



# Secondary Metabolites from Fungi in Honour of Prof. Dr. Ji-Kai Liu's 60th Birthday

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# Secondary Metabolites from Fungi—in Honour of Prof. Dr. Ji-Kai Liu's 60th Birthday

# Secondary Metabolites from Fungi—in Honour of Prof. Dr. Ji-Kai Liu's 60th Birthday

Editors

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### About the Editors

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Tao Feng holds an appointment as Professor at the School of Pharmaceutical Sciences, South-Central Minzu University (China). He obtained his Ph.D. at Kunming Institute of Botany (CAS) in medicinal chemistry in 2010. Since then, he has been working on the chemistry of natural products of fungi, with a particular focus on specific groups of natural products that occur only in fungi. He is the author of over 100 PubMed-listed publications.

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### Editorial Secondary Metabolites from Fungi—In Honor of Prof. Dr. Ji-Kai Liu's 60th Birthday

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It is our pleasure and privilege to serve as Guest Editors for this Special Issue of the *Journal of Fungi* in honor of Professor Ji-Kai Liu's 60th birthday. We want to take this opportunity to commemorate his outstanding contribution to the field of fungal natural products chemistry, especially higher fungal chemistry. This Special Issue includes contributions from friends, collaborators, and many colleagues from the field of natural product chemistry.

Liu was born in Wuwei, Anhui province, People's Republic of China. He graduated with a Ph.D. from Lanzhou University in 1988, and in the same year, started an independent position at Sun Yat-Sen University (Zhongshan University), first as a lecturer, then an associate professor in 1992, rising through the ranks to full professorship in 1995. After three years in Germany working with his friend Marc Stadler (Saarland University and Bayer Pharma Research Center), Liu moved to Kunming Institute of Botany, Chinese Academy of Sciences, in 1997, where he started his career in higher fungal chemistry.

With more than 30 years of achievements, Liu's work touches all areas of fungal natural product research. He has pioneered several directions that are being studied by scientists around the world, including the beginning of important classes of compounds such as mycotoxins, fungal pigments, and fungal nitrogenous compounds [1–4]. Liu's team has investigated the chemical constituents of at least 300 fungal species worldwide. More than 4000 fungal natural products, including 2000 novel ones, have been established from basidiomycetes and ascomycetes.

Liu focuses on fungi toxins. Over the past 30 years, Yunnan sudden death syndrome has been responsible for more than 300 deaths in Yunnan Province, southwest China. It has long been a mystery and a fascinating problem. Liu's team identified two unusual amino acids as new toxins from the mushroom *T. venenata*. Mice treated with both amino acids exhibited increased serum creatine kinase (CK) activity. These new toxins are the cause of Yunnan sudden death syndrome, an important local epidemic of undefined etiology [5–7]. A campaign to warn people against eating this tiny mushroom with printed brochures has dramatically reduced the number of deaths, with no deaths reported from 2010 to 2014. This research has saved the lives of more than 80 people. In the south of France, twelve people were hospitalized for severe weakness and muscle loss after eating wild mushrooms [8]. Liu's team identified the toxins found in a previously unknown poisonous European mushroom Tricholoma terreum. Fifteen novel triterpenoids were isolated from the fruiting bodies of T. terreum. Two abundant compounds in the mushroom displayed acute toxicity when administered orally in mice, and both of them were found to increase serum creatine kinase levels in mice, indicating that T. terreum may be the cause of mushroom poisoning, ultimately leading to rhabdomyolysis [9].

Liu's team discovered vibralactone, an unusual fused  $\beta$ -lactone-type metabolite, from the basidiomycete *Boreostereum vibrans* in 2006. This molecule exhibited good inhibitory activity against pancreatic lipase [10]. The structure was optimized using vibralactone



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). as the original template and more than 200 derivatives have been synthesized. Moreover, a new derivative with significant pancreatic lipase inhibitory activity ( $IC_{50} = 14 \text{ nM}$ ) has been considered to be a drug candidate. A unique biosynthetic pathway of vibralactone, including several very interesting reactions that may involve unusual enzymes, was elucidated [11–13].

The fungal natural products with structural and biological activity diversity discovered by Liu's team are too numerous to list. More than twenty molecules have been rated "hot off the press", playing important roles in synthetic chemistry, pharmacology, and drug development [14–38].

Liu's workload and achievements are so broad that describing them in a brief editorial is hard. It can be said that Liu has left an indelible mark on the field, with over 450 publications and over 100 trainees from academia and industry who have carried on Liu's passion for fungal natural products. We hope that the above description provides at least an overview of Liu's main discoveries and his enormous impact on the field of higher fungal natural products. Nonetheless, at 60, Liu is still devoted to the work of fungal natural products chemistry with full enthusiasm. His professionalism and positive attitude toward life have deeply affected his students, colleagues, and fellow researchers. Therefore, it is with the deepest respect and affection for Liu that we, on Liu's 60th birthday, publish this Special Issue of the *Journal of Fungi* in Liu's name. We look forward to the exciting new discoveries reported in the future by Liu's team and the labs of Liu's scientific family.

Liu's comprehensive research interests are reflected by the broad scope of the contributions in this SI, covered by six reviews and sixteen original research articles.

Terpenoids are among the most important class of natural products and are thus the subject of two review articles. Whereas Dai et al. review the isolation, structural determination, bioactivities, and synthesis of sesquiterpenoids produced by fungi over the past five years [39], Fa-Lei Zhang et al. provide an overview of the structures, biological activities, evolution, organic synthesis, and biosynthesis of fungal diterpenoids reported in the period from 2010 to 2020 [40].

The review of Wen et al. underlines the importance of endophytic fungi as an alternative source of secondary metabolites, some of which have potential in the development of new pharmacologicals [41].

Nearer in its scope are the sulfur-containing compounds from plant endophytic fungi. Fan et al. reported 143 new sulfur-containing compounds that were reported from 1985 to 2022 and their fungal producers, plant sources, chemical structures, and bioactivities. These natural products mainly belong to the classes of polyketides, nonribosomal peptides, terpenoids, and hybrids [42].

The review of Hou et al. covers sorbicillinoids, a family of hexaketide metabolites with a characteristic sorbyl side-chain residue. Sixty-nine sorbicillinoids from fungi, newly identified from 2016 to 2021, are summarized in this review, including their structures and bioactivities [43].

Last but not least, Dai et al. report on the biosynthesis of fungal natural products, which involves two separate pathway crosstalk. This stresses that fungal natural product biosynthetic genes are not always arranged simply within one single biosynthetic gene cluster, thus increasing the structural complexity and chemical diversity of fungal NPs and expanding the scope of bioactivities [44].

For the original research articles, new natural products from Ascomycota are the first focus of this SI. Both Garcia et al. and Yan et al. report new cytochalasans from *Sparticola triseptata* and the endophytic fungus *Phomopsis* sp. [45,46]. These showed antiproliferative, cytotoxic, and anti-migratory activities, respectively, as expected for these PKS-NRPS hybrids.

During screening for new natural products with inhibitory activities on acetylcholinesterase, the endophytic fungus *Phaeosphaeria* sp., isolated from *Huperzia serrata*, was investigated, and new small polyketides were discovered by Xiao et al. [47].

Verrucosidin is a toxic pyrone-type mycotoxin of polyketide origin. New derivatives were discovered by Han et al. from *Penicillium cellarum* using a state-of-the-art MS/MS-based molecular networking approach [48].

New antibacterial chloro-containing polyketides from the alga-derived fungus *Asteromyces cruciatus* were elucidated by Zhuravleva et al. The new isoprenylated cyclohexanol structures contain a characteristic conjugated alkyne–alkene moiety, presumably responsible for the observed activities [49].

From another marine-derived fungus, *Acremonium chrysogenum*, Duan et al. obtained sorbicillinoid derivatives with radical scavenging activities. The analysis of the biosynthetic gene cluster, the proposed biosynthetic pathway, and the radical scavenging activity complete the study [50].

Further studies on endophytic fungi by Ai et al. and Yu et al. report new eremophilane sesquiterpenoids and new sativene, as well as longifolene sesquiterpenoids plus two new xanthones from *Boeremia exigua* isolated from *Fritillaria hupehensis* and the Kiwifruit-associated fungus *Bipolaris* sp., highlighting the vast chemical diversity to be explored by these plant-associated fungi [51,52].

Three manuscripts report on the isolation of secondary metabolites from Basidiomycota. First, Dai et al. reported eighteen previously undescribed bergamotane sesquiterpenes and one new victoxinine derivative from the edible mushroom *Craterellus odoratus*. Some of the compounds possess immunosuppressive activity [53].

Peng et al. reported on anti-adipogenic lanostane-type triterpenoids from the edible mushroom *Ganoderma applanatum*, which is utilized for medical purpose [54]. Other lanostane-type metabolites are presented from the species *Ganoderma australe* by Lin Zhou [55].

The phenalenone skeleton, found in several plant or fungal compounds, presumably plays a role in protecting these organisms against various external threats. Ibrahim et al. explored the activity of fungal phenalenone derivatives as potential CK2 inhibitors using computational methods [56].

The genetic engineering of fungal natural product biosynthesis genes and gene clusters constitutes the last focus of our SI. Feng et al. engineered *Aspergillus oryzae* for the heterologous expression of bacterial polyketide synthase genes, having a modular architecture [57].

The biosynthetic gene cluster encoding ilicicolin biosynthesis from the well-studied organism *Trichoderma reesei* was studied by heterologous expression in the fungal host *Aspergillus oryzae* by Shenouda et al. from the Cox group [58]. Furthermore, they developed *Trichoderma reesei* as a microbial cell factory for the heterologous expression of secondary metabolites for waste valorization [59].

After revealing the biosynthetic pathway of the potential protein kinase C inhibitor balanol through overexpression in previous studies, Li et al. now report the regulation of balanol biosynthesis by BlnR. This further improved the titers of balanol in the herb fungus *Tolypocladium ophioglossoides* [60].

Finally, we thank all of the contributors to this Special Issue and warmheartedly wish Prof. Ji-Kai Liu all the best for the future.

Conflicts of Interest: The authors declare no conflict of interest.

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## **Diterpenes Specially Produced by Fungi: Structures, Biological Activities, and Biosynthesis (2010–2020)**

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**Abstract:** Fungi have traditionally been a very rewarding source of biologically active natural products, while diterpenoids from fungi, such as the cyathane-type diterpenoids from *Cyathus* and *Hericium* sp., the fusicoccane-type diterpenoids from *Fusicoccum* and *Alternaria* sp., the guanacastane-type diterpenoids from *Coprinus* and *Cercospora* sp., and the harziene-type diterpenoids from *Trichoderma* sp., often represent unique carbon skeletons as well as diverse biological functions. The abundances of novel skeletons, biological activities, and biosynthetic pathways present new opportunities for drug discovery, genome mining, and enzymology. In addition, diterpenoids peculiar to fungi also reveal the possibility of differing biological evolution, although they have similar biosynthetic pathways. In this review, we provide an overview about the structures, biological activities, evolution, organic synthesis, and biosynthesis of diterpenoids that have been specially produced by fungi from 2010 to 2020. We hope this review provides timely illumination and beneficial guidance for future research works of scholars who are interested in this area.

Keywords: diterpenes; isolation; structure; biological activity; biosynthesis

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#### 1. Introduction

Fungi are widely distributed in terrestrial environments, freshwater, and marine habitats; more than one million distinctive fungal species exist, but only approximately 100,000 of these have been classified [1]. These eukaryotic microbes produce specialized metabolites that participate in a variety of ecological functions, such as quorum sensing, chemical defense, allelopathy, and maintenance of symbiotic interactions [2]. There are more than 40,000 terpenoid compounds in nature, which compose the largest family of natural products [3]. Terpenoids exist in all domains of life, but are particularly prevalent in plants, fungi, and marine invertebrates, and are essential constituents of secondary metabolism [3,4].

Diterpenoids are a class of C20 compounds derived from isoprenoid precursor geranylgeranyl diphosphate (GGPP) under the catalysis of diterpene synthases (DTSs) [5–11]. Prenyltransferase (PT) and terpene synthase (TPS) are key enzymes in the formation of the basic carbon skeletons of terpenoids [8,12]. The PT enzymes determine the prenyl carbon chain length, whereas the TPS enzymes generate the structural complexity of the molecular scaffolds, forming various ring structures [8]. Fungi are among the most important microbial resources for drug discovery, owing to their capability to produce structurally diverse and biologically important secondary metabolites [13,14]. It is also well known that fungi possess extraordinary biosynthetic gene clusters that may encode highly diverse biosynthetic pathways of natural products [15–18].

Between 2010 and 2020, about 400 fungal-specific diterpenes have been reported. In addition to 172 cyathane diterpenes reviewed by Bailly et al. [19] and Gao et al. [20], a total of 232 diterpenes were collected in this review (Chart 1). These diterpenoids are mainly tricyclic or tetracyclic skeletal structures such as cyathane-type, fusicoccane-type,

guanacastane-type, and harziene-type diterpenoids (Chart 1). Judging from the distribution of fungal diterpenoid resources, the diterpenes from the genera *Trichoderma*, *Penicillium*, *Cyathus*, *Hericium*, and *Crinipellis* account for 60% of the total (Chart 2). In addition, systematic studies on the chemical constituents of fungi have shown that a large number of fungal diterpenoids exhibited significant biological functions such as anti-inflammatory, cytotoxic, antimicrobial, and antiviral activities (Chart 3). For instance, the semi-synthetic pleuromutilin analogues tiamulin **193** and valnemulin **194** have been used for over three decades as antibiotics to treat economically important infections in swine and poultry [21–25].









Consequently, a wealth of novel skeletons, biosynthetic pathways, and bioactivities have provided new opportunities for drug discovery, genome mining, enzymology, and chemical synthesis. During the period covered in this review, there have been several more specialized reviews of fungal metabolites [26–28], including benzene carbaldehydes [29], trichothecenes [30,31], protoilludane sesquiterpenoids [32], meroterpenoids [33–35], meroterpenoid cyclases [36], terpenoids [37], and natural product biosynthetic genes and enzymes of fungi [17,18,38,39]. In addition, the isolation and chemistry of diterpenoids from terrestrial sources have been summarized [40]. In this review, we provide an overview of



diterpenoids that were specially produced by fungi during the period from 2010 to 2020, and focus on their structures, biological activities, and biosynthesis, and we also conduct an evolutionary analysis.

**Chart 3.** The proportion of one activity as compared with the whole occurrence of activities of bioactive fungal diterpenoids (2010–2020).

In particular, literature investigation of known databases such as PubMed and Web of Science was conducted from 2010 to July 2020 using the keywords "diterpenes/diterpenoids" paired with "fungi", "fungal diterpenoids" paired with "structure elucidation", or "fungal diterpenoids" paired with "biosynthesis". There were no language restrictions imposed. The references were further scrutinized and, finally, 210 references were selected. The data inclusion criteria included: (1) diterpenes/diterpenoids isolated from fungi, (2) carbon skeleton obtained only from fungi or rarely from other sources, (3) studies on the biological activities of diterpenes/diterpenoids and their derivatives that had been carried out in vitro or in vivo, (4) studies on the biosynthesis of diterpenes/diterpenoids and their derivatives. The data exclusion criteria included: (1) carbon skeleton of diterpenes/diterpenoids obtained in abundance from other sources, such as plants, bacteria and so on, (2) duplication of data and titles and/or abstracts not meeting the inclusion criteria.

#### 2. Cyathane



Cyathane diterpenes are a group of natural products that possess unusual, angularly fused 5/6/7 tricyclic cores, and they are characteristic of certain basidiomycete species including *Cyathus, Hericium*, and *Sarcodon* (Figure 1). For example, there have been more than 170 compounds isolated from fungi such as *Cyathus africanus* and *Hericium erinaceus* [19,20,41]. These compounds have a common biosynthetic precursor and can be produced via biosynthesis, hemi-synthesis, or total synthesis [42–47]. The cyathane diterpenoids include the classes of cyathins, striatins, sarcodonins, scabronines, and erinancines, according to their origins. Among them, the striatals, striatins, and erinacines, called cyathane-xylosides, which represent an unusual group of cyathane diterpenoids attached to a modified pentose

(*D*-xylose) moiety, have been isolated from cultures of *Cyathus* and *Hericium* species [20]. The cyathane structure is different from the homoverrucosane, mulinane, and valparane diterpenoids which also possess a 5/6/7 tricarbocyclic system [48,49]. The cyathanes are most similar to cyanthiwigins and can be differentiated by the orientation of the angular methyl groups, mainly present in some sponges [50–59]. These compounds display a diverse range of biological activities, including anticancer, antimicrobial, anti-MRSA (methicillin-resistant *Staphylococcus aureus*), anti-inflammatory, anti-proliferative, and nerve growth factor (NGF)-like properties [19,20,60,61]. An overview of cyathane-type diterpenes including isolation, structure diversity, synthesis, and bioactivity has been reviewed by Bailly et al. [19] and Gao et al. [20]. Therefore, in this review, we no longer summarize the details of cyathane diterpenoids.



Figure 1. The evolutionary analysis tree constructed with selected fungi producing cyathane diterpenoids. The evolutionary analysis was reconstructed by the maximum likelihood method from the internal transcribed spacer (ITS) sequences as follows: Cyathus africanus (JX103204.1), C. earlei (KY964272.1), C. gansuensis (KC869661.1), C. helenae (DQ463334.1), C. hookeri (KC005989.1), C. stercoreus (MH543350.1), C. striatus (KU865513.1), C. subglobisporus (MH156046.1), Gerronema albidum (MF318924.1), Hericium erinaceus (KU855351.1), H. flagellum (MG649451.1), H. ramosum (U27043.1), H. sp. WBSP8 (MN243091.1), Hydnum repandum (LC377888.1), Laxitextum incrustatum (KT722621.1), Phellodon niger (MH310794.1), Sarcodon glaucopus (MT955152.1), S. scabrosus (MN992643.1), Strobilurus tenacellus (MF063128.1). Since the ITS sequence of Sarcodon cyrneus was not available, Sarcodon sp. (MK049936.1) was selected, since it is in the same family with S. cyrneus. The evolutionary history was inferred by using the maximum likelihood method and the general time reversible model [62]. The bootstrap consensus tree inferred from 1000 replicates is taken to represent the evolutionary history of the taxa analyzed [63]. Branches corresponding to partitions reproduced in less than 50% bootstrap replicates are collapsed. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (1000 replicates) are shown next to the branches [63]. Initial tree(s) for the heuristic search were obtained automatically by applying the Neighbor-Join and BioNJ algorithms to a matrix of pairwise distances estimated using the maximum composite likelihood (MCL) approach, and then selecting the topology with superior log likelihood value. A discrete Gamma distribution was used to model evolutionary rate differences among sites (5 categories (+G, parameter = 1.2219)). The rate variation model allowed for some sites to be evolutionarily invariable ([+1], 0.00% sites). This analysis involved 20 nucleotide sequences. Codon positions included were 1st + 2nd + 3rd + noncoding. There were 924 positions in the final dataset. The evolutionary analysis was conducted in MEGA X (version 10.2.2) [64].

To understand the source genera of cyathane diterpenoids, we performed a phylogenetic analysis by using the maximum likelihood method and the general time reversible model [62–64] for all the species involved in the reviews by Bailly et al. [19] and Gao et al. [20]. The results show that source genera are grouped based on their regiospecificity, i.e., genera Cyathus, Hericium, and Sarcodon were clustered into different clades (Figure 1). Taxonomically, Cyathus africanus, C. hookeri, C. gansuensis, C. subglobisporus, C. stercoreus, and C. striatus all belonged to the genus Cyathus. They were close to each other, and first, they gathered into one branch, then, they gathered into one branch with Strobilurus tenacellus of the genus Strobilurus, and finally gathered into one branch with other genera (Figure 1). C. earlei and C. helenae also belonged to the genus Cyathus, they were close to each other, and first, they gathered into one branch, then, they gathered into one branch with Gerronema albidum of the genus Gerronema. Similarly, Hericium erinaceus, H. flagellum, and Hericium sp. WBSP8, Sarcodon scabrosus, S. glaucopus, and other species were close to each other. Existing studies have shown that most fungal metabolites are encoded by biosynthetic gene clusters (BGCs) [17]. The natural product BGCs of species in the same genus tend to be highly homologous, and BGC functional divergence gives rise to the evolution of new secondary metabolites, indicating that species-level sampling in these three genera for natural products mining will yield significant returns for cyathane diterpenoids discovery.

#### 3. Cyclopiane



Cyclopiane diterpenoids comprise a class of tetracyclic diterpenes with unique scaffolds. They are characterized by a highly fused 6/5/5/5 ring system. The structural variations of cyclopiane diterpenoids are mainly owing to oxidation occurring at various sites to generate hydroxy groups [65]. In general, cyclopiane diterpenoids have mainly been isolated from different species of the genus Penicillium (Figure 2) and have been classified into two groups according to the functionality at C-1, i.e., conidiogenols and conidiogenones. The former featured with a hydroxy group at C-1, while the later possessed a carbonyl group at C-1 [66]. Specifically, Penicillium commune MCCC 3A00940, P. sp. F23-2, P. sp. YPGA11, P. cyclopium, P. roqueforti IFM 48062, P. sp. TJ403-2, P. chrysogenum QEN-24S, and Leptosphaeria sp. XL026 have been reported to produce conidiogenol-type diterpenoids, while P. commune MCCC 3A00940, P. chrysogenum MT-12, P. sp. YPGA11, and P. cyclopium have been reported to produce conidiogenone-type diterpenoids (Figure 2). Structurally, cyclopiane diterpenoids differ from the aberrarane-type diterpenoid aberrarone, which has shown in vitro antimalarial activity against a chloroquine-resistant strain of the protozoan parasite *Plasmodium falciparum* isolated from the Caribbean sea whip Pseudopterogorgia elisabethae [67]. The molecular structure of aberrarone was established by spectral analysis and subsequently confirmed by X-ray crystallographic analysis. Some cyclopiane compounds exhibited pronounced biological activities, such as conidiation induction, cytotoxic, anti-inflammatory, antimicrobial, and antiallergic effects.



conidiogenol-type conidiogenone-type

**Figure 2.** The evolutionary analysis tree constructed with selected fungi producing cyclopiane diterpenoids. The evolutionary analysis was reconstructed by the maximum likelihood method from the ITS sequences as follows: *Penicillium commune* MCCC 3A00940 (KY978585.1), *P.* sp. F23-2 (EU770318.1), *P.* sp. YPGA11 (MG835908.1), *P.* sp. TJ403-2 (MK613138.1), *P. chrysogenum* MT-12 (MF765611.1), *P. chrysogenum* QEN-24S (GU985086.1), *P. roqueforti* IFM 48062 (AB041202.1), and *Leptosphaeria* sp. XL026 (MK603060.1). Since the ITS sequence of strain *P. cyclopium* IMI 229034 was not available, *P. cyclopium* IFM 41611 (AB041169.1) was selected, since it was in the same family as *P. cyclopium*. The evolutionary analysis was conducted in MEGA X (version 10.2.2) [64].



#### 3.1. Conidiogenol Type

Conidiogenol **1** is a potent and selective inducer of conidiogenesis in the liquid culture of *Penicillium cyclopium* under non-nutrient limiting conditions [66]. Conidiogenol B **2** has been obtained from the deep-sea derived fungus *P. commune* MCCC 3A00940 [68]. Conidiogenols C **3** and D **4** have been isolated from a deep-sea derived fungus *P.* sp. YPGA11 [65].

The absolute structure of cyclopiane diterpenoids was first confirmed by Abe and coworkers, in 2018, with the aid of the crystal sponge method [69]. Using the genome-mining approach, a chimeric enzyme of prenyltransferase-diterpene synthase (PT-TS) discovered from *P. chrysogenum* MT-12 was designated as *P. chrysogenum* cyclopiane-type diterpene synthase (PcCS). The new diterpene alcohol metabolite **5** was produced after the gene heterologously expressed in *Aspergillus oryzae*, and the crystalline sponge method also revealed the absolute configuration of **5** [69]. The PT domain of PcCS first generated geranylgeranyl diphosphate (GGPP) from dimethylallyl pyrophosphate (DMAPP) and isopentenyl pyrophosphate (IPP) (Scheme 1A). Then, GGPP was converted into **5** by a cyclization reaction catalyzed by the TS domain of PcCS (Scheme 1B).



Scheme 1. (A) Reaction catalyzed by the prenyltransferase domain of PcCS; (B) reaction catalyzed by the terpene synthase domains of PcCS [69].

#### 3.2. Conidiogenone Type

Conidiogenone **6**, first isolated from *Penicillium cyclopium*, was also an inducer of conidiation [66,70]. The biosynthetic pathway of (–)-conidiogenone **6** has been fully elucidated by the heterologous expression of biosynthetic genes in *Aspergillus oryzae* and by in vitro enzyme assay with <sup>13</sup>C-labeled substrates [71]. After construction of deoxy-conidiogenol by the action of bifunctional terpene synthases (PchDS gene obtained from *Penicillium chrysogenum*, and PrDS gene identified from *Penicillium roqueforti* showed significant homology to PchDS), one cytochrome P450 catalyzed two rounds of oxidation to furnish conidiogenone **6**. The cyclization mechanism catalyzed by terpene synthase, involving successive 1,2-alkyl shifts, was fully elucidated using <sup>13</sup>C-labeled geranylgeranyl pyrophosphate (GGPP) as a substrate (Scheme 2).



Scheme 2. Proposed cyclization mechanism catalyzed by PchDS/PrDS [71].

A series of new conidiogenone-type diterpenoids have been obtained from several *Penicillium* species including conidiogenones B–G **7–12** from the fungus *P*. sp. F23-2 [72], conidiogenones H **13** and I **14** from the endophytic fungus *P. chrysogenum* QEN-24S [73], conidiogenones J **16** and K **15** from the fungus *P. commune* [68], and conidiogenone L **17** from *P.* sp. YPGA11 [65]. Conidiogenone B **7** showed potent activity against methicillin-resistant *Staphylococcus aureus* (MRSA), *Pseudomonas fluorescens, P. aeruginosa*, and

Staphylococcus epidermidis (each with a MIC value of 8  $\mu$ g/mL) [73]. Conidiogenone C 8 showed potent cytotoxicity against HL-60 and BEL-7402 cell lines with IC<sub>50</sub> values of 0.038 and 0.97  $\mu$ M, and conidiogenone G **12** showed potent cytotoxicity against HL-60 cell line with an IC<sub>50</sub> value of 1.1  $\mu$ M [72]. Provoked by the novelty of structures and potent bioactivities, total syntheses of **1**, **6**, and **7** were achieved, which led to further determination of their absolute configurations [74].

Three new cyclopiane diterpenes 13β-hydroxy conidiogenone C **18** and 12β-hydroxy conidiogenones C **19** and D **20** have been isolated and identified from a sea sedimentderived fungus *Penicillium* sp. TJ403-2 [75]. Their absolute configurations were further established by X-ray crystallography experiment. Compounds **18–20** were evaluated for their anti-inflammatory activity against LPS-induced NO production, and compound **18** showed notable inhibitory potency with an IC<sub>50</sub> value of 2.19  $\mu$ M, which was three-fold lower than the positive control indomethacin (IC<sub>50</sub> 8.76  $\mu$ M). Further Western blot and immunofluorescence experiments demonstrated that **18** inhibited the NF-κB-activated pathway.

Leptosphin C **21** has been isolated from the solid cultures of an endophytic fungus *Leptosphaeria* sp. XL026 [76]. Its structure was elucidated by extensive spectroscopic methods and single-crystal X-ray diffraction.

#### 4. Fusicoccane

#### 4.1. Structural and Biological Diversity

Fusicoccane diterpenoids, characterized by 5/8/5, 5/8/6, 5/9/4, and 5/9/5 fused carbocyclic ring systems, include the fusicoccins, cotylenins, brassicicenes, heterodimers, and homodimers [77–80]. They were first isolated as glycosides from the phytopathogenic fungus *Fusicoccum amygdali*, in 1964 [81]. Substances exhibiting this structural motif have been isolated from a variety of sources including fungi such as *Talaromyces purpureogenus*, *Alternaria brassicicola* XXC, and *Trichoderma citrinoviride* cf-27 (Figure 3), and rarely from liverworts, algae, ferns, streptomycetes, and higher plants, some of which showed remarkable biological effects relevant for drug discovery, such as antibacterial, antitumor, anti-inflammatory, and antifungal activities [82–89].



**Figure 3.** The evolutionary analysis tree constructed with selected fungi producing fusicoccane diterpenoids. The evolutionary analysis was reconstructed by the maximum likelihood method from the ITS sequences as follows: *Alternaria brassicicola* XXC (KR779774.1), *Penicillium* sp. DT10 (MH458525.1), *Periconia* sp. No. 19-4-2-1 (KP873157.1), *Roussoella hysterioides* KT1651 (KJ474829.1), *Talaromyces stipitatus* (MH857968.1), *Talaromyces purpurogenus* (MH120320.1), and *Trichoderma citrinoviride* cf-27 (KT259441.1). The evolutionary analysis was conducted in MEGA X (version 10.2.2) [64].



Talaronoids A–D **22–25**, four diterpenoids with an unexpected tricyclic 5/8/6 carbon skeleton isolated from *Talaromyces stipitatus*, represent a new class of fusicoccane diterpenoids with a benzo[*a*]cyclopenta[*d*]cyclooctane skeleton [80]. Plausible biosynthetic pathways of talaronoids A–D **22–25** have been proposed starting from geranylgeranyl diphosphate with a Wagner–Meerwein rearrangement as the key step (Scheme 3). Talaronoids A–D **22–25** have shown moderate butyrylcholinesterase (BCHe) inhibitory activity with IC<sub>50</sub> values of 14.71, 26.47, 31.51, and 11.37  $\mu$ M, respectively. A new diterpenoid roussoellol C **26** that exhibited moderate antiproliferative activities against human breast adenocarcinoma (MCF-7) cell line with an IC<sub>50</sub> value of 6.5  $\mu$ M has been isolated from an extract of laboratory cultures of the marine-derived fungus *Talaromyces purpurogenus* [90]. Roussoellols A **27** and B **28** have been isolated from the plant-inhabiting ascomycetous fungus *Roussoella hysterioides* KT1651 [91]. Compound **28** inhibited the hyphal growth of the phytopathogen *Cochliobolus miyabeanus* at 10  $\mu$ g/mL.



Scheme 3. Proposed biosynthetic pathways of talaronoids A-D 22-25 [80].

Six new fusicoccane-type diterpenoids, 14-hydroxycyclooctatin **30**, 12 $\alpha$ -hydroxycyclooctatin **31**, 12 $\beta$ -hydroxycyclooctatin **32**, fusicomycin A **33**, fusicomycin B **34**, and isofusicomycin A **35**, along with a known compound, cyclooctatin **29** [82,92], have been isolated from the fermentation broth of *Streptomyces violascens* [93]. Compounds **33–35** have demonstrated cytotoxicity against five human cancer cell lines (BGC823, H460, HCT116, HeLa, and SMMC7721), with IC<sub>50</sub> values ranging from 3.5 to 14.1  $\mu$ M. Cell adhesion, migration, and invasion assays have shown that fusicomycin B **34** inhibited the migration and invasion of human hepatocellular carcinoma SMMC7721 cells in a dose-dependent manner. Through further investigation, it was revealed that **34** inhibited the enzymatic activity of matrix metalloproteinase-2 (MMP-2) and matrix metalloproteinase-9 (MMP-9), in addition to downregulating the expressions of MMP-2 and MMP-9 at both the protein and mRNA levels to influence the migration and invasion of cancer cells.



Between 1999 and 2014, eleven new fusicoccane-like diterpenoids were isolated from the phytopathogenic fungus *Alternaria brassicicola* [94–96]. With the aid of computational predictions, experimental validation, and biosynthetic logic-based strategies, Zhang and co-workers first rectified the conclusion that all brassicicenes were originally proposed to have a 5/8/5 fused skeleton and, thus, reassigned brassicicenes C–H **36–41**, J **42**, and K **43** to have a unique bridgehead double-bond-containing 5/9/5 fused skeleton [97]. Meanwhile, brassicicenes L–N **44–46** were three highly modified fusicoccanes also isolated from the fungus *Alternaria brassicicola* [97]. Afterward, alterbrassicene A **47** [78] and alterbrassicicene A **48** [98], two unprecedented fusicoccane-derived diterpenoids featuring a 5/9/4-fused carbocyclic skeleton and a newly transformed monocyclic carbon skeleton (Scheme 4), respectively, were obtained from the same fungal strain and found to function on different targets in the NF-κB signaling pathway of anti-inflammatory activity. Later, the biogenetically related intermediates, brassicicenes O **49** and P **50**, were also discovered [78].



Scheme 4. Hypothetical biosynthetic pathways for alterbrassicene A 47 and alterbrassicicene A 48 [78,98].

Brassicicenes Q-X 51-58 have been isolated from the phytopathogenic fungus Alternaria brassicicola [99]. Brassicicene S 53 was found to show significant anti-inflammatory activity against the production of NO, TNF- $\alpha$ , and IL-1 $\beta$  at 10  $\mu$ M. Further Western blot and immunofluorescence experiments found the mechanism of 53 inhibiting the NF-κBactivated pathway.



Seven new modified fusicoccane-type diterpenoids 59-65, together with two known congeners, have been obtained from A. brassicicola [100]. Alterbrassicicenes B 60 and C 62 represented the first examples of fusicoccane-type diterpenoids featuring two previously undescribed tetracyclic 5/6/6/5 ring systems, while  $1\beta_2\beta_2$ -epoxybrassicicene I 63 featured a previously undescribed tetracyclic 5/8/5/3 ring system. Alterbrassicicene E 65 showed moderate anti-inflammatory activity against NO production in lipopolysaccharide (LPS)induced RAW264.7 cell with an IC<sub>50</sub> value of 24.3 µM. In addition, alterbrassicicene B 60, 3-ketobrassicicene W 61,  $1\beta$ ,  $2\beta$ -epoxybrassicicene I 63, and alterbrassicicene E 65 exerted weak cytotoxicity against certain human tumor cell lines (OCVAR, MDA-MB-231, HeLa, HT-29, and Hep3B cells) with IC<sub>50</sub> values ranging from 25.0 to 38.2  $\mu$ M.





73











76





Alterbrassinoids A–D **66–69**, the first examples of fusicoccane-derived diterpene dimers furnished by forming an undescribed C-12–C-18' linkage, have been isolated from modified cultures of *Alternaria brassicicola* [79]. Alterbrassinoids A **66** and B **67** represented unprecedented heterodimers, whereas alterbrassinoids C **68** and D **69** represented unprecedented homodimers, and alterbrassinoid D **69** also featured an undescribed anhydride motif. Alterbrassinoids A–D **66–69** showed moderate activities against five cancer cells (including OCVAR, MDAMB-231, HeLa, HT-29, and Hep3B). Afterward, three rearranged fusicoccane diterpenoids bearing a rare bridgehead double-bond-containing tricyclo[9.2.1.0<sup>3,7</sup>]tetradecane (5/9/5 ring system) core skeleton, namely alterbrassicenes B–D **70–72**, were obtained from the same fungus *A. brassicicola* [101]. Their structures were assigned via spectroscopic methods, ECD calculations, and single-crystal X-ray diffraction. Compounds **70–72** showed moderate cytotoxicity against several human tumor cell lines with IC<sub>50</sub> values ranging from 15.87 to 36.85 μM.

Five new diterpenoid glycosides, dongtingnoids A–E 73–77, two new diterpenoid aglycones, dongtingnoids F 78 and G 79, and two known analogues, cotylenins E and J, belonging to the fusicoccane family, have been isolated from the fungus *Penicillium* sp. DT10 [102]. Dongtingnoids A 73, D 76, and E 77 showed comparable seed-germination-promoting activities to the growth regulator cotylenin E [103,104]. Such diterpene glucosides have been used for the production of an intermediate compound suitable for semi-synthesis by a mutant constructed by disruption of a specific gene by homologous recombination [105,106].

Trichocitrin **80**, representing the first *Trichoderma*-derived and furan-bearing fusicoccane diterpene, has been isolated from the culture of marine brown alga-endophytic *Trichoderma citrinoviride* [107]. A new class of fusicoccane-type diterpenoid alkaloids with an unusual 5/5/8/5 tetracyclic system, i.e., pericolactines A–C **81–83**, have been isolated from *Periconia* sp. [108].

#### 4.2. Biosynthesis of Fusicoccane Diterpenes

A unique chimeric enzyme PaFS, possessing both a geranylgeranyl diphosphate (GGDP) synthase domain and a diterpene cyclase domain, has been identified from *Phomopsis amygdali* [109]. A biosynthetic gene cluster of brassicicene C **36**, a fusicoccadiene synthase (AbFS) containing 11 genes (*orf1* to *orf11*, Scheme 5A), has been identified in *Alternaria brassicicola* ATCC 96836 from genome database search [110,111]. In vivo and in vitro studies have clearly revealed the function of *Orf8* and *Orf6* as a fusicoccadiene synthase similar to PaFS and methyltransferase, respectively. In this gene cluster, five genes (*orf1*, *orf2*, *orf5*, *orf7*, and *orf11*) encoded cytochrome P450s. *Orf9* was a key dioxygenase to determine the aglycon structures of fusicoccin and brassicicene [112].

Other fusicoccane-type diterpene synthases have been identified from bacteria or fungus, such as CotB2 from bacteria responsible for the biosynthesis of cyclooctat-9-en-7-ol **84** [113], and SdnA from fungus responsible for the biosynthesis of cycloaraneosene **85** [114]. The same 5/8/5 tricyclic skeleton occurred in the sesterterpene ophiobolin F for which the terpene synthase AcOS has been reported from *Aspergillus clavatus* [115]. Oikawa and co-workers applied the *Aspergillus oryzae* heterologous expression system to functionally characterize cryptic bifunctional terpene synthase genes found in fungal genomes and identified the sesterfisherol (contains a characteristic 5/6/8/5 tetracyclic system) synthase gene (*NfSS*) from *Neosartorya fischeri* [116].



**Scheme 5.** (**A**) Biosynthetic gene clusters of the brassicicenes in *P. fijiensis* and *A. brassicicola;* (**B**) proposed biosynthetic pathway for brassicicenes (dashed arrows are those deduced from expected protein function) [117].

A unique P450 enzyme *bscF* has been identified in the phytopathogen *Pseudocercospora fijiensis* that generated two structurally different products from the single substrate. In addition to the heterologous expression of the eight genes, *bscA-bscH* elucidated the biosynthetic pathway for brassicicenes (Scheme 5B) [117].

A new fusicoccane-type diterpene synthase MgMS has been identified from the fungus *Myrothecium graminearum* by the genome mining method, which catalyzed the formation of the new diterpene alcohol myrothec-15(17)-en-7-ol **86** with all the seven stereocenters being introduced in the cyclization steps and conserved in the structure of the product. Based on this, its novel cyclization mode was unambiguously assigned (Scheme 6) [118].



**Scheme 6.** Mechanistic hypothesis for the cyclization of GGPP to myrothec-15(17)-en-7-ol **86** and myrotheca-7,15(17)-diene **87** [118].

#### 5. Guanacastane

The discovery of 5/7/6 ring-fused guanacastane diterpenoids has been limited to several fungal species in the different genera *Cercospora*, *Cortinarius*, *Coprinellus*, *Coprinus*, *Psathyrella*, and *Verticillium* (Figure 4). *Coprinellus heptemerus* and *C. radians* M65 belong to the same genus, and first, they gather into one branch. *Psathyrella candolleana* and *Cercospora* sp. gather into one branch although they come from different genera. They are all able to produce guanacastane diterpenoids, indicating that highly homologous BGCs may also exist in fungi of different genera. The first member guanacastepene A **88**, a new diterpene antibiotic against methicillin-resistant *Staphylococcus aureus* (MRSA) and vancomycin-resistant *Enterococcus faecalis* (VREF), has been isolated from an unidentified endophytic fungus [119]. Meanwhile, fourteen new analogues guanacastepenes B–O **89–102** have been isolated from the same resource [120]. The novel skeleton has attracted great interests for organic synthesis [121–136]. The biological activities of guanacastanes have mainly been reported to possess cytotoxicity and antimicrobial effects.



**Figure 4.** The evolutionary analysis tree constructed with selected fungi producing guanacastane diterpenoids. The evolutionary analysis was reconstructed by the maximum likelihood method from the ITS sequences as follows: *Coprinus heptemerus* D99052 (JN159553.1), *Coprinus radians* M65 (HM045514.1), *Coprinus plicatilis* 82 (*Parasola plicatilis*) (FM163216.1), *Psathyrella candolleana* (MF401519.1), *Cercospora* sp. (KF577929.1), and *Verticillium dahlia* (HQ839784.1). Since the ITS sequence of *Cortinarius pyromyxa* was not available, *Cortinarius misermontii* (NR\_130230.1) was selected since their ITS sequences were the most similarly. The evolutionary analysis was conducted in MEGA X (version 10.2.2) [64].



Heptemerones A–G 103–109 have been isolated from cultures of *Coprinus heptemerus* [137,138]. Radianspenes A–M 110–122 have been obtained from *Coprinus radians* [139]. Among the biological activities of these isolates, the inhibition of fungal germination was the most potent, and depended highly on the composition of the assay medium [137]. Radianspene C 112 showed inhibitory activity against human breast carcinoma (MDA-MB-435) cell with an IC<sub>50</sub> value of 0.91  $\mu$ M [139]. Investigation of secondary metabolites from the fungal *Coprinus plicatilis* led to the discovery of several new guanacastane-type diterpenoids, named plicatilisins A–D 123–126 [140] and E–H 127–130 [141]. In vitro cytotoxic activities against the human cancer cell lines (HepG2, HeLa, MDA-MB-231, BGC-823, HCT 116, and U2OS) showed that plicatilisin A 123 exhibited significant cytotoxicity with IC<sub>50</sub> values ranging from 1.2 to 6.0  $\mu$ M [140].

Guanacastepenes P–T **131–135** have been isolated from cultures of the fungus *Psathyrella candolleana* [142]. Guanacastepene R **133** exhibited inhibitory activity against both human and mouse isozymes of 11 $\beta$ -hydroxysteroid dehydrogenase (11 $\beta$ -HSD1) with IC<sub>50</sub> values of 6.2 and 13.9  $\mu$ M, respectively. Cercosporenes A–F **136–141**, including two homodimers **140** and **141**, have been isolated from the fungus *Cercospora* sp. [143]. Cercosporene F **141** was cytotoxic to five human tumor cell lines (HeLa, A549, MCF-7, HCT116, and T24) with IC<sub>50</sub> values of 8.16–46.1  $\mu$ M, and induced autophagy in HCT116 cell.



Eleven new guanacastane-type diterpenoids dahlianes A–K **142–152** have been obtained from the fungus *Verticillium dahlia* that was isolated from the gut of insect *Coridius chinensis* [144,145]. In the cytotoxicity evaluation against human tumor cell lines, dahlianes B **143** and C **144** exhibited significant cytotoxicity against human breast cancer cell MCF-7 with IC<sub>50</sub> values of 3.35 and 4.72  $\mu$ M, respectively [144]. In addition, the isolates were evaluated for their cytotoxicity toward drug-sensitive and DOX resistant MCF-7 cells by MTT assay. As a result, dahliane G **148** showed an 80-fold potentiation effect on the sensitization of doxorubicin at the concentration of 15  $\mu$ M when screening the reversal activity on doxorubicin-resistant human breast cancer cell (MCF-7/DOX) [145].

Pyromyxones A–D **153–156** have been isolated from fruiting bodies of *Cortinarius pyromyxa*, which possessed an undescribed *nor*-guanacastane skeleton of a 5/7/6 tricyclic system [146]. Pyromyxones A **153**, B **154**, and D **156** exhibited weak activity against Gram-positive *Bacillus subtilis* and Gram-negative *Aliivibrio fischeri*, as well as the phytopathogenic fungi *Botrytis cinerea*, *Septoria tritici*, and *Phytophthora infestans* [146].



#### 6. Harziene

Harziene is a small group of diterpenoids that have a unique 4/7/5/6 tetracyclic scaffold. They have mainly been obtained from different *Trichoderma* species and rarely from liverworts [147]. Harziandione **157** was the first harziene diterpenoid isolated from the liquid culture of *T. harzianum*, in 1992 [148]. Harzianone **158**, a new harziene diterpene, has been isolated from an alga-endophytic isolate of *T. longibrachiatum* [149]. The structure with absolute configuration of **158** was unambiguously identified by NMR and mass spectrometric methods as well as quantum chemical calculations. In addition, the absolute configuration of harziandione **157** was supported by optical rotation calculation, and the structure of isoharziandione isolated from culture filtrate of a strain of *Trichoderma viride* [150] was revised to harziandione **157** on the basis of <sup>13</sup>C NMR data comparison and calculation.



The terpene cyclization mechanism of harzianone **158** has been studied by feeding experiments using selectively <sup>13</sup>C- and <sup>2</sup>H-labeled synthetic mevalonolactone isotopologues, followed by the analysis of the incorporation patterns of <sup>13</sup>C NMR spectroscopy and GC/MS, and the structure of harzianone **158** was further supported from a <sup>13</sup>C-<sup>13</sup>C COSY experiment of the in vivo generated fully <sup>13</sup>C-labeled diterpenoid (Scheme 7) [151].

Four new harziene-related compounds **159–162** have been isolated from an endophytic fungus *Trichoderma atroviridae* UB-LMA [152]. Among them, **159** is a potential derivative of geranylgeranyl diphosphate and may represent the biosynthetic precursor of this scarce family of compounds (Scheme 7). Recently, the first total synthesis of nominal harziene diterpenoid **160** has been achieved; stereochemical analysis and subsequent synthesis of the epimeric tertiary alcohol led to the reassignment of configuration for compound **160** as shown for harzianol I **180** [153].



Scheme 7. Biosynthetic mechanism to harziene and taxadiene scaffolds [151,152].

(9R,10R)-Dihydro-harzianone **163** and harzianelactone **164** have been isolated from the endophytic fungus *Trichoderma* sp. Xy24 [154]. Compound **163** was the reductive product of harzianone **158** while **164** possessed a 6/5/7/5-fused ring core containing a lactone. The latter was the Baeyer–Villiger monooxygenase catalyzed oxidation product of harzianone **158**. Compound **163** exhibited cytotoxicity against HeLa and MCF-7 cell lines with IC<sub>50</sub> values of 30.1 and 30.7  $\mu$ M, respectively.

3R-Hydroxy-9R,10R-dihydroharzianone **165** has been isolated from an endophytic fungus *Trichoderma harzianum* X-5 [155]. 11-Hydroxy-9-harzien-3-one **166**, isolated from *T. asperellum* cf44-2, showed inhibitory activity against pathogenic bacteria *Vibrio parahaemolyticus* with a 6.2 mm zone [156]. 3S-Hydroxyharzianone **167**, isolated from *T. asperellum* A-YMD-9-2, could highly inhibit four marine phytoplankton species (*Chattonella marina, Heterosigma akashiwo, Karlodinium veneficum*, and *Prorocentrum donghaiense*) with the IC<sub>50</sub> values ranging from 3.1 to 7.7 µg/mL [157]. Deoxytrichodermaerin **168**, a harziene lactone possessing potent inhibition against the four phytoplankton species (*C. marina, H. akashiwo, K. veneficum*, and *P. donghaiense*), has been obtained from an endophyte *Trichoderma longibrachiatum* A-WH-20-2 [158].

Two new harziene diterpene lactones, i.e., harzianelactones A **169** and B **170**, and five new ones, i.e., harzianones A–D **171–174** and harziane **175**, have been isolated from the soft coral-derived fungus *Trichoderma harzianum* XS-20090075 [159]. These compounds exhibited potent phytotoxicity against seedling growth of amaranth and lettuce. Harzianone E **176**, which exhibited weak antibacterial activity against *Photobacterium angustum*, has been obtained from the culture of coral-derived fungus *T. harzianum* treated with 10  $\mu$ M sodium butyrate [160]. Harzianols F–J **177–181** and three known derivatives have been obtained from the liquid fermentation of an endophytic fungus *T. atroviride* B7 [161]. Among them, compound **180** exhibited significant antibacterial effect against *Staphylococcus aureus*, *Bacillus subtilis*, and *Micrococcus luteus* with EC<sub>50</sub> values of 7.7, 7.7, and 9.9  $\mu$ g/mL, respectively. Meanwhile, cytotoxic activity of **180** against three cancer cell lines was also observed [161].

Furanharzianones A **182** and B **183** are two new harziene-type diterpenoids with an unusual 4/7/5/6/5 ring system, while harzianols A–E **184–188** and harziane acid **189** are six new oxidized derivatives of harzianone [162,163]. These compounds have all been obtained from microbial transformation by the bacterial strain *Bacillus* sp. IMM-006.



#### 7. Phomopsene

Structure determination of the novel diterpene hydrocarbon phomopsene **190** has been provided by enzymatic synthesis with the recombinant terpene synthase PaPS from *Phomopsis amygdali*, and screening of fungal broth extracts regarding characteristic NMR

signals of phomopsene **190** resulted in the isolation of a new diterpene, methyl phomopsenonate **191** (Scheme 8) [164].



Scheme 8. Proposed biosynthetic pathway of phomopsene 190 and methyl phomopsenonate 191 [164].

The cyclization mechanism of tetracyclic diterpene phomopsene **190** with phomopsene synthase (PaPS) has been examined through systematically deuterium-labeled geranyl-geranyl diphosphate (GGPP), starting from site-specific deuterium-labeled isopentenyl diphosphates (IPPs) using IPP isomerase and three prenyltransferases (Scheme 9) [165].



Scheme 9. Proposed cyclization mechanism catalyzed by PaPS [165].

Otherwise, other phomopsene synthases have been identified from actinomycetes such as *Allokutzneria albata* (PmS), *Nocardia testacea* (NtPS), and *Nocardia rhamnosiphila* (NrPS) [166,167]. All enzymes were subjected to in-depth mechanistic studies involving isotopic labeling experiments, metal-cofactor variation, and site-directed mutagenesis.



#### 8. Pleuromutilin

Pleuromutilin **192** is a diterpene with a tricyclic skeleton possessing antimicrobial properties. It was first discovered from two basidiomycete fungal species including *Pleurotus mutilis* (synonymous to *Clitopilus scyphoides* f. *mutilus*) and *Pleurotus passeckerianus* (synonymous to *Clitopilus passeckerianus*) [168], and then produced by a number of other related species [169]. Its chemical structure and cyclisation mechanism has been elucidated by independent works [170–172], while total synthesis has been achieved by [173,174]. The
semi-synthetic pleuromutilin analogues tiamulin **193** and valnemulin **194** have been used for over three decades to treat economically important infections in swine and poultry without showing any significant development of resistance in their target bacteria [21–25]. In recent years, extensive research including structure–activity relationship studies have been conducted to generate new orally available pleuromutilin derivatives having been used systemically in human medicine to treat acute bacterial skin and skin structure infections, as well as multidrug-resistant tuberculosis [175–178].

The gene cluster for the antibiotic pleuromutilin **192** has been isolated in *Clitopilus passeckerianus* [179]. Total de novo biosynthesis of pleuromutilin **192** was achieved through the expression of the entire gene cluster in the secondary host *Aspergillus oryzae*, proving that the seven genes isolated were sufficient for biosynthesis of the diterpene antibiotic. Heterologous expression of genes from the pleuromutilin **192** (Scheme 10) and generated bioactive semi-synthetic derivatives [180].



Scheme 10. Proposed biosynthetic pathway to pleuromutilin 192 in Clitopilus passeckerianus [180].



# 9. Sordaricin

Sordarin **195**, an antifungal antibiotic possessing a unique 5/6/5/5-fused ring system, was discovered in 1971 as a metabolite of *Sordaria araneosa* [181]. A number of related semisynthetic sordarin derivatives have also been reported and some have been developed as antifungal agents such as zofimarin **196**, hypoxysordarin (FR231956) **197**, and FR290581 **198** [182–186]. Sordarin **195** and related compounds have been shown to

inhibit protein synthesis by a mechanism involving selective binding to the elongation factor 2 (EF-2) and ribosome complex in fungi [187–189].

Sordarins C–F **199–202**, possessing a unique 5/6/5/5 or 5/6/5/5/3 ring system varied at the C-11 position and the branch attached to C-12 of the sordaricin-type diterpene skeleton, have been isolated from the fungus *Xylotumulus gibbisporus* [190]. Genome mining of the sordarin biosynthetic gene cluster from *Sordaria araneosa* has been carried out, and the results suggest that the identified *sdn* gene cluster is responsible for the biosynthesis of sordarin **195** and hypoxysordarin **197** (Scheme 11) [114].



Scheme 11. The biosynthetic pathway for sordarin 195 and hypoxysordarin 197 [114].

### 10. Tetraquinane

Several antibiotic crinipellin-related diterpenoids containing a 5/5/5/5 tetraquinane skeleton have been obtained from the basidiomycetous fungus *Crinipellis stipitaria* [191,192]. Up to now, the total synthesis of (±)-crinipellin B **203** and (–)-crinipellin A **204** have been reported [186,193–195].

Four novel diterpenoids, namely  $(4\beta)$ -4,4-O-dihydrocrinipellin A **205**,  $(4\beta,8\alpha)$ -4,4-O,8,8-O-tetrahydrocrinipellin B **206**, crinipellins C **207** and D **208**, along with three known diterpenoids have been isolated from the fungus *Crinipellis* sp. 113 [196]. Antitumor assays demonstrated that the compounds possess moderate activities against HeLa cell.

Four new tetraquinane diterpenoids crinipellins E–H **209–212** have been isolated from fermentations of a *Crinipellis* species [197]. Crinipellins E–G **209–211** inhibited the LPS/IFN- $\gamma$  induced CXCL10 promoter activity in transiently transfected human MonoMac6 cell in a

dose-dependent manner with IC<sub>50</sub> values of 15, 1.5, and 3.15  $\mu$ M, respectively. Moreover, crinipellins E–G **209–211** reduced mRNA level and synthesis of proinflammatory mediators such as cytokines and chemokines in LPS/IFN- $\gamma$  stimulated MonoMac6 cell.

A new crinipellin derivative crinipellin I **213** together with the known crinipellin A **204** have been obtained from the fungus *Crinipellis rhizomaticola* [198]. Crinipellin A **204** exhibited a wide range of antifungal activity in vitro against *Colletotrichum coccodes, Magnaporthe oryzae, Botrytis cinerea*, and *Phytophthora infestans* (MICs of 1, 8, 31, and 31 µg/mL, respectively).



# 11. Others

### 11.1. Spirograterpene

A novel spiro-tetracyclic diterpene featuring a 5/5/5/5 spirocyclic carbon skeleton, i.e., spirograterpene A **214**, has been isolated from the deep-sea-derived fungus *Penicillium granulatum* [199]. Spiroviolene **215**, bearing the same carbon skeleton to that of **214**, has been obtained from a bacterial terpene synthase [200]. Spirograterpene A **214** showed an antiallergic effect on immunoglobulin E (IgE)-mediated rat mast RBL-2H3 cell with 18% inhibition as compared witho 35% inhibition for the positive control (loratadine) at the same concentration of 20 µg/mL [199].



## 11.2. Psathyrin

Two skeletally novel tetracyclic diterpenoids that possess a novel 5/5/4/6 tetracyclic system, psathyrins A **216** and B **217**, have been characterized from cultures of the basidiomycete *Psathyrella candolleana*. They displayed weak antibacterial activities against *Staphylococcus aureus* and *Salmonella enterica*. The biosynthetic pathway of **216** and **217** was proposed to start from GGPP and the final products were obtained through a series of reactions (Scheme 12) [201].



Scheme 12. Proposed biosynthetic pathway for psathyrins A 216 and B 217 [201].

#### 11.3. Coicenal

Coicenals A–D **218–221**, possessing a previously undescribed 6/6 fused carbon skeleton, have been isolated from the solid culture of the plant pathogenic fungus *Bipolaris coicis* [202]. Coicenals A **218** and B **219** could be transformed into **221** and compound **222** by treatment with acetyl chloride, respectively. Coicenals A–D **218–221** showed moderate inhibitory activity against NO release with IC<sub>50</sub> values of 16.34, 23.55, 10.82, and 54.20  $\mu$ M, respectively.



## 11.4. Eryngiolide

Eryngiolide A **223** has been isolated from the solid culture of the edible mushroom *Pleurotus eryngii* [203]. It is the first member of diterpenoids with a novel skeleton deriving from a cyclododecane core fused with two  $\gamma$ -lactone units [203]. It has exhibited moderate cytotoxicity against two human cancer cell lines (Hela and HepG2) in vitro. Biogenetically, eryngiolide A **223** could be the first diterpene not synthesized from GGPP unit, which indicated a completely new route for diterpene biosynthesis in nature (Scheme 13).



Scheme 13. Plausible biogenetic origin of eryngiolide A 223 [203].

### 11.5. Trichodermanin

Trichodermanin A **224**, a structurally unique diterpenoid with skeletal carbons arranged compactly in a 6/5/6/6 ring system, has been isolated from cultures of *Trichoderma atroviride* [204]. Its absolute configuration was elucidated by single crystal X-ray diffraction. Wickerols A **225** and B **226** were two novel diterpenoids produced by *Trichoderma atroviride* and the absolute configuration of **226** was confirmed by X-ray crystal-lographic analysis [205,206]. Wickerol A **225** showed potent antiviral activity against the A/H1N1 flu virus (A/PR/8/34 and A/WSN/33 strains) with an IC<sub>50</sub> value of 0.07 µg/mL,



but not active against the A/H3N2 virus. Wickerol B **226** also showed anti-influenza virus activity against A/PR/8/34 virus with an IC<sub>50</sub> value of 5.0  $\mu$ g/mL [206].

The new skeleton of wickerols A **225** and B **226** was revealed by the feeding experiments of  $[1^{-13}C]$ -,  $[2^{-13}C]$ -, and  $[1,2^{-13}C_2]$ -acetates, respectively [206]. The cyclization mechanism of wickerol B **226** was predicted, as shown in Scheme 14. First, pyrophosphate was ejected from the terminus of the boat-like transition state of GGPP, forming a verticillen-12-yl cation intermediate, the same as the first step of phomactatriene and taxadiene biosynthesis [207]. 1,2-Rearrangements of  $\beta$ -methyl and  $\alpha$ -hydride occurred at the six-membered ring part, then, the ring inversion and cyclization progressed to form the 6/5/9 ring intermediate. A rearrangement proceeded to expand the ring from five to six membered, and the last step resulted in the formation of the 6/5/6/6 ring skeleton. The C-8 position of wickerol A **225** was oxidized by a cytochrome P450 to give wickerol B **226**.



**Scheme 14.** Incorporation patterns of  $[1^{-13}C]$ -,  $[2^{-13}C]$ -, and  $[1,2^{-13}C_2]$ -acetates enriched wickerol B **226**, and proposed mechanism of cyclization from GGPP to wickerols [206].

Trichodermanins C–H **227–232** are new diterpenes with a 6/5/6/6 tetracyclic system that have been isolated from the marine sponge-derived fungus *Trichoderma harzianum* [208,209]. Trichodermanin C **227** potently inhibited the growth of murine P388 leukemia, human HL-60 leukemia, and murine L1210 leukemia cell lines with IC<sub>50</sub> values of 7.9, 6.8, and 7.6  $\mu$ M, respectively [208].

## 12. Conclusions and Future Prospects

Diterpenoids show huge potential for drug discovery and development due to their extensive biological functions and structural diversity. Fungal diterpenoids are a diverse family of hybrid natural products with potent bioactivities and intriguing structural architectures. A large number of fungal diterpenoids have exhibited significant anti-inflammatory, cytotoxic, anti-MRSA, antimicrobial, antiviral, antihypertensive, and platelet aggregationinhibitory activities. Consequently, these bioactive diterpenoids are always hot trending topics for the synthesis community [173,174,186]. Nevertheless, the structural complexity and limited availability of natural products remain obstacles to synthesizing a large collection of natural products and their structural analogues in sufficient amounts. Thus, a synthetic biology method based on the combination of heterologous biosynthesis and genome mining is a promising approach to translate enormous amounts of biosynthetic gene information to richly diverse natural products. Interestingly, while fungi have evolved their systems to create terpenoid diversity, they have also biosynthesized some of the same classes of terpenoids found in plants, bacteria, and other organisms. These relationships provide accessible and renewable prokaryotic systems for eukaryotic natural product biosynthesis and enzymology. In conclusion, we hope it is evident from this review that most of the fungal diterpenoids are biologically active with a few key scaffolds paving a path towards potential drug discovery and development.

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Review



# Sesquiterpenoids Specially Produced by Fungi: Structures, Biological Activities, Chemical and Biosynthesis (2015–2020)

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**Abstract:** Fungi are widely distributed in the terrestrial environment, freshwater, and marine habitat. Only approximately 100,000 of these have been classified although there are about 5.1 million characteristic fungi all over the world. These eukaryotic microbes produce specialized metabolites and participate in a variety of ecological functions, such as quorum detection, chemical defense, allelopathy, and maintenance of symbiosis. Fungi therefore remain an important resource for the screening and discovery of biologically active natural products. Sesquiterpenoids are arguably the richest natural products from plants and micro-organisms. The rearrangement of the 15 high-ductility carbons gave rise to a large number of different skeletons. At the same time, abundant structural variations lead to a diversification of biological activity. This review examines the isolation, structural determination, bioactivities, and synthesis of sesquiterpenoids that were specially produced by fungi over the past five years (2015–2020).

Keywords: sesquiterpenoids; fungus; structures; structural diversity; biological activity; synthesis

## 1. Introduction

Fungi are undoubtedly important resources for natural products discovery. With the advancement of natural product research, the importance of its biological resources has been infinitely enlarged. In the giant natural product system of fungi, sesquiterpenes, due to their carbon skeletons and amounts, are the largest of all types. The C-15-hydrocarbon skeletal system of various sesquiterpenoids isolated from fungi, bacteria, and plants are synthesized from farnesyl pyrophosphate (FPP) under the catalysis of sesquiterpene synthases [1,2]. Sesquiterpene synthases catalyze different initial cyclization reactions to produce secondary or tertiary cyclic carbocation intermediates, which can then be further cyclized and reassembled until carbocation quenching at the active center, followed by the enzymatic release of the final sesquiterpenoid scaffold (Figure 1) [3]. A huge number of sesquiterpenoids were, consequently, produced [4-6]. Among various other resources, fungal species have an enormous contribution owing to their potential to carry out the bio-transformations and drug synthesis under environmentally acceptable conditions. For instance, hydroxymethylacylfulvene (HMAF) is a semisynthetic antitumor agent based on the naturally occurring illudin S occurring in the mushroom *Omphalotus olearius* [7]. It has been advanced into human clinical trials for the treatment of cancers [8,9]. Trichothecenes, a class of tricyclic sesquiterpenes produced by a wide variety of fungi, are toxic to animals and humans and frequently present in cereal crops. They have attracted much attention in the areas such as agriculture, food contamination, and health care [10–13].

Our research group has been engaged in the study of the chemical composition of fungi for decades [14,15], while a large number of sesquiterpenoids have been reported [6]. It has been found that the vast majority of skeletons, such as alliacane, bergamotane, hirsutane, tremulane, etc., are specially produced by fungi. Many compounds displayed significant biological activities, and it is obvious that cytotoxic activity accounts for the

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). largest proportion (Figure 2). In addition, with the development of synthetic biology, the biosynthesis of many fungal sesquiterpenoids has been figured out. This review gives an overview about the structures, biological activities, chemical synthesis and biosynthesis of sesquiterpenoids specially produced by fungi presented from 2015 to 2020.



Figure 1. Cyclization of FPP by characterized fungal sesquiterpene synthases (Reference [3]).



**Figure 2.** The proportion of one activity compared to the whole occurrence of activities of bioactive fungal sesquiterpenoids.

## 2. Composition and Bioactivities

2.1. Alliacane, Cadinene, Azulene, and Zierane

Nine alliacane sesquiterpenoids inonoalliacanes A–I **1a**/**1b**–**6a**/**6b**–**7–9** were isolated from the culture broth of the basidiomycete *Inonotus* sp. BCC 22670 [16]. Inonoalliacane A **1** exhibited moderate antibacterial activity against *Bacillus cereus* with a minimum inhibitory

concentration (MIC) value of 25  $\mu$ g/mL. Inonoalliacane B **2** showed antiviral activity against herpes simplex virus type 1 (HSV-1) with IC<sub>50</sub> of 17  $\mu$ g/mL.

Clitocybulols G–O **10–18**, highly oxidized alliacane sesquiterpenoids, were isolated from the solid culture of the edible fungus *Pleurotus cystidiosus* [17]. Clitocybulols G **10** and L **15** showed weak inhibitory activity against protein tyrosine phosphatase-1B (PTP1B) with IC<sub>50</sub> values of 49.5, 38.1  $\mu$ M, respectively.

In the <sup>1</sup>H NMR-guided fractionation of extracts from the edible mushroom *Lactarius deliciosus*, two new azulene-type sesquiterpenoids **19** and **20** were characterized [18]. Pestabacillin A **21** bearing a zierane-type sesquiterpene skeleton was isolated from the co-culture of the endophytic fungus *Pestalotiopsis* sp. with *Bacillus subtilis* [19]. Furthermore, the absolute configuration of **21** was confirmed by single-crystal X-ray diffraction analysis.



### 2.2. Bergamotane, Spiroaminal, and Spiroaxane

Bergamotane sesquiterpenes bearing a bridged 6/4 bicyclic ring incorporated with an isopentyl unit, are naturally occurring in plants and fungi [20,21]. A new class of polyoxygenated bergamotanes with notable features inspired by a 6/4/5/5 tetracyclic ring system was very rare in nature and all examples of the polycyclic bergamotanes only derived from fungi [22–25].



Purpurolide A **22**, an unprecedented sesquiterpene lactone with a rarely encountered 5/5/5 spirocyclic skeleton, along with five new 6/4/5/5 tetracyclic sesquiterpene lactones (purpurolides B–F **23–27**), was isolated from the cultures of the endophytic fungus *Penicillium purpurogenum* [26,27]. The structures and absolute configurations of **22–27** were established by spectroscopic analysis, a single-crystal X-ray diffraction, and calculations of the <sup>13</sup>C NMR and ECD data. The plausible biosynthetic pathway of **22–27** is shown in Scheme 1. Compounds **22–27** showed significant inhibitory activity against pancreatic lipase with IC<sub>50</sub> values of 1.22–7.88  $\mu$ M.



Scheme 1. Plausible biogenetic pathways for 22-27 (Reference [26]).



Expansolides C **28** and D **29** were two new bergamotane sesquiterpene lactones isolated from the plant pathogenic fungus *Penicillium expansum* [28]. The epimeric mixture of expansolides C **28** and D **29** (in a ratio of 2:1 at the temperature of the bioassay) exhibited more potent  $\alpha$ -glucosidase inhibitory activity (IC<sub>50</sub> 0.50 mM) as compared with the positive control acarbose (IC<sub>50</sub> 1.90 mM) in an in vitro bioassay.

Donacinolides A **30** and B **31** and donacinoic acids A **32** and B **33**, four new rare tetracyclic bergamotane-type sesquiterpenoids, were isolated from the mushroom-associated fungus *Montagnula donacina* [29]. Two new  $\beta$ -bergamotane sesquiterpenoids **34** and **35** were isolated from the marine-derived fungus *Aspergillus fumigatus* [30]. Brasilamides K–N **36–39** were isolated from the plant endophytic fungus *Paraconiothynium Brasiliense* [31].

Sporulaminals A **40** and B **41**, a pair of unusual epimeric spiroaminal derivatives bearing a 6/4/5/5 tetracyclic ring system derived from bergamotane sesquiterpenoid (Scheme 2), were isolated from a marine-derived fungus *Paraconiothyrium sporulosum* [32]. Pleurospiroketal F **42**, a new perhydrobenzannulated 5,5-spiroketal sesquiterpene was isolated from solid-state fermentation of *Pleurotus citrinopileatus*, and the absolute configuration of **42** was determined by single-crystal X-ray diffraction analysis [33].

Flammuspirones A–J **43–52**, ten spiroaxane sesquiterpenoids, were obtained from the edible mushroom *Flammulina velutipes* [34]. Flammuspirones A **43** and C **45** showed inhibition on HMG-CoA reductase with IC<sub>50</sub> of 114.7 and 77.6  $\mu$ M, respectively. Flammuspirones C–E **45–47** and H **50** showed inhibitory activity on DPP-4 with IC<sub>50</sub> values in the range from 70.9 to 83.7  $\mu$ M.

Talaminoid A **53** was obtained from the fungus *Talaromyces minioluteus* [35]. Talaminoid A **53** showed a significant suppressive effect on the production of nitric oxide (NO) on lipopolysaccharide (LPS) induced BV-2 cell, with IC<sub>50</sub> of 5.79  $\mu$ M. In addition, talaminoid A **53** exhibited significant anti-inflammatory activities against the production of TNF- $\alpha$  and IL-6. Further immunofluorescence experiments revealed the mechanism of action to be inhibitory the NF- $\kappa$ B-activated pathway. A new sesquiterpenoid **54** was isolated from the fungus *Pholiota nameko* [36]. Tramspiroins A–D **55–58** have been isolated from the cultures of Basidiomycete *Trametes versicolor* [37].



Scheme 2. Plausible biosynthetic pathways of sporulaminals A 40 and B 41 (Reference [32]).



2.3. Carotane, Cyclonerane, Cyclofarnesane, and Longifolene

A new dimeric sesquiterpene divirensol H **59** and two exceptionally novel trimeric sesquiterpenes trivirensols A **60** and B **61** were purified from an endophytic fungus *Trichoderma virens* [38]. Divirensol H **59** showed significant activities against fungi *Penicillium italicum*, *Fusarium oxysporum*, *Fusarium graminearum*, *Colletotrichum musae*, and *Colletotrictum gloeosporioides* with MIC values of 6.25 to 25 µg/mL. Rhinomilisin A **62** and four new heptelidic acid derivatives, rhinomilisin B–E **63–66**, were isolated from the endophytic fungus *Rhinocladiella similis* [39]. Rhinomilisins A **62** showed moderate cytotoxicity activity against the mouse lymphoma cell line L5178Y with an IC<sub>50</sub> value of 5.0 µM.





Peniterester **67**, a new tricyclic sesquiterpene was isolated from the secondary metabolites of an artificial mutant *Penicillium* sp. T2-M20 [40]. Peniterester **67** showed significant activities against *Bacillus subtilis, Escherichia coli,* and *Staphylococcus aureus* in vitro with MICs of 8.0, 8.0, and 4.0 µg/mL, respectively.

Piltunines A–F **68–73** and penigrisacids A–D **74–77**, ten new carotane sesquiterpenoids, were isolated from the marine-derived fungus *Penicillium griseofulvum* and *Penicillium piltunense*, respectively [41,42]. Penigrisacid D **75** showed a weak effect on ECA-109 tumor cells with an IC<sub>50</sub> value of 28.7  $\mu$ M [41]. Trichocarotins A–H **78–85**, eight new carotane sesquiterpenes, were isolated from the culture of the fungus *Trichoderma virens* [43]. Trichocarotins C–E **80–82** and H **85** displayed potent inhibition against the four marine phytoplankton species (*Chattonella marina, Heterosigma akashiwo, Karlodinium veneficum*, and *Prorocentrum donghaiense*) tested, especially against C. *marina* with IC<sub>50</sub> values ranging from 0.24 to 1.2  $\mu$ g/mL.

Trichocaranes E **86** and F **87** were isolated from cultures of the insect pathogenic fungus *Isaria fumosorosea* [44]. Trichocaranes E **86** and F **87** showed potent cytotoxic activities against six tumor cell lines MDA, MCF-7, SKOV-3, Hela, A549, and HepG2 with IC<sub>50</sub> values in a concentration range of 0.13–4.57  $\mu$ g/mL. Two new carotane-type biogenetically related sesquiterpenes, aspterrics A **88** and B **89**, were isolated from the deep-sea-derived fungus *Aspergillus terreus* [45].



Two new cycloneranes **90** and **91** were isolated from the marine alga endophytic fungus *Trichoderma citrinoviride* [46]. The compound **90** had an inhibition to the marine phytoplankton species *Karlodinium veneficum* with an IC<sub>50</sub> value of 8.1  $\mu$ g/mL. Six new cycloneranes **92–97** were isolated from the fungus *Trichoderma harzianum* [47–49]. The three new ones **95–97** all exhibited growth inhibition of the four phytoplankton species (*Chattonella marina, Heterosigma akashiwo, Karlodinium veneficum*, and *Prorocentrum donghaiense*) with IC<sub>50</sub> values ranging from 0.66 to 75  $\mu$ g/mL [49].

Cyclonerotriol B **98** was isolated from the soil fungus *Fusarium avenaceum* [50]. Cyclonerodiol B **99** was isolated from the mangrove plant endophytic fungus *Trichoderma* sp. Xy24 [51]. Cyclonerodiol B **99** exhibited significant neural anti-inflammatory activity by inhibiting LPS-induced NO production in BV2 cells with the inhibitory rates of 75.0% at



0.1  $\mu$ M, which are more potent than curcumin, positive control with the inhibitory rate of 21.1% at 0.1  $\mu$ M.

Ten new cycloneranes **100–109** were isolated from the algicolous endophytic fungus *Trichoderma asperellum* [52,53]. The seven new ones, **100–104**, **108**, and **109**, all exhibited growth inhibition of the four phytoplankton species (*Chattonella marina*, *Heterosigma akashiwo*, *Karlodinium veneficum*, and *Prorocentrum donghaiense*) with IC<sub>50</sub> values ranging from 2.4 to 76 µg/mL [52].

A new sesquiterpenoid **110** was isolated and identified from an endophytic fungus *Umbelopsis dimorpha* grown on host-plant *Kadsura angustifolia* and wheat bran [54]. Inono-farnesane **111**, a new cyclofarnesane sesquiterpenoid, was isolated from cultures of the wood-rotting basidiomycete *Inonotus* sp. BCC 23706 [55].

One new norbisabolane sesquiterpenoid degradation, isopolisin B **112**, was isolated from the fungus *Pestalotiopsis heterocornis* [56]. Koninginol D **113** as a new farnesane sesquiterpenoid was isolated from the endophytic fungus *Trichoderma koningiopsis* [57].

Bipolenin F **114**, a new *seco*-longifolene sesquiterpenoid, and two new *seco*-sativene sesquiterpenoids, bipolenins D **115** and E **116**, and two novel sesquiterpenoid-xanthone adducts, bipolenins I **117** and J **118**, were obtained from cultures of potato endophytic fungus *Bipolaris eleusines* [58,59]. Bipolenins I **117** and J **118** exhibited potent inhibitory activity against the plant pathogens *Alternaria solani* with MIC values of 8 and 16 μg/mL, respectively [59].



## 2.4. Cerapicane, Cucumane, Cuparene, Hirsutane, Isohirsutane, and Triquinane

Cuparane-type sesquiterpenoids of fungal origin possess a skeleton with a six-membered ring connected to a five-membered ring, of which the six-membered ring is always aromatic. Linear triquinane sesquiterpenoids have a basic skeleton 1H-cyclopenta[ $\alpha$ ]pentalene [60]. Many compounds displayed a wide range of biological activities, such as cytotoxic, antimicrobial, and anti-inflammatory activities. A review gives an overview about the isolation, structure, biological activities, and chemical synthesis of linear triquinane sesquiterpenoids [61].



Enokipodins A–D **119–122**, highly oxygenated cuparene-type sesquiterpenes were obtained from the fungi *Flammulina rossica* and *Flammulina velutipes*. In addition, enokipodins B **121** and D **122** are oxidized compounds of enokipodins A **119** and C **120**, respectively [62].

One new cerapicane cerrenin A **123**, and two new isohirsutane sesquiterpenoids cerrenins B **124** and C **125**, were isolated from the broth extract of *Cerrena* sp. which was isolated from *Pogostemon cablin* [63]. Trefoliol C **126**, one new cucumane sesquiterpenoid, was isolated from cultures of the basidiomycetes *Tremella foliacea* [64]. A new sesquiterpenoid **127** was isolated from the crude extract of *Antrodiella albocinnamomea* [65]. Two new hirsutane-type sesquiterpenoids, chondrosterins N **128** and O **129**, were isolated from the marine fungus *Chondrostereum* sp. [66].

Ten new hirsutane-type sesquiterpenoids, sterhirsutins C–L 130–139, were isolated from the culture of *Stereum hirsutum* [67]. Sterhirsutins C 130 and D 131 possessed an unprecedented chemical skeleton with a 5/5/5/6/9/4 fused ring system, and the absolute configuration of sterhirsutin C 130 was assigned by single-crystal X-ray diffraction experiment. Sterhirsutin L 139 was the first sesquiterpene coupled with a xanthine moiety. Sterhirsutins C–L 130–139 showed cytotoxicity against K562 and HCT116 cell lines, and sterhirsutin K 138 induced autophagy in HeLa cells. Sterhirsutin G 133 inhibited the activation of the IFN $\beta$  promoter in Sendai virus-infected cells.

Cerrenins D **140** and E **141**, two new triquinane-type sesquiterpenoids, were obtained from the endophytic fungus *Cerrena* sp. A593 [68]. Chondrosterins K–M **142–144** were isolated from the marine fungus *Chondrostereum* sp. [69]. Chondrosterins K–M **142–144** showed different degrees of cytotoxicities against various cancer cell lines (CNE1, CNE2, HONE1, SUNE1, A549, GLC82, and HL7702) in vitro, with IC<sub>50</sub> values ranging from 12.03 to 58.83  $\mu$ M.



Antrodins A-E 145-149 were isolated from the fermentation of Antrodiella albocinnamomea [70]. Tremutin H 150 was isolated from cultures of the basidiomycetes Irpex *lacteus* [71]. The absolute configuration of **150** was determined by single-crystal X-ray diffraction analysis, and 150 shows a weak inhibitory effect on NO production with an  $IC_{50}$ value of 22.7 µM.

## 2.5. Eudesmanolide, Gymnomitrane, and Humulane

Humulane-type sesquiterpenoids are found rarely in nature. They have been recognized as being biogenetic precursors of many types of sesquiterpenoids [6]. The macrocyclic nature of members of the humulane group has proved to be troublesome for the determination of their absolute configurations.

Four new 12,8-eudesmanolides 151–154 were isolated from a mangrove rhizospherederived fungus Eutypella sp. 1–15 [72]. Periconianone A 155, a polyoxygenated sesquiterpenoid with a new 6/6/6 tricarbocyclic skeleton, was isolated from the endophytic fungus Periconia sp., and the biosynthesis of the unusual six-membered carbonic ring of 155 was postulated to be formed through intramolecular aldol condensation (Scheme 3) [73]. The first enantioselective total synthesis of the periconianone A 155 based on a postulated biogenesis has been reported (Scheme 4) [74].



Eremophilane

Periconianone A 155

Scheme 3. Hypothetical biosynthetic pathway of periconianone A 155 (Reference [73]).



Scheme 4. Total synthesis of periconianone A 155 (Reference [74]).

An unusual type sesquiterpene 156 possessed an unusual 14(7-6)-cuparane scaffold (Scheme 5), and six rarely-encountered gymnomitrane-type sesquiterpenoids 157–162, were isolated from the medicinal mushroom Ganoderma lingzhi [75]. A new gymnomitranetype sesquiterpenoid 163 was isolated from the fruiting body of *Ganoderma lucidum* [76]. This compound 163 significantly inhibited the growth of epidermal growth factor receptortyrosine kinase inhibitor EGFR-TKI-resistant human lung cancer A549 and human prostate cancer PC3 cell lines. Antrodin F 164 was isolated from the fermentation of Antrodiella albocinnamomea [70].

Nine new humulane-derived sesquiterpenoids, ochracenes A-I 165–173, were isolated from the Antarctic fungus Aspergillus ochraceopetaliformis [77]. A biogenetic pathway for them was given in Scheme 6. The two unprecedented 8,9-secocyclic sesquiterpenoids, ochracenes B 166 and C 167, exhibited inhibitory effects on LPS-induced NO release in RAW 264.7 mouse macrophage cell with IC<sub>50</sub> values of 14.6 and 18.3  $\mu$ M, respectively.



Scheme 5. Proposed biosynthetic pathway of 156 and 162 (Reference [75]).



Scheme 6. Postulated biogenetic pathway for ochracenes A-I 165-173 (Reference [77]).



# 2.6. Illudane, Illudalane, Protoilludane, Marasmane, and Norilludane

A review offers a comprehensive description of the investigations that started with the discovery of illudins in 1950, led to HMAF clinical trials against various tumors as a single agent and in combination therapy beginning in 2002, and culminated in the past decade of advances in chemical synthesis and mechanisms of toxicity of AFs, including biotransformation processes, DNA alkylation products, unique influences of DNA repair capacities, and enzyme inhibition properties [9]. The 4/6/5 ring-fused protoilludane-type

sesquiterpenoids are the precursors of many other sesquiterpenoids, representing the largest group of sesquiterpene metabolites of fungal origin.

Phellinignin D **174** was isolated from the fungus *Phellinus igniarius*, which possessed a new carbon skeleton that might derive from an illudane framework by methyl shift and aromatization [78]. Phellinignin D **174** showed moderate cytotoxicities to three human cancer cell lines (HL-60, SMMC-7721, and SW480) with the IC<sub>50</sub> values of 21.1, 12.3, and 13.9  $\mu$ M, respectively.

Illudadienes A **175** and B **176** were obtained from the wood-decomposing fungus *Granulobasidium vellereum* [79]. Phellinuin J **177** and sulphureuine A **178** were isolated from cultures of *Phellinus tuberculosus* and *Laetiporus sulphureus* [80]. Agrocybins H–K **179–184** were obtained from the edible mushroom *Agrocybe salicacola* [81]. Craterellins D **185** and E **186** were isolated from cultures of *Craterellus cornucopioides* [82]. Illudalane derivative, granulolactone **187**, and a 15-norilludane, granulodione **188**, were isolated from an agar plate culture of *Granulobasidium vellereum* [83].



Two new disesquiterpenoid derivatives, bovistol B **189** and C **190**, and a new protoilludane derivative, pasteurestin C **191**, were isolated from the fermentation broth of the edible mushroom *Cyclocybe aegerita* [84]. Four illudalanes **192–195**, an unusual 2,3-*seco*protoilludane **196**, and eight protoilludanes **197–204** were identified from the liquid culture of the endophytic fungus *Phomopsis* sp. TJ507A [85]. Phomophyllins A–G **196–202**, and phomophyllin I **204** displayed  $\beta$ -site amyloid precursor protein cleaving enzyme 1 (BACE1) inhibitory activities ranging from 19.4% to 43.8% at the concentration of 40  $\mu$ M.

Epicoterpenes A–E **205–209**, and armilliphatic A **210** were isolated from *Armillaria* sp. by co-culture with the endophytic fungus *Epicoccum* sp. associated with *Gastrodia elata* [86]. Epicoterpene D **208** was the first example of an *ent*-protoilludane sesquiterpenoid scaffold bearing a five-membered lactone. Two new protoilludane sesquiterpene aryl esters **211** and **212** were isolated from the mycelium of *Armillaria mellea* [87]. Compound **212** showed cytotoxic activity for HepG2 cells with an IC<sub>50</sub> value of 18.03  $\mu$ g/mL. Three new sesquiterpene aryl esters, melleolide N **213**, Q **214**, and R **215**, were isolated from the EtOH



extract of the mycelium of *Armillaria mellea* [88]. And **213–215** showed cytotoxicity to several human cancer cell lines.

Unified total syntheses of marasmane, mellolide, and protoilludane sesquiterpenoids have been achieved through a key organocatalytic enantioselective annulation (Scheme 7) [89]. The elaboration of key bicyclic lactone **216** was the molecular springboard from which the first enantioselective total syntheses of protoilludanes echinocidin B **220** and echinocidin D **221**, and the mellolide armillaridin **219**, as well as the synthesis of the marasmane isovelleral **222**, were accomplished. The vanadium(II)/zinc(II) reductive coupling yielded the final ring of the densely functionalized *cis*-fused carbocyclic core. Finally, the unexpected semi-Pinacol-type ring contraction to establish cyclopropyl aldehyde **218** from cyclobutanediol **217** was potentially biomimetic in origin.



Scheme 7. Synthesis of armillaridin 219, echinocidins B 220 and D 221, and isovelleral 222 (Reference [89]).



## 2.7. Botryane and Seco-Probotryane

A botryane-type sesquiterpenoid **223** was identified from the liquid culture of the endophytic fungus *Phomopsis* sp. TJ507A [85]. Arthrinins E–G **224–226**, three new sesquiterpenoids possessing non-isoprenoid botryane skeleton, were isolated from the endophytic

fungus *Arthrinium* sp. HS66 [90]. Five new botryanes **227–231** were obtained from an endophytic fungus *Nemania bipapillata* [91]. Five new botryanes **232–236** were isolated from *Trichoderma oligosporum* [92]. Compounds **236** showed moderate cytotoxicity activity against K562 cells with an inhibitory rate of 45–60% at 6.25  $\mu$ M (Taxol was used as a positive control with 60.3% inhibition at 2.0  $\mu$ M).

A new 10-norbotryane derivative **237** and three new botryanes **238–240** were isolated from the ascomycete *Hypoxylon rickii* [93,94]. Five new botryanes **241–245**, along with 4,5-seco-Probotryenols A–C **246–248** derived from cleavage of the probotryane skeleton at C-4/C-5, were isolated from *Stachybotrys bisbyi* [95]. Six new heterodimeric botryane ethers, hypocriols A–F **249–254**, were isolated from the insect-associated *Hypocrea* sp. EC1-35 [96]. A plausible biosynthetic pathway for **249–254** was given (Scheme 8). Hypocriols A–D **249–252** and F **254** showed significant activity against the HeLa cell, with IC<sub>50</sub> values of 7.7, 3.1, 11.8, 3.8, and 4.6  $\mu$ M, respectively. Hypocriol F **254** inhibited the proliferation of the HCT116 cell, showing an IC<sub>50</sub> value of 2.7  $\mu$ M.



Scheme 8. Plausible biosynthetic pathways for hypocriols A-F 249-254 (Reference [96]).



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## 2.8. Tremulane, Sterpurane, Phlebiane, Merulane, and Irlactane

Tremulane-type sesquiterpenoids are a class of sesquiterpenoids with a 5/7-ringfused perhydroazulene carbon skeleton. The first example was isolated from the wood-decaying fungus *Phellinus tremulae* in 1993 [97]. The biosynthesis pathway was elucidated through a <sup>13</sup>C-labeled feeding experiment revealed that tremulanes are derived from *trans,trans*-farnesyl pyrophosphate via humulene and a key step of methyl migration [98].

A new irlactane-type, irlactin K **255**, was isolated from the fermentation broth of the medicinal fungus *Irpex lacteus* [99]. The absolute configuration of **255** was established by single-crystal X-ray diffraction analysis. Irlactin K **255** could be derived from the tremulane type sesquiterpene irlactin E via a ring rearrangement [100]. Conosiligins A–D **256–259**, four ring-rearranged sesquiterpenoids, were isolated from cultures of the basidiomycete *Conocybe siliginea* [101]. Conosiligins A **256** and B **257** possessed a 5/8-fused ring system, while conosiligin C **258** has a 5/6-fused backbone conjugated with a  $\gamma$ -lactone. Conosiligin D **259** was a 5,6-seco tremulane derivative with the loss of a skeletal carbon, featuring a tetracyclic system involving a pyranone moiety (Scheme 9). Conosiligins C **258** and D **259** inhibited Con A-induced T cell proliferation with IC<sub>50</sub> values of 12.3 and 6.6  $\mu$ M, respectively.



Scheme 9. Proposed biosynthetic pathway for conosiligins A-D 256-259 (Reference [101]).

Antroalbocin A **260** possessing a bridged tricyclic system was isolated from cultures of the higher fungus *Antrodiella albocinnamomea* [102]. The structure with the absolute configuration was determined by extensive spectroscopic methods and single-crystal X-ray diffraction analysis and a plausible biosynthetic pathway for **260** was proposed (Scheme 10).

Twenty-two tremulanes, irlactins F–J **261–265**, L–M **266–267**, irlactam A **268**, and irpexolactins A–N **269–282**, were isolated from cultures of the medicinal fungus *Irpex lacteus* [99,103–105]. Irlactin I **264** exhibited moderate cytotoxicities on HL-60, SMMC-7721, A-549, MCF-7, and SW480 cells with IC<sub>50</sub> values of 16.23, 20.40, 25.55, 19.05, and 18.58 μM, respectively [104].



Scheme 10. Proposed biosynthetic pathway for antroalbocin A 260 (Reference [102]).





Phellinignins A–C **283–285** were new tremulane sesquiterpenoids that have been isolated from *Phellinus igniarius* [78]. Phellinignins A **283** and B **284** showed certain cytotoxicities to three human cancer cell lines (HL-60, SMMC-7721, and SW480) with the IC<sub>50</sub> values of 0.7–17.4  $\mu$ M, respectively. Tremutins A–G **286–292** were isolated from cultures of the basidiomycetes *Irpex lacteus* [71]. Tremutins A **286** and B **287** possessed an unusual 6/7-fused ring system that might be derived from a tremulane framework (Scheme 11), **289** and **290** were the first tremulane examples with a 1,2-epoxy moiety to be reported. Tremutin A **286** inhibited the lipopolysaccharide (LPS)-induced proliferation of B lymphocyte cells with an IC<sub>50</sub> value of 22.4  $\mu$ M. Tremutin B **287** inhibited concanavalin A (Con A)-induced T cell proliferation and LPS-induced B lymphocyte cell proliferation with IC<sub>50</sub> values of 16.7 and 13.6  $\mu$ M, respectively.



Scheme 11. Proposed biosynthetic pathway for tremutins A 286 and B 287 (Reference [71]).

Nigrosirpexin A **293** was produced by *Nigrospora oryzae* co-cultured with *Irpex lacteus* [106]. Two new tremulanes **294** and **295** were obtained from different cocultures of *Nigrospora oryzae* and *Irpex lacteus* in a solid medium [107]. 5-Demethyl conocenol C **294** showed antifungal activities against *Didymella glomerate* and *Colletotrichum gloeosporioides* with MICs of 1 and 8 µg/mL, respectively.

Davotremulanes A–D **296–299** were isolated from a plant-associated fungus X1-2 [108]. Davotremulanes A **296** and B **297** displayed selectively moderate activities to the A549 cell line with IC<sub>50</sub> at 15.3, 25.2  $\mu$ g/mL. A new tremulane sesquiterpenoid analogue **300** was isolated from the cultures of endophytic fungus *Colletotrichum capsica* [109]. Leptosphin B **301** was isolated from the endophytic fungus *Leptosphaeria* sp. XL026 [110]. Leptosphin B **301** showed moderate antibacterial activity against *Bacillus cereus* with a MIC value of 12.5  $\mu$ g/mL.

Six 5,6-*seco*-tremulane analogues **302–307** were isolated from the culture broth of the medicinal fungus *Irpex lacteus* [111]. Two sesquiterpenes with new carbon skeletons, *seco*-sterpurane **308** and phlebiane **309**, and a novel merulane sesquiterpene **310** were isolated from cultures of the basidiomycete *Phlebia tremellosa* [112]. The plausible biogenetic pathways of **309** and **310** is shown in Scheme 12.



Scheme 12. Plausible biogenetic pathways of 308-310 (Reference [112]).

### 2.9. Trichothecene, Merosesquiterpenoid, Norsesquiterpenoid, and Pyrone

Trichothecenes are a family of sesquiterpenoid mycotoxins produced by multiple genera of fungi, including plant and insect pathogens, and they are toxic to animals and humans and frequently detected in cereal crops [113]. Because of their diversity in structure and biological activity, trichothecenes are of concern in agriculture, food contamination, health care, and building protection.

Trichoderminol **311** was isolated from the filamentous fungus *Trichoderma albolutescens* [114]. Trichobreols A–E **312–316** were isolated from the marine-derived fungus *Trichoderma* cf. *brevicompactum* [115,116]. Trichobreols A–E **312–316** inhibited the growth of two yeast-like fungi, *Candida albicans*, and *Cryptococcus neoformans*, with a range of MIC values of 1.6 to 50 µg/mL [115,116]. Three new macrocyclic trichothecenes, miophytocen D **317**, roridin F **318**, and satratoxin I **319**, were isolated from a deadly poisonous mushroom *Podostroma cornu-damae* [117]. Satratoxin I **319** showed cytotoxic potency to etoposide against four human breast cancer cell lines (Bt549, HCC70, and MDA-MB-231), with IC<sub>50</sub> values of 1.8, 7.7, and 3.6 µM, respectively.

Epiroridin acid **320**, verrucarins Y **321** and Z **322**, and dihydromyrothecine C **323**, four new macrocyclic trichothecenes, were isolated from the endophytic fungus *Myrothecium roridum* [118–121]. The cytotoxic mechanisms result showed that the epiroridin acid **320** induced the apoptosis of cancer cell HepG-2 via activation of caspase-9 and caspase-3, upregulation of *bax* gene expression, down-regulation of *bcl*-2 gene expression, and disruption of the mitochondrial membrane potential of the HepG-2 cell [118].

Chartarenes A–D **324–327** were isolated from the sponge-derived fungus *Stachybotrys chartarum* [122]. Chartarenes A–D **324–327** exerted potent or selective inhibition against a panel of tumor cell lines including HCT-116, HepG2, BGC-823, NCI-H1650, and A2780, with IC<sub>50</sub> values ranging from 0.68 to 10  $\mu$ M. In addition, chartarenes B **326**, C **327**, and D **324** showed potent inhibition against tumor-related kinases FGFR3, IGF1R, PDGFRb, and TRKB, with IC<sub>50</sub> values ranging from 0.1 to 12.9  $\mu$ M.



12-Deoxytrichodermin **328**, 12-deoxyroridin J **329**, and 12-deoxyepiisororidin E **330** were isolated from the fungus *Calcarisporium arbuscular*, and *Trichoderma* sp., respectively [123,124]. The structure-activity relationship investigation of **328–330** with other known natural trichothecenes against a human colon cancer cell line (COLO201) and


filamentous fungus *Cochliobolus miyabeanus* revealed that the 12-epoxide functionality is essential for the antifungal activity [124].

Four novel trichothecene sesquiterpenoids possessing new ring systems, trichothecrotocins A **331** and B **332**, trichothecrotocins K **335** and L **336**, and a merosesquiterpenoid racemate possesses a novel 6/6-5/5/5 fused ring system, ( $\pm$ )-trichothecrotocin C (**333** and **334**), and seven new merosesquiterpenoids, trichothecrotocins D–J **337–343**, were obtained from potato endophytic fungus *Trichothecium crotocinigenum* by bioguided isolation (Schemes 13 and 14) [125,126]. Compounds **337–340** were rare meroterpenoids featuring a *seco*-phenyl group, while **337** and **338** possessed a novel 6-6/5 fused ring system. Compounds **331–335**, and **337–340** showed antiphytopathogenic activities with MIC values of  $8-128 \mu \text{g/mL}$  [125,126].



Scheme 13. Proposed biosynthetic pathway for 331 and 332 (Reference [126]).





Scheme 14. Proposed biosynthetic pathway for 333 and 334 (Reference [125]).

The semisynthesis of several trichodermin and trichodermol derivatives has been developed (Scheme 15) [127]. Some derivatives with a short chain at the C-4 position displayed selective antimicrobial activity against *Candida albicans* and they showed MIC values similar to those displayed by trichodermin. It was important to highlight the cytotoxic selectivity observed for compounds **350**, **354**, and **356**, which presented average IC<sub>50</sub> values of 2  $\mu$ g/mL and were cytotoxic against tumorigenic cell line MCF-7 (breast carcinoma) and not against Fa2N4 (non-tumoral immortalized human hepatocytes).



Scheme 15. Chemical transformations were carried out on trichodermin and trichodermol for the preparation of compounds 344–357 (Reference [127]).

Three novel highly oxygenated  $\alpha$ -pyrone merosesquiterpenoids, emerones A–C **358**–**360**, have been obtained from the fungus *Emericella* sp. XL029 [128]. Structurally, emerone A **358** possessed an unprecedented 5/7 bicyclic ring architecture, emerone B **359** had an unusual substituted 10-membered ring, and emerone C **360** had an undescribed norsesquiterpene skeleton. Ochraceopone F **361**, a new  $\alpha$ -pyrone merosesquiterpenoid possessing an angular tetracyclic carbon skeleton, was isolated from the marine fungus *Aspergillus flocculosus* [129].

Five new highly oxygenated  $\alpha$ -pyrone merosesquiterpenoids, ochraceopones A–E **362–366**, were isolated from an Antarctic soil-derived fungus *Aspergillus ochraceopetaliformis* [130]. Ochraceopones A–D **363–366** were the first examples of  $\alpha$ -pyrone merosesquiterpenoids possessing a linear tetracyclic carbon skeleton. Ochraceopone A **363** exhibited antiviral activities against the H3N2 influenza virus with IC<sub>50</sub> values of 12.2  $\mu$ M. Yaminterritrem C **367** was isolated from a deep-sea-derived fungus *Penicillium chryso*- genum [131]. Verruculides A **368** and B **369** were isolated from a culture broth of the Indonesian ascidian-derived *Penicillium verruculosum* [132]. Verruculide A **368** inhibited the activity of PTP1B with an IC<sub>50</sub> value of 8.4  $\mu$ M.



Two new sesquiterpenes **370** and **371** and seven new dimeric norsesquiterpene congeners, divirensols A–G **372–378**, along with seven new firstin-class trimeric sesquiterpenes, trivirensols A–G **379–385**, were obtained from the Australian termite nest-derived fungus *Trichoderma virens* [133,134]. A pair of rare naturally enantiomeric norsesquiterpenoids, ( $\pm$ )-preuisolactone A (**386** and **387**) featuring an unprecedented tricyclo[4.4.0<sup>1,6</sup>.0<sup>2,8</sup>]decane carbon scaffold were isolated from *Preussia isomera* (plausible biosynthetic pathway shown in Scheme 16) [135]. ( $\pm$ )-Preuisolactone A (**386** and **387**) exhibited remarkable antibacterial activity against *Micrococcus luteus* with a MIC value of 10.2  $\mu$ M.



Scheme 16. Proposed biosynthetic pathway for (+)-preuisolactone A 386 (Reference [135]).



Hitoyol A **388**, an unprecedented norsesquiterpenoid with an exo-tricyclo[ $5.2.1.0^{2,6}$ ]decane skeleton, along with a novel skeletal hitoyol B **389** containing 4-cyclopentene-1,3dione, was isolated from the fungus *Coprinopsis cinerea* [136]. Hitoyol A **388** was possibly biosynthesized through decarboxylation-induced cyclization of lagopodin B, a known cuparene-type sesquiterpenoid (Scheme 17). Hitoyol B **389** showed weak antimalarial activity against *Plasmodium falciparum* with an IC<sub>50</sub> of 59  $\mu$ M.



Scheme 17. Plausible biosynthetic pathway for hitoyol A 388 and hitoyol B 389 (Reference [136]).

An  $\alpha$ -pyrone 9-hydroxyxylarone **390** was isolated from a culture broth of endophytic fungus *Xylaria* sp. NC1214 [137]. Four new polyenic  $\alpha$ -pyrone mycotoxins, avertoxins A–D **391–394**, were obtained from an endophytic fungus *Aspergillus versicolor* [138]. Avertoxins B **392** and C **393** showed activity against human tumor HCT116 and HeLa cell lines with an IC<sub>50</sub> value of 10  $\mu$ M. And avertoxin B **392** was an active inhibitor against human acetylcholinesterase with the IC<sub>50</sub> value of 14.9  $\mu$ M.



#### 2.10. Other Types

Three new sesquiterpenoids, chermesiterpenoids A–C **395–397**, were isolated and identified from the marine red algal-derived fungus *Penicillium chermesinum* [139]. Chermesiterpenoid B **396** showed antimicrobial activities against the aquatic pathogens *Vibrio anguillarum, Vibrio parahaemolyticus, Micrococcus luteus*, and human pathogen *Escherichia coli* with minimum inhibitory concentration (MIC) values of 0.5, 16, 64, and 64  $\mu$ g/mL, respectively. Similarly, chermesiterpenoid C **397** showed activities against the aquatic pathogens *V. anguillarum, V. parahaemolyticus*, and *M. luteus* with MIC values of 1, 32, and 64  $\mu$ g/mL, respectively. Chermesiterpenoids A–C **395–397** exhibited activity against the plant pathogenic fungus *Colletottichum gloeosporioides* with MIC values of 64, 32, and 16  $\mu$ g/mL, respectively.



Fomitopins A–L **398–409** were isolated via bioassay-guided purification from the bracket fungus *Fomitopsis pinicola* [140]. Fomitopin K **408** exhibited the most potent antiinflammatory activity with IC<sub>50</sub> of 0.81  $\mu$ M for inhibition of superoxide anion generation and IC<sub>50</sub> of 0.74  $\mu$ M for inhibition of elastase release. Fomitopins J **407** and L **409** also exhibited moderate inhibition of superoxide anion generation with IC<sub>50</sub> of 1.66 and 1.72  $\mu$ M, respectively.

1-Methoxypestabacillin B **410** was obtained from the solid cultures of a mangrove endophytic fungus *Diaporthe* sp. SCSIO 41011 [141]. Pestabacillin B **411** was isolated from the co-culture of the endophytic fungus *Pestalotiopsis* sp. with *Bacillus subtilis* [19]. Two new abscisic acid-type sesquiterpenes **412** and **413** were isolated from the fermentation extract of *Amycolatopsis alba* [142]. Pseudapenes A–C **414–416** possessing unique carbon skeletons were isolated from the marine-derived fungus *Pseudallescheria apiosperma* [143].

Emericellins A **417** and B **418**, representing a new type of sesquiterpenoid with an unprecedented tricyclo[1,2,4,4]hendecane scaffold (Scheme 18), were isolated from the liquid cultures of an endophytic fungus *Emericella* sp. associated with the leaves of *Panax notoginseng* [144]. Emericellins A **417** and B **418** displayed moderate activities against three fungal strains (*Verticillium dahliae* Kleb, *Helminthosporium maydis*, and *Botryosphaeria dothidea*) and three bacterial strains (*Bacillus subtilis*, *Bacillus cereus*, and *Escherichia coli*) with MIC values of 25–50 µg/mL.



Scheme 18. The proposed formation of 417 and 418 from the drimane-type sesquiterpenoid skeleton (Reference [144]).

Stereumenes A–C **419–421** were isolated and identified from the fungus *Stereum* sp. [145]. Stereumene B **420** showed weak nematicidal activity against *Caenorhabditis elegans*, which killed 41.1% of *C. elegans* at 200 mg/L in 24 h. Sesteralterin **422** was obtained

from the culture extract of an *Alternaria alternata* strain isolated from the surface of the marine red alga *Lomentaria hakodatensis* [146]. Colletotrichine A **423** was obtained from the endophyte fungus *Colletotrichum gloeosporioides* [147].

Four novel mixed terpenes, stereumamides A–D **424–427**, which were sesquiterpenes combined with  $\alpha$ -amino acids to form quaternary ammonium hybrids, were isolated from the mycelium of mushroom *Stereum hirsutum* [148]. Stereumamides A **424** and D **427** showed antibacterial activity against *Escherichia coli*, *Staphylococcus aureus*, and *Salmonella typhimurium*, with MIC values of 12.5–25.0 µg/mL.



Phellilane L **428**, a new cyclopropane-containing sesquiterpenoid, was isolated from the medicinal mushroom *Phellinus linteus* [149]. The first asymmetric, protecting group-free total synthesis of the sesquiterpenoid phellilane L **428**, featuring a highly stereoselective one-pot synthesis involving intermolecular alkylation/cyclization/lactonization on epoxyloide **428a** to construct the key cyclopropane- $\gamma$ -lactone intermediate **428b** has been reported (Scheme 19) [149].



Scheme 19. Total synthesis of phellilane L 428 (Reference [149]).

Hypocoprins A–C **429–431** have a distinctive ring system consisting of fused cyclopropane and cyclodecene units were isolated from the Coprophilous fungus *Hypocopra rostrate* [150]. Pestaloporonins A–C **432–434**, three new sesquiterpenoids related to the caryophyllene-derived punctaporonins, were isolated from cultures of a fungicolous isolate of *Pestalotiopsis* sp. MYC-709 [151]. Among them, pestaloporonins A **432** and B **433** contained new bicyclic and tricyclic ring systems, respectively, and the absolute configuration of **432** was confirmed by single-crystal X-ray crystallographic analysis.

Phomanoxide **435** was isolated from the solid substrate fermentation cultures of the fungus *Phoma* sp. [152]. Colletotrichine B **436** was produced by the fungal *Colletotrichum gloeosporioides* [153]. Three new chlorinated sesquiterpenes, lepistatins A–C **437–439**, were isolated from the culture broth of Basidiomycete *Lepista sordida* [154]. The structures of lepistatins A–C **437–439** feature the indanone core structure but differ from other indanone-containing sesquiterpenes of fungal origin by the alkyl substitution pattern. This indicates that lepistatins A–C **437–439** probably possessed a new sesquiterpene scaffold derived from the common precursor, trans-humulyl cation, by an alternative cyclization (Scheme 20).



Scheme 20. Plausible biosynthetic pathway for lepistatin A 437 and pterosin A (Reference [154]).

A novel sesquiterpene methylcyclopentenedione, penicilliumin B **440**, was obtained from a deep sea-derived fungus *Penicillium* sp. F00120 [155]. Penicilliumin B **440**, presenting the first example with the sesquiterpene cyclopentenedione skeleton as natural products (Scheme 21), was structurally determined by analysis of the NMR and MS spectroscopic data, while the absolute configurations were assigned by single-crystal X-ray experiments. Penicilliumin B **440** with low toxicity showed significant potential to inhibit the kidney fibrogenic action in vitro by a mechanism dependent on disruption of oxidative stress. Seiricardine D **441** was a new bicyclic sesquiterpene obtained from the endophytic fungus *Cytospora* sp. [156]. Twenty new sesquiterpenes (**442–461**) were isolated from the endophytic fungus *Pseudolagarobasidium acaciico* [157]. Among them, compounds **459** and **460** displayed cytotoxicity against several cancer and normal cell lines.



Scheme 21. Plausible biosynthetic pathway of penicilliumin B 440 (Reference [155]).



# 3. Biosynthesis

## 3.1. Asperterpenoid A

A putative three-gene cluster for asperterpenoid A was identified [158]. Stepwise reconstitution of this gene cluster in *Aspergillus oryzae* reveals that *astC* encodes a sesterterpene cyclase to synthesize preasperterpenoid A **462**, which was dually oxidized by a P450 enzyme AstB to give asperterpenoid A **463** along with a minor product asperterpenoid B **464**, and asperterpenoid A **463** was further oxidized by another P450 enzyme AstA to afford a new sesterterpenoid asperterpenoid C **465** (Scheme 22). Asperterpenoids A **463** and B **464** exhibit potent inhibitory activity against *Mycobacterium tuberculosis* protein tyrosine phosphatase B with IC<sub>50</sub> values of 3–6  $\mu$ M.



Scheme 22. Complete biosynthetic pathway of asperterpenoids A-C 463-465 (Reference [158]).

#### 3.2. Cuparene

Use of the *ku*70-deficient strain of *Coprinopsis cinerea* enabled confirmation within the native context of the central role the sesquiterpene synthase Cop6 plays in lagopodin biosynthesis [159]. Furthermore, yeast in vivo bioconversion and in vitro assays of two cytochrome P450 monooxygenases Cox1 and Cox2 allowed elucidation of the network of oxidation steps that build structural complexity onto the  $\alpha$ -cuprenene framework during the biosynthesis of lagopodins (Scheme 23). Three new compounds **466–468** were identified as intermediates formed by the redox enzymes.

#### 3.3. Fusariumdiene and Fusagramineol

The novel sesquiterpenes fusariumdiene **469**, *epi*-fusagramineol **470**, and fusagramineol **471** with 5/7 bicyclic and 5/6/3 tricyclic ring systems, respectively, as well as five known sesquiterpenes **472–476** have been produced by exploiting the potential power of sesquiterpene synthase FgJ03939 from *Fusarium graminearum* in a farnesyl diphosphate-overexpressing *Saccharomyces cerevisiae* chassis (Scheme 24) [160].



Scheme 23. The proposed biosynthetic pathway of lagopodins and hytoyols (Reference [159]).



Scheme 24. (A) Proposed mechanisms for the enzymatic cyclization of FPP to compounds 469–476; (B) Summary of feeding experiments with  $[1-^{13}C,^{2}H_{3}]$  sodium acetate (Reference [160]).

## 3.4. Hirsutenoid

The identification and successful cloning of the previously elusive hirsutene synthase from the wood-rotting mushroom *Stereum hirsutum* provide the biosynthetic pathways of hirsutane-type sesquiterpenoids (Scheme 25) [161]. The hirsutene synthase, as an unexpected fusion protein of a sesquiterpene synthase (STS) with a C-terminal 3-hydroxy-3-methylglutaryl-coenzyme A (3-hydroxy-3-methylglutaryl-CoA) synthase (HMGS) domain, was part of a biosynthetic gene cluster that includes P450s and oxidases that were expressed and could be cloned from cDNA.





# 3.5. Koraidiol

Two known oxygenated sesquiterpenoid products, culmorin 477 and culmorone 478, and a new compound, koraidiol 479, were successfully generated and characterized by a combinatorial biosynthesis approach which was utilized by the combination of a promiscuous myxobacterial P450 (CYP260B1) with two sesquiterpene cyclases (FgJ01056, FgJ09920) of filamentous fungi *Fusarium graminearum* (Scheme 26) [162].

#### 3.6. Protoilludenes

Sixteen sesquiterpene synthases genes as full-length cDNAs have been isolated by using RT-PCR, and heterologous expression revealed that the sesquiterpene synthases could produce a series of sesquiterpene scaffolds with distinct metabolic profiles (Scheme 27) [163].

## 3.7. Trichothecenes

The acyltransferase-encoding gene *tri18*-encoded acyltransferase (TRI18) and a previously characterized acyltransferase (TRI3) were required in the saprotroph *Trichoderma arundinaceum* for conversion of the trichothecene biosynthetic intermediate trichodermol **480** to harzianum A **482**, an antifungal trichothecene analog with an octa-2,4,6-trienedioyl acyl group [164]. Previous studies indicate that *tri18* may not be necessary for the biosynthesis of harzianum A **482** because all catalytic activities required for its formation can be accounted for by activities of enzymes (TRI5, TRI4, TRI22, TRI17, and TRI3) encoded by other *tri* genes [165,166]. Further analysis proposed that TRI3 catalyzes trichothecene **4**-O-acetylation, and subsequently, TRI18 catalyzes replacement of the resulting acetyl group with octa-2,4,6-trienedioyl to form harzianum A **482** (Scheme 28) [164].









**Scheme 27.** Reaction pathways of protoilludene metabolism by PpSTS-08 and PpCYPs (Reference [163]).

An artificial metabolic route to an unnatural trichothecene was designed by taking advantage of the broad substrate specificities of the T-2 toxin biosynthetic enzymes of *Fusarium sporotrichioides* [167]. By feeding 7-hydroxyisotrichodermin, a shunt pathway metabolite of *F. graminearum*, to a trichodiene synthase-deficient mutant of *F. sporotrichioides*, 7-hydroxy T-2 toxin **483** was obtained as the final metabolite (Scheme 29). The toxicity of 7-hydroxy T-2 toxin **483** was 10 times lower than that of T-2 toxin in HL-60 cells.



**Scheme 28.** Biosynthesis pathways of trichodermol **480** to harzianum A **482**. The symbol  $\perp$  indicates that the pathway is partially or completely blocked at the step indicated in the *tri3* and *tri18* mutants (Reference [164]).







The candidate gene, *Clm*2, a second structural gene required for culmorin biosynthesis in the plant pathogen *Fusarium graminearum*, encodes a regio- and stereoselective cytochrome P450 monooxygenase for C-11 of longiborneol **484** (Scheme 30) [168]. *Clm*2 gene disruptants were grown in liquid culture and assessed for culmorin production via HPLC-evaporative light scattering detection. The analysis indicated a complete loss of culmorin **485** from the liquid culture of the  $\Delta Clm$ 2 mutants. Culmorin production resumed in a  $\Delta Clm$ 2 complementation experiment. A detailed analysis of the secondary metabolites extracted from the largescale liquid culture of disruptant  $\Delta Clm$ 2D20 revealed five new natural products: **486–490**. The structures of the new compounds were elucidated by a combination of HRMS, 1D and 2D NMR, and single-crystal X-ray crystallography analysis.



Scheme 30. Biosynthetic pathways of 484-490 (Reference [168]).

#### 4. Conclusions and Future Prospects

Natural products, in particular bioactive molecules as precursor pharmaceutical compounds, have attracted particular attention in the field of health promotion and drug discovery and development. Compared with other sources, fungal species play a decisive role in bio-transformations and drug synthesis owing to their wide varieties, easy cultivation, diverse chemical compositions, and distinct biological activities. This process has been accelerated by considerable advances in microbial genome research and in understanding the structure of genes and their corresponding products. Genome mining-based natural products discovery programs mainly use the most identifiable terpene synthases and prenyltransferases to locate and quickly identify new terpenoids. In the last five years, nearly 500 new sesquiterpenes, including about 20 new skeletons were identified from fungi. These sesquiterpenoids exhibit various biological activities, such as anti-tumor, anti-viral, anti-microbial, anti-inflammatory, etc. These efforts have clearly led to a global promotion of discovery and characterization of fungal terpenoids and offer optimism for the future of fungal terpenoid discovery.

This review summarized the isolation, chemical structures, plausible biosynthetic pathways, bioactivity, chemical synthesis, and biosynthesis of 490 recent sesquiterpenoids. This could be a useful reference for modern researchers studying this category of compounds.

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# **Endophytic Fungi: An Effective Alternative Source of Plant-Derived Bioactive Compounds for Pharmacological Studies**

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Abstract: Plant-associated fungi (endophytic fungi) are a biodiversity-rich group of microorganisms that are normally found asymptomatically within plant tissues or in the intercellular spaces. Endophytic fungi promote the growth of host plants by directly producing secondary metabolites, which enhances the plant's resistance to biotic and abiotic stresses. Additionally, they are capable of biosynthesizing medically important "phytochemicals" that were initially thought to be produced only by the host plant. In this review, we summarized some compounds from endophyte fungi with novel structures and diverse biological activities published between 2011 and 2021, with a focus on the origin of endophytic fungi, the structural and biological activity of the compounds they produce, and special attention paid to the exploration of pharmacological activities and mechanisms of action of certain compounds. This review revealed that endophytic fungi had high potential to be harnessed as an alternative source of secondary metabolites for pharmacological studies.

Keywords: endophytic fungi; secondary metabolites; structural feature; biological activities; drug discovery

## 1. Introduction

The term "endophytic fungi" refers to fungi that live in plant tissues throughout the entire or partial life cycle by establishing a mutually beneficial symbiotic relationship with its host plant without causing any adverse effect or disease [1,2]. They are natural components of the plant micro-ecosystem that positively affect the physiological activities of the host plant in several ways, including producing hormones such as indoleacetic acid, biosynthesizing and acquiring nutrients for plant growth and development, secreting stress-adaptor metabolites to protect the host plant from the invasion of herbivores, pathogens, and improving the host's adaptability to abiotic stressors. In return, plants provide habitats and nutrients for endophytic fungi [3,4]. Endophytic fungi are capable of producing a rich variety of bioactive substances and can produce compounds that are identical or similar to pharmacological activities identified from plants [5]. They produce a range of metabolites of different chemical classes, including alkaloids, flavonoids, steroids, terpenoids, and phenolic compounds. Some compounds show pleiotropic and interesting pharmacological activities, including antimicrobial, antioxidant, anti-diabetic, anti-malarial, and antitumor properties. The discovery of these structurally novel and diverse active compounds provides a valuable resource for studying natural medical products from the microbiome [6-8]. In the search for bioactive molecules as pro-drug compounds or in

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). the development of medicines, endophytic fungi can serve as an alternative source for valuable active plant compounds. Endophytic fungi can be harnessed to produce bioactive compounds for human pharmaceutical use when the bioactive secondary metabolites are not commercially available, derived from slow-growing or rare and endangered plants, and difficult to synthesize due to heavy molecular weight or structural complexity. Endophytic fungal secondary metabolites have drawn extensive attention among medicinal plants, mangroves, and marine microorganisms [9,10].

Endophytic fungi are a highly biodiverse and versatile microbial community that seems to be ubiquitous in nature. Studies have shown that almost all plants contain endophytic fungi, including colonized plants in the Arctic and Antarctic regions, deserts, oceans, and tropical rainforests [11,12]. They have been isolated and cultured from the roots and above-ground parts of various plants, including algae, mosses, ferns, gymnosperms, and angiosperms. Evidence from microorganism's records in the fossil plant tissue indicated that the plant-endophytic fungal interactions have existed for approximately 400 million years, and during this time, endophytic fungi co-evolved unique biosynthetic pathways and metabolic mechanisms to synthesize complex secondary metabolites [13]. To date, only 5% of 1.5 million fungal species on Earth have been described in detail, and out of this percentage (69,000 fungal species), only 16% (11,500 species) have been cultured and studied. About 0.035–5.1 million fungal species have been found on Earth according to results from next-generation sequencing technologies [14]. Approximately 300,000 known species of higher plants exist on Earth, and each of which is a host for one or more obligate endophytic fungi. The high number of bioactive secondary metabolites found in endophytic fungi is due to their rich species diversity [15,16]. Endophytic fungi have been studied for more than 100 years, with the first endophytic strain isolated from the seeds of ryegrass (Lolium temulentum L.) by Vogl et al. in 1898 [17]. Stierle et al. [18] discovered the paclitaxel-producing endophytic fungus (Taxomyces andreanae) from the Pacific yew and then from other plant species successively. This discovery aroused the attention of mycologists and pharmaceutical chemists on endophytic fungi as a new source of bioactive substances and stimulated the interest in endophytic fungi as a sustainable source of plant metabolites. As shown in Table 1, many compounds that were isolated from endophytic fungi were also identified in some plant species as well as exhibited similar biological activity even though there were isolated from different sources, confirming endophytic fungi as an alternative source of bioactive compounds [19–32]. An overview of the recent literature surveys revealed that 51% of the bioactive substances isolated from endophytic fungi were previously unknown, with about 38% being isolated from soil microbiota [19]. Over the past decade, there has been a surge in the number of patents for endophytic fungi with new molecular secondary metabolites, which play a key role in the pharmaceutical industry, phytoremediation, and biomedicine [20,21]. Researchers are now searching for an economical, environmentally safe, and sustainable way to obtain new bioactive secondary metabolites from endophytic fungi.

This article reports 220 new compounds with rare or novel structures or skeleton structures from endophytic fungi from 82 journal articles between 2011 and 2021 and briefly describes the sources of endophytic fungi, chemical structures, and biological activities of these compounds. Among all the new compounds reported in this review, terpenoids (35%) were largest in proportion, followed by alkaloids (26%). The proportion of different types of compounds among all the new compounds are presented in Figure 1. These new compounds were obtained from different species of endophytic fungi, which had diverse chemical skeletons and exhibited diverse and interesting biological activities. Additionally, the most common pharmacological activities these compounds showed were antimicrobial and antitumor activities. However, some of the compounds showed anti-angiogenic, anti-phytotoxic, and  $\alpha$ -glucosidase inhibitory effects. Therefore, this review summarized different insights into the prospects and challenges of endophytic fungi as an alternative source of plant-derived bioactive compounds for drug development. In addition, this review will affirm that endophytic fungi produce similar bioactive compounds just as their

host plants to give knowledge for the development of drug candidates from endophytic fungi using different strategies, thus making Endophytic fungi a treasure trove of new secondary metabolites.

**Table 1.** Several endophytic fungi of host plants have been reported to produce compounds with similar activity.

| No. | Endophytic Fungus  | Host Plant                       | <b>Regions/Countries</b>                  | Compound                                       | <b>Biological Activity</b>                                    | Ref. |
|-----|--|----------------------------------|---|--|---|------|
| 1   | Lophiostoma sp.  | Eucalyptus exserta               | Guangzhou, China.                         | Scorpinone                                     | Antibacterial   | [22] |
| 2   | <i>Mycosphaerella</i> sp.                                      | Myrciaria floribunda             | Amazon rainforest,<br>Brazil.             | Myriocin                                       | Antifungal  | [23] |
| 3   | Mucor sp.  | Centaurea stoebe                 | Idaho, USA                                | Terezine E                                     | Antifungal and cytotoxicity                                   | [24] |
| 4   | Aspergillus calidoustus  | Acanthospermum<br>australe       | Jalapao State Park,<br>Tocantins, Brazil. | Ophiobolin K<br>6-epi-ophiobolin K             | Antifungal,<br>trypanocidal and<br>cytotoxicity               | [25] |
| 5   | Phomopsis sp.  | Garcinia kola<br>(Heckel) nut    | Yaounde,<br>Cameroon                      | Cytochalasins H                                | Antibacterial and cytotoxicity                                | [26] |
| 6   | Aspergillus nidulans   | Nyctanthes<br>arbor-tristis Linn | Karachi, Pakistan                         | Sterigmatocystin                               | Antiproliferative activity                                    | [27] |
| 7   | Trichoderma<br>asperellum and<br>Trichoderma<br>brevicompactum | Vinca herbacea                   | Hamedan, Iran                             | 4b-hydroxy-12,13-<br>epoxytrichothec-9-<br>ene | Antimicrobial<br>and antiproliferative<br>activity            | [28] |
| 8   | Phyllosticta elongata  | Cipadessa baccifera              | Western Ghats,<br>India                   | Camptothecin                                   | Anticancer agent  | [29] |
| 9   | Fusarium verticillioides                                       | Huperzia serrata                 | Gucheng Mountain,<br>Sichuan, China       | Huperzine A                                    | Treatment of<br>Alzheimer's disease                           | [30] |
| 10  | Fusarium solani  | Cassia alata                     | Bangladesh                                | Napthaquinones<br>Azaanthraquinones            | Cytotoxicity,<br>antimicrobial and<br>antioxidant activity    | [31] |
| 11  | Fusarium sp. and<br>Lasiodiplodia<br>theobromae                | Avicennia lanata                 | Terengganu,<br>Malaysia                   | Anhydrofusarubin<br>dihydrojavanicin           | Antitrypanosomal  | [32] |
| 12  | Corynespora cassiicola   | Gongronema<br>latifolium         | Nigeria                                   | Corynesidone D                                 | Anti-inflammatory/<br>anticancer agent                        | [33] |
| 13  | Pestalotiopsis theae   | Camellia sinensis<br>Theaceae    | Hangzhou, China                           | punctaporonin H                                | Antibacterial and cytotoxicity                                | [34] |
| 14  | Phialocephala fortinii   | Podophyllum<br>peltatum          | Tamilnadu, India                          | Podophyllotoxin                                | Antiviral,<br>antioxidant, and<br>antirheumatic<br>activities | [35] |



Figure 1. The proportion of different types of compounds among all new compounds.

# 2. Bioactive New Metabolites Isolated from Endophytic Fungi and Their Biological Activities

- 2.1. Polyketides
- 2.1.1. Chromones

The induction of endophyte metabolism by adding Host components was used to add the same phytocomponents (2R, 3R)-3, 5, 7- trihydroxyflavanone 3-acetate in Botryosphaeria ramosa L29 potato dextrose broth culture to induce the production of 5-hydroxy2,3dihydroxymethyl-7-methoxychromone 1 (Figure 2), 5-hydroxy-3-acetoxymethyl-2-methyl-7- methoxychromone 2 (Figure 2) and 5,7-dihydroxy-3-hydroxymethyl-2-methylchromone **3** (Figure 2), where Compounds 1–3 displayed acceptable antimicrobial activities against Fusarium oxysporum with MIC values of 50 µg/mL, 50 µg/mL, and 6.25 µg/mL, respectively. These values were superior compared to those of the positive drug—triadimefon—for the antimicrobial test (with an MIC value of  $100 \,\mu g/mL$ ) [36]. This indicated that the induction of endophytes metabolism to produce bioactive components of interest might be an ideal strategy for easy identification of drug candidates from these microbes; however, there is the need for long-term studies on how specific components influence endophytes metabolism and the bioactive compounds there are linked with. Phaeosphaonesa A 4 (Figure 2), isolated from *Phaeosphaeria fuckelii*, contains a  $\beta$ -(oxy)thiotryptophan motif structure that is rare in nature. Compound 4 showed stronger inhibition activity of mushroom tyrosinase than the positive control kojic acid (IC<sub>50</sub> value of 40.4  $\mu$ M) at 100  $\mu$ M concentration, with an  $IC_{50}$  value of 33.2  $\mu$ M [37]. Two aromatic chromones, Chaetosemins B–C 5–6 (Figure 2), were isolated from Chaetomium seminudum brown rice cultures, and compounds 5-6 contained L-cysteine and D-cysteine units, respectively. Compound 5 showed antifungal activity against Magnaporthe oryzae and Gibberella saubinetti, with MIC values of 6.25 µM and 12.5  $\mu$ M, respectively. Compound 6 showed significant antioxidant activity at a concentration of 50 µM with a DPPH radical scavenging rate of 50.7% [38]. Pestaloficiols M-P 7–10 (Figure 2), which are new isoprenylated chromone derivatives, were isolated from brown rice culture extract of the plant endophytic fungus Pestalotiopsis fici. The structures of these compounds were elucidated primarily by MS and NMR techniques. Compounds 7–8 displayed inhibitory effects on HIV-1 replication in C8166 cells, with  $EC_{50}$  values of

56.5  $\mu$ M and 10.5  $\mu$ M, respectively (the EC <sub>50</sub> value of the positive control Indinavir Sulfate was 8.2  $\mu$ M), whereas compounds 9–10 showed cytotoxic activity against the human tumor cell line HeLa, with IC<sub>50</sub> values of 56.2  $\mu$ M and 74.9  $\mu$ M, respectively (the positive control 5-fluorouracil has an IC<sub>50</sub> of 10.0  $\mu$ M). Compound 10 exhibited a potent antifungal activity against Aspergillus fumigatus at IC<sub>50</sub> =  $7.35 \mu$ M) [39].



5-hydroxy-2,3-dihydroxymethyl 5-hydroxy-3-acetoxymethyl-2methyl -7-methoxychromone (1)



phaeosphaones A (4)



pestaloficiols M (7)



-7-methoxychromone (2)



chaetosemins B (5)



pestaloficiols N:R1=H, R2=H (8) pestaloficiols O:R1=C<sub>15</sub>H<sub>31</sub>CO,R2=H(9) pestaloficiols P:R1=H, R2=C<sub>15</sub>H<sub>31</sub>CO(10)

Figure 2. Chemical structures of chromones.

# 2.1.2. α-Pyrones

Two tetrasubstituted  $\alpha$ -pyrone derivatives—*Neurospora udagawae* udagawanones A-B 11–12 (Figure 3)—were isolated from oak endophytic fungi, with both containing unique oxidation functional groups at the C-2 position. Compound 11 exhibited potent antifungal activity against *Rhodoturula glutinis* with MIC = 66  $\mu$ g/mL). Additionally, compounds 11 and 13 showed moderate cytotoxic activity against KB3.1 cells with  $IC_{50} = 27 \ \mu g/mL$  [40]. The study revealed moderate activity of compounds 11 and 12 against fungi and mammalian cells, and this may be as a result of the method (serial dilution antimicrobial assay) used; therefore, it is suggested that other biological tests be employed to verify these findings. The nigerapyrones A-B 13-14 (Figure 3) were obtained from Aspergillus niger MA-132, which was isolated from the mangrove plant Avicennia marina. Compounds 13-14 both showed potent antifungal activities against two tumor cell lines (HL60 and A549), with  $IC_{50}$ values ranging from 0.3 to 5.41  $\mu$ M [41]. The ficipyrones A–B 15–16 (Figure 3) were isolated from solid cultures of Pestalotiopsis fici. Compound 15 showed significant antifungal activity against *Gibberella zeae* CGMCC 3.2873, with an IC<sub>50</sub> value of 15.9  $\mu$ M, but had no activity against Fusarium culmorum CGMCC 3.4595 and Verticillium aiboatrum CGMCC 3.4306 [42].



5,7-dihydroxy-3-hydroxymethyl -2-methylchromone (3)



chaetosemin C (6)

The endophytic fungus *Aspergillus oryzae* was isolated from the rhizome of *Paris polyphylla* in Dali, Yunnan, China, and 4-hydroxy-6-[(2S, 3S)-3-hydroxybutan-2-yI]-3-methyl-2H-pyran-2-one **17** (Figure 3) and (R)-4-hydroxy-6-(l-hydroxy-2-methylpropyl)-3-methyl-2H-pyran-2-one **18** (Figure 3) were obtained from this fungi. However, the biological activities of these compounds were not tested in the study; hence, investigating the biological activities of these compounds is needed, as it may yield a very important source of drug activity [43]. The pyran-2-one scaffold compounds **19–21** (Figure 3) were isolated by adding 10 mg/L DNA methyltransferase inhibitor 5-aza-2-deoxycytidine to *Penicillium herquei* liquid cultures, whereas the MTT method was used to measure the cytotoxicity of all compounds in MDA-ME-231 and MV-411 cell lines. Compounds **19–21** showed weak cytotoxicity only against the MV4-11 cell line with IC<sub>50</sub> values of 90.09  $\mu$ M, 74.16  $\mu$ M, and 70.00  $\mu$ M, respectively [44].



**Figure 3.** Chemical structures of  $\alpha$ -pyrone compounds.

2.1.3. Other Polyketides

The phomaketides A–E **22–26** (Figure 4), pseurotins A<sub>3</sub> **27** (Figure 4), and pseurotins G **28** (Figure 4) were isolated from fermentation broth and mycelial extracts of the marine red algae endophytic fungus *Phoma* sp. NTOU4195. The mouse macrophages RAW264 were induced using the endothelial progenitor cells of human umbilical cord blood, lipopolysaccharide (LPS), to assess the anti-angiogenic and anti-inflammatory activities of all compounds. Compound **22** showed potent anti-angiogenic activity by inhibiting endothelial cell proliferation, with an IC<sub>50</sub> value of 8.1  $\mu$ M. Compound **24** at the concentration of 20  $\mu$ M induced effective nitric oxide (NO) inhibition activity against LPS-induced RAW264.7 cells, with an IC<sub>50</sub> value of 8.8  $\mu$ M [45]. There were two tetracyclic polyketide compounds, simplicilone A–B **29–30** (Figure 4), containing helical centers obtained from the broth culture of the endophytic fungus *Simplicillium* sp., which was isolated from the bark

of the medicinal plant Duguetia staudtii (Engl. and Diels) Chatrou in the Cameroon region. Compounds 29-30 showed weak cytotoxic activities against the KB3.1 cell line, with IC<sub>50</sub> values of 1.25  $\mu$ g/mL and 2.29  $\mu$ g/mL, respectively, but had no antimicrobial activity against the tested bacteria (Staphylococcus aureus DSM 346 and Bacillus subtilis DSM 10) [46]. 5R-hydroxyrecifeiolide 31 (Figure 4), 5S-hydroxyrecifeiolide 32 (Figure 4), and ent-cladospolide F-H 33-35 (Figure 4) were also isolated from the endophytic fungal strain Cladosporium cladosporioides MA-299, which was obtained from the leaves of the mangrove plant Bruguiera gymnorrhiza from Hainan Island, China. Compounds 31–35 showed potent antimicrobial activities against Escherichia coli and Staphylococcus aureus, with MIC values ranging from 1.0 to 64  $\mu$ g/mL. Compound 33 showed moderate inhibition activity against acetylcholinesterase, with an IC<sub>50</sub> value of 40.26  $\mu$ M [47]. The antimicrobial polyketide compound, palitantin 36 (Figure 4), was obtained from Aspergillus fumigatiaffnis and isolated from healthy leaves of Tribulus terrestris L. In addition, compound 36 showed effective antimicrobial activity against the multi-drug-resistant pathogens Enterococcus faecalis UW 2689 and Streptococcus pneumoniae 25697, both with an MIC value of  $64 \,\mu\text{g/mL}$  [48]. The four polyketide derivatives—isotalaroflavone **37** (Figure 4), (+/-)-50dehydroxytalaroflavone 38-39 (Figure 4), and bialternacin G 40 (Figure 4)-were obtained from the endophytic fungus Alternaria alternata ZHJG5 isolated from the leaves of Cercis chinensis, which was collected from the Nanjing Botanical Garden, Nanjing, China. They exhibited potent antimicrobial activity against Xanthomonas oryzae pv. oryzicola (Xoc) and *Ralstonia solanacearum*, with MIC values ranging from 0.5 to 64  $\mu$ g/mL. Compound 37 at the concentration of 200  $\mu$ g/mL showed a significant protective effect against the bacterial blight of rice caused by Xanthomonas oryzae pv. oryza, with a protection rate of 75.1% [49]. Four polyketide derivatives containing the benzoisoquinoline-9-one moiety structure peyronetides A–D 41–44 (Figure 4) were isolated from the mycelial crude acetone extract of Peyronellaea sp. FT431. Compounds 41-42 showed moderate to weak cytotoxic activity against human kidney cancer cell line TK10 and human ovarian cancer cell line A2780cisR, with IC<sub>50</sub> values ranging from 6.7 to 29.2  $\mu$ M [50]. The aromatic polyketide compound, (-) alternamgin 45 (Figure 4), was obtained from potato dextrose broth cultures of the endophytic fungus Alternaria sp. MG1 isolated from Vitis quinquangularis. This compound was of particular interest because it had the rare dibenzopyrone functionality of 6/6/6/6/6/6/6/6 heptacyclic backbone. Compound 45 displayed a weak cytotoxic activity against cells from two tested cell lines (Hela and HepG2), both with  $IC_{50}$  values exceeding 20 µM [51].

In summary, Polyketides, such as chromones and  $\alpha$ -pyrone, and their derivatives identified from plant sources have also been found in endophytic fungi in recent studies. Chromones and their derivatives isolated from both plant and endophytic fungi sources all showed antimicrobial properties against specific pathogens; therefore, chromones from endophytic fungus can be used in the development of antimicrobials in the place of plant chromones to reduce the depletion of plants' resources in the ecosystem.



Figure 4. Cont.



Figure 4. Chemical structures composition of other polyketides.

#### 2.2. Alkaloids

# 2.2.1. Cytochalasin

The methylation-deficient backbone, Phomopsisin A-C 46-48 (Figure 5), was obtained from brown rice cultures of *Phomopsis* sp. sh917, which was isolated from *Isodon eriocalyx* var. laxiflora stems. Compound 46 contained an unusual 5/6/11/5 tetracyclic ring system 2H-isoxazole moiety and showed significant inhibition activity against LPS-induced NO production in RAW264.7 cells, with an IC<sub>50</sub> value of 32.38  $\mu$ M, which was more potent than the positive control L-NMMA (IC<sub>50</sub> value of 42.34  $\mu$ M) [52]. The highly oxidized cytochalasin alkaloids—armochaetoglobins S-Z 49-57 (Figure 5) and 7-O-acetylarmochaetoglobin S 50 (Figure 5)—were identified and isolated from Chaetomium globosum TW1-1. The effects of all compounds on five tested human cancer cell lines (HL-60, A-549, SMMC-7721, MCF-7, and SW-480) were measured using the MTT method. Compounds 56-57 showed potent cytotoxic activities, with IC<sub>50</sub> values ranging from 10.45 to 30.42  $\mu$ M [53]. Furthermore, diaporthichalasins D-H 58-62 (Figure 5) were obtained from solid cultures of the endophytic fungus Diaporthe sp. SC-J0138 isolated from the leaves of the pteridophyte Cyclosorus parasiticus, and the MTS method was used to evaluate the cytotoxic activities of these compounds on four human cancer cell lines (A549, HeLa, HepG2, and MCF-7). Compound 58 exhibited significant cytotoxic activity against all tested human cancer cell lines; compounds 59-62 exhibited selective cytotoxic activities against some cell lines [54]. Cytochrysins A-C 63-65 (Figure 5) were obtained from rice cultures of Cytospora chrysosperma HYQZ-931, an endophytic fungus isolated from the desert plant Hippophae rhamnoides. Compound 63 showed significant antimicrobial activity to *Enterococcus faecium*, with an MIC value of 25 μg/mL. Compound 65 showed potent antimicrobial activity to Staphylococcus aureus, with an MIC value of 25  $\mu$ g/mL [55].



Figure 5. Chemical structures composition of cytochalasins.

## 2.2.2. Indole Alkaloids

Six prenylated indole alkaloids, asperthrins A–F 66–71 (Figure 6), were derived from the marine endophytic fungus Aspergillus sp. YJ191021. Compound **66** showed moderate antimicrobial activity against *Vibrio anguillarum*, with an MIC value of 8  $\mu$ g/mL. Additionally, the compounds 66 and 69 showed potent-weak anti-inflammatory activities against propionibacterium acnes-induced human mononuclear cell line (THP-1), with IC<sub>50</sub> values of 1.46  $\mu$ M and 30.5  $\mu$ M, respectively, while compound **66** showed higher anti-inflammatory activity than the positive control Tretinoin at an IC<sub>50</sub> value of 3.38  $\mu$ M [56]. The  $\alpha$ -pyrone meroterpenoid-type alkaloid, oxalicine C 72 (Figure 6), was obtained from Penicillium chrysogenum XNM-12, which was isolated from the marine brown algae Leathesia nana. Compound 72 showed potent antimicrobial activity against the phytopathogenic fungus *Ralstonia solanacearum*, with an MIC of 8  $\mu$ g/mL [57]. Scalarane 73 (Figure 6) was isolated from Hypomontagnella monticulosa Zg15SU through the potato dextrose liquid culture. Compound 73 showed potent cytotoxic activity against cancer cell lines Panc-1, NBT-T2, and HCT116, with IC<sub>50</sub> values of 0.05, 0.75, and 0.05 µg/mL, respectively [58]. Asperlenines A–C 74–76 (Figure 6) were isolated from Aspergillus lentulus DTO 327G5 cultures, and the antimicrobial activity of all compounds was evaluated using the broth-microdilution method against five tested agricultural pathogens (Xanthomonas oryzae pv. Oryzae, Xanthomonas oryzae pv. Oryzicola, Rhizoctonia solani, Fusarium oxysporum, and Colletotrichum gloeosporioides). Compounds 74–76 showed moderate to weak antimicrobial activities against Xanthomonas oryzae pv. Oryzae and Xanthomonas oryzae pv. Oryzicola, with MIC values ranging from 25 to 100  $\mu$ g/mL [59].

#### 2.2.3. Diketopiperazine Derivatives

The thiodiketopiperazine alkaloid, phaeosphaones D 77 (Figure 7), featuring an unusual  $\beta$ -(oxy) thiotryptophan motif, was obtained from endophytic fungus *Phaeosphaeria* fuckelii isolated from the medicinal plant Phlomis umbrosa. Compound 77 showed stronger mushroom tyrosinase inhibition activity than the positive control kojic acid (IC<sub>50</sub> value of 40.4  $\mu$ M), with an IC<sub>50</sub> value of 33.2  $\mu$ M. [60]. The oxepine-containing diketopiperazinetype alkaloids, varioloids A-B 78–79 (Figure 7), were obtained from Paecilomyces variotii EN-291, which was isolated from the marine red alga Grateloupia turuturu. Compounds 78–79 showed potent antifungal effects against Fusarium graminearum, with MIC values of 8 µg/mL and 4 µg/mL, respectively [61]. Aspergiamides A–F 80–85 (Figure 7) were isolated from the endophytic fungus Aspergillus sp. 16-5 of mangroves, and all compounds were evaluated for their inhibition activities against protein-tyrosine phosphatase 1B (PTP1B) and  $\alpha$ -glucosidase. Compounds 80 and 81 showed potent to moderate  $\alpha$ -glucosidase inhibition activities, with IC<sub>50</sub> values of 18.2  $\mu$ M and 40.7  $\mu$ M, respectively. Compounds 80–85 did not show significant PTP1B inhibition activities (<10% inhibition) at 100 µg/mL [62]. Five sulfide diketopiperazines derivatives, penicibrocazines A–E 86–90 (Figure 7), were obtained from the endophytic fungus Penicillium brocae MA-231 isolated from the mangrove plant Avicennia marina. The antimicrobial effects of all compounds were evaluated by the agar diffusion method against five tested pathogens (Aeromonas hydrophilia, Escherichia coli, Staphylococcus aureus, Vibrio arveyi, and V. parahaemolyticus). Compounds 86–90 showed potent antimicrobial activities against S. aureus, with MIC values ranging from 0.25 to 32 µg/mL [63]. Spirobrocazines A–C 91–93 (Figure 7) were isolated from the mangrovederived Penicillium brocae MA-231. Compounds 91-93 contained a 6/5/6/5/6 cyclic system with a rare spirocyclic center at C-2. All compounds showed moderate antimicrobial activities against S. aureus, Aeromonas hydrophilia, and Vibrio harveyi, with MIC values ranging from 16 to 64  $\mu$ g/mL [64].

## 2.2.4. Other Types of Alkaloids

The quinazoline alkaloid (-)-(1R,4R)-1,4-(2,3)-indolmethane-1-methyl-2,4-dihydro-1H-pyrazino-[2,1-b]-quinazoline-3,6-dione **94** (Figure 8) was obtained from the endophytic fungus *Penicillium vinaceum* X1, which was isolated from corms of *Crocus sativus* (Iridaceae).
The in vitro cytotoxicity of compound 94 was evaluated against three human tumor cell lines (A549, LOVO, and MCF-7), to which compound 94 showed weak cytotoxic activities against all human tumor cell lines, with IC<sub>50</sub> values of 76.83, 68.08, and 40.55  $\mu$ g/mL, respectively [65]. The enantiomeric bromotyrosine alkaloids S-Acanthodendrilline 95 (Figure 8) and R-Acanthodendrilline 96 (Figure 8) were isolated from the ethyl acetate extract of the sponge endophytic fungus Acanthodendrilla sp. The cytotoxic activities of compounds 95–96 against human non-small cell lung cancer H292 and normal human immortalized fibroblast HaCaT cell lines were evaluated using the MTT method. Compound 95 (IC<sub>50</sub> value of 58.5  $\mu$ M) was approximately three times more potent than compound **96** (IC<sub>50</sub> value of 173.5  $\mu$ M) against the H292 cell line. Compounds 95–96 exhibited efficient and selective cytotoxic activities against H292 and HaCaT cell lines, with  $IC_{50}$  values ranging from 58.5 to 173.5  $\mu$ M and >400  $\mu$ M, respectively [66]. Three phenylpyridone derivatives, citridones E-G 97-99 (Figure 8), were obtained from the endophytic fungal strain Penicillium sumatrense GZWMJZ-313 9, which was isolated from the leaves of Garcinia multiflora. These compounds showed moderate to weak antimicrobial activities against Staphylococcus aureus ATCC6538, Pseudomonas aeruginosa ATCC10145, and Escherichia coli ATCC11775, with MIC values ranging from 32 to 128 µg/mL [67]. Two isoprenylisoindole alkaloids, diaporisoindoles A-B 100–101 (Figure 8), were obtained from the endophytic fungus Diaporthe sp. SYSU-HQ3, which was isolated from a fresh branch of the mangrove plant Excoecaria agallocha. Compound 100 showed potent inhibition activity against Mycobacterium tuberculosis protein-tyrosine phosphatase B, with an IC<sub>50</sub> value of 4.2  $\mu$ M [68].



Figure 6. Chemical structures of indole alkaloids.



Figure 7. Chemical structures of diketopiperazine derivatives.

In a nutshell, anti-angiogenic and anti-inflammatory activities were the main activities of alkaloids in both plants and endophytic fungi. In addition, phomaketides and their derivatives that were isolated from fungal endophytes possess antimicrobial activity just as those isolated in plants; therefore, alkaloids producing endophytic fungi can be used in



the development of anti-angiogenic, anti-inflammatory, and antimicrobial drugs for both human and animal use.

# Figure 8. Chemical structure of other types of alkaloids.

#### 2.3. Terpenoids

2.3.1. Sesquiterpenoids and Their Derivatives

The 1-methoxypestabacillin B 107 (Figure 9) was obtained from brown rice cultures of endophytic fungus Diaporthe sp. SCSIO 41011 isolated from the stem of the mangrove plant Rhizophora stylosa. Compound 107 was evaluated for the reversal of HIV incubation period and anti-influenza A virus activities, to which compound 107 did not show antiviral activity. However, its structure could serve as the backbone for the synthesis of more potent antiviral compounds [69]. The eremophilane-type sesquiterpenoids rhizoperemophilanes A-N 102–115 (Figure 9) were isolated from the ethyl acetate extract of *Rhizopycnis vagum* Nitaf22. Compound 111 contained a C-4/C-11 epoxide, and compound 115 had a 3-noreremophilane lactone-lactam skeleton. All compounds were evaluated for their cytotoxic activities against five tested human cancer cells (BGC823, Daoy, HCT116, HepG2, and NCI-H1650) and inhibition activities against radicle growth in rice seedlings. Compound 115 showed high selective cytotoxicity against NCI-H1650 and BGC823 cell lines, with  $IC_{50}$ values of 15.8 µM and 48.2 µM, respectively, while no significant cytotoxic activity was observed for other compounds at IC<sub>50</sub> > 50  $\mu$ m. Compounds **106–107** and **113–114** showed strong phytotoxic activities against radicle growth in rice seedlings at a concentration of  $200 \ \mu g/mL$ , where the inhibition exceeded 50% [70]. The bisabolane-type sesquiterpene, trichoderic acid **116**, (Figure 9) and acorane-type sesquiterpene, 2β-hydroxytrichoacorenol 117 (Figure 9), were obtained from Trichoderma sp. PR-35 culture, an endophytic fungus isolated from stems of Paeonia delavayi. Compounds 116-117 were tested for antimicrobial

activity against two pathogens (Escherichia coli, and Shigella sonnei) using an agar diffusion method. Compounds 116-117 showed moderate to weak antimicrobial activities, with MIA values ranging from 50 to 175  $\mu$ g/mL [69]. The ring flores aurantii alkane-type sesquiterpene, cyclonerotriol B **118** (Figure 9), and the  $\alpha$ -pinene skeleton-containing sesquiterpene, 3β-hydroxy-β-acorenol **119** (Figure 9), were obtained from *Fusarium proliferatum* AF-04 isolated from Chlorophytum comosum roots via a combination of high-performance liquid chromatography (HPLC) and a bioassay-guided method. Compounds 118-119 showed weak antimicrobial activities (MIC values > 100  $\mu$ g/mL) against *Bacillus subtilis*, *Clostridium* perfringens, E. coli, and methicillin-resistant Staphylococcus aureus (MRSA) [71]. The aromatic bisabolene-type sesquiterpene (7S, 8S)-8-hydroxysydowic acid 120 (Figure 9) was obtained from the brown rice culture of the endophytic fungus Aspergillus sydowii EN-434 isolated from the marine red alga Symphyocladia latiuscula from Qingdao, China. Compound 120 showed potent DPPH radical scavenging activity, with an  $IC_{50}$  value of 113.5  $\mu$ mol/L [72]. The ophiobolane sesquiterpenes ophiobolins P–T 121–125 (Figure 9) were isolated from the acetone extract of the endophytic fungus *Ulocladium* sp. using the one-strain manycompound (OSMAC) strategy. Compounds 121-125 were evaluated for their cytotoxicity and antibacterial activities against two tested human cancer cell lines (KB and HepG2 cell lines) and three tested pathogens (Bacillus subtilis, MRSA, and Bacille Calmette-Guerin). Compounds 121–125 showed moderate antimicrobial activities against B. subtilis and multidrug-resistant S. aureus, with MIC values ranging from 15.6 to 62.5  $\mu$ M. Compound 125 showed moderate antimicrobial activity against Bacille Calmette-Guerin, with an MIC value of 31.3  $\mu$ M. Additionally, compound **125** showed potent cytotoxic activity against the HepG2 cell line, with an IC<sub>50</sub> value of 0.24  $\mu$ M, which was stronger than the positive control etoposide (IC<sub>50</sub> value of  $2.02 \ \mu$ M) [73]. The daucane-type sesquiterpenes trichocarotins I-M **126–130** (Figure 9) were obtained from *Trichoderma virens* QA-8 isolated from the roots of Artemisia argyi H. Lév. and Vaniot, and these compounds showed significant antimicrobial activities against *E. coli* EMBLC-1, with MIC values ranging from 0.5 to 16 µg/mL [74].

#### 2.3.2. Diterpenoids

The ring diterpene diaporpenoid A 131 (Figure 10), containing a 5/10/5-fused tricyclic ring system, was isolated from the MeOH extract obtained from cultures of the mangrove endophytic fungus Diaporthe sp. QYM12. Compound 131 showed significant anti-inflammatory activity by inhibiting LPS-induced NO production in a mouse macrophage cell line RAW264.7, with an IC<sub>50</sub> value of 21.5  $\mu$ M [75]. The pimarane-type diterpene Libertellenone M 132 (Figure 10) was isolated from the marine source endophytic fungus *Phomopsis* sp. S12. Compound **132** inhibited pro-inflammatory cytokines IL1β and IL-18 mRNA expression in colon tissue, significantly reduced the cleavage of pro-caspase1, and dose-dependently inhibited the NF-κB nuclear translocation in macrophages. Clinical indications of acute colitis induced by 3% dextran sulphate sodium in mice were attenuated by intravenous administration of different doses of compound 132 (10 or 20 mg/kg), which is a potent inhibitor of NLRP3 inflammatory vesicles and may be a new medicine for treating acute colitis [76]. Three pimarane-type diterpenoids—pedinophyllol K 133 (Figure 10), pedinophyllol L 134 (Figure 10), and libertellenone T 135 (Figure 10)—were isolated from the endophytic fungal *Phomopsis* sp. S12 culture using the OSMAC strategy. The antiinflammatory activities of all compounds were assessed using an LPS-induced inflammation model of mouse macrophage RAW264.7. Compound 135 dose-dependently inhibited the expression of inflammatory factors IL-1 $\beta$  and IL-6 at the mRNA level. Additionally, the anti-inflammatory activity of compounds 133–134 was similar to that of compound 135 in terms 0f IL-6 inhibition [77]. Two tetranorlabdane diterpenoids botryosphaerins G–H **136–137** (Figure 10) were obtained from the ethyl acetate extract of *Botryosphaeria* sp. P483 isolated from the branches of the herb Huperzia serrata (Thunb.) Trev. and tested for their antifungal activities against Gaeumannomyces graminis, Fusarium solani, and Pyricularia oryzae by the disk diffusion method. Compound 137 showed effective antifungal activity at a concentration of 100  $\mu$ g/disk with an inhibitory zone diameter of 9 mm. (The inhibitory

zone diameter of positive control carbendazim was 15–18 mm.) Compounds 136–137 were evaluated for their nematicidal activities against Panagrellus redivivus and Caenorhabditis elegans and showed weak nematicidal activities, with 30% and 28% fatality rates at a 24h action concentration of 400 mg/L, respectively [78]. The isopimarane diterpene sphaeropsidin A 138 (Figure 10) was isolated from the ethyl acetate extract of the endophytic fungus Smardaea sp. AZ0432 of Ceratodon purpureus. The in vitro cytotoxic activities of compound 138 against five human cancer cell lines (NCI- H460, MDA-MB-231, MCF-7, PC-3M, and SF-268) and human embryonic lung fibroblast cell line WI-38 were evaluated using the resazurin colorimetric assay. The results showed that compound 138 showed a high cell selectivity when it was applied at a concentration of 10  $\mu$ M for 72 h and inhibited the migration of MDA-MB-231 cells by 50% at a subcytotoxic concentration of 1.5 μM [79]. (10S)-12,16-epoxy-17(15→16)-abeo-3,5,8,12,15-abietapentaene-2,7,11,14-tetraone **139** (Figure 10) was obtained from the cultures of the endophytic fungus Pestalotiopsis adusta isolated from stems of the medicinal plant *Clerodendrum canescens*. The cytotoxicity of compound 139 to the HL-60 tumor cell line was evaluated using the MTT assay, by which compound **139** showed moderate cytotoxic activity, with an IC<sub>50</sub> value of 12.54  $\mu$ M [80]. (The IC<sub>50</sub> value of the positive control cisplatin was 9.20 µM.) The trichodermanin A 140 (Figure 10), a diterpene containing a 6-5-6-6 ring system, was obtained from the endophytic fungus Trichoderma atroviride S361 of Cephalotaxus fortunei and was not tested for any biological activities [81]. Therefore, further studies are needed to identify the potential biological activity of this compound in the future. The new tetranorlabdane diterpenoids, asperolides A-C **141–143** (Figure 10), were isolated from the ethyl acetate extract of the marine brown alga Aspergillus wentii EN-48 and the cytotoxic activities of compounds 141–143 to seven tested human cancer cell lines (NCI-H460, MDA-MB-231, HeLa, MCF-7, SMMC-7721, HepG2, and SW1990) were evaluated using the MTT method. Compounds 141-143 showed moderate cytotoxic activities, with IC<sub>50</sub> values  $\leq 10$  Mm [82].

# 2.3.3. Triterpenoids

The 24-homo-30-nor-cycloartane triterpenoid 154 (Figure 11) was isolated from the endophytic fungus Mycoleptodiscus indicus FT1137. Compound 154 showed no activity against the human ovarian cancer cell line A2780 at a concentration of 20  $\mu$ g/mL [83]. Three Lanostane-type triterpenes—sclerodols A-B 144-145 (Figure 11) and lanosta-8,23dien-3β,25-diol **146** (Figure 11)—were obtained from *Eucalyptus grandis* cultures derived from the endophytic fungus Scleroderma UFSMSc1, and the antifungal activities of compounds 144–146 against Candida albicans and Candida parapsolosis were evaluated by the agar diffusion method. Compounds 144-146 showed moderate to weak antifungal activities, with MIC values ranging from 12.5 to 50  $\mu$ g/mL. The antifungal effects of these compounds against C. albicans were associated with the inhibition of the selenocysteine methyltransferase (SMT) activity [84]. Fusidic acid 147 (Figure 11) was obtained from the cultures of the endophytic fungus Acremonium pilosum F47, isolated from the stem of Mahonia fortunei using the bioactivity-guided assay, and the antimicrobial activities of compound 147 against four human pathogens were tested (S. aureus ATCC 6538, B. subtilis ATCC 9372, P. aeruginosa ATCC 27853, and E. coli ATCC 25922) and evaluated. Compound 147 showed effective antimicrobial activities against S. aureus ATCC 6538 and B. subtilis ATCC 9372. The acetylation of the C-16 hydroxyl group of compound 147 was essential for antimicrobial action [85]. Two new ring A-cleaved lanostane-type triterpenoids, glometenoid A-B 148–149 (Figure 11), were obtained from the ethyl acetate extract of the mason pine endophytic fungus *Glomerella* sp. F00244. The cytotoxic activity of compounds **148–149** against the human ovarian cancer cell line HeLa was tested using the MTT assay. Compound 148 showed weak cytotoxic activity at a concentration of 10  $\mu$ M with 21% inhibition [83]. Nine highly oxygenated schitriterpenoids—kadhenrischinins A-H 150-157 (Figure 11) and 7β-schinalactone C **158** (Figure 11)—were isolated from *Penicillium* sp. SWUKD4.1850, and compounds 154-157 contained a unique 3-one-2-oxabicyclo [1-3]-octane motif. All compounds were tested for their cytotoxic activities against the HepG2 tumor cell lines

using the MTT assay, and these compounds showed weak cytotoxic activities, with  $IC_{50}$ values ranging from 14.3 to 40  $\mu$ M [86]. Two tetracyclic triterpenoids—integracide E 159 (Figure 11) and isointegracide E 160 (Figure 11)—were isolated from the mycelia of Hypoxylon sp. 6269. Compound **159** showed weak inhibition activity against the HIV-1 integrase, with an IC<sub>50</sub> value of 31.63  $\mu$ M [87]. The tetracyclic triterpenoids, integracides H–J 161–163 (Figure 11), were obtained from the endophytic fungus *Fusarium* sp., which was isolated from the roots of Mentha longifolia L. (Labiatae) and were evaluated for antileishmanial activity against L. donovani promastigotes. Compound 161 showed significant antileishmanial activity, with an IC<sub>50</sub> value of 4.75  $\mu$ M, exceeding the positive control Pentamidine (IC<sub>50</sub> value of 6.35  $\mu$ M) [88]. The tetracyclic triterpenoids, integracides F–G 164–165 (Figure 11), were obtained from the endophytic fungus Fusarium sp. of Mentha longifolia L. (Labiatae). Compounds 164–165 were evaluated for their antileishmanial and cytotoxic activities to BT-549 and SKOV-3 cells and Leishmania donovani promastigotes. Compounds 164-165 showed significant cytotoxic activities against SKOV-3 and BT-549 cell lines, with  $IC_{50}$ values ranging from 0.16 to 1.97  $\mu$ g/mL and 0.12 to 1.76  $\mu$ g/mL, respectively. (The IC<sub>50</sub> value of the positive control Pentamidine was 2.1 µg/mL.) Compounds 164–165 showed potent antileishmanial activities against L. donovani promastigotes, with IC50 values of  $3.74 \,\mu\text{g/mL}$  and  $2.53 \,\mu\text{g/mL}$ , respectively [89].

# 2.3.4. Meroterpenoids

Guignardones P-S 166-169 (Figure 12) were obtained from Guignardia mangiferae A348 cultures, and the cytotoxic activities of compounds 166-169 against three human cancer cell lines (SF-268, MCF-7, and NCI-H460) were tested using an MTT assay. Compounds 167 and 169 only showed weak cytotoxic activities against MCF-7 cell lines, with  $IC_{50}$  values ranging from 83.7 to 92.1 µM [90]. Six 3, 5-demethylorsellinic acid-based meroterpenoids emeridones A-F 170-175 (Figure 12) were isolated from Emericella sp. TJ29 cultures. Compound 171 possessed a 2,6 dioxabicyclo [2.2.1] heptane and a spiro [bicycle [3.2.2] nonane-2,1'cyclohexane] moiety. The cytotoxic activities of all compounds against five human cancer cell lines (HL-60, SMMC7721, A549, MCF-7, and SW-480) were tested using the MTT assay, and compounds 172, 173, and 175 showed moderate cytotoxic activities against all tested cell lines, with IC<sub>50</sub> values ranging from 8.19 to 18.8  $\mu$ M [91]. Phyllomeroterpenoids A–C 176–178 (Figure 12) were isolated from the crude extract of *Phyllosticta* sp. J13-2-12Y fermentation broth. Compounds 176–178 showed moderate antimicrobial activities against Staphylococcus aureus 209P, Candida aureus 209P, and Candida albicans FIM709, with MIC values ranging from 32 to  $128 \,\mu\text{g/mL}$  [92]. Austin **179** (Figure 12) was obtained from the ethyl acetate extract of Talaromyces purpurogenus H4 and Phanerochaete sp. H2 co-cultures, which showed moderate trypanocidal activity against *T. cruzi* at a concentration of  $100 \mu g/mL$ , with an IC<sub>50</sub> value of 36.6  $\mu$ M. Notably, neither of the two endophytic fungi produced compound 179 when cultured separately under similar conditions [93].



rhizoperemophilanes A :R1=R3=H,R2=R4=OH(102) rhizoperemophilane B:R1=R3=OH,R2=R4=H (103) rhizoperemophilane C: R1=R3=R4=OH,R2=H(104)







0

AcO

HC

rhizoperemophilanes D:R1=H,R2=R3=OH (105) rhizoperemophilanes E:R1=OH,R2=2H(106) rhizoperemophilanes F:R1=H,R2=O (107)



rhizoperemophilanes M (114)

2β-hydroxytrichoacorenol (117)

HO

0

юн

111

rhizoperemophilanes G (108) rhizoperemophilanesH:R1=R2=OH,R3=H(109) rhizoperemophilanes J (111) rhizoperemophilanes I:R1=H,R2+R3=O(110)



rhizoperemophilanes K (112)



rhizoperemophilanes N (115)



cyclonerotriol B (118)





rhizoperemophilanes L(113)



trichoderic acid(116)



3β-hydroxy-β-acorenol(119) HO





ophiobolins R(123)

CH<sub>3</sub>

Figure 9. Cont.







CH<sub>3</sub>

HOI

HO//

CH₃



trichocarotins I:R1=OH,R2=R3=R5=H,R4=CH3(126) trichocarotins J:R1=R3=R5=H,R2=aOH,R4=CH3 (127) ophiobolins T(125) trichocarotinsM:R1=R2=R3=R5=H,R4=CH2OH (128)

ophiobolins S(124)





trichocarotins L(130)





Figure 10. Chemical structures of diterpenoids and derivatives.



Figure 11. Cont.



Figure 11. Chemical structures of terpenoids.

To sum up, Meroterpenoids and their derivatives, which are mainly known for their antifungal properties in most plants species, have been found in endophytic fungi. However, recent studies have also reported anti-oxidative, anti-inflammatory, and anti-cancer activities from these compounds. Therefore, these microorganisms can be used in the development of drugs candidates for human, animal, and other agricultural activities.

#### 2.4. Lactones

Helicascolide F 180 (Figure 13) was obtained from Talaromyces assiutensis JTY2 isolated from Ceriops tagal leaves. The cytotoxic activities of compound 180 against three human cancer cell lines (HeLa, MCF-7, and A549) were tested using an MTT assay, in which compound 180 showed a moderate cytotoxic effect on all tested cell lines, with an  $IC_{50}$ value range of 14.1–38.6 μM [94]. Two β-lactones, polonicin A–B 181–182 (Figure 13), were obtained from the brown rice culture of the endophytic fungus *Penicillium polonicum* in the fruit of Camptotheca acuminata. Compound 181 showed effective glucose uptake activity at a concentration of 30 µg/mL on rat skeletal myoblast cell line L6, which enhanced 1.8-fold compared to that of the control. Compound 182 was used to assess its effect on GLUT4 translocation by using the fluorescent protein, IRAP-mOrange, which is stably expressed in L6 cells. It showed a 2.1-fold increase in fluorescence intensity on L6 cell membranes compared to the untreated controls [95]. The spirodilactone compound chaetocuprum **183** (Figure 13) was obtained from cultures of the endophytic fungus *Chaetomium cupreum* of wild Anemopsis californica from New Mexico, U.S.A. Compound 183 showed a weak antimicrobial activity against S. aureus, with an MIC value of 50  $\mu$ g/mL [96]. A phytotoxic bicyclic lactone, (3aS,6aR)-4,5-dimethyl-3,3a,6,6a-tetrahydro-2H-cyclopenta [b] furan-2one **184** (Figure 13), was obtained from the fermentation broth of *Xylaria curta* 92092022. Compound 184 contained a rare 5/5 rings-fusion system and was tested for antimicrobial activities against four pathogens (Pseudomonas aeruginosa ATCC 15442, Staphylococcus aureus NBRC 13276, Aspergillus clavatus F318a, and Candida albicans ATCC 2019) and the phytotoxicity against lettuce seedlings. Compound 184 showed moderate antimicrobial activities against Pseudomonas aeruginosa ATCC 15442 and Staphylococcus aureus NBRC

13276 at a concentration of 100  $\mu$ g/disk, with inhibitory zone diameters of 13 mm and 12 mm, respectively. At the concentration of 25  $\mu$ g mL  $^{-1}$ , compound 184 showed 50% inhibition on lettuce roots with a root length of 1.6  $\pm$  0.3 cm (3.2  $\pm$  0.5 cm for the control). At a concentration of 200  $\mu$ g mL <sup>-1</sup>, compound **184** strongly inhibited lettuce seed germination, with 90% inhibition [97]. Lasiodiplactone A 185 (Figure 13) was obtained from the mangrove endophytic fungus Lasiodiplodia theobromae ZJ-HQ1 and contained a unique tetracyclic system (12/6/6/5) of RAL 12 (12-membered  $\beta$ -resorcylic acid lactone) with a pyran ring and a furan ring. Compound 185 showed significant anti-inflammatory activity by inhibiting the LPS-induced NO production in RAW 264.7 cells, with an  $IC_{50}$  value of 23.5  $\mu$ M, which was stronger than the positive control indomethacin (IC<sub>50</sub> = 26.3  $\mu$ M). Additionally, compound 185 showed potent  $\alpha$ -glucosidase inhibition activity, with an IC<sub>50</sub> value of 29.4  $\mu$ M, which was superior to the commonly used clinical drug acarbose (IC<sub>50</sub> = 36.7  $\mu$ M) [98]. (+)-phomalactone 186 (Figure 13), hydroxypestalopyrone 187 (Figure 13), and pestalopyrone 188 (Figure 13) were isolated from the endophytic fungus Aspergillus pseudonomiae J1 cultures and evaluated for in vitro anti-trypanosomal activity against the Trypanosoma cruzi Y strain using an anti-epimastigote assay. Compounds 186–188 showed moderate to weak anti-trypanosomal activities, with IC<sub>50</sub> values of 0.86  $\mu$ M, 88.33  $\mu$ M, and 580.19 µM, respectively [99].



Figure 12. Chemical structures of Meroterpenoids.



Figure 13. Chemical structures of Lactones.

In summary, this review reported that fungal endophytes could produce Lactones and their derivatives through their metabolic activities. In addition, these compounds possessed biological activities, such as antimicrobial, anti-cancer, allelopathic, and antiinflammatory; thus, fungal endophytes that produce these compounds may be utilized in the pharmacological setup as alternatives to plant-derived compounds.

#### 2.5. Anthraquinones, Quinones, and Related Glycosides

6,8-di-O-methylbipolarin 189 (Figure 14), aversin 190 (Figure 14), and 6,8-di-Omethylaverufin 191 (Figure 14) were obtained from rice cultures of the marine red algae endophytic fungus Acremonium vitellinum from Qingdao, China. Compounds 189-191 showed moderate insecticidal activities against the third-instar larvae of *Helicoverpa* armigera, with LC<sub>50</sub> values of 0.72 mg/mL, 0.78 mg/mL, and 0.87 mg/mL, respectively. (The  $LC_{50}$  value for the positive control, matrine, was 0.29 mg/mL.) Additionally, the molecular mechanism of the insecticidal activity of compound 191 was investigated based on transcriptome sequencing. The identification of 5,732 differentially expressed genes was performed, of which 2,904 genes were downregulated and 2,828 genes were upregulated. The upregulated genes were primarily involved in cell autophagy, apoptosis, DNA mismatch repair, and replication [100]. A new quinone, identified as 1,3-dihydroxy-4-(1,3,4-trihydroxybutan-2-yl)-8-methoxy-9H-xanthen-9-one 192 (Figure 14), was obtained from *Phomopsis* sp. isolated from the rhizome of *Paris polyphyllavar*. in Yunnan, China. Compound 192 showed significant cytotoxic activities against A549 and PC3 cell lines, with IC<sub>50</sub> values of 5.8  $\mu$ M and 3.6  $\mu$ M, respectively [101]. The anthraquinone derivative eurorubrin 193 (Figure 14) was obtained from the ethyl acetate extract of the endophytic fungus Eurotium cristatum EN-220 of the seaweed Sargassum thunbergii and tested for its antimicrobial activities against three tested pathogens (E. coli, Physalospora obtuse, and Valsa mali), including its fatal activity against brine shrimp larvae. Compound 193 only showed a weak antimicrobial activity against *E. coli*, with an MIC value of  $64 \mu g/mL$ . At the

concentration of 10  $\mu$ g/mL, compound **193** showed moderate fatal activity against brine shrimp larvae, with a fatality rate of 41.4% [102]. Isorhodoptilometrin-1-methyl ether **194** (Figure 14), emodin **195** (Figure 14), and 1-methyl emodin **196** (Figure 14) were obtained from cultures of the endophytic fungus *Aspergillus versicolor* of the red seaweed *Halimeda opuntia*. Compounds **194–196** were evaluated for their inhibiting activities against the hepatitis C virus NS3/4A protease, where Compounds **195–196** showed weak inhibition activities, with IC<sub>50</sub> values ranging from 22.5 to 40.2  $\mu$ g/mL [103]. The quinone altersolanol A **197** (Figure 14) was isolated from the endophytic fungus *Stemphylium globuliferum* of the medicinal plant *Mentha pulegium* (Lamiaceae). Compound **197** inhibited the proliferation of K562 and A549 cells in a time-dependent, dose-dependent manner and caused apoptosis by cleaving Caspase-3 and Caspase-9 and decreasing anti-apoptotic protein expression [104].



Figure 14. Chemical structure of anthraquinones, quinones, and related glycosides.

Anthraquinones, quinones, and related glycosides are known for their anti-viral and anti-apoptotic activity both in vitro and in vivo. Interestingly, these compounds have been identified and isolated from fungal endophytes by various studies and have similarly shown anti-viral and anti-apoptotic activities. Thus, endophytes that produce these compounds may serve as cheap and environmentally friendly alternative sources for the development of antimicrobial drugs instead to plant sources.

#### 2.6. Steroids

Phomosterols A-B 198-199 (Figure 15) were isolated from the endophytic fungus Phoma sp. SYSU-SK-7 of mangrove plants. Compounds 198-199 had an unusual aromatic B ring skeleton and showed significant inhibition activities against LPS-induced NO production in RAW 264.7 cells, with IC<sub>50</sub> values of 13.5  $\mu$ M and 25.0  $\mu$ M, respectively. Additionally, compounds 198–199 showed potent  $\alpha$ -glucosidase inhibition activities with IC<sub>50</sub> values of 51.2  $\mu$ M and 46.8  $\mu$ M, respectively, exceeding the positive control 1-deoxynojirimycin (IC<sub>50</sub> value of 62.8  $\mu$ M) [105]. The ergosterol derivative fusaristerol A 200 (Figure 15) was obtained from the endophytic fungus Fusarium sp., which was isolated from the root of Mentha longifolia L. This compound showed significant antimicrobial activity against Candida albicans, with an MIC value of 8.3 µg/disc. Additionally, compound 200 showed moderate cytotoxic activity against human colorectal cancer cell line HCT 116, with an IC<sub>50</sub> value of 0.21  $\mu$ M, compared to the positive control adriamycin (IC<sub>50</sub> value of 0.06 μM) [106]. (5,6,15,22E)-6-ethoxy-5,15-dihydroxyergosta-7,22-dien-3-one 201 (Figure 15) and (14,22E)-9,14-dihydroxyergosta-4,7,22-triene-3,6-dione 202 (Figure 15) were isolated from the endophytic fungus Phomopsis sp. of Aconitum carmichaeli in Yunnan, China. Compounds 201–202 were analyzed against six tested pathogenic fungi (Candida albicans, Aspergillus niger, Fusarium avenaceum, Pyricularia oryzae, Hormodendrum compactum, and Trichophyton gypseum) using a broth microdilution assay. Compounds 201-202 showed weak antifungal activities against C. albicans and F. avenaceum, with MIC values ranging from 64 to 128  $\mu$ g/mL [107].



phomosterols A:R=H(198) phomosterols A:R=CH<sub>3</sub>(199)



(5,6,15,22*E*)-6-ethoxy-5,15dihydroxyergosta-7,22-dien-3-one**(201)** 

Fusaristerol A(200)



(14,22*E*)-9,14-dihydroxyergosta -4,7,22-triene-3,6-dione(**202**)

Figure 15. Chemical structures of steroids.

To summarize, endophytic fungi are alternative sources of steroids and their derivatives; thus, they may be harnessed for the production of various drugs since they have shown antimicrobial and anticancer activity in previous studies.

#### 2.7. Other Types of Compounds

Four lignans, terrusnolides A–D **203–206** (Figure 16), were obtained from the endophytic fungus *Aspergillus* sp. isolated from the root of *Tripterygium wilfordii*. Compounds **203–206** showed significant inhibition of LPS-induced IL-1 $\beta$ , TNF- $\alpha$ , and NO

production in RAW264.7 cells, with IC<sub>50</sub> values ranging from 16.21 to 35.23  $\mu$ M, 19.83 to 42.57  $\mu$ M, and 16.78 to 38.15  $\mu$ M, respectively, which were comparable to the positive control indomethacin (IC<sub>50</sub> value of 15.67–21.34  $\mu$ M) [108]. The indene derivative methyl 2-(4-hydroxybenzyl)-1,7-dihydroxy-6-(3-methylbut-2-enyl)-1H-indene-carboxylate 207 (Figure 16) obtained from the endophytic fungus Aspergillus flavipes Y-62 isolated from Suaeda glauca Bunge in Zhoushan, Zhejiang, China, showed weak antimicrobial activities against Pseudomonas aeruginosa, Klebsiella pneumonia, and Staphylococcus aureus, with MIC values ranging from 32 to 128  $\mu$ g/mL [109]. The polychlorinated triphenyl diether simatorone 208 (Figure 16) was isolated from Microsphaeropsis sp. cultures, and its antimicrobial activities against three pathogens (Escherichia coli, Bacillus megaterium, and Microbotryum violaceum) were evaluated using an agar diffusion assay. Compound 208 showed effective antimicrobial activities against B. megaterium and E. coli with inhibitory zone diameters of 14 mm and 18 mm, respectively [110]. Two alkylated furan derivatives—5-(undeca-3',5',7'trien-1'-yl) furan-2-ol 209 (Figure 16) and 5-(undeca-3',5',7'-trien-1'-yl) furan-2-carbonate **210** (Figure 16)—were obtained from the methanol extract of the endophytic fungus *Emeri*cella sp. XL029 isolated from Panax notoginseng leaves in Hebei, China. Compounds 209–210 both showed potent antifungal activities against six tested plant pathogenic fungi (Rhizoctorzia solani, Verticillium dahliae Kleb, Helminthosporium maydis, Fusarium oxysporum, Fusarium tricinctum, and Botryosphaeria dothidea), with MIC values ranging from 25 to 3.1 µg/mL [111]. The new azaphilone, isochromophilone G **211** (Figure 16), was obtained from the endophytic fungus Diaporthe perseae sp. isolated from Pongamia pinnata (L.) Pierre. Compound 211 showed significant DPPH and ABTS radical scavenging activities, with IC<sub>50</sub> values of 7.3 µmol/mL and 1.6 µmol/mL, respectively [112]. The furan derivative, 3-(5-oxo-2,5-dihydrofuran-3-yl) propanoic acid 212 (Figure 16), was obtained from the endophytic fungus Aspergillus tubingensis DS37 isolated from Decaisnea insignis (Griff.) Hook & Thomson, and showed significant inhibition activities against Fusarium graminearum and Streptococcus lactis, with MIC values of 16 µg/mL and 32 µg/mL, respectively [113]. The pyrrolidinone derivative, nigrosporamide A 213 (Figure 16), was isolated from the endophytic fungus Nigrospora sphaerica ZMT05 of Oxya chinensis Thunberg and showed a three-fold higher  $\alpha$ -glucosidase inhibition activity than the positive control acarbose  $(IC_{50} \text{ value of } 446.7 \ \mu\text{M})$  with an IC<sub>50</sub> value of 120.3  $\mu\text{M}$ . Compound **213** has the potential to be a lead compound for the development of  $\alpha$ -glucosidase inhibitors [114]. The production of the terrein derivative asperterrein **214** (Figure 16) was induced by co-culturing endophytic fungi Aspergillus terreus EN-539 and Paecilomyces lilacinus EN-531 of the marine red alga Laurencia okamurai. Compound 214 showed weak antimicrobial activities against *Physalospora piricola* and *Staphylococcus aureus*, with MIC values ranging from 32 to  $64 \,\mu g/mL$ . Additionally, compound **214** was not detected in the sterile cultures of the two fungi alone [115]. The endophytic fungus Lachnum palmae of Przewalskia tangutica was isolated to halogenated dihydroisocoumarins palmaerones A-F 215-220 (Figure 16) under the guidance of UPLC-ESIMS. The antimicrobial activities of all compounds against five tested pathogens (Cryptococcus neoformans, Penicillium sp., Candida albicans, Bacillus subtilis, and Staphylococcus aureus) were evaluated using the broth microdilution method. Compounds 215–220 showed potent to weak antimicrobial activities against all tested pathogens, with MIC values ranging from 10 to 55  $\mu$ g/mL. Additionally, compounds 215 and 219 showed moderate inhibition of LPS-induced NO production in RAW264.7 macrophages, with IC<sub>50</sub> values of 26.3 µM and 38.7 µM, respectively [116].





Over the past few years, plants have been a major source of numerous compounds that possess biological activities; however, this review revealed that most of these compounds were also produced by various endophytes, especially fungi. Therefore, the isolation and development of these compounds as novel drug candidates would be of great importance to the pharmacological industry since endophytes are easy to manage, keep, and work with compared with plants. Thus, we conclude that endophytic fungi may serve as alternative sources of bioactive compounds of pharmacological interest.

All the information about the new compounds have been summarized below in Table 2.

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| Ref.                     |             |  | [36]  |  | . [37]                                  | [38]   |   |                                       | ; [39]   |  |   |               | [40]  |                                       | [11]                         | [41]  | [42]  |                                      | [43]   |                    | [44]                    |                     |  |            |  |  |
|--------------------------|-------------|--|---|--|---|--|---|---------------------------------------|--|--|---|---------------|---|---------------------------------------|------------------------------|---|---|--------------------------------------|--|--------------------|-------------------------|---------------------|--|------------|--|--|
| Pharmacological Activity |             |  | Displayed acceptable antimicrobial activities against <i>Fusarium oxysporum</i> | )  | Mushroom tyrosinase inhibitory activity | Showed antifungal activity (5–6);<br>Exhibited radical scavenging activity | against DPPH; Showed significant<br>antioxidant activity ((5) | Displayed inhibitory effects on HIV-1 | replication in Collop cells ((7-5); 500 wec<br>low to moderate cytotoxic activity (9-10) | Displayed significant antifungal<br>activity (9) |   |               | Exhibited moderate antifungal (vs.<br>Rhodoturula dutinic) softwity and | cytotoxicity against KB3.1 cells (12) | Showed potent antifungal and | cytotoxic activities                        | Displayed significant antifungal against<br>Citherella 2000 |                                      | The protogical activities of confipounds 17–18 were not tested |                    | Weak cytotoxic activity |                     |  |            |  |  |
| Site and Nation          |             | -  | Leizhou Peninsula,<br>China   |  | Mount Hua, China                        |  |   |                                       | Hangzhou, China  | D  |   |               |   |                                       | Unido control andian         | пашап гтоушсе, Сппа                         | Hangzhou, China   |                                      | Dall, Iuffuan Frovince,<br>China                               |                    | Xiahe, China            |                     |  |            |  |  |
| Host Plant               | s Chromones |  | leat ot My <i>oporum</i><br>bontioides  |  | Phlomis umbrosa                         |  |   |                                       | Pestalotiopsis fici W106-1 Camellia sinensis   |  |   | es α-pyrones  | shoot of Quercus  | macranthera                           | Avicennia marina             | branches of Camellia<br>sinensis (Theaceae) | smensis (111eaceae)<br>Paris polyphylla var.<br>uurmanensis | u uns pouppingua vai.<br>yunnanensis |  | Cordyceps sinensis |                         |                     |  |            |  |  |
| Endophytic Fungus        | Polyketide  |  | Botryosphaeria ramosa L29   |  | Phaeosphaeria fuckelii                  | 5  | Спаетотит зеттиант  |                                       |  |  |   | Polyketid     | Neurosnora udaoamae   |                                       | Activity of MA 122           | 701-MINI Jakin shinkladsh                   | Pestalotiopsis fici   |                                      | Aspergillus oryzae   |                    | Penicillium herque      |                     |  |            |  |  |
| Color and Morphology     |             | colorless powder                               |   | wnite powaer                                   | yellow crystals                         | yellow powder  |   | yellow powder                         |  | yellow powder                                    |   | colorless oil |   |                                       |                              | colorless crystals                          | colorless oil   | مماميامه مسملمسم ممرابما             | coloriess allorphous powder                                    | yellow oil         |                         | yellow oil          |  | yellow gum |  |  |
| Molecular Formula        |             | C <sub>12</sub> H <sub>13</sub> O <sub>6</sub> | $C_{14}H_{15}O_{6}$   | C <sub>11</sub> H <sub>11</sub> O <sub>5</sub> | $C_{17}H_{19}N_3O_3S_2$                 | $C_{15}H_{16}O_{7}S$   | $C_{16}H_{18}O_7S$  | C14 H54 OF                            | C +7+ >01 •  | $C_{32}H_{54}O_{6}$                              | C <sub>32</sub> H <sub>54</sub> O <sub>6</sub> Na |               | $C_{11}H1_4O_4$   | $C_{10}H_{10}O_4$                     | ОПУ                          | ℃28 ⊓42 ∪4                                  | $C_{14}H_{22}O_5$   |                                      | $C_{10}H_{14}O_4$  | $C_{11}H_{16}O_4$  |                         | $C_{12}H_{16}O_{5}$ |  |            |  |  |
| Compound                 |             | 1  | 2   | ε  | 4                                       | 3  | 6   | 4                                     | ø  | 6  | 10  |               | 11  | 12                                    | 13                           | 14  | 15  | 17                                   | 18   | 19                 | 20                      | 21                  |  |            |  |  |

Table 2. Brief summary of new compounds.

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|    |   |                               | Polyketides:                           | Other polyketides                  |                         |  |       |
|----|---|-------------------------------|--|------------------------------------|-------------------------|--|-------|
| 22 |   |                               |  |                                    |                         |  |       |
| 23 |   |                               |  |                                    |                         |  |       |
| 24 | C <sub>22</sub> H <sub>35</sub> ClO <sub>7</sub>          |                               |  |                                    |                         | Showed potent anti-angiogenic activity<br>(22); Exhibited inhibition of nitric oxide                     |       |
| 25 |   | white powder                  | Phoma sp. NTOU4195                     | Pterocladiella capillacea          | Taiwan, China           | production in lipopolysaccharide   | [45]  |
| 26 |   |                               |  |                                    |                         | (Ll'5)-stimulated KAW 264./ macrophage<br>cells (24)   |       |
| 27 | C <sub>22</sub> H <sub>34</sub> O <sub>6</sub>            |                               |  |                                    |                         |  |       |
| 28 | C <sub>22</sub> H <sub>26</sub> NO <sub>8</sub>           |                               |  |                                    |                         |  |       |
| 29 | C <sub>24</sub> H <sub>35</sub> NO <sub>5</sub>           | -                             | Simplicillium subtropicum              | fresh bark of                      | c                       | TAZ-1- and a solution of the second  | 1771  |
| 30 | C <sub>24</sub> H <sub>35</sub> NO <sub>6</sub>           | COLOTIESS OIL                 | SPC3                                   | Duguetia staudtii                  | Cameroon                | ννεακ су ισιοχις αςμνιιγ   | [40]  |
| 31 |   |                               |  |                                    |                         |  |       |
| 32 | C12H20O3  | colorless oil                 |  | leaves of the mangrove             |                         | Showed potent antimicrobial((vs.   |       |
| 33 | $C_{12}H_{22}O_4$   | pale yellow powder            | Cladosportum cladosportotdes<br>MA-299 | plant Bruguiera                    | Hainan Island, China    | <i>Escreticitia coli</i> and <i>Staphytococcus aureus</i> )<br>activity and moderate inhibition activity | [47]  |
| 34 | $C_{14}H_{24}O_5$   | pale yellow oil               |  | gymnormizu                         |                         | against acetylcholinesterase (33)  |       |
| 35 | $C_{12}H_{20}O_4$   | colorless crystals            |  |                                    |                         |  |       |
| 36 | $C_{14}H_{20}O_5$   | colorless powder              | Aspergillus fumigatiaffnis             | Tribulus terestris                 |                         | Weak antimicrobial activities  | [48]  |
| 37 | $\mathrm{C}_{14}\mathrm{H}_{12}\mathrm{O}_{6}\mathrm{Na}$ | white amorphous solid         |  |                                    |                         |  |       |
| 38 | $\mathrm{C}_{14}\mathrm{H}_{12}\mathrm{O}_5\mathrm{Na}$   |                               |  |                                    |                         | Exhibited potent antimicrobial activity;   | [01]  |
| 39 | $\mathrm{C}_{14}\mathrm{H}_{12}\mathrm{O}_5\mathrm{Na}$   | white powder                  | Alternaria alternata ZrijGo            | leat of <i>Cercis himensis</i>     | ıvanjung, <b>C</b> nina | buowed significant protective effect<br>against the bacterial blight of rice (37)                        | [49]  |
| 40 | $C_{29}H_{22}O_{12}Na$                                    |                               |  |                                    |                         | )  |       |
| 41 | $C_{24}H_{27}NO_5$  |                               |  |                                    |                         |  |       |
| 42 | $C_{24}H_{26}O_7$   | -                             | Dormonallana and ET121                 | healthy leaf of a Hawaiian         | I tron Emanon           | Showed weak to moderate cytotoxic  | [[[]] |
| 43 | $C_{24}H_{26}O_{7}$                                       | prown solid                   | reyronennen sp. r 1401                 | utugenous plant,<br>Verbena sp.    | Ly UII, FIAIICE         | activity (41–42)   | [nc]  |
| 44 | $C_{18}H_{20}O_5$   |                               |  |                                    |                         |  |       |
| 45 | $C_{29}H_{22}O_9$   | red wine colored lump crystal | Alternaria sp. MG1                     | Vitis quinquangularis              |                         | Showed weak cytotoxicity   | [51]  |
|    |   |                               | Alkaloid                               | s Cytochalasin                     |                         |  |       |
| 46 | $C_{22}H_{32}N_2O_5$                                      |                               |  |                                    |                         | Sionificant inhibitory activity against  |       |
| 47 |   | white amorphous solid         | Phomopsis sp. sh917                    | Fresh stems of <i>L. eriocalyx</i> | Kunming, China          | NO production in LPS-induced   | [52]  |
| 48 | C22H33NU4   |                               |  | V al. 1111/10/14                   |                         | RAW264.7 cells (46)  |       |
|    |   |                               |  |                                    |                         |  |       |

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| Hubei Province, China<br>Guangdong Province,<br>China<br>Zhejiang Province,<br>China<br>Shandong Province,<br>China | Armadillidium vulgare<br>Cyclosorus parasiticus<br>(Thelypteridaceae)<br>Hippophae rhannoides<br>dole alkaloids<br>Leathesia nana | Chaetomium globosum TW1-1<br>Diaporthe sp. SC-J0138<br>Cytospora chrysosperma<br>HYQZ-931<br>Alkaloids Ir<br>Aspergillus sp. YJ191021<br>Aspergillus sp. YJ191021<br>Penicillium chrysogenum<br>Penicillium chrysogenum | white amorphous powder<br>colorless amorphous powder<br>white amorphous solid<br>colorless crystal<br>white amorphous powder<br>brilliant yellowish powder<br>white powder<br>white amorphous powder | 22H <sub>38</sub> N2O5<br>22H <sub>38</sub> N2O6<br>22H <sub>36</sub> N2O4<br>228H <sub>37</sub> NO3<br>228H <sub>37</sub> NO3<br>228H <sub>37</sub> NO4<br>228H <sub>37</sub> NO4<br>228H <sub>37</sub> NO4<br>25H <sub>37</sub> NO4<br>25H <sub>37</sub> NO4<br>25H <sub>37</sub> NO4<br>25H <sub>37</sub> NO4<br>26H <sub>41</sub> NO5<br>26H <sub>41</sub> NO5<br>26H <sub>41</sub> NO5<br>26H <sub>28</sub> N <sub>3</sub> O5<br>H <sub>31</sub> N <sub>3</sub> O6<br>26H <sub>28</sub> N <sub>3</sub> O5<br>26H <sub>28</sub> N <sub>3</sub> O5<br>26H <sub>28</sub> N <sub>3</sub> O5<br>26H <sub>28</sub> N <sub>3</sub> O5<br>26H <sub>28</sub> N <sub>3</sub> O6<br>26H <sub>28</sub> N <sub>3</sub> O6<br>26H <sub>28</sub> N <sub>3</sub> O6<br>26H <sub>31</sub> N <sub>3</sub> O6 |
|---|---|---|--|---|
| Zhejiang Province,<br>China   |   | Aspergillus sp. YJ191021  | brilliant yellowish powder<br>white powder   | 26H28N3O4<br>26H29N3O5<br>H21N3O6Na<br>27H31N3O6Na<br>22H31N3O6<br>28H31N3O6  |
|   | idole alkaloids   | Alkaloids Ir  | -  |   |
|   | Hippophae rhannoides  | суюрога слгузоврегии<br>НҮQZ-931  | <ul> <li>white amorphous powder</li> </ul>   | 2 <sub>26</sub> H <sub>41</sub> NO <sub>5</sub>   |
|   |   | Cutosnora chrusosnerma  | colorless crystal  | C <sub>25</sub> H <sub>37</sub> NO <sub>4</sub>   |
|   |   |   |  | -2811371104   |
|   | 4   |   | I  |   |
| Guangdong Province,<br>China  | Uppresidaceae) (Thelypteridaceae)   | Diaporthe sp. SC-J0138  | white amorphous solid  | C28H37INO   |
| -<br><br>(  | Cumbar communitient   |   | I  |   |
|   |   |   |  | $C_{28}H_{37}NO_{3}$  |
|   |   |   | <ul> <li>colorless amorphous powder</li> </ul>   | 4H42N2O7Na  |
|   |   |   |  |   |
|   |   |   |  | C32H36N2O4  |
|   |   |   | white amorphous powder   | $C_{32}H_{83}N_2O_6$  |
| Hubei Province, China   | Armadillidium vulgare   | Chaetomium globosum TW1-1   |  | $^{32}H_{38}N_2O_5$   |
|   |   |   |  | $^{32}H_{40}N_2O_6$   |
|   |   |   | roman annity annity sear to the  | 32113811206   |
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[53]

Showed potential cytotoxic activities against cancer cell lines (HL-60, A-549, SMMC-7721, MCF-7, and SW-480)

[54]

Showed significant cytotoxic activities against four human cancer cell lines (A549, HeLa, HepG2, and MCF-7) (58); Exhibited selective cytotoxic activity (59–62)

[55]

Exhibited significant antibacterial activity (63,65)

[56]

Exhibited moderate antibacterial activity (66); Displayed notable anti-inflammatory; Exhibited notable

cytotoxicity (66–69)

[58]

Showed potent cytotoxic activity against Ralstonia solanacearum

[57]

Exhibited moderate antibacterial effects

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| Table 2. |
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| oillus lentulus. | A sneroillus lentulus | yellowish powder<br>Asperoillus lentulus |
|------------------|-----------------------|--|
| ×г               | DT                    | white powder DT                          |
|                  | 4                     | \$                                       |
| shha             | Phaeospha             | white solid powder Phaeospha             |
| ces              | Paecilomyces          | colorless oil Paecilomyces               |
|                  | ,                     |  |
|                  |                       | yellow powder                            |
|                  |                       | white powder                             |
|                  | Asnero                | Acnore                                   |
| <u>v</u>         | Risder                | yellow powder                            |
|                  |                       |  |
|                  |                       | white powder                             |
|                  |                       | colorless crystals                       |
|                  |                       | yellowish solid                          |
| 4                | Penicillium           | colorless crystals Penicilliun           |
|                  |                       | colorless solid                          |
|                  |                       |  |
|                  |                       |  |
| ш                | Penicillium           | colorless crystals Penicillium           |
|                  |                       |  |
|                  |                       |  |
| n v              | Penicillium v         | colorless needles Penicillium v          |
| роц              | vder Acanthoc         | colorless amorphous powder Acantho       |
|                  |                       |  |

| 57                          |                                    |  |   |  |   |         |
|-----------------------------|------------------------------------|--|---|--|---|---------|
| IO3                         | colorless needles crystal          |  |   |  | Showed moderate to weak antimicrobial   |         |
| NO <sub>3</sub>             |                                    | Penicilium sumatrense<br>GZWMIZ-313                    | leaf of Garcinia multiflora                       | Guizhou, China   | activities against <i>Staphylococcus aureus,</i><br><i>Pseudomonas arrucinosa</i> , and                                 | [67]    |
| JO <sub>3</sub>             |                                    |  |   |  | Escherichia coli  |         |
| $O_5N$                      | white powder                       | Diaporthe sp. SYSUHO3                                  | fresh branch of the<br>manorove plant Eveneration |  | Showed potent inhibition activity   | [68]    |
| D <sub>5</sub> N            | J                                  |  | agallocha   |  | protein-tyrosine phosphatase B  | [00]    |
|                             |                                    | Terpenoids Sesquiterpe                                 | enoids and their derivatives                      |  |   |         |
| D <sub>3</sub> Na           |                                    |  |   |  |   |         |
| 3 <b>0</b> 3                | colorless oil                      |  |   |  |   |         |
| O4Na                        | colorless amorphous solid          |  |   |  |   |         |
| $O_3Na$                     |                                    |  |   |  | Exhibited high selective cytotoxicity   |         |
| $O_3Na$                     |                                    | Rhizopycnis vagum Nitaf22                              | Nicotiana tabacum                                 |  | lines (115); Showed strong phytotoxic   | [20]    |
| $NaO_3$                     | colorless oil                      |  |   |  | activities against radicle growth in rice   |         |
| $_{1}O_{4}$                 |                                    |  |   |  | seedings (100–107, 113–114)   |         |
| 5O4                         |                                    |  |   |  |   |         |
| D <sub>3</sub> Na           |                                    |  |   |  |   |         |
| 9O5                         | colorless amorphous solid          |  |   |  |   |         |
| 3 <b>0</b> 5                | brown amorphous solid              |  |   |  |   |         |
| D4Na                        | yellowish oil                      |  |   |  | Exhibited high selective cytotoxicity   |         |
| NO4                         | greenish-yellow amorphous<br>solid | Rhizopycnis vagum Nitaf22<br>Rhizopycnis vagum Nitaf22 | Nicotiana tabacum<br>Nicotiana tabacum            |  | against NCJ-H1650 and BGC825 cell<br>lines (115); Showed strong phytotoxic<br>activities against radicle growth in rice | [20]    |
| NO4                         | light-yellowish amorphous<br>solid |  |   |  | seedlings (106–107, 113–114)  |         |
| <sup>2</sup> O <sub>3</sub> |                                    | Tuichedanne en DD 25                                   | healthy stem of                                   |  | Showed moderate to weak antimicrobial   | 1071    |
| 6O2                         | COLOFIESS OIL                      | ununun ap. r. n.                                       | Paeonia delavayi                                  | типпап гтоуппсе, сппа  | activities against <i>Eschertchia colt</i> and<br>Shigella sonnei   | [60]    |
| $O_3Na$                     |                                    | Eucominum muliforections A E OA                        |   | T and the second s | Displayed weak antimicrobial against  | [ 121 ] |
| $O_2Na$                     | COLOFIESS OIL                      | FO-IN munipalitoid mutusen I                           |   | Latiziou, Ciuta  | baciuus suonus, Ciostrianum perfringens, E.<br>coli, and MRSA   | [17]    |
| $_{0}$ O <sub>5</sub>       | colorless oil                      | Aspergillus sydowii EN-434                             | Symphyocladialatiuscula                           | Qingdao Province,<br>China   | Exhibited radical scavenging<br>activity against DPPH   | [72]    |
|                             |                                    |  |   |  |   |         |

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| Table |

|                            |   | [73]  |  |                     |                    |                            | [74]  |  |                      |                 | [75]   | [76]   |                                      | [77]  |                     | [02]  | [0/]   | [62]   | [80]   | [81]                                 |
|----------------------------|---|---|--|---------------------|--------------------|----------------------------|---|--|----------------------|-----------------|--|--|--------------------------------------|---|---------------------|---|--|--|--|--------------------------------------|
| -<br>-<br>-<br>-<br>-<br>- | Showed moderate antimicrobial activities against <i>B, subtilis</i> and | multi-drug-resistant S. aureus (121–125);         | Exhibited high selective cytotoxicity<br>against the HepG2 cell line (125) |                     |                    |                            | Snowed significant anumerobial<br>activities against <i>E. coli</i> | )  |                      |                 | Showed significant anti-inflammatory<br>effects through the inhibition of<br>NO production | Showed excellent inhibitory effects on<br>the production of IL-1β and IL-18;<br>Effects on the NF-κB signaling pathway | Exhibited anti-inflammatory activity | against the production of IL-1b and IL-6<br>induced by linonolysaccharide (1 PS) in | macrophages         | Showed effective antifungal antifungal activities against <i>Gaeumannomyces</i> | gramms, rusarum soum, and ryrcuura<br>oryza (136); Showed weak nematicidal<br>activities | Exhibited selective cytotoxicity                                   | Demonstrated cytotoxic activities<br>against the HL-60 tumor cell line | Bioactivity tests were not performed |
|                            |   | Yunnan Province, China                            |  |                     |                    |                            | Hubei Province, China   |  |                      |                 | Hainan Province, China   |  |                                      |   |                     | V   |  | Chiricahua Mountains<br>of southeastern<br>Arizona, USA            | Yandang, Zhejiang<br>Province, China                                   | Jiande, Zhejiang, China              |
|                            |   |   |  |                     |                    | fresh inner root tissue of | the grown medicinal herb<br>Artemisia argui H. Lév. and             | Vaniot   |                      | ls Diterpenoids | healthy leaves of<br>Kandelia candel   | seed of Illigera rhodantha   |                                      | seed of Illigera rhodantha  |                     | Chinese Herbal Medicine   | Huperzia serrata   | photosynthetic tissue of<br>the moss<br><i>Ceratodon purpureus</i> | Fresh, healthy stems of<br>Clerodendrum canescens                      | Cephalotaxus fortunei                |
|                            |   | Ulocladium sp.                                    |  |                     |                    |                            | Trichoderma virens QA-8   |  |                      | Terpenoid       | Diaporthe sp. QYM12  | Phomopsis sp. S12  |                                      | Phomopsis sp. S12   |                     | COLU and characteristics  | coz 1 .ds m landsoftna   | Smardaea sp. AZ0432  | Pestalotiopsisadusta   | Trichoderma atroviride S361          |
|                            |   | amorphous powder                                  |  |                     | colorless crystals | amorphous powder           | -   | colorless oil                                  | colorless waxy solid |                 | colorless oil  | colorless crystals   | colorless needle crystal             | -   | colorless oil       | colorless needles   | colorless solid  | white amorphous solid  | yellowish needles  | colorless needles                    |
|                            | C25 II 37 U4  | C <sub>26</sub> H <sub>38</sub> O <sub>4</sub> Na | $C_{26}H_{40}O_5Na$  | $C_{25}H_{34}O_3Na$ |                    | $C_{15}H_{26}O_{3}$        |   | C <sub>15</sub> H <sub>24</sub> O <sub>2</sub> | $C_{15}H_{26}O_3$    |                 | C <sub>20</sub> H <sub>32</sub> O <sub>6</sub> Na  | $C_{21}H_{28}O_{6}$  | $C_{20}H_{26}O_5$                    | $C_{20}H_{28}O_4$   | $C_{20}H_{26}O_{6}$ | $C_{16}H_{20}O_{5}$   | $C_{16}H_{20}O_{6}$  | C <sub>20</sub> H <sub>28</sub> O <sub>6</sub>                     | $C_{20}H_{16}O_5$  | $C_{20}H_{34}O_2$                    |
| 121                        | 122   | 123   | 124  | 125                 | 126                | 127                        | 128   | 129  | 130                  |                 | 131  | 132  | 133                                  | 134   | 135                 | 136   | 137  | 138  | 139  | 140                                  |

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| Showed moderate cytotoxic activities<br>against seven human tumor cell lines<br>(NCI-H460, MDA-MB-231, HeLa, MCF-7, | SMMC-7721, HepG2, and SW1990) |                 | Showed moderate to weak antifungal | activities against <i>Candida albicans</i> and | Canataa parapsotosis | Displayed effective antimicrobial activities against <i>S. aureus</i> and <i>B. subtili</i> | Showed weak cytotoxic activity (148) |                        |  |  |  | -  | Exhibited moderate in vitro cytotoxic<br>activities |  |  |                   |  | Weak inhibition activity against the | HIV-1 integrase (159)      |                     | Showed significant antileishmanial |                   | Displayed potent cytotoxic activity | potent antileishmanial activities against <i>L. donovani</i> promastigotes |  |
|---|-------------------------------|-----------------|------------------------------------|--|----------------------|---|--------------------------------------|------------------------|--|--|--|--|---|--|--|-------------------|--|--------------------------------------|----------------------------|---------------------|------------------------------------|-------------------|-------------------------------------|--|--|
| Qingdao Province,<br>China  |                               |                 |                                    |  |                      | Qingdao Province,<br>China  | Fuijan Province, China               |                        |  |  |  |  | Yunnan Province, China                              |  |  |                   |  |                                      |                            |                     | Saudi Arabia                       |                   |                                     | Saudi Arabia   |  |
| unidentified marine<br>brown algal species of the<br>genus Sargassum  | 0                             | s Triterpenoids |                                    | Eucalyptus grandis                             |                      | pedicel of the Chinese<br>medicinal plant<br>Mahonia fortunei                               | stem of mason pine                   |                        |  |  |  |  | healthy branches of<br>Kadsura angustifolia         |  |  |                   |  | Artemisia annua                      |                            |                     | roots of Mentha longifolia         |                   |                                     | roots of Mentha longifolia   |  |
| Aspergillus wentii EN-48  |                               | Terpenoid       |                                    | Scleroderma UFSMSc1                            |                      | Acremonium pilosum F47  | Glomerella sp. F00244                |                        |  |  |  |  | Pentcilitum sp.<br>SWUKD4.1850                      |  |  |                   |  | Huvoxulon sp. 6269                   |                            |                     | Fusarium sp.                       |                   |                                     | Fusarium sp.   |  |
| colorless needles   |                               |                 |                                    | colorless solid                                |                      | white powder  | yellow amorphous powder              | white amorphous powder | yellowish needle crystals                      | white needle crystals                          | bilos sucodamo ano otidas                      |  | white amorphous powder                              | white powder                                   | vellow amorphous solid                         |                   | white amorphous powder                         | white amorphous powder               |                            |                     | white amorphous powder             |                   | colorless powder                    | white amorphous powder   |  |
| $\begin{array}{c} C_{16}H_{16}O_5\\ C_{16}H_{16}O_5\end{array}$   | $C_{16}H_{24}O_5$             |                 |                                    | $C_{30}H_{48}O$                                |                      | $C_{29}H_{46}O_5$   | $C_{30}H_{50}O_{6}$                  | $C_{31}H_{52}O_{6}$    | $\mathrm{C}_{30}\mathrm{H}_{40}\mathrm{O}_{6}$ | $\mathrm{C}_{30}\mathrm{H}_{40}\mathrm{O}_{6}$ | $\mathrm{C}_{30}\mathrm{H}_{40}\mathrm{O}_{6}$ | $\mathrm{C}_{30}\mathrm{H}_{41}\mathrm{O}_{6}$ | $C_{32}H_{44}O_7$                                   | $\mathrm{C}_{30}\mathrm{H}_{42}\mathrm{O}_{6}$ | $\mathrm{C}_{34}\mathrm{H}_{46}\mathrm{O}_{8}$ | $C_{31}H_{44}O_6$ | $\mathrm{C}_{30}\mathrm{H}_{46}\mathrm{O}_{6}$ | $C_{32}H_{50}O_5$                    | $\mathrm{C_{29}H_{44}O_4}$ | $C_{36}H_{55}O_{7}$ | $C_{32}H_{51}O^5$                  | $C_{39}H_{55}O_7$ | $C_{34}H_{53}O_{6}$                 | C <sub>42</sub> H <sub>68</sub> O <sub>7</sub>                             |  |
| 141<br>142  | 143                           |                 | 144                                | 145  | 146                  | 147   | 148                                  | 149                    | 150  | 151  | 152  | 153  | 154   | 155  | 156  | 157               | 158  | 159                                  | 160                        | 161                 | 162                                | 163               | 164                                 | 165  |  |

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Table 2. Cont.

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|     |   |                            | Terpenoids N  | Meroterpenoids                    |  |   |      |
|-----|---|----------------------------|---|-----------------------------------|--|---|------|
| 166 | $C_{18}H_{26}O_5$                               |                            |   |                                   |  |   |      |
| 167 | $C_{17}H_{22}O_{4}$                             | COLOTIESS CLYSIAI          | 0100 Consider and the A 240   | Medicinal Plant                   | Luoru Mountain<br>Natural Reservation, | Showed weak cytotoxic activities against  | LOOI |
| 168 | $C_{18}H_{28}O_5$                               | no barron o bistorio       | - Guignarum mungijerae A340   | Smilax glabra                     | Guangdong                              | MCF-7 cell lines(167,169)   | [96] |
| 169 | $C_{17}H_{24}O_4$                               |                            |   |                                   | l'rovince, unina                       |   |      |
| 170 | C <sub>25</sub> H <sub>30</sub> O <sub>5</sub>  | colorless amorphous powder |   |                                   |  |   |      |
| 171 | C <sub>27</sub> H <sub>34</sub> O <sub>6</sub>  | white powder               |   |                                   |  | :<br>:<br>-<br>-<br>5   |      |
| 172 | C <sub>26</sub> H <sub>32</sub> O <sub>6</sub>  | colorless crystals         |   | root of the plant                 | the Shennongija areas of               | Showed moderate cytotoxic activities<br>against five human tumor cell lines   | 1001 |
| 173 | C <sub>25</sub> H <sub>30</sub> O <sub>6</sub>  | colorless crystals         | - Emericeua sp. 1)29  | Hypericum perforatum              | Hubei Province, China                  | (HL-60, SMMC7721, A549, MCF-7, and  | [16] |
| 174 | C <sub>25</sub> H <sub>32</sub> O <sub>6</sub>  | white powder               |   |                                   |  | SW-480) (1/2, 1/3, 1/5)   |      |
| 175 | C <sub>25</sub> H <sub>28</sub> O <sub>6</sub>  | colorless crystals         |   |                                   |  |   |      |
| 176 | C <sub>31</sub> H <sub>35</sub> O <sub>9</sub>  |                            |   |                                   |  | Exhibited moderate antimicrobial  |      |
| 177 | C <sub>31</sub> H <sub>37</sub> O <sub>9</sub>  | yellowish oil              | Phyllosticta sp. J13-2-12Y  | leaf of <i>Acorus tatarinowii</i> | Guangxi Province,                      | activities against Staphylococcus aureus  | [92] |
| 178 | C <sub>31</sub> H <sub>34</sub> O <sub>9</sub>  |                            |   |                                   | Сши                                    | 2021, Canana aureus 2021, anu Canana<br>albicans FIM709   |      |
| 179 | C <sub>27</sub> H <sub>32</sub> O <sub>9</sub>  | white powder               | Co-culture Talaromyces<br>purpurogenus H4 and<br>Phanerochaete sp. H2 | Handroanthus<br>impetiginosus     | Alfenas, Minas Gerais,<br>Brazil.      | Showed moderate trypanocidal activity against <i>T. cruzi</i>   | [93] |
|     |   |                            | Lac   | ctones                            |  |   |      |
| 180 | C <sub>13</sub> H <sub>22</sub> O <sub>3</sub>  | colorless gum              | Talaromyces assiutensis JTY2  | leaf of <i>Ceriops tagal</i>      | South China Sea, China                 | Showed moderate cytotoxic activities<br>against three human cancer cell lines<br>(HeLa, MCF-7, and A549)  | [94] |
| 181 | $C_{21}H_{34}O_5$                               | yellow oil                 | Donicillum nolonicum  | fruits of <i>Camptotheca</i>      | Without China                          | Showed effective glucose uptake activity<br>on rat skeletal muscle myoblast L6 (181);   | [05] |
| 182 | $C_{16}\mathrm{H}_{28}\mathrm{O}_{5}$           | light red oil              |   | <i>acuminata</i> Decne            | wunani, cuma                           | Significantly promoted GLUT4<br>translocation in L6 cells   |      |
| 183 | C <sub>24</sub> H <sub>33</sub> NO <sub>8</sub> | colorless crystal          | Chaetomium cupreum  | Anemopsis californica             | New Mexico, U.S.A.                     | Showed weak antimicrobial activity against <i>S. aureus</i>   | [96] |
| 184 |   |                            | Xylaria curta 92092022  |                                   | Taiwan, China                          | Showed moderate antimicrobial<br>activities against <i>Pseudomonas acruginosa</i><br>and <i>Staphylococcus aureus</i> . Displayed<br>strongly inhibited lettuce<br>seed germination | [67] |

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| Table 2. |

| [86]  |  | [66]   |  |                             |   | [100]  |                       | [101]   | [102]  |                      | [103]  |                   | [104]   |       | [105]  | [001]   | [106]  | [10]F]                            | [107]                                |
|---|--|--|--|-----------------------------|---|--|-----------------------|---|--|----------------------|--|-------------------|---|-------|--|---|--|-----------------------------------|--------------------------------------|
| Exhibited inhibitory effects on<br>lipopolysaccharide-induced nitric oxide<br>production in RAW 264.7 macrophage<br>cells; Showed moderate inhibitory<br>activity against α-glucosidase | CLASSIC 1000 Lands 1000 Lands  | Showed moderate to weak<br>anti-trypanosomal activity                            |  |                             | Showed moderate insecticidal activities | against the third-instar larvae of             | riencoverpa ar-mugera | Showed significant cytotoxic activities against A549 and PC3 cell lines | Showed weak antimicrobial activity against <i>E. coli</i> only; Showed moderate fatal activity against brine shrimp larvae |                      | Weak inhibitory activity against hepatitis<br>C virus NS3 /4A protease |                   | Showed significant inhibition of proliferation of K562 and A549 cells |       | Exhibited inhibitory effects on<br>lipopolysaccharide-induced nitric oxide | production in RAW 264.7 macrophage<br>cells; Showed moderate inhibitory<br>activity against «-glucosidase | Showed moderate cytotoxic activity<br>against human colorectal cancer cell line<br>HCT 116 | Showed weak antifungal activities | against C. albicans and F. avenaceum |
| South China Sea, China  |  | Bahia, Brazil  |  |                             | -<br>č                                  | Qingdao Province,<br>China                     |                       | Yunnan Province, China  | Qingdao Province,<br>China   |                      | South Sinai, Egypt   |                   | Beni Mellal, Morocco  |       | Guangdong Province,  | China   | Egypt  | Huize County, Yunnan              | Province, China                      |
| healthy leaves of the<br>marine mangrove<br>Acanthus ilicifolius  | Condition of the Design of the Condition | <i>Eupnorota umoettata (</i> 1 <sup>°</sup> ax)<br><i>Bruyns</i> (Euphorbiaceae) |  | nes, and related glycosides |   | Acanthus ilicifolius Linn                      |                       | Paris polyphyllavar   | Sargassum thunbergii   |                      | Halimeda opuntia   |                   | healthy stems of <i>Mentha</i><br><i>pulegium</i>                     | roids |  |   | Mentha longifolia  | :                                 | Aconitum carmichaeli                 |
| Lasiodiplodia theobromae<br>ZJ-HQ1  |  | Aspergillus pseudonomiae J1  |  | Anthraquinones, quinor      |   | Acremonium vitellinum                          |                       | Phomopsis sp.   | Eurotium cristatum EN-220  |                      | Aspergillus versicolor   |                   | Stemphylium globuliferum  | Ste   | Phone as SYSU-SK-7   |   | Fusarium sp.   | Phononsis sn.                     |                                      |
| white powder  |  | 1  |  |                             | Brilliant yellowish oil                 | L iles in floor                                | - уеном зона          | yellow amorphous powder   | red amorphous powder   | orange yellow powder | red powder   | orange powder     | red powder  |       | white crystals   | white solid   | white amorphous powder   |                                   |                                      |
| C <sub>24</sub> H <sub>34</sub> O <sub>5</sub>  | $C_8H_{10}O_3$   | $\mathrm{C}_{10}\mathrm{H}_{12}\mathrm{O}_4$                                     | $\mathrm{C}_{10}\mathrm{H}_{12}\mathrm{O}_3$ |                             | $C_{20}H_{19}O_7$                       | $\mathrm{C}_{20}\mathrm{H}_{16}\mathrm{O}_{7}$ | $C_{22}H_{21}O_7$     | $\mathrm{C}_{18}\mathrm{H}_{18}\mathrm{O}_8\mathrm{Na}$                 | $C_{21}H_{20}O_{10}$   | $C_{18}H_{15}O_{6}$  | $\mathrm{C}_{12}\mathrm{H}_{11}\mathrm{O}_4$                           | $C_{16}H_{11}O_5$ | $C_{16}H_{21}O_7$   |       | $C_{27}H_{40}O_3$  | $C_{28}H_{41}O_3$   | $C_{38}H_{64}O_4$  | $C_{28}H_{40}O_4$                 | $C_{30}H_{48}O_4$                    |
| 185   | 186  | 187  | 188  |                             | 189                                     | 190  | 191                   | 192   | 193  | 194                  | 195  | 196               | 197   |       | 198  | 199   | 200  | 201                               | 202                                  |

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Table 2. Cont.

|     |  |                         | Other types  | of compounds   |  |  |       |
|-----|--|-------------------------|--|--|--|--|-------|
| 203 | $C_{20}H_{22}O_{3}$                                      |                         |  |  |  |  |       |
| 204 | $C_{24}H_{26}O_{6}$                                      | депом оп                | A summer to a summer t | root of Tripterygium                                       | Wuhan, China                                   | Showed significant inhibition of   | [001] |
| 205 | C <sub>24</sub> H <sub>26</sub> O <sub>6</sub>           |                         | - Aspergnuus sp.   | wilfordii  |  | LT-3-Induced 1L-1β, 11NF-α, and NO<br>production in RAW 264.7 cells  | [801] |
| 206 | C <sub>23</sub> H <sub>24</sub> O <sub>6</sub>           | - colorless oil         |  |  |  | 4  |       |
| 207 | C <sub>23</sub> H <sub>24</sub> O <sub>5</sub>           | brown powder            | Aspergillus flavipes Y-62  | stems of plant Suaeda<br>glauca Bunge                      | Zhoushan coast,<br>Zhejiang<br>province, China | Exhibited antimicrobial activities against<br>the Gram-negative pathogens<br><i>Pseudomonas aeruginosa</i> and<br><i>Klebsiella pneumoniae</i>         | [109] |
| 208 | $C_{23}H_{21}O_5$  | white powder            | Microsphaeropsis sp.   |  |  | Showed effective antimicrobial activities against <i>B. megaterium</i> and <i>E. coli</i>  | [110] |
| 209 | $C_{15}H_{20}O_2$  | brown amorphous powder  |  |  | Shijiazhuang, Hebei                            | Showed potent antifungal activities<br>against six tested plant pathogenic fungi   | 7     |
| 210 | $C_{16}H_{20}O_4$  |                         | - Emericeita sp. ALU29   | lear or <i>Panax noroginseng</i>                           | province, China                                | (Km-zoctorzta solant, Verticultum dahtae<br>Kleb, Helminthosporium maydis, Fusarium<br>oxysporum, Fusarium tricinctum, and<br>Botryosphaeria dolhidea) | [111] |
| 211 | C <sub>18</sub> H <sub>18</sub> O <sub>6</sub> Cl        | yellow powder           | Diaporthe perseae sp.  | stem of Chinese<br>mangrove<br>Pongamia pinnata            | Hainan city, China                             | Showed significant DPPH and ABTS radical scavenging activities   | [112] |
| 212 | C <sub>7</sub> H <sub>7</sub> O <sub>4</sub>             | colorless flake crystal | Aspergillus tubingensis DS37   | <i>Decaisnea insignis</i> (Griff.)<br>Hook. f. and Thomson |  | Showed significant inhibition activities<br>against Fusarium graminearum and<br>Streptococcus lactis   | [113] |
| 213 | $\mathrm{C}_{13}\mathrm{H}_{15}\mathrm{NO}_4\mathrm{Na}$ | amorphous powder        | Nigrospora sphaerica ZMT05   | Oxya chinensisThunber                                      | Guangdong Province,<br>China.                  | Showed significant <i>œ</i> -glucosidase inhibitory activity   | [114] |
| 214 | $C_9H_{14}O_2$   | colorless oil           | Co-culture Aspergillus<br>terreus EN-539 &<br>Paecilomyces lilacinus EN-531  | Laurencia okamurai   | Qingdao, China                                 | Showed weak antimicrobial activities<br>against <i>Physalospora piricola</i> and<br><i>Staphylococcus aureus</i>                                       | [115] |
| 215 | С., Н., В.О.   |                         |  |  |  | Exhibited potent to weak antimicrobial   |       |
| 216 |  |                         |  |  |  | activities against Cryptococcus neoformans,  |       |
| 217 | $C_{12}H_{13}BrO_4$                                      | white amorphous powder  | Lachnum palmae   | Przewalskia tangutica                                      |  | remenutum sp., Canataa atotcans, bactuus<br>subtilis, and Staphy-lococcus aureus   | [116] |
| 218 | $C_{10}H_9BrO_4$   | -                       | -  | þ  |  | (215–220); Showed moderate inhibitory  |       |
| 219 | $C_{11}H_{11}BrO_5$                                      |                         |  |  |  | LPS-induced RAW 264.7 cells (215,219)  |       |
| 220 | $C_{11}H_{11}CIO_4$                                      |                         |  |  |  |  |       |
|     |  |                         |  |  |  |  |       |

123

# **3.** Future Prospects and Challenges of Using Endophytic Fungi as an Alternative Source of Plant Bioactive Compounds

Endophytic fungi are hidden and subtle dwellers in several plant tissues and intercellular spaces and can produce diverse chemical structures and efficient, low-toxic new secondary metabolites that were initially thought to be produced by the host plants. The current reports on the biosynthesis of plant metabolites by endophytic fungi, in conjunction with recent research advances in fermentation culture, extraction, isolation, and structure identification techniques, permit us to rapidly uncover new valuable compounds. Generally, fungi are chemically diverse, easily cultured, and biologically active modalities that have great flexibility to be regulated by adding precursors, elicitors, and specific enzymes to effectively increase the quantity and yield of bioactive compounds. Table 3 represents the culture conditions and specific bioactive secondary metabolites and yields produced by various endophytic fungi. Endophytic fungi can convert active compounds of the host plant into more potent derivatives. This makes endophytic fungi an alternative and sustainable source of plant bioactive compounds [117,118]. The search for new compounds in endophytic fungi requires specific theories and ingenious bioprospecting strategies. Along with the continuously growing literature reports, the most promising host plants can be selected. It includes the selection of (A) plants from special habitats or growing in biodiversity-rich areas, including mangrove plants in tropical marine intertidal zones, and (B) medicinal and indigenous plants with ethnopharmacological uses, including Camptotheca acuminata and Ageratina adenophora. These selection criteria provide a reference for the current and future screening of host plants for endophytic fungi with new bioactive compounds [119,120]. This review has summarized 220 new compounds obtained between 2011 and 2021 from endophytic fungi using different culture methods, including the common culture, co-culture with bacteria or other fungi, and the addition of metal ions. These new compounds have unique molecular structures, and these rare structures allow these compounds to possess diverse biological activities, including significant antimicrobial and cytotoxic activities and  $\alpha$ -glucosidase inhibition. These compounds have the potential to be modified as pro-drug molecules or directly developed as drugs for treating certain diseases. However, most of the current studies on the activity of new compounds with endophytic fungal sources are limited to in vitro studies; therefore, animal experiments and human intervention clinical trials are needed to further investigate the in vivo activities and mechanisms of action of the new compounds.

Unfortunately, endophytic fungi as new sources of bioactive secondary metabolites encounter various limitations, including the attenuated yield of secondary metabolites due to long-term storage and repeated passages under laboratory culture conditions, silencing of biosynthetic gene clusters or low level of expression (activation of gene clusters depends on environmental factors). Thus, the ability of endophytic fungi to produce new compounds of interest has been underestimated [129]. The expression could be upregulated by physicochemical and genetic manipulation techniques to increase the production of specific metabolites in endophytic fungi and to produce analogs of new active secondary metabolites. Methods including the OSMAC strategy (activation of silent biosynthetic gene clusters mediated by changes in medium composition, temperature, and aeration efficiency to produce desired metabolites), co-culture (mimicking natural ecosystems and triggering silent gene clusters to promote metabolite secretion and enhance bioactive metabolite production by microbial interaction-induced stress responses), and chemical epigenetic modification methods have been used to isolate new compounds. It was found that the addition of micromolar or even nanomolar small-molecule chemicals to cultures inhibits or activates relevant enzymes and remodels the fungal epigenome to increase the diversity of its secondary metabolites, including DNA methyltransferases (DNMTs) and histone deacetylase inhibitors (HDACs) [130,131]. The addition of epigenetic modifiers (5 µM SAHA and 10  $\mu$ M AZA) to the endophytic fungus *Xylaria psidii* isolated from leaves of Vitis vinifera showed elevated resveratrol concentrations of 52.32 µg/mL and 48.94 µg/mL, respectively, by HPLC analysis (control concentration was  $35.43 \mu g/mL$ ). The treatments

with 5  $\mu$ M SAHA and 10  $\mu$ M AZA showed stronger antioxidant activity with 30.92% and 33.82% DPPH radical scavenging, respectively, compared to the wild strain (19.26%) [132]. Unlike the chemical epigenetic modification methods reported, introducing exogenous substances as precursors into the cultures, including methyl jasmonate, causes the production of new compounds containing their structural units [133]. However, the addition of host plant components to the culture to induce the production of new compounds has rarely been reported. Additionally, it is necessary to elucidate the pathways by which endophytic fungi biosynthesize secondary metabolites, including the enzymes and genes involved via "omics" techniques—genomics, transcriptomics, and metabolomics—in regulating and manipulating the biosynthetic process to increase the number of new compounds [134].

| No. | Endophytic Fungus                       | Host Plant                     | Culture Conditions   | Secondary Metabolites | Yield   | Ref.  |
|-----|---|--------------------------------|--|-----------------------|---|-------|
| 1   | Hansfordia biophila                     | Hedychium acuminatum<br>Roscoe | Inoculated in potato glucose<br>broth (PDB) medium and<br>shaken at 120 rpm at 25 °C<br>for 7 days.  | Tannin                | 41.6 $\mu$ m·mL <sup>-1</sup>                                   | [121] |
| 2   | Aspergillus terreus                     | Ficus elastica                 | Inoculated into PDB medium<br>and incubated at 30 °C for<br>20 days on a rotatory shaker<br>incubator at 140 rpm.                                | Camptothecin          | 320 μg/L  | [122] |
| 3   | Guignardia mangiferae<br>HAA11          | Taxus x media                  | Inoculated into (PDB)<br>medium and incubated at<br>200 rpm at 28 °C for 5 days.   | Paclitaxel            | 720 ng/L  | [123] |
| 4   | Papulasora sp.S6                        | Phellodendron amurense<br>Rupr | Mutagenesis by UV, X-ray<br>rays, and NaNO <sub>2</sub> , inoculated<br>in PDB medium, and shaken<br>at 100 rpm at 28 °C for 7 days.             | Berberine             | 12.28 mg/L  | [124] |
| 5   | Actinoplanes<br>teichomyceticus         |                                | Improvement of the output of<br>teicoplanin by genome<br>shuffling; Inoculated<br>teicoplanin medium and<br>cultured at 28 °C for<br>15–20 days. | Teicoplanin           | $3016 \ \mu m \cdot m L^{-1}$                                   | [125] |
| 6   | Phialocephala fortinii                  | Podophyllum peltatum           | Inoculated in Sabouraud's<br>dextrose agar (SDA) and<br>cultured at 23 °C for<br>4–6 weeks.  | Podophyllotoxin       | 189 μg/L  | [126] |
| 7   | Entrophospora<br>infrequens<br>RJMEF001 | Nothapodytes foetida           | Inoculated into wheat bran<br>containing Sabouraud's broth,<br>and incubation was carried<br>out at $28 \pm 2$ °C for 28 days.                   | Camptothecin          | $503 \pm 25 \ \mu g/100 \ g$ dry cell mass (in Sabouraud broth) | [127] |
| 8   | Epicoccum nigrum<br>SZMC 23769          | Hypericum perforatum           | Fungal isolates were grown in potato dextrose broth (PDB) for 7 days at 25 °C.   | Hypericin, Emodin     | 117.1 μg/mL,<br>87.7 μg/mL                                      | [128] |

 
 Table 3. Culture conditions and yields of bioactive secondary metabolites produced by endophytic fungi.

## 4. Conclusions

Pharmaceutical chemists are turning their focus on the development of safe, efficient, and low-toxic new drugs from natural sources. Endophytic fungi may serve as renewable sources of novel bioactive compounds with pharmacological activities, as the number of new compounds to be isolated in the future tends to increase exponentially and rapidly. In addition, numerous studies have also reported that these bioactive compounds isolated from the endophytic fungi are also present in plants and have similar biological activities as the compounds from plant sources. Therefore, we conclude that endophytic fungi may be the best alternative for harnessing pharmacological bioactive compounds for the development of drugs for both human and animal use. Hence, there is a need for the identification of more compounds with pharmacological activity from endophytic fungi and elucidate their mechanisms of action through biological, pharmacodynamic, biochemical, bioinformatics, and pre-clinical approaches.

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# **Review** Sulfur-Containing Compounds from Endophytic Fungi: Sources, Structures and Bioactivities

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**Abstract:** Endophytic fungi have attracted increasing attention as an under-explored source for the discovery and development of structurally and functionally diverse secondary metabolites. These microorganisms colonize their hosts, primarily plants, and demonstrate diverse ecological distribution. Among endophytic fungal natural products, sulfur-containing compounds feature one or more sulfur atoms and possess a range of bioactivities, e.g., cytotoxicity and antimicrobial activities. These natural products mainly belong to the classes of polyketides, nonribosomal peptides, terpenoids, and hybrids. Here, we reviewed the fungal producers, plant sources, chemical structures, and bioactivities of 143 new sulfur-containing compounds that were reported from 1985 to March 2022.

Keywords: sulfur; plant endophyte; endophytic fungi

# 1. Introduction

Sulfur is one of the prime elements on Earth and the eighth most abundant element in the human body. It is a group 6A (or VIA) member of the periodic table, with a larger atomic size and a weaker electronegativity than oxygen. Sulfur has unique characteristics, such as five different oxidation states, and sulfur-containing molecules often participate in biological redox reactions and electron transfer processes. Notably, two essential amino acids, L-methionine and L-cysteine, both contain a sulfur atom, further highlighting the importance and indispensability of sulfur in biology [1]. Indeed, one fifth (20%) of the FDA-approved drugs contain at least one sulfur atom. These sulfur-containing drugs have different structure skeletons such as sulfonamides,  $\beta$ -lactams, thioethers, thiazoles, thiophenes, phenothiazines, sulfoxides, S=C and S=P structures, thionucleotides, sulfones, sulfates and macrocyclic disulfides. Of note, many sulfur-containing drugs are natural products or their derivatives (i.e., rosuvastatin, ecteinascidin 743 and ixabepilone) [2].

Fungi are a major group of microorganisms that produce a broad array of compounds with novel structures and unique bioactivities. One type of fungi colonizes the intercellular and/or intracellular regions of healthy plant tissues at a particular time and has no interference with and causes no pathogenic symptoms to the host [3]. These endophytic microorganisms are an important but less-explored source for the discovery of structurally novel natural products in drug research. This paper reviews new sulfur-containing compounds isolated from endophytic fungi since 1985 (Table 1). Based on their major chemical

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features, these compounds will be categorized into peptides, disulfides, polyketides, hybrids and terpenoids. The fungal strains that producing sulfur-containing compounds, host plants, structure uniqueness and biological activities of these compounds will be discussed (Table 1).



| Compound Structures  | Producing Strain                   | Host Plant etc.                   | Bioactivity  | Reference(s) |
|--|------------------------------------|-----------------------------------|--|--------------|
|  | Penicillium raciborskii<br>(TRT59) | Rhododendron<br>tomentosum        |  | [7]          |
| $ \begin{array}{c} SCH_{3} \\ H \\ H$  |                                    |                                   |  |              |
| $H = 0, R_2 = R_3 = (\alpha)H$ $H = 0, R_2 = R_3 = (\alpha)H$ $H = 0, R_2 = R_3 = (\alpha)H$ $H = 0, R_2 = (\beta)H, R_3 = (\alpha)H, R4 = (\alpha)OH$ $H = 0$ |                                    |                                   |  |              |
| H<br>SCH <sub>3</sub><br>OH<br>OH<br>H<br>OH<br>OH<br>H<br>OH<br>OH  | Epicoccum nigrum                   | Leaves of Lysidice<br>rhodostegia | Inhibition of β-<br>Glucuronidase<br>release (11 and 15) | [8]          |
|  |                                    |                                   |  |              |
| 15 R=H<br>16 R=( $\beta$ )OH<br>R1<br>R2<br>SCH3<br>OH<br>OH<br>OH<br>OH<br>OH<br>OH<br>OH<br>OH<br>OH<br>OH   |                                    |                                   |  |              |
|  |                                    |                                   |  |              |

| Compound Structures   | Producing Strain   | Host Plant etc.                                      | Bioactivity                           | Reference(s) |
|---|--|--|---------------------------------------|--------------|
|   | <i>Tilachlidium</i> sp.<br>(CANU-T988)                                       | Decaying wood<br>sample collected in<br>Christchurch | Cytotoxicity                          | [9]          |
| MeS<br>N<br>H<br>O<br>H<br>O<br>H<br>S<br>Me<br>S<br>Me<br>S<br>Me<br>S<br>Me<br>S<br>Me<br>S<br>Me<br>S<br>Me<br>S   | Aspergillus terreus BCC<br>4651  | Tree hole  | Weak<br>antimycobacterial<br>activity | [10]         |
| $\begin{array}{c} & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & &$ | Chaetomium sp. 88194   | Cymbidium goeringii                                  | Cytotoxicity ( <b>21</b> )            | [11]         |
| $ \begin{array}{c}                                     $  | Penicillium crustosum and<br>Colletotrichum<br>gloeosporioides, respectively | Viguiera robusta                                     |                                       | [12]         |



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| Compound Structures   | Producing Strain                      | Host Plant etc.                   | Bioactivity                                   | Reference(s) |
|---|---------------------------------------|-----------------------------------|---|--------------|
| $\begin{array}{c} \underset{R_{2}}{\overset{HN}{\underset{R_{2}}{}{\underset{NH}{}{\underset{NH}{}{\underset{SCH_{3}}{}{\underset{H}{H$  | TolypocJadium sp.                     | Quercus virginiana<br>Miller      | PAF inhibition ( <b>35</b> )                  | [16]         |
| $\begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \begin{array} \\ \end{array} \\ \end{array}$ | Penicillium janthinellum<br>HDN13-309 | Root of Sonneratia<br>caseolaris  | Cytoprotective ( <b>38</b><br>and <b>39</b> ) | [17]         |
| 40  | Phoma lingam isolate Leroy            | Rapeseed                          |   | [18]         |
| $ \begin{array}{c}                                     $  | Phoma sp. OUCMDZ-1847                 | Mangrove plant<br>Kandelia candel | Cytotoxic ( <b>42</b> )                       | [19]         |
| $HN \qquad HO  HN  HN  HO  HO  HO  HO  HO  HO  HO  HO$  | Penicillium brocae MA-231             | Avicennia marina                  | Antimicrobial ( <b>43</b> )                   | [20]         |

| Compound Structures   | Producing Strain                      | Host Plant etc.  | Bioactivity   | Reference(s) |
|---|---------------------------------------|--|---------------|--------------|
| 45 R=CI, R=OMe<br>46 R=OH, R=OMe<br>47 R=OH, R=H  | Penicillium janthinellum<br>HDN13-309 | Root of Sonneratia<br>caseolaris                                 |               | [21]         |
| $\begin{array}{c} \begin{array}{c} \begin{array}{c} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} $ | Penicillium brocae MA-231             | Fresh tissue of the<br>marine mangrove<br>plant Avicennia marina | Antimicrobial | [22]         |
| 50<br>50<br>6<br>6<br>7<br>50<br>6<br>7<br>7<br>7<br>7<br>7<br>7<br>7<br>7<br>7<br>7<br>7<br>7<br>7   |                                       |  |               |              |

| Compound Structures   | Producing Strain            | Host Plant etc.   | Bioactivity   | Reference(s) |
|---|-----------------------------|---|---|--------------|
| $ \begin{array}{c}                                     $  | Exserohilum holmii          | Dactyloctenium<br>aegyptium   |   | [23]         |
| $H \rightarrow H \rightarrow SCH_{3} \rightarrow OH$ $H \rightarrow H \rightarrow SCH_{3} \rightarrow OH$ $H \rightarrow H \rightarrow H \rightarrow H \rightarrow H$ $H \rightarrow STH_{3} \rightarrow OH$ $H \rightarrow H \rightarrow H \rightarrow H$  | Nigrospora sphaerica        | Germinating fescue<br>seed  |   | [24]         |
| $\begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \\ \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \\ \\ \end{array} $ | Setosphaeria rostrata       | Fresh asymptomatic<br>leaf tissues of the<br>medicinal plant<br><i>Costus speciosus</i> | Inhibiting porcine<br>pancreatic<br>alpha-amylase ( <b>57</b> ) | [25]         |
| $ \begin{array}{c}                                     $  | Aspergillus versicolor 0312 | Stems of Paris<br>polyphylla var.<br>yunnanensis  | Cytotoxic   | [26]         |

| Compound Structures   | Producing Strain                          | Host Plant etc.   | Bioactivity   | Reference(s) |
|---|---|---|---|--------------|
|   | Bionectria sp. Y1085                      | Huperzia serrata  | Antibacterial                                       | [9]          |
| HN HOHN O   | Lasiodiplodia<br>pseudotheobromae         | Apparently normal<br>flower of <i>Illigera<br/>rhodantha</i><br>(Hernandiaceae) |   | [5]          |
| $\begin{array}{c} HO \\ \hline \\ \hline \\ \\ \hline \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ $   | Botryosphaeria mamani                     | Fresh leaves of <i>Bixa</i><br>orellana L. (Bixaceae)                           | Cytotoxic   | [6]          |
| $\begin{array}{c} & & & \\ & & & \\ &$ | –<br>Penicillium raciborskii<br>_ (TRT59) | Rhododendron<br>tomentosum  | Cytotoxic ( <b>64</b> )<br>Antifungal ( <b>64</b> ) | [7]          |





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| Compound Structures  | Producing Strain                      | Host Plant etc.   | Bioactivity                | Reference(s) |
|--|---------------------------------------|---|----------------------------|--------------|
| R OH<br>Sn H<br>Sn H | Penicillium janthinellum<br>HDN13-309 | Root of Sonneratia<br>caseolaris  | Cytotoxic                  | [21]         |
|  | Penicillium brocae MA-231             | Fresh tissue of the<br>marine mangrove<br>plant <i>Avicennia marina</i> | Cytotoxic<br>Antimicrobial | [20]         |
| $\begin{array}{c} \begin{array}{c} \begin{array}{c} CH_{3} \\ N \\ S_{X} \\ H \\ $   | Gliocladium roseum 1A                 | Submerged wood  | Nematicidal                | [32]         |
| N S N<br>O<br>H<br>O<br>H<br>O<br>H<br>O<br>H<br>O<br>H  | Chaetomium sp. M336                   | Huperzia serrata Trev   | Cytotoxic<br>Antibacterial | [33]         |





| Compound Structures  | Producing Strain           | Host Plant etc.   | Bioactivity               | Reference(s) |
|--|----------------------------|---|---------------------------|--------------|
|  | Aspergillus micronesiensis | Phyllanthus glaucus   | Cytotoxic<br>Antibacteria | [42]         |
| OH<br>OH<br>OH<br>OH<br>OH<br>H<br>O<br>H<br>OH<br>H<br>O<br>O<br>H<br>O<br>H<br>O<br>H  | Trichoderma harzianum D13  | Root of mangrove<br>plant <i>Excoecaria</i><br>agallocha Linn |                           | [43]         |
| $ \begin{array}{c} & & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ $  | Aspergillus sydowii        | Livewort Scapania<br>ciliata S. Lac                           |                           | [44]         |
| $ \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} \\ \end{array} $ } \\ \end{array} \\ \end{array}  } \\ \end{array}  } \\ \end{array}  } \\ \end{array}  } \\ \end{array} \\ \end{array}  } \\ } \\ \end{array}  } \\ \end{array}  } \\  } \\  } \\  } \\  } \\  } \\  } \\  } \\  } \\ \end{array}  } \\  } \\  } \\  } \\  } \\  } \\  } \\  } \\  }  } \\  }  } \\  }  }  }  }  }  }  }  }  }  } | Pseudopestalotiopsis theae | Leaves of Caloncoba<br>welwitschii                            |                           | [45]         |

| Compound Structures  | Producing Strain   | Host Plant etc.                                    | Bioactivity  | Reference(s) |
|--|--|--|--|--------------|
| $S \xrightarrow{0} (-)_{10}$ $S \xrightarrow{0} (-)$ | <i>Sphaceloma</i> sp. LN-15                                  | Leaves of Melia<br>azedarach L.                    |  | [46]         |
|  | Paraphaeosphaeria neglecta<br>FT462                          | Lycopodiella cernua                                |  | [36]         |
|  | Neosartorya udagawae<br>HDN13-313                            | Root of the mangrove plant <i>Aricennia marina</i> | Decreasing the lipid<br>accumulation elicited<br>by oleic acid           | [47]         |
| $ \begin{array}{c}                                     $   | Neosartorya udagawae<br>HDN13-313                            | Root of the mangrove plant <i>Avicennia marina</i> |  | [47]         |
| HO (f)   | Penicillium crustosum PRB-2<br>and Xylaria sp.<br>HDN13-249. | Root of Sonneratia<br>caseolaris                   | Antibacterial  | [48]         |
| $\begin{array}{c} & & & \\ R_{2}O & & & \\ R_{2}O & & & \\ \end{array} \\ \begin{array}{c} & & \\ R_{2}O & & \\ \end{array} \\ \begin{array}{c} & & \\ R_{2}=H, R_{2}=H, R_{3}=SO_{3}H \\ \hline & & \\ 124 R_{1}=H, R_{2}=SO_{3}H, R_{3}=CH_{3} \end{array} \\ \end{array}$   | Alternaria sp.   | Polygonum senegalense<br>Meisn.<br>(Polygonaceae)  | Cytotoxic ( <b>123</b> )<br>Inhibiting protein<br>kinases ( <b>123</b> ) | [49]         |

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| Compound Structures  | Producing Strain                     | Host Plant etc.   | Bioactivity                         | Reference(s) |
|--|--------------------------------------|---|-------------------------------------|--------------|
| $\begin{array}{c} R_{2} & O & OH \\ R_{1}O & & \\ & & \\ R_{1}O & & \\ & & \\ R_{1}=SO_{3}Na^{+}, R_{2}=H \\ 126 R_{1}=SO_{3}Na^{+}, R_{2}=OH \end{array}$   | Ampelomyces sp.                      | Urospermum picroides  |                                     | [50]         |
| H OSO <sub>3</sub> H   | Pestalotiopsis sp. AcBC2             | Aegiceras corniculatum  |                                     | [51]         |
| $\begin{array}{c} 0 \\ R_{10} \\ \hline \\ R_{10} \\ \hline \\ COOR_{3} \\ \end{array} \\ \begin{array}{c} 128 \\ R_{1} = R_{2} = R_{3} = H, \\ 129 \\ R_{1} = R_{2} = R_{3} = CH_{3}, \\ R_{4} = SO_{3}H \\ 129 \\ R_{1} = R_{2} = R_{3} = CH_{3}, \\ R_{4} = SO_{3}H \\ \end{array} $  | MF6046                               | Surface-sterilized<br>leaves of <i>Berberis</i><br>oregana<br>(Berberidaceae) | Inhibiting FPTase<br>( <b>128</b> ) | [52]         |
| H <sub>3</sub> CO O SO <sub>3</sub> H  | <i>Fusarium</i> sp.<br>(CTGU-ZL-34). | Davidia involucrata   | Cytotoxic                           | [53]         |
| H <sub>3</sub> C<br>H <sub>3</sub> C<br>H <sub>1</sub> S<br>H <sub>2</sub> S<br>H <sub>3</sub> C<br>H <sub>3</sub> O<br>H <sub>3</sub> S<br>H <sub>3</sub> S | Pestalotiopsis sp. HS30              | Isodon xerophilus   | Antitumor                           | [54]         |
| о о о о о о о о о о о о о о о о о о о  | Phomopsis glabrae                    | Leaves of <i>Pongamia</i><br><i>pinnata</i> (family<br>Fabaceae)              | Anticancer                          | [55]         |
|  | Ascochyta sp. AJ 117309              | Raw leaf of Taxus<br>cuspidata var. nana<br>Rehd                              | Cytotoxic                           | [56]         |
| H<br>N<br>N<br>N<br>N<br>O<br>N<br>O<br>H<br>SH<br>135   | Fusarium chlamydosporium             | Leaves of <i>Anvillea</i><br>garcinia (Burm.f.) DC.<br>(Asteraceae)           | Cytotoxic<br>Antimicrobial          | [57]         |

| Compound Structures   | Producing Strain                      | Host Plant etc.  | Bioactivity                              | Reference(s) |
|---|---------------------------------------|--|--|--------------|
| $H \rightarrow H \rightarrow$ | Fusarium chlamydosporium              | <i>Anvillea garcinii</i><br>(Burm.f.) DC. leaves       | Antibacterial<br>Antifungal<br>Cytotoxic | [58]         |
| I37   | <i>Leptosphaeria</i> sp. XL026        | Panax notoginseng                                      | Antifungal<br>Antibacterial              | [59]         |
| $H = \begin{pmatrix} 0 \\ 2 \\ - \\ - \\ - \\ - \\ - \\ - \\ - \\ - \\ -$   | S49                                   | Bark of <i>Cephalotaxus</i><br><i>hainanensis</i> tree |  | [60]         |
| обо <sub>3</sub> н<br>, , , , , , , , , , , , , , , , , , ,   | <i>Xylaria</i> sp. YM 311647          | Azadirachta indica                                     | Antifungal                               | [61]         |
| O OH<br>N O<br>142  | Colletotrichum<br>gloeosporioides A12 | Aquilaria sinensis                                     |  | [62]         |
| $HN \qquad S \qquad HN \qquad HN \qquad HN \qquad HN \qquad HN \qquad HN \qquad H$  | Emericella Sp                         | Azadirachta indica                                     | Anticandidal                             | [63]         |

## 2. Peptides

## 2.1. Sulfide (R-S-R')

A rare diketopiperazine bionectin D (1) (Figure 1) was obtained from a fungal strain *Bionectria* sp. Y1085 that was isolated from the plant *Huperzia serrata*. Bionectin D (1) consists of a tryptophan and a threonine moiety, and the  $\alpha$ -carbon of its tryptophan moiety carries a single methylthio substitution. Compound 1 exhibited antibacterial activity against *Staphylococcus aureus, Escherichia coli*, and *Salmonella typhimurium* ATCC 6539 with the same minimal inhibitory concentration (MIC) of 25 µg/mL [4]. Lasiodiplines A-C (2–4) and E-F (5–6) are new sulfureous diketopiperazines that were produced by *Lasiodiplodia pseudotheobromae* F2 isolated from the apparently normal flower of *Illigera rhodantha*. The structure elucidation of these compounds was accomplished using a combination of spectroscopic and computational approaches, and the structure of **2** was further confirmed in conjunction with low-temperature (100 K) single-crystal X-ray diffraction. Lasiodiplines E (**5**) displayed antibacterial activity against *Veillonella parvula*, *Actinmyces israelili*, *Streptococcus* sp., *Bacteroides vulgates* and *Peptostreptococcus* sp. with the MIC values of 0.25, 32.0, 0.12, 0.12 and 0.12 µg/mL, respectively [5].

Botryosulfuranols A and B (7-8), two spirocyclic thiodiketopiperazines, were purified from Botryosphaeria mamani. The fungal strain was isolated from the fresh leaves of Bixa orellana L. (Bixaceae) collected in Peru. These two unique compounds, each of which contains two spiro centers, were derived from two L-phenylalanines with two methylthio substitutions at the  $\alpha$ -carbon and  $\beta$ -carbon of the two building blocks, respectively. Botryosulfuranols A (7) was active against four cancer cell lines (HT-29, HepG2, Caco-2, HeLa) with IC<sub>50</sub> values of 8.0, 11.4, 18.2, 23.5 and 9.3  $\mu$ M, respectively. Botryosulfuranols B (8) was active against three cancer cell lines (HT-29, HepG2, HeLa) with the  $IC_{50}$  values of 63.2, 56.1, 61.2, 49.9 and 64.7  $\mu$ M, respectively [6]. Outovirin A (9) was a thiodiketopiperazine derived from two molecules of L-phenylalanine. It was produced by Penicillium raciborskii, an endophytic fungus isolated from Rhododendron tomentosum [7]. Compound 9 contains a nitrogen-oxygen bond in the oxazinane ring between diketopiperazine and conduritol-like rings, and it has a sulfide bridge between the  $\alpha$ - and  $\beta$ -carbons rather than the typical  $\alpha - \alpha$  bridging. Nine new thiodiketopiperazines, epicoccin I (10), ent-epicoccin G (11), and epicoccins J-P (12–18), have been isolated from the endophytic fungus Epicoccum nigrum. Compounds 10, 17, and 18 all have a sulfide bridge between the  $\alpha$ -carbon and the 2'/3'-position of the reduced benzene ring. Ent-epicoccin G (11) and epicoccins M (15) showed potent in vitro activities against the release of  $\beta$ -glucuronidase in rat polymorphonuclear leukocytes induced by the platelet-activating factor, with  $IC_{50}$ values of 3.07 and 4.16 µM, respectively [8].

*Tilachlidium* sp. (CANU-T988) isolated from a decaying wood sample was reported to produce T988 B (**19**). Compound **19** has an unusual dimerized indole moiety with a 3-3 linkage, and it displayed potent cytotoxicity against P388 leukemia cells with an IC<sub>50</sub> of 2.18  $\mu$ M [9]. Bisdethiobis(methylsulfanyl)apoaranotin (**20**) was produced by *Aspergillus terreus* BCC 4651, which was isolated from a tree hole in Nam Nao National Park, Thailand. Compound **20** was derived from two molecules of L-phenylalanine with one benzene ring being oxidized to a 4,5-dihydrooxepine ring. Compound **20** exhibited weak antimycobacterial activity [10]. Chaetocochin G (**21**), oidioperazine E (**22**), and chetoseminudin E (**23**) were obtained from *Chaetomium* sp 88194, which was isolated from *Cymbidium goeringii*, a plant native to China, Japan and Korea. Chaetocochin G (**21**) is a dimer of serine-tryptophan diketopiperazines. Its structure including the absolute configuration was established by spectroscopic data interpretation and single-crystal X-ray diffraction analysis. Chaetocochin G (**21**) showed cytotoxicity against MCF-7 [11]. Fusaperazine E (**24**) and colletopiperazine (**25**) were obtained from *Penicillium crustosum* and *Colletotrichum gloeosporioides*, respectively. Both strains were isolated from *Viguiera robusta* Gardn. (Asteraceae). [12].









R<sub>1</sub>

**11**  $R_1=R_4=0$ ,  $R_2=R_3=(\beta)H$  **12**  $R_1=R_4=(\alpha)OH$ ,  $R_2=R_3=(\alpha)H$ **13**  $R_1=0$ ,  $R_2=(\beta)H$ ,  $R_3=(\alpha)H$ ,  $R4=(\alpha)OH$ 



 $\begin{array}{l} \textbf{2} \ \textbf{R}_1 \text{=} \textbf{Me}, \ \textbf{R}_2 \text{=} \textbf{SMe}, \ \textbf{X} \text{=} \textbf{H}, \beta \text{-} \textbf{SMe} \\ \textbf{3} \ \textbf{R}_1 \text{=} \textbf{SMe}, \ \textbf{R}_2 \text{=} \textbf{Me}, \ \textbf{X} \text{=} \textbf{H}, \beta \text{-} \textbf{SMe} \\ \textbf{4} \ \textbf{R}_1 \text{=} \textbf{Me}, \ \textbf{R}_2 \text{=} \textbf{SMe}, \ \textbf{X} \text{=} \textbf{O} \end{array}$ 







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**17** R<sub>1</sub>==O, R<sub>2</sub>=(β)H **18** R<sub>1</sub>=(α)OH, R<sub>2</sub>=(α)H

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Figure 1. Structures of compounds 1–33.

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Chetoseminudin F and G (**26–27**) were purified from *Chaetomium* sp. SYP-F7950, which was isolated from the root of *Panax notoginseng* collected from Wenshan, Yunnan, P. R. China. Chetoseminudin F (**26**) displayed cytotoxicity against MDA-MB-231 with an IC<sub>50</sub> of 26.49  $\mu$ M [13]. Four thiodiketopiperazines penicibrocazines F–I (**28–31**) were purified from *Penicillium brocae* MA-231, which was isolated from the fresh tissue of the marine mangrove plant *Avicennia marina* collected at Hainan Island, P. R. China. Penicibrocazines H (**30**) displayed activity against *V. harveyi*, *E. coli*, *A. hydrophilia* and *V. parahaemolyticus* with MICs of 16.0, 16.0, 32.0, and 16.0  $\mu$ g/mL, respectively. Penicibrocazines I (**31**) displayed activity against *V. harveyi* with an MIC of 32.0  $\mu$ g/mL [14].

Two new compounds 6-octenoic acid, 3-hydroxy-2,4,6-trimethyl-5-oxo-, (5S,5aS,7aR,8R, 14aR)-5,5a,7a,8,14a,15-hexahydro-8,12-dihydroxy-7a,14a-bis(methylthio)-7,14-dioxo-7H,14H-oxepino[3",4":4',5']pyrrolo[1',2':4,5]pyrazino[1,2-a]indol-5-yl ester (6E) (**32**) and bisdethio-bis(methylthio)deacetylapoaranotin (**33**) were purified from the seed fungus *Menisporopsis theobromae* BCC3975. Compound **32** is a hybrid of diketopiperazine and polyketide. Both compounds showed antimycobacterial activity with MICs of 1.24 and 7.14  $\mu$ M, respectively. Compound **32** displayed cytotoxicity against NCI-H187 cell line and antimalarial activity with IC<sub>50</sub> of 20.3 and 2.95  $\mu$ M, respectively [15].

Two new compounds, Sch 54794 (34) and Sch 54796 (35) (Figure 2), were separated from the fermentation culture of *ToJypocJadium* sp. The microorganism *ToJypocJadium* sp. was isolated from dead twigs from a *Quercus virginiana* Miller, an old live oak tree in the state of Tamalupas, Mexico. The structures of Sch 54794 (34) and Sch 54796 (35) were determined as *cis* and *trans* isomers in the spectroscopic analysis. The *trans* isomer, which was similar to other diketopiperazines reported as platelet-activating factor (PAF) inhibitors in the literature, displayed weak inhibitory activity in PAF assay with an IC<sub>50</sub> of 50  $\mu$ M. However, the *cis* isomer appeared inactive (IC<sub>50</sub> > 100  $\mu$ M) [16].

Four new dioxopiperazine alkaloids, penispirozines A–D (**36–39**), were produced by *Penicillium janthinellum* HDN13-309, which was isolated from the root of the mangrove plant *Sonneratia caseolaris*. Penispirozine A (**36**) contains an unusual pyrazino[1,2]oxazadecaline coupled with a thiophane ring system, and compound **37** possesses a 6/5/6/5/6 pentacyclic ring system with two rare spirocyclic centers. Penispirozines C (**38**) and penispirozines D (**39**) increased the expression of superoxide dismutase 2 (SOD2) and heme oxygenase-1 (HO-1) at 10  $\mu$ M [17]. A fermentation broth of *Phoma lingam* isolate Leroy obtained from rapeseeds generated a new compound sirodesmin H (**40**) [18]. The octahydrocyclopenta[*b*]pyrrole moiety in **40** might be derived from L-phenylalanine, which reacted with an isoprenyl group (C<sub>5</sub>) to form a spiro-furanone system. Two new thiodiketopiperazines phomazines A (**41**) and B (**42**) were purified from *Phoma* sp. OUCMDZ-1847, which was isolated from the mangrove plant *Kandelia candel* at Wenchang, Hainan, P. R. China. Compound **42** displayed inhibitory activity against MGC-803 cells with an IC<sub>50</sub> of 8.5  $\mu$ M [19].

Two new pentacyclic diketopiperazines spirobrocazines A (43) and B (44) were obtained from Penicillium brocae MA-231, which was derived from the marine mangrove plant Avicennia marina [20]. Compound 43 exhibited moderate antibacterial activities against *Escherichia coli, S. aureus* and *Vibrio harveyi* with MIC values of 32.0, 16.0 and 64.0 μg/mL, respectively. Three new epipolythiodioxopiperazines, penicisulfuranols D–F (45–47), were isolated from a marine mangrove plant, Sonneratia caseolaris-derived Penicillium janthinellum HDN13-309 [21]. The piperazine-2,5-dione core in each of these compounds (45-47) was flanked by a 1,2-oxazadecaline moiety and a *spiro*-benzofuran ring. Compounds 45–47 were tested inactive against HeLa and HL-60 cell lines. Five pentacyclic diketopiperazines, penicibrocazines A–E (48–52), were obtained from *Penicillium brocae* MA-231, a fungus obtained from the fresh tissue of the marine mangrove plant Avicennia marina. In the antimicrobial screening, penicibrocazine B (49), penicibrocazine C (50) and penicibrocazine D (51) showed activity against Staphylococcus aureus, with MIC values of 32.0,  $0.25, 8.0 \,\mu g/mL$ , respectively, which are comparable with that of the positive control, chloromycetin (MIC =  $4.0 \,\mu\text{g/mL}$ ). Penicibrocazines C (50) also showed activity against *Micrococcus luteus* with an MIC of  $0.25 \,\mu$ g/mL, which is stronger than that of the positive

control, chloromycetin (MIC =  $2.0 \ \mu g/mL$ ). Moreover, penicibrocazines B (**49**) and D (**51**) exhibited activity against the plant pathogen *Gaeumannomyces graminis* with MIC values of 0.25 and 8.0  $\mu g/mL$ , respectively, while the positive control amphotericin B has an MIC of 16.0  $\mu g/mL$  [22].



Figure 2. Structures of compounds 34–59.

The chemical investigation of a culture of *Exserohilum holmii*, a pathogenic fungus of the weedy plant *Dactyloctenium aegyptium*, yielded two linearly fused pentacyclic diketopiperazines exserohilone (**53**) and 9,10-Dihydroexserohilon (**54**) [23]. The fermentation of *Nigrospora sphaerica*, which was isolated from a germinating fescue seed, on shredded wheat medium generated a novel pentacyclic diketopiperazine, epoxyexserohilone (**55**), a congener of the known phytotoxin, exserohilone [24]. The investigation of *Setosphaeria rostrata* led to the discovery of three pentacyclic diketopiperazines, rostratazines A-C (**56–58**).

The fungal strain was isolated from the fresh leaf tissues of the medicinal plant *C. speciosus* collected from Colombo, Sri Lanka. Rostratazine B (**57**) inhibited porcine pancreatic alpha-amylase activity with an IC<sub>50</sub> of 578  $\mu$ M [25]. A pentacyclic diketopiperazine with a 4,5-dihydrooxepine moiety versicolor A (**59**) was isolated from *Aspergillus versicolor* 0312. The fungal strain was isolated from the stems of *Paris polyphylla var. yunnanensis* collected in Kunming, Yunnan Province, P. R. China. Compound **59** displayed cytotoxicity against the contraction of the MOLT-4 cell line with an IC<sub>50</sub> of 29.6  $\mu$ M [26].

#### 2.2. Disulfide (R-S-S-R') and Multisulfide (R- $S_n$ -S-R', n = 3 or More)

Bionectin E (**60**) (Figure 3) was obtained from *Bionectria* sp. Y1085, which was isolated from *Huperzia serrata*. Similar to compound **19** (T988 B) [9], compound **60** has an indole moiety attached to the tryptophan-derived 1,2,3,3a,8,8a-hexahydropyrrolo[2,3-*b*]indole. Interestingly, the other amino acid in the  $\alpha - \alpha'$ -bridged disulfide diketopiperazine is a dehydroxylated threonine. Compound **60** showed antibacterial activity against *E. coli*, *S. saureus* and *Salmonella typhimurium* with the same MIC value of 12.5 µg/mL [1]. Derived from the apparently normal flower of *Illigera rhodantha*, *Lasiodiplodia pseudotheobromae* F2 produced Lasiodipline D (**61**) [5]. The  $\alpha$  position of the alanine moiety in compound **61** was connected to the  $\beta$  position of the tryptophan moiety via a disulfide bond. Botryosulfuranol C (**62**) was obtained from the same fungal strain *Botryosphaeria mamani* as compounds 7 (botryosulfuranols A) and **8** (botryosulfuranols B), but it has an  $\alpha$ - $\beta$ -bridged disulfide bond instead of the sulfide bond in **7** and **8**. Botryosulfuranol C (**62**) showed cytotoxicity against HepG2, HT29, Hela, IEC6 and Vero with IC<sub>50</sub> values ranging from 15.9 to 115.7 µM [6].

Two new epithiodiketopiperazine natural products, outovirins B (**63**) and C (**64**), resembling the antifungal natural product gliovirin have been identified in an extract of *Penicillium raciborskii*, an endophytic fungus isolated from *Rhododendron tomentosum* [7]. Compounds **63** and **64** were almost identical to compound **9** (outovirins A) except for an  $\alpha$ -β-bridged disulfide and a trisulfide bond in compounds **63** and **64**, respectively. Compound **64** inhibited the growth of all tested fungal isolates (*Fusarium oxysporum, Botrytis cinerea*, and *Verticillium dahliae*) at a low concentration of 0.38 mM (207 µg/mL), but a more significant growth inhibition was observed at 0.76 mM (413 µg/mL). Compound **64** was the most active against *Botrytis cinerea* (57% inhibition) and slightly less effective against *Verticillium dahliae* (45% inhibition). Four new pentacyclic thiodiketopiperazines, epicoccins Q-T (**65–68**), were discovered from the same fungal strain, *Epicoccum nigrum*, as compounds **10–18**. Epicoccins S (**67**) showed activity against the release of β-glucuronidase with an IC<sub>50</sub> of 4.95 µM [8].

Secoemestrin D (69), a new epitetrathiodioxopiperizine, was obtained from Emericella sp. AST0036, a fungal endophyte of *Astragalus lentiginosus*. Compound **69** contains an  $\alpha - \alpha$ bridged tetrasulfide bond. A benzoic acid moiety was attached to the 4,5-dihydrooxepine ring. Secoemestrin D (69) exhibited potent cytotoxic activity against a panel of seven cancer cell lines with IC50 values ranging from 0.06 to 0.24 µM [27]. Tilachlidium sp. (CANU-T988), a fungal strain isolated from a decaying wood sample collected in Christchurch, New Zealand, produced two new thiodiketopiperazine derivatives, T988 A (70) and C (71), which have an indole ring connected to the 1,2,3,3a,8,8a-hexahydropyrrolo[2,3-b]indole, structurally similar to compounds **19** and **60**. Compound **71** has an  $\alpha - \alpha$ -bridged disulfide bond, while compound 70 has an  $\alpha - \alpha$ -bridged trisulfide bond. Compounds 70 and 71 displayed cytotoxicity against P388 with IC<sub>50</sub> values of 0.25 and 0.56  $\mu$ M, respectively [9]. Pretrichodermamide A (72) was obtained from Trichoderma sp. BCC 5926, which was collected on a bamboo leaf from Khao Yai National Park, Nakhon Ratchasima Province, Thailand. Under alkaline conditions, compound 72 with an  $\alpha - \beta$ -bridged disulfide bond underwent a rapid transformation to a stable amide, which is composed of a 1,2-oxazadecaline moiety and a coumarin derivative. Compound 72 exhibited antibacterial activity against Mycobacterium *tuberculosis* H37Ra with an MIC of 12.5  $\mu$ g/mL [28]. A new epidithiodiketopiperazine, pretrichodermamide G (73), was afforded by Trichoderma harzianum associated with the



medicinal plant *Zingiber officinale* [29]. Although compound **73** is quite similar to compound **72**, no chemical transformation under alkaline conditions was reported.

Figure 3. Structures of compounds 60–92.

The investigation of *Aspergillus tamarii* FR02 led to the isolation of a new cyclic pentapeptide, disulfide cyclo-(Leu-Val-Ile-Cys-Cys), named malformin E (74). *A. tamarii* FR02 was isolated from the root of *Ficus carica*. Malformin E (74) exhibited cytotoxic activities against MCF-7, A549 and HepG2 with IC<sub>50</sub> values of 0.65, 2.42 and 36.02  $\mu$ M, respectively. Malformin E (74) also showed antimicrobial and antifungal activities against *Bacillus sub-* tilis, Staphylococcus aureus, Pseudomonas aeruginosa, Escherichia coli, Penicillium chrysogenum, Candida albicans and Fusarium solani with MIC values ranging from 0.45 to 7.24  $\mu$ M [30].

Six pentacyclic diketopiperazines, brocazines A-F (**75–80**), were discovered from *Penicillium brocae* MA-231, a fungus obtained from the fresh tissue of the marine mangrove plant *Avicennia marina*. Brocazines A (**75**), B (**76**), E (**79**) and F (**80**) were cytotoxic to a panel of nine tumor cell lines with IC<sub>50</sub> values ranging from 0.89 to 9.0  $\mu$ M. [31]. A culture of *Phoma* sp. OUCMDZ-1847 afforded one new phomazine C (**81**), which should be biogenetically generated from the same precursor as compounds **41** and **42** [19]. *Penicillium janthinellum* HDN13-309 produced epipolythiodioxopiperazines, penicisulfuranols A–C (**82–84**), together with compounds **45–47**. Compounds **82–84** exhibited cytotoxicity against HeLa and HL-60 with IC<sub>50</sub> of 0.1–3.9  $\mu$ M [21].

Brocazine G (85), a new diketopiperazine, along with compounds 43 and 44 was obtained from *Penicillium brocae* MA-231 associated with the fresh tissue of the marine mangrove plant *Avicennia marina*. It showed cytotoxicity against A2780 with an IC<sub>50</sub> of 59  $\mu$ M. Brocazine G (85) also showed inhibitory activity against *E. coli*, *Aeromonas hydrophilia* and *V. harveyi* with the same MIC of 32.0  $\mu$ g/mL [20]. Five new epipolysulfanyldioxopiperazines, gliocladines A–E (86–90), were isolated from *Gliocladium roseum* 1A, a fungal strain isolated from submerged wood collected from fresh water in Yunnan Province, P. R. China. Both compounds 86 and 87 are dimers with each monomer being derived from L-alanine and L-tryptophan, while each of compounds 88–90 is a diketopiperazine with an indole ring connected to the 1,2,3,3a,8,8a-hexahydropyrrolo[2,3-*b*]indole. These compounds exhibited nematicidal activities toward *C. elegans*, *P. redivivus* and *B. xylophilus* with ED<sub>50</sub> values ranging from 25 to 250  $\mu$ g/mL [32].

An analog of compounds **86** and **87**, 6-Formamide-chetomin (**91**), was obtained from a culture of *Chaetomium* sp. M336, isolated from the plant *H. serrata* (Thunb. ex Murray) Trev. Compound **91** was cytotoxic to HeLa, SGC-7901 and A549 cells with IC<sub>50</sub> values of 21.6–27.1  $\mu$ M. It exhibited activity against *Escherichia coli*, *Staphylococcus aureus*, *Salmonella typhimurium* ATCC 6539 and *Enterococcus faecalis* with the same MIC of 0.78  $\mu$ g/mL [33].

#### 2.3. Sulfoxide (R-SO-R') and Sulfone (R-SO<sub>2</sub>-R')

An indole alkaloid with a rare methylsulfonyl unit, 21-*Epi*-taichunamide D (**92**), was obtained from *Aspergillus versicolor* F210 (*Lycoris radiata*). The strain was isolated from the bulbs of *Lycoris radiata* collected from Yichang City in Hubei Province, P. R. China. Compound **92** inhibited anticancer activity toward HL-60 and A549 cells with IC<sub>50</sub> values of 26.8 and 32.5  $\mu$ M, respectively [34].

## 3. Polyketides

#### 3.1. Sulfide

A new cytotoxic compound, isocochlioquinones D (93) (Figure 4), was purified from *Bipolaris sorokiniana* A606. The endophytic fungus was isolated from the medicinal plant *Pogostemon cablin*, also known as patchouli or "Guanghuoxiang" in traditional Chinese medicine (TCM) [35]. Isocochlioquinones D (93) is a hybrid of a polyketide and a sesquiterpenoid with a rare benzothiazin-3-one moiety. Compound 93 demonstrated antiproliferative activity toward SF-268, MCF-7, NCI-H460 and HepG-2 with IC<sub>50</sub> values of 32.8, 28.3, 42.6 and 38.6  $\mu$ M, respectively.

*Paraphaeosphaeria neglecta* FT462 yielded paraphaeosphaerides E (94), F (95), H (96) and methyl ester of paraphaeosphaeride F (97) [36]. *P. neglecta* FT462 was isolated from the Hawaiian plant *Lycopodiella cernua*, synonym *Palhinhaea cernua* (Lycopodiaceae). Paraphaeosphaeride E (94) was active against *E. coli* JW2496 at 20 µg/mL. Paraphaeosphaeride E (94) inhibited nuclear factor kappa B (NF-κB) with an IC<sub>50</sub> of 7.1 µM. Paraphaeosphaerides E (94) and F (95) also showed inducible nitric oxide synthase (iNOS) with IC<sub>50</sub> values of 47.9 and 43.2 µM, respectively. Paraphaeosphaeride A (98) with the unique 4-pyranone-γlactam-1,4-thiazine moiety was obtained from *P. neglecta* FT462 [37].



Figure 4. Structures of compounds 93–116.

The first natural sulfur-containing benzophenone dimer, named guignasulfide (99), was isolated from the culture of *Guignardia* sp. IFB-E028, an endophytic fungus residing in the healthy leaves of *Hopea hainanensis*. Guignasulfide (99) exhibited cytotoxicity against HepG2 with an IC<sub>50</sub> of 5.27  $\mu$ M. It also showed antimicrobial activity against *Helicobacter pylori* with an MIC of 42.9  $\mu$ M [38].

*Cladosporium cladosporioides* MA-299 yielded four 12-membered macrolides, thiocladospolides A-D (**100–103**). *C. cladosporioides* MA-299 is an endophytic fungus obtained from the leaves of the mangrove plant *Bruguiera gymnorrhiza*. Thiocladospolide A (**100**) was active against *E. tarda*, *E. ictarda* and *C. glecosporioides* with MIC values of 1, 8 and 2  $\mu$ g/mL, respectively. Thiocladospolide B (**101**) was active against *C. glecosporioides*, *P. piricola Nose* and *F. oxysporum f. sp.cucumerinum* with MIC values of 2, 32 and 1  $\mu$ g/mL, respectively. Thiocladospolide C (**102**) was active against the same three strains as **101** with MIC values of 1, 32 and 32  $\mu$ g/mL, respectively. Thiocladospolide D (**103**) was active against *E. ictarda*, *C. glecosporioides*, *P. piricola* Nose and *F. oxysporum f. sp.cucumerinum* with MIC values of 1, 1, 32, and 1  $\mu$ g/mL, respectively [39]. The investigation of the mangrove-derived fungus *Cladosporium* sp. SCNU-F0001 afforded a new 12-membered macrolide, thiocladospolide E (**104**) [40]. A mangrove-derived fungus, *Cladosporium oxysporum*, yielded five 12-membered macrolides, thiocladospolides F–J (**105–109**), and they showed a broad spectrum of antimicrobial activity with MIC values ranging from 4 to 32  $\mu$ g/mL [41].

Two cytochalasan analogs, cyschalasins A (**110**) and B (**111**), were obtained from *Aspergillus micronesiensis*, which was isolated from the root of the traditional Chinese medicinal plant *Phyllanthus glaucus* collected from LuShan Mountain, Jiangxi Province, P. R. China. Cyschalasins A (**110**) and B (**111**) exhibited cytotoxicity against HL60, A549, Hep3B, MCF-7 and SW480 with IC<sub>50</sub> values in the range of 3.0 to 19.9  $\mu$ M except for **110**, which was inactive toward A549 at 20  $\mu$ M. Cyschalasins A (**110**) and B (**111**) also demonstrated antimicrobial activity with MIC<sub>50</sub> values ranging from 10.6 to 94.7  $\mu$ g/mL [42].

An amide of a coumarin moiety and L-phenylalanine-derived 1,2-oxazadecaline moiety, trichodermamide G (**112**), was isolated from *Trichoderma harzianum* D13. The fungal strain was isolated from the internal tissues of the root of *Excoecaria agallocha*, distributed in the mangrove regions of various parts of India [43].

Two sulfur-containing xanthones, sydoxanthone A (**113**) and sydoxanthone B (**114**), were purified from *A. sydowii*, occurring in the liverwort *Scapania ciliata* S. Lac. Sydoxanthone B (**114**) was active on the concanavalin A-induced and lipopolysaccharide (LPS)-induced proliferation of mouse splenic lymphocytes with IC<sub>50</sub> of 22.53 and 15.30  $\mu$ g/mL, respectively [44]. Sydoxanthones D (**115**) and E (**116**) were discovered from *Pseudopestalo-tiopsis theae*, which was isolated from the leaves of *Caloncoba welwitschii* [45].

#### 3.2. Disulfide

A new natural compound, a symmetrical disulfide dimer dodecyl 3,3"-dithiodipropionate (117) (Figure 5), was isolated from the ethyl acetate extract of fermentation broth of an endophytic fungus, *Sphaceloma* sp. LN-15. The fungal strain was isolated from the leaves of *Melia azedarach* L., commonly known as the chinaberry tree, pride of India, Persian lilac, and some other names [46]. The structure of 117 was determined by NMR and MS and was further confirmed by chemical synthesis.



Figure 5. Structures of compounds 117–143.

## 3.3. Sulfoxide

LC-UV/MS-based metabolomics analysis of the Hawaiian endophytic fungus *Para-phaeosphaeria neglecta* FT462 led to the identification of unique mercaptolactated  $\gamma$ -pyranol- $\gamma$ -lactams, paraphaeosphaerides G (**118**). The fungal strain was isolated on potato dextrose agar (PDA) medium from a healthy leaf of the Hawaiian indigenous plant *Lycopodiella cernua* (L.) Pic. Serm, which was collected in the Mokuleia Forest Reserve in 2014 [36].

#### 3.4. Sulfones

Two new polyketides modified with a rare methyl sulfonyl group, neosartoryone A (**119**) and 3-methoxy-6-methyl-5-(methylsulfonyl)benzene-1,2,4-triol (**120**), were isolated from *Neosartorya udagawae* HDN13-313 cultivated with the DNA methyltransferase inhibitor 5-azacytidine. *N. udagawae* HDN13-313 was isolated from the root of the mangrove plant *Aricennia marina* [47]. Compound **119** decreased the lipid accumulation elicited by oleic acid at 10 μM.

#### 3.5. Sulfates and Sulfonates

Two new alkyl sulfate-containing aromatic compounds, penixylarins B (**121**) and D (**122**), were isolated from a mixed culture of the Antarctic deep-sea-derived fungus *Penicillium crustosum* PRB-2 and the fungus *Xylaria* sp. HDN13-249 [48]. *Xylaria* sp. HDN13-249 was isolated from the root of *Sonneratia caseolaris* collected from the mangrove conservation area of Hainan, P. R. China. Penixylarins B (**121**) showed weak antibacterial activity against *Bacillus subtilis* with an MIC<sub>50</sub> of 100  $\mu$ M.

Alternariol 5-O-sulfate (**123**) and alternariol 5-O-methyl ether-4'-O-sulfate (**124**) were produced by *Alternaria* sp., which was isolated from fresh healthy leaves of the wild Egyptian medicinal plant *Polygonum senegalense Meisn*. (Polygonaceae) [49]. Alternariol 5-O-sulfate (**123**) was cytotoxic against L5178Y with an EC<sub>50</sub> of 4.5  $\mu$ g/mL. Compound **123** also showed inhibition toward a panel of protein kinases at the micromolar level.

The extracts of cultures grown in liquid or on solid rice media of the fungal endophyte *Ampelomyces* sp. isolated from the medicinal plant *Urospermum picroides* exhibited considerable cytotoxic activity against L5178Y cells. The extract obtained from liquid cultures afforded two sulfated anthraquinones, macrosporin-7-*O*-sulfate (**125**) and 3-*O*methylalaternin-7-*O*-sulfate (**126**) [50]. However, neither compound showed any cytotoxic or antimicrobial activities.

A 2-hydroxyl 6-alkylated benzaldehyde derivative, pestalols E (**127**), was isolated from the endophytic fungus *Pestalotiopsis* sp. AcBC2, which was derived from the Chinese mangrove plant *Aegiceras corniculatum*, commonly known as black mangrove or river mangrove [51].

Oreganic acid (**128**) and its trimethyl esters (**129**) were obtained from the extract of an endophytic fungus MF6046 isolated from living leaves of *Berberis oregano* [49]. Oreganic acid (**128**) is a highly potent and specific farnesyl protein transferase (FPTase) inhibitor ( $IC_{50} = 14 \text{ nM}$ ) [49].

A novel metabolite containing a sulfonate group, fusaodavinvin (**130**), was isolated from an endophytic fungus *Fusarium* sp. (CTGU-ZL-34). The fungal strain was isolated from a healthy plant *Davidia involucrata*. Compound **130** displayed inhibitory activity against A549, HepG2, Caski and MCF-7 cell lines with IC<sub>50</sub> values of 11.5, 15.3, 15.2 and 60.5  $\mu$ g/mL, respectively [53].

#### 4. Hybrids

# 4.1. Sulfides

A fungal strain *Pestalotiopsis* sp. HS30 was isolated from the fresh stems of *Isodon xerophilus* collected at Kunming Botanical Garden, Yunnan Province, P. R. China [54]. Pestaloamides A (**131**) and B (**132**), two novel alkaloids featuring an unprecedented spiro[imidazothiazoledione-alkylidenecyclopentenone] scaffold, were obtained from the cultures of *Pestalotiopsis* sp. HS30. Compounds **131** and **132** were derived from a polyketide and a Phe-Cys dipeptide together with  $C_2$  and  $C_5$  moieties. Both compounds could enhance the cell surface engagement of NKG2D ligands in HCT116 cells at 40  $\mu$ M [54].

#### 4.2. Disulfides

PM181110 (133) was a new depsipeptide obtained from *Phomopsis glabrae*, which was isolated from the leaves of *Pongamia pinnata* (Fabaceae) [55]. Compound 133 was derived from two molecules of L-cysteine and one  $C_{12}$  polyketide. It exhibited potent cytotoxic

activity toward 40 human cancer cell lines at the nanomolar level (mean  $IC_{50} = 89 \text{ nM}$ ) and 24 human tumor xenografts with the mean  $IC_{50}$  of 245 nM [55].

FE399 (134), a dehydroxylated 133, was isolated from *Ascochyta* sp. AJ 117309, an endophytic strain separated from a raw leaf of *Taxus cuspidata var. nana* Rehd. [56]. Compound 134 also demonstrated potent cytotoxic activity against SWS948, K562T, Colon26, CHO-K1 and P388 cells with  $IC_{50}$  values ranging from 75 to 400 ng/mL [56].

#### 4.3. Thiols

*Fusarium chlamydosporium*, an endophytic fungus isolated from the leaves of *Anvillea* garcinii (Burm.f.) DC. (Asteraceae), produced a new benzamide derivative, fusarithioamide A (**135**), which is composed of a 2-aminobenzamide moiety, an L-alanine and a 3-mercaptopropan-1-ol moiety derived from L-cysteine. Compound **135** displayed cytotoxicity against SK-MEL, KB, BT-549 and SKOV-3 cells with IC<sub>50</sub> values of 9.3, 7.7, 0.4 and 0.8  $\mu$ M, respectively. It was also active against *S. aureus*, *B. cereus*, *E. coli*, *P. aeruginosa* and *C. albicans* with MIC values of 4.4, 3.1, 6.9, 100 and 2.6  $\mu$ g/mL, respectively [57].

Fusarithioamide B (136), a new aminobenzamide derivative with an unprecedented carbon skeleton, was separated from an EtOAc extract of *Fusarium chlamydosporium* isolated from *Anvillea garcinii* (Burm.f.) DC. leaves (Asteraceae) [58]. Fusarithioamide B (136) displayed antifungal activity toward *C. albicans* with an MIC of 1.9  $\mu$ g/mL. It also showed high antibacterial activity against *E. coli*, *S. aureus* and *B. cereus* with MIC values of 3.4, 2.9 and 3.9  $\mu$ g/mL, respectively. Compound 136 exhibited cytotoxic activity toward BT-549, MCF-7, HCT-116, SKOV-36, KB and SK-MEL with IC<sub>50</sub> values of 0.09, 0.21, 0.59, 1.23, 6.9 and 11.2  $\mu$ M, respectively [58].

#### 5. Terpenoids

#### 5.1. Sulfide/Thiophene

Leptosphin A (137), a new sesquiterpenoid with a benzo[b]thiophene moiety, was obtained from a culture of Leptosphaeria sp. XL026 isolated from the leaves of *Panax notoginseng* [59]. Leptosphin A (137) displayed antifungal and antibacterial activity with MIC values ranging from 25 to 100  $\mu$ g/mL [59].

## 5.2. Sulfates

An endophytic fungus S49 was isolated from the bark of *Cephalotaxus hainanensis*, known as Hainan plum-yew. S49 afforded two new sesquiterpenoids 1,10,11,12-guaianetetrol (**138**) and 1,10,11,12-guaianetetrol (**139**) [60]. Two new isopimarane diterpenoids, 16-*O*-sulfo-18-norisopimar-7-en-4 $\alpha$ ,16-diol (**140**) and 9-deoxy-hymatoxin A (**141**), were isolated from the culture broth of an endophytic fungus, *Xylaria* sp. YM 311647, obtained from *Azadirachta indica*. Compounds (**140**) and (**141**) were active against *C. albicans* YM 2005, *A. niger* YM 3029, *P. oryzae* YM 3051, *F. avenaceum* YM 3065 and *H. compactum* YM 3077 with MIC values in the range of 32–128 µg/mL, while compound **141** had the same MIC of 16 µg/mL toward *C. albicans* and *P. oryzae* [61].

#### 6. Others

A new thiazole derivative, colletotricole A (**142**), was obtained from *Colletotrichum gloeosporioides* A12, an endophytic fungus derived from *Aquilaria sinensis* [62]. A sulfur-containing anticandidal compound, *N*-[(2*S*,3a*R*,6*S*,7a*S*)-6-acetamido-octahydro-1,3-benzothiazoi-2-yl]2-(adamantan-l-yl) acetamide (**143**), was isolated from *Emericella* sp. from *Azadirachta indica* [63].

## 7. Discussion and Conclusions

From 1985 to March 2022, 143 new sulfur-containing compounds were obtained from endophytic fungi. This review summarized the fungal producers, host plants, chemical structures and biological activities of these fungal metabolites (Table 1). The majority of these compounds (109 out of 143) were reported in 2010, 2014, 2015, 2017, 2019 and 2020 (Figure 6). There was a trend that more sulfur-containing compounds were reported in

recent years except 2021. Only one sulfur-containing compound was reported in 2021, most likely due to the outbreak of COVID-19 in 2020. A total of 24 journals reported these compounds (Figure 7). The *J. Nat. Prod.* has published the highest number of papers (16) that reported sulfur-containing compounds, followed by *Phytochemistry* (8) (Figure 7). This is not unexpected because both *J. Nat. Prod.* and *Phytochemistry* are prominent natural product journals.



**Figure 6.** Annual numbers of sulfur-containing compounds identified from 1985 to 2022. (Keywords: sulfur-containing compound, plant endophytic fungi; Databases: SciFinder, PubMed).



Figure 7. The journal names and numbers for the papers that reported sulfur-containing compounds.

These sulfur-containing compounds demonstrate functional and structural diversity and exhibited many bioactivities. Among the reported biological activities, 42% of these compounds were antimicrobial, while 37% were cytotoxic (Figure 8), which is not surprising because the majority of the FDA-approved antimicrobial and anticancer drugs are either natural products or derived from natural products. For example, Secoemestrin D (69), a diketopiperazine, was very active against a panel of seven cancer cell lines with IC<sub>50</sub> values ranging from 0.06 to 0.24  $\mu$ M [27], while PM181110 (133) [55] and FE399 (134) [56], hybrids of polyketides and peptides, exhibited potent anticancer activity with IC<sub>50</sub> values at the nM level. These compounds also possess other bioactivities. For instance, oreganic acid (**128**), a fatty acid derivative, inhibited FPTase with an  $IC_{50}$  of 14 nM [49]. The majority of sulfur-containing compounds (92) were peptides, followed by polyketides (38), hybrids (6), terpenoids (5) and others (2) (Figure 9). All 92 of these peptides are diketopiperazines, and the sulfur atoms in these molecules are mainly derived from L-cysteine that contains a reactive sulph-hydryl group.



**Figure 8.** The percentages of the biological activity among sulfur-containing compounds from endophytic fungi.



Figure 9. The structural classes of sulfur-containing compounds isolated from endophytic fungi.

## 8. Prospects

Some plants are rich in sulfur, for example, allium vegetables, legumes and cruciferous plants. These plants should be great sources of endophytic fungi that produce sulfur-containing compounds. Large amounts of sulfur are released during volcanic eruptions. Hence, plants in volcanic areas and hot springs might also be excellent sources for endophytic fungi producing sulfur-containing compounds.

Most of the compounds reviewed in this article were tested for their antimicrobial and antiproliferative or anticancer activities. We believe that other biological properties could be identified if fungal metabolites were evaluated in a broader range of biological settings. For example, sinuxylamides A and B were obtained from *Xylaria* sp. FM1005, an endophytic fungus isolated from *Sinularia densa* (leather coral) collected in the offshore region of the Big Island, Hawaii [64]. Sinuxylamides A and B showed no antibacterial activity or cytotoxicity at 40  $\mu$ M, but they strongly inhibited the binding of fibrinogen to purified integrin IIIb/IIa in a dose-dependent manner with IC<sub>50</sub> values of 0.89 and 0.61  $\mu$ M, respectively.

Diketopiperazines are expected to be biosynthetically assembled from two amino acid building blocks by nonribosomal peptide synthetases [65]. On the other hand, the biogenesis of many sulfur-containing compounds remains incompletely understood. For example, the structures of compounds 20 [10], 40 [18], 98 [37], 136 [58], 142 [62] and 143 [63] are unique. It would be interesting to investigate how these molecules are biogenetically synthesized. Presumably, the 4,5-dihydrooxepine ring in 20 is derived from the benzene ring of L-phenylalanine through ring expansion. On the other hand, the spiro[cyclopenta[b]pyrrole-5,2'-furan] moiety in **40** might be formed through the constriction of the benzene ring of L-phenylalanine followed by the merge of the octahydrocyclopenta[b]pyrrole ring with an isoprenyl ( $C_5$ ) group. We previously isolated compound **98** [37]. The precursor of the side chain at the 14-position in compound 98 could be L-cysteine, which is converted to mercaptolactate. The nucleophilic addition of the mercaptolactate thiol to C-14 of paraphaeosphaeride C generates an intermediate that is oxidized to another intermediate. It is also plausible that the second intermediate is generated from mercaptopyruvate and paraphaeosphaeride C. The nitrogen atom in the second intermediate undergoes intramolecular nucleophilic addition to the ketone of the mercaptopyruvate moiety, leading to the formation of the third intermediate. The dehydration of the third intermediate yields the final product 98 [37]. However, the experimental details of the biosynthesis of compound 98 are still not available. Compound 136 is composed of five fragments, including a 2amino benzoic acid moiety, an L-alanine, a 2-amino-2-methylsuccinic acid fragment that might be derived from an isoprenyl group ( $C_5$ ), and L-glycine and L-cysteine-derived 3-mercaptopropanoic acid moieties. Compound 142 carries a 2-hydroxyl propanoic acid ester. The thiazole ring in 142 is probably derived from acetate and L-cysteine, while the linker (-CH<sub>2</sub>-CH<sub>2</sub>-) might be derived from another acetate. It would be interesting to investigate how 142 is synthesized biogenetically. Investigating the biosynthesis of diamond-like compound 143 should be very challenging and interesting. Recent advances in genome mining and synthetic biology offer new opportunities to discover new natural products [66]. It becomes routine to sequence the (meta)genomes of fungal isolates, and capable bioinformatics tools (e.g., antiSMASH fungal version) [67] are increasingly available for identifying potential biosynthetic gene clusters (BGCs) of fungal natural products [68]. These predicted BGCs can suggest new chemotypes, enzymology and bioactivities. Subsequently, native and engineered BGCs can be expressed in multiple synthetic biology chasses, such as Aspergillus nidulans [69] and Saccharomyces cerevisiae [70]. In this regard, biosynthetic research is critical for laying the basis for the genome mining of BGCs of new fungal sulfur-containing compounds with bioactivities, particularly those whose biogenesis remains unclear.

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# Recent Advances in Sorbicillinoids from Fungi and Their Bioactivities (Covering 2016–2021)

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**Abstract:** Sorbicillinoids are a family of hexaketide metabolites with a characteristic sorbyl side chain residue. Sixty-nine sorbicillinoids from fungi, newly identified from 2016 to 2021, are summarized in this review, including their structures and bioactivities. They are classified into monomeric, dimeric, trimeric, and hybrid sorbicillinoids according to their basic structural features, with the main groups comprising both monomeric and dimeric sorbicillinoids. Some of the identified sorbicillinoids have special structures such as ustilobisorbicillinol A, and sorbicillasins A and B. The majority of sorbicillinoids have been reported from fungi genera such as *Acremonium, Penicillium, Trichoderma*, and *Ustilaginoidea*, with some sorbicillinoids exhibiting cytotoxic, antimicrobial, anti-inflammatory, phytotoxic, and  $\alpha$ -glucosidase inhibitory activities. In recent years, marine-derived, extremophilic, plant endophytic, and phytopathogenic fungi have emerged as important resources for diverse sorbicillinoids with unique skeletons. The recently revealed biological activities of sorbicillinoids discovered before 2016 are also described in this review.

**Keywords:** monomeric sorbicillinoids; bisorbicillinoids; trisorbicillinoids; hybrid sorbicillinoids; fungi; occurrence; biological activities

## 1. Introduction

Sorbicillinoids are a family of fungal metabolites related to the hexaketide sorbicillin, and typically contain a sorbyl side chain in the structures with highly oxygenated frameworks [1,2]. According to their structural characteristics and biosynthesis, sorbicillinoids are divided into four groups: monomeric, dimeric, trimeric and hybrid sorbicillinoids [2]. Since sorbicillin (1) was first discovered from *Penicillium notatum* in 1948 [3], about 159 sorbicillinoids have been reported from fungi, especially those from genera *Penicillium* and *Trichoderma*.

Sorbicillinoids have potential pharmaceutical and agrochemical value as antimicrobial, antivirus, and anticancer agents, as well as pigments and food colorants. Sorbicillinoids and their biological activities have been well-reviewed before 2016 [1,2]. In 2011, Harned and Volp reviewed the structures of 62 sorbicillinoids [1]. Successively, 28 additional sorbicillinoids were reviewed by Meng et al. in 2016 [2]. Since then, dozens of new analogues have emerged.

In this mini-review, we focus on the recently identified structures of 69 sorbicillinoids along with their biological activities including newly revealed bioactivities of the sorbicillinoids discovered before 2016, in order to increase the diversity of identified sorbicillinoids as well as to speed up their applications.

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## 2. Occurrence

Sorbicillinoids have a diverse distribution in fungi. In total, 69 sorbicillinoids have been isolated since 2016. They have mainly been found in plant endophytic, marine-derived, extremophilic, phytopathogenic fungi, and soil-derived fungi, mainly from the genera of *Acremonium, Aspergillus, Clonostachys, Penicillium, Ustilaginoidea,* and *Verticillium* [4,5]. All these fungi belong to the ascomycetes. The structures of sorbicillinoids are shown in Figures 1–5.



Figure 2. Cont.







**39**. Epitetrahydrotrichodimer ether 40. Demethyldihydrotrichodimerol



42. 10,11-Dihydrobislongiquinolide







44. Saturnispol A, R=OH 45. Saturnispol B, R=H



- **46**. Trichobisvertinol A, R<sub>1</sub>=H, R<sub>2</sub>=H
- 47. Trichobisvertinol B, R<sub>1</sub>=OH, R<sub>2</sub>=H
- **48**. Trichobisvertinol C, R<sub>1</sub>=OH, R<sub>2</sub>=OH



41. Bisorbicillpyrone A

49. Trichobisvertinol D, 12R 50. 12-epi-Trichobisvertinol D, 12S



51. Trichodermolide B





53. 24-Hydroxy-trichodimerol

Figure 3. Cont.



ΗÓ

52. 13-Hydroxy-trichodermolide



54. 15-Hydroxy-bisvertinol 55. 13-Hydroxy-dihydrotrichodermolide 56. Ustilobisorbicillinol A



**57**. Ustisorbicillinol C, 9*S* **58**. Ustisorbicillinol D, 9*R* 

59. Ustisorbicillinol E

Figure 3. Structures of the bisorbicillinoids (39–59) isolated from fungi.





Figure 4. Structure of the trisorbicillinoid (60) isolated from fungi.



Figure 5. Structures of the hybrid sorbicillinoids (61–73) isolated from fungi.

## 2.1. Monomeric Sorbicillinoids

Sorbicillinoid monomers are the basic units of the sorbyl-containing metabolites catalyzed by polyketide synthases such as SorA and SorB [6]. The initial monomeric sorbicillinoid is sorbicillin (1), which is subsequently converted to dihydrosorbicillin (also called 2',3'-dihydrosorbicillin, (2), sorbicillinol (3), dihydrosorbicillinol (also called 2',3'dihydrosorbicillinol, 4), and other sorbicillinoids (Figure 1) [7]. The biosynthesis of the monomeric sorbicillinoids was revealed mainly based on genome research. An FADdependent monooxygenase encoding gene (*sorbC*) was cloned from *Penicillium chrysogenum* E01-10/3 and expressed as a soluble protein in *Escherichia coli*. The enzyme efficiently performed the oxidative dearomatization of sorbicillin (1) and dihydrosorbicillin (2) to produce sorbicillinol (3) and dihydrosorbicillinol (4), respectively [8].

Since 2016, thirty-four monomeric sorbicillinoids (Figure 2 and Table S1) have been isolated from fungi of the genera *Penicillium*, *Trichoderma*, *Ustilaginoidea*, *Phialocephala*, and *Clonostachys*. 2-deoxysohirnone C (5) was isolated from *Penicillium* sp. GD6 from the mangrove plant *Bruguiera gymnorrhiza* [9], and later isolated from *Penicillium* sp. SCSIO06871 from deep-sea sediment collected from the Indian Ocean [10].

2',3'-dihydro-epoxysorbicillinol (6) was isolated as a new natural compound from *Trichoderma longibrachiatum* SFC100166, which was isolated from foreshore soil [11].

(4*E*)-1-(4,6-dihydroxy-5-methylpyridin-3-yl)hex-4-en-1-one (7) is a nitrogen-containing monomeric sorbicillinoid that was isolated from *Penicillium* sp. DM815 from the rhizosphere soil of a *Hibiscus tiliaceus* mangrove [12].

Four monomeric sorbicillinoids, namely saturnispols E (8), F (9), G (10) and H (11), were isolated from *Trichoderma saturnisporum* DI-IA from the marine sponge *Dictyonella incisa* collected at a depth of 10 m in Seferihisar Bay in Turkey [13]. Saturnispol H (11) is also named 5-demethylustilopyrone A (11), which was later isolated from the rice false smut pathogen *Ustilaginoidea virens* [14].

Both ustilopyrones A (**12**) and B (**13**), with pyrone structures, were isolated from rice false smut pathogen *Ustilaginoidea virens* [14]. Subsequently, ustilopyrone B (**13**) was re-isolated from *Penicillium* sp. SCSIO06871 from deep-sea sediment [10].

Scipyrone K (14), with a 3,4,6-trisubstituted  $\alpha$ -pyrone structure, was isolated from the fungus *Phialocephala* sp. FL30r obtained from a deep seawater sample [15].

Three sorbicillinoids, namely 5-hydroxy-dihydrodemethylsorbicillin (**15**), sorbicillpyrone A (**16**), and 5,6-dehydrovertinolide (**17**), were isolated from *Penicillium* sp. SCSIO06871 from the deep-sea sediment [10].

Twelve monomeric sorbicillinoids including trichosorbicillins B (**18**), C (**19**), and D (**20**); 12-hydroxysorbicillin (**21**); 8,9-dihydro-12-hydroxysorbicillin (**22**); trichosorbicillin E (**23**); isotrichosorbicillin E (**24**); trichosorbicillins F (**25**), G (**26**), and H (**27**); 3-methyltrichosorbicillin H (**28**); and trichosorbicillin I (**29**) were isolated from marine-derived *Trichoderma reesei* 4670 associated with a sponge [16].

Trichoreeseiones A (**30**) and B (**31**) were isolated from an unidentified sponge-derived fungus *Trichoderma reesei* HN-2016-018. Both sorbicillinoids, with a characteristic naphthalene-trione ring, were first reported in the sorbicillinoid family [17].

Trichoreesin A (**32**) was the first bicyclic vertinolide derivative isolated from *Trichoderma reesei* Z56-8, an epiphytic fungus from the marine brown alga *Sargassum* sp. [18].

Ustilanthracins A (**33**) and B (**34**) were isolated from the rice false smut pathogen *Ustilaginoidea virens*. Both compounds share the same skeleton, but differ in the carboxylcontaining side chain, where dioxygenated butyric acid and 2-methyl-3-oxygenated butyric acid are found in ustilanthracins A (**33**) and B (**34**), respectively [19]. Both ustinaphthalin (**35**) and ustisorbicillinol F (**36**) were successively isolated from rice false smut pathogen *Ustilaginoidea virens* [14,19].

Vertinolides, with the presence of a  $\gamma$ -lactone terminus and a lack of any carbon rings, represent a class of degrading products of monomeric sorbicillinoids [20]. Three vertinolides, namely trichoreesin A (**32**), (+)-(*R*)-vertinolide (**37**), and (-)-(*S*)-dihydrovertinolide (**38**), have been isolated from fungi since 2016 [18,21,22]. (+)-(*R*)-vertinolide (**37**) is a new natural product isolated from *Trichoderma citrinoviride* from indoor air [21]. (*R*)-vertinolide (**37**) differs in stereochemistry from (*S*)-vertinolide isolated from *Verticillium intertextum* [23]. (-)-(*S*)-dihydrovertinolide (**38**) was isolated from the endophytic fungus *Clonostachys rosea* B5-2, which was isolated from the mangrove plant *Bruguiera gymnorrhiza*, collected in the coast of Santolo Garut Beach, West-Java, Indonesia [5,22].

## 2.2. Bisorbicillinoids

Bisorbicillinoids (also called dimeric sorbicillinoids) are formed by either an intermolecular Diels–Alder or Michael reaction of two monomeric sorbicillinoids [24]. Since 2016, twenty-one bisorbicillinoids have been isolated from fungi (Figure 3 and Table S2). These compounds are mainly distributed in the fungi genera *Penicillium*, *Trichoderma*, and *Ustilaginoidea*.

Three bisorbicillinoids, namely epitetrahydrotrichodimer ether (**39**), demethyldihydrotrichodimerol (**40**), and bisorbicillpyrone A (**41**), were isolated from *Penicillium* sp. SCSIO06871 from the deep-sea sediment. Among them, bisorbicillpyrone (**41**) is the first example of an  $\alpha$ -pyrone-containing bisorbicillinoid [10].

Both 10,11-dihydrobislongiquinolide (**42**) and 10,11,16,17-tetrahydrobislongiquinolide (**43**) were produced by overexpression of the global regulator LaeA in the fungus *Penicillium dipodomyis* YJ-11 from a marine sediment sample collected in Jiaozhou Bay in Qingdao, China [25].

Saturnispols A (44) and B (45) were isolated from *Trichoderma saturnisporum* DI-IA from the marine sponge *Dictyonella incisa* collected in Seferihisar Bay in Turkey [13]. Saturnispols A (44) and B (45) are also named 15,24-dihydroxybisvertinol (44) and 24-hydroxybisvertinol (45), respectively. They were successively isolated from the marine-derived *Trichoderma reesei* 4670 from a sponge collected in Shantou, Guangdong, China [16]. Saturnispol B (45) was also isolated from an unidentified sponge-derived fungus *Trichoderma reesei* HN-2016-018 [17].

Five dimers, including trichobisvertinols A (46), B (47), C (48), and D (49), and 12-*epi*-trichobisvertinol D (50), were isolated from the marine-derived *Trichoderma reesei* 4670 from a sponge collected in Shantou, Guangdong, China [16]. Both trichobisvertinol D (49) and 12-*epi*-trichobisvertinol D (50) are epimeric to each other. Interestingly, they were isolated from *Ustilaginoidea virens* at the same time, and were named ustisorbicillinols A (49) and B (50), respectively [14].

Four dimeric sorbicillinoids, namely trichodermolide B (**51**), 13-hydroxy-trichodermolide (**52**), 24-hydroxy-trichodimerol (**53**), and 15-hydroxy-bisvertinol (**54**), were isolated from the sponge-derived fungus *Trichoderma reesei* HN-2016-018. Among them, trichodermolide B (**51**) and 13-hydroxy-trichodermolide (**52**) contain a unique bicycle [3.2.1] lactone skeleton. Trichodermolide B (**51**) with a propan-2-one moiety was firstly recorded in sorbicillinoid family [17]. 13-Hydroxy-dihydrotrichodermolide (**55**) is a structurally similar compound isolated from *Penicillium chrysogernum* 581F1 from the marine sponge *Theonella swinhoei* [26].

Ustilobisorbicillinol A (**56**) is a bisorbicillinoid featuring a unique cage structure that incorporates one sorbicillinol and one sorbyl-containing phenanthrenone unit. It was isolated from a culture of *Ustilaginoidea virens*, the rice false smut pathogen [19]. Three other bisorbicillinoids, namely ustisorbicillinols C (**57**), D (**58**), and E (**59**), were also isolated from *Ustilaginoidea virens*. Both ustisorbicillinols C (**57**) and D (**58**) are epimeric to each other [14].

#### 2.3. Trisorbicillinoids

Trisorbicillinoids (or called trimeric sorbicillinoids) are formed by either an intermolecular Diels–Alder or Michael reaction of three monomeric sorbicillinoids [24]. Only one trisorbicillinoid, 10,11,27,28-tetrahydrotrisorbicillinone C (**60**), has been isolated from *Penicillium chrysogernum* 581F1 from the marine sponge *Theonella swinhoei* since 2016 (Figure 4) [26].

#### 2.4. Hybrid Sorbicillinoids

Hybrid sorbicillinoids are derived from either an asymmetrical Diels–Alder reaction of a monomeric sorbicillinoid diene and a second non-sorbicillinoid dienophile [24]. About 13 hybrid sorbicillinoids have been isolated from fungi since 2016 (Figure 5 and Table S3). Two hybrids, 10-methylsorbiterrin (**61**) and dihydrotrichodermolidic acid (**62**), were isolated from *Penicillium* sp. SCSIO06871 from the deep-sea sediment [10].

Both saturnispols C (63) and D (64) were isolated from *Trichoderma saturnisporum* DI-IA from the marine sponge *Dictyonella incisa* collected in Seferihisar Bay in Turkey. Biogenetically, it was proposed that the [4+2] Diels–Alder cycloaddition of sorbicillinol with a phenylethylene generated saturnispol C (63), followed by hydroxylation, to yield saturnispol D (64) [13].

Spirosorbicillinol D (65) is a hybrid sorbicillinoid from *Trichoderma longibrachiatum* SFC100166 isolated from foreshore soil [11].

Sorbicatechols C (66) and D (67) were isolated from *Penicillium allii-sativi* from deep-sea water [27].

Sorbicillfurans A (68) and B (69) were isolated from the static culture of the fungus *Penicillium citrinum* SCSIO41402, which was isolated from a marine alga *Coelarthrum* sp. collected in Yongxing Island, South China Sea. Both compounds possess a tetrahydrofuran unit. It was suggested that both sorbicillfurans A (68) and B (69) are derived from the precursor sorbicillinol added with furfuryl alcohol by a Diels–Alder (DA) reaction, followed by the oxidization modification to yield sorbicillfuran A (68), and by another DA cycloaddition reaction to generate sorbicillfuran B (69) [28].

Two nitrogen-containing sorbicillinoids with hexahydropyrimido [2,1-*a*] isoindole moiety named sorbicillasins A (**70**) and B (**71**) were isolated from the deep-sea fungus *Phialocephala* sp. FL30r obtained from an underwater sample. Sorbicillasins A (**70**) and B (**71**) are probably formed by adding a whole molecule of L-asparagine to 2',3'-dihydrosorbicillin via sequential intermolecular/intramolecular nucleophilic reactions [15].

When tanshinone IIA was fed to the fermentation cultures of sorbcillinol-producing fungus *Hypocrea* sp., the hybrid sorbicillinoid produced was tanshisorbicin (72), which is considered a [4+2] cycloaddition adduct between tanshinone IIA and sorbicillinol (3) [29].

Trichosorbicillin A (**73**) is a nitrogen-containing sorbicillinoid isolated from the marinederived *Trichoderma reesei* 4670 from a sponge collected in Shantou, Guangdong, China. It was hypothesized to arise from a net [4+2] cycloaddition or double Michael reaction between sorbicillinol (**3**) and 1-methyl-1,3-dihydro-2*H*-pyrrol-2-one [16].

### 3. Biological Activities

The recently isolated sorbicillinoids mainly display cytotoxic, antibacterial, antifungal, anti-inflammatory, phytotoxic, and  $\alpha$ -glucosidase inhibitory activities (Tables S4–S10). The structures of some sorbicillinoids (**74–91**) discovered before 2016 with newly revealed biological activities are shown in Figure 6.



74. Trichodimerol, R=CH<sub>3</sub>75. Demethyltrichodimerol, R=H

Figure 6. Cont.



77. Bisvertinolone

76. Dihydrotrichodimer ether A



**Figure 6.** Structures of some sorbicillinoids (**74–91**) discovered before 2016 with newly revealed biological activities.

## 3.1. Cytotoxic Activity

Some recently revealed sorbicillinoids displayed obviously cytotoxic activities (Table S4). Sorbicatechol D (67) and sorbicillin (1) were screened to show antiproliferative activity on HT-29 tumor cells in a dose-dependent manner. The mechanism investigation uncovered that they can significantly induce cell cycle G2–M phase arrest by increasing the protein levels of p-H3 and cyclin B1 [27]. Sorbicillin (1) was once again isolated from the culture broth of the fungus *Penicillium decumbens* from a limestone soil. It exhibited selective cytotoxic activity against the human hepatocellular carcinoma (QGY-7703) cells with an IC<sub>50</sub> value of 32.5  $\mu$ M [30]. Similar cytotoxic activity results of sorbicillin (1) have been reported previously [31–33].

Sorbicillfuran B (69) showed weak cytotoxic activity against human leukemia cell line HL-60 cells with an IC<sub>50</sub> value of 9.6  $\mu$ M [28]. Five cytotoxic bisorbicillinoids, namely ustilobisorbicillinol A (56), trichodimerol (74), demethyltrichodimerol (75), dihydrotrichodimer ether (76), and bisvertinolone (77), were isolated from the rice false smut pathogen Ustilaginlidea virens [14,19]. Among them, trichodimerol (74), demethyltrichodimerol (75), dihydrotrichodimer ether A (76), and bisvertinolone (77) showed moderate cytotoxic activities on human carcinoma cells with IC<sub>50</sub> values of 8.83–74.7  $\mu$ M [14]. Ustilobisorbicillinol A (56) showed notable cytotoxicity against the five tested tumor cell lines, with  $IC_{50}$  values in the range of 4.48–18.6  $\mu$ M. It was further tested for its influence on cell-cycle progression with the gastric cancer cell line BGC823. Interestingly, it markedly induced G0/G1- and G2/M-phase cell-cycle arrest. Ustilobisorbicillinol A (56) was also investigated for its effect on apoptosis in BGC823 cells, as cell shrinkage and detached from culture surface was observed after treatment with ustilobisorbicillinol A (56). The apoptotic rate of BGC823 cells was examined using flow cytometry. Compared to the control group, treatment with ustilobisorbicillinol A (56) at 9 µM for 48 h induced significant apoptosis incidence in BGC823 cells (74.7%). Moreover, treatment with ustilobisorbicillinol A (56) altered the expression levels of cleaved caspase-3 and PARP, suggesting the caspase-mediated apoptotic pathway is involved in the induced apoptosis of BGC823 cells [19].

24-hydroxy-trichodimerol (53) displayed cytotoxic activities against human tumor cells (A549, MCF-7, and HCT116) with  $IC_{50}$  values of 5.1, 9.5, and 13.7 mM, respectively [17].

## 3.2. Antibacterial Activity

Due to the long-term use of some antibiotics, the bacterial or fungal pathogens easily develop drug resistance, and it is necessary to look for new alternatives. Some sorbicillinoids exhibited obvious antibacterial activities, showing their potential as the antimicrobials (Table S5). Two monomeric sorbicillinoids, saturnispols F (9) and H (11), showed significant antibacterial activity. Saturnispol F (9) displayed inhibition of bacteria with minimum inhibitory concentration (MIC) values of 3.32 µg/mL against *Staphylococcus aureus*, 1.63 µg/mL against vancomycin-resistant *Enterococci faecalis* (VRE), 6.65 µg/mL against *Pseudomonas aeruginosa*, and 6.65 µg/mL against *Klebsiella pneumoniae*. Saturnispol H (11) displayed inhibition of bacteria with MIC values of 12.9 µg/mL against vancomycin-resistant *Enterococci faecalis* [13].

Both sohirnone A (78) and dihydrodemethylsorbicillin (79) exhibited significant antibacterial activities against *Staphylococcus aureus* with MIC values of 10.0  $\mu$ g/mL and 5.0  $\mu$ g/mL, respectively [10].

Five sorbicillinoids ustisorbicillinol B (or 12-*epi*-trichobisvertinol D (**50**)), demethyltrichodimerol (**75**), dihydrotrichodimer ether A (**76**), bisvertinolone (**77**), and oxosorbicillinol (**81**) from *Ustilaginoidea virens* showed antibacterial activities against six human/plant pathogenic bacteria. Among them, bisvertinolone (**77**) was the most effective [14]. A similar antibacterial activity of oxosorbicillinol (**81**) was reported previously [34]. Bisvertinolone (**77**), isolated from *Aspergillus protuberus* MUT3638, was also previously reported to exhibit significant activity against *Staphylococcus aureus* with an MIC value of 30 µg/mL [35]. Two bisorbicillinoids, bisvertinolone (**77**) and bislongiquinolide *saturnisporum* (**80**), were screened to show antibacterial activities against *Pseudomonas lachrymans* with MIC values of 3.13 and 1.56  $\mu$ M, respectively, and against *Escherichia coli* with MIC values of 6.25 and 12.5  $\mu$ M, respectively [36].

Tanshisorbicin (72) showed obvious antibacterial activity on *Mycobacterium bovis*, *Staphylococcus aureus* (ATCC 6538), methicillin-resistant *Staphylococcus aureus* (MRSA), and *Bacillus subtilis* (ATCC 6633). The anti-MRSA activity of tanshisorbicin (72) was found to be significantly higher than that of tanshinone IIA [29].

Antibacterial mechanisms showed that sorbicillinoids could generate singlet oxygen (<sup>1</sup>O<sub>2</sub>) under UV light irradiation and ultimately displayed photoinactivation activity on Gram-positive bacteria including *Staphylococcus aureus*, *Bacillus subtilis*, and *Micrococcus luteus*, but not Gram-negative ones such as *Escherichia coli* and *Proteus vulgaris*, showing their potential as photosensitizers for antimicrobial photodynamic therapy using a nontoxic dose of UV irradiation [37].

## 3.3. Antifungal Activity

Some recently discovered sorbicillinoids were screened for antifungal activities (Table S6). Sorbicillin (1) displayed antifungal activity toward *Candida albicans* Y0109 with an MIC value of 50  $\mu$ M [30].

Bisvertinolone (77), oxosorbicillinol (81), bisorbicillinol (82), and epoxysorbicillinol (83) from *Trichoderma longibrachiatum* SFC100166 were screened for antifungal activity on phytopathogenic fungi *Cladosporium coccodes*, *Colletotrichum coccodes*, *Cylindrocarpon destructans*, *Magnaporthe oyrzae*, and *Phytopathora infestans*, with MIC values ranging from 6.3 to 100  $\mu$ g/mL. When tomato plants were treated with the above compounds (77,81–83), bisvertinolone (77) strongly reduced the development of tomato late blight disease compared to the untreated control [11].

Demethyltrichodimerol (75), bisvertinolone (77), and oxosorbicillinol (81) displayed moderate antifungal activities by inhibiting the spore germination of rice blast pathogen *Magnaporthe oryzae*. Among them, bisvertinolone (77) was the most effective sorbicillinoid [14].

#### 3.4. Anti-Inflammatory Activity

Inflammation is a common response of the human body to injuries caused by microbial pathogens, trauma, or toxic compounds. Bioactive metabolites produced by fungi have received considerable attention as new therapeutic agents [38]. Many sorbicillinoids were screened for anti-inflammatory activities and their potential use in the treatment of inflammatory diseases (Table S7). Trichodimerol (**74**) and sorrentanone (**84**) were isolated from the endophytic fungus *Trichoderma* sp. Xy24 from the mangrove plant *Xylocarpus granatum*. Both compounds displayed anti-inflammatory activity by inhibiting LPS-induced NO production in BV2 microglia cells, with the inhibitory rates of 75.1% and 100.0% at 10 µM, respectively, much more potent than the positive control curcumin [39].

Eighteen mono- and dimeric sorbicillinoids, including trichosorbicillin B (18), trichosorbicillin C (19), 12-hydroxysorbicillin (21), 8,9-dihydro-12-hydroxysorbicillin (22), trichosorbicillin E (23), isotrichosorbicillin E (24), trichosorbicillin F (25), trichosorbicilin I (29), 24hydroxybisvertinol (also named saturnispol B, 45), trichobisvertinol A (46), trichobisvertinol B (47), trichobisvertinol C (48), trichobisvertinol D (49), 12-*epi*-trichobisvertinol D (50), sohirnone A (78), bisvertinol (85), 2',3'-dihydrosorbicillin (also called dihydrosorbicillin, 2), and (2*E*,4*E*)-1-(2,6-Dihydroxy-3,5-dimethylphenyl)hexa-2,4-dien-1-one (86) from the sponge-derived fungus *Trichoderma reesei* 4670, were systematically screened for potent anti-inflammatory activity by inhibiting the production of NO in RAW264.7 cells activated by lipopolysaccharide, with IC<sub>50</sub> values in the range of 0.94 to 38  $\mu$ M. The structure–activity relationship analysis indicated that the anti-inflammatory activities of the sorbicillinoids mainly depend on the structural types and the functional groups of the sorbyl side chain [16]. Trichodermanone C (87) is a hybrid sorbicillinoid showing an anti-inflammatory activity with inhibition of nitrite levels in lipopolysaccharide (LPS)-stimulated J774A.1 macrophages [40].

Epitetrahydrotrichodimer ether (**39**) and tetrahydrotrichodimerol (**88**) are two dimeric sorbicillinoids isolated from *Penicillium* sp. DM815 from the rhizosphere soil of mangrove *Hibiscus tiliaceus* that significantly reduced the level of NO produced by inducible nitric oxide synthase (iNOS) [12].

## 3.5. Phytotoxic Activity

Plant pathogenic and endophytic fungi usually produce metabolites poisonous to their host plants. These phytotoxic metabolites from fungi are called phytotoxins [41]. It is considered that the amounts of phytotoxins produced by the endophytic fungi are much lower than those of the phytopathogenic fungi [42].

Four sorbicillinoids (Table S8), namely trichodimerol (74), demethyltrichodimerol (75), bisvertinolone (77), and bislongiquinolide (also named trichotetronine, **80**) from rice false smut pathogen *Ustilaginoidea virens*, showed phytotoxic activity by inhibiting radicle and germ elongation of rice and lettuce seedlings, with bisvertinolone (77) displaying the strongest inhibition. These phytotoxic sorbicillinoids might play an important role in the development of rice false smut symptoms [14].

(-)-(S)-dihydrovertinolide (**38**) inhibited the shoot growth by 23% and root growth by 65% of lettuce (*Lactuca sativa*) seedlings [22].

### 3.6. $\alpha$ -Glucosidase Inhibitory Activity

Diabetes is considered as one of the biggest current health crises. Controlling carbohydrate digestibility by inhibiting starch digestive enzyme (i.e.,  $\alpha$ -amylase and  $\alpha$ -glucosidase) activities is an efficient strategy to control postprandial hyperglycemia [43]. Some sorbicillinoids have been screened for their  $\alpha$ -glucosidase inhibitory activity (Table S9).

Six sorbicillinoids, including 5-hydroxy-dihydrodemethylsorbicillin (**15**), bisorbicillpyrone A (**41**), dihydrodemethylsorbicillin (**79**), tetrahydrotrichodimerol (**88**), tetrahydrobisvertinolone (**89**), and 10,11-dihydrobisvertinolone (**90**), exhibited  $\alpha$ -glucosidase inhibitory activity, with IC<sub>50</sub> values ranging from 115.8 to 208.5  $\mu$ M. Among these, 5hydroxy-dihydrodemethylsorbicillin (**15**) showed the strongest inhibitory activity against  $\alpha$ -glucosidase with an IC<sub>50</sub> value of 36.0  $\mu$ M, stronger than that of acarbose [10].

2',3'-dihydrosorbicillin (2), which was isolated from the fungus *Aspergillus* sp. HNWSW-20 from Chinese agarwood (*Aquilaria sinensis*), showed  $\alpha$ -glucosidase inhibitory activity [44].

## 3.7. Other Biological Activities

Other biological activities of the sorbicillinoids recently revealed from fungi mainly include antiallergic, antioxidant, neuroprotective and neuritogenic, antihuman-immunodeficiency-virus (HIV), and antimicroalgal activities, as well as inhibitory activities against acetylcholinesterase (AChE) and protein tyrosine phosphatase 1B (Table S10).

Bisorbicillinol (82) is a bisorbicillinoid previously isolated from a few fungi such as *Trichoderma* sp. USF-2690 [45], *Trichoderma* sp. f-13 [31], and *Penicillium notatum* [34]. Bisorbicillinol (82) from *Trichoderma* sp. USF2690 was found to be an inhibitor of  $\beta$ -hexosaminidase release and tumor necrosis factor (TNF)- $\alpha$ , and 9nterleukin (IL)-4 secretion from rat basophilic leukemia (RBL-2H3) cells, with IC<sub>50</sub> values of 2.8, 2.9, and 2.8  $\mu$ M, respectively. The results showed that the inhibitory mechanism of  $\beta$ -hexosaminidase release and TNF- $\alpha$  secretion involve inhibition of Lyn, a tyrosine kinase. This indicated that bisorbicillinol (82) should be a candidate antiallergic agent [46].

Scipyrone K (14), isolated from the fungus *Phialocephala* sp. FL30r obtained from a deep seawater sample, exhibited weak radical scavenging activity against 2,2-diphenyl-1-picrylhydrazyl (DPPH) with an IC<sub>50</sub> value of 27.9  $\mu$ M [15].

Sorbicillin (1) was proven to have neuroprotective and neuritogenic activity on PC-12 Adh cells of the 6-hydroxydopamine-induced Parkinson's disease cell model at 1 and 10  $\mu$ g/mL. The water fraction of halotolerant *Penicillium flavigenum* isolated from Salt Lake in Konya, Turkey, also showed similar activity. The water extract was revealed to contain sorbicillin-like active metabolites by LC-MS compared to a sorbicillin (1) standard [47]. Sorbicillin (1) and 2',3'-dihydrosorbicillin (2) showed acetylcholinesterase inhibitory activities with inhibition rates of 15.47% and 1.78%, respectively, at a concentration of 50  $\mu$ g/mL [44].

At a concentration of 40  $\mu$ M, both 2',3'-dihydrosorbicillin (2) and sohirnone A (78) exhibited moderate inhibitory activity of protein tyrosine phosphatase 1B (PTP1B) with inhibitory ratios of 10.58% and 8.47%, respectively, to show their antidiabetic potential [48].

Sorrentanone (84) showed a significant inhibitory effect of HIV-1 virus with an  $IC_{50}$  value of 4.7  $\mu$ M, so is worthy of further investigation as a lead anti-HIV compound [38].

Glucagon-like peptide-1 (GLP-1), a gut incretin hormone that stimulates insulin and inhibits glucagon secretion on pancreatic  $\beta$ -cells and  $\alpha$ -cells, is considered a target protein related to diabetes. Eukaryotic elongation factor-2 kinase (eEF2K) is a potential therapeutic target for cancer. Both 13-hydroxy-dihydrotrichodermolide (55) and 10,11,27,28tetrahydrotrisorbicillinone C (60) displayed high affinities to target proteins GLP-1R and eEF2K with K<sub>d</sub> values of 0.0285 and 0.0162  $\mu$ M for GLP-1R, and 0.118 and 0.0746  $\mu$ M for eEF2K, respectively. These findings indicate that 13-hydroxy-dihydrotrichodermolide (55) and 10,11,27,28-tetrahydrotrisorbicillinone C (60) are promising new drug candidates for diabetes and cancer treatment [26].

Both tetrahydrobisvertinolone (89) and tetrahydrotrichodimer ether (91) exhibited weak acetylcholinesterase (AChE) inhibitory activity with 51.1% and 55.1% inhibitions at a concentration of 50  $\mu$ g/mL, respectively [10].

Trichoreesin A (**32**) showed antimicroalgal activity against the marine algae *Chattonella marina*, *Heterosigma akashiwo*, and *Prorocentrum donghaiense* with IC<sub>50</sub> values of 13, 29, and 2.8  $\mu$ g/mL, respectively [18].

## 4. Conclusions

From 2016 to 2021, 69 new sorbicillinoids were isolated from fungi. Mainly belonging to the monomeric and dimeric sorbicillinoids, some sorbicillinoids have special structures such as ustilobisorbicillinol A (56) [19], and sorbicillasins A (70) and B (71) [15], increasing their diversity. The majority of sorbicillinoids were reported from the fungi genera of Acremonium, Penicillium, Trichoderma, and Ustilaginoidea. This provides a basis for fungal chemotaxonomy, which should be further studied in detail. It is worth mentioning that 21 sorbicillinoids were firstly isolated from the rice false smut pathogen Ustilaginoidea virens [14,19], which can produce many types of bioactive secondary metabolites [49–58]. Some sorbicillinoids exhibited cytotoxic (Table S4), antibacterial (Table S5), antifungal (Table S6), anti-inflammatory (Table S7), phytotoxic (Table S8), and  $\alpha$ -glucosidase-inhibitory (Table S9) and PTP1B-inhibitory activities (Table S10). They may be utilized as pigments and food colorants as well. Due to the limitation of activity screening models by each research group, many sorbicillinoids need to be further screened for their biological activities. Furthermore, the comparative investigations on the biological activities of sorbicillinoids and other classes of compounds along with their action mechanisms need to be further conducted [59–61]. In recent years, more and more new members of sorbicillinoids have been revealed from plant endophytic, marine-derived, extremophilic, phytopathogenic, and soil-derived fungi. All these sorbicillinoids may be rich resources of biologically active substances with significant pharmaceutical, food colorant, and agricultural value [2].

Fungal sorbicillinoids were studied extensively from 2016 to 2021. Apart from the discovery of new sorbicillinoids and clarification of their biological activities and action mechanisms, other related studies include biosynthetic gene clusters [6], biosynthetic pathways and their related enzymes [5,24,62–65], relevant regulatory mechanisms [7,25,66–68], biochemical engineering to increase the production of sorbicillinoids [59], chemoenzymatic synthesis [69], development of chemical synthesis methods [70], and applications of sor-

bicillinoids in the agriculture, pharmaceutical, and food industries [37,60,61]. Among them, the most promising is clarification of the Diels–Alder reactions during the biosynthesis of sorbicillinoids. Through co-expression of *sorA*, *sorB*, *sorC*, and *sorD* from *Trichoderma reesei* QM6a, the biosynthetic pathway to epoxysorbicillinol and dimeric sorbicillinoids resembling Diels–Alder-like and Michael-addition-like products was reconstituted in *Aspergillus oryzae* NSAR1 [24].

**Supplementary Materials:** The following supporting information can be downloaded at: https: //www.mdpi.com/article/10.3390/jof8010062/s1, Table S1: Occurrence of the monomeric sorbicillinoids (5–38) in fungi; Table S2: Occurrence of the bisorbicillinoids (39–59) in fungi; Table S3: Occurrence of the hybrid sorbicillinoids (61–73) in fungi; Table S4: Cytotoxic activity of the screened sorbicillinoids in fungi; Table S5: Antibacterial activity of the sorbicillinoids screened from fungi; Table S6: Antifungal activity of the sorbicillinoids screened from fungi; Table S7: Anti-inflammatory activity of the sorbicillinoids screened from fungi; Table S8: Phytotoxic activity of the sorbicillinoids screened from fungi; Table S9:  $\alpha$ -Glucosidase inhibitory activity of the sorbicillinoids screened from fungi; Table S10: Other biological activities of the sorbicillinoids screened from fungi.

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# **Biosynthesis of Fungal Natural Products Involving Two Separate Pathway Crosstalk**

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Abstract: Fungal natural products (NPs) usually possess complicated structures, exhibit satisfactory bioactivities, and are an outstanding source of drug leads, such as the cholesterol-lowering drug lovastatin and the immunosuppressive drug mycophenolic acid. The fungal NPs biosynthetic genes are always arranged within one single biosynthetic gene cluster (BGC). However, a rare but fascinating phenomenon that a crosstalk between two separate BGCs is indispensable to some fungal dimeric NPs biosynthesis has attracted increasing attention. The hybridization of two separate BGCs not only increases the structural complexity and chemical diversity of fungal NPs, but also expands the scope of bioactivities. More importantly, the underlying mechanism for this hybridization process is poorly understood and needs further exploration, especially the determination of BGCs for each building block construction and the identification of enzyme(s) catalyzing the two biosynthetic precursors coupling processes such as Diels-Alder cycloaddition and Michael addition. In this review, we summarized the fungal NPs produced by functional crosstalk of two discrete BGCs, and highlighted their biosynthetic processes, which might shed new light on genome mining for fungal NPs with unprecedented frameworks, and provide valuable insights into the investigation of mysterious biosynthetic mechanisms of fungal dimeric NPs which are constructed by collaboration of two separate BGCs.

**Keywords:** biosynthetic pathway crosstalk; natural product; biosynthetic gene cluster; polyketide; fungi; bioactivity

## 1. Introduction

Fungi produce a plethora of chemically diverse natural products (NPs) with various bioactivities and a wide variety of applications in medicine and agriculture [1]. Because of this, fungal NPs have been historically recognized as an invaluable source of inspiration for the development of drug leads for the treatment of infections and cancer, as well as the prevention of crop damage, such as lovastatin (the cholesterol-lowering drug), mycophenolic acid (the immunosuppressive drug), and pyripyropene A (the insecticide) [2–4]. In addition, fungal NPs with novel molecular scaffolds provide excellent templates for the chemical synthesis of new bioactive compounds [5].

In fungi, the natural products are commonly synthesized by the genes arranged in a contiguous fashion as a biosynthetic gene cluster (BGC) [6]. The core biosynthetic enzymes encoded by the BGCs mainly include polyketide synthases (PKSs), non-ribosomal peptide synthetases (NRPSs), terpene synthases, and ribosomally synthesized and posttranslationally modified peptide (RiPP) biosynthetic enzymes to enlarge the variety of carbon skeletons of the products. Tailoring enzymes such as the monooxygenase, hydroxylase, and methyltransferase further increase the diversity and complexity of the molecules [7]. With the development of genome sequencing technology, bioinformatic methods, genome mining algorithms, and scalable expression platforms, the genomicdriven approaches have revolutionized NPs discovery, greatly expand the access to the

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). chemical repertoire of fungal-derived NPs, and provide unprecedented opportunities to investigate their biosynthetic mechanisms [8]. Several vital factors that regulate the expression of fungal BGCs, including the environmental signals, transcriptional regulation, and epigenetic regulation, have been summarized by Keller [9], which might be instructive for genome mining and activation of the silent BGCs.

In most reported studies, the microbial NPs biosynthetic pathways are generally completed by one single BGC. However, in some interesting but rare cases, the products have been demonstrated to be constructed via the hybridization of two precursors, which are biosynthesized by two separate BGCs. For instance, the antithrombotic myxadazoles, a family of novel chimeric compounds isolated from *Myxococcus* sp. SDU36, consists of Nribityl 5,6-dimethylbenzimidazole and a linear fatty acid chain endowed with an isoxazole ring. Interestingly, a non-canonical PKS/NRPS biosynthetic pathway and the vitamin B12 metabolism pathway were proved to interwind together through the construction of isoxazole-benzimidazole hybrids [10]. Tasikamides, recently isolated from Streptomyces tasikensis P46, contain a rare hydrazone group that joins the cyclic peptide scaffold to an alkyl 5-hydroxylanthranilate (AHA) moiety. This research also addressed that the biosynthesis of tasikamides required the coupling of two separate gene clusters, with one BGC encoding a NRPS pathway for assembling the cyclic peptide scaffold, and the other BGC encoding the AHA-synthesizing pathway [11]. These works illustrate that functional crosstalk between two different biosynthetic pathways is not only of considerable value in increasing the structural diversity of NPs, but also a more effective way to construct new drug leads of natural origin.

Several key issues need to be considered when we investigate the biosynthetic mechanism of two separate BGC co-participation in NP biosynthesis: (a) identification of each BGC and characterization of the necessary gene function; (b) isolation of sufficient quantity of key intermediates through gene knockout, enzymatic catalyzation, and heterologous expression; and (c) determination of whether the coupling process of the two separate BGCs is spontaneous or enzymatic. Thus, in-depth understanding of the biosynthetic mechanism for the convergence of two distinct biosynthetic pathways will provide an alternative to accelerate the discovery of NPs with novel skeletons, as well as shed new light on which enzyme(s) could catalyze the formation of C-C, C-N, or N-N bonds that link two biosynthetic precursors together. In this mini-review, we summarized some fungal NPs with novel skeletons produced by the crosstalk between two discrete biosynthetic gene clusters, mainly including polyketides, meroterpenoids, and non-ribosomal peptides. We highlighted their biosynthetic processes, which might provide valuable insights into the coupling mechanism of two separate BGCs in fungal NP biosynthesis.

## 2. Fungal Polyketide Biosynthesis Involving Two Separate Pathway Crosstalk

#### 2.1. The Biosynthesis of Penilactones A and B

The representative examples about non-enzymatic Michael addition mediated the coupling process of polyketide–polyketide hybrids were highly oxygenated fungal polyketides penilactones A (1) and B (2), which were firstly isolated from an Antarctic deep-sea derived fungus *Penicillium crustosum* PRB-2 by Li and co-workers [12]. The biosynthetic pathway was proposed to be originated from the hybridization of one *o*-quinone methide unit (5) and a  $\gamma$ -butyrolactone moiety through 1,4-Michael addition to complete the carbon skeleton construction of 1 and 2. A biomimetic total synthesis was subsequently achieved to confirm the biosynthetic hypothesis [13]. Considering the enzymes for Michael addition involved in 1 and 2 biosynthesis have not been reported yet, Li and co-workers identified two separate BGCs (termed as *cla* and *tra* BGCs in this review) responsible for the biosynthesis of 1 and 2 through the gene deletion and heterologous expression in *Aspergillus nidulans* [14]. The core non-reducing polyketide synthase (NR-PKS) ClaF in the *cla* BGC is responsible for the biosynthesis of crucial intermediate clavatol (3) (Figure 1). The nonheme Fe<sup>II</sup>/2-oxoglutarate-dependent oxygenase ClaD oxidized 3 into hydroxyclavatol (4), which spontaneously underwent dehydration into the crucial intermediate *o*-quinone methide (5).

For tra BGC, a hybrid polyketide synthase-nonribosomal peptide synthetase (PKS-NRPS) TraA and a *trans*-acting enoyl reductase (ER) TraG collaboratively catalyzed the formation of crustosic acid (6) precursor. The nonheme Fe<sup>II</sup>/2-oxoglutarate-dependent oxygenase TraH subsequently catalyzed the oxidative decarboxylation of 6 into dehydroterrestric acid, the terminal double-bond of which was finally reduced by the flavin-dependent oxidoreductase TraD into an important intermediate terrestric acid (7) [15]. The results of precursors feeding experiments in  $\Delta traA$  mutant confirmed that both 6 and 7 were the on-pathway intermediates, and could be transformed into 5-carboxylmethyltetronic acid (8) and 5-methyltetronic acid (9), respectively. The fascinating issue associated with the biosynthesis of 1 and 2 is which enzyme(s) could catalyze the Michael addition to couple two building blocks together. Surprisingly, incubation of 8 with 4 in water at 25  $^{\circ}$ C led to the formation of penilactone D (10) as the major product, and 2 as the minor product (Figure 1). Incubation of 9 with 4 generated peniphenone D (11) as the major product as well as 1 as the minor product. Both 10 and 11 were separately incubated with 4, the formation of 1 and 2 could be observed (Figure 1). These results unambiguously indicated that the Michael addition involving in the biosynthesis of 1 and 2 is nonenzymatic and could happen spontaneously.



**Figure 1.** The biosynthetic pathway of fungal polyketides penilactones A (**1**) and B (**2**). The Michael addition that triggers the coupling of *cla* and *tra* BGCs is nonenzymatic.

In general, the combination of enzymatic and nonenzymatic reactions originated from the crosstalk between two separate biosynthetic pathways significantly enriched the structural diversity of fungal NPs. The biosynthesis of fungal polyketides penilactones A and B provides an excellent example to investigate that how two different BGCs interwind at a gene cluster level.

#### 2.2. The Biosynthesis of Dalmanol A and Acetodalmanol A

The mantis-associated fungus *Daldinia eschscholzii* TL01 is known to produce novel polyketides including dalmanol A (**12**) and acetodalmanol A (**13**) with immunosuppressive bioactivity [16–18]. The structural characteristic of **12** and **13** implied that their carbon skeletons construction involved in co-participation of two building blocks naphthalene and chromane. Tan and co-workers conducted the pioneering work to identify two separate BGCs (termed as *chr* and *nap* BGCs in this review) by gene deletion as well as heterologous



expression [19]. The *chr* BGC is responsible for chromane biosynthesis and the *nap* BGC biosynthesizes naphthalene. This work addressed the two-gene-cluster crosstalk based biosynthetic pathways of dalmanol A and acetodalmanol A (Figure 2).

Figure 2. The biosynthetic pathway of fungal polyketides dalmanol A (12) and acetodalmanol A (13).

In plants and fungi, the assembly of chromane-based aromatic polyketides have been reported to be biosynthesized by both type III PKS and partially reducing type I PKS (PR-PKS) [20,21]. The results of the quantitative reverse transcription PCR analysis, targeted gene deletion, and heterologous expression experiments supported that the PR-PKS ChrA and the keto-reductase ChrB are indeed responsible for the formation of vital intermediate 1-(2,6-dihydroxyphenyl)but-2-en-1-one (PBEO,14) (Figure 2). Thus, the genes encoding PR-PKS ChrA, the keto-reductase ChrB, and a transporter constitute the chr BGC, which is located on Scaffold\_36 in the genome (Figure 2). The NR-PKS gene *pksTL* in Daldinia eschscholzii TL01 has been confirmed to be responsible for the biosynthesis of naphthalene-based polyketide 1,3,6,8-tetrahydroxynaphthalene (4HN, 15) by gene deletion [22]. Co-expression of the NR-PKS pksTL and 4HN reductase 4HNR in A. oryzae led to the accumulation of 1,3,6-tetrahydroxynaphthalene (3HN, 16), a biosynthetic precursor for the assembly of dalesconols, which are polyketides also isolated from Daldinia eschscholzii TL01. The genes encoding the NR-PKS pksTL, 4HN reductase 4HNR, two transcription factors, and a laccase constitute the *nap* BGC, which is within Scaffold\_20 in the genome and locates at least 493 kb away from the chr BGC. Only when pksTL, chrA, and chrB were co-introduced into A. oryzae, the polyketides 12 and 13 could be successfully produced. The critical biosynthetic process for the coupling of *chr* and *nap* BGCs was proposed to be the epoxidation of PBEO (14) to the proposed intermediate 17 (Figure 2). The results

of enzymatic activity inhibition experiments revealed an unspecific P450 monooxygenase located elsewhere in the genome of *A. oryzae* host might be responsible for the epoxidation of 14 to 17, which triggered the cross-cluster coupling of both *chr* and *nap* BGCs.

Overall, this work further illustrated that two separated BGCs crosstalk is a promising access to improve the structural diversity of fungal NPs. Understanding the regulatory mechanism of the multiple-gene-cluster coupling is of great significance in establishing the synthetic biology approaches to discover NPs with novel skeletons and potential biological activities.

### 2.3. The Biosynthesis of Azasperpyranone A

Azaphilones, a group of structurally related fungal polyketides, contain a highly oxygenated bicyclic pyrone quinone moiety, and exhibit a broad range of bioactivities including anticancer, antifungal, and antiviral activities [23]. Azasperpyranone A (**18**), recently isolated from *A. terreus*, contains a highly oxygenated pyranoquinone moiety possessing a 6/6/6/6 tetracyclic ring system, and shows potential anticancer activity [24]. Scrutiny of the structural feature of azasperpyranone An (**18**) revealed that two building blocks 5-methyl orsellinic aldehyde (**19**) and preasperpyranone (**20**) are the biosynthetic precursors. Lu and co-workers have identified two separate BGCs by gene deletion, including the BGC A responsible for polyhydric phenol formation and the BGC B involving the azaphilonoid scaffold construction (Figure 3) [24].



Figure 3. The biosynthetic pathway of fungal polyketide azasperpyranone A (18).

In a previous study, the full-length, intron-free open reading frames of two core genes *ATEG\_03629* and *ATEG\_03630* from BGC A, which encode a NR-PKS and a NRPS-like enzyme, respectively, were co-transformed into *Saccharomyces cerevisiae* to produce the intermediate **19** (Figure 3) [25]. Then the FAD-dependent monooxygenase (FMO) encoded by *ATEG\_03635* gene catalyzed the hydroxylation of **19** to afford the intermediate **21**, which was subsequently oxidized into the crucial precursor **22** by the P450 monooxygenase encoded by *ATEG\_03631* gene. In BGC B, the two core genes *ATEG\_07659* encoding a highly reducing PKS (HR-PKS) and *ATEG\_07661* encoding a NR-PKS were heterologously co-expressed in *A. nidulans* to produce **23** [26,27]. Compound **23** was rapidly converted into an important precursor preasperpyranone **20** by the FMO encoded by gene *ATEG\_07662*. The remaining gap for the entire biosynthetic pathway of azasperpyranone A is that which enzyme could couple the two vital intermediates **20** and **22** together by catalyzing the

formation of C-C and C-O bonds. Deletion of the gene *ATEG\_03636* with unknown function abolished the production of **18**, but accumulated two precursors **19** and **20**, which implied the formation of **18** was more likely catalyzed by ATEG\_03636 rather than caused by the spontaneous reaction between **20** and **22** (Figure 3). The authors also found that the ATEG\_07667 in BGC B could indirectly regulate the cluster-specific regulators ATEG\_03638 in BGC A and ATEG\_07666 in BGC B to collaboratively synthesize the anti-cancer compound **18**. This interesting collaborative model in the fungal NPs biosynthesis provides new clues for the investigation of regulatory mechanism for other novel natural products which were biosynthesized by two separate BGCs crosstalk.

## **3. Fungal Meroterpenoids Biosynthesis Involving Two Separate Pathway Crosstalk** *The Biosynthesis of Austinol*

Meroterpenoids are an important class of fungal NPs [28], some of them have been developed as the drug candidate for treatment of Alzheimer's disease such as territrem [29], and the drug lead for insecticide such as pyripyropene A [30]. Fungal meroterpenoids are usually biosynthesized by a single BGC which encodes a polyketide synthase, a terpene cyclase, a prenyltransferase, and other essential tailoring enzymes to produce polyketide and terpenoid precursors [31]. Deletion of *sumO* gene, encoding the small ubiquitin-like protein SUMO, significantly altered the profiles of secondary metabolites in A. nidulans. Austinol (23) and dehydroaustinol (24) were identified from the  $\Delta sumO$  mutant [32]. Nielsen et al. first reported the NR-PKS AusA responsible for the formation of polyketide precursor 3,5-dimethylorsellinic acid (DMOA) (25) in austinol biosynthesis [33]. This conclusion was also verified by Wang et al. [34]. Interestingly, Wang and co-workers found that no prenyltransferase gene was located near NR-PKS gene *ausA*, which suggested that the genes responsible for the biosynthesis of 23 and 24 might be separated in the genome of A. nidulans LO2026 [34]. Using the UbiA sequence as a query to blast the prenyltransferase homologs in the genome of A. nidulans, Wang et al. found the top two candidate genes AN9259.4 (designated as ausN, on chromosome VIII) and AN8142.4. Deletion of ausN abolished the production of 23 and 24, and accumulated the polyketide precursor 25. By a set of gene deletions around *ausA* and *ausN*, they identified the two separate BGCs: the BGC A containing the necessary genes ausA-D and the BGC B consisting of the biosynthetic genes *ausE-N*. The biosynthetic pathway for **23** and **24** was also proposed (Figure 4). Firstly, the polyketide synthase AusA is responsible for the formation of 25, then the aromatic prenyltransferase AusN catalyzed the C-alkylation of 25 using farnesyl pyrophosphate to form intermediate 26. The epoxidase AusM catalyzed the epoxidation of the prenylated polyketide intermediate 26, followed by cyclization catalyzed by a terpene cyclase AusL to form the tetracyclic intermediate 27. The formation mechanism of the lactone system and spiro-ring in compound 28 has been investigated by Abe group [35]. The co-operation of the non-heme iron-dependent dioxygenase AusE, the hydroxylase AusB, and the Baeyer-Villiger monooxygenase AusC transformed the substrate 27 into 28 [35]; The hypothetical protein AusJ might be responsible for the acid-catalyzed ketorearrangement and ring contraction of the tetraketide portion in intermediate 28 to generate intermediate 29. The authors speculated that the AusK is responsible for reducing the C-5'keto of **29** to hydroxyl group, and the hypothetical protein AusH might function as an accessory enzyme collaboratively working with AusK to alter AusK stereospecificity for its product **30**. The Baeyer–Villiger monooxygenase AusI inserted an oxygen atom between the C-4' and vicinal carbon at C-3' of **30** to create a lactone ring in **31**. Finally, the P450 monooxygenase AusG might catalyze the C-11 hydroxylation of 31 to form final product austinol (23) (Figure 4).

DMOA-derived fungal meroterpenoids possess complicated structures and attracted researchers' attention to investigate the biosynthetic pathway. Some fungal meroterpenoids such as anditomin and andrastin have been studied in detail [36–38]. All of the necessary genes for anditomin or andrastin biosynthesis are clustered in one single BGC. However, the biosynthesis of austinol and dehydroaustinol in *A. nidulans* LO2026 needs the pathway

crosstalk between BGC A and BGC B. This provides an intriguing and valuable insight that fungi could use a variety of strategies to expand the skeletal diversification and subtlety regulate the crosstalk between separate biosynthetic pathways to multiply the number of NPs produced by these BGCs.



**Figure 4.** The biosynthetic pathway of fungal meroterpenoids austinol (23) and dehydroaustinol (24) in *A. nidulans* LO2026.

## 4. Fungal Non-Ribosomal Peptide Biosynthesis Involving Two Separate Pathway Crosstalk

### 4.1. The Biosynthesis of Spirotryprostatin A

Many NPs bearing the spiro-carbon system exhibit potential biological activities. Intrigued by the privileged structure and usefulness of spiro carbon system, a great deal of attention has been paid to their catalytic enantioselective synthesis [39,40]. Understanding the mechanism of spiro-carbon biosynthesis and identifying the versatility of enzymes responsible for the spiro-carbon formation are also of great significance. Spirotryprostatins belong to non-ribosomal peptides that isolated from A. fumigatus, and known for their pharmaceutical importance and application in cancer treatment [41]. The formation of the spiro-ring moiety in spirotryprostatins remained unknown and aroused Watanabe and co-workers' interest to solve this mystery [42]. The authors utilized S. cerevisiae and A. niger as the heterologous hosts to efficiently express the whole biosynthetic pathways of spirotryprostatins, and obtained crucial intermediates to identify two pathways for spiro-carbon formation, namely an epoxide route catalyzed by the FMO FqzB and a radical route catalyzed by the cytochrome P450 FtmG (Figure 5) [42]. Spirotryprostatins possess the diketopiperazine frameworks, and show the structural similarity to fumitremorgins and fumiquinazolines, suggesting the peptide backbone of these compounds could be biosynthesized by the NRPS using L-proline and L-tryptophan as the biosynthetic precursors [43,44]. When *ftmA-E* five genes were expressed in *A. niger*, no intermediates featuring the spiro-carbon were isolated, implying other indispensable genes are needed to be introduced. Based on the prior researches about the biosynthetic mechanism of spiro-carbon formation [45–47], the authors creatively introduced the FMO FqzB-encoding gene fqzB, which is located within the fumiquinazoline BGC (designed as fqz BGC in this review), into the A. niger / ftmA-E mutant, and successfully isolated pirotryprostatin A (32) (Figure 5). In vitro enzymatic assay revealed that FqzB could transform fumitremorgin C (33) into 32 by epoxidation mediated semipinacol-type rearrangement (Figure 5). These fascinating results not only emphasize the important function of FMOs as the intersection to trigger the coupling of two separate BGCs, but also highlight that the crosstalk between different biosynthetic pathways allows the structural diversification in NP biosynthesis.



**Figure 5.** The biosynthetic pathway of fungal non-ribosomal peptide spirotryprostatin A (**32**). The FMO FqzB from the *fqz* BGC catalyzes the formation of spiro-carbon in spirotryprostatin A.

## 4.2. The Biosynthesis of Echinocandin B

Echinocandins, a family of fungal lipohexapeptides, are firstly isolated from *Emericella rugulosa* NRRL 11440, and exhibit excellent antifungal activities to the opportunistic pathogenic *Candida* strains. Structural modifications of echinocandin B (**34**), especially for the fatty acid moiety, successfully led to the generation of FDA-approved drug anidula-fungin, which is a semisynthetic derivative of **34** and contains a substituted terphenyl acyl chain. To better understand how microbes use simple precursors to synthesize complex NPs, Tang and co-workers performed the groundbreaking work to identify and characterize the BGC of echinocandin B [48]. Four nonproteinogenic amino acids including *4R*,*5R*-dihydroxyl-L-ornithine, *3S*-hydroxyl-4*S*-methyl-L-proline, *4R*-hydroxyl-L-proline, and *3S*,*4S*-dihydroxyl-L-homotyrosine, as well as a long chain fatty acyl amide were contained in echinocandin B (Figure 6). These unusual structural units implied an interesting biosynthetic mechanism of echinocandin B.

By bioinformatics analysis, the NRPS EcdA containing six modules was identified. Gene deletion of *ecdA* confirmed its vital role in the peptide backbone formation of echinocandin B. Other biosynthetic genes, such as *ecdI* encoding a fatty-acyl-AMP ligase (EcdI), *ecdG* and *ecdK* encoding two  $\alpha$ -ketoglutarate dependent oxygenases, and *ecdH* encoding a heme-iron-dependent cytochrome P450 oxygenase, were all in proximity to *ecdA* to constitute the *ecd* BGC. However, the genes responsible for the biosynthesis of L-homotyrosine were not present in the vicinity of *ecd* BGC, indicating a separate BGC should reside elsewhere in the genome. The putative 2-(4-hydroxybenzyl)-malic acid (**36**) is pro-

posed to be the biosynthetic intermediate of L-homotyrosine (39) (Figure 6). Considering the isopropyl-malate synthase (IPMS) is reported to catalyze the condensation of  $\alpha$ -ketovalerate with acetyl-CoA in the leucine biosynthesis, one IPMS homology in E. rugulosa genome was proposed to catalyze the condensation of 4-hydroxyphenyl-pyruvate and acetate to form **36**. Using the IPMS gene from *Mycobacterium tuberculosis* as BLAST query, the authors successfully identified the hty BGC, which is about 42.5 kb away from the ecd BGC, encoding four enzymes for de novo generation of the special building block L-homotyrosine (Figure 6) [48]. The isopropyl malate dehydrogenase HtyA catalyzed aldol-type condensation of 4-hydroxyphenyl-pyruvate (35) and acetyl-CoA to form 36. Then, the aconitase homology HtyD executed the isomerization of 36 to 3-(4-hydroxybenzyl)-malic acid (37). Thereafter, 37 underwent decarboxylation and oxidation to form 2-oxo-4-(4-hydroxybenzyl) butanoic acid (38) by isopropyl malate dehydrogenase homologue HtyC. Finally, the transaminase HtyB catalyzed the transamination of 38 to form 39. In general, the biosynthesis of echinocandin B needs the coupling of two sperate BGCs, the *ecd* and *hty* BGCs. The *hty* BGC provides an important biosynthetic precursor L-homotyrosine which was recognized by the fourth A domain of the NRPS EcdA. Understanding the biosynthetic mechanism of echinocandin B will facilitate us to take advantage of synthetic biology techniques to bioengineer NRPSs to generate bioactive compounds [49-51].



**Figure 6.** The biosynthetic pathway of fungal non-ribosomal peptide echinocandin B (**34**). The separate *hty* BGC is responsible for the L-homotyrosine moiety formation.

## 5. Representative Fungal NPs Might Be Biosynthesized by Two Separate Pathways Crosstalk

## 5.1. Delitschiapyrone A

Delitschiapyrone A (40) is a fungal polyketide bearing an unprecedented 6/6/7/5/6-fused pentacyclic ring system (Figure 7), and isolated from a solid culture of the leaf-associated

fungus Delitschia sp. FL1581 [52]. The absolute configuration of 40 was determined by spectroscopic analysis, X-ray crystallography data, and experimental and calculated ECD. A naphthalenone unit and an  $\alpha$ -pyrone moiety were proposed to be linked together via the Diels–Alder addition followed by an  $\alpha$ -ketol-type rearrangement to forge the pentacylic ring system of **40** (Figure 7), which suggested the biosynthetic pathway of **40** might be concerned with the crosstalk between two separate BGCs (one for naphthalenone biosynthesis and the other for  $\alpha$ -pyrone biosynthesis). A bioinspired total synthesis of delitschiapyrone A has been achieved by simply stirring a heterogeneous mixture of two Diels-Alder substrates, which gave a hint that the intermolecular Diels-Alder reaction might be spontaneous. Recently, Houk and co-workers investigated the mechanisms and dynamics of biosynthetic formation of 40 by density functional theory (DFT) calculations and quasiclassical molecular dynamics simulations with DFT and xTB, and drew a conclusion that 40 is not formed from the proposed Diels–Alder/ $\alpha$ -ketol rearrangement cascade but instead formed directly from a single cycloaddition reaction [53], which is of great significance to the subsequent study on the biosynthetic pathway of 40 through gene deletion, heterologous expression, and enzymatic assays.



Figure 7. The proposed biosynthetic pathway of delitschiapyrone A (40).

## 5.2. Herpotrichone A

Herpotrichone A (41) is a fungal polyketide isolated from the isopod-associated fungus Herpotrichia sp. SF09 with an unprecedented pentacyclic 6/6/6/6/3 skeleton, and shows outstanding anti-neuro inflammatory activities in lipopolysaccharide (LPS)-induced BV-2 microglial cells (Figure 8) [54]. Interestingly, compound 41 is also an intermolecular [4 + 2] adduct that involves the coupling of epoxycyclohexenone and  $\alpha$ -pyrone two building blocks via the Diels-Alder addition. Recently, the biosynthetic pathways of epoxycyclohexenone-derived fungal polyketides trichoxide, sordarial, and flavoglaucin have been investigated in detail, which provide new insights into the biosynthesis of the naphthalenone unit in 41 [55–57]. The biosynthesis of some fungal  $\alpha$ -pyrone-linked NPs such as alternapyrones and citreoviridin have been reported. The  $\alpha$ -pyrone moiety in alternapyrones and citreoviridin is indeed formed by the spontaneous intramolecular cyclization of PKS AlpA and CtvA, respectively [58,59]. Thus, herpotrichone A might share the similar strategy to forge the  $\alpha$ -pyrone unit by utilization of an unidentified PKS. Overall, fungal NPs with intermolecular Diels-Alder addition features usually have novel carbon skeletons. The unexpected architectures of these compounds may open an interesting topic such as the characterization of two separate BGCs crosstalk, and discovery of more fungal intermolecular Diels-Alderases.

## 5.3. Citrifuran A

Citrifuran A (**42**) is produced by the centipede intestine-associated *Aspergillus* sp. through solid fermentation (Figure 7), and showed moderate inhibitory activities against LPS-induced NO production in RAW 264.7 macrophages [60]. The novel skeleton of citrifuran A was constructed by coupling of azaphilone and furanone moieties via Michael addition (Figure 9). It is obvious that the two separate BGCs' crosstalk is indispensable to the biosynthesis of **42**. The furanone moiety was also contained in fungal polyketide gregatin A. For gregatin A biosynthesis, a single PKS GrgA with the aid of a *trans*-acting

enoylreductase GrgB could biosynthesize the  $C_4$  and  $C_{11}$  carbon chains. More interestingly, a predicted hydrolase GrgF is responsible for the fusion two carbon chains to produce the furanone skeleton of gregatin A [61]. This unusual chain-fusing reaction might be also suitable for the biosynthesis of furanone scaffold in **42** (Figure 9). The fungal polyketide-derived mycotoxin citrinin also possesses azaphilone building block. The individual biosynthetic steps of citrinin have been studied by a combination of targeted gene knockout and heterologous gene expression in *A. oryzae* [62], which might provide new clues for investigation of the biosynthetic mechanism of **42**. Though the biosynthetic pathways for azaphilone and furanone have been investigated, the enzyme for catalyzation of Michael addition has not yet been identified, and needs further exploration.







Figure 9. The proposed biosynthetic pathway of citrifuran A (42).

#### 5.4. Acautalide A

The fungal polyketide acautalide A (**43**) is produced from the solid-state cultivation of isopod *Armadillidium vulgare*-associated *Acaulium* sp. H-JQSF on rice medium, and exhibits neuroprotective bioactivity with antiparkinsonic potential in the 1-methyl-4-phenylpyridinium-challenged nematode model [63]. The architectural features of 43 indicated that two biosynthetic precursors 10-keto-acaudiol and octadeca-9,11,13-trienoic acid intertwined together through intermolecular Diels–Alder cycloaddition (Figure 10). The 10-keto-acaudiol unit is proposed to be an early-stage precursor in the biosynthesis of acaulide and acaulins, two fungal macrodiolides previously isolated from the *Acaulium* sp. H-JQSF [64,65]. However, the biosynthetic mechanism of 10-keto-acaudiol remains unclear. The octadeca-9,11,13-trienoic acid motif might be derived from the biosynthesis of fungal polyunsaturated fatty acids, either biosynthesized from the fungal desaturation of octadecanoic acids in rice. Interestingly, the obtained **43** was in enantiomerically pure form, thus the Diels–Alder cycloaddition might be truly enzymatic. A fungal Diels–Alderase could be expected to catalyze the intermolecular [4 + 2]-cycloaddition in the assembly line of **43** [63].



Figure 10. The proposed biosynthetic pathway of acautalide A (43).

#### 6. Discussion

One reason that fungi endow great potentials to produce natural products with complex structures and excellent biological activities might be ascribed to the complex metabolic regulatory networks and interaction of different biosynthetic gene clusters. Understanding how simple precursors are synthesized and assembled together to construct complex natural products in organisms may promote the development of new combinational and synthetic biology strategies to create new molecules.

Over the course of evolution, fungi have evolved different strategies to increase the diversity of their NPs to protect themselves and acclimatize to the surrounding ecological environment [66]. For example, a great progress has been made in the discovery and identification of fungal NPs with homo-dimeric or hetero-dimeric skeletons, which effectively expand structural diversity of NPs and accelerates the occurrence of new biological activities. For fungal homodimer NPs, the building blocks are mostly biosynthesized by one single BGC, and catalyzed by the crucial enzymes including cytochrome P450 enzymes, intermolecular Diels-Alderases, and multicopper oxidases to afford the homo-dimeric skeletons, such as the rugulosin A, bisorbicillinol, and viriditoxin biosynthesis [67–70]. On the contrary, a rare but intriguing phenomenon is that two different building blocks, usually produced by two separate BGCs, were coupled together to generate fungal heterodimers NPs. However, the underlying mechanisms including how two structural units are biosynthesized, and whether the two BGCs crosstalk process is enzymatic or spontaneous are still mysterious and need further exploration. The coupling reactions between the two different building blocks are various. The Diels-Alder reaction and Michael addition reaction have been reported to splice the separate biosynthetic precursors together [14,52]. To in-depth understand the biosynthetic process of two separate BGC crosstalk, we should characterize the biosynthetic gene function in two BGCs and acquire crucial biosynthetic precursors through gene knockout and heterologous expression. With the important intermediates in hand, we can further investigate whether this hybridization process is spontaneous or enzymatic. However, the determination of which enzymes responsible for the two separate BGC crosstalk process is sometimes challenging, because the corresponding biosynthetic genes might not be located within the two gene clusters, and distributed elsewhere in the genome of targeted strain. Moreover, these enzymes may be hypothetical proteins, and it is difficult to be identified through bioinformatic analysis.

In general, more endeavors are needed to carry out the research for the discovery of fungal heterodimer NPs constructed by two building blocks, which not only provides an outstanding opportunity for investigation of the currently underestimated hidden biosynthetic crosstalk, but also facilitates the discovery of new BGCs, new regulatory mechanisms, and enzyme catalysts with novel catalytic mechanisms.

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## Abbreviations

| NP     | natural product  |
|--------|--|
| BGC    | biosynthetic gene cluster  |
| PKS    | polyketide synthase  |
| NR-PKS | non-reducing polyketide synthase                                 |
| HR-PKS | highly reducing polyketide synthase                              |
| NRPS   | non-ribosomal peptide synthetases                                |
| RiPP   | post-translationally modified peptide                            |
| FMO    | FAD-dependent monooxygenase                                      |
| ER     | enoyl reductase  |
| AHA    | alkyl 5-hydroxylanthranilate                                     |
| IPMS   | isopropyl-malate synthase  |
| DMOA   | 3,5-dimethylorsellinic acid                                      |
| LPS    | lipopolysaccharide   |
| PBEO   | 1-(2,6-dihydroxyphenyl) <u>b</u> ut-2- <u>e</u> n-1- <u>o</u> ne |
| DFT    | density functional theory  |
|        |  |

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## Article Immunosuppressive Sesquiterpenoids from the Edible Mushroom Craterellus odoratus

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**Abstract:** The aim of this work was to comprehensively understand the chemical constituents of the edible mushroom *Craterellus ordoratus* and their bioactivity. A chemical investigation on this mushroom led to the isolation of 23 sesquiterpenoids including eighteen previously undescribed bergamotane sesquiterpenes, craterodoratins A–R (1–18), and one new victoxinine derivative, craterodoratin S (19). The new structures were elucidated by detailed interpretation of spectrometric data, theoretical nuclear magnetic resonance (NMR) and electronic circular dichroism (ECD) calculations, and single-crystal X-ray crystallographic analysis. Compounds 1 and 2 possess a ring-rearranged carbon skeleton. Compounds 3, 10, 12–15, 19, 20 and 23 exhibit potent inhibitory activity against the lipopolysaccharide (LPS)-induced proliferation of B lymphocyte cells with the IC<sub>50</sub> values ranging from 0.67 to 22.68  $\mu$ M. Compounds 17 and 20 inhibit the concanavalin A (ConA)-induced proliferation of T lymphocyte cell with IC<sub>50</sub> values of 31.50 and 0.98  $\mu$ M, respectively. It is suggested that *C. ordoratus* is a good source for bergamotane sesquiterpenoids, and their immunosuppressive activity was reported for the first time. This research is conducive to the further development and utilization of *C. ordoratus*.

**Keywords:** *Craterellus ordoratus;* sesquiterpenoids; isolation and structural elucidation; immunosuppressive activity

## 1. Introduction

Edible mushrooms are a large and fascinating group of fungi. Many species of wild edible mushrooms are valued ingredients due to their unique taste and short growth cycle. Yunnan Province is located in southwest China. Its unique climate and geological diversity make this area very favorable for the growth of wild mushrooms. It is estimated that more than 40% of the world's and 90% of Chinese edible mushrooms (about 900 species) grow in Yunnan [1,2]. Many wild edible mushrooms are regarded as local delicacies such as *Tricholoma matsutake, Collybia albuminosa, Cantharellus cibarius,* and several species of the genus *Boletus.* Studying the chemical constituents of these edible mushrooms, therefore, has become our long-term research project [2–4]. The systematic mining of chemical components and evaluation of their biological activity will be beneficial to the scientific development and utilization of these edible fungi.

Species of the genus *Craterellus* (Cantharellaceae) include well-known edible mushrooms. At present, 142 records of *Craterellus* have been found and about 74 species are currently recognized as members of the genus. Ten species are originally described from Asia and four of these species have been reported in China [5]. Of them, *C. odoratus* is an edible mushroom in the family widespread in mainland China and characterized by possessing a bright orange or yellow cap [5–8]. In China, this wild edible mushroom is especially popular in Yunnan Province, where it is a delicacy on the dinner table from

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). June to August each year. In northern Thailand, *C. odoratus* is also a wild edible mushroom. Its nutritive value including the content of protein, fat, crude fiber, carbohydrate, and mineral contents was assessed. From the perspective of secondary metabolites, this mushroom has not been systematically studied, only a few polyketides and terpenoids have been reported [9–13]. Therefore, it is necessary to conduct systematic chemical component mining and biological activity evaluation on this mushroom. In this study, a total of 23 sesquiterpenoids (**1–23**, Figure 1) including eighteen new bergamotane-type ones, namely craterodoratins A–R (**1–18**), and one new victoxinine derivative, namely craterodoratin S (**19**), were isolated from *C. odoratus*. Their structures with absolute configurations were elucidated by extensive spectroscopic methods (including 1D and 2D NMR, MS, UV, and IR technologies), single-crystal X-ray diffraction analysis, as well as NMR and ECD calculations.



Figure 1. Chemical structures of compounds 1–23.

Previous pharmacological studies on bergamotane metabolites have demonstrated  $\alpha$ -glucosidase inhibitory activity [14], antibacterial activity [9,15,16], inhibition of pancreatic lipase [17], and phytotoxic effects against Johnson grass and Sorghum [18–21]. In this study, all compounds were evaluated for their cytotoxic activities against five human cancer cell lines, and for their immunosuppressive activity on T-cell and B-cell proliferation. Herein the isolation, structural elucidation, and bioactivities of these isolates are reported.

#### 2. Materials and Methods

## 2.1. General Experimental Procedures

IR spectra were obtained on a Shimadzu Fourier transform infrared spectrometer using KBr pellets. UV spectra were obtained by using a double beam spectrophotometer UH5300 (Hitachi High-Technologies, Tokyo, Japan). Optical rotations were measured on a Rudolph Autopol IV polarimeter (Hackettstown, NJ, USA). High resolution electrospray ionization mass spectra (HRESIMS) were recorded on an Agilent 6200 Q-TOF MS system or a Thermo Scientific Q Exactive Orbitrap MS system. Circular dichroism (CD) spectra were measured with an Applied Photophysics spectrometer (Chirascan, New Haven, CT, USA). NMR spectra were recorded with a Bruker Avance III 600 MHz spectrometer (Bruker, Karlsruhe, Germany). Sephadex LH-20 (GE Healthcare, Pittsburgh, PA, USA), Silica gel (200–300 mesh), and RP-18 gel (20–45  $\mu$ M, FuJi) were used for column chromatography (CC). HPLC was performed on an Agilent 1260 liquid chromatography system equipped with Zorbax SB-C18 columns (5  $\mu$ M, 9.4 mm  $\times$  150 mm, or 21.2 mm  $\times$  150 mm).

## 2.2. Fungal Material

The fungus *Craterellus odoratus* was collected from the Southern part of the Gaoligong Mountains in Yunnan Province, China, in July 2007. The fungus was identified by Prof. Mu Zang at the Kunming Institute of Botany. A voucher specimen (HFC2007-20180714-DQ1) has been deposited in the School of Pharmaceutical Sciences, South-Central University for Nationalities.

#### 2.3. Fermentation, Extraction and Isolation

The rice culture medium was composed of glucose 5%, yeast 5%, pork peptone 0.15%,  $KH_2PO_4$  0.05%, and  $MgSO_4$  0.05%. The initial pH was adjusted to 6.0. Cultures were grown in an Erlenmeyer flask (220 rpm, 24 °C) for 6 days until the mycelium biomass reached a maximum. This was then transferred to a rice medium and incubated at 24 °C in the dark for 40 days. The rice medium contained 50 g of rice and 50 mL of water, in a 250 mL Erlenmeyer flask, sterilized at 121 °C for 15 min. A total of 400 flasks were used in this work.

The solid rice culture broth of *C. odoratus* (20 kg) was extracted six time with MeOH to give a crude extract. The extract was partitioned between water and ethyl acetate (EtOAc). The EtOAc layer was concentrated under reduced pressure to give an organic extract (167 g). It was subjected to CC over silica gel (200-300 mesh) eluted with a solvent system of  $CHCl_3$ /MeOH (from 1:0 to 0:1, v/v) to obtain nine fractions A–I. Fraction D (20 g) was fractionated by MPLC over RP-18 silica gel eluted with MeOH/H<sub>2</sub>O (from 5:95 to 100:0, v/v) to give 13 subfractions (D<sub>1</sub>-D<sub>13</sub>). Fraction D<sub>6</sub> (5 g) was repeatedly fractionated by CC over silica gel eluted with CHCl<sub>3</sub>/MeOH (10:1) to give compounds 9 (7 mg), **10** (4 mg), and **11** (18 mg). Fraction D<sub>4</sub> (3 g) was fractionated by CC over Sephadex LH-20 (MeOH) and then purified by prep-HPLC ( $CH_3CN/H_2O$  35:65 in 30 min) to give compounds 1 (3.0 mg, retention time ( $t_R$ ) = 16.3 min), 8 (3.2 mg,  $t_R$  = 20.1 min), and 4 (3.4 mg,  $t_{\rm R}$  = 24.7 min). Fraction D<sub>3</sub> (5 g) was fractionated by CC over Sephadex LH-20 (MeOH) and then purified by prep-HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O 32:68 in 25 min) to give compounds 17  $(2.3 \text{ mg}, t_{\text{R}} = 13.2 \text{ min})$ , **20**  $(3.0 \text{ mg}, t_{\text{R}} = 15.4 \text{ min})$ , and **18**  $(2.6 \text{ mg}, t_{\text{R}} = 22.0 \text{ min})$ . Fraction E (9 g) was fractionated by MPLC over RP-18 eluted with MeOH/ $H_2O$  (from 5:95 to 100:0, v/v) to give seven subfractions (E<sub>1</sub>-E<sub>7</sub>). Fraction E<sub>3</sub> (800 mg) was subjected to CC over silica gel (80-100 mesh), Sephadex LH-20 (MeOH), and then purified by prep-HPLC
(CH<sub>3</sub>CN/H<sub>2</sub>O from 25:75 to 61:39 in 25 min) to give compounds **3** (2.1 mg,  $t_R = 11.2$  min), **6** (3 mg,  $t_R = 15.3$  min), **7** (2.5 mg,  $t_R = 17.9$  min), and **16** (3.8 mg,  $t_R = 23.1$  min). Fraction E<sub>5</sub> (36 mg) was prepared by prep-HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O 30:70 in 25 min) to give compounds **14** (2.1 mg,  $t_R = 14.8$  min), **13** (2.4 mg,  $t_R = 18.6$  min), and **15** (2.6 mg,  $t_R = 23.0$  min). Fraction F (7 g) was separated by MPLC over RP-18 to give five subfractions F<sub>1</sub>–F<sub>5</sub>. Fraction F<sub>3</sub> (23 mg) was prepared by prep-HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O 38:62 in 25 min) to give compounds **2** (2.3 mg,  $t_R = 15.1$  min), **12** (2.0 mg,  $t_R = 17.6$  min), and **5** (3.1 mg,  $t_R = 20.3$  min). Fraction F<sub>4</sub> (30 mg) was prepared by prep-HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O 40:60 in 25 min) to give compounds **21** (2.3 mg,  $t_R = 13.0$  min), **22** (1.8 mg,  $t_R = 17.0$  min), and **19** (3.1 mg,  $t_R = 21.3$  min). Fraction G (1.2 g) was fractionated by CC over silica gel eluted with CHCl<sub>3</sub>/CH<sub>3</sub>OH (10:1) to give subfractions G<sub>1</sub>–G<sub>6</sub>. Compound **23** (3.2 mg) precipitated as acicular crystals from fraction G<sub>3</sub>.

*Craterodoratin A* (1). Colorless oil;  $[\alpha]_D^{21}$  –1.9 (*c* 0.11, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.04) nm; IR (KBr)  $\nu_{max}$  3365, 2945, 2833, 1660, 1454, 1114, 1031 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 1; HRESIMS *m*/*z* 253.17986 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>25</sub>O<sub>3</sub><sup>+</sup>, 253.17982).

Table 1. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 1–4 in Methanol- $d_4$ .

| Fata       |                         | 1  |                         | 2   |                         | 3                                  | 4                       |                            |
|------------|-------------------------|--|-------------------------|---|-------------------------|------------------------------------|-------------------------|----------------------------|
| Entry      | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)  | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)                   | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)          | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)  |
| 1          | 49.9, C                 | _  | 43.5, CH                | 2.25, d (4.3)                               | 55.8, C                 | _                                  | 41.5, C                 | _                          |
| 2          | 76.3 <i>,</i> CH        | 4.02, d (9.1)  | 81.8, C                 | _   | 79.7, CH                | 3.51, s                            | 83.5, CH                | 3.44, s                    |
| 3a<br>3b   | 37.6, CH <sub>2</sub>   | 2.16, m<br>1.00, dd,<br>(13.3, 3.3)                              | 48.2, CH <sub>2</sub>   | 1.98, dd,<br>(14.3, 4.3)<br>1.28, d, (13.7) | 43.2, C                 | _                                  | 48.5, C                 | _                          |
| 4          | 41.9, CH                | 1.79, m  | 42.3, CH                | 1.86, t, (4.3)                              | 47.1, CH                | 1.83, m                            | 46.5, CH                | 1.80, s                    |
| 5a<br>5b   | 27.5, CH <sub>2</sub>   | 1.69, m<br>1.27, m   | 20.3, CH <sub>2</sub>   | 1.73, m<br>1.51, m                          | 26.5, CH <sub>2</sub>   | 1.70, m<br>1.39, m                 | 25.8, CH <sub>2</sub>   | 1.68, m<br>1.41, m         |
| 6a<br>6b   | 25.8, CH <sub>2</sub>   | 1.97, m<br>1.23, m   | 26.5, CH <sub>2</sub>   | 1.72, m<br>1.16, m                          | 21.9, CH <sub>2</sub>   | 1.62, m<br>1.10, m                 | 25.0, CH <sub>2</sub>   | 1.69, m<br>0.99, m         |
| 7a<br>7b   | 50.9, C                 | _  | 52.5, C                 | _   | 37.2, CH <sub>2</sub>   | 1.54, d, (10.1)<br>1.11, m         | 40.4, CH <sub>2</sub>   | 1.48, m<br>1.11, d, (10.3) |
| 8a<br>8b   | 30.9, CH <sub>2</sub>   | 1.46, td, (12.8,<br>12.3, 5.1)<br>1.17, td, (12.8,<br>12.3, 5.1) | 33.7, CH <sub>2</sub>   | 1.98, dd,<br>(14.3, 4.3)<br>1.74, m         | 42.3, CH <sub>2</sub>   | 1.36, m                            | 48.9, CH <sub>2</sub>   | 1.71, m<br>1.45, m         |
| 9a<br>9b   | 24.5, CH <sub>2</sub>   | 2.25, m<br>2.07, m   | 66.4, CH                | 4.64, m                                     | 24.7, CH <sub>2</sub>   | 2.15, m                            | 65.3, CH                | 4.58, m                    |
| 10         | 141.1 <i>,</i> CH       | 6.69, t, (7.4)   | 141.0, CH               | 6.48, d, (7.8)                              | 144.1, CH               | 6.72, t, (7.4)                     | 144.6, CH               | 6.57, d, (9.28)            |
| 11         | 128.5, C                | _  | 129.4, C                | _   | 128.8, C                | _                                  | 126.6, C                | _                          |
| 12         | 171.3, C                | _  | 171.2, C                | _   | 172.0, C                | _                                  | 170.4, C                | _                          |
| 13         | 11.2, CH <sub>3</sub>   | 1.80, s  | 11.8, CH <sub>3</sub>   | 1.84, s                                     | 12.3, CH <sub>3</sub>   | 1.78, s                            | 11.4, CH <sub>3</sub>   | 1.84, d, (1.5)             |
| 14         | 12.3, CH <sub>3</sub>   | 0.85, s  | 22.4, CH <sub>3</sub>   | 1.25, s                                     | 17.0, CH <sub>3</sub>   | 0.86, s                            | 18.5, CH <sub>3</sub>   | 1.07, s                    |
| 15a<br>15b | 15.5, CH <sub>3</sub>   | 0.93, s  | 63.4, CH <sub>2</sub>   | 3.64, d, (11.0)<br>3.47, d, (11.0)          | 65.1, CH <sub>2</sub>   | 3.59, d, (11.0)<br>3.51, d, (11.0) | 16.1, CH <sub>3</sub>   | 0.91, s                    |

*Craterodoratin B* (2). Colorless crystals (MeOH), mp 138.2–140.3 °C;  $[\alpha]_D^{21} - 11.4$  (*c* 0.09, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 215 (1.88) nm; IR (KBr)  $\nu_{max}$  3442, 2951, 2841, 1647, 1018 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 1; HRESIMS *m*/*z* 267.15903 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>4</sub><sup>+</sup>, 267.15909).

*Craterodoratin* C (3). Colorless crystals (MeOH), mp 180.7–182.4 °C;  $[\alpha]_D^{21} - 14.5$  (*c* 0.11, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 230 (3.12) nm; IR (KBr)  $\nu_{max}$  3464, 2924, 1647, 1280, 1265 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 1; HRESIMS *m*/*z* 291.15650 [M + Na]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>24</sub>O<sub>4</sub>Na<sup>+</sup>, 291.15668).

*Craterodoratin D* (4). Colorless crystals (MeOH), mp 159.1–162.5 °C;  $[\alpha]_D^{21}$  + 32 (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 225 (3.02) nm; IR (KBr)  $\nu_{max}$  3468, 2967, 2541, 1647, 1016 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 1; HRESIMS *m*/*z* 267.14712 [M – H]<sup>-</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>4</sub><sup>-</sup>, 267.16018).

*Craterodoratin E* (5). Colorless crystals (MeOH), mp 140.9–142.4 °C;  $[\alpha]_D^{21}$  + 6.9 (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.27) nm; IR (KBr)  $\nu_{max}$  3408, 2951, 2841, 1651, 1018 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 2; HRESIMS *m*/*z* 307.15146 [M + Na]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>24</sub>O<sub>5</sub>Na<sup>+</sup>, 307.15159).

Table 2. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 5–8 in Methanol- $d_4$ .

| Entres           | 5                     |                                     |                       | 6                                |                        | 7  | 8                      |  |
|------------------|-----------------------|-------------------------------------|-----------------------|----------------------------------|------------------------|--|------------------------|--|
| Entry            | $\delta_C$ , Type     | $\delta_{ m H}$ (J in Hz)           | $\delta_C$ , Type     | $\delta_{ m H}$ (J in Hz)        | $\delta_C$ , Type      | $\delta_{ m H}$ (J in Hz)                            | $\delta_C$ , Type      | $\delta_{ m H}$ (J in Hz)                            |
| 1                | 49.9, C               | _                                   | 49.8, C               | _                                | 52.0, CH               | 2.64, t, (5.3,<br>10.8)                              | 49.1, CH               | 2.79, t, (5.4)                                       |
| 2                | 86.0, CH              | 3.34, d (1.3)                       | 82.2, CH              | 3.47, d (1.3)                    | 150.0, C               | _  | 151.0, C               | _  |
| 3a<br>3b         | 47.3, C               | _                                   | 47.8, C               | _                                | 23.1, CH <sub>2</sub>  | 2.61, m<br>2.33, m                                   | 24.3, CH <sub>2</sub>  | 2.65, m<br>2.40, m                                   |
| 4a<br>4b         | 44.0, CH              | 2.06, d, (3.8)                      | 44.7, CH              | 2.23, d, (3.5)                   | 23.5, CH <sub>2</sub>  | 2.11, m<br>1.82, m                                   | 24.7, CH <sub>2</sub>  | 1.96, m  |
| 5a<br>5b         | 27.0, CH <sub>2</sub> | 1.71, m<br>1.47, m                  | 27.6, CH <sub>2</sub> | 1.65, m<br>1.39, m               | 39.4, CH               | 2.32, m  | 40.6, CH               | 2.27, m  |
| 6a<br>6b         | 26.7, CH <sub>2</sub> | 1.72, m<br>0.99, m                  | 26.7, CH <sub>2</sub> | 1.66, m<br>0.99, m               | 26.5, CH <sub>2</sub>  | 2.20, m<br>1.47, d, (9.7)                            | 29.1, CH <sub>2</sub>  | 2.28, m<br>1.42, m                                   |
| 7a<br>7b         | 41.9, CH <sub>2</sub> | 1.38, d, (10.6)<br>1.13, d, (10.6)  | 41.5, CH <sub>2</sub> | 1.47, d, (9.7)<br>1.07, d, (9.7) | 54.7, C                | _  | 56.5, C                | _  |
| 8a<br>8b         | 47.0, CH <sub>2</sub> | 1.70, m<br>1.53, dd,<br>(2.6, 14.8) | 80.5, CH              | 3.39, d, (6.0)                   | 40.4, CH <sub>2</sub>  | 2.50, dd,<br>(12.4, 9.7)<br>2.02, dd,<br>(12.4, 4.2) | 39.4, CH <sub>2</sub>  | 2.46, dd,<br>(6.7, 11.9)<br>1.92, dd,<br>(9.5, 11.9) |
| 9                | 66.6 <i>,</i> CH      | 4.60, m                             | 70.6 <i>,</i> CH      | 4.38, dd,<br>(9.1, 6.0)          | 73.7, CH               | 4.91, m  | 75.7, CH               | 4.90, s  |
| 10               | 142.6, CH             | 6.49, d (8.2)                       | 138.4, CH             | 6.53, d (8.6)                    | 143.0, CH              | 6.74, dd,<br>(1.5, 8.0)                              | 144.2, CH              | 6.65, d, (8.2)                                       |
| 11               | 131.5, C              | _                                   | 135.7, C              | —                                | 128.1, C               | —  | 130.2, C               | —  |
| 12               | 174.9, C              | _                                   | 176.1, C              | —                                | 169.8, C               | —  | 171.3, C               | —  |
| 13               | 13.1, CH <sub>3</sub> | 1.80, s                             | 14.3, CH <sub>3</sub> | 1.86, s                          | 11.4, CH <sub>3</sub>  | 1.85, d, (1.5)                                       | 12.8, CH <sub>3</sub>  | 1.85, s  |
| 14a<br>14b       | 64.0, CH <sub>2</sub> | 3.64, d, (11.7)<br>3.53, d, (11.7)  | 19.9, CH <sub>3</sub> | 1.04, s                          | 106.4, CH              | 4.59, s  | 106.8, CH              | 4.57, s  |
| 15a<br>15b       | 19.4, CH <sub>3</sub> | 1.03, s                             | 12.2, CH <sub>3</sub> | 0.81, s                          | 107.0, CH <sub>2</sub> | 4.74, q, (1.8)<br>4.68, dt,<br>(3.0, 1.5)            | 107.8, CH <sub>2</sub> | 4.66, s<br>4.63, s                                   |
| OCH <sub>3</sub> |                       | _                                   | _                     | _                                | 53.3, CH <sub>3</sub>  | 3.29, s  | 55.4, CH <sub>3</sub>  | 3.28, s  |

*Craterodoratin F* (6). Colorless oil;  $[\alpha]_D^{21}$  + 18 (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (0.84) nm; IR (KBr)  $\nu_{max}$  3400, 2949, 2837, 1653, 1456, 1411, 1112, 1024 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 2; HRESIMS *m*/*z* 307.15161 [M + Na]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>24</sub>O<sub>5</sub>Na<sup>+</sup>, 307.15159).

*Craterodoratin G* (7). Colorless oil;  $[\alpha]_D^{21}$  + 1.10 (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 210 (1.74) nm; IR (KBr)  $\nu_{max}$  3444, 2933, 1647, 1091, 1016 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 2; HRESIMS *m*/*z* 279.15903 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>4</sub><sup>+</sup>, 279.15909). *Craterodoratin H* (8). Colorless oil;  $[\alpha]_D^{21}$  – 30.6 (*c* 0.16, MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 210 (0.68) nm; IR (KBr)  $\nu_{max}$  3398, 2949, 2835, 1653, 1452, 1112, 1031 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 2; HRESIMS *m*/*z* 279.15920 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>4</sub><sup>+</sup>, 279.15909).

*Craterodoratin I* (9). Colorless oil;  $[\alpha]_D^{21}$  + 31.6 (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 230 (3.01) nm; IR (KBr)  $\nu_{max}$  3379, 2947, 2835, 1653, 1456, 1114, 1031 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 3; HRESIMS m/z 251.16409 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>3</sub><sup>+</sup>, 251.16417).

| Table 3. $^1$ | H (600 MHz) | and <sup>13</sup> C (150 M | Hz) NMR Spect | troscopic Data for | r <b>9–12</b> in Methanol- <i>d</i> <sub>4</sub> |
|---------------|-------------|----------------------------|---------------|--------------------|--|
|---------------|-------------|----------------------------|---------------|--------------------|--|

| Entres     |                       | 9                                |                         | 10                                  |                              | 11   | 12                           |                            |
|------------|-----------------------|----------------------------------|-------------------------|-------------------------------------|------------------------------|--|------------------------------|----------------------------|
| Entry      | $\delta_C$ , Type     | $\delta_{ m H}$ (J in Hz)        | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)           | $\delta_{\mathrm{C}}$ , Type | $\delta_{ m H}$ (J in Hz)                            | $\delta_{\mathrm{C}}$ , Type | $\delta_{ m H}$ (J in Hz)  |
| 1          | 51.0, CH              | 2.10, t, (5.4)                   | 48.0, CH                | 2.29, t, (5.6)                      | 51.3, CH                     | 2.09, t (5.7)  | 47.4, CH                     | 2.70, t, (5.3)             |
| 2          | 87.4, C               | _                                | 88.2, C                 | _                                   | 87.3, C                      | _  | 89.2, C                      | _                          |
| 3a<br>3b   | 32.0, CH <sub>2</sub> | 1.88, m<br>1.61, m               | 27.4, CH <sub>2</sub>   | 1.92, m<br>1.56, td, (10.2,<br>4.2) | 72.2, CH                     | 3.63, d, (6.8)                                       | 29.5, CH <sub>2</sub>        | 2.06, m<br>1.83, m         |
| 4a<br>4b   | 22.4, CH <sub>2</sub> | 1.88, m<br>1.76, m               | 22.0, CH <sub>2</sub>   | 1.91, m<br>1.82, m                  | 32.8, CH <sub>2</sub>        | 2.25, m<br>1.85, m                                   | 22.4, CH <sub>2</sub>        | 1.95, m<br>1.81, m         |
| 5          | 39.2, CH              | 2.24, q, (5.4)                   | 39.2 <i>,</i> CH        | 2.32, m                             | 37.8, CH                     | 2.27, m  | 40.2, CH                     | 2.43, q, (5.3)             |
| 6a<br>6b   | 22.2, CH <sub>2</sub> | 2.13, m<br>1.50, d, (10.1)       | 22.3, CH <sub>2</sub>   | 2.14, m<br>1.51, d, (10.2)          | 21.7, CH <sub>2</sub>        | 2.11, m<br>2.05, m                                   | 22.1, CH <sub>2</sub>        | 2.28, m<br>1.75, d, (10.9) |
| 7          | 55.3, C               | _                                | 53.7, C                 | _                                   | 54.7, C                      | _  | 53.6, C                      | _                          |
| 8a<br>8b   | 32.4, CH <sub>2</sub> | 1.91, m<br>1.72, m               | 40.2, CH <sub>2</sub>   | 2.01, dd,<br>(14.2, 4.2)<br>1.84, m | 40.1, CH <sub>2</sub>        | 2.00, dd,<br>(14.2, 4.0)<br>1.81, dd,<br>(14.2, 4.0) | 43.4, CH <sub>2</sub>        | 3.15, q, (3.2)             |
| 9a<br>9b   | 24.5, CH <sub>2</sub> | 2.19, m<br>2.10, m               | 65.7, CH                | 4.45, td, (8.7,<br>3.8)             | 65.8, CH                     | 4.42, td, (9.0,<br>4.0)                              | 199.4, C                     | _                          |
| 10         | 141.7, CH             | 6.77, t, (7.5)                   | 143.6, CH               | 6.67, d, (7.7)                      | 141.7, CH                    | 6.57, d, (9.0)                                       | 130.6, CH                    | 7.11, s                    |
| 11         | 127.9, C              | —                                | 127.3, C                | —                                   | 129.3, C                     | —  | 142.4, C                     | —                          |
| 12         | 170.4, C              | _                                | 170.5, C                | —                                   | 172.2, C                     | _  | 169.9, C                     | _                          |
| 13         | 11.0, CH <sub>3</sub> | 1.80, s                          | 11.4, CH <sub>3</sub>   | 1.83, s                             | 11.8, CH <sub>3</sub>        | 1.83, s  | 13.4, CH <sub>3</sub>        | 2.15, s                    |
| 14a<br>14b | 70.2, CH <sub>2</sub> | 3.82, d, (8.8)<br>3.47, d, (8.8) | 71.7, CH <sub>2</sub>   | 3.94, d, (9.4)<br>3.63, d, (9.4)    | 71.5, CH <sub>2</sub>        | 3.85, d, (9.4)<br>3.61, d, (9.4)                     | 179.3, C                     | _                          |
| 15a<br>15b | 24.0, CH <sub>3</sub> | 1.24, s                          | 65.9, CH <sub>2</sub>   | 3.49, d, (11.4)<br>3.45, d, (11.4)  | 21.0, CH <sub>3</sub>        | 1.31, s  | 23.6, CH <sub>3</sub>        | 1.51, s                    |

*Craterodoratin J* (10). Colorless oil;  $[\alpha]_D^{21}$  + 52 (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.48) nm; IR (KBr)  $\nu_{max}$  3379, 2947, 2835, 1653, 1456, 1114, 1031 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 3; HRESIMS *m*/*z* 305.13602 [M + Na]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>22</sub>O<sub>5</sub>Na<sup>+</sup>, 305.13594).

*Craterodoratin K* (11). Colorless oil;  $[\alpha]_D^{21} + 37$  (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.34) nm; IR (KBr)  $\nu_{max}$  3456, 2924, 2815, 1647, 1396, 1018 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 3; HRESIMS *m*/*z* 283.15136 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>5</sub><sup>+</sup>, 283.15400).

*Craterodoratin L* (12). Colorless oil;  $[\alpha]_D^{21}$  + 53 (*c* 0.11, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 235 (1.12) nm; IR (KBr)  $\nu_{max}$  3390, 2947, 2833, 1653, 1456, 1112, 1031 cm<sup>-1</sup>; <sup>1</sup>H and

<sup>13</sup>C NMR spectroscopic data, see Table 3; HR-ESIMS m/z 279.12250 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>19</sub>O<sub>5</sub><sup>+</sup>, 279.12270).

*Craterodoratin M* (13). Colorless oil;  $[\alpha]_D^{21} - 23$  (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 215 (1.90) nm; IR (KBr)  $\nu_{max}$  3487, 1645 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 4; HRESIMS *m*/*z* 253.17992 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>25</sub>O<sub>3</sub><sup>+</sup>, 253.17982).

Table 4. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 13-16 in Methanol- $d_4$ .

| Enter      |                       | 13                        |                       | 14                               |                        | 15                        | 16                     |                                    |
|------------|-----------------------|---------------------------|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|------------------------------------|
| Entry      | $\delta_C$ , Type     | $\delta_{ m H}$ (J in Hz) | $\delta_C$ , Type     | $\delta_{ m H}$ (J in Hz)        | $\delta_C$ , Type      | $\delta_{ m H}$ (J in Hz) | $\delta_C$ , Type      | $\delta_{ m H}$ (J in Hz)          |
| 1          | 40.3, CH              | 2.04, m                   | 73.5, C               | —                                | 43.2, CH               | 2.48, d, (6.7)            | 47.6, CH               | 1.87, m                            |
| 2          | 39.2, CH              | 1.96, q, (5.1)            | 40.3, CH              | 1.38, m                          | 149.4, C               | —                         | 150.2, C               | —                                  |
| 3a<br>3b   | 37.3, CH <sub>2</sub> | 1.84, m<br>1.76, m        | 29.6, CH <sub>2</sub> | 1.86, m<br>0.93, m               | 24.7, CH <sub>2</sub>  | 2.64, m<br>2.32, m        | 23.5, CH <sub>2</sub>  | 2.63, m<br>2.33, m                 |
| 4a<br>4b   | 23.9, CH <sub>2</sub> | 3.16, m                   | 26.7, CH <sub>2</sub> | 1.79, m<br>1.08, m               | 30.2, CH <sub>2</sub>  | 1.95, m<br>1.75, m        | 22.6, CH <sub>2</sub>  | 1.95, m<br>1.88, m                 |
| 5          | 37.3, CH              | 2.13, m                   | 47.3, CH              | 1.33, m                          | 74.9 <i>,</i> C        | —                         | 37.6, CH               | 2.18, q, (5.4)                     |
| 6a<br>6b   | 18.0, CH <sub>2</sub> | 1.63, m<br>1.27, m        | 25.9, CH <sub>2</sub> | 1.92, m<br>1.12, dd, (3.7, 12.5) | 34.6, CH <sub>2</sub>  | 2.33, m<br>1.79, d, (9.5) | 25.8, CH <sub>2</sub>  | 2.33, m<br>1.47, d, (10.1)         |
| 7          | 42.1, C               | _                         | 48.4, C               | —                                | 48.6, C                | —                         | 46.7, C                | _                                  |
| 8a<br>8b   | 22.9, CH <sub>2</sub> | 2.01, d, (5.7)<br>1.37, m | 38.1, CH <sub>2</sub> | 1.53, t, (1.5)                   | 32.3, CH <sub>2</sub>  | 1.82, m<br>1.68, m        | 29.9, CH <sub>2</sub>  | 1.87, m                            |
| 9a<br>9b   | 23.7, CH              | 1.84, m                   | 22.3, CH <sub>2</sub> | 2.21, m                          | 23.8, CH <sub>2</sub>  | 2.28, m<br>2.21, m        | 23.5, CH <sub>2</sub>  | 2.33, m<br>2.23, q, (8.0)          |
| 10         | 140.7, CH             | 6.71, dd,<br>(7.4, 14.5)  | 138.9, CH             | 6.60, t, (6.6)                   | 142.5, CH              | 6.81, t, (7.0)            | 142.8, CH              | 6.84, t, (8.0)                     |
| 11         | 129.3, C              | —                         | 130.8, C              | —                                | 127.8, C               | —                         | 127.5, C               | —                                  |
| 12         | 171.3, C              | _                         | 174.5, C              | _                                | 171.3, C               | _                         | 170.8, C               | —                                  |
| 13         | 11.4, CH <sub>3</sub> | 1.81, s                   | 11.8, CH <sub>3</sub> | 1.81, s                          | 11.1, CH <sub>3</sub>  | 1.82, s                   | 11.0, CH <sub>3</sub>  | 1.83, s                            |
| 14a<br>14b | 15.8, CH <sub>3</sub> | 0.89, s                   | 22.0, CH <sub>3</sub> | 1.09, s                          | 15.6, CH <sub>3</sub>  | 0.81, s                   | 61.1, CH <sub>2</sub>  | 3.43, d, (11.7)<br>3.33, d, (11.7) |
| 15a<br>15b | 65.3, CH <sub>2</sub> | 3.34, dd, (2.6, 6.0)      | 67.3, CH <sub>2</sub> | 3.34, d, (3.3)                   | 106.1, CH <sub>2</sub> | 4.63, d, (2.0)            | 105.9, CH <sub>2</sub> | 4.64, br s<br>4.60, br s           |

*Craterodoratin N* (14). Colorless oil;  $[\alpha]_D^{21} - 11$  (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.04) nm; IR (KBr)  $\nu_{max}$  3487, 1645 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 4; HRESIMS *m*/*z* 291.15658 [M + Na]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>24</sub>O<sub>4</sub>Na<sup>+</sup>, 291.15668).

Table 4; HRESIMS m/z 291.15658 [M + Na]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>24</sub>O<sub>4</sub>Na<sup>+</sup>, 291.15668). *Craterodoratin O* (15). Colorless oil;  $[\alpha]_D^{21} - 28.6 (c \, 0.10, \text{MeOH})$ ; UV (MeOH)  $\lambda_{\text{max}} (\log \varepsilon)$ 210 (1.48) nm; IR (KBr)  $\nu_{\text{max}}$  3460, 2951, 2843, 1645, 1016 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 4; HRESIMS m/z 251.16431 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>3</sub><sup>+</sup>, 251.16417).

*Craterodoratin P* (16). Colorless oil;  $[\alpha]_D^{21} - 27.9$  (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.77) nm; IR (KBr)  $\nu_{max}$  3367, 2943, 2833, 1654, 1456, 1112, 1031 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 4; HRESIMS *m*/*z* 251.16417 [M + H]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>23</sub>O<sub>3</sub>H<sup>+</sup>, 251.16417).

*Craterodoratin Q* (17). Colorless oil;  $[\alpha]_D^{21}$  + 22 (*c* 0.07, MeOH), UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.37) nm; IR (KBr)  $\nu_{max}$  3468, 1645, 1016 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 5; HRESIMS *m*/*z* 331.15140 [M + Na]<sup>+</sup> (calcd for C<sub>17</sub>H<sub>24</sub>O<sub>5</sub>Na<sup>+</sup>, 331.15159).

|                     |                         | 17                                 |                         | 18                                 |                         | 19                               |
|---------------------|-------------------------|------------------------------------|-------------------------|------------------------------------|-------------------------|----------------------------------|
| Entry               | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)          | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)          | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz)        |
| 1                   | 48.2, CH                | 3.00, t, (5.3, 10.6)               | 45.0, CH                | 2.03, t, (6.2)                     | 40.8, CH                | 2.31, br s                       |
| 2                   | 149.7, C                | _                                  | 44.2, CH                | 1.94, q, (6.9)                     | 42.2, CH                | 2.82, s                          |
| 3a<br>3b            | 23.2, CH <sub>2</sub>   | 2.64, m<br>2.34, m                 | 96.5 <i>,</i> C         | _                                  | 159.1, C                | _                                |
| 4a<br>4b            | 22.6, CH <sub>2</sub>   | 1.90, m                            | 41.0, CH <sub>2</sub>   | 2.24, m<br>1.87, d, (12.4)         | 58.7, CH <sub>2</sub>   | 3.51, m<br>3.08, dd, (10.6, 2.1) |
| 5                   | 38.5, CH                | 2.21, m                            | 37.7, CH <sub>3</sub>   | 2.33, q, (6.2)                     | 58.8, CH <sub>2</sub>   | 3.51, m                          |
| 6a<br>6b            | 44.1, C                 | _                                  | 35.6, CH <sub>2</sub>   | 2.49, m<br>1.16, d, (9.7)          | 58.7, CH                | 1.99, br s                       |
| 7a<br>7b            | 25.5, CH <sub>2</sub>   | 2.43, m<br>1.51, d, (10.0)         | 39.2 <i>,</i> C         | _                                  | 48.4, C                 | _                                |
| 8a<br>8b            | 37.9, CH <sub>2</sub>   | 2.17, dd, (8.7, 15.0)<br>1.81, m   | 39.5, CH <sub>2</sub>   | 1.85, m<br>1.71, dd, (14.8, 4.4)   | 40.8, CH <sub>2</sub>   | 1.41, m<br>1.33, m               |
| 9a<br>9b            | 65.7, CH                | 4.60, m                            | 65.7, CH                | 4.45, m                            | 24.8, CH <sub>2</sub>   | 1.63, m<br>1.08, m               |
| 10                  | 143.1, CH               | 6.65, d, (8.4)                     | 141.4, CH               | 6.52, d, (8.2)                     | 44.7, CH                | 1.08, m                          |
| 11                  | 127.8, C                | _                                  | 129.7, C                | _                                  | 30.9, CH                | 1.35, m                          |
| 12                  | 171.6, C                | _                                  | 172.6, C                | _                                  | 20.2, CH <sub>3</sub>   | 0.87, d, (6.5)                   |
| 13                  | 11.6, CH <sub>3</sub>   | 1.83, s                            | 12.0, CH <sub>3</sub>   | 1.85, s                            | 20.0, CH <sub>3</sub>   | 0.81, d, (6.5)                   |
| 14a<br>14b          | 64.8, CH <sub>2</sub>   | 4.00, d, (11.9)<br>3.93, d, (11.9) | 69.3, CH <sub>2</sub>   | 4.01, d, (10.2)<br>3.94, d, (10.2) | 103.4, CH <sub>2</sub>  | 5.02, s<br>4.74, s               |
| 15a<br>15b          | 106.7, CH <sub>2</sub>  | 4.67, br s<br>4.64, br s           | 13.8, CH <sub>3</sub>   | 1.06, d, (6.9)                     | 20.1, CH <sub>3</sub>   | 0.98, s                          |
| -OOCCH <sub>3</sub> | 19.3, CH <sub>3</sub>   | 2.00, s                            | 45.0, CH                | 2.03, t, (6.2)                     | 48.2, CH <sub>2</sub>   | 3.26, t, (6.0)                   |
| -OOCCH <sub>3</sub> | 171.6, C                | _                                  | 44.2, CH                | 1.94, q, (6.9)                     | 174.0, C                | _                                |

Table 5. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 17–19 in Methanol- $d_4$ .

*Craterodoratin R* (18). Colorless oil;  $[\alpha]_D^{21} - 42$  (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 215 (1.82) nm; IR (KBr)  $\nu_{max}$  3412, 2924, 1637, 1574, 1435, 1065 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 5; HRESIMS *m*/*z* 305.13596 [M +Na]<sup>+</sup> (calcd for C<sub>15</sub>H<sub>22</sub>O<sub>5</sub>Na<sup>+</sup>, 305.13594).

*Craterodoratin S* (19). Colorless oil;  $[\alpha]_D^{21} - 125$  (*c* 0.10, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (1.04) nm; IR (KBr)  $\nu_{max}$  3412, 2924, 1637, 1574, 1435, 1065 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data, see Table 5; HRESIMS *m*/*z* 278.21140 [M +H]<sup>+</sup> (calcd for C<sub>17</sub>H<sub>28</sub>NO<sub>2</sub><sup>+</sup>, 278.21146).

*X-ray Crystallographic Data for Craterodoratin B* (2). C<sub>15</sub>H<sub>22</sub>O<sub>4</sub>·H<sub>2</sub>O, *M* = 284.34, *a* = 8.6658(3) Å, *b* = 10.0982(4) Å, *c* = 17.1595(6) Å,  $\alpha = 90^{\circ}$ ,  $\beta = 90^{\circ}$ ,  $\gamma = 90^{\circ}$ , *V* = 1501.61(9) Å<sup>3</sup>, *T* = 100(2) K, space group *P*212121, *Z* = 4,  $\mu$ (Cu K $\alpha$ ) = 0.768 mm<sup>-1</sup>, 12029 reflections measured, 2967 independent reflections ( $R_{int} = 0.0391$ ). The final  $R_1$  values were 0.0314 (*I* > 2 $\sigma$ (*I*)). The final *wR*(*F*<sup>2</sup>) values were 0.0802 (*I* > 2 $\sigma$ (*I*)). The final  $R_1$  values were 0.0325 (all data). The final *wR*(*F*<sup>2</sup>) values were 0.0813 (all data). The goodness of fit on *F*<sup>2</sup> was 1.049. Flack parameter = 0.06(7). CCDC: 2059695 (https://www.ccdc.cam.ac.uk (accessed on 13 November 2021)).

*X-ray Crystallographic Data for Craterodoratin C* (3).  $C_{15}H_{24}O_4$ , M = 268.34, a = 9.9718(3) Å, b = 7.2863(2) Å, c = 10.4844(3) Å,  $\alpha = 90^\circ$ ,  $\beta = 111.5850(10)^\circ$ ,  $\gamma = 90^\circ$ , V = 708.35(4) Å<sup>3</sup>, T = 100(2) K, space group *P*1211, Z = 2,  $\mu$ (Cu K $\alpha$ ) = 0.729 mm<sup>-1</sup>, 13370 reflections measured, 2751 independent reflections ( $R_{int} = 0.0334$ ). The final  $R_1$  values were 0.0290 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.0749 ( $I > 2\sigma(I)$ ). The final  $R_1$  values were 0.0291 (all data).

The final  $wR(F^2)$  values were 0.0750 (all data). The goodness of fit on  $F^2$  was 1.039. Flack parameter = 0.06(6). CCDC: 2059696 (https://www.ccdc.cam.ac.uk (accessed on 13 November 2021)).

*X-ray Crystallographic Data for Craterodoratin D* (4).  $C_{15}H_{24}O_4$ , M = 268.34, a = 6.4802(4) Å, b = 10.8539(7) Å, c = 10.8186(7) Å,  $\alpha = 90.00^\circ$ ,  $\beta = 101.555(2)^\circ$ ,  $\gamma = 90.00^\circ$ , V = 745.51(8) Å<sup>3</sup>, T = 297(2) K, space group *P*1211, Z = 2,  $\mu$ (Cu K $\alpha$ ) = 1.54178, 15119 reflections measured, 3109 independent reflections ( $R_{int} = 0.0263$ ). The final  $R_1$  values were 0.0293 (all data). The final  $wR(F^2)$  values were 0.0798 (all data). The goodness of fit on  $F^2$  was 1.051. Flack parameter = 0.09(4). CCDC: 2059697 (https://www.ccdc.cam.ac.uk (accessed on 13 November 2021)).

*X-ray Crystallographic Data for Craterodoratin E* (5).  $C_{15}H_{24}O_5 \cdot H_2O$ , M = 302.36, a = 25.5045(11) Å, b = 6.4589(3) Å, c = 19.4274(8) Å,  $\alpha = 90^\circ$ ,  $\beta = 97.923(2)^\circ$ ,  $\gamma = 90^\circ$ , V = 3169.7(2) Å<sup>3</sup>, T = 100(2) K, space group C121, Z = 8,  $\mu$ (Cu K $\alpha$ ) = 0.805 mm<sup>-1</sup>, 50,328 reflections measured, 6168 independent reflections ( $R^{int} = 0.0956$ ). The final  $R_1$  values were 0.0680 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.1739 ( $I > 2\sigma(I)$ ). The final  $R_1$  values were 0.0741 (all data). The final  $wR(F^2)$  values were 0.1821 (all data). The goodness of fit on  $F^2$  was 1.085. Flack parameter = 0.26(11). CCDC: 2059698 (https://www.ccdc.cam.ac.uk (accessed on 13 November 2021)).

#### 2.4. NMR and ECD Calculations

Details of NMR and ECD calculations for compounds **1**, **6–8**, **10**, **11** and **18** were given in the Supplementary Materials.

#### 2.5. Cytotoxicity Assay

There are five human cancer cell lines using in this assay including human myeloid leukemia HL-60, human breast cancer MCF-7, human colon cancer SW480, human hepatocellular carcinoma SMMC-7721, and human lung cancer A-549 cells. All selected cells were stored in DMEM medium or RPMI-1640, supplemented with 10% fetal bovine serum (Hyclone, Logan, UT, USA) at 37 °C. The MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide) method in 96-well microplates was used for cytotoxicity assay [22]. In brief, 100 µL adherent cells were seeded into each well for 12 h before drug addition, while suspended cells were seeded just before adding the test compound with initial density of 1 × 10<sup>5</sup> cells/mL. The tumor cell line was exposed to the test compound at concentrations of 0.0625, 0.32, 1.6, 8 and 40 µM in triplicates for 48 h. Taxol (Sigma, St. Louis, MO, USA) was used as a positive control. The cell viability was detected, and the cell growth curve was graphed after compound treatment. The IC<sub>50</sub> values were calculated by the Reed and Muench's method [23].

#### 2.6. Immunosuppressive Activities Assay

#### 2.6.1. Preparation of Spleen Cells from Mice

Female BALB/c mice were sacrificed by cervical dislocation, and their spleens were aseptically removed. After cell debris, mononuclear cell suspensions were prepared, and clumps were removed. Erythrocytes were depleted with ammonium chloride buffer solution. Lymphocytes were washed and resuspended in RPMI 1640 medium supplemented with 10% FBS, penicillin (100 U/mL), and streptomycin (100 mg/mL).

#### 2.6.2. Cytotoxicity Assay

We used the Cell Counting Kit-8 (CCK-8) assay to test cytotoxicity. In brief, fresh spleen cells were obtained from female BALB/c mice (18–20 g). Spleen cells ( $1 \times 10^6$  cells) were seeded in triplicate in 96-well flat plates and cultured at 37 °C for 48 h, with or without compounds of various concentrations, in a humidified and 5% CO<sub>2</sub>-containing incubator. A certain amount of CCK-8 was added to each well during the last 8–10 h of culture. At the end of the culture, we use a microplate reader (Bio-Rad 650) to measure the OD value at 450 nm. Cyclosporin A (CsA) is an immunosuppressant agent which is used as a positive

control with definite activity. Only the OD value of culture medium is used as background. The cytotoxicity of compounds was expressed as the concentration of the compounds that reduces cell viability to 50% (CC<sub>50</sub>).

# 2.6.3. T and B Cell Function Assay

As mentioned above, fresh spleen cells were obtained from female BALB/c mice (18–20 g). Similarly, the  $5 \times 10^5$  spleen cells were cultured at the same conditions. The cultures, with or without various concentrations of compounds, were stimulated with  $5 \mu g/mL$  of concanavalin A (ConA) to induce the T cells' proliferative response or  $10 \mu g/mL$  of lipopolysaccharide (LPS) to induce B cells' proliferative response. Proliferation was assessed in terms of uptake of [<sup>3</sup>H]-thymidine during 8 h of pulsing with 25  $\mu$ L/well of [<sup>3</sup>H]-thymidine, then cells will be harvested onto glass fiber filters. A Beta scintillation counter was used to count the incorporated radioactivity. Cells treated without any stimuli were used as a negative control. The immunosuppressive activity was expressed as the concentration of the compound that inhibited T or B cell proliferation to 50% (IC<sub>50</sub>) of the control value. Both the cytotoxicity and proliferation assessment were repeated twice.

## 3. Results and Discussion

Compound 1 was isolated as a colorless oil. Its molecular formula of C<sub>15</sub>H<sub>24</sub>O<sub>3</sub> was determined by positive high-resolution electrospray ionization mass spectrometry (HRESIMS) analysis, corresponding to four degrees of unsaturation. In the <sup>1</sup>H NMR data, three singlets for methyl groups were readily observed at  $\delta_{\rm H}$  1.80 (3H, s, Me-13),  $\delta_{\rm H}$  0.93 (3H, s, Me-15),  $\delta_{\rm H}$  0.85 (3H, s, Me-14) (Table 1). The <sup>13</sup>C NMR and DEPT data revealed 15 carbon resonances including three CH<sub>3</sub>, five CH<sub>2</sub>, three CH, and four non-protonated carbons (Table 1). Of them, two olefinic carbons at  $\delta_{\rm C}$  141.1 (C-10) and 128.5 (C-11), and one carboxy carbon at  $\delta_{\rm C}$  171.3 (C-12) occupied two degrees of unsaturation, which suggested that 1 should be a bicycle sesquiterpenoid. Preliminary analysis of 1D and 2D NMR data, with respect to those in previous isolated from the same source, suggested that 1 might be a bergamotane-type sesquiterpenoid. The  ${}^{1}H$ -1 COSY spectrum afforded evidence to form a fragment as shown in Figure 2. Through the HMBC correlations from  $\delta_{\rm H}$  0.85 (3H, s, Me-14) to  $\delta_{\rm C}$  49.9 (C-1), 76.3 (C-2), 25.8 (C-6), and 50.9 (C-7), as well as correlations from  $\delta_{\rm H}$  0.93 (3H, s, Me-15) to  $\delta_{\rm C}$  49.9 (C-1), 41.9 (C-4), 50.9 (C-7), and 30.9 (C-8), the positions of two methyl groups including Me-14 at C-1 and Me-15 at C-7 were established (Figure 2). Furthermore, the carboxyl group was identified to be conjugated with the double bond by HMBC correlations from  $\delta_{\rm H}$  1.80 (3H, s, Me-13) to  $\delta_{\rm C}$  141.1 (C-10), 128.5 (C-11), and 171.3 (C-12). In the ROESY spectrum (Figure 3), the cross peaks of H<sub>3</sub>-15/H-5a ( $\delta_{\rm H}$  1.69), H<sub>3</sub>-15/H-6b ( $\delta_{\rm H}$  1.23), and H-3a ( $\delta_{\rm H}$  2.16)/H-8a ( $\delta_{\rm H}$  1.46) indicated that Me-15 and CH<sub>2</sub>-5, CH<sub>2</sub>-6 were on the same side. The cross peaks of  $H_2$ -9/H<sub>3</sub>-13 and the absent correlation between H<sub>3</sub>-13 and H-10 confirmed the *E*-configured double bond. However, the relative configuration of 2-OH could not be determined by the ROESY data. The theoretical NMR calculations and DP4+ probability analyses were employed on two possible structures of (1S\*,2R\*,4S\*,7R\*)-1a and (1S\*,2S\*,4S\*,7R\*)-1b, and the calculations messages suggested that  $(1S^*, 2R^*, 4S^*, 7R^*)$ -1a was the correct relative configuration for 1 (see Table S3 in Section S1). Finally, the absolute configuration of 1 was established to be 15,2R,4S,7R by ECD calculations (Figure 5). Therefore, compound 1 was identified and named as craterodoratin A.



Figure 2. <sup>1</sup>H-<sup>1</sup>H COSY and key HMBC correlations of 1–3, 7, 9, 13, and 17–19.



Figure 3. ROESY correlations of 1, 2, 7, 8, and 13.

Compound **2** was isolated as colorless crystals. Its molecular formula of  $C_{15}H_{22}O_4$  was determined by HRESIMS analysis, corresponding to five degrees of unsaturation. All the spectroscopic data indicated similar patterns to those of **1**, suggesting that **2** has a

similar structure to **1**. Detailed analysis of 1D and 2D NMR data revealed the differences. Firstly, Me-15 was oxygenated into a hydroxymethylene in **2** as suggested by the HMBC correlations from  $\delta_{\rm H}$  3.64 (1H, d, *J* = 11.0 Hz, H-15a) and  $\delta_{\rm H}$  3.47 (1H, d, *J* = 11.0 Hz, H-15b) to  $\delta_{\rm C}$  43.5 (C-1), 42.3 (C-4), 52.5 (C-7) and 33.7 (C-8) (Figure 2). Secondly, Me-14 shifted from C-1 to C-2 in **2** which supported by HMBC correlations from  $\delta_{\rm H}$  1.25 (3H, s, Me-14) to  $\delta_{\rm C}$  43.5 (C-1), 81.8 (C-2), and 48.2 (C-3). Thirdly, analysis of the MS data and carbon shifts at  $\delta_{\rm C}$  81.8 (C-2) and  $\delta_{\rm C}$  66.4 (C-9), as well as the HMBC from  $\delta_{\rm H}$  4.64 (1H, m, H-9) to  $\delta_{\rm C}$  81.8 (C-2) indicated an ether bond between C-2 and C-9. The ROESY (Figure 3) cross peaks of H<sub>3</sub>-14/H-5b, and H<sub>3</sub>-14/H-6b indicated Me-14, H-5b, and H-6b were on the same side, cross peaks of H<sub>2</sub>-15/H-6a, and H<sub>2</sub>-15/H-5a indicated CH<sub>2</sub>-15, H-5a, and H-6a were on the same side, while correlations between H-9/H<sub>3</sub>-13 and the absence of the ROESY correction of between H<sub>3</sub>-13/H-10 confirmed the *E*-configured double bond. Finally, the single-crystal X-ray diffraction established the structure of **2** with the absolute configuration (Flack parameter = 0.06 (7), CCDC: 2059695. Figure 4). Therefore, compound **2** was identified and named as craterodoratin B.



Figure 4. ORTEP diagrams of 2–5 showing absolute configurations.

Compound **3** was isolated as colorless crystals. Its molecular formula of  $C_{15}H_{24}O_4$  was determined by HRESIMS data, corresponding to four degrees of unsaturation. The <sup>13</sup>C NMR and DEPT data (Table 1) displayed 15 carbon resonances including two methyl carbons ( $\delta_C$  12.3 and 17.0), one olefinic methine carbons ( $\delta_C$  144.1), one oxygenated methine carbon ( $\delta_C$  79.7), six methylenes, one methine, two sp<sup>3</sup> quaternary carbons ( $\delta_C$  55.8 and 43.2), and one carboxyl carbon ( $\delta_C$  172.0). Analysis of 2D NMR data including <sup>1</sup>H-<sup>1</sup>H COSY and HMBC correlations as shown in Figure 2 suggested that **3** should be a bergamotane-type sesquiterpenoid related to (*Z*)-2 $\alpha$ -hydroxyalbumol [24]. The differences were that C-12 and C-15 in **3** were oxidized into a carboxy group and a hydroxymethylene group, respectively. In the ROESY spectrum, cross peaks of H-7a and H-8 supported that C-7 and C-8 were on the same side. Besides, the double bond between C-10 and C-11 in **3** was

assigned as *E* geometry by the ROESY correlation of H-9/H<sub>3</sub>-13. The single-crystal X-ray diffraction established the absolute configuration of **3** (Flack parameter = 0.06(6), CCDC: 2059696, Figure 4). Therefore, compound **3** was identified and named as craterodoratin C.

Compound **4** was isolated as colorless crystals. Its molecular formula of  $C_{15}H_{24}O_4$  was determined by HRESIMS data, the same as that of **3**. All the spectroscopic data showed similar patterns to those of **3**, except one oxygenated methylene group of C-15 was reduced to a methyl group in **4**. This was supported by the key HMBC correlations from  $\delta_H$  0.91 (3H, s, Me-15) to  $\delta_C$  41.5 (C-1), 83.5 (C-2), and 25.0 (C-6). In addition, C-9 was oxidized into a hydroxymethine group, as demonstrated by the HMBC correlations from  $\delta_H$  4.58 (1H, m, H-9) to  $\delta_C$  48.9 (C-8), 144.6 (C-10), and 126.6 (C-11). The single-crystal X-ray diffraction not only confirmed the planar structure as elucidated above but also established the absolute configuration (Flack parameter = 0.09(4), CCDC: 2059697, Figure 4). Therefore, compound **4** was identified and named as craterodoratin D.

Compound **5** was isolated as colorless crystals. Its molecular formula of  $C_{15}H_{24}O_5$  was determined by HRESIMS data, corresponding to four degrees of unsaturation. The 1D NMR data (Table 2) indicated that **5** has a closely related structure to that of **4**, except that one methyl group of C-14 was oxygenated into a hydroxyl methylene group in **5**. It was supported by the loss of one methyl signal in the <sup>1</sup>H NMR spectrum and the HMBC correlations from  $\delta_H$  3.64 (1H, d, J = 11.7 Hz, H-14a) and  $\delta_H$  3.53 (1H, d, J = 11.7 Hz, H-14b) to  $\delta_C$  86.0 (C-2), 47.3 (C-3), 44.0 (C-4), and 47.0 (C-8). The single-crystal X-ray diffraction confirmed the planar structure and established the absolute configuration (Flack parameter = 0.26(11), CCDC: 2059698. Figure 4). Thus, compound **5** was identified and named as craterodoratin E.

Compound **6** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{24}O_5$  was determined by HRESIMS data, corresponding to four degrees of unsaturation. All the spectroscopic data indicated that **6** had a similar structure to that of **4**. Detailed analysis of 1D and 2D NMR data revealed that C-8 was oxygenated into a hydroxymethine group in **6** as established by the shift at  $\delta_C$  80.5 (C-8) and MS data. The coupling constant ( ${}^{3}J_{\text{H-H}} = 6.0 \text{ Hz}$ ) of H-8/H-9 of **6** observed in <sup>1</sup>H NMR spectrum and a strong ROESY correlation between H-9 and H-2 suggested *anti* configuration of H-8 and H-9 [25]. To determine the stereochemistry of C-8, the theoretical NMR calculations and DP4 + probability analyses were employed on two possible relative structures ( $1R^*$ , $2R^*$ , $3R^*$ , $4S^*$ , $8R^*$ , $9S^*$ )-**6b** was the correct relative configuration for **6** (see Table S6 in Section S2). Based on this, the absolute configuration of **6** was suggested to be 1R,2R,3R,4S,8R,9S by the ECD calculations (Figure 5). Therefore, compound **6** was identified and named as craterodoratin F.

Compounds 7 and 8 were isolated as a pair of epimers. They possessed the same molecular formula of  $C_{16}H_{22}O_4$ , on the basis of HRESIMS data. Analysis of 1D (Table 1) and 2D NMR data suggested that the planar structures of 7 and 8 were similar to that of donacinoic acid A (23) [9], except the ether bond between C-2 and C-14 was cut off to give a methoxy group at C-14. In the ROESY spectra, the observed correlations of H-14/H-15a in 7 and H-5/H-14 in 8 suggested that the stereo-configurations of C-14 in 7 and 8 were different. The theoretical NMR calculations and DP4 + probability analyses were employed to elucidate the relative configurations of 7 and 8 (see Tables S9 and S10). Then, the ECD calculations established the absolute configurations to be 7*S*,9*S*,14*S* for 7 and 7*S*,9*S*,14*R* for 8 (Figure S9 in Section S3). Finally, compounds 7 and 8 were identified and named as craterodoratins G and H, respectively.



Figure 5. ECD calculations for compounds 1, 6, 7, 8, 10, 11, and 18.

Compound 9 was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{22}O_3$  was determined on the basis of the HRESIMS data, corresponding to five degrees of unsaturation. Analysis of its NMR data (Table 3) indicated similar patterns to those of massarinolin B [15], except that C-9 in massarinolin B was reduced to a methylene in 9 as determined by the MS data and <sup>1</sup>H–<sup>1</sup>H COSY correlations (Figure 2). Therefore, compound 9 was identified and named as craterodoratin I.

Compound **10** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{22}O_5$  was determined by HRESIMS analysis, corresponding to five degrees of unsaturation. The <sup>1</sup>H and <sup>13</sup>C NMR (Table 3) spectroscopic characteristics were similar to those of **9**, except for two hydroxy groups placed at C-15 and C-9 in **10**. These were determined by the HMBC correlations from  $\delta_H$  4.45 (1H, td, J = 8.7, 3.8 Hz, H-9) to  $\delta_C$  40.2 (C-8), 143.6 (C-10), 127.3 (C-11), and from  $\delta_H$  3.49 (1H, d, J = 11.4 Hz, H-15a), 3.45 (1H, d, J = 11.4 Hz, H-15b) to  $\delta_C$  88.2 (C-2), 27.4 (C-3), 48.0 (C-1). According to the ROESY correlation between H-9 and H-13, the double bond was established as *E* form. The absolute configuration was established by ECD calculations as shown in Figure 5. Therefore, compound **10** was identified and named as craterodoratin J.

Compound **11** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{22}O_5$  was determined by HRESIMS analysis, corresponding to five degrees of unsaturation. The <sup>1</sup>H and <sup>13</sup>C NMR and DEPT (Table 3) data of **11** displayed signals for structural features similar to **10**, except that one hydroxy was transfered from C-15 to C-3. The location was determined by the HMBC correlations from  $\delta_H$  1.31 (3H, s, Me-15) to  $\delta_C$  87.3 (C-2), 72.2 (C-3), 51.3 (C-1), and from  $\delta_H$  3.63 (1H, d, J = 6.8 Hz, H-3) to  $\delta_C$  87.3 (C-2), 32.8 (C-4). The stereo-configurations for C-3 and C-9 could not be established according to the ROESY data. Thus, the theoretical NMR calculations and DP4 + probability analyses were employed on four possible structures (see Table S17 in Section S5). Based on these data, the absolute configuration of **11** was suggested to be 1*R*,*2S*,*3S*,*5R*,*7S*,*9S* by the ECD calculations (Figure 5). Therefore, compound **11** was identified and named as craterodoratin K.

Compound **12** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{18}O_5$  was determined by HRESIMS analysis, corresponding to seven degrees of unsaturation. The <sup>1</sup>H and <sup>13</sup>C NMR and DEPT (Table 3) data of **12** displayed signals for structural features similar to **9**, except that C-14 and C-9 were oxidized into two carbonyl carbons in **12**. The locations were determined by the HMBC correlations from  $\delta_H$  3.15 (2H, q, *J* = 3.2 Hz, H-8) to  $\delta_C$  40.2 (C-5), 53.6 (C-7), 179.3 (C-14), and 199.4 (C-9). Therefore, compound **12** was identified and named as craterodoratin L.

Compound **13** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{24}O_3$  was determined by HRESIMS analysis, corresponding to four degrees of unsaturation. The <sup>13</sup>C NMR and DEPT spectrum (Table 4) displayed 15 carbon resonances including two methyl carbons, one olefinic methine carbon, one quaternary olefinic carbon, five methylenes, three methines, and one quaternary carbon. Analysis of 1D and 2D NMR data suggested that **13** had a similar structure to that of massarinolin C (**21**) [15]. The locations of 7-Me and 2-CH<sub>2</sub>OH were determined by the HMBC correlations from  $\delta_H$  0.89 (3H, s, Me-14) to  $\delta_C$  40.3 (C-1), 39.2 (C-5), 42.1 (C-7), 37.3 (C-8), and from  $\delta_H$  3.34 (2H, dd, *J* = 6.0, 2.6 Hz, H-15) to  $\delta_C$  40.3 (C-1), 37.2 (C-2), 18.0 (C-3). In the ROESY spectrum (Figure 3), the cross peak of H<sub>3</sub>-14/H-2 indicated that Me-14 and H-2 were on the same side, while the cross peak of H-9/H-13 indicated the *E* form of the double bond. Therefore, compound **13** was identified as craterodoratin M.

Compound **14** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{24}O_4$  was determined by HRESIMS analysis, corresponding to four degrees of unsaturation. All 1D and 2D NMR data suggested that **14** had a structure closely related to that of **13** except that one more hydroxy group at C-1 in **14**, which was supported by the HMBC correlations from  $\delta_H$  1.09 (3H, s, Me-14) to  $\delta_C$  73.5 (C-1), 47.3 (C-5), and 48.4 (C-7). Detailed analysis of 2D NMR data suggested that the other parts of **14** were the same as those of **13**. Therefore, compound **14** was identified and named as craterodoratin N.

Compound **15** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{22}O_3$  was determined by HRESIMS analysis, corresponding to five degrees of unsaturation. The <sup>1</sup>H and <sup>13</sup>C NMR and DEPT (Table 4) data of **15** displayed a close resemblance to those of **13**. One significant difference was that the hydroxy group was substituted at C-5, as evidenced by HMBCs from  $\delta_H$  2.33 (1H, m, H-6a) and 1.79 (1H, d, *J* = 9.5 Hz, H-6b) to  $\delta_C$  43.2 (C-1), 74.9 (C-5), and 48.6 (C-7). In addition, one terminal double bond was established between C-2 and C-15, as proved by HMBC correlations from  $\delta_H$  4.63 (2H, d, *J* = 2.0 Hz, H-15) to  $\delta_C$ 

43.2 (C-1), 149.4 (C-2), and 24.7 (C-3). Therefore, compound **15** was identified and named as craterodoratin O.

Compound **16** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{22}O_3$  was determined to be the same to that of **15** by HRESIMS data. Detailed analysis of 1D and 2D NMR data suggested that **16** had a very similar structure to that of **15** except for the hydroxy group at C-14 in **16** replaced at C-5 in **15**. It was supported by the HMBC correlations from  $\delta_H$  3.43 (1H, d, J = 11.7 Hz, H-14a) and  $\delta_H$  3.33 (1H, d, J = 11.7 Hz, H-14b) to  $\delta_C$  47.6 (C-1), 37.6 (C-5), 29.9 (C-8). Therefore, compound **16** was identified and named as craterodoratin P.

Compound **17** was isolated as a colorless oil. Its molecular formula of  $C_{17}H_{24}O_5$  was determined by HRESIMS analysis, corresponding to six degrees of unsaturation. The <sup>1</sup>H and <sup>13</sup>C NMR and DEPT (Table 5) data of **17** displayed a close resemblance to those of massarinolin C (**21**) [15]. Analysis of 2D NMR data suggested that one more *O*-acetyl group was substituted at C-14, as evidenced by HMBC correlations from  $\delta_H$  4.00 (1H, d, J = 11.9 Hz, H-14a) and 3.93 (1H, d, J = 11.9 Hz, H-14b) to  $\delta_C$  48.2 (C-1), 38.5 (C-5), 37.9 (C-8), 171.6 (-OOCCH<sub>3</sub>). The absolute configuration of **17** was established by ECD calculations as shown in Figure S18 in Section S6. Therefore, compound **17** was identified and named craterodoratin Q.

Compound **18** was isolated as a colorless oil. Its molecular formula of  $C_{15}H_{22}O_5$  was determined by HRESIMS data, corresponding to five degrees of unsaturation. The 1D NMR data (Table 5) indicated that **18** should have a closely related structure to that of brasilamide A [26]. One significant difference was that the amide group was replaced by a carboxy group at  $\delta_C$  172.6 (C-12), as evidenced by the MS data. In addition, C-9 and C-14 in **18** was reduced into a hydroxymethine group ( $\delta_C$  65.7) and a methylene ( $\delta_C$  69.3), respectively, as supported by the HMBC correlations from  $\delta_H$  4.45 (1H, m, H-9) to  $\delta_C$  39.5 (C-8) and 141.4 (C-10), and from  $\delta_H$  4.01 (1H, d, *J* = 10.2 Hz, H-14a) and 3.94 (1H, d, *J* = 10.2 Hz, H-14b) to  $\delta_C$  45.0 (C-1), 39.2 (C-7), 96.5 (C-3), and 37.7 (C-5). Based on the ROESY data, cross peaks of H-9/H-14 supported that H-9 and C-14 were on the same side; cross peaks of H-2/H-6b supported that H-2 and C-14 were on the same side. Finally, the absolute configuration of **18** was suggested to be 1*S*, 2*S*, 3*R*, 5*R*, 7*S*, 9*S* by the ECD calculations (Figure 5). Therefore, compound **18** was identified and named as craterodoratin R.

Compound **19** was isolated as a colorless oil. Its molecular formula of  $C_{17}H_{27}NO_2$  was determined by HRESIMS data, which suggested an *N*-containing structure. The 1D and 2D NMR data of **19** were similar to those of victoxinine [19,27], except that one oxygenated methylene carbon at C-17 in victoxinine was replaced by a carboxyl group in **19** as proved by HMBC correlation from  $\delta_H$  3.26 (2H, t, *J* = 6.0 Hz, H-16) to  $\delta_C$  174.0 (C-17). Detailed analysis of 2D NMR data suggested that the other parts of **19** were the same as that of victoxinine. Therefore, compound **19** was identified and named as craterodoratin S.

In addition to the new compounds as described above, four known sesquiterpenoids obtained in this study were identified as massarinolin B (20) [15,28], massarinolin C (21) [15], massarinolin A (22) [15], and donacinoic acid A (23) [9] by comparison of their spectroscopic data with those reported in the literature. The biosynthesis of the isolated compounds 1-18 and 20-23 was proposed as shown in Scheme 1. Bergamotane sesquiterpenoids, bearing a borneol ring system or a  $\beta$ -pinene ring system, are naturally occurring in plants and fungi (Scheme 1) [9,14–17,29–34]. In this study, compounds 1 and 2 possess a rare carbon skeleton while the methyl group (C-14) in 2 had a further 1,2-migration. The sesquiterpenes 7–18 belong to  $\beta$ -pinene derivatives (Scheme 1), which produced compounds 3-6 by an alkyl migration.



Scheme 1. Proposed Biosynthetic Pathways for Bergamotane-type Sesquiterpenes.

All compounds were evaluated for their cytotoxicity to five human cancer cell lines (HL-60, A-549, SMMC-7721, SW480, MCF-7). However, no compounds are active at the concentration of 40  $\mu$ M. In addition, all the compounds were investigated for their in vitro immunomodulatory effect on BALB/c mice T and B lymphocyte proliferation. Compounds **3**, **10**, **12–15**, **19**, **20**, and **23** exhibited potent inhibitory activity against LPS-induced proliferation of B lymphocyte cell with IC<sub>50</sub> values ranging from 0.67 to 22.68  $\mu$ M. Compounds **17** and **20** exhibited inhibitions on ConA-induced proliferation of T lymphocyte cells with IC<sub>50</sub> values of 31.50 and 0.98  $\mu$ M, respectively (Table 6).

Table 6. Immunosuppressive Tests of the Isolates.

| Entry | СС <sub>50</sub> (µМ) | Con A-Indu<br>Prolifer | ced T-Cell<br>ation | LPS-Induce<br>Prolifer | ed B-Cell<br>ation |
|-------|-----------------------|------------------------|---------------------|------------------------|--------------------|
|       |                       | IC <sub>50</sub> (μM)  | SI <sup>a</sup>     | IC <sub>50</sub> (μM)  | SI <sup>a</sup>    |
| 3     | >40                   | —                      | _                   | $12.62 \pm 1.14$       | >3.17              |
| 10    | >40                   | —                      | —                   | $19.40\pm0.48$         | >2.06              |
| 12    | >40                   | _                      | _                   | $13.71\pm0.65$         | >2.92              |
| 13    | >40                   | —                      | _                   | $15.43 \pm 1.03$       | >2.59              |
| 14    | >40                   | _                      | _                   | $13.26\pm1.29$         | >3.02              |
| 15    | >40                   | _                      | _                   | $17.12 \pm 1.14$       | >2.34              |
| 17    | >40                   | $31.50\pm1.79$         | >1.27               | _                      | _                  |
| 19    | >40                   | _                      | _                   | $22.68 \pm 1.67$       | >1.76              |
| 20    | >40                   | $0.98\pm0.01$          | >40.82              | $0.67\pm0.004$         | >59.26             |
| 23    | >40                   | _                      | _                   | $13.23\pm0.97$         | >3.02              |
| CsA   | >2.80                 | 0.04                   | >70.00              | 0.47                   | >5.95              |

<sup>*a*</sup> SI (selectivity index) is determined as the ratio of the concentration of the compounds that reduced cell viability to 50% ( $CC_{50}$ ) to the concentration of the compounds needed to inhibit the proliferation by 50% relative to the control value ( $IC_{50}$ ).

Structurally, almost all bergamotane sesquiterpenoids in this study contain an  $\alpha$ , $\beta$ -unsaturated carboxylic acid moiety, which might be the key functional group for their immunosuppressive activity. However, it seems that the compounds (**10** and **12–15**) with a basic core of a  $\beta$ -pinene showed a wider range of biological activities. Immunosuppressants are a kind of drug that can inhibit human immunity. They are mainly used in organ transplantation to combat rejection and autoimmune diseases. At present, there are several immunosuppressive drugs that work by inhibiting T cell proliferation, but new, efficient, and safe immunosuppressive drugs inhibiting B cell proliferation are still unavailable [35,36]. In this study, many bergamotane sesquiterpenoids were found to have potential inhibition of B cell proliferation. To the best of our knowledge, bergamotane sesquiterpenoids were reported for their immunosuppressive activity for the first time.

#### 4. Conclusions

In summary, a total of 23 sesquiterpenoids including 19 new ones were isolated from the edible fungus *C. ordoratus*. Of them, 22 compounds belong to bergamotane sesquiterpenoids in three carbon skeletons, while compounds **1** and **2** possess a rare ring-rearranged backbone. In addition, many bergamotane sesquiterpenoids exhibited selective inhibitions on LPS-induced B cell proliferation. This study suggests that *C. odoratus* is rich in bergamotane sesquiterpenoids with promising immunosuppressive activity and provides strong support for the further development and utilization of the edible mushroom *C. odoratus*.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/ 10.3390/jof7121052/s1, Section S1: Calculational details for **1**, Section S2: Calculational details for **6**, Section S3: Calculational details for 6*S*,9*S*,14*S*-7 and 6*S*,9*S*,14*R*-8, Section S4: Calculational details for **10**, Section S5: Calculational details for **11**, Section S6: Calculational details for **17**,Section S7: Calculational details for **18**, Section S8: NMR and MS spectra for **1**, Section S9: NMR and MS spectra for **2**, Section S10: NMR and MS spectra for **3**, Section S11: NMR and MS spectra for **4**, Section S12: NMR and MS spectra for **5**, Section S13: NMR and MS spectra for **6**, Section S14: NMR and MS spectra for 6*S*,9*S*,14*S*-7, Section S15: NMR and MS spectra for **6**, Section S16: NMR and MS spectra for **9**, Section S17: NMR and MS spectra for **10**, Section S18: NMR and MS spectra for **11**, Section S19: NMR and MS spectra for **12**, Section S20: NMR and MS spectra for **13**, Section S21: NMR and MS spectra for **14**, Section S22: NMR and MS spectra for **15**, Section S23: NMR and MS spectra for **16**, Section S24: NMR and MS spectra for **17**, Section S25: NMR and MS spectra for **16**, Section S24: NMR and MS spectra for **17**, Section S25: NMR and MS spectra for **16**, Section S24: NMR and MS spectra for **17**, Section S25: NMR and MS spectra for **18**, and Section S26: NMR and MS spectra for **19**.

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# Article Sesquiterpenoids and Xanthones from the Kiwifruit-Associated Fungus *Bipolaris* sp. and Their Anti-Pathogenic Microorganism Activity

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**Abstract**: Nine previously undescribed sesquiterpenoids, bipolarisorokins A–I (1–9); two new xanthones, bipolarithones A and B (10 and 11); two novel sativene-xanthone adducts, bipolarithones C and D (12 and 13); as well as five known compounds (14–18) were characterized from the kiwifruit-associated fungus *Bipolaris* sp. Their structures were elucidated by extensive spectroscopic methods, electronic circular dichroism (ECD), <sup>13</sup>C NMR calculations, DP4+ probability analyses, and single crystal X-ray diffractions. Many compounds exhibited anti-pathogenic microorganism activity against the bacterium *Pseudomonas syringae* pv. *actinidiae* and four pathogenic microorganisms.

**Keywords:** *Bipolaris* sp.; kiwi-associated fungus; sesquiterpenoid; xanthone; anti-pathogenic microorganism activity

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## 1. Introduction

Kiwifruit (Actinidia chinensis Planch., Actinidiaceae) is an emerging, healthy, and economical fruit which has become increasingly popular worldwide owing to its flavor and nutritional properties [1]. It is an excellent source of vitamin C and provides balanced nutritional components of minerals, dietary fiber, folate, and health-promoting metabolites [2,3]. China is the leading kiwifruit producer in the world, followed by Italy and New Zealand. The cultivation area and annual output reached 243,000 hm<sup>2</sup> and 2,500,000 tons at the end of 2020 [4]. Nevertheless, as the cultivation of kiwifruit expands rapidly, many serious diseases such as bacterial canker, soft rot, bacterial blossom blight, brown spot, and root rot are a serious and ongoing threat to kiwifruit production [5–12]. Particularly, the destructive bacterial canker disease, which is associated with an infection by *P. syringae* pv. actinidiae (Psa), has led to reduced kiwifruit production and huge economic losses worldwide [13,14]. Although the application of copper-based chemicals and streptomycin have played a positive role in the prevention and treatment of bacterial canker, these chemical residues are extremely threatening to human health and the ecological environment [15,16]. Additionally, chemical fungicides easily induce pathogen resistance [17,18]. Thus, it is urgent to develop safer and more effective biological pesticides.

Endophytic microorganisms reside within different tissues of the host plant without causing any disease symptoms and produce various metabolites with different activities [19,20]. Therefore, the endophytic fungi have been proved to be valuable sources of important natural products [21,22]. Some natural products from endophytic fungi play important roles in plant defense systems. Therefore, we carried out the excavation of anti-Psa active substances from metabolites of kiwifruit endophytes and harvested a number of bioactive molecules. For instance, 3-decalinoyltetramic acids and cytochalasins from the kiwifruit endophytic fungus *Zopfiella* sp showed anti-Psa activity [23,24], while imidazole alkaloids ether were characterized as anti-Psa agents from *Fusarium tricinctum* [25]. These

discoveries prompted us to search for more novel and bioactive metabolites from kiwifruitassociated fungi. In the current study, a total of eighteen compounds have been isolated from the large-scale fermentation of the kiwifruit-associated fungus *Bipolaris* sp. (Figure 1), which included nine new sativene or longifolene sesquiterpenoids, bipolarisorokins A–I (**1–9**); two new xanthones, bipolarithones A and B (**10** and **11**); two novel sativene-xanthone adducts, bipolarithones C and D (**12** and **13**); as well as five known ones (**14–18**). Their structures were established by means of spectroscopic methods, namely, ECD and <sup>13</sup>C NMR calculations, DP4+ probability analyses, and single crystal X-ray diffractions. All compounds were evaluated for their inhibitory activities against Psa. Additionally, their inhibitory activity against four phytopathogens (*Phytophthora infestans, Alternaria solani, Rhizoctonia solani,* and *Fusarium oxysporum*) were assessed. Here, the details of isolation, structural elucidation, and bioactivity evaluations for **1–18** are reported.



Figure 1. Structures of compounds 1–18.

## 2. Materials and Methods

#### 2.1. General Experimental Procedures

Melting points were obtained on an X-4 micro melting point apparatus. Optical rotations were measured with an Autopol IV polarimeter (Rudolph, Hackettstown, NJ, USA). UV spectra were obtained using a double beam spectrophotometer UH5300 (Hitachi High-Technologies, Tokyo, Japan). IR spectra were obtained by a Shimadzu IRTracer-100 spectrometer using KBr pellets. 1D and 2D NMR spectra were run on a Bruker Avance III 600 MHz spectrometer with TMS as an internal standard. Chemical shifts ( $\delta$ ) were expressed in ppm with references to the solvent signals. High resolution electrospray ionization mass spectra (HR-ESIMS) were recorded on a LC-MS system consisting of a Q Exactive<sup>TM</sup> Orbitrap mass spectrometer with an HRESI ion source (ThermoFisher Scientific, Bremen, Germany) used in ultra-high-resolution mode (140,000 at m/z 200) and a UPLC system

(Dionex UltiMate 3000 RSLC, ThermoFisher Scientific, Bremen, Germany). Column chromatography (CC) was performed on silica gel (200–300 mesh, Qingdao Marine Chemical Ltd., Qingdao, China), RP-18 gel (20–45  $\mu$ m, Fuji Silysia Chemical Ltd., Kasugai, Japan), and Sephadex LH-20 (Pharmacia Fine Chemical Co. Ltd., Uppsala, Sweden). Medium-pressure liquid chromatography (MPLC) was performed on a Büchi Sepacore System equipped with a pump manager C-615, pump modules C-605, and a fraction collector C-660 (Büchi Labortechnik AG, Flawil, Switzerland). Preparative high-performance liquid chromatography (prep-HPLC) was performed on an Agilent 1260 liquid chromatography system equipped with Zorbax SB-C18 columns (5  $\mu$ m, 9.4 mm × 150 mm, or 21.2 mm × 150 mm) and a DAD detector. Chiral resolution was achieved by HPLC equipped with a Daicel AD-H column. Fractions were monitored by TLC (GF 254, Qingdao Haiyang Chemical Co. Ltd. Qingdao, China), and spots were visualized by heating silica gel plates sprayed with 10% H<sub>2</sub>SO<sub>4</sub> in EtOH.

#### 2.2. Fermentation, Extraction, and Isolation

The fungus *Bipolaris* sp. was isolated from fresh and healthy stems of kiwifruit plants (*Actinidia chinensis* Planch., Actinidiaceae), which were collected from the Cangxi county of the Sichuan Province (GPS: N 31°12′, E 105°76′) in July 2018. Each fungus was obtained simultaneously from at least three different healthy tissues. The fungus was identified as one species of the genus *Bipolaris* by observing the morphological characteristics and analysis of the internal transcribed spacer (ITS) regions. A living culture (internal number HFG-20180727-HJ32) has been deposited at the School of Pharmaceutical Sciences, South-Central University for Nationalities, China.

This fungal strain was cultured on a potato dextrose agar (PDA) medium at 24 °C for 10 days. The agar plugs were inoculated in 500 mL Erlenmeyer flasks, each containing 100 mL potato dextrose media. Flask cultures were incubated at 28 °C on a rotary shaker at 160 rpm for two days as the seed culture. Four hundred 500 mL Erlenmeyer flasks, each containing 150 mL potato dextrose broth (PDB), were individually inoculated with 25 mL of seed culture and were incubated at 25 °C on a rotary shaker at 160 rpm for 25 days.

The cultures of Bipolaris sp. (60 L) were extracted four times by EtOAc to afford a crude extract (32.0 g) which was subjected to CC over silica gel eluted with a gradient of CHCl<sub>3</sub>-MeOH (a gradient from 1:0 to 0:1) to give six fractions, A-F. Fraction B (13.0 g) was fractionated by MPLC CC over RP-18 eluted with MeOH-H<sub>2</sub>O (from 10:90 to 100:0, v/v) to give twelve sub-fractions (B<sub>1</sub>–B<sub>12</sub>). Fraction B<sub>3</sub> (1.2 g) was applied to Sephadex LH-20 eluting with CHCl<sub>3</sub>–MeOH (1:1, v/v) and was further purified by preparative HPLC with MeCN-H<sub>2</sub>O (19:81, v/v, 4.0 mL/min) to obtain compounds 9 (18.6 mg, retention time  $(t_R) = 40 \text{ min}$ , 18 (22.6 mg,  $t_R = 15.8 \text{ min}$ ), 2 (3.3 mg,  $t_R = 32 \text{ min}$ ), and 1 (5.4 mg,  $t_R = 36$ min). Fraction  $B_5$  (2.1 g) was separated by CC over silica gel with a gradient elution of the CHCl<sub>3</sub>–MeOH system ( $50:1 \rightarrow 0:1$ ) and was prepared by HPLC with MeCN–H<sub>2</sub>O (12:88, v/v, 4.0 mL/min) to obtain 3 (4.9 mg,  $t_R$  = 36 min), 4 (14.4 mg,  $t_R$  = 46 min), 17 (28.3 mg,  $t_R = 43$  min), and 5 (2.1 mg,  $t_R = 40$  min). Fraction B<sub>6</sub> (1.8 g) was purified over Sephadex LH-20 eluted with MeOH to give four subfractions  $(B_{6.1}-B_{6.4})$ . Fraction  $B_{6.2}$  (210 mg) was purified using semipreparative HPLC with MeOH-H<sub>2</sub>O (28:72, v/v, 3.0 mL/min) to afford 8 (8.8 mg,  $t_R = 17.8$  min) and 7 (9.6 mg,  $t_R = 21.1$  min). Fraction  $B_{6.3}$  (170 mg) was purified by preparative HPLC with MeCN-H<sub>2</sub>O (23:77, v/v, 4 mL/min) to yield 6 (4.3 mg, 26 min). Fraction C (4.3 g) was separated by CC over silica gel with a gradient elution of PE-acetone  $(50:1 \rightarrow 0:1)$  to afford subfractions  $C_1$ - $C_8$ . Fraction  $C_2$  (340 mg) was purified by preparative HPLC with MeCN-H<sub>2</sub>O (55:45, v/v, 4 mL/min) to give **12** (10.3 mg, t<sub>R</sub> = 38 min), **13** (3.7 mg,  $t_R$  = 39 min), 14 (3.1 mg,  $t_R$  = 36 min) and 15 (3.4 mg,  $t_R$  = 34 min). Fraction C<sub>5</sub> (230 mg) was isolated by CC over Sephadex LH-20 (MeOH) and was prepared by HPLC (32:68, v/v, 4 mL/min) to give 10 (3.7 mg,  $t_R = 28$  min), 11 (4.2 mg,  $t_R = 29$  min), and 16 (5.1 mg,  $t_{\rm R} = 24 \, {\rm min}$ ).

Bipolarisorokin A (1): colorless crystals; mp 145–148 °C;  $[α]^{20}_D$  + 67.8 (*c* 0.01, MeOH); UV (MeOH)  $λ_{max}$  (log ε) 205 (3.30); IR (KBr)  $ν_{max}$  3360, 2947, 2833, 1651, 1454, 1114,

1031 cm<sup>-1</sup>; <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 1; positive ion HRESIMS m/z 251.16624 [M–H]<sup>+</sup>, (calculated for C<sub>15</sub>H<sub>23</sub>O<sub>3</sub><sup>-2</sup> 251.16527).

| NT  |                         | 1 <sup><i>a</i></sup>     |                         | 2 <sup><i>a</i></sup>     |                         | 3 <sup>b</sup>            |  |  |
|-----|-------------------------|---------------------------|-------------------------|---------------------------|-------------------------|---------------------------|--|--|
| NO. | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) |  |  |
| 1   | 54.3, CH                | 2.71, s                   | 55.4, CH                | 2.59, br s                | 140,0, C                |                           |  |  |
| 2   | 156.8, C                |                           | 155.7, C                |                           | 167.0, C                |                           |  |  |
| 3   | 43.0, C                 |                           | 42.8, C                 |                           | 58.0, C                 |                           |  |  |
| 4a  | 39.9, CH <sub>2</sub>   | 1.50, m                   | 36.7, CH <sub>2</sub>   | 1.23, m                   | 29.6, CH <sub>2</sub>   | 1.42, dd (13.2, 6.0)      |  |  |
| 4b  |                         | 1.36, m                   |                         | 1.74, m                   |                         | 1.55, dd (12.8, 6.0)      |  |  |
| 5a  | 25.2, CH <sub>2</sub>   | 1.58, m                   | 32.3, CH <sub>2</sub>   | 1.44, m                   | 25.9, CH <sub>2</sub>   | 0.90, m                   |  |  |
| 5b  |                         | 1.24, m                   |                         | 1.55, m                   |                         | 1.80, m                   |  |  |
| 6   | 37.6, CH                | 1.65, m                   | 73.7, C                 |                           | 46.4, CH                | 1.05, m                   |  |  |
| 7   | 42.2, CH                | 2.46, s                   | 47.8, CH                | 2.44, br s                | 42.7, CH                | 3.06, br s                |  |  |
| 8a  | 20.8, CH <sub>3</sub>   | 1.05, s                   | 20.8, CH <sub>3</sub>   | 1.06, s                   | 64.6, CH <sub>2</sub>   | 3.63, d (11.6)            |  |  |
| 8b  |                         |                           |                         |                           |                         | 3.71, d (11.6)            |  |  |
| 9   | 40.5, CH                | 1.46, m                   | 36.9, CH                | 1.57, m                   | 32.9 <i>,</i> CH        | 1.02, m                   |  |  |
| 10  | 15.4, CH <sub>3</sub>   | 0.92, d (6.8)             | 16.2, CH <sub>3</sub>   | 0.88, d (6.9)             | 21.1, CH <sub>3</sub>   | 0.78, d (6.4)             |  |  |
| 11  | 66.9, CH <sub>2</sub>   | 3.64, overlap             | 16.4, CH <sub>3</sub>   | 0.94, d (6.9)             | 22.1, CH <sub>3</sub>   | 1.06, d (6.4)             |  |  |
| 12a | 103.5, CH <sub>2</sub>  | 4.94, s                   | 105, CH <sub>2</sub>    | 4.69, s                   | 11.0, CH <sub>3</sub>   | 2.13, s                   |  |  |
| 12b |                         | 4.62, s                   |                         | 4.97, s                   |                         |                           |  |  |
| 13  | 58.2, CH                | 1.70, br s                | 54.7, CH                | 1.97, br s                | 60.8, CH                | 1.82, m                   |  |  |
| 14a | 69.6, CH                | 4.02, d (5.9)             | 69.5, CH                | 4.07, d (6.1)             | 62.9, CH <sub>2</sub>   | 3.34, dd (11.0, 6.8)      |  |  |
| 14b |                         |                           |                         |                           |                         | 3.61, dd (11.2, 6.8)      |  |  |
| 15  | 74.9, CH                | 3.65, overlap             | 74.8, CH                | 3.68, d (6.1)             | 190.0, CH               | 10.02, s                  |  |  |

Table 1. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 1–3.

<sup>*a*</sup> Measured in CDCl<sub>3</sub>; <sup>*b*</sup> Measured in methanol-*d*<sub>4</sub>.

Bipolarisorokin B (2): colorless oil;  $[\alpha]^{22}_D$  – 100.1 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (3.23); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 1; positive ion HRESIMS *m*/*z* 275.16166 [M+Na]<sup>+</sup>, (calculated for C<sub>15</sub>H<sub>24</sub>O<sub>3</sub>Na<sup>+</sup> 275.16177).

Bipolarisorokin C (**3**): colorless, needle-like crystals (MeOH); mp 135–138 °C;  $[\alpha]^{22}_D$  – 21.8 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 265 (3.49) nm; <sup>1</sup>H NMR (600 MHz, methanol- $d_4$ ) and <sup>13</sup>C NMR (150 MHz, methanol- $d_4$ ) data, see Table 1; positive ion HRESIMS *m*/*z* 253.17971 [M+H]<sup>+</sup> (calculated for C<sub>15</sub>H<sub>25</sub>O<sub>3</sub><sup>+</sup> 253.17982).

*Bipolarisorokin* D (4): colorless oil;  $[\alpha]^{25}_{D}$  + 32.0 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 255 (3.65); <sup>1</sup>H NMR (600 MHz, methanol-*d*<sub>4</sub>) and <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) data, see Table 2; positive ion HRESIMS *m*/*z* 275.16153 [M+Na]<sup>+</sup> (calculated for C<sub>15</sub>H<sub>24</sub>NaO<sub>3</sub><sup>+</sup> 275.16177). *Bipolarisorokin E* (5): colorless oil;  $[\alpha]^{25}_{D}$  – 22.7 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (3.24); <sup>1</sup>H NMR (600 MHz, methanol-*d*<sub>4</sub>) and <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) data, see Table 2; positive ion HRESIMS *m*/*z* 221.15529 [M–H]<sup>-</sup> (calculated for C<sub>14</sub>H<sub>21</sub>O<sub>2</sub><sup>-</sup> 221.15470).

*Bipolarisorokin F* (6): white powder;  $[\alpha]^{20}_{D}$  – 3.3 (*c* 0.04, MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 215 (3.72); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 2; positive ion HRESIMS *m/z* 225.18506 [M+H]<sup>+</sup> (calculated for C<sub>14</sub>H<sub>25</sub>O<sub>2</sub><sup>+</sup> 225.18491).

*Bipolarisorokin G* (7): colorless oil;  $[α]^{20}_D$  + 17.2 (*c* 0.02, MeOH); UV (MeOH)  $λ_{max}$  (log ε) 230 (3.21); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 3; positive ion HRESIMS *m/z* 275.20059 [M+H]<sup>+</sup> (calculated for C<sub>18</sub>H<sub>27</sub>O<sub>2</sub><sup>+</sup> 275.20056).

|                         | 4 <sup>b</sup>            |  | 5 <sup>b</sup>   |  | 6 <sup><i>a</i></sup>                                    |
|-------------------------|---------------------------|--|--|--|--|
| $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ , Type                                | $\delta_{ m H}$ (J in Hz)  | $\delta_{\mathrm{C}}$ , Type                             | $\delta_{ m H}$ (J in Hz)                                |
| 140.5, C                |                           | 124.2, CH  | 5.56, br s   | 212.0, C   |  |
| 170.4, C                |                           | 147.2, C   |  | 50.7, CH   | 2.10, m  |
| 52.0, C                 |                           | 47.7, C  |  | 41.8, C  |  |
| 32.4, CH <sub>2</sub>   | 1.38, m                   | 35.2, CH <sub>2</sub>                                  | 1.34, m  | 36.1, CH <sub>2</sub>                                    | 1.44, m  |
|                         | 1.71, m                   |  | 1.41, dd (12.5, 5.2)   |  | 1.66, dd (13.7,5.7)                                      |
| 32.8, CH <sub>2</sub>   | 1.25, m                   | 26.0, CH <sub>2</sub>                                  | 1.56, m  | 26.0, CH <sub>2</sub>                                    | 1.80, m  |
|                         | 1.61, m                   |  |  |  | 0.87, m  |
| 73.5, C                 |                           | 45.2, CH   | 2.03, m  | 50.1, CH   | 1.33, m  |
| 47.9, CH                | 3.16, br s                | 45.3, CH   | 2.74, br s   | 51.3, CH   | 2.70, brs  |
| 18.7, CH <sub>3</sub>   | 1.07, s                   | 18.9, CH <sub>3</sub>                                  | 0.99, s  | 22.1, CH <sub>3</sub>                                    | 1.09, s  |
| 37.2, CH                | 1.28, m                   | 150.3, C   |  | 29.9, CH   | 1.55, m  |
| 17.1, CH <sub>3</sub>   | 1.02, d (6.6)             | 109.2, CH <sub>2</sub>                                 | 4.69, d (5.1)  | 20.3, CH <sub>3</sub>                                    | 1.03, d (6.5)  |
| 16.4, CH <sub>3</sub>   | 0.80, d (6.6)             | 22.7, CH <sub>3</sub>                                  | 1.74, s  | 21.4, CH <sub>3</sub>                                    | 0.86, d (6.5)  |
| 11.3, CH <sub>2</sub>   | 2.06, s                   | 59.8, CH <sub>2</sub>                                  | 4.06, m  | 6.3, CH <sub>3</sub>                                     | 0.96, d (7.2)  |
| 55.3, CH                | 2.43, dd (9.1, 5.4)       | 64.3, CH   | 1.64, dd (9.6, 4.9)  | 54.9, C  | 1.72, dd (7.9, 5.0)                                      |
| 62.3, CH <sub>2</sub>   | 3.19, dd (10.5, 9.1)      | 62.5, CH <sub>2</sub>                                  | 3.38, m  | 62.0, CH <sub>2</sub>                                    | 3.85, dd (10.7, 5.0)                                     |
|                         | 3.61, dd (10.5, 5.4)      |  | 3.65, dd (10.5, 5.0)   |  | 3.50, dd (10.7, 7.9)                                     |
| 189.7, CH               | 9.97, s                   |  |  |  |  |
|                         |                           | $\begin{array}{c c c c c c c c c c c c c c c c c c c $ | $\begin{tabular}{ c c c c c c }\hline & & & & & & & & & & & & & & & & & & &$ | $\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$ | $\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$ |

Table 2. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 4–6.

<sup>*a*</sup> Measured in CDCl<sub>3</sub>; <sup>*b*</sup> Measured in methanol-*d*<sub>4</sub>.

| Table 3. | <sup>1</sup> H (600 MHz) | ) and <sup>13</sup> C (1 | 50 MHz) NMR S | pectrosco | pic Data for <b>7–9</b> |
|----------|--------------------------|--------------------------|---------------|-----------|-------------------------|
|----------|--------------------------|--------------------------|---------------|-----------|-------------------------|

| No. |                         | 7 <sup>a</sup>            |                        | 8 <sup>b</sup>            | 9 <sup>b</sup>               |                           |
|-----|-------------------------|---------------------------|------------------------|---------------------------|------------------------------|---------------------------|
|     | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) | $\delta_{ m C}$ , Type | $\delta_{ m H}$ (J in Hz) | $\delta_{\mathrm{C}}$ , Type | $\delta_{ m H}$ (J in Hz) |
| 1   | 137.5, C                |                           | 137.4, C               |                           | 57.5, CH                     | 2.54, br s                |
| 2   | 165.3, C                |                           | 165.3, C               |                           | 163.7, C                     |                           |
| 3   | 52.6, C                 |                           | 52.5, C                |                           | 41.8, C                      |                           |
| 4a  | 33.7, CH <sub>2</sub>   | 1.41, dd (13.4, 5.9)      | 33.6, CH <sub>2</sub>  | 1.40, dd (13.3, 5.9)      | 53.2, CH <sub>2</sub>        | 1.66, dd (13.2, 10.4)     |
| 4b  |                         | 1.50, dd (13.4, 6.4)      |                        | 1.48, dd (13.3, 6.5)      |                              | 2.10, dd (13.2, 10.4)     |
| 5a  | 25.2, CH <sub>2</sub>   | 0.91, m                   | 25.2, CH <sub>2</sub>  | 0.90, m                   | 67.0, CH                     | 3.84, m                   |
| 5b  |                         | 1.80, m                   |                        | 1.78, m                   |                              |                           |
| 6a  | 44.3, CH                | 1.06, m                   | 44.2, CH               | 1.06, m                   | 47.2, CH <sub>2</sub>        | 1.21, m                   |
| 6b  |                         |                           |                        |                           |                              | 1.98, m                   |
| 7   | 44.7, CH                | 3.06, br s                | 44.5, CH               | 3.04, br s                | 32.2, C                      |                           |
| 8   | 19.7, CH <sub>3</sub>   | 0.97, s                   | 19.6, CH <sub>3</sub>  | 0.96, s                   | 28.7, CH <sub>3</sub>        | 0.99, s                   |
| 9   | 31.6, CH                | 1.03, m                   | 31.6, CH               | 1.03, m                   | 55.0, CH                     | 2.02, br s                |
| 10  | 21.7, CH <sub>3</sub>   | 1.06, d (5.9)             | 21.7, CH <sub>3</sub>  | 1.04, d (5.8)             | 30.3, CH <sub>3</sub>        | 1.09, s                   |
| 11  | 20.8, CH <sub>3</sub>   | 0.77, d (5.9)             | 20.8, CH <sub>3</sub>  | 0.76, d (5.8)             | 31.7, CH <sub>3</sub>        | 0.95, s                   |
| 12a | 11.0, CH <sub>3</sub>   | 2.06, s                   | 10.9, CH <sub>3</sub>  | 2.04, s                   | 103.9, CH <sub>2</sub>       | 4.75, br s                |
| 12b |                         |                           |                        |                           |                              | 4.97, br s                |
| 13  | 63.6, CH                | 2.22, d (9.6)             | 63.4, CH               | 2.23, d (9.8)             | 53.2, CH                     | 2.01, br s                |
| 14  | 147.9, CH               | 6.55, dd (15.9, 9.6)      | 151.5, CH              | 6.80, dd (15.4, 9.9)      | 70.5, CH                     | 4.13, d (6.2)             |
| 15  | 188.1, CH               | 10.08, s                  | 188.1, CH              | 10.05, s                  | 74.9 <i>,</i> CH             | 3.59, d (6.2)             |
| 16  | 132.2, CH               | 6.08, d (15.9)            | 122.1, CH              | 5.81, d (15.5)            |                              |                           |
| 17  | 198.6, C                |                           | 171.1, C               |                           |                              |                           |
| 18  | 27.5, CH <sub>3</sub>   | 2.20, s                   |                        |                           |                              |                           |

<sup>*a*</sup> Measured in CDCl<sub>3</sub>; <sup>*b*</sup> Measured in methanol-*d*<sub>4</sub>.

*Bipolarisorokin H* (8): colorless oil;  $[α]^{25}_D - 136.9$  (*c* 0.05, MeOH); UV (MeOH)  $λ_{max}$  (log ε) 225 (3.93); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 3; positive ion HRESIMS *m/z* 277.17984 [M+H]<sup>+</sup> (calculated for C<sub>17</sub>H<sub>25</sub>O<sub>3</sub><sup>+</sup> 277.17982).

*Bipolarisorokin I* (9): colorless crystals; mp 191–194 °C;  $[\alpha]^{22}_D$  + 8.8 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (3.46); <sup>1</sup>H NMR (600 MHz, methanol- $d_4$ ) and <sup>13</sup>C NMR (150 MHz, methanol- $d_4$ ) data, see Table 3; positive ion HRESIMS *m*/*z* 251.16621 [M–H]<sup>-</sup>, (calculated for C<sub>21</sub>H<sub>23</sub>O<sub>3</sub><sup>-</sup> 251.16527).

*Bipolarithone A* (**10**): colorless oil;  $[\alpha]^{23}_{D}$  + 136.0 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 245 (3.30); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 4; positive ion HRESIMS *m/z* 349.09143 [M+H]<sup>+</sup>, (calculated for C<sub>17</sub>H<sub>17</sub>O<sub>8</sub><sup>+</sup> 349.09179).

| No   |                              | 10 <sup><i>a</i></sup>    |                         | 11 <sup><i>a</i></sup>    |
|------|------------------------------|---------------------------|-------------------------|---------------------------|
| 110. | $\delta_{\mathrm{C}}$ , Type | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) |
| 1    | 161.1, C                     |                           | 161.1, C                |                           |
| 2    | 113.7, CH                    | 6.68, s                   | 113.7, CH               | 6.69, s                   |
| 3    | 147.7, C                     |                           | 147.8, C                |                           |
| 4    | 108.1, CH                    | 6.75, s                   | 108.1, CH               | 6.76, s                   |
| 4a   | 157.4, C                     |                           | 157.4, C                |                           |
| 5    | 78.2, CH                     | 5.73, ddd (6.6, 4.4, 3.9) | 78.6, CH                | 5.62, ddd (8.4, 3.8, 1.7) |
| 6a   | 37.7, CH <sub>2</sub>        | 3.01, dd (16.2, 4.4)      | 39.3, CH <sub>2</sub>   | 3.10, dd (16.3, 8.4)      |
| 6b   |                              | 2.85, dd (16.2, 6.6)      |                         | 2.99, dd (16.3, 3.8)      |
| 7    | 170.0, C                     |                           | 170.2, C                |                           |
| 8    | 79.4, CH                     | 5.64, d (3.9)             | 79.8, CH                | 5.63, d (1.7)             |
| 8a   | 114.7, C                     |                           | 114.6, C                |                           |
| 9    | 178.3, C                     |                           | 178.2, C                |                           |
| 9a   | 109.0, C                     |                           | 109.0, C                |                           |
| 10a  | 167.7, C                     |                           | 167.4, C                |                           |
| 1'   | 22.5, CH <sub>3</sub>        | 2.41, s                   | 22.5, CH <sub>3</sub>   | 2.42, s                   |
| 2′   | 169.5, C                     |                           | 170.1, C                |                           |
| 3′   | 52.4, CH <sub>3</sub>        | 3.73, s                   | 52.5, CH <sub>3</sub>   | 3.78, s                   |
| 4'   | 53.0, CH <sub>3</sub>        | 3.81, s                   | 53.1, CH <sub>3</sub>   | 3.83, s                   |
| 1-OH |                              | 12.06, s                  |                         | 12.01, s                  |

Table 4. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 10 and 11.

<sup>*a*</sup> Measured in CDCl<sub>3</sub>; <sup>*b*</sup> Measured in methanol-*d*<sub>4</sub>.

*Bipolarithone B* (11): colorless oil;  $[α]^{23}_D - 24.2$  (*c* 0.05, MeOH); UV (MeOH)  $λ_{max}$  (log ε) 245 (3.30); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 4; positive ion HRESIMS *m/z* 349.09157 [M+H]<sup>+</sup>, (calculated for C<sub>17</sub>H<sub>17</sub>O<sub>8</sub><sup>+</sup> 349.09179).

*Bipolarithone* C (**12**): colorless oil;  $[α]^{25}_{D}$  + 52.9 (*c* 0.5, MeOH); UV (MeOH)  $λ_{max}$  (log ε) 245 (4.06); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 5; positive ion HRESIMS *m*/*z* 541.24310 [M+H]<sup>+</sup>, (calculated for C<sub>30</sub>H<sub>37</sub>O<sub>9</sub><sup>+</sup> 541.24321).

*Bipolarithone D* (13): colorless oil;  $[\alpha]^{25}_{D}$  + 10.2 (*c* 0.5, MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 245 (3.88); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) and <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 5; positive ion HRESIMS *m/z* 541.24316 [M+H]<sup>+</sup>, (calculated for C<sub>30</sub>H<sub>37</sub>O<sub>9</sub><sup>+</sup> 541.24321).

Crystal data for Cu\_1\_0m: C<sub>15</sub>H<sub>24</sub>O<sub>3</sub>, M = 252.34, a = 9.7038(6) Å, b = 13.7866(8) Å, c = 16.6333(10) Å,  $\alpha = 95.329(3)^{\circ}$ ,  $\beta = 104.898(2)^{\circ}$ ,  $\gamma = 102.525(3)^{\circ}$ , V = 2073.0(2) Å<sup>3</sup>, T = 100(2) K, space group P 1, Z = 6,  $\mu$ (Cu K $\alpha$ ) = 1.54178 mm<sup>-1</sup>, F(000) = 828, 82979 reflections measured, 16831 independent reflections ( $R_{int} = 0.0695$ ). The final  $R_1$  values were 0.0437 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.1047 ( $I > 2\sigma(I)$ ). The final  $R_1$  values of fit on  $F^2$  was 1.039. Flack parameter = -0.10(7). CCDC: 2124305. Available online: https://www.ccdc.cam.ac.uk (accessed on 11 December 2021).

Crystal data for Cu\_3\_0m: C<sub>15</sub>H<sub>24</sub>O<sub>3</sub>, M = 252.34, a = 7.0044(5) Å, b = 10.1468(8) Å, c = 20.1433(14) Å,  $\alpha = 90.00^{\circ}$ ,  $\beta = 90.00^{\circ}$ ,  $\gamma = 90.00^{\circ}$ , V = 1431.63(18) Å<sup>3</sup>, T = 295(2) K, space group P 21 21 21, with Z = 4,  $\mu$ (Cu K $\alpha$ ) = 1.54178 mm<sup>-1</sup>, F(000) = 552, 6263 reflections measured, 2527 independent reflections ( $R_{int} = 0.0500$ ). The final  $R_1$  values were 0.0519 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.1538 ( $I > 2\sigma(I)$ ). The final  $R_1$  values were 0.0719 (all data). The final  $wR(F^2)$  values were 0.2087 (all data). The goodness of fit on  $F^2$  was 1.117. Flack parameter = -0.40(17). CCDC: 2124306. Available online: https://www.ccdc.cam.ac.uk (accessed on 11 December 2021).

| No    |                         | 12 <sup><i>a</i></sup>    | 13 <sup><i>a</i></sup>  |                           |  |
|-------|-------------------------|---------------------------|-------------------------|---------------------------|--|
| 110   | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ , Type | $\delta_{ m H}$ (J in Hz) |  |
| 1     | 221.6, C                |                           | 221.4, C                |                           |  |
| 2     | 50.6, CH                | 2.16, m                   | 50.6, CH                | 2.13, m                   |  |
| 3     | 42.1, C                 |                           | 42.0, C                 |                           |  |
| 4a    | 36.0, CH2               | 1.45, m                   | 36.1, CH <sub>2</sub>   | 1.47, m                   |  |
| 4b    |                         | 1.66, m                   |                         | 1.67, m                   |  |
| 5a    | 26.0, CH2               | 0.84, m                   | 26.0, CH <sub>2</sub>   | 0.83, m                   |  |
| 5b    |                         | 1.78, m                   |                         | 1.79, m                   |  |
| 6     | 50.2, CH                | 1.29, m                   | 50.1, CH                | 1.28, m                   |  |
| 7     | 51.5, CH                | 2.56, br s                | 51.5 <i>,</i> CH        | 2.62, br s                |  |
| 8     | 22.1, CH3               | 1.08, s                   | 22.1, CH <sub>3</sub>   | 1.09, s                   |  |
| 9     | 29.9 <i>,</i> CH        | 1.41, m                   | 30.0, CH                | 1.43, m                   |  |
| 10    | 20.4, CH3               | 0.77, d (6.6)             | 20.4, CH <sub>3</sub>   | 0.78, d (6.7)             |  |
| 11    | 21.3, CH3               | 0.89, d (6.4)             | 21.3, CH <sub>3</sub>   | 0.92, d (6.5)             |  |
| 12    | 6.5, CH3                | 0.95, d (7.2)             | 6.5, CH <sub>3</sub>    | 0.96, d (7.2)             |  |
| 13    | 51.6, CH                | 1.90, m                   | 51.6, CH                | 1.94, m                   |  |
| 14a   | 65.3, CH2               | 4.05, dd (11.3, 5.1)      | 65.5, CH <sub>2</sub>   | 4.04, dd (11.3, 5.2)      |  |
| 14b   |                         | 4.35, dd (11.3, 5.1)      |                         | 4.37, dd (11.3, 5.2)      |  |
| 1′    | 161.1, C                |                           | 161.1, C                |                           |  |
| 2′    | 113.6, CH               | 6.67, s                   | 113.7, CH               | 6.68, s                   |  |
| 3'    | 147.6, C                |                           | 147.8, C                |                           |  |
| 4'    | 108.1, CH               | 6.75, s                   | 108.1, CH               | 6.75, s                   |  |
| 4a'   | 157.3, C                |                           | 157.4, C                |                           |  |
| 5'    | 78.2, CH                | 5.67, ddd (6.4, 4.3, 3.9) | 78.5 <i>,</i> CH        | 5.59, ddd (8.2, 3.9, 1.8) |  |
| 6'a   | 37.7, CH2               | 2.99, dd (16.1, 4.3)      | 39.2, CH <sub>2</sub>   | 3.07, dd (16.3, 8.2)      |  |
| 6'b   |                         | 2.84, dd (16.1, 6.4)      |                         | 2.99, dd (16.3, 3.9)      |  |
| 7'    | 169.5, C                |                           | 170.0, C                |                           |  |
| 8'    | 79.5 <i>,</i> CH        | 5.59, d (3.9)             | 79.9 <i>,</i> CH        | 5.58, d (1.8)             |  |
| 8a'   | 114.5, C                |                           | 114.5, C                |                           |  |
| 9'    | 178.2, C                |                           | 178.2, C                |                           |  |
| 9a'   | 109.0, C                |                           | 109.0, C                |                           |  |
| 10a′  | 167.7, C                |                           | 167.3, C                |                           |  |
| 1″    | 22.5, CH3               | 2.40, s                   | 22.5, CH <sub>3</sub>   | 2.41, s                   |  |
| 2″    | 169.4, C                |                           | 169.7, C                |                           |  |
| 3″    | 52.4, CH3               | 3.72, s                   | 52.5, CH <sub>3</sub>   | 3.72, s                   |  |
| 1'-OH |                         | 12.06, s                  |                         | 12.06, s                  |  |

Table 5. <sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR Spectroscopic Data for 12 and 13.

<sup>*a*</sup> Measured in CDCl<sub>3</sub>; <sup>*b*</sup> Measured in methanol- $d_4$ .

Crystal data for Cu\_9\_0m: C<sub>15</sub>H<sub>24</sub>O<sub>3</sub>, M = 252.34, a = 6.8634(2) Å, b = 15.0872(4) Å, c = 13.5156(3) Å,  $\alpha = 90.00^{\circ}$ ,  $\beta = 90.4010(10)^{\circ}$ ,  $\gamma = 90.00^{\circ}$ , V = 1399.50(6) Å<sup>3</sup>, T = 295(2) K, space group P 1 21 1, with Z = 4,  $\mu$ (Cu K $\alpha$ ) = 1.54178 mm<sup>-1</sup>, F(000) = 552, 32232 reflections measured, 5982 independent reflections ( $R_{int} = 0.0279$ ). The final  $R_1$  values were 0.0300 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.0808 ( $I > 2\sigma(I)$ ). The final  $R_1$  values were 0.0304 (all data). The final  $wR(F^2)$  values were 0.0812 (all data). The goodness of fit on  $F^2$  was 1.057. Flack parameter = -0.01(3). CCDC: 2124307. Available online: https://www.ccdc.cam.ac.uk (accessed on 11 December 2021).

Crystal data for Cu\_17\_0m: C<sub>14</sub>H<sub>24</sub>O<sub>2</sub>, M = 224.33, a = 13.6388(2) Å, b = 13.6388(2) Å, c = 13.0174(2) Å,  $\alpha = 90.00^{\circ}$ ,  $\beta = 90.00^{\circ}$ ,  $\gamma = 90.00^{\circ}$ , V = 2097.04(7) Å<sup>3</sup>, T = 296(2) K, space group P 31 2 1, with Z = 6,  $\mu$ (Cu K $\alpha$ ) = 1.54178 mm<sup>-1</sup>, F(000) = 744, 39026 reflections measured, 3033 independent reflections ( $R_{int} = 0.0459$ ). The final  $R_1$  values were 0.0353 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.0988 ( $I > 2\sigma(I)$ ). The final  $R_1$  values were 0.0366 (all data). The final  $wR(F^2)$  values were 0.1003 (all data). The goodness of fit on  $F^2$  was 1.047. Flack parameter =0.01(5). CCDC: 2126101. Available online: https://www.ccdc.cam.ac.uk (accessed on 11 December 2021).

Crystal data for Cu\_18\_0m: C<sub>15</sub>H<sub>26</sub>O<sub>2</sub>, M = 238.36, a = 13.1977(2) Å, b = 13.1977(2) Å, c = 8.49040(10) Å,  $\alpha = 90.00^{\circ}$ ,  $\beta = 90.00^{\circ}$ ,  $\gamma = 90.00^{\circ}$ ,  $V = 1478.85(5) Å^3$ , T = 297(2) K,

space group P 43, with Z = 4,  $\mu$ (Cu K $\alpha$ ) = 1.54178 mm<sup>-1</sup>, F(000) = 528, 14568 reflections measured, 3063 independent reflections ( $R_{int}$  = 0.0269). The final  $R_1$  values were 0.0534 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.1525 ( $I > 2\sigma(I)$ ). The final  $R_1$  values were 0.0541 (all data). The final  $wR(F^2)$  values were 0.1539 (all data). The goodness of fit on  $F^2$  was 1.051. Flack parameter =0.12(7). CCDC: 2126105. Available online: https://www.ccdc.cam.ac.uk (accessed on 11 December 2021).

## 2.3. ECD Calculations

The ECD calculations were carried out using the Gaussian 16 software package [26]. Systematic conformational analyses were performed via SYBYL-X 2.1 using the MMFF94 molecular mechanics force field calculation with 10 kcal/mol of cutoff energy [27,28]. The optimization and frequency of conformers were calculated on the B3LYP/6-31G(d) level in the Gaussian 09 program package. The ECD (TDDFT) calculations were performed on the B3LYP/6-311G(d) level of theory with an IEFPCM solvent model (MeOH). The ECD curves were simulated in SpecDis V1.71 using a Gaussian function [29]. The calculated ECD data of all conformers were Boltzmann averaged by Gibbs free energy.

## 2.4. NMR Calculations

All the optimized conformers in an energy window of 5 kcal/mol (with no imaginary frequency) were subjected to gauge-independent atomic orbital (GIAO) calculations of their <sup>13</sup>C NMR chemical shifts, using density functional theory (DFT) at the mPW1PW91/6-311+G (d,p) level with the PCM model. The calculated NMR data of these conformers were averaged according to the Boltzmann distribution theory and their relative Gibbs free energy. The <sup>13</sup>C NMR chemical shifts for TMS were also calculated by the same procedures and used as the reference. After the calculation, the experimental and calculated data were evaluated by the improved probability DP4<sup>+</sup> method [30].

## 2.5. Antibacterial Activity Assay

The bacterium *P. syringae* pv. *actinidiae* was donated by Dr. He Yan of Northwest A&F University, China. A sample of each culture was then diluted 1000-fold in fresh Luria-Bertani (LB) (Beijing Solarbio Science & Technology. Co. Ltd., Beijing, China) and incubated with shaking (160 rpm) at 27 °C for 10 h. The resultant mid-log phase cultures were diluted to a concentration of  $5 \times 10^5$  CFU/mL, then 160 µL was added to each well of the compound-containing plates. Subsequently, 1:1 serial dilutions with sterile PBS of each compound were performed, giving a final compound concentration range from 4 to256 µg/mL. The minimum inhibitory concentration (MIC, with an inhibition rate of  $\geq$ 90%) was determined by using photometry at OD<sub>600</sub> nm after 24 h. Streptomycin was used as the positive control.

## 2.6. Anti-Phytopathogens Assay

Four phytopathogens (*Phytophthora infestane, Alternaria solani, Rhizoctonia solani,* and *Fusarium oxysporum*) were cultured in PDA with micro glass beads at 27 °C for a week, as well as shaking (160 rpm). Ninety microliters of PDA, together with a 10  $\mu$ L volume of an aqueous test sample solution, was added into each well of the 96-well plate. The test solutions contained different concentrations of the sample being tested. Then, agar plugs (1 mm<sup>3</sup>) with fresh phytopathogens were inoculated into each well. Subsequently, a two-fold serial dilution in the microplate wells was performed over a concentration range of 4 to 256  $\mu$ g/mL. Plates were covered and incubated at 27 °C for 24 h. Finally, the minimum inhibitory concentration was determined by observing the plates, with no growth in the well taken as that value. Hygromycin B was used as the positive control.

# 3. Results and Discussion

Bipolarisorokin A (1) was isolated as colorless crystals. Its molecular formula of  $C_{15}H_{24}O_3$  was determined on the basis of the HR-ESIMS data (measured at m/z 251.16624

 $[M-H]^-$ , calculated for  $C_{15}H_{23}O_3^-$  251.16527), corresponding to four degrees of unsaturation. The <sup>1</sup>H and <sup>13</sup>C NMR spectra, in association with the HSQC spectrum, revealed two methyls, four methenes, seven methines, and two quaternary carbons (Table 1). Of them, signals at  $\delta_{\rm C}$  66.9 (t, C-11), 69.6 (d, C-14), and 74.9 (d, C-15) were identified as the oxygenated methylene and methines. Two olefinic carbons at  $\delta_{\rm C}$  156.8 (s, C-2) and 103.5 (t, C-12) corresponded to a double bond, which suggested that 1 possessed a tricyclic system. Considering the 15 carbons in 1, as well as those isolates from the same source, compound 1 was suggested to be a tricyclic sesquiterpenoid. In the  ${}^{1}H{-}^{1}H$  COSY spectrum, a fragment was revealed, as shown with bold lines in Figure 2. The HMBC correlations from to  $\delta_{\rm H}$ 4.94 (H, s, H-12a) and 4.62 (H, s, H-12b), to δ<sub>C</sub> 156.8 (s, C-2), 54.3 (d, C-1) and 43.0 (s, C-3), established the connections between C-12, C-2, and C-1. Further analyses of <sup>1</sup>H-<sup>1</sup>H COSY, as well as HMBC correlations from  $\delta_{\rm H}$  0.92 (3H, d, J = 6.8 Hz, H-10) to  $\delta_{\rm C}$  37.6 (d, C-6), 40.5 (d, C-9) and 66.9 (t, C-11), indicated a hydroxy group at C-11. In addition, the connections of C-8/C-3, C-3/C-4, C-3/C-2, and C-3/C-13 were deduced from HMBC correlations from  $\delta_{\rm H}$  1.05 (3H, s, H-8) to  $\delta_{\rm C}$  43.0 (s, C-3), 39.9 (t, C-4), 156.8 (s, C-2), and 58.2 (d, C-13). Moreover, the proton of an oxygenated methine at  $\delta_{\rm H}$  4.02 (H, d, J = 5.9 Hz, H-14) showed key correlations to C-13, C-3, and  $\delta_C$  42.2 (d, C-7), which indicated that  $\delta_C$  69.6 (d, C-14) should be placed at C-13. The above 2D NMR data analysis suggested that compound 1 possessed a sativene type sesquiterpene backbone. A ROESY experiment was carried out to establish the relative configuration of 1 (Figure 3). The key correlations of H-13/H-8, H-13/H-6, H-8/H-14, and H-7/H-13 suggested that H-6, H-7, H-8, and H-13 were  $\beta$  oriented, while the correlation of H-1/H-9 indicated that H-1 and H-9 were  $\alpha$ -oriented. Because of the rigid structure and the ROESY correlation of H-8/H-14, both H-14 and H-15 were assigned as an  $\alpha$  orientation [31]. Finally, the single-crystal X-ray diffraction not only confirmed the planar structure, as elucidated above, but also established the absolute configuration of 1 (Flack parameter = -0.10(7), CCDC: 2124305; Figure 4).



Figure 2. Key <sup>1</sup>H–<sup>1</sup>H COSY and HMBC correlations for 1, 3, 5, 6, 7, and 9–13.



Figure 3. Key ROESY correlations for 1, 3, 5, 6, 7, 9, 10, and 12.



Figure 4. ORTEP diagrams of 1, 3, and 9.

The molecular formula of bipolarisorokin B (**2**) was determined to be  $C_{15}H_{24}O_3$  from the HRESIMS data (measured at *m/z* 275.16166 [M+Na]<sup>+</sup>, calculated for  $C_{15}H_{24}O_3Na^+$ 275.16177). Close similarities were observed in the 1D NMR data (Table 1) of compound **1**. However, signals for a methyl ( $\delta_H$  0.94, d, *J* = 6.9 Hz, H-11;  $\delta_C$  16.4, C-11) and an oxygenated quaternary carbon ( $\delta_C$  73.7, C-6) in **2** was suggested to replace the oxymethylene ( $\delta_H$  3.64, overlap, H-11;  $\delta_C$  66.9, C-11) and the methine ( $\delta_H$  1.65, m, H-6;  $\delta_C$  37.6, C-6) in **1**. These observations indicated that the hydroxy group at C-10 in **1** migrated to C-6 in **2**. The observed <sup>1</sup>H-<sup>1</sup>H COSY cross-peak of H-10 ( $\delta_H$  0.88, 3H, d, *J* = 6.9 Hz) and H-9 ( $\delta_H$  1.57, 1H, m), and H-9/H-11, along with the HMBC correlations from H-10 to C-6, C-9, and C-11 confirmed the above deduction (Figure 2). Furthermore, ROESY correlations of H-13/H-8, H-8/H-14, H-7/H-13, and H-1/H-9 revealed that compounds **2** and **1** shared the same relative configuration. In consideration of its biosynthetic origin, the absolute configuration of compound **2** was identified the same as that of **1**.

Bipolarisorokin C (3) was obtained as colorless needles. Its molecular formula of  $C_{15}H_{24}O_3$  was determined on the basis of the HR-ESIMS data (measured at m/z 253.17971 [M+H]<sup>+</sup>, calculated for C<sub>15</sub>H<sub>25</sub>O<sub>3</sub><sup>+</sup> 253.17982), corresponding to four degrees of unsaturation. The <sup>1</sup>H NMR data (Table 1) showed characteristic signals, including three methyls at  $\delta_{\rm H}$  0.78 (3H, d, J = 6.4 Hz, H-10), 1.06 (3H, d, J = 6.4 Hz, H-11), and 2.13 (3H, s, H-12), and the proton of an aldehyde group at  $\delta_{\rm H}$  10.02 (H, s, H-15). The <sup>1</sup>H and <sup>13</sup>C NMR data, in association with the HSQC data, revealed three methyls, four methenes, five methines, and three nonprotonated carbons (Table 1). Preliminary analyses on the 1D NMR data revealed that 3 was likely to be a seco-sativene type sesquiterpenoid. Detailed analyses of the 2D NMR data indicated that the majority of the data of 3 was the same as those of helminthosporol [32], except for a hydroxy group at C-8 (t,  $\delta_{\rm C}$  64.6) in **3**, which was confirmed by the HMBC correlations from  $\delta_{\rm H}$  3.63 (H, d, J = 11.6 Hz, H-8a) and 3.71 (H, d, J = 11.6 Hz, H-8b) to  $\delta_C$  58.0 (s, C-3), 29.6 (t, C-4), 167.0 (s, C-2), and 60.8 (d, C-13) (Figure 2). A ROESY experiment was carried out to establish the relative configuration of **3** (Figure 3). The cross peaks of H-13/H-8a, H-13/H-4b, H-4b/H-6, and H-7/H-14b were observed, which indicated that H-6, H-7, H-8, and H-13 were  $\beta$  oriented. Furthermore, single crystal X-ray diffraction established the relative configuration (Flack parameter = -0.40(17), CCDC: 2124306; Figure 4), and the absolute configuration of **3** was determined by ECD calculations, as shown in Figure 5.

Bipolarisorokin D (4) was isolated as a colorless oil. The molecular formula was determined to be  $C_{15}H_{24}O_3$  according to the HRESIMS spectra (measured at m/z 275.16153 [M+Na]<sup>+</sup>, calculated for  $C_{15}H_{24}NaO_3^+$  275.16177). Compound 4 had the same molecular formula and NMR spectral patterns to that of **3** (Table 2). The key difference was an oxygenated quaternary carbon ( $\delta_C$  73.5, s) in 4 instead of the methine in **3** ( $\delta_C$  46.4, d). The HMBC correlations from H-4a ( $\delta_H$  1.38, m), H-5b ( $\delta_H$  1.61, m), H-7 ( $\delta_H$  3.16, br s), H-10 ( $\delta_H$  1.02, d, J = 6.6 Hz), and H-11 ( $\delta_H$  0.80, d, J = 6.6 Hz) to  $\delta_C$  73.5 established the quaternary carbon to be C-6. In addition, a methyl (s,  $\delta_H$  1.07, H-8;  $\delta_C$  18.7, C-8) in 4 replaced the oxygenated methylene ( $\delta_C$  64.6) of C-8 in **3**, which was verified by HMBC correlations from H-8 ( $\delta_H$  1.07, s) to C-2 ( $\delta_C$  170.4, s), C-3 ( $\delta_C$  52.0, s), C-4 ( $\delta_C$  32.4, t), and C-13 ( $\delta_C$  55.3, d). Detailed analyses of 2D NMR (HSQC, HMBC, <sup>1</sup>H-<sup>1</sup>H COSY and ROESY) data confirmed that the other fragments of **4** were the same as those of **3**.

Bipolarisorokin E (5) was obtained as a colorless oil. Its molecular formula  $C_{14}H_{22}O_2$  was characterized according to HRESIMS (measured at m/z 221.15529 [M–H]<sup>-</sup>, calculated for  $C_{14}H_{21}O_2^-$  221.15470), implying four degrees of unsaturation. The general features of its NMR data closely resembled that of **3** (Table 2). Detailed analyses of 1D and 2D NMR data revealed the differences. At first, the loss of the aldehyde group at C-1 was revealed by the chemical shift of C-1 at  $\delta_C$  124.2, along with the data from <sup>1</sup>H–<sup>1</sup>H COSY and HMBC spectra as shown in Figure 2. Second, the hydroxy migrated from C-8 to C-12 ( $\delta_C$  59.8, t) as identified by the HMBC correlation from  $\delta_H$  4.06 (2H, m, H-12) to  $\delta_C$  124.2 (d, C-1), 147.2 (s, C-2), and 47.7 (s, C-3). Third, one double bond between C-9 and C-10 was built by HMBC correlations from  $\delta_H$  4.69 (2H, d, J = 5.1 Hz, H-10) to  $\delta_C$  22.7 (q, C-11) and 45.2 (d, C-6). The other parts of **5** were elucidated as the same as those of **3** by a detailed analysis of 2D NMR data.

Bipolarisorokin F (6) was purified as white powder, and its molecular formula  $C_{14}H_{24}O_2$  was determinded according to HRESIMS (measured at m/z 225.18506 [M+H]<sup>+</sup>, calculated for  $C_{14}H_{25}O_2^+$  225.18491). Analyses of the 1D and 2D NMR data (Table 2) suggested that **6** showed structural similarities to **3**. The distinction between the two compounds was that the  $\alpha,\beta$ -unsaturated aldehyde group ( $\delta_C$  140.0, C-1;  $\delta_C$  167.0, C-2;  $\delta_C$  190.0, C-15) in **3** was replaced by a carbonyl ( $\delta_C$  212.0, C-1) and a methylene group ( $\delta_C$  50.7, C-2) in **6**. It was supported by HMBC correlations from  $\delta_H$  2.70 (H, br s, H-7), 0.96 (3H, q, *J* = 7.2 Hz, H-12), and 1.72 (H, dd, *J* = 7.9, 5.0 Hz, H-13) to  $\delta_C$  212.0 (s, C-1), 50.7(d, C-2), and the COSY cross-peak of  $\delta_H$  2.10 (1H, m, H-2) and H-12. The hydroxymethyl group (C-8) in **3** was replaced by a methyl group at C-8 ( $\delta_C$  22.1, q) in **6**, as well as the HMBC correlations from  $\delta_H$  1.09 (3H, s, H-8) to C-2,  $\delta_C$  41.8 (s, C-3),  $\delta_C$  36.1 (t, C-4), and  $\delta_C$  54.9 (d, C-13). The key

ROESY cross-peak (Figure 3) of H-2/Ha-14 (H, dd, J = 10.7, 5.0 Hz,  $\delta_{\rm H} 3.85$ ) suggested that H-2 was  $\beta$  oriented. Other ROESY data revealed the same patterns to **3**. Finally, regarding the same origin of **6** and **3**, the absolute configuration of **6** was identified to be the same as that of **3**, as depicted.





The molecular formula of bipolarisorokin G (7) was assigned as  $C_{18}H_{26}O_2$  based on its HRESIMS spectrum (measured at m/z 275.20059 [M+H]<sup>+</sup>, calculated for  $C_{18}H_{27}O_2^+$ , 275.20056), which contained three more carbon atoms than **3**. The interpretation of the <sup>1</sup>H and <sup>13</sup>C NMR data of **7** (Table 3) indicated the same structure skeleton to that of **3**. Analyses of 2D NMR spectra revealed modifications in **7** (Figure 2). HMBC correlations from  $\delta_H$  0.97 (3H, s, H-8) to  $\delta_{\rm C}$  165.3 (s, C-2), 52.6 (s, C-3), 33.7 (t, C-4), and 63.6 (d, C-13) suggested that a hydroxy group was missing in 7. In addition, an  $\alpha,\beta$ -unsaturated ketone group was identified by the HMBC correlations from  $\delta_{\rm H}$  6.55 (H, dd, J = 15.9, 9.6 Hz, H-14), 6.08 (H, d, J = 15.9 Hz, H-16), and 2.20 (3H, s, H-18) to  $\delta_{\rm C}$  198.6 (s, C-17). In the <sup>1</sup>H-<sup>1</sup>H COSY spectrum, correlations from H-14 to  $\delta_{\rm H}$  2.22 (H, d, J = 9.6 Hz, H-13) and H-16 indicated that the  $\alpha,\beta$ -unsaturated carboxyl moiety was located at C-13. Finally, the absolute configuration of 7 can be fully resolved by the ECD calculation, as shown in Figure 5.

Bipolarisorokin H (8) was obtained as a colorless oil. Its molecular formula,  $C_{17}H_{24}O_3$ , was inferred from the pseudomolecular ion peak at m/z 277.17984 [M+H]<sup>+</sup> in the HRESIMS (calculated for  $C_{17}H_{25}O_3^+$  277.17982). The NMR data of 8 (Table 3) resembled that of 7, except for the presence of a carboxyl ( $\delta_C$  171.1, C-17) in 8 instead of a carbonyl ( $\delta_C$  198.6, C-17) in 7, as well as the loss of a methyl group. This was supported by HMBC correlations from  $\delta_H$  6.80 (H, dd, *J* = 15.4, 9.9 Hz, H-14) and 5.81 (H, d, *J* = 15.5 Hz, H-16) to  $\delta_C$  171.1 (s, C-17). Detailed analyses of 2D NMR data suggested that the other data were the same as those of 7.

Bipolarisorokin I (9) was isolated as colorless crystals. Its molecular formula was identified as C<sub>15</sub>H<sub>24</sub>O<sub>3</sub> by HRESIMS (measured at *m/z* 251.16621 [M–H]<sup>-</sup>, calculated for  $C_{21}H_{23}O_3^{-2}$  251.16527). All the spectroscopic data indicated similar patterns to those of longifolene [33]. Detailed analyses of 1D and 2D NMR data revealed the differences. Signals at  $\delta_{\rm C}$  67.0 (d, C-5), 70.5 (d, C-14), and 74.9 (d, C-15) were identified as the oxygenated methines. Therefore, three hydroxyls were suggested to be placed at C-5, C-14, and C-15, respectively, which were identified by the HMBC and  ${}^{1}H{-}^{1}H$  COSY correlations, as shown in Figure 2. Comprehensive analyses of other data suggested that the other parts of 9 were the same as those of longifolene. The relative configuration of 9 was revealed by a ROESY experiment, as shown in Figure 3. The ROESY correlations of Me-10/H-13, H-13/H-5, Me-8/H-13, Me-10/H-9, and Me-10/H-5 indicated these groups were cofacial (assigned as  $\beta$  orientation). In addition, the Me-11/H-1 interaction suggested that H-1 should be  $\alpha$ oriented. Moreover, the coupling constant between H-14 and H-15 ( $J_{14,15} = 6.2$  Hz), as well as the ROESY correlations of Me-8/H-14 and Me-8/H-15, suggested that H-14 and H-15 were  $\alpha$  oriented. Finally, the single-crystal X-ray diffraction not only confirmed the planar structure but also established the absolute configuration of 9 (Flack parameter =0.01(3), CCDC: 2124307; Figure 4).

Bipolarithone A (**10**) was isolated as a yellow oil, and its molecular formula was determined to be  $C_{17}H_{16}O_8$  by HRESIMS (measured at m/z 349.09143 [M+H]<sup>+</sup>, calculated for  $C_{17}H_{17}O_8^+$  349.09179). The NMR data (Table 4) of **10** were similar to those of the dechlorinated methyl ester (**16**) isolated in this study [34]. The major difference was that **10** exhibited a dihydrofuran ring rather than a furan ring. HMBC correlations from H-8 (H, d, J = 3.9 Hz,  $\delta_H$  5.64) to C-8a ( $\delta_C$  114.7, s), C-7 ( $\delta_C$  170.0, s), C-9 ( $\delta_C$  178.3, s), and C-10a ( $\delta_C$  167.7, s), together with H-5 (H, ddd, J = 6.6, 4.4, 3.9 Hz,  $\delta_H$  5.73) to C-10a, C-8a, C-6 ( $\delta_C$  37.7, t), and C-2' ( $\delta_C$  169.5, s), supported the above assignment. The relative configuration of **10** was identified by the analysis of its ROESY data. The ROESY correlation between H-8 and H-5 indicated that H-8 had the same orientation as H-5 (assigned as an  $\alpha$  orientation). The calculated ECD of **10** established the configuration of **10**, as shown in Figure 5. Therefore, the structure of **10** was characterized as depicted.

Bipolarithone B (11) was isolated as a yellow oil. The HRESIMS spectrum of 11 suggested a molecular formula of  $C_{17}H_{16}O_8$  (measured at m/z 349.09157 [M+H]<sup>+</sup>, calculated for  $C_{17}H_{17}O_8^+$  349.09179), the same as that of 10. The planar structure of 11 was elucidated to be the same as that of 10 by the analysis of its 1D and 2D NMR data. The main difference was suggested as its stereochemistry at C-8 ( $\delta_C$  79.8, d). Analyses of the <sup>1</sup>H NMR information showed that the coupling constants of H-8, H-5, and H-6 were significantly different from those of 11, as shown in the Table 4. Furthermore, the ROESY correlation of H-8 ( $\delta_H$  5.63, 1H, d, J = 1.7 Hz)/H-5 ( $\delta_H$  5.62, 1H, ddd, J = 8.4, 3.8, 1.7 Hz) was not observed in 11. These data suggested that 11 was an epimer of 10. The ECD calculation for 11 was

performed, and the results of **11** matched well with the experimental ECD curve (Figure 5). Hence, the absolute configuration of **11** can be fully assigned, as shown.

Bipolarithone C (12) was assigned a molecular formula of C<sub>30</sub>H<sub>36</sub>O<sub>9</sub> based on its HRESIMS data (measured at *m/z* 541.24310 [M+H]<sup>+</sup>, calculated for C<sub>30</sub>H<sub>37</sub>O<sub>9</sub><sup>+</sup> 541.24321). The NMR data of 12 were very similar to those of bipolenin I (14) (Table 5), a novel sesquiterpenoid-xanthone adduct isolated from the fungus Bipolaris eleusines [35]. The significant differences were that there was an absence of an aldehyde group and two olefinic carbons, as well as the presence of an additional methine and carbonyl, in **12**. These data suggested that the  $\alpha$ , $\beta$ -unsaturated aldehyde moiety disappeared in **12**. This assignment was confirmed by the HMBC correlations of  $\delta_{\rm H}$  2.16 (H, m, H-2), 1.29 (H, m, H-6), 2.56 (1H, br s, H-7), 0.95 (3H, d, J = 7.2 Hz, C-12), and 1.90 (H, m, H-13) to  $\delta_C$  50.6 (d, C-2) and 221.6 (s, C-1). The ROESY spectrum displayed similar patterns to those of 14. Furthermore, a cross peak between H-2 and H-14a ( $\delta_{\rm H}$  4.05, 1H, dd, J = 11.3, 5.1 Hz) confirmed the relative configuration of C-2, as shown. The absolute configuration of 12 was elucidated by the quantum chemistry calculations. At first, the ECD calculations were conducted on the four possible conformers (12a–d), using time-dependent density functional theory (TDDFT) at the B3LYP/6-311G (d) level in methanol with the PCM model. The overall calculated ECD spectrum of each configuration was then generated according to the Boltzmann weighting of the conformers. As a result, the calculated ECD curves of **12**a and **12**d matched well with the experimental data (Figure 5). To determine its final structure, the theoretical NMR calculations and DP4+ probabilities were employed. The <sup>13</sup>C NMR chemical shifts of **12**a and **12**d were calculated at the mPW1PW91/6-311+G (d,p) level in the gas phase. According to the DP4+ probability analyses, 12a was assigned with 100% probability (see data in the Supporting Information). Structurally, compound 12 comprised of a seco-sativene sesquiterpenoid unit and a xanthone unit, whose absolute configurations were in accord with compound 6 and compound 10, respectively. Therefore, the structure of **12** was established as depicted.

Bipolarithone D (13) had the same molecular formula ( $C_{30}H_{36}O_9$ ) as that of 12, according to their HRESIMS spectra (measured at m/z 541.24316 [M + H]<sup>+</sup>, calculated for  $C_{30}H_{37}O_9^+$  541.24321). The NMR resonances for 13 (Table 5) resembled those of 12, except that the resonances of C-6' ( $\Delta\delta_C$  + 1.5), H-6'a ( $\Delta\delta_H$  + 0.08), and H-6'b ( $\Delta\delta_H$  + 0.15) were shifted downfield, while the data H-5' ( $\Delta\delta_H$  – 0.08) were shifted upfield. A detailed comparison of the 1D and 2D NMR data of 13 with that of 12 indicated that the two compounds possessed the same planar structure. The main difference was the stereochemistry at C-8'. A key ROESY correlation of H-5'/H-8' could be detected in 12 but not in 13. In addition, the coupling constants of H-8' in 13 (J = 1.8 Hz) were different from that in 12 (J = 3.9 Hz). All the data suggested that compound 13 was a C-8' epimer of 12. Finally, the absolute configuration of 13 was confirmed by ECD calculations (Figure 5).

Five known compounds were determined as bipolenins I and J (14 and 15), dechlorinated methyl ester (16), drechslerines A (17), and (+)-secolongifolene diol (18) by the comparison of their spectral data with that reported in the literature [32,34,35]. In this study, the absolute configurations of compounds 17 and 18 were confirmed by single crystal X-ray diffractions (Figure 6), which could support the absolute configurations of 1–9, 12, and 13 as depicted in the text, since they were obtained from the same source.

All compounds (1–18) were evaluated for their anti-Psa activity. As a result, compounds 10 and 15 showed significant inhibitory activity, with MICs of 64 and 16  $\mu$ g/mL, respectively, while compounds 7, 11, 13, and 16 showed moderate activity, with MICs of 128  $\mu$ g/mL (Table 6).



Figure 6. ORTEP diagrams of 17 and 18.

|  | Table 6. Inhibitor | y effects of the | isolates against five | plant pathogens | (MIC, μg/mL) <sup>a</sup> |
|--|--------------------|------------------|-----------------------|-----------------|---------------------------|
|--|--------------------|------------------|-----------------------|-----------------|---------------------------|

| Compd                     | Psa             | P. infestans | A. solani | R. solani | F. oxysporum |
|---------------------------|-----------------|--------------|-----------|-----------|--------------|
| 3                         | 256             | NA           | 128       | 256       | NA           |
| 4                         | NA <sup>c</sup> | 128          | NA        | NA        | NA           |
| 7                         | 128             | NA           | 64        | 128       | 256          |
| 8                         | 256             | NA           | 256       | NA        | NA           |
| 9                         | NA              | 128          | NA        | NA        | NA           |
| 10                        | 64              | 128          | NA        | NA        | NA           |
| 11                        | 128             | 64           | NA        | NA        | NA           |
| 12                        | 256             | 64           | NA        | 64        | NA           |
| 13                        | 128             | 32           | NA        | NA        | NA           |
| 14                        | NA              | NA           | 8         | NA        | 128          |
| 15                        | 16              | NA           | 16        | NA        | NA           |
| 16                        | 128             | 128          | 128       | 256       | NA           |
| Streptomycin <sup>b</sup> | 8               | _            | _         | _         | _            |
| Hygromycin B <sup>b</sup> | _               | 8            | 4         | 16        | 32           |

<sup>a</sup> Compounds without any bioactivity are not listed; <sup>b</sup> Positive controls; <sup>c</sup> NA = no activity at 256  $\mu$ g/mL.

In addition, our previous study on chemicals from *B. eleusines* suggested that sativenexanthone adducts have promising inhibitory activity against plant pathogenic microorganisms [35]. Therefore, all compounds were evaluated for their inhibitory activity against four plant pathogenic microorganisms, including *P. infestane*, *A. solani*, *R. solani*, and *F. oxysporum*. As a result, many compounds showed certain inhibitory activity, as given in Table 6.

A brief structure–activity relationship analysis suggested that the aldehyde-containing sativene sesquiterpenoids were more active than the others, while the xanthones or their derivatives showed better inhibitory activities than sativene sesquiterpenoids.

# 4. Conclusions

A total of 18 compounds, including 13 new ones, were characterized from the kiwifruitassociated fungus *Bipolaris* sp. Their structures, with absolute configurations, were established by means of spectroscopic methods. Many compounds possessed anti-Psa activity and inhibitory activity against plant pathogens. It is concluded that *Bipolaris* sp. is rich in sativene sesquiterpenoids and xanthones, and both sativene sesquiterpenoids and xanthones possess potential antimicrobial application prospects. This study also suggested that it is an effective way to find natural anti-Psa agents from kiwifruit-associated fungi. **Supplementary Materials:** The following are available online at https://www.mdpi.com/article/10 .3390/jof8010009/s1, Section S1: Supplementary of NMR, HRESIMS and CD spectra for **1–13**, Section S2: Calculational details for **3**, **7**, **10**, **11**, **12** and **13**.

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# Article Anti-Adipogenic Lanostane-Type Triterpenoids from the Edible and Medicinal Mushroom *Ganoderma applanatum*

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**Abstract:** Our previous research has shown that lanostane triterpenoids from *Ganoderma applanatum* exhibit significant anti-adipogenesis effects. In order to obtain more structurally diverse lanostane triterpenoids to establish a structure–activity relationship, we continued the study of lanostane triterpenoids from the fruiting bodies of *G. applanatum*, and forty highly oxygenated lanostane-type triterpenoids (1–40), including sixteen new compounds (1–16), were isolated. Their structures were elucidated using NMR spectra, X-ray crystallographic analysis, and Mosher's method. In addition, some of their parts were evaluated to determine their anti-adipogenesis activities in the 3T3-L1 cell model. The results showed that compounds 16, 22, 28, and 32 exhibited stronger anti-adipogenesis effects than the positive control (LiCl, 20 mM) at the concentration of 20  $\mu$ M. Compounds 15 and 20 could significantly reduce the lipid accumulation during the differentiation process of 3T3-L1 cells, comparable to the untreated group. Their IC<sub>50</sub> values were 6.42 and 5.39  $\mu$ M, respectively. The combined results of our previous and present studies allow us to establish a structure-activity relationship of lanostane triterpenoids, indicating that the A-*seco*-23 $\rightarrow$ 26 lactone skeleton could play a key role in anti-adipogenesis activity.

**Keywords:** *Ganoderma applanatum;* lanostane triterpenoid; Mosher's method; anti-adipogenesis activity; structure–activity relationship

## 1. Introduction

Macro-fungi provide crucial food and medicinal resources [1]. *Tricholoma matsutake*, [2,3] *Lentinula edodes*, [4,5], and *Collybia albuminosa* [6] are delicious mushrooms which contain plentiful amino acids, fatty acids, vitamins, crude fiber, and protein. In addition, *Fomitopsis pinicola* (SW.) [7] Karst, *Inonotus obliquus* [8,9], *Phellinus igniarius* [10,11], *Ganoderma lucidum* [12,13], and *Ganoderma sinense* [14,15] have been used as edible and medicinal mushrooms for preventing and treating various diseases. The *Ganoderma* genus plays an important role in the history of Chinese medicine [16]. *Shennong's Herbal Classics* recorded its traditional effects to include improving eyesight, strengthening muscles and bones, reinforcing kidney function, soothing the nerves, and prolonging the lifespan. *G. lucidum* and *G. sinense* have been registered in the *Chinese Pharmacopoeia* (2015 version). Meanwhile, *G. lucidum* was also included in the catalog of the latest edition of "Homology of medicine and Food" in 2020. Modern pharmacological research has further demonstrated that *Ganoderma* has a variety of pharmacological activities [17–21]. Thus, *Ganoderma* has great prospects in preventing and treating diseases.

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*Ganoderma applanatum*, belonging to the genus *Ganoderma*, has traditionally been used to treat various chronic diseases, such as chronic hepatitis, immunological disorders, neurasthenia, arthritis, and nephritis [22]. Meanwhile, *G. applanatum* has been made into capsules and injections to cure chronic liver fibrosis and inflammation in a clinical setting [23–26]. *G. applanatum* is rich in chemical constituents, including polysaccharides, triterpenoids, meroterpenoids, alkaloids, and steroids. The majority of studies relating to it focus on the application and development of polysaccharides [23,27–31]. However, our previous research proved that highly oxygenated triterpenoids showed significant anti-adipogenesis activities [27,28]. In order to search for more active compounds to clarify the structure–activity relationship to lay the foundations for the discovery of lead compounds, we continued to investigate triterpenoids isolated from *G. applanatum* and 40 lanostane-type triterpenoids; of these (**1–40**), 16 were new compounds (**1–16**, Figure 1). Furthermore, their anti-adipogenesis effects were evaluated in the 3T3-L1 cell model, and their structure–activity relationship was established.



Figure 1. Structures and classifications of isolates from G. applanatum (red: new compounds).

#### 2. Materials and Methods

#### 2.1. General Experimental Procedures

NMR spectra were recorded on a Bruker AV-600 MHz (Bruker, Zurich, Switzerland) using TMS as an internal standard for chemical shifts with reference to the TMS resonance. ESIMS and HRTOF-ESIMS were measured on an API QSTAR Pulsar spectrometer. UV spectra were recorded on a Shimadzu UV-2401PC spectrometer. IR was recorded on the Bruker Tensor-27 instrument using KBr pellets. Optical rotations were recorded on a Horiba SEPA-300 polarimeter. CD spectra were measured on a Chirascan instrument. An Agilent 1100 series instrument equipped with an Agilent ZORBAX SB-C18 column (5  $\mu$ m, 9.6 mm  $\times$  250 mm) was used for high-performance liquid chromatography (HPLC) separation.

TLC was performed on precoated TLC plates (200–250  $\mu$ m thickness, F254 Si gel 60, Qingdao Marine Chemical, Inc., Qingdao, China), with compounds visualized by spraying the dried plates with 10% aqueous H<sub>2</sub>SO<sub>4</sub> followed by heating until they were dry. Silica gel ((200–300) mesh, Qingdao Marine Chemical, Inc.), Lichroprep RP-18 (40–63  $\mu$ m, Fuji), and Sephadex LH-20 (20–150  $\mu$ m, Pharmacia) were used for column chromatography. Methanol, chloroform, ethyl acetate, acetone, petroleum ether, n-hexane, and 2-propanol were purchased from Tianjing Chemical Reagents Co. (Tianjing, China). All other materials were of the highest grade available.

#### 2.2. Fungal Materials

*Ganoderma applanatum* (39 kg) was purchased in December 2019 from a traditional Chinese medicine market in Kunming, Yunnan, China, which was identified by Prof. Yang Zhuliang, Kunming Institute of Botany, Chinese Academy of Science (voucher No. 19122201).

#### 2.3. Extraction and Isolation

*G. applanatum* (39 kg) was chipped and extracted with 95% EtOH under reflux three times (three hours each time). The combined EtOH extracts were evaporated under reduced pressure. The residue was suspended in H<sub>2</sub>O and extracted with EtOAc. The volume of the combined EtOAc extracts was reduced to one-third under a reduced pressure. The residue was fractionated by macroporous resin (D-101; MeOH–H<sub>2</sub>O, 50:50, 70:30, and 90:10, v/v): fractions I–III. Fraction III (245 g) was further fractioned by a silica gel column with petroleum ether (PE)/ethyl acetate (EA) as the mobile phase, which gave six subfractions (Fr. III-1 $\rightarrow$ Fr. III-6).

Fr. III-2 (156 g) was treated by a silica gel column and CHCl<sub>3</sub>/MeOH (80:1 $\rightarrow$ 20:1, v/v) was used as an eluent. Ten fractions (Fr. III-2-1 $\rightarrow$ Fr. III-2-10) were obtained, of which Fr. III-2-4 (20 g) was separated using Sephadex LH-20 (MeOH) to obtain three subfractions (Fr. III-2-4a $\rightarrow$ Fr. III-2-4c). Compound **29** (235 mg) was purified through recrystallization from Fr. III-2-4b. The remaining solution was isolated using semi-preparative HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O = 52%, v/v) to gain compound **6** (8 mg, t<sub>R</sub> = 28.6 min). Fr. III-2-5 (10 g) was treated by a silica gel column, being eluted with PE/EA (20:1, v/v) to obtain five parts (Fr. III-2-5a $\rightarrow$ Fr. III-2-5e). Subsequently, 5b and 5d were purified using P-TLC (CHCl<sub>3</sub>/MeOH = 40:1, v/v) to obtain compounds **18** (11 mg), **16** (26 mg), and **21** (9.2 mg). Fr. III-2-6 (12 g) was separated by Rp-C18 with the elution of MeOH/H<sub>2</sub>O (50% $\rightarrow$ 55%) to obtain five fractions. Compounds **36** (6.2 mg) and **13** (12 mg) were obtained from Fr. III-2-6c and Fr. III-2-6d through P-TLC (CHCl<sub>3</sub>/MeOH = 40:1, v/v), respectively.

Fr. III-2-7 (25 g) was fractioned by an Rp-C18 column, being eluted with MeOH/H<sub>2</sub>O (50% $\rightarrow$ 65% containing 0.3% CF<sub>3</sub>COOH, v/v); nine subfractions (7a $\rightarrow$ 7i) were obtained. Furthermore, 7d, 7g, and 7h were purified by semi-preparative HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O = 45% $\rightarrow$ 60%, v/v) to obtain compounds **14** (5.3 mg, t<sub>R</sub> = 19.1 min), **4** (8.3 mg, t<sub>R</sub> = 19.1 min), **8** (3.4 mg, t<sub>R</sub> = 14.8 min), **9** (3.2 mg, t<sub>R</sub> = 17.4 min), **12** (4.2 mg, t<sub>R</sub> = 21.3 min), and **17** (5.1 mg, t<sub>R</sub> = 22.2 min). Similarly, Fr. III-2-8 (31 g) was also treated using an Rp-C18 column with MeOH/H<sub>2</sub>O (50% $\rightarrow$ 55%) to obtain nine subfractions (8a $\rightarrow$ 8i), from which compounds **37** (3.2 mg, t<sub>R</sub> = 26.6 min), **38** (3.6 mg, t<sub>R</sub> = 27.6 min), **31** (6.1 mg, t<sub>R</sub> = 22.1 min), **22** (3.1 mg, t<sub>R</sub> = 21.5 min), **25** (14 mg, t<sub>R</sub> = 25.9 min), **19** (12.5 mg, t<sub>R</sub> = 27.5 min), and **20** (7.2 mg,

 $t_R = 20.7$  min) were purified by semi-preparative HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O = 43% $\rightarrow$ 60% containing 0.3% CF<sub>3</sub>COOH, v/v). The Rp-C18 column and semi-preparative HPLC were used to treat Fr. III-2-9 (20 g), and compounds **35** (2.5 mg,  $t_R = 20.8$  min), **28** (2.9 mg,  $t_R = 20.3$  min), **13** (2.1 mg,  $t_R = 18.3$  min), **7** (2.2 mg,  $t_R = 19.5$  min), and **23** (2.9 mg,  $t_R = 18.5$  min) were isolated from 9d-1 and 9d-2. 9e (15 mg) was purified by P-TLC (CHCl<sub>3</sub>/MeOH = 30:1, v/v) to obtain compounds **39** (4.2 mg) and **40** (2.8 mg).

The combination of Fr. III-4 and Fr. III-5 weighing 52 g was fractioned using Rp-C18 column elution with MeOH/H<sub>2</sub>O ( $35\% \rightarrow 100\%$ , v/v) to obtain six subfractions (Fr. III-4-1 $\rightarrow$ Fr. III-4-6). Among these, Fr. III-4-2 $\rightarrow$ Fr. III-4-5 were treated using Sephadex LH-20 (MeOH). Subsequently, the triterpenoid parts were purified by P-TLC (CHCl<sub>3</sub>/MeOH = 20:1 containing 0.3% CF<sub>3</sub>COOH, v/v) and semi-preparative HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O = 38% $\rightarrow$ 53% containing 0.3% CF<sub>3</sub>COOH, v/v) to obtain compounds **27** (4.2 mg), **24** (2.1 mg), **30** (6.2 mg), **5** (3.1 mg), **33** (3 mg), **26** (4.8 mg), **17** (5 mg, t<sub>R</sub> = 23.5 min), **3** (7.2 mg, t<sub>R</sub> = 22.5 min), **34** (6.1 mg, t<sub>R</sub> = 12.1 min), **32** (3.3 mg, t<sub>R</sub> = 17.8 min), **1** (3.0 mg, t<sub>R</sub> = 17.5 min), **10** (2.9 mg), and **2** (3.7 mg).

*Ganoapplic acid A* (1): white powder (MeOH);  $[\alpha]^{28}_{D}$  – 1.2 (*c* 0.25, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 230 (3.36), and 196 (3.33); IR (KBr)  $v_{max}$  3428, 2953, 2943, 1653, 1636, 1423, 1364, 1212, and 1131 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) m/z: 561.2696 [M + H]<sup>+</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>10</sub>, 561.2694).

| <b>Table 1.</b> <sup>1</sup> H NMR spectra of compounds 1–8 (600 MHz, methanol- $d_4$ , J in Hz, $\delta$ in ppr | <i>m</i> ). |
|--|-------------|
|--|-------------|

| Position | 1                  | 2                   | 3                | 4             | 5            | 6               | 7             | 8             |
|----------|--------------------|---------------------|------------------|---------------|--------------|-----------------|---------------|---------------|
| 1        | 1.87 dt (13.7 4.5) | 1.86 m              | 1.82 m           | 1.85 m        | 1.91 m       | 1.87 m          | 2.02 m        | 1.50 m        |
| 1        | 2.21 dd (15.4 2.8) | 2.20 m              | 2.16 m           | 2.17 m        | 2.29 m       | 2.16 m          | 1.51 m        | 2.02 m        |
| C        | 2.40 m             | 2.19 m              | 2.17 m           | 2.25 m        | 2.55 m       | 2.51 m          | 1 78 m        | 1 77 m        |
| 2        | 2.95 m             | 2.36 m              | 2.34 m           | 2.40 m        | 2.74 m       | 2.71 m          | 1.70 III      | 1.77 111      |
| 3        |                    |                     |                  |               |              |                 | 3.24 t (8.4)  | 3.23 t (8.4)  |
| 5        | 174 dd (14828)     | $2.07 \pm (8.2)$    | $2.04 \pm (8.4)$ | 2.95 dd       | 2.28 m       | 2.10 m          | 1.66 dd       | 1.66 dd       |
| 5        | 1.74 uu (14.8 2.8) | 2.97 t (0.3)        | 2.94 t (0.4)     | (9.3 7.5)     | 2.20 III     | 2.19 111        | (14.4 3.6)    | (14.4 3.6)    |
| 6        | 2.30 dd (15.4 2.8) | 2.03 m              | 1.00 m           | 2.03 m        | 2.44 dd      | 2.52 m          | 2.62 m        | 2.48 m        |
| 0        | 3.30 m             | 2.05 III            | 1.99 III         | 2.05 III      | (16.3 3.3)   | 2.66 m          | 2.48 m        | 2.59 m        |
| 7        |                    | 4.93 s              | 4.95 s           | 4.98 s        |              |                 |               |               |
| 11       | 5.98 s             | 6.04 s              | 6.02 s           | 6.04 s        | 6.28 d (9.9) | 6.14 d (10.2)   | 6.31 d (10.2) | 6.31 d (10.2) |
| 12       |                    |                     |                  |               | 6.59 d (9.9) | 6.59 d (10.2)   | 6.64 d (10.2) | 6.65 d (10.2) |
| 15       | 4.83 s             | 3.95 d (6.5)        | 3.91 d (6.5)     | 3.94 d (6.4)  | 4.20 s       | 4.44 d (7.8)    | 4.39 d (7.2)  | 4.39 d (7.2)  |
| 16       | 3365               | 1.80 m              | 1.73 m           | 1.76 m        | 457d (78)    | 2.20 m          | 2.16 m        | 2.16 m        |
| 10       | 10 5.50 5          |                     | 2.64 m           | 2.67 m        | 4.57 u (7.6) | 2.42 m          | 2.51 m        | 2.51 m        |
| 17       |                    | 3.33 m              | 3.21 dd          | 3.23 m        | 2.73 m       | 2.73 m          | 2.87 m        | 2.83 m        |
| 18       | 1 97 s             | 1 30 s              | $(10.7 \ 0.7)$   | 1 39 c        | 0.99 s       | 1 01 c          | 1 00 s        | 0.99 s        |
| 10       | 1.57 5             | 1.50 S              | 1.57 S           | 1.57 s        | 0.77 S       | 1.015<br>1.27 s | 1.00 S        | 0.77 S        |
| 17       | 1.00 5             | 1.01 5              | 5 33 s           | 1.00 s        | 1.14.5       | 1.27 5          | 1.10 5        | 1.10.5        |
| 21       | 1.34 s             | 4.37 s              | 5.39 s           | 5.43 s        | 1.21 s       | 2.23 s          | 2.19 s        | 2.19 s        |
| 22       | 2.66 d (14.9)      | 578 d (86)          | 4 47 d (5 6)     | 450d (56)     | 636 s        | 6225            | 6 10 s        | 632 6         |
|          | 3.00 d (14.9)      | 5.70 <b>u</b> (0.0) | 4.47 u (0.0)     | 4.50 u (5.0)  | 0.50 5       | 0.22 5          | 0.40 3        | 0.52 5        |
| 23       |                    | 5.59 dd             | 4 72 m           | 4.73 ddd      |              |                 |               |               |
| 20       |                    | (8.6 6.6)           | 4.72 III         | (8.6 5.6 3.2) |              |                 |               |               |
| 24       | 2.62 dd (18.3 5.6) | 2 19 m              | 1.97 m           | 2.00 m        | 2.61 m       | 2.54 m          | 2.64 m        | 2.53 m        |
| 24       | 3.07 dd (18.3 7.7) | 2.17 III            | 2.16 m           | 2.56 m        | 2.90 m       | 2.94 m          | 2.90 m        | 2.55 m        |
| 25       | 1.74 dd (14.8 2.8) | 2.82 q (7.7)        | 2.84 m           | 2.86 m        | 2.74 m       | 2.97 m          | 2.85 m        | 2.84 m        |
| 26       | 1.16 d (6.6)       | 1.29 d (7.3)        | 1.22 d (6.5)     | 1.25 d (6.5)  | 1.18 d (7.0) | 1.19 d (7.2)    | 1.17 d (7.2)  | 1.17 d (7.2)  |
| 28       | 1 14 s             | 4.80 s              | 4.77 s           | 4.77 s        | 1 12 s       | 1 13 s          | 1 00 s        | 1 00 s        |
| 20       | 1.14.5             | 4.98 s              | 4.95 s           | 4.95 s        | 1.12.5       | 1.10 5          | 1.00 5        | 1.00 5        |
| 29       | 1.07 s             | 1.80 s              | 1.78 s           | 1.80 s        | 1.10 s       | 1.14 s          | 0.90 s        | 0.90 s        |
| 30       | 0.74 s             | 1.06 s              | 1.01 s           | 1.04 s        | 1.10 s       | 0.94 s          | 0.95 s        | 0.95 s        |
| OMe      |                    |                     |                  | 3.65 s        |              | 3.68 s          | 3.65 s        |               |

| Position | 1                          | 2                        | 3                        | 4                     | 5                        | 6                        | 7                        | 8                     |
|----------|----------------------------|--------------------------|--------------------------|-----------------------|--------------------------|--------------------------|--------------------------|-----------------------|
| 1        | 37.6 CH <sub>2</sub>       | 37.7 CH <sub>2</sub>     | 37.7 CH <sub>2</sub>     | 37.5 CH <sub>2</sub>  | 36.5 CH <sub>2</sub>     | 35.6 CH <sub>2</sub>     | 36.3 CH <sub>2</sub>     | 36.3 CH <sub>2</sub>  |
| 2        | $35.1  \mathrm{CH}_2^{-1}$ | $30.4  \text{CH}_2^{-1}$ | $30.5  \text{CH}_2^{-1}$ | $30.5  \text{CH}_2$   | $35.0  \text{CH}_2^{-1}$ | $34.0  \text{CH}_2^{-1}$ | $28.1  \text{CH}_2^{-1}$ | $28.1  \text{CH}_2^-$ |
| 3        | 215.8 C                    | 177.4 C                  | 177.4 C                  | 175.6 C               | 217.1 C                  | 214.3 C                  | 78.3 CH                  | 78.3 CH               |
| 4        | 48.7 C                     | 146.5 C                  | 146.5 C                  | 146.3 C               | 51.9 C                   | 46.9 C                   | 39.7 C                   | 39.8 C                |
| 5        | 48.5 CH                    | 45.0 CH                  | 45.3 CH                  | 45.3 CH               | 50.7 CH                  | 49.3 CH                  | 50.8 CH                  | 50.8 CH               |
| 6        | 35.8 CH <sub>2</sub>       | 28.2 CH <sub>2</sub>     | 28.1 CH <sub>2</sub>     | 28.1 CH <sub>2</sub>  | 37.5 CH <sub>2</sub>     | 36.8 CH <sub>2</sub>     | 37.0 CH <sub>2</sub>     | 37.0 CH <sub>2</sub>  |
| 7        | 205.9 C                    | 64.7 CH                  | 64.8 CH                  | 65.0 CH               | 203.0 C                  | 210.4 C                  | 204.3 C                  | 204.3 C               |
| 8        | 85.5 C                     | 67.4 C                   | 67.5 C                   | 67.5 C                | 135.8 C                  | 134.9 C                  | 135.7 C                  | 135.7 C               |
| 9        | 165.0 C                    | 167.2 C                  | 167.8 C                  | 167.3 C               | 161.4 C                  | 160.6 C                  | 164.5 C                  | 164.5 C               |
| 10       | 41.0 C                     | 45.0 C                   | 45.2 C                   | 45.3 C                | 39.2 C                   | 37.9 C                   | 39.9 C                   | 39.7 C                |
| 11       | 127.3 CH                   | 130.7 CH                 | 130.6 CH                 | 130.6 CH              | 123.2 CH                 | 122.0 CH                 | 123.3 CH                 | 123.3 CH              |
| 12       | 204.0 C                    | 206.5 C                  | 207.3 C                  | 207.0 C               | 147.4 CH                 | 147.5 CH                 | 148.3 CH                 | 148.3 C               |
| 13       | 62.3 C                     | 60.8 C                   | 60.4 C                   | 60.4 C                | 52.2 C                   | 50.2 C                   | 51.4 C                   | 51.4 C                |
| 14       | 47.0 C                     | 54.4 C                   | 54.4 C                   | 54.4 C                | 48.0 C                   | 52.5 C                   | 53.9 C                   | 53.8 C                |
| 15       | 80.0 CH                    | 77.4 CH                  | 77.2 CH                  | 77.2 CH               | 84.9 CH                  | 75.0 CH                  | 76.5 CH                  | 76.6 CH               |
| 16       | 61.2 CH                    | 41.3 CH <sub>2</sub>     | 43.7 CH <sub>2</sub>     | 43.5 CH <sub>2</sub>  | 83.6 CH                  | 36.2 CH <sub>2</sub>     | 37.2 CH <sub>2</sub>     | 37.2 CH <sub>2</sub>  |
| 17       | 71.4 C                     | 43.3 CH                  | 41.6 CH                  | 41.6 CH               | 58.7 CH                  | 48.5 CH                  | 49.7 CH                  | 49.7 C                |
| 18       | 24.6 CH <sub>3</sub>       | 20.2 CH <sub>3</sub>     | 20.2 CH <sub>3</sub>     | 20.2 CH <sub>3</sub>  | 19.2 CH <sub>3</sub>     | 17.1 CH <sub>3</sub>     | 20.2 CH <sub>3</sub>     | 21.2 CH <sub>3</sub>  |
| 19       | 19.9 CH <sub>3</sub>       | 24.2 CH <sub>3</sub>     | 23.4 CH <sub>3</sub>     | 23.4 CH <sub>3</sub>  | 21.1 CH <sub>3</sub>     | 19.5 CH3                 | 18.0 CH <sub>3</sub>     | 18.0 CH <sub>3</sub>  |
| 20       | 73.4 C                     | 144.1 C                  | 148.7 C                  | 148.7 C               | 156.5 C                  | 157.3 C                  | 158.8 C                  | 158.4 C               |
| 21       | 27.8 CH <sub>3</sub>       | 61.8 CH <sub>2</sub>     | 117.6 CH <sub>2</sub>    | 117.5 CH <sub>2</sub> | 19.7 CH <sub>3</sub>     | 21.4 CH <sub>3</sub>     | 21.4 CH <sub>3</sub>     | 21.3 CH <sub>3</sub>  |
| 22       | 53.9 CH <sub>2</sub>       | 130.8 CH                 | 76.5 CH                  | 76.5 CH               | 126.9 CH                 | 124.8 CH                 | 126.0 CH                 | 126.4 CH              |
| 23       | 209.9 C                    | 76.8 CH                  | 80.9 CH                  | 80.9 CH               | 200.8 C                  | 198.3 C                  | 200.6 C                  | 201.3 C               |
| 24       | 48.7 CH <sub>2</sub>       | 38.3 CH <sub>2</sub>     | 32.2 CH <sub>2</sub>     | 32.2 CH <sub>2</sub>  | 48.7 CH <sub>2</sub>     | 47.7 CH <sub>2</sub>     | 48.8 CH <sub>2</sub>     | 49.0 CH <sub>2</sub>  |
| 25       | 35.8 CH                    | 35.7 CH                  | 35.3 CH                  | 35.3 CH               | 36.2 CH                  | 34.8 CH                  | 36.6 CH                  | 36.6 CH               |
| 26       | 17.4 CH <sub>3</sub>       | 15.9 CH <sub>3</sub>     | 16.3 CH <sub>3</sub>     | 16.0 CH <sub>3</sub>  | 17.5 CH <sub>3</sub>     | 17.1 CH <sub>3</sub>     | 17.4 CH <sub>3</sub>     | 17.7 CH <sub>3</sub>  |
| 27       | 179.7 C                    | 182.7 C                  | 183.1 C                  | 183.2 C               | 179.7 C                  | 176.4 C                  | 178.1 C                  | 180.8 C               |
| 28       | 22.3 CH <sub>3</sub>       | 115.9 CH <sub>2</sub>    | 115.9 CH <sub>2</sub>    | 115.9 CH <sub>2</sub> | 21.0 CH <sub>3</sub>     | 25.6 CH <sub>3</sub>     | 27.8 CH <sub>3</sub>     | 27.8 CH <sub>3</sub>  |
| 29       | 24.9 CH <sub>3</sub>       | 23.5 CH <sub>3</sub>     | 23.4 CH <sub>3</sub>     | 23.4 CH <sub>3</sub>  | 25.9 CH <sub>3</sub>     | 20.4 CH <sub>3</sub>     | 15.7 CH <sub>3</sub>     | 15.7 CH <sub>3</sub>  |
| 30       | 30.7 CH <sub>3</sub>       | 21.3 CH <sub>3</sub>     | 21.4 CH <sub>3</sub>     | 21.4 CH <sub>3</sub>  | 19.7 CH <sub>3</sub>     | 20.6 CH <sub>3</sub>     | 21.2 CH <sub>3</sub>     | 20.2 CH <sub>3</sub>  |
| OMe      |                            |                          |                          | 52.3 CH <sub>3</sub>  |                          | 51.8 CH <sub>3</sub>     | 52.3 CH <sub>3</sub>     |                       |

**Table 2.** <sup>13</sup>C NMR spectra of compounds **1–8** (150 MHz, methanol- $d_4$ ).

*Ganoapplic acid B* (**2**): white powder (MeOH);  $[\alpha]^{28}_{D}$  +48.0 (*c* 0.13, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 251 (3.75), and 196 (4.08); IR (KBr)  $v_{max}$  3430, 2953, 2928, 1653, 1636, 1473, 1344, 1211, and 1147 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) m/z: 527.2653 [M – H]<sup>-</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>8</sub>, 527.2650).

*Ganoapplic acid C* (**3**): white powder (MeOH);  $[\alpha]^{28}_D$  +42.0 (*c* 0.09, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 251 (3.59), and 196 (3.93); IR (KBr)  $v_{max}$  3423, 2955, 2930, 1673, 1635, 1428, 1380, 1219, and 1132 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) m/z: 527.2654 [M – H]<sup>-</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>8</sub>, 527.2650).

*Methyl ganoapplate C* (4): white powder (MeOH);  $[\alpha]^{28}_D$  +39.5 (*c* 0.07, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 251 (3.52), and 196 (3.85); IR (KBr)  $v_{max}$  3458, 2957, 2928, 1689, 1606, 1473, 1375, 1210, and 1132 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) *m*/*z*: 565.2773 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>8</sub>Na, 565.2772).

*Ganoapplic acid D* (5): white powder (MeOH);  $[\alpha]^{28}_D$  –20.1 (*c* 0.21, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 242 (3.71), and 195 (3.79); IR (KBr)  $v_{max}$  3503, 2967, 2935, 1663, 1635, 1452, 1390, 1200, and 1125 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) *m*/*z*: 511.2707 [M – H]<sup>–</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>7</sub>, 511.2701).

*Methyl gibbosate M* (6): white powder (MeOH);  $[\alpha]^{28}_{D}$  –49.64 (*c* 0.11, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 307 (3.21), 243 (3.80), and 196 (3.77); IR (KBr)  $v_{max}$  3438, 2966, 2912, 1688, 1634, 1453, 1364, 1212, and 1132 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) *m*/*z*: 533.2873 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>6</sub>Na, 533.2874).

*Methyl ganoapplate E* (7): white powder (MeOH);  $[\alpha]^{28}_{D}$  –69.3 (*c* 0.13, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 316 (3.13), 243 (3.74), and 196 (3.72); IR (KBr)  $v_{max}$  3413, 2953, 2916,

1657, 1620, 1454, 1374, 1209, and 1142 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) *m*/*z*: 535.3033 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>44</sub>O<sub>6</sub>Na, 535.3030).

*Ganoapplic acid E* (8): white powder (MeOH);  $[\alpha]^{28}_{D}$  –143.5 (*c* 0.19, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 317 (3.57), 244 (4.15), and 196 (4.05); IR (KBr)  $v_{max}$  3445, 2980, 2906, 1712, 1690, 1458, 1380, 1214, and 1028 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 1 and 2; HRMS (ESI-TOF) *m*/*z*: 497.2911 [M – H]<sup>–</sup> (calcd for C<sub>30</sub>H<sub>41</sub>O<sub>6</sub>, 497.2909).

*Methyl gibbosate L* (9): white powder (MeOH);  $[\alpha]^{28}_{D}$  +20.27 (c 0.15, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 291 (3.70), 244 (3.94), and 196 (3.85); IR (KBr)  $v_{max}$  3444, 2976, 2911, 1673, 1658, 1423, 1374, 1219, and 1140 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 533.2876 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>6</sub>Na, 533.2874).

*Ganoapplic acid F* (**10**): white powder (MeOH);  $[\alpha]^{28}_{D}$  +20.86 (*c* 0.14, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 299 (3.77), and 196 (3.98); IR (KBr)  $v_{max}$  3437, 2953, 2924, 1678, 1656, 1433, 1374, 1215, and 1132 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 511.2708 [M – H]<sup>-</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>7</sub>, 511.2701).

*Methyl ganoapplate F* (**11**): white powder (MeOH);  $[\alpha]^{28}_{D}$  +5.80 (*c* 0.10, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 301 (3.46), and 196 (3.87); IR (KBr)  $v_{max}$  3439, 2953, 2929, 1723, 1638, 1445, 1334, 1219, and 1138 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 549.2827 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>7</sub>Na, 549.2823).

| Table 3. <sup>1</sup> H NMR | spectra of compounds 9–16 (600 MHz | , J in Hz, $\delta$ in ppm). |
|-----------------------------|------------------------------------|------------------------------|
|-----------------------------|------------------------------------|------------------------------|

| Position | 9 <sup>a</sup> | 10 <sup>b</sup> | 11 <sup>b</sup> | 12 <sup>b</sup>  | 13 <sup>b</sup> | 14 <sup>b</sup> | 15 <sup>b</sup> | 16 <sup><i>a</i></sup> |
|----------|----------------|-----------------|-----------------|------------------|-----------------|-----------------|-----------------|------------------------|
| 1        | 2.27 m         | 1.83 m          | 1.83 m          | 2.80 m           | 1.82 m          | 1.79 m          | 1.81 m          | 1.80 m                 |
| 1        | 1.86 m         | 2.39 m          | 2.38 m          | 2.95 m           | 2.26 m          | 2.23 m          | 2.23 m          | 1.80 m                 |
| 2        | 2.42 m         | 2.37 m          | 2.37 m          | 2.53 m           | 2.31 m          | 2.27 m          | 2.28 m          | 2.36 m                 |
| 2        | 2.78 m         | 2.93 m          | 2.94 m          | 2.59 m           | 2.95 m          | 2.94 m          | 2.93 m          | 2.89 m                 |
| F        | 1 (5 m         | 1.78 dd         | 1.76 dd         | 2.36 dd          | 1.29 dd         | 1.67 dd         | 166 m           | 1.56 dd                |
| 3        | 1.65 III       | (12.8 3.1)      | (11.4 3.60      | (15.0 3.0)       | (12.4 5.9)      | (12.6 6.0)      | 1.00 III        | (12.66.0)              |
| 6        | 1.11 m         | 2.33 m          | 2.34 m          | 2.54 m           | 2.25 m          | 2.25 m          | 2.20 m          | 216 m                  |
| 0        | 2.28 m         | 2.49 m          | 2.53 m          | 2.68 m           | 2.23 m          | 2.23 m          | 2.30 m          | 2.10 III               |
| 7        | 6.50 br s      | 6.62 m          | 6.63 d (7.2)    |                  | 3.96 d (5.7)    | 3.93 d (5.4)    | 3.90 d (3.6)    | 4.45 d (5.4)           |
| 11       | 5.65 s         | 5.74 s          | 5.74 s          |                  | 6.04 s          | 6.01 s          | 5.98 s          | 6.03 s                 |
| 12       |                |                 |                 | 3.70 s           |                 |                 |                 |                        |
| 15       | 4.58 t (8.3)   | 4.47 d (3.0)    | 4.47 d (3.0)    | 4.40 d (7.8)     | 4.08 d (6.0)    | 4.05 d (6.0)    | 4.35 d (3.0)    |                        |
| 16       | 1.80 m         | 575d(30)        | 5.74            | 2.07 m           | 1.94 m          | 1.91 m          | 6204(30)        | 5 66 s                 |
| 10       | 2.48 m         | 5.75 u (5.0)    | overlapped      | 2.43 m           | 2.43 m          | 2.42 m          | 0.20 u (0.0)    | 5.00 5                 |
| 17       | 3.26 m         |                 |                 | $3.28 \pm (0.6)$ | 3.24 dd         | 3.21 dd         |                 |                        |
| 17       | 5.20 m         |                 |                 | 5.20 t (5.0)     | (10.7 7.3)      | $(10.8\ 7.8)$   |                 |                        |
| 18       | 0.80 s         | 1.54 s          | 1.54 s          | -0.096  s        | 1.46 s          | 1.43 s          | 1.90 s          | 1.76 s                 |
| 19       | 1.28 s         | 1.39 s          | 1.40 s          | 1.31 s           | 1.47 s          | 1.45 s          | 1.47 s          | 1.44 s                 |
| 20       |                |                 |                 |                  |                 |                 |                 |                        |
| 21       | 2.22 s         | 1.42 s          | 1.40 s          | 2.24 s           | 2.28 s          | 2.25 s          | 2.30 s          | 1.49 s                 |
|          |                | 2 82 d (14 2)   | 2.79 d (14.4)   |                  |                 |                 |                 | 2 92 d (15 0)          |
| 22       | 6.37 s         | 3.01 d (14.2)   | 2.79 dd         | 6.31 s           | 6.56 s          | 6.52 s          | 6.50 s          | 2.92  d (15.0)         |
|          |                | 0.01 a (11.2)   | (14.4)          |                  |                 |                 |                 | 2.90 a (10.0)          |
|          |                | 2 66 dd         | 2.79 dd         |                  |                 |                 |                 | 3.04 m                 |
| 24       | 2.54 m         | (18452)         | (18.6 5.4)      | 2.54 m           | 2.62 m          | 2.62 m          | 2.58 m          | 2 59 dd                |
| - 1      | 2.94 m         | 3.02  m         | 3.02 dd         | 2.94 m           | 2.92 m          | 2.88 m          | 2.93 m          | (18054)                |
|          |                | 0.02 III        | $(18.6\ 8.4)$   |                  |                 |                 |                 | (10.0 0.1)             |
| 25       | 2.93 m         | 2.80 m          | 2.81 m          | 1.19 m           | 2.89 m          | 2.89 m          | 2.89 m          | 2.89 m                 |
| 26       | 1.17 d (6.7)   | 1.15 d (7.2)    | 113 d (7.2)     | 1.19 d (7.2)     | 1.20 d (7.0)    | 1.16 d (7.2)    | 1.20 d (7.0)    | 1.15 d (7.2)           |
| 28       | 1.11 s         | 1.20 s          | 1.20 s          | 1.15 s           | 1.09 s          | 1.09 s          | 1.10 s          | 1.11 s                 |
| 29       | 1.15 s         | 1.11 s          | 1.06 s          | 1.13 s           | 1.13 s          | 1.13 s          | 1.38 s          | 1.12 s                 |
| 30       | 1.08 s         | 1.06 s          | 3.63 s          | 1.24 s           | 0.96 s          | 0.96 s          | 1.00 s          | 1.28 s                 |
| OMe      | 3.68 s         |                 | 3.56 s          | 3.69 s           |                 | 3.65 s          | 3.63 s          | 3.65 s                 |

<sup>*a*</sup> Measured in CDCl<sub>3</sub>; <sup>*b*</sup> measured in methanol-*d*<sub>4</sub>.

| Position | 9 <sup>a</sup>       | 10 <sup>b</sup>      | 11 <sup>b</sup>      | 12 <sup>b</sup>      | 13 <sup>b</sup>      | 14 <sup>b</sup>      | 15 <sup>b</sup>      | 16 <sup><i>a</i></sup> |
|----------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| 1        | 35.6 CH <sub>2</sub> | 36.7 CH <sub>2</sub> | 36.8 CH <sub>2</sub> | 35.1 CH <sub>2</sub> | 38.4 CH <sub>2</sub> | 38.4 CH <sub>2</sub> | 38.4 CH <sub>2</sub> | 37.2 CH <sub>2</sub>   |
| 2        | 34.2 CH <sub>2</sub> | 35.4 CH <sub>2</sub> | 35.4 CH <sub>2</sub> | 34.3 CH <sub>2</sub> | 34.9 CH <sub>2</sub> | 34.9 CH <sub>2</sub> | 34.8 CH <sub>2</sub> | 33.8 CH <sub>2</sub>   |
| 3        | 214.8 C              | 217.2 C              | 217.2 C              | 214.9 C              | 216.4 C              | 216.4 C              | 216.5 C              | 213.6 C                |
| 4        | 47.0 C               | 48.4 C               | 48.8 C               | 47.1 C               | 48.7 C               | 48.5 C               | 48.8 C               | 47.8 C                 |
| 5        | 49.5 CH              | 51.1 CH              | 51.2 CH              | 49.8 CH              | 50.7 CH              | 50.7 CH              | 51.2 CH              | 49.9 CH                |
| 6        | 23.9 CH <sub>2</sub> | 25.2 CH <sub>2</sub> | 25.2 CH <sub>2</sub> | 37.6 CH <sub>2</sub> | 22.6 CH <sub>2</sub> | 22.6 CH <sub>2</sub> | 22.4 CH <sub>2</sub> | 21.6 CH <sub>2</sub>   |
| 7        | 130.9 CH             | 135.5 CH             | 135.5 CH             | 203.4 C              | 59.7 CH              | 59.7 CH              | 58.8 CH              | 57.1 CH                |
| 8        | 139.8 C              | 137.3 C              | 137.3 C              | 150.2 C              | 65.7 C               | 65.8 C               | 64.4 C               | 59.0 C                 |
| 9        | 161.0 C              | 165.5 C              | 165.6 C              | 151.9 C              | 162.2 C              | 162.3 C              | 163.0 C              | 165.0 C                |
| 10       | 38.0 C               | 39.6 C               | 39.7 C               | 39.3 C               | 39.0 C               | 39.0 C               | 38.6 C               | 38.2 C                 |
| 11       | 118.2 CH             | 118.3 CH             | 118.3 CH             | 203.9 C              | 127.6 CH             | 127.6 CH             | 127.2 CH             | 125.0 CH               |
| 12       | 202.5 C              | 206.5 C              | 206.5 C              | 79.6 CH              | 204.6 C              | 204.6 C              | 201.9 C              | 200.6 C                |
| 13       | 52.3 C               | 64.0 C               | 64.0 C               | 52.9 C               | 59.4 C               | 59.4 C               | 63.1 C               | 61.8 C                 |
| 14       | 57.8 C               | 54.3 C               | 54.3 C               | 50.0 C               | 51.3 C               | 51.3 C               | 50.0 C               | 54.4 C                 |
| 15       | 72.9 CH              | 79.3 CH              | 79.4 CH              | 77.5 CH              | 79.0 CH              | 79.0 CH              | 79.9 CH              | 202.7 C                |
| 16       | 36.5 CH <sub>2</sub> | 127.5 CH             | 127.6 CH             | 34.2 CH <sub>2</sub> | 38.2 CH <sub>2</sub> | 38.2 CH <sub>2</sub> | 134.9 CH             | 124.4 CH               |
| 17       | 45.7 CH              | 158.9 C              | 159.0 C              | 46.9 CH              | 49.6 CH              | 49.6 CH              | 148.8 C              | 181.9 C                |
| 18       | 17.8 CH <sub>3</sub> | 29.0 CH <sub>3</sub> | 29.1 CH <sub>3</sub> | 18.6 CH <sub>3</sub> | 20.3 CH <sub>3</sub> | 20.3 CH <sub>3</sub> | 27.0 CH <sub>3</sub> | 30.9 CH <sub>3</sub>   |
| 19       | 21.3 CH <sub>3</sub> | 21.5 CH <sub>3</sub> | 21.5 CH <sub>3</sub> | 19.0 CH <sub>3</sub> | 21.0 CH <sub>3</sub> | 21.0 CH <sub>3</sub> | 21.2 CH <sub>3</sub> | 20.8 CH <sub>3</sub>   |
| 20       | 157.6 C              | 72.6 C               | 72.6 C               | 157.5 C              | 159.0 C              | 159.2 C              | 156.0 C              | 72.6 C                 |
| 21       | 20.4 CH <sub>3</sub> | 29.0 CH <sub>3</sub> | 29.1 CH <sub>3</sub> | 20.3 CH <sub>3</sub> | 21.5 CH <sub>3</sub> | 21.5 CH <sub>3</sub> | 17.5 CH <sub>3</sub> | 29.1 CH <sub>3</sub>   |
| 22       | 125.7 CH             | 54.6 CH <sub>2</sub> | 54.7 CH <sub>2</sub> | 125.1 CH             | 127.5 CH             | 127.5 CH             | 127.1 CH             | 52.7 CH <sub>2</sub>   |
| 23       | 198.3 C              | 209.8 C              | 209.7 C              | 198.6 C              | 201.0 C              | 201.0 C              | 201.7 C              | 206.3 C                |
| 24       | 47.7 CH <sub>2</sub> | 48.8 CH <sub>2</sub> | 48.7 CH <sub>2</sub> | 47.9 CH <sub>2</sub> | 48.7 CH <sub>2</sub> | 48.7 CH <sub>2</sub> | 48.9 CH <sub>2</sub> | 47.8 CH <sub>2</sub>   |
| 25       | 34.7 CH              | 35.8 CH              | 35.9 CH              | 35.0 CH              | 36.3 CH              | 36.3 CH              | 36.3 CH              | 34.5 CH                |
| 26       | 17.0 CH <sub>3</sub> | 17.4 CH <sub>3</sub> | 17.3 CH <sub>3</sub> | 16.9 CH <sub>3</sub> | 17.4 CH <sub>3</sub> | 17.4 CH <sub>3</sub> | 17.5 CH <sub>3</sub> | 17.0 CH <sub>3</sub>   |
| 27       | 176.4 C              | 179.7 C              | 178.2 C              | 176.7 C              | 179.7 C              | 178.2 C              | 180.1 C              | 176.2 C                |
| 28       | 25.2 CH <sub>3</sub> | 22.9 CH <sub>3</sub> | 25.5 CH <sub>3</sub> | 26.9 CH <sub>3</sub> | 24.9 CH <sub>3</sub> | 24.9 CH <sub>3</sub> | 24.9 CH <sub>3</sub> | 24.5 CH <sub>3</sub>   |
| 29       | 22.3 CH <sub>3</sub> | 25.2 CH <sub>3</sub> | 22.9 CH <sub>3</sub> | 20.4 CH <sub>3</sub> | 22.3 CH <sub>3</sub> | 22.3 CH <sub>3</sub> | 22.5 CH <sub>3</sub> | 22.1 CH <sub>3</sub>   |
| 30       | 18.1 CH <sub>3</sub> | 29.0 CH <sub>3</sub> | 29.1 CH <sub>3</sub> | 27.4 CH <sub>3</sub> | 22.5 CH <sub>3</sub> | 22.5 CH <sub>3</sub> | 24.9 CH <sub>3</sub> | 25.9 CH <sub>3</sub>   |
| OMe      | 51.8 CH <sub>3</sub> |                      | 52.2 CH <sub>3</sub> | 52.0 CH <sub>3</sub> |                      | 52.3 CH <sub>3</sub> | 52.0 CH <sub>3</sub> | 51.9 CH <sub>3</sub>   |

Table 4. <sup>13</sup>C NMR spectra of compounds 9–16 (150 MHz).

<sup>*a*</sup> Measured in CDCl<sub>3</sub>; <sup>*b*</sup> measured in methanol-*d*<sub>4</sub>.

*Methyl gannosate I* (**12**): white powder (MeOH);  $[\alpha]^{28}_D$  +95.0(*c* 0.14, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 249 (3.99), and 195 (3.73); IR (KBr)  $v_{max}$  3452, 2985, 2921, 1673, 1618, 1425, 1376, 1221, and 1132 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 565.2769 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>8</sub>Na, 565.2772).

*Ganoapplic acid G* (13): white powder (MeOH);  $[\alpha]^{28}_D$  –32.86 (*c* 0.14, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 242 (3.99), and 196 (3.86); IR (KBr)  $v_{max}$  3440, 2978, 2965, 1683, 1628, 1403, 1364, 1200, and 1151 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 535.0000 [M + Na]<sup>+</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>7</sub>Na, 535.0000).

*Methyl ganoapplate G* (14): white powder (MeOH);  $[\alpha]^{28}_{D}$  +0.80 (*c* 0.07, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 243 (3.79), and 196 (3.77); IR (KBr)  $v_{max}$  3445, 2963, 2931, 1683, 1638, 1453, 1384, 1209, and 1142 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 549.2823 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>7</sub>Na, 549.2823).

*Methyl applate C* (**15**): white powder (MeOH);  $[\alpha]^{28}_{D}$  +43.44 (*c* 0.18, MeOH); UV (MeOH);  $\lambda_{max}$  (log  $\varepsilon$ ): 248 (3.99), and 196 (3.95); IR (KBr)  $v_{max}$  3443, 2956, 2915, 1665, 1624, 1433, 1376, 1205, and 1132 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 547.2672 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>40</sub>O<sub>7</sub>Na, 547.2666).

*Methyl gibbosate A* (**16**): white powder (MeOH);  $[\alpha]^{28}_{\text{D}}$  +18.94 (*c* 0.15, MeOH); UV (MeOH);  $\lambda_{\text{max}}$  (log  $\varepsilon$ ): 237 (3.78), and 196 (3.82); IR (KBr)  $v_{\text{max}}$  3430, 2953, 2929, 1703, 1628, 1433, 1354, 1229, and 1147 cm<sup>-1</sup>; <sup>1</sup>H NMR and <sup>13</sup>C NMR data: see Tables 3 and 4; HRMS (ESI-TOF) *m*/*z*: 563.2612 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>40</sub>O<sub>8</sub>Na, 563.2615)

X-ray Crystallographic Data for Ganoapplic acid A (1):  $C_{30}H_{40}O_{10} \cdot CH_4O \cdot H_2O$ , M = 610.68, a = 13.9761(7) Å, b = 6.9089(3) Å, c = 15.8732(7) Å,  $\alpha = 90^\circ$ ,  $\beta = 90.948(2)^\circ$ ,  $\gamma = 90^\circ$ ,

V = 1532.50(12) Å<sup>3</sup>, T = 100.(2) K, space group P1211, Z = 2,  $\mu$ (Cu K $\alpha$ ) = 0.844 mm<sup>-1</sup>, 26,208 reflections measured, 5973 independent reflections ( $R_{int} = 0.0593$ ). The final  $R_1$  values were 0.0504 ( $I > 2\sigma(I)$ ). The final  $wR(F^2)$  values were 0.1381 ( $I > 2\sigma(I)$ ). The final  $R_1$  values were 0.0543 (all data). The final  $wR(F^2)$  values were 0.1436 (all data). The goodness of fit for  $F^2$  was 1.042. Flack parameter = 0.07(9).

# 2.4. Mosher's Method

The specific esterification of compound **4** was performed based on the previous method [32]. The <sup>1</sup>H NMR spectroscopic data of the (*R*)-MTPA ester derivative (**4**r) of **4** (600 MHz, pyridine- $d_5$ ; data were obtained from the reaction NMR tube directly and assigned on the basis of correlations of the <sup>1</sup>H-<sup>1</sup>H COSY spectrum):  $\delta$  4.253 (1H, m, H-15),  $\delta$  2.158 (1H, m, H-16a),  $\delta$  2.756 (1H, m, H-16b),  $\delta$  3.566 (1H, m, H-17),  $\delta$  5.619 (1H, s, H-21a),  $\delta$  5.632 (1H, s, H-21b),  $\delta$  5.007 (1H, m, H-22),  $\delta$  5.067 (1H, m, H-23),  $\delta$  2.759 (1H, m, H-24a), mboxemph $\delta$  1.905 (1H, m, H-24b),  $\delta$  3.080 (1H, m, H-25),  $\delta$  1.198 (3H, s, Me-26). Meanwhile, the <sup>1</sup>H NMR spectroscopic data of the (*S*)-MTPA ester derivative (**4**s) of **4** were:  $\delta$  4.255 (1H, m, H-15),  $\delta$  2.156 (1H, m, H-16a),  $\delta$  2.756 (1H, m, H-16b),  $\delta$  3.568 (1H, m, H-17),  $\delta$  5.639 (1H, s, H-21a),  $\delta$  5.633 (1H, s, H-21b),  $\delta$  5.003 (1H, m, H-22),  $\delta$  5.062 (1H, m, H-23),  $\delta$  2.756 (1H, m, H-24a),  $\delta$  1.903 (1H, m, H-24b),  $\delta$  3.078 (1H, m, H-25),  $\delta$  1.196 (3H, s, Me-26) (see Figures S29–S31 in Section S10).

#### 2.5. Inhibition of Lipogenesis Assay

#### 2.5.1. Cell Culture and Adipocyte Differentiation

3T3-L1 cells were purchased from the American Type Culture Collection (ATCC, Manassas, VA, U.S.A.). The culture and differentiation of 3T3-L1 cells were performed based on the description reported previously [28]. Firstly, Dulbecco's modified Eagle's medium (DMEM) containing 10% bovine calf serum (CS) was used to cultivated 3T3-L1 cells. The whole system was incubated at 37 °C in a humidified atmosphere of 5% CO<sub>2</sub> and 95% air. Secondly, different medium systems were used for the different differentiated phases. Confluent cells were grown in DMEM medium containing 1 µg/mL insulin, 1 µM dexamethasone (DEX), 0.5 mM 3-isobutyl-1-methylxanthine (IBMX), and 1 µM rosiglitazone (Rosi). Then, on the third day, post-differentiation medium—namely, DMEM with 10% fetal bovine serum (FBS) and 1 µg/mL insulin—was used to continually cultivate the cells. From the fourth day, DMEM + 10% FBS was used as a maintenance medium for cell differentiation. In this process, it commonly takes two days for the mature adipocytes to form. During the whole differentiation process, the tested compounds or 0.1% DMSO were added to the differentiated 3T3-L1 cells, for which the 0.1% DMSO group was used as the vehicle.

# 2.5.2. Cell Viability Assay

The viability of cell treated with compounds **15** and **20** was determined by the MTS method. The detailed experimental procedures were similar to those described in our previous study [29].

#### 2.5.3. Lipid Content Analysis

The intracellular lipid contents of 3T3-L1 adipocytes were determined by Oil Red O staining [33]. Briefly, differentiated 3T3-L1 cells were washed twice with PBS and fixed with 10% formaldehyde for 1 h. After another washing with PBS, the fixed cells were stained with 0.5% Oil Red O in 3:2 of Oil Red O/H<sub>2</sub>O for 15 min at room temperature and then washed with 60% isopropanol and distilled water. The lipid content was imaged with an inverted light microscope Nikon TS100 (Tokyo, Japan). Finally, 100% isopropanol was used to elute Oil Red O dye and it was quantified at 492 nm absorbance.

#### 3. Results and Discussion

The molecular formula of ganoapplic acid A (1) was established to be  $C_{30}H_{40}O_{10}$ by HRESIMS ion at m/z 561.2696 [M + H]<sup>+</sup> (calcd. 561.2694), suggesting 11 degrees of unsaturation. Its <sup>13</sup>C NMR spectra displayed 30 carbon resonances, of which seven methyls, three ketone carbonyls ( $\delta_{\rm C}$  215.8,  $\delta_{\rm C}$  205.9, and  $\delta_{\rm C}$  209.9), an  $\alpha$ , $\beta$ -unsaturated carbonyl ( $\delta_C$  165.0,  $\delta_C$  127.3, and  $\delta_C$  204.0), one carboxyl ( $\delta_C$  179.7), two oxygenated methines ( $\delta_{\rm C}$  80.0 and  $\delta_{\rm C}$  61.2), and three quaternary carbons containing oxygen ( $\delta_{\rm C}$  85.5,  $\delta_{\rm C}$  71.4, and  $\delta_{\rm C}$  73.4) were assigned based on the HSQC and <sup>13</sup>C-DEPT NMR spectra (see Figures S2 and S4 in Section S1). These data indicated that the structure of compound 1 was similar to that of gibbosic acid G with a 7,12,23-trioxo-8,20-dihydroxy-lanosta-9,11en-26-oic acid skeleton [34], except for the presence of one oxygenated methine and one oxygenated quaternary carbon and the absence of a double bond at C-16 and C-17 in 1. Furthermore, the HMBC spectrum (Figure 2A) of 1 revealed the correlations of H<sub>3</sub>-21 with C-20 ( $\delta_C$  73.4), C-22, and the oxygenated quaternary carbon ( $\delta_C$  71.4); of H<sub>3</sub>-30 with C-15 ( $\delta_{\rm C}$  80.0); and of H-15 ( $\delta_{\rm H}$  4.83, s) with the oxygenated methine ( $\delta_{\rm C}$  61.2) and quaternary carbons ( $\delta_{\rm C}$  71.4). Meanwhile, the proton of the methine containing oxygen ( $\delta_{\rm H}$  3.36, s) showed the HMBC correlations with C-13, C-14, C-15, and C-20, as well as the <sup>1</sup>H-<sup>1</sup>H COSY correlation with H-15 (Figure 2A), which certified that C-16 and C-17 was substituted by hydroxyls. According to the molecular formula of 1, an epoxy was present in 1 at C-16 and C-17.



**Figure 2.** (A) Selected HMBC ( $H \rightarrow C$ ) and <sup>1</sup>H-<sup>1</sup>H COSY (H—–H) correlations of compounds 1–3, 5, 10, 13, and 15. (B) Selected ROESY correlations of compounds 1, 2, 5, and 9.

In the ROESY spectrum (Figure 2B) of **1**, H-15 showed an apparent cross peak with H<sub>3</sub>-30, while H-16 correlated with H<sub>3</sub>-18, suggesting that H-15 and H-16 were  $\alpha$ - and  $\beta$ -oriented, respectively. The X-ray crystallographic analysis (Figure 3A) of **1** (Cu  $\kappa \alpha$ ) further confirmed that the absolute configurations of C-15, C-16, C-20, and C-25 were *R*,

*S*, *S*, and *S* (see Table S1 in Section S1). Finally, the structure of **1** was determined to be (20S,25S)-7,12,23-trioxo-8 $\beta$ ,20-dihydroxy-16 $\alpha$ ,17 $\alpha$ -epoxy-lanosta-9,11-en-26-oic acid.



**Figure 3.** (A) X-ray crystallographic structure of 1; (B) values of  $\delta_S - \delta_R$  of the MTPA esters of 4.

Ganoapplic acid B (**2**) was isolated as a colorless solid. Its molecular formula was determined to be  $C_{30}H_{40}O_8$  by the HRESIMS. The 1D NMR and HSQC data of **2** supported the presence of five methyls, an  $\alpha,\beta$ -unsaturated ketone, two carboxyl or ester carbonyls, one oxygenated methylene ( $\delta_H$  4.37, s;  $\delta_C$  61.8), three oxygenated methines ( $\delta_H$  3.95, d, J = 6.5 Hz,  $\delta_C$  77.4;  $\delta_H$  4.93, s,  $\delta_C$  64.7;  $\delta_H$  5.59, dd, J = 8.6 and 6.6 Hz,  $\delta_C$  76.8), one quaternary carbon containing oxygen ( $\delta_C$  67.4), a terminal double bond ( $\delta_C$  146.5;  $\delta_C$  115.9), and a double bond ( $\delta_C$  144.1;  $\delta_H$  5.78, d, J = 8.6 Hz,  $\delta_C$  130.8), which indicated that compound **2** was an A-*seco*-lanostane triterpenoid and had the similar structure to that of gibbosicolid F. [35] However, five methyls and an oxygenated methylene were observed in **2**, rather than the six methyls found in gibbosicolid F. The detailed analysis of the 2D NMR spectra (see Figures S10–S13 in Section S2) exhibited the long-range HMBC correlations (Figure 2A) of the oxygenated methylene with C-17, C-20, and C-22; of H<sub>3</sub>-18 with C-12, C-13, C-14, and C-17; and of H-22 with C-17, C-20, C-23, and C-24, together with the <sup>1</sup>H-<sup>1</sup>H COSY correlations of H-22/H-23/H<sub>2</sub>-24/H-25/H<sub>3</sub>-26. These pieces of evidence confirmed that the hydroxyl was connected to C-21.

The ROESY cross peaks (Figure 2B) of H-7/H<sub>3</sub>-18 and H-15/H<sub>3</sub>-30 demonstrated that both H-15 and the epoxy between C-7 and C-8 were  $\alpha$ . H<sub>2</sub>-21 showed a ROESY correlation with H-23, suggesting that the double bond at C-20 and C-22 was Z. In addition, H<sub>3</sub>-26 displayed the ROESY correlation with H-23, hinting that H<sub>3</sub>-26 and H-23 were on the same face. Moreover, the *dd*-peak type of H-23 (J = 8.6 and 6.6 Hz) was consistent with that of gibbosicolid B ( $\delta_{\rm H}$  5.31, dd, J = 13.2 and 8.0 Hz), which further indicated that the absolute configurations of C-23 and C-25 were *R* and *S*, respectively. [35] Therefore, the structure of **2** was assigned as (23*R*,25*S*)-15 $\beta$ ,21-dihydroxyl-7 $\alpha$ ,8 $\alpha$ -epoxy-12-oxo-3,4-seco-lanosta-4(28), 9-(11),20E(22)-trien-23,26-olide-3-oic acid and named ganoapplic acid B (**2**).

The molecular formula of ganoapplic acid C (**3**) was established to be  $C_{30}H_{40}O_8$  by the HRESIMS. The 1D NMR spectra (see Figures S15 and S16 in Section S6) of **3** showed a high similarity with those of ganoapplic acid B (**2**), suggesting that compound **3** was an A-*seco* lanostane triterpenoid. However, the comparison of the 1D NMR spectroscopic data of **2** and **3** revealed that another terminal double bond ( $\delta_C$  117.6 and  $\delta_C$  148.7) and oxygenated methine ( $\delta_C$  76.5) were present in **3**, while a double bond (C-20-C-22) and an oxygenated methylene (C-21) were observed in **2**. Furthermore, the obvious <sup>1</sup>H-<sup>1</sup>H COSY correlations of H<sub>3</sub>-26/H-25/H<sub>2</sub>-24/H-23/H-22, as well as the HMBC correlations of H<sub>3</sub>-26 with C-24, C-25, and C-27 and of H<sub>2</sub>-24 with C-22, C-23, and C-26, indicated that the hydroxyl was located at C-22 (Figure 2A). Meanwhile, H-22 ( $\delta_H$  4.47, d, *J* = 5.6 Hz) and H-17 displayed HMBC correlations of the terminal double bond, proving that the terminal double bond was at C-21 and C-20.

The 1D NMR spectra and molecular weight (see Figures S22 and S23 in Section S8, and Figure S28 in Section S9) of methyl ganoapplate C (4) showed that compound 4 was the ester derivative of 3, which was confirmed by the HMBC correlation of OMe

with C-27 ( $\delta_{\rm C}$  175.6). The analysis of the ROESY spectra of **3** and **4** exhibited cross peaks of H-7/H<sub>3</sub>-18, H-23/H<sub>3</sub>-26, and H-22/H-25, indicating that the epoxy between C-7 and C-8 was  $\alpha$ ; meanwhile, H-23 and H<sub>3</sub>-26 were cofacial (Figure 2B). Biogenetically, the absolute configuration of C-25 from *G. applanatum* was *S*. Thus, C-23 was determined to be *R*. The absolute configuration of C-22 was established as *R* based on the revised Mosher's method (Figure 3B) [33]. Thus, the structures of **3** and **4** were elucidated as (22*R*,23*R*,25*S*)-15 $\beta$ ,22-dihydroxyl-7 $\alpha$ ,8 $\alpha$ -epoxy-12-oxo-3,4-seco-lanosta-4(28),9(11),20(21)-trien-23,26-olide-3-oic acid and methyl (22*R*,23*R*,25*S*)-15 $\beta$ ,22-dihydroxyl-7 $\alpha$ ,8 $\alpha$ -epoxy-12-oxo-3,4-seco-lanosta-4(28),9(11),20(21)-trien-23,26-olide-3-oate, respectively.

Ganoapplic acid D (5) was isolated as a white powder and its molecular formula was determined to be  $C_{30}H_{40}O_7$  based on the HRESIMS at m/z 511.2701 [M - H]<sup>-</sup> (calcd. 511.2707). Its <sup>13</sup>C-DEPT spectra (see Figures S32 and S33 in Section S11) showed thirty carbon resonances belonging to seven methyls, four methylenes, eight methines (including three  $sp^2$  and two oxygenated), and eleven quaternary carbons (including three ketone carbonyls, one carboxyl, and three  $sp^2$ ). These data indicated that compound 5 was a lanostane-type triterpenoid and had similar structure to that of gibbosic acid M (22) [35], except for the replacement of the methylene (C-16) in 22 with an oxygenated methine in 5. The HMBC spectrum of 5 revealed the correlations of H<sub>3</sub>-30 with C-15 ( $\delta_C$  84.9), C-13, and C-14; of H<sub>3</sub>-18 with C-12, C-13, C-14, and C-17; and of H-17 with C-16, C-15, C-20, C-21, and C-22, suggesting that C-16 was an oxygenated methine in 5, together with the  $^{1}\text{H}-^{1}\text{H}$  COSY correlations of H-15/H-16/H-17 (Figure 2A). The ROESY correlations of H-15/H<sub>3</sub>-30 and of H-16/H<sub>3</sub>-18 illustrated that H-15 and H-16 were  $\alpha$ - and  $\beta$ -oriented, respectively. The *E*-configuration of  $\Delta^{20,22}$  was determined by the ROESY correlation of H-22/H-17/H-16. Therefore, the structure of 5 was assigned as  $15\beta$ ,  $16\alpha$ -dihydroxy-3,7,23trioxolanosta-8,11,20E(22)-trien-26-oic acid and named ganoapplic acid D (5).

Methyl gibbosate M (6) had the molecular formula of  $C_{31}H_{42}O_6$  based on the positive HRESIMS at m/z 533.2874 [M + Na]<sup>+</sup> (calcd. 533.2873). The 1D NMR spectra (see Figures S39 and S40 in Section S13) of **6** were the same as those of gibbosic acid M (**22**) [35], except that the carboxyl at C-27 in **22** was replaced by the ester carbonyl in **6**. The key HMBC correlation of OMe with C-27 confirmed the above deduction. The characteristic *d*-coupling type of H-15 and the ROESY correlation of H-15/H<sub>3</sub>-30 indicated that the 15-OH was  $\beta$ -oriented [35]. Finally, the structure of **6** was established to be methyl 15 $\beta$ -hydroxy-3,7,23-trioxolanosta-8,11,20*E*(22)-trien-26-oate and named methyl gibbosate M (**6**).

Methyl ganoapplate E (7) was isolated as a white powder and its molecular formula was determined to be  $C_{31}H_{44}O_6$  by the HRESIMS. The analysis of the 1D NMR spectra (see Figures S46 and S47 in Section S15) of 7 showed that compound 7 had a similar structure to that of **6**, with the only difference being in the replacement of the ketone carbonyl at C-3 in **6** with the oxygenated methine in 7, which was confirmed by the HMBC correlations of H<sub>3</sub>-28, H<sub>3</sub>-29, and H-5 with the oxygenated methine ( $\delta_C$  78.3). The ROESY correlations of H-3/H-5 and of H-15/H<sub>3</sub>-30 indicated that 3-OH and 15-OH were  $\beta$ .

Ganoapplic acid E (8) was deduced to be the demethylated derivative of 7 on the basis of the HMBC correlation regarding the lack of the OMe at C-27 and the low-field shift of C-27. Thus, the structures of compounds 7 and 8 were elucidated as methyl (25*S*)-3 $\beta$ ,15 $\beta$ -dihydroxy-7,23-dioxolanosta-8,11,20*E*(22)-trien-26-oate and (25*S*)-3 $\beta$ ,15 $\beta$ -dihydroxy-7,23-dioxolanosta-8,11,20*E*(22)-trien-26-oic acid, respectively.

Methyl gibbosate O (9) was found to be similar to the known compound gibbosic acid O (24) [35] based on the 1D NMR spectroscopic data, except for distinct differences in the chemical shift of C-27 and the presence of an additional methoxyl. The HMBC spectrum of 9 showed the correlation of OMe with C-27, suggesting that 9 was a methyl ester derivative of gibbosic acid O (24). Therefore, the structure of 9 was determined to be methyl  $15\alpha$ -hydroxy-3,12,23-trioxolanosta-7,9(11),20*E*(22)-trien-26-oate.

The molecular formula of ganoapplic acid F (10) was deduced to be  $C_{30}H_{40}O_7$  based on the HRESIMS and NMR data. Its 1D NMR spectra (see Figures S67 and S68 in Section S21) showed a similar tetracyclic skeleton to that of gibbosic acid O (24) [35] with a 15-hydroxy3,12-dioxolanosta-7(8),9(11)-diene skeleton, which was confirmed by the 2D NMR spectra. In addition, an oxygenated quaternary carbon signal ( $\delta_C$  72.6) and two *sp*<sup>2</sup> carbon signals ( $\delta_C$  127.5 and  $\delta_C$  158.9) were characteristic for the quaternary carbon containing oxygen at C-20 and the double bond at C-16 and C-17. Furthermore, the HMBC correlations (Figure 2A) of H<sub>3</sub>-30 with C-15; of H-15 with C-16 and C-17; of H<sub>3</sub>-18 with C-17; of H<sub>3</sub>-21 with C-17, C-20, and C-22; and of H-22 with C-20, C-23, and C-24 confirmed the above deduction.

Methyl ganoapplate F (11) was an ester derivative at C-27 of ganoapplic acid H (10), according to the HMBC correlation of OMe with C-27. The ROESY spectra of 10 and 11 showed cross peaks of H-15/H<sub>3</sub>-30, indicating the  $\beta$ -orientation of 15-OH. Therefore, the structures of 10 and 11 were determined to be 15 $\beta$ ,20-dihydroxy-3,12, 23-trioxo-5 $\alpha$ -lanosta-7,9(11),16-trien-26-oic acid and methyl 15 $\beta$ ,20-dihydroxy-3,12,23-trioxo-5 $\alpha$ -lanosta-7,9(11),16-trien-26-oate, respectively.

Based on the NMR data analysis, methyl gibbosate I (**12**) was found to be close to that of **26** [35], with a 12,15-dihydroxy-3,7,11,23-tetraoxolanosta-8,20(22)-dien structure. The 2D NMR spectra further confirmed its structure and **12** had an additional methoxyl at C-27, which was proven by the key HMBC correlation of OMe with C-27. Moreover, the ROESY correlations of H-12/H<sub>3</sub>-18 and H-15/H<sub>3</sub>-30 demonstrated that 12-OH was  $\alpha$  while 15-OH was  $\beta$ . Thus, the structure of **12** was deduced to be methyl 12 $\alpha$ ,15 $\beta$ -dihydroxy-3,7,11,23tetraoxolanosta-8,20(22)-dien-26-ate.

Ganoapplic acid G (13) was isolated as a white powder and its molecular formula was determined to be  $C_{30}H_{40}O_7$  based on the HRMS (ESI-TOF) m/z 535.0000 [M + Na]<sup>+</sup> (calcd. 535.0000). The 1D NMR spectra of 13 showed the presence of the ketone carbonyl at C-3, 7,8-epoxyl,  $\alpha$ , $\beta$ -unsaturated ketones (C-9/C-11/C-12 and C-20/C-22/C-23), 15-OH, and 27-oic acid, which was further confirmed by the 2D NMR spectra (Figure 2A). The aforementioned information indicated that compound 13 had the same planar structure as gibbosic acid N. [35] The comparison of the ROESY spectra of 13 and gibbosic acid N revealed that they were 15-isomers due to the existence of the ROESY correlation of H-15/H<sub>3</sub>-30 and the *d*-coupling of H-15 [35]. Therefore, the structure of 13 was established to be 15 $\beta$ -hydroxy-7 $\beta$ ,8 $\beta$ -epoxy-3,12,23-trioxolanosta-9(11),20*E*(22)-dien-26-oic acid. In addition, methyl ganoapplate G (14) was deduced to be the methylation product of 13 on the basis of the HMBC correlation of OMe with C-27.

Methyl applanate C (15) was found to have a similar structure to methyl ganoapplate F (14), except for the presence of a double bond in 15, rather than one methylene and one methine in 14. Furthermore, in the HMBC spectrum of 15, the correlations (Figure 2A) of H<sub>3</sub>-30 with C-15, of H-15 with the  $sp^2$  methine and quaternary carbon, and of H<sub>3</sub>-18 and H<sub>3</sub>-21 with the  $sp^2$  quaternary carbon were observed, which proved that the double bond was located at C-16 and C-17. The ROESY correlation of H-16/H<sub>3</sub>-21 and H<sub>3</sub>-18/H-22 suggested that the geometry of the 16,20(22)-conjugated diene was 17,20-*Z*-(16*Z*, 20*E*). Additionally, the ROESY correlation of H<sub>3</sub>-30/H-15 demonstrated that 15-OH was  $\beta$ . Finally, the structure of 15 was determined to be methyl 15 $\beta$ -hydroxy-7 $\beta$ ,8 $\beta$ -epoxy-3,12,23-trioxolanosta-9(11),16*Z*,20*E*(22)-trien-26-oate and named methyl applanate C (15).

Methyl gibbosate A (**16**) was considered to be the methylation derivative of gibbosic acid A (**29**) [34] because of their similar 1D and 2D spectra (see Figures S104–S109 in Section S33) and the HMBC correlation of OMe with C-27.  $7\beta$ ,8 $\beta$ -epoxy was proven by the ROESY correlation of H-17/H<sub>3</sub>-30. Thus, the structure of **16** was established to be methyl 20-hydroxy- $7\beta$ ,8 $\beta$ -epoxy-3,12,15,23-tetraoxo-lanosta-9,16-dien-26-oate.

In addition, 24 known compounds were identified by comparing their 1D NMR spectra with those reported in the literature, and they were assigned as gibbosic acid G (17) [34], applanoic acid B (18) [36], gibbosicolid E (19) [35], gibbosicolid F (20) [35], gibbosicolid G (21) [35], gibbosic acid M (22) [35], gibbosic acid L (23) [35], gibbosic acid O (24) [35], applanoic acid D (25) [36], gibbosic acid I (26) [35], ganodapplanoic acid D (27) [27], applanoic acid C (28) [36], ganoapplanic acid F (29) [37], elfvingic acid B (30) [37], applanoxidic acid G methyl ester (31) [37], gibbosic acid C (32) [34], gibbosic acid B (33) [34], elfvingic acid C (34) [38], methyl ganoapplano

planiate D (**35**) [37], applanone E (**36**) [36], ganoapplanoid K (**37**) [28], ganoapplanoid L (**38**) [28], ganoapplanilactone B (**39**) [37], and ganoapplanilactone A (**40**) [37].

Parts of the isolated compounds were evaluated to determine their anti-adipogenesis activities. At a concentration of 20  $\mu$ M, compounds **16**, **22**, **28**, and **32** showed comparable inhibition for lipid accumulation compared to the positive control (LiCl, 20 mM). Meanwhile, compounds **15** and **20** displayed stronger inhibitory effects than the positive control, even resembling the untreated group (Figure 4). Furthermore, compounds **15** and **20** did not show any toxicity for the 3T3-L1 cells when the concentration was less than 100  $\mu$ M. At the concentrations of 1.25, 2.5, 5, 10, 20, and 30 or 40, compounds **15** and **20** showed significantly inhibitory activities in a dose-dependent manner, with IC<sub>50</sub> values of 6.42 and 5.39  $\mu$ M, respectively (Figure 5).



**Figure 4.** Effects of compounds (**1**, **2**, **4**, **5**, **7**, **8**, **12–20**, **22**, **24**, **26**, **28–34**, and **39**) at a level of 20  $\mu$ M on lipid accumulation during 3T3-L1 adipocyte differentiation (**A**). LiCl (20 mM) was used as a positive control. Quantification of intracellular lipids in Oil Red O-stained adipocytes (**B**). Cell viability of compounds **15** and **20** on 3T3-L1 pre-adipocytes when treated for 24 h with an MTS assay (**C**). Data are representative results from three independent experiments. Data are shown as mean  $\pm$  SD (n = 3) versus undifferentiated cells (UND). (##) p < 0.01 versus undifferentiated cells (UND). (\*) p < 0.05 and (\*\*) p < 0.01 versus fully differentiated cells (CON).



**Figure 5.** Effects of compounds **15** and **20** on lipid accumulation in 3T3-L1 adipocytes. (**A**) Oil Red O staining of cells administrated with serial doses of compounds **15** and **20**. (**B**) Quantification of intracellular lipid in Oil Red O-stained adipocytes. (C) The IC<sub>50</sub> values of compounds **15** and **20**. LiCl (20 mM) was used as a positive control. Data are representative results from three independent experiments. Data are shown as mean  $\pm$  SD (n = 3), versus undifferentiated cells (UND). (##) p < 0.01 versus undifferentiated cells (UND). (\*) p < 0.05 and (\*\*) p < 0.01 versus fully differentiated cells (CON).

The structures of the isolates were divided into nine types, including type I with a 7,12dioxo-8-hydroxy-9,11-en fraction, type II with a A-seco-7,8-epoxy-9,11-en-12-oxo-23→27 lactone fraction, type III with a 7,23-dioxo-8(9),11(12),20(22)-trien fraction, type IV with a 12-oxo-7(8),9(11)-dien fraction, type V with a 7,11-dioxo-12-hydroxy-8(9)-en fraction, type VI with a 7,8-epoxy-12,23-dioxo-9(11),16(17),20(22)-trien fraction, type VII with a 20-hydroxy-7,8-epoxy-12,23-dioxo-9(11)-en fraction, type VIII with a 12-oxo-7,8-epoxy-9(11)-en-21,22,23,24,25,26,27-norlanostane, and type IX with a 12,23-epoxy-23 $\rightarrow$ 27 lactone fraction. The combined results of the previous and present studies showed that bioactive compounds were mainly present in type II (20 and 21), type III (22), type VI (15 and 28), type VII (16 and 32), and type VIII (37). For type II, when the relative configuration of 15-OH was  $\alpha$ , the activity was decreased, similar to compound **19**, while any changes in the side chain decreased their activities, such as compounds 2–4. For type III, no matter which reactions happened in type III, compounds 5-8 and 23 did not show inhibitory activity. For type VI, compound 27 was the 3-OH analogue of 15 and 28, leading to a decrease in inhibition. In type VII, the carbonyl at C-3 and the carbonyl or hydroxyl at C-15 could be the crucial active functionalities. C24 lanostane triterpenoids possessing a double bond at C-16 and C-17 displayed anti-adipogenesis activity [27,28]. Compared to the other compounds, compound 20 belonging to type II showed the strongest inhibitory activity, suggesting that A-seco-15 $\beta$ -hydroxy-7,8-epoxy-12-oxolanosta-9,11-en-23 $\rightarrow$ 27 lactone could play a significant role in the anti-adipogenesis effect (Figure 6).



Figure 6. The proposed structure-activity relationship of triterpenoids from G. applanatum.

#### 4. Conclusions

Overall, inspired by our previous studies, we investigate the lanostane-type triterpenoids of *G. applanatum*; 40 triterpenoids, including 16 new compounds, were isolated. Their antiadipogenesis activities were evaluated and the results showed that compounds **15** and **20** can significantly inhibit lipid accumulation, with the IC<sub>50</sub> values of 6.42 and 5.39  $\mu$ M, respectively. Furthermore, we established a structure–activity relationship for the lanostanetype triterpenoids from *G. applanatum*, suggesting that the structure skeleton (A-*seco*-15 $\beta$ hydroxy-7,8-epoxy-12-oxolanosta-9,11-en-23 $\rightarrow$ 27 lactone) could be of importance for the anti-adipogenic effect. In the next step, we can use type III as a template for further structural modification in order to find the lead compound.

Supplementary Materials: The following supporting information can be downloaded at: https:// www.mdpi.com/article/10.3390/jof8040331/s1, Section S1: 1D and 2D NMR spectra of compound 1; Section S2: HRESIMS spectrum of 1; Section S3: X-ray crystallographic data of 1; Section S4: 1D and 2D NMR spectra of 2; Section S5: HRESIMS spectrum of 2; Section S6: 1D and 2D NMR spectra of 3; Section S7: HRESIMS spectrum of 3; Section S8: 1D and 2D NMR spectra of 4; Section S9: HRESIMS spectrum of 4; Section S10: Comparison of <sup>1</sup>H NMR and <sup>1</sup>H-<sup>1</sup>H COSY spectra between 4r and 4s; Section S11: 1D and 2D NMR spectra of 5; Section S12: HRESIMS spectrum of 5; Section S13: 1D and 2D NMR spectra of 6; Section S14: HRESIMS spectrum of 6; Section S15: 1D and 2D NMR spectra of 7; Section S16: HRESIMS spectrum of 7; Section S17: 1D and 2D NMR spectra of 8; Section S18: HRESIMS spectrum of 8; Section S19: 1D and 2D NMR spectra of 9; Section S20: HRESIMS spectrum of 9; Section S21: 1D and 2D NMR spectra of 10; Section S22: HRESIMS spectrum of 10; Section S23: 1D and 2D NMR spectra of 11; Section S24: HRESIMS spectrum of 11; Section S25: 1D and 2D NMR spectra of 12; Section S26: HRESIMS spectrum of 12; Section S27: 1D and 2D NMR spectra of 13; Section S28: HRESIMS spectrum of 13; Section S29: 1D and 2D NMR spectra of 14; Section S30: HRESIMS spectrum of 14; Section S31: 1D and 2D NMR spectra of 15; Section S32: HRESIMS spectrum of 15; Section S33: 1D and 2D NMR spectra of 16; Section S34: HRESIMS spectrum of 16.

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Article



# Cytochalasans from the Endophytic Fungus *Phomopsis* sp. shj2 and Their Antimigratory Activities

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**Abstract:** Cytochalasans from the endophytic fungi featured structure diversity. Our previous study has disclosed that cytochalasans from the endophytic fungus *Phomopsis* sp. shj2 exhibited an antimigratory effect. Further chemical investigation on *Phomopsis* sp. shj2 has led to the discovery of seven new cytochalasans (1–7), together with four known ones. Their structures were elucidated through extensive spectroscopic data interpretation and single-crystal X-ray diffraction analysis. Compounds 1–3 and 8–11 exhibited antimigratory effects against MDA-MB-231 in vitro with IC<sub>50</sub> values in the range of  $1.01-10.42 \mu$ M.

Keywords: endophytic fungus; Phomopsis; cytochalasan; antimigratory activity

# 1. Introduction

Endophytic fungi are emerging as rich resources for structurally unique and bioactive secondary metabolites, which arouse increasing research interest in the past decades [1–3]. Cytochalasans represent a large class of fungal polyketide synthase-nonribosomal peptide synthetase (PKS-NRPS) hybrid secondary metabolites. Recently, plenty of polycyclic cytochalasans have been identified [4–8] and synthesised [9,10]; moreover, they exhibited a broad spectrum of interesting biological activities, such as cytotoxic [4,5,8], immunoregulatory [11], and antimicrobial [6] activities. To date, more than 400 cytochalasans have been isolated from various fungal sources, such as *Phomopsis* [4], *Xylaria* [12], *Chaetomium* [13] and *Phoma* [14] genera.

Tumour spread is a major concern in cancer therapeutics as cancer metastasis is responsible for 90% of deaths from solid tumours [15]. Natural products with antimigratory activity represent a highly interesting field to explore for cancer chemoprevention and therapy. Fungi are emerging as a natural source, such as *Diaporthe* [16], *Isaria* [17], and *Phenicillium* [18,19] genera. Chemical investigations on endophytes of *Isodon* species have disclosed structurally diverse and bioactive natural products [19–22]. Phomopchalasins B and C were isolated from the endophytic fungus *Phomopsis* sp. shj2 from the stems of *Isodon eriocalyx* var. *laxiflora* and exhibited in vitro antimigratory effects against MDA-MB231 [19]. In our continuous efforts for more bioactive structures, the strain was further investigated by one strain-many compounds strategy (OSMAC), which led to the isolation of seven new cytochalasans (1–7), along with four known ones (Figure 1). Herein, we report the isolation, structure elucidation, and antimigratory activities of these cytochalasans.

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Figure 1. Structures of compounds 1-11.

#### 2. Materials and Methods

### 2.1. General Experimental Procedures

Column chromatography (CC) was performed with silica gel (100–200 mesh, Qingdao Marine Chemical, Inc., Qingdao, China), Lichroprep RP-18 gel (40–63  $\mu$ m, Merck, Darmstadt, Germany). Preparative HPLC and semi-preparative HPLC were performed on an Agilent 1200 liquid chromatograph with a Zorbax SB-C18 (9.4 mm × 25 cm) column. Fractions were monitored by TLC, and spots were visualized by heating silica gel plates sprayed with 10% H<sub>2</sub>SO<sub>4</sub> in EtOH. Petroleum ether (PE, 60–90 °C), EtOAc, CHCl<sub>3</sub>, acetone, MeOH, and EtOH were of analytical grade and purchased from Sinopharm Chemical Reagent Co. Ltd., China. All solvents were distilled before use. NMR spectra were recorded on Bruker DRX-500, AV-600, and 800 spectrometers. ESIMS and HRESIMS experiments were performed on a Bruker HCT/Esquire spectrometer and a Waters AutoSpec Premier P776 spectrometer. CD spectra were measured on an Applied Photophysics Chirascan spectrophotometer. Optical rotations were measured with a JASCO P-1020 polarimeter. UV spectra were obtained using a Shimadzu UV-2401A spectrophotometer.

#### 2.2. Fungal Material

The culture of *Phomopsis* sp. shj2 was isolated from the stems of *Isodon eriocalyx* var. *laxiflora* collected from Kunming Botanical Garden, Kunming, People's Republic of China, in December 2012. The isolate was identified based on sequence (GenBank Accession No. KU533636) analysis of the ITS region of the rDNA. The fungal strain was cultured on slants of potato dextrose agar at 25 °C for 7 days. Agar plugs were cut into small pieces (about  $0.5 \times 0.5 \times 0.5 \text{ cm}^3$ ) under aseptic conditions, and 15 pieces were used to inoculate three Erlenmeyer flasks (250 mL), each containing 50 mL of media (0.4% glucose, 1% malt extract, and 0.4% yeast extract); the final pH of the media was adjusted to 6.5, and the flasks were sterilized by autoclave. Three flasks of the inoculated media were incubated at 28 °C on a rotary shaker at 180 rpm for 5 days to prepare the seed culture. Fermentation was carried out in 125 Fernbach flasks (500 mL), each containing 80 g of rice. Spore inoculum was prepared in sterile, distilled H<sub>2</sub>O to give a final spore/cell suspension of  $1 \times 10^6$ /mL. Distilled H<sub>2</sub>O (120 mL) was added to each flask, and the contents were soaked overnight before autoclaving at 15 psi for 30 min. After cooling to room temperature, each flask was inoculated with 5.0 mL of the spore inoculum and incubated at 28 °C for 42 days.

#### 2.3. Extraction and Isolation

The fermented material was extracted with EtOAc ( $4 \times 10.0$  L) and the organic solvent was evaporated to dryness under vacuum to afford a crude extract (170 g). The crude extract was purified by CC (column chromatography on SiO<sub>2</sub> with CHCl<sub>3</sub>/acetone gradient system 1:0, 9:1, 8:2, 7:3, 6:4 and 1:1) to yield six main fractions, Fr.s A–F. Fr. B was subjected to chromatography over silica gel CC (petroleum ether-EtOAc) to give subfractions B1–B9. Fr. B2 was further purified by silica gel CC (petroleum ether-acetone) to give 1 (10.7 mg).

Fr. B8 was purified by semi-preparative HPLC (3 mL/min, detector UV  $\lambda_{max}$  210 nm, MeCN-H<sub>2</sub>O) to afford **11** (3.2 mg), **8** (25.1 mg), and **10** (3.7 mg). Fr. C was purified by chromatography over silica gel CC (petroleum ether-acetone) to give subfractions Fr.s C1–C10. The subfraction C8 was recrystallized to give **7** (20.5 mg). Fr. C5 was separated by semi-preparative HPLC (3 mL/min, detector UV  $\lambda_{max}$  210 nm, MeCN-H<sub>2</sub>O) to afford **3** (4.7 mg) and **9** (10.2 mg). Fr. D was subjected to Sephadex LH-20 (CH<sub>3</sub>Cl-MeOH) to yield subfractions D1–D6. The subfraction D5 was purified by recrystallization to afford **4** (1.2 mg). And Fr. D5 was further purified to afford **2** (20.3 mg). Fr. E was purified by semi-preparative HPLC (3 mL/min, detector UV  $\lambda_{max}$  210 nm, MeCN-H<sub>2</sub>O) to afford **5** (1.5 mg) and **6** (1.6 mg).

18-Acetoxycytochalasin H (1): white powder (MeOH);  $[\alpha]_D^{20} = +44.2$  (c 0.23, MeOH), UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ): 203.2 (0.5151); <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2; HRESIMS [M + Na]<sup>+</sup> m/z 558.2826 (calcd for C<sub>32</sub>H<sub>41</sub>NO<sub>6</sub>Na, 558.2826).

| No.            | 1 <sup>a,b</sup>                    | 2 <sup>a,c</sup>                    | 3 <sup>c,d</sup>                    | 4 <sup>a,c</sup>                    | 5 <sup>a,c</sup>            | 6 <sup>a,e</sup>               | 7 <sup>a,c</sup>                 |
|----------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-----------------------------|--------------------------------|----------------------------------|
| 3              | 3.25 (m)                            | 3.26 (m)                            | 3.33 (m)                            | 3.27 (m)                            | 3.23 (dt, 9.4,<br>4.3)      | 3.54 (dt, <i>J</i> = 9.4, 4.3) | 3.28 (overlap)                   |
| 4              | 2.15 (m)                            | 2.14 (m)                            | 2.65 (m)                            | 2.58 (m)                            | 2.35 (t, 4.3)               | 2.25 (t, 4.2)                  | 2.19 (t, 4.3)                    |
| 5              | 2.76 (m)                            | 2.78 (m)                            | 2.72 (m)                            | 2.90 (m)                            | 3.08 (m)                    | 2.11 (m)                       | 2.53 (m)                         |
| 6              |                                     |                                     |                                     |                                     |                             | 2.01 (m)                       |                                  |
| 7              | 3.84 (d, 10.5)                      | 3.83 (d, 10.5)                      | 3.79 (d, 10.5)                      | 3.82 (d, 10.5)                      |                             |                                | 5.72 (s)                         |
| 8              | 2.93 (d, 10.5)                      | 2.96 (d, 10.5)                      | 2.94 (d, 10.5)                      | 2.90 (d, 10.5)                      | 3.94 (d, 9.3)               | 3.79 (d, 9.4)                  | 3.26 (overlap)                   |
| 10             | 2.85 (dd, 13.5,<br>4.5)             | 2.86 (dd, 13.3,                     | 2.81 (m)                            | 2.58 (m)                            | 2.90 (dd, 13.5,<br>4.3)     | 2.92 (dd, 13.5,<br>4.3)        | 2.91 (dd, 13.5,<br>4.3)          |
| 10             | 2.65 (dd, 13.5,                     | 3.8)                                | 2.79 (m)                            | 1.71 (m)                            | 2.65 (dd, 13.5,             | 2.64 (dd, 13.5,                | 2.60 (dd, 13.5,                  |
|                | 9.6)                                | 2.67 (m)                            | ~ /                                 | ( )                                 | 9.4)                        | 9.4)                           | 10.2)                            |
| 11             | 0.99 (d, 6.7)                       | 1.01 (d, 6.7)                       | 0.84 (d, 6.8)                       | 1.10 (d, 6.7)                       | 1.12 (d, 6.7)               | 0.98 (d, 6.7)                  | 1.17 (d, 7.3)                    |
| 12             | 5.33 (s)<br>5.10 (s)                | 5.35 (s)<br>5.11 (s)                | 5.18 (s)<br>4 95 (s)                | 5.32 (s)<br>5.11 (s)                | 6.25 (s)<br>5 29 (s)        | 1.12 (d, 7.0)                  | 4.53 (d, 12.8)<br>4.48 (d, 12.8) |
|                | 5.74 (dd. 15.5.                     | 5.73 (dd. 15.1.                     | 5.70 (dd. 15.0.                     | 5.71 (dd. 15.5.                     | 5.81 (dd. 15.6.             | 5.69 (dd. 15.5.                | 5.84 (dd. 15.3.                  |
| 13             | 9.7)                                | 10.0)                               | 9.2)                                | 9.8)                                | 9.3)                        | 9.4)                           | 10.3)                            |
| 14             | 5.38 (m)                            | 5.43 (m)                            | 5.22 (m)                            | 5.35 (m)                            | 5.19 (m)                    | 5.16 (m)                       | 5.24 (m)                         |
|                | 2.01 (overlap)                      | 2.00 (overlap)                      | 1.90 (dd, 13.9,                     | 1.98 (dd, 10.4,                     | 2.04 (dd, 12.9,             | 2 01 (m)                       | 1 99 (m)                         |
| 15             | 1.79 (d. 12.4)                      | 1.79 (d. 11.3)                      | 3.1)                                | 4.7)                                | 4.4)                        | 1.81 (m)                       | 1.77 (overlap)                   |
| 1.0            |                                     | 1.50 ()                             | 1.80 (m)                            | 1.78 (m)                            | 1.89 (m)                    |                                | 1 <b>F</b> ( )                   |
| 16             | 1.65 (m)                            | 1.78 (m)                            | 1.66 (m)                            | 1.75 (m)                            | 1.76 (m)                    | 1.75 (m)                       | 1.75 (m)                         |
|                | 2.05 (dd, 14.5,                     |                                     | 1.90(m)                             |                                     | 1.85 (overlap)              | 1.85 (m)                       | 1.88 (dd, 14.3,                  |
| 17             | 5.7),<br>1 75 (dd 14 3              | 1.69 (m)                            | 1.90 (m)<br>1.75 (m)                | 1.78 (overlap)                      | 1.54 (dd, 14.3,             | 1.53 (dd, 14.3,                | 2.7)                             |
|                | 3.0                                 |                                     | 1.75 (11)                           |                                     | 3.2)                        | 3.1)                           | 1.54 (d, 14.3)                   |
| 19             | 5.56 (d, 16.6)                      | 5.52 (d, 16.7)                      | 5.84 (d, 16.7)                      | 5.73 (d, 16.7)                      | 5.52 (d, 16.6)              | 5.49 (d, 16.6)                 | 5.52 (d, 16.6)                   |
| 20             | 5.85 (dd, 16.6,                     | 5.79 (dd, 16.7,                     | 5.97 (dd, 16.7,                     | 5.99 (dd, 16.7,                     | 5.90 (dd, 16.6,             | 5.85 (dd, 16.6,                | 5.91 (dd, 16.6,                  |
|                | 2.3)                                | 2.4)                                | 2.2)                                | 2.6)                                | 2.6)                        | 2.5)                           | 2.6)                             |
| 21             | 5.63 (t, 2.3)                       | 5.54(t, 2.4)                        | 4.02(t, 2.2)                        | 4.12 (t, 2.6)                       | 5.65(t, 2.6)                | 5.60(t, 2.5)                   | 5.68 (t, 2.6)                    |
| 22             | 1.02 (d, 6.9)                       | 1.01 (d, 6.5)                       | 0.99 (d, 6.3)                       | 1.01 (d, 6.3)                       | 1.04(d, 7.0)                | 1.03 (d, 6.9)                  | 1.04(d, 6.3)                     |
| 23             | 1.36(S)<br>7 14 (d. 7 4)            | 1.20(S)<br>7 15 (d. 7 4)            | 1.55(5)<br>7 21 (d. 7 2)            | 1.28(s)<br>7 15 (d. 7 4)            | 1.34(S)<br>7 12 (d. 7 4)    | 1.32(S)<br>7 15 (d. 7 2)       | 1.34(S)<br>7 14 (d. 7 2)         |
| 2,0            | 7.14(0, 7.4)                        | 7.13(0, 7.4)                        | 7.21(0, 7.3)                        | 7.13(0, 7.4)                        | 7.12(0, 7.4)                | 7.13(u, 7.3)                   | 7.14(0, 7.2)                     |
| 5,5<br>1'      | 7.31(l, 7.4)<br>7.25(t, 7.4)        | 7.32(1, 7.4)<br>7.25(+.7.4)         | 7.29(1, 7.3)                        | 7.31(1, 7.4)<br>7.24(+.7.4)         | 7.32(1, 7.4)<br>7.25(+.7.4) | 7.55(1, 7.5)<br>7.25(+.7.3)    | 7.31(l, 7.3)<br>7.24(+.7.2)      |
| $\frac{1}{12}$ | 7.23 (t, 7.4)                       | 7.23 (t, 7.4)                       | 7.20 (u, 7.3)                       | 7.24 (l, 7.4)                       | 7.23 (t, 7.4)               | 7.23 (t, 7.3)                  | 7.24(1, 7.2)                     |
| 12 0/10        |                                     | $\mathbf{R} = \mathbf{E}\mathbf{t}$ |                                     | $\mathbf{R} = \mathbf{E}\mathbf{t}$ |                             |                                | 2.04, 5                          |
|                | $\mathbf{R} = \mathbf{A}\mathbf{c}$ | 3.38 (m) 2.65                       | $\mathbf{R} = \mathbf{A}\mathbf{c}$ | 3.41 (m) 3.37                       |                             |                                |                                  |
| 18-OR          | 2.00(s)                             | (m)                                 | 1.96 (s)                            | (m)                                 | R = H                       | R = H                          | R = H                            |
|                |                                     | 1.14 (t, 6.9)                       |                                     | 1.17 (t, 7.0)                       |                             |                                |                                  |
| 21-OAc         | 2.24 (s)                            | 2.25 (s)                            |                                     |                                     | 2.30 (s)                    | 2.28 (s)                       | 2.25 (s)                         |

**Table 1.** <sup>1</sup>H NMR data (CDCl<sub>3</sub>,  $\delta$  in ppm) of compounds 1–7.

<sup>a</sup> Recorded in CDCl<sub>3</sub>. <sup>b</sup> Recorded at 800 MHz. <sup>c</sup> Recorded at 600 MHz. <sup>d</sup> Recorded in acetone-*d*<sub>6</sub>. <sup>e</sup> Recorded at 500 MHz.

| No.                | 1 <sup>a,b</sup>     | 2 <sup>a,c</sup> | 3 <sup>d,e</sup>     | 4 <sup>a,e</sup>     | 5 <sup>a,e</sup>     | 6 <sup>a,f</sup> | 7 <sup>a,e</sup> |
|--------------------|----------------------|------------------|----------------------|----------------------|----------------------|------------------|------------------|
| 1                  | 174.3 s              | 174.3 s          | 176.7 s              | 175.8 s              | 172.8 s              | 173.5 s          | 174.9 s          |
| 3                  | 53.9 d               | 53.9 d           | 54.2 d               | 50.6 d               | 54.0 d               | 53.3 d           | 56.1 d           |
| 4                  | 50.5 d               | 50.9 d           | 50.2 d               | 53.9 d               | 50.6 d               | 51.1 d           | 53.8 d           |
| 5                  | 33.0 d               | 33.0 d           | 33.8 d               | 33.1 d               | 34.2 d               | 35.7 d           | 34.7 d           |
| 6                  | 148.0 s              | 148.0 s          | 152.1 s              | 148.6 s              | 143.9 s              | 45.8 d           | 135.8 s          |
| 7                  | 70.0 d               | 69.9 d           | 71.6 d               | 70.1 d               | 198.7 s              | 214.0 s          | 134.6 d          |
| 8                  | 47.3 d               | 47.4 d           | 46.8 d               | 46.0 d               | 53.1 d               | 52.0 d           | 43.4 d           |
| 9                  | 52.1 s               | 51.9 s           | 54.5 s               | 53.0 s               | 52.9 s               | 53.6 s           | 56.2 s           |
| 10                 | 45.7 t               | 45.8 t           | 45.5 t               | 45.8 t               | 46.0 t               | 46.3 t           | 46.1 t           |
| 11                 | 14.1 q               | 14.2 q           | 14.2 q               | 14.1 q               | 14.4 q               | 15.9 q           | 13.2 q           |
| 12                 | 114.3 <sup>•</sup> t | 114.3 t          | 112.0 t              | 113.9 <sup>•</sup> t | 121.0 t              | 16.0 q           | 64.9 t           |
| 13                 | 127.6 d              | 127.2 d          | 130.2 d              | 128.0 d              | 123.0 d              | 123.2 d          | 128.3 d          |
| 14                 | 138.2 d              | 138.6 d          | 135.7 d              | 137.8 d              | 138.4 d              | 137.9 d          | 136.3 d          |
| 15                 | 42.7 t               | 43.1 t           | 43.7 t               | 42.8 t               | 43.1 t               | 42.9 t           | 42.8 t           |
| 16                 | 28.6 d               | 28.0 d           | 29.2 d               | 27.8 d               | 28.6 d               | 28.5 d           | 28.7 d           |
| 17                 | 51.5 t               | 51.8 t           | 52.9 t               | 51.1 t               | 53.7 t               | 53.5 t           | 53.5 t           |
| 18                 | 84.4 s               | 78.5 s           | 85.0 s               | 78.5 s               | 74.6 s               | 74.5 s           | 74.6 s           |
| 19                 | 136.6 d              | 138.9 d          | 135.1 d              | 137.3 d              | 137.7 d              | 137.7 d          | 137.3 d          |
| 20                 | 124.9 d              | 125.8 d          | 131.8 d              | 130.8 d              | 125.9 d              | 125.9 d          | 126.5 d          |
| 21                 | 77.4 d               | 78.1 d           | 76.7 d               | 77.0 d               | 77.9 d               | 77.8 d           | 77.0 d           |
| 22                 | 25.5 q               | 26.2 q           | 25.9 q               | 26.0 q               | 26.6 q               | 26.6 q           | 26.6 q           |
| 23                 | 26.3 q               | 25.2 q           | 27.0 q               | 25.2 q               | 31.5 q               | 31.4 q           | 31.6 q           |
| 1′                 | 137.5 s              | 137.6 s          | 138.9 <sup>•</sup> s | 137.7 <sup>°</sup> s | 137.0 <sup>°</sup> s | 137.1 s          | 137.7 s          |
| 2', 6'             | 129.1 d              | 129.2 d          | 130.8 d              | 129.1 d              | 129.2 d              | 129.2 d          | 129.1 d          |
| 3', 5'             | 129.1 d              | 129.1 d          | 129.2 d              | 128.9 d              | 129.1 d              | 129.1 d          | 129.0 d          |
| 4'                 | 127.2 d              | 127.3 d          | 127.4 d              | 127.1 d              | 127.4 d              | 127.4 d          | 127.3 d          |
| 10 OD              |                      |                  |                      |                      |                      |                  | 170.6 s,         |
| 12-OK              |                      |                  |                      |                      |                      |                  | 21.1 q           |
|                    | R = Ac               | R = Et           | R = Ac               | R = Et               |                      |                  | -                |
| 18-OR              | 170.1 s,             | 57.9 t,          | 170.4 s,             | 57.5 t,              | R = H                | R = H            |                  |
|                    | 21.0 q               | 16.3 q           | 22.2 q               | 16.0 q               |                      |                  |                  |
| $21 O \Lambda_{2}$ | 170.3 s,             | 170.3 s,         | -                    | *                    | 170.1 s,             | 170.1 s,         | 170.2 s,         |
| 21 <b>-</b> UAC    | 22.4 q               | 21.1 q           |                      |                      | 21.1 q               | 21.1 q           | 21.2 q           |

**Table 2.** <sup>13</sup>C NMR data (CDCl<sub>3</sub>,  $\delta$  in ppm) of compounds 1–7.

<sup>a</sup> Recorded in CDCl<sub>3</sub>. <sup>b</sup> Recorded at 100 MHz. <sup>c</sup> Recorded at 150 MHz. <sup>d</sup> Recorded in acetone- $d_6$ . <sup>e</sup> Recorded at 150 MHz. <sup>f</sup> Recorded at 125 MHz.

18-Ethoxycytochalasin H (2): white solid;  $[\alpha]_D^{18} = +39.0$  (*c* 0.15, MeOH), UV (MeOH)  $\lambda_{\text{max}}$  (log ε): 204.0 (0.4717); <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2; HRESIMS [M + Na]<sup>+</sup> m/z 544.3030 (calcd for C<sub>32</sub>H<sub>43</sub>NO<sub>5</sub>Na, 544.3039).

18-Acetoxycytochalasin J (3):  $[\alpha]_D^{22} = +22.0$  (*c* 0.24, MeOH), UV (MeOH) λ<sub>max</sub> (log ε): 204.0 (0.5467); <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2; HRESIMS [M + Na]<sup>+</sup> *m*/*z* 516.2726 (calcd for C<sub>30</sub>H<sub>39</sub>NO<sub>5</sub>Na, 516.2720).

18-Ethoxycytochalasin J (4):  $[\alpha]_D^{18} = +50.0$  (*c* 0.19, MeOH), UV (MeOH) λ<sub>max</sub> (log ε): 203.8 (0.5179); <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2; HRESIMS [M + H]<sup>+</sup> *m*/*z* 480.3111 (calcd for C<sub>30</sub>H<sub>42</sub>NO<sub>4</sub>, 480.3108).

7-Oxocytochalasin H (5):  $[\alpha]_D^{24} = -12.3$  (*c* 0.19, MeOH), UV (MeOH) λ<sub>max</sub> (log ε): 205.0 (0.5284); <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2; HRESIMS [M + Na]<sup>+</sup> *m*/*z* 514.2559 (calcd for C<sub>30</sub>H<sub>37</sub>NO<sub>5</sub>Na, 514.2564).

Cytochalasin H<sub>3</sub> (6):  $[\alpha]_D^{24} = -63.2$  (*c* 0.19, MeOH), UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ): 205.5 (0.5241); <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2; HRESIMS [M + Na]<sup>+</sup> m/z 516.2719 (calcd for C<sub>30</sub>H<sub>39</sub>NO<sub>5</sub>Na, 516.2720).

Cytochalasin H<sub>4</sub> (7): white solid;  $[\alpha]_D^{20} = -28.4$  (*c* 0.18, MeOH), UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ): 203.2 (0.5250); IR (KBr)  $\lambda_{max}$  3471, 2958, 2925, 1740, 1688, 1640, 1454, 1441, 1384, 1232 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2; HRESIMS [M + H]<sup>+</sup> m/z 536.3014 (calcd for C<sub>32</sub>H<sub>42</sub>NO<sub>6</sub>, 536.3007).

#### 2.4. X-ray Crystal Structure Analysis

The intensity data for **1** and **3** were collected on a Bruker APEX DUO diffractometer using graphite-monochromated Cu K $\alpha$  radiation. The structures of these compounds were solved by direct methods (SHELXS97), expanded using difference Fourier techniques, and refined by the program and full-matrix least-squares calculations. The non-hydrogen atoms were refined anisotropically, and hydrogen atoms were fixed at calculated positions. Crystallographic data for the structures of **1** (deposition number CCDC 2169670) and **3** (deposition number CCDC 2169671) have been deposited in the Cambridge Crystallographic Data Centre database. Copies of the data can be obtained free of charge from the CCDC at www.ccdc.cam.ac.uk (accessed on 1 May 2022).

Crystal data for 1:  $C_{32}H_{41}NO_6$ , M = 535.66, orthorhombic, a = 9.5019 (6) Å, b = 15.8046 (9) Å, c = 19.6446 (11) Å,  $\alpha = 90.00^{\circ}$ ,  $\beta = 90.00^{\circ}$ ,  $\gamma = 90.00^{\circ}$ , V = 2950.1 (3) Å<sup>3</sup>, T = 100 (2) K, space group P212121, Z = 4,  $\mu$  (CuK $\alpha$ ) = 0.664 mm<sup>-1</sup>, 11817 reflections measured, 4764 independent reflections ( $R_{int} = 0.0569$ ). The final  $R_1$  values were 0.0917 (I > 2 $\sigma$  (I)). The final wR ( $F^2$ ) values were 0.2549 (I > 2 $\sigma$  (I)). The final  $R_1$  values were 0.0937 (all data). The final wR ( $F^2$ ) values were 0.2565 (all data). The goodness of fit on  $F^2$  was 1.163. Flack parameter = 0.3 (5). The Hooft parameter is 0.15 (11) for 1883 Bijvoet pairs.

Crystal data for **3**:  $C_{30}H_{39}NO_5 \cdot H_2O$ , M = 511.64, monoclinic, a = 9.7873 (3) Å, b = 9.4430 (3) Å, c = 15.5029 (4) Å,  $\alpha$  = 90.00°,  $\beta$  = 103.6560 (10)°,  $\gamma$  = 90.00°, V = 1392.30 (7) Å<sup>3</sup>, T = 100 (2) K, space group P21, Z = 2,  $\mu$  (CuK $\alpha$ ) = 0.678 mm<sup>-1</sup>, 9344 reflections measured, 3802 independent reflections (R<sub>int</sub> = 0.0470). The final R<sub>1</sub> values were 0.0600 (I > 2 $\sigma$  (I)). The final wR (F<sup>2</sup>) values were 0.1736 (I > 2 $\sigma$  (I)). The final R<sub>1</sub> values were 0.0681 (all data). The final wR (F<sup>2</sup>) values were 0.2047 (all data). The goodness of fit on F<sup>2</sup> was 1.093. Flack parameter = 0.1 (3). The Hooft parameter is 0.31 (9) for 1240 Bijvoet pairs.

#### 2.5. Antimigration Assay

Cell migration was determined using the Oris<sup>™</sup> Pro Cell Migration Assay (Platypus Technologies, Madison, WI, USA), according to the manufacturer's protocol. Briefly, MDA-MB-231 cells were seeded and incubated (37 °C, 5% CO<sub>2</sub>) for 1 h, and then indicated concentrations of compounds were added and incubated with cells for an additional 18 h. At the end of incubation, the cell viability was evaluated with MTS assays and the migration area of each group was calculated and analysed, and the results of each subgroup were presented as a percentage of DMSO-treated cells.

#### 3. Results and Discussion

# 3.1. Structure Elucidation

The molecular formula of 18-acetoxycytochalasin H (1) was determined to be  $C_{32}H_{41}NO_6$  on the basis of HRESIMS ion at m/z 558.2826 [M + Na]<sup>+</sup> (calcd. 558.2826), indicating 13 degrees of unsaturation. Its <sup>1</sup>H NMR data (Table 1) showed typical signals of three tertiary methyl groups ( $\delta_{\rm H}$  2.24, s;  $\delta_{\rm H}$  2.00, s;  $\delta_{\rm H}$  1.58, s), two secondary methyl groups ( $\delta_{\rm H}$  0.99, d, J = 6.7 Hz;  $\delta_{\rm H}$  1.02, d, J = 6.9 Hz), six olefinic protons ( $\delta_{\rm H}$  5.85, dd, J = 16.6, 2.3 Hz;  $\delta_{\rm H} 5.74$ , dd, J = 15.5, 9.7 Hz;  $\delta_{\rm H} 5.56$ , d, J = 16.6 Hz;  $\delta_{\rm H} 5.38$ , m;  $\delta_{\rm H} 5.33$ , s;  $\delta_{\rm H}$  5.10, s), two oxygenated methine groups ( $\delta_{\rm H}$  5.63, d, J = 2.3 Hz;  $\delta_{\rm H}$  3.84, d, J = 10.5 Hz), and one single-substituted phenyl ( $\delta_H$  7.31, t, J = 7.4 Hz, 2H;  $\delta_H$  7.25, t, J = 7.4 Hz, 1H;  $\delta_{\rm H}$  7.14, d, J = 7.4 Hz, 2H). The <sup>13</sup>C NMR data (Table 2) displayed resonances for 32 carbons, ascribed to 5 methyls, 4 methylenes (including 1 olefinic), 11 methines (4 olefinic and 2 oxygenated), 61 quaternary carbons (1 olefinic, 1 amide and 2 ester carbonyls), and 6 other signals assignable to the single-substituted phenyl group. Thus, the above-mentioned results indicated that 1 should be a new tetracyclic cytochalasin including a benzene ring, with structural similarity with cytochalasin H [23]. The manifest difference of the structure of **1** from that of cytochalasin H was an additional acetoxy group linked at C-18 ( $\delta_C$  84.4) in 1, which was further supported by the HMBC correlation from OAc ( $\delta_{\rm H}$  2.24, s) to C-18. And the planar structure of 1 was established by extensive analysis of its 2D NMR spectra (Figure 2); its relative configuration was determined by the ROESY correlations

(Figure 3) and comparative analysis of those of cytochalasin H. Fortunately, suitable crystals of **1** were obtained and subjected to X-ray diffraction analysis using Cu K $\alpha$  radiation (Figure 4), which confirmed the above deductions and unambiguously determined the absolute configuration of **1** as 3*S*,4*R*,5*S*,7*S*,8*R*,9*R*,16*S*,18*R*,21*R* with the Hooft parameter 0.15 (11) for 1883 Bijvoet pairs (CCDC 2169670).



Figure 2. Key HMBC (red arrows) and <sup>1</sup>H-<sup>1</sup>H COSY (blue bold) correlations of compounds 1–7.



Figure 3. Key ROESY correlations of compounds 1–7.



Figure 4. X-ray crystallographic structures of compounds 1 and 3.

18-Ethoxycytochalasin H (**2**) was obtained as a white powder; its molecular formula was established as  $C_{32}H_{43}NO_5$  on the basis of the HRESIMS ion peak at m/z 544.3030 [M + Na]<sup>+</sup> (calcd for  $C_{32}H_{43}NO_5Na$ , 544.3039), indicating 12 degrees of unsaturation. Analyses of the NMR data of **2** with those of **1** indicated their structural similarities, except for an ethoxy group located at C-18 in **2** rather than the 18-OAc group in **1**, which was confirmed by the <sup>1</sup>H-<sup>1</sup>H COSY correlation of CH<sub>2</sub> ( $\delta_H$  3.38, m; 2.65, m)/CH<sub>3</sub> (1.14, t, *J* = 6.9 Hz) in the ethoxy group and the HMBC correlations from CH<sub>2</sub>-18-OEt ( $\delta_H$  3.38, m; 2.65, m) to C-18 ( $\delta_C$  78.5) (Figure 2). The relative configurations of C-3, C-4, C-5, C-7, and C-8 in **2** were determined to be the same as those of **1** by analysis of the ROESY spectrum (Figure 3). Considering the almost complete consistent CD spectra of **1** and **2** (see Supplementary Materials), the absolute configuration of **2** was determined as shown.

18-Acetoxycytochalasin J (3) had the molecular formula of  $C_{30}H_{39}NO_5$  based on the positive HRESIMS at m/z 516.2726 [M + Na]<sup>+</sup> (calcd 516.2720), corresponding to 12 degrees of unsaturation. The 1D NMR data (Tables 1 and 2) of **3** were similar to those of cytochalasin J [24], except for an additional acetoxy group located at C-18 in **3**. The above deduction was further confirmed by the changed chemical shift of C-18, compared with the <sup>13</sup>C NMR data of cytochalasin J [24], and the HMBC correlation from CH<sub>3</sub>-18-OAc ( $\delta_{\rm H}$  1.96, s) to 18-OAc carbonyl ( $\delta_{\rm C}$  170.4) (Figure 2); its structure including the relative configuration was finally established as shown by X-ray diffraction analysis (Figure 4). Considering the similar CD spectra of **1** and **3** (SI), the absolute configuration of **3** was determined to be 35,4R,5S,7S,8R,9R,16S,18R,21R.

18-Ethoxycytochalasin J (4) had the molecular formula of  $C_{30}H_{41}NO_4$  on the basis of the positive HRESIMS (m/z 480.3111 [M + H]<sup>+</sup>, calcd 480.3108), corresponding to 11 degrees of unsaturation. Careful comparison of the <sup>1</sup>H and <sup>13</sup>C NMR spectra of 4 and 3 (Tables 1 and 2) suggested their similar structures, except for an ethoxy group located at C-18 in 4 rather than an acetoxy group in 3. The above deduction was supported by the <sup>1</sup>H-<sup>1</sup>H COSY correlations of CH<sub>2</sub>/CH<sub>3</sub> (18-OEt) and the HMBC correlation from CH<sub>2</sub>-18-OEt ( $\delta_H$  3.41, m; 3.37, m) to C-18 ( $\delta_C$  78.5) (Figure 2). The absolute configuration of 4 was determined to be the same as that of 1 by analysis of their CD spectra; thus, the structure of 4 was established as shown (Figure 1).

7-Oxocytochalasin H (5) possessed the molecular formula of  $C_{30}H_{37}NO_5$  with 13 degrees of unsaturation, which was determined by the positive HRESIMS (m/z 514.2559 [M + Na]<sup>+</sup>, calcd 514.2564). Analysis of the <sup>1</sup>H and <sup>13</sup> C NMR data (Tables 1 and 2) of 5 and cytochalasin H [23] indicated their structural similarity. The manifest differences were that the C-7 oxymethine group in cytochalasin H was replaced by the C-7 carbonyl group ( $\delta_C$  198.7) in 5. The HMBC correlations from H-8 ( $\delta_H$  3.94, d, J = 9.3 Hz) and H<sub>2</sub>-12 ( $\delta_H$  6.25, s) to C-7 and other correlations in the 2D spectra of 5 confirmed the above deduction (Figure 2).

The correlations of H-4/H-8, H<sub>2</sub>-10/H-4, and H<sub>3</sub>-11/H-3 in the ROESY spectrum indicated that H-3 was *a*-oriented and H-4, H-5, H-8 were  $\beta$ -oriented (Figure 3). Considering the same biogenetic pathway of 1 and 5, the structure of 5 was determined as shown (Figure 1).

Cytochalasin H<sub>3</sub> (6) had the molecular formula of  $C_{30}H_{39}NO_5$  with 12 degrees of unsaturation, which was determined by the positive HRESIMS (m/z 516.2719 [M + Na]<sup>+</sup>, calcd 516.2720). Detailed analysis of <sup>1</sup>H and <sup>13</sup>C NMR data of 6 (Tables 1 and 2) indicated that 6 possessed a similar structure to that of 5, except for the presence of a saturated C–C bond between C-6 ( $\delta_C$  45.8) and C-12 ( $\delta_C$  16.0) in 6 rather than a terminal double bond in 5, which was further confirmed by the <sup>1</sup>H–<sup>1</sup>H COSY correlation of H-6/H<sub>3</sub>-12 and the HMBC correlations from H<sub>3</sub>-12 ( $\delta_H$  1.12, d, *J* = 7.0 Hz) to C-5 ( $\delta_C$  35.7) and C-7 ( $\delta_C$  214.0) (Figure 2). The ROESY correlations of H-3/H<sub>3</sub>-11, H<sub>3</sub>-11/H<sub>3</sub>-12, H<sub>2</sub>-10/H-4, and H-4/H-8 implied the  $\alpha$ -orientation of H-3 and  $\beta$ -orientations of H-4, H-5, H-6, and H-8 (Figure 3). By analysis of the similar CD spectra of 6 and 1 and biogenetic consideration, the structure of 6 was determined as shown.

The molecular formula of cytochalasin H<sub>4</sub> (7) was deduced to be  $C_{32}H_{41}NO_6$  with 13 degrees of unsaturation based on the positive HRESIMS (m/z 536.3014 [M + H]<sup>+</sup>, calcd 536.3007). The <sup>13</sup>C NMR data (Tables 1 and 2 of 7 displayed resonances for 32 carbons, ascribed to five methyls, four methylenes (including one oxygenated), 11 methines (5 olefinic and one oxygenated), six quaternary carbons (one olefinic, one amide and two ester carbonyls), and 6 other signals assignable to the single-substituted phenyl group. The above-mentioned results indicated the presence of an additional acetoxy group and an oxymethine group compared to those of the known RKS-1778 (**10**) [25]. The ROESY correlations of H-3/H<sub>3</sub>-11, H<sub>2</sub>-10/H-4, and H-4/H-8 implied the  $\alpha$ -orientation of H-3 and  $\beta$ -orientations of H-4, H-5, and H-8 (Figure 3). The absolute configuration of 7 was determined as shown by analysis of their similar CD spectra of 7 and **10** and biogenetic consideration.

Compounds 8–11 were identified as cytochalasin H (8) [23], cytochalasin J<sub>1</sub> (9) [24], RKS-1778 (10) [25], 21-acetoxycytochalasin J<sub>2</sub> (11) [26] on the basis of their spectroscopic features and by comparison with the published data in the literature. Biogenetically, compounds 1–11 might be derived from a polyketide chain (octaketide) and an amino acid building block (phenylalanine) through a number of steps involving cycloaddition, oxidation, reduction, dehydration, acetylation, ethylation and methylation [27,28].

#### 3.2. Antimigratory Activity

Our previous studies have revealed that phomopchalasins B and C displayed antimigratory effects [19]. In order to explore the potential of the cytochalasans on antimigration against tumours, eight compounds in sufficient natural amounts (Table 3) were evaluated for antimigratory activities against MDA-MB-231 in vitro. As a result, **1–3** and **8–11** exhibited in vitro antimigratory effects with IC<sub>50</sub> values in the range of 1.01–10.42  $\mu$ M (cytochalasin D as the positive control); it suggested the activity decreased when the C-18 hydroxy group was substituted with the acetoxy, ethoxy or methoxy group (**8** vs. **1**, **2**, and **9**). When a double bond was introduced between C-17 and C-18 rather than an ethoxy or methoxy group at C-18, the activity slightly improved (**11** vs. **2** and **9**). Compound **3** displayed antimigratory activity with an IC<sub>50</sub> value of 6.38  $\mu$ M. The introduction of an acetoxy group at C-21 may enhance the activity (**1** vs. **3**). When the unit of a terminal double bond (C6-C12) and a hydroxy group at C-7 was replaced by a trisubstituted alkene (C12-C6-C7), the activity slightly improved (**8** vs. **10**), but the further introduction of an acetoxy group at C-12 decreased the activity (**10** vs. **7**).

| Compounds                   | IC <sub>50</sub> (μM) | Compounds | IC <sub>50</sub> (μM) |
|-----------------------------|-----------------------|-----------|-----------------------|
| Cytochalasin D <sup>a</sup> | 0.78                  | 8         | 1.25                  |
| 1                           | 3.14                  | 9         | 7.31                  |
| 2                           | 10.42                 | 10        | 1.01                  |
| 3                           | 6.38                  | 11        | 6.41                  |
| 7                           | >25                   |           |                       |

Table 3. Antimigratory activities of the compounds against MDA-MB-231 in vitro.

<sup>a</sup> Positive control.

### 4. Conclusions

Seven new cytochalasans (1–7), together with four known ones, cytochalasin H (8), cytochalasin J<sub>1</sub> (9), RKS-1778 (10), and 21-acetoxycytochalasin J<sub>2</sub> (11), were isolated from *Phomopsis* sp. shj2. Their structures were elucidated through extensive spectroscopic data interpretation and single-crystal X-ray diffraction analysis. In the present study, eight cytochalasans were evaluated for their antimigratory activity. Compounds 1–3 and 8–11 exhibited antimigratory activity against MDA-MB-231 in vitro with IC<sub>50</sub> values in the range of  $1.01-10.42 \mu$ M. The results will lay a foundation for further study of the structure-activity relationship for the discovery of antitumour lead compounds.

**Supplementary Materials:** The following supporting information can be downloaded at: https: //www.mdpi.com/article/10.3390/jof8050543/s1, Section S1: NMR, HRESIMS, UV, ORD, and CD spectra of compound 1; Section S2: NMR, HRESIMS, UV, ORD, and CD spectra of compound 2; Section S3: NMR, HRESIMS, UV, ORD, and CD spectra of compound 3; Section S4: NMR, HRESIMS, UV, ORD, and CD spectra of compound 4; Section S5: NMR, HRESIMS, UV, ORD, and CD spectra of compound 5; Section S6: NMR, HRESIMS, UV, ORD, and CD spectra of compound 6; Section S7: NMR, HRESIMS, UV, ORD, and CD spectra of compound 7.

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Conflicts of Interest: The authors declare no conflict of interest.

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# *Article* [20(22)*E*]-Lanostane Triterpenes from the Fungus *Ganoderma australe*

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**Abstract:** Twelve new lanostane triterpenoids (1–5, 7–13) were isolated from the fruiting bodies of the fungus *Ganoderma australe*. The structures of the new compounds were elucidated by extensive 1D and 2D NMR, and HRESIMS spectroscopic analysis. All the triterpenes are featured by 20(22)*E* configurations which are uncommon in the *Ganoderma* triterpene family. The absolute configuration of the C-25 of compounds **1**, **2**, and **6** were determined by the phenylglycine methyl ester (PGME) method. A postulated biosynthetic pathway for compound **1** was discussed. This study opens new insights into the secondary metabolites of the chemically underinvestigated fungus *G. australe*.

Keywords: Ganoderma australe; triterpene; 20(22)E configuration; PGME method

# 1. Introduction

Mushrooms are popular in the food market due to their delicious taste and nutrition values. Mushroom-derived secondary metabolites have contributed lots of lead compounds for medical and agricultural use. Psilocybin, a specialized compound from the genus *Psilocybe*, is a naturally occurring hallucinogenic prodrug for treating psychiatric disorders [1]. Strobilurins, firstly originated from the mushroom *Strobilurus tenacellus*, are a group of natural products and their synthetic analogs are used in agriculture as fungicides [2,3]. More and more attention has been paid to mining promising lead compounds from the mushroom natural product reservoir in recent years.

Ganoderma, called "lingzhi" in China, is a group of wood-decaying mushrooms with hard fruiting bodies which grow mostly in spare scatting sunshine, on the trees, and on open grounds [4]. It is a genus of notable medicinal fungi and traditional herbal medicine for the treatment of diseases such as hepatopathy, nephritis, neurasthenia, and asthma [5–10]. The Shennong Ben Cao Jing, an ancient Chinese medicinal book, documented that Ganoderma was effective for maintaining health, prolonging life, boosting memory, and relieving stress. Ganoderma lucidum and G. sinense are two registered species recorded in the Chinese Pharmacopoeia (2015). Many studies show that triterpenoids and polysaccharides are the main bioactive substances in *Ganoderma* [11–17]. *Ganoderma australe* is a species used in folk medicine as the alternative of *G. lucidum*. However, this fungus has rarely been chemically investigated compared to other Ganoderma species, such as G. lucidum, G. cochlear, and G. sinense. Previous studies on this fungus have led to the isolation of lanostane triterpenes [18–21], meroterpenoids [22,23], and alkaloids [22]. The lanostanoids from this species are over-oxygenated compared to the ones isolated from other species of Ganoderma, especially the position of C-20 [18,20]. The quaternary hydroxy group substituted at C-20 led to the introduction of an additional chiral carbon of which the stereochemistry was difficult to be assigned even by chemical derivatization. Moreover, this substituted pattern of the C-20 hydroxy group always triggered to dehydration between C-21 to produce the

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). 20(22)-double bond, which always incorporated into an  $\alpha$ , $\beta$ -unsaturated ketone group with the C-23 carbonyl group. In this study, we have investigated the secondary metabolite profiles of *G. australe*, which led to the isolation of twelve new highly oxygenated lanostane triterpenes with uncommon 20(22)*E* configurations. We, herein, report the isolation and structural elucidation of the new compounds.

#### 2. Experimental Section

# 2.1. General Experimental Procedures

Optical rotations were obtained on an Autopol IV-T digital polarimeter (Rudolph, Hackettstown, NJ, USA). UV spectra were recorded on a Hitachi UH5300 spectrophotometer (Hitachi, Tokyo, Japan). CD spectra were measured on a Chirascan Circular Dichroism spectrometer (Applied Photophysics Limited, Leatherhead, Surrey, UK). The 1D and 2D spectra were obtained on the Bruker Avance III 500 MHz and 600 MHz spectrometers (Bruker Corporation, Karlsruhe, Germany). HRESIMS spectra were measured on a Q Exactive Orbitrap mass spectrometer (Thermo Fisher Scientific, Waltham, MA, USA). Single-crystal X-ray diffraction data were recorded on the BRUKER D8 QUEST diffractometer (Bruker Corporation, Karlsruhe, Germany). Medium pressure liquid chromatography (MPLC) was performed on an Interchim system equipped with a column packed with RP-18 gel (40–75 µm, Fuji Silysia Chemical Co., Ltd., Kasugai, Japan). Preparative high performance liquid chromatography (prep-HPLC) was performed on an Agilent 1260 Infinity II liquid chromatography system equipped with a Zorbax SB-C<sub>18</sub> column (particle size 5  $\mu$ m, dimensions 150 mm  $\times$  i.d. 9.4 mm, flow rate 5 mL·min<sup>-1</sup>) and a DAD detector (Agilent Technologies, Santa Clara, CA, US). Sephadex LH-20 (GE Healthcare, Stockholm, Sweden) and silica gel (200–300 mesh, Qingdao Haiyang Chemical Co., Ltd., Qingdao, China) were used for column chromatography (CC). (S)- and (R)-phenylglycine methyl ester were bought from Sigma-Aldrich (St. Louis, MO, USA).

# 2.2. Fungal Material

The fruiting bodies of *G. australe* were collected in Tongbiguan Natural Reserve, Dehong, Yunnan Province, China, in 2016, and identified by Prof. Yu-Cheng Dai (Institute of Microbiology, Beijing Forestry University). A voucher specimen of *G. australe* was deposited in the Mushroom Bioactive Natural Products Research Group of South-Central University for Nationalities.

#### 2.3. Extraction and Isolation

The dry fruiting bodies of *G. australe* (3.26 kg) were extracted four times with  $CHCl_3$ :MeOH (1:1) at room temperature to obtain crude extract, which was further suspended in distilled water and partitioned against EtOAc to afford EtOAc layer extract (130 g). The EtOAc layer extract was eluted on MPLC with a stepwise gradient of MeOH $-H_2O$  (20:80-100:0) to afford eight fractions (A-H).

Fraction C was subjected to Sephadex LH-20 (MeOH) and obtained 16 subfractions (C1-C16), and C2 was separated by prep-HPLC (MeCN $-H_2O$ : 20:80-40:60, 25 min, 4 mL/min) to yield compound **2** (6.4 mg, t<sub>R</sub> = 20.5 min).

Fraction D was separated by Sephadex LH-20 (MeOH) to give eight subfractions (D1–D8). Subfraction D4 was subjected to silica gel CC (petroleum ether–acetone from v/v 6:1 to 1:1) and yielded eleven subfractions (D4a–D4k). Subfraction D4d was purified by prep-HPLC (MeCN–H<sub>2</sub>O: 20:80–40:60, 25 min, 4 mL/min) to yield compound **3** (2.0 mg, t<sub>R</sub> = 19.0 min).

Fraction E was separated by Sephadex LH-20 (CHCl<sub>3</sub>:MeOH = 1:1) to afford four subfractions (E1–E4). E2 was separated by CC on silica gel (petroleum ether–acetone from v/v 15:1 to 1:1) to obtain 10 subfractions (E2a–E2j). E2b was subjected to prep-HPLC (MeCN–H<sub>2</sub>O: 70:30–90:10, 25.0 min, 4 mL/min) to obtain compound 7 (3.8 mg, t<sub>R</sub> = 14.0 min) and 8 (3.7 mg, t<sub>R</sub> = 15.0 min). Compound **10** (3.7 mg, t<sub>R</sub> = 21.0 min) was purified from E2f by prep-HPLC (MeCN–H<sub>2</sub>O: 70:30–90:10, 25.0 min, 4 mL/min). E4 was subjected to CC on silica gel

(petroleum ether–acetone from v/v 10:1 to 1:1) to obtain 12 fractions. Compound **12** (6.0 mg,  $t_R = 18.0 \text{ min}$ ) was purified from E4c by prep-HPLC (MeCN–H<sub>2</sub>O: 40:60–60:80, 25 min, 4 mL/min). Compound **6** (5.1 mg,  $t_R = 19.1 \text{ min}$ ) was purified from E4d by prep-HPLC (MeCN–H<sub>2</sub>O: 40:60–60:80, 25.2 min, 4 mL/min). Compound **9** (21.4 mg,  $t_R = 20.0 \text{ min}$ ) was purified from E4f by prep-HPLC (MeCN–H<sub>2</sub>O: 40:60–60:80, 25.2 min, 4 mL/min). Compound **9** (21.4 mg,  $t_R = 20.0 \text{ min}$ ) was purified from E4f by prep-HPLC (MeCN–H<sub>2</sub>O: 40:60–60:80, 25 min, 4 mL/min). Compound **5** (7.3 mg,  $t_R = 30.0 \text{ min}$ ) was purified from E4g by prep-HPLC (MeCN–H<sub>2</sub>O: 45:55–73:27, 35.0 min, 4 mL/min). Compound **11** (2.6 mg,  $t_R = 27.0 \text{ min}$ ) was purified from E4h by prep-HPLC (MeCN–MeOH–H<sub>2</sub>O: 40:20:40, isocratic, 30 min, 4 mL/min). E7 was subjected to column chromatography (CC) on silica gel (petroleum ether–acetone from v/v 15:1 to 1:1) to obtain 13 fractions. E7j was purified by prep-HPLC (MeCN–H<sub>2</sub>O: 30:70–50:50, 25 min, 4 mL/min) to yield compound **1** (8.6 mg,  $t_R = 14.0 \text{ min}$ ).

Fraction G was separated by column chromatography (CC) on silica gel (petroleum ether–acetone from v/v 15:1 to 1:1) to obtain 10 subfractions (G1–G10). Compound 4 (13.3 mg, t<sub>R</sub> = 12.7 min) was purified from G7 by prep-HPLC (MeCN–H<sub>2</sub>O: 50:50–30:70, 25 min, 4 mL/min). Compound **13** (4.0 mg, t<sub>R</sub> = 13.2 min) was purified from G7 by prep-HPLC (MeCN–H<sub>2</sub>O: 50:50–30:70, 25 min, 4 mL/min).

#### 2.4. Spectroscopic Data

Ganoaustralenone A (1): yellow oil.  $[\alpha]_D^{24}$  +8.9 (*c* 0.09, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 250.0 (4.22); <sup>1</sup>H NMR (600 MHz, CD<sub>3</sub>OD) data, see Table 1, <sup>13</sup>C NMR (150 MHz, CD<sub>3</sub>OD) data, see Table 2 HRESIMS *m*/*z* 535.26685 [M + Na]<sup>+</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>7</sub>Na, 535.26717).

| No.     | 1 <sup>ad</sup>            | 2 <i>ac</i>                 | 3 <sup>bc</sup>            | 4 <sup>ae</sup>             | 5 <i>ac</i>                |
|---------|----------------------------|-----------------------------|----------------------------|-----------------------------|----------------------------|
| 1       | 2.87, ddd (14.3, 8.5, 5.8) | 2.85, ddd (14.2, 9.8, 7.6)  | 2.93, overlapped           | 2.75, ddd (13.8, 9.7, 7.8)  | 2.95, ddd (14.2, 8.4, 6.2) |
|         | 1.82, ddd (14.3, 9.7, 6.2) | 1.84, ddd (14.2, 11.9, 2.1) | 1.70, overlapped           | 1.94, ddd (13.8, 12.0, 2.5) | 1.73, ddd (14.2, 9.2, 6.7) |
| 2       | 2.71, ddd (15.2, 9.7, 5.8) | 2.74, ddd (14.4, 11.9, 7.6) | 2.60, ddd (15.5, 9.8, 6.2) | 2.86, ddd (14.5, 12.0, 7.8) | 2.60, ddd (15.5, 9.2, 6.2) |
|         | 2.45, ddd (15.2, 8.5, 6.2) | 2.33, ddd (14.4, 9.8, 2.1)  | 2.44, ddd (15.0, 8.3, 6.2) | 2.16, ddd (14.5, 9.7, 2.5)  | 2.50, ddd (15.5, 8.4, 6.7) |
| 5       | 2.38, dd (14.7, 2.4)       | 2.31, d (13.7)              | 2.11, dd (9.9, 5.5)        | 2.46, d (13.6)              | 2.25, dd (15.3, 2.6)       |
| 6       | 2.68, t (14.7)             | 4.44, d (13.7)              | 1.70, overlapped, 2H       | 4.57, d (13.6)              | 2.53, dd (15.3, 14.7)      |
|         | 2.36, dd (14.7, 2.4)       |                             |                            |                             | 2.40, dd (14.7, 2.6)       |
| 7       |                            |                             | 4.47, t (2.3)              |                             |                            |
| 12      | 2.96, d (16.3)             | 2.83, d (17.0)              | 2.77, d (17.3)             | 3.02, d (16.9)              | 2.81, d (16.2)             |
|         | 2.41, d (16.3)             | 2.51, d (17.0)              | 2.38. d (17.3)             | 2.45, d (16.9)              | 2.47, d (16.2)             |
| 15      | 2.24, m                    | 2.29, overlapped            | 1.86, m                    | 2.22, overlapped            | 2.30, ddd (12.4, 8.3, 2.7) |
|         | 1.87, overlapped           | 1.74, m                     | 2.05, m                    | 1.81, td (12.0, 7.0)        | 1.83, m                    |
| 16      | 2.04, m                    | 1.95, overlapped, 2H        | 1.98, m, 2H                | 2.07, overlapped            | 1.92, overlapped, 2H       |
|         | 1.91, dt (11.3, 2.6)       |                             |                            | 1.93, overlapped            |                            |
| 17      | 2.99, overlapped           | 2.91, t (9.2)               | 2.95, overlapped           | 3.06, overlapped            | 2.87, t (9.1)              |
| 18      | 0.75, s                    | 0.69, s                     | 0.67, s                    | 0.77, s                     | 0.70, s                    |
| 19      | 1.28, s                    | 1.23, s                     | 1.00, s                    | 1.23, s                     | 1.27, s                    |
| 21      | 2.16, s                    | 2.18, s                     | 2.17, s                    | 2.18, s                     | 2.19, s                    |
| 22      | 6.51, s                    | 6.23, br.s                  | 6.25, s                    | 6.56, s                     | 6.22, br.s                 |
| 24      | 4.21, d (4.9)              | 4.29, d (2.9)               | 4.33, d (3.1)              | 4.22, d (4.0)               | 4.23, dd (5.7, 3.2)        |
| 25      | 2.93, dd (7.3, 4.9)        | 3.01, dd (7.2, 2.9)         | 3.00, dd (7.2, 3.1)        | 3.04, dd (7.1, 4.0)         | 2.99, dd (7.2, 3.2)        |
| 27      | 1.18, d (7.3)              | 1.28, d (7.2)               | 1.24, d (7.2)              | 1.18, d (7.1)               | 1.28, d (7.2)              |
| 28      | 1.13, s                    | 1.34, s                     | 1.13, s                    | 1.30, s                     | 1.13, s                    |
| 29      | 1.10, s                    | 1.42, s                     | 1.06, s                    | 1.38, s                     | 1.11, s                    |
| 30      | 1.35, s                    | 1.38, s                     | 1.35, s                    | 1.42, s                     | 1.31, s                    |
| $OCH_3$ |                            |                             |                            | 3.57, s                     | 3.63, s                    |
| 6-OH    |                            |                             |                            | 4.17, br.s                  |                            |
| 24-     |                            |                             |                            |                             | 3.89, d (5.7)              |
| OH      |                            |                             |                            |                             |                            |

| Tab | le 1. | $^{1}H$ | NMR | Spect | roscopic | Data o | of ( | Compounds | 1 - 5. |
|-----|-------|---------|-----|-------|----------|--------|------|-----------|--------|
|-----|-------|---------|-----|-------|----------|--------|------|-----------|--------|

<sup>*a*</sup> Measured at 600 MHz; <sup>*b*</sup> Measured at 500 MHz; <sup>*c*</sup> Measured in CDCl<sub>3</sub>; <sup>*d*</sup> Measured in CD<sub>3</sub>OD; <sup>*e*</sup> Measured in acetone-*d*<sub>6</sub>.

| No.                              | 1 <sup>be</sup>       | 2 <sup>bd</sup>       | 3 ad                  | 4 <sup>bf</sup>       | 5 <sup>bd</sup>       | 7 <sup>cd</sup>       |
|----------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| 1                                | 36.1, CH <sub>2</sub> | 35.3, CH <sub>2</sub> | 34.9, CH <sub>2</sub> | 35.8, CH <sub>2</sub> | 35.0, CH <sub>2</sub> | 36.0, CH <sub>2</sub> |
| 2                                | 35.0, CH <sub>2</sub> | 33.4, CH <sub>2</sub> | 34.2, CH <sub>2</sub> | 33.7, CH <sub>2</sub> | 34.1, CH <sub>2</sub> | 34.5, CH <sub>2</sub> |
| 3                                | 218.6, C              | 216.8, C              | 218.6, C              | 216.3, C              | 215.6, C              | 214.7, C              |
| 4                                | 47.8, C               | 47.7, C               | 46.5, C               | 48.1, C               | 46.8, C               | 47.9, C               |
| 5                                | 50.8, CH              | 54.9, CH              | 45.0, CH              | 54.8, CH              | 49.8, CH              | 49.9, CH              |
| 6                                | 37.7, CH <sub>2</sub> | 72.2, CH              | 29.3, CH <sub>2</sub> | 72.8, CH              | 37.1, CH <sub>2</sub> | 24.3, CH <sub>2</sub> |
| 7                                | 202.7, C              | 202.6, C              | 67.1, CH              | 203.4, C              | 201.1, C              | 131.2, CH             |
| 8                                | 151.3, C              | 148.3, C              | 160.3, C              | 149.2, C              | 150.9 <i>,</i> C      | 138.3, C              |
| 9                                | 152.4, C              | 150.0, C              | 140.1, C              | 150.1, C              | 150.2, C              | 160.4, C              |
| 10                               | 40.1, C               | 39.9 <i>,</i> C       | 37.9, C               | 40.5, C               | 39.0, C               | 38.2, C               |
| 11                               | 202.8, C              | 200.1, C              | 200.1, C              | 200.7, C              | 200.8, C              | 119.1 <i>,</i> CH     |
| 12                               | 50.9, CH <sub>2</sub> | 49.8, CH <sub>2</sub> | 50.1, CH <sub>2</sub> | 50.5, CH <sub>2</sub> | 50.0, CH <sub>2</sub> | 203.3, C              |
| 13                               | 49.7, C               | 48.2, C               | 48.9, C               | 48.9, C               | 48.5, C               | 57.8, C               |
| 14                               | 49.7, C               | 48.1, C               | 50.8, C               | 48.9, C               | 48.4, C               | 56.4, C               |
| 15                               | 33.5, CH <sub>2</sub> | 31.8, CH <sub>2</sub> | 30.4, CH <sub>2</sub> | 32.6, CH <sub>2</sub> | 32.4, CH <sub>2</sub> | 77.0, CH              |
| 16                               | 24.2, CH <sub>2</sub> | 23.4, CH <sub>2</sub> | 23.3, CH <sub>2</sub> | 23.8, CH <sub>2</sub> | 23.4, CH <sub>2</sub> | 36.9, CH <sub>2</sub> |
| 17                               | 54.6, CH              | 53.5 <i>,</i> CH      | 54.4, CH              | 53.9 <i>,</i> CH      | 53.6, CH              | 47.8, CH              |
| 18                               | 19.1, CH <sub>3</sub> | 18.7, CH <sub>3</sub> | 18.8, CH <sub>3</sub> | 18.9, CH <sub>3</sub> | 18.6, CH <sub>3</sub> | 17.3, CH <sub>3</sub> |
| 19                               | 18.4, CH <sub>3</sub> | 19.3, CH <sub>3</sub> | 17.7, CH <sub>3</sub> | 19.7, CH <sub>3</sub> | 18.0, CH <sub>3</sub> | 21.3, CH <sub>3</sub> |
| 20                               | 161.3, C              | 161.7, C              | 162.6, C              | 160.1, C              | 161.5 <i>,</i> C      | 157.9, C              |
| 21                               | 22.2, CH <sub>3</sub> | 22.2, CH <sub>3</sub> | 21.9, CH <sub>3</sub> | 22.0, CH <sub>3</sub> | 22.3, CH <sub>3</sub> | 21.0, CH <sub>3</sub> |
| 22                               | 122.0, CH             | 120.0, CH             | 119.6, CH             | 121.4, CH             | 120.1, CH             | 126.2, CH             |
| 23                               | 202.0, C              | 198.7 <i>,</i> C      | 199.0, C              | 200.3, C              | 198.8, C              | 199.0, C              |
| 24                               | 80.0, CH              | 78.2 <i>,</i> CH      | 78.2, CH              | 79.4, CH              | 78.5 <i>,</i> CH      | 47.6, CH <sub>2</sub> |
| 25                               | 44.6, CH              | 43.1, CH              | 43.3, CH              | 44.0, CH              | 43.3, CH              | 35.2, CH              |
| 26                               | 176.9, C              | 177.1, C              | 177.1, C              | 173.6, C              | 173.5, C              | 176.3, C              |
| 27                               | 13.8, CH <sub>3</sub> | 13.3, CH <sub>3</sub> | 13.0, CH <sub>3</sub> | 13.9, CH <sub>3</sub> | 13.6, CH <sub>3</sub> | 20.0, CH <sub>3</sub> |
| 28                               | 27.7, CH <sub>3</sub> | 31.2, CH <sub>3</sub> | 27.7, CH <sub>3</sub> | 31.2, CH <sub>3</sub> | 27.6, CH <sub>3</sub> | 25.2, CH <sub>3</sub> |
| 29                               | 20.7, CH <sub>3</sub> | 19.5, CH <sub>3</sub> | 20.6, CH <sub>3</sub> | 19.6, CH <sub>3</sub> | 20.5, CH <sub>3</sub> | 22.6, CH <sub>3</sub> |
| 30                               | 26.4, CH <sub>3</sub> | 26.4, CH <sub>3</sub> | 27.6, CH <sub>3</sub> | 26.4, CH <sub>3</sub> | 26.3, CH <sub>3</sub> | 26.5, CH <sub>3</sub> |
| -O <u>C</u> H <sub>3</sub>       |                       |                       |                       | 51.8, CH <sub>3</sub> | 52.1, CH <sub>3</sub> |                       |
| OCH <sub>2</sub> CH <sub>3</sub> |                       |                       |                       |                       |                       | 60.6, CH <sub>2</sub> |
| OCH <sub>2</sub> CH <sub>3</sub> |                       |                       |                       |                       |                       | 14.3, CH <sub>3</sub> |

Table 2. <sup>13</sup>C NMR Spectroscopic Data of Compounds 1–5, and 7.

<sup>*a*</sup> Measured at 500 MHz; <sup>*b*</sup> Measured at 600 MHz; <sup>*c*</sup> Measured at 800 MHz; <sup>*d*</sup> Measured in CDCl<sub>3</sub>; <sup>*e*</sup> Measured in CD<sub>3</sub>OD; <sup>*f*</sup> Measured in acetone-*d*<sub>6</sub>.

Ganoaustralenone B (**2**): white powder.  $[\alpha]_D^{24}$  +81.3 (*c* 0.06, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 250.0 (4.00); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) data, see Table 1, <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 2; HRESIMS *m*/*z* 551.26422 [M + Na]<sup>+</sup> (calcd for C<sub>30</sub>H<sub>40</sub>O<sub>8</sub>Na, 551.26209).

Ganoaustralenone C (3): white powder.  $[\alpha]_D^{24}$  +178.4 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 250.0 (4.20); <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) data, see Table 1, <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>) data, see Table 2; HRESIMS *m*/*z* 537.28180 [M + Na]<sup>+</sup> (calcd for C<sub>30</sub>H<sub>42</sub>O<sub>7</sub>Na, 537.28227).

Ganoaustralenone D (4): yellow oil.  $[\alpha]_D^{24}$  +102.2 (*c* 0.13, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 250.0 (4.24); <sup>1</sup>H NMR (600 MHz, CD<sub>3</sub>COCD<sub>3</sub>) data, see Table 1, <sup>13</sup>C NMR (150 MHz, CD<sub>3</sub>COCD<sub>3</sub>) data, see Table 2; HRESIMS *m*/*z* 565.27728 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>8</sub>Na, 565.27774).

Ganoaustralenone E (5): yellow oil.  $[α]_D^{24}$  +25.3 (*c* 0.07, MeOH); UV (MeOH)  $λ_{max}$  (log ε) 250.0 (4.27); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) data, see Table 1, <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 2; HRESIMS *m*/*z* 549.28210 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>7</sub>Na, 549.28282).

Ganoaustralenone F (7): yellow oil.  $[\alpha]_D^{24}$  +5.7 (*c* 0.04, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 245.0 (3.53); <sup>1</sup>H NMR (800 MHz, CDCl<sub>3</sub>) data, see Table 3, <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 2; HRESIMS *m*/*z* 547.30286 [M + Na]<sup>+</sup> (calcd for C<sub>32</sub>H<sub>44</sub>O<sub>6</sub>Na, 547.30356).

| No.                               | 7 <sup>ac</sup>            | 8 <i>ac</i>                 | 9 <sup>bc</sup>            | 10 <sup>bc</sup>            |
|-----------------------------------|----------------------------|-----------------------------|----------------------------|-----------------------------|
| 1                                 | 2.28, overlapped           | 2.10, ddd (13.9, 10.9, 6.2) | 2.61, ddd (15.5, 9.7, 6.0) | 2.94, ddd (14.4, 8.3, 6.1)  |
|                                   | 1.86, m                    | 1.95, ddd (13.9, 9.2, 5.0)  | 2.46, ddd (15.5, 8.5, 6.5) | 1.77, ddd (14.4, 9.1, 7.0)  |
| 2                                 | 2.81, td (14.6, 5.6)       | 2.69, overlapped            | 2.97, ddd (14.3, 8.5, 6.0) | 2.62, ddd (15.3, 9.1, 6.1)  |
|                                   | 2.43, overlapped           | 2.48, overlapped            | 1.70, ddd (14.3, 9.7, 6.5) | 2.52, ddd (15.3, 8.3, 7.0)  |
| 5                                 | 1.70, dd (12.1, 3.6)       | 2.75, dd (13.0, 3.0)        | 2.09, dd (11.8, 3.9)       | 2.26, dd (15.3, 2.8)        |
| 6                                 | 2.44, overlapped           | 2.17, dt (15.0, 3.0)        | 1.70, overlapped           | 2.62, t (15.3)              |
|                                   | 2.28, overlapped           | 1.75, dd (15.0, 13.0)       | · • • • •                  | 2.49, dd (15.3, 2.8)        |
| 7                                 | 6.27, d (6.7)              | 4.68, d (3.0)               | 4.47, m                    |                             |
| 11                                | 5.69, s                    | 6.05, s                     |                            |                             |
| 12                                |                            |                             | 2.76, d (17.3)             | 2.87, d (16.9)              |
|                                   |                            |                             | 2.38, d (17.3)             | 2.44, d (16.9)              |
| 15                                | 4.31, d (6.2)              |                             | 2.04, overlapped           | 4.40, ddd (10.2, 5.6, 1.8)  |
|                                   |                            |                             | 1.84, m                    |                             |
| 16                                | 2.52, ddd (15.4, 8.8, 6.2) | 2.66, dd (12.7, 9.3)        | 2.03, overlapped           | 2.49, overlapped            |
|                                   | 2.04, dd (15.4, 8.8)       | 2.46, dd (12.7, 9.3)        | 1.94, overlapped           | 1.82, ddd (15.0, 10.2, 5.6) |
| 17                                | 3.23, t (8.8)              | 3.51, t (9.3)               | 2.88, t (8.7)              | 2.92, overlapped            |
| 18                                | 1.17, s                    | 1.13, s                     | 0.67, s                    | 0.73, s                     |
| 19                                | 1.36, s                    | 1.09, s                     | 1.02, s                    | 1.28, s                     |
| 21                                | 2.28, s                    | 2.26, s                     | 2.11, s                    | 2.10, s                     |
| 22                                | 6.44, s                    | 6.34, s                     | 6.12, s                    | 6.09, s                     |
| 24                                | 2.94, overlapped           | 2.95, overlapped            | 2.95, ddd (20.5, 14.2)     | 2.94, overlapped            |
|                                   | 2.55, dd (20.4, 8.6)       | 2.54, dd (20.5, 8.5)        | 2.52, dd (20.5, 8.6)       | 2.51, overlapped            |
| 25                                | 2.94, overlapped           | 2.94, overlapped            | 2.96, ddd (14.2, 8.6, 6.8) | 2.94, overlapped            |
| 27                                | 1.18, s                    | 1.19, d (6.9)               | 1.19, d (6.8)              | 1.19, d (6.8)               |
| 28                                | 1.12, s                    | 1.12, s                     | 1.07, s                    | 1.15, s                     |
| 29                                | 1.17, s                    | 1.08, s                     | 1.14, s                    | 1.12, s                     |
| 30                                | 1.00, s                    | 1.29, s                     | 1.35, s                    | 1.26, s                     |
| -O <u>CH</u> 3                    |                            |                             | 3.68, s                    |                             |
| -OCH2CH3                          | 4.13, overlapped, 2H       | 4.13, overlapped, 2H        |                            | 4.13, m, 2H                 |
| -OCH <sub>2</sub> CH <sub>3</sub> | 1.25, overlapped           | 1.25, overlapped            |                            | 1.25, t (7.1)               |
| 15-OH                             |                            |                             |                            | 4.48, d (1.8)               |

**Table 3.** <sup>1</sup>H NMR Spectroscopic Data of Compounds 7–10.

<sup>a</sup> Measured at 800 MHz; <sup>b</sup> Measured at 600 MHz; <sup>c</sup> Measured in CDCl<sub>3</sub>.

Ganoaustralenone G (8): yellow oil.  $[\alpha]_D^{24}$  +17.1 (*c* 0.04, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 245.0 (3.62); <sup>1</sup>H NMR (800 MHz, CDCl<sub>3</sub>) data, see Table 3, <sup>13</sup>C NMR (200 MHz, CDCl<sub>3</sub>) data, see Table 4; HRESIMS *m*/*z* 561.28223 [M + Na]<sup>+</sup> (calcd for C<sub>32</sub>H<sub>42</sub>O<sub>7</sub>Na, 561.28282).

Ganoaustralenone H (9): yellow oil.  $[\alpha]_D^{24}$  +31.3 (*c* 0.11, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 250.0 (3.39); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) data, see Table 3, <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 4; HRESIMS *m*/*z* 513.32135 [M + H]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>45</sub>O<sub>6</sub>, 513.32161).

Ganoaustralenone I (**10**): pale yellow oil.  $[\alpha]_D^{24}$  +67.7 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 245.0 (4.02); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) data, see Table 3, <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 4; HRESIMS *m*/*z* 563.29749 [M + Na]<sup>+</sup> (calcd for C<sub>32</sub>H<sub>44</sub>O<sub>7</sub>Na, 563.29847).

Ganoaustralenone J (11): yellow oil.  $[\alpha]_D^{24}$  +119.56 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 245.0 (4.17); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) data, see Table 5, <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 4; HRESIMS *m*/*z* 549.28210 [M + Na]<sup>+</sup> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>7</sub>Na, 549.28282).

Ganoaustralenone K (**12**): pale yellow oil.  $[\alpha]_D^{24}$  +149.2 (*c* 0.06, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 250.0 (4.25); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) data, see Table 5, <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>) data, see Table 4; HRESIMS *m*/*z* 565.31287 [M + Na]<sup>+</sup> (calcd for C<sub>32</sub>H<sub>46</sub>O<sub>7</sub>Na, 565.31412).

| No.                              | 8 cd                          | 9 <sup>bd</sup>       | 10 <sup>bd</sup>      | 11 <sup>bd</sup>      | 12 <sup>bd</sup>      | 13 <sup>ad</sup>      |
|----------------------------------|-------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| 1                                | 36.1, CH <sub>2</sub>         | 34.2, CH <sub>2</sub> | 35.1, CH <sub>2</sub> | 35.2, CH <sub>2</sub> | 34.9, CH <sub>2</sub> | 35.1, CH <sub>2</sub> |
| 2                                | 33.7, CH <sub>2</sub>         | 34.9, CH <sub>2</sub> | 34.0, CH <sub>2</sub> | 37.0, CH2             | 34.2, CH <sub>2</sub> | 34.1, CH <sub>2</sub> |
| 3                                | 216.4, C                      | 218.0, C              | 214.9, C              | 215.1, C              | 218.0, C              | 215.6, C              |
| 4                                | 45.9, C                       | 46.5, C               | 46.6, C               | 46.7, C               | 46.5, C               | 46.8, C               |
| 5                                | 40.7, CH                      | 45.2, CH              | 49.2 <i>,</i> CH      | 49.3, CH              | 45.1, CH              | 49.8, CH              |
| 6                                | 22.8, CH <sub>2</sub>         | 29.4, CH <sub>2</sub> | 36.9, CH <sub>2</sub> | 34.1, CH <sub>2</sub> | 29.4, CH <sub>2</sub> | 37.1, CH <sub>2</sub> |
| 7                                | 58.9 <i>,</i> CH              | 67.3, CH              | 204.4, C              | 204.5, C              | 67.3, CH              | 201.2, C              |
| 8                                | 62.7, C                       | 159.5, C              | 150.4, C              | 150.5, C              | 159.5, C              | 150.9, C              |
| 9                                | 164.3, C                      | 140.2, C              | 152.8, C              | 153, C                | 140.2, C              | 150.2, C              |
| 10                               | 40.4, C                       | 38.0, C               | 39.2, C               | 39.3, C               | 38.0, C               | 39.0, C               |
| 11                               | 129.9, CH                     | 199.5, C              | 200.3, C              | 200.4, C              | 199.3, C              | 200.7, C              |
| 12                               | 200.7, C                      | 50.3, CH <sub>2</sub> | 50.5, CH <sub>2</sub> | 50.6, CH <sub>2</sub> | 50.3, CH <sub>2</sub> | 50.0, CH <sub>2</sub> |
| 13                               | 57.7, C                       | 48.6, C               | 48.9, C               | 49.0, C               | 48.9, C               | 48.6, C               |
| 14                               | 55.2, C                       | 50.6, C               | 52.3, C               | 52.4, C               | 50.7, C               | 48.5, C               |
| 15                               | 209.9, C                      | 30.4, CH <sub>2</sub> | 72.4, CH              | 72.5, CH              | 30.4, CH <sub>2</sub> | 32.4, CH <sub>2</sub> |
| 16                               | 38.5, CH <sub>2</sub>         | 23.2, CH <sub>2</sub> | 32.0, CH <sub>2</sub> | 32.1, CH <sub>2</sub> | 23.3, CH <sub>2</sub> | 23.4, CH <sub>2</sub> |
| 17                               | 42.9, CH                      | 54.1 <i>,</i> CH      | 51.9 <i>,</i> CH      | 52.0, CH              | 54.5 <i>,</i> CH      | 53.9 <i>,</i> CH      |
| 18                               | 18.3, CH <sub>3</sub>         | 18.6, CH <sub>3</sub> | 18.9, CH <sub>3</sub> | 19.0, CH <sub>3</sub> | 18.8, CH <sub>3</sub> | 18.6, CH <sub>3</sub> |
| 19                               | 25.0, CH <sub>3</sub>         | 17.7, CH <sub>3</sub> | 17.7, CH <sub>3</sub> | 17.8, CH <sub>3</sub> | 17.7, CH <sub>3</sub> | 18.1, CH <sub>3</sub> |
| 20                               | 154.0, C                      | 158.0, C              | 155.8, C              | 156.1, C              | 162.0, C              | 162.9, C              |
| 21                               | 20.6, CH <sub>3</sub>         | 21.4, CH <sub>3</sub> | 21.1, CH <sub>3</sub> | 21.2, CH <sub>3</sub> | 22.2, CH <sub>3</sub> | 22.5, CH <sub>3</sub> |
| 22                               | 127.0, CH                     | 123.8, CH             | 124.5 <i>,</i> CH     | 124.5 <i>,</i> CH     | 119.6 <i>,</i> CH     | 119.6 <i>,</i> CH     |
| 23                               | 198.8, C                      | 198.4, C              | 198.2, C              | 198.3, C              | 198.8, C              | 198.7 <i>,</i> C      |
| 24                               | 47.9, CH <sub>2</sub>         | 47.9, CH <sub>2</sub> | 47.7, CH <sub>2</sub> | 47.9, CH <sub>2</sub> | 78.6 <i>,</i> CH      | 77.3 <i>,</i> CH      |
| 25                               | 35.1, CH                      | 35.0 <i>,</i> CH      | 35.0 <i>,</i> CH      | 34.9 <i>,</i> CH      | 43.2, CH              | 42.8 <i>,</i> CH      |
| 26                               | 176.1, C                      | 176.7, C              | 176.0, C              | 176.6, C              | 173.0, C              | 173.4, C              |
| 27                               | 17.3, CH <sub>3</sub>         | 17.3, CH <sub>3</sub> | 17.2, CH <sub>3</sub> | 17.3, CH <sub>3</sub> | 13.8, CH <sub>3</sub> | 9.5, CH <sub>3</sub>  |
| 28                               | 28.8, CH <sub>3</sub>         | 27.8, CH <sub>3</sub> | 27.4, CH <sub>3</sub> | 27.5, CH <sub>3</sub> | 27.7, CH <sub>3</sub> | 27.6, CH <sub>3</sub> |
| 29                               | 21.7, CH <sub>3</sub>         | 20.6, CH <sub>3</sub> | 20.4, CH <sub>3</sub> | 20.5, CH <sub>3</sub> | 20.6, CH <sub>3</sub> | 20.5, CH <sub>3</sub> |
| 30                               | 17.8 <i>,</i> CH <sub>3</sub> | 27.8, CH <sub>3</sub> | 20.7, CH <sub>3</sub> | 20.9, CH <sub>3</sub> | 27.7, CH <sub>3</sub> | 26.4, CH <sub>3</sub> |
| -O <u>CH</u> 3                   |                               | 52.0, CH <sub>3</sub> |                       | 52.1, CH <sub>3</sub> |                       |                       |
| O <u>CH</u> 2CH3                 | 60.7, CH <sub>2</sub>         |                       | 60.6, CH <sub>2</sub> |                       | 61.0, CH <sub>2</sub> | 61.3, CH <sub>2</sub> |
| OCH <sub>2</sub> CH <sub>3</sub> | 29.8, CH <sub>3</sub>         |                       | 14.2, CH <sub>3</sub> |                       | 14.3, CH <sub>3</sub> | 14.3, CH <sub>3</sub> |

 Table 4. <sup>13</sup>C NMR Spectroscopic Data of Compounds 8–13.

<sup>*a*</sup> Measured at 500 MHz; <sup>*b*</sup> Measured at 600 MHz; <sup>*c*</sup> Measured at 800 MHz; <sup>*d*</sup> Measured in CDCl<sub>3</sub>.

| No. | 11 <sup>bc</sup>               | 12 <sup>bc</sup>           | 13 <sup>ac</sup>           |
|-----|--------------------------------|----------------------------|----------------------------|
| 1   | 2.96, overlapped               | 2.98, overlapped           | 2.87, overlapped           |
|     | 1.76, ddd (17.3, 9.9, 3.2)     | 1.70, overlapped           | 1.68, overlapped           |
| 2   | 2.60, overlapped               | 2.61, ddd (14.9, 9.0, 5.4) | 2.55, ddd (15.5, 9.6, 6.0) |
|     | 2.48, overlapped               | 2.45, ddd (14.9, 8.6, 6.5) | 2.45, overlapped           |
| 5   | 2.26, dd (15.1, 2.6)           | 2.09, dd (9.9, 5.4)        | 2.19, dd (15.1, 2.7)       |
| 6   | 2.60, overlapped               | 1.70, overlapped           | 2.48, dd (15.1, 14.4)      |
|     | 2.52, overlapped               | 1.24, m                    | 2.34, dd (14.4, 2.7)       |
| 7   |                                | 4.47, br.s                 |                            |
| 12  | 2.87, d (16.8)                 | 2.78, d (17.4)             | 2.76, d (16.3)             |
|     | 2.44, d (16.8)                 | 2.37, d (17.4)             | 2.40, d (16.3)             |
| 15  | 4.39, dd (9.7, 5.7)            | 2.05, m                    | 2.27, overlapped           |
|     |                                | 1.87, m                    | 1.77, overlapped           |
| 16  | 1.82, ddd (15.1, 10.2,<br>5.5) | 2.00, overlapped           | 1.89, overlapped           |
|     | 2.49, overlapped               | 1.96, overlapped           | 1.68, overlapped           |
| 17  | 2.92, overlapped               | 2.96, overlapped           | 2.80, overlapped           |
| 18  | 0.73, s                        | 0.68, s                    | 0.63, s                    |

| No.                               | 11 <sup>bc</sup> | 12 <sup>bc</sup> | 13 <sup>ac</sup>    |
|-----------------------------------|------------------|------------------|---------------------|
| 19                                | 1.27, s          | 1.02, s          | 1.21, s             |
| 21                                | 2.10, s          | 2.22, s          | 2.15, s             |
| 22                                | 6.08, m          | 6.25, s          | 6.16, s             |
| 24                                | 2.52, overlapped | 4.21, br.s       | 4.62, dd (5.2, 2.9) |
|                                   | 2.95, overlapped |                  |                     |
| 25                                | 2.94, overlapped | 2.96, overlapped | 2.78, overlapped    |
| 27                                | 1.18, d (6.7)    | 1.30, d (7.3)    | 0.92, d (7.1)       |
| 28                                | 1.14, s          | 1.14, s          | 1.07, s             |
| 29                                | 1.12, s          | 1.07, s          | 1.04, s             |
| 30                                | 1.26, s          | 1.36, s          | 1.25, s             |
| -O <u>CH</u> 3                    | 3.68, s          |                  |                     |
| -OCH <sub>2</sub> CH <sub>3</sub> |                  | 4.08, m, 2H      | 4.14, q (7.1), 2H   |
| -OCH <sub>2</sub> CH <sub>3</sub> |                  | 1.19, t (7.3)    | 1.24, t (7.1)       |
| 24-OH                             |                  | 3.93, br.s       | . /                 |
|                                   |                  |                  |                     |

| <b>Fable</b> | <b>5.</b> Co | nt. |
|--------------|--------------|-----|
|--------------|--------------|-----|

<sup>*a*</sup> Measured at 500 MHz; <sup>*b*</sup> Measured at 600 MHz; <sup>*c*</sup> Measured in CDCl<sub>3</sub>.

Ganoaustralenone L (**13**): yellow oil.  $[\alpha]_D^{24}$  +44.67 (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 250.0 (3.91); <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) data, see Table 5, <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>) data, see Table 4; HRESIMS *m*/*z* 563.29688 [M + Na]<sup>+</sup> (calcd for C<sub>32</sub>H<sub>44</sub>O<sub>7</sub>Na, 563.29792).

#### 2.5. Synthesis of the Phenylglycine Methyl Ester (PGME) Derivatives

To a solution of **1** (2.0 mg, 3.9  $\mu$ mol) in DMF (0.5 mL) on ice add PyBOP (2.5 mg, 4.8  $\mu$ mol), HBTU (1.9 mg, 5.0  $\mu$ mol), *N*-methylmorpholine 100  $\mu$ L, and (*S*)-PGME (1.0 mg, 4.9  $\mu$ mol). The reaction mixture was stirred at room temperature for 3 h. The reaction was stopped by adding 1 mL of EtOAc and then washed with H<sub>2</sub>O. The EtOAc layer was concentrated under reduced pressure to obtain a pale yellow oil sample, which was purified by HPLC to furnish (*S*)-PGME amide product **1a**. Similarly, (*R*)-PGME amide product **1b** was prepared from **1** (2.0 mg) and (*R*)-PGME (1.0 mg). NMR assignments of the protons for **1a** and **1b** were achieved by analysis of the <sup>1</sup>H-<sup>1</sup>H COSY spectra.

Similarly, **2a** was prepared from **2** (0.5 mg) and (*S*)-PGME, **2b** was prepared from **2** (0.5 mg) and (*R*)-PGME. **3a** was prepared from **3** (1 mg) and (*S*)-PGME, **3b** was prepared from **3** (1 mg) and (*R*)-PGME. NMR assignments of the protons for **2a** and **2b** were achieved by analysis of the <sup>1</sup>H-<sup>1</sup>H COSY spectra.

To a solution of **6** (2.5 mg) in THF (1 mL) was added 1 mL of LiOH (1 mol/L). The reaction mixture was stirred at room temperature overnight. The reaction was stopped by concentrating under reduced pressure to obtain a pale yellow oil sample, which was purified by HPLC to obtain **6H** (0.4 mg). Then **6Ha** was prepared from **6H** (0.2 mg) with (*S*)-PGME, **6Hb** was prepared from **6H** (0.2 mg) with (*R*)-PGME. NMR assignments of the protons for **6Ha** and **6Hb** were achieved by analysis of the <sup>1</sup>H-<sup>1</sup>H COSY spectra.

1a: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.824 (1H, m, H-1a), 1.814 (1H, m, H-1b), 1.887 (1H, m H-2a), 2273 (1H, m, H-2b), 2.393 (1H, m, H-5), 2.247 (1H, m, H-6a), 2.530 (1H, m, H-6b), 2.480 (1H, m, H-12a), 2.770 (1H, m, H-12b), 2.500 (1H, m, H-15a), 2.923 (1H, m, H-15b), 2.608 (1H, m, H-16a), 1.737 (1H, m H-16b), 2.962 (1H, m, H-17), 0.667 (3H, s, H-18), 1.266 (3H, s, H-19), 2.141 (3H, s, H-21), 6.321 (1H, s, H-22), 4.123 (1H, dd, J = 6.0, 3.3 Hz, H-24), 2.924 (1H, m, H-25), 1.377 (3H, d, J = 7.2 Hz, H-27), 1.133 (3H, s, H-28), 1.108 (3H, s, H-29), 1.291 (1H, s, H-30), 6.892 (1H, d, J = 7.0 Hz, NH), 5.423 (1H, d, J = 7.0 Hz, H-2′ of PGME), 7.343–7.307 (5H, overlapped, phenyl group), 3.709 (3H, s, OCH<sub>3</sub>). HRESIMS m/z 682.33380 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>49</sub>O<sub>8</sub>NNa, 682.33559).

**1b**: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.818 (1H, m, H-1a), 1.855 (1H, m, H-1b), 1.910 (1H, m H-2a), 2265 (1H, m, H-2b), 2.388 (1H, m, H-5), 2.267 (1H, m, H-6a), 2.522 (1H, m, H-6b), 2.500 (1H, m, H-12a), 2.743 (1H, d, *J* = 16.6 Hz, H-12b), 2.515 (1H, m, H-15a), 2.927 (1H, m, H-15b), 2.613 (1H, m, H-16a), 1.744 (1H, m H-16b), 2.972 (1H, m, H-17), 0.536 (3H, s, H-18),

1.266 (3H, s, H-19), 2.098 (3H, s, H-21), 6.308 (1H, s, H-22), 4.132 (1H, dd, J = 6.0, 3.3 Hz, H-24), 2.927 (1H, m, H-25), 1.387 (3H, d, J = 7.2 Hz, H-27), 1.133 (3H, s, H-28), 1.110 (3H, s, H-29), 1.290 (1H, s, H-30), 7.061 (1H, d, J = 6.7 Hz, NH), 5.428 (1H, d, J = 6.7 Hz, H-2′ of PGME), 7.352–7.309 (5H, overlapped, phenyl group), 3.699 (3H, s, OCH<sub>3</sub>). HRESIMS m/z 682.33392 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>49</sub>O<sub>8</sub>NNa, 682.33559).

**2a**: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.782 (1H, m, H-1a), 1.838 (1H, m, H-1b), 2.324 (1H, m H-2a), 2720 (1H, m, H-2b), 2.297 (1H, d, J = 13.5, H-5), 4.408 (1H, dd, J = 13.5, 3.1 Hz, H-6), 2.505 (1H, d, J = 17.0 Hz, H-12a), 2.784 (1H, m, H-12b), 1.708 (1H, m, H-15a), 2.249 (1H, m, H-15b), 1.906 (1H, m, H-16a), 2.835 (1H, m H-16b), 1.932 (1H, m, H-17), 0.658 (3H, s, H-18), 1.219 (3H, s, H-19), 2.131 (3H, s, H-21), 6.328 (1H, s, H-22), 4.115 (1H, dd, J = 6.0, 3.4 Hz, H-24), 2.932 (1H, dd, J = 7.0, 3.4 Hz, H-25), 1.378 (3H, d, J = 7.0 Hz, H-27), 1.343 (3H, s, H-28), 1.438 (3H, s, H-29), 1.362 (1H, s, H-30), 6.875 (1H, d, J = 7.0 Hz, NH), 5.417 (1H, d, J = 6.8 Hz, H-2′ of PGME), 7.352–7.301 (5H, overlapped, phenyl group), 3.704 (3H, s, OCH<sub>3</sub>). HRESIMS *m*/z 698.33087 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>49</sub>O<sub>9</sub>NNa, 698.33050).

**2b**: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.873 (1H, m, H-1a), 1.838 (1H, m, H-1b), 2.328 (1H, m H-2a), 2722 (1H, m, H-2b), 2.293 (1H, d, J = 13.5, H-5), 4.400 (1H, dd, J = 13.5, 3.1 Hz, H-6), 2.399 (1H, d, J = 17.0 Hz, H-12a), 2.754 (1H, m, H-12b), 1.700 (1H, m, H-15a), 2.243 (1H, m, H-15b), 1.906 (1H, m, H-16a), 2.839 (1H, m H-16b), 1.958 (1H, m, H-17), 0.519 (3H, s, H-18), 1.221 (3H, s, H-19), 2.093 (3H, s, H-21), 6.315 (1H, s, H-22), 4.128 (1H, dd, J = 5.0, 3.5 Hz, H-24), 2.935 (1H, dd, J = 7.3, 3.5 Hz, H-25), 1.386 (3H, d, J = 7.2 Hz, H-27), 1.343 (3H, s, H-28), 1.440 (3H, s, H-29), 1.348 (1H, s, H-30), 7.047 (1H, d, J = 6.6 Hz, NH), 5.419 (1H, d, J = 6.6 Hz, H-2′ of PGME), 7.347–7.277 (5H, overlapped, phenyl group), 3.696 (3H, s, OCH<sub>3</sub>). HRESIMS *m*/z 698.32990 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>49</sub>O<sub>9</sub>NNa, 698.33050).

**3a**: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.976 (1H, m, H-1a), 1.709 (1H, m, H-1b), 2.474 (1H, m H-2a), 2595 (1H, m, H-2b), 2.084 (1H, overlapped H-5), 2.902 (1H, overlapped, H-6a), 1.687 (1H, overlapped, H-6b), 4.459 (1H, overlapped, H-7), 2.749 (1H, d, J = 17.3 Hz, H-12a), 2.375 (1H, d, J = 17.3 Hz, H-12b), 1.701 (1H, m, H-15a), 2.439 (1H, m, H-15b), 1.978 (1H, m, H-16a), 2.026 (1H, m H-16b), 2.981 (1H, m, H-17), 0.642 (3H, s, H-18), 1.022 (3H, s, H-19), 2.137 (3H, s, H-21), 6.343 (1H, s, H-22), 4.137 (1H, dd, J = 6.0, 3.4 Hz, H-24), 2.939 (1H, dd, J = 7.3, 3.4 Hz, H-25), 1.385 (3H, d, J = 7.3 Hz, H-27), 1.147 (3H, s, H-28), 1.073 (3H, s, H-29), 1.344 (1H, s, H-30), 6.903 (1H, d, J = 6.9 Hz, NH), 5.426 (1H, d, J = 6.9 Hz, H-2' of PGME), 7.343–7.306 (5H, overlapped, phenyl group), 3.703 (3H, s, OCH<sub>3</sub>). HRESIMS *m*/*z* 684.35034 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>51</sub>O<sub>8</sub>NNa, 684.35124).

**3b**: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.976 (1H, m, H-1a), 1.692 (1H, m, H-1b), 2.609 (1H, m H-2a), 2.458 (1H, m, H-2b), 2.073 (1H, overlapped H-5), 1.691 (1H, overlapped, H-6a), 1.638 (1H, overlapped, H-6b), 4.438 (1H, t, J = 4.2 Hz, H-7), 2.711 (1H, d, J = 16.9 Hz, H-12a), 2.692 (1H, d, J = 16.9 Hz, H-12b), 1.809 (1H, m, H-15a), 2.021 (1H, m, H-15b), 1.977 (1H, m, H-16a), 1.911 (1H, m H-16b), 2.904 (1H, m, H-17), 0.463 (3H, s, H-18), 1.025 (3H, s, H-19), 2.096 (3H, s, H-21), 6.316 (1H, s, H-22), 4.136 (1H, dd, J = 4.5, 3.7 Hz, H-24), 2.948 (1H, dd, J = 7.3, 3.7 Hz, H-25), 1.402 (3H, d, J = 7.3 Hz, H-27), 1.145 (3H, s, H-28), 1.076 (3H, s, H-29), 1.326 (1H, s, H-30), 7.116 (1H, d, J = 6.9 Hz, NH), 5.420 (1H, d, J = 6.9 Hz, H-2' of PGME), 7.333–7.265 (5H, overlapped, phenyl group), 3.697 (3H, s, OCH<sub>3</sub>). HRESIMS *m*/*z* 684.35022 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>51</sub>O<sub>8</sub>NNa, 684.35124).

**6Ha**: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.260 (1H, m, H-1a), 2.015 (1H, m, H-1b), 2.953 (1H, m H-2a), 2.347 (1H, m, H-2b), 1.861 (1H, overlapped H-5), 2.300 (1H, overlapped, H-6a), 2.295 (1H, overlapped, H-6b), 6.510 (1H, overlapped, H-7), 5.666 (1H, overlapped, H-11), 4.585 (1H, m, H-15), 2.500 (1H, m, H-16a), 1.812 (1H, m H-16b), 3.308 (1H, m, H-17), 0.907 (3H, s, H-18), 1.095 (3H, s, H-19), 2.273 (3H, s, H-21), 6.365 (1H, s, H-22), 2.540 (1H, m H-24a), 2.540 (1H, m H-24b), 2.937 (1H, m, H-25), 1.139 (3H, d, *J* = 6.8 Hz, H-27), 1.122 (3H, s, H-28), 1.161 (3H, s, H-29), 1.298 (1H, s, H-30), 6.914 (1H, d, *J* = 7.0 Hz, NH), 5.487 (1H, d, *J* = 7.0 Hz, H-2' of PGME), 7.355–7.338 (5H, overlapped, phenyl group), 3.686 (3H, s, OCH<sub>3</sub>). HRESIMS *m*/z 666.33997 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>49</sub>O<sub>7</sub>NNa, 666.34067).

**6Hb**: <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) 2.267 (1H, m, H-1a), 1.790 (1H, m, H-1b), 2.790 (1H, m H-2a), 2.875 (1H, m, H-2b), 1.875 (1H, overlapped H-5), 2.286 (1H, overlapped, H-6a),

2.301 (1H, overlapped, H-6b), 6.494 (1H, overlapped, H-7), 5.662 (1H, s, H-11), 4.553 (1H, m, H-15), 2.453 (1H, m, H-16a), 1.477 (1H, m H-16b), 3.267 (1H, m, H-17), 1.295 (3H, s, H-18), 1.080 (3H, s, H-19), 2.197 (3H, s, H-21), 6.289 (1H, s, H-22), 2.510 (1H, m H-24a), 2.510 (1H, m H-24b), 2.914 (1H, m, H-25), 1.200 (3H, d, J = 7.2 Hz, H-27), 1.120 (3H, s, H-28), 1.160 (3H, s, H-29), 0.821 (1H, s, H-30), 6.803 (1H, d, J = 7.2 Hz, NH), 5.516 (1H, d, J = 7.2 Hz, H-2′ of PGME), 7.360–7.293 (5H, overlapped, phenyl group), 3.722 (3H, s, OCH<sub>3</sub>). HRESIMS *m*/*z* 666.33978 [M + Na]<sup>+</sup> (calcd for C<sub>39</sub>H<sub>49</sub>O<sub>7</sub>NNa, 666.34067).

# 2.6. Biological Activity Assays

Biological activity assays, including the cytotoxicity against five human cancer cell lines [24],  $\alpha$ -glucosidase inhibition [25], protein tyrosine phosphatase 1  $\beta$  (PTP1B) [26], dipeptidyl peptidase 4 (DDP4) [27], and angiotensin converting enzyme 2 (ACE2) [28], were screened according to the protocols in the Supplementary Materials.

# 3. Results and Discussion

Compound 1 (Figure 1), obtained as a yellow oil, gave an  $[M + Na]^+$  ion peak at m/z535.26685 in the HRESIMS (calcd for  $C_{30}H_{40}O_7Na$ , 535.26717). The <sup>1</sup>H NMR spectroscopic data (Table 1) displayed six methyl singlets at  $\delta_{\rm H}$  1.28 (Me-19),  $\delta_{\rm H}$  0.75 (Me-18),  $\delta_{\rm H}$  2.16 (Me-21),  $\delta_{\rm H}$  1.13 (Me-28),  $\delta_{\rm H}$  1.10 (Me-29), and  $\delta_{\rm H}$  1.35 (Me-30), one methyl doublet at  $\delta_{\rm H}$  1.18 (d, J = 7.3 Hz, Me-27), an olefinic proton at  $\delta_{\rm H}$  6.51 (s, H-22), and an oxygenated methine proton at  $\delta_{\rm H}$  4.21 (d, J = 4.9 Hz, H-24). The <sup>13</sup>C NMR and DEPT spectroscopic data (Table 2) of **1** showed 30 carbon resonances which were ascribed to seven methyl carbons at δ<sub>C</sub> 19.1 (C-18), 18.4 (C-19), 22.2 (C-21), 13.8 (C-27), 27.7 (C-28), 20.7 (C-29), and 26.4 (C-30), six methylenes at δ<sub>C</sub> 36.1 (C-1), 35.0 (C-2), 37.7 (C-6), 50.9 (C-12), 33.5 (C-15), and 24.2 (C-16), four methines at  $\delta_{\rm C}$  50.8 (C-5), 54.6 (C-17), 88.0 (C-24), and 44.6 (C-25), two pairs of olefinic carbons at  $\delta_{\rm C}$  151.3 (C-8), 152.4 (C-9), 161.3 (C-20), and 122.0 (C-22), four *sp*<sup>3</sup>-quaternary carbons at  $\delta_{\rm C}$  47.8 (C-4), 40.1 (C-10), 49.7 (C-13, C-14), and four carbonyls at  $\delta_{\rm C}$  218.6 (C-3), 202.7 (C-7), 202.8 (C-11), 202.0 (C-23), and 176.9 (C-27). The chemical shifts of 1D NMR of **1** indicated that it was a lanostane triterpenoid similar to resinacein N, except for the substitutions at C-3, C-7, and C-15 [29]. In the HMBC spectrum of 1, the correlations from Me-29 to the carbonyl C-3, from Me-30 to the methylene carbon C-15, and from H-5 ( $\delta_{\rm H}$ 2.38) and H-6 ( $\delta_{\rm H}$  2.36, 2.68) to the carbonyl C-7, along with the <sup>1</sup>H-<sup>1</sup>H COSY correlation of H-15 ( $\delta_{\rm H}$  2.24, 1.87)/H-16 ( $\delta_{\rm H}$  2.04, 1.91) (Figure 2), suggested that C-3 and C-7 were ketone carbons and C-15 was a methylene instead of being a hydroxylated methine in resinacein N. Therefore, the planar structure of **1** was elucidated as shown in Figure 1.

The key ROESY correlations between H-22 ( $\delta_{\rm H}$  6.51) and H-16a/b ( $\delta_{\rm H}$  2.04, 1.91) allowed the assignment of the *E* configuration of the C-20–C-22 double bond (Figure 3). The absolute configuration of the chiral center C-25 was determined by the PGME method (Figure 4). The (*R*)- and (*S*)-PGME amide derivatives were chemically synthesized, and the  $\Delta\delta_{\rm H}$  ( $\delta_{\rm S} - \delta_{\rm R}$ ) values indicated that C-25 was the *S* configuration. The attempt to assign the absolute configuration of C-24 by Mosher's method failed, probably due to the bulky groups around the hydroxy group. Therefore, the configuration of C-24 remained unassigned. Compound **1** was elucidated as [20(22)*E*,24*R*,25*R*]-24-hydroxy-3,7,11,23-tetraoxolanosta-8,20-dien-26-oic acid, and was given the trivial name ganoaustralenone A.

Compound **2**, obtained as a white powder, displayed an  $[M + Na]^+$  peak at m/z 551.26422 in the HRESIMS (calcd for  $C_{30}H_{40}O_8Na$ , 551.26209). The 1D NMR data of **2** (Tables 1 and 2) showed a resemblance to those of compound **1**, implying the analogous structures of the two compounds. Analysis of the 1D NMR data suggested that the only difference between **1** and **2** was C-6. The HMBC correlation from H-5 to a hydroxymethine at  $\delta_C$  72.2 (C-6), as well as the <sup>1</sup>H-<sup>1</sup>H COSY correlation of H-5 and the proton at  $\delta_H$  4.44 (H-6) (Figure 2), revealed that the C-6 in **2** attached to a hydroxy group compared to that of **1**. These assignments are consistent with the HRESIMS result. The absolute configuration of C-25 was determined by the PGME method, as in the case of compound


**1** (Figure 4). Therefore, compound **2** was determined as shown in Figure 1, and trivially named ganoaustralenone B.

Figure 1. Chemical structures of compounds 1–13. (Red: known compounds.)



**Figure 2.** Key <sup>1</sup>H-<sup>1</sup>H COSY and HMBC correlations of compounds **1**–**5**, **7**–**13**.



Figure 3. Key ROESY correlations of 1–5, 7–13.

Compound **3**, obtained as a yellow oil, displayed an  $[M + Na]^+$  ion peak at m/z 537.28180 in the HRESIMS analysis (calcd for  $C_{30}H_{42}O_7Na$ , 537.28227). The <sup>1</sup>H NMR and <sup>13</sup>C NMR data of **3** (Tables 1 and 2) highly resemble those of **1**, except for the chemical shift of C-7. The key <sup>1</sup>H-<sup>1</sup>H COSY correlations H-5 ( $\delta_H$  2.11)/H-6 ( $\delta_H$  1.70)/H-7 ( $\delta_H$  4.47), as well as the HMBC correlation from H-7 ( $\delta_H$  4.47) and C-8 ( $\delta_C$  160.3) (Figure 2), implied the presence of a hydroxyl group at C-7. The key ROESY correlations of H-7/H-15 $\beta$ /H<sub>3</sub>-18 indicated the  $\alpha$  orientation of 7-OH (Figure 3). The absolute configurations of C-25 were determined by the PGME method, as in the case of compound **1** (Figure 4). Therefore, compound **3** was determined as shown in Figure 1, and identified as ganoaustralenone C.

The yellowish oil compounds **4** and **5** gave the sodium adduct ion peaks of m/z 565.27728 and m/z 549.28210 in the HRESIMS analysis, corresponding to the molecular formulas of C<sub>31</sub>H<sub>42</sub>O<sub>8</sub> and C<sub>31</sub>H<sub>42</sub>O<sub>7</sub> (calcd for C<sub>31</sub>H<sub>42</sub>O<sub>8</sub>Na 565.27774; C<sub>31</sub>H<sub>42</sub>O<sub>7</sub>Na, 549.28282), respectively. The 1D NMR spectra of the two compounds (Tables 1 and 2) showed characteristic signals of triterpene, indicating the same skeletons of **1**–5. Analysis of the 1D NMR spectra of **4** and **5** suggested that the two compounds were highly similar to those of **1** and **2**, respectively. The differences between these two pairs of compounds (**1** vs. **4**, **2** vs. **5**) were the status of C-26 carboxylic group. The correlations from the methoxy singlets to the carbonyl group (C-26) in the HMBC spectra of **4** and **5** (Figure 2) indicated that C-26 of **4** and **5** have been methyl esterified instead of being free carboxylic groups in **1** and **2**. Therefore, compounds **4** and **5** were elucidated as the C-26 methyl ester derivatives of **1** and **2**, respectively. However, these changes hampered the absolute configuration determination of C-25 of **4** and **5** by the PGME method. The relative configurations of C-24 and C-25 were assigned as *R*\* and *S*\*, respectively, by analysis of the Newman projections of



C-24–C-25 and the coupling constants of H-24 (4.0 Hz, and 3.1 Hz). Therefore, compounds 4 and 5 were named ganoaustralenones D and E, respectively.

**Figure 4.** The structures and  $\Delta \delta_{\rm H} (\delta_{\rm S} - \delta_{\rm R})$  of (*S*)/(*R*)-PGME derivative of **1**, **2**, **3**, and **6H**.

Compound 6 was determined to be methyl gibbosate O by comparison with the NMR spectroscopic data (Supplementary Materials) [30,31]. However, the chemical shifts of C-13 and C-14 of methyl gibbosate O have been erroneously assigned previously [30]. The key HMBC correlation of H-11 ( $\delta_{\rm H}$  5.66, s) to an *sp*<sup>3</sup>-quaternary carbon at  $\delta_{\rm C}$  58.0, together with the HMBC correlation from H-7 ( $\delta_{\rm H}$  6.50, m) to an *sp*<sup>3</sup>-quaternary carbon at  $\delta_{\rm C}$  52.5 enabled the correct assignment of the chemical shifts of C-13 (58.0 ppm) and C-14 (52.5 ppm). Moreover, the absolute configuration of C-25 of gibbosic acid O was assigned as S without any evidence [31], while for methyl gibbosate O, the C-25 configuration was assigned to be same with gibbosic acid O only by comparison with the chemical shifts [30]. However, C-25 is far away from any other chiral centers in the structure, so the chemical shift deviation is inadequate to discriminate the S and R configuration of C-25. Therefore, more solid evidence should be presented to corroborate the real configuration of C-25. In order to determine the absolute configuration of the chiral center C-25, compound 6 was firstly hydrolyzed by LiOH to obtain the previously reported compound gibbosic acid O (6H). Then, the (*R*)- and (*S*)-PGME amide derivatives of 6H were chemically synthesized (Scheme 1), and the  $\Delta\delta_{\rm H}$  ( $\delta_{\rm S} - \delta_{\rm R}$ ) values indicated that C-25 was the S configuration (Figure 4). Therefore, the absolute configuration of compound **6** has been fully assigned.



Scheme 1. Alkaline hydrolysis and PGME derivatization of compound 6.

The HRESIMS analysis of 7, a yellow oily compound, gave a sodium adduct ion peak at *m*/*z* 547.30286, corresponding to the molecular formula of  $C_{32}H_{44}O_6$  (calcd for  $C_{32}H_{44}O_6$ Na, 547.30356) with 11 double bond equivalences. Comparing the 1D NMR data of 7 (Tables 2 and 3) with those of **6** suggested that 7 differed from **6** with the presence of an oxygenated methylene and a triplet methyl group with the absence of the methoxy group. These signals were assigned to be ethyl ester moiety of the C-26 carbonyl group instead of the methyl ester moiety in **6**. The <sup>1</sup>H-<sup>1</sup>H COSY correlation of OCH<sub>2</sub>CH<sub>3</sub> ( $\delta_H$  4.13)/OCH<sub>2</sub>CH<sub>3</sub> ( $\delta_H$  1.25), and the HMBC correlation from OCH<sub>2</sub>CH<sub>3</sub> ( $\delta_H$  4.13) to C-27 ( $\delta_C$  176.3) (Figure 2), confirmed the above assignments. Notably, 15-OH was assigned to be  $\beta$  orientation by the key ROESY correlation of H-15 ( $\delta_H$  4.31)/Me-30 ( $\delta_H$  1.00) (Figure 3). Therefore, compound **7** was named ganoaustralenone F.

Compound **8** had an  $[M + Na]^+$  ion peak at m/z 561.28223 ( $C_{32}H_{42}O_7Na$ ) in the HRESIMS analysis (calcd for  $C_{32}H_{42}O_7Na$ , 561.28282). The molecular formula of **8** is two oxygen atoms more than that of **7**, indicating the existence of more oxygenated carbons in **8** than those of **7**. The <sup>1</sup>H NMR spectra of **8** (Table 3) displayed six methyl singlets ( $\delta_H$  1.12, 1.08, 2.26, 1.12, 1.09, and 1.29). The <sup>13</sup>C NMR (Table 4) and DEPT spectra of **8** exhibited signals for eight methyls, six methylenes (one was oxygenated at  $\delta_C$  60.7), six methines including two  $sp^2$ -ones and four  $sp^3$ -ones (one was oxygenated,  $\delta_C$  58.9), and eleven quaternary carbons (four carbonyls, five  $sp^3$ -ones, and two  $sp^2$ -ones). Further analysis of the 1D NMR data (Tables 3 and 4) allowed the assignment of **8** to be an analog of **7**, except for the positions of C-7, C-8, and C-15. The <sup>13</sup>C NMR chemical shifts of these three positions ( $\delta_C$  58.9, C-7;  $\delta_C$  62.7, C-8;  $\delta_C$  209.9, C-15) of **8** implied that an epoxy ring was located at C-7 and C-8, while C-15 was a ketone compared to that of **7**. These assignments were corroborated by the <sup>1</sup>H-<sup>1</sup>H COSY correlations of H-5/H-6/H-7 and the HMBC correlations from H-7 to C-8, and from Me-30 to C-15 (Figure 2). Thus, compound **8** was trivially named ganoaustralenone G.

Compound **9** showed an  $[M + H]^+$  peak at m/z 513.32135 in the HRESIMS, indicating the molecular formula  $C_{31}H_{44}O_6$  (calcd for  $C_{31}H_{45}O_6$ , 513.32161). The 1D NMR data of **9** (Tables 3 and 4) displayed thirty-one carbon resonances, which were categorized into seven methyl carbons at  $\delta_C$  17.7 (C-19), 18.6 (C-18), 21.4 (C-21), 17.3 (C-27), 27.8 (C-28), 20.6 (C-29), and 27.8 (C-30), one methoxy carbon at  $\delta_C$  52.0, seven methylenes at  $\delta_C$  34.2 (C-1), 34.9 (C-2), 29.4 (C-6), 50.3 (C-12), 30.4 (C-15), 23.2 (C-16), and 47.9 (C-24), five methines at  $\delta_C$  45.2 (C-5), 67.3 (C-7), 54.1 (C-17), 123.8 (C-22), and 35.0 (C-25), and eleven proton-free carbons at  $\delta_C$  218.0 (C-3), 46.5 (C-4), 159.5 (C-8), 140.2 (C-9), 38.0 (C-10), 195.5 (C-11), 48.6 (C-13), 50.6 (C-14), 158.0 (C-20), 198.4 (C-23), and 176.7 (C-26). The above data suggested that **9** was a similar structure to 7 $\beta$ -hydroxy-3,11,15,23-tetraoxolanosta-8,20*E*(22)-diene-26-oic acid methyl ester, except for the position at C-15 and the configuration of 7-OH [32]. The <sup>1</sup>H-<sup>1</sup>H COSY correlations of H-15/H-16/H-17, as well as the HMBC correlation from Me-30 ( $\delta_H$ 

1.35, s) to the methylene carbon at  $\delta_{\rm C}$  30.4 (C-15) (Figure 2), suggested that C-15 in **9** was a methylene instead of being a ketone carbon in 7 $\beta$ -hydroxy-3,11,15,23-tetraoxolanosta-8,20*E*(22)-diene-26-oic acid methyl ester. In addition, the key ROESY correlations of H-7 ( $\delta_{\rm H}$  4.47)/H-15 $\beta$  ( $\delta_{\rm H}$  2.04)/Me-18 ( $\delta_{\rm H}$  0.67) (Figure 3) suggested that 7-OH was an  $\alpha$  orientation. Therefore, compound **9** was named ganoaustralenone H.

Compound **10**, a pale yellow oil, gave an  $[M + Na]^+$  ion peak at m/z 563.29749 (C<sub>32</sub>H<sub>44</sub>O<sub>7</sub>Na) in the HRESIMS (calcd for C<sub>32</sub>H<sub>44</sub>O<sub>7</sub>Na, 563.29847). The <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data of **10** (Tables 3 and 4) showed high similarity to those of the structure 15 $\alpha$ -hydroxy-3,11,23-trioxolanosta-8,20*E*(22)-dien-26-oic acid methyl ester, a lanostane triterpenoid isolated from the *G. lucidum* [33]. Further analysis of the 2D NMR spectra revealed that the only difference between these two structures was C-7. The diagnostic HMBC correlations from the protons at  $\delta_{\rm H}$  2.26 (H-5), 2.49 (H-6a), 2.62 (H-6b) to a carbonyl group at  $\delta_{\rm C}$  204.4 (Figure 2) suggested that C-7 was a carbonyl group in **10** instead of being a methylene group in 15 $\alpha$ -hydroxy-3,11,23-trioxolanosta-8,20*E*(22)-dien-26-oic acid methyl ester. In addition, the alcohol for forming the C-26 ester group was ethanol in **10** instead of methanol in the reported structure, as supported by the two chemical shifts at  $\delta_{\rm C}$  60.6 (-O<u>CH<sub>2</sub>CH<sub>3</sub></u>) and 14.2 (-OCH<sub>2</sub><u>CH<sub>3</sub></u>). Therefore, compound **10** was identified as ethyl 20(22)*E*-15 $\alpha$ -hydroxy-3,7,11,23-tetraoxolanosta-8,20(22)-dien-26-oate, and was trivially named ganoaustralenone I.

Compound **11**, obtained as a yellow oil, displayed an  $[M + Na]^+$  ion peak at m/z 549.28210 in the HRESIMS analysis (calcd for  $C_{31}H_{42}O_7Na$ , 549.28282), revealing the molecular formula of  $C_{31}H_{42}O_7$ . The 1D NMR data of **11** (Tables 4 and 5) showed 30 carbon resonances with high resemblance to those of compound **10**. Further analysis of the 2D NMR data (Figures 2 and 3) suggested that **11** differed from **10** by the presence of the methyl ester group. The significant HMBC correlation from the methoxy group ( $\delta_H$  3.68) to the carbonyl group C-26 ( $\delta_C$  176.6) (Figure 2) verified the terminal carboxylic group in **11** has been methyl esterified instead of being ethyl esterified in **10**. Therefore, compound **11** was identified as ganoaustralenone J.

The pale yellow oil compound **12** exhibited an  $[M + Na]^+$  ion peak at m/z 565.31287 in the HRESIMS analysis (calcd for C<sub>32</sub>H<sub>46</sub>O<sub>7</sub>Na, 565.31412). The NMR spectroscopic data of **12** (Tables 4 and 5) highly resemble those of **9**, except for the chemical shifts of C-24 and the alcoholic part of the C-26 ester. The important HMBC correlations from Me-27 ( $\delta_H$ 1.30) to a hydroxymethine at  $\delta_C$  78.6 (C-24) (Figure 2), together with the chemical shifts of the alcoholic part [ $\delta_C$  61.0 (-O<u>CH</u><sub>2</sub>CH<sub>3</sub>) and 14.3 (-OCH<sub>2</sub><u>CH</u><sub>3</sub>)], indicated that a hydroxy group situated at C-24 and the presence of ethyl ester of C-26 in **12** compared to those of **9**. Therefore, compound **12** was identified as ganoaustralenone K.

Compound **13**, obtained as a yellow oil, displayed an  $[M + Na]^+$  ion peak at m/z 563.29688 in the HRESIMS analysis (calcd for  $C_{32}H_{44}O_7Na$ , 563.29792). Analysis of the <sup>1</sup>H and <sup>13</sup>C NMR data (Tables 4 and 5) revealed that this compound was a structural analog to **12**. The main difference between the NMR data of the two analogs was the position C-7 ( $\delta_C$  201.2), which indicated that C-7 was a carbonyl carbon. In the HMBC spectrum of compound **13**, significant correlations from H<sub>2</sub>-6 ( $\delta_H$  2.48, 2.34) to C-7 ( $\delta_C$  201.2) (Figure 2) indicated that C-7 was a carbonyl carbon. Therefore compound **13** was identified as ganoaustralenone L.

The identification of a series of 20(22)*E*-lanostanes from this species of *Ganoderma* inspired a proposal of the possible biosynthetic pathways. Take compound **1** as an example, as shown in Scheme 2, the common precursor squalene, which was derived from two molecules of farnesyl pyrophosphate, which was oxygenated and followed by function migration to give the lanostane scaffold. The lanosterol was oxygenated at the positions of C-3, C-7, C-11, C-20, C-23, and C-26 to give the key intermediate **A**, which underwent an elimination reaction by the E1cb mechanism to yield **B**. Finally, a nucleophilic attack at C-24 by a hydroxy group produced compound **1**.



Scheme 2. Plausible biosynthetic pathways to 1.

All the isolates were subjected to evaluate their cytotoxicity against the five human cancer cell lines (the HL-60 (ATCC CCL-240), the human myeloid leukemia cell line, the SMMC-7721 human hepatocellular carcinoma cell line, the A549 (ATCC CCL-185) lung cancer cell line, the MCF-7 (ATCC HTB-22) breast cancer cell line, and the SW-480 (ATCC CCL-228) human colon cancer cell line, as well as the inhibitory activity against  $\alpha$ -glucosidase, protein tyrosine phosphate 1  $\beta$  (PTP1B), dipeptidyl peptidase 4 (DDP4), and angiotensin-converting enzyme 2 (ACE2). However, no significant bioactivity was observed.

#### 4. Conclusions

In conclusion, twelve previously undescribed lanostane-type triterpenes were obtained from the medicinal mushroom *Ganoderma australe*. By using the NMR and HRESIMS techniques for structural elucidation, the structures of twelve triterpenes were determined, and the absolute configurations of **1**, **2**, and **6** were assigned by the phenylglycine methyl ester (PGME) method. Ganoderma triterpenes have been reported to have more than 400 chemical entities to date [15]. Most of them were oxygenated at the positions of C-3, C-7, C-11, C-15, and C-26. Interestingly, more and more studies have revealed that there was an oxygenated position bias that differed from species to species. The triterpenes described here are featured by an unusual 20(22)-*trans* double bond, which has rarely been found in the *Ganoderma* lanostanoid family. Although no significant biological activities were found in this study, the results have also initiated the understanding of the structural diversity of *Ganoderma*-derived triterpenoids.

**Supplementary Materials:** The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/jof8050503/s1, including the NMR, HRMS data of compounds 1–13.

**Author Contributions:** H.-P.C. and Z.-H.L. designed and supervised the project; L.Z. and L.-L.G. performed the experiments; L.Z., L.-L.G., M.I., Z.-H.L. and H.-P.C. analyzed the data, discussed the results, and wrote the paper; L.Z. and L.-L.G. contributed equally to this work. All authors have read and agreed to the published version of the manuscript.

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Article



## Four New Highly Oxygenated Eremophilane Sesquiterpenes from an Endophytic Fungus *Boeremia exigua* Isolated from *Fritillaria hupehensis*

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**Abstract:** Four new eremophilane-type sesquiterpenes, boeremialanes A–D (1–4) were obtained from solid substrate cultures of *Boeremia exigua* (Didymellaceae), an endophytic fungus isolated from *Fritillaria hupehensis* (Liliaceae). Boeremialanes A–C (1–3) are highly oxygenated eremophilanes with a benzoate unit attached at the C-13 position and are rarely found in nature. Their structures and absolute configurations were determined by extensive spectroscopic methods, electronic circular dichroism (ECD), and nuclear magnetic resonance (NMR) calculations with DP4+ analysis. Boeremialane D (4) potently inhibited nitric oxide production in lipopolysaccharide-treated RAW264.7 macrophages with an IC<sub>50</sub> of 8.62  $\mu$ M and was more potent than the positive control, pyrrolidinedithiocarbamate (IC<sub>50</sub> = 23.1  $\mu$ M).

**Keywords:** *Boeremia exigua; Fritillaria hupehensis;* eremophilanes; boeremialanes; anti-inflammatory; NO production inhibition

#### 1. Introduction

Eremophilane-type derivatives are structurally irregular and bicyclic natural products belonging to a small sesquiterpene family [1,2]. These eremophilane sesquiterpenes are biogenetically derived from farnesyl diphosphate in association with a methyl migration [3] and consist of three isoprene subunits [4]. The structural diversity of eremophilane analogs is due to oxidation occurring at different sites along the isopropyl side chain and bicyclic backbone to generate alcohol [5], acid [6], ester [7–9], furan [10,11], and lactone functionalities, with some of the alcohols further glycosylated [12]. Since the first eremophilane-type sesquiterpene was isolated from the wood oil of Eremophila mitchellii in 1932 [13], more than 650 biologically active eremophilane derivatives have been obtained [2,14]. In addition to the related analogs obtained from terrestrial plants [15,16] and marine fungi [17,18], plant endophytic fungi are recognized as a new source of derivatives eremophilane [19,20]. Due to their special structural features and various functional groups, eremophilane-type sesquiterpenes possess a lot of biological activities such as anti-inflammatory [21], antitumor [10], and antibacterial [22,23] activities, which have received increasing interest in the recent years. As part of our ongoing efforts to discover bioactive terpenoids derived from endophytic fungi [24–27], a chemical investigation on the cultural broth of *B. exigua* in rice medium was carried out. As a result, four new highly oxygenated eremophilane-type sesquiterpenes, boeremialanes A–D (1–4), were isolated from cultures of the fungus B. exigua. The new structures were established by extensive spectroscopic methods, ECD and NMR calculations, as well as DP4+ analysis. All compounds were tested for their antiinflammatory activities on nitric oxide production in LPS-induced RAW264.7 macrophages. Herein, details of the isolation, structural elucidation and bioactivities of the compounds are reported.

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#### 2. Materials and Methods

#### 2.1. General Experimental Procedures

Optical rotations were measured with an Autopol IV polarimeter (Rudolph, Hackettstown, NJ, USA). UV spectra were measured on a UV-2450 spectrometer (Hitachi High-Technologies, Tokyo, Japan). CD spectra were recorded with an Applied Photophysics spectrometer (Chirascan, New Haven, CT, USA). One-dimensional and 2D spectra were recorded on a Bruker AV-600 spectrometer (Bruker, Karlsruhe, Germany) with TMS as an internal standard. HRESIMS spectra were recorded on Q Exactive Obitrap mass spectrometer (ThermoFisher Scientific, Waltham, MA, USA). Medium pressure liquid chromatography (MPLC) was performed on a Biotage SP1 System and column packed with RP-18 gel (Biotage, Uppsala, Sweden). Silica gel (Qingdao Marine Chemical Factory, Qingdao, China), RP-18 gel (Fuji Silysia Chemical Factory, Kasugai, Japan), and Sephadex LH-20 (Pharmacia Fine Chemical Factory, Uppsala, Sweden) were used for column chromatography (CC). Semi-preparative HPLC experiments were carried on Agilent 1260 HPLC with Zorbax SB-C<sub>18</sub> column (Agilent, Palo Alto, CA, USA, 5  $\mu$ m, 9.4 mm  $\times$  150 mm). Fractions were monitored by TLC (GF 254, Qingdao Haiyang Chemical Factory, Qingdao, China), and spots were visualized by heating silica gel plates sprayed with vanillin and 10% H<sub>2</sub>SO<sub>4</sub> in EtOH.

#### 2.2. Culture and Fermentation of Fungal Material

The strain *B. exigua* was isolated from the healthy leaf tissue of *Fritillaria hupehensis* Hsiao. It was identified by Dr. Hong-Lian Ai (South-Central MinZu University). The ITS sequence of this strain is almost identical to the strain deposited in Genbank with accession number MT154621.1 (max identity: 100%, query cover: 100%). The fungal specimen is deposited at South-Central MinZu University, China. The strain was cultured on PDA medium for 8 days, and then was cut into small pieces to incubate solid rice medium to culture for further 30 days at 25 °C (50 g rice, 50 mL water, in each 500 mL Erlenmeyer flask, the total weight of rice was 17 kg).

#### 2.3. Extraction and Isolation

The rice fermentation product of *B. exigua* (17 kg) was extracted five times with methanol to yield a crude extract after evaporation under vacuum. The crude extract was partitioned between water and EtOAc to give an EtOAc layer. The extract (800 g) of the organic layer was subjected to column chromatography over silica gel (200–300 mesh, CH<sub>2</sub>Cl<sub>2</sub>-MeOH, step gradient elution 1:0, 20:1, 10:1, 5:1, 2:1, 1:1, and 0:1) to obtain six fractions (A–F). Fr. C (35.8 g) was fractionated by MPLC over an RP-18 silica gel column and eluted with MeOH-H<sub>2</sub>O (from 20:80 to 90:10, v/v) to yield five subfractions (C<sub>1</sub>–C<sub>5</sub>). Fraction C<sub>1</sub> (5.8 g) was separated on a silica gel column (200–300 mesh, CH<sub>2</sub>Cl<sub>2</sub>-MeOH, step gradient elution 10:1, 4:1, 2:1, and 1:1) to give four subfractions (Fr. C<sub>1-1</sub>–Fr. C<sub>1-4</sub>). Then, Fr. C<sub>1-1</sub> was purified by semi-preparative HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O from 25:75 to 35:65 over 30 min) to obtain compound 4 (2.0 mg, retention time ( $t_R$ ) = 12.0 min). Fr. C<sub>1-2</sub> was purified by semi-preparative HPLC (CH<sub>3</sub>CN/H<sub>2</sub>O from 28:72 to 36:64 over 28 min) to obtain compound 1 (5.1 mg,  $t_R$  = 15.6 min), compound 2 (7.2 mg,  $t_R$  = 17.8 min), and compound 3 (8.1 mg,  $t_R$  = 19.7 min).

*Boeremialane A* (1): Yellowish oil;  $[\alpha]_D^{27}$  102.5 (*c* 0.1, MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 205 (3.61), 230 (3.46) nm; <sup>1</sup>H NMR (600 MHz) and <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>), see Table 1; HRESIMS (positive) *m*/*z* 483.16220 [M + Na]<sup>+</sup> (calcd for C<sub>24</sub>H<sub>28</sub>O<sub>9</sub>Na<sup>+</sup>, 483.16255).

*Boeremialane B* (2): Yellowish oil;  $[\alpha]_D^{27}$  50.0 (*c* 0.1, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 210 (3.44), 235 (3.16) nm; <sup>1</sup>H NMR (600 MHz) and <sup>13</sup>C NMR (150 MHz, methanol- $d_4$ ), see Table 1; HRESIMS (positive) *m*/*z* 441.15195 [M + Na]<sup>+</sup> (calcd for C<sub>22</sub>H<sub>26</sub>O<sub>8</sub>Na<sup>+</sup>, 441.15199).

*Boeremialane C* (3): Yellowish oil;  $[\alpha]_D^{27}$  216.0 (*c* 0.1, MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 205 (3.75), 250 (3.80) nm; <sup>1</sup>H NMR (600 MHz) and <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>), see Table 1; HRESIMS (positive) *m*/*z* 441.15182 [M + Na]<sup>+</sup> (calcd for C<sub>22</sub>H<sub>26</sub>O<sub>8</sub>Na<sup>+</sup>, 441.15199).

*Boeremialane D* (4): Yellow amorphous powder;  $[\alpha]_D^{27}$  136.0 (*c* 0.1, MeOH); UV (MeOH)  $\lambda_{\text{max}}$  (log  $\varepsilon$ ) 240 (3.47) nm; <sup>1</sup>H NMR (600 MHz) and <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>), see Table 1; HRESIMS (positive) *m*/*z* 345.13064 [M + Na]<sup>+</sup> (calcd for C<sub>17</sub>H<sub>22</sub>O<sub>6</sub>Na<sup>+</sup>, 345.13086).

| No.                        | $\delta_{ m H}$ (1) $^a$   | $\delta_{\mathrm{C}}$ (1) $^{b}$ | $\delta_{ m H}$ (2) $^a$   | $\delta_{\mathrm{C}}$ (2) $^{b}$ |
|----------------------------|----------------------------|----------------------------------|----------------------------|----------------------------------|
| 1                          | 2.41 (tdd, 14.4, 5.0, 1.9) | 31.6, CH <sub>2</sub>            | 2.51 (tdd, 14.4, 5.0, 1.9) | 31.6, CH <sub>2</sub>            |
|                            | 2.24 (dt, 14.4, 4.1)       | , <u>-</u>                       | 2.28 (dt, 14.4, 3.5)       | , _                              |
| 2                          | 2.03 (dd, 12.3, 4.4)       | 36.2, CH <sub>2</sub>            | 2.07 (dd, 12.2, 4.4)       | 36.3, CH <sub>2</sub>            |
|                            | 1.27 (ddd, 12.3, 5.0, 4.1) | , <u> </u>                       | 1.29 (ddd, 12.2, 5.0, 3.5) | , <u> </u>                       |
| 3                          | 3.44 (td, 10.5, 4.4)       | 71.1, CH                         | 3.53 (td, 10.5, 4.4)       | 71.1, CH                         |
| 4                          | 1.63 (dq, 10.5, 6.7)       | 45.9, CH                         | 1.70 (dq, 10.5, 6.7)       | 46.0, CH                         |
| 5                          |                            | 42.2, C                          |                            | 42.3, C                          |
| 6                          | 3.80 (s)                   | 63.9, CH                         | 3.91 (s)                   | 64.1, CH                         |
| 7                          |                            | 62.9, C                          |                            | 62.8, C                          |
| 8                          |                            | 195.5, C                         |                            | 195.4, C                         |
| 9                          | 5.61 (d, 1.9)              | 121.8, CH                        | 5.66 (d, 1.9)              | 121.8, CH                        |
| 10                         |                            | 166.5, C                         |                            | 166.5, C                         |
| 11                         |                            | 73.9, C                          |                            | 74.2 <i>,</i> C                  |
| 12                         | 4.19 (d, 11.6)             | 65.6, CH <sub>2</sub>            | 4.17 (d, 11.6)             | 65.5, CH <sub>2</sub>            |
|                            | 3.76 (d, 11.6)             |                                  | 3.81 (d, 11.6)             |                                  |
| 13                         | 4.64 (d, 11.5)             | 69.3, CH <sub>2</sub>            | 4.83 (d, 11.7)             | 67.5, CH <sub>2</sub>            |
|                            | 4.59 (d, 11.5)             |                                  | 4.44 (d, 11.7)             |                                  |
| 14                         | 0.64 (s)                   | 18.3, CH <sub>3</sub>            | 1.03 (s)                   | 19.0, CH <sub>3</sub>            |
| 15                         | 1.16 (d, 6.7)              | 11.6, CH <sub>3</sub>            | 1.23 (d, 6.7)              | 11.6, CH <sub>3</sub>            |
| 1′                         |                            | 169.6, C                         |                            | 168.1, C                         |
| 2′                         |                            | 134.3, C                         |                            | 132.4, C                         |
| 3'                         |                            | 132.8, C                         | 7.37 (dd, 2.6, 1.3)        | 117.3, CH                        |
| 4'                         | 7.77 (dd, 6.4, 2.1)        | 130.3, CH                        |                            | 158.8, C                         |
| 5′                         | 7.62 (td, 6.4, 2.7)        | 129.7, CH                        | 7.00 (dd, 7.9, 2.6)        | 121.3, CH                        |
| 6'                         | 7.61 (td, 6.4, 2.1)        | 132.9, CH                        | 7.25 (t, 7.9)              | 130.5, CH                        |
| 7′                         | 7.59 (dd, 6.4, 2.7)        | 132.3, CH                        | 7.44 (dd, 7.9, 1.3)        | 121.8, CH                        |
| COOCH <sub>3</sub>         | 3.85 (s)                   | 53.5, CH <sub>3</sub>            |                            |                                  |
| <u>C</u> OOCH <sub>3</sub> |                            | 168.9, C                         |                            |                                  |

**Table 1.** <sup>1</sup>H and <sup>13</sup>C NMR Spectroscopic Data for **1** and **2** in Methanol- $d_4$  ( $\delta$  in ppm, *J* in Hz).

<sup>*a*</sup> Recorded at 600 MHz, <sup>*b*</sup> Recorded at 150 MHz.

#### 2.4. Quantum Chemical Calculations

The initial conformational analysis of compounds 1-4 was performed using the Monte Carlo search algorithm via the MMFF94 molecular mechanics force field [28], with the aid of the Spartan 16 program package that resulted in some relatively favorable conformations with an energy range of 3 kcal/mol above the global minimum. The minimum energy conformers of the resulting force field were optimized in vacuum with the M06-2X/def2-SVP level, and implemented in the Gaussian 09 software package by the Density functional theory [29]. At the same time, harmonic vibrational frequencies were also measured to confirm the lack of imaginary frequencies of the finally optimized conformers. These primary conformations were subjected to theoretical calculations of ECD utilizing timedependent density functional theory (TDDFT) calculations at the M06-2X/def2-SVP level in MeOH using the polarizable continuum model (PCM) solvent model. The energies, oscillator strengths, and rotational strengths of each conformation were determined with the Gaussian 09 software package. Theoretical calculations of ECD spectra for each part were then approximated by the Gaussian distribution. The final ECD spectrum of the individual conformers was summed up on the basis of the Boltzmann-weighed population contribution by the SpecDisv1.71 [30]. DFT GIAO <sup>13</sup>C NMR calculations were performed on the mPW1PW91/6-31 + G(d,p)//M06-2X/def2-SVP level of theory [31]. The solvent effect was accounted for by using methanol in the calculations to mimic the experimental conditions. The <sup>13</sup>C NMR chemical shifts in compound 1 were considered the average values of the same atoms in the different conformers. We took the relative Gibbs free energy

as the weighting factor and used the Boltzmann distribution to find the average values. The overall theoretical NMR data were analyzed using DP4+ probability [32].

#### 2.5. Nitric Oxide Production Inhibitory Assay

The anti-inflammatory effect of Raw264.7 macrophages was studied and cultured in Dulbecco's modified eagle medium (DMEM, HyClone, Logan, UT, USA) with 10% fetal bovine serum (FBS, PAN, Aidenbach, Germany) in a humidified incubator (5% CO<sub>2</sub>, 37 °C). RAW264.7 cells ( $5 \times 10^4$  cells/well) were seeded into a 96-well multiplate for 12 h. After 12 h of incubation, the cells were treated with LPS (1 µg/mL) and different concentrations of the tested compounds (1–4, 20 µM) for 18 h. A Griess reagent kit (Promega, Madison, WI, USA) was used to measure the amount of nitrite, a stable metabolite of Nitric Oxide (NO), in the supernatants. Briefly, 50 µL of each culture medium was added to a 96-well plate, and then the same volume of sulfanilamide solution was added. After incubation at room temperature for 5 min, 50 µL of N-1-naphthylethylenediamine dihydrochloride solution was added to all wells. The absorption at 540 nm was measured by a microplate reader after 10 min incubation at room temperature [33]. The IC<sub>50</sub> values were calculated by GraphPad Prism 6 software. Cell viability was determined with the MTT (3-[4,5-dimethylthiazol-2-yl]-2,5 diphenyl tetrazolium bromide) assay. Pyrrolidine dithiocarbamate (PDTC, Sigma–Aldrich, St Louis, MO, USA) was used as a positive control.

#### 3. Results and Discussion

Boeremialane A (1) was obtained as a yellowish oil, and the molecular formula of compound 1 was determined to be  $C_{24}H_{28}O_9$  from the HRESI mass spectrum ([M + Na]<sup>+</sup> data, found 483.16220, calcd. 483.16255). The <sup>1</sup>H and <sup>13</sup>C NMR data of compound 1 indicated the presence of two methyl groups ( $\delta_{\rm C}$  11.6 and 18.3), four methylene groups ( $\delta_{\rm C}$  31.6, 36.2, 65.6, and 69.3), eight methine groups ( $\delta_C$  71.1, 45.9, 63.9, 121.8, 130.3, 129.7, 132.9, and 132.3), one carbonyl ( $\delta_{\rm C}$  195.5), two ester carbonyls ( $\delta_{\rm C}$  169.6 and 168.9), three sp3 quaternary carbons ( $\delta_{C}$  42.2, 62.9, and 73.9), and three sp2 quaternary carbons ( $\delta_{C}$  166.5, 134.3, and 132.8) (Table 1, Figures S5–S10). In the HMBC spectrum (Figures 1 and 2), a singlet for the Me-14 at  $\delta_{\rm H}$  0.64 (3H, s, H-14) showed correlations to C-4 ( $\delta_{\rm C}$  45.9), C-6 ( $\delta_{\rm C}$  63.9), C-10 ( $\delta_{\rm C}$  166.5), and a sp<sup>3</sup> quaternary carbon at  $\delta_{\rm C}$  42.2 (C-5). This was very important for the establishment of the three C-C bonds of C-4, C-10, and C-6 with C-5. In addition, the HMBC spectrum showed correlations from H-1 ( $\delta_{\rm H}$  2.41 and 2.24) to C-5 and C-10, and the <sup>1</sup>H-<sup>1</sup>H COSY spectrum analysis (H-1/H-2/H-3/H-4/H-15) together with a characteristic oxygenated methine carbon ( $\delta_C$  71.1, C-3) determined a 1,2,3,3,4-pentasubstituted cyclohexane ring of compound 1. A 2-cyclohexen-1-one ring was inferred by the HMBC correlations from H-6 ( $\delta_{\rm H}$  3.80) to C-5, C-7, C-8, and C-10 and from H-9 ( $\delta_{\rm H}$  5.61) to C-5 and C-7, with the connection to the cyclohexane ring by the C-5/C-10 position on the basis of the HMBC correlations of H-1/C-9 and H-4/C-6 (Figure 2). The HMBC correlations from H-12 ( $\delta_{\rm H}$  4.19 and 3.76) to C-11 and C-13 and from H-13 ( $\delta_{\rm H}$  4.64 and 4.59) to C-11 and C-12 together with the downfield shifts of C-11 ( $\delta_C$  73.9), C-12 ( $\delta_C$  65.6), and C-13 ( $\delta_C$  69.3) indicated the existence of a highly oxidized propane group, which was linked to the position of C-7, as evidenced by the HMBC correlations from H-12 to C-7 and from H-13 to C-7. These data, as well as other HMBC correlations, suggested that unit A was a tetrol phaseolinone [34], which had been previously isolated from Macrophomina phaseolina.

For unit B, the <sup>1</sup>H NMR spectrum of compound **1** revealed the signals for four aromatic protons ( $\delta_{\rm H}$  7.77, 7.62, 7.61, and 7.59). In the <sup>1</sup>H-<sup>1</sup>H COSY spectrum, a disubstituted benzene ring was identified by four continuous aromatic protons at  $\delta_{\rm H}$  7.77 (1H, d, H-4'), 7.62 (1H, t, H-5'), 7.61 (1H, t, H-6'), and 7.59 (1H, d, H-7'), and two aromatic doublets and two aromatic triplets with the same coupling constant (J = 6.4 Hz) indicated an ortho-disubstituted benzene group. A carbomethoxy substituent in the benzene ring was identified by the HMBC correlations from H-4' to the carbomethoxy substituent ( $\delta_{\rm C}$  168.9). Similarly, an ester carbonyl carbon ( $\delta_{\rm C}$  169.6) was positioned at C-2' based on observed cross-peaks at H-7'/C-1'. The HMBC correlations from H-13 to the ester carbonyl carbon

(C-1') confirmed the 13,1'-ester linkage of the two substructures. Thus, the planar structure of compound **1** was elucidated as shown in Figure 1.





<sup>1</sup>H-<sup>1</sup>H COSY

3

ОH

The configuration of boeremialane A (1) was established by ROESY experiments and quantum chemistry calculations. The ROESY correlations of H-3/H<sub>3</sub>-15, H-3/H<sub>3</sub>-14, H-6/H<sub>3</sub>-14, and H-6/H<sub>3</sub>-15 suggested that they were  $\beta$ -oriented (Figure 3). In addition, to determine the configuration of C-11 in the flexible bond, nuclear magnetic resonance (NMR) calculations of two epimers, 11*S*-1 and 11*R*-1, were carried out. The two epimers were subjected to a strict conformational screening procedure; then, the NMR chemical shifts were calculated at the mPW1PW91/6-31 + G(d,p)//M06-2X/def2-SVP level of theory with the PCM solvent in methanol. The DP4+ analysis identified 11*S*-1 as the most likely structure of compound 1 with 100.00% DP4+ probability (all data) (Figure 4 and Table S1). Finally, the absolute configuration of compound 1 was resolved by comparing the calculated and experimental ECD data using time-dependent density-functional theory (TDDFT). The theoretical spectrum of compound 1 showed an excellent fit with the experimental plot recorded in MeOH (Figures 5 and S1), which supported an absolute configuration of 3*R*, 4*R*, 5*R*, 6*R*, 7*S*, and 11*S*. Thus, the structure of compound 1 was determined, and it was named boeremialane A.

H C HMBC

4

Boeremialane B (**2**) was obtained as a yellowish oil, and the molecular formula was determined to be  $C_{22}H_{26}O_8$  from the HRESI mass spectrum data ([M + Na]<sup>+</sup>, found 441.15195, calcd. 441.15199). The <sup>1</sup>H and <sup>13</sup>C NMR data of compound **2** indicated the presence of two methyl groups ( $\delta_C$  11.6 and 19.0), four methylene groups ( $\delta_C$  31.6, 36.3, 65.6, and 67.5), eight methine groups ( $\delta_C$  71.1, 46.0, 64.1, 121.8, 117.3, 121.3, 130.5, and 121.8), one carbonyl ( $\delta_C$  195.4), one ester carbonyl ( $\delta_C$  168.1), three sp3 quaternary carbons ( $\delta_C$  42.3, 62.8, and 74.2), and three sp2 quaternary carbons ( $\delta_C$  166.5, 132.4, and 158.8) (Table 1 and Figures S12–S17). The <sup>1</sup>H and <sup>13</sup>C NMR data of compound **2** were structurally similar to those of compound **1**, except for the absence of a carbomethoxy group at  $\delta_C$  168.9 and 53.5 in compound **1** and the presence of an additional hydroxy group in compound **2**. The hydroxyl group at C-4' was evident from the downfield shift of C-4' ( $\delta_C$  158.8) as well as the HMBC

correlations from H-13 to the sp2 quaternary carbon (C-4') (Figure 2). The relative configuration of compound **2** was the same as that found in compound **1** based on the ROESY correlations of H-3/H<sub>3</sub>-15, H-3/H<sub>3</sub>-14, H-6/H<sub>3</sub>-14, and H-6/H<sub>3</sub>-15 (Figure 3). Finally, the absolute configuration of **2** was determined by ECD calculations on the M06-2X/def2-SVP (IEFPCM, MeOH) level of theory. The experimental ECD spectrum of compound **2** fits well with the calculated spectrum of 3*R*, 4*R*, 5*R*, 6*R*, 7*S*, and 11*S*-**2** (Figures 5 and S2). Therefore, the structure of compound **2** was determined, and it was given the name boeremialane B.



Figure 3. Key ROESY correlations of compounds 1 and 4.



Figure 4. qccNMR coupled with DP4+ probability analysis of compound 1.



**Figure 5.** Experimental and calculated ECD spectra of compounds **1**–**4** at the M06-2X/def2-SVP level in methanol.

Boeremialane C (3) has a molecular formula of  $C_{35}H_{40}O_8$  according to its HRESIMS ion at m/z 441.15182 [M + Na]<sup>+</sup> (calcd for  $C_{22}H_{26}O_8$ Na, 441.15199). The <sup>1</sup>H and <sup>13</sup>C NMR data of 3 (Table 2 and Figures S19–S24) were structurally similar to those of compound 2, except for the presence of a para-substituted benzene ring of the benzoate unit. This difference was supported by the HMBC correlations from H-3' (7') ( $\delta_H$  7.81) to C-1' ( $\delta_C$  168.4) and C-5' ( $\delta_C$  165.9) along with the COSY correlations between H-3' (7')/H-4' (6') ( $\delta_H$  6.74) (Figure 2). The ECD spectrum of compound 3 was similar to that of compound 1 with negative exciton coupling at 211 nm and positive exciton coupling at 241 nm (Figure S33), which indicated that they share the identical absolute configuration. Therefore, the absolute configuration of 3 was defined as 3*R*, 4*R*, 5*R*, 6*R*, 7*S*, and 11*S*. This presumption was confirmed by comparative analysis of calculated and experimental ECD spectra. The experimental ECD spectrum of 3 fits well with the calculated spectrum of 3*R*, 4*R*, 5*R*, 6*R*, 7*S*, and 11*S*-3 (Figures 5 and S3). Thus, the structure of **3** was determined and named boeremialane C.

**Table 2.** <sup>1</sup>H and <sup>13</sup>C NMR Spectroscopic Data for **3** and **4** in Methanol- $d_4$  ( $\delta$  in ppm, J in Hz).

| No.                        | $\delta_{ m H}$ (3) $^a$   | $\delta_{ m C}$ (3) $^b$ | $\delta_{ m H}$ (4) $^a$   | $\delta_{\mathrm{C}}$ (4) $^{b}$ |
|----------------------------|----------------------------|--------------------------|----------------------------|----------------------------------|
| 1                          | 2.50 (tdd, 14.4, 4.8, 1.8) | 31.6, CH <sub>2</sub>    | 2.41 (tdd, 14.6, 5.0, 1.8) | 31.2, CH <sub>2</sub>            |
|                            | 2.28 (dt, 14.4, 3.5)       |                          | 2.39 (dt, 14.6, 4.0)       |                                  |
| 2                          | 2.07 (dd, 12.5, 4.4)       | 36.3, CH <sub>2</sub>    | 2.15 (dd, 12.3, 4.4)       | 32.5, CH <sub>2</sub>            |
|                            | 1.29 ddd, 12.5, 4.8,3.5    |                          | 1.40 (ddd,12.3, 5.0, 4.0)  |                                  |
| 3                          | 3.53 (td, 10.6, 4.4)       | 71.1, CH                 | 4.91 (td, 10.5, 4.4)       | 74.2, CH                         |
| 4                          | 1.69 (dq, 10.6, 6.8)       | 45.9, CH                 | 1.95 (dq, 10.5, 6.8)       | 43.1, CH                         |
| 5                          | -                          | 42.2, C                  | -                          | 42.4, C                          |
| 6                          | 3.89 (s)                   | 64.1, CH                 | 3.63 (s)                   | 65.5, CH                         |
| 7                          |                            | 62.8, C                  |                            | 62.1, C                          |
| 8                          |                            | 195.3, C                 |                            | 194.2, C                         |
| 9                          | 5.65 (d, 1.8)              | 121.8, CH                | 5.75 (d, 1.8)              | 121.7, CH                        |
| 10                         |                            | 166.4, C                 |                            | 165.4, C                         |
| 11                         |                            | 74.3, C                  |                            | 59.0, C                          |
| 12                         | 4.17 (d, 11.6)             | 65.5, CH <sub>2</sub>    | 2.87 (d, 5.1)              | 48.3, CH <sub>2</sub>            |
|                            | 3.81 (d, 11.6)             |                          | 2.66 (d, 5.1)              |                                  |
| 13                         | 4.82 (d, 11.7)             | 67.2, CH <sub>2</sub>    | 4.07 (d, 12.3)             | 62.0, CH <sub>2</sub>            |
|                            | 4.39 (d, 11.7)             |                          | 3.72 (d, 12.3)             |                                  |
| 14                         | 1.02 (s)                   | 19.1, CH <sub>3</sub>    | 1.26 (s)                   | 18.6, CH <sub>3</sub>            |
| 15                         | 1.22 (d, 6.8)              | 11.6, CH <sub>3</sub>    | 1.14 (d, 6.8)              | 11.4, CH <sub>3</sub>            |
| 1'                         |                            | 168.4, C                 |                            |                                  |
| 2′                         |                            | 120.5, C                 |                            |                                  |
| 3'                         | 7.81 (d, 8.8)              | 133.1, CH                |                            |                                  |
| 4'                         | 6.74 (d, 8.8)              | 116.8, CH                |                            |                                  |
| 5'                         |                            | 165.9, C                 |                            |                                  |
| 6'                         | 6.74 (d, 8.8)              | 116.8, CH                |                            |                                  |
| 7′                         | 7.81 (d, 8.8)              | 133.1, CH                |                            |                                  |
| <u>C</u> H <sub>3</sub> CO |                            |                          | 2.06 (s)                   | 21.0, CH <sub>3</sub>            |
| $CH_3\underline{C}O$       |                            |                          |                            | 172.4, C                         |

<sup>*a*</sup> Recorded at 600 MHz, <sup>*b*</sup> Recorded at 150 MHz.

Boeremialane D (4) was obtained as a yellow amorphous powder, and the molecular formula,  $C_{17}H_{22}O_6$ , was determined by (+)-HRESIMS, which showed an  $[M + Na]^+$  ion at m/z 345.13064 (calcd for  $C_{17}H_{22}O_6Na$ : 345.13086). The <sup>1</sup>H and <sup>13</sup>C NMR data of compound 4 indicated the presence of three methyl groups ( $\delta_C$  18.6, 11.4, and 21.0), four methylene groups ( $\delta_C$  31.2, 32.5, 48.3, and 62.0), four methine groups ( $\delta_C$  74.2, 43.1, 65.5, and 121.7), one carbonyl ( $\delta_C$  194.2), one ester carbonyl ( $\delta_C$  172.4), three sp3 quaternary carbons ( $\delta_C$  42.4, 62.1, and 59.0), and one sp2 quaternary carbon ( $\delta_C$  165.4) (Table 2 and Figures S26–S31). The <sup>1</sup>H and <sup>13</sup>C NMR data of compound 4 were structurally similar to those of phaseolinone [35], except for the appearance of an additional acetyl group in compound 4. The attachment of this acetyl group at C-3 was supported by the HMBC correlation from the H-3 to the ester carbonyl carbons ( $\delta_C$  172.4). The relative configuration of compound 4 was the same as that found in compound 1 based on the ROESY

correlations of H-3/H<sub>3</sub>-15, H-3/H<sub>3</sub>-14, H-6/H<sub>3</sub>-14, and H-6/H<sub>3</sub>-15 (Figure 3). Similar to compound **3**, the tendencies of the ECD curves of compounds **4** and **1** with negative exciton coupling at 225 nm and positive exciton coupling at 250 and 337 nm were relatively consistent (Figure S33, Supporting Information), which indicated that they have an the identical absolute configuration. In addition, the identity of the measured ECD and calculated ECD spectrum of compound **4** further confirmed this conclusion (Figures 5 and S4). Therefore, the structure of compound **4** was determined, and it was given the name boeremialane D.

All compounds were evaluated for their inhibition of NO production in LPS-treated RAW264.7 macrophages. As a result, compound 4 showed certain inhibitory activity with IC<sub>50</sub> values of 8.62  $\mu$ M, which was more potent than the positive control, pyrrolidinedithio-carbamate (IC<sub>50</sub> = 23.1  $\mu$ M) (Figure 6).



**Figure 6.** Effects of compound 4 isolated from *B. exigua* on NO production in LPS-stimulated RAW 264.7 macrophages. Cells were pretreated with the indicated concentrations of the isolates for 1 h and then stimulated with LPS (1  $\mu$ g/mL) for 24 h. The NO levels in the culture medium were measured by the MTT assay. ### *p* < 0.0001 vs. control. \*\*\* *p* < 0.0001 vs. LPS-stimulated group.

#### 4. Conclusions

In summary, the structures of four new eremophilane-type sesquiterpenes (1–4) were unambiguously determined by analyses of their HRESI and NMR spectroscopic data, with the absolute configuration being determined by quantum chemistry calculations. Boeremialanes A–C (1–3) are highly oxygenated eremophilanes with the benzoate unit attached at the C-13 position, and only one such natural compound has been discovered to date [35]. Compound 4 exhibited potent inhibition against NO production in LPS-activated RAW 264.7 macrophages, suggesting that it is a new chemical entity for anti-inflammatory effects. The present research provides new insights into understanding the structural diversity and interesting biological activities of eremophilane sesquiterpenes.

Supplementary Materials: The following are available online at https://www.mdpi.com/article/ 10.3390/jof8050492/s1. Table S1. DP4+ analysis results of 1a (Isomer 1) and 1b (Isomer 2). Table S2. Experimental and calculated <sup>13</sup>C NMR chemical shifts of **1a** and **1b**. Table S3. Important thermodynamic parameters of the M06-2X/def2-SVP optimized conformers of 1a in the gas phase. Table S4. Conformational analysis of the M06-2X/def2-SVP optimized conformers of 1a in the gas phase (T = 298.15 K). Table S5. Important thermodynamic parameters of the M06-2X/def2-SVP optimized conformers of 2 in the gas phase. Table S6. Conformational analysis of the M06-2X/def2-SVP optimized conformers of 2 in the gas phase (T = 298.15 K). Table S7. Important thermodynamic parameters of the M06-2X/def2-SVP optimized conformers of 3 in the gas phase. Table S8. Conformational analysis of the M06-2X/def2-SVP optimized conformers of 3 in the gas phase (T = 298.15 K). Table S9. Important thermodynamic parameters of the M06-2X/def2-SVP optimized conformers of 4 in the gas phase. Table S10. Conformational analysis of the M06-2X/def2-SVP optimized conformers of 4 in the gas phase (T = 298.15 K). Table S11. Cartesian coordinates for the low-energy optimized conformers of 1-4 at M06-2X/def2-SVP level. Figure S1. Experimental ECD spectra and calculated ECD spectra of 1. Figure S2. Experimental ECD spectra and calculated ECD spectra of 2. Figure S3. Experimental ECD spectra and calculated ECD spectra of 3. Figure S4. Experimental ECD spectra and calculated

ECD spectra of 4. Figure S5. <sup>1</sup>H NMR spectrum of 1 in CD<sub>3</sub>OD. Figure S6. <sup>13</sup>C NMR spectrum of 1 in CD<sub>3</sub>OD. Figure S7. HSQC spectrum of 1 in CD<sub>3</sub>OD. Figure S8. HMBC spectrum of 1 in CD<sub>3</sub>OD. Figure S9. COSY spectrum of 1 in CD<sub>3</sub>OD. Figure S10. ROESY spectrum of 1 in CD<sub>3</sub>OD. Figure S11. HRMS spectrum of 1. Figure S12. <sup>1</sup>H NMR spectrum of 2 in CD<sub>3</sub>OD. Figure S13. <sup>13</sup>C NMR spectrum of 2 in CD<sub>3</sub>OD. Figure S16. COSY spectrum of 2 in CD<sub>3</sub>OD. Figure S17. HMBC spectrum of 2 in CD<sub>3</sub>OD. Figure S16. COSY spectrum of 2 in CD<sub>3</sub>OD. Figure S17. Roesy spectrum of 2 in CD<sub>3</sub>OD. Figure S18. HRMS spectrum of 2. Figure S19. <sup>1</sup>H NMR spectrum of 3 in CD<sub>3</sub>OD. Figure S20. <sup>13</sup>C NMR spectrum of 3 in CD<sub>3</sub>OD. Figure S21. HSQC spectrum of 3 in CD<sub>3</sub>OD. Figure S22. HMBC spectrum of 3 in CD<sub>3</sub>OD. Figure S25. HRMS spectrum of 3. Figure S26. <sup>1</sup>H NMR spectrum of 4 in CD<sub>3</sub>OD. Figure S27. <sup>13</sup>C NMR spectrum of 4 in CD<sub>3</sub>OD. Figure S30. COSY spectrum of 4 in CD<sub>3</sub>OD. Figure S31. Roesy spectrum of 4 in CD<sub>3</sub>OD. Figure S32. HRMS spectrum of 4 in CD<sub>3</sub>OD. Figure S33. CD spectrum of 4 in CD<sub>3</sub>OD. Figure S32. HRMS spectrum of 4 in CD<sub>3</sub>OD. Figure S33. CD spectrum of 4 in CD<sub>3</sub>OD. Figure S33. CD spectrum of 4 in CD<sub>4</sub>OD.

**Author Contributions:** H.-L.A. contributed to isolation and cultivation of fungi. X.L. contributed to the extraction, isolation, and identification of the samples. K.Y. contributed to the isolation of the samples. M.-X.W. and R.H. contributed to the bioactivity tests. B.-B.S. contributed to the quantum chemical calculation and the preparation of the manuscript. H.-L.A. and Z.-H.L. designed the experiments. All authors have read and agreed to the published version of the manuscript.

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### Article Exploring Verrucosidin Derivatives with Glucose-Uptake-Stimulatory Activity from *Penicillium cellarum* Using MS/MS-Based Molecular Networking

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**Abstract:** Under the guidance of LC-MS/MS-based molecular networking, seven new verrucosidin derivatives, penicicellarusins A-G (**3–9**), were isolated together with three known analogues from the fungus *Penicillium cellarum*. The structures of the new compounds were determined by a combination of NMR, mass and electronic circular dichroism spectral data analysis. The absolute configuration of penicyrone A (**10**) was corrected based on X-ray diffraction analyses. Bioactivity screening indicated that compounds **1**, **2**, and **4** showed much stronger promising hypoglycemic activity than the positive drug (rosiglitazone) in the range of 25–100  $\mu$ M, which represents a potential new class of hypoglycemic agents. Preliminary structure-activity relationship analysis indicates that the formation of epoxy ring on C<sub>6</sub>-C<sub>7</sub> in the structures is important for the glucose uptake-stimulating activity. The gene cluster for the biosynthesis of **1–12** is identified by sequencing the genome of *P. cellarum* and similarity analysis with the gene cluster of verrucosidins in *P. polonicum*.

Keywords: verrucosidins; Penicillium cellarum; glucose uptake-stimulating activity; molecular networking

#### 1. Introduction

Fungi have attracted much attention of chemists and biologists due to their potential in producing bioactive secondary metabolites with diverse chemical skeletons [1,2]. Verrucosidins produced by *Penicillium* strains belong to a family of highly reducing fungal polyketides that are characterized with 2*H*-pyran-2-one and dicyclic fused 3,6dioxabicyclo[3.1.0]hexane moieties interlinked by a polyene chain [3–6]. They have been reported to display important bioactivities, such as antitumor [7,8], antivirus [9], antibacterial [3,10], and neurological activities [11]. In order to explore in depth this kind of compounds with unique chemical structure and diverse biological activities, we explored *Penicillium* strains collected in our lab searching for verrucosidin analogues.

Molecular networking analyses include acquisition and similarity comparison of mass spectral fragment data, cluster grouping and visualization [12,13]. More recently, the MS/MS-based molecular networking has been demonstrated to be powerful in dereplicating known natural products from a targeted extract and searching for new analogues with

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). the specific skeleton.Examples included thermoactinoamide A with moderate antiproliferative activity from *Thermoactinomyces vulgaris* DSM 43016 [14], suffranidines A-C with significant neuritogenic activity from *Flueggea suffruticosa* [15], and trilliumoside D with strong cytotoxicity against MOLT-4 cell lines from *Trillium tschonoskii* maxim [16]. To explore new reducing fungal polyketides from fungi, we applied the LC-MS/MS-based molecular networking for new verrucosidins from *Penicillium* strains using deoxyverrucosidin that was deposited in our compound library as the probing agent.

The EtOAc extracts of *Penicillium* strains fermented on solid culture were first analyzed by high performance liquid chromatography (HPLC) with UV diode array detection (DAD) to find fungi potentially producing verrucosidin derivatives (Figure S1). In this work, the target isolation was further conducted on the selected fungus *P. cellarum* YM1 under the guidance of LC-MS/MS-based molecular networking (Figure S2). As a result, seven new verrucosidins, penicicellarusins A-G (**3–9**), as well as five known verrucosidins (compounds **1**, **2** and **10–12**) were identified from the culture of *P. cellarum* YM1 (Figure 1). The isolated compounds were evaluated for anti-bacterial effect, cytotoxicity, and glucose uptake-stimulating activities. This work described the details of the isolation, structure elucidation, and biological activities of the isolated secondary metabolites from *P. cellarum* YM1.



Figure 1. Structures of compounds 1–12.

#### 2. Materials and Methods

#### 2.1. General

NMR spectral data were obtained with an AVANCE-500 spectrometer (Bruker, Bremen, Germany) (CDCl<sub>3</sub>,  $\delta_{\rm H}$  7.26/ $\delta_{\rm C}$  77.16, and CD<sub>3</sub>OD,  $\delta_{\rm H}$  3.30/ $\delta_{\rm C}$  49.9). High-resolution electrospray ionization mass spectrometry (HRESIMS) data and LC-MS/MS measurements were procured on a Q Exactive Orbitrap mass spectrometer (Thermo Fisher Scientific, Waltham, MA, USA) coupled with a LC-30AD series UPLC (Shimadzu, Kyoto, Japan) equipped with an ACQUITY BEH C18 column (Waters, MA, USA; 2.1 × 100 mm, 1.7 µm). UV data, optical rotation, and IR data, were recorded on Genesys-10S UV-Vis spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA), MCP 200 Automatic Polarimeter (Anton Paar, Graz, Austria) and IS5 FT-IR spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA) respectively. The CD spectra were measured by a J-815 spectropolarimeter (JASCO, Tsukuba, Japan). Silica gel (Qingdao Haiyang Chemical Co., Ltd., Qingdao, China, 200–300 mesh), Sephadex LH-20 (GE Healthcare, Uppsala, Sweden), and ODS (50 µm, YMC Co., Ltd., Kyoto, Japan) were used for column chromatography. Semi-preparative

HPLC was performed on an Agilent 1200 HPLC system equipped with a DAD UV–vis spectrometric detector (Agilent Technologies Inc., CA, USA) using a reversed-phase Eclipse XDB-C8 column (5  $\mu$ m, 9.4  $\times$  250 mm, Agilent) with a flow rate of 2.0 mL/min and a CHIRALPAK IC column (5  $\mu$ m, 4.6  $\times$  250 mm, Daicel, Osaka, Japan) with a flow rate of 0.8 mL/min. For gas chromatography-mass spectrometry (GC-MS) a Shimadzu GCMS-QP2010 Ultra system (Shimadzu, Kyoto, Japan) was used.

#### 2.2. Fungal Material

The strain *Penicillium* sp. YM1 used in this work was isolated from mildewed corn, collected in China, in September 2017. The sequences of RPB2 (MT898427), Ben A (MT898428), and CaM (MT898429) of our fungus were deposited in GenBank and employed for phylogenetic analysis. The fungus is similar to *P. cellarum* in forming hyaline, roughened stipes with bearing terminal terverticillate penicillii; and producing typically two rami per stipe, which are usually hyaline, roughened, appressed or only narrowly divergent; and having four to five metulae typically per ramus, which are usually hyaline, roughened, appressed or only narrowly divergent; and having four to five metulae typically per ramus, which are usually hyaline, roughened, appressed or only narrowly divergent as well; and producing typically six to eight per metula phialides, which are usually hyaline, smooth, ampulliform, slender; and with pale green conidia that were typically smooth, globose to sometimes subglobose [17,18]. The phylogenetic analyses based on a combined dataset of RPB2, Ben A, and CaM was conducted by using PhyML v.3.0, with 1000 bootstrap replicates presented that our taxon grouped with the other taxa of *P. cellarum* with strongly maximum likelihood bootstrap proportions value (Figure S3). In consideration of the morphological features and phylogeny, this fungus was identified as *P. cellarum* YM1.

#### 2.3. Fermentation and Extraction

*P. cellarum* was cultured on slant of PDA at 28 °C for 10 days. To prepare inoculum, the spores of the strain on the plate were collected with 0.01% sterile solution of Tween 80 (BTL, Warsaw, Poland) and adjusted to  $1 \times 10^6$  CFU/mL. A large-scale fermentation was done in  $40 \times 500$  mL Fernbach culture flasks containing 80 g of rice in 110 mL of distilled water (each with 0.5 mL of spore suspension) and incubated at 28 °C for 3 weeks. The fermented rice substrates were extracted with EtOAc (3 × 4 L) with the aid of ultrasonication, and the organic solvent was filtered and evaporated to dryness under vacuum to afford the crude extract (33.7 g).

#### 2.4. LC-MS/MS and Molecular Metworking Analysis

LC-MS/MS (MS/MS scan 100–1500 Da) was performed with a Waters ACQUITY BEH  $C_{18}$  column (2.1 × 100 mm, 1.7 µm particles) eluted by MeCN–H<sub>2</sub>O (0.005% TFA) (0.01–8 min 5–80% 8–12 min 80–99%, 12–15 min 99%) in a gradient manner. All the MS/MS data files were converted to ".mzML" format files using MSConver software and uploaded on the GNPS Web platform (http://gnps.ucsd.edu (accessed on 6 October 2021)) for MN analysis using Classic mode. For the network creation, a parent mass tolerance of 0.02 Da and a fragment ion tolerance of 0.05 Da were applied. The generated molecular network was visualized in Cytoscape 3.8.2 (www.cytoscape.org (accessed on 6 October 2021)) and guided the isolation of 1–12. The MS/MS molecular network can be browsed and downloaded on the GNPS Web site with the following link: https://gnps.ucsd.edu/ProteoSAFe/status.jsp?task=8716192add914a1fb3bd8f469f7d2d81 (accessed on 6 October 2021).

#### 2.5. Isolation and Characterization Data

The EtOAc fraction was subjected to a silica gel column chromatography (CC) eluting witH-N-hexane/ether-ethyl acetate (v/v, 100:0, 100:1, 100:2, 100:4, 100:10) and dichloromethane/ methanol (v/v, 100:0, 100:1, 100:2, 100:4, 100:8, 100:12, 100:20, 0:100) to give 13 fractions (PC.1–PC.13). Fractions PC.6, PC.8, and PC.12 containing secondary metabolites with similar UV spectra were selected for further purification.

Fraction PC.6 (1.5 g) was further separated on silica gel column by a gradient elution with methanol-dichloromethane to give 25 fractions (PC.6-1–PC.6-25). PC.6–8 (60 mg) was purified finally by RP-HPLC with acetonitrile-water (63:37) to give 1 (13.5 mg,  $t_R$  42.3 min) and 2 (6.6 mg,  $t_R$  31.5 min). Compound 12 (44.5 mg) was obtained from subfractions PC.6–11 (65 mg) by Sephadex LH-20 chromatography eluting with methanol. Compounds 7 (8.6 mg,  $t_R$  31.1 min), 8 (9.5 mg,  $t_R$  40.5 min), and 9 (1.6 mg,  $t_R$  42.5 min) were obtained from PC.6–20 (75 mg) by RP-HPLC using 86% acetonitrile in acidic water (0.005% TFA).

Fraction PC.8 (3.5 g) was separated on an ODS column using a gradient elution with methanol (35%, 55%, 70%, and 100%) in acidic water (0.005%TFA) to afford 15 subfractions (PC.8-1–PC.8–15). Compounds **5** (14.2 mg) and **6** (12.8 mg) were obtained from subfractions PC.8–9 and PC.8–10 by Sephadex LH-20 chromatography eluting with methanol, respectively.

Fraction PC.12 (4.3 g) eluted with CH<sub>2</sub>Cl<sub>2</sub>-acetone (v/v 20:1) was first separated by ODS using a gradient of increasing methanol (35%, 55%, 70%, and 100%) in water to afford 21 subfractions (PC.12-1–PC.12-21). Compounds **3** (2.5 mg,  $t_R$  62.5 min) and 4 (3.5 mg,  $t_R$  30.5 min) were yielded from PC.12-15 (35 mg) by RP-HPLC using 23% acetonitrile in acidic water (0.005% TFA). Subfractions PC.12-6 (60 mg) was purified by RP-HPLC using 27% acetonitrile in water to afford a mixture of **10** and **11** (35.0 mg,  $t_R$ 28.3 min). Enantioseparation of the mixture was carried out on CHIRALPAK IC using isopropanol/*n*-hexane (15:85) as mobile phase to afford **10** (10.0 mg,  $t_R$  21.5 min) and **11** (11.5 mg,  $t_R$  23.5 min).

*Penicicellarusin A* (3). light yellow oil,  $[\alpha]_D^{25}$  + 55.6 (c 0.1 MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 240 (3.11), 302 (1.24) nm; IR (neat)  $v_{max}$  3429, 2972, 2931, 1703, 1574, 1450, 1378, 1210, 1042, 811 cm<sup>-1</sup>; Positive HRESIMS: *m/z* 455.2041 [M+Na]<sup>+</sup> (calcd. for C<sub>24</sub>H<sub>32</sub>O<sub>7</sub>Na, 455.2040). <sup>1</sup>H-NMR and <sup>13</sup>C-NMR, see Table 1.

| Pos. |              | 3                         |                  | 4                         | Pos. |              | 3                         |                  | 4                         |
|------|--------------|---------------------------|------------------|---------------------------|------|--------------|---------------------------|------------------|---------------------------|
|      | $\delta_{C}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) |      | $\delta_{C}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) |
| 1    | 167.5        |                           | 166.5            |                           | 14   | 68.7         |                           | 68.7             |                           |
| 2    | 111.6        |                           | 89.5             | 5.66 s                    | 15   | 78.4         | 4.09 q (6.8)              | 78.4             | 4.09 q (6.8)              |
| 3    | 170.2        |                           | 173.2            |                           | 16   | 10.4         | 2.04 s                    |                  | -                         |
| 4    | 112.2        |                           | 109.9            |                           | 17   | 9.7          | 2.12 s                    | 8.9              | 2.07 s                    |
| 5    | 157.3        |                           | 159.3            |                           | 18   | 15.7         | 1.44 s                    | 15.6             | 1.44 s                    |
| 6    | 62.2         |                           | 62.2             |                           | 19   | 59.6         | 4.36 d (12.2)             | 59.6             | 4.36 d (12.2)             |
| 7    | 64.6         | 3.81 s                    | 64.5             | 3.81 s                    |      |              | 4.41 d (12.2)             |                  | 4.40 d (12.2)             |
| 8    | 133.5        |                           | 133.5            |                           | 20   | 18.7         | 1.98 s                    | 18.7             | 1.98 s                    |
| 9    | 134.9        | 5.98 brs                  | 134.9            | 5.98 brs                  | 21   | 22.1         | 1.40 s                    | 22.1             | 1.40 s                    |
| 10   | 135.6        |                           | 135.6            |                           | 22   | 13.8         | 1.48 s                    | 13.8             | 1.48 s                    |
| 11   | 133.9        | 5.60 brs                  | 133.9            | 5.60 brs                  | 23   | 19.2         | 1.23 d (6.8)              | 19.2             | 1.23 d (6.8)              |
| 12   | 81.4         |                           | 81.4             |                           | 24   | 61.3         | 3.91 s                    | 57.4             | 3.92 s                    |
| 13   | 68.7         | 3.60 s                    | 68.7             | 3.59 s                    |      |              |                           |                  |                           |

**Table 1.** <sup>1</sup>H and <sup>13</sup>C-NMR Data for compounds **3–4** in CD<sub>3</sub>OD.

*Penicicellarusin B* (4). light yellow oil,  $[\alpha]_D^{25}$  + 51.0 (c 0.1 MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 241 (3.35), 297 (1.50) nm; IR (neat)  $v_{max}$  3420, 2971, 2931, 1700, 1572, 1450, 1377, 1209, 1054, 812 cm<sup>-1</sup>; Positive HRESIMS: m/z 441.1890 [M+Na]<sup>+</sup> (calcd. for C<sub>23</sub>H<sub>30</sub>O<sub>7</sub>Na, 441.1884). <sup>1</sup>H-NMR and <sup>13</sup>C-NMR, see Table 1.

*Penicicellarusin* C (5). light yellow oil,  $[\alpha]_D^{25}$  + 45.0 (c 0.1 MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 236 (3.56), 303 (2.57) nm; IR (neat)  $v_{max}$  3410, 2974, 2930, 1685, 1560, 1450, 1378, 1224, 1089, 1043, 812 cm<sup>-1</sup>; Positive HRESIMS: *m/z* 443.2047 [M+Na]<sup>+</sup> (calcd. for C<sub>23</sub>H<sub>32</sub>O<sub>7</sub>Na, 443.2040). <sup>1</sup>H-NMR and <sup>13</sup>C-NMR, see Table 2.

| Pos. | Verru            | cisidinol <sup>a,c</sup>  |                  | 5 <sup>a</sup>            |                  | 5 <sup>b</sup>            |                  | 6 <sup>b</sup>            |
|------|------------------|---------------------------|------------------|---------------------------|------------------|---------------------------|------------------|---------------------------|
|      | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) |
| 1    | 165.0            |                           | 165.5            |                           | 166.1            |                           | 166.6            |                           |
| 2    | 110.4            |                           | 110.2            |                           | 109.4            |                           | 88.5             | 5.60 s                    |
| 3    | 169.2            |                           | 170.4            |                           | 170.3            |                           | 174.3            |                           |
| 4    | 111.8            |                           | 112.7            |                           | 112.4            |                           | 111.5            |                           |
| 5    | 159.7            |                           | 160.9            |                           | 160.6            |                           | 163.8            |                           |
| 6    | 78.8             |                           | 79.1             |                           | 78.9             |                           | 81.4             |                           |
| 7    | 79.8             | 4.61 s                    | 79.3             | 4.73 s                    | 79.8             | 4.34 s                    | 80.4             | 4.35 s                    |
| 8    | 133.9            |                           | 134.1            |                           | 132.5            |                           | 133.9            |                           |
| 9    | 134.3            | 5.87 s                    | 134.5            | 5.91 brs                  | 135.1            | 5.69 brs                  | 136.5            | 5.71 brs                  |
| 10   | 134.4            |                           | 134.6            |                           | 135.2            |                           | 136.6            |                           |
| 11   | 133.0            | 5.43 s                    | 133.0            | 5.43 brs                  | 131.4            | 5.42 brs                  | 132.8            | 5.43 brs                  |
| 12   | 80.1             |                           | 80.3             |                           | 80.7             |                           | 82.1             |                           |
| 13   | 67.5             | 3.43 s                    | 67.6             | 3.43 s                    | 67.3             | 3.54 s                    | 68.8             | 3.55 s                    |
| 14   | 67.4             |                           | 67.5             |                           | 67.2             |                           | 68.7             |                           |
| 15   | 76.7             | 4.12 q (7.0)              | 76.8             | 4.12 q (6.8)              | 76.8             | 4.05 q (6.8)              | 78.3             | 4.06 q (6.8)              |
| 16   | 10.2             | 2.01 s                    | 10.3             | 2.04 s                    | 8.8              | 2.00 s                    |                  |                           |
| 17   | 9.9              | 2.21 s                    | 10.1             | 2.22 s                    | 8.9              | 2.28 s                    | 9.4              | 2.25 s                    |
| 18   | 23.4             | 1.40 s                    | 23.6             | 1.40 s                    | 21.5             | 1.48 s                    | 22.9             | 1.49 s                    |
| 19   | 14.8             | 1.82 d                    | 14.9             | 1.83 d                    | 13.5             | 1.82 s                    | 14.9             | 1.83 s                    |
| 20   | 18.6             | 1.89 s                    | 18.7             | 1.88 s                    | 17.4             | 1.86 s                    | 18.8             | 1.87 s                    |
| 21   | 21.9             | 1.41 s                    | 22.0             | 1.41 s                    | 20.7             | 1.37 s                    | 22.1             | 1.38 s                    |
| 22   | 13.8             | 1.47 s                    | 14.0             | 1.46 s                    | 12.4             | 1.47 s                    | 13.8             | 1.48 s                    |
| 23   | 18.8             | 1.18 d (7.0)              | 19.0             | 1.18 d (6.8)              | 17.8             | 1.17 d (6.8)              | 19.2             | 1.19 d (6.8)              |
| 24   | 60.3             | 3.79 s                    | 60.6             | 3.79 s                    | 59.6             | 3.84 s                    | 57.3             | 3.90 s                    |

Table 2. <sup>1</sup>H and <sup>13</sup>C-NMR Data for compounds 5–6.

<sup>a</sup> NMR data were measured in CD<sub>3</sub>Cl; <sup>b</sup> NMR data were measured in CD<sub>3</sub>OD; <sup>c</sup> NMR data reported in literature.

*Penicicellarusin D* (6). light yellow oil,  $[\alpha]_D^{25}$  + 32.9 (c 0.1 MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 235 (3.56), 299 (2.54) nm; IR (neat)  $v_{max}$  3412, 2974, 2932, 1683, 1559, 1450, 1378, 1225, 1089, 1043, 812 cm<sup>-1</sup>; Positive HRESIMS: *m/z* 457.2190 [M+Na]<sup>+</sup> (calcd. for C<sub>24</sub>H<sub>34</sub>O<sub>7</sub>Na, 457.2197). <sup>1</sup>H-NMR and <sup>13</sup>C-NMR, see Table 2.

*Penicicellarusin E* (7). light yellow oil,  $[\alpha]_D^{25}$  +7 8.5 (c 0.1 MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 235 (3.65), 299 (2.58) nm; IR (neat)  $v_{max}$  2975, 2933, 1695, 1555, 1450, 1378, 1224, 1086, 1043, 812 cm<sup>-1</sup>; Positive HRESIMS: *m/z* 695.4490 [M+Na]<sup>+</sup> (calcd. for C<sub>40</sub>H<sub>64</sub>O<sub>8</sub>Na, 695.4493). <sup>1</sup>H-NMR and <sup>13</sup>C-NMR, see Table 3.

| <b>Fable 3.</b> <sup>1</sup> H and <sup>13</sup> C-NMR Data for compounds 7–9 in CD <sub>3</sub> O |
|--|
|--|

| Pos |                  | 7                         |                       | 8                         | 9                |                           |
|-----|------------------|---------------------------|-----------------------|---------------------------|------------------|---------------------------|
|     | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\mathrm{C}}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) |
| 1   | 167.0            |                           | 167.0                 |                           | 167.0            |                           |
| 2   | 111.2            |                           | 111.2                 |                           | 111.2            |                           |
| 3   | 171.3            |                           | 171.3                 |                           | 171.3            |                           |
| 4   | 114.2            |                           | 114.2                 |                           | 114.2            |                           |
| 5   | 160.4            |                           | 160.4                 |                           | 160.4            |                           |
| 6   | 79.3             |                           | 79.3                  |                           | 79.3             |                           |
| 7   | 83.8             | 5.39 s                    | 83.8                  | 5.39 s                    | 83.3             | 5.39 s                    |
| 8   | 132.8            |                           | 132.8                 |                           | 132.8            |                           |
| 9   | 135.8            | 5.79 brs                  | 135.8                 | 5.79 brs                  | 135.8            | 5.79 brs                  |
| 10  | 136.2            |                           | 136.2                 |                           | 136.2            |                           |
| 11  | 133.5            | 5.43 brs                  | 133.5                 | 5.43 brs                  | 133.5            | 5.43 brs                  |
| 12  | 81.4             |                           | 81.4                  |                           | 81.4             |                           |
| 13  | 68.7             | 3.54 s                    | 68.7                  | 3.54 s                    | 68.7             | 3.54 s                    |
| 14  | 68.7             |                           | 68.7                  |                           | 68.7             |                           |

| Pos. — |                  | 7                         |                       | 8                         |                  | 9                         |  |
|--------|------------------|---------------------------|-----------------------|---------------------------|------------------|---------------------------|--|
|        | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\mathrm{C}}$ | $\delta_{ m H}$ (J in Hz) | $\delta_{\rm C}$ | $\delta_{ m H}$ (J in Hz) |  |
| 15     | 78.3             | 4.05 q (6.8)              | 78.3                  | 4.05 q (6.8)              | 78.2             | 4.06 q (6.8)              |  |
| 16     | 10.3             | 2.01 s                    | 10.3                  | 2.01 s                    | 10.3             | 2.01 s                    |  |
| 17     | 10.4             | 2.28 s                    | 10.4                  | 2.28 s                    | 10.4             | 2.29 s                    |  |
| 18     | 23.7             | 1.56 s                    | 23.8                  | 1.56 s                    | 23.6             | 1.56 s                    |  |
| 19     | 15.9             | 1.83 s                    | 15.9                  | 1.83 s                    | 15.9             | 1.83 s                    |  |
| 20     | 18.7             | 1.87 s                    | 18.7                  | 1.87 s                    | 18.8             | 1.87 s                    |  |
| 21     | 22.1             | 1.36 s                    | 22.1                  | 1.36 s                    | 22.1             | 1.36 s                    |  |
| 22     | 13.8             | 1.47 s                    | 13.8                  | 1.47 s                    | 13.8             | 1.47 s                    |  |
| 23     | 19.2             | 1.18 d (6.8)              | 19.2                  | 1.18 d (6.8)              | 19.2             | 1.18 d (6.8)              |  |
| 24     | 61.1             | 3.84 s                    | 61.1                  | 3.84 s                    | 61.1             | 3.84 s                    |  |
| 1′     | 174.2            |                           | 174.2                 |                           | 174.1            |                           |  |
| 2′     | 35.2             | 2.35 dt<br>(2.4, 7.3)     | 35.2                  | 2.35 dt<br>(2.3, 7.3)     | 35.2             | 2.35 dt<br>(2.4, 7.3)     |  |
| 3′     | 26.1             | 1.59 m                    | 26.1                  | 1.59 m                    | 26.1             | 1.59 m                    |  |
| 9'     |                  |                           | 130.8                 | 5.36 m                    | 130.8            | 5.36 m                    |  |
| 10'    |                  |                           | 130.9                 | 5.36 m                    | 130.9            | 5.36 m                    |  |
| 12′    |                  |                           |                       |                           | 129.0            | 5.36 m                    |  |
| 13′    |                  |                           |                       |                           | 129.1            | 5.36 m                    |  |
| 16'    | 14.5             | 0.92 t (6.8)              |                       |                           |                  |                           |  |
| 18'    |                  | ( )                       | 14.5                  | 0.91 t (6.8)              | 14.5             | 0.92 t (6.8)              |  |
| Others | 29.1-30.9        | 1.30 m                    | 29.1-30.9             | 1.30 m                    | 29.1-30.9        | 1.30 m                    |  |

Table 3. Cont.

"m" means multiplet or overlapped with other signals.

*Penicicellarusin F (8).* light yellow oil,  $[\alpha]_D^{25}$  + 81.0 (c 0.1 MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 236 (3.38), 290 (2.54) nm; IR (neat)  $v_{max}$  2972, 2932, 1689, 1557, 1450, 1378, 1225, 1088, 1045, 811 cm<sup>-1</sup>; Positive HRESIMS: *m*/*z* 721.4658 [M+Na]<sup>+</sup> (calcd. for C<sub>42</sub>H<sub>66</sub>O<sub>8</sub>Na, 721.4650). <sup>1</sup>H-NMR and <sup>13</sup>C-NMR, see Table 3.

*Penicicellarusin G* (9). light yellow oil,  $[\alpha]_D^{25}$  + 76.5 (c 0.1 MeOH); UV (MeOH)  $\lambda_{max}$  (log ε) 236 (3.38), 290 (2.54) nm; IR (neat)  $v_{max}$  2974, 2932, 1720, 1570, 1455, 1378, 1209, 1054, 812 cm<sup>-1</sup>; Positive HRESIMS: *m*/*z* 719.4500 [M+Na]<sup>+</sup> (calcd. for C<sub>42</sub>H<sub>64</sub>O<sub>8</sub>Na, 719.4493). <sup>1</sup>H-NMR and <sup>13</sup>C-NMR, see Table 3.

# 2.6. X-ray Crystallographic Analysis of Compound **1** and **10** 2.6.1. Penicicellarusin A (**1**)

Colorless needles of compound **1** were obtained from ethyl ether. Data collection was performed on a Eos CCD (Bruker, Bremen, Germany) using graphite-monochromated Cu K<sub> $\alpha$ </sub> radiation,  $\lambda = 1.54184$  Å at 100.00(10) K. Crystal data: C<sub>24</sub>H<sub>32</sub>O<sub>6</sub>, M = 416.49, space group orthorhombic,  $P_{2_12_12_1}$ ; unit cell dimensions were determined to be a = 5.70420(10) Å, b = 11.7760(2) Å, c = 33.3228(6) Å,  $\alpha = \beta = \gamma = 90.00^{\circ}$ , V = 2238.38(7) Å<sup>3</sup>, Z = 4,  $\rho_{calc} = 1.236$  mg/mm<sup>3</sup>, F(000) = 896.0,  $\mu$  (Cu K<sub> $\alpha$ </sub>) = 0.715 mm<sup>-1</sup>. 16083 unique reflections were collected to  $2\theta_{max} = 144.15^{\circ}$ , in which 4334 reflections were observed [F<sup>2</sup> > 4 $\sigma$  (F<sup>2</sup>)]. The structure refinements were conducted by a previously reported method [19]. The final refinement gave R<sub>1</sub> = 0.0357, wR<sub>2</sub> = 0.0852 ( $w = 1/\sigma$  | F |<sup>2</sup>), and S = 1.047. CCDC 2039557 contains the supplementary crystallographic data for **1**. These data can be obtained from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data\_request/cif (accessed on 20 October 2020).

#### 2.6.2. Penicyrone A (10)

Colorless needles of compound **10** from methanol were obtained. Data collection was performed on a Eos CCD using graphite-monochromated Cu K<sub> $\alpha$ </sub> radiation,  $\lambda$  = 1.54184 Å at 100.00(10) K. Crystal data: C<sub>24</sub>H<sub>34</sub>O<sub>7</sub>, *M* = 434.519, space group monoclinic, *P*2<sub>1</sub>; unit cell dimensions were determined to be a = 5.96230(10) Å, b = 14.1879(3) Å, c = 14.0680(6) Å,

 $\alpha = \gamma = 90.00^{\circ}$ ,  $\beta = 95.885^{\circ}$  V = 1183.78(4) Å<sup>3</sup>, Z = 2,  $\rho_{calc} = 1.219$  mg/mm<sup>3</sup>, F(000) = 468.0,  $\mu$  (Cu K<sub> $\alpha$ </sub>) = 0.728 mm<sup>-1</sup>. 12357 unique reflections were collected to  $2\theta_{max} = 140.124^{\circ}$ , in which 4418 reflections were observed [F<sup>2</sup> > 4 $\sigma$  (F<sup>2</sup>)]. The structure refinements were conducted by the same method as described for compound **1**. The final refinement gave R<sub>1</sub> = 0.0341, wR<sub>2</sub> = 0.0842 ( $w = 1/\sigma |F|^2$ ), and S = 1.048. CCDC 2039558 contains the supplementary crystallographic data for **10**. These data can be obtained from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data\_request/cif (accessed on 20 October 2020).

#### 2.7. Alkaline Hydrolysis of Compound 8 and 9

Alkaline hydrolysis reaction was carried out following a previously described method [20]. Each compound (2.0 mg) was dissolved and hydrolyzed with 2 M NaOH/MeOH at 25 °C for 3 h. Then neutralized with 1 N HCl/MeOH and extracted with chloroform for two times (10 mL  $\times$  2). Methyl esters of the fatty acids were identified by GC-MS. The GC-MS was operated in EI mode (70 eV) scanning from 40 to 500 amu.

#### 2.8. Bioinformatic Analyses

To identify Biosynthetic Gene Clusters (BGCs) in the genomes of *P. cellarum* YM1, antiSMASH 6.2 was used and only clusters containing a putative PKS similar to both VerA and CtvA protein were further considered [21,22]. The proteins in these clusters were additionally blasted against *P. polonicum* and *Aspergillus terreus* var. *aureus* to verify their presence. To find functional domains and predict a putative function, we resort to NCBI BLAST using Non-Redundant database and Interproscan.

#### 2.9. Computation Section

Systematic conformational analyses for **5a**, **5b**, **5c** and **5d** were performed using the CONFLEX softwre (version 7 Rev. A; CONFLEX Corporation, Tokyo, Japan) via the MMFF94 molecular mechanics force field. Using TDDFT at B3LYP/6-31+G(d,p) basis set level, the MMFF94 conformers were further optimized in methanol with PCM model. The stationary points have been checked as the true minima of the potential energy surface by verifying that they do not exhibit vibrational imaginary frequencies. ECD spectra were calculated by TD-DFT using a Gaussian function at the PBE1PBE/6-311G\* level. Using Boltzmann statistics, equilibrium populations of conformers at 298.15 K were calculated from their relative free energies ( $\Delta$ G). According to Boltzmann weighting of main conformers, the overall ECD spectra were then generated [23].

#### 2.10. Evaluation of Biological Activities

#### 2.10.1. Antimicrobial Bioassay

Assay for antibacterial activities including *Staphylococcus aureus* (ATCC 6538 and CGMCC 1.2465), meticillin-resistant *S. aureus* (MRSA, clinical isolates, Beijing Chao-yang Hospital, Beijing, China), *Enterococcus faecalis* (clinical isolates, Beijing Chao-yang Hospital), *Bacillus subtilis* (ATCC 6633), and antifungal activities including *Candida albicans* (ATCC 18804), and *Aspergilus fumigatus* (CGMCC 3.5835) were carried out as previously described method [24]. The inhibition rate was calculated and plotted versus test concentrations to afford the MIC. MIC values were defined as the minimum concentration of compounds that inhibited visible microbial growth. All the experiments were performed in triplicate.

#### 2.10.2. Cytotoxicity Assay

Cytotoxicity test against A549, HepG2, and K562 cell lines was carried out as previously described method [25]. Taxol, 5-flourouracil, and cisplatin were used as the positive controls. 2.10.3. 2-[N-(7-Nitrobenz-2-oxa-1,3-diazol-4-yl)amino]-2-deoxy-D-glucose (2-NBDG) Glucose Uptake Assay

This experiment was consistent with those reported in our previous work [26]. The HepG2 hepatoma cells were cultured in DMEM supplemented with 10% fetal bovine serum (FBS; Gibco, NY, USA), 100 U/mL penicillin/streptomycin. The cells reaching confluence were treated with  $10^{-6}$  M insulin for 24 h to generate insulin resistance. Compounds or positive drug (rosiglitazone) were mixed and incubated for 24 h, with the final concentration of 100, 50, 25, and 12.5  $\mu$ M; then, 100 nM of insulin was added and incubated for 30 min at 37 °C followed by addition of 50  $\mu$ M (2-NBDG). After that, cells were washed with ice-cold PBS and 100  $\mu$ L FBS-free DMEM was added to each well. The level of 2-NBDG uptake was determined on microplate reader (Bio-Tek Instruments, VT, USA) at 485 nm excitation and 528 nm emission. All data were handled with GraphPad Prism 5 and reported as mean  $\pm$  SD of three independent experiments.

#### 3. Results

In this study, the MS/MS-based molecular networking strategy was applied for target isolation of new verrucosidins. First, *Penicillium* strains were cultured on rice substrates and the resulting EtOAc extracts was screened by HPLC-UV-DAD analysis (Figure S1). Then, the ethyl acetate extract of *P. cellarum* YM1 that produced secondary metabolites with similar retention time and UV characteristics to those of deoxyverrucosidin was further investigated by UPLC-HRMS/MS. The LC-MS/MS data were used to generate a visualized molecular networking that was further annotated by Cytoscape 3.8.2 (Figure S2).

In details, the HPLC-HRMS/MS analysis in the positive ion mode was conducted on the ethyl acetate extract from *P. cellarum* YM1 with deoxyverrucosidin as the phishing probe. The obtained fragmentation data were organized by molecular networking, yielding a metabolite-level view of the data. Individual MS/MS spectrum was organized into 106 clusters consisting of 899 connected nodes (Figure S2). Using the MS/MS data of deoxyverrucosidin as "seed" spectra, an initial focal point (a blue hexagon with *m*/*z* 401.232) was generated in the global molecular networking. A close examination of the molecular network indicated some nodes connected to deoxyverrucosidin (Figure 2), which predicted the presence of potential natural analogs. Under the guidance of MS/MS-based molecular networkings, seven new verrucosidins, namely penicicellarusins A-I (**3–9**), in addition to five known polyketides verrucosidin (**1**) [4,27], normethylverrucosidin (**2**) [27], penicyrone A-B (**10–11**) [28], and deoxyverrucosidin (**12**) [29] were obtained by the isolation workflow. The structures of known compounds were determined by comparing their NMR and MS data with literature data.

Compound 1 was obtained as white needle-like crystals and identified as verrucosidin by comparison of the NMR data reported in the literature [4,27] and the single-crystal X-ray crystallographic analysis (Figure 3).

Penicicellarusin A (**3**) was isolated as yellow oil with a molecular formula C<sub>24</sub>H<sub>32</sub>O<sub>7</sub> (indicating nine degrees of unsaturation) as deduced by HRESIMS data ([M+Na]<sup>+</sup> *m/z* 455.2041; calcd. 455.2040). The <sup>1</sup>H-, <sup>13</sup>C-NMR and HSQC spectra of **3** revealed the presence of eight methyls, including one oxygenated one [ $\delta_{\rm H}/\delta_{\rm C}$  1.23 (3H, d, 6.8 Hz) /19.2, 1.40 (3H, s)/22.1, 1.44 (3H, s)/15.7, 1.48 (3H, s)/13.8, 1.98 (3H, s)/18.7, 2.04 (3H, s)/10.4, 2.12 (3H, s)/9.7, and 3.91(3H, s)/61.3], one hydroxymethyl [ $\delta_{\rm H}/\delta_{\rm C}$  4.36 (d, *J* = 12.2 Hz), 4.41 (d, *J* = 12.2 Hz)/59.6], five methines including three oxygenated [ $\delta_{\rm H}/\delta_{\rm C}$  3.60 (s)/68.7, 3.81 (s)/64.6, 4.09 (d, 6.8 Hz)/78.4] and two *sp*<sup>2</sup> methines [ $\delta_{\rm H}/\delta_{\rm C}$  5.60 (brs) /133.9, 5.98 (brs)/134.9]. The NMR data of compound **3** were similar with those of verrucosidin (**1**) [27], indicating the presence of a 3,6-dioxabicyclic[3.1.0]hexane moiety, a 2*H*-pyran-2-one moiety, and a polyene chain in **3** (Figure 1).

The HMBC correlations were detected from  $H_3$ -21 to C-11 and C-12, from H-13 to C-12 and C-14, from  $H_3$ -22 to C-14, from  $H_3$ -23 to C-14 and C-15, from H-15 to C-12, C-14 and C-23, which together with the <sup>1</sup>H-<sup>1</sup>H COSY correlations of H-15-H<sub>3</sub>-23 confirmed the presence of the 3,6-dioxabicyclic[3.1.0]hexane moiety. The HMBC correlations of  $H_3$ -16 to

C-1, C-2, and C-3, H<sub>3</sub>-24 to C-3, H<sub>3</sub>-17 to C-3, C-4, and C-5, and as well as the chemical shifts of C-1 ( $\delta$  167.5), C-2 ( $\delta$  111.6), C-3 ( $\delta$  170.2), C-4 ( $\delta$  112.2), and C-5 ( $\delta$  157.3) completed the assignment of  $\alpha$ -pyranone moiety. Furthermore, the <sup>1</sup>H-<sup>1</sup>H COSY correlations of H-7-H<sub>3</sub>-19-H-9-H<sub>3</sub>-20-H-11 together with the HMBC correlations from H<sub>3</sub>-18 to C-5 and C-6, from H-7 to C-5, C-6, and C-8, from H<sub>3</sub>-19 to C-7 and C-8, from H-9 to C-7, C-8, and C-10, from H<sub>3</sub>-20 to C-9, C-10, and C-11, and from H-11 to C-10 and C-12 supported a heptadiene moiety which was connected with  $\alpha$ -pyranone moiety through C-5 and linked with 3,6-dioxabicyclic[3.1.0]hexane moiety through C-12 (Figure 4).



**Figure 2.** The subnetwork of tandem MS/MS molecular working for crude extracts of the fungus *P. cellarum*. The entire network and subnetwork are presented in Figure S2.



Figure 3. The X-ray crystallographic structure of 1 and 10.



Figure 4. Selected key HMBC and <sup>1</sup>H-<sup>1</sup>H COSY correlations of 3–6.

The relative configuration of **3** was confirmed by NOESY experiment (Figure 5). The NOE correlations H-11 ( $\delta$  5.60) to H<sub>3</sub>-23 ( $\delta$  1.23) and H-13 ( $\delta$  3.60), H<sub>3</sub>-22 ( $\delta$  1.48) to H-13 and H<sub>3</sub>-23, and H-15 ( $\delta$  4.09) to H<sub>3</sub>-21 ( $\delta$  1.40), indicated that H<sub>3</sub>-22, H<sub>3</sub>-23, H-11, and H-13 were on the same face, while H<sub>3</sub>-21 and H-15 were on the opposite face. The geometry of C<sub>8</sub>=C<sub>9</sub> and C<sub>10</sub>=C<sub>11</sub> were confirmed to be *E* by the NOE correlations of H-7 ( $\delta$  3.81) with H-9 ( $\delta$  5.98) and H<sub>3</sub>-20 with H<sub>3</sub>-21.



Figure 5. Selected key NOE correlations of 3-6.

In the experimental ECD spectrum, compound **3** showed similar Cotton effects as verrucosidin (**1**) (Figure 6), supporting the same configuration at C-6 and C-7 between **1** and **3**. Thus, compound **3** was assigned a *6R*, *7S*, *12S*, *13S*, *14R*, *15R* configuration and named penicicellarusin A.

Penicicellarusin B (4) was obtained as yellow oil with the molecular formula  $C_{23}H_{30}O_7$ and nine degrees of unsaturation, as deduced from HRESIMS data. The 1D NMR spectroscopic data of 4 (Table 1) were similar with those of 3, except for the lack of a singlet methyl group and the presence of one additional olefinic proton at  $\delta$  5.66 in 4. HMBC correlations of H-2 ( $\delta$  5.66) to C-1, C-3 and C-4, H<sub>3</sub>-17 to C-3 and C-5, H<sub>3</sub>-24 to C-3 confirmed the structural changes on the 2*H*-pyran-2-one moiety in 4. A further comprehensive analysis of its <sup>1</sup>H-<sup>1</sup>H COSY, HMQC, and HMBC spectra assigned the planar structure of 4 (Figure 4).

The NOESY correlations of H-11 with H-13 and H<sub>3</sub>-22, H<sub>3</sub>-23 with H-13 and H<sub>3</sub>-22, H-15 with H<sub>3</sub>-21, H-7 with H-9 and H<sub>3</sub>-17, H<sub>3</sub>-20 with H<sub>3</sub>-21 supported the same relative configurations for double bonds and 3,6-dioxabicyclic[3.1.0]hexane moiety between 4 and compound **3** (Figure 5). Compound **4** showed similar Cotton effects in the experimental electronic circular dichroism (ECD) spectrum with those of **3** (Figure 6), indicating it has the absolute configuration of 6*R*, 7*S*, 12*S*, 13*S*, 14*R*, and 15*R*, as described in **3**.



Figure 6. Experimental CD spectra of 1, 3, and 4 in MeOH.

Compound **5** was assigned the molecular formula of  $C_{24}H_{34}O_7$  (eight degree of unsaturation) on the basis of its HRESIMS at m/z 457.2190 [M+Na]<sup>+</sup> and NMR data (Table 2). The <sup>1</sup>H-, <sup>13</sup>C-NMR, and UV spectra of **5** were similar with those of verrucisidinol [6], with the notable difference in the <sup>1</sup>H-NMR data of C-3, C-4, C-5, and H-7 (Table 2). A comprehensive analysis of its 2D NMR spectra including <sup>1</sup>H-<sup>1</sup>H COSY, HMQC, and HMBC experiments confirmed the planar structure of **5** (Figure 4).

The partial relative configuration of **5** was confirmed by a NOESY experiment (Figure 5). The geometry of  $C_8=C_9$  and  $C_{10}=C_{11}$  were confirmed to be *E* by analysis of the NOESY observations. The key NOESY correlations of H-11 with H-13 and H<sub>3</sub>-22, H<sub>3</sub>-23 with H-13 and H<sub>3</sub>-22, and H-15 with H<sub>3</sub>-21 supported the same relative configurations on furan ring as verrucisidinol [6]. Considering the same biosynthesis origin, compound **5** is deduced to share the same absolute configuration with those of **1–4** in the furan ring. In addition, the optical rotation data of **5** ( $[\alpha]_D^{25} = +45.0, c = 0.1$ , MeOH) were opposite to that of verrucisidinol ( $[\alpha]_D^{25} = -10.0, c = 0.1$ , MeOH), implying the enantiomeric relationship between them. To determine the absolute configurations at C-6 and C-7, ECD calculation method was applied. The four configurations (**5a**, **5b**, **5c** and **5d**, Figure 7) were calculated using time-dependent density functional theory (TDDFT) at PBE1PBE/6-311 G\* level with PCM model in methanol, and 60 exciting states were calculated. By comparison of the experimental and simulated ECD curves (Figure 7), the experimental ECD was match better with **5a** (6*R*, 7*S*, 12*S*, 13*S*, 14*R*, and 15*R*). Thus, the compound **5** was assigned as 6*R*, 7*S*, 12*S*, 13*S*, 14*R*, and named as penicicellarusin C.

The molecular formula of penicicellarusin D (6) was determined to be  $C_{23}H_{32}O_7$  with the unsaturation degrees of eight on the basis of the HRESIMS data at m/z 443.2047 [M+Na]<sup>+</sup> (calcd. for  $C_{23}H_{32}O_7$ Na m/z 443.2040) and NMR data (Table 2). The NMR data of **6** were similar to those of **5** except for the absence of one singlet methyl group. The key HMBC correlations from H-2 ( $\delta$  5.60) to C-1, C-3 and C-4, as well as the upfield shift of C-2 ( $\delta$  88.5) confirmed the disappearance of the methyl group on the C-2 position in **6**. Furthermore, Compound **6** showed similar Cotton effects in the experimental CD spectrum with those of **5** (Figure S5), which assigned the absolute configurations of **6** as 6*R*, 7*S*, 12*S*, 13*S*, 14*R*, and 15*R*. It was designated as penicicellarusin D.

Penicicellarusins E-G (compounds **7–9**) were determined to be fatty acid esters of **5** by interpretation of the HRESIMS, 1D and 2D NMR data (Table 3, Figures S6 and S7), and ECD spectra (Figure S8). The MS/MS data of **7–9** confirmed the presence of the fatty acid moiety in their structures. The pseudo molecular ion peaks  $[M+H]^+$  at m/z 673.4669 in **7**, m/z 699.4819 in **8**, and m/z 697.4668 in **9** together with the fragment ion peaks  $[M+H-C_{16}H_{32}O_2]^+$  at m/z 435.2381 in **7**,  $[M+H-C_{18}H_{32}O_2]^+$  at m/z 435.2375 in **8**,  $[M+H-C_{18}H_{30}O_2]^+$  at m/z 435.2374 in **9** due to the loss of the corresponding fatty acid moiety. To assign the structure of fatty acid moieties, compounds **7–9** was hydrolyzed with alkaline solution followed by methyl esterification. The fatty acid chain in **7–9** was determined to be the palmitic acid, the oleic acid, and the linoleic acid, respectively, by comparison of the retention time and

MS spectrum with those of standards by GC-MS analysis (Figure S9). Compounds **7–9** showed similar Cotton effects in the experimental CD spectrum with those of **5** (Figure S8), which assigned their absolute configurations as 6*R*, 7*S*, 12*S*, 13*S*, 14*R*, and 15*R*.



**Figure 7.** Experimental CD spectra of **5**, the calculated ECD spectra and the structure of **5a**, **5b**, **5c** and **5d** (bandwidth  $\sigma$  = 0.30 eV).

With the help of single-crystal X-ray crystallographic analysis, the 6*R*, 9*R*, 12*S*, 13*S*, 14*R*, and 15*R* absolute configuration of penicyrone A (**10**) was determined. The value of the Flack absolute structure parameter 0.03 (8) was obtained, and a perspective ORTEP plot was shown in Figure 3 (CCDC 2039558). According to X-ray diffraction analysis, the configuration at the C-6 positions in **10** was 6*R*, instead of 6*S* reported in the literature [28]. The structures of other known compounds were determined by comparing spectroscopic data with those in the literature.

To explore the bioactivities of verrucosidins, compounds **1–12** were evaluated for the antimicrobial effect, cytotoxic activity, and hypoglycemic activity. As a result, **1–12** showed no significant bioactivity in the antimicrobial assays and cytotoxicity assays at the dose of 100  $\mu$ M. However, Compounds **1–4** were found to enhance the insulin-stimulated uptake of 2-NBDG in insulin-resistant HepG2 cells with the EC<sub>50</sub> values at 47.2  $\pm$  1.2, 9.9  $\pm$  2.5, 93.2  $\pm$  1.2 and 40.2  $\pm$  1.3  $\mu$ M, respectively, while the other compounds showed no significant activity (Figure 8). In particular, compounds **1, 2**, and **4** showed much stronger activity than the positive drug (rosiglitazone) in the range of 25–100  $\mu$ M.



Figure 8. Stimulation on 2-NBDG glucose uptake in insulin-resistant HepG2 cells.

#### 4. Discussion

Up to now, less than 20 verrucosidins and structurally-related compounds have been found in fungi. In this study, seven new verrucosidin derivatives (compounds **3–9**), were isolated together with five previously identified compounds from the fermentation products of fungus *P. cellarum*, suggesting that this fungus is an important producer of verrucosidins.

Verrucosidins share similar structural features with the citreoviridins, including a methylated  $\alpha$ -pyrone, a polyene linker, and a tetrahydrofuran ring. The citreoviridin biosynthetic gene cluster containing a polyketide synthase (CtvA), a SAM-dependent methyltransferase (CtvB), a flavin-dependent monooxygenase (CtvC), and a hydrolase (CtvD) has been identified in *Aspergillus terreus var. aureus* [21]. CtvC is the only monooxygenase in the cluster, which can iteratively oxidize the terminal triene portion of the precursor into a bisepoxide moiety. As a regioselective hydrolase, CtvD can transform the bisepoxide moiety into a tetrahydrofuran ring moiety [21,30]. In addition, the verrucosidin bosynthetic gene cluster was confirmed by constructing deletion mutants for *verA* gene coding for HR-PKS known to be the key enzyme of the biosynthesis. Different from citreoviridin, the bosynthetic gene cluster for verrucosidin in the genome of *P. polonicum* contains two flavin-dependent monooxygenases, VerC1 and VerC2, which means that the cluster can synthesize compounds with higher oxidation degree [22]. However, the enzymes involved in the biosynthesis of verrucosidin are largely uncharacterized.

In our work, with the genome of *P. cellarum* YM1 sequenced in our group, we searched for the gene cluster for penicicellarusins. By similarity analysis with the polyketide synthase gene *ctvA* and *verA*, the putative gene cluster *celA* was found in the genome of *P. cellarum* (Table 4 and Figure S11). Further bioinformatic analysis revealed seven genes, the polyketide synthase gene (*celA*), the SAM-dependent methyltransferase gene (*celB*), the flavin-dependent monooxygenase (*celC1 and celC2*), the cytochrome P450 gene (*celD*), the acyl-acyltransferase gene (*celF*), and the lyase gene (*celH*) potentially involved in the biosynthesis of penicicellarusins in *P. cellarum*. Based on above evidence, we propose the biosynthetic pathway of **1–12** (Figures 9 and S10). Verrucosidin (**1**) could be formed from **12** by oxidation of the olefinic bond, and further oxidation produces **3–6**. Compounds **7–9** were biosynthesized by esterification of **5** with different fatty acids. Compounds **10** and **11** can be transformed from **5** or **6** by dehydration reaction.

| P. cellarum<br>Gene | <i>P. polonicum</i> Homologue<br>aa (Identity/Similarity, %),<br>Gene (Identity/Similarity, %) | A. terreus Homologue<br>aa (Identity/Similarity, %) | Putative Function              |
|---------------------|--|---|--------------------------------|
| celA                | VerA (86/98)<br>PENPOL_C002G03804 (99/98)  | CtvA (42/77)  | Polyketide synthase            |
| celB                | VerB (79/29)<br>PENPOL_C002G01780 (98/41)  | CtvB (30/41)  | Methyltransferase              |
| celC1               | VerC1 (99/93)<br>PENPOL_c002G07872 (99/45)   | CtvC (50/56)  | FAD<br>monooxygenase           |
| celC2               | VerC2 (91/50)<br>PENPOL_C002G07909 (99/57)   | -   | FAD<br>monooxygenase           |
| celD                | VerH (99/95)<br>PENPOL_c002G07307 (98/69)  | -   | Cytochrome P450                |
| celE                | VerF (78/96)<br>PENPOL_c002G07024 (99/95)  | -   | Transcription factor<br>domain |
| celF                | VerG (87/100)<br>PENPOL_c002G05667 (97/99)   | -   | Acyl-acyltransferase           |
| celH                | -  | -   | Lyase                          |

**Table 4.** Penicicellarusins biosynthetic genes and gene function prediction in *P. cellarum* and their homologs in other fungal species.



**Figure 9.** The biosynthetic gene clusters and postulated biogenetic pathway of **1–12**. (**a**) The penicicellarusin biosynthesis gene cluster in *P. cellarum. celA*: polyketide synthase gene, *celB*: the SAMdependent methyltransferase gene, *celC1/celC2*: the flavin-dependent monooxygenase gene, *celD*: the cytochrome P450 gene, *celF*: the acyl-acyltransferase gene, *celE*: transcriptional factor gene, and *celH*: lyase gene. (**b**) postulated biogenetic pathway of **1–12**. PKS domain abbreviations: KS ketosynthase, AT acyltransferase, DH dehydratase, MT methyltransferase, KR keroreductase, ACP acyl carrier protein.

Early studies have demonstrated that verrucosidins and structurally-related compounds are endowed with several interesting bioactivities, such as antibacterial activities [3,10], antitumor [7,8], antiviral [9], and neurological activities [11]. In this work, it was found that compounds 1–4 show promising hypoglycemic activity, especially compounds 2 and 4. Preliminary structure-activity relationship showed that the formation of epoxy three-membered ring on  $C_6$ - $C_7$  in the structures contributes greatly for the glucose uptakeenhancing activity in insulin-resistant HepG2 cells. The promising hypoglycemic activity is an interesting new bioactivity for this class of compounds.

#### 5. Conclusions

In summary, a MS/MS-based molecular networking for the target discovery of verrucosidin-like polyketides was established in this study. The stereochemistry of the new compounds was determined by electronic circular dichroism (ECD) methods or comparison of experimental ECD spectra. The absolute configuration of penicyrone A (10) was corrected based on X-ray diffraction analyses. Bioactivity screening indicated that compounds 1, 2, and 4 showed much stronger promising hypoglycemic activity than the positive drug (rosiglitazone) in the range of 25–100  $\mu$ M. The promising hypoglycemic activity is an interesting new bioactivity for this class of compounds. This work further proved the efficacy of the molecular networking in discovering natural products with unique structural features.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/ 10.3390/jof8020143/s1, Figure S1: The HPLC profiles of metabolites extracted from the culture medium of *Penicillium* strains, Figure S2: The molecular network obtained by combining the LC-MS/MS analyses of extracts from *P. cellarum* YM1, Figure S3: Phylogenetic analysis and morphological characters of *P. cellarum* YM1, Figure S4: Most stable conformers of **5** in solvated model calculations at the B3LYP/6-31+G(d,p) level (d), Figure S5: Experimental CD spectra of **5** and **6** in MeOH, Figure S6: Selected key HMBC and <sup>1</sup>H-<sup>1</sup>H COSY correlations of **7–9**, Figure S7: Selected key NOE correlations of **7–9**, Figure S8: Experimental CD spectra of **5** and **7–9** in MeOH, Figure S9: GC-MS analysis of methyl linoleate, methyl oleate and products of alkaline hydrolysis-methyl esterification of compounds **8** and **9**, Figure S10: Gene cluster schematic illustrating comparative organization of the penicicellarusin, verrucosidin, and citreoviridin, Figures S11–S40: NMR spectra for compounds **3–9**.

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Article



# Sorbicillinoid Derivatives with the Radical Scavenging Activities from the Marine-Derived Fungus Acremonium chrysogenum C10

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Abstract: Sorbicillinoids are a class of structurally diverse hexaketide metabolites with good biological activities. To explore new structural sorbicillinoids and their bioactivities, the marine-derived fungus Acremonium chrysogenum C10 was studied. Three new sorbicillinoid derivatives, acresorbicillinols A-C (1-3), along with five known ones, trichotetronine (4), trichodimerol (5), demethyltrichodimerol (6), trichopyrone (7) and oxosorbicillinol (8), were isolated. The structures of new sorbicillinoids were elucidated by analysis of nuclear magnetic resonance (NMR) and high-resolution electrospray ionization mass spectroscopy (HRESIMS). The absolute configurations of compounds 1–3 were determined by comparison of the experimental and calculated electronic circular dichroism (ECD) spectra. Compound 3 exhibited a strong 2,2-diphenyl-1-picrylhydrazyl (DPPH) radical scavenging activity, with the IC<sub>50</sub> value ranging from  $11.53 \pm 1.53$  to  $60.29 \pm 6.28$  µM in 24 h. Additionally, compounds 2 and 3 showed moderate activities against Staphylococcus aureus and Cryptococcus neoformans, with IC<sub>50</sub> values of 86.93  $\pm$  1.72 and 69.06  $\pm$  10.50  $\mu$ M, respectively. The boundary of sorbicillinoid biosynthetic gene cluster in A. chrysogenum was confirmed by transcriptional analysis, and the biosynthetic pathway of compounds 1-8 was also proposed. In summary, our results indicated that A. chrysogenum is an important reservoir of sorbicillinoid derivatives, and compound 3 has the potential for new natural agents in DPPH radical scavenging.

**Keywords:** sorbicillinoids; *Acremonium chrysogenum*; structure elucidation; DPPH radical scavenging activity; antimicrobial compounds; marine natural products

#### 1. Introduction

Marine-derived fungi can thrive in the extreme environments including salinity, high pressure, low temperature and oligotrophic conditions compared to their terrestrial counterparts, which makes them able to produce structurally diverse bioactive compounds more easily [1–3]. Meanwhile, these compounds usually have unique structures that also provide the possibility for structural design and modification of the leading compounds [4]. As one special marine-derived fungus, *Acremonium chrysogenum* has made irreplaceable contributions to controlling the bacterial infections and saving countless patients for production of the  $\beta$ -lactam antibiotic cephalosporin C (CPC) and its derivatives [5]. The genomic sequences and annotation of *A. chrysogenum* was first completed in 2014, and a total of

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42 secondary metabolite biosynthetic gene clusters, including 14 polyketide synthetase (PKS) clusters, 10 terpene synthase clusters, 8 hybrid clusters, 7 nonribosomal peptide synthetase clusters and 3 non-identified secondary metabolite clusters, were predicted [6].

Sorbicillinoids are a class of structurally diverse hexaketide metabolites with a characteristic sorbyl side chain residue [7–9]. They were first isolated from Penicillium notatum in 1948 and structurally elucidated by Cram and Tishler [10,11]. Up until now, more than 159 naturally occurring sorbicillinoids have been isolated and have displayed good biological activities in cytotoxic, antimicrobial and phytotoxic activities [7–9]. Because free radicals play an important role in the development of aging and many diseases, including cancer, arthritis and atherosclerosis, exploring the novel radical scavengers is crucial for developing new drugs to slow down the aging process and treat these diseases. Some sorbicillinoid derivatives have shown great antioxidative application prospects, such as bisorbicillinol  $(ED_{50} = 31.4 \ \mu\text{M})$  and bisorbibetanone  $(ED_{50} = 62.5 \ \mu\text{M})$ , etc. [8]. Additionally, there is an urgent need to find more novel compounds for the emergence of microbial resistance. Some sorbicillinoids showed significant antimicrobial activity, indicating their potential as candidates [7]. Meanwhile, the sorbicillinoid biosynthetic gene clusters from Penicillium chrysogenum and Trichoderma reesei have been identified and their biosynthetic pathway has been partially revealed [12–14]. Generally, two PKSs SorA and SorB are responsible for the formation of sorbicillin and dihydrosorbicillin, which are then oxidative dearomatized to give sorbicillinol and dihydrosorbicillinol by the FAD-dependent monooxygenase SorC, respectively [15]. Sorbicillinol is regarded as the precursor of most sorbicillinoids since it is condensed with its derivatives or other compounds to form the dimeric and hybrid sorbicillinoids by Diels-Alder or Michael-addition-like reactions [16,17]. The sorbicillinoid biosynthetic gene cluster in A. chrysogenum has been regarded as the most ancient, based on evolutionary origin, and carries more modifier than other species [13], and disruption of these two PKS encoding genes results in the abolishment of sorbicillinoids [18]. However, there is lack of a systematic investigation about sorbicillinoids produced by A. chrysogenum.

Based on the chemical investigations in this study, the resulting crude extracts of *A. chrysogenum* C10 from the rice solid fermentation, which has a higher accumulation of compounds and reproducibility than submerged fermentation [19], had afforded three structurally unique compounds: acresorbicillinols A–C (1–3) and five known sorbicillinoids including trichotetronine (4) [20], trichodimerol (5) [21], demethyltrichodimerol (6) [21], trichopyrone (7) [22] and oxosorbicillinol (8) [20] (Figure 1). Compounds 1–8 were evaluated for their DPPH radical scavenging abilities and antimicrobial activities. In addition, the boundary of sorbicillinoid biosynthetic gene cluster (*Acsor*) was confirmed and its biosynthetic pathway was proposed. This study reported the isolation, structural elucidation and bioactivities of the isolated compounds from *A. chrysogenum* C10.



Figure 1. Structures of compounds 1–8.

# 2. Materials and Methods

#### 2.1. General Experimental Procedure

Optical rotations, ECD spectra, UV and IR data were measured on the Austria Anton Paar MCP 200 Automatic Polarimeter, the Applied Photophysics Chirascan circular dichroism spectrometer, the Thermo Scientific GENESYS 10S UV-Vis and the Thermo Scientific Nicolet IS5 spectrophotometers, respectively. HRESIMS data and MS were obtained using an Agilent 6520B Q-TOF Mass instrument equipped with an ESI source. All MS experiments were performed in positive ion mode. NMR data were acquired with the AVANCE-500 spectrometer (Bruker, Bremen, Germany) using solvent signals (CD<sub>3</sub>OD,  $\delta_{\rm H}$  3.30/ $\delta_{\rm C}$  49.9, DMSO,  $\delta_{\rm H}$  2.50, 3.30/ $\delta_{\rm C}$  39.5, and CDCl<sub>3</sub>,  $\delta_{\rm H}$  7.26/ $\delta_{\rm C}$  77.16) as references. Octadecylsilyl (ODS, 50 µm, YMC Co., Ltd. Japan) and Sephadex<sup>TM</sup> LH-20 (Cytiva, Uppsala, Sweden) were used for column chromatography. High performance liquid chromatography (HPLC) was performed on the SHIMADZU LC20AT system equipped with UV diode array detector using the Thermo Hypersil Gold-C18 columns (5  $\mu$ m, 250 mm  $\times$  4.6 mm) at a flow rate of 1 mL/min. For semi-preparative HPLC, Waters 1525 system equipped with the UV/Visible detector and the Thermo Hypersil Gold-C18 columns (5  $\mu$ m, 250 mm  $\times$  10 mm) was used and performed at a flow rate of 2 mL/min. Solvents including methanol and ethyl acetate (EtOAc) for extraction and chromatographic separation were analytical grade. HPLCgrade solvents (acetonitrile and formic acid) were used for the HPLC and semi-preparative HPLC analysis.

#### 2.2. Fungal Materials and Fermentation

One high CPC-producing strain of *A. chrysogenum* C10 (ATCC 48272) was released by PanLab. This fungus was inoculated on the rice solid medium in 500 mL Erlenmeyer flasks containing 80 g of rice and 120 mL of H<sub>2</sub>O, and cultivated at 28 °C for 7 days for the production of sorbicillinoids. A total of 10 kg fermentation sample was harvested.

#### 2.3. Extraction and Isolation

The rice solid fermentation of A. chrysogenum was extracted with EtOAc (3  $\times$  5 L) under the ultrasonication processing. The organic solvents were filtered and evaporated by the vaccum to get the crude extracts (25 g). Extracts were fractionated by ODS reverse silica gel using the gradient MeOH/H<sub>2</sub>O (*v*/*v*, 10%, 20%, 30%, 40%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 100%) to afford 15 fractions (Fr.1–Fr.15). Fr.8 (MeOH/H<sub>2</sub>O (v/v, 65%)) (150 mg) was further subjected to the Sephadex<sup>TM</sup> LH-20 and eluted with MeOH to give 30 subfractions. Fr.8–24 (30 mg) was purified by semi-preparative RP-HPLC using 50% acetonitrile in acidic water (0.1% formic acid) to give compounds 2 (5.0 mg,  $t_{\rm R}$  = 23 min), 4 (4.0 mg,  $t_{\rm R}$  = 24.1 min) and 8 (2.0 mg,  $t_{\rm R}$  = 22.2 min). Fr.10 (MeOH/H<sub>2</sub>O (v/v, 75%)) (133 mg) was subjected to the Sephadex<sup>TM</sup> LH-20 and eluted with MeOH to give 30 subfractions. Fr.10-12 (56 mg) was purified by semi-preparative RP-HPLC using 58% acetonitrile in acidic water (0.1% formic acid) to yield compounds 1 (3.5 mg,  $t_R$  = 27.2 min) and 6 (2.5 mg,  $t_{\rm R}$  = 26.4 min). Fr.11 (MeOH/H<sub>2</sub>O (v/v, 80%)) (220 mg) was subjected to the Sephadex<sup>TM</sup> LH-20 and eluted with MeOH to give 30 subfractions. Fr.11-24 (60 mg) was purified by semi-preparative RP-HPLC using 60% acetonitrile in acidic water (0.1% formic acid) to yield compounds **3** (10.0 mg,  $t_{\rm R}$  = 28.5 min) and **5** (2.9 mg,  $t_{\rm R}$  = 28.3 min). Fr.5 (MeOH/H<sub>2</sub>O (v/v, 50%)) (96 mg) was subjected to the Sephadex<sup>TM</sup> LH-20 and eluted with MeOH to give 25 subfractions. Fr.5-16 (10 mg) was purified by semi-preparative RP-HPLC using 37% acetonitrile in acidic water (0.1% formic acid) to yield compound 7 (2.0 mg,  $t_{\rm R}$  = 17.5 min).

Acresorbicillinol A (1): pale yellow solid;  $[\alpha]_{D}^{25}$ +81 (c 0.1, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 223 (3.65), 367 (1.72) nm; ECD (c 3.0 × 10<sup>-3</sup> M, MeOH)  $\lambda_{max}$  ( $\Delta \varepsilon$ ) 200 (+4.51), 228 (-8.29), 270 (-12.89), 315 (-34.60), 352 (+24.06) nm; IR (neat)  $\nu_{max}$  3399, 2956, 2872, 1722, 1601, 1446, 1381, 1258 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR, Table 1; HRESIMS at m/z 501.2850 [M + H]<sup>+</sup> (calcd for C<sub>29</sub>H<sub>41</sub>O<sub>7</sub>, 501.2847).

| Position   | 1 <sup>a</sup>                  |                       | 2 <sup>a</sup>              |                       |  |
|------------|---------------------------------|-----------------------|-----------------------------|-----------------------|--|
|            | $\delta_{ m H}$ (J in Hz)       | $\delta_{\rm C}$      | $\delta_{ m H}$ (J in Hz)   | $\delta_{\mathrm{C}}$ |  |
| 1          |                                 | 70.3, qC              |                             | 66.7, qC              |  |
| 2          |                                 | 200.3, qC             |                             | 199.7, qC             |  |
| 3          |                                 | 112.3, qC             |                             | 113.8, qC             |  |
| 4          | 3.18, t (2.8)                   | 41.5, CH              | 3.30, t (2.7)               | 42.3, CH              |  |
| 5          |                                 | 75.4, qC              |                             | 75.2, qC              |  |
| 6          |                                 | 212.3, qC             |                             | 211.4, qC             |  |
| 7          |                                 | 47.8, qC              | 3.09, dd (10.6, 6.1)        | 47.5 <i>,</i> CH      |  |
| 8a         | 2.38, m                         | 30.6, CH <sub>2</sub> | 3.00, ddd (13.6, 10.6, 2.7) | 32.7, CH <sub>2</sub> |  |
| 8b         | 1.97, dd (13.3, 2.8)            |                       | 1.80, ddd (13.6, 6.1, 2.7)  |                       |  |
| 9          |                                 | 167.6, qC             |                             | 167.7, qC             |  |
| 10         | 6.42, d (14.6)                  | 119.5, CH             | 6.48, d (14.6)              | 119.6, CH             |  |
| 11         | 7.26, dd (14.6, 10.9)           | 142.9, CH             | 7.37, dd (14.6, 11.0)       | 143.3, CH             |  |
| 12         | 6.39, dd (14.6, 10.9)           | 132.3, CH             | 6.41, dd (14.6, 11.0)       | 132.3, CH             |  |
| 13         | 6.20, dq (14.6, 7.0)            | 140.0, CH             | 6.23, dq (14.6, 7.0)        | 140.1, CH             |  |
| 14         | 1.89, d (7.0)                   | 18.9, CH <sub>3</sub> | 1.90, d (7.0)               | 18.9, CH <sub>3</sub> |  |
| 15a<br>15b | 1.81, td (13.2, 4.8)<br>1.50, m | 34.1, CH <sub>2</sub> |                             | 133.9, qC             |  |
| 16         | 2.16, m                         | 31.4, CH <sub>2</sub> | 6.80, d (8.4)               | 130.5, CH             |  |
| 17         |                                 | 178.3, qC             | 6.67, d (8.4)               | 116.2, CH             |  |
| 18         | 5.18, d (15.6)                  | 135.4, CH             |                             | 157.7, qC             |  |
| 19         | 5.13, dd (15.6, 9.0)            | 135.9, CH             | 6.67, d (8.4)               | 116.2, CH             |  |
| 20         | 1.68, m                         | 50.6, CH              | 6.80, d (8.4)               | 130.5, CH             |  |
| 21a<br>21b | 1.64, m<br>1.23, m              | 27.2, CH <sub>2</sub> | 0.80, s                     | 11.4, CH <sub>3</sub> |  |
| 22a<br>22b | 2.42, m<br>2.30, m              | 42.4, CH <sub>2</sub> | 1.21, s                     | 24.0, CH <sub>3</sub> |  |
| 23         |                                 | 212.4, qC             |                             |                       |  |
| 24         | 2.16, s                         | 30.0, CH <sub>3</sub> |                             |                       |  |
| 25         | 1.54, m                         | 33.4, CH              |                             |                       |  |
| 26         | 0.86, d (7.0)                   | 21.2, CH <sub>3</sub> |                             |                       |  |
| 27         | 0.81, d (7.0)                   | 19.7, CH <sub>3</sub> |                             |                       |  |
| 28         | 1.16, s                         | 7.4, CH <sub>3</sub>  |                             |                       |  |
| 29         | 1.12, s                         | 24.5, CH <sub>3</sub> |                             |                       |  |

Table 1. <sup>1</sup>H NMR (500 MHz) and <sup>13</sup>C NMR data (125 MHz) for 1 and 2.

<sup>a</sup> Recorded in CD<sub>3</sub>OD.

Acresorbicillinol B (2): pale yellow solid;  $[\alpha]_D^{25}$  +5 (*c* 0.1, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\varepsilon$ ) 221 (2.72), 322 (0.68), 351 (0.54) nm; ECD (*c* 3.0 × 10<sup>-3</sup> M, MeOH)  $\lambda_{max}$  ( $\Delta \varepsilon$ ) 215 (–14.07), 245 (+36.00), 315 (–75.16) nm, 360 (+13.47) nm; IR (neat)  $\nu_{max}$  3413, 1724, 1624, 1440, 1378, 1243 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR, Table 1; HRESIMS at m/z 369.1696 [M + H]<sup>+</sup> (calcd for C<sub>22</sub>H<sub>25</sub>O<sub>5</sub>, 369.1697).

Acresorbicillinol C (3): bright yellow solid;  $[\alpha]_D^{25}$  –1048 (*c* 0.1, MeOH); UV (MeOH)  $\lambda_{\text{max}}$  (log ε) 207 (1.82), 37 (2.12), 278 (2.55), 375 (3.23) nm; ECD (*c* 3.0 × 10<sup>-3</sup> M, MeOH)  $\lambda_{\text{max}}$  (Δε) 221 (–22.24), 275 (+38.06), 345 (+51.25) nm, 405 (–88.67) nm; IR (neat)  $\nu_{\text{max}}$  3420, 1664,

1606, 1556, 1412, 1347, 1209 cm<sup>-1</sup>; <sup>1</sup>H and <sup>13</sup>C NMR, Table 2; HRESIMS at m/z 513.2116 [M + H]<sup>+</sup> (calcd for C<sub>28</sub>H<sub>33</sub>O<sub>9</sub>, 513.2119).

| Position  | 3 <sup>b</sup>            |                       |  |
|-----------|---------------------------|-----------------------|--|
| i osition | $\delta_{ m H}$ (J in Hz) | $\delta_{\mathbf{C}}$ |  |
| 1         | 3.71, s                   | 53.9, CH              |  |
| 2         |                           | 78.2, qC              |  |
| 3         |                           | 107.8, qC             |  |
| 4         |                           | 59.2, qC              |  |
| 5         |                           | 190.9, qC             |  |
| 6         |                           | 100.6, qC             |  |
| 7         |                           | 167.9, qC             |  |
| 8         | 6.49, d (14.6)            | 120.6, CH             |  |
| 9         | 7.12, dd (14.6, 10.9)     | 137.8, CH             |  |
| 10        | 6.38, overlap             | 131.1, CH             |  |
| 11        | 6.10, (14.6, 6.8)         | 136.2, CH             |  |
| 12        | 1.83, d (6.8)             | 18.4, CH <sub>3</sub> |  |
| 13        | 1.30, s                   | 25.2, CH <sub>3</sub> |  |
| 14        | 1.29, s                   | 18.8, CH <sub>3</sub> |  |
| 1′        |                           | 78.3, qC              |  |
| 2′        |                           | 78.7, qC              |  |
| 3'        |                           | 103.5, qC             |  |
| 4'        |                           | 59.2, qC              |  |
| 5′        |                           | 199.3, qC             |  |
| 6'        |                           | 108.0, qC             |  |
| 7′        |                           | 185.2, qC             |  |
| 8′        | 7.38, d (14.6)            | 122.4, CH             |  |
| 9′        | 7.48, dd (14.6, 10.9)     | 146.5, CH             |  |
| 10'       | 6.38, overlap             | 131.1, CH             |  |
| 11'       | 6.42, overlap             | 143.4, CH             |  |
| 12′       | 1.89, d (6.8)             | 18.3, CH <sub>3</sub> |  |
| 13′       | 1.17, s                   | 22.2, CH <sub>3</sub> |  |
| 14′       | 1.31, s                   | 18.8, CH <sub>3</sub> |  |
| OH-7      | 16.38, s                  |                       |  |
| OH-7'     | 18.02 <i>.</i> s          |                       |  |

Table 2.  $^{1}$ H NMR (500 MHz) and  $^{13}$ C NMR data (125 MHz) for 3.

<sup>b</sup> Recorded in DMSO:CDCl<sub>3</sub> = 3:1.

# 2.4. ECD Calculations

Conformational analyses were performed using Maestro 10.2 in the OPLS3 molecular mechanics force-field within an energy window of 5.0 or 3.0 kcal/mol. The conformers were then further optimized with the software package Gaussian 09 at the B3LYP/6-31G(d) level for compounds **1–3**, respectively, and the harmonic vibrational frequencies were also calculated to confirm their stability. The TDDFT methods at the CAM-B3LYP/6-31G(d) and B3LYP/6-31G(d) level were applied to calculate the 60 lowest electronic transitions to obtain conformers in a vacuum, respectively. The Gaussian function was applied to

simulate the ECD spectrum of the conformers. The calculated ECD spectra were obtained according to the Boltzmann weighting of each conformer's ECD spectrum [23].

#### 2.5. Antimicrobial Activity Assay

The bacterial strains (*Staphylococcus aureus* CGMCC 1.89, *Pseudomonas aeruginosa* ATCC 15692) and the fungal strains (*Cryptococcus neoformans* W1585, *Candida albicans* SC5314) were used in this study. The concentration of 50 mM compounds was prepared using dimethyl sulfoxide (DMSO). The bacterial and fungal strains were streaked onto Mueller–Hinton Agar (MHA) and Potato Dextrose Agar (PDA) for growth at 37 °C and 28 °C, respectively. Single colony was picked and adjusted to  $2 \times 10^5$  CFU/mL by Mueller–Hinton Broth (MHB) or Potato Dextrose Broth (PDB). The stock solutions of compounds were diluted into 500, 250, 125, 62.5 and 31.25  $\mu$ M by MHB or PDB, successively. Fifty microliters of serial dilutions of each compound and 50  $\mu$ L of microbial suspension were added to the 96-well plates and incubated at 37 °C or 28 °C for 24 h until the results were recorded. IC<sub>50</sub> was defined as the half maximal inhibitory concentrations of the compounds that inhibited the visible microbial growth after 24 h of incubation. Ampicillin and amphotericin B were used as the positive control for detecting the activities of these compounds against bacteria and fungi, respectively.

# 2.6. DPPH Radical Scavenging Assay

The DPPH radical scavenging activity of the compounds was carried out as previously described [24,25]. The modified parameter was the reaction time from 0.5 h to multiple time-points including 0.5, 1, 4, 6, 8 and 24 h. Ascorbic acid and ethanol were used as the positive and negative control, respectively. All experiments were replicated at least three times.

# 2.7. RNA Isolation and Real-Time RT-PCR Analysis

The mycelia of *A. chrysogenum* C10 grown on the modified MDFA medium were collected at different time-points [26]. RNA isolation and real-time RT-PCR were performed as described previously [27,28]. All primers used in this study were listed in Table S1.

#### 3. Results and Discussion

# 3.1. Isolation and Structure Elucidation

Acresorbicillinol A (1) was obtained as a pale yellow solid, and its molecular formula was established as  $C_{29}H_{40}O_7$  based on HRESIMS data at 501.2850 [M + H]<sup>+</sup> (calcd for  $C_{29}H_{41}O_7$ , 501.2847), indicating 10 degrees of unsaturation. The IR spectrum indicated the presence of hydroxy (3399 cm<sup>-1</sup>) and ketone (1722 cm<sup>-1</sup>) groups. The <sup>1</sup>H NMR data (Table 1 and Figure S1) of 1 showed signals for six methyl signals [ $\delta_{\rm H}$  2.16 (s, H<sub>3</sub>-24), 1.89 (d, J = 7.0 Hz, H<sub>3</sub>-14), 1.16 (s, H<sub>3</sub>-28), 1.12 (s, H<sub>3</sub>-29), 0.86 (d, J = 7.0 Hz, H<sub>3</sub>-26), and 0.81  $(d, J = 7.0 \text{ Hz}, H_3-27)$ , five methylene protons  $[\delta_H 2.42 \text{ (m, H-22a)}, 2.38 \text{ (m, H-8a)}, 2.30 \text{ (m, H-8a)}, 2.30$ H-22b), 2.16 (m, H<sub>2</sub>-16), 1.97 (dd, *J* = 13.3, 2.8 Hz, H-8b), 1.81 (td, *J* = 13.2, 4.8 Hz, H-15a), 1.64 (m, H-21a), 1.50 (m, H-15b), and 1.23 (m, H-21b)], three methine protons [ $\delta_{\rm H}$  3.18 (t, J = 2.8 Hz, H-4), 1.68 (m, H-20), and 1.54 (m, H-25)], six olefinic protons [ $\delta_H$  7.26 (dd, J = 14.6, 10.9 Hz, H-11), 6.42 (d, J = 14.6 Hz, H-10), 6.39 (dd, J = 14.6, 10.9 Hz, H-12), 6.20 (dq, J = 14.6, 7.0 Hz, H-13), 5.18 (d, J = 15.6 Hz, H-18), and 5.13 (dd, J = 15.6, 9.0 Hz, H-19)]. Detailed interpretation of the <sup>13</sup>C NMR and HSQC data (Table 1, Figures S2 and S4) of 1 revealed the presence of 29 carbon resonances corresponding to six methyls, five sp<sup>3</sup> methylenes, three sp<sup>3</sup> methines, six sp<sup>2</sup> methines, three sp<sup>3</sup> quarternary carbons with one oxygenated, two sp<sup>2</sup> non-protonated carbons and four carbonyl carbons ( $\delta_{\rm C}$  212.4, 212.3, 200.3 and 178.3, respectively). These data accounted for all <sup>1</sup>H and <sup>13</sup>C NMR resonances of **1** except for three unobserved exchangeable protons, suggesting that 1 was a bicyclic compound. The planar structure of **1** was assigned through detailed analysis of the <sup>1</sup>H-<sup>1</sup>H COSY and HMBC correlations (Figures 2, S3 and S5). The <sup>1</sup>H-<sup>1</sup>H COSY (Figure 2) correlations of H-10/H-11/H-12/H-13/H<sub>3</sub>-14, combined with the HMBC correlations from H-10 to the

olefinic carbons C-3 ( $\delta_C$  112.3) and C-9 ( $\delta_C$  167.6) and from H-11 to C-9, suggested the presence of the enolic sorbyl side chain. The HMBC correlations (Figure 2) from H-4 to C-3, the sp<sup>3</sup> quarternary carbon C-5 ( $\delta_C$  75.4) and two ketone carbons C-2 ( $\delta_C$  200.3) and C-6 ( $\delta_C$ 212.3), from H<sub>3</sub>-28 to the sp<sup>3</sup> quarternary carbon C-1 ( $\delta_C$  70.3), C-2 and C-6, and from H<sub>3</sub>-29 to C-4, C-5, and C-6 permitted the completion of the cyclohexandione ring, with the enolic sorbyl unit positioned at C-3 and two methyl groups located at C-1 and C-5, respectively. Meanwhile, the  ${}^{1}H$ - ${}^{1}H$  COSY (Figure 2) correlations of H-18/H-19/H-20/H<sub>2</sub>-21/H<sub>2</sub>-22 and of  $H-20/H-25/H_3-26/H_3-27$ , as well as the HMBC correlations from  $H_2-22$  to the ketone carbon C-23 ( $\delta_C$  212.4) and C-24 ( $\delta_C$  30.0), and from H<sub>3</sub>-24 to C-22 and C-23, established the 3-isopropyl-6-oxohept-1-en-1-yl (C-18–C-27) subunit. Moreover, the <sup>1</sup>H-<sup>1</sup>H COSY (Figure 2) correlations of  $H_2$ -15/ $H_2$ -16, and the HMBC correlations from  $H_2$ -15 and  $H_2$ -16 to the carbonyl carbon C-17 ( $\delta_C$  178.3), indicated that carbonyl carbon C-17 was attached to C-16 directly. Additional HMBC correlations from H<sub>2</sub>-15 to the sp<sup>3</sup> quarternary carbon C-7 ( $\delta_{C}$  47.8) and the olefinic carbon C-18 ( $\delta_{C}$  135.4), from H<sub>2</sub>-16 and H-19 to C-7, and from H-18 to C-7 and C-15, indicated that C-7 was located between C-15 and C-18. Key HMBC correlations from H-4, H<sub>2</sub>-8 and H<sub>3</sub>-28 to C-7, and from H<sub>2</sub>-15 and H-18 to C-1 and C-8, along with the <sup>1</sup>H-<sup>1</sup>H COSY correlations of H-4/H<sub>2</sub>-8 implied that C-1 and C-8 were all connected to C-7, permitting the completion of the bridged bicyclo [2.2.2]octane-2,6-dione core structure. By consideration of the molecular formula and the chemical shifts of C-5 ( $\delta_{C}$  75.4) and C-17 ( $\delta_{C}$  178.3), these two carbons should be hydroxylated. Thus, the planar structure of 1 was established as shown (Figure 1).



Figure 2. Key COSY and HMBC correlations of compounds 1-3.

The relative configuration of 1 was determined by NOESY correlations, coupling constants and HMBC correlations. The NOESY correlation (Figures 3 and S6) of H-10 with H-4 assigned the olefin C-3/C-9 as Z geometry. The geometry of the conjugated diene was assigned as 10*E*, 12*E* by the large coupling constants ( $J_{H-10/H-11}$  = 14.6 Hz and  $J_{\text{H-12/H-13}} = 14.6 \text{ Hz}$ ) along with the NOESY correlations of H<sub>3</sub>-14 with H-12 and of H-13 with H-11. The *E* geometry of the C-18/C-19 double bond was also deduced by the large coupling constant between H-18 and H-19 (15.6 Hz). The NOESY correlations of H-10 with H-4 and  $H_3$ -29 suggested that these protons were close in space. Moreover, the strong HMBC correlations from H-8a to C-3 and C-15, and from H-8b to C-5, and the weak correlation from H-8a to C-5, as well as the lack of HMBC correlation from H-8b to C-3 and C-15, indicated that H-8a and C-15 were eclipsed and that H-8b and C-3 were gauche [20,29]. Meanwhile, the NOESY correlations of  $H_3$ -28 with H-15a, and of H-8a with H-15b, assigned the relative configurations of C-1 and C-7. However, the relative configuration for C-20 could not be established by the NOESY data. The absolute configuration for 1 was assigned by a comparison of the experimental and calculated ECD spectra of two pairs of enantiomers, (1R,4S,5S,7R,20S)-1 (1a), (1S,4R,5R,7S,20R)-1 (**1b**), (1*R*,4*S*,5*S*,7*R*,20*R*)-**1** (**1c**), and (1*S*,4*R*,5*R*,7*S*,20*S*)-**1** (**1d**). The ECD calculations were conducted using time-dependent density functional theory (TDDFT) at the CAM-B3LYP/631G(d) level. The overall calculated ECD spectrum of **1a–1d** was then generated according to Boltzmann weighting of the conformers (Figure S19). For compound **1** the experimental first positive (200 nm), second negative (228 nm), third negative (270 nm), fourth negative (315 nm) and fifth positive (352 nm) Cotton effects compared well with the calculated ECD curve for (1*R*,4*S*,5*S*,7*R*,20*S*)-**1** (**1a**), which showed five corresponding Cotton effects around 200, 222, 270, 315 and 350 nm (Figure 4). Therefore, qualitative analysis of the result allowed the assignment of the absolute configuration of **1** as 1*R*,4*S*,5*S*,7*R*,20*S*.



NOESY

Figure 3. Key NOESY correlations of compounds 1–3.



Figure 4. Calculated and experimental ECD spectra of compounds 1-3.

Acresorbicillinol B (2) was obtained as a pale yellow solid. The molecular formula of 2 was assigned as  $C_{22}H_{24}O_5$  (11 degrees of unsaturation) based on its HRESIMS data at m/z 369.1696 [M + H]<sup>+</sup> (calcd for  $C_{22}H_{25}O_5$ , 369.1697). The <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data (Table 1, Figures S7 and S8), in association with the HSQC spectrum (Figure S10), indicated 22 carbon resonances including 3 methyl groups, 1 sp<sup>3</sup> methylenes, 2 sp<sup>3</sup> methines, 2 sp<sup>3</sup> non-protonated carbons with 1 oxygenated, 12 olefinic or aromatic carbons (8 protonated), and 2 carbonyl carbons ( $\delta_C$  211.4, and 199.7, respectively), which were similar to those of 1.

Analysis of the <sup>1</sup>H-<sup>1</sup>H COSY and HMBC data (Figure 2, Figures S9 and S11) of **2** determined the same bicyclo [2.2.2]octane-2,6-dione moiety with the enolic sorbyl substituted at C-3. However, the substitutes at C-7 of **2** were different from those of **1**. The HMBC correlations from H<sub>2</sub>-8 to C-15 ( $\delta_C$  133.9), from H-7 to C-15, C-16 ( $\delta_C$  130.5) and C-20 ( $\delta_C$  130.5), from H-16/H-20 to C-7 ( $\delta_C$  47.5) and C-18 ( $\delta_C$  157.7) and from H-17/H-19 to C-15 completed the *para*-hydroxyphenyl group located at C-7. On the basis of these data, the planar structure of **2** was established as shown (Figure 1).

The relative stereochemistry of **2** was determined by NOESY correlations and coupling constants as well as by comparison with those of 1 and the known compound sorbicatechol C [30]. The large coupling constants ( $J_{H-10/H-11} = 14.6$  Hz and  $J_{H-12/H-13} = 14.6$  Hz), along with NOESY correlations (Figures 3 and S12) of  $H_3$ -14 with H-12 and of H-13 with H-11 indicated that the geometry of the conjugated diene was 10E, 12E. Furthermore, the NOESY correlation (Figure 3) of H-4 with H-10 implied a Z geometry of the C3/C9 double bond. Other NOESY correlations of H-10 with H-4 and H<sub>3</sub>-22, and of H-4 with H<sub>3</sub>-22, placed these protons on the same side. While NOESY correlations of H-8b ( $\delta_{\rm H}$  1.80, ddd, J = 13.6, 6.1, 2.7 Hz) with H-16 (H-20), and of H-7 with  $H_3$ -21, combined with the strong HMBC correlations from H-8b to C-5 and C-15, the weak correlation from H-8b to C-3 and lack of HMBC correlation from H-8a to C-5 and C-15 determined the relative stereochemistry of C-7 and C-1 as shown. The absolute configuration of **2** was also determined by a comparison of the experimental and calculated ECD spectra for enantiomers (1R,4S,5S,7R)-2 (2a) and (1*S*,4*R*,5*R*,7*S*)-2 (2b). As shown in Figure 4, the experimental ECD spectrum of 2 showed good agreement with the calculated ECD spectrum of (1R,4S,5S,7R)-2 (2a), suggesting the absolute configuration of 1*R*,4*S*,5*S*,7*R* for **2**. Thus, the structure of **2** was defined as shown.

Acresorbicillinol C (**3**) was obtained as a bright yellow solid, and its molecular formula was deduced to be C<sub>28</sub>H<sub>32</sub>O<sub>9</sub> (13 degrees of unsaturation) on the basis of the HRESIMS data at m/z 513.2116 [M + H]<sup>+</sup> (calcd for C<sub>28</sub>H<sub>33</sub>O<sub>9</sub>, 513.2119). The IR absorptions suggested the presence of hydroxy (3420 cm<sup>-1</sup>) and ketone (1664 cm<sup>-1</sup>) groups. Its <sup>1</sup>H NMR data (Table 2 and Figure S13) revealed signals of eight olefinic protons [ $\delta_{\rm H}$  6.10–7.49], one methine proton [ $\delta_{\rm H}$  3.71 (s, H-1)] and six methyls [ $\delta_{\rm H}$  1.89 (d, *J* = 6.8 Hz, H<sub>3</sub>-12'), 1.83 (d, *J* = 6.8 Hz, H<sub>3</sub>-12), 1.31 (s, H<sub>3</sub>-14'), 1.30 (s, H<sub>3</sub>-13), 1.29 (s, H<sub>3</sub>-14), 1.17 (s, H<sub>3</sub>-13')]. The <sup>13</sup>C NMR spectrum (Table 2 and Figure S14) and the HSQC data (Figure S16) displayed a total of 28 carbon resonances, which were assignable to 6 methyl groups, 8 sp<sup>2</sup> methines, 1 sp<sup>3</sup> methines, 13 non-protonated carbons containing 2 carbonyls ( $\delta_{\rm C}$  199.3, and 190.9), 4 sp<sup>2</sup> non-protonated with two oxygenated, 7 sp<sup>3</sup> non-protonated carbon with 5 oxygenated. These signals (Table 2 and Figure S13–S16) were very similar to those of trichodimerol (5) [31,32], except that the proton at the C-1' position in **5** was changed to a hydroxy moiety in **3**. This was evidenced by the HRESIMS data and HMBC correlations (Figures 2 and S17) from H<sub>3</sub>-13' and H<sub>3</sub>-14 to C-1' ( $\delta_{\rm C}$  78.3). Therefore, **3** was 1'-hydroxylated analogue of **5**.

The relative configuration of **3** was confirmed by NOESY correlations and coupling constants. The NOESY correlations (Figures 3 and S18) of H-9/H-11, of H-8/H-10/H-12, of H-9'/H-11' and of H-8'/H-10'/H-12', along with the large coupling constants ( $J_{H-8/H-9} = J_{H-10/H-11} = J_{H-8'/H-9'} = J_{H-10'/H-11'} = 14.6$  Hz) suggested the 8*E*, 10*E*, 8'*E* and 10'*E* configurations of the conjugated dienes in the sorbyl side chains. Meanwhile, the NOESY correlations of H-1/H-8 and H<sub>3</sub>-14/H-8' suggested the Z geometry of C-6/C-7 and C-6'/C-7' double bonds. Furthermore, the NOESY correlations of H-1/H<sub>3</sub>-13, of H<sub>3</sub>-13'/H<sub>3</sub>-14 and of H<sub>3</sub>-14'/H-1 inferred that these protons were in close proximity to their related functional groups, respectively. The similar Cotton effects in the ECD spectra of **3** and **5** deduced the absolute configuration of **3** to be the same as that of **5**, which was further verified by ECD calculations (Figure 4). The calculated ECD curve of (1*S*,2*S*,3*R*,4*R*,1'*R*,2'*S*,3'*R*,4'*R*)-**3** (**3a**) matched well with the experimental data, suggesting the absolute configuration to be 1*S*,2*S*,3*R*,4*R*,1'*R*,2'*S*,3'*R*,4'*R*. Thus, the structure of **3** was defined as depicted.

Except for the new compounds **1–3**, the structure of five known sorbicillinoids isolated in this study were confirmed by comparison of the spectroscopic data with those in the

literature [20–22]. The resulting EtOAc extracts of *A. chrysogenum* cultivated on the rice were screened by HPLC analysis (Figure S20).

#### 3.2. Biological Activities Evaluation

To explore the bioactivities of compounds **1–8**, their abilities of anti-microorganisms and DPPH radical scavenging were evaluated. The results showed that compounds **2** and **3** exhibited the moderate activities against *S. aureus* and *C. neoformans* with the IC<sub>50</sub> values of  $86.93 \pm 1.72$  and  $69.06 \pm 10.50 \mu$ M, respectively. However, other compounds did not give IC<sub>50</sub> value at a concentration below 100  $\mu$ M (Table 3). No candidate compounds could significantly inhibit the growth of *C. albicans* and *P. aeruginosa*. Compound **3** might function as the  $\beta$ -1,6-glucan inhibitor to inhibit the fungal growth as its structural analogue bisvertinolone [33]. Bisvertinolone also exhibited significant inhibitory activity against *S. aureus* with the minimal inhibitory concentration (MIC) value of 30 µg/mL [34]. However, only several monomeric sorbicillinoids from *Scytalidium album* exhibited the weak activity against *C. neoformans* with the MIC value of over 38 µg/mL [35].

| Compounds      | S. aureus             | C. neoformans     |  |  |
|----------------|-----------------------|-------------------|--|--|
| Compounds      | IC <sub>50</sub> (μM) |                   |  |  |
| 1              | >100                  | >100              |  |  |
| 2              | $86.93 \pm 1.72$      | >100              |  |  |
| 3              | >100                  | $69.06 \pm 10.50$ |  |  |
| 4              | >100                  | >100              |  |  |
| 5              | >100                  | >100              |  |  |
| 6              | >100                  | >100              |  |  |
| 7              | >100                  | >100              |  |  |
| 8              | >100                  | >100              |  |  |
| Ampicillin     | $0.016\pm0.004$       | -                 |  |  |
| Amphotericin B | -                     | $0.018\pm0.003$   |  |  |

Table 3. Anti-microbial inhibitory activities of compounds 1-8.

Through the DPPH radical scavenging assay, compound 3 exhibited strong activity with the IC\_{50} value of 60.29  $\pm$  6.28  $\mu$ M after standing for 0.5 h, and then we continued to record its radical scavenging activity for 24 h (at 1, 4, 6, 8 and 24 h). Compound 3 gave the significant activity with the IC<sub>50</sub> values of  $43.52 \pm 5.93$ ,  $22.57 \pm 7.34$ ,  $15.85 \pm 5.94$ ,  $12.30 \pm 5.74$  and  $11.53 \pm 1.53 \mu$ M, respectively, indicating that 3 displays the time-dependent manner for DPPH radical scavenging. Compared with the  $IC_{50}$  value of ascorbic acid as the positive control, which was  $25.36 \pm 3.82$  to  $28.45 \pm 3.04$   $\mu$ M, compound 3 represents one novel DPPH radical scavenging agent (Figure 5 and Table 4). Compound 8 exhibited the radical scavenging activity with the IC<sub>50</sub> values of 155.40  $\pm$  12.42 and  $55.36 \pm 14.92 \ \mu\text{M}$  for 0.5 and 24 h, respectively. Although the IC<sub>50</sub> values of 4, 5 and 6 were over 200  $\mu$ M for 0.5 h, their radical scavenging activity significantly enhanced at 24 h, and the IC\_{50} values were 151.87  $\pm$  15.63, 116.83  $\pm$  3.93 and 102.48  $\pm$  5.04  $\mu M$  , respectively (Table 4). Compounds 4, 5, 6 and 8 also displayed the time-dependent manner as compound 3. The time-dependent manner of sorbicillinoids for radical scavenging was previously reported, including for oxosorbicillinol, trichotetronine, bisorbicillinolide and methylbisorbibutenolide [22,36,37]. There was a different scavenging values of 4 and 8 between this study and the reports in Hirota's Lab, and the reaction buffer might be the key determination factor. Additionally, the  $IC_{50}$  values of compounds 1, 2 and 7 exceeded  $200 \mu$ M, even standing for 24 h, indicating that they did not have DPPH radical scavenging ability (Table 4). DPPH radical scavenging activity of other representative sorbicillinoids has been reported, including for bisorbicillinol, bisvertinolone and bisorbibetanone, which

showed ED<sub>50</sub> values of 31.4, 44.3 and 62.5  $\mu$ M, respectively [21,37]. To date, compound **3** displayed the best DPPH radical scavenging activity for 24 h among all reported sorbicillinoids.



**Figure 5.** DPPH radical scavenging activity of compound **3** and ascorbic acid as the positive control at 0.5, 1, 4, 6, 8 and 24 h.

| Compounds     | IC <sub>50</sub> Value (μM) |                  |                   |                   |                   |                   |  |
|---------------|-----------------------------|------------------|-------------------|-------------------|-------------------|-------------------|--|
|               | 0.5 h                       | 1 h              | 4 h               | 6 h               | 8 h               | 24 h              |  |
| 1             | >200                        | >200             | >200              | >200              | >200              | >200              |  |
| 2             | >200                        | >200             | >200              | >200              | >200              | >200              |  |
| 3             | $60.29 \pm 6.28$            | $43.52\pm5.93$   | $22.57\pm7.34$    | $15.85\pm5.94$    | $12.30\pm5.74$    | $11.53 \pm 1.53$  |  |
| 4             | >200                        | >200             | >200              | >200              | >200              | $151.87\pm15.63$  |  |
| 5             | >200                        | >200             | >200              | >200              | >200              | $116.83\pm3.93$   |  |
| 6             | >200                        | >200             | >200              | >200              | $197.73\pm27.70$  | $102.48\pm5.04$   |  |
| 7             | >200                        | >200             | >200              | >200              | >200              | >200              |  |
| 8             | $155.40\pm12.42$            | $129.87\pm12.09$ | $88.38 \pm 16.29$ | $77.20 \pm 15.38$ | $71.00 \pm 14.56$ | $55.36 \pm 14.92$ |  |
| Ascorbic acid | $25.36\pm3.82$              | $25.42 \pm 3.85$ | $26.65\pm3.29$    | $26.77\pm3.24$    | $26.48 \pm 4.03$  | $28.45\pm3.04$    |  |

Table 4. DPPH radical scavenging activities of compounds 1-8.

# 3.3. Determination of Acsor Cluster Boundary and Its Proposed Biosynthetic Pathway of Sorbicillinoid

To confirm the boundary of the sorbicillinoid biosynthetic gene cluster, the total RNA was isolated from *A. chrysogenum* C10 after incubation in the modified MDFA medium (also producing sorbicillinoids as in the rice solid medium) for 1, 3 and 5 days, and used as a template for real-time RT-PCR, the transcriptions of all 10 genes, including *orf2* (ACRE\_048080), *AcsorD* (ACRE\_048110), *AcsorR2* (ACRE\_048120), *AcsorT* (ACRE\_048130), *AcsorE* (ACRE\_048140), *AcsorR1* (ACRE\_048150), *AcsorC* (ACRE\_048160), *AcsorB* (ACRE\_048170), *AcsorA* (ACRE\_048180) and *orf1* (ACRE\_048200), were analysed (Figure 6A). Transcriptional results showed that *AcsorA*, *AcsorB*, *AcsorC*, *AcsorD*, *AcsorE*, *AcsorT*, *AcsorR1* and *AcsorR2* displayed a similar transcriptional pattern. In other words, the transcriptional level gradually increases during the fermentation. However, *orf2* was significantly different from other genes in the *Acsor* cluster. Thus, *orf1* and *orf2* are considered to be situated outside the *Acsor* cluster (Figure 6B). Combining with the results from

bioinformatic analysis, a 35.5 kb *Acsor* cluster was identified that contains eight genes encoding one high-reducing polyketide synthase AcsorA, one non-reducing PKS AcsorB, two FAD-dependent monooxygenases AcsorC and AcsorD, one major facilitator superfamily transporter AcsorT, two putative regulators AcsorR1 and AcsorR2 and one putative serine hydrolase AcsorE.



**Figure 6.** (**A**) Organization of the sorbicillinoid biosynthetic gene cluster. FMO, FAD-dependent monooxygenase; PKS, polyketide synthase; TF, transcriptional factor; AM, auxiliary modifier; MFS, major facilitator superfamily transporter. (**B**) Transcriptional profiles of the *Acsor* genes during fermentation.

Based on the confirmation of *Acsor* cluster, the biosynthetic pathway of compounds **1–8** was proposed. Sorbicillinoid biosynthesis starts from the formation of the polyketide backbone via condensation of acetate units catalyzed by AcsorA and AcsorB to generate sorbicillin and dihydrosorbicillin, and then they are oxidative dearomatized by AcsorC to form the common precursor-sorbicillinol and dihydrosorbicillinol. Sorbicillinol and its derivatives can be converted to **1**, **2** and **4** by a Diels–Alder reaction. Compounds **3**, **5** and **6** were biosynthesized by a Michael addition of sorbicillinol. Compounds **7** and **8** could be formed from sorbicillinol by an oxidation reaction (Figure 7). The structure diversification of sorbicillinoid derivatives was likely due to the multi-functions of *AcsorD* in *A. chrysogenum*.



Figure 7. Proposed biosynthetic pathway of compounds 1-8.

# 4. Conclusions

In summary, eight sorbicillinoid derivatives including three new ones, acresorbicillinols A–C (1–3), were isolated from the marine-derived fungus *A. chrysogenum*. The absolute configurations of compounds 1–3 were determined by ECD calculations. Compound 3 exhibited strong DPPH radical scavenging, indicating that it can be regarded as one novel DPPH radical scavenging agent. Compounds 2 and 3 exhibited the moderate activities against *S. aureus* and *C. neoformans*, respectively. Meanwhile, the boundary of the *Acsor* cluster was confirmed and the biosynthetic pathway of compounds 1–8 was also proposed. This study suggests that *A. chrysogenum* is a potential pool for novel sorbicillinoids and radical scavenging agents.

Supplementary Materials: The following supporting information can be downloaded at: https: //www.mdpi.com/article/10.3390/jof8050530/s1, Figure S1: <sup>1</sup>H NMR spectrum of acresorbicillinol A (1; 500 MHz, CD<sub>3</sub>OD), Figure S2: <sup>13</sup>C NMR spectrum of acresorbicillinol A (1; 125 MHz, CD<sub>3</sub>OD), Figure S3: <sup>1</sup>H-<sup>1</sup>H COSY spectrum of acresorbicillinol A (1, CD<sub>3</sub>OD), Figure S4: HSQC spectrum of acresorbicillinol A (1, CD<sub>3</sub>OD), Figure S5: HMBC spectrum of acresorbicillinol A (1, CD<sub>3</sub>OD), Figure S6: NOESY spectrum of acresorbicillinol A (1, CD<sub>3</sub>OD), Figure S7: <sup>1</sup>H NMR spectrum of acresorbicillinol B (2; 500 MHz, CD<sub>3</sub>OD), Figure S8: <sup>13</sup>C NMR spectrum of acresorbicillinol B (2; 125 MHz, CD<sub>3</sub>OD), Figure S9: <sup>1</sup>H-<sup>1</sup>H COSY spectrum of acresorbicillinol B (2, CD<sub>3</sub>OD), Figure S10: HSQC spectrum of acresorbicillinol B (2, CD<sub>3</sub>OD), Figure S11: HMBC spectrum of acresorbicillinol B (2, CD<sub>3</sub>OD), Figure S12. NOESY spectrum of acresorbicillinol B (2, CD<sub>3</sub>OD) Figure S13: <sup>1</sup>H NMR spectrum of acresorbicillinol C (**3**; 500 MHz, DMSO:CDCl<sub>3</sub> = 3:1), Figure S14: <sup>13</sup>C NMR spectrum of acresorbicillinol C (3; 125 MHz, DMSO:CDCl<sub>3</sub> = 3:1), Figure S15: <sup>1</sup>H-<sup>1</sup>H COSY spectrum of acresorbicillinol C (3, DMSO:CDCl<sub>3</sub> = 3:1), Figure S16: HSQC spectrum of acresorbicillinol C (3, DMSO:CDCl<sub>3</sub> = 3:1), Figure S17: HMBC spectrum of acresorbicillinol C (3, DMSO:CDCl<sub>3</sub> = 3:1), Figure S18: NOESY spectrum of acresorbicillinol C ( $\mathbf{3}$ , DMSO:CDCl<sub>3</sub> = 3:1), Figure S19: ECD conformers of acresorbicillinols A-C (1-3). Figure S20: HPLC profiles of the extracts from the rice solid medium of A. chrysogenum after 7 days fermentation. Tale S1: Primers used in this study.

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# Article New Antibacterial Chloro-Containing Polyketides from the Alga-Derived Fungus Asteromyces cruciatus KMM 4696

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**Abstract:** Six new polyketides acrucipentyns A–F (1–6) were isolated from the alga-derived fungus *Asteromyces cruciatus* KMM 4696. Their structures were established based on spectroscopic methods. The absolute configurations of acrucipentyn A was assigned by the modified Mosher's method and ROESY data analysis. Acrucipentyns A–E were identified to be the very first examples of chlorine-containing asperpentyn-like compounds. The cytotoxic and antimicrobial activities of the isolated compounds were examined. Acrucipentyns A–F were found as antimicrobial agents, which inhibited sortase A enzyme activity, bacterial growth and biofilm formation of *Staphylococcus aureus* and decreased LDH release from human keratinocytes HaCaT in *S. aureus* skin infection in an in vitro model.

**Keywords:** *Asteromyces cruciatus;* marine fungi; secondary metabolites; polyketides; sortase A; chlore-containing metabolites; *Staphylococcus aureus;* antibacterial activity; biofilm formation

# 1. Introduction

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Microfilamentous fungi isolated from a variety of marine environments can be divided into facultative and obligate groups [1]. Facultative marine fungi are those found in both marine and terrestrial sources. The metabolism of such fungi species adapts to marine environment conditions and produces secondary metabolites, which are unusual for these species. For example, the well-known and widespread fungus *Penicillium chrysogenum*, isolated from marine sediments, was reported to be a producer of the unique dimeric nitrophenyl *trans*-epoxyamides, chrysamides A–C [2]. Obligate marine fungi are exclusively found in marine sources and have never been isolated from terrestrial samples. The metabolism of obligate marine fungi is more dramatically altered by the saline stress and other factors. The biosynthesis of previously undescribed chemical structures is the consequence [3].

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Obligate marine fungus *Asteromyces cruciatus* C. Moreau et Moreau ex Hennebert is a widespread species, which can be found in the tropical and temperate zones of the Pacific, Atlantic and Indian oceans. This species was initially discovered in the sand sample of the coastal area and was validly described in 1961. *A. cruciatus* can be found drifting or washed up on the sore wood, algae, in sediment bottom samples. Currently, this species is still poorly studied, and its position in the fungal classification tree remains uncertain [4].

Nevertheless, a few chemical studies have shown that *A. cruciatus* is a promising source of new secondary metabolites. Thus, diketopiperazine gliovictin was the first compound isolated from *A. cruciatus* [5]. The fungus *A. cruciatus* 763 yielded the new pentapeptide lajollamide A, which exhibited a weak antibacterial activity, along with several known sulfur-containing diketopiperazines [6]. Two new polyketides, primarolides A and B, were isolated from an A. cruciatus culture treated with suberoylanilide hydroxamic acid and high concentrations of NaCl [7].

Being a part of the microbial community, both facultative and obligate marine fungi produce various bioactive secondary metabolites, which help them to interact and fight with other species. By 2021, about 300 small molecules possessing a potent antimicrobial activity and isolated from various marine fungi had been reported [8,9]. Marine fungal secondary metabolites exhibit their antibacterial effects by directly inhibiting bacterial growth, as well as by decreasing virulence or biofilms formation [10]. A membrane-associated enzyme, sortase A, is responsible for the covalent attachment of many virulent Gram-positive bacteria, including *Staphylococcus aureus*, to the mammalian cell wall [11,12]. Thus, the sortase A enzyme is an attractive target for new drugs against virulent and antibiotic-resistant Gram-positive bacteria, which are known to be one of the main causes of infectious disease worldwide [13]. The compounds capable of sortase A inhibition and lacking, at the same time, cytotoxicity to mammalian cells are of particular interest, because they can inhibite bacterial biofilm formation and decrease the virulence and toxicity of bacteria.

In the current research, as a part of our continuing efforts to search for new antibacterial metabolites in marine fungi [14,15], we isolated new isoprenylated cyclohexanols acrucipentyns A–F (**1–6**) from a culture of *Asteromyces cruciatus* KMM 4696 associated with brown alga *Sargassum pallidum* (Vostok Bay, the Sea of Japan). The effect of acrucipentyns on enzymatic activity of sortase A from *Staphylococcus aureus*, growth and biofilm formation of *S. aureus*, as well as toxicity of acrucipentyns to various mammalian (human) cells, were tested. Finally, the effect of isolated compounds on human keratinocytes HaCaT co-cultured with *S. aureus* was investigated.

#### 2. Materials and Methods

#### 2.1. General Experimental Procedures

Optical rotations were measured on a Perkin-Elmer 343 polarimeter (Perkin Elmer, Waltham, MA, USA). UV spectra were recorded on a Shimadzu UV-1601PC spectrometer (Shimadzu Corporation, Kyoto, Japan) in methanol. CD spectra were measured with a Chirascan-Plus CD spectrometer (Leatherhead, UK) in methanol. NMR spectra were recorded in  $CDCl_3$ , acetone- $d_6$  and DMSO- $d_6$ , on a Bruker DPX-300 (Bruker BioSpin GmbH, Rheinstetten, Germany), a Bruker Avance III-500 (Bruker BioSpin GmbH, Rheinstetten, Germany) and a Bruker Avance III-700 (Bruker BioSpin GmbH, Rheinstetten, Germany) spectrometer, using TMS as an internal standard. HRESIMS spectra were measured on a Maxis impact mass spectrometer (Bruker Daltonics GmbH, Rheinstetten, Germany). Microscopic examination and photography of fungal cultures were performed with Olympus CX41 microscope equipped with an Olympus SC30 digital camera. Detailed examination of ornamentation of the fungal conidia was performed using scanning electron microscopy (SEM) EVO 40.

Low-pressure liquid column chromatography was performed using silica gel (60/100  $\mu$ m, Imid Ltd., Krasnodar, Russia) and Gel ODS-A (12 nm, S—75 um, YMC Co., Ishikawa, Japan). Plates precoated with silica gel (5–17  $\mu$ m, 4.5 cm  $\times$  6.0 cm, Imid Ltd., Russia) and silica gel 60

RP-18 F<sub>254</sub>S (20 cm  $\times$  20 cm, Merck KGaA, Darmstadt, Germany) were used for thin-layer chromatography. Preparative HPLC was carried out on an Agilent 1100 chromatograph (Agilent Technologies, Santa Clara, CA, USA) with an Agilent 1100 refractometer (Agilent Technologies, Santa Clara, CA, USA) and a Shimadzu LC-20 chromatograph (Shimadzu USA Manufacturing, Canby, OR, USA) with a Shimadzu RID-20A refractometer (Shimadzu Corporation, Kyoto, Japan) using YMC ODS-AM (YMC Co., Ishikawa, Japan) (5  $\mu$ m, 10 mm  $\times$  250 mm), YMC ODS-AM (YMC Co., Ishikawa, Japan) (5  $\mu$ m, 4.6 mm  $\times$  250 mm) and Hydro-RP (Phenomenex, Torrance, CA, USA) (4  $\mu$ m, 250 mm  $\times$  10 mm) columns.

#### 2.2. Fungal Strain

The brown algae samples were collected in Vostok Bay (Sea of Japan) in sterile plastic bags. Before use, they were stored in a freezer at -18 °C. Isolation of fungi from algae samples was carried out by the plate method using Tubaki agar medium. The fungus was isolated into a pure culture by transferring the inoculum from a Petri dish onto a slant wort agar, where it was further stored. Microscopic examination of the strain was performed using Olympus CX41.

For DNA isolation, a fungus culture grown at 25 °C for 7 days was used. Isolation of genomic DNA was carried out using a commercial DNA kit (DNA-Technology Ltd., Moscow, Russia) in accordance with the protocol. Amplification and sequencing of the ITS genes were performed using ITS1 and ITS4 gene-specific primers [16]. The obtained sequence was compared with the GenBank sequence dataset and registered under accession number OL477331.

#### 2.3. Cultivation of Fungus

The fungus was cultured at 22 °C for three weeks in  $60 \times 500$  mL Erlenmeyer flasks, each containing rice (20.0 g), yeast extract (20.0 mg), KH<sub>2</sub>PO<sub>4</sub> (10 mg) and natural seawater from the Marine Experimental Station of PIBOC, Troitsa (Trinity) Bay, Sea of Japan (40 mL).

#### 2.4. Extraction and Isolation

At the end of the incubation period, the mycelia and medium were homogenized and extracted with EtOAc (1 L). The obtained extract was concentrated to dryness. The residue (17.5 g) was dissolved in H<sub>2</sub>O–EtOH (4:1) (100 mL) and extracted successively with *n*-hexane (0.2 L × 3), EtOAc (0.2 L × 3) and BuOH (0.2 L × 3). After evaporation of the ethyl acetate layer, the residual material (5.5 g) was subjected to column chromatography on silica gel, which was eluted with a gradient of *n*-hexane in ethyl acetate (100:1, 95:5, 90:10, 80:20, 70:30, 50:50). Fractions of 250 mL were collected and combined on the basis of TLC (silica gel, toluene–isopropanol 6:1 and 3:1, *v*/v).

The fractions of *n*-hexane-EtOAc (95:5, 80 mg) and *n*-hexane-EtOAc (90:10, 200 mg) were separated on a Gel ODS-A column (1.5 cm  $\times$  8 cm), which was eluted by a step gradient from 40% to 80% CH<sub>3</sub>OH in H<sub>2</sub>O (total volume 1 L), to afford subfractions I and II. Subfraction I (40% CH<sub>3</sub>OH, 146 mg) was purified by RP HPLC on a YMC ODS-AM column eluted with CH<sub>3</sub>OH-H<sub>2</sub>O (90:10) and then with CH<sub>3</sub>OH-H<sub>2</sub>O (60:40) to yield **2** (1.8 mg) and **4** (6.0 mg). Subfraction II (60% CH<sub>3</sub>OH, 110 mg) was purified by RP HPLC on a YMC ODS-AM column eluted with CH<sub>3</sub>OH-H<sub>2</sub>O (80:20) and then with CH<sub>3</sub>OH-H<sub>2</sub>O (55:45) to yield **1** (7 mg).

The *n*-hexane-EtOAc (80:20, 470 mg) fraction was separated on a Gel ODS-A column (1.5 cm  $\times$  8 cm), which was eluted with a step gradient from 40% to 80% CH<sub>3</sub>OH in H<sub>2</sub>O (total volume 1 L) to give subfraction III. Subfraction III (40% CH<sub>3</sub>OH, 250 mg) was separated by RP HPLC on a YMC ODS-AM column eluting with CH<sub>3</sub>OH-H<sub>2</sub>O (90:10) and then with CH<sub>3</sub>OH-H<sub>2</sub>O (60:40) to yield **6** (58 mg).

After evaporation of the BuOH layer, the residual material (0.98 g) was passed through a silica column (3 cm  $\times$  14 cm), which was separated in the same way as the ethyl acetate extract.

The *n*-hexane-EtOAc (50:50, 252 mg) fraction was purified by RP HPLC on a YMC ODS-A column eluted with  $CH_3OH-H_2O$  (45:1055) and then with  $CH_3CN-H_2O$  (25:75) to yield **3** (3.9 mg) and **5** (5.2 mg).

# 2.5. Spectral Data

Acrucipentyn A (1): amorphous solids;  $[\alpha]_D^{20} - 36.0$  (*c* 0.09 CH<sub>3</sub>OH); CD (*c* 9.6 × 10<sup>-4</sup>, CH<sub>3</sub>OH),  $\lambda_{max}$  (Δε) 210 (-0.97), 266 (-0.14) nm, see Supplementary Figure S1; UV (CH<sub>3</sub>OH)  $\lambda_{max}$  (log  $\varepsilon$ ) 271 (2.54), 256 (2.50) and 224 (3.77) nm, see Supplementary Figure S7; <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2, Supplementary Figures S13–S22; HRESIMS *m*/*z* 229.0625 [M – H]<sup>-</sup> (calcd. for C<sub>11</sub>H<sub>14</sub>ClO<sub>3</sub>, 229.0637, Δ5.0 ppm), 253.0599 [M + Na]<sup>+</sup> (calcd. for C<sub>11</sub>H<sub>15</sub>ClO<sub>3</sub>Na, 253.0602, Δ1.2 ppm).

| Position | 1 <sup>a</sup>   | 2 <sup>a</sup>                            | 3 <sup>b</sup>  | 4 <sup>c</sup>               | 5 <sup>c</sup>                | 6 <sup>d</sup>           |
|----------|--|---|---|------------------------------|-------------------------------|--------------------------|
| 1        | 3.81, brs  | 3.70, m                                   | 3.68, dt (10.4,<br>5.4)   | 4.06, brt (4.2)              | 4.05, t (8.2)                 | 4.50, brs                |
| 2        | 3.57, ddd (10.2,<br>6.8, 2.6)                            | 3.83, brs                                 | 3.91, t (9.9)   | 3.87, ddd (8.5,<br>5.2, 4.0) | 3.69, dd (11.2,<br>8.6)       | 3.60, t (3.3)            |
| 3        | 4.00, dd (10.3,<br>2.3)                                  | 4.18, t (4.1)                             | 3.32, td (9.8, 4.6)   | 4.25, dd (8.6,<br>3.9)       | 3.31, ddd (11.3,<br>7.7, 6.2) | 3.50, m                  |
| 4        | 3.92, brs  | 4.03, dq (12.0,<br>3.9)                   | 3.81, m   | 4.37, brq (4.4)              | 3.97, m                       | 4.53, d (4.8)            |
| 5        | α: 1.92, td (12.9,<br>2.1)<br>β: 1.65, dt (13.0,<br>3.2) | 1.74, dt (12.4,<br>3.9)<br>1.65, q (12.1) | 1.63, ddd (13.3,<br>11.8, 4.1)<br>2.01, ddd (13.3,<br>4.5, 3.2) | 5.92, d (4.2)                | 5.82, brt (2.0)               | 6.04, dt (5.0, 2.0)      |
| 6        | 2.96, dt (12.6,<br>2.5)                                  | 2.73, td (12.0,<br>3.9)                   | 3.21, q (4.0)   |                              |                               |                          |
| 4'       | a: 5.14, s<br>b: 5.18, s                                 | a: 5.16, s<br>b: 5.19, s                  | 5.21, m   | a: 5.27, s<br>b: 5.32, s     | a: 5.27, s<br>b: 5.31, s      | a: 5.30, s<br>b: 5.36, s |
| 5'       | 1.80, s  | 1.81, s                                   | 1.86, t (1.2)   | 1.86, s                      | 1.86, s                       | 1.92, s                  |
| 1-OH     | 4.89, d (4.3)  | 4.77, d (6.7)                             | 4.38, d (5.6)   | 5.04, d (6.7)                | 5.73, d (7.9)                 |                          |
| 2-OH     | 4.92, d (7.0)  | 5.40, brs                                 |   | 5.17, d (5.5)                |                               |                          |
| 3-OH     |  |   | 4.50, d (4.4)   |                              | 5.48, d (6.0)                 |                          |
| 4-OH     | 5.06, d (4.2)  | 4.90, d (4.9)                             | 4.04, d (3.4)   | 5.25, brd (5.8)              | 5.31, d (5.4)                 |                          |

**Table 1.** <sup>1</sup>H NMR data ( $\delta$  in ppm, *J* in Hz) for compounds (1–6).

<sup>a</sup> Chemical shifts were measured at 700.13 MHz in DMSO-d<sub>6</sub>. <sup>b</sup> Chemical shifts were measured at 700.13 MHz in acetone-d<sub>6</sub>. <sup>c</sup> Chemical shifts were measured at 500.13 MHz in DMSO-d<sub>6</sub>. <sup>d</sup> Chemical shifts were measured at 500.13 MHz in CDCl<sub>3</sub>.

Acrucipentyn B (**2**): amorphous solids;  $[\alpha]_D^{20}$  +46.2 (*c* 0.09 CH<sub>3</sub>OH); CD (*c* 8.7 × 10<sup>-4</sup>, CH<sub>3</sub>OH),  $\lambda_{max}$  ( $\Delta \epsilon$ ) 223 (+0.87) nm, see Supplementary Figure S2; UV (CH<sub>3</sub>OH)  $\lambda_{max}$  (log  $\epsilon$ ) 224 (3.93), 200 (3.41) and 194 (3.51) nm, see Supplementary Figure S8; <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2, Supplementary Figures S23–S29; HRESIMS *m*/*z* 229.0634 [M – H]<sup>-</sup> (calcd. for C<sub>11</sub>H<sub>14</sub>ClO<sub>3</sub>, 229.0637,  $\Delta$ 1.4 ppm), 253.0601 [M + Na]<sup>+</sup> (calcd. for C<sub>11</sub>H<sub>15</sub>ClO<sub>3</sub>Na, 253.0602,  $\Delta$ 0.5 ppm).

| Position | 1 <sup>a</sup>         | 2 <sup>a</sup>         | 3 <sup>b</sup>         | 4 <sup>c</sup>         | 5 <sup>c</sup>         | 6 <sup>d</sup>         |
|----------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| 1        | 71.9, CH               | 68.7, CH               | 74.2, CH               | 67.9, CH               | 72.9, CH               | 65.2, CH               |
| 2        | 70.2, CH               | 72.5, CH               | 68.9, CH               | 68.6, CH               | 68.3, CH               | 53.5, CH               |
| 3        | 65.7, CH               | 66.1, CH               | 79.5, CH               | 63.3, CH               | 74.8, CH               | 55.3, CH               |
| 4        | 68.2, CH               | 64.0, CH               | 71.1, CH               | 65.1, CH               | 71.2, CH               | 63.0, CH               |
| 5        | 33.1, CH <sub>2</sub>  | 33.4, CH <sub>2</sub>  | 34.2, CH <sub>2</sub>  | 136.0, CH              | 137.2, CH              | 131.4, CH              |
| 6        | 28.5, CH               | 30.3, CH               | 35.0, CH               | 123.5, C               | 123.8, C               | 123.5, C               |
| 1'       | 91.1, C                | 91.7, C                | 88.4, C                | 88.2, C                | 86.8, C                | 85.1, C                |
| 2′       | 81.7, C                | 81.7, C                | 86.3, C                | 89.9, C                | 90.7, C                | 93.5, C                |
| 3'       | 126.8, C               | 126.7, C               | 128.6, C               | 126.2, C               | 126.2, C               | 126.2, C               |
| 4'       | 120.7, CH <sub>2</sub> | 120.7, CH <sub>2</sub> | 121.4, CH <sub>2</sub> | 122.2, CH <sub>2</sub> | 122.2, CH <sub>2</sub> | 123.5, CH <sub>2</sub> |
| 5'       | 23.5, CH <sub>3</sub>  | 23.5, CH <sub>3</sub>  | 23.8, CH <sub>3</sub>  | 23.0, CH <sub>3</sub>  | 23.0, CH <sub>3</sub>  | 23.2, CH <sub>3</sub>  |

**Table 2.** <sup>13</sup>C NMR data ( $\delta$  in ppm) for compounds **1–6**.

<sup>a</sup> Chemical shifts were measured at 176.04 MHz in DMSO-d<sub>6</sub>. <sup>b</sup> Chemical shifts were measured at 75.47 MHz in acetone-d<sub>6</sub>. <sup>c</sup> Chemical shifts were measured at 125.77 MHz in DMSO-d<sub>6</sub>. <sup>d</sup> Chemical shifts were measured at 125.77 MHz in CDCl<sub>3</sub>.

Acrucipentyn C (**3**): amorphous solids;  $[\alpha]_D^{20}$  –127.9 (*c* 0.07 CH<sub>3</sub>OH); CD (*c* 1.2 × 10<sup>-3</sup>, CH<sub>3</sub>OH),  $\lambda_{max}$  (Δε) 223 (–0.89), 297 (–0.11), 305 (–0.12) nm, see Supplementary Figure S3; UV (CH<sub>3</sub>OH)  $\lambda_{max}$  (log  $\varepsilon$ ) 270 (3.06), 251 (2.63) and 223 (3.70) nm, see Supplementary Figure S9; <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2, Supplementary Figures S30–S35; HRESIMS *m*/*z* 229.0631 [M – H]<sup>–</sup> (calcd. for C<sub>11</sub>H<sub>14</sub>ClO<sub>3</sub>, 229.0637, Δ2.5 ppm), 253.0595 [M + Na]<sup>+</sup> (calcd. for C<sub>11</sub>H<sub>15</sub>ClO<sub>3</sub>Na, 253.0602, Δ2.7 ppm).

Acrucipentyn D (4): amorphous solids;  $[\alpha]_D^{20} - 94.3$  (*c* 0.06 CH<sub>3</sub>OH); CD (*c* 8.8 × 10<sup>-4</sup>, CH<sub>3</sub>OH),  $\lambda_{max}$  (Δε) 210 (-3.73), 227 (-1.44), 238 (-1.17) nm, see Supplementary Figure S4; UV (CH<sub>3</sub>OH)  $\lambda_{max}$  (log  $\varepsilon$ ) 259 (3.90), 226 (3.56) and 198 (3.80) nm, see Supplementary Figure S10; <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2, Supplementary Figures S36–S42; HRESIMS m/z 227.0471 [M – H]<sup>-</sup> (calcd. for C<sub>11</sub>H<sub>12</sub>ClO<sub>3</sub>, 227.0480, Δ4.0 ppm), 251.0441 [M + Na]<sup>+</sup> (calcd. for C<sub>11</sub>H<sub>13</sub>ClO<sub>3</sub>Na, 251.0445, Δ1.6 ppm).

Acrucipentyn E (5): amorphous solids;  $[\alpha]_D^{20}$  –60.5 (*c* 0.09 CH<sub>3</sub>OH); CD (*c* 8.8 × 10<sup>-4</sup>, CH<sub>3</sub>OH),  $\lambda_{max}$  (Δε) 199 (–5.11), 226 (–1.19), 237 (–0.83) nm, see Supplementary Figure S5; UV (CH<sub>3</sub>OH)  $\lambda_{max}$  (log  $\varepsilon$ ) 260 (4.22), 226 (3.77) and 198 (4.10) nm, see Supplementary Figure S11; <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2, Supplementary Figures S43–S49; HRESIMS m/z 227.0470 [M – H]<sup>-</sup> (calcd. for C<sub>11</sub>H<sub>12</sub>ClO<sub>3</sub>, 227.0480, Δ4.5 ppm), 251.0441 [M + Na]<sup>+</sup> (calcd. for C<sub>11</sub>H<sub>13</sub>ClO<sub>3</sub>Na, 251.0445, Δ1.6 ppm).

Acrucipentyn F (6): amorphous solids;  $[\alpha]_D^{20}$  +40.3 (*c* 0.11 CH<sub>3</sub>OH); CD (*c* 1.0 × 10<sup>-3</sup>, CH<sub>3</sub>OH),  $\lambda_{max}$  (Δε) 199 (-4.56), 226 (-0.72), 259 (+1.13) nm, see Supplementary Figure S6; UV (CH<sub>3</sub>OH)  $\lambda_{max}$  (log  $\varepsilon$ ) 259 (4.05), 226 (3.59) and 201 (3.88) nm, see Supplementary Figure S12; <sup>1</sup>H and <sup>13</sup>C NMR data, see Tables 1 and 2, Supplementary Figures S50–S56; HRESIMS *m*/*z* 191.0704 [M – H]<sup>-</sup> (calcd. for C<sub>11</sub>H<sub>11</sub>O<sub>3</sub>, 191.0714, Δ5.2 ppm), 215.0677 [M + Na]<sup>+</sup> (calcd. for C<sub>11</sub>H<sub>12</sub>O<sub>3</sub>Na, 215.0679, Δ0.8 ppm).

#### 2.6. Preparation of Acetonides of 1a and 4a

To a DMFA solution of **1** (4.0 mg) 2,2-dimethoxypropane (0.5 mL) and catalyst *p*-toluenesulfonic acid (0.8 mg) at room temperature were added and the solution was stirred for 24 h. After the evaporation of the solvent, the product was dissolved in MeOH and purified by reversed-phase HPLC (YMC ODS-A column) eluted with  $CH_3CN-H_2O$  (50:50) to yield the acetonide product **1a** (1.5 mg). Compound **4** (4.0 mg) was treated similarly and yielded the acetonide product **4a** (1.4 mg).

Acetonide of acrucipentyn A (**1a**): amorphous solids; <sup>1</sup>H NMR (Acetone- $d_6$ , 500.13 MHz)  $\delta$ : 5.20 (1H, s, H-4a'), 5.19 (1H, s, H-4b'), 4.37 (1H, t, J = 4.3 Hz, H-1), 4.20 (1H, dd, J = 8.3; 4.8 Hz, H-2), 4.11 (1H, m, H-4), 4.00 (1H, dd, *J* = 8.3; 2.6 Hz, H-3), 3.50 (1H, dt, *J* = 12.2; 4.4 Hz, H-6), 2.03 (1H, m, H-5b), 1.97 (1H, dt, *J* = 13.7; 4.7 Hz, H-5a), 1.85 (3H, s, H<sub>3</sub>-5'), 1.47 (3H, s, H<sub>3</sub>-3''), 1.34 (3H, s, H<sub>3</sub>-2''); <sup>13</sup>C NMR (Acetone-*d*<sub>6</sub>, 125.77 MHz) δ: 128.9 (C-3'), 122.0 (C-4'), 110.3 (C-1''), 90.3 (C-1'), 84.1 (C-2'), 80.5 (C-2), 77.4 (C-1), 70.7 (C-4), 67.1 (C-3), 35.0 (C-6), 29.3 (C-3''), 27.2 (C-2''), 26.5 (C-5), 24.6 (C-5'), see Supplementary Figures S57–S58; HRESIMS *m*/*z* 293.0912 [M + Na]<sup>+</sup> (calcd. for C<sub>14</sub>H<sub>19</sub>ClO<sub>3</sub>Na, 293.0915, Δ0.9 ppm).

Acetonide of acrucipentyn D (4a): amorphous solids; <sup>1</sup>H NMR (Acetone- $d_6$ , 700.13 MHz) δ: 5.33 (1H, t, *J* = 0.9 Hz, H-4a'), 5.31 (1H, t, *J* = 1.7 Hz, H-4b'), 6.11 (1H, d, *J* = 3.0 Hz, H-5), 4.63 (1H, dd, *J* = 5.7; 0.9 Hz, H-1), 4.58 (1H, m, H-4), 4.57 (1H, t, *J* = 5.7 Hz, H-2), 4.38 (1H, dd, *J* = 5.6; 3.5 Hz, H-3), 1.91 (3H, t, *J* = 1.4 Hz, H<sub>3</sub>-5'), 1.37 (3H, s, H<sub>3</sub>-2"/H<sub>3</sub>-3"), 1.33 (3H, s, H<sub>3</sub>-2"/H<sub>3</sub>-3"); <sup>13</sup>C NMR (Acetone- $d_6$ , 125.77 MHz) δ: 137.7 (C-5), 130.0 (C-6), 129.3 (C-3'), 122.8 (C-4'), 110.6 (C-1"), 91.3 (C-2'), 87.9 (C-1'), 77.1 (C-2), 74.6 (C-1), 66.0 (C-4), 62.8 (C-3), 27.8 (C-2"/C-3"), 26.0 (C-2"/C-3"), 23.4 (C-5'), see Supplementary Figures S63–S64; HRESIMS *m*/*z* 291.0758 [M + Na]<sup>+</sup> (calcd. for C<sub>14</sub>H<sub>17</sub>ClO<sub>3</sub>Na, 291.0758, Δ0.9 ppm).

# 2.7. Preparation of (S)-MTPA and (R)-MTPA Esters of 1a

To a pyridine solution of **1a** (0.7 mg) 4-dimethylaminopyridine (a few crystals) and (*S*)-MTPA-Cl (10  $\mu$ L) at room temperature were added, and the solution was stirred for 24 h. After the evaporation of the solvent, the residue was purified by RP HPLC on a YMC ODS-AM column eluted with CH<sub>3</sub>CN-H<sub>2</sub>O (70:30) to afford the (*R*)-MTPA ester (**1a-1**). The (*S*)-MTPA ester (**1a-2**) was prepared in a similar manner using (*R*)-MTPACl. <sup>1</sup>H NMR and COSY data, see Supplementary Figure S59–S62.

(*R*)-MTPA ester of **1a**: <sup>1</sup>H NMR (Acetone- $d_6$ , 500.13 MHz)  $\delta$ : 5.56 (1H, brs, H-4), 5.22 (1H, s, H-4a'), 5.20 (1H, s, H-4b'), 4.32 (1H, brs, H-3), 4.30 (1H, brs, H-1), 4.09 (1H, dd, *J* = 8.1; 4.9 Hz, H-2), 2.80 (1H, m, H-6), 2.24 (1H, t, *J* = 13.7 Hz, H-5a), 2.09 (1H, m, H-5b), 1.84 (3H, s, H<sub>3</sub>-5'), 1.50 (3H, s, H<sub>3</sub>-3''), 1.33 (3H, s, H<sub>3</sub>-2''). HRESIMS *m*/*z* 509.1310 [M + Na]<sup>+</sup> (calcd for C<sub>24</sub>H<sub>26</sub>ClF<sub>3</sub>O<sub>5</sub>, 509.1313)

(*S*)-MTPA ester of **1a**: <sup>1</sup>H NMR (Acetone- $d_6$ , 500.13 MHz)  $\delta$ : 5.65 (1H, brs, H-4), 5.24 (1H, s, H-4a'), 5.21 (1H, s, H-4b'), 4.29 (1H, d, *J* = 7.9 Hz, H-3), 4.44 (1H, t, *J* = 4.1 Hz, H-1), 4.06 (1H, dd, *J* = 8.0; 5.2 Hz, H-2), 3.27 (1H, dt, *J* = 12.8; 4.1 Hz, H-6), 2.33 (1H, t, *J* = 13.4 Hz, H-5a), 2.22 (1H, dt, *J* = 14.6; 4.7 Hz, H-5b), 1.85 (3H, s, H<sub>3</sub>-5'), 1.50 (3H, s, H<sub>3</sub>-3''), 1.34 (3H, s, H<sub>3</sub>-2''). HRESIMS *m*/z 509.1307 [M + Na]<sup>+</sup> (calcd for C<sub>24</sub>H<sub>26</sub>ClF<sub>3</sub>O<sub>5</sub>, 509.1313).

# 2.8. An Epoxy Ring-Opening Reaction

Next, 2,2-dimethylpropanoyl chloride (0.5 mL) was added to an aqueous solution of **6** (12.0 mg) at room temperature, and the solution was stirred for 12 h. After the evaporation of the solvent, the product was dissolved in MeOH and purified by reversed-phase HPLC (Phenomenex Hydro-RP column) eluted with  $CH_3OH-H_2O$  (50:50) to yield compound **4** (3.7 mg) and compound **5** (6.4 mg).

# 2.9. Cell Lines and Culture Conditions

The human normal cell lines HEK 293T and MRC-9 cell lines were purchased from ECACC (Salisbury, UK). Human normal cell lines PNT2 and RWPE-1 as well as human cancer cell lines PC-3, DU145, 22Rv1, VCaP and LNCaP, as well as a human normal prostate line were purchased from ATCC (Manassas, VA, USA). Human normal cell line HUVEC (passage 11) was kindly donated by Prof. Sonja Loges (University Medical Center Hamburg-Eppendorf, Hamburg, Germany). The human keratinocytes cell line HaCaT was kindly provided by Prof. N. Fusenig (Cancer Research Centre, Heidelberg, Germany). All the cells had a passage number  $\leq$  30.

Cells were incubated in humidified 5% CO<sub>2</sub> at 37 °C. The cells were continuously kept in a culture for 3 months maximum and regularly checked for mycoplasma infection using MycoAlert<sup>™</sup> PLUS Mycoplasma Detection Kit (Lonza, Karlsruhe, Germany) and stable phenotype using light microscopy [17].

The following culture media were used: RPMI medium supplemented with Glutamax<sup>TM</sup>-I (Invitrogen, Paisley, UK) with 10% fetal bovine serum (FBS, Invitrogen) and 1% penicillin/streptomycin (Invitrogen) for PC-3, DU145, LNCaP, 22Rv1 and PNT2 cells. DMEM medium supplemented with Glutamax<sup>TM</sup>-I (Invitrogen) containing 10% FBS and 1% penicillin/streptomycin (Invitrogen) for MRC-9, HEK 293 and VCaP cells. Clonetics<sup>®</sup> EGM<sup>TM</sup>-2 SingleQuots<sup>®</sup> medium (Lonza, Walkersville, MD, USA) containing 10% FBS for RWPE-1 cells. Clonetics<sup>®</sup> EGM<sup>TM</sup>-2 SingleQuots<sup>®</sup> medium (Lonza, Walkersville, MD, USA) containing 10% FBS for HUVEC cells. DMEM medium (BioloT, St. Petersburg, Russia) containing 10% FBS and 1% penicillin/streptomycin (Invitrogen) for HaCaT cells.

# 2.10. MTT Assay

Cytotoxicity of the isolated compounds to mammalian cells was evaluated using an MTT assay as previously reported with minor modification [18]. In brief, 6000 cells/well were seeded in 96-well planes in 100  $\mu$ L/well and were incubated overnight. Then the media were exchanged with fresh media containing tested compounds in different concentrations. Following 72 h of incubation, 10  $\mu$ L of MTT solution (5 mg/mL, Sigma-Aldrich, Munich, Germany) was added to each well, the cells were incubated for 2–4 h. Then the media was carefully aspirated and the plates were dried for 2 h. Then 50  $\mu$ L/well of DMSO was added to each well to dissolve formazan crystals and the absorbance was measured using plate reader according to the manufacture's protocol. The data were analyzed and the IC<sub>50</sub>s values were calculated using GraphPad Prism software v.9.1.1 (GraphPad Software, San Diego, CA, USA).

#### 2.11. Sortase Activity Inhibition Assay

The enzymatic activity of sortase A from *Staphylococcus aureus* was determined using SensoLyte 520 Sortase A Activity Assay Kit \* Fluorimetric \* (AnaSpec AS-72229, AnaSpec, San Jose, CA, USA) in accordance with the manufacturer's instructions. Substances **1–6** were dissolved in DMSO and diluted with reaction buffer to obtain a final concentration of 0.8% DMSO, which did not affect enzyme activity. DMSO at a concentration of 0.8% was used as a control. PCMB (4-(hydroxymercuri)benzoic acid) was used as sortase A enzyme activity inhibitor. Fluorescence was measured with a plate reader PHERAStar FS (BMG Labtech, Offenburg, Germany) for 60 min, with a time interval of 5 min. The data were processed with MARS Data Analysis v. 3.01R2 (BMG Labtech, Offenburg, Germany). The results were presented as relative fluorescent units (RFUs) and percentage of the control data, calculated using STATISTICA 10.0 software [14].

#### 2.12. Antimicrobial Activity

The antibacterial activity of compounds **1–6** was evaluated as described previously [19]. The bacterial culture of *Staphylococcus aureus* ATCC 21027 (Collection of Marine Microorganisms PIBOC FEBRAS) was cultured in a Petri dish at 37 °C for 24 h on solid medium Mueller Hinton broth with agar—16.0 g/L.

The assays were performed in 96-well microplates in appropriate Mueller Hinton broth. Each well contained 90  $\mu$ L of bacterial suspension (10<sup>9</sup> CFU/mL). Then, 10  $\mu$ L diluted at concentrations from 1.5  $\mu$ M to 100.0  $\mu$ M using two-fold dilution was added to compounds **1–6** (DMSO concentration < 1%). Culture plates were incubated overnight at 37 °C, and the OD<sub>620</sub> was measured using a Multiskan Spectrum spectrophotometer (Thermo Labsystems Inc., Beverly, MA, USA). Gentamicin was used as a positive control in concentration 1 mg/mL; 1% DMSO solution in PBS as a negative.

#### 2.13. Biofilm Formation

The inhibition of the reducing biofilm formation and growth was assessed using the crystal violet biofilm assay as described [20]. Mueller Hinton broth was inoculated with  $10^9$  CFU/mL of *S. aureus* overnight cultures. A total of 90 µL of this cell suspension was then dispensed into 96-well microtiter plates containing 10 µL of different concentrations

of compounds 1–6. After 24 h growth at 37 °C the plates were washed with PBS to remove unbound cells. Next, the wells were stained with 0.1% crystal violet solution for 10 min at 37 °C. At the completion of the incubation, plates were washed 3 times with PBS and dried. Then, the crystal violet dye from the biofilm was solubilized with 100  $\mu$ L of ethanol. A total of 100  $\mu$ L of this solution was then moved to a new microtiter plate for absorbance measurement at  $\lambda = 570$  nm. The results were reported as percent inhibition normalized to the wild-type control.

# 2.14. Co-Cultivation of HaCaT Cells with S. aureus

Co-cultivation of HaCaT cells with *S. aureus* was carried out as described [21]. HaCaT cells at a concentration of  $1.5 \times 10^4$  cells per well were seeded in 96-well plates for 24 h. Then, culture medium in each well was changed with *S. aureus* suspension ( $10^2$  CFU/mL) in full DMEM medium. Fresh DMEM medium without *S. aureus* suspension was added in other wells as needed. Compounds **1–6** at a concentration of 10 µM were added in wells after 1 h. HaCaT cells and *S. aureus* were cultured at 37 °C in a humidified atmosphere with 5% (v/v) CO<sub>2</sub> for 48 h.

After incubation, the plate was centrifuged at  $250 \times g$  for 10 min and 50 µL of supernatant from each well was transferred into the corresponding wells of an optically clear 96-well plate. An equal volume of the reaction mixture (50 µL) from LDH Cytotoxicity Assay Kit (Abcam, Cambridge, UK) was added to each well and incubated for up to 30 min at room temperature. The absorbance of all samples was measured at  $\lambda = 450$  nm using a Multiskan FC microplate photometer (Thermo Scientific, Waltham, MA, USA) and expressed in optical units (o.u.).

#### 2.15. Statistical Analysis

All the experiments were performed in biological triplicates. Statistical analyses were performed using GraphPad Prism v.9.1.1 (GraphPad Software, San Diego, CA, USA) or STATISTICA 10.0 software. The data are reported as mean  $\pm$  SD (standard deviation). For the analysis of statistical differences between the control and drug-exposed group, a one-way ANOVA test followed by Dunnett's post-hoc test was used. Asterisk (\*) indicates statistically significant difference between the treated group and control group if *p* < 0.05.

# 3. Results and Discussion

# 3.1. Isolated Compounds from Asteromyces cruciatus

The fungus *Asteromyces cruciatus* was cultivated on a solid rice medium for 21 days. The ethyl acetate extract of the mycelium was fractionated on silica gel, followed by  $C_{18}$ -SiO<sub>2</sub>-column chromatography, and reversed-phase HPLC to produce compounds **1–6** (Figure 1).

#### 3.2. Structural Characterization of New Compounds

The molecular formula of **1** was determined as  $C_{11}H_{15}ClO_3$ , based on the analysis of HRESIMS (*m/z* 229.0625 [M – H]<sup>-</sup> calcd for  $C_{11}H_{14}ClO_3$ , 229.0637), showing the characteristic isotope pattern with one chlorine atom, and confirmed by NMR data. A close inspection of the <sup>1</sup>H and <sup>13</sup>C NMR data (Tables 1 and 2; Figures S13–S19) of **1** by DEPT and HSQC revealed the presence of one methyl ( $\delta_H$  1.80,  $\delta_C$  23.5), two methylenes ( $\delta_H$  1.65, 1.92,  $\delta_C$  33.1;  $\delta_H$  5.14, 5.18,  $\delta_C$  120.7), and five methines ( $\delta_H$  2.96,  $\delta_C$  28.5;  $\delta_H$  4.00,  $\delta_C$  65.7;  $\delta_H$  3.92,  $\delta_C$  68.2;  $\delta_H$  3.57,  $\delta_C$  70.2 and  $\delta_H$  3.81,  $\delta_C$  71.9), including three oxygen-bearing methines, one *sp*<sup>2</sup> quaternary carbon and one triple bond ( $\delta_C$  81.7 and 91.1). The <sup>1</sup>H–<sup>1</sup>H COSY correlations of H-1(OH)/H-2(OH)/H-3/H-4(OH)/H<sub>2</sub>-5/H-6/H-1 together with the <sup>1</sup>H-<sup>13</sup>C HMBC correlations (Figure 2) OH-1 ( $\delta_H$  4.89)/C-6 ( $\delta_C$  28.5); OH-2 ( $\delta_H$  4.92)/C-1 ( $\delta_C$  71.9), C-2 ( $\delta_C$  70.2), C-3 ( $\delta_C$  65.7) and OH-4 ( $\delta_H$  5.06)/C-4 ( $\delta_C$  68.2), C-5 ( $\delta_C$  33.1) indicated the presence of a penta-substituted cyclohexane ring and the location of the hydroxy groups at C-1, C-2 and C-4 in **1**. These data, as well as the chemical shifts of CH-3 ( $\delta_H$  4.00,  $\delta_C$  65.7), indicated the location of the chlorine atom at C-3.



Figure 1. Chemical structures of 1-6.



Figure 2. Key <sup>1</sup>H-<sup>1</sup>H COSY, <sup>1</sup>H-<sup>13</sup>C HMBC (a) and ROESY (b) correlations of 1.

The HMBC correlations from H-4'a ( $\delta_H$  5.14) to C-2' ( $\delta_C$  81.7) and C-3' ( $\delta_C$  126.8), from H<sub>3</sub>-5' ( $\delta_H$  1.80) to C-2', C-3' and C-4' ( $\delta_C$  120.7) and cross <sup>1</sup>H–<sup>1</sup>H COSY correlations between H<sub>2</sub>-4' and H<sub>3</sub>-5' revealed the presence of a 3-methyl-3-buten-1-ynyl side chain in **1**. The correlations H-6 ( $\delta_H$  3.19)/C-1' ( $\delta_C$  87.5) and C-2' ( $\delta_C$  84.1) observed in the HMBC spectrum, recorded in CDCl<sub>3</sub> (Figures S20–S22), established the position of the side chain at C-6.

The relative configurations of **1** were assigned based on ROESY correlations (Figure 2) H-6 ( $\delta_{H} 2.96$ )/H-2 ( $\delta_{H} 3.57$ ); OH-4 ( $\delta_{H} 5.06$ )/H-5 $\beta$  ( $\delta_{H} 1.65$ ) and H-2; H-3 ( $\delta_{H} 4.00$ )/H-2 $\beta$  ( $\delta_{H} 1.92$ ), OH-1 ( $\delta_{H} 4.89$ ) and <sup>1</sup>H-<sup>1</sup>H coupling constants (Table 1). For further investigation, we analyzed the stereoconfigurations of diol at C-1 and C-2 and for protection of these groups before MTPA-esters obtaining the acetonide derivative (**1a**) of compound **1** (Figure 3) was prepared. The small coupling constant ( $J_{1,2} = 4.3$  Hz) and dissimilar magnetic environment of acetonide methyls ( $\Delta = 0.13$  ppm) (Figure S57) indicate an *erythro* configuration of the diol group at C-1 and C-2 [22]. The absolute configuration of **1** was established by the modified Mosher's method [23]. Esterification of **1a** with (*S*)- and (*R*)-MTPA chloride occurred at the C-4 hydroxy group to yield the (*R*)-and (*S*) MTPA esters **1a-1** and **1a-2**, respectively. The observed chemical shift differences  $\Delta\delta(\delta_{S}-\delta_{R})$  (Figure 3) indicate an *1R,2R,3R,4R,6S*. Compound **1** was named acrucipentyn A.



**Figure 3.** Chemical structure of **1a** (a) and  $\Delta\delta(\delta_{S}-\delta_{R})$  values (in ppm) for MTPA esters of **1a** (b).

The HRESIMS of **2** and **3** showed the peaks of  $[M-H]^-$  at m/z 229.0634 and m/z 229.0631, respectively. These data, coupled with <sup>13</sup>C NMR spectral data (DEPT), established the molecular formulas of **2** and **3** as  $C_{11}H_{15}ClO_3$  for both. A close inspection of the <sup>1</sup>H and <sup>13</sup>C NMR data (Tables 1 and 2 and Figures S23–S35) of **2** and **3** by DEPT and HSQC revealed the presence of a penta-substituted cyclohexane ring with three hydroxy groups and a 3-methyl-3-buten-1-ynyl side chain.

The main  ${}^{1}\text{H}{-}{}^{1}\text{H}$  COSY and HMBC correlations (Figures S26 and S28) indicated that compound **2** has the same planar structure as **1**. The relative configuration of **2** was assigned based on  ${}^{1}\text{H}{-}{}^{1}\text{H}$  vicinal coupling constants (Table 1) and ROESY (Figure S29) correlations H-6 ( $\delta_{\text{H}}$  2.87)/H-4 ( $\delta_{\text{H}}$  4.22) and H-5 $\beta$  ( $\delta_{\text{H}}$  1.91)/H-1 ( $\delta_{\text{H}}$  3.92). Due to the small amount of compound **2**, the absolute configuration establishing by Mosher's method was impossible. Compound **2** was named acrucipentyn B.

The <sup>1</sup>H–<sup>1</sup>H COSY correlations of H-1(OH)/H-2/H-3(OH)/H-4(OH)/H<sub>2</sub>-5/H-6 together with the <sup>1</sup>H-<sup>13</sup>C HMBC correlations (Figure S34) OH-1 ( $\delta_{H}$  4.38)/C-1 ( $\delta_{C}$  74.2), C-2 ( $\delta_{C}$  68.9) and C-6 ( $\delta_{C}$  35.0); OH-3 ( $\delta_{H}$  4.50)/C-2, C-3 ( $\delta_{C}$  79.5) and C-4 ( $\delta_{C}$  71.1), and OH-4 ( $\delta_{H}$  4.04)/C-3, C-4 and C-5 ( $\delta_{C}$  34.2) indicated the location of the hydroxy groups at C-1, C-3, C-4 and a chlorine atom at C-2 in a penta-substituted cyclohexane ring of **3**. The structure of the 3-methyl-3-buten-1-ynyl side chain and its position at C-6 in **3** were determined by HMBC correlations (Figure S34), as for acrucipentyn A (1).

The relative configurations of the chiral centers in **3** were determined based on  ${}^{1}\text{H}{}^{-1}\text{H}$  coupling constants (Table 1). Using the Mosher's method to determine absolute configurations of compound **3** was unsuccessful, due to lability in this compound. Compound **3** was named acrucipentyn C.

The HRESIMS of **4** showed the peak of  $[M - H]^-$  at m/z 227.0471. These data, coupled with <sup>13</sup>C NMR spectral data (DEPT), established the molecular formula of **4** as C<sub>11</sub>H<sub>13</sub>ClO<sub>3</sub>. The <sup>1</sup>H and <sup>13</sup>C NMR (Tables 1 and 2 and Figures S36–S42), DEPT and HSQC spectra showed the presence of three hydroxy protons ( $\delta_H$  5.25, 5.17, 5.04), one methyl group ( $\delta_H$  1.86,  $\delta_C$  23.0), one olefinic methylene ( $\delta_H$  5.32, 5.27,  $\delta_C$  122.2) and five methines ( $\delta_H$  4.25,  $\delta_C$  63.3;  $\delta_H$  4.37,  $\delta_C$  65.1;  $\delta_H$  4.06,  $\delta_C$  67.9;  $\delta_H$  3.87,  $\delta_C$  68.6 and  $\delta_H$  5.92,  $\delta_C$  136.0), including three oxygen-bearing methines and one olefinic methine, two *sp*<sup>2</sup> quaternary carbons and one triple bond ( $\delta_C$  88.2 and 89.9).

The HMBC correlations (Figure 4) from H-3 ( $\delta_H$  4.25) to C-1 ( $\delta_C$  67.9), C-2 ( $\delta_C$  68.6), C-4 ( $\delta_C$  65.1) and C-5 ( $\delta_C$  136.0); from H-5 ( $\delta_H$  5.92) to C-1, C-4, C-6 ( $\delta_C$  123.5) and C-1' ( $\delta_C$  88.2), and from OH-4 ( $\delta_H$  5.25) to C-4, C-5, together with <sup>1</sup>H–<sup>1</sup>H COSY correlations of H-1(OH)/H-2(OH)/H-3/H-4(OH)/H-5, indicated the presence of a penta-substituted cyclohexene ring with  $\Delta^{5,6}$  double bond, the location of the hydroxy groups at C-1, C-2, C-4 and a chlorine atom at C-3 in 4. The structure of the 3-methyl-3-buten-1-ynyl side chain and its position at C-6 in 4 were determined by HMBC correlations (Figure S41), as for acrucipentyn A (1).



**Figure 4.** Key <sup>1</sup>H–<sup>1</sup>H COSY, <sup>1</sup>H–<sup>13</sup>C HMBC correlations of **4** (**a**) and chemical structure of acetonide derivatives **4a** (**b**).

The relative configuration of 4 was assigned based on <sup>1</sup>H-<sup>1</sup>H coupling constants (Table 1) and ROESY correlation (Figure S42) H-2 ( $\delta_{\rm H}$  3.87)/OH-4 ( $\delta_{\rm H}$  5.25). The acetonide derivative (4a) of compound 4 (Figure 4) was prepared for further investigation of the stereochemistry at the diol position. The small coupling constant ( $J_{1,2} = 5.7$  Hz) and dissimilar magnetic environment of acetonide methyls ( $\Delta = 0.01$  ppm) (Figure S63) indicate an *erythro* configuration of the diol group at C-1 and C-2 [21]. Esterification of 4a with (*S*)- and (*R*)-MTPA-Cl led to destruction of the compound. Etherification of compound 4 with (*S*)- and (*R*)-MTPA chloride resulted in the formation of esters at three hydroxyl groups, which made it impossible to establish the absolute configuration using the modified Mosher's method. Compound 4 was named acrucipentyn D.

The HRESIMS of **5** showed the peak of  $[M - H]^-$  at m/z 227.0468. These data, coupled with <sup>13</sup>C NMR spectral data (DEPT), suggested the molecular formula of **5** as C<sub>11</sub>H<sub>13</sub>ClO<sub>3</sub>. The <sup>1</sup>H and <sup>13</sup>C NMR data (Tables 1 and 2 and Figures S43–S49) of **5** revealed the presence of a penta-substituted cyclohexene ring with three hydroxy groups and a 3-methyl-3-buten-1-ynyl side chain, the same as in **4**. The location of the hydroxy groups at C-1, C-3, C-4, a chlorine atom at C-2 and a 3-methyl-3-buten-1-ynyl side chain at C-6 in **5** were determined by <sup>1</sup>H–<sup>1</sup>H COSY and HMBC correlations (Figures S46 and S48), as for acrucipentyn C (**3**).

The relative configuration of **5** was assigned based on ROESY correlations (Figure S49) H-2 ( $\delta_{\rm H}$  3.69)/H-4 ( $\delta_{\rm H}$  3.97), OH-1 ( $\delta_{\rm H}$  5.73), OH-3 ( $\delta_{\rm H}$  5.48); H-3 ( $\delta_{\rm H}$  3.31)/H-1 ( $\delta_{\rm H}$  4.05), OH-4 ( $\delta_{\rm H}$  5.31) and <sup>1</sup>H-<sup>1</sup>H coupling constants (Table 1). The attempts to obtain an acetonide of compound **5** were unsuccessful. Etherification of compound **5** with (*S*)- and (*R*)-MTPA-Cl resulted in the formation of esters at three hydroxyl groups, which made it impossible to establish the absolute configuration by the modified Mosher's method. Compound **5** was named acrucipentyn E.

The molecular formula of compound **6** was determined as  $C_{11}H_{12}O_3$ , based on the analysis of HRESIMS (*m*/*z* 191.0705 [M – H]<sup>–</sup>, calcd for  $C_{11}H_{11}O_3$  191.0714) and NMR data (Tables 1 and 2; Figures S50–S56). The <sup>1</sup>H and <sup>13</sup>C NMR data for this compound were similar to those obtained for (+)-asperpentyn [24,25], with the exception of the CH-2 and CH-3 proton and carbon signals. These data, as well as the biogenetic relationship of compound **6** with acrucipentyns D (**4**) and E (**5**), led us to suggest a configuration of asymmetric centers for it, different from the known asperpentyns.

The ROESY spectrum data and <sup>1</sup>H-<sup>1</sup>H coupling constants were useless to establish the relative stereochemistry of **6** unambiguously. Therefore, an epoxy ring-opening reaction was carried out in **6**. The reaction of compound **6** with 2,2-dimethylpropanoyl chloride in an aqueous medium (Figure 5) yielded two products, the spectra of which (<sup>1</sup>H, <sup>13</sup>C NMR, HRESIMS and CD) were identical to acrucipentyns D (**4**) and E (**5**). The presence of only two reaction products confirmed the S<sub>N2</sub> mechanism of the epoxy ring opening, which corresponded to the literature data [26]. The orientation of the hydroxyl groups in the reaction products corresponds with the configuration of the epoxy ring in the initial compound. These data made it possible to establish the relative configuration of **6**. Compound **6** was named acrucipentyn F.



Figure 5. Scheme of an epoxy ring reaction in compound 6.

It should be noted that acrucipentyn F is a stereoisomer of well-known fungal metabolites (–)-asperpentyn [27,28] and (+)-asperpentyn [24]. To the best of our knowledge, acrucipentyns are the first chlorine-containing asperpentyn-like compounds. However, it should be noted that several other related groups of 3-methylbutenynyl cyclohexanols, e.g., truncateols from the marine-derived fungi *Truncatella angustata* [29,30] and oxirapentyns from the marine-derived fungi *Beauveria felina* KMM 4639 [31], also have chloro-containing members.

# 3.3. Biological Activity

We evaluated the safety and toxicity of compounds **1–6** in various human cells. As such, we examined cytotoxicity in ten different human cell lines, including human prostate cells PNT2 and RWPE-1, human embryonic kidney cells HEK 293T, human fibroblast cells MRC-9 and human umbilical vascular endothelial cell line HUVEC, human keratinocytes HaCaT, as well as human prostate cancer cells PC-3, DU145, 22Rv1, VCaP and LNCaP using MTT assay. Indeed, none of the investigated compounds exhibited any significant cytotoxicity at concentrations up to 100  $\mu$ M, following 72 h of treatment (IC<sub>50</sub> > 100  $\mu$ M, Figure S65). Additionally, no morphological changes of the cells exposed to the isolated compounds (100  $\mu$ M for 72 h) could be detected (Figure S66). Therefore, the isolated acrucipentyns A–F were assumed to be nontoxic to mammalian (human) cells.

The inhibitory effect of compounds **1–6** on sortase A enzyme from *Staphylococcus aureus* activity was investigated to detect their antibacterial potential (Figure 6).



**Figure 6.** The effect of compounds **1–6** on sortase A enzymatic activity. (**a**) The effect of compounds **1–6** on sortase A enzymatic activity measured after 10 min of incubation with the substrate. (**b**) The time-dependent effect of compound **3** (50  $\mu$ M) on sortase A enzymatic activity. Data presented as relative fluorescent units (RFU). DMSO (0.8%) did not show any inhibition activity in comparison with sortase A assay buffer and was used as a control. The sortase inhibitor—4-(hydroxymercuri)benzoic acid (PCMB) in DMSO 0.8% was used as a positive control. All experiments were performed in three independent replicates and the data presented as a mean  $\pm$  standard error mean (SEM). \* indicates the significant differences with  $p \le 0.05$ .

Compounds 1, 3, and 5, at a concentration of 50  $\mu$ M, significantly decreased sortase A activity by 18%, 30%, and 21%, respectively (Figure 6a). Compounds 4 and 6 showed less significant effects on sortase A enzymatic activity and compound 2 was inactive in this test. It was observed that an increase in concentrations of 1–6 up to 80  $\mu$ M resulted in some decrease in their sortase A inhibitory activity, which was sometimes detected [32]. The inhibitory effect of compound 3 on sortase A activity was detected throughout the entire period of data acquisition (Figure 6b) and the effects of other studied compounds were similar.

To detect the antibacterial activity of isolated acrucipentyns A–E (**1–6**), their inhibitory effects on bacterial growth and biofilm formation of *Staphylococcus aureus* were investigated (Figure 7).



**Figure 7.** Effect of acrucipentyns A–F (1–6) on growth and biofilm formation of *Staphylococcus aureus*. All experiments were performed in three independent replicates and data were presented as a mean  $\pm$  SEM.

Compounds **1** and **6** have shown the most pronounced antimicrobial effects against Gram-positive bacterium *S. aureus*. Compound **6** almost completely inhibited the growth of *S. aureus* at a concentration of 100  $\mu$ M; a two-fold decrease in the concentration of the substance also halved the antimicrobial activity. Compound **1** at a concentration of 100  $\mu$ M reduced the bacterial growth by 60%. A decrease in concentration to 12.5  $\mu$ M reduced antimicrobial activity up to 50%. Compound **3** at 100  $\mu$ M inhibited *S. aureus* growth by 50%. A decrease in the concentration of compound **3** led to a two-fold decrease in activity.

The antimicrobial effects of **2**, **4**, and **5**, even at the highest used concentration of 100  $\mu$ M, do not exceed 50% inhibition of bacterial growth.

When studying the effect of compounds **1–6** on the ability to inhibit the biofilm formation by Gram-positive bacteria *S. aureus*, it was noted that compounds **3**, **4**, and **6** have the most pronounced inhibitory activity at a concentration of 100  $\mu$ M, in the case of which the formation of biofilms is almost absent. A high level of inhibition of biofilm formation in the case of substances **3** and **6** is kept up to concentrations of 12.5 and 25  $\mu$ M, respectively. When substance **4** is diluted twice, its effect on biofilm formation is halved. Compound **1** inhibits the biofilm formation at concentrations of 12.5–100  $\mu$ M by 50–70%, respectively. Compounds **2** and **5** at a concentration of 100  $\mu$ M inhibited biofilm formation by 30%.

Sortase A is an essential component of *S. aureus* virulence because it is responsible for the covalent anchoring of many virulent factors of Gram-positive bacteria onto the cell wall (Appendix A) and, as a result, sortase A plays a key role in the pathogenic processes of *S. aureus* infection [33]. The decrease in sortase activity leads to the abolition of bacterial adhesion to mammalian cells and, thus, is one of the mechanisms preventing the formation of biofilms, which are the predominant form of bacterial existence [34].

The inhibitory effect of investigated compounds **1**, **3**, **4**, and **6** on the biofilm formation correlated with an ability to affect the activity of sortase A. Compound **2**, which did not show a significant effect on biofilm formation, also did not have any effect on the sortase A activity. Opposite to this, compound **5**, in the same experiments, had a significant effect on the activity of sortase A, but had a weaker inhibitory effect on the biofilm formation, in comparison with compounds **1**, **3**, **4**, and **6**. Thus, substances **1**–**6** can be assumed as anti-Staphylococcal agents.

To further confirm their antibacterial properties in a model of infectious damage to human cells, we investigated their effects on lactate dehydrogenase (LDH) release from human keratinocytes HaCaT, co-cultivated with *S. aureus* (Figure 8).



**Figure 8.** Effect of acrucipentyns A–F (**1–6**) on LDH release from human keratinocytes HaCaT cocultivated with *Staphylococcus aureus* (Sa) for 48 h. All compounds were tested at a concentration of 10  $\mu$ M. All experiments were performed in triplicates and data are presented as a mean  $\pm$  SEM. The difference between control (without Sa) and HaCaT/Sa co-cultivation was statistically significant with *p* < 0.05 (one-way ANOVA test). Asterisk (\*) indicates significant differences (*p* < 0.05) between HaCaT/Sa without compounds and HaCaT/Sa with compounds variants.

In normal conditions, LDH weakly releases from cells to culture media. *S. aureus* caused a significant increase in the LDH release from keratinocytes during co-cultivation. The addition of compounds **1–6** at a concentration of 10  $\mu$ M reduced the LDH release by 30–50%. The greatest effect was registered for compounds **1, 2, 4,** and **5**.

Interestingly, compounds **2** and **4**, which showed significant activity in co-cultivation HaCaT cells with *S. aureus*, did not show high antibacterial and anti-biofilm-forming activity, in contrast to substances **1** and **5**. We assume that the cytoprotective effect of substances **1–6** in the in vitro infectious skin lesions could be due not only to their anti-*S. aureus* effects, but also to their anti-inflammatory and other cytoprotective effects. Recently, we observed similar dual effects during an investigation on flavuside B, an inhibitor of sortase A enzymatic activity derived from fungi. Flavuside B was able to inhibit *S. aureus* growth and biofilm formation, as well as protect HaCaT keratinocytes against *S. aureus* infection in a co-culture model via an anti-inflammatory pathway [14].

Our work is the very first detailed investigation of anti-Staphylococcal activity of isoprenylated cyclohexanols. To the best of our knowledge, earlier, only oxirapentyns A and D were found as antimicrobial agents among related compounds [35]. Thus, this group of secondary metabolites of marine fungi is interesting for future study, including their structure–activity relationships.

# 4. Conclusions

Six new isoprenylated cyclohexanols acrucipentyns A–F (1–6) were isolated from the alga-derived fungus *Asteromyces cruciatus* KMM 4696. The absolute configuration of acrucipentyn A was assigned by the modified Mosher's method and ROESY data. Acrucipentyns A–E are the very first members of chlorine-contained monocyclic cyclohexanols containing a 3-methylbutenynyl unit. The compounds have shown inhibitory activity against sortase A from *Staphylococcus aureus*, as well as inhibition of *S. aureus* growth and biofilm formation, while no cytotoxicty to mammalian cells was observed. Moreover, acrucipentyns A–F (1–6) protected human keratinocytes HaCaT from *S. aureus* toxicity in skin infection in an in vitro model. Thus, the isolated compounds hold a good potential as antimicrobal agents and should be further investigated.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/ 10.3390/jof8050454/s1, Figures S1–S12: CD and UV spectra of compound **1–6**, Figures S13–S22, S23–S28, S29–S35, S36–S43, S44–S49 and S50–S56: NMR spectra of compounds **1–6**, Figures S57–S58, S59–S60, S61–S62 and S63–S64: NMR spectra of compounds **1a**, **1a-1**, **1a-2**, **4a**, Figure S65: Viability of the various cells exposed to the tested compounds, Figure S66: Microphotographs of human cells exposed to the tested compounds.

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Conflicts of Interest: The authors declare no conflict of interest.

# Appendix A



Anchoring of surface proteins, such as FnbA, FnbB, spa

Figure A1. Representation of sortase A role [36].

#### References

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Article



# Gram-Level Production of Balanol through Regulatory Pathway and Medium Optimization in Herb Fungus *Tolypocladium ophioglossoides*

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**Abstract:** As a potential protein kinase C inhibitor, the fungus metabolite balanol has become more attractive in recent decades. In our previous work, we revealed its biosynthetic pathway through overexpression of the cluster-situated regulator gene *blnR* in Chinese herb fungus *Tolypocladium ophioglossoides*. However, information on the regulation of *blnR* is still largely unknown. In this study, we further investigated the regulation of balanol biosynthesis by BlnR through the analysis of affinity binding using EMSA and RNA-seq analysis. The results showed that BlnR positively regulates balanol biosynthesis through binding to all promoters of *bln* gene members, including its own promoter. Microscopic observation revealed *blnR* overexpression also affected spore development and hypha growth. Furthermore, RNA-seq analysis suggested that BlnR can regulate other genes outside of the balanol biosynthetic gene cluster, including those involved in conidiospore development. Finally, balanol production was further improved to 2187.39 mg/L using the optimized medium through statistical optimization based on response surface methodology.

**Keywords:** balanol biosynthesis; protein kinase C inhibitor; Zn<sub>2</sub>Cys<sub>6</sub>; regulator BlnR; medium optimization; fermentation; *Tolypocladium ophioglossoides* 

# 1. Introduction

The fungal metabolite balanol was isolated as a potent ATP-competitive inhibitor of protein kinase C (PKC) from *Verticillium balanoides*, which was the same compound as that previously reported as azepinostatin from *Fusarium merisomides* and ophiocordin from *Tolypocladium ophioglossoides* (its synonymic name is *Cordyceps ophioglossoides*) [1–4]. It was shown to have inhibitory activity toward PKC isozymes in the nanomolar range, with better potency than the reported product staurosporine, as well as showing some activity against PKA. Protein kinase C (PKC) group can regulate the conformation and activity of target proteins by phosphorylating their serine or threonine residuals. PKC is the receptor for phorbol esters that promote tumor formation, playing crucial roles in cell proliferation and differentiation [5]. The upregulated activation of PKC has been related to a range of disease states, including central nervous system (CNS) diseases, cardiovascular disorders, diabetes, asthma and HIV infections [6,7]. The role of these enzymes in the development of cancer makes them an ideal target for screening their interesting inhibitory compounds. The specific molecular structure of balanol is similar to that of ATP, making it the ATP competitor binding to the PKC enzyme, thus inhibiting PKC activity.

*T. ophioglossoides* is a kind of parasitic mushroom of certain Elaphomyces, and it has been used to relieve postmenopausal syndrome in women in Chinese traditional medicine throughout history. Several active compounds have been identified from *T. ophioglossoides*, such as balanol, cordycepol and tyrosol [8–10]. Compared with the annotated gene clusters

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). based on the genome sequence of *T. ophioglossoides*, many other gene clusters are still cryptic during laboratory culture. Although balanol has been produced through liquid fermentation, its yield was very low, even in large-scale fermentation. In recent decades, our group focused on the chemical synthesis of balanol, as well as its analogs, before elucidating f its biosynthetic pathway through overexpression of its cluster-situated regulator gene, *blnR* [10].

Several efficient strategies have been developed to activate cryptic gene clusters for the production of new compounds, such as microbial cocultivation [11,12], promoter engineering [13], ribosome engineering [14], epigenetic regulation [15,16] and transcription regulation by regulatory proteins, including global and pathway-specific regulators [17,18]. Transcription factors are usually involved in various important processes during microorganism growth and development via regulation of a series of target genes. Microbial genome sequence analysis reveals that transcription regulator-encoding genes are present within many individual gene clusters. Switching on the regulator gene in its active state is considered an important strategy to activate cryptic gene clusters to produce new secondary metabolites (SMs) via genetic engineering or improve the production of valuable products [19]. Compared with the global regulator, the pathway-specific activator gene is usually cluster-located, and its overexpression using a strong promoter or knockout is a simple and efficient strategy to improve the product of interest or activate the cryptic gene cluster. For example, the overexpression of the StrR family regulator in Streptomyces significantly increased ristomycin A content [20]. Moreover, SM production by microbes has been observed to vary with the composition of culture media and culture conditions [21]. Based on this concept, the approach of 'one strain, many compounds' (OSMAC) was developed by changing culture medium and has been widely used in many bacteria and fungi to efficiently mine novel SMs, including polyketides, non-ribosomal peptides and terpenes, in recent years [22-24]. Hence, changing culture medium is also a classic approach to activating cryptic gene clusters. In addition, cultivation parameters, including temperature, salinity and dissolved oxygen, are considered effective ways to trigger cryptic biosynthetic pathways in Aspergillus ochraceus.

With respect to the biosynthesis pathway of balanol, we previously characterized several key biosynthetic enzymes, including BlnJ, BlnF, BlnO and BlnP [10]. However, there is still a lack of regulatory information about the balanol biosynthesis. According to the annotation, there is only one regulatory gene, *blnR* was found within the gene cluster *bln*. BlnR is a  $Zn_2Cys_6$  family regulator, but the role of BlnR in balanol production has not been investigated. Therefore, it is important to understand its regulatory function in balanol biosynthesis in order to improve its production. In this study, the regulation of balanol biosynthesis was first investigated by analyzing the affinity-binding ability between regulator BlnR and target genes using an EMSA experiment. Then, RNA-seq analysis was performed to investigate the effect of *blnR* overexpression on *T. ophioglossoides*. Additionally, the culture conditions for balanol production by strain *blnR*OE were further optimized based on statistical experimentation through a one-factor experiment and response surface methodology (RSM). As a consequence, the cluster-situated regulator, BlnR, positively regulated balanol biosynthesis by binding to all the promoters of gene cluster members, as well as its own promoter. The transcriptomic data showed that BlnR is broadly involved in both the primary and secondary metabolites. The concentration of balanol in a 5 L fermenter tank was improved to 2187.39 mg/L from 700 mg/L through statistical optimization using the optimized medium.

#### 2. Materials and Methods

# 2.1. Chemicals

Potato dextrose agar (PDA) and chlorimuron-ethyl were purchased from Sigma (St. Louis, MO, USA). Polypeptone, imidazole, Tris-HCl solution, kanamycin PMSF and IPTG were purchased from Sangon Biotechnology Incorporation (Shanghai, China). Yeast extract was purchased from Oxoid (England, UK). Sucrose (99.5%), MgSO<sub>4</sub>·7H<sub>2</sub>O (99%),

KH<sub>2</sub>PO<sub>4</sub> (99%), NaOH (96%), NaCl (99.5%) and trifluoroacetic acid (TFA, 99.5%) were purchased from China National Pharmaceutical Group Corporation (Shanghai, China). Acetonitrile (99.9%) was obtained from Astoon Chemical Technology Incorporation (Wilmington, DE, USA).

# 2.2. Strain, Media and Culture Conditions

*Escherichia coli* DH5 $\alpha$  was used for routine DNA manipulation, and BL21 (DE3)/Origami B was used as a host for protein expression. *blnR* overexpression strain *T. ophioglossoides blnROE* was used as the balanol-producing strain, which was routinely supplemented with 4 mg/L of chlorimuron-ethyl (Sigma, USA) if necessary, as previously described [10]. *E. coli* cells were cultured in LB broth at 37 °C and 200 rpm. *Agrobacterium tumefaciens* EHA105 was used to transfer DNA into *T. ophioglossoides* via T-DNA transformation, as described previously [25]. COB liquid medium (sucrose 30 g/L, polypeptone 5 g/L, yeast extract 5 g/L, MgSO<sub>4</sub>.7H<sub>2</sub>O 1 g/L, KH<sub>2</sub>PO<sub>4</sub> 0.5 g/L, pH 5.5) was used as the seed culture and starter fermentation medium.

For balanol production, seed cultures were prepared by inoculating  $2 \times 10^5$  spores/mL of *blnR*OE strain in COB medium in 250 mL shake flasks with 80 mL medium and incubated at 26 °C and 160 rpm for 3–4 days. Then, seed cultures were transferred to the fermentation medium using 2.5% (v/v) inoculum. For each strain, a shake flask assay was carried out in triplicate parallel bottles. Batch fermentation was carried out in a 15 L jar fermenter at 26 °C (BLBIO-3GJ, China) containing 8 L of culture medium. The seed culture was prepared and inoculated into the fermenter jar at 2% (v/v). The pH of the medium was maintained at 4.9 via automatic addition of 2 M NaOH. The dissolved oxygen concentration was maintained at 20% air saturation by automatically increasing the agitation speed. Culture samples were periodically taken to analyze balanol concentration and CDWs. Both the batch and fed-batch fermentation experiments were carried out in triplicate.

# 2.3. Heterologous Expression of blnR in E. coli and Its Purification

Total RNA extracted from mycelia of the *blnROE* strain was used as a template for firststrand cDNA by SuperScript<sup>™</sup> IV reverse transcriptase (Invitrogen). A cDNA fragment encoding the whole length of *blnR* and its DNA binding domain (*blnR*<sup>DBD</sup>) of *T. ophioglossoides* was subcloned into the pET-32a vector (Novagen, Darmstadt, Germany) by infusion cloning technology (Vazyme, Nanjing, China). The BlnR<sup>DBD</sup> and BlnR protein were produced in E. coli BL21 (DE3) cells by the addition of IPTG and grown overnight at 16 °C in a 1 L flask in the presence of 50 mg/L kanamycin. The pellet cells were collected by centrifugation, resuspended in 200 mL lysis buffer (20 mM Tris-HCl, 500 mM NaCl, 10 mM imidazole, 1 mM PMSF, pH 8.0) and disrupted by sonication. After centrifugation at 12,000  $\times$  rpm at 4 °C for 5 min, the cleared cellular extract was adjusted to pH 8.0 and loaded on a Niagarose column (GE, Munich, Germany), which was previously equilibrated with 20 mM Tris-HCl, 500 mM NaCl and 20 mM imidazole (pH 8.0). The impurity protein was washed with 20 mM Tris-HCl, 500 mM NaCl and 100 mM imidazole (pH 8.0), and the BlnRDBDcontaining fraction was eluted with elution buffer containing 500 mM imidazole (pH 8.0). The elution fraction was loaded on a desalting column with 3 kDa to be concentrated and redissolved in 20 mM Tris-HCl, 500 mM NaCl and 1 mM PMSF (pH 8.0).

#### 2.4. Affinity Analysis by Electrophoretic Mobility Shift Assay (EMSA)

An electrophoretic mobility shift assay was performed to investigate the affinity of regulator BlnR with DNA fragments as described previously [26]. An FM-labeled DNA fragment was prepared by PCR amplification, directly using FM-labeled primers as EMSA probes. The purified PCR product was then employed as a template to generate the FM-labeled DNA probe using the corresponding FM-labeled primer, as shown in Table S1. A volume of 1  $\mu$ g salmon sperm DNA was used as a non-specific competitor in the binding mixture. The FM-labeled probes were detected with an LAS4000 machine (GE, Boston, MA, USA).
#### 2.5. Phylogenetic Analysis

All the Zn<sub>2</sub>Cys<sub>6</sub> transcription factors used for alignment were collected from the NCBI protein database by blast alignment. All the protein sequences were aligned using ClustalW in MEGA 7, and a phylogenetic tree was constructed with the maximum likelihood method based on the JTT matrix-based model. Protein domain architecture analysis was performed by conducting a search of the Conserved Domains Database (https://www.ncbi.nlm.nih. gov/cdd), as well as online analysis with Pfam (www.pfam.org).

#### 2.6. mRNA-Seq Analysis and Differential Gene Expression Analysis

Total RNA was isolated from *T. ophioglossoides* mycelia grown in COB medium for 4 days at 26 °C by RNA extraction kits according to the manufacturer's instruction (Takara, Japan). The genomic DNA in the RNA samples was digested by RNase-free DNase I (Takara, Japan). The first-strand cDNA was reverse-transcribed from total RNA with SuperScript<sup>TM</sup> IV reverse transcriptase (18090010, Invitrogen). qRT-PCR was performed using SYBR Premix Ex Taq II (Takara, Japan), and PCR procedures were performed at 95 °C for 5 min, 40 cycles of 95 °C for 15 s, 56 °C for 40 s and 72 °C for 20 s. The *Totef1* gene encoding housekeeping translational elongation factor was used as the internal control. The changes in target gene expression were quantified as  $2^{-\Delta\Delta Ct}$  according to the manufacturer's instructions (Takara, Japan). The primers used are listed in Table S1.

For mRNA-seq analysis, 1  $\mu$ g of total RNA was used as the input template for the RNA sample preparations. The sequencing libraries were generated using the NEBNext UltraTM RNA Library Prep Kit for Illumina (NEB, Ipswich, MA, USA) following the manufacturer's recommendations, and index codes were added to attribute sequences to each sample. First-strand cDNA was synthesized using a random hexamer primer and M-MuLV reverse transcriptase. Second-strand cDNA synthesis was subsequently performed using DNA polymerase I and RNase H. Remaining overhangs were converted into blunt ends via exonuclease/polymerase activities. After adenylation of the 3' ends of DNA fragments, NEBNext Adaptors with hairpin loop structure were ligated to prepare for hybridization. The library fragments were purified with an AMPure XP system (Beckman Coulter, Beverly, MA, USA) to choose cDNA fragments with a preferential length of 240 bp. Then, USER enzyme (NEB, Ipswich, MA, USA) was used with adaptor-ligated cDNA at 37 °C for 15 min, followed by 5 min at 95 °C before PCR. PCR was performed using Phusion high-fidelity DNA polymerase, universal PCR primers and index (X) Primer. Finally, PCR products were purified (AMPure XP system), and their quality was evaluated by the Agilent Bioanalyzer 2100 system. Clustering of the index-coded samples was performed on a cBot cluster-generation system using a TruSeq PE v4-cBot-HS cluster kit (Illumina) according to the manufacturer's instructions. After cluster generation, the library preparations were sequenced on an Illumina NovaSeq 6000 platform, and paired-end reads were generated. The reference genome of *T. ophioglossoides* was predefined for analysis and mapping of RNA-seq reads with an HISAT2 system.

Differential expression analysis of genes between two samples was performed using the EdgeR bioconductor package and a dispersion parameter of 0.1. EdgeR provided statistical routines to determine differential expression in digital gene expression data using a model based on the negative binomial distribution. The resulting *P* values were adjusted using the Benjamini–Hochberg approach to control the false discovery rate. Genes with an adjusted *p*-value < 0.05, as determined by EdgeR, were classified as differentially expressed.

# 2.7. Optimization of Medium Components for Balanol Production by Response Surface Methodology (RSM)

The Box–Behnken statistical method was used for the optimization of the medium components. Critical parameters were observed, namely sucrose, polypeptone and initial pH. Design-Expert<sup>®</sup> 10.0.0 software was employed for experimental design and analysis. A total of 12 run experiments were tested based on the design matrix with three center points to minimize the experimental error. A model was generated based on the response values of

balanol production, and statistical significance was tested by analysis of variance (ANOVA). The predicted combination of medium components for maximum balanol production was further validated experimentally.

The optimal medium was statistically optimized through response surface methodology in the *blnR*OE strain. The effect of one factor on balanol production was first examined, and then PB design was applied to determine the significant components according to the balanol production, including 12 run experiments and 6 variables, including sucrose, polypeptone, yeast extract, KH<sub>2</sub>PO<sub>4</sub>, MgSO<sub>4</sub> 7H<sub>2</sub>O and pH (Table 1). Based on the results of the PB, the significant factors were further optimized based on the RSM coupled with BB design using Design-Expert software to determine the final optimal fermentation medium. The quality of fit of the second-order polynomial model equation was determined via a coefficient of determination ( $\mathbb{R}^2$ ) and the adjusted  $\mathbb{R}^2$ . ANOVA (analysis of variance) was used for graphical analyses to estimate the interaction between the component variables and balanol production. The components in the culture media that showed confidence levels >95% were considered to exhibit significant responses to balanol production.

| Run | Α       | В       | С       | D       | Ε       | F       | G  | Balanol (mg/L) |
|-----|---------|---------|---------|---------|---------|---------|----|----------------|
| 1   | 1       | -1      | -1      | -1      | 1       | -1      | 1  | 1218.48        |
| 2   | 1       | $^{-1}$ | 1       | 1       | $^{-1}$ | 1       | 1  | 586.54         |
| 3   | $^{-1}$ | $^{-1}$ | $^{-1}$ | $^{-1}$ | $^{-1}$ | $^{-1}$ | -1 | 832.88         |
| 4   | $^{-1}$ | -1      | -1      | 1       | -1      | 1       | 1  | 633.36         |
| 5   | $^{-1}$ | 1       | -1      | 1       | 1       | -1      | 1  | 400.22         |
| 6   | $^{-1}$ | 1       | 1       | 1       | $^{-1}$ | $^{-1}$ | -1 | 318.68         |
| 7   | 1       | 1       | -1      | -1      | -1      | 1       | -1 | 638.92         |
| 8   | 1       | 1       | 1       | -1      | -1      | -1      | 1  | 1197.94        |
| 9   | 1       | 1       | -1      | 1       | 1       | 1       | -1 | 579.02         |
| 10  | -1      | -1      | 1       | -1      | 1       | 1       | -1 | 405.68         |
| 11  | 1       | -1      | 1       | 1       | 1       | -1      | -1 | 1633.4         |
| 12  | $^{-1}$ | 1       | 1       | $^{-1}$ | 1       | 1       | 1  | 143.46         |
|     |         |         |         |         |         |         |    |                |

Table 1. PB experimental design and observed balanol production.

A: sucrose (g/L); B: polypeptone; C: yeast extract; D:  $KH_2PO_4$ ; E:  $MgSO_4$  7 $H_2O$ ; F: pH, G: inoculum volume (v/v).

#### 2.8. Analysis of Balanol Production by HPLC

The concentration of balanol was determined according to the method described by He et al. (2018) [10]. Culture broth was sampled for analysis of balanol by HPLC using a reverse-phase C18 column (Agilent Eclipse Plus C18,  $4.6 \times 250$  mm,  $5 \mu$ m) (1260 Infinity, Agilent Technologies, Santa Clara, CA, USA). Chromatographic conditions were composed of solvent A and solvent B. Solvent A comprised water with 0.001 M trifluoroacetic acid (TFA), and solvent B comprised acetonitrile-0.001 M TFA; the solvent gradient was 5% B in the first 5 min and increased to 58% at 35 min and to 95% B at 36 min, followed by 4 min with 95% B, with a flow rate of 1 mL/min and UV detection at 254 nm. The structure-identified balanol was used as the standard control. Through analysis, the peak area of balanol concentration was established (Figure 1). The concentration of balanol production in culture broth was determined with the regression equation from the standard curve: Y = 31.764X - 203.51 (R<sup>2</sup> = 0.9993), where Y indicates the concentration of balanol (mg/L), and X is the peak area of balanol.



**Figure 1.** Standard curve of the balanol. Balanol was prepared in a solution from 25 mg/L to 180 mg/L for HPLC analysis. The peak area of balanol was used to establish the standard curve of its concentration. In the regression equation, Y = 31.764x - 203.51 (R<sup>2</sup> = 0.9993), Y indicates the concentration of balanol, and X indicates the peak area of balanol.

# 3. Results

# 3.1. Characterization of Regulator BlnR within the Gene Cluster bln in T. ophioglossoides

The gene *blnR* was found as an orphan regulatory gene situated within the *bln* cluster in *T. ophioglossoides*, which connects the PKS and NRPS part of gene cluster *bln* containing 18 genes, with a length of 79 kb. The *blnR* gene is 1443 bp long without intron and encodes a protein BlnR containing 380 amino acids. Through bioinformatic analysis, BlnR was determined to be a putative transcription regulator featuring a typical N-terminal GAL4-type Zn<sub>2</sub>Cys<sub>6</sub> DNA-binding domain and a C-terminal AflR domain (Figure 2A). Like other GAL4 domains among the aligned proteins, the six cysteine residues were conserved in the putative GAL4 domain of BlnR (Figure 2B). The transcription factor AflR contains a GAL4-type binuclear zinc finger cluster domain, CX2CX6CX6CX2CX6CX2, which plays a key role in aflatoxin biosynthesis, especially in *Aspergillus* taxa [27,28]. The six-cysteine (Zn<sub>2</sub>Cys<sub>6</sub>) binuclear cluster DNA binding domain was first characterized in the GAL4 protein of *Saccharomyces cerevisiae*. To date, this domain-containing protein has been identified exclusively in the fungus kingdom [29,30]. Phylogenic analysis showed that BlnR belongs to a separate clade from *Aspergillus* AflR regulator protein, showing it to be different from the AflR of Aspergillus with a different function (Figure 2C).

# 3.2. BlnR Positively Regulates Balanol Biosynthesis by Binding All the Promoters of bln Gene Members

Usually, a typical transcriptional activator consists of a DNA-binding domain (DBD), which is responsible for promoter recognition in order to regulate gene transcription. Nothing is known yet about the regulation of balanol biosynthesis, although we have elucidated its biosynthetic pathway in T. ophioglossoides. Therefore, we first attempted to heterologously express the whole length of BlnR in the *E. coli* system. Unfortunately, both BlnR<sup>360aa</sup> and BlnR<sup>180aa</sup> were expressed as inclusion bodies in *E. coli*, even with the help of solubilizing tag protein GST. Therefore, we attempted to only express its N-terminal GAL4 domain with 90 aa in *E. coli*. As shown in Figure 3B, the fusion GST-BlnR<sup>90aa</sup> was expressed successfully as a soluble protein. After purification by Ni-affinity agarose, GST-BlnR<sup>90aa</sup> was used for the DNA-binding experiment. Using FM-labelled primer pairs, 12 promoter fragments of the *bln* gene cluster were amplified for EMSA assay to examine the affinity of BlnR protein to their promoters of the *bln* gene members. As the results revealed, BlnR<sup>90aa</sup> showed a strong affinity with all the tested promoters, as well as its own promoter (Figure 3C). These results are consistent with the upregulation of their transcription level in the *blnR*OE strain as compared with the wild type [10]. It is reasonable to speculate that there a conserved binding site exists in the promoter region of all *bln* gene members. Therefore, we carried out motif investigation to mine DNA-binding motifs by multiple expectation maximizations for motif elicitation (MEME) (https://meme-suite.org). It was demonstrated that there is a conserved motif in all promoter regions with GAGCCAAT (Figure 3D).

# 3.3. BlnR Is a Positive Regulator toward Balanol Biosynthesis in T. ophioglossoides

In *T. ophioglossoides*, there are another 34 gene clusters aside from *bln* according to analysis by AntiSMASH (https://fungismash.secondarymetabolites.org/, accessed on 18 April 2022). We showed that the overexpression of the *blnR* gene significantly upregulated the transcription level of all the *bln* member genes and activated the biosynthesis of balanol. The results suggest that BlnR has a positive regulatory effect on balanol biosynthesis. The *blnR*OE strain remained stable for balanol production after 10 generations grown on a PDA plate without selective pressure. Furthermore, we examined the effect of *blnR* overexpression on the transcriptional level of all other gene clusters by comparing their core gene in the wild-type and *blnR*OE strain. The results demonstrate that *blnR* overexpression exclusively improved the core gene expression of the balanol gene cluster and insignificantly ffected the expression of other gene clusters (Figure 4A). The metabolite profiles by HPLC analysis also exhibite that no other compounds were producedexcept balanol and its intermediates (Figure 4B).



**Figure 2.** Characterization of regulator BlnR and its phylogenic analysis. (**A**) Domain characterization of regulator BlnR. (**B**) Alignment analysis of the conserved cysteine amino acids. (**C**) Evolutionary phylogenetic analysis by maximum likelihood method using MEGA 7.0 software. The evolutionary history was inferred using the maximum likelihood method based on the JTT matrix-based model: *Ta*TF (accession no. XP\_040731924.1), *Sb*AflR (accession no. ESZ98975.1), mdpE (accession no. AN0148), *An*TF(accession no. AAC49195), AflR (accession no. P43651.3), *Ap*AflR (accession no. AAM02999.1), *Mc*AflR (accession no. XP\_002844737.1), *Ch*AlfR (accession no. XP\_018155792.1), *Ct*AflR (Accession no. TKW57950.1).



**Figure 3.** Affinity binding analysis of BlnR with promoter DNA through EMSA experiment. (**A**) Schematic diagram of promoter design in the *bln* gene cluster. (**B**) Heterologous expression of BlnR in *E. coli*. M: protein marker. (**C**) Affinity binding analysis of BlnR to gene promoters within *bln* by EMSA. (**D**) The conserved binding motif of BlnR was predicted by MEME analysis.



**Figure 4.** BlnR is a positive regulator of balanol biosynthesis. (**A**) Effect of *blnR* overexpression on the transcriptional level of other gene clusters. (**B**) Metabolite profile of wild-type and *blnROE* strains after cultivation in COB medium for 10 days.

# 3.4. BlnR Is Involved in the Crosstalk between Primary and Secondary Metabolism

When grown on a PDA plate, we found *blnR* overexpression changed its morphologic phenotype. In addition to the production of light-yellow pigment of stable balanol, the *blnR*OE strain also showed slowed growth as compared with the wild-type strain

(Figure 5A,B). Through scanning electron microscopy, the number of conidiospores was found to be reduced significantly, and its slimly hyphae elongated and became curly in the *blnR*OE strain, whereas the grown hyphae were linearly elongated with numerous conidia visible in the wild-type strain with typical morphology (Figure 5C). Therefore, we further analyzed the key central regulators involved in conidiation development, such as *brlA* and *abaA*, as well as their upstream genes, *fluG*, *flbC* and *flbD*. *abaA*, *fluG* and *flbD* were upregulated, whereas *brlA* and *flbC* were slightly downregulated in the *blnR*OE strain (Figure 5D).



**Figure 5.** Effects of BlnR on the development of asexual conidiospore and filamentous growth. (**A**) A total of  $10^3$  spores were spotted on a PDA plate for growth at 26 °C for 7 days. (**B**) Comparison of colony size between the wild-type and *blnR*OVE (n = 10) strains. "\*\*" indicates the significant difference with the *p*-value < 0.01. (**C**) Microscopic observation of hyphae and spores. The red arrows indicate spores. (**D**) qRT-PCR analysis of spore-development-related regulators (n = 3).

We further investigated the differential expression pattern between *blnR*OE and the wild-type strain through mRNA-seq analysis. As shown in Figure 6, *blnR* overexpression upregulated the transcription level of 498 genes and downregulated the transcription level of 503 genes, whereas 8316 genes maintained their expression at a regular level. RNA-seq data analysis revealed the differential expression of numerous genes belonging to various pathways of primary or secondary metabolism. Pathways of primary metabolism include starch and sucrose metabolism, fatty acid metabolite, TCA cycle, lysine biosynthesis, ether lipid metabolism and aromatic amino acid (phe, tyr, trp) biosynthesis (Table 2). Among them, the expression of most genes in starch and sucrose metabolism, lysine biosynthesis and aromatic amino acid biosynthesis were significantly upregulated in the *blnR*OE strain, whereas genes in fatty acid metabolite and TCA cycle were up- or downregulated. The genes expression in the pathway of spore development was significantly downregulated, except for the *bln* gene cluster, which was activated strongly in *blnR*OE as compared with the

wild-type strain. Our results show that as a cluster-situated regulator, the overexpression of the *blnR* gene is involved in the control of secondary metabolism, as well as primary metabolism, possibly by manipulating the distant genes.



Figure 6. Differential expression analysis between *blnROE* and the wild-type strain.

**Table 2.** List of genes up- or down regulated in *blnR*OE as compared with the wild-type strain based on RNA-seq analysis.

| Gene ID                       | Protein Name   | log2FC   |  |  |  |  |
|-------------------------------|--|----------|--|--|--|--|
| Starch and sucrose metabolism |  |          |  |  |  |  |
| g1571                         | putative betaglucosidase I                           | 1.797    |  |  |  |  |
| g2561                         | putative sucrose utilization protein SUC1            | 1.574    |  |  |  |  |
| g2754                         | Hexokinase-1   | 1.715    |  |  |  |  |
| g6339                         | Alphaamylase A type-3                                | 1.338    |  |  |  |  |
| g6369                         | Alphaglucosidase                                     | 1.387    |  |  |  |  |
| g6411                         | Endoglucanase EG-II                                  | -1.405   |  |  |  |  |
| g6822                         | Probable betaglucosidase A                           | 1.301    |  |  |  |  |
| g7696                         | alphatrehalose-phosphate synthase                    | 1.388    |  |  |  |  |
| g857                          | endo-1,3-betaglucosidase eglC                        | 1.360    |  |  |  |  |
| g9295                         | Glucose-6-phosphate isomerase                        | -1.422   |  |  |  |  |
| Fatty acid m                  | Fatty acid metabolism                                |          |  |  |  |  |
| g507                          | Cytochrome P450                                      | -2.278   |  |  |  |  |
| g672                          | putative aldehyde dehydrogenase                      | -1.201   |  |  |  |  |
| g2909                         | 3-ketoacyl-CoA thiolase                              | -1.931   |  |  |  |  |
| g3641                         | Acetyl-CoA acetyltransferase                         | -1.198   |  |  |  |  |
| g3915                         | Enoyl-CoA isomerase/hydratase                        | -1.153   |  |  |  |  |
| g5070                         | Enoyl-(Acyl carrier protein) reductase               | 2.751    |  |  |  |  |
| g5094                         | Short-chain-type dehydrogenase/reductase             | 11.281   |  |  |  |  |
| g5215                         | Acyl-CoA dehydrogenase family member 10              | 1.212    |  |  |  |  |
| g6087                         | Phosphotransferase                                   | -1.056   |  |  |  |  |
| g6743                         | Aldehyde dehydrogenase                               | 1.447    |  |  |  |  |
| g7131                         | Acetoacetyl-CoA reductase                            | 1.059    |  |  |  |  |
| g7814                         | Isotrichodermin C-15 hydroxylase                     | -1.498   |  |  |  |  |
| g9557                         | Short/branched-chain-specific acyl-CoA dehydrogenase | -1.433   |  |  |  |  |
| Citrate cycle                 | Citrate cycle (TCA cycle)                            |          |  |  |  |  |
| g3392                         | 2-methylcitrate synthase                             | -1.435   |  |  |  |  |
| g8077                         | Succinyl-CoA ligase                                  | 1.935    |  |  |  |  |
| g9576                         | putative succinate dehydrogenase                     | -1.168   |  |  |  |  |
| Lysine biosy                  | Lysine biosynthesis                                  |          |  |  |  |  |
| g3241                         | Homoaconitase, mitochondrial                         | 1.077    |  |  |  |  |
| g6260                         | Homocitrate synthase, mitochondrial                  | 1.129    |  |  |  |  |
| Phenylalani                   | ne, tyrosine and tryptophan biosynthesis             |          |  |  |  |  |
| g6745                         | Fungal-specific transcription factor                 | 2.344    |  |  |  |  |
| MAPK signa                    | APK signaling pathway                                |          |  |  |  |  |
| g7635                         | Catalase   | -1.13221 |  |  |  |  |
| g9623                         | Catalase   | -3.62842 |  |  |  |  |
| Spore development             |  |          |  |  |  |  |
| g6286                         | Spore development regulator vosA                     | -1.22895 |  |  |  |  |
| g655                          | Outer spore wall protein RRT8                        | -0.94555 |  |  |  |  |

# 3.5. High Production of Balanol at the Gram Level through Medium Optimization via Response Surface Methodology (RSM)

In order to further enhance balanol production, the effect of condition parameters, including inoculum dosage, pH, carbon source and nitrogen source on balanol production was investigated through PB experiments. As shown in Figure 7, sucrose, which varied from 30 g/L to 120 g/L, showed a significant effect on balanol production and cell growth, whereas 105 g/L of sucrose was determined to be the optimal concentration (Figure 7A), and 15 g/L polypeptone as the nitrogen source (Figure 7B) or 10 g/L of the yeast extract (Figure 7C) was the best concentration with the maximum titer of balanol. The effect of the combined nitrogen source further demonstrates that balanol production reached the maximum at 5 g/L yeast extract and 10 g/L of polypeptone (Figure 7D).



**Figure 7.** Effect of nutritional factor on balanol production including sucrose (**A**), polypeptone (**B**), yeast extract (**C**) and combined yeast extract and polypeptone (**D**).

The significant factors affecting production as observed in OFAT, namely (A) sucrose, (B) polypeptone and (C) yeast extract, were studied for their optimum combination using RSM and adhering to the PB and BB design matrix. A total of 12 run experiments were performed according to the PB matrix to investigate the significant components in balanol production (Table 1). Regression statistics were performed to examine the model feasibility. As shown in Table 3, a model with a *p*-value lower than 0.05 was considered significant, and sucrose, polypeptone and initial pH were determined to be the main significant components affecting balanol production. Therefore, a 17-full factorial BB experimental design was further implemented to determine their optimal values based on RSM. The experimental design matrices that included all the variables and balanol titers are shown in Table S2. The resulting fermentation titers of balanol were used to fit a quadratic model using regression analysis, yielding the following response equation to predict balanol production in terms of coded variables:

 $Y = 1814.86 - 21.55A - 103.12B + 332.95C + 107.44AB - 33.82AC + 54.17BC - 585.06A^2 - 365.13B^2 - 434.71C^2 - 585.06A^2 - 365.13B^2 - 585.06A^2 - 58$ 

where Y indicates the balanol production (mg/L); and A, B and C are sucrose, polypeptone and pH, respectively. Moreover, we used this statistical model to evaluate the relationship between different variables and their interactive effects on balanol production, as summarized in Table 4. Regression analysis of BB design showed that the model's *F* value was 32.35, and the model's *p*-value was lower than 0.0001 with statistical significance, suggesting that this model is fit well to describe the response of balanol production of these variable components.

| Source    | SS                  | DF | MS                    | F-Value             | <i>p</i> -Value |
|-----------|---------------------|----|-----------------------|---------------------|-----------------|
| Model     | $4.336 \times 10^5$ | 7  | $6.821 	imes 10^5$    | 6.82                | 0.0413 *        |
| А         | $2.028 	imes 10^5$  | 1  | $2.028 	imes 10^5$    | 20.27               | 0.0108 *        |
| В         | $8.603	imes10^4$    | 1  | $8.603 	imes 10^5$    | 8.60                | 0.04727         |
| С         | $4.005 	imes 10^5$  | 1  | 6.15                  | $6.145	imes10^{-4}$ | 0.9814          |
| D         | $1.706 \times 10^3$ | 1  | $1.706 \times 10^{3}$ | 0.12                | 0.7009          |
| E         | $5.495 	imes 10^4$  | 1  | $6.159 	imes 10^2$    | 0.044               | 0.8163          |
| F         | $6.16	imes10^2$     | 1  | $1.424 	imes 10^5$    | 10.18               | 0.0188 *        |
| G         | $1.424	imes10^5$    | 1  | $4.390 	imes 10^5$    | 1.55                | 0.2677          |
| Residual  | $4.003 	imes 10^5$  | 4  | $1.001 	imes 10^5$    |                     |                 |
| Cor Total | $5.175 	imes 10^5$  | 11 |                       |                     |                 |

Table 3. Signification analysis of the PB experiments on balanol production.

\* indicates that the effect of the variable is significant.

Table 4. Variance analysis of the binary regression equation.

| Source      | SS                 | DF | MS                  | F-Value | <b>Pr</b> > <b>F</b> |
|-------------|--------------------|----|---------------------|---------|----------------------|
| Model       | $4.148 	imes 10^6$ | 9  | $4.609 	imes 10^5$  | 32.35   | < 0.0001 **          |
| А           | $3.715 	imes 10^4$ | 1  | $3.715 	imes 10^4$  | 0.26    | 0.6253               |
| В           | $8.506 	imes 10^5$ | 1  | $8.506 	imes 10^5$  | 5.97    | 0.0445 *             |
| С           | $8.869 	imes 10^5$ | 1  | $8.869 	imes 10^5$  | 62.25   | < 0.0001 **          |
| AB          | $4.618	imes10^5$   | 1  | $4.618 	imes 10^5$  | 3.24    | 0.1148               |
| AC          | $4.575 	imes 10^3$ | 1  | $4.575 	imes 10^3$  | 0.32    | 0.5886               |
| BC          | $1.174	imes10^5$   | 1  | $1.174	imes10^5$    | 0.82    | 0.3942               |
| A2          | $1.441 	imes 10^6$ | 1  | $1.441 	imes 10^6$  | 101.15  | < 0.0001 **          |
| B2          | $5.614	imes10^5$   | 1  | $5.614	imes10^5$    | 39.40   | 0.0004 **            |
| C2          | $7.957 	imes 10^5$ | 1  | $7.957 	imes 10^5$  | 55.85   | 0.0001 **            |
| Lack of Fit | $2.485	imes10^5$   | 3  | $8.283 	imes 10^3$  | 0.44    | 0.7355               |
| Pure Error  | $7.488 	imes 10^5$ | 4  | $1.872 \times 10^5$ |         |                      |
| Cor Total   | $4.248 	imes 10^6$ | 16 |                     |         |                      |

\* and \*\* indicate that the effect of the variable is significant and more significant, respectively.

According to the BB model, the individual and interactive effects of the independent component on the response of balanol production are further shown by contour plots to predict the response surface; the balanol titer was a function of two tested variables, whereas the other independent variable was kept constant at the zero level. Among these components, the interactive effect between two components on balanol production is given in Figure 8. Balanol titers initially increased with concentrations of both polypeptone and pH, then declined after reaching the maximum point in each variable, showing that there was a curve relationship between the independent variables and balanol production (Figure 8A). A similar interaction was independently observed between sucrose and polypeptones, sucrose and pH (Figure 8B,C). However, the *p*-values for the interactive term of these pairs of variables were higher than 0.05, suggesting statistically insignificant interactions between these three components. It can be inferred that the central values of these three variants subjected to BB design were close to their optimal values. According to the RSM model, the optimal values for the maximum production of balanol were 100 g/L sucrose, 13.6 g/L polypeptone and an initial pH of 4.9.



**Figure 8.** Contour plots for response interaction between two variables. (**A**) Interaction effect of pH and polypeptone on balanol production; (**B**) Interaction effect of sucrose and polypeptone on balanol production; (**C**) Interaction effect of pH and sucrose on balanol production.

#### 3.6. Batch Fermentation for Balanol Production in a Scaled-Up 15 L Tank

Using the optimal medium compositions, including 100 g/L sucrose, 13.6 g/L polypeptone, 5 g/L yeast extract, 0.6 g/L KH<sub>2</sub>PO<sub>4</sub>, 1.0 g/L MgSO<sub>4</sub>·7H<sub>2</sub>O, initial pH and 2% inoculum volume, we carried out a scaled-up batch fermentation with the *blnR*OE strain in a 15 L fermenter. As can be seen from the time course of the fermentative profile shown in Figure 9A, the maximum concentration of balanol reached 2187.39 mg/L after culture for 10 days, and the cell biomass was found to be the highest after 8 d growth. The results matched well with the predicted value of the developed statistical model, suggesting that this model truly reflects the effect of medium components on balanol production. It provides a feasible practical attempt for large-scale industry production. We also analyzed the effect of optimized medium on the expression of gene members of the *bln* gene cluster (Figure 9B). The results show that their expression did not differ significantly when cultured in the optimized medium.



**Figure 9.** Time profile of balanol production by *blnROE* strain using the optimized medium (**A**) and analysis of the expression level of the *bln* gene cluster (**B**). The *blnROE* strain was cultured in a 15 L tank at 26 °C for 11 days with 8 L of medium. The culture broth was sampled for analysis of cell growth by determination of dried weight and balanol production every two days. The dissolved oxygen was maintained at 30% through cultivation. For RNA extraction, 4-day mycelium was used to analyze the expression level.

# 4. Discussion

Fungi micro-organisms are a rich source for producing novel compounds with potential bioactivity. With the development of sequencing technology, bioinformatic analysis has revealed that a far larger number of gene clusters is cryptic in the genomic sequence. Mining the genomic source to discover novel compounds is attractive. The secondary metabolism is a complex network regulated by global regulators, such as LaeA and velvet [31,32]. In the developed strategies, transcription regulation has proven to be a simple and feasible method to activate cryptic biosynthetic gene clusters or improve the production of compounds of interest. It is known that transcription factors can directly regulate the transcription of their target genes by binding to promoters, leading to an improvement or reduction in the production of target compounds. Recently, a targeted and high-throughput activation of silent gene clusters using transcription factor decoys was applied in Streptomycetes [33]. In this study, we identified a cluster-situated Zn<sub>2</sub>Cys<sub>6</sub> family regulator, BlnR, which plays a positive role in regulation of balanol biosynthesis by binding to all promoters of gene cluster members, as well as its own promoter, within the *bln* (Figure 3C). Overexpression of the *blnR* gene significantly activated the transcription levels of all gene members within the *bln* gene cluster.

Additionally, mRNA-seq analysis exhibited that the overexpression of *blnR* led to 1001 differentially expressedgenes, which are involved in different pathways, including primary and secondary metabolism, in the *blnR*OE strain (Table 2). For primary metabolism, such as starch and sucrose metabolism, fatty acid metabolism and TCA cycle, there are up-regulated genes and downregulated genes. Aromatic amino acid biosynthesis and lysin-biosynthesis-related genes were upregulated significantly, which was reasonable because lysine and phenylalanine are the substrate of NRPS for balanol biosynthesis within the gene *bln* cluster. All these data indicate that BlnR is involved in the coordination of secondary metabolism and primary metabolism to promote balanol biosynthesis.

We also found that *blnR* overexpression resulted in changes in its physiological phenotype in the *blnR*OE strain. Conidiospore development was blocked, with a reducing number, and the hyphae grew curly with retarded growth (Figure 5). Conidiation is considered the most common asexual reproductive mode for many filamentous fungi, and its developmental mechanisms have been characterized in *A. nidulans* and *Neurospora crassa* [34,35]. Transcription analysis showed that regulators involved in spore development, such as AbaA, FluG and FlbD, were upregulated. RNA-seq data also revealed that outer spore wall protein RRT8 and spore development regulator vosA were downregulated significantly in the *blnR*OE strain (Figure 5 and Table 2). These data suggest that the *blnR* gene is also involved in spore development with the slowed growth of the hyphae.

Previous studies have shown that AflR can regulate the expression of genes outside of the aflatoxin biosynthetic cluster under conditions conducive to aflatoxin production in *A. parasiticus* and *A. flavus* [36,37], suggesting that AflR may have a broad function and regulate other distant genes. Consistent with these results, our study also showed that BlnR can regulate the genes within the balanol biosynthetic cluster, as well as other distant genes involved in many other metabolic pathways (Figure 6 and Table 2), which are directly regulated by BlnR and will be crucial for further studies.

Genetic manipulation was proven to be an efficient technique to activate and prove the biosynthesis of secondary metabolites. Meanwhile, the OSMAS cultivation-based technique can also powerfully activate or increase SM production by changing the culture conditions, including the proper ratio of carbon to nitrogen, metal ions, pH and temperature. Many novel compounds were found through this simple strategy. In large-scale industrial production, the optimization of culture conditions is used as a feasible way to increase the production of target products in order to reduce economic costs. In this study, we carried out medium optimization using RSM-based statistics and further improved balanol production by 3.12-fold to 2187.39 mg/L in a 15 L fermenter by increasing the transcription level of gene members of the *bln* gene cluster (Figure 9A). The optimized medium did not further enhance the expression of all *bln* gene members, revealing that changing culture conditions possibly led to the alteration of enzyme activity or other metabolite pathways.

# 5. Conclusions

In conclusion, the cluster-situated  $Zn_2Cys_6$ -family regulator, BlnR, has a positive and specific regulatory effect on balanol biosynthesis in *T. ophioglossoides*. BlnR was found to regulate the other genes outside of the balanol biosynthetic gene cluster, including the primary and secondary metabolite pathways. In addition, BlnR was also found to be involved in the development of asexual conidiospores and mycelium growth. Furthermore, statistical methods based on RSM were used to determine optimal medium compositions with a maximum titer of balanol in the *blnR*OE strain. Using these optimized components, the highest concentration of balanol was determined to be 2187.39 mg/L after 10 d cultivation in a 15 L batch tank.

**Supplementary Materials:** The following supporting information can be downloaded at: https: //www.mdpi.com/article/10.3390/jof8050510/s1. Table S1: Primers used in this study. Table S2: Experimental scheme of response surface design and results.

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# Article Trichoderma reesei Contains a Biosynthetic Gene Cluster That Encodes the Antifungal Agent Ilicicolin H

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**Abstract:** The *trili* biosynthetic gene cluster (BGC) from the well-studied organism *Trichoderma reesei* was studied by heterologous expression in the fungal host *Aspergillus oryzae*. Coexpression of *triliA* and *triliB* produces two new acyl tetramic acids. Addition of the ring-expanding cytochrome P450 encoded by *triliC* then yields a known pyridone intermediate to ilicicolin H and a new chain-truncated shunt metabolite. Finally, addition of the intramolecular Diels-Alderase encoded by *triliD* affords a mixture of 8-epi ilicicolin H and ilicicolin H itself, showing that the *T. reesei trili* BGC encodes biosynthesis of this potent antifungal agent. Unexpected *A. oryzae* shunt pathways are responsible for the production of the new compounds, emphasising the role of fungal hosts in catalysing diversification reactions.

Keywords: heterologous expression; PKS-NRPS; ilicicolin H; shunt metabolite; Trichoderma reesei

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# 1. Introduction

*Trichoderma reesei* (*Hypocrea jecorina*) is a filamentous fungus widely used for the industrial production of cellulase [1]. The organism can grow to high cell density and can grow robustly on a variety of low-quality substrates. It has been subject to a wide variety of biotechnological improvements since the discovery of the wild-type QM6A strain in the 1940s, and many molecular tools are available for its genetic manipulation. Many *Trichoderma* species have been used in the remediation of fungal diseases. For example, Foster and coworkers have shown that some *Trichoderma* spp. can effectively treat *Armillaria mellea* (honey fungus) that causes root rot in many tree species [2]. *T. reesei* is also well-known for the production of a number of secondary metabolites such as sorbicillinol **1** [3,4], and numerous multimeric derivatives such as bisvertinolone **2** [5].

Other members of the *Trichoderma* genus are well-known producers of many secondary metabolites [6]. In particular, compounds such as acyl tetramic acids (acyl 2-pyrollones) and acyl 2-pyridones, synthesised by hybrid polyketide synthase-nonribosomal peptide synthetases (PKS-NRPS) are commonly produced by various *Trichoderma* species. These include metabolites such as harzianic acid **3** (*T. harzianum*) [7,8], and deacetyl-18-deoxy cytochalasin H **4** [9] among the pyrollones; and trichodin A **5** [10] and harzianopyridone **6** [11,12] among the 2-pyridones (Figure 1). The biosynthesis of related pyrollones such as fusarin C **7** in *Fusarium* spp [13] and acurin A **8** in *Aspergillus aculeatus*, [14] and 2-pyridones such as tenellin **19** in *Beauveria bassiana* [15] and ilicicolin H **10** in *Talaromyces variabilis* and *Nectria* spp [16,17] are well-understood.

However, despite decades of study, *T. reesei* itself has not been reported to produce any PKS-NRPS compounds. Since we have a broad interest in fungal PKS-NRPS compounds and we recently made detailed studies on the biosynthesis of sorbicillinoids in *T. reesei* [3,4], we set out to determine if this organism can also produce PKS-NRPS compounds.



Figure 1. Typical compounds found in various Trichoderma species (1-6) and related fungal metabolites (7-10).

# 2. Materials and Methods

All chemicals and media ingredients used in this work were purchased from Duchefa Biochemie, Roth, VWR, Fisher scientific, Sigma Aldrich, abcr and Formedium. All media, buffers, solutions and antibiotics were prepared with double-distilled water (ddH<sub>2</sub>O), where deionized water was further purified by GenPure Pro UV/UF Milipore device from Thermo scientific. All growth media and sterile solutions were autoclaved at 121 °C for 15 min using Systec VX150 or a classic Prestige Medical 2100 autoclave. Antibiotic solutions were sterilized using a sterile syringe filter (0.45  $\mu$ m pore size, Roth). See ESI for details of all growth media, buffers and solutions, enzymes and antibiotics.

# 2.1. Microbiological Methods

Bacterial and fungal strains and all microbiological methods used in this work are summarized in the Electronic Supplementary Information (ESI).

#### 2.2. Construction of Heterologous Expression Vectors

The genomic DNA (gDNA) of T. reesei QM6a  $\Delta tmus53 \Delta pyr4$  [18] was used as a template to amplify genes for yeast homologous recombination (without removal of introns). The vector pEYA was used to assemble the PKS-NRPS (TRIREDRAFT\_58285, triliA) gene (~12 kb) in four different DNA fragments of around 3 kb each using yeast homologous recombination. This was followed by LR recombination between the entry plasmid pEYA·triliA (MSIII139) and the expression vector pTYGS·arg to yield vector pTYGS-argB-triliA (MSIII144). The enoyl reductase gene (TRIREDRAFT\_58289, triliB) was also amplified from the gDNA of *T. reesei* using primers with overhang to  $P_{gpdA}$  and  $T_{gpdA}$ . Using yeast homologous recombination, the final vector pTYGS argB triliA triliB (MSIII152) was constructed which contains the PKS-NRPS (triliA)- and ER (triliB)-encoding genes from the specified cluster. A vector for the expression of the first three genes of the cluster; PKS/NRPS (TRIREDRAFT\_58285, triliA), ER (TRIREDRAFT\_58289, triliB), P450<sub>RE</sub> (TRIRE-DRAFT\_58953, triliC) was constructed using yeast homologous recombination using gDNA of *T. reesei* QM6a $\cdot \Delta tmus53 \cdot \Delta Pyr4$  as a template for the amplification of the genes. A vector for the expression of the first four genes of the cluster; PKS/NRPS (TRIREDRAFT\_58285, triliA), ER (TRIREDRAFT\_58289, triliB), P450<sub>RE</sub> (TRIREDRAFT\_58953, triliC) and Diels-Alderase (triliD) was constructed via yeast homologous recombination using gDNA of T. *reesei* QM6a $\cdot\Delta tmus53\cdot\Delta Pyr4$  as template for the amplification of the genes.

#### 2.3. Chemical Analysis

All the chemicals and materials were purchased from one of the following companies: Bio-Rad (München, Germany), New England Biolabs (Beverly, MA, USA), Roth (Karlsruhe, Germany), Sigma Aldrich (Steinheim, Germany), and Thermo Fisher Scientific (Waltham, MA, USA).

### 2.3.1. Liquid Chromatography–Mass Spectrometry (LC–MS)

Analytical LC–MS data of the organic extracts were obtained by either a Waters mass-directed autopurification system (Waters, Wilmslow, UK) including a Waters 2767 autosampler, a Waters 2545 pump and Phenomenex Kinetex column (2.6  $\mu$ m, C<sub>18</sub>, 100 Å, 4.6 mm × 100 mm; Phenomenex) equipped with a Phenomenex Security Guard precolumn (Luna, C<sub>5</sub>, 300 Å). Detection was performed by a diode array detector from 210–600 nm (DAD; Waters 2998 or Waters 996), an electron light-scattering detector (ELSD; Waters 2424) and an electrospray ionisation mass detector in the range of 100–1000 *m/z* (Waters SQD-2). Gradient was run over 15 min starting at 10% acetonitrile/ 90% HPLC grade water (+ 0.05% formic acid) and ramping to 90% acetonitrile/ 10% HPLC grade water (+ 0.05% formic acid). Flowrate was 1 mL/min and 20  $\mu$ L of the sample was injected. Data were displayed using the software MassLynx. The LC–MS program is summarized in the ESI.

Single compounds were purified from the raw organic extracts by a Waters massdirected autopurification system. It comprises a Waters 2767 autosampler, a Waters 2545 binary gradient module system and a Phenomenex Kinetex Axia column (5  $\mu$ m, C<sub>18</sub>, 100 Å, 21.2 mm × 250 mm) equipped with a Phenomenex Security Guard precolumn (Luna, C<sub>5</sub>, 300 Å). A water/acetonitrile gradient was run over 15 min with a flowrate of 20 mL/min and a post-column flow split of 100:1. The minority flow was applied for simultaneous analysis by a diode array detector (Waters 2998) in the range 210 to 1600 nm, an evaporative light-scattering detector (ELSD; Waters 2424) and a Waters SQD-2 mass detector, operating in ES+ and ES- modes between 100 and 1000 *m/z*. Selected peaks were collected into test tubes and solvent was evaporated under reduced pressure. Compounds were dissolved in methanol (1 mg/mL). High-Resolution Mass Spectrometry was performed on a Q-Tof Premier mass spectrometer (Waters) coupled to an Acquity UPLC-domain (Waters). Electron spray Ionisation (EsI) mass spectroscopy was measured in positive or negative mode depending on the compound.

#### 2.3.2. Nuclear Magnetic Resonance (NMR) Analysis

Bruker Ascend 400 MHz, Bruker DRX 500 MHz or a Bruker Ascend 600 MHz Spectrometer (Bruker) were used for NMR measurements of the samples. Raw data were then analyzed using the software Bruker TopSpin 3.5. Chemical shifts are expressed in parts per million (ppm) in comparison to the Tetramethylsilane (TMS) standard and are referenced to the deuterated solvent.

#### 2.3.3. Extraction of Fungal Cultures

For *A. oryzae* transformants, a small cell sample was dried by Büchner filtration or gravity filtration and used for gDNA analysis. Mycelia were ground using a hand blender and removed from the culture supernatant by Büchner filtration. Supernatant was acidified with 2 M HCL to pH 3–4 and extracted twice with ethyl acetate. Combined organic layers were dried over MgSO<sub>4</sub> and solvents were removed under vacuum. Organic residue was dissolved in methanol or acetonitrile to a concentration of 5–10 mg/mL (analytical) or 50 mg/mL (preparative) and filtered over glass wool.

### 3. Results

The genome of *T. reesei* QM6A, the wild-type isolate, was sequenced in 2008 [19]. We examined this genome sequence using a combination of automated AntiSMASH [20] and manual screening, with a particular focus on PKS and PKS-NRPS encoding genes. Bioinformatic analysis of all PKS and PKS-NRPS genes in *T. reesei* QM6a revealed the

presence of 11 PKS and two hybrid PKS-NRPS encoding genes (see ESI for details). Of these, only the two PKS genes responsible for the sorbicillins and related compounds have been identified and confirmed (TRIREDRAFT\_73618 and 73621) [4,21,22]. The HR-PKS TRIREDRAFT\_65116 shows a very high similarity to the recently investigated PKS from *Trichoderma virens*, which is encoded in the biosynthetic gene cluster (BGC) that directs the production of virensols and trichoxide [23]. However, the other PKS and PKS-NRPS BGCs could not be linked to the production of any specific compound.

Although the *T. reesei* genome contains two hybrid PKS-NRPS encoding genes, no PKS-NRPS secondary metabolites have been reported from this fungus and these BGCs are likely to be silent under laboratory conditions. The two PKS-NRPS themselves show similarity (>60%) to proteins involved in fusarin C **8** biosynthesis in *Fusarium moniliforme* [13] and illicicolin H **10** biosynthesis in *Penicillium variabile* (= *Talaromyces variabilis*) [16]. Ilicicolin H **10** is an interesting antifungal agent that targets the cytochrome bc1 respiration complex in the 3–5 nM range [24], and it is conceivable that ilicicolin H **10** production may be responsible for the observed antifungal effects of *Trichoderma* species in general [2] and *T. reesei* in particular [25]. We therefore selected the putative *T. reesei* ilicicolin H BGC (*trili*) for further investigation.

Based on the NCBI prediction, the *trili* BGC (Figure 2) consists of a hybrid PKS/NRPS (*triliA*), an ER (*triliB*), and a putative cytochrome P450 (*triliC*). Reannotation of the cluster by FungiSMASH and Clinker [26] and Artemis comparison to a closely related BGC in *T. citrinoviride* resulted in the identification of a putative *S*-adenosylmethionine (SAM)-dependent Diels-Alderase in the cluster (*triliD*), that shows high similarity to *iccD* from the illicicolin-H BGC in *Talaromyces variabilis* [16]. Finally, *triliE* encodes a putative TIM-like epimerase homologous to IccE, known to epimerise epi-ilicicolin H in *T. variabilis*. Multigene analysis using cblaster [27] identified highly similar *ili* BGCs in other strains of *T. reesei* (RUT C-30) and other *Trichoderma* species, including *T. parareesei*, *T. virens* Gv29-8, *T. citrinoviride* TUCIM6016 and *T. longibrachiatum* ATCC 18648 (see ESI).



**Figure 2.** Annotation of *T. reesei* ilicicolin H BGC (*trili*) and comparison to the *Talaromyces variabilis icc* BGC. Ili proteins are from the *Neonectria* sp. DH2 ilicicolin H biosynthetic pathway [17]; Icc proteins are from the *T. variabilis* ilicicolin H biosynthetic pathway [16].

In an initial heterologous expression experiment, *triliA* and *triliB* were cloned into the vector pTYGS·*argB* [28] using rapid recombination of genome-derived PCR fragments in yeast and *in vitro* (Gateway) recombination. The PKS-NRPS-encoding gene *triliA* was placed downstream of the inducible *A. oryzae amyB* promoter ( $P_{amyB}$ ), while *triliB* was placed under the control of the *Aspergillus gpdA* promoter ( $P_{gpdA}$ ). The resulting vector pTYGS·*argB*·*triliA*·*triliB* was transformed into the fungal host *Aspergillus oryzae* NSAR1 [29]. Comparison of the organic extracts of transformants with those of untransformed *A. oryzae* 



NSAR1 revealed the production of new compounds by LC–MS analysis eluting at 6.7 min, 8.0 min and 11.0 min (Figure 3).

**Figure 3.** LC–MS traces (DAD 210–600 nm) of transformed *A. oryzae* with *illiA* and *illiB.* (**A**), the extract of the wild-type *A. oryzae* NSAR1. (**B**–**E**), extracts of four transformants of *A. oryzae* NSAR1 with *triliA* and *triliB* showing the production of different PKS-NRPS-related compounds at *RT* 6.7, 8.0 and 11.0 min.

Compound **11** (*RT* 6.7 min, 30 mg) was isolated by preparative LC–MS, and the structure was elucidated using 1D and 2D NMR. HRMS analysis of **11** confirmed a molecular formula of  $C_{23}H_{28}NO_6$  ([M+H]+ calculated 414.1917, found 414.1917). Compound **12** (*RT* 8.0 min, 4 mg) was isolated by preparative LC–MS and the structure was elucidated using NMR. HRMS analysis of **12** confirmed a molecular formula of  $C_{27}H_{32}NO_6$  ([M+H]+ calculated 466.2230, found 466.2237).

NMR analysis of these compounds (see ESI for full details) showed that **11** is a linear acyl tetramic acid with a  $C_{12}$  sidechain terminating in an unusual (at this position) carboxylic acid. Minor compound **12** was shown to be a related acyl tetramic acid featuring a decalin, with an unusual 3-propenoic acid substituent. Although the minor compound **13** at 11.0 min could not be fully identified by NMR, mass spectroscopic and UV analysis suggested that it is likely to be a third related tetramic acid with a  $C_{16}$  sidechain, first reported by Tang and coworkers during biosynthetic studies of ilicicolin H (Scheme 1) [16].



Scheme 1. Overall predicted pathway showing production of new compounds 11, 12 and 14 in A. oryzae NSAR1.

In the next round of experiments, triliC, encoding a cytochrome P450 oxidase, was added to the *A. oryzae* strains, driven by  $P_{adh}$ . TriliC is related to ring-expanding cytochrome P450 oxidases first characterised from the tenellin pathway [15]. Coexpression of *triliA*, *triliB* and *triliC* resulted in production of two new compounds in comparison to the previous transformants and the untransformed *A. oryzae* (Figure 4), eluting at 6.7 (14), and 10.8 (15) min. Full NMR analysis of the *RT* 6.7 min compound showed it to be the pyridone homolog of 11. Similarly, the 10.8 min compound was shown to be the pyridone homolog of 13.



**Figure 4.** LC–MS traces (DAD 210–600 nm) of transformed *A. oryzae* NSAR1 with *triliA, triliB* and *triliC*. (**A**), the extract of untransformed *A. oryzae* NSAR1; (**B**–**E**), extracts of four transformants of *A. oryzae* with *triliA, triliB* and *triliC*. New compounds were observed at RT 6.7 and 10.8 min.

Finally, a gene homologous to Diels-Alderase *iccD* (*triliD*) was added to the expression system downstream of  $P_{eno}$  and transformed into *A. oryzae*. This experiment again produced **11** (*RT* 6.7 min). Additionally, two new peaks in comparison to the previous transformants and the untransformed *A. oryzae* were also observed by LC–MS (Figure 5) at *RT* 10.76 and 11.0 min. The minor compound at 11.0 min was again assigned as **13** after analysis of partial data. NMR and HRMS analysis (see ESI for full details) showed the peak at 10.76 min consists of an almost coeleuting mixture of the known 8-epi ilicicolin H **16** and ilicicolin H **10** itself.



**Figure 5.** LC–MS traces (DAD 210–600 nm) of transformed *A. oryzae* with *illiA*, *illiB*, *illiC* and *illiD*. (**A**), the extract of the wild-type *A. oryzae* NSAR1; (**B–C**), Extracts of two representative transformants of *A. oryzae* with *illiA*, *illiB*, *illiC* and *illiD*.

# 4. Discussion

PKS-NRPS compounds have been reported before from the genus *Trichoderma*, but *T. reesei* QM6A itself has not been reported to produce these compounds. Genomic analysis, however, reveals two typical fungal PKS-NRPS BGCs. Heterologous expression experiments reveal that the *trili* BGC is fully functional, but apparently silent in *T. reesei* QM6A under laboratory conditions. Initial expression of the PKS-NRPS (*triliA*) and *trans*-ER (*triliB*) genes in *A. oryzae* led to production of two new acyl tetramic acids (**11** and **12**) and a third compound **13** that is likely to be a precursor of illicicolin H, recently described by Tang and coworkers [16] from *Nectria sp. B13* and *T. variabilis* and Gao and coworkers [17] in *Neonectria sp. DH2*. Decalin **12** appears to have been oxidised to a carboxylic acid at its terminal polyketide methyl group. Addition of the ring-expanding P450 oxidase then produces the corresponding 2-pyridones **14** and **15**. Compound **15** is identical to an ilicicolin H precursor previously identified by Tang and coworkers [16], but **14** is new. Finally, addition of the Diels-Alderase encoded by *triliD* produces a mixture of 8-epi ilicicolin H **16** and ilicicolin H **10** itself.

The *T. variabilis icc* [16] and *Neonectra DH2 ili* [17] BGCs were previously investigated by expression in *A. nidulans*. Our results are highly similar to those obtained from these studies, except that *A. oryzae* as a host appears to catalyse some unexpected steps. For example, in *A. oryzae* the initial tetramic acid precursor **13** appears to be oxidatively degraded to remove the terminal four polyketide carbons and leaves a carboxylic acid in the structure of **11**. Previous heterologous expression studies on *A. oryzae* also indicate that this host has a propensity for the oxygenation of polyenes [30,31] and oxidative cleavage of olefins [32]. Likewise, oxygenation of the terminal methyl of **12** to a carboxylic acid is newly observed in *A. oryzae*. This is similar to the BueE-catalysed oxidation recently observed by Piggott and coworkers in the case of the fungal polyketide decalin burnettiene A in *Aspergillus burnettii* [33]. It also appears that a spontaneous (or *A. oryzae*-catalysed) Diels–Alder reaction can occur to produce a decalin that is then oxidised to a carboxylic acid at the terminal methyl to generate new compound **12**. In previous studies on ilicicolin H biosynthesis, using *A. nidulans* as the host, a specific Diels–Alder catalyst was required for this step. We also observed the chain-truncated pyridone **14**—again a new natural product. In *A. nidulans* it appears that 8-epi ilicicolin H **16** is a stable compound, requiring expression of *iccE* for the conversion to ilicicolin H. However, in *A. oryzae*, observable amounts of ilicicolin H **10** are produced in the absence of this epimerase catalyst.

Our results therefore illustrate that *T. reesei* QM6A has a fully functional PKS-NRPS BGC that encodes the biosynthesis of ilicicolin H, a potent antifungal agent. The ability of *T. reesei* QM6A to make this compound has never before been observed [34], despite nearly 70 years of laboratory-based investigations. It is likely that this BGC can be activated under unknown environmental conditions in its native habitat and may contribute to its utility as an agricultural antifungal agent, but it appears to be silent under laboratory conditions. Finally, our results also show that different fungal heterologous hosts can introduce unexpected shunt pathways which can diversify known secondary metabolites and produce new-to-nature compounds.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/10.3390/jof7121034/s1. All experimental details including growth media, buffers, enzyme and antibiotic solutions, bacterial and fungal strains, vectors and oligonucleotides, bioinformatic procedures and results, structure elucidation details, tabulated NMR data, NMR spectra, and HRMS data.

**Author Contributions:** M.L.S. and R.J.C. designed the study. Experimental work was performed by M.L.S., assisted by M.A. The paper was written by R.J.C. and M.L.S., R.J.C. was responsible for providing physical resources. Funding was gained by M.L.S. (DAAD GERLS) and R.J.C. All authors have read and agreed to the published version of the manuscript.

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# Heterologous Expression of Secondary Metabolite Genes in Trichoderma reesei for Waste Valorization

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**Abstract:** *Trichoderma reesei* (*Hypocrea jecorina*) was developed as a microbial cell factory for the heterologous expression of fungal secondary metabolites. This was achieved by inactivation of sorbicillinoid biosynthesis and construction of vectors for the rapid cloning and expression of heterologous fungal biosynthetic genes. Two types of megasynth(et)ases were used to test the strain and vectors, namely a non-reducing polyketide synthase (nr-PKS, *aspks1*) from *Acremonium strictum* and a hybrid highly-reducing PKS non-ribosomal peptide synthetase (hr-PKS-NRPS, *tenS* + *tenC*) from *Beauveria bassiana*. The resulting engineered *T. reesei* strains were able to produce the expected natural products 3-methylorcinaldehyde and pretenellin A on waste materials including potato, orange, banana and kiwi peels and barley straw. Developing *T. reesei* as a heterologous host for secondary metabolite production represents a new method for waste valorization by the direct conversion of waste biomass into secondary metabolites.

**Keywords:** heterologous expression; PKS-NRPS; PKS; waste valorization; microbial cell factory; *Trichoderma reesei* 

# 1. Introduction

Heterologous expression of fungal biosynthetic gene clusters (BGC) is an effective method for the synthesis of known, and engineering of new, natural products [1–3]. Heterologous hosts include *Escherichia coli* [4], *Saccharomyces cerevisiae* [5], *Aspergillus oryzae* [1] and *Aspergillus nidulans* [6]. Many common host organisms such as *S. cerevisiae* and *E. coli* are conveniently manipulated, but require tight control of fermentation conditions and media components [1,5,7–11]. However, filamentous fungi offer high potential as hosts for secondary metabolite production as they can grow rapidly on a wide variety of substrates. In particular, *Trichoderma reesei (Hypocrea jecorina)* is a filamentous fungus well known for its industrial production of cellulases and other cell wall-degrading enzymes [12]. *T. reesei* has long been used as a heterologous host for enzyme production due to its excellent protein production capabilities and its Generally Recognized as Safe (GRAS) status [13]. However, the use of *T. reesei* as a heterologous host for secondary metabolite production has not been reported so far.

The ability of *T. reesei* to produce high levels of cellulases has exploited the organism's ability to grow to high cell mass on low-value materials such as waste streams from agriculture, food production and paper and cardboard production [14–16]. This contrasts with other fungi used in biotechnology such a *A. oryzae* or *A. nidulans* that usually require high-quality or food-grade substrates. The use of *T. reesei* in waste valorization by converting cellulosic biomass to fuels and chemicals was pioneered by Reese and Mandels after the discovery of *T. reesei* cellulases that degraded the tent canvas of the US army during

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). World War II [17]. Since then, enhancing the production levels of cellulases from *T. reesei* has been a significant focus of research [18]. We reasoned that *T. reesei* should also make an effective host for heterologous secondary metabolite production and the aim of this work is to develop *T. reesei* as a microbial cell factory for secondary metabolite production by direct fermentation of different types of waste materials.

# 2. Materials and Methods

All chemicals and media ingredients used in this work were purchased from Duchefa Biochemie (Haarlem), Roth (Karlsruhe), VWR (Darmstadt), Fisher scientific (Schwerte), Sigma Aldrich (Taurkirchen), abcr (Kahlsruhe) and Formedium (Hunstanton). Double-distilled water (dd  $H_2O$ ) was used for the preparation of all media, buffers, solutions, and antibiotics. Sterilization of all growth media and solutions was achieved by autoclaving at 121 °C for 15 min using Systec VX150 or Prestige Medical 2100 autoclaves. Sterilization of antibiotic solutions was achieved using a sterile syringe filter (0.45  $\mu$ m pore size, Roth). For details of all growth media, buffers and solutions, enzymes, and antibiotics, see electronic Supplementary Information (ESI Tables S1.1–S1.5).

#### 2.1. Microbiological Methods

Bacterial and fungal strains used in this work are summarized in the ESI (Table S2.1). All the microbiological methods used were the same as those published previously [10].

# 2.2. Molecular Biology Methods

All enzymes were purchased from NEB and Thermo Fisher Scientific and were used according to the manufacturer's protocols using the supplied buffers. All the vectors and oligonucleotides used in this work are summarized in the ESI (Tables S3.1–S3.3). All molecular biology methods used were the same as published previously [10].

#### 2.3. Fermentation

For potato, orange, banana and kiwi peels, 50 g of the peels were cut into small pieces and autoclaved with 100 mL pure water at 121 °C for 15 min. For coffee press, 10 g coffee press left-over from coffee machine was autoclaved (121 °C, 15 min) with 100 mL pure water. For barley straw, 5 g barley straw (donated from a horse stable near Hannover, Germany) was cut into small pieces and autoclaved with 100 mL pure water at 121 °C for 15 min. *Trichoderma reesei* transformants were grown on minimal media agar plates for 3–5 days. Mycelia and spores were scraped off using a sterile spatula. Finally, 250  $\mu$ L of this suspension were inoculated on the waste media. The flasks were incubated for 14 days at 28 °C without shaking.

#### 2.4. Extraction of Transformants Grown on Waste Materials

For potato, orange, banana, and kiwi peels as well as coffee press, the fungal mycelia together with the media components were homogenized at the end of the fermentation period using a hand blender. This was followed by filtration and the filtrate was acidified with 2 M HCl before extraction twice with ethyl acetate. The ethyl acetate fraction was then dried over anhydrous MgSO<sub>4</sub> and evaporated under reduced pressure. The residue was dissolved in acetonitrile to reach a final concentration of 5 mg/mL.

For barley straw, 200 mL of ethyl acetate was added to the flasks containing the fungal mycelia and the straw and stirred using a magnetic stirrer for 4 h before filtration. The ethyl acetate fraction was then separated, dried over anhydrous  $MgSO_{4}$ , and evaporated under reduced pressure. The residue was dissolved in acetonitrile to reach a final concentration of 5 mg/mL.

#### 2.5. Chemical Analysis

All the chemicals and materials were purchased from one of the following companies: Bio-Rad (München, Germany), New England Biolabs (Beverly, MA, USA), Roth (Karlsruhe, Germany), Sigma Aldrich (Steinheim, Germany), and Thermo Fisher Scientific (Waltham, MA, USA). All chemical analysis methods used were the same as previously described [10].

#### 3. Results

# 3.1. Construction of a Host with a Cleaner Secondary Metabolic Background

*T. reesei* is a prolific producer of sorbicillinoids, derived from sorbicillin **1** and sorbicillin nol **2** (Figure 1). The sorbicillin pathway is well understood and the encoding sorbicillin (*sor*) BGC has been investigated in detail (Figure 1A) [19–21]. Sorbicillinoid compounds make up the majority of the natural products produced in *T. reesei* fermentations and include previously identified compounds such as epoxysorbicillinoid **3**, bisorbicillinoid **4** and bisvertinolone **5**. We decided to prevent the biosynthesis of sorbicillinoids to give a cleaner secondary metabolic background and potentially enable a higher proportion of metabolic precursors to be used for the production of desired metabolites. Therefore, we aimed to knock out key *sor* biosynthetic genes using the classical bipartite method of Nielsen that dramatically decreases the chances of false-positive transformants [22].



**Figure 1.** (**A**), the sorbicillin (*sor*) biosynthetic gene cluster in *T. reesei*, and known pathway to monomeric (1–3) and dimeric (4–5) sorbicillinoids; (**B**), compounds isolated from *T. reesei* QM6a· $\Delta$ tmus53· $\Delta$ pyr4· $\Delta$ sorBC and *T. reesei* QM6a· $\Delta$ tmus53· $\Delta$ sorBC· $P_{pdc}$ ·aspks1.

A vector was constructed using yeast homologous recombination to knock out (KO) the adjacent *sorB* and *sorC* genes simultaneously. The vector was constructed using the pEYA backbone [23] and a hygromycin resistance gene (*hph* [24]) inserted between  $P_{gpdA}$  and  $T_{trpC}$  with  $\approx 1$  kb regions homologous to the 5' end of *sorB* and 3' end of *sorC* (see ESI for details, Figure S7.1). This vector was used as a template for the construction of overlapping PCR fragments for the bipartite KO of the *sorB/sorC* region. *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr4$  (gift of Prof. Dr. Astrid Mach-Aigner, TU Wien [25]) was transformed with these PCR fragments. After three rounds of selection on PDA containing hygromycin, eighteen hygromycin-resistant transformants were selected. Chemical analysis of six of them showed that one transformant, *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr4$ · $\Delta sorBC$ , showed no production of any sorbicillin-related compounds after cultivation for seven days in DPY + 1% glucose (LC–MS analysis, Figure 2). Cultivation of this transformant on different media revealed its inability to produce sorbicillinoids under all tested conditions. PCR analysis and partial sequencing confirmed the expected loss of the *sorB* and *sorC* genes.



**Figure 2.** LC–MS traces of *T. reesei* strains grown in on DPY + 1% glucose media. (**A**), *T. reesei* QM6a· $\Delta$ tmus53· $\Delta$ pyr4; (**B**), *T. reesei* QM6a· $\Delta$ tmus53· $\Delta$ pyr4· $\Delta$ sorBC; (**C**), *T. reesei* QM6a· $\Delta$ tmus53· $\Delta$ sorBC· $P_{pdc}$ ·aspks1. \* = unrelated compound.

Although the *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr4$ · $\Delta sorBC$  strain did not produce any sorbicillinrelated compounds, it was able to produce scytolide **6** as previously observed [20]. In addition, new compounds were produced that were not previously observed in *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr4$ . One of these compounds was present in a concentration high enough to allow its isolation and structure elucidation using NMR and comparison to published data (See ESI Figures S11.1–S11.5). The compound was identified as the known citreoisocoumarin 7 (Figure 1B) [26].

#### 3.2. Construction of a T. reesei Heterologous Expression Vector

In our previous work, we have made extensive use of the modular vector system developed by Lazarus and coworkers for use in *Aspergillus oryzae* [27,28]. Due to the low availability of vectors for gene integration in *T. reesei*, an *A. oryzae* vector was adapted as the backbone to construct a new *T. reesei* expression system. The vector was constructed based on the pTYGS-*argB* vector [29], where the inducible *Aspergillus oryzae amyB* promoter ( $P_{amyB}$ ) was replaced with the pyruvate decarboxylase promoter ( $P_{pdc}$ ) from *T. reesei* itself and the *Aspergillus argB* selection marker was replaced with the *pyr4* marker using homologous recombination in yeast (Figure 3). These changes were designed to allow easy and high-throughput heterologous expression of megasynth(et)ases in *T. reesei*.

The constructed vector, pTYGS· $pyr4·P_{pdc}$ , has attR sites that allow in vitro recombination of a target gene present on a Gateway entry vector, downstream of  $P_{pdc}$ . In an initial experiment, we wished to use a reliable and well-understood synthase to test the system. We therefore selected aspks1 that encodes the non-reducing polyketide synthase (nr-PKS) methylorcinaldehyde synthase (MOS) from the xenovulene biosynthetic pathway of *Acremonium strictum* [30]. The entry vector pEYA·aspks1 [27] was recombined in vitro with pTYGS· $pyr4·P_{pdc}$  to insert aspks1 into the cloning site downstream of  $P_{pdc}$ . The resulting vector, pTYGS· $pyr4·P_{pdc}$ ·aspks1, was confirmed by PCR and sequencing.

The vector pTYGS-*pyr*4·*P<sub>pdc</sub>*·*aspks*1 was transformed into *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr$ 4· $\Delta sorBC$  using standard PEG-mediated transformation (See ESI) [31]. After three rounds of selection on minimal media lacking uridine, eight transformants were obtained and cultivated on PDB media for 48 h at 28 °C and 110 rpm. Extraction of all of the transformants showed that seven out of the eight transformants produce the expected natural product 3-methylorcinaldehyde 8 in addition to minor amounts of the corresponding

3-methylorsellinic acid **9** (Figure 2C). Longer cultivation periods and fermentation on different media showed an increase in the production levels of the acid **9** and a decrease in the aldehyde **8** production (see ESI Figure S9.3). Analysis of the gDNA of all eight transformants showed the correct insertion of *aspks1* in seven out of the eight transformants (see ESI Figure S9.5), in agreement with the LC–MS analysis.



**Figure 3.** Construction of general expression vector  $pTYGS \cdot pyr4 \cdot P_{pdc}$  and nr-PKS expression system  $pTYGS \cdot pyr4 \cdot P_{pdc} \cdot aspks1$ .

#### 3.3. Growing Recombinant T. reesei Strains on Different Waste Materials

The ability of the new transformant, *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$ · $P_{pdc}$ ·aspks1, to grow on different waste materials and produce **8** and **9** was tested. Different substrates were used such as potato peel, orange peel, banana peel, kiwi peel, coffee grinds and barley straw. The fungus grew quickly on all substrates, except orange peels. Fermentations were extracted after 14 days of cultivation with ethyl acetate.

LC–MS analysis of the extracts showed the ability of the transformed strain to produce methylorcinaldehyde 8 and methyl orsellinic acid 9 on four out of the five tested media but in different ratios (Figure 4). Fermentation on coffee grinds did not appear to produce either of 8 or 9. Quantification of the production of these compounds on potato peels showed that the strain was able to produce up to 371 mg·kg<sup>-1</sup> dry weight of combined 8 and 9 (See ESI Figures S9.7 and S9.8) without further optimization. The orange peel fermentation grew



very slowly and was incubated at 28 °C for four months. Extraction of this culture showed the production of 3-methylorcinaldehyde **8**, albeit at low titer (see ESI Figure S9.1).

**Figure 4.** LC–MS traces of *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$ · $P_{pdc}$ ·*aspks1* cultivated on different media after 14 days of growth. (**A**), grown on coffee press; (**B**), grown on potato peel; (**C**), grown on kiwi peel; (**D**), grown on banana peel; (**E**), grown on barley straw; (**F**), uv diode array detector (DAD) trace of 5.95 min peak; (**G**), ES+ spectrum of 5.95 min peak; (**H**), ES- spectrum of 5.95 min peak; (**I**), extracted ion chromatogram (EIC, ES- 165) for barley straw fermentation; (**J**), EIC chromatogram (ES+ 167) for barley straw fermentation.

#### 3.4. Construction of a Multiple-Gene Expression System

We were next interested to test the ability of *T. reesei* to express more than one biosynthetic gene in parallel. Additional native promoters were therefore chosen to be inserted in the pTYGS vector. The strongest known constitutive promoters in *T. reesei* are those driving

expression of cDNA1 ( $P_{cDNA1}$ ) and enolase ( $P_{TReno}$ ) [32]. Therefore, the two promoters,  $P_{cDNA1}$  and  $P_{TReno}$  were chosen to expand the vector pTYGS·*pyr4*· $P_{pdc}$ .

 $P_{cDNA1}$  and  $P_{TReno}$  coding regions were amplified from *T. reesei* gDNA using primers with overhangs homologous to the pTYGS· $pyr4·P_{pdc}$  vector backbone and to the terminators  $T_{adh}$  and  $T_{eno}$ , respectively. Inclusion of a *Swa*I restriction site downstream of  $P_{cDNA1}$  and  $P_{TReno}$  facilitated later gene insertion by yeast homologous recombination. The vector was then linearized using *Asc*I and the new vector was constructed by yeast homologous recombination between the linearized vector pTYGS· $pyr4·P_{pdc}$ , the coding sequence of  $P_{cDNA1}$ and  $P_{TReno}$  and PCR-derived patches to repair unused *Asc*I restriction sites. The resultant vector was confirmed by PCR and sequencing and named pTYGS· $pyr4·P_{pdc}·P_{cDNA1}·P_{TReno}$ (Figure 5).



**Figure 5.** Construction of pTYGS·*pyr4*·*P*<sub>pdc</sub>·*P*<sub>cDNA1</sub>·*P*<sub>Treno</sub> and pTYGS·*pyr4*·*P*<sub>pdc2</sub>·*tenS*·*P*<sub>cDNA1</sub>·*tenC*·*P*<sub>Treno</sub>.

To test the ability of the pTYGS· $pyr4 \cdot P_{pdc} \cdot P_{cDNA1} \cdot P_{TReno}$  system, a two-gene biosynthetic pathway, was selected. The well-studied tenellin BGC was chosen as it requires the cooperation of a PKS-NRPS encoded by *tenS* with a *trans*-acting enoyl reductase encoded by *tenC* [33]. Therefore, a new vector (pTYGS· $pyr4 \cdot P_{pdc} \cdot tenS \cdot P_{cDNA1} \cdot tenC \cdot P_{TReno}$ ) was constructed with *tenS* under the control of  $P_{pdc}$  and *tenC* driven by  $P_{cDNA1} \cdot tenC \cdot P_{TReno}$ ) was constructed with *tenS* under the control of  $P_{pdc}$  and *tenC* driven by  $P_{cDNA1} \cdot tenC \cdot P_{TReno}$  resulted in the production of nine transformants. The transformants were selected three times on minimal media and finally transferred onto PDA plates. Five out of the nine transformants were cultivated in DPY + 1% glucose for three days followed by extraction with EtOAc and LC–MS analysis. This showed the production of the expected natural product pretenellin A **10** in all the tested transformants (Figure 6A) [34].

PCR analysis of the gDNA of four different *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$ · $P_{pdc}$ ·tenS·  $P_{cDNA1}$ ·tenC transformants showed the correct insertion of tenS and tenC in all the tested transformants (ESI Figure S10.3). The best-producing transformant was then cultivated on autoclaved banana peels to test the ability of the transformed strain to grow on waste materials and produce the expected compound. The LC–MS chromatogram of the transformant after 12 days of growth showed the production of the expected compound **10** (Figure 6B).



**Figure 6.** Production of pretenellin A **10**. (**A**), LC–MS traces of *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$ ·  $P_{pdc}$ ·tenS· $P_{cDNA1}$ ·tenC transformant (lower) compared to *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr4$   $\Delta sorBC$ strain (upper) on DPY+ 1% glucose after 3 days of cultivation; (**B**), DAD chromatogram of transformant *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$ · $P_{pdc}$ ·tenS· $P_{cDNA1}$ ·tenC on banana peels (lower) in comparison to *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr4$   $\Delta sorBC$  strain (upper); (**C**,**D**), extracted ion chromatograms (EIC) for the expected masses of pretenellin A **10** in the extract of *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$ · $P_{pdc}$ ·tenS· $P_{cDNA1}$ ·tenC transformant on DPY + 1% glucose; (**E**), diode array detector (DAD) data for 8.8 min peak; (**F**), ES+ spectrum for 8.8 min peak; (**G**), ES- spectrum for 8.8 min peak; (**H**), growth of *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$  on banana peel; (**I**), growth of *T. reesei* QM6a· $\Delta tmus53$ · $\Delta sorBC$ · $P_{pdc}$ ·tenS· $P_{cDNA1}$ ·tenC on banana peel.

#### 4. Discussion

Due to its impressive ability to produce high amounts of cellulases, *T. reesei* has been developed as an effective heterologous host for protein production. Its safety and high production capacity are very appealing and have led to increased attention on *T. reesei* in recent decades, especially after the publication of its full genome sequence in 2008 [35]. Therefore, many toolkits for transforming *T. reesei* and for the expression of proteins and reporter genes have been developed [24,36,37]. These include the development of different auxotrophic strains to facilitate the transformation, identification of many native promoters to increase and control protein expression and different methods for transformation [19].

Despite this great interest in *T. reesei* as a heterologous host for protein production, almost no research has been performed on *T. reesei* as a heterologous host for secondary metabolite production. We aimed to test the suitability of *T. reesei* as a heterologous host for the expression of fungal genes to produce two model natural products. *T. reesei* is evidently able to effectively produce secondary metabolites, being a well-known producer of the sorbicillinoids [19,20,38–40]. Several other secondary metabolites are known including the polyketide trichodermatides A-D [41], and the cyclic tetrapeptide trichoderide A [42], and we have recently demonstrated that the organism harbours a fully functional ilicicolin H BCG that is silent under laboratory conditions [10].

The production of sorbicillinoids is known to hamper the production of secondary metabolites in industrial filamentous fungi. Sorbicillin production was previously eliminated in the beta-lactam producer *Penicillium chrysogenum* [43] as part of classical strain-improvement strategies. Knock out of the *T. reesei* sorbicillin BGC would result in several advantages. First, sorbicillin production likely consumes available acetyl and malonyl-CoA and cofactors that could be better directed towards production of desired metabolites. Secondly, the high levels of sorbicillinoids in the extract complicate the isolation of desired metabolites. Finally, recent studies have shown negative effects of sorbicillinoid production on growth, conidiation, cell wall integrity and cellulase production in *T. reesei* [2,44]. Therefore, a *T. reesei* strain lacking sorbicillin synthesis was desired. Previous attempts to knock out this gene cluster from *T. reesei* have been successful but they were achieved in the wild-type strain [19,20]. However, we required a strain possessing auxotrophic selection markers to allow the later selection of expressed biosynthetic genes.

Bipartite knock out of the *sorB/sorC* region was easily achieved and the resulting strain *T. reesei* QM6a· $\Delta tmus53$ · $\Delta pyr4$ · $\Delta sorBC$  strain could not produce sorbicillinoids under any tested conditions. However, some new compounds, including citreoisocoumarin 7, were produced in observable amounts in this strain, possibly due to the increased availability of acetyl-CoA and other building blocks. Although this compound was not previously reported from *T. reesei*, it has been isolated from the sponge derived *Trichoderma* HPQJ-34 and is a common natural product isolated from other fungi and is likely to be a shunt from the alternariol biosynthetic pathway [45]. Bioinformatic analysis of all PKS genes from *T. reesei* [10] showed the presence of a gene encoding a PKS with high similarity (67%) to the nr-PKS (PkgA) from *A. nidulans*, which was reported to produce citreoisocoumarin and related compounds [46] and the PKS is closely related to snPKS19 from *Parastagonospora nodorum* that is known to synthesize alternariol [47].

The vector pTYGS· $pyr4·P_{pdc}$  was then constructed from the well-known Aspergillus expression vector pTYGS·argB. It has all the advantages of the pTYGS vectors including the Gateway<sup>®</sup> in vitro recombination system and the ability to shuttle between yeast and *E. coli* to facilitate gene insertion by yeast homologous recombination. The *aspks1* gene was then used to test this system. *T. reesei* QM6a· $\Delta tmus53·\Delta pyr4·\Delta sorBC$  strains that were transformed with pTYGS· $pyr4·P_{pdc}·aspks1$  were able to produce the expected compounds 3-methylorcinaldehyde **8** and 3-methylorsellinic acid **9** that is presumably derived by facile oxidation of the aldehyde. The same compounds were observed when *aspks1* was expressed in *A. oryzae* [29]. As expected, the new *T. reesei* transformant expressing *aspks1* was able to produce 3-methylorcinaldehyde **8** on different waste materials such as potato, banana, kiwi and orange peels and barley straw. However, the strain showed delayed growth on autoclaved fresh orange peels that might be attributed to the reported antifungal activity of essential oils of orange peels [48,49].

To expand the new system, additional native promoters of *T. reesei* were added to the vector using yeast homologous recombination. The new vector (pTYGS· $pyr4 \cdot P_{pdc} \cdot P_{cDNA1} \cdot P_{TReno}$ ) contains three native constitutive promoters ( $P_{TReno}$ ,  $P_{cDNA1}$  and  $P_{pdc}$ ) and one *A. nidulans* constitutive promoter ( $P_{gpdA}$ ). Constitutive promoters were used instead of potentially stronger inducible promoters involved in cellulase expression to allow for constitutive production of the produced compounds under different cultivation conditions. The constructed vector was used to express the megasynthetase PKS-NRPS gene (*tenS*) together with its *trans*-acting ER (*tenC*) in *T. reesei* by adding the *tenS* gene under control of  $P_{pdc}$  and *tenC* gene under the control of  $P_{cDNA1}$ . *T. reesei* transformants containing this construct were able to produce the expected pretenellin A **10** on different media and on banana peels.

#### 5. Conclusions

We have demonstrated that *T. reesei* can be engineered to produce fungal natural products using an adaptation of the highly successful *A. oryzae* pTY expression system developed by Lazarus and coworkers [1,28]. Simple exchange of selection markers and promoters led to expression vectors functional in *T. reesei*. Entry vectors previously con-
structed for use in the *A. oryzae* system, or rapid recombination in yeast, can easily supply genes that are functionally expressed in *T. reesei*. Since *T. reesei* is easily grown on a range of waste materials, this research paves the way for use of *T. reesei* as a microbial cell factory for waste valorization. The ability of *T. reesei* as a host to grow on low-value and waste substrates offers an important advantage over host organisms such as *E. coli* and *S. cerevisiae*. Production of high-value secondary metabolites in *T. reesei* represents a promising, low-cost, fast, sustainable, and green alternative for synthetic chemistry in the production of secondary metabolites. Removal of sorbicillin metabolites from the expression host makes both analysis by chromatography and chromatographic purification of desired products simpler.

In this research, waste materials such as potato peel, kiwi and banana peel were used as a cultivation media for the producing strain without any pre-treatment. However, further experiments are required to enhance the system such as testing different pre-treatment methods to allow better and faster production of the expected natural products on waste. The promoters used in this work were constitutive promoters to allow the production of natural products under all cultivation conditions, and it may be that inducible promoters previously successfully used for cellulase production could be effectively exploited [35]. Therefore, future experiments would be further enhancement of the system by the construction of new vectors with stronger promoters such as the tunable cellulase promoters *cbh1* and *cbh2* [35]. These promoters could also allow the system to produce a higher concentration of the expected compounds on lignocellulosic waste and other biomass [50].

So far, we have shown that one-gene (e.g., *aspks1*) and two-gene (e.g., *tenS* + *tenC*) biosynthetic pathways can be expressed successfully in *T. reesei*. In the future, expansion of the numbers of genes expressed in parallel should enable more complex pathways to be investigated. Impressive work by Abe and coworkers in *A. oryzae* [51] has shown that up to 12 biosynthetic genes can be co-expressed in parallel to produce highly complex meroterpenoid toxins. It seems feasible that *T. reesei* could also be used to produce such complex metabolites directly from waste materials. Future work will inevitably explore an expansion of the preliminary results demonstrated here.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/10 .3390/jof8040355/s1: all experimental details including growth media, buffers, enzyme, and antibiotic solutions; bacterial and fungal strains; vectors and oligonucleotides; tabulated NMR data; NMR spectra; and HRMS data. References [25,28–30,32,33,45,52–62] are cited in Supplementary Materials.

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**Abstract:** Microbial natural products have had phenomenal success in drug discovery and development yet form distinct classes based on the origin of their native producer. Methods that enable metabolic engineers to combine the most useful features of the different classes of natural products may lead to molecules with enhanced biological activities. In this study, we modified the metabolism of the fungus *Aspergillus oryzae* to enable the synthesis of triketide lactone (TKL), the product of the modular polyketide synthase DEBS1-TE engineered from bacteria. We established (2S)-methylmalonyl-CoA biosynthesis via introducing a propionyl-CoA carboxylase complex (PCC); reassembled the 11.2 kb DEBS1-TE coding region from synthetic codon-optimized gene fragments using yeast recombination; introduced bacterial phosphopantetheinyltransferase SePptII; investigated propionyl-CoA synthesis and degradation pathways; and developed improved delivery of exogenous propionate. Depending on the conditions used titers of TKL ranged from <0.01–7.4 mg/L. In conclusion, we have demonstrated that *A. oryzae* can be used as an alternative host for the synthesis of polyketides from bacteria, even those that require toxic or non-native substrates. Our metabolically engineered *A. oryzae* may offer advantages over current heterologous platforms for producing valuable and complex natural products.

**Keywords:** propionyl-CoA metabolism; modular polyketide synthase; propionyl-CoA carboxylase; heterologous expression

#### 1. Introduction

Recent estimates suggest that over 25% of drugs approved between 1981 and 2014 are natural products or directly derived from natural products [1]. The bacterial polyketide class of compounds constitutes a significant percentage of natural products used in clinical or agricultural settings. This class of compounds includes the antibiotic erythromycin B and the antifungal compound amphotericin B, among many others (Figure 1). Fungi are also prolific producers of valuable antibiotics such as the polyketides griseofulvin and strobilurin A. Industrial production of these compounds often relies on large-scale fermentation and semi-synthesis as the most efficient routes [2]. Thus, the development of new biosynthetic engineering platforms that can rationally manipulate and improve the production of these compounds is an important current goal.

Polyketides are produced in two phases: a polyketide synthase (PKS) first assembles a carbon skeleton that is later modified by tailoring enzymes that can introduce a high diversity of chemical functionalization. Type I PKS consist of large multifunctional proteins with individual functional domains that are covalently linked. Type I PKS are further sub-divided into iterative PKS (iPKS) and modular PKS (mPKS, Scheme 1). iPKS consists of a single module (Scheme 1A), where each catalytic domain typically accepts, extends,

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). and processes different substrates during each cycle of chain extension. iPKS use the same set of functional domains repeatedly until chain extension is complete.



Figure 1. Examples of bioactive polyketide natural products from bacteria and fungi.



**Scheme 1.** Type I PKS. (**A**) The iterative PKS involved in the biosynthesis of prestrobilurin A; (**B**) 6-module DEBS system that synthesizes 6-dEB; (**C**) engineered DEBS1-TE that synthesizes triketide lactones (TKL). Abbreviations: KS, ketosynthase; AT, acyl transferase; A, acyl carrier protein (ACP); DH, dehydratase; C-MeT, C-methyl transferase; ER, enoyl reductase; KR, keto-reductase; KR<sup>0</sup>-inactive KR domain; TE, thiolesterase. Diagrams inspired by Keatinge-Clay [3].

In contrast, mPKS consists of multiple modules. Each module typically accepts, extends, and processes a single substrate, and passes it to the next module for further processing. Type I iPKS are typical of fungi but are also known in bacteria, while type I mPKS are almost exclusively limited to bacteria.

Heterologous expression has emerged as a highly successful strategy for the production and engineering of microbial natural products in both bacteria and fungi [4]. Heterologous expression systems usually rely on host strains that are phylogenetically close to the producing organism to increase the likelihood that native transcriptional elements function properly, promoters remain functional, translation is efficient, the resulting proteins fold correctly, and codon usage is relatively conserved. In addition, post-translational modification processes, such as phosphopantetheinylation of acyl carrier proteins (ACP), must remain effective. Phylogenetically diverse organisms are also used as heterologous expression hosts for complex polyketides. Such organisms include *Escherichia coli* [5] and *Saccharomyces cerevisiae* [6,7], however, extensive host-engineering is often required, and titers can be low [8].

Aspergillus oryzae is a filamentous fungus commonly used in the fermentation industry for the production of sake, miso, and soy sauce from rice [9]. It has a generally regarded as safe (GRAS) status and is easily cultured in the lab, amenable to genetic modification using a variety of techniques, and is known for its high protein production. Recently, A. oryzae has proven itself to be an extremely capable host for heterologous expression of complete and partial fungal biosynthetic gene clusters (BGC), and for their systematic engineering [10–17]. A. oryzae does not produce significant amounts of its own secondary metabolites, meaning it is a clean host allowing facile detection and purification of heterologously produced compounds. Moreover, there are a number of examples where heterologous expression of a specific pathway in A. oryzae has exceeded the titer of the natural product reported in the native producer [18,19]. Advantages of fungi over other heterologous hosts are: the use of monocistronic operons, meaning separate genes can be individually controlled; numerous cellular compartments, where selective reactions may be contained to overcome toxicity; and tailoring enzymes that catalyze diverse and unique chemical modifications not accessible to other organisms [20]. However, A. oryzae has not yet been explored as a host for the production of bacterial polyketides. In particular, it is notable that reports of the presence of modular PKS in fungi are extremely rare [21]. However, successful expression of modular PKS in fungi could open up significant opportunities for the production of hybrid bacterial-fungal compounds unknown in nature, with potentially unique properties. In addition, fungi are capable of numerous oxidative tailoring modifications unknown in bacteria, offering further possibilities for the generation of novel compounds [22].

The biosynthesis of 6-deoxyerythronolide B (6-dEB) in *Saccharopolyspora erythraea* has long been used as a model mPKS system. Here, three large multimodular proteins (DEBS1, DEBS2, and DEBS3, Scheme 1B) work together to make the hexaketide macrolide 6-deoxyerythronolide B (6-dEB). DEBS1-TE is a well-studied simplified mPKS derived by fusing the C-terminal thiolesterase (TE) release domain of DEBS3 to the C-terminus of DEBS1 [23]. DEBS1-TE thus consists of a loading module and two extending modules that synthesize triketide lactone (TKL) from propionyl CoA and 2*S*-methylmalonyl CoA (Scheme 1C). The observed titers vary (0.5–20 mg·L<sup>-1</sup>) depending on the host used (Scheme 1) [24–27]. The acyltransferase (AT) domain within the DEBS1-TE loading module usually selects and activates propionyl-CoA, although other small acyl CoAs can be used if propionate is lacking.

A number of factors are likely to impede the use of fungal hosts for the successful expression of mPKS. For example, high concentrations of propionyl CoA are toxic to fungi through inhibition of crucial metabolic pathways [28,29] and 2*S*-methylmalonyl-CoA, required by mPKS extender modules, is not known to be synthesized by fungi. Additionally, actinobacterial genes are typically high GC% and it is unknown whether transcription and translation would be effective in *A. oryzae*. Furthermore, DEBS1-TE requires post-translational modification of the ACP domains by a phosphopantetheinyl-

transferase enzyme (PPTase), and it is unknown whether the native *A. oryzae* PPTase is capable of post-translationally modifying the ACP domains of mPKS.

In this study, we modified the metabolism of *A. oryzae* to enable the synthesis of TKL by the DEBS1-TE modular PKS. We established 2*S*-methylmalonyl-CoA biosynthesis *via* the introduction of a bacterial propionyl-CoA carboxylase complex (PCC); reassembled the 11.2 kb DEBS1-TE-coding region from synthetic codon-optimized gene fragments using rapid yeast recombination; introduced the bacterial phosphopantetheinyltransferase (PPTase) SePptII; investigated the propionyl-CoA synthesis and degradation pathways; and developed improved delivery of exogenous propionate. Overall, we demonstrated that *A. oryzae* can be used as an effective alternative host for the synthesis of bacterial polyketides that require toxic or non-native substrates, requiring minimal metabolic modification. *A. oryzae* may thus offer future advantages over other heterologous platforms for producing valuable and complex bacterial natural products.

#### 2. Materials and Methods

See Supplementary Material for tables of media, strains, plasmids, and oligonucleotides.

# 2.1. Strains and Culture Conditions

One Shot<sup>TM</sup> Top10 chemically competent *Escherichia coli*, One Shot<sup>TM</sup> *ccdB* Survival<sup>TM</sup> 2 T1<sup>R</sup>-competent *E. coli*, and One Shot<sup>TM</sup> OmniMAX<sup>TM</sup> 2 T1<sup>R</sup> *E. coli* were used as hosts for the construction of general plasmids and grown in LB medium with 50 mg·mL<sup>-1</sup> of the antibiotic carbenicillin at an incubation temperature of 37 °C. *Saccharomyces cerevisiae* strain CEN.PK was used to prepare competent yeast cells in YPAD medium and then utilized as the host for the expression plasmid assembly by homologous recombination in SM-URA medium at 28 °C. *Aspergillus oryzae* NSAR1 was used as the heterologous host for fungal transformation and metabolite production in DPY medium at 28 °C.

#### 2.2. Propionyl-CoA Toxicity Assessment to A. oryzae NSAR1

Methionine, isoleucine, arginine, and sodium propionate were individually dissolved in deionized water and sterilized. The prepared solutions were then mixed into DPY agar media at increasing concentrations of 0, 10 mM, 25 mM, 50 mM, and 100 mM, respectively. The same amount of *A. oryzae* spore suspension was inoculated on the face of each DPY plate bearing the supplementary compound. The culture plates were grown in the incubator at 28 °C. After 2, 4, and 7 days, the growth state of each sample was recorded.

# 2.3. PCR-Based Gene Identification

Fungal genomic DNA for PCR was extracted from mycelia of *A. oryzae* NSAR1 grown in DPY medium by using the GenElute plant genomic DNA kit (Sigma-Aldrich, Darmstadt, Germany). Using genomic DNA as the PCR template, a pair of specific 5' and 3' primers for gene identification were designed. PCR product purification was carried out by using the Wizard SV gel and PCR clean-up system (Promega, Madison, WI, USA). The PCR product was further identified by gene sequencing.

Total RNA was isolated from fresh 2-day-old cultured mycelia of *A. oryzae* NSAR1 grown in DPY medium by using the Quick-RNA<sup>TM</sup> Fungal/Bacterial Miniprep Kit according to the manufacturer's protocol (Zymo Research, Irvine, CA, USA). Single-stranded cDNA was prepared from total RNA by using the High-Capacity RNA-to-cDNA<sup>TM</sup> Kit (ThermoFisher, Waltham, MA, USA). Using the cDNA as a template, the PCR reaction with specific 5' and 3' primers for gene identification was carried out. For the gene identification under the condition of adding propionate, 50 mM of sodium propionate was supplemented into the *A. oryzae* culture one day before total RNA isolation.

# 2.4. Construction of pTYGS · arg · pccABE

The vector pTYGS-*arg* was fully digested using *Asc*I. All codon-optimized *pcc* gene fragments (http://genomes.urv.es/OPTIMIZER/, accessed on: 1 January 2020) flanked

with overlaps (by *ca* 30 bp) were synthesized commercially. The plasmids bearing *pccABE* gene fragments were prepared by using double restriction enzymatic digestion (*pccA-EcoRI/BamHI*, *pccB-XbaI/BamHI*, *pccE-BamHI/XbaI*) for the plasmid reassembly in yeast. The competent *Saccharomyces cerevisiae* CEN.PK cells were removed from -80 °C storage and placed on ice to thaw. The following components were added to the yeast pellet in order: 240 µL PEG solution (50% (w/v) polyethylene glycol 3350); 36 µL LiAc (1 M); 50 µL denatured salmon testis DNA (2 mg·mL<sup>-1</sup> in TE buffer) and 34 µL DNA fragments containing the linearized pTYGS-*arg* and three desired inserts in equimolar concentration (the uncut plasmid was used as the positive control and the linearized plasmid was used as the negative control). The mixture was resuspended and incubated for 50 min at 42 °C. Cells were pelleted by centrifugation at 11,000 × g for 15 s and the supernatant was removed. The pellet was resuspended in 500 µL deionized H<sub>2</sub>O, and 100 µL suspension was spread on selective SM-URA plates, which were incubated for 3 days at 28 °C.

Yeast colonies were gathered. Plasmids were extracted from yeast colonies by using the Zymoprep<sup>TM</sup> Yeast Plasmid Miniprep II kit (Zymo Research, Freiburg Germany). Subsequently, using standard heat-shock protocols, the extracted plasmid mixture was introduced into *E. coli ccdB* survival 2 T1<sup>R</sup> with the antibiotic carbenicillin (50 mg·mL<sup>-1</sup>). Large amounts of *E. coli* colonies were generated, and then five colonies were picked up and identified by the colony PCR using specific 5' and 3' test primers. Plasmids were then extracted from positive colonies by using the Nucleospin<sup>®</sup> Extract Kit (Machery-Nagel, Düren, Germany) and identified using the double restriction enzymatic digestion (*NheI+SwaI*). The construct was checked by gene sequencing for correct construction.

# 2.5. Construction of pTYGS · arg · debs1te · pccABE

DEBS1-TE, as a non-native gene, was designed in silico using data from its original construction by Leadlay and coworkers [23]. The whole DEBS1-TE sequence (11.2 kb) was codon-optimized (http://genomes.urv.es/OPTIMIZER/, accessed on: 1 January 2020). Meanwhile, the original start codon GTG was replaced with ATG. For synthetic convenience, the entire DEBS1-TE sequence was designed as four fragments (~2.8 kb each). Each fragment was designed to overlap with adjacent fragments or the expression vector at both ends (by *ca* 30 bp) for the subsequent yeast recombination. Two different unique restriction enzymes were adhered to ends of each fragment (*debs1te-f1-ScaI/BamHI*; *debs1te-f2-SmaI/HandIII*; *debs1te-f3-EcoRV/XbaI*; *debs1te-f4-DraI/EcoRI*).

The four DEBS1-TE fragments were synthesized commercially in four separate pUC57 vectors. All desired DEBS1-TE fragments and the linearized pEYA vector (fully digested by *NotI*) were prepared by restriction-enzyme digestion and then introduced into competent yeast for homologous recombination as described above. After two days of incubation, yeast colonies were collected. Plasmids were extracted from yeast colonies and immediately introduced into *E. coli* Top10 competent cells with the antibiotic kanamycin (50 mg·mL<sup>-1</sup>). *E. coli* colonies were screened by PCR identification. In the resulting positive *E. coli* colonies, plasmids were extracted and then identified by restriction enzyme digestion. The empty vector pEYA was used as the control. The extracted plasmids were verified by the restriction enzyme digestion using *ScaI*. The construct pEYA-*debs1te* was finally confirmed by gene sequencing for no mutation.

The pEYA·*debs1te* construct was then used as the entry vector and pTYGS·*arg*·*pccABE* was used as the destination vector in a Gateway in vitro LR recombination reaction according to the manufacturer's instructions of the Gateway<sup>TM</sup> LR Clonase<sup>TM</sup> II Enzyme Mix Kit (Invitrogen). Transformation of the recombination mix into *E. coli* One Shot<sup>TM</sup> OmniMAX<sup>TM</sup> 2 T1<sup>R</sup> with the carbenicillin selection afforded the plasmid construction, which was then confirmed as pTYGS·*arg*·*debs1te*·*pccABE* by colony PCR and restriction enzyme digestion as described above. Lastly, the construct was checked by gene sequencing for correct construction.

#### 2.6. PEG-Mediated Transformation of A. oryzae NSAR1

A. oryzae NSAR1 conidia from sporulating plates were inoculated into 50 mL GN medium and incubated overnight at 28 °C with 110 rpm shaking. Germinated conidia were collected and incubated in 10 mL of filter-sterilized lysing solution at room temperature with gentle mixing for 3 h. The protoplasts were released and filtered through a sterile Miracloth. The filtrate was centrifuged at  $3000 \times g$  for 5 min to pellet the protoplasts which were resuspended in solution I. The plasmid pTYGS·arg·debs1te·pccABE (~1 µg) was added to 100  $\mu$ L of the protoplast suspension and incubated on ice for 2 min. One milliliter of solution II was added and the transformation mixture was incubated at room temperature for 20 min. Five milliliters of molten CZD/S top medium with 0.8% agar was added to the transformation mixture and overlaid onto prepared CZD/S bottom medium plates with 1.50% agar. Plates were incubated at 28 °C for 3 days. For the introduction of co-expression plasmids pTYGS-arg-debs1te-egfp-pccABE and pTYGS-met-sepptII, the selection medium CZD/S without methionine was used in fungal transformation. After 3 days of incubation, the single transformant was transferred onto the new selection medium plate for 2 more rounds of screening. The pure transformants were inoculated onto the DPY plates for incubation at 28 °C for 5 days. Generated conidia were collected and transferred to the DPY liquid media for fermentation.

#### 2.7. TKL Fermentation, Extraction and Analysis

*A. oryzae* transformants were fermented in the liquid DPY media (100 mL in 500 mL Erlenmeyer flask) at 28 °C for 5 days. Sodium propionate was added to a final concentration of 50 mM, either in one batch, in five equal batches over 5 days, or continuously over 5 days by a syringe pump. Cultures were grown and harvested. Cultures (100 mL) were homogenized by an electric blender. Solids were removed by vacuum filtration. The filtrate was extracted two times with EtOAc (100 mL). The combined organic extract was dried (anhydrous MgSO<sub>4</sub>), filtered, and evaporated to dryness in vacuo and weighed. Methanol (1 mL) was added to dissolve the extract. The impurity was removed through spinning at  $14,000 \times g$  for 5 min, and the sample was subjected to LCMS analysis.

Analytical LCMS data for 20  $\mu$ L samples were obtained using a Waters LCMS system consisting of a Waters 2767 autosampler, Waters 2545 pump system, a Phenomenex Kinetex column (2.6  $\mu$ m, C<sub>18</sub>, 100 Å, 4.6  $\times$  100 mm) equipped with a Phenomenex Security Guard precolumn (Luna, C<sub>5</sub>, 300 Å) at a flow rate of 1 mL·min<sup>-1</sup>. Detection was carried out by a diode array detector (Waters 2998) in the range 210 to 600 nm and an ELSD detector (Waters 2424) connected to a mass spectrometry, Waters SQD-2 mass detector, operating simultaneously in ES+ and ES- modes between 100 and 1000 *m*/*z*. The mobile phase was composed of HPLC-grade water mixed with 0.05% formic acid (solvent A) and HPLC-grade acetonitrile mixed with 0.045% formic acid (solvent B). A solvent gradient was run over 15 min starting at 10% B and ramping up to 90% B. In the case of the competition assay, a shallower gradient was applied ramping from 10 to 30% B in 15 min.

#### 2.8. TKL Quantification

The synthetic TKL was dissolved in methanol and diluted to different concentrations from 2.5  $\mu$ g·mL<sup>-1</sup> to 200  $\mu$ g·mL<sup>-1</sup>. Each concentration was analyzed and the corresponding product peak on SIR (single ion recording) LCMS chromatogram was integrated. A calibration curve was made based on the concentrations and peak areas of the synthetic TKL. Then, a standard extraction workflow was determined. The transformant was inoculated in 100 mL DPY media. After 4 days of cultivation, 50 mM sodium propionate was added into the culture. The culture with propionate was incubated overnight. Next, the five-day culture was extracted with the same volume of ethyl acetate twice. The organic phase was gathered and evaporated to dryness. The concentrated extract was re-dissolved in 1.5 mL methanol. Subsequently, the extract suspension was diluted 10 times with methanol. The sample was centrifuged at 14,000× g for 5 min. Lastly, the supernatant was collected and analyzed by LCMS using the SIR detection method. The extract from 100 mL of the transformant culture was applied to the quantitative analysis based on the calibration curve. By calculation, the titer of the triketide lactone product TKL in *A. oryzae* was determined.

#### 2.9. Gene Knockout of Degradation Pathways

Specific primers for *degradation gene* knockout cassette construct were designed. Primers were used to individually amplify the 5' and 3' termini (750 bp each) of *degradation gene* from *A. oryzae* genomic DNA. The promoter  $P_{trpC}$  and selection marker *argB* were also flanked by overlaps (30 bp) with *degradation gene* fragments by PCR. Then, all fragments were reassembled to the *Not*I-digested pEYA to make the *degradation gene* knockout cassette by yeast recombination. Subsequently, the bipartite gene knockout-based fungal transformation was carried out as previously published protocols. After transformant screening, single transformants were obtained followed by fluorescence imaging. Positive transformatis were selected and subject to genomic DNA extraction. All genomic samples were identified by PCR using multiple specific primers.

# 3. Results

# 3.1. Investigating Propionyl-CoA Metabolism in A. oryzae

Propionyl-CoA is synthesized in fungi *via* the degradation of odd-chain fatty acids, amino acids such as methionine, isoleucine, and valine, or by thiolesterification of exogenous propionic acid (Scheme 2). However, sodium propionate is also a useful antifungal agent because high levels of propionyl-CoA are toxic to many fungi due to the inhibition of pyruvate dehydrogenase and succinyl-CoA synthetase [28,29]. This inhibition affects glucose and acetyl-CoA metabolism, respectively. In order to test the toxic effects of elevated levels of propionyl-CoA, we grew *A. oryzae* on dextrin-peptone-yeast Extract (DPY) agar supplemented with varying concentrations (0–100 mM) of methionine, isoleucine, and sodium propionate.



**Scheme 2.** Propionate metabolism relevant to this work. Abbreviations: PcsA, propionyl-CoA synthetase; PCC, propionyl-CoA carboxylase, McsA, methylcitrate synthase; CoaT, acyl-CoA transferase.

As a control, we also supplemented the medium with arginine, an amino acid not converted to propionyl-CoA. DPY is a rich medium often used with the pTYGS-*arg* heterologous expression system, developed specifically for *A. oryzae* NSAR1 [30].

All supplements inhibited the growth of *A. oryzae* NSAR1 at 100 mM, evident from as early as two days (Figure 2A–D). The control, arginine, had the least effect on the growth of

*A. oryzae* at any concentration, whereas methionine exhibited toxic effects at concentrations above 50 mM, isoleucine exhibited toxic effects at concentrations above 25 mM, and sodium propionate inhibited growth at 10 mM. At 25 mM, sodium propionate had a drastic effect on fungal growth and at concentrations above 50 mM sodium propionate completely abolished the ability of *A. oryzae* NSAR1 to grow on DPY. Since sodium propionate is the most direct precursor to propionyl-CoA and induces inhibitory effects at relatively low concentrations, we selected this to study propionyl-CoA metabolism in *A. oryzae* NSAR1.



**Figure 2.** Susceptibility of *A. oryzae* NSAR1 to different amino acids and propionate at the indicated concentrations and times. (**A**) Methionine; (**B**), isoleucine; (**C**) arginine; (**D**) sodium propionate; (**E**) susceptibility of indicated mutants to indicated concentrations of sodium propionate after 5 days.

In Aspergillus nidulans, propionyl-CoA is synthesized from propionate, coenzyme A, and ATP by propionyl-CoA synthetase (PcsA), encoded by *pcsA* (Scheme 2) [31]. *A. oryzae* NSAR1 is a quadruple auxotroph (*argB*-, *niaD*-, *sC*-, and *adeA*-) derived from parental strain *A. oryzae* RIB40, the genome of which is fully sequenced. *A. oryzae* ORF XP\_001826479 was identified as the closest homolog of *pcsA* by direct BLAST query of the *A. oryzae* RIB40 genome. In *Aspergillus nidulans*, two routes are known for the degradation of propionyl CoA. First, methylcitrate synthase (McsA) condenses propionyl-CoA with oxaloacetate to yield 2*S*,3*S*-methylcitrate (Scheme 2) [32]. Second, a broadly selective CoA-transferase (CoaT) transfers CoASH from various acyl thiolesters to abundant carboxylic acids [33]. Both McsA and CoaT are compartmentalized in mitochondria [32,33]. *A. oryzae* RIB40 ORFs XP\_001817006 and XP\_001817633 were identified as *mcsA* and *coaT* homologs, respectively.

To determine if *A. oryzae pcsA* is specifically induced by propionate, we conducted RT-PCR from cDNA. Transcription of *pcsA* was detected when sodium propionate was added at 50 mM but not when omitted from solid growth medium (see Electronic Supplementary Information [ESI]). Likewise, *mcsA* could only be amplified from cDNA when propionate was added to the culture. In contrast, *coaT* could be amplified from cDNA without the addition of exogenous propionate (ESI). These results confirm that PcsA and McsA are induced by propionate, but CoaT is active regardless of the presence of propionate.

#### 3.2. Introducing a Methylmalonyl-CoA Pathway into A. oryzae NSAR1

Confident that propionyl-CoA metabolism is active in *A. oryzae* NSAR1, and that the propionyl-CoA starter unit would be available for DEBS1-TE, we turned our attention to the production of 2*S*-methylmalonyl-CoA. We opted to add the well-understood bacterial propionyl-CoA carboxylase (PCC) pathway to *A. oryzae*. PCC consists of  $\alpha$ ,  $\beta$ , and  $\varepsilon$ 

subunits: the  $\varepsilon$ -subunit interacts with the  $\beta$ -subunit to dramatically increase the specificity of the enzyme complex [34]. A recent study of various PCC systems in *E. coli* indicated that PCC from *Streptomyces coelicolor* is the most effective for increasing product titers by mPKS [35]. Typical of genes from actinobacteria, *S. coelicolor pccA*, *pccB*, and *pccE* exhibit higher GC content than typical *A. oryzae* genes (e.g., *pccA* is 74.6% GC; *A. oryzae* ORFs have a median of 47.4% GC) and demonstrate significantly different codon bias (ESI). Therefore, *pccA*, *pccB*, and *pccE* from *S. coelicolor* were synthesized using codon-optimized DNA and the start codon was adjusted for each from GTG to ATG preferred by fungi. However, despite codon preference being optimized to *A. oryzae*, the GC% remained high at around 70%.

The genes encoding the PCC  $\alpha$ ,  $\beta$ , and  $\varepsilon$  subunits were individually cloned into fungal expression vector pTYGS-*arg* [36], under the control of the amylose-inducible *A. oryzae amyB* promoter ( $P_{amyB}$ ). In each case, these were fused in-frame at their 3'termini with *egfp*. The resulting vectors were individually transformed into *A. oryzae* NSAR1 and after several rounds of screening on minimal media lacking arginine, selected transformants were induced in DPY media. Individual transformants were analyzed by fluorescence microscopy. Green fluorescence was detected in all cases (Figure 3), indicating that the high GC % genes are successfully transcribed and translated in *A. oryzae*. The diffuse fluorescence observed indicates that all three PCC components are expressed in the cytoplasm. However, RT-PCR for determining the expression of *pccA*, *B* and *E* was unsuccessful and we attribute this to the high GC content of the corresponding mRNA that may form complex secondary structures preventing successful amplification.



**Figure 3.** Green fluorescent imaging of: (**A**–**C**) transformants with PCC subunit genes fused to *eGFP*; (**D**) DEBS1-TE fused to eGFP. Scale bar 50 μm.

# 3.3. Heterologous Expression of DEBS1-TE in A. oryzae to form TKL

We replicated the original DEBS1-TE construction strategy<sup>23</sup> in silico and, based on this sequence, we designed four overlapping DNA fragments spanning the 11.2 kb coding region. The four fragments were codon-optimized for *A. oryzae* and the start codon adjusted to ATG. However, like the codon-optimized *pcc* genes, the overall GC content remained high at 73%. The four fragments were re-assembled using rapid yeast recombination downstream of  $P_{amyB}$  in pTYGS·*arg*, fused in-frame with 3' *egfp*, and then transformed into *A. oryzae* NSAR1. The resulting transformants were inspected microscopically after induction in DPY medium and diffuse green fluorescence was detected (Figure 3D) indicative of successful cytoplasmic expression of the DEBS1-TE protein.

We then built a single expression vector to co-express DEBS1-TE with the PCC components (Figure 4A). This time the eGFP fusions were omitted for the *pcc* components since we had established that they could be transcribed. The *debs1te-egfp* gene was placed under the control of  $P_{amyB}$ , while the PCC components were driven by strong constitutive promoters known to be active in *A. oryzae* [36]. The transformants were cultured for 5 days and propionate (50 mM) was added the day before extraction. The cultures were extracted with ethylacetate and screened by LCMS. No new metabolites were detected in the transformant extracts (ESI). We performed single-ion monitoring for the expected mass of TKL (*m*/*z* 172) but no new metabolites were detected. Since it is known that DEBS1-TE is also able to



accept acetate starter units we also scanned for metabolites with m/z = 158 but could not detect any.

**Figure 4.** Construction of functional modular PKS in *A. oryzae*. (**A**) Construction of vectors; (**B**) LCMS analysis of *A. oryzae* NSAR1, standard TKL, and transformants in the absence and presence of propionate as indicated ES+ at *m*/*z* 173.0; (**C**) microscopic images of four *A. oryzae* NSAR1 transformants showing variability of eGFP intensity; (**D**) quantitative selective ion monitoring (SIM, ES+ at *m*/*z* 173.0) of extracts of the corresponding *A. oryzae* strains shown in panel C. Scale bars 200  $\mu$ m.

We considered the most likely reason for the inactivity of the DEBS1-TE system to be the lack of phosphopantetheinylation of its three ACP domains. The *A. oryzae* PPTase NpgA homolog shares very low (7–14%) sequence identity with bacterial PPTases such as Sfp from *Bacillus subtilis* and SePptII from the erythromycin-producing strain *S. erythraea* [23,37], despite being in the same structural class (Figure S6.3) [38]. Both Sfp and SePptII are known to activate DEBS1-TE but their activities in *A. oryzae* are unknown [24,39,40].

The gene encoding SePptII from S. erythraea was codon optimized, synthesized, and cloned into a pTYGS vector containing an *sC* selection marker to give pTYGS·*sC*·*sepptII* [36]. The *sC* gene encodes sulfate adenyl transferase, part of the sulfur assimilation pathway of A. oryzae, and is normally complemented by the addition of methionine. pTYGS·sC·sepptII was then co-transformed into A. oryzae with pTYGS.arg.pccABE.debs1te-egfp. Transformants that grew on minimal media lacking arginine and methionine and that also exhibited fluorescence in inducing DPY media were selected for fermentation and chemical analysis (Figure 4). Propionate (50 mM) was added to the cultures 24 h before harvest. Cultures were extracted with ethylacetate and analyzed by LCMS. The EIC chromatogram of [M + H]<sup>+</sup> 173, corresponding to TKL, showed a single new distinct peak not present in control strains. The m/z 173 peak was only detected when exogenous propionate was added to the culture (Figure 4B). The peak corresponded to standard synthetic TKL in terms of retention time, UV absorption, and mass fragmentation. For further clarification synthetic TKL was mixed 1:1 with the crude extract of a transformant and analyzed by LCMS, confirming precise co-elution (see ESI). Examination of randomly selected cotransformants showed a variation in the intensity of the detected eGFP fluorescence (Figure 4C). Quantitative analysis of the 173 peak for these transformants using selected ion monitoring (SIM) showed that increased fluorescence is correlated with increased production of TKL (Figure 4C,D). Synthetic TKL was then used to generate a calibration curve (see ESI) and TKL production was quantified at 0.6 mg  $\cdot$ L<sup>-1</sup> under these conditions from the most productive transformant.

# 3.4. Improving Titers of TKL through Metabolic Engineering of A. oryzae Primary Metabolism and Sodium Propionate Feeding Methods

A series of experiments were then devised in an attempt to optimize TKL production. The effects of different exogenous propionate feeding regimes were investigated for their effect on TKL production. Propionate was added at different concentrations (5–50 mM), at different time points, and in single batch-fed, pulse-fed, or continuously fed systems (Tables 1 and 2, Figure 5). Titers of TKL were increased to 3.6 mg·L<sup>-1</sup> by pulse-feeding 10 mM of sodium propionate to shake flasks at 24 h intervals over five days before extraction. Batch feeding a single high concentration of propionate or continual infusion of lower concentrations over longer periods was ineffective (Figure 5).

|           |                          | Time    | after Inoc | ulation (D | ays)/Sodiur | n Propionat | te Inocula | tion Conce | entration (ml | (I) |         |
|-----------|--------------------------|---------|------------|------------|-------------|-------------|------------|------------|---------------|-----|---------|
| Condition | 1                        | 2       | 3          | 4          | 5           | 6           | 7          | 8          | 9             | 10  | 11      |
| А         | -                        | -       | -          | 50         | Extract     |             |            |            |               |     |         |
| В         | 12.5                     | 12.5    | 12.5       | 12.5       | Extract     |             |            |            |               |     |         |
| С         | 50 mM infusion with pump |         |            | Extract    |             |             |            |            |               |     |         |
| D         | 10                       | 10      | 10         | 10         | 10          | Extract     |            |            |               |     |         |
| E         | 50 mM infusion with pump |         |            |            | Extract     |             |            |            |               |     |         |
| F         | 6.25                     | 6.25    | 6.25       | 6.25       | 6.25        | 6.25        | 6.25       | 6.25       | Extract       |     |         |
| G         | 5                        | 5       | 5          | 5          | 5           | 5           | 5          | 5          | 5             | 5   | Extract |
| Н         | 2                        | 2       | 2          | 2          | 2           | Extract     |            |            |               |     |         |
| Ι         |                          | 10 mM i | nfusion wi | th pump    |             | Extract     |            |            |               |     |         |

Table 1. Description of sodium propionate feeding regimes.

| Experiment ID | A. oryzae Host      | <b>Expression Vectors</b>                                    | Condition |
|---------------|---------------------|--|-----------|
| 1             |                     |  | А         |
| 2             |                     |  | В         |
| 3             |                     |  | С         |
| 4             |                     | pTYGS-arg-pccABE-  | D         |
| 5             | NSAR1               | debs1te-egfp +   | E         |
| 6             |                     | pTYGS-sC-sepptII   | F         |
| 7             |                     |  | G         |
| 8             |                     |  | Н         |
| 9             |                     |  | Ι         |
| 10            | NSAR1               | pTYGS-arg-pccABE-<br>debs1te +<br>pTYGS-sC-seppt11           | А         |
| 11            |                     | 1 11   | D         |
| 12            | NSAR1               | pTYGS-arg-pccABE-<br>debs1te-egfp +<br>pTYGS-sC-sepptII-pcsA | А         |
| 13            |                     | 1 ,, ,   | D         |
| 14            | NSAR1 $\Delta mcsA$ | pTYGS-arg-pccABE-<br>debs1te-egfp +<br>pTYGS-sC-sepptII      | D         |

Table 2. Description of different feeding experiments perfomed on various A. oryzae host strains.



**Figure 5.** Optimization of TKL production and resulting titers of TKL. Culture condition and experiment ID described in Tables 1 and 2.

To determine whether the eGFP tag was interfering with the activity of DEBS1-TE, a new vector omitting eGFP was built (pTYGS·*arg*·*pccABE*·*debs1te*) and co-expressed with pTYGS·*sC*·*sepptII*. TKL titers remained at 0.6 mg·L<sup>-1</sup> when strains were batch fed 50 mM propionate (Figure 5) but could be increased to 4.1 mg·L<sup>-1</sup> when pulse fed with 10 mM propionate over five days.

We next wanted to determine whether propionyl-CoA synthesis was a rate-limiting factor. In an effort to ensure adequate supplies of propionyl-CoA, we over-expressed *pcsA*,

encoding propionyl-CoA synthetase, while co-expressing DEBS1-TE, the PCC components, and SePptII. This was achieved by inserting a genomic copy of *A. oryzae pcsA* into the vector pTYGS·*sC*·*sepptII* under the control of a constitutive *Aspergillus* promoter. However, TKL titers remained at 0.6 mg·L<sup>-1</sup> when strains were batch fed 50 mM propionate (Figure 5). Titers of TKL could be increased to  $3.5 \text{ mg} \cdot \text{L}^{-1}$  through pulse feeding propionate at 10 mM over five days (Figure 5).

The MCS pathway is proposed as being the major pathway for the degradation of propionyl-CoA in fungi [41]. We inactivated *mcsA* in *A. oryzae* NSAR1 using the bipartite gene knockout strategy [42] by inserting *argB* as the selection marker into the target gene. Transformants were selected on minimal media lacking arginine and disruption was confirmed by PCR. The *mcsA* mutants were investigated for sensitivity to propionate. Compared to *A. oryzae* NSAR1, *mscA* mutants exhibited increased sensitivity to propionate, with observable growth inhibition at concentrations as low as 5 mM (Figure 2E).

We then co-transformed pTYGS·*argB*·*pccABE*·*debs1te-egfp* and pTYGS·*sC*·*seppt11* into the *mcsA* mutant using *sC* selection and screened for eGFP fluorescence. TKL production remained low under all feeding regimes, except when propionate was pulse-fed (5 × 10 mM aliquots, Figure 5). Under these conditions, the titer was raised to 7.4 mg·L<sup>-1</sup>. In a separate series of experiments, we also knocked out *coaT* in *A. oryzae* NSAR1 using the same methods. In this case, the KO strains did not exhibit a significantly different response to exogenous propionate as the *A. oryzae* NSAR control strain. Attempts were made to transform *A. oryzae*  $\Delta coaT$  with pTYGS·*argB*·*pccABE*·*debs1te-egfp* and pTYGS·*sC*·*seppt11*, but transformants could not be prepared. We also attempted a double knockout to disrupt both *coaT* and *mscA* using either mutant strain as the parent strain. However, we were unable to produce the desired double mutants.

# 4. Discussion

*A. oryzae* is a highly useful host organism for the heterologous expression and engineering of fungal secondary metabolites. In particular, it has been extensively used for the production of fungal metabolites stemming from iterative type I PKS that use predominantly acetyl-CoA and malonyl-CoA for their construction [17]. Bacterial modular PKS, on the other hand, often use propionyl-CoA and methylmalonyl-CoA for the construction of polyketides [23–27]. The fact that propionyl-CoA is toxic to fungi, and that methylmalonyl-CoA pathways do not exist in fungi, may explain why modular PKS systems are almost unknown in these organisms.

Our results show that propionate induces PcsA and McsA in *A. oryzae*, consistent with the creation and degradation of propionyl-CoA *via* the methyl citrate cycle. The broadly selective CoaT system also seems to be constitutively active, but this system does not actually degrade the carbon skeleton of propionate and is therefore a less effective resistance mechanism. However, we assume that propionyl-CoA can be produced as a potential feedstock for modular PKS activity.

Experiments in which we fused the *S. coelicolor* PCC genes and the *S. erythraea debs1te* to *egfp* showed that all systems produced apparently full-length proteins in *A. oryzae*, showing that bacterial genes with GC% as high as 70% can be successfully transcribed and translated. Although the genes were codon optimized to *A. oryzae*, they were, for the most part, unevenly skewed towards the highest GC content codons. This adversely affected our ability to confirm transcription by RT-PCR and therefore may indicate that translation of DEBS1-TE is still inefficient. Heterologous production of non-fungal genes in *A. oryzae* has determined that codon optimization increases steady-state mRNA levels [43]. Even so, translation was possible as evidenced by GFP detection and TKL production. It will be interesting to observe whether native actinobacterial sequences will also be successfully transcribed and translated in *A. oryzae*.

Removal of the *egfp* tags and expression of *debs1te* with *pccA*, *pccB* and *pccE*, however, was not sufficient for the production of TKL in *A. oryzae*. It is common to observe that the post-translational modification of PKS ACP domains is inefficient in heterologous

hosts [44]. We, therefore, co-expressed the bacterial PPTase SePptII in parallel. Under these conditions, we observed effective TKL production. Compared to other hosts used to express mPKS that lack the key acyl-CoA metabolic pathways, *A. oryzae* performs better in synthesizing TKL [39,45,46]. (Scheme 2 and Figure 5). Considering that DEBS1-TE is a chimeric mPKS, possibly lacking correct protein-protein interactions at the fusion site, we may expect to see improved titers for native mPKS systems, as observed when all three DEBS proteins were expressed in engineered *E. coli* [24].

Experiments in which all genes were fused with *egfp* indicate that DEBS1-TE and the PCC components are present in the cytoplasm of *A. oryzae*, as is PcsA [31], suggesting that propionyl-CoA should be directly available to DEBS1-TE and PCC. In contrast, studies by Brock et al. have determined that the propionyl-CoA degradation pathways are confined to the mitochondria. The MCS degradation pathway has only been functionally investigated in a few species of fungi including *Aspergillus* sp. [47], *Fusarium* sp. [48], *Cochliobolus* sp., *Trichoderma atroviride* [49], *Gibberella zeae* [50], and *Pyricularia oryzae* [51]. Here, we report the first attempts to engineer the MCS pathway with the specific aim of enabling high concentrations of propionyl-CoA for use by DEBS1-TE and PCC. Knockout of *mcsA* led to strains that were more sensitive to propionate but could produce higher titers of TKL (up to 7.4 mg·L<sup>-1</sup>) under pulse-feeding conditions. These results suggest that the MCS pathway is probably the major route for the degradation of propionyl CoA in *A. oryzae*.

A recent proteomic study of propionyl-CoA metabolism in the pathogenic fungus *Paracoccidioides lutzii* demonstrated that a carnitine *O*-acetyltransferase (PAAG\_06224) is upregulated in response to propionate [52]. This study also identified an acetate kinase (PAAG\_07180), that was only detected when *P. lutzii* was grown on propionate, which is homologous to propionate kinases in bacteria. In the bacterium *Neisseria meningitidis*, propionate kinases generate propionyl phosphate that is proposed to be converted to propionyl-CoA via phosphotransacetylase [53]. *A. oryzae* NSAR1 has homologs of both the carnitine *O*-acetyltransferase (XP\_001821862.3) and putative propionate kinase (XP\_02309003) with 71% and 59% similarity, respectively. Additionally, *Candida albicans* has been shown to use a modified  $\beta$ -oxidation pathway to degrade propionyl-CoA to acetyl-CoA [54]. Future work, therefore, could focus on characterizing these hypothetical propionyl-CoA metabolic pathways in *A. oryzae* to provide adequate substrates for PCC and DEBS1-TE.

Continuous infusion of propionate does not result in increased titers of TKL, presumably because low propionyl CoA concentrations do not provide adequate methylmalonyl-CoA for TKL construction because propionate is cleared from the system quickly by McsA and CoaT. Similarly, feeding propionate in a single batch is not effective, presumably because propionyl-CoA concentrations do not rise high enough for a long enough period. However, pulse feeding of several high concentrations of propionate consistently gave the highest titers of TKL, particularly in  $\Delta mcsA$  strains. Our interpretation is that high concentrations of propionate temporarily overwhelm the ability of the cells to degrade propionyl-CoA, allowing a brief temporal window when PCC can create sufficient 2Smethylmalonyl CoA for TKL synthesis. This suggests that the system could be more productive if elevated 2S-methylmalonyl CoA concentrations could be maintained over longer periods. Future investigations will examine the production of this metabolite via isomerization of succinyl-CoA by methylmalonyl-CoA mutase. This enzyme creates 2Rmethylmalonyl-CoA, but rapid epimerization can be catalyzed by methylmalonyl-CoA epimerase and has previously been used in *E. coli* for this purpose [55]. This system could therefore bypass the requirement for high propionate concentrations and provide 2S-methylmalonyl-CoA more consistently.

Recombination of synthetic gene fragments in yeast was used to rapidly build the 11.2 kb DEBS1-TE. We previously demonstrated that yeast recombination offers an excellent method for the reconstruction of large modular synthetases (e.g., a five module non-ribosomal peptide synthetase, NRPS) [56] as well as being ideally suited to the precise engineering of PKS. For example, we have achieved precise swaps of individual 12-residue protein helices in the tenellin fungal PKS-NRPS to reprogram its function [57]. Thus, there

is considerable scope for the future precise engineering of mPKS using a combination of synthetic DNA and yeast recombination to achieve their rational reprogramming.

There are very few reports on PPTases in fungi and, so far, include those involved in lysine biosynthesis in yeasts, e.g., Lys5p/Lys7p, or those involved in both lysine biosynthesis and polyketide synthesis in filamentous fungi, i.e., CfwA/NpgA [58], and PPT1 from various species [59–63]. These PPTases have been investigated in terms of their roles in primary and secondary metabolism and the effects on fungal virulence. Here, we determined that the Sfp-type PPTase in *A. oryzae* is unable to post-translationally modify the ACP domains of DEBS1-TE. This is somewhat surprising considering that iPKS and mPKS are believed to have evolved from the same ancestor [64]. *A. oryzae* has been used as a host for heterologous expression of PKS from the lichen-forming fungus *Cladonia uncialis* but no polyketide products were detected despite correctly spliced mRNA being detected, even when codon-optimized PKS genes were utilized [65]. It was proposed that *A. oryzae*'s native PPTase is unable to post-translationally modify PKS from lichens and our investigation with DEBS1-TE would support that. Therefore, for developing any heterologous host, understanding the limitations of the native PPTase and identifying functional alternatives should not be underestimated.

In conclusion, we have metabolically engineered the fungus *A. oryzae* NSAR1 and, for the first time, show that it is capable of expressing a bacterial mPKS for polyketide production using minimal modifications of its metabolism. This approach required the introduction of the non-native propionyl-CoA carboxylase enzyme complex from *S. coelicolor* and the *S. erythraea* PPTase SePptII. Increased titers of TKL were observed in *mcsA* KO strains, but this modification is not essential. *A. oryzae* is easily able to express actinobacterial components for polyketide production and there seems to be no fundamental reason why native filamentous fungi cannot exploit modular PKS systems—making their absence from fungi all the more mysterious. Our work shows that the expression and engineering of bacterial components in a fungal host is simple, rapid, and effective and should offer the opportunity to create new hybrid fungal–bacterial biosynthetic pathways in the future.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/ 10.3390/jof7121085/s1: RT-PCR study of expression of *pcsA*, *mcsA* and *coaT* in *A*. *oryzae* NSAR1; codon optimization details for DEBS1-TE and PCC; sequences of DEBS1-TE and PCC; DEBS1-TE expression cassette construction; gene expression and identification of DEBS1-TE and PCC; fungal and bacterial PPTase alignment; synthesis and characterisation of of TKL; quantification of TKL; deletion of propionyl-CoA degradation pathways; deyaols of plasmids and primers used in the study.

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# Article Antiproliferative and Cytotoxic Cytochalasins from Sparticola triseptata Inhibit Actin Polymerization and Aggregation

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**Abstract:** Laying the groundwork on preliminary structure–activity relationship study relating to the disruptive activity of cytochalasan derivatives on mammalian cell actin cytoskeleton, we furthered our study on the cytochalasans of the Dothideomycetes fungus, *Sparticola triseptata*. A new cytochalasan analog triseptatin (1), along with the previously described cytochalasans deoxaphomin B (2) and cytochalasin B (3), and polyketide derivatives *cis*-4-hydroxy-6-deoxyscytalone (4) and 6-hydroxymellein (5) were isolated from the rice culture of *S. triseptata*. The structure of 1 was elucidated through NMR spectroscopic analysis and high-resolution mass spectrometry (HR-ESI-MS). The relative and absolute configurations were established through analysis of NOESY spectroscopic data and later correlated with experimental electronic circular dichroism and time-dependent density functional theory (ECD–TDDFT) computational analysis. Compounds 1 and 2 showed cytotoxic activities against seven mammalian cell lines (L929, KB3.1, MCF-7, A549, PC-3, SKOV-3, and A431) and antiproliferative effects against the myeloid leukemia K-562 cancer cell line. Both 1 and 2 were shown to possess properties inhibiting the F-actin network, prompting further hypotheses that should to be tested in the future to enable a well-resolved concept of the structural implications determining the bioactivity of the cytochalasin backbone against F-actin.

**Keywords:** *Sparticola triseptata;* structure elucidation; ECD–TDDFT; antiproliferative; cytotoxic; actin inhibitors

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# 1. Introduction

Cytochalasans are a diverse group of biologically active fungal polyketide-amino acid hybrids featuring a tricyclic core structure composed of a 7- to 15-membered macrocycle fused to a highly substituted perhydroisoindolone moiety. They are biosynthesized via a PKS-NRPS hybrid pathway [1]. Since the first report of cytochalasins A and B from Phoma strain S 298 and Helminthosporium dematioideum, over 300 derivatives have been reported in several genera of Dothideomycetes, such as Ascochyta, Preussia, and Phoma [2-4], although producers mainly belong to Sordariomycetes, namely Aspergillus, Daldinia, and Diaporthe (formerly known as Phomopsis and Xylaria) [5–7]. Variations in the amino acid side chain, intramolecular rearrangements, and observation of unique substitution patterns in the macrocyclic ring have led to a diversity of cytochalasin structures. Cytochalasans exhibit antimicrobial, antiviral, and antiparasitic properties [8-12], regulate hormonal functions [13,14], inhibit cholesterol synthesis [15] and bacterial biofilms [16], and interfere with glucose transport proteins [17] and  $Ca^{2+}$  influx regulation [18]. By far, the most prominent and frequently reported biological effects of cytochalasans have been related to their interference with the actin cytoskeleton [5]. Interestingly, different derivatives of this compound class can either have strong and irreversible or weak and reversible activities. Studies on the structure–activity relationship of such mechanism are limited [6].

We have previously reported the actin depolymerization activity of 25 cytochalasans isolated from ascomata and mycelial cultures of different Ascomycota to establish a preliminary structure-activity relationship study [5]. We noted that the presence of hydroxyl group in the C7 and C18 of the cytochalasan backbone and their stereochemical configurations are important factors for actin cytoskeleton polymerization inhibition. When the reversibility of the actin-disrupting effects was evaluated, no direct correlations between potency and reversibility in the tested compound group were observed. As part of our ongoing efforts to explore biologically active Dothideomycetes secondary metabolites, the ascomycete Sparticola triseptata (Leuchtm.) Phukhamsakda & K. D. Hyde, comb. nov. obtained from the decomposing branches of Tofielda calyculata (L.) Wahlenb. was investigated for its cytotoxic chemical constituents. In this study, we carried out the isolation and structure elucidation of one cytotoxic cytochalasin derivative from the solid rice culture of S. trisep*tata*, hitherto referred to as triseptatin (1), along with deoxaphomin B (2), cytochalasin B (3), cis-4-hydroxy-6-deoxyscytalone (4), and 6-hydroxymellein (5). To complement and further investigate the cytotoxic effect on the F-actin network of mammalian cell lines of cytochalasin 1–3, 1 h endpoint assays using fluorescence microscopy were also carried out.

# 2. Materials and Methods

#### 2.1. General Experimental Procedures

Specific optical rotations ([ $\alpha$ ]<sup>D</sup>) were measured on a Perkin Elmer 241 polarimeter in a 100 mm × 2 mm cell at 20 °C. Nuclear magnetic resonance (NMR) spectra were obtained either on a Bruker Ascend 600 MHz spectrometer equipped with a 5 mm TXI cryoprobe (<sup>1</sup>H 600 MHz, <sup>13</sup>C 150 MHz) or a Varian VNMRS-500 MHz (<sup>1</sup>H 500 MHz, <sup>13</sup>C 125 MHz). Spectra were acquired at 25 °C (unless otherwise specified) in MeOH-*d*<sub>4</sub> with reference to residual <sup>1</sup>H or <sup>13</sup>C signals in the deuterated solvent. HR-ESI mass spectra were measured using Agilent 6200 series TOF and 6500 series Q-TOF LC/MS systems. The HPLC-DAD purification was performed on a Shimadzu Prominence liquid chromatograph LC-20AT coupled with a SPD-M20A photodiode array detector (Shimadzu Corp., Tokyo, Japan) and the semipreparative reversed-phase C18 column Inertsil ODS-3 (10 mm I.D. × 250 mm, 5 µm, G.L. Sciences, Tokyo, Japan). The mobile phase was composed of ultrapure water (Milli-Q, Millipore, Schwalbach, Germany) as solvent A and acetonitrile (HPLC grade) as solvent B.

#### 2.2. Fungal Material

The ascomycete Sparticola triseptata (Leuchtm.) Phukhamsakda & K.D. Hyde, which represents the ex-type strain of the species, was isolated from a decayed branch of

*Tofieldia calyculata* (L.) Wahlenb. [19]. Phukhamsakda et.al [20] recently transferred this species to the genus *Sparticola* based on a polyphasic taxonomic study that included molecular phylogenetic and morphological methods. The type strain is deposited at the KNAW Westerdijk Fungal Biodiversity Centre, Utrecht, the Netherlands (CBS 614.86).

#### 2.3. Production, Extraction, Isolation, and Structural Characterization

The fungal strain was cultured on malt extract agar plates for 8–10 weeks until the culture developed its characteristic brownish pigmentation. The fungus was cultivated on a solid rice media (70 g brown rice, 0.3 g peptone, 0.1 g corn syrup, and 100 mL ultrapure water) in 15 × 1000 mL sterilized Fernbach culture flasks, followed by autoclaving (121 °C, 20 min). Five agar blocks of a well-grown fungal culture were inoculated in the culture flasks and incubated under static condition in a dark room at 25–30 °C for 12 weeks until the fungal hyphae proliferated and the rice medium turned black in color. The rice cultures were homogenized using a sterile metal spatula. Fermentation was terminated by the addition of ethyl acetate (EtOAc;  $3 \times 300$  mL). The combined extracts were concentrated in a rotary evaporator to afford the crude extract (30 g). The crude EtOAc extract was reconstituted with 300 mL 10% aqueous methanol and partitioned with *n*-heptane ( $3 \times 100$  mL). The combined organic layer was concentrated *in vacuo* to afford a dark brown methanolic crude extract (8.8 g).

The methanolic crude extract was fractionated using silica gel column chromatography, and elution was carried out using the following solvent systems: petroleum ether-EtOAc (1:1, 2:3, 3:7, 1:4, 1:9), EtOAc, dichloromethane (DCM), DCM–MeOH (9:1, 1:4, 7:3, 3:2, 1:1), and methanol to afford five combined main fractions. Fraction 3 (3.40 g) was chromatographed with DCM–MeOH (5:1) to yield three subfractions. The second subfraction (3.29 g) was subsequently eluted with DCM-MeOH (40:1) to afford five subfractions. The third subfraction, fraction 3.2.3 (259 mg), was further purified using semipreparative RP-HPLC. The mobile phase was composed of ultrapure water (Milli-Q, Millipore, Schwalbach, Germany; solvent A) and acetonitrile (RCI Labscan Ltd., HPLC grade; solvent B). Purification was carried out using the following gradient: 40% solvent B for 5 min, 40–100% solvent B for 20 min, 100% solvent B for 5 min, and 100-40% solvent B for 5 min. This resulted in 13 fractions affording 3 (2.12 mg, flow rate =  $4.0 \text{ mL min}^{-1}$ , UV detection 200–600 nm,  $t_{\rm R}$  = 10.03 min), 4 (2.30 mg, flow rate = 4.0 mL min<sup>-1</sup>, UV-vis detection 200–600 nm,  $t_{\rm R} = 15.69$  min), and 2 (6.87 mg, flow rate = 4.0 mL min<sup>-1</sup>, UV-vis detection 200-600 nm,  $t_{\rm R}$  = 22.85 min). Fraction 3.2.1 (1.54 g) was further chromatographed using petroleum ether-EtOAc (2:1, 1:1) and DCM-MeOH (100:1, 80:1) to yield three homogenous subfractions. The last subfraction, fraction 3.2.1.3 (889 mg), was subjected to semipreparative reversed-phase HPLC using similar gradient solvent system composition as described above to yield 10 fractions. Fraction 3.2.1.3.7 (53.8 mg) was purified twice by employing a linear gradient of solvent B from 40% solvent B for 5 min, 40–100% solvent B for 25 min, 100% solvent B for 5 min, and 100–40% solvent B for 5 min to yield compound 2  $(7.64 \text{ mg}, \text{flow rate} = 4.0 \text{ mL min}^{-1}, \text{UV detection } 200-600 \text{ nm}, t_{\text{R}} = 14.24 \text{ min})$ . Fraction 3.2.1.3.10 (7.58 mg) was also subjected to semipreparative reversed-phase HPLC using similar gradient conditions to afford 1 (3.65 mg, 4.0 mL min<sup>-1</sup>, UV detection 200–600 nm,  $t_{\rm R} = 18.65$  min).

Triseptatin (1): white amorphous solid;  $[α]_D^{25}$  +30 (*c* 0.1, MeOH); UV (*c* 0.1, MeOH)  $\lambda_{max}$  (log ε) 258 (3.83), 289 (3.89) nm; HPLC–ECD data in acetonitrile as  $\lambda_{max}$  (Δε) 205 (0.0074), 216 (-0.0025), and 239 (-0.0011). <sup>1</sup>H and <sup>13</sup>C NMR data, Table 1; HR-ESI-MS *m/z*: [M + H]<sup>+</sup> calcd for C<sub>31</sub>H<sub>40</sub>NO<sub>5</sub>, 506.2906; found, 506.2901 (Figure S7). IUPAC nomenclature: (3*S*,5*S*,7*S*,8a*R*, 9a*R*,13*E*,16*R*,20*R*,21*E*)-3-benzyl-6-hydroxy-4,10-dimethyl-5-methylene-1,17dioxo-2,3,3a,4,5,6,6a,9,10,11,12,13,14,17-tetradecahydro-1H-cyclotrideca[d]isoindol-14-yl acetate.

| Desition | 1                           |                       |  |  |  |
|----------|-----------------------------|-----------------------|--|--|--|
| Position | $\delta_H$ (mult., J in Hz) | $\delta_C$ , Туре     |  |  |  |
| 1        | -                           | 176.4, C              |  |  |  |
| 3        | 3.38 (m)                    | 54.0, CH              |  |  |  |
| 4        | 2.91 (m)                    | 46.3, CH              |  |  |  |
| 5        | 2.72 (m)                    | 33.2, CH              |  |  |  |
| 6        | -                           | 151.0, C              |  |  |  |
| 7        | 3.90 (d, 9.9)               | 72.8, CH              |  |  |  |
| 8        | 2.50 (t, 9.9)               | 51.9, CH              |  |  |  |
| 9        | -                           | 63.9, C               |  |  |  |
| 10a      | 2.46 (dd, 13.0, 7.3)        | 43.5, CH <sub>2</sub> |  |  |  |
| 10b      | 2.64 (dd, 13.0, 6.4)        |                       |  |  |  |
| 11       | 0.79 (d, 6.8)               | 13.3, CH <sub>3</sub> |  |  |  |
| 12a      | 5.03 (s)                    | 114.1, CH             |  |  |  |
| 12b      | 5.17 (s)                    |                       |  |  |  |
| 13       | 6.01 (ddd, 15.2, 9.6, 1.9)  | 128.3, CH             |  |  |  |
| 14       | 5.22 (m)                    | 137.3, CH             |  |  |  |
| 15a      | 2.06 (m)                    | 40.5, CH <sub>2</sub> |  |  |  |
| 15b      | 1.79 (dd, 11.2, 2.8)        |                       |  |  |  |
| 16       | 1.51 (m)                    | 34.0, CH              |  |  |  |
| 17a      | 1.09 (m)                    | 34.9, CH <sub>2</sub> |  |  |  |
| 17b      | 1.26 (m)                    |                       |  |  |  |
| 18a      | 1.15 (m)                    | 19.7, CH <sub>2</sub> |  |  |  |
| 18b      | 1.39 (m)                    |                       |  |  |  |
| 19a      | 1.52 (m)                    | 32.6, CH <sub>2</sub> |  |  |  |
| 19b      | 1.92 (m)                    |                       |  |  |  |
| 20       | 5.20 (m)                    | 76.4, CH              |  |  |  |
| 21       | 6.48 (dd, 15.4, 8.5)        | 144.0, CH             |  |  |  |
| 22       | 6.86 (d, 15.4)              | 128.0, CH             |  |  |  |
| 23       | -                           | 198.6, C              |  |  |  |
| 24       | 0.89 (d, 6.9)               | 20.8, CH <sub>3</sub> |  |  |  |
| 25       | -                           | 171.6, C              |  |  |  |
| 26       | 2.04 (s)                    | 21.1, CH <sub>3</sub> |  |  |  |
| 1′       | -                           | 137.9, C              |  |  |  |
| 2'/6'    | 7.08 (d, 7.6)               | 130.7/131.0, CH       |  |  |  |
| 3'/5'    | 7.32 (t, 7.6)               | 129.7/129.6, CH       |  |  |  |
| 4′       | 7.23 (t, 7.6)               | 127.8, CH             |  |  |  |

**Table 1.** NMR spectroscopic data of **1** in MeOH-*d*<sub>4</sub>.

Recorded at 600 MHz; carbon multiplicities were deduced from HSQC-DEPT-135 spectra.

# 2.4. Computational Calculations

A conformational analysis on triseptatin (1) was performed using the Avogadro (version 1.1.1) platform [21], which included a search for low-energy conformations using the MMFF94 molecular mechanics force field and conformer optimization following the steepest descent algorithm. All stable conformations were subjected to geometry reoptimizations via density functional theory calculations with B3LYP/6-31G(d) basis set on a polarizable continuum model (PCM) with methanol as the solvent model. The calculated energies, taken as the sum of electronic and zero-point energies, were used to estimate the Boltzmann population for each conformer. The optimized geometries were then subjected to time-dependent DFT (TDDFT) following the same functional, basis set, and PCM solvent model. A Gaussian distribution function was used to generate the ECD curve from the calculated rotatory strength values with  $3000 \text{ cm}^{-1}$  half-height width. All DFT calculations were carried out using Gaussian 16W [22], while the visualization of results was conducted on GaussView 6.0.

#### 2.5. Antiproliferation and Cytotoxicity Assays

Compounds 1–3 were assayed against human umbilical vein endothelial cells (HU-VEC) and K-562 human chronic myeloid leukemia cells (DSM ACC 10) for their antiproliferative effects (GI<sub>50</sub>) [23]. Cytotoxicity properties of the compounds were also assessed against several mammalian cancer cell lines, including mouse fibroblast L929, HeLa (KB3.1), human breast adenocarcinoma (MCF-7), adenocarcinomic human alveolar basal epithelial cells (A549), human prostate cancer cells (PC-3), ovarian carcinoma (SKOV-3), and squamous cell carcinoma (A431), and expressed as  $IC_{50}$  in the MTT assay [24]. Inhibitory concentrations were calculated as 50% half-maximal inhibitory concentration (IC<sub>50</sub>, concentration of the substance where a specific biological process is reduced by half), 50% inhibition of cell growth (GI<sub>50</sub>, the concentration needed to reduce the growth of treated cells to half that of untreated cells), or 50% cytotoxic concentration (CC<sub>50</sub>, the concentration that kills 50% of treated cells).

#### 2.6. Cell Culture of U2-OS Cells and Actin Disruption Assay

The impact of 1 and 2 on the organization of filamentous actin in tissue culture cells was investigated in an actin disruption assay following the procedure presented by [6]. Cytochalasin B (3, previously isolated by [6]) and D (MP Biomedicals, Solon, OH, USA) were chosen as widely used controls for cytochalasan impact on F-actin network organization in DMSO (Carl Roth GmbH, Karlsruhe, Germany). DMSO was also used as a vehicle control. Concentrations to study the effects on the F-actin organization were estimated based on previously determined IC<sub>50</sub> against L929 mouse fibroblasts ( $1 \times IC_{50}$  and  $5 \times IC_{50}$ , low-dose and high dose (cf. Wang et al. [25]). Concurrently, a second testing strategy consisted of a titration experiment employing concentrations ranging from 30 to  $0.03 \mu M$ of compounds 1, 2, and 3. Cells of the osteosarcoma cell line U2-0S [ATCC HTB-96] were cultured in Dulbecco's modified minimum essential medium (DMEM, Life Technologies, Carlsbad, CA, USA) containing 10% fetal bovine serum (Sigma-Aldrich, St. Louis, MO, USA), 1% L-glutamine (Life Technologies, Carlsbad, CA, USA), 1% minimum essential medium nonessential amino acids (MEM NEAA, Life Technologies, Carlsbad, CA, USA), and 1% sodium pyruvate (Life Technologies, Carlsbad, CA, USA) at 37 °C and 7.5% CO<sub>2</sub> for the following experiments. Fibronectin (Roche, Mannheim, Germany)-coated cover slips were seeded with 20,000 cells and allowed to spread overnight and treated with overnight equilibrated culture medium spiked with cytochalasins or DMSO in the abovementioned concentrations. Cells were treated with the prepared medium and incubated for 1 h before being washed once with PBS (pH 7.4) and fixed with 4% prewarmed paraformaldehyde (AppliChem, Darmstadt, Germany) supplied in PBS for 20 min. Reversibility of the impact on the F-actin network organization was tested by washout with  $3 \times$  prewarmed PBS prior to the addition of fresh medium and a recovery time of 1 h before fixation. Fixed cells were washed with PBS thrice and permeabilized using 0.1% Triton X-100 (Bio-Rad Laboratories, Hercules, CA, USA) in PBS for 1 min at room temperature. After three additional washing steps with PBS, fluorescently labelled phalloidin (ATTO-594, ATTO-Tec, Siegen, Germany) diluted in PBS (1:100) was used and incubated for an additional hour to probe for the F-actin cytoskeleton and mounted in ProLong Diamond Antifade Mountant (Invitrogen, Carlsbad, CA, USA) containing DAPI to probe for nuclear DNA. Samples were examined for epifluorescence using an inverted microscope (Nikon eclipse Ti2, Tokio, Japan) with a  $60 \times$  Nikon oil immersion objective (Plan Apofluar, 1.4 NA) with pE-4000 (CoolLED, Andover, UK) as a light source. Pictures were recorded with a pco.edge backilluminated sCMOS camera (Excelitas Technologies, Mississauga, ON, Canada) operated by NIS elements (Nikon, Tokio, Japan) and processed by Image J (NIH, Bethesda, MD, USA).

# 3. Results and Discussion

The EtOAc extract of *Sparticola triseptata* obtained from the rice culture was partitioned between *n*-heptane and 10% aqueous MeOH. The resulting aqueous methanolic crude

extract was purified using silica gel column chromatography and semipreparative HPLC to afford metabolites **1–5** (Figure 1). The known compounds, deoxaphomin B (**2**), cytochalasin B (**3**), *cis*-4-hydroxy-6-deoxyscytalone (**4**), and 6-hydroxymellein (**5**), were identified by comparing their physicochemical and NMR spectroscopic data with those reported in the literature [4,26–29].



Figure 1. Secondary metabolites 1-5 from Sparticola triseptata and cytochalasin D (6).

Triseptatin (1) was obtained as an optically active whitish-yellow amorphous solid. The molecular formula  $C_{31}H_{40}NO_5$ , indicating 13 degrees of unsaturation, was established based on the protonated molecular ion peak at  $m/z C_{31}H_{39}NO_5 [M + H]^+$  of its positive-ion HR-ESI-MS. This was consistent with the number of proton and carbon peaks detected in its <sup>1</sup>H and <sup>13</sup>C NMR spectroscopic data (Table 1). Preliminary spectroscopic analysis of its 1D NMR data showed identical resonances to that of deoxaphomin, which was first isolated from the organic culture extracts of *Ascochyta heteromorpha* and *Phoma multirostrata*.

The <sup>1</sup>H NMR spectrum of **1** (Figure S1) displayed three methyl proton signals at  $\delta_H$  0.79 (3H, *d*, *J* = 6.8 Hz, H-11), 0.89 (3H, *d*, *J* = 6.9 Hz, H-24), and 2.04 (3H, s, H-26); two exocyclic methylene proton signals at  $\delta_H$  5.03 (1H, *s*, H-12a) and 5.17 (1H, *s*, H-12b); four olefinic proton signals at  $\delta_H$  5.22 (1H, *m*, H-14), 6.01 (1H, *ddd*, *J* = 15.2, 9.6, 1.9 Hz, H-13), 6.48 (1H, *dd*, *J* = 15.4, 8.5 Hz, H-21), and 6.86 (1H, *d*, *J* = 15.4 Hz, H-22); two pairs of *ortho*-coupled aromatic proton signals at  $\delta_H$  7.08 (2H, *d*, *J* = 7.6 Hz, H-2'/H-6') and 7.32 (2H, *t*, *J* = 7.6 Hz, H-3'/H-5'); and several aliphatic proton signals between  $\delta_H$  1.09 and 3.38 (Table 1). The <sup>13</sup>C and heteronuclear single quantum coherence–distortionless enhancement by polarization transfer (HSQC–DEPT) NMR spectroscopic data revealed the presence of three carbonyl carbons, one quaternary carbon, two sp<sup>2</sup> nonprotonated carbons, five aromatic methines, four olefinic methines, seven sp<sup>3</sup> methylene carbons, and three methyl carbons.

Analysis of 1D NMR spectroscopic data revealed that **1** possessed a cytochalasin core skeleton, which was confirmed by its homonuclear and heteronuclear 2D NMR data (Figures S2–S5). The <sup>1</sup>H–<sup>1</sup>H correlation spectroscopy (COSY) cross peaks of  $\delta_H$  3.38 (1H, *m*, H-3) with  $\delta_H$  2.91 (1H, *m*, H-4),  $\delta_H$  2.46 (1H, *dd*, *J* = 13.0, 7.3 Hz, H-10a), and  $\delta_H$  2.64 (1H, *dd*, *J* = 13.0, 6.4 Hz, H-10b), as well as the long-range HMBC coupling from H-3 to  $\delta_C$  176.4 (C-1) and  $\delta_C$  46.3 (C-4), from H-4 to C-1 and  $\delta_C$  63.9 (C-9), and from H<sub>2</sub>-10 to  $\delta_C$  54.0 (C-3), revealed the presence of a 2-pyrrolidinone fragment. Key HMBC correlations from H-4 to  $\delta_C$  33.2 (C-5) and  $\delta_C$  151.0 (C-6); from H<sub>3</sub>-11 to C-4, C-5, and C-6; from the exocyclic methylene H<sub>2</sub>-12 to C-5 and  $\delta_C$  72.8 (C-7); and from  $\delta_H$  2.50 (1H, *t*, *J* = 9.9 Hz, H-8) to  $\delta_C$  63.9 (C-9) established the linkage between the methylidenecyclohexanol ring and 2-pyrrolidinone moiety forming the perhydroisoindolone subunit (Figure 2). Further analyses of the COSY

spectrum led to the construction of an *ortho*-coupled (J = 7.6 Hz) three distinct proton spin systems illustrating homonuclear coupling correlations between H-2'/H-6', and H-3'/H-5', and of  $\delta_H$  7.23 (1H, t, J = 7.6 Hz, H-4') corresponding to  $\delta_C$  130.7 (C-2'/C-6'),  $\delta_C$  129.7 (C-3'/C-5'), and  $\delta_C$  127.8 (C-4') of the monosubstituted phenyl group, respectively. In addition, the HMBC correlations of H-2'/H-6' to  $\delta_C$  43.5 (C-10) and from H<sub>2</sub>-10 to  $\delta_C$  137.9 (C-1'), C-2'/C-6', and C-3 resulted in the attachment of the monosubstituted phenyl group to the perhydroisoindolone residue forming the 10-phenylperhydroisoindolone moiety. The remaining portion of 1 was identified as a 13-membered carbocycle ring, which was elucidated by a combination of homonuclear COSY cross peaks establishing a 13-proton spin system resonating from H-7 to H-22 and based on the key HMBC correlations from  $\delta_H$  6.01 (1H, ddd, J = 15.2, 9.6, 1.9 Hz, H-13) to  $\delta_C$  40.5 (C-15), from  $\delta_H$  1.09 (1H, m, H-17a) and  $\delta_H$  1.26 (1H, *m*, H-17b) to  $\delta_C$  32.6 (C-19), from  $\delta_H$  1.39 (1H, *m*, H-18b) to  $\delta_C$  76.4 (C-20), and from  $\delta_H$  6.48 (1H, dd, J = 15.4, 8.5 Hz, H-21) and  $\delta_H$  6.86 (1H, d, J = 15.4 Hz, H-22) to  $\delta_{\rm C}$  198.6 (C-23). Finally, HMBC correlations from H-13 to  $\delta_{\rm C}$  51.9 (C-8) as well as the longrange coupling from H-4 and H-22 to C-23 suggested the connectivity of the 13-membered carbocyclic ring residue to the 10-phenylperhydroisoindolone moiety.



Figure 2. COSY and HMBC correlations in 1.

Based on the COSY and HMBC correlations discussed above, the proton and carbon signals originating from the cytochalasin core structure and the macrocyclic ring fragment were found to have a close resemblance to deoxaphomin [4,29]. The main difference is an additional carboxylic carbon resonating at  $\delta_C$  171.6 (C-25) and a methyl carbon at  $\delta_C$  21.1 (C-26) and  $\delta_H$  2.04 (3H, *s*, H-26). Furthermore, the NMR signals of the acetyl group were evidenced by HMBC correlations from  $\delta_H$  5.20 (1H, *m*, H-20) to C-25 and from H-26 to C-25, indicating the attachment of the acetyl group at C-20.

The relative configuration of **1** was deduced through a combination of proton coupling constants and nuclear Overhauser effect spectroscopy (NOESY) data (Figure S6). In the <sup>1</sup>H NMR spectrum, the large coupling constant (J = 10.0 Hz) between H-7 and H-8 suggested coaxial positioning of this proton pair. The *trans*-geometric configurations of  $\Delta^{13(14)}$  and  $\Delta^{21(22)}$  were established based on the observed large coupling constants (H-13, H-14, J = 15.1 Hz; H-21, H-22, J = 15.3 Hz). Additional NOESY interactions of H-3 with H<sub>3</sub>-11 and H-7 revealed similar spatial orientation for C-3 benzyl and C-7 hydroxy moieties, whereas correlations of H-4 with H-5 and H-8 were  $\beta$ -oriented. Based on biosynthetic considerations and previous studies [30,31] naturally occurring cytochalasins possess similar  $\alpha$ -orientation of the methyl groups attached to C-16, whereas the hydroxyl group at C-20 is  $\beta$ -oriented.

Thus, the relative configuration of 16-Me and 20-OH in **1** may follow that of known and related cytochalasin derivatives.

Triseptatin (1) was also subjected to ECD– TDDFT calculations to confirm its absolute configuration. MMFF94 conformational analysis and B3LYP/6-31G(d) geometry reoptimization of 1 afforded two energetically low-lying conformers with a preassigned (3S,4S,6S,6aR,7E,10R,14R,15E,17aR) configuration (Figure 3). All conformers were confirmed stable as per harmonic vibrational frequency calculations. The differences in spatial structural arrangement of compound 1 conformers were a result of the C3–C10 bond rotation, with the  $\omega$ C-1',C-10,C-3,C-4 torsion angles for conformers 1A (78.79%) and 1B (21.21%) being 69.38° and -177.72°, respectively. The theoretically obtained Boltzmann-averaged ECD spectrum of 1 at wB97XD/DGDZVP (PCM/MeCN) after wavelength correction showed a good correlation with the experimental data (Figure 4), confirming that the absolute configuration of triseptatin is (3S,5S,7S,8aR, 9aR, 13E,16R,20R,21E)–1.



**Figure 3.** Low-energy conformers (>1%) of (*3S*,*5S*,*7S*,*8aR*, *9aR*,*13E*,*16R*, *20R*,*21E*)-**1** optimized at B3LYP/6-31G(d) (PCM/MeOH).



**Figure 4.** Experimental ECD spectrum of triseptatin (**1**, black solid curve) compared with wB97XD/DGDZVP-calculated ECD spectra (red solid curve) for the B3LYP/6-31G(d)-optimized conformers of (3*S*,5*S*,7*S*,8a*R*, 9a*R*, 13*E*,16*R*,20*R*,21*E*)–**1**.

Triseptatin (1) and deoxaphomin B (2) were biologically tested for their cytotoxicity in seven mammalian cell lines, including the mouse fibroblast line (L929), HeLa carcinoma line (KB3.1), human breast adenocarcinoma cell line (MCF-7), human lung carcinoma line (A549), human prostate cancer (PC-3), ovarian carcinoma cell line (SKOV-3), and squamous cell

carcinoma (A431), using MTT assay with epothilone B as a positive control. Cytochalasans **1** and **2** exhibited cytotoxic activities against all cell lines with half-maximal inhibitory concentrations of 1.80 to 11.28  $\mu$ M and 1.55 to 6.91  $\mu$ M, respectively (Table 2). While comparable IC<sub>50</sub> values were noted against most cancer cell lines, compound **2** exhibited five times potency versus the prostate cancer cells. However, the cytotoxic activity of the isolated cytochalasans were relatively weak in comparison to the half-maximal inhibitory concentration values obtained from epothilone B. Compounds **1–3** were also assessed for antiproliferative activity against human umbilical vein epithelial cell (HUVEC) and myelogenous leukemia cell (K-562) and cytotoxicity against HeLa cervical cancer cell line through CellTiter Blue assay (Table 2). All compounds exhibited antiproliferative effects and cytotoxic activities. Compounds **2** and **3** were cytotoxic against HeLa cells with IC<sub>50</sub> values of 4.96 and 7.30  $\mu$ M, respectively. Cytochalasins **1–3** showed moderate antileukemic activity, while strong antiproliferative effects were observed against HUVEC cells.

|   | Compound |      |      | Positive Control    |          |  |  |
|---|----------|------|------|---------------------|----------|--|--|
| Cell Line   | 1        | 2    | 3    | Epothilone B        | Imatinib |  |  |
| Cytotoxicity <sup>a</sup> IC <sub>50</sub> (µM)             |          |      |      |                     |          |  |  |
| Mouse fibroblast L929                                       | 4.16     | 5.18 | N.D. | $1.4 	imes 10^{-3}$ | N.D.     |  |  |
| HeLa cells KB3.1  | 1.80     | 1.83 | N.D. | $8.9 	imes 10^{-5}$ | N.D.     |  |  |
| Human breast adenocarcinoma MCF-7                           | 1.86     | 1.79 | N.D. | $2.4 	imes 10^{-4}$ | N.D.     |  |  |
| Human lung carcinoma A549                                   | 7.32     | 6.91 | N.D. | $6.9 	imes 10^{-5}$ | N.D.     |  |  |
| Human prostate cancer PC-3                                  | 11.28    | 2.81 | N.D. | $1.6 	imes 10^{-3}$ | N.D.     |  |  |
| Ovarian carcinoma SKOV-3                                    | 1.84     | 1.55 | N.D. | $2.8	imes10^{-4}$   | N.D.     |  |  |
| Squamous cell carcinoma A431                                | 2.17     | 1.60 | N.D. | $7.9 	imes 10^{-5}$ | N.D.     |  |  |
| Antiproliferative Effect <sup>b</sup> GI <sub>50</sub> (μM) |          |      |      |                     |          |  |  |
| HUVEC   | 4.55     | 1.08 | 8.31 | N.D.                | 18.5     |  |  |
| Myelogenous leukemia K-562                                  | 8.31     | 3.67 | 3.34 | N.D.                | 0.17     |  |  |

 Table 2. Antiproliferative effect and cytotoxicity of 1–2 against mammalian cell ines.

<sup>a</sup> MTT assay, <sup>b</sup> CellTiter blue assay. The starting concentration for the cytotoxicity assay was 300  $\mu$ g/mL, and substances were dissolved in MeOH (1 mg/mL). MeOH was used as the negative control and showed no activity against the tested mammalian cell lines. Results were expressed as IC<sub>50</sub>: half-maximal inhibitory concentration, CC<sub>50</sub>: half-maximal cytotoxicity concentration, GI<sub>50</sub>: half-maximal cell proliferation ( $\mu$ M), N.D: not determined.

Compounds 1, 2, 3, and cytochalasin D (6) were evaluated in 1 h endpoint assays with selected concentrations estimated based on previously determined half-maximal inhibitory concentrations, referred to as low dose (LD,  $1 \times IC_{50}$ ) and high dose (HD,  $5 \times IC_{50}$ ) for their impact on the F-actin network of U2-OS cells. F-actin-containing structures were monitored by staining with fluorescently coupled phalloidin (seen in red, Figures 5 and 6). LD of cytochalasin B and D (Figure 5c,d) led to F-actin networks devoid of lamellipodial structures with F-actin-rich protrusions at the cell periphery clearly visible in the DMSO vehicle control (Figure 5i) and F-actin-rich knot-like structures in the main cell body. Cytochalasin D (Figure 5h) in HD further increased the number of these aggregates, coinciding with a reduction in other visible F-actin-containing structures in the main cell body, while HD of cytochalasin B (Figure 5g)-treated cells showed a severe impediment but no total collapse of the F-actin network. The impact of 1 (Figure 5a,e) in this set of experiment most closely resembled the effect induced by cytochalasin B intoxication and was characterized by a lack of lamellipodial structures and the presence of F-actin-rich aggregates in the main cell body. This observation was much more pronounced in the high-dose experiment (Figure 5e), coinciding with a lower number of visible stress fibers. Deoxaphomin B (2) applied in LD (Figure 5b), however, led to strongly contrasted cable-like remnants in comparison to the dim fluorescence signal of phalloidin detected in the main cell body. A high dose (Figure 5f)

led to a well-described endpoint of cytochalasin intoxication, with F-actin-containing aggregates dominating the otherwise collapsed F-actin network with no visible stress fibers. The impact of the tested compounds on the F-actin organization was fully reversible in all cases (compare Figure 5e, j, Figure 5f, k, Figure 5g, l, and Figure 5h, m with DMSO vehicle controls Figure 5i,n). Concurrently, the concentration-dependent effect of the cytochalasans on the F-actin cytoskeletal organization was examined incrementally (note that compound 1 was only assessed in a high-dose/low-dose assay due to scarcity of the compound). First, changes to the F-actin network presented themselves by a reduction in lamellipodia-like structures and formation of previously described phalloidin-stainable F-actin-containing aggregates (2: Figure 6d, 1  $\mu$ M; 3: Figure 6l, 1  $\mu$ M; and 6: Figure 6r, 0.1  $\mu$ M). The effects did not grow more severe once a concentration was reached, which led to a full collapse of F-actin-containing macrostructures, with the F-actin aggregates remaining in the cell (2: Figure 6g, 30  $\mu$ M; **3**: Figure 6n, 10  $\mu$ M; and **6**: Figure 6l, 1  $\mu$ M). Remarkably, the progression of F-actin network dispersal was different among cells treated with deoxaphomin B and cytochalasin B. Here, the periphery of the cells remained largely intact with strongly contrasted stress-fiber-like structures appearing at higher concentrations as had been observed before, which did not appear at any tested concentration after cytochalasin B and D treatment (compare Figure 6d–f with Figure 6m–o,k–w).



**Figure 5.** Overlay images of immobilized U2-OS cells treated with different concentrations of compounds **1–3** and **6** (**1**: (**a**,**e**,**j**), **2**: (**b**,**f**,**k**), **3**: (**c**,**g**,**l**), and **6**: (**d**,**h**,**m**)) normalized against their cytotoxicity against L929 cells for one hour (IC<sub>50</sub>; low dose,  $1 \times IC_{50}$  (**a–d**); high dose,  $5 \times IC_{50}$  (**e–h**)) and the corresponding high-dose washout experiment after one hour recovery time (**j–m**). Volume of the DMSO vehicle control was adjusted to the highest volume of DMSO used in the corresponding experiment (**i**,**n**). Cells were stained for their F-actin cytoskeleton using fluorescently coupled phalloidin (pseudocoloured in red) and nuclear DNA using DAPI (pseudocoloured in blue). Representative scale bar in image (**a**) correspond to 25 µm.







The observations fit well with the well-described phenotype of cytochalasin-induced F-actin disorganization [32,33]. Notably, deoxaphomin B seemed to have a stronger effect on stress fiber organization even in low doses compared to the other cytochalasans tested here. The reason for this behavior has to be scrutinized in the future once more material of the compound becomes available.

The deoxaphomin B reported here differed from deoxaphomin by the presence of a double bond inside the six-ring between C-5 and C-6 instead of deoxaphomin with a methylidene group of C-12, while triseptatin featured an additional O-acetyl group at the C-20 position. Interestingly, desoxaphomin was reported to exhibit irreversible effects on the F-actin organization of U2-OS cells, while the triseptatin tested here and the closely related deoxaphomin B were both shown to exhibit fully reversible effects even when applied in high concentrations [6]. Notably, Lambert et al. [7] reported that the newly described pseudofuscochalasin A exhibited irreversible effects, while cells treated with cytochalasin C, which differ from the former by an additional acetyl group at the ketone at C-23, were able to fully recover after one hour. The results presented here indicate that the  $\alpha$ - $\beta$  unsaturated bond next to the ketone should not be considered the solely decisive factor steering an unrecoverable impact on F-actin organization. To further understand the phenomenon of reversible and irreversible changes towards the F-actin network induced by cytochalasins, two emerging hypotheses have to be examined in the future: (1) the configuration of the six-ring impacting reversibility and (2) the stereochemistry of the hydroxy group at C-20 also exhibiting influences on reversibility. Recent findings comparing cytochalasin E derivatives indicated that a change from a methylidene group to two methyl groups influencing the stereochemistry at the six-ring found in cytochalasin K had a profound impact on its cytotoxicity, being 80 times less toxic than the former. Moreover, the effect was found to be partially reversible without observation of a full recovery after one hour (C. L. et al., unpublished data). Deoxaphomin B features the previously mentioned methylidene group but exhibits acetylation at the hydroxyl group with unknown consequences for the bioactivity in question. In the light of the current findings, hypothesis 1 seems more tempting, but further research focusing on finding a producer of a triseptatin featuring a C-20-deacetyl deoxaphomin B backbone is necessary to formally exclude hypothesis 2.

# 4. Conclusions

This study described the biologically active chemical constituents of the rice fermentation culture of *Sparticola triseptata*, where one new cytochalasin derivative, triseptatin (1), along with deoxaphomin B (2), cytochalasin B (3), *cis*-4-hydroxy-6-deoxyscytalone (4), and 6-hydroxymellein (5), were isolated and identified. Compounds 1 and 2 displayed cytotoxic activities against seven mammalian cell lines (L929, KB3.1, MCF-7, A549, PC-3, SKOV-3, and A431) and antiproliferative effects against myeloid leukemia K-562 cancer cell line. Further investigation on the mechanism of their cytotoxicity showed the reversible effects of cytochalasans 1–3 on the disruption of the actin cytoskeleton in vivo. Our findings indicate that the presence of  $\alpha$ – $\beta$  unsaturated bond adjacent to the ketone group at C-23 does not directly correlate with the reversibility of this effect. Rather, two previously unrecognized structural features of the cytochalasin framework could have significant impact on the reversible actin disruption, namely the configuration of the cyclohexene ring and the chirality of the hydroxy group attached at C-20. In general, we have established the potential of cytochalasin derivatives as a possible drug inspiration for anticancer agents.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/10 .3390/jof8060560/s1, Figure S1: 1H NMR spectrum (MeOH- $d_4$ , 600 MHz) of triseptatin (1); Figure S2: <sup>13</sup>C NMR spectrum (MeOH- $d_4$ , 600 MHz) of triseptatin (1); Figure S3: HSQC–DEPT spectrum of triseptatin A (1); Figure S4: COSY spectrum of triseptatin (1); Figure S5: HMBC spectrum of triseptatin (1); Figure S6: ROESY spectrum of triseptatin (1); Figure S7: HR-ESI-MS spectrum of triseptatin (1); Figure S8: Low-energy conformers (>1%) of (S)-1 optimized at B3LYP/6-31G(d) (PCM/MeOH); Figure S9: 1H NMR spectrum (MeOH- $d_4$ , 600 MHz) of deoxaphomin B (2); Figure S10: 13C NMR spectrum (MeOH- $d_4$ , 600 MHz) of deoxaphomin B (2); Figure S11: <sup>1</sup>H NMR spectrum (MeOH- $d_4$ , 600 MHz) of cytochalasin B (**3**); Figure S12: 13C NMR spectrum (MeOH- $d_4$ , 600 MHz) of cytochalasin B (**3**); Figure S13: <sup>1</sup>H NMR spectrum (MeOH- $d_4$ , 600 MHz) of cis-4-hydroxy-6-deoxyscytalone (**4**); Figure S14: <sup>13</sup>C NMR spectrum (MeOH- $d_4$ , 600 MHz) of cis-4-hydroxy-6-deoxyscytalone (**4**); Figure S15: 1H NMR spectrum (MeOH- $d_4$ , 500 MHz) of 6-hydroxymellein (**5**); Figure S16: <sup>13</sup>C NMR spectrum (MeOH- $d_4$ , 125 MHz) of 6-hydroxymellein (**5**). References [26–33] are cited in the supplementary materials.

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# Article Polyketide Derivatives from the Endophytic Fungus Phaeosphaeria sp. LF5 Isolated from Huperzia serrata and Their Acetylcholinesterase Inhibitory Activities

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Abstract: The secondary metabolites of *Phaeosphaeria* sp. LF5, an endophytic fungus with acetylcholinesterase (AChE) inhibitory activity isolated from *Huperzia serrata*, were investigated. Their structures and absolute configurations were elucidated by means of extensive spectroscopic data, including one- and two-dimensional nuclear magnetic resonance (NMR), high-resolution electrospray ionization mass spectrometry (HR-ESI-MS) analyses, and calculations of electronic circular dichroism (ECD). A chemical study on the solid-cultured fungus LF5 resulted in 11 polyketide derivatives, which included three previously undescribed derivatives: aspilactonol I (4), 2-(1-hydroxyethyl)-6methylisonicotinic acid (7), and 6,8-dihydroxy-3-(1'*R*, 2'*R*-dihydroxypropyl)-isocoumarin (9), and two new natural-source-derived aspilactonols (G, H) (2, 3). Moreover, the absolute configuration of de-*O*-methyldiaporthin (11) was identified for the first time. Compounds 4 and 11 exhibited inhibitory activity against AChE with half maximal inhibitory concentration (IC<sub>50</sub>) values of 6.26 and 21.18  $\mu$ M, respectively. Aspilactonol I (4) is the first reported furanone AChE inhibitor (AChEI). The results indicated that *Phaeosphaeria* is a good source of polyketide derivatives. This study identified intriguing lead compounds for further research and development of new AChEIs.

Keywords: Phaeosphaeria sp.; secondary metabolite; polyketide; AChE inhibitor; biosynthetic pathways

# 1. Introduction

Natural products are important sources of active compounds and play important roles in modern drug research and development. Fungi are considered an important group of microorganisms in the production of antitumor, immunosuppressant, antibiotic, antifungal, antiparasitic, anti-inflammatory, enzyme-inhibiting, and other active secondary metabolites [1,2]. Endophytic fungi reside in the internal tissues of living plants without causing apparent disease. Due to their unique ecological niche, endophytic fungi have become important sources of natural products to be screened for with unique chemical structures and biological activity [3,4]. Therefore, the natural product screening of endophytic fungi is currently a hot research topic [5–9]. In this sense, it is worth undertaking a constant search for novel compounds from endophytic fungal sources and paying attention to discovering potential drug candidates.

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). *Huperzia serrata* is a member of the *Lycophyllaceae* family called shezucao in China [10]. Huperzine A (HupA) was first isolated from *H. serrata* in the 1980s and was approved in the 1990s in China as an acetylcholinesterase inhibitor (AChEI) to treat Alzheimer's disease (AD). These promising studies showed that endophytic fungi of *H. serrata* can synthesize HupA and similar compounds in host plants and also contains many novel compounds [10]. Thus far, many studies have been conducted on the diversity of endophytic fungi of *H. serrata*, but there are few studies on the isolation and screening of AChEIs in these endophytic fungi, and therefore further studies are needed. In our previous study, a total of 22 endophytic fungal strains showed strong AChE inhibitory activity ( $\geq$ 50%) [11]. As part of our ongoing research, we are currently characterizing the bioactive secondary metabolites of these endophytic fungi.

*Phaeosphaeria* sp. LF5 is an endophytic fungus isolated from the leaves of *H. serrata* [12]. Members of *Phaeosphaeria* have afforded a variety of natural products, such as polyketides, peptides, and terpenes [13,14]. Herein, *Phaeosphaeria* sp. LF5 was selected for screening for new AChEI natural products. We refermented the strain in solid substrate fermentation medium and then isolated 11 polyketide derivatives, which included three new compounds, aspilactonol I (4), 2-(1-hydroxyethyl)-6-methylisonicotinic acid (7), and 6,8-dihydroxy-3-(1'*R*, 2'*R*-dihydroxypropyl)-isocoumarin (9), and two new natural source-derived aspilactonols (G, H) (2, 3). We also identified the absolute configuration of de-*O*-methyldiaporthin (11) for the first time (Figure 1). In addition, we detected their AChE inhibitory activity. Herein, the isolation, structural elucidation, and bioactivities of these isolated compounds are described.



Figure 1. Chemical structure of compounds 1-11.

Generally, furanone derivatives are polyketide metabolites found in *Aspergillus* [15,16]. They are classified into three structural types: linear (aspinonene),  $\delta$ -lactones (aspinone), and  $\gamma$ -lactones (isoaspinonene and aspilactonols) [17]. To date, it has been a challenging task to assign the absolute configurations of furanone derivatives due to the flexibility of their aliphatic sidechain in the partial polyketide unit [18,19]. Isocoumarins comprise a sixmembered oxygen heterocycle ( $\alpha$ -pyranone) with one benzene ring. Isocoumarins represent a group of natural compounds rich in lactones, which are mainly derived from the fungal polyketone pathway. These compounds have exhibited a wide range of biological functions, including antifungal, anti-inflammatory, insecticidal, and hepatoprotective activities [20]. However, the determination of their absolute configuration becomes quite challenging

due to the high degree of free rotation of the steric centers on the chain, with the side chains connected to the nuclei of isocoumarin derivatives [21]. In the present study, the structures and absolute configurations of polyketide derivatives isolated from *Phaeosphaeria* sp. LF5 were elucidated by means of extensive spectroscopic data, including one- and two-dimensional nuclear magnetic resonance (NMR) spectrometry, high-resolution electrospray ionization mass spectrometry (HR-ESI-MS) analyses, and calculations of electronic circular dichroism (ECD).

## 2. Materials and Methods

#### 2.1. General Experimental Procedures

Optical rotation values were determined on a JASCO P-1010 polarimeter (Jasco, Tokyo, Japan). UV spectra were recorded on a PerkinElmer Lambda 365 UV-Vis spectrophotometer (PerkinElmer, Hopkinton, MA, USA). High-resolution electrospray ionization mass spectrometry (HR-ESI-MS) data were measured on a Waters ACQUITY UPLC H-Class Q-TOF LC-MS spectrometer (Waters, Milford, MA, USA). High-performance liquid chromatography (HPLC) analysis was carried out on an ACQUITY UPLC H-Class System (quaternary solvent manager, sample manager, PDA detector, and ELS detector) using a YMC ODS ( $4.6 \times 250$  mm, 5  $\mu$ m, 1 mL/min) column. MPLC was performed on a PuriFlash450 (Interchim, Los Angeles, CA, USA) with a Flash C18 cartridge (50 µm, 40 g, YMC, Kyoto, Japan). Semipreparative HPLC was performed on a Waters 2535 Quaternary gradient module with a FlexInject, 2489 UV-VIS detector and Fraction Collector III (Waters, Milford, MA, USA). The NMR spectra were recorded on a Bruker Avance 400 MHz spectrometer using tetramethylsilane as the internal standard (Bruker, Ettlingen, Germany). Thin-layer chromatography (TLC) analyses were performed on glass precoated with silica gel GF254 glass plates. All reagents for the analysis were purchased from Xilong Scientific Co., Ltd. (Guangdong, China).

#### 2.2. Fungal Material

The endophytic fungus *Phaeosphaeria* sp. LF5 was isolated from the leaves of *H. serrata* at the Chinese Academy of Sciences' Lushan Botanical Garden in Jiangxi Province, China [12]. This strain was deposited in the culture collection of the Key Laboratory of Protection and Utilization of Subtropical Plant Resources of Jiangxi Province, Jiangxi Normal University.

#### 2.3. Fermentation and Extraction

The endophytic fungus LF5 was cultivated in 100 Erlenmeyer flasks (1000 mL); each flask contained 80 g of rice and 120 g of H<sub>2</sub>O to create solid rice medium. The flasks were then static incubated at 28  $^{\circ}$ C for 40 days.

After the mycelia entered the static growth state, the rice solid fermentation was taken out of the Erlenmeyer flask, dried at 45 °C to remove the water, crushed before adding 80% ethanol, and ultrasonically agitated for 1 h. The static precipitation was filtered, and the above steps were repeated four times to obtain an ethanol extract. The filtrate was removed with a rotary evaporator (35 °C, 160 rpm). The ethanol crude extract was placed in 2000 mL of water and transferred to a separatory funnel. In turn, petroleum ether (PE), ethyl acetate (EA), and water-saturated butanol were used for extraction four times and were concentrated in vacuo to yield the combined crude extracts, PE extract (16.2 g), EA extract (76 g), n-butanol extract (105 g), and water extract (450 g).

#### 2.4. Isolation and Purification

The EA extract (76.0 g) was dried and subjected to column chromatography on 200–300 mesh silica gel with different solvents of increasing polarity from PE to EA to MeOH to obtain eight fractions (Frs. 1–8) on the basis of TLC analysis.

Fraction 6 was purified by Sephadex LH-20 (GE Healthcare, Pittsburgh, PA, USA) (MeOH) to obtain three subfractions: Fr. 6.1–Fr. 6.3. Fraction 6.1 was further purified by semipreparative HPLC (CH<sub>3</sub>OH/H<sub>2</sub>O, 30:70, v/v) to yield **1** (12 mg,  $t_{\rm R}$  = 4.0 min),

**2** (9.5 mg,  $t_R = 2.9$  min), and **3** (7 mg,  $t_R = 7.0$  min). Fraction 8 was loaded onto a Sephadex LH-20 column and eluted with EA/CH<sub>3</sub>OH (8:2) to yield two subfractions: Fr. 8.1 and Fr. 8.2. Subfraction Fr. 8.2 was separated by semi-preparative RP-HPLC (CH<sub>3</sub>OH/H<sub>2</sub>O, 10:90) to generate **4** (6.8 mg,  $t_R = 11.0$  min) and **5** (4.0 mg,  $t_R = 8.0$  min). Fraction 3 was separated by Sephadex LH-20 using CH<sub>3</sub>OH as the eluting solvent and was then further purified via semipreparative HPLC CH<sub>3</sub>OH/H<sub>2</sub>O (30:70, v/v) to obtain compound **6** (2.9 mg,  $t_R = 6.0$  min). Fraction 7 was further purified using Sephadex LH-20 (EA/CH<sub>3</sub>OH, 90:10, v/v) to yield two subfractions: Frs. 7.1 and 7.2. Subfraction Fr. 7.1 was separated by semipreparative reversed-phase HPLC (RP-HPLC) (CH<sub>3</sub>OH-H<sub>2</sub>O, 5:95) to produce **7** (4.5 mg,  $t_R = 4.5$  min). Fraction 2 (PE/EA 7:3) was separated into three subfractions (Fr. 2.1–2.3) with Sephadex LH-20 using MeOH as a mobile phase. Subfraction Fr. 2.1 was further purified via semipreparative HPLC using CH<sub>3</sub>OH:H<sub>2</sub>O (30:70) as a mobile phase at a flow rate of 5 mL/min to yield **8** (10 mg,  $t_R = 36.0$  min). Subfraction Fr. 6.3 was separated by preparative HPLC (CH<sub>3</sub>OH/H<sub>2</sub>O, 30:70, v/v) to yield compounds **9** (8 mg,  $t_R = 17$  min), **10** (5 mg,  $t_R = 30.0$  min), and **11** (4 mg,  $t_R = 45.0$  min).

#### 2.5. Acetylcholinesterase Inhibitory Activity In Vitro Assay

The determination of the in vitro AChE inhibitory activity of the endophytic fungal extracts and compounds **1–11** was performed according to the spectrophotometry method developed by Ellman et al. [22] and modified by Ortiz et al. [23]. Rivastigmine and HupA, two known AChEIs, were used as positive controls. The assay was carried out in a 96-well microtiter plate reader. In brief, a preincubation solution of 250  $\mu$ L of phosphate buffer (200 mM, pH 7.7) that contained 15  $\mu$ L of purified compounds/HupA, 80  $\mu$ L of DTNB (3.96 mg of DTNB and 1.5 mg of sodium bicarbonate dissolved in 10 mL of phosphate buffer, pH 7.7), and 10  $\mu$ L of AChE was prepared. The mixture was incubated for 5 min at 25 °C. After preincubation, 15  $\mu$ L of the substrate AChI (10.85 mg in 5 mL of phosphate buffer) was added and incubated again for 5 min. The color developed was measured in a microwell plate reader at 412 nm (Molecular Devices, SpectraMax M2, San Jose, CA, USA). Percent inhibition was calculated through the following formula: (control absorbance–sample absorbance)/control absorbance × 100. The IC<sub>50</sub> values were the means ± SD of three determinations.

#### 2.6. ECD Calculations

In general, conformational analyses were performed by random searching in Sybyl-X 2.0 using the MMFF94S force field with an energy cut-off of 5 kcal/mol (Sybyl Software, version X 2.0, 2013) [24]. The results showed the five lowest-energy conformers for compounds 4, 7, 9, and 11. Subsequently, geometric optimizations and frequency analyses were implemented at the B3LYP-D3(BJ)/6-31G\* level in PCM MeOH using ORCA4.2.1 [25,26]. All conformers used for property calculations in this study were characterized as stable points on a potential energy surface with no imaginary frequencies. The excitation energies, oscillator strengths, and rotational strengths (velocity) of the first 60 excited states were calculated by the time-dependent density-functional theory (TD-DFT) at the PBE0/def2-TZVP level in MeOH. The ECD spectra were simulated by the overlapping Gaussian function (half the bandwidth at 1/e peak height, sigma = 0.30 for all) [27]. The Gibbs free energies for the conformers were determined by using thermal correction at the B3LYP-D3(BJ)/6-31G\*\* level, and electronic energies were evaluated at the wB97M-V/def2-TZVP level in PCM MeOH using ORCA4.2.1 [25,26]. To obtain the final spectra, we used the Boltzmann distribution theory and the conformers' relative Gibbs free energy ( $\Delta G$ ) to average the simulated spectra. The absolute configuration of the only chiral center was determined by comparing the experimental spectra to the calculated molecular models.

## 3. Results and Discussion

#### Structure Elucidation

Compound 4, a white powder soluble in methanol (MeOH), exhibited a pseudomolecular ion peak at *m*/*z* 187.0965 [M + H]<sup>+</sup> (calculated for C<sub>9</sub>H<sub>15</sub>O<sub>4</sub><sup>+</sup>: 187.0926) in the HR-ESI-MS spectrum, indicating a molecular formula of C<sub>9</sub>H<sub>14</sub>O<sub>4</sub>, and two degrees of unsaturation. The <sup>1</sup>H NMR data indicated two methyls at  $\delta_{\rm H}$  1.20 (3H, d, *J* = 6.1 Hz, H-10) and 1.39 (3H, d, *J* = 6.8 Hz, H-6); one olefinic methane at  $\delta_{\rm H}$  7.36 (1H, br s, H-4); and three oxymethines at  $\delta_{\rm H}$  3.58 (1H, m, H-9), 3.60 (1H, m, H-8), and 5.09 (1H, br q, *J* = 6.8 Hz, H-5). The <sup>13</sup>C-NMR spectra revealed one ester carbonyl ( $\delta_{\rm C}$  176.5), one olefinic methine ( $\delta_{\rm C}$  154.2), one nonprotonated sp<sup>2</sup> carbon ( $\delta_{\rm C}$  131.7), three oxygenated methines ( $\delta_{\rm C}$  71.6, 74.8, and 79.8), one methylene ( $\delta_{\rm C}$  29.6), and two methyls ( $\delta_{\rm C}$  18.9 and 19.1).

The HMBC spectrum showed correlations between H-10 ( $\delta_{\rm H}$  1.20)/C-8 ( $\delta_{\rm C}$  74.8) and H-10 ( $\delta_{\rm H}$  1.20)/C-9 ( $\delta_{\rm C}$  71.6), as well as H-9 ( $\delta_{\rm H}$  3.58)/C-7 ( $\delta_{\rm C}$  29.6), H-9 ( $\delta_{\rm H}$  3.58)/C-8, and H-9 ( $\delta_{\rm H}$  3.58)/C-10. C-8 was correlated with C-3, C-7, and C-9 (Figure 2). When combined with the peak shape analysis of H-10 ( $\delta_{\rm H}$  1.20, d, J = 6.1), C-9 was found to be connected to C-10, and C-8 was connected to C-9. Since C-8 and C-9 are methylene carbons and there is no nitrogen in the molecular formula, when combined with the chemical shift value, C-8 and C-9 were found to be connected to hydroxyl groups. H-7 is related to C-8, C-9, C-3, and C-4, and H-7 is methylene, but there were two groups of different H signals. Therefore, it can be inferred that one side of C-7 was connected to C-8. C-3 had the same characteristic signals as H-4, H-7, and H-8. The carbon shift signals of C-3 and C-4 were  $\delta_{\rm C}$  131.7 and  $\delta_{\rm C}$  154.2, respectively. HSQC indicated that C-4 was connected by protons, and it can be concluded that C-3 and C-4 were connected by a double bond and that C-4 was a quaternary carbon with two substitutions, one of which was connected with C-7. According to the HMBC cross-peak correlation of H-4/C-2 ( $\delta_C$  176.5), H-7/C-2, and C-3, we were able to infer that the side-chain fragment was attached to  $\alpha_{\beta}$ -unsaturated- $\gamma$ lactone. HSQC indicated that C-2 was not connected to a proton. When combined with the molecular formula, C-4 was found to be a carbonyl group, H-4 was related to C-5, H-5 was related to C-6, and H-4 was related to HMBC. It was concluded that C-5 was connected to C-4, C-6 was connected to C-5, and the chemical shift value of C-5 was  $\delta_{\rm C}$  79.8. When combined with the molecular formula, C-5 was also found to be connected to oxygen. Since the unsaturation degree of the compound was 2, the double bond between C-4 and C-3 occupied an unsaturation. An unsaturation remained, and there was no other double-bond carbon signal in the compound: hence, it is inferred that there was a cyclization system in which the chemical shift of C-2 was lower-field than that of the conventional carbonyl group. It was inferred that the other side of C-2 was connected to the oxygen and that C-5 and C-2 passed through the oxygen to form a lactone ring. The  $^{1}$ H (CD<sub>3</sub>OD, 400 MHz) and  $^{13}$ C-NMR (CD<sub>3</sub>OD, 100 MHz) data are listed in Table 1.



Figure 2. Signal assignments of compound 4 via <sup>13</sup>C and 2D NMR.

The <sup>13</sup>C chemical shift calculation was carried out at the B3LYP-D3(BJ)/6-31G<sup>\*\*</sup> level to obtain the accurate relative configuration of **4**. In addition, the absolute configurations of **4** (5*R*, 8*R*, and 9*S*) were established by comparing electronic circular dichroism (ECD) calculations at the PBE0/def2-TZVP level with the experimental one (Figure 3). In addition, high correlation coefficients ( $R^2$ ) between experimental and calculated chemical shifts were shown, with 0.9985 for **4** (Figure 4), indicating that the  $\delta_C$  of **4** matched the calculated  $\delta_C$  very well, which confirmed the framework of **4**. The structure of compound **4** was determined

to be (*R*)-5-((8*R*, 9*S*)-8, 9-dihydroxybutyl)-5-methylfuran-2(5H)-one, so compound **4** was named aspilactonol I, as shown in Figure 1 (See Supplementary material).

**Table 1.** The <sup>1</sup>H, <sup>13</sup>C, HMBC, and HSQC NMR data for compound 4 in CD<sub>3</sub>OD.

| No. | <sup>1</sup> H NMR                                    | <sup>13</sup> C NMR | НМВС           | HSQC       |
|-----|---|---------------------|----------------|------------|
| 2   | _   | 176.5 (s)           | H-4, H-7       | _          |
| 3   |   | 131.7 (s)           | H-4, H-7, H-8  | _          |
| 4   | 7.36 (1H, br s)                                       | 154.2 (d)           | H-5, H-6, H-7  | 7.36       |
| 5   | 5.09 (1H, br q, 6.8)                                  | 79.8 (d)            | H-4, H-6       | 5.09       |
| 6   | 1.39 (3H, d, 6.8)                                     | 19.1 (q)            | H-5            | 1.39       |
| 7   | 2.30 (1H, br dd, 15.2,<br>9.1); 2.59 (1H, br d, 15.2) | 29.6 (t)            | H-8, H-9       | 2.30, 2.59 |
| 8   | 3.60 (1H, m)  | 74.8 (d)            | H-7, H-9, H-10 | 3.60       |
| 9   | 3.58 (1H, m)  | 71.6 (d)            | H-8, H-10      | 3.58       |
| 10  | 1.20 (3H, d, 6.1)                                     | 18.9 (q)            | H-8, H-9       | 1.20       |
|     |   |                     |                |            |



**Figure 3.** Experimental ECD spectra (200–400 nm) of **4** in MeOH and the calculated ECD spectra of the model molecules of **4** at the PBE0/def2-TZVP level.



Figure 4. Calculated <sup>13</sup>C chemical shifts against the experimental data of 4.

Compound 7, a white powder soluble in MeOH, has the molecular formula of  $C_9H_{11}NO_3$  with four degrees of unsaturation from the protonated molecular ion at m/z182.0810  $[M + H]^+$  (calculated for  $C_9H_{12}NO_3^+$ , 182.0812), as evidenced by HR-ESI-MS; the combination of <sup>13</sup>C-NMR and <sup>1</sup>H-NMR spectra showed that the compound contained six low-field carbon signals, including four substituted low-field carbons, two unsubstituted aromatic carbons, one submethyl carbon, and two methyl carbons, including one methyl carbon directly connected to the aromatic ring. The compound is an alkaloid, as verified by the bismuth potassium iodide reaction. It is inferred that the compound contained a nitrogen-containing heterocyclic ring. According to the HMBC signal (Table 2), H-5  $(\delta_{\rm H}$  7.67) was correlated with C-6, C-3, and C-9, and H-3 was strongly correlated with C-2, C-5, and C-9. Furthermore, the HMBC-related signal intensity was weakly correlated. Combined with hydrogen spectrum signal splitting, C-3 and C-5 were interpositionally substituted, and H-3 and H-5 were weakly correlated with the C-9 signal intensity. H-5 was correlated with C-6 and C-10, and H-10 was strongly correlated with C-6, indicating that C-10 was linked to C-6, and the chemical shift of C-6 was lower than that of conventional aromatic carbonization. It is inferred that C-6 was linked to heteroatom N, resulting in a low chemical shift field, and H-3 and C-2 ( $\delta_{C}$  166.9) were detected. The correlation signal of H-7 with C-3 and C-8 and the correlation hydrogen spectrum signal of H-8 split (d) indicated that C-2 was connected with a hydroxyethyl, and the abnormal chemical shift of C-2 indicates that it was connected to N. After assignment of the related signals, it was found that C-4 ( $\delta_{\rm C}$  142.2) did not generate any related signals, and there were two oxygens in the molecular formula of the compound that had not been attributed. Thus, it was inferred that the compound contained carboxylic acid groups. Since the unsaturation degree of the compound was 4 and it was a nitrogen-containing alkaloid, the carboxylic acid groups should be connected with the pyridine ring (Figure 5). The position of C-9 was determined according to the signal correlation between H-3, H-5, and C-9, and the paraposition substitution of the carbonyl group and paraposition N led to the chemical shift of C-4 moving to the lower field: <sup>1</sup>H-NMR (400 MHz, CD<sub>3</sub>OD)  $\delta_{\rm H}$  7.89 (br s, 1H, H-3), 7.67 (br s, 1H, H-5), 4.89 (1H, overlapped, H-7), 2.59 (s, 3H, H-10) and 1.46 (d, J = 6.6, H-8); <sup>13</sup>C-NMR (100 MHz; CD<sub>3</sub>OD) δ<sub>C</sub> 168.4 (C-9), 166.9 (C-1), 159.5 (C-6), 142.2 (C-4), 122.6 (C-5), 117.5 (C-3), 71.1 (C-3), 24.4 (C-8), and 23.7 (C-10).

| No. | <sup>1</sup> H NMR    | <sup>13</sup> C NMR | НМВС      | HSQC(δ) |
|-----|-----------------------|---------------------|-----------|---------|
| 2   |                       | 166.9 (s)           | H-3, H-8  | _       |
| 3   | 7.89 (1H, br s)       | 117.5 (d)           | H-5       | 7.89    |
| 4   | _                     | 142.2 (s)           | _         | _       |
| 5   | 7.67 (1H, br s)       | 122.6 (d)           | H-3, H-10 | 7.67    |
| 6   | —                     | 159.5 (s)           | H-10, H-5 | —       |
| 7   | 4.89 (1H, overlapped) | 71.1 (d)            | H-8       | 4.89    |
| 8   | 1.46 (3H, d, 6.6)     | 24.4 (q)            | H-7       | 1.36    |
| 9   | —                     | 168.4 (s)           | H-3, H-5  | —       |
| 10  | 2.59 (3H, s)          | 23.7 (q)            | H-5       | 2.59    |

Table 2. The <sup>1</sup>H, <sup>13</sup>C, HMBC, and HSQC NMR data for compound 7 in CD<sub>3</sub>OD.



Figure 5. Signal assignment of compound 7 via  $^{13}\mathrm{C}$  and 2D NMR.

To confirm the stereochemical assignments of 7, we carried out the ECD calculation at the PBE0/def2-TZVP level. The experimental ECD spectrum of 7 exhibited a negative Cotton effect at 258 nm ( $\Delta \varepsilon -10.54$ ) and a positive Cotton effect at 286 nm ( $\Delta \varepsilon +7.76$ ), which displayed strong agreement with the calculated ECD curve of *S*-7 (Figure 6). Thus, the absolute configuration at the stereogenic center in 7 was (*TS*). The structure of compound 7 was determined to be 2-(1-hydroxyethyl)-6-methylisonicotinic acid.



**Figure 6.** Experimental ECD spectra (200–400 nm) of 7 in methanol and the calculated ECD spectra of the model molecules of 7 at the PBE0/def2-TZVP level.

Compound 9 was isolated as a light yellow powder, and the HR-ESI-MS data showed a molecule peak at m/z 253.0655 [M + H]<sup>+</sup> (calculated for C<sub>12</sub>H<sub>13</sub>O<sub>6</sub><sup>+</sup>, 253.0707), which indicated the molecular formula as  $C_{12}H_{12}O_6$  and 7 as the index of hydrogen deficiency; <sup>1</sup>H-NMR (400 MHz; DMSO- $d_6$ )  $\delta_H$  1.12–1.14 (d, J = 6 Hz, 3H, H-3'), 3.77–3.85 (m, J = 6.2Hz, 1H, H-2'), 3.97–4.00 (t, J = 5.6 Hz, 1H, H-3'), 3.75–3.77 (d, 1H, OH), 5.64–5.55 (d, 1H, OH), 6.34 (d, J = 1.2 Hz, 1H, H-5), 6.44 (d, J = 1.2 Hz, 1H, H-7), 6.61 (s, 1H, H-4), 10.85 (s, 1H, H-6), 11.00 (s, 1H, H-8); and <sup>13</sup>C-NMR (400 MHz; DMSO-*d*<sub>6</sub>) δ<sub>C</sub> 165.5(C-1), 165.3 (C-6), 162.5 (C-8), 157.6 (C-3), 139.2 (C-4a), 104.4 (C-4), 102.9 (C-5), 101.5 (C-7), 97.8 (C-8a), 74.4 (C-1'), 67.4 (C-2'), and 19.7 (C-3') (Figure 7). The <sup>1</sup>H-NMR showed that the compound contained one methyl group, two methoxymethyl groups, two alcohol hydroxyl groups, one phenolic hydroxyl group, one phenolic hydroxyl group forming an intramolecular hydrogen bond, and two double-bond protons. The  ${}^{13}$ C-NMR spectrum (DMSO- $d_6$ ) of the compound showed 12 carbon signals, including five oxygen-linked aromatic carbons, four oxygen-free aromatic carbons, two oxygen-linked methylene carbons, and one methyl carbon. The unsaturation of the compound was calculated as 6 according to the molecular formula of the compound, and it was inferred that the compound contained two amphioxic heterocyclic systems. H-7 ( $\delta$  6.33) was correlated with C-5 ( $\delta$  102.9), C-8a ( $\delta$  97.8), C-8 ( $\delta$  162.5), and C-6 ( $\delta$  165.3), and H-5 was correlated with C-4 ( $\delta$  104.4), C-6 ( $\delta$  165.3), and C-8a ( $\delta$  97.8). Among them, oxygen substitution existed in C-6 ( $\delta$  165.3), C-8 ( $\delta$  162.5), and C-1 ( $\delta$  165.54). According to a comparison of the hydrogen spectra of CD<sub>3</sub>OD, C-6 and C-8 are hydroxyl substitutions, and C-1 is the carbonyl group. The abnormal chemical shift of the C-8 hydroxyl group ( $\delta$  11.00) indicated that it was greatly shifted to the lower field under the influence of the neighboring carbonyl group. The low-field shift for C-3 ( $\delta$  157.6) and the HSQC signal suggested the existence of substitution. On the basis of a

combination with the HMBC and chemical shift characteristics of C-3 and C-1, we inferred that the two carbons were connected by oxygen, resulting in a large chemical shift to the low field (Table 3). On the basis of the above information, we inferred that the compound was a derivative of an isocoumarin skeleton. There was a correlation between C-4, C-3, and C-1, and it was inferred that C-3 had a branched chain substitution: H-1', C-2', C-3', and H-3' were correlated with C-1' and C-2'. A combination of the H spectrum splitting characteristics and hydrogen integral values of H-1, H-2, and H-3 (dd, dq, d peaks; 1H, 1H, 3H, respectively) and the chemical shifts of C-1', C-2', and C-3' (74.4, 67.4, and 19.7, respectively) determined that C-1' and C-2' were hydroxyl substituted and C-3' was a methyl, and the compound signal was assigned. The absolute configurations of compound **9** were established to be 1'R and 2'R by the ECD calculations (Figure 8). Finally, compound **9** was named 6,8-dihydroxy-3-(1'R, 2'R-dihydroxypropyl)-isocoumarin (Figure 1).



Figure 7. Signal assignment of compound 9 via <sup>13</sup>C and HMBC.

| No. | <sup>1</sup> HNMR | <sup>13</sup> C NMR | НМВС          | HSQC |
|-----|-------------------|---------------------|---------------|------|
| 1   | _                 | 165.54              | _             | _    |
| 3   | _                 | 157.6               | H-4           | _    |
| 4   | 6.61 (1H, s)      | 104.4               | —             | 6.61 |
| 4a  | —                 | 139.2               | —             | —    |
| 5   | 6.43 (1H, s)      | 102.9               | H-4, H-7      | 6.43 |
| 6   | 10.88 (OH, s)     | 165.3               | H-7           | —    |
| 7   | 6.33 (1H, s)      | 101.5               | _             | 6.33 |
| 8   | 11.00 (OH, s)     | 162.5               | H-7           | _    |
| 8a  | _                 | 97.8                | H-4, H-5, H-7 | _    |
| 1'  | 3.97 (1H, dd)     | 74.7                | H-4, H-3′     | 3.97 |
| 2′  | 3.80 (1H, dq)     | 67.4                | H-1′, H-3′    | 3.80 |
| 3'  | 1.12 (3H, d)      | 19.7                | H-1′          | 1.12 |

Table 3. The <sup>1</sup>H, <sup>13</sup>C, HMBC, and HSQC NMR data for compound 9 in DMSO-d6.

In addition to the new compounds described above, eight known compounds obtained in this study were identified as compounds **1–3**, **5–6**, **8**, and **10–11** by comparing their spectroscopic data to those reported in the literature. Details of NMR and MS data for compounds **1–11** were given in the Supplementary Materials.

Compound **1** was obtained as a colorless powder, and the HR-ESI-MS data showed a molecule peak at m/z 129.0552 [M + H]<sup>+</sup> (calculated for C<sub>6</sub>H<sub>9</sub>O<sub>3</sub><sup>+</sup>, 129.0546), which indicated a molecular formula of C<sub>6</sub>H<sub>8</sub>O<sub>3</sub> with three degrees of unsaturation. In examining the proton nuclear magnetic resonance (<sup>1</sup>H-NMR) data, we found signals for methyl protons  $\delta_{\rm H}$  1.41 (3H d, J = 6.8 Hz, H-CH<sub>3</sub>), one methylene proton  $\delta_{\rm H}$  4.28 (2H, d, J = 1.7 Hz), and two methines (two oxygenated sp<sup>3</sup> and one sp<sup>2</sup>):  $\delta_{\rm H}$  5.11–5.16 (1H, m, H-5) and  $\delta_{\rm H}$  7.42–7.47 (1H, m, H-4). The <sup>13</sup>C-NMR spectra revealed six carbon signals: one ester carbonyl ( $\delta_{\rm C}$  174.53), one olefinic methine ( $\delta_{\rm C}$  152.93), one nonprotonated sp<sup>2</sup> carbon ( $\delta_{\rm C}$  134.75), two oxygenated methylene ( $\delta_{\rm C}$  56.95), and methyls ( $\delta_{\rm C}$  19.02). The structure of **1** was determined as 3-(hydroxymethyl)-5-methylfuran-2(5H)-one [28], as shown in Figure 1.



**Figure 8.** Experimental ECD spectra (200–400 nm) of **9** in methanol and the calculated ECD spectra of the model molecules of **9** at the PBE0/def2-TZVP level.

Compound **2** was a white powder with the molecular formula ( $C_7H_8O_4$ ): HR-ESI-MS m/z 157.0493 [M + H]<sup>+</sup> (calculated for  $C_7H_9O_4^+$ , 157.0495); <sup>1</sup>H-NMR (400 MHz, CD<sub>3</sub>OD)  $\delta_H$  1.33 (d, J = 6.8 Hz, 3H, H-CH<sub>3</sub>), 5.05–5.09 (m, 1H, H-5), and 7.41–7.45 (m, 1H, H-4); and <sup>13</sup>C-NMR (100 MHz, CD<sub>3</sub>OD)  $\delta_C$  18.93 (C-CH<sub>3</sub>), 31.08 (C-2'), 80.00 (C-5), 127.99 (C-3), 155.38 (C-4), 173.10 (C-2), and 175.47 (C-1'). Thus, compound **2** was identified as [2-(5-methyl-2-oxo-2,5-dihydrofuran-3-yl)-acetic acid] by comparing NMR reference data [29]. Previously, compound **2** had only been obtained through chemical synthesis and was isolated as a natural product for the first time [29]. Thus, we named it aspilactonol G.

Compound **3** was a colorless oil with the molecular formula ( $C_8H_{10}O_4$ ): HR-ESI-MS (*m*/*z* 171.0654 [M + H]<sup>+</sup> (calculated for  $C_8H_{10}O_4^+$ , 171.0652)). <sup>1</sup>H-NMR data (400 MHz, CD<sub>3</sub>OD)  $\delta_H$  1.33 (d, *J* = 6.8 Hz, 3H, H-5), 5.05–5.09 (m, 2H, H-2), and 7.41–7.45 (m, 1H, H-4); and <sup>13</sup>C-NMR (100 MHz, CD<sub>3</sub>OD)  $\delta_C$  18.92, 30.09, 52.68, 80.05, 127.55, 155.58, 171.64, and 175.25. Thus, compound **3** was identified as [methyl-2-(5-methyl-2-oxo-2,5-dihydrofuran -3-yl)-acetate] by comparing NMR reference data [29]. Compound **3** was also obtained as a natural product for the first time [29]; thus, we named it aspilactonol H.

Compound **5** yielded the following data: HR-ESI-MS *m*/*z* 131.0663 [M + H]<sup>+</sup> (calculated for C<sub>6</sub>H<sub>11</sub>O<sub>3</sub><sup>+</sup>, 131.0703); <sup>1</sup>H-NMR (400 MHz, CD<sub>3</sub>OD)  $\delta_{\rm H}$  2.11 (d, 3H, C-6), 2.30–2.34 (t, 2H, H-4), 3.67–3.70 (t, 2H, H-5), and 5.76 (s, 1H, H-2); and <sup>13</sup>C-NMR (400 MHz, CD<sub>3</sub>OD)  $\delta_{\rm C}$  18.5, 44.6, 60.9, 120.4, 152.7, and 172.1. Compound **5** is E- $\Delta^2$ -anhydromevalonic acid [30].

Compound **6** yielded the following data: HR-ESI-MS *m*/*z* 113.0604 [M + H]<sup>+</sup> (calculated for C<sub>6</sub>H<sub>9</sub>O<sub>2</sub><sup>+</sup>, 113.0597); 1H-NMR (400 MHz, CD<sub>3</sub>OD),  $\delta_{\rm H}$  5.79 (1H, q, *J* = 1.5 Hz, H-3), 4.38 (2H, t, *J* = 6.0 Hz, H-6), 2.41 (2H, br.t, *J* = 6.0 Hz, H-5), and 2.02 (3H, s, H-7); and <sup>13</sup>C-NMR (100 MHz, CD<sub>3</sub>OD)  $\delta_{\rm C}$  22.6, 28.8, 65.6, 116.2, 158.0, and 164.4. Compound **6** is 4-methyl-5,6-dihydropyren-2-one [31].

Compound **8** was a white amorphous powder. The molecular formula,  $C_{10}H_{10}O_4$ , was determined by HR-ESI-MS 195.0651 *m/z* [M + H]<sup>+</sup> (calculated for  $C_{10}H_{11}O_4^+$ , 195.0652) and <sup>13</sup>C-NMR data, corresponding to six degrees of unsaturation; <sup>1</sup>H-NMR (400 MHz, CD<sub>3</sub>OD)  $\delta_H$  6. 21 (1H, s, H-5), 6.20 (1H, s, H-7), 4.63–4.67 (1H, m, H-3), 2.92 (1H, dd, *J* = 16.4, 3.6 Hz, H-4a), 2.82 (H, dd, *J* = 16.4, 11.2 Hz, H-4b), and 1.45 (3H, d, *J* = 6.3 Hz, H-9); and <sup>13</sup>C-NMR (CD<sub>3</sub>OD, 100 MHz)  $\delta_C$  170.30 (C-1), 164.99 (C-8), 164.23 (C-6), 142.08 (C-4a), 106.53

(C-5), 100.82 (C-8a), 100.0 (C-7), 75.77 (C-3), 34.13 (C-4), and 19.44 (C-9). Compound **8** was identified as (*R*)-6-hydroxymellein [32].

Compound **10**,  $C_{11}H_{10}O_4$ , was a yellow powder: HR-ESI-MS *m/z* 207.0651 [M + H]<sup>+</sup> (calculated for  $C_{11}H_{11}O_4^+$ , 207.0652); <sup>1</sup>H-NMR (400 MHz, CD<sub>3</sub>OD,  $\delta$ , ppm)  $\delta_H$  6.39 (<sup>1</sup>H, d, *J* = 1.5 Hz, H-7), 6.29 (1H, d, *J* = 1.5 Hz, H-5), 6.24 (1H, s, H-4), 3.78 (3H, s, H-10), and 2.10 (3H, s, H-9); and <sup>13</sup>C-NMR (100 MHz, CD<sub>3</sub>OD)  $\delta_C$  165.1 (C, C-1), 163.6 123 (C, C-8), 158.3 (C, C-6), 155.0 (C, C-3), 142.2 (C, C-4a), 103.5 (CH, C-4), 102.9 (CH, C-5), 100.4 (C, C-8a), 99.2 (CH, C-7), 56.8 (CH<sub>3</sub>, C-10), and 19.4 (CH<sub>3</sub>, C-9). Compound **10** is 6-hydroxy-8-methoxy-3-methylisocoumarin [33].

Compound **11**,  $C_{12}H_{12}O_5$ , was a yellow powder: ESI-MS *m*/*z* 237.0765 [M + H]<sup>+</sup> (calculated for  $C_{12}H_{13}O_5^+$ , 237.0757); <sup>1</sup>H-NMR (400 MHz, CD<sub>3</sub>OD,  $\delta$ , ppm),  $\delta_H$  6.40 (2H, d, *J* = 2.1, H-5, 7), 6.37 (1H, d, *J* = 2.1, H-4), 4.69 (1H, m, H-2'), 2.59 (2H, m, H-1'), and 1.26 (3H, d, *J* = 6.2, H-3'); and <sup>13</sup>C-NMR (100 MHz, CD<sub>3</sub>OD,  $\delta$ , ppm),  $\delta_C$  167.8 (C, C-1), 167.3 (C, C-8), 164.8 (C, C-6), 156.2 (C, C-3), 141.3 (CH, C-4), 107.0 (C, C-7), 103.7 (C, C-10), 102.6 (CH, C-9), 99.8 (CH, C-5), 66.2 (CH, C-2'), 43.8 (CH<sub>2</sub>, C-1'), and 23.3 (CH<sub>3</sub>, C-3'). The absolute configuration of compound **11** was established to be 2'*R* by the ECD calculations (Figure 9). Thus, compound **11** was identified as de-*O*-methyldiaporthin by comparing NMR reference data [34,35].



**Figure 9.** Experimental ECD spectra (200–400 nm) of **11** in methanol and the calculated ECD spectra of the model molecules of 11 at the PBE0/def2-TZVP level.

The biosynthesis of the isolated compounds **1–4** and **8–11** was proposed as shown in Schemes 1 and 2, respectively. Furan ring groups are abundant in natural products and play important roles in the pharmacophore of bioactive substances. Furanone and its derivatives have been shown to inhibit the formation of bacterial biofilms; interfere with bacterial population effects; and have analgesic, anti-inflammatory, anticancer, anticonvulsive, antibacterial, antifungal, antioxidation, and other activities. Most of the furanone compounds are synthesized by a single polyketone pathway, although chain fusion of furanone has also been reported in recent years [19,36]. In this study, compounds **4** and **9** possessed o-diol side chains. Different carbon skeletons with the same o-diol side chains suggested the presence of specific hydroxylating enzymes. A plausible biosynthetic pathway for compounds **1–4** is proposed (Scheme 1). Furanone **1–4** are derivatives of  $\alpha$ , $\beta$ -unsaturated  $\gamma$ -lactone. Their synthesis begins with the condensation of five molecules of acetyl-CoA

to form the intermediate **a**, which is reduced to generate the critical intermediate **b**, and forms the intermediate **c** under the action of cyclase. Then, **c** undergoes ring-opening and oxidation to generate the intermediate **d**, which is dehydrated to produce compound **4**. Compound **2** is synthesized from **d** through an undefined pathway, then methylated to compound **3** and decarboxylated to compound **1** [19,36]. Compounds **8–11** are isocoumarin derivatives (Scheme 2), whose biosynthesis by the polyketone synthesis pathway begins with acetyl-CoA. Isocoumarin derivatives have been detected in both plants and microorganisms. The C-8 of isocoumarins does not have the oxidation found in plants, while the isocoumarins commonly found in microorganisms have oxidation at the C-8 position, which is considered to be the biological source of the two types of isocoumarin [37,38].



Scheme 1. Proposed biosynthetic pathways for furanone compounds (1-4).

The AChE inhibitory activities of the crude extracts were evaluated using Ellman's method, with Rivastigmine and Hup A as the control groups [22,39]. Ethyl acetate, with an inhibition effect value of 82.68%, exhibited better inhibition against AChE than did either petroleum ether extract (47.23%) or buthanol extract (15.82%) (Table S1). In addition, all of the compounds were investigated for their anti-AChE activities. Compounds **1–3** and **5–10** exhibited no inhibitory activity against AChE. Compounds **4** and **11** displayed moderate inhibitory effect on AChE activities with IC<sub>50</sub> values of 6.26 and 21.18  $\mu$ M, respectively (Table 4).



Scheme 2. Proposed biosynthetic pathways for isocoumarin compounds (8–11).

| Table 4. Acetylcholinesterase inhibitory a | activities of the secondary i | metabolites of <i>Phaeosphaeria</i> sp. |
|--|-------------------------------|---|
| LF5, expressed as $IC_{50}$ values.        |                               |   |

| Compound     | IC <sub>50</sub> (µM) <sup>a</sup> | Compounds | IC <sub>50</sub> (µM) <sup>a</sup> |
|--------------|------------------------------------|-----------|------------------------------------|
| 1            | >100                               | 6         | >100                               |
| 2            | >100                               | 7         | >100                               |
| 3            | >100                               | 8         | >100                               |
| 4            | $6.26\pm0.15$                      | 9         | >100                               |
| 5            | >100                               | 10        | >100                               |
| Rivastigmine | $1.82\pm0.13$                      | 11        | $21.18 \pm 1.53$                   |
| Hup-A        | $0.045\pm0.01$                     |           |                                    |

<sup>a</sup> Expressed as the mean  $\pm$  SD of three parallel measurements (*p* < 0.05).

Structurally, almost all of the furanone compounds in this study contained an  $\alpha$ , $\beta$ unsaturated carboxylic acid lactone moiety, which might be the key functional group for their biological activity. De-O-methyldiaporthin (**11**) was first reported in 1988 [34,35]. It can be used as a microbial herbicide due to its very strong phytotoxic activity [40]. AChEIs are drugs that can be used clinically to treat or alleviate symptoms of AD. They are primarily associated with the direction and efficacy of AD drug development based on the cholinergic injury hypothesis. Thus far, two generations of five AChEI drugs (Tacrine, Donepezil, Rivastigmine, Galantamine, and HupA) have been successfully developed and have become the first choice for clinical treatment or mitigation of AD [10]. Existing clinical AChEI drugs have limitations such as limited efficacy, significant toxicity, and drug resistance. In this study, furanone compound **4** and isocoumarin compound **11** were found to have the potential to inhibit AChE. To the best of our knowledge, furanone compounds were reported here for the first time for their AChE inhibitory activity. Their mechanisms of action and structure–activity relationships in inhibiting AChE require further study by inhibition kinetics analysis and molecular docking methods.

# 4. Conclusions

To summarize, 11 polyketide derivatives, which included three new compounds, aspilactonol I (4), 2-(1-hydroxyethyl)-6-methylisonicotinic acid (7), and 6, 8-dihydroxy-3-(1'R, 2'R-dihydroxypropyl)-isocoumarin (9), and two new natural-sources-derived aspilactonols (G, H) (2, 3) were isolated from an endophytic fungus *Phaeosphaeria* sp. LF5 of *H. serrata*. Their absolute configurations of three new compounds (4, 7, and 9) and known compound **11** were determined by ECD calculations. Furanone compound **4** and isocoumarin compound **11** exhibited potent AChE inhibitory activities. This study indicates that *Phaeosphaeria* sp. LF5 from *H. serrata* may contain various AChEI compounds, which is a potential resource pool for bioprospecting and isolating AChEIs. Furthermore, this research also provided a material basis for the development of new and efficient AChEI drugs.

Supplementary Materials: The following supporting information can be downloaded at https://www. mdpi.com/article/10.3390/jof8030232/s1. Table S1: Acetylcholinesterase inhibitory activities of the crude extracts, Figure S1: <sup>1</sup>H NMR spectrum of compound 1 in CD<sub>3</sub>OD, Figure S2: <sup>13</sup>C NMR spectrum of compound 1 in CD<sub>3</sub>OD, Figure S3: <sup>1</sup>H NMR spectrum of compound 2 in CD<sub>3</sub>OD, Figure S4: <sup>13</sup>C NMR spectrum of compound **2** in CD<sub>3</sub>OD, Figure S5: <sup>1</sup>H NMR spectrum of compound **3** in CD<sub>3</sub>OD, Figure S6: <sup>13</sup>C NMR spectrum of compound **3** in CD<sub>3</sub>OD, Figure S7: <sup>1</sup>H NMR spectrum of compound 4 in CD<sub>3</sub>OD, Figure S8: <sup>13</sup>C NMR spectrum of compound 4 in CD<sub>3</sub>OD, Figure S9:  $^{1}$ H- $^{1}$ H COSY spectrum of compound 4 in CD<sub>3</sub>OD, Figure S10: DEPT 90 spectrum of compound 4 in CD<sub>3</sub>OD, Figure S11: DEPT 135 spectrum of compound 4 in CD<sub>3</sub>OD, Figure S12: HSQC spectrum of compound 4 in CD<sub>3</sub>OD, Figure S13: HMBC spectrum of compound 4 in CD<sub>3</sub>OD, Figure S14: NOESY spectrum of compound 4 in CD<sub>3</sub>OD, Figure S15: HRESIMS spectrum of compound 4, Figure S16:  $^{1}$ H NMR spectrum of compound 5 in CD<sub>3</sub>OD, Figure S17:  $^{13}$ C NMR spectrum of compound 5 in CD<sub>3</sub>OD, Figure S18: <sup>1</sup>H NMR spectrum of compound 6 in CD<sub>3</sub>OD, Figure S19: <sup>13</sup>C NMR spectrum of compound 6 in CD<sub>3</sub>OD, Figure S20: <sup>1</sup>H NMR spectrum of compound 7 in CD<sub>3</sub>OD, Figure S21:  $^{13}$ C NMR spectrum of compound 7 in CD<sub>3</sub>OD, Figure S22:  $^{1}$ H- $^{1}$ H COSY spectrum of compound 7 in CD<sub>3</sub>OD, Figure S23: HSQC spectrum of compound 7 in CD<sub>3</sub>OD, Figure S24: HMBC spectrum of compound 7 in CD<sub>3</sub>OD, Figure S25: NOESY spectrum of compound 7 in CD<sub>3</sub>OD, Figure S26: HRESIMS spectrum of compound 7, Figure S27:  $^{1}$ H NMR spectrum of compound 8 in CD<sub>3</sub>OD, Figure S28: <sup>13</sup>C NMR spectrum of compound 8 in CD<sub>3</sub>OD, Figure S29: <sup>1</sup>H NMR spectrum of compound 9 in DMSO-d<sub>6</sub>, Figure S30: <sup>13</sup>C NMR spectrum of compound 9 in DMSO-d<sub>6</sub>, Figure S31: DEPT 90 spectrum of compound 9 in DMSO- $d_6$ , Figure S32: DEPT 135 spectrum of compound 9 in DMSO- $d_6$ , Figure S33: <sup>1</sup>H-<sup>1</sup>H COSY spectrum of compound 9 in DMSO-d<sub>6</sub>, Figure S34: HSQC spectrum of compound 9 in DMSO- $d_6$ , Figure S35: HMBC spectrum of compound 9 in DMSO- $d_6$ , Figure S36: NOESY spectrum of compound 9 in DMSO- $d_{6r}$  Figure S37: HRESIMS spectrum of compound 9, Figure S38: <sup>1</sup>H NMR spectrum of compound **10** in CD<sub>3</sub>OD, Figure S39: <sup>13</sup>C NMR spectrum of compound **10** in CD<sub>3</sub>OD, Figure S40: <sup>1</sup>H NMR spectrum of compound **11** in CD<sub>3</sub>OD, Figure S41: <sup>13</sup>C NMR spectrum of compound **11** in CD<sub>3</sub>OD.

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# Exploring the Activity of Fungal Phenalenone Derivatives as Potential CK2 Inhibitors Using Computational Methods

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**Abstract:** Cancer represents one of the most prevalent causes of global death. CK2 (casein kinase 2) activation boosted cancer proliferation and progression. Therefore, CK2 inhibition can have a crucial role in prohibiting cancer progression and enhancing apoptosis. Fungi have gained vast interest as a wealthy pool of anticancer metabolites that could particularly target various cancer progressionlinked signaling pathways. Phenalenones are a unique class of secondary metabolites that possess diverse bioactivities. In the current work, the CK2 inhibitory capacity of 33 fungal phenalenones was explored using computational studies. After evaluating the usefulness of the compounds as enzyme inhibitors by ADMET prediction, the compounds were prepared for molecular docking in the CK2- $\alpha$ 1 crystal structure (PDB: 7BU4). Molecular dynamic simulation was performed on the top two scoring compounds to evaluate their binding affinity and protein stability through a simulated physiological environment. Compound **19** had a superior binding affinity to the co-crystallized ligand (**Y49**). The improved affinity can be attributed to the fact that the aliphatic chain makes additional contact with Asp120 in a pocket distant from the active site.

Keywords: phenalenones; fungi; cancer; casein kinase; CK2 inhibitor; molecular docking

# 1. Introduction

Cancer is a complicated illness that is featured by uncontrolled cell proliferation and the development of tumors that may remarkably extend to the entire organ or other organs systemically [1]. It represents one of the most global deadly diseases, particularly in western countries. It was estimated that in 2020, ~ 9.9 million people have died due to cancer [2]. Its current treatment strategies include  $\gamma$ -radiation, chemotherapy, suicide gene therapy, or immunotherapy, which are fundamentally mediated by promoting apoptosis [3]. Chemotherapy is the most efficacious method for metastatic tumors treatment. However, the cancer cell's multidrug resistance, high cost, and untoward effects of these drugs represent the main holdbacks to the success of chemotherapeutic treatment [4]. Therefore, searching for sources of safe and effective treatment has become a crucial research area.

Casein kinase 2 (CK2) is one of the first discovered Ser/Thr kinases [5–7] that is involved in many cell processes from gene expression and protein synthesis to cell growth, proliferation, and differentiation [8]. The well-studied tetrameric form of this kinase is

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). composed of two catalytic alpha proteins,  $CK2\alpha$  and/or  $CK2\alpha'$ , that differ only in their C-termini [9–11], and two regulatory  $CK2\beta$  proteins that are responsible for substrate specificity [11–13]. It has minimal substrate specificity; therefore, it is able to phosphorylate a large number of proteins involved in multiple signal transduction pathways [14]. This enzyme is ubiquitously expressed [7] and constitutively active in cells; hence, its activity is not relied on extracellular stimuli [5,15,16]. Many reports have found that the overexpression of CK2 in many cancer types leads to inhibiting apoptosis and promoting cancer cell survival [5,8]. It is clear that its downregulation revered cancer progression and enhanced apoptosis [17], suggesting that CK2 can be considered a valuable target for anticancer agents [8,18].

Natural metabolites and their derivatives have long been established as a wealthy source for the discovery of novel anticancer drugs [19]. It was reported that ~5% of the anticancer drugs approved by the FDA are unmodified natural metabolites, and ~50% of the approved drugs are either chemically-modified natural metabolites or synthesized relied on natural metabolites structures [20].

It is noteworthy that different metabolites belonging to various classes, such as flavonoids, coumarins, anthraquinones, pyrazolotriazines, polyhalogenated benzimidazoles, and benzotriazoles, have been known as CK2 inhibitors [21]. Additionally, CIGB-300 and CX-4945 are CK2 inhibitors that are already in human trials as anticancer drugs. CX-4945 (Silmitasertib) has been designated by the FDA for treating cholangiocarcinoma, and many clinical investigations are ongoing with it versus different types of cancers [22]. Furthermore, CIGB-300 is under investigation for cervical cancers [23].

Fungi are eukaryotic micro-organisms that inhabit nearly all kinds of environments in nature, where they play a fundamental role in maintaining eco-balance [24–28]. Fungi have drawn immense research attention since their cultivation, isolation, characterization, and purification demonstrated the existence of a vast number of metabolites with unique and diversified chemical classes and bioactivities [29–34]. Many reports revealed the characterization of diverse classes of fungal metabolites with CK2 inhibitory potential [35–37].

Phenalenones are a unique class of secondary metabolites, having a fused three-ring system [38]. They are produced by higher plants and fungi [39]. They are known as phytoalexins, which are biosynthesized by the plant in response to an external threat, such as mechanical injury or pathogenic infections [40]. Fungal phenalenones have immense structural diversity, such as hetero- and homo-dimerization and high degrees of nitrogenation and oxygenation, as well as being complexed with metals, incorporating with additional carbon frameworks, or isoprene unit by the formation of either a linear ether (e.g., 8 and 12) or a trimethyl-hydrofuran (e.g., 3–7, 9–11, 13, and 14) moiety. It has been reported to possess various bioactivities, including antimicrobial, anti-plasmodial, anticancer, antidiabetic, antioxidant, and cytotoxic effects [40]. These metabolites exhibit cytotoxic capacities towards various cancer cell lines; however, there are limited or lacking studies that explore the mechanism of their anticancer properties. It is noteworthy that there is no available report on their CK2 inhibitory potential. The molecular docking-based virtual screening process, along with increasing the data about the structure of CK2 alone or in complex with various inhibitors, could become of particular relevance in the discovery of new lead compounds as CK2 inhibitors [41,42]. In continuation of our interest in exploring the bioactivities of fungal metabolites, the present work focuses on the in silico assessment of CK2 inhibitory capacity of 33 phenalenone derivatives reported from various fungal sources (Table 1, Figures 1 and 2).



Figure 1. Structures of phenalenone derivatives 1–20.



Figure 2. Structures of phenalenone derivatives 21–33.

Computer-aided drug design/discovery (CADD) are useful tools for screening and identifying drug-like molecules in silico and thereby reducing the number of compounds to be tested experimentally. Several software and programs are used to filter and generate a group of compounds based on specified criteria, predict their physicochemical properties, predict suitable targets, and evaluate the binding affinity of the compounds to the predicted targets. One of the drug design and discovery approaches is structure-based drug design (SBDD). This approach relies on the knowledge of the 3D structure of the targets of interest, and it includes two common methods: molecular docking and molecular dynamic simulation. Molecular docking evaluates how tight the compound binds the target, as determined by the predicted binding affinity, while molecular dynamic (MD) simulations assess the behavior of the ligand-protein complex in terms of binding interactions and 3D conformation in aqueous conditions to mimic the physiological environment [43]. Several CADD methods and tools are used for investigating the phenalenone derivatives.

Table 1. List of phenalenone derivatives and their fungal source.

| Compound Name   | Fungal Source                       | Ref. |
|---|-------------------------------------|------|
| Paecilomycone C (1)   | Paecilomyces gunnii                 | [44] |
| Paecilomycone A (2)   | Paecilomyces gunnii                 | [44] |
| Norherqueinone (3)  | Penicillium sp. G1071               | [45] |
| Peniciphenalenin B (4)  | Penicillium sp. ZZ901               | [46] |
| Bipolarol A (5)   | Lophiostoma bipolare BCC25910       | [47] |
| Bipolarol B (6)   | Lophiostoma bipolare BCC25910       | [47] |
| Peniciphenalenin H (7)  | Pleosporales sp. HDN1811400         | [48] |
| Peniciphenalenin G (8)  | Pleosporales sp. HDN1811400         | [48] |
| Bipolarol D (9)   | Lophiostoma bipolare BCC25910       | [47] |
| Peniciphenalenin E ( <b>10</b> )  | Penicillium sp. ZZ901               | [46] |
| Peniciphenalenin Ea (11)  | Penicillium sp. ZZ901               | [46] |
| Coniolactone (12)   | Chrysosporium lobatum TM-237-S5     | [49] |
| (R)-6-Hydroxy-3-methoxy-1,7,7,8-tetramethyl-<br>7,8-dihydro-5H-naphtho[1,2-b:5,4-b'c']difuran-5-one ( <b>13</b> )   | Trypethelium eluteriae              | [50] |
| (-)-Cereolactone (14)   | Penicillium herquei PSU-RSPG93      | [51] |
| FR-901235 (15)  | Auxarthron pseudauxarthron TTI-0363 | [52] |
| Auxarthrone A (16)  | Auxarthron pseudauxarthron TTI-0363 | [52] |
| 9-Demethyl FR-901235 (17)   | Talaromyces stipitatus              | [53] |
| Auxarthrone B (18)  | Auxarthron pseudauxarthron TTI-0363 | [52] |
| Aspergillussanone L (19)  | Aspergillus sp.                     | [54] |
| ( <i>S</i> )-2-(( <i>S</i> ,2 <i>E</i> ,6 <i>E</i> ,10 <i>Z</i> )-14,15-Dihydroxy-11-(hydroxymethyl)-<br>3,7,15-trimethylhexadeca-2,6,10-trien-1-yl)-2,4,6,9-<br>tetrahydroxy-5,7-dimethyl-1 <i>H</i> -phenalene-1,3(2 <i>H</i> )-dione ( <b>20</b> ) | Aspergillus sp.                     | [54] |
| Asperphenalenone B (21)   | Aspergillus sp. CPCC 400735         | [55] |
| Asperphenalenone E (22)   | Aspergillus sp. CPCC 400735         | [55] |
| Asperphenalenone C (23)   | Aspergillus sp. CPCC 400735         | [55] |
| Aspergillussanone K (24)  | Aspergillus sp.                     | [54] |
| Aspergillussanone A (25)  | Aspergillus sp. PSU-RSPG185         | [56] |
| Aspergillussanone B ( <b>26</b> )   | Aspergillus sp. PSU-RSPG185         | [56] |
| Aspergillussanone E (27)  | Aspergillus sp.                     | [54] |
| Aspergillussanone D (28)  | Aspergillus sp.                     | [54] |
| Aspergillussanone F (29)  | Aspergillus sp.                     | [54] |
| Aspergillussanone G (30)  | Aspergillus sp.                     | [54] |
| Aspergillussanone C (31)  | Aspergillus sp.                     | [54] |
| Asperphenalenone D (32)   | Aspergillus sp. CPCC 400735         | [55] |
| Aspergillussanone H (33)  | Aspergillus sp.                     | [54] |

# 2. Results and Discussion

#### 2.1. AI (Artificial Intelligence)-Based Target Prediction for Phenalenone Derivatives

Choosing the appropriate target to investigate the inhibitory potential of these phenalenone derivatives relied on performing ligand-based-in silico target prediction [38]. The prediction webserver, SuperPred was the tool of choice to perform ATC (anatomical-therapeutic chemical) code and predict the potential targets for the investigated phenalenones [57]. After analyzing the results of the predicted target proteins (for example, Cathepsin D, mineralocorticoid receptor, and thyroid hormone receptor- $\alpha$ ), the kinase CK2 $\alpha$  was selected for the study due to having a high percent of probability and model accuracy (Table 2). After selecting the target and proper crystal structure, the listed phenalenones were docked in the protein crystal structure, after which the docking method was validated by redocking the co-crystallized ligand. Prediction of ADMET properties of the listed metabolites in silico and MD (molecular-dynamic) simulation for the two metabolites with the highest docking scores were followed.

**Table 2.** Prediction of target probability and model accuracy for phenalenone derivatives againstCK2 using SuperPred target prediction webserver.

| Compounds | Probability * | Model Accuracy ** |
|-----------|---------------|-------------------|
| 1         | 61%           | 99%               |
| 2         | 65%           | 99%               |
| 3         | 89%           | 99%               |
| 4         | 88%           | 99%               |
| 5         | 83%           | 99%               |
| 6         | 88%           | 99%               |
| 7         | 83%           | 99%               |
| 8         | 50%           | 99%               |
| 9         | 85%           | 99%               |
| 10        | 94%           | 99%               |
| 11        | 94%           | 99%               |
| 12        | 91%           | 99%               |
| 13        | 92.9%         | 99%               |
| 14        | 94%           | 99%               |
| 15        | 75.7%         | 99%               |
| 16        | 75%           | 99%               |
| 17        | 76%           | 99%               |
| 18        | 76%           | 99%               |
| 19        | 75.7%         | 99%               |
| 20        | 75%           | 99%               |
| 21        | 79%           | 99%               |
| 22        | 75%           | 99%               |
| 23        | 79%           | 99%               |
| 24        | 80%           | 99%               |
| 25        | 76%           | 99%               |
| 26        | 76%           | 99%               |
| 27        | 82%           | 99%               |
| 28        | 82%           | 99%               |
| 29        | 81%           | 99%               |
| 30        | 86%           | 99%               |
| 31        | 83.39%        | 99.23%            |
| 32        | 83.6%         | 99%               |
| 33        | 88%           | 99%               |

\* The probability of the test compound binding to a specific target, as determined by the respective target machine learning model. \*\* The accuracy of the performance of the prediction model displaying the 10-fold cross-validation score of the respective logistic regression model, as the model performance varied between different targets.

#### 2.2. Ligands and Protein Preparation and Molecular Docking

Target identification filtered out > 100 phenalenone derivatives. These derivatives were prepared for docking, where their energy-minimized 3D structures were generated, and all possible ionization and tautomeric states were created.

For docking, the human CK2 $\alpha$ 1 crystal structure (PDB ID: 7BU4) was chosen for the study due to the structural similarity of the co-crystallized ligand (Y49) to the selected phenalenones. The Y49 (4-(6-aminocarbonyl-8-oxidanylidene-9-phenyl-7*H*-purin-2yl)benzoic acid) is made of three aromatic rings: a purine ring with two phenyl moieties attached to it, and polar groups present at the rings, with polar groups at different positions. The selected phenalenones have a nucleus made of three-fused rings substituted with multiple OH and carbonyl groups. Based on their structures, both the phenalenones and Y49 were expected to have a similar 3D conformation in the binding pocket. The PDB file of the 7BU4 crystal structure was downloaded from the protein data bank (PDB) [58], which was then prepared and minimized using Schrodinger's Protein Preparation wizard [59–61].

The docking process started by generating a grid box around the binding site of the co-crystallized ligand to locate the pocket where the docking of the compounds occurs. A receptor-Grid-Generation tool in Maestro [62] was utilized for that purpose.

Re-docking of the co-crystallized ligand, 4-(6-aminocarbonyl-8-oxidanylidene-9-phenyl-7*H*-purin-2-yl)benzoic acid (PDB: **Y49**), was performed to evaluate the docking method. The re-docked reference had an identical pose (Figure 3C) and binding interactions to the co-crystallized structure (Figure 3A,B). For both, the backbone of Val116 is H-bonded with the oxygen of the amide moiety, and the purinone nitrogen interacts through the water bridge with Val116, Asn118, as well as with the amide oxygen. On the opposite side of the molecule, the carboxylate makes an ionic interaction with the side chain of the adjacent Lys68 (Figure 3A,B). The RMSD (root-mean-square deviation) of the re-docked ligand was minimal, with a value of 0.0744 Å, indicating the docking method is valid (Figure 3C). The molecular surface display in Figure 3D shows the re-docked reference **Y49** occupying the binding pocket of the crystal structure.

After docking validation, docking the 3D structures of the > 100 phenalenones that proceeded from the target prediction using the extra-precision (XP) mode was followed. The docking produced derivatives that are ranked based on their score and approximated the free energy of binding; the more negative the value, the stronger the binding. Different docking scores were generated, including the gscore (best for ranking different compounds), emodel (best for ranking conformers), and XP gscore. Glide uses emodel scoring to select the best poses of the docked compounds; then, it ranks the best poses based on the given gscores. XP gscore ranks the poses generated by the Glide XP mode. In general, Glide uses gscore to sort and rank the docked compounds. The 33 derivatives listed in Table 3 are the ones with gscores close to or better than the gscore of the reference **Y49** (-9.049 kcal/mol), with the top two compounds, **19** and **31**, scoring -12.878 and -12.521 kcal/mol, respectively.

Compound **19**, in addition to interacting directly with Val116 and Lys68 in the protein's binding pocket like the reference ligand, had a long chain that extended along the surface of the protein allowing the terminal (R,6*E*,10*E*,14*E*)-2,6,10,14-tetramethylhexadeca-6,10,14-triene-2,3-diol group to bind a distant binding pocket (Figure 4). Besides, compound **31** seems to have similar interactions with the protein; however, the tetrahydropyran at the end of the aliphatic chain did not occupy the distant pocket like **19** and remained exposed to the solvent (Figure 5). Figure 6 showed compounds **19** and **31** simultaneously superimposed on the reference **Y49** inside the binding pocket in the molecular surface display.



**Figure 3.** Re-docking of the co-crystallized ligand to validate the docking method. The figure showed the 2D view of the binding interactions of the reference ligand **Y49** complexed with  $CK2\alpha1$ ; (**A**) after minimization of the crystal structure 7BU4, and (**B**) after re-docking of ligand **Y49** into the  $CK2\alpha1$  crystal structure. (**C**) 3D structure of the re-docked **Y49** (pink) superimposed on the co-crystallized Y49 (green). (**D**) Molecular surface display with electrostatic potential color scheme for  $CK2\alpha1$  complexed with ligand **Y49** after re-docking.

| Compounds | Docking Score | Glide GScore | Glide Emodel | XP GScore |
|-----------|---------------|--------------|--------------|-----------|
| 19        | -12.181       | -12.878      | -84.318      | -12.878   |
| 31        | -10.976       | -12.521      | -100.255     | -12.521   |
| 20        | -10.654       | -12.303      | -102.849     | -12.303   |
| 23        | -10.798       | -11.495      | -92.818      | -11.495   |
| 26        | -8.802        | -10.538      | -88.527      | -10.538   |
| 33        | -9.828        | -10.526      | -80.067      | -10.526   |
| 22        | -9.712        | -10.409      | -85.63       | -10.409   |
| 27        | -9.706        | -10.403      | -81.743      | -10.403   |
| 24        | -8.859        | -10.403      | -96.868      | -10.403   |
| 25        | -9.541        | -10.366      | -72.182      | -10.366   |
| 3         | -9.191        | -10.232      | -62.622      | -10.232   |
| 17        | -8.52         | -10.194      | -55.199      | -10.194   |
| 28        | -9.435        | -10.132      | -72.289      | -10.132   |
| 21        | -8.479        | -10.128      | -91.905      | -10.128   |
| 12        | -9.559        | -9.935       | -51.879      | -9.935    |
| 29        | -9.129        | -9.825       | -76.553      | -9.825    |
| 1         | -7.669        | -9.8         | -56.073      | -9.8      |
| 2         | -9.343        | -9.753       | -55.206      | -9.753    |
| 32        | -9.008        | -9.704       | -56.471      | -9.704    |
| 30        | -8.983        | -9.679       | -75.988      | -9.679    |
| 15        | -9.103        | -9.624       | -50.939      | -9.624    |
| 14        | -9.331        | -9.492       | -49.907      | -9.492    |
| 11        | -9.331        | -9.492       | -49.907      | -9.492    |
| 18        | -8.956        | -9.485       | -60.341      | -9.485    |
| 4         | -9.265        | -9.341       | -61.825      | -9.341    |
| 10        | -9.167        | -9.328       | -50.165      | -9.328    |
| 9         | -9.23         | -9.31        | -51.968      | -9.31     |
| 13        | -9.136        | -9.216       | -52.397      | -9.216    |
| 7         | -9.162        | -9.162       | -50.334      | -9.162    |
| 6         | -9.082        | -9.154       | -63.817      | -9.154    |
| 5         | -9.147        | -9.147       | -52.055      | -9.147    |
| 16        | -8.656        | -9.106       | -56.773      | -9.106    |
| 8         | -9.067        | -9.068       | -51.613      | -9.068    |
| Y49_7BU4  | -9.049        | -9.049       | -93.921      | -9.049    |

Table 3. In silico docking results of phenalenone derivatives with CK2 $\alpha$ 1 (PDB: 7BU4).



**Figure 4.**  $CK2\alpha 1$  in complex with **19.** (**A**) Molecular surface display with electrostatic potential color scheme for  $CK2\alpha 1$ -**19** complex and the close-up view presented. (**B**) 3D presumed binding mode of **19** in the binding site of CK2 (PDB:7BU4). Compound **19** is displayed as green ball-and-sticks. The amino acids in the binding site are shown as grey sticks, and hydrogen bonds are represented in yellow dotted lines. (**C**) 2D depiction of the ligand-protein interactions.



**Figure 5.**  $CK2\alpha1$  in complex with **31**. (**A**) Molecular surface display with electrostatic potential color scheme for  $CK2\alpha1$ -**31** complex and the close-up view presented. (**B**) 3D presumed binding mode of **31** in the binding site of CK2 (PDB:7BU4). Compound **31** is displayed as green ball-and-sticks. The amino acids in the binding site are shown as grey sticks, and hydrogen bonds are represented in yellow dotted lines. (**C**) 2D depiction of the ligand-protein interactions.



**Figure 6.** Molecular display with electrostatic potential color scheme for CK2α1 (PDB: 7B4U) showing **19** (orange) and **31** (cyan) superimposed on **Y49** (green) inside the binding pocket.

# 2.3. In Silico ADMET Properties of Selected Ligands

The drug-likeness and ADMET properties of the processed compounds were predicted using Maestro's QikProp Schrodinger module in terms of absorption, distribution, metabolism, excretion, and toxicity, among others [63]. The module can quickly and reliably predict many physicochemical properties and other descriptors, such as the number of possible metabolites and number of reactive functional groups, in order to detect and filter compounds that can be problematic during the late stages of drug discovery and development. Therefore, it can eliminate unnecessary tests and experiments that will ultimately fail in clinical trials [64]. The ADMET prediction evaluates the usefulness of the examined compounds by describing and determining their drug-likeness, physicochemical properties, and expected toxicity profiles. Several descriptors were predicted for these derivatives, and most of the predicted values of ADMET descriptors fell within the recommended range. The predicted ADMET properties and descriptors are presented in Table 4.

| # RtvFG                   | (0-2)  | 0   | 0  | 0   | 2   | 3   | 1  | 2   | 2  | 1   | 0   | 0   | 0   | 0   | 0  | 1  | 1  | 1       | 1       | 0       | 0        | 1  | 0        | 1   | 1   | 0  |
|---------------------------|--|---|--|---|---|---|--|---|--|---|---|---|---|---|--|--|--|---------|---------|---------|----------|--|----------|---|---|--|
| CNS                       | (-2 inactive)<br>(+2 active)   | 2   | -2   | -2  | -2  | -2  | -2   | -2  | -2   |   | -1  | -1  | -2  | 0   | -1   | -2   | -2   | -2      | 2       | -2      | -2       | -2   | -2       | -2  | -2  | -2   |
| QPlogHERG                 | concern<br>below –5  | -3.892  | -3.919   | -3.602  | -4.155  | -3.898  | -3.946   | -3.808  | -4.595   | -3.585  | -3.516  | -3.517  | -4.506  | -3.613  | -3.511   | -4.202   | -3.596   | -3.804  | -3.46   | -3.532  | -6.435   | -4.482   | -6.255   | -4.482  | -6.561  | -5.556   |
| %Human Oral<br>Absorption | (<25% poor;<br>>80% high)  | 65.408  | 67.526   | 71.209  | 67.011  | 81.224  | 85.666   | 69.494  | 72.456   | 91.237  | 86.825  | 87.024  | 85.236  | 100   | 87.031   | 71.138   | 76.489   | 60.477  | 72.711  | 57.778  | 41.015   | 28.338   | 49.169   | 28.338  | 50.421  | 70.591   |
| QPlogBB                   | (-3-1.2)   | -1.577  | -1.552   | -1.509  | -1.618  | -1.134  | -1.041   | -1.567  | -1.884   | -0.728  | -0.796  | -0.795  | -1.29   | -0.374  | -0.794   | -1.74  | -1.417   | -1.977  | -1.588  | -2.174  | -4.262   | -4.35  | -4.46    | -4.351  | -4.061  | -2.485   |
| # Metab                   | (1-8)  | 9   | 6  | 5   | ю   | ю   | 4  | 4   | ß  | e   | e   | ю   | 7   | ю   | ю  | 9  | 9  | 9       | 7       | 17      | 17       | 16   | 17       | 16  | 17  | 13   |
| QPlogKhsa                 | (-1.5-1.5)   | -0.357  | -0.273   | 0.106   | -0.273  | 0.109   | 0.158  | -0.062  | 0.041  | 0.255   | 0.26  | 0.273   | 0.269   | 0.268   | 0.274  | -0.308   | -0.246   | -0.224  | -0.312  | 0.981   | 0.907    | 0.735  | 0.918    | 0.735   | 1.288   | 0.997  |
| QPlogS                    | (-6.5-0.5)   | -2.456  | -2.549   | -3.554  | -3.62   | -3.9  | -3.979   | -3.29   | -4.084   | -3.836  | -3.724  | -3.753  | -4.111  | 3.848   | -3.75  | -2.834   | -2.591   | -2.687  | -2.299  | -4.622  | -7.89    | -7.68  | -7.677   | -7.68   | -8.908  | -6.976   |
| QPlogPo/w                 | (-2-6.5)   | 0.637   | 0.9  | 1.679   | 0.76  | 2.037   | 2.266  | 1.41  | 2.161  | 2.59  | 2.366   | 2.398   | 2.634   | 3.022   | 2.399  | 1.573  | 1.845  | 0.984   | 1.673   | 5.322   | 5.066    | 5.31   | 4.911    | 5.31  | 6.114   | 5.255  |
| AccptHB                   | (2.0–20.0)   | 5   | 4.75   | 5.75  | 10  | 9   | 5.7  | 5.95  | 5.95   | 4.5   | 3.75  | 3.75  | 3.75  | 3.75  | 3.75   | 9  | 9  | 9       | 9       | 7.45    | 9.15     | 9.45   | 9.15     | 9.45  | 9.45  | 7.45   |
| DonorHB                   | (90)   | 4   | Э  | 2   | 3   | 1   | 2  |   | 7  | -   |   | 1   | -   | 0   | -  | 0  | 0  | 1       | 0       | 4       | 5        | 5  | 5        | 5   | 4   | ŝ  |
| SASA                      | (300–1000)   | 482.741   | 481.857  | 543.911   | 616.737   | 561.827   | 569.109  | 534.495   | 596.584  | 528.447   | 498.414   | 500.081   | 548.255   | 523.476   | 499.932  | 566.499  | 553.682  | 518.961 | 546.847 | 789.214 | 1043.134 | 1026.611   | 1030.596 | 1026.616  | 1096.494  | 889.502  |
| Dipole                    | (1-12.50)  | 2.269   | 7.03   | 4.081   | 9.43  | 9.298   | 3.309  | 10.77   | 12.714   | 7.925   | 5.524   | 5.616   | 9.368   | 7.36  | 5.617  | 13.714   | 9.462  | 15.038  | 10.248  | 9.484   | 9.975    | 8.459  | 9.879    | 8.459   | 12.335  | 14.173   |
| # Stars                   | (0.0–5.0)  | 0   | 0  | 0   | 0   | 0   | 0  | 0   | 1  | 0   | 0   | 0   | 0   | 0   | 0  | 1  | 0  | 1       | 0       | 2       | 7        | æ  | 7        | 8   | 8   | ŝ  |
| Mol_MW                    | (130–725)  | 287.271   | 288.256  | 358.347   | 404.373   | 342.348   | 344.363  | 328.321   | 364.782  | 330.337   | 300.31  | 300.31  | 300.31  | 314.337   | 300.31   | 344.32   | 358.347  | 330.293 | 358.347 | 594.744 | 610.743  | 624.727  | 610.743  | 624.727   | 652.78  | 540.652  |
| Compounds *               | Recommended<br>range   | 1   | 7  | 3   | 4   | 3   | 9  | 7   | æ  | 6   | 10  | 11  | 12  | 13  | 14   | 15   | 16   | 17      | 18      | 19      | 20       | 21   | 22       | 23  | 24  | 25   |
|                           | Compounds* Mol_MW #Stars Dipole SASA DonorHB AccptHB QPlogPo/w QPlogS QPlogKhsa #Metab QPlogBB <sup>%</sup> HumanOral QPlogHERG CNS #RtvFG | Compounds*         Mol_MW         # Stars         Dipole         SASA         DonorHB         AcoPtHB         QPlogPo/w         QPlogF         # Metab         QPlogBB         %HumanOral         Rporption         # MetFG         CNS         # MetFG         # Metab         QPlogHERG         CNS         # MetFG         # Metab         QPlogF         Metab         QPlogF         Metab         QPlogF         Metab         PlogF         Metab         PlogF         Metab         # Metab         QPlogF         Metab         Metab         QPlogF         Metab         Metab         Metab         QPlogF         Metab         Metab         Metab         QPlogF         Metab         Metab         QPlogF         Metab         Metab         Metab         QPlogF         Metab         Metab         Metab         Metab         Metab         Metab         QPlogF         Metab         Metab | Compounds*         MoL_MW         # Stars         Dipole         SAS         DonoHB         AccPHB         QPlogP(w         QPlogS         # Metab         QPlogBB         % Human Oral         PlogHERG         CNS         # RtvFG           Recommended $(130-725)$ $(0.0-5.0)$ $(1-12.50)$ $(0-6)$ $(2.0-20.0)$ $(-2-6.5)$ $(-5.5-0.5)$ $(-1.5-1.5)$ $(1-8)$ $(-3-1.2)$ $(-25\%)$ $(-2)$ $(-1.5-1.5)$ $(-3-1.2)$ $(-25\%)$ $(-2)$ <th>Compounds*         MoL_MW         # Stars         Dipole         SAS         DonrHB         AcoPHB         Apple         Palo         Pal</th> <th>Compounds*Mol_MW# StarsDipoleSASDonoHBAcopHIBAcopHIBApplyPupoleMetabPupoleMetabPupoleMetabPupoleMetaPoilionPuborptionPubbePuborptionPubbePubbePubbePubbePubbePubbePubbePubb</th> <th>Componds*Mol_MW# StarsDipoleSASDoroHBAcptHBAcptHBQPlogP(wQPlogF(wMetabQPlogF(wMetaPortionMetaPortionQPlogHEGCNS# MetaPortionRecommended<math>(130-725)</math><math>(05.0)</math><math>(1-12.50)</math><math>(30-100)</math><math>(0-6)</math><math>(2.0-20.0)</math><math>(-2-6.5)</math><math>(-1.5-1.5)</math><math>(1-8)</math><math>(-3-1.2)</math><math>(25\%)</math> poor;<math>(-2)</math> metaVersion<math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><math>(-2)</math><td< th=""><th>Componds*Mol_MW# StarsDipoleSASDorrHBAcpHHBAcpHHBApplyPlogMeta</th><th>Compounds*Mol_WW# StarsDipoleSASDoroHBAcptHBCptHBOptoPoloPoloCorrMuman OralPoloHECCNR MrRecommended<math>(130-72)</math><math>(0-5.0)</math><math>(1-125)</math><math>(30-100)</math><math>(0-6)</math><math>(2-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-</math></th><th>Compounds*Mol. 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$(-2)$ metaVersion $(-2)$ <td< th=""><th>Componds*Mol_MW# StarsDipoleSASDorrHBAcpHHBAcpHHBApplyPlogMeta</th><th>Compounds*Mol_WW# StarsDipoleSASDoroHBAcptHBCptHBOptoPoloPoloCorrMuman OralPoloHECCNR MrRecommended<math>(130-72)</math><math>(0-5.0)</math><math>(1-125)</math><math>(30-100)</math><math>(0-6)</math><math>(2-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-5)</math><math>(-5-6-</math></th><th>Compounds*Mol. 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Table 4. Predicted in silico ADMET properties of the phenalenone derivatives.

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| Compounds * | Mol_MW  | # Stars | Dipole     | SASA         | DonorHB      | AccptHB        | QPlogPo/w      | QPlogS        | QPlogKhsa       | # Metab      | QPlogBB       | %Human Oral<br>Absorption | QPlogHERG         | CNS            | # RtvFG        |
|-------------|---------|---------|------------|--------------|--------------|----------------|----------------|---------------|-----------------|--------------|---------------|---------------------------|-------------------|----------------|----------------|
| 26          | 540.652 | 2       | 7.928      | 919.785      | ю            | 7.45           | 5.282          | -7.502        | 1.064           | 13           | -2.834        | 67.029                    | -5.832            | -2             | 0              |
| 27          | 592.728 | 6       | 12.392     | 1005.377     | ю            | 7.45           | 6.358          | -9.167        | 1.54            | 17           | -2.88         | 73.816                    | -6.238            | -2             | 0              |
| 28          | 592.728 | 9       | 11.434     | 1011.989     | ю            | 7.45           | 6.379          | -9.284        | 1.561           | 17           | -2.954        | 73.171                    | -6.288            | -2             | 0              |
| 29          | 592.771 | 5       | 11.447     | 968.98       | ю            | 5.75           | 7.264          | -8.949        | 1.821           | 13           | -2.355        | 85.225                    | -5.777            | -2             | 0              |
| 30          | 608.77  | 5       | 12.352     | 986.08       | 4            | 7.45           | 5.988          | -8.302        | 1.398           | 14           | -3.232        | 53.649                    | -5.838            | -2             | 0              |
| 31          | 624.727 | 4       | 11.95      | 974.752      | 4            | 11.15          | 4.249          | -7.089        | 0.71            | 14           | -3.346        | 53.716                    | -5.828            | -2             | 3              |
| 32          | 654.796 | 7       | 10.567     | 1030.237     | ю            | 10.85          | 5.564          | -7.91         | 1.034           | 14           | -3.042        | 68.666                    | -6.017            | -2             | 1              |
| 33          | 606.711 | 5       | 9.825      | 992.894      | з            | 8.75           | 5.513          | -8.33         | 1.235           | 15           | -3.349        | 62.369                    | -6.083            | -2             | 1              |
|             |         | * Recc  | mmended ra | mge: for 95% | of known dri | ugs; #Stars: # | of descriptors | that fall out | side the 95% ra | inge of same | values for kn | own drugs. Large          | e star number inc | licates less o | lrug-likeness, |

and vice versa; Dipole: computed dipole moment; SASA: Total solvent accessible surface area; DonorHB: estimated number H<sup>+</sup> to be donated in HB; AcceptHB: estimated number H<sup>+</sup> to be accepted in HB; AcceptHB: estimated number H<sup>+</sup> to be accepted in HB; QLogPo/w: predicted octanol/water partition coefficient; QPlogS: Predicted aqueous solubility; QPlogKhsa: Prediction of binding to human serum albumin; #Metab: number of possible metabolic reactions; QPlogBB: Predicted brain/blood partition coefficient; % Human Oral Absorption: Predicted human oral absorption on 0 to 100% scale; QPlogHERG: Predicted IC<sub>50</sub> value for blockage of HERG K<sup>+</sup> channels; CNS: Predicted central nervous system activity; #RtvFG: Number of reactive functional groups.

#### 2.4. Molecular Dynamic (MD) Simulation

The MD simulations are performed using Desmond software [65,66] to simulate the aqueous physiological environment and assess the changes in protein conformation and binding affinity during the simulation time compared to the original affinity and confirmation of the crystal structure [67]. Only the two top-scoring compounds from the docking study, i.e., compounds 19 and 31 along with reference Y49, were analyzed by MD. The root mean square deviation (RMSD) is a calculated value that compares the poses of investigated compounds to that of the co-crystalized ligand [43]. RMSD plots of the selected compounds complexed with the CK2 $\alpha$ 1 measure the average change in the positions of the atoms of the protein and ligand inside the binding pocket at the end of the simulation period (100 ns) compared to their starting positions before the simulation at 0 ns. The RMSD plot of Y49 showed that both the protein and the reference Y49 were stable, and the observed fluctuations were insignificant since they were within the acceptable range of 1–3 Å (Figure 7A). For compound **19**, the RMSD of the protein and **19** laid over each other, indicating increased binding affinity of **19** to the protein and stability of the CK2 $\alpha$ 1-**19** complex. Additionally, the fluctuation seen for both over the 100 ns was within the range as well (Figure 8A). A similar RMSD pattern was observed for 31 and CK2 $\alpha$ 1 complex, despite the sudden, non-significant fluctuation of **31** at around 90 ns, which is potentially a result of the compound adjusting its pose in the pocket (Figure 9A). When calculating the RMSD for the compounds, it is not uncommon to observe fluctuation in the plot for some time at the beginning of the simulation, as observed in Figure 7A, Figure 8A, and Figure 9A within the first 20 ns of the run. This expected fluctuation happens as the compound keeps adjusting its conformation inside the pocket to assume a pose that has the least free energy.

The secondary structure of the CK2 $\alpha$ 1 protein (PDB ID: 7BU4) was also evaluated throughout the simulation while it was complexed with each ligand. Figure 7B represented the protein evaluation while it was complexed with ligand **Y49**. The top plot showed the distribution of the SSE ( $\alpha$ -helices and  $\beta$ -sheets) with the protein represented by the residue index. The middle plot summarized the SSE composition for each trajectory frame throughout the simulation, while the bottom plot monitored each residue and its SSE assignment over the simulation time. Both plots indicated that the overall %SSE of the protein was maintained, and each SSE was stable over the course of the simulation. Comparable results were obtained when **19** (Figure 8B) and **31** (Figure 9B) were complexed with the protein.

The MD study also evaluated the binding interactions of a protein-ligand complex. For ligand Y49, the interactions between the Y49 and protein are presented in Figure 10A; the interaction types are color-coded. The stacked bar chart is normalized over the course of the trajectory: for example, a value of 0.8 suggested that the specific interaction was maintained during 80% of the simulation time. Values over 1.0 are possible and indicate that some protein residue may make multiple interactions of the same subtype with the ligand. As indicated in Figure 10A, Val116 made direct H-bonding as well as through water bridges with Y49 and had a normalized value of ~1.9. The value >1 represented the combined value of >1 type of interaction, and it indicated that these interactions were maintained for  $\sim$ 190% of the simulation time. The other key interactions were with Glu114, Asn118, Lys68, and Asp175, having values of ~0.9, ~0.7, ~1.1, and ~1.5, respectively. Figure 10B showed only the interactions between **Y49** and the protein that occurred  $\geq 30\%$  of the simulation time. Figure 10C displayed the total specific interactions between ligand Y49 and the protein (top plot), whilst the bottom panel demonstrated the protein residues that interacted with the ligand at each time point. As mentioned earlier, Val116 made > 1 interaction with the ligand, which was represented by the dark orange color in the plot throughout the trajectory. Other residues: Lys86, Glu114, and Asp175, also made specific interactions with the ligand.



**Figure 7.** (**A**) The RMSD plot was obtained for the reference **Y49** complexed with  $CK2\alpha1$  protein (PDB-ID:7BU4). The simulation time (100 ns) reaffirmed the stability of the complex with no significant changes in the protein structure. (**B**) Stability of the secondary structure of  $CK2\alpha1$  protein (PDB ID: 7BU4) was evaluated by monitoring its SSE distribution (top plot), SSE composition (middle plot), and SEE assignment (bottom plot) over the 100 ns of MD simulation when complexed with **Y49**.



**Figure 8.** (**A**) The RMSD plot was obtained for compound **19** complexed with  $CK2\alpha 1$  protein (PDB-ID:7BU4). The simulation time (100 ns) reaffirmed the stability of the complex with no significant changes in the protein structure. (**B**) Stability of the secondary structure of  $CK2\alpha 1$  protein (PDB ID: 7BU4) was evaluated by monitoring its SSE distribution (top plot), SSE composition (middle plot), and SEE assignment (bottom plot) over the 100 ns of MD simulation when complexed with **19**.



**Figure 9.** (**A**) Obtained RMSD plot for compound **31** complexed with CK2 $\alpha$ 1 protein (PDB-ID:7BU4). The simulation time (100 ns) reaffirmed the stability of the complex with no significant changes in the protein structure. (**B**) Stability of the secondary structure of CK2 $\alpha$ 1 protein (PDB ID: 7BU4) was evaluated by monitoring its SSE distribution (top plot), SSE composition (middle plot), and SEE assignment (bottom plot) over the 100 ns of MD simulation when complexed with **31**.

Figure 11 shows the amino acid residues of the protein binding pocket that interacted with compound **19**. The fused ring system of **19** made similar interactions with the pocket residues as **Y49**, where Val116 and Lys86 interacted through the H-bond with the ring system (Figure 11B). As previously seen in the molecular surface display (Figure 4A), the extended aliphatic chain occupied a distant pocket and created new interaction points between the two OH groups at the end of the chain and Asp120, where they occurred > 85% during the simulation (Figure 11B). This interaction was not present with **Y49** (Figure 3B,D). It might be safe to assume that the enhanced binding affinity and stability of the complex were due to this new interaction with Asp120, which can also be inferred from the RMSD plot (Figure 8A).

Compound 31 also created new interacting points with amino acids in the main binding pocket: the chiral OH of the fused ring system made a strong H-bonding with His160 and Asn161 through bridging water molecules with a value of ~1.18 and 0.6, respectively. An enhanced interaction with Arg47 was observed as well (~0.98) (Figure 12B). The tetrahydropyran ring at the end of the aliphatic chain of **31** also extended along the protein surface but did not occupy the distant pocket, as did compound 19 (Figure 5A). The reason for that might be the fact that the chain in compound **31** is 6-carbon shorter than that of **19**, so the group could not reach the second pocket. Another explanation could be the large size of the substituted tetrahydropyran hindered the group from occupying the pocket. Additionally, there is a high probability that the fluctuation observed in the RMSD of **31** towards the end of the simulation time (Figure 9A) might be a result of the inability of this group to bind to the second pocket. The L-RMSF (ligand-root-mean-square fluctuation) represents how the atoms of the ligand interact with the protein and the changes in the positions of the ligand atoms. As seen in the L-RMSF plot for compound 31 (Figure 13), the positions of atoms 29–45 were dramatically changed because of the free rotation around the aliphatic chain, which in turn decreased the interaction between this part of the molecule with the protein and was reflected by > 3 Å fluctuation in the RMSF plot. The time-depended representation of the  $CK2\alpha 1-31$  interactions showed that residues Arg47, His160, and Asp175 were the ones making specific interactions with the ligand, as indicated by the darker color in the plot (Figure 12C).



Figure 10. Cont.


**Figure 10.** (**A**) Stacked bar graph for CK2 interactions with reference **Y49** throughout the simulation. (**B**) Schematic diagram shows the detailed 2D atomic interactions of **Y49** with CK2 that occurred > 30% of the simulation time in the selected trajectory. (**C**) A timeline representation of CK2-**Y49** interactions presented in (**A**). The top panel presents the total number of specific interactions the protein made with the ligand over the course of the trajectory. The bottom panel presents the residues interacting with the ligand in each trajectory frame. The dark orange color indicates more than one specific interaction is made between some residues and the ligand.

It was also noticed that the reference ligand, **Y49**, as well as the compounds **19** and **31**, all interacted with Asn118 through H-bonding; however, the contact points are different. While the residue interacted with **Y49** at the purine carbonyl oxygen (Figure 10B), it acted as an H-bond donor to the OH at the end of the aliphatic chain of **19** as well as to the OH at the fused ring system of **19** and **31** (Figures 11B and 12B). The reason for the different contact points of Asn118 with the compounds was probably attributed to the 3D conformation of the compounds inside the binding pocket, which is affected by the nature of the substitutions on the nucleus. Each compound assumed a pose that had the lowest possible free energy when interacting with the pocket's residues. Therefore, that pose with the different substitutions from one compound to the other created the unique binding interactions with the pocket amino acids.



Figure 11. Cont.



**Figure 11.** (**A**) CK2 interactions with compound **19** throughout the simulation. (**B**) Schematic diagram shows the detailed 2D atomic interactions of **19** with CK2 that occurred > 30% of the simulation time in the selected trajectory. (**C**) A timeline representation of CK2-**19** interactions presented in (**A**). The top panel presents the total number of specific interactions the protein made with the ligand over the course of the trajectory. The bottom panel presents residues interacting with the ligand in each trajectory frame. The dark orange color indicates that more than one specific interaction is made between some residues and the ligand.



Figure 12. Cont.



**Figure 12.** (**A**) CK2 interactions with compound **31** throughout the simulation. (**B**) Schematic diagram shows the detailed 2D atomic interactions of **31** with CK2 that occurred > 30% of the simulation time in the selected trajectory. (**C**) A timeline representation of CK2-**31** interactions presented in (**A**). The top panel presents the total number of specific interactions the protein made with the ligand over the course of the trajectory. The bottom panel presents residues interacting with the ligand in each trajectory frame. The dark orange color indicates more than one specific interaction is made between some residues and the ligand.



**Figure 13.** Ligand RMSF shows the fluctuations of **31** broken down by atom as represented by the compound's 2D structure. It provides ideas on how ligand atoms interact with the protein and their entropic role in the binding event. The 'Fit Ligand on Protein' line presents the ligand fluctuations, with respect to the protein. The CK2 $\alpha$ 1-**31** complex was first aligned on the protein backbone, and then the ligand RMSF was measured on the atoms of the ligand.

# 3. Conclusions

CK2 was related to many human illnesses, not only cancer, but also multiple sclerosis, cardiac hypertrophy, neurodegenerative and inflammatory disorders, cystic fibrosis, and virus infections [68]. It is noteworthy that the CK2 role is best recognized and investigated in cancer, where CK2 is almost positively upregulated, resulting in tumor progression because of its role in regulating nearly all the essential processes for developing apoptosis suppression [5,69]. It was reported that cancer cells rely on CK2 high levels compared to normal cells, which supports that the CK2 inhibitors can have a crucial contribution to cancer therapy development [5]. Recently, several compounds have been discovered and optimized via rational drug design approaches. Various structure-based drug design (SBDD) tools have been utilized for CK2 drug discovery for predicting possible compound and target interactions and their affinity. Various classes of natural metabolites, such as anthraquinones, benzoimidazoles, coumarins, pyrazolotriazines, and flavonoids, are recognized as CK2 inhibitors [70,71]. Fungal phenalenones are a fascinating class of fungal metabolites with diverse bioactivities that could be lead metabolites for drug discovery. With the aid of computational methods, i.e., ADMET, docking, and MD simulation, compounds 19 and 31 were identified as promising drug-like phenalenone derivatives that have better binding interactions and protein stability in a simulated aqueous physiological environment. The current work highlights the usefulness of these metabolites as lead for anticancer discovery. One of the important issues that require attentiveness is that several mechanistic studies are directed to the in silico methods because they provide information that cannot be obtained by other models and are less time-consuming. However, in vivo and in vitro investigations are warranted to strengthen the findings of in silico studies and provide opportunities for observing other mechanisms of the anticancer potential of these metabolites.

# 4. Materials and Methods

## 4.1. Target Prediction

The webserver SuperPred is a knowledge-based method that uses machine learning models for ATC code and target prediction of investigated compounds [57]. The machine learning model uses logistic regression and Morgan fingerprints of length 2048. The drugs approved by the WHO are classified by a drug classification system that connects the drugs' chemical properties and therapeutic properties and indications, where each classification is given a code called an Anatomical Therapeutic Chemical (ATC) code. Therefore, if a drug has more than one therapeutic indication, it is given an ATC code for each indication. The WHO has 6300 approved drugs that are linked to over 600,000 targets. Based on the hypothesis that compounds that have similar physiochemical properties exhibit similar biological effects, the webserver translates a user-defined compound into a structural fingerprint and compares this fingerprint to that of the WHO-approved drugs. When similarity is found, the webserver predicts the ATC code, the possible therapeutic target(s), and the putative therapeutic indication(s) for that compound. In other words, if an investigated compound is structurally similar to an approved drug, the compound is predicted to have biological activity on all possible targets of that drug. After targets are predicted, a probability score and a model accuracy score are reported. The probability represents the chance that the investigated compound will bind to a specific predicted target. The model accuracy reflects the performance accuracy of the used machine-learning model when predicting that specific target for the compound since the model performance differs between targets [57,72]. The targets and ATC codes for a library of investigated compounds were predicted using the SuperPred tool. The compounds that did not have the common target(s) of interest were excluded from further analysis. The ones sharing the common target(s) were advanced for the docking and further studies.

#### 4.2. Preparation of PDB Structures

#### 4.2.1. Ligand Preparation

Phenalenone derivatives were processed and prepared for docking using Schrodinger's LigPrep tool [40]. The 2D structures were converted to 3D and energy-minimized using OPLS3 force-field. After adding hydrogens, all possible ionization states and tautomeric forms were created at pH of  $7.0 \pm 0.2$  by Epik; desalt option was also chosen. H-bonds were optimized by predicting the pKa of ionizable groups suing PROPKA [73].

## 4.2.2. Protein Preparation

CK2 crystal structure (PDB: 7BU4) was prepared using the Protein Preparation Wizard, added hydrogens to residues, changed covalent bonds to metal ions to zero-order, and created disulfide bonds. Water molecules > 5 Å from protein residues were deleted. Using Epik, the protonation state of residues was generated, and the formal charge on metal ions was adjusted. After removing the extra protein subunits of multi-subunit proteins and additional ligands, processing of the protein was refined by predicting the pKa of ionizable residues using PROPKA [73], and water molecules > 3 Å (not involved in water bridge) were removed. Finally, restrained minimization of the protein was applied using the OPLS4 force field.

#### 4.3. Grid Generation and Docking

A grid box was generated around the co-crystallized ligand **Y49** in the protein crystal structure (PDB: 7BU4) binding site using Glide's Receptor-Grid-Generation tool [62]. Inside this box is where the docking of the phenalenone compounds was performed. The non-polar atoms were set for the VdW radii scaling factor by 1.0, and the partial charge cut-off was 0.25. Docking was then performed by the Schrodinger suite "Ligand Docking" tool [62,74]. The selected docking protocol was standard precision (SP), and the ligand sampling method was flexible. All other settings were default. Re-docking of the co-

crystallized ligand (PDB: **Y49**) was performed to evaluate the docking method and docking of the investigated phenalenones followed.

### 4.4. ADMET Properties Prediction

The processed compounds were subjected to ADMET prediction using the QikPropmodule of the Schrodinger suite [63]. The descriptors: molecular weight (mol\_MW), drug-likeness (#Stars), dipole moment (dipole), total solvent accessible surface area (SASA), number of hydrogen bond donors and acceptors (donorHB and acceptHB), predicted octanol-water partitioning (QPlogPo/w), predicted aqueous solubility (QPlogS), estimated binding to human serum albumin (QPlogKhsa), number of the possible metabolites (# metab), predicted blood-brain partitioning (QPlogBB), percentage of human oral absorption, predicted IC<sub>50</sub> for inhibiting HERG-K<sup>+</sup> channels (QPogHERG), central nervous system activity (CNS), and number of reactive functional groups present (#rtvFG), were predicted for these derivatives. The predicted values are compared to the recommended range derived from values determined/observed for 95% of known drugs.

## 4.5. MD Simulation

MD simulations were performed using Desmond software in the Schrodinger suite [65,66]. The protein-ligand complexes of interest were retrieved from the docking results where the force field was OPLS4. The complexes were tuned through the "System-Builder" tool to generate the solvated system for simulation. The solvent model was set as TIP3P, the selected box shape was orthorhombic, and the box dimensions were 10 Å. Na ions were added to neutralize the system. The simulation parameters were set up in the Molecular Dynamic tool, where the protein-ligand complexes were evaluated at pH 7.0  $\pm$  0.2 over the 100 ns simulation time. The ensemble class was set as NPT in order to maintain the temperature and pressure constant during the run at 300 K and 1.01325 bar, respectively. After running the MD simulation, the generated results were analyzed.

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