which can be used to disrupt plasma membranes. This strategy has been used for fusion of cells [47] and membrane vesicles [48]. Both pulsed lasers or continuous wave lasers can be used in combination with plasmonic nanoparticles for disrupting membranes [49–52]. We envision that this technique will provide a fruitful approach for investigation of plasma membrane repair in the future in particular when combined with predictions from theoretical calculation on the stability of membrane holes decorated with annexins scaffolds.



**Figure 4.** Plasma membrane injury inflicted by UV-laser ablation injury. **(A)** Schematic of ablation laser injury to monitor annexin behavior during plasma membrane repair. Ca<sup>2+</sup> influx through the wounded membranes activates and enables annexin to bind and seal the hole by bending membrane and glue membrane edges together. **(B)** Sequential images from time-lapse movie of a MCF-7 breast carcinoma cell showing translocation behavior of ANXA4-RFP to the site of damage (white arrow) upon laser injury.

#### 7. Concluding Remarks

This synergy fostered by interdisciplinary research achieves its full potential when different perspectives are integrated to comprehensively understand a complex cellular phenomenon. Here, we describe the development of new complementary strategies that, when combined, have elucidated mechanisms underlying membrane shaping and repair. Our recent findings, which could only be revealed through interdisciplinary collaboration, show that there is more to annexins than previously anticipated. In particular there are many unanswered questions in relation to the complex interaction between annexins and curved membranes, and how physical cues aid in membrane healing. We surmise that, a combination of novel membrane assays and high resolution imaging together with multiscale simulations will provide major progress in resolving the enigmatic process of membrane repair in the future.

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Article

# Exploring Biased Agonism at FPR1 as a Means to Encode Danger Sensing <sup>†</sup>

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**Abstract:** Ligand-based selectivity in signal transduction (biased signaling) is an emerging field of G protein-coupled receptor (GPCR) research and might allow the development of drugs with targeted activation profiles. Human formyl peptide receptor 1 (FPR1) is a GPCR that detects potentially hazardous states characterized by the appearance of N-formylated peptides that originate from either bacteria or mitochondria during tissue destruction; however, the receptor also responds to several non-formylated agonists from various sources. We hypothesized that an additional layer of FPR signaling is encoded by biased agonism, thus allowing the discrimination of the source of threat. We resorted to the comparative analysis of FPR1 agonist-evoked responses across three prototypical GPCR signaling pathways, i.e., the inhibition of cAMP formation, receptor internalization, and ERK activation, and analyzed cellular responses elicited by several bacteria- and mitochondria-derived ligands. We also included the anti-inflammatory annexinA1 peptide Ac2-26 and two synthetic ligands, the W-peptide and the small molecule FPRA14. Compared to the endogenous agonists, the bacterial agonists displayed significantly higher potencies and efficacies. Selective pathway activation was not observed, as both groups were similarly biased towards the inhibition of cAMP formation. The general agonist bias in FPR1 signaling suggests a source-independent pathway selectivity for transmission of pro-inflammatory danger signaling.

**Keywords:** bias analysis; G protein-coupled receptor (GPCR); formyl peptide receptor 1; danger-associated molecular pattern (DAMP); pathogen-associated molecular pattern (PAMP); annexin A1 peptide Ac2-26

#### 1. Introduction

A key feature of the eukaryotic immune defense is its capability to sense danger signals. Microbes are a source of potentially deleterious infections, and consequently, 'pathogen-associated molecular patterns' (PAMPs) and 'danger-associated molecular patterns' (DAMPs) represent chemical signatures that are sensed and transduced via the corresponding 'pattern recognition receptors' (PRRs) [1,2].

One such molecular pattern is the characteristic N-formylated methionine at the N-terminus of bacterial proteins. This modified amino acid is not utilized for the initiation of eukaryotic protein

translation. However, mitochondria, which are considered to represent endosymbionts of bacterial origin [3], initiate protein biosynthesis with N-formylated methionine and might release detectable levels of formylated peptides in situations of enhanced cell death or trauma [4,5]. Therefore, the appearance of N-formylated peptides signals potentially hazardous states caused by either bacterial threats (PAMPs) or tissue destruction (DAMPs).

In higher eukaryotes, this unique pattern is sensed by the family of formyl peptide receptors (FPRs), which belong to the superfamily of G protein-coupled receptors (GPCRs) [5,6]. Thus, a shared sensor system detects bacteria-derived ligands and those that are liberated by non-infectious tissue destruction from mitochondria. The corresponding formylated peptides elicit strong signals via the activation of formyl peptide receptor 1 (FPR1) [7,8]. Here, we addressed whether human FPR1 is capable to decode the actual source of threat via different, i.e., ligand-specific signaling, and consequently modify the elicited cellular responses. We hypothesized that these layers of signal information are encoded by distinguishing ligand perception and potentially are governed by biased agonism. This emerging concept in GPCR-mediated signal transduction [9] emphasizes that ligands selectively stabilize specific receptor conformations that ultimately favor one (or more) signaling pathways out of the many the receptor is linked to. The final cellular response elicited by the receptor/ligand interaction is therefore biased towards specific signaling pathways [9–11]. We also considered that the different classes of agonists might group due to their efficacies and potencies for the same cellular pathways, i.e., apart from the selective activation of entirely different signaling pathways. In this scenario, different classes of agonists would not be associated with their own unique profile of qualitatively distinct cellular responses but instead would cause the same effect, as revealed by similar bias factors, yet on a different scale.

In general, agonist-activated GPCRs stimulate a broad set of heterotrimeric  $\alpha\beta\gamma$  guanine nucleotide-binding proteins (G proteins), which in turn regulate adenylyl cyclase or phospholipase C (PLC) activities, ultimately leading to effective changes in second messengers cyclic AMP (cAMP) and inositol triphosphate (IP3) levels [12]. Apart from regulating enzymes to control the generation of second messengers, key intracellular signaling pathways are also activated via the GPCR signaling axis. For instance, GPCR-linked stimulation of the mitogen-activated protein kinase (MAPK) pathway, which plays important functional roles in, e.g., the regulation of (chronic) inflammation or even the development of cancer [13,14], is well established. Receptor internalization, which is linked with desensitization and signal termination, is regulated via GPCR/agonist interactions. Notably, emerging novel findings suggest that this mechanism might also be linked to prolonged receptor signaling [15,16].

Here, we compared the signaling profiles of representative microbial, endogenous, and synthetic FPR1 agonists. Our results reveal that the FPR1 activators cluster depending on their origin: the bacterial formylated peptides are strong, potent, and efficacious superagonists, whereas the mitochondrial peptides are less effective. Bias calculation uncovered that although the agonists operate on different levels as defined by their logistic parameters, FPR1 signal transmission generally is biased toward inhibition of cAMP formation.

#### 2. Materials and Methods

#### 2.1. FPR1 Ligands and Reagents

Formylated peptides corresponding to the N-termini of the human mitochondrially encoded proteins NADH:ubiquinone oxidoreductase core subunit 2 (MT-ND2; fMNPLAQ), NADH:ubiquinone oxidoreductase core subunit 6 (MT-ND6; fMMYALF), and cytochrome b (CYTB; fMTPMRKTNPLMKLIN), the formylated pentapeptide fMIVIL from *Listeria monocytogenes*, and the gG-2p20 peptide GLLWVEVGGEGPGPT derived from the secreted glycoprotein sgG-2 of herpes simplex virus type 2 (HSV-2) were custom-synthesized (Biomatik, Cambridge, ON, Canada). The acetylated peptide Ac2-26 corresponding to the N-terminus of human annexin A1 (AcAMVSEFLKQAWFIENEEQEYVQTVK) and the synthetic agonist W-peptide (WKYMVm)

were purchased from Tocris (Wiesbaden-Nordenstadt, Germany). The prototype FPR1 agonist fMLF derived from *E. coli* was purchased from Sigma. Stock solutions were prepared as indicated in Table A1. The mouse monoclonal anti-FLAG antibody M1 (Sigma-Aldrich, Darmstadt, Germany), which recognizes the FLAG epitope only when present at the very N-terminus of the FPR1 receptor, i.e., after successful cleavage of the hemagglutinin signal sequence (see below) in the endoplasmic reticulum (ER), was labeled with DyLight488 antibody labeling kit (Thermo Fisher Scientific, Waltham, MA, USA) according to the manufacturer's protocol. Pertussis toxin (PTX) from *Bordetella pertussis* was purchased from Tocris, the  $G\alpha_q$  inhibitor FR900359 (FR, formerly known as UBO-QIC), a cyclic depsipeptide from the plant *Ardisia crenata sims sims* was purified following a previously published protocol [17]. Reversed-phase high-performance liquid chromatography separation of the FR-containing fraction (column: YMC  $C_{18}$  Hydrosphere, 250 × 4.6 mm, 3  $\mu$ m; MeOH:H<sub>2</sub>O (8:2), 0.7 mL min<sup>-1</sup>) afforded FR with a purity of 95%. For G protein inhibition experiments, cells were pretreated for 16 h with 100 ng/mL PTX or for 1 h with 1  $\mu$ M FR preincubation in cell culture medium at 37 °C.

#### 2.2. FPR1-Encoding Plasmid, HeLa-FPR1- Cell Line, and Cell Culture Conditions

The FPR1 expression vector, containing the N-terminally FLAG-tagged human FPR1, was generated as previously described [18]. The FPR1 coding sequence was PCR-amplified from a cDNA library representing human total leukocyte RNA (Takara Bio, Saint-Germain-en-Laye, France). The FLAG-epitope was introduced immediately upstream to the original FPR1 start codon and is preceded by a cleavable influenza hemagglutinin signal sequence to facilitate cell surface presentation. This tagged FPR1 CDS was transferred into the mammalian expression vector pcDNA3.1 (-) (Thermo Fisher Scientific) via XhoI and EcoRI restriction sites. HeLa cells cultured in Dulbecco's modified Eagle's medium (DMEM, Sigma), supplemented with 10% standardized fetal bovine serum (FBS Superior, Biochrom, Cambridge, UK), 100 U/mL penicillin, and 0.1 mg/mL streptomycin) at 37 °C in a 7% CO<sub>2</sub> atmosphere were transfected using Lipofectamine 2000 (Thermo Fisher Scientific). Clonal lines were selected with 800 ng/mL geneticin (G418, AppliChem, Darmstadt, Germany).

## 2.3. FPR1 Expression Analysis in Parental and Recombinant HeLa Cells by qPCR and Immunofluorescence Microscopy

qRT-PCR was employed to confirm that parental, i.e., non-transfected HeLa cells do not express members of the FPR family at detectable levels and to confirm FPR1 expression in the stably expressing HeLa-FPR1 cell lines. Total RNA from HeLa cells was isolated with the RNeasy mini kit (Qiagen, Hilden, Germany) according to the manufacturers′ instructions; 1 μg of RNA starting material was converted into cDNA with the high-capacity cDNA reverse transcription kit and random hexamer primers (Thermo Fisher Scientific). Subsequent qPCR analysis was performed with QuantiTect primer assays (Qiagen) for FPR1 (Hs\_FPR\_1\_SG, QT00199745) and custom-designed sets of primers (Microsynth, Lindau, Germany) for amplification of FPR2 (for: 5′-TTGGTTTCCCTTTCAACTGG-3′ rev: 5′-AGACGTAAAGCATGGGGTTG-3′) and FPR3 (for: 5′-GGTTGAACGTGTTCATTACC -3′ rev: 5′-TGGTTTCTGTGAATTTTGGC-3′). Housekeeping genes actin (Hs\_ACTB\_1\_SG, QT00095431) and glyceraldehyde 3-phosphate dehydrogenase (Hs\_GAPDH\_2\_SG, QT01192646) served as references. All qPCR reactions were conducted with the Brilliant III Ultra-Fast SYBR Green qPCR Master Mix (Agilent Technologies, Santa Clara, CA, USA). Four independent cell samples were analyzed in technical replicates and amplified for 45 cycles on a CFX 384 real-time PCR cycler. The PCR amplification was analyzed with the CFX Manager Software v.2.1 (Bio-Rad, Hercules, CA, USA).

Expression and correct localization of tagged FPR1 were confirmed by immunofluorescence imaging. HeLa-FPR1 cells were cultured on glass coverslips and fixed with 4% paraformaldehyde for 10 min at room temperature. After incubation with anti-FLAG M1 antibody (diluted 1:100 in 2% BSA in PBS containing Ca<sup>2+</sup> and Mg<sup>2+</sup> (PBS<sup>++</sup>, Sigma) for 60 min, cells were treated with anti-mouse Alexa 594-coupled secondary antibody (Invitrogen, Carlsbad, CA, USA) for an additional 45 min at room

temperature. To visualize cell nuclei, Hoechst 33,342 stain (Thermo Fisher Scientific, diluted 1:100) was added during the incubation with the secondary antibody. Oregon Green 488 conjugated wheat germ agglutinin (Invitrogen, WGA, 5  $\mu$ g/mL in Hank's balanced salt solution containing Ca<sup>2+</sup> and Mg<sup>2+</sup> for 10 min at room temperature) was used to label the plasma membrane. Samples were imaged with a LSM800 (Zeiss, Oberkochen, Germany) confocal microscope using a 63× objective.

#### 2.4. Flow Cytometric Analysis of Agonist-Induced Receptor Internalization

HeLa-FPR1 cells were treated with vehicle or agonists diluted in internalization medium (IM; DMEM, 20 mM HEPES, 1 mg/mL BSA, pH 7.2) for 15 min at 37 °C. Cells were washed in PBS (Sigma), and detached in PBS/5 mM EDTA for 3 min at 37 °C. For the detection of the cell surface receptor pool, cells were washed with ice-cold PBS containing 5% BSA and 1 mM CaCl<sub>2</sub> and subsequently incubated with 5  $\mu$ g/mL DyLight488-conjugated anti-FLAG M1 antibody for 45 min. 7AAD (eBioscience, San Diego, CA, USA) allowed the exclusion of compromised cells. Median fluorescence intensities (MFI) of 10,000 viable cells per condition were measured with a Guava easyCyte flow cytometer and the InCyte<sup>TM</sup> Software (Merck-Millipore, Darmstadt, Germany). Agonist-induced internalization was defined as the difference between the MFI of vehicle-treated controls and the MFI detected in agonist-treated cells. For each measurement, agonist-induced internalization was normalized to the mean internalization induced by  $10^{-4}$  M W-peptide, which consistently represented the maximum system response for internalization.

#### 2.5. HTRF-Based Quantification of cAMP Levels

A competitive immunoassay based on time-resolved measurement of fluorescence resonance energy transfer (HTRF, homogeneous time-resolved fluorescence) between a cryptate-labeled specific antibody (donor) and a d2-coupled cAMP acceptor molecule (cAMP- $G_i$  Kit, Cisbio, Codolet, France) was used to measure cyclic AMP (cAMP) formation in cells, as described elsewhere earlier [19]. In brief, cells cultured as described above on 96 well plates (50k cells/well) were incubated with 5  $\mu$  M of the adenylyl cyclase activator forskolin (Sigma) in complete medium supplemented with 500  $\mu$  M of the phosphodiesterase inhibitor 3-isobutyl-1-methylxanthine (IBMX, Sigma), together with the agonists at the indicated concentrations, for 30 min at 37 °C. Samples were transferred onto a 384 well low volume plate, conjugates were added, and samples were analyzed with the CLARIOstar reader (BMG Labtech, Ortenberg, Germany) (200 flashes/well, integration start 60  $\mu$ sec, integration time 400  $\mu$ sec, settling time 100  $\mu$ sec). Luminescence signals were expressed as the ratio of 10,000× (acceptor signal/donor signal). Values were normalized to the maximum system output, which was obtained as forskolin-induced cAMP formation.

#### 2.6. HTRF-Based Quantification of MAPK/ERK Phosphorylation Levels

The advanced Phospho-ERK1/2 (Thr202/Tyr204) plate-based assay (Cisbio) was used to measure ERK activation through HTRF using a sandwich assay format of a donor-coupled antibody and an acceptor antibody as described before in [20]. Briefly, cells grown on 96 well plates (45k cells/well) were serum-starved for 2 h and stimulated with the agonists at the indicated concentrations for 5 min at 37 °C. Lysates were transferred to a 384 well low volume plate, incubated with the antibodies for 4 h at room temperature, and luminescence was recorded with a CLARIOstar reader (BMG Labtech) (200 flashes/well, integration start 60  $\mu$ sec, integration time 400  $\mu$ sec, settling time 100  $\mu$ sec). HTRF ratios were normalized to maximum system output obtained through stimulation with 100 nM phorbol 12-myristate 13-acetate (PMA).

#### 2.7. HTRF-Based Quantification of IP1 Levels

The IP-One-Gq assay (Cisbio), that detects inositol monophosphate, a stable downstream metabolite of IP3 induced by phospholipase C activation, was utilized to establish FR pretreatment conditions, as described elsewhere earlier [21]. In brief, cells grown overnight on 384 well

plates (5k cells/well) were pretreated with 1  $\mu$ M FR for 1 h at 37 °C prior to stimulation with 100  $\mu$ M phospholipase C activator 2,4,6-trimethyl-N-[3-(trifluoromethyl)phenyl]benzenesulfonamide (m-3M3FBS, Tocris) for 2 h at 37 °C. LiCl present in the stimulation buffer provided in the kit prevented the degradation of IP1. After addition of the conjugates, samples were incubated for 1 h at room temperature and read on a CLARIOstar plate reader (BMG Labtech) (200 flashes/well, integration start 60  $\mu$ sec, integration time 400  $\mu$ sec, settling time 100  $\mu$ sec).

#### 2.8. Curve Fitting

For each individual curve, ligand concentrations were log-transformed, normalized, and expressed as fractions of the maximum system response per pathway. Concentration-response curves were analyzed with Graphpad Prism 6 and the in-built four parameters sigmoidal model with a Hill coefficient of 1.  $EC_{50}$  values were derived from individual sigmoidal curve fits. If the data fitting was ambiguous without further constraints (very weak partial agonists, no inflection point), the minimum was set to zero and the highest experimental response was considered to represent  $E_{max}$ . The ROUT method [22] was used to detect and eliminate outliers. An agonist-induced response was defined by a curve span >3 SEM. If no fitting was possible (i.e., no detection of agonist-elicited responses), the 'no response' (NR) label was assigned. To analyze the relationship between data, linear regression and Spearman's correlation coefficients were calculated (Graphpad Prism 8).

Bias was calculated with the operational model [23]. The logarithm of the activity ratio  $E_{\text{max}}/\text{EC}_{50}$  [24–26] served as a surrogate for the actual transduction coefficient  $\log(t/\text{KA})$  [27] and was calculated individually for each agonist and pathway. To visualize the ligand "texture", agonist-specific  $\Delta \log R$  values were plotted for the analyzed pathways. To compare the relative effectiveness of an agonist at a given pathway with the reference agonist,  $\Delta \log R$  values were calculated as differences of the respective pathway-specific  $\log R$  of the agonist of interest and the endogenous MT-ND6 peptide as the reference agonist. To rank the relative signaling preferences of a ligand for one pathway over another,  $\Delta \Delta \log R$  values were calculated.

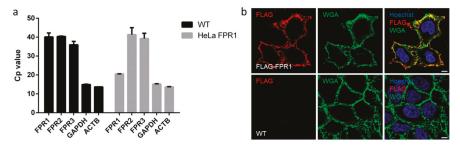
#### 3. Results

To address in a systematic fashion whether FPR1 agonists differ in their corresponding signaling fingerprints, we established a heterologous FPR1 expression system [18]. The resulting transgenic HeLa-FPR1 cell line was analyzed via qRT-PCR to confirm the stable expression of FPR1 (Figure 1a). As parental HeLa cells did not express any detectable levels of FPR1-3, this experimental design enabled monitoring specific FPR1-mediated responses [8,28]. The correct localization and incorporation of the FLAG-tagged receptor in the plasma membrane were validated by immunofluorescence imaging (Figure 1b). In order to avoid potentially distorting influences resulting from agonist effects other than those elicited via the FPR1 signaling axis, we used parental HeLa cells as a negative control. No signal could be observed when these cells were stained with the M1 antibody, and none of the tested agonists caused any significant response for the analyzed pathways, thereby confirming the specificity of our results (Figure A1).

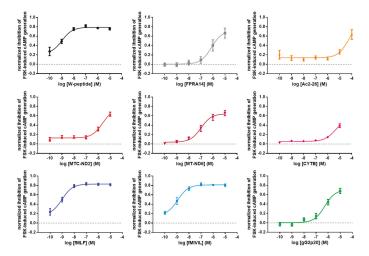
#### 3.1. FRET-Based Analysis of Agonist-Induced Changes in cAMP Levels

Agonist-induced cellular responses mediated via FPR1 previously have been reported to depend on  $G\alpha_i$  for signal transduction [29]. We, therefore, resorted to a FRET-based system to analyze agonist-mediated changes in cAMP de novo generation. Notably, none of the analyzed FPR1 agonists caused any detectable increase of cellular cAMP levels, thereby confirming that the tested ligands did not trigger FPR1 responses via  $G\alpha_s$  (Figure A2). To stimulate the cellular cAMP formation to its maximum, we utilized forskolin, a known activator of adenylyl cyclase activity [30] and determined the inhibitory potential of the different FPR1 agonists (exemplary raw data are shown in Figure A3a). Relative potencies and efficacies of the analyzed agonists differed significantly in our assay (Figure 2). Bacterial peptides [31,32] and the W-peptide [15,33,34], formed a distinct group of agonists with

high potencies and efficacies, mitochondrial peptides—on the other hand—were characterized by significantly lower potencies. However, differences in the respective efficacies for bacterial and mitochondrial peptides were not as pronounced. Notably, the annexin A1 peptide Ac2-26 [35], which displayed the lowest potency in our assay, was still able to suppress de novo cAMP formation to a level similar to the mitochondrial agonists. Interestingly, gG2p20, an exogenous ligand-derived from herpes virus [36], and the synthetic small molecule FPRA14 [37,38] mimicked the mitochondrial peptides to some extent. To analyze agonist profiles in more mechanistic detail, we investigated whether the magnitude of the agonist-elicited responses was linked with the respective potencies. Generally, EC50 values of the agonists displayed a very strong negative monotonic relationship with their respective ability to elicit  $E_{\rm max}$ , as demonstrated by the Spearman's rank correlation coefficient (r = -0.917, p = 0.001, r = 0.001,



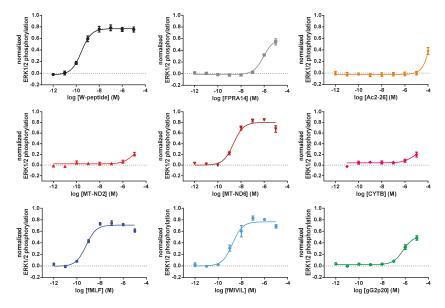
**Figure 1.** Evaluation of FPR1 (formyl peptide receptor 1) expression and localization in the heterologous HeLa expression system. (a) qRT-PCR revealed that none of the three FPR paralogs is expressed in parental HeLa cells (WT), whereas FPR1 is readily detectable in the HeLa-FPR1 transgenic cell line. Housekeeping genes ACTB (beta actin) and GAPDH (glyceraldehyde 3-phosphate dehydrogenase) served as internal controls. (b) Confocal immunofluorescence microscopy with the M1 antibody (red channel) confirmed the plasma membrane localization of FLAG-tagged FPR1. The plasma membrane was stained with WGA (green channel), and nuclei were visualized using Hoechst stain (blue channel). Upper panel: HeLa-FPR1 cell line; lower panel: WT HeLa cells, scale bar, 5 μm.



**Figure 2.** Concentration–response curves for FPR1-mediated inhibition of cAMP production. Cells were stimulated with forskolin (FSK) and the respective FPR1 agonists. Responses recorded 30 min after agonist addition were normalized to the maximum system response obtained with forskolin. Data points represent the mean  $\pm$  SEM of at least 7 independent measurements.

# 3.2. FRET-Based Analysis of Agonist-Induced Changes in MAPKinase Activation

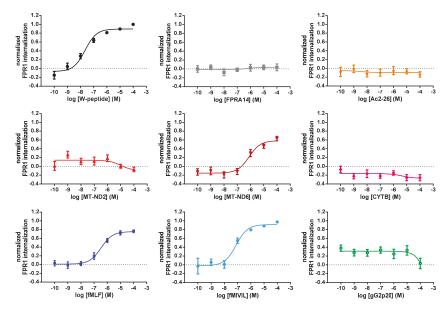
The MAPKinase cascade is a common effector of GPCR activation [39–41]. As shown in Figure 3, all agonists were able to increase ERK1/2 phosphorylation (exemplary raw data are shown in Figure A3b). Interestingly, the mitochondrial agonist MT-ND6 performed comparably to the bacterial agonists and the W-peptide, whereas the other mitochondrial peptides, as well as Ac2-26, only weakly activated ERK1/2 phosphorylation. As in the case of the adenylyl cyclase inhibition, gG2p20 and the synthetic small molecule FPRA14 displayed almost identical profiles. Spearman's rank correlation uncovered a strong negative monotonic correlation between EC50 and  $E_{max}$  values (r = -0.8, p = 0.014, r = 0.014, r



**Figure 3.** Concentration–response curves for FPR1-mediated phosphorylation of ERK1/2 on Thr202/Tyr204. Responses monitored 5 min after the addition of agonist were normalized to the maximum system response, which was obtained with PMA (phorbol 12-myristate 13-acetate). Data points represent the mean  $\pm$  SEM of at least 5 independent measurements.

# 3.3. Agonist-Mediated Internalization of FPR1

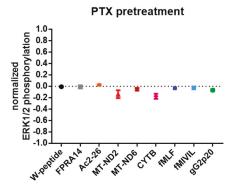
Activated GPCRs usually are removed from the cell surface and are internalized into endosomes [15,16]. To test the potential of our agonists to induce FPR1 internalization, we determined the agonist-dependent decrease in FPR1 cell surface presentation, based on the detection of the N-terminal FLAG-epitope by flow cytometry [42,43]. The actual amount of internalized receptor was calculated via the difference of cell surface signals measured in untreated and agonist-treated samples after 15 min of agonist addition. Administration of W-peptide, bacterial peptides fMLF and fMIVIL, or the endogenous mitochondrial peptide MT-ND6 decreased the cell surface-associated FPR1 pool in a concentration-dependent manner. In contrast to that, the mitochondria-derived peptides MT-ND2, CYTB, the synthetic agonist FPRA14, and the annexin A1 peptide Ac2-26 did not elicit detectable internalization and were therefore deemed non-responders. Importantly, no significant difference was observed between the two formylated ligands fMLF and MT-ND6, indicating that the source of signal (PAMP vs. DAMP) was not encoded by these ligands (Figure 4).



**Figure 4.** Concentration–response curves for agonist-induced FPR1 internalization. Receptor internalization was calculated as the difference between FLAG signals of unstimulated cells and signals recorded 15 min after agonist addition. Results were normalized to the maximum system response obtained with  $10^{-4}$  M W-peptide. Data points represent the mean  $\pm$  SEM of 6 independent measurements.

## 3.4. G protein Dependency of Agonist-Mediated Responses

We considered that the correlation for  $E_{max}$  and  $EC_{50}$  values for individual agonists and pathways, as revealed by the Spearman's rank coefficients, might—albeit indirectly—provide insights into common effector proteins interacting with the receptor to transduce agonist-elicited responses. We detected a very strong positive monotonic correlation between EC50 values for cAMP inhibition and ERK phosphorylation (r = 0.933, p = 0.001, n 9). A similar trend could also be established for the corresponding  $E_{max}$  values, which again revealed a strong positive correlation (0.733, p = 0.031, n 9; for an overview of  $logEC_{50}$  and  $E_{maxA}$  values, see Figure A4 and Table A2.). To directly assess whether the different molecular pathways are driven by  $G\alpha_i$ -protein-dependent signal transduction, we repeated our experiments in the presence of the  $G\alpha_i$ -specific inhibitor pertussis toxin (PTX) at a concentration that completely abolished the inhibition of cAMP formation induced by W-peptide (Figure A4a). PTX treatment of HeLa-FPR1 cells did not interfere with ERK activation per se, however, agonist-mediated ERK1/2 activation was suppressed, thus strongly implying that both pathways, (at least) in our cellular system, relied on the  $G\alpha_i$ -protein (Figure 5). In stark contrast, receptor internalization was not dependent on  $G\alpha_i$  activation, as agonist-evoked FPR1 internalization was not affected in PTX-pretreated cells (Figure 6a) and was equally undisturbed in cells pretreated with the  $G\alpha_q$  inhibitor FR (Figure 6b).



**Figure 5.** FPR1-mediated ERK1/2 phosphorylation is abolished in PTX (pertussis toxin)-pretreated cells. Cells were pretreated for 16 h with 100 ng/mL PTX and subsequently stimulated with agonist concentrations eliciting the respective  $E_{max}$ . Results were normalized to the maximum system response obtained with PMA. Data points represent the mean  $\pm$  SEM of 6 independent measurements.

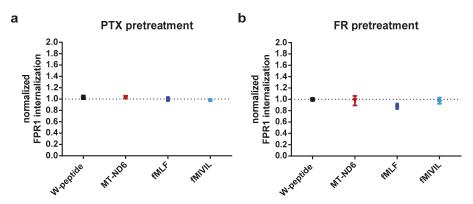


Figure 6. Agonist-induced FPR1 internalization is not dependent on  $G\alpha$ -mediated signal transmission. (a) Cells were pretreated either for 16 h with PTX or (b) for 1 h with FR and subsequently stimulated with agonist concentrations eliciting the respective  $E_{max}$ . Results were normalized to the  $10^{-4}$  M W-peptide-induced maximum response. Data points represent the mean ± SEM of 6 independent measurements.

#### 3.5. Analysis of Agonist Bias on FPR1

For the identification and analysis of ligand bias [23] associated with the FPR1 signaling axis, we selected the mitochondria-derived MT-ND6 as endogenous reference agonist for all tested pathways. For each agonist and pathway, we determined the corresponding logR (the logarithm of the activity ratio) values [24] and normalized the activities to MT-ND6 ( $\Delta$ logR=logRagonist-logRMT-ND6, see Table I) [23]. Figure 7 summarizes the resulting agonist ranking for each pathway. Overall, two agonist clusters were established: bacterial ligands, along with the W-peptide, which resembles a conserved spatial structure of bacterial agonists [44], constituted the group of "high-performers". Endogenous mitochondria-derived agonists, the herpes virus-derived peptide, the small synthetic agonist FPRA14, and annexin A1 peptide Ac2-26 represented the second group of considerably lower activity (Figure 7a). Interestingly, the endogenous agonist MT-ND6 featured balanced characteristics, with a profile "in-between" both groups. Graphical representations of the intrinsic activity profiles, i.e., in terms of  $\Delta$ logR, further highlight the tendency of our tested ligands to segregate into two clusters, which was observed independently of pathway but in relation to the agonist origin (Figure 7b).

However, the segregation was less pronounced when the magnitude of the respective response was taken into consideration (Figure 8, Figure A5, and Table A2). Analysis of pathway preferences, i.e., in terms of  $\Delta logR$  values, revealed that FPR1 activation was generally biased towards the inhibition of cAMP formation compared to the activation of the MAPKinase pathway or receptor internalization (Figure 9). However, the direct comparison of the weak agonist Ac2-26 with the strong agonist fMIVIL impressively revealed that bias was realized at different logistic levels (Figure 9).

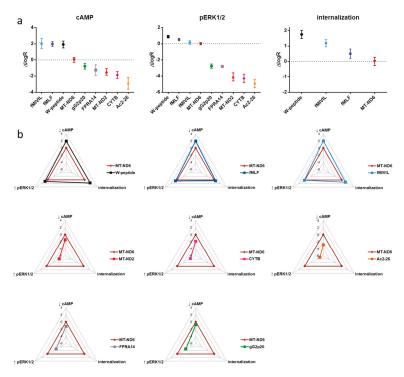


Figure 7. Relative agonist profiles compared to the endogenous reference agonist. (a)  $\Delta logR$  values were calculated from logR values with the mitochondrial peptide MT-ND6 as reference agonist. Data points represent the mean and 95% confidence intervals. (b) Relative agonist activities corresponding to the  $\Delta logR$  values for the respective pathways are depicted in radial graphs. Each radius is displayed in the logarithmic scale.

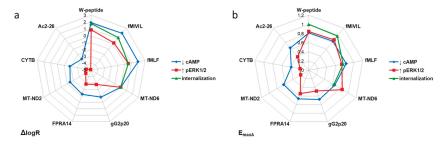


Figure 8. Graphical representation of the agonist profiles. Relative agonist activities corresponding to the  $\Delta logR$  values and the logistic parameters (a)  $EC_{50}$  and (b)  $E_{maxA}$  for the respective pathways are depicted in radial graphs. Each radius for  $\Delta logR$  is displayed in the logarithmic scale, radiuses for  $E_{max}$  are scaled linearly.

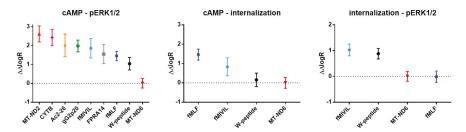


Figure 9. Comparison of agonist pathway preferences.  $\Delta\Delta logR$  values were calculated from  $\Delta logR$  values. Data points represent the mean and 95% confidence intervals.

#### 4. Discussion

FPR1 and the corresponding large repertoire of FPR1 agonists, derived from various cellular and pathogenic sources, constitute a powerful system for the detection of insults that are linked with tissue destruction under infectious and sterile conditions, such as pathogenic challenges or trauma caused by burns or injury [9,45]. Because overactivation of the innate immune response is often correlated with excessive and deleterious tissue damage, e.g., in influenza A virus (IAV) infection, targeting the FPR family might represent a novel approach to balance innate immunity. Indeed, our previous studies revealed the advantageous use of the host-derived anti-inflammatory N-terminal annexin A1 peptide Ac-2-26, a pan-FPR agonist [46] to counteract viral load and mortality in a preclinical murine IAV infection model [47], thus encouraging the development of novel FPR-based therapies.

Generally, the often observed signaling diversity elicited by GPCR agonists that are acting on the same receptor is based on the ligand preference for certain receptor conformational states linked to a subset of all possible signaling responses. This diversity has been termed "functional selectivity" and has led to the concept of "biased agonism" and helps to explain different regulatory outcomes via the activation of the same receptor [9,11]. Therapeutically, bias analysis might help to identify compounds that direct receptor signaling toward desired responses, thus aiding in the development of novel therapeutics with an effective pharmacological profile that avoids activation of unwanted signaling pathways and hence side effects [48,49]. Indeed, a few biased agonists have been developed and are currently in various stages of clinical trials; this is true even for FPR-targeting compounds [50].

To further explore the potential of biased agonism acting on FPRs, we selected human FPR1, the founding member of the FPR family [8], for broader analysis. FPR1 represents the sensor platform for short formylated peptides, a pattern commonly associated with bacterial PAMPs and mitochondria-derived DAMPs [51,52]. However, the preference for such modified peptides is not exclusive, and even non-formylated derivatives (such as Ac2-26) are known to activate FPR1 effectively. The structural diversity of FPR1 agonists led us to hypothesize that potentially different classes of agonists might group, based on their origin, i.e., as PAMPs, otherwise endogenous ligands or DAMPs, thus enabling the receptor to decode the actual source of danger and in turn to channel the cellular responses.

Commonly, agonists are classified according to their ability to invoke the maximum receptor-mediated response of a given pathway. These agonists represent "full" agonists, whereas "partial" agonists only elicit a fraction of the cellular responses caused by a full agonist. This classification is intuitive and seems to be well suited to describe the properties of most ligands; it inherently suffers, however, from the disadvantage that potentially better—yet unidentified—agonists (evoking a higher response) cannot be accounted for. An alternative approach classifies agonist efficacies in relation to an endogenous reference agonist of high efficacy. Therefore, some agonists might be identified, which are even capable to elicit stronger cellular responses than those associated with the reference agonist; consequently, these ligands are referred to as "superagonists", although this term still has to be defined in broader detail [53]. The molecular explanation for this phenomenon might lie in the observation that

simultaneous binding of an efficacious agonist and a G protein is required to induce the full receptor response [54,55]. Our results imply that bacterial agonists function as bona fide superagonists at FPR1.

Of note, FPR1 agonist clusters clearly grouped based on ligand efficacies and potencies; these logistic properties were strongly correlated as revealed by the analysis "within" as well as "across"  $G\alpha_i$ -dependent pathways. Moreover, our results argued in favor of a shared signal transmission selectivity for a given pathway across these structurally unrelated agonist classes. This was most obvious in the case of agonist-mediated ERK activation [56,57], which was entirely G protein-dependent, because in no instance did we observe Gαi-independent activation of the ERK signaling cascade. Yet, lack of evidence for G protein-independent signals, as far as ERK phosphorylation is concerned, does by no means exclude that FPR1 agonists display preferences for supposedly distinct subsets of FPR1-elicited signaling pathways over others. Internalization might—at first glance—appear G protein-independent. However, the most likely scenario probably is that endocytosis requires an active receptor conformation rather than an active signaling pathway and therefore occurs even when G proteins are precluded from active signal transmission. It is therefore perhaps not surprising that particularly high-efficacy ligands are the most effective at causing receptor internalization. It also cannot be ruled out that a given cellular environment is hard-wired for a set of pathways [58]. Hence, the decoding capacity of our heterologous expression system might cause the cells' inability to distinguish the source of these ligands, leading to so-called "system bias". Our data suggests that peptide-based FPR1 pharmacotherapy might be worthwhile exploring, however, peptide aggregation is a huge challenge [59].

Based on the bias calculations, all of the agonists preferentially inhibited cAMP over ERK phosphorylation. cAMP is a prominent second messenger in the PKA signaling pathway and a potent regulator of immunity. Because increasing and decreasing cAMP levels are correlated to dampening or stimulating immune responses, respectively, the cellular cAMP balance is considered a bona fide druggable target [60]. Surprisingly, the Ac2-26 peptide was also biased toward adenylyl cyclase inhibition, similar to the endogenous mitochondrial peptides, and the agonistic profile did not resonate with the established anti-inflammatory properties.

The bias in FPR1 activation toward inhibition of cAMP production may signal imminent danger, regardless of the source. However, similar bias factors can be associated with entirely different logistic parameters, and therefore, might cause different levels of physiological response. Our data do not support a selective activation of entirely different signaling pathways, as typically associated with biased agonists. Rather, our findings identified that the actual differences of the danger signals are encoded by the different levels of response (described by the logistic parameters  $EC_{50}$  and the maximum agonist-elicited response  $E_{max}$ ).

Another means by which GPCR signaling can be diversified is the organization of GPCR-based signaling platforms via homo- and hetero-oligomerization [61]. Indeed, there is emerging functional evidence for FPR higher-order structures [62,63]. Whether such supramolecular sensor complexes are able to decode additional information is certainly an important line of future research.

Author Contributions: Conceptualization, C.A.R. and U.R.; methodology, J.G., C.R. and U.R.; validation, C.A.R., J.G. and U.R.; formal analysis, J.G.; investigation, J.G.; resources, U.R., G.M.K., E.K.; writing—original draft preparation and methodology, J.G., V.G., C.A.R. and U.R.; writing—review and editing, J.G., C.A.R., U.R., G.M.K., E.K.; visualization, J.G.; supervision, C.A.R.; project administration, U.R.; funding acquisition, V.G., U.R. All authors have read and agreed to the published version of the manuscript.

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**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

# Appendix A

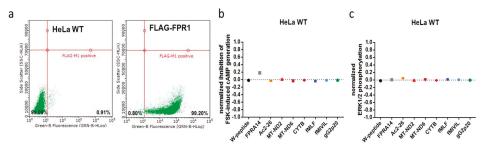


Figure A1. Agonist effects are specific for the FPR1 signaling axis. Parental HeLa cells were subjected to the assays analyzing (a) internalization, (b) cAMP formation, and (c) MAPKinase activation, at agonist concentrations eliciting the respective  $E_{max}$  response. (a) A representative result, (b), (c) mean response  $\pm$  SEM, n 6.

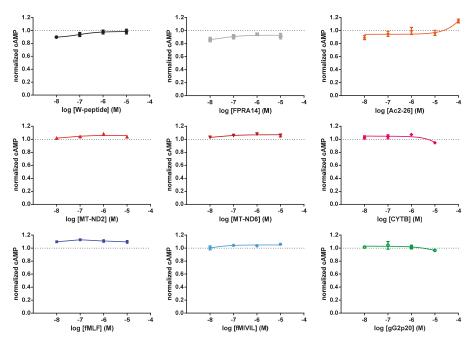
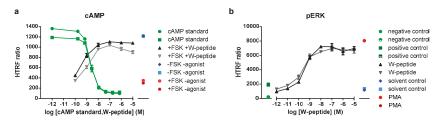
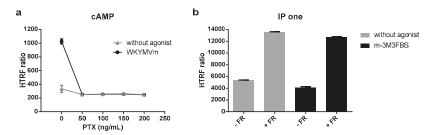


Figure A2. Concentration-response curves for FPR1-mediated stimulation of cAMP production. None of the agonists increased intracellular cAMP levels, in line with the reported FPR1-mediated activation of  $G\alpha_i$ . Mean response  $\pm$  SEM, n 3.



**Figure A3.** Exemplary raw data of concentration-response curves for FPR1-mediated stimulation of (a) cAMP production and (b) MAPKinase activation. In addition to the W-peptide measurements, data points for the cAMP standards and the ERK positive and negative controls included in the kit are shown. Data were normalized to the maximum output as obtained with forskolin and PMA.



**Figure A4.** Analysis of G protein dependency. (a) PTX-mediated inhibition of FPR1-mediated changes in cAMP generation. (a) Maximum FPR1-mediated inhibition of forskolin-induced cAMP formation was completely prevented in cells pretreated for 16 h with 100 ng/mL PTX. (b) Effect of 1  $\mu$ M FR preincubation for 1 h on IP-1 production induced by the phospholipase C activator m-3M3FBS. Data points represent the mean  $\pm$  SEM.

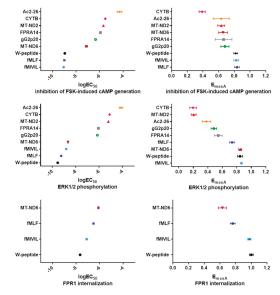


Figure A5. Depiction of  $logEC_{50}$  and  $E_{maxA}$  values of each pathway. Ligands are arranged according to the intensity of the response they elicited in the respective pathway. Data are expressed as mean  $\pm$  SEM after outlier removal.

**Table A1.** Ligand stock solutions. Concentrations of stock solutions were calculated based on the solubility of the compound as indicated by the manufacturer and its molecular weight (M). Stock solutions were prepared using the solvents recommended by the manufacturer.

Ligand	Stock Concentration	Solvent	Solubility	M
Ac2-26	324 μΜ	PBS	1 mg/mL	3089.46 g/mol
CYTB	1.0 mM	DMSO	2 mg/mL	1816.26 g/mol
fMIVIL	3.25 mM	DMSO	2 mg/mL	615.83 g/mol
fMLF	10 mM	DMSO	4 mg/mL	437.60 g/mol
FPRA14	100 mM	DMSO	100 mM	408.92 g/mol
gG2p20	1.36 mM	DMSO	2 mg/mL	1467.62 g/mol
MT-ND2	2.85 mM	DMSO	2 mg/mL	700.79 g/mol
MT-ND6	2.49 mM	DMSO	2 mg/mL	803.00 g/mol
WKYMVm	2.3 mM	H <sub>2</sub> O	2 mg/mL	856.11 g/mol

**Table A2.** Overview of logistic parameters for FPR1 agonists. LogEC $_{50}$  and E $_{max}$  were determined for FPR1 ligands for the analyzed pathways. Data are expressed as mean  $\pm$  SEM values. NR, no response.

	Ligand	cAMP Formation		ERK1/2 Activation		Internalization	
		logEC <sub>50</sub>	E <sub>maxA</sub>	logEC <sub>50</sub>	EmaxA	logEC <sub>50</sub>	E <sub>maxA</sub>
synthetic	FPR14	-5.94 0.19	0.66 0.11	-6.06 0.05	0.55 0.05	NR	NR
	W-peptide	-8.96 0.15	0.82 0.03	-9.54 0.06	0.85 0.04	-7.66 0.08	1.00 0.03
endogenous	Ac2-26	-4.31 0.20	0.63 0.10	-4.13 0.16	0.38 0.06	NR	NR
mitochondrial	СҮТВ	-5.52 0.11	0.39 0.04	-5.11 0.24	0.20 0.05	NR	NR
	MT-ND2	-5.69 0.13	0.63 0.04	-5.21 0.16	0.21 0.03	NR	NR
	MT-ND6	-7.13 0.09	0.66 0.06	-8.66 $0.04$	0.85 0.02	-6.11 0.06	0.63 0.05
bacterial	fMIVIL	-9.06 0.24	0.82 0.03	-8.81 0.11	0.86 0.01	-7.10 0.06	0.97 0.02
	fMLF	-9.00 0.10	0.83 0.03	-9.24 0.04	0.74 0.04	-6.53 0.10	0.76 0.03
viral	gG2p20	-6.36 0.12	0.68 0.05	-6.15 0.08	0.48 0.04	NR	NR

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Review

# Annexin A2 in Inflammation and Host Defense

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Abstract: Annexin A2 (AnxA2) is a multifunctional calcium<sup>2+</sup> (Ca<sup>2+</sup>) and phospholipid-binding protein that is expressed in a wide spectrum of cells, including those participating in the inflammatory response. In acute inflammation, the interaction of AnxA2 with actin and adherens junction VE-cadherins underlies its role in regulating vascular integrity. In addition, its contribution to endosomal membrane repair impacts several aspects of inflammatory regulation, including lysosome repair, which regulates inflammasome activation, and autophagosome biogenesis, which is essential for macroautophagy. On the other hand, AnxA2 may be co-opted to promote adhesion, entry, and propagation of bacteria or viruses into host cells. In the later stages of acute inflammation, AnxA2 contributes to the initiation of angiogenesis, which promotes tissue repair, but, when dysregulated, may also accompany chronic inflammation. AnxA2 is overexpressed in malignancies, such as breast cancer and glioblastoma, and likely contributes to cancer progression in the context of an inflammatory microenvironment. We conclude that annexin AnxA2 normally fulfills a spectrum of anti-inflammatory functions in the setting of both acute and chronic inflammation but may contribute to disease states in settings of disordered homeostasis.

Keywords: annexin A2; inflammation; infection; adherens junction; angiogenesis; macroautophagy

### 1. Introduction

Inflammation is defined as the local host response to injury caused by infectious or noninfectious agents; it results in elimination or compartmentalization of the inciting agent, clearance of necrotic cells, and repair of damaged tissue [1,2]. Initially, host cells recognize danger-associated molecular patterns (DAMPs) or pathogen-associated molecular patterns (PAMPs) through germline-encoded pattern-recognition receptors (PRRs) expressed mainly in monocytes, macrophages, neutrophils, and dendritic cells [1]. The four known classes of PRR molecules include transmembrane proteins, such as Toll-like (TLRs) and C-type lectin receptors (CLRs), as well as cytoplasmic proteins, such as retinoic acid-inducible gene (RIG)-like receptors (RLRs) and nucleotide-binding oligomerization domain-like receptors (NLRs) [3]. These pathways induce the release of proinflammatory cytokines, which increase vascular permeability, thus facilitating the extravasation of immune cells into the damaged tissue, and chemokines, which recruit supplemental immune cells that phagocytose and kill pathogens [1]. Inflammation that resolves within days is classified as acute, whereas chronic inflammation may persist for months to years. Chronic inflammation underlies the pathogenesis of an array of disease entities, including diabetes, asthma, arthritis, cancer, atherosclerosis, vasculitis, and inflammatory bowel disease.

The annexins are  $Ca^{2+}$ -regulated, phospholipid- and membrane-binding proteins that are named after the Greek word "annex", meaning to attach or bridge because of their ability to link membranes to other membranes or other structures [4]. All but one (A6) of the twelve annexin family members (A1–A11 and A13) identified in vertebrates have a highly homologous core domain (~30–35 kilodaltons) containing four multi-alpha helical repeats with potential  $Ca^{2+}$ -binding activity and an N-terminal

domain (~3 kilodaltons), which is specific for each family member [5,6]. The annexins are expressed ubiquitously throughout the phylogenetic tree and are evolutionarily ancient.

Annexin A2 (AnxA2) is one of the most extensively studied members of the annexin superfamily [6]. AnxA2 is produced by a wide spectrum of cell types, including endothelial, trophoblast, epithelial, and tumor cells, as well as innate immune cells, such as macrophages, monocytes, and dendritic cells. AnxA2 may exist in either monomeric or heterotetrameric form. The heterotetramer (A2•\$100A10)<sub>2</sub> is composed of two copies each of AnxA2 and protein \$100A10, also called p11. AnxA2 is present in the cytoplasm and on cell surfaces, and its functions are largely location specific. On the endothelial cell surface, the (A2•\$100A10)<sub>2</sub> complex binds components of the fibrinolytic system, plasminogen and tissue plasminogen activator (tPA), accelerating the activation of the serine protease plasmin [7,8]. As the primary fibrinolytic protease, plasmin enables fibrin breakdown and angiogenesis [9,10]. Intracellularly, AnxA2 seems to fulfill many functions, including organization of specialized membrane microdomains, facilitation of vesicle budding, and regulation of additional membrane dynamic events such as fusion, endocytosis, endosomal biogenesis, and membrane repair [4,5,11–21].

In view of this wide array of functions, we have developed a working model depicting the disparate anti- and pro-inflammatory roles of AnxA2 at the various stages of inflammation (Figure 1). In the initial phase, AnxA2 limits vascular permeability, thereby modulating recruitment of leukocytes and their subsequent release of inflammatory mediators. AnxA2 also supports internal membrane repair, thus modulating inflammasome activation, and participates in the biogenesis of the phagophore in autophagy, thereby facilitating the removal of pathogens and damaged organelles. Later, AnxA2 enables angiogenesis and tissue healing.

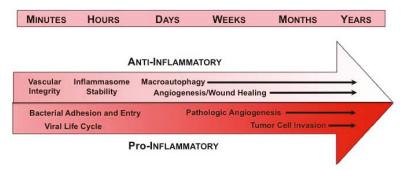


Figure 1. Annexin A2 in inflammatory responses—working model. Under homeostatic conditions (top arrow), AnxA2 plays an anti-inflammatory role in response to injury or infection. In the immediate response to injury, AnxA2 maintains vascular integrity, thereby preventing edema and extravasation of blood cells. Within minutes to hours, AnxA2 also protects internal membranes, such as those delimiting the lysosome, thus preventing inflammasome activation and tempering cytokine production. AnxA2 is also necessary for biogenesis of the phagophore, a double membrane structure that engulfs and destroys intracellular pathogens and allows the cell to adapt to environmental stresses in the process of macroautophagy. In the later stages of inflammation, AnxA2 likely promotes angiogenesis and wound healing by supporting cell surface fibrinolytic activity. In disease states, however (bottom arrow), AnxA2 may be co-opted to perform pro-inflammatory actions. On the plasma membrane, AnxA2 appears to serve as a site for bacterial adhesion and entry into cells, and may enable viral infection, replication, and release. In chronic inflammatory states, excessive angiogenesis that is sustained by AnxA2 may induce tissue damage, as in the diabetic retina, or may support the progression of cancer. In addition, some tumor cells may utilize cell surface AnxA2 to generate protease activity necessary for migration and metastasis within a pro-inflammatory micromilieu.

#### 2. Annexin A2 and Infection

Infection results from the interaction of a pathogen (e.g., bacterium, fungus, or virus) with a host organism, leading to tissue invasion, proliferation of the pathogen, production of toxins, and cellular damage [22,23]. The first response of the mammalian host to infection is inflammation, mediated by the innate immune system, and is not specific to the inciting agent. The ensuing adaptive immune response is highly specific to the invading pathogen and typically provides long-lasting protection [23]. Annexin A2 fulfills a number of protective roles during pathogenic infection (Table 1).

In a model of *Klebsiella* pneumonia in mice, AnxA2 appeared to reduce infection-associated inflammation [24]. In  $Anxa2^{-/-}$  mice infected intranasally with *Klebsiella* pneumonia, the level of pro-inflammatory cytokines was significantly elevated, and  $Anxa2^{-/-}$  mice exhibited 100% mortality, versus 100% survival for  $Anxa2^{+/+}$  mice at 50 h post injection. Additionally, peritoneal macrophages lacking AnxA2 showed worsening of the TLR4-triggered inflammatory response. These investigators concluded that AnxA2 has a role in limiting inflammation by promoting anti-inflammatory signals [24].

Annexin A2 also protects the host in a murine model of *Cryptococcal* infection. *Cryptococcus neoformans* is an encapsulated budding yeast that, unlike others, can replicate under acidic conditions; its virulence depends upon its interaction with host macrophages [25]. In this infection,  $Anxa2^{-/-}$  bone-marrow-derived macrophages were less efficient than control macrophages at phagocytosing yeast cells, resulting in a lower frequency of non-lytic exocytosis. In addition, the *Cryptococcus* capsule was enlarged in  $Anxa2^{-/-}$ -deficient macrophages, possibly impacting nonlytic exocytosis, and potentially reflecting the ability of AnxA2 to promote intracellular membrane interactions and vesicle adhesion to the cell membrane [6,26–31]. In vivo, mice lacking AnxA2 showed a lower survival rate when infected with *Cryptococcus*, reflecting a dysregulated inflammatory response [25].

On the other hand, there is evidence that cell surface annexin AnxA2 may be co-opted to facilitate infection by invading bacteria such as *Pseudomonas aeruginosa* [32], *Escherichia coli* [33], *Salmonella typhimurium* [34], and *Rickettsial* species [35]. *P. aeruginosa*, an organism that causes chronic pulmonary infection in patients with cystic fibrosis, anchors to AnxA2 on respiratory epithelial cells, undergoes internalization, and causes apoptotic cell death and release of pro-inflammatory cytokines [32]. In this instance, AnxA2 enables the initiation of productive infection.

Similarly, *E. coli*, particularly the EspL2 strain, reorganizes the cytoskeleton of the host epithelial cells with the participation of annexin A2, which is known to regulate actin dynamics at the bacterium—membrane contact site [36,37]. AnxA2 is recruited to the membrane adhesion site by *E. coli*, where its F-actin bundling activity is enhanced [33,38]. AnxA2 also promotes cytoskeletal rearrangements during host cell invasion by *Salmonella*. *S. typhimurium*, a common cause of gastroenteritis, uses the contact-dependent type 3 secretion system of the host cell to extensively remodel actin. AnxA2 is engaged in various mechanisms driven by actin rearrangement (e.g., endocytosis, cell–cell adhesion, and membrane ruffling) [34]. AnxA2 participates in reorganization of the actin cytoskeleton during *Salmonella* invasion; depletion of annexin A2 and S100A10 reduced bacteria invasion, probably because of the ability of these proteins to bind the large phosphoprotein AHNAK at the bacteria entry site [34].

In addition, annexin A2 acts as an adhesion receptor in *Rickettsial* infections [35]. In these disorders, bacteria adhere to and invade vascular endothelial cells in a manner that must be strong enough to overcome the shear stress of flowing blood. In human umbilical vein endothelial cells, immunofluorescence—confocal microscopy revealed colocalization of AnxA2 and *Rickettsial* organisms on the external face of the plasma membrane. The numbers of *Rickettsia* adherent to wild-type mouse brain microvascular endothelial cells was significantly increased compared to those associated with cells from AnxA2-null mice. In-vivo findings corroborated the hypothesis that host endothelial cell AnxA2 serves as an adhesion receptor for *Rickettsial* species. In fact, using a plaque assay and with confocal imaging, He et al. showed an increase in *Rickettsia* in circulating blood and a concomitant decrease on the endothelial cell surface in AnxA2-null mice [35].

Finally, annexin A2 appears to be co-opted during the life cycle of at least 13 human viruses. Reported roles include attachment, penetration by receptor-mediated endocytosis or direct membrane fusion, replication, assembly, and release [39]. For example, the (A2•S100A10)<sub>2</sub> tetramer was identified as a central mediator in human papillomavirus (HPV) attachment and intracellular trafficking, leading to progression of genital cancers. Suppression of AnxA2 altered the entry of HPV into target cells, whereas antibodies against S100A10 did not have the same effect [40]. In addition, the infection was significantly reduced when the (A2•S100A10)<sub>2</sub> complex was eliminated, a result that was not seen when S100A10 alone was deleted [41,42].

Table 1. Role of annexin A2 in pathogen-host cell interactions in infection.

Pathogen	AnxA2 role	References
Bacteria		
	Anti-Inflammatory Actions	
Klebsiella pneumoniae	Reduced cytokine response	[24]
	Pro-Inflammatory Actions	
Pseudomonas aeruginosa	Plasma membrane adhesion	[32]
Escherichia coli	Plasma membrane adhesion	[33,38]
Salmonella typhimurium	Host cell invasion	[34]
Rickettsia australis	Plasma membrane adhesion	[35]
Fungus		
	Anti-Inflammatory Actions	
Cryptococcus neoformans	Phagocytosis and exocytosis	[25]
,	Pro-Inflammatory Actions	
none reported		_
Virus		
	Anti-Inflammatory Actions	
Human Papillomavirus	Attachment and intracellular trafficking	[40-42]
	Pro-Inflammatory Actions	
none reported		_

# 3. Annexin A2 and Regulation of Vascular Integrity

One of the earliest responses to inflammation is a loss of vascular integrity, which facilitates the invasion of innate immune cells into the affected tissue. The maintenance of blood vessel integrity is an active process that, if interrupted, can cause hemorrhage, edema, and progressive inflammation. Vascular permeability is regulated by endothelial—endothelial cell junctions [43]. Homotypic interactions between vascular endothelial cadherin (VE-cad), a major constituent of the adherens-type junction, are regulated by Src-mediated tyrosine phosphorylation of VE-cad, which induces opening of the junction [44–46].

Annexin A2 interacts directly with VE-cad and is essential to maintaining VE-cad within cell junctions [45]. AnxA2 and S100 A10 interact independently with VE-cad via actin filaments, and vascular endothelial growth factor (VEGF) treatment disconnects AnxA2 and actin from the VE-cad complex, increasing vasculature permeability. Phosphorylation of the adherens junctions can be induced by either loss of Src homology phosphatase 2 (SHP2) or destabilization of cholesterol drafts, both of which implicate annexin A2 in vascular integrity [45]. Annexin A2 also regulates tyrosine phosphorylation of VE-cad in the pulmonary microvasculature. Under hypoxia,  $Anxa2^{-/-}$  mice, unlike control  $Anxa2^{+/+}$  animals, showed a significant increase in Src-related phosphorylation of VE-cad.  $Anxa2^{-/-}$ , but not  $Anxa2^{+/+}$ , mice also displayed an acute inflammatory response with infiltration of neutrophils into the lung parenchyma and development of pulmonary edema. With VE-cad and SHP2, AnxA2 forms a complex, which is disrupted in the absence of AnxA2, thus preventing dephosphorylation of VE-Cad and inducing vascular leak [47].

In addition, in-vitro and in-vivo studies support a role for annexin A2 in maintaining the blood–brain barrier. Analysis of  $Anxa2^{-/-}$  mice showed fewer tight junctions containing zonulin-1 and claudin-5 and fewer adherens junctions containing VE-cad. In cultured primary human brain microvascular endothelial cells, AnxA2 seems to promote F-actin and VE-cad interactions by binding Robo4 and contributing to its complex formation with paxillin. This process appears to be essential for maintaining vasculature integrity in the central nervous system [48].

# 4. Annexin A2 and Recruitment of Inflammatory Cells

It is likely that annexin A2 is important for the recruitment of some classes of leukocytes to sites of inflammation. AnxA2 may interact with CD44 in the chemotaxis of neutrophil-like cells in response to complement factor 5a in vitro, and anti-AnxA2 appears to block this activity in human neutrophils [49]. At the same time, the level of expression of AnxA2 in freshly isolated human neutrophils appears to be low compared to other circulating leukocytes, especially human monocytes and monocyte-derived macrophages, where it is highly expressed [50]. In fact, anti-AnxA2 IgG impairs cytokine-directed monocyte migration through the extracellular matrix [50] This migratory activity also requires tPA-dependent activation of plasminogen to the serine protease plasmin. In addition, an in-vitro wound healing assay revealed that loss of AnxA2 expression in intestinal epithelial cells led to increased cell-matrix adhesion via a  $\beta$  integrin, and reduced cell migration [51], but whether this mechanism applies to inflammatory cells is unknown. Nevertheless, the full extent of the actions of AnxA2 in inflammatory cell recruitment in vivo remains to be determined.

# 5. Annexin A2 in Inflammasome Dynamics

As an adaptive response, inflammation must be tightly regulated; inadequate inflammation can lead to persistent infection, whereas excessive or prolonged inflammation can cause longstanding disease, such as chronic arthritis, neurodegeneration, inflammatory bowel disease, or metabolic syndrome (atherosclerosis, type 2 diabetes and obesity) [52,53]. Inflammasomes are large intracellular protein complexes that sense inflammatory triggers in macrophages, monocytes, dendritic cells, neutrophils, and epithelial cells. They activate caspase-1 in response to both pathogens and host-derived signals of cellular stress including leakage of lysosomal cathepsins into the cytosol, damage to mitochondria, and production of reactive oxygen species [52].

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In humans with joint replacement devices, artificial articular surfaces may generate non-biodegradable wear debris particles, which, upon phagocytosis by dendritic cells, damage the endolysosomal limiting membrane. Damage to membranes leads to discharge of lysosomal cathepsins and H<sup>+</sup> ions into the cytosol and activation of the NLRP3 inflammasome [54]. In the cytosol of dendritic cells exposed to wear debris particles, AnxA2 was profoundly downregulated, and both AnxA2 and S100A10 translocated to the endosome, to promote resealing of damaged membranes. These findings confirmed the hypothesis that AnxA2 is involved in endolysosomal membrane repair, thus limiting inflammasome activation [54].

On the other hand, upon infection with *Anaplasma phagocytophilum*, a *Rickettsial* organism, annexin AnxA2 null macrophages showed evidence of impaired inflammasome activation. Interleukin- $1\beta$  secretion, caspase-1 activation, and NLRC4 oligomerization were all reduced compared to wild-type macrophages under the same conditions. Moreover,  $Anxa2^{-/-}$ -infected mice were significantly more

susceptible to infection than wild-type mice, indicating that AnxA2 helps this pathogen evade the NLRC4 inflammasome-based host defense system [55].

# 6. Annexin A2 and Macroautophagy

Once the innate immune cells have identified the pathogens or damaged cells during the inflammatory response, the process of macroautophagy may be initiated. Macroautophagy is a cell survival mechanism, in which certain cytoplasmic constituents (damaged organelles, misfolded proteins, or bacteria) are degraded in a lysosome-derived, double-membrane-enclosed vacuole, and then recycled to maintain cellular homeostasis [56–58]. In inflammatory macroautophagy, the process begins when a pre-autophagosomal membrane elongates and forms an autophagosome by engulfing a portion of the cytosol. Autophagosomes initially fuse with endosomes to form amphisomes, and then ultimately fuse with lysosomes to degrade their contents [59]. Macroautophagy is associated with a number of human pathologies including cancer [60], myopathies [61], diabetes [62], neurodegenerative processes [63], and infectious disease [63,64].

Annexin A2 participates in macroautophagy by interacting with the autophagy-related protein Atg16, especially in the biogenesis of Atg16L-positive vesicles. In primary dendritic cells, which have the highest rate of plasma membrane turnover and are crucial for immunosurveillance, proteomic analyses identified a population of vesicles positive for both AnxA2 and Atg16L. Comparison of cells from  $Anxa2^{+/+}$  and  $Anxa2^{-/-}$  mice demonstrated that AnxA2 facilitates Atg16L-positive vesicle fusion, a fundamental step in phagophore formation and elongation. Thus, AnxA2 appears to link Atg16L to membrane vesicles [15].

Macroautophagy is upregulated in response to a wide range of chronic inflammatory disorders and other stress conditions. These include persistent infections, such as tuberculosis, neurodegenerative disorders, such as Parkinson's disease, and chronic inflammatory disorders, such as Crohn's disease [65]. Under starvation conditions, for example, macroautophagy correlates with increased expression of annexin A2 in cultured cells and in mouse brain through a transcriptional pathway involving Jun N-terminal kinase (JNK) and c-Jun. Biogenesis of autophagosomes was enhanced in cells fed exogenous AnxA2 and abrogated in AnxA2-null mice. Autophagosome formation may be regulated by the effect of AnxA2 on actin-mediated trafficking of the transmembrane protein Atg9a, as AnxA2 and Atg9a were found to colocalize with actin filaments, leading to the conclusion that AnxA2 anchors actin on Atg9a-positive vesicles [66].

In another study, activation of autophagy during oxygen–glucose deprivation was reported to be influenced by AnxA2 in human retinal endothelial cells. Knockdown of AnxA2 attenuated the initiation of autophagy, reduced cell viability, and fostered cell apoptosis [67]. In another study,  $Anxa2^{-/-}$  mice sustained a more severe *Pseudomonas aeruginosa* infection, which spread more readily to the lung and other organs. This appeared to correlate with an inability to clear the infection when annexin A2 is silenced and with the role of AnxA2 in regulating autophagosome formation through the Akt1–mTOR–ULK1/2 signaling pathway [68].

Macroautophagy is also central to the pathogenesis of osteoarthritis, a form of chronic joint inflammation. Autophagy may be protective in normal cartilage, as expression of ULK1, Beclin1 and LC3, the primary genes regulating the autophagy pathway, is decreased in osteoarthritic cartilage and chondrocytes [69]. In an in-vivo study with green fluorescent protein (GFP)-conjugated LC3 (GFP-LC3)-transgenic reporter mice, which allow one to monitor autophagy, there was a decrease in autophagic activity associated with a size and number reduction of autophagosomes [70]. These studies confirmed that age-related osteoarthritis correlates with autophagy, underlining a possible role for AnxA2 in this chronic inflammatory disorder.

#### 7. Annexin A2 in Angiogenesis

Angiogenesis is the process by which endothelial cells, derived from pre-existing vasculature, proliferate and migrate to create new blood vessels. It is distinct from vasculogenesis, in which

endothelial cells or their precursors coalesce to form new vasculature [71]. Under physiologic conditions, blood vessels undergo constant turnover, in which the rate of vessel degeneration is counterbalanced by the rate of blood vessel renewal. Insufficient angiogenesis is associated with myocardial infarction, stroke, preeclampsia, and neurodegeneration, whereas excessive vascular growth supports inflammation, obesity-associated disorders, development and spread of malignant tumors, and vascular-based ocular disorders such as diabetic retinopathy [72]. During inflammation, secreted pro-angiogenic factors promote neovascularization. The formation of new blood vessels, in turn, facilitates infiltration of innate immune cells, thus perpetuating the inflammatory process [73]. Additionally, upregulation of the inflammatory response caused by the persistence of the instigating agent may also facilitate angiogenesis through endothelial cell proliferation and migration [74].

Early in angiogenesis, pro-angiogenic signals activate endothelial cells, which migrate into the extracellular matrix, proliferate, elongate and create a lumen. The  $(A2 \cdot S100A10)_2$  complex, residing on the endothelial cell surface, converts plasminogen into plasmin, which can then activate a cascade of matrix metalloproteases. Matrix metalloproteases (MMP) can proteolyze components of the basement membrane, thus liberating endothelial cells and permitting their directed migration [72]. Based on these observations, it has been hypothesized that AnxA2 may be involved in excessive, pathological angiogenesis across a range of disease states [71].

Several in-vivo studies demonstrate a role for annexin A2 in postnatal angiogenesis. In the corneal pocket assay, for example, AnxA2-deficient mice displayed a decreased capability of generating new blood vessels in the cornea stimulated with fibroblast growth factor [75]. In wild-type mice, this response was blocked when mice were placed on a high-methionine diet; methionine is metabolized to homocysteine, which modifies AnxA2 by forming a covalent adduct with cysteine 8 in the N-terminal tail region. Interestingly, when hyperhomocysteinemic wild-type mice were treated with intravenous AnxA2, normal corneal angiogenesis ensued [76]. In the Matrigel implant assay, similarly, neovessel formation was significantly impaired in  $Anxa2^{-/-}$  mice. Unlike  $Anxa2^{-/-}$  mice, wild-type mice showed neovascularization of implanted Matrigel plugs. Addition of a peptide that mimics the N-terminal domain of annexin A2 and blocks tPA binding reduced the number of von Willebrand factor positive cells by 80%, whereas a scrambled control peptide had no effect [75].

In the oxygen-induced retinopathy (OIR) model of angiogenesis, 7-day-old neonatal mice are subjected to a 75% O<sub>2</sub> environment for 5 days and then returned to room air (21% O<sub>2</sub>) for an additional 5-day recovery period. Immunohistologic analysis of retinas revealed a significant reduction of both neovascular tufts and tuft cell nuclei in Anxa2<sup>-/-</sup> mice. Under OIR conditions, the accumulation of fibrin in the perivasculature was extensive in Anxa2<sup>-/-</sup> retinas, but almost indiscernible in Anxa2<sup>+/-</sup> retinas. Treatment of pups with ancrod, a fibrinogen-depleting agent, upon return to room air almost completely eliminated fibrin in both groups of mice, while at the same time doubling the extent of neovascularization in Anxa2<sup>-/-</sup> but not Anxa2<sup>-/-</sup> mice. On the other hand, pups treated with tranexamic acid, an inhibitor of fibrinolysis, drastically increased fibrin deposition and lowered neovascularization in Anxa2+/+ but not Anxa2-/- retinas. In addition, it was established that hypoxia inducible factor-1, a master hypoxia-responsive transcription factor, directly regulates AnxA2 gene expression and that AnxA2 binding with \$100A10 promotes neovascularization by enhancing plasmin activation and fibrin remodeling. Together, these data confirmed that both fibrinolysis and angiogenesis were impaired under ablation of annexin A2 [77]. Similarly, AnxA2 appears to be upregulated by vascular endothelial growth factor, and blockade of AnxA2 in ischemic mice inhibits retinal neovascularization [78]. In choroidal neovascularization, moreover, the AnxA2-binding agent, TM601, a synthetic form of chlorotoxin, significantly suppressed neovascularization by inducing apoptosis in endothelial cells [79]. Together, these studies indicate that the annexin A2 fibrinolytic system is a key regulator of angiogenesis.

# 8. Annexin A2 and Tumor Progression

The correlation between inflammation, angiogenesis, and cancer is well known. In fact, hypoxia-induced release of VEGF, a feature of both cancer progression and tumor angiogenesis, increases cellular

proliferation, invasion and metastasis [74]. The involvement of annexin A2 in tumor progression may be related to the overproduction of plasmin on the surface of aggressive cancer cells, on the surface of endothelial cells, or both [80]. In addition, AnxA2 may facilitate the recruitment of pro-angiogenic inflammatory cells into the tumor microenvironment, which may in turn promote tumor progression.

Overexpression of annexin A2 has been observed in many malignancies, including aggressive breast cancer. AnxA2 was found to be elevated in stromal cells and epithelial cells of invasive ductal carcinoma (MDA-MB231) but not in a less aggressive cell line (MCF-7). MDA-MB231 cells, unlike MCF-7 cells, had the ability to activate plasminogen, leading to the hypothesis that plasmin generating capacity correlates with breast cancer cell migration and aggressiveness, implicating AnxA2 as a key mediator in metastasis. They also reported that blocking AnxA2 with angiostatin, a competitor for plasminogen binding on endothelial cell surface, or an anti-AnxA2 monoclonal antibody hindered MDA-MB231 invasion and migration. Immunohistochemical analyses of human breast cancer tissues revealed AnxA2 and elevated tPA on the surface of cancer cells, but not normal cells, as well as evidence of inflammation within the tumor. Quantitative analysis of the microvascular density showed a correlation between new blood vessel formation and the annexin A2 expression pattern [81–83]. Furthermore, immunoneutralization of AnxA2 impaired plasmin-mediated activation of MMP-2/9 in the breast tumor microenvironment [84]. Together, these data suggest that AnxA2 plays a pivotal role in breast cancer invasion and angiogenesis.

Annexin A2 expression is significantly elevated in glioblastoma, a highly vascularized and exceedingly aggressive brain tumor [85,86]. Intracerebral inoculation of canine glioma cells into the brains of athymic rats showed that AnxA2 is present in clusters of tumor cells surrounding dilated tumor vessels. Overexpression of AnxA2 correlated with high expression of VEGF and platelet-derived growth factor (PDGF), suggesting that the level of AnxA2 reflected invasiveness [87]. In addition, the involvement of AnxA2 in glioma angiogenesis was highlighted by the demonstration that tumors in wild-type mice displayed significantly higher microvascular density and more dilated blood vessels than tumors in  $Anxa2^{-f}$  mice [88].

Increased expression of AnxA2 appears to correlate with tumor invasiveness in renal cell carcinoma [89,90], hepatocellular carcinoma [91], colorectal cancer [92] and lung cancer [93]. These data suggest that AnxA2 may be a potential target in cancer therapeutics. In these latter studies, expression of AnxA2 has not yet been shown to correlate with activation of plasmin, as it has in breast cancer and glioblastoma. In colorectal cancer, upregulation of AnxA2 is regulated by TGF- $\beta$ , which induces epithelial–mesenchymal transition [92]. In addition, silencing of AnxA2 in lung cancer stem cells in mice induced a reduction in tumor weight, which correlated with the loss of both  $\beta$ -catenin and S100A100, suggesting that AnxA2 may directly or indirectly regulate metastasis [93]. The mechanism for these effects, however, remains poorly understood.

## 9. Conclusions

Annexin A2 appears to have a spectrum of functions across a multitude of inflammatory disorders. In early infection, according to our working model, AnxA2 may support infection by acting as an anchor protein that promotes adhesion and internalization of bacteria and viruses, regulating actin dynamics at adhesion sites, and enabling virus assembly. AnxA2 may also help recruit leukocytes to some sites of inflammation. AnxA2 also maintains the integrity of adherens junctions early in the inflammatory process by regulating phosphorylation of VE-cadherin. Numerous studies demonstrate that AnxA2 is required for optimal endosomal membrane stabilization and autophagosome biogenesis and promotes membrane repair during inflammation and infection. Cell-surface AnxA2 in complex with S100A10, finally, is a key factor in both malignant and non-malignant angiogenesis, and it contributes to tumor cell invasion and metastasis within an inflammatory microenvironment. We conclude, therefore, that annexin A2 has a primarily anti-inflammatory role, although it occasionally facilitates pathogen activity. Many of these actions have been elucidated through the use of the *Anxa2*-/- mouse,

which has become a useful tool for understanding the role of AnxA2 in vivo (Table 2). Taken together, these activities identify annexin A2 as a robust biomarker and potential therapeutic target.

**Table 2.** Studies in *Anxa2-/-* mice revealing a role of annexin A2 in inflammation.

Action	Model System	Result in Anxa2-/-	References
Infection	Klebsiella pneumonia	Increased mortality	[24]
	Cryptococcal pneumonia	Increased mortality and enhanced inflammatory response	[25]
	Rickettsia australis	Increased bacteria in blood and reduced adhesion to vascular endothelial cells	[35]
Vascular integrity	Нурохіа	Increased vascular leak in lungs and skin	[47]
	Tracer injection in embryonic mice	Increased leakage of 10-kDA-dextran	[48]
Inflammasome Dynamics	Anaplasma phagocytophilum peritonitis	Increased bacterial load, splenomegaly, and cytopenias	[55]
Macroautophagy	Starvation-induced autophagy	Abrogation of autophagosome biogenesis	[66]
	Pseudomonas aeruginosa pneumonia	Reduced survival, increased bacterial dissemination, and blunted autophagy	[68]
Angiogenesis	Cornea pocket assay	Reduced growth factor induced neoangiogenesis	[75]
	Matrigel implant assay	Reduced growth factor induced neoangiogenesis	[78]
	Oxygen-induced retinopathy	Reduced angiogenesis and fibrinolysis with fibrin accumulation	[77]
Tumor progression	Intracerebral glioma cell implantation	Increased tumor size and reduced vascularity	[88]

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Article

# Annexin A1 Regulates NLRP3 Inflammasome Activation and Modifies Lipid Release Profile in Isolated Peritoneal Macrophages

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Abstract: Annexin A1 (AnxA1) is a potent anti-inflammatory protein that downregulates proinflammatory cytokine release. This study evaluated the role of AnxA1 in the regulation of NLRP3 inflammasome activation and lipid release by starch-elicited murine peritoneal macrophages. C57bl/6 wild-type (WT) and AnxA1-null (AnxA1<sup>-/-</sup>) mice received an intraperitoneal injection of 1.5% starch solution for macrophage recruitment. NLRP3 was activated by priming cells with lipopolysaccharide for 3 h, followed by nigericin (1 h) or ATP (30 min) incubation. As expected, nigericin and ATP administration decreased elicited peritoneal macrophage viability and induced IL-1β release, more pronounced in the AnxA1<sup>-/-</sup> cells than in the control peritoneal macrophages. In addition, nigericin-activated AnxA1 protein and NLRP3 inflammasome were detected in WT cells, as demonstrated by ultrastructural analysis. The lipidomic analysis showed a pronounced release of prostaglandins in nigericin-stimulated WT peritoneal macrophages, while ceramides were detected in AnxA1<sup>-/-</sup> cell supernatants. Different eicosanoid profiles were detected for both genotypes, and our results suggest that endogenous AnxA1 regulates the NLRP3-derived IL-1β and lipid mediator release in macrophages.

Keywords: inflammation; nigericin; pyroptosis; mass spectrometry; lipidomics

#### 1. Introduction

Annexin A1 (AnxA1) is a 37-kDa protein that can mimic the anti-inflammatory action of glucocorticoids by inhibiting eicosanoid and phospholipase A2 synthesis, affecting components of inflammatory reaction and arachidonic acid release [1,2]. The ability of AnxA1 to down-modulate cellular and molecular processes of inflammation contributes to tissue homeostasis and reprogramming of macrophages [3]. The development of the AnxA1-null mice (AnxA1<sup>-/-</sup>) strain has allowed for a better understanding of the role of endogenous AnxA1 protein in leukocyte biology and the inflammatory

process. In models of inflammation induced by carrageenan or zymosan, AnxA1 $^{-/-}$  animals exhibit an exacerbated response characterized by a prominent leukocyte influx and IL-1 $\beta$  release [4]. In addition, macrophages from AnxA1 $^{-/-}$  mice demonstrate reduced ability to phagocytose non-opsonized zymosan particles [5], and show higher TLR4 mRNA expression and IL-1 $\beta$  production after lipopolysaccharide (LPS) stimulation than wild-type cells [6]. These data demonstrate that AnxA1 exerts a negative inflammatory response through its down-modulation effects on macrophage cells, which are important leukocytes in an innate response.

In addition, the stimulation of a  $P2 \times 7$  receptor (P2X7R) in resting and M2 macrophages, but not in M1 cells, provokes the rapid release of AnxA1 through its exposure with phosphatidylserine to the outer plasma membrane leaflet [7]. Also, the release of AnxA1 after P2X7R activation is not affected in inflammasome knockout macrophages, suggesting that its release is independent of caspase-1 activation. Considering that P2X7R activation is necessary to promote the assembly of the NLRP3 inflammasome and cytokine release [8], the release of AnxA1 represents another P2X7R macrophage signaling pathway for the resolution of the inflammation.

Nucleotide-binding oligomerization domain (NOD)-like receptor family pyrin domain containing 3 (NLRP3 or NALP3) is a cytoplasmic sensor that oligomerizes to form a platform known as inflammasome, a protein complex that controls the release of IL-1β and IL-18 by activating caspase-1 [9]. In macrophages, NLRP3 inflammasome activation can be triggered by the pore-forming ionophore nigericin, extracellular ATP, and crystalline substances that induce pyroptosis, a type of cell death [10]. In addition, previous research has shown that some fatty acid-derived lipids, such as the prostaglandin E<sub>2</sub> (PGE<sub>2</sub>), regulates NLRP3 and the maturation of IL-1β. PGE<sub>2</sub> can be associated with inhibition of the NLRP3 activation in human macrophages through the EP4 receptor and by the EP2 receptor in murine macrophages, decreasing IL-1β maturation [11,12]. NLRP3 activation driven by damage-associated molecular patterns is also associated with the production and release of several lipidic mediators, such as eicosanoids derived from arachidonic acids and ceramides, which cause cellular and systemic damages to the organism [13]. Eicosanoids, such as prostaglandins and leukotrienes, are lipid mediators that play a crucial role in initiating the acute inflammatory response [14]. Systemic activation of inflammasomes leads to the production of large amounts of eicosanoids in several minutes, contributing to rapid initiation of inflammation characterized by increased vascular permeability, culminating in pathological inflammatory effects [15].

Inflammasome activation is vital for the control of infections and the regulation of metabolic processes and immune responses [16]. However, altered functions of these platforms are implicated in the pathogenesis of several human diseases. Therefore, investigations that highlight novel signaling components that regulate inflammasome activation are crucial to prevent or treat human infections/inflammatory diseases. Considering that AnxA1 is a potent anti-inflammatory protein that down-regulates proinflammatory mediator release, phospholipase A2 and, consequently, the critical cascade pathways of eicosanoid production such as that of cyclooxygenase 2 (COX-2) [17,18], this study evaluated its role in regulating the NLRP3 inflammasome and lipid release by macrophages.

# 2. Materials and Methods

# 2.1. Animals

Male C57BL/6 wild-type (WT) and AnxA1-null (AnxA1 $^{-1}$ ) mice aged 7–8 weeks and weighing 20–25 g were kept in cages (n = 4) in a temperature-controlled environment (22–25 °C) with a 12-h light-dark cycle. They received water and food ad libitum. All animal procedures were approved by the Ethics Committee in Animal Experimentation of the Federal University of São Paulo-UNIFESP (CEUA agreement number: N° 6493130318) and by the Internal Biosafety Commission (CIBio).

#### 2.2. Cell Culture and Treatments

Lipopolysaccharide (LPS), nigericin, and ATP were obtained from InvivoGen (San Diego, CA, USA). LPS and ATP were reconstituted in endotoxin-free water and nigericin in 100% ethanol. The stock solutions were diluted in endotoxin-free water to prepare intermediate concentration solutions, stored at  $-20\,^{\circ}$ C.

WT and AnxA1<sup>-/-</sup> peritoneal macrophages were obtained by the intraperitoneal injection of a 1.5% starch solution (Sigma Aldrich, St. Louis, MO, USA) in sterile PBS, and after four days, cells were collected by peritoneal wash. Differential cell counts were made on Diff–Quick-stained cell smears prepared by cytocentrifugation. The macrophage population obtained was more than 85% pure and at least 90% viable, as examined by trypan blue exclusion. Additionally, macrophage morphology was confirmed by ultrastructural analysis using transmission electron microscopy. Peritoneal cells (1 × 10<sup>6</sup> cells/well) were cultured in Opti-MEM (Thermo Fisher Scientific, Waltham, MA, USA) overnight at 37 °C under an atmosphere of 5% CO<sub>2</sub>. Experiments were performed in triplicate in 24-well plates. WT and AnxA1<sup>-/-</sup> cells were primed with LPS (500 ng/mL for 3 h) followed by stimulation with nigericin (10  $\mu$ M for 1 h) or ATP (5 mM, 30 min) to activate the NLRP3 inflammasome.

## 2.3. Analysis of Cell Viability and IL-1\beta Release

Cell viability was determined by a 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. After the treatment process, the supernatants were discarded, and the RPMI medium (Invitrogen, Gibco, Portland, OR, USA) with 10% MTT solution (5 mg/mL) was added to the cells. After incubating the cells for 4 h at 37 °C under a 5% CO<sub>2</sub> atmosphere, 300  $\mu$ L of dimethyl sulfoxide (DMSO; Sigma Aldrich, St. Louis, MO, USA) was added to each well (24-well plate), and 100  $\mu$ L triplicates of the same sample were transferred to a 96-well plate. The spectrophotometric absorbance values at 490 nm were determined. The percentage of viable cells was calculated by optical density normalization for LPS-stimulated cells only.

IL-1 $\beta$  levels were tested in culture supernatants by an enzyme-linked immunosorbent assay (ELISA) using a commercially available immunoassay kit (BioLegend, San Diego, CA, USA), according to the manufacturer's instructions. All experiments were conducted in duplicate, and the data were expressed as the mean  $\pm$  standard error of the mean (SEM) of protein (pg/mL).

# 2.4. Western Blot Analysis

After nigericin and ATP stimulation, the supernatant was removed, and cells were washed three times with sterile PBS, and to each well, 50 µL of lysis buffer was added for cell lysis and protein extraction. Equal amounts of supernatants and cell extracts were loaded onto a 15% sodium dodecyl sulphate-polyacrylamide gel with appropriate molecular weight markers (Bio-Rad Life Science, Hercules, CA, USA) for electrophoresis and transferred to ECL Hybond nitrocellulose membranes. Reversible protein staining of the membranes with 0.1% Ponceau-S in 5% acetic acid (Santa Cruz Biotechnology, Dallas, TX, USA) was used to verify protein transfer. Membranes were incubated 30 min in 5% milk in Tris-buffered saline (TBS) prior to incubation with the antibodies. Primary antibodies were rabbit polyclonal anti-AnxA1 (Invitrogen-Thermo Fisher Scientific, Waltham, MA, USA; 1:1000), goat polyclonal anti-IL-1\(\beta\) (R&E Systems, MN, USA; 1:500), mouse monoclonal anti-caspase-1 (Santa Cruz Biotechnology Dallas, TX, USA; 1:200), and polyclonal rabbit anti-β-actin (Cell Signaling Technology, Beverly, MA, USA; 1:1000), all diluted in TBS. The membranes were then incubated with the appropriate peroxidase-conjugated secondary antibodies (Millipore Corporation, Burlington, MA, USA; 1:2500). Finally, membranes were washed for 15 min with TBS, and immunoreactive proteins were detected (Clarity™ Western ECL Substrate; Bio-Rad, Hercules, CA, USA) using a GeneGnome5 chemiluminescence detection system (SynGene, Cambridge, UK).

# 2.5. Ultrastructural Immunocytochemical Analysis

WT and AnxA1 $^{-/-}$  nigericin-stimulated macrophages were fixed in 4% paraformaldehyde and 0.5% glutaraldehyde, 0.1% sodium cacodylate buffer (pH 7.4) for 24 h at 4  $^{\circ}$ C. Samples were washed in sodium cacodylate, dehydrated through a graded methanol series, and embedded in LR Gold (Sigma Aldrich Corp., St. Louis, MO, USA).

To detect AnxA1 and NLRP3, ultrathin macrophage sections (~90 nm) were submitted for immunocytochemistry, as previously described [19]. To detect the proteins, the sheep polyclonal antibody anti-AnxA1 (1:200) and rabbit polyclonal antibody anti-NLRP3 (1:200; Cusabio, Houston, TX, USA), following a donkey anti-sheep IgG and goat anti-rabbit IgG antibody (1:50) conjugated to 10-nm and 20-nm colloidal gold (British Biocell, Cardiff, UK), respectively, were used. Ultrathin sections were stained with uranyl acetate and lead citrate and examined using a ZEISS EM900 electron microscope (Carl Zeiss, Jena, Germany). Randomly photographed sections of macrophages were analyzed using Axiovision software. The density of immunogold (number of gold particles/ $\mu$ m²) was calculated and reported as the mean  $\pm$  SEM of 20–40 cells per experimental condition.

# 2.6. Lipidomic Analysis

After treatments, WT and AnxA1<sup>-/-</sup> cell supernatants (1  $\times$  10<sup>6</sup> cells/well) were collected and stored at -80 °C until sample processing. For lipid extraction, each sample was randomized and resuspended in 1 mL of 1:2 CHCl<sub>3</sub>: MeOH solution (Sigma Aldrich, Basel, Switzerland), followed by the addition of 0.33 mL CHCl<sub>3</sub> and 0.33 mL deionized water. The solution was stirred for 5 min, then centrifuged at 13,000 rpm for 5 min. Derived-organic fractions with lipids were collected from the bottom layer of the tubes and transferred to 1.5-mL glass tubes. These fractions were dried in a SpeedVac Savant SPD131DDA concentrator (Thermo Scientific) for 30 min at 30 °C and stored at -80 °C. Mass spectrometric analysis was performed in an ultra-high-performance liquid chromatography (UHPLC) Agilent 1290 Infinity system (Agilent, Santa Clara, CA, USA) and chromatographic elution in a Kinetex C18 column (4.6 mm × 50 mm × 2.6 μm) (Phenomenex, Torrance, CA, USA). All samples were randomized before injection and analyzed by the positive and negative mode in a hybrid mass spectrometer with QTOF 6550 mass analyzer (Agilent, Santa Clara, CA, USA). The mass spectra were acquired in centroid mode, and the mass range used for the acquisition was 50-1700 Da. The raw data were converted by the MassHunter Qualitative software (Agilent, Santa Clara, California, USA) and then imported to XCMS online software (Version 3.7.1, Scripps Center for Metabolomics, La Jolla, CA, USA). For the final statistical analysis, the Metaboanalyst 3.0 platform was used (McGill University, Montreal, Quebec, Canada), as well as the potential lipid biomarkers annotation by the measurement of their exact mass, retention time, and elution profile in METLIN (Scripps Center for Metabolomics, La Jolla, CA, USA), Human Metabolome Database (HMDB) (http://www.hmdb.ca/metabolites), and Lipid Maps databases (http://www.lipidmaps.org/).

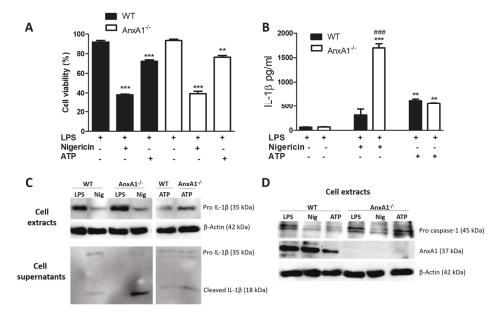
# 2.7. Statistical Analyses

The data were analyzed using GraphPad Prism 5.0 software. Results were confirmed to follow a normal distribution using the Kolmogorov–Smirnov test of normality with Dallal–Wilkinson–Lillie for corrected P-value. Data that passed the normality assumption were analyzed using analysis of variance (ANOVA) with a Bonferroni post hoc test. Data failing the normality assumption were analyzed using the non-parametric Kruskal–Wallis test followed by Dunn's post-test, and differences were considered statistically significant at a value of p < 0.05.

## 3. Results

# 3.1. The Lack of Endogenous AnxA1 Exacerbates the IL-1 $\beta$ Release and Increases NLRP3 Levels after Inflammasome Activation

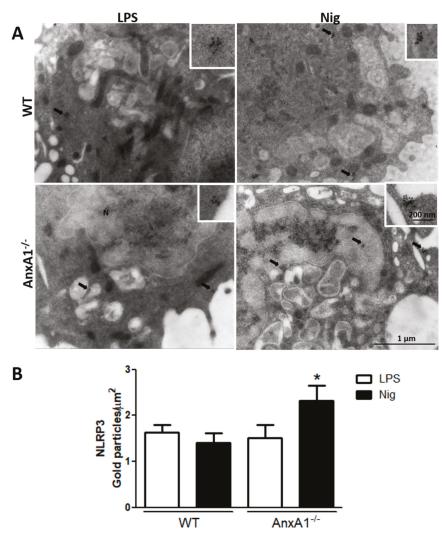
First, we verified the endogenous effect of AnxA1 on the activation and regulation of NLRP3 inflammasome in macrophages. As expected, the administration of nigericin caused a significant reduction in cell viability (Figure 1A) without a difference between the two genotypes. Both nigericin and ATP induced IL-1 $\beta$  release by macrophages, which was more pronounced in nigericin-stimulated AnxA1-/- cells than in their respective controls (Figure 1B,C). This latter result was corroborated by the presence of mature IL-1 $\beta$  observed in AnxA1-/- cells (Figure 1C), and pro caspase 1 (Figure 1D) in the same experimental condition. ATP-stimulated WT macrophages presented decreased levels of AnxA1 compared with nigericin-stimulated cells (Figure 1D). In addition, pro caspase 1 levels were similar between only primed and ATP-stimulated AnxA1-/- cells (Figure 1D).



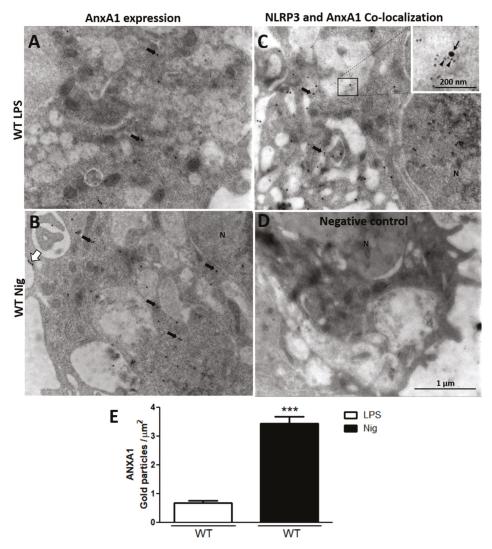
**Figure 1.** Lack of endogenous Annexin A1 (AnxA1) produced a marked release of IL-1β in macrophages. (A) 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. Macrophages of both genotypes showed a marked decrease in cell viability under nigericin and ATP exposure. The percentage of viable cells was calculated by optical density normalization for only lipopolysaccharide (LPS)-stimulated cells. Data are shown as mean  $\pm$  S.E.M. of cell ratio (%). \*\* p < 0.01; \*\*\* p < 0.001 vs. LPS-stimulated cells of corresponding genotype (ANOVA, Bonferroni post-test). (B,C) IL-1β levels. Treatment with nigericin produced a marked release of IL-1β in AnxA1-null (AnxA1-/-) macrophages compared with the other groups. Increased levels of pro-IL-1β were detected in the LPS-stimulated wild-type (WT) and AnxA1-/- cell extracts. Values are expressed as mean  $\pm$  SEM of IL-1β levels (pg/mL). \*\*\* p < 0.001, \*\* p < 0.01 vs. LPS-stimulated cells of respective genotype; \*### p < 0.001 vs. WT nigericin-treated cells (ANOVA, Bonferroni post-test). (D) Pro caspase 1 and AnxA1 levels in the cell extracts under different experimental conditions. β-actin was used as an endogenous control (representative image of three experiments performed).

After detecting that nigericin-stimulated AnxA1 $^{-/-}$  cells exhibited exacerbated IL-1 $\beta$  production, the AnxA1 and NLRP3 levels were analyzed using ultrastructural immunocytochemistry. The lack of endogenous AnxA1 was associated with increased levels of NLRP3 in nigericin-stimulated macrophages

compared with the primed AnxA1<sup>-/-</sup> cells and WT cells (Figure 2). In contrast, WT nigericin-stimulated macrophages showed a marked increase of AnxA1 levels compared with the only primed cells (LPS) (Figure 3A,B,E). In addition, points of co-localization between AnxA1 and NLRP3 were detected in the cytoplasm of nigericin-stimulated cells (Figure 3C). No immunogold labelling was detected in the negative control of the reaction (Figure 3D).



**Figure 2.** Lack of endogenous AnxA1 increased NLRP3 levels in nigericin-stimulated macrophages. (**A**) NLRP3 expression detected in the cytoplasm (arrows) of cells. Insets: details of cytoplasmic gold labelling of NLRP3. (**B**) Density of NLRP3 immunogold particles in macrophages. Data are mean  $\pm$  SEM of distinct cells analyzed for each condition. \* p < 0.05 vs. LPS of corresponding genotype (ANOVA, Bonferroni post-test).

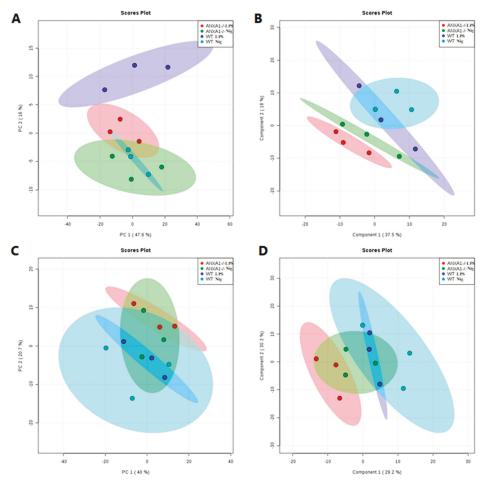


**Figure 3.** Nigericin-stimulated WT macrophages increase AnxA1 endogenous levels. **(A,B)** AnxA1 expression detected in the cytoplasm (arrows) and plasma membrane (white arrow) of cells. **(C)** Points of co-localization of AnxA1 (arrowheads) and NLRP3 (arrow) were detected in the cytoplasm of nigericin-stimulated cells. **(D)** Negative control. **(E)** Density of AnxA1 immunogold particles in macrophages. Data are mean  $\pm$  SEM of distinct cells analyzed for each condition (*t*-test). \*\*\* p < 0.001 vs. LPS.

# 3.2. NLRP3 Activation Induces Different Lipid Release by WT and AnxA1- Macrophages

To investigate whether the lack of endogenous AnxA1 also alters lipidomic profiling of macrophages under NLRP3 activation, lipidomic analysis of cell supernatants was performed. Figure 4 shows the score plots of principal component analysis (PCA) and partial least squares discriminant analysis (PLS-DA) obtained in positive and negative ion modes. As expected, the lipid profiles from the WT and AnxA1 $^{-/-}$  control groups (LPS-stimulated cells) were different from each other, as shown by the separated dark blue and red ellipses in PCA and PLS-DA, either in the positive and negative ion

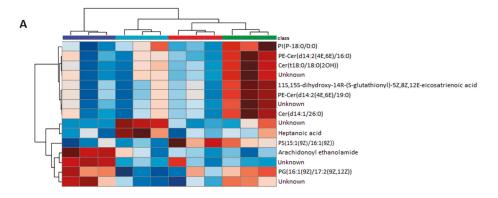
modes. In the positive mode of the PCA analysis, the first two components of the score plot described 63.6% of explained variation in which the WT LPS separation from the WT treated with nigericin is more pronounced (Figure 4A) in PCA, whereas PLS-DA shows overlapped ellipses (Figure 4B). The negative mode in PCA and PLS-DA analysis showed similar results between groups, as evidenced by the overlapping of ellipses (Figure 4C,D).

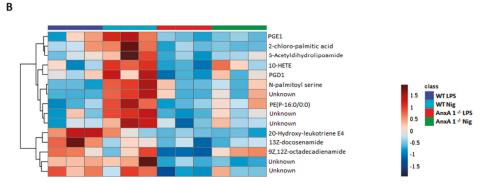


**Figure 4.** Principal component analysis (PCA) and partial least squares discriminant analysis (PLS-DA) score plots of the lipid fraction. Each spot represents one supernatant sample from control (LPS-stimulated cells - WT: dark blue;  $AnxA1^{-/-}$ : red) and nigericin-stimulated cells (WT: light blue;  $AnxA1^{-/-}$ : green). The ellipses show the differences and similarities between groups. (A,C), PCA in positive and negative ion mode, respectively. (B,D), PLS-DA in positive and negative ion mode, respectively.

Heatmaps and dendrograms highlight the normalized concentrations of different lipids in the supernatants of WT and  $AnxA1^{-/-}$  macrophages. In the positive mode (Figure 5A), the majority of potential lipid biomarkers were produced by nigericin-stimulated  $AnxA1^{-/-}$  cells, if compared with the other experimental conditions. These cells showed increased production of sphingolipids, especially ceramides Cer(t18:0/18:O(2OH)), Cer(d14:1/26:0), PE-Cer(d14:2(4E,6E)/16:0), and PE-Cer(d14:2(4E,6E)/19:0) (Figure 5A). In addition, supernatants

from nigericin-stimulated AnxA1 $^{-/-}$  exhibited a higher concentration of the eicosanoid 11S-15S-dihydroxy-14R-(S-glutathionyl)-5Z,8Z,12E-eicosatrienoic acid and the neutral lipid PI(P-18:0/00). In contrast, heptanoic acid was identified as a potential lipid biomarker in the supernatants of nigericin-stimulated WT cells, with a higher concentration than control WT cells (LPS), while arachidonoyl ethanolamide and the plasma membrane compound phosphatidylglycerol PG (16:1(9Z)/17:2(9Z,12Z)) were lower. PS (15:1(9Z)/16:1(9Z)) is a phosphatidylserine which only appeared in the supernatants of AnxA1 $^{-/-}$  control cells and those treated with nigericin (Figure 5A).





**Figure 5.** Lipidomic analysis of WT and AnxA1<sup>-/-</sup> macrophage supernatants. Heatmaps and dendrograms show the hierarchical clustering of potential lipid biomarkers of the control (WT: dark blue; AnxA1<sup>-/-</sup>: red) and nigericin-stimulated cells (WT: light blue; AnxA1<sup>-/-</sup>: green). (**A**) Positive mode. (**B**) Negative mode. Lipidomic analysis demonstrated a completely different lipid profile between WT and AnxA1<sup>-/-</sup> supernatant cells. In WT cells, nigericin induced a pronounced release of eicosanoids and prostaglandins, while AnxA1<sup>-/-</sup> cells showed precursors of prostaglandin and some ceramides. The right bar in Figure B represents the blue–red code (-1.5 to 1.5) of the lipid concentrations. Unknown: noncharacterized lipids.

Figure 5B shows the potential lipid biomarkers in the negative mode. In the supernatants of nigericin-stimulated WT cells, the more concentrated lipids were associated with the arachidonic acid metabolism, such as the 10-hydroxyeicosatetraenoic acid (10-HETE), prostaglandins D1 and E1 (PGD1, PGE1), as well as palmitic acid, S-acetyldihydrolipoamide, N-palmitoyl serine, and PE(P-16:0/0:0). Curiously, there are different lipid profiles in the supernatants of WT and AnxA1<sup>-/-</sup> control cells, as characterized by a higher concentration of the eicosanoid 20-hydroxy-leukotriene E4 and fatty acid amides (13Z-docosenamide and 9,12Z-octadecadienamide) in the WT cells.

#### 4. Discussion

Monocytes and macrophages express NOD-like receptors, which are very important for the immune response during inflammation [20]. By NLRP3 inflammasome activation in these cells, pro-IL-1 $\beta$  and pro-IL-18 are cleaved and released, increasing the pro-inflammatory response [21]. Once macrophages are activated by the NLRP3 inflammasome agonists, such as nigericin and ATP, there is an IL-1 $\beta$  production and release, and the K<sup>+</sup> concentration is reduced [22]. IL-1 $\beta$  is a proinflammatory cytokine, and once it is released by macrophages, IL-6, TNF, nitric oxide, and prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) are produced [23]. Our data provide previously unknown details regarding the interplay between AnxA1 and NLRP3-derived IL-1 $\beta$  in macrophages and its relationship with lipid-mediator release.

Under normal conditions, the AnxA1 protein is present in high levels in the cytoplasm of human and rodent leukocytes, such as neutrophils, monocytes, and macrophages, and once these cells are activated, the AnxA1 moves to the cell membrane to be released and act as an autocrine or paracrine mediator [24,25]. The ATP-binding cassette transporter is responsible for AnxA1 secretion in macrophages [26], and under conditions of cellular stress, AnxA1 is rapidly released [27].

Our results show that the lack of AnxA1 exacerbates the IL-1 $\beta$  production after NLRP3 activation. These findings were supported by increased levels of NLRP3 in AnxA1-/- macrophages, as observed by ultrastructural immunocytochemistry. Considering that peritoneal AnxA1-/- macrophages presented increased levels of TLR4 [6], the lack of AnxA1 could favor LPS "over-priming" and consequent increase in NLRP3 inflammasome-derived IL-1 $\beta$  secretion. In addition, nigericin stimulation increased endogenous AnxA1 that presents points of co-localization with NLRP3 in WT macrophages, supporting a role of AnxA1 in the activation and regulation of the NLRP3 inflammasome. Regarding ATP stimulation, western blotting detected more decreased levels of AnxA1 in WT macrophages than in the priming LPS and nigericin-stimulated cells. In fact, activation of the P2 × 7 receptor by extracellular ATP in macrophages has been widely studied as a trigger of the NLRP3 inflammasome and is associated with the release of AnxA1 [7]. However, this study also demonstrated that nigericin did not induce AnxA1 release, suggesting pathways within P2 × 7R signaling in addition to K+ efflux in macrophages for the release of this protein.

Despite our findings, recent analyses show that bone-marrow-derived AnxA1<sup>-/-</sup> macrophages produced significantly lower secretion of IL-1 $\beta$  when activated with monosodium urate (MSU) crystals and ATP, but not NLRC4 or AIM2 activators (*Legionella pneumophila* or poly(dA:dT)) [28]. Notably, during the setting of MSU crystal-induced inflammation, the peak of the neutrophil influx was greater, and the resolution was slower in AnxA1<sup>-/-</sup> mice than in WT animals [29]. These findings are consistent with many studies that have shown an exacerbated inflammatory response in AnxA1<sup>-/-</sup> mice characterized by a marked leukocyte influx and production of proinflammatory mediators, such as IL-1 $\beta$  and IL-6 [4,6,30–32]. Additionally, the administration of the AnxA1 peptide (Ac2–26) 1 h before and 12 h after challenge with MSU crystals induced decreased levels of IL-1 $\beta$  in periarticular tissue, showing the important anti-inflammatory and proresolving activity of this protein on the course of MSU crystal-induced inflammation in mice [29]. Thus, the opposite effects described for the role of AnxA1 on NLRP3 inflammasome-derived IL-1 $\beta$  secretion could be a result of testing different macrophage populations, bone-marrow versus peritoneal-derived, and different strains, BALB/c versus C57BL/6, an important factor in the mouse immunology response [33,34].

The NLRP3 inflammasome is a cytosolic platform formed by a multi-protein complex containing a nucleotide-binding oligomerization domain-like receptor and the adaptor apoptosis-associated spec-like protein (ASC) containing an amino-terminal caspase-recruitment domain (CARD) [9]. The interaction of the NLRP3 with ASC promotes the recruitment of the procaspase-1 and its autoproteolysis, driving IL-1 $\beta$  and IL-18 maturation, membrane pore formation by the gasdermin D action, and then cytokine secretion and pyroptosis [35,36]. Besides the cleavage and release of the IL-1 $\beta$ , the activation of the NLRP3 inflammasome is directly related to the production of lipid mediators, including eicosanoids and ceramides. These lipids can be involved in metabolic and immunological

pathways, and the deregulation of NLRP3 activation causes an increase of the lipidic mediators released after pyroptosis, and it is possible to conduct metabolic damage on a systemic level [13].

The current lipidomics approach is a great tool to understand biological systems and many diseases. The major lipid classes can be categorized as fatty acyls, glycerolipids, glycerophospholipids, sphingolipids, sterol lipids, prenol lipids, saccharolipids, and polyketides [37]. In addition, cells can synthesize lipids, such as the eicosanoids, which are derived by the arachidonic acid oxidation and represented by prostaglandins, leukotrienes, thromboxanes, lipoxins, and epoxyeicosatrienoic acids [38]. By providing the exact mass, retention time, and elution profile, our study presents new data about the lipidomic profile of the supernatant of macrophages after NLRP3 activation by nigericin.

In our study, we showed the ceramides PE-Cer(d14:2(4E,6E)/16:0), Cer(t18:0/18:O(2OH)), PE-Cer(d14:2(4E,6E)/19:0), and Cer(d14:1/26:0) as potential lipid biomarkers released by AnxA1<sup>-/-</sup> macrophages after nigericin stimulation. Ceramides are bioactive sphingolipids present in the plasma membrane and they mediate cell signaling, with a close relationship to many pathophysiological processes associated with inflammation [39]. Previous studies showed that the exposure of macrophages to ceramides causes activation of caspase-1, and this effect is prevented by the absence of NLRP3 [40]. Ceramides have also been related to the activation of TLR4, augmenting LPS-induced pro-inflammatory response [41]. In this regard, it is reasonable to infer that increased levels of TLR4 in AnxA1<sup>-/-</sup> macrophages [6] contribute to the more pronounced ceramide concentration in their supernatants and also in NLRP3 activation.

Supernatants from nigericin-stimulated AnxA1<sup>-/-</sup> macrophages also exhibited a higher concentration of the 11S-15S-dihydroxy-14R-(S-glutathionyl)-5Z,8Z,12E-eicosatrienoic acid, a type of eicosanoid, and PI(P-18:0/0:0). Some studies have shown that 12-hydroxyeicosatrienoic acid (12-HETE) plays an important role as a paracrine mediator of inflammation, as well as in the regulation of neutrophil infiltration in damaged tissue [42,43]. PI(P-18:0/0:0) is an important phosphatidylinositol in cell membranes and for metabolic processes, such as being the primary source of arachidonic acid metabolism for eicosanoid synthesis and intracellular signals in animal tissues [44]. The lack of AnxA1 in macrophages increased the arachidonic acid metabolism and eicosanoid production, as evidenced by the high concentration of 11S-15S-dihydroxy-14R-(S-glutathionyl)-5Z,8Z,12E-eicosatrienoic acid and PI(P-18:0/0:0) after NLRP3 activation and pyroptosis induction. The 11S-15S-dihydroxy-14R-(S-glutathionyl)-5Z,8Z,12E-eicosatrienoic acid is present in leukocytes and red blood cells, and earlier studies have demonstrated that this fatty acid can be converted by arachidonic acid by the lipoxygenase pathway [45,46], which is basically synthesized during the inflammatory process [47].

In the supernatants of nigericin-stimulated WT macrophages, more concentrated lipids are also associated with the arachidonic acid metabolism, such as the 10-HETE, PGD1, PGE1, as well as palmitic acid, S-acetyldihydrolipoamide, N-palmitoyl serine, PE(P-16:0/0:0), and heptanoic acid, indicating a completely different lipid profile of AnxA1<sup>-/-</sup> supernatants; 10-HETE is a hydroxyeicosatrienoic acid with proinflammatory action, increasing TNF- $\alpha$  and IL-6 production in macrophages [48]. In contrast, PGE1 and PGD1 are anti-inflammatory lipid mediators that inhibit leukocyte migration and adhesion and mast cell activation [49-51]. Palmitic acid (PA), also called hexadecanoic acid, is one of the most common saturated fatty acids in animals. PA acts as a lipid mediator in inflammation and its derived-metabolic products accumulate in the endoplasmic reticulum (ER) and increase reactive oxygen species (ROS) generation, leading to cell death [52]. Additionally, the inflammatory response caused by ER stress and ROS generation from high concentrations of PA drives NF-kB and NLRP3 activation and, consequently, proinflammatory cytokine release by monocytes/macrophages [53–56]. S-Acetyldihydrolipoamide, N-palmitoyl serine and PE(P-16:0/00) (2-Hexadecanoyl-1-(1Z-hexadecenyl)-sn-glycero-3-phosphoethanolamine) are associated with cell metabolism [57], membrane receptor [58], and cell membrane compounds [59], respectively. However, there is little information about heptanoic acid in biological systems. Metabolomic studies have shown a high concentration of heptanoic acid in the faeces of autistic children [60], while in patients with

Crohn's disease, ulcerative colitis, and pouchitis, lower levels of this lipid were found [61]. Altogether, our data show that macrophages can release potential lipid biomarkers after NLRP3 activation that can regulate the inflammatory responses in the damaged tissue.

This study also detected a different lipid profile in supernatants from WT and  $AnxA1^{-/-}$  LPS-stimulated macrophages. Higher concentrations of the eicosanoid 20-hydroxy-leukotriene E4 and fatty acid amides (13Z-docosenamide and 9,12Z-octadecadienamide) were found in the WT samples, while PS(15:1(9Z)/16:1(9Z)) was found in  $AnxA1^{-/-}$  samples. In addition, 20-hydroxy-leukotriene E4 is an eicosanoid metabolite originating from the lipid oxidation of leukotriene E4 that plays an essential role in cell proliferation, differentiation, and immunoregulation [62]. Moreover, the leukotriene E4 contributes to prolonged intracellular signaling, increasing intracellular  $Ca2^+$  and ERK phosphorylation [63], confirming signal pathway triggering by LPS on WT macrophages. Biological functions for both fatty acid amides detected in this study still need to be addressed.

Finally, PS(15:1(9Z)/16:1(9Z)) is a phosphatidylserine (PS) involved in cell signaling, including an important role in cell death, either by apoptosis, necroptosis, or pyroptosis, by its exposure on the outer plasma membrane layer [64]. The detection of this potential lipid biomarker in both AnxA1<sup>-/-</sup> supernatants (LPS and nigerin) can be related to the release of extracellular vesicles by macrophages. Macrophages can release extracellular vesicles after *Mycobacterium tuberculosis* infection, or spontaneously with a high concentration of phosphatidylserine [65].

#### 5. Conclusions

The lack of AnxA1 favors LPS "over-priming" and the release of lipid mediators (e.g., ceramides) that produce exacerbated NLRP3 activation under nigericin stimulation. Although more detailed investigations are warranted, this study identifies AnxA1 as a novel signaling component of inflammasome activation and a potential therapeutic target to treat inflammatory diseases.

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Article

# Annexin A1/Formyl Peptide Receptor Pathway Controls Uterine Receptivity to the Blastocyst

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**Abstract:** Embryo implantation into the uterine wall is a highly modulated, complex process. We previously demonstrated that Annexin A1 (AnxA1), which is a protein secreted by epithelial and inflammatory cells in the uterine microenvironment, controls embryo implantation in vivo. Here, we decipher the effects of recombinant AnxA1 in this phenomenon by using human trophoblast cell (BeWo) spheroids and uterine epithelial cells (Ishikawa; IK). AnxA1-treated IK cells demonstrated greater levels of spheroid adherence and upregulation of the tight junction molecules claudin-1 and zona occludens-1, as well as the glycoprotein mucin-1 (Muc-1). The latter effect of AnxA1 was not mediated through IL-6 secreted from IK cells, a known inducer of Muc-1 expression. Rather, these effects of AnxA1 involved activation of the formyl peptide receptors FPR1 and FPR2, as pharmacological blockade of FPR1 or FPR1/FPR2 abrogated such responses. The downstream actions of AnxA1 were mediated through the ERK1/2 phosphorylation pathway and F-actin polymerization in IK cells, as blockade of ERK1/2 phosphorylation reversed AnxA1-induced Muc-1 and claudin-1 expression. Moreover, FPR2 activation by AnxA1 induced vascular endothelial growth factor (VEGF) secretion by IK cells, and the supernatant of AnxA1-treated IK cells evoked angiogenesis in vitro. In conclusion, these data highlight the role of the AnxA1/FPR1/FPR2 pathway in uterine epithelial control of blastocyst implantation.

**Keywords:** mucin-1; claudin-1; zona occludens; ERK1/2 pathway; angiogenesis; F-actin polymerization; BeWo spheroids; Ishikawa cells

#### 1. Introduction

The endometrium is a critical tissue for the establishment and maintenance of pregnancy, during which it undergoes extensive physiological changes and demonstrates extraordinary plasticity. Cyclic changes in its tissues enable the endometrium to convert to a receptive state, allowing implantation, attachment, and invasion by the embryo through the epithelium into the underlying stromal compartment [1,2]. Embryo implantation is a highly-organized process that involves a receptive epithelium as well as a competent embryo for attachment, which is finely controlled by soluble and membrane-bound factors such as cytokines, prostaglandins, growth factors, and matrix-degrading enzymes as well as adhesion molecules, and transcription factors [2,3]. In order to achieve successful implantation, crosstalk between a receptive uterus and a competent blastocyst can only occur during a limited time span, known as the "window of implantation" [4,5]. During this short period of time,

which occurs approximately 6 to 10 days after ovulation in humans [1,6], the uterus undergoes structural and functional remodeling, mainly via the modulation of estradiol and progesterone. Basically, uterine receptivity is improved when estradiol levels decrease and high levels of progesterone are present [3]. Under these specific conditions, glycoproteins are expressed and highly secreted to prepare the endothelium for embryo attachment, tight junctions are reinforced, and angiogenesis occurs [2,7].

Annexin A1 (AnxA1) is a 37 KDa protein that belongs to the calcium and phospholipid-binding protein family within the annexin superfamily. A wide range of cells secretes AnxA1, including those of the innate immune system, as well as epithelial and cancer cells. AnxA1 mediates physiological processes in the body [8,9] although its secretion is highly augmented during challenging processes, such as inflammation and cancer [10,11]. The most well-recognized functions of AnxA1 are its potent anti-inflammatory and pro-resolution activities in the context of the innate immune response, during which glucocorticoids and cytokines induce synthesis and secretion of AnxA1 to halt inflammation and induce its resolution [12,13]. The binding of extracellular AnxA1 to G-protein coupled seven-domain transmembrane formylated peptide receptors (FPRs), especially type 2 (FPR2), is the most described and proven anti-inflammatory mechanism of AnxA1 [14–17]. Binding of AnxA1 to FPR2 induces rapid heterotrimeric G protein dissociation into the  $\alpha$  and  $\beta\gamma$  subunits.  $\beta\gamma$  subunit downstream transduction signals, such as those mediated via phospholipase  $C\gamma$  (PLC $\gamma$ ), result in activation of Ras family proteins and, in turn, activation of the mitogen-activated protein kinase (MAPK) pathway, particularly that of the extracellular signal-regulated kinases (ERK)-1/2. Activation of these later pathways leads to Ca<sup>2+</sup> mobilization and activation of protein kinase C (PKC) [18,19].

Previous data have correlated high levels of AnxA1 in human uterine tissue during gestation and in the seminal fluid [20,21], while lower amounts of AnxA1 have been found in the amnion and placenta at delivery [22–26]. More recently, our group has linked AnxA1 to pregnancy. Specifically, AnxA1-genetically deficient mice (AnxA1 $^{-/-}$ ) presented an increased number of blastocysts and implantation sites, resulting in an increased number of pups delivered [27]. Additionally, the uterine microenvironment of AnxA1 $^{-/-}$  mice displayed an inflammatory profile, including a higher content of neutrophils and M1 macrophages as well as enhanced levels of pro-inflammatory cytokines, especially IL-6 [28]. These findings suggest that AnxA1 may play a crucial role in the maintenance of the uterine microenvironment, particularly in relation to maintenance of a receptive environment during implantation [27]. To further understand this process, in the current study we have elucidated the direct actions of AnxA1 on the initial events of blastocyst implantation in cultured human uterine epithelial cells.

# 2. Materials and Methods

# 2.1. Cell Lines

The human uterine epithelial cell line Ishikawa (IK) was purchased from Banco de Células do Rio de Janeiro. IK cells were maintained in Dulbecco's Modified Eagle Medium (DMEM; #12100046, Gibco, Carlsbad, CA, USA) supplemented with 10% heat-inactivated fetal bovine serum (FBS; #2024-06, Gibco), 2 mM L-glutamine (#25030081, Gibco) 1 mM pyruvate (#11360-070, Gibco) and 1% antibiotic solution containing streptomycin and penicillin (#15140-122, Gibco). Human umbilical vein endothelial cells (HUVECs) were donated by Dr. Ricardo José Giordano from the Chemical Institute of the University of Sao Paulo. HUVEC cells were maintained in Roswell Park Memorial Institute (RPMI) 1640 medium (#31800089, Gibco) containing 10% FBS and 1% antibiotic solution containing streptomycin and penicillin. BeWo cells were kindly donated by Professor Ana Campa from the Faculty of Pharmaceutic Sciences, University of Sao Paulo, and maintained in DMEM/F12 medium (#12500-062, Gibco) supplemented with 10% FBS and 1% antibiotic solution containing streptomycin and penicillin. All cells were maintained in an atmosphere of 5% CO<sub>2</sub> at 37 °C and sub-cultured every 3 days by trypsinization, if necessary.

# 2.2. Cell Treatments

Uterine epithelial cells were seeded in 24-well plates (Corning, New York, NY, USA) and cultured for adhesion over 18 h. Once cells had adhered, the medium was replaced and the cells were either pre-incubated with the culture medium (non-treated [NT], i.e., control) or medium supplemented with Boc-2 (1  $\mu$ M; #SKU 0215276005, MP Biomedicals, Santa Ana, CA, USA), cyclosporine H (1  $\mu$ M; #AG CN2 0447-M005, Adipo Gen Life Sciences, San Diego, CA, USA) or WRW4 (1  $\mu$ M; #2262, Tocris Bioscience, Bristol, UK) for 30 min. Following the pre-incubation, AnxA1 (1.35 nM; donated by Professor Chris Reutelingsperger from Faculty of Health, Medicine and Life Sciences, Maastricht University) was added to the cell culture, either in the absence or presence of inhibitors, and incubated for a time period according to the specific assay performed.

For tube formation assay, uterine epithelial cells were washed three times with warm PBS and pre-incubated with FPR inhibitors (30 min) followed by addition of AnxA1, and cultured for 18 h in RPMI 1640 medium supplemented with 1% bovine serum albumin (BSA; #A9418-10G, Sigma-Aldrich). Afterwards, the supernatant was collected in sterile conditions and used to perform the assay.

# 2.3. Cell Viability Assay

Uterine epithelial cells were seeded at  $2.5 \times 10^4$  cells/well in 24-well plates and incubated in the absence or presence of different concentrations of AnxA1, Boc-2, cyclosporine H, or WRW<sub>4</sub> over either 24 or 48 h. Following the incubation period, the medium was carefully removed and 300  $\mu$ L of 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT, 0.5 mg/mL; #M5655, Sigma-Aldrich) was added in each well. Cells were maintained at 37 °C for 3 h, after which the supernatant was removed and 200  $\mu$ L of dimethyl sulfoxide (DMSO; #276855, Sigma-Aldrich) was added into each well and homogenized for 15 min. Absorbance was determined using a spectrophotometer at 575 nm (SpectraMax M Series, Molecular Devices, San Jose, CA, USA). Results were expressed as the percentage of viable cells relative to NT cells (control).

#### 2.4. Flow Cytometry

Flow cytometry experiments were performed to characterize the expression levels of AnxA1, FPR1, and FPR2 in uterine epithelial cells, as well as to investigate the role of AnxA1 on CD61, signal transducer and activator of transcription (STAT)1α, nuclear factor (NF)-κB, ERK1/2, homeobox A-10 (HOXA10), progesterone, and estrogen receptor expressions. Briefly, uterine epithelial cells were seeded at  $5 \times 10^4$  cells/well and treated as mentioned above. Cells were trypsinized (#T1757, Vitrocell, Campinas, SP, BRA), washed twice in PBS containing 1% BSA (collectively referred to as PBS/BSA). To investigate the expression of AnxA1, HOXA10, progesterone, and estrogen receptors, the cells were fixed overnight at 4 °C using FACS lysing solution (#349202, BD Biosciences, San Jose, CA, USA), then washed with PBS containing 1% glycine (#01A1021.01.AG, Synth, Diadema, SP, BRA), permeabilized with Triton-X (0.001%; #T8787, Sigma-Aldrich), washed with PBS/BSA, and incubated with primary anti-human rabbit antibodies to AnxA1 (1:100; #713400, Thermo Fisher, Waltham, MA, USA), HOXA10 (1:100; #720220, Thermo Fisher), the progesterone receptor (1:500; #IM-0558, Rhea Biotech, Campinas, SP, BRA), or the estrogen receptor (1:250; #IM-0557, Rhea Biotech) overnight at 4 °C. Next, cells were washed with PBS/BSA and incubated with secondary goat anti-rabbit antibodies conjugated to Alexa Fluor 488 (1:500; #A11008 Invitrogen) for 40 min in the dark at room temperature (RT). To investigate STAT1 $\alpha$  and ERK1/2 expressions, the cells were fixed in cold methanol for 30 min at RT, permeabilized in 0.1% Triton-X for 20 min at RT, and then incubated with primary anti-total STAT1 $\alpha$ (1:50; #9172, Cell Signaling, Boston, MA, USA), anti-phospho-STAT1α (1:50; #9167, Cell Signaling), anti-total ERK1/2 (1:200; #ab54230, Abcam, Burlingame, CA, USA) and/or anti-phospho ERK1/2 (1:200; #ab214036, Abcam) antibodies overnight at 4 °C. After this incubation, the cells were blocked with PBS containing 2% FBS, and incubated with secondary anti-rabbit-phycoerythrin (1:200; #ab97070, Abcam) or anti-mouse-fluorescein isothiocyanate (FITC, 1:200; #ab6785, Abcam) goat antibodies for 1 h

in the dark at RT. In order to analyze FPR1, FPR2, CD61 and NF-kB expression, the cells were washed twice in PBS/BSA and incubated with specific antibodies as follows: FPR1-PE (1:100; #FAB3744P BD Biosciences, Minneapolis, MN, USA), FPR2-FITC (1:100; #bs3654R FITC; Bioss, Boston, MA, USA), CD61-FITC (1:50; #555753; BD Biosciences) or NF-kB (1:100; #0465R, Biolegend, San Diego, CA, USA) for 40 min in the dark at RT. The cells were then washed and resuspended in PBS at the end of each protocol. Samples were subjected to flow cytometric analysis in a BD Accuri C6 flow cytometer taking 10,000 events into consideration and using CSampler software (BD Pharmingen, CA, USA).

# 2.5. Proliferation Assay

The proliferation assay was performed using a Live/Dead Viability/Cytotoxicity Kit for mammalian cells (#L3224, Thermo Fisher). Briefly, uterine epithelial cells were seeded in 24-well plates at a concentration of  $1 \times 10^4$  cells/well and incubated in culture medium containing 0.5% BSA for 24 h prior to treatment. Following the incubation, the cells were washed and treated with medium containing either 0.5%, 2%, or 10% BSA in the absence or presence of AnxA1 (1.35 nM) for 24 or 48 h. Next, the cells were washed with PBS, trypsinized, and then incubated using the Live/Dead Viability/Cytotoxicity Kit according to the manufacturer's instructions. Samples were analyzed using a BD Accuri C6 flow cytometer and 10,000 events were considered in the analysis using CSampler software (BD Pharmingen).

# 2.6. Immunofluorescence

Uterine epithelial cells were seeded at a concentration of  $5 \times 10^4$  cells/well on glass coverslips inside the wells of 24-well plates and treated as mentioned above. Cells were fixed in cold methanol for 30 min at  $-20~^{\circ}$ C, after which the methanol was removed, and the cells were maintained at  $-20~^{\circ}$ C until the assay was performed. Briefly, cells were washed in PBS and incubated overnight at  $4~^{\circ}$ C in the presence of anti-Muc-1 (rabbit anti-human; #bs-4763R, Bioss), anti-claudin-1 (rabbit anti-human; #ab15098, Abcam), anti-zona occludens-1 (ZO-1; goat anti-human; #PA5-19090, Thermo Fischer), or anti-AnxA1 primary antibodies. Following this incubation, the cells were washed with PBS/BSA and incubated with donkey anti-goat and goat anti-rabbit secondary antibodies conjugated to either Alexa Fluor 568 (#A11011, Thermo Fisher) or FITC (#A11008, Thermo Fisher), respectively. The coverslips containing the cells were removed from the 24-well plates, inverted, and mounted on glass slides in 5  $\mu$ L of Vectashield (#H-1200, Vectorlabs, Burlingame, CA, USA). The slides were maintained at  $4~^{\circ}$ C and images were acquired using an Axio Zeiss microscope and analyzed with ImageJ software (NIH, Bethesda, MD, USA).

## 2.7. ELISA

Uterine epithelial cells were seeded at  $3-5 \times 10^4$  cells/well and treated as mentioned above. The supernatant from these preparations was used to quantify the expression of IL-6 (#555220 BD OptEIA, BD Biosciences Pharmingen), AnxA1 (#MBS704042, MyBiosource, San Diego, CA, USA) and vascular endothelial growth factor (VEGF; #KHG0111, Thermo Fisher) through ELISA, according to the manufacturer's instructions. Expression level results from the ELISA were expressed in terms of pg/mL.

# 2.8. Trophoblast Spheroid

The method of trophoblast spheroid growth was adapted from a previous study [29]. Briefly, in order to obtain spheroids, 50  $\mu$ L agarose solution (1.5%; #A9539, Sigma-Aldrich) was added to 96-well plates. After solidifying, BeWo cells were seeded at a concentration of 1 x 10<sup>4</sup> cells/well and maintained in an atmosphere of 5% CO<sub>2</sub> at 37 °C for 72 h. Following the incubation, individual spheroids were visualized through optic microscopy. The viability of spheroids was determined using the Live/Dead Viability/Cytotoxicity Kit (Thermo Fisher).

# 2.9. Implantation Assay

The BeWo spheroid implantation model used here was adapted from previous studies [29–31]. Briefly, uterine epithelial cells were plated in 96-well plates at a concentration of  $2.5 \times 10^4$  cells/well and, after adherence, the cells were incubated for 1 h in either the control medium or media containing Boc-2 (1  $\mu$ M), cyclosporine H (1  $\mu$ M), or WRW4 (1  $\mu$ M), and then incubated with AnxA1 (1.35 nM) throughout the implantation time. The spheroids (one spheroid/well) were gently transferred onto adhered uterine epithelial cells and this co-culture was maintained in a humid atmosphere at 5% CO<sub>2</sub> and 37 °C for 2 h. Following this incubation period, the wells were filled up to the brim with culture medium and the plates were sealed with an adhesive film for microplates, inverted, and then centrifuged at 30× g at RT for 5 min. After centrifugation, the plates were kept inverted while they were taken from the centrifuge and examined under a Leica DMi1 inverted microscope (Leica, Shinagawa, Tokyo, Japan) for the presence of the spheroids. The spheroids that disappeared during the centrifugation process were considered to be unattached, and the results were expressed as the percentage of attached spheroids.

#### 2.10. Confocal Microscopy

#### 2.10.1. F-Actin Expression

Uterine epithelial cells were seeded at a concentration of  $5 \times 10^4$  cells/well on a 24-well plate and then treated as mentioned above. Next, the cells were washed in PBS and fixed in 2% paraformaldehyde for 20 min at RT. The cells were then washed in PBS, incubated with rhodamine phalloidin (#R415, Thermo Fisher) for 20 min in the dark at RT, and then washed in PBS. The intensity of fluorescence was detected using high-content imaging with a GE IN Cell Analyzer 2200 (GE Healthcare Life Sciences, Chicago, IL, USA) and quantified with IN Carta image analysis software (GE Healthcare Life Sciences).

# 2.10.2. AnxA1 Expression

AnxA1 expression at the implantation site was evaluated in C57bl/6 mice of 5 to 6 weeks of age. For this purpose, female mice were caged overnight with male mice (3:1) and successful mating was verified the following morning. The presence of a vaginal plug was designated as day 0.5 of gestation. The animals were maintained and bred at the Animal House at the School of Pharmaceutical Sciences, University of Sao Paulo (Brazil). Chow (Quimtia, Colombo, PR, Brazil) and water were made available to the mice *ad libitum*. All animals were housed in a temperature-controlled room (22–25 °C and 70% humidity) with a 12-h light–dark cycle. All procedures were performed according to the Brazilian Society for the Science of Laboratory Animals (SBCAL) and approved by the Institutional Animal Care and Use Committee from the Faculty of Pharmaceutical Sciences of the University of Sao Paulo (Protocol number 557).

For confocal microscopy, the uterus was removed at day 5.5 of gestation following euthanasia of the mice via isoflurane overdose. Samples of the implantation sites were fixed in 4% buffered paraformaldehyde for 72 h at 4 °C, washed in Tris-buffered saline (TBS) and incubated with AnxA1 polyclonal antibody (#713400, Thermo Fisher) or only TBS (negative control) for 24 h. Next, these tissues were incubated with goat anti-rabbit antibodies conjugated with Alexa Fluor 488 (#A11008, Thermo Fisher) and DAPI (10  $\mu$ g/mL; #D9542, Sigma-Aldrich) for 4 h, at RT in the dark, and then analyzed using a Confocal Zeiss LSM-780-NLO microscope (Carl Zeiss, Jena, Germany).

#### 2.11. Tube Formation

The tube formation assay was performed as detailed in previous studies [32,33]. Briefly, HUVEC cells were serum-starved for 24 h in RPMI 1640 medium with 1% BSA. Next, the cells were trypsinized, harvested, and plated on a 96-well plate at a density of  $2.5 \times 10^4$  cells/well on 100  $\mu$ L Matrigel coating (#356237, Corning). The cells were incubated for 4 h with uterine epithelial cell-free supernatant that was previously obtained according to item 2.2. HUVEC cells, maintained in RPMI 1640 containing

10% FBS, were used as the positive control (data not shown). Photomicrographs (5X magnification) were obtained using a Leica DMI1 optical microscope (Shinagawa, Tokyo, Japan), and closed units (polygons) were considered in the count. Twelve fields were count per well.

## 2.12. Statistical Analyses

The data were expressed as mean  $\pm$  standard error of the mean (SEM) and comparisons were made between the experimental groups using a one-way ANOVA followed by either the Tukey test or Bonferroni test for multiple comparisons using GraphPad software version 5. A p value < 0.05 was used to denote statistically significant differences.

#### 3. Results

# 3.1. Uterine Epithelial Cells Express FPRs 1 and 2 and Secrete AnxA1

To validate our study, we first confirmed that uterine epithelial cells express and secrete AnxA1, and express its receptors, FPR1 and FPR2 (Figure S1). The secretion of AnxA1 was not detected from other epithelial cell lineages, such as Caski and Siha cells, and low levels were detected for HeLa (Figure S1B). Additionally, the concentration-response curves demonstrated that AnxA1, Boc-2, cyclosporine H, and WRW4 did not affect the cellular viability under any of the concentrations employed in our studies following either 24 or 48 h of incubation (Figure S2A,C–E). Moreover, AnxA1 did not alter the cellular proliferation (Figure S2B). Using these data, effective concentrations of FPR agonists and antagonists were chosen to proceed with the further investigations, specifically 1  $\mu$ M of Boc-2, cyclosporine H, and WRW4, and 1.35 nM of AnxA1.

# 3.2. AnxA1 Increased the Number of Implanted Trophoblast Spheroids

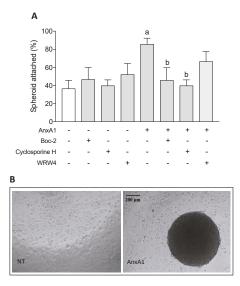
BeWo spheroids were cultured on uterine epithelial cells in order to mimic embryo implantation in vitro (Figure S3A). Of note, BeWo spheroid viability was confirmed by observation of both a higher number of viable (green; Figure S3B,D) and lower number of dead cells (red; Figure S3C,D).

The in vitro implantation assay showed that NT (i.e., control) uterine epithelial cells demonstrated 36.4% spheroid adherence after 2 h of incubation. Similar adherence is observed when cells were treated with Boc-2, cyclosporine H or WRW4. AnxA1 treatment evoked a large increase in spheroid adherence, as 85.4% of the spheroids attached to the uterine epithelial cells following the treatment. This effect was reversed when cells were co-incubated with either cyclosporine H or Boc-2 with AnxA1. WRW4 did not affect the improved adherence evoked by AnxA1 (Figure 1A). A representative image of the in vitro spheroid adhesion assay is shown in Figure 1B.

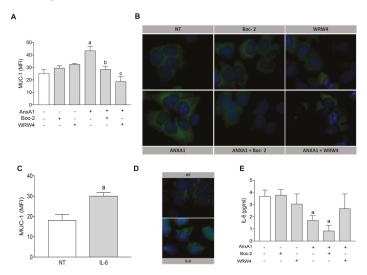
# 3.3. AnxA1 Induced Muc-1 Expression in Uterine Epithelial Cells via FPR1 and FPR2

Mucins are glycoproteins that line the surfaces of organs exposed to the external environment, including the lung, gut, eyes, and uterus [34]. It has been shown that, in humans, mucin-1 (Muc-1) acts as a scaffold and ligand for selectins present on the blastocyst in order to facilitate attachment [35,36]. The data obtained here show that, in uterine epithelial cells, AnxA1-induced expression of Muc-1 was abrogated by simultaneous incubation with Boc-2 or WRW4 (Figure 2A). Representative images of the immunofluorescence studies are shown in Figure 2B.

Since IL-6 is a key cytokine involved in blastocysts implantation and Muc-1 expression [37,38], we hypothesized that AnxA1 may control Muc-1 expression via IL-6. Indeed, we confirmed IL-6 increased Muc-1 expression by uterine epithelial cells (Figure 2C,D), although IL-6 secretion was impaired in cells treated with AnxA1 (Figure 2E). Taken together, these data show an IL-6-independent mechanism by which AnxA1 impacts on Muc-1 expression, and that such actions are mediated via FPRs.



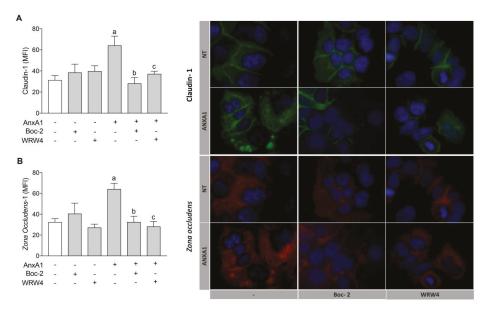
**Figure 1.** AnxA1 increased BeWo spheroid attachment via FPR1 on uterine epithelial cells. Uterine epithelial cells were treated with FPRs antagonists during 1 h and AnxA1 was added with spheroids. Uterine epithelial and spheroids were co-cultured during 2 h, and the percentage of adhered spheroids were calculated and considered as attached. (–) means absence and (+) means presence of treatments (**A**). Representative image of non-treated (NT) and AnxA1-treated uterine epithelial cells containing or not a spheroid is shown in (**B**). The data are expressed as mean  $\pm$  standard error of 10 experiments.  $a \neq 0.05$  vs. NT;  $b \neq 0.05$  vs. AnxA1.



**Figure 2.** AnxA1 controlled Muc-1 expression on uterine epithelial cells via FPR1/FPR2, independent of IL-6 secretion. Muc-1 expression on uterine epithelial cells was determined 24 h after incubations (**A,B**). Muc-1 expression was determined 24 h after IL-6 treatment (**C**). IL-6 secretion was determined in the supernatant of uterine epithelial cells 24 h after treatments (**D**). (–) means absence and (+) means presence of treatments. The data are expressed as mean  $\pm$  standard error of mean of three to five independent experiments. <sup>a</sup> p < 0.05 vs. NT; <sup>b,c</sup> p < 0.05 vs. AnxA1.

# 3.4. AnxA1 Induced Claudin-1 and Zona Occludens-1 Expression in Uterine Epithelial Cells via FPR1 and FPR2

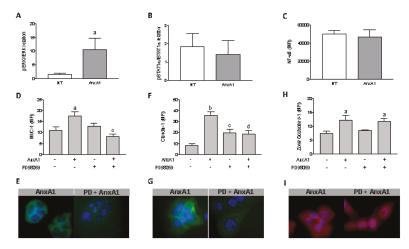
Claudin-1 is a member of the junctional complex and is associated with cytoplasmic plaque proteins in the *zona occludens* (ZO), which is crucial for maintaining the integrity of the uterine epithelium [7]. AnxA1 treatment increased claudin-1 and ZO-1 expression in uterine epithelial cells, and this effect was abrogated by co-incubation with Boc-2 or WRW<sub>4</sub> (Figure 3A,B, respectively). Representative images of claudin-1 and ZO-1 immunofluorescence are shown in Figure 3.



**Figure 3.** AnxA1 controlled claudin-1 and ZO-1 expressions on uterine epithelial cells via FPR1 and FPR2. Claudin-1 (**A**) and ZO-1 (**B**) expressions on uterine epithelial cells were determined 24 h after incubations. Representative images of claudin-1 and ZO-1 immunofluorescence are shown. (–) means absence and (+) means presence of treatments. The data are expressed as mean  $\pm$  standard error of mean of three to five independent experiments. <sup>a</sup> p < 0.05 vs. NT; <sup>b</sup> p < 0.01 and <sup>c</sup> p < 0.05 vs. AnxA1.

# 3.5. Increased Muc-1 and Claudin-1 Expression Evoked by AnxA1 Was Supported by the MAPK Pathway Activation in Uterine Epithelial Cells

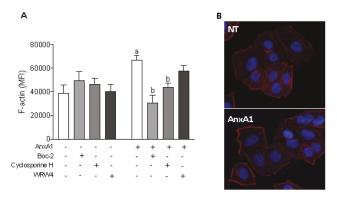
ERK1/2, STAT1α, and NF- $\kappa$ B are some of the signaling molecules connected to Muc-1, claudin-1, and ZO-1 expression [31,39–41]. Furthermore, AnxA1 produces its actions mainly through the MAPK, JAK/STAT, and NF- $\kappa$ B signaling transduction pathways [9,42,43]. We observed that AnxA1 treatment increased ERK1/2 phosphorylation in uterine epithelial cells (Figure 4A) but did not modify STAT1α phosphorylation (Figure 4B) or the p65 subunit of NF- $\kappa$ B (Figure 4C) compared to the control cells (NT). Moreover, our findings showed that the pharmacological blockade of ERK1/2 phosphorylation, by pre-incubation with PD98059, abrogated the increment of Muc-1 and claudin-1 expressions induced by AnxA1 in uterine epithelial cells (Figure 4D,F, respectively). In contrast, pre-incubation of uterine epithelial cells with PD98059 did not block the ZO-1 expression evoked by AnxA1 (Figure 4H). Representative images of Muc-1, claudin-1 and ZO-1 immunofluorescence are shown in Figure 4E,G,I, respectively.



**Figure 4.** AnxA1 controlled Muc-1 and Claudin-1 expressions on uterine epithelial cells via ERK1/2 phosphorylation. The effect of AnxA1 on ERK (**A**) and STAT1 $\alpha$  (**B**) phosphorylation and NF-κB expression (**C**) were investigated by flow cytometry. The inhibition on ERK1/2, evoked by PD98059 incubation, was investigated on Muc-1 (**D**), Claudin-1 (**F**) and ZO-1 (**H**) expressions using immunofluorescence. Representative images of Muc-1, Claudin-1 and ZO-1 are shown in (**E**), (**G**) and (**I**), respectively. (–) means absence and (+) means presence of treatments. The data are expressed as mean  $\pm$  standard error of mean of three to five independent experiments. <sup>a</sup> p < 0.05 and <sup>b</sup> p < 0.01 vs. NT; <sup>c</sup> p < 0.05 and <sup>d</sup> p < 0.01 vs. AnxA1.

# 3.6. AnxA1 Increased F-Actin Polymerization in Uterine Epithelial Cells via FPR1

F-actin is connected to the ZO-1 and is important in stabilizing the tight junctions [44,45]. AnxA1 treatment increased F-actin polymerization in comparison to the control treatments (NT; dotted line), and this effect was inhibited by co-treatment with Boc-2 or cyclosporine H. Co-incubation of cells with WRW $_4$  and AnxA1 did not modify F-actin polymerization (Figure 5A). Representative images are shown in Figure 5B.



**Figure 5.** AnxA1 induced F-actin polymerization via FPR1. F-actin was quantified on uterine epithelial cells 2 h after incubations, using phalloidin-rhodamine by confocal microscopy. (–) means absence and (+) means presence of treatments (**A**). Representative images of F-actin polymerization are shown (**B**). The data are expressed as mean  $\pm$  standard error of mean of three to five independent experiments.  $^a$  p < 0.05 vs. NT;  $^b$  p < 0.05 vs. AnxA1.

#### 3.7. AnxA1 Controls Endothelial Tube Formation and VEGF Secretion via FPR2

Angiogenesis is a fundamental step in the implantation process, and thus, required for pregnancy to progress [46]. Therefore, we investigated the role of substances secreted by uterine epithelial cells after treatment with AnxA1 and/or FPR inhibitors on tube formation of HUVECs, referred to as in vitro angiogenesis. Cyclosporine H or WRW4 did not alter the number of HUVEC tubes *per se*. The supernatant of uterine epithelial cells, which had previously been incubated with AnxA1 or AnxA1 plus the FPR1 antagonist cyclosporine H, did not modify the formation of tubes by HUVECs. In contrast, the supernatant of uterine epithelial cells treated with AnxA1 and WRW4 did markedly reduce the number of tubes formed (Figure 6A). Representative images demonstrating this are depicted in Figure 6B.

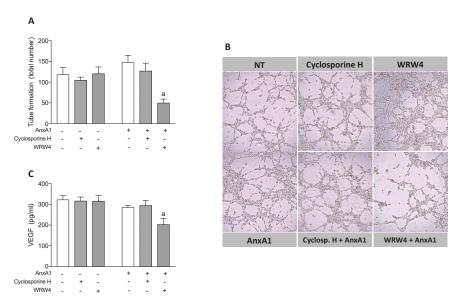
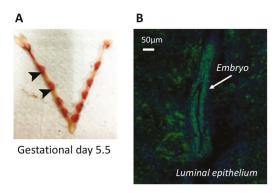


Figure 6. Supernatant of uterine epithelial cells treated with AnxA1 plus WRW $_4$  presented reduced levels of VEGF and reduced HUVEC tube formation. Supernatant was obtained from uterine epithelial cells previously treated with cyclosporine H or WRW $_4$  in the absence or presence of AnxA1. HUVEC was incubated with supernatants and tube formation was evaluated 4 h later (A). Representative images of tube formation are shown in (B). The levels of VEGF in the uterine cells supernatant were quantified 4 h after incubations (C). (–) means absence and (+) means presence of treatments. The data are expressed as mean  $\pm$  standard error of mean of at least three to five independent experiments.  $^a$  p < 0.05 vs. AnxA1.

VEGF is a fundamental growth factor in angiogenesis. Therefore, to understand the mechanisms linked to the altered tube formation observed, we quantified the levels of VEGF in the supernatant previously obtained from uterine epithelial cells incubated with AnxA1 and FPR blockers. In accordance with the results obtained from the HUVEC tube formation experiments, VEGF levels were not altered by cyclosporine H, WRW4, AnxA1, or co-incubation with cyclosporine H and AnxA1. In contrast, significantly lower levels of VEGF were quantified in the supernatants from uterine epithelial cells treated with both WRW4 and AnxA1 (Figure 6C).

3.8. AnxA1 Is Physiologically Expressed on Uterine Epithelial Cells and the Blastocyst during In vivo Implantation

To corroborate our results on the functional involvement of AnxA1 in uterine receptivity and embryo implantation, the expression of AnxA1 was monitored by confocal microscopy of implantation sites obtained from C57bl/6 mice on gestational day 5.5 (Figure 7A). The data obtained showed that AnxA1 was broadly expressed by both the luminal epithelium and the embryo (Figure 7B). A representative image of the negative control is shown in Figure S5.



**Figure 7.** AnxA1 is expressed at embryo-implantation site in vivo. Implantations sites were obtained from C57bl/6 at gestational day 5.5 (**A**). Representative image of AnxA1 expression at implantation site (arrow) and luminal tissue by confocal microscopy is shown (**B**).

#### 4. Discussion

Failure of the blastocyst to implant in the uterine wall is a putative cause of unsuccessful pregnancy. Although large volumes of data have been published regarding this process, research thus far has failed to produce an effective treatment that supports the uterus' receptivity to implantation. Blastocyst apposition and attachment to the uterus involve the actions of a diverse range of molecules and intracellular signaling processes that are not unique in themselves, but play unique roles in each step of the process [2]. Moreover, ethical concerns limit the ability for human studies, and thus, our current understanding of human embryo—endometrium interactions is limited, although experimental models and in vitro cell systems have been designed and are currently being used to help understand the complexity of this phenomenon [29,30]. About 30% of human pregnancies end in miscarriages, the vast majority of which occur in the early phase of gestation [47], thus emphasizing the crucial importance of further studies in this field. Here, we highlight the role that the AnxA1 protein plays, via activation of G-protein coupled receptors (specifically FPRs), in preparing the uterine epithelium for blastocyst implantation using an in vitro model. We found that, indeed, AnxA1 application favors blastocyst attachment by inducing the expression of proteins by the uterine epithelium that control the paracellular flux, structure, and adhesiveness of the uterine wall, as well as promoting angiogenesis.

Progesterone binds to nuclear receptors in the cells of the uterine epithelium and stroma, and activates the transcription factor HOXA10 to induce cell proliferation in the uterine wall, a process which is required for implantation of the blastocyst [48,49]. It has been shown the HOXA10 gene promoter contains a progesterone-responsive element, implying that HOXA10 is a direct target of the progesterone receptor [50,51]. Moreover, activation of the transcription factor HOXA10 induces the expression of the adhesion molecule CD61, a pivotal beta-3 integrin for blastocyst attachment in the epithelium [52]. In humans, high plasma progesterone levels have been associated with increased levels of CD61 in uterine biopsy samples, suggesting a role for CD61 as a biomarker of uterine receptivity [53]. A role for AnxA1 in the fundamental progesterone/HOXA10/CD61 pathway of blastocyst implantation was initially disregarded after studies demonstrated that AnxA1 treatment failed to induce intracellular

expression of the progesterone receptor or HOXA10 (Figure S4), epithelial proliferation (Figure S2) or expression of CD61 (Figure S4).

Conversely, our data show that AnxA1, via activation of FPRs, induces expression of Muc-1. Muc-1 is a glycoprotein that is highly expressed in the receptive human endometrium and, subsequently, is removed from the apical epithelium at the location of implantation, while continuing to be expressed by cells neighboring the implantation site [2,54–56]. Moreover, abnormal Muc-1 expression has been detected in women suffering from recurrent miscarriages or fertility problems [57]. Muc-1 expression is controlled by various molecules, such as IL-6 [37,38] and high levels of progesterone, either alone or in combination with estradiol in vivo and in vitro [58,59]. Here, we assumed that other pathways are involved in AnxA1-induced Muc-1 expression, as AnxA1 reduced secretion of IL-6 by uterine epithelial cells while no alterations of progesterone and estrogen receptors were observed in this cell line. Data regarding the action(s) of AnxA1 on mucin expression are not currently available, and the results presented here may help further investigations concerning the effects of AnxA1 on Muc-1 expression in other epithelial cells, since Muc-1 is known to be present on the surface of most epithelial cells and to be involved in processes such as microbe invasion, inflammation, and fibrosis [60,61].

Similar to the results observed for Muc-1 expression, we detected increase in claudin-1 and ZO-1 expression following treatment with AnxA1, and these effects were blocked by co-treatment with FPR1 and FPR2 antagonists. Claudin-1 is a transmembrane adhesion protein that binds to cytoplasmatic adapter proteins of the ZO-1 and forms tight junctions [62–64]. Crucial roles for both proteins have been described in regulating transepithelial permeability to small molecules and ions [63,65], as well as cell growth and differentiation under various conditions [42,66–69]. Recently, claudin-1 and ZO-1 have been revealed to be key components involved in uterine receptivity, as tight junctions become reinforced during blastocyst implantation [7,70]. Here, we show that the AnxA1/FPR1/2 pathway contributes to strengthening claudin-1 and ZO-1 between uterine epithelial cells, suggesting a role by AnxA1 in controlling paracellular flow. Accordingly, the reinforcement of tight junctions contributes to the retention of uterine secretions within the lumen of the uterus, which is important for nourishing the embryo and favoring implantation [7,70,71].

The downstream effects of AnxA1 on intracellular pathways in uterine epithelial cells involve MAPK signaling, but not engagement of the transcription factors STAT1α or NF-κB. Increased ERK1/2 phosphorylation was detected following AnxA1 treatment, while inhibition of ERK1/2 phosphorylation reverted the changes in expression of Muc-1 and claudin-1 induced by this AnxA1 treatment. In fact, it has been shown that both Muc-1 and claudin-1 are pre-transcriptionally induced via the MAPK/ERK pathway [72–74]. Furthermore, MAPK signaling is a common pathway implicated in various actions induced by AnxA1 [9,13,75,76]. However, AnxA1 controls ZO-1 expression independently of ERK1/2 phosphorylation, potentially via improving F-actin polymerization as interactions between ZO-1 and the actin cytoskeleton have been reported in epithelial cells [44,77]. The pivotal role of AnxA1 on cytoskeletal reorganization of epithelial cells has been demonstrated under physiological conditions, and a deficiency of AnxA1 expression with consequent destabilization of focal adhesions and tight junctions has been implicated as a possible mechanism of various diseases [78–83].

Angiogenesis is crucial for successful implantation and placentation, and is critical during decidualization [46]. Angiogenesis begins early in the course of implantation and is supported by pro-angiogenic molecules [84]. The role of AnxA1 in angiogenesis has been shown in different models of cancer [85,86]. Moreover, the N-terminal AnxA1 peptide Ac<sub>2-26</sub> has been demonstrated to increase endothelial tube formation by increasing proliferation, migration, and actin polymerization in a manner similar to that induced by VEGF-A [83]. Our data show AnxA1, via FPR2, induces the secretion of angiogenesis factors by uterine epithelial cells, resulting in HUVEC tube formation. In our model, VEGF was identified as one of these angiogenic factors as its concentrations were reduced if uterine epithelial cells were treated with FPR2 antagonist in association with AnxA1. This is in line with recent work by Ferraro and co-workers [87], who demonstrated a functional link between AnxA1 and reparative macrophage phenotype in settings of heart failure, consequent to VEGF release from the

immune cell. Therefore, we conclude that AnxA1, via FPR2, induced epithelial secretion of VEGF which, subsequently, modulated angiogenesis in the endothelium, demonstrating a complementary mechanism by which AnxA1 is able to support embryo implantation.

The direct action of AnxA1 on uterus epithelium demonstrated here seems to sound controversial to the high number of pups delivered by AnxA1 knockout mice [27]. In addition to the differences found in epithelium of mice and humans, we infer the exacerbated inflammation detected in the uterus microenvironment in AnxA1-deficient mice may favor the implantation process. It is known that elevated levels of pro-inflammatory cytokines, such as IL-6, favor the implantation of blastocysts [37]. Therefore, we infer AnxA1 is pivotal in the implantation phase, by controlling the inflammation that maintains the microenvironment to support a compatible blastocyst implantation, and also, by inducing the required signaling in the epithelium to trigger the adhesive properties.

In conclusion, this study unveils the existence of an intricate mechanism by which AnxA1 controls embryo implantation through regulating expressions and functions of key molecules linked to uterine receptivity, integrity, and angiogenesis. AnxA1 interacts with FPRs to activate members of MAP kinases and modulate the epithelial cytoskeleton, resulting in a uterine environment conducive for embryo implantation in the epithelium. Moreover, AnxA1 is also connected to the dynamic interplay between the uterine epithelium and endothelium, crucial for embryo implantation, posterior decidualization, and consequently, successful pregnancy.

Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4409/9/5/1188/s1, Figure S1: AnxA1 expression and secretion and FPR1 and FPR2 expressions by uterine epithelial cell lineage Ishikawa. Figure S2: AnxA1, Boc-2, cyclosporine H and WRW4 did not modify uterine epithelial cells viability. Figure S3: BeWo spheroid viability. Figure S4: AnxA1 did not modify progesterone and estrogen receptors, HOXA10, and CD61 expressions. Figure S5: Representative image of negative control of embryo implantation site.

**Author Contributions:** C.B.H., S.S., R.A.L., M.d.P.-S., C.R., M.P., and S.H.P.F. were involved in the conceptualization of the study; C.B.H., S.S., C.M.B., R.A.L., and S.H.P.F. collected, analyzed and interpreted the data; C.B.H., S.S., and S.H.P.F. wrote the manuscript; S.H.P.F. was the project administrator; S.H.P.F. acquired the funding acquisition. All authors critically reviewed the paper and approved its final version. All authors have read and agreed to the published version of the manuscript.

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Article

# Targeting AnxA1/Formyl Peptide Receptor 2 Pathway Affords Protection against Pathological Thrombo-Inflammation

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Abstract: Stroke is a leading cause of death and disability globally and is associated with a number of co-morbidities including sepsis and sickle cell disease (SCD). Despite thrombo-inflammation underlying these co-morbidities, its pathogenesis remains complicated and drug discovery programs aimed at reducing and resolving the detrimental effects remain a major therapeutic challenge. The objective of this study was to assess whether the anti-inflammatory pro-resolving protein Annexin A1 (AnxA1) was able to reduce inflammation-induced thrombosis and suppress platelet activation and thrombus formation in the cerebral microvasculature. Using two distinct models of pathological thrombo-inflammation (lipopolysaccharide (LPS) and sickle transgenic mice (STM)), thrombosis was induced in the murine brain using photoactivation (light/dye) coupled with intravital microscopy. The heightened inflammation-induced microvascular thrombosis present in these two distinct thrombo-inflammatory models was inhibited significantly by the administration of AnxA1 mimetic peptide AnxA1<sub>Ac2-26</sub> (an effect more pronounced in the SCD model vs. the endotoxin model) and mediated by the key resolution receptor, Fpr2/ALX. Furthermore, AnxA1<sub>Ac2-26</sub> treatment was able to hamper platelet aggregation by reducing platelet stimulation and aggregation (by moderating  $\alpha_{\text{IIb}}\beta_3$ and P-selectin). These findings suggest that targeting the AnxA1/Fpr2/ALX pathway represents an attractive novel treatment strategy for resolving thrombo-inflammation, counteracting e.g., stroke in high-risk patient cohorts.

Keywords: thrombosis; inflammation; Annexin A1; formyl peptide receptors; sickle cell disease; sepsis

# 1. Introduction

Stroke is a leading cause of death and disability, with functional impairments producing significant losses in quality of life and accompanying financial burden [1–3]. Although the exact mechanisms responsible for post-ischaemic cerebral damage in stroke remain undefined, the intertwined processes of thrombosis and inflammation play crucial roles in the pathophysiology [4–6]. Unregulated thrombo-inflammation, which involves a complex relationship between inflammatory leukocytes (e.g., neutrophils), platelets, and the vascular endothelium, is also associated with a number of comorbidities such as sickle cell disease ("SCD" [7,8]) and infections (e.g., sepsis [9,10]), which predispose individuals to ischaemic stroke. In the case of SCD, ~11% of SCD patients have a stroke before the age of 20, increasing to 24% by the age of 45 [11]. Furthermore, stroke patients

are not only more susceptible to bacterial infections, but infection itself is an independent risk factor for stroke and a major contributor to worse outcome post stroke, increasing recurrent stroke risk [4]. Therefore, reducing and resolving the impact and detrimental effects of microvascular thrombosis and inflammation associated with underlying co-morbidities, such as those already discussed, represent a major therapeutic challenge.

It is now widely accepted that endogenous pro-resolving mediators released during an inflammatory response play a critical role in effective recovery from inflammation and repair [12,13]. Resolution of inflammation is a tightly orchestrated process, involving specific endogenous mediators such as Annexin A1 ("AnxA1") and its biologically active N-terminal domain, "Ac2-26" (Ac-AMVSEFLKQAWFIENEEQEYVQTVK); Lipoxins e.g., "Lipoxin A4" (5S,6R,15S-trihydroxy-7E,9E,11Z,13E-eicosatetraenoic acid) and aspirin-triggered lipoxin A4 (15(R)-epi-LXA4, "ATL"); resolvins ("Rv") e.g., "RvD1" and "RvD2"; protectins e.g., protectin D1 (10R,17S-dihydroxy-4Z,7Z,11E,13E,15Z,19Z-docosahexaenoic acid); maresins e.g., maresin 1 (7R,14S-dihydroxy-4Z,8E,10E,12Z,16Z,19Z-docosahexaenoic, "MaR1") [12,14–16]. These specific endogenous mediators moderate and resolve inflammation through protective pro-resolution pathways such as the formyl peptide receptor 2 (also termed "FPR2/ALX", Fpr2, or ALX receptor i.e., the receptor for LXA4) pathway, which is a key resolution pathway [17–22].

The human FPR family consists of three specific receptors termed "FPR1", FPR2/ALX, and "FPR3", all of which are well conserved G-protein-coupled receptors expressed in a host of different cells and tissues, all having pluripotent and diverse roles in the initiation, propagation, and resolution of inflammation [17,19]. All three receptors are clustered together on chromosome 19q13.3 and share significant sequence homology, with FPR1 sharing ~69% and 56% sequence homology with FPR2/ALX and FPR3, respectively, and FPR2/ALX sharing 83% sequence homology with FPR3 [23].

AnxA1 is a 37 kDa protein that belongs to the annexin superfamily of Ca<sup>2+</sup>-dependent phospholipid binding proteins. It is an anti-inflammatory, pro-resolving protein that is up-regulated by glucocorticoids. Annexins share a common structure formed of a core region and a unique N-terminal domain, which acts as the fingerprint for each annexin of the superfamily. A number of investigations have focussed on the anti-inflammatory effects of AnxA1 and its mimetic peptides (including the blockade of leukocyte recruitment, inhibition of cytokine release, promotion of apoptosis, stimulation of phagocytosis, and decreasing vascular permeability) [17] in a variety of clinically related disease models [17,20,24–28], often via an engagement with FPR1 or FPR2/ALX (murine orthologues Fpr1 and Fpr2/ALX, respectively).

In the context of an ischaemic stroke setting, we have previously shown that AnxA1 (via Fpr2/ALX) is able to act both as a therapeutic and a prophylactic drug, reducing infarct volume and improving stroke outcome in a mouse model of acute experimental stroke [17,29] without increasing the risk of intracerebral haemorrhage [3,29,30]. Moreover, we demonstrated that this anti-inflammatory/pro-resolving compound was able to act as an anti-thrombotic agent suppressing thrombin-induced inside-out signalling events such as Akt activation, intracellular calcium release, and Ras-associated protein 1 ("Rap1") [31], thereby exerting protection by altering platelet phenotypes from pro-pathogenic to regulatory [29]. These findings provided novel physio-pharmacological properties of AnxA1 as a powerful pro-resolving mediator of thrombo-inflammation and opened new avenues for an attractive therapeutic treatment strategy for patients with stroke. However, the anti-thrombotic effect of AnxA1 in patients with co-morbidities susceptible to stroke is less well characterized. Therefore, the objective of this current study was to use pharmacological and genetic approaches together with a photoactivation thrombosis (light/dye) model to test the hypothesis that targeting the AnxA1/FPR-pathway protects the cerebral microvascular system against inflammation-induced microvascular thrombosis in co-morbidities susceptible to stroke.

#### 2. Materials and Methods

#### 2.1. Animals

All studies were done blinded and performed on adult male mice weighing 25–30 g. C57BL/6 mice were purchased from Jackson Laboratory (Bar Harbor, ME, USA). The sickle cell transgenic mice (STM) (also termed  $\beta^s$  mice, heterozygous BERK, trait BERK, or sickle cell trait model) were homozygous for knockout of murine  $\alpha$ -globin and heterozygous for knockout of murine  $\beta$ -globin and had one copy of the linked transgenes for human  $\alpha$ - and  $\beta^s$ -globins [32]. STM used in this study were a gift from Professor Robert Hebbel (University of Minnesota). STM were bred onsite and showed no obvious phenotype and were fertile. Mice were maintained on a 12 h (h) light–dark cycle, during which room temperature was maintained at 21–23 °C. Mice had access to a standard chow pellet diet and tap water ad libitum. All animal experiments were approved by the Louisiana State University Health Sciences Center—Shreveport (LSUHSC-S) Institutional Animal Care and Use Committee (IACUC), were in accordance with the guidelines of the American Physiological Society and complied with ARRIVE (Animal Research: Reporting In Vivo Experiments) guidelines.

# 2.2. Endotoxin (LPS) Administration

Mice were injected intraperitoneally (i.p.) with "LPS" (Escherichia coli serotype 0111:B4, purified initially by phenol extraction and purified further by ion exchange chromatography. L3024. Sigma-Aldrich, St Louis, MO, USA), two hours before the experiment at a dose of 0.4 mg/kg in 100  $\mu$ L vehicle sterile saline [33].

# 2.3. Photoactivation Thrombosis Model. (Light/Dye Method)

Intravital microscopy ("IVM") was performed as previously described [29]. Briefly, mice were anaesthetized via i.p. injection of ketamine (150 mg/kg) and xylazine (7.5 mg/kg), and the femoral vein was cannulated for dye (fluorescein isothiocyanate (FITC)-dextran) administration. The head of each mouse was fixed in a frame (sphinx position) and the parietal bone was exposed by a midline skin incision, followed by a craniectomy [29]. A total of 10 mg/kg of 5% FITC dextran (150,000 mol wt, Sigma-Aldrich) was injected i.v. and allowed to circulate for ten minutes (mins) prior to photoactivation. Photoactivation was initiated (excitation, 495 nm; emission, 519 nm) by exposing 100 µm of vessel length to epi-illumination with a 175-W xenon lamp (Lambda LS, Sutter, Novato, CA, USA) and a fluorescein filter cube (HQ-FITC, Chroma, San Francisco, CA, USA) [29]. The excitation power density was measured daily (ILT 1700 Radiometer, SED033 detector; International Light, Peabody, MA, USA) and maintained within 1% of 0.77 W/cm<sup>2</sup>. Epi-illumination was applied continuously, and onset and blood flow cessation times were quantified in cerebral vessels (diameter range: 30–70 µm) until blood flow had ceased in the vessel under study [29]. In some cases, 20 min prior to onset of thrombosis, mice were treated with vehicle (saline), AnxA1<sub>Ac2-26</sub> (Ac-AMVSEFLKQAWFIENEEQEYVQTVK, 4 mg/kg. Cambridge Research Biochemicals, Cambridge, UK), pan FPR antagonist Boc2 (N-tert-butoxycarbonyl-L-Phe-D-Leu-L-Phe-D-Leu-L-Phe, 0.4 mg/kg; MP Biomedicals, Cambridge, UK) or FPR2/ALX antagonist WRW4 (2.2 mg/kg. Tocris, Bristol, UK) administered (100 μL) i.v. [20,29]. Doses/concentrations used in the study are congruent with binding affinities for the Fprs and were chosen based on our previous findings [17,20,29]. All compounds were made in vehicle sterile saline.

#### 2.4. Bleeding Time

Bleeding times were quantified in mice treated with vehicle saline, LPS, or  $AnxA1_{Ac2-26}$ , as previously described. Briefly, mice were anaesthetized with i.p. injection of ketamine (150 mg/kg) and xylazine (7.5 mg/kg). A small tail segment (3 mm) was cut cleanly with a scalpel blade, and bleeding was monitored at 15-s intervals by absorbing the bead of blood with filter paper without contacting

the wound site [29]. When no blood was observed on the paper, bleeding was determined to have ceased [34].

# 2.5. Platelet Cell Counts

Platelets from peripheral blood were stained with 1% buffered ammonium oxalate and counted using a haemocytometer.

# 2.6. Platelet Flow Cytometry

Whole blood was collected (0.9 mL) via carotid artery into a syringe containing 0.1 mL anticoagulant citrate dextrose ("ACD") buffer, transferred into an Eppendorf tube, and centrifuged at 1200 rpm for 8 min. The platelet-rich plasma ("PRP") layer was transferred to a new Eppendorf tube and centrifuged at 3000 rpm for 10 min. The supernatant was removed and the pellet was resuspended in Tyrodes buffer (containing 1 mM Ca<sup>2+</sup>) along with the appropriate antibody and allowed to incubate for 15 min at 37 °C. The reaction was stopped by the addition of 450 μL of 1% paraformaldehyde. P-selectin and JON/A-PE FITC antibodies (Emfret Analytics, Eibelstadt, Germany) were used to measure platelet activation and surface P-selectin exposure in murine platelets using flow cytometry, as previously described [35,36]. IgG isotype antibodies were used as controls. Briefly, the platelets were treated with Fc block (15 min, room temperature) to inhibit non-specific binding according to the manufacturer's instructions (eBioscience, San Diego, CA, USA). This was followed by treatment with AnxA1<sub>Ac2-26</sub> (30 µM) or vehicle for 30 min and then, the addition of antibodies (1:8 dilution). Next, the glycoprotein VI (GPVI) collagen receptor agonist convulxin (CVX) (1.7 ng/mL. Cayman Chemical Company, MI, USA) was used to stimulate the platelets for 15 min. In some cases, prior to CVX, platelets were treated with LPS (7.5 µg/mL). The activation was stopped by the addition of 450 µL of 1% paraformaldehyde. Platelets were identified by their light scattering using an LSRII flow cytometer (Becton Dickinson, Franklin Lakes, NJ, USA) and Diva8 software by assessing at least 10,000 events per sample, as previously described [34].

# 2.7. Platelet Aggregation Assay

Arterial blood was freshly collected from the carotid artery of mice, as described above. Platelets  $8-10\times10^6/mL$  were used to monitor platelet aggregation velocity after agonist exposure in a cuvette loaded with 6 mL of platelet media (140 mM NaCl, 10 mM HEPES, 10 mM NaHCO3, 2 mM KCl, 1 mM MgCl2, 2 mM CaCl2, 5.5 mM D-glucose, pH 7.4) using a laser particle analyser (LasCa-1C, Lumex Ltd., St. Petersburg, Russia) by a low angle light scattering method, as previously described [29]. Platelets were administered either vehicle (saline) or LPS (7.5  $\mu$ g/mL) and the normalized velocity of aggregation was calculated using original software LasCa\_32, as previously described [29].

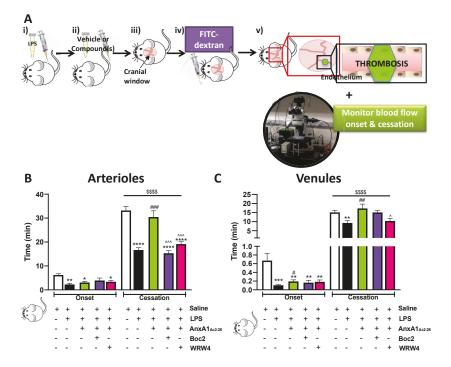
#### 2.8. Statistical Analysis

All data were analysed using GraphPad Prism8 software. Data are shown as mean values  $\pm$  standard error of the mean (SEM), with n values given in the respective figures. Results from thrombosis experiments were confirmed to follow a normal distribution using a Kolmogorov–Smirnov test of normality with Dallal–Wilkinson–Lillie for the corrected p value. Data that passed the normality assumption were analysed using Student's t-test (two groups) or ANOVA with Bonferroni post hoc tests (more than two groups). Data that failed the normality assumption were analysed using the non-parametric Mann–Whitney U test (two groups) or Kruskal–Wallis with Dunn's test (more than two groups). Differences were considered statistically significant at a value of p < 0.05.

#### 3. Results

# 3.1. AnxA1<sub>Ac2-26</sub> Inhibits LPS-Induced Thrombus Formation in Cerebral Microcirculation of C57/BL6 Mice

The light/dye model coupled with intravital microscopy was used to quantify thrombus formation in cerebral arterioles and venules, as determined by onset time (i.e., the time to onset of visible aggregates) and blood flow cessation time (Figure 1A). Figure 1B,C shows that onset time was significantly faster in both arterioles and venules in LPS-treated mice vs. saline (vehicle)-treated mice (with the exception of LPS+AnxA1 $_{Ac2-26}$ +Boc2). We found that administration of AnxA1 $_{Ac2-26}$  delayed the time of onset in the venules of mice treated with LPS vs. LPS alone (p < 0.05), suggesting a propensity for the AnxA1 peptide to initiate an early anti-thrombo-inflammatory response against inflammation-induced thrombosis.



**Figure 1.** AnxA1<sub>Ac2-26</sub> protects against the effects of endotoxin (LPS) in light/dye-induced thrombosis responses in the cerebral microcirculation. **(A)** Schematic showing the experimental protocol: (i) Mice (C57BL/6) were subjected to vehicle (saline) or LPS (0.4 mg/kg) for 2 h. (ii) Mice were treated with vehicle (saline) or compound(s): AnxA1<sub>Ac2-26</sub> (4 mg/kg) with/without pan FPR antagonist Boc2 (0.4 mg/kg) or FPR2/ALX antagonist WRW4 (2.2 mg/kg) 20 min prior to thrombosis. (iii) A cranial window was performed and (iv) fluorescein isothiocyanate (FITC)-dextran was injected (10 mg/kg of 5%). (v) Mice were subjected to intravital microscopy and light/dye-induced thrombus formation, with onset and blood flow cessation times recorded for cerebral **(B)** arterioles and **(C)** venules. Data are means  $\pm$  SEM of 5–6 mice/group. \* p < 0.05, \*\*\* p < 0.01, \*\*\*\* p < 0.001, \*\*\*\* p < 0.001 vs. corresponding LPS group. \$\$\frac{\$\strue{5}\strue{5}}{2}\$ p < 0.0001 vs. same group for onset time. ^ p < 0.05, ^\*\*\* p < 0.001 vs. corresponding LPS+AnxA1<sub>Ac2-26</sub> group.

As previously reported in C57BL/6 mice [34], LPS treatment augmented thrombus formation in the cerebral microcirculation (as noted by the decreased blood flow cessation times in LPS-treated mice vs. their saline-treated counterparts). This pro-thrombotic environment was mitigated by the

treatment of AnxA1<sub>Ac2-26</sub>, which was effective in both vessel types analysed (arterioles:  $16.7 \pm 1.0$  min vs.  $30.5 \pm 2.7$  min; venules:  $9.3 \pm 1.3$  min vs.  $17.3 \pm 2.4$  min; LPS vs. LPS+ AnxA1<sub>Ac2-26</sub>, respectively).

Having established the anti-thrombotic effect of  $AnxA1_{Ac2-26}$  against inflammation-induced thrombosis, we next ascertained whether these effects were mediated by the classic AnxA1/Fpr pathway. Figure 1B,C shows the FPR pan-antagonist Boc2 inhibited the actions of the AnxA1 mimetic peptide (p < 0.0001) in cerebral arterioles, but not in venules, suggesting a vessel-specific mechanism of action via the FPR family. (No effect was observed in either onset or blood flow cessation times for Boc2 administration alone vs. LPS; see Figure S1).

To further expand on these findings and tease out through which member(s) of the FPR family  $AnxA1_{Ac2-26}$  was exerting its protective effects, mice were co-administered  $AnxA1_{Ac2-26}$  with WRW4 (specific FPR2/ALX antagonist). We found that WRW4 blocked  $AnxA1_{Ac2-26}$  afforded protection in both cerebral arterioles (p < 0.001) and venules (p < 0.05), thereby confirming an FPR2/ALX mechanism (Figure 1B,C). (Figure S1 shows that no effect was noted in either onset or blood flow cessation times for administration of WRW4 alone vs. LPS). These data further support the growing body of evidence that the FPR2/ALX pathway plays a crucial role in both thrombotic and inflammatory pathways in the brain microvasculature.

# 3.2. AnxA1<sub>Ac2-26</sub> Reduces the Effect of Endotoxin-Induced Platelet Activation

Since  $AnxA1_{Ac2-26}$  was able to reduce thrombosis, we next sought to determine whether exogenous administration of  $AnxA1_{Ac2-26}$  had any effect on the haemostatic activity of platelets. Figure 2A shows that  $AnxA1_{Ac2-26}$ -treated LPS mice exhibited decreased bleeding times (p < 0.001), and these observations were not related to changes in circulating platelet cell counts as  $AnxA1_{Ac2-26}$  administration had no effect on the LPS-heightened platelet cell counts (Figure 2B). The interaction of platelets with neutrophils is involved in many thrombo-inflammatory responses. Furthermore, LPS is a potential mediator of neutrophil extracellular traps (NETosis) and induces platelet–neutrophil aggregate formation, and neutrophils have been shown to be essential for platelet recruitment in endotoxaemic models [37,38]. Here, we found that circulating neutrophil counts were heightened in those mice challenged with LPS, but these levels were not modified by  $AnxA1_{Ac2-26}$  (Figure S3). We also found that the peptide was able to act as an anti-aggregant in its ability to moderate platelet aggregation induced by LPS (by reducing the velocity of platelet–platelet aggregate formation; see Figure 2C). These data provide further evidence that not only is the AnxA1 mimetic peptide a known anti-inflammatory drug, but it also has potential as an effective anti-platelet drug.

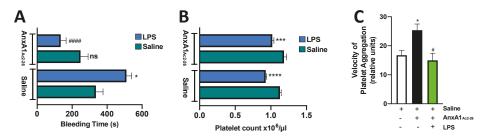


Figure 2. AnxA1<sub>Ac2-26</sub> treatment inhibits endotoxin-induced activation of the platelet activation. (A) tail bleeding times and (B) peripheral blood platelet counts were assessed following saline (vehicle) or AnxA1<sub>Ac2-26</sub> (4 mg/kg) administration for 20 min following 2 h saline (vehicle) or LPS (0.4 mg/kg) administration. (C) Isolated platelets were treated with saline or LPS (7.5  $\mu$ g/mL) with or without AnxA1<sub>Ac2-26</sub> (1  $\mu$ g/mL) and the velocity of aggregate formation was measured using a low-angle light-scattering technique. Data are means  $\pm$  SEM of 5–6 mice/group. \* p < 0.05, \*\*\*\* p < 0.001, \*\*\*\* p < 0.001 vs. corresponding vehicle (saline). \*\* p < 0.05, \*\*\*\* p < 0.0001 vs. corresponding LPS group. ns—non-significant vs. corresponding saline group.

# 3.3. AnxA1<sub>Ac2-26</sub> Affords Protection against Cerebral Thrombo-Inflammation

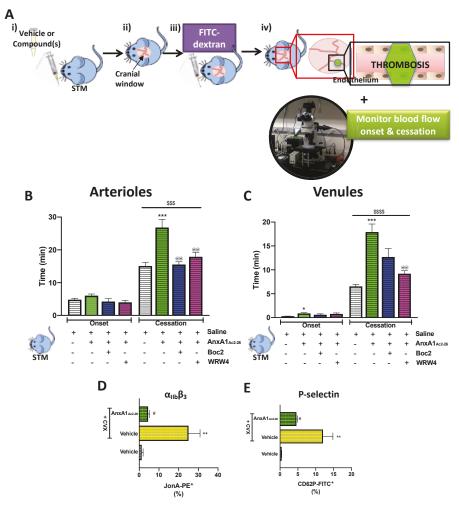
Having assessed the anti-thrombotic effect of AnxA1 $_{Ac2-26}$  on endotoxin (LPS)-enhanced thrombosis, a model which is known to favour venular thrombosis [39], we next wanted to determine whether the protective effect of the peptide was model-specific. SCD assumes a pro-inflammatory and pro-thrombotic phenotype throughout the microvasculature. As such, we performed the light/dye thrombosis model in STM, which are known to share these clinical features [32,40,41]. Figure 3 shows that without stimulation, STM assume a pro-thrombotic phenotype, as evidenced by much quicker (~50% quicker) blood flow cessation times than non-STM (Figure S2) in both sides of the vascular tree (arterioles:  $33.2 \pm 1.8$  min vs.  $15.1 \pm 1.2$  min (p < 0.05); venules:  $15.1 \pm 1.2$  vs.  $6.5 \pm 0.4$  (p < 0.05); non-STM vs. STM). Moreover, in comparison to saline-treated STM, administration of AnxA1 $_{Ac2-26}$  significantly protected (p < 0.01) against thrombotic events by causing a significant increase in blood flow in arterioles by 47.8% and in venules by 63.5%, respectively, an effect that was again mitigated by the co-administration of either Boc2 or WRW4 (Figure 3B,C). (No differences were observed in blood flow onset times when either of the two antagonists were administered alone; see Figure S4). Interestingly, AnxA1 $_{Ac2-26}$  also delayed the onset time in venules (Figure 3B), an effect that concurred with the effect on cerebral venules following LPS-induced thrombosis (Figure S2).

# $3.4.\ AnxA1_{Ac2-26}$ Primes Platelet Activation via GPVI Pathway Regulation in SCD-Associated Thrombo-Inflammation

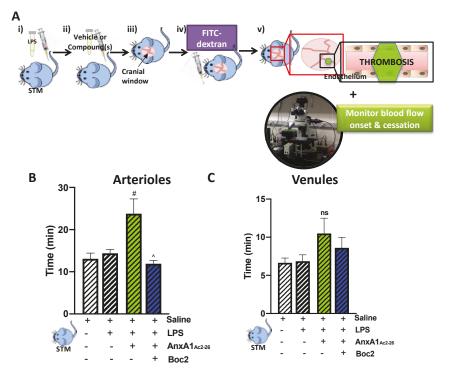
Having found that  $AnxA1_{Ac2-26}$  was able to modify the thrombo-inflammatory environment by prolonging blood flow cessation in the cerebral microcirculation in both arterioles and venules, we next sought to investigate the influence of this AnxA1 mimetic peptide on a key platelet receptor which is known to play a central role in thrombosis, i.e., GPVI. This receptor is able to stimulate platelet adhesion by its ability to enhance the affinity of other integrins such as  $\alpha_{IIb}\beta_3$  via inside-out signalling mechanisms [42]. Figure 3D,E show that stimulation of platelets with the GPVI collagen receptor agonist CVX potentiated both  $\alpha_{IIb}\beta_3$  (Figure 3C) and P-selectin in STM (Figure 3D). Direct treatment of platelets with  $AnxA1_{Ac2-26}$  (30  $\mu$ M) was found to suppress these levels (p < 0.05); although the effects on P-selectin expression were significant, they were less dramatic as those observed with  $\alpha_{IIb}\beta_3$ . Taken together, these findings suggest that  $AnxA1_{Ac2-26}$  is able to reduce the susceptibility of platelets to interact with collagen receptors, thereby reducing the propensity for platelets to aggregate and cause thrombosis in a thrombo-inflammatory environment.

# 3.5. Exploiting the AnxA1/FPR2/ALX Pathway as a Therapeutic Strategy to Alleviate Thrombo-Inflammation

A pro-inflammatory disease state exists in SCD, which predisposes patients to vaso-occlusion (VOC) in response to triggering factors such as infection [43], thus heightening the risk for stroke [11], acute chest syndrome [44], and early death [45]. As such, in the final part of the study, we validated the therapeutic potential of  $AnxA1_{Ac2-26}$  as a treatment therapy against thrombo-inflammation in STM following LPS injection and photoactivation to induce a VOC (Figure 4A). Markedly, STM mice treated with LPS did not display an additive thrombo-inflammatory response (Figure 4B,C) above that observed in the absence of LPS (Figure 3B,C), suggesting an already exhaustive SCD phenotype. Nonetheless, Figure 4B shows that STM mice treated with  $AnxA1_{Ac2-26}$  caused a prolongation of blood flow cessation responses in arterioles, which was abrogated in the presence of Boc2. This protective effect afforded by the peptide was not mirrored in cerebral venules, despite there being a trend towards an increase (Figure 4C).



**Figure 3.** AnxA1<sub>Ac2-26</sub> affords protection against cerebral thrombo-inflammation in STM and modifies cell surface expression of platelet receptors. (**A**) Schematic showing the experimental protocol: (i) sickle cell transgenic mice (STM) were treated with vehicle (saline) or compound(s): AnxA1<sub>Ac2-26</sub> (4 mg/kg) with/without pan FPR antagonist Boc2 (0.4 mg/kg) or FPR2/ALX antagonist WRW4 (2.2 mg/kg) 20 min (mins) prior to thrombosis. (ii) A cranial window was performed and (iii) FITC-dextran was injected (10 mg/kg of 5%) and allowed to circulate for 10 min before STMs were subjected to (iv) intravital microscopy and light/dye-induced thrombus formation. Onset time and blood flow cessation times were recorded for cerebral. (**B**) arterioles and (**C**) venules. (**D+E**) Activated integrin  $\alpha_{\text{IIb}}\beta_3$  and P-selectin were quantified using flow cytometry in platelets isolated from STM following 15 min stimulation with GPVI collagen receptor agonist, convulxin (CVX, 1.7 ng/mL). Vehicle (saline) or AnxA1<sub>Ac2-26</sub> (30 μM) was given 30 min prior to activation, followed by vehicle (saline) or LPS (7.5 μg/mL for 5 min). (**D**) % of activated integrin  $\alpha_{\text{IIb}}\beta_3$  (JON-A) and (**E**) % of activated P-selectin expression (CD62P). Data are means ± SEM of 5–6 mice/group. \* p < 0.05, \*\* p < 0.01, \*\*\*\* p < 0.001 vs. corresponding vehicle (saline). \*\*



**Figure 4.** AnxA1<sub>Ac2-26</sub> affords arteriolar protection against cerebral inflammation-induced thrombosis in sickle cell transgenic mice (STM). **(A)** schematic showing the experimental protocol: (i) STM were subjected to vehicle (saline) or LPS (0.4 mg/kg) for 2 h and (ii) treated with vehicle (saline) or compound(s): AnxA1<sub>Ac2-26</sub> (4 mg/kg) with/without pan FPR antagonist Boc2 (0.4 mg/kg) 20 min prior to thrombosis. (iii) A cranial window was performed and (iv) FITC-dextran was injected (10 mg/kg of 5%). (v) Mice were subjected to intravital microscopy and light/dye-induced thrombus formation, with onset and blood flow cessation times recorded for cerebral (**B**) arterioles and (**C**) venules. Data are means  $\pm$  SEM of 5–6 mice/group. # p < 0.05 vs. LPS group.  $^{\circ}p$  < 0.05, vs. LPS+AnxA1<sub>Ac2-26</sub> group. ns—non-significant vs. corresponding LPS group.

# 4. Discussion

The interplay between thrombosis and inflammation (thrombo-inflammation) occurs in a broad range of human disorders (including stroke, sepsis, and SCD), with ensuing complications transpiring to be more hazardous in the microvasculature of injured tissues and organs [46]. Given the devastating impact of pathological thrombo-inflammation, there is an unmet clinical need to understand the complex pathophysiology for therapeutic development of drugs that are more efficacious, have fewer side-effects, and are devoid of bleeding complications that would ultimately undermine the clinical benefit. Here, using pharmacological and genetic approaches together with a photoactivation thrombosis (light/dye) model coupled with intravital microscopy, we provide further evidence of the multifaceted role of AnxA1 N-terminal mimetic peptide AnxA1 $_{Ac2-26}$  as an anti-thrombotic and anti-coagulant agent. Our data demonstrate that AnxA1 $_{Ac2-26}$  affected not only the haemostatic action of platelets (e.g., reduced bleeding times), but moderated platelet aggregation (e.g., diminished the propensity for platelets to form platelet–platelet aggregates) and lowered thrombogenesis (i.e., reduced the time of onset of platelet deposition/aggregation within cerebral vessels), thereby reducing LPS-induced thrombosis. Furthermore, the AnxA1 peptide was able to decrease  $\alpha_{IIb}\beta_3$  activation and reduce P-selectin expression elicited by GPVI, inhibiting platelet activation and thrombosis in

STM. Taken together, our data reveal that  $AnxA1_{Ac2-26}$  affords protection by altering the haemostatic action of platelets, modulating platelet cell surface molecules (e.g.,  $\alpha_{IIb}\beta_3$  and P-selectin), and reducing platelet heterotypic aggregation. In so doing,  $AnxA1_{Ac2-26}$  reduces platelet activation, adhesion, and aggregation and tempers thrombosis. Collectively, these results highlight the potential for  $AnxA1_{Ac2-26}$  as a viable therapy for the management of thrombo-inflammatory disorders (as shown in Figure 5).

The resolution of inflammation is a tightly orchestrated process that is controlled by endogenous biosynthetic mediators such as AnxA1 and its mimetic peptide (AnxA1 $_{Ac2-26}$ ). Both these compounds have been shown to act at various points in the inflammation-resolution pathway [47]. The known anti-inflammatory actions of AnxA1 $_{Ac2-26}$  (e.g., inhibiting neutrophil recruitment, decreasing neutrophil endothelium interactions, and suppressing inflammatory cytokine production [47]) have been widely studied and these actions eventually contribute to inflammation resolution by enabling apoptosis [14] and phagocytosis [29]. Previously, it was thought that inflammation and thrombosis were two independent processes. However, the innate and coagulation systems are so intertwined that these two processes are now considered to be in part the same, in that microvascular thrombosis is accompanied by inflammation and vice versa, an association referred to as thrombo-inflammation [17]. However, a greater understanding of the links between inflammation and thrombosis is needed in order to develop new therapeutic opportunities [29]. Our laboratory is actively pursuing therapeutic drug discovery programs focused on the concept of thrombo-inflammation resolution, with the AnxA1/FPR2/ALX pathway being of significance.

It is estimated that >80% of sepsis patients have either clinical or subclinical hypercoagulopathy, increasing the risks for both thrombosis and haemorrhage [48,49]. These increased thrombotic risks can function not only as acute triggers for stroke but are associated with poor long-term prognosis after stroke [48]. Endotoxin (LPS) is a component of Gram-negative bacteria cell walls, producing many clinical manifestations of Gram-negative sepsis [50]. Using an animal model of endotoxaemia, we found AnxA1<sub>Ac2-26</sub> treatment counteracted the enhanced microvascular thrombus formation, an effect which was found to be mediated through Fpr2/ALX. These protective effects are in line with the defensive actions of AnxA1<sub>Ac2-26</sub> on the inflammatory cascade that have been observed previously in the microcirculation post-LPS challenge. In these studies, AnxA1<sub>Ac2-26</sub> was able to abrogate leukocyte adhesion and plasma protein extravasation in the brain [33] and the mesentery. Furthermore, AnxA1 and AnxA1<sub>Ac2-26</sub> both cause leukocyte detachment, reducing the inflammatory environment and driving resolution [51]. Within this study, we have found that although AnxA1<sub>Ac2-26</sub> treatment prolonged blood flow cessation time within a thrombotic LPS environment, it also lowered thrombogenesis (i.e., reduced the time of onset of platelet deposition/aggregation within cerebral vessels). Previously, we have shown that whole protein AnxA1 treatment reduces platelet adhesion to the cerebral endothelium following cerebral I/RI [17]. However, although thrombosis and inflammation are interlinked processes, the lack of effect on platelet adhesion observed here may relate to the type of model (thrombotic vs. inflammatory) and/or the possibility that, as with the parent compound in cerebral I/RI, AnxA1<sub>Ac2-26</sub> is orchestrating a complex change in the platelet phenotype from pro-pathogenic to regulatory (which concurs in cerebral I/RI [29]) and in doing so, enhances blood flow cessation times.

In the clinic, the pro-thrombogenic phenotype observed in sepsis patients is often accompanied by thrombocytopenia (with prolonged time span of thrombocytopenia being correlated with increased mortality in intensive care patients [52]), reactivity of platelets and by an imbalance between procoagulant and anticoagulant mechanisms [49]. Thus, platelet count is a useful marker of adverse disease activity, although it remains unclear as to whether the reduction in the number of platelets is mechanistically linked to heightened platelet activity and increased thrombosis. Here, LPS treatment caused thrombocytopenia which concurred with previous findings, not only by our group [53], but also by others e.g., George et al. showed LPS to induce severe thrombocytopenia and inflammation resulting in spontaneous intra-alveolar haemorrhage [54] and Hillgruber et al. concluded that thrombocytopenia

in the skin and lungs can be limited by targeting neutrophil diapedesis through the endothelial barrier [55], demonstrating the importance of the cross-talk between neutrophils and platelets. In our study, although  $AnxA1_{Ac2-26}$  did not affect thrombocytopenia induced by LPS (which may relate to the mechanisms that regulate thrombosis and haemostasis), it did reduce bleeding time in an in vivo assay that is widely used to assess the haemostatic action of platelets [56]. These findings are of clinical significance because the efficacy of anti-platelet agents is often limited by bleeding complications. Genetic AnxA1 deletion is not associated with spontaneous thrombosis or bleeding but leads to exacerbated cerebral inflammatory responses following experimental ischaemic stroke [29]. Furthermore, decreased circulating levels of AnxA1 are present in thrombo-inflammatory conditions including ischaemic stroke, SCD, sepsis, Crohn's disease, and obesity [29]. Taken together, these results demonstrate  $AnxA1_{Ac2-26}$  attenuates LPS-induced peripheral bleeding and intravascular thrombosis, affording a therapeutic strategy for thrombotic complications.

Defining molecular mechanisms regulating thrombo-inflammation in specific disease states is of major clinical importance. Having characterised the effects of  $AnxA1_{Ac2-26}$  on inflammation-induced thrombosis instigated by LPS, we next wanted to ascertain whether the protective effects elicited by the AnxA1 peptide were specific to the LPS experimental model of thrombo-inflammation. As such, we focused on SCD, an inherited autosomal recessive disorder (resulting from a single amino acid substitution in the haemoglobin  $\beta$  chain) whose pathophysiology is characterized by relentless thrombo-inflammation, enabling heightened propensity for I/RI events such as stroke [7,8].

As observed with mice stimulated with LPS, STM had comparable cerebral responses in both arterioles and venules when exposed to light/dye thrombosis, supporting findings demonstrating that a pro-inflammatory phenotype exists within their cerebral microvasculature (as quantified by enhanced leukocyte–endothelial cell adhesion and increased ROS production [32,57]). AnxA1 $_{Ac2-26}$  treatment reduced cerebral thrombosis and the use of Fpr antagonists Boc2 and WRW4 confirmed that these protective effects were caused by receptor engagement of peptide AnxA1 $_{Ac2-26}$  to Fpr2/ALX. Furthermore, research from our laboratory (Ansari et al., 2020, under review) has also shown AnxA1 $_{Ac2-26}$  to reduce citrullinated histone-3 (H3Cit+)-rich neutrophil extracellular trap (NET) production in SCD. These findings (along with those from other groups [58,59]) are of clinical significance as NETs have been recognized as critical components for venous and arterial thrombosis and inhibition of pathological NET formation may be beneficial for thrombo-inflammatory events and disorders such as SCD [59]. Taken together, these results demonstrate the versatility and innovative approach of AnxA1 $_{Ac2-26}$  as a therapeutic compound for the resolution of thrombo-inflammation.

We uncovered that although the Fpr-pan antagonist Boc2 abrogated the effects of the peptide in arterioles, no statistically significant effect was observed in venules irrespective of the thrombo-inflammatory environment, although there was a trend towards abrogation. The same could not be said for the Fpr2/ALX-specific antagonist WRW4, which was effective in annulling the peptide's responses irrespective of vessel type or thrombo-inflammatory model. This dichotomy of behaviour of the peptide is less likely to be due to antagonist doses (as these were chosen based on dose-response curves) but could be due the fact that FPRs have a large number of diverse unrelated ligands that are able to bind to the receptor family to elicit pro- and anti-inflammatory effects that are specific to ligand and cell type. More recently, the concept of biased agonism has been coined to describe "the ability of a ligand to selectively activate subsets of downstream signalling pathways coupled to a receptor while inhibiting others" [60], which could help to explain differences observed between AnxA1<sub>Ac2-26</sub> and co-administration with either the pan-antagonist Boc2 or the Fpr2-specific antagonist WRW4. Other factors that could be accountable for the variations in peptide and antagonist responses could be the known physiological differences between arterioles and venules e.g., shear rates (being predominantly higher in arterioles vs. venules) and differences in the thrombi formed in these respective vessels e.g., arteriolar thrombi being platelet-rich, but venular thrombi also containing leukocytes (typically near the surface of the microvascular thrombi) [61]. Despite these disparities, our data demonstrate the potent activity and versatility of AnxA1<sub>Ac2-26</sub> to mitigate both LPS- and

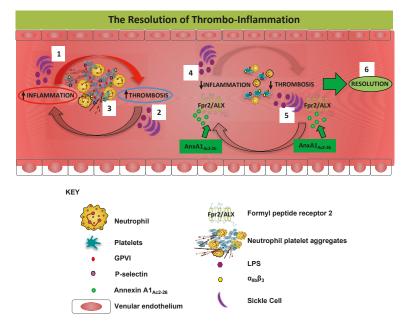
SCD-associated cerebral thrombosis (in both arterioles and venules) in an Fpr2/ALX-dependent manner. Correspondingly, whole protein AnxA1 has also been shown to afford protection against subsequent thrombotic events post stroke, demonstrating the diversity of AnxA1 and its mimetic peptide [29].

Platelets play a pivotal role in normal haemostasis and thrombus formation, and more recently have been found to also play a role in maintaining barrier function [6]. Once activated, platelets undergo a shape change to enhance adhesiveness, a process mediated by exposed glycoprotein receptors on the platelet surface [6]. GPVI is a unique platelet membrane glycoprotein whose binding with collagen (which is exposed on the extracellular matrix following stroke [6]) results in platelet activation and adhesion, and ultimately, thrombus formation. Platelets primarily rely on signalling through GPVI and C-type lectin-like type II transmembrane receptor (CLEC-2) to prevent bleeding [62]. GPVI, via inside-out signalling, enhances the affinity of integrins such as  $\alpha$ IIb $\beta$ 3 (the most abundant platelet receptor (80,000–100,000 copies per platelet) and necessary for aggregation), leading to platelet adhesion [53]. As such, given the important role that GPVI plays in thrombosis, we used flow cytometry to investigate whether the anti-thrombotic effects of AnxA1<sub>Ac2-26</sub> involved GPVI signalling. By directly activating the GPVI pathway using CVX, we were able to discern that the AnxA1 peptide was able to decrease  $\alpha_{IIb}\beta_3$  activation and the surface expression of P-selectin, which in turn inhibits platelet activation. Interestingly, we have previously found that the parent protein does not reduce P-selectin expression in thrombin-stimulated platelets [29]. One might speculate that these discrepancies may lie in the different platelet stimuli and/or the downstream responses elicited by the binding of either the whole protein or the peptide to Fpr2/ALX and subsequent conformational changes of the receptor [26]. Equally, these effects may simply relate to the varied regulatory functions afforded by the peptide during the host defence response. Further experiments will help to tease out these mechanisms.

Other regulatory mechanisms (such as those involving cyclooxygenase 2 ('COX2')/ hydroxyeicosatetraenoic acid-5 (HETE-5)/Lipooxygenase) may also be involved in the role that the AnxA1/Fpr2/ALX pathway plays in thrombo-inflammation. AnxA1 (and its mimetic peptide AnxA1<sub>Ac2-26</sub>), Lipoxin A<sub>4</sub>, and ATL (produced after aspirin acetylation of inducible COX-2) all exert their protective effects through FPR2/ALX at different phases of the inflammatory response. Phospholipase (e.g., calcium-dependent cytosolic phospholipase A<sub>2</sub> ("cPLA<sub>2</sub>")) activity releases arachidonic acid ("AA") from phospholipids in the outer nuclear membrane. Once released, the free fatty acid can be metabolized via enzymatic pathways including the (COX) and lipoxygenase (LOX) pathways, generating 2-series prostaglandins (PGs) and thromboxanes (Txs) (COX pathway) or 4-series leukotrienes (LTs) and hydroxyeicosatetraenoic acids (HETEs) (LOX pathway) [63]. Transcellular production of lipoxins and leukotrienes ensues when contact is made between e.g., neutrophils and platelets. Previous research from our laboratory has shown that when aspirin is administered to mice with experimental stroke, they can produce ATL, which triggers pro-resolving responses through the engagement of the AnxA1/Fpr2/ALX pathway [17]. Furthermore, ASA administered to healthy volunteers is also capable of producing bioactive levels of ATL [64]. More recently, Sanches et al. showed that macrophages lacking AnxA1 have increased AA metabolism and eicosanoid production. This lack of AnxA1 favours LPS "over-priming" and exacerbated NLR Family Pyrin Domain Containing 3 ("NLRP3") activation, demonstrating an important role for AnxA1 in inflammasome activation [63]. Other studies have also shown AnxA1 to exert its effects via the FPR2/ALX/p38 mitogen-activated protein kinase ("MAPK")/COX-2 pathway in an experimental model of intracerebral haemorrhage, although other MAPKs may also be involved [65].

Patients with thrombo-inflammation (including stroke, sepsis, and SCD) all present with abnormal circulating platelet–leukocyte aggregates (with platelets binding to neutrophils in a P-selectin-dependent mechanism, an interaction that primes the leukocyte and promotes integrin activation), increasing their propensity to develop disseminated intravascular coagulation [49]. Studies have indicated that ischaemic stroke is not simply mediated by platelet aggregation, but also by other intravascular cells including neutrophils, although it remains unclear whether the direct interaction between platelets and neutrophils is critical for the pathogenesis of ischaemic stroke [59].

Von Brühl et al. demonstrated leukocytes (predominantly neutrophils) crawl and adhere to the endothelium, initiating and propagating venous thrombosis [66]. Additionally, neutrophils have now been shown to be involved in arterial thrombosis as well [67], although platelet activation and aggregation is the main driving factor. Furthermore, several distinct mechanisms have been postulated for neutrophil involvement in thrombosis including: the transfer of tissue factor from neutrophils to platelets, inducing thrombosis [68]; the release of specific mediators that affect thrombosis, including cathepsin G and neutrophil elastase (which inactivate anticoagulant systems such as tissue factor pathway inhibitor, thrombomodulin, and antithrombin), neutrophil oxidants (which e.g., inactivate thrombomodulin and ADAMTS13), and micro-RNAs [59]; and the generation of NETs which can trap and activate platelets via histone production [69]. Of interest, more recently, COVID-19 patients have been shown to have increased serum markers of NETs including myeloperoxidase-DNA (MPO-DNA) and H3Cit. Given our recent findings (Ansari et al., 2020, under review) that AnxA1<sub>Ac2-26</sub> is able to reduce H3Cit<sup>+</sup>-rich NET production, transforming neutrophil phenotype from pro-NETotic to pro-apoptotic (thereby driving thrombo-inflammation resolution in SCD), we are currently investigating whether these findings can be exploited to provide therapeutic value for COVID-19 patients [70].



**Figure 5.** Anti-inflammatory and pro-resolving effects of targeting the AnxA1/Fpr2/ALX pathway against thrombo-inflammation. Thrombo-inflammatory conditions such as sepsis [35] and sickle cell disease (SCD) [71] induce inflammation (Box 1) as well as thrombus formation (Box 2) in the cerebral microcirculation enabling thrombo-inflammation (as indicated by e.g., heightened platelet GPVI,  $\alpha_{\text{IIb}}\beta_3$  and P-selectin expression, and increased platelet–platelet (homotypic) aggregates and platelet-neutrophil (heterotypic) aggregates). Neutrophils also produce neutrophil extracellular traps (NETs) which can exacerbate thrombo-inflammation (Box 3). AnxA1<sub>Ac2-26</sub> inhibits lipopolysaccharide (LPS) and SCD-induced cerebral inflammation (Box 4) and cerebral thrombosis (Box 5) via Fpr2/ALX. The AnxA1 peptide affords protection by altering the haemostatic action of platelets, modulating platelet cell surface molecules elicited by GPVI (e.g.,  $\alpha_{\text{IIb}}\beta_3$  and P-selectin), and reducing platelet (homotypic and heterotypic) aggregation. Thus, AnxA1<sub>Ac2-26</sub> promotes the resolution of thrombo-inflammation by reducing platelet activation, adhesion, and aggregation which cause and promote thrombosis (Box 6).

#### 5. Conclusions

In conclusion, we provide strong supporting evidence that AnxA1 mimetic peptide AnxA1 $_{Ac2-26}$  possesses an arsenal of immune responses extending beyond that of an anti-inflammatory (e.g., attenuation of leukocyte-platelet responses post stroke, reduction of lipopolysaccharide-induced leukocyte adhesion and migration) and pro-resolution mediator to also include a role as an anti-coagulant and anti-thrombotic agent. These combined effects make AnxA1 $_{Ac2-26}$  a promising therapeutic candidate for promoting resolution in the context of thrombo-inflammatory diseases/conditions.

Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4409/9/11/2473/s1, Figure S1: Effect of FPR antagonists. Mice (C57BL/6) were subjected to vehicle LPS (10 µg/mouse) for 2 h and treated with vehicle (saline), pan FPR antagonist Boc2 (10 µg/mouse) or FPR2/ALX antagonist WRW4 (55 µg/mouse) 20 min prior to light/dye-induced thrombus formation, with time of onset and blood flow cessation times recorded for cerebral (A) arterioles and (B) venules. Data are means ± SEM of 5–6 mice/group. \$\$\$\$ p < 0.0001 vs. same group for onset time, Figure S2: Onset and blood flow cessation times in mice with/without endotoxaemia. C57BL/6 mice or sickle cell transgenic mice (STM) were subjected to vehicle (saline) or LPS (0.4 mg/kg) for 2 h. A cranial window was performed, and FITC-dextran injected (10 mg/kg of 5%). Mice were then subjected to intravital microscopy and light/dye-induced thrombus formation, with time of onset and blood flow cessation times recorded for cerebral (A) arterioles and (B) venules. Data are means  $\pm$  SEM of 5–6 mice/group. \* p < 0.05, \*\* p < 0.01 vs. C57BL/6 saline group. \* p < 0.01 vs. STM saline group. \$ p < 0.05, \$\$ p < 0.001 vs. same group for onset time, Figure S3: AnxA1<sub>Ac2-26</sub> did not affect neutrophil counts in LPS-treated mice. Peripheral blood neutrophil counts were assessed following saline (vehicle) or AnxA1<sub>Ac2-26</sub> (4 mg/kg) administration for 20 min following 2 h saline (vehicle) or LPS (0.4 mg/kg) administration. Data are means  $\pm$  SEM of 5–6 mice/group. \*\* p < 0.01, \*\*\* p < 0.001 vs. LPS vehicle (saline), Figure S4: Effect of FPR antagonists in sickle cell transgenic mice (STM). STM were subjected to vehicle LPS (10 µg/mouse) for 2 h and treated with vehicle (saline), pan FPR antagonist Boc2 (10 µg/mouse) or FPR2/ALX antagonist WRW4 (55 µg/mouse) 20 min prior to light/dye-induced thrombus formation, with time of onset and blood flow cessation times recorded for cerebral (A) arterioles and (B) venules. Data are means  $\pm$  SEM of 5–6 mice/group. \$\$55\$ p < 0.0001 vs. same group for onset time.

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Article

# RNA-Sequencing-Based Transcriptomic Analysis Reveals a Role for Annexin-A1 in Classical and Influenza A Virus-Induced Autophagy

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Abstract: Influenza viruses have been shown to use autophagy for their survival. However, the proteins and mechanisms involved in the autophagic process triggered by the influenza virus are unclear. Annexin-A1 (ANXA1) is an immunomodulatory protein involved in the regulation of the immune response and Influenza A virus (IAV) replication. In this study, using clustered regularly interspaced short palindromic repeats (CRISPR)-Cas9 (CRISPR associated protein 9) deletion of ANXA1, combined with the next-generation sequencing, we systematically analyzed the critical role of ANXA1 in IAV infection as well as the detailed processes governing IAV infection, such as macroautophagy. A number of differentially expressed genes were uniquely expressed in influenza A virus-infected A549 parental cells and A549  $\Delta$ ANXA1 cells, which were enriched in the immune system and infection-related pathways. Gene ontology and the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway revealed the role of ANXA1 in autophagy. To validate this, the effect of mechanistic target of rapamycin (mTOR) inhibitors, starvation and influenza infection on autophagy was determined, and our results demonstrate that ANXA1 enhances autophagy induced by conventional autophagy inducers and influenza virus. These results will help us to understand the underlying mechanisms of IAV infection and provide a potential therapeutic target for restricting influenza viral replication and infection.

Keywords: influenza; RNA-sequencing; transcriptomics; autophagy; Annexin-A1

#### 1. Introduction

Influenza A virus (IAV) is a respiratory pathogen which causes widespread infections globally. It is made up of an enveloped capsid that encloses single-stranded RNA. The RNA genome encodes for 13 proteins [1]. Specific viral proteins such as hemagglutinin (HA) and neuraminidase (NA) [2] are found on the surface as antigenic glycoproteins, while others such as matrix1 (M1) and matrix2 (M2) are found on the inside of bilayer lipid membranes [3]. Another viral protein, non-structural protein 1 (NS1), inhibits type 1 interferon (IFN) synthesis and Double-strand RNA (dsRNA)dependent protein kinase R, and thus impedes the host innate response [4,5]. When the virus enters the host cell, the viral life cycle begins. It uses host cell machinery for its replication and transcription [6].

Macroautophagy, microautophagy and chaperone-mediated autophagy are the three different types of pathways involved in autophagy, and all of them require lysosomal degradation [7]. Autophagy plays a role in host defense during pathogenic invasions by preventing viral replication through the removal of pathogenic protein aggregates in the cytoplasm [8]. However, emerging evidence has demonstrated that the autophagy process is regulated by the influenza virus for its benefit [9]. During virus infection, the virus requires the host cells to survive and proliferate and thus it will activate pro-survival mechanisms that include autophagy. Similarly, IAV diverts cell death induced by apoptosis to that of autophagy and this results in prolonged survival and increases virus titers because of enhanced viral replication and deregulation of immune responses [10]. The viral proteins, HA, M2 and NS1, have been reported to be involved in the induction of autophagy by IAV [11]. IAV can inhibit mechanistic target of rapamycin (mTOR) via regulating the mTOR inhibitor tumor suppressor protein 2 (TSC2) [12] and prevents autophagosome fusion with lysosomes via M2 [3], which contains a Microtubule-associated protein light chain 3 (LC3)-interacting domain, causing LC3 to localize to the plasma membrane [13]. This subverted autophagy as fusion was disallowed. Hence, influenza virus triggers the initiation of autophagy but prevents the final steps of autophagosome fusion with lysosomes, utilizing autophagy to accumulate viral components [14].

Briefly, macroautophagy begins with the isolation of the membrane to form a phagophore that is mediated by the unc-51 like autophagy activating kinase 1 (ULK1) kinase complex. Next, Beclin-1 and VSP34, also known as Class III phosphoinositide 3-kinase (PI3K) complex, drives nucleation of the isolated membrane. ATG9 and VMP1 subsequently recruit lipids to the isolated membrane. For the closure of isolated membrane and formation of an autophagosome, the two ubiquitin-like conjugate systems, ATG12-ATG5 and Microtubule-associated protein 1A/1B-light chain 3 (LC3), are involved. In the ATG12-ATG5 system, ATG7 (E1-like enzyme) and ATG10 (E2-like enzyme) are needed to help in the conjugation of ATG12 to ATG5, which is then linked to ATG16. Together the ATG12-ATG5-ATG16 complex forms an E3-like ligase of LC3 and stabilizes the autophagosome [15]. In the LC3 conjugation system, LC3 is cleaved by ATG4 to become LC3-I and LC3-I is converted to LC3-II after being conjugated to phosphatidylethanolamine. Hence, the ATG8/LC3 system plays an essential role in the proper development of autophagic isolation membranes and the biochemical changes of ATG8/LC3 (lipidation and membrane translocation) have been well established as an essential autophagy marker [16].

Annexin 1 (ANXA1), the 37 kDa protein containing 346 amino acids, was the first identified member of the annexin superfamily. It has a structure which consists of a core domain made of alpha helices. The regulatory region is localized at the N terminus, which contains sites for phosphorylation and proteolysis [17]. In the presence of calcium, ANXA1 binding to negatively charged phospholipids was mediated by  $Ca^{2+}$ -binding motif which located in core domain [18]. ANXA1 was discovered to mediate the anti-inflammatory effect of glucocorticoids, where it inhibits the action of phospholipase A2 (PLA2) by both direct enzyme inhibition and suppression of cytokine-induced activation of the enzyme, limiting the supply of arachidonic acids needed for the synthesis of prostaglandins, thus suppressing inflammation [19].

Although initially discovered in the late 1970s due to its role in inflammation, ANXA1 has also been found to play a role in tumorigenesis, with multiple functions in proliferation, differentiation, apoptosis, migration and invasion [20]. We have recently reported that ANXA1 enhances endosomal trafficking of influenza virus and enhances apoptosis [21]. Furthermore, expression levels of ANXA1 were increased in porcine monocytes during infection with swine flu virus [22], and in human nasal swabs of influenza A virus-infected patients [21].

In this study, we aimed to understand the role of ANXA1 in influenza virus infection more deeply using RNA-sequencing based transcriptomic analysis. Using differential gene expression and gene ontology and subsequent verification experiments, we identified that ANXA1 plays an important role in autophagy induced by classical and viral means.

#### 2. Materials and Methods

#### 2.1. Mice

BALB/c ANXA1<sup>-/-</sup> mice were a kind gift from Prof. Roderick Flower from the William Harvey Research Institute, UK. Mice were age-matched and BALB/c mice were used as control mice for each experiment. All mice were maintained under pathogen-free conditions in the animal housing unit and were transferred to the ABSL2 facility for experiments involving infection with IAV. All animal work was approved by the Institutional Animal Care and Use Committee (Protocol number R13-5101) and followed National Advisory Committee for Laboratory Animals Research (NACLAR) Guidelines on the Care and Use of Animals for Scientific Purposes.

## 2.2. Viruses

For viral propagation, 1 hemagglutinating unit (HAU) virus A/Puerto Rico/8/1934(H1N1) Influenza A virus (A/PR8) was injected into 10–12-day incubated chicken eggs and further incubated for 3 days. On day 3, the eggs were chilled at  $-80\,^{\circ}\text{C}$  to euthanize the embryo and the allantoic fluid was collected. The fluid was spun in 100,000 molecular weight cutoff (MWCO) concentrators to concentrate the virus and viral plaque assays were performed to quantify the viral titers before use.

#### 2.3. Cell Culture

The human epithelial lung cancer cell line, A549 parental cell line (CCL-185, ATCC, Gaithersburg, MD, USA), A549  $\Delta$ ANXA1 cells, ATG5 wild-type Mouse Embryonic Fibroblasts (MEFs) and ATG5<sup>-/-</sup> MEFs were cultured at 37 °C in a humidified atmosphere with 5% CO<sub>2</sub> incubator. The media used for full nutrient and starvation medium were Dulbecco's Modified Eagle Medium (DMEM) and Earle's Balanced Salt Solution (EBSS) respectively.

# 2.4. A549-ΔANXA1 Cell Line Generation

To produce the A549- $\Delta$ ANXA1 cell line, clustered regularly interspaced short palindromic repeat-caspase 9 (CRISPR-Cas9) transfection was performed. The plasmid contains the two ANXA1 single guide RNA (sgRNA) (pls2#-CAAACTGTGAAGTCATCCAA and pls4#-ATGCAAGGCAGCGACATCCG were generated by Horizon Discovery Group). The single cell line of A549- $\Delta$ ANXA1 was isolated using the protocol from the Horizon Company online manual. Generally, A549 cells were seeded in 10 cm dishes and transfected with 10  $\mu$ g of plasmid per dish using Turbofect, according to the manufacturer's instructions. After 24 h, cells were sorted for positive green fluorescent protein (GFP) expression using the Beckman-Coulter Mo-Flo Legacy Cell Sorter into 96-well plates with 5 cells seeded per well. Cells were expanded to 6-well plates and a preliminary Western blot was conducted to screen for cells with less or no

ANXA1 present compared to A549 control cells. Those with less or no ANXA1 present were then seeded again into 96-well plates as single clones and expanded. A secondary Western blot was conducted to determine single cell clones with no ANXA1 present.

# 2.5. Total RNA Extraction, Library Construction and RNA-Sequencing and Data Analysis

Total RNA was isolated from cells, post treatment, using the RNeasy mini column purification kit (Qiagen, Limburg, The Netherlands) according to the manufacturer's instructions. Cells were washed in 1X PBS on ice, prior to RNA extraction. Total RNA extracts were run on the Agilent bioanalyzer using the eukaryote total RNA pico chips to determine the RNA integrity values (7.4–9.4, with an average of 8.8). TruSeq Stranded mRNA sample preparation was used as per the manufacturer's instructions for next-generation library preparation. Briefly, library preparation entailed: Purification of mRNA using poly-T oligo-attached magnetic beads, fragmentation of mRNA, first and second strand cDNA synthesis, A-tailing and ligation of adapters with multiplex indexes, according to the manufacturer's instructions. Samples were enriched with 15 PCR cycles followed by Agencourt AMPure XP magnetic bead (Beckman Coulter, Brea, CA, USA) clean up according to manufacturer's instructions. Quality of cDNA libraries was checked with Agilent D1000 Tapestation Assay (Agilent 4200 Tapestation System). Next-generation sequencing was performed using Illumina Hiseq 4000 flow cell, 2 × 151 base pair-end runs. PhiX was used as control.

The RNA-Seq transcriptome datasets were mapped to the Genome Reference Consortium Human Build 38 release 86 (GRCh38.r86) by using the Spliced Transcripts Alignment to a Reference (STAR) aligner [23]. Reads that were unambiguously mapped to a given gene were counted using the high-throughput sequencing (HTSEQ)-COUNT tool, available under the HTSeq python framework [24]. These gene-based raw read counts were used for differential gene expression analyses using Bioconductor package EdgeR [25]. Initially, sample read counts were adjusted for library size and normalized using the trimmed mean of m-values (TMM) method. Differential gene expression between groups were assessed using the exactTest method in EdgeR. Genes were called differentially expressed at false discovery rate (FDR) < 0.05. Differentially expressed genes with at least a log2 fold-change of  $\pm 1$  were considered for further functional annotation.

RNA-Sequencing data was submitted to Sequence Read Archive (SRA). Accession number has been provided (BioProject ID: PRJNA637807).

# 2.6. Gene Ontology (GO) and Gene Set Enrichment Analysis (GSEA) Pathway Analysis

Gene ontology (GO) enrichment analysis were performed by the Database for Annotation, Visualization and Integration Discovery (DAVID) Functional Annotation (https://david.ncifcrf.gov/home.jsp) and biological pathway analyses were carried out using REACTOME (http://www.reactome.org/PathwayBrowser). The differentially expressed genes (DEGs) list from two treatment groups: (1) A549 parental cells with or without infection (Group A: wild type (WT) infected versus WT Control) and (2) A549 ΔANXA1 cells with or without infection (Group B: knockout (KO) infected versus KO Control) were selected to perform the GSEA analysis by using WebGestalt (http://www.webgestalt.org/option.php).

# 2.7. Western Blot

Cells were harvested and centrifuged, and supernatant was collected for protein concentration using a BioRad spectrophotometer or stored at  $-80\,^{\circ}$ C. Samples were loaded onto 15% (sodium dodecyl sulphate-polyacrylamide gel electrophoresis) (SDS-PAGE) gel which was run in 1× running buffer at 120 V for 2 h following the transfer procedure. After which the nitrocellulose membranes were blocked using 3% skimmed milk before primary antibodies were added, and the blots were incubated overnight at

4 °C shaking. The secondary antibodies were added and were washed prior to chemiluminescent detection. The primary antibodies used were ANXA1 (sc-12740, Santa Cruz, Dallas, TX, USA), LC3 (# M152-3, MBL, Woburn, MA, USA), Atg3 (#3415, Cell signaling, Danvers, MA USA), β-Actin (#4970, Cell signaling, Danvers, MA, USA) and Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) (#5174, Cell signaling, Danvers, MA, USA). The secondary antibodies used were goat anti-mouse Horseradish Peroxidase (HRP) (#sc-2005, Santa Cruz, Dallas, TX, USA) and goat anti-rabbit HRP (#sc-2030, Santa Cruz, Dallas, TX, USA).

# 2.8. Confocal Microscopy

Coverslips were placed into each well of a 12-well plate. Cells were plated on top of the cover slips and left to incubate with full media and 10 uL of anti-LC3 B GFP antibody (Molecular probes by Life Technologies, Waltham, MA, USA) for 1 h at room temperature and treated with EBSS over various time points. 4% paraformaldehyde (PFA) was added to each well at 4 °C and DAPI (4',6-diamidino-2-phenylindole) was counterstained for 10 min. The cover slips were washed with PBS 3x and mounted onto microscope slides. The dry slides were visualized with a confocal microscope (Leica LSM 510, Wetzlar, Germany).

# 2.9. Influenza A Virus (IAV) Infection

For in vitro experiments, cells were plated in full media overnight, and washed with PBS. The inoculum containing 1 MOI (Multiplicity of infection) of HIN1 virus in serum-free medium was incubated with the cells according to the various treatment timings. After which, the media was aspirated, and the cells were washed with PBS. For in vivo experiments, WT and ANXA1 $^{-/-}$  Balb/c mice were infected with 500 plaque-forming unit (pfu) of influenza virus intratracheally. On days 0, 1, 3 and 5 post-infection, the mice were sacrificed, and their lung lysates were obtained for Western blot analysis.

#### 2.10. Statistical Analysis

Data shown are the mean ±standard error of the mean (SEM) of 3–5 independent experiments for in vitro work and 3–5 mice for mice work. Student's unpaired T test and two-way analysis of variance (ANOVA) for grouped data with Bonferonni's multiple comparison tests were performed for all datasets. GraphPad Prism (GraphPad software, San Diego, CA, USA) was used for analysis.

#### 3. Results

# 3.1. Detection of CRISPR-Cas9-Induced ANXA1 Mutations in A549 \( \Delta ANXA1 Cells \)

First, to study the role of ANXA1 in the context of IAV infection, ANXA1 was deleted from A549 cells using Crispr-Cas9 technology. Two gRNA sequences that target ANXA1 were custom designed by and obtained from Horizon Discovery. The plasmid-encoding gRNAs that target ANXA1 also encode for GFP, which is expressed once the plasmid has been transfected into the cell. The plasmids were transfected into A549 cells separately and cells positive for GFP were sorted using the Beckman-Coulter Mo-Flo Legacy Cell Sorter into 96-well plates. Single cell clones were expanded, and validation experiments were performed to confirm the deletion. Mutation of ANXA1 in sgRNA #4 regions located in domain I introduced a frameshift mutation which translated into a truncated ANXA1 protein. The off-target effects of #4 sgRNA were also analyzed by online tool, COSMID (https://crispr.bme.gatech.edu/) [26] (Supplemental Table S1). Our sequencing results revealed that no off-target mutations were detected at any of the off-target sites.

Transcript Expression Profiling in Influenza Virus-Infected A549 Parental and ΔANXA1 Cells

To understand the global and general transcripts expression profiles after IAV replication, and the importance of ANXA1 in this process, we performed global RNA-Sequencing (RNA-Seq) in A549 parental

and A549  $\Delta$ ANXA1 cells with and without IAV infection: (1) A549 parental cells with or without infection (Group A: WT infected versus WT Control) and (2) A549  $\Delta$ ANXA1 cells with or without infection (Group B: KO infected versus KO Control). After normalizing read count data and setting the significance and fold-change (p < 0.01 and log2 fold-change  $\geq |1|$ ), transcripts were significantly changed or differentially expressed (DE) and are listed in the Supplemental Table S2.

When A549-infected versus A549 control samples were compared, a total of 1498 differentially expressed transcripts were detected, of which included 1239 transcripts (82.7%) that were significantly upregulated and 259 transcripts (17.3%) which were significantly downregulated (p < 0.05) (Figure 1A). A549- $\Delta$ ANXA1-infected cells were compared against A549- $\Delta$ ANXA1-uninfected controls, and a total of 1302 differentially expressed transcripts were detected, and globally significant transcript expression patterns were plotted on a volcano map, illustrating that 90.9% of transcripts were upregulated while the remaining 9.1% were downregulated (Figure 1B). Three gene transcripts, MDM2 Binding Protein (MTBP), Pro-Melanin Concentrating Hormone (PMCH) and Fat mass and obesity-associated (FTO) were downregulated both in A549 parental and A549- $\Delta$ ANXA1 cells, together with 626 other transcripts which were upregulated in both cell types, indicating that these transcripts are ANXA1-independent (Figure 1C). Two sets of transcripts which are uniquely expressed in A549-infected and A549- $\Delta$ ANXA1-infected cells are listed in Supplemental Table S2 and globally expressed on volcano plots in Figure 1D,E. 605 upregulated transcripts and 256 downregulated transcripts were uniquely expressed in parental A549 cells infected with IAV, while 557 upregulated transcripts and 108 downregulated transcripts were uniquely expressed in A549- $\Delta$ ANXA1 cells infected with IAV.

## 3.2. Gene Ontology (GO) and GSEA Pathway Analysis

The transcripts which were commonly expressed in both WT (A549 parental cells) and KO (A549- $\Delta$ ANXA1) infected cells, as well as the transcripts which were uniquely expressed in both cell types, were analyzed further with gene ontology, focusing on biological process pathways. The top enriched fractions are shown in Figure 2 (p < 0.05) and Supplemental Table S3. For commonly expressed transcripts (red bars), representing ANXA1-independent genes, infection of IAV resulted in a high enrichment of the biological processes relating to the response to Redox state (GO0051775), regulation of cellular senescence (GO2000772) and negative regulation of wound healing (GO0061045). In unique transcripts, expressed uniquely in the A549 parental infected cells and not the A549- $\Delta$ ANXA1 cells (blue bars), representing genes which require ANXA1 either to be expressed or repressed, the most enriched terms are the response to type-1 interferons (GO0035455/6), and the regulation of viral genome replication (GO045070/1), which we have shown previously to be regulated by ANXA1 [21]. Of interest, macroautophagy (GO0016236) is also a biological process which is highly enriched and requires ANXA1.

For unique transcripts which are expressed in A549- $\Delta$ ANXA1-infected cells and not A549 parental cells (green bars), representing genes which can be regulated by ANXA1, the enriched biological processes include positive regulation of apoptosis (GO1900119), regulation of mitochondrial apoptosis (GO1900740) and actin cytoskeleton organization (GO0030036), which have also been shown previously to be regulated by ANXA1 [21]. The global distribution pattern for the top enriched pathway in each group is presented in Supplementary Figure S1.

Using DAVID (https://david.ncifcrf.gov/home.jsp), we looked closer into the genes which are involved in immunity and viral responses in both cell types (Figure 3A), and Interferon Induced Protein With Tetratricopeptide Repeats 1(IFIT1), IFIT2 and IFIT3, (also known as interferon stimulated gene factor 56 (ISG56), ISG54 and ISG60, respectively), which are all interferon-induced anti-viral proteins, are increased in A549 WT but not A549- $\Delta$ ANXA1 cells, signifying that ANXA1 is required for these genes. In addition, Tripartite motif-containing protein 5 (TRIM5) and TRIM56, which are tripartite motif-containing Ring-type

E3-ubiquitin protein ligases, which are also anti-viral proteins, also require ANXA1 to be expressed. Autophagy-related proteins' expression, which are controlled by ANXA1, include ATG5, ATG2B and other genes involved in protein trafficking in endosomes and lysosomes, such as vacuolar protein sorting 51 (VPS51) and VPS16 (Figure 3B). This is interesting as we have previously shown that ANXA1 can enhance endosomal trafficking of the virus [21]. Transcription factor XBP1 and serine threonine kinase STK11, which are also dependent on ANXA1 for expression after influenza infection, have both been shown to be important in the regulation of autophagy [27–30]. Therefore, we validated this finding in vitro in lung epithelial cells.

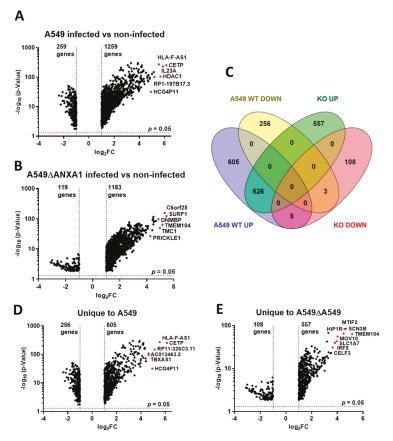


Figure 1. Global overview of the RNA-Sequencing data of influenza A virus (IAV)-infected lung epithelial (A549) cells with or without ANXA1 deficiency. (A,B) Volcano map showing the significant differentially expressed transcripts in A549 and A549-ΔANXA1. (C) The distribution abundance of differentially expressed genes/transcripts in upregulated and downregulated pattern in group A and B. MTBP (MDM2 Binding Protein), PMCH (Pro-Melanin Concentrating Hormone), FTO (alpha-ketoglutarate-dependent dioxygenase). (D,E) The unique expressed transcripts in group A and group B are plotted on a volcano map. The top genes selected showing the highest fold-changes are presented as red dots.

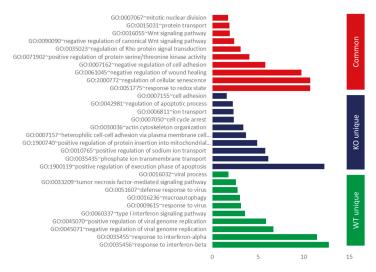


Figure 2. Gene ontology (GO) analysis of uniquely expressed genes/transcripts in A549 (WT) and A549- $\Delta$ ANXA1 (KO) infected versus control samples and commonly expressed transcripts. Top 10 of the most highly enriched items in the categories of Biological process (BP) are presented in three groups, respectively (p < 0.01). Logarithm (base 2) of the odds ratio of the enrichment of the GO items. The larger this number is, the stronger the enrichment of the GO items among transcripts in the dataset.

To further identify the potential function and pathway enrichment of DEGs in the two groups, GSEA was conducted to search significant pathways enriched in the highly expressed DEGs in both upregulation and downregulation genes (Supplementary Table S2). The top ten enriched gene sets in both up- and down-regulated expression pattern for Group A (WT infected versus WT Control) are shown in Supplementary Figure S2B. Two of the gene sets, i.e., plasma lipoprotein assembly, remodeling, and clearance, and Transcriptional Regulation by TP53 were enriched in upregulated DEGs (FDR > 0.05), while Viral mRNA Translation and Influenza Viral RNA Transcription and Replication were enriched in downregulated DEGs (FDR < 0.05) in group A (Supplementary Figure S2A). As for group B (KO infected versus KO Control), we found that gene sets of Regulation of Hypoxia-inducible Factor (HIF) by oxygen and Infectious disease were enriched in upregulated DEGs (FDR > 0.05), while Fc epsilon receptor (FCERI) signaling and Transport to the Golgi and subsequent modification were enriched in downregulated DEGs (FDR < 0.05) (Supplementary Figure S2B).

## 3.3. IAV Enhances Autophagy through Regulation of Autophagic Proteins

We first validated the role of autophagy in influenza virus infection. The transcription of autophagy-related genes Beclin-1 (BECN1, ATG6) and Autophagy-related gene 3 (ATG3) were determined after IAV infection at 4 and 24 h in A549 lung epithelial cells. BECN1 and ATG3 mRNA were significantly increased after 24 h post-infection (hpi) as compared to their respective uninfected controls (Figure 4A,B). Successful viral infection can be observed from the significant increase in non-structural protein 1 (NS1) viral mRNA expression after infection (Figure 4C). Autophagy-related proteins BECN1 and ATG3 were similarly higher 24 h after IAV infection, together with an increase in LC3-II (Figure 4D), indicating an induction of autophagy after IAV infection. To study the role of autophagy deficiency on the viral replication, the effect of ATG5 deficiency on gene expression of NS1 and M2 were examined using ATG5

WT and ATG5 $^{-/-}$  MEFs. After 24 h of infection, both NS1 and M2 gene expression were significantly lower in ATG5 $^{-/-}$  MEFs compared to WT MEFs (Figure 4E), suggesting that autophagy is important for viral RNA synthesis, although ATG5-deficient cells may also undergo more apoptosis. Taken together, these results indicate that autophagy is important for influenza virus infection and viral RNA synthesis.

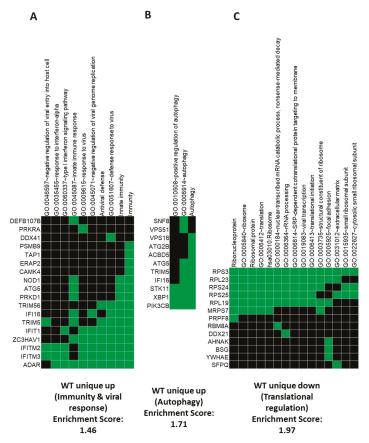
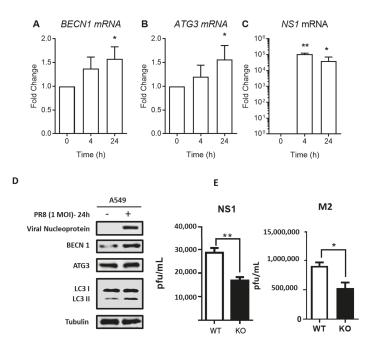


Figure 3. The specific genes involved in given gene ontology for uniquely expressed up and down genes in A549 (WT) and A549- $\Delta$ ANXA1 (KO) infected versus control samples. Specific genes are highly enriched and involved in immunity and viral responses (**A**), autophagy (**B**) and translational regulation (**C**).

3.4. Silencing ANXA1 Results in the Reduction of Autophagosomes and Autophagy after Starvation and Inhibition of mTOR

Starvation or low nutrient levels triggers autophagy via various signaling pathways, including Tuberous sclerosis 1 (TSC1)-mTOR, recombination-activating genes (RAGs) and AMP-activated protein kinase (AMPK) pathways [31]. Our previous studies demonstrate that ANXA1 is important in IAV infection and apoptosis [21]. Thus, to determine if ANXA1 is important in IAV-dependent autophagy, A549 parental and A549- $\Delta$ ANXA1 cells were first treated with EBSS media to induce starvation and

autophagy for increasing time points. The expression of LC3II was observed to be significantly inhibited in A549- $\Delta$ ANXA1 cells at the later time points when treated with EBSS (Figure 5A,B).



**Figure 4.** IAV infection increases autophagy-related genes in lung epithelial cells. (A–C) A549 lung epithelial cells were infected with 1 MOI of PR8 and reverse transcription PCR (RT-PCR) was performed for mRNA analysis of autophagy-related genes BECLN1, ATG3 and virus protein gene non-structural protein 1 (NS1). Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as an internal control for all quantitative RT-PCR analyses. Data are representative of 3–6 SEM of lung epithelial cells. \* p < 0.05, \*\* p < 0.01, against uninfected cells. (D) Western blot analysis of autophagy-related proteins BECN1, ATG3 and LC3-II after IAV infection in A549 cells. (E) WT MEF and Atg5<sup>-/-</sup> MEF cells were infected with 1 MOI of H1N1 influenza. Total RNA was isolated from infected cells at 12 h post-infection. The mRNA level of viral NS1 and M2 were determined by using real-time CR. Results are representative of three independent experiments.

In addition, confocal staining was performed to detect autophagosomes labelled by anti-LC3 green fluorescent protein (GFP) formed with a long period of starvation. As illustrated in Figure 5C, autophagosome formation was increased in A549 cells but not A549-ΔANXA1 cells after 24 h, with a significant difference in the number of autophagosomes formed per cell (Figure 5D). This suggests that ANXA1 may be important in the regulation of autophagosome formation. MTOR negatively regulates the ULK1 kinase complex and thus inhibits autophagy [28,29]. Therefore, rapamycin, an inhibitor of mTOR [30,31], and Torin-1 (Supplementary Figure S3A,B) were used to induce autophagy. In addition, an autophagy inhibitor, 3-Methyladenine (3-MA), was used together with rapamycin. 3-MA inhibits class III PI3K and thus inhibits autophagy by blocking autophagosome formation (Figure 5E). After 24 h, LC3-II was only expressed in A549 WT cells treated with rapamycin alone and rapamycin with 3-MA,

or Torin-1. However, no LC3-II expression was detected in A549-ΔANXA1 cells treated with the same stimuli, indicating that the mTOR inhibitor-induced autophagic flux may be ANXA1-dependent.

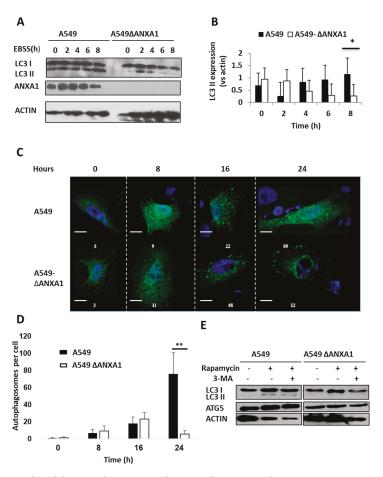
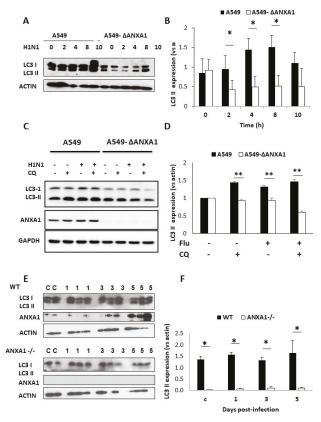


Figure 5. mTOR inhibitors and starvation induce autophagy in A549 but not in A549-ΔANXA1. (A,B) A549 cells and A549-ΔANXA1 cells were treated with EBSS over various time points (0, 2, 4, 6 and 8 h). The cell lysates were then collected and a Western blot analysis using LC3 antibody was performed. (C,D) The number of autophagosomes (labeled by anti-LC3-GFP) was counted in A549 cells and A549-ΔANXA1 cells after being treated with EBSS at various time points (0, 8, 16 and 24 h). The autophagosomes were labelled using anti-LC3-GFP (green) and the nuclei of the cells were stained using DAPI (blue). Autophagosomes were counted per cell in at least 3–5 cells per view, and the error bars and significance data were generated from 3 fields of view. (E) Western blot analysis was performed after cells were treated with 200 nM of rapamycin and 0.75 mg/mL of 3-Methyladenine (3-MA) for 24 h. Data is representative of three independent experiments. \* 0.01 < p < 0.05, \*\* p < 0.01. Scale bars = 25 μm in all panels.

## 3.5. ANXA1 Is Involved in Autophagy Induced by Influenza Virus In Vitro and In Vivo

Next, to investigate if ANXA1 plays a role in the intracellular degradation process induced by IAV infection, both A549 cells and A549- $\Delta$ ANXA1 cells were infected with H1N1 PR8 IAV at multiplicity of infection (MOI) 1 over various time points. Upon treatment with IAV, LC3-II expression increases and is significantly higher in A549 cells but not A549- $\Delta$ ANXA1 cells after 8 h of infection (Figure 6A,B). In addition, to further investigate the role of ANXA1 in IAV-induced autophagy flux, chloroquine (CQ) was used to treat the A549 and A549- $\Delta$ ANXA1 lung cancer cells with or without IAV infection. IAV infection increased the autophagy flux in A549 cells but not in A549- $\Delta$ ANXA1 cells, indicating that deletion of ANXA1 can suppress IAV-induced autophagic flux (Figure 6C,D).



**Figure 6.** ANXA1 is involved in autophagy induced by influenza virus. (**A,B**) Cells were infected with H1N1 at 1 MOI over various time points (0, 2, 4, 8 and 10 h). Quantification of LC3-II expression using densitometric analysis. Data represent two independent experiments (p < 0.05). (**C,D**) A549 and A549- $\Delta$ ANXA1 cells were infected with H1N1 at 1 MOI over 12 h, CQ 50 uM for 2 h. Quantification of LC3-II expression using densitometric analysis \*\* p < 0.01. (**E, F**) WT and ANXA1<sup>-/-</sup> Balb/c mice were infected with 500 pfu of influenza virus intratracheally. On days 0, 1, 3 and 5 post-infection, the mice were sacrificed, and their lung lysates were obtained for Western blot analysis. \* 0.01 .

Having shown that ANXA1 plays a positive role in influenza virus-induced autophagy in vitro, we next replicated the results in vivo. WT mice and ANXA1<sup>-/-</sup> mice were infected with influenza virus at 500 plaque-forming units (pfu). At day 1, day 3 and day 5 post-infection, these mice were sacrificed, and lung lysates were obtained and used to determine the expression of LC3-II after IAV infection. As illustrated in Figure 6E,F, the expression of LC3-II appeared prominently only for WT mice and very little was observed for ANXA1<sup>-/-</sup> mice, indicating that ANXA1 is indeed required to induce autophagy triggered by IAV.

In conclusion, our results show that ANXA1 can enhance autophagy induced by conventional autophagy inducers as well as influenza virus.

#### 4. Discussion

In this study, transcriptomic RNA-Seq was used to investigate the role of ANXA1 in IAV infection and validated experiments show that ANXA1 is important in classical and IAV-induced autophagy. The abundance and significant over-representation of various transcripts were found in cells expressing and silenced for ANXA1 using CRISPR/Cas9. Our results show unique genes which require ANXA1 to be expressed (WT unique up), genes which require ANXA1 to be suppressed during IAV infection (WT unique down), as well as genes which can be regulated positively (KO unique down) or negatively (KO unique up) by ANXA1, but where ANXA1 is not critical for expression. The highest hit for genes requiring ANXA1 to be expressed belong to pathways related to "immunity and virus response", including innate immune response and responses to the virus and anti-viral defense. In contrast, genes requiring ANXA1 to be suppressed during IAV are those shown to be involved in viral transcription and translation, including genes which are highly enriched in translational regulation, such as RPS3, RPL24, RPL24 and RPL25. These are ribosomal proteins involved in influenza virus RNA transcription and viral mRNA translation. Unique genes upregulated after IAV infection in A549-ΔANXA1 cells but not in WT A549 cells, which are genes which can be negatively regulated by ANXA1, are enriched mostly in mitochondria and mitochondrial function. This includes enzymes such as HAGH (Hydroxyacyl glutathione Hydrolase), POLRMT (RNA polymerase in Mitochondria), ACSM4 (Acyl-CoA Synthetase), LIAS (Lipoic Acid Synthetase) and COX7A (Cytochrome c oxidase). This suggests that ANXA1 can regulate many enzymes involved in lipid metabolism and mitochondrial metabolism. This demonstrates a multi-pronged function of ANXA1, where ANXA1 is required for the expression of anti-viral genes in response to type-1 interferons, similar to what has been described previously [32]. In contrast, genes requiring ANXA1 to be suppressed during IAV are those shown to be involved in viral transcription and translation. This demonstrates a multi-pronged function of ANXA1, where ANXA1 is required for the expression of anti-viral genes in response to type-1 interferons, yet is also required for the suppression of genes important in virus transcription and translation. ANXA1 also is required for the expression of genes relating to endosomal trafficking and autophagosome assembly, which can be linked to our previous work, showing that ANXA1 promotes virus replication through enhancement of endosomal trafficking [21]. Genes which ANXA1 can positively regulate are centered around cell adhesion and neuronal development, while genes which ANXA1 can negatively regulate are mostly related to mitochondrial function and cell metabolism. As ANXA1 has been shown to enhance virus replication in vitro and in vivo [21], it may be possible to predict that the roles of ANXA1 in endosomal trafficking and the regulation of mitochondrial function may have more impact on virus replication than the regulation of anti-viral immune responses.

While autophagy is shown to promote IAV replication and apoptosis [33,34] and various viral proteins such as nucleoprotein (NP) and M2 can induce the AKT-mTOR autophagy pathway [35], M2 has also been shown to stimulate the initial phase of autophagosome formation, but inhibits autophagosome—lysosome fusion, resulting in the inhibition of anti-apoptotic macroautophagy, a strategy

to enhance its pathogenicity [3]. Enhanced host cell death could limit virus-specific host responses and cytokine production. Whether the stimulation of autophagy is a host-response to viral infection, or the strategy of the virus against the host itself, is yet to be determined. Nevertheless, autophagy and autophagic cell death is essential in influenza replication and pathogenesis. In addition, annexin-pathogen interactions have been well elaborated recently and show that IAV utilize ANXA1 to regulate the host innate immune responses [21,36]. We recently showed that ANXA1 enhances influenza virus infection and viral replication by enhancing cell death and apoptosis [21]. Using RNA-Seq and in vitro and in vivo validation, our study establishes that ANXA1 can enhance autophagy and is crucial for influenza virus-induced autophagy. However, this is not in line with a recent study which demonstrated that silencing of ANXA1 with siRNA significantly inhibited starvation-induced autophagic degradation as measured by the level of Sequestosome 1 (SQSTM1 or p62), although the specific siRNA did not alter starvation-induced LC3-II level [37]. In our study, the expression of LC3-II was higher in A549 parental cells compared to A549-\( \Delta\) ANXA1 cells when treated with EBSS and other autophagy inducers. This discrepancy may be due to the fully functional silencing of ANXA1 via CRISPR-Cas9, or cell-type specific differences. These results suggest that the presence of ANXA1 is important in autophagy triggered by starvation, mTOR inhibitors and influenza virus.

Overall, the data in this study establishes the positive role of ANXA1 in virus-induced autophagy as well as autophagy triggered by other mechanisms that include starvation or inhibitors of mTOR. Hence, the presence of ANXA1 directly benefits viral replication through the enhancement of autophagy, another mechanism through which ANXA1 can act to enhance virus propagation.

Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4409/9/6/1399/s1: Figure S1: Torin 1 induces autophagy in a time-dependent manner in A549 but not in A549. Figure S2: Pathways involved in the response caused by IAV infection in A549 (WT) and A549 ΔΑΝΧΑ1 (KO) infected cells. Figure S3: Gene Set Enrichment Analysis (GSEA) analysis in IAV infected A549 WT and A549 ΔΑΝΧΑ1 cells. Table S1: Off-target effects of #4 CRISPR plasmid, Table S2: Differentially expressed genes, Table S3: Gene Set Enrichment Analysis.

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Article

# Selective Degradation Permits a Feedback Loop Controlling Annexin A6 and Cholesterol Levels in Endolysosomes of NPC1 Mutant Cells

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Abstract: We recently identified elevated annexin A6 (AnxA6) protein levels in Niemann–Pick-type C1 (NPC1) mutant cells. In these cells, AnxA6 depletion rescued the cholesterol accumulation associated with NPC1 deficiency. Here, we demonstrate that elevated AnxA6 protein levels in NPC1 mutants or upon pharmacological NPC1 inhibition, using U18666A, were not due to upregulated AnxA6 mRNA expression, but caused by defects in AnxA6 protein degradation. Two KFERQ-motifs are believed to target AnxA6 to lysosomes for chaperone-mediated autophagy (CMA), and we hypothesized that the cholesterol accumulation in endolysosomes (LE/Lys) triggered by the NPC1 inhibition could interfere with the CMA pathway. Therefore, AnxA6 protein amounts and cholesterol levels in the LE/Lys (LE-Chol) compartment were analyzed in NPC1 mutant cells ectopically expressing lysosome-associated membrane protein 2A (Lamp2A), which is well known to induce the CMA pathway. Strikingly, AnxA6 protein amounts were strongly decreased and coincided with significantly reduced LE-Chol levels in NPC1 mutant cells upon Lamp2A overexpression. Therefore, these findings suggest Lamp2A-mediated restoration of CMA in NPC1 mutant cells to lower LE-Chol levels with concomitant lysosomal AnxA6 degradation. Collectively, we propose CMA to permit a feedback loop between AnxA6 and cholesterol levels in LE/Lys, encompassing a novel mechanism for regulating cholesterol homeostasis in NPC1 disease.

Keywords: cholesterol; AnxA6; chaperone-mediated autophagy; endolysosomes; NPC1; Lamp2A

### 1. Introduction

Cholesterol is a fundamental lipid in mammalian cells responsible for the proper organization and function of membranes. The levels of cholesterol in cellular compartments are tightly controlled through *de novo* synthesis in the endoplasmic reticulum (ER), and the uptake of low-density lipoproteins (LDL) by receptor-mediated endocytosis. As excess amounts of cellular unesterified (free) cholesterol are cytotoxic, cells have developed sophisticated circuits to regulate its intracellular sorting, trafficking and storage [1]. Once internalized, LDL-derived cholesterol is targeted to the LE/Lys compartment

where cholesterol is first transferred from intraluminal vesicles (ILVs) to the limiting membrane via NPC2, lysobisphosphatidic acid (LBPA), and possibly other transporters [2-5]. In the outer LE/Lys membrane, NPC1 is the major transporter, and together with several other cholesterol-binding proteins [6], is responsible for LE-Chol export and subsequent transfer to other cellular destinations [7], preferentially the plasma membrane and ER, but also mitochondria, peroxisomes, Golgi, or recycling endosomes. In the ER, cholesterol can be re-esterified, permitting cytoplasmic storage of excess cholesterol in lipid droplets. Several pathways regulate the delivery of cholesterol from LE/Lys to other cellular sites. This includes vesicular trafficking via small GTPases (e.g., Rab7, Rab8, and Rab9), non-vesicular transport mediated by lipid transfer proteins, or cholesterol transfer across membrane contact sites (MCS) [8]. In addition, autophagy also contributes to regulate lipid metabolism in the LE/Lys compartment [9-11]. Therefore, it has been suggested that alterations in autophagy may contribute to the pathology of lipid storage disorders. For example, Sarkar et al. (2013) identified defective autophagy in Niemann-Pick type C1 (NPC1) disease models to be associated with cholesterol accumulation [12]. In these studies, failure of the SNAP receptor (SNARE) machinery caused defects in amphisome formation, which impaired the maturation of autophagosomes, while the lysosomal proteolytic function remained unaffected. In this setting, ectopic NPC1 expression rescued the defect in autophagosome formation. Intriguingly, both the inhibition and stimulation of autophagy caused cholesterol accumulation in LE/Lys, suggesting that the regulation of autophagy may be intimately linked to changes in LE-Chol levels [13,14]. To date, the precise way in which autophagy can alter LE-Chol homeostasis still remains elusive.

The complexity of autophagic pathways has been described in detail in recent reviews [15,16]. Calcium ( $Ca^{2+}$ ) is a well-known regulator of autophagy, yet despite the wide range of lysosomal storage diseases that share defects in both autophagy and  $Ca^{2+}$  homeostasis, the intersection between these two pathways is still not well characterized [17]. In fact, a number of  $Ca^{2+}$ -binding proteins, including apoptosis-linked gene-2 (ALG-2); calmodulin; several S100 family proteins; ALG-2-interacting protein 1 (AIP1, also called Alix); calcineurin; as well as  $Ca^{2+}$  channels in LE/Lys, the ER, or mitochondria [18], have been associated with autophagy.

In addition, three members of the annexin family—AnxA1, A2, and A5—have been associated with autophagic processes [19]. Annexins are a conserved multigene family of proteins that bind to membranes in a Ca<sup>2+</sup>-dependent manner and are widely expressed [20]. Within the endocytic pathway, they have been associated with a variety of membrane trafficking events, including vesicle transport and fusion, microdomain organization, and LE/Lys positioning, as well as membrane-associated actin cytoskeleton dynamics and cholesterol homeostasis [21–23]. Furthermore, AnxA1 and AnxA6 participate in MCS formation [24,25], regulating the transfer of cholesterol, and possibly other lipids and Ca<sup>2+</sup>, from LE/Lys to other cellular sites [23].

Despite the accumulating knowledge on the abovementioned annexins and their mode of action in late endocytic circuits, including autophagy, our understanding how these annexins operate in this cellular location is still incomplete. Yet, to exert their various functions, their physical association with the LE/Lys compartment seems essential. The accessibility to membrane lipids that serve as annexin binding sites, in particular, phosphatidylserine and phosphatidic acid, but also cholesterol and phosphatidylinositol (4,5)-bisphosphate (PIP2), is well documented [22]. Therefore, for the association of annexins with LE/Lys membranes in space and time, the lipid composition of LE/Lys, which is likely to undergo dynamic changes not only due to membrane turnover, but also nutrient availability and consequently, the endocytic activity of cells, together with the differential affinity of each annexin for individual lipid species, is most likely critical. In addition, the cytosolic tails of proteins in the limiting LE/Lys membrane may directly or indirectly further add to establish interactions. In support of this, direct protein–protein interactions of annexins with several LE/Lys proteins have been reported, including the two-pore channel (TPC1/2) [26], NPC1 [27], or the vacuolar proton pump (ATPase H<sup>+</sup> transporting VO subunit a2) [28]. This complex interactome of annexins with lipids and proteins could ensure proper LE/Lys functioning and provide opportunity to control the intracellular movement

of lipids, in particular, cholesterol, into and out of the LE/Lys compartment. Indeed, we and others demonstrated that AnxA1, A2, A6, and A8 contribute to cholesterol trafficking along endo- and exocytic pathways, suggesting that this subset of annexins, as well as other Ca<sup>2+</sup>-binding proteins, are good candidates for achieving regulatory tasks not only in regard to cellular cholesterol homeostasis, but also cholesterol-dependent activities in LE/Lys such as autophagy [23].

A recent proteomic analysis of CMA-targeting motifs supported earlier studies that revealed most members of the human annexin family to contain the KFERQ-like motif known to target cytosolic proteins for CMA or endosomal microautophagy (eMi) into lysosomes [15,29–31]. CMA and eMi are initiated by the binding of heat shock cognate 71 kDa protein (hsc70) to the pentapeptide motif in cytosolic proteins, which enables their binding to Lamp2A at the LE/Lys membrane. This initial recruitment step is critical for the subsequent translocation of these selective substrates into lysosomes via CMA. eMi is less well studied and relies on the endosomal sorting complexes required for transport (ESCRT) machinery [32]. Besides, CMA and eMI, macroautophagy can also engulf cytoplasmic proteins and organelles for lysosomal degradation. This process requires the formation of autophagosomes before fusion with lysosomes. Several annexins, including AnxA1 and AnxA5, have been suggested to play a role in autophagosome maturation or further steps of autophagy [33], but the underlying molecular mechanisms still have to be elucidated.

We previously demonstrated that overexpression of AnxA6 was associated with LE-Chol accumulation, mimicking a NPC1 mutant-like phenotype, which was detrimental for the intracellular trafficking along endo- and exocytic routes [27,34]. In addition, we recently documented that NPC1 mutant Chinese hamster ovary (CHO) cells displayed elevated AnxA6 levels. This coincided with an increased and AnxA6-mediated recruitment of a Rab7-GTPase activating protein (Rab7-GAP), TBC1D15, to LE/Lys to inactivate Rab7. AnxA6-dependent Rab7 inactivation in NPC1 mutant cells was associated with a reduction of MCS between LE/Lys and the ER. *Vice versa*, AnxA6 depletion in NPC1 mutant cells caused elevated Rab7 activity, increased MCS formation, and significantly reduced the amount of cholesterol in LE/Lys [25].

In this report, we examined the link between AnxA6 protein levels and the extent of LE-Chol accumulation in LE/Lys of NPC1 mutant cells. Our findings reveal a complex feedback loop involving CMA that controls AnxA6 and LE-Chol levels. In NPC1 deficiency, or other disease settings where lysosomal dysfunction may be associated with LE-Chol accumulation, this may eventually increase the amount of AnxA6 in ILVs of the LE/Lys compartment. Remarkably, these findings could be relevant for intercellular communication, as extracellular vesicles, or exosomes, which deliver biologically active molecules to recipient cells, originate from the LE/Lys compartment, and could explain elevated levels of this annexin in exosomes observed in other pathologies [35,36].

# 2. Material and Methods

# 2.1. Cells, Reagents, Cell Culture and Transfections

CHO cells were grown in Ham's F-12 with 10% fetal calf serum (FCS), L-glutamine (2 mM), penicillin (100 units/mL), and streptomycin (100 μg/mL) at 37 °C, 5% CO<sub>2</sub>. CHO M12 and CHO 2-2 were kindly provided by Drs. L. Liscum (Tufts University School of Medicine, Boston, MA, USA) and D. Ory (Washington University, St. Louis, MO, USA). The AnxA6-depleted CHO M12-A6ko cell line was generated in our laboratories using CRISPR/Cas9 editing technology as described [25]. Control (GM5659D) and NPC1 mutant human skin fibroblasts (GM03123) were from the Coriell Institute for Medical Research (Camden, NJ, USA) and cultured in DMEM, 10% FCS, L-glutamine (2 mM), penicillin (100 units/mL), and streptomycin (100 μg/mL) at 37 °C, 5% CO<sub>2</sub>. Nutrient Mixture Ham's F-12 and DMEM were from Biological Industries (Cromwell, CT, USA). Hank's buffered salt solution (HBSS) was from Gibco (Waltham, MA, USA). Filipin, saponin, U18666A, cycloheximide (CHX), leupeptin, and aprotinin were from Sigma-Aldrich (St. Louis, MO, USA). Paraformaldehyde (PFA) was from Electron Microscopy Sciences (Hatfield, PA, USA), and Mowiol was from Calbiochem (San Diego, CA,

USA). Glutathione S-transferase (GST) (GE Healthcare, Chicago, IL, USA) and GST-fusion protein (Rab Interacting Lysosomal Protein (RILP)-C33-GST) were produced in *E. coli* BL21 cells and purified using Glutathione-Sepharose 4B beads (GE Healthcare, Chicago, IL, USA) as reported previously [37]. For transient transfections, cells were transfected using GenJet (SigmaGen Laboratories, Rockville, MD, USA) following manufacturer's instructions.

## 2.2. RNA Extraction and Quantitative Real-Time PCR

Total RNA was extracted using the RNeasy Mini Kit (Qiagen, Venlo, Netherlands) in accordance with the manufacturer's protocol. One microgram of RNA was reverse transcribed using High-Capacity cDNA Reverse Transcription Kit (Applied Bioscience, Waltham, MA, USA). In a final volume of 20  $\mu$ L, real-time PCR Brilliant SYBRGreen QPCR Master Mix (Agilent Technologies, Santa Clara, CA, USA) and 10  $\mu$ L of 1:20 diluted cDNA was used as a template for PCR analysis using the LightCycler system (Roche Diagnostics, Rotkreuz, Switzerland). Specific hamster primers (AnxA6: forward 5'-ccgggaagatgctaggaat-3', reverse 5'-accctggtgagggtcttctt-3'; Rpl13: forward 5'-gccccacttccacaaggatt-3', reverse 5'-ataccagccaccctgagttc-3') were added and a standard PCR amplification protocol (10 min at 95 °C, 45 cycles of 30 s. at 95 °C, 15 s. at 60 °C and 30 s. at 72 °C, 10 s. at 95 °C and 60 s. at 65 °C) was performed according to manufacturer's instructions. Values were normalized to the Rpl13 gene in each sample.

# 2.3. Immunoblotting

For western blotting, whole cell lysates were prepared in lysis buffer (50 mM Tris, 150 mM NaCl, 5 mM EDTA, pH 7.5), protease inhibitors (1 mM Na<sub>3</sub>VO<sub>4</sub>, 10 mM NaF, 1 mM phenylmethylsulfonyl fluoride, 10 µg/mL leupeptin, and 10 µg/mL aprotinin), and equal amounts of protein, as determined by Bradford assay, were separated by 10% SDS-PAGE and transferred to a Hybridation Nitrocellulose membrane (Millipore, Burlington, MA, USA). After blocking in 5% skimmed milk, western blots were performed using rabbit anti-AnxA6 serum [38], mouse anti- $\beta$ -actin (MP Biomedicals, Illkirch-Graffenstaden, France), mouse anti-epidermal growth factor receptor kinase substrate 8 (Eps8; BD Transduction Lab, San Jose, CA, USA), rabbit anti-GFP (Abcam, Cambridge, UK), rabbit anti-Rab7 (Cell Signaling Technology, Danvers, MA, USA) and mouse anti- $\alpha$ -tubulin (Sigma-Aldrich, St. Louis, MO, USA). Appropriate peroxidase-conjugated secondary antibodies (BioRad Laboratories, Hercules, CA, USA) and enhanced detection (EZ-ECL; Enhanced ChemiLuminiscence, Biological Industries, Cromwell, CT, USA) for band visualization were used. The intensity of bands was quantified using ImageJ and results were normalized to actin.

## 2.4. Rab7-GTP Pull-Down Assays

Cells were solubilized in pull-down buffer (50 mM Tris, 150 mM NaCl, 1% Triton X-100, 0.1 mM CaCl<sub>2</sub>, pH 7.3) supplemented with protease/phosphatase inhibitor cocktail (see above). Samples were centrifuged at 12,000× g for 10 min at 4 °C. Proteins from post-nuclear supernatants (400–700  $\mu$ g) were incubated with Glutathione Sepharose 4B beads coated with purified recombinant RILP-C33-GST (40–70  $\mu$ g) fusion protein for 2 h at 4 °C. GST was used as a negative control (data not shown). Samples were washed 3 times, collected in 30  $\mu$ L of 1× loading buffer, and analyzed by western blotting (see above).

## 2.5. Fluorescence Microscopy

For fluorescence studies, CHO M12 cells were grown on coverslips, transfected with Lamp2A-GFP as per manufacturer instructions, and after 48 h, fixed with 4% PFA for 20 min, washed and then stained with filipin (0.05 mg/mL) and mounted in Mowiol as described [39]. Samples were observed using a Leica TCS SP5 laser scanning confocal microscope equipped with a DMI6000 inverted microscope, blue diode (405 nm), Argon (458/476/488/496/514), diode pumped solid state (561 nm), HeNe (594/633 nm)

lasers, and APO 63× oil immersion objective lenses (Leica Microsystems, Wetzlar, Germany). Image analysis was performed with NIH ImageJ software as described below [40].

The quantification of filipin staining per cell (≥20 cells per group) was performed as described [25] and served to determine the relative amounts of free cholesterol in enlarged, perinuclear cholesterol-enriched and Lamp2A-positive late endosomal vesicles in NPC1 mutant M12 cells.

# 2.6. Transmission Electron Microscopy (TEM)

CHO-WT and CHO M12 cells were fixed at 4 °C with a mixture of 4% PFA and 0.1% glutaraldehyde in 0.1 M phosphate buffer, then scrapped, pelleted, washed in 2% PFA, then cryofixed by high pressure freezing (EM HPM100; Leica Microsystems, Wetzlar, Germany) and finally freeze-substituted for 80 h at -90 °C in anhydrous acetone containing 0.5% uranyl acetate. Next, samples were embedded in Lowicryl HM20 resin (Electron Microscopy Science, Hatfield, PA, USA). Ultrathin sections were obtained using an Ultracut UC6 ultramicrotome (Leica Microsystems, Wetzlar, Germany) and picked up on Formvar-coated nickel grids and observed using a transmission electron microscope, JEOL JEM-1010 (JEOL USA, Peabody, MA, USA) fitted with a Gatan Orius SC1000 (model 832) digital camera (Gatan Inc, Pleasnaton, CA, USA).

For immunolabeling, sections were incubated at room temperature on drops of 5% bovine serum albumin (BSA) in PBS for 20 min, followed by anti-AnxA6 polyclonal antibody (1:5 dilution) in PBS for 1 h. Then, sections were incubated for 30 min using anti-rabbit 12 nm colloidal gold (Jackson ImmunoResearch, West Grove, PA, USA) using a 1:30 dilution in PBS/1% BSA. This was followed by five washes with drops of PBS and 5 min in 1% glutaraldehyde; then, grids were rinsed with milliQ water and air dried. As a control for non-specific binding of the colloidal gold-conjugated antibody, the primary AnxA6 antibody was omitted. Three independent experiments were analyzed and at least 2 grids were used for each condition per cell line. The minimum number of cells scored for each condition was 25 and the average number of sections (fields) was 40. Data are shown as the mean  $\pm$  SEM.

# 2.7. Image Analysis

Image analysis was performed using NIH ImageJ software [40]. When comparing different samples, images were captured and systematically screened using identical microscope settings.

#### 2.8. Bulk Degradation Experiments

For the assessment of bulk degradation, CHO-WT, CHO M12, and CHO M12-A6ko cells were incubated with 10  $\mu$ g/mL Dye Quenched-Red-BSA (DQ-Red-BSA) (Thermo Fisher Scientific, Waltham, MA, USA) in complete culture medium for 6 h at 37 °C. Then, cells were fixed in 4% PFA, washed extensively, stained with 4′,6-diamidino-2-phenylindole (DAPI) (Sigma-Aldrich, St. Louis, MO, USA) for 20 min, and then mounted with Mowiol. Control experiments with 100  $\mu$ M Bafilomycin A1 (Sigma-Aldrich, St. Louis, MO, USA), which blocks lysosomal acidification, were performed (data not shown) [41,42]. Images were obtained with a Zeiss LSM880 Confocal Microscope (Zeiss Microscopy, Jena, Germany). Quantification of fluorescence intensity was performed as described [41].

#### 2.9. Statistics

Unless mentioned in the figure legend, group data are presented as mean  $\pm$  SEM. Comparison between 2 groups was analyzed by Student's t-test, comparison between more than 2 groups was analyzed by one-way ANOVA with a Bonferroni  $post\ hoc$  test, and comparison between groups was analyzed using Prism software (GraphPad Software, San Diego, CA, USA). Differences were considered statistically significant at \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001.

#### 3. Results and Discussion

### 3.1. AnxA6 Expression in NPC1 Mutant Cells

Cholesterol accumulation in LE/Lys is a distinctive feature of cells carrying loss-of-function mutations in the NPC1 gene and we previously showed that AnxA6 overexpression mimics this phenotype [27]. Furthermore, we recently identified that endogenous AnxA6 levels were significantly elevated in CHO cell lines harboring different NPC1 mutations (M12, 2-2) [25]. This prompted us to investigate the relationship between AnxA6 levels and LE-Chol accumulation in the LE/Lys compartment of NPC1 mutant cells. We first aimed to validate these findings by analyzing AnxA6 protein levels in human control (HSF-WT) and NPC1 patient skin fibroblasts (GM03123), or upon incubation of CHO wild type (WT) cells with the pharmacological NPC1 inhibitor U18666A. Indeed, loss of NPC1 function in both settings correlated with increased amounts of AnxA6 (Figure 1A,B). Remarkably, higher AnxA6 protein levels in cells lacking functional NPC1 protein were not associated with a significant increase in AnxA6 mRNA expression, as shown by quantitative RT-PCR of NPC1 mutant CHO cells or after U18666A treatment (Figure 1C). Therefore, we reasoned that alterations in the posttranscriptional regulation could be responsible for elevated AnxA6 protein levels in NPC1 mutant cells, including defects in AnxA6 degradation and/or AnxA6 protein accumulation in the LE/Lys compartment due to lysosomal dysfunction in NPC disease [43].

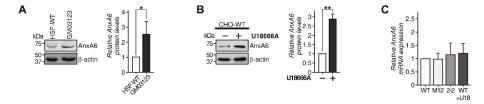


Figure 1. Elevated AnxA6 protein levels in NPC1 mutant cells. Cell lysates from (A) human control (HSF) and NPC1 patient (GM03123) skin fibroblasts and (B) CHO-WT treated for 16 h with and without U18666A (2  $\mu$ g/mL) as indicated were analyzed by Western blotting with antibodies against AnxA6 and  $\beta$ -actin as loading control. Western blots representative for three independent experiments are shown (\* p < 0.05, \*\* p < 0.01). (C) Relative mRNA quantification determined by qPCR of AnxA6 in CHO-WT, CHO M12, CHO 2-2, and CHO-WT cells treated with U18666A (WT + U18) is shown. The housekeeper gene Rpl13 served as control. Data shown represents the mean  $\pm$  SEM from three independent experiments with duplicate samples.

Therefore, we examined the bulk degradation using fluorescently-labeled DQ-Red-BSA as cargo [41], which is internalized and transported to lysosomes and then cleaved, resulting in a bright red fluorescent signal. In line with published data [12], CHO M12 cells displayed a 2-3-fold increased capacity of degradative endolysosomes (Supplementary Figure S1A, see quantification in B) compared to CHO-WT cells. Strikingly, AnxA6 depletion in CHO M12 cells (CHO M12-A6ko), which we reported recently to significantly reduce LE-Chol accumulation in these cells [25], also drastically reduced lysosomal DQ-Red-BSA degradation comparable to the CHO-WT control cells. It is tempting to speculate that in addition to the Rab7-mediated restoration of cholesterol export in NPC1 mutant cells upon AnxA6 depletion [25], the reduced bulk degradation in CHO M12-A6ko cells is also connected to elevated Rab7 activity in these cells, as Rab7 is well known to drive the fusion of late endosomes with lysosomes and cargo degradation [44]. Furthermore, as this connects AnxA6 up- or downregulation to the modulation of endolysosomal degradation in NPC1 mutant cells, one could envisage also other degradation routes such as autophagy, to be altered by changes in AnxA6 levels. Yet, as described further below, degradation can be selective and different pathways and mechanisms have been described.

In some models, lipid accumulation in LE/Lys inhibits lysosomal cathepsins, leading to impaired degradation of lysosomal cargo and increased autophagic intermediates [14]. However, in the latter experiments described above (Supplementary Figure S1), DQ-Red-BSA degradation was increased in CHO M12 cells, and we hypothesize that possibly the enlarged LE/Lys compartment in these NPC1 mutant cells [45,46] may be one of the underlying causes for this observation. We have previously reported a reduction in the size of the LE/Lys compartment in AnxA6-depleted NPC1 mutant cells [25], which might reduce their capacity to process DQ-Red-BSA to levels comparable to those observed in CHO-WT cells. Yet, diverse outcomes when studying lysosomal functions in NPC1 mutant cells or other lysosomal storage diseases [47] have been reported. Therefore, additional factors to consider include the differential and cell-specific repertoire of other players driving LE/Lys function, but also the possibility that degradation is selective [12], which is possibly relevant here, could be sensitive to the amount of cholesterol or other lipids in the LE/Lys compartment [48]. Along these lines, several studies have implicated increased LE-Chol as a decisive factor for the regulation of LE functioning such as ILV formation and/or the sorting of LE/multivesicular bodies (MVB)/Lys structures for autophagic pathways such as CMA and eMi or exocytosis [15,49,50]. Moreover, autophagy delivers cholesterol to the lysosome, creating a feedback loop that promotes further lipid storage and lysosomal dysfunction [14,51]. Thus, although accumulating evidence points at LE-Chol accumulation interfering with the proper functioning of a variety of autophagic and lysosomal tasks, more research is needed to identify the factors or environmental changes that create sensitivity towards LE-Chol and/or AnxA6 levels for the various lysosomal activities.

### 3.2. Selective Degradation of AnxA6 in LE/Lys

As previous reports were in support of AnxA6 being targeted for lysosomal degradation by selective autophagy (CMA/eMi) [30,50], we next examined if LE-Chol accumulation in M12 cells could compromise AnxA6 degradation. For this purpose, CHO-WT and CHO M12 cells were incubated with CHX alone to inhibit protein synthesis, or in combination with leupeptin, which blocks lysosomal degradation. Given the slow protein turnover of AnxA6 [30], cells were incubated with CHX ± leupeptin for 48 h. Then, whole cell lysates were prepared and protein levels of AnxA6 and Eps8, which also contains a pentapeptide-motif and is degraded via CMA, were determined by Western blotting (Figure 2A see quantification in Figure 2B,C). CHX-treated CHO-WT cells displayed only a minor reduction in AnxA6 and Eps8 protein levels, probably reflecting the long half-life of these proteins (>48 h) [30] (compare lanes 1 and 2). Yet, the combined treatment of CHX and leupeptin in CHO-WT cells resulted in AnxA6, as well as Eps8, protein levels that were higher compared to their respective negative controls (compare lane 1 and 3, for quantification see Figure 2B,C), confirming that this assay was suitable to examine lysosomal protein degradation. However, the combined treatment of CHX and leupeptin resulted in AnxA6 and Eps8 protein levels similar to CHO M12 controls (Figure 2A, compare lanes 4 and 6). Likewise and consistent with the results described previously [25] and above (see Figure 1), AnxA6 protein levels in CHO M12 cells were substantially elevated compared to CHO-WT cells (compare lanes 1 and 4; for quantification see Figure 2B,C). Thus, the overall behavior of the CMA marker protein, Eps8, as well as AnxA6 protein pools, in the presence of inhibitors blocking protein synthesis and lysosomal degradation, showed similar trends in both cell lines. Yet, when comparing the response of CHO-WT and CHO M12 cells to leupeptin, CHO-WT showed a much stronger elevation of Eps8 and AnxA6 protein levels compared to CHO M12 cells (compare lanes 1 and 3 with 4 and 6), indicating that in NPC1 mutant cells the degradation route of Eps8, but also AnxA6, most likely via CMA, was inhibited.

To further assess if an inhibition of CMA-mediated AnxA6 degradation could explain upregulated AnxA6 levels in NPC1 mutant cells, we next aimed to activate this pathway through the overexpression of Lamp2A, which acts as a receptor for CMA substrates and thereby activates the CMA pathway [49,50]. Therefore, GFP-tagged Lamp2A was ectopically expressed in CHO-WT and CHO M12 cells and cell lysates were then analyzed for AnxA6 expression levels by Western blotting. As shown in Figure 3A

(see quantification in 3B), overexpression of Lamp2A-GFP caused a significant decrease of AnxA6 levels in NPC1 mutant cells, further supporting AnxA6-mediated degradation via the CMA pathway and its reduced efficacy due to LE-Chol enrichment. Moreover, pointing at a link between levels of AnxA6 and LE-Chol, Lamp2A-induced downregulation of AnxA6 was associated with increased Rab7 activity, as judged by elevated Rab7-GTP levels in CHO M12 and CHO 2-2 cells in pulldown assays as described (Figure 3C). This is in agreement with our recent findings that identified AnxA6 depletion to prevent the recruitment of the Rab7-GAP TBC1D15 to LE/Lys [25]. In NPC1 mutant cells, this led to Rab7 activation and was accompanied by the restoration of LE-Chol export in these cells. The latter observation further suggests that the reduced LE-Chol accumulation in cells lacking NPC1 normalizes CMA-mediated AnxA6 degradation.

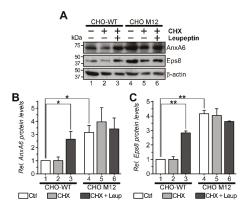


Figure 2. Reduced lysosomal degradation of AnxA6 and Eps8 in NPC1 mutant cells. (A) CHO-WT (lane 1–3) and CHO M12 (lane 4–6) cells treated without (control) or with 100  $\mu$ g/mL cycloheximide (CHX) alone or together with 10  $\mu$ g/mL leupeptin (CHX + Leup) as indicated for 48 h. Cell lysates were prepared and analyzed by Western blotting for AnxA6, Eps8 and β-actin levels as indicated. (B,C) The intensity of bands was densitometrically quantified. Data shown represents the mean  $\pm$  SEM of two independent experiments (\* p < 0.05, \*\* p < 0.01).

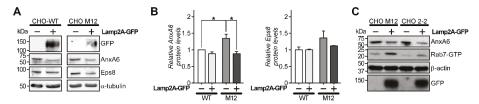
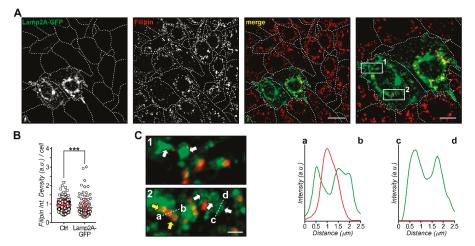


Figure 3. Overexpression of Lamp2A increases AnxA6 protein degradation. (A) Cell lysates from CHO-WT and CHO M12 cells  $\pm$  ectopically expressed Lamp2A-GFP as indicated were analyzed by Western blotting for GFP, AnxA6, Eps8, and α-tubulin. A representative western blot from two independent experiments is shown. (B) The intensity of AnxA6 and Eps8 bands was quantified and normalized to α-tubulin. Data shown represents the mean  $\pm$  SEM (\* p < 0.05). (C) CHO M12 and CHO 2-2 cells were transfected  $\pm$  Lamp2A-GFP as above. Cell lysates were prepared and subjected to Rab interacting lysosomal protein (RILP)-C33–GST pull-down assays to determine active Rab7 (Rab7-GTP) levels (see Material and Methods for details). Total levels of AnxA6, β-actin, and GFP in cell lysates are shown.

# 3.3. Lamp2A Regulates Cholesterol Levels in Endolysosomes of NPC1 Mutant Cells

Lamp2A is a lysosomal-associated membrane protein that acts as a protecting barrier for hydrolases and a receptor for CMA substrates, but has also been implicated in cholesterol export from LE/Lys [52–54].

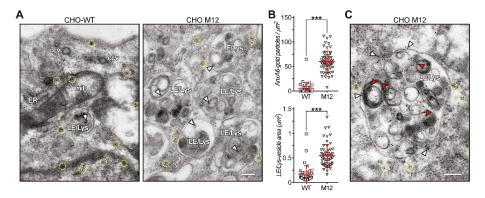
Inversely, cholesterol levels may influence Lamp2A protein conformation or interaction with other lysosomal partners, with consequences for autophagy and cellular metabolism [52]. As mentioned above, ectopic Lamp2A expression in NPC1 mutant cells led to AnxA6 downregulation and elevation of Rab7-GTP levels (Figures 1 and 2), a scenario that we showed was associated with restoration of LE-Chol export in cells lacking NPC1 [25]. This prompted us to study whether Lamp2A-induced CMA activation possibly correlated with diminished LE-Chol accumulation in NPC1 mutant cells. Therefore, Lamp2A-GFP was ectopically expressed in NPC1 mutant CHO M12 cells and to examine LE-Chol accumulation, cells were then fixed and stained with filipin to visualize unesterified (free) cholesterol (Figure 4). Remarkably, quantification of filipin staining per cell, which resembles almost exclusively prominent and enlarged, perinuclear cholesterol-enriched, and Lamp2A-positive late endosomal vesicles in NPC1 mutant M12 cells (see also enlarged inserts in 4C), identified a significant decrease of filipin intensity per cell by 15% in CHO M12 cells  $(9.474 \pm 0.3 \text{ vs. } 8.059 \pm 0.45)$  ectopically expressing Lamp2A compared to the neighboring non-transfected CHO M12 cells (Figure 4A, see quantification in Figure 4B). Indeed, enlarged images revealed a mixed population of Lamp2A-positive vesicles, many of them only weakly stained with filipin, indicating restoration of LE-Chol export (Figure 4C; see white arrows in the enlarged inset and line profile intensity plot). Taken together, it appears feasible that overexpression of Lamp2A in CHO M12 cells leads to CMA activation that allows AnxA6 protein degradation. Consequently, this instigates the upregulation of Rab7-GTP levels, which triggers restoration of LE-Chol export to eventually reduce the levels of cholesterol in the LE/Lys compartment of NPC1 mutant cells.



**Figure 4.** Lamp2A-GFP overexpression reduces late endosomal cholesterol (LE-Chol) accumulation in NPC1 mutant cells. (**A**) CHO M12 cells were transfected with Lamp2A-GFP (green), fixed, and stained with filipin to visualize free cholesterol (red). A representative image, including the merged image, is shown (scale bar, 20 μm; 10 μm in enlarged right panel). The shape of all cells was outlined. (**B**) The fluorescence intensity of filipin staining (integrated density per cell) of at least 20 control (Ctrl) and Lamp2A-GFP transfected cells from three independent experiments was quantified and shown in a dot plot. The mean  $\pm$  SEM is also given (\*\*\* p < 0.001). (**C**) Two enlarged regions of interest from A (1 and 2) show details of Lamp2A-GFP/filipin colocalization and vesicle heterogeneity in transfected cells (scale bar, 2 μm). White arrows point at filipin-negative, but Lamp2A-positive vesicles (1,2) and yellow arrows highlight Lamp2A-positive vesicles colocalizing with filipin (2). Line profiles of fluorescence intensities of Lamp2A-GFP (green) and filipin (red) are shown (a–b and c–d, 2.5 μm).

In previous studies we demonstrated that AnxA6 is associated with acidic, endo, and pre-lysosomal compartments of non-polarized as well as polarized cells [37,55,56]. Conversely,

in settings where LE/Lys accumulate cholesterol, such as in NPC1 disease or after pharmacological NPC1 inhibition, increased amounts of AnxA6 proteins were found associated with LE/Lys [57]. The latter findings were based on colocalization studies using confocal microscopy as well as subcellular fractionation techniques, and in order to get additional insights into the AnxA6 location in the complex membrane organization of the LE/Lys compartment of NPC1 mutant cells, we compared the distribution of AnxA6 in CHO-WT and CHO M12 cells at much higher resolution, using electron microscopy. Therefore, ultrathin sections of both cell lines were labeled (for details see Methods) with an anti-AnxA6 polyclonal antibody [57] followed by anti-rabbit 12 nm colloidal gold antibodies (Figure 5A). Quantification of gold particle density (gold particles/µm²) or vesicle area (µm²) validated previous findings, identifying a significant increase of AnxA6-gold labeling in LE/Lys structures of CHO M12 compared to CHO-WT cells (Figure 5A,B). Moreover, the complex and heterogeneous inner LE/Lys structures consisting of multilamellar membranes and electrodense material in prototypical endolysosome in CHO M12 cells were enriched (~95%) with anti-AnxA6 labeling (Figure 5C, red arrowheads). Much less prominent AnxA6 labeling was also observed at the perimeter membrane of those LE/Lys structures (white arrowheads). In fact, these findings are supported by others, identifying significant levels of AnxA6 in the lysosomal matrix, compared to the corresponding lysosomal membranes isolated from liver [30].



**Figure 5.** AnxA6 is enriched in inner membranes of the late endosome/lysosome (LE/Lys) compartment in NPC1 mutant cells. Lowicryl HM20 ultrathin sections of CHO-WT and CHO M12 cells were labeled with anti-AnxA6. **(A)** A region of the cytoplasm of CHO-WT and CHO M12 cells with several LE/Lys structures is shown. Note that in CHO M12 cells AnxA6 gold labeling is much more prominent compared to CHO-WT cells. Encircled gold particles (dashed yellow) indicate cytoplasmatic AnxA6 labeling. **(B)** Quantification of gold particle density (gold particle/ $\mu$ m²) and LE/Lys vesicle area ( $\mu$ m²). The data is shown as dot plot per cell. The mean  $\pm$  SEM is also given (\*\*\* p < 0.001). **(C)** Representative enlarged image of a prototypical endolysosome in CHO M12 cells. AnxA6 labeling is enriched in inner LE/Lys structures (red arrowheads) and less prominent at the LE/Lys perimeter (white arrowheads). mit, mitochondria; ccv, clathrin coated vesicles; ER, endoplasmic reticulum. Scale bar is 200 nm.

Therefore, AnxA6 and LE-Chol seem to be engaged in a feedback loop in the LE/Lys compartment of NPC1 mutant cells (Figure 6). Upregulated AnxA6 levels increase the amount of LE-Chol, and, inversely, increased LE-Chol levels upon loss of NPC1 function raise AnxA6 protein amounts. Given that several studies pointed at LE-Chol accumulation to interfere with CMA and lysosomal function [13,45,48,58–60], we propose that this connectivity of LE-Chol levels and CMA efficacy is the underlying cause for the inhibition of AnxA6 degradation by selective autophagy. In support of this hypothesis, Rodriguez-Navarro et al., 2012 demonstrated that increased lipid intake in mice, either feeding a high-fat or cholesterol-rich diet, dramatically decreased Lamp2A levels due to accelerated

degradation [48], causing a substantial decline in CMA activity that was associated with increased amounts of AnxA6.

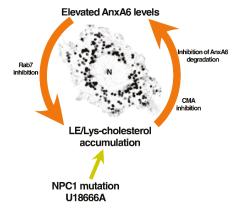


Figure 6. Scheme depicting the feedback loop of AnxA6 and LE-Chol levels in NPC1 mutant cells. NPC1 loss-of-function mutations or pharmacological NPC1 inhibition (U18666A) lead to late endosome/lysosome (LE/Lys)-cholesterol accumulation [1,6,61]. This interferes with Lamp2A-dependent chaperone-mediated autophagy (CMA) [31,48], which leads to reduced AnxA6 protein degradation (this study). Elevated AnxA6 protein levels promote Rab7 inactivation, which further contributes to cholesterol accumulation in LE/Lys (this study and [25]). In fact, diminution of active Rab7 in NPC1 mutant cells could also inhibit CMA [62]. This inhibitory feedback loop can be overcome by Lamp2A overexpression, which activates CMA [49], leading to reduced AnxA6 levels and concomitantly, also induces the diminution of LE/Lys-cholesterol accumulation (this study). Lamp2A-induced restoration of LE-cholesterol export being responsible for reduced AnxA6 protein levels cannot be completely ruled out. Alternatively, AnxA6 depletion in NPC1 mutant cells increased Rab7 activity [25], which restored cholesterol export from LE/Lys. Taken together, this feedback loop connects cholesterol levels in LE/Lys with CMA-mediated AnxA6 protein degradation, with CMA functionality being highly dependent on cholesterol levels in the LE/Lys compartment. N, nucleus.

Although the data presented here may favor Lamp2A-induced CMA activation being responsible for AnxA6 degradation (Figure 6), it should be emphasized that other routes, such as eMi or even specific lysosomes, may also contribute to control AnxA6 protein turnover, and cannot be entirely excluded [30,50]. Importantly, this study clearly identifies a close relationship between AnxA6 protein and LE-Chol levels in NPC1 mutant cells. Future studies, using pharmacological inhibitors to specifically block the abovementioned pathways will be needed to dissect the contribution of CMA or other degradation routes in AnxA6 protein turnover.

The underlying mechanisms that lead to an enrichment of AnxA6 protein pools on inner LE/Lys membranes and its molecular consequences remain to be clarified. AnxA6 is the largest member of the annexin family and instead of the four annexin repeats in all other annexins, has an unusual size and structure with eight annexin domains that are connected by a hinge-like loop. This provides some flexibility in the membrane interactions for AnxA6, which may be sensitive to changes in the lipid microenvironment. Within this context, alterations in the amount of LE-Chol indeed appear to induce conformational changes in proteins residing in the limiting membrane of LE/Lys, with consequences for protein-protein interactions. The LE-Chol-sensitive formation of the ORP1L-VAP-A complex, which participates in cholesterol transfer from LE to the ER, is currently the best example for this mechanism. Moreover, ORP1L-VAP-A interaction in response to alterations in LE-Chol levels impairs Rab7-dependent autophagosome maturation [63,64]. Thus, LE-Chol levels may also promote redistribution and conformational changes that impact on the ability of AnxA6, and possibly another

annexin, AnxA1, to participate in the control of cholesterol transfer from LE/Lys to the ER (and vice versa) through MCS, with far reaching implications for cholesterol homeostasis [8,24,25].

Previous studies identified AnxA6 as a cholesterol-interacting protein [65,66]. This coincides with the increased association of AnxA6 with LE-Chol-enriched vesicles [57], which not only contain increased amounts of cholesterol in internal vesicles, but, as shown by several studies, also in the perimeter LE/Lys membrane [52]. Thus, the recruitment of cytosolic pools of AnxA6 proteins with an increased affinity for cholesterol in the limiting membrane of LE/Lys could in part explain these observations. Strikingly, this study identified substantial amounts of AnxA6 associated with inner membranes and ILV of the late endocytic compartment. Although it remains to be determined how AnxA6 is delivered to inner LE/Lys membranes upon LE-Chol accumulation, it is well known that cholesterol can accumulate in ILV of MVB [67]. This observation may be relevant for other biological events that commence in the LE/Lys compartment. For instance, exosomes originate from the ILV of MVB to then fuse with the plasma membrane for subsequent extracellular release. Despite the rapidly increasing knowledge regarding the complex repertoire of bioactive molecules in exosomes, including proteins, RNAs, and lipids, its biogenesis and the loading of these structures with cargo is still not well understood. Interestingly, based on the proteomic databases available on exosomes isolated from a large variety of settings, amongst all kinds of proteins, exosomes are highly enriched in annexins (ExoCarta exosome database: www.exocarta.org, accessed on 29 July 2015). Several questions arise from these observations: (1) What are the targeting mechanisms that deliver and allow access of annexins into exosomes? Could these mechanisms include an escape from CMAor eMI-mediated degradation once annexins enter the lumen of MVBs? (2) What is the function of annexins once they are released in exosomes and enter target cells? (3) Finally, and most relevant in relation to NPC disease, do annexins and cholesterol pools deposited in exosomes have a function in cellular cholesterol homeostasis? In fact, recent studies identified drastically increased amounts of cholesterol-rich exosomes released from NPC1 mutants or U18666A-treated cells. Thus, it was proposed that upregulated exosome release of exosomes might serve to ameliorate LE-Chol accumulation [68] and consequently the NPC1 phenotype.

In summary, this study demonstrates that CMA is inhibited in NPC1 mutant cells causing an increase in AnxA6 protein levels. This inhibits Rab7 activity and its ability to overcome accumulation of cholesterol in LE/Lys, which is detrimental for cellular homeostasis. Lamp2A-mediated restoration of CMA promotes AnxA6 protein degradation and is associated with a rescue of LE-Chol accumulation in NPC1 mutant cells. Taken together, AnxA6 protein levels appear to be associated with LE-Chol accumulation and CMA-mediated protein degradation, the functionality of the latter being highly dependent on LE-Chol levels.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/2073-4409/9/5/1152/s1, Figure S1: AnxA6 depletion normalizes increased capacity for bulk lysosomal degradation in NPC1 mutant cells.

**Author Contributions:** E.M.-S., A.G.-M., P.B.-M., J.J. and M.-S.B. performed experiments and prepared reagents; C.E. conducted electron microscopy studies; C.R. designed automated software for ImageJ quantifications; A.L. and F.T. provided expertise, data analysis and contributed to discussion; C.E., T.G. and C.R. designed the study and wrote, reviewed and edited the manuscript. All authors have read and agreed to the published version of the manuscript.

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Article

# **Expression of Annexin A2 Promotes Cancer Progression in Estrogen Receptor Negative Breast Cancers**

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Abstract: When breast cancer progresses to a metastatic stage, survival rates decline rapidly and it is considered incurable. Thus, deciphering the critical mechanisms of metastasis is of vital importance to develop new treatment options. We hypothesize that studying the proteins that are newly synthesized during the metastatic processes of migration and invasion will greatly enhance our understanding of breast cancer progression. We conducted a mass spectrometry screen following bioorthogonal noncanonical amino acid tagging to elucidate changes in the nascent proteome that occur during epidermal growth factor stimulation in migrating and invading cells. Annexin A2 was identified in this screen and subsequent examination of breast cancer cell lines revealed that Annexin A2 is specifically upregulated in estrogen receptor negative (ER-) cell lines. Furthermore, siRNA knockdown showed that Annexin A2 expression promotes the proliferation, wound healing and directional migration of breast cancer cells. In patients, Annexin A2 expression is increased in ER- breast cancer subtypes. Additionally, high Annexin A2 expression confers a higher probability of distant metastasis specifically for ER- patients. This work establishes a pivotal role of Annexin A2 in breast cancer progression and identifies Annexin A2 as a potential therapeutic target for the more aggressive and harder to treat ER- subtype.

Keywords: breast cancer; estrogen receptor negative; Annexin A2; mass spectrometry; metastasis

#### 1. Introduction

All cells, including tumor cells, exist not in a vacuum but in a continuous, interconnected and unbounded network of relationships. This includes relationships with other cells and with the extracellular or stromal environment in which the cells reside. In the case of tumor cells, these surroundings are collectively known as the tumor microenvironment (TME) [1]. Understanding how the TME can affect cellular behavior is of particular interest in attempts to elucidate the cellular mechanisms that enable a metastatic phenotype. This is because two of the cellular behaviors essential

to the process of metastasis (migration and invasion) rely on interactions between the tumor cell and its surrounding TME [2,3]. In migration, cells gain the ability to become aberrantly motile. This motility is given directionality via chemotaxis in which a receptor expressed by the cancer cells interacts with a chemoattractant ligand present within the TME [4]. Invasion, referred to as the defining feature of malignancy, is the ability of cancer cells to change their morphology and alter their surroundings in order to penetrate the basement membrane, degrade encompassing stroma and escape usual tissue boundaries [5]. These pivotal mechanisms of cancer disease progression can be modelled in vitro using cell lines and well-defined transwell migration and invasion assays [6].

Deciphering the steps involved in the metastatic process is of vital importance in breast cancer. Breast cancer begins as a disease localized to the breast tissue. Advancements in multi-modal treatments such as surgery and radiation, have increased the chances for cure for over 70% of patients [7]. However, the mortality associated with the disease of breast cancer does not arise from these localized breast tumors but rather, from when the cancer undergoes metastasis and spreads to distant sites and colonizes vital organs of the body. At present, metastatic breast cancer is considered incurable. Once this stage of disease is reached, treatment aims to prolong survival and control symptoms. For this reason, there is an urgent need to decipher the specific environmental cues and resultant mechanisms involved in the metastatic process. This is of particular importance in estrogen receptor (ER) negative breast cancer subtypes (including both the triple negative (TNBC) and HER2+ groupings) as these tumors have a more aggressive phenotype and higher rate of distant metastasis than ER positive tumors [8]. This understanding will guide the development of interventions aimed at preventing the metastasis and associated mortality seen in those diagnosed with ER negative breast cancer.

One method to assess the effect of environmental cues is to study the resulting influence on the cell's proteome [9]. There is strong evidence that proteomic analysis offers a truer physiological insight over transcriptomic methods. Measurements of mRNA transcript abundance alone have been reported to be a poor predictor of protein synthesis due to the fact it is subject to an independent layer of translational control that controls protein expression [10]. Transcriptomics also fails to take into account protein turnover and degradation as well as activation state through post-translational modification. Proteomic analysis of breast cancers has revealed prognostic markers [11], novel subtype classifications [12] and targetable protein abundance differences as cancer progresses through clinical stages [13]. Analysis of proteins that are newly translated and synthesized by cells allows for an accurate snapshot of cellular activities during a specific process or duration. In fact, the execution of cancer hallmarks—such as migration and invasion—which drive progression are ultimately achieved by dynamic alterations in protein expression and post-translational modifications of those proteins [14].

With this in mind, we have developed a model to investigate changes in the nascent proteome of aggressive, ER negative breast cancer cells as they undergo migration and invasion, stimulated through modulation of the extra-cellular environment. In this study, we utilized these in vitro transwell models in combination with bioorthogonal noncanonical amino acid tagging (BONCAT) to investigate proteomic changes in MDA-MB-231 breast cancer cells as they undergo epidermal growth factor (EGF) mediated migration and invasion. BONCAT is a widely used method to assess the primary nascent proteomic response to a specific stimulus within a specific short time period [9]. This method allowed us to isolate and identify newly synthesized proteins that were translated in the two hours following directional EGF stimulation. Metastasis is a dynamic process of changes in cellular expression and behaviors and thus, must be investigated via a dynamic and temporal model. Isolating the nascent proteome in this manner facilitates the precise examination of the cancer cell's translational response to environmental cues, which trigger a metastatic phenotype. This knowledge may elucidate novel therapeutic strategies or treatments that prevent the metastatic spread of cancer cells.

#### 2. Materials and Methods

### 2.1. Cell Culture

Human breast cancer cell lines (MDA-MB-231, MCF-7, ZR-75-1) were purchased from the ECACC culture collection (Sigma Aldrich, Wicklow, Ireland). Cell lines were routinely tested upon freezing and thawing for mycoplasma contamination using PCR. Cells were maintained at 37 °C, 5% CO₂ humidified incubator in DMEM-high glucose (MDA-MB-231 & MCF-7) or RPMI (ZR-75-1) supplemented with 10% fetal bovine serum, 5% l-glutamine and 5% penicillin/streptomycin (all obtained from Sigma Aldrich). ZR-75-1 media was additionally supplemented with 5% sodium pyruvate (Sigma Aldrich). For 3-dimensional culture, the on-top method was used where cells were seeded into wells containing Growth-Factor Reduced Matrigel™ (Biosciences, Dublin, Ireland) then covered with DMEM supplemented with 2% Matrigel. For analysis of cell signaling, cells were washed with PBS before being covered with serum free medium for 4 h prior to EGF stimulation (Peprotech, London, UK).

#### 2.2. Click-iT Reaction

Cell cultures plated in 2-D (24 h) and 3-D (6 day) on transwell membranes were starved of serum and methionine for 4 h. After this, serum free, methionine free DMEM supplemented with 50 ng/mL EGF was placed into the lower chamber. At the same time, the upper chamber media was replaced with serum free, methionine free DMEM supplemented with 50  $\mu$ M Azido Homo Alaine (AHA) (Thermo Fisher, Dublin, Ireland), a methionine analogue that is incorporated into newly synthesized proteins. Cells were then allowed to migrate and invade for 2 h. Cells were harvested from Matrigel or scraped from the membrane then lysed. The Click-iT reaction and incorporation of the tetramethylrhodamine (TAMRA) tag was then carried out according to Click-iT TAMRA Protein Analysis Detection Kit protocol (Thermo Fisher) to isolate newly synthesized proteins which had incorporated the AHA labelling. A control replicate omitting the EGF supplementation was carried out for both 2-D and 3-D cultures.

### 2.3. Immunoprecipitation

Newly synthesized proteins that had incorporated the TAMRA residue were then isolated from total cell lysate using anti-TAMRA immunoprecipitation. Lysates were precleared with protein G agarose beads (Roche, via Sigma Aldrich). The supernatant was then incubated with Anti-TAMRA antibody (Thermo Fisher) and protein G agarose beads at 4 °C overnight. The beads were washed with cell lysis buffer before being boiled with SDS loading buffer for 5 min. Immunoprecipitated samples were resolved on a 12% SDS gel and stained with Coomassie Instant Blue (Expedeon, Cambridge, UK). A control containing only beads was also performed to account for non-specific binding and contaminant exclusion.

#### 2.4. Mass Spectrometry and Data Analysis

Following SDS-PAGE, gel lanes containing protein bands stained with Coomassie were excised from the gel and placed in 20% glycerol for transport to Mass Spectrometry and Proteomics Facility, University of St Andrews, Fife. Gel chunks were subjected to trypsin digestion. Next, proteolytic peptide mixtures were separated by nano-LC utilizing an Eksigent two-dimensional LC NanoLC system (Eksigent/Applied Biosystems Sciex, MA, USA) interfaced with a QStar XL mass spectrometer (Applied Biosystems Sciex, MA, USA). Data sets were searched against the NCBInr 20160830 database using MASCOT software (Matrix Science, MA, USA) under the following parameters: maximum one missed cleavage of trypsin digestion, carbamidomethyl (C) as a fixed modification, oxidation (M) as a variable modification, a peptide mass tolerance of  $\pm$  20 ppm and a fragment mass tolerance of  $\pm$ 0.05 Da. Only scores higher than the significance threshold (p < 0.05) were reported. Proteins identified in bead only and non-EGF supplemented controls were subtracted from the protein lists.

Proteins were then characterized functionally based on the available literature associated with their NCBI entry. Gene ontology (Molecular Function–Slim) enrichment analysis was carried out using the Panther overrepresentation test (Version 14.1) [15] with the Fisher's exact test to calculate *p*-value and Benjamini–Hochberg procedure to calculate false discovery rate (FDR). FDR cut-off was <0.05. Protein-protein interaction networks were probed using STRING v11 [16] and visualized using Cytoscape StringApp [17].

## 2.5. Cell Lysis, SDS PAGE and Western Blotting

The cells were lysed in 1% NP-40 lysis buffer then quantified and denatured with SDS loading buffer and boiled for 5 min. Lysates were separated on 12% SDS acrylamide gels and subsequently transferred to nitrocellulose membrane. Membranes were blocked using 5% BSA for 1 h at room temperature then probed with corresponding primary antibodies at 4 °C overnight. Dilutions of antibodies were as follows: Annexin A2 1:1000 (Abcam, Cambridge UK), Annexin A2 1:1000 (BD Bioscience), B-Actin 1:1000 (Sigma), phospo-Tyr24-Annexin A2 1:250 (Santa Cruz Biotechnology, Heidelberg, Germany) E-Cadherin 1:1000 (Abcam). IRdye700- or IRdye800-conjugated secondary antibodies, were then coupled to the primary antibody for 1 h at room temperature. Protein bands were detected using the Odyssey Sc (LI-COR, Cambridge, UK).and quantified using Image Studio 5.2 (LI-COR)).

## 2.6. siRNA Transfection

To reduce Annexin A2 expression, the Neon Transfection System (Thermo Fisher) was used to transiently transfect MDA-MB-231 cells with siRNA oligonucleotides targeting ANXA2 mRNA according to the protocol supplied by Neon. Two different siRNA oligonucleotides were obtained from Qiagen (Manchester, UK) and used at 10 nM for 72 h (Sequences reported in Table S3). MISSION® siRNA Universal Negative Control (Sigma Aldrich) was transfected as negative control.

#### 2.7. Tissue Collection

Ethical approval was granted by the University Hospital Limerick's Ethics Committee and was allocated the ethical approval numbers 22/14 and 141/12. From the resected surgical specimens, core biopsies from the tumor mass were extracted by the operating surgeon. Where possible, pathologically normal breast tissue samples were also collected. The samples were stored in Allprotect Tissue Reagent (Qiagen) at  $-80\,^{\circ}$ C until extraction.

## 2.8. RNA Extraction

RNA from breast cancer cell lines was extracted using RNeasy Plus Mini kit (Qiagen) according to manufacturer's instructions. For breast tissue samples, RNA was extracted by submerging tissue into liquid nitrogen, grinding into a fine powder then using the RNeasy Lipid Tissue Mini Kit (Qiagen) in combination with Maxtract tubes (Qiagen) according to manufacturer's instructions. RNA was quantified using Nanodrop (Thermo Fisher) and quality was assessed by agarose gel separation. cDNA was then synthesized from 1  $\mu g$  or 500 ng of total RNA using a Vilo cDNA kit (Invitrogen, via Biosciences, Dublin, Ireland).

### 2.9. RT-qPCR

Applied Biosystems QuantStudio 7 Flex Real-Time PCR was used to measure gene expression using Taqman Gene Expression Assay Kits (Thermo Fisher). Seven different housekeeping genes were tested using Normfinder and the three most stable genes between the three cell lines were used to normalise gene expression across samples as previously described [18]. Relative fold change in gene expression was calculated using the  $2^{-\Delta\Delta CT}$  method and represented relative to a control sample set to 1 for each experiment. Data presented is from three independent experiments displayed as mean +/- SEM.

## 2.10. Cell Migration Assays

For wound healing assays, transfected cells were seeded into cell culture wells containing ibidi inserts (ibidi GmbH, Gräfelfing, Germany). After 72 h incubation, the insert was carefully removed and cells imaged using Olympus cellSens Dimension 1.12. Cells were incubated for 24 h, after which images of the wound closure were taken. Six fields of view were imaged for each condition and percent wound closure was measured using Image J (version 1.52n). Three individual experiments were carried out to verify results. Data is displayed as mean across three individual experiments +/- SEM.

For directional migration assays, the underside of 8  $\mu$ m transwell membranes were coated with fibronectin before 6  $\times$  10<sup>5</sup> cells in serum free DMEM media were plated onto the upper chamber. Cells were allowed to migrate towards a lower chamber containing 50 ng/mL EGF in serum free media for 24 h. Cells were removed from the upper chamber of the membrane. Migrated cells were fixed and stained with crystal violet and imaged. To quantify the cell migration, crystal violet stain was dissolved 10% acetic acid and intensity measured as absorbance at 595 nm in triplicate. Three individual experiments were carried out to verify results.

# 2.11. Real-Time Proliferation Assay

The rate of proliferation was monitored in real time using the xCELLigence RTCA DP E-plate system (ACEA, CA, USA).  $1.5 \times 10^4$  MDA-MB-231 cells transfected either with ANXA2 siRNA or negative control RNA were seeded into each well. The impedance value of each well was measured by the xCELLigence system every 15 min for 96 h and expressed as a cell index value (CI). CI was normalized at 4 h post seeding. Wells were analyzed in duplicate. Three individual experiments were carried out to verify results. Data is displayed as mean cell index +/– SEM.

### 2.12. Use of Publicly Available Gene & Protein Expression Datasets

Protein expression data of breast cancer cell lines was provided by D P Nusinow et al. [19]. Protein expression was measured using quantitative mass spectrometry and is expressed as normalized, relative values of protein abundance. Full details of normalization is available at [20]. Gene expression data of breast cancer cell lines was downloaded from the Cancer Cell Line Encyclopedia (CCLE) (Available at: https://portals.broadinstitute.org/ccle; GEO Series GSE36139). This data set was collected using GPL15308 Affymetrix Human Genome U133 Plus 2.0 Array. Microarray data was evaluated using the robust multichip average method (RMA) and quantile normalized before being made available through the CCLE [21]. Breast cancer cell lines were then classified by ER expression (positive or negative) according to the available literature [22,23] and AnxA2 mRNA expression was compared between groups. Dataset GSE42568 [24] was downloaded from the GEO data repository (http://www.ncbi.nlm.nih.gov/geo) using the GEOR function. This data set was collected using GPL570 [HG-U133\_Plus\_2] Affymetrix Human Genome U133 Plus 2.0 Array. Microarray data was evaluated using the GC robust multichip average (GCRMA) method and quantile normalized before being made available through GEO. Protein expression data using SILAC quantitative mass spectrometry of 40 breast cancer tissue samples was obtained from Tyanova et al. (2016) [25]. Normalized protein expression depicted as log2 (ratio of L/H). Distant metastasis free survival (interval from surgery to date

of metastasis diagnosis) was investigated by downloading data from <a href="http://kmplot.com">http://kmplot.com</a>, a manually curated database which collates gene expression and survival information from GEO, EGA and TCGA datasets (35 breast cancer datasets, using Affymetrix HGU133A and HGU133 Plus 2.0 microarrays) [26]. The median ANXA2 expression value for each cohort was chosen as the high/low cut off point. Graphpad Prism 8 was then used to produce Kaplan–Meier graphs and to calculate log-rank test result and hazard ratios.

## 2.13. Statistical Analysis

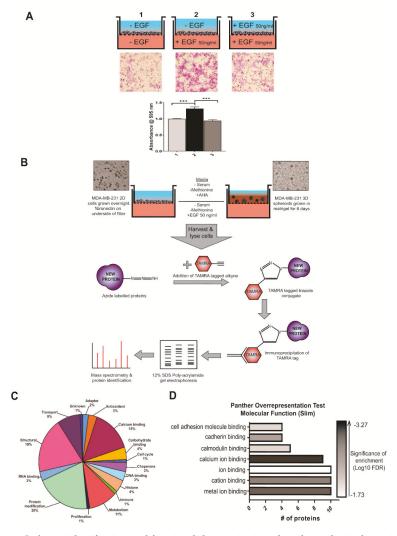
Statistical analyses were performed using GraphPad Prism version 8.0.2. The Shapiro–Wilk test was used to assess normality of sample distribution. Differences between two groups were calculated using Student's T-test (normally distributed samples) or Mann Whitney U test (non-normally distributed samples). Differences between three or more groups was calculated using ANOVA and multiple comparisons were performed using Bonferroni Correction. Data was reported as mean +/- standard deviation for technical replicates or mean +/- standard error of mean for biological replicates. A p value of <0.05 was considered statistically significant. Within figures, asterisks denote significance levels as such: \*  $p \le 0.05$ ; \*\*\*  $p \le 0.01$ ; \*\*\*\*  $p \le 0.001$ .

#### 3. Results

# 3.1. Isolation and Identification of Newly Synthesised Proteins Involved in Breast Cancer Cell Metastasis

In order to develop a model to investigate breast cancer metastasis in vitro, we chose MDA-MB-231s due to their aggressive, epithelial to mesenchymal phenotype and well-evidenced ability to migrate and invade in vitro. Additionally, MDA-MB-231 belong to the basal/TNBC sub-type and are thus estrogen receptor negative and known to express the EGF receptor [23]. To determine whether EGF would be an effective chemoattractant in our models of migration and invasion, a series of transwell migration experiments were carried out. The highest level of migration of MDA-MB-231 cells was observed when EGF was present only in the lower chamber, as evidenced in Figure 1A; demonstrating that EGF elicits a specific increase in directional migration. Our own observations are in accordance with those published in similar studies [27–30] and gives evidence to the selection of EGF as a trigger for the processes of migration and invasion in MDA-MB-23 cells.

Having determined 50 ng/mL EGF to be an appropriate chemoattractant for our breast cancer cell line, it was selected as the stimulus in transwell migration and invasion assays in our model. The workflow of this model is summarized in Figure 1B. To identify the newly synthesized proteins while breast cancer cells undergo migration and invasion, MDA-MB-231 breast cancer cells were grown in 2-D and 3-D culture as described in Materials and Methods. Cell cultures were serum and methionine starved then stimulated with EGF and supplied with AHA. After stimulation, a fluorescent TAMRA-alkyne was added which binds to the azide moiety of the AHA-tagged newly synthesized proteins. This allowed for isolation of the newly synthesized proteins using anti-TAMRA in an immunoprecipitation reaction, with the resultant product being proteins newly synthesized following stimulation to migrate and invade towards an EGF chemoattractant. Proteins in these samples were then identified using mass spectrometry analysis. To specifically identify proteins that were newly translated during EGF stimulation, a control experiment without the addition of EGF was conducted for both the 2-D and 3-D models. Proteins identified in the EGF omitted controls were then subtracted from their respective EGF stimulated protein lists.



**Figure 1.** Isolation, identification, and functional characterization of newly synthesized proteins in MDA-MB-231 migration and invasion. **(A)** MDA-MB-231 cells were plated in the upper chamber of transwell plates and media was supplemented (+/- EGF) according to diagram. Cell migration after 4 h was measured by crystal violet (CV) staining of cells which moved through the well and adhered to the underside of the membrane. Non-migrated cells were removed prior to staining. Membranes were then imaged using inverted microscope and migration of cells was quantified by dissolving of CV stain and measuring absorbance at 595 nm. Data displayed as mean  $\pm$  SEM, of 3 independent experiments, CV absorbance normalized to average value for well 1. Statistical analysis by one way ANOVA, p = < 0.0001. **(B)** Flow chart of model set-up, isolation of newly synthesized proteins, and mass spectrographic analysis carried out in this study. **(C)** Characterization of identified newly synthesized proteins according to the NCBI database entries of each protein and displayed as pie chart. **(D)** Molecular function enrichment analysis was carried out using the PANTHER overrepresentation test. The numbers of proteins annotated with each molecular function was plotted as a bar chart with the color scale representing the significance of the enrichment of molecular function within the list.

We identified a total of 95 newly synthesized proteins potentially involved in the migration and invasion of MDA-MB-231 breast cancer cells towards EGF (Table S1). Characterization of the protein list according to NCBI Protein [31] database entries found that the list spans a wide array of functions within the cell, with the highest proportions being: protein modification (e.g., kinases, phosphatases, proteases; 20%), structural (19%), and calcium binding (19%) as summarized in Figure 1C. We then carried out molecular function enrichment analysis using the PANTHER overrepresentation test which revealed the most significantly overrepresented category was that of proteins involved in calcium ion binding (GO:0045296, expected:0.97, input: 9, fold enrichment: 9.31, p-value:  $7.56 \times 10^{-7}$  false discovery rate:  $3.82 \times 10^{-4}$ , Figure 1D). This functional characterization showed that proteins newly synthesized in the processes of cell migration and invasion encompass a wide variety of functions and numerous essential processes in cell signaling and behavior, many of which are implicated in cancer progression.

# 3.2. Identification and Verification of Annexin A2 as A Newly Synthesized Protein in EGF Stimulated Migration and Invasion

Of the total 95 proteins identified as newly synthesized, 41 proteins were identified in the 2-dimensional culture migration experiment only, and 40 unique proteins were identified in the 3-dimensional culture invasion experiment only. When displayed in the Venn diagram in Figure 2A, 14 proteins were found to be common to both lists, meaning that they had been identified in both the 2-D migration and 3-D invasion models. Protein-protein interaction analysis of these 14 proteins using STRING [16] revealed an extensively linked network within the group, (with the exception of NCCRP1) as seen in Figure 2B. To identify key proteins within the identified network, each node was assessed for its degree of centrality, edge connectivity and whether it has been previously reported as important in breast cancer metastasis. Annexin A2, having satisfied these criteria and was chosen as a candidate protein to investigate how perturbing this elucidated network would affect breast cancer cell migration and invasion. Annexin A2 was also chosen as it was identified in both experiments, was relatively highly scored and due to its molecular function as a calcium ion binding protein [32], a gene ontology term found to be most significantly enriched (FDR =  $3.82 \times 10^{-4}$ ) following analysis of the total protein list. Annexin A2 is a member of the 12 protein annexin family, a group of proteins which have the ability to bind negatively charged membrane phospholipids in a calcium dependent manner [33,34]. In addition to the interactions illustrated in Figure 2B, Annexin A2 has proven links with a number of other identified proteins such as beta- actin [35] and tubulin [36]. Thus, it is highly probable that Annexin A2 is playing a central role in influencing this network, for example through its roles in actin polymerization [37] and cytoskeletal rearrangement [38,39]. In addition, due to the integral role and embryonic lethality of essential cytoskeletal proteins like beta actin [40], we believe there is more potential therapeutic value in investigating proteins that have a more regulatory, non-essential role, such as Annexin A2 [41]. In addition, Annexin A2 has a known clinical association with cancer [42] and has a role downstream of growth factor signaling pathways such as the IGF-IR [43] and the EGFR [44,45] pathways. This association was first established in hepatocellular carcinoma in 1990 [46] but to date, it has been found to be commonly overexpressed in multiple cancer types such as colorectal, breast, lung and pancreatic [42]. The amino acid sequence of Annexin A2 with the matched peptide sequences is shown in Figure S1 (Score: 169, Monoisotopic mass (Mr): 38808, Calculated pI: 7.57, Matches: 5, Sequences: 4, Coverage: 12%). The reported mass agrees with Annexin A2's predicted molecular weight of 38 kDa. A representative MS/MS spectrum of one Annexin A2 peptide is also shown. Apart from Annexin A2 several other proteins of potential future interest were identified (Figure 2A,B). Intriguingly, a number of metabolism associated proteins, including GAPDH, LDHA, and GSTP1 were identified. Altered metabolism is a fundamental hallmark of cancer [47] and it has even been suggested that specific deregulations in the metabolism of metastasizing cells could be a specific therapeutic target [48].

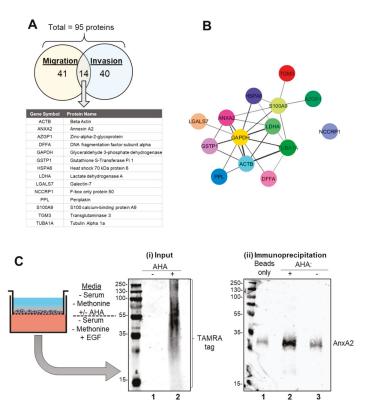


Figure 2. Annexin A2 is newly synthesized during the EGF stimulated migration and invasion of MDA-MB-231 cells. (A) 95 proteins in total were identified following mass spectrometry analysis and MASCOT peptide identification. Of these, 41 were found in the migration experiment only, 40 were found in the invasion experiment only and 14 were found to be common to both, listed here. (B) Protein-protein interactions between the 14 proteins found to be common to both the migration and invasion lists were assessed with String (http://string-db.org) [16] and visualized using the StringApp in Cytoscape set at 0.2 confidence level [17]. Edge line thickness denotes the confidence of association between protein nodes. (C) (i) Input: Western blot analysis of Click-It reaction lysate shows successful incorporation of the TAMRA tag into newly synthesized proteins when AHA was added. (Lane 1: Cell lysate of EGF migrating cells without AHA, Lane 2: Cell lysate of EGF migrating cells with AHA). (ii) Immunoprecipitation: Consequent TAMRA immunoprecipitation assay showed Annexin A2 pulldown following EGF induced cell migration as measured by SDS-PAGE and western blotting with anti-Annexin A2 antibody. (Lane 1: Beads only control, Lane 2: AHA+ lysate from lane 2 of (i), Lane 3 AHA- lysate from lane 1 of (i)).

Following identification of Annexin A2, we proceeded to validate it as a newly synthesized protein by replicating the initial migration experiment. This was done by performing a TAMRA immunoprecipitation (IP) of the Click-iT reaction lysate to isolate proteins that had incorporated the TAMRA tag then western blot analysis to show successful Annexin A2 pulldown (Figure 2C). Pull down of Annexin A2 is clearly increased in the AHA+ lysate sample (Panel (ii) lane 2) in comparison to the beads only (no antibody) control (Panel (ii), lane 1) and AHA- (non-TAMRA labeled) lysate control (Panel (ii), lane 3). This pulldown validates our approach and verifies our identification of Annexin A2 as a newly synthesized protein via mass spectrometry analysis.

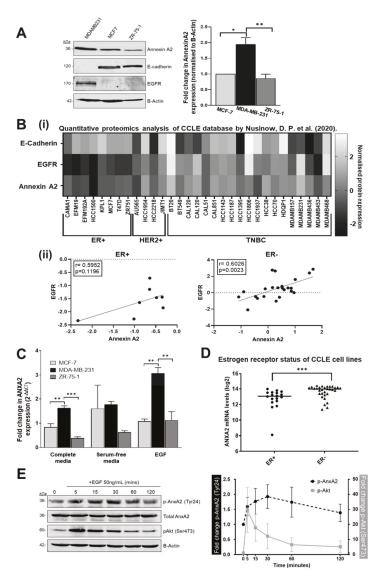
## 3.3. Annexin A2 Expression is Increased in Estrogen Receptor Negative Breast Cancer Cells

Following identification and verification of Annexin A2 as a protein newly synthesized in EGF mediated MDA-MB-231 cell migration and invasion, we next examined its expression profile across a number of breast cancer cell lines. Western blotting analysis of cell protein content shows an increased expression of Annexin A2 in the estrogen receptor negative (ER-), basal/TNBC cell line MDA-MB-231 when compared to the estrogen receptor positive (ER+), luminal cell lines MCF-7 and ZR-75-1 (both ER+/PR+) (Figure 3A). Expression of Annexin A2 appears to inversely correlate with expression of E-Cadherin, the loss of which is a known marker of epithelial to mesenchymal transition. To further support these findings, we analyzed data from D. P. Nusinow et al. (2020) [19] to generate a protein expression heatmap (Figure 3B) showing how the expression of Annexin A2, EGFR, and E-Cadherin vary across a larger sample of cell lines, according to breast cancer subtype classification. From this it is evident that there is an increase in both Annexin A2 and EGFR expression in the ER- (HER2 and TNBC) cell lines when compared to ER+ cell lines (further depicted in Figure S2). This supports and is in agreement with our conclusions drawn from our western blot data (Figure 3A). Interestingly, we do not see an association between the loss of E-Cadherin and elevated Annexin A2 when we query this larger cohort of cell lines, thus the trend observed in Figure 3A may be attributed to individual characteristics of the three cell lines tested. When we consider the potential relationship between Annexin A2 and EGFR expression, our analysis shows that in ER- cell lines, there is a strong positive and significant correlation between the expression of these two proteins (Pearson's correlation, r = 0.6026, p = 0.0023). Importantly, and in support of our hypothesis, we do not see this significant correlation in ER+ cell lines (r = 0.5952, p = 0.1196).

Next, we examined Annexin A2 mRNA expression using RT-qPCR in breast cancer cell lines, under normal, serum starved and EGF stimulated conditions. Again, we observed Annexin A2 expression to be increased in ER- cells in comparison to ER+ cell lines under all culture conditions (Figure 3C). In particular, we found that following 4 h of 50 ng/mL EGF stimulation ANXA2 is even more highly expressed in MDA-MB-231s when compared to the other ER+ cell lines. This suggests that the increased expression of ANXA2 in response to EGF is specific to the ER-, EGFR expressing MDA-MB-231 cell line. We then utilized the available data within the Cancer Cell Line Encyclopedia (CCLE) [21] to further probe the relationship between ER status and AnxA2 expression. The CCLE is a large, publicly available data repository which compiles gene expression, chromosomal copy number and sequencing data from 947 human cancer cell lines, spanning 36 tumor types [21]. Breast cancer cell lines with ANXA2 expression data available (n = 49) were first categorized according to positive or negative ER status according to previously published molecular characterization studies [22,23]. This stratification revealed 18 of the available cell lines to be ER+ and 31 to be ER-. Comparing levels of ANXA2 mRNA expression between ER+ and ER- cell lines, as measured by Affymetrix U133 plus 2.0 arrays, showed ER- lines exhibit significantly higher expression of ANXA2 (p = 0.0008) as shown in Figure 3D. The cell lines analyzed and their respective ANXA2 expression values are outlined in Figure S2. These results show that expression of Annexin A2 in breast cancer is strongly influenced by estrogen receptor status and that its overexpression in breast cancer may be restricted to the ERsubtype. ER- negative breast cancers include both TNBC and HER-2 over expressing subtypes, both of which are more aggressive cancers with a poorer prognosis [49].

As the synthesis of Annexin A2 identified in our initial experiment was triggered by stimulation with EGF, we investigated the effect of EGF on the regulation of Annexin A2 (Figure 3E). To control our experiment, we examined the p-Akt response, which was used as a read-out of our serum starvation and EGF stimulation. We found that stimulation of MDA-MB-231 cells with EGF resulted in an increase in phosphorylation of Annexin A2 on Tyrosine 24 (Tyr24) which reached a peak phosphorylation at 30 min, before decreasing towards, initial, pre-stimulation levels over 120 min (Figure 3E). This post-translational modification allows Annexin A2 to bind to \$100A10 (also known as p11) to form the AnxA2/p11 heterotetramer which then is translocated to the extracellular cell surface [50,51]. This modulation is of particular relevance in cancer phenotype as once on the cell

surface, AnxA2/p11 promotes the conversion of plasminogen to active plasmin, a protease which plays a role in extracellular matrix degradation and activation of matrix metalloproteases, critical steps in cancer cell metastasis [34,52]. In fact, Tyr24 phosphorylation has been shown to be critical for the invasive potential of multi-drug resistant breast cancer cells [53] and is proposed to modulate epithelial to mesenchymal transition in a number of cancer types, including pancreatic [54], cervical [55], colon [56] and lung [57].



**Figure 3.** Annexin A2 expression is increased in ER negative breast cancer cells and undergoes transient phosphorylation following EGF stimulation. (A) Comparison of Annexin A2 expression in three breast

cancer cell lines: MDA-MB-23, MCF-7 and ZR-75-1 as measured by SDS-PAGE and western blot. Protein expression was quantified by densitometric signal analysis on Image Studio software (LI-COR). Annexin A2 signal was normalized to beta-actin signal, then expressed in comparison to MCF-7 expression as 1. Data shown is mean +/- SEM for 3 individual experiments, measured by ANOVA with Bonferroni, p = 0.0034. (B) (i) Heatmap of Annexin A2, EGFR and E-Cadherin protein expression data for breast cancer cell lines from Nusinow, D. P. et al. (2020), stratified according to breast cancer subtype. Protein expression represented as normalized relative protein expression, as measured by quantitative proteomics. (ii) Scatterplots of the same data indicating the relationship between Annexin A2 and EGFR protein expression. A significant and strong positive correlation between Annexin A2 and EGFR expression is seen for ER- cell lines (Pearson's correlation, r = 0.6026, p = 0.0023) (C) Gene expression of ANXA2 in three breast cancer cell lines: MDA-MB-231, MCF-7 and ZR-75-1. Expression was calculated using delta CT method and displayed as fold change (corrected RQ) in comparison to MCF-7 expression for each condition. (i.e., MCF-7 expression normalized to 1) differences between cell lines analyzed by ANOVA with Bonferroni. (D) Publicly available Affymetrix gene expression data for human breast cancer cell lines was obtained from the CCLE. Cell lines were categorized according to ER expression status and difference in ANXA2 expression between the 2 groups was measured using Mann–Whitney U test, p = 0.0008 (E) Western blot showing the effect of EGF stimulation on AnxA2 expression and phosphorylation in MDA-MB-231 cells. After 4 h of serum starvation, cells were treated with 50 ng/mL EGF. Representative blot and corresponding time course graph showing the increase in AnxA2 phosphorylation at Tyr24 followed by decrease after 30 min. Densitometry signal normalized as phosho-AnxA2/total AnxA2 and phospho-Akt/total beta-actin. Data points displayed as mean of 3 individual experiments ±SEM.

# 3.4. Annexin A2 is Required for Cell Proliferation, Wound Healing and EGF Directed Cell Migration of ER Negative Breast Cancer Cells

Following evidence of Annexin A2's involvement in the migration and invasion in our ER negative cell model, we next investigated its influence on the cancer phenotype with a series of functional assays. AnxA2 expression was suppressed in MDA-MB-231 cells using siRNA specific to AnxA2 mRNA transcript (Table S2). Figure 4A shows a representative blot of knockdown efficiency, showing reduced level of Annexin A2 protein in cells 72 h following transfection. Firstly, using a real time cell analysis platform (xCELLigence) the effect on the growth and proliferation of MDA-MB-231 cells was monitored by measuring cell index every 15 min over the course of 96 h. As shown in Figure 4B, the depletion of AnxA2 significantly reduced the proliferation of MDA-MB-231 cells when compared to control cells. Next, the effect of AnxA2 knockdown on cell migration was then assessed using two independent assays. We first used wound healing assays which revealed knockdown cells to have a significantly decreased ability to close the wound after 24 h (Figure 4C). This was followed by transwell chamber experiments, which specifically tested the effect on the directional migration and the response to an EGF chemoattractant. In this assay, MDA-MB-231 cells were plated in serum-free media on the upper chamber of the transwell and allowed to migrate towards the bottom chamber, containing serum free media supplemented with 50 ng/mL EGF. We found that ANXA2 knockdown cells were significantly reduced in their ability to migrate towards an EGF gradient (Figure 4D). These results are consistent with previous reports of Annexin A2 being a positive regulator of wound healing in MDA-MB-231 cells [44,58]. However, they also point towards the role of Annexin A2 in promoting specific EGF directed migration. In addition, we have shown that Annexin A2 knockdown inhibits the proliferative ability of the ER- MDA-MB-231 cells. These functional results were confirmed by replication of each assay using another clone targeting ANXA2 (Figure S4). Taken together, this data strongly supports the hypothesis that Annexin A2 promotes cell proliferation, wound healing and cell migration, all critical processes which support the malignant phenotype of ER- breast cancer cells in vitro.

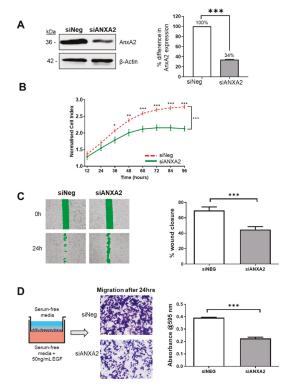


Figure 4. siRNA mediated knockdown of AnxA2 inhibits the proliferation and migration of MDA-MB-231 cells. (A) MDA-MB-231 cells were transfected with a small interference oligonucleotide against ANXA2 [10 nM] for 72 h, using the Neon Transfection system. Representative blot showing the efficiency of ANXA2 knockdown (KD) after 72 h and densitometry analysis of Annexin A2 protein bands normalized against beta-actin loading control and expressed as a percentage of AnxA2 level in negative control, measured using Image Studio software. Data displayed as mean +/- SEM for three individual experiments. (B) Reduction of Annexin A2 expression in MDA-MB-231 cells significantly inhibits cell proliferation as measured by real time change in cell impedance. KD and negative control cells were seeded in duplicate into E-plate wells and the rate of proliferation was measured in real-time using the xCELLigence system. Proliferation of KD cells (green) was compared to the proliferation of negative control wells (red) over the course of 96 h. Data displayed as mean cell index +/- SEM for three individual experiments. (C) Reduction of Annexin A2 expression in MDA-MB-231 cells significantly inhibits cell migration as measured by wound healing assay. Knockdown and negative control cells were plated into dishes containing ibidi Culture Inserts. After 72 h, the inserts were removed to generate a cell free wound. The green zone indicates the wound size as measured at 0 h and 24 h post-removal of insert. Data displayed as percent wound closure for 3 individual experiments (6 fields of view each) +/- SEM, p = 0.0002. (D) Reduction of Annexin A2 expression significantly inhibits EGF directed cell migration as measured with transwell migration chambers. Cells were plated onto the upper chamber of transwell membrane and allowed to migrate towards 50 ng/mL EGF chemoattractant for 24 h. Cells were removed from the upper chamber, leaving only those that had migrated to the lower chamber. Migrated cells were stained with crystal violet and imaged using an inverted light microscope. Crystal violet stain was dissolved, and intensity measured as absorbance at 595 nm in triplicate. Data displayed as mean absorbance values for 3 individual experiments +/- SEM, p < 0.0001.

3.5. Gene Expression Analysis of Breast Cancer Tissue Shows ANXA2 is Specifically Upregulated in ER Negative Breast Cancer and Correlates with Rates of Metastasis

Due to our accumulating evidence of Annexin A2's importance in breast cancer progression in our in vitro cell models, we next investigated its expression in breast cancer patient tissue. RT-qPCR was used to analyze the level of ANXA2 mRNA in core biopsy tissue samples (normal = 5, cancer = 30). Surprisingly, despite several reports in the literature that ANXA2 is upregulated in breast cancer [42,59,60], we observed that expression of ANXA2 is significantly down regulated (p = 0.0002, Mann Whitney U test) in breast cancer tissue in comparison to pathologically normal breast tissue (Figure 5A). When ANXA2 expression levels were stratified according to nodal involvement—an indicator of breast cancer disease progression—we found a trend of increased ANXA2 expression in those who had nodal positive disease, but this was not statistically significant (p = 0.8674, Mann Whitney U test). Due to the sample size of this local cohort, the expression of ANXA2 according to receptor status could not be carried out reliably (ER+ = 24, ER- = 2, see Table S3). Thus, to add power to our investigation and to further interrogate the relationship between ER status and ANXA2 expression we then extended our study to publicly available gene expression datasets.

The NCBI GEO database is a public functional genomics data repository through which anonymized gene expression and corresponding clinical attributes can be accessed and analyzed. The dataset GSE42568 was chosen to closely reflect our local experimental cohort as it also contained gene expression data from normal (n = 17) and breast cancer (n = 104) tissue from Irish patients. Fold change of ANXA2 expression was measured as log2 using Affymetrix microarrays and probe set 201590\_x\_at and differences between groups was measured using Student's t-test. Analysis of this data set (Figure 5B) also showed a significant down regulation in ANXA2 in breast tumor tissue compared to normal breast tissue (p < 0.0001, Mann–Whitney U). Further, by categorizing the GSE42568 cohort according to ER expression, we see that ANXA2 expression is significantly upregulated in those with ER negative breast cancer tissue (p = 0.0094, Student's t-test), which is consistent with our cell line data. Reflecting the results seen in our RT-qPCR local cohort, ANXA2 appears to be slightly increased in those with nodal progression in GSE42568 (p = 0.1121, Student's t-test). The expression status of other receptors such as progesterone receptor (PR) and HER-2 were not recorded for this dataset (Table S4). To further verify the relationship between Annexin A2 and ER- breast cancer tissue, we interrogated available quantitative proteomic data from S. Tyanova et al. (2016) [25]. Through this we found, in support of our argument, that Annexin A2 expression at the level of the protein is significantly higher in ER- breast cancer tissue (p = 0.0185, Student's t-test) (Figure S5).

These trends are further evidenced by querying the METABRIC (Molecular Taxonomy of Breast Cancer International Consortium) cohort for ANXA2 expression. METABRIC is a publicly available database containing genomic and clinical data for over 2000 breast cancer patients [61]. As illustrated in Figure 5C, again, ER negative patients appear to have a higher expression of ANXA2 (p < 0.0001, Mann–Whitney U). When considering expression of other receptors, it can be seen that PR negative patients have a slight increase in ANXA2 expression overall (p = 0.0037, Mann–Whitney U), whereas HER-2 positive patients have increased ANXA2 expression compared to those who do not have HER-2 overexpression (p < 0.0001, Mann–Whitney U). The 3-gene classifier postulated by Haibe-Kains B et al. [62] allows for the stratification of tumor samples into four main clinical subtypes: ER+ high proliferation, ER+ low proliferation, TNBC (denoted as ER-/HER2- in METABRIC) and HER2+. Comparing ANXA2 expression between these subtypes reveals both ER positive subtypes to have a significantly lower expression of ANXA2 than their ER negative counterparts. (TNBC vs. ER+hi prolif, p < 0.0001; TNBC- vs. HER2+, p = 0.2737; TNBC vs. ER+ lo prolif, p < 0.0001; ER+ hi prolif vs. HER2+, p < 0.0001; ER+ hi prolif vs. ER+ lo prolif, p = 0.4909; HER2+ vs. ER+lo prolif, p < 0.0001, Kruskal-Wallis with Dunns adjustment). Once more, analysis of this large breast cancer cohort appears to link ANXA2 expression with ER negative breast cancer, encompassing both the aggressive triple negative and HER-2 overexpressing subtypes. In addition, those with a positive nodal status also have

an increased expression of ANXA2 when compared to those without nodal involvement, regardless of receptor status (p = 0.0050, Mann–Whitney U).

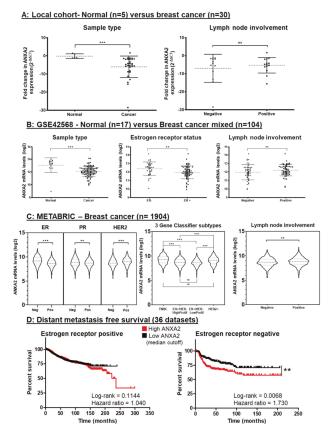


Figure 5. ANXA2 expression is associated with and is a prognostic indicator in ER negative breast cancer. (A) Analysis of ANXA2 expression using qRT-PCR of normal (n = 5) and breast cancer (n = 30) tissue samples. Analysis showed ANXA2 is significantly upregulated in normal tissue versus cancer tissue (p = 0.0002). Gene expression represented as fold change from normal closest to mean. Horizontal bars represent the mean and SD of fold change. Statistical difference between groups tested using Mann Whitney U test. (B) Analysis of ANXA2 mRNA expression in GSE42568 showing ANXA2 is upregulated in normal tissue versus cancer tissue, (p < 0.0001), in ER- breast cancer versus ER+ breast cancer (p = 0.0094) and in patients with nodal positive disease (p = 0.1121). Horizontal bars represent the mean and SD gene expression levels. Statistical difference between groups was measured using Student's t-test. (C) Analysis of ANXA2 mRNA expression of the METABRIC cohort showing upregulation of ANXA2 in ER negative (p < 0.0001), PR positive (p = 0.0013) HER2 positive (p < 0.0001) and in nodal positive (p = 0.0050) breast cancer patients. Using the 3 gene classifier (42) to stratify patients shows TNBC and HER2+ subtypes to have significantly higher expression of ANXA2 than both ER+/HER2- subtypes. Statistical difference between groups was calculated using Mann-Whitney U test. (D) Kaplan-Meier curves comparing the DMFS of patients with high ANXA2 expression (red) versus low ANXA2 expression (black) as determined by median expression value cut-off. Curves were analyzed using univariate log-rank tests. Expression of ANXA2 was found to not have a significant effect in ER+ patients whereas it did significantly increase probability of distant metastasis for ERpatients (p = 0.0068).

Taking into account the specificity we see of ANXA2 overexpression in ER-negative breast cancer and the potential influence ANXA2 has on disease progression in terms of lymph node involvement, we then wanted to ascertain the effect ANXA2 expression has on prognosis for breast cancer patients. Gene expression and survival data sets were downloaded from KMPlot.com and categorized according to ER expression (derived from gene expression data) [26]. Kaplan–Meier plots and log-rank tests were used to compare the distant metastasis free survival (DMFS) rates of patients stratified according to high or low ANXA2 expression, illustrated in Figure 5D. Using a median value as high/low cut off for ANXA2 expression, we found that for ER positive patients (n = 1395), ANXA2 expression had no significant effect on DMFS. Contrastingly, in patients with ER negative breast cancer (n = 351), high ANXA2 expression had a significant detrimental effect on DMFS, with a hazard ratio showing that those with high expression were almost 2 times more likely to develop distant metastasis (p = 0.0068, HR = 1.730). These results indicate that ANXA2 expression is correlated with poor clinical outcome, specifically distant metastasis for only the ER negative grouping of breast cancer patients.

#### 4. Discussion

In this study, we utilized well-established transwell chamber assays, in combination with the BONCAT method and mass spectrometry to identify the nascent proteome that is synthesized when breast cancer cells undergo migration and invasion towards an EGF chemoattractant. This is an innovative method to assess the global proteomic changes that occur during these processes of cancer progression using an in vitro model. This experimental set-up allowed for a highly specific and controlled insight into the early translational events involved in the metastatic process in a model of aggressive ER negative breast cancer. Functional analysis of the proteins identified in this procedure showed that a wide range of cellular functions were regulated by the chemotactic EGF stimulation. Of these functions, gene ontology enrichment analysis revealed calcium ion binding to be the most significantly overrepresented GO term within our list. Calcium signaling has well-established links to processes of cancer progression such as proliferation, apoptosis and migration and invasion [63,64]. Furthermore, calcium flux has an intrinsic role in the breast due to lactation and the expression of several calcium pumps, involved in milk production, have been implicated in breast cancer [65]. Altered calcium homeostasis has been observed within breast cancer, however, it is currently unclear whether the dysregulation of calcium binding proteins like Annexin A2 are a cause or a consequence of this imbalance [64]. Despite this, it is known that Ca<sup>2+</sup> level within the cell regulates Annexin A2's affinity for its binding partners and cellular components such as the plasma membrane and the actin cytoskeleton [51,66,67]. Apart from Annexin A2, calcium binding proteins such as the S100 family (several of which were identified in our screen, Table S1) have been thoroughly investigated in the context of cancer, as reviewed by Bresnick et al. [68] and these, along with Annexin A2, have the potential to be utilized as prognostic or therapeutic targets in breast cancer [69]. As well as successfully identifying Annexin A2 as a mediator of breast cancer cell migration and invasion, our screen has provided an extensive list of proteins potentially involved in breast cancer metastasis which require further investigation (Table S1). This valuable information can guide our and others' future research into deciphering the mechanics of cancer progression.

As migration and invasion constitute integral mechanisms in the development of cancer metastasis, the proteins identified by our model and mass spectrometry screen potentially have a role in cancer progression. We thus tested the value of our experimental design by conducting a focused study on Annexin A2—a protein identified in both our migration and invasion experiments. Annexin A2 is a calcium ion regulated membrane binding protein [67] found to play a role in a wide range of cellular processes, from endo- and exo- cytosis to proliferation and apoptosis [51]. One of its best-known functions is its hand in the proteolytic cascade, triggered by the activation of plasmin. The plasminogen activation system is vital for the tissue remodeling required for wound healing. This process however, has also been associated with cancer progression [70]. AnxA2 promotes the conversion of the inactive pre-protein plasminogen into its active version, the serine protease called plasmin. AnxA2 does this by

binding both tissue plasminogen activator (tPA) and plasminogen thus bringing enzyme and substrate together spatially and significantly increasing the rate of conversion [71]. This fibrinolytic process produces proteases with the ability to degrade extracellular matrix (ECM) proteins and further activate matrix metallo-proteases (MMPs). When dysregulated, this degradation ability greatly accelerates the progression of cancer by giving the tumor a greater chance to invade through the ECM and metastasize to other locations in the body [60]. Annexin A2 has been proposed as a potential biomarker and therapeutic target for aggressive and metastatic cancers [72,73]. A recent study by Shen et al. even postulated the use of phosphorylated Annexin A2 for the selective imaging of solid tumors within the clinic [74]. Studies by Sharma et al. [58] and Yeatman et al. [75] have actually shown that the expression of Annexin A2 is higher in metastatic cancer cell lines versus non-metastatic cancer cells. The recent authoritative review by Sharma discusses these studies and similar findings in a number of cancer types and concluded by proposing Annexin A2 to be a universal signature of aggressive and metastatic cancer [72]. Our approach has added to the consensus that Annexin A2 plays an important role in the progression of breast cancer, suggesting that stimulus to migrate and invade with an EGF chemoattractant actually induces the nascent translation of Annexin A2.

The patterns of higher Annexin A2 expression at both a protein and gene level, as demonstrated using both western blotting and RT-qPCR methods (Figure 3A,C), as well as through interrogating independent genomic and proteomic data repositories (Figure 3B,C) suggested the importance of Annexin A2 expression in ER negative breast cancer. Thus, we next assessed the functional consequences of decreasing Annexin A2 expression using RNA interference techniques within an ER negative breast cancer model. Knockdown of Annexin A2 attenuated many of the traditional hallmarks of cancer exhibited by MDA-MB-231 cells. Cell growth and proliferation was diminished, adding to the evidence of Annexin A2's role in cell division, as reported in a number of experimental models [76,77]. Cell migration, as measured by both wound healing assay and EGF-induced directional migration, was significantly diminished upon reduction of Annexin A2 expression. As discussed earlier, cancer cell motility and chemotactic response to factors found within the tumor microenvironment are pillars of metastatic progression. Annexin A2 has been implicated in cell motility due to its role in binding actin filaments, regulating filament polymerization, and cytoskeletal organization [35–37]. In addition, it has been shown to recruit Rho GTPases, cofilin and other motility associated effector proteins [38,39,45,78,79] This role of Annexin A2 in promoting breast cancer cell migration equates with results from previous research [44,80,81] but to our knowledge is the first instance to show the specific reaction to an EGF gradient. To further validate the clinically relevant role of Annexin A2 in metastasis, future investigations are needed. Studies using Matrigel plug assays [82] and xenograft models [83] have indicated the potential of targeting Annexin A2 in angiogenesis and tumor growth in vivo. However, various measurements of invasion such as 3-D spheroid formation and gelatin zymography, in combination with physiologically relevant, spontaneous metastatic mouse models (such as those used in [84]) are essential for a fully comprehensive insight into Annexin A2's role in the metastatic cascade.

A link with EGF signaling could be contributed to the interaction between Annexin A2 and the EGF receptor (EGFR). Our results (Figure 3B(ii)) indicate a strong correlation between the expression of EGFR and Annexin A2 in ER negative cell line models. However, within the literature, this relationship is riddled with conflicting reports with some data showing that reduction of Annexin A2 dampens the downstream activation of the EGFR pathway [44]; while others report that inhibition of Annexin A2 expression enhanced both EGF induced cell migration and downstream activation of JNK and Akt in mouse models [45]. Indeed, our results (Figure 3D) and others [85], show that EGF stimulation appears to transiently increase Annexin A2 phosphorylation at Tyr24. This phosphorylation is likely caused by EGFR mediated activation of Src kinase, of which Annexin A2 is a known substrate [44]. Current literature suggest that this phosphorylation promotes Annexin A2 translocation to cell and exosomal surfaces where it can enact its pro-metastatic functions by bridging proteins together to activate ECM remodeling enzymes and even attract pro-tumorigenic macrophages into the TME [54,58,81,86–88].

Recent work by Y Fan et al., showed that the reduction in migration and invasion attributed to Annexin A2 suppression can be rescued by the exogenous expression of a phospho-mimic-ANXA2 but not by a phospho-null-ANXA2, highlighting the importance of the phosphorylation we have observed [53]. Furthermore, a number of studies have linked growth factor mediated phosphorylation of Annexin A2 to the promotion of EMT in a variety cancer types [55–57,81]. Although the methods used for assessing EMT vary, many come to the consensus that Annexin A2 plays a role in triggering the mesenchymal and migratory phenotype in cancer cells via the transcriptional program of EMT. This agrees with our observations of Annexin A2 suppression diminishing the migratory capacity of breast cancer cells (Figure 4C,D) and potentially correlating with loss of EMT marker, E-Cadherin, in specific cases (Figure 3A). Despite the increase in Annexin A2 phosphorylation and the nascent translation proposed by our mass spectrometry identification (Figure 3D), the level of total Annexin A2 within the cell remains constant when measured by western blot. This is similar to results seen by Maji et al. in which exosomal Annexin A2 was seen to increase in a progressively metastatic breast cancer cell line model, despite seeing no change when the whole cell lysate is analyzed [87]. This could suggest that the total cellular pool outweighs the newly synthesized pool of Annexin A2 that is translated upon directional EGF stimulation, thus masking any potential increase in protein levels. This is supported by reports of Annexin A2 having a long half-life (40-50 h) [89-91] and by our own findings that suggest that it is only 72 h post transfection with siRNA against ANXA2 that we see the knockdown and maintenance of its knockdown beyond 35% (data not shown). Further examination is ongoing to ascertain whether Annexin A2 is newly synthesized during this process to replace the protein lost to cell surface translocation. Exosomal or secreted Annexin A2 has been reported to promote breast cancer metastasis and angiogenesis in a number of studies [58,82,87] and has even been proposed as a serum based biomarker for breast cancer detection [82,92]. Taken together, this suggests that Annexin A2 is involved in processes that promote breast cancer disease progression and this could be particularly true for tumors driven by EGFR overexpression. This is further compacted by our findings of a significant correlation between Annexin A2 and EGFR expression and by the observation that breast cancers found to have EGFR overexpression are more commonly ER negative than ER positive [93].

Having established the importance of Annexin A2 expression in maintaining proliferation, wound healing, and migration within our in vitro model (Figure 3), we next moved to investigate its expression in patient tissue. Interestingly, we found that when unstratified breast cancer samples were compared to normal breast tissue, Annexin A2 expression was significantly lower in the cancer tissue. This finding was validated in the larger GSE4825 cohort. This is in contrast to immunohistochemical analysis by Sharma et al. [58] which showed Annexin A2 to be undetectable in normal breast epithelia but strongly and consistently expressed in invasive breast cancer tissue. This discrepancy could be explained by a differential level of translational control between mRNA to protein. However, this requires further investigation as our result poses a challenge to the current general consensus that Annexin A2 is upregulated in breast cancer compared to pathologically normal tissue [42,59,60].

The stratification of breast cancer patients according to their ER expression status (GSE42568, METABRIC and S. Tyanova, et al. (2016) cohorts) revealed that the trend seen in cell lines also applies to human breast cancer tissue samples. ER negative breast cancer encompasses both the triple negative and HER-2 overexpressing subtypes [49]. These are often more aggressive and have fewer treatment strategies in comparison with the more common ER positive breast cancers. Women diagnosed with ER negative tumors are usually diagnosed at an earlier age and experience a higher mortality rate [94]. With the exception of trastuzumab's unmatched beneficial effect for HER-2 positive patients [95], traditionally, toxic and unspecific chemotherapy is used to treat ER negative tumors. In addition, a caveat to the success of trastuzumab for HER-2 positive breast cancer is that a large majority of those treated develop resistance over time [96]. This highlights the need for targeted therapies which can mimic the wide reaching success of anti-estrogens such as tamoxifen for ER positive cancers [97]. The large sample size of the METABRIC analysis also revealed Annexin A2 to be upregulated in HER2 positive breast cancer, contradicting what has been previously published [44] and suggesting

the value of investigating Annexin A2 in breast cancer is not limited to the triple negative subtypes. The potential of Annexin A2 as a therapeutic target or marker of aggressiveness in ER negative breast cancer is further solidified by its links to disease progression and metastasis. Analysis of our local tissue cohort (RT-qPCR), GSE42568, and the METABRIC (Affymetrix) cohort all show that Annexin A2 expression is higher in patients with lymph node involvement (Figure 5A-C). Due to the lymphatic drainage of the breast tissue, the lymph nodes are often the first location of metastatic spread. Presence of metastasized tumor cells in the axillary lymph nodes is one of the strongest prognostic indicators in breast cancer [98] and as a result, lymph node status is a parameter used to stage the disease [99]. The trend of higher ANXA2 expression in patients with lymph node involvement in our local cohort and the larger GSE42568, is not statistically significant, however, this could be attributed to the fact that only a small proportion of patients in these cohorts are ER negative (Tables S3 and S4), thus masking the possible effect of ANXA2 expression on disease progression. Annexin A2 is again associated with metastatic progression of disease when we show that risk of distant metastasis is significantly higher in ER negative breast cancer patients with high expression of ANXA2. This statistically significant result does not hold true for ER positive patients, providing evidence that the potential of targeting Annexin A2 holds promise for the more aggressive and harder to treat ER negative breast cancers.

#### 5. Conclusions

Collectively, we have developed a novel approach to assess the changes that occur in the nascent proteome when cancer cells undergo stimulation to carry out the pro-metastatic functions of invasion and migration. We have proven the validity and usefulness of this approach by demonstrating how Annexin A2, one of the elucidated proteins, plays a pivotal role in maintaining the malignant phenotype of estrogen receptor negative breast cancer. The variety of experimental techniques and independent transcriptomic and proteomic datasets encompassing both cell line models and patient tissue in this study provides an emphatic argument that Annexin A2 plays a key role in ER negative breast cancer. Our work has used innovative models to add substantial weight to the findings by others, linking Annexin A2 to breast cancer. This study provides novel insight into the potential mechanisms of metastasis in ER negative breast cancer. Further validation is needed to build upon this study and truly assess the viability of targeting Annexin A2 to prevent cancer metastasis in vivo. Ultimately, this knowledge suggests that targeting Annexin A2 merits investigation as a novel therapeutic strategy in treating the pharmacologically challenging ER negative subtype, and this may help prevent breast cancer progression to the later metastatic stages of disease.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/2073-4409/9/7/1582/s1. Figure S1: (A) Score and sequence coverage of Annexin A2 from mass spectrometry and MASCOT server results (B) representative mass spectrum of AnxA2 peptide Figure S2: Proteomic expression data for CCLE cell lines from Nusinow, D. P. et al. (2020) Figure S3: Publicly available Affymetrix gene expression data for human breast cancer cell lines. Figure S4: Additional functional assays with second siRNA clone on MDA-MB-231 cells. Figure S5: Proteomic expression data for breast cancer tissue samples from S. Tyanova, et al. (2016). Figure S6, S7, and S8: full, uncropped images of western blots in manuscript. Table S1: List of newly synthesised proteins identified in 2D migration experiment. Table S2: List of newly synthesised proteins identified in 3D invasion experiment. Table S3: Sequences of the small interfering RNA used for ANXA2 knockdown. Table S4: Clinical information of local breast cancer cohort (n = 30) Table S5. Clinical information of GSE42568 breast cancer cohort (n = 104).

Author Contributions: A.F.M. and P.A.K. designed the experiments and wrote the manuscript. A.B.M. performed the experiments and interpreted results. B.M., J.N. & K.M. assisted with experimental design and collection, interpretation and visualization of data. B.M., J.N., A.J.L. & K.M. also performed manuscript editing. M.E.M., A.B.M., A.L. and S.T. collected informed consent, tissue, and pathological data from patients. P.A.K., K.M. and A.J.L. conceived and supervised the study. All authors have read and agreed to the published version of the manuscript.

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Review

# Diverse Roles of Annexin A6 in Triple-Negative Breast Cancer Diagnosis, Prognosis and EGFR-Targeted Therapies

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The calcium (Ca<sup>2+</sup>)-dependent membrane-binding Annexin A6 (AnxA6), is a multifunctional, predominantly intracellular scaffolding protein, now known to play relevant roles in different cancer types through diverse, often cell-type-specific mechanisms. AnxA6 is differentially expressed in various stages/subtypes of several cancers, and its expression in certain tumor cells is also induced by a variety of pharmacological drugs. Together with the secretion of AnxA6 as a component of extracellular vesicles, this suggests that AnxA6 mediates distinct tumor progression patterns via extracellular and/or intracellular activities. Although it lacks enzymatic activity, some of the AnxA6-mediated functions involving membrane, nucleotide and cholesterol binding as well as the scaffolding of specific proteins or multifactorial protein complexes, suggest its potential utility in the diagnosis, prognosis and therapeutic strategies for various cancers. In breast cancer, the low AnxA6 expression levels in the more aggressive basal-like triple-negative breast cancer (TNBC) subtype correlate with its tumor suppressor activity and the poor overall survival of basal-like TNBC patients. In this review, we highlight the potential tumor suppressor function of AnxA6 in TNBC progression and metastasis, the relevance of AnxA6 in the diagnosis and prognosis of several cancers and discuss the concept of therapy-induced expression of AnxA6 as a novel mechanism for acquired resistance of TNBC to tyrosine kinase inhibitors.

**Keywords:** breast cancer; annexin A6; RasGRF2; EGFR; cholesterol; cell growth; cell motility; acquired resistance; tyrosine kinase inhibitors

#### 1. Introduction

Breast cancer is the most common cancer among women in the USA, with an incidence of 63,410 cases of in situ disease, 268,600 new cases of invasive disease, and 41,760 deaths estimated in 2019 [1]. In addition to classification into intrinsic subtypes such as luminal A, luminal B, HER2-enriched, basal-like, claudin-low and normal-like [2,3], breast cancer and triple-negative breast cancer (TNBC) in particular are known to be molecularly heterogeneous diseases. Basal-like breast cancers which are mostly TNBCs, lack or express low levels of the estrogen receptor (ER), progesterone receptor (PR) and human epidermal growth factor receptor-2 (HER2) [4,5]. Based on gene expression profiling of bulk tumors, TNBC tumors are now known to belong to at least four molecular subtypes. These include the immune active basal-like 1 (BL1/BL1A), the immunosuppressed basal-like

2 (BL2/BLIS), the mesenchymal-like (MES) and the luminal androgen-receptor-expressing (LAR) TNBC subtypes [6–8]. These mostly high-grade tumors with poor prognosis are particularly prevalent in younger patients, with frequent relapses and metastases to distant organs [9]. About 60–80% of these cancers express variable levels of the epidermal growth factor receptor (EGFR) [10,11], which for many years was considered to be a major oncogene and a promising therapeutic target in these tumors.

The discovery of EGFR as a major oncogene in TNBC sparked intense research on its therapeutic potential and several tyrosine kinase inhibitors (TKIs) and therapeutic monoclonal antibodies (mAbs) targeting this receptor have been developed. Therapeutic monoclonal antibodies against EGFR such as cetuximab bind to the ligand-binding site in the extracellular domain of the receptor. By competing with the receptor ligands, these drugs provoke receptor internalization and degradation, which is accompanied by cell cycle arrest and cell death [12]. Other studies have shown that cetuximab and perhaps other therapeutic monoclonal antibodies induce apoptosis by stimulating the expression of the cell cycle inhibitor p27Kip1 [13]. On the other hand, TKIs such as lapatinib, erlotinib, gefitinib, as well as the more recent generations of these drugs, block the kinase activity of the receptor by competing with ATP binding to the ATP binding pocket in the cytosolic tyrosine kinase domain of the receptor [14]. Some of these TKIs have been approved for the treatment of TNBC, while others are approved for other cancer types, and inhibit tumor growth by promoting cell cycle arrest and apoptosis [15]. However, the use of these EGFR-targeted therapies in the treatment of TNBC and other cancer types have led to dismal outcomes with rapid disease recurrence and metastasis (reviewed in [16]). Although the mechanisms for the frequently acquired resistance to these drugs are continually being unraveled, the failure of these drugs in the treatment of TNBC remains a major challenge. As the recurrence and subsequent disease progression are sustained by residual therapy-resistant tumor cells, remedial approaches will require a better understanding of the mechanisms underlying the ability of the therapy-resistant tumor cells to grow aggressively and/or to become invasive.

Annexin A6 (AnxA6), the largest member (with eight rather than four core domains) of the annexin family of calcium (Ca<sup>2+</sup>)-dependent membrane-binding proteins, is a multifunctional, predominantly intracellular scaffolding protein. In addition, AnxA6 is frequently detected in extracellular vesicles (EVs, ExoCarta exosome database: www.exocarta.org), suggesting that AnxA6 also functions extracellularly. AnxA6 is known to bind to negatively-charged phospholipids, cholesterol, nucleotides as well as a plethora of proteins in a Ca<sup>2+</sup>-dependent manner, and these properties underlie, at least in part, its diverse cellular functions [17,18]. It is increasingly becoming clear that the AnxA6 expression status varies greatly in breast cancer cells as tumor cells with mesenchymal-like phenotypes express higher levels of the protein compared to those with basal-like morphology [19,20]. Although considered to be constitutively expressed in most cell types, AnxA6 expression is also inducible by treatment of tumor cells with a variety of pharmacological drugs [21], and it is differentially expressed in various stages/subtypes of several cancer types including breast cancer [22]. Additionally, it is increasingly becoming evident that disease and/or therapy-associated changes in the expression status of AnxA6 may be useful in the diagnosis, prognosis, as well as in the prediction of patient response to chemotherapy and certain targeted therapeutic options. Here, we highlight the current developments on the potential tumor suppressor and proinvasive roles of AnxA6 in TNBC and other cancers, and how this may be relevant for TNBC diagnosis and prognosis. Finally, we will discuss the novel concept of therapy-induced upregulation of AnxA6 especially in basal-like TNBC cells with low AnxA6 levels (AnxA6-low TNBC cells) as another mechanism for acquired resistance of this hard-to-treat breast cancer subtype to TKIs.

## 2. Molecular Characteristics and AnxA6-Mediated Functions

Based on ample evidence provided over the years, it is now well established that AnxA6 is not only a  $Ca^{2+}$ -dependent phospholipid-binding protein, but AnxA6 also binds to cholesterol and nucleotides, and serves as a scaffolding protein for several proteins to regulate and/or establish the dynamic association of multifactorial complexes in specialized membrane domains [22–24]. These molecular

features appear to be critical in the multiple functions of AnxA6 in various cell types and pathological conditions including breast cancer.

# 2.1. Ca<sup>2+</sup>-Dependent Interaction with Cellular Membranes

Elevated concentrations of extracellular  $Ca^{2+}$  or activation of  $Ca^{2+}$ -mobilizing G protein-coupled receptors (GPCRs) and receptor tyrosine kinases (RTKs) lead to an increase in cytosolic  $Ca^{2+}$  levels. This transient elevation of intracellular  $Ca^{2+}$  levels promotes the translocation of AnxA6 to the plasma membrane and endosomal membranes predominantly via its core domains, as well as to membrane-associated subcellular structures such as microtubules, the actin cytoskeleton, intermediate filaments, membrane lipid rafts, focal adhesions and cell–cell contacts [22–24]. The targeting of AnxA6 to these structures, at least in part, underlies the various membrane-associated functions of AnxA6, including regulatory roles in membrane transport along endo-/exocytic and secretory pathways. The  $Ca^{2+}$ -dependent recruitment of AnxA6 to the plasma membrane has also been shown to contribute to the inactivation of RTKs such as EGFR in A431 epidermal carcinoma cells, HeLa and head and neck cancer cell lines (Fadu, Detroit), by acting as a scaffold for protein kinase  $C-\alpha$  (PKC- $\alpha$ ) [25,26].

In addition, the upregulation of AnxA6 in a variety of cell lines, including EGFR-overexpressing A431 cells, results in increased association of AnxA6 with late endosomes [19,21,25], which inhibits both cholesterol and endo-/exocytic vesicle trafficking [27,28]. On the other hand, elevated AnxA6 levels have been shown to stabilize activated EGFR and potentially other activated RTKs on the surface of TNBC cells to sustain Ras/MAP kinase signaling and cell proliferation [29]. While these effects may require Ca<sup>2+</sup>-dependent interaction of AnxA6 with distinct effectors followed by the translocation of the complex to the plasma membrane and/or endosomal compartment, the AnxA6-mediated inactivation or sustained activation of RTKs seems to significantly alter the proliferation and motility of tumor cells in a cell type-specific manner. In addition to the regulation of oncogenic receptor function, membrane-localized AnxA6 has also been shown to modulate store-operated Ca<sup>2+</sup> entry [23], which is a major component of GPCR and RTK function and consequently affects the critical roles of these receptors in cell proliferation, motility and differentiation. Finally, membrane-translocated AnxA6 may also facilitate membrane repair of tumor cells especially following extracellular insults [30-33]. Therefore, the Ca<sup>2+</sup>-dependent membrane-binding property of AnxA6 triggers numerous Ca<sup>2+</sup>-modulated cellular processes, providing multiple links to the modulation of cell growth, cell motility, differentiation, apoptosis and, presumably, the resistance of TNBC cells to drugs targeting these receptors.

### 2.2. Cholesterol Binding and Subcellular Localization

The abundance and subcellular distribution of cholesterol, an essential component of biological membranes, is strongly influenced by the expression levels and subcellular localization of AnxA6 [32,34]. The possibility that this constitutes a direct interaction of AnxA6 with cholesterol is supported by a proteome-wide mapping of cholesterol interacting proteins that confirmed that several annexins including AnxA6, directly bind cholesterol [35]. Some studies have revealed that the core domain of most annexins is responsible for the cholesterol-mediated effects [36], while others have shown that W343 in the linker region is important for the interaction between AnxA6 and cholesterol [37]. Most strikingly, other cell-based studies revealed Ca<sup>2+</sup>-insensitive, but cholesterol-dependent interaction of cytosolic AnxA6 with late endosomal membranes [38]. Interestingly, a recent study in Niemann–Pick-type C1 mutant cells identified a feedback loop involving selective lysosomal degradation of AnxA6 in the regulation of AnxA6 and cholesterol levels in this subcellular site [39]. On the other hand, upregulation of AnxA6 levels in A431, Chinese hamster ovary and other cell models triggered cholesterol accumulation in the late endosomal compartment [21,27,28]. Overexpression of AnxA6 in TNBC cells has also been linked to the accumulation of cholesterol, especially in late endosomes [21,27]. Together, these studies suggest that AnxA6 contributes to the cellular distribution of cholesterol via this feedback mechanism and presumably, other yet to be discovered mechanisms.

Overall, the association and/or cellular dynamics of AnxA6 and cholesterol appear to underlie the involvement of AnxA6 in not only cell migration [28], vesicle trafficking, exocytosis and endocytosis [27,40], but also in viral uptake, propagation and release [41,42]. The AnxA6/cholesterol paradigm may also be important in membrane repair, cell survival and protection of cells from extracellular insults [43,44]. This includes protection from a cytotoxic surge in intracellular  $Ca^{2+}$  induced by  $Ca^{2+}$  ionophores or oncogene addiction, which leads to persistent physiological activation of  $Ca^{2+}$  mobilizing receptors such as EGFR in TNBC cells [20].

# 2.3. Nucleotide-Binding Characteristic of Annexins

Annexins and AnxA6 in particular, have been shown to bind to nucleotides including ATP and GTP at least in vitro [45–47]. This appears to occur via a nucleotide-binding domain located in the N-terminus of nucleotide-sensitive annexins [46]. While the molecular details still remain sparse, the interaction may occur directly [48] or in the case of AnxA7, via bona fide nucleotide-binding proteins such as guanine nucleotide-binding protein subunit beta-2-like 1 (also known as the receptor for activated C kinase 1 (RACK1)) [49]. Earlier studies on the interaction of AnxA6 with nucleotides suggested the existence of two AnxA6 domains within residues 293-301 and 641-649 that potentially bind the phosphate groups of GTP [48]. However, in a follow-up study, the expression of a W343S AnxA6 mutant led to not only diminished GTP binding and GTP-induced ion channel activity, but also the formation of AnxA6 trimers in the presence of GTP [50]. This notwithstanding and given that nucleotides are required for the activation of protein kinases and small GTPases, it is plausible to suggest that the nucleotide-binding characteristic of AnxA6 contributes to cellular functions related to tumorigenesis, endocytosis, exocytosis, vesicular transport and signal transduction pathways. However, whether the interaction of AnxA6 with GTP or other nucleotides is relevant for TNBC progression remains to be fully elucidated.

### 2.4. Scaffolding Functions of AnxA6

Accumulating evidence suggests that the multifunctional role of AnxA6 in cancer may largely depend on its multiple and diverse scaffolding functions. Over the years, it has been demonstrated that in addition to its  $Ca^{2+}$ , phospholipid, nucleotide and cholesterol-binding properties, AnxA6 also interacts with a vast and diverse number of proteins or protein complexes which to some extent justify its multifunctional role in TNBC and other cell types. The interaction of AnxA6 with F-actin and the F-actin cross-linking protein alpha-actinin [23,51] suggests a role in the remodeling of the actin cytoskeleton, especially during cell adhesion, spreading and motility.

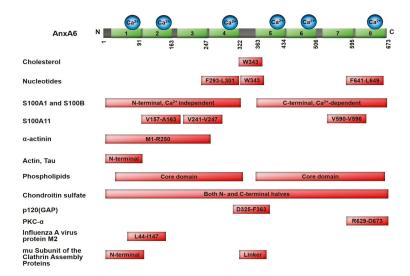
Several members of the S100 family of Ca<sup>2+</sup> binding proteins are known to associate with cytoskeletal structures including the actin cytoskeleton, intermediate filaments and microtubules. These interactions enable S100 proteins to influence multiple cellular functions, including cell motility [52,53]. The interaction of AnxA6 with some members of the S100 proteins is not only a critical requirement to facilitate the secretion of these S100 proteins [54], but also links the plasma membrane to the cytoskeleton and/or enhances the formation of signaling complexes on biological membranes [55]. In addition, S100/AnxA6 interaction facilitates the bridging of adjacent intracellular vesicles (via annexin molecules) during membrane fusion events [56]. These multiple cellular activities driven by the interaction of AnxA6 with S100 proteins could be critical for the organization of membrane microdomains including lipid rafts, focal adhesions and cell–cell contacts, which independently contribute to enhanced cell motility.

The binding of AnxA6 to the microtubule-associated protein Tau modulates the distribution of Tau in pathologic conditions [57]. Besides its well-established role in tubulin polymerization and stabilization of microtubules, Tau protein has also been shown to be enriched in metastatic breast tumors [58] and upregulation of Tau protein is associated with resistance to paclitaxel [59] and potentially other taxane-based chemotherapeutic drugs. Although these noncanonical functions of

Tau protein are similar to some of the functions listed for AnxA6, whether the Tau/AnxA6 interaction is critical in TNBC metastasis and resistance to taxane-based therapies requires further investigations.

The interaction of AnxA6 with glycosaminoglycans such as chondroitin sulfate has also been shown to influence cell motility and invasion [60], while AnxA6 expression and its interaction with influenza A virus protein M2 strongly impaired virus release [42,61]. AnxA6 also interacts with the mu subunits of the clathrin assembly protein complex, which further supports its role in endocytosis and vesicular transport [62]. Although AnxA6 may interact with several  $Ca^{2+}$  channels to regulate  $Ca^{2+}$  influx via its interaction with L-type  $Ca^{2+}$  channels,  $Na^+/Ca^{2+}$  exchangers and store-operated  $Ca^{2+}$  entry channels, AnxA6 also influences the release of  $Ca^{2+}$  from internal stores via its interaction with sarcoplasmic reticulum  $Ca^{2+}$ -release (SERCA) channels [63–65]. Other studies have shown that AnxA6 interacts with extracellular signal effector proteins such as PKC- $\alpha$  [25,66], the Ras GTPase Activating Protein p120RasGAP [67,68], H-Ras [19], Raf-1 [69]; transcription factors such as the p65 subunit of nuclear factor- $\kappa$ B (NF- $\kappa$ B) [70] as well as members of the TBC family of GTPase activating proteins that regulate Rab GTPases [71]. These interactions suggest the involvement of AnxA6 in cellular signaling mechanisms that ultimately lead to tumor cell growth, motility and differentiation.

Based on evidence from previous studies, the putative binding domains of cholesterol, nucleotides and some of the known AnxA6-interacting proteins are schematically represented in Figure 1. The annexin core domains have been shown to mediate the interaction of AnxA6 with membrane phospholipids [72] and Tau [57], while the C and N terminal halves of AnxA6 are required for its interaction with S100 proteins [73] and chondroitin sulfate [60]. Actin [74],  $\alpha$ -actinin [51] and the mu subunit of clathrin assembly protein [62] have all been shown to interact with the N-terminal of AnxA6. Meanwhile, the minimal interaction segment(s) of some AnxA6 interactors have been mapped. This includes residues 325-363 for p120GAP [75], residues 44 to 147 for influenza A virus M2 protein [61], residues 629–673 for PKC- $\alpha$  [76], residues 157–163, 241–247 and 590–596 for S100A11 [55] and residues 293–301 and 641–647 for nucleotides [48].



**Figure 1.** Putative binding domains of some AnxA6 interacting partners. The primary structure of AnxA6 showing the eight annexin repeats and the six potential Ca<sup>2+</sup> binding sites. The putative binding domains of known interactors including phospholipids, cholesterol, nucleotides and other proteins relative to the primary structure of AnxA6 isoform 1 (NM\_001155.5; NP\_001146.2; 673 amino acid residues) are indicated (not to scale). See text for further details.

Interestingly, a single-residue W343 has been shown to be critical in the binding of both cholesterol [37] and nucleotides [50]. While the list of AnxA6 interacting proteins and/or protein complexes is not yet exhaustive, it is possible that the discovery of novel interacting proteins and the relationship with AnxA6-mediated functions will provide a further premise for its multiple functions in cancer.

# 3. The Multiple and Diverse Roles of AnxA6 in Tumor Cell Growth and Motility

Over the years, several studies have examined the effects of AnxA6 on hallmarks of cancer such as growth, motility and differentiation in several tumor cell models. However, our understanding of how AnxA6 promotes the progression of TNBC as well as a very diverse set of other cancers [77] remains largely unknown. An emerging concept is that differences in the expression status of intracellular and/or extracellular pools of AnxA6 underlie, at least in part, the distinct phenotypic characteristics of cancer cells and consequently, their propensity to grow rapidly or to become invasive. Here, we review our current knowledge on the role of AnxA6 in cancer progression.

## 3.1. Altered Expression of AnxA6 in Tumor Cell Proliferation

As a Ca<sup>2+</sup>-dependent membrane-binding protein, AnxA6 has been shown to be involved in several cellular functions that define tumor cell growth. However, this function often appears to be celland/or cancer-type specific. In 3T3-L1 preadipocytes, siRNA-mediated AnxA6 knock-down impaired proliferation and differentiation [34]. In vivo proliferation of CD4+ T cells, but not CD8+ T cells, has also been shown to be impaired in AnxA6<sup>-/-</sup> relative to WT mice [78]. In human squamous A431 epithelial carcinoma cells, which overexpress EGFR but lack endogenous AnxA6, stable expression of AnxA6 was associated with reduced cell growth [79]. The ectopic AnxA6 expression in these cells led to growth arrest in the G1 phase of the cell cycle, longer doubling times, contact inhibition upon confluence and reduced proliferation when cultured in low serum media [80]. Furthermore, stable AnxA6 expression in A431 xenografts also reduced tumor growth in vivo [79]. Meanwhile, in breast, gastric cancer, hepatocellular and several other cancer types, elevated AnxA6 expression has also been reported to inhibit tumor cell proliferation [22,29,77]. In these tumors and in basal-like TNBCs in particular, AnxA6 appears to act as a tumor suppressor, as reduced expression of AnxA6 led to the rapid growth of xenograft tumors and was associated with poor overall survival of basal-like TNBC patients [20,29]. Interestingly, AnxA6 expression levels are relatively lower in the more aggressive basal-like breast cancer TNBC cell lines than in the more invasive mesenchymal-like TNBC cell lines [20].

The mechanisms underlying the role of AnxA6 in cell proliferation are gradually being unraveled and may include modulation of cell cycle progression [79], regulation of plasma membrane permeability to extracellular Ca<sup>2+</sup> [23,31,81], inhibition of EGFR and Ras/MAP kinase signaling [19,25,68], interference with cholesterol homeostasis [27,28,71] and protection of cells via facilitation of membrane repair [31–33]. Accumulating evidence now suggests that AnxA6 may also affect the growth of tumor cells by modulating glucose and lipid metabolism, with consequences in cellular energy status and consumption. In support of this notion, AnxA6 deficiency compromised alanine-dependent gluconeogenesis during liver regeneration [82]. AnxA6 expression is also associated with fatty-acid-induced lipid droplet formation, with increased lipid droplet numbers and size in a cytoplasmic phospholipase A2α-dependent manner [83]. However, in 3T3-L1 adipocytes, AnxA6 expression decreased cellular triglycerides and adiponectin secretion suggesting that AnxA6 expression in adipose tissues contributes to impaired triglyceride storage and adiponectin release [34]. In TNBC cells, downregulation of AnxA6, which is associated with increased cell proliferation, was accompanied by the upregulation of fatty-acid-binding protein 4 (FAPB4), a protein critical for the import of fatty acids into mitochondria for degradation [20]. Finally, the involvement of AnxA6 in the sensing of intracellular pH during hypoxia [84], mitochondrial morphogenesis [85] and in cellular differentiation [76,86,87], may also contribute to cell viability and growth. While these studies suggest a potential role of AnxA6 in

energy metabolism especially in rapidly growing tumor cells, the molecular details and underlying mechanism(s) remain to be fully elucidated.

### 3.2. Tumor Cell Motility and Invasiveness Mediated by AnxA6

Contrary to its role in the proliferation of tumor cells, the expression status of AnxA6 appears to differentially influence the motility of tumor cells in a cell-type- or cancer-type-dependent manner. Overexpression of AnxA6 in human squamous A431 epithelial carcinoma cells is associated with decreased migration in wound healing, as well as reduced invasion in matrigel and organotypic matrices [28]. These anti-invasive properties of ectopically expressed AnxA6 in human squamous A431 epithelial carcinoma cells can be attributed to mislocalization of several SNARE proteins, including SNAP23, syntaxin 4 (Stx4) and Stx6, responsible for the secretion of fibronectin (FN) and the recycling of  $\alpha V \beta 3$  and  $\alpha 5 \beta 1$  integrins, respectively [28,40]. The SNARE dysfunction was due to the AnxA6-induced accumulation of cholesterol in late endosomes, leading to obstruction of cholesterol-sensitive exocytic and recycling pathways that deliver FN and integrins to the cell surface [28,40]. These anti-invasive activities of AnxA6 in A431 cells are, however, opposite to the proinvasive effects of AnxA6 in several other cancer cell types including invasive breast cancer cells [22], and migratory neural crest cells [88]. While this reiterates cell-type-specific roles of intracellular AnxA6 pools in cell motility, it is possible that the cell context-dependent requirement of AnxA6 in cell motility is mediated by distinct factors and/or mechanisms. Overexpression of AnxA6 in TNBC cells and presumably other solid tumor types promotes cell motility via activation of the small GTPase Cdc42 [20], which together with other Rho and Rac GTPases are known to promote several different types of cell migration and invasiveness [89]. Other studies have further suggested that the proinvasive roles of AnxA6 in TNBC cells may be linked to the functional status of focal adhesions since the loss of AnxA6 is associated with mislocalization and dysfunction of focal contacts and consequently, loss of invasiveness [22]. Whether this mechanism can be replicated in other cancer cells require further investigations.

Remarkably, several other cell-based studies have suggested a critical role of extracellular AnxA6 in tumor cell motility and invasiveness, as well as cancer metastasis in vivo [20,22,29]. Although a predominantly intracellular protein, AnxA6 is also secreted as a component of extracellular vesicles (EVs, exosomes). These small vesicles are secreted by most cells to facilitate cell-cell communications, and to deliver bioactive molecules that strongly alter the behavior of recipient cells [90,91]. The existence of an extracellular pool of AnxA6 is supported by the detection of AnxA6 in serum exosomes [92] and confirmed in TNBC cell-derived exosomes [90,92]. That the extracellular pool of AnxA6 plays a critical role in cellular adhesion, spreading and motility is also implicated in studies showing that AnxA6 is a cell surface receptor for the abundant liver-derived serum protein Fetuin-A [93], and for chondroitin sulfate [60], both of which important in cell adhesion and motility as components of the extracellular matrix. The proinvasive activity of AnxA6 is further reinforced by the identification of a monoclonal antibody (9E1) against AnxA6 with anti-invasive properties on several aggressive cancer cells including pancreatic, lung squamous and breast cancer cells [94]. These studies presumably suggest that in breast and other cancer types, the proinvasive properties of AnxA6 are mediated by receptor-like properties of AnxA6, as well as by AnxA6-enriched EVs and that the mechanisms may not be limited to the activation of NF-κB and Wnt signaling as recently reported [95].

Despite its Ca<sup>2+</sup>-dependent membrane-binding activity, and the implication of AnxA6 in several membrane-associated events, direct evidence for the involvement of AnxA6 in EV biogenesis and secretion is still lacking. Exosomes are generated from intraluminal membranes (ILVs) in the late endosomal compartment and recent studies identified substantial amounts of AnxA6 to be associated with ILVs [39]. Hence, one could envisage a direct physical association of AnxA6 with the final steps of exosome release at the cell surface, which needs further investigation. However, the notion that tumor cell motility and invasiveness may be mediated by AnxA6-enriched EVs has now been independently demonstrated in breast and pancreatic cancers. These include the findings that AnxA6-enriched EVs from cancer-associated fibroblasts elicited proinvasive properties when

taken up by pancreatic and breast cancer cells [96]. Additionally, chemotherapy-stimulated EVs were found to be enriched with AnxA6, and that these EVs facilitated the establishment of breast metastatic lesions in the lungs [97]. While these studies are in strong support for a critical role of AnxA6 in cancer metastasis, this also suggests that AnxA6 enrichment in EVs can be targeted for therapeutic purposes.

# 3.3. Modulation of the Effector Functions of $Ca^{2+}$ -Activated Ras Guanine Nucleotide Releasing Factor 2 (RasGRF2) by AnxA6

The demonstration that AnxA6 interacts with PKC- $\alpha$  and p120RasGAP and that these interactions attenuated the activity of EGFR and Ras signaling [19], precludes the role of other components of the Ras signaling pathway such as Ras protein-specific guanine nucleotide exchange factors (RasGEFs). It is possible that other intracellular effectors sensitive to AnxA6 up- or downregulation with roles in cell growth and motility may provide the missing link between AnxA6 expression status and cell growth and motility. Indeed, in a quest to provide a comprehensive picture on the molecular mechanisms underlying the role of AnxA6 in breast cancer cell motility and proliferation, Whalen et al. identified RasGRF2, a Ras protein-specific guanine nucleotide exchange factor (RasGEF), as a major effector of AnxA6-mediated cell growth and motility [20]. In these studies, the expression levels of RasGRF2 and AnxA6 were inversely related in TNBC cells. This is further supported by the relatively high levels of RasGRF2 following downregulation of AnxA6, and the reduced RasGRF2 cellular levels upon AnxA6 overexpression in TNBC cells [20].

As discussed in the preceding sections, although relatively high AnxA6 expression is proinvasive, it has been shown to be antiproliferative in TNBC cells. RasGRF2, on the other hand, promotes cell proliferation via activation of Ras proteins, but inhibits cell motility and invasiveness via inhibition of Rho GTPases, e.g., Cdc42 and Rac1 [20,98,99]. The reciprocal expression of these two proteins is further supported by studies showing that RasGRF2 is activated by a surge in intracellular Ca<sup>2+</sup> [20,100] and that this is accompanied by its degradation in proteasomes [101]. The activity of RasGRF2 is closely linked to Ca<sup>2+</sup> homeostasis, in that elevated Ca<sup>2+</sup> levels stimulate calmodulin-mediated RasGRF2 activation via its IQ domain, with subsequent activation of Ras and/or Rac1 mediated MAP kinase signaling [102]. Overexpression of AnxA6 in TNBC cells not only blocked the EGF/EGFR or Ca<sup>2+</sup> ionophore stimulated a surge in intracellular Ca<sup>2+</sup> levels, but also the degradation of RasGRF2 [20,100]. This suggests that the previously demonstrated inhibition of Ca<sup>2+</sup> influx by AnxA6 [23] underlies the reciprocal expression patterns of AnxA6 and RasGRF2 in TNBC cells. Recent studies from our laboratories revealed that the gene expression profiles of AnxA6-low/RasGRF2-high rapidly growing TNBC cells are distinct from the expression patterns obtained from AnxA6-high/RasGRF2-low invasive TNBC cells [103]. Together, this not only links these proteins to Ca<sup>2+</sup> mobilization by oncogenic cell surface receptors but also implicates the reciprocal expression of these proteins in TNBC progression and metastasis.

As shown in the model depicted in Figure 2, modulation of Ca<sup>2+</sup> influx by AnxA6 in the EGFR/Ca<sup>2+</sup> influx/RasGRF2 axis represents a critical molecular link between the expression status of AnxA6 in TNBC cells and differences in the invasiveness and proliferation of these cells. In AnxA6-high/RasGRF2-low TNBC cells such as BT-549 cells (Figure 2, left panel), activated EGFR is sustained on the cell surface and the accompanying persistent store-operated Ca<sup>2+</sup> entry (SOCE) promotes the degradation of RasGRF2. Since RasGRF2 has been shown to interact with RhoGEFs to prevent these GEFs from activating their targets [98], reduced RasGRF2 cellular levels will, therefore, release its inhibition on Rho GTPase GEFs (e.g., Cdc42 GEF), which subsequently activate Cdc42. Cdc42 is also an endogenous inhibitor of the EGFR ubiquitin ligase c-Cbl [104,105], which will lead to inhibition of EGFR ubiquitination, reduced endocytosis and sustained cell surface expression of activated EGFR [29]. Hence, enhanced EGFR activity, together with increased activity of Cdc42 or related Rho GTPases [106] may drive the invasiveness of AnxA6-high/ RasGRF2 low TNBC cells. On the contrary, and as demonstrated by Koumangoye et al., activated EGFR in AnxA6-low/RasGRF2-high TNBC cells, such as MDA-MB-468, is rapidly internalized and degraded [29]. This leads to reduced SOCE, and relatively higher cellular levels of RasGRF2 [20], which not only inhibit the activation of Cdc42

and/or related GTPases, but also activates Ras proteins to drive cell proliferation (Figure 2, right panel). Although this model explains the regulation of the poorly studied receptor tyrosine kinase activated Ca<sup>2+</sup> influx/RasGRF2 axis by AnxA6 in TNBC cell proliferation and migration/invasiveness, whether this can be exploited for therapeutic purposes remains to be fully investigated.

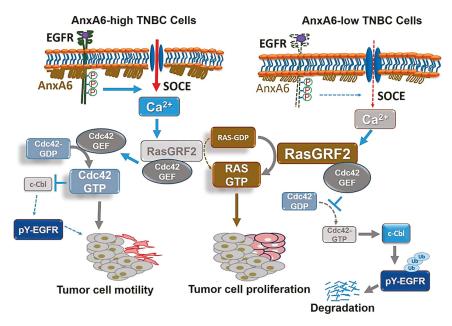


Figure 2. RasGRF2 as an effector of AnxA6-mediated TNBC cell growth and motility. AnxA6 is a predominantly cytosolic protein but upon an increase in intracellular Ca<sup>2+</sup> levels, it translocates to the plasma membrane where it influences the stability of cell surface receptors, e.g., EGFR and the activity of certain Ca<sup>2+</sup> channels presumably in membrane lipid rafts. In AnxA6 expressing TNBC cells (left panel), prolonged activation of EGFR leads to a sustained increase in store-operated Ca<sup>2+</sup> entry (SOCE) and activation, followed by subsequent degradation of RasGRF2. The resulting decrease in cellular RasGRF2 levels enhances the activation of Cdc42 which inhibits the ubiquitin ligase c-Cbl and leads to the stabilization of activated EGFR on the cell surface. The activation of Cdc42 also sustains persistent cell motility. In AnxA6-low TNBC cells (right panel), activation of EGFR is not affected but the activated EGFR (pY-EGFR) is short-lived on the cell surface and therefore, the EGFR signal output is transient. This leads to reduced SOCE, stabilization of RasGRF2 levels and its interaction of Cdc42 GEFs, thereby inhibiting the activity of Cdc42. Reduced activity of Cdc42 enhances the activity of c-Cbl, subsequent ubiquitination of activated EGFR, internalization and degradation by proteasomes. The stabilization of RasGRF2 promotes the activation of the Ras/MAP kinase pathway and enhances cell growth. Abbreviations: AnxA6, annexin A6; EGFR, epidermal growth factor receptor; GEF, guanine nucleotide exchange factor; pY-EGFR, activated EGFR (Tyr-1068); Ras guanine nucleotide releasing factor 2, RasGRF2, SOCE, store-operated Ca<sup>2+</sup> entry; TNBC, triple-negative breast cancer; Ras/MAP, Ras/mitogen-activated protein kinase.

### 4. Relevance of Annexin A6 in Diagnosis, Prognosis and Therapeutic Interventions

In addition to clinical and pathological characteristics, the most commonly used biomarker panel for intrinsic breast cancer classification is the expression status of ER, PR and HER2. Evaluation of these biomarkers remains the standard method for not only the evaluation of disease prognosis but also current treatment decisions. However, the classification of breast cancer solely on these biomarkers does not accurately represent the complexity of the disease, including very distinct patterns of disease

progression, and significant challenges associated with the selection of patients for specific therapies. Serious challenges also remain in identifying relevant therapeutic targets and diagnostic biomarkers for certain breast cancer subtypes including TNBC. Here, we review our current understanding of the potential of AnxA6 as a biomarker for cancer diagnosis, the poor response of TNBC to EGFR-targeted therapies, and the prospects for the detection of AnxA6 as a predictor of the response of TNBC to EGFR-targeted therapies.

# 4.1. AnxA6 as a Biomarker for Cancer Progression

It has been amply reported that AnxA6 plays a role in the progression of TNBC and other cancer types based on changes in the expression of the protein in various neoplasms (reviewed in [77]). The potential usefulness of AnxA6 as a biomarker for the severity of certain cancer types has also been extensively reported. As indicated in Table 1, this includes studies showing that the upregulation of AnxA6 is an indicator of the progression of ovarian carcinomas [107], women's thyroid cancer [108], polycystic ovarian syndrome [109], pancreatic cancer [96] and esophageal adenocarcinoma [110]. Other studies have unambiguously demonstrated that AnxA6 may be useful to detect minimal residual disease in B-lineage acute lymphoblastic leukemia [111], the progression of melanomas [112] and squamous cervical cancer carcinogenesis [113]. Meanwhile, some studies have shown that AnxA6 is downregulated in the highly malignant forms of gastric cancer [114], hepatocellular carcinomas [115], cervical cancer [116] and breast cancer [22]. Although these studies emphasize the notion that detection of AnxA6 in several cancers might have diagnostic value, the effects of AxA6 suggest that it may act as either a tumor suppressor or a tumor promoter, depending on the type of cancer and stage of the disease [77,117]. In breast cancer, reduced expression of AnxA6 and its tumor suppressor function is more relevant in TNBC than in non-TNBC subtypes [103], an observation that is consistent with the differences in the malignancy of these breast tumors. In most of these studies, the detection of AnxA6 was carried out by either reverse transcriptase-PCR, immunohistochemistry (IHC) and/or Western blotting. Even though these assays are far from being reliable, it seems feasible that detection of AnxA6 in normal or benign tissues versus malignant tumors may be a reliable indicator of tumor progression and/or malignancy.

Table 1. Diverse diagnostic, prognostic and therapeutic significance of AnxA6 in cancer progression.

Cancer Type AnxA6 Expression Status		Diagnostic, Prognostic or Therapeutic Value		
Ovarian carcinoma	Markedly increased in advanced-stage tumors vs. benign controls	Diagnosis of advanced ovarian cancer stages	[107]	
Pancreatic cancer	High expression in pancreatic cancer and lung squamous cancer vs. normal tissues	Monoclonal antibody 9E1 as a therapeutic option for invasive cancers	[94]	
Pancreatic ductal adenocarcinoma	AnxA6 enriched in EVs from cancer-associated fibroblasts and following chemotherapy	cancer-associated fibroblasts Biomarker and therapeutic target		
Esophageal adenocarcinoma	AnxA6 is a component of a 4-protein serum biomarker panel	Noninvasive detection of early tumor stages in patient serum	[110]	
Squamous cervical cancer	Expression is increased in cervical intraepithelial neoplasia and microinvasive cervical cancer vs. squamous cervical cancer precursor lesions.	Diagnosis of cervical cancer progression	[113,116]	
Acute lymphoblastic leukemia	Highly expressed in B-lineage acute lymphoblastic leukemia vs. normal B-cell progenitors	Diagnosis of B-lineage acute lymphoblastic leukemia	[118]	
Breast cancer	Downregulated in EGFR-overexpressing and estrogen receptor (ER)-negative breast cancer cells	Biomarker for EGFR-overexpressing, ER-negative breast cancer	[19]	
	Reduced expression in breast cancer tissues, but elevated in invasive breast cancer phenotypes	Biomarker for invasive breast cancer phenotypes	[22]	
	Expression status significantly associated with the survival of patients with basal-like breast cancer	Predictive biomarker for basal-like breast cancer patient survival	[29]	
	Elevated expression associated with acquired resistance to lapatinib in TNBC.	Predictive biomarker for response to EGFR-targeted therapies	[21]	
	Loss of AnxA6 associated with the early onset and rapid growth of xenograft TNBC tumors in mice	Biomaker for TNBC progression	[20]	

Table 1. Cont.

HER-2/neu-driven mammary tumor	Associated with tumor progression	Biomarker for rapidly growing breast cancer	[119]
Melanoma	Decrease or loss of expression as melanomas progress from benign to malignant phenotypes	Detection of melanoma progression	[112]
Gastric cancer	Downregulated in gastric cancer cells and primary gastric carcinomas	Diagnosis of gastric cancer	[114]

#### 4.2. Lack of Efficacy of EGFR-Targeted Therapies in the Treatment of TNBC

Although TNBCs lack ER, PR and HER2, 60–80% of these cancers express variable levels of EGFR [5,10,11]. Unlike other cancer types, such as nonsmall cell lung cancer (NSCLC) that express oncogenic EGFR mutants, EGFR in TNBC is rarely mutated, but it is frequently overexpressed (reviewed in [16]). As a potential therapeutic target in TNBC and other cancers, several monoclonal antibodies (mAbs) and TKIs against the EGFR have been developed and tested in breast and other cancer types. These include therapeutic mAbs, such as cetuximab [120,121], and TKIs including lapatinib indicated for metastatic or advanced-stage TNBC [15,122]. Unfortunately, clinical trials to test the effectiveness of these and other EGFR-targeted drugs in TNBC patients have led to modest, poor or incredibly disappointing outcomes with relatively short progression-free survival [120,123].

Yet, EGFR-TKIs have shown promising results in the treatment of other cancers. For example, gefitinib is approved as a first-line treatment for metastatic NSCLC with EGFR exon 19 deletions or the L858R mutant EGFR with or without disease progression [124,125]. While these drugs are often initially effective against cancers with mutated EGFR, some patients acquire resistance due to the development of secondary, often activating mutations in exon 20 (e.g., T790M) [126,127]. Erlotinib has also shown encouraging results in the treatment of pancreatic cancer with EGFR mutations [128,129]. Cetuximab, on the other hand, has been demonstrated to be more effective in the treatment of head and neck squamous cell carcinoma (HNSCC) [130]. Other studies have tested combinations of therapeutic mAbs against EGFR and either TKIs or chemotherapeutic agents including the TBCRC-001 clinical trial of cetuximab and carboplatin [120]. Despite the disappointing results from clinical trials of EGFR-targeted therapies, the possibility that a subset of patients may effectively respond to these drugs remains to be fully explored. However, the challenge is to identify patients who can respond to these drugs with pathological complete response, as well as the need for appropriate biomarkers to monitor disease progression and/or drug efficacy.

# 4.3. AnxA6 as a Predictor of Breast Cancer Recurrence and Response to Therapy

Initial evidence suggesting that AnxA6 levels may influence drug sensitivity and possibly the development of drug resistance is based on the concept that AnxA6 exhibits tumor suppressor activity. In TNBC, high AnxA6 expression is associated with reduced cell growth, while reduced AnxA6 levels promote rapid cell growth. Thus, tumor cells with low AnxA6 expression levels are expected to respond more rapidly to therapeutic interventions, while cells with higher AnxA6 levels may be more refractory to treatment. In support of this concept, Koumangoye et al. demonstrated that reduced AnxA6 expression was associated with poor overall and distant metastasis-free survival of basal-like breast cancer patients and moreover, sensitized TNBC cells to TKIs [29]. This suggests that differential expression of AnxA6 may be useful for the prediction of not only the survival, but also the likelihood of basal-like breast cancer patients to respond to EGFR-targeted therapies. The sensitivity of AnxA6-low TNBC cells to EGFR-TKIs is more likely due to the rapid internalization and degradation of activated EGFR as demonstrated by AnxA6 depletion in TNBC cells [29]. Another recent report revealed that the upregulation of AnxA6 following prolonged treatment of TNBC cells with lapatinib or other EGFR-targeted TKIs was accompanied by accumulation of cholesterol in late endosomes and the development of acquired resistance [21], and this may be predictive of stable and/or progressive disease.

The abundance of AnxA6 in serum and cell-derived EVs also provides an opportunity to predict cancer metastasis in breast and other cancer types. Exosomes originate from internal vesicles of late endosomes/prelysosomes to then fuse with the plasma membrane for subsequent extracellular release. Hence, although the fate of late endosomal AnxA6 and cholesterol in TNBC cells following prolonged treatment with EGFR-TKIs remains to be clarified, it appears plausible to suggest that a proportion of the drug-induced AnxA6 as well as the late endosomal cholesterol may be secreted in EVs. This scenario may support recent studies showing that AnxA6-containing EVs are predictive of metastatic progression in pancreatic cancer [96,97]. Tumor-derived and AnxA6-enriched EVs have also been shown to be prometastatic in mouse mammary tumor virus-polyoma middle tumor-antigen (MMTV-PyMT) and 4T1 mouse models of breast cancer [97]. This suggests that AnxA6 levels in EVs from molecularly distinct breast cancer subtypes and/or chemotherapy-treated patients may be useful to predict the risk of metastasis [96,97] especially in patients who do not achieve a complete pathological response.

## 4.4. Upregulation of AnxA6 Expression and the Development of Acquired Resistance

The mechanisms underlying the poor efficacy of EGFR-targeted therapies are varied and remain poorly understood. As mentioned above, the development of acquired resistance against EGFR-TKIs is common [126,127]. This is often accompanied by overexpression and activation of other receptor tyrosine kinases such as c-MET (also called hepatocyte growth factor receptor), HER2, fibroblast growth factor receptor (FGFR) and AXL and is also associated with resistance to EGFR-TKIs of tumors with EGFR activating mutations (e.g., in NSCLC) [131]. EGFR mAbs are often more effective than TKIs, but resistance to these drugs in various carcinomas is also frequent and is mostly attributed to mutations of effectors downstream of RTKs such as Ras [132], phosphatidylinositol 3-kinase (PI3KCA) and/or loss of phosphatase and tensin homolog (PTEN) [133], as well as activation of BRAF [134]. Since activating mutations of EGFR, Ras and other effectors in the Ras/MAP kinase pathway are rare in TNBC tumors [135], it is possible that the failure of clinical trials and the development of acquired resistance of TNBCs to EGFR-targeted therapies may require distinct and/or unique molecular mechanisms.

Several lines of evidence from our studies and other reports suggest that AnxA6 expression levels are also regulated following the treatment of tumor cells with a variety of pharmacological drugs. This includes studies identifying that exposure of female mice to the suspected endocrine-disrupting xenobiotic plastic precursor bisphenol A, led to AnxA6 upregulation and that this may potentially contribute to the etiology of thyroid cancer in women [108]. Treatment of bone-marrow-derived mesenchymal stem cells with the polyaromatic hydrocarbon fluoranthene also led to AnxA6 upregulation [136]. Furthermore, the hapten challenge of a nonatopic asthma mouse model sensitive to the organofluorine dinitrofluorobenzene also led to increased AnxA6 levels [137]. Although the upregulation of AnxA6 in these studies was detected by proteomic profiling, this nevertheless suggests that detection of AnxA6 together with other coexpressed genes by RT-PCR or IHC, could be useful as novel biomarkers for exposure to these drugs.

We and others have also demonstrated that treatment of breast tumor cells with TKIs [21], nonselective Ca<sup>2+</sup> channel blockers [103], and the DNA methyltransferase (DNMT) inhibitors 5-aza-2′-deoxycytidine or 5-aza-cytidine [114] led to AnxA6 upregulation. Given that the AnxA6 promoter is heavily methylated, for example in EGFR-overexpressing A431 and ER-negative MDA-MB-468 breast cancer cells, both of which with relatively low AnxA6 levels [19], these effects may be mediated via epigenetic mechanisms including inhibition of DNA methyltransferases and/or histone deacetylases. Within this context, it should also be noted that the human AnxA6 gene is located on chromosome 5q32–q34, with several studies identifying a statistically significant loss of 5q31–q35 in ER-negative tumors and its association with ErbB2 amplification [138–140]. It is not clear whether there are ER-negative cell lines with this chromosomal aberration, but it will be interesting to compare the response to therapy of such cell lines to those in which reduced AnxA6 expression could be due to epigenetic mechanisms.

Until recently, it has been unclear whether AnxA6 expression status could be associated with the response of TNBC cells to cytotoxic and/or EGFR-targeted therapies. Strikingly, analysis of AnxA6 expression in stage IV TNBC clinical samples from patients treated with cetuximab and/or carboplatin [120] revealed that treatment of patients with this combination regimen was associated with AnxA6 upregulation. Further analysis showed that the therapy-induced AnxA6 expression was associated with EGFR inhibition rather than chemotherapy in AnxA6-low TNBC cell lines [21]. This finding suggests that unlike treatment with cytotoxic chemotherapeutic drugs such as paclitaxel and carboplatin, treatment of TNBC cells with EGFR-targeted therapies is accompanied by the upregulation of AnxA6, which coincides with an accumulation of late endosomal cholesterol [21]. As indicated above and extensively reviewed elsewhere, cholesterol homeostasis is commonly dysregulated in cancer [141,142] and often accompanied by anticancer drug resistance [143–145]. Although AnxA6 strongly influences the intracellular distribution of cholesterol in most cell types [21,146], it is still feasible that AnxA6 expression and high cellular cholesterol levels are independently associated with drug resistance [29]. The latter study suggests that the lapatinib-induced AnxA6 upregulation and concomitant cholesterol accumulation constitutes a novel adaptive mechanism for EGFR-expressing TNBC cells to overcome prolonged treatment with EGFR-targeted TKIs [21]. As further evidence in support of this notion, the withdrawal of lapatinib from lapatinib-resistant cells reversed the expression of AnxA6 to basal levels. Similarly, stable expression of shRNAs targeting AnxA6 in lapatinib-resistant TNBC cells prevented the lapatinib-induced AnxA6 upregulation, suggesting that chronic treatment of TNBC cells with EGFR-TKIs affects AnxA6 mRNA expression rather than the protein stability. Thus far, it remains unclear whether the fate of AnxA6 and cholesterol in the late endosomal compartment of chronic EGFR-TKI treated TNBC cells includes subsequent secretion in EVs. However, these findings provide a strong rationale for further studies to validate the detection of AnxA6 by RT-PCR or IHC along with cholesterol or other markers found in cholesterol-rich and specialized membrane domains (e.g., lipid rafts), as biomarkers for acquired resistance of TNBC to EGFR-targeted therapies and/or other RTK antagonists.

## 5. Conclusions and Future Perspectives

For more than a decade, AnxA6 has drawn considerable interest as a potential suppressor or promoter of cancer initiation and progression in a variety of cancers. This coincides with increasing evidence for AnxA6 being a drug-inducible factor that primarily functions as a scaffolding protein. As high AnxA6 expression levels promote cell motility but attenuate cell growth in TNBCs, the potential for AnxA6 to influence both tumor progression and metastasis has generated great interest. It is also becoming evident that AnxA6 expression levels in various tumor settings most likely reflects the ability of AnxA6 to interact with or indirectly influence the activity of a specific protein or protein complex in a location- and cell type-specific manner; and that this is highly relevant in molecular events that drive cell growth, migration/invasion and differentiation that define carcinogenesis.

The precise role of AnxA6 in drug resistance still remains incomplete. However, the increase in the expression/localization of AnxA6 in late endosomes and the retention of cholesterol in this compartment following prolonged treatment of tumor cells with EGFR-targeting drugs that interfere with Ca<sup>2+</sup> entry/signaling, provide an exciting first insight implicating high/low AnxA6 levels in drug resistance. Moreover, the upregulation of AnxA6 following chronic treatment of AnxA6-low basal-like TNBC cells with EGFR inhibitors constitutes a novel mechanism for the development of acquired resistance to these and presumably similar drugs targeting other RTKs.

The recent finding that the cellular levels of AnxA6 are inversely related to the levels of RasGRF2 suggests that the reciprocal expression of these proteins in distinct TNBC molecular subtypes underlies, at least in part, the difference in their propensity for growth and/or motility and consequently, tumor malignancy. Together, this provides a rationale for the use of TKIs targeting EGFR/HER2 in combination with inhibition of RasGRF2 to block hyperactive and wild type Ras/MAP kinase pathway-driven triple-negative breast tumors.

Finally, the finding that reduced AnxA6 levels are more relevant in TNBC than in non-TNBC tumors suggests that detection of AnxA6 may not only be useful as a potential biomarker for specific breast cancer subtypes, but also provides promise as a predictor of the response of especially basal-like TNBC to targeted therapeutic interventions. Further studies to exploit these characteristics of AnxA6 are warranted to clearly delineate its usefulness as a diagnostic biomarker and a predictive/prognostic factor in breast and other cancer types.

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