

# Redox Active Molecules in Cancer Treatments

Edited by Višnja Stepanić and Marta Kučerová-Chlupáčová Printed Edition of the Special Issue Published in *Molecules* 



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# **Redox Active Molecules in Cancer Treatments**

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Editors Višnja Stepanić Marta Kučerová-Chlupáčová

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*Editors* Višnja Stepanić Laboratory for Machine Learning and Knowledge Representation Ruđer Bošković Institute Zagreb Croatia

Marta Kučerová-Chlupáčová Department of Pharmaceutical Chemistry and Pharmaceutical Analysis Charles University Hradec Králové Czech Republic

*Editorial Office* MDPI St. Alban-Anlage 66 4052 Basel, Switzerland

This is a reprint of articles from the Special Issue published online in the open access journal *Molecules* (ISSN 1420-3049) (available at: www.mdpi.com/journal/molecules/special\_issues/Redox\_Active\_Cancer).

For citation purposes, cite each article independently as indicated on the article page online and as indicated below:

LastName, A.A.; LastName, B.B.; LastName, C.C. Article Title. *Journal Name* Year, *Volume Number*, Page Range.

ISBN 978-3-0365-6731-0 (Hbk) ISBN 978-3-0365-6730-3 (PDF)

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### **About the Editors**

#### Višnja Stepanić

Višnja Stepanić, Ph.D. received her doctorate from the Faculty of Science, University of Zagreb, Croatia. She worked in the pharmaceutical industry at PLIVA and GSK and now works in the Machine Learning and Knowledge Representation Laboratory at the Rudjer Bošković Institute in Zagreb, Croatia. Dr. Stepanić has participated in numerous research projects mainly in the fields of medicinal chemistry and chemical biology. In her interdisciplinary collaborations, she focuses on the analysis of modes of action of compounds with mainly redox activities, using molecular modelling (DFT calculations, molecular docking, and dynamics) and big data analysis (pattern recognition, QSAR). She has been a member of several COST actions, scientific committees of several international scientific meetings, and international panels. She is a member of the Committee of the Medicinal and Pharmaceutical Chemistry Section of the Croatian Chemical Biology (EFMC).

#### Marta Kučerová-Chlupáčová

Dr. Marta Kučerová, Ph.D. obtained her master's degree in Pharmacy as well as her Ph.D. in Pharmaceutical Chemistry at Charles University, Faculty of Pharmacy in Hradec Králové (Czech Republic). She works as an assistant professor in the Department of Pharmaceutical Chemistry and Pharmaceutical Analysis of the Faculty of Pharmacy in Hradec Králové. She was employed in different research projects. She spent two research internships in the group of Prof. Dr. Michael Gütschow at the University of Bonn (Germany). In her research, she focuses on the synthesis of potential drugs, especially from the group of nitrogen-containing heterocycles, in silico drug design, and medicinal chemistry of antimicrobial and anticancer compounds.

She is a member of the State Examination Committee and the State Rigorosum Examination Committee for Pharmaceutical Chemistry at the faculty and a member of the committee of the Section of Synthetic Drugs in the Czech Pharmaceutical Society, which is an adhering organization of the European Federation for Medicinal chemistry and Chemical biology (EFMC). Marta Kučerová holds the function of the chair of the Editorial Committee of the Faculty of Pharmacy in Hradec Králové and is a member of the Board for Equal Opportunities at Charles University (Czech Republic).





# **Redox Active Molecules in Cancer Treatments**

Višnja Stepanić<sup>1,\*</sup> and Marta Kučerová-Chlupáčová<sup>2,\*</sup>

- <sup>1</sup> Laboratory for Machine Learning and Knowledge Representation, Ruder Bošković Institute, Bijenička 54, 10000 Zagreb, Croatia
- <sup>2</sup> Department of Pharmaceutical Chemistry and Pharmaceutical Analysis, Faculty of Pharmacy in Hradec Králové, Charles University, Ak. Heyrovského 1203/8, 500 05 Hradec Králové, Czech Republic
- <sup>4</sup> Correspondence: visnja.stepanic@irb.hr (V.S.); kucerom@faf.cuni.cz (M.K.-C.); Tel.: +385-1-457-1356 (V.S.); +420-495-067-372 (M.K.-C.)

Cancer is one of the leading causes of death worldwide, with nearly 10 million deaths in 2020 [1]. Redox active molecules in the diet, dietary supplements, or in approved drug preparations are used to prevent and treat cancer.

The main objective of this Special Issue, "Redox Active Molecules in Cancer Treatments", in the journal *Molecules* is to present the results of in vitro, in vivo, and/or in silico studies on the biological effects and activities of anti- and pro-oxidant molecules observed in original research studies or collected and discussed in review articles. This goal is achieved by compiling seventeen articles. They present antioxidative or targeted oxidative effects of miscellaneous small-molecular-weight compounds or proteins against a variety of cancer types:

- An endogenous compound—melatonin [2].
- Natural plant compounds (naringenin [3], papaverine [4], polyphenols isolated from *Myrciaria trunciflora* [5] or *Anneslea fragrans* [6], and seed-derived peptides [7]), natural compounds also found in animals (melatonin [2,8]), and peptides as well as proteins from Jellyfish venom [9].
- Synthetic compounds, i.e., alkyl thiols [10], dimethyl sulfoxide [11], metformin and S63845 [12], the ruthenium complex [Ru(Phen)<sub>3</sub>]<sup>2+</sup> [13], and copper-based compounds—Casiopeinas [14].
- Different formulations, i.e., peptide fractions from germinated soybeans conjugated to Fe<sub>3</sub>O<sub>4</sub> nanoparticles [15] and astaxanthin microparticles in combination with pentoxi-fylline [16].
- Proteins (aquaporins [17]) and nuclear factor erythroid-2-related factor 2 (NRF2) [8].

The studies explored diverse anticancer mechanisms of action of redox-active molecules in association with specific signaling pathways by using in vitro and in vivo methods. Some studies investigated the use of redox-active compounds to alleviate radiation-induced fibrosis, which is a side-effect of radiotherapy [16], or to detect oxygen in vitro and in vivo [13]. Most studies examined the effect of the tested compounds on cancer cell viability/proliferation assays [2–4,6,11,12] and/or analyses of reactive oxygen species concentrations [2,3,6,11,15,16]. Some other studies used in vitro assays such as cell cycle analyses [2–4,9], DNA fragmentation assays [3,9], analyses of the expression of apoptosis-related proteins and/or genes [9,11,12], etc. The two included studies are based on the application of state-of-the-art chemoinformatic analysis and modeling approaches—molecular docking and molecular dynamics [7,18].

The whole series of thirteen experimental investigations and one computational study is accompanied by three review articles focusing on aquaporins as redox regulators in breast cancer [17], natural compounds affecting ferroptosis [18], and modulation of NRF2 expression at the mRNA and protein levels [8].

We hope that readers will enjoy the book and glean interesting and useful information from the particular studies.

Citation: Stepanić, V.;

Kučerová-Chlupáčová, M. Redox Active Molecules in Cancer Treatments. *Molecules* **2023**, *28*, 1485. https://doi.org/10.3390/ molecules28031485

Received: 29 January 2023 Accepted: 31 January 2023 Published: 3 February 2023



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Acknowledgments: We would like to thank all of the authors who contributed to this Special Issue.

**Conflicts of Interest:** The authors declare no conflict of interest.

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### Article Melatonin Induces Autophagy via Reactive Oxygen Species-Mediated Endoplasmic Reticulum Stress Pathway in Colorectal Cancer Cells

Kian Chung Chok <sup>1</sup>, Rhun Yian Koh <sup>2</sup>, Ming Guan Ng <sup>1</sup>, Pei Ying Ng <sup>3</sup> and Soi Moi Chye <sup>2,\*</sup>

- <sup>1</sup> School of Health Science, International Medical University, Kuala Lumpur 57000, Malaysia; chok.kianchung@student.imu.edu.my (K.C.C.); NG.MINGGUAN@student.imu.edu.my (M.G.N.)
- <sup>2</sup> Division of Biomedical Science and Biotechnology, School of Health Science, International Medical University, Kuala Lumpur 57000, Malaysia; rhunyian\_koh@imu.edu.my
- <sup>3</sup> School of Postgraduate, International Medical University, Kuala Lumpur 57000, Malaysia; NG.PEIYING@student.imu.edu.my
- \* Correspondence: chye\_soimoi@imu.edu.my; Tel.: +60-327317220

**Abstract:** Even though an increasing number of anticancer treatments have been discovered, the mortality rates of colorectal cancer (CRC) have still been high in the past few years. It has been discovered that melatonin has pro-apoptotic properties and counteracts inflammation, proliferation, angiogenesis, cell invasion, and cell migration. In previous studies, melatonin has been shown to have an anticancer effect in multiple tumors, including CRC, but the underlying mechanisms of melatonin action on CRC have not been fully explored. Thus, in this study, we investigated the role of autophagy pathways in CRC cells treated with melatonin. In vitro CRC cell models, HT-29, SW48, and Caco-2, were treated with melatonin. CRC cell death, oxidative stress, and autophagic vacuoles formation were induced by melatonin in a dose-dependent manner. Several autophagy pathways were examined, including the endoplasmic reticulum (ER) stress, 5′–adenosine monophosphate-activated protein kinase (AMPK), phosphoinositide 3-kinase (PI3K), serine/threonine-specific protein kinase (Akt), and mammalian target of rapamycin (mTOR) signaling pathways. Our results showed that melatonin significantly induced autophagy via the ER stress pathway in CRC cells. In conclusion, melatonin demonstrated a potential as an anticancer drug for CRC.

**Keywords:** melatonin; autophagy; colorectal cancer cells; reactive oxygen species; endoplasmic reticulum stress

#### 1. Introduction

Colorectal cancer (CRC) ranks third in incidence and second in cause of cancer death worldwide, with more than 1.9 million new cases and 935,000 deaths in the year 2020 [1]. The number of deaths caused by CRC worldwide is predicted to increase to 2.5 million in the year 2035 [2]. The 5-year survival rate of CRC is around 90%, but it drops to around 10% for metastatic CRC [3]. The high mortality rates of CRC are due to the fact that 25% of CRC cases have metastases at the time of diagnosis and up to 50% of patients develop them after diagnosis [4].

Although new drugs against CRC have been developed in recent years, chemotherapy remains the mainstream of the first-line treatment [5]. Chemotherapy for CRC has undesirable side effects, which induce death of normal cells and reduce patients' quality of life [6,7]. Hence, drugs targeting CRC effectively and without normal cell toxicity are urgently required to improve the patients' quality of life. In previous studies, melatonin alleviated the undesirable side effects and enhanced the anticancer effects when used as an adjuvant therapy in existing anticancer treatment [8–10]. Studies proved that melatonin inhibits cell proliferation and induces apoptosis in breast cancer [11], induces anti-angiogenic effects in liver cancer [12], and inhibits invasion and migration in ovarian cancer [13]. Melatonin

Citation: Chok, K.C.; Koh, R.Y.; Ng, M.G.; Ng, P.Y.; Chye, S.M. Melatonin Induces Autophagy via Reactive Oxygen Species-Mediated Endoplasmic Reticulum Stress Pathway in Colorectal Cancer Cells. *Molecules* **2021**, *26*, 5038. https:// doi.org/10.3390/molecules26165038

Academic Editors: Višnja Stepanić, Marta Kučerová-Chlupáčová and Simona Rapposelli

Received: 16 March 2021 Accepted: 29 July 2021 Published: 20 August 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). has shown promising anticancer effects by anti-inflammation and antioxidation in cancer cells [9,14]. Our previous publication reported that melatonin prevented oxidative stressinduced mitochondrial dysfunction in high glucose-treated Schwann cells, proving the antioxidant properties of melatonin [15]. Melatonin also increases DNA repair capacity in oxidant-challenged cells by preventing the initiation and progression of cancer directly as a free radical scavenger and indirectly as an antioxidant enzyme regulator [16]. Combining antioxidant vitamins A and E with irinotecan can increase its cytotoxic effect. However, when melatonin was combined with irinotecan, no significant increment of cytotoxic effect was reported [17], although melatonin is a well-documented antioxidant, and it is also a conditional prooxidant, depending on the dose, cell types, and drug–drug interactions. Several studies reported melatonin induces reactive oxygen species (ROS) accumulation in cancer cell, which leads to cancer cell death [18,19]. Hence, our study investigated the oxidation properties of melatonin in CRC cells.

Autophagy plays a critical role in cancer and its function in cancer is controversial [20]. Although autophagy is a stress-related cell adaptation mechanism to avoid cell death, occasionally, it may be an alternative death pathway called autophagic cell death [21]. In HCT116 colon cancer cells and DU145 prostate cancer cells, endoplasmic reticulum (ER)-stress-induced autophagy removes polyubiquitinated protein aggregates and reduces cellular vacuolization [22]. A study of sphingolipid homeostasis revealed that the ER-stress-induced autophagy together with the Akt pathway activation in a protein kinase R (PKR)-like endoplasmic reticulum kinase (PERK)-dependent manner counteracts the ER-stress-induced apoptotic signaling [23]. In contrast, bis(dehydroxy)curcumin induces apoptosis-independent autophagic cell death in cancer [24]. Hence, the interplay between apoptosis and autophagy is tissue- and condition-dependent. A deeper look into the role of autophagy in CRC treated with melatonin is required to further support our previous work and the use of melatonin in anticancer treatment [14].

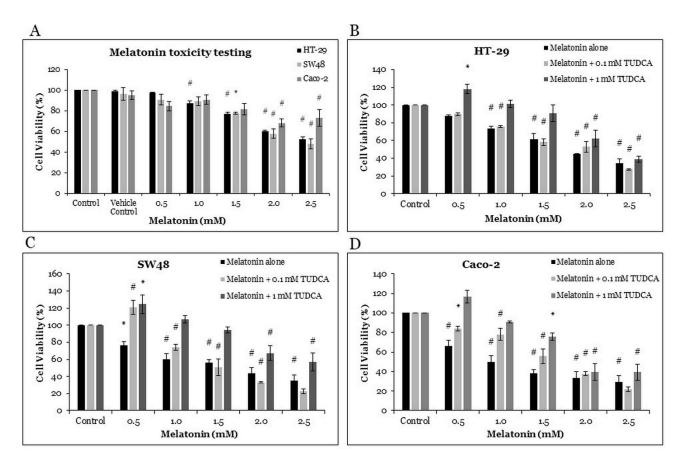
It would be premature to use melatonin to treat CRC because the mechanisms underlying its anticarcinogenic properties need to be investigated before melatonin is used as a standalone anticancer drug or as an adjuvant to other anticancer drugs. In the present work, we investigated the underlying anticancer mechanism of melatonin using HT-29, SW48, and Caco-2 CRC cells; the mechanism included oxidative stress, ER stress, AMPK, and PI3K/Akt/mTOR pathways.

#### 2. Results

## 2.1. The Effects of Melatonin and Tauroursodeoxycholic Acid (TUDCA) on Cell Viability of CRC Cells

The HT-29, SW48, and Caco-2 cells were treated for 72 h with various concentrations of melatonin (0.5, 1.0, 1.5, 2.0, and 2.5 mM) and with vehicle control (98% ethanol, as (melatonin was dissolved in ethanol to a final concentration of 0.5%). The cell viabilities of HT-29, SW48, and Caco-2 were quantified with 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay (Figure 1A). The vehicle control and 0.5 mM melatonin had little inhibitory effect on the viability of the HT-29 cells. Melatonin alone significantly reduced the viability of the HT-29 cells in a dose-dependent manner (by 87, 77, 60, and 53% with 1.0, 1.5, 2.0, and 2.5 mM melatonin treatment, respectively). It also significantly reduced the viability of the SW48 cells (by 78, 58, and 48% with 1.5, 2.0, and 2.5 mM melatonin, respectively) and of the Caco-2 cells (68 and 73% with 2.0, and 2.5 mM melatonin, respectively).

In order to understand the role of ER stress in cell death, TUDCA, an ER stress inhibitor, was used in this study [25]. Trypan blue cell viability assay was performed for the HT-29, SW48, and Caco-2 cells treated with varying melatonin concentrations (0.5, 1.0, 1.5, 2.0, and 2.5 mM), as well as with their combinations with 0.1 mM TUDCA and 1 mM TUDCA. Melatonin alone induced significant cell death in all CRC cell lines, whereas TUDCA reversed melatonin-induced cytotoxic effects on all CRC cell lines; the potency of cytotoxic effects being melatonin alone > melatonin with 0.1 mM TUDCA > melatonin with 1 mM TUDCA (Figure 1C,D).



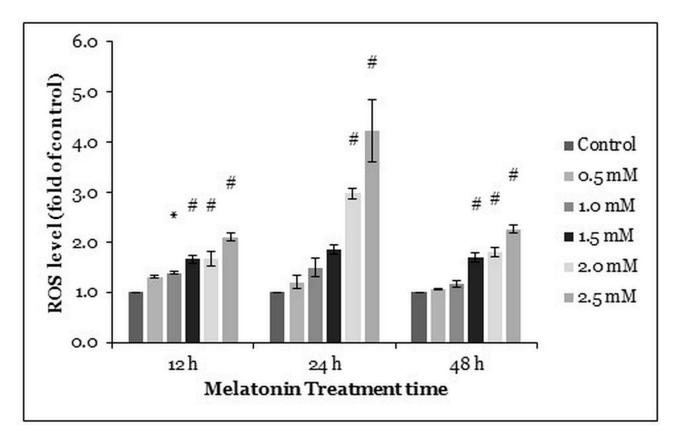
**Figure 1.** Cell viability assay: (**A**) HT-29, SW48, and Caco-2 were treated with various concentrations of melatonin for 72 h. (**B–D**) HT-29, SW48, and Caco-2 were treated with various concentrations of melatonin alone or together with 0.1 mM TUDCA or with 1 mM TUDCA. Means  $\pm$  standard error of mean (SEM) of three separate experiments are shown. Two-way analysis of variance (ANOVA), followed by Dunnett's post hoc test, was performed to compare each treatment group and control; statistically significantly different from control: \*, *p* < 0.05; #, *p* < 0.01.

#### 2.2. Melatonin Induces Oxidative Stress in HT-29 Cells

We performed dichloro-dihydro-fluorescein diacetate (DCFH-DA) assay to quantify intracellular oxidative stress in the HT-29 cells after melatonin treatment (0.5, 1.0, 1.5, 2.0, and 2.5 mM) for 12, 24, and 48 h. Generally, the higher concentrations of melatonin increased ROS production in the HT-29 cells. At the 24 h time point, ROS levels in 2.0 and 2.5 mM melatonin-treated HT-29 cells were significantly elevated 2.99 and 4.22 times, respectively, as compared with the control. Thereafter, the ROS levels at 48 h post-treatment (significantly increased by  $\geq$ 1.5 mM melatonin) were similar to the ROS levels at 12 h post-treatment (significantly increased by  $\geq$ 1.0 mM melatonin) (Figure 2).

#### 2.3. Melatonin Treatment Induces Autophagic Vacuoles Formation in HT-29 Cells

As autophagic cells form vacuoles, acridine orange forms aggregates that emit bright red fluorescence in acidic vesicles but emit green fluorescence in the nucleus and cytoplasm of the cells [26]. To further understand the mechanism of the HT-29 cell death induced by melatonin, fluorescent staining with acridine orange was done on the HT-29 cells after treatment with melatonin for 72 h (Figure 3A–F). The percentual ratios of bright red fluorescent cells to green fluorescent cells were evaluated. With the increasing concentration of melatonin, the percentage of autophagic vacuoles-positive cells increased, suggesting a dose-dependent mechanism of autophagy activation induced by the melatonin treatment. The proportions of autophagic vacuoles-positive cells after melatonin treatments were significantly different from those in control cells (22.7, 28.5, and 60.8% in case of 1.5, 2.0, and 2.5 mM melatonin, respectively) (Figure 3G).

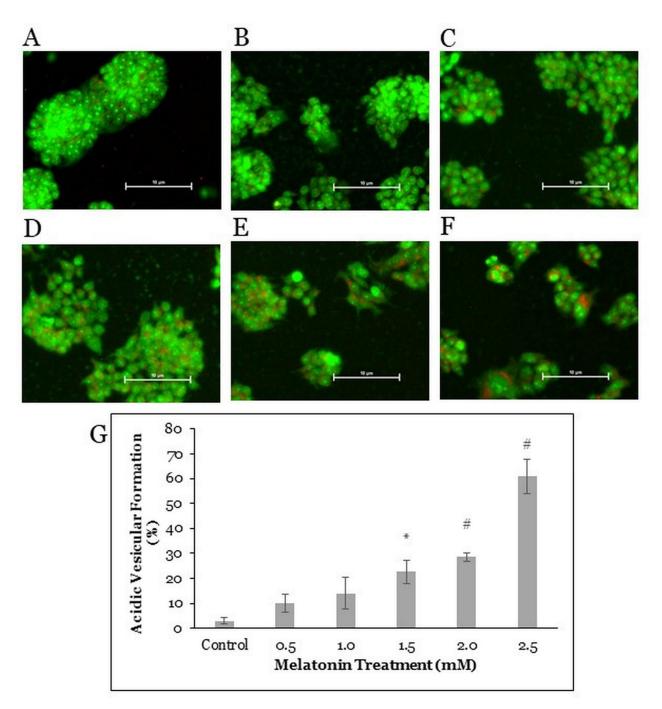


**Figure 2.** ROS generation in HT-29 cells after treated with various concentrations of melatonin for 72 h. The ROS generation was measured by DCFH-DA staining. Each bar represents mean  $\pm$  SEM of three independent experiments. One-way ANOVA, followed by Dunnett's post hoc test, was performed to compare each treatment group with the control. Statistically significantly different from the control: \*, *p* < 0.05; #, *p* < 0.01.

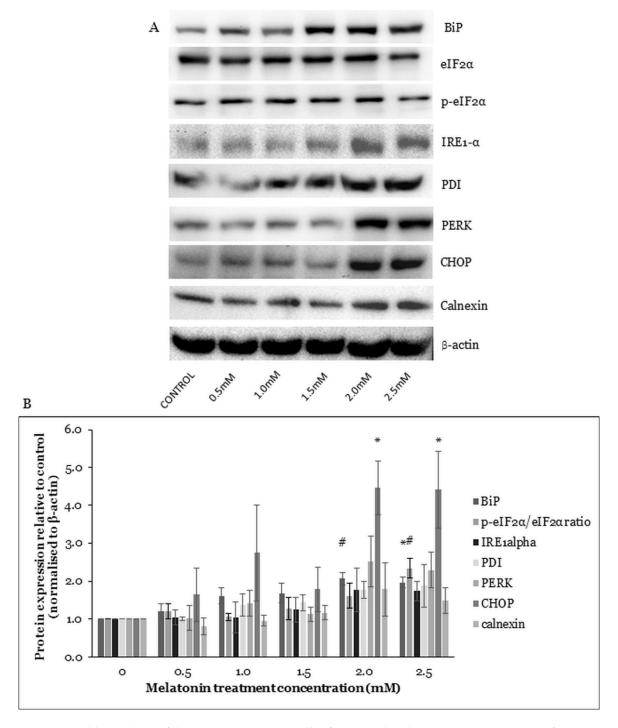
#### 2.4. Melatonin Alters ER Stress-Related Protein Expressions in HT-29 Cells

The PERK and serine/threonine-protein kinase/endoribonuclease (IRE1) activations depend on the binding immunoglobulin protein (BiP) activity. BiP is a sensor for unfolded proteins in the ER. When unfolded proteins accumulate during stress, BiP binds them, leaving PERK and IRE1 free to be activated. The activation of IRE1 could increase AMPK pathway activation and mammalian ortholog of the yeast autophagy-related gene 6 (Beclin-1) expression, leading to autophagy activation. The activation of PERK could induce autophagy-related proteins (ATGs) gene expression via regulation of C/EBP-homologous protein 10 (CHOP) transcription factors [27]. The eukaryotic translation initiation factor 2 subunit alpha (eIF2 $\alpha$ ) activation by PERK is also crucial for the ATGs protein expression and autophagosome formation [28]. Protein disulfide isomerase (PDI) is the PERK activator and the inactivated PDI reduces PERK signaling [29]. The expression level of calnexin increases in response to ER stress-causing stressors [30].

To find out whether ER stress is affected in the HT-29 cells after treatment with melatonin, Western blotting was performed to study the protein expressions of ER stress-related proteins. Results suggested increased expressions of ER stress-related proteins BiP, IRE1- $\alpha$ , PDI, PERK, CHOP, and calnexin and an increased p-e-IF2 $\alpha$ /e-IF2 $\alpha$  ratio. Under the 2.0 and 2.5 mM melatonin treatments, the levels of BiP and CHOP were significantly increased, and under the 2.5 mM melatonin treatment, the p-e-IF2 $\alpha$ /e-IF2 $\alpha$  protein ratio was also statistically increased (Figure 4). The results indicated that melatonin induced the ER stress pathway activation in the HT-29 cells.



**Figure 3.** Acridine orange fluorescent staining in HT-29 cells. The HT-29 cells were treated with various concentrations of melatonin for 72 h: (**A**) control, (**B**) 0.5 mM, (**C**) 1.0 mM, (**D**) 1.5 mM, (**E**) 2.0 mM, and (**F**) 2.5 mM. Cells were stained with 5  $\mu$ g/mL of the acridine orange dye for 20 min, then visualized under a fluorescence microscope with 200× magnification. (**G**) The bar graph represents the means ± SEM of three separate experiments. One-way ANOVA, followed by Dunnett's post hoc test, was performed to compare each treatment group with the control. Statistically significantly different from control: \*, *p* < 0.05; #, *p* < 0.01.

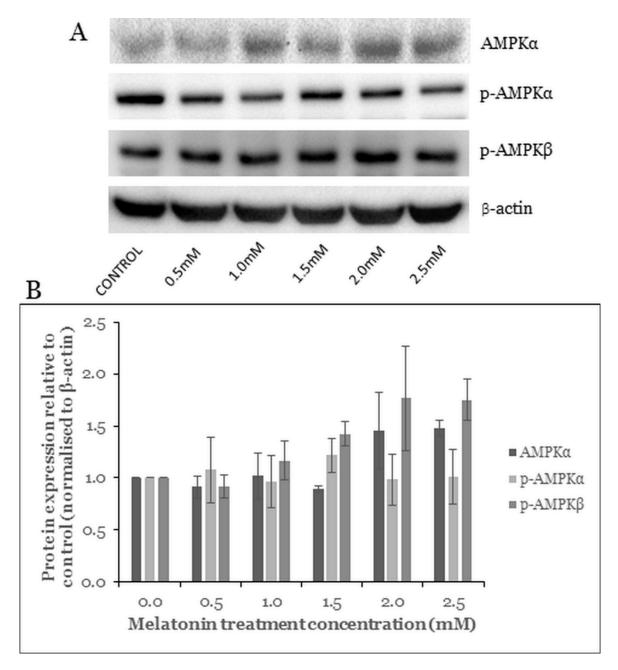


**Figure 4.** Western blot analysis of the ER stress in HT-29 cells after treated with various concentrations of melatonin for 72 h. (**A**) Expression levels of ER stress-related proteins were measured by Western blot analysis. Beta-actin ( $\beta$ -actin) served as a loading control. (**B**) Bar chart of the protein expressions; each bar represents a mean  $\pm$  SEM of three independent experiments. One-way ANOVA, followed by Dunnett's post hoc test, was performed to compare each treatment group with control; statistically significantly different from control: \*, *p* < 0.05; #, *p* < 0.01.

#### 2.5. Melatonin Induces Changes in the AMPK Pathway

AMPK is a crucial cellular energy sensor protein and is activated by a low energy state in the cell. Phosphorylation of AMPK inhibits energy-consuming activities and promotes energy production under metabolic stress [31]. AMPK contributes to autophagosome maturation and lysosomal fusion [32]. Furthermore, the activation of AMPK downregulates the mTOR pathway to induce cell death [33].

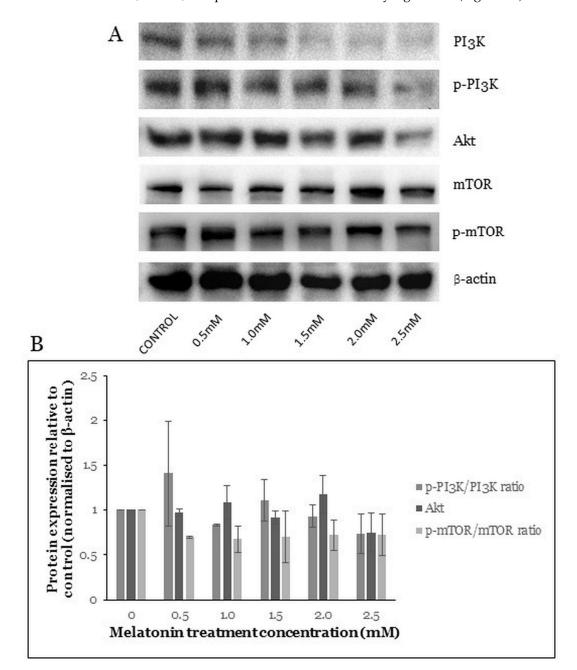
To elucidate whether melatonin can affect the AMPK pathway in the HT-29 cells, Western blot analysis was carried out. Results showed an alteration in the level of the AMPK pathway proteins. The expression levels of the AMPK $\alpha$ , p-AMPK $\alpha$ , and p-AMPK $\beta$  increased with increasing concentration of melatonin. However, these changes were not statistically significant (Figure 5).



**Figure 5.** Western blot analysis of the AMPK pathway in HT-29 cells after treated with various concentrations of melatonin for 72 h. (A) Expression levels of AMPK proteins were assessed by western blot analysis. (B) Bar chart of the protein expressions; each bar represents a mean  $\pm$  SEM of three independent experiments. One-way ANOVA, followed by Dunnett's post hoc test, was performed to compare each treatment group with control.

#### 2.6. Melatonin Induces Changes in the PI3K/Akt/mTOR Pathway

The PI3K/Akt/mTOR pathway plays a critical role in controlling cell survival, proliferation, apoptosis, and autophagy [11]. Akt is directly activated by PI3K and is a major effector of PI3K involved in cancer growth. Akt signaling leads to increased cellular growth and survival. One of the major effectors downstream of Akt is mTOR [34]. In our study, the PI3K/Akt/mTOR pathway protein levels were studied after the HT-29 cells were treated with melatonin. Results showed changes in the levels of the PI3K/Akt, mTOR, and phosphorylated mammalian target of rapamycin (p-mTOR) proteins (Figure 6A). However, the changes in levels of the PI3K, phosphorylated phosphatidylinositol 3 kinase (p-PI3K), Akt, mTOR, and p-mTOR were not statistically significant (Figure 6B).

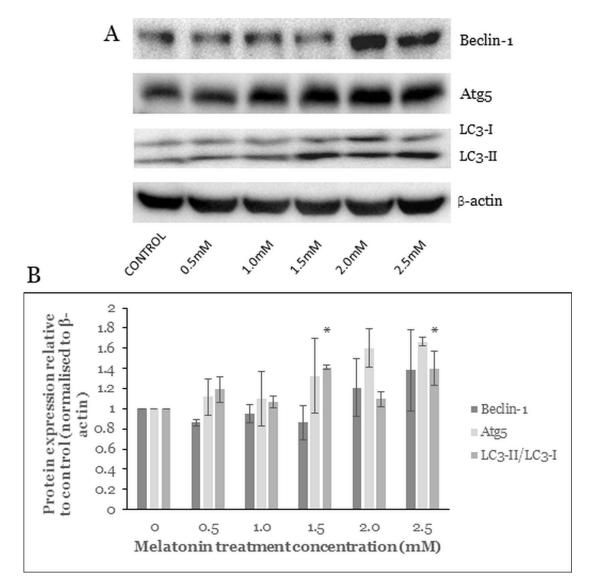


**Figure 6.** Western blot analysis of the PI3K/Akt/mTOR pathway in HT-29 cells after treated with various concentrations of melatonin for 72 h. (**A**) Expression levels of PI3K/Akt/mTOR proteins were assessed by Western blot analysis. (**B**) Bar chart of protein expressions; each bar represents a mean  $\pm$  SEM of three independent experiments. One-way ANOVA, followed by Dunnett's post hoc test, was performed to compare each treatment group with control.

#### 2.7. Melatonin Alters the Level of Autophagy Proteins

The formation of an autophagosome is a stepwise process, which involves initiation, nucleation, elongation, maturation, and degradation. The nucleation depends on Beclin-1 activation and its formation of complexes with other autophagy-related proteins. The following elongation involves Atg5 and the mammalian homologue of yeast ATG8 (LC3 protein family) [35]. Beclin-1, Atg5, and LC3 are commonly used autophagy markers for CRC study [36].

Western blot analysis of the HT-29 cells treated with various melatonin concentrations showed that protein levels of the Beclin-1 and Atg5 were increased but not statistically significantly (Figure 7B). LC3-I (molecular weight of 16) is a cytoplasmic form processed into LC3-II (molecular weight of 14), which is autophagosome-membrane-bound. Hence the amount of LC3-II is correlated with the extent of autophagosome formation. In our study, after 72 h treatment of the HT-29 cells with melatonin, the LC3-II/LC3-I ratio was significantly increased at melatonin concentrations of 1.5 mM and 2.5 mM.



**Figure 7.** Western blot analysis of the melatonin-treated HT-29 cells. Effects of melatonin on autophagy pathway protein expressions in HT-29 cells after treated with various concentrations of melatonin for 72 h. (**A**) Expression levels of autophagy proteins were assessed by western blot analysis. (**B**) Bar chart of proteins expression; each bar represents a mean  $\pm$  SEM of three independent experiments. One-way ANOVA was performed to compare each treatment group with control; statistically significantly different from control: \*, *p* < 0.05.

#### 3. Discussion

The past decade has seen an enormous interest in melatonin due to its therapeutic potential in a variety of diseases, such as diabetes [37], intervertebral disc degeneration [38], cardiac anomalies [39], colitis [40], and cancer [41]. Melatonin induces both apoptosis and autophagy in Hodgkin lymphoma cells [42]. It also promotes synergistic cytotoxic effects with the chemotherapeutic drug sorafenib in hepatoma cancer cells [43]. Interestingly, autophagic vacuolization was reported to be necessary for the completion of apoptosis in another study [44]. Therefore, the study of cancer cell death should include both apoptosis and autophagy cell death mechanisms to gain a better understanding of pharmacodynamics of a particular drug. In the present study, we investigated the activation of autophagy in melatonin-treated HT-29, SW48, and Caco-2 cells, further supporting our previous work [14].

MTT cell viability assay was used to evaluate cytotoxic properties of melatonin. This method is a well-established colorimetric method for assessing cell viability and proliferation. After 72 h treatment, melatonin significantly inhibited the viability of the HT-29, SW48, and Caco-2 cells in a dose-dependent manner. It has been proven in our previous study that 72 h is the most effective time for killing cancer cells [14]. Moreover, Huang et al. (2020) also demonstrated that melatonin inhibited the survival of human gastric cancer cells under ER stress after 72 h of treatment [45] Using trypan blue cell viability assay, we found that the half-maximal inhibitory concentration (IC50) of melatonin for the HT-29, SW48, and Caco-2 cells were 1.89, 1.93, and 1.77 mM, respectively. Hence, treating these cells with melatonin concentration higher than 1 mM is necessary to achieve IC50, which is relevant for the potential of melatonin as a single anticancer agent. Moreover, only HT-29 showed a significant decrement of cell viability under 1.0 mM melatonin treatment, whereas SW48 and Caco-2 showed statistically significant decrement of cell viability at 1.5 mM and 2.0 mM, respectively. Our results are in agreement with those of Guangyu et al. (2021), who found that melatonin (0.1–2.0 mM) significantly inhibited CRC cell viability in a time- and dose-dependent manner [46]. Moreover, Farriol et al. proved that treatment with 3 mM melatonin could achieve the highest cell death (47%) in the CT-26 murine CRC cell line [47]. Generally, the trypan blue assay detected lower thyroid cancer-cell viability than the MTT assay, and the two assays were highly correlated (r = 0.99, p < 0.001) in the measurement of thyroid cancer-cell viability after melatonin treatment [48].

TUDCA is an effective ER stress inhibitor, which reduces ER stress-associated protein expression and ER stress-mediated cell death [49]. Hence, we selected TUDCA to assess the effects of melatonin-induced ER stress-mediated cell death. TUDCA attenuated the melatonin-mediated cell death in all CRC cell lines and TUDCA attenuated it stronger at 1 mM than at 0.1 mM. The cytotoxic effects of melatonin were in this descending order: melatonin alone > melatonin with 0.1 mM TUDCA > melatonin with 1 mM TUDCA (Figure 1B–D). Thus, we conclude that melatonin-mediated CRC cell death is dependent on ER stress.

Autophagy is closely related to oxidative stress in which redox signaling regulates the autophagy activities and autophagy regulates oxidative stress levels with the participation of mitochondria and the activation of mitophagy [50]. Research found that accumulation of ROS, membrane lipid oxidation, and loss of plasma membrane integrity are the main causes of autophagy. Catalase, the leading ROS scavenger, is selectively degraded in the autophagy process, leading to abnormal ROS accumulation. Caspases directly cause catalase degradation and ROS accumulation, which can be prevented by autophagy inhibitors [51]. Melatonin was reported to induce cancer cell death through a calmodulin-dependent ROS production [18]. We speculate that melatonin might induce CRC cell death via a mechanism similar to that of prooxidants. Apart from that, several anticancer therapeutic agents have been developed that promote oxidation in cancer cells. For instance, curcumin induced ROS accumulation, ER stress upregulation, and vacuolated cell death, which was also reported in our previous research about the HT-29 cells treated with melatonin [52]. Delicaflavone, a novel anticancer agent, a biflavonoid from *Selaginella doederleinii Hieron*,

induced ROS accumulation and inhibited the PI3K/Akt/mTOR signaling pathway, demonstrating the importance of studying ROS and the PI3K/Akt/mTOR pathway in the context of cancer [53].

The formation of autophagic vacuoles is a well-established feature of autophagic cells [26,54]. Acridine orange forms aggregates that emit bright red fluorescence in acidic vesicular organelles and green fluorescence in the cytoplasm and nucleus [26]. Acridine orange staining is a quick, accessible, and reliable method to determine the amount of acidic vesicular organelles, which increases upon autophagy induction, and ratiometric analysis of acridine orange staining is a well-established method in the study of autophagy. Lysosomal degradation of unwanted organelles is the last step in the autophagy, an indication of autophagy activation and prerequisite for autophagic cell death [45,55,56]. Based on our data, melatonin induces autophagy and autophagic vacuoles formation in the HT-29 cells in a dose-dependent manner. The percentage of autophagic vacuoles-positive cells was significantly different from control (22.7, 28.5, and 60.8% after 1.5, 2.0, and 2.5 mM melatonin treatment, respectively).

A variety of physiological and pathological conditions can lead to the accumulation of misfolded proteins in the ER, leading to ER stress. The unfolded protein response (UPR) attenuates the ER stress and re-establishes protein homeostasis. The UPR-signaling pathway is overexpressed in various types of tumors and plays a key role in tumor growth, adaptation, and resistance to cancer treatment. However, UPR activation promotes cell death during prolonged ER stress [28]. A previous study reported that melatonin activated autophagy by controlling ER stress in gastric cancer cells [57]. Similarly as in our study, the ER stress-related genes expressions were increased after melatonin treatment, indicating that autophagy was induced by ER stress. Calnexin is a calcium-binding protein that keeps newly synthesized glycoproteins inside the ER to ensure proper protein folding and to ensure protein quality [58]. In our study, BiP and CHOP protein levels were significantly increased. Increased phosphorylation of eukaryotic translation initiation factor 2 subunit alpha (p-eIF2 $\alpha$ ) was reported after melatonin treatment, which may have been related to increased ER stress. ER associates with early autophagic structures called isolation membranes (IMs) [28]. The promoted release of BiP and activation of the eIF2 $\alpha$ /CHOP pathway could induce autophagy [59], in agreement with our Western blot results that suggested upregulation of BiP, p-eIF2 $\alpha$ /eIF2 $\alpha$ , and CHOP expressions. The PERK/CHOP pathway could induce ATGs protein expressions followed by autophagosome formation [27]. We observed an increase in PERK (not statistically significant, p = 0.066 and 0.133 at 2.0 and 2.5 mM melatonin, respectively) and CHOP (statistically significant, p = 0.040 and 0.043 at 2.0 and 2.5 mM melatonin, respectively); therefore, the PERK/CHOP pathway could potentially contribute to melatonin-mediated CRC cell death via autophagy.

AMP-activated protein kinase (AMPK) is an important energy sensor and is activated by low energy availability in the cell [60]. In addition, AMPK activates tuberous sclerosis complex 2 (TSC2), which in turn suppresses mTOR complex 1 (mTORC1), thus promoting autophagosome formation [61]. A study reported that the AMPK contributes to autophagosome maturation and autophagosome–lysosome fusion [32]. Combined treatment using melatonin and 5-fluorouracil in CRC stem cells increased the phosphorylation of AMPK but decreased the phosphorylation of mTOR [62]. However, we observed only a statistically insignificant increase in AMPK $\alpha$  and p-AMPK $\beta$ , whereas p-AMPK $\alpha$  remained unchanged for all melatonin treatment concentrations.

The PI3K/Akt pathway plays a major role in regulating cell survival, proliferation, and apoptotic cell death. Melatonin was shown to inhibit breast cancer cell proliferation via the PI3K/Akt pathway [11]. The co-treatment of melatonin with ER stress inducers promoted melanoma cell death by suppressing the PI3K/Akt pathway [63]. The inactivated mTOR promotes autophagy, and the mTOR also serves as a central cell-growth regulator that integrates growth factors and nutrient signals. The AMPK and the PI3K/Akt pathways converge on mTOR with opposing regulatory effects; AMPK regulates mTOR negatively

while PI3K/Akt positively [64]. In our study, we observed a decrease in the p-PI3K/p-PI3K ratio, Akt, and p-mTOR/mTOR protein ratio but not statistically significant.

The autophagy pathway requires five steps: initiation, nucleation, elongation, maturation, and degradation with the involvement of numerous Atg and non-Atg proteins. Beclin-1, Atg5, and LC3-II are the commonly used indicators for autophagy [36]. Based on our study, the upregulation of Beclin-1, Atg5, and LC3-II/LC3-I ratio were observed in HT-29 cells after melatonin treatment for 72 h. Beclin-1 involves every major step in autophagic pathways, from autophagosome formation to the maturation of the autophagosome/endosome [35]. In a previous study, ER stress inducers activated the Beclin-1, Atg5, and LC3-II proteins and induced cell death in colon and prostate cancer. The ER stress inducers caused cancer cell death in an Atg5-dependent manner, in accordance with our results [22]. A betulinic acid (BA) analogue, 2c, caused autophagic cell death in CRC cells via upregulation of Beclin-1, Atg5, and LC3 [26]. A similar autophagic cell death mechanism was also observed in A375 and CHL-1 cell lines, ophiobolin A increases ROS accumulation and LC3-II protein expression to induce autophagic cell death in human melanoma cells [65].

Autophagy is a complicated and dynamic intracellular mechanism, which has multiple variations, such as chaperone-mediated autophagy, microautophagy, and macroautophagy. Our study focused on the macroautophagy, investigation of the other variations of autophagy could potentially provide an extensive understanding on how melatonin induces autophagic cell death in CRC. Moreover, there are also canonical and non-canonical autophagy pathways that require further investigation [64]. A comprehensive mapping of the autophagy network could provide us with an anticancer target and even previously unknown molecular targets for various diseases.

#### 4. Materials and Methods

#### 4.1. Materials

Dulbecco's modified Eagle medium (DMEM), fetal bovine serum (FBS), MTT, melatonin, and acridine orange were purchased from Sigma Aldrich (Saint Louis, MO, USA). TUDCA was purchased from Merck Millipore (Burlington, MA, USA). Trypsinethylenediaminetetraacetic acid (EDTA) was purchased from Gibco (Gibco, Loughborough, UK). Dimethyl sulfoxide (DMSO) and bovine serum albumin (BSA) were purchased from Nacalai Tesque (Kyto, Japan). Primary antibodies against β-actin, PI3K, p-PI3K, Akt, AMPK $\alpha$ 23a, p-AMPK $\alpha$ , AMPK $\beta$ , autophagy-related protein Atg5, Beclin-1, BiP, calnexin, eIF2α, p-eIF2α, IRE1α, PDI, microtubule-associated protein 1 light chain 3 (LC-3), mTOR, p-mTOR, PERK, and CHOP and horseradish peroxidase-conjugated secondary antibodies were purchased from Cell Signalling Technology (Danvers, MA, USA). SuperSignal<sup>TM</sup> West Femto Maximum Sensitivity Substrate was purchased from Thermo Fisher Scientific (Waltham, MA, USA). Melatonin was dissolved in 98% absolute ethanol; the stock concentration of melatonin was maintained at 500 mM for every experiment. The final volume of ethanol in cell culture was maintained below 0.5%. TUDCA was dissolved in phosphate-buffered saline (PBS), the stock concentration of TUDCA was maintained at 100 mM for every experiment.

#### 4.2. Cell Culture

HT-29, SW48, and Caco-2 were obtained from the American Type Culture Collection (ATCC). The cells were cultured in DMEM and supplemented with 10% FBS at 37 °C in an incubator with 5% CO<sub>2</sub>. Cells were trypsinized whenever the confluency of cells reach 70%. Cells collection was carried out by rinsing the cells with PBS Biobasic (Toronto, ON, Canada) followed by the addition of trypsin-EDTA to detach the cells. The action of trypsin was later neutralized with DMEM, and cells were harvested by centrifugation at 1500 rpm for 5 min. The cells were then sub-cultured into new cell culture T-25 flasks or plated for assays.

#### 4.3. MTT Cytotoxicity Assay

The cytotoxicity of melatonin was measured in the HT-29, SW48, and Caco-2 cells by the MTT colorimetric assay. The CRC cells were seeded at 5000 cells (in 100  $\mu$ L culture medium) per well in 96-well plates and incubated for 24 h. Subsequently, the cells were treated with melatonin at various concentrations (0, 0.5, 1.0, 1.5, 2.0, and 2.5 mM). After an incubation for 72 h, the cells were incubated with 20  $\mu$ L of MTT (5 mg/mL) solution for 4 h. Following medium removal, 100  $\mu$ L of DMSO was added to each well and plates were gently shaken. The optical absorbance was measured at 570 nm, with 630 nm as reference wavelength, using Spectra Max3 Molecular Devices (San Jose, CA, USA). The absorbance of cells without treatment was regarded as 100% cell survival. Each experiment was repeated at least three times, beginning from the cell seeding to data analysis.

#### 4.4. Trypan Blue Cell Viability Assay

The cytotoxicity of melatonin was measured in the HT-29, SW48, and Caco-2 cells by trypan blue dye exclusion assay. The CRC cells were seeded at  $3.0 \times 10^4$  cells (in 5000 µL culture medium) per well in 60 mm<sup>2</sup> dishes and incubated for 24 h. Then, the cells were treated with various melatonin concentrations (0, 0.5, 1.0, 1.5, 2.0, and 2.5 mM), various melatonin concentrations + 0.1 mM TUDCA, and various melatonin concentrations + 1 mM TUDCA. After incubation for 72 h, the cells were trypsinized and collected in 15 mL tubes. The cells were stained with trypan blue for cell count using a hemocytometer and light microscope. Each experiment was repeated at least three times, beginning from the cell seeding to data analysis.

#### 4.5. ROS Level Analysis

The HT-29 cells were cultured in 96-well plates, then treated with or without melatonin (0.5, 1.0, 1.5, 2.0, and 2.5 mM) for 12, 24, and 48 h. The cells were stained with 10  $\mu$ M of DCFH-DA for 30 min. The cells were then examined under a microplate reader Spectra Max3 Molecular Devices (San Jose, CA, USA). Fluorescence intensity in the cells was detected at an excitation wavelength of 485 nm and an emission wavelength of 535 nm. Each experiment was repeated at least three times, beginning from the cell seeding to data analysis.

#### 4.6. Fluorescence Staining

Lysosomal activation was detected by acridine orange fluorescent staining and microscopy. The HT-29 cells  $(1.0 \times 10^5)$  were seeded onto a 60 mm<sup>2</sup> dish for 24 h (37 °C, 5% CO<sub>2</sub>). The cells were then treated with melatonin at various concentrations (0, 0.5, 1.0, 1.5, 2.0, and 2.5 mM). After 72 h, the cells were washed with 200 µL of PBS and stained with Acridine orange (5 µg/mL) for 15–20 min. The cells were observed under an inverted fluorescence microscope Nikon Eclipse Ti (Tokyo, Japan). The activation of lysosomes was examined, and photomicrographs were taken using an attached camera. Each experiment was repeated at least three times, beginning from the cell seeding to data analysis.

#### 4.7. Western Blot Analysis

Lysates of the cells exposed to melatonin for 72 h were harvested using DTT lysis buffer (62.5 mM Tris, 2% *w/v* SDS, 10% glycerol, pH 6.8, 100 mM DTT). The protein concentration was determined using Quick Start<sup>TM</sup> Bradford Protein Assay (Bio-Rad, Hercules, CA, USA). From each sample, 15  $\mu$ L of proteins were separated by electrophoresis, on 7.5, 10, and 12.5% SDS-PAGE gels, based on the molecular weight of proteins. After the electro-transfer of proteins onto PVDF membranes, the membranes were blocked with 3% BSA for 1 h at room temperature. The membrane was then incubated with primary antibodies at a dilution of 1:1000, overnight at 4 °C. After three washes with TBST (0.1% Tween-20 in Tris-HCl buffered saline, TBS), the membranes were incubated with horseradish peroxidase-conjugated secondary antibodies at a dilution of 1:10,000, for 1 h at room temperature. After washing with TBST and TBS, proteins were visualized by SuperSignal<sup>TM</sup> West Femto

Maximum Sensitivity Substrate. Densitometric analysis was performed using ImageLab, Version 6.1.0, build 7 Standard Edition (Bio-Rad, Hercules, CA, USA) to scan the signals. Western blot assay results reported here are representative of at least three independent experiments (repeated from the cell seeding to data analysis).

#### 4.8. Statistical Analysis

All experiments were performed in biological triplicates and values given are representative for at least three independent experiments. Each result of the experiments was expressed as mean  $\pm$  standard error of mean (SEM). The results were statistically analyzed by one-way or two-way ANOVA followed by Dunnett's post hoc test using IBM SPSS Statistics, Version 23. Values p < 0.05 or p < 0.01 were considered as statistically significant.

#### 5. Conclusions

In conclusion, our results indicate that melatonin induces an anticancer effect in the HT-29, SW48, and Caco-2 CRC cells via oxidative stress-mediated autophagy. Melatonininduced autophagy involves the ER stress signaling pathway. With the effects of these pathways, stepwise autophagosome formation was confirmed via Beclin-1 (nucleation), Atg5 (elongation), and LC3 (elongation). Our study provided an insight into the underlying mechanism of melatonin-induced autophagy and its pro-oxidative properties in CRC cell.

**Author Contributions:** K.C.C. and M.G.N. were involved in the performance of experiments, data analysis and interpretation. P.Y.N. and R.Y.K. were involved in the conception and design of the study and supervision. R.Y.K. and K.C.C. were involved in the critical revision of the manuscript. K.C.C. was involved in drafting of the manuscript. S.M.C. obtained financial support, provided critical revision, and approved the final manuscript. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by International Medical University, grant numbers are BMSc I-2020 (08), BMSc I-2019 (02) and BMSc I-2018 (09).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Conflicts of Interest: The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are not available from the authors.

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### Article Naringenin Induces ROS-Mediated ER Stress, Autophagy, and Apoptosis in Human Osteosarcoma Cell Lines

Chiang-Wen Lee <sup>1,2,3</sup>, Cathy Chia-Yu Huang <sup>4</sup>, Miao-Ching Chi <sup>5</sup>, Kuan-Han Lee <sup>6,7</sup>, Kuo-Ti Peng <sup>2</sup>, Mei-Ling Fang <sup>8,9</sup>, Yao-Chang Chiang <sup>1,\*</sup> and Ju-Fang Liu <sup>10,11,\*</sup>

- <sup>1</sup> Department of Nursing, Division of Basic Medical Sciences, Chronic Diseases and Health Promotion Research Center and Research Center for Chinese Herbal Medicine, Chang Gung University of Science and Technology, Puzi City 61363, Taiwan; cwlee@gw.cgust.edu.tw
- <sup>2</sup> Department of Orthopaedic Surgery, Chang Gung Memorial Hospital, Puzi City 61363, Taiwan; mr3497@cgmh.org.tw
- <sup>3</sup> Department of Safety Health and Environmental Engineering, Ming Chi University of Technology, New Taipei City 243303, Taiwan
- <sup>4</sup> Department of Life Sciences, National Central University, Taoyuan City 320317, Taiwan; cathy80787@gmail.com
- <sup>5</sup> Department of Respiratory Care, Chang Gung University of Science and Technology, Puzi City 613, Taiwan; mcchi@gw.cgust.edu.tw
- <sup>6</sup> Division of Pulmonary and Critical Care Medicine, Chang Gung Memorial Hospital, Kaohsiung 833, Taiwan; anti0822@hotmail.com
- <sup>7</sup> Department of Pharmacy, Chia Nan University of Pharmacy and Science, Tainan 71710, Taiwan
- <sup>8</sup> Center for Environmental Toxin and Emerging-Contaminant Research, Cheng Shiu University, Kaohsiung 833, Taiwan; k6764@gcloud.csu.edu.tw
- <sup>9</sup> Super Micro Research and Technology Center, Cheng Shiu University, Kaohsiung 833, Taiwan
- <sup>10</sup> Department of Medical Research, China Medical University Hospital, China Medical University, Taichung 40402, Taiwan
- <sup>11</sup> School of Oral Hygiene, College of Oral Medicine, Taipei Medical University, Taipei 11031, Taiwan
- Correspondence: yaochang.chiang@gmail.com (Y.-C.C.); jufangliu@tmu.edu.tw (J.-F.L.)

Abstract: Osteosarcoma, a primary bone tumor, responds poorly to chemotherapy and radiation therapy in children and young adults; hence, as the basis for an alternative treatment, this study investigated the cytotoxic and antiproliferative effects of naringenin on osteosarcoma cell lines, HOS and U2OS, by using cell counting kit-8 and colony formation assays. DNA fragmentation and the increase in the G2/M phase in HOS and U2OS cells upon treatment with various naringenin concentrations were determined by using the terminal deoxynucleotidyl transferase-mediated dUTP nick-end labeling assay and Annexin V/propidium iodide double staining, respectively. Flow cytometry was performed, and 2',7'-dichlorodihydrofluorescein diacetate, JC-1, and Fluo-4 AM ester probes were examined for reactive oxygen species (ROS) generation, mitochondrial membrane potential, and intracellular calcium levels, respectively. Caspase activation, cell cycle, cytosolic and mitochondrial, and autophagy-related proteins were determined using western blotting. The results indicated that naringenin significantly inhibited viability and proliferation of osteosarcoma cells in a dose-dependent manner. In addition, naringenin induced cell cycle arrest in osteosarcoma cells by inhibiting cyclin B1 and cyclin-dependent kinase 1 expression and upregulating p21 expression. Furthermore, naringenin significantly inhibited the growth of osteosarcoma cells by increasing the intracellular ROS level. Naringenin induced endoplasmic reticulum (ER) stress-mediated apoptosis through the upregulation of ER stress markers, GRP78 and GRP94. Naringenin caused acidic vesicular organelle formation and increased autophagolysosomes, microtubule-associated protein-light chain 3-II protein levels, and autophagy. The findings suggest that the induction of cell apoptosis, cell cycle arrest, and autophagy by naringenin through mitochondrial dysfunction, ROS production, and ER stress signaling pathways contribute to the antiproliferative effect of naringenin on osteosarcoma cells.

Keywords: osteosarcoma; naringenin; ROS; ER stress; autophagy; apoptosis

Citation: Lee, C.-W.; Huang, C.C.-Y.; Chi, M.-C.; Lee, K.-H.; Peng, K.-T.; Fang, M.-L.; Chiang, Y.-C.; Liu, J.-F. Naringenin Induces ROS-Mediated ER Stress, Autophagy, and Apoptosis in Human Osteosarcoma Cell Lines. *Molecules* 2022, *27*, 373. https:// doi.org/10.3390/molecules27020373

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 25 September 2021 Accepted: 28 December 2021 Published: 7 January 2022

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#### 1. Introduction

Cancer is a public health problem, with high mortality and disability rates worldwide [1]. According to global cancer statistics, 19.3 million new cancer-related cases and 10 million cancer-related deaths were reported in 2020 [2]. Osteosarcoma is the most prevalent primary bone cancer in children aged 10 to 15 years and young adults [3,4]. The 5-year survival rate of patients with localized osteosarcoma is 65–75% [5]; however, the prognosis of patients with relapse and metastasis is poor, with a 5-year survival rate of 10–20% [6,7]. Currently, treatment for osteosarcoma mainly involves surgical removal, neoadjuvant chemotherapy, and radiation therapy [8]. However, the chemotherapeutic agents currently used cause severe side effects in the majority of patients. Moreover, resistance to chemotherapeutic agents is another challenge in the treatment of osteosarcoma. Hence, new drugs with both low toxicity and high efficacy are urgently required.

Natural products have been widely used as anticancer therapeutics. Sixty percent of drugs approved by the Food and Drug Administration from 1984 to 1994 were isolated from natural sources, especially plants [9,10]. Naringenin [(2*S*)-4',5,7-trihydroxyflavan-4-one] is present in various herbs and fruits, especially citrus plants [11]. Naringenin possesses antimicrobial, antioxidative, and anticancer properties [12–14]. Moreover, naringenin induces cytotoxicity in different types of cancer cells [15]. In 2019, Zhao et al. reported that naringenin (250  $\mu$ M; 24 h) suppressed the migration of breast cancer cells by arresting the cell cycle at the G0/G1 phase [16]. Furthermore, Song et al. indicated that naringenin (200  $\mu$ M; 24 h) enhanced TRAIL-induced apoptosis through the induction of DR5 expression in human A549 cells [18]. However, the effect of naringenin on osteosarcoma remains unclear.

Autophagy, which refers to the intracellular degradation of cytoplasmic materials caused by vacuoles or lysosomes in eukaryotic cells, eliminates and recycles damaged proteins to prolong the lifespan of cells [19]. It is a crucial homeostasis and cell survival mechanism that responds to environmental stresses such as starvation or pathogen infection [20]. Recent accumulating evidence indicates that autophagy also occurs under pathological conditions, such as in neurodegenerative disease or tumor development [21]. Specifically, autophagy is believed to play an important role in tumor development [22]. During the early stages of tumor formation, autophagy functions as a tumor suppressor, and autophagic activity is often impaired in cancer cells. Many anticancer drugs which lead to apoptosis can also induce autophagy-related cell death in cancer cell lines [23]. In osteosarcoma, autophagy is deregulated and functions as a protumoral or antitumoral process to suppress carcinogenesis and support the growth of established tumors [19].

This study investigated the effects of and molecular mechanisms underlying naringenininduced autophagy and apoptosis and the interaction between autophagy and apoptosis in osteosarcoma cells. The findings of this study can provide the proof-of-concept for evaluating naringenin as an antiosteosarcoma agent.

#### 2. Materials and Methods

#### 2.1. Material

Primary antibodies for Bak (GTX100063), Bax (GTX109683), Bcl2 (GTX100064), BclxL (GTX105661), GRP78 (GTX113340), GRP94 (GTX103203), PARP (GTX100573), calpain I (GTX102340), calpain II (GTX102499), cytochrome c (GTX108585), beclin1 (GTX134209), p53 (GTX70214), p62 (GTX102361), LC3B (GTX127375), ATG5 (GTX102360) and a voltagedependent anion channel (VDAC; GTX104745) were purchased from GeneTex International Corporation (Hsinchu City, Taiwan). Caspase-3 (19677-1-AP) and caspase-9 (10380-1-AP) were purchased from Proteintech Group Inc. (Rosemont, IL, USA). CDK1 (MN ABE1403) and cyclin B (MM05373) was purchased from Merck KGaA, Darmstadt, Germany. Antimouse and anti-rabbit IgG-conjugated horseradish peroxidase, as well as rabbit polyclonal antibodies specific for β-actin (cat. no. SI-A5441; Sigma-Aldrich; Merck KGaA, Darmstadt, Germany) were used in study. All other chemicals were obtained from Sigma-Aldrich (St. Louis, MO, USA).

#### 2.2. Cell Line and Cell Culture

The human osteosarcoma cell lines (U2OS and HOS) and osteoblast cell line (hFOB 1.19) were purchased from the American Type Culture Collection (ATCC; Manassas, VA, USA). The hFOB1.19 osteoblast cells were cultured in a DMEM/F12 medium supplemented with 10% fetal bovine serum (FBS), 2.5 mM L-glutamine, 0.3 mg/mL G418 and 100 units/mL penicillin/streptomycin. Cells were incubated in an atmosphere of 5% CO<sub>2</sub> at 34 °C and subcultures were changed every 48 h.

The HOS cells were maintained in an Eagle's Minimum Essential medium supplemented with 10% FBS and 100 units/mL penicillin/streptomycin. The U2OS cells were cultured in a McCoy's 5A medium supplemented with 10% FBS and 100 units/mL penicillin/streptomycin. (Invitrogen; Thermo Fisher Scientific, Inc., Waltham, MA, USA). Cells were incubated in an atmosphere of 5% CO<sub>2</sub> at 37 °C and subcultures were changed every 48 h.

#### 2.3. Cell Viability/Proliferation Assay

Cells were seeded ( $6 \times 10^3$ ) in triplicate in a 96-well plate, treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M), and incubated at 37 °C for 24 h under 5% CO<sub>2</sub>. After incubation, the cell counting kit-8 (CCK-8) assay (10  $\mu$ L; Sigma-Aldrich, St. Louis, MO, USA) solution was added into each well, and the plate was incubated under the same conditions for 2–5 h. A microplate reader was used to measure absorbance at 450 nm (Bio-Tek Instruments, Winooski, VT, USA).

#### 2.4. Colony Formation Assay

HOS and U2OS cells (5 × 10<sup>4</sup>) were seeded in six-well plates and treated with naringenin at the indicated concentrations (100, 250, and 500  $\mu$ M) in a medium without 10% FBS for 24 h. Untreated cells were used as the control. The culture medium containing 10% FBS was replaced after 24 h of treatment and changed every 2 days without additional naringenin treatment. After incubation for 7 days, visible colonies were washed with PBS, fixed with 4% paraformaldehyde for 15 min, and stained with 0.25% crystal violet for 15 min. The images of colonies were captured through scanning. Subsequently, the plates were washed three times with double-distilled water and then with 33 % (v/v) acetic acid, and this was followed by the measurement of absorbance at 550 nm. The colony formation assay was repeated three times in duplicate wells.

#### 2.5. Cytosolic and Mitochondrial Protein Extraction

Cytosolic and mitochondrial proteins were extracted from untreated controls and cells treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 8 h by using the Mitochondria/Cytosol Fractionation Kit (Cat#K256-25; BioVision Inc., Milpitas, CA, USA) according to the manufacturer's protocol. Cells were collected, washed, and centrifuged for 10 min at 4 °C at 1000 rpm. Cells were then resuspended in the cytosol extraction buffer mix, incubated on ice for 10 min, and repeatedly passed through a 25-gauge needle. The homogenate mix was centrifuged for 10 min at 4 °C at 3000× g rpm. The supernatant was collected and centrifuged for 30 min at 4 °C at 15,000× g rpm. The supernatant was used as the cytosolic fraction. The pellet was resuspended in a mitochondrial extraction buffer mix and used as the mitochondrial fraction.

#### 2.6. Western Blot Analysis

HOS and U2OS cells were treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 8 h. After treatment, total protein was harvested and lysed in RIPA lysis buffer containing protease inhibitors. Protein concentrations were determined using the bicinchoninic acid assay kit (Sigma-Aldrich, St. Louis, MO, USA). Proteins were separated

through 8–15% sodium dodecyl sulfate–polyacrylamide gel electrophoresis and transferred onto polyvinylidene fluoride membranes. The membranes were blocked with a TBST buffer containing 4% BSA for 1 h at room temperature and subsequently incubated with primary antibodies (at a dilution of 1:1000) at 4 °C overnight. The membranes were washed three times with the TBST buffer and then incubated with peroxidase-conjugated secondary antibodies (at a dilution of 1:10,000) for 1 h at room temperature. The blots were visualized using an enhanced chemiluminescence system (EMD Millipore, Billerica, MA, USA) with a UVP BioImaging System (Upland, CA, USA). Each experiment was repeated at least three times.

#### 2.7. DAPI Staining

HOS and U2OS cells were treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 24 h. After treatment, cells were washed with PBS, fixed in a 3.7% formaldehyde solution for 15 min, permeabilized with 0.1% Triton X-100 for 5 min, and stained with DAPI (1  $\mu$ g/mL) for 5 min. All samples were examined and photographed using a Nikon Eclipse Ti inverted fluorescence microscope (software version 5.02.01).

#### 2.8. Terminal Deoxynucleotidyl Transferase-Mediated dUTP Nick-End Labeling Assay

Apoptotic cells were quantified using the terminal deoxynucleotidyl transferasemediated dUTP nick-end labeling (TUNEL) assay, which examines breaks in DNA strands caused during cell apoptosis by using the BD APO-DIRECT kit (BD Biosciences, San Jose, CA, USA; cat. no. 556381). HOS and U2OS cells ( $2 \times 10^6$ ) were treated with naringenin at various concentrations (100, 250, and 500 µM) for 24 h. After treatment, cells were collected and centrifuged at 950× g for 10 min at 4°C. Cells were fixed with 1% paraformaldehyde for 30 min on ice and washed with PBS twice. After the removal of the fixative, 0.5 mL of ethanol was added, and the mixture was incubated at -20 °C for 4 h. Subsequently, ethanol was removed through centrifugation and cellular DNA was obtained. The cellular DNA was stained with TUNEL solution (3 ng/mL TdT enzyme and 0.04 nmol FITC dUTP) at 37 °C for 1 h. After incubation with TUNEL solution, cells were washed with a rinse buffer (1 mL; BD APO-DIRECT kit; BD Biosciences; cat. no. 556381) and centrifuged at 1425× g for 10 min at 4 °C. The fluorescein-labeled DNA strand was detected and quantified using the BD Accuri C5 flow cytometer and BD Accuri C6 software (version 1.0.264.21, BD Biosciences).

#### 2.9. Annexin V and Propidium Iodide Staining

The Annexin V/propidium iodide (PI) double staining assay was performed to examine cell apoptosis by using the Annexin V/PI detection kit (cat. no. PF00005; Proteintech Group, Inc., Rosemont, IL, USA). HOS and U2OS cells were treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 24 h. After treatment, cells were collected and washed with PBS twice and then resuspended in a staining buffer containing PI and Annexin V–FITC at room temperature for 30 min; cells were placed in the dark prior to flow cytometry. Cells were analyzed using the BD Accuri C5 flow cytometer and BD Accuri C6 software (version 1.0.264.21, BD Biosciences).

#### 2.10. Cell Cycle Analysis Using PI Staining

Apoptotic cells were quantified by examining the cell cycle. HOS and U2OS cells were treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 24 h and collected through centrifugation (10 min at 950× *g*). Ice-cold ethanol was added to 0.5 mL of cell suspension and the mixture was then incubated at -20 °C for 4 h. Ethanol was removed through centrifugation (15 min at 1425× *g*) and cells were stained with a PI solution (0.1% Triton-X 100, 100  $\mu$ g/mL of DNase-free RNase A, and 10  $\mu$ g/mL of PI in PBS). After staining, cells were analyzed using the BD Accuri C5 flow cytometer and BD Accuri C6 software (version 1.0.264.21, BD Biosciences).

# 2.11. Intracellular ROS Production, Ca<sup>2+</sup> Concentration, and Mitochondrial Mmembrane Potential

ROS generation was determined using the fluorogenic probe 2',7'-dichlorodihydrofluorescein (H<sub>2</sub>DCFDA; Thermo Fisher; Waltham, MA, USA). The mitochondrial membrane potential (MMP) was determined using the cationic JC-1 dye (BD Biosciences). The Ca<sup>2+</sup> concentration was measured using the Ca<sup>2+</sup>-sensitive fluorescent probe Fluo-4 AM (Thermo Fisher). Cells ( $5 \times 10^5$ ) were plated in six-well plates, grown to confluence, and treated with naringenin at various concentrations (100, 250, and 500 µM) for indicated times. After incubation, cells were stained with H<sub>2</sub>DCFDA (10 µM), Fluo-4 AM (3 µg/mL), and JC-1 (5 µg/mL) to determine ROS production, Ca<sup>2+</sup> levels, and MMP, respectively. NAC and DPI were used as ROS inhibitors, and BAPTA-AM was used to control the intracellular Ca<sup>2+</sup> level. Cells were determined using the BD Accuri C5 flow cytometer and BD Accuri C6 software (version 1.0.264.21, BD Biosciences).

#### 2.12. Small Interfering RNA Transfection

Small interfering RNAs (siRNAs) against ATG5 (sense: 5'-GUGAGAUAUGGUUUGA AUAdTdT-3' and antisense: 3'-UAUUCAAACCAUAUCUCACdTdT-5'), Beclin 1 (sense: 5'-GUUUGGAGAUCUUAGAGCAdTdT-3' and antisense: 3'-UGCUCUAAGAUCUCCAAAC dTdT-5') and a nonspecific scrambled siRNA were purchased from Sigma-Aldrich (Merck KGaA). HOS and U2OS cells ( $5 \times 10^5$ ) were seeded in six-well plates and transfected with Lipofectamine 3000 (Invitrogen, Rockville, MD, USA) mixed with a serum-free medium containing ATG5 siRNA, Beclin 1 siRNA, and scrambled siRNA. Transfection was performed under 5%CO<sub>2</sub> at 37 °C for 24 h.

#### 2.13. Autophagy Assay

Cell autophagy was determined using DAPgreen (Dojindo Molecular Technologies, Inc., Kumamoto, Japan) and acridine orange (AO; Sigma-Aldrich; Merck KgaA) according to the manufacturers' instructions. HOS and U2OS cells ( $3 \times 10^5$ ) were seeded in sixwell plates overnight. Subsequently, cells were washed with PBS and incubated with DAPgreen and AO for autophagy detection at 37 °C for 30 min. After cells were washed twice with PBS, they were treated with the indicated concentrations of naringenin (100, 250, and 500  $\mu$ M) for 24 h. After treatment, the image of the cells was obtained under a 200× microscope to determine the visual intensity of green fluorescence (DAPgreen) and orange/green fluorescence (AO; autophagy) using a Nikon ECLIPSE Ti and NIS-Elements AR microscope (software version 5.02.01).

#### 2.14. Caspase Activity Assay

The caspase activity assay is based on the ability of active enzymes to cleave chromophores from the enzyme substrate Ac-DEVD-pNA (caspase-3; cat. #1008) or Ac-LEHDpNA (caspase-9; cat. #1076; BioVision Inc., Milpitas, CA, USA). To examine the activity of caspase-3 and -9, HOS and U2OS cell lysates were prepared and incubated with caspase-3 and -9 substrates. Immunocomplexes were incubated with the peptide substrate in the assay buffer (100 mM NaCl, 50 mM 4-(2-hydroxyethyl)-1-piperazine ethanesulfonic acid, 10 mM dithiothreitol, 1 mM EDTA, 10% glycerol, and 0.1% CHAPS; pH 7.4) at 37 °C for 6 h. The release of *p*-nitroaniline was monitored using an enzyme-linked immunosorbent assay reader at 405 nm. The results are presented as the percentage change in activity compared with the untreated control.

#### 2.15. Transmission Electron Microscopy

HOS and U2OS cells  $(1 \times 10^5)$  were treated with naringenin as previously described. Cells were fixed for 10 min in 50% Karnovsky's fixative. Cells were collected and centrifuged at  $1500 \times g$  for 5 min. The pellet was washed and stored in 70% Karnovsky's fixative at 4 °C until embedding and then analyzed by means of a transmission electron microscope. The sections were observed under a JEOL JEM-1400 electron microscope (Tokyo, Japan).

#### 2.16. Statistical Analysis

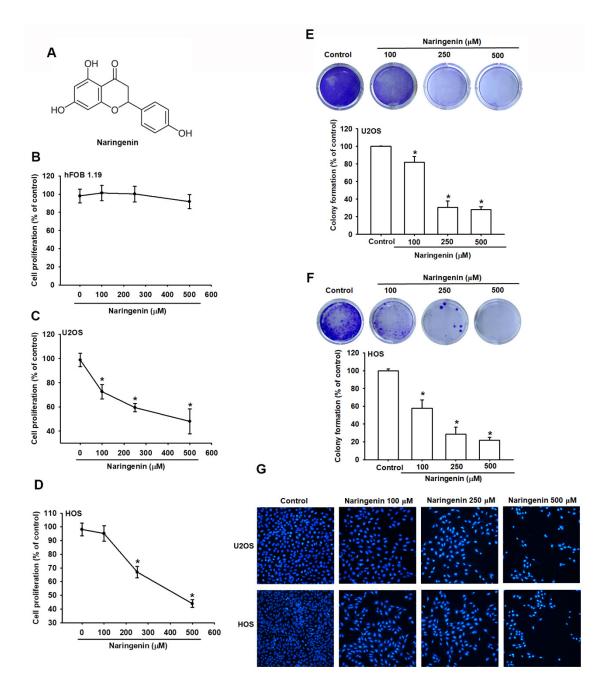
All results were analyzed using the GraphPad Prism program (GraphPad, San Diego, CA, USA). Quantified results were expressed as the mean  $\pm$  standard deviation (SD) and analyzed with one-way ANOVA followed by Fisher's least significant difference (LSD) post-hoc test. For all results, *p* < 0.05 was considered a significant difference.

#### 3. Results

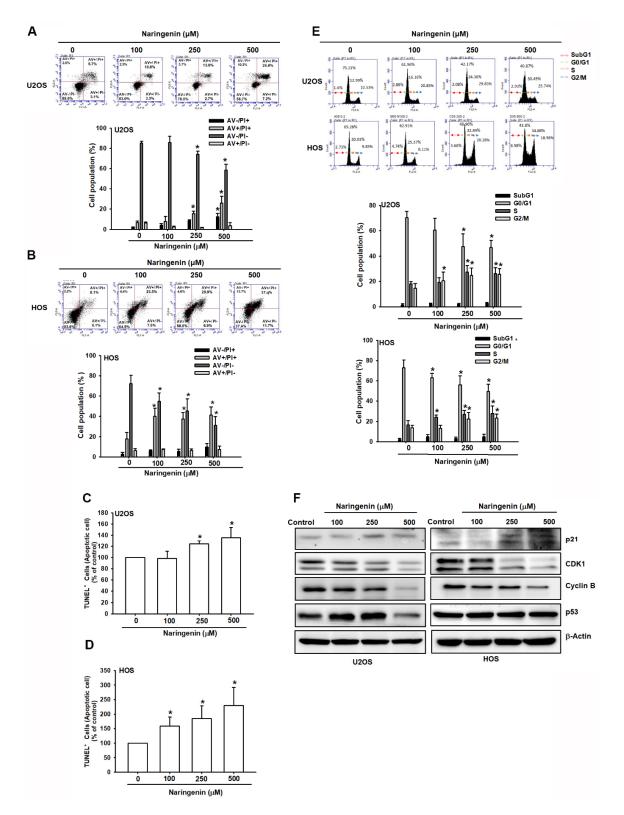
#### 3.1. Induction of Cell Apoptosis in Human Osteosarcoma Cells by Naringenin

Naringenin contains the skeleton structure of flavanone and three hydroxy groups at 4', 5', and 7' carbons ((2*S*)-4',5',7'-trihydroxyflavan-4-one; Figure 1A). To determine the effect of naringenin on human osteosarcoma, HOS and U2OS cells were treated with varying concentrations of naringenin for 24 h. The results indicated that naringenin reduced the viability of osteosarcoma cells but not normal hFOB 1.19 cells in a concentration-dependent manner (Figure 1B–D), indicating that naringenin selectively inhibited the growth of osteosarcoma cells but exhibited less cytotoxicity in normal human bone cells. In addition, the antiproliferative effect of naringenin on HOS and U2OS cells was evaluated through a colony formation assay. The results demonstrated that compared with no treatment, naringenin treatment significantly reduced the number of colonies in a dose-dependent manner (Figure 1E,F). Cell apoptosis caused by naringenin was examined using with DAPI staining. The results indicated that naringenin increased DNA condensation and morphological changes in HOS and U2OS cells (Figure 1G).

To explore whether naringenin inhibited the viability and proliferation of HOS and U2OS cells by inducing apoptosis, apoptotic cells were detected by performing Annexin V/PI double-labeling and the TUNEL assay. Treatment with varying concentrations of naringenin significantly promoted the apoptosis of osteosarcoma cells in a dose-dependent manner (Figure 2A,B). Similar results were obtained in the TUNEL assay (Figure 2C,D). To investigate the inhibitory effects of naringenin on the proliferation of HOS and U2OS cells, we examined cell cycle distribution after 24 h treatment with naringenin. The percentages of naringenin-treated osteosarcoma cells in the G2/M phase were significantly higher than those of control cells (Figure 2E). To verify this change, the levels of G2/M phase regulatory proteins (CDK1 and cyclin B) were examined by western blotting. The results indicated that compared with control cells, naringenin-treated cells exhibited decreased CDK1 and cyclin B levels and upregulated p21 expression (Figure 2F). These findings indicated that naringenin induced cell apoptosis and prolonged G2/M arrest in human osteosarcoma cells.



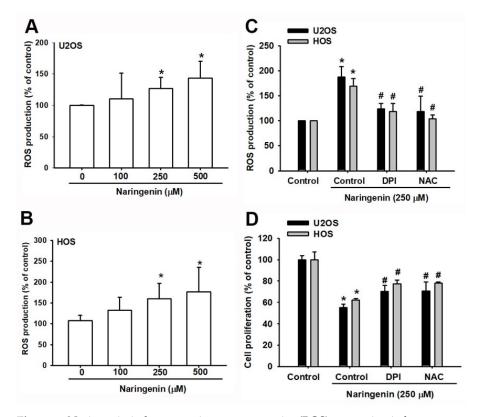
**Figure 1.** Naringenin inhibits the proliferation of human osteosarcoma cells. (**A**) Structure of naringenin. (**B–D**) hFOB 1.19, HOS, and U2OS cells were treated with the indicated concentration of naringenin for 24 h, and cell proliferation was determined using the CCK-8 assay. (**E**,**F**) Colony formation assay was performed in HOS and U2OS cells treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 7 days. Quantitative results indicated the number of colonies per group. (**G**) After cells were incubated with various concentrations of naringenin for 24 h, the nucleus morphology was determined through 4',6-diamidino-2-phenylindole staining, and cells were photographed. Magnification, ×200. Results are expressed as the mean ± SD of four independent experiments. \* *p* < 0.05 compared with control.



**Figure 2.** Naringenin induced apoptosis and cell cycle arrest at the G2/M phase in human osteosarcoma cells. (**A–D**) Cells were treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 24 h. Apoptotic cells were determined using with Annexin V/PI and TUNEL staining. (**E**) Flow cytometry was performed to determine the cell cycle distribution of HOS and U2OS cells treated with naringenin at various concentrations (100, 250, and 500  $\mu$ M) for 8 h. (**F**) Levels of p21, CDK1, cyclin B, and p53 proteins in naringenin-treated cells for 8 h were detected through western blotting. Results are expressed as the mean  $\pm$  SD of four independent experiments. \* p < 0.05 compared with control.

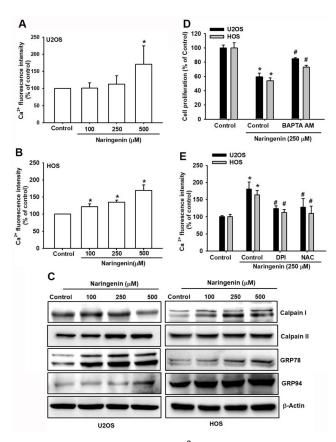
#### 3.2. Induction of ROS-Mediated ER Stress by Naringenin in Osteosarcoma Cells

ROS plays a crucial role in the apoptotic process in many cell types [24]. ER stress is induced by the accumulation of ROS, leading to mitochondrial dysfunction and apoptosis [25]. As shown in Figure 3A,B, naringenin induced ROS production and accumulation. Pretreating cells with the antioxidant NAC and the inhibitor of the flavoprotein-dependent oxidase DPI reduced ROS production and naringenin-induced cell apoptosis in osteosarcoma cells (Figure 3C,D).



**Figure 3.** Naringenin induces reactive oxygen species (ROS) generation in human osteosarcoma cells. (**A**,**B**) Cells were treated with naringenin (100, 250, and 500  $\mu$ M) for 1 h and stained with H<sub>2</sub>DCFDA. The percentage of ROS production was determined by flow cytometry. (**C**) Cells were treated as described in A-B. ROS inhibitors inhibited ROS production. (**D**) After cells were pretreated with a ROS inhibitor for 1 h, followed by stimulation with naringenin for 24 h, cell viability was examined by using the CCK-8 assay. Results are expressed as the mean  $\pm$  SD of three independent experiments. \* *p* < 0.05 compared with the control group; # *p* < 0.05 compared with the naringenin-treated group.

To determine whether naringenin induced apoptosis by triggering ER stress, we first examined its effect on the mobilization of  $Ca^{2+}$ . The  $Ca^{2+}$  level was significantly increased in naringenin-treated cells compared with control cells (Figure 4A,B). The results revealed that aberrant  $Ca^{2+}$  imbalance increased in naringenin-treated cells in a dose-dependent manner. We next determined whether ER stress-associated proteins, glucose-regulated proteins (GRP78 and GRP 94), and calpain proteins (calpain I and calpain II) are induced by naringenin in osteosarcoma cells. As shown in Figure 4C, naringenin increased the expression of GRP78, GRP94, and calpain I and II in a dose-dependent manner. Moreover, cells pretreated with BAPTA-AM, a  $Ca^{2+}$  cell-permeable chelator, markedly reduced naringenin-mediated cell apoptosis (Figure 4D). In addition, naringenin-induced  $Ca^{2+}$  release was inhibited by ROS inhibitors (DPI or NAC; Figure 4E). These findings indicated that naringenin induced cell apoptosis in osteosarcoma cells through ROS production and caused ER stress.

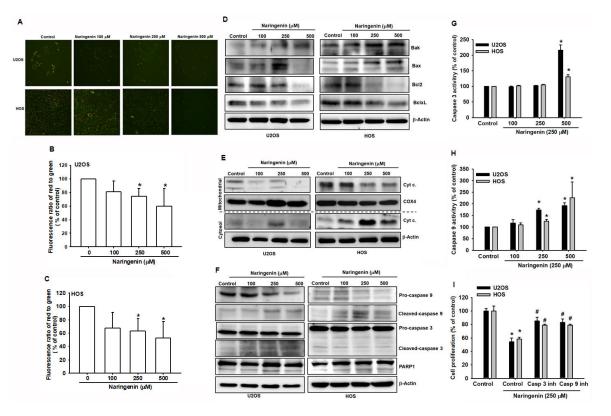


**Figure 4.** Naringenin triggers Ca<sup>2+</sup> release and causes endoplasmic reticulum (ER) stress in human osteosarcoma cells. (**A**,**B**) Cells were treated with naringenin (100, 250 and 500  $\mu$ M) for 5 h and stained with Fluo-4 AM. Ca<sup>2+</sup> expression was examined by using flow cytometry. (**C**) After cells were treated with varying concentrations of naringenin for 8 h, the protein expression of GRP78, GRP94, calpain I, and calpain II was examined using with western blotting, and  $\beta$ -actin was used as the internal control. (**D**) After cells were pretreated with BAPTA-AM for 1 h, followed by stimulation with naringenin for 24 h, cell viability was examined using the CCK-8 assay. (**E**) After cells were pretreated with a ROS inhibitor for 1 h, followed by stimulation with naringenin for 5 h, Ca<sup>2+</sup> expression was examined by using flow cytometry. Results are expressed as the mean  $\pm$  SD of four independent experiments. \* *p* < 0.05 compared with the control group. # *p* < 0.05 compared with the naringenin-treated group.

# 3.3. Involement of Cell Apoptosis in Naringenin-Induced Mitochondrial Dysfunction in Human Osteosarcoma

ROS production and accumulation in mitochondria reduce MMP, thereby triggering the mitochondrial apoptotic pathway [26]. To examine the effect of naringenin on HOS and U2OS cells, JC-1 staining was performed to determine the fluorescence ratio of orange and green fluorescence between normal and unhealthy mitochondria. Orange fluorescence disappeared in cells when observed under the  $200 \times$  microscope, indicating mitochondrial dysfunction (Figure 5A). To verify the loss of MMP, HOS and U2OS cells were analyzed using with flow cytometry, and the results revealed a shift in MMP in naringenin-treated cells (Figure 5B,C). The findings indicated the loss of MMP in cells treated with various naringenin concentrations. Mitochondrial and cytosolic proteins, cytochrome c, and proapoptotic/antiapoptotic proteins were examined in cells treated with naringenin. The release of cytochrome c due to mitochondrial dysfunction was upregulated by protein-protein interactions between Bcl-2 proteins (Figure 5D,E). Proapoptotic proteins, Bak and Bax, and cytochrome c released in the cytosol were upregulated with the increasing concentration of naringenin (Figure 5D,E). In the mitochondrial pathway of apoptosis, the downstream signaling of caspases was activated after treatment with naringenin. To determine the primary mediators of apoptosis, caspase-3 and caspase-9 were examined in

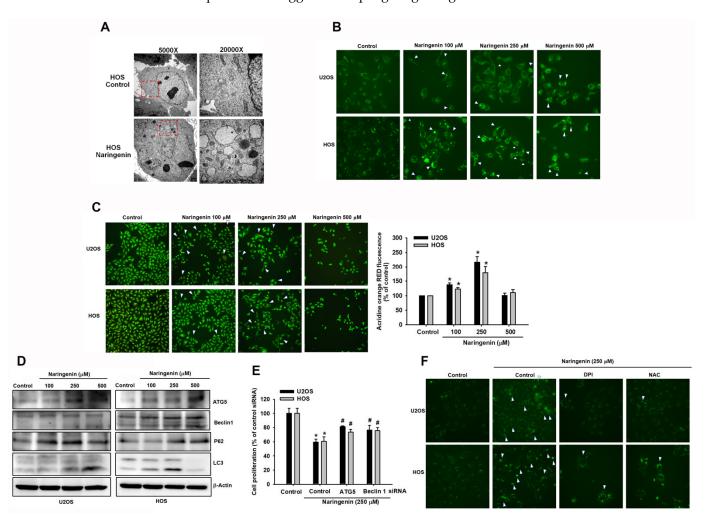
naringenin-treated HOS and U2OS cells. The results revealed the upregulation of caspases, including that of the PARP cleavage (Figure 5F). To determine the intrinsic pathway of naringenin-induced apoptosis, caspase-3 and caspase-9 activities were examined separately (Figure 5G,H). The activities of both caspases were associated with naringenin-induced cell apoptosis. Pretreatment of cells with a caspase-3 inhibitor (z-DEVD-FMK) or caspase-9 inhibitor (z-LEHD-FMK) inhibited naringenin-induced cell apoptosis (Figure 5I). Thus, these data demonstrated that naringenin induced mitochondrial dysfunction and the subsequent release of cytochrome c and activation of caspases-9 and caspases-3 in human osteosarcoma cells.



**Figure 5.** Naringenin triggers endoplasmic reticulum (ER) stress-related mitochondrial dysfunction in human osteosarcoma cells. (**A–C**) Cells were treated with naringenin at various concentrations (100, 250, and 500 µM) for 24 h and stained with JC-1 to determine the reduction in mitochondrial membrane potential. The percentage of cells was analyzed by using flow cytometry, and images were obtained using a fluorescence microscope. Magnification, ×200. (**D–F**) Cells were treated as described in A–B. The expression of mitochondrial dysfunction-related proteins, mitochondrial and cytosolic cytochrome c, Bax, Bak, Bcl-2, Bcl-xl, caspase-3, caspase-9, and PARP was determined by western blotting. (**G,H**) Cells were treated as described in A–B. The upregulation of caspase-3 and caspase-9 activities was determined and analyzed using the caspase activity assay. (**I**) Cells were pretreated with caspase inhibitors separately, followed by naringenin treatment (250 µM), and cell proliferation was determined using the CCK-8 assay. Results are expressed as the mean ± SD of four independent experiments. \* *p* < 0.05 compared with the control group. # *p* < 0.05 compared with the naringenin-treated group.

#### 3.4. Triggering of Autophagy in Human Osteosarcoma Cells by Naringenin

Because cell autophagy regulates cell death, we examined whether naringenin can induce autophagy. The autophagy phenomenon was observed in HOS and U2OS cells treated with naringenin. Using a transmission electronic microscope, it was observed that naringenin induced the formation of numerous intracytoplasmic vacuoles in HOS cells (Figure 6A). In addition, to observe naringenin-induced autophagy, HOS and U2OS cells were stained with DAPgreen, and the green fluorescence intensity within cells was detected. The intensity increased with the concentration of naringenin (Figure 6B). Moreover, AO staining revealed the accumulation of acidic vesicles in HOS and U2OS cells (Figure 6C). Furthermore, we examined the expression of several proteins that serve as markers of autophagy. Naringenin increased the level of microtubule-associated protein light chain 3 (LC3)-II and the expression of ATG5, Beclin 1, and p62 in a dose-dependent manner (Figure 6D). To determine the role of autophagy in naringenin-induced cell death, siRNAs of Beclin 1 and ATG5 were transfected in HOS and U2OS cells. The results of the CCK-8 assay revealed that Beclin 1 and ATG5 siRNAs suppressed the naringenin-induced loss of cell viability (Figure 6E), indicating that the autophagic mechanism of ATG5 and Beclin 1 involved in naringenin-induced cell death promotes either survival or death and is associated with signaling transduction in programmed cell apoptosis. Furthermore, we examined naringenin-induced cell autophagy by using ROS inhibitors. We performed DAPgreen staining to observe the green fluorescence intensity (Figure 6F). Cell images were obtained using a fluorescence microscope, and it was observed that after adding the inhibitors, the autophagosome (white arrow) significantly decreased in naringenin-treated cells compared with control cells. The results indicate that naringenin-induced intracellular ROS production triggered autophagic signaling in human osteosarcoma cells.



**Figure 6.** Naringenin initiates autophagy signaling responses in human osteosarcoma cells. (**A**) Electron microscope images of HOS cells were obtained with and without naringenin treatment for 8 h. (**B**,**C**) Cells were treated with naringenin for 8 h and stained with DAPgreen and acridine orange to determine the induction of autophagosome. (**D**) The expression of ATG5, Beclin 1, p62, and LC3-II proteins was determined by western blotting after naringenin treatment for 8 h. (**E**) siRNAs of ATG5 and Beclin 1 were transfected in cells for 24 h, followed by treatment with naringenin for 24 h. Cell

proliferation was determined using the CCK-8 assay. (F) Cells were pretreated with ROS inhibitors, followed by naringenin treatment (250  $\mu$ M) for 8 h, and were then stained with DAPgreen to determine autophagosome formation. Images were obtained using a fluorescence microscope. Magnification, ×200. Results are expressed as the mean  $\pm$  SD of four independent experiments. \* p < 0.05 compared with the control group. # p < 0.05 compared with the naringenin-treated group.

#### 4. Discussion

Grapefruit is important not only as a fruit but also in traditional medicine [27]. It is a rich source of bioactive compounds that may serve as chemopreventive agents in cancer therapy [28]. Naringenin is the active component in grapefruit extract [29]. Naringenin exhibits various bioactivities including antioxidative, anti-inflammatory, and anticancer effects. Naringenin exerts in vitro effects on various cancer cell lines at high concentration; eg., on breast cancer (SKBR3 and MDA-MD-231; 250 μM) [16–18,30,31] and liver cancer cell lines (HepG2; 100–200  $\mu$ M) [31]. In this study, we found that the IC<sub>50</sub> values for HOS and U2OS osteosarcoma cells after 24 h treatment with naringenin were 276 and 389  $\mu$ M, respectively. Although a high naringenin concentration was used during the study, naringenin selectively inhibited the growth of osteosarcoma cells and exhibited less cytotoxicity in normal human bone cells. This is the first study to report the cytotoxic and antiproliferative effects of naringenin on human osteosarcoma HOS and U2OS cells. In our study, the results of CCK-8 and colony formation assays and DAPI staining revealed that naringenin significantly inhibited the proliferation of HOS and U2OS cells. Moreover, naringenin induced caspase-dependent apoptosis in HOS and U2OS cells, as determined through flow cytometry, TUNEL staining, and the expression of apoptosis-related proteins. In this study, we observed that naringenin exhibited potent antitumor activity against osteosarcoma in vitro. Naringenin-induced ROS overproduction resulted in ER stress activation and autophagy, which caused cell apoptosis. The findings indicated that naringenin plays multiple roles in signaling pathways in human osteosarcoma cells.

Cell apoptosis and autophagy, which are involved in the regulation of cancer cell death, have been widely studied. Autophagy plays a critical role in maintaining homeostasis and the pathogenesis of many human diseases, including cancer [32]. Autophagy, a dynamic and conserved catabolic process, plays dual roles in cell survival and death [20]. In some circumstances, autophagy can lead to cell death either in collaboration with apoptosis or as a backup mechanism when apoptosis is defective [33]. Autophagy can be induced through acute exposure to resveratrol while prolonging the activation of the caspase-mediated cell death pathway [34]. Because Bcl-2 family proteins control the release of cytochrome c during mitochondrial dysfunction, Beclin 1 can be upregulated to activate the autophagy pathway with autophagy-related genes (ATG) and their protein products [35]. Cleavage of LC3 is an essential step in autophagy in osteosarcoma cells. We evaluated the expression levels of the autophagy markers LC3-I/LC3-II, Beclin 1, and p62. Transmission electron microscopy was mainly used for the detection of autophagosomes and the autophagy level. Our results indicated that naringenin induced autophagy in osteosarcoma cells.

Programmed cell apoptosis can be triggered by certain endogenous or exogenous signals through various ER stress-induced signals. Condensation of chromatin, fragmentation of DNA, and shedding of small fragments from cells are the innate cellular responses of apoptosis [37]. We examined mitochondrial dysfunction-induced apoptosis and observed that caspases play critical roles in the apoptotic cascade [38]. Our results revealed that naringenin increased the protein expression of caspase-3 and caspase-9. Moreover, Bcl-2 family proteins are mitochondrial apoptotic regulators that can be either proapoptotic proteins, such as Bax, or antiapoptotic proteins, such as Bcl-2. Naringenin upregulated Bax and Bak protein expression and downregulated Bcl-2 protein expression. Our results indicated that naringenin induced apoptosis through caspase-dependent apoptotic pathways by activating caspase-3/-9 and altering Bax/Bcl-2 in HOS and U2OS cells. ROS acts as a second messenger in cell signaling by regulating various biological processes in normal and cancer cells [39]. The ROS production is shared by most chemotherapeutics due to its role in triggering cell death [40,41]. Excessive ROS generation can result in oxidative stress, DNA damage, and cell death through apoptosis [42]. For example, Zhang et al. [43] reported that libertellenone H treatment significantly increased intracellular ROS generation and induced apoptosis in human pancreatic cancer cells in a ROS-dependent manner. Similarly, curcumin derivatives markedly increased ROS production in colon cancer cells [44]. Furthermore, naringenin-inhibited cell growth was effectively increased through intercellular ROS production [45]. ROS is crucial in cellular apoptosis and autophagy pathways [46]. For example, Zhang et al. [47] demonstrated that the induction of autophagy increased ROS-mediated apoptosis in human bladder cancer cells. They reported that apoptosis and autophagy were dependent on ROS production. The obtained results suggest that ROS plays a vital role in cancer cell apoptosis and autophagy.

Accumulating evidence has indicated that apoptosis is regulated by ER stress [48,49]. The ER is a major organelle in eukaryotic cells that is involved in protein processing, intracellular calcium storage, and lipid synthesis. In the present study, naringenin exerted potent cytotoxic and apoptotic effects on human osteosarcoma cells. We found that the intracellular ROS level was increased after naringenin treatment and that the antioxidant NAC rescued the apoptosis level. In addition, naringenin stimulated the expression of ER stress-related proteins including GRP78, GRP94, calpain I, and calpain II. Naringenin-induced apoptosis was suppressed by the ER stress inhibitor BAPTA-AM in HOS and U2OS cells. These results suggest that apoptosis is regulated by ER stress signaling. Moreover, ROS inhibitors (DPI and NAC) suppressed calcium release and autophagosome formation. Therefore, naringenin induced ER stress and autophagy by promoting ROS generation, thus resulting in cellular apoptosis.

In conclusion, we demonstrated that naringenin induced apoptosis through the ROSmediated ER stress signaling pathway and autophagy. Although a high concentration of naringenin was required in vitro to cause cancer cell death, naringenin is a potential agent against human osteosarcoma cells. Future studies examining novel naringenin-based derivatives are warranted.

**Author Contributions:** C.-W.L., Y.-C.C. and J.-F.L. conceived and designed the experiments, which were performed by C.-W.L., C.C.-Y.H., K.-T.P. and M.-C.C., K.-H.L., M.-L.F. and K.-H.L. analyzed the data. K.-H.L., Y.-C.C. and J.-F.L. contributed reagents/materials/analysis tools. C.-W.L., Y.-C.C., K.-H.L. and J.-F.L. wrote the paper. All authors have read and agreed to the published version of the manuscript.

**Funding:** This work was supported by grants from The Ministry of Science and Technology (MOST-106-2314-B-038-099-MY3, MOST-109-2320-B-255-004-MY3), Taipei Medical University (TMU108-AE1-B47). Chang Gung University of Science and Technology Chang Gung University of Science Foundation (grants ZRRPF6L0011, ZRRPF3L0091, ZORPF6L0011) and Chang Gung Medical Research Program Foundation (grants CMRPF6K0041).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Informed consent was obtained from all subjects involved in the study.

Data Availability Statement: All data and materials are available for verification as needed.

**Conflicts of Interest:** The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are not available from the authors.

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### Article In Vitro Effects of Papaverine on Cell Proliferation, Reactive Oxygen Species, and Cell Cycle Progression in Cancer Cells

Daniella A. Gomes, Anna M. Joubert 🖻 and Michelle H. Visagie \*D

Department of Physiology, Faculty of Health Sciences, School of Medicine, University of Pretoria, Private Bag X323, Gezina, Pretoria 0031, South Africa; daniella.a.d.gomes@gmail.com (D.A.G.); annie.joubert@up.ac.za (A.M.J.)

\* Correspondence: michelle.visagie@up.ac.za; Tel.: +27-12-319-2245

**Abstract:** Papaverine (PPV) is an alkaloid isolated from the *Papaver somniferum*. Research has shown that PPV inhibits proliferation. However, several questions remain regarding the effects of PPV in tumorigenic cells. In this study, the influence of PPV was investigated on the proliferation (spectrophotometry), morphology (light microscopy), oxidative stress (fluorescent microscopy), and cell cycle progression (flow cytometry) in MDA-MB-231, A549, and DU145 cell lines. Exposure to 150  $\mu$ M PPV resulted in time- and dose-dependent antiproliferative activity with reduced cell growth to 56%, 53%, and 64% in the MDA-MB-231, A549, and DU145 cell lines, respectively. Light microscopy revealed that PPV exposure increased cellular protrusions in MDA-MB-231 and A549 cells to 34% and 23%. Hydrogen peroxide production increased to 1.04-, 1.02-, and 1.44-fold in PPV-treated MDA-MB-231, A549, and DU145 cells, respectively, compared to cells propagated in growth medium. Furthermore, exposure to PPV resulted in an increase of cells in the sub-G<sub>1</sub> phase by 46% and endoreduplication by 10% compared to cells propagated in growth medium that presented with 2.8% cells in the sub-G<sub>1</sub> phase and less than 1% in endoreduplication. The results of this study contribute to understanding of effects of PPV on cancer cell lines.

Keywords: papaverine; cancer; morphology; proliferation; cell cycle

#### 1. Introduction

Cancer is one of the leading causes of death globally, with mortality rates increasing from 9.6 million in 2018 to 10 million in 2020 [1,2]. Simultaneously, the prevalence of cancer increased from 18.1 million to 19.3 million new cases, while death rates rose to approximately 19% in females and 43% in males [1,2]. It is projected that 28.4 million new cases will occur by 2040 should the rate trajectory remain consistent with the 2020 estimates [1]. Lung and breast cancer are the most common cancers globally, with lung cancer being the most common cause of cancer-related deaths, and breast cancer the fifth most common cause of cancer-related deaths. In 2020, approximately 2.2 million individuals were diagnosed with lung cancer, resulting in 1.8 million deaths [1]. In addition, 2.3 million individuals were diagnosed with breast cancer in 2020, resulting in 684,996 deaths [1]. Furthermore, approximately 1.4 million individuals were diagnosed with prostate cancer in 2020, resulting in 375,304 deaths [1].

The use of traditional plant-based medicine has been well documented and dates as far back as 2800 BC. Moreover, in today's modern age, the use of plant-derived compounds has grown and is creating a separate industry focused on phytomedicine rather than synthetic compounds [3]. Phytomedicines account for approximately 60% of the total of anticancer agents currently in use [4]. Naturally occurring plant derived treatments have therefore become a large avenue of research to develop novel cancer treatment options with a higher therapeutic index [5–8].

Papaverine (PPV) is a naturally occurring non-narcotic alkaloid obtained from *Papaver somniferum*, commonly known as the opium poppy seed (poppies) [9]. Poppies

**Citation:** Gomes, D.A.; Joubert, A.M.; Visagie, M.H. In Vitro Effects of Papaverine on Cell Proliferation, Reactive Oxygen Species, and Cell Cycle Progression in Cancer Cells. *Molecules* **2021**, *26*, 6388. https:// doi.org/10.3390/molecules26216388

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 20 August 2021 Accepted: 18 October 2021 Published: 22 October 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). have been used as an herbal medicine in Chinese and Indian medicine for their analgesic effects [4,9,10]. Despite being extracted from the poppy seed along with other opioids and alkaloids, the pharmacological activity of PPV does not possess any narcotic characteristics and is unrelated to the morphine classification of opioids, and it does not exert any analgesic effects [11,12]. PPV is approved by the Food and Drug Administration (FDA) of the United States of America as a vasodilator for the treatment of cerebral vasospasms and several coronary procedures, including subendocardial ischemia and erectile dysfunction [13–17]. The bioavailability of PPV is approximately 30% when taken orally [16,18–20]. Furthermore, these effects of PPV appear to be dose-dependent [19,21–23]. In addition, research studies have indicated that a 24-h and 48-h exposure to PPV at doses ranging from 0.01 to 1000  $\mu$ M exhibited a dose-dependent cytotoxic effect in breast ductal-carcinoma (T47D), a triple negative breast carcinoma cell line, M.D. Anderson-Metastatic breast cancer (MDA-MB-231), an estrogen receptor positive breast carcinoma cell line, Michigan Cancer Foundation cell line 7 (MCF-7), colorectal carcinoma (HT 29), prostate carcinoma (PC-3), and fibrosarcoma (HT1080) cells. In addition, no cytotoxic effects were exhibited in non-tumorigenic human fibroblast (NHF) and mouse non-tumorigenic embryonic fibroblasts (NIH 3T3) T-cells at doses ranging from 0.01 to 1000 µM [4,9,24]. Cytotoxicity assays conducted on NIH 3T3 cells showed that exposure to high doses of PPV (100–1000  $\mu$ M) reduced the percentage of cell growth to 90%. However, exposure to 0.01–1000 µM PPV in the tumorigenic cell lines, T47D, HT 29, and HT1080 resulted in a more prominent decrease in the percentage of cell growth to 20%, 30%, and 10%, respectively [9]. Additionally, research comparing the effects of PPV on PC-3 and NHF cells indicated that at a concentration of 200 µM PPV, cell viability was reduced to 10% and 90%, respectively [24]. This indicates that PPV may have a selective cytotoxicity towards tumorigenic cells while leaving non-tumorigenic cells either unaffected or less prominently affected [4,9,24].

Previous research has reported that PPV functions as a phosphodiesterase 10A (PDE10A) inhibitor which accounts for the anti-spasmodic effects observed in blood vessels when exposed to PPV [20,25]. Inhibition of PDE10A results in the increase in 3',5'-cyclic adenosine monophosphate (cAMP) which has several downstream effects, including the alteration of the mitochondrial complex 1 [20,25–27]. Consequently, these effects may alter the production of reactive oxygen species (ROS) as the mitochondrial complex I is one of the main sources of ROS through phosphorylation by nicotinamide adenine dinucleotide hydrogen (NADH) [28]. It is therefore possible that the effects exerted by PPV may alter ROS production. Currently, there is limited research avalaible regarding the effects that PPV exerts on ROS production.

Previous studies have indicated that the naturally occurring compound, PPV, currently in clinical use for vasodilation purposes, might inhibit cell growth and potentially induces cell death in cancer cell lines. However, specific effects on biochemical pathways remain unclear. Therefore, this study investigated the effects of PPV on cell proliferation, morphology, oxidative stress, cell cycle progression, and cell death induction in a triple negative breast cancer cell line (MDA-MB-231), adenocarcinoma alveolar cancer cell line (A549), and a prostate cancer cell line (DU145). Although previous research has extensively explored the cytotoxic effects of PPV, little research has been conducted on the effects that PPV exerts in tumorigenic cell lines on morphology and oxidative stress whilst research of the effects of PPV on cell cycle progression has yielded contradicting results [4,24]. Therefore, in the present study, we conducted a cytotoxicity assay to determine the optimal dose range in the selected tumorigenic cell lines which were then implemented in further experimentation to establish the effects that PPV exerts on morphology, oxidative stress, and cell cycle progression, which can aid future anticancer studies.

The data obtained in this study aided in the understanding of PPV's antiproliferative influence on cancer cell lines. Furthermore, contributing to the existing knowledge regarding the influence of a naturally occurring compound in cancer cell lines will improve cancer researchers' understanding of phytomedicinal compounds. The present study possibly provides new insight into the repurposing of non-addictive alkaloid, since it indicates that

PPV exerts anti-proliferative activity, and induces oxidative stress and cell cycle abnormalities. The present study explores the drug repurposing of a natural vasodilator in cancer research, determining antiproliferative and anticancer effects to provide insights into the novel application of PPV, a non-addictive, non-narcotic alkaloid, which may have reduced side effects compared to current therapeutic cancer treatments. Understanding the phytomedicinal compounds and determining the benefits of these compounds in comparison to current synthetic treatments may help develop novel treatment options with reduced side effects and potentially improved survival.

#### 2. Results

#### 2.1. Cell Proliferation

#### Cell Number Determination Using Crystal Violet Staining (Spectrophotometry)

The crystal violet assay (spectrophotometry) results indicated that PPV exerted differential time- and concentration-dependent effects on cell growth in all three cell lines (Figure 1, Supplementary Materials 1). Exposure to PPV at 50, 100, 150, and 300  $\mu$ M for 48 h in MDA-MB-231 cells resulted in a change in cell growth of 89%, 56%, 55% and 29%, respectively. In comparison, exposure to PPV at 50, 100, 150, and 300  $\mu$ M for 48 h in A549 cells resulted in a change in cell growth of 76%, 61%, 53% and 32%, respectively, and exposure to PPV at 50, 100, 150, and 300  $\mu$ M for 48 h in DU145 cells resulted in a change in cell growth of 80%, 80%, 64% and 31%, respectively (Figure 1).

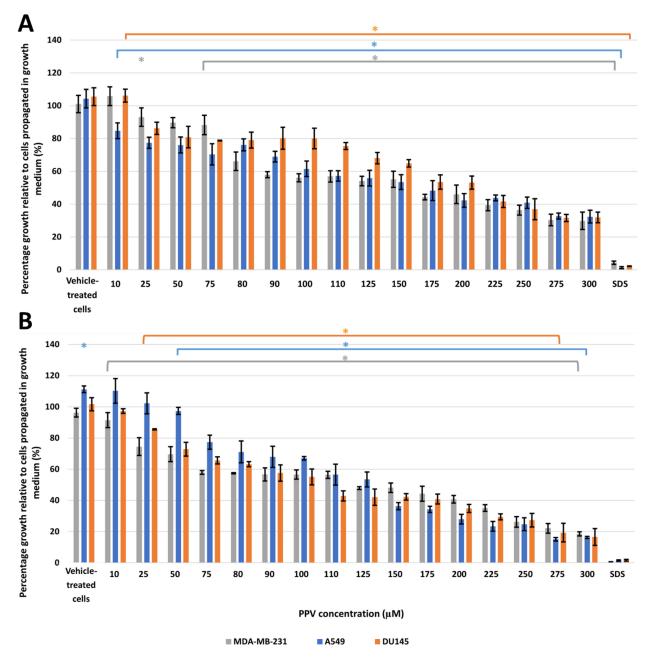
Exposure to PPV at 50, 100, 150, and 300  $\mu$ M for 72 h in MDA-MB-231 cells resulted in a change in cell growth of 69%, 56%, 48%, and 18%, respectively. In comparison, exposure to PPV at 50, 100, 150, and 300,  $\mu$ M for 72 h in A549 cells resulted in a change in cell growth of 97.4%, 67.0%, 36.3%, and 16.3%, respectively, and exposure to PPV at 50, 100, 150, and 300  $\mu$ M for 72 h in DU145 cells resulted in a change in cell growth of 72%, 55%, 42%, and 16%, respectively (Figure 1).

These results indicate that the PPV exerts differential time- and dose-dependent effects on cell proliferation in all three cell lines. Furthermore, the data demonstrates that PPV exerts optimal antiproliferative effects that are more prominently observed in the MDA-MB-231 and A549 cell lines after 48-h and 72-h exposure compared to the DU145 cell line. Thus, for all subsequent experiments, cell lines were exposed to PPV (10  $\mu$ M, 50  $\mu$ M, 100  $\mu$ M, and 150  $\mu$ M) for 48 h and 72 h to determine the effect of PPV on the morphology, H<sub>2</sub>O<sub>2</sub> production, and cell cycle and cell death induction.

#### 2.2. Cell Morphology

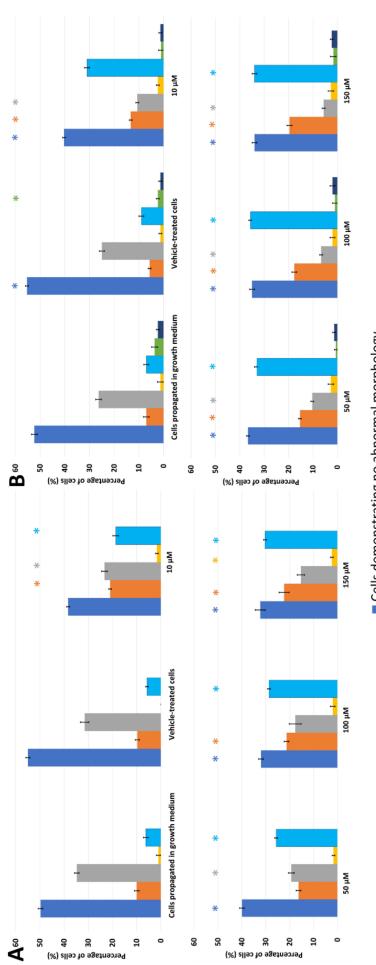
Morphology Observation Using Light Microscopy

The effects of PPV on cell morphology were investigated using light microscopy on MDA-MB-231, A549, and DU145 cell lines at 48 h and 72 h. This study was the first to demonstrate the effects of PPV in MDA-MB-231 and A549 cell lines. Light microscopy revealed that PPV decreased cell density and increased cell debris and abnormal morphological changes in a dose- and time-dependent manner in all three cell lines (Figures 2–4, Supplementary Materials 2). Aberrant morphological observations after exposure to PPV included shrunken cells demonstrating rounded morphology, cells demonstrating membrane blebbing, cells demonstrating lamellipodia-like protrusions, and cells demonstrating enlarged rounded morphology and demonstrating or extensions which act with the extracellular environment typically during cellular migration [29]. Cells demonstrating enlarged and some cells exhibiting enlarged and rounded morphology were only observed after 72 h in MDA-MB-231 cells. Furthermore, after exposure to 100 and 150  $\mu$ M of PPV for 48 h and 72 h in A549 cells, enlarged rounded morphology and enlarged cells were observed.



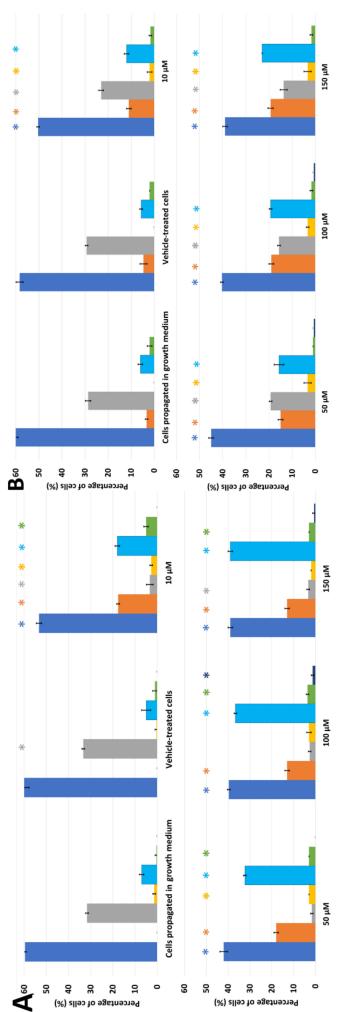
**Figure 1.** Spectrophotometry results of crystal violet staining demonstrating the effects of PPV (10–300  $\mu$ M) on proliferation on MDA-MB-231, A549, and DU145 cell lines at 48 h (**A**) and 72 h (**B**). The average of three independent experiments is represented by the graph with error bars indicating standard deviation. The statistical significance is represented by an \* when using the Student t-test with a *p* value of 0.05 compared to cells propagated in growth medium.





- Cells demonstrating no abnormal morphology
- Shrunken cells demonstrating rounded morphology
- Cells demonstrating rounded morphology
- Cells demonstrating membrane blebbing
- Cells demonstrating lamellipodia-like protrusions
- Cells demonstrating enlarged rounded morphology
  - Cells demonstrating enlarged morphology

Figure 2. Light microscopy results demonstrating the effects of PPV (10–150 µM) on cell morphology on MDA-MB-231 cells at 48 h (A) and 72 h (B). Statistical significance is represented by an \* when using the Student t-test with a p value of 0.05 compared to cells propagated in growth medium. Cells demonstrating enlarged and some cells exhibiting enlarged and rounded morphology were not observed after 48 h.



- Cells demonstrating no abnormal morphology
- Shrunken cells demonstrating rounded morphology
- Cells demonstrating rounded morphology
- Cells demonstrating membrane blebbing
- Cells demonstrating lamellipodia-like protrusions
- - Cells demonstrating enlarged rounded morphology
    - Cells demonstrating enlarged morphology

Figure 3. Light microscopy results demonstrating the effects of PPV (10–150 µM) on cell morphology on A549 cells at 48 h (A) and 72 h (B). Statistical significance is represented by an \* when using the Student t-test with a p value of 0.05 compared to cells propagated in growth medium.

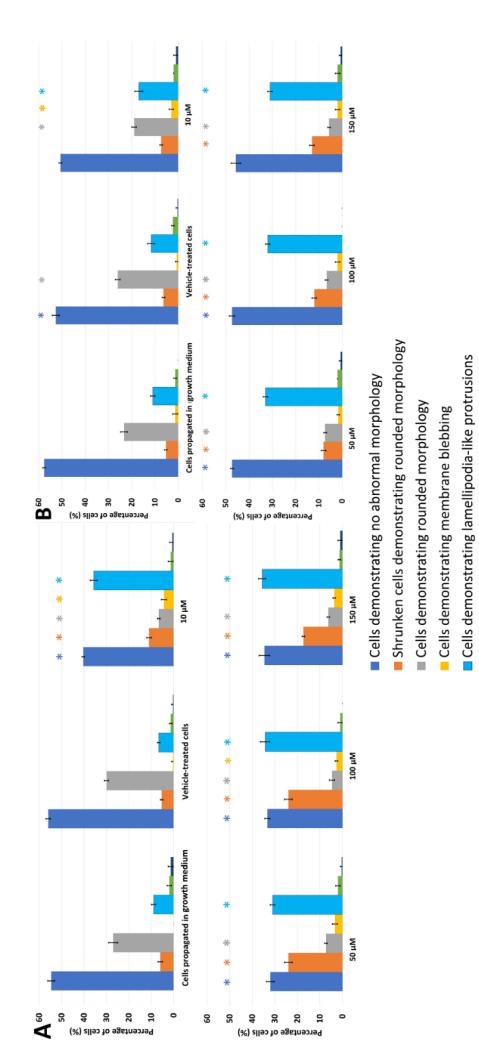
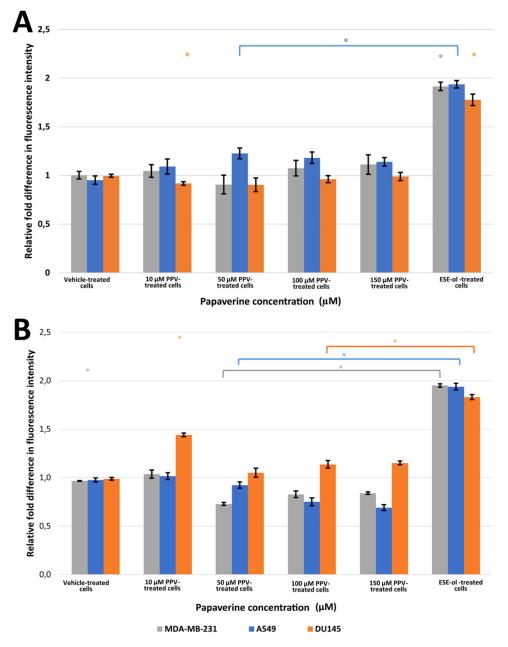


Figure 4. Light microscopy results demonstrating the effects of PPV (10–150 µM) on cell morphology on DU145 cells at 48 h (A) and 72 h (B). Statistical significance is represented by an \* when using the Student t-test with a p value of 0.05 compared to cells propagated in growth medium.

Cells demonstrating enlarged rounded morphology

Cells demonstrating enlarged morphology

Exposure to PPV at 10, 50, 100, and 150  $\mu$ M for 48 h in MDA-MB-231 cells resulted in significant aberrant morphological observations (Figure 2). Cells demonstrating lamellipodia-like protrusion abnormalities increased to 18%, 25%, 28%, and 30% in 10, 50, 100, and 150  $\mu$ M, respectively. Exposure to PPV at 10, 50, 100, and 150  $\mu$ M for 48 h in A549 cells resulted in significant aberrant morphological observations (Figure 3). Cells demonstrating lamellipodia-like protrusion abnormalities increased to 18%, 32%, 36%, and 39% in 10, 50, 100, and 150  $\mu$ M, respectively, and exposure to PPV at 10, 50, 100, and 150  $\mu$ M for 48 h in DU145 cells resulted in significant aberrant morphological observations (Figure 4). Cells demonstrating lamellipodia-like protrusion abnormalities increased to 35%, 31%, 34%, and 35% in 10, 50, 100, and 150  $\mu$ M, respectively (Figure 5).



**Figure 5.** Fluorescence microscopy results of DCFDA staining demonstrating the effects of PPV (10–150  $\mu$ M) on H<sub>2</sub>O<sub>2</sub> production on MDA-MB-231, A549, and DU145 cell lines at 48 h (**A**) and 72 h (**B**). The average of three independent experiments is represented by the graph with error bars indicating standard deviation. Statistical significance is represented by an \* when using the Student t-test with a *p* value of 0.05 compared to cells propagated in growth medium.

Exposure to PPV at 10, 50, 100, and 150  $\mu$ M for 72 h in MDA-MB-231 cells resulted in significant aberrant morphological observations (Figure 2). Cells demonstrating lamellipodia-like protrusion abnormalities increased to 31%, 33%, 35%, and 34% in 10, 50, 100, and 150  $\mu$ M, respectively. In comparison, exposure to PPV at 10, 50, 100, and 150  $\mu$ M for 72 h in A549 cells resulted in significant aberrant morphological observations (Figure 3). Cells demonstrating lamellipodia-like protrusion abnormalities increased to 12%, 15%, 19%, and 23% in 10, 50, 100, and 150  $\mu$ M, respectively, and exposure to PPV at 10, 50, 100, and 150  $\mu$ M for 72 h in DU145 cells resulted in significant aberrant morphological observations (Figure 5). Cells demonstrating lamellipodia-like protrusion abnormalities increased to 17%, 33%, 32%, and 31% in 10, 50, 100, and 150  $\mu$ M, respectively (Figure 4).

These data suggest that an increase in the concentration of PPV correlates with an increase in cells presenting with aberrant morphological manifestations such as lamellipodialike protrusions. Furthermore, light microscopy confirmed the spectrophotometry results that PPV reduced cell growth in a time- and dose-dependent manner in MDA-MB-231, A549, and DU145 cell lines. Furthermore, studies have indicated that cells undergoing endoreduplication exhibit altered morphology including protrusions and enlarged cells [30]. It is therefore possible that some of the morphological abnormalities observed may be due to endoreduplication. However, further experimentation must be conducted to confirm these findings.

#### 2.3. Oxidative Stress

Hydrogen Peroxide Production Using 2,7-Dichlorofluoresceindiacetate (DCFDA) (Fluorescent Microscopy)

The effects of PPV on hydrogen peroxide  $(H_2O_2)$  production was used as an indicator of oxidative stress. Exposure to PPV for 48 h resulted in a statistically significant increase in the fluorescent intensity in A549 cells when compared to cells propagated in growth medium (Figure 5, Supplementary Materials 3). A549 cells exposed to 10, 50, 100, and 150 μM of PPV for 48 h exhibited a fold increase to 1.09, 1.23, 1.18, and 1.14, respectively, relative to cells propagated in growth medium. However, MDA-MB-231 and DU145 cells exposed to PPV for 48 h exhibited no significant change in fluorescent intensity when compared to cells propagated in growth medium (Figure 5). Exposure to PPV for 72 h resulted in statistically significant decrease in fluorescent intensity in MDA-MB-231 and A549 cells when compared to cells propagated in growth medium (Figure 5). A549 cells exposed to 50, 100, and 150 µM of PPV for 72 h exhibited a fold decrease to 0.92, 0.75, and 0.69, respectively, relative to cells propagated in growth medium. MDA-MB-231 cells exposed to 50, 100, and 150  $\mu$ M of PPV for 72 h exhibited a fold decrease to 0.73, 0.83, and 0.84 respectively relative to cells propagated in growth medium (Figure 5). A statistically significant fold increase to 1.44, 1.14, and 1.15 was observed in DU145 cells exposed to PPV for 72 h at a concentration of 10, 100, and 150 µM, respectively, relative to cells propagated in growth medium (Figure 5).

#### 2.4. Cell Cycle Progression and Cell Death Induction

#### Cell Cycle Analysis Using Propidium Iodide Staining (Flow Cytometry)

Flow cytometry using propidium iodide staining and ethanol fixation allowed for the quantification of cell cycle distributions and cell death after exposure to PPV. The data obtained is the first to show the effects of PPV in A549 and DU145 cells on cell cycle progression. MDA-MB-231 cells exposed to 10, 50, 100, and 150  $\mu$ M of PPV for 48 h exhibited a statistically significant increase of 4%, 8%, 10%, and 8% of cells occupying the sub-G<sub>1</sub> phase, respectively, when compared to cells propagated in growth medium (Table 1). Similarly, MDA-MB-231 cells exposed to 10, 50, 100, and 150  $\mu$ M of PPV for 72 h exhibited a statistically significant increase of 9%, 5%, 9%, and 46% of cells in the sub-G<sub>1</sub> phase, respectively, compared to cells propagated in growth medium (Table 1, Supplementary Materials 4).

48 h // 72 h							
	sub-G <sub>1</sub>	G <sub>1</sub>	S	G <sub>2</sub> /M	Endoreduplication		
Cells propagated in growth medium	$\begin{array}{c} 2.09 \pm 0.61  \textit{//} \\ 1.65 \pm 0.24 \end{array}$	$\begin{array}{c} 61.80 \pm 2.4\ 2//\\ 76.00 \pm 0.80 \end{array}$	$\begin{array}{c} 6.90 \pm 0.68  /  / \\ 5.63 \pm 0.48 \end{array}$	$\begin{array}{c} 15.77 \pm 1.06 \; /  / \\ 15.60 \pm 0.70 \end{array}$	$\begin{array}{c} 0.41 \pm 0.26 \; / / \\ 0.85 \pm 0.13 \end{array}$		
Vehicle-treated cells	$\begin{array}{c} 2.35 \pm 0.49 \ / \ / \\ 2.19 \pm 0.22 \end{array}$	$\begin{array}{c} 65.43 \pm 2.22 \; /  / \\ 70.77 \pm 0.65 \; * \end{array}$	$\begin{array}{c} 10.70 \pm 0.60 * \textit{//} \\ 7.12 \pm 1.87 \end{array}$	$\begin{array}{c} 19.40 \pm 1.28 \; / / \\ 18.80 \pm 0.46 \; * \end{array}$	$\begin{array}{c} 0.48 \pm 0.22 \; \textit{//} \\ 0.61 \pm 0.22 \end{array}$		
10 $\mu$ M PPV-treated cells	$\begin{array}{c} 7.32\pm0.72\ *//\\ 10.32\pm0.57\ * \end{array}$	$\begin{array}{c} 59.10 \pm 1.37 \; / / \\ 60.93 \pm 1.01 \; * \end{array}$	$\begin{array}{c} 7.32 \pm 0.25  \textit{//} \\ 6.18 \pm 0.65 \end{array}$	$\begin{array}{c} 17.53 \pm 1.27 \; /  / \\ 13.47 \pm 0.99 \end{array}$	$\begin{array}{c} 1.50 \pm 0.22 * \textit{//} \\ 4.28 \pm 0.46 * \end{array}$		
50 $\mu$ M PPV-treated cells	$9.00 \pm 0.71  *//$ $7.12 \pm 0.78  *$	$\begin{array}{c} 65.77 \pm 1.53 \ *//\\ 53.43 \pm 1.32 \ * \end{array}$	$\begin{array}{c} 10.16 \pm 0.47 \; \textit{//} \\ 6.09 \pm 0.68 \end{array}$	$\begin{array}{c} 13.60 \pm 0.70 \; \textit{//} \\ 18.37 \pm 1.00 \; ^{*} \end{array}$	$\begin{array}{c} 1.47 \pm 0.54 * \textit{//} \\ 10.83 \pm 0.95 * \end{array}$		
100 µM PPV-treated cells	$\begin{array}{c} 7.36 \pm 1.23 \ * \textit{//} \\ 11.25 \pm 1.30 \ * \end{array}$	$\begin{array}{c} 56.50 \pm 0.85 \; /  / \\ 54.07 \pm 1.20 \; * \end{array}$	$\begin{array}{c} 10.16\pm0.47\ *\ /\ /\\ 6.09\pm0.68\ *\end{array}$	$\begin{array}{c} 21.80 \pm 0.20 \ ^{*} \ / \ \\ 16.37 \pm 0.61 \end{array}$	2.55 ± 1.27 * // 10.83 ± 0.95 *		
150 μM PPV-treated cells	$\begin{array}{c} 14.07 \pm 0.65  */ / \\ 47.73 \pm 1.46  * \end{array}$	$60.33 \pm 0.78$ // $34.80 \pm 1.57$ *	$\begin{array}{c} 6.71 \pm 0.49 \: / \: / \\ 3.88 \pm 0.32 \: * \end{array}$	$\begin{array}{c} 16.03 \pm 0.86 \; /  / \\ 10.77 \pm 0.21 \; * \end{array}$	$\begin{array}{c} 1.47 \pm 0.85 \; / / \\ 5.06 \pm 0.53 \; * \end{array}$		
ESE-ol-treated cells	$\begin{array}{c} 28.90 \pm 0.87 \ *//\\ 25.87 \pm 0.67 \ * \end{array}$	$\begin{array}{c} 30.83 \pm 1.07 * \textit{//} \\ 40.03 \pm 0.72 * \end{array}$	$\begin{array}{c} 10.47 \pm 0.72 * \textit{//} \\ 8.39 \pm 0.54 * \end{array}$	$\begin{array}{c} 29.97 \pm 0.81 \ ^{*} \ / \ \\ 22.60 \pm 1.39 \ ^{*} \end{array}$	$\begin{array}{c} 0.18 \pm 0.18 \ / \\ 0.41 \pm 0.08 \end{array}$		

**Table 1.** The effects of PPV on cell cycle and cell death induction as a percentage of cells in each phase of the cell cycle on MDA-MB-231 cells at 48 h // 72 h. Statistical significance is represented by an \* when using the Student *t*-test with a *p* value of 0.05 compared to cells propagated in growth medium.

Furthermore, a statistically significant increase of 1.09%, 1.06%, and 2.14% of cells in endoreduplication was observed when exposed to 10, 50, and 100  $\mu$ M of PPV for 48 h, respectively, when compared to cells propagated in growth medium. Whilst a statistically significant increase of 3.4%, 10%, 10%, and 4.2% of cells in endoreduplication was observed when exposed to 10, 50, and 100  $\mu$ M of PPV for 72 h, respectively, when compared to cells propagated in growth medium.

A549 cells exposed to 10, 50, 100, and 150  $\mu$ M of PPV for 48 h exhibited a statistically significant increase of 4%, 8%, 10%, and 8% of cells in the sub-G<sub>1</sub> phase, respectively, when compared to cells propagated in growth medium. A549 cells exposed to 50, 100, and 150  $\mu$ M of PPV for 72 h exhibited a statistically significant increase of 6%, 2%, and 6% of cells in the sub-G<sub>1</sub> phase, respectively, when compared to cells propagated in growth medium (Table 2). Additionally, a statistically significant increase of 2.3% of cells in endoreduplication was observed when exposed to PPV for 48 h when compared to cells propagated in growth medium. Furthermore, a statistically significant increase of 3%, 8%, 2%, and 3% of cells in endoreduplication was observed when exposed to 10, 50, 100, and 150  $\mu$ M of PPV for 72 h, respectively, when compared to cells propagated in growth medium.

DU145 cells exposed to 10, 50, 100, and 150  $\mu$ M of PPV for 48 h exhibited a statistically significant increase of 9%, 7%, 4%, and 5% of cells in the sub-G<sub>1</sub> phase, respectively, when compared to cells propagated in growth medium. DU145 cells exposed to 10, 50, 100, and 150  $\mu$ M of PPV for 72 h exhibited a statistically significant increase of 13%, 10%, 14%, and 23% of cells in the sub-G<sub>1</sub> phase, respectively, when compared to cells propagated in growth medium (Table 3). Furthermore, a statistically significant increase of 1.2%, 1.6%, and 0.8% of cells in endoreduplication was observed when exposed to 10, 50, and 100  $\mu$ M of PPV for 48 h, respectively, when compared to cells propagated in growth medium, whilst a statistically significant increase of 3%, 9%, 4%, and 4% of cells in endoreduplication was observed when exposed to 10, 50, 100, and 150  $\mu$ M of PPV for 72 h, respectively, when compared to cells propagated in growth medium, whilst a statistically propagated in growth medium. These results confirm the findings observed in the morphology studies, as it was suggested that the abnormal morphology observed in this study is indicative of endoreduplication.

48 h // 72 h							
	sub-G <sub>1</sub>	G <sub>1</sub>	S	G <sub>2</sub> /M	Endoreduplication		
Cells propagated in growth medium	$\begin{array}{c} 2.93 \pm 0.07 \; \textit{//} \\ 2.87 \pm 0.74 \end{array}$	$71.63 \pm 0.70 \; / / \\ 70.87 \pm 1.01$	$\begin{array}{c} 6.78 \pm 0.69 \; \textit{//} \\ 11.27 \pm 1.26 \end{array}$	$\begin{array}{c} 18.30 \pm 0.36 \: / \: / \\ 10.65 \pm 2.09 \end{array}$	$\begin{array}{c} 0.89 \pm 0.11 \; / / \\ 0.08 \pm 0.01 \end{array}$		
Vehicle-treated cells	$\begin{array}{c} 3.42 \pm 0.59 \; \textit{//} \\ 2.40 \pm 0.02 \end{array}$	$\begin{array}{c} 70.73 \pm 1.37 \; /  / \\ 74.30 \pm 1.68 \; * \end{array}$	$\begin{array}{c} 9.04 \pm 0.90 \: / \: / \\ 9.18 \pm 0.72 \: * \end{array}$	$\begin{array}{c} 17.87 \pm 0.76 \; \textit{//} \\ 14.77 \pm 1.29 \; \ast \end{array}$	$\begin{array}{c} 0.59 \pm 0.09 \; / / \\ 0.08 \pm 0.01 \end{array}$		
10 $\mu$ M PPV-treated cells	$7.53 \pm 0.10 * // \\ 4.24 \pm 2.29$	57.90 ± 0.82 * // 63.00 ± 1.39 *	$\begin{array}{c} 5.97 \pm 0.77 \; \textit{//} \\ 6.38 \pm 0.37 \; * \end{array}$	$\begin{array}{c} 25.17 \pm 0.38 * \textit{//} \\ 16.63 \pm 1.39 * \end{array}$	$\begin{array}{c} 3.22\pm 0.31 * \textit{//} \\ 3.03\pm 0.90 * \end{array}$		
50 $\mu$ M PPV-treated cells	$\begin{array}{c} 11.23 \pm 0.15 * \textit{//} \\ 8.45 \pm 1.23 * \end{array}$	$\begin{array}{c} 55.40 \pm 0.66 \ ^{*} \textit{//} \\ 62.27 \pm 1.62 \ ^{*} \end{array}$	$\begin{array}{c} 7.78 \pm 0.46 \: / / \\ 5.05 \pm 0.61 \: * \end{array}$	$\begin{array}{c} 23.30 \pm 1.35 * \textit{//} \\ 12.03 \pm 1.96 \end{array}$	$\begin{array}{c} 3.09 \pm 0.66 * \textit{//} \\ 8.37 \pm 2.07 * \end{array}$		
100 µM PPV-treated cells	$\begin{array}{c} 13.33 \pm 0.90 \ ^{*} \ / \ \\ 5.12 \pm 0.35 \ ^{*} \end{array}$	$\begin{array}{c} 60.00 \pm 0.53 * \textit{//} \\ 72.30 \pm 2.50 \end{array}$	$\begin{array}{c} 7.78 \pm 0.46 \: / / \\ 5.05 \pm 0.61 \: * \end{array}$	$\begin{array}{c} 16.43 \pm 0.64 \; / / \\ 13.10 \pm 1.65 \end{array}$	2.58 ± 0.28 * // 1.73 ± 0.40 *		
150 μM PPV-treated cells	$\begin{array}{c} 11.77 \pm 0.32 \ ^{*} \ / \ \\ 9.60 \pm 0.53 \ ^{*} \end{array}$	68.77 ± 1.21 * // 66.23 ± 1.91 *	$\begin{array}{c} 4.33 \pm 0.42 * \textit{//} \\ 5.29 \pm 0.78 * \end{array}$	$\begin{array}{c} 14.23 \pm 1.37 \ * \textit{//} \\ 11.80 \pm 2.26 \ * \end{array}$	$\frac{1.66 \pm 0.74 \; / /}{3.21 \pm 0.97 \; *}$		
ESE-ol-treated cells	$\begin{array}{c} 35.97 \pm 1.80 * \textit{//} \\ 24.50 \pm 0.75 * \end{array}$	$\begin{array}{c} 22.43 \pm 0.21 * \textit{//} \\ 56.20 \pm 1.31 * \end{array}$	$\begin{array}{c} 7.72 \pm 0.54  \textit{//} \\ 8.08 \pm 2.33 \end{array}$	$\begin{array}{c} 29.50 \pm 1.35 * \textit{//} \\ 13.87 \pm 1.17 \end{array}$	$\begin{array}{c} 0.42 \pm 0.42 \; \textit{//} \\ 0.03 \pm 0.02 \end{array}$		

**Table 2.** The effects of PPV on cell cycle and cell death induction as a percentage of cells in each phase of the cell cycle on A549 cells at 48 h // 72 h. Statistical significance is represented by an \* when using the Student *t*-test with a *p* value of 0.05 compared to cells propagated in growth medium.

**Table 3.** The effects of PPV on cell cycle and cell death induction as a percentage of cells in each phase of the cell cycle on DU145 cells at 48 h // 72 h. Statistical significance is represented by an \* when using the Student t-test with a *p* value of 0.05 compared to cells propagated in growth medium.

48 h // 72 h							
	sub-G <sub>1</sub>	G <sub>1</sub>	S	G <sub>2</sub> /M	Endoreduplication		
Cells propagated in growth medium	$\begin{array}{c} 3.22\pm 0.91//\\ 1.41\pm 0.19\end{array}$	$\begin{array}{c} 64.03 \pm 0.96 \; \textit{//} \\ 76.70 \pm 0.30 \end{array}$	$\begin{array}{c} 10.56 \pm 0.82 \; \textit{//} \\ 5.47 \pm 0.36 \end{array}$	$\begin{array}{c} 19.67 \pm 0.78 \; /  / \\ 16.67 \pm 0.49 \end{array}$	$\begin{array}{c} 0.95 \pm 0.03 \; \textit{//} \\ 0.52 \pm 0.28 \end{array}$		
Vehicle-treated cells	$\begin{array}{c} 3.16 \pm 0.94 \ \textit{//} \\ 1.44 \pm 0.07 \end{array}$	$\begin{array}{c} 65.90 \pm 1.97 \; /  / \\ 66.60 \pm 2.10 \; * \end{array}$	$\begin{array}{c} 11.30 \pm 0.85 *  \textit{//} \\ 9.80 \pm 0.54  * \end{array}$	$\begin{array}{c} 17.60 \pm 0.89 \; /  / \\ 18.90 \pm 1.74 \end{array}$	$\begin{array}{c} 0.52 \pm 0.36 \; \textit{//} \\ 0.75 \pm 0.14 \end{array}$		
10 $\mu$ M PPV-treated cells	$\begin{array}{c} 12.83 \pm 1.70 * \textit{//} \\ 14.30 \pm 0.53 * \end{array}$	$\begin{array}{c} 58.10 \pm 0.26 * \textit{//} \\ 60.77 \pm 2.31 * \end{array}$	$\begin{array}{c} 7.47 \pm 0.57 * \textit{//} \\ 9.34 \pm 0.36 * \end{array}$	$\begin{array}{c} 17.90 \pm 0.17 * \textit{//} \\ 16.37 \pm 0.93 \end{array}$	$\begin{array}{c} 2.15 \pm 0.60 * \textit{//} \\ 3.63 \pm 0.04 * \end{array}$		
50 $\mu$ M PPV-treated cells	$\begin{array}{c} 10.91 \pm 0.94 * \textit{//} \\ 10.90 \pm 0.85 * \end{array}$	$\begin{array}{c} 54.47 \pm 0.81 * \textit{//} \\ 50.73 \pm 1.26 * \end{array}$	$\begin{array}{c} 7.15 \pm 0.84 * \textit{//} \\ 7.35 \pm 0.45 * \end{array}$	$\begin{array}{c} 22.13 \pm 1.43 \; / / \\ 20.27 \pm 0.65 \; * \end{array}$	$\begin{array}{c} 2.58 \pm 0.35 * \textit{//} \\ 9.34 \pm 0.51 * \end{array}$		
100 µM PPV-treated cells	$8.16 \pm 0.27$ * // 15.13 $\pm$ 0.32 *	63.10 ± 3.05 // 57.83 ± 0.70 *	$7.15 \pm 0.84 * \textit{//} \\ 7.35 \pm 0.45 *$	$\begin{array}{c} 18.23 \pm 1.31 \ ^{*} \ / \ \\ 17.27 \pm 0.78 \ ^{*} \end{array}$	$\begin{array}{c} 1.76 \pm 0.22 * \textit{//} \\ 4.57 \pm 1.18 * \end{array}$		
150 μM PPV-treated cells	$\begin{array}{c} 8.87 \pm 0.56 * \textit{//} \\ 23.97 \pm 0.38 * \end{array}$	$\begin{array}{c} 56.57 \pm 0.55 * \textit{//} \\ 51.50 \pm 0.87 * \end{array}$	$\begin{array}{c} 9.62 \pm 0.64 * \textit{//} \\ 5.21 \pm 0.63 \end{array}$	$\begin{array}{c} 21.23 \pm 0.91 \; \textit{//} \\ 15.67 \pm 0.58 \end{array}$	$\begin{array}{c} 2.30 \pm 0.82 \; \textit{//} \\ 4.62 \pm 1.25 \; * \end{array}$		
ESE-ol-treated cells	$\begin{array}{c} 32.70 \pm 1.51 * \textit{//} \\ 36.23 \pm 0.81 * \end{array}$	$\begin{array}{c} 27.67 \pm 1.19 * \textit{//} \\ 38.03 \pm 1.40 * \end{array}$	$\begin{array}{c} 8.75 \pm 0.62 * \textit{//} \\ 7.66 \pm 0.33 * \end{array}$	$\begin{array}{c} 28.53 \pm 3.54  \textit{//} \\ 17.37 \pm 0.76 \end{array}$	$\begin{array}{c} 0.52 \pm 0.64 \; /  / \\ 0.08 \pm 0.05 \end{array}$		

#### 3. Discussion

Several studies have indicated that PPV exerts antiproliferative effects in tumorigenic cell lines while leaving non-tumorigenic cell lines less affected [4,9,24]. However, there is limited literature demonstrating the influence of PPV on other cellular phenomena and signal transduction in tumorigenic cell lines. Therefore, the effects of the benzylisoquinoline alkaloid, PPV, were investigated on cell proliferation, morphology,  $H_2O_2$  production, and cell cycle progression in MDA-MB-231, A549, and DU145 cells. The proliferation study using crystal violet staining (10–300  $\mu$ M) at 24 h, 48 h, 72 h, and 96 h was implemented as a time and dose study and revealed that PPV causes time- and dose-dependent cytotoxic

effects in MDA-MB-231, A459, and DU145 cells. Previous studies have indicated that exposure to PPV for 48 h reduces cell viability with a half-maximal inhibitory concentration (IC50) of more than 10  $\mu$ M in MDA-MB-231, MCF7, and PC-3 cells [4,24]. Furthermore, cytotoxicity assays using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay revealed that cell growth was reduced to 38%, 35%, 20%, and 15% in human hepatoma (HepG-2), HT 29, T47D, and HT 1080 cells after 48 h of exposure to PPV [9]. PPV exerted cytotoxic effects in tumorigenic cell lines, indicating that these effects of PPV were cell line-dependent [9,31]. These results are supported by the current study which indicated that PPV reduced cell viability in MDA-MB-231 and A549 cells more at 48 h and 72 h when compared to DU145 cells.

Light microscopy revealed that at exposure times of 48 h and 72 h, all three cell lines were affected morphologically by PPV (10–150  $\mu$ M) and indicated a reduction in cell density. An increase in aberrant morphological changes was observed that correlated with an increase in PPV concentration in all three cell lines, further supporting the suggestion that the effects exerted by PPV are dose-dependent. Additionally, a more prominent increase in abnormal morphology was present after exposure to PPV for 72 h, with more statistically significant morphological alterations seen in MDA-MB-231 cells compared to the A549 and DU145 cells. Previous research indicated that PPV exhibited no significant changes on the morphology of DU145 cells after 48 h [32]. Whilst these findings are supported by the present study, DU145 cells did exhibit more notable morphological alterations after 72 h. Furthermore, studies have indicated that cells undergoing endored uplication exhibit aberrant morphological changes including enlarged morphology and in some cases, membrane projections; these alterations are similar to the morphological abnormalities observed in the present study [30].

A statistically significant increase in  $H_2O_2$  production as an indicator of oxidative stress in A549 cells in comparison to MDA-MB-231 and DU145 cells was observed after 48 h exposure to PPV. Findings indicated that an increase in PPV concentration resulted in a decrease in fluorescent intensity in A549 cells; however, PPV does result in an increase in fluorescent intensity in comparison to cells propagated in growth medium only. Furthermore, exposure to PPV for 72 h indicated that an increase in PPV concentration resulted in a decrease in fluorescent intensity in all three cell lines. However, the fluorescent intensity in DU145 cells was higher than cells propagated in growth medium whilst the fluorescent intensity in MDA-MB-231 and A549 cells was lower than cells propagated in growth medium.

Previous research has suggested that PPV inhibits PDE10A; consequently, the levels of available cAMP decrease [20,25–27]. Alterations to cAMP have been shown to affect the mitochondrial complex 1 which is the start point for the electron transport chain [28,33]. Therefore, the inhibitory effect PPV exerts on PDE10A may subsequently influence  $H_2O_2$  production via the cAMP and mitochondrial complex 1 signalling cascade [20,25–28,33]. Prior research reported that mitochondrial respiration and the mitochondrial complex 1 has been affected by PPV, implicating the inhibition of PDE10A as a potential cause [17,20]. As indicated by the DCFDA staining, ROS production is affected by PPV. It is possible that these measurable affects are a result of the inhibition of PDE10A. However, further investigation must be conducted to confirm if these effects are connected.

Cell cycle progression revealed that PPV induced a marked increase of cells in the sub- $G_1$  peak, variable changes in the percentage of cells in the S and  $G_2M$  phase, and a change in the percentage of cells in the endoreduplication phase when compared to cells propagated in growth medium at 48 h and 72 h. Endoreduplication has been described as a process by which cells that have undergone DNA damage continue to enter the cell cycle without dividing, resulting in polyploid cells [30]. This results in cells that can avoid programmed cell death. It has been suggested that when cells undergo endoreduplication, an initial period of inhibited cell proliferation occurs. However, subsequent to the initial cell growth inhibition, cells are still able to progress through the cell cycle and enter the S and  $G_2M$  phase before undergoing endoreduplication [30]. Cells therefore have an increase

in DNA and are ultimately larger in size. This leads to a peak in the cell cycle beyond the  $G_2M$  peak [30]. Cell cycle progression after exposure to PPV showed an increase in cells undergoing endoreduplication when compared to cells propagated in growth medium and the vehicle-treated cells. The present study indicated that the effects exerted by PPV on cell cycle in all three cell lines are time- and dose-dependent. These results indicated that the effects are cell line specific, supporting previous studies [4,24].

The present study therefore contributes to the application of an existing natural vasodilator to cancer research and treatment by establishing its effects on proliferation,  $H_2O_2$  production, and cell death induction. The results indicate time, dose, and cell line specific effects of PPV on cell proliferation, morphology, oxidative stress, and cell cycle progression. Developing an understanding of this natural herbal compound used in traditional and conventional medicine may aid in the development of novel phytomedicinal treatments which can potentially reduce the side effects observed in current treatments in cancer care and cancer research [4]. Understanding the compound's cell line specificity may aid in its use as an antiproliferative agent; however, this must be further investigated.

#### 4. Materials and Methods

4.1. Materials

4.1.1. Cell Lines

Triple negative breast cancer (TNBC) is a subtype of breast cancer that is highly invasive and characterised by the lack of estrogen receptors (ER), progesterone receptors (PR) and does not overproduce human epidermal growth factor receptor 2 (HER2) [34,35]. M.D. Anderson-Metastasis breast cancer-231 (MDA-MB-231) is a TNBC cell line that is highly invasive and tumorigenic with limited therapeutic targets. Previous research indicated cytotoxic effects of PPV on MDA-MB-231 cells with little insight into the effects on morphology,  $H_2O_2$  production, and limited research on cell cycle progression [4]. Type II alveolar epithelium cells are found within the lungs, despite covering a small surface area of the alveolus; there are more type II alveolar epithelium cells than type I alveolar epithelium cells, as a result, type II alveolar epithelium adenocarcinomas are typically more common [36]. The A549 cell line is an alveolar adenocarcinoma cell line that exhibits type II alveolar cell characteristics, including larger pores to allow for increased diffusion [36]. Currently, there is limited research on the effects of PPV on A549 cells with studies focusing more on cytotoxicity and mitochondrial effects than morphology and cell cycle progression [17]. Human prostate adenocarcinoma (DU145) is a metastatic prostate adenocarcinoma cell line isolated from brain lesions in a 69-year-old male in 1975 [37]. Initial cultures of this cell line did not indicate any sensitivity to hormones as cells propagated in foetal calf serum (FCS) grew at the same rate as cells propagated in bull serum [37]. This cell line is an androgen receptor (AR) negative cell line that does not express prostate specific antigen (PSA) [37,38]. Currently, most research on the effects of PPV on prostate cancers has focused on PC-3 cells with few studies exploring the effects of PPV in DU145 cells [24,32].

MDA-MB-231, A549, and DU145 cells were obtained from the American Type Culture Collection (Manassas, Virginia, United States of America). Cells were maintained in Dulbecco's Modified Eagle Growth medium (DMEM) containing 5 mM L-glutamine, 4 mM sodium pyruvate, 3 g/L glucose, 10% heat-inactivated FCS (56 °C, 30 min), 100 U/mL penicillin G, 100 mg/mL streptomycin and fungizone (250 mg/l) at 37 °C and 5% CO<sub>2</sub> in a humidified atmosphere in 75-cm<sup>2</sup> tissue flasks.

#### 4.1.2. Chemicals and Materials

All reagents and chemicals were purchased from Sigma Chemical Co. (St. Louis, MO, USA) and all plasticware were purchased from Lasec<sup>®</sup> SA (Pty) Ltd. (Johannesburg, Gauteng) and supplied by Cellstar<sup>®</sup>, (Greiner, Germany) unless otherwise specified. PPV was purchased from Merck (Darmstadt, Germany) and was dissolved in dimethyl sulfoxide (DMSO) to a concentration of 50 mM. Appropriate controls were used including a negative

control where cells were propagated in complete growth media and a vehicle-treated control (DMSO) where cells were exposed to equal amounts of the vehicle solvent solution as in PPV-treated cells, where the v/v% of DMSO did not exceed 0.35%.

#### 4.2. Methods

#### 4.2.1. Cell Proliferation

Cell Number Determination Using Crystal Violet Staining (Spectrophotometry)

The crystal violet staining technique involves a powdered triphenylmethane cation dye which binds to the deoxyribonucleic acid (DNA) of proliferating cells allowing for the rapid quantification of proliferating cells in a monolayer [39]. The intensity of the colour of the dye correlates with cell numbers which will be quantified as absorbance by means of a spectrophotometer at a wavelength of 570 nm [40]. Therefore, the effects of PPV on cell viability were determined by crystal violet staining on MDA-MB-231, A549, and DU145 cell lines.

Cells were seeded in a sterile 96-well culture plate at a cell density of 5000 cells per well prior to incubation at 37 °C and 5% CO<sub>2</sub> in a humified atmosphere for 24 h to allow for cell attachment. Subsequently, cells were exposed to PPV (10–300  $\mu$ m) for 24 h, 48 h, 72 h, or 96 h since previous studies have indicated optimal antiproliferative activity within this concentration range after exposure for similar periods of time [9,17,18,24]. Negative controls for this experiment included cells propagated in complete growth medium and vehicle-treated cells. Positive controls included cells exposed to 50% sodium lauryl sulphate (SDS) for 48 h since previous studies indicated that SDS induces a significant decrease in cell numbers and cell proliferation [41]. Subsequently, growth medium and PPV was discarded, and cells were fixed with 1% glutaraldehyde (100 µL) purchased from Merk (Darmstadt, Germany) before incubation for 15 min at room temperature. Glutaraldehyde was removed, and cells were stained using 0.1% crystal violet solution (100 µL) purchased from Merk (Darmstadt, Germany) and incubated at room temperature for 30 min. Afterwards, the crystal violet solution was discarded, and the 96-well plate was submersed under running water for 15 min [42]. The plate was then left to dry for 24 h and 0.2% Triton X-100  $(200 \ \mu L)$  was added to solubilise the crystal violet stain at room temperature for 30 min [42]. The absorbance was then read at 570 nm using an EPOCH Microplate Reader (Biotek Instruments, Inc. (Winooski, Vermont, United States of America)) [42]. The data obtained were analysed using Microsoft Excel 2016 (Microsoft corporation, Washington, United States of America).

#### 4.2.2. Cell Morphology

#### Morphology Observation Using Light Microscopy

Light microscopy was used to evaluate and visualise the effects of PPV on MDA-MB-231, A549, and DU145 cells which were seeded into 24-well culture plates, at a cell density of 20,000 cells per well. The cells were incubated at 37 °C and 5% CO<sub>2</sub> in a humified atmosphere for 24 h to allow for attachment. Subsequently, cells were exposed to PPV (10–100  $\mu$ M) for 48 h or 72 h since previous research showed optimal activity in cancer cell lines [9,17,18,24]. The morphology of at least 100 cells was examined per condition in each experiment to quantify morphology. Aberrant morphological observations after exposure to PPV included shrunken cells, rounded cells, membrane blebbing, cells with lamellipodia-like protrusions, and cells revealing enlarged rounded morphology. An Axiovert 40 CFL microscope (Zeiss, Oberkochen, Germany) was used to capture images. Negative controls for this experiment included cells propagated in complete growth medium and vehicle-treated cells. Positive controls included cells exposed to 0.4  $\mu$ M 2-Ethyl-17-hydroxy-13-methyl-7,8,9,11,12,13,14,15,16,17-decahydro-6-cyclopenta[a]phenanthren-3-yl sulphamate (ESE-ol) for 48 h since previous studies indicated that ESE-ol induces significant changes in cell morphology [43,44].

#### 4.2.3. Oxidative Stress

Hydrogen Peroxide Production Using 2,7 Dichlorofluoresceindiacetate (DCFDA) (Fluorescent Microscopy)

The effects of PPV on hydrogen peroxide ( $H_2O_2$ ) production was used as an indicator of oxidative stress. A non-fluorescent probe, 2,7 dichlorofluoresceindiacetate (DCFDA), is oxidised by reactive oxygen species (ROS) to a fluorescent derivative, 2,7-dichlorofluorescein (DCF). Thus, DCFDA was used in this study as an indicator of oxidative stress and the effect of PPV on hydrogen peroxide production through detection of DCF using fluorescent microscopy with a maximum excitation and emission spectra of 495 nm and 529 nm, respectively [45].

MDA-MB-231, A549, and DU145 cells were seeded into 24-well culture plates at a density of 20,000 cells per well. The cells were incubated at 37 °C and 5% CO<sub>2</sub> in a humified atmosphere for 24 h to allow for cell attachment. Subsequently, cells were exposed to PPV (10–150  $\mu$ M) for 48 h or 72 h since previous research showed optimal activity in cancer cell lines [9,17,18,24]. Negative controls for this experiment included cells propagated in complete growth medium and vehicle-treated cells. Positive controls included cells exposed to 0.4  $\mu$ M ESE-ol since previous studies have shown a significant increase in hydrogen peroxide production after exposure to ESE-ol [44]. Subsequently, cells were washed with phosphate buffer solution (PBS) before incubation with DCFDA (20  $\mu$ M) for 25 min at 37 °C and 5% CO<sub>2</sub> in a humified atmosphere. The wells were washed with PBS (0.5 mL) and PBS (500 µL) was added to each well. A Zeiss Axiovert CFL40 microscope, Zeiss Axiovert MRm monochrome camera (Zeiss, Oberkochen, Germany) and Zeiss filter 9 was operated to capture images of DCFDA-stained (green) cells. Fluorescence images were analysed using ImageJ software developed by the National Institutes of Health (Bethesda, Maryland, United States of America). The fluorescent intensity of at least 100 cells was evaluated per condition in each experiment using ImageJ software.

#### 4.3. Cell Cycle Progression and Cell Death Induction

Cell Cycle Analysis Using Propidium Iodide Staining (Flow Cytometry)

The effects of PPV on cell cycle progression was evaluated using flow cytometry. Propidium iodide (PI) is used to stain DNA in order to quantify DNA correlated to each phase of the cell cycle (sub- $G_1$ ,  $G_1$ , S,  $G_2M$  and endoreduplication) [46].

MDA-MB-231, A549, and DU145 cells were seeded into T25 cm<sup>2</sup> culture flask at a density of 1,000,000 cells per flask. Thereafter, the flasks were incubated at 37  $^\circ$ C and 5%  $CO_2$  in a humified atmosphere for 24 h to allow for attachment. Subsequently, cells were exposed to PPV (10–150  $\mu$ M) for 48 h or 72 h since previous research showed optimal activity in cancer cell lines [9,17,18,24]. Negative controls for this experiment included cells propagated in complete growth medium and vehicle-treated cells. Positive controls included cells exposed to 0.4 µM ESE-ol for 48 h since previous studies indicated that ESE-ol induces significant cell death as indicated by a sub- $G_1$  peak [44]. Cells were then trypsinised and resuspended in 1 mL of complete growth medium [47]. Thereafter, samples were centrifugated for 5 min at  $300 \times g$ , the supernatant was removed and the pellet of each sample was resuspended in 1 mL of ice-cold PBS containing 0.1% FCS [47]. Ice-cold ethanol (70%, 4 mL) was then added in a dropwise manner after which samples were stored at 4 °C for at least 24 h [47,48]. Samples were centrifuged for 5 min at  $300 \times g$ ; the supernatant was discarded and the pellet then resuspended in 1 mL PBS containing 40 µg/mL of PI, 100 µg/mL RNAse A and 0.1% triton X-100 [47]. Subsequently, samples were incubated at 37 °C and 5% CO<sub>2</sub> in a humified atmosphere for 45 min. Propidium iodide fluorescence was measured with the cytoFLEX flow cytometer (Beckman Coulter, Inc. (Brea, California, United States of America)) available from the Institute for Cellular and Molecular Medicine (ICMM), University of Pretoria, South Africa. At least 10,000 events in each sample and data was analysed. Data from cell debris and aggregated cells was excluded from analyses [47]. Cell cycle distributions were calculated using FlowJo<sup>™</sup> Software Version 10 (Becton, Dickinson and Company, 2019 (Ashland, Oregon, United

States of America)) by assigning relative DNA content per cell to sub- $G_1$ ,  $G_1$ , S, and  $G_2M$  phases [47]. As propidium iodide emits light at 617 nm, the data collected from the log forward detector number 3 were represented on the histograms derived on the *x*-axis [47].

#### 4.4. Statistical Analysis

Three independent experiments were conducted for all techniques performed, where the mean and the standard deviation were calculated. Means are illustrated by using bar charts and standard deviations are shown with errors bars. A *p*-value < 0.05 calculated by means of the Student *t*-test was used for statistical significance and is indicated by an asterisk (\*) using the Jamovi statistical software version 1.6 (The Jamovi project (2021) (Sydney, Australia). The fluorescent intensity of at least 100 cells was evaluated per condition using Image J software developed by the National Institutes of Health (Bethesda, Maryland, United States of America). Flow cytometry analysis involved at least 10,000 events in each sample and the data were analysed using FlowJo<sup>TM</sup> Software Version 10 (Becton, Dickinson, and Company, 2019 (Ashland, Oregon, United States of America)).

#### 5. Conclusions

This study demonstrated that PPV exerts antiproliferative effects in a time- and dosedependent manner in MDA-MB-231, A549, and DU145 cells. An increase in aberrant morphological changes including lamellipodia-like protrusions was observed in all three cell lines.  $H_2O_2$  production increased in A549 cells at 48 h and in MDA-MB-231 and A549 at 72 h. Cell cycle analysis revealed that PPV exerted a cell line specific and time- and dosedependent effect that increased the percentage of cells in the sub-G<sub>1</sub> and endoreduplication peaks. Understanding these cell line specific effects will aid in the development of this compound and potential derivatives of this compound as an antiproliferative agent in cancer research. Future studies will involve further investigation into the molecular mechanism of PPV to clarify how PPV exerts these effects.

Supplementary Materials: The following are available online at www.mdpi.com/1420-3049/26/21/ 6388, Supplementary 1; Figure S1: Spectrophotometry results of crystal violet staining demonstrating the effects of PPV (10-300 µM) on proliferation on MDA-MB-231 cells compared to A549- and DU145 cell lines at 24 h, Figure S2: Spectrophotometry results of crystal violet staining demonstrating the effects of PPV (10-300 µM) on proliferation on MDA-MB-231 cells compared to A549- and DU145 cell lines at 96 h. Supplementary 2; Figure S1: Light microscopy images of cell morphology demonstrating the effects of PPV ((10-150 µM) on cell morphology on MDA-MB-231 cells at 48 h at a magnification of  $\times 10$ . Table S1: table displaying the effects of papaverine on morphology as percentage change when compared to cells propagated in growth medium on MDA-MB-231 at 48 h. Figure S2: Light microscopy images of cell morphology demonstrating the effects of PPV ((10–150  $\mu$ M) on cell morphology on A549 cells at 48 h at a magnification of ×10. Table S2: table displaying the effects of papaverine on morphology as percentage change when compared to cells propagated in growth medium on A549 at 48 h. Figure S3: Light microscopy images of cell morphology demonstrating the effects of PPV ((10–150  $\mu$ M) on cell morphology on DU145 cells at 48 h at a magnification of  $\times 10$  Table S3: table displaying the effects of papaverine on morphology as percentage change when compared to cells propagated in growth medium on DU145 at 48 h. Figure S4: Light microscopy images of cell morphology demonstrating the effects of PPV ((10–150  $\mu$ M) on cell morphology on MDA-MB-231 cells at 72 h at a magnification of x10 Table S4: table displaying the effects of papaverine on morphology as percentage change when compared to cells propagated in growth medium on MDA-MB-231 at 72 h. Figure S5: Light microscopy images of cell morphology demonstrating the effects of PPV ((10–150  $\mu$ M) on cell morphology on A549 cells at 72 h at a magnification of  $\times 10$ Table S5: table displaying the effects of papaverine on morphology as percentage change when compared to cells propagated in growth medium on A549 at 72 h. Figure S6: Light microscopy images of cell morphology demonstrating the effects of PPV ((10–150  $\mu$ M) on cell morphology on DU145 cells at 72 h at a magnification of  $\times 10$  Table S6: table displaying the effects of papaverine on morphology as percentage change when compared to cells propagated in growth medium on DU145 at 72 h. Figure S7. Light microscopy results demonstrating the effects of ESE-ol used as a positive control on cell morphology. Supplementary 3; Table S1. table displaying the effects of

papaverine on oxidative stress as a change of fluorescence intensity relative to the fluorescence intensity of cells propagated in growth medium on MDA-MB-231-, A549- and DU145 cell lines at 48 h. Figure S1. Fluorescence staining showing H<sub>2</sub>O<sub>2</sub> production in MDA-MB-231 cells after 48 h. Figure S2. Fluorescence staining showing H<sub>2</sub>O<sub>2</sub> production in A549 cells after 48 h. Figure S3. Fluorescence staining showing H<sub>2</sub>O<sub>2</sub> production in MDA-MB-231 cells after 48 h. Table S2. table displaying the effects of papaverine on oxidative stress as a change of fluorescence intensity relative to the fluorescence intensity of cells propagated in growth medium on MDA-MB-231-, A549- and DU145 cell lines at 72 h. Figure S4. Fluorescence staining showing H<sub>2</sub>O<sub>2</sub> production in MDA-MB-231 cells after 72 h. Figure S5. Fluorescence staining showing  $H_2O_2$  production in A549 cells after 72 h. Figure S6. Fluorescence staining showing H<sub>2</sub>O<sub>2</sub> production in MDA-MB-231 cells after 72 h. Supplementary 4; Figure S1. Flow cytometry results demonstrating the effects of PPV (10–150  $\mu$ M) on the cell cycle on MDA-MB-231-, A549- and DU145 cells at 48 h. Figure S2. Cell cycle progression of MDA-MB-231 cells treated with PPV (10-150 µM) at 48 h. Figure S3. Cell cycle progression of A549 cells treated with PPV (10-150 µM) at 48 h. Figure S4. Cell cycle progression of DU145 cells treated with PPV (10–150 µM) at 48 h. Figure S5. Flow cytometry results demonstrating the effects of PPV (10–150 μM) on the cell cycle on MDA-MB-231-, A549- and DU145 cells at 72 h. Figure S6. Cell cycle progression of MDA-MB-231 cells treated with PPV (10-150 µM) at 72 h. Figure S7. Cell cycle progression of A549 cells treated with PPV (10–150  $\mu$ M) at 72 h. Figure S8. Cell cycle progression of DU145 cells treated with PPV (10–150  $\mu M)$  at 72 h.

Author Contributions: Conceptualization, D.A.G. and M.H.V.; Methodology, D.A.G. and M.H.V.; Software, D.A.G.; Validation, D.A.G., M.H.V. and A.M.J.; Formal Analysis, D.A.G. and M.H.V.; Investigation, D.A.G., M.H.V. and A.M.J.; Resources, M.H.V. and A.M.J.; Data Curation, D.A.G. and M.H.V.; Writing—Original Draft Preparation, D.A.G.; Writing—Review & Editing, D.A.G., M.H.V. and A.M.J.; Visualization, D.A.G. and M.H.V.; Supervision, M.H.V. and A.M.J.; Project Administration, M.H.V. and A.M.J.; Funding Acquisition, M.H.V. and A.M.J. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by grants from the Cancer Association of South Africa and Medical Research Council awarded to A.M. Joubert from the Department of Physiology. This research was also funded by grants received from the Struwig Germeshuysen Trust, School of Medicine Research Committee of the University of Pretoria and the South African National Research Foundation provided by A.M. Joubert and M.H. Visagie from the Department of Physiology.

**Institutional Review Board Statement:** The study was conducted according to the guidelines of the Declaration of Helsinki, and approved by the the Research Ethics Committee of the Faculty of Health Sciences (University of Pretoria) (398/2020) (21/06/2021).

Informed Consent Statement: Not applicable.

Data Availability Statement: Data is contained within the article and Supplementary Material.

Acknowledgments: Statistical considerations and support were provided by P.J. Becker from the Research Office of the Health Sciences Faculty of the University of Pretoria, Pretoria, South Africa. Access to the CytoFLEX flow cytometer (Beckman Coulter, Inc. (Brea, California, United States of America)) was provided by Institute for Cellular & Molecular Medicine (ICMM), University of Pretoria, Pretoria, South Africa. In addition, we are thankful for the English editing services provided to us for this manuscript by Abe Kasonga (Department of physiology, Faculty of Health Sciences, University of Pretoria, Pretoria, South Africa).

Conflicts of Interest: The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are not available from the authors.

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## Antiproliferative Effect of Colonic Fermented Phenolic Compounds from Jaboticaba (*Myrciaria trunciflora*) Fruit Peel in a 3D Cell Model of Colorectal Cancer

Paula Rossini Augusti <sup>1,\*</sup>, Andréia Quatrin <sup>2</sup>, Renius Mello <sup>2</sup>, Vivian Caetano Bochi <sup>3</sup>, Eliseu Rodrigues <sup>1</sup>, Inês D. Prazeres <sup>4,5</sup>, Ana Catarina Macedo <sup>4,5</sup>, Sheila Cristina Oliveira-Alves <sup>4,5</sup>, Tatiana Emanuelli <sup>2</sup>, Maria Rosário Bronze <sup>4,5,6</sup> and Ana Teresa Serra <sup>4,5</sup>

- <sup>1</sup> Departamento de Ciências dos Alimentos, Instituto de Ciência e Tecnologia de Alimentos (ICTA), Universidade Federal do Rio Grande do Sul (UFRGS), Porto Alegre 91501-970, Brazil; eliseu.rodrigues@ufrgs.br
- <sup>2</sup> Núcleo Integrado de Desenvolvimento em Análises Laboratoriais (NIDAL), Centro de Ciências Rurais, Departamento de Tecnologia e Ciência dos Alimentos, Universidade Federal de Santa Maria, Santa Maria 97105-900, Brazil; deiaquatrin@hotmail.com (A.Q.); reniusmello@gmail.com (R.M.); tatiana.emanuelli@ufsm.br (T.E.)
- <sup>3</sup> Departamento de Nutrição, Universidade Federal de Ciências da Saúde de Porto Alegre (UFCSPA), Porto Alegre 91501-970, Brazil; vivian\_bochi@yahoo.com.br
- <sup>4</sup> iBET, Instituto de Biologia Experimental e Tecnológica, Apartado 12, 2780-901 Oeiras, Portugal; ines.duarte.96@gmail.com (I.D.P.); catarina.macedo@ibet.pt (A.C.M.);
- sheilacris.oliveira.alves@gmail.com (S.C.O.-A.); mbronze@ibet.pt (M.R.B.); tserra@ibet.pt (A.T.S.)
- <sup>5</sup> Instituto de Tecnologia Química e Biológica António Xavier, Universidade Nova de Lisboa (ITQB NOVA), 2780-157 Oeiras, Portugal
- <sup>6</sup> iMed, Faculdade de Farmácia da Universidade de Lisboa, Av das Forças Armadas, 1649-019 Lisboa, Portugal
- Correspondence: paularaugusti@gmail.com; Tel.: +55-51-3308-6676

**Abstract:** Jaboticaba is a Brazilian native berry described as a rich source of phenolic compounds (PC) with health promoting effects. PC from jaboticaba peel powder (JPP) have low intestinal bio-accessibility and are catabolized by gut microbiota. However, the biological implication of PC-derived metabolites produced during JPP digestion remains unclear. This study aimed to evaluate the antiproliferative effects of colonic fermented JPP (FJPP) in a 3D model of colorectal cancer (CRC) composed by HT29 spheroids. JPP samples fermented with human feces during 0, 2, 8, 24 or 48 h were incubated (10,000  $\mu$ g mL<sup>-1</sup>) with spheroids, and cell viability was assessed after 72 h. Chemometric analyses (cluster and principal component analyses) were used to identify the main compounds responsible for the bioactive effect. The antiproliferative effect of FJPP in the CRC 3D model was increased between 8 h and 24 h of incubation, and this effect was associated with HHDP-digalloylglucose isomer and dihydroxyphenyl- $\gamma$ -valerolactone. At 48 h of fermentation, the antiproliferative effect of FJPP was negligible, indicating that the presence of urolithins did not improve the bioactivity of JPP. These findings provide relevant knowledge on the role of colonic microbiota fermentation to generate active phenolic metabolites from JPP with positive impact on CRC.

**Keywords:** spheroids; HT29 cells; cluster analysis; principal component analysis; hydrolysable tannins; HHDP-digalloylglucose isomer; dihydroxyphenyl-γ-valerolactone

#### 1. Introduction

Colorectal cancer (CRC) is the third most common type of cancer and the worldwide incidence in the year 2018 was nearly two million people [1]. Almost 75% of all sporadic cases of CRC are directly affected by dietary intake, and the consumption of plant phytochemicals has been reported to be beneficial in CRC management [2]. Phytochemicals, such as phenolic compounds (PC), have chemopreventive properties mostly due to their

Citation: Augusti, P.R.; Quatrin, A.; Mello, R.; Bochi, V.C.; Rodrigues, E.; Prazeres, I.D.; Macedo, A.C.; Oliveira-Alves, S.C.; Emanuelli, T.; Bronze, M.R.; et al. Antiproliferative Effect of Colonic Fermented Phenolic Compounds from Jaboticaba (*Myrciaria trunciflora*) Fruit Peel in a 3D Cell Model of Colorectal Cancer. *Molecules* 2021, 26, 4469. https:// doi.org/10.3390/molecules26154469

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 14 June 2021 Accepted: 21 July 2021 Published: 24 July 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). capacity to inactivate reactive oxygen species, which plays a vital role in the initiation and progression of CRC [2]. Besides, PC may also modulate cell gene expression, apoptosis or differentiation [3]. Studies regarding CRC are usually carried out using monolayer cells. In vitro 3D cell culture models using human and patient-derived CRC cell lines have been described as to be promising tools to evaluate the role of many antitumoral compounds, since these models provide cell–cell and cell–matrix interactions and, in this way, a cellular context similar to the cancer microenvironment. In particular, spheroid cultures of human CRC cells produced in stirred culture systems have been shown to be enriched in cancer stem cells and better recapitulate the in vivo tumor behavior [4,5]. Of note, these models have been used to ensure an accurate evaluation of the anticancer potential of phytochemicals derived from brassicas, nuts and citrus fruits [4,6–8].

Jaboticaba fruit (*Myrciaria trunciflora*) is a Brazilian berry with great potential for the food industry, being consumed naturally or as juices, jams, wines and liqueurs [9]. This fruit is rich in PC, in particular anthocyanins, flavonols, and ellagitannins, which are mostly concentrated in the peel [10]. Following jaboticaba processing for juice production, the peel is discarded and becomes a large food-waste. Thus, studies regarding the bioactivity of jaboticaba peel compounds will contribute to the sustainability of this agri-food system, supporting waste reuse as a nutraceutical or pharma chemical source. Accordingly, some PC extracted from jaboticaba exhibited antiproliferative effects against HT29 and HCT116 colon cell lines [11]. However, PC from jaboticaba peel powder (JPP) have low intestinal bio-accessibility and undergo extensive catabolism by gut microbiota [12].

The biological implication of the gut-derived PC metabolites of JPP remains unknown as previous studies on JPP fermentation were limited to the description of PC transformation during simulated digestion [12]. Although the antiproliferative effect of JPP extract in HT29 monolayer cells has been recently reported [13], no studies were found regarding the antiproliferative effects in CRC 3D cell models or the effects of colonic fermented JPP (FJPP).

This study aimed to evaluate the antiproliferative effect of FJPP in a 3D model of CRC (HT29 spheroids). Moreover, PC composition data of FJPP were processed by multivariate analyses, such as cluster analysis (CA) and principal component analysis, to determine the relationship between PC generated during fermentation and the antiproliferative effect of FJPP.

#### 2. Results and Discussion

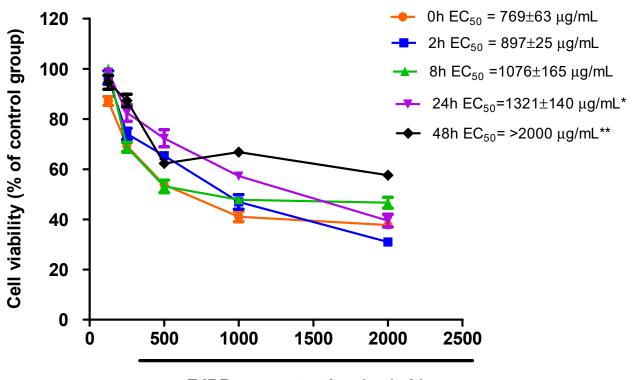
The inhibition of colon cancer cell line growth by PC has gained attention as having potential for a candidate compound for cancer therapeutics [3]. However, some caution in data interpretation is needed, since PC have low bioavailability. Given that a large portion of PC are eliminated in feces, the transformation of PC by gut microbiota may influence the therapeutic potential of these compounds [14]. Besides being substrates for colonic microbiota, PC fermentation may also generate products that benefit the intestinal environment [15].

PC from JPP are poorly absorbed in the small intestine, and most of these compounds reach the colon and suffer fermentation by gut microbiota [12]. After simulated salivary, gastric and intestinal digestion, the fraction of JPP that was not bio-accessible (JPP-IN) was used for the colonic fermentation assay. In vitro colonic fermentation is a simple model to simulate the catabolism of compounds by colonic microbiota, and several reports have demonstrated the catabolism of PC during colonic fermentation using this model [16,17].

In vitro gut fermentation assays are relatively simple and fast procedures that present an unmatched opportunity for performing studies frequently challenged in humans and animals owing to ethical concerns. Fresh feces are the usual source of gut microbiota, but the large inter-individual variability of the gut microbiota poses a great challenge for biological replications [18]. This issue can be partially overcome by pooling fecal samples from different donors, as conducted in the present study.

#### 2.1. Antiproliferative Effect of FJPP in Monolayer Cultures and Spheroids of HT29 Cell Line

All antiproliferative assays were carried out using a feces control group, i.e., a fecal suspension alone (no JPP-IN) that was fermented for the same time as JPP, to eliminate the interference of PC already present in the feces. Before antiproliferative assays, the cytotoxicity of FJPP was tested in confluent Caco-2 cells at concentrations ranging from 125 to 10,000  $\mu$ g mL<sup>-1</sup>. None of the evaluated concentrations caused toxicity to these cells after exposure for 72 h (Figure S1, supplementary material), indicating their safety for intestinal epithelial cells. The antiproliferative effect of FJPP (125 to 2000  $\mu$ g mL<sup>-1</sup>) was firstly screened in monolayer cultures of HT29 cells, and all samples inhibited cell proliferation with EC<sub>50</sub> values ranging from 769 to >2000  $\mu$ g mL<sup>-1</sup> (Figure 1). However, at the end of fermentation, (24 h and 48 h) the antiproliferative effect decreased when compared with time 0 (non-fermented samples) (Figure 1, *p* < 0.05).



### FJPP concentration (µg/mL)

**Figure 1.** Antiproliferative effect of FJPP (0 h to 48 h of fermentation) in HT29 monolayer cells. Cell growth was evaluated after exposure to FJPP at 125 to 2000  $\mu$ g mL<sup>-1</sup> for 72 h. Results are means of at least 6 independent experiments performed in triplicate  $\pm$  SEM. Statistical analyses were performed using GraphPad Prism 5 software (GraphPad Software, Inc., La Jolla, CA, USA). \* Different from time 0. \*\* Different from all groups.

After confirming the antiproliferative potential of FJPP in HT29 monolayer cultures, we investigated whether FJPP samples were able to impair cell proliferation in a more complex model. The HT29 spheroids cultured for seven days in a stirred culture system were selected for the study because they display characteristics observed in in vivo carcinomas, such as the hypoxic regions, the apoptotic/necrotic core, less differentiated cells in the surrounding area and a higher percentage of cancer stem cells, which has been associated with chemotherapeutic resistance [19].

The antiproliferative effect of FJPP was evaluated in HT29 spheroids by analyzing cell viability after 72 h of incubation with 10,000  $\mu$ g mL<sup>-1</sup>. Time-course colonic fermentation of JPP resulted in a bell-shaped antiproliferative profile that was best described by a quadratic equation (Figure 2, Panel A, *p* < 0.05). The maximum point obtained from the first derivative showed that peak cell growth inhibition (69.6%) would occur for the FJPP

obtained after 22.4 h of colonic fermentation (Figure 2, Panel A). This result is in agreement with a previous report [20], where colonic metabolites were detected in urine and plasma of subjects after 24 h of pomegranate juice intake, suggesting that this time would be enough for colon bacteria to metabolize the juice PC. After 48 h of fermentation, no significant antiproliferative effect of JPP was observed when compared with the control fermentation medium (Figure 2, Panel A, p > 0.05). Although the antiproliferative effect of dried peel powder and freeze-dried extract of *Myrciaria jaboticaba* fruits in HT29 cells has been recently reported [13], our study is the first to show the antiproliferative effect of JPP in a highly complex CRC model. Moreover, our study evaluated digested and colonic-fermented samples, which resembles the in vivo transformations of JPP and its effects in the colon.

Higher PC concentration was required to inhibit spheroids proliferation compared to monolayer cells (Figure 1 vs. Figure 2, Panel A). In agreement, the antiproliferative effect of baru nuts (Dipteryx alata Vog) extracts was lower in HT29 spheroids when compared with the antiproliferative effect in HT29 monolayer cells [4]. This confirms a higher resistance of HT29 spheroids when compared with usual HT29 monolayer cells, most likely due to the difficulty of PC in diffusing through the cell spheroids and/or the chemo-resistant phenotype of this model, as described previously for other PC [6,8]. Interestingly, the antiproliferative profile of JPP during time-course colonic fermentation was different in HT29 monolayer cells (Figure 1) and HT29 spheroids (Figure 2, Panel A). While the highest antiproliferative effect against monolayer cells were observed for non-fermented JPP and up to 8 h fermentation, spheroids were mostly inhibited by JPP fermented during 8 h and 24 h. The high structural complexity of PC before JPP fermentation (see Section 2.2) likely posed a greater difficulty for their diffusion into HT29 spheroids, and limited their antiproliferative properties compared to the monolayer model. This way, 3D spheroids could represent a better model to evaluate the antiproliferative effect of PC from JPP when compared with HT29 monolayer cells.

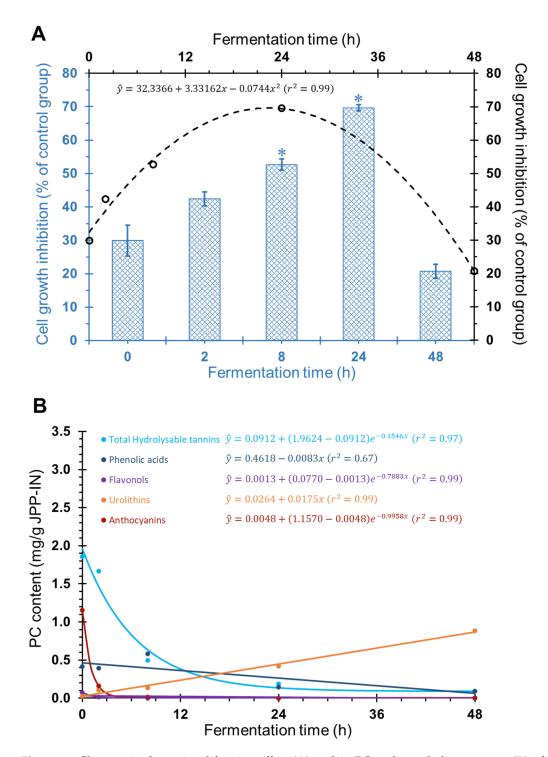
#### 2.2. Chemometric Analyses to Identify Bioactive PC Metabolites

The detailed catabolism of PC during this colonic fermentation assay of digested JPP was reported in our previous study [12] and is summarized in Figure 2, Panel B. The present study brings novel information to understand how fermentation affects the antiproliferative properties of JPP and the putative PC and metabolites associated to the antiproliferative properties.

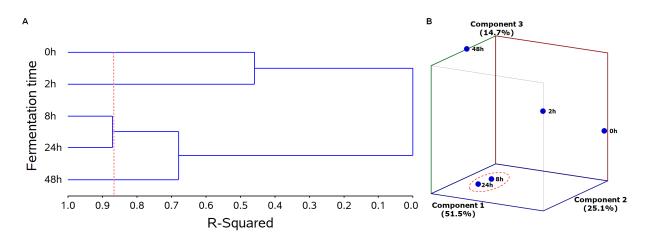
The relationship among PC, their metabolites and the antiproliferative activity during the colonic fermentation of JPP was investigated using multivariate analysis.

#### 2.2.1. Treatment Grouping

Cluster analysis (CA) of JPP submitted to different times of colonic fermentation revealed that samples were divided into four groups (0, 2, 8/24 and 48 h of fermentation) based on their PC and metabolite composition along with the antiproliferative effect. This grouping explained 87% of data variation (Figure 3, Panel A, red dashed line). JPP fermented for 8 h and 24 h were within the same group, indicating that they have analog characteristics concerning PC composition and antiproliferative activity (Figure 3, Panel A). Principal component analysis was also used to investigate the relation among JPP from different fermentation times according to their PC composition and antiproliferative activity. The model used was constituted by three principal components that explained 91.3% of data variance (Figure 3, Panel B) and confirmed the findings of CA, as it revealed a close relationship between JPP from 8 h and 24 h of fermentation. These fermentation times were located close to each other and within the negative-central region of axis 2 and the negative regions of axes 1 and 3 (Figure 3, Panel B).



**Figure 2.** Changes in the antiproliferative effect (**A**) and in PC and metabolites content (**B**) of digested JPP (JPP-IN) during in vitro fermentation with human feces. Cell growth was evaluated after exposure of HT29 spheroids to FJPP at 10,000  $\mu$ g mL<sup>-1</sup> for 72 h. In panel A, data regarding the equation are displayed in black at the superior and right axes, whereas data regarding bars are displayed in blue at the bottom and left axes. Data displayed in panel B were obtained from Quatrin et al. (2020) [12]. Results are means of at least 6 independent experiments performed in triplicate ± SEM. Statistical analyses were performed using GraphPad Prism 5 software (GraphPad Software, Inc., La Jolla, CA, USA) and software SAS<sup>®</sup> OnDemand for Academics (SAS Institute Inc., Cary, NC, USA). \* Different from medium control group.



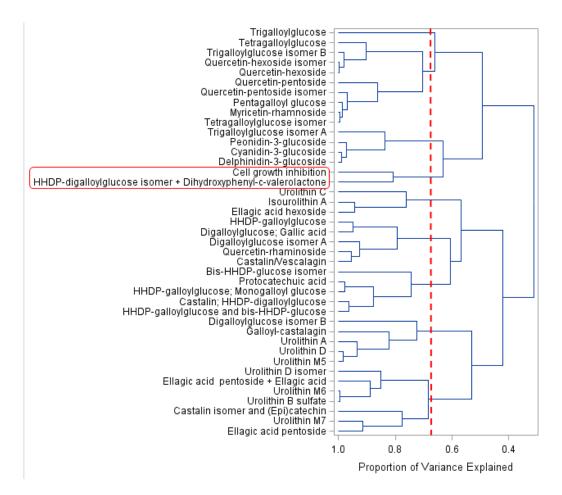
**Figure 3.** Dendrogram from CA of fermentation time (h, ordinate axis) in relation to the coefficient of determination (r2, abcissa axis) using Euclidean distance as a measure of dissimilarity and Ward's agglomerative hierarchical algorithm as a grouping method (**A**); three-dimensional graphic dispersion of the fermentation time in relation to the main components of principal component analysis (**B**). In panel A, the red dashed line indicates the percent of data variation explained by CA, and shows the significant groups formed. Statistical analyses were performed using software SAS<sup>®</sup> OnDemand for Academics (SAS Institute Inc., Cary, NC, USA).

#### 2.2.2. Variable Grouping

CA of the dependent variables (PC compounds, PC metabolites and antiproliferative activity in CRC 3D cell model) allowed PC compounds to be clustered into nine groups according to their content during the different times of colonic fermentation. This clustering explained 68.5% of data variation (Figure 4, red dashed line): Groups 1 to 9 (top to bottom) contained 1, 9, 4, 2, 3, 5, 5, 5 and 7 compounds, respectively (Figure 4). Principal component analysis allowed the visualization of PC from JPP during colonic fermentation, in an n-dimensional space, by identifying the directions in which most of the information was retained. In this analysis, the biplot can show inter-unit distances among the units as well as display variances and correlations of the variables. As far as we know, this is the first study using chemometric analyses to investigate bioactive PC and metabolites in a CRC 3D model.

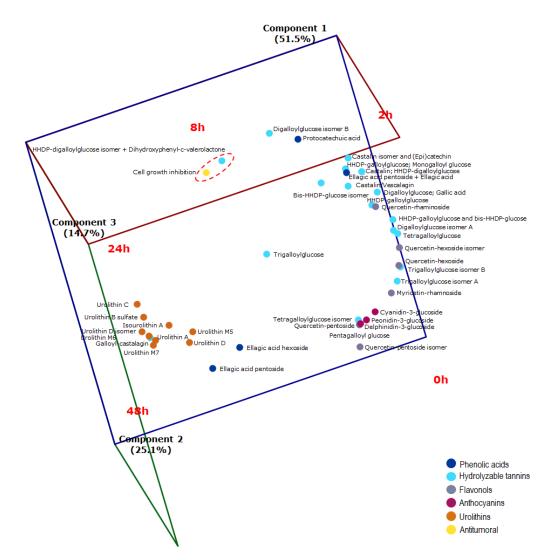
The antiproliferative activity (cell growth inhibition) induced by 10,000  $\mu$ g mL<sup>-1</sup> in HT29 cell spheroids was clustered along with hexahydroxydiphenic (HHDP)-digalloylglucose isomer + dihydroxyphenyl- $\gamma$ -valerolactone (Figure 4). A biplot (fermentation time vs. PC and metabolites content, Figure 5) presented encyclical behavior counterclockwise and confirmed the findings of CA. Furthermore, this biplot increased the proportion of variance explained to 91.3% using the first three principal components (Figure 5). The loadings of principal components are displayed in Table S1 (Supplementary material).

At the beginning of fermentation (0 h), the predominant PC compounds were highmolecular-weight compounds naturally found in JPP [9,11], namely hydrolysable tannins, such as penta, tetra, tri and digalloylglucose isomers and HHDP derivatives, besides anthocyanins (Figure 2, Panel B). These complex compounds were degraded over time, increasing the concentration of simpler hydrolysable tannins, such as HHDP-digalloylglucose isomer, and proanthocyanidin metabolites such as dihydroxyphenyl- $\gamma$ -valerolactone, which were more closely related to the antiproliferative effect of FJPP as shown by their proximity with this bioactivity marker both in CA (Figure 4) and in principal component analysis (Figure 5).



**Figure 4.** Dendrogram of PC content (mg compound/g equivalent to undigested JPP) and antiproliferative activity (%, ordinate axis) in relation to the coefficient of determination (r2, abcissa axis) using the correlation matrix as a measure of similarity and the main component as a grouping method. The red dashed line indicates the percent of data variation explained by CA and shows the significant groups formed. Antiproliferative activity in the CRC 3D cell model (cell growth inhibition) was highlighted using the red rectangle. Statistical analyses were performed using software SAS<sup>®</sup> OnDemand for Academics (SAS Institute Inc., Cary, NC, USA).

The biplot showed that the antiproliferative effect was closely related to 8 h and 24 h of fermentation and to HHDP-digalloylglucose isomer and dihydroxyphenyl- $\gamma$ -valerolactone (Figure 5). The concentration of these compounds was transiently increased during the first stages of colonic fermentation, being thereafter decreased and not detected after 48 h of fermentation [12]. Because of the complex structure of hydrolysable tannins, they are gradually depolymerized during colonic fermentation, resulting in a transient increase in the concentration of smaller tannin polymers, such as HHDP-digalloylglucose isomer, which was already present in the undigested JPP [10]. Ellagitannins have HHDP group(s), which release ellagic acid upon hydrolysis. However, HHDP, a dimer of gallic acid from pomegranate juice, exhibited a higher antiproliferative effect by inducing necrosis in HT29 cells when compared with gallic and ellagic acids [21]. Our results suggest that hydrolysable tannins released in the colon upon consumption of JPP could potentially curtail the risk of CRC development, as previously reported for pomegranate juice [21]. Ellagitannins from Myrciaria jaboticaba seeds have been recently shown to exhibit chemopreventive properties against CRC by reducing inflammation and increasing proapoptotic pathways in rats [22].



**Figure 5.** Three-dimensional biplot of fermentation time (scores) vs. PC, metabolites and antiproliferative activity (loadings) in relation to the main components of principal component analysis. A red dashed line indicates the proximity between HHDP-digalloylglucose isomer and dihydroxyphenyl-γ-valerolactone with the antiproliferative effect of FJPP. Statistical analyses were performed using software SAS<sup>®</sup> OnDemand for Academics (SAS Institute Inc., Cary, NC, USA).

Dihydroxyphenyl- $\gamma$ -valerolactone, which was likely formed by microbial degradation of catechin and epicatechin from JPP [10], was the other compound associated to the antiproliferative activity of FJPP (Figures 4 and 5). This metabolite has been shown to contribute to the urinary antioxidant activity in rats treated with (–)-epicatechin [23]. Moreover, it has been recently shown that dihydroxyphenyl- $\gamma$ -valerolactone is able to reach the brain, supporting the neuroprotective effects of PC-rich foods [24]. In fact, the plasma concentrations of dihydroxyphenyl- $\gamma$ -valerolactone were positively correlated with memory improvement in mice with Alzheimer disease supplemented with polyphenolic extract from blueberries and grapes for four months [25]. As far as we know, this is the first report about the bioactivity of dihydroxyphenyl- $\gamma$ -valerolactone in a cancer model.

Although HHDP-digalloylglucose isomer and dihydroxyphenyl- $\gamma$ -valerolactone seem to be the major compounds associated with the increased antiproliferative activity of JPP during the intermediate stages of colonic fermentation, other PC and metabolites likely contributed to the antiproliferative effect that was already found before starting colonic fermentation and after 48 h of colonic fermentation (30% and 20% cell growth inhibition; Figure 2, Panel A).

Gut microbiota and PC have shown a synergistic effect regarding their chemopreventive properties in monolayer cell models [26], which was likely related to microorganism enzymes that convert PC into more bioavailable or bioactive forms than their parent compounds. Moreover, PC can inhibit pathogenic bacteria and favor the development of beneficial microbiota. In fact, a reduction in pathogenic bacteria count was observed concomitant with the JPP PC catabolism by human fecal microbiota [12]. Although microbiome composition has not been evaluated in the present study, the intake of a yogurt added with lyophilized seed extract of jaboticaba has been recently shown to increase the abundance of *Bacteroidetes* and decrease the number of *Firmicutes* in a rat model of chemical-induced colon cancer [27]. Lastly, as oxidative stress may be involved in the death of probiotics, PC may delay this process due to their antioxidant properties, increasing the viability of probiotics [26]. In agreement, the addition of Bifidobacterium in an extract rich in watersoluble PC from jaboticaba enhanced antioxidant activity and antiproliferative effects in monolayer cancer colon cells when compared with the group without the probiotic [26].

At the end of fermentation, a significant reduction in antiproliferative activity was observed along with the highest concentration of final metabolites (urolithins). Urolithins, which were linearly increased during fermentation (Figure 2, Panel B), are a class of compounds produced by the gut microbiota metabolism of ellagitannins that have been suggested as biomarkers of the intake of PC from berries, nuts and wines. Moreover, urolithin A was able to decrease colony formation of monolayer human colon cancer cells [28]. However, in the present study, the increase in the antiproliferative effect of FJPP was not related to the production of urolithins, since the antiproliferative activity of FJPP decreased by 48 h of fermentation (in monolayer and 3D cells) while the urolithins content reached their maximum content at this time. Since FJPP presented a mix of different urolithins, we cannot rule out that the interactions among these metabolites may have masked a possible antiproliferative effect.

### 3. Materials and Methods

# 3.1. FJPP Samples

All of the samples used in this work were prepared and characterized in our previous study [12]. Briefly, the digestion of JPP was performed with *Myrciaria trunciflora* fruits collected in São Vicente do Sul city, at Rio Grande do Sul State, Brazil (SISGEN ABD 4602). The peels were separated from the pulp, freeze-dried and triturated to produce JPP. JPP (5 g sample) was subjected to a sequential static in vitro simulation of oral, gastric and duodenal digestion as previously described [10]. The fraction containing PC that were not available for absorption (JPP-IN), was separated, freeze-dried and used for the in vitro colonic fermentation assay. Each 5 g of JPP yielded 4.2 g of JPP-IN.

In vitro colonic fermentation was carried out using fresh feces from human donors (eight men and nine women aged between 20 and 53 years-old) to provide gut microbiota. The assay was the same as that already described [10]. The JPP-IN fraction was incubated in glass bottles containing 50 mL of fecal suspension. Fecal suspension without the JPP-IN was also incubated and used to correct the results by the respective controls. After incubation, glass bottles were centrifuged at  $1400 \times g$  for 10 min, the supernatant was collected and stored at -80 °C for chromatographic analysis or freeze-dried fermented supernatant (FJPP) samples were reconstituted with Milli-Q water and then centrifuged at  $2000 \times g$  for 15 min. FJPP samples were filtered using 0.22 µm filter twice to yield the sterile FJPP samples.

#### 3.2. Cell-Based Assays

#### 3.2.1. Cell Lines and Culture

Human colon cancer cell lines, HT29 and Caco-2, were obtained from American Type Culture Collection (ATCC, Manassas, VA, USA) and Deutsche Sammlung von Microorganismen und Zellkulturen (Barunshweig, Germany), respectively. Both cell lines were grown in RPMI 1640 medium (Gibco, Carlsbad, CA, USA) supplemented with 10% (v/v) of heat-inactivated sterile filtered Fetal Bovine Serum (FBS; Biowest, Riverside, CA, USA). For Caco-2 cells, additional supplementation was made with 1% (v/v) of PenStrep (Gibco, Carlsbad, CA, USA). Stock cells were maintained as monolayers in 175 cm<sup>2</sup> culture flasks and incubated at 37 °C with 5% CO<sub>2</sub> in a humidified atmosphere.

# 3.2.2. 3D Cell Culture Using a Stirred-Tank Culture System

CRC spheroids (3D cells model) were generated as previously described [19] with some modifications. Briefly, HT29 single cells were inoculated into a 100 mL spinner flask (Corning, Tewksbury, MA, USA) in a culture medium with 10% (v/v) FBS, accounting for a cell density of  $2.5 \times 10^5$  cells/mL. The spinner vessel was placed on a magnetic stirrer under 40 rpm, and cell culture was carried out in a humidified atmosphere with 5% CO<sub>2</sub> at 37 °C, with an increasing stirring speed to 50 rpm and 60 rpm at the time-point of 8 h and 28 h post-inoculation, respectively. After the 4th day post-inoculation, half of the spinner flask volume was renewed daily. Experiments were performed using spheroids collected at day eight of culture with an average diameter of 500 µm.

## 3.2.3. Cytotoxicity Assay in Caco-2 Cells

The cytotoxicity of FJPP was assessed using confluent and undifferentiated Caco-2 cells as a model of the human intestinal epithelium, as previously described [29]. Briefly, Caco-2 cells were seeded into 96-well plates at a density of  $2 \times 10^4$  cells/well and allowed to grow for seven days, with medium renewal every 48 h. At day seven, cells were incubated with FJPP that was diluted in a culture medium at concentrations ranging from 125 µg to 10,000 µg of JPP-IN equivalents mL<sup>-1</sup> Cells incubated with culture medium and cells incubated with control fermentation medium (fecal suspension without JPP-IN) were used as controls. Another batch of control cells were incubated with Milli-Q water (FJPP vehicle) to account for culture medium dilution effects during FJPP addition. After 72 h of incubation, cells were washed with Phosphate Buffered Saline (PBS, Sigma-Aldrich, St. Louis, MO, USA) and cell viability was assessed using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay [4]. Cell viability was calculated relative to the respective feces control (no JPP-IN) and relative to medium control. Six independent experiments were performed in triplicate.

#### 3.2.4. Antiproliferative Assay in HT29 Cell Monolayers

HT29 cells were seeded in 96-well plates at a density of  $1 \times 10^5$  cells per well. After incubation for 24 h, cells were treated with several concentrations (0-control, 125, 250, 500, 1000 and 2000 µg of JPP-IN equivalents mL<sup>-1</sup>) of JPP previously fermented for 0, 2, 8, 24 and 48 h (FJPP). Cells incubated with culture medium and cells incubated with control fermentation medium (fecal suspension without JPP-IN) were used as controls. After 72 h of incubation, cells were washed with PBS and cell viability was assessed using the MTT assay, as described by [4]. Cell viability was calculated relative to the respective feces control (no JPP-IN) and relative to the medium control. Six independent experiments were performed in triplicate.

#### 3.2.5. Antiproliferative Assay in HT29 Cell Spheroids (3D Cells)

HT 29 spheroids were seeded at a density of approximately five spheroids/well, in 96well plates and incubated with PrestoBlue Viability Reagent (Molecular Probes, Invitrogen, CA, USA) to determine the basal viability. Then, spheroids were treated with FJPP at 10,000  $\mu$ g of JPP-IN equivalents.mL<sup>-1</sup> (five times the highest concentration used in the 2D cells antiproliferative assay, but still non-toxic). After 72 h of incubation, the spheroids were washed with PBS and cell viability was assessed using the MTT assay, as described above. Cell viability was calculated relative to the respective feces control (no JPP-IN) as previously described [8]. Six independent experiments were performed in triplicate.

#### 3.3. HPLC-Q-TOF-MS/MS Analysis of PC during Colonic Fermentation

The extraction, identification and quantification of individual PC and metabolites from the FJPP of this assay were described in our previous study [12]. Briefly, anthocyanins were separated in a C-18 Core-Shell Kinetex column (2.6 µm particle size, 100 mm, 4.6 mm; Phenomenex, Torrance, CA, USA) at 38 °C using a gradient of 3% formic acid in water and 100% acetonitrile at a flow rate of 0.9 mL·min<sup>-1</sup>. Non-anthocyanin PC and metabolites were separated in a C-18 Hypersil Gold column (5 µm particle size, 150 mm, 4.6 mm; Thermo Fisher Scientific, Waltham, MA, USA) at 38 °C using a gradient of 5% methanol in acidified water (0.1%, v/v, of formic acid) and 0.1% acetonitrile at a flow rate of 1.0 mL·min<sup>-1</sup>. The identification of PC and metabolites was performed in a HPLC system connected to a diode array detector (DAD) and a Q-TOF mass spectrometer analyzer and electrospray ionization (ESI) source (micrOTOF-QIII, Bruker Daltonics, Bremen, Germany). Compounds were identified based on their elution order and the comparison of their UV to visible spectra and mass spectrometry fragmentation patterns with authentic standards and literature data. The quantification of PC and metabolites was conducted using DAD peak area data using the method previously validated [10]. Hydroxybenzoates were quantified at 280 nm as equivalents of gallic acid or protocatechuic acid, tannins were quantified at 280 nm as equivalents of gallic acid, anthocyanins were quantified at 520 nm as equivalents of cyanidin 3-glucoside, and flavonols and urolithins were quantified at 360 nm as equivalents of quercetin or myricetin. The limits of detection (LOD) and quantification (LOQ) for gallic acid, protocatechuic acid, cyanidin 3-glucoside, quercetin and myricetin were 0.012 and 0.037, 0.027 and 0.083, 0.020 and 0.068, 0.562 and 1.363, 0.166 and 0.503 mg·L<sup>-1</sup>.

#### 3.4. Statistical Analysis

Antiproliferative activity data were expressed as mean  $\pm$  SEM. Statistical analyses were performed using GraphPad Prism 5 software (GraphPad Software, Inc., La Jolla, CA, USA). Data were submitted to one-way analysis of variance (ANOVA) and the means were compared by Tukey's test at a 5% significance level. Additionally, antiproliferative activity data was also submitted to regression analysis. For antiproliferative assay in monolayer HT29 cells, the EC<sub>50</sub> values were calculated using the former software.

The bioactivity of PC metabolites formed during colonic fermentation of JPP was investigated using chemometric analyses. Principal Component Analysis and Cluster Analysis (CA) were used to investigate the association between HPLC-MS-fingerprinting assessment of PC (parent compounds and metabolites) and the antiproliferative activity during the colonic fermentation of JPP. Data were processed using the software SAS<sup>®</sup> OnDemand for Academics (SAS Institute Inc., Cary, NC, USA).

#### 4. Conclusions

This study demonstrated the antiproliferative effect of JPP fermented by colonic microbiota against CRC using a complex 3D cell model. The potential effects of JPP against CRC were increased in the intermediate times of fermentation, and were associated to HHDP-digalloylglucose isomer and dihydroxyphenyl- $\gamma$ -valerolactone rather than to other colonic PC metabolites or to the PC found at highest concentrations in the undigested fruit. Studies regarding the antiproliferative effect of these isolated compounds in CRC 3D models should be carried out in the near future.

**Supplementary Materials:** The following are available online, Figure S1: Effect of FJPP (10,000  $\mu$ g mL<sup>-1</sup>) on viability of Caco-2 cells. Cell viability was evaluated after exposure of Caco-2 cells to FJPP at 10,000  $\mu$ g mL<sup>-1</sup> for 72 h. Results are means of at least six independent experiments performed in triplicate  $\pm$  SEM., Table S1: The loadings of the first three principal components.

**Author Contributions:** Conceptualization, P.R.A., T.E. and A.T.S.; formal analysis, P.R.A., A.Q., V.C.B., E.R., I.D.P., A.C.M., S.C.O.-A.; resources, T.E., A.T.S. and M.R.B.; data curation, P.R.A., A.T.S., T.E. and R.M.; writing—original draft preparation, P.R.A., T.E. and A.T.S.; funding acquisition, T.E., A.T.S. and M.R.B. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Brazilian National Council for Scientific and Technological Development (CNPq) [grant number 303654/2017-1 and postdoctoral scholarship 205295/2018-5] and Coordination for the Improvement of Higher Education Personnel (CAPES) [finance code 001]. This research was also funded by the Fundação para a Ciência e Tecnologia/Ministério da Ciência, Tecnologia e Ensino Superior [Grant CEECIND/04801/2017] and iNOVA4Health–UIDB/04462/2020 and UIDP/04462/2020, a program financially supported by Fundação para a Ciência e Tecnologia/Ministério da Ciência, Tecnologia e Ensino Superior.

**Institutional Review Board Statement:** This study was conducted according to the guidelines of the Declaration of Helsinki and approved by the Institutional Ethics Committee of Federal University of Santa Maria (protocol of study CAAE 50151015.6.0000.5346). Informed consent was obtained from all subjects involved in the study.

Informed Consent Statement: Informed consent was obtained from all subjects involved in the study.

**Data Availability Statement:** The data supporting the findings of this study are available on request from the corresponding author. Supporting information is provided in the supplementary material.

**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

Sample Availability: Samples used in this study are not available from the authors.

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Article



# Activity Guided Isolation of Phenolic Compositions from Anneslea fragrans Wall. and Their Cytoprotective Effect against Hydrogen Peroxide Induced Oxidative Stress in HepG2 Cells

Shuyue He<sup>1,†</sup>, Xiaoyan Cui<sup>2,†</sup>, Afsar Khan <sup>3</sup><sup>®</sup>, Yaping Liu<sup>1</sup>, Yudan Wang<sup>1,4</sup>, Qimin Cui<sup>1</sup>, Tianrui Zhao<sup>1</sup>, Jianxin Cao<sup>1</sup> and Guiguang Cheng<sup>1,\*</sup><sup>®</sup>

- <sup>1</sup> Faculty of Agriculture and Food, Kunming University of Science and Technology, Kunming 650500, China; kmlgdx303@163.com (S.H.); liuyaping@mail.kib.ac.cn (Y.L.); sdlcwyd@163.com (Y.W.); 18908742179@163.com (Q.C.); food363@163.com (T.Z.); jxcao321@hotmail.com (J.C.)
- <sup>2</sup> Foundation Department, Hai Yuan College, Kunming Medical University, Kunming 650106, China; cuixiaoyan910@163.com
- <sup>3</sup> Department of Chemistry, Abbottabad Campus, COMSATS University Islamabad, Abbottabad 22060, Pakistan; afsarhej@gmail.com
- <sup>4</sup> National and Local Joint Engineering Research Center for Green Preparation Technology of Biobased Materials, Yunnan Minzu University, Kunming 650500, China
- \* Correspondence: ggcheng@kmust.edu.cn; Tel.: +86-871-65920216
- t These authors contributed equally to this work.

**Abstract:** *Anneslea fragrans* Wall., commonly known as "Pangpo Tea", is traditionally used as a folk medicine and healthy tea for the treatment of liver and intestine diseases. The aim of this study was to purify the antioxidative and cytoprotective polyphenols from *A. fragrans* leaves. After fractionation with polar and nonpolar organic solvents, the fractions of aqueous ethanol extract were evaluated for their total phenolic (TPC) and flavonoid contents (TFC) and antioxidant activities (DPPH, ABTS, and FRAP assays). The *n*-butanol fraction (BF) showed the highest TPC and TFC with the strongest antioxidant activity. The bio-guided chromatography of BF led to the purification of six flavonoids (**1–6**) and one benzoquinolethanoid (**7**). The structures of these compounds were determined by NMR and MS techniques. Compound **6** had the strongest antioxidant capacity, which was followed by **5** and **2**. The protective effect of the isolated compounds on hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)-induced oxidative stress in HepG2 cells revealed that the compounds **5** and **6** exhibited better protective effects by inhibiting ROS productions, having no significant difference with vitamin C (p > 0.05), whereas **6** showed the best anti-apoptosis activity. The results suggest that *A. fragrans* could serve as a valuable antioxidant phytochemical source for developing functional food and health nutraceutical products.

Keywords: Anneslea fragrans; antioxidant; guided isolation; oxidative stress; flavonoid glycosides

#### 1. Introduction

Reactive oxygen species (ROS) are produced as by-products though oxidative respiration in normal physiological and biochemical processes [1]. As endogenous free radicals, ROS plays an important role in cell signaling and the maintenance of body constancy in a normal range [2]. If the ROS could not be effectively scavenged, excessive ROS could lead to the occurrence of oxidative stress, which may influence cell proliferation and apoptosis [3]. Oxidative stress is closely related to a variety of diseases including diabetes, hyperlipemia, obesity, cancer, and cardiovascular and neurodegenerative diseases [4]. Recently, many studies have evidenced that a diet enriched with antioxidants possesses a series of beneficial effects owing to their scavenging ability on excessive ROS [5,6].

Natural antioxidants contain a variety of molecules such as polyphenols [7], carotenoids [8], vitamins [9], nitrogen-containing compounds [10], and coumarins [9]. Polyphenols are distributed widely throughout the plant kingdom and promote health benefits owing to their

Citation: He, S.; Cui, X.; Khan, A.; Liu, Y.; Wang, Y.; Cui, Q.; Zhao, T.; Cao, J.; Cheng, G. Activity Guided Isolation of Phenolic Compositions from *Anneslea fragrans* Wall. and Their Cytoprotective Effect against Hydrogen Peroxide Induced Oxidative Stress in HepG2 Cells. *Molecules* 2021, 26, 3690. https:// doi.org/10.3390/molecules26123690

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 29 April 2021 Accepted: 13 June 2021 Published: 17 June 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). antioxidant properties [11]. Many of them, such as resveratrol and some derivatives [12], anthocyanidins [13], isoflavones [14], catechin [15], and quercetin [16] are well known for their protective effect by scavenging ROS [1]. For the past few years, polyphenols have been attracting attention in the prevention of cancer [17], cardiovascular dysfunction [18], neurodegenerative diseases [19], and aging [20]. Therefore, searching for effective antioxidants is an urgent need to promote human health.

Anneslea fragrans Wall. is an evergreen plant, which is mainly distributed in the southern of China [21]. In addition to its ornamental purpose, the leaves of *A. fragrans* are also used as a folk medicine to treat fever, liver protection, invigorating stomach and intestines in China and Cambodia [22], which had been recorded in "Yunnan Simao Chinese Herbal Medicine". In addition, the leaves have also been processed as a tea beverage, which is known as "Pangpo Tea". In previous reports [23], the extract of *A. fragrans* has shown antioxidant and antimalarial activities. However, to date, its antioxidant phenolic compounds from *A. fragrans* have not been reported.

Thus, the purpose of this research was to isolate and identify the antioxidant compounds from *A. fragrans* leaves that are responsible for its traditional use for the treatment of liver diseases. The four fractions, dichloromethane fraction (DF), ethyl acetate fraction (EAF), *n*-butanol fraction (BF), and residual water fraction (RWF) of aqueous ethanol extract were assessed for their total phenolic (TPC) and total flavonoid contents (TFC) and for their antioxidant capacity. The *n*-butanol fraction (BF) had the highest TPC and TFC with strongest antioxidant activity. The bio-guided fractionation of BF allowed the purification of compounds. Furthermore, the cytoprotective effect of the isolated compounds was performed on hydrogen peroxide ( $H_2O_2$ )-induced oxidative stress in human liver cancer HepG2 cells. The intracellular ROS production and cell apoptosis were determined using flow cytometry. Thus, this research afforded a valuable antioxidant phytochemical ingredient for the development and utilization of *A. fragrans* leaves as a functional supplement (healthy tea) in food and health industry.

#### 2. Results and Discussion

# 2.1. Yield Efficiency of Fractions and Subfractions

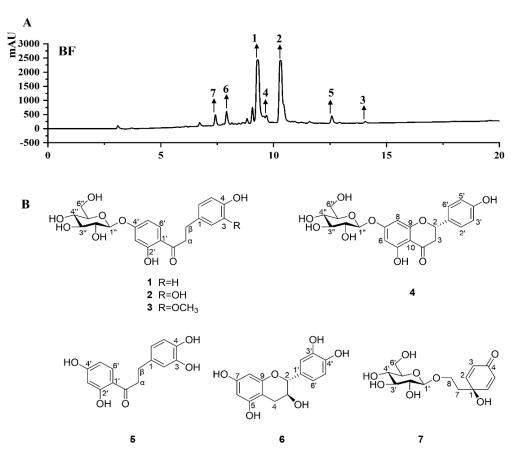
The liquid–liquid partitioning by organic solvents and column fractionation are important techniques for enriching the bioactive compounds from crude extract [24]. Using liquid–liquid partitioning, ethanol extract (CE) from *A. fragrans* leaves was successively fractionated with dichloromethane, ethyl acetate, and *n*-butanol to give four fractions (DF, EAF, BF, and RWF), respectively. Yield percentages of fractions were found to vary from 11 to 27%. The residual water fraction (RWF, 27%) had the highest yield, and the yields of other fractions were in the order as follows: *n*-butanol fraction (BF, 24%), ethyl acetate fraction (EAF, 21%), dichloromethane fraction (DF, 11%).

The yield percentage of subfractions (BF-A to E) was found to vary from 3.82 to 48.4%. The BF-E presented the highest yield of 48.4% followed by BF-D (24.96%), which has significantly higher yields than the other fractions.

# 2.2. HPLC Analysis

High-performance liquid chromatography (HPLC) detection has been demonstrated to be a powerful technique for quantitative determination. HPLC analysis revealed that BF had the most compounds with the strongest antioxidant activity. Under the guidance of antioxidant assays and HPLC analysis, the antioxidative fraction was further chromatographed for the isolation of subfractions. The BF was subjected to a hydrated resin D101 column to yield five subfractions (BF-A to E). HPLC analysis revealed that BF-C to E had the most antioxidant compounds. Bio-guided fractionation of these fractions (BF-C to E) allowed the purification of seven pure compounds.

As shown in Figure 1, the BF and seven pure compounds were profiled by HPLC analysis. Based on comparison with the retention times and UV absorption curves, these seven compounds were confirmed through retention times at 7.51 min (cornoside, 7), 7.92 min ((epi)- catechin, **6**), 9.38 min (confusoside, **1**), 9.57 min ((S)-naringenin-7-*O*- $\beta$ -D-glucopyranoside, **4**), 10.32 min (vacciniifolin, **2**), 12.64 min (2',3,4,4'-tetrahydroxydihydrochalcone, **5**), and 13.95 min (1-[4-( $\beta$ -D-glucopyranosyloxy)-2-hydroxyphenyl]-3-(4-hydroxy-3-methoxyphenyl)-1-propanone, **3**) (Figure 1A). Among them, compounds **1–6** belong to flavonoids, and compound **7** is benzoquinolethanoid.



**Figure 1.** (**A**). HPLC chromatogram of BF from *Anneslea fragrans*. (**B**). Chemical structures of the compounds 1–7.

# 2.3. Total Phenolic Contents (TPC) and Total Flavonoid Contents (TFC)

The *A. fragrans* leaves are traditionally used as processed health tea and have been proven to be good resource of phenolics and flavonoids [25]. According to spectrophotometric assays, the TPC and TFC were tested in different fractions and subfractions from *A. fragrans* leaves. As shown in Table 1, the BF had the highest TPC value with 238.12  $\pm$  12.05 mg GAE/g extract followed by EAF. The DF showed the lowest TPC value as 66.52  $\pm$  0.57 mg GAE/g extract. Similarly, the highest TFC concentrations were also found in BF with TFC value as 165.19  $\pm$  5.21 mg RE/g extract. With regard to the RWF and EAF (66.92  $\pm$  1.38 and 116.12  $\pm$  2.89 mg GAE/g extract, respectively), they also had lower TFC concentrations than the BF (165.19  $\pm$  5.21 mg RE/g extract), while DF had the lowest TFC concentration as 47.94  $\pm$  2.84 mg RE/g extract. The contents of TPC and TFC in BF were approximately four times higher than those in DF.

Samples	TPC	TFC	FRAP <sup>2</sup>	ABTS <sup>3</sup>	DPPH <sup>4</sup>
Vc <sup>5</sup>			$3126.08 \pm 197.63$ <sup>h</sup>	2932.91 + 93.63 g	$1873.56 \pm 121.68$ <sup>a</sup>
DF	$66.52 \pm 0.57$ b	$47.94 \pm 2.84$ b	$180.05 \pm 9.46^{\text{b}}$	$139.23 \pm 8.62^{a}$	$779.94 \pm 84.76^{\text{ b}}$
EAF	$123.49 \pm 1.14^{\text{d}}$	$116.12 \pm 2.89^{\text{d}}$	$975.24 \pm 16.73^{\text{e}}$	$796.92 \pm 0.02$	$684.31 \pm 31.26^{b}$
BF	$238.12 \pm 12.05$ g	$165.19 \pm 5.21^{\text{ f}}$	$1822.96 \pm 29.24^{\text{f}}$	$1808.46 \pm 96.52$ d	$951.42 \pm 87.75^{\text{b}}$
RWF	$81.29\pm1.48$ <sup>c</sup>	$66.92 \pm 1.38$ <sup>c</sup>	$592.65 \pm 11.55$ <sup>d</sup>	$135.38 \pm 6.75^{\text{ a}}$	$613.38 \pm 45.35^{\text{ b}}$
BF-A	$22.22\pm1.63~^{\rm a}$	$25.46\pm2.89$ $^{\rm a}$	$71.27\pm5.42$ $^{\rm a}$	$130.01\pm4.16$ $^{\rm a}$	$128.60 \pm 6.31 \ ^{\rm a}$
BF-B	$76.37\pm0.94$ $^{\rm c}$	$63.63\pm3.82~^{\rm c}$	$427.61\pm13.78~^{\rm c}$	$246.92 \pm 7.03 \ ^{b}$	$615.31 \pm 38.21 \ ^{\rm b}$
BF-C	$210.69 \pm 6.31 \ ^{\rm e}$	$151.01\pm4.33~^{\mathrm{e}}$	$1777.94 \pm 72.93$ f	$2020.87 \pm 97.29 \ ^{\rm e}$	$1249.87 \pm 81.59~^{\rm c}$
BF-D	$219.84 \pm 4.01$ f	$157.01 \pm 2.50$ <sup>e</sup>	$1839.38 \pm 12.87~^{ m f}$	$1881.53 \pm 28.57$ <sup>d</sup>	$1431.35 \pm 98.32$ <sup>cd</sup>
BF-E	$262.03\pm1.72~^{\rm h}$	$180.52 \pm 6.30$ <sup>g</sup>	2096.77 $\pm$ 49.13 <sup>g</sup>	2162.31 $\pm$ 64.49 $^{ m f}$	$1660.42 \pm 119.72 \ { m de}$

Table 1. TPC, TFC, and antioxidant capacity values of four fractions and five subfractions from Anneslea fragrans Wall	Table 1. TPC, TFC, and antioxid	ant capacity values of fo	our fractions and five s	subfractions from A	Anneslea fragrans Wall
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<sup>1</sup> TPC: total phenolic content expressed as mg GAE/g extract, TFC: total flavonoid content expressed as mg RE/g extract. All the values are expressed as mean  $\pm$  SD (n = 3). Data are obtained from three replicates and presented as mean  $\pm$  SD; different numbers in the same column with different letters as superscript are significantly different (p < 0.05). DF, EAF, BF, and RWF mean dichloromethane fraction, ethyl acetate fraction, *n*-butanol fraction, and residual water fraction. BF-A to E mean that BF was subjected to D101 column chromatography to yield five subfractions. <sup>2</sup> FRAP: µmol Trolox equivalents (TE)/g extract. <sup>3</sup> ABTS: µmol Trolox equivalents (TE)/g extract. <sup>4</sup> DPPH: µmol Trolox equivalents (TE)/g extract. <sup>5</sup> Vc means the standard of vitamin C.

The data depicted the presence of highest TPC and TFC in BF-E with values as  $262.03 \pm 1.72 \text{ mg GAE/g}$  extract;  $180.52 \pm 6.30 \text{ mg RE/g}$  extract, respectively, followed by BF-D ( $219.84 \pm 4.01$  and  $157.01 \pm 2.50$  mg RE/g extract, respectively), BF-C ( $210.69 \pm 6.31$  and  $151.01 \pm 4.33$  mg RE/g extract, respectively), while BF-B and BF-A had the lowest TPC and TFC values (Table 1).

#### 2.4. Antioxidant Activity

Most of the polyphenols, especially flavonoids and phenolic acids, are exploited into popular antioxidant foods (nutraceuticals) and present a series of human benefits [17]. In our previous study, the *A. fragrans* leaves have been proven to be a good resource of polyphenols [26]. However, the antioxidant activity of the extract from *A. fragrans* leaves and its phytochemicals have not been investigated yet. Due to different antioxidative reaction mechanisms, three assays of ABTS, DPPH, and FRAP were combined to evaluate the antioxidant activity of the fractions and subfractions from *A. fragrans* leaves.

Among the fractions, BF showed the most potent antioxidant activity in ABTS, DPPH, and FRAP radical-scavenging activities with 1808.46  $\pm$  96.52, 951.42  $\pm$  87.75, and  $1822.96 \pm 29.24 \mu$ mol TE/g extract (Table 1). DF showed the lowest antioxidative activity in ABTS and FRAP assays (139.23  $\pm$  8.62  $\mu$ mol TE/g extract and 180.05  $\pm$  9.46  $\mu$ mol TE/g extract, respectively) (Table 1). Whereas, the radical scavenging activities of four fractions (DF, EAF, BF, and RWF) in the DPPH radical-scavenging assay were found to vary from  $613.38 \pm 45.35$  to  $951.42 \pm 87.75$  µmol TE/g extract, respectively, having no significant difference (p > 0.05). These results suggested that the phytochemicals from A. fragrans leaves might be insensitive to DPPH. To further obtain the active metabolites, BF was selected for further fractionation. The BF was subjected to D101 macroporous adsorbing resin column chromatography eluting by a gradient of the methanol-aqueous system to yield five subfractions (BF-A to E). Hence, the ABTS radical-scavenging activity of these subfractions can be ranked as BF-E > BF-C > BF-D. The reducing activity in the FRAP assay revealed that BF-D had the strongest antioxidative activity, which was followed by BF-C and BF-E. It is noteworthy that three subfractions (BF-C to E) presented higher antioxidative activity than the mother fraction (BF). Taken together, BF-C to E were submitted to column chromatography for isolating and identifying the antioxidative phytochemicals.

#### 2.5. Antioxidant Activity of the Isolated Compounds

All the isolated compounds (compounds 1–7) were evaluated for antioxidant capacity by ABTS, DPPH radical scavenging activity, and FRAP antioxidant activity. All the data are described in Table 2. Compounds 6 and 2 showed the highest antioxidant activity,

followed by **5**. Compound **4** displayed moderate antioxidant activity and compounds **1**, **3**, and **7** were considered inactive with ABTS and DPPH radical scavenging activities less than 200 µmol TE/g extract. Using Vc (the radical scavenging activities with 2932.91  $\pm$  93.63 and 1873.56  $\pm$  121.68 µmol TE/g extract, respectively) as the positive control, compound **6** had the strongest antioxidant activity in ABTS and DPPH radical scavenging assays with 1580.37  $\pm$  89.32 and 1953.31  $\pm$  109.93 µmol TE/g extract (*p* < 0.05), respectively. Furthermore, compound **6** had the best antioxidant capacity in the FRAP assay as 5027.43  $\pm$  620.75 µmol TE/g extract, which was much higher than that of Vc as 3291.28  $\pm$  241.02 µmol TE/g extract. Moreover, compounds **2** and **6** showed a significant antioxidant activity, which was equivalent to positive control (Vc).

Compound	FRAP <sup>2</sup>	ABTS <sup>3</sup>	DPPH <sup>4</sup>
Vc <sup>5</sup>	$3126.08 \pm 197.63^{\ e}$	$2932.91 \pm 93.63 \ ^{\rm e}$	$1873.56 \pm 121.68 \ ^{\rm c}$
1	$714.17 \pm 64.63^{\text{ b}}$	$174.81 \pm 14.38~^{\rm a}$	$133.33\pm4.98~^{\rm a}$
2	$3065.37 \pm 283.87 \ ^{\rm e}$	$1312.41 \pm 108.63 \ ^{\rm c}$	$1888.31 \pm 162.94~^{\rm c}$
3	$562.09 \pm 63.98$ <sup>a</sup>	$126.37\pm9.32$ a	$128.33\pm9.93$ a
4	$2806.15 \pm 171.92$ <sup>d</sup>	$1021.92\pm51.82~^{ m b}$	$1418.31 \pm 84.62$ <sup>b</sup>
5	$2448.36 \pm 150.38 \ ^{\rm c}$	$1132.16 \pm 93.85 \ ^{\rm b}$	$1533.31 \pm 114.96^{\ \mathrm{b}}$
6	$5027.43 \pm 620.75 \ ^{\rm f}$	$1580.37 \pm 89.32$ <sup>d</sup>	$1953.31 \pm 109.93~^{\rm c}$
7	$613.94\pm87.29$ a	$54.18\pm4.38$ a	$108.33\pm8.71$ $^{\rm a}$

**Table 2.** Antioxidant capacity values of seven compounds from *Anneslea fragrans* Wall<sup>1</sup>.

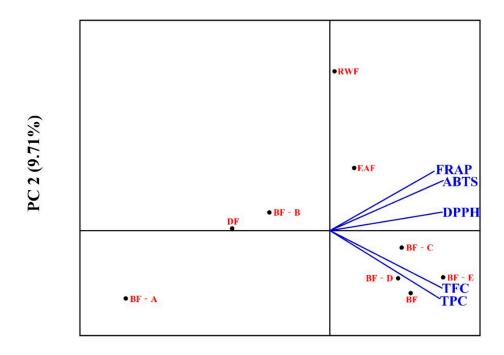
<sup>1</sup> TPC: total phenolic content expressed as mg GAE/g extract, TFC: total flavonoid content expressed as mg RE/g extract. Data are obtained from three replicates and presented as mean  $\pm$  SD; different numbers in the same column with different letters as superscript are significantly different (p < 0.05). <sup>2</sup> FRAP: µmol Trolox equivalents (TE)/g extract. <sup>3</sup> ABTS: µmol Trolox equivalents (TE)/g extract. <sup>4</sup> DPPH: µmol Trolox equivalents (TE)/g extract. <sup>5</sup> Vc means the standard of vitamin C.

Additionally, the antioxidant structure–activity relationship of the flavonoids (1–6) is discussed by varying degrees of inhibitory effects. Compound **2** presented more antioxidant activity than compounds **1**, **3**, and **5**, which suggested that the 3,4-dihydroxy groups in dihydrochalcones in **2** may play a critical role against ABTS, DPPH radical scavenging activity, and FRAP antioxidant activity, and the glucose moiety substituted at C-4' in **2** may play an important role on its antioxidant capacity [4]. Furthermore, compound **6** exhibited the highest antioxidant activity compared to other compounds, which suggested that flavan-3-ols (catechin) probably exhibit a better radical scavenging activity than dihydrochalcones and flavanones [27]. In summary, compounds **2**, **5**, and **6** with good antioxidant activity were selected further for cytoprotective effects against oxidative stress by H<sub>2</sub>O<sub>2</sub> in the next study.

#### 2.6. Relationship between Antioxidant Activity and TPC/TFC Contents

Concentrations of the TPC and TFC highly correlated with antioxidant activity from the values of FRAP (r = 0.982 and 0.977) and ABTS (r = 0.959 and 0.965), respectively. The correlation matrix also showed strong correlation between the ABTS and FRAP values (r = 0.992). Furthermore, a multivariate analysis (PCA), which was extracted from the data of Table 1, was carried out. As shown in Figure 2, PCA explained 94.44% of total variation, in which PC1 accounted for 84.73% of the variance and PC2 accounted for 9.71%. The FRAP, ABTS, and DPPH assays with EAF and RWF are placed at the upper right quadrants, and TPC and TFC concentrations with BF and BF-C to E are located at the lower right quadrants in the PC1 positive scores, respectively. Meanwhile, the DF and BF-A to B with low TPC and TFC concentrations are located along the axis of PC1 negative scores. These findings showed that the TPC and/or TFC concentrations are closely associated with antioxidant capacity, and the greater the TPC or TFC concentrations in the fractions and subfractions, the higher their antioxidant capacity values. These results revealed that the high phenolic and flavonoid contents in different fractions and subfractions from *A. fragrans* leaves might contribute to antioxidant activity.

# Biplot (PC1×PC2: 94.44 %)

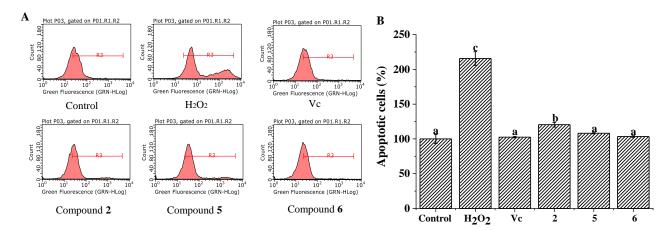


# PC 1 (84.73%)

**Figure 2.** PCA analysis on total phenolics, flavonoids, and antioxidant activity. Means with different letters indicate significant differences (p < 0.05). DF, EAF, BF, RWF mean dichloromethane fraction, ethyl acetate fraction, *n*-butanol fraction, and residual water fraction. BF-A to E means BF was subjected to D101 column chromatography to yield five subfractions.

#### 2.7. Inhibitory Effect on Intracellular ROS Generation

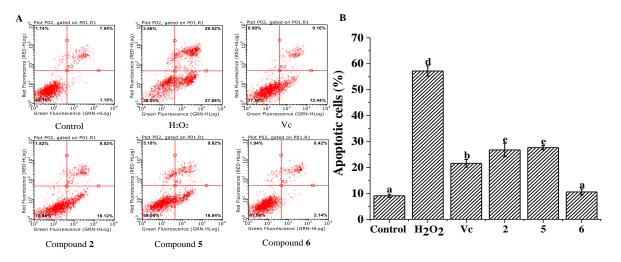
Oxidative stress is a kind of imbalance between oxidation and antioxidation in the body [28]. Excessive accumulation of ROS can lead to oxidative stress that may cause damage to cells and tissues such as lipids, membranes, and DNA [29]. Antioxidants including polyphenols and flavonoids can help the body reduce these damages caused by ROS. Generally, H<sub>2</sub>O<sub>2</sub> is widely used to induce intracellular ROS disordered production and impair the antioxidant defense of cells [30]. In our present study,  $H_2O_2$  was chosen to induce the abnormal accumulation of intracellular ROS and impair the antioxidant defense of cells. To evaluate the inhibitory effect on intracellular ROS generation in H<sub>2</sub>O<sub>2</sub>-induced HepG2 cells, the levels of intracellular ROS were tested by flow cytometry. Briefly, HepG2 cells were cultured in a 6-well plate (1  $\times$  10<sup>5</sup> cells/well). After incubation for 24 h of 50  $\mu$ g/mL of different extracts or 8  $\mu$ g/mL of Vc (positive group), all the groups except for the control group were induced by H<sub>2</sub>O<sub>2</sub> for 6 h. The intracellular ROS levels of each compound were tested (Figure 3). The ROS generation ratio significantly increased to  $215.64 \pm 9.80\%$  in H<sub>2</sub>O<sub>2</sub>-treated group compared with the control group (100%). Compared with the  $H_2O_2$ -treated group, compounds 2, 5, and 6 remarkably suppressed intracellular ROS production (p < 0.05), and their inhibitory effect was equal to the Vc group (Figure 3). Many phenolics have been proven to have protective effect against intracellular ROS by H<sub>2</sub>O<sub>2</sub> induction [31]. Additionally, compound 6 displayed the strongest suppressive effect on intracellular ROS production, which suggests that flavonoid compounds play a crucial role in inhibiting intracellular ROS production.



**Figure 3.** The inhibitory effects of compounds **2**, **5**, and **6** on intracellular ROS in H<sub>2</sub>O<sub>2</sub>-induced HepG2 cells. (**A**) Flow cytometry analysis; (**B**) the ROS intensity of different groups. All the values are presented as mean  $\pm$  SD (n = 3). Means (bar values) with different letters indicate significant differences (p < 0.05).

#### 2.8. Cytoprotective Effect Against H<sub>2</sub>O<sub>2</sub>-Induced Cell Apoptosis

Apoptosis is a basic biological phenomenon of cells, which plays an important role in the regulatory mechanism of cells' proliferation, growth, and mutation, and the stability of the internal environment. Apoptosis, different from necrosis, is a special type of cell death. The disorder of apoptotic process has bad effects on the body and causes many diseases [32].  $H_2O_2$ , as an important signaling molecule, regulates the process of cell proliferation, growth, and apoptosis [5]. The present study measured the apoptosis of H<sub>2</sub>O<sub>2</sub>-induced HepG2 cells and evaluated the cytoprotective effects of compounds 2, 5, and 6. After treating HepG2 cells with  $1.0 \text{ mM H}_2O_2$ , the apoptosis ratio remarkably augmented  $(57.20 \pm 1.97\%)$ , compared with that of the control group  $(9.10 \pm 0.62\%, p < 0.05)$  (Figure 4). The ratios of apoptotic cells in the treated groups of compounds 2, 5, and 6 significantly decreased compared with the  $H_2O_2$ -treated group (model group, p < 0.05) (Figure 4). Moreover, compound **6** had significantly efficiency on protecting HepG-2 cells from  $H_2O_2$ toxicity, and the cell apoptosis ratio of 6 (10.56  $\pm$  1.15%) was lower than that of the Vc group (positive control), which was equal to that of the control group (Figure 4). Meanwhile, compounds 2 and 5 showed moderate cytoprotective effect with cell apoptosis ratios of 2 (26.76  $\pm$  2.60%) and 5 (27.64  $\pm$  0.83%). The differences of antioxidant capacity may be attributed to the number of phenolic hydroxyl moieties and the link positions.



**Figure 4.** Cytoprotective effect of the compounds **2**, **5**, and **6** on apoptosis in H<sub>2</sub>O<sub>2</sub>-induced HepG-2 cells. (**A**) Flow cytometry analysis; (**B**) the apoptotic cell percentage of different groups. All the values are presented as mean  $\pm$  SD (n = 3). Means (bar value) with different letters are significantly different (p < 0.05).

# 3. Materials and Methods

#### 3.1. Chemicals and Reagents

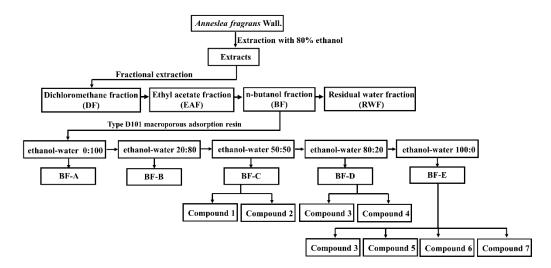
Methanol, acetonitrile, and formic acid for high-performance liquid chromatography (HPLC) were of HPLC grade and purchased from Merck (Darmstadt, Germany). Solvents for sample extraction including ethanol, dichloromethane, and *n*-butanol were of analytical grade. Deionized water was purified using a Milli-Q ultrapure water system (Millipore, Bedford, Massachusetts, MA, USA) and employed in all the experiments. Phenolic standard compounds of gallic acid, rutin, Trolox, and vitamin C were purchased from Chengdu Must Bio-Technology Co., Ltd. (Chengdu, China). Methylthiazol-2-yl-2,5-diphenyl tetrazolium bromide (MTT), Folin–Ciocalteu reagent, 2,2'-azino-bis(3-ethylbenzo-thiazoline-6-sulfonic acid) (ABTS), 2,2-diphenyl-1-picrylhydrazyl radical (DPPH), 1,3,5-tri(2-pyridyl)-2,4,6-triazine (TPTZ), 2',7'-dichlorofluorescin diacetate (DCFH-DA), and FeSO<sub>4</sub>·7H<sub>2</sub>O were purchased from Sigma–Aldrich (Shanghai, China). The NMR spectra were obtained using Bruker AV-400, and/or DRX-500 spectrometers. ESIMS spectra were recorded on An Agilent 1290 UPLC/6540 Q-TOF spectrometer.

# 3.2. Sample Preparation

Anneslea fragrans Wall. leaves were collected from the Lincang city of China in July 2020. The leaves were dried in a shade room until constant weight and then were powdered with an electric grinder. The extraction and fractionation were performed as our previously reported method with slight modification [33]. The powdered sample (100 g) was mixed with 1000 mL of 80% aqueous ethanol solvent and ultrasonicated in an ultrasonic cleaning bath at 200 W for three times (0.5 h per time). Then, the sonicated slurry was collected and centrifuged at  $1500 \times g$  for 10 min by Eppendorf centrifuge (TGL-20B, Shanghai Anting Scientific Instrument Factory, Shanghai, China). The combined supernatant was concentrated at 50 °C by a rotary evaporator (Hei-VAP, Heidolph, Germany) and further dried by a vacuum drying lyophilizer (Alpha 1-2 LD plus, Christ, Germany). The crude ethanol extract (CE, 30 g) was re-suspended with water and sequentially partitioned with dichloromethane, ethyl acetate, and *n*-butanol solvents three times. After concentration and lyophilization, the dichloromethane fraction (DF), ethyl acetate fraction (EAF), n-butanol fraction (BF), and residual water fraction (RWF) weighing 3.2 g, 6.3 g, 7.2 g, and 8.2 g were obtained, respectively. According to the antioxidant activities of different fractions, the BF was chromatographed on glass columns (30 mm  $\times$  400 mm) wet-packed with 20 g (dry resin) of the selected hydrated resin D101. The bed volume (BV) of the resin was about 40 mL. After reaching the adsorptive saturation, the column was first washed by distilled water with 4  $\times$  BV and then eluted by ethanol–water (0:100, 20:80, 50:50, 80:20, 100:0, v/v, each 4  $\times$  BV), to yield five subfractions (BF-A to E). Each part of the desorption solutions was concentrated to dryness under vacuum. The CE, four fractions (DF, EAF, BF, and RWF) and five subfractions (BF-A to E) were stored in a refrigerator  $(-20 \degree C)$  for further experimentation.

# 3.3. Bio-Guided Isolation of Active Constituents

Under the guidance of antioxidant assays and HPLC analysis, the antioxidative fraction was further chromatographed for the isolation of pure compounds. In brief, the BF was subjected to a hydrated resin D101 column to yield five subfractions (BF-A to E). The BF-C (1.5 g) was subjected to a silica gel column, eluting with DCM/MeOH (15:1), and then was separated using preparative TLC (DCM/MeOH, 10:1) to obtain compounds **6** (118 mg) and **7** (10 mg). BF-D (1.1 g) was subjected to silica gel column (CHCl<sub>3</sub>/MeOH 10:1, 5:1) to give compounds **1** (129 mg) and **4** (15 mg). BF-E (1.2 g) was subjected to silica gel column (CHCl<sub>3</sub>/MeOH, 30:1, 10:1, 5:1) to yield compounds **1** (216 mg), **2** (135 mg), and a mixture. The later was purified by silica gel column (CHCl<sub>3</sub>/MeOH, 12:1) to afford compounds **3** (19 mg) and **5** (15 mg) (Figure 5).



**Figure 5.** Extraction and isolation procedure of the compounds from 80% aqueous ethanol extract from *Anneslea fragrans* leaves.

# 3.4. Structure Elucidation of Compounds 1-7

According to the antioxidant activities of different fractions, three subfractions, BF-C to E were submitted to column chromatography. In this way, the bioactivity-guided fractionation of BF-C to E led to the isolation of seven individual phenolic compounds. Their structures were identified as confusoside (1) [34], vacciniifolin (2) [34], 1-[4-( $\beta$ -D-glucopyranosyloxy)-2-hydroxyphenyl]-3-(4-hydroxy-3-methoxyphenyl)-1-propanone (3) [35], (S)-naringenin-7-*O*- $\beta$ -D-glucopyranoside (4) [22], 2',3,4,4'-tetrahydroxydihydrochalcone (5) [26], (epi)-catechin (6) [26], and cornoside (7) [36] (Figure 1B) by the analysis of 1D-NMR and ESI-MS data and comparison with the previously reported compounds in the literature. Among them, compounds 3, 4, and 7 were isolated from this plant for the first time.

Confusoside (1). The molecular formula was assigned as  $C_{21}H_{24}O_9$ , and the separation gave 129 mg of pale-yellow needles. <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) and ESI-MS (*m*/*z* 421 [M + H]<sup>+</sup>) data were identical to the previously reported compound in the literature [34]. The identification was further supported by <sup>13</sup>C NMR (125 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  204.4 (s, C=O), 163.5 (s, C-2'), 163.3 (s, C-4'), 155.5 (s, C-4), 132.6 (d, C-6'), 130.9 (s, C-1), 129.2 (d, C-2, 6), 115.0 (d, C-3/C-5), 114.4 (s, C-1'), 108.3 (d, C-5'), 103.4 (d, C-1''), 99.6 (d, C-3'), 77.1 (d, C-5''), 76.4 (d, C-3''), 73.1 (d, C-2''), 69.5 (d, C-4''), 60.5 (t, C-6''), 39.8 (t, C-\alpha), 28.9 (t, C-\beta).

Vacciniifolin (2) was obtained as yellow amorphous powder (153 mg) and assigned a molecular formula of  $C_{21}H_{24}O_{10}$ . <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) and ESI-MS (*m*/*z* 437 [M+H]<sup>+</sup>) data were the same as the previous reported data [34]. The identification was further supported by <sup>13</sup>C NMR (125 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  204.4 (s, C=O), 163.5 (s, C-2'), 163.3 (s, C-4'), 145.0 (s, C-3), 143.3 (s, C-4), 132.6 (d, C-6'), 131.7 (s, C-1), 118.9 (d, C-6), 115.8 (d, C-2), 115.4 (d, C-5), 114.4 (s, C-1'), 108.3 (d, C-5'), 103.4 (d, C-1''), 99.6 (d, C-3'), 77.1 (d, C-5''), 76.4 (d, C-3''), 73.1 (d, C-2''), 69.5 (d, C-4''), 60.5 (t, C-6''), 39.4 (t, C- $\alpha$ ), 29.1 (t, C- $\beta$ ).

1-[4-(β-D-Glucopyranosyloxy)-2-hydroxyphenyl]-3-(4-hydroxy-3-methoxyphenyl)-1propanone (**3**). The compound was obtained as colorless needles (19 mg), and the molecular formula was assigned as  $C_{22}H_{26}O_{10}$ . <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) and ESI-MS (*m*/*z* 451 [M + H]<sup>+</sup>) data agreed with the literature [35]. The identification was further supported by <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>): δ 204.5 (s, C=O), 163.5 (s, C-2'), 163.3 (s, C-4'), 147.4 (s, C-3), 144.6 (s, C-4), 132.6 (d, C-6'), 131.6 (s, C-1), 120.4 (d, C-6), 115.2 (d, C-5), 114.5 (s, C-1'), 112.6 (d, C-2), 108.3 (d, C-5'), 103.3 (d, C-1''), 99.5 (d, C-3'), 77.0 (d, C-5''), 76.3 (d, C-3''), 73.0 (d, C-2''), 69.5 (d, C-4''), 60.5 (t, C-6''), 55.5 (q, C-OCH<sub>3</sub>), 39.5 (t, C-α), 29.4 (t, C-β).

(*S*)-Naringenin-7-*O*-β-D-glucopyranoside (**4**) had the molecular formula of  $C_{21}H_{22}O_{10}$ , which was obtained as 15 mg of white powder. <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) and ESI-MS (*m*/*z* 435 [M + H]<sup>+</sup>) data were in agreement with the previous work [22]. The identification was further supported by <sup>13</sup>C NMR (125 MHz, DMSO-*d*<sub>6</sub>): δ 197.2 (s, C-4), 165.3 (s, C-7),

165.2 (s, C-5), 162.9 (s, C-9), 157.9 (s, C-4'), 128.6 (s, C-1'), 128.4 (d, C-2', 6'), 115.2 (d, C-3'/C-5'), 103.2 (s, C-10), 99.6 (d, C-1''), 96.5 (d, C-6), 95.4 (d, C-8), 78.7 (d, C-2), 77.0 (d, C-5''), 76.3 (d, C-3''), 73.0 (d, C-2''), 69.5 (d, C-4''), 60.5 (t, C-6''), 42.0 (t, C-3).

2',3,4,4'-Tetrahydroxydihydrochalcone (5) possessed the molecular formula as  $C_{15}H_{14}O_5$ and separated (15 mg) as white powder. <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) and ESI-MS (*m*/*z* 275 [M + H]<sup>+</sup>) data were consistent with that reported in the literature [26]. The identification was further supported by <sup>13</sup>C NMR (125 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  203.9 (s, C=O), 164.7 (s, C-2'), 164.2 (s, C-4'), 144.9 (s, C-3), 143.3 (s, C-4), 133.0 (d, C-6'), 131.8 (s, C-1), 118.9 (d, C-6), 115.7 (d, C-2), 115.4 (d, C-5), 112.5 (s, C-1'), 108.2 (d, C-5'), 102.4 (d, C-3'), 39.5 (t, C- $\alpha$ ), 29.2 (t, C- $\beta$ ).

(Epi)-catechin (**6**) was isolated as white powder (118 mg) and established the molecular formula as  $C_{11}H_6O_4$ . <sup>1</sup>H NMR (400 MHz, DMSO- $d_6$ ) and ESI-MS (m/z 291 [M + H]<sup>+</sup>) data agreed well with that reported in the literature [26]. The identification was further supported by <sup>13</sup>C NMR (125 MHz, DMSO- $d_6$ ):  $\delta$  156.4 (s, C-7), 156.1 (s, C-5), 155.3 (s, C-9), 144.8 (s, C-3'), 144.8 (s, C-4'), 130.5 (s, C-1'), 118.4 (d, C-6'), 115.0 (d, C-5'), 114.4 (d, C-2'), 99.0 (s, C-10), 95.1 (d, C-6), 93.8 (d, C-8), 80.9 (d, C-2), 66.2 (d, C-3), 27.8 (t, C-4).

Cornoside (7) was obtained as amorphous solid (10 mg) and determined the molecular formula as  $C_{14}H_{20}O_8$ . <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) and ESI-MS (*m*/*z* 317 [M + H]<sup>+</sup>) data corresponded with the published data [36]. The identification was further supported by <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  185.3 (s, C-4), 153.3 (d, C-2), 153.2 (d, C-6), 126.4 (d, C-3), 126.4 (d, C-5), 102.8 (d, C-1'), 76.8 (d, C-5'), 76.6 (d, C-3'), 73.3 (d, C-2'), 70.0 (d, C-4'), 67.3 (s, C-1), 63.8 (t, C-8), 61.0 (t, C-6'), 39.7 (t, C-7).

#### 3.5. Determination of Total Phenolic (TPC) and Total Flavonoid Contents (TFC)

The TPC and TFC of four fractions (DF, EAF, BF, and RWF) and five subfractions (BF-A to E) were measured according to our previously reported method [37]. For TFC, 1.0 mL of each sample (with the concentration at 1.0 mg/mL) dissolved in methanol was mixed with 0.5 mL of Folin–Ciocalteu reagent in a centrifuge tube and incubated for 1 min. Then, 20% Na<sub>2</sub>CO<sub>3</sub> solution (*m*/*v*) (1.5 mL) and deionized water (7.0 mL) were added to the tube and kept at 70 °C in a water bath for 10 min. After being cooled to room temperature, 200 µL of the solution was transferred to a 96-well microplate and the absorbance was determined at 765 nm by a SpectraMax M5 microplate reader (Molecular Devices, Sunnyvale, CA, USA).

For TFC, 1.2 mL of sample solutions (with the concentration at 1.0 mg/mL) were mixed with 0.3 mL of NaNO<sub>2</sub> (5% *m/v*) and 3.8 mL of 70% aqueous ethanol and incubated for 8 min. Subsequently, 0.3 mL 10% aqueous Al(NO<sub>3</sub>)<sub>3</sub>, 4 mL 4% aqueous NaOH, and 0.4 mL 70% aqueous ethanol were added to the mixture and allowed to react at room temperature for 30 min. Then, 200  $\mu$ L of the solution was transferred to a 96-well microplate, for which the absorbance was measured at 510 nm by using a microplate reader. The TFC and TPC was expressed as milligrams of gallic acid equivalents (mg GAE/g extract) and rutin equivalents per gram of extract (mg RE/g extract).

#### 3.6. Determination of Antioxidant Activity

The antioxidant activity of four fractions (DF, EAF, BF, and RWF) and five subfractions (BF-A to E) were evaluated in a combination of DPPH and ABTS radical scavenging assays and FRAP assay based on the method described in our previous study [33]. For DPPH assay, 50  $\mu$ L of the sample solution (50, 100, 200  $\mu$ g/mL) was mixed with 0.2 mL DPPH solution (0.1 mmol/L) in a 96-well plate and allowed to incubate for 30 min. The absorbance was measured at 517 nm with SpectraMax M5 microplate reader (Molecular Devices, Sunnyvale, CA, USA). For ABTS, 25  $\mu$ L of the sample solution (50, 100, 200  $\mu$ g/mL) were added to 0.2 mL ABTS solution (7 mmol/L). The mixture was kept in the dark for 6 min, and then, the absorbance was recorded at 734 nm. For FRAP, 20  $\mu$ L sample solution (50, 100, 200  $\mu$ g/mL) was mixed with 0.18 mL of FRAP reagent (7 mmol/L). After incubating for 10 min in the dark at 37 °C, the absorbance was determined at 593 nm. All the tests were performed in

triplicate. The results of DPPH, ABTS, and FRAP values were expressed as  $\mu$ mol Trolox equivalents per gram of extract ( $\mu$ mol TE/g extract).

# 3.7. HPLC Analysis

The BF and compounds 1–7 were analyzed on an Agilent 1260 HPLC system coupled with a diode array detector. Before analysis, the freshly prepared sample solution was filtered through a 0.45  $\mu$ m nylon membrane. The separation was performed using a Reprosil-Pur Basic C18 column (5  $\mu$ m, 4.6  $\times$  250 mm, Germany) maintained at 35 °C. The injection volume was 5.0  $\mu$ L, the flow rate was 1.0 mL/min, and the detection wavelength was set at 280 nm. The mobile phases were acidified water with 0.1% formic acid (phase A) and acetonitrile (phase B), the linear gradient elution was performed as follows: 0–3 min, 20% B; 3–10 min, 40% B; 10–15 min, 60% B; 15–20 min, 100% B.

#### 3.8. Cell Culture and Cell Viability

Human liver cancer HepG2 cells were purchased from Kunming Cell Bank (Kunming, China). HepG2 cells were grown in DMEM supplemented with 1% penicillin–streptomycin and 10% fetal bovine serum in an atmosphere of 5%  $CO_2/95\%$  air at 37 °C. When the cells were incubated to an appropriate density (approximately 80%), they were treated with positive control (Vc) and the isolated compounds for further experiments.

Cell viability was determined by MTT assay for evaluating the cytotoxicity of each sample [29]. The cells at a density of  $1 \times 10^4$  cells per well were seeded in a 96-well plate and allowed to incubate for 24 h. Each compound (prepared as four doses from 50 to 200 µg/mL) was added to each well for 20 h. Then, the cells were treated using MTT solution with a final concentration for 4 h. The medium with MTT was removed, and 200 µL of the DMSO was added to dissolve the formazan. The absorbance was recorded at 570 nm by a microplate reader. The results demonstrated that each compound was nontoxic to HepG2 cells at the tested concentrations.

#### 3.9. Inhibition of ROS Generation in $H_2O_2$ -Induced HepG2 Cells

 $H_2O_2$ -induced HepG2 cells were employed to determine the inhibitory effect on ROS production [3]. HepG2 cells ( $1.0 \times 10^5$  cells per well) were seeded in a 6-well plate and co-cultured with isolated compounds with 50 µg/mL and Vc (8 µg/mL). After incubation for 20 h, the medium was removed, and 2 µL of  $H_2O_2$  (0.5 mM) was added to each well for another 6 h. At the end of experiment, the cells were labeled with 2 µL DCFH-DA (10 mM) in the dark at 37 °C for 20 min. The absorbance was recorded by flow cytometry (Guava easyCyte 6-2L, Millipore, Billerica, Massachusetts, MA, USA).

#### 3.10. Determination of Cell Apoptosis

The protective effect of each compound on  $H_2O_2$ -induced apoptosis of HepG2 cells was determined using a human annexin VFITC/PI apoptosis kit [38]. HepG2 cells were pre-treated with or without isolated compounds for 48 h. After incubation, 100 µL of the binding buffer was added to the cells, and the cells reacted in the dark with 10 µL annexin V-FITC for 5 min at room temperature and with 10 µL propidium iodide (PI) in an ice bath for 5 min, successively. Cell apoptosis was immediately analyzed using flow cytometry.

#### 3.11. Statistical Analysis

All the experiments were performed in triplicate. All the values are expressed as mean  $\pm$  standard deviation (SD). The differences within and between the groups were analyzed using one-way analysis of variance (ANOVA) followed by Tukey's test. Difference was considered statistically significant at p < 0.05. All analyses were performed using Origin 2019b software (OriginLab, Northampton, MA, USA).

# 4. Conclusions

In this study, different fractions from A. fragrans leaves were fractionated, and their TPC and TFC were analyzed. Under antioxidant activity guided isolation, compounds 1-7, including four flavonoid glycosides (1-4) and two flavonoids (5 and 6), were isolated and identified from A. fragrans leaves, which suggested that this species is rich in flavonoid compounds. Compounds 2, 5, and 6 showed significant antioxidant activity in DPPH, ABTS radical scavenging, and FRAP assays. Furthermore, they visibly prevented the oxidative stress damage through a decrease in ROS content and cell apoptosis in H<sub>2</sub>O<sub>2</sub>induced HepG2 cells. According to these results, polyphenol compounds, especially flavonoids, have considerable antioxidant capacity because of their phenolic hydroxyl groups. Furthermore, compound 2, possessing the glycoside moiety and three phenolic hydroxyl groups, was the main antioxidant component with the highest content from A. fragrans leaves. Compound 6 displayed the best antioxidant activity, which may be a major contribution to the activity of A. fragrans. Furthermore, the extracts of A. fragrans could be served as a feasible natural source of antioxidants in promising health beverages. The study on the compounds from A. fragrans leaves suggest that these could be served as antioxidant healthy tea for treating oxidative stress-induced cell damage and could serve as nutritional supplements applied in the food and health industry.

Author Contributions: S.H.: investigation, methodology, data curation, visualization, writing original draft; X.C.: conceptualization, methodology, data curation, visualization, writing—original draft; A.K.: writing—review and editing; Y.L.: formal analysis, writing—review and editing, funding acquisition; Y.W.: visualization; Q.C.: software, data curation, supervision; T.Z.: software, validation; J.C.: resources, supervision; G.C.: conceptualization, methodology, validation, writing—review and editing, supervision, project administration, funding acquisition; All authors have read and agreed to the published version of the manuscript.

**Funding:** This project was financially supported by Yunnan Major Science and Technology Project in China (2019ZF010), the National Natural Science Foundation of China (31872676 and 32060542) and China Postdoctoral Science Foundation (2020M673586XB).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data is contained within the article.

**Acknowledgments:** This project was supported in parts by Yunnan Major Science and Technology Project (Grant No. 2019ZF010) and the National Natural Science Foundation of China (Grant No. 32060542).

Conflicts of Interest: The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are available from the authors.

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# Article Computational Screening for the Anticancer Potential of Seed-Derived Antioxidant Peptides: A Cheminformatic Approach

Tsun-Thai Chai <sup>1,2,\*</sup>, Jiun-An Koh <sup>1</sup>, Clara Chia-Ci Wong <sup>1</sup>, Mohamad Zulkeflee Sabri <sup>3</sup>

- <sup>1</sup> Department of Chemical Science, Faculty of Science, Universiti Tunku Abdul Rahman, Kampar 31900, Malaysia; jiunan30@1utar.my (J.-A.K.); clara2000genesis@1utar.my (C.C.-C.W.); wongfc@utar.edu.my (F.-C.W.)
- <sup>2</sup> Center for Agriculture and Food Research, Universiti Tunku Abdul Rahman, Kampar 31900, Malaysia
- <sup>3</sup> Green Chemistry and Sustainable Technology Cluster, Bioengineering Section, Universiti Kuala Lumpur Malaysian Institute of Chemical and Bioengineering Technology (UniKL MICET), Lot 1988, Bandar Vendor Taboh Naning, Alor Gajah 78000, Malaysia; mzulkeflee@unikl.edu.my
- \* Correspondence: chaitt@utar.edu.my; Tel.: +60-5-468-8888

Abstract: Some seed-derived antioxidant peptides are known to regulate cellular modulators of ROS production, including those proposed to be promising targets of anticancer therapy. Nevertheless, research in this direction is relatively slow owing to the inevitable time-consuming nature of wet-lab experimentations. To help expedite such explorations, we performed structure-based virtual screening on seed-derived antioxidant peptides in the literature for anticancer potential. The ability of the peptides to interact with myeloperoxidase, xanthine oxidase, Keap1, and p47<sup>phox</sup> was examined. We generated a virtual library of 677 peptides based on a database and literature search. Screening for anticancer potential, non-toxicity, non-allergenicity, non-hemolyticity narrowed down the collection to five candidates. Molecular docking found LYSPH as the most promising in targeting myeloperoxidase, xanthine oxidase, and Keap1, whereas PSYLNTPLL was the best candidate to bind stably to key residues in p47<sup>phox</sup>. Stability of the four peptide-target complexes was supported by molecular dynamics simulation. LYSPH and PSYLNTPLL were predicted to have cell- and blood-brain barrier penetrating potential, although intolerant to gastrointestinal digestion. Computational alanine scanning found tyrosine residues in both peptides as crucial to stable binding to the targets. Overall, LYSPH and PSYLNTPLL are two potential anticancer peptides that deserve deeper exploration in future.

**Keywords:** anticancer; cheminformatics; in silico; Keap1; molecular docking; molecular dynamics; myeloperoxidase; NADPH oxidase; seed antioxidant peptide; xanthine oxidase

#### 1. Introduction

The past decade has seen a surge in scientific interest towards the exploration of bioactive peptides for potential applications in health promotion and disease management. Bioactive peptides identified from plant food and other natural origins often range between 2 and 20 residues, although this is not a hard-and-fast definition as exceptions do exist [1–3]. Plant bioactive peptides, known to exhibit diverse bioactivities, such as antioxidant, antihypertensive, antimicrobial, and antitumor activities, are often purified and identified from enzymatic hydrolysates of edible plant sources and plant-based agricultural by-products. The bioactive potency of some such peptides have also been demonstrated in cellular and animal models [2,4,5]. To date, a growing body of research has shown that plant seeds are a good source of antioxidant peptides [2,5,6]. While such peptides could be developed into natural additive for food processing and nutraceuticals for health maintenance, they may also be therapeutically relevant as some could modulate cellular and/or in vivo antioxidant status [2]. Cellular redox homeostasis is connected to the initiation and/or progression

Citation: Chai, T.-T.; Koh, J.-A.; Wong, C.C.-C.; Sabri, M.Z.; Wong, F.-C. Computational Screening for the Anticancer Potential of Seed-Derived Antioxidant Peptides: A Cheminformatic Approach. *Molecules* 2021, 26, 7396. https://doi.org/ 10.3390/molecules26237396

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 18 November 2021 Accepted: 2 December 2021 Published: 6 December 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). of certain cancers [7,8]. Perturbation in reactive oxygen species (ROS) homeostasis resulting from unchecked ROS production is associated with carcinogenesis; scavenging of excessive ROS accumulation may prevent early neoplasia [9]. Significant reduction in the antioxidant activity of the blood serum of patients with malignant neoplasms has also been reported [10].

In the body, cellular redox status is regulated by oxidative and antioxidative enzymes, non-enzymatic antioxidants, and certain protein-protein interactions involved in regulating antioxidant gene expression. Myeloperoxidase (MPO), xanthine oxidase (XO), and nicotinamide adenine dinucleotide phosphate oxidase (NADPH oxidase) are three examples of such oxidative enzymes. MPO, an abundant heme-containing enzyme in the human neutrophils, catalyzes the reaction between hydrogen peroxide and chloride, generating hypochlorous acid, a potent oxidant. MPO-mediated oxidative burst has been linked to the initiation and progression of cancer, including tumor cell metastasis. Notably, downregulation of MPO gene expression is connected to reduction in the risk of lung, breast, and ovarian cancers [7,8]. XO is an enzyme that catalyzes the conversion of hypoxanthine to xanthine and ultimately to uric acid, producing ROS during the reaction. The importance of XO as an anticancer target is highlighted by the discovery that XO inhibitor febuxostat could repress breast cancer cell migration and the metastasis of breast cancer to the lung in animal models [11,12]. Six isoforms of NADPH oxidase are known to date. NADPH oxidase is a membrane-bound enzyme complex in phagocytes, whose primary function is the production of superoxide anion radicals. The assembly and activation of NADPH oxidase requires protein-protein interaction between the cytosolic factor p47<sup>phox</sup> and transmembrane component p22<sup>phox</sup> [13,14]. Due to the importance of p47<sup>phox</sup>-p22<sup>phox</sup> interaction in NADPH oxidase activation, the interaction can be targeted in structure-based virtual screening for NADPH oxidase inhibitors [15]. Notably, enhanced NADPH oxidase expression in multiple malignant diseases supports the recognition of the NADPH oxidase family as potential targets in cancer therapies [13,16]. The Kelch-like ECH-associated protein 1 (Keap1)-nuclear factor E2-related factor 2 (Nrf2) pathway is one of the major signaling cascades involved in protecting cells against oxidative stress. The Nrf2 transcription factor can activate the transcription of cytoprotective genes implicated in protection against cancer. However, Keap1-Nrf2 protein-protein interaction could trigger Nrf2 degradation mediated by the ubiquitin–proteasome pathway. Hence, there has been strong interest among researchers to discover inhibitors of Keap1-Nrf2 protein-protein interaction. Such inhibitors may preserve or enhance the transcription-activating role of Nrf2, counteracting ROS-mediated damage in cancers [17,18].

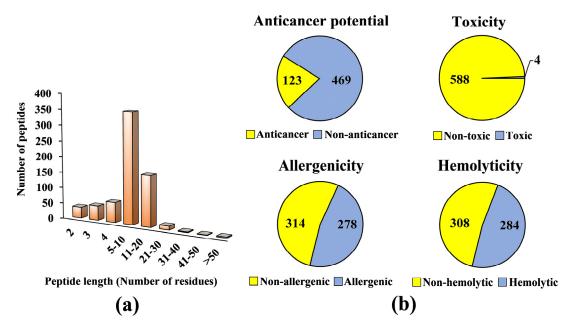
Although a growing number of seed-derived antioxidant peptides has been documented in the literature, knowledge of their ability to modulate cellular regulators of oxidative status (i.e., MPO, XO, NADPH oxidase, and Keap1-Nrf2), which are also promising targets of anticancer therapy, is still limited. A recent report of watermelon seed-derived antioxidant peptides targeting the Keap1-Nrf2 system [19] suggests that seed-derived antioxidant peptides should be explored more intensively as potential modulators of cellular regulators of ROS balance. Thus, this in silico study was undertaken to virtually screen the numerous seed-derived antioxidant peptides in the literature for their potential as anticancer peptides that can target two oxidative enzymes (MPO and XO) and two proteinprotein interactions (Keap1-Nrf2 and p47<sup>phox</sup>-p22<sup>phox</sup>). In silico or virtual screening is a less costly and less time-consuming strategy to screen for desirable bioactive peptides and other compounds when compared with wet-lab screening [20]. In bioactive peptide screening, this approach can benefit from various freely available peptide databases (e.g., PlantPepDB [21], and other online tools, such as AntiCP 2.0 [22] and MLCPP [23], which are anticancer peptide and cell-penetrating potential prediction servers designed from machine learning models). Moreover, different molecular modelling and simulation methods [24–26] may also be used to clarify the mechanisms of action between the peptides and the protein targets of interest. Although virtual screening cannot replace wet-lab experimentation, the aforementioned benefits have driven increasing popularity of in

silico research on bioactive peptides [20,27]. Notably, by narrowing down a large set of candidate peptides to a small number, in silico screening can facilitate a more focused research strategy in future wet-lab experimentation; this also allows more efficient use of limited research resources [20].

The goal of this in silico study was three-fold: (a) to compile a virtual library of seed antioxidant peptides from the literature, followed by screening for non-toxic, non-allergenic, and non-hemolytic anticancer peptides; (b) to perform structure-based screening of the predicted anticancer peptides for ability to target Keap1-Nrf2, MPO, XO, and p47<sup>phox</sup>-p22<sup>phox</sup>, followed by molecular dynamics validation of peptide-target interactions; and (c) to further characterize the predicted anticancer peptides based on computational alanine mutagenesis and prediction of cell- and blood-brain barrier penetrating potential, as well as plasma and gastrointestinal (GI) stability.

# 2. Results and Discussion

A virtual library consisting of 677 seed-derived antioxidant peptides was generated (Table S1), based on peptide sequences collected from Scopus and PlantPepDB databases, as outlined in Materials and Methods. The collection encompassed antioxidant peptides of 2–57 residues in length and 192–5338 Da in molecular mass. Seed sources in the virtual library included legumes, such as faba bean and soybean; cereals, such as wheat and rye; and seeds of plantation crop species, such as oil palm and coconut. The types of antioxidant activities reported for the seed-derived peptides included in vitro free radical scavenging activities, lipid peroxidation inhibitory activity, cellular antioxidant activity, and in vivo antioxidant activity (Table S1). Based on Figure 1a, 52% of the seed-derived antioxidant peptides contain five to ten residues. By contrast, seed-derived antioxidant peptides with more than 20 residues comprised only 0.15–0.59% of the virtual antioxidant peptide library. Among the 63 Scopus-indexed publications we examined for the preparation of the virtual library, 42 (67%) reported peptides of 5–10 residues. The prevalence of such peptide length could be accounted by many seed-derived antioxidant peptides being purified and identified from protein fractions of a relatively low molecular mass range, such as <3 kDa fractions [28-30].



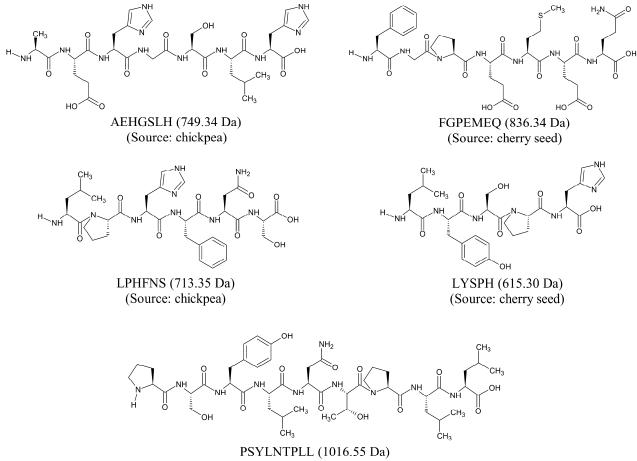
**Figure 1.** (a) The distribution of peptide length in the virtual library of seed-derived antioxidant peptides. (b) Outcome of the screening of 592 seed-derived antioxidant peptides of 4–50 residues for anticancer potential, toxicity, allergenicity, and hemolyticity. Numbers in the pie charts represent numbers of peptides with the predicted properties.

Next, we proceeded to screening the virtual library for potential anticancer peptides. Only 592 peptides were screened since dipeptides, tripeptides, peptides with more than 50 residues, and peptides with unnatural or modified amino acid residues could not be analyzed by the AntiCP 2.0 tool. Among the 592 peptides, only 123 (21%) were predicted as anticancer (Figure 1b). We carefully examined the publications reporting the 123 peptides and found that none of the peptides had been tested for anticancer activity experimentally. The 123 predicted anticancer peptides averaged 6 residues in length and 750 Da in mass (data not shown). Safety is an important consideration in the design or discovery of anticancer peptides. A functional anticancer peptide should not exhibit toxicity, elicit immune response, and induce the lysis of erythrocytes [31-33]. Our screening found that at least 50% of the 592 seed-derived antioxidant peptides were predicted to be safe (i.e., non-toxic, non-allergenic, and non-hemolytic) (Figure 1b). Among the 592 peptides screened, only 0.7% (4 peptides) were predicted to be toxic (Figure 1b). An in silico study also predicted that all 253 antioxidant peptides liberated from the flaxseed proteome were non-toxic [34]. This agrees with our observation of high abundance (99%) of nontoxic peptides in our antioxidant peptide virtual library (Figure 1b). In comparison with toxicity prediction, 47-48% of our antioxidant peptide virtual library comprised allergenic and hemolytic peptides. In an in silico study of 26 antimicrobial peptides of rapeseed, 54% were predicted as non-allergenic and 46% allergenic [35]. This relative distribution of allergenicity and non-allergenicity resembles that observed in our virtual screening. Among the 308 non-hemolytic peptides (Figure 1b), the greatest proportion (41%) originated from legumes, which included soybean and chickpea (data not shown). Fourteen soybeanderived multifunctional cationic peptides were shown to have no hemolytic effect on sheep red blood cells [36]. Meanwhile, two chickpea-derived antioxidant peptides also did not cause any hemolysis in bovine red blood cells [37]. These findings support our observation of legumes being a potential source of non-hemolytic peptides.

Based on our in silico screening, five seed-derived antioxidant peptides were predicted to be anticancer, non-toxic, non-allergenic, and non-hemolytic. The two-dimensional (2D) structures and molecular weight of the five peptides are shown in Figure 2. The five peptides, identified from chickpeas, cherry seeds, and tomato seeds, are 5–9 residues in length and 615–1016 Da in mass. The five peptides each contain at least one imidazole functional group or one aromatic ring among their amino acid side chains. Notably, LPHFNS and LYSPH each contain both an imidazole functional group and an aromatic ring in their structures. This is characteristic of many food-derived antioxidant peptides; imidazole groups and aromatic rings are associated with the ability of the peptides to scavenge free radicals by electron transfer/proton donation [38]. On the other hand, among the five peptides (Figure 2), FGPEMEQ has Phe (F) at the N-terminus, whereas Leu (L), His (H), and Phe (F) are present in four, three, and two of the peptides, respectively. The N-terminal preference for Phe and the abundance of Leu, His, and Phe are both characteristics of experimentally validated anticancer peptides [22].

In this in silico study, to investigate whether the five predicted anticancer peptides (Figure 2) could modulate cellular targets of cancer treatments, we docked the five peptides on Keap1, MPO, XO, and p47<sup>phox</sup>. To the best of our knowledge, structure-based virtual screening of the five peptides on the four targets has not been reported. Molecular docking analysis found that LYSPH, a cherry seed peptide, had the strongest binding affinity to Keap1, whereas PSYLNTPLL, a tomato seed peptide, had the weakest (Table 1). LYSPH, LPHFNS, and AEHGSLH also had binding affinity values more negative than that of ETGE (-7.1 kcal/mol) (data not shown). ETGE is the key motif of the co-crystalized 16-mer Nrf2 peptide that is involved in Keap1-Nrf2 interaction [39]. Thus, LYSPH, LPHFNS, and AEHGSLH could form similarly stable or more stable binding to Keap1 when compared with Nrf2. Furthermore, all five peptides could bind to the key residues of Keap1 that are required for stable Keap1-Nrf2 complex formation, mostly accomplished via hydrogen bonds and hydrophobic interactions (Table 1). Two tripeptides (DKK and DDW) that could bind to the key residues of Keap1-Nrf2

interaction in vitro [40]. DKK, which possessed a stronger activity than DDW, was reported to bind to key residues Arg380 and Asn382 [40]. Similar to DKK, all five seed-derived peptides in Table 1 were predicted to bind to Arg380 and Asn382. Thus, our binding affinity and intermolecular interaction results suggest that LYSPH, LPHFNS, and AEHGSLH may serve as potential inhibitors of Keap1-Nrf2 interaction. Specifically, at the molecular level, LYSPH was predicted to bind with the same Keap1 residues as did ETGE, namely, Arg380, Arg415, Arg483, and Ser508 [39]. This observation, in addition to LYSPH having the most negative binding affinity to Keap1 among the five peptides, suggests that the peptide is the most promising for targeting Keap1-Nrf2 interaction. A graphical representation of a LYSPH-Keap1 docked model and the intermolecular interactions between LYSPH and Keap1 is shown in Figure 3.



(Source: tomato seed)

**Figure 2.** 2D structures of five selected seed-derived antioxidant peptides predicted to be anticancer, non-toxic, non-allergenic, and non-hemolytic.

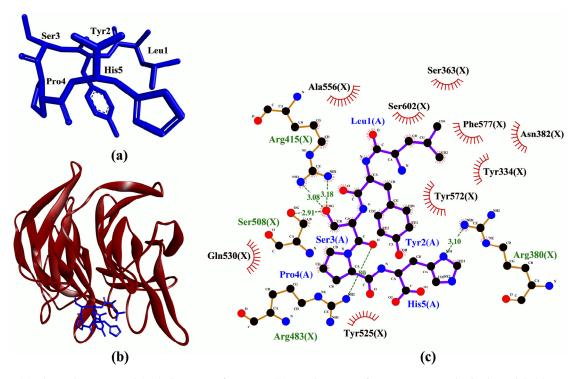
Table 1. Intermolecular interactions between see	ed-derived antioxidant	peptides and Keap1.
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Burth Bi	Binding Affinity	Interaction with Keap1 <sup>a</sup>			
Peptide	(kcal/mol)	Hydrogen Bond	Hydrophobic Interaction	Salt Bridge	
LYSPH	-7.6	Arg380, Arg415(2), Arg483, Ser508	Tyr334, Ser363, Arg380, <u>Asn382</u> , Arg415, Ser508, Tyr525, Gln530, Ala556, Tyr572, Phe577, Ser602	-	
LPHFNS	-7.4	Ser363, Arg380, Asn414, Arg415(2), Gln530	Tyr334, Ser363, Arg380, <u>Asn382</u> , Asn414, Arg415, Arg483, Tyr525, Gln530, Ser555, Ala556, Tyr572, Phe577, Ser602	-	

Peptide Binding Affinity (kcal/mol)	<b>Binding Affinity</b>		Interaction with Keap1 <sup>a</sup>			
	Hydrogen Bond	Hydrophobic Interaction	Salt Bridge			
AEHGSLH	-7.2	Tyr334(2), Arg380, Asn382(2), Arg415	Tyr334, Arg336, Ser363, Arg380, Asn382, Ser383, Pro384, Arg415, Ile461, Arg483, Ser508, Tyr525, Gln530, Ala556, Tyr572, Ser602	-		
FGPEMEQ	-7.0	Ser363, Arg380(2), Asn382(2), Asn387, Asn414	<u>Tyr334, Ser363, Arg380, Asn382,</u> Asn387, Asp389, Arg415, Gly433, lle461, Ser555, Ala556, <u>Tyr572</u> , Phe577	Arg380(2)		
PSYLNTPLL	-6.4	<u>Arg380(2)</u> , <u>Asn382</u> , Arg415, <u>Arg483</u> , Ser555, <u>Tyr572</u>	Tyr334, Ser363, Gly364, Arg380, <u>Asn382</u> , Arg415, Arg483, Tyr525, Gln530, Ser555, Ala556, Tyr572, Phe577	-		

Table 1. Cont.

<sup>a</sup> Number in brackets indicates the number of hydrogen bonds or salt bridges formed with the same residue of Keap1. Keap1 residues that were reported to bind to ETGE (the key motif of Nrf2 peptide) [39] are marked in boldface type. Residues in the Keap1 binding pocket that were reported to contribute to stability of the Keap1:Nrf2 complex as evidenced by mutagenesis studies [39] are underlined.



**Figure 3.** (a) Three-dimensional (3D) diagram of LYSPH; (b) 3D diagram of LYSPH-Keap1 docked model; (c) 2D LYSPH-Keap1 interaction diagram. In (a,b), LYSPH is displayed in a blue-stick style. In (b), Keap1 is displayed as red ribbon. In (c), green dashed lines and red spoked arcs represent hydrogen bonds and hydrophobic interactions, respectively. Residues of LYSPH are shown in purple bonds, whereas residues of Keap1 are shown in brown bonds and also represented by the red spoked arcs.

LYSPH showed the strongest binding to MPO, whereas PSYLNTPLL the weakest (Table 2), similar to our observations when the five peptides were docked to Keap1 (Table 1). None of the peptides showed better binding affinity to MPO than did 7-benzyl-1H-1H-[1–3]triazolo[4,5-b]pyridin-5-amine (7GD)(-7.1 kcal/mol) (data not shown), a co-crystalized inhibitor of MPO [41]. However, all five peptides could form hydrophobic interactions with one of the catalytic residues (Arg239) of MPO. Besides, all five peptides could interact with the heme group (Hec606) through hydrophobic interactions (Table 2); the heme group is a cofactor in the active site of MPO [42]. Based on the interactions with both catalytic residue Arg239 and the heme group of MPO, all the five peptides are potential MPO

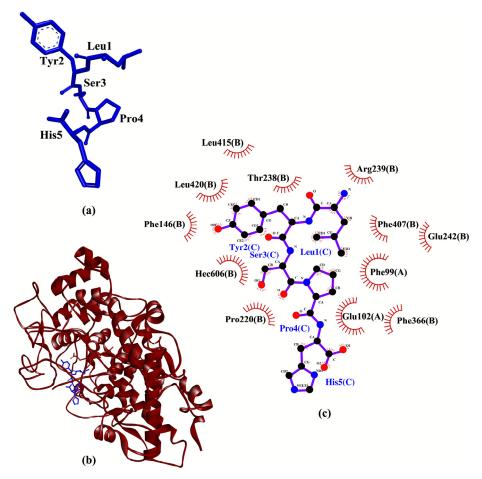
inhibitors. Supporting this possibility is the finding that two experimentally-validated anti-MPO peptides (TDY and FAPQY) could also bind to Arg239 and the heme group of MPO [43]. Analysis of intermolecular interactions revealed that LYSPH could form hydrophobic interactions with Phe99, Thr238, Arg239, Glu242, Phe366, Phe407, and Hec606 of MPO (Table 2), resembling to the binding pattern of 7GD [41]. Hence, LYSPH is the most promising MPO inhibitor among the five peptides as it showed the best binding affinity to MPO and could interact with MPO similarly as 7GD. A graphical representation of LYSPH-MPO docked model and the intermolecular interactions between LYSPH and MPO is depicted in Figure 4.

Dontido	<b>Binding Affinity</b>		Interaction with MPO
Peptide	(kcal/mol)	Hydrogen Bond	Hydrophobic Interaction
LYSPH	-7.1	-	<b>Phe99</b> , Glu102, Phe146, Pro220, <b>Thr238</b> , <b>Arg239</b> , <b>Glu242</b> , <b>Phe366</b> , Phe407, Leu415, Leu420, Hec606
LPHFNS	-6.9	-	Phe99, Glu102, Glu116, Phe147, Pro220, Thr238, Arg239, Phe366, Phe407, Met411, Hec606
FGPEMEQ	-6.8	Glu102	Phe99, Thr100, Glu102, Glu116, Pro145, Phe147, Leu216, Pro220, Thr238, Arg239, Glu242, Phe366, Phe407, Met411, Leu415, Arg424, Hec606
AEHGSLH	-6.3	-	Phe99, Thr100, Glu102, Glu116, Pro145, Phe146, Phe147, Thr238, Arg239, Glu242, Phe366, Phe407, Val410, Met411, Leu415, Arg424, Hec606
PSYLNTPLL	-3.0	Thr100	Phe99, Glu102, Glu116, Pro145, Phe147, Leu216, Pro220, Thr238, Arg239, Phe366, Phe407, Val410, Met411, Arg412, Leu415, Hec606

Table 2. Intermolecular interactions between seed-derived antioxidant peptides and MPO.

MPO residues that were observed to interact with 7GD (co-crystalized inhibitor) based on LigPlot+ analysis of the crystal (PDB ID: 6WYD) are marked in boldface type. MPO residues that were reported to be involved in catalysis [44] are underlined.

Comparison of binding affinities found LYSPH (-6.2 kcal/mol) to have the most stable binding to XO among the five peptides analyzed (Table 3). Nevertheless, all of the five peptides had less negative binding affinities to XO than quercetin (-8.2 kcal/mol) (data not shown), a co-crystalized inhibitor of XO [12]. This implies that none of the peptides could bind more stably to XO when compared with guercetin. On the other hand, analysis of intermolecular interactions showed that all five peptides could bind to at least nine of the XO residues known to bind to quercetin, mainly through hydrophobic interactions. Each of the peptides could also bind to at least one catalytic residue (Glu802 or Arg880) of XO [12] through hydrophobic interactions. FGPEMEQ and PSYLNTPLL could also hydrogen bond to Glu802 and Arg880, respectively. However, despite additional interactions with Glu802 and Arg880, FGPEMEQ-XO interaction was predicted to be slightly less favorable than LYSPH-XO interaction based on comparison of their binding affinity values. Meanwhile, PSYLNTPLL-XO interaction was likely non-favorable or non-spontaneous considering the positive value predicted for its binding affinity (Table 3). Previous studies found that experimentally-proven XO-inhibitory peptides, KGFP [45] and EEAK [46] could both bind to the catalytic residue Glu802. Thus, the aforementioned binding patterns of the five seed peptides to XO, particularly their binding to XO catalytic residues, suggest that the peptides are potential XO inhibitors. LYSPH could be the most promising XO inhibitor among the five peptides considering its strongest binding affinity and its binding to XO residues that known XO inhibitors bind to (Table 3). A graphical representation of a LYSPH-XO docked model and the intermolecular interactions between LYSPH and XO is shown in Figure 5.



**Figure 4.** (**a**) 3D diagram of LYSPH; (**b**) 3D diagram of LYSPH-MPO docked model; (**c**) 2D LYSPH-MPO interaction diagram. In (**a**,**b**), LYSPH is displayed in a blue-stick style. In (**b**), MPO is displayed as red ribbon. In (**c**), red spoked arcs represent hydrophobic interactions. Residues of LYSPH are shown in purple bonds. Residues of MPO are represented by red spoked arcs.

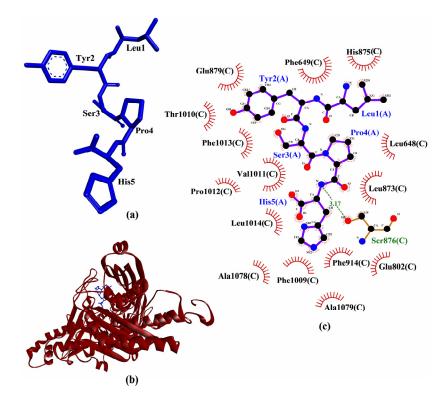
Table 3. Intermolecular interactions between seed-derived antioxidant peptides and XO.

Peptide	Binding Affinity	Interaction with XO					
	(kcal/mol)	Hydrogen Bond <sup>a</sup>	Hydrophobic Interaction	Salt Bridge	External Bond		
LYSPH	-6.2	Ser876	Leu648, Phe649, <u>Glu802</u> , Leu873, His875, Ser876, Glu879, Phe914, Phe1009, Thr1010, Val1011, Pro1012, Phe1013, Leu1014, Ala1078, Ala1079	-	-		
FGPEMEQ	-5.9	<u>Glu802</u> , Ser876(2), Ala1079	Leu648, Phe649, Gln767, Phe798, Gly799, Glu802, Thr803, Leu873, His875, Ser876, Glu879, Arg880, Ala910, Phe911, Arg912, Phe914, Phe1009, Thr1010, Val1011, Pro1012, Leu1014, Pro1076, Ala1078, Ala1079, Ser1080, Glu1261	His875	-		

Dontide	<b>Binding Affinity</b>	Interaction with XO					
Peptide	(kcal/mol)	Hydrogen Bond <sup>a</sup>	Hydrophobic Interaction	Salt Bridge	External Bond		
LPHFNS	-4.7	His875, Ser876	Leu648, Phe649, <u>Glu802</u> , Leu873, His875, Ser876, Glu879, Arg880, Phe914, Phe1009, Thr1010, Val1011, Pro1012, Phe1013, Leu1014, Ala1078, Ala1079, Glu1261	-	-		
AEHGSLH	-3.4	Glu879	Leu648, Phe649, Leu712, <u>Glu802</u> , Leu873, His875, Ser876, Glu879, Phe914, Phe1009, Thr1010, Val1011, Pro1012, Phe1013, Leu1014, Pro1076, Tyr1140, Phe1142	His875	-		
PSYLNTPLL	3.0	Asn768, Asp872, Ser876(2), <b>Arg880,</b> Thr1010(2)	Leu648, Phe649, Leu712, Asn768, <u>Glu802</u> , Thr803, Arg871, Asp872, Leu873, Ser874, His875, Ser876, Glu879, Arg880, Phe914, Ser1008, Phe1009, Thr1010, Val1011, Pro1012, Phe1013, Leu1014, Pro1076, Ala1079, Tyr1140, Phe1142, Glu1261	_	Ala1079		

Table 3. Cont.

<sup>a</sup> Number in brackets indicates the number of hydrogen bonds formed with the same residue of XO. XO residues that were reported to bind to quercetin (co-crystalized inhibitor in the crystal PDB ID 3NVY) [12] are marked in boldface type. XO residues that were reported to be involved in catalysis [12] are underlined.



**Figure 5.** (**a**) 3D diagram of LYSPH; (**b**) 3D diagram of LYSPH-XO docked model; (**c**) 2D LYSPH-XO interaction diagram. In (**a**,**b**), LYSPH is displayed in a blue-stick style. In (**b**), XO is displayed as red ribbon. In (**c**), green dashed line and red spoked arcs represent hydrogen bond and hydrophobic interactions, respectively. Residues of LYSPH are shown in purple bonds, whereas residues of XO are shown in brown bonds and also represented by the red spoked arcs.

In the molecular docking to p47<sup>phox</sup>, tomato seed-derived PSYLNTPLL had the best docking score, whereas the cherry seed-derived FGPEMEQ had the worst (Table 4). All peptides had docking score less negative than that of proline-rich peptide derived from p22<sup>phox</sup>

(-309.862) (data not shown). Thus, none of the five peptides could bind more stably to p47<sup>phox</sup> than the p22<sup>phox</sup>-derived peptide. Nevertheless, the potential of the five peptides as inhibitors of p47<sup>phox</sup>-p22<sup>phox</sup> interaction could not be completely ruled out solely based on this. Supporting this proposition is the observation that four peptides (RRSSIR-NAHSIHQRSRKRLS, ISNSESGPRGVHFIFNKENF, RSRKRLSQDAYRRNSVRFLQQR, and AGGPPGGPQVNPIPVTDEVV) that were experimentally demonstrated to inhibit p47<sup>phox</sup>-p22<sup>phox</sup> interaction [47,48] were also predicted to bind less strongly to p47<sup>phox</sup> than was p22<sup>phox</sup> (Table S4). In short, a peptide predicted to bind less strongly to p47<sup>phox</sup> than p22<sup>phox</sup> may still inhibit p47<sup>phox</sup>-p22<sup>phox</sup> interaction.

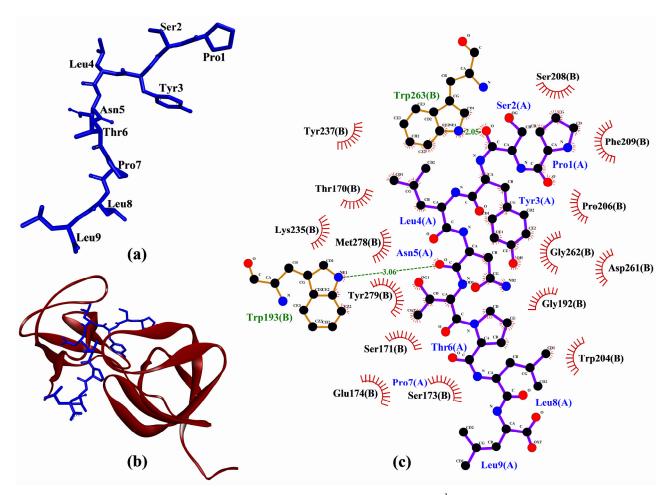
Dentide	De drive Coore		Interaction with p47 <sup>phox</sup>
Peptide	Docking Score	Hydrogen Bond	Hydrophobic Interaction
PSYLNTPLL	-216.493	Trp193, Trp263	Thr170, Ser171, Ser173, Glu174, Gly192, <b>Trp193</b> , <b>Trp204</b> , <b>Pro206</b> , Ser208, <u>Phe209</u> , Lys235, <b>Tyr237</b> , Asp261, Gly262, <b>Trp263</b> , <b>Met278</b> , <b>Tyr279</b>
LPHFNS	-195.377	Tyr279	Tyr167, Thr170, Ser191, Trp193, Pro206, Tyr237, Asp243, Glu244, Asp261, Gly262, Trp263, Tyr274, Pro276, Tyr279
LYSPH	-185.715	Thr170, Ser208	<b>Tyr167</b> , Thr170, Ser171, Ser173, Glu174, <b>Trp193</b> , <b>Trp204</b> , <b>Pro206</b> , Ser208, <u>Phe209</u> , <b>Trp263</b> , <b>Met278</b>
AEHGSLH	-181.729	Trp263	Tyr167, Thr170, Ser171, Glu174, Trp193, Glu241, Asp243, Trp263, Tyr274, Pro276, Met278
FGPEMEQ	-175.680	Thr170, <b>Trp193</b>	Thr170, Ser173, Glu174, Gly192, <b>Trp193, Trp204, Pro206</b> , <u>Phe209</u> , Asp261, <b>Met278, Tyr279</b>

Table 4. Intermolecular interactions between seed-derived antioxidant peptides and p47<sup>phox</sup>.

 $p47^{phox}$  residues that were reported to bind to the ligand  $p22^{phox}$ -derived proline-rich peptide in the crystal (PDB ID: 1WLP) [14] are marked in boldface type. Key residues that were reported for high-affinity binding between  $p47^{phox}$  and  $p22^{phox}$  as evidenced by mutagenesis studies [14] are underlined.

As shown in Table 4, each of the peptides could bind to at least six of the 17 p47<sup>phox</sup> residues known to bind to the p22<sup>phox</sup>-derived peptide. However, only PSYLNTPLL, LYSPH, and FGPEMEQ could interact with Phe209, a key residue of p47<sup>phox</sup> which accounts for high-affinity binding between p47<sup>phox</sup> and p22<sup>phox</sup> (Table 4). Furthermore, PSYLNTPLL could bind to p47<sup>phox</sup> in a similar manner as the co-crystalized p22<sup>phox</sup>-derived peptide, by binding to Trp193, Trp204, Pro206, Phe209, Tyr237, Trp263, Met278, and Tyr279. Hence, PSYLNTPLL is the most promising among the five peptides to target p47<sup>phox</sup>. A graphical representation of PSYLNTPLL-p47<sup>phox</sup> docked model and the intermolecular interactions between PSYLNTPLL and p47<sup>phox</sup> is shown in Figure 6.

Based on binding affinities and similarity of binding patterns to those of co-crystalized inhibitors/ligands and reported peptide-based inhibitors, our analyses found LYSPH and PSYLNTPLL to have the greatest potential as modulators of the four targets of cancer treatments that we investigated. Specifically, LYSPH may be a multi-target peptide which could bind to, thus inhibiting the activity of MPO and XO, as well as interrupting Keap1-Nrf2 complex formation. On the other hand, PSYLNTPLL is the most promising peptide that could bind to p47<sup>phox</sup>, thus precluding p47<sup>phox</sup>-p22<sup>phox</sup> interaction and the subsequent activation of NADPH oxidase. Inhibition of the four targets could potentially dampen ROS overproduction which is associated with the initiation and/or progression of certain cancers [11,49–52]. To our knowledge, the Keap1-, MPO-, XO-, and p47<sup>phox</sup>-binding activity of the two peptides have not been previously reported. Considering the in silico evidence presented here, future investigations of the effectiveness of LYSPH and PSYLNTPLL in modulating the four targets, thus repressing ROS production and even cancer initiation and/or progression are warranted.



**Figure 6.** (**a**) 3D diagram of PSYLNTPLL; (**b**) 3D diagram of PSYLNTPLL-p47<sup>phox</sup> docked model; (**c**) 2D PSYLNTPLL-p47<sup>phox</sup> interaction diagram. In (**a**,**b**), PSYLNTPLL is displayed in a blue-stick style. In (**b**), p47<sup>phox</sup> is displayed as red ribbon. In (**c**), green dashed lines and red spoked arcs represent hydrogen bonds and hydrophobic interactions, respectively. Residues of PSYLNTPLL are shown in purple bonds, whereas residues of p47<sup>phox</sup> are shown in brown bonds and also represented by the red spoked arcs.

The five anticancer peptides predicted from our virtual library were also screened for cell-penetrating potential, blood-brain barrier penetrating potential, plasma half-life, and tolerance to in silico GI digestion, which can shed light on their potential as anticancer agents. Among the five peptides, LYSPH and PSYLNTPLL had the top two best plasma halflife (Table 5). The two peptides predictably had cell- and blood-brain barrier penetrating potential, although both were susceptible to GI digestion. The predicted cell-penetrating potential of the two peptides supports their potential in entering body cells and binding to the four intracellular targets: MPO, XO, Keap1, and p47<sup>phox</sup>, modulating the functions of the four proteins. The predicted ability of LYSPH and PSYLNTPLL to cross the blood-brain barrier may also facilitate their development as brain-tumor targeting peptides. Plasma half-life and tolerance to in silico GI digestion are related to the bioavailability of a peptide. Our results suggests that the two peptides were similar in their level of susceptibility to plasma peptidases, thus not differing much in their stability during systemic circulation. When compared with other natural anticancer peptides, such as KENPVLSLVNGMF identified from the giant barrel sponge Xestospongia testudinaria (half-life of 3.2 h in human serum in vitro) [53], the half-life of LYSPH and PSYLNTPLL was relatively short (about 14 min). Meanwhile, LYSPH and PSYLNTPLL were similarly susceptible to degradation by GI proteases. So, poor stability in blood and susceptibility to GI digestion is a key potential weakness of the two peptides, despite their ability to target MPO, XO, Keap1, and p47<sup>phox</sup>, as well as predictably having cell- and blood-brain barrier penetrating potential. The stability issue may limit the potential effectiveness of LYSPH and PSYLNTPLL as anticancer agents in the body, whether introduced into the body through oral or nonoral routes. To enhance the in vivo bioavailability of LYSPH and PSYLNTPLL, structural modifications that could improve their resistance to plasma and GI peptidases, such as cyclization of peptides [54] could be considered in future research. Moreover, the application of innovative technology such as mucoadhesive nanoparticles [55] may also be explored for oral delivery of the peptides with reduced risk of GI degradation and enhanced bioavailability.

**Table 5.** The predictions of the cell-penetrating potential, blood-brain barrier penetrating potential, plasma half-life, and tolerance to in silico GI digestion of the five selected seed-derived antioxidant peptides.

Peptide	Cell-Penetrating Potential	Blood-Brain Barrier Penetrating Potential	Plasma Half-Life (Seconds)	Tolerance to In Silico GI Digestion
AEHGSLH	No	No	828.91	No
FGPEMEQ	No	Yes	796.21	No
LPHFNS	Yes	Yes	823.51	No
LYSPH	Yes	Yes	832.41	No
PSYLNTPLL	Yes	Yes	833.41	No

Based on computational alanine scanning, Tyr played the most significant role in the binding and stabilizing of peptide-protein complexes for Keap1, MPO, XO, and p47<sup>phox</sup>. This can be observed from the drastically elevated  $\Delta\Delta G$  values after the substitution of Tyr to Ala in both LYSPH and PSYLNTPLL (Table 6). This suggests that the hydrophobic interactions between Tyr and the residues of Keap1 (Tyr572), of XO (Glu879, Thr1010, Phe1013), of MPO (Phe99, Glu102, Phe146, Leu415, Leu420) and of p47phox (Gly192, Asp261, Gly262, Met278) (Figures 3–6) are critical to the formation of stable peptide-protein complexes. In line with our findings, Wu and co-workers [56] found that the only Tyr-containing peptide in their study had the highest XO inhibitory activity; Tyr in the peptide also interacted hydrophobically with Phe1013 of XO. On the other hand, Ala substitution of His in LYSPH also led to the second largest increase in  $\Delta\Delta G$  by 14.2670 kJ/mol (Table 6) when the LYSPH-XO complex was analyzed. By contrast, Ala substitution of His in LYSPH led to only a minor increase in  $\Delta\Delta G$  of the LYSPH-Keap1 and LYSPH-MPO complexes. A possible explanation is that the His residue of LYSPH could bind to more key residues in XO (Glu802, Phe914, Phe1009, and Leu1014) (Figure 5c). By contrast, the His residue of LYSPH interacted with only one key residue (Arg380) in Keap1 (Figure 3c) and with none in MPO (Figure 4c). Our analysis suggests that future research that considers re-designing LYSPH and PSYLNTPLL for enhanced interactions with Keap1, MPO, and p47<sup>phox</sup> should avoid replacing or removing the Tyr residue. For stable binding to XO, the Tyr and His residues of LYSPH both should not be replaced or removed.

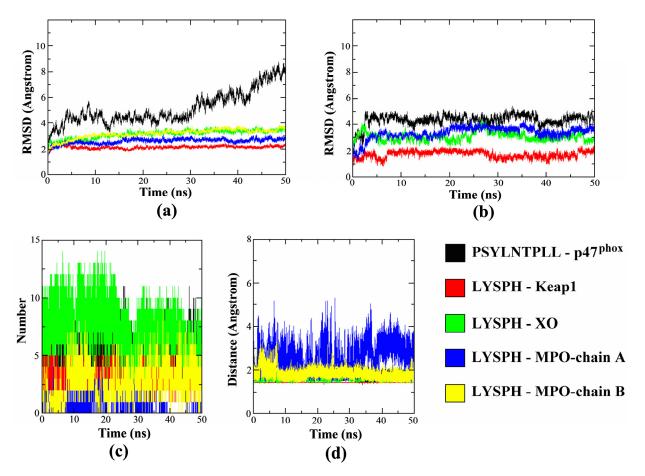
Molecular dynamics (MD) is a simulation technique which applied to derive the statements about the structural, dynamical, and thermodynamic properties of a molecular system [57]. The approach is able to observe minor conformational changes corresponds to the residue side chains which affect the binding site of a protein and ligand complementarity [57]. In the current study MD was applied to observe the dynamic level stability of each peptide ligand against the targeted proteins, as the peptides can functions either as the receptor inhibitors [58,59] or as the mediator such as the peptide mediated interactions in cell signaling [60].

Death			ΔΔG (1	«J/mol)	
Peptide	Residue	Keap1	МРО	ХО	p47 <sup>phox</sup>
	Leu	8.4596	7.7764	6.3704	-
	Tyr	14.3232	23.0458	16.8951	-
LYSPH	Ser	2.5540	-0.1909	-0.1396	-
	Pro	5.0370	5.2764	6.3965	-
	His	0.6399	3.1014	14.2670	-
	Pro	-	-	-	0.0856
	Ser	-	-	-	0.5437
	Tyr	-	-	-	27.1615
	Leu	-	-	-	-0.4367
PSYLNTPLL	Asn	-	-	-	0.7800
	Thr	-	-	-	1.1903
	Pro	-	-	-	2.9660
	Leu	-	-	-	2.7811
	Leu	-	-	-	0.4836

**Table 6.** Changes in the binding free energies ( $\Delta\Delta G$ ) of the LYSPH- and PSYLNTPLL-protein complexes as revealed by the computational alanine scanning of the peptide residues.

The MD simulations results in Figure 7a–d determines the protein-ligand complexes stability during the 50 ns duration. In Figure 7a, the all-atom averaged root mean square deviation (RMSD) value for protein target Keap1, XO and MPO (chain A and B) in the complex were shown to be low at 2.14  $\pm$  0.11 Å, 3.15  $\pm$  0.33 Å, 2.59  $\pm$  0.18 Å and 3.17  $\pm$  0.36Å, respectively. In comparison, Figure 7b shows the all-atom averaged RMSD value of ligand LYSPH docked on each Keap1, XO and MPO were 1.73  $\pm$  0.26 Å, 3.07  $\pm$  0.33 Å and  $3.34 \pm 0.47$  Å, respectively. This shows that RMSD values of both the docked proteins and the ligands are below the allowed limit [61], confirming that the protein-ligand complexes are stable over time. The plotted RMSD graphs also shows that receptor p47<sup>phox</sup> took longer time to reach complex stability compared to the other docked proteins with the averaged RMSD value of 5.14  $\pm$  0.13 Å, while all-atom averaged RMSD for its ligand, PSYLNTPLL was  $4.36 \pm 0.45$  Å. The high p47<sup>phox</sup> RMSD value was contributed by the flexibility of the N- and also C-terminal residues of the protein which reached up to 6.00 Å due to the loop structure of both terminals, visible by the root mean square fluctuations RMSF plot (Figure S1). The ligand interacted residues, however, were not affected and gave relatively low fluctuations during the 50 ns duration. In addition, the RMSD of PSYLNTPLL was also similarly low with LYSPH docked on other protein target (Figure 7b) during the 50 ns duration.

The dynamic intermolecular hydrogen bonds formed between the docked peptide and receptor protein were summarized in Figure 7c. The figure shows that highest number of intermolecular hydrogen bonds formed was in between LYSPH-XO (ave: 7), followed by PSYLNTPLL-p47<sup>phox</sup> (ave: 5) and LYSPH-Keap1 (ave: 3). MPO protein was consists of chain A and chain B domain, where chain A formed only one intermolecular hydrogen bond with the ligand in average while chain B has the average of three intermolecular hydrogen bonds formed within the 50 ns duration. The polar group of the XO hot-spot region, and LYSPH peptide both contributed to the higher number of hydrogen bonds formed making the complex more stable [62]. Higher surface of interactions between PSYLNTPLL-p47<sup>phox</sup> due to the longer sequence of the peptide had stabilized its docking on the active site of p47<sup>phox</sup> [63]. The intermolecular hydrogen bonds formed in the complex had also correlated with the distance formed between each ligand and protein, as summarized in Figure 7d. The result shows that all complexes were tightly packed with the average protein-ligand distance of 1.43 Å–1.82 Å, except for LYSPH and the chain A of MPO which varied from 1.43 Å up to 5.31 Å. This was contributed by the binding site of the ligand which located closer to the chain B of MPO. Overall, the duration 50 ns were shown to be sufficient to evaluate the stability of protein-ligand complex formation where



most of the ligand tends to reach conformational stability after 3 ns. The RMSF and radius of gyration (Rg) plots of each complex are available in Figures S1 and S2.

**Figure 7.** (**a**) all-atom RMSD value for proteins; (**b**) all-atom RMSD value for ligands; (**c**) number of intermolecular hydrogen bonds; and (**d**) minimum distance between the proteins and each peptide ligand for the 50 ns duration.

#### 3. Materials and Methods

# 3.1. Compilation of a Virtual Library of Seed-Derived Antioxidant Peptides

Seed-derived antioxidant peptides were compiled from the publications in the Scopus database by using the search words listed in Table S2 (Accessed: 5–7 October 2021). A total of 63 publications were carefully examined to find antioxidant peptides identified from different seed sources. In addition, peptides were compiled from the PlantPepDB database (http://14.139.61.8/PlantPepDB/index.php) [21] by using "Simple Search", searching "Antioxidant" and selecting "Peptide Activity" as search field (Accessed: 5–6 October 2021). Following the exclusion of redundant sequences, the resulting collection of seed-derived antioxidant peptide sequences was used in subsequent screening and molecular modelling analyses, as depicted in Figure 8.

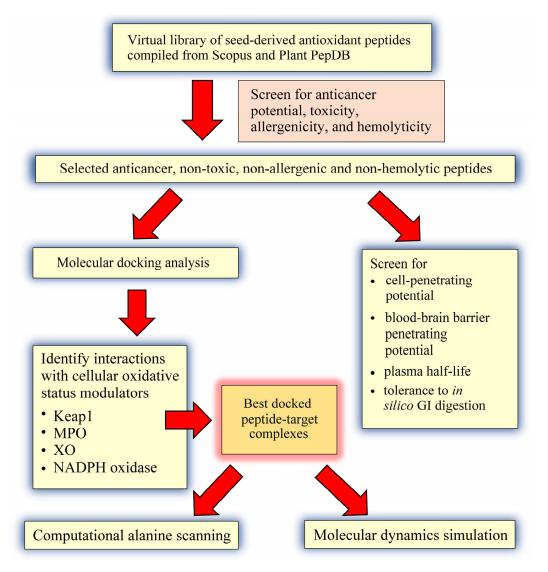


Figure 8. An overview of the computational approach used in this study.

# 3.2. Virtual Screening for Anticancer Potential, Toxicity, Allergenicity and Hemolyticity

Anticancer potential was predicted by using AntiCP 2.0 (https://webs.iiitd.edu.in/ raghava/anticp2/index.html) [22] with the default SVM threshold of 0.45. Seed-derived antioxidant peptide sequences that were predicted as anticancer peptides by both Model 1 and Model 2 in the AntiCP 2.0 tool were noted. Toxicity was predicted by using ToxinPred (https://webs.iiitd.edu.in/raghava/toxinpred/index.html) [33] with the SVM threshold of 0.0, by using two methods: (i) SVM (Swiss-Prot) + Motif, and (ii) SVM (TrEMBL) + Motif. Only peptide sequences that were predicted as non-toxic by both of the aforementioned methods are regarded as non-toxic. Allergenicity was predicted by using AllerTOP v. 2.0 (https://www.ddg-pharmfac.net/AllerTOP/index.html) [32]. Hemolyticity was predicted by using HemoPI (https://webs.iiitd.edu.in/raghava/hemopi/index.php) [31] with the SVM + Motif (HemoPI-1) method. The aforementioned tools were accessed on 8-9 October 2021. Only seed-derived antioxidant peptides of 4-50 residues were screened as the peptides outside this range cannot be analyzed by AntiCP 2.0. The 2D structures of selected anticancer peptides were drawn by using the ACD/ChemSketch freeware (ACD/ChemSketch, version 2019.2.1, Advanced Chemistry Development, Inc., Toronto, ON, Canada, www.acdlabs.com, 2019). The molecular masses of peptides were calculated by using PepDraw (https://pepdraw.com/) (Accessed: 9 October 2021).

#### 3.3. Molecular Docking Analysis

The 3D structures of peptides predicted to be anticancer, non-toxic, non-allergenic, and non-hemolytic were constructed by using PEP-FOLD 3 (https://bioserv.rpbs.univ-paris-diderot.fr/services/PEP-FOLD3/) [64–66] (Accessed: 9 October 2021). Two hundred simulations were run and the resulting models were sorted by the sOPEP method. The best output model of each peptide was downloaded and used in molecular docking.

The crystal structures of human Keap1 complexed with 16-mer peptide of Nrf2 (PDB ID: 2FLU) [39], human MPO complexed with 7GD (PDB ID: 6WYD) [41], bovine XO complexed with quercetin (PDB ID: 3NVY) [12], and p47<sup>phox</sup> complexed with p22<sup>phox</sup>-derived proline-rich peptide (PDB ID: 1WLP) [14] were downloaded from the RCSB Protein Data Bank (https://www.rcsb.org/) [67] (Accessed: 9 October 2021). The separation of proteins and ligands from the crystals were performed by using BIOVIA Discovery Studio Visualizer (BIOVIA, Dassault Systèmes, BIOVIA Discovery Studio Visualizer, Version 20.1.0.192, San Diego: Dassault Systèmes, CA, USA, 2020).

For Keap1, MPO, and XO, the proteins were prepared as receptors in the PDBQT format after deleting water, adding polar hydrogen, and adding Kollman charges by using the AutoDock Tools 1.5.6 [25]. The prepared receptors were used for molecular docking on Webina 1.0.3 (https://durrantlab.pitt.edu/webina/) [24]. The co-crystalized ligands and the selected seed-derived peptides to be docked to the proteins were prepared as ligands and saved in the PDBQT format by using AutoDock Tools 1.5.6. Redocking of the cocrystalized ligands to Keap1, MPO and XO was performed using Webina 1.0.3 and RMSD was predicted by using LigRMSD v1.0 (https://ligrmsd.appsbio.utalca.cl/) [68]. For Keap1, redocking was performed by using tetrapeptide ETGE, the key motif of the 16-mer peptide of Nrf2, as recommended previously [40]. The coordinates of box center and box size used in molecular docking on Webina 1.0.3 were tabulated in Table S3. Molecular docking between p47<sup>phox</sup> and the peptide ligands was performed by using the HPEPDOCK Server (http://huanglab.phys.hust.edu.cn/hpepdock/) [69–73]. Redocking of the co-crystalized p22<sup>phox</sup>-derived proline-rich peptide (GPLGSKQPPSNPPPRPPAEARKKPS) to p47<sup>phox</sup> was also performed on HPEPDOCK and RMSD was predicted by using LigRMSD v1.0. Webina 1.0.3 and HPEPDOCK were accessed between 9 and 12 October 2021. Intermolecular interactions between proteins and peptides in selected docked models were analyzed and 2D interaction diagrams were generated by using LigPlot+ v.2.2.4 [74,75].

# 3.4. Prediction of Cell-Penetrating Potential, Blood-Brain Barrier Penetrating Potential, Plasma Half-Life, and Tolerance to In Silico GI Digestion

Cell-penetrating potential was predicted by using MLCPP (http://www.thegleelab. org/MLCPP/MLCPP.html) [23]. Blood-brain barrier penetrating potential was predicted by using B3Pred (https://webs.iiitd.edu.in/raghava/b3pred/index.html) [76] with Random-Forest (RF)-based prediction model and RF probability threshold of 0.1. Plasma half-life was predicted by using PlifePred (https://webs.iiitd.edu.in/raghava/plifepred/index. php) [77]. Tolerance to in silico GI digestion was predicted using the "enzyme(s) action" tool on BIOPEP-UWM (http://www.uwm.edu.pl/biochemia/index.php/en/biopep) [78] as previously reported [29]. The aforementioned tools were accessed on 18 October 2021.

#### 3.5. Computational Alanine Scanning Mutagenesis

To assess the energetic contribution of individual residues in the selected seed-derived antioxidant peptides in conferring stability of binding to Keap1, MPO, XO, and p47<sup>phox</sup>, computational alanine scanning was performed by using BUDE Alanine Scan (https://pragmaticproteindesign.bio.ed.ac.uk/balas/) [79,80] (Accessed: 18–19 October 2021) as previously reported [27].

# 3.6. Molecular Dynamics Simulation

For a comprehensive analysis of the biomolecular dynamics, molecular dynamics (MD) simulation has evolved as the most powerful technique [26]. The detailed MD simulations

of the complexes were conducted in GROMACS 2020 using the GROMOS96 54a7 force field [81]. The 54a7 force field was shown to improve the stability of  $\alpha$ -helical structures in proteins and widely used in peptide simulations [82]. Molecular dynamics simulation was performed on each peptide ligand and protein complex of LYSPH-Keap1, LYSPH-MPO, LYSPH-XO, and PSYLNTPLL-p47<sup>phox</sup> for 50 ns duration. In the MD, each complex was solvated in a cubic box with the distance of 1.2 nm between the complex and each side of the solvated box [83]. Sodium and chloride ions were added to neutralize the total charge of the system. The complex then was energy-minimized using the steepest descent algorithm [84]. The simulation condition was set at the room temperature (300 K) and the atmospheric pressure (1 bar) to closely mimic the general experiment conditions. The NVT thermal equilibration was carried out with a constrained structure and a velocity rescale thermostat specific to GROMACS, followed by NPT pressure equilibration was applied with the same velocity-rescale temperature coupling in addition to the Parrinello-Rahman pressure coupling [85]. The fully temperature and pressure equilibrated system was then used as the initial configuration for the MD production dynamic analysis. All simulations were conducted using a 2 fs time step [86]. The results were then analyzed using GROMACS functions such as RMSD and RMSF, while the formation of hydrogen bonds between each peptide and target proteins were analyzed using GROMACS "gmx\_hbond" functions. Additionally, the distance between each protein and its ligand peptide was measured using the "gmx\_pairdist" function.

# 4. Conclusions

Our computational study narrowed down the 677 peptides in the virtual library to five candidates predicted to have anticancer potential, in addition to non-toxicity, nonallergenicity and non-hemolyticity. Structure-based virtual screening found that LYSPH was the most promising peptide in targeting MPO, XO, and Keap1. On the other hand, PSYLNTPLL was the candidate that interacted most stably with p47<sup>phox</sup>. LYSPH and PSYLNTPLL were predicted to have cell- and blood-brain barrier penetrating potential. Taken together, LYSPH and PSYLNTPLL are two potential candidates of anticancer peptides that deserve more in-depth explorations, particularly wet-lab experimental validations, in future.

**Supplementary Materials:** Table S1: Seed-derived antioxidant peptides compiled from Scopus and PlantPepDB databases; Table S2: Search words used in Scopus to compile seed-derived antioxidant peptides; Table S3: Coordinates of box center and box size for different targets in molecular docking, and RMSD values; Table S4: Docking scores for peptides that were experimentally demonstrated to inhibit p47phox-p22<sup>phox</sup> interaction and NADPH oxidase, in comparison with p22<sup>phox</sup>; Figure S1: RMSF plots for (**a**) PSYLNTPLL-p47phox, (**b**) LYSPH-Keap1, (**c**) LYSPH-XO, and (**d**) LYSPH-MPO; Figure S2: Gyration (Rg) plots of each complex.

Author Contributions: Conceptualization, T.-T.C. and F.-C.W.; methodology, T.-T.C. and F.-C.W.; software, T.-T.C. and M.Z.S.; validation, T.-T.C., F.-C.W., and M.Z.S.; formal analysis, C.C.-C.W., M.Z.S., and J.-A.K.; investigation, T.-T.C., M.Z.S., C.C.-C.W., and J.-A.K.; resources, T.-T.C., F.-C.W.; data curation, C.C.-C.W., J.-A.K., T.-T.C., and F.-C.W.; writing—original draft preparation, T.-T.C., J.-A.K., and M.Z.S.; writing—review and editing, T.-T.C., J.-A.K., F.-C.W., C.C.-C.W., and M.Z.S.; visualization, C.C.-C.W., J.-A.K., F.-C.W., and M.Z.S.; supervision, T.-T.C. and F.-C.W.; project administration, T.-T.C. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

**Data Availability Statement:** The data presented in this study are available on request from the corresponding author.

Conflicts of Interest: The authors declare no conflict of interest.

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Article



# Nomad Jellyfish *Rhopilema nomadica* Venom Induces Apoptotic Cell Death and Cell Cycle Arrest in Human Hepatocellular Carcinoma HepG2 Cells

Mohamed M. Tawfik <sup>1,\*</sup>, Nourhan Eissa <sup>1</sup>, Fayez Althobaiti <sup>2</sup>, Eman Fayad <sup>2,\*</sup> and Ali H. Abu Almaaty <sup>1</sup>

- <sup>1</sup> Department of Zoology, Faculty of Science, Port Said University, Port Said 42526, Egypt; n.essa@sci.psu.edu.eg (N.E.); ali\_hussein@sci.psu.edu.eg (A.H.A.A.)
- <sup>2</sup> Department of Biotechnology, Faculty of Sciences, Taif University, P.O. Box 11099, Taif 21944, Saudi Arabia; faiz@tu.edu.sa
- \* Correspondence: tawfik@sci.psu.edu.eg (M.M.T.); e.esmail@tu.edu.sa (E.F.)

**Abstract:** Jellyfish venom is a rich source of bioactive proteins and peptides with various biological activities including antioxidant, antimicrobial and antitumor effects. However, the anti-proliferative activity of the crude extract of *Rhopilema nomadica* jellyfish venom has not been examined yet. The present study aimed at the investigation of the in vitro effect of *R. nomadica* venom on liver cancer cells (HepG2), breast cancer cells (MDA-MB231), human normal fibroblast (HFB4), and human normal lung cells (WI-38) proliferation by using MTT assay. The apoptotic cell death in HepG2 cells was investigated using Annexin V-FITC/PI double staining-based flow cytometry analysis, western blot analysis, and DNA fragmentation assays. *R. nomadica* venom displayed significant dose-dependent cytotoxicity on HepG2 cells after 48 h of treatment with IC<sub>50</sub> value of 50 µg/mL and higher toxicity (3:5-fold change) against MDA-MB231, HFB4, and WI-38 cells. *R. nomadica* venom showed a prominent increase of apoptosis as revealed by cell cycle arrest at G2/M phase, upregulation of p53, BAX, and caspase-3 proteins, and the down-regulation of anti-apoptotic Bcl-2 protein and DNA fragmentation. These findings suggest that *R. nomadica* venom induces apoptosis in hepatocellular carcinoma cells. To the best of the authors' knowledge, this is the first scientific evidence demonstrating the induction of apoptosis and cell cycle arrest of *R. nomadica* jellyfish venom.

Keywords: Rhopilema nomadica; apoptosis; cell cycle arrest; HepG2

# 1. Introduction

Hepatocellular carcinoma (HCC) is the fourth most common cause of cancer-related mortality globally [1]. In Egypt, HCC is one of the prevalent malignancy cancer accounting for 70.48% of all liver tumors in both sexes, which may be attributed to the rising incidence rates of hepatitis C virus-related cirrhosis [2,3]. Chemotherapy and radiotherapy were determined to be the main rational therapeutic regimens for HCC [4,5]. However, the use of these routine treatments is assigned with potent toxic adverse effects in addition to the development of resistance of HCC cells to anticancer drugs [6,7]. In this perspective, there is an unmet need for novel anticancer agents that may have different mechanisms of action from current therapies, leading to higher selectivity for HCC cells.

Recently, jellyfish populations are rapidly increasing in various marine ecosystems worldwide, which may be associated with global warming, eutrophication, alien species invasions, and changes in salinity [8–10]. Jellyfish venom is primarily confined in specialized venom-containing capsules known as nematocysts that are found mainly in the tentacles. Nematocysts venom contains a mixture of bioactive proteins and peptides, which exhibits hemolytic, cardiotoxic, neurotoxic, musculotoxic, antioxidant, and cytolytic effects [11–15]. Jellyfish venom proteins are highly potent against a panel of human cancer cell lines which have attracted significant interests in tumor research [16,17].

Citation: Tawfik, M.M.; Eissa, N.; Althobaiti, F.; Fayad, E.; Abu Almaaty, A.H. Nomad Jellyfish *Rhopilema nomadica* Venom Induces Apoptotic Cell Death and Cell Cycle Arrest in Human Hepatocellular Carcinoma HepG2 Cells. *Molecules* **2021**, *26*, 5185. https://doi.org/10.3390/molecules 26175185

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 30 June 2021 Accepted: 17 August 2021 Published: 26 August 2021

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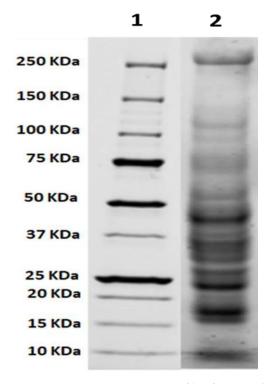
**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Stimulating apoptotic pathways is the most significant non-surgical cancer treatment and HCC treatment in particular. Such strategies to trigger cancer cell death include upregulation of pro-apoptotic proteins and reduction of the expression of anti-apoptotic proteins [18–20]. Apoptosis is mainly associated with DNA fragmentation, chromatin compaction, nuclear fragmentation, and cell cycle arrest [21–23]. Interestingly, various jellyfish venoms have been reported to induce apoptosis and cell cycle arrest against diverse cancer cells [24,25]. Several studies have clearly indicated the link between the ability of jellyfish venom to produce oxidative stress and its induction of apoptosis in cancer cells [16,26,27]. ROS generation, lipid peroxidation induction, and mitochondria damage contribute to the cytotoxicity of the particular jellyfish venom, e.g., that of *Pelagia noctiluca* towards colon cancer cells and that of *Cassiopea andromeda* towards breast cancer cells, respectively [28,29].

*Rhopilema nomadica* jellyfish (nomad jellyfish) blooms off the eastern Mediterranean coasts of Italy, Turkey, Greece, Tunisia, and Egypt [30–32]. Nomad jellyfish was introduced in the Egyptian Mediterranean via Suez Canal in the latter half of the last century, which causes severe damage effects on the fishery industry and tourism [33,34]. Very few studies have explored the biological activities of nomad jellyfish. The present study is designed to assess the cytotoxic activity of *R. nomadica* venom on liver cancer cells (HepG2), breast cancer cells (MDA-MB231), human normal fibroblast (HFB4), and human normal lung cells (WI-38). The study also evaluates the extent of apoptosis in HepG2 cell death caused by *R. nomadica* venom.

# 2. Results

#### 2.1. Electrophoretic Separation of the Protein Mixture of R. nomadica Venom by SDS-PAGE

Quantitative analysis of proteins from *R. nomadica* venom was performed using the SDS-PAGE gel. The results revealed the presence of proteins ranging in mass from 16 to ~250 kDa. Major six protein bands with molecular masses of ~16, ~18, ~23, ~28, ~31, and ~48 kDa have dominated the profile (Figure 1).

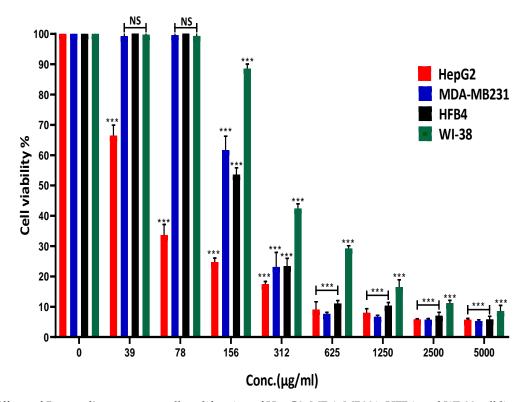


**Figure 1.** SDS-PAGE protein profile of *R. nomadica* venom. Lane 1: standard marker, Lane 2: protein extracts from the crude venom.

# 2.2. R. nomadica Venom Suppresses the Growth of HepG2, MDA-MB231, HFB4 and WI-38 Cells

*R. nomadica* venom was evaluated for cytotoxic activity on the viability of the human hepatocellular carcinoma cell line (HepG2) using MTT assay. The venom has shown a significant cytotoxic effect on HepG2 cells in a concentration-dependent manner after 48 h of cell treatment. The IC<sub>50</sub> value was approximately determined to be 50  $\mu$ g/mL.

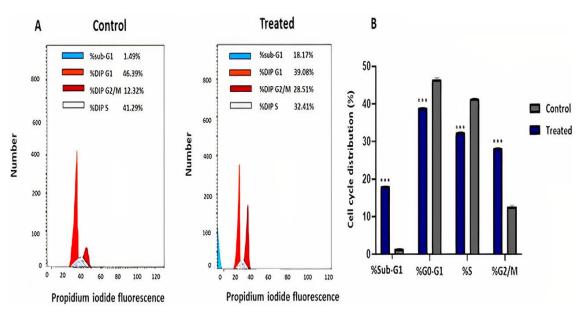
The toxicity testing of the venom was extended on extra cancer cell line MDA-MB231 (breast cancer) and two normal cell lines HFB4 (human fibroblast) and WI-38 (human lung cells). Results showed that MDA-MB231, WI-38, and HFB4 cells had an IC<sub>50</sub> for the venom of 216, 250, and 168  $\mu$ g/mL, respectively, 3:5-fold higher than HepG2 cells. Therefore, the crude extract of *R. nomadica* venom exhibited some preference against HepG2 cells rather than other cancer or normal cell lines (Figure 2).



**Figure 2.** Effects of *R. nomadica* venom on cell proliferation of HepG2, MDA-MB231, HFB4, and WI-38 cell lines at different concentrations. The percentage of cell viability was measured using MTT assay after 48 h treatment. Non-treated cells were used as a control. Data are analyzed with one-way ANOVA followed by Tukey's test. All values are represented as mean  $\pm$  SEM. \*\*\* denotes significance difference (*p* < 0.001) vs. control, otherwise noted NS: non-significant (*p* > 0.05).

# 2.3. R. nomadica Venom Induces G2/M Arrest on HepG2 Cells

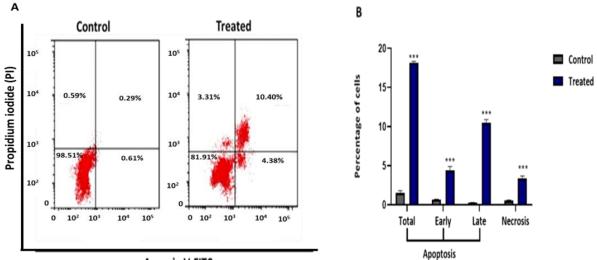
Flow cytometric analysis of the cell cycle was performed to determine the cell cycle distribution of HepG2 cells treated with *R. nomadica* venom. The incubation of HepG2 cells with IC<sub>50</sub> of *R. nomadica* venom for 48 h caused cell cycle arrest at the G2/M phase. The population of cells in G2/M and sub-G1 phases significantly increased from 12.28% and 1.49% in untreated cells to 28.51% and 18.17% in the treated cells with *R. nomadica* venom, respectively (p < 0.001). On the contrary, the treatment with *R. nomadica* venom resulted in a statistically significant decrease in the population of cells in G0–G1 and S phases (p < 0.001) (Figure 3).



**Figure 3.** Cell cycle analysis of HepG2 cells treated with IC<sub>50</sub> value of *R. nomadica* venom for 48 h by flow cytometry. (**A**) Representative profiles of cell cycle distribution in HepG2 cells after treatments. (**B**) The percentages of cell populations in sub-G1, G0–G1, S and G2/M phases. Data are analyzed with paired Student's t-test. Values are represented as mean  $\pm$  SEM. \*\*\* denotes significance difference (p < 0.001) vs. control.

2.4. R. nomadica Venom Induces Apoptosis in HepG2 Cells

Annexin V-FITC/PI double staining-dependent on flow cytometry analysis showed that *R. nomadica* venom-induced apoptotic cell death in HepG2 cells (Figure 4). Populations of early and late apoptotic cells were significantly increased after 48 h treatment of IC<sub>50</sub> of *R. nomadica* venom compared to untreated HepG2 cells (p < 0.001). Accordingly, the percentage of total apoptotic cells was significantly increased from 1.49% to 18.17% of treated cells.

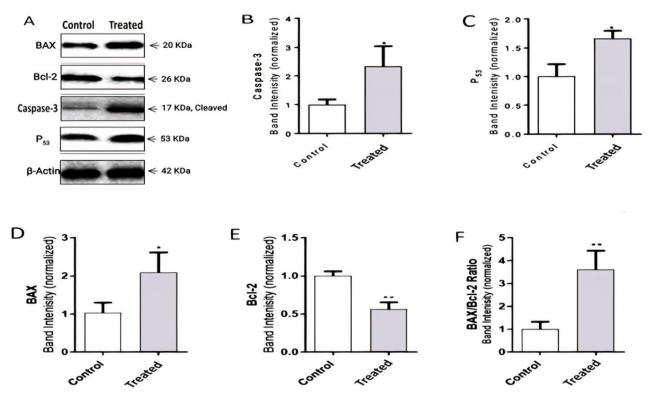


# Annexin V-FITC

**Figure 4.** Apoptosis detection assay was performed using the Annexin V/PI double staining assay in HepG2 cells. HepG2 cells were treated with IC<sub>50</sub> value of *R. nomadica* venom for 48 h, stained with Annexin V and PI, and analyzed on BD FACSCalibur flow cytometer. (**A**) Representative scatter plots of PI (*y*-axis) vs. Annexin V (*x*-axis). Lower left quadrants show viable cells (An –, PI –), whereas lower right quadrants represent the early apoptotic cells (An +, PI –). The upper left quadrants contain the necrotic cells (An –, PI +), while the upper right quadrants demonstrate the late apoptotic cells (An +, PI +). (**B**) Quantification graph of Annexin V/PI double staining assay obtained from BD FACSCalibur flow cytometer. Data are analyzed with paired Student's t-test. Values are represented as mean  $\pm$  SEM. \*\*\* denotes significance difference (*p* < 0.001) vs. control.

# 2.5. R. nomadica Venom Induces Apoptosis in HepG2 Cells through the Regulation of the *Expression of Apoptosis-Related Proteins*

To understand the molecular mechanisms underlying *R. nomadica* venom-induced apoptosis, western blotting was performed to investigate the expression levels of apoptosis-related proteins. The expression of anti-apoptotic Bcl-2 protein was remarkably decreased in *R. nomadica* venom-treated HepG2 cells after 48 h treatment with IC<sub>50</sub> concentration. Whereas apoptosis-inducing proteins BAX, caspase-3, and p53 were increased in the treated cells. Upon treatment of HepG2 cells with *R. nomadica* venom, a significant increase in Bax/Bcl-2 ratio compared to untreated cells (p < 0.01) (Figure 5).

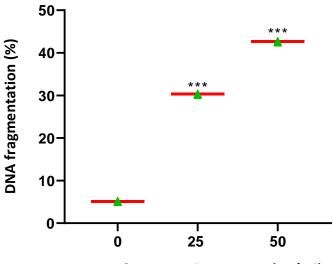


**Figure 5.** Western blot analysis. (**A**) shows western blot results about the expression level of apoptosis-related proteins BAX, Bcl-2, caspase-3, and p53 in HepG2 cells after being treated for 48 h with IC<sub>50</sub> concentration of *R. nomadica* venom. From (**B**–**F**) represent the statistical graphs of the density ratios of the proteins calculated by ImageLab. Protein levels were normalized to  $\beta$ -Actin. Data are analyzed with paired Student's t-test Values are represented as mean  $\pm$  SEM. \* denotes significance difference (p < 0.05) vs. control. \*\* denotes significance (p < 0.01) vs. control.

#### 2.6. R. nomadica Venom Induces DNA Damage

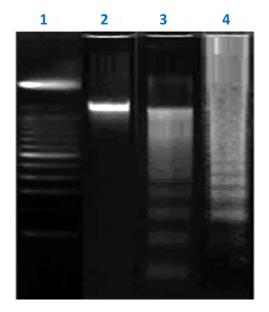
The DPA assay measured the relative quantity of DNA fragments in the treated HepG2 cells with *R. nomadica* venom (Figure 6). Compared to the untreated cells ( $5.27\% \pm 0.29\%$ ), *R. nomadica* venom at concentrations of 25 and 50 µg/mL caused a marked significant elevation in DNA fragmentation percentage ( $30.47\% \pm 1.66\%$ ) and ( $42.85\% \pm 2.33\%$ ), respectively.

Agarose gel electrophoresis of DNA from HepG2 cells treated with *R. nomadica* venom showed a ladder-like pattern of DNA fragments in a concentration-dependent manner relative to untreated cells (Figure 7). The gel pattern of the DNA samples isolated from untreated control of HepG2 cells showed clear bands of intact DNA, while *R. nomadica* venom-induced an increase in DNA smearing level with increasing dose from 25 to  $50 \ \mu\text{g/mL}$ .





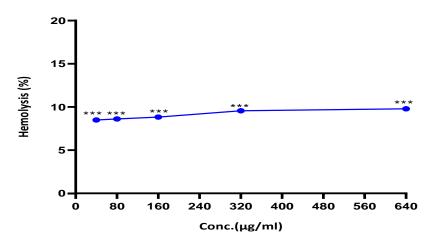
**Figure 6.** Quantitative estimation of DNA fragmentation by diphenylamine (DPA) assay in treated HepG2 cells with two different concentrations of *R. nomadica* venom (25–50  $\mu$ g/mL) and untreated cells. Data are analyzed with one-way ANOVA followed by Tukey's test. Values are represented as mean  $\pm$  SEM. \*\*\* denotes significance difference (*p* < 0.001) vs. control.



**Figure 7.** Electrophoretic pattern of DNA fragments isolated from HepG2 cells on 8% agarose gel electrophoresis. Lane 1: DNA marker, 100-bp. Lane 2: the intact DNA of the untreated HepG2 cells. Lane 3 and 4: the fragmented DNA of HepG2 cells treated with 25 and 50 µg/mL of *R. nomadica* venom, respectively.

#### 2.7. R. nomadica Venom Induces Less Hemolytic Activity against Human Erythrocytes

The hemolysis assay is carried out to investigate whether the cytotoxic activity is related to direct damage to the cell membrane. *R. nomadica* venom exhibited weak hemolytic activity under 10% at tested concentrations from 40 to 640  $\mu$ g/mL (Figure 8).



**Figure 8.** The hemolytic activity of *R. nomadica* venom against human RBC<sub>s</sub> incubated with serial concentrations of the crude venom for 1 h at room temperature. PBS and 10% triton  $100 \times$  were used as negative and positive controls, respectively. The absorbance of the supernatant was measured at 570 nm. Data are analyzed with one-way ANOVA followed by Tukey's test. Values are represented as mean  $\pm$  SEM. \*\*\* denotes significance difference (p < 0.001) vs. control.

# 3. Discussion

Jellyfish venoms have long attracted the interest of researchers to find and develop novel anticancer agents. Jellyfish venoms either or isolated peptides have anti-proliferative effects on various cancer cell lines such as brain, colorectal, breast, lung, and liver cancer cells [26,28,35–37]. Although there are many swarms of *R. nomadica* jellyfish in the summertime of the Egyptian Mediterranean coasts, much less attention has been paid to their biological activities. The present study showed that crude venom of *R. nomadica* jellyfish inhibited the growth of HepG2 cells in a dose-dependent manner (IC<sub>50</sub> value of 50 µg/mL). Less or no hemolytic activity has been detected for *R. nomadica* venom against human erythrocytes up to 10-fold greater than the IC<sub>50</sub> concentration. To our knowledge, the anticancer activity of this venom is being reported herein for the first time.

Similar inhibitory effects against HepG2 cells have been reported for other jellyfish species such as *Nemopilema nomurai*, *Cyanea lamarckii*, and *Acromitus flagellates* venoms [35,37–40]. Interestingly, both *Acromitus flagellates* venom and *Cyanea lamarckii* extract share three to six protein bands with *R. nomadica* venom in their electrophoretic patterns [35,39]. A pore-forming toxin, CcTX-1 (31.17 KDa), is an isolated proteinaceous cytotoxin from *Cyanea capillata* jellyfish venom that exhibited potent inhibitory activity against HepG2 cells [41,42]. The other two cytotoxic proteins, known as CfTX-A (~40 kDa) and CfTX-B (~42 kDa), were partially purified from *Chironex fleckeri* venom [43]. Similar protein bands with a similar molecular weight of CcTX-1, CfTX-A, and CfTX-B have been observed in the protein profile of *R. nomadica* venom. These latter findings may interpret the cytotoxic properties of *R. nomadica* venom against HepG2 Cells.

In the current study, the DNA fragmentation assays revealed that treated HepG2 cells with IC<sub>50</sub> value of *R. nomadica* venom showed a highly significant DNA fragmentation in comparison to non-treated cells. Similar DNA damages have been observed for *Nemopilema nomurai*, *Chiropsalmus quadrumanus* crude venoms and *Chrysaora Quinquecirrha* venom peptide against a variety of cancer cells particularly HCC cells [37,44,45].

Besides the DNA damage, cell cycle arrest is also a key event in apoptosis. Our results showed the induction of cell cycle arrest at the G2/M phase after the treatment of HepG2 cells for 48 h with IC<sub>50</sub> value of *R. nomadica* venom and elevation in the sub-G1 population. These data are in accordance with the previous study that exhibited the increase of sub-G1 population in HepG2 cells treated with *Nemopilema nomurai* venom [37]. DNA fragmentation and cell cycle arrest results were denoting the strong apoptotic effect of *R. nomadica* venom.

Annexin V and PI double staining assay elucidated the efficacy of *R. nomadica* venom on triggering apoptosis in HepG2 cells treated with IC<sub>50</sub> by raising the percentage of early and late apoptotic cells compared to untreated cells. Similar reports presented the venom potency of different jellyfish species such as *Stomolophus nomurai*, *Chrysaora helvola*, *Chiropsalmus quadrigatus*, *Chrysaora Quinquecirrha*, and *Cassiopea andromeda* in inducing the apoptotic cell death in various cancer cells via the formation of apoptotic bodies [36,44–47].

Apoptosis regulation is an impressive target for HCC treatment. Apoptosis is a significant mode of programmed cell death characterized by distinct hallmarks and controlled by essential extrinsic and intrinsic regulatory proteins [48,49]. The intrinsic pathway is mediated by pro-apoptotic proteins such as BAX/BAK proteins and anti-apoptotic proteins involved Bcl-2 and Bcl-xL proteins [50,51]. Terminally, apoptosis is executed by caspase-activated cascade involved the effector caspases such as caspase-3, 6, 7, and 10, which induce the activation of cytoplasmic endonuclease (CAD), which in turn causes chromatin condensation, DNA fragmentation, the formation of cytoplasmic blebs, and apoptotic bodies [52–54]. Furthermore, p53 is a tumor suppressor protein. Under Extra and intracellular stress signals, it plays a pivotal role in suppressing anti-apoptotic Bcl-2 family proteins. Moreover, p53 can directly interact with BAX and promotes the release of cytochrome c via mitochondrial outer membrane permeabilization (MOMP), which upregulates the tumor cells apoptosis [51,55].

Likewise, *R. nomadica* venom induces a potent intrinsically apoptotic effect on HepG2 cells by increasing p53 expression level, which in turn upregulates BAX (pro-apoptotic protein) and downregulates Bcl-2 (anti-apoptotic protein). We also demonstrate the intrinsic apoptotic pathway induced by *R. nomadica* venom in HepG2 cells through the elevation of BAX/Bcl-2 ratio leading to triggering of caspase-3 signaling protein, which causes cells destruction and ends up to apoptosis. Following the present results, previous studies have demonstrated the activity of other jellyfish venoms on modulating the apoptotic cell death in cancer cells via executioner and regulatory proteins [36,40,44–46]. Similarly, some apoptosis-inducing activities of other jellyfish venoms were attributed to their ability to produce high levels of ROS in different cancer cells, which may point out the potential ROS mediated cytotoxicity of *R. nomadica* venom [27–29].

Most of the jellyfish venoms which exhibited potent anticancer activities have strong hemolytic activities such as *Palythoa caribaeorum*, *Nemopilema nomurai*, and *Cassiopea xamachana* [26,56]. Even *Nemopilema nomurai* (schyphozoa) showed similar selective anticancer activities of *R. nomadica* venom against HepG2 cells rather than other cancer or normal cell lines [37]. However, erythrocytes were more susceptible to *N. nomurai* as >50% of mammalian RBCs underwent hemolysis at 100–200 µg/mL. In comparison with other jellyfish venoms and scyphozoans, *R. nomadica* showed less (weaker) hemolytic activities against RBCs. Furthermore, severe cytotoxicity on cardiac and skeletal cell lines has been reported for *N. nomurai* [12].

# 4. Materials and Methods

#### 4.1. Jellyfish Collection

*R. nomadica* jellyfish specimens were captured from the eastern Egyptian Mediterranean of Port Said coast during the blooming summer months in 2019. Only tentacles were collected in tanks full of fresh seawater and transported immediately to a laboratory for further preparations.

#### 4.2. Nematocysts Venom Extraction and Preparation

Nematocysts were isolated from the excised tentacles as described with slight modification [57]. Briefly, dissected tentacles were submerged in cold seawater at the mass: volume ratio of 1:3 to allow autolysis of the tissues at 4 °C with gentle swirling for 30 min every two hours. This process was repeated for 3 days. The nematocysts suspension was filtered through a plankton net to remove tissue debris and centrifuged at 10,000 rpm for 30 min at 4 °C. Then, the resultant supernatant was collected, lyophilized, and stored at -20 °C.

The crude venom was extracted from freeze-dried nematocysts with a minor modification. In which, 100 mg nematocyst powder was resuspended in 1 mL of phosphate-buffered saline (PBS, pH 7.4, 4 °C) and centrifuged at 15,000 rpm for 15 min at 4 °C [58]. The supernatant was separated and used as the extracted *Rhopilema nomadica* venom stock solution for the present study. The stock solution was aliquoted (to avoid freezing and rethawing) and stored at -20 °C. The protein concentration of the venom was estimated by the Bradford method, and the venom was used based on its protein concentration [59]. In terms of quality control, SDS-PAGE (Sodium dodecyl sulfate-polyacrylamide gel electrophoresis) (Bio-Rad Laboratories, Inc., Hercules, CA, USA) was used regularly to assess the banding pattern of venom proteins. Moreover, IC<sub>50</sub> (concentration of the venom required for 50% inhibition of cell growth) against cell lines has been monitored to check the effectiveness and stability of the venom.

#### 4.3. SDS-Polyacrylamide Gel Electrophoresis

*R. nomadica* crude venom proteins were separated based on their molecular weights by using SDS-PAGE. This technique was carried out using 12% polyacrylamide gel performed by TGX Stain-Free<sup>TM</sup> FastCast<sup>TM</sup> Acrylamide Kit (Bio-Rad Laboratories, Inc., Hercules, CA, USA). Briefly, the sample was boiled at 95 °C for 5 min with the sample loading buffer (4% SDS, 10% 2-mercaptoethanol, 20% glycerol, 0.004% bromophenol blue and 0.125 M Tris HCl, PH 6.8). The protein sample was electrophoresed for 60 min at 150 V, using Tris-glycine running buffer. Protein bands were visualized using stain-free technology and Chemi Doc imager (Bio-Rad Laboratories, Inc., Hercules, CA, USA) [60].

# 4.4. Cell Culture

HepG2 (human hepatocellular carcinoma), MDA-MB-231 (human breast adenocarcinoma), HFB4 (human normal fibroblast), and WI-38 (human normal lung) cell lines used in the present study were purchased from Holding company for biological products and vaccines (VACSERA, Giza, Egypt). Cells were maintained as monolayer in culture in complete medium (RPMI-1640 medium supplemented with 2 mM L-glutamine, 10% heat-inactivated FCS, 100 mg/mL streptomycin, and 100 U/mL penicillin) and were incubated at 37 °C with 5% CO2 in a humidified atmosphere.

# 4.5. Cell Viability by MTT Assay

To evaluate the potential cytotoxic effect of the *R. nomadica* venom on cell viability, an MTT (3-(4, 5-methylthiazol-2-yl)-2, 5-diphenyl-tetrazolium bromide) reduction assay was performed [61,62]. HepG2, MDA-MB-231, HFB4, and WI-38 cells (VACSERA, Giza, Egypt) were seeded in a 96-well plate at a concentration of  $1.0 \times 10^4$  cells/well and incubated at 37 °C for 24 h to settle down. The cells were treated for another 48 h with different concentrations ranging from 0 to 5000 µg/mL of *R. nomadica* venom. 20 µL of MTT solution was added to each well and incubated for an additional 3 h at 37 °C. After the supernatant was removed, dimethyl sulfoxide (200 µL DMSO) was added to each well to resuspend the formazan crystals. Cell viability was determined by measuring optical density at 540 nm using Bio-Tek ELISA multi-well plate reader (Bio-Tek Instruments Inc., Burlington, VT, USA). The cytotoxic effect of the crude venom was determined by comparing the optical density of the treated cells and the untreated cells. Phosphate buffered saline (PBS) was used as a negative control. The IC<sub>50</sub> value was calculated from the dose-response curve.

# 4.6. Cell Cycle Analysis

Flow cytometry assessed the percentage of cellular DNA content was assessed by flow cytometry [37]. HepG2 cells were seeded in a 6-well plate at a density of  $1.0 \times 10^4$  cells/well and incubated at 37 °C for one day before the experiment. The cells were treated with IC<sub>50</sub> concentration of *R. nomadica* venom for 48 h, harvested, and fixed with 70% cold ethanol at

-20 °C overnight. Fresh PBS washed the fixed cells, then stained with PI (50 µg/mL) in the presence of RNase A (10 µg/mL) and incubated for 30 min in the dark. The cellular DNA content was analyzed using a flow cytometer (FACSCalibur, Becton Dickinson, Franklin Lakes, NJ, USA).

# 4.7. Annexin V-FITC/PI Double Staining Assay

HepG2 cells were treated with  $IC_{50}$  concentration of *R. nomadica* venom for 48 h. Both adherent and suspended cells were collected, centrifuged (1000 rpm for 5 min), washed with PBS and resuspended with binding buffer (1×). After that, the cells were stained with 4 µL Annexin V-FITC and 2 µL propidium iodide (PI) (Annexin V-FITC Apoptosis Detection Kit) (Abcam, Cambridge, UK) and incubated for 15 min at 37 °C in the dark. The total apoptotic and necrotic cells were measured by BD FACSCalibur flow cytometer [46].

# 4.8. Western Blot Analysis

HepG2 cells were treated with IC50 concentration of R. nomadica venom for 48 h, and the cell lysate was prepared in cold lysis buffer [100 mM NaCl, 10 mM Tris, 25 mM EDTA, 25 mM EGTA, 1% Triton X-100, 1% NP-40 (pH 7.4)], with 1:300 protease inhibitor cocktail (Sigma-Aldrich, St. Louis, MO, USA) and Phosphatase inhibitor cocktail Tablet (Roche Diagnostics GmbH, Mannheim, Germany). Total protein concentration was determined using the Bradford method before proceeding to the western blotting. Equal amounts (20 µg) of protein samples were loaded into 12% SDS-polyacrylamide gel and separated by Cleaver electrophoresis unit (Cleaver Scientific Ltd., Rugby, UK). They were transferred onto polyvinylidene fluoride (PVDF) membranes (Bio-Rad Laboratories, Inc., Hercules, CA, USA) for 30 min using a Semi-dry Electroblotter (BioRad) at 2.5 A and 25 V for 30 min. The membrane was blocked with 5% nonfat dry milk in TBS-T for two hours at 37 °C. The membrane was incubated overnight at 4 °C with primary antibodies against BAX (1:1000, Cell Signaling Technology, Inc., Danvers, MA, USA), Bcl-2 (1:1500, Cell Signaling Technology), cleaved caspase-3 (1:750, Cell Signaling Technology), p53 (1:1000, Abcam, Cambridge, UK), and  $\beta$ -actin (1:5000, Sigma-Aldrich, St. Louis, MO, USA). Then, the membrane was washed three times with TBS-T and followed by incubation with the corresponding horseradish peroxidase-linked secondary antibodies (1:1000, Dako Ltd., High Wycombe, UK) for another hour at room temperature.  $\beta$ -actin was used as an internal reference protein. Finally, specific protein bands were visualized by a chemiluminescent detecting kit (Perkin Elmer, Waltham, MA, USA). The blot image was captured using a CCD camera-based imager (Chemi Doc imager, BioRad). The bands' intensities were then measured by densitometry using ImageLab software (Bio-Rad Laboratories, Inc., Hercules, CA, USA) [63,64].

#### 4.9. DNA Damage Evaluation

The apoptotic effect induced in HepG2 cells was evaluated by two different techniques that included diphenylamine (DPA) assay and DNA laddering assay.

# 4.9.1. DNA Fragmentation Percentage by Diphenylamine (DPA) Assay

DPA assay is a colorimetrical quantitative method used for assessing the DNA fragmentation caused by the treatment of HepG2 cells by *R. nomadica* venom with the concentration of 25, 50  $\mu$ g/mL for 48 h upon utilizing diphenylamine (DPA) reagent, which binds to deoxyribose [65]. The optical density was measured at 600 nm in the S (fragmented DNA) and the P (intact DNA) fractions. The percentage of fragmented DNA was calculated using the formula:

DNA fragmentation (%) = 
$$[S/(S + P)] \times 100$$
 (1)

### 4.9.2. DNA Laddering Assay

Genomic DNA was extracted from HepG2 cells after the treatment with three different concentrations of *R. nomadica* venom (25, 50  $\mu$ g/mL) for 48 h. Electrophoresis was performed in 8% agarose gel with ethidium bromide staining. Following this, the DNA in gel was visualized under UV and photographed by a digital camera (Canon U.S.A., Inc., Lake Success, NY, USA) [66].

#### 4.10. Hemolysis Assay

Hemolytic activity of *R. nomadica* venom was performed on erythrocytes of humans with some modifications [56]. A blood sample was taken from a healthy adult volunteer after informed consent was obtained. The blood sample was immediately combined with anti-clot material (EDTA) and centrifuged at 5000 rpm for 5 min. Briefly, the erythrocytes suspension in sterile phosphate buffer saline (PBS) was received serial concentrations of the crude venom. After one hour of incubation at room temperature, the cells were centrifuged, and the supernatant was used to measure the absorbance of the liberated hemoglobin at 570 nm. The positive control (100% hemolysis) and the negative control (0% hemolysis) were Triton X-100 and sterile phosphate buffer saline (PBS), respectively. The following equation measured the hemolysis percentage for each sample:

Hemolysis (%) = 
$$[(AS - A)/(AP.C - AN.C] \times 100$$
 (2)

where AS is the mean of absorbance of sample, AN.C is the mean of absorbance of negative control, and AP.C is the mean of absorbance of positive control.

# 4.11. Statistical Analysis

All experiments are achieved in triplicate. The values are shown as mean value  $\pm$  SE and data were analyzed using paired Student's t-test and one-way ANOVA followed by Tukey's test. Statistical significance was assessed by *p* < 0.05.

#### 5. Conclusions

In conclusion, our findings highlighted the anti-proliferative effect of *R. nomadica* venom and clearly showed that the venom extract could induce apoptosis in vitro. Therefore, these data might provide a starting point for the research on using *R. nomadica* venom in the treatment of cancer and hepatocellular carcinoma in particular. However, future investigations may be conducted to investigate the anticancer activities of *R. nomadica* venom in vivo and to characterize and identify the bioactive peptides that exert anticancer activity. Further, future experiments will be planned to investigate cytoprotective properties of *R. nomadica* venom on H<sub>2</sub>O<sub>2</sub>-treated cells in vitro and in vivo models. Moreover, protein and gene expressions of the antioxidative enzymes and oxidative biomarkers for cell membrane lipid peroxidation will be analyzed upon treatment with *R. nomadica* venom. Such experimental work may reveal in detail the redox activity of *R. nomadica* venom.

**Author Contributions:** Conceptualization, M.M.T.; A.H.A.A. and N.E.; methodology, M.M.T.; A.H.A.A.; N.E.; E.F. and F.A.; software and validation, M.M.T.; A.H.A.A. and E.F.; formal analysis investigation, resources, data curation, M.M.T.; A.H.A.A. and N.E.; writing—original draft preparation M.M.T. and N.E.; writing—review and editing, M.M.T. and F.A.; project administration, M.M.T. and A.H.A.A.; funding acquisition, F.A.; E.F. and N.E. All authors have read and agreed to the published version of the manuscript.

**Funding:** Taif University Researchers Supporting Project number (TURSP—2020/222), Taif University, Taif, Saudi Arabia.

**Institutional Review Board Statement:** This study was performed according to the guidelines of the US National Institute of Health (NIH Publication No. 85.23, revised 1985) guides and has been reviewed and approved by the animal care and use according to Zoology Department, Faculty of Science, Port Said University (approved duration: 2020 to 2021).

**Informed Consent Statement:** A blood sample was taken from a healthy adult volunteer after informed consent was obtained.

**Data Availability Statement:** The data presented in this study are available on request from the corresponding author.

**Acknowledgments:** The authors would like to thank the Deanship of Scientific Research at Taif University for funding this work through Taif University Researchers Supporting Project number (TURSP—2020/222), Taif University, Taif, Saudi Arabia.

**Conflicts of Interest:** The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are available from the authors.

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Article



# **Cell Culture Characterization of Prooxidative Chain-Transfer Agents as Novel Cytostatic Drugs**

Victoria Heymans<sup>1</sup>, Sascha Kunath<sup>1</sup>, Parvana Hajieva<sup>2</sup> and Bernd Moosmann<sup>1,\*</sup>

- <sup>1</sup> Evolutionary Biochemistry and Redox Medicine, Institute for Pathobiochemistry, University Medical Center of the Johannes Gutenberg University, 55128 Mainz, Germany; vichey2405@gmail.com (V.H.); sakunath@uni-mainz.de (S.K.)
- <sup>2</sup> Institute for Translational Medicine, MSH Medical School Hamburg, 20457 Hamburg, Germany; parvana.hajieva@medicalschool-hamburg.de
- \* Correspondence: moosmann@uni-mainz.de; Tel.: +49-6131-39-26707

Abstract: Prooxidative therapy is a well-established concept in infectiology and parasitology, in which prooxidative drugs like artemisinin and metronidazole play a pivotal clinical role. Theoretical considerations and earlier studies have indicated that prooxidative therapy might also represent a promising strategy in oncology. Here, we have investigated a novel class of prooxidative drugs, namely chain-transfer agents, as cytostatic agents in a series of human tumor cell lines in vitro. We have found that different chain-transfer agents of the lipophilic thiol class (like dodecane-1-thiol) elicited half-maximal effective concentrations in the low micromolar range in SY5Y cells (human neuroblastoma), Hela cells (human cervical carcinoma), HEK293 cells (immortalized human kidney), MCF7 cells (human breast carcinoma), and C2C12 cells (mouse myoblast). In contrast, HepG2 cells (human hepatocellular carcinoma) were resistant to toxicity, presumably through their high detoxification capacity for thiol groups. Cytotoxicity was undiminished by hypoxic culture conditions, but substantially lowered after cellular differentiation. Compared to four disparate, clinically used reference compounds in vitro (doxorubicin, actinomycin D, 5-fluorouracil, and hydroxyurea), chaintransfer agents emerged as comparably potent on a molar basis and on a maximum-effect basis. Our results indicate that chain-transfer agents possess a promising baseline profile as cytostatic drugs and should be explored further for anti-tumor chemotherapy.

**Keywords:** chain-transfer agent; chemotherapy; free radical chain reaction; lipid peroxidation; lipophilic thiol; oxidative cell death; prooxidative drug; radical propagation; rate-limiting step

# 1. Introduction

Despite tremendous successes in the last few decades, there is a continuing demand for new lead structures in oncology. One of the reasons behind this demand is the still sobering survival rate observed with many different types of cancer. For instance, 5year-survival rates after cancer diagnosis in the US between 2008 and 2014 have been reported to be only 9% for pancreas, 18% for liver, 19% for esophagus, and 19% for lung [1]. Moreover, the increasing cost of many newer drugs has become a serious concern [2]. To meet these challenges, drug candidates would be particularly interesting that reach beyond the established therapeutic principles [3]. In general, the most difficult task in generating novel and tolerable cytostatic drugs for chemotherapy has been the identification of new biochemical aspects in which tumor cells are substantially and "drugably" different from normal, differentiated cells and normal, but regularly dividing cells such as stem cells.

In recent years, there has been an increasing awareness that redox metabolism in tumor cells is substantially altered, pointing at the presence of a generalized prooxidant state [4–7]. Specifically, certain tumor cells appear to exhibit reduced antioxidant enzyme activities [8] and increased production of reactive oxidative species (ROS) due to flavoprotein activation [9,10] or, potentially, mutation accumulation in the mitochondrial DNA [5].

Citation: Heymans, V.; Kunath, S.; Hajieva, P.; Moosmann, B. Cell Culture Characterization of Prooxidative Chain-Transfer Agents as Novel Cytostatic Drugs. *Molecules* 2021, 26, 6743. https://doi.org/ 10.3390/molecules26216743

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 8 October 2021 Accepted: 4 November 2021 Published: 8 November 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). In consequence, a prooxidative treatment strategy for cancer was proposed, based on the idea that an additional elevation of ROS levels in cancer cells would lift these cells above a toxic threshold, whereas the same lift in normal cells would perhaps damage, but not kill the cells [4,6,7]. The latter idea was rationalized by the recognition that established therapeutic regimes such as radiotherapy [11,12] or photodynamic therapy [13] also have a strong prooxidant functional component.

Despite an impressive number of different approaches towards prooxidant tumor therapy [4,6,14–16], none of those has seemingly involved the direct "sensing" of the elevated level of ROS or free radicals in tumor cells as criterion to distinguish between tumor cells and normal cells. Therefore, based on recent work describing the unique catalytic behavior of so-called "chain-transfer agents" in biological cells [17], we have investigated the cytostatic potential of these prooxidative agents in a series widely utilized tumor cell lines in vitro. Chain-transfer agents are generally reducing chemicals, whose prooxidative action in vivo only materializes after intracellular oxidation by endogenous free radicals. Thus, we hypothesized that these agents may indeed represent sensors of the elevated free radical tone in tumor cells.

Very different structural classes of compounds can exhibit chain-transfer activity in the test tube, among them metal complexes [18], thiols [19], trithiocarbonates [20] and nitroxides [21]. All of these compounds are widely used in polymer chemistry to control the outcome of radical polymerization processes [22]. In the present tumor biological study, we have focused on lipophilic thiols as lead compounds for three main reasons: (i) they appeared to be the most compatible with an aqueous, biological context, (ii) they have already been demonstrated to exhibit chain-transfer activity in cell culture and in vivo [17], and (iii) there is strong evidence that thiol-type chain-transfer activity might have significantly shaped biochemical evolution in the past [23,24].

#### 2. Results

2.1. Comparative Evaluation of Chain-Transfer Agents as Anti-Proliferative Drugs in Four Human Tumor Cells Lines

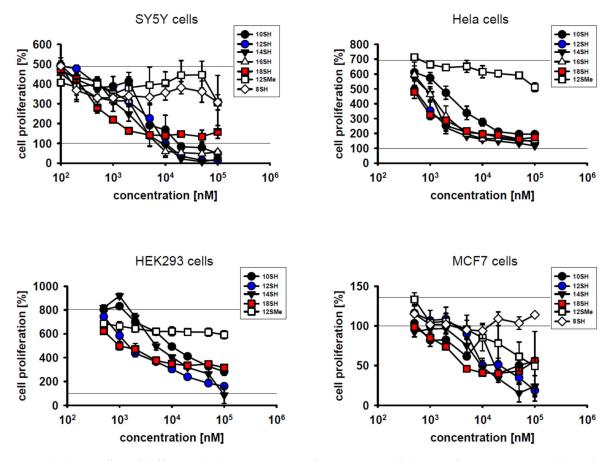
A series of linear primary thiols with incremental lipophilicity, ranging from octane-1-thiol (8SH) to octadecane-1-thiol (18SH) (Table 1), was investigated in cell culture for potential cytostatic effects at nanomolar and micromolar concentrations during a 3-day incubation period.

Compound	Abbreviation	Purity	Lipophilicity (logP)	
Octane-1-thiol	8SH	98.5%	3.47	
Decane-1-thiol	10SH	99%	4.30	
Dodecane-1-thiol	12SH	98%	5.14	
Tetradecane-1-thiol	14SH	98%	5.97	
Hexadecane-1-thiol	16SH	97%	6.80	
Octadecane-1-thiol	18SH	98%	7.64	
1-Methylsulfanyldodecane	12SMe	97%	5.39	

Table 1. Selected properties of the thiols and thioethers investigated in this work.

The results in Figure 1 indicate that lipophilic thiols were efficient inhibitors of cell proliferation in diverse types of cultivated tumor cells, namely SY5Y human neuroblastoma cells, Hela human cervical carcinoma cells, HEK293 immortalized human kidney cells, and MCF7 human breast carcinoma cells. With respect to the inhibition of cell proliferation, half-maximal effective concentrations (EC<sub>50</sub> values) in the single-digit micromolar range were attained in all cell lines (Figure 1; Table 2). In general, more lipophilic compounds were more effective in terms of their EC<sub>50</sub> values. This relationship was not linear, however, as a strong increase in efficacy was noted between 8SH and 10SH, whereas only a modest additional increase was seen with the more highly lipophilic compounds. Hence, cytotoxicity was apparently restricted to compounds beyond a certain lipophilicity threshold (logP = 4)

as noted before [17]. This observation probably relates to the fact that less lipophilic thiols, after conversion to chain-transferring thiyl radicals, might reversibly protrude from the lipid bilayer and react with glutathione, which would blunt chain-transfer catalysis [17]. More highly lipophilic thiyl radicals, however, are probably restricted to the lipid bilayer permanently and thus cannot be scavenged by aqueous glutathione.



**Figure 1.** Inhibitory effect of different thiol-type chain-transfer agents on cellular proliferation in SY5Y cells, Hela cells, HEK293 cells and MCF7 cells. Compound abbreviations are explained in Table 1. Cellular proliferation was assessed by metabolic MTT assay as described in the Materials and Methods. The control line at 100% represents the metabolic activity of the adherent cells at the beginning of the experiment; the variable, upper control line represents the final activity of the cells after the 3-day experiment. Note that MCF7 cells exhibited a much lower cell division rate than the other cells, amounting to less than one population doubling over the course of the experiment.

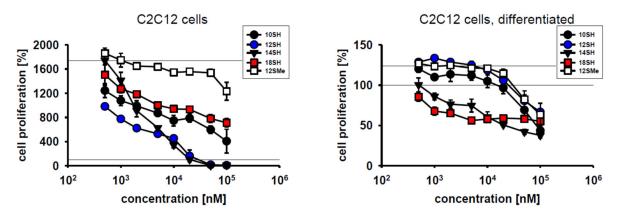
**Table 2.** Half-maximal effective concentrations ( $\mu$ M) of the chain-transfer agents and the control compounds investigated in this work.

Compound	SY5Y	SY5Y, 1% O <sub>2</sub>	Hela	HEK293	MCF7	C2C12	C2C12, Differ.	HepG2
8SH	>100	>100	-	-	>100	-	-	-
10SH	$5\pm1$	$4\pm 2$	$5\pm3$	$20\pm 8$	$9\pm1$	$5\pm1$	$60 \pm 40$	>100
12SH	$4\pm 1$	$4\pm 1$	$1\pm0.2$	$4\pm 1$	$9\pm1$	$0.7\pm0.2$	>100	>100
14SH	$2\pm0.5$	$2\pm0.5$	$2\pm0.5$	$9\pm5$	$6\pm 2$	$3\pm1$	$10\pm 2$	$90\pm40$
16SH	$2\pm0.5$	$4\pm 1$	$2\pm1$	-	-	-	-	-
18SH	$0.8\pm0.5$	$6\pm4$	$1\pm0.2$	$4\pm3$	$2\pm1$	$30\pm8$	>100	>100
12SMe	>100	>100	>100	>100	$40\pm10$	>100	>100	>100
Dox	$0.05\pm0.01$	-	< 0.01	-	-	-	-	-
Act	$2\pm1$	-	$0.3\pm0.1$	-	-	-	-	-
FU	$5\pm1$	-	$0.8\pm0.2$	-	-	-	-	-
HU	$80\pm20$	-	$70 \pm 20$	-	-	-	-	-

On the other hand, very long-chain thiols like 18SH tended to be somewhat less effective in the killing of already present cells, potentially due to limited penetration of established cells in the culture. Still, such a cytotoxic effect (i.e., a value of less than 100% in the graphs in Figure 1) was only observed in certain cell lines like SY5Y, but not in Hela cells. Notably, the compound 12SMe, which is not a chain-transfer agent, but a chain-transfer negative control for the compound 12SH, generally did not affect cell proliferation up to the highest concentration tested (100  $\mu$ M) (except in MCF7 cells; Table 2). This result verifies that the thiol group of the active agents caused their toxicity, as would be expected for chain-transfer agents [17,19]. Nonspecific alkyl group overload effects were apparently irrelevant for the noted cytostatic effects.

#### 2.2. Effect of Cellular Differentiation on Chain-Transfer Agent Cytotoxicity

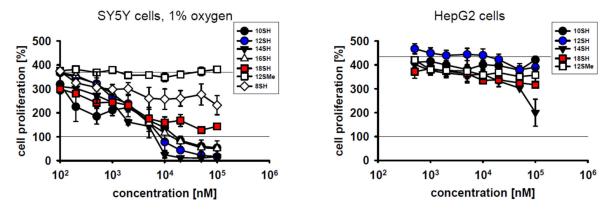
Cytotoxic compounds for clinical use should exhibit efficacy towards dividing cells, but should ideally spare differentiated, quiescent cells. To test the behavior of chaintransfer agents in this respect, mouse myoblast C2C12 cells were chosen because they divide very rapidly under cultivation conditions with FCS, but differentiate rapidly upon serum withdrawal at high cell densities [25]. Within 3 days of cultivation, C2C12 cells achieved approximately 4 population doublings (~1700% proliferation) (Figure 2). C2C12 cell proliferation was not inhibited by the thioether control compound 12SMe, whereas the thiol compound 12SH fully blocked cell division at a concentration of 20  $\mu$ M, with half-maximal efficacy at approximately 1 µM (Figure 2). Differentiated C2C12 cells were significantly less affected by chain-transfer agent toxicity, as the obtained survival curves were shifted to the right by about one order of magnitude. This indicates an approximately 10x lower toxicity of chain-transfer agents upon cellular differentiation (Figure 2, Table 2). The highly lipophilic alkyl thiol 18SH apparently reduced the viability of the plated, differentiated cells by up to 50%, but without a clear dose-response. This finding might indicate some nonspecific toxicity of long-chain alkyl compounds in differentiated myoblasts that is unrelated to chain-transfer activity. The latter idea is supported by the fact that in differentiated cells, the formerly observed, wide gap between 12SH and 12SMe (Figure 2, left) completely collapsed, with coinciding survival curves for both compounds (Figure 2, right).



**Figure 2.** Cytotoxic effect of chain-transfer agents in naïve vs. differentiated C2C12 cells. Compound designations are used as in Table 1. The employed differentiation protocol involving serum withdrawal of a confluent culture led to a significant reduction of proliferation from ~1700% to ~125% as assessed by MTT assay.

#### 2.3. Potential Limitations of Chain-Transfer Agents as Cytostatic Drugs

Low tumor oxygenation (hypoxia) in solid tumors is of major relevance for tumor cell behavior and treatability [26]. Specifically, tumor hypoxia may induce genomic instability of the tumor cells, exert local immunosuppressive effects, and it frequently leads to cancer cell spreading and tumor dissemination [26–28]. Importantly, hypoxia is known to limit the efficacy of radiotherapy [26]. Hence, it was investigated whether hypoxic conditions may also curtail the cytostatic potency of prooxidative chain-transfer agents. Cultivation of SY5Y cells under 1% oxygen slightly reduced their baseline proliferative capacity as expected (Figure 3). However, there were no relevant changes in the cytostatic and cytotoxic activity of the tested compounds (Figures 1 and 3);  $EC_{50}$  values were essentially identical at 1% O<sub>2</sub> and 20% O<sub>2</sub> (Table 2). This somewhat surprising result may be accounted for by the fact that even at only 1% O<sub>2</sub>, other steps of prototypical radical chain reactions are slower (and thus rate-limiting) than steps involving the O<sub>2</sub> molecule itself, as detailed in the Discussion.

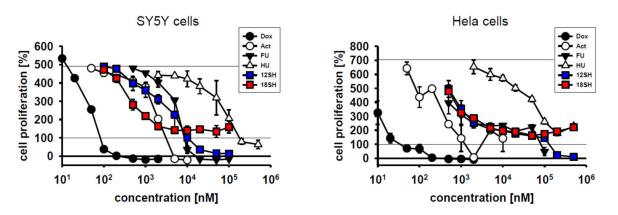


**Figure 3.** Cytotoxicity of chain-transfer agents in SY5Y cells under hypoxic culture conditions and in HepG2 cells. Compound-treated SY5Y cells were cultivated at 1% oxygen partial pressure under otherwise unchanged conditions for 3 days. Hypoxic culture conditions only modestly lowered baseline proliferation of the SY5Y cells from ~500% to ~400% as per MTT assay. HepG2 hepatocellular carcinoma cells were cultivated at 20% oxygen partial pressure and evaluated as in Figure 1.

Hepatocellular carcinoma is a malignant disease characterized by low 5-year survival rates of about 15% [29]. One of the origins of therapeutic futility in this cancer is cellular chemoresistance involving very effective drug expulsion and drug metabolism, among other mechanisms [29]. Human hepatocellular carcinoma cells (HepG2 cells) were thus added to the spectrum of tumor cell lines investigated in this work. The results in Figure 3 demonstrate that HepG2 cells were indeed entirely resistant to lipophilic thiol toxicity, as plausibly explained by the superior thiol detoxification capacity described for the liver [30]. Whether chain-transfer agents with other lead structures may overcome HepG2 cell resistance remains to be determined.

### 2.4. Comparison of 12SH and 18SH with Four Clinically Established Cytostatic Drugs

To achieve a quantitative assessment of chain-transfer agent cytostatic potential in direct comparison with established anti-tumor drugs, the compounds doxorubicin (a DNA intercalator and topoisomerase inhibitor), actinomycin D (a transcriptional inhibitor), 5-fluorouracil (a thymidylate synthase inhibitor), and hydroxyurea (a ribonucleotide reductase inhibitor) were chosen as reference standards. These compounds were investigated in SY5Y cells and Hela cells under identical conditions as the chain-transfer agents before. The results in Figure 4 demonstrate that all four clinical reference compounds acted as cytostatic drugs in both cell lines, but with vastly differing molar efficacies spanning five orders of magnitude;  $EC_{50}$  values are provided in Table 2. Notably, the chain-transfer agents 12SH and 18SH were both localized right in the middle of the efficacy spectrum, most closely resembling actinomycin D in SY5Y cells, and 5-fluorouracil in Hela cells. Certain reference compounds, namely doxorubicin and actinomycin D, were particularly effective in the killing of the initially plated cells (i.e., they achieved a value of less than 100% in the graphs in Figure 4), beyond their inhibition of cell proliferation. Hydroxyurea, however, similarly as 18SH, only inhibited proliferation, but did not kill existing cells up to the highest concentration tested (500  $\mu$ M). It is unclear at present whether the killing of initially plated cells under the employed conditions should be viewed as desirable for an



anti-tumor drug, because it might also predict the killing of non-tumor, preexisting cells in vivo.

**Figure 4.** Cytostatic efficacy of chain-transfer agents in comparison with doxorubicin (Dox), actinomycin D (Act), 5-fluorouracil (FU) and hydroxyurea (HU). SY5Y cells and Hela cells were investigated after 3-day treatment under standard cultivation conditions as in Figure 1; the curves for 12SH and 18SH were adopted from that figure.

#### 3. Discussion

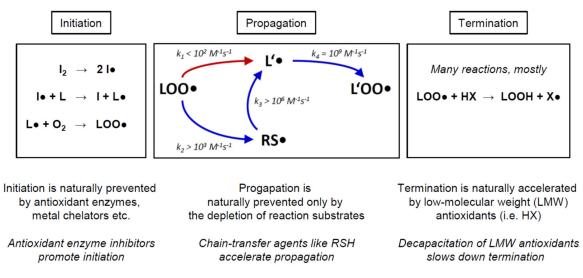
In this work, we provide initial evidence that chain-transfer agents might become useful anti-cancer drugs of an entirely novel mechanistic class, for which we would propose the term "prooxidative amplifiers". With  $EC_{50}$  values in the low micromolar range, chain-transfer agents exerted cytostatic effects at approximately the same concentrations as traditional and clinically administered anti-cancer agents like actinomycin D and fluorouracil under identical testing conditions (Figure 4, Table 2). The cytostatic activity of the chain-transfer agents was undiminished by hypoxic culture conditions (Figure 3), which is relevant for the potential treatment of solid, hypoxic tumors. Cellular differentiation, however, led to an increase in  $EC_{50}$  in the investigated cell line (C2C12) by approximately one order of magnitude, and it was accompanied by substantially lowered maximum effects (Figure 2), which would fulfill a second, important prerequisite for anti-tumor drugs. On the other hand, the chain-transfer agents were ineffective in hepatocellular carcinoma cells (Figure 3), presumably due to rapid drug metabolism and inactivation. Therefore, chain-transfer agents are obviously not universal cytotoxins, but will require serial screening for the most promising fields of application.

In a cell biological context, chain-transfer agents of the lipophilic thiol class accelerate free radical chain reactions, which leads to a heightened toxicity of the low levels of free radicals naturally produced by the cell [17]. In particular, chain-transfer agents in normal human diploid fibroblasts expedited lipid peroxidation, as evidenced by lowered levels of phospholipid poly-unsaturated fatty acids (PUFAs), and sharply elevated the levels of 8-isoprostanes and trans-fatty acids [17]. Moreover, increased protein oxidation, especially of membrane proteins, was observed, which was accompanied by a massively induced cellular stress response. Similar findings were made in *C. elegans* in vivo [17]. Hence, chain-transfer agents in living cells evoke a well-characterized spectrum of biochemical changes and subsequent compensatory responses related to oxidative stress. Essential starting point of this prooxidative amplification is the presence of naturally formed, endogenous initiator radicals, because in contrast to many classic prooxidant pharmaceuticals like artemisinin, chain-transfer agents by themselves are reducing chemicals whose complete catalytic cycle has to be considered in order to appreciate their overall prooxidant activity, as detailed below.

As many tumor cells appear to possess higher steady-state levels of endogenous initiator radicals than normal cells [4,6,8–10], the accelerating catalysis of the chain-transfer agents might be used to achieve a specific toxic effect in tumor cells, whereas normal cells would be relatively spared [4,6,7]. Unlike other prooxidant anti-cancer strategies evaluated

so far [4,6], chain-transfer agents would thus mechanistically respond to the difference in oxidant tone between tumor cells and normal cells, to proportionally potentiate this difference [17]. Thereby, they would act as "pathologically activated therapeutics" [31]. In our view, this mechanistic feature might be an important advantage compared to more traditional strategies such as antioxidant enzyme inhibition or direct prooxidation [4,6], which usually add oxidative reactivity to many cell types in a relatively non-specific fashion.

In the following, we would like to provide a brief overview of the biochemical mechanism of chain-transfer agents in vivo, to illustrate the differences between the various prooxidative strategies proposed for cancer treatment. Biological and cytotoxic damage from free radicals is foremost related to radical chain reactions, which can produce extensive damage once started (Figure 5). The arguably most important such chain reaction is lipid peroxidation [32,33]. As sketched in Figure 5, lipid peroxidation is started by the initiation step, which involves the attack of a variable initiator radical (I•) on a lipid (L), usually followed by a rapid reaction of the ensuing lipid radical (L•) with ambient molecular oxygen  $(O_2)$  to yield a lipid peroxyl radical (LOO $\bullet$ ). During propagation, the lipid peroxyl radical (LOO•) slowly radicalizes another lipid (L') to yield another lipid radical (L' $\bullet$ ), which again rapidly adds oxygen to produce a lipid peroxyl radical (L'OO $\bullet$ ). The latter product may then attack yet another lipid, resulting in a potentially endless chain reaction as long as enough substrates (lipid L' and  $O_2$ ) are present. Termination may be effectuated by a variety of mechanisms, predominantly the donation of a hydrogen radical by a low-molecular weight antioxidant (HX) to a lipid peroxyl radical (L'OO•). This step results in two relatively stable products to be disposed of or recycled, namely a lipid hydroperoxide (LOOH) and an antioxidant radical  $(X \bullet)$ .



**Figure 5.** Prooxidative mechanism of chain-transfer agents in living cells, exemplified by the lipid peroxidation reaction. Chemical reactions involving free radicals in living cells frequently present as radical chain reactions (RCRs). RCR possess three kinetically independent elementary steps, namely initiation, propagation, and termination. Antioxidant or prooxidant chemicals and enzymes are generally characterized by their specific interference with only one of these elementary steps. For example, hydrogen peroxide typically accelerates initiation, whereas vitamin E accelerates termination; both do not affect propagation. In contrast, chain transfer agents specifically accelerate radical propagation. More details are provided in the Discussion. The abbreviations denote: initiator (I<sub>2</sub>); initiator radical (I $\bullet$ ); lipid radical (L $\bullet$ ); molecular oxygen (O<sub>2</sub>); lipid peroxyl radical (LOO $\bullet$ ); a second lipid (L', omitted for clarity); a second lipid radical (L' $\bullet$ ); a second lipid peroxyl radical (LOO $\bullet$ ); antioxidant radical (X $\bullet$ ); rate constant (k<sub>X</sub>). The propagation scheme and the rate constants were adopted from [17].

The rate-limiting step of propagation is the formation of the lipid radical L'• (Figure 5, red arrow). Importantly, it has been argued that propagation may also constitute the rate-

limiting step of the overall chain reaction in many biological systems, since it is certainly the most difficult of the three elementary steps (initiation, propagation, termination) to be modified by acute cellular intervention or long-term evolutionary adaptation [34,35]. Notably, it is this very step that is bypassed and thereby accelerated by chain-transfer agents such as lipophilic thiols (RSH). Moreover, adverse chain-transfer catalysis by lipid bilayer thiol groups may also explain why these groups appear to be negatively selected for during evolution [24,36]. Representative rate constants for the propagation reaction are given in Figure 5, indicating that thiol-type chain transfer agents would usually accelerate propagation by more than  $10 \times$ , yet depending on the actual substrate concentrations present. Detailed quantitative considerations analyzing these factors and their biological implications have been published [17].

Importantly, propagation cannot be easily modified by adaptive enzymatic responses of the cell, as it formally depends only on the concentration of the lipid substrate L', the concentration of oxygen, and the temperature. In a tumor biological context, hardly any of these factors may become relevant as a mechanism of tumor cell chemoresistance. First, temperature is widely constant in the human body. Second, oxygen concentration is certainly of interest and has been extensively discussed in terms of its impact on tumor behavior, progression, and treatability [5,26–28]. However, as regards its impact on lipid peroxidation and other radical chain reactions, the reaction rate of carbon-centered radicals with oxygen is so fast (Figure 5,  $k_4 \approx 10^9 \text{ M}^{-1} \text{s}^{-1}$ ) that even a 100× lower oxygen concentration in tumors arguably would not make this reaction rate-relevant [17]. Experimentally, we have investigated SY5Y cells cultivated under 20% and 1% oxygen partial pressure, and we have not seen any notable differences in their susceptibility to chain-transfer agent toxicity (Figure 3, Table 2). Finally, the concentrations of the lipid substrates need to be considered. As judged from the reactivities of saturated vs. mono-unsaturated vs. polyunsaturated fatty acids, only the latter are of general relevance [17]. Because the degree and type of lipid unsaturation are largely preset by the biological species and the tissue that is analyzed [37,38], however, there is only a modest chance for a tumor cell to adaptively respond to and thus escape the toxic action of a chain-transfer agent. Altered PUFA usage has been described for a variety of tumor cell types already, but the effect sizes were generally smaller than  $2 \times$  and thus negligible in a reaction rate context [39–41]. Therefore, an adaptive escape of tumor cells from chain-transfer agent toxicity is very unlikely, such that it appears paramount to assess and identify those tumor cell types whose baseline properties at the outset are the most promising [8–10].

To date, there is only basic information available about the pharmacodynamics and toxicology of the employed chain-transfer agents. According to the manufacturer-provided chemical safety record, the reference compound 12SH is non-genotoxic (as per Ames test, micronucleus test and sister chromatid exchange assay), non-teratogenic, and devoid of reproductive toxicity in mice [42]. After oral application in rats, the half-lethal dose (LD<sub>50</sub>) was higher than 5000 mg/kg (~25 mmol/kg), apparently the highest dose tested. For comparison, 5-fluorouracil was positive in all genotoxicity assays and half-lethal in rats at 230 mg/kg (~1.8 mmol/kg) [43]. Actinomycin D, in turn, has been reported to be half-lethal in rats already at 7.2 mg/kg (~0.0057 mmol/kg) following oral administration [44].

The current study has two major limitations. First, in vivo data from an accepted animal model are not available yet. Such data would be essential for the assessment of the selectivity of the presented chain-transfer agents for tumor cells vs. normal cells in a wholebody context. Second, the chemical mechanism of chain-transfer catalysis elaborated before in fibroblasts [17] was not rechecked in the presently investigated tumor cells. Still, since the original report [17] has shown coherent effects in two rather different experimental systems (diploid human lung fibroblasts and *C. elegans* nematodes), it appears plausible that a related mechanism also accounts for the here described cytotoxicity in tumor cells.

# 4. Materials and Methods

# 4.1. Chemicals and Reagents

The investigational thiols and thioethers were obtained from the following sources: octane-1-thiol (8SH; CAS 111-88-6) was from Sigma-Aldrich, St. Louis, MO, USA (#471836, purity  $\geq$ 98.5%); decane-1-thiol (10SH; CAS 143-10-2) was from Sigma-Aldrich (#705233, purity 99%); dodecane-1-thiol (12SH; CAS 112-55-0) was from Sigma-Aldrich (#471364, purity  $\geq$ 98%); tetradecane-1-thiol (14SH; CAS 2079-95-0) was from Sigma-Aldrich (#87193, purity  $\geq$ 98%); hexadecane-1-thiol (16SH; CAS 2917-26-2) was from Alfa Aesar, Ward Hill, MA, USA (#L15099, purity 97%); octadecane-1-thiol (18SH; CAS 2885-00-9) was from Sigma-Aldrich (#641480, purity 97%). The lipophilicities of these compounds were calculated as octanol-water partition coefficients (logP) with the ChemPropPro tool that is part of the ChemBio3D 13.0 software package (PerkinElmer, Waltham, MA, USA).

Reference cytostatic drugs were purchased from the following suppliers: doxorubicin hydrochloride (Dox; CAS 25316-40-9) was from Cayman Chemicals, Ann Arbor, MI, USA (#15007; purity  $\geq$ 98%); actinomycin D (Act; CAS 50-76-0) was from Cayman Chemicals (#11421; purity  $\geq$ 95%); 5-fluorouracil (FU; CAS 51-21-8) was from Sigma-Aldrich (#F6627; purity  $\geq$ 99%); hydroxyurea (HU; CAS 127-07-1) was from Sigma-Aldrich (#H8627; purity 98%).

All standard laboratory chemicals and solvents were from Sigma-Aldrich. Cell culture reagents including DMEM (#41965-039), sodium pyruvate (#11360-039), penicillin/streptomycin (#15240-062), and trypsin/EDTA (#15400-054) were from Gibco, Carlsbad, CA, USA, except for FCS (#S181BH from Biowest, Nuaillé, France), PBS (#D8537 from Sigma-Aldrich), and antibiotic-antimycotic solution (#A5955 from Sigma-Aldrich). Cell culture dished and flasks were from TPP, Trasadingen, Switzerland, and used without further surface treatment.

### 4.2. Cell Lines and Their Cultivation

SY5Y human neuroblastoma cells were from LGC Standards, Teddington, UK. Hela human cervical carcinoma cells were from the stocks of the Institute for Pathobiochemistry of the University of Mainz and were authenticated by short tandem repeat (STR) analysis as described [45]. HEK293 immortalized human kidney cells and MCF7 human breast carcinoma cells were from the American Type Culture Collection (ATCC), Manassas, VA, USA. C2C12 mouse myoblast cells were from LGC Standards. HepG2 human hepatocellular carcinoma cells were a kind gift from Dr. Alain Lescure (CNRS, Strasbourg, France).

Cell lines were cultivated at 37 °C in an incubator providing a humidified ambient air atmosphere containing 5% CO<sub>2</sub>. Standard growth medium for all cell types was high-glucose DMEM supplemented with 1 mM pyruvate and 10% heat-inactivated FCS. MCF7 cells were further supplemented with 1× penicillin/streptomycin; SY5Y cells, Hela cells and HEK293 cells received 1× antibiotic-antimycotic solution. During routine culture, the cells were grown in 100 mm dishes and were passaged on reaching approximately 80% confluence (C2C12 cells at 60% confluence).

C2C12 cell differentiation was achieved in 96-well-plates in which the cells had grown to confluence over a course of approximately 3 days. Subsequently, the medium was removed and replaced by serum-free, but otherwise unaltered standard medium. Following 3 days of differentiation, the exhausted medium was exchanged, marking the beginning of the experiment. Hypoxia treatments were performed in a separate incubator that flushed the cultivation chamber with external nitrogen until reaching the desired  $O_2$  and  $CO_2$ concentrations. All cells were regularly tested to be negative for contamination with mycoplasma by PCR against the conserved 16S rRNA coding region of the mollicutes using a commercial test kit (Venor GeM Classic from Minerva Biolabs, Berlin, Germany).

# 4.3. Cell Proliferation and Cytotoxicity

The widely employed MTT reduction assay was adopted to a 96-well format in order to quantify cell proliferation and cell survival in response to standardized chemical treatments [46]. Cells were plated at low density in 96-well-plates and cultivated until approximately 25% confluence were reached (within 2–3 days). At this point, parallel plates for the investigational test agents were administered with a minimum of 8 concentrations of each test agent in multiplicates (3–5) for a fixed period of 3 days. All test agents were dissolved as  $100 \times$  stocks in analytical grade ethanol. Reference plates were supplied with vehicle and analyzed immediately, to yield a control value representing the beginning of the experiment (100% proliferation). The test plates, in turn, were incubated for 3 days under the respective condition, before the same treatment applied to the control plates was identically executed on the test plates. For cell proliferation analysis, the cells were administered with 10 µL MTT solution (5 mg/mL 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide in ultrapure water) per 100 μL cultivation medium and incubated at 37 °C for a preset time, dependent on the specific cell line (usually 3 h). Subsequently, the cells were lysed with 100 µL solubilization solution (40% dimethylformamide, 10% SDS, pH 4.0 with acetic acid) for 24 h in the dark, after which microscopic homogeneity of the solution was reached. The effectuated cellular MTT reduction was then quantified photometrically at 560 nm with a standard microplate reader. Blanking was done on medium-filled wells in which the cells had been omitted. Interference of the investigational compounds with the assay procedure was also tested and found to be negative at the employed concentrations.

# 5. Conclusions

Thiol-based chain-transfer agents function as prooxidant cytostatics in a variety of cancer cell lines in vitro. They show similar molar potency as different clinically established anti-cancer drugs, but they may be of lower systemic toxicity due to their mode of action requiring activation by endogenous free radicals. Chain-transfer agents target tumor cells independently of the classic mechanisms (rapid cell division, DNA synthesis, and tumor antigens), but rather exploit the higher levels of initiator free radicals found in many tumor cells. In modern combination therapy, they might thus add an extra level of specificity to standard triple-therapeutic regimens. They might also find their role in the adjuvant amplification of standard radiotherapy, which essentially acts by inducing initiator radicals in the first place.

# 6. Patents

The University Medical Center of the Johannes Gutenberg University, Mainz, Germany, has filed a patent pertaining to the use of chain-transfer agents as medicinal drugs (PCT Int. Appl. (2021), 44 pp., WO 2021/105435).

**Author Contributions:** Conceptualization, P.H. and B.M.; methodology, all authors; validation, V.H., S.K. and B.M.; formal analysis, V.H.; investigation, V.H.; resources, S.K.; data curation, V.H.; writing—original draft preparation, B.M.; writing—review and editing, all authors; visualization, V.H. and B.M.; supervision, B.M.; funding acquisition, P.H. and B.M. All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded by the Volkswagen Foundation, grant number 95462.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

**Data Availability Statement:** The data presented in this study are available on request from the corresponding author.

Acknowledgments: The authors would like to thank Heike Nagel for conducting the mycoplasma screening.

**Conflicts of Interest:** The authors declare no conflict of interest beyond the above-mentioned PCT patent application by the authors' scientific institution. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

**Sample Availability:** All chemicals and cell lines employed in this work are available from commercial sources.

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# Article The Implication of Low Dose Dimethyl Sulfoxide on Mitochondrial Function and Oxidative Damage in Cultured Cardiac and Cancer Cells

Nonhlakanipho F. Sangweni <sup>1,2,\*</sup>, Phiwayinkosi V. Dludla <sup>1</sup>, Nireshni Chellan <sup>1,2</sup>, Lawrence Mabasa <sup>1</sup>, Jyoti R. Sharma <sup>1</sup>, and Rabia Johnson <sup>1,2,\*</sup>

- <sup>1</sup> Biomedical Research and Innovation Platform (BRIP), South African Medical Research Council, Tygerberg 7505, South Africa; phiwayinkosi.dludla@mrc.ac.za (P.V.D.); nireshni.chellan@mrc.ac.za (N.C.); Lawrence.Mabasa@mrc.ac.za (L.M.); jyoti.sharma@mrc.ac.za (J.R.S.)
- <sup>2</sup> Centre for Cardio-Metabolic Research in Africa, Division of Medical Physiology, Faculty of Medicine and Health Sciences, Stellenbosch University, Tygerberg 7505, South Africa
- \* Correspondence: Nonhlakanipho.Sangweni@mrc.ac.za (N.F.S.); rabia.johnson@mrc.ac.za (R.J.)

Abstract: Although numerous studies have demonstrated the biological and multifaceted nature of dimethyl sulfoxide (DMSO) across different in vitro models, the direct effect of "non-toxic" low DMSO doses on cardiac and cancer cells has not been clearly explored. In the present study, H9c2 cardiomyoblasts and MCF-7 breast cancer cells were treated with varying concentrations of DMSO (0.001–3.7%) for 6 days. Here, DMSO doses < 0.5% enhanced the cardiomyoblasts respiratory control ratio and cellular viability relative to the control cells. However, 3.7% DMSO exposure enhanced the rate of apoptosis, which was driven by mitochondrial dysfunction and oxidative stress in the cardiomyoblasts. Additionally, in the cancer cells, DMSO ( $\geq$ 0.009) led to a reduction in the cell's maximal respiratory capacity and ATP-linked respiration and turnover. As a result, the reduced bioenergetics accelerated ROS production whilst increasing early and late apoptosis in these cells. Surprisingly, 0.001% DMSO exposure led to a significant increase in the cancer cells proliferative activity. The latter, therefore, suggests that the use of DMSO, as a solvent or therapeutic compound, should be applied with caution in the cancer cells. Paradoxically, in the cardiomyoblasts, the application of DMSO ( $\leq$ 0.5%) demonstrated no cytotoxic or overt therapeutic benefits.

Keywords: mitochondria; bioenergetics; oxidative stress; apoptosis

#### 1. Introduction

Dimethyl sulfoxide (DMSO) is a versatile compound that is extensively used as a solvent in pharmacology and toxicology to enhance drug delivery, and dissolve numerous drugs and herbal extracts. However, in recent years, increasing evidence has demonstrated that the amphiphilic nature of DMSO allows it to influence diverse biological and medical processes, such as disease pathology and intervention [1,2]. Certainly, the exploration of this amphiphilic solvent in clinical research and cell biology continues to highlight avenues to be meticulously investigated to broaden its use in biomedical science. Generally, the applied concentrations of DMSO are often unreported due to its obvious and frequent use [3]. This, coupled with its apparent low toxicity at concentrations less than 10% and its classification as a class 3 solvent, which is the safest category with low toxic potential, has led to its ubiquitous use and widespread application [3–5]. The influence that DMSO has on cellular mechanisms has been implicated in the modifications of essential cellular structures, such as proteins and DNA, and has been thus, studied for its involvement in cancer and cardiovascular diseases [6,7].

Given the lack of long-term effective therapies against such complications, it remains imperative to study the pharmacological actions of compounds such as DMSO, particularly, by targeting the implications of its non-toxic low doses. Previously, a dose of 0.1% DMSO

Citation: Sangweni, N.F.; Dludla, P.V.; Chellan, N.; Mabasa, L.; Sharma, J.R.; Johnson, R. The Implication of Low Dose Dimethyl Sulfoxide on Mitochondrial Function and Oxidative Damage in Cultured Cardiac and Cancer Cells. *Molecules* 2021, 26, 7305. https://doi.org/ 10.3390/molecules26237305

Academic Editors: Višnja Stepanić, Marta Kučerová-Chlupáčová and Drazen Raucher

Received: 26 October 2021 Accepted: 28 November 2021 Published: 1 December 2021

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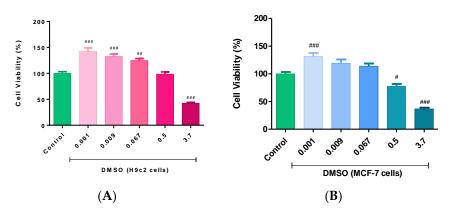


**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). was shown to induce epigenetic modifications, which impaired the expression of genes involved in cellular senescence and DNA repair in a 3D maturing cardiac model [3]. In contrast, 1% DMSO exposure significantly improved the nuclear morphology and antioxidant status of dermal fibroblasts [8]. This improvement was determined to be even higher than that observed in known antioxidants, such as N-acetylcysteine [8] Similarly, in colon cancer cells, low DMSO doses (0.1-1.5%) were shown to reduce the cellular levels of reactive oxygen species (ROS) and the cells proliferative activity relative to the control [9]. Literature has further shown that the addition of DMSO (1%) to culture medium at the formation stage of cardiomyocyte progenitor cells stimulates the differentiation of pluripotent stem cells (PSCs) into cardiomyocytes by a 1.5-fold increase [10]. Conversely, the addition of DMSO before the initiation of embryoid bodies is reported to suppress the differentiation of PSCs and therefore, the formation of cardiomyocytes [10]. Engineered cardiac tissue, which is generated from differentiated PSCs, are reported to have enhanced engraftment rates, as well as increased survival and progressive maturation of human cardiomyocytes [11]. In cancer research, the differentiated PSCs are currently used to develop cancer-based vaccines and have reportedly inhibited the formation of new tumors in 75% adenocarcinomas [12]. While it is evident that the changes in cellular processes following DMSO exposure in the PSCs appear to have some beneficial properties, the direct effect of DMSO on the cardiomyocytes and cancer cells has not been clearly explored. As such, we investigated the biological effect of "non-toxic" low DMSO doses in an in vitro model of cardiac and cancer cells. In this study, special attention was paid into understanding the effects of DMSO on the most studied cytotoxicity parameters, namely, oxidative stress, mitochondrial dysfunction, and resultant apoptosis.

# 2. Results

# 2.1. Cell Viability

In the present study, a range of DMSO concentrations (0.001–3.7%) were selected to determine and compare their cytotoxic profile in the H9c2 cardiomyoblasts and MCF-7 breast cancer cells after a 6-day treatment period. The results obtained revealed a concentration-dependent effect of DMSO, with the highest concentration being cytotoxic to both cell lines relative to the control group (p < 0.001). However, contrary to previous reports, a concentration of 0.5% DMSO had no significant effects on the growth and survival of both cell lines. Interestingly, the H9c2 cells treated with concentrations less than 0.5% DMSO presented with significantly augmented cellular viability relative to the control group (p < 0.001 and p < 0.01) after 6 days of treatment (Figure 1A). These findings were comparable with the effects observed in the viability of the MCF-7 cells (Figure 1B).



**Figure 1.** The effect of dimethyl sulfoxide (DMSO) on cell viability. An overview of the cytotoxicity effects of DMSO on the (**A**) H9c2 cardiomyoblasts and (**B**) MCF-7 breast cancer cells. Briefly, H9c2 and MCF-7 cells were treated with varying DMSO concentrations (0.001, 0.009, 0.067, 0.5, and 3.7%) every second day for 6 days. Untreated cells served as the control. Data are presented as the mean  $\pm$  SEM of 3 biological experiments with 5 technical repeats (n = 3). Significance is indicated as <sup>#</sup> p < 0.05, <sup>##</sup> p < 0.01, and <sup>###</sup> p < 0.001 versus the control.

#### 2.1.1. Mitochondrial Bioenergetics

To determine the effect that DMSO has on the efficiency of mitochondrial oxidative phosphorylation and functionality, we quantified the parameters associated with native cellular respiration and respiration in the presence of known energy metabolism inhibitors. When comparing the energy phenotype inherent to the cardiomyoblasts and breast cancer cells, our data demonstrated that the MCF-7 cells were more energetic than the H9c2 cells, as they had a higher oxygen consumption rate (OCR) and glycolytic activity (Figure 2A). In contrast, the H9c2 cells had a more quiescent energy phenotype, with an inherently lower respiratory capacity than the MCF-7 cells (Figure 2A). In the case of DMSO, H9c2 cells treated with 3.7% DMSO presented with significantly impaired physiological mitochondrial OCR, as seen by the significant loss in the cell's basal respiratory capacity (p < 0.001) when compared to the control (Figure 2B,F). This loss was concomitant with the observed reduction in the cells extracellular acidification rate (ECAR) (Figure 2D). Likewise, MCF-7 cells treated with 3.7% DMSO presented with decreased OCR, ECAR, and basal respiratory activity, relative to the untreated cells (Figure 2C,E,G). Interestingly, DMSO ( $\leq 0.5\%$ ) had no significant effect on the cardiomyoblasts ATP turnover and spare respiratory capacity when compared to the control group (Figure 2J,N). In contrast, DMSO at 3.7% reduced the MCF-7 cells ATP linked respiration, ATP turnover, and maximal respiration relative to the control (Figure 2I,K,M).

Subsequently, we then quantified the respiratory flux ratios, which is an estimation of relative mitochondrial work and function. The results showed an increase in the H9c2 cells respiratory control ratio (RCR) following DMSO exposure with doses  $\leq 0.5\%$  (Table 1). We further observed a significant decrease in the cardiomyoblasts coupling efficiency (p < 0.001), relative to control, thus, indicating a significantly lower proportion of oxygen consumed to stimulate ATP production compared with that driving proton leak. Surprisingly, we observed no significant changes in the MCF-7 cells respiratory flux ratios following DMSO exposure with doses < 3.7% (Table 2).

Flux Ratios	Treatment						
(pmol/min/µg Protein)	Control	0.001	0.009	0.067	0.5	3.7	
State <sub>apparent</sub> Respiratory control ratio Coupling efficiency	$\begin{array}{c} 3.6 \pm 0.1 \\ 6.96 \pm 1.65 \\ 0.91 \pm 0.01 \end{array}$	$\begin{array}{c} 3.5 \pm 0.1 \\ 13.01 \pm 0.79 \ ^{\#} \\ 0.85 \pm 0.04 \end{array}$	$\begin{array}{c} 3.5 \pm 0.1 \\ 15.14 \pm 1.84 \ ^{\text{\#}} \\ 0.79 \pm 0.03 \end{array}$	$\begin{array}{c} 3.7 \pm 0.2 \\ 11.99 \pm 1.24 \ ^{\#} \\ 0.82 \pm 0.01 \end{array}$	$\begin{array}{c} 3.4 \pm 0.1 \\ 11.38 \pm 0.81 \ ^{\#} \\ 0.83 \pm 0.02 \end{array}$	$\begin{array}{c} 3.5\pm0.1\\ 4,90\pm1.20\ ^{\#}\\ 0.64\pm0.03\ ^{\#\#\#}\end{array}$	

The state apparent, respiratory control ratio (RCR) and coupling efficiency of H9c2 cells in the presence or absence of DMSO were derived from the mitochondrial parameters presented in Figure 3A,B. Data represents mean  $\pm$  SEM; n = 6. Significance is indicated as # p < 0.05, # p < 0.01, # p < 0.001 versus the control.

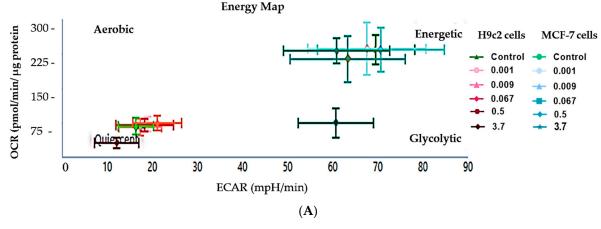
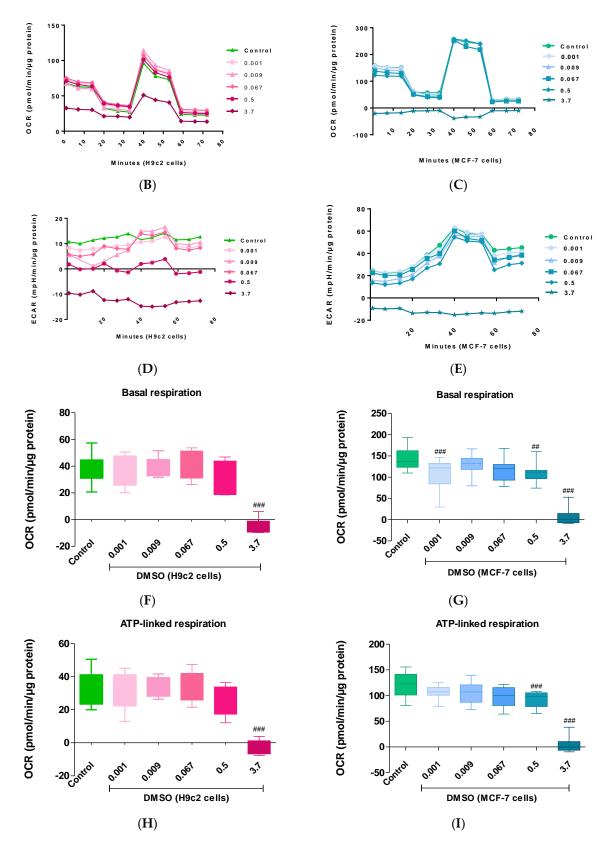
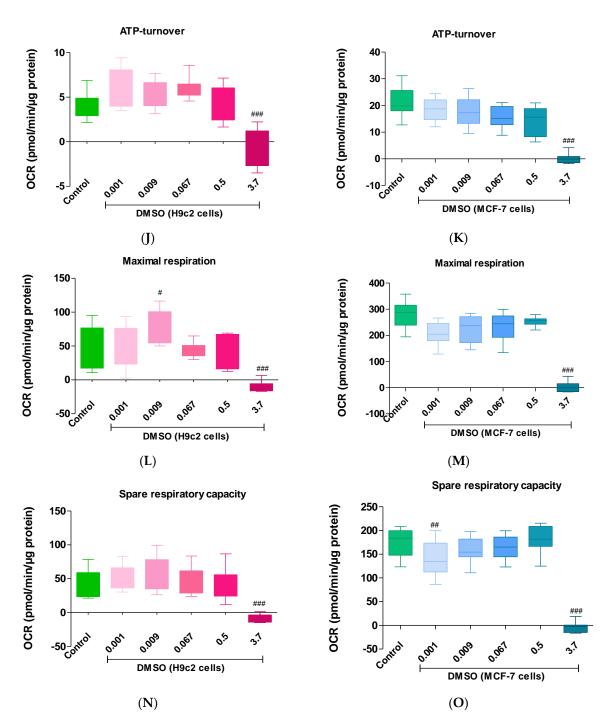


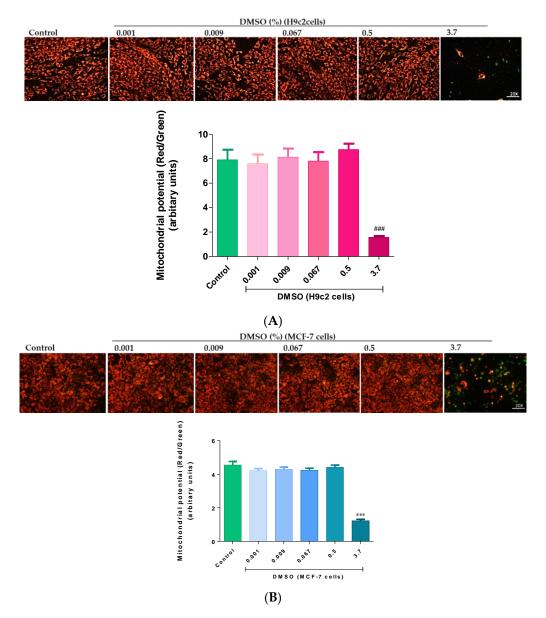
Figure 2. Cont.







**Figure 2.** The effect of dimethyl sulfoxide (DMSO) on the mitochondrial bioenergetics in H9c2 cardiomyoblasts and MCF-7 breast cancer cells. (**A**) Energy phenotype, (**B**,**C**) Oxygen consumption rate (OCR), (**D**,**E**) extracellular acidification rate (ECAR), (**F**,**G**) basal respiration, (**H**,**I**) ATP-linked respiration, (**J**,**K**) ATP turnover, (**L**,**M**) maximal respiration and, (**N**,**O**) spare respiratory capacity. Both cell lines were treated every second day for 6 days with varying DMSO concentrations (0.001, 0.009, 0.067, 0.5, and 3.7%). Data are presented as the mean  $\pm$  SEM of 3 biological experiments with 8 technical repeats (*n* = 3). Significance is indicated as # p < 0.05, # p < 0.01, and ## p < 0.001 versus the control.



**Figure 3.** The effect of dimethyl sulfoxide (DMSO) the mitochondrial integrity of the (**A**) H9c2 cardiomyoblasts and (**B**) MCF-7 breast cancer cells. Cells were treated every second day for 6 days with varying DMSO concentrations (0.001, 0.009, 0.067, 0.5, and 3.7%). Data are presented as the mean  $\pm$  SEM of 3 biological experiments with 5 technical repeats (n = 3). Significance is indicated as <sup>###</sup> p < 0.001 versus the control.

Flux ratios (pmol/min/µg protein)	Treatment						
	Control	0.001	0.009	0.067	0.5	3.7	
State apparent	$3.58\pm0.02$	$3.57\pm0.02$	$3.57\pm0.02$	$3.61\pm0.01$	$3.65\pm0.01$	$3.58\pm0.08$	
Respiratory control ratio	$13.95\pm1.04$	$12.12\pm0.59$	$12.14\pm0.56$	$14.66 \pm 0.55$ <sup>#</sup>	$15.23\pm0.97$	$9.26 \pm 1.23$ <sup>#</sup>	
Coupling efficiency	$0.87\pm0.01$	$0.85\pm0.01$	$0.86\pm0.01$	$0.83\pm0.02$	$0.84\pm0.02$	$0.79\pm0.03$ $^{\#}$	

The state apparent, respiratory control ratio (RCR) and coupling efficiency of MCF-7 cells in the presence or absence of DMSO were derived from the mitochondrial parameters presented in Figure 3A,B. Data represents mean  $\pm$  SEM; n = 6. Significance is indicated as # p < 0.05 versus the control.

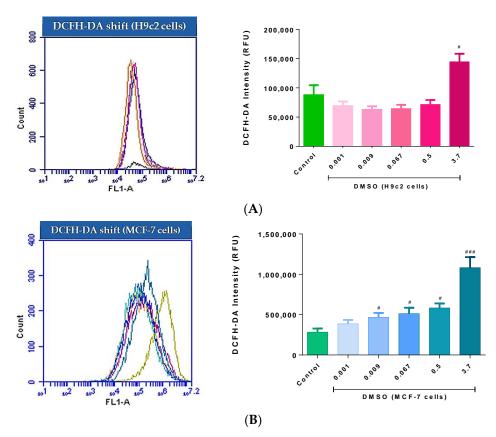
### 2.1.2. Mitochondrial Membrane Potential

Mitochondrial damage is a prominent precursor of decreased cell viability. In the present study, H9c2 cells treated with 3.7% DMSO presented with a significant loss (p < 0.001) in cell viability (due to a loss in MMP) as seen in the JC-1 fluorescent images

versus the control (Figure 3A). Similarly, chronic 3.7% DMSO exposure led to a significant loss (p < 0.001) in MMP and resultant mitochondrial deformities, as seen by the significant reduction in J-aggregate fluorescence intensity in the MCF-7 cells relative to the control group (Figure 3B). However, in both cell lines, the lower concentrations (0.001%, 0.009%, 0.067%, and 0.5%) had no effect on the structural and functional integrity of the mitochondria and were further found to be significantly less toxic than the higher 3.7% DMSO (p < 0.001) (Figure 3A,B).

#### 2.1.3. Oxidative Stress

Impaired mitochondrial bioenergetics and the resultant loss in MMP can alter aerobic metabolism thus, stimulating increased ROS production. Here, the results demonstrated that intracellular ROS activity in the H9c2 cells exposed to 3.7% DMSO was significantly augmented when compared to the control group (p < 0.05) (Figure 4A). This increase in ROS was determined by the dramatic shift in DCFH-DA dye intensity, which is proportional to intracellular hydrogen peroxide concentrations. However, a steady reduction in ROS activity was observed when these cells were treated with DMSO doses  $\leq 0.5\%$  (Figure 4A). Interestingly, a significant increase, in a dose-dependent manner, in intracellular ROS activity was observed in MCF-7 cells treated with either 0.009% (p < 0.05), 0.067 (p < 0.05), 0.5% (p < 0.05), or 3.7% (p < 0.001) DMSO, relative to the control group (Figure 4B). Although not significant when compared to the control, a dose of 0.001% DMSO also elevated ROS in these cells (Figure 4B).



**Figure 4.** The effect of dimethyl sulfoxide (DMSO) on the production of reactive oxygen species (ROS) in (**A**) fluorescent shift of H9c2 cardiomyoblasts and (**B**) fluorescent shift of MCF-7 breast cancer cells. Cells were treated every second day for 6 days with varying DMSO concentrations (0.001, 0.009, 0.067, 0.5, and 3.7%). Data are presented as the mean  $\pm$  SEM of 3 biological experiments with 3 technical repeats (*n* = 3). Significance is indicated as # *p* < 0.05, ### *p* < 0.001 versus the control.

## 2.1.4. Apoptosis

The intricate role of DMSO-induced oxidative stress, which is often exacerbated by mitochondrial damage, accelerates cellular apoptosis. As such, the rate of cell death in H9c2 and MCF-7 cells was investigated following DMSO exposure. Here, only 3.7% DMSO treatment significantly increased early (lower right quadrant; p < 0.001) and late (upper right quadrant; p < 0.001) apoptosis, as could be seen by the significant reduction in the number of live (lower left quadrant) H9c2 cells (p < 0.001) relative to the control. The results further showed a significant increase in the number of necrotic (upper left quadrant) H9c2 cells when compared to the control (Figure 5A–E). In contrast, in the MCF-7 cells, DMSO exposure with doses  $\leq 3.7\%$  also led to a significant (p < 0.05) increase in early and late apoptosis, as demonstrated by enhanced annexin V positive cells (Figure 5F,H). The results further showed a significant increase in the number of cells going into late apoptosis in a dose dependent manner compared to the control (Figure 5I). While treatment with 3.7% DMSO led to a significant reduction in the number of live MCF-7 cells (p < 0.001), the results also demonstrated a significant increase in the rate of necrosis (p < 0.001) (Figure 5G,J).

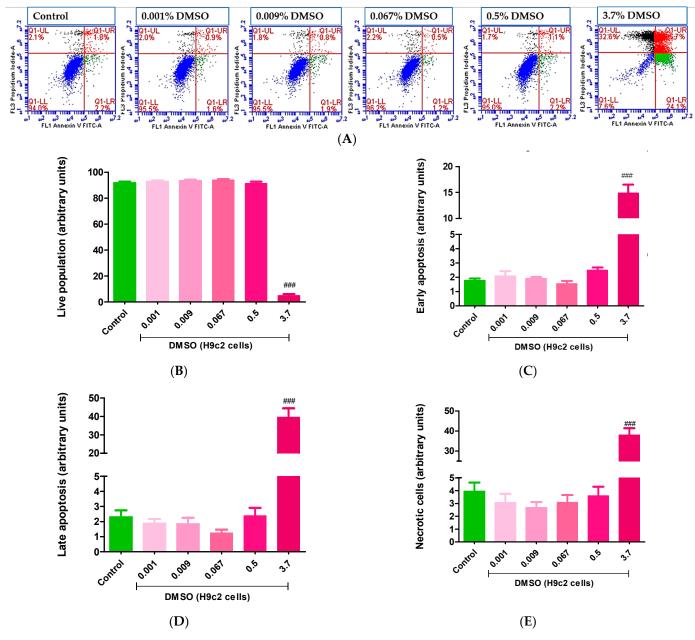
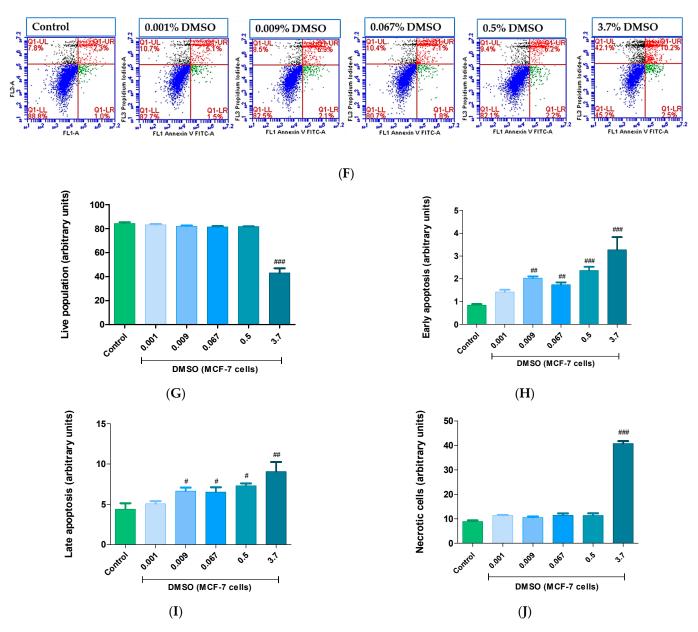


Figure 5. Cont.



**Figure 5.** Dimethyl sulfoxide (DMSO)-induced apoptosis in the H9c2 cardiomyoblasts and MCF-7 breast cancer cells. (**A**) Flow cytometry scatter plot, (**B**) live population, (**C**) early apoptotic, (**D**) late apoptotic and (**E**) necrotic H9c2 cells. (**F**) Flow cytometry scatter plot, (**G**) live population, (**H**) early apoptotic, (**I**) late apoptotic and (**J**) necrotic MCF-7 cells. Cells were treated every second day for 6 days with varying DMSO concentrations (0.001, 0.009, 0.067, 0.5, and 3.7%). Data are presented as the mean  $\pm$  SEM of 3 biological experiments with 3 technical repeats (n = 3). Data are presented as the mean  $\pm$  SEM of 3 biological experiments (n = 3). Significance is indicated as # p < 0.01, # p < 0.01, and # p < 0.001 versus the control.

### 3. Discussion

In many disease models, uncontrolled production of ROS remains a central mechanism accountable for excess accumulation of damaged organelles, translating to tissue injury and other related deleterious effects [13]. Uncontrolled ROS is a known source for the depletion of intracellular antioxidant defense systems, a process implicated in the generation of oxidative stress. Within the cardiovascular system, besides being the precursor of plaque formation through its attack on circulating lipid products to cause endothelial dysfunction [14], oxidative stress can directly cause tissue injury, leading to cardiac fibrosis and conditions such as cardiomyopathies [15]. In cancer research, although the role of oxidative stress is controversial [16], cancer cells display an abnormal redox homeostasis, with very high ROS shown to be cytotoxic [17]. Thus, exploring the role of ROS in redox signaling and in tumor propagation, development, and metastasis, including uncovering associated cellular mechanisms remains relevant to discover effective therapies.

For example, while leading chemotherapeutic agents such as doxorubicin are effective against certain tumours, these drugs are known to present with undesirable side effects such as inducing cardiotoxicity when used for a prolonged period [18,19]. Certainly, current research [19,20], including that from our group [14], is targeting the use of antioxidant therapies to ameliorate oxidative stress-related detrimental effects consistent with the development of cancer and cardiac injury. Here, cultured cardiomyocytes and cancer cells were exposed to different doses of DMSO to understand their modulatory effect on oxidative stress-related parameters, such as mitochondrial function and apoptosis. This is especially important, since while low doses of DMSO can present with some antioxidant properties [21], this effect is known to vary with experimental models. Furthermore, as one of the commonly used solvents to deliver drugs or as a biological drug itself, it remains essential to broaden our understanding on the therapeutic properties of DMSO, especially its dose-dependent effect.

Both H9c2 and MCF-7 cells are widely used to investigate the therapeutic potential of drugs against oxidative stress mechanisms [14,22–26]. In the current study, these cells were exposed to DMSO doses, ranging from 0.001 to 3.7% for 6 days, before assessing endpoints such as cell viability, ROS production, efficiency of the mitochondrial respiratory capacity, changes in mitochondrial membrane potential, as well as markers of early and late apoptosis. Firstly, it was clear that DMSO doses  $\leq 0.067\%$  were not cytotoxic and, in fact, improved viability was observed for both cell lines. Alternatively, a dose of 0.5% had no effect on cell viability but, that of 3.7%, led to a significant reduction in cardiomyoblast viability. Conversely, both doses of 0.5 and 3.7% demonstrated signs of toxicity in the cancer cells. The next question was to determine the oxidative stress-related parameter that may explain improved cell viability with low DMSO doses ( $\leq 0.067\%$ ), or the cytotoxicity seen with high doses (>0.5%) in both cell lines. As one of the major organelles that can determine cellular fate, special attention was paid into understanding how the various doses of DMSO affected mitochondrial respiration. This aspect remained vital to explore since, as part of being the cell's powerhouse, mitochondria are important for ATP production, controlling ROS production and antioxidant activity, as well as regulating apoptosis. The latter defines a highly ordered process that could be severely influenced by harmful stimuli, such as accelerated ROS activity and mitochondrial depolarization [27,28]. As a result, it has become necessary to collectively assess the therapeutic potential of any drug at the cellular level, by measuring its effect on cell viability, mitochondrial function, and apoptosis.

In the current study, we demonstrated that cardiomyoblasts have an inherently lower energy phenotype than breast cancer cells, which presented with a significantly higher oxygen consumption rate (OCR) and glycolytic profile. Here, the cardiomyoblasts displayed a physiologically lower respiratory capacity, which validated their quiescent energy phenotype. Upon DMSO exposure, with 0.001–0.067%, we observed a noticeable improvement in the cardiomyoblasts respiratory control ratio and ATP turnover, but not the breast cancer cells (Figure 3, Tables 1 and 2). Instead, although not significant, these doses appeared to reduce the cancer cells mitochondrial bioenergetics. Briefly, the respiratory control ratio, represented the maximum factorial increase in mitochondrial OCR that could be attained above the leak oxygen prerequisite when driving the conversion of ADP into ATP [29]. This clearly explained the potential capacity of low DMSO doses to improve cell viability and maintain mitochondrial function, whilst protecting against apoptosis in the cardiomyoblasts; an outcome that was not observed in the cancer cells. While these experimental results still need to be confirmed in vivo, it appears that low DMSO doses ( $\leq 0.5\%$ ) may have had a positive effect on the cardiomyoblasts when considering processes that prevent oxidative damage, via improving mitochondrial respiration. Likewise, the findings of this study further demonstrated that the rate of apoptosis in the cardiomyoblasts treated with the "non-toxic" low DMSO doses ( $\leq 0.5\%$ ) was comparable to the severity of apoptosis

observed in the untreated control group. These findings were supported by the preserved live population and reduced number of early and late apoptotic cardiomyoblasts. In contrast, the data showed that 3.7% DMSO exposure presented with severe cardiomyoblast toxicity, as shown by the increased number of apoptotic and necrotic cells.

Interestingly, in the breast cancer cells, 3.7% DMSO exposure impaired the cells oxidative phosphorylative capacity, which was demonstrated by the cells reduced basal respiration, ATP-linked respiration, ATP turnover, and maximal respiration. The data further highlighted that DMSO doses  $\leq$  3.7% triggers oxidative stress, in a dose dependent manner, as determined by the significant increase in ROS activity in the cancer cells. Further justifying its potential exploration as a possible therapeutic compound, was the significant increase in the number of early and late apoptotic cancer cells following treatment with DMSO ( $\leq$ 3.7%). Additionally, a much higher degree of necrosis was observed in these cells.

## 4. Materials and Methods

## 4.1. Reagents

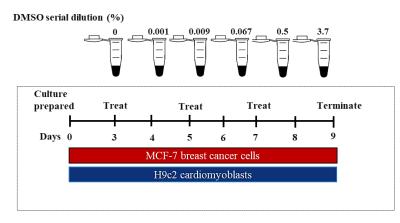
Dimethyl sulfoxide (DMSO), Dulbecco's phosphate-buffered saline (DPBS), Dulbecco's Modified Eagle Medium (DMEM), tissue culture grade water, trypsin, and Hanks balanced salt solution (HBSS) were obtained from Lonza (Walkersville, MD, USA). Fluorescent probes, 5,5', 6,6'-tetrachloro-1,1', 3,3-tetraethylbenzimidazolyl-carbocyanine iodide (JC-1), and propidium iodide (PI) were obtained from Sigma-Aldrich (St Louis, MO, USA). Fetal Bovine Serum (FBS) was purchased from Thermo Fisher Scientific (Waltham, MA, USA). Annexin V and fluorescein conjugate (FITC annexin V) was purchased from Invitrogen (Carlsbad, CA, USA). The oxiselect <sup>TM</sup> intracellular ROS Assay Kit (Green Fluorescence) was purchased from Cell Biolabs (San Diego, CA, USA). The XF Cell Mito Stress Kit was purchased from Agilent technologies (Santa Clara, CA, USA).

#### 4.2. In Vitro Models

MCF-7 human breast cancer cells and H9c2 cardiomyoblasts were purchased from the American Type Culture Collection (ATCC, catalogue number HTB-22 and CRL-1446, respectively). Both cell lines were cultured in DMEM supplemented with 10% Fetal Bovine Serum (FBS, Thermo Fisher Scientific, Waltham, MA, USA) under standard tissue culture (TC) conditions (37 °C, 95% humidified air, and 5% CO<sub>2</sub>). The cytotoxic threshold of DMSO was investigated on the MCF-7 and H9c2 cells, using a series of concentrations (0.001, 0.009, 0.067, 0.5, and 3.7%). These concentrations were randomly selected from a previously conducted preliminary study on healthy cardiomyoblasts (Figure S1, Supplementary Materials). Briefly, treatment was prepared in DMEM without phenol (Lonza, Walkersville, MD, USA), supplemented with 2% FBS. Prior to the experiments, the DMSO treatment was filter-sterilized using 0.22  $\mu$ M syringe filter systems in a Class II Type A2 Biological Safety Cabinet. To assess the chronic effect of DMSO on the H9c2 and MCF-7 cells, the cells were treated every second day for 6 days, as demonstrated in Figure 6. Biochemical assays were conducted after terminating treatment.

### 4.3. Determination of Cell Viability

The effect of DMSO on mitochondrial activity, as a measure of cell viability, was assessed using the MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay following an in-house protocol previously described [25]. Concisely, H9c2 and MCF-7 cells were seeded ( $0.8 \times 10^5$ /well) in 96-well clear plates and then treated as described above. Treatment was terminated by washing the cells with 100 µL DPBS. Subsequently, the cells were exposed to 100 µL MTT solution (2 mg/mL) and then incubated for 1 h under standard TC conditions. Cell viability was quantified by measuring absorbance on the SpectraMax<sup>®</sup> i3x Multi-Mode Microplate Reader, at a wavelength of 570 nm.



**Figure 6.** An in vitro experimental design of dimethyl sulfoxide (DMSO) exposure on H9c2 cardiomyoblasts and MCF-7 breast cancer cells. Concisely, cells were treated with varying DMSO concentrations (0.001 0.009, 0.067, 0.5, and 3.7%) for 6 days. Treatment was terminated on day 6 and biochemical analysis were conducted.

## 4.4. Assessment of Mitochondrial Respiratory Capacity

Respiratory parameters associated with mitochondrial bioenergetics were measured in intact breast cancer cells and cardiomyoblasts using the XF Cell Mito Stress Kit (Seahorse Bioscience, Billerica, MA, USA) according to the manufacturer's instruction, which is based on the sequential injection of oligomycin, carbonyl cyanide-4-(trifluoromethoxy) phenylhydrazone, rotenone, and antimycin, as per the manufacturer's instructions. Seeding densities for the H9c2 (1  $\times$  10<sup>4</sup>/well) and MCF-7 (5  $\times$  10<sup>3</sup>/well) cells were determined from a previous study [25]. Concisely, cells were seeded into XF96-well cell culture microplates (Seahorse Bioscience, Billerica, MA, USA) after which, treatment was initiated after 48 h as described above. In preparation of the assay, the cells were incubated for 1 h with the Seahorse base assay medium (supplemented with 2 mM glutamine, 10 mM glucose, and 1 mM pyruvate). Hereafter, mitochondrial oxygen consumption rate (OCR) and extracellular acidification rate (ECAR) were quantified on the intact live cells using the Seahorse XF96 extracellular flux analyser (Seahorse Bioscience, Billerica, MA, USA). The data were normalized to protein concentrations using the Bio-Rad DC Protein assay (Bio-Rad, Hercules, CA, USA), as per the manufacturer's instructions with the resultant absorbance read at 695 nm using the SpectraMax<sup>®</sup> i3x Multi-Mode Microplate Reader. The results were expressed as pmol/min/mg protein for the OCR, and mpH/min/mg protein for the ECAR.

## 4.5. Assessing Changes in Mitochondrial Membrane Potential ( $\Delta \Psi m$ )

The effect of DMSO on the mitochondrial integrity of the H9c2 and MCF-7 cells was assessed using the fluorescent JC-1 Assay Kit (Sigma-Aldrich, St Louis, MO, USA), as per the manufacturer's instructions. Briefly, cells seeded ( $0.8 \times 10^5$ /well) in black clear bottom 96-well plates were stained with 100 µL JC-1 dye (8 µM) and then incubated for 45 min under standard TC conditions. Mitochondrial membrane potential (MMP) was then quantified by measuring the fluorescence intensity of J-aggregates at 590 nm and JC-1 monomers (at 529 nm using the SpectraMax<sup>®</sup> i3x Multi-Mode Microplate Reader.

#### 4.6. Quantification of Reactive Oxygen Species (ROS)

The OxiSelect<sup>TM</sup> Intracellular ROS assay kit (Cell Biolabs, San Diego, CA, USA) was used to quantify DMSO-stimulated ROS production. Cells were seeded in 24-well plates ( $1 \times 10^5$  cells/well) and treated as described above. Once treatment with DMSO was terminated, cells were washed with pre-warmed DPBS before being stained with 100 µL/well 2',7' dichlorofluorescin diacetate (DCFH-DA, 20 µM) dye and then incubated for 30 min. Following incubation, the DCFH-DA dye was aspirated, and the cells were washed with pre-warmed DPBS. Thereafter, cells were trypsinized (150 µL) for either

6 min for the H9c2 cells, or 5 min for the MCF-7 cells, in an incubator under standard TC conditions. Trypsinization was deactivated by the addition of 300  $\mu$ L pre-warmed media (DMEM, supplemented with 10% FBS). The cell suspension was then collected into 2 mL Eppendorf tubes and centrifuged for 5 min at 1500 rpm for H9c2 cells, and 120 RCF for the MCF-7 cells. Hereafter, the cells were resuspended in 150  $\mu$ L DPBS and then placed on ice. ROS activity was quantified using the BD Accuri C6 flow cytometer (BD Biosciences, Franklin Lakes, NJ, USA).

#### 4.7. Apoptosis Assay

DMSO-induced apoptosis was quantified by staining cells with Annexin V-FITC (Invitrogen, Carlsbad, CA, USA) and propidium iodide (PI, Sigma-Aldrich, St. Louis, MO, USA) as previously described [25]. Briefly, H9c2 and MCF-7 cells were seeded in 24-well plates  $(1 \times 10^5 \text{ cells/well})$  and treated as described above. The cells were trypsinized and collected into 2 mL Eppendorf tubes as previously reported [25]. The cells were then co-stained with 1.5 µL Annexin V and 1 µL PI (2 µg/mL) before being incubated in the dark for at least 10 min for the H9c2 cells, or 20 min for the MCF-7 cells. The rate of apoptosis was determined on the BD Accuri C6 flow cytometer (BD Biosciences) using the BD Accuri C6 Annexin V-FITC/PI template. Live, early, late apoptotic, as well as necrotic cells were quantified with the BD Accuri C6 software using the FITC signal detector FL1 (excitation = 488 nm; emission = 530 nm) for Annexin V positive cells and FL3 detector (excitation = 488 nm; emission = 670/LP) for PI positive cells.

## 4.8. Statistical Analysis

Data are represented as the mean  $\pm$  standard error of the mean (SEM). Statistical comparisons between the control and different DMSO concentrations were performed using one-way analysis of variance (ANOVA), followed by a Tukey post hoc test and a student's *t*-test using GraphPad Prism software version 5.0 (GraphPad Software, Inc., La Jolla, San Diego, CA, USA). Differences were regarded significant at *p* values < 0.05.

## 5. Conclusions

While DMSO remains an extensively used vehicle control and widespread solvent in numerous research settings, it is evident that its influence on cellular biological processes requires further investigation. Our findings demonstrate that DMSO doses higher than 0.001%, but not more than 0.5%, can still be safely used in experimental set-ups involving the use of H9c2 cells. However, these doses were determined to have some adverse effects in the breast cancer cells, as they stimulated the cells proliferative activity. Considering this, it may not be reasonable to recommend DMSO as a potential therapeutic compound for cancer cells. In the same context, it is important to note that when used as a solvent, the low DMSO doses may influence the study outcome, especially in experimental models where the proliferative activity of the cells is reduced by low FBS (2%) concentrations and when the treatment duration exceeds 6 days. Nonetheless, the observed effects of DMSO on the cardiac and cancer cells advocates for further investigation to fully understand the cytotoxic and beneficial effects of the "non-toxic" low DMSO doses.

**Supplementary Materials:** The following are available online, Figure S1: Cytotoxicity screening of DMSO on H9c2 cells.

**Author Contributions:** Conceptualization: N.F.S. and N.C.; methodology: N.F.S.; formal analysis: N.F.S.; resources: R.J.; writing—original draft preparation: N.F.S., P.V.D. and R.J.; writing—review and editing: N.F.S., P.V.D., N.C., L.M., J.R.S. and R.J.; supervision: R.J. and L.M. funding acquisition: R.J. All authors have read and agreed to the published version of the manuscript.

**Funding:** This study was in part, funded by the South African Medical Research Council (SAMRC), through its division of the Biomedical Research and Innovation Platform (baseline funding), and the National Research Foundation (NRF) Thuthuka Programme (UID120812). The authors also acknowledge the financial support for Ms. Sangweni as a PhD student funded by the SAMRC

through its Division of Research Capacity Development under the Internship Scholarship Programme. The content hereof is the sole responsibility of the authors and do not necessarily represent the official views of the SAMRC or the NRF.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

**Data Availability Statement:** Data is contained within the article or supplementary material The data presented in this study are available within the article and in the supplementary material. Design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

Acknowledgments: The research reported in this publication was supported by the SAMRC through funding received from the South African National Treasury. We would also like to acknowledge BRIP/Technical Staff.

**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in our data interpretation.

Sample Availability: Samples of the compounds are not available from the authors.

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Article



# Pharmaceutical Drug Metformin and MCL1 Inhibitor S63845 Exhibit Anticancer Activity in Myeloid Leukemia Cells via Redox Remodeling

Giedrė Valiulienė \*, Aida Vitkevičienė 몓, Giedrė Skliutė, Veronika Borutinskaitė and Rūta Navakauskienė 몓

Department of Molecular Cell Biology, Institute of Biochemistry, Life Sciences Center, Vilnius University, Sauletekio av. 7, LT-01257 Vilnius, Lithuania; aida.vitkeviciene@gmc.vu.lt (A.V.); giedre.skliute@gmc.vu.lt (G.S.); veronika.borutinskaite@bchi.vu.lt (V.B.); ruta.navakauskiene@bchi.vu.lt (R.N.)

\* Correspondence: giedre.valiuliene@bchi.vu.lt

Abstract: Metabolic landscape and sensitivity to apoptosis induction play a crucial role in acute myeloid leukemia (AML) resistance. Therefore, we investigated the effect of metformin, a medication that also acts as an inhibitor of oxidative phosphorylation (OXPHOS), and MCL-1 inhibitor S63845 in AML cell lines NB4, KG1 and chemoresistant KG1A cells. The impact of compounds was evaluated using fluorescence-based metabolic flux analysis, assessment of mitochondrial  $\Delta \psi$  and cellular ROS, trypan blue exclusion, Annexin V-PI and XTT tests for cell death and cytotoxicity estimations, also RT-qPCR and Western blot for gene and protein expression. Treatment with metformin resulted in significant downregulation of OXPHOS; however, increase in glycolysis was observed in NB4 and KG1A cells. In contrast, treatment with S63845 slightly increased the rate of OXPHOS in KG1 and KG1A cells, although it profoundly diminished the rate of glycolysis. Generally, combined treatment had stronger inhibitory effects on cellular metabolism and ATP levels. Furthermore, results revealed that treatment with metformin, S63845 and their combinations induced apoptosis in AML cells. In addition, level of apoptotic cell death correlated with cellular ROS induction, as well as with downregulation of tumor suppressor protein MYC. In summary, we show that modulation of redox-stress could have a potential anticancer activity in AML cells.

Keywords: acute myeloid leukemia (AML); metformin; MCL-1 inhibitor S63845; reactive oxygen species (ROS)

## 1. Introduction

Acute myeloid leukemia (AML) is one of the most common forms of blood cancer in adults. Typically, the five-year survival rate for AML patients is ~29% [1]. The prognosis of specific AML cases varies widely as patient age and type of AML should be taken into account. For example, APL (acute promyelocytic leukemia) subtype of AML is mostly well managed, while others have a more complicated prognosis, as AML resistance and relapse still remain very serious issues [2]. Therefore, better treatment options in AML cases remain an urgent need.

A very interesting and innovative approach is a drug repositioning—when existing drugs are investigated for new therapeutic purposes. For example, metformin  $(N_r N_r)$ dimethylbiguanide), which is applied widely to treat the symptoms of hyperglycemia in type 2 diabetes [3] and also used in the treatment of polycystic ovary syndrome [4], may be repurposed to manage cancer as well. Metformin was shown to have a cytostatic activity; it can strengthen chemotherapeutic effect [5]. In human breast cancer and osteosarcoma cells metformin was shown to induce the generation of reactive oxygen species (ROS) and partly elicit its anticancerous effect via redox remodeling [6,7]. Regarding leukemia research, metformin was demonstrated to sensitize AML cell lines to cytarabine [8]. Recently, we demonstrated that metformin in combination with cytarabine, and venetoclax also slightly

Citation: Valiulienė, G.; Vitkevičienė, A.; Skliutė, G.; Borutinskaitė, V.; Navakauskienė, R. Pharmaceutical Drug Metformin and MCL1 Inhibitor S63845 Exhibit Anticancer Activity in Myeloid Leukemia Cells via Redox Remodeling. Molecules 2021, 26, 2303. https://doi.org/10.3390/ molecules26082303

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 27 February 2021 Accepted: 13 April 2021 Published: 15 April 2021

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inhibited AML patients' cell proliferation and profoundly reduced oxidative phosphorylation (OXPHOS) rate ex vivo [9]. It has been reported consistently that metformin elicits its effect on oxidative phosphorylation via inhibition of Complex I [10].

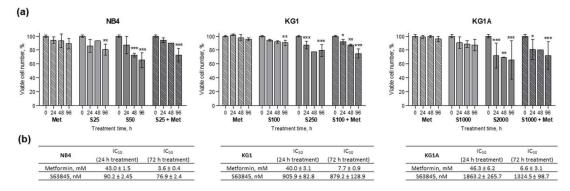
As mitochondrial oxidative phosphorylation is an essential factor in AML chemoresistance [11], targeting mitochondrial respiration combined with modulation of other cancerassociated pathways may be beneficial in AML treatment. Since the survival of cancer cells is heavily promoted by anti-apoptotic BCL-2 family members, such as BCL-2 (B cell lymphoma-2) and MCL-1 (myeloid cell leukemia-1), which prevent malignant cells from the mitochondrial cell death pathway [12], targeting both mitochondrial respiration and intrinsic apoptotic processes may suggest more potent therapeutic strategies. A recent study by Ewald et al. [13] revealed that MCL-1 may be a more universal therapeutic target in AML compared to BCL-2. S63845 ( $\alpha$ (R)-[[(5S)-5-[3-chloro-2-methyl-4-[2-(4-methyl-1-piperazinyl)ethoxy]phenyl]-6-(5fluoro-2-furanyl)thieno[2,3-d]pyrimidin-4-yl]oxy]-2-[[1-(2,2,2-trifluoroethyl)-1H-pyrazol-5-yl] methoxy]-benzenepropanoic acid) is an inhibitor of MCL-1, a pro-survival protein that is highly overexpressed in many cancer types and in AML as well [14,15]. Research has demonstrated that S63845 induced cell death in various AML cells [13]. In addition, AML cells that are in general resistant to venetoclax have been shown to be very sensitive to S63845 [16].

In the present study we aimed to test the effect of antidiabetic drug metformin and MCL-1 inhibitor S63845 in AML cell lines NB4 (APL subtype), KG1 and chemoresistant AML cell line KG1A. We were mainly interested in the potential of metabolic and redox modulation, as well as an effect on growth restriction. Therefore, we treated cells with metformin and S63845 alone or in combination. It should be emphasized that in this experimental set-up the supratherapeutic doses of metformin (10 mM) were used in order to alter the mitochondrial metabolism. After the treatments, we evaluated changes in cell proliferation and viability, metabolic activity, as well as ROS production and induction of apoptotic cell death.

#### 2. Results

## 2.1. Metformin and S63845 Cytotoxicity and Effect on AML Cell Proliferation and Viability

After AML cell treatment with antidiabetic drug and oxidative phosphorylation inhibitor metformin at 10 mM concentration and treatment with various concentrations of MCL-1 inhibitor S63845 (25–2000 nM), as well as after their combined treatments, cell viability (Figure 1a) and proliferation (see Figure S1 in Appendix No. 1, Supplementary Materials) were assessed using the trypan blue exclusion test. Agent concentrations were chosen after testing a range of different concentrations (data not shown). We selected effective cell proliferation and survival-inhibiting concentrations, which did not show too high toxicity. In general, NB4, KG1 and KG1A cells were sensitive to applied treatments, as reduction in cell proliferation and cell viability was observed. Effect of 10 mM metformin was of comparable degree between all cell lines, though metformin had a slightly stronger effect on cell viability in NB4 cells compared to KG1A cells. However, evidently NB4, KG1 and KG1A cells were not equally sensitive to MCL-1 inhibitor S63845. For example, inhibition of cell proliferation (Figure S1 in Appendix No. 1, Supplementary Materials) in NB4 cells was achieved when using 25 nM of S63845. In order to reach a similar effect in KG1 cells we had to use 100 nM of S63845, whereas in KG1A cells only 1000 nM of S63845 could slow down the cell proliferation. Using XTT Cell Proliferation Assay Kit (ATTC) we also determined the antiproliferative  $IC_{50}$  values for metformin and S63845 (Figure 1b), which confirmed that metformin did have very similar cytotoxicity patterns in NB4, KG1 and KG1A cells (e.g., after 24 h treatments  $IC_{50}$  values ranged 40.0–46.3 mM).  $IC_{50}$  analysis results also verified that NB4 cells were the most sensitive for S63845 treatment, whereas for KG1A cells approx. 20-fold higher doses were needed to reach  $IC_{50}$  (after 24 h treatments IC<sub>50</sub> was 90.2 nM and 1863.2 nM in NB4 and KG1A cells, respectively).

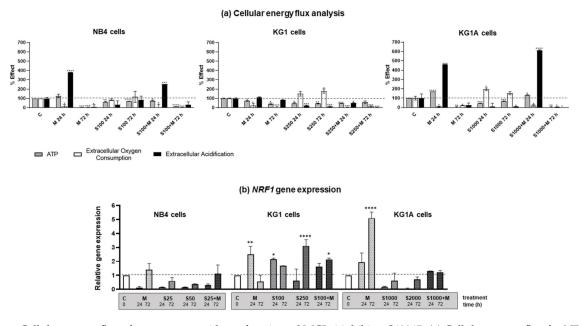


**Figure 1.** Effect of metformin and MCL-1 inhibitor S63845 on myeloid leukemia cell viability and induced cytotoxicity. (a) NB4, KG1 and KG1A cells were treated with 10 mM metformin and with different concentrations of MCL-1 inhibitor S63845 (S25–25 nM of S63845, etc.). Cell survival was evaluated by trypan blue exclusion test. Viability data were normalized to untreated controls. Results are mean  $\pm$  S.D. ( $n \ge 3$ ). (b) Antiproliferative IC<sub>50</sub> values for metformin and S63845 were evaluated using XTT Cell Proliferation Assay Kit (ATTC). Average  $\pm$  S.D. is presented ( $n \ge 3$ , except where columns are without error bars n = 1). Note: \* denotes significant difference between treated vs. control cells with p < 0.05, \*\* denotes significant difference with p < 0.005, as evaluated using 1-way ANOVA with Dunnett post hoc test.

All around, it should be stressed that in NB4, KG1 and KG1A cells co-treatments with S63845 and metformin possibly had an additive effect on cell viability, as combined treatments reduced viable cell numbers more efficiently than S63845 and metformin acting alone. However, further analysis is needed in order to find the combination index (CI) values and confirm or reject this hypothesis.

#### 2.2. Energetic Profile Regulation by Metformin and S63845 in AML Cells

Multiparametric analysis of cellular energy flux was performed using Extracellular Oxygen Consumption Assay together with Glycolysis Assay and Luminescent ATP Detection Assay (Figure 2a). A shift in the metabolic phenotype of NB4 cells (treated with 100 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin), KG1 cells (treated with 250 nM S63845, 10 mM metformin and combination of 250 nM S63845 + 10 mM metformin), as well as KG1A cells (treated with 1000 nM S63845, 10 mM metformin and combination of 1000 nM S63845 + 10 mM metformin) was evaluated upon 24- and 72-h-long treatments. As visible from the data, in all cell lines, treatment with metformin completely downregulated oxidative phosphorylation, as illustrated by the decrease in extracellular oxygen consumption rates by 91–97% (after 72 h treatment). It should be noticed that in NB4 and KG1A cells glycolysis rates were strongly boosted (up to 400–500% compared to control) after 24 h treatment with metformin. Accordingly, at this time point after treatment with metformin, no decline in ATP levels was registered in NB4 and KG1A cells. Related effects were also observed in NB4 and KG1A cells after 24-h-long combined treatments. However, after 72 h with metformin, as well as with the combined treatment, both NB4 and KG1A cells dropped in the levels of glycolysis rate as well as ATP quantity. In contrast to metformin, S63845, acting as a single agent in all cell lines that were tested, especially in KG1 and KG1A cells, diminished glycolysis, although it had no effect on oxidative phosphorylation rate or even slightly increased it. All in all, results of cellular energy flux analysis revealed that combined treatment with metformin and S63845, in comparison to metformin and S63845 acting alone, had a stronger inhibitory effect on AML cell oxidative phosphorylation and glycolysis rate and, consequently, on cellular ATP levels. The most robust response was registered in KG1A cells after 72 h exposure.

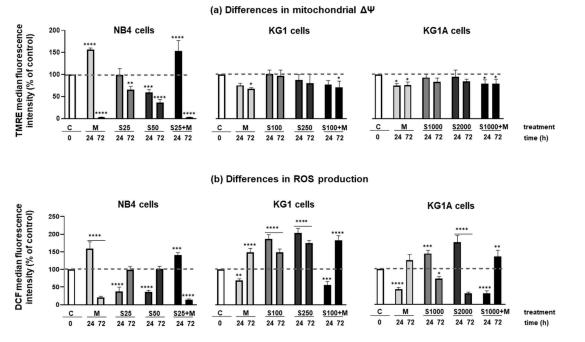


**Figure 2.** Cellular energy flux after treatment with metformin and MCL-1 inhibitor S63845. (a) Cellular energy flux for NB4 cells (treated with 100 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin), KG1 cells (treated with 250 nM S63845, 10 mM metformin and combination of 250 nM S63845 + 10 mM metformin), as well as KG1A cells (treated with 1000 nM S63845, 10 mM metformin and combination of 1000 nM S63845 + 10 mM metformin) shown as a percentage relative to untreated control cells. Comparative measurements after 24 and 72 h of incubation were taken with Extracellular Oxygen Consumption Assay (ab197243; seeded at 500,000 viable cells per well; white columns), Glycolysis Assay [Extracellular acidification] (ab197244; seeded at 500,000 viable cells per well; black columns) and Luminescent ATP Detection Assay Kit (ab113849; seeded at 75,000 viable cells per well; striped columns). (b) Gene expression changes of nuclear respiratory factor 1 (NRF1) after treatments were measured using RT-qPCR  $\Delta\Delta$ Ct method. HPRT1 gene expression was used for normalization; results are presented as relative changes in comparison to untreated cells; results are mean  $\pm$  S.D. (n = 3). Note: \* denotes significant difference between treated vs. control cells with p < 0.05, \*\* denotes significant difference with p < 0.01, \*\*\* denotes significant difference with p < 0.005 and \*\*\*\* denotes significant difference with p < 0.0001, as evaluated using 1-way ANOVA with Dunnett post hoc test.

In addition, we investigated the effect that treatments had on the expression of nuclear respirator factor 1 (NRF1) gene, which is a well-known transcription factor regulating oxidative phosphorylation, mitochondrial biogenesis and response to oxidative stress [17]. In general, results of RT-qPCR analysis demonstrated that treatments with MCL-1 inhibitor S63845 diminished NRF1 gene expression in NB4 and KG1A cells, whereas in KG1 cells upregulation of NRF1 gene expression was registered (Figure 2b). Tendency of metformin to induce overexpression of NRF1 gene was also observed, particularly in KG1 and KG1A cells, whereas combined treatments had an intermediate effect.

#### 2.3. Effect of Metformin and S63845 on AML Cell Redox Modulation

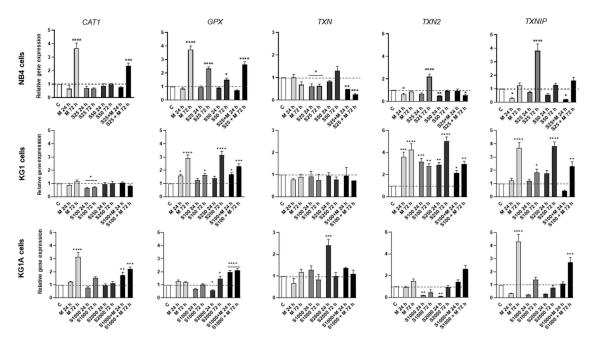
Mitochondria have a major role in regulating cellular energetics, as well as activating the intrinsic pathway of controlled cell death. Therefore, we further evaluated metformin and S63845 activity on NB4, KG1 and KG1A cell mitochondrial potential and ROS production. Results of flow cytometric TMRE dye accumulation analysis (Figure 3a) revealed that in NB4 cells 24 h treatment with 10 mM metformin, as well as 24 h treatment with a combination of 25 nM S63845 + 10 mM metformin significantly increased  $\Delta\psi$  (by approx. 60% compared to untreated control cells). However, opposite results were obtained in KG1 and KG1A cells, when metformin alone or together with S63845 reduced the mitochondrial membrane potential. It also should be stressed that MCL-1 inhibitor S63845, when used as a single agent, had no effect on  $\Delta\psi$ . Nevertheless, after 72 h exposure both met-



formin alone and a combined treatment with S63845 diminished AML cell mitochondrial membrane potential.

**Figure 3.** Effect of metformin and MCL-1 inhibitor S63845 on myeloid leukemia cell mitochondrial membrane potential and cellular reactive oxygen species production. For subsequent flow cytometric analysis NB4 cells were treated with 25 nM and 50 nM S63845, 10 mM metformin and combination of 25 nM S63845 + 10 mM metformin. KG1 cells were treated with 100 nM and 250 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin. KG1A cells were treated with 100 nM and 2000 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin. KG1A cells were treated with 1000 nM and 2000 nM S63845, 10 mM metformin and combination of 1000 nM S63845 + 10 mM metformin. (a) Mitochondrial membrane potential ( $\Delta\psi$ m) of control (untreated) and treated NB4, KG1 and KG1A cells was evaluated using TMRE Mitochondrial membrane potential assay kit (ab113852) after 24 and 72 h of incubation. (b) Measurements of ROS production in control (untreated) and treated NB4, KG1 and KG1A cells were performed using Abcam DCFDA Cellular ROS detection assay kit (ab113851) after 24 and 72 h of incubation. Note: \* denotes significant difference between treated vs. control cells with *p* < 0.05, \*\* denotes significant difference with *p* < 0.01, \*\*\* denotes significant difference with *p* < 0.005 and \*\*\*\* denotes significant difference with *p* < 0.0001, as evaluated using 1-way ANOVA with Dunnett post hoc test.

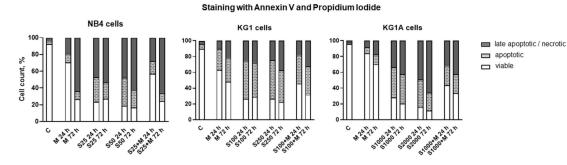
As shown in Figure 3b, metformin increased intracellular ROS production in NB4 cells, whereas in KG1 and KG1A cells the highest increase in ROS production was registered upon treatments with S63845. Therefore, the effects of metformin and MCL-1 inhibitor S63845 on antioxidant enzymes were investigated (Figure 4). We hypothesized that upregulation of intracellular ROS levels would result from the downregulation of gene expression of antioxidant enzymes, such as catalase 1 (CAT1), glutathione peroxidase (GPX) and thioredoxin system enzymes. Results showed that CAT1 gene expression was reduced only in KG1 cells, when treated with 100 nM S63845 (24 and 72 h), whereas treatment with metformin significantly upregulated expression of CAT1 in NB4 and KG1A cells. MCL-1 inhibitor S63845 also reduced GPX gene expression in KG1A cells after 24 h treatment, though, in general, 72-h-long treatments with metformin, S63845 and their combinations, tended to upregulate GPX expression in tested AML cells. However, our further analysis demonstrated that MCL-1 inhibitor S63845 had a significant effect on thioredoxin system regulation: in NB4 cells thioredoxin-1 (TXN) was downregulated 1.6-fold after treatment with 25 nM S63845 (24 and 72 h). In addition, the effect was much stronger when 25 nM S63845 was applied in combination with 10 mM metformin (expression was downregulated 4-fold). Supporting our hypothesis, inhibition of mitochondrial thioredoxin (TXN2) gene expression was also observed in KG1A cells upon treatments with S63845. However, no reduction in gene expression of thioredoxin reductases 1 and 2 (TXNRD1 and TXNRD2) was detected, while after treatments their expression was evidently increased (see Figure S2 in Appendix No. 1, Supplementary Materials). Nonetheless, it should be noticed that gene expression of tumor suppressor thioredoxin interacting protein (TXNIP), which is a well-known inhibitor of thioredoxin-1 [18], was significantly upregulated after used treatments. For example, in NB4 cells gene expression of TXNIP was increased the most strongly after treatment with S63845, while in KG1A cells the most significant effect was obtained after treatment with metformin.



**Figure 4.** Metformin and MCL-1 inhibitor S63845 induced changes in the expression of antioxidant system genes. NB4 cells were treated with 25 nM and 50 nM S63845, 10 mM metformin and combination of 25 nM S63845 + 10 mM metformin. KG1 cells were treated with 100 nM and 250 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin. KG1A cells were treated with 1000 nM and 2000 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin. KG1A cells were treated with 1000 nM and 2000 nM S63845, 10 mM metformin and combination of 1000 nM S63845 + 10 mM metformin. Gene expression changes of antioxidant system genes *CAT1*, *GPX*, *TXN*, *TXN2* and *TXNIP* after 24 and 72 h of treatment were measured using RT-qPCR  $\Delta\Delta$ Ct method. *HPRT1* gene expression was used for normalization; results are presented as relative changes in comparison to untreated cells; results are mean  $\pm$  S.D. (*n* = 3). Note: \* denotes significant difference between treated vs. control cells with *p* < 0.05, \*\* denotes significant difference with *p* < 0.01, \*\*\* denotes significant difference with *p* < 0.005 and \*\*\*\* denotes significant difference with *p* < 0.0001, as evaluated using 1-way ANOVA with Dunnett post hoc test.

## 2.4. Treatment-Induced Apoptosis and Apoptosis-Related Gene and Protein Expression

The type of cell death induced in AML cells by treatment with metformin, MCL-1 inhibitor S63845 or their combination was determined by staining with Annexin V and Propidium Iodide (Figure 5). Analysis revealed that NB4, KG1 and KG1A cells treated with metformin at 10 mM concentration and with S63845 at selected concentrations (25 and 50 nM in NB4 cells, 100 and 250 nM in KG1 cells, as well as 1000 and 2000 nM in KG1A cells) induced cell apoptosis. In accordance with cell viability estimations (Figure 1), Annexin V and PI analysis confirmed that addition of metformin weakens the effect of S63845, especially in KG1 and KG1A cells. However, this phenomenon was not statistically significant (see the exact statistical data in Appendix No. 2, Supplementary Material). Interestingly, in KG1 and KG1A cells metformin upregulated gene expression of cyclin-dependent kinase inhibitor p21 (see Figure S3 in Appendix No. 1, Supplementary Materials), whereas, after treatment with combinations of metformin and S63845, such an effect on CDKN1A expression was weaker.



**Figure 5.** Metformin and MCL-1 inhibitor S63845 effect on cell apoptosis. Cell apoptosis of NB4 cells (treated with 25 nM and 50 nM S63845, 10 mM metformin and combination of 25 nM S63845 + 10 mM metformin), KG1 cells (treated with 100 nM and 250 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin), as well as KG1A cells (treated with 1000 nM and 2000 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin), as well as KG1A cells (treated with 1000 nM and 2000 nM S63845, 10 mM metformin and combination of 1000 nM S63845 + 10 mM metformin) was evaluated by staining with Annexin V and Propidium Iodide after 24 and 72 h of treatment. Results are mean (n = 3; S.D. <  $\pm$  10%). Note: statistical analysis was performed using 2-way ANOVA with Tukey's multiple comparison test (due to the complexity of data, exact statistical analysis results are presented in Appendix No. 2, (Supplementary Materials).

To test whether metformin- and S63845-induced cell death was mediated by the regulation of oncogenic- and apoptosis-related factors that are linked to mitochondria, we further performed gene expression and Western blot analysis and measured the levels of BCL-2 (BCL-2), BCL-2-like-1 (BCL2L1), MCL-1 (MCL1) and MYC (MYC) (Figure 6a,b). Protein expression of MYC was downregulated time-dependently, especially strongly after incubation of cells with S63845 alone or in combination with metformin (in all cell lines that were tested, combined 24-h treatments with S63845 and metformin elicited stronger inhibitory effects on MYC protein levels compared to agents acting alone). However, we did not detect any significant decrease in MYC gene expression. Similarly, neither did we detect any significant downregulation of BCL2 and MCL1 gene expression. Nevertheless, BCL2L1 gene expression was significantly decreased in NB4 and KG1A cells after incubation with MCL-1 inhibitor S63845. Regarding protein level modulation, metformin had no effect or even lightly increased the levels of BCL-2, whereas treatment with S63845 had a reducing effect on NB4 and KG1 cells (however, in KG1A cells treatment with S63845 showed opposite results). It should be noted that in both NB4 and KG1 cells, combined treatments with S63845 and metformin had a stronger effect on BCL-2 protein level reduction than treatments with separate agents. Metformin also proved to be potent in MCL-1 level reduction, while treatment with S63845 evidently upregulated the levels of MCL-1. This indicated that S63845-induced apoptosis is not straightforwardly linked with the decrease in MCL-1 level, but rather with MCL-1 activity inhibition, as, in our study, increase in the levels of MCL-1 was concomitant with apoptotic cell death (Figure 5).

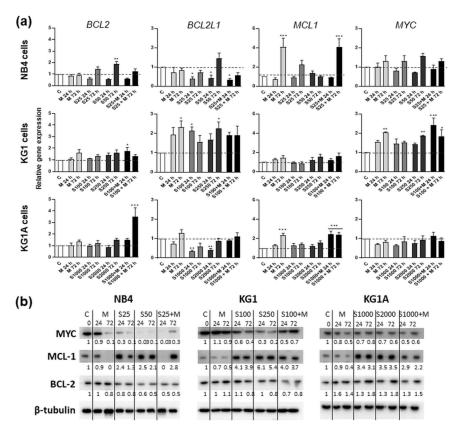


Figure 6. Anti-apoptotic gene and protein expression after treatment with metformin and MCL-1 inhibitor S63845. For subsequent analysis NB4 cells were treated with 25 nM and 50 nM S63845, 10 mM metformin and combination of 25 nM S63845 + 10 mM metformin. KG1 cells were treated with 100 nM and 250 nM S63845, 10 mM metformin and combination of 100 nM S63845 + 10 mM metformin. KG1A cells were treated with 1000 nM and 2000 nM S63845, 10 mM metformin and combination of 1000 nM S63845 + 10 mM metformin. C denotes control, untreated, cells. (a) Gene expression changes of apoptosis-suppressing genes BCL2, BCL2L1, MCL1 and MYC after 24 and 72 h of treatment were measured using RT-qPCR  $\Delta\Delta$ Ct method. *HPRT1* gene expression was used for normalization; results are presented as relative changes in comparison to untreated cells; results are mean  $\pm$  S.D. (*n* = 3). (b) Protein level changes after cell treatment with S63845 and metformin were assessed by immunoblot.  $\beta$ -tubulin was used as a loading control. Protein band intensity was measured using ImageJ software; band intensity results were normalized according to  $\beta$ -tubulin from the same membrane ( $n \ge 2$ , representative results are displayed). Note: \* denotes significant difference between treated vs. control cells with p < 0.05, \*\* denotes significant difference with p < 0.01, \*\*\* denotes significant difference with p < 0.005, as evaluated using 1-way ANOVA with Dunnett post hoc test.

## 3. Discussion

Mitochondria-associated processes such as oxidative phosphorylation, production of reactive oxygen species and regulation of the intrinsic death pathway have a major role in the progression and survival of a plethora of cancer types, not excluding AML. Therefore, in this study we investigated the effect of the antidiabetic drug metformin, which is also an inhibitor of oxidative phosphorylation [19], alone and in combination with S63845, the inhibitor of anti-apoptotic protein MCL-1. We tested these agents on APL subtype cell line NB4, AML cell line KG1 and its chemoresistant counterpart KG1A cells.

We showed that metformin's effect on cell proliferation was of comparable degree in all cell lines that were tested. However, differences were detected in cell viability, as 10 mM metformin decreased viable cell percentage the most strongly in NB4 cells, while the weakest impact was observed in chemoresistant KG1A cells (Figures 1a and 5). In addition, downregulation of CDKN1A gene expression in NB4 cells was also registered after treatment with metformin, while in KG1 and KG1A cells metformin increased CDKN1A gene expression after prolonged exposure (Figure S3 in Appendix No. 1, Supplementary Materials). These observations coincide with cell viability and apoptosis evaluation data, as it is widely accepted that p21 can play the inhibitory role in apoptosis [20]. At this point it is very important to stress that co-treatment with metformin restrained S63845 effect on apoptosis induction, while, in general, S63845-induced level of apoptotic cell death in KG1 and KG1A cells was higher compared to the effect of metformin. However, results of cell viability analysis (Figure 1a) revealed that combined treatments with metformin and S63845 had a stronger effect, which was the most evident in KG1 and KG1A cells. Based on results obtained in our study, we hypothesize that apoptotic cell death of AML cells after treatments with either metformin, S63845 or their combination could be elicited, at least partly, via ROS level modulation. Previously it was thought that overproduction of ROS can only promote carcinogenesis and resistance to therapy, whereas currently it is assumed to have a split role-induction of ROS over the certain threshold can also lead to cancer cell death [21]. Our research demonstrated that in NB4 cells 10 mM metformin upregulated cellular ROS production by 1.6-fold, whereas in KG1A cells ROS accumulation was more pronounced upon treatments with S63845 (increased approx. 1.8-fold) (Figure 3b). Li et al. [7] showed that treatment with metformin can induce heightened ROS production in osteosarcoma cells. However, at the same time they did observe the reduction in mitochondrial membrane potential, while in our study, upregulation of ROS in NB4 cells after 24 h treatment was accompanied by the increase in  $\Delta \psi$ . This seems credible, as, in general, increased mitochondrial membrane potential is associated with higher ROS production rates [22]. Of course, the mitochondrial pathway is not the only pathway that can generate ROS in myeloid leukemia cells, as NADPH oxidase is a critical enzyme in these cells, and its activation can lead to ROS accumulation and consequently it can potentiate apoptotic cell death [23]. It is plausible that MCL-1 inhibitor S63845 upregulated ROS generation in KG1 and KG1A cells mainly by acting via NADPH oxidase activity rather than through mitochondrial electron transport chain, as there were no significant changes in mitochondrial membrane potential detected (Figure 3a). However, we did notice a mild increase in the oxidative phosphorylation rate in KG1 and KG1A cells after treatments with S63845 (Figure 2a), which could be regarded as a compensatory mechanism due to reduction in glycolysis. The exact mechanism explaining the effect of S63845 on KG1 and KG1A cell glycolysis inhibition remains elusive and further studies are needed. Nevertheless, research by other authors has revealed that targeting MCL-1 indeed affects carbohydrate metabolism [24]. In addition, it should be emphasized that results of our study demonstrated that combined treatments, in comparison to metformin and S63845 acting alone, had more profound effects on inhibition of AML cell oxidative phosphorylation and glycolysis, as well as on reduction of ATP levels (Figure 2a).

Furthermore, in our study, treatment with MCL-1 inhibitor S63845 profoundly increased the levels of MCL-1 protein in all tested AML cells (Figure 6b). In addition, the increase of MCL-1 protein in cancer cells after treatment with S63845 has been demonstrated by other authors [15]. Their research revealed that binding of S63845 to MCL-1 disrupts MCL-1 interaction with pro-apoptotic proteins BAX/BAK, and thereafter indeed induces apoptosis. However, the increase in MCL-1 protein levels was associated with protein half-life extension [15]. In our study, increase in MCL-1 levels by treatment with S63845 was accompanied by the reduction in oncogenic protein MYC (Figure 6b). The strongest effect on MYC downregulation was observed in NB4 and KG1A cells, though in all cell lines that were tested, co-treatment with S63845 elevated the activity of metformin on MYC downregulation, as observed after 24 h combined treatments. Furthermore, co-treatments of NB4 and KG1 cells with S63845 and metformin had a stronger effect on BCL-2 protein level reduction than treatments with separate agents. These findings illustrated that the combination of MCL-1 inhibitor S63845 and metformin indeed has a repressive effect on NB4 and KG1 cells pro-survival proteins.

It is worth mentioning that in NB4 cells treatment with MCL-1 inhibitor S63845 statistically significantly lowered mitochondrial membrane potential and reduced production of cellular ROS (Figure 3a,b). Such a phenomenon could also be regarded as an apoptosispromoting factor, as prolonged low values of  $\Delta \psi$  are also threatening due to the cells' inability to make enough ATP, as well as a reduced amount of ROS that could lead to the other kind of stress, the so-called "reductive stress" [25]. Therefore, drug-induced modulation of mitochondrial  $\Delta \psi$  and ROS production could possibly play a major role in the anticancer activity of metformin and S63845 in AML cells. Obviously, we should admit that the concentration of metformin that was used in these experiments (10 mM) is too high for the clinical setting. Therefore, future studies will be necessary in order to test the potential of metformin and its combination with S63845 in AML treatment in vivo.

#### 4. Materials and Methods

#### 4.1. Cell Cultivation and Treatment

NB4 cell line (DSMZ, Braunschweig, Germany) was cultured in RPMI 1640 medium supplemented with 10% fetal bovine serum, 100 U/mL penicillin and 100  $\mu$ g/mL streptomycin (Gibco, Carlsbad, CA, USA); KG1 and KG1A cell lines (ATCC, Manassas, VA, USA) were cultured in IMDM medium supplemented with 20% fetal bovine serum, 100 U/mL penicillin and 100 µg/mL streptomycin (Gibco, Carlsbad, CA, USA) at 37 °C in a humidified 5% CO<sub>2</sub> atmosphere. For the treatment with metformin (Cayman Chemical Company, Ann Arbor, MI, USA) and S63845 (Cayman Chemical Company, Ann Arbor, MI, USA) cell seeding density was  $0.5 \times 10^6$  cells/mL. Cell proliferation and survival were assessed by trypan blue exclusion test using a hemocytometer. In short, 1 part of 0.2% trypan blue and 1 part of the cell suspension were mixed (100  $\mu$ L of each). A drop of the mixture was applied to the hemocytometer (Neubauer-improved, 0.1 mm depth of chamber; Paul Marienfeld GmbH & Co. KG, Lauda-Königshofen, Germany) and manual counting using a binocular microscope was performed within 1 min. Unstained (viable) and stained (nonviable) cells were counted separately. Two technical replicates were performed. To obtain the total number of viable cells per ml of aliquot, the average count of viable cells from four hemocytometer fields (a square size 1 mm<sup>2</sup>) were multiplied by 2 (dilution factor) and once again multiplied by  $10^4$ . The percentage of viable cells was counted using the formula:

viable cells (%) = 
$$\frac{\text{total number of viable cells per mL of aliquot}}{\text{total number of cells per mL of aliquot}} \times 100$$
 (1)

Antiproliferative IC<sub>50</sub> values were evaluated using XTT Cell Proliferation Assay Kit (ATTC, Manassas, VA, USA).

#### 4.2. Apoptosis Evaluation

For the detection of apoptosis, ApoFlowEx<sup>®</sup> FITC Kit (Exbio, Vestec, Czech Republic) was used. This assay detects viable, early apoptotic, and late apoptotic or necrotic cells depending on how cells are stained by Annexin V-FITC and Propidium Iodide. Stained cells were analyzed on the Millipore Guava<sup>®</sup> easyCyte 8HT flow cytometer with InCyte 2.2.2 software.

#### 4.3. Cellular Energy Flux Measurement

Cell extracellular oxygen consumption and extracellular acidification were measured using Extracellular Oxygen Consumption Assay Kit (Abcam, Cambridge, UK) and Glycolysis Assay Kit (Abcam, Cambridge, UK) according to the manufacturer's instructions. Cells were treated with MCL1 inhibitor S63845 and metformin for 24 h and 72 h before measurements. Cells were harvested, washed, and seeded at  $5 \times 10^5$  viable cells/well in a 96-well plate, detection reagents were added and measurements performed in a plate reader. The aforementioned assays were validated using glucose oxidase as a positive control (Sigma-Aldrich, St. Louis, MO, USA). Cellular ATP concentration was evaluated using Luminescent ATP Detection Assay Kit (Abcam, Cambridge, UK) according to the manufacturer's instructions. ATP standards provided in the kit were used in order to test the validity of the assay. Cells were seeded at  $7.5 \times 10^4$  viable cells/well in a white 96-well plate, detergent solution was added and incubated for 5 min to lyse cells and stabilize ATP, then substrate solution was added and the plate was stored in the dark until analysis of luminescence. For cell metabolic activity measurements the Thermo Scientific Varioskan<sup>®</sup> plate reader (Thermo Fisher Scientific, Waltham, MA, USA) was used.

#### 4.4. Assessment of Mitochondrial Membrane Potential

For TMRE Mitochondrial Membrane Potential Assay (Abcam, Cambridge, UK),  $1 \times 10^5$  of NB4, KG1 and KG1A cells were resuspended in PBS with 0.2% BSA and incubated with 400 nM TMRE for 30 min at 37 °C. Samples were analyzed with Millipore Guava<sup>®</sup> easyCyte 8HT flow cytometer, using the InCyte 2.2.2 software. Ten thousand events were collected for each sample.

#### 4.5. Cellular ROS Measurement

Levels of cellular reactive oxygen species (ROS) of NB4, KG1 and KG1A cells were determined using DCFDA Cellular ROS Detection Assay Kit (Abcam, Cambridge, UK). All procedures were carried out according to the manufacturer's instructions. In brief,  $2.5 \times 10^4$  cells/sample were incubated with 25  $\mu$ M of 2',7'–dichlorofluorescin diacetate (DCFDA) for 30 min at 37 °C and then analyzed using Millipore Guava<sup>®</sup> easyCyte 8HT flow cytometer with InCyte 2.2.2 software. Ten thousand events were collected for each sample.

## 4.6. Gene Expression Analysis by RT-qPCR

Total RNA was purified using TRI Reagent (Zymo, Irvine, CA, USA). Traces of DNA in RNA preparations were removed using DNAse I, Amplification Grade (ThermoFisher Scientific, Waltham, MA, USA). cDNA was synthesized using SensiFAST<sup>TM</sup> cDNA Synthesis Kit (Bioline, Memphis, TN, USA) and qPCR was performed using SensiFAST<sup>TM</sup> SYBR<sup>®</sup> No-ROX Kit (Bioline) on the RotorGene 6000 system (Corbett Life Science, QIAGEN, Hilden, Germany). Primer sequences (Metabion international AG, Planegg/Steinkirchen, Germany) are presented in Table S1 (see Table S1 in Appendix No. 1, Supplementary Materials). mRNA levels were normalized to HPRT1 expression. Relative gene expression was calculated using  $\Delta\Delta$ Ct method. Data are expressed as mean ±standard deviation (S.D.)

#### 4.7. Immunoblotting

Cell lysates were prepared as described previously [26] and fractionated in 7.5–15% SDS-PAGE gradient electrophoresis gel. Proteins were transferred on PVDF membrane and specific proteins were detected using antibodies against BCL-2 (Cell Signalling Technology, Danvers, MA, USA), MCL-1 (Proteintech, Rosemont, IL, USA), MYC (Novus Biologicals, Centennial, CO, USA) and β-tubulin (Abcam, Cambridge, UK). β-tubulin was used as a loading control. Chemiluminescent detection was carried out using WesternBright ECL (Advansta, San Jose, CA, USA) on ChemiDoc<sup>TM</sup> XRS+ System (BIORAD, Hercules, CA, USA). Quantitative evaluation was performed using ImageJ software (1.48v).

### 4.8. Statistical Analysis

Unless otherwise specified, all experiments were repeated at least three times. Data were expressed as mean values with S.D. One-way ANOVA with Dunnett post hoc test and two-way ANOVA with Tukey's multiple comparison test in GraphPad Prism software (8.0.1) were used for statistical analysis. Significance was set at  $p \le 0.05$  (\*),  $p \le 0.005$  (\*\*),  $p \le 0.0005$  (\*\*\*) and  $p \le 0.0001$  (\*\*\*\*).

#### 5. Conclusions

Overall, our study demonstrated that combined treatment with metformin and S63845, in comparison to metformin and S63845 acting alone, had a stronger inhibitory effect on AML cell oxidative phosphorylation and glycolysis rate and consequently on cellular ATP

levels. In addition, treatment-induced apoptotic cell death was concomitant with changes in levels of cellular ROS. Therefore, such modulation of cellular energetics and redox status might be beneficial in targeting chemoresistant AML. However, future studies are needed in order to verify these results in more clinically appropriate settings.

**Supplementary Materials:** The following are available online. Table S1: Primers used for RT-qPCR analysis. Figure S1: Cell proliferation and viability assessment; Figure S2: Metformin and MCL-1 inhibitor S63845 induced changes in the expression of antioxidant system genes; Figure S3: Metformin and MCL-1 inhibitor S63845 effect on CDKN1A gene expression.

**Author Contributions:** G.V., Conceptualization, investigation, formal analysis, data curation, visualization, writing—original draft. A.V., conceptualization, investigation, data curation, visualization, writing—review and editing. G.S., investigation, formal analysis, data curation, visualization. V.B., contribution to the interpretation of data, approval of the final version of the manuscript. R.N., design of research study, conceptualization, experiments conception, funding acquisition, writing—review and editing. All authors have read and agreed to the published version of the manuscript.

Funding: This work was supported by the Research Council of Lithuania (grant number S-SEN-20-2).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: The data presented in this study are available in Supplementary Material.

**Conflicts of Interest:** The authors declare that there is no conflict of interest regarding the publication of this article.

**Sample Availability:** The data sets (data in native formats) used to support the findings of this study are available from the corresponding author upon request.

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Article



# Influence of Oxidative Stress on Time-Resolved Oxygen Detection by [Ru(Phen)<sub>3</sub>]<sup>2+</sup> In Vivo and In Vitro

Veronika Huntosova<sup>1,\*</sup>, Denis Horvath<sup>1</sup>, Robert Seliga<sup>1</sup> and Georges Wagnieres<sup>2</sup>

- <sup>1</sup> Center for Interdisciplinary Biosciences, Technology and Innovation Park, P.J. Safarik University in Kosice, Jesenna 5, 041 54 Kosice, Slovakia; denis.horvath@upjs.sk (D.H.); robert.seliga@upjs.sk (R.S.)
- <sup>2</sup> Laboratory for Functional and Metabolic Imaging, Institute of Physics, Swiss Federal Institute of Technology in Lausanne (EPFL), Station 6, Batiment de Chimie, 1015 Lausanne, Switzerland; georges.wagnieres@epfl.ch

\* Correspondence: veronika.huntosova@upjs.sk; Tel.: +421-55-243-2243

Abstract: Detection of tissue and cell oxygenation is of high importance in fundamental biological and in many medical applications, particularly for monitoring dysfunction in the early stages of cancer. Measurements of the luminescence lifetimes of molecular probes offer a very promising and non-invasive approach to estimate tissue and cell oxygenation in vivo and in vitro. We optimized the evaluation of oxygen detection in vivo by  $[Ru(Phen)_3]^{2+}$  in the chicken embryo chorioallantoic membrane model. Its luminescence lifetimes measured in the CAM were analyzed through hierarchical clustering. The detection of the tissue oxygenation at the oxidative stress conditions is still challenging. We applied simultaneous time-resolved recording of the mitochondrial probe MitoTracker<sup>TM</sup> OrangeCMTMRos fluorescence and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> phosphorescence imaging in the intact cell without affecting the sensitivities of these molecular probes.  $[Ru(Phen)_3]^{2+}$  was demonstrated to be suitable for in vitro detection of oxygen under various stress factors that mimic oxidative stress: other molecular sensors, H<sub>2</sub>O<sub>2</sub>, and curcumin-mediated photodynamic therapy in glioma cancer cells. Low phototoxicities of the molecular probes were finally observed. Our study offers a high potential for the application and generalization of tissue oxygenation as an innovative approach based on the similarities between interdependent biological influences. It is particularly suitable for therapeutic approaches targeting metabolic alterations as well as oxygen, glucose, or lipid deprivation.

**Keywords:** oxygen detection; dendrogram analysis; hierarchical clustering; cancer cells; luminescence lifetime; hydrogen peroxide; oxidative stress; photodynamic therapy; time-resolved imaging

#### 1. Introduction

Tissue oxygenation is closely connected with the cell's metabolic activity. In particular, oxygen supply reflects the pathophysiology of cancer cells. Several approaches to assess the tissue oxygenation were developed. One minimally invasive method to assess the level of oxygen is the time-resolved detection of oxygen-sensitive probes [1–3].

Dichlorotris(1,10-phenanthroline)-ruthenium(II) hydrate ( $[Ru(Phen)_3]^{2+}$ ) is an interesting molecule emitting a bright luminescence. This relatively small molecule (700 Da) is sensitive to oxygen in solutions, in cells, and in vivo [4–6]. The luminescence lifetime of  $[Ru(Phen)_3]^{2+}$  is quenched by oxygen, a mechanism that is governed by the Stern-Volmer relation [3]. This hydrophilic molecule is biocompatible and has low phototoxicity when it is localized in the extracellular space [3,7,8]. It takes a long time (24 h) for  $[Ru(Phen)_3]^{2+}$ to accumulate in cells [7]. However, straight nuclear localization of  $[Ru(Phen)_3]^{2+}$  was observed in damaged cells due to the increased permeability of their membrane [7,9]. Interaction with DNA increases the  $[Ru(Phen)_3]^{2+}$  luminescence intensity as well as its luminescence lifetimes [10,11]. Therefore,  $[Ru(Phen)_3]^{2+}$  is considered as an interesting oxygen-sensitive molecule, mainly to probe the oxygen level in extracellular compartments and solutions.

**Citation:** Huntosova, V.; Horvath, D.; Seliga, R.; Wagnieres, G. Influence of Oxidative Stress on Time-Resolved Oxygen Detection by [Ru(Phen)<sub>3</sub>]<sup>2+</sup> In Vivo and In Vitro. *Molecules* **2021**, 26, 485. https://doi.org/10.3390/ molecules26020485

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová Received: 9 November 2020 Accepted: 14 January 2021 Published: 18 January 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). The concentration of oxygen inside and outside blood vessels can vary from place to place, and it can cause inaccuracies in determining the oxygen content. Recent trends suggest that a consistent interpretation of the measurements can be achieved not only by statistical evaluation but particularly by data classification. In general, it can be considered as a form of unsupervised learning [12,13] that is a form of learning leading to self-organized data clustering without previous labeling of the collected data by human experts. It is also important for the approach we present in this article to have a visualization that helps to understand the data. A specific application we present in this work is the use of clustering to facilitate the interpretation of  $[Ru(Phen)_3]^{2+}$  luminescence lifetime data obtained in a pre-clinical in vivo model, the chicken embryo chorioallantoic membrane (CAM). We used hierarchical cluster analysis, which, in our specific conditions, involves the processing of data that reflect fluctuating oxygen levels, including fluctuations of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime statistics, as well as spatial heterogeneities of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes induced by vascular heterogeneity.

Imbalance in oxygen supply and consumption may induce oxidative stress resulting from the production of reactive oxygen species (ROS) in cells. ROS are created as inherent products of oxygen metabolism in cells. Generally, the level of oxidative stress in cells is related to the amount of protein, lipids and DNA damages, and antioxidant status [14]. Recently, we have employed time-resolved imaging based on MitoTracker<sup>TM</sup> Orange CMTMRos (MTO) to evaluate oxidative stress-induced in mitochondria of cancer cells [15]. This approach allows us to detect low-level oxidative stress-induced in cells. MTO is a mitochondrial probe which, besides oxidative stress visualization, enables to assess the integrity of mitochondria and to measure the mitochondrial membrane potential [16].

It is time and cost-saving, and very informative to perform multimodal visualization and simultaneous detection of several parameters, such as the level of oxygen and oxidative stress, in the studied system. Several approaches based on the intensity and timeresolved microscopy were applied for dual molecular sensors detection of oxidative stress, mitochondria integrity, thiophenols, and oxygen consumption by genetically encoded photosensitizers (PSs) [17–23]. Recently, metal complexes were developed to detect oxygen consumption, enabling mitochondria targeting and tracking morphological changes of mitochondria [24–26].

It is of high importance to eliminate the photodamages generated during oxygen and oxidative stress detection. Virtually, all fluorescence/phosphorescence molecular probes are more or less potent PSs. Their fluorescence and phosphorescence are often excited with laser light at wavelengths corresponding to maxima of the probe's absorption. Excited probes/molecules stay in their triplet states during times ranging typically between 100 ns and 100  $\mu$ s. These relatively long times are sufficient to enable an interaction of the probes with other molecules and biomolecules like proteins and lipids. Moreover, in the presence of molecular oxygen, such photoreaction can lead to oxidation and/or peroxidation of proteins and lipids. The initiation of these photoreactions strongly depends on the probes/molecule concentration and of the fluence rate generated by the light source. The photoreaction in cells may produce oxidative stress, ROS, and global photodamages in cells, which can lead to a decrease of their proliferation. The mechanisms described above are involved in photodynamic therapy (PDT), a treatment modality, in which light, PSs, and oxygen interplay together [27]. Ideal PS for PDT should be selective enough to generate photodamages only in the lesions to be treated. In contrast to PSs, ideal oxygen and oxidative stress-sensitive fluorescence and phosphorescence probes should be minimally phototoxic to the probed tissues.

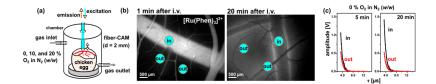
The spectral properties of MTO and  $[Ru(Phen)_3]^{2+}$  interfere with spectral properties of clinically applied PSs [27]. To demonstrate the sensitivity of MTO and  $[Ru(Phen)_3]^{2+}$ during PDT, we have chosen curcumin with promising spectral and PDT properties [28,29]. Curcumin is a natural polyphenolic compound extracted from Curcuma longa which increases or decreases oxidative stress according to the condition in which it is present [30]. The phototoxicity of curcumin is ascribed to singlet oxygen and superoxide radicals production [28,29]. However, singlet oxygen scavenging by curcumin was reported as well [31]. Due to curcumin spectral properties and its biological activity, curcumin represents an interesting molecule in PDT.

In the present work, the main aim was to optimize the evaluation of oxygen detection in vivo by  $[Ru(Phen)_3]^{2+}$ . This is why we decided to apply the cluster analysis of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes measured in the CAM for this reason. The detection of the tissue oxygenation at an oxidative stress condition is still challenging. Therefore, we have suggested applying time-resolved recording of MTO-FLIM and  $[Ru(Phen)_3]^{2+}$ -PLIM in the intact cell without disturbing the sensitivities of these molecular probes. The aim was also to demonstrate the stability of  $Ru(Phen)_3]^{2+}$  as a molecular probe that can be used in vitro for oxygen detection in the presence of various stress factors mimicking the oxidative stress: other molecular sensors,  $H_2O_2$ , and the irradiation as a form of photodynamic therapy in cancer cells.

## 2. Results and Discussion

## 2.1. Finding the Limitations of $[Ru(Phen)_3]^{2+}$ Oxygen Sensitivity in CAM

The luminescence properties of  $[Ru(Phen)_3]^{2+}$  were previously described [3,7,8,10,32]. As a small molecule,  $[Ru(Phen)_3]^{2+}$  leaks out of the vessels and remains in the interstitial space of blood vessel cells [3]. Representative biodistribution of  $[Ru(Phen)_3]^{2+}$  in the CAM at 1 and 20 min after its administration is presented in Figure 1b. While bright luminescence of  $[Ru(Phen)_3]^{2+}$  was detected inside the vessels at 1 min post-administration, the majority of  $[Ru(Phen)_3]^{2+}$  luminescence was observed out of the vessels at 20 min.



**Figure 1.** (a) Illustrative scheme of the gas chamber used to perform  $[Ru(Phen)_3]^{2+}$  luminescence lifetime measurements in the CAM. (b) Fluorescence images of the CAM recorded 1 and 20 min after intravenous (iv) administration of  $[Ru(Phen)_3]^{2+}$  (10 mg/kg of b.w.). (c) Illustrative outputs of  $[Ru(Phen)_3]^{2+}$  (1 mg/kg of b.w.) luminescence lifetime detection at 0% O<sub>2</sub> in N<sub>2</sub> (*w/w*) atmosphere. The decays were detected in the blood vessels and out of the blood vessels as demonstrated in the fluorescence images.

The luminescence decays of  $[Ru(Phen)_3]^{2+}$  presented a typical monoexponential character (Figure 1c). Its lifetime rapidly changed with the absence of atmospheric oxygen. The  $[Ru(Phen)_3]^{2+}$  luminescence lifetime sensitivity to oxygen in solutions is quite unambiguous [32]. Since the CAM is a living organism, it is more complex and heterogeneous than cells monolayers. The ideal mono-exponential decay observed in homogeneous solutions is, therefore, no longer observed in the CAM. This can be explained by the complexity and multi-component character of this living system. While the CAM membrane plexus, composed of endodermal cells, represents a static component, the dynamic blood flow is present in the whole system.

Placement of the CAM into an atmosphere with low oxygen leads to significant changes in the oxygenation of the extracellular/interstitial space. However, the transport of oxygen at the cellular level and in the vessels is not a simple process. On the other hand, we had to keep the embryos alive during the measurements. For this reason, the chicken embryo kept residual oxygen supply as it can be recognized from Table 1.

Regime Data Set	rel <sub>Md</sub>	rel <sub>OI</sub>	Mean τ [ns]	% O <sub>2</sub> in N <sub>2</sub> Calculated Equation (1)
$0\% O_2$ in N <sub>2</sub> , 5 min, in	0.029		710.8	10.80
$0\% O_2$ in N <sub>2</sub> , 5 min, out	0.009	0.032	733.6	9.83
0% O <sub>2</sub> in N <sub>2</sub> , 10 min, in	0.013		743.1	9.44
$0\% O_2$ in N <sub>2</sub> , 10 min, out	0.024	0.033	768.4	8.46
$0\% O_2$ in $N_2$ , 20 min, in	-0.006		774.3	8.24
$0\% O_2$ in $N_2$ , 20 min, out	0.030	0.028	796.6	7.44
$10\% O_2$ in N <sub>2</sub> , 5 min, in	0.005		626.5	14.99
$10\% O_2$ in N <sub>2</sub> , 5 min, out	-0.013	0.067	670.4	12.67
10% O <sub>2</sub> in N <sub>2</sub> , 10 min, in	0.000		616.6	15.55
10% O <sub>2</sub> in N <sub>2</sub> , 10 min, out	-0.018	0.103	683.3	12.05
10% O <sub>2</sub> in N <sub>2</sub> , 20 min, in	-0.001		679.6	12.23
10% O <sub>2</sub> in N <sub>2</sub> , 20 min, out	-0.004	0.002	681.1	12.15
20% O <sub>2</sub> in N <sub>2</sub> , 5 min, in	0.012		609.0	16.00
$20\% O_2$ in N <sub>2</sub> , 5 min, out	-0.005	0.039	633.3	14.61
20% O <sub>2</sub> in N <sub>2</sub> , 10 min, in	0.008		624.9	15.08
$20\% O_2$ in N <sub>2</sub> , 10 min, out	0.012	0.041	651.3	13.64
$20\% O_2$ in $N_2$ , 20 min, in	0.005		644.6	13.99
$20\%O_2$ in $N_2,20$ min, out	-0.011	0.006	648.5	13.79

**Table 1.** Additional information on descriptive statistics of  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes corresponding to different experimental conditions in the CAM. To clarify the meaning of the symbols used in the table headings, please refer to their meanings below in Equations (1)–(3). The color code is the same as in dendrograms in Figures 3 and 4.

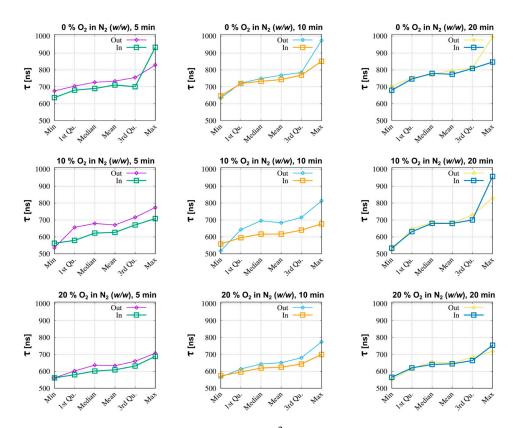
Due to the non-Gaussian character of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime (values detected in different CAMs) distributions, the data treatment could not be carried out by obvious reduction to arithmetic central values (mean values). Therefore, several central trend indicators were provided, as part of the R-programming environment [33]. The oxygenation values in Table 1 were estimated from Equation (1) (see below) that represents a calibration curve obtained from our previous study of  $[Ru(Phen)_3]^{2+}$  in an isotonic solution of 0.9% NaCl [32]. The  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes measured at different regimes (0%, 10%, and 20% O<sub>2</sub> in N<sub>2</sub> for 5, 10, and 20 min) were converted to% oxygen by the Stern-Volmer relation as follows:

% 
$$O_2$$
 in  $N_2 = (1/Mean \tau - 919,155.47)/45,157.84.$  (1)

The 'in' values of oxygenation, which correspond to  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes inside the vessels, are higher than the 'out' values measured in the less vascularized areas. Moreover, the values detected during the first 5 min, which correspond to intravascular  $[Ru(Phen)_3]^{2+}$ , were also higher than at 10 and 20 min post-administration. We can see, that the % O<sub>2</sub> in N<sub>2</sub> (from 12 to 16) measured in the CAM at 20% and 10% O<sub>2</sub> in N<sub>2</sub> applied externally were not significantly different. At the contrary, 0% O<sub>2</sub> in N<sub>2</sub> regime (from 7 to 11) were significantly different from both 20 and 10% O<sub>2</sub> in N<sub>2</sub> regimes (\*\*\* p < 0.001).

A statistical overview of the measurements collected under defined conditions is shown in Figure 2. This figure explicitly shows a structured six-dimensional summary(.) output of the program R [33], as previously described in the methods section. We can see that the use of this representation also reveals some equivalence with  $[Ru(Phen)_3]^{2+}$  luminescence lifetime distribution characteristics. Initial findings revealed remarkable dynamic differences in statistics of 'out' and 'in' cases, as well as significant variations between results obtained with early, intermediate, and late administration. Let us first look at the values of the arithmetical means. These values can be arranged into the chronological layout of Table 1. Within this table, we specified the column where the

generalized mean values of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes ( $\tau$ ) are transformed to the relative quantity.



$$\operatorname{rel}_{Md} = 2(\operatorname{Median} \tau - \operatorname{Mean} \tau) / (\operatorname{Median} \tau + \operatorname{Mean} \tau).$$
(2)

**Figure 2.** Statistical summaries of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime measurements analysis in the CAM at 5, 10, and 20 min after iv administration and in atmospheres of 0%, 10%, and 20% O<sub>2</sub> in N<sub>2</sub> (*w*/*w*).

Here Median  $\tau$  is a symbol for the median calculated from the data sets of luminescence decay times. More precisely, these are the values obtained by exponential regression. As a basis for comparison, we use the arithmetic mean of luminescence lifetime values denoted as Mean  $\tau$ . For such averages, the zero of rel<sub>Md</sub> indicates Gaussian behavior. From a practical point of view, obviously, it is important to know how the rel<sub>Md</sub> differs from zero. An application example arose in the process of identifying 10% of O<sub>2</sub> in N<sub>2</sub> as the anomalous condition. Further, rel<sub>Md</sub> (consider 0.029, 0.024, 0.03 to be representatively high values) becomes even more pronounced at 0% of O<sub>2</sub> in N<sub>2</sub>.

For a more detailed interpretation, let's also consider the 'out-in' sensitivity indicator:

$$\operatorname{rel}_{OI} = 2(\operatorname{Mean}_{\operatorname{out}} \tau - \operatorname{Mean}_{\operatorname{in}} \tau) / (\operatorname{Mean}_{\operatorname{out}} \tau + \operatorname{Mean}_{\operatorname{in}} \tau).$$
(3)

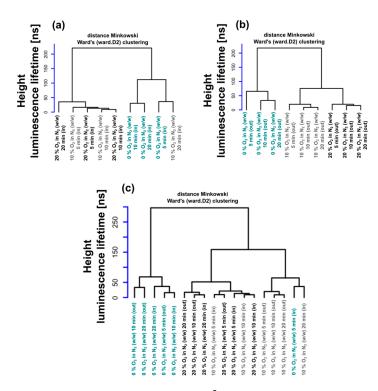
The indices 'in' and 'out' mean the selection of data under specific conditions. The relation is performed to conduct a statistical characterization of the corresponding 'in', 'out' pairs at given administration times under fixed  $O_2\%$  in  $N_2$  conditions. Table 1 shows a variation of a few percent with the outlier value of rel<sub>OI</sub> = 0.103.

Hypoxic conditions applied to certain cells of the CAM may induce the fatal effect. This is of importance since the luminescence lifetime of  $[Ru(Phen)_3]^{2+}$  in the presence of DNA is longer than in aqueous solution, and comparable with the values obtained in the absence of oxygen [10,11]. CAM cells suffering from acute hypoxia are more likely to become necrotic [34]. Cell membranes and nuclear envelopes of these cells can be more permeable

for  $[Ru(Phen)_3]^{2+}$  molecules. We hypothesize that this effect can explain the highest sensitivity to the oxygen level in the case of 10% O<sub>2</sub> in N<sub>2</sub> applied for 10 min to the CAM. Nevertheless, certain cells are not affected by these conditions. It is expected that more cells should suffer from hypoxia at the 0% O<sub>2</sub> in N<sub>2</sub> regimes. However, the  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes distribution is less dispersed. This identifiable anomaly is consistent with the results presented in Figure 2 which are highlighting the differences between the 'in' and 'out' curves that relate to the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime statistics.

Analysis of data based on multidimensional statistical inputs requires visual comparison and additional interpretation. The implementation of hierarchical processing in this project is new, but more natural because the clustering provides advanced tools to achieve interpretable and even visual consequence. In the present work, we also emphasize that clustering does not takes place once, but rather as an iterative mechanism with feedback.

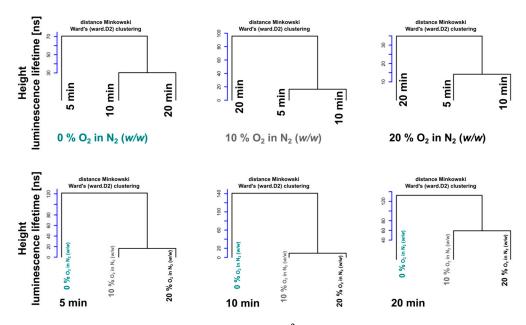
Figure 3 shows the results of the cluster analysis based on luminescence lifetimes obtained under different experimental conditions. The specific arrangement of the results and conditions is such that, while (a) focuses on the 'in' cases, panel (b) monitors the 'out' scenarios. The color and the corresponding contents reflect the differences between panels (a) and (b). In panel (a) the structure of the dendrogram is more fragmented, while (b) is more ordered. This can be explained by the experimental control (high tissue homogeneity) of the 'out' cases, whereas the 'in' case data also reflect more complex physiological internal processes.



**Figure 3.** Dendrograms of  $[\text{Ru}(\text{Phen})_3]^{2+}$  luminescence lifetime detected (**a**) in the blood vessels and (**b**) out of the blood vessels of the CAM at 5, 10, and 20 min after iv administration and with O<sub>2</sub> in N<sub>2</sub> (w/w) atmosphere ranging between 0% and 20%. (**c**) The dendrogram, which is derived from the whole dataset showing mutual relationships.

The results obtained with 10%  $O_2$  in  $N_2$  are the most fragmented, as shown in the overall statistics in panel (c). This is in agreement with the aforementioned anomaly analysis expressed by means of the rel<sub>OI</sub> indicator. The cophenetic distance in the case of the panel (c) also clearly shows that while 10% and 20%  $O_2$  in  $N_2$  are statistically close ([Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime height 150 ns, highest square arch), the case of 0%  $O_2$  in  $N_2$  dataset cases is a significant outlier ([Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime height = 270 ns).

However, one might ask where the roots of mismatch and fragmentation are within the 'in' cases. The answer is indicated by the three upper panels of Figure 4, which were generated by the separate processing of ('in', 0%), ('in', 10%), and ('in', 20%) subsets grouped from the entire 'in' statistics. The administration time (read the dendrogram from left to right) of the legs (branches) changed significantly from the 0% sequence (5 min, 10 min, and 20 min) to (20 min, 5 min, and 10 min) in the cases of 10% and 20% O<sub>2</sub> in N<sub>2</sub>. This means that conditions corresponding to the sensitive branch with a duration of 20 min behave as if it more closely mimics the distance conditions of 'in' case. Another perspective of data perception is established in the last three 'in' dendrograms where the selection of data for clustering is carried out according to the time after administration. Maintaining the canonical order (0%, 10%, and 20%) is a surprising result of these experiments. Here, too, an unusual dendrogram height shift occurs at 20 min.



**Figure 4.** System of sub-dendrograms obtained from  $[Ru(Phen)_3]^{2+}$  luminescence lifetime datasets detected in the blood vessels of the CAM at 5, 10, and 20 min after i.v. administration and 0–20% O<sub>2</sub> in N<sub>2</sub> (*w/w*) atmosphere. The lifetime data set visualization is divided into an upper and a lower part. Each part consists of three dendrograms, which are composed of separately processed data (time-dependent, O<sub>2</sub> in N<sub>2</sub> concentration-dependent). While the first three dendrograms are constructed according to the similarity of the data at given concentrations, the other three are constructed at given detection times.

We recommend reading Figure 3 panels in two directions. One can proceed from the details of the panels (a) and (b), and connect them in the direction of the composite panel (c) as the results. On the other hand, there is a top-down, reductionist way of interpreting panel (c) with less detailed visual representations of (a) and (b). Figure 4 provides alternative and complementary methods for processing and understanding the results.

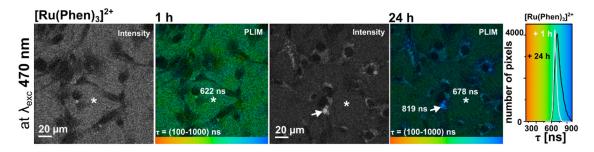
We know from previous examples as well as from practical experience that because of their flexibility, straightforwardness, and controllability, the dendrogram and cluster methods could still compete with many other forms of machine learning and data processing. They have become known and used not only in population genetics but also in many other bio-motivated fields, including brain sciences [35].

We assumed that the change of oxygen atmosphere from normoxia to hypoxia in the gas chamber may have induced oxidative stress. The level of oxidative stress in CAM cells may then locally influence  $[Ru(Phen)_3]^{2+}$  luminescence lifetime detection.

In the next step, we have evaluated the influence of the oxidative stress on the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime detection in glioma cells.

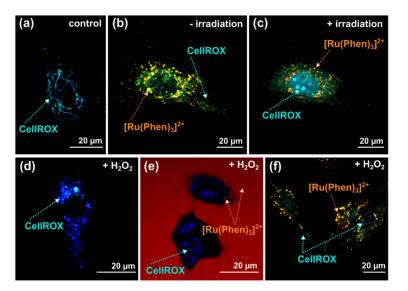
## 2.2. Localization of $[Ru(Phen)_3]^{2+}$ in Glioma Cells and Oxidative Stress

We have used a unique FLIM/PLIM system to see how [Ru(Phen)<sub>3</sub>]<sup>2+</sup> localization influences the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime. Illustrative PLIM images of  $[Ru(Phen)_3]^{2+}$ in the extracellular and intracellular spaces are presented in Figure 5. U87 MG cells incubated during 1 h with 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> are recognized as the dark areas in the intensity and PLIM images. Extracellular [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime was  $\approx$ 650 ns (according to Equation (3) it corresponds to 13.7% of  $O_2$  in  $N_2$ ). [Ru(Phen)<sub>3</sub>]<sup>2+</sup> was not recognized in the cytoplasm. However, the subcellular vesicles loaded with  $[Ru(Phen)_3]^{2+}$ were identified at longer (24 h) incubations time. We have previously demonstrated that  $[Ru(Phen)_3]^{2+}$  crossed the plasma membrane via endocytosis and maintained localized in the endocytotic vesicles, and peroxisomes [7]. The intracellular lifetimes of  $[Ru(Phen)_3]^{2+}$ were longer ( $\approx$ 800 ns and 7.3% of O<sub>2</sub> in N<sub>2</sub>) than for extracellularly measured. Global lifetimes, i.e., the extracellular plus intracellular components, as represented by luminescence lifetime distribution histograms (Figure 5), fall in the interval ranging between 600 and 900 ns (16.5% and 4.2% of  $O_2$  in  $N_2$ ). The increase of the intracellularly localized [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime reflects lower oxygenation within the cells. However, the luminescence lifetime also may dependents on other environmental factors that could explain the longer luminescence lifetimes.



**Figure 5.** Four images on the left: Illustrative intensity and PLIM images obtained with 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> applied in the culture medium of U87 MG cells for 1 and 24 h. Right: [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime distribution histograms (1 h—white, 24 h black). The luminescence lifetimes are color-coded (100 ns—red, 1000 ns—blue). The samples were excited with laser light at 470 nm. The white arrows point to intracellular and asterisks to extracellular localization of [Ru(Phen)<sub>3</sub>]<sup>2+</sup>.

 $[Ru(Phen)_3]^{2+}$  can be considered as a metalotoxin. However, it has a low toxicity and phototoxicity in comparison with porphyrins, as we have already demonstrated [3,7,8]. The presence of metalotoxin in cells may induce oxidative stress. One of the most sensitive organelles to oxidative stress in cells are mitochondria. Representative confocal fluorescence images of CellROX green, a probe sensitive to oxidative stress induction in mitochondria, are presented in Figure 6. We have selected this ROS unspecific probe due to its spectral properties and subcellular localization which are different from those of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> (Figure 6b). Comparison of CellROX green localization with rhodamine 123 (a mitochondrial probe sensitive to mitochondria membrane potential), and  $[Ru(Phen)_3]^{2+}$ is presented in Figure S1 in Supplementary Materials. Intact mitochondria in U87 MG cells were detected in the presence of  $[Ru(Phen)_3]^{2+}$ . Application of light with the microscopy detection system-induced photodamages in cells, as revealed by the destabilization of the mitochondria membrane potential (tubular structures cannot be recognized, see Figure S2 in Supplementary Materials) and an increase in oxidative stress (CellROX localization in the nucleus), as can be seen in Figure 6c. The localization of  $[Ru(Phen)_3]^{2+}$  before and after illumination did not change (Figure 6b,c).



**Figure 6.** Illustrative confocal microscopy images of U87 MG cells stained with (**a**–**f**) 1  $\mu$ M (30 min) CellROX<sup>®</sup>Green (cyan and blue) and 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> (orange and red). These dyes were applied in the U87 MG cells culture medium for (**e**) 1 and (**b**,**c**,**f**) 24 h. (**c**) The cells were irradiated with microscopy (detection time less than 2 min, 2% of the power of the laser: 405 and 488 nm)—the second scan of cells stained with [Ru(Phen)<sub>3</sub>]<sup>2+</sup> and CellROX<sup>®</sup>Green. The photoreaction induced oxidative stress. (**d**–**f**) Extracellular oxidative stress was induced by 200  $\mu$ M H<sub>2</sub>O<sub>2</sub>.

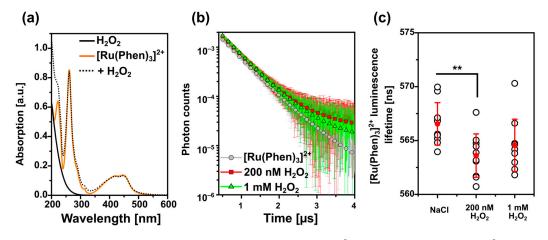
To see the stability of  $[Ru(Phen)_3]^{2+}$  distribution and luminescence at external induction of oxidative stress, we have applied H<sub>2</sub>O<sub>2</sub> into the cell culture. The presence of 200 µM H<sub>2</sub>O<sub>2</sub> in U87 MG resulted in significant reduction of intracellular catalase and superoxide dismutase 1 (see Figure S3 in the Supplementary Materials). Zhang et al. observed that apoptosis triggered by H<sub>2</sub>O<sub>2</sub> mediated oxidative stress in hepatoma cells involved decreasing of catalase and superoxide dismutase activity [36]. It is in agreement with our findings. In our study, we have applied H<sub>2</sub>O<sub>2</sub> for short time (<1 h) to induce acute stress, and prevent its complete metabolism. We have previously demonstrated by MitoSOX<sup>TM</sup> Red [15] that superoxide production increased in U87 MG cells treated with H<sub>2</sub>O<sub>2</sub>. Besides, application of H<sub>2</sub>O<sub>2</sub> resulted in significant ROS production extracellularly and intracellularly detected by DCFDA/H2DCFDA assay (see Figure S4 in Supplementary Materials), and further caused significant lipid peroxidation inside the cells (see Figure S5 in Supplementary Materials).

The extracellular application of  $H_2O_2$  (without molecular probes) induced dissipation of mitochondrial membrane potential, and fission of mitochondria in U87 MG cells (Figure 6d and Figure S2). The same effect as in the absence of  $[Ru(Phen)_3]^{2+}$  (fission of mitochondria) was observed on mitochondria in the presence of  $[Ru(Phen)_3]^{2+}$  and  $H_2O_2$  keeping in the cell culture medium (Figure 6e).  $[Ru(Phen)_3]^{2+}$  distribution and luminescence were not changed.

A similar experiment was performed in cells that were incubated for 24 h with  $[Ru(Phen)_3]^{2+}$ , at that time it crossed plasma membrane via endocytosis [7]. In this case,  $[Ru(Phen)_3]^{2+}$  was removed from the cell culture medium prior observation. The expected fission of mitochondria induced by  $H_2O_2$  was less effective (Figure 6f). Indeed, oxidative stress induced by  $H_2O_2$  caused partial relocalization of CellROX into the nucleus. The number of  $[Ru(Phen)_3]^{2+}$  loaded vesicular structures previously identified as the peroxisomes [7] were localized nearby tubular structured mitochondria (see Figures S1 and S6 in Supplementary Materials), where it may attenuate the oxidative stress. This finding strongly suggests the antioxidants-like effects of  $[Ru(Phen)_3]^{2+}$ . The partial localization of CellROX in the nuclei, on the other hand, suggests that oxidative stress level increased in these cells due to  $H_2O_2$ .

#### 2.3. [Ru(Phen)<sub>3</sub>]<sup>2+</sup> Luminescence Lifetime Stability during Oxidative Stress in Solution

We have demonstrated that the localization of  $[Ru(Phen)_3]^{2+}$  in cells was the same in the presence and absence of H<sub>2</sub>O<sub>2</sub>. We assumed that the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime was more sensitive to this molecule than its intensity. For this reason, we have conducted  $[Ru(Phen)_3]^{2+}$  luminescence lifetime measurements in the solutions of H<sub>2</sub>O<sub>2</sub>. Figure 7a demonstrates unaffected absorption spectra of  $[Ru(Phen)_3]^{2+}$  in the presence and absence of H<sub>2</sub>O<sub>2</sub>. The decays of  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes are plotted in Figure 7b. The extracted values of  $[Ru(Phen)_3]^{2+}$  lifetimes were found to be significantly different in the presence of low concentrated (200 nM) H<sub>2</sub>O<sub>2</sub> (Figure 7c). A small decrease of the  $[Ru(Phen)_3]^{2+}$  lifetimes could be connected with the decomposition of H<sub>2</sub>O<sub>2</sub> with time. The oxygen developed in this reaction can induce quenching of the  $[Ru(Phen)_3]^{2+}$ luminescence lifetime. The highest concentration of H<sub>2</sub>O<sub>2</sub> did not induce further shortening of the lifetimes. The deviations of the luminescence lifetime values induced by H<sub>2</sub>O<sub>2</sub> were small, i.e., within the range (560–575 ns). Estimated values of applied O<sub>2</sub> in N<sub>2</sub> (19–18%) were higher in solutions in comparison to the % of O<sub>2</sub> in N<sub>2</sub> detected in the CAM. This suggests that the effect of H<sub>2</sub>O<sub>2</sub> on the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime is minor.



**Figure 7.** (a) Absorption spectra of 1 mM H<sub>2</sub>O<sub>2</sub> (black), 10  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> (orange), and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> with 1 mM H<sub>2</sub>O<sub>2</sub> (dotted black) 0.9% NaCl solutions. (b) 10  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime decays in 0.9% NaCl physiological solution (grey circles) and the presence of 200 nM (red squares) and 1 mM H<sub>2</sub>O<sub>2</sub> (green triangles). (c) [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime values detected at the conditions mentioned in (b). The mean values of the decays and the lifetimes are the averages of ten measurements with the appropriated standard deviations as the error bars. The level of significance was assessed with the Student *t*-test: \*\* *p* < 0.01.

# 2.4. MTO Fluorescence and $[Ru(Phen)_3]^{2+}$ Luminescence Lifetimes Sensitivity during Oxidative Stress Induced in Glioma Cells

In our previous study, we have demonstrated that oxidative stress induced in cancer cells fragmented MTO such a way that one fraction was bound to DNA in the nucleus resulting in an increase of MTO fluorescence lifetime [15]. Interestingly, MTO fluorescence lifetime increased in mitochondria in which oxidative stress level increased. For this reason, we have selected MTO to detect oxidative stress levels and  $[Ru(Phen)_3]^{2+}$  to detect oxygenation in cells with a unique time-resolved microscopy system. With this setup, excitation and emission of MTO and  $[Ru(Phen)_3]^{2+}$  were clearly discriminated, i.e., no signals of MTO was detected in PLIM, whereas no  $[Ru(Phen)_3]^{2+}$  luminescence was detected in the MTO FLIM channel.

Oxidative stress was induced externally by  $H_2O_2$ . Figure 8 shows FLIM images of MTO fluorescence lifetimes and PLIM images of  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes in U87MG cells in the presence and absence of  $H_2O_2$ . We can recognize the increase of MTO fluorescence lifetime (blue-colored) in the presence of  $H_2O_2$  (Figure 8a). Two main regions of interest (ROI) in cells were recognized. One ROI is in the perinuclear area (in,

 $\approx$ 1500 ps) with long MTO fluorescence lifetimes, whereas the second ROI is in the periphery, nearby the plasma membrane (out,  $\approx$ 1200 ps) with shorter MTO fluorescence lifetimes (Figure 8b,c). As the intracellular H<sub>2</sub>O<sub>2</sub> concentration increased, the MTO delocalizes into the nucleus and the MTO fluorescence lifetime dramatically increased in this region ( $\approx$ 1900 ps).

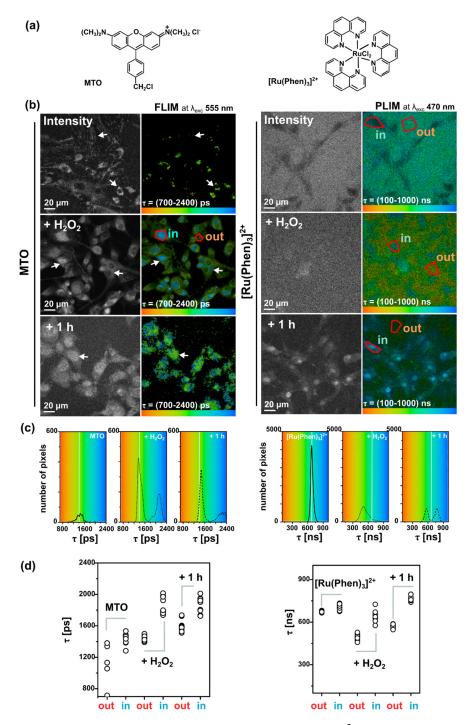
PLIM measurements of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> were performed within 1 h after its administration, i.e., when  $[Ru(Phen)_3]^{2+}$  localized extracellularly. Dark areas in images showing the cell localization of  $[Ru(Phen)_3]^{2+}$  in PLIM can be recognized in Figure 8a. Application of H<sub>2</sub>O<sub>2</sub> resulted in a decrease of the extracellular [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetimes from  $\approx 650$  ns (13.7% of O<sub>2</sub> in N<sub>2</sub>) to  $\approx 400$  ns (35% of O<sub>2</sub> in N<sub>2</sub>), as presented in Figure 8b. As observed in solutions, this effect could be caused by the  $H_2O_2$  decomposition. The PLIM detection at longer incubation time (1 h) after the  $H_2O_2$  application developed a bimodal character of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetimes (see the distribution histograms in Figure 8c). The shorter lifetimes < 600 ns (>16.5% of O<sub>2</sub> in N<sub>2</sub>) were detected in the extracellular area, whereas the longer lifetime > 600 ns (<16.5% of  $O_2$  in  $N_2$ ) were detected in cells. It should be noted that the nuclei of the cells were brightly labeled with  $[Ru(Phen)_3]^{2+}$ and with the longest luminescence lifetimes. This can be explained by the interaction of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> with cellular DNA. Indeed, Komor et al. described that the luminescence of  $[Ru(Phen)_3]^{2+}$  increased in the presence of DNA [10,11]. We assume that the H<sub>2</sub>O<sub>2</sub> applied destabilized the plasma membrane, which became permeable to  $[Ru(Phen)_3]^{2+}$  molecules. These results suggest that the evaluation of  $[Ru(Phen)_3]^{2+}$  lifetimes and subsequent determination of oxygenation level strongly depend on cell fitness.

One original aspect of our work was to apply simultaneously MTO and  $[Ru(Phen)_3]^{2+}$ in cell culture media and to record FLIM and PLIM images from the same cells to monitor oxidative stress level and oxygenation changes. MTO FLIM and  $[Ru(Phen)_3]^{2+}$  PLIM images were detected in the absence and presence of  $H_2O_2$ . No detectable FLIM and PLIM signals were observed in U87 MG cells in the absence of MTO and  $[Ru(Phen)_3]^{2+}$ (Figure 9a). As can be seen in Figure 9, we have obtained results comparable to those observed when MTO and  $[Ru(Phen)_3]^{2+}$  were applied independently. The MTO fluorescence lifetimes increased and the nucleus localized MTO appeared after  $H_2O_2$  application. The  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes decreased shortly after  $H_2O_2$  application and increased with time and nuclear distribution (Figure 9b,c). It should be noted that the oxidative stress level evidenced by the MTO fluorescence lifetime increased in the presence of  $[Ru(Phen)_3]^{2+}$  in the perinuclear area. Besides, the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime slightly increased in the presence of MTO. Therefore, the mutual influence of  $[Ru(Phen)_3]^{2+}$ and MTO should be taken into consideration when oxidative stress level and oxygenation are estimated simultaneously.

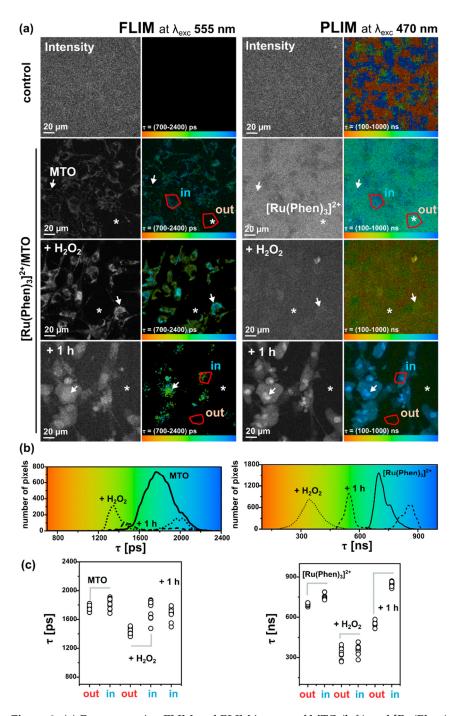
# 2.5. MTO Fluorescence and $[Ru(Phen)_3]^{2+}$ Luminescence Lifetimes Changes Observed during PDT Induced by Curcumin and Blue Light in Glioma Cells

In the following, we aimed to apply the simultaneous FLIM/PLIM detection of MTO and  $[Ru(Phen)_3]^{2+}$  after intracellular induction of oxidative stress by PDT. We have tested the hypothesis to detect oxygen consumption in the extracellular medium (with  $[Ru(Phen)_3]^{2+}$ ), and oxidative stress (with MTO) induction within the cells by PDT.

The absorption curves of  $[Ru(Phen)_3]^{2+}$ , MTO, and curcumin are partially overlapping. Therefore, intensity-based techniques (e.g., fluorescence microscopy) present obstacles to discriminate their emissions. In our laboratory, we utilized a time-resolved microscopy system that enabled us to detect fluorescence (FLIM) and phosphorescence (PLIM) lifetimes from sample (cell) after its excitation with a white femtosecond laser. Thanks to this system we could differentiate by time-resolved spectroscopy the  $[Ru(Phen)_3]^{2+}$ , MTO, and curcumin localization in the same cell. Moreover, the lifetimes of MTO-FLIM and  $[Ru(Phen)_3]^{2+}$  provided information regarding the oxidative stress level and oxygenation of the sample [3,15].



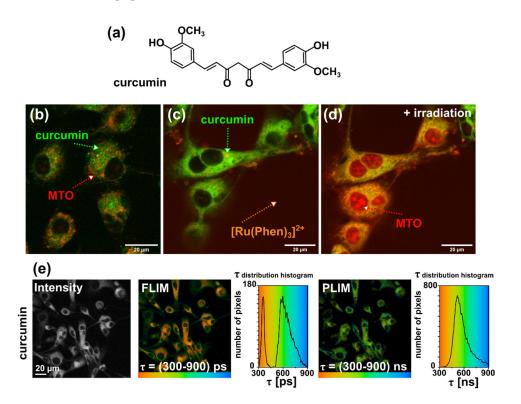
**Figure 8.** (a) Chemical structures of MTO (left) and  $[Ru(Phen)_3]^{2+}$  (right). (b) Representative FLIM and PLIM images of MTO (left) and  $[Ru(Phen)_3]^{2+}$  (right) individually applied in the medium of U87 MG cells for 30 min in the absence and presence of 200  $\mu$ M H<sub>2</sub>O<sub>2</sub> (shortly and 1 h after H<sub>2</sub>O<sub>2</sub> administration). White arrows point to MTO mitochondrial and nuclear localization. The MTO fluorescence lifetimes ROI was selected in the perinuclear/nuclear area (in) and far from this area (out). The  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes ROI were selected in the intracellular (in) and extracellular area (out). (c) MTO and  $[Ru(Phen)_3]^{2+}$  luminescence lifetime distribution histograms. The luminescence lifetimes are color-coded (minima—red, maxima—blue). Gray-scaled images represent the luminescence intensity of MTO and  $[Ru(Phen)_3]^{2+}$ . The samples were excited with laser light at 555 nm (MTO) and 470 nm ( $[Ru(Phen)_3]^{2+}$ ). (d) MTO and  $[Ru(Phen)_3]^{2+}$  luminescence lifetime values detected at the conditions mentioned in (b). At least ten representative ROI was selected.



**Figure 9.** (a) Representative FLIM and PLIM images of MTO (left) and  $[Ru(Phen)_3]^{2+}$  (right) both applied in the medium of U87 MG cells for 30 min in the absence and presence of 200  $\mu$ M H<sub>2</sub>O<sub>2</sub> (shortly and 1 h after H<sub>2</sub>O<sub>2</sub> administration). The cells without staining were collected in the FLIM and PLIM channels as well. White arrows point to MTO mitochondrial and nuclear localization. The MTO fluorescence lifetimes ROI was selected in the perinuclear/nuclear area (in) and far from this area (out). The [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetimes ROI were selected in the intracellular (in) and extracellular (white asterisk) area (out). (b) MTO and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime distribution histograms. The luminescence lifetimes are color-coded (minima—red, maxima—blue). Gray-scaled images represent the luminescence intensity of MTO and [Ru(Phen)<sub>3</sub>]<sup>2+</sup>. The samples were excited with laser light at 555 nm (MTO) and 470 nm ([Ru(Phen)<sub>3</sub>]<sup>2+</sup>). (c) MTO and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetimes detected at the conditions mentioned in (a). At least ten representative ROIs were selected.

As mentioned in the introduction, curcumin can be a scavenger of singlet oxygen but, under irradiation with blue light, it can produce superoxide radicals, singlet oxygen and other reactive oxygen species [29–31]. A combination of dual-detection (MTO-[Ru(Phen)<sub>3</sub>]<sup>2+</sup>) could reveal both, oxidative stress and antioxidant effect of curcumin during PDT in the same cells.

In the present study, we have incubated U87 MG cells with 10  $\mu$ M curcumin during 1 h. Representative fluorescence intensity images of curcumin, MTO, and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> are presented in Figure 10. We have observed an intracellular localization of curcumin, whereas MTO localizes in mitochondria, and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> in the extracellular space. Irradiation (detection time less than 3 min, laser was set at 2% of the total power of the laser: 405, 488, and 555 nm) coming from the microscopy system during fluorescence imaging triggered photoreactions generated oxidative stress production, photobleaching of curcumin fluorescence, and nuclear localization of MTO (Figure 10c). The intracellular localization of curcumin was not specifically in mitochondria. This is in agreement with the observations performed by Sala de Oyanguren et al. who demonstrated specific localization of curcumin in the endoplasmic reticulum and described its role in the process of autophagy and apoptosis [37].



**Figure 10.** (a) Chemical structure of curcumin. Illustrative confocal microscopy fluorescence intensity images of U87 MG cells stained with (b) 10  $\mu$ M curcumin (green) and 400 nM MTO (red), (c) curcumin, MTO (localized in the mitochondria), and 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> (red, extracellularly localized) first scan and (d) second scan that induced oxidative stress (MTO is localized in the nuclei). (e) FLIM and PLIM images of curcumin in U87 MG cells and corresponding fluorescence and phosphorescence lifetimes histograms. The lifetimes are color-coded (minima—red, maxima—blue). The gray-scaled image represents the fluorescence and phosphorescence intensity of curcumin.

The fluorescence lifetime of curcumin is short ( $\approx$ hundreds of ps), as reported by Khopde et al. in different solvent [38]. FLIM and PLIM images of curcumin were detected in the same spectral window as [Ru(Phen)<sub>3</sub>]<sup>2+</sup>. Fluorescence lifetime histograms of curcumin have a bimodal character (Figure 10e). Short components ( $\approx$ 350 ps) were localized in the perinuclear area, whereas longer fluorescence lifetimes of curcumin ( $\approx$ 580 ps) were observed in the periphery near the plasma membrane. In comparison to fluorescence, very little is known about curcumin phosphorescence. In the nineties, Chignell et al. described

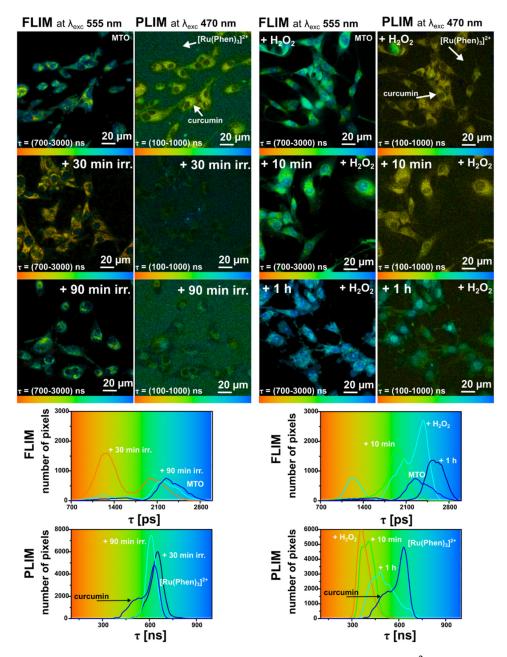
the photobleaching of curcumin and reported that its phosphorescence depends on the excitation wavelength [29]. A broad phosphorescence spectrum > 650 nm was observed at 77 K and 282 nm excitation by this group. In the present study, the phosphorescence lifetime of curcumin was observed in the same PLIM detection window as it was for  $[Ru(Phen)_3]^{2+}$  (Figure 10d). Phosphorescence lifetimes of  $\approx$ 590 ns were detected within U87 MG cells. To the best of our knowledge, this is the first time that curcumin phosphorescence imaging is demonstrated. We should note, that possible other product of curcumin degradation in the cytoplasm could be detected in PLIM mode. This effect should be verified in the future study. Very often the PS is degraded during PDT due to oxidative stress [39]. For this reason, the curcumin PLIM, in the present study, may be considered as a marker for PDT efficacy in cells. With this regard, curcumin degradation should result in decrease of its phosphorescence.

In the following, curcumin was irradiated in cells with blue light emitted by a diode  $(463 \pm 10 \text{ nm and } 100 \ \mu\text{W/cm}^2 \text{ irradiance})$  for 30 and 90 min. Our time-resolved microscopy system detected the FLIM image of MTO and PLIM images of curcumin and  $[Ru(Phen)_3]^{2+}$  in the same cell. Irradiation of cells in the presence of  $[Ru(Phen)_3]^{2+}$  only and in combination with MTO in the absence of curcumin did not result in significantly different changes in FLIM/PLIM images (see Figures S7 and S8 in Supplementary Materials). Indeed, irradiation of cells in the presence of  $[Ru(Phen)_3]^{2+}$  resulted in significant ROS formation, and detected with H2DCFDA sensor in the extracellular area (see Figure S4 in Supplementary Materials). It should be noted that higher light dose may induce certain damages as it was observed by confocal fluorescence microscopy. However, the presence of MTO during irradiation resulted to photodamage of the cells that affected FLIM/PLIM detection. The FLIM and PLIM images of MTO, curcumin, and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> are presented in Figure 11. We can see that the presence of curcumin in U87 MG cells without irradiation increases the MTO fluorescence lifetimes (>2000 ps). This suggests that curcumin increases the oxidative stress in cells. The luminescence lifetimes of  $[Ru(Phen)_3]^{2+}$  were not modified by the presence of curcumin ( $\approx$ 700 ns; 11.28% of O<sub>2</sub> in N<sub>2</sub>). The histograms of curcumin phosphorescence lifetimes revealed values as short as  $\approx$ 400 ns.

Irradiation dramatically changed the MTO and curcumin luminescence lifetime images. Indeed, the MTO fluorescence lifetimes decreased below 1400 ps after 30 min irradiation with blue light. Longer irradiation induced an increase of MTO fluorescence lifetimes. This suggests that the oxidative stress firstly decreased and later increased in cells along the irradiation time. We can recognize that the phosphorescence of curcumin was quenched by the 30 min irradiation with blue light, which led to a slight increase of the  $[Ru(Phen)_3]^{2+}$ luminescence lifetime from 631 to 658 ns (from 14.7% to 13.3% of O<sub>2</sub> in N<sub>2</sub>). These changes can be seen in the histograms of  $[Ru(Phen)_3]^{2+}$  and curcumin phosphorescence lifetimes (Figure 11). It could be that these changes are due to a slight oxygen consumption. On the contrary, the longest 90 min irradiation resulted in decreasing  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes down to 613 ns (15.7% of O<sub>2</sub> in N<sub>2</sub>). It should be noted that the irradiation of cells during FLIM/PLIM detection did not induce any photodamage as it was the case for the confocal fluorescence microscopy.

One can reasonably assume that the antioxidant effects of irradiated curcumin prevail for short irradiation times. The photobleaching of curcumin probably induced oxygen consumption  $([Ru(Phen)_3]^{2+}$  luminescence lifetimes increasing). Moreover, degraded curcumin fractions, active as antioxidants, reduced the oxidative stress level in cells (MTO fluorescence lifetime decreasing).

Long-time irradiation of U87 MG cells probably reversed the curcumin antioxidant effect to photodamage induction. The oxidative stress level (MTO fluorescence lifetimes) increased in those cells. The cells became leakier for  $[Ru(Phen)_3]^{2+}$  molecules, which were internalized in the cells. However, we could not distinguish between the signal from  $[Ru(Phen)_3]^{2+}$  and possible photoproducts of curcumin that may remain in cells after irradiation and create bias in the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime determination.



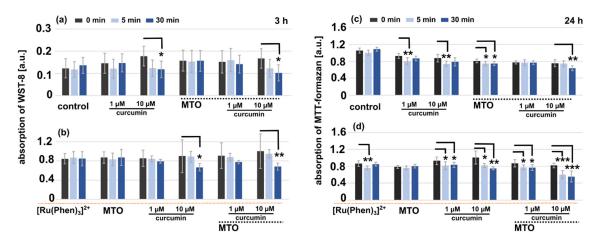
**Figure 11.** Illustrative FLIM images of MTO and PLIM images of  $[Ru(Phen)_3]^{2+}$  both applied in the medium of U87 MG cells for 30 min in the presence of 10  $\mu$ M curcumin. The phosphorescence of curcumin (localized only in cells) was detected in the same channel as  $[Ru(Phen)_3]^{2+}$ . The cells were irradiated 30 and 90 min with blue light, 463  $\pm$  10 nm with an irradiance of 100  $\mu$ W/cm<sup>2</sup> (six images in the upper left). Alternatively, the cells were stressed (six images in the upper right) with 200  $\mu$ M H<sub>2</sub>O<sub>2</sub> (10 min and 1 h after H<sub>2</sub>O<sub>2</sub> administration). FLIM and PLIM luminescence lifetime histograms are plotted for both conditions (below the images). The luminescence lifetimes are color-coded (minima—red, maxima—blue).

As we have demonstrated above (Section 2.2), extracellular  $H_2O_2$  induced significant oxidative stress in cells. In one of our previous study, we have demonstrated that this effect can be reduced by catalase (antioxidant) application [15]. One can assume that curcumin-PDT may induce  $H_2O_2$  production in cells and subsequently increase intracellular catalase concentration. For this reason, the oxidative stress was induced extracellularly by  $H_2O_2$ in U87 MG cells treated with curcumin (Figure 11). Indeed, we have first observed a decrease of oxidative stress (decreased MTO fluorescence lifetimes), and a decrease of  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes. This is consistent with the results obtained in the absence of curcumin (Figures 8 and 9). Interestingly, short phosphorescence lifetimes of curcumin were detected in cells. As expected, an increase of MTO fluorescence and  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes were observed 1 h after H<sub>2</sub>O<sub>2</sub> administration. These results suggest that the H<sub>2</sub>O<sub>2</sub> concentration was too high to be reduced by curcumin.

Although we have demonstrated that curcumin possesses antioxidant properties this compound is not as antioxidating as catalase. On the other hand, curcumin can be degraded with  $H_2O_2$ . The resulting products of this reaction could result in their interaction with curcumin, and decrease its phosphorescence lifetime. Low concentrations of curcumin at 1 h after  $H_2O_2$  administration disable the antioxidant effect of curcumin and could be another reason for higher for the oxidative stress.

Photodamages induced in cells by curcumin differ from massive injury caused by  $H_2O_2$ . For this reason, we have conducted an assessment of the metabolic activity and phototoxicity in U87 MG cells in the presence of all studied molecules before and after irradiation with the blue light. Those results also define the limits of simultaneous MTO and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetimes detection with minimal phototoxicity.

Two irradiation times were applied: 5 min and 30 min. We have chosen to irradiate cells for 5 min to evaluate if the irradiation during PLIM/FLIM measurement may induce photodamages in the cells. The evaluation was performed in living cells 3 h after irradiation with the WST-8 kit (Figure 12a,b). This kit is biocompatible for living cells and does not requires DMSO administration. Standard MTT-assays were performed 24 h after cell irradiations (Figure 12c,d). It should be noted, that the absorption of WST-8 overlaps with that of  $[Ru(Phen)_3]^{2+}$ , MTO, and curcumin. For this reason, a level of significance was estimated within the studied sets. A significant decrease of metabolic activity was found 30 min and 3 h after the irradiations in U87 MG cells treated with 10  $\mu$ M curcumin, and in the presence of MTO (Figure 12a). The metabolic activity of those cells was remarkably suppressed 24 h after the irradiation (Figure 12c). Presence of  $[Ru(Phen)_3]^{2+}$  in cells amplified the photoeffect (Figure 12b,d). However, the viability of these cells did not drop below 60%. These results suggest that the antioxidizing activity of curcumin is more important than the apoptotic one. Our results suggest that a simultaneous detection of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> and MTO can be performed without photodamages if the irradiation from excitation laser maintain less than 30 min when the light dose is equal to 100  $\mu$ W/cm<sup>2</sup>.



**Figure 12.** Metabolic activity test of U87 MG cells performed with (**a**,**b**) WST-8 and (**c**,**d**) MTT-formazan 3 and 24 h after the irradiation, respectively. The cells were pre-treated during 1 h with 1 and 10  $\mu$ M curcumin, 400 nM MTO, and 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup>, individually or in combinations, as represented by histograms. The histograms present the results obtained in the (**a**,**c**) absence, and (**b**,**d**) presence of [Ru(Phen)<sub>3</sub>]<sup>2+</sup>. The cells were irradiated with blue light (463 ± 10 nm; 100  $\mu$ W/cm<sup>2</sup>) for 5 and 30 min. The average values presented correspond to the means of two independent measurements. Error bars: standard deviations from the mean values. The level of significance was estimated by the Student *t*-test: \* *p* < 0.05, \*\* *p* < 0.01, and \*\*\* *p* < 0.001.

#### 3. Materials and Methods

#### 3.1. CAM Model Preparation and Luminescence Lifetime Detection

Fertilized chicken eggs (Animalco AG, Staufen, Switzerland) were incubated in an automatic turning incubator (FIEM snc, Buttigliera d'Asti AT, Italy) with the blunt end up for 3 days (37 °C, 65% humidity, 155.4 mmHg atmospheric oxygen pressure). On the 3rd embryo development day (EDD) a small hole (3 mm in diameter) was perforated in the shell and covered by tape (Scotch<sup>®</sup> Magic<sup>TM</sup>, St. Paul, MN, USA). Eggs were then returned into the incubator with the blunt end down in a static position until a measurement. The hole in the shell was enlarged (2.5 cm in diameter) at EDD 11.

The chicken embryo chorioallantoic membrane (CAM) was placed under an epifluorescence microscope (Nikon Eclipse E 600 FN, Nikon, Tokyo, Japan) to visualize and measure luminophores. A physiological solution of 0.9% NaCl (Braun Melsungen AG, Melsungen, Germany) containing 10 mg/kg of body weight (b.w.) of dichlorotris(1,10phenanthroline)-ruthenium(II) hydrate ([Ru(Phen)<sub>3</sub>]<sup>2+</sup>, 98% purity powder, Sigma-Aldrich, St. Louis, MO, USA) was administered intravenously (iv) with 20 µL aliquots into the main vein of the CAM. The [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence was detected with a digital scientific camera (PCO.1300, PCO Imaging, Kelheim, Germany) under a Hg-arc lamp (HBO 103 W/2, Osram, Munich, Germany) excitation at 470 ± 20 nm. The emission was separated using a 505 nm dichromatic mirror, and a long-pass emission filter at 520 nm. A low magnification objective (4×/0.13, Plan Fluor  $\infty/-$ , Nikon, Tokyo, Japan) was used to visualize the [Ru(Phen)<sub>3</sub>]<sup>2+</sup> biodistribution. Image analysis was performed with the Image J software (National Institutes of Health, Bethesda, MD, USA).

The luminescence lifetime of 1 mg/kg of b.w.  $[Ru(Phen)_3]^{2+}$  was measured using a dedicated optical fiber-based, time-resolved spectrometer previously described [3,7]. A nitrogen laser-pumped tunable dye (Coumarin 102) laser emitting at 470 nm (<10 ns pulse duration, 10 Hz repetition range) was coupled into a single optical fiber (500 µm diameter) to probe the CAM vessels. The same fiber was used to collect the  $[Ru(Phen)_3]^{2+}$ luminescence filtered by a 660–735 nm emission filter (HQ 700/75 M), and detected by a gateable photomultiplier. Autofluorescence was subtracted. Luminescence decays were measured at different locations on the CAM as presented in Figure 1: in the vessels ('in') and out of the vessels ('out'). The eggs were placed into a gas chamber and subjected to 0, 10, and 20% O<sub>2</sub> in N<sub>2</sub> (w/w) that correspond to pO<sub>2</sub>: 0, 74, and 155.4 mmHg. Pure nitrogen gas (Carbagas, Muri bei Bern, Switzerland) was mixed by the BRICK-gas mixer (Life Imaging Services GmbH, Basel, Switzerland) with air to reach gas mixtures. The [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetimes were measured at 5, 10, and 20 min after its administration and gas application. Eggs were kept at 37 °C. Ten eggs were measured per condition.

#### 3.2. Luminescence Lifetime Determination

Although the clustering problem is the subject of an extensive literature with stringent arguments, we will briefly deal with only a few of its aspects that are relevant for the experimental data application in question. Generally, the clustering approach can be useful in scientific areas where data occur in clumps, which were referred to as clusters. In short, our study can be viewed as a technique where the decays of luminescence intensity are derived (see Figures 1c and 13a) to provide data inputs that the clustering handles further. The next step introduces the set of lifetimes, which is assumed to consist of a system of all lifetimes corresponding to the same conditions of observation. Using approaches known as descriptive statistics, we can better understand the properties of a set of observations. As a next step, we used the summary (.) function in R [33]. The six components: minimum, 1st quartile, arithmetic mean, median, 3rd quartile, and maximum can be calculated for the set of lifetimes corresponding to the given experimental conditions (see Figures 2 and 13b). The components are forming six-dimensional vectors which can be compared in terms of distances or distance-based measures. More specifically, we used Ward's agglomerative clustering algorithm, which is implemented in R (open-source software for statistical calculations) along with a standard hclust (.) function. The effects were discussed in

connection with the Minkowski-type of the distance matrix (in the case of p = 1) leading to Ward's technique [40]. For specificity, we state that using R defined by the Ward.D2 method, the distance matrix was transformed into a system of dendrograms (Figure 3). The cophenetic distance between two objects in the dendrogram is the distance of the objects—vectors that are members of the same cluster. Climbing up the dendrogram tree is described by the Height, which is the normalized lifetime difference referring to the pair of experimental conditions associated with the dendrogram legs.

_(a)	[(b)	
Measurement and initial data processing	Clustering of lifetimes	
<ul> <li>data interpretation - exponential decay model of luminescence:</li> </ul>	- lifetime statistics from different samples summary (.) command in R package	
Intensity (t) $\simeq$ Amplitude	<ul> <li>- 6 dimensional lifetime characteristics which represent statistical features</li> </ul>	
$x \exp(-t / \text{ lifetime } \tau)$	hierarchical clustering	
<ul> <li>regression, minimization of residuals:         <ul> <li>Levenberg-Marquardt algorithm</li> <li>damped leasr - squares</li> <li>autraction of "antimal" lifetimes</li> </ul> </li> </ul>	<ul> <li>* Ward.D2 method for linkages</li> <li>* Minkowski (<i>p</i> = 1) distances</li> <li>results of clustering</li> </ul>	
- extraction of "optimal" lifetimes	<ul> <li>form of dendrograms</li> <li>cophenetic distances</li> </ul>	
<ul> <li>lifetime collection, organization</li> </ul>	interpretation	
<ul> <li>data items - different conditions of experiments</li> </ul>	- focus on sub - spaces, conditions: {In, Out}; {X minutes}; Y % O <sub>2</sub> in N <sub>2</sub>	

Figure 13. Schematic diagrams of (a) measurement and initial data processing, and (b) clustering of lifetimes.

#### 3.3. Luminescence Properties of $[Ru(Phen)_3]^{2+}$ in Solutions

The  $[Ru(Phen)_3]^{2+}$  UV-Vis absorption spectra in the presence and absence of 1 mM  $H_2O_2$  (VWR, Paris, France) and  $H_2O_2$  alone diluted in distilled water were detected with an absorption spectrometer (UV-2401 PE, Shimadzu, Sydney, Australia) in the spectral range 200–600 nm with 1 nm step.

The luminescence decays of 10  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> in the 0.9% NaCl solutions in the absence and presence of 200 nM and 1 mM H<sub>2</sub>O<sub>2</sub> were detected as previously described [32]. The samples were excited at 476 nm by CW laser (90C FreD, Coherent, Santa Clara, CA, USA). The laser beam passed via an acousto-optical modulator (AOM, 1205C, Isomet, Springfield, VA, USA) operated in switching ON/OFF mode. It was driven by electrical pulses from a delay generator (20  $\mu$ s laser pulse, 2 kHz repetition rate). Gas flow (20% O<sub>2</sub> in N<sub>2</sub> (*w/w*)) and temperature (25 °C) controllers were embedded in a quartz cuvette. The phosphorescence signal was purified by a long-pass filter (>500 nm) and measured with an avalanche photo-diode (APD, APD110A2, Thorlabs, North Newton, NJ, USA). A mono-exponential fit was applied to derive the [Ru(Phen)<sub>3</sub>]<sup>2+</sup> luminescence lifetime. The average value was estimated from 10 measurements.

#### 3.4. Cell Culture Preparation and Confocal Fluorescence Imaging

The U87 MG human glioma cells (Cells Lines Services, Eppelheim, Germany) were grown in cell culture medium Dulbecco's modified Eagle medium (D-MEM, Gibco-Invitrogen, Life Technologies Ltd., Paisley, UK) supplemented with 10% fetal bovine serum (FBS), L-glutamine (862 mg/L), sodium pyruvate (110 mg/L), glucose (4500 mg/L) and penicillin/streptomycin (1% w/w), all from Gibco-Invitrogen, Life Technologies Ltd., Paisley, UK. The cells were incubated in the dark at 37 °C, 5% CO<sub>2</sub>, and 80% humidified atmosphere. Cells were seeded into the glass-bottom embedded Petri dishes (SPL Confocal dish PS/glass hole, 35 × 10 mm<sup>2</sup>) for microscopy at density 10<sup>4</sup> cells per petri dish.

The cells were labeled with 1  $\mu$ M CellROX<sup>®</sup> Green (CellROX, Life Technologies<sup>TM</sup>, Carlsbad, CA, USA) and 400 nM MitoTracker<sup>TM</sup> Orange CMTMRos (MTO, ThermoFisher Scientific, Waltham, MA, USA) to detect oxidative stress and mitochondrial integrity. Cells were treated with 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> and 10  $\mu$ M curcumin (Sigma-Aldrich, Darmstadt, Germany) for 1 and 24 h. Fluorescence images were collected with a confocal fluorescence microscope system (LSM 700, Zeiss, Oberkochen, Germany), 63X oil immersion objective (NA 1.46, Zeiss), and a CCD camera (AxioCam HRm, Zeiss). The samples were excited with 405, 488, and 555 nm lasers. The emission was detected as follows: CellROX and curcumin 488 nm/500–550 nm, [Ru(Phen)<sub>3</sub>]<sup>2+</sup> 405 nm/<580 nm, MTO 555 nm/<580 nm. The fluorescence images were analyzed with Zen 2011 software (Zeiss). The oxidative stress was induced by 200  $\mu$ M H<sub>2</sub>O<sub>2</sub> administration and by light from the microscope system during detection. The experiments were performed in triplicates. Cells with [Ru(Phen)<sub>3</sub>]<sup>2+</sup> only and in combination with MTO were irradiated during 30 min with blue light as well.

Viable mitochondria were also stained with 5  $\mu$ M Rhodamine 123 (Rh123, Sigma-Aldrich, Darmstadt, Germany) for 30 min. The samples were excited with 488 nm laser and the fluorescence was detected in the spectral range 490–530 nm. The nuclei were stained with 10  $\mu$ g/mL Hoechst 33258 (ThermoFisher Scientific, Waltham, MA, USA) during 30 min. The samples were excited with 405 nm laser and the fluorescence was detected in the spectral range 410–480 nm.

Cellular ROS were determined by 2',7'-dichlorofluorescin diacetate (DCFDA/H2DCFDA) assay kit (ab113851, Abcam, Cambridge, UK) in cells before and after irradiation, in the presence of  $[\text{Ru}(\text{Phen})_3]^{2+}$ , and 200  $\mu$ M H<sub>2</sub>O<sub>2</sub>. The samples were excited with 488 nm laser and the fluorescence was detected in the spectral range 490–530 nm. The quantification of H2DCFDA fluorescence intensity was performed with ImageJ. The mean values of fluorescence intensities (8 images) in the extracellular area and the intracellular area were plotted in histograms. Error bars represent standard deviations. The level of significant difference from the control was calculated with one-way ANOVA: \* *p* < 0.05, \*\* *p* < 0.01, \*\*\* *p* < 0.001.

Lipid peroxidation was estimated with the lipid peroxidation assay kit (ab243377, Abcam) in cells in the absence and presence of 200  $\mu$ M H<sub>2</sub>O<sub>2</sub>. The samples were excited with 488 nm laser and the fluorescence was detected in the spectral ranges 490–530 nm (green channel) and 560–630 nm (red channel). Lipid peroxidation is represented with increasing of green fluorescence.

Quantification of lipid peroxidation sensor fluorescence was performed with a 96-well plate fluorescence reader (GloMax TM-Multi1Detection system with Instinct Software, Madison, WI, USA) with blue (excitation at 490 nm, green emission at 510–570 nm) and green (excitation at 525 nm, red emission at 580–640 nm) filters. Cells were seeded in the wells of 96-well plate at the density  $9 \times 10^3$  cells per well and treated with H<sub>2</sub>O<sub>2</sub> and lipid peroxidation sensor similarly as for microscopy. The mean values of the fluorescence (three measurements) are presented as the histograms. Error bars represent standard deviations. The level of significant difference was calculated with one-way ANOVA: p < 0.05, \*\* p < 0.01.

#### 3.5. Metabolic Activity of U87 MG Cells before and after Irradiation

The U87 MG cells were treated with 400 nM MTO, 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup>, 10  $\mu$ M curcumin, and their combinations for 1 h. After 1 h the treated cells were kept in dark and irradiated for 5 and 20 min with home-made blue LED-based irradiation platform at 463  $\pm$  10 nm and 100  $\mu$ W/cm<sup>2</sup> light dose rate. Metabolic activity was assessed by cell counting Kit-8 (WST-8, Merck, Darmstadt, Germany) at 3rd hour after the irradiation in living cells according to supplier protocol. The absorption of 96-well plates with treated cells was detected with the reader (GloMax TM-Multi1Detection system with Instinct Software, Madison, WI, USA) at 450 nm. The 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT, Sigma-Aldrich, Darmstadt, Germany) was applied to cells 24 h after the irradiation according to supplier protocol. The purple crystals of formazan were dissolved

in 100% dimethyl sulfoxide (DMSO, Sigma-Aldrich, Darmstadt, Germany) and measured with the absorption reader at 560 nm.

#### 3.6. Fluorescence and Phosphorescence Lifetime Imaging of U87 MG Cells

An inverted fluorescence microscopy system (Zeiss AxioObserver Z1, Zeiss) equipped with  $40 \times$  water immersion objective (NA = 1.2, Zeiss) and connected to a fluorescence lifetime imaging system (FLIM, DSC-120 Dual Channel Confocal Scanning system, Becker & Hickl GmbH, Berlin, Germany) was used for time-resolved fluorescence microscopy. The 400 nM MTO was detected in the fluorescence lifetime imaging (FLIM) mode. The samples were excited with a pulsed NKT-Super-K Extreme laser (40 MHz, 5 ps pulse, NKT Photonics, Birkerød, Denmark) at 555 nm (2% of total power). The MTO fluorescence lifetimes were detected at the 25 ns time range with an HPM-100-50 hybrid detector (Becker & Hickl GmbH). The 200  $\mu$ M [Ru(Phen)<sub>3</sub>]<sup>2+</sup> and 10  $\mu$ M curcumin were detected in the phosphorescence lifetime imaging (PLIM) mode. Samples were excited with the same laser as for MTO at 470 nm (10% of total power) and a scan rate of 10.23 ms line time, 2.62 s/frame. The [Ru(Phen)<sub>3</sub>]<sup>2+</sup> and curcumin phosphorescence lifetimes were detected at the 25.6 µs time range with the same HPM-100-50 hybrid detector. The light path was filtered with LP 488 and BP  $624 \pm 20$  nm (NT67-035, EDMUND Optics, Barrington, NJ, USA). Time decays were analyzed with SPC image analysis software (Becker & Hickl GmbH). The quality of the fits was graphically checked by plotting the residuals and  $\chi^2 \sim 1$ . The experiments were performed in triplicates. Different regions of interest (ROI) were selected. The positions of ROI were depicted in figures. The FLIM of MTO was fitted with a mono-exponential function. The PLIM of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> were fitted with bi-exponential function and t2 was fixed at 20,000 ns (a probability of the occurrence was below 1). FLIM and PLIM were detected in living cells treated 1 h with MTO, curcumin, and  $[Ru(Phen)_3]^{2+}$ . All probes were maintained in the media during detection. Intracellular  $[Ru(Phen)_3]^{2+}$ detection was performed 24 h after the treatment. Oxidative stress in cells was induced by 200  $\mu$ M H<sub>2</sub>O<sub>2</sub> and by irradiation with blue LED light (463  $\pm$  10 nm and 100  $\mu$ W/cm<sup>2</sup> light dose rate) for 30 and 90 min. Cells with [Ru(Phen)<sub>3</sub>]<sup>2+</sup> only and in combination with MTO were 30 min irradiated with blue light as well. The detection was performed shortly after the irradiation. The luminescence signal and lifetime of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> was not detected in the MTO fluorescence detection window, and the phosphorescence of MTO in [Ru(Phen)<sub>3</sub>]<sup>2+</sup> window was eliminated. Curcumin fluorescence was not detected in the MTO fluorescence window. Curcumin phosphorescence was present in  $[Ru(Phen)_3]^{2+}$ detection window, however, the localization of this signal was clearly defined only within the cells.

#### 3.7. Western Blot Analysis

The U87 MG human glioma cells were seeded in 25 cm<sup>2</sup> flasks at the density  $5 \times 10^5$  cells. Cells were treated for 30 min with 200  $\mu$ M H<sub>2</sub>O<sub>2</sub> before lysis in radioimmunoprecipitation (RIPA) buffer (150 mM sodium chloride, 1% Triton X-100, 0.5% sodium deoxycholate, 0.1% sodium dodecyl sulphate, 50 mM Tris, pH 8). Western blot analysis was performed similarly as described in [15]. Oxidative stress defense cocktail (ab179843, Abcam, Cambridge, UK) was applied to estimate the expression level of catalase, superoxide dismutase 1, thioredoxin, and smooth muscle actin in cells. Anti- $\beta$ -actin antibody (ab8227, Abcam, Cambridge, UK) was determined as a housing protein. The WesternBreeze chromogenic kit anti- rabbit was purchased from ThermoFisher Scientific. The image analysis of proteins on the membrane was performed with ImageJ software. Optical densities (O.D.) of the bands were detected with ImageJ and analyzed, the normalized O.D. values are the mean values from 4 measurements and are plotted in histograms (down). Error bars represent standard deviations. The level of significant difference was calculated with one-way ANOVA: p < 0.05, \*\* p < 0.01.

#### 4. Conclusions

The present manuscript brings a new point of view to interpret the data obtained by time-resolved measurement of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetimes in cells. We have shown that hierarchical clustering, a key element in the processing of data sets reflecting the  $[Ru(Phen)_3]^{2+}$  oxygen sensitivity, offers many opportunities to carry out the analysis. Significant relationships in the tissue oxygenation level detections were revealed by specific differences between dendrograms. This study enabled to show that  $[Ru(Phen)_3]^{2+}$  molecules distributed in the extravascular space were more sensitive to external changes of the oxygen level, the dendrograms unambiguously segmented the data of high and low oxygenated tissues (in space and time). We have assumed that the oxygen sensitivity of  $[Ru(Phen)_3]^{2+}$  luminescence lifetime is influenced by oxidative stress induced in cells. For this reason, and for the simplicity,  $[Ru(Phen)_3]^{2+}$  and MTO were co-administered and their luminescence and fluorescence lifetimes were detected with the unique fluorescence and phosphorescence lifetime imaging microscope to register the changes in the oxygenation and oxidative stress in glioma cells.

With our new approach, we have demonstrated that  $[Ru(Phen)_3]^{2+}$  can be applied in combination with MTO to detect extracellular oxygen and intracellular oxidative stress levels. This combination works well when oxidative stress is induced externally, for example when  $H_2O_2$  is used for this purpose. However, PDT which is known to consumed oxygen intracellularly did not induce variations of the  $[Ru(Phen)_3]^{2+}$  luminescence lifetime in cell culture media in our studied system. On the other hand, MTO sensing revealed both, antioxidant and oxidative stress production activity of curcumin-mediated PDT.

We have demonstrated that the photo-toxicity of the combination of MTO and [Ru (Phen)<sub>3</sub>]<sup>2+</sup> was low and that the detection of oxygenation and oxidative stress can be performed without cell photodamage when such measurements are performed up to 1 h after the administration of these dyes when the excitation is at 463  $\pm$  10 nm with an irradiance of 100  $\mu$ W/cm<sup>2</sup> (30 min).

It would be interesting to see what would happen if this approach is applied to cancer cell spheroids as a model of tumor. One can expect that  $[Ru(Phen)_3]^{2+}$  will localize in the interstitial space, hence probing the oxygen level in spheroids instead of in the cells monolayers. Another advantage of the small 3D system is that the diffusion of oxygen and its consumption will be better controlled in the small volume of the spheroid in comparison with the CAM. Using optimized PS and oxygen-sensitive probes will probably enable to improve the imaging in real-time of the photo-destruction, oxygen consumption, and oxidative stress induction during PDT.

**Supplementary Materials:** The following are available online, Figure S1: Decomposition of confocal microscopy images of U87 MG cells stained with CellROX<sup>®</sup>Green, rhodamine 123, and [Ru(Phen)<sub>3</sub>]<sup>2+</sup>; Figure S2: Mitochondria of U87 MG cells in the presence and absence of  $H_2O_2$ ; Figure S3: Western blot analysis of oxidative stress defense proteins: catalase, superoxide dismutase 1, and thioredoxin in U87 MG cells in the absence and presence  $H_2O_2$ ; Figure S4: Cellular ROS visualized with DCFDA/H2DCFDA assay in U87 MG cells in the presence of [Ru(Phen)<sub>3</sub>]<sup>2+</sup>, light and  $H_2O_2$ ; Figure S5: Lipid peroxidation visualized in U87 MG cells in the absence and presence  $H_2O_2$ ; Figure S7: PLIM images of [Ru(Phen)<sub>3</sub>]<sup>2+</sup> in U87 MG cells after irradiation; Figure S8: FLIM and PLIM images of MTO and [Ru(Phen)<sub>3</sub>]<sup>2+</sup> before and after irradiation of U87 MG.

**Author Contributions:** Conceptualization, V.H. and D.H., and G.W.; methodology, V.H., D.H., and G.W.; software, D.H.; validation, R.S., and V.H.; investigation, V.H., D.H., R.S., and G.W.; data curation, V.H., and D.H.; writing—original draft preparation, V.H., D.H., R.S., and G.W.; visualization, V.H., and D.H. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Ministry of education, science, research and sport of the Slovak Republic, grant number VEGA 1/0156/18, and VEGA 1/0421/18, the Swiss National Science Foundation (project 315230 185262/1) and by the Slovak Research and development agency, grant number APVV-15-0485. This publication is the result of the project implementation: Open

scientific community for modern interdisciplinary research in medicine (Acronym: OPENMED), ITMS2014+: 313011V455 supported by the Operational Programme Integrated Infrastructure, funded by the ERDF.

Data Availability Statement: Data contained in this paper are available from the authors.

**Conflicts of Interest:** The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are commercially available.

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### Article Intermediate Detection in the Casiopeina–Cysteine Interaction Ending in the Disulfide Bond Formation and Copper Reduction

Lillian G. Ramírez-Palma<sup>1,2,†</sup>, Adrián Espinoza-Guillén<sup>3,†</sup>, Fabiola Nieto-Camacho<sup>3</sup>, Alexis E. López-Guerra<sup>3</sup>, Virginia Gómez-Vidales<sup>1</sup>, Fernando Cortés-Guzmán<sup>1,2,\*</sup> and Lena Ruiz-Azuara<sup>3,\*</sup>

- <sup>1</sup> Instituto de Química, Universidad Nacional Autónoma de México, Av. Universidad 3000, C. U., México City 04510, Mexico; lila.gis.rp@gmail.com (L.G.R.-P.); gomvidal@unam.mx (V.G.-V.)
- <sup>2</sup> Centro Conjunto de Investigación en Química Sustentable UAEMex-UNAM, Carretera Toluca-Atlacomulco km 14.5, Toluca 50200, Mexico
- <sup>3</sup> Facultad de Química, Universidad Nacional Autónoma de México, Av. Universidad 3000, C. U., México City 04510, Mexico; adrianeg24@gmail.com (A.E.-G.); fabille.nc@gmail.com (F.N.-C.); eduga\_9@live.com.mx (A.E.L.-G.)
- Correspondence: fercor@unam.mx (F.C.-G.); lenar701@gmail.com (L.R.-A.)
- + These authors contributed equally to this work.

**Abstract:** A strategy to improve the cancer therapies involves agents that cause the depletion of the endogenous antioxidant glutathione (GSH), increasing its efflux out of cells and inducing apoptosis in tumoral cells due to the presence of reactive oxygen species. It has been shown that Casiopeina copper complexes caused a dramatic intracellular GSH drop, forming disulfide bonds and reducing Cu<sup>II</sup> to Cu<sup>I</sup>. Herein, through the determination of the [Cu<sup>II</sup>]–SH bond before reduction, we present evidence of the adduct between cysteine and one Casiopeina as an intermediate in the cystine formation and as a model to understand the anticancer activity of copper complexes. Evidence of such an intermediate has never been presented before.

**Keywords:** copper complexes; glutathione depletion; cysteine; Casiopeina; intermediate; copper reduction

#### 1. Introduction

The study of the oxidation of endogenous thiolated compounds, such as glutathione (GSH) and cysteine (Cys), is essential to understand the oxidative stress within the cells [1]. Glutathione ( $\gamma$ -L-glutamyl-L-cysteinyl-glycine, GSH) is a tripeptide that participates in redox processes into the cells, where the Cys residues of GSH are easily oxidized to disulfide (GSSG) [2,3]. It also participates in cancer cell protection against xenobiotics, ionizing radiations, and oxidative stress. Its oxidation favors the opening of the mitochondrial permeability transition pore complex, facilitating the release of death-related molecular signals [4,5]. A strategy to improve cancer therapies' efficacy should involve cytosolic and mitochondrial GSH depletion through an increase of GSH efflux out of cells [4]. Kachadourian and coworkers tested, in human lung cancer cells (H157 and A549), one copper complex of the Casiopeina<sup>®</sup> family, showing that it induced a dramatic drop in the intracellular levels of GSH (Figure 1A) [6]. Additionally, there are reports of GSH depletion produced by copper complexes on cervix HeLa and neuroblastoma CHP-212. GSSG/GSH and cystine/cysteine's redox potentials are very similar, -263 and -220 mV vs. normal hydrogen electrode (NHE) respectively [7,8], with glutathione being a slightly better reducing agent (Figure 1C). The oxidation by copper(II) ions of cysteine-containing peptides such as glutathione and cysteine has been studied to understand this biometal's role in oxidative stress processes [9–12]. The copper favors the oxidation of thiols [11,13], where Cu<sup>II</sup> reacts with thiols to form [Cu<sup>II</sup>]-thiol adducts depending on their molar ratio. The  $[Cu^{II}]$ -thiol complex is reduced to  $Cu^{I}$ , and in turn, thiols are oxidized to the corresponding radicals. The CasIII-ia ([Cu(4,4'-dimethyl-2,2'-bipyridine)(acetylacetonate)]NO<sub>3</sub>\*(H<sub>2</sub>O)<sub>2</sub>,

Citation: Ramírez-Palma, L.G.; Espinoza-Guillén, A.; Nieto-Camacho, F.; López-Guerra, A.E.; Gómez-Vidales, V.; Cortés-Guzmán, F.; Ruiz-Azuara, L. Intermediate Detection in the Casiopeina–Cysteine Interaction Ending in the Disulfide Bond Formation and Copper Reduction. *Molecules* **2021**, *26*, 5729. https://doi.org/10.3390/ molecules26195729

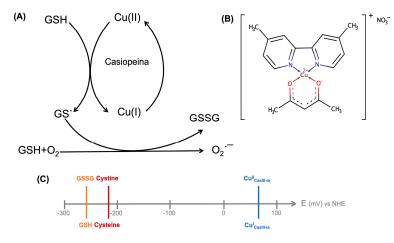
Academic Editors: Višnja Stepanić, Marta Kučerová-Chlupáčová and Helen Osborn

Received: 10 July 2021 Accepted: 14 September 2021 Published: 22 September 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Figure 1B) is a copper(II) complex from the Casiopeina family with a potential of 62 mV vs. NHE [14], which could mediate the oxidation of biological thiols (Figure 1C). Recently, a paper [15] demonstrated the formation of  $O_2 \cdot \$ when bisdiimine copper(II) chelates were reduced by ascorbate. However, the pathway by which  $Cu^{II}$  is reduced to  $Cu^{I}$  has not yet been described; therefore, in this work, we present a strategy to understand this reduction process and determine the possible intermediate.



**Figure 1.** (**A**) The hypothesis of the interaction between the Casiopeina family and a thiolated redactor [6]. (**B**) CasIII-ia structure. (**C**) The redox potential of thiol-containing biomolecules and CasIII-ia.

These observations suggest the importance of copper complexes, such as the Casiopeinas, to oxidate thiol residues, inducing mitochondrial damage [6]. From the above considerations, in the present work, we study the specific interaction between cysteine and CasIII-ia to understand the pathway leading to a final reduction of the copper metal center and the disulfide bond formation. We focused on Cys, the reactive site of GSH, because it reacts slower than GSH in solution, and standard analytic techniques can detect its reactive intermediates. Additionally, we used mechanochemical methods to reduce the oxidation reaction rate. The family of Casiopeínas<sup>®</sup> [16] was designed considering three elements: copper(II) as a central metal and two mixed ligands with several substituents, providing to copper the possibility of producing cytotoxicity through different mechanisms of action [17]. Ligands modify the cationic copper's transport properties [18], the electronic properties of the central metal [19], and the molecular recognition of the complex [20]. The Casiopeina activity goes along with the cytotoxic effects, such as the generation of reactive oxygen species (ROS) [21,22], which can damage cellular components through oxidation and alter the oxidation-reduction balance cell or interfere with the mechanisms of cellular signaling related to the redox state [23]. There are reports about the antiproliferative and antineoplastic activities over murine and xenografted human tumors [24,25]. On the other hand, survival was evaluated in murine models: L1210 (leukemia), S180 (sarcoma), and B16 (melanoma) [26]. In non-tumor cells, the mean inhibitory concentration of this compound was 4.7, determined in lymphocytes, approximately 250 times higher than that observed in tumor lines, suggesting a selectivity towards tumoral cells [27]. Additionally, pharmacokinetic analyses performed with rat urine [28] and dog blood [29] samples have proved a high elimination rate of the Casiopeinas. All these results indicate an increase in the in vivo activity of the compound CasIII-ia, concerning the cisplatin activity as a positive control, evaluated in the same system. Our group has investigated the relationship between the features of the metal complex and their activity. A QSAR study showed that the half-wave potential and aromatic ring in the molecule are relevant for the compounds' action [14].

Other derived models can predict mixed chelate copper complexes' degree of activity based on the chemical correlation between structure, EPR, and electrochemical behavior,

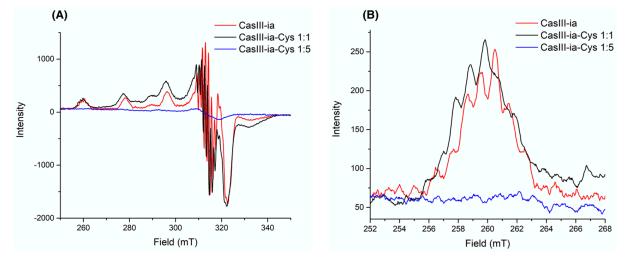
supported by DFT calculations [19]. We also developed a regression model to reproduce the antiproliferative activity involving the atomic delocalization and dipole moment changes within the ligands' C-N bonds [30]. These bonds are also determinant for the recognition site of copper complexes by the DNA backbone [20].

#### 2. Results and Discussion

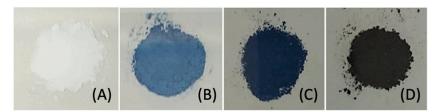
In solution, the endogenous reductant species, such as GSH, react very fast with Casiopeinas to be detected by standard analytical methods. For this reason, we decided to focus on the reactive site of GSH and Cys, and perform the reaction process in the solid state to observe the steps occurring in the Cys oxidation process. It has been reported that the Cys redox reaction barrier is smaller than the GSH one and also that the redox process is faster than any ligand exchange. In this way, Cys is an acceptable model of GSH for the process catalyzed by the CasIII-ia.

The CasIII-ia was prepared by the patent procedure [31–33]. To prove the inclusion of Cys in the copper coordination sphere and determine the features of the [Cu<sup>II</sup>]–Cys interaction, we performed powder X-ray diffraction (PXRD), electronic spectra (UV-Vis-NIR), infrared spectroscopy (FTIR), electron paramagnetic resonance spectroscopy (EPR), and mass spectra-direct analysis in real-time (MS-DART) analyses. To understand the structural evolution of the [Cu<sup>II</sup>]–Cys adduct, we carried out a DFT computational analysis for the cooper reduction process.

The reaction between equimolar solutions of Cys (colorless) and CasIII-ia (blue) showed color changes from brown/green to blue color (see the Movie S1 in the Supplementary Materials), and the same observations were reported by Seko et al. [34] and Ugone et al. [35]. EPR spectra of fresh mixtures of two CasIII-ia and Cys stoichiometric solutions were recorded at 77 K. Figure 2 shows the copper electronic environment change of CasIII-ia when it interacts with Cys. Therefore, it is proposed that CasIII-ia generates a [Cu<sup>II</sup>]–Cys adduct before its reduction. However, the reaction's rapid kinetics avoids studying the [Cu<sup>II</sup>]–Cys adduct in solution using this technique. Then, we opted to change the conditions to reduce the reaction rate with a mechanochemical solid-state approach. CasIII-ia and Cys were milled until a homogenous solid mixture was obtained, EtOH was added, and was mixed until dryness. During the mechanical process, it was possible to observe a color change, from Pantone 2139c to Pantone 289c (Figure 3), that can be associated with Cys' coordination to CasIII-ia. It was impossible to isolate and purify the reaction mixture.



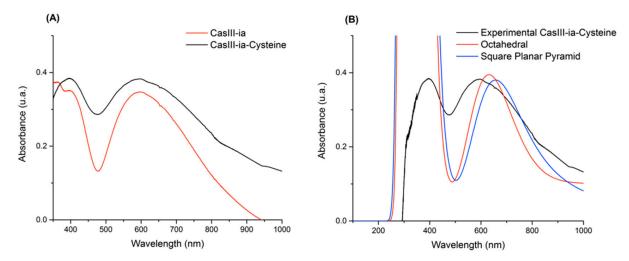
**Figure 2.** Experimental EPR spectra for CasIII-ia (1 mM) in red, CasIII-ia–Cys (1:1) in black, and CasIII-ia–Cys (1:5) in blue, in MeOH/H<sub>2</sub>O (1:1) frozen solution at 77 K. (**A**) From 250 to 350 mT, and (**B**) from 252 to 268 mT.



**Figure 3.** Reactant and intermediates of the mechanochemical solid-state reaction process: (**A**) cysteine (white), (**B**) CasIII-ia (Pantone 2139c), (**C**) intermediate 1 (Pantone 289c), and (**D**) intermediate 2 (Pantone 532c).

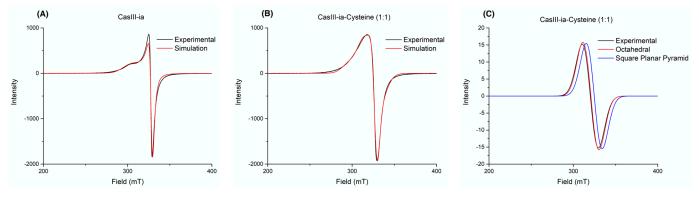
First, we analyzed the powder X-Ray diffraction patterns of the reactants and the reaction mixture. The crystal square pyramid structure of CasIII-ia has been reported in a previous description (CCDC 1440021). Supplementary Figure S1 shows the diffraction pattern of the reaction mixture and the two reactants. It is possible to observe that the crystalline arrangement is conserved. Some remnant signals can be associated with the Cys and the CasIII-ia; however, some signals are no longer present, such as the 7.9 intense signals in 20. On the other hand, new signals are presented, such as 11.24, 12.12, 17.18, 25.94, 32.58, 33.02, 37.92, and 38.58 (see Supplementary Materials for details). These peaks confirm a new species that still have copper(II) as a metal center.

The second evidence of the presence of a new copper(II) complex is the UV-Vis spectrum of the reaction mixture, as shown in Figure 4. The reaction mixture spectrum resembles that for CasIII-ia–Cys, with maxima at 395 and 598 nm. The latter can be associated with the electronic transitions of an elongated D<sub>4h</sub> octahedral structure. The simulated spectra show that the signals of two possible CasIII-ia–Cys adduct arrangements, octahedral (axial Cys) and square planar pyramid (equatorial Cys), are very close to the experimental ones, 626 and 663 nm, respectively. The deconvolution of the two observed signals agrees with three theoretical excited states of octahedral and square planar pyramid geometries. These signals are mainly related to the transition to SOMO or LUMO molecular orbitals (for octahedral geometry: SOMO-20  $\rightarrow$  SOMO, SOMO-16  $\rightarrow$  SOMO, and SOMO-16  $\rightarrow$  LUMO; for square planar pyramid: SOMO-18  $\rightarrow$  SOMO, SOMO-18  $\rightarrow$  LUMO, and SOMO-15  $\rightarrow$  LUMO). Details are provided in the Supplementary Materials.



**Figure 4.** (**A**) UV-Vis spectra for CasIII-ia (red) and the reaction between CasIII-ia and cysteine (black). (**B**) UV-Vis spectra comparison between experimental reaction (black) and calculated geometries for the CasIII-ia–Cysteine system: octahedral (red) and square planar pyramid (blue).

The third evidence of the interaction between CasIII-ia and Cys is the EPR data. Figure 5 shows the experimental spectra and fitting models of CasIII-ia (A) and CasIII-ia– Cys (B). Table 1 presents experimental and computational values for the g and A tensors of the spectra in Figure 5. We compared the observed spectra with DFT simulations of octahedral and square planar pyramid structures. CasIII-ia shows an axial symmetry spectrum associated with a square planar pyramid geometry. The reaction product is a 1:1 CasIII-ia/CasIII-ia–Cys mixture in the solid state. The linear combination of the axial and isotropic EPR profiles of CasIII-ia and CasIII-ia–Cys reproduce the experimental spectrum. The axial symmetry can be associated with geometry with a pseudo-Janh-Teller effect, where a Cys occupies the axial position of CasIII-ia. The difference between the experimental and theoretical g values ranges from 0.004 to 0.10, and for A values, from 25 to 98 MHz. These differences agree with that obtained in other reports [36,37]. For the CasIII-ia–Cys, we compared the experimental data with two different geometries: octahedral and square planar pyramid. The difference between the experimental and theoretical data is 0.004 and 0.030 for  $g_{iso}$ , respectively. The  $A_{iso}$  value differences are 56 and 25 MHz, respectively. The best fit for  $g_{iso}$  corresponds to octahedral geometry, while for  $A_{iso}$  it is given by the square planar pyramid.



**Figure 5.** EPR spectra in the solid-state for (**A**) CasIII-ia and (**B**) CasIII-ia with cysteine reaction, and (**C**) comparison between experimental reaction and calculated geometries for the CasIII-ia–Cysteine system.

CasIII-ia (A)						
	Experin	Computational				
$g_{xx} = g_{yy}$	2.0767		2.0466			
gzz	2.2517		2.1458			
$A_{xx} = A_{yy}$	7.47		105.26			
A <sub>zz</sub>	117.4		-185.99			
CasIII-ia–Cysteine 1:1 (B)						
	Experimental	Octahedral	Square Planar Pyramid			
$g_{xx} = g_{vv} = g_{zz}$	2.10557	2.1010	2.0755			
$g_{xx} = g_{yy} = g_{zz}$ $A_{xx} = A_{yy} = A_{zz}$	48.797	104.57	23.85			

**Table 1.** Experimental and computational values for the g tensor and the A tensor (in MHz) parameters of spectra A and B in Figure 5.

The fourth evidence of the Cu–S interaction is the I.R. signals presented in the Supplementary Materials. Since cysteine is a molecule of biological interest, its solid-state vibrational spectra have been extensively studied, considering the polymorphisms that it can show [38] and the I.R. modifications with different protonation modes [39]. The Cys I.R. signals S-H (1063 cm<sup>-1</sup>), C-S (692 cm<sup>-1</sup>), and C-N (291 cm<sup>-1</sup>) [38], agreeing with theoretical frequencies (1056.73, 669.99, and 278 cm<sup>-1</sup>, respectively), yield the most significant changes when interacting with the copper complex. In the case of CasIII-ia, the Cu-O and C-N bonds' I.R. signals appear at 596 and 294 cm<sup>-1</sup> (603.88 and 279.73 cm<sup>-1</sup> theoretical values), respectively. The 1:1 solid reaction mixture, with drops of EtOH, produces an adduct which presents I.R. signal variations as evidence of the CasIII-ia–Cys interaction (Figure 6). Based

on computational information (179 and 194 cm<sup>-1</sup> for octahedral and SPP theoretical values, respectively), we can assign the frequency at 187 cm<sup>-1</sup> to the Cu–S interaction between CasIII-ia and Cys. It is possible to note signals associated with the metal–ligand interaction within CasIII-ia, such as Cu–O (594 cm<sup>-1</sup>) and Cu–N (289 cm<sup>-1</sup>). Cu–N weakened after Cys coordination from 294 to 289 cm<sup>-1</sup>. The weakening of the C-S bond is shown by reducing the frequency from 692 to 685 cm<sup>-1</sup>. One can observe a small S-H at 1063 cm<sup>-1</sup> as evidence of unreacted Cys.

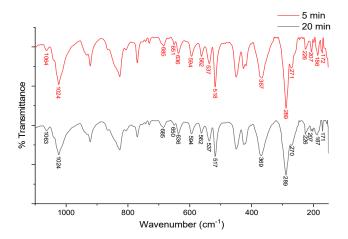
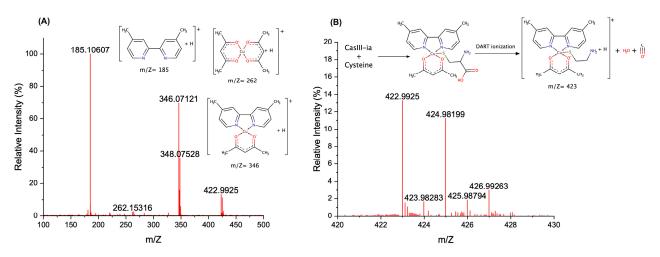


Figure 6. ATR-FTIR spectrum of CasIII-ia-Cys (1:1) mixture at 5 (red) and 20 (black) minutes.

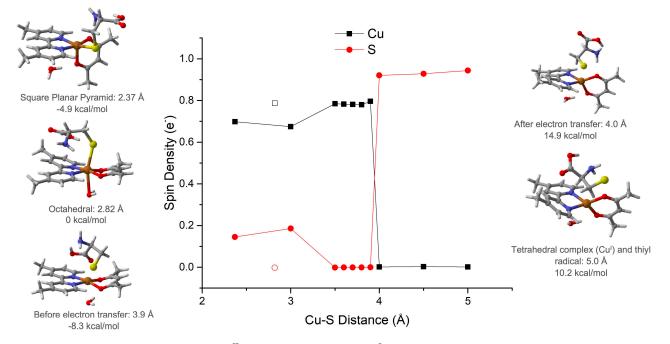
After NaOH was added in an equimolar amount, S-H frequency, at 1063 cm<sup>-1</sup>, disappeared, but the C-S and Cu-O vibrations remain. We confirmed that Cu–S interaction is associated with the 187 cm<sup>-1</sup> frequency. These signals correlate with those exhibited by octahedral theoretical geometry. Details are provided in the Supplementary Materials.

Our last evidence is from the direct analysis in real-time mass spectrometry (DART-MS). Under positive ionization of the DART technique, it has been reported that the amino-acid presents protonation, radical, and adduct formation [40]. In the Cys case, it is possible to observe  $[Cys + H]^+ = 122 \text{ m/Z}$  and [2Cys + H + H] = 243 m/Z. The mechanochemical solid-state mixture was analyzed using DART-MS, whose spectrum is presented in Figure 7. It is possible to observe the signal associated with dimethylbipyridine (m/Z = 185.1), Cu(acetylacetonate)<sub>2</sub> (m/Z = 262), and CasIII-ia (m/Z = 346). At 200 °C, we found a signal of m/Z = 423 corresponding to the CasIII-ia–Cys adduct, with a loss of carboxylic group. It has been reported that Cys loses CO in situations where the sulfur atom is involved in a bond or a strong interaction. The fragmentation pattern of Cys by DART-MS and cystine by TANDEM-MS both present the [Cistina-H<sub>2</sub>O-CO + H] ion with m/Z = 195 when the CO loss can be observed [41,42]. The presence of the signals at 346 and 348 for CasIII-ia, at 262 and 264 for Cu(acetylacetonate)<sub>2</sub>, and at 422.99 and 424.98 for the adduct agree with the isotopic distribution for <sup>63</sup>Cu and <sup>65</sup>Cu observed in a copper(II) species. The abundance of each peak can be related to the stability of the analyzed species [43]. In this way, the adduct is an unstable species compared with the CasIII-ia.

To understand the pathway leading to a final reduction of the copper metal center, we calculated the structures and their dynamics. The two possible molecular arrangements of the CasIII-ia–Cys adduct are the octahedral and the square planar pyramid (Figure 8). In the former, the sulfur atom occupies an axial coordination position at 2.82 Å Cu–S distance. The latter presents the sulfur atom located in an equatorial position, at 2.37 Å Cu–S distance. The square planar pyramid is 4.9 kcal/mol more stable than octahedral geometry.



**Figure 7.** DART spectra of the mechanochemical solid-state mixture CasIII-ia–Cys at 200  $^{\circ}$ C. (A) From 100 to 500 m/Z, and (B) from 420 to 430 m/Z.



**Figure 8.** From square planar pyramid Cu<sup>II</sup> complex to tetrahedral Cu<sup>I</sup> complex, electron transfer path. Spin density values for copper atom in black and for sulfur atom in red.

The reductive process's reaction path begins from the Cys coordination to CasIII-ia in the square planar pyramid geometry, and it is presented in Figure 8, along with the atomic spin population. From the initial 2.37 Å, the Cu–S distance increases until 3.9 Å, where the electron is transferred from sulfur to the copper atom. It is possible to observe a spin population change from 0.78 to 0.001 e<sup>–</sup> in the copper atom, which is transferred to the sulfur atom, which shows an increase from 0.00 to  $0.94 e^{-}$ . In this process, the copper valence shell changes, and then the geometry of the complex becomes tetrahedral. Our group has previously reported the spin change effect on the metal valence shell and thus on the complex structure [44,45]. From this point, the thiyl radical is free to participate in the following reaction to form the disulfide bond. Details are provided in the Supplementary Materials.

#### 3. Materials and Methods

#### 3.1. Chemicals

All reagents: acetylacetone (acacH) (Sigma-Aldrich, St. Louis, MO, USA), Cu(NO<sub>3</sub>)<sub>2</sub>· 2.5H<sub>2</sub>O(Sigma Aldrich, St. Louis, MO, USA), and 4,4'-dimethyl-2,2'-bipyridine (dmbpy) (Sigma Aldrich, St. Louis, MO, USA), as the organic solvents, were used without further purification. L-cysteine (Cys) (Sigma Aldrich, St. Louis, MO, USA) was also used without further purification. The elemental analysis of the white crystalline powder for C<sub>3</sub>H<sub>7</sub>O<sub>2</sub>S was %C 29.82 (29.73), %H 5.92(5.82), %N 11.70 (11.56), %S 26.97 (26.46) ((#) calculated values). The far FTIR-ATR spectrum of a deep white powder of Cys showed characteristic bands at 1614 cm<sup>-1</sup> CO<sub>2</sub>, 1063 cm<sup>-1</sup> S-H, 692 cm<sup>-1</sup> C-S, and 637 cm<sup>-1</sup> CH-CO<sub>2</sub>.

#### 3.2. CasIII-ia Synthesis

[Cu(4,4'-dimethyl-2,2'-bipyridine)(acetylacetonate)]NO<sub>3</sub>\*(H<sub>2</sub>O)<sub>2</sub> (CasIII-ia) CAS [223930-33-4], the copper(II) complex, was prepared following the reported patent [31–33]. The complex was isolated on MeOH/H<sub>2</sub>O solution, and a blue crystalline powder was obtained. The elemental analysis of the blue powder for CuC<sub>17</sub>H<sub>19</sub>N<sub>3</sub>O<sub>5</sub>\*(H<sub>2</sub>O)<sub>2</sub> was %C 44.26 (45.89), %H 4.79(5.21), and %N 9.45 (9.44) ((#) calculated values). The far FTIR-ATR spectrum of a deep blue powder of CasIII-ia showed characteristic bands at 1616 cm<sup>-1</sup> C=O (acac), 1373 cm<sup>-1</sup> N-O (nitrate), 596 cm<sup>-1</sup> Cu-O, and 294 cm<sup>-1</sup> Cu-N.

#### 3.3. Solid-State Reaction

CasIII-ia (30 mg, 0.0674 mmol) and cysteine (8.17 mg, 0.0674 mmol) were milled until a homogenous solid was obtained. EtOH (400  $\mu$ L) was added and mixed until dryness.

#### 3.4. Measurements

Powder X-ray diffraction (PXRD) data were collected under ambient conditions on a Rigaku ULTIMA IV diffractometer operated at 160 W (40 kV, 40 mA) for Cu K $\alpha$ 1 ( $\lambda$  = 1.5406 Å).

Electron paramagnetic resonance spectroscopy (EPR) measurements were carried out in a JEOL JES-TE300 spectrometer operated at X-Band mode at a microwave frequency of 9.4 GHz and center field of 300 mT. Solid-state measurements were performed at room temperature, where the samples were placed in a quartz cell. The acquisition and manipulation of spectra were performed using the ES-IPRIT/TE program. The g and hyperfine tensors were determined by fitting the powder spectra using the EasySpin [46] simulation package (Version 5.2.28, easyspin.org, (accessed on 6 May 2020)) for MATLAB R2019b.

The solid-state electronic spectra (UV-Vis-NIR) for the samples were measured over the range  $40,000-5000 \text{ cm}^{-1}$  by the diffuse reflectance method on a Cary-5000 Varian spectrophotometer at room temperature.

The near-FTIR attenuated total reflectance (ATR) spectra were obtained over the range 4000–250 cm<sup>-1</sup> on a Thermo Fisher Scientific Nicolet IS-50 spectrophotometer. The samples were examined as solid. The middle-FTIR spectra were obtained over the range 4000–400 cm<sup>-1</sup> on a Nicolet spectrophotometer Nicolet AVATAR 320. The samples were analyzed as KBr disk.

The MS-DART spectra were acquired with a JEOL AccuTOF JMS-T100LC spectrometer. The samples were examined as solid. The values of the signals are expressed in mass/charge units (m/Z), followed by the relative intensity with reference to a 100% base peak.

The elemental analysis (EA) was carried out using The PerkinElmer<sup>®</sup> 2400 Series II CHNS/O Elemental Analyzer.

#### 3.5. Computational Details

All structures were fully optimized at the DFT level in gas phase, with the m05-2x functional and the LanL2DZ basis set, as implemented in Gaussian 09 software [47]. Then, we performed frequency calculations to verify the equilibrium states and to obtain infrared spectra. We used the TD-DFT CAM-B3LYP/SDD theoretical level for the UV-Vis spectra,

with the SMD solvation model, with water as the solvent. For the electron transfer studies, we used the Quantum Theory of Atoms In Molecules [48], using the set of molecular orbitals of each molecule to compute the atomic properties of the electron density with the AIMAII software [49]. EPR parameters and g and A tensors of the optimized structures were calculated with ORCA software [50], using the B3LYP functional and the def2-SVP basis set.

The initial complex presented a square planar geometry with a water molecule in one axial site, as in the reported crystallographic geometry [51]. Then, a cysteine molecule was linked to the complex by the vacant axial site, yielding an octahedral geometry. A base in the environment removes the -SH proton, expelling the water molecule from the opposite side. We detected the electron transfer by the complex change to a tetrahedral geometry, which is the geometry preferred by a copper atom with a 1+ oxidation state.

#### 4. Conclusions

A strategy to improve cancer therapies' efficacy should involve cytosolic and mitochondrial GSH depletion through an increase of GSH efflux out of cells. There are reports of GSH depletion produced by copper complexes of the Casiopeina family. In this work, we presented experimental evidence of the formation of an adduct between cysteine and a Casiopeina complex. This adduct shows equilibrium between octahedral and square planar pyramid structures. From this equilibrium, it is possible to identify an electron transfer path when the Cu–S distance increases to 3.9 Å, which produces a thiyl radical and a reduced tetrahedral copper(I) complex. The proposed mechanism is presented in Figure 9.

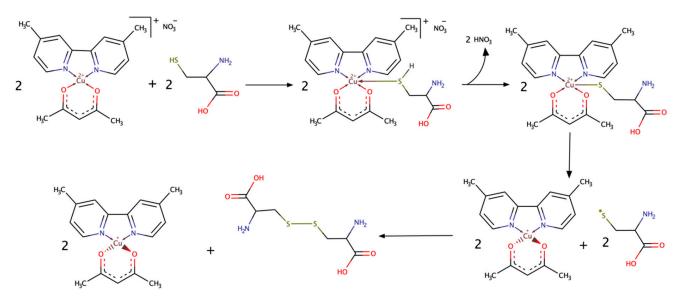


Figure 9. Proposed mechanism for the interaction between CasIII-ia and cysteine.

**Supplementary Materials:** The following are available online, Video S1: Reaction in solution. Experimental Details: Figure S1: Powder X-ray diffraction, Table S1: Powder X-ray diffraction (PXRD) data, Table S2: Experimental EPR parameters, Figure S2: Details of the CasIII-ia:Cysteine (1:1) EPR simulation, Figure S3: Deconvolved UV-Vis spectra, Table S3: Comparison between deconvolved and computational values for UV-Vis transitions, Figures S4–S7: Details of ATR-FTIR spectra, Table S4: Main absorption infrared signals, Figures S8 and S9: MS-DART spectra for reactants, computational calculations: optimized geometries, Figures S10–S14: UV-Vis spectra, Figures S15–S17: Infrared spectra, Tables S5–S8: Infrared signals, Table S9: Calculated EPR parameters, Figure S18: Spin density changes, Table S10: Spin density values for Cu and S atoms, Figure S19: Spin density changes in Cu-S bond distance scan, Figure S20: Geometries in the Cu–S bond distance scan, Figures S21 and S22: Copper Atomic graphs.

Author Contributions: L.G.R.-P.: methodology, formal analysis, investigation, data curation, writing original draft preparation, and writing—review and editing; A.E.-G.: methodology, formal analysis, investigation, data curation, writing—original draft preparation, and writing—review and editing; F.N.-C.: investigation; A.E.L.-G.: investigation; V.G.-V.: methodology; F.C.-G.: conceptualization, writing—original draft preparation, writing—review and editing, supervision, project administration, and funding acquisition; L.R.-A.: conceptualization, writing—original draft preparation, writing review and editing, supervision, project administration, and funding acquisition. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by UNAM (PAPIIT-IN212520, PAPIIT IN218013, and PAIP 5000-9047) and CONACYT (CB179119). F.N.-C. and A.E.L.-G. thank SNI (grant "Ayudantes de Investigador Nacional SNI III/Emérito") and L.G.R.-P. thanks CONACyT for the fellowship (308338). We also thank DGTIC-UNAM (LANCAD-UNAM-DGTIC-194) for supercomputer time and USAII-UNAM for elemental analysis.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data is contained within the article and Supplementary Material.

Acknowledgments: The authors thank I.A. Ibarra-Alvarado and E. Sánchez-González for PXRD determinations, M. C. García for MS-DART determinations, and R. Patiño Maya for I.R. determinations.

Conflicts of Interest: The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are available from the authors.

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Article



### Antioxidant Activity of Bioactive Peptide Fractions from Germinated Soybeans Conjugated to Fe<sub>3</sub>O<sub>4</sub> Nanoparticles by the Ugi Multicomponent Reaction

Yarelys Elena Augusto-Jimenez<sup>1,\*</sup>, Marcela González-Montoya<sup>2</sup>, Dany Naranjo-Feliciano<sup>3</sup>, Daniel Uribe-Ramírez<sup>2</sup>, Eliseo Cristiani-Urbina<sup>2</sup>, Carlos Díaz-Águila<sup>4</sup>, Hernani Yee-Madeira<sup>1</sup> and Rosalva Mora-Escobedo<sup>2,\*</sup>

- <sup>1</sup> Instituto Politécnico Nacional–ESFM, U.P.A.L.M., San Pedro Zacatenco, Ciudad de Mexico 07738, Mexico; hernaniyee@gmail.com
- <sup>2</sup> Instituto Politécnico Nacional–ENCB, U.P.A.L.M., San Pedro Zacatenco, Ciudad de Mexico 07738, Mexico; mrcela\_mecs@hotmail.com (M.G.-M.); daniel.uriberam@gmail.com (D.U.-R.); ecristiani@ipn.mx (E.C.-U.)
- <sup>3</sup> Centro Nacional de Sanidad Agropecuaria, San Jose de las Lajas 32700, Mayabeque, Cuba; danynaranjofeliciano@gmail.com
- <sup>4</sup> Centro de Biomateriales, Universidad de La Habana, Plaza de la Revolucion 10400, La Habana, Cuba; cdiaz@biomat.uh.cu
- \* Correspondence: syleray91@gmail.com (Y.E.A.-J.); rosalmorae@gmail.com (R.M.-E.)

Abstract: The conjugation of biomolecules to magnetic nanoparticles has emerged as promising approach in biomedicine as the treatment of several diseases, such as cancer. In this study, conjugation of bioactive peptide fractions from germinated soybeans to magnetite nanoparticles was achieved. Different fractions of germinated soybean peptides (>10 kDa and 5-10 kDa) were for the first time conjugated to previously coated magnetite nanoparticles (with 3-aminopropyltriethoxysilane (APTES) and sodium citrate) by the Ugi four-component reaction. The crystallinity of the nanoparticles was corroborated by X-ray diffraction, while the particle size was determined by scanning transmission electron microscopy. The analyses were carried out using infrared and ultraviolet-visible spectroscopy, dynamic light scattering, and thermogravimetry, which confirmed the coating and functionalization of the magnetite nanoparticles and conjugation of different peptide fractions on their surfaces. The antioxidant activity of the conjugates was determined by the reducing power and hydroxyl radical scavenging activity. The nanoparticles synthesized represent promising materials, as they have found applications in bionanotechnology for enhanced treatment of diseases, such as cancer, due to a higher antioxidant capacity than that of fractions without conjugation. The highest antioxidant capacity was observed for a >10 kDa peptide fraction conjugated to the magnetite nanoparticles coated with APTES.

**Keywords:** soybean; peptides; antioxidant capacity; magnetite nanoparticles; conjugation; multicomponent reaction

#### 1. Introduction

The main challenges for magnetic nanoparticles (MNPs) used in biomedicine are related to their tendency to agglomerate and lack of biocompatibility [1]. Regarding biocompatibility, iron oxide nanoparticles (NPs) maghemite ( $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>) and magnetite (Fe<sub>3</sub>O<sub>4</sub>) are advantageous for in vivo applications. Unlike other materials with good magnetic behavior, iron cell homeostasis is well-controlled by absorption, excretion, and storage processes. Even excess iron is efficiently removed from the body [2]. Specially, different works show that the superparamagnetic behavior of magnetite NPs is closely related to their nanometric size [3]. This property is essential for the in vivo applications of the material as it ensures that no magnetization remains in the system after stopping the action of an external magnetic field. Moreover, uncoated magnetite NPs tend to decrease the surface

Citation: Augusto-Jimenez, Y.E.; González-Montoya, M.; Naranjo-Feliciano, D.; Uribe-Ramírez, D.; Cristiani-Urbina, E.; Díaz-Águila, C.; Yee-Madeira, H.; Mora-Escobedo, R. Antioxidant Activity of Bioactive Peptide Fractions from Germinated Soybeans Conjugated to Fe<sub>3</sub>O<sub>4</sub> Nanoparticles by the Ugi Multicomponent Reaction. *Molecules* **2021**, *26*, 5726. https://doi.org/ 10.3390/molecules26195726

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 22 July 2021 Accepted: 13 September 2021 Published: 22 September 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). free energy by forming stable aggregates under physiological conditions [4]. In this regard, it has been observed that the coupling of different types of biomolecules to  $Fe_3O_4$  NPs increases the stability of the system while directing it towards the desired biological target. Thereby, magnetite has been coupled to polymers and different anticancer drugs, with prominent applications in biomedicine, nanoscience, and nanotechnology [5–7]. These conjugates are of interest mainly because the benefits of the  $Fe_3O_4$  NPs and biomolecules are combined in the same system.

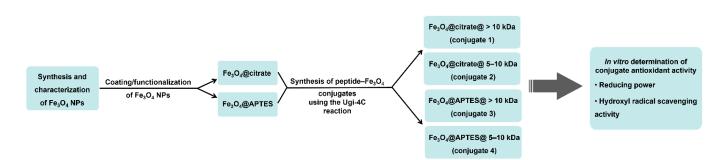
Peptide– $Fe_3O_4$  conjugates are promising for biotechnological applications [8–10], particularly because peptides from natural sources and synthetic derivatives have been identified as promising for the treatment of diseases, such as cancer [11,12]. From the conjugation of peptides to  $Fe_3O_4$  NPs, one could not only selectively transport drugs towards biological targets, but also treat different diseases using magnetic hyperthermia [13–16]. Despite the advances in the development of methodologies for the conjugation of peptides to magnetite NPs, the current protocols are still limited by long and complex reaction steps. In most cases, they are based on classical peptide coupling between peptides and MNPs functionalized with carboxylic acid groups [17]. In some studies, surface modification of MNPs has been performed with ligands suitable for their subsequent coupling to peptides through click chemistry [14]. This restricts and makes the application of peptide– $Fe_3O_4$  conjugates in biomedical investigations more expensive.

Several procedures for the combination of complex molecules based on multicomponent reactions have been reported [18,19]. Multicomponent protocols have a high potential owing to their high chemical efficiency, simple execution, and structural diversity at low synthesis costs [20]. One of the most used is the Ugi four-component (U-4C) reaction, in which the condensation of an oxo derivative, primary amine, carboxylic acid, and isonitrile leads to the formation of a dipeptoid in a single reaction step. The N-substituted amide in peptoids derived from the U-4C reaction is a structural motif of biological relevance because it prevents proteolytic degradation and limits the conformational orientation of peptide structures [21]. However, to the best of our knowledge, this type of reaction has not been used for the conjugation of bioactive peptides to MNPs.

On the other hand, several studies demonstrated the important role of oxidative stress and presence of different reactive oxygen and nitrogen species (ROS and RNS, respectively) in numerous noncommunicable diseases, such as cardiovascular diseases, diabetes, atherosclerosis, arthritis, and cancer [22]. These diseases lead to approximately 41 million deaths per year, equivalent to 71% of all deaths globally [23]. Some peptides and biomimetic peptides inhibit the generation of ROS and other free radicals [24,25]. Therefore, the search for antioxidant peptide structures that can prevent oxidative stress and its associated adverse effects is of increasing interest. In this sense, González-Montoya et al. evaluated the anti-inflammatory, antioxidant, and antiproliferative activities of three peptide fractions obtained from germinated soybeans [26,27]. These peptide fractions exhibited exceptional antioxidant and antiproliferative activities in breast and cervical cancer cell lines. Motivated by these studies, we present the synthesis, characterization, and biological evaluation of conjugates of Fe<sub>3</sub>O<sub>4</sub> NPs with bioactive peptide fractions from germinated soybeans. To the best of our knowledge, these bioconjugates are reported for the first time. A multicomponent procedure is also used for the first time in the conjugation of bioactive peptide fractions from germinated soybeans to magnetite NPs.

#### 2. Results and Discussion

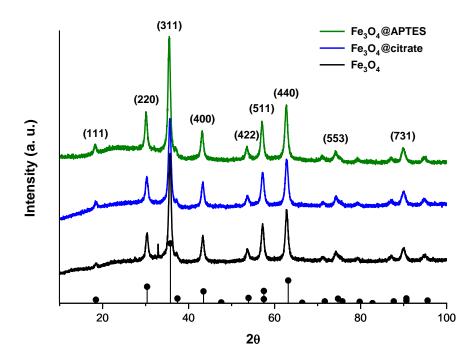
A procedure for the conjugation of bioactive peptide fractions to magnetite NPs was developed (Scheme 1). The methodology comprised three fundamental parts: (1) synthesis of  $Fe_3O_4$  NPs, (2) functionalization of  $Fe_3O_4$  NPs in the form of either carboxylic acid or amine, and (3) conjugation using the U-4C reaction of the peptide fractions extracted from germinated soybeans to the functionalized  $Fe_3O_4$  NPs. Once the desired conjugates were obtained, the antioxidant activity of both the peptide– $Fe_3O_4$  conjugates as well as the peptide fractions and coated magnetite were determined.



Scheme 1. Experimental workflow.

#### 2.1. Characterization of Fe<sub>3</sub>O<sub>4</sub>

The X-ray diffraction (XRD) pattern of the synthesized magnetite is shown in Figure 1 (black profile). The main peaks associated with the characteristic spinel structure of magnetite were observed at 18.3, 30.2, 35.6, 43.3, 53.6, 57.3, 62.9, 74.5, and 90.6°. These peaks are indexed to the (111), (220), (311), (400), (422), (511), (440), (533), and (731) hkl planes of the Joint Committee on Powder Diffraction Standards (JCPDS) 19629 magnetite standard pattern, respectively. The estimated Fe<sub>3</sub>O<sub>4</sub> cell parameter was 8.3824 Å and the average crystallite size calculated using the Debye–Scherrer equation (Equation (1)) was 17 nm. This last value allowed confirming the nanometric size of the obtained magnetite.



**Figure 1.** XRD patterns of the uncoated magnetite (Fe<sub>3</sub>O<sub>4</sub>) and magnetite samples coated with sodium citrate (Fe<sub>3</sub>O<sub>4</sub>@citrate) and APTES (Fe<sub>3</sub>O<sub>4</sub>@APTES).

Additional information about the size and morphology of  $Fe_3O_4$  NPs was obtained using scanning transmission electron microscopy (STEM) (Figure 2). The particle size determined was  $13 \pm 5$  nm. The fact that this value is similar to the calculated crystallite size suggests that the system was monocrystalline. In the STEM images, it is observed that uncoated  $Fe_3O_4$  NPs have spherical morphology and are agglomerated, most likely because of their small sizes.

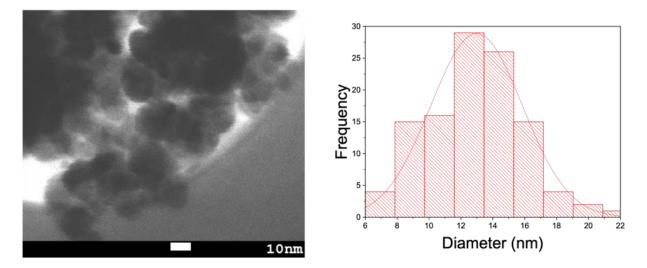
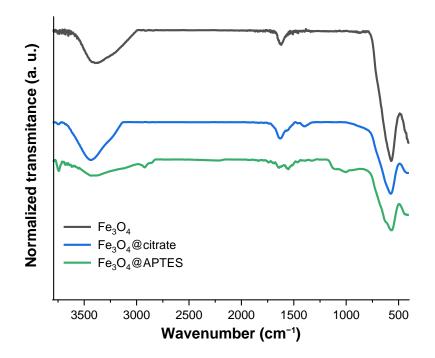


Figure 2. Microscopy image of uncoated Fe<sub>3</sub>O<sub>4</sub> with the corresponding histogram.

The synthesis of Fe<sub>3</sub>O<sub>4</sub> was also corroborated by infrared (IR) spectroscopy (Figure 3, black spectrum). From the assignment of the absorption bands observed in the IR spectra obtained, it was possible to analyze the respective functional groups of the molecules present in each of the synthesized materials. Thus, a broad band centered at 3252 cm<sup>-1</sup>, corresponding to the overlapping valence vibrations of the N–H and O–H bonds, was observed. It was assigned to NH<sub>4</sub><sup>+</sup> and OH<sup>-</sup> ions and adsorbed water molecules on the surface of MNPs. In addition, the band at 1628 cm<sup>-1</sup> can be attributed to the bending of the NH<sub>4</sub><sup>+</sup> group on the magnetite surface. Finally, at 573 cm<sup>-1</sup>, a band assigned to the valence vibration of the Fe–O bond of Fe<sub>3</sub>O<sub>4</sub> was observed.



**Figure 3.** IR spectra of the uncoated magnetite (Fe<sub>3</sub>O<sub>4</sub>) and magnetite samples coated with sodium citrate (Fe<sub>3</sub>O<sub>4</sub>@citrate) and APTES (Fe<sub>3</sub>O<sub>4</sub>@APTES).

Finally, the hydrodynamic diameter of Fe<sub>3</sub>O<sub>4</sub> was determined using dynamic light scattering (DLS) measurements. The value obtained (180  $\pm$  70 nm) was used as a reference for comparison to the coated magnetite samples.

#### 2.2. Characterization of the Functionalized $Fe_3O_4$

As mentioned in the Introduction, though magnetite is a biocompatible material, its stabilization in a physiological environment is only possible after coating [2,4]. In addition, functionalization enables the conjugation with therapeutic biomolecules and contributes to achieving effective directionality of magnetite nanoparticles towards the site of interest inside the body [7]. In this work, it was decided to carry out the coating/functionalization of the Fe<sub>3</sub>O<sub>4</sub> NPs in a single step. Thus, from the use of sodium citrate and 3-aminopropyltriethoxysilane (APTES), it was possible to functionalize the magnetite surface in the form of carboxylic acid and amine, respectively. Both functional groups are required for subsequent conjugation to the peptide fractions from the Ugi 4C reaction. Each of the variants made is described below.

#### 2.2.1. Characterization of Fe<sub>3</sub>O<sub>4</sub>@citrate

Carboxylate is one of the functional groups that most strongly binds to the surface of magnetite NPs [28]. Sodium citrate (or the corresponding acid), a small biocompatible molecule, has three carboxylate groups in its structure. Only one or two of the carboxylate functions of sodium citrate have been found to coordinate to the magnetite surface, allowing at least one of them to be exposed to the medium [29]. This effect is very convenient for the application of the magnetic system since it protects the nanoparticulate nucleus from the possible formation of aggregates while providing it with hydrophilicity and functionalizing it for subsequent derivatizations and couplings. Here, after the synthesis of  $Fe_3O_4$  NPs coated with sodium citrate ( $Fe_3O_4$ @citrate), the resulting product was characterized.

The Fourier-transform infrared (FTIR) spectroscopy analysis of the MNPs coated with sodium citrate suggested that the desired product was obtained (Figure 3, blue spectrum). The three bands at 3435, 1629, and 1398 cm<sup>-1</sup> indicate carboxylate in the sample. These signals correspond to the valence vibrations of the O–H, C=O, and COO– bonds, respectively. The band corresponding to  $Fe_3O_4$  remained at 537 cm<sup>-1</sup>. In addition, the XRD patterns of the starting magnetite (Figure 1, black profile) and that coated with citrate (Figure 1, blue profile) were similar, which suggests that the crystallinity of the material was not affected by the coating. However, the calculated cell parameter was 8.3643 Å. The difference with respect to the cell parameter of the uncoated magnetite indicates a strong interaction between citrate atoms and magnetite, which results in the contraction of the unit cell of the starting material [30]. The average crystallite size determined using the Debye-Scherrer equation (Equation (1)) was 16 nm. This value is similar to the particle size estimated using STEM (13  $\pm$  3 nm). The similarity between these results suggests that the compound was monocrystalline. Figure 4a shows a microscopy image and obtained size distribution with the corresponding histogram. The obtained NPs had spherical morphology with some aggregation because of their small sizes.

Another evidence of the presence of citrate on the surface of the MNPs is the increase in the hydrodynamic diameter ( $284 \pm 140$  nm) relative to that of the uncoated MNPs. As some of the polar groups of citrates (carboxylate and hydroxyl) are dangling towards the surrounding medium, a larger hydration layer is generated. Finally, the weight percentage of the coating material on magnetite, with respect to the uncoated Fe<sub>3</sub>O<sub>4</sub>, was determined using thermogravimetric analysis (TGA). The weight loss was 2.21%, confirming that magnetite was coated with an organic material.

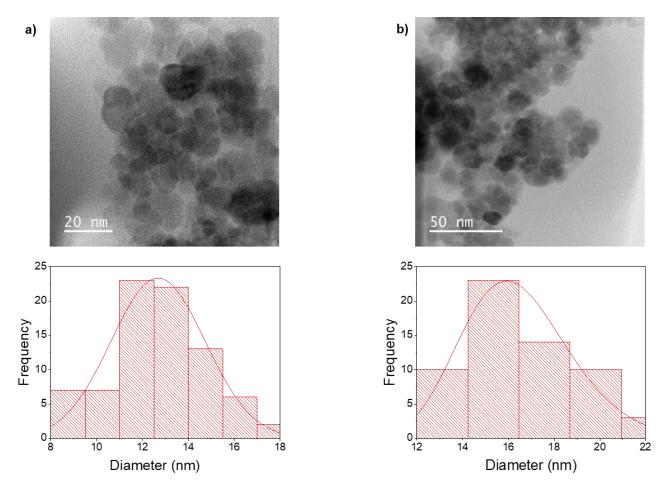


Figure 4. Microscopy images of (a) Fe<sub>3</sub>O<sub>4</sub>@citrate and (b) Fe<sub>3</sub>O<sub>4</sub>@APTES with the corresponding histograms.

#### 2.2.2. Characterization of Fe<sub>3</sub>O<sub>4</sub>@APTES

The functionalization of the  $Fe_3O_4$  NPs surface in the amine form was carried out from a silulation reaction with the use of APTES. This molecule is the most widely used alkoxysilane for coating magnetite NPs since it provides the highest density of amine groups on the surface of the magnetic core [31].

The IR spectrum of  $Fe_3O_4@APTES$  showed characteristic bands that confirmed the presence of the expected APTES coating (Figure 3, green spectrum). Thus, a wide band, centered at 3399 cm<sup>-1</sup>, was observed, attributed to the overlapping valence vibrations of the O–H and N–H bonds of the hydroxyl and amine groups in APTES. The bands corresponding to the antisymmetric and symmetric vibrations of the APTES methylene groups appeared at 2928 and 2842 cm<sup>-1</sup>, respectively. The bands corresponding to the vibrations antisymmetric and symmetric of the NH<sub>2</sub> group in APTES were observed around 1642 and 1549 cm<sup>-1</sup>, respectively. The presence of silanol groups (Si–OH) and siloxanes (Si–O–Si) on the surface of the MNPs was verified by the bands of the valence vibrations of the Si–O–Si and Si–OH bonds at 1396 and 1015 cm<sup>-1</sup>, respectively. Finally, the Fe<sub>3</sub>O<sub>4</sub> band was maintained at 573 cm<sup>-1</sup>, suggesting the presence of magnetite in the conjugate. Moreover, no differences were observed between the XRD patterns of the coated and uncoated magnetite samples (Figure 1, green and black profile, respectively), which confirms that the crystallinity of the material was not affected by the coating with APTES.

The calculated cell parameter of Fe<sub>3</sub>O<sub>4</sub>@APTES was 8.3744 Å, slightly lower than that determined for the uncoated magnetite, as the Fe and O atoms are closer to each other in the unit cell owing to the APTES molecules [30]. The crystallite size of the APTES-coated NPs was 17 nm, which confirms that the compound maintained its nanoscale dimensions. This value is very similar to the particle size obtained using STEM (16  $\pm$  3 nm), which

suggests that the system was monocrystalline. The STEM images (Figure 4b) show that the coated NPs have spherical morphology and are agglomerated because of their small sizes. The weight percentage of the APTES-coated  $Fe_3O_4$  was determined using TGA. A weight loss of 5.22% with respect to the uncoated  $Fe_3O_4$  was obtained, which confirmed the coating on the magnetite surface.

#### 2.3. Determination of the Degree of Substitution of Functionalized MNPs

The degree of substitution on the magnetic oxide was assessed, i.e., the amount of free functional groups on the surface of  $Fe_3O_4$ . For this purpose, the adsorption capacity of Cu (II) on the functionalized MNPs was determined using a spectrophotometric analysis. The results are presented in Table 1. To assess the molar quantity of free carboxylate groups on the MNPs coated with sodium citrate, it was considered that 2 M of carboxylate is necessary to complex 1 M of Cu<sup>2+</sup>. In the case of MNPs coated with APTES, it was assumed that the Cu<sup>2+</sup> ions would form a complex with tetrahedral geometry with free amine groups on the magnetite [32]. Using this procedure, approximate molar quantities of free carboxylates or amine groups per gram of  $Fe_3O_4$  were obtained.

Table 1. Determination of the degree of substitution on the MNPs using the bicinchoninate method.

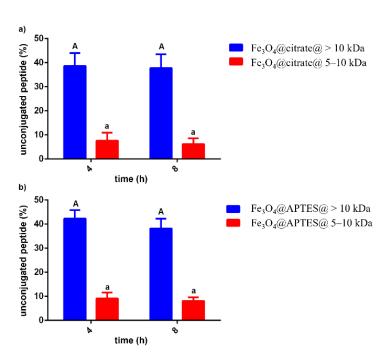
Particle	t (h)	Cu <sup>2+</sup> Adsorption Capacity (mg Cu <sup>2+</sup> /g MNPs)	Amount (mmol of Free Groups/g MNPs)
Fe <sub>3</sub> O <sub>4</sub> @citrate	0	0	0
Fe <sub>3</sub> O <sub>4</sub> @citrate	1	14	0.44
Fe <sub>3</sub> O <sub>4</sub> @citrate	24	15	0.47
Fe <sub>3</sub> O <sub>4</sub> @APTES	0	0	0
Fe <sub>3</sub> O <sub>4</sub> @APTES	1	12	0.76
Fe <sub>3</sub> O <sub>4</sub> @APTES	24	12	0.76

The calculations for the subsequent synthesis step were carried out using the average value of the loading of functional groups on the  $Fe_3O_4$  surface.

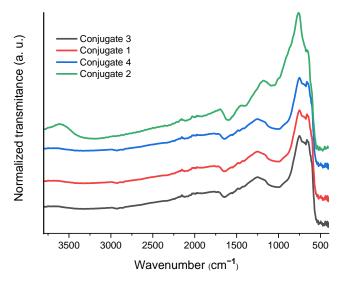
#### 2.4. Characterization of Peptide–Fe<sub>3</sub>O<sub>4</sub> Conjugates

The main objective of this study was to develop a simple procedure for the conjugation of bioactive peptide fractions extracted from germinated soybeans to magnetite NPs. To this end, the U-4C reaction was chosen because it occurs in a single step and can be easily implemented [33,34]. The results for the remaining peptide concentrations are shown in Figure 5. There were no statistically significant differences in the percentage of free peptides at 4 and 8 h in either variant for the same peptide fraction. This suggests that the reaction ended after 4 h. However, the difference between the percentages of conjugation when the fraction >10 kDa was used (approximately 60%) and that at 5–10 kDa (approximately 92%) was remarkable. This was expected considering the smaller size of the peptides contained in the latter, which would facilitate the multicomponent process. On the other hand, the yields of the developed conjugation process can be considered good in all the cases, considering that the magnetic component of the reaction is solid-support-dispersed, while the other reactants are dissolved in the medium [35].

The conjugates were characterized using FTIR spectroscopy (Figure 6). In all the cases, the bands that confirmed the presence of carbonyl groups in each of the structures on the MNPs were observed at approximately  $1620 \text{ cm}^{-1}$ . In addition, the band at  $573 \text{ cm}^{-1}$  was retained, which corroborated the presence of Fe<sub>3</sub>O<sub>4</sub>.



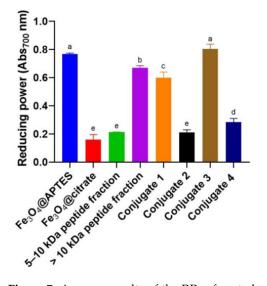
**Figure 5.** Percentages of unconjugated peptides to coated Fe<sub>3</sub>O<sub>4</sub>: (a) Fe<sub>3</sub>O<sub>4</sub>@citrate@ > 10 kDa, Fe<sub>3</sub>O<sub>4</sub>@citrate@ > 5–10 kDa; (b) Fe<sub>3</sub>O<sub>4</sub>@APTES@ 10 kDa, Fe<sub>3</sub>O<sub>4</sub>@APTES@ 5–10 kDa. The determinations were carried out in triplicate. The results are expressed as the means  $\pm$  standard deviation. Different letters indicate statistical difference between the samples for each reaction time ( $p \le 0.05$ ).



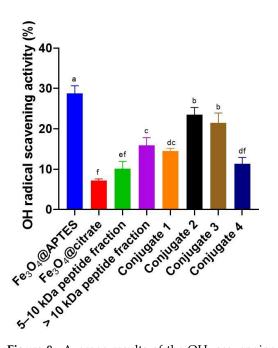
**Figure 6.** FTIR spectra of the final conjugates:  $Fe_3O_4$ @citrate@ > 10 kDa (conjugate 1),  $Fe_3O_4$ @citrate@ 5–10 kDa (conjugate 2),  $Fe_3O_4$ @APTES@ > 10 kDa (conjugate 3), and  $Fe_3O_4$ @APTES@ 5–10 kDa (conjugate 4).

#### 2.5. Antioxidant Activities of the Conjugates

The association between the generation of ROS and the development of chronic degenerative diseases has been reported [27]. Furthermore, in cellular metabolism, oxidative compounds are produced constantly, so it is important to counteract these oxidizing spices to maintain a balance of intra- and extracellular homeostasis. Hence, the antioxidant capacities of the conjugates obtained in this study were evaluated. The determinations were made by verifying their reducing power (RP) and hydroxyl radical (OH $\cdot$ ) scavenger abilities. The results presented below (Figures 7 and 8) correspond to the tests carried out at a concentration of 15 mg/mL, at which a stronger effect was observed in the determinations. All the results were compared to those obtained for the nonconjugated peptide fractions and for the coated magnetite (Fe<sub>3</sub>O<sub>4</sub>@APTES and Fe<sub>3</sub>O<sub>4</sub>@citrate).



**Figure 7.** Average results of the RPs of coated magnetite (Fe<sub>3</sub>O<sub>4</sub>@APTES and Fe<sub>3</sub>O<sub>4</sub>@citrate) and of the conjugates and nonconjugated peptide fractions at 15 mg/mL: Fe<sub>3</sub>O<sub>4</sub>@citrate@ > 10 kDa (conjugate 1), Fe<sub>3</sub>O<sub>4</sub>@citrate@ 5–10 kDa (conjugate 2), Fe<sub>3</sub>O<sub>4</sub>@APTES@ > 10 kDa (conjugate 3), Fe<sub>3</sub>O<sub>4</sub>@APTES@ 5–10 kDa (conjugate 4), and nonconjugated peptide fractions (>10 kDa and 5–10 kDa peptide fractions). The determinations were carried out in triplicate. The results are expressed as the means  $\pm$  standard deviation. Different letters indicate statistical difference between the samples ( $p \le 0.05$ ).



**Figure 8.** Average results of the OH· scavenging activities of coated magnetite (Fe<sub>3</sub>O<sub>4</sub>@APTES and Fe<sub>3</sub>O<sub>4</sub>@citrate) and of the conjugates and nonconjugated peptide fractions at 15 mg/mL: Fe<sub>3</sub>O<sub>4</sub>@citrate@ > 10 kDa (conjugate 1), Fe<sub>3</sub>O<sub>4</sub>@citrate@ 5–10 kDa (conjugate 2), Fe<sub>3</sub>O<sub>4</sub>@APTES@ > 10 kDa (conjugate 3), Fe<sub>3</sub>O<sub>4</sub>@APTES@ 5–10 kDa (conjugate 4), and nonconjugated peptide fractions (>10 kDa and 5–10 kDa peptide fractions). The determinations were carried out in triplicate. The results are expressed as the means ± standard deviation. Different letters indicate statistical differences between the samples ( $p \le 0.05$ ).

The RPs of the coated magnetite, as well as those of the conjugates and nonconjugated peptide fractions (Figure 7), were expressed as absorbances, where 1 represents the highest value. The RP of a sample refers to its ability to act as a proton acceptor (or electron donor) in an oxidation-reduction reaction and, therefore, the number of basic groups in the conjugates could be related to the obtained RP. In this sense, Fe<sub>3</sub>O<sub>4</sub>@APTES, with free amine groups on the magnetite surface, showed the highest RP value. Regarding the conjugates, those in which magnetite was conjugated to the fractions containing the peptides with masses >10 kDa (conjugates 1 and 3) had the highest RP values, with statistically significant differences between them and the conjugates 2 and 4. This result agrees with the study by González-Montoya et al. [27], who observed that the >10 kDa (RP = 0.7) fraction had a higher RP than the 5–10 kDa fraction (RP = 0.3). This could be attributed to the higher amount of basic amino acids in the >10 kDa fraction. A synergistic effect was observed when conjugating the peptide fractions to Fe<sub>3</sub>O<sub>4</sub>@APTES (conjugate 3 with respect to the >10 kDa peptide fraction), surely, due to the contribution of the amine groups that remained free, unreacted during the multicomponent reaction, on the surface of the magnetite coated with the APTES. It was also observed that the conjugation of the >10 kDa peptide fraction to magnetite NPs coated with sodium citrate (conjugate 1) slightly decreased the RP with respect to that of the peptide fraction, whilst the RP of the 5–10 kDa peptide fraction conjugated to Fe<sub>3</sub>O<sub>4</sub>@citrate (conjugate 2) did not exhibit statistically significant differences with respect to the nonconjugated fraction. In this way, conjugate 3 represents an opportunity for future evaluations of biological activities related with oxidative stress in chronic diseases.

The hydroxyl radical (OH) is one of the most reactive free radicals and is generated by the Fenton reaction in cells [27]. This radical can be transformed into a superoxide anion and hydrogen peroxide in the presence of metal ions such as copper and iron. The OH· scavenging activities of the coated magnetite (Fe<sub>3</sub>O<sub>4</sub>@APTES and Fe<sub>3</sub>O<sub>4</sub>@citrate), as well as those of the conjugates and nonconjugated peptide fractions, are shown in Figure 8. According to the data, the nature of the groups exposed to the medium on each sample determines the properties of the compound. In the case of conjugates with the fraction > 10 kDa (conjugates 1 and 3), the activity is higher when it is used as an acidic component of the multicomponent reaction (conjugate 3). Therefore, in the final compound, the peptides would mostly expose their amine groups (which are apparently involved in the measured activity) to the environment. In the conjugates with the 5–10 kDa fraction (conjugates 2 and 4), the opposite effect was observed. The peptides contained in this fraction exerted a stronger OH scavenging effect by exposing their acidic groups (conjugate 2). Remarkably, the OH scavenging activities of conjugates 2 and 3 were significantly higher than that of the nonconjugated fractions. This result could be due to a synergistic effect of the respective carboxylic acid (from Fe<sub>3</sub>O<sub>4</sub>@citrate) and amine (from Fe<sub>3</sub>O<sub>4</sub>@APTES) groups in the corresponding final conjugates.

These results suggest that conjugates 2 and 3 could be a used as antioxidant conjugates and evaluated in the future in chronic diseases related with oxidative stress, such as cancer since it has been reported that antioxidant activity can modulate the activity of key proteins involved in the control of cell cycle progression and may influence the expression of many associated genes [36].

#### 3. Materials and Methods

# 3.1. Materials

Ferrous chloride tetrahydrate (FeCl<sub>2</sub>·4H<sub>2</sub>O, PA), sodium citrate dihydrate (Na<sub>3</sub>C<sub>6</sub>H<sub>5</sub>O<sub>7</sub>·2H<sub>2</sub>O, ACS >99%), APTES (97%), paraformaldehyde, 1-pentynylisonitrile, trichloroacetic acid (TCA), phenanthroline, and ferrous sulphate (FeSO<sub>4</sub>) were purchased from Sigma-Aldrich, Mexico City, Mexico. Ferric chloride hexahydrate (FeCl<sub>3</sub>·6H<sub>2</sub>O, 99.0%) and the ammonium hydroxide solution (NH<sub>4</sub>OH, 28.4%) were purchased from J.T. Baker, Mexico City, Mexico. Hydrochloric acid (HCl, PA) and potassium ferricyanide (K<sub>3</sub>[Fe(CN)<sub>6</sub>]) were purchased from Fermont, Monterrey, Mexico, and hydrogen perox-

ide (H<sub>2</sub>O<sub>2</sub>) from Reasol, Mexico city, Mexico. The peptide fractions were supplied by Laboratorio de Bioquímica de la Nutrición (ENCB-IPN), Mexico City, Mexico.

# 3.2. Synthesis of Fe<sub>3</sub>O<sub>4</sub> NPs

The NPs were synthesized using the coprecipitation method [37]. A mixture of acidic solutions (HCl, 2 M) of the FeCl<sub>2</sub>·2H<sub>2</sub>O (5 mL, 5 M) and FeCl<sub>3</sub>·6H<sub>2</sub>O (20 mL, 2.5 M) salts was added to an aqueous solution of NH<sub>3</sub> (250 mL, 0.7 M) contained in a three-neck balloon using a peristaltic pump. All the solutions were bubbled with N<sub>2</sub> (g) before the synthesis. The reaction mixture was kept at room temperature under an N<sub>2</sub> (g) atmosphere under mechanical stirring (500 rpm) and ultrasound-treated for 25 min. After the reaction time, the obtained MNPs were separated using a neodymium magnet. Subsequently, they were washed with 100 mL of deionized water and separated again to obtain a black powder corresponding to Fe<sub>3</sub>O<sub>4</sub>, which was dried in a desiccator containing activated silica for 12 h.

# 3.3. Coating/Functionalization of Fe<sub>3</sub>O<sub>4</sub> NPs

# 3.3.1. Fe<sub>3</sub>O<sub>4</sub>@citrate

The functionalization of the MNPs as carboxylic acids was carried out by coating  $Fe_3O_4$  with sodium citrate.  $Fe_3O_4$  (500 mg) was added to an aqueous solution of  $Na_3C_6H_5O_7\cdot 2H_2O$  (50 mL, 1 mM). The reaction mixture was mechanically stirred for 4 h at room temperature, and then adjusted to pH = 9 using an aqueous solution of  $NH_3$  (0.7 M). The obtained precipitate was magnetically separated and washed twice with 50 mL of deionized water. Finally, it was dried (as explained for the synthesis of the  $Fe_3O_4$  NPs), and  $Fe_3O_4$ @citrate was obtained in the form of a black powder.

# 3.3.2. Fe<sub>3</sub>O<sub>4</sub>@APTES

Additionally, the functionalization of the MNPs as amines was carried out by modifying the surface of Fe<sub>3</sub>O<sub>4</sub> with APTES [31]. Briefly, 500 mg of Fe<sub>3</sub>O<sub>4</sub> were redispersed in a mixture of ethanol (80 mL) and deionized water (40 mL). The dispersion was adjusted to pH = 10 with an aqueous solution of NH<sub>3</sub> (0.7 M) and homogenized in an ultrasonic bath. Subsequently, an alcoholic solution of APTES (5 mL, 10%) was dripped to the reaction mixture under constant stirring. After 24 h, the precipitate was separated with a neodymium magnet and washed twice with 50 mL of ethanol. Finally, the product was dried according to the procedure described above to obtain Fe<sub>3</sub>O<sub>4</sub>@APTES in the form of a black powder.

#### 3.4. Cu(II) Adsorption Capacity of Functionalized NPs

Once the functionalization of MNPs was completed, the degree of substitution on the magnetic oxide was calculated. The determination could be carried out using the bicinchoninate method from the quantification of Cu(II) ions remaining in aqueous dispersions [38]. An aqueous solution of  $CuSO_4 \cdot 5H_2O$  (35.5 mL, 100 mg/L) was prepared in an Erlenmeyer flask. Subsequently, 5 mL of the solution were collected, and a bicinchoninic acid kit was added. The absorbance was measured using an ultraviolet (UV)-visible (Vis) spectrophotometer at 560 nm (time: 0 h), and then the concentration was determined. The functionalized MNP sample (0.03 g) was added to the remaining solution (30.5 mL) and the pH was adjusted to 5 with HCl (0.1 M). The reaction mixture was mechanically stirred with a glass-lined magnetic stirrer at 140 rpm for 1 h. The magnetic system was then separated with a neodymium magnet. The supernatant (0.25 mL) was then collected, filtered, and diluted with 4.75 mL of distilled water, and a bicinchoninic acid kit was added. The absorbance was measured at 560 nm (time: 1 h), and then the concentration was determined. The last absorbance measurement was performed 24 h after the functionalized MNPs were in contact with CuSO<sub>4</sub>·5H<sub>2</sub>O (time: 24 h). Each measurement was performed in duplicate.

# 3.5. Synthesis of Peptide–Fe<sub>3</sub>O<sub>4</sub> Conjugates by the U-4C Reaction

To conjugate the peptide fractions to  $Fe_3O_4$  using the U-4C reaction, the amino component (the peptide fractions or  $Fe_3O_4$ @APTES) and four equivalents (eq) of paraformaldehyde were reacted at room temperature for 24 h in the smallest required volume of phosphate-buffered saline (PBS) (pH = 7.4). The acid component ( $Fe_3O_4$ @citrate or peptide fractions) was then added; 10 min later, 1-pentynylisonitrile (4 eq) was added. The reactions were monitored at intervals of 4 h over 8 h and carried out in quintuplicate. Finally, the products were washed twice with 1 mL of distilled water and dried, as stated for the synthesis of  $Fe_3O_4$  NPs.

# 3.6. Extension of the Conjugation

The amount of peptide remaining after conjugation to MNPs by the U-4C reaction was determined according to the Bradford assay [39]. The supernatants of each reaction (10  $\mu$ L) were deposited with 100  $\mu$ L of the Bradford reagent in a 96-well microplate. The readings were carried out at a wavelength of 595 nm. The remaining peptide concentration values were determined using a calibration curve of bovine serum albumin (BSA).

# 3.7. NP Characterizations Using X-ray Diffraction, Fourier-Transform Infrared Spectroscopy, Scanning Transmission Electron Microscopy, Thermogravimetric Analysis, Dynamic Light Scattering, and Spectrophotometric Analysis

XRD measurements were performed using a Bruker D8 Advance diffractometer. Cu  $K\alpha$  ( $\lambda = 1.54183$  Å) incident radiation was used. The measurements were carried out in the range of 5–100° with an increment of 0.02° and scan speed of 10 s. The cell parameters were calculated using the Powdercell 2.4 software. The average crystallite size was determined using the Debye–Scherrer equation (Equation (1))

$$D = \frac{\kappa \lambda}{\beta \cos \theta}.$$
 (1)

where *D* is the crystallite size,  $\kappa$  is the Debye–Scherrer constant (0.89),  $\lambda$  is the operation wavelength,  $\beta$  is the average width of the most intense peak, and  $\theta$  is the Bragg angle.

FTIR spectra were recorded using an Equinox 55 Bruker spectrometer in the absorbance measurement range of 4000 to 400 cm<sup>-1</sup>. TGA measurements were performed using a Netzsch STA 409 PC Luxx thermal analyzer coupled to the FTIR equipment. Approximately 10 mg of the sample were heated from 25 to 1000 °C and analyzed at the heating flux of 20 °C/min in an Ar atmosphere. DLS measurements were carried out using a Zetasizer Nano sampler (Malvern Instruments, Cambridge, UK) equipped with a He–Ne laser ( $\lambda$  = 633 nm). The measurements were carried out in square polystyrene cells at 25 °C in the range of 0.3–10  $\mu$ m using H<sub>2</sub>O as a dispersion medium. The absorbance performance was assessed using two different types of equipment. To determine the adsorption capacity of  $Cu^{2+}$  ions, the absorbance values were obtained at 560 nm using a Genesys 10 UV spectrophotometer (Thermo Electron Corporation, Madison, WI, USA). During the experiment with the peptide fractions, the absorbances were measured using a microplate reader (Thermo Scientific Multiskan GO, Waltham, MA, USA). The readings were carried out at 536, 595, and 700 nm depending on the system and properties to be determined. The morphology and average size of the NPs were analyzed using STEM images. The measurements were carried out using a JEM-ARM200CF electronic microscope with the resolution of 80 pm for the employed mode. The acceleration voltage was 200 keV. The analysis of the STEM images to determine the NP average size was carried out with the ImageJ (Origin(Pro) Corporation, Northampton, MS, USA), and the histograms were obtained using Origin v9.0, (OriginLab Corporation, Northampton, MS, USA).

# *3.8. In Vitro Determination of the Antioxidant Activity of the Samples 3.8.1. Reducing Power (RP)*

The RP of the conjugates was determined according to the method described by Oyaizu et al. [40]. It is based on the reduction of ferricyanide  $[Fe(CN)_6]^{-3}$  to the ferrocyanide  $[Fe(CN)_6]^{-4}$  anion. Owing to this reduction, Prussian blue was formed in the presence of  $Fe^{3+}$ . PBS (0.2 M, pH = 6.6; 50 µL) and 50 µL of K<sub>3</sub>[Fe(CN)<sub>6</sub>] (1%) were added to 20 µL of the samples at the concentrations of 15, 7.5, 3.75, and 1.88 mg/mL in one 96-well microplate. The mixture was incubated at 40 °C for 20 min. Subsequently, 50 µL of TCA (10%) and 10 µL of FeCl<sub>3</sub> (0.1%) were added and incubated for 10 min at 40 °C. The absorbance was read at 700 nm using a microplate reader.

# 3.8.2. Hydroxyl Radical Scavenging Activity

The percentage hydroxyl radical (OH·) scavenging capacity of the conjugates was determined according to the method developed by Lin et al. [41]. In this procedure, OH· is generated using the Fenton reaction. Thus, 20  $\mu$ L of each sample at the concentrations of 15, 7.5, 3.75, and 1.88 mg/mL were placed in a 96-well microplate. Subsequently, 50  $\mu$ L of 1,10-phenanthroline and FeSO<sub>4</sub> (3 mM) were added. To start the reaction, 50  $\mu$ L of H<sub>2</sub>O<sub>2</sub> were added and incubated at 37 °C for 60 min. The absorbance was measured using a microplate reader at 536 nm.

# 3.9. Statistical Analysis

The statistical analysis of the data obtained during the determination of the extension of conjugation and the antioxidant activity tests was performed using GraphPad Prism v6.0, La Jolla, CA, USA. A one-way analysis of variance (ANOVA) and Tukey's test were performed for multiple comparisons. The statistical significance was set at  $p \leq 0.05$ .

# 4. Conclusions

The conjugation of peptide fractions from germinated soybeans to Fe<sub>3</sub>O<sub>4</sub> NPs was achieved for the first time using a multicomponent protocol. The U-4C reaction was an efficient and versatile procedure for the conjugation of bioactive peptide fractions to Fe<sub>3</sub>O<sub>4</sub> NPs. The experiments on the RP and hydroxyl radical scavenging activity demonstrated that the antioxidant capacity of the peptide fractions was maintained or increased after the conjugation. The only exception was the conjugation of the >10 kDa peptide fraction to the magnetite NPs coated with sodium citrate (conjugate 1). Its RP decreased slightly with respect to the nonconjugated peptide fraction. Fe<sub>3</sub>O<sub>4</sub>@APTES@ > 10 kDa showed the highest antioxidant capacity. The obtained results suggest a synergistic effect of the conjugation of peptide fractions from germinated soybeans to the coated Fe<sub>3</sub>O<sub>4</sub> NPs by the U-4C reaction.

**Author Contributions:** Conceptualization, H.Y.-M., R.M.-E., Y.E.A.-J. and C.D.-Á.; Methodology, Y.E.A.-J., M.G.-M., D.U.-R., E.C.-U. and D.N.-F.; Validation, H.Y.-M. and R.M.-E.; Formal analysis, Y.E.A.-J.; Investigation, Y.E.A.-J.; Resources, R.M.-E. and H.Y.-M.; Writing, Y.E.A.-J. and R.M.-E.; Supervision, R.M.-E., H.Y.-M., C.D.-Á. and E.C.-U.; Funding acquisition, R.M.-E. and H.Y.-M. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was supported by grants from Instituto Politécnico Nacional (SIP:20181718 project) and CONACyT (PY-SEP-CONACyT 242860 project). The work was also supported with a PhD scholarship to A.T.M. from CONACyT.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data of this research is available from the authors.

Acknowledgments: Y.E.A.J. acknowledges CONACyT for scholarship No. 622043 and H.Y.M. E.C.U. and R.M.E. acknowledge the economic support from EDI, COFAA, and SNI. The authors acknowledge CNMN-IPN and Liliana Alamilla-Beltrán (from ENCB-IPN) for the experimental

support provided. Y.E.A.J. is thankful to Eduardo Gonzalez-Martinez and Gerardo-Manuel Ojeda-Carralero for their valuable suggestions.

Conflicts of Interest: The authors declare no conflict of interest.

**Sample Availability:** Samples of the compounds  $Fe_3O_4@APTES@ > 10$  kDa are available from the authors.

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Article



# Effects of Combination Treatments with Astaxanthin-Loaded Microparticles and Pentoxifylline on Intracellular ROS and Radiosensitivity of J774A.1 Macrophages

Eleonora Binatti <sup>1,\*</sup><sup>(D)</sup>, Gianni Zoccatelli <sup>1,2</sup><sup>(D)</sup>, Francesca Zanoni <sup>2</sup>, Giulia Donà <sup>2</sup>, Federica Mainente <sup>1</sup> and Roberto Chignola <sup>1</sup><sup>(D)</sup>

- <sup>1</sup> Department of Biotechnology, University of Verona, Strada Le Grazie 15-CV1, I-37134 Verona, Italy; gianni.zoccatelli@univr.it (G.Z.); federica.mainente@univr.it (F.M.); roberto.chignola@univr.it (R.C.)
- Sphera Encapsulation S.r.l., Strada Le Grazie 15-CV1, I-37134 Verona, Italy;
- zanoni@spheraencapsulation.com (F.Z.); lab@spheraencapsulation.com (G.D.) Correspondence: eleonora.binatti@univr.it

Abstract: Radiation-induced fibrosis (RIF) is a serious, yet incurable, complication of external beam radiation therapy for the treatment of cancer. Macrophages are key cellular actors in RIF because of their ability to produce reactive oxidants, such as reactive oxygen species (ROS) and inflammatory cytokines that, in turn, are the drivers of pro-fibrotic pathways. In a previous work, we showed that phagocytosis could be exploited to deliver the potent natural antioxidant astaxanthin specifically to macrophages. For this purpose, astaxanthin encapsulated into  $\mu$ m-sized protein particles could specifically target macrophages that can uptake the particles by phagocytosis. In these cells, astaxanthin microparticles significantly reduced intracellular ROS levels and the secretion of bioactive TGF $\beta$  and increased cell survival after radiation treatments. Here we show that pentoxifylline, a drug currently used for the treatment of muscle pain resulting from peripheral artery disease, amplifies the effects of astaxanthin microparticles on J774A.1 macrophages. Combination treatments.

**Keywords:** pentoxifylline; astaxanthin; particle encapsulation; oxidative stress; ionizing radiations; macrophages; radiation-induced fibrosis

# 1. Introduction

Radiation-induced fibrosis (RIF) is the most common long-term complication in cancer radiotherapy, and it affects a significant proportion of the ~60% cancer patients that receive radiation as part of their therapeutic regimens [1]. The onset of RIF occurs between 1–4 months and 1–2 years after radiotherapy and it progresses in severity with time [2]. RIF does not regress spontaneously, and to date, there are no effective treatments for RIF prevention and/or treatment [3]. Investigations with patients and experimental animals have demonstrated a significant reduction of RIF with antioxidant treatments administered after radiation [4]. The pathogenesis of RIF is still not fully understood, although it is known that phagocytic cells, such as macrophages, play a key role in RIF for their ability to produce oxidant chemical species, in particular reactive oxygen species (ROS), and inflammatory molecules that in turn trigger the fibrotic pathways in injured tissues [5].

Pentoxifylline (3,7-dimethyl-1-(5-oxohexyl)purine-2,6-dione, PTX) is a derivative of xanthine. PTX was approved by FDA in 1984 for the treatment of muscle pain resulting from peripheral artery disease, but it is also used off-label for the treatment of leg ulcers [6]. Indeed, PTX is known to improve the rheological properties of blood and wound healing, and to have anti-inflammatory and antioxidant activity [7].

PTX has also been investigated as a radioprotector in vitro and in vivo because it can increase blood flow and tissue oxygenation upon oral administration [8]. It has provided

Citation: Binatti, E.; Zoccatelli, G.; Zanoni, F.; Donà, G.; Mainente, F.; Chignola, R. Effects of Combination Treatments with Astaxanthin-Loaded Microparticles and Pentoxifylline on Intracellular ROS and Radiosensitivity of J774A.1 Macrophages. *Molecules* **2021**, *26*, 5152. https://doi.org/10.3390/ molecules26175152

Academic Editors: Višnja Stepanić and Marta Kučerová-Chlupáčová

Received: 26 July 2021 Accepted: 24 August 2021 Published: 25 August 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). satisfactory results in terms of RIF resolution but only at high doses (i.e., 800 mg/day over a 6-month treatment period [8]) that are not tolerated by patients and that can cause, among the side effects, serious gastrointestinal disorders [8,9]. Thus PTX alone cannot be used to cure RIF. Vitamin E is a well-known antioxidant, and it can effectively scavenge reactive oxygen species produced by radiation treatments. Moreover, its deficiency is associated with dysfunction of connective tissue repair [9]. When administered orally to patients its effects on RIF were only modest, but given in combination with PTX tablets, significantly reduced fibrosis and related clinical symptoms such as skin tightness, dyspnea, and pain [2].

Astaxanthin (ASX) is a xanthophyll ketocarotenoid with an antioxidant potential 100 times higher than vitamin E [10]. We previously explored in vitro whether ASX could be effective against RIF [11]. ASX was encapsulated into  $\mu$ m-sized protein particles that can only be taken up by cells through phagocytosis, and in this way, we could target them specifically to macrophages [11]. In these cells, ASX microparticles significantly reduced intracellular ROS levels and, importantly, the secretion of bioactive transforming growth factor  $\beta$  (TGF $\beta$ ) [11]. The role of this pro-inflammatory cytokine is central to fibrosis because it promotes fibroblasts recruitment, and local deposition of extracellular matrix components [12]. In addition, it inhibits the expression of antioxidant enzymes in target cells, further contributing to intracellular ROS accumulation [12].

Given these encouraging results and the outcomes of combination treatments with PTX and vitamin E discussed above, we explored whether PTX could also enhance the effects of ASX when given in combination to macrophages. We found that PTX could indeed improve the antioxidant and radio-protective activities of ASX microparticles.

# 2. Results

Full details concerning the synthesis of ASX-loaded microparticles along with their complete biochemical and biological characterization have been given in reference [11]. In this work we used the same preparations and in this section we describe new data concerning PTX and its interactions with ASX microparticles.

# 2.1. Cytotoxicity of PTX on J774A.1 Macrophages

In our previous work, we showed that ASX microparticles were not cytotoxic for J774A.1 macrophage cells [11]. To the best of our knowledge no information is available on the safety of PTX in this cell system. We therefore assayed whether PTX could alter the growth kinetics of these cells. Intracellular ATP content was measured at different time points of the growth curve obtained with independent cell populations continuously exposed to different PTX concentrations, and the results are shown in Figure 1.

The data show that PTX, at all assayed concentrations, was not cytotoxic for J774A.1 cells.

#### 2.2. PTX Does Not Alter Phagocytosis of ASX-Loaded Microparticles

Central to our treatment strategy is the idea that antioxidant molecules can be delivered specifically to macrophages (and other phagocytes) if loaded into particles of appropriate size that can be taken up by these cells through phagocytosis (see also our analyses carried out by confocal microscopy and reported in [11]). PTX has been reported to inhibit the phagocytosis induced by latex particles (~0.8 µm diameter) in monocytes and polymorphonuclear leukocytes in a dose-dependent manner. The critical PTX concentration at which the inhibitory activity became significant was 10 µg/mL [13]. We therefore assayed whether a slightly lower PTX concentration, namely 8 µg/mL, could inhibit phagocytosis of ASX microparticles in J774A.1 cells.

Figure 2 shows that 8  $\mu$ g/mL PTX did not inhibit phagocytosis of ASX microparticles in J774A.1 macrophages.

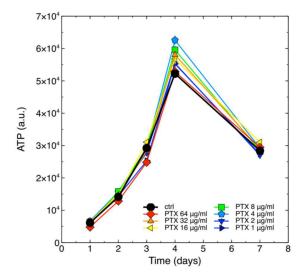
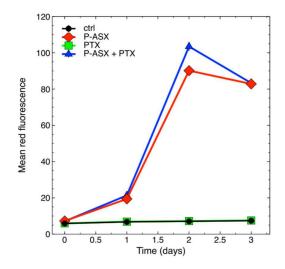


Figure 1. Growth kinetics of J774A.1 cells exposed to different concentrations of PTX. The drug was administered to the cells at the indicated final concentrations at the beginning of the growth assays, and ATP was then measured. Cell populations were also left untreated as controls (black circles marked as ctrl). The error bars correspond to calculated standard errors and are not clearly visible in this figure because they are masked by the symbols (min. and max. coefficient of variation, CV = 100\*SE/mean, 0.75% and 6.0%, respectively).



**Figure 2.** Phagocytosis kinetics of ASX microparticles. The cells were exposed to ASX microparticles (P-ASX) and were either treated or left untreated with PTX at a final concentration of 8  $\mu$ g/mL. Phagocytosis was measured by flow cytometry thanks to the fluorescence emission of the ASX-containing oleoresin encapsulated into the protein particles. In this figure cell autofluorescence of control untreated cells (ctrl) or of cells treated with PTX only is also shown. Values are given as mean  $\pm$  SE. The error bars correspond to calculated standard errors and are not clearly visible in this figure because they are masked by the symbols (min. and max. coefficient of variation, CV=100\*SE/mean, 0.45% and 5.1%, respectively).

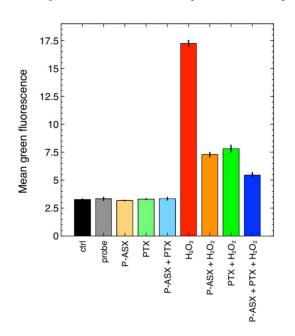
# 2.3. Effects of PTX and ASX Microparticles on Intracellular ROS Levels

PTX has been reported to have antioxidant activity [7], and more importantly to have a synergic effect with other antioxidants, such as vitamin E, in combined treatments [14]. We previously showed that ASX microparticles could significantly reduce intracellular ROS levels in J774A.1 cells treated with  $H_2O_2$  to induce oxidative stress [11], and we therefore wondered whether PTX could also improve the effects of ASX particles. Figure 3 shows that the intracellular ROS levels in J774A.1 macrophages decreased when PTX and ASX microparticles were given alone or in combination.

**Table 1.** Two-ways ANOVA analysis of the antioxidant effects of PTX and ASX microparticles given alone or in combination to J774A.1 cells.

Treatment	df <sup>1</sup>	SS	MS	F	p
P-ASX	1	97.4	97.4	381.9	$1.8 imes10^{-10}$
PTX	1	122.1	122.1	478.9	$4.9 imes10^{-11}$
PTX and ASX	1	41.2	41.2	161.4	$2.6 imes10^{-8}$
Error	12	3.1	0.255		
Total	15	263.8			

<sup>1</sup> df, degrees of freedom; SS, sum of squares; MS, mean squares.



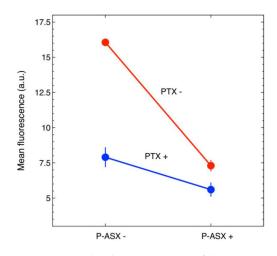
**Figure 3.** Effects of PTX and ASX-loaded microparticles on intracellular ROS as evaluated by flow cytometry with the DCF-DA probe. Cell fluorescence was almost equal for untreated cells (cell autofluorescence, ctrl) or cells treated with DCF-DA (probe), ASX microparticles (P-ASX), PTX or with P-ASX and PTX. Cell fluorescence significantly increased in cells loaded with DFC-DA and treated with H<sub>2</sub>O<sub>2</sub>, but treatments with PTX and ASX microparticles given alone or in combination significantly suppressed the effects of H<sub>2</sub>O<sub>2</sub> (see Figure 4 and Table 1 for statistical details). Data are the mean  $\pm$  SE of 4 independent samples.

Two-way ANOVA analyses indicated that PTX and ASX microparticles significantly interacted to reduce the intracellular ROS levels induced by hydrogen peroxide treatment in J774A.1 cells (Figure 4 and Table 1).

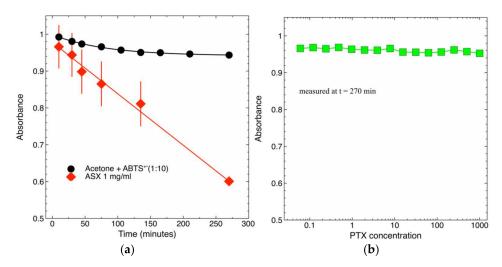
#### 2.4. Radical-Scavenging Activity of PTX and ASX-Containing Extract in a Cell-Free Assay

Data in Figures 3 and 4 and Table 1 indicate that PTX is an intracellular ROS scavenger. We further investigated its activity in a well-controlled cell-free assay, i.e., ABTS assay, in the attempt to explore whether the drug could react with free radicals on its own. As the control we used ASX-containing extracts that have an acknowledged antioxidant activity [16]. This result is somewhat expected since in a previous study PTX showed poor scavenging activity using DPPH assay [17]. This behavior is probably due to the lack of hydroxyl groups on PTX structure that underpin the scavenging activity of other potent antioxidants, such as vitamin E and phenolic compounds [18].

Figure 5 shows that PTX had no radical-scavenging activity at all assayed concentrations.



**Figure 4.** Graphical representation of the synergic effect of PTX and ASX microparticles on intracellular ROS reduction in J774A.1 cells. The two lines would be expected to be parallel if there were no synergic effects [15]. Formally, synergism is demonstrated by two-ways ANOVA statistical analysis (see Table 1).



**Figure 5.** Antioxidant activity of PTX and ASX extracts in a cell free assay. (**a**) Antioxidant kinetics of ASX extracts compared to the spontaneous decay of ABTS cation radicals (ABTS<sup>+</sup>) in acetone solvent. (**b**) Antioxidant kinetics of PTX overlapped those of ABTS<sup>+-</sup> in acetone at all assayed concentrations. For the sake of clarity, in this panel we show only the final time-point, i.e., the measurements carried out after 270 min for all PTX concentrations.

We therefore concluded that the effects observed for PTX on intracellular ROS levels likely depend on the ability of the drug to activate cellular detoxifying pathways (see also Section 3 for further discussion on this point).

# 2.5. Effects of PTX and ASX Microparticles on Irradiated Cells

Ionizing radiations initiate oxidative stress in biological samples through water radiolysis that ultimately leads to cell death [19]. Since PTX and ASX microparticles cooperated to significantly reduce intracellular ROS (Figures 3 and 4 and Table 1) we asked whether they could also increase the survival of irradiated cell samples when given alone or in combination to cells before radiation treatments.

The clonogenic assay is commonly performed in radiobiology to quantify the radiosensitivity of cell samples. This test is based on the ability of cells to grow in isolation and form colonies [20], but in spite of several attempts we could not obtain well defined colonies with J774A.1 cells (see also reference [11] for further discussion on this point). We therefore resorted to a recently developed method that translates into experiments a probabilistic model of cell survival after radiotherapy and that is based on Poisson statistics [11,21]. The model is described by Equation (1) (see Section 4.8), and the final goal is to estimate the model parameter S(D) by nonlinear fitting of Equation (1) to experimental data. This parameter defines the survival probability of irradiated clonogenic cells. However, to univocally estimate its values an independent determination of the multiplicative parameter  $\varepsilon$  is required [11,21]. Parameter  $\varepsilon$ , on its turn, defines the clonogenic potential of non-irradiated cells and it can be precisely estimated using limiting dilution assays [11,21].

Figure 6 shows the results of our experiments with J774A.1 macrophages. ASX microparticles and PTX, given alone or in combination, did not alter significantly the clonogenic potential of the J774A.1 cells (parameter  $\varepsilon$ ) if compared to that of control untreated cells, but significantly increase the survival of irradiated cells (parameter *S*(*D*)). The survival of irradiated cells increased progressively when the cells were treated with ASX microparticles, PTX or ASX particles in combination with PTX.

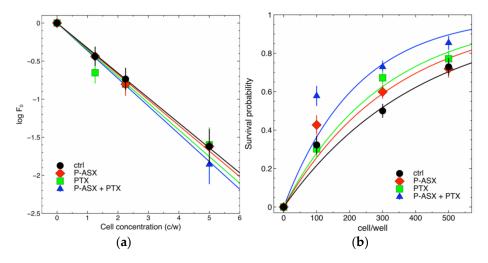


Figure 6. Effects of PTX and ASX microparticles on irradiated J774A.1 macrophages. (a) Limiting dilution assays were performed with non-irradiated cells to estimate parameter  $\varepsilon$  in Equation (1) (see the main text for details). Cells were seeded at each indicated density into the wells of 96-well culture plates and treated with ASX microparticles (P-ASX) and PTX, either alone or in combination (P-ASX + PTX), or left untreated (ctrl).  $F_0$  is the fraction of cells where no proliferation was observed at the end of the observation period (~30 days). When the cells are randomly and independently distributed,  $F_0$  is expected to obey Poisson statistics with parameter  $\varepsilon$ . Linear fitting of log-transformed data provided the following estimates:  $\varepsilon_{ctrl} = 0.328 \pm 0.0325$ ,  $\varepsilon_{P-ASX} = 0.336 \pm 0.036$ ,  $\varepsilon_{PTX} = 0.351$  $\pm$  0.036,  $\varepsilon_{P-ASX+PTX}$  = 0.364  $\pm$  0.038. The differences in the estimated parameter values were not statistically significant (ANOVA test, p = 0.9). (b) Survival probability of independent cell populations treated with ASX microparticles (P-ASX), PTX, P-ASX in combination with PTX (P-ASX + PTX) or left untreated (ctrl) and then irradiated with a dose of 4 Gy  $\gamma$ -rays. Nonlinear fits with Equation (1) allowed to estimate the fraction of the cells surviving radiation treatments (see also the main text for details). The results are:  $S(D)_{ctrl} = 0.0071 \pm 0.0005 (\chi^2/df = 1.5), S(D)_{P-ASX} = 0.0086 \pm 0.0006$  $(\chi^2/df = 1.2), S(D)_{PTX} = 0.0095 \pm 0.0007 (\chi^2/df = 1.7), S(D)_{P-ASX+PTX} = 0.0131 \pm 0.001 (\chi^2/df = 2.1).$ All observed differences in estimated parameter values were statistically significant as evaluated by ANOVA ( $p = 1.5 \times 10^{-12}$ ) followed by Tukey post-hoc test.

#### 3. Discussion

Oxidative stress is involved in several diseases such as cancer, Alzheimer's disease, Parkinson's disease, atherosclerosis, heart failure, fibrosis and, in particular, RIF. It is a systemic pathologic condition caused by altered ROS accumulation in cells and tissues and hence by imbalance between ROS production and elimination through the activation of specific detoxifying mechanisms [22]. Ionizing radiations produce high amounts of ROS in both intracellular and extracellular compartments through water radiolysis [19]. This causes DNA lesions and other life-threatening damages not only to cancerous cells but also to irradiated and non-irradiated normal cells. It is nowadays accepted that radiation generates "danger" signals that propagate from irradiated to non-irradiated cells (the so-called off-target effects). Such molecular signals include ROS, other reactive molecular species, cytokines, ATP and extracellular DNA [23]. These signals, in turn, activate immune cells that, similar to macrophages, can further contribute to ROS production and can initiate and sustain the inflammatory cascade [23]. If uncontrolled, inflammation can cause tissue remodeling and dysfunction [24].

For example, the TGF $\beta$  cytokine promotes the recruitment of fibroblasts and local deposition of extracellular matrix components and it represents a key molecular actor in RIF [12].

RIF manifests in ~1/4 of all cancer patients that undergo radiotherapy with clinical consequences that impact the quality of their life [1]. Our previous study showed that ASX microparticles could not only reduce intracellular ROS levels in macrophages but also inhibited the secretion of bioactive TGF $\beta$  by these cells [11], indicating—although within the limitations of a pre-clinical in vitro study—that the treatment strategy developed to target specifically phagocytic cells could indeed be effective.

The results of the present study show that the effects of ASX microparticles can be further potentiated by combination treatment with PTX. PTX was nottoxic for the cells, did not interfere with phagocytosis of ASX microparticles, but at the same time could significantly reduce the oxidative stress in J774A.1 macrophages. Cell-free assays, however, clearly showed that PTX had no direct antioxidant activity on its own. It has been reported that PTX may have indirect antioxidant effects in neutrophils where it may reduce the superoxide production via NADPH oxidase [7]. PTX has also been shown to contribute to the maintenance of GSH levels, mitochondrial viability and in general to have protective effects against malathion-induced oxidative damage to rat brain mitochondria in vivo [25]. The antioxidant activity of PTX, therefore, appears to depend on its ability to modulate intracellular detoxifying pathways. Our results are in line with this interpretation of the molecular mechanisms of action of PTX. Importantly, the drug showed synergistic antioxidant effects with ASX microparticles in J774A.1 cells and significantly contributed to increasing the survival of irradiated macrophages. These findings collectively show that both direct and indirect mechanisms can be activated to restore intracellular ROS levels and protect cells from oxidative injury.

PTX has also a well-acknowledged anti-inflammatory activity, since it can inhibit TNF $\alpha$  production and signaling [8]. Given in combination with ASX microparticles, that on their own inhibit active TGF $\beta$  release by targeted macrophages, might result in more effective treatments against inflammation and fibrosis. Overall, our previous and present observations justify further investigations with in vitro and in vivo models of fibrosis.

#### 4. Materials and Methods

#### 4.1. Pentoxifylline and Astaxanthin Loaded Microparticles

Pentoxifylline P1784-100G powder was provided by Sigma-Aldrich (St. Louis, MO, USA). Astaxanthin Oleoresin (ASTAPure<sup>®</sup> 10% Oleoresin from Haematococcus pluvialis) was provided by Algatech (Ketura, Israel).

The microencapsulation of astaxanthin oleoresin into the whey protein isolate shell was performed by emulsification and solvent evaporation technique described in the PCT/IB2019/05991 application. Chemical and physical properties (e.g., distribution of particles size, storage stability, absorption spectra) of astaxanthin loaded microparticles were given in our previous work [11]. The median particle size was ~2.5  $\mu$ m.

The concentration of ASX microparticles was expressed as  $\mu g$  of dried powder/mL of cell growth medium. The mass contribution of ASX into the microparticles is approximately 2.9%. Taking into account that the molecular weight of ASX is 596.8 g/mol this corresponds to a concentration of 48.6 nM ASX ( $\mu g/mL$ ) dried particles.

When not specified, in all assays the final concentration of PTX was 8 µg/mL (28.7 mM).

# 4.2. Cells and Cell Culture

J774A.1 macrophages were obtained from the European Collection of Authenticated Cell Cultures (ECACC, Salisbury, UK; ECACC number 91051511). Murine macrophages were cultured in RPMI 1640 medium supplemented with foetal bovine serum (FBS), 10 mg/mL gentamicin (Biochrom) and 2 mM glutamine (Sigma-Aldrich, St. Louis, MO, USA) at 37 °C in a humidified 5% CO<sub>2</sub> atmosphere.

Cell morphology was routinely checked using an Evos (AMG, Life Technology) digital inverted microscope and an Olympus IX51 (Olympus Corporation, Corporate Parkway Center Valley, PA, USA) inverted microscope.

#### 4.3. Cytotoxicity Assay

J774A.1 cells were seeded at 5000 cells/well in 96-wells culture plates in 200  $\mu$ L RPMI medium containing different concentrations of PTX, from 1  $\mu$ g/mL to 64  $\mu$ g/mL. At each time point after treatments the intracellular ATP content was quantified using the Cell Titer Glo<sup>®</sup> Luminescent Cell Viability Assay (Promega, Milan, Italy) following the manufacturer's specification. Luminescence was measured with an FLX800 Microplate reader (FLX800, Bio-Tek Instruments, Bad Friedrichshall, Germany). All measurements were carried out at least in 4 replicates.

### 4.4. Flow Cytometry

A Guava easyCyte 5 flow cytometer (Merck Millipore, Billerica, MA, USA) was used. The instrument is equipped with a 488 nm, 20 mW, blue laser light. Light scattering is measured by means of a forward scatter (FSC) photodiode and a side scatter (SSC) photomultiplier. Three fluorescence channels, green, yellow, and red, allow to collect cell-associated fluorescence at the same time, thanks to the following filters: green, 525/30 filter; yellow, 583/26; red, 680/30. Instrument calibration was routinely carried out using the Guava EasyCheck kit (Merck Millipore, Billerica, MA, USA) following the manufacturer's instructions. We routinely collected at least 5000 gated events for analysis.

# 4.5. Phagocytosis Kinetics

J774A.1 cells were seeded at 20,000 cells/well in six-wells plates. Cells were incubated at 37 °C with 56  $\mu$ g/mL of ASX microparticles for different days, and at each time point, phagocytosis of the microparticles was analyzed by flow cytometry. In fact, ASX oleoresin emits red fluorescence around 600 nm when excited by a blue laser light. In parallel assays, the cells were also treated with 8  $\mu$ g/mL PTX.

#### 4.6. Intracellular ROS Detection

The cell-permeable and  $H_2O_2$ -sensitive 2',7'-Dichlorofluorescein diacetate (DCF-DA) fluorescent probe (Sigma-Aldrich, St. Louis, MO, USA) was used to measure intracellular ROS levels. When the diacetate group is cleaved by intracellular esterases the probe is retained into the cells.

Macrophages were seeded in six-well plates at 60,000 cell/wells in 3 mL of growth medium and treated with 56 mg/mL ASX particles, 8 µg/mL PTX or both for 5 h at 37 °C to allow phagocytosis. The cells were then washed twice with PBS 1×, and the medium was replaced with 500 µL of 50 µM DCF-DA. The cells were further incubated for 30 min. After washings with PBS 1× the cells were incubated for 30 min at 37 °C with 3 mL of a solution containing 2.5 mL of growth medium and 0.5 mL of 0.1% w/w hydrogen peroxide in PBS (0.017% H<sub>2</sub>O<sub>2</sub> final concentration) to increase intracellular ROS levels and simulate oxidative stress. The fluorescence of the DCF-DA probe was then measured by flow cytometry.

#### 4.7. Free Radical Scavenging: ABTS Test

The free radical scavenging capacity of PTX was also studied using the ABTS radical cation de-colorization assay which is based on the reduction of ABTS<sup>+•</sup> radicals by antioxidants [17].

ABTS (Sigma-Aldrich, St. Louis, MO, USA) was dissolved in PBS to reach a final concentration of 7.4 mM. ABTS radical cation (ABTS<sup>+•</sup>) was produced by a chemical reaction of ABTS with 2.6 mM potassium persulfate (Sigma-Aldrich St. Louis, MO, USA). The reaction was carried out overnight, in the dark, and at room temperature. The ABTS<sup>+•</sup> solution was then diluted in methanol to reach an absorbance of 0.75 at 734 nm. A BioTek PowerWave HT, microplate spectrophotometer (BioTek Instruments, Inc., Winoosky, VT, USA) was used.

Different concentrations of PTX dissolved in acetone (Sigma-Aldrich St. Louis, MO, USA) (20  $\mu$ L) were allowed to react with 200  $\mu$ L of ABTS<sup>+•</sup> solution into the wells of a 96-well microplate kept in the dark. Absorbance kinetics were measured at room temperature starting at 5 min after initial mixing. All solutions were used the same day of their preparation, and all determinations were carried out in triplicate.

#### 4.8. Irradiation of Cell Samples

The cells were seeded at different densities into the wells of 96-well culture plates, 1 plate for each assayed cell density, and treated with 56  $\mu$ g/mL of ASX microparticles and/or with 8  $\mu$ g/mL PTX. After 24 h cells were irradiated with a dose of 4 Gy using a Gammacell40 irradiator (Atomic Energy of Canada Limited, Kanata, ON, Canada) equipped with a <sup>137</sup>Cs source. The dose rate was 0.6654 Gy/min and the measured uniformity was  $\pm 1.3\%$  over the entire sample chamber. Both parameters are monitored by the Radiation Protection Service of the University of Verona.

After ~20 days surviving cell populations were determined by careful microscopic inspection and counted. The number of wells containing survivors divided by the total number of seeded wells is an experimental estimate of the overall survival probability *P* of the cells at a given radiation dose, and this probability is expected to vary as the function of cell density  $\mu$  as follows [11,21]:

$$P = 1 - e^{-S(D)\varepsilon\mu}$$
(1)

where S(D) is the survival probability of the cells with self-renewing potential (i.e., clonogens) irradiated with a dose D, and  $\varepsilon$  is the fraction of clonogens in a population of  $\mu$ cells on average. To quantify the effects of radiation the parameter S(D) was estimated by nonlinear fitting of experimental data with Equation (1) knowing the fraction of clonogens  $\varepsilon$  in the cell population. This fraction was determined in independent limiting dilution assays as described [11,21].

### 4.9. Statistics

All assays were carried out in 3–4 replicates and repeated at least three times with different cell batches. Data were expressed as mean  $\pm$  SE, where SE is the standard error of the mean. Statistical analyses and nonlinear regression were carried out using the software Mathematica (v.12, Wolfram Research Inc., Champaign, IL, USA). The reduced  $\chi^2$ , i.e.,  $\chi^2$ /df where df is the number of degrees of freedom, was used to determine the goodness of the nonlinear fits.

Author Contributions: Conceptualization, E.B., R.C. and G.Z.; investigation, E.B.; methodology, E.B., F.Z., G.Z. and R.C.; resources, F.M. and G.D.; funding acquisition, G.Z.; supervision, R.C. and G.Z.; writing—original draft preparation, E.B. and R.C.; visualization, E.B. and R.C.; writing—review and editing, E.B., G.Z., F.Z., G.D., F.M. and R.C. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data are contained within the article.

Conflicts of Interest: The authors declare no conflict of interest.

Sample Availability: Samples of the compounds are available from the authors.

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# **AQP3 and AQP5—Potential Regulators of Redox Status in Breast Cancer**

Lidija Milković 🗅 and Ana Čipak Gašparović \*🗅

Division of Molecular Medicine, Ruđer Bošković Institute, HR-10000 Zagreb, Croatia; lidija.milkovic@irb.hr \* Correspondence: acipak@irb.hr; Tel.: +385-1-457-1212

**Abstract:** Breast cancer is still one of the leading causes of mortality in the female population. Despite the campaigns for early detection, the improvement in procedures and treatment, drastic improvement in survival rate is omitted. Discovery of aquaporins, at first described as cellular plumbing system, opened new insights in processes which contribute to cancer cell motility and proliferation. As we discover new pathways activated by aquaporins, the more we realize the complexity of biological processes and the necessity to fully understand the pathways affected by specific aquaporin in order to gain the desired outcome–remission of the disease. Among the 13 human aquaporins, AQP3 and AQP5 were shown to be significantly upregulated in breast cancer indicating their role in the development of this malignancy. Therefore, these two aquaporins will be discussed for their involvement in breast cancer development, regulation of oxidative stress and redox signalling pathways leading to possibly targeting them for new therapies.

Keywords: AQP3; AQP5; oxidative stress

# 1. Introduction

Despite the progress in research and treatment procedures, cancer still remains the leading cause of death. Today, cancer is targeted via different approaches which is determined by diagnosis, tumour marker expression, and specific mutations. One of the first approaches was targeting highly proliferating (cancer) cells and this is the basic mechanism of conventional chemotherapy. Conventional chemotherapy, such as anthracyclines, taxols, cisplatin or other platinum-containing drugs, targets either DNA replication or repair, or interferes with tubulins, disabling proper chromatid exchange in mitosis. Oxidative stress commonly accompanies these therapies, which damage cancer cells, but also normal cells, thereby causing side effects such as cardiotoxicity or ototoxicity [1–3].

Oxidative stress is both a cause and consequence of pathological states. On the other hand, numerous physiological processes depend on mild oxidative stress and certainly redox signalling is now recognized for its role in physiology [4]. Although ROS were at first thought to be by-products of metabolic pathways, now we are repurposing proteins that produce and control ROS movements thereby contributing to and controlling redox signalling [5]. Aquaporins are one of these molecules which were attributed as water channels making a breakthrough in our knowledge of water movement or transport across the cellular membrane [6]. In the light of accumulating evidence on the role of aquaporin 3 and aquaporin 5 in breast cancer, we will bring an overview of current knowledge of these two aquaporins and their role in the regulation of redox signalling in breast cancer.

#### 2. Aquaporins

Water movement in and out of the cells is one of the most important biological processes since it is the base for cell movement, regulation of cytoplasmic viscosity, and consequently kinetics of signal transduction. Water was supposed to enter through the cell membrane via diffusion, but this process has slow kinetics, and the changes of volume that occur due to water movement are quite rapid indicating a certain level of active regulation.

Citation: Milković, L.; Čipak Gašparović, A. AQP3 and AQP5—Potential Regulators of Redox Status in Breast Cancer. *Molecules* 2021, 26, 2613. https://doi.org/ 10.3390/molecules26092613

Academic Editor: Hiroyuki Kataoka

Received: 31 March 2021 Accepted: 27 April 2021 Published: 29 April 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). The discovery of aquaporins, the first one described was CHIP28/AQP1 [7], opened new research perspective. At first, aquaporins were figuratively described as cell's plumbing system focusing on their role in water transport [8]. Today, we are distinguishing this family according to molecules channelled to aquaporins and aquaglyceroporins. Aquaporin number varies between the species, e.g., *Saccharomyces cerevisiae* has two orthodox (Aqy1 and Aqy2) and two glyceroaquaporins (YFL054Cp and Fps1), *Escherichia coli* has one orthodox, AqpZ, and one structurally similar to glyceroaquaporins, GlpF, while plants can have more than 35 isoforms [9].

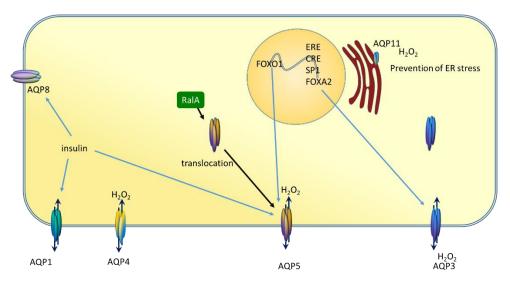
Today we know 13 human aquaporins (AQP0-QP12), each one with a unique structure and cellular and subcellular location and role [10]. Aquaporins are grouped according to their permeability and primary structure as follows: orthodox aquaporins (AQP0, AQP1, AQP2, AQP4, AQP5, AQP6, and AQP8) which channel primarily water, glyceroaquaporins (AQP3, AQP7, AQP9, and AQP10) which channel primarily glycerol, and unorthodox or S-aquaporins (AQP11 and AQP12) [11,12]. The unorthodox S-aquaporins or superaquaporins are located strictly inside the cell, on the membranes of organelles [13]. This group is found in animal cells but not in plants, bacteria no fungi, and has low homology with the other family members [14]. Interestingly, unorthodox aquaporins were initially attributed to channel water, but AQP11 was shown also to facilitate glycerol transport [14]. Still, their major role is the regulation of organelle volume and intra-vesicular transport [12, 15]. As stated, the initial grouping of aquaporins defined the water and glycerol channels, but both of these groups also channel other small molecules (ions and other polar molecules, as well as gases) [16,17]. In the light of new targets for transport through aquaporins, hydrogen peroxide emerged due to its relevance in biological processes and signalling. Therefore, Henzler and Steudle proposed a new term "peroxiporins" which refers to aquaporins that facilitate hydrogen peroxide flux [18,19]. Peroxiporins are members of all three previously mentioned groups and are as follows AQP0, AQP1, AQP3, AQP5, AQP8, AQP9, and AQP11 [19,20].

Aquaporins are highly conserved transmembrane channels built as tetramers, with each monomer consisting of about 320 amino acids, and of 28kDa molecular weight [10]. Each monomer has six transmembrane domains connected with five loops (A-E) [6]. Another motif, asparagine-proline-alanine (NPA) is present on loop B on the cytoplasmic side and loop E on extracellular side is common to both, orthodox and aquaglyceroporins. NPA motif serves as structure maintenance motif of each monomer, while loop D regulates gating of the pore [21]. In addition to structure maintenance, loop B and loop E, each form pseudo transmembrane segment [22]. Regulation sequences of aquaporins are located in loops, specifically loop E, which contains sites for inhibitors, Hg+ and tetraethylammonium, and loop D, which is sensitive to protonation and thereby regulates gating of the pore [21]. In contrast to ion channels where the channel is centrally situated, each monomer of aquaporin is a channel of its own, regulated independently of other monomers. The tetramer centre is a channel itself, mostly hydrophobic, and channels gasses, such as CO<sub>2</sub>, nitric oxide, and ions; which of these species would be channelled depends specifically on each aquaporin [23–28].

Transcriptional regulation of aquaporins (Figure 1) is still not completely investigated, but AQP3 has several response elements and transcription factor binding sites in the promoter region, such as oestrogen response element (ERE), ROR/REV-ERB-response element (RORE), SP1 site, FOXA1 site [29]. In the salivary gland, FOXO1 was shown to be a direct regulator of AQP5 expression [30]. Additionally, insulin can upregulate the expression of AQP1, AQP5, and AQP8 in the submandibular glands of diabetic rats [31]. These data indicate that aquaporins can be regulated by different stimuli further exerting protective effects on the targeted cell.

Aquaporins are regulated post-translationally by protein trafficking from the intracellular deposits and transport to the plasma membrane when needed [32]. Trafficking may depend on the phosphorylation of monomers, AQP2 needs phosphorylation of at least three monomers to determine its position in the plasma membrane [33]. In prostate cancer cells, PC-3, AQP3 is translocated from the cytoplasm to the cell membrane after silencing of RAS like proto-

oncogene A (RalA) [34]. Regulation of the flux through the channel is referred to as gating and is regulated by pH, phosphorylation, temperature, membrane tension, solvent gradient, and pressure [24,35,36]. Orthodox aquaporins are known for the quickest response to stimuli by changing the permeability which implicates sophisticated regulation. Regarding the link between structure and function, each monomer functions independently of others, therefore it is not clear why they form tetramers, especially as there is no evidence of cooperative interdependence in the quaternal structure [37]. Even more, aquaporins are considered to be homotetramer, but heterotetramers were found for AQP1 and AQP4 [38,39].



**Figure 1.** Regulation of different aquaporins-peroxiporins. Aquaporins are regulated by several transcription factors as well as hormones. Insulin increases the expression of AQP1, AQP5, and AQP8. AQP3 has several response elements in its promoter region (oestrogen response element, ERE, cAMP response element, CRE), as well as transcription binding sites (SP1, FOXA2). An additional level of regulation is translocation to the cell membrane, as observed for AQP5. AQP11 is a member of the S-aquaporin family which channels  $H_2O_2$  and is located on the endoplasmic reticulum (ER). AQP11 channels  $H_2O_2$  thereby preventing ER stress.

Aquaporins control water movement across the membrane, but also they control the osmotic pressure through the regulation of intracellular glycerol concentration [40]. Control of water movement and osmotic pressure is further linked to their involvement in cellular functions, such as migration, proliferation, and adhesion [41]. During migration, aquaporins selectively transport water due to osmotic gradient which is achieved by actin depolarisation. Water enters the cell at the leading site causing local membrane expansion which is then compensated by actin rearrangement for the maintenance of the membrane integrity [42]. The mechanism by which aquaporins contribute to cell proliferation is not simple and unambiguous for each aquaporin. Namely, the inhibition of AQP1 inhibits proliferation and migration of HT29 cells, which have a high basal expression of AQP1, while this inhibition does not affect HCT116 cells, with low expression of AQP1 [43]. In addition to AQP1, AQP3 is also related to cell proliferation giving correlation of AQP3 overexpression and increased proliferation of gastric cancer cells SGC7901 and MGC803, while its downregulation had the opposite effect [44]. Taken that in gastric cancer APQ3 is significantly higher than in normal gastric mucosa and was correlated to EMT proteins in gastric cancer tissues, overexpression of AQP3 is linked to poorer prognosis of these patients. Therefore, in gastric cancer, upregulation of these two aquaporins is linked to a more malignant phenotype, which is achieved by activation of ERK and Ras, as well as PI3K/AKT/Snail signalling pathway [44,45]. In lung cancer stem cells AQP3 silencing caused upregulation of Wnt/glycogen synthase kinase-3 $\beta$  (GSK-3 $\beta$ )/ $\beta$ -catenin pathway implying its role in reducing the activity of this signalling pathway and, thereby, inhibiting apoptosis and reducing differentiation of lung cancer cells keeping stemness

of these cell [46]. AQP3 can, therefore, through inhibition of apoptosis and reduction in differentiation support stemness of these lung cancer cells, which implies the use of APQ3 for determining the malignant potential and recurrence of the primary disease [46]. These studies indicate the complexity of aquaporins in their cellular functions and involvement in signalling pathways.

# 3. Oxidative Stress and Antioxidative Defence

One of the important factors in the development of different pathologies is oxidative stress, an imbalance in cellular redox homeostasis [47]. This imbalance can occur due to decreased antioxidant defence or due to increased production of reactive oxygen species (ROS). At first, oxidative stress was considered to be a stressful and damaging condition [48,49]. Today, we know that this point is not so straight forward, and oxidative stress is considered as altered balance which can have either positive or negative consequences [50]. These two options are denoted as eustress and distress, where distress is damaging stress with possibly lethal consequences, and eustress is hormesis, adaptive biological response to stress condition [51,52]. Eustress (hormesis) came in the focus of the research by opening numerous questions on mechanisms by which this adaptation is achieved. There are several review papers that nicely provide an overview of this topic and a historical timeline of all the major points and discoveries leading to our current perception of oxidative stress [53–56].

The concept of eustress refers to ROS as a factors that contributes to physiological processes in the cell or organism and moving our understanding of their role away from exclusively damaging factors. Mild oxidative stress, a term that is referring to low levels of ROS, is very useful because it stimulates the defence mechanisms [57]. An example of this is the exercise: during exercise the ROS production increases which stimulates the cellular antioxidative system [57]. However, if vitamin C is consumed before the activity, it will neutralize ROS produced and they will fail to stimulate the cellular antioxidative system, diminishing the positive effect of the exercise [58,59]. Increasing oxidative stress and entering the "moderate" oxidative stress activates a whole different set of proteins, stimulating inflammation and changing the expression of cytokines and chemokines [60]. Of course, an additional increase in oxidative stress inevitably leads to cell death [61]. Therefore, oscillations of oxidative stress are very welcomed by means of adaptation to environmental stress building cellular antioxidant defence system and are called the "Goldilocks Zone" referring to the story of Goldilocks and her search for "just right" conditions [55].

As stress conditions disturb this balance and shift the cell to the so called "red zone", it is expected that the oxidative stress levels are related to the development of different pathologies, but making them an important mechanism in treating pathologies as well. Still, when treating pathologies, especially cancer, adaptation to oxidative stress is a big obstacle as cancer cells adapt and become resistant to therapy making it inefficient.

ROS and other electrophiles are highly reactive and cause oxidation of all cellular macromolecules, DNA, proteins, and lipids [62]. ROS introduce single- and double- strand breaks in DNA, and oxidize the bases which results in mutations. If not lethal, these DNA damages cause inactivation or overexpression of genes that regulate the cell cycle and lead to tumour development. Oxidation of protein disrupts their function. Lipids are vulnerable to peroxidation causing cell death due to disturbance of the physical barrier of the cell. Unlike DNA mutations and protein oxidation, lipid peroxidation is an autocatalytic process which is multiplying and expands the damage [47]. Especially vulnerable to lipid peroxidation are polyunsaturated fatty acids (PUFA) due to the double bond which is highly reactive with ROS. Lipid peroxidation is a self-multiplying process and has to be stopped by antioxidants, but it also results in the production of highly reactive aldehydes [47]. These aldehydes are stable enough to diffuse from the sight of the origin and further react with proteins, thereby modifying their reactivity and function finally affecting cellular processes [47,63,64].

As mentioned above, positive effects of mild stress are achieved by adaptation and include the nuclear factor erythroid 2 (NF-E2)-related factor 2-Kelch-like ECH-associated protein 1 (NRF2-KEAP1) pathway [52]. NRF2 is a transcription factor which is, in non-stimulating conditions, located in the cytoplasm bound to KEAP1 [65]. In the complex with KEAP1, NRF2 is ubiquitinated by Cul3-ubiquitin E2 ligase and is degraded in the proteasome [66]. If ROS or electrophiles are present in the cell, they oxidize the disulphide bond between two cysteines in KEAP1. The newly formed disulphide bond changes KEAP1 conformation which releases NRF2. NRF2 now translocates to the nucleus and binds to small MAF protein and induces transcription of antioxidant genes. NRF2 target genes are involved in glutathione synthesis (glutamate-cysteine ligase, both, catalytic and modifier subunits), in ROS detoxification (thioredoxin reductase 1, peroxiredoxin 1), xenobiotics detoxification (NQO1, NAD(P)H quinone dehydrogenase 1, glutathione-S-transferase), but also in drug transport (multidrug resistance-associated proteins, MRP) [65]. In addition, another protein family, Forkhead box O (FOXO) proteins, also contribute to antioxidative protection [67]. Among the antioxidant genes activated by the FOXO family are catalase, manganese-dependent superoxide dismutase (MnSOD), DNA damage binding protein 1 (DDB1), Fas ligand (FasL), cyclin-dependent kinase B1 (KIP1, p27), and protein for drug export, ABC1 [68].

Both, NRF2 and FOXO proteins are regulated by the PI3K/AKT signalling pathway [67,69]. Interestingly, NRF2 is activated directly by PI3K [69], but can be also activated indirectly, by 4-hydroxynonenal (HNE) activation of atypical protein kinase C (PKC) [70] and ERK [71]. FOXO family is negatively regulated by PI3K pathway, while JNK serves as a positive regulator by phosphorylating them upon the stress signal and thereby activating them [67]. Unlike NRF2, FOXO activation depends on the severity of stress, if stress levels are low, antioxidative defence mechanisms are activated, and if levels are high, apoptosis is activated [72].

#### 4. Aquaporins, Oxidative Stress, and Cancer

As already described, one of the possible mechanisms by which aquaporins achieve their role in proliferation, differentiation, and apoptosis, is the regulation of small molecule transport such as hydrogen peroxide ( $H_2O_2$ ), nitric oxide (NO), urea, and  $CO_2$  [23–25]. As hydrogen peroxide and nitric oxide regulate and modulate redox signalling pathways thereby regulating proliferation, differentiation, and apoptosis, regulation of these molecules intake can directly or indirectly contribute to the modulation of these processes resulting in tumour growth and development.

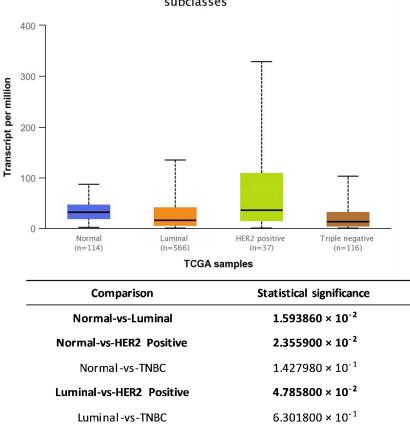
As mentioned, tumour development and progression can be a result of oxidative stress. Therefore, factors that regulate oxidative stress highly influence tumour development as well as its fate. Interestingly enough, these protective factors in many cases are actually double edge sword. This situation especially refers to NRF2, which builds up the antioxidative defence of the cell. In normal cells this is a positive set of reactions that protects from malignant transformation, while in tumour cells NRF2 leads to protection from the therapy [65,73]. Additionally, the NRF2 pathway affects and promotes tumorigenesis not only directly by activation in tumour cells, but also indirectly through cancer associated fibroblasts (CAF). Tumour cells interact with normal cells in their microenvironment, changing normal fibroblasts to CAF which are reprogrammed to support tumour growth. The CAF reprogramming occurs by activation of p62, which then targets KEAP1 to lysosomal degradation. Degradation of KEAP1 activates NRF2 and enhances transcription of ATF6 finally mediating ER stress response [74]. Evidence also suggests that FOXO, a tumour suppressor, can activate tumour resistance mechanisms, but this can happen only in combination with other events in the cell [67].

In the light of antioxidative defence acting as a double edged sword, aquaporins introduce a new moment by regulating the flux of  $H_2O_2$ . Delicate regulation of intracellular levels of  $H_2O_2$  opens possibilities in the stimulation of proliferation and survival mechanism for tumour cells, leading to resistance and increased mobility. Aquaporins were found to be upregulated in numerous tumours [28,75,76] opening possibilities to target them as a part of tumour therapy. The exact mechanisms and signalling pathways affected by aquaporins are still to be determined, but it is not a simple and straightforward interaction. The increased presence of particular aquaporin in the cell membrane controls  $H_2O_2$  flux, as well as water flux, and other small molecules mentioned above. Import and export of these small molecules, in addition to water and  $H_2O_2$ , can also modify signalling pathways involved in proliferation, differentiation and migration. Additionally, glycerol intake could also be one of the factors by which aquaporins achieve stimulation of proliferation intake [75]. Therefore, we will further discuss two aquaporins AQP3 and AQP5, representing glyceroaquaporin and orthodox aquaporin in details for their role in breast cancer.

# 5. AQP3 and Breast Cancer

AQP3 is a member of aquaglyceroporin, and, as such, facilitates glycerol transport [77] in addition to water [78]. Although some authors state that AQP3 is a weak water channel [46], in the kidney, AQP3 is constitutively active together with AQP4 [78] thereby regulating water excretion. Beside the role in physiology, its role in cancer was recognized very early for skin cancer [79]. Soon, evidence of AQP3 overexpression in several other types of cancers, including breast cancer, accumulated [80-82].

Analysis of genomics data from The Cancer Genome Atlas (TCGA) project freely available from web-portal UALCAN [83] revealed pattern of AQP3 in normal (median 31.64 transcripts per million (TPM) (1.448-87.454 TPM)) vs. different subclasses of breast cancer (luminal-16.183 TPM (0.363-134.985 TPM), HER2-positive-36.481 TPM (0.521-329.342 TPM), TNBC-13.484 TPM (0.713-103.167 TPM) (Figure 2).



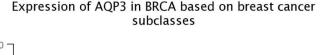


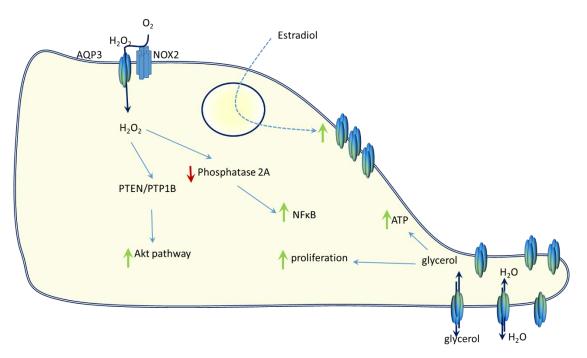
Figure 2. The expression of AQP3 in normal and breast cancer tissue subdivided according to expression of hormone and HER2 receptors retrieved from UALCAN [83].

 $7.607900 \times 10^{-2}$ 

HER2 Positive-vs-TNBC

Once recognized as overexpressed in cancer, AQP3 was getting in the focus as a possible prognostic marker for triple negative breast cancer together with AQP5 [84], as well as for HER2 positive early breast cancer [85]. In parallel, it was shown that the AQP3 gene has an oestrogen-response element and responds to oestrogen stimuli by increasing its expression [86] suggesting a link between AQP3 and oestrogen receptor positive breast cancer. The possibility to use AQP3 as a prognostic marker in breast cancer can be attributed to its role in cell migration, which is facilitated by channelling both, water and glycerol, further resulting in lamellipodia formation and consequently, cell movement and migration [86,87]. Studies confirmed that AQP3 overexpression increased cell migration and invasion [86] for oestrogen-receptor positive breast cancer cells, as well as for keratinocytes [87]. Further, in keratinocytes, AQP3 facilitates glycerol transport into the cell as well, resulting in ATP generation and proliferation [88]. The fact that AQP3 facilitates the transport of both, water and glycerol, together with  $H_2O_2$  puts AQP3 high on the list of potential targets for tumour therapy. At the same time, signalling pathways and cellular processes affected by changes in AQP3 levels need to be clarified. Water and glycerol transport affect migration and metabolic processes (especially lipid metabolism), while  $H_2O_2$  is the one that affects signalling pathways.  $H_2O_2$  fuels several signalling pathways in the cell and a channel that can facilitate  $H_2O_2$  transport is a potential candidate that provides some level of control over those pathways. In support of this assumption is the study by Hara-Chikuma et al. [89] showing in keratinocytes that  $TNF\alpha$ stimulus is facilitated through NADPH oxidase isoform 2 (NOX2) production of  $H_2O_2$ . AQP3 then transports H<sub>2</sub>O<sub>2</sub> resulting in regulation (inhibition) of protein phosphatase 2A and activation of nuclear factor kappa B (NF-κB). Even more, C-X-C motif chemokine ligand 12 (CXCL12) stimulates H<sub>2</sub>O<sub>2</sub> transport across the membrane by AQP3 in breast cancer cells MDA-MB-231 and DU4475 [90]. The oxidation of phosphatase and tensin homolog/protein tyrosine phosphatase 1B (PTEN/PTP1B) occurs due to H<sub>2</sub>O<sub>2</sub> followed by activation of the AKT pathway and again, resulting in cell migration. Knockdown of AQP3 impairs this process thereby supporting the role of AQP3 in migration [90]. The signalling pathways are summarized in Figure 3.

The need to study pathways affected by AQP3 overexpression and mechanisms of action is reflected by the finding that Auphen, aquaporin gold-containing inhibitor, blocked glycerol transport quite efficiently (about 90% inhibition), while water transport was blocked only partially (20% inhibition) [91]. Having in mind that AQP3 also facilitate H<sub>2</sub>O<sub>2</sub> transport across the plasma membrane [92,93] and structural similarities between  $H_2O_2$ and water [94], inhibitors should be carefully examined for their ability to block AQP3 all three molecules channelled via AQP3. For these reasons, the fact that an inhibitor can block glycerol, but not water transport implies that H<sub>2</sub>O<sub>2</sub> transport can also be unaffected or affected at smaller rate suggests activation and modification of cellular processes in an undesired direction, driving to progression rather than to regression of the tumour. Interestingly, although it is well known that AQP3 channels  $H_2O_2$ , there are several papers on the effect of aquaporins in general on the antioxidative defence system, specifically NRF2 transcription factor, regardless of the disease [95–97]. In breast cancer cell lines, MCF7, SUM159 and SkBr3, AQP3 was the most expressed aquaporin, and in HER2 positive cells it was upregulated together with NRF2 by  $H_2O_2$  [95] implying for then need to study effects of AQP3 overexpression in relation to the parts of antioxidative system.



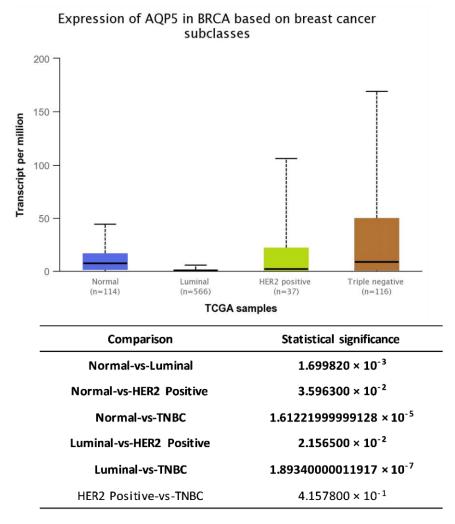
**Figure 3.** AQP3 involvement in cellular signalling pathways and processes. AQP3 respond to estradiol stimuli and increases expression. IT is also located in the membrane by the NOX2 and imports  $H_2O_2$ .  $H_2O_2$  then oxidizes PTEN and activates AKT signalling pathways. AQP3 also channels extracellular  $H_2O_2$  which inhibits phosphatase A and thereby activates NFkB. Increased positioning of AQP3 on the leading side regulates water and glycerol intake which leads to lamellipodia formation and cellular migration. Additionally, glycerol intake by AQP3 increases intracellular ATP and causes proliferation.

# 6. AQP5 and Breast Cancer

AQP5 is involved in normal mammary development and milk production, as well as in breast carcinogenesis [80,98]. Jung et al. showed that silencing of AQP5 or induction of hyperosmotic stress to MCF-7 cells decreases the expression of AQP5 and negatively affects cell proliferation and migration. Additionally, AQP5 expression in benign tumours and invasive ductal carcinoma showed different patterns, expression of AQP5 in apical domains of ductal epithelial cells vs. increased expression in cancer cells with the loss in ducts accompanied with the loss of apical polarity, thus suggesting its role in breast cancer progression [99]. In triple-negative breast cancer (TNBC) patients, markedly higher expression of AQP5 and AQP3 was observed in cancer tissue than in adjacent normal tissue. Overexpression of AQP5 was mainly observed within Ki-67 high TNBC samples and, together with the higher expression of AQP3, associated with the more progressive disease with poorer overall survival proposing their co-expression as an independent prognostic marker in TNBC patients [84]. Additionally, overexpression of AQP5 was associated with worse outcomes in early breast cancer patients regardless of tumour type and stage, suggesting it as an independent prognostic marker of survival, particularly in hormone receptor-positive patients who underwent curative surgery [100].

Analysis of genomics data from The TCGA project, freely available from web-portal UALCAN [83], revealed significantly different gene expression pattern of AQP5 in normal (median 7.366 transcripts per million (TPM) (0–44.252 TPM)) vs. different subclasses of breast cancer (luminal–0.34 TPM (0–5.848 TPM), HER2-positive-1.79 TPM (0–105.814 TPM), TNBC-8.469 TPM (0–169.313 TPM); Figure 4).

Therefore, in our previous study, we examined how oxidative challenge (known contributor to breast carcinogenesis and a mechanism of effective anticancer therapy too [101]) affects lipid profile, levels of oxidative stress mediators and NRF2, the expression pattern of AQP1, AQP3, AQP5, and sensitivity to  $H_2O_2$  in three breast cancer cell lines (representing hormone-positive (MCF-7), HER2-positive (SkBr-3) and TNBC (SUM 159)) [95]. Levels of polyunsaturated fatty acids (PUFA) were cell-type dependent with the highest observed in triple-negative SUM 159 cell line, and along with lower NRF2 levels may explain their higher sensitivity to  $H_2O_2$ . AQPs' expression pattern was cell-type specific, also. While AQP3 was the most expressed isoform in all cell lines tested, exposure to  $H_2O_2$  increased AQP3 expression in MCF-7 and SkBr-3 cells whereas in SUM 159 AQP3 was decreased. At the same time, the expression of AQP5 and AQP1 was similar in SUM 159 and SkBr-3, increased upon oxidative challenge, while decreased in MCF-7.



**Figure 4.** The expression of AQP5 in normal and breast cancer tissue subdivided according to expression of hormone and HER2 receptors retrieved from UALCAN [83].

Aside from being a water channel, a study by Rodrigues et al. has shown a highly efficient peroxiporin activity of AQP5, with external oxidative stress stimuli rescuing the suppression of cancer cells' migratory ability induced by AQP5 silencing. Hence, the authors highlighted the role of AQP5 in dynamic fine-tuning of the intracellular levels of  $H_2O_2$  [102] that are important for redox signalling and regulation of cell fate [103]. Thus AQP5 might show promise in anticancer therapy. Indeed, the discovery of three AQP5-regulating miRNAs (miR-1226–3p, miR-19a-3p, and miR-19b-3p) that, by decreasing the translation of AQP5, reduce breast cancer cell migration, supports its further investigation as a possible therapeutic target [104].

The connection of ROS and AQP5 was also observed in a study by Oh et al. They studied how hypercholesterolemia and the inhibition of xanthine oxidase (ROS-generating enzyme) affects breast cancer progression in both, in vitro and mouse xenograft model. Hyperlipidemic conditions were shown to contribute to ROS production, breast cancer progression, and MAPK activation, whereas treatment with febuxostat, xanthine oxidase inhibitor, by diminishing ROS levels and AQP5 expression, mitigated proliferative and migratory ability of breast cancer cells, as well as pulmonary metastases [105].

Whether the involvement of AQP5 in breast carcinogenesis is causative or merely a consequence of breast cancer cell's need to grow, involving metabolic reprogramming and redox signalling including ROS, particularly H<sub>2</sub>O<sub>2</sub>, still needs to be elucidated.

# 7. Conclusions

Aquaporin 3 and aquaporin 5 are upregulated in breast cancer and certainly support processes leading to breast cancer growth and metastasis. Current knowledge indicates that these two aquaporins as potential biomarkers of breast cancer malignancy making them potential therapeutic targets. In order to define AQP3 and AQP5 as targets for cancer treatment, it is needed to thoroughly study all possible aspects and pathways affected, as inadequate inhibition or stimulation of each AQP could drive cancer cells to the more malignant phenotype. One of the currently neglected aspects is certainly, crosstalk with the antioxidative system, especially, as AQP3 and AQP5 channel  $H_2O_2$  which then plays an active role in signalling pathways.

**Author Contributions:** Conceptualization, L.M. and A.Č.G.; investigation, L.M. and A.Č.G.; writing original draft preparation L.M. and A.Č.G.; writing—review and editing, L.M. and A.Č.G. All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded by HrZZ, grant number IP-2020-02-3617.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Conflicts of Interest: The authors declare no conflict of interest.

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# **Involvement of NRF2 in Breast Cancer and Possible Therapeutical Role of Polyphenols and Melatonin**

Alev Tascioglu Aliyev <sup>1,†</sup><sup>(b)</sup>, Emiliano Panieri <sup>2</sup><sup>(b)</sup>, Višnja Stepanić <sup>3</sup><sup>(b)</sup>, Hande Gurer-Orhan <sup>1,\*</sup><sup>(b)</sup> and Luciano Saso <sup>2</sup><sup>(b)</sup>

- Department of Toxicology, Faculty of Pharmacy, Ege University, 35040 Izmir, Turkey; alev.aliyev@ibg.edu.tr Department of Physiology and Pharmacology, "Vittorio Erspamer", Sapienza University of Rome, Pie Aldo
- Department of Physiology and Pharmacology "Vittorio Erspamer", Sapienza University of Rome, P. le Aldo Moro 5, 00185 Rome, Italy; emiliano.panieri@hotmail.it (E.P.); luciano.saso@uniroma1.it (L.S.)
- <sup>3</sup> Laboratory for Machine Learning and Knowledge Representation, Ruder Bošković Institute, Bijenička 54, 10000 Zagreb, Croatia; Visnja.Stepanic@irb.hr
- \* Correspondence: hande.gurer.orhan@ege.edu.tr; Tel.: +90-506-315-8241
- + Current address: Izmir Biomedicine and Genome Center, 35340 Izmir, Turkey.

Abstract: Oxidative stress is defined as a disturbance in the prooxidant/antioxidant balance in favor of the former and a loss of control over redox signaling processes, leading to potential biomolecular damage. It is involved in the etiology of many diseases, varying from diabetes to neurodegenerative diseases and cancer. Nuclear factor erythroid 2-related factor 2 (NRF2) is a transcription factor and reported as one of the most important oxidative stress regulators. Due to its regulatory role in the expression of numerous cytoprotective genes involved in the antioxidant and anti-inflammatory responses, the modulation of NRF2 seems to be a promising approach in the prevention and treatment of cancer. Breast cancer is the prevalent type of tumor in women and is the leading cause of death among female cancers. Oxidative stress-related mechanisms are known to be involved in breast cancer, and therefore, NRF2 is considered to be beneficial in its prevention. However, its overactivation may lead to a negative clinical impact on breast cancer therapy by causing chemoresistance. Some known "oxidative stress modulators", such as melatonin and polyphenols, are suggested to play an important role in the prevention and treatment of cancer, where the activation of NRF2 is reported as a possible underlying mechanism. In the present review, the potential involvement of oxidative stress and NRF2 in breast cancer will be reviewed, and the role of the NRF2 modulators—namely, polyphenols and melatonin-in the treatment of breast cancer will be discussed.

Keywords: breast cancer; NRF2; oxidative stress; polyphenols; melatonin

# 1. Introduction

According to the global cancer statistics data (GLOBOCAN 2020) from the International Agency for Research on Cancer, breast cancer has the highest incidence and mortality rates among women [1]. The mortality-to-incidence ratio in breast cancer has been decreasing over the years, which indicates that the survival rate is gradually increasing. However, our century still has the economical, physical and psychological burden of cancer therapy. Despite the remarkable advances in scientific knowledge, the risk factors and the mechanisms responsible for breast cancer are still under investigation.

Breast cancer is a heterogeneous disease and is classified as a luminal A (estrogen receptor (ER) and/or progesterone receptor (PR) (+) and human epidermal growth factor receptor 2 (HER2) (-), with low ki-67 levels); luminal B (ER and/or PR (+) and HER2 (-), with high ki-67 levels); basal-like (also called triple-negative due to the lack of ER, PR and HER2 expression) and HER2-enriched (ER and PR (-) and HER (+)) molecular subtypes [2]. The hormone receptor status is not the only prognostic marker for the disease, but other molecular markers such as the PD-L1 gene presence [3], tissue localization (ductal and lobular) and invasion status of the cancer cells are also used to define better

Citation: Tascioglu Aliyev, A.; Panieri, E.; Stepanić, V.; Gurer-Orhan, H.; Saso, L. Involvement of NRF2 in Breast Cancer and Possible Therapeutical Role of Polyphenols and Melatonin. *Molecules* **2021**, *26*, 1853. https://doi.org/10.3390/ molecules26071853

Academic Editor: Roberto Fabiani

Received: 4 March 2021 Accepted: 22 March 2021 Published: 25 March 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). treatment strategies. With a better understanding of the genetic and epigenetic alterations and estrogen and oxidative stress signaling pathways, breast cancer therapy is expected to take a decisive step towards the personalized and effective treatments of patients.

# 2. Mechanisms Underlying Breast Cancer

Hanahan and Weinberg defined the "hallmarks of cancer" to understand tumoral changes in normal cells for all cancer types. To explain the tumoral behavior of the cell, the authors addressed eight key features: unrestrained proliferation, avoided growth suppressor signaling, resistance to cell death, sustained replication, induction of angiogenesis, activation of invasion and metastasis and altered cellular metabolism, including redox homeostasis and evaded immune destruction [4,5]. More generally, the stages of carcinogenesis can be summarized as a multistep process wherein excessive and irreversible cellular DNA damage initiates cancer cell formation, while the subsequent alteration of the signaling pathways promotes the sustained proliferation and genetic instability of preneoplastic cells, leading to their clonal expansion. Afterwards, the occurrence of further genetic changes converts these cells into cancer cells that progressively acquire more aggressive features, culminating in tissue invasion and metastasis formation [6,7].

Multiple risk factors, such as aging, early menarche/late menopause, hormone replacement therapy, oral contraceptive usage, obesity, family history, benign lesions, radiation therapy, nutrition and other lifestyle habits, are involved in breast cancer development [8]. Estrogens are a driven factor for the promotion and progression of around 75–85% of breast cancer patients, which have hormone receptor-positive subtypes (luminal A and luminal B). However, patients with basal-like (triple-negative) breast cancer have inherited breast cancer gene (BRCA) mutations. The current section explains the risk factors and risk factor-related underlying mechanisms of breast cancer, with a particular emphasis on oxidative stress.

#### 2.1. Oxidative Stress-Independent Mechanisms

Family history, race and ethnicity are related to the development of breast cancer. Inherited genetic mutations—in other words, germline mutations in specific genes—were found to be related to breast cancer development. At the mid-1990s, the role of BRCA1 and BRCA2 mutations in elevating breast cancer risk was considered [9]. A prospective study with 3886 breast cancer patients showed that a cumulative risk was 72% for BRCA1 and 69% for BRCA2 carriers [10]. The genetic analyses of patients revealed that tumor-suppressor genes—namely, PTEN, p53, STK11 and cell adhesion regulator CDH1 genes, are high-penetrance breast cancer-susceptibility genes and increase the breast cancer risk more than fourfold. Mutations in moderate-penetrance genes such as PALB2, ATM and CHEK2 were also reported to increase the risk of breast cancer occurrence two to four times [11].

Other conditions—specifically, hormone replacement therapy, early menarche/late menopause, a history of never being pregnant and/or breastfeeding and oral contraceptive usage—are stated as risk factors for the development of breast cancer, since elevated estrogen exposure was found to enhance the aberrant epithelial cell proliferation and to cause neoplastic formation [12]. Hilton et al., in a seminal paper, reviewed the relationship between steroid hormone receptors and breast cancer [13]. The authors reported an aberrant hormonal activation in steroid receptor-positive breast cancer results with a metabolic switch between autocrine signaling, which led to cell proliferation and prevented proapoptotic signaling. Estrogens and progesterone also regulate histone modifications, and enhanced levels of those hormones can cause epigenetic changes [13].

Nutritional and lifestyle habits such as the consumption of alcohol, high-calorie diets, obesity and physical inactivity contribute to breast cancer initiation and/or promotion [14]. For example, alcohol consumption increases the steroid hormone levels, which, in turn, alters the DNA methylation status by affecting one carbon metabolism [15]. A high-calorie intake with reduced physical activity led to an increase in the body mass index (BMI).

Being overweight ( $25 \le BMI < 30 \text{ kg/m}^2$ ) and obese ( $BMI \ge 30 \text{ kg/m}^2$ ) was found to be significantly associated with breast cancer progression in postmenopausal women [16]. Mechanistically, adipose cells secrete two adipokines, antioncogenic adiponectin and pro-oncogenic leptin. Jarde et al. revealed that the leptin expression was higher than adiponectin expression in epithelial ductal breast cancers, while, in the normal tissue adjacent to cancer, the expression of adiponectin was prevalent [17]. Mechanistically, obesity-related alterations of adipose stem cells increase leptin secretion, which becomes a source for enhanced estrogen-related tumor growth by upregulating the estrogen receptor and aromatase [18].

An age and cancer incidence correlation was well-established, although the underlying mechanisms are still under investigation. Oxidative stress-independent age-related mechanisms were reviewed, such as accumulated somatic mutations, epigenetic changes in DNA methylation and chromatin remodeling and changes in breast tissue and the cell microenvironment [19].

#### 2.2. Oxidative Stress-Dependent Mechanisms

Oxidative stress was defined as "a disturbance in prooxidant-antioxidant balance in favor of the former" by Sies at 1985 [20], while Dean Jones further expanded this definition, including also the disruption of redox signaling circuitries [21]. The production of reactive oxygen species (ROS) and reactive nitrogen species (RNS) is a normal consequence of the cell metabolism. Mitochondria produce ATP through oxidative phosphorylation, involving the passage of electrons through a series of complexes, part of the electron transport chain, promoting the reduction of molecular oxygen to water. During this process, electrons can leak through the inner membrane space and produce superoxide radical ion, which is the precursor of various ROS and RNS molecules. Cells such as macrophages, leukocytes and monocytes also produce superoxide radical anion ( $O_2^{\bullet-}$ ) via NADPH oxidase activity. On the other hand, mammalian cells maintain the redox balance through the coordinated activity of antioxidant enzymes and antioxidant molecules. For example, the superoxide dismutase (SOD) converts  $O_2^{\bullet-}$  to the less electrophilic hydrogen peroxide  $H_2O_2$ , which is rapidly detoxified into water  $H_2O$  and oxygen molecules  $O_2$  by glutathione peroxidase (GPx), catalase (CAT) or peroxiredoxin (Prx). However, the excessive production of ROS and/or inadequate supply of antioxidant molecules (e.g., endogenous glutathione) may cause a further reaction between H<sub>2</sub>O<sub>2</sub> and Fe<sup>2+</sup> ions (Fenton reaction) and produce highly reactive hydroxyl radical •OH. Due to its electrophilic properties, the hydroxyl radical attacks nucleophilic cellular molecules such as proteins, lipids and DNA. In such a way, increased oxidative stress generates DNA adducts, protein damage and lipid peroxidation products such as the 4-hydroxynonenal (4-HNE) [6]. DNA adduct formation is mainly initiated by •OH. Hydroxyl radical attacks the 2'-deoxyribose of purine and pyrimidine bases. As a consequence, this reaction generates 8-hydroxy-2'-deoxyguanosine (8-OH-dG) and 8-oxo-7,8-dihydro-2'-deoxyguanosine (8-oxo-dG), two well-recognized markers of oxidative stress-related DNA adduct formation [22]. Insufficient DNA repair leads to the accumulation of DNA adducts in the cells. Moreover, the division of damaged cells promotes tumoral changes [23]. As a result, oxidative stress derived by different sources such as ionizing radiation, exposure to xenobiotics or their metabolites, aging, alcohol consumption, obesity and estrogens may trigger carcinogenesis.

Nour Eldin et al. showed that the plasmatic levels of 8-OH-dG exhibit a steady-state increase from normal breast tissue to benign and malignant lesions for different patient groups [24]. In the same study, the authors also showed that malignant breast tumors with highly invasive subtypes were also characterized by higher 8-OH-dG levels than tumors with less invasive behaviors. In contrast, Sova et al. did not find a positive association between the tumor stages and serum 8-OH-dG levels, while, conversely, the content of 8-OH-dG was found to be related with the tumor stage in the immunohistochemical analyses [25]. By comparing the serum levels and the immunohistochemical content of 8-OH-dG, the study revealed that both these features were strongly associated with

tumor aggressiveness. Taken together, it can be concluded that breast cancer development partially occurs via DNA adduct formation by oxidative stress, and the disease progression may be monitored by the quantitative analysis of oxidative stress-related DNA products.

# ROS Formation via Estrogen Metabolism

Breast cancer development by estrogens can derive from two different mechanisms: estrogen receptor activation and the alteration of estrogen metabolism-related pathways [12,26].

Generally, chemicals are metabolized through phase I reactions that include oxidation, reduction and hydroxylation of the substance and phase II reactions that promote enzymatic conjugation of the metabolite with endogenous molecules, such as glutathione (GSH), sulfate and glucuronic acid, and facilitate its elimination. Similarly, estrogens (estradiol and estrone) undergo phase I metabolism by cytochrome p-450 enzymes and are converted to 2-hydroxycatechol estrogen or to 4-hydroxycatechol metabolites. Catechol metabolites of estrogens are detoxified mainly by catechol-O-methyl transferase via conjugation. At the same time, catechol estrogens undergo autooxidation, which promotes the formation of a hydroxyl radical. Catechol metabolites can also be oxidized to highly reactive estrogen 3,4-semiquinone, estradiol-2,3-semiquinone and, additionally, to estrogen 3,4-quinone and estradiol-2,3-quinone. Commonly, the phase II detoxification of quinone metabolites are catalyzed by glutathione-S-transferase [12,27]. However, under certain circumstances, such as excessive exposure to estrogens by hormone replacement therapy, oral contraceptive usage and/or limited detoxification capacity, reactive estrogen metabolites can react with DNA and cause genomic instability, as extensively reviewed by Cavalieri et al. [27].

## 2.3. Role of Nuclear Factor Erythroid 2-Related Factor 2 (NRF2) in Breast Cancer

Compelling evidence from the last decade indicates that nuclear factor erythroid 2-related factor 2 (NRF2) is a master regulator of a cytoprotective response centered on the activation of detoxifying mechanisms in response to oxidative/electrophilic stress or xenobiotics. Importantly, it is well-recognized that sustained NRF2 signaling in cancer cells can be instrumental to the orchestration of a pro-oncogenic "program" that ultimately promotes the malignant progression and the development of therapy resistance, leading to poor clinical outcomes. An increased NRF2 expression in breast cancer patients resulted in a lower overall survival and disease-free survival [28]. Despite that NRF2 can participate in the regulation of oncogenic signaling and cancer-specific hallmarks, other data suggest that its activation in normal cells can exert a chemopreventive role by suppressing ROSdependent DNA damage and carcinogenesis. In agreement with the still-unresolved scientific debate, this reflects the dual role of NRF2 in cancer and suggests that both pro-oncogenic and antioncogenic activities can be exerted by this transcription factor, depending on additional factors that require a case-by-case assessment (Figure 1). In the following section, we will describe in more detail the role of NRF2 in the regulation of breast cancer hallmarks.

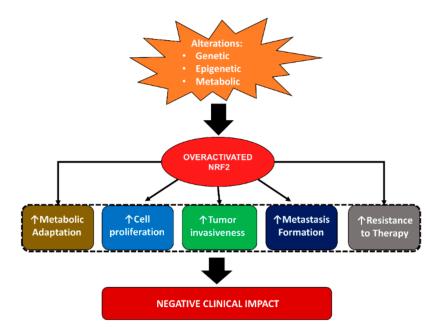


Figure 1. Roles of nuclear factor erythroid 2-related factor 2 (NRF2) in breast cancer.

2.3.1. NRF2 in Breast Cancer Cell Proliferation, Growth, Invasion and Metastasis

In a quite recent study, the genetic silencing of NRF2 was found to significantly impair cell proliferation and migration in the MDA-MB-231 and MCF-7 breast cancer cells by downregulating the small GTPase and transforming protein RhoA, while its reconstituted expression was able to promote an increased growth rate and invasiveness, also restoring the levels of RhoA. Mechanistically, NRF2 was shown to bind the promoter region of ERR1 (nuclear member receptor estrogen-related receptor  $\alpha$ ), a protein that promotes RhoA ubiquitination, suggesting that the transcriptional repression of ERR1 might prevent RhoA degradation and, therefore, facilitate the activation of its downstream pro-oncogenic signaling [29]. A positive role for NRF2 in controlling the proliferation of breast cancer cells was also described in a study wherein an mir-101 mimic significantly reduced the mRNA levels of NRF2, impairing both the proliferation and colony formation rate of MCF-7 cells, while opposite changes were produced by mir-101 inhibition [30]. Along similar lines of evidence, De Blasio and colleagues reported that NRF2 enhanced both the proliferation and antioxidant capacity of triple-negative MDA-MB-231 breast cancer cells through the downmodulation of miR-29b-1-5p expression [31]. In marked contrast, however, other studies support the notion that decreased NRF2 signaling, rather than its overactivation, can promote cancer growth and cell proliferation. In this regard, Xu et al. demonstrated that the genetic silencing of NRF2 in MCF-7 breast cancer cells resistant to Adriamycin was paralleled by a marked upregulation of the CDCA4 protein (cell division cycle-associated protein 4) that was ultimately responsible of the enhanced growth and proliferation of these cells in vitro [32]. Similarly, by using MDA-MB-231 breast cancer cells, NRF2 was recently shown to induce the expression of ferroportin (FPN), a transmembrane protein that regulates the intracellular iron content by promoting iron efflux [33]. Here, the genetic silencing of FPN accelerated MDA-MB-231 cell proliferation and growth in vitro and in vivo, while its forced expression produced the opposite changes. Of note, a further analysis revealed that the NRF2 mRNA and protein levels were significantly lower in tumor specimens from breast cancer patients compared to the corresponding adjacent tissues and paralleled by similar changes in the FPN content. The authors concluded that defective NRF2 signaling can induce alterations in the iron metabolism that might ultimately promote breast cancer growth. Taken together, these studies suggest that NRF2 might exert divergent effects on breast cancer cell proliferation and growth, most likely depending on the specific context and genetic background of its activation or repression.

Despite that sustained NRF2 activation is considered a driver of the malignant progression of several types of tumors, its role in breast cancer (migration, invasion and metastasis) still remains a matter of intense debate due to conflicting data. In this regard, the initial studies identified NRF2 as a negative regulator of RON (Recepteur d' origine nantais) gene expression, a tyrosine kinase receptor frequently overactivated in breast tumors and associated with tamoxifen resistance, as well as metastatic disease [34]. Here, from the comparative immunohistochemical analysis of the tissue microarray samples, a high NRF2 and low RON expression was observed in normal tissues, while a low or absent NRF2 content and high RON levels were found in breast tumors. A mechanistic investigation conducted on a panel of breast cancer cells (and other types of tumors) revealed that NRF2 was able to directly bind to the RON promoter and repress its genetic induction, while, accordingly, the reconstituted expression of NRF2 not only decreased the RON levels but, also, impaired breast cancer cell migration and invasion. Along similar lines of evidence, other research conducted on 4T1 and JC murine breast cancer cells has shown that the flavonoid fisetin, a known NRF2 activator, can exert a tumor-suppressive function by decreasing the expression of matrix metalloproteinases (MMP-2 and MMP-9), two key regulators of tumor invasion and metastatic spreading [35]. Mechanistically, fisetin was found to enhance the NRF2 nuclear accumulation and heme oxygenase-1 (HO-1) expression, causing a significant decrease in the mRNA levels and the activity of MMP-2 and MMP-9, while these changes were antagonized by NRF2 silencing. Therefore, the authors proposed that the NRF2-dependent activation of HO-1 can attenuate the metastatic potential of breast cancer cells by inhibiting the MMP-2 and MMP-9 expression and enzymatic activity but, also, cell motility through the NRF2-HO-1 axis, in agreement with the evidence from other types of tumors [36]. Additionally, a very recent study investigated the role of NRF2 in the transcriptional regulation of the chemokine CXCL13 and its receptor CXCR5, two known drivers of breast cancer cells migration and metastatic dissemination, from primary breast tumors to lymph nodes [37]. Here, NRF2 was found to directly recognize multiple sites within the CXCL13 gene promoter and to negatively modulate its transcription when overexpressed in MDA-MB-231 cells, also suppressing the activating effects normally induced by the transcription factor RelA. The authors concluded that NRF2 is a negative regulator of CXCL13 and a potential tumor suppressor in breast cancer, as also suggested by the presence of higher NRF2 levels in ER (-) breast cancer cells and the increased frequency of CXCL13/CXCR5 co-expression in ER (+) breast cancer cells with lower NRF2 contents. In a marked contrast, however, a number of recent studies have indicated that NRF2 plays a pro-oncogenic role in breast cancer, promoting tumor invasion and metastasis spreading. For instance, Zhou et al. showed that the oncoprotein HBXIP (mammalian hepatitis B X-interacting protein) plays a critical role in modulating cancer malignancy and tumor progression and is involved in breast carcinoma progression [38]. More specifically, an immunohistochemistry assay, qPCR on the mRNA and immunofluorescence on the protein levels confirmed that HBXIP expression was positively correlated with NRF2 expression in clinical samples from breast cancer tissues, suggesting their implication in breast cancer development. The mechanistic insights on MCF-7 breast cancer cells showed that HBXIP reduced the ROS levels by promoting nuclear NRF2 accumulation and the subsequent transactivation of NRF2-dependent target genes such as NAD(P)H dehydrogenase-1 (NQO1), glutamate-cysteine ligase catalytic and modifier subunits (GCLC and GCLM) and AKR1C1. Of note, HBXIP silencing attenuated the expression of NRF2 and its nuclear accumulation markedly enhancing the intracellular ROS levels. Furthermore, by comparing the impact of HBXIP-induced NRF2 activation in MDA-MB-436 cells with the stable knockdown or reconstituted expression of HBXIP, the authors revealed that the proliferation, migration and invasion abilities of these cells were strongly impaired by the genetic depletion or site-specific mutation of HBXIP, while, conversely, were rescued by the re-expression of the HBXIP-wt form. These observations were further confirmed in vivo, since HBXIP knockdown led to reduced nodule formation in mouse lungs and impaired tumor growth in metastatic and orthotopic xenograft models. Lastly, both these phenotypic changes were

reverted by HBXIP-wt reconstitution. In summary, the authors concluded that HBXIP promotes the malignancy of breast cancer by modulating abnormal redox regulation in vitro and in vivo through the overactivation of NRF2 signaling.

## 2.3.2. NRF2 in the Regulation of Breast Cancer Cell Stemness and Therapy Resistance

In another work, the group of Mi-Kyoung investigated the role of NRF2 in the modulation of the cancer stem cell (CSC) phenotype, establishing a breast CSC-like model by isolating the subpopulations of MCF-7 (doxorubicin sensitive), MCF-7/ADR (doxorubicinresistant) and MDA-MB-231 cells with high expression levels from cluster of differentiation 44 (CD44 high), a common CSC marker associated with drug resistance, tumor recurrence and metastasis formation [39]. Notably, a detailed analysis revealed that the expression of CD44, along with typical CSC markers such as SOX2, OCT-4 and MDR-1, was significantly higher in MCF7/ADR than in MCF7 cells and that NRF2 signaling was also more active in CD44 high cells compared to the CD44 low counterparts. Mechanistic studies on the stable CD44 high cell line (ADR44P) revealed that the increased NRF2 content was caused by CD44-p62 signaling, while the genetic silencing of NRF2 was able to markedly suppress the aggressive phenotype of CSC, including drug resistance, colony/sphere formation and cell migration in vitro, but, also, tumor growth in vivo [40]. Therefore, this indicates that the CD44–NRF2 axis might be an effective therapeutic target to impair the stress resistance and survival of the CD44 high CSC population in breast cancer, in agreement with the previous findings.

Regarding the clinical impact of NRF2, a consistent body of evidence supports the notion that its activation is a major determinant of therapy resistance in breast cancer cells. For instance, Wei et al. showed that both NRF2 and p62 were overexpressed in breast cancer samples compared to normal tissues but, also, in MCF-7/ADR breast cancer cells compared to MCF-7 cells [41]. Interestingly, the genetic silencing of either NRF2 or p62 revealed the existence of a reciprocal regulation between these two proteins and led to the impaired cell proliferation and increased sensitivity of MCF-7/ADR but not MCF-7 cells to doxorubicin. Of note, these data were also confirmed in vivo, since the administration of the anticancer drug, PA-MSHA (*Pseudomonas aeruginosa* mannose-sensitive hemagglutinin) to MCF-7/ADR-xenografted mice was able to significantly impair the tumor growth by downregulating the NRF2 and p62 levels.

A study from Del Vecchio et al. tried to elucidate the role of NRF2 in the acquisition of multidrug resistance (MDR) caused by cellular dedifferentiation by comparing normally differentiated or dedifferentiated isogenic human breast epithelial cells by the induction of epithelial-to-mesenchymal transition (EMT) due to the expression of the transcription factor TWIST [42]. Here, in differentiated cells, NRF2 activation was mediated by its oxidation, while in dedifferentiated cells, it was caused by PERK-dependent phosphorylation. These findings were further substantiated in therapy-resistant basal breast cancer cells and animal models, wherein the inhibition of the PERK–NRF2 axis reverted the MDR phenotype and sensitized the drug-resistant cancer cells to chemotherapy, decreasing their intracellular GSH content. In addition, the analysis of the patient tumor datasets revealed that the PERK gene expression signature positively correlated with a basal breast cancer gene signature, tumor grade and chemotherapy resistance while it was negatively correlated with the differentiation status and the overall survival of the patients. Based on these data, the authors proposed that dedifferentiated breast cancer cells upregulate MDR-related genes through PERK–NRF2 signaling, while targeting this pathway might increase the sensitivity of poorly differentiated tumors refractory to anticancer drugs treatment.

Another experimental work elucidated the functional interrelation between NRF2 and heat shock factor-1 (HSF1), a protein that is frequently overexpressed and implicated in the survival and proliferation of cancer cells, also correlated with a poor prognosis of cancer patients [43]. Here, by using different cancer cell lines, including MCF-7, the authors showed that NRF2 could interact with two distinct AREs sites within the HSF1 promoter, inducing a marked increase in its mRNA and protein levels in response to oxidative or

proteotoxic stress. Importantly, the NRF2-HSF1 axis was found to play an important role in MCF-7 malignancy, since interfering with NRF2-mediated HSF1 activation also suppressed the survival, migration and the expression of E-cadherin and N-cadherin, two markers of EMT in MCF7 breast cancer cells. These results demonstrated that NRF2 can transcriptionally regulate HSF1 and that this event plays an important role in the progression of breast cancers, influencing the growth, migration and survival of malignant cells. The importance of NRF2 in chemoresistance of breast cancer cells was further substantiated in a recent study wherein NRF2 activation in response to hypoxia-induced ROS accumulation conferred an insensitivity to cisplatin in MCF-7 cells [44]. Here, the enhanced NRF2 nuclear accumulation was found to induce the expression of antioxidant enzymes, GCLC and GCLM, leading to increased GSH biosynthesis, under hypoxic conditions. Importantly, the genetic or pharmacologic inhibition of NRF2 activation restored the sensitivity to cisplatin in vitro, while the concomitant use of the NRF2 inhibitor trigonelline was able to potentiate the efficacy of cisplatin in a xenograft mouse model. Finally, Carlisi et al. focused on the role of NRF2 in the development of chemoresistance using a model of triple-negative breast cancer [45]. Here, prolonged incubation of MDA-MB-231 cells with sublethal doses of doxorubicin or mitoxantrone led to an increased expression of NRF2 and the acquisition of a resistant phenotype. Of note, the use of parthenolide, a sesquiterpene lactone known for its anti-inflammatory and anticancer effects, was able to partially restore the sensitivity to both doxorubicin and mitoxantrone by preventing the overexpression of NRF2 and its target proteins and promoting intracellular ROS accumulation. Taken together, these data indicate that, in most cases, NRF2 mediates a therapy resistance in breast cancer cells in response to the altered redox balance caused by anticancer drug administration, but this does not exclude the possibility that other types of mechanisms, ROS-independent, might also account for enhanced NRF2 signaling under different biological contexts.

## 2.3.3. NRF2 in Metabolic Adaptation of Breast Cancer Cells

It is well-recognized that metabolic reprogramming is a common hallmark of cancer cells and that NRF2 participates in the regulation of metabolic pathways supporting tumor progression [46]. In a recent study, it was demonstrated that the overexpression of NRF2 can promote the proliferation and migration of breast cancer cells by upregulating the expression of glucose-6-phosphate dehydrogenase (G6PD), a key enzyme in the pentose phosphate pathway (PPP) [47]. More in detail, by using MCF-7 and MDA-MB-231 breast cancer cells, the authors showed that the inhibition of NRF2 and overexpression of Kelch-like ECH-associated protein-1 (KEAP1) reduced the expression of G6PD, while NRF2 overexpression or KEAP1 knockdown had the opposite effect. Additionally, the dissection of the molecular mechanism revealed that NRF2 promoted the expression of Notch1 through the activation of the G6PD/HIF-1 $\alpha$  (hypoxia-inducing factor 1 $\alpha$ ) pathway in both MCF-7 and MDA-MB-231 cells. Importantly, the NRF2 genetic depletion was significantly abrogated, while its overexpression or KEAP1 knockdown markedly enhanced breast cancer cell proliferation, migration and invasion [47]. Therefore, the authors concluded that NRF2 plays an essential function in the regulation of breast cancer malignancy by influencing the Notch1 signaling pathway through the upregulation of G6PD, a rate-limiting enzyme of the PPP. Consistently, other experimental works have provided evidence supporting the notion that the NRF2-dependent modulation of HIF-1 $\alpha$ downstream signaling might represent a conserved mechanism through which breast cancer cells undergo metabolic adaptation and reprogramming [48,49].

A study from Walker et al. showed another pathway for the metabolic adaptation of breast cancer cells [50]. According to their experimental work, a glucose deprivation in cancer cells led to the induction of autophagy, which supports cellular survival. This metabolic adaptation under the metabolic stress condition also decreased the p62 levels and eventually upregulated the NRF2 levels. The increased NRF2 levels in cancer cells also maintained the regular ROS levels. This data supports the role of NRF2 in the metabolic adaptation of cancer cells to a nutrient-deprived environment.

In another study, the analysis of human breast cancer datasets revealed that the expression of caveolin-1 (CAV-1) was inversely correlated to that of NRF2 or superoxide dismutase-2 (SOD-2), and this could predict the development of more aggressive forms of cancer [51–53]. Interestingly, the reconstitution of CAV-1 expression in MCF-7 breast cancer cells that are normally defective for this protein was sufficient to suppress the NRF2 activity by promoting its interaction with KEAP1 and, consequently, its faster degradation, an event ultimately leading to a decreased SOD-2 expression. Of note, these changes were sufficient to induce a metabolic switch characterized by impaired glycolytic activity and increased mitochondria-dependent ATP production. The mechanistic insights revealed that CAV-1 loss induces SOD-2 upregulation and  $H_2O_2$  accumulation, which, in turn, promotes AMPK activation and, ultimately, enhances the glycolytic rate of the MCF-7 cells. Consistently, the rescued expression of CAV-1 led to a marked inhibition of anchorageindependent growth and suppressed the AMPK-dependent activation of the glycolytic switch through the inhibition of  $H_2O_2$  production derived from the SOD-2-dependent conversion of the superoxide anion. Furthermore, by using a mouse model of genetically induced breast cancer, the reduced expression of CAV-1, associated with elevated SOD-2 and enhanced AMPK activation, was also confirmed in tissue sections from mammary tumors but not in their healthy counterparts. Therefore, the authors concluded that the progressive loss of CAV-1 during breast cancer progression induces the activation of NRF2 and the subsequent upregulation of SOD-2, promoting an AMPK-dependent glycolytic switch that is permissive to the acquisition of a highly aggressive phenotype that negatively impacts the overall survival and prognosis of patients [54].

## 2.3.4. NRF2 in Breast Cancer Prognosis

Accumulating evidence indicates that NRF2 is frequently overexpressed in different types of malignant tumors and associated with a poor prognosis. However, the pathological and clinical significance of NRF2 in breast cancers has revealed contrasting results. For example, an early study showed that NRF2 was frequently depleted in breast cancer biopsies and breast cancer cell lines due to its augmented proteasomal degradation caused by the concomitant overexpression of the E3 ubiquitin ligase CUL3 [55]. Here, the use of siRNA against CUL3 in MCF-7 breast cancer cells increased the levels of NRF2-regulated proteins, including GCL, NQO1, AKR1C1, UGDH, TXN and the drug transporter ABCC1, ultimately conferring a resistance to the oxidants and conventional anticancer agents. Despite the limited number of samples analyzed, and the lack of clear correlations between NRF2 and tumor grading or the survival of patients, the authors proposed that the molecular signature characterized by a high CUL3 and low NRF2 content might identify a cohort of patients more sensitive to chemical carcinogenesis but, also, to the use of chemotherapeutic drugs.

The first study demonstrating an association between nuclear NRF2 immunoreactivity and the adverse clinical outcome of breast cancer patients was conducted by Onodera et al. [56]. Here, by analyzing around 100 specimens of invasive breast carcinoma, nuclear NRF2 immunoreactivity was detected in 44% of the carcinoma cases, while the NRF2 status was significantly associated with the NQO1 and p62 protein contents, the Ki-67 index and the histological grade. Of note, the multivariate analysis revealed that the NRF2 status was an independent adverse prognostic factor for both the recurrence and disease-free survival of the patients, while in vitro studies confirmed that NRF2 was able to control the proliferation and migration of the MCF-7 and SK-BR-3 cells. These results indicate that the nuclear NRF2 status plays an important role in controlling the progression of breast cancer and might be considered a robust prognostic marker in breast cancer patients. In another study, Hartikainen et al. investigated the significance of NRF2 expression and its target gene sulfiredoxin (SRXN1) by using tissue microarrays representative of invasive breast carcinomas [57]. Here, nine single-nucleotide polymorphisms of the NRF2 gene were analyzed in 452 patients with breast cancer and 370 controls while the subsequent protein expression analysis revealed high cytoplasmic NRF2 positivity in 66% (237 of 361) and nuclear positivity in 26% (96 of 365) of the cases. More in detail, the authors showed that the NRF2 polymorphism rs6721961 was associated with breast cancer risk, while the NRF2 rs2886162 AA genotype variant independently predicted a poorer survival among patients who received chemotherapy or radiotherapy. These data indicated that the NRF2 pathway can influence both the predisposition toward developing breast cancer and the overall clinical outcome of breast cancer patients, confirming its importance in cancer progression. In a later study from the same group, it was consistently demonstrated that genetic polymorphisms in KEAP1 also affect the breast cancer risk and clinical outcome, modifying the effects of radiotherapy and tamoxifen treatments on patient survival [58]. Interestingly, among the five genetic variants, the KEAP1 rs11085735 minor allele A was significantly associated with a lower KEAP1 protein expression and high NRF2 nuclear expression. Additionally, when the treatment data were included, a multivariate survival analysis revealed that this SNP was associated with a poorer relapse-free survival and breast cancer-specific survival among all the invasive cases and with a shorter relapse-free survival among the tamoxifen-treated cases. In conclusion, the authors confirmed that the genetic variants in the KEAP1 gene were associated with the outcomes of patients with breast cancer and that these SNPs may cause defects in the antioxidant defense mechanisms, underscoring the importance of the NRF2/KEAP1 signaling pathway.

An indirect confirmation that high levels of NRF2 adversely impact the prognosis of breast cancer patients comes from a quite recent study wherein the authors analyzed the expression data and clinical data from The Cancer Genome Atlas (TCGA) [59]. Here, by using univariate and multivariate survival analyses, it was shown that the median survival time of patients with a low SETD7 expression (18.1 years) was twice as much that of patients expressing high levels of SETD7 (9.5 years), a SET domain-containing lysine methyltransferase 7 that monomethylates histone and nonhistone proteins. Additionally, the SETD7 expression was found to positively correlate with the expression of NRF2 and a bunch of its target genes—namely, ME1, TXNRD1, GCLC and GCLM. The mechanistic insights revealed that the stable knockdown of SETD7 significantly impaired the cell proliferation and viability in MCF-7 and MDA-MB-231 breast cancer cells, also leading to an increased intracellular ROS content and a decreased GSH/GSSG ratio due to the repression of NRF2-dependent antioxidant genes expression. Therefore, the authors concluded that SETD7 is a prognostic marker in breast cancer patients and an upstream transcriptional regulator of antioxidant proteins in breast cancer cells dependent on the KEAP1-NRF2 pathway.

In another study from Lu et al., it was shown that dipeptidyl-peptidase 3 (DPP3), a KEAP1-binding protein that promotes NRF2 accumulation by competitively binding and sequestering KEAP1, was able to influence NRF2 signaling in breast cancer cells [60]. Here, MCF-7 ER (+) breast cancer cells were used to demonstrate that the DPP3 interaction with KEAP1 was dose-dependently reinforced by increasing the amount of oxidative stressors. Mechanistically, DPP3 promoted NRF2 nuclear accumulation and activity through a competitive binding with KEAP1 independently from its enzymatic activity, while its overexpression enhanced the KEAP1 levels and its genetic knockdown prevented H<sub>2</sub>O<sub>2</sub>-dependent NRF2 nuclear accumulation. Finally, by analyzing the data from the TCGA database, DPP3 was found to be overexpressed in human breast cancer and to correlate with increased NRF2 target gene expression and poor prognosis, especially in ER (+) breast cancer. Based on these data, the authors proposed that DPP3 overexpression promotes breast cancer progression, metastasis and drug resistance, stabilizing NRF2, while the signature characterized by high levels of NRF2 and DPP3 might represent a potential biomarker for breast cancer prognosis and treatment.

In a marked contrast, other evidence has shown that the NRF2 mRNA levels analyzed in two independent breast cancer patient cohorts were inversely correlated with the clinical outcome of the disease [61]. Indeed, not only the NRF2 mRNA levels were higher in normal breast tissue than in breast tumor tissue of the same patient, but patients with high NRF2 mRNA levels had a better disease-specific survival and overall survival compared to those with low NRF2 mRNA contents. Interestingly, this prognostically relevant association was even more pronounced in the subgroup of patients with ER (+), but not in patients with ER (-), tumors. These data support the notion that NRF2 can also act as a tumor suppressor in breast cancer, underlying the complexity of NRF2/KEAP1 signaling.

In summary, the available data indicate that NRF2 can have both pro- and antioncogenic effects, most likely depending on additional factors that are context-specific. Among the others, the genetic background, the subcellular location, the presence of genetic polymorphisms, the interactions with upstream and downstream regulators and the KEAP1 status represent the major determinants of whether NRF2 will exert tumor-promoting or tumor-suppressive functions. These findings underscore the intricate complexity of NRF2 signaling and pave the way to further investigations aimed at elucidating the clinical impact of NRF2 in breast cancer.

## 3. NRF2-Related Mechanisms as a Target in Breast Cancer

NRF2 activation shows important activities against oxidative stress and xenobiotic detoxification, which assure NRF2's preventive role against cancer. However, recent studies have shown that excessive NRF2 levels in cancer can cause cell metabolism reprogramming, resulting in chemo- and radiotherapy resistance. NRF2 targeting in cancer therapy mainly relies on two different mechanisms: NRF2 activation to prevent cancer development and NRF2 inhibition to improve cancer therapy sensitivity [62]. In this section, the possible roles of natural molecules, polyphenols and melatonin in breast cancer prevention and therapy via NRF2-related mechanisms are reviewed.

## 3.1. Therapeutical Role of Polyphenols

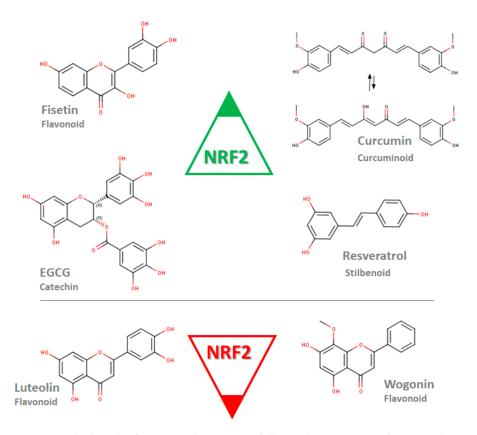
Curcumin, which is a polyphenolic metabolite of *Curcuma* spp., induces NRF2; facilitates the upregulation of antioxidative enzymes such as NQO1, HO-1, GST and glutathione reductase (GR) and enables cellular senescence [63]. The mechanism under NRF2 activation by curcumin relies on the modulation of KEAP1 thiol [64]. A previous study from Rushworth et al. provided evidence of another mechanism, since curcumin mediates NRF2 phosphorylation via stimulating PKC and enhances the ARE-mediated expression of HO-1 and GCLM in human monocytes [65]. In a study that set out to determine the NRF2-mediated HO-1 activation by polyphenols, curcumin was shown to induce the NRF2 and HO-1 protein levels in MDA-MB-468 and HBL100 breast cancer cells [66]. The researchers also investigated wild type (NRF2 +/+) and null (NRF2-/-) mouse embryo fibroblasts and revealed that curcumin induces HO-1 through NRF2 activation. In another study, the oxidative DNA damage induced by benzo-a-pyrene (BaP), a well-known human carcinogen, was shown to be prevented by a curcumin treatment in Swiss albino rats [67]. A curcumin treatment also decreased the CYP1A catalyzed bioactivation of BaP and induced the NRF2, GST and NQO1 protein levels. In contrast with this study, Jain et al. showed that curcumin downregulated the 2-amino-1-methyl-6-phenylimidazo(4,5-b) pyridine (PhIP; the heterocyclic amin is possibly carcinogenic to humans)-induced NQO1 expression while inhibiting the DNA adduct and ROS formation in MCF-10A cells. The authors concluded that the increased NRF2 activation ensures cells reduce the oxidative stress and DNA adduct formation [68]. However, the authors did not explain that the NQO1 expression is regulated via NRF2, which has been shown to be reduced by curcumin. NRF2 regulates not only ARE but, also, Flap endonuclease I (FENI), which has a role in DNA repair, as well as cell proliferation. Curcumin inhibits MCF-7 cell proliferation while inducing the NRF2 levels in a dose-dependent manner [69]. Here, the NRF2 protein downregulated the FENI expression. Since tumor aggressiveness was found related to the increased FENI activity, this study revealed that NRF2 activation by curcumin may also be effective in cancer therapy. Curcumin's inhibitory effect on breast cancer cell proliferation also mediated with the inhibition of oncogenic miR-19. MCF-7 cell transfection with miR-19a and -19b represented the decreased PTEN, AKT and p53 pathway-associated protein levels [70]. Moreover, curcumin inhibited bisphenol A-induced cell proliferation; downregulated miR-19a and

-19b and modulated the PTEN, AKT and p53 expression. Taken together, both in vitro and in vivo studies have provided important insights into curcumin's preventive role in chemically induced DNA damage and oxidative stress. Additionally, curcumin-mediated NRF2 activation may have an impact on cancer therapy via its downstream gene-related tumor growth inhibition.

Epigallocatechin-3-gallate (EGCG) is most abundantly found in green tea. This polyphenol induces NRF2 and downstream genes—mainly, phase II detoxification enzymes, similarly to curcumin [71]. NRF2 induction by EGCG was investigated with the Western blot analysis in MCF-7 and MDA-MB-231 breast cancer cells. According to the study, EGCG increased the NRF2 levels, and consequently, both cell lines became resistant to the growth inhibitory effects of doxorubicin or paclitaxel [72]. In contrast, the EGCG cotreatment reduced the apoptotic response of cisplatin in MDA-MB-231 cells [71]. The authors also studied a triple-negative breast cancer model in vivo with 4T1 murine breast cancer cells, showing that a cotreatment of cisplatin with EGCG suppressed tumor growth more potently than cisplatin alone. Increased oxidative stress in the cancer cells resulted in enhanced tumor growth and chemoresistance [73]. NRF2 induction by polyphenols such as curcumin and/or EGCG can cope with oxidative stress and improve the sensitivity to chemotherapy. However, these controversial findings can be explained by the fact that polyphenols also induce the NRF2 downstream phase II detoxification pathway, which metabolizes chemotherapeutics easily and causes chemoresistance.

The grapefruit polyphenol resveratrol is well-known for its biphasic effects [74]. At low concentrations, the resveratrol treatment induces cell proliferation in breast cancer cells, while its increased concentration causes cytotoxicity. Similarly, the antioxidant features of resveratrol have been seen in low concentrations, while it represents the prooxidant profile at higher dosages. A study from Rai et al. treated MCF-7 and MDA-MB-231 cells with resveratrol between the 50-400-µM concentration range, which had strong cytotoxicity in a dose-dependent manner [75]. Furthermore, in MCF-7 cells, a resveratrol combination with doxorubicin acted synergistically to inhibit cell proliferation, colony formation, cell migration and to promote apoptosis while, at the protein level, downregulate the regulatory genes of inflammation (NF-kB and COX-2), oxidative stress (NRF2) and autophagy (LC3B and Beclin-1) and increase the proapoptotic protein ratios (BAX/BCL2). Resveratrol alone increases NRF2 at the protein level. The concentration of doxorubicin was decreased to obtain a similar therapeutic efficacy with resveratrol. Resveratrol also showed a promising suppressing effect on estrogen-induced breast cancer [76]. The resveratrol treatment for DMBA-induced ER (+) breast cancer in Sprague–Dawley rats resulted with a decreased tumor volume. The study revealed that the increased expression of NRF2 with a resveratrol-treated group promoted NQO1, HO1 and UGT1A8 expression. The estradiol downregulation of NRF2 and its downstream antioxidant (NQO1 and SOD3) genes, detoxification (FMO1 and AOX1) genes and DNA repair (OGG1) gene were reinduced by resveratrol in August Copenhagen Irish rats. Similarly, an estradiol-induced mammary tumor incidence was decreased with resveratrol. In the same study, estradiol-induced DNA damage was evaluated in MCF-10A cells with both estradiol and estradiol plus resveratrol-treated groups. These studies have revealed that resveratrol induces apoptosis, decreases oxidative stress-related DNA adduct production and inhibits colony and mammosphere formation in estradiol-treated MCF-10A cells. The authors concluded that resveratrol exerted a preventive role against estradiol-induced breast tumor development via the NRF2-mediated pathway. The study was consistent with resveratrol's protective role against BaP-induced oxidative stress in BRCA1-defective MCF-10A cells [77]. Overall, these studies confirmed that resveratrol mediated the induction of NRF2, resulting in a chemoprevention against xenobiotic-induced cancer development in contrast to the inhibition of NRF2, which resulted in chemosensitivity.

A limited mechanistical study was conducted to evaluate the inhibition of NRF2 by polyphenols. Luteolin-loaded nanoparticles with increased luteolin bioavailability were shown to decrease the NRF2, HO1 and MDR1 expression at the mRNA level in MDA-MB-231 cells [78]. Additionally, luteolin nanoparticles enhanced the doxorubicin sensitivity of MDA-MB-231 cells. A study from Zhong et al. showed that wogonin treatment remodulates increased the NRF2, HO-1 and NQO1 protein levels in doxorubicin-resistant MCF-7 cells [79]. These results suggest that the NRF2 modulation by polyphenols differs between the molecules, while fisetin, curcumin, EGCG and resveratrol induce NRF2, luteolin and wogonin and inhibit NRF2 expression (Figure 2).



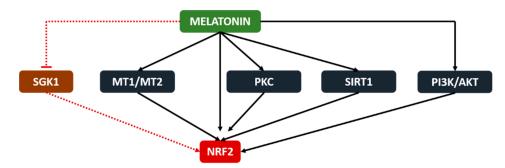
**Figure 2.** Polyphenols of various subgroups modulating the expression of NRF2 at the mRNA or/and protein level. Fisetin, epigallocatechin-3-gallate (EGCG), curcumin and resveratrol induce NRF2 in contrast to luteolin and wogonin (Marvin was used for drawing chemical structures, Marvin 20.20.0 (2020), ChemAxon [80]).

## 3.2. Therapeutical Role of Melatonin

Melatonin is an indolic pineal hormone. The impaired circadian synthesis and secretion of melatonin is shown to be an important risk factor for the development and progression of breast cancer [81,82]. A plethora of research was conducted to evaluate the role of melatonin in the prevention and treatment of breast cancer, including the modulation of oxidative stress [8] and the regulation of miRNAs that are associated with apoptotic, cellular senescence and proliferation genes [83]. Melatonin also plays a crucial role in sustaining the mitochondrial functions, which was reviewed by Almeida Chuffa et al. [84]. The authors underlined that melatonin favors the mitochondria by protecting the mitochondrial proteins and mtDNA against oxidative damage and promoting electron chain activity against mitochondrial dysfunction in various cancers, including breast cancer.

Melatonin activates NRF2 via the upregulation of cellular mediators such as PKC [85], SIRT1 [86] and PI3K/AKT [87], according to the oxidative stress-mediated cellular responses. Furthermore, Janjetovic et al. showed that the melatonin-mediated activation of NRF2 protects melanocytes against ultraviolet light B-induced oxidative stress and DNA adduct formation [88]. Increased protein levels of NRF2, NQO1 and HO-1 were also found in a melatonin-treated colon cancer model [89]. The authors showed that melatonin reverses the downregulation of inflammation (NF-kB, STAT3 and COX2) and oxidative stress

(NRF2, NQO1 and HO-1) regulatory proteins by 1, 2-dimethylhydrazine dihydrochloride, a colon cancer inducer, consequently leading to reduced inflammatory (MPO, IL-17, IL-6 and TNF-a) and oxidative stress (TBARS and GSH) responses while protecting against DNA damage. Another study confirmed the NRF2 activation by melatonin, which is mediated by melatonin receptors and resulted with increased HO-1, NQO1 and GCLC levels [90]. Contrarily, melatonin is reported to inhibit the serum and glucocorticoid-induced kinase 1 (SGK1)-mediated NRF2 upregulation in cervical cancer cells. As a result, the increased oxidative stress caused cellular damage and inhibited the tumor growth in the cervical cancer model [91]. According to these current findings, melatonin seems to controversially modulate NRF2 in tumorigenic and nontumorigenic cells, which is possibly related to the different mitochondrial functions and ROS levels in the two cell types (Figure 3).



**Figure 3.** Melatonin stimulates NRF2 via the melatonin receptors (MT1 and MT2), SIRT1 and PI3K/AKT pathways in nontumorigenic cells while inhibiting the SGK1-mediated upregulation of NRF2 in tumorigenic cells.

A study from Paroni et al. evaluated melatonin's NRF2-mediated inhibition of prostate cancer in vivo. Here, melatonin induced NRF2, HIF1a and the VEGF protein while reducing the microvessel occurrence and CD31, Ki67-positive tumor cell number and inhibited tumor growth [92]. Melatonin was reviewed extensively as a "full-service anticancer agent against breast cancer" in a recent paper [93]. However, the NRF2-mediated actions of melatonin in breast cancer development and therapy have not been investigated in detail yet.

## 4. Conclusions

Oxidative stress-related mechanisms are known to be involved in the initiation, promotion and progression stages of breast cancer. NRF2 as an antioxidant response transcription factor might have a role in cancer prevention and cancer treatment. In the present review, both polyphenols and melatonin, well-known "oxidative stress modulators", are reported to have therapeutic potential in breast cancer via the activation of NRF2. Future mechanistic studies will shed light on the NRF2-related potential of melatonin in inhibiting breast cancer initiation, promotion and/or progression.

Funding: This research received no external funding.

Acknowledgments: The authors are thankful to Ege University for supporting the doctoral research of Alev Tascioglu Aliyev with grant #18ECZ008.

Conflicts of Interest: The authors declare no conflict of interest.

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Review



# Review and Chemoinformatic Analysis of Ferroptosis Modulators with a Focus on Natural Plant Products

Višnja Stepanić<sup>1,\*</sup> and Marta Kučerová-Chlupáčová<sup>2,\*</sup>

- <sup>1</sup> Laboratory for Machine Learning and Knowledge Representation, Ruder Bošković Institute, Bijenička 54, 10000 Zagreb, Croatia
- <sup>2</sup> Department of Pharmaceutical Chemistry and Pharmaceutical Analysis, Faculty of Pharmacy in Hradec Králové, Charles University, Ak. Heyrovského 1203/8, 500 05 Hradec Králové, Czech Republic
- \* Correspondence: visnja.stepanic@irb.hr (V.S.); kucerom@faf.cuni.cz (M.K.-C.); Tel.: +385-1-457-1356 (V.S.); +420-495-067-372 (M.K.-C.)

**Abstract:** Ferroptosis is a regular cell death pathway that has been proposed as a suitable therapeutic target in cancer and neurodegenerative diseases. Since its definition in 2012, a few hundred ferroptosis modulators have been reported. Based on a literature search, we collected a set of diverse ferroptosis modulators and analyzed them in terms of their structural features and physicochemical and drug-likeness properties. Ferroptosis modulators are mostly natural products or semisynthetic derivatives. In this review, we focused on the abundant subgroup of polyphenolic modulators, primarily phenylpropanoids. Many natural polyphenolic antioxidants have antiferroptotic activities acting through at least one of the following effects: ROS scavenging and/or iron chelation activities, increased GPX4 and NRF2 expression, and LOX inhibition. Some polyphenols are described as ferroptosis inducers acting through the generation of ROS, intracellular accumulation of iron (II), or the inhibition of GPX4. However, some molecules have a dual mode of action depending on the cell type (cancer versus neural cells) and the (micro)environment. The latter enables their successful use (e.g., apigenin, resveratrol, curcumin, and EGCG) in rationally designed, multifunctional nanoparticles that selectively target cancer cells through ferroptosis induction.

Keywords: ferroptosis; inducers; inhibitors; drug-likeness; cancer; neurodegenerative; polyphenol

## 1. Introduction

Cancer is one of the most common causes of death in humans worldwide [1]. Prevention programs and early cancer detection through regular medical check-ups and with the use of specific biomarkers, as well as the development of novel therapeutics (such as the development of various protein kinase inhibitors and immunotherapeutics), reduce cancer mortality [2,3]. Cancer therapy (chemotherapy, targeted therapy, radiotherapy, or immunotherapy) generally aims to destroy cancer cells without too many harmful effects on healthy cells. The induction of natural, programmed cell death pathways through the use of low-molecular-weight (MW) compounds has been widely explored as a way to combat death-escaping cancer cells. In cancer chemoprevention and chemotherapy, the induction and promotion of cancer cell apoptosis by small molecule agents have been extensively studied [4,5]. However, the main limitation of this approach is that cancer acquires resistance to such drugs, including targeted therapies, leading to their failure [4]. Dysregulated mechanisms that sustain cancer resistance to various other types of cell death pathways have also been studied in solid tumors and hematological malignancies [6].

Cancer initiation and promotion are generally linked with oxidative stress [7]. Oxidative stress causes DNA mutations, cell component damage, and pro-oncogenic signaling and, thus, triggers and sustains carcinogenesis [8]. Sustained overproduction of reactive oxygen species (ROS) may lead to persistent, chronic oxidative stress and injury through nonlethal modifications of normal cellular growth control mechanisms such as modified

Citation: Stepanić, V.;

Kučerová-Chlupáčová, M. Review and Chemoinformatic Analysis of Ferroptosis Modulators with a Focus on Natural Plant Products. *Molecules* **2023**, *28*, 475. https://doi.org/ 10.3390/molecules28020475

Academic Editor: Andrea Trabocchi

Received: 3 December 2022 Revised: 23 December 2022 Accepted: 24 December 2022 Published: 4 January 2023



**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). intercellular communication, protein kinase activity, membrane structure and function, and gene expression. Most conventional chemotherapeutic agents increase ROS production and, thus, cause cell and tissue damage and activate an inflammatory response.

Ferroptosis is a regulated cell death mechanism caused directly by (over)production and accumulation of specific kinds of ROS, which may enable the selective killing of cancer cells without causing significant toxicity to normal cells. The druggability of ferroptosis by low-MW compounds has already been demonstrated. In fact, ferroptosis was discovered to be a programmed cell death pathway by using low-MW compounds [9]. The treatment of an NRAS oncogene mutant containing HT-1080 fibrosarcoma cells with the compound erastin (10  $\mu$ M) induced a time-dependent, continuous increase in cytosolic and lipid ROS, which resulted in cell death with a distinct non-apoptotic phenotype. Cell death was suppressed by each of the following low-MW agents: iron chelators deferoxamine (100  $\mu$ M) and ciclopirox olamine (5  $\mu$ M), the glutathione peroxidase mimetic organoselenium compound ebselen (5  $\mu$ M), the mitogen-activated protein kinase (MEK) inhibitor U0126 (5  $\mu$ M), and the antioxidants trolox (100  $\mu$ M) and ferrostatin-1 (EC<sub>50</sub> = 60 nM). Since ferroptosis was described as a distinct regulated cell death pathway in 2012, more than a few hundred low-MW inducers and inhibitors of ferroptosis have been reported.

Recently, many reviews on ferroptosis relating to various biological aspects have been published [10–12]. Herein, we collect sets of 30 representative inducers (Tables 1 and S1) and 48 suppressors/inhibitors (Tables 2 and S2) of ferroptotic cell death with a MW of less than 800 and analyze them in relation to structural, physicochemical/drug-likeness, and biological/pharmacological aspects. Thereafter, the review focuses on describing the biological activities/effects of the subset of (poly)phenolic ferroptosis modulators since, to our knowledge, there has been no such comprehensive review of polyphenols as ferroptosis modulators [13–17]. We focus only on the activities of (poly)phenolic compounds (many of which are already known) in conjunction with their reported (anti)ferroptotic effects. We review their influence on ferroptosis through activities affecting the three major components of ferroptosis. Tables 1 and 2 list these activities for natural plant molecules and put them in the context with other ferroptosis modulators with analogous effects.

**Table 1.** Ferroptosis inducer representatives—chemical structures with the main mode of action <sup>1</sup> and predicted lipophilicity coefficient and potential for crossing the blood-to-brain barrier (BBB) by the program ADMET Predictor<sup>™</sup> [18].

Inducer Name	2D Structure	Mode of Action	logP	BBB
(1 <i>S</i> ,3 <i>R</i> )-RSL3		GPX4↓	3.6	low
2-phenethylisothiocyanate	S <sub>CSN</sub>	$GPX4\downarrow$	3.4	high
gallic acid	HO,	GPX4↓	0.7	low
FIN56	H & C C C C C C C C C C C C C C C C C C	${\rm GPX4}\downarrow$	4.7	low
albiziabioside A		GPX4↓	4.5	low

Inducer Name	2D Structure	Mode of Action	logP	BBB
dihydroisotanshinone I	S L L L	$GPX4\downarrow$	3.7	high
withaferin A	CHH HH CHH	$\text{GPX4}\downarrow$	3.2	high
ML162		$GPX4\downarrow$	4.4	low
altretamine		$\text{GPX4}\downarrow$	2.5	high
typhaneoside	HO + O + O + O + O + O + O + O + O + O +	GPX4 $\downarrow$	-0.8	low
artemisinin		iron $\uparrow$ ; GPX4 $\downarrow$	2.4	high
dihydroartemisinin	<sup>л</sup> 0 <sup>0</sup> , чо он	iron $\uparrow$ ; GPX4 $\downarrow$	2.2	high
artesunate	сорон сон	iron ↑	2.3	low
ruscogenin	HO H	iron ↑	4.5	high
salinomycin		iron ↑	5.4	low
amentoflavone		iron ↑	4.5	low
BSO		$\operatorname{ROS}\uparrow$	-2.1	low
FINO2	→ ( )0-0 -0н	ROS ↑	4.0	high

# Table 1. Cont.

Inducer Name	2D Structure	Mode of Action	logP	BBB
epunctanone		$\operatorname{ROS}\uparrow$	7.7	low
piperlongumine		ROS ↑	1.9	high
robustaflavone A	H <sub>6</sub> CO HO HO HO HO HO HO	ROS ↑	4.6	low
sorafenib		$X_c^-$ system $\downarrow$	5.1	low
erastin		$X_c^-$ system $\downarrow$	3.8	low
sulfasalazine	HOLD N:N K SON	$X_c^-$ system $\downarrow$	3.1	low
ferroptocide		Other	2.1	low
maneb		other	0.2	low
gambogic acid		other	7.4	low
paraquat	-N	other	-6.3	low
soyauxinium		other	0.4	high
erianin	HOLLO	other	3.4	high

Table 1. Cont.

<sup>1</sup> Mode of action: GPX4  $\downarrow$  inhibition of GPX4; iron  $\uparrow/ROS \uparrow$  intracellular accumulation of iron/ROS; other mechanisms.

Inhibitor Name	Structure	Mode of Action	logP	BBB
butylatedhydroxytoluene	→ OH ↓	antioxidative	5.5	high
ferrostatin-1		antioxidative	3.7	high
α-tocopherol	HOTIN	antioxidative	11.5	high
β-carotene	Xaraharara X	antioxidative	11.6	high
glutathione		antioxidative	-3.4	low
N-acetylcysteine	The sh	antioxidative	-0.6	low
ascorbic acid	OF OH HO OH	antioxidative	-1.6	low
edaravone		antioxidative	1.3	high
GSK2334470		antioxidative	4.3	low
liproxstatin-1		antioxidative	3.3	high
trolox	HOUTOCH	antioxidative	2.9	low
α-lipoic acid	он 5-5	antioxidative; NRF2 $\uparrow$	2.7	low
7-O-cinnamoyltaxifolin	Charles and the second	antioxidative	3.7	low
7-0-feruloyltaxifolin	HO H	antioxidative	3.1	low
butein	HO OH OH	antioxidative	2.8	low
butin	носторон	antioxidative	1.9	low
isorhapontigenin		antioxidative	3.0	high

**Table 2.** Ferroptosis inhibitor representatives—chemical structures with the main mode of action <sup>1</sup> and predicted lipophilicity coefficient and potential for crossing the BBB.

Inhibitor Name	Structure	Mode of Action	logP	BBB
morachalcone D	HOLOH OH	antioxidative	1.7	low
piceatannol-3'-O- glucoside	OH OH U, H, OH OH OH OH OH OH OH OH	antioxidative	0.4	low
rhapontigenin	HO CONTRACTOR	antioxidative	3.1	low
rhapontin	HO, O, O	antioxidative	0.6	low
synthetic chalcone 1 <sup>2</sup>	HO HO	antioxidative	3.8	low
Baicalein <sup>2</sup>		antioxidative; 15-LOX $\downarrow$	3.0	low
dopamine	HONH2 HO	GPX4 ↑	-0.3	low
galangin		GPX4 ↑	2.7	low
apigenin	но странование со странов	GPX4 ↑	2.9	low
silibinin	HO CH O CH OH	iron chelation	1.8	low
deferoxamine	$\underbrace{\mathbb{I}_{N,\dots,N}^{N}}_{N,\dots,N} \underbrace{\mathbb{I}_{N,\dots,N}^{N}}_{N,\dots,N} $	iron chelation	-1.3	low
phytic acid		iron chelation	-11.2	high
8-hydroxyquinoline	CN CH	iron chelation	2.1	high
ciclopirox olamine	С Прон	iron chelation	2.5	high
deferasirox	HOLE N-N HO	iron chelation	3.8	low
deferiprone		iron chelation	-0.6	high
maltol	OH CH	iron chelation	0.0	high

# Table 2. Cont.

Inhibitor Name	Structure	Mode of Action	logP	BBB
quercetin	HO CH OH	iron chelation	2.0	low
curcumin	H <sub>6</sub> CO,	iron chelation	3.0	high
EGCG		iron chelation	2.2	low
ellagic acid		iron chelation	1.9	low
gossypitrin	HO' O' O' OH OH HO' O' OH OH OH	iron chelation	-0.7	low
sterubin	H <sub>2</sub> CO GH HH	iron chelation	2.0	low
fisetin	HO C C C C C C C C C C C C C C C C C C C	iron chelation; NRF2 $\uparrow$	2.2	low
carnosic acid	HO HO HO	NRF2↑	4.5	low
melatonin	N C C C C C C C C C C C C C C C C C C C	NRF2↑	1.7	high
zileuton		5-LOX $\downarrow$	1.9	high
bakuchiol	CH6 CH6	other	5.7	high
psoralidin	HO CHO CH	other	4.1	low

Table 2. Cont.

<sup>1</sup> Mode of action: GPX4  $\uparrow$ /NRF2  $\uparrow$  activation of GPX4/NRF2; 5-LOX  $\downarrow$ /15-LOX  $\downarrow$  inhibition of 5-LOX/15-LOX; antioxidative—radical scavenging; other mechanisms. <sup>2</sup> Conventional notation of rings used within text for discussion.

## 2. Ferroptosis—Three Main Factors

Ferroptosis was described in 2012 as a non-apoptotic cell death mechanism. It is a regulated form of cell death that is triggered and driven by the (over)production and accumulation of lipid and phospholipid (hydroxy)peroxides, the formation of which is specifically mediated by  $Fe^{2+}$  ferrous ions. It is named after  $Fe^{2+}$  ferrous ions since cytosolic and mitochondrial  $Fe^{2+}$  ions are essential factors in ferroptosis. Once ignited, ferroptosis can remain local or rapidly spread to surrounding cells, depending on the ignition mechanism [19]. It is morphologically, biochemically, and genetically distinct from apoptosis, necrosis, and autophagy but similar to oxytosis. Ferroptosis is closely associated with mitochondria, and its primary morphological markers are aberrant mitochondria characterized by a reduced number of mitochondrial cristae, inner membrane condensation, outer membrane rupture, and size shrinkage [20,21]. Physiologically, ferroptosis may contribute to embryonic development, erythropoiesis, aging, and antiviral and anticancer defense mechanisms [11]. Pathologically, it is associated with neurological diseases, myocardial infarction, atherosclerosis, renal and liver diseases, and cancer.

Three factors are necessary for the induction of and maintaining ferroptosis. These are: (i) the intracellular accumulation of  $Fe^{2+}$  ferrous ions, (ii) the accumulation of lipid peroxides generated from polyunsaturated fatty acids (PUFA) with bis-allylic fragments localized mainly in membranes, and (iii) the deficient repair of lipid peroxides. It is possible to induce or inhibit ferroptotic cell death in a relatively straightforward manner by directly or indirectly targeting one of the three necessary factors. They are considered markers for ferroptosis.

Iron is an essential metal in the human body, and its uptake, distribution, storage, and retrieval are coordinated at cellular and systemic levels by a complex and finely balanced network of regulatory pathways. Iron is involved in a variety of physiological functions and processes, including DNA replication, the tricarboxylic acid cycle, ATP production via the electron transport chain, and signal transduction. It is a cofactor in 6.5% of all human enzymes, localized mainly in the endoplasmic reticulum and mitochondria in the form of iron ions, heme, or iron–sulfur (FeS) clusters [22]. Its aberrant metabolism, leading to excessive Fenton reactions and/or impairment of mitochondrial function and energy metabolism, induces ferroptosis. Free, non-protein-bound  $Fe^{2+}$  ions can be quickly released from labile iron pools that are available within living cells and serve as a transient hub of the cellular iron metabolism. Intracellular accumulation of free iron can lead to high production of ROS which can override the antioxidant defense of a cell.

Other forms of regulated cell death mechanisms such as apoptosis, necroptosis, and pyroptosis may also depend on iron-induced ROS and oxidative stress. However, ferroptosis is dependent on lipid peroxidation. Ferroptosis is characterized by excessive peroxidation of PUFA bound in certain phospholipids such as phosphatidylethanolamines (e.g., peroxides of phosphoethanolamines (PEs) with arachidonic acid (AA) (18:0/20:4(5Z,8Z,11Z,14Z) PE-AA) or adrenic acid (AdA) (18:0/22:4 (7Z,10Z,13Z,16Z) PE-AdA), phosphatidylcholines, and other types of phospholipids [23]. These phospholipids are synthesized mainly in the membranes of mitochondria and the endoplasmic reticulum. Lipids are peroxidized through non-enzymatic and enzymatic mechanisms involving  $Fe^{2+}$  ions. In the non-enzymatic Fenton reaction of  $Fe^{2+}$  ions with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), hydroxyl radicals (HO•) are produced, which can cause oxidative damage to cellular components such as lipids and induce cell death. Lipoxygenase (LOX) enzymes are non-heme, iron-containing dioxygenases that catalyze the stereospecific oxygenation of free and esterified PUFAs, generating a spectrum of bioactive lipid mediators that can initiate autocatalytic lipid autoxidation.

Since lipid peroxidation fuels the spread of ferroptosis, regulation of the activity and expression of proteins involved in lipid metabolic pathways has a major impact on ferroptosis [24]. These are the enzymes responsible for the formation of phospholipids and their incorporation into various cell membranes (ACSL4 (acyl-CoA synthetase long-chain family member 4/long-chain fatty-acid-CoA ligase 4) and LPCAT3 (lysophospholipid acyltransferase 5)), as well as enzymes regulating lipid peroxidation such as LOXs and GPX4 (glutathione peroxidase 4). Lipid peroxide radicals are neutralized non-enzymatically by exogenous, lipophilic free radical scavengers such as  $\alpha$ -tocopherol and  $\beta$ -carotene and enzymatically by the selenoprotein GPX4. GPX4 is unique among the eight GPX isoforms in that it is the only enzyme capable of reducing oxidized, esterified fatty acids and cholesterol hydroperoxides, thus, protecting against lipid peroxidation in cells and structural function in mature sperm cells [25,26]. It reduces fatty acids/phospholipid hydroperoxides to lipid alcohols with the help of glutathione (GSH) as a cofactor, even if they are incorporated in lipoproteins and membranes. There are three isoforms of GPX4: mGPX4 distributed in mitochondria, nGPX4 distributed in nucleoli, and cGPX4 distributed in the nucleus and cytosol and also strongly associated with membranes. GPX4

activity decreases under GSH depletion and can also be directly inhibited via a covalent interaction with selenocysteine in the active site. Deficiency of GPX4 in quantity and/or activity leads to ferroptosis. Its cofactor, GSH, is synthesized from cysteine and glutamate, and cystine is a precursor of cysteine. GPX4 activity can be reduced by depletion of GSH through inhibition of the cystine/glutamate antiporter  $X_c^-$  system, which exchanges intracellular L-glutamate for extracellular L-cystine across the cellular plasma membrane. The  $X_c^-/GSH/GPX4$  axis crucially controls ferroptosis. The heterodimeric  $X_c^-$  system consists of two transmembrane amino acid transporters: SLC3A2 (solute carrier family 3 member 2) and cystine/glutamate transporter SLC7A11 (solute carrier family 7 member 11). Glutamate itself can be replenished by importing glutamine via transporter SLC1A5. Cystine is reduced inside cells to cysteine. The enzyme ACSL4 enriches cellular membranes with long  $\omega$ -6 PUFAs, determining the ferroptosis sensitivity of cells [27]. It was found to be preferentially expressed in a panel of basal-like breast cancer cell lines.

## 3. Ferroptosis as a Potential Therapeutical Target

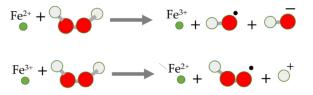
Cell death is impaired in cancer, and the common method of cancer therapy is to induce death mechanisms in immortal cancer cells. Cancer cells are characterized by a rapid proliferation rate that requires a high iron load, and they are well adapted to acquire iron and prevent its loss [28]. Iron plays an important role in modulating the tumor microenvironment and metastasis, maintaining genomic stability, and controlling epigenetics. To meet the high iron demand, neoplastic cells remodel iron metabolic pathways, including iron uptake, storage, and efflux, making the manipulation of iron homeostasis an important approach for cancer therapy [29]. Metabolic reprogramming of cancer cells also involves mitochondrial dysfunction and dysregulated p53 expression, which has been implicated in the regulation of ferroptosis [30,31]. To facilitate iron uptake, TfR1 (transfer iron protein receptor 1) is highly expressed on the surface of cancer cells, and iron is accumulated within cells transformed with the oncogene RAS because of the upregulation of TfR1. Ferritin, an intracellular protein that stores iron, is also elevated in many cancers, including breast cancer, and can be used as a prognostic marker for breast cancer progression.

The process of ferroptosis involves signaling pathways that play a role in cancer biology, such as the AMP-activated protein kinase (AMPK)-RAS/MAPK and AMPK/mTOR/p70S6k pathways and the NRF2-KEAP1 pathway. NRF2 (nuclear factor erythroid 2-related factor 2) is an important transcription factor in the regulation of oxidative stress and plays a major role in the induction of drug insensitivity or resistance in cancer cells. Its activity affects the expression of antiferroptosis genes encoding for GPX4, SLC7A11, and iron storage protein ferritin subunits—FTH1 (ferritin heavy chain 1) and FTL (ferritin light chain) [32]. Cancer chemoresistance may be caused by activation of the NRF2 and downstream NRF2/FTH1 or NRF2/SLC7A11 pathways, resulting in the lowering of free intracellular iron (TfR1 downregulation and ferritin upregulation) or enhanced neutralization of lipid peroxides (GPX4 and FSP1 (ferroptosis suppressor protein 1) upregulation) compared to those in drug-sensitive cells. Thus, ferroptosis is a specific weakness of cancer suitable for use in the treatment of certain therapy-resistant cancers. Inducing ferroptosis in synergy with classic chemotherapeutic agents can sensitize cancer cells to treatments.

Several dozen compounds have been reported to induce ferroptosis in cancer cells by direct modulation of the ferroptosis targets (Table 1). Sensitivity profiling of 177 cancer cell lines to 12 ferroptosis-inducing small molecules (including erastin, RSL3, and their analogs) revealed that diffuse large B-cell lymphomas and renal cell carcinomas are particularly susceptible to ferroptosis through GPX4 inhibition [26]. Some natural inducers promote ferroptosis by regulating the ROS/AMPK/mTOR signaling pathways to inhibit cancer cell viability and proliferation, such as dihydroartemisinin (DHA) and amentoflavone.

Since ferroptosis is associated with neurodegeneration, numerous modulators have been discovered through studies of neurological, pathological conditions. It was found that ferroptosis induced by erastin is similar in cancer cells and primary neurons [33]. Therefore, we collected compounds discovered to act as ferroptosis modulators in cancer and/or neuronal cells and analyzed them in relation to chemical/structural and physicochemical/druglikeness molecular aspects.

In the context of ferroptosis, it should be stressed that an additional, important common factor in cancer, neuronal networks, and ferroptosis is hydrogen peroxide ( $H_2O_2$ ) (Figure 1). Cancer cells including melanomas, neuroblastoma, colon carcinoma, ovarian carcinoma, and cancer-associated fibroblasts, as well as myofibroblasts, macrophages, and neutrophils, are the major producers of  $H_2O_2$  [34].  $H_2O_2$  is not only used as an effective biological weapon but is also an important signaling molecule in cancer and neuronal networks [35,36]. The localized and concentrated production of  $H_2O_2$  and ROS is enabled by the packaging of active ingredients including ferroptotic modulators in multifunctional nanosystems.



**Figure 1.** Fenton reaction of iron ions and H<sub>2</sub>O<sub>2</sub> for production of powerful ROS. Under the condition of accumulated reactants, it can become uncontrolled, causing extensive oxidative damage and death.

The iron-seeking phenotype of cancers can be exploited in two ways: (i) to restrict iron availability and (ii) to exploit the redox properties of excess iron to promote cytotoxic oxidative stress in cancer cells. To foster ROS generation, nanoparticles provide rationally designed strategies to preferentially deliver drugs/active ingredients into cancer cells [37]. Recently, different ferroptotic inducers have also been applied in the form of rationally designed, multifunctional nanoparticles on a variety of cancer cells. Their efficacy has been enhanced by the delivery of nanoparticles to a target place in chemotherapy, radiotherapy, and immunotherapy [38]. The development of nanotherapeutics offers a route to overcome the toxic, off-target effects of ferroptosis on normal cells and the shortcomings of ferroptosis-driven therapeutics due to their low bioavailability caused by their low aqueous solubility and membrane permeability. Some ferroptosis-driven nanotherapeutics contain the two basic elements of the Fenton response:  $Fe^{2+}$  ions and/or  $H_2O_2$  to trigger and promote a Fenton reaction in cancer cells. The lower pH caused by hypoxia in the tumor microenvironment facilitates the release of iron ions from nanomaterials, which triggers the Fenton reaction and leads to the ferroptotic death of cancer cells. Some nanotherapeutics enhance the uptake of ferroptosis inducers into cancer cells, while others provide exogenous regulation of lipid peroxidation to cancer cells through, e.g., PUFA supplementation.

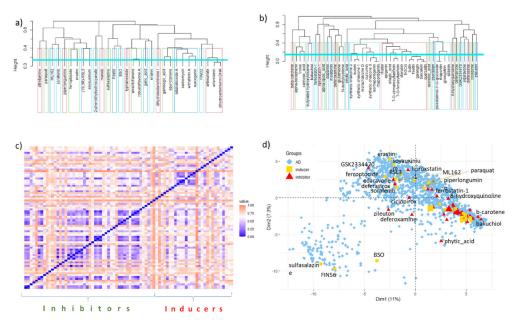
#### 4. Chemical Aspects of Ferroptosis Modulators

The induction and inhibition of ferroptosis by small compounds have been well characterized so far. They alter the concentration of ROS and, thus, of (per)oxidized lipid species through general mechanisms such as free radical scavenging and iron chelation and/or by modulation of specific biochemical pathways.

Ferroptosis inducers are compounds that stimulate iron accumulation and/or inhibit GPX4 expression and/or activity, thereby promoting lipid ROS production and accumulation. GPX4-regulated ferroptosis can be induced in two ways. Class I inducers such as erastin inhibit GPX4 by causing depletion of its cofactor, intracellular GSH. Class II inducers such as RSL3 directly inhibit GPX4 by binding to it. Ferroptosis inhibitors, such as iron chelators and lipophilic antioxidants, have the opposite effect of reducing lipid ROS concentration.

In this analysis, a set of 78 organic ferroptosis modulators (Tables 1 and 2) with a MW up to 800 is described and compared in relation to the aspects of structural diversity and drug-likeness. The collected ferroptosis modulators represent different chemical scaffolds that have been employed as privileged scaffolds for designing novel chemical libraries of

ferroptosis inducers or inhibitors and for structure–activity relationship (SAR) analysis. The dendrograms in Figure 2a,b depict the structural diversity of the collected inducers and inhibitors, respectively. The collected set of ferroptosis inducers is a group of chemically diverse compounds. Employing a TC value of 0.85 (Jaccard = 1 - TC of 0.15; cyan line in Figure 2a,b) as a lower limit of chemical similarity, only artemisinin and its dihydrogenated derivate DHA are mutually structurally similar, and they are placed within the same group. Other inducers are structurally unique compounds forming one-member clusters. In comparison, ferroptosis inhibitors are more mutually similar molecules (Figure 2b). The 23 polyphenolic antioxidants are grouped into three clusters as they possess the same core scaffolds with various numbers and positions of OH substituents and/or sugars. The inducers and the inhibitors have a few structural similarities (Figure 2c).



**Figure 2.** Analysis of structural diversity of ferroptosis modulators described with MACCS fingerprints and using the Jaccard index (1 - TC) as a dissimilarity measure (*y*-axis). Cluster dendrograms for (**a**) 30 inducers and (**b**) 48 inhibitors. Clusters are denoted with borders drawn at a TC level of 0.6, while the cyan line corresponds to a TC of 0.85. (**c**) Structural (dis)similarity of ferroptosis modulators. More blue/red values denote greater/less structural similarity. (**d**) The ferroptosis inducers and inhibitors fall within the chemical space of approved drugs (AD) which is represented by the first two PCA components, explaining 18.3% of the variance in MACCS fingerprints.

The structures of ferroptosis modulators are compared to those of drugs available on the market(s) by principal component analysis (PCA). Most of the modulators (53/78 in total; 16/30 inducers; 37/48 inhibitors) are grouped in the bottom-right part of the PCA score plot (Figure 2d), following their proximity already shown in the dendrograms (Figure 2a,b). They are inhibitors with antioxidative (20/25), iron chelation (11/15), and GPX4 activation (3/3) modes of action plus inducers with iron accumulation (6/6) or GPX deactivation (5/10) effects. They are clustered together with around two-thirds of the drugs from the ATC groups D (dermatologicals), G (genito-urinary system and sex hormones), and H (systemic hormonal preparations, excluding sex hormones and insulins). The rest of the ferroptosis modulators are scattered in the score plot.

The placement of ferroptosis modulators within the structural space of drugs also implies their drug-like character (Figure 2d). The general, whole-molecule physicochemical properties of compounds are known to influence their ADME (absorption, distribution, metabolism, and excretion) profile and drug-likeness [39]. Although research on the significance of ferroptosis in anticancer therapy has progressed, there are still limitations to its clinical application because of the low solubility and poor membrane permeability of ferroptosis modulators (Tables S1 and S2). For instance, the iron-chelating agent deferoxamine is a classic ferroptosis inhibitor used to avoid excessive ferroptosis and injury to normal cells and tissues. However, its low solubility limits its application. The poor ADME profiles of compounds not only decrease target efficiency but also increase the likelihood of undesirable, off-target effects on normal cells.

The majority of low-MW ferroptosis modulators are natural or natural-based molecules that fit the drug-likeness profile in terms of log*P* values and numbers of H-bond donors, which are considered the two most important parameters in predicting oral bioavailability and drug-likeness [39]. The 10 ferroptosis modulators do not obey the well-known Lipinski rule of five, mainly due to molecular size and the number of H-bond donors greater than 500 and 5, respectively. The 75 molecular physicochemical features important for biological activities were calculated and used for uncovering the (di)similarity of ferroptosis modulators by applying PCA. Five statistically significant molecular features were found to be important for the differentiation of ferroptosis modulators in a physicochemical space. They are: molecular weight (MW), diffusion coefficient in water (Hayduk–Laudie formula) (log(Diff  $\times 10^{-5}/(\text{cm}^2/\text{s})$ ), the number of hydrogen-bond-donating atoms (HBD), lipophilicity coefficient (log*P*), and the number of amide groups (Amide) (Figure 3). These descriptors are known to influence aqueous solubility and distribution properties such as passing biological membranes [40].

Ferroptosis modulators with different modes of action have different physicochemical profiles (Figure 3b). Ferroptosis inhibitors with antioxidant activity are diverse molecules in terms of their structures and mechanisms of action, as well as their physicochemical profiles. Small, hydrophilic antioxidants such as vitamin C, *N*-acetylcysteine, and GSH acting in the aqueous environment are placed in the lower-left part of the PCA plot. Relatively large lipophilic antioxidants such as  $\alpha$ -tocopherol and  $\beta$ -carotene scavenge lipid ROS in the hydrophobic environment in membranes. Iron-chelating inhibitors are scattered in the PCA plot, which illustrates that they have various H-bond/H-atom-donating capacities and molecular size, but almost all lie under the *x*-axis, showing that they are, in general, hydrophilic compounds.  $X_c^-$  system inhibitors and iron accumulation inducers are among the largest and most lipophilic ferroptosis modulators.

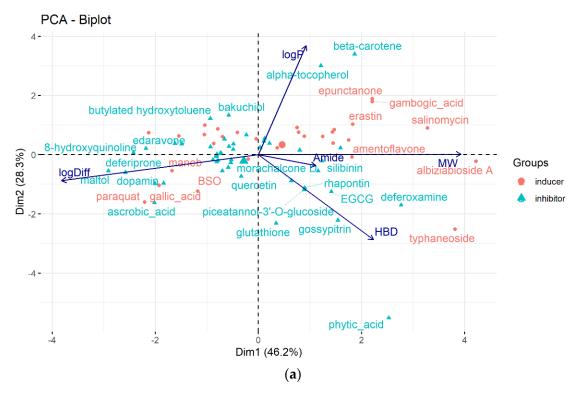
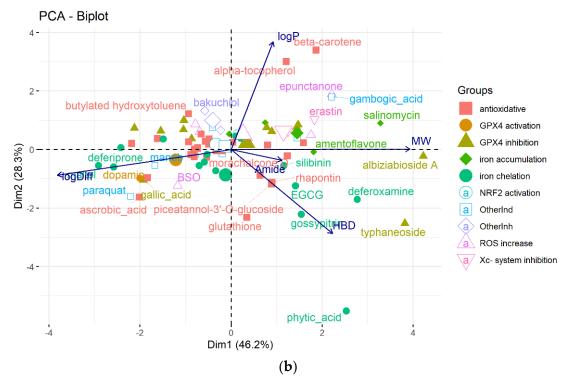


Figure 3. Cont.



**Figure 3.** The PCA biplot generated in terms of molecular traits (blue arrows) that describe similarity/difference in the physicochemical space of 78 ferroptosis modulators colored according to (**a**) the class of inhibitors/inducers and (**b**) the mode of action.

The ferroptosis-activating or -suppressing effects of low-MW compounds were mainly detected in terms of their in vitro anticancer and neuroprotective effects on cancer cell lines and neuronal cells, respectively. Hence, the estimation of the capacity of a compound for crossing the BBB and retention in the brain once taken up (Tables 1, 2, S1 and S2) was used to assess whether or not a compound is likely to be active in the central nervous system (CNS). CNS activity may be a(n) (un)desirable side effect of a cancer chemotherapeutic. Dozens of inducers (e.g., FINO2, dihydroisotanshinone I, artemisinin, DHA, 2-phenethylisothiocyanate, altretamine, piperlongumine) and inhibitors (e.g.,  $\alpha$ -tocopherol, bakuchiol, butylated hydroxytoluene, liproxstatin-1, ferrostatin-1, 8-hydroxyquinoline) are estimated to cross the BBB and be retained in the brain (Tables S1 and S2) [33,41].

## 5. Biological Aspects of Ferroptosis Modulators

We further analyzed the SAR for ferroptosis modulators. We used the ClassyFire approach to assign chemical (super)classes (Tables S1 and S2) [42]. The class was determined by the core scaffold in a compound. Most ferroptosis modulators are natural products or semisynthetic derivatives belonging to the superclasses of "phenylpropanoids and polyke-tides" (29 compounds) or "lipids and lipid-like molecules" (13 compounds) according to their biosynthetic origin (Tables 1 and 2). The majority of the remaining modulators are derivatives of organic acids or compounds with structurally diverse, heterocyclic scaffolds.

Almost one-third of the inducers and some inhibitors belonging to the "lipids and lipid-like molecules" are prenol lipids synthesized by the condensation of isoprene subunits. This class includes the inducers artesunate, ruscogenin, and salinomycin, which increase intracellular iron(II) concentration and lipid peroxidation, and lipophilic antioxidant inhibitors  $\alpha$ -tocopherol and  $\beta$ -carotene. Of the 29 ferroptosis modulators from "phenylpropanoids and polyketides", six molecules are ferroptosis inducers, and most of the remaining 23 molecules are polyphenolic ferroptosis inhibitors belonging to the flavonoid stilbene and linear 1,3-diarylpropanoid chalcone types. Other ferroptosis inhibitors are lipophilic (vitamin A and  $\alpha$ -lipoic acid) and hydrophilic (GSH, vitamin C, dopamine) compounds (Table 2). In this article, we focus mainly on (poly)phenols that act as ferroptosis inducers and inhibitors, or both, and which belong mainly to the superclass of "phenylpropanoids and polyketides".

## 5.1. Ferroptosis Inducers

The first ferroptosis modulators discovered were the inducers erastin and RASselective lethal 3 RSL3 ((15,3R)-RSL3 given the stereochemistry). Erastin is an inhibitor of the  $X_c^-$  system, while RSL3 is a covalent inhibitor of GPX4. These synthetic compounds were discovered as ferroptosis inducers by the screening of diverse compounds. In 2003, erastin was detected to induce a new form of non-apoptotic cell death in RASoverexpressing cancer cells [43]. In 2007, it was found to bind directly to voltage-dependent anion channel protein VDAC2 in the mitochondrial outer membrane, leading to mitochondrial production of ROS, mitochondrial dysfunction, and non-apoptotic cell death of RAS-overexpressing cancer cells. In 2008, RSL3 was revealed to induce cell death by involving labile iron [44]. Erastin, glutamate, sorafenib, and sulfasalazine are type I inducers that block the  $X_c^-$  system, resulting in a decrease in GSH levels. RSL3, altretamine, ML162, and FIN56 are type II inducers that affect GPX4 either by directly inhibiting the enzyme or by reducing its expression level. Erastin and RSL3 are not readily bioavailable molecules. However, erastin analogs (e.g., PRLX 93936) and other X<sub>c</sub><sup>-</sup> system inhibitors, such as multikinase inhibitors sorafenib and sulfasalazine, as well as GPX4 inhibitors, e.g., altretamine and withaferin A, are under clinical anticancer investigation [45].

Some currently used drugs have been found to induce ferroptosis in addition to their already known mechanisms of action [46]. For example, the cancer chemotherapeutic agent cisplatin not only prevents DNA repair but also induces ferroptosis by depleting intracellular GSH in lung A549 and colon HCT116 cancer cells [47]. The most potent antimalarial drug isolated from traditional Chinese herb Artemisia annua L., artemisinin, and its derivative DHA, selectively targets KRAS-reprogrammed pancreatic cancer cells that are resistant to apoptosis. They accumulate in lysosomes and increase ferritin degradation and, thus, iron release, which promotes the accumulation of cellular ROS and leads to ferroptotic cancer cell death. DHA was found to accelerate the degradation of ferritin through downregulation of the activity of the mTOR/p70S6k signaling pathway by activating the phosphorylation of AMPK. DHA also induced ferroptosis of acute myeloid leukemia (AML) cells through autophagy by regulating the activity of the AMPK/mTOR/p70S6k signaling pathway. The water-soluble artemisinin derivative artesunate selectively killed head and neck cancer (HNC) cells but not normal cells by decreasing cellular GSH level and increasing lipid ROS levels [48]. Inhibition of the NRF2 pathway (by NRF2 genetic silencing or by trigonelline) increased the artesunate sensitivity of ferroptosis-resistant HNC cells.

Polyphenols are natural products known for a plethora of bioactivities, including their diverse effects on ferroptosis cell death [13–17,49]. They have shown significant anticancer activity in vitro, even against an aggressive type of cancer, and their chemopreventive role has been summarized elsewhere [50,51]. Grounded in many in vitro and in vivo (mostly rodent) preclinical studies, clinical trials with polyphenols alone or in a combination with anticancer drugs have been carried out on hormone-dependent prostate and breast cancers, bladder and renal cancers, and colorectal and lung cancers, as well as on leukemia. They can trigger several cell death mechanisms simultaneously. They can act as ferroptosis inducers or inhibitors or both. It is known that the dominant target activity of polyphenols depends on the dose, treatment duration, and cell/tissue specificity [52].

Polyphenols have already been used in dermatology and are known to exert antiproliferative, proapoptotic, and antimetastatic activities on melanoma cell lines, whereas they have no cytotoxic effect in healthy cells [53,54]. Since ferroptotic pathways contribute to the regulation of the differentiation state of melanoma cells and their resistance to certain therapeutic agents, ferroptosis inducers are expected to have strong therapeutic potential in melanoma [55]. The majority of collected polyphenolic ferroptosis modulator representatives were found to be structurally most similar to drugs from related ATC groups D, G, and H (Figure 2d). The local, topical application of polyphenols may have advantages over their oral administration because these molecules are generally rapidly metabolized and weakly bioavailable, in addition to having multitargeting effects. The observed effects on cancer cells of ferroptosis inducers from the "phenylpropanoids and polyketides" superclasses (except gallic acid) are described further.

Typhaneoside, a major flavonoid in the extract of *Typha* spp. (Typhaceae) pollen, was found to strongly inhibit the proliferation and growth of AML cells by interacting with multiple targets simultaneously [56]. Typhaneoside (40  $\mu$ M) induced apoptosis, autophagy, and ferroptosis in AML cells. The induction of ferroptosis was iron-dependent and attended by mitochondrial dysfunction and reduced GSH and GPX4 levels. It triggered autophagy in AML cells by promoting AMPK signaling, which contributes to ROS accumulation, ferritin degradation, and ferroptotic cell death. The anticancer activity of typhaneoside was also confirmed in vivo using BALB/c mice xenografts bearing HL60 cells.

A biflavonoid obtained by the oxidative coupling of two molecules of apigenin robustaflavone A isolated from *Selaginella trichoclada* (Selaginellaceae) induced ferroptosis in breast cancer cells MCF-7 with a cytotoxic IC<sub>50</sub> value of 11.89  $\mu$ M (doxorubicin 12.62  $\mu$ M, MTT test) [57]. It (5 and 10  $\mu$ M) decreased the expression of E3 ubiquitin ligase Nedd4 (neuronal precursor cell-expressed developmentally downregulated 4), thereby reducing the ubiquitination and proteasomal degradation of VDAC2 proteins. In response, VDAC2 protein expression was enhanced, leading to lipid peroxidation and ROS production in mitochondria and MCF-7 cell death. After the addition of the ferroptosis inhibitors, the antioxidant ferrostatin-1, or the iron chelator deferoxamine, the MCF-7 viability was significantly increased. Recently, by RNA sequencing and KEGG functional enrichment analysis, robustaflavone A was shown to reduce the expression of ferroptosis-related genes including *ACSL4*, NOXO1, NOXA1, ACSL5, STEAP3, LPCAT3, ATG7, and TP53 in MCF-7 cells [58].

Another biflavonoid of apigenin, widely distributed in the *Selaginella* species, amentoflavone was reported to have a multitarget anticancer ability. This polyphenol (10 and 20  $\mu$ M) was found to suppress growth and induce death of glioblastoma cells U251 and U373 by triggering ferroptosis in an autophagy-dependent manner [59,60]. Its inhibitory effect on cell proliferation was suppressed by deferoxamine and ferrostatin-1 as well as by the upregulation of FTH1. In cells U251 and U373, amentoflavone increased intracellular levels of iron, malondialdehyde (MDA), and lipid ROS and decreased GSH level and mitochondrial membrane potential. Selectively, in glioma cells, and not in normal human astrocytes, amentoflavone induced ferroptosis by modulating iron homeostasis through suppression of FTH1 levels. It induced autophagy via the AMPK/mTOR/p70S6K pathway, which resulted in the downregulation of FTH1 expression. Its effect on inhibition of tumor growth by inducing autophagy-dependent ferroptosis was additionally demonstrated in vivo in a xenograft mouse model.

Erianin is a natural polyphenol found in *Dendrobium chrysotoxum Lindl* (Orchidaceae), and it has anticancer activity against various cancers—osteosarcoma, nasopharyngeal carcinoma, bladder, and lung cancer. Erianin inhibited the proliferation and metastasis of lung cancer via calcium/calmodulin-dependent ferroptosis in vitro and in vivo [61]. In lung cancer cells H460 and H1299, erianin (at concentrations ranging from 12.5 to 100 nM) induced G2/M-phase arrest, ROS accumulation, lipid peroxidation, GSH depletion, and downregulation of the expression of the negative regulatory proteins for ferroptosis, GPX4, CHAC2, SLC40A1, SLC7A11, and glutaminase. The ferroptosis inhibitors ferrostatin-1 and liproxstatin-1, but not the pan-caspase inhibitor Z-VAD-FMK, the potent inhibitor of autophagy chloroquine, or the potent inhibitor of necroptosis necrostatin-1, rescued cells from erianin-induced cell death. Calcium/calmodulin signaling is a critical mediator of erianin-induced ferroptosis. The blockade of this signaling with ruthenium red and antagonist calmidazolium, significantly rescued cell death induced by erianin treatment by suppressing ferroptosis. Inhibition of calcium/calmodulin signaling significantly reduced the expression of GPX4 and SLC7A11.

Ferroptosis was also found to contribute largely to the erianin-induced cell death of bladder cancer in vitro and in vivo [62]. Erianin (100  $\mu$ g/mL, i.e., 314  $\mu$ M) suppressed the growth of bladder cancer cell lines KU-19–19 and RT4. It induced G2/M-phase arrest, ROS, and MDA accumulation, GSH depletion, and downregulation of ferroptosis-related proteins FTH1, GPX4, HO-1, glutaminase, and X<sub>c</sub><sup>-</sup>/SLC7A11 and inactivated NRF2. The compound *tert*-butylhydroquinone, an NRF2 activator, suppressed erianin-induced ferroptosis, whereas NRF2 inhibition by shRNA augmented the ferroptosis response induced by erianin treatment.

Epunctanone, a polyprenylated benzophenone isolated from two African plants *Garcinia epunctata* (Clusiaceae) and *Ptycholobium contortum* (Fabaceae), has been identified as a promising cytotoxic molecule against nine cancer cell lines including multidrug-resistant ones [63]. It was shown to induce ferroptosis in addition to apoptosis in leukemia cells CCRF-CEM (at a concentration of 11.8  $\mu$ M).

Piperlongumine, an electrophilic, natural compound isolated from the long pepper *Piper longum* L. (Piperaceae), was selectively toxic to cancer cells in vitro and in vivo [64,65]. It induced the death of human pancreatic cancer cells PANC-1, in part by ferroptosis [66]. The combined treatment of piperlongumine (5–10  $\mu$ M) with the plant growth regulator cotylenin A (24.1  $\mu$ M) and/or the clinically approved ferroptosis inducer sulfasalazine (200 µM) enhanced PANC-1 cell death. Its cancer-cell-killing activity was inhibited by the antioxidant N-acetylcysteine (3 mM) and the ferroptosis inhibitors ferrostatin-1 (1  $\mu$ M) and liproxstatin-1 (1  $\mu$ M), as well as the iron chelator deferoxamine (200  $\mu$ M), but not by the apoptosis inhibitor Z-VAD-FMK or the necrosis inhibitor necrostatin-1. Piperlongumine in the form of a nanosystem was found to induce the non-apoptotic cell death of mouse breast cancer cell line TH1 via ferroptosis and pyroptosis (an inherently inflammatory kind of programmed cell death activated by caspases 1, 4, and 5). The nanosystem Tf-LipoMof@PL consists of piperlongumine (PL) encapsulated in an iron-containing metalorganic framework (MOF) coated with a transferrin-decorated, pH-sensitive lipid layer (Tf-Lipo). In this multifunctional nanosystem, piperlongumine contributed to ferroptotic cell death by providing  $H_2O_2$  to increase ROS generation through the Fenton reaction [64]. Transferrin facilitated the accumulation of intracellular iron levels, while a pH-sensitive DOPE (dioleoylphosphatidylethanolamine) lipid layer enhanced cellular uptake, prevented early drug leakage, and enabled pH-responsive piperlongumine release in response to low pH at the tumor site. The anticancer effect of the dual-inductive nanosystem was demonstrated in vivo on the xenograft mice model.

The anticancer effect of classical chemotherapeutics, targeted drugs, or radiotherapy can be enhanced by combination with ferroptosis inducers [47]. Gallic acid (3,4,5-trihydroxy benzoic acid, GA) is a phenolic compound with anticancer and antioxidant properties [67]. It was found to cause the death of cervical cancer cells HeLa by early-stage ferroptosis via inhibition of GPX4 activity, mid-stage apoptosis, and late-stage necroptosis at 50  $\mu$ g/mL concentration (i.e., 294 µM) [68,69]. In another study, while low-level laser irradiation (red) was unable to cause death in breast (MDA-MB-231) and melanoma (A375) cancer cell lines, the pre-irradiation followed by treatment with gallic acid (IC<sub>50</sub> of 25 and 50  $\mu$ g/mL, i.e., 147  $\mu$ M and 294  $\mu$ M, respectively) reduced the cancer cell survival significantly more than gallic acid alone [68]. The viability of the human skin fibroblasts was not altered, and the effect was greater than that caused by the first treatment with gallic acid followed by low-level laser irradiation. Irradiation of the cells promoted penetration of gallic acid and caused (in addition to apoptosis) ferroptosis via decreasing GPX4 activity and increasing lipid peroxidation. The biomimetic nanoreactor was composed of 4,4'-azonzenecarboxylic acid (Azo)-BSA functionalized hybrid zeolitic imidazolate framework (ZIF), which encapsulated Fe(III)-gallic acid and glucose oxidase (GOx, for sustained oxygen consumption resulting in hypoxia microenvironment) [70]. This Fe(III) -GA/GOx@ZIF-Azo nanoplatform was applied to the MCF-7 breast cell line. It enabled hypoxia-activated positive feedback of cellular uptake and more efficient ferroptotic therapy. The Fenton reaction was accelerated not only by the sustained supply of Fe<sup>2+</sup> and H<sub>2</sub>O<sub>2</sub> but also by the low pH

and photothermal stimulation of the reduction of  $Fe^{3+}$  to  $Fe^{2+}$  ions. Gallic acid has also been used for the synthesis of nanoprobes applicable for magnetic resonance imaging and photothermal cancer therapy [71]. The nanoprobes were constructed using luminescence nanoparticles (UCNP) as the core and the  $Fe^{3+}$ /gallic acid complex as the shell, allowing the release of  $Fe^{3+}$  ions in the tumor microenvironment in response to the slightly acidic pH. UCNP@GA-FeIII probes acquire specific tumor-targeting ability by absorbing the unsaturated transferrin from serum that recognizes the overexpressed TfR1 on the surface of various solid, malignant tumor cells, including colorectal cancer cells LS180.

## 5.2. Ferroptosis Inhibitors

Ferroptosis inhibitors can act as GPX4 activators, free radical scavengers, iron chelators, and/or NRF2 activators. The most commonly used ferroptosis inhibitors for in vitro experiments, ferrostatin-1 and liproxstatin-1, act as antioxidants. Many polyphenols are well characterized as radical scavengers, iron chelators, and/or NRF2 activators [51,72], which contributes to their suppressive effect on ferroptosis. The mechanism of their antioxidant effects depends on the number and position of hydroxy groups on the core benzo- $\gamma$ -pyrone fragment, as well as on the dose and cell type [7].

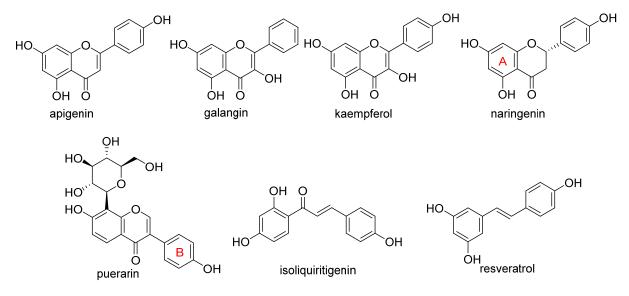
The food flavonoid apigenin (20  $\mu$ M) inhibited ferroptosis induced by kainic acid in human neuroblastoma cells SH-SY5Y [73]. Apigenin also rescued mouse brain in vivo from myeloperoxidase (MPO)-mediated oxidative stress. Kainic-acid-induced upregulation of MPO, and, thus, HClO generation, was accompanied by reduced activities of SIRT1 and GPX4, while apigenin treatment decreased expression of MPO and upregulated expression of SIRT1 and the intracellular antioxidants GPX4, TrxR (thioredoxin reductase), and GSH. Conversely, in multiple myeloma cell line NCI-H929, apigenin (5-40 µM) induced cell death by ferroptosis even in the presence of ferrostatin-1 and deferoxamine [74]. Chloroform extract from Fumaria officinalis (Fumariaceae), which contains two flavonoids, apigenin and isoquercetin, in addition to isoquinoline alkaloids, also stimulated iron-dependent death in the cell line NCI-H929 [75]. Apigenin also induced the death of lung cancer cells A549 partly through ferroptosis (in addition to apoptosis) [76]. The ferroptotic effect of apigenin (API) on A549 cells was synergistically enhanced by its incorporation into magnetic iron oxide nanoparticles modified on the surface with polysaccharide hyaluronic acid Fe<sub>2</sub>O<sub>3</sub>/Fe<sub>3</sub>O<sub>4</sub>@mSiO<sub>2</sub>-HA. Such a nanocomposite enabled the sustained release of poorly water-soluble apigenin and the specific targeting of cancer cells with expressed channel protein CD44 on the cell membrane. The API-Fe<sub>2</sub>O<sub>3</sub>/Fe<sub>3</sub>O<sub>4</sub>@mSiO<sub>2</sub>-HA nanosystem was found to significantly increase ROS and cell lipid peroxidation levels, as well as downregulate GPX4 and FTH1 in A549 cells, compared to pure apigenin.

Two other 3-hydroxy flavones, or so-called flavonols, galangin and kaempferol (Figure 4), protect neurons from ferroptosis. Galangin, a flavonol from the Chinese medicinal herb *Alpinia officinarum* (Zingiberaceae), protected hippocampal neurons in gerbils after ischemia reperfusion by inhibiting ferroptosis via activation of the SLC7A11/GPX4 axis. A deficiency of GSH indirectly caused suppression of GPX4 activity [77]. Kaempferol has been used to treat neuronal cells after oxygen-glucose deprivation/reoxygenation associated with ischemic stroke. It protected cells by activation of the NRF2/SLC7A11/GPX4 signaling pathway [78].

Naringenin, a flavanone from fruits and herbs, was studied in cardiomyocytes H9C2 [79]. Hypoxia reperfusion induced with erastin in cardiomyocytes was alleviated by naringenin, as it increased the expression of proteins NRF2, SLC7A11, GPX4, FTH1, and the iron export protein ferroportin 1 (FPN1) and decreased the expression of NADPH oxidase NOX1. Iron uptake mediated the activation of NOX1 signaling, which induced the release of ROS and mitochondrial damage. All four polyphenols shared resorcinol moiety in ring A (Figure 4) and suppressed ferroptosis through regulation of the NRF2/SLC7A11/GPX4 axis.

Another polyphenolic antioxidant with a *para*-OH group in B-ring puerarin, 8C-glucoside of isoflavone daidzein (Figure 4), was shown to inhibit ferroptosis and lipopolysaccharides (LPS)-induced inflammatory response in A549 cells. Total iron and divalent iron levels,

lipid peroxidation, and the NOX1 expression were decreased significantly upon addition of puerarin in LPS-modified A549 cells. In contrast, the expression of SLC7A11, GPX4, and FTH1 was increased [80].



**Figure 4.** Flavonoids and resveratrol with resorcinol and/or *para*-OH phenyl moieties showing antiferroptotic activity.

There are plenty of structurally diverse polyphenols containing catechol (1,2-dihydroxy benzene) or galloyl (1,2,3-trihydroxy benzene) moieties, which are responsible for their strong antioxidant activity. In addition to their high potential to directly scavenge free radicals, they can form complexes with  $Fe^{2+}$  ions, which can further stimulate the oxidation of  $Fe^{2+}$  to  $Fe^{3+}$  and prevent the recycling of  $Fe^{2+}$  ions [81].

Quercetin, named after Quercus sp. (oak tree) [82], is a catecholic flavonol. Its antioxidant and chelating effects, as well as its anticancer activity, have been reviewed many times [6,83–86]. Its metal-chelating activity has been linked to antioxidant activity, and its metal complexes also exert antioxidant activity [82,87]. Quercetin could chelate Fe<sup>2+</sup> ion by catechol functionality at a stoichiometric ratio of 1:2 metal/ligand and attenuated lipid peroxidation, as well as protein oxidation, in the liver, kidneys, and hearts of mice overloaded by iron-dextran complex [88]. Quercetin is more toxic to cancer cells than to normal cells [83]. While quercetin has antioxidant and chemopreventive effects at low concentrations, it exhibits pro-oxidant effects at high doses [86,89]. The oxidized product quercetin quinone is responsible for its pro-oxidant activity, and the pro-oxidant effects of quercetin also depend on the intracellular GSH levels [51]. Quercetin can also exist in plants as quercetin Diels-Alder anti-dimer (QDAD, Figure 5). The antiferroptotic activity of quercetin and its biflavonoid QDAD was investigated in erastin-induced ferroptosis in a bone-marrow-derived mesenchymal stem cell model [90]. Quercetin had better antiferroptotic activity than QDAD, which may be due to the stronger antioxidant action of quercetin. The  $IC_{50}$  value for the antioxidant effect against lipid peroxidation for quercetin  $(2.2 \ \mu\text{M})$  was better than for QDAD (15.5  $\mu\text{M}$ ) and referent antioxidants trolox (136  $\mu\text{M})$ ) and ascorbic acid (3.0 μM). Quercetin alleviated ferroptosis in pancreatic β-cells in diabetic mice in vivo [91]. It also inhibited ferroptosis and subsequent inflammation in renal proximal tubular epithelial cells, which contributed to the mitigation of acute kidney injury in vivo [92]. Quercetin reduced MDA and lipid ROS levels and increased GSH level. It was found to inhibit ferroptosis by blocking the expression of activation transcription factor 3 (ATF3), the activation of which plays an important role in cell ferroptosis. It did not affect the ATF3 level in normal mice.

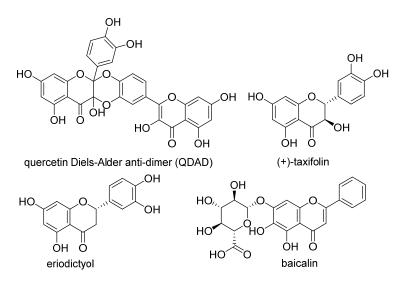


Figure 5. Antiferroptotic flavonoids with catecholic moiety not included in Table 2.

Taxifolin (Figure 5) is a dihydrogenated quercetin derivative belonging to the flavanonol subclass of flavonoids. Its synthetic 7-O-esters with cinnamic and ferulic acids (Table 2) showed antiferroptotic activity in the mouse hippocampal neuron cell model HT22, in a way that was different from parent taxifolin and phenolic acids [93]. The HT22 cell line represents immortalized mouse hippocampal neuronal cells that do not express cholinergic and glutamate receptors such as mature hippocampal neurons in vivo. They are commonly used in in vitro studies of the neuronal differentiation and neurotoxicity implicated in brain injuries or neurological diseases.

Taxifolin's analog, without the group 3-OH and with the methylated 7-OH group, the flavanone sterubin from Yerba santa (*Eriodictyon californicum*, Boraginaceae), was studied in association with neuroprotective compounds that can be used against Alzheimer's disease [94]. It was also found to have dose-dependent protection against ferroptosis inducers erastin and RSL3 in hippocampal cells HT22. It has a chelating ability that has been determined in a ferrozine-based assay.

The demethylated derivative of sterubin, eriodictyol (Figure 5), found in the peel of citrus fruits and some Chinese herbal medicines, also showed an anti-Alzheimer's-disease effect through antiferroptotic activity in vitro in HT22 cells and in vivo in the APP/PS1 mouse model of Alzheimer's disease [95]. Treatment with eriodictyol (50 mg/kg) inhibited ferroptosis in the brains of APP/PS1 mice by reduction of the levels of Fe<sup>2+</sup> ions and total iron, as well as the reduction of the expression levels of TfR1 and FTH1, and increase of the GPX4 and vitamin D receptor (VDR) levels in the cortex and hippocampus of APP/PS1 mice. The iron export protein FPN1 was also upregulated upon eriodictyol treatment. This suggests that eriodictyol might maintain iron balance in cells by reducing iron intake and increasing iron output. The mechanism of antiferroptotic action investigated in HT22 cells was shown to be related to the activation of the NRF2/heme oxygenase-1 (HO-1) signaling pathway mediated by VDR.

By screening a natural product library, a flavon baicalein with the galloyl ring A, was found to be a ferroptosis inhibitor. It markedly inhibited erastin-induced ferroptosis in pancreatic cancer cells compared to known ferroptosis inhibitors. It limited erastin-induced Fe<sup>2+</sup> iron accumulation, GSH depletion, and lipid peroxidation by suppressing erastin-mediated degradation of GPX4 [96]. Another mechanism that may contribute to the antiferroptotic effect of baicalein is the significant and selective inhibition of 12/15-lipoxygenase (12/15-LOX), which was demonstrated in an experiment with RSL3-stimulated lipid peroxidation in acute lymphoblastic leukemia (ALL) model cell lines Molt-4 and Jurkat [97]. In a study focused on Alzheimer's disease, baicalein decreased ferroptosis markers lipid ROS, 4-hydroxynonenal, and COX2 (cyclooxygenase-2) and inhibited the expression of 12/15-LOX in a HT22 cell model of iron-induced injury, which was

also validated in a mouse model of posttraumatic epilepsy [98]. The antiferroptotic effect of baicalein was also confirmed in melanocytes in vitiligo. Baicalein upregulated GPX4 and reduced TfR1 levels in melanocytes treated with RSL3 and ferric ammonium citrate [99]. 7-*O*-glycoside baicalin (Figure 5), found in the roots of the traditional Chinese medicinal plant *Scutellaria baicalensis* (Lamiaceae), suppressed autophagy-dependent ferroptosis in a study which focused on early brain injury following subarachnoid hemorrhage. The study was based on in vitro and in vivo models, and the evaluated parameters were Fe<sup>2+</sup>, MDA, ROS, and GSH levels [100]. However, in contrast, baicalin exerted anticancer activity by triggering FTH1-dependent ferroptosis in bladder cancer cells in vitro (in cell lines 5637 (50–60 µg/mL, i.e., 112–134 µM) and KU-19-19 (100–120 µg/mL, i.e., 224–269 µM)) and in vivo (in mice, 200 mg/kg), accompanied by intracellular ROS and iron accumulation [101]. The ferroptosis inhibitor deferoxamine rescued baicalin-induced cell death in both 5637 and KU-19-19 cell lines.

A change in iron concentration may influence the dominant mechanism of action of polyphenols. While an excess of iron does not influence the beneficial effects of flavanone sterubin, this is not the case with flavonol fisetin. Differently to  $Cu^{2+}$  ions,  $Fe^{2+}$  ions have a significant influence on its anti-inflammatory effect [102]. The antioxidant activity of fisetin, suppression of ROS production, and maintenance of GSH levels are altered differently by metals. Fisetin (5  $\mu$ M) has been tested in several assays in association with its neuroprotective and anti-inflammatory activities. It has direct antioxidant activity and can also chelate Fe<sup>2+</sup> ions. Fisetin induced NRF2 in hippocampal HT22 cells and microglial BV-2 cells, but not in the presence of Fe<sup>2+</sup>. Iron ions blocked NRF2 induction by fisetin in cells of both types. Fisetin additionally reduced glutamate-induced ROS production, but the presence of Fe<sup>2+</sup> also blocked this effect. In HT22 cells, fisetin completely blocked the ROS production induced by RSL3. While ROS production was not significantly increased by Fe<sup>2+</sup> ions, it was greatly potentiated by the combination of RSL3 and Fe<sup>2+</sup> ions with fisetin. This was attributed to the ability of iron to oxidize fisetin and, thereby, change fisetin's effect on the induction of antioxidant transcription factor NRF2. The oxidized form of fisetin acts as a strong pro-oxidant. In contrast, although sterubin also binds iron, the metal does not affect the ability of sterubin to induce NRF2.

Gossypitrin is a hydrophilic 7-*O*-glucoside of flavonol gossypetin, isolated from *Talipariti elatum* Sw. (Majagua azul from the Malvaceae family), which has antioxidant activity [103]. It was tested on iron-induced oxidative damage in HT22 cells and mitochondria isolated from rat brains [104]. It was able to rescue HT22 cells from damage induced by 100  $\mu$ M Fe(II)-citrate, with an EC<sub>50</sub> of 8.6  $\mu$ M. The effect was associated with the prevention of iron-induced mitochondrial membrane potential dissipation and ATP depletion. This substance also prevented Fe(II)-citrate-induced mitochondrial lipid peroxidation with an IC<sub>50</sub> value of 12.45  $\mu$ M, which was about nine times more efficient than the prevention of *tert*-butylhydroperoxide-induced peroxidation. It also decreased Fe<sup>2+</sup> concentration with time, while increasing the O<sub>2</sub> consumption rate and impairing Fe<sup>3+</sup> reduction by ascorbate. Gossypitrin forms a complex with ferrous Fe<sup>2+</sup> ions in a 2:1 ratio, accelerates its oxidation to a more stable complex with iron in the ferric Fe<sup>3+</sup> state, and, thus, impedes iron recycling back to the pro-oxidant Fe<sup>2+</sup> state required for the ROS production and, thus, suppresses the propagation phase of lipid peroxidation.

The flavonoid group also includes catechins (Figure 6), which belong to the subgroup of flavan-3-ols. These compounds are present in fruits, vegetables, various beverages, wine, juice, cocoa, and chocolate. They are known to be favorable components of green tea [105]. They have cardiac and neurological beneficial effects [106]. The anticancer activities of catechins through various mechanisms have been summarized, and controlled cell death has also been implicated in the mechanisms [107]. (–)-Epigallocatechin (EGC) and (–)-epigallocatechin gallate (EGCG), as well as other catechins, are potent scavengers of superoxide radicals, but they may also act as pro-oxidants [7,108]. The ability to chelate Fe<sup>3+</sup> decreases in the order EGCG > epicatechin gallate (ECG) > EGC > (–)-epicatechin [106]. Brain-permeable (–)-epicatechin (15 mg/kg) reduced lesion volume and ameliorated neuro-

logic deficits in a collagenase model of intracerebral hemorrhage in mice. It downregulated ferroptosis-related gene expression and acted through NRF2-dependent and independent pathways [109]. The neuroprotective function of EGCG was investigated in cerebellar granule neurons as a simulation of spinal cord injury. EGCG (50  $\mu$ M) increased the survival rate, inhibited ferroptosis, and upregulated phosphorylation of protein kinase D1 under ferroptotic conditions. The effect was verified in rats [110]. Pretreatment of pancreatic  $\beta$ -cell line MIN6 with EGCG or curcumin (20  $\mu$ M) inhibited the iron accumulation and ferroptotic cell death triggered by erastin. Both polyphenols attenuated GSH depletion, GPX4 inactivation, and lipid peroxidation [111].

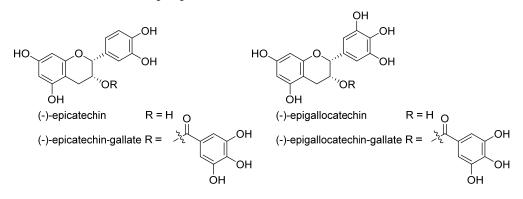


Figure 6. Structure of catechin derivatives with observed antiferroptotic activity.

In contrast, EGCG has been used in the preparation of nanocarriers aimed at inducing ferroptosis selectively in cancer cells. Since EGCG can reduce  $Fe^{3+}$  to  $Fe^{2+}$ , it has been used in the preparation of nanoparticles with the trio doxorubicin/ $Fe^{3+}$ /EGCG, able to induce cancer cell death via ferroptosis and apoptosis.  $Fe^{3+}$  ions are released after uptake by cancer cells because cancer cells are acidic and have a high level of glutathione. EGCG is responsible for intracellular iron reduction and the consequent production of hydroxyl radicals through the Fenton reaction and induction of ferroptosis, which enhanced doxorubicin-induced apoptosis in mouse lung carcinoma cell line LL2 [112].

Chalcones (1,3-diphenylprop-2-en-1-ones) are compounds of natural origin serving as starting components for flavonoid biosynthesis in plants [113,114]. Due to the interesting range of biological activities of chalcone derivatives and analogs, the chalcone scaffold is considered to be an important synthetic moiety in medicinal chemistry [115,116]. The antiferroptotic activity of synthetic, hydroxylated chalcones ((2*E*)-3-(3-methylphenyl)-1-(2,3,4-trihydroxyphenyl)prop-2-en-1-one (synthetic chalcone 1 in Table 2), (2*E*)-3-(4-chlorophenyl)-1-(2,3,4-trihydroxyphenyl)prop-2-en-1-one, and (2*E*)-3-(4-methoxyphenyl)prop-2-en-1-one), each containing the galloyl ring A, was tested for lipid peroxidation inhibition in cellular assays. All three compounds were included in the set of inhibitors for chemoinformatic analysis (Table S2). Pretreatment of cells with each of the chalcones (25  $\mu$ M) was shown to inhibit amyloid- $\beta$  peptide (A $\beta$ ) aggregation in human neuroblastoma SH-SY5Y cells as well as ferroptosis in human embryonic kidney HEK-293 cells. They were able to reduce lipid peroxidation stimulated by RSL3 or erastin in HEK-293 cells with an IC<sub>50</sub> value of 0.45–1.77  $\mu$ M and 3.15–3.88  $\mu$ M, respectively, whereas ECGC did not show any effect up to the concentration of 20  $\mu$ M [117].

The natural chalcone butein was studied to inhibit ferroptosis, and its antioxidant effect was compared to that of its cyclized product flavanone butin at a dose of 30  $\mu$ M. Butein inhibited ferroptosis more effectively in erastin-treated, bone-marrow-derived mesenchymal stem cells and showed a stronger antioxidant effect in five different antioxidant assays than butin. The authors concluded that butein exerts an anti-apoptotic effect due to antioxidant action based on the hydrogen-atom transfer pathway. The difference in action between butein and butin was attributed to the decrease in  $\pi$ - $\pi$  conjugation in butein due to the saturation of the  $\alpha$ , $\beta$  double bond and loss of the 2-hydroxy group upon biocatalytical isomerization [118].

Morachalcone D (Table 2) and morachalcone E are prenylated chalcones isolated from mulberry leaves. In HT22 cells, morachalcone D attenuated erastin-induced ferroptosis with an EC<sub>50</sub> value of  $33.7 \pm 0.89 \mu$ M. Its effect was compared to quercetin EC<sub>50</sub>  $9.55 \pm 0.43 \mu$ M. Morachalcone E was only slightly active, which might have been influenced by the elimination of the active hydroxy group and different prenyl pattern. Morachalcone D inhibited the iron accumulation triggered by erastin, which was confirmed by FeRhoNox<sup>TM-1</sup>, an activatable probe detecting labile Fe<sup>2+</sup> ions in living cells via orange fluorescence. It also upregulated the expression of genes involved in antioxidant defense, including *GPX4*, *CAT*, *SOD2*, *NRF2*, *HMOX1*, and *SLC7A11*, in erastin-treated HT22 cells in a dose-dependent manner [119].

The antiferroptotic effect of isoliquiritigenin (Figure 4), a component of licorice root, was detected in human kidney epithelial tubular cell line HK2. Pretreatment of the cells with this chalcone inhibited Fe<sup>2+</sup> ions accumulation and lipid peroxidation in LPS-stimulated HK2 cells. Isoliquiritigenin increased the expression of GPX4 and the chain subunit of the cystine/glutamate transporter SLC7A11 and attenuated mitochondria injury in renal tubular following LPS injection in mice [120].

In a study on constituents of traditional Chinese medicine, coumarins and coumestans were isolated from the fruits of *Psoralea corylifolia* (syn. *Cullen corylifolium*, Fabaceae). Their activity was explored in erastin-exposed HT22 cells. Among the isolated phytochemicals, the coumestan psoralidin was the most active one, with an IC<sub>50</sub> of 5.21  $\mu$ M (for comparison, IC<sub>50</sub> for the standard compound ferrostatin-1 was 0.45  $\mu$ M). The coumarins psoralen and isopsoralen showed no significant activity [121].

The stilbenoid resveratrol protects cells against oxidative agents at low doses but can promote the production of ROS at high concentrations. It stimulates the KEAP1/NRF2 pathway by activating NRF2 and increasing its expression [122,123]. Its precise mechanism of action is the modification of the amino acid residue Cys151 in KEAP1, which causes newly formed NRF2 to escape from ubiquitination [124]. In mouse pancreatic  $\beta$ cells MIN6, resveratrol inhibited ferroptosis induced by acrolein, a food and environmental pollutant and a risk factor for diabetes. The inhibition was determined by analysis of the biomolecules associated with ferroptosis GPX4, COX2, ACSL4, MDA, GSH, and 5-hydroxyeicosatetraenoic acid (HETE) [125]. The ferroptosis inhibitory effect of resveratrol was also observed in an oxygen-glucose deprivation/reoxygenation model of myocardial ischemia-reperfusion injury in H9C2 cells. Resveratrol (10  $\mu$ M) alleviated induced oxidative stress and inhibited ferroptosis. It decreased TfR1 expression and increased the expressions of FTH1 and GPX4. It was found to inhibit ferroptosis via the regulation of ubiquity-specific peptidase 19 (USP19)-Beclin1-induced autophagy. The attenuating effect on ferroptosis was also confirmed in vivo in rats [126]. Resveratrol is also known for its neuroprotective effects, but its poor oral bioavailability limits its clinical application. The poor bioavailability of resveratrol has been improved by incorporation into MPEG-PLGA nanoparticles. MPEG-PLGA nanoparticles containing resveratrol accumulated in the endoplasmic reticulum and lysosomes in Madin–Darby canine kidney (MDCK) cells and passed across physiological barriers in a zebrafish model. They inhibited erastin-induced ferroptosis in mouse hippocampal HT22 cells and an intracerebral hemorrhage injury mouse model [127].

However, in head and neck cancer cells HN3 and HN4, resveratrol ( $20 \mu$ M) increased ferroptosis when used in combination with RSL3. Resveratrol is a histone deacetylase SIRT1 inducer. The pharmacological activation of SIRT1 and associated epithelial–mesenchymal transition (EMT) epigenetic reprogramming induced by resveratrol lead to increased sensitivity to the ferroptosis inducer RSL3. The cell viability was significantly decreased after the addition of resveratrol due to a weakened antioxidant system [128].

Another non-flavonoid, polyphenol curcumin (Table 2), is the main component of turmeric, a dietary spice extracted from the root of *Curcuma longa* (Zingiberaceae). This curcuminoid exerts plenty of biological activities, including anticancer and neuroprotective ones. It possesses strong antioxidant activity at low concentrations, whereas, at higher con-

centrations, it behaves as a potent pro-oxidant. In association with ferroptosis, iron chelation by curcumin is worth mentioning. Its chelating ability was demonstrated at a concentration of 25 µM in liver cancer cell line Huh7 with iron overload obtained by fe-nitriloacetic acid or ferric ammonium citrate [129]. Curcumin is known to have renoprotective properties. It has been studied in an acute kidney injury model in mice caused by rhabdomyolysis. Preand posttreatment with curcumin (at a dose of 1 g/kg intraperitoneally) mediated HO-1 induction to prevent oxidative stress and inflammation in vivo. Analogous to the in vivo results, an in vitro mechanistic study conducted in proximal murine tubular epithelial cells showed that pretreatment with curcumin (10  $\mu$ M) also reduced TLR4/NF-kB and ERK1/2 activation. Within this study, it was demonstrated that ferroptosis is involved in rhabdomyolysis-associated renal damage [130]. The disadvantages of curcumin, such as its poor water solubility, limited oral bioavailability, and inability to efficiently transit across physiological barriers, can be overcome by its encapsulation into polymer-based nanoparticles (Cur-NPs). Cur-NPs (PEG-PTMC) were shown to attenuate the severity of intracerebral hemorrhage injury in a mice model and to suppress erastin-induced ferroptosis in HT22 cells. The absorption, distribution, and elimination properties of Cur-NPs were explored in vitro in MDCK cells and a zebrafish model and in vivo in the brain and plasma of treated mice. Cur-NPs were accumulated in lysosomes, the endoplasmic reticulum, and mitochondria. In HT22 cells, Cur-NPs inhibited ROS production by regulating the NRF2/HO-1 pathway [131]. Due to the presence of two enone moieties in the structure, it can react with Cys151 in KEAP1 to permit NRF2 dissociation and stabilization [128].

However, in cancer cells, curcumin has been shown to induce ferroptosis. Curcumin has anticancer properties that operate through a variety of mechanisms, including inhibition of cancer cell proliferation, invasion and metastasis, regulation of apoptosis, and autophagy. It has been shown to inhibit glioblastoma, breast, and non-small-cell lung cancer (NSCLC) cells via the regulation of ferroptosis. It induced characteristic changes of ferroptosis in vivo in tumor tissues and in vitro in cancer cell lines. Curcumin significantly triggered the cytological and molecular characteristics of ferroptotic cell death in LLC (Lewis lung cancer)-bearing mice (dose 100 mg/kg/day intraperitoneally) and in A549 and H1299 cells (at a 30 µM dose), including depletion of GSH, lipid peroxidation, and accumulation of ROS and iron. In the tumor tissue of mice, the protein level of ACSL4 was upregulated, while the protein levels of SLC7A11 and GPX4 were significantly downregulated by curcumin [132]. In breast cancer cell lines MCF-7 and MDA-MB-231, curcumin (with cell viability IC<sub>50</sub> values after 48 h of 41.90  $\mu$ M and 53.51  $\mu$ M, respectively) caused marked accumulation of intracellular iron, ROS, lipid peroxides, and MDA, while it downregulated GSH levels significantly. It was found to upregulate a variety of ferroptosis genes related to redox regulation, including HO-1, but to downregulate the expression of GPX4 [133]. Curcumin also induced ferroptosis in clear-cell renal cell carcinoma (ccRCC) cells resistant to sunitinib, a tyrosine kinase inhibitor which blocks angiogenesis. Curcumin reversed resistance and enhanced the sensitivity of 786-O-DR (drug-resistant) cells to sunitinib. It reduced the iron content, upregulated the expression of the ADAMTS18 gene, and significantly reduced expression levels of the ferritin autophagic cargo receptor NCOA4 (nuclear receptor coactivator 4) and the proteins FTH1 and p53 in the cells [134].

#### 6. Data Set and Methods

The sets of diverse inducers and inhibitors of ferroptosis were collected from the literature. The collected ferroptosis modulators were compared mutually and with drugs in terms of their structural and physicochemical/drug-likeness properties. The set of 1390 approved drugs was downloaded from the open-access drug discovery resource ChEMBL (https://www.ebi.ac.uk/chembl, 29 August 2021) [135]. The Anatomical Therapeutic Chemical (ATC) first-level categories of drugs were collected from databases ChEMBL and KEGG [136].

Ferroptosis modulators and drugs are represented with SMILES and corresponding MACCS keys calculated by the R package *rcdk* [137]. The physicochemical molecular

features important for biological activities were calculated by the programs ADMET Predictor<sup>TM</sup> 10.0 (Simulations Plus Inc., Lancaster, CA, USA) (43 descriptors) [18] and DataWarrior (32 features) [138]. The ionization state of molecules was estimated by the program ADMET Predictor<sup>TM</sup> (Supplementary Table S1).

Structural similarity of the compounds was estimated by applying clustering in terms of MACCS fingerprints with Jaccard distance as a dissimilarity measure and principal component analysis (PCA). The Jaccard distance equals the difference 1–Tanimoto coefficient (TC). TC is the ratio of the number of common features to the number of different features present in two compared molecules. The clustering and PCA were performed by the R functions *hclust* using the complete linkage method and princomp, respectively [137]. The biplot visualization was carried out with the R package factoextra.

The chemical classes of ferroptosis modulators were determined by the ClassyFire algorithm [42].

All chemical structures have been drawn using the program CS ChemDraw Professional version 20.0.0.41 (PerkinElmer, Waltham, MA, USA).

Information on the ferroptosis-related activities of the selected natural and semisynthetic derivatives was gathered through a literature search.

### 7. Conclusions

In the review, we aimed to present the results of our in silico analysis of the collected structurally diverse representatives of ferroptosis modulators in terms of their structural and physicochemical/drug-likeness properties and to summarize in vitro and in vivo results observed mainly for the large subgroup of natural ferroptosis modulators plant (poly)phenols, primarily phenylpropanoids (Figure 7). Most polyphenols (at the micromolar range of concentrations) have antiferroptotic activity, which may contribute to their neuroprotective capacity. The efficient anticancer activity of typhaneoside, robustaflavone A, amentoflavone, and erianin (at  $\mu$ M levels) in vitro and in vivo is ascribed partly to their capacity to induce ferroptosis. However, the effects of polyphenols considerably depend upon their (micro)environment, for example, on the amount and type of free iron. Some polyphenols (apigenin, baicalin, resveratrol, curcumin) can have an inducing or inhibitory effect on ferroptosis depending on the cell type or composition of multifunctional nanoformulation. Such a dependence enables the construction of different kinds of multifunctional, nanoformulated drug delivery systems of active pharmacological ingredients, including polyphenols, which allow selective and specific induction of ferroptosis in pathological cancer cells.

# Phenylpropanoid ferroptosis modulators

# Ferroptosis induction for anticancer activity

Typhaneoside Robustaflavone A Amentoflavone Erianin Epunctanone Piperlongumine

# Dual action polyphenols

#### Apigenin Baicalin Resveratrol Curcumin

## Ferroptosis inhibition for neuroprotective activity

Galangin Kaempferol Naringenin Puerarin Quercetin Taxifolin Eriodictyol Balcalein Fisetin Gossypitrin Green tea catechins Butein Morachalcone A Isoliguiritigenin

**Figure 7.** Neuroprotective and anticancer activities of plant ferroptosis modulators from phenylpropanoid biochemical origin and represented in the review. In addition, the performed in silico comparison with approved drugs placed (poly)phenols in the same chemical space as around two-thirds of the drugs from the ATC groups D (dermatologicals), G (genito-urinary system and sex hormones), and H (systemic hormonal preparations, excluding sex hormones and insulins). This observation is in accordance with already performed studies on the anticancer activities of polyphenols on pancreatic, prostate, breast, bladder, and renal cancers and melanoma.

Our results can be used to quickly gain insight into the chemical scaffolds and druglike properties of ferroptosis inducers and inhibitors and to motivate relatively new, targeted use of polyphenols.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/10 .3390/molecules28020475/s1, Table S1: ClassyFire chemical description, simple molecular descriptors, and physicochemical parameters calculated for the set of 30 ferroptosis inducers, Table S2: ClassyFire chemical description, simple molecular descriptors, and physicochemical parameters calculated for the set of 48 ferroptosis inhibitors.

Author Contributions: Conceptualization, V.S. and M.K.-C.; methodology, V.S.; software, V.S.; formal analysis, V.S.; investigation, V.S. and M.K.-C.; writing—original draft preparation, V.S. and M.K.-C.; writing—review and editing, V.S. and M.K.-C.; visualization, M.K.-C. and V.S. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Croatian Ministry of Science and Education and the Ministry of Health of the Czech Republic, grant no. NU21-05-00482.

**Data Availability Statement:** The data used for analysis in this study are available in the Supplementary Material.

**Acknowledgments:** V.S. would like to acknowledge the use of the software provided by the project Bioprospecting of the Adriatic Sea (KK.01.1.1.01.0002).

**Conflicts of Interest:** The authors declare no conflict of interest.

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ISBN 978-3-0365-6730-3