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# Sports Nutrition

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Edited by  
David C. Nieman

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# **Sports Nutrition**



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Editor

**David C. Nieman**

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## About the Editor

### David C. Nieman

Dr. David C. Nieman is a professor in the Department of Biology, College of Arts and Sciences, at Appalachian State University, and director of the Human Performance Lab at the North Carolina Research Campus (NCRC) in Kannapolis, NC. Dr. Nieman is a pioneer in the research area of exercise and nutrition immunology, and helped establish that 1) regular moderate exercise lowers upper respiratory tract infection rates while improving immunosurveillance, 2) heavy exertion increases infection rates while causing transient changes in immune function, and 3) that carbohydrate and flavonoid ingestion by athletes attenuates exercise-induced inflammation.





Editorial

# Current and Novel Reviews in Sports Nutrition

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Sports nutrition is a rapidly expanding area of scientific investigation and is being driven by high interest from both the academic community and the exercising public [1]. Research into the discipline of sports nutrition is challenging. The interaction of exercise and nutrition is both complex and compelling, with an endless array of potential sports nutrition products, pathways, and hypotheses to be tested. Some proposed sports nutrition strategies and products are innovative while others are based on fanciful conjecture [2].

Research designs for sports nutrition-based studies need to adhere to the highest quality standards to determine efficacy [1,3]. Nutrition dosing regimens are always challenging and, unfortunately, these are often based more on educated guesses and marketing issues than careful science. Many sports nutrition products have blends of macro- and micro-nutrients and phytochemicals that have clinical backing for some of the individual ingredients but not the entire mixture. Few sports nutrition products have been tested for stability, absorption, disposition, metabolism, and excretion.

A “food first” approach for athletes is recommended by most sports nutrition professionals. The problem for some athletes, however, is that they are resistant to adopting dietary patterns that are consistent with published guidelines. For many people that exercise, a healthy dietary pattern is sufficient to supply the nutrients needed to support a healthy response to increased exercise levels [4]. High-level athletes may need extra help beyond the food supply to meet the nutrient demands of stressful exercise workloads, but this is still being debated [1]. Nonetheless, adaptations within a healthy dietary pattern may support both performance and health for even the athletes with the most demanding training programs. For example, recent studies support that fruit and water consumption can complement or even take the place of commercial sports beverages for those exercising intensely for long periods of time [5–7].

Sports performance can be measured in many different ways, and nutritional interventions are often evaluated as essential when performance is improved. Some sports nutrition products are targeted for outcomes that are not easily felt by the athlete, including lowered inflammation, immunosuppression, and oxidative stress, and enhanced metabolic recovery [8,9]. This type of benefit is not easily conveyed to the athletes or coach, or in particular, the exercising public.

Advances in measurement technologies are allowing hundreds of metabolites, proteins, lipids, and genes to be measured at one time, improving the capacity to provide accurate and practical guidelines for consumers. Nutrition and exercise have huge effects on nearly every system of the body, both acutely and chronically, and a human systems biology approach, although expensive, is needed to advance scientific understanding [10].

For this Special Issue, research leaders in sports nutrition were approached and invited to submit current reviews in their areas of expertise [11–21]. The topics are novel and wide-ranging, and include updates and insights on protein [11,12], dietary patterns and nutritional interventions to support sleep, older athletes, and sports performance [13–15], pre-exercise nutrition [16], supplementation with betaine, iron, and creatine [17–19], and sports nutrition research methodologies for body composition and muscle glycogen analysis [20,21]. A major emphasis in all of the papers was a focus on strengths and weaknesses for various sports nutrition strategies, and insights for future research.

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Kerksick et al. [11] defined the role that proper doses of plant proteins can have in supporting health, the environment, and exercise training adaptations. The systematic review and meta-analysis by Chapman et al. [12] concluded that protein supplementation improves strength and muscle mass during intensive and long-term training. Nutritional interventions, such as supplementation with tart cherry juice, kiwifruit, 20–40 grams of protein rich in tryptophan, and glycine late in the day were recommended as useful sleep-enhancement strategies for athletes in the narrative review by Gratwicke et al. [13]. Strasser et al. [14] focused on nutritional guidelines for older adults, including adequate energy and protein intake for countering losses in bone and muscle mass.

Five popular dietary patterns, including vegetarian diets, high-fat ketogenic diets, intermittent fasting diets, gluten-free diet, and low fermentable oligosaccharides, disaccharides, monosaccharides and polyols (FODMAP) diets were reviewed by Devrim-Lanpir [15]. This comprehensive review summarized both the beneficial and detrimental features of each of these diets on athletic performance. Pre-exercise nutrition is an important and current issue in sports nutrition, and Rothschild et al. [16] provided a detailed explanation of how the availability of endogenous and exogenous carbohydrate, fat, and protein before and during exercise can influence adaptations to endurance exercise.

Betaine (trimethylglycine) can be made in the body from choline or consumed in the diet from wheat bran and germ, spinach, and beets. Betaine is a methyl donor and helps regulate intracellular fluid concentrations and cell volume. Willingham et al. [17] argued that human clinical trials are needed to confirm whether or not betaine supplementation can improve safety and exercise performance in heat, as supported by animal studies.

Low carbohydrate and energy intake can negatively influence iron status in athletes and is often mediated by hepcidin expression. The comprehensive narrative review by McKay et al. [18] recommended that athletes shorten the duration of low carbohydrate training periods to minimize potential effects on hepcidin and iron regulation. Creatine is one of the most popular sports nutrition supplements on the market, and Arzi et al. [19] presented emerging evidence that creatine supplements may play a role in countering exercise-induced oxidative stress.

Kasper et al. [20] provided an excellent overview of body composition testing methodologies. This research group concluded that properly conducted skinfold measurements provide useful data and may be preferred over other methods because it is simple, low-cost, least affected by lifestyle confounders, and good for the long-term tracking of athletes. The measurement of muscle glycogen is important in sports nutrition studies, and Bone et al. [21] cautioned that high-frequency ultrasound technology for estimating muscle glycogen content needs further development.

This Special Issue on sports nutrition provided current updates in many core areas, with insights from leading experts for future research. Hopefully scientific understanding will be advanced as these ideas are converted into novel research designs and discoveries.

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Review

# The Validity of Ultrasound Technology in Providing an Indirect Estimate of Muscle Glycogen Concentrations Is Equivocal

Julia L. Bone <sup>1,2,\*</sup>, Megan L. Ross <sup>1</sup>, Kristylen A. Tomcik <sup>3</sup>, Nikki A. Jeacocke <sup>4</sup>, Alannah K. A. McKay <sup>1</sup> and Louise M. Burke <sup>1</sup>

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**Abstract:** Researchers and practitioners in sports nutrition would greatly benefit from a rapid, portable, and non-invasive technique to measure muscle glycogen, both in the laboratory and field. This explains the interest in MuscleSound<sup>®</sup>, the first commercial system to use high-frequency ultrasound technology and image analysis from patented cloud-based software to estimate muscle glycogen content from the echogenicity of the ultrasound image. This technique is based largely on muscle water content, which is presumed to act as a proxy for glycogen. Despite the promise of early validation studies, newer studies from independent groups reported discrepant results, with MuscleSound<sup>®</sup> scores failing to correlate with the glycogen content of biopsy-derived mixed muscle samples or to show the expected changes in muscle glycogen associated with various diet and exercise strategies. The explanation of issues related to the site of assessment do not account for these discrepancies, and there are substantial problems with the premise that the ratio of glycogen to water in the muscle is constant. Although further studies investigating this technique are warranted, current evidence that MuscleSound<sup>®</sup> technology can provide valid and actionable information around muscle glycogen stores is at best equivocal.

**Keywords:** carbohydrate loading; creatine loading; vastus lateralis; glycogen depletion

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## 1. Introduction

The determination of muscle glycogen content is of key interest in sports nutrition due to its roles as a fuel source in athletic performance and a regulator of muscle metabolism and adaptation [1–3]. A technique that could achieve reliable and valid measurements, while being inexpensive, portable, and non-invasive, would have an enormous potential for increasing knowledge and enhancing practice, particularly in work involving elite athletes and field conditions. Therefore, there is understandable excitement around the commercialisation of ultrasound technology aimed at measuring muscle glycogen in both laboratory and field situations [4,5]. MuscleSound<sup>®</sup> (Glendale, CO) uses high-frequency ultrasound technology and image analysis from patented cloud-based software to estimate muscle glycogen content from the echogenicity of the image; this feature is based largely on muscle water content, which is presumed to act as a proxy for glycogen [4–6]. It was used in research activities to describe changes in muscle glycogen in response to diet and exercise, as well as being a commercially available tool to guide the preparation and recovery of athletes. Extended applications of MuscleSound<sup>®</sup> were more recently proposed, including the monitoring of the glycogen status of critically ill patients in hospital intensive care units as a measure of metabolic health [7]. Furthermore, ultrasound techniques are

being developed as reliable and valid protocols to monitor subcutaneous fat in athletic populations [8]. Nevertheless, this review will focus on the use of MuscleSound® to assess muscle glycogen within sporting populations and scenarios.

Although validation studies have been published [4,5], incongruous experiences with the use of this tool [9] led us to express concerns about a report on glycogen utilisation during a high-level football match using MuscleSound® technology [10]. We questioned the interpretation of these findings, including acknowledgement of data from our own groups, which refute the validity of the MuscleSound® technique [11]. Rebuttal from the study authors [12], which include co-founders of the technique and commercial company, dismissed our concerns based on assertions that the dissenting studies failed to understand the basis of the use of their tool. Furthermore, they noted that only one contradictory dataset is available in the peer-reviewed literature, while the other study remained an unpublished conference presentation [12].

Given the many uses of muscle glycogen measurements in sports nutrition research (e.g., investigations of strategies to enhance glycogen storage and enhanced understanding of strategies to enhance post-exercise adaptation) and practice (e.g., guiding individual athletes to optimally fuel for competition or achieve different levels of carbohydrate ((CHO) availability for training goals), it is important to discern whether the MuscleSound® technique provides valuable and actionable information or whether it might contribute to misleading research outputs and unsupported training and dietary practices. The aim of this review is to examine the available literature on the use of this ultrasound technology to measure muscle glycogen concentrations in athletic populations. To allow a complete account, we will include the results of the unpublished project, while providing full transparency over these data and the cause of their absence from the literature. Such data are valuable given the small number of studies of muscle glycogen involving MuscleSound® in general, and the independent nature of our investigation. We note, in particular, that our study provides the only comparison between biopsy-derived glycogen measurements and the newer estimated fuel level score provided by the MuscleSound® technology. The estimated fuel level is described in a company “position stand” as the metric by which athletes can be given actionable feedback about the suitability of their diet and exercise practices [13]. Although the original technique, producing an estimate of muscle glycogen content in arbitrary units, was described in two validation studies [4,5], we are unaware of any published work that confirms the reliability and validity of the updated technique which, as described in company literature, is the information provided for real-life uses.

## 2. Brief Overview of Methods to Assess Muscle Glycogen

Muscle glycogen is an important fuel store for exercise, as well as a key regulator of metabolism within the muscle cell [2]. Indeed, the development of methods to measure its presence and location within the muscle cell provided the first major advances in the science and practice of sports nutrition [14]. The first measurements of muscle glycogen were made possible by the introduction of the percutaneous biopsy technique to sports science in the late 1960s [15–17]. Subsequent modification of the technique included the addition of suction to increase the size of the sample collected [18] and movement of the location of the muscle site by 2 cm for subsequent biopsies to avoid the artefact of damage from the first [19]. This protocol is still used today, and is considered the “gold standard” for assessment of muscle glycogen stores, while acknowledging the invasiveness of the procedure and its downstream limitations on the subjects and environments in which it might be safely and logistically performed. A muscle sample collected by biopsy (typically 20–200 mg according to the size and type of needle) can be treated with several enzymic, histochemical, or electron microscopy procedures to determine the average glycogen content of mixed muscle, the specific glycogen content of fibre types, or the sub cellular location of glycogen, respectively [20–22]. In particular, validation studies showed that glycogen measurements from a homogenated muscle sample, freeze-dried to remove variables such as the presence of connective tissue and fluctuating cell water

content, provides a reliable measure of the glycogen content of the whole muscle under study [23].

Notwithstanding the utility of the biopsy technique in allowing an in-depth analysis of glycogen location within and between different muscle fibres, as well as enabling measurement of a vast host of other muscle metabolites, signalling molecules, and other “omic” interests, there is recognition that other techniques are needed to address the practical and ethical concerns associated with its use. The addition of magnetic resonance spectroscopy (MRS) to magnetic resonance imaging, and the increase in the power of the magnetic fields generated by such equipment, has allowed MRS to become an additional tool to indirectly assess muscle metabolites and fuel stores. This technique uses magnetic fields and radio waves to measure tissue glycogen by monitoring either  $^{13}\text{C}$  natural abundance levels, or  $^{13}\text{C}$  atoms incorporated into glycogen following the administration of a  $^{13}\text{C}$  substrate [20]. MRS techniques were shown to provide a reliable assessment of muscle glycogen in healthy and clinical populations [24] and have been applied to assessments of glycogen utilisation or storage in athletic populations [25,26]. Although MRS offers the advantages of providing rapid, non-invasive, and potentially repeated measurements of glycogen in various tissues, its disadvantages include the expense and the need to access specialised facilities and expert technicians.

### 3. MuscleSound<sup>®</sup> Measurements of Muscle Glycogen

MuscleSound<sup>®</sup> is a commercially available tool which uses high-frequency ultrasound and patented software to derive an assessment of muscle glycogen. This technique, utilising a point of care device and cloud-based software, offers features that address the disadvantages of both biopsy and MRS-derived assessments of muscle glycogen; namely, it is a protocol that is non-invasive, relatively cheap, rapid, and portable. The original development of MuscleSound<sup>®</sup> was undertaken by researcher-practitioners who noted apparent correlations between ultrasound images and muscle glycogen content. The image greyscale produced on an ultrasound scan is based on the intensity of the ultrasound “echogenicity”, or reflection of an ultrasound beam, with the beam being both produced and detected by the transducer forming the ultrasound image [27]. Ultrasound beams are reflected at the boundary between two materials with different acoustic impedances, with strong reflections showing as white on the ultrasound image and weaker echoes being grey [27]. Proprietary information within the MuscleSound<sup>®</sup> software aligns a darker image with greater glycogen stores using the principal that greater glycogen, and its associated water content in the muscle fibre, should reflect the lower echo intensity between soft tissue and water [5,27]. Conversely, in instances when glycogen is low and there is less fluid, the echo intensity is greater due to the increased visibility of other tissue boundaries, thus resulting in a brighter image [5,27].

The MuscleSound<sup>®</sup> technique, validated in two studies against biopsy-derived measures of muscle glycogen [4,5], produces a glycogen score in arbitrary units (hereafter identified as a.u.), where values are provided in bands of 5, between 0 and 100, with an unknown typical error. However, the assumed relationship between muscle glycogen and water was noted as a technical issue requiring further investigation in one of these foundation studies [5]. Indeed, according to an undated company position stand on the science and application of MuscleSound<sup>®</sup> located on the company website [13], further developments of the technique recognised scenarios in which muscle glycogen and water deviated from this relationship, and they provided recommendations for situations in which the use of MuscleSound<sup>®</sup> is considered optimal and those that are considered to be sub-optimal (see Section 6.1). According to this position stand, the current output from the MuscleSound<sup>®</sup> proprietary software provides a muscle energy status, representing the mean of an estimated fuel level and a muscle fuel rating. The company material describes muscle fuel as predominantly glycogen, with contributions from carnitine, creatine, and protein. The estimated fuel level is determined by “placing an image in context of the maximum (100) and minimum (0) points of glycogen obtained from a bank of images



captured for a specific participant”, with the muscle fuel rating providing a separate rating compared to a large databank of images from many athletes [13]. We are unaware of any published validation studies of these new metrics, although the company literature promotes these values for field use in providing athletes with feedback about changes in muscle glycogen resulting from their diet and exercise strategies [13].

#### 4. The Bone Study of MuscleSound®

In 2014, we became aware of the newly launched MuscleSound® tool and realised both its potential to enhance our work as sports nutrition practitioners and applied researchers, and the opportunity to test its reliability and validity as an additional arm within a pre-existing project investigating interactions between manipulations of muscle glycogen and creatine content [28]. Although the main aim of the study was to investigate the effect of creatine and glycogen loading on cycling performance, we embedded a research arm to investigate artefacts in the measurement of lean mass by dual energy X-ray absorptiometry due to changes in muscle water content associated with changes in muscle creatine, glycogen, and water content [29]. We invited the MuscleSound® group to use this opportunity to further test their technology in scenarios that are very common in sports, but outside the conditions under which their own validation studies were conducted [4,5]. They were not involved in the study design, funding, or conduct; rather, we funded their visit to Australia to train us in the use of their technique. Although we had intended to use our own ultrasound equipment to capture images, IT security requirements at our workplace prevented us from uploading images to a cloud-based server. Therefore, MuscleSound® loaned us an ultrasound machine and provided complimentary results of muscle glycogen estimates derived from their proprietary software, using the original algorithm, for the duration of the study. A contract was signed to oversee return of the equipment and, on completion of the study, the contribution of our data towards further development of the proprietary algorithm. Following data collection, the equipment was returned, and a poster presentation was prepared for the 2016 annual meeting of the American College of Sports Medicine (ACSM).

In the days prior to the ACSM meeting, we received a directive from a legal firm engaged by MuscleSound® to withdraw the poster from the conference. Although we complied, an electronic version of the abstract was included in a review of methods to assess muscle glycogen in sports nutrition activities without our involvement [20]. To find a path to our ethical obligation to be transparent with all research outcomes via peer-reviewed publication, we subsequently agreed to allow the company to re-analyse the scans from our study using an updated algorithm and interpretation framework. However, recognising the commercial sensitivity of the two datasets, we decided to delay publication until other studies of the validity of the technique, undertaken by independent research groups, but partially funded by MuscleSound® [9], were released. Although the main results of the current study, that MuscleSound® failed to provide valid estimates of muscle glycogen, are no longer original, the additional range of scenarios that we have studied (supervised CHO loading, prolonged exercise depletion, and the addition of creatine loading) present novel outcomes. Furthermore, they include the only direct comparison of biopsy-derived glycogen assessments and the MuscleSound® estimated fuel level score, which is promoted in company material [13] as a commercially available use of this tool to provide actionable information to athletes about the suitability of their diet and exercise activities. Once published, we will provide the original data to the MuscleSound® company.

##### 4.1. Overview of Study Methods

Twelve competitive male cyclists participated in this study, which was approved by the human research ethics committees of the Australian Institute of Sport (20140612) and the Australian Catholic University (2014 254N). These subjects ( $32.6 \pm 5.1$  years;  $79.2 \pm 9.5$  kg;  $5.1 \pm 0.6$  L/min maximum oxygen consumption, and  $639 \pm 115$  W maximum power output) represented a sub-group of a larger cohort who undertook the main project under

which this study was performed [28]. This study employed a parallel group design to investigate the effect of creatine loading, followed by a within-group cross-over application of carbohydrate loading on muscle substrate, water content, and performance (Figure 1). The participants came in for four separate biopsy and ultrasound measurements; baseline (day 0, 6 g carbohydrate/kg body mass (BM)/day for 48 h), glycogen depleted (day 1) and either glycogen loaded or glycogen normal (6 g carbohydrate /kg BM/d for 48 h) with or without creatine supplementation (days 7 and 14). Manipulations of creatine and glycogen stores were achieved by implementing “best practice protocols” of creatine loading (20 g/day for 5 days loading and 3 g/day for maintenance) [30] and glycogen loading (12 g CHO/kg BM/day for 48 h) [31] through a standardised pre-packaged diet. Furthermore, a supervised cycling protocol of ~3.5 h was undertaken to deplete muscle glycogen stores. The cycling protocol involved a 120 km time trial, with alternating 1 km and 4 km sprints every 10 km, followed by a ride to exhaustion at 8% gradient and 88% VO<sub>2</sub>max; further details can be found in [28]. Participants consumed 60 g/h CHO during the cycling protocol with post-exercise intake of a low CHO diet (<1 g CHO/kg BM) to restrict the repletion of glycogen stores before a further assessment of muscle glycogen content the following morning. This protocol was chosen to allow us to align our assessment of the depleted glycogen condition with DXA-estimates of body composition assessed according to best practice protocols (overnight fasted and rested conditions [32]). This study design provided situations where muscle glycogen was measured under baseline and normalised conditions, a depleted condition, and CHO loaded with or without creatine loading. Four biopsies were conducted over the course of the study, with each being collected from the same leg from an incision that was at least 2 cm from the previously biopsied site [19] [Figure 1: Bx<sub>1</sub>–Bx<sub>4</sub>]. The protocol used for these biopsies, and the determination of glycogen and creatine content in the muscle samples, is described in full elsewhere [28].

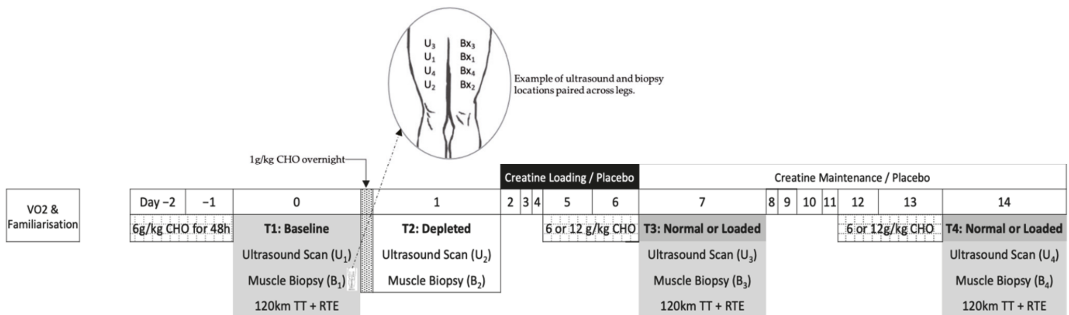


Figure 1. Overview of study design. (TT, time trial; RTE, ride to exhaustion; ultrasound; and Bx, biopsy).

#### 4.2. MuscleSound® Score (2015)

Two of the researchers involved with this study were trained to capture ultrasound images on the vastus lateralis using a portable ultrasound machine. They practised this technique to achieve acceptable reliability with repeat images and were assigned to the study roster so that each participant was scanned by a single technician over the duration of their study involvement. Thereafter, on each occasion that a muscle biopsy was performed, ultrasound images were captured using the same machine (Terason T3000, TeraTech Corporation, Burlington, MA, USA) and by the same technician. Five ultrasound images were captured at each time-point on the vastus lateralis of the contra-lateral leg, tracking the location of the specific incision on the biopsied leg (Figure 1: U<sub>1</sub>–U<sub>4</sub>). A further five images were captured on the contra-lateral leg at the site of the original (baseline) assessment (Figure 1: U<sub>1</sub>). The protocol followed MuscleSound® guidelines with images captured on the transverse plane at a depth of 4 cm and a gain of 45 with the muscle relaxed. The

transducer head was manipulated to achieve a bright fascia, which defined the muscle boundary for the region of interest. Images were then uploaded to the MuscleSound® software (v.2015, MuscleSound®, LLC, Denver, CO, USA) and processed according to their proprietary protocols. The MuscleSound® score (0–100) was subsequently provided in arbitrary units (a.u.), noting that such scores were provided in bands of 5 a.u. [5]. A single score used in the statistical analysis was obtained by averaging the score from each of the five images at each site.

#### 4.3. Estimated Fuel Level (2017)

The ultrasound images were re-analysed by MuscleSound® using an updated protocol titled “estimated fuel level”. Details of this proprietary process were not published, but are described as “placing an image in context of the maximum (100) and minimum (0) points of glycogen obtained from a bank of images captured for a specific participant [13]”. We have described these values as “points” to distinguish them from the original metrics (described as a.u.).

#### 4.4. Statistical Analysis

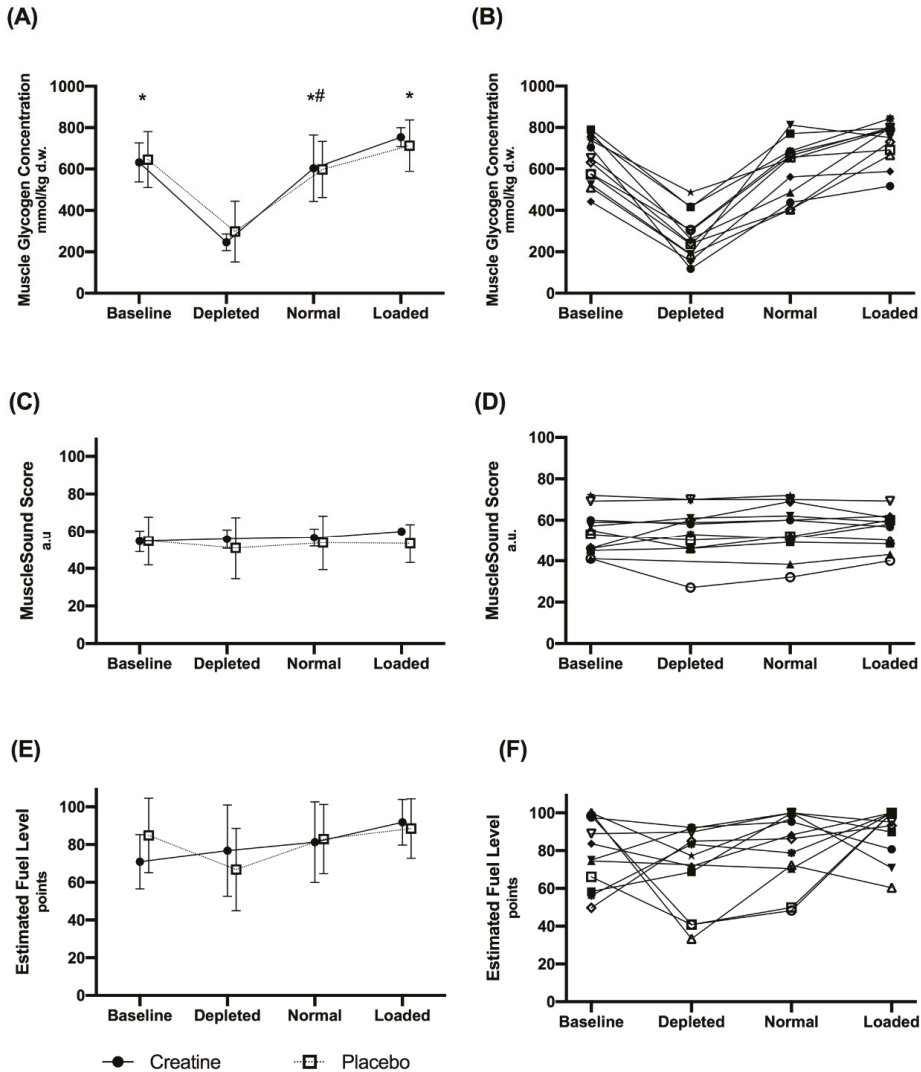
Agreements between biopsy and MuscleSound® estimated fuel level scores were assessed by 95% intra-class correlation based on a one-way, consistency model [33]. Pearson’s correlations were used to determine the association between site-specific measurements. The muscle glycogen concentration across the different states was assessed using a general linear mixed model (LMM) using the R package lme4 (R Foundation for Statistical Computing, Vienna, Austria). All models included a random intercept for subject to adjust for baseline levels and inter-individual homogeneity. Additionally, creatine dry weight was included as a covariate in all models. Each model was estimated using restricted maximum likelihood, with the tests for statistical significance of the fixed effects performed using type II Wald tests with Kenward–Roger degrees of freedom. Where significant fixed effects were evident, Tukey’s post hoc comparisons were performed to detect specific condition differences.

#### 4.5. Results

The effects of the different dietary treatments on biopsy-derived muscle glycogen concentrations, MuscleSound® scores and estimated fuel level points, and the influence of placebo and creatine supplementation are summarised in Figure 2. These data represent measurements taken on one leg using the established protocol to site sequential muscle biopsies ( $B_1$ – $B_4$ ), with the MuscleSound® score being taken on the contra-lateral leg at the corresponding site ( $U_1$ – $U_4$ ). There was a significant main effect for the different dietary treatments on biopsy-derived muscle glycogen concentrations ( $F(3,29) = 61.2$ ,  $p < 0.001$ ). Values of biopsy-derived glycogen concentrations for glycogen depletion were lower than baseline, CHO loaded, and normal conditions ( $p < 0.001$ ), while values for carbohydrate loading were significantly greater than normal ( $p = 0.013$ ). Since there were no differences in muscle glycogen between the creatine and placebo groups ( $F(1,10) = 0.1$ ;  $p = 0.760$ ), a combined mean value for the results for each treatment was derived. Nevertheless, creatine dry weight was a significant variable within the model, indicating that higher creatine dry weight values were associated with increased muscle glycogen content ( $F(1,33) = 8.6$ ;  $p = 0.006$ ).

There were no differences in MuscleSound® scores between dietary treatments ( $F(3,27) = 1.1$ ;  $p = 0.384$ ) or between the placebo and creatine groups ( $F(1,10) = 0.3$ ;  $p = 0.627$ ). Furthermore, no statistically significant differences between dietary treatments ( $F(3,28) = 1.1$ ;  $p = 0.352$ ) or between the placebo and creatine groups ( $F(1,10) = 0.2$ ;  $p = 0.701$ ) were evident for the estimated fuel level points. Finally, creatine dry weight was not associated with either MuscleSound® ( $F(1,28) = 2.04$ ;  $p = 0.165$ ) or estimated fuel level points ( $F(1,37) = 3.07$ ;  $p = 0.088$ ). An ICC of  $-0.75$  (95% CI  $-0.85$ ,  $-0.59$ ) was apparent in the relationship between biopsy-derived muscle glycogen content and MuscleSound® scores, with a similarly

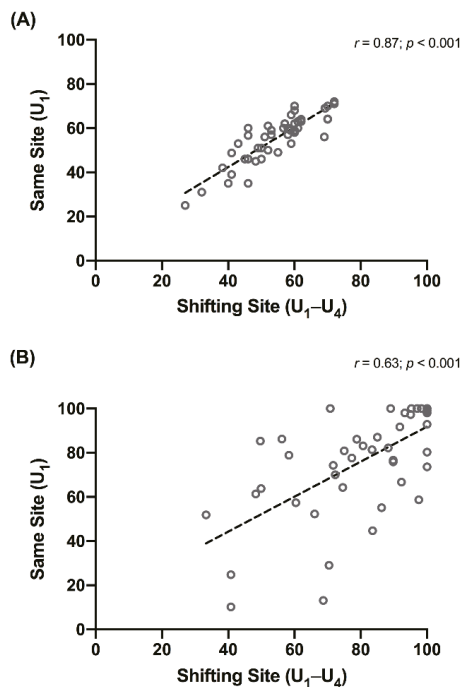
unclear relationship between biopsy-derived muscle glycogen content and estimated fuel level points (ICC of  $-0.72$  (95% CI  $-0.83, -0.55$ )). The estimated fuel level points were quantitatively higher than the MuscleSound<sup>®</sup> score, reflecting an amplification of the original values from an absolute value to a relative range.



**Figure 2.** Biopsy-derived muscle glycogen concentrations (A,B) MuscleSound<sup>®</sup> scores in arbitrary units (C,D) and estimated fuel level points (E,F) for the creatine and placebo conditions during each dietary treatment: baseline, glycogen depleted, normal and carbohydrate loaded. Values are mean  $\pm$  SD in panels A, C and E with individual data shown in panels B, D and F. \* Indicates a significant difference to depleted. # Indicates a significant difference to loaded.

There were no differences between the MuscleSound<sup>®</sup> score values collected from the site on the contra-lateral leg corresponding to the biopsy site ( $U_1-U_4$ ) and the measurements taken on a static site of the leg ( $U_1$ ) for each treatment. Indeed, there was a significant correlation ( $r = 0.87$  (95% CI:  $0.78-0.93$ );  $p < 0.001$ ) between the values from the two

different sites (Figure 3A). Likewise, there were no between-site differences in the values of estimated fuel level points for each treatment, but the correlation between these values was lower (Figure 3B; ( $r = 0.63$  (0.42–0.78);  $p < 0.001$ )). A separate examination of the results of MuscleSound<sup>®</sup> scores taken at the same site ( $U_1$ ) showed a small but significant ( $p = 0.024$ ) difference between depleted ( $53 \pm 13$  a.u.) and loaded treatments ( $57 \pm 10$  a.u.). However, these did not differ from baseline ( $56 \pm 3$  a.u.) or normal ( $57 \pm 12$  a.u.) values. Furthermore, the numerical difference between the mean values was smaller than the gradation (bands of 5 a.u.) between sequential results. The estimated fuel level points mirrored these outcomes with an increased spread in both the mean values and SD. Differences were detected between depleted ( $59 \pm 30$ ) and loaded ( $91 \pm 14$ ) treatments ( $p = 0.026$ ), but neither of these differed from the baseline ( $78 \pm 19$ ) or normal ( $77 \pm 22$ ) values.



**Figure 3.** Correlation between values for MuscleSound<sup>®</sup> score (A) and the estimated fuel level (B) measured at the same site ( $U_1$ ) and at the shifting site ( $U_1-U_4$ ) corresponding to the muscle biopsy.

### 5. The Literature Involving MuscleSound<sup>®</sup> Assessment of Muscle Glycogen

A summary of the available literature in which MuscleSound<sup>®</sup> technology was used to assess changes in muscle glycogen content resulting from dietary and exercise interventions is provided in Table 1. This includes the validation studies, which originally introduced the use of MuscleSound<sup>®</sup> as a proxy for biopsy-derived measures of muscle glycogen [4,5], two other data sets in which muscle glycogen content and its changes were assessed by ultrasound and chemical protocols [9], the Bone data presented here, and a recently published study in which MuscleSound<sup>®</sup> alone was used to assess changes in muscle glycogen content over an exercise session [10]. A final paper, involving the use of the newer estimated fuel level metric, was not included in this table due to differences in its focus and methodology, but it is included in the discussions.

**Table 1.** Studies involving ultrasound (MuscleSound®) measurements of muscle glycogen, including comparison to biopsy-derived chemical assessments of glycogen.

	Hill & San-Millan 2014	Nieman et al., 2015	Routledge et al., 2019a	Routledge et al., 2019b	San-Millan et al., 2020	Bone et al., 2020
Study population	22 M cyclists (competitive: professional and amateur: category 1–4)	20 M cyclists (regular competitors in road and TT; VO <sub>2</sub> max: 47.9 ± 7.8 mL/kg/min)	14 M rugby league players (professional)	16 M recreationally active; VO <sub>2</sub> max 49.9 ± 7.5 mL/kg/min	9 M soccer players: (professional: U.S. major soccer league)	12 M cyclists/triathletes (well-trained; VO <sub>2</sub> max 64.5 ± 7.6 mL/kg/min)
Scenarios of glycogen measurements	<ul style="list-style-type: none"> <li>• Pre-exercise: CHO loaded</li> <li>• Post exercise depletion (endurance cycling)</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-exercise: normalised glycogen?</li> <li>• Post exercise depletion (endurance cycling)</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-exercise: normalised glycogen?</li> <li>• Post-exercise depletion (field: team sport)</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-exercise: normalised glycogen</li> <li>• Post-exercise: substantial depletion (field: CHO loaded)</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-exercise: normalised glycogen?</li> <li>• Post exercise depletion (field: team sport)</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-exercise: normalised CHO</li> <li>• Pre-exercise: maximally CHO loaded</li> <li>• Pre-exercise: normalised CHO + creatine loaded</li> <li>• Pre-exercise: CHO loaded and creatine loaded</li> <li>• Post-exercise: substantial deletion</li> </ul>
Dietary protocols (CHO intake)	<p>Glycogen preparation: "optimized" via 3 days @ 8 g/kg</p> <p>Pre-exercise meal: NA</p> <p>During exercise: NA (self-managed with instructions)</p>	<p>Glycogen preparation: NA</p> <p>Pre-exercise meal: NA</p> <p>During exercise: water only</p>	<p>Glycogen preparation: NA</p> <p>Pre-exercise: NA</p> <p>During exercise: water only</p>	<p>Supervised exercise depletion followed by either 36 h of low CHO (2 g/kg) or high CHO (8 g/kg)</p>	<p>Glycogen preparation: "24 h team nutrition protocols"; pre-exercise meal: "team nutrition"; during exercise: 40 g at warm up and 65 g at half time</p>	<p>Glycogen preparation: Normalised: 48 h @ 6 g/kg</p> <p>CHO loaded: 48 h @ 12 g/kg</p> <p>Pre-exercise meal: 2 g/kg</p> <p>During exercise: 60 g/h</p> <p>Depletion: 18 h @ 1 g/kg</p>
Exercise protocol	90 min cycling on lode ergometer at "moderate-high intensity eliciting CHO oxidation rates of 2–3 g/min."	75 km (~168 min) TT on own bike mounted on ergometer	80 min rugby league match	Glycogen depletion cycling protocol: 90%/50% PPO and 80%/60% PPO until exhaustion (* low CHO trial: extra 45 min at 60% PPO).	90 min soccer match.	120 km TT (alternating 1 and 4 km sprints every 10 km) + TTE on cycle at 8% gradient and 88% VO <sub>2</sub> max.
Timing of glycogen measurements	<p>Baseline: immediately before exercise</p> <p>Post-exercise: NA</p>	<p>Baseline: NA</p> <p>Post-exercise: within 20–30 min</p>	<p>Baseline: 60 min pre-match.</p> <p>Post-exercise: within 40 min.</p>	<p>Post-exercise: NA</p> <p>Depleted: 36 h after exercise + low CHO</p> <p>CHO loaded: 36 h after exercise + high CHO</p>	<p>Baseline: 10 min before warm-up.</p> <p>Post-exercise: within 5–10 min</p>	<p>Pre-exercise: 2 h prior to exercise (e.g., before pre-exercise meal)</p> <p>Depleted ~18 h post exercise + low CHO</p>

Table 1. Contd.

	Hill & San-Millan 2014	Nieman et al., 2015	Routledge et al., 2019a	Routledge et al., 2019b	San-Millan et al., 2020	Bone et al., 2020
Muscle assessed	Rectus Femoris (U and Bx) U on Vastus lateralis: (data not provided)	Vastus lateralis Rectus Femoris	Vastus lateralis	Vastus lateralis	Rectus femoris (U only)	Vastus lateralis
Muscle state	Contracted	Not advised	Relaxed	Relaxed	NA	Relaxed
Muscle biopsy location	Baseline Bx on right leg. Post-exercise Bx on left leg. Mid-point between ASIS to superior patellar. Same location as ultrasound	Baseline and post-exercise Bx on same leg, 2 cm apart Same location as ultrasound	Baseline and post-exercise Bx on same leg 2 cm apart	Bx for low and high CHO dietary conditions on same leg 1–2 cm apart	Nil	Bx on same leg. Mid-point between ASIS and anterior superior aspect of patella. Four sites 2 cm apart (see Figure 1)
Ultrasound location	Same leg as Bx. Baseline U on right leg. Post-exercise U on left leg	Same leg as Bx	Same leg as Bx. 50% of length and width of VL determined by U	Same leg and same site as Bx. 50% of length and width of VL determined by U	NA—same leg used for pre- and post-U scans?	U on contralateral leg (1) at corresponding location to muscle biopsy (at each of 4 sites—Figure 1)
Ultrasound Scan protocol	NA	Mean of 3 scans	NA	NA	Mean of 2 scans	Mean of 5 scans
Glycogen data *	Bx: Glycogen (mmol/kg dw) reduced from 416 ± 146 to 267 ± 98 by exercise ( $p < 0.0001$ ). U: MuscleSound® score (a.u.) reduced from 59.8 ± 15.9 to 39.8 ± 13.9 post-exercise ( $p < 0.0001$ )	Bx: Glycogen (mmol/kg dw) showed mean change of 306 ± 99 * due to exercise ( $-407$ to 101) ( $p < 0.001$ ). U: baseline and post-exercise glycogen score data not provided	Bx: Glycogen (mmol/kg dw) reduced from 65 to 271 ± 94 ( $p < 0.0001$ ) by exercise. U: no change in MuscleSound® score from baseline (47 ± 6 a.u.) to post-exercise (49 ± 8 a.u.; $p = 0.4$ )	Bx: Glycogen (mmol/kg dw) with high dietary CHO: 531 ± 129 vs. low dietary intake: 252 ± 64 ( $p < 0.001$ ). U: MuscleSound® score (a.u.) with high dietary CHO: 56 ± 7 vs. low dietary CHO intake: 54 ± 6 ( $p = 0.3$ )	Bx: No biopsy conducted. U: MuscleSound® score (“points”) decreased from 80 ± 8.6 to 63.9 ± 10.2. ( $p = 0.005$ )	Bx: Glycogen (mmol/kg dw) reduced from 639 ± 115 to 276 ± 115 with depletion and increased with CHO loading to: 730 ± 98 ( $p < 0.05$ ) U: MuscleSound® score (a.u.): 55 ± 10 (baseline); 52 ± 13 (depletion) and 56 ± 8 (CHO loaded), NS. U: EFL (points): 79 ± 18 (baseline); 70 ± 22 (depletion) and 90 ± 14 points (CHO loading), NS.

M, male; a.u., arbitrary units; Bx, biopsy; U, Ultrasound scan; ASIS, anterior superior iliac spine; @, at; CHO, carbohydrates; VO<sub>2</sub>max, maximal oxygen capacity; NA, not available; PPO, peak power output; NS, not significant; and EFL, estimated fuel level. \* All biopsy-derived glycogen values presented as mmol/kg dry weight (dw), with conversion from mmol/L wet weight (ww) involving multiplication by 4.28 [34].

Although laboratory-based cycling protocols represent the most frequently investigated mode of exercise, several studies have included real-world competition involving field-based team sports (see Table 1). Dietary manipulations include low, moderate, and high CHO intakes, as well as creatine loading. Muscle and body water content, although not directly measured in any of these studies, is likely to be altered by the acute effects of exercise as well as exercise-associated dehydration. Although vastus lateralis was the muscle investigated in the majority of studies, differences in study protocols around the MuscleSound<sup>®</sup> assessment included muscle tension (relaxed vs. contracted), whether the same or contra-lateral leg was used between or within glycogen-assessment protocols, whether the scan was meant to represent the same or a related muscle site, and how many scans were used to derive the MuscleSound<sup>®</sup> outcome.

The first two publications involving MuscleSound<sup>®</sup> were designed to directly validate its use for indirect assessment of muscle glycogen concentrations, measuring glycogen content before and after a 90 min steady-state [4] or ~158 min time-trial cycling protocol [5] at the same or a similar site in the chosen muscle. In both studies, the ultrasound scan and subsequent biopsy were undertaken at the same site, with the ultrasound being conducted first, followed by the collection of the biopsy, guided by the ultra-sound. In the first study [4], one leg was used for the pre-exercise assessment, while the contra-lateral leg was used in the same manner for the post-exercise assessment to avoid the effect of the muscle biopsy on subsequent glycogen storage at that muscle site [19]. In the second study, the same leg was used for both assessments, but the second biopsy was taken at a site 2 cm from the first; this is sufficient to avoid the effects of such muscle damage on glycogen content, at least by the biopsy technique [19]. With the longer cycling protocol, Nieman et al. reported significant correlations between the two measurement techniques for pre- (0.92,  $p < 0.001$ ), post- (0.90,  $p < 0.001$ ), and exercise-associated changes (0.92,  $p < 0.001$ ) in glycogen concentrations in the vastus lateralis muscle [5]. Here, the chemical method showed a reduction in muscle glycogen content by  $77 \pm 17\%$ , representing an absolute change of ~71 mmol/kg ww (~306 mmol/kg dw) glycogen; the absolute scores on the MuscleSound<sup>®</sup> 0–100 a.u. rating were not provided [5]. These data represent a more practical and representative examination of glycogen utilisation during a prolonged endurance sport than the earlier study of Hill and San Millan [4], which employed a 90 min cycling protocol and biopsy collection from the infrequently studied rectus femoris muscle. Indeed, in the earlier study, absolute glycogen values achieved by the dietary preparation protocol and their subsequent utilisation during exercise were lower, with muscle glycogen being reduced by 36% according to chemical analysis and a MuscleSound<sup>®</sup> change score of ~60 to ~40 a.u. (33% decrease). Nevertheless, correlations between the chemical and ultrasound-mediated assessments of muscle glycogen concentration had pre- (0.92,  $p < 0.001$ ), post- (0.90,  $p < 0.001$ ), and exercise-associated changes (0.92,  $p < 0.001$ ) [4].

In contrast to these earlier reports, an investigation of two separate exercise scenarios by another research group failed to find consistency between the MuscleSound<sup>®</sup> scores and biopsy-derived assessments of muscle glycogen changes due to exercise and diet [9]. In these studies, which involved cycling and a rugby league match, measurements were made on the same leg, with the biopsy sites 2 cm apart [9]. Although the muscle biopsy protocol identified a ~40% reduction in glycogen content as a result of match play in a real-world rugby league competition (pre-game:  $443 \pm 65$  and post-game:  $271 \pm 94$  mmol/kg dry weight (dw),  $p < 0.001$ ), there were no changes in the MuscleSound<sup>®</sup> scores ( $47 \pm 6$  vs.  $49 \pm 7$ ,  $p = 0.4$ ).

A separate study, involving a cycling protocol, was undertaken to remove any potential confounding effects associated with the characteristics of rugby play (i.e., intermittent nature and the magnitude of the muscle contractile forces) that might interfere with the ultrasound image and explain the discrepant results. This second investigation involved an exercise-depletion protocol after which either a low carbohydrate diet or a carbohydrate loading regimen was implemented for 36 h [9]. Although biopsy-derived muscle glycogen concentrations after the carbohydrate loading diet were more than doubled in



comparison to 36 h of low carbohydrate recovery (~531 vs. 252 mmol/kg dw, Table 1), there were no differences ( $p = 0.9$ ) in corresponding MuscleSound<sup>®</sup> scores ( $56 \pm 7$  vs.  $54 \pm 6$  a.u.). In summary, two separate studies of different types of exercise failed to find significant correlations between changes in muscle glycogen concentration and changes in MuscleSound<sup>®</sup> scores, and, in both protocols, the ultrasound results failed to detect what could be considered predictable changes in glycogen stores.

The results of the Bone study, presented in this paper, are in agreement with the latter two datasets in finding that the MuscleSound<sup>®</sup> technique failed to provide meaningful information about muscle glycogen concentrations in athletes. The mean values for muscle glycogen derived from chemical analysis of mixed muscle samples showed larger ranges than reported in the comparative literature, with pre-exercise values after a glycogen loading technique of ~730 mmol/kg wet weight (ww) and a post-exercise reduction of ~364 mmol/kg ww. These values reflect the more aggressive CHO loading regimen and the demanding nature of the exercise protocol. Despite a greater opportunity to detect differences in muscle glycogen, we found that the original MuscleSound<sup>®</sup> technique generally failed to track the results achieved by chemical analysis of mixed muscle biopsy samples across a range of diet and exercise manipulations, and failed to show the expected significant changes in glycogen concentrations. Individual data showed a range of responses, both in magnitude and direction, in response to each treatment (Figure 2). The only MuscleSound<sup>®</sup> comparison that yielded a statistically significant difference involved measurements taken from the same site between the depleted and loaded treatments. However, in the case of the original scoring system, the difference was numerically small ( $53 \pm 13$  vs.  $57 \pm 10$  a.u.) and was less than the band (5 a.u.) by which results were provided, rendering it of minimal clinical value. Furthermore, this analysis failed to detect differences between the normal glycogen stores and treatments that either increased or decreased these. The estimated fuel level, an updated MuscleSound<sup>®</sup> metric representing results relative to the lowest and highest scores for the individual athlete, mirrored these results. Although this metric amplified the numerical value of the original score results, and created a greater difference between the mean values, it also increased the range of the results. Therefore, it failed to change the ability of the protocol to detect differences between most treatments.

Two additional publications, which involved the use of MuscleSound<sup>®</sup> to investigate changes in muscle glycogen in scenarios of real-life sports without alternative confirmation of glycogen stores, are available. One study [10] involved an investigation of changes in muscle glycogen during a football (soccer) match in a professional American league (Table 1). Players followed their typical nutrition practices before and during the match, while the MuscleSound<sup>®</sup> technique was used to assess glycogen stores pre- and post-game. From the methodology described in the paper, we assumed this protocol involved the traditional MuscleSound<sup>®</sup> score technique, albeit with results presented as “points”, rather than the new metrics described in the company’s position stand [13]. There was no confirmation of these results with an independent chemical measurement of glycogen, nor was the hydration status of the players measured before or after the match. Nevertheless, the study reported a mean decline in MuscleSound<sup>®</sup> glycogen scores of 20% over the course of the match, with inter-individual ranges of 6% to 44%, and some variability in the size of the pre-game stores. As predicted, but not verified by information on individual workload characteristics of the specific game, the decline in muscle glycogen points was numerically greater in midfield and forward players than defence players, and was lowest in the goal keeper. Although these results appear unremarkable, the authors suggested that the protocol identified players who had not adequately fuelled prior to the game, as well as players who might undertake more aggressive fuelling strategies during the game. Here, we note that if within-game fuelling provides an additional exogenous fuel source as glycogen stores become depleted, rather than substantially changing patterns of glycogen depletion during the match, the pre- and post-measurement of glycogen by any technique may provide confusing results.

The final publication involved the use of MuscleSound<sup>®</sup> to monitor resting levels of glycogen in U.S. Division 1 collegiate female volleyball players on each morning of a 9 day pre-season training camp [35]. The MuscleSound<sup>®</sup> information was provided in the form of muscle fuel rating, which, as previously noted, remains unvalidated in a peer-reviewed published format. This investigation focused on bilateral asymmetries in the glycogen stores in the rectus femoris in these athletes prior to each morning's training session. The study reported an increase in muscle fuel ratings from the first to second day, with a sustained elevation over the rest of the camp and a 58% difference (higher level) between ratings for the dominant versus non-dominant leg. Although the temporal changes did not track with the training load over the camp (higher in the first days), the authors noted that no dietary control or assessment was implemented. The difference in fuel ratings between legs was attributed to faster rates of glycogen storage in "the more conditioned" dominant leg. Although endurance-trained muscle is known to have higher resting glycogen stores than non-trained muscle (e.g., 500 vs. 350 mmol/kg dw [36]), it is difficult to imagine that the magnitude of difference between legs within the same well-trained athlete would be as large as reported, albeit with a different assessment metric (muscle fuel rating of 52 vs. 33 points). The authors suggested that bilateral asymmetries in glycogen content in volleyball athletes might be used to assess for injury risk, noting that large asymmetries and bilateral deficits in muscle strength are sometimes linked to injuries in athletes [35]. Although this would be a potentially valuable application, there is presently no validation of either the muscle fuel rating score as a measure of muscle glycogen, whether glycogen utilisation patterns are sufficiently different between limbs across a range of symmetrical and asymmetrical exercise activities detected by any technique, nor whether this is associated with injury risk or patterns.

In summary, evidence supporting the use of ultrasound technology, and particularly the MuscleSound<sup>®</sup> proprietary technique, as a valid measure of muscle glycogen stores is equivocal. In terms of its use as a research tool, two data sets involving laboratory-based cycling protocols validated a correlation with measurements of the glycogen content of a biopsy-derived mixed muscle sample, providing a measure of muscle glycogen from 0–100 in arbitrary units under controlled conditions. Furthermore, the changes in muscle glycogen stores were in line with the expected outcomes of various diet and exercise protocols. Another data set collected in a field setting provided glycogen score results that were logical, but not independently verified. Three other data sets involving lab and field-based uses, however, conflict with these findings. Two collected in cycling models in controlled laboratory conditions, and another undertaken in a real-life team sport competition, failed to find correlations between the two sources of information on glycogen stores. Most importantly, none of these data sets were able to consistently detect differences in MuscleSound<sup>®</sup> scores despite supervised manipulations of diet and exercise that are known to achieved substantial changes. In one of these studies, a new technique to present MuscleSound<sup>®</sup> results, described in a company-issued position stand, and presumed to represent its current commercial application, also failed to detect outcomes that would be predicted by the study interventions. This occurred even when undertaken with standardised protocols (e.g., use a single trained tester, laboratory conditions, and the averaging of five separate scans) that might not be possible under the real-life conditions for which it is promoted. Two major issues around the validity and reliability of the MuscleSound<sup>®</sup> technique have been identified for discussion.

## **6. Validity of the MuscleSound<sup>®</sup> Technique: The Glycogen: Water Ratio**

### *6.1. General Principles*

The MuscleSound<sup>®</sup> technique is based on the principle that the echogenicity or brightness of an ultrasound image reflects the speed of the sound waves reflected by scanned tissues, and in turn, their water content [10,12]. Water, which provides little resistance, produces a dark (hypoechoic) image that can be quantified via the pixel intensity of the image on the scan image [10,12]. In turn, muscle glycogen is quantified by the assumption

of a constant relationship with bound water of 1:3 [10,12]. Such calculations are achieved when an image captured by a high-frequency ultrasound is examined by the cloud-based proprietary software of the MuscleSound® company.

Although it is well accepted that fluid is stored when glycogen is formed, the persistence of a fixed relationship over a range of glycogen concentrations has been challenged both in the general literature and in relation to the MuscleSound® protocol [9–12,37,38]. The first validation study of MuscleSound® [4] did not identify the water to muscle glycogen ratio as an underpinning principle of the ultrasound technique; this explanation was provided in the subsequent validation study. Here, although a tight correlation between ultrasound and biopsy-derived measures of muscle glycogen was reported, the authors noted that “additional research is needed to determine how exercise-induced changes in muscle water content influence this relationship”. Indeed, knowledge of factors that change the muscle glycogen to water ratio, or muscle water content, formed the basis of our recent letter expressing concerns around the MuscleSound® technology [11], wherein we noted that these can change in variable directions as a result of diet-exercise manipulations. The literature on this issue will now be summarised.

Studies on the relationship between tissue water and glycogen content were undertaken in both the liver and muscle in humans and rodents. In the latter case, direct chemical analysis of whole tissues was used to calculate a glycogen:water ratio of 1:2.7 in rat livers under conditions where non-glycogen solids remained constant [6]. However, Sherman et al. [37] failed to find a consistent ratio of glycogen and water in rat skeletal muscle when manipulations to both increase and decrease glycogen content were undertaken. Meanwhile, studies on human subjects are limited to protocols using indirect or sampling measurements. An early investigation of carbohydrate loading [38] measured muscle glycogen concentrations in arm and leg biopsy samples, while using changes in body mass, body water derived from a tritium dilution, and muscle mass derived from potassium measurements to estimate a glycogen to water ratio ranging from 1:3 to 1:5. Caveats noted by the authors included the uncertainties of the measurements and the inability to measure the site of the water storage [38]. An updated version of this study, using bio-electric impedance (BIS) to measure body water and MRS to measure muscle glycogen, calculated an increase in intra-cellular water that aligned with a 1:4 ratio [39]. Despite modern techniques, issues related to the precision of measurement and the nature of the increase in body water remain. Furthermore, these studies have involved conditions in which fluid availability was optimised while muscle glycogen stores were manipulated.

Various scenarios can occur in which tissue water changes independently of changes in glycogen stores. Indeed, ultrasound technology was proposed as a technique to monitor tissue hydration in athletes [40], particularly as a marker of dehydration in athletes in weight-making sports [41]. Early understandings of muscle glycogen synthesis theorised that the associated water storage might play a regulatory role in this process. However, a study of post-exercise muscle restoration over a 15 h period found that cyclists who were dehydrated by ~5% BM or 8% body water had similar glycogen synthesis, but lower muscle water content than the trial in which they were euhydrated during recovery [42]. Meanwhile, Fernandez-Elias et al. investigated changes in the glycogen and water content of muscle samples collected over 4 h of recovery from strenuous exercise, reporting a ratio of 1:3 when the subjects were dehydrated (replacing only 400 mL fluid) and 1:17 when a volume equal to the total fluid deficit (~3170 mL) was consumed [43]. It was noted that these calculations included all water in the muscle rather than that bound to the glycogen.

Other muscle solutes, including elements that can be acutely changed, contribute to its osmotic environment. It is well documented that rapid creatine supplementation protocols are associated with an increase (~1 kg) in body mass that is largely attributed to a gain in body water [44–46]. Results from the larger study from which the Bone MuscleSound® data were collected included a 6% increase in muscle creatine concentrations and a 22% increase in muscle glycogen when their respective loading protocols were undertaken according to best practice principles [29]. The corresponding changes in total body water and intracel-

lular water, measured via BIS, were 1.3% and 1.4% (creatine loaded), and 2.3% and 2.2% (glycogen loaded), respectively [29]. It is possible, therefore, that changes in muscle creatine, and its associated effect on muscle water, contributed to failure of the MuscleSound<sup>®</sup> to accurately track the changes in muscle glycogen stores. Indeed, we showed that these changes in muscle water, creatine, and glycogen confounded the measurement of body composition via dual X-ray absorptiometry in this study, due to a violation of the assumptions of normal relationships between these body characteristics [29].

In summary, the presence of a consistent relationship between muscle glycogen and water is not supported due to plentiful evidence that many factors, which occur frequently within sport, can independently manipulate either or both features. Theoretically, even if the MuscleSound<sup>®</sup> technique was successfully calibrated to measure muscle glycogen against a specific glycogen:water content in specific conditions, it will be invalid under conditions in which this specific ratio is not present. Although further studies that accommodate these different conditions may help to enhance the algorithms linking ultrasound images to a glycogen measurement, the large number of potential scenarios that require investigation is likely to make this process difficult to achieve and incorporate into calculations. In the absence of such information, it is difficult to confidently identify scenarios in which the assumptions underlying the current MuscleSound<sup>®</sup> technique might be valid. Although such conditions were not explicitly explored or identified in published literature on the MuscleSound<sup>®</sup> technique, the position stand on the company website identifies conditions under which its use is optimal and sub-optimal (Table 2). Such conditions appear to overlap and to cover some, but not all, of the scenarios previously identified in which glycogen to water ratios might be altered.

**Table 2.** Scenarios of use of MuscleSound<sup>®</sup> measurement of muscle glycogen \*.

Optimal Scenarios	Sub-Optimal Scenarios
<ul style="list-style-type: none"> <li>• Pre and immediately post-exercise</li> <li>• Several hours after the end of moderate to high intensity/long duration exercise (such as cycling that does not involve extensive eccentric contractions)</li> <li>• One to two days or more after high intensity/long duration sports such as soccer, football, rugby, and basketball</li> <li>• One to two days before a competition</li> </ul>	<ul style="list-style-type: none"> <li>• Within several hours of the end of moderate to high intensity/moderate duration steady state exercise</li> <li>• The day after high intensity/long duration competition in sports such as soccer, football, rugby, and basketball</li> </ul>

\* Information taken from MuscleSound<sup>®</sup> position stand on Science and Application [13].

#### 6.2. Specific Criticism of Studies That Fail to Support the Validity of MuscleSound<sup>®</sup>

Data sets in which a MuscleSound<sup>®</sup> assessment of muscle glycogen content failed to track the measurements achieved by chemical analysis of biopsy-derived muscle were criticised on the basis that variables that interfered with the water balance of the muscles were introduced. Concerns were raised regarding the study of the rugby league match, noting that the study methodology described data collection as “occurring within 40 min of the finish of the game”. It was asserted that such a period could have allowed the presence of artefacts, such as the effects of muscle microtrauma from the game activities, post-exercise glycogen synthesis from lactate, and a lack of control of fluid intake during the recovery period [12]. Support for these statements was provided from studies which observed fluid shifts when >3 L of fluid was consumed over 4 h of recovery [43], or low rates of glycogen synthesis (1–2 mmol/kg ww/h) in recovery from high-intensity exercise in the absence of carbohydrate intake [47]. However, it was also noted that the recently published study of glycogen use in a soccer match failed to describe the post-exercise assessment, other than that it was “immediately” after the game. No information was provided about hydration status prior to the match nor fluid intake during the match in this study, although other investigations of elite soccer players have noted that individual

players may commence a match in various states of fluid balance, including significant dehydration, and incur variable rates of sweat loss during a match [48,49]. Therefore, it is curious to propose differences in tolerances to such potentially confounding factors between essentially similar studies. Although the presence of some confounding factors was acknowledged in both studies, it was noted that if the MuscleSound® technique was to be promoted for use in real-life sport, it needs to be sufficiently robust to tolerate the practical conditions of use (a likely short interval between the cessation of exercise and access to each athlete to undertake assessments).

The cycling protocols involved in the Bone study (presented here) and the investigations by Routledge et al. [9] adhered to the optimal scenarios for use of MuscleSound® assessments and included control around fluid intake and status. We identified that creatine supplementation may cause a change in glycogen stores and muscle water content; this formed the basis for our interest in undertaking the study of MuscleSound® under such conditions. However, this technique failed to detect a difference in the glycogen assessments between the creatine and placebo groups for any treatment, and failed to detect differences between the baseline and depleted treatments for the total group of participants before the creatine supplementation commenced. Therefore, it does not appear to provide a sole or major artefact explaining the failure of the MuscleSound® technique to assess muscle glycogen content in our study.

## 7. Validity of the MuscleSound® Technique: Location of the Muscle Site

### 7.1. General Principles

The two original validation studies of the MuscleSound® technique [4,5] used protocols that allowed the biopsy to be taken from the identical site on which the image was captured. Meanwhile, as previously identified (Table 1), the outlying studies ([9] and the Bone study presented here) took care to standardise the sites from which both ultrasound images and biopsy samples were collected, but used different sites from the same muscle between and within treatments to accommodate best practice associated with the collection of sequential muscle biopsies. A key premise of the MuscleSound® protocol, at least in research scenarios, was that the location of the image for sequential assessments or comparison with biopsy assessments must be identical. However, much of the extended commentary about the protocol [10], and the specific criticisms of studies which found it did not provide a valid assessment of muscle glycogen [12], misunderstood or misrepresented the larger literature on the assessment of muscle glycogen. Specifically, comments about the variability of glycogen within muscle [10,12] demonstrated a failure to understand the capability of various assessments techniques.

This review has identified that all studies of muscle glycogen in humans utilised indirect and sampling techniques. The basis of such sampling, which occurred in both of the original MuscleSound® validation studies, is that a small piece of muscle collected in a biopsy needle or captured in an ultrasound image includes sufficient muscle fibres to represent the aggregated features of individual fibres. Indeed, both the MuscleSound® score and the chemical analysis of a biopsy sample represent the characteristics of “mixed muscle”. Enhanced techniques of analysing biopsy samples include histochemical staining techniques to identify differences in the storage and utilisation of glycogen between different types of muscle fibres [50], and, more recently, electron microscopy of single fibres identified different sub-cellular locations of glycogen particles [21,22,51,52]. Such techniques have helped to understand exercise metabolism and mechanisms of fatigue during exercise. However, ultrasound techniques, just like chemical assessments of homogenates or mixed muscle samples, cannot achieve such a granular assessment. Rather, they provide an overarching, yet still valuable, perspective of muscle fuel stores. In the case of muscle biopsies, there is a specific reason to require and validate the use of different sites for sequential biopsy samples; subsequent biopsies need to be taken from muscle that has not had its glycogen storage capacity impaired by trauma from the first biopsy [19]. However, it was shown that differences in the glycogen content of mixed muscle samples,

representing the average of a large number of individual muscle fibres from a number of individual sites across a muscle, are minor [23]. We acknowledge that the collection of biopsy samples from adjacent, but non-identical sites, or sites from contra-lateral limbs in scenarios involving symmetrical exercise protocols, may contribute to the technical error of measurement involved with chemical determination of muscle glycogen stores. Nevertheless, it is the basis of a robust literature involving many hundreds of studies, which have determined resting muscle glycogen concentrations in different populations [36], glycogen utilisation during exercise [1,53], and glycogen synthesis in response to diet [19,54,55].

Although the size of a biopsy sample can be measured (typically, 20–200 mg), the size and location of the actual site captured in the ultrasound image is uncertain. Since the analysis of the scan is undertaken via proprietary cloud-based software, the precise size and location of the sample and site, and its ability to represent the total muscle, ultimately lies with the company software, rather than the scan technician. Nevertheless, even if there are concerns about the validity of MuscleSound® glycogen assessments, there is some evidence of its reliability in estimating glycogen content across a muscle site. Indeed, the first study of the technique noted a significant correlation between the MuscleSound® glycogen content and its changes due to an exercise bout between two *separate* muscles. Here the correlation between glycogen stores of the rectus femoris and vastus lateralis were  $r = 0.93$  ( $p < 0.0001$ ),  $r = 0.91$  ( $p < 0.0001$ ), and  $r = 0.76$  ( $p < 0.0001$ ) for the pre-exercise, post-exercise, and exercise change scores, respectively [4]. Furthermore, in the Bone study reported in this review, we found a significant correlation between MuscleSound® scores at a single site and a shifting site within the same muscle, across a range of treatments (Figure 3). Therefore, in theory and in practice, there is evidence that in the absence of muscle damage, changes in glycogen in response to diet and exercise are similarly expressed across the gross aspect of a muscle.

### 7.2. Specific Criticism of Studies That Fail to Support the MuscleSound® Technique

The major rebuttal of data sets that have found that the MuscleSound® technique was unable to provide a valid measurement of muscle glycogen [10,12] is that “since glycogen is stored in different pools within a same muscle and therefore not uniformly stored, technically it is not possible to correlate the glycogen content from a very small portion of a muscle (1–2 cm<sup>2</sup>) with the glycogen content of an entire muscle” [10]. We have identified that this criticism of mixed muscle samples was confused with findings of sub-pools of glycogen within a single muscle fibre or between fibre types, and does not provide a legitimate understanding of broader muscle glycogen assessment. It is not necessary to make further comment on this issue. Nevertheless, if differences in the glycogen content of different sites within the same muscle do exist, this might provide an explanation for the lack of correlation between the biopsy site and ultrasound site in the dissenting studies discussed within this review. However, it fails to explain the failure of the MuscleSound® protocol to detect changes in its glycogen assessment metrics when across ultrasound scans taken at the same site on subsequent occasions. That such differences were both logical, based on knowledge of supervised diet and exercise treatments, and easily detected from chemical analysis of biopsy samples creates legitimate concern about the validity of the MuscleSound® technique.

## 8. Conclusions

We acknowledge the exciting potential and value of having a relatively inexpensive, portable, and non-invasive method to measure muscle glycogen in sports nutrition research and practice. Furthermore, we note that ultrasound techniques may provide new roles in sports nutrition, such as in the assessment of body composition. However, careful analysis of the literature, including previously unpublished results of our own study, fails to provide clear support for the use of an ultrasound technique (MuscleSound®) to measure muscle glycogen content or its changes due to supervised exercise and dietary treatments. This may be both a problem of the underlying principles of the technique, as well as the failure

of currently available algorithms to cover a larger range of changes in muscle glycogen, or other manipulations of muscle solutes and water, than are often seen in sports nutrition practice. We acknowledge that two validation studies have reported the apparent success of this technique in assessing changes in muscle glycogen in similar scenarios of diet and exercise. Notwithstanding these data, the validity of the use of this technique to assess muscle glycogen, especially in field uses where conditions and treatments may be less controlled than that achieved in research situations, must be considered equivocal. Further independent studies are warranted and should include a variety of scenarios in which muscle glycogen is manipulated across the range of concentrations commonly seen in athletes, with or without changes in muscle water and solute content. Interrogation of laboratory and real-world scenarios should also be included to investigate the tolerance of this method to differences in the logistics and rigour of data capture.

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**Data Availability Statement:** The full data set reported in this study will be made available upon request to the corresponding author.

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Review

# Plant Proteins and Exercise: What Role Can Plant Proteins Have in Promoting Adaptations to Exercise?

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**Abstract:** Adequate dietary protein is important for many aspects of health with current evidence suggesting that exercising individuals need greater amounts of protein. When assessing protein quality, animal sources of protein routinely rank amongst the highest in quality, largely due to the higher levels of essential amino acids they possess in addition to exhibiting more favorable levels of digestibility and absorption patterns of the amino acids. In recent years, the inclusion of plant protein sources in the diet has grown and evidence continues to accumulate on the comparison of various plant protein sources and animal protein sources in their ability to stimulate muscle protein synthesis (MPS), heighten exercise training adaptations, and facilitate recovery from exercise. Without question, the most robust changes in MPS come from efficacious doses of a whey protein isolate, but several studies have highlighted the successful ability of different plant sources to significantly elevate resting rates of MPS. In terms of facilitating prolonged adaptations to exercise training, multiple studies have indicated that a dose of plant protein that offers enough essential amino acids, especially leucine, consumed over 8–12 weeks can stimulate similar adaptations as seen with animal protein sources. More research is needed to see if longer supplementation periods maintain equivalence between the protein sources. Several practices exist whereby the anabolic potential of a plant protein source can be improved and generally, more research is needed to best understand which practice (if any) offers notable advantages. In conclusion, as one considers the favorable health implications of increasing plant intake as well as environmental sustainability, the interest in consuming more plant proteins will continue to be present. The evidence base for plant proteins in exercising individuals has seen impressive growth with many of these findings now indicating that consumption of a plant protein source in an efficacious dose (typically larger than an animal protein) can instigate similar and favorable changes in amino acid update, MPS rates, and exercise training adaptations such as strength and body composition as well as recovery.

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## 1. Introduction

### 1.1. Muscle Protein Metabolism and Skeletal Muscle

The maintenance of and optimizing the accretion of skeletal muscle mass are critical outcomes for athletic-minded individuals, whether the goal is increased performance, improved muscularity, or enhanced recovery. Furthermore, while skeletal muscle mass accretion is often a goal of active individuals, there are direct clinical applications and benefits for the general public as well, especially for aging adults. Skeletal muscle is regulated through a near-continual ebb and flow between rates of muscle protein synthesis (MPS) and breakdown [1]. Muscle mass loss occurs during a net negative balance (breakdown > synthesis) while muscle gain occurs when synthesis rates outweigh breakdown. Rates of

MPS and muscle protein breakdown are highly sensitive to physical activity and dietary intake, namely protein and essential amino acid intake [2], with evidence available indicating that rates of MPS are more sensitive to changes in exercise status and dietary intake [3]. As a result, observed changes in MPS rates are viewed to be primarily responsible for the changes in muscle mass in response to exercise and nutrition experienced over time [4].

### 1.2. The Importance of Added Protein to Optimize Exercise Training Adaptations

Supplementing the diet with added protein beyond the recommended dietary allowance (RDA) has long been a well-supported tactic for exercising athletes to optimize exercise training adaptations. In this respect, multiple review articles and position stands have advocated for a greater intake of dietary protein to support increased physical training volumes, heighten exercise training adaptations, and promote health and recovery [5–9]. Previously, Cermak and colleagues [10] completed a meta-analysis of studies that employed some form of protein supplementation while completing resistance training. Results from this analysis included data from over 680 subjects and concluded that protein supplementation led to a significantly greater increase in fat-free mass (mean difference: 0.69 kg, 95% CI: 0.47–0.91 kg,  $p < 0.001$ ) and maximal lower-body strength (mean difference: 13.5 kg, 95% CI: 6.4–20.7 kg,  $p < 0.005$ ) when compared to a placebo. These results were extended by Morton and investigators [7] who used a meta-analysis and meta-regression approach to establish the efficacy of protein supplementation while also identifying the minimum amount of daily protein needed to maximize efficacy. In this study, 49 studies were included that represented 1863 participants and the authors reported that protein supplementation was responsible for significant increases in strength (1 RM), fat-free mass, and muscle cross-sectional area. Moreover, results from this study highlighted that a daily protein intake beyond 1.62 g/kg/day offered no further impact in facilitating improvements in fat-free mass. It is important to note, this is well above (~2×) the RDA for protein, indicating that active individuals benefit from consuming greater amounts of protein. Whether or not higher amounts facilitate improvements in other outcomes such as strength, recovery, mitigation of fat-free mass loss seen while dieting was not identified in their analysis. Notably, this amount of protein is consistent with the protein recommendation set forth by Jäger and colleagues [5] in the position stand published by the International Society of Sports Nutrition as well as the position stand endorsed by the American College of Sports Medicine, Dietitians of Canada and Academy of Nutrition and Dietetics (the former American Dietetic Association) [11]. Dietary proteins are well known to serve as the primary supplier of amino acids that can be used as building blocks to make larger proteins, such as those produced during MPS. Previous studies have highlighted the importance of the essential amino acids [12,13] at stimulating rates of MPS. In addition, extensive research continues to explore the role of leucine in its ability to stimulate the initiation of protein translation [14,15]. All things considered, exercising individuals require greater amounts of dietary protein to support their training needs, which creates a need for these individuals to purposefully include various sources of protein that deliver optimal amounts of the essential amino acids.

### 1.3. The Case for Plant Proteins

Many sources of protein are available for consumption in the human diet. For years, heavy emphasis was placed on consuming complete protein sources, or any protein source that provides all of the essential amino acids in both the needed amount and in adequate proportion to support cellular needs across the body as well as production of nonessential amino acids [8]. Consequently, great focus has been placed on consuming animal protein sources, namely because of their high amino acid contents and favorable protein quality ratings [16]. At the same time, plant proteins were deemed inferior for these outcomes and not until recently has interest in plant proteins begun to accelerate. Several reasons are commonly associated with consuming greater amounts of plant proteins. Most commonly, plant-based diets are routinely linked with reductions in the risk of developing cancers, type

2 diabetes, and cardiovascular diseases [17]. In addition, many plant protein advocates highlight a greater level of economic sustainability than what is observed with diets that are predominantly animal protein. Finally, approximately 60% of dietary proteins consumed worldwide come from plant sources with an estimated 4 billion people across the globe consuming a primarily plant-based diet [18]. While such health considerations are unquestionably important, the aim of this review will center upon the implications of plant protein consumption and plant-based diets on outcomes linked to exercise performance, associated exercise training adaptations, and recovery.

#### 1.4. Quality Considerations for Both Animal and Plant-Based Protein

Many factors contribute to the anabolic potential of a protein source, which often include the amounts of total amino acids, essential amino acids, and branched-chain amino acids, respectively in addition to the protein's digestibility, digestion rate, and kinetics observed during absorption. In this respect, dietary protein quality is commonly assessed based upon the essential amino acid composition provided by the protein source as it relates to human needs, against the ability of the protein to be digested, absorbed, and assimilated by various tissues in the body [19]. Several approaches have been used to assess protein quality including biological value, net protein utilization, and protein digestibility corrected amino acid scores (PDCAAS) [20], while digestible indispensable amino acid score (DIAAS) have been more recently proposed. As seen in an excellent review by Berrazaga et al. [16], biological values for common plant sources range from 56–74 while ranges of 77–104 are reported for various animal sources on theoretical 100-point scales. A similar dichotomy is observed for net protein utilization values, whereby plant sources range from 53–67 while animal sources range from 73–94 on a 100-point scale. One of the most commonly used quality comparators is that of Protein Digestibility Corrected Amino Acid Scores (PDCAAS) [21]. When using this approach, a score of 100 suggests that after considering its fecal digestibility, a given protein source can fully deliver all of the essential amino acids required by the body. In this respect, animal protein sources such as casein, whey, milk, and eggs all have scores of 100 while red meat has a score of 92. In contrast, all other common sources of plant proteins have PDCAAS values below 100 (commonly reported range of 45–75 per Berrazaga et al. [16]), with soy protein being the only exception, which has a score of 100. Similarly, if the DIAAS approach is used to assess protein quality, a similar trend is observed in that animal sources are commonly above 100 while nearly all plant sources are below 100. In this respect, Gorissen et al. [22] compared the amino acid contents of various sources of plant-based isolates against common sources of animal proteins and human skeletal muscle samples. Again, it was illustrated that many plant protein sources have inadequate amounts of certain amino acids (e.g., lysine, methionine) while also consistently having lower amounts of the essential and branched-chain amino acids, particularly when compared to animal protein sources as well as the amino acid content found in human skeletal muscle. To further reiterate this point, van Vliet and colleagues [23] have indicated previously that essential amino acid composition of a protein source was predictive of skeletal muscle's anabolic potential and that all essential amino acids should be present in optimal amounts. For these reasons, higher quality sources of protein (at least when viewed in the context of amino acid profiles) should serve as more effective protein sources in terms of anabolic potential and its innate ability to facilitate skeletal muscle accretion and promote other desired adaptations. Finally, leucine content of a protein source continues to get interest for its role in initiating the translation of muscle proteins [14,15]. Towards this end, a general acceptance has suggested the leucine content of a protein source functions as a vital and reliable predictor of MPS rates. When leucine contents are compared across different protein sources, whey protein is the highest (~12–14%) [22], which aligns with whey protein's superior ability to stimulate MPS rates when compared to isocaloric and isonitrogenous amounts of other protein sources [24]. Moreover, animal protein sources generally have higher amounts of leucine (8–9% for

non-dairy animal sources) and >10% for dairy protein sources while plant sources routinely have a leucine content of 6–8% [22,23].

Beyond amino acid content, digestibility and absorption kinetics can also influence the value of a protein. In terms of digestibility, it is well documented that the digestibility of many sources of plants is much lower (45–80%) than what is observed with various animal proteins (>90%) [25]. While somewhat beyond the scope of this review, the observed differences in digestibility are largely thought to be due to structural differences that exist within the actual protein molecule found in many plant and animal proteins. For example, many sources of plants have compounds (i.e., anti-nutritional factors such as phytic acid, protease inhibitors, tannins, etc.) that compromise their digestibility. Another key factor related to the impact of consuming different sources of protein is the absorption of amino acids in plasma followed by the utilization rates exhibited by various proteins. In this respect, several studies have illustrated divergent utilization rates when comparing animal to plant sources of protein. For example, the classic work of Boirie [26,27] and Dangin [28,29] clearly demonstrated different absorption and utilization rates for two milk proteins, whey and casein. Moreover, the observed differences in rates of muscle protein metabolism have been shown to be inextricably linked to differences in utilization rates whereby whey absorbs faster and robustly stimulates rates of MPS while casein absorbs at a slower rate and consequently functions more to attenuate protein breakdown. When considering differences observed for various plant proteins, previous work has shown that soy ingestion is absorbed at a slower rate than what is observed from whey [24,30], which helps to explain the lower rates of myofibrillar protein synthesis observed by Yang and colleagues [4] after graded doses (0–40 g) of soy isolate at rest and after exercise in elderly men. While rates of myofibrillar protein synthesis were observed to increase with an increase in the dose of soy protein, the observed rates were less than what had been previously observed with equivalent doses of whey [24]. Additional research involving wheat proteins demonstrated them to have higher deamination rates when compared to milk proteins (25% vs. 16%, respectively) [31–33]. These differences are important as they are thought to be directly related to the lower observed net protein utilization rates between wheat (66%) when compared to milk (80%) proteins. Furthermore, other studies have illustrated a greater degradation of amino acids from soy protein when compared to degradation rates observed for casein and whey [24,30,34,35]. Towards this end, measured nitrogen losses (either via deamination or intestinal loss) and splanchnic nitrogen retention are higher when plant proteins are consumed when compared to ingestion of animal proteins. In effect, these outcomes illustrate that the availability of amino acids to peripheral tissues and locations from plant proteins is lower than that of animal protein [36,37] and these differences are thought to be key drivers to the post-prandial protein synthetic response observed in various tissues. Importantly, the reader should understand that these reasons effectively function as the basis for why different sources of protein exhibit varying degrees of anabolic potential, in regards to stimulating muscle protein accretion and promotion of exercise training adaptations over time.

## 2. Methods

This article was prepared using a narrative approach. The purpose of the review was to evaluate and review the current literature that has examined the potential impact of various plant proteins on exercise training adaptations and recovery. A range of databases, including PubMed, Medline, Google Scholar, EBSCO-host were used to search for articles for this review paper and were last accessed on 4/10/21. Inclusion criteria consisted of those studies that involved human research participants and use of at least one source of plant protein as a primary investigative agent in the study. Studies involving both acute and prolonged models were included with the majority of acute studies focusing on changes in amino acid concentration and rates of muscle protein metabolism. Prolonged studies commonly highlighted outcomes related to strength, performance, recovery, and fat-free mass. Key words routinely used to search for articles were as follows: protein, exercise,

plant, oat, potato, wheat, soy, rice, pea, animal, whey, casein, beef, resistance training, strength, body composition, and MPS. Articles were chosen for inclusion based on the information they outlined and were incorporated throughout this paper. Further citations were found, evaluated, and incorporated from the bibliographies of the selected literature.

### 3. Acute Studies Using Plant Proteins and Exercise

The acute post-prandial anabolic response of an ingested protein is largely mediated by its amino acid content, with essential amino acid content, leucine, in particular, being a key driving force [8,9]. While preferences for certain protein sources may be influenced by moral beliefs, environmental considerations, dietary preferences, or assumptions regarding subsequent health outcomes, differences in amino acid content across protein sources dictate the anabolic properties of the protein. Moreover, consistent increases in MPS throughout the day have been shown to be advantageous for maximizing skeletal muscle protein accretion over time [38]. As such, it has long been suggested that higher quality sources of dietary protein confer a greater potential to increase skeletal muscle accretion compared to lower quality sources of protein.

Animal and plant-based proteins are commonly characterized by their ability to influence postprandial amino acid profiles and in their capacity to modulate rates of MPS post-ingestion. When one considers the substantial growth in popularity of plant-based diets, a number of studies have therefore examined the acute responses to a bolus ingestion of protein from varying plant-based sources [4,24,30,34,39–44], either compared to isonitrogenous animal-derived protein sources or when consumed at higher doses of total protein. Moreover, these studies often examine differences in anabolic properties both at rest or post-resistance exercise to further examine the anabolic potential or synergistic benefits when combined with exercise modalities [4,24,42]. For example, Wilkinson et al. [39] noted greater net balance in protein levels after milk ingestion compared to an isonitrogenous soy beverage, which also equated to a greater increase in fractional synthetic rate ( $0.10 \pm 0.01$  vs.  $0.07 \pm 0.01\%/h$ ;  $p < 0.05$ ). Similarly, Tang et al. [24] observed a greater increase in blood EAA, branch-chained amino acid and leucine concentrations following ingestion of a whey protein hydrolysate compared to both micellar casein and soy protein isolate. Subsequently, MPS was 93% greater after consumption of whey protein compared to casein, and 18% greater than soy after exercise. These results indicated that, at rest, whey protein may elicit a more robust anabolic response immediately post-ingestion compared to casein and soy. In response to exercise, whey protein again stimulated MPS rates that were greater than both soy and casein protein, while soy was found to be greater than casein. Using a short-term supplementation protocol of 14 days, Kraemer and investigators [40] reported an attenuation of post-exercise increases in testosterone following ingestion of soy protein compared to whey protein while whey protein blunted the release of cortisol post-exercise in resistance trained males. Yang et al. [4] extended these findings and determined that a 20-g dose of soy protein isolate elicited a myofibrillar protein synthetic response that was significantly less than an equivalent dose of whey protein, but more importantly that the rates observed from a 20-g dose of soy protein were not significantly increased from consuming no protein. When the dose of protein was increased to 40 g, whey protein elicited significantly greater rates of myofibrillar protein synthesis when compared to rates observed from soy ingestion at the same dose. Finally, the 40-g dose of soy was able to demonstrate significantly greater rates of MPS when compared to when no protein was ingested. Collectively, results from these studies highlight the superiority of animal proteins (milk, whey, and casein) at stimulating acute increases in MPS rates both at rest and after exercise when compared to soy ingestion.

To accommodate the growing demand for plant-based diets, several plant protein sources have appeared in the marketplace. In this respect, acute amino acid absorption responses to a rice protein isolate identified a 6.8% lower total amino acid concentration area under the curve in rice protein isolate when compared to a whey protein isolate, but this difference was not statistically significant. Additionally, area under the curve values

for essential and nonessential amino acids were not different between the two protein conditions. The time to reach peak concentration was faster with whey protein ingestion for the essential amino acids, non-essential amino acids, and total amino acids. Interestingly, however, the time to reach peak concentration for leucine was faster for rice protein isolate ingestion versus whey protein isolate ingestion [44].

In addition to this work, several studies have also assessed acute changes in MPS rates in addition to amino acid absorption to acute doses of oat, potato, peanut, and wheat protein [41–46]. For example, Lamb et al. [46] did not observe a difference in 24-h myofibrillar protein synthetic rates in subjects who received a peanut protein powder supplement versus those who received no supplement, following a bout of resistance training in older adults ( $59 \pm 8$  years). It is possible that a greater amount of peanut protein may be required in older adults to elicit meaningful post-prandial anabolic properties. In a similar manner, Gorissen et al. [43] observed greater increases in post-prandial plasma essential amino acid concentrations after whey protein ingestion ( $2.23 \pm 0.07$  mM) compared to casein ( $1.53 \pm 0.08$  mM) and wheat protein ( $1.50 \pm 0.04$  mM) ( $p < 0.01$ ). Further, a greater increase in myofibrillar protein synthesis rate was observed after casein protein ingestion compared to whey protein ( $0.050\% \pm 0.005\%/h$  vs.  $0.032\% \pm 0.004\%/h$ ) ( $p = 0.003$ ). Interestingly, post-prandial increases in plasma leucine concentrations were greater after whey protein ingestion compared to more than double the amount (60 g) of wheat protein (peak value:  $580 \pm 18$  compared with  $378 \pm 10$  mM, respectively;  $p < 0.01$ ), despite comparable leucine concentrations per serving (~4 g). Another plant-based source of protein, potato protein, has a relatively high essential amino content compared to other protein sources [22], when expressed as a percent of total protein. A recent study by Oikawa et al. [42] indicated that consumption of 25 g of potato protein twice daily (1.6 g/kg/day total protein) increased myofibrillar protein synthesis at rest and in an exercised limb beyond that observed following consumption of a control diet (0.8 g/kg/day total protein) in young women ( $20.5 \pm 3$  years). Most recently, Pinckaers et al. [41] reported similar increases in post-prandial myofibrillar protein synthesis rates following consumption of a 400 mL beverage containing either 30 g of milk protein concentrate, 30 g of wheat protein hydrolysate, or 15 g of wheat protein hydrolysate plus 15 g of milk protein concentrate in young males ( $23 \pm 3$  years). Thereby indicating that wheat protein can elicit comparable anabolic properties as milk protein, when consumed in equal amounts. Collectively, these studies indicate that while several plant-based protein sources may elicit post-prandial increases in essential amino acid concentrations and subsequent increases in myofibrillar protein synthesis rates, these effects are likely to be less than or equal to what is observed following ingestion of comparable amounts of whey or casein protein. However, more research is warranted to investigate some of the newer formulations of plant-derived protein powders, such as rice, oat and potato protein and how their acute anabolic properties may influence adaptations over time; particularly when consumed in conjunction with other nutrients or exercise regimens. Overall, recent evidence indicates that when quantifying the anabolic efficiency (net protein balance/caloric intake), beef displayed greater efficiency values compared to eggs, pork loin, tofu, kidney beans, peanut butter and mixed nuts [47]. As such, animal-based sources of protein may serve as a more efficient protein source, when taking into consideration the overall energy content of a food item or meal. As a result, there has also been an interest in the development of strategies to augment the anabolic properties of plant proteins to compensate for a lower anabolic potential, a topic which will be discussed later in this review. A summary of studies to date that have examined differences in the acute anabolic response to animal and plant-based sources of protein is presented in Table 1.

Table 1. Summary Table of Acute Responses to Plant Protein Ingestion.

Reference	Participants	Design	Study Duration	Dosing Protocol	Exercise Program	Primary Variables	Key Findings
Wilkinson et al. 2007 [39]	8 healthy males (21.6 ± 0.3 years.)	RCT, crossover (2 groups) Milk (n = 8) Soy (n = 8)	1 trial visit per condition 7-day washout	Macronutrient-matched soy or milk beverages (18 g protein)	Lower body exercise bout	Protein kinetics Net muscle protein balance	↓ Net balance (AUC) after soy ingestion vs. milk ↓ Fractional synthesis rate in muscle after soy consumption vs. milk
Tang et al. 2009 [24]	6 healthy young men (22.8 ± 3.9 years.)	RCT, crossover (3 groups) Whey (n = 6) Casein (n = 6) Soy (n = 6)		10 g of EAA in the form of: Whey, casein and soy protein	Unilateral lower-body exercise	Mixed muscle protein fractional synthetic rate (FSR) Blood EAA	↓ Blood EAA, BCAA, and leucine concentrations following soy ingestion compared to whey ↓ MPS (~18%) after soy consumption vs. whey ↑ MPS (~64%) with soy consumption at rest and following resistance exercise (69% vs. casein)
Yang et al. 2012 [4]	30 elderly men (71 ± 5 years.)	RCT (3 groups) Control Soy 20 g Soy 40 g	1 trial visit per group 4 h post-protein consumption	20 g or 40 g of soy protein isolate Compared to previous responses from similarly aged men who had ingested 20 g and 40 g of whey protein isolate	Acute bout of unilateral knee-extensor resistance exercise prior to ingesting no protein and 40 g of whey protein isolate	Myofibrillar protein synthesis (MPS)	↑ Whole-body leucine oxidation for S20 vs. W20 ↔ in both exercised and non-exercised leg muscles for S20 vs. 0 g ↓ MPS post S40 under both rested and post-exercise conditions vs. W40 ↑ MPS post S40 than 0 g under post-exercise conditions
Kraemer et al. 2013 [40]	10 resistance trained males (21.7 ± 2.8 years.)	RCT, crossover (3 groups) Whey protein isolate Soy protein isolate Maltodextrin	14 days	20 g	Acute heavy resistance exercise test consisting of 6 sets of 10 repetitions in the squat exercise at 80% of the subject's 1 RM	Sex hormones post resistance training	↓ Testosterone responses following supplementation with soy protein ↔ SHBG concentrations between experimental treatments ↔ in estradiol concentrations between groups ↑ Tmax for RPI for EAA, non-EAA, and total amino acids ↔ For AUC between conditions ↔ for Cmax between conditions ↑ Cmax faster for leucine in the RPI group.
Purpura et al. 2014 [44]	10 trained male subjects (22.2 ± 4.2 years.)	RCT, crossover (2 groups) Rice protein Whey protein	2 trial visits per condition (7-day washout)	48 g isonitrogenous and isocaloric	N/A	Plasma concentrations of amino acids	



Table 1. Cont.

Reference	Participants	Design	Study Duration	Dosing Protocol	Exercise Program	Primary Variables	Key Findings
Corissen et al. 2016 [43]	60 healthy older men (71 ± 1 years.)	RCT (5 groups) Wheat (n = 12) WPH35 g (n = 12) Casein (n = 12) Whey (n = 12) WPH60 g (n = 12)	1 trial visit per group. 240 min	35 g or 60 g	N/A	Postprandial increase in plasma EAA concentrations	↓ Postprandial increase in plasma EAA concentration after ingesting WPH-35 vs. Whey-35 ↓ Myofibrillar protein synthesis rates after ingesting WPH-35 vs. MCas-35 ↓ Postprandial increase in plasma leucine concentrations after ingesting WPH-60 vs. Whey-35
Oikawa et al. 2020 [42]	24 healthy young women (21 ± 3 years.)	RCT, single-blind (2 groups) PP (n = 12) Control (n = 12)		25 g of potato protein (PP) twice daily (1.6 g/kg/d total protein) (CON) (0.8 g/kg/d total protein) for 2 weeks.	Unilateral RE (~30% of maximal strength to failure) was performed thrice weekly with the opposite limb serving as a non-exercised control (Rest)	Myofibrillar protein synthesis	↑ MPS at Rest, and in the Exercise limb following PP ingestion ↑ MPS in CON vs. baseline after Exercise only.
Pinckaers et al. 2021 [41]	36 males (23 ± 3 years.)	RCT, parallel-group design 3 groups (n = 12/group)		30 g milk protein (MILK) 30 g wheat protein (WHEAT) 30 g blend combining 15 g wheat plus 15 g milk protein (WHEAT+MILK).	N/A	Post-prandial plasma amino acid profiles Myofibrillar protein synthesis rates	↓ Post-prandial plasma EAA concentration post WHEAT vs. MILK ↔ Post-prandial plasma EAA concentration post MILK and WHEAT+MILK ↔ Post-prandial myofibrillar protein synthesis rates between MILK vs WHEAT ↔ Post-prandial myofibrillar protein synthesis rates between MILK vs WHEAT+MILK

↔ = No difference (p > 0.05) change; ↑ = Greater increase (p < 0.05) over control or other condition/intervention. ↓ = Lesser or decrease (p < 0.05) over control or other condition/intervention.  
 AUC = area under the curve; MILK = Milk protein; MCas = Micellar casein; WPH = wheat protein hydrolysate; RPI = Rice protein isolate; WPI = Whey protein isolate; EAA = Essential amino acid; NEAA = non-essential amino acid; TAA = total amino acid; AUC = Area under the curve; C<sub>max</sub> = maximum concentration; t<sub>max</sub> = time at which maximum concentration was reached. Nmol/mL = nanomole/milliliter; PP = Potato protein. 1 RM = one repetition maximum. N/A = Not applicable as no exercise protocol was used.

#### 4. Prolonged Studies Using Plant Proteins and Exercise

Up until 2013, the only research involving plant protein ingestion and regular resistance training across several weeks was completed using soy [48–52]. A summary table of the results of all studies which have compared a plant protein to an animal protein source (usually whey) over several weeks while completing resistance training can be found in Table 2. Brown and colleagues [48] had 27 college-aged males who were enrolled in a university weight training class consume, in a double-blind fashion, a protein bar containing either 33 g of whey or soy protein while a third group completed the training, but did not consume either bar. Over nine weeks all participants completed the resistance-training program that consisted of 3 sets of 4–6 repetitions two days per week and incorporated 14 different exercises that targeted all major muscle groups. The two protein groups gained similar amounts of lean body mass while the group that only completed the resistance training did not gain any lean mass. Candow et al. [49] used a similar study approach whereby 27 untrained healthy men and women supplemented with isocaloric doses of either whey or soy protein while following a whole-body, 4 days per week resistance training program for six weeks. Each protein source was delivered in two equal doses on training days before and after each workout while on non-training days, three equal doses were taken and spread evenly across the day. The total daily protein dose was 1.2 g/kg/day. Thus, a 70-kg individual would have consumed 84 total grams of protein per day or an estimated 28–42 g per dose. Each exercise was performed in 4–5 sets of 6–12 repetitions at an intensity of 60–90% 1 RM. Again, both sources of protein supplementation increased strength gains and accretion of lean tissue when compared to the carbohydrate control group, but no differences were identified between the plant (soy) and animal (whey) source of protein. Hartman et al. [51] had young men complete a weekly resistance training program for 12 weeks while consuming either a soy or skimmed milk beverage immediately and one hour after each workout (delivering 35 g of protein for each condition) and found that greater gains ( $p < 0.05$ ) in fat-bone-free mass occurred with the skimmed milk group (3.9 kg, 6.2%) than what was observed in the soy group (2.8 kg, 4.4%). In 2009, Denysschen et al. [50] supplemented 28 overweight male subjects (body mass index of 25–30 kg/m<sup>2</sup>), all with total serum cholesterol > 200 mg/dL with either a placebo, soy, or whey protein. The whey, soy, and carbohydrate (placebo) all contained approximately 26 g and were administered in a randomized, double-blind fashion while each participant completed a 12-week supervised resistance training program. In accordance with the Brown and Candow studies, all three groups experienced significant increases in strength and fat-free mass. In addition, this study also illustrated similar decreases in percent body fat, waist-to-hip ratio, and total cholesterol in all three groups. Volek and investigators [52] randomized non-resistance trained men and women to consume either 24 g of whey protein, 24 g of soy protein, or 24 g of a carbohydrate control while completing a supervised and periodized resistance training program over a nine-month period. Lean body mass gains in the individuals consuming whey protein were found to be significantly greater (~3.3 kg) than what was observed in the soy (~1.8 kg) and carbohydrate (~2.3 kg) groups.

In 2013, Joy and colleagues [53] were the first to examine the impact of rice protein for its ability to impact resistance training adaptations and this was also one of the first times a plant protein source other than soy was assessed for its potential to impact resistance training adaptations. This study randomized 24 healthy males in a double-blind fashion to ingest either 48 g of whey protein or rice protein isolate. The participants supplemented for eight weeks and followed a three day per week resistance training program. Significant increases in fat-free mass, maximal strength, and lower-body power occurred in both protein groups, but no differences in changes were observed between the two protein sources. The protein dose in this study (48 g) was chosen to ensure that adequate amounts of leucine were being delivered in both the rice and whey protein groups. Results of the study revealed similar outcomes as seen previously with soy, whereby similar short-term changes in resistance training adaptations were observed between plant and animal

protein sources. As a follow-up, Moon and colleagues [54] had 24 healthy, resistance-trained males perform a four days per week split-body, linearly periodized resistance training program (3–4 sets of 6–10 RM loads) for ten weeks. In a randomized, double-blind fashion, participants began supplementing daily after completing two weeks of resistance training with 24-g doses of either a rice or whey protein concentrate. The chosen dose in this study was intended to deliver a dose of rice protein that just met what has been considered by many to be the minimum amount of leucine (~2.0 g) to stimulate protein translation [5,55]. As seen previously, significant increases in body mass, total body water, lean mass, fat-free mass, maximal upper body strength, upper body volume, and maximal lower-body strength were observed throughout the study in both groups. No differences between the two protein groups were observed for any of these outcomes, leading the authors to conclude that the observed resistance training outcomes were similar between the two protein conditions. These results are significant in the sense that this was one of the first studies to illustrate similar potential of a plant protein source to elicit changes in strength and body composition, using a smaller dose of a plant-based protein over a short period of exercise training and supplementation. Moreover, the findings also support the notion that as long as an efficacious dose of leucine and essential amino acids are ingested, that favorable exercise training adaptations can result from a plant protein source.

In 2015, Babault and colleagues [56] investigated the impact of a pea protein on changes in exercise training adaptations. Over 12 weeks, 161 males between the ages of 18–35 years completed upper body resistance training while supplementing with either pea protein, whey protein, or placebo. The total protein dose was 50 g per day that was divided up into two 25-g doses each day. Increases in muscle thickness tended ( $p = 0.09$ ) to be greater in the pea protein group when compared to changes observed in the whey and placebo groups. Interestingly, when a sub-analysis was completed of those participants who had the lowest strength levels to start the study, pea protein supplementation exhibited a greater ability to increase muscle thickness levels. These results led the authors to conclude that a pea protein supplement could serve as an alternative to whey protein. Reidy and investigators [57] were the first to investigate the ability of a blend of soy and dairy proteins to increase strength and body composition. In randomized, double blind fashion, 58 participants consumed a 22-g dose of a soy-dairy protein balance, 22 g of whey protein isolate or an isocaloric carbohydrate placebo. Participants supplemented for 12 weeks while completing a resistance-training program. All groups experienced increases in lean mass, with the changes observed in the soy-dairy protein tending to be greater than what was seen in carbohydrate ( $p = 0.09$ ), with no differences being observed between the whey protein isolate ( $p = 0.55$ ). Changes in strength were similar between all groups. Muscle thickness was significantly increased in all participants with a trend being observed for differences between groups (Mean: 0.92 kg, 95% CI: -0.12, 1.95 kg,  $p = 0.09$ ). In 2017, Mobley et al. [58] reported no differences between groups for the observed changes in strength, body composition or various tissue attributes of skeletal muscle or adipose tissue after supplementing and resistance training for 12 weeks. In this study, 75 untrained college-aged males were randomly assigned to consume a carbohydrate placebo, whey protein hydrolysate, whey protein concentrate, or a soy protein concentrate. A similar outcome was reported for Lynch and colleagues [59] who randomly supplemented 48 untrained men and women for 12 weeks with either 19 g of whey protein isolate or 26 g of soy protein isolate; protein dose amounts that both delivered 2 g of leucine. In both protein groups, body mass, lean mass, peak extension and flexion torques all increased significantly in both groups while muscle thickness tended to increase after 12 weeks of resistance. As seen in previous studies, no differences between the two protein groups were observed for the measured outcomes.

Table 2. Summary Table of Prolonged (Training) Examining Exercise Training Adaptations Using Plant Protein Sources.

Reference	Participants (Age)	Design	Study Duration	Dosing Protocol (Timing)	Exercise Program	Primary Variables	Key Findings
Babault et al. [56]	161 males (18–25 years)	RCT (3 groups) Control (n = 54) Whey (n = 53) Pea (n = 53)	12 weeks	50 g pea/day (two 25 g doses)	RT 3×/week	Muscle thickness Strength	↑ Bicep thickness ↑ 1-RM Strength
Brown et al. [48]	27 healthy, college-aged males (19–25 years)	RCT (3 groups) Control (n = 9) Whey (n = 9) Soy (n = 9)	9 weeks	33 g soy/day (11 g dose 3×/d)	RT 2×/week	Body comp	↑ Fat-free mass ↓ Percent body fat
Candow et al. [49]	27 non-active males and females (18–35 years)	RCT (3 groups) Control (n = 9) Whey (n = 9) Soy (n = 9)	6 weeks	1.2 g soy/day (3 daily doses)	RT 4×/week	Body comp Strength	↑ Fat-free mass ↑ Strength
DeNysschen et al. [50]	28 overweight males (21–50 years)	RCT (3 groups) Control (n = 9) Whey (n = 10) Soy (n = 9)	12 weeks	26 g soy/day (Post-workout)	RT 3×/week	Body comp Strength Anthropometrics	↑ Fat free mass ↓ Percent body fat ↑ Strength. ↓ Waist-to-hip ratio
Hartman et al. [51]	57 healthy males (18–30 years)	RCT (3 groups) Control (n = 19) Milk (n = 18) Soy (n = 19)	12 weeks	17.5 g soy/day (Post-workout)	RT 5×/week	Body comp Strength Muscle fiber size	↑ Fat-free mass ↔ Strength ↑ Muscle fiber area
Hevia-Larrai et al. [60]	38 untrained young males (18–35)	RCT (2 groups) Vegans (n = 19) Omnivores (n = 19)	12 weeks	1.6 g/kg/day (Soy or Whey)	RT 2×/week	Leg muscle mass Muscle mass Muscle fiber size Strength	↑ Leg muscle mass ↑ Lean body mass ↑ VL CSA ↑ Leg press 1-RM
Joy et al. [53]	24 healthy males (18–30)	RCT (2 groups) Rice (n = 12) Whey (n = 12)	8 weeks	48 g rice/day (Post-workout)	RT 3×/week	Body comp Strength Power	↑ Fat-free mass ↑ Strength ↑ Wingate power
Lamb et al. 2020 [46]	39 non-active older males and females (50–80 years)	RCT (2 groups) Control (n = 19) Pea protein (n = 20)	10 weeks	30 g peanut/day (1x/d)	RT 2×/week	Body comp Muscle thickness Knee flexion torque	↔ Body comp ↑ VL thickness ↑ Knee flexion torque
Lynch et al. [59]	48 non-active males and females (18–35 years)	RCT (2 groups) Whey (n = 26) Soy (n = 22)	12 weeks	19 g whey or 26 g soy/day (post-workout)	RT 3×/week	Body mass Body comp Muscle thickness Knee flexion and extension torque	↑ Body mass ↑ Fat-free mass ↔ VL thickness ↑ Peak torque

Table 2. Cont.

Reference	Participants (Age)	Design	Study Duration	Dosing Protocol (Timing)	Exercise Program	Primary Variables	Key Findings
Mobley et al. [58]	75 healthy, untrained males (19–23 years)	RCT (5 groups) Control (n = 15) Leucine (n = 14) WPC (n = 17) WPH (n = 14) Soy (n = 15)	12 weeks	39.2 g soy/day (post-workout and pre-sleep)	RT 3×/week	Strength Body mass Body comp Muscle fiber CSA	↔ Strength ↔ Body mass ↑ Muscle Mass ↑ I/Type I/II CSA
Moon et al. [54]	24 healthy, trained males (18–50 years)	RCT (2 groups) Whey (n = 12) Rice (n = 12)	8 weeks	24 g rice or whey/day (post-workout)	RT 4×/week	Body comp Muscular strength Muscular Endurance Anaerobic Capacity	↑ Body comp ↑ 1-RM strength ↑ Rep to fatigue ↑ Wingate power
Reidy et al. [57]	67 healthy males (18–35 years)	RCT (3 groups) Control (n = 23) Whey (n = 22) Soy (n = 23)	12 weeks	22 g soy or whey/day (post-workout)	RT 3×/week	Body comp Strength mCSA Muscle thickness	↑ Lean body mass ↔ 1RM strength ↔ mCSA ↔ Muscle thickness
Thomson et al. [61]	83 older adults (50–79 years)	RCT (3 groups) Control (n = 23) MILK (n = 34) Soy (n = 26)	12 weeks	27 g soy/day (post-workout)	RT 3×/week	Strength Body comp Physical function	↔ Strength ↑ Lean mass ↑ Physical function
Volek et al. [52]	63 untrained males and females (18–35 years)	RCT (3 groups) Control (n = 22) Whey (n = 19) Soy (n = 22)	9 months	24 g soy protein (Post-workout)	RT 3×/week	Body comp	↑ Lean body mass ↔ Fat mass

↔ = No difference ( $p > 0.05$ ) change; ↑ = Greater increase ( $p < 0.05$ ) over control or other condition/intervention. ↓ = Lesser or decrease ( $p < 0.05$ ) over control or other condition/intervention.  
WPC = whey protein concentrate; WPH = whey protein hydrolysate; MILK = milk protein; mCSA = muscle cross-sectional area; 1 RM = one repetition maximum.

Hevia-Larrazin and colleagues [60] have been one of the only research groups to examine the impact of habitually consuming a plant-based versus an omnivorous diet. This project examined the impact of protein-matched diets on resistance training adaptations in 38 young men who were physically active, but naïve to resistance training. Habitual (longer than 12 months) vegans or omnivores were assigned to a protein group and were given supplemental protein (in the form of soy protein for vegans and whey protein for omnivores) to achieve a daily protein intake of 1.6 g/kg/day. For 12 weeks, each participant resistance trained their lower-body musculature two times per week and has strength, muscle mass and cross-sectional area assessed. All measured outcomes improved in both groups across the 12-week study protocol, but there were no differences between the two protein groups. These outcomes support previous work that indicates that plant proteins, when provided as part of daily protein intake that meets daily needs, can lead to comparable improvements in strength and body composition outcomes when compared to animal proteins.

In summary, a growing number of studies have evaluated the ability of plant protein sources to stimulate resistance-training adaptations in comparison to the adaptations seen with an animal source of protein. When viewed collectively, the majority of published studies, as designed, consistently indicate that plant proteins can deliver similar changes in strength and body composition when strategies are taken to either equate the amount of leucine being delivered or ensuring that enough leucine and the other essential amino acids are being delivered. The majority of studies completed thus far have been 8–12 weeks in duration and this may function as an important consideration when interpreting this literature. A key exception to this was seen with Volek et al. [52] who reported more favorable adaptations after whey protein ingestion when compared to an identical dose of soy protein after 9 months of training. Thus, it remains quite possible that while studies performed of shorter durations are reporting equivalence within these established delimitations that if future studies are performed for longer time periods (4–6 months or longer) that different outcomes may result. To support this notion, the Moon et al. [54] study reported no differences in strength and body composition changes after eight weeks of supplementing with a 24-g dose of either rice or whey protein with a total daily protein intake of 1.4–2.0 g/kg/day, however, the largest mean changes from baseline were observed in the whey protein group.

## 5. Recovery Considerations for Plant Protein Sources

Additional research has examined the ability of various plant-based proteins for their ability to influence post-exercise protein kinetics and recovery [62–65]. For example, Kritikos et al. [62] recently examined differences in recovery kinetics following speed endurance training in male soccer players after ingesting whey or soy protein. The authors concluded that both whey and soy protein were able to mitigate reductions in field-based performance during successive speed-endurance training sessions, with neither protein source appearing to have an effect on exercise-induced muscle damage or markers of oxidative stress. Using an eccentric muscle damage model, Nieman and investigators [64] compared the ability of whey or pea protein to mitigate decrements in force production and increases in markers of swelling, muscle damage, and inflammation. A 90-min bout of eccentric exercise in 92 untrained, non-obese males was used to invoke muscle damage. The participants were divided into three groups: placebo (water), whey protein (0.9 g/kg divided into three doses per day), and pea protein (0.9 g protein/kg divided into three doses per day) and changes in force production, power, and blood markers were assessed each day for five consecutive days. Following muscle damage, Whey protein significantly attenuated increases in blood-based markers of muscle damage while the changes observed in pea protein were not significantly different than what was observed in the water condition. No differences, however, were identified between the magnitudes of differences observed in the two protein groups. Xia et al. [63] examined the effects of oat protein supplementation

on markers of muscle damage and inflammation in addition to measures of performance following downhill running. After 14 days of supplementation with 25 g per day of oat protein, an attenuation of the observed increases in eccentric exercise-induced muscle soreness and serum concentrations of IL-6, creatine kinase, myoglobin, and C-reactive protein were observed. A marked reduction in lower limb edema, in addition to a lesser reduction in muscle strength, knee-joint range of motion and vertical jump performance was observed following oat protein supplementation when compared to placebo.

In contrast with the previous findings that suggested a favorable ability of protein to promote recovery, Saracino and researchers [65] had 27 recreationally active, middle-aged men complete 5 sets of 15 repetitions using eccentric contractions the knee extensors and flexors. Starting the same day as which muscle damage occurred, participants ingested equivalent doses (40 g) of whey protein hydrolysate, whey isolate, or a rice and pea protein combination in addition to a placebo group 30 min prior to going to sleep and did this supplementation regimen again for the next two nights. Nutrient intake was standardized to ensure adequate daily protein and a series of circumference, soreness, muscle damage markers and strength measures were taken for 72 h after completion of the exercise bout. While widespread and predictable changes in the measured outcomes occurred in response to the exercise bout, no differences were identified between any of the supplementation groups. As such, the authors concluded that pre-sleep supplementation protein ingestion, regardless of protein source, did not aid in muscle recovery from muscle-damaging exercise. The results from the Saracino study align with previous indications by Pasiakos et al. [66], who concluded in their meta-analysis that added protein may exert limited benefit in terms of promoting recovery and reducing muscle damage and soreness. In this respect, it is difficult to draw conclusions across studies that investigated the effects of only plant or animal-based proteins in isolation, rather than comparing multiple protein sources within the same study. As such, contextual factors such as exercise modalities, differences in protein metabolism assessment techniques and subject characteristics may confound any further ability to draw conclusions across the literature regarding a superior effect of one protein sources over the other. Consequently, more studies are needed that examine the potential of single or blended sources of plant protein in comparison to animal sources for their ability to differentially impact performance or various recovery metrics in response to challenging doses of exercise. A summary table of all studies which have compared some aspect of exercise recovery between a plant and animal source of protein can be found in Table 3.

Table 3. Summary Table of Studies Examining Exercise Recovery Outcomes Using Plant Protein Sources.

Author (Year)	Participants (Age)	Design	Study Duration	Dosing Protocol (Timing)	Exercise Program	Primary Variables	Key Findings
Nieman et al. [64]	92 healthy, untrained males (18–55 years)	RCT (3 groups) Control (n = 30) Whey (n = 31) Pea (n = 31)	5 days	0.3 g/kg/d pea or whey/day (Pre-workout)	90 min eccentric exercise bout	Strength Vertical jump Anaerobic power Muscle soreness	↔ 1 RM strength ↔ Vertical jump ↔ Wingate power ↑ Muscle soreness
Saracino et al. [65]	27 active, middle-aged males (40–64 years)	RCT (4 groups) Control (n = 6) WPH (n = 9) WPI (n = 6) Rice/pea (n = 6)	3 days	40 g rice/Pea blend/day (pre-sleep)	Lower body muscle-damaging exercise	MVC Muscle soreness Thigh circumference	↓ MVC ↔ Muscle soreness ↔ Thigh circumference
Kritikos et al. [62]	10 well-trained soccer players (n = 10)	RCT, crossover	3 days	1.5 g/kg/day whey or soy	Field-based speed training sessions	Performance Isokinetic strength MVC Lower body power Muscle damage Creatine kinase Muscle soreness	↓ Isokinetic leg strength ↓ MVC ↓ Speed ↓ [CMJ] ↑ CK ↑ DOMS
Xia et al. [63]	16 healthy, non-active males (19.7 ± 1.1 years)	RCT (2 groups) Control (n = 8) Oat (n = 8)	19 days	25 g oat/day (post-workout)	Downhill running	Muscle soreness IL-6 Creatine kinase Leg strength Vertical jump	↓ Muscle soreness ↓ IL-6 ↓ CK ↑ 1 RM strength ↑ Vertical jump

↔ = No difference (p > 0.05) change; ↑ = Greater increase (p < 0.05) over control or other condition/intervention. ↓ = Lesser or decrease (p < 0.05) over control or other condition/intervention.  
 WPC = whey protein concentrate; WPH = whey protein hydrolysate; WPI = whey protein isolate; MILK = milk protein; DOMS = delayed onset muscle soreness; CK = creatine kinase; IL-6 = interleukin-6; MVC = maximal voluntary contraction; 1 RM = one repetition maximum.



## 6. Considerations for Older Adults

It is well-established that as individuals age their rate of muscle mass loss (i.e., sarcopenia) [67,68] and muscle strength and function loss (e.g., dynapenia) [69] both increase. Accepted countermeasures for these changes are an increase in weight-bearing (resistance) exercise and an adequate delivery of protein and amino acids. In this respect, several studies are now available that have examined the impact of protein ingestion in older populations. For example, post-prandial MPS rates after ingesting 24 g of soy protein have been shown to be lower in older adults when compared to beef protein ingestion [70]. Moreover, Yang and colleagues [4] examined the dose-response impact of soy protein ingestion in older adults and found that doses of up to 40 g of soy protein failed to elevate MPS rates from basal (fasting) levels. In consideration of soy ingestion, these results are important as they seemingly suggest that even a large dose (40 g) may fail to appropriately stimulate MPS rates. Other studies have examined the impact of plant-based foods in elderly women [71] and concluded that net protein synthesis was lower during a high vegetable protein diet versus a high animal protein diet. Moreover, Gorissen et al. [43] had 60 healthy older men consume one of four sources of protein in a 35-g dose: whey, micellar casein, wheat, or wheat protein hydrolysate or a 60-g dose of wheat protein hydrolysate (an amount that deliver equivalent amounts of leucine as in the 35 g dose of whey). Postprandial increases in plasma leucine were highest after ingesting whey while myofibrillar protein synthesis increases were greater in whey and casein while the 60-g dose of wheat matched rates of myofibrillar protein synthesis. When viewed in concert with the findings of Yang et al. [4], these outcomes highlight the need for older individuals to either consume larger doses of plant proteins or for strategies to be implemented that increase the anabolic potential of the plant protein dose. Practically speaking, these results are troubling and seemingly work against the age-related loss of appetite and enjoyment from food that occurs with advancing age [72].

Finally, two studies have examined the impact of combining different sources of plant proteins in combination with resistance training in older adults to identify the impact that plant protein consumption may have on changes in strength and body composition. Briefly, Thomson et al. [61] compared changes in strength and body composition in both soy protein and dairy protein (both consumed in dosages of 27 g/day and a total protein intake of 1.2 g/kg/day) in a group of older ( $61.5 \pm 7.4$  years) adults. After 12 weeks, both groups experienced increases in strength and fat-free mass, but no differences between the two protein sources were found. Similarly, Lamb and colleagues [46] randomized 39 older ( $58 \pm 8$  years), untrained men and women to consumed either a defatted peanut protein powder (30 g protein, 9 g essential amino acids) or no supplement at all. Hypertrophy and performance were assessed six and ten weeks after supplementation and no changes in fat mass, lean, or percent body fat were found between the groups. An increase in vastus lateralis thickness was observed in the peanut protein group when compared to the no-supplement controls and peak power increased in the peanut powder group. The authors concluded that a defatted peanut protein powder may positively impact resistance training adaptations seen in a group of healthy, older previously untrained men and women. More research is needed to help identify what differential impact, if any, plant protein sources may hold over animal sources of protein.

## 7. Increasing the Anabolic Potential of Plant Sources

Several strategies exist to increase the anabolic potential of various protein sources. These strategies include but are not exclusive to increasing daily protein intake, co-ingestion of plant proteins with amino acids or other nutrients, supplementing plant sources with those amino acids deemed to be low or limiting, and blending various protein sources together. Certainly, the easiest solution to overcome the lower levels of amino acids and digestibility is to increase the size of protein dose. In this respect, studies in younger subjects [15,73] illustrate that a dose of 20–25 g of protein (0.25 g/kg body/dose) can

maximize MPS using animal sources. When using plant protein sources, as highlighted by other studies [4,43], larger doses are likely needed to maximize the MPS response. While accepted to be a simple recommendation, pragmatic aspects must be considered as sometimes larger doses might be challenging for people to consume due to larger volume of fluid, higher fiber intakes (common in plant-based foods), or food being needed to ingest, particularly for older individuals.

Another strategy that needs further exploration involves the co-ingestion of plant proteins with various nutrients to help increase the anabolic potential of plant protein, particularly in those populations that need more protein and/or may not be consuming enough protein. Towards this end, previous research has indicated that consuming omega-3 fatty acids with an amino acid infusion surrounding resistance exercise can heighten anabolic sensitivity of skeletal muscle and increase rates of MPS [74,75]. This practice, however, has yet to be evaluated in an exercise training model in combination with plant protein consumption. Nonetheless, these results are of great interest and future research should seek to explore this approach with plant sources of protein to determine if the increased anabolic sensitivity also occurs with intact plant ingestion and then if this translates to greater gains in health and resistance training adaptations.

As highlighted earlier, the leucine content of feeding has been shown to be of critical importance in terms of stimulating MPS [14,15]. In this respect and on a per gram basis, plant sources have lower amounts of leucine as well as many of the essential amino acids [22]. To overcome these shortcomings, researchers have explored the impact of consuming smaller doses of protein but fortifying the dose with added leucine or other limiting amino acids. For example, Churchward-Venne and colleagues [76] added leucine to a small dose (6.25 g) of whey protein to match the leucine that was delivered in a 25-g dose of whey protein. They demonstrated this approach was effective at stimulating fed rates of MPS, but the 25-g dose of whey protein better sustained exercise-induced rates of MPS. While the approach has yet to be examined using a plant protein sources, previous studies [77,78] that combined plant proteins with leucine or all three branched-chain amino acids have illustrated favorable changes in MPS and how certain amino acids are metabolized inside various tissues. Future work should build upon these approaches to examine their efficacy at promoting favorable adaptations to exercise training.

A commonly proposed solution to overcoming the shortcomings associated with plant protein intake center upon mixing the plant source with an animal source or another plant source [79]. Using this approach, acute MPS responses were assessed after ingesting a protein blend of 25% whey protein, 25% soy protein, and 50% casein protein and completion of a single bout of lower-body resistance exercise. When compared to an isonitrogenous dose of whey protein in young, healthy males, the protein blend increased mixed MPS rates to a similar magnitude as what was observed with whey protein consumption [80]. This acute study was followed up using a 12-week resistance-training model whereby Reidy and colleagues [57] supplemented 68 young, healthy males daily with 22-g doses of either a blend of soy and dairy proteins, an isocaloric carbohydrate control, or a protein-equated whey protein group while performing a supervised resistance training program three days per week. When compared to a carbohydrate control, the protein blend tended to increase lean mass while no change was observed in the whey protein group. This led the authors to conclude that consumption of a protein blend slightly enhanced gains on whole-body as well as arm lean mass while strength changes were not different between groups. For many people, however, a protein blend consisting of only 25% soy protein and 75% animal protein will not be acceptable. Thus, depending on the underlying reason for exclusively selecting plant-based sources of protein, it may not be practical for individuals to combine plant- and animal-based proteins. In this respect, blending multiple plant protein sources has been explored to maximize amino acid delivery while also creating a blend that is 100% plant-derived. Currently, no data exists using this approach to identify acute changes in muscle protein synthetic responses or changes in resistance training adaptations after several weeks of administration. More research in this area should be considered.

Another strategy to heighten the potential impact of plant protein ingestion could center upon the timing or proximity of when nutrients are consumed relative to the exercise. The concept of nutrient timing is not new and current position stands on the topic have thoroughly discussed the literature surrounding its efficacy [81]. As highlighted previously, resistance-based exercise induces a period of sensitization in skeletal muscle that enhances the anabolic properties of protein ingestion [82]. As a result, more of the amino acids consumed from dietary sources are directed towards incorporation into peripheral tissues versus splanchnic extraction, which facilitates greater increases in MPS rates [83]. This heightened sensitivity has been shown to persist for up to 24 h after completion of an exercise bout [82]. Consequently, when plant protein feedings are provided, which depending on many factors discussed throughout this paper may result in a smaller bolus of amino acids being delivered, they may still be able to instigate meaningful increases in MPS rates if they are ingested during this period of heightened sensitivity. Currently, no research has explored the potential for timing with ingestion of plant protein sources and future studies should seek to determine the extent to which (if any) these strategies can help improve adaptations commonly seen from resistance exercise. Finally, recent studies by Stecker [84] and Jäger [85] have provided evidence that adding various strains of a probiotic to an animal source of protein and a plant source of protein, respectively, may favorably impact the appearance of various amino acids into the bloodstream when coingested with protein.

## 8. Conclusions and Future Directions

The popularity of plant proteins has grown substantially in recent years. Initial research that examined the acute impact of various sources of protein at stimulating MPS clearly points towards an advantage for the highest quality protein sources, which are viewed to be those that are derived from animal sources. As such, animal proteins were strongly advocated for health and performance outcomes while plant sources of protein were viewed to be inferior at helping exercising individuals achieve their exercise training goals. Only recently have studies begun to appear that have compared the ability of various animal and plant protein sources regarding facilitating increases in strength, endurance, power, fat-free mass accretion, and recovery over the course of several weeks of exercise training and supplementation. From this prolonged data, a consistent pattern has appeared which suggests that when total daily protein intake is achieved at levels recommended for exercising athletes (1.4–2.0 g/kg/day) [5,7,11], the source of protein does not function as a determining factor in the observed outcomes.

Two key considerations stemming from this conclusion, however, must be considered. First, only one study to date [60] has made such comparisons in study participants who were habitually consuming either plant or animal sources of protein. This point is not made to detract from the significance of the other published findings, but the majority of studies that have provided a daily dose of a plant protein have done so with individuals consuming diets mixed with various animal protein sources. Thus, for a 180-pound (81.7 kg) individual who is consuming 1.5 g/kg/day of protein, a daily 25-g dose of plant protein represents approximately 20% of that individual's daily protein intake and one can reasonably question how much impact changing the source of just 20% of the daily protein delivered will impact overall outcomes. Second, nearly all studies (acute and prolonged) have utilized free amino acid mixtures or isolated protein powders while the majority of nearly all dietary protein is consumed as some form of mixture of macro- and micronutrients. More research needs to continue to explore how the matrix of nutrients found in single foods and meals impacts these outcomes. The future is bright, however, for plant proteins, as strategies have been articulated in this paper and others [16,23] regarding various strategies that can be considered to help increase the quality of each plant protein feeding. In this respect, more research is needed to identify if co-ingestion of plant proteins with various nutrients can heighten desired physiological adaptations by exercising individuals. Furthermore, research should explore how changes in plant protein

manufacturing (hydrolyzing, heat treatment, etc.) as well as the timing or pattern of how the protein is administered, particularly in reference to completion of resistance exercise, may confer certain advantages.

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Review

# Nutritional Interventions to Improve Sleep in Team-Sport Athletes: A Narrative Review

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**Abstract:** Athletes often experience sleep disturbances and poor sleep as a consequence of extended travel, the timing of training and competition (i.e., early morning or evening), and muscle soreness. Nutrition plays a vital role in sports performance and recovery, and a variety of foods, beverages, and supplements purportedly have the capacity to improve sleep quality and quantity. Here, we review and discuss relevant studies regarding nutrition, foods, supplements, and beverages that may improve sleep quality and quantity. Our narrative review was supported by a semi-systematic approach to article searching, and specific inclusion and exclusion criteria, such that articles reviewed were relevant to athletes and sporting environments. Six databases—PubMed, Scopus, CINAHL, EMBASE, SPORTDiscus, and Google Scholar—were searched for initial studies of interest from inception to November 2020. Given the paucity of sleep nutrition research in the athlete population, we expanded our inclusion criteria to include studies that reported the outcomes of nutritional interventions to improve sleep in otherwise healthy adults. Carbohydrate ingestion to improve sleep parameters is inconclusive, although high glycemic index foods appear to have small benefits. Tart cherry juice can promote sleep quantity, herbal supplements can enhance sleep quality, while kiwifruit and protein interventions have been shown to improve both sleep quality and quantity. Nutritional interventions are an effective way to improve sleep quality and quantity, although further research is needed to determine the appropriate dose, source, and timing in relation to training, travel, and competition requirements.

**Keywords:** sleep; athletes; recovery; team-sport; macronutrients; supplements

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## 1. Introduction

High-performance team-sport athletes are required to train and compete to a high standard on a weekly basis. However, these athletes face the continual challenge of balancing the physiological, neuromuscular, and psychological stressors induced by training and competition [1]. Optimizing recovery to overcome these challenges and support performance is of utmost importance [2]. Sleep plays a crucial role in recovery and has restorative effects on physiological [3], perceptual [3–5], and immune [6,7] functions. Athletes, regardless of their sport, rate sleep as their most important recovery strategy [8,9]. A reduction in sleep quality has been associated with increased incidence of fatigue-related injury [1], reductions in the skeletal muscle remodeling [10], and disturbs cellular maintenance processes [6,7]. Despite evidence affirming the importance of restorative sleep [11], elite athletes appear to experience more sleep disturbances than the general population [1,8]. Behavioral and lifestyle strategies that promote sleep and sports performance will be welcomed by athletes and coaches.

Research examining athlete sleep indicates evening competition, travel, and training schedules can have a deleterious impact on sleep quality and quantity [12]. Up to ~50% of elite athletes experience sleep disturbances following late night competition or training



sessions [1,13]. Night-time competition is widely associated with sleep issues and athletes can experience substantial disruptions (i.e., increased core temperature, muscle soreness, and delayed bedtime) to sleep quality and quantity compared with daytime competition [12]. Athletes also often face undesirable training schedules, including morning or late evening sessions, which can compromise an optimal recovery regime by reducing sleep quantity due to limited time in bed [10,12,14]. Athlete sleep quantity is also impacted by air travel, which can reduce sleep duration given a later sleep onset, delayed time in bed [15], and circadian rhythm misalignment [12]. A variety of interventions have been explored to negate the disturbances experienced by athletes, although not all have proven to be successful.

Evidence for the efficacy of interventions to improve sleep and related recovery outcomes in athletes is somewhat equivocal. Research investigating the effect of a sleep hygiene intervention in professional rugby league indicated sleep duration and efficiency increased immediately following the education seminars, but a follow-up one month later saw sleep behavior comparable to baseline levels [16]. Sleep hygiene and sleep extension interventions require athletes to change lifestyle behaviors (not always practical around training and competition schedules), which may yield poor compliance and limited effectiveness without regular follow-up [16]. Interventions that enhance athlete sleep and recovery regardless of situational changes (e.g., training times or travel demands) require further evaluation including both efficacy and practical means of implementation. Both sleep hygiene education and sleep extension interventions are effective short term, however long-term sustainability of their effects is questionable [17]. Furthermore, a recent survey study indicated female athletes may be resistant or less likely to implement sleep hygiene interventions [18]. Easily implemented techniques and strategies to improve sleep in the female athlete population are required.

It is well established that nutrition plays a vital role in performance and recovery; however, research investigating the role of selected foods, and macro- and micro-nutrients in the sleep–wake cycle is in its infancy. While the primary role of sports nutrition has been to support intensive training requirements and promote recovery [19], attention is shifting to the use of nutritional supplements for improving sleep [10]. Therefore, the aim of this review was to investigate nutritional strategies that can be utilized to enhance sleep quality and quantity, and how this information can guide future nutritional interventions focused on supporting sleep in an athletic population.

## 2. Methodology

A narrative review was conducted between March and November 2020. The narrative review was supported by a semi-systematic approach to article searching, and specific inclusion and exclusion criteria, so that articles reviewed were relevant to athletes and sporting environments. Initial studies of interest were located through a search of six databases (PubMed, Scopus, CINAHL, EMBASE, SPORTDiscus, and Google Scholar) from inception up to November 2020. The following search terms were used: nutrition OR nutritional intervention AND sleep AND athletes OR athlete OR team-sport OR sportsman OR sportswoman. Another search was conducted using the following search terms: nutrition OR nutritional intervention AND sleep, to find interventions based on other populations.

Studies were included in the review if they were experimental, including randomized controlled trials, observation studies, case studies, and case reports conducted in elite or semi-elite athlete cohorts. Given the paucity of sleep nutrition research in the athlete population, we expanded our inclusion criteria to include studies that reported the outcomes of nutritional interventions to improve sleep in otherwise healthy adults. Studies were excluded if they included participants with concussions, included participants with other disorders unrelated to sleep, participants in shift work, described longitudinal dietary adjustment (e.g., low carbohydrate dieting), or an animal study. Reference lists of selected articles were also inspected to ensure all relevant literature was captured for review. The

outcomes of nutritional intervention on sleep were detailed in four variables following existing recommendations [20]:

1. Total sleep time (TST, min)—total minutes of night-time sleep;
2. Sleep efficiency (SE, %)—the ratio of TST to time spent in bed;
3. Sleep onset latency (SOL, min)—the time between bedtime and sleep onset;
4. Wake after sleep onset (WASO, min)—the time awake after initial sleep onset but before the final awakening.

Given this study was a review, no ethics approval was required. Narrative synthesis was used to investigate and interpret both similarities and differences between studies identified with the two systematic searches, and other secondary sources deemed relevant by the research team.

### 3. Results

#### 3.1. Included Studies and Characteristics

Evidence for the efficacy of nutritional interventions to improve sleep in human studies is limited, with even less research reporting their effectiveness in an athletic population. The results from the literature search for studies using athletic ( $n = 4$ ) and both healthy ( $n = 6$ ) and poor sleeping ( $n = 10$ ) general population cohorts are displayed below in Table 1.

**Table 1.** Characteristics of studies in athlete, and healthy and poor sleeping general population groups.

Study	Subjects			Method of Sleep Assessment
	Description	<i>n</i>	Age, y	
<b>Athletes</b>				
Killer, 2017 [21]	Trained male cyclists	10	25.0 ± 5.8	Wrist actigraphy
Daniel, 2019 [22]	State-level male basketball players	8	18.0 ± 0.7	Wrist actigraphy
Shamloo, 2019 [23]	Male athletes	30	20.7 ± 3.7	PSQI
MacInnis, 2020 [24]	Elite male and female track cyclists	6	23 ± 6	Wrist actigraphy
<b>General Population (Healthy)</b>				
Afaghi, 2007 [25]	Healthy males	12	18–35	PSG
Afaghi, 2008 [26]	Health, non-obese males	14	18–35	PSG
Howatson, 2012 [27]	Healthy and physically active males and females	20	26.6 ± 4.6	Wrist actigraphy
Ong, 2017 [28]	Healthy males	10	26.9 ± 5.3	Wrist actigraphy
Bannai, 2012 [29]	Healthy males	7	40.6 (30–61)	Subjective sleep questionnaires
Vlahoyiannis, 2018 [30]	Healthy males	10	23.2 ± 1.8	PSG
<b>General Population (Poor Sleepers)</b>				
Pigeon, 2010 [31]	Chronic insomnia, otherwise healthy	15	71.6 ± 5.4	Subjective sleep questionnaires
Lin, 2011 [32]	Poor sleepers, males and females	24	20–55	Wrist actigraphy and PSQI
Yamatsu, 2015 [33]	Poor sleepers, males and females	16	36.8 ± 8.9	One channel EEG
Byun, 2018 [34]	Poor sleepers, males and females	30	49 ± 14	PSG and PSQI
Simper, 2019 [35]	Poor sleepers, young male and female adults	19	21.0 ± 1.0	Wrist actigraphy
Ingawa, 2006 [36]	Poor sleepers, females	15	31.1 (24–53)	Subjective sleep questionnaires
Yamadera, 2007 [37]	Poor sleepers, males and females	11	40.5 ± 10.1	PSG and subjective sleep questionnaires

Table 1. Cont.

Study	Subjects			Method of Sleep Assessment
	Description	n	Age, y	
Ito, 2014 [38]	Poor sleepers, males and females	45	35 ± 8	Subjective sleep questionnaires
Ito, 2014 [38]	Poor sleepers, males and females	6	35 ± 8	Wrist actigraphy
Yamatsu, 2016 [39]	Poor sleepers, males and females	10	37.7 ± 11.5	One channel EEG

EEG: electroencephalogram, PSG: polysomnography, PSQI: Pittsburgh Sleep Quality Index, data presented as mean ± SD unless otherwise noted.

Athlete studies were in mixed sport disciplines, two in cycling [21,22] and another in basketball [23]. One study did not specify the discipline the athletes participated in [24]. Three athlete studies utilized wrist actigraphy and one the Pittsburgh Sleep Quality Index (PSQI). Healthy general population studies were predominantly in male participants ( $n = 5$ ), with one study utilizing a male and female population. Three studies used PSG to measure sleep following nutritional intervention, and two used wrist actigraphy. Poor sleeping general population studies implemented a mixture of sleep methods, from subjective sleep questionnaires to PSG. Study participants were classified as general population (poor sleepers) if they reported any of the following: subjective sleep problems; insomnia; diagnosed sleep pathology; and a PSQI score above the threshold for a 'sleep problem' (i.e., 5.0 or 6.0 depending on the specific study).

Details of the nutritional interventions, as well as the impact these interventions on sleep outcomes are outlined in Table 2. These outcomes are detailed below in the categories of carbohydrates, protein, tart-cherry juice, and other interventions.

Table 2. Changes to sleep following carbohydrate, protein, tart cherry juice, and other nutritional interventions.

Study	Intervention			TST (min)	SE (%)	SOL (min)	WASO (min)
	Type	Timing	Days				
<b>Carbohydrate</b>							
Afaghi, 2007 [25]	High GI dinner	4 h pre-bed	3	↑ 7.9	↑ 1.7	↓ 8.5*	↑ 1.7
Daniel, 2019 [24]	High GI dinner and evening snack	4 h pre-bed	1	↑ 26.5	↓ 1.2	↓ 12.5	↑ 9.0
Afaghi, 2008 [26]	Very low carbohydrate diet (<1% total energy intake)	Over day	4	↑ 22.7	↑ 3.3	↓ 5.4 *	↓ 8.6
Killer, 2017 [21]	High carbohydrate drinks	Pre-, during, and post-exercise	9	↓ 19.0*	↓ NR	↔ NR	NR
Vlahoyiannis, 2018 [30]	High GI dinner	Post-exercise (~2 h pre-bed)	1	↑ 62.4 *	↑ 8.1 *	↓ 18.9 *	↓ 32.9 *
<b>Protein</b>							
Ong, 2017 [28]	Serving (20 g) of α-lactalbumin	1 h pre-bed	2	↑ 54.7 *	↑ 7.0 *	↓ 10.1	↓ 20.8
MacInnis, 2020 [24]	Serving (40 g) of α-lactalbumin	2 h pre-bed	3	↔ NR	↔ NR	↔ NR	↔ NR
<b>Tart Cherry Juice</b>							
Pigeon, 2010 [31]	Serving (240 mL) of tart Montmorency cherry juice	8:00–10:00 and 1–2 h pre-bed	14	↑ 29.3 **	↑ 3.7 *	↓ 3.6 **	↓ 16.8 **
Howatson, 2012 [27]	Serving (30 mL) of tart Montmorency cherry juice (with 200 mL water)	30 min post-wake and 30 min pre-bed	7	↑ 39.0 *	↑ 2.7	↓ 9.1	NR

Table 2. Cont.

Study	Intervention			TST (min)	SE (%)	SOL (min)	WASO (min)
	Type	Timing	Days				
	Other						
Lin, 2011 [32]	Two green kiwifruits	1 h pre-bed	28	↑ 54.8 **	↑ 2.0 **	↓ 13.9 **	↓ 6.1 **
Yamatsu, 2015 [33]	GABA (100 mg) with AVLE (50 mg)	30 min pre-bed	14	NR	NR	↓ 4.3	NR
Shamloo, 2019 [23]	Serving (100 mL) of beetroot juice	2 h pre-exercise	7	NA	NA	NA	NA
Inagawa, 2006 [30]	Glycine (3 g)	1 h pre-bed	4	NA	NA	NA	NA
Yamadaira, 2007 [37]	Glycine (3 g)	1 h pre-bed	2	↔ NR	NA	↓ NR **	↔ NR
Bannai, 2012 [29]	Glycine (3 g)	30 min pre-bed	3	NA	NA	NA	NA
Ito, 2014 [38]	L-serine (3 g)	30 min pre-bed	4	NA	NA	NA	NA
Ito, 2014 [38]	L-serine (3 g)	30 min pre-bed	2	NA	NA	NA	NA
Yamatsu, 2016 [39]	GABA (100 mg)	30 min pre-bed	7	NA	↔ NR	↓ 5.0 *	NA
Byun, 2018 [34]	GABA (300 mg)	1 h pre-bed	28	↑ 8.6	↑ 6.7 *	↓ 7.7 **	↓ 19.6 *
Simper, 2019 [35]	Serving of "Night Time Recharge" sleep supplement	1 h pre-bed	7	↑ 0.37	↑ 5.9	↓ 10**	NR

AVLE: *Apocynum venetum* leaf extract, GABA:  $\gamma$ -aminobutyric acid, GI: glycemic index, GL: glycemic load, NA: not applicable, NR: value not reported, SE: sleep efficiency, SOL: sleep onset latency, TST: total sleep time, ↑ increase, ↓ decrease, ↔ no change, \*  $p < 0.05$ , \*\*  $p < 0.01$ .

### 3.2. Carbohydrates

The majority ( $n = 3$ ) of the identified carbohydrate nutritional intervention studies investigated the effect of high glycemic index (GI) carbohydrate consumption pre-sleep. Overall, high GI carbohydrate consumption resulted in increases in TST (7.9–62.4 min) and SE (0.4–8.1%), and consistent reductions in SOL (5.6–18.9 min). Afaghi and colleagues [26] also investigated the effect of a very low carbohydrate diet on sleep, showing an increase in TST (22.7 min) and SE (3.3%), and reduced SOL (5.6 min).

### 3.3. Protein

Two studies explored the effects of protein to improve sleep. Both studies used the whey protein isolate  $\alpha$ -lactalbumin (dose range 20–40 g) [24,28]. In one study with healthy general populations, pre-sleep protein supplementation increased TST by 55 min, alongside a 7% increase in SE [28]. In the study by MacInnis et al. [24],  $\alpha$ -lactalbumin had no effect on sleep variables in a small sample of cyclists ( $n = 6$ ).

### 3.4. Tart Cherry Juice

Interventions utilizing tart cherry juice resulted in a universal increase across studies in TST (range from 29–39 min). The studies also showed modest improvements in SE (2.7–3.7%), and slight reductions in SOL (3.6–9.1 min) and WASO (16.8 min). The tart cherry juice studies were all performed in general population cohorts (both healthy and poor sleepers).

### 3.5. Other Interventions

The combination of  $\gamma$ -aminobutyric acid (GABA; an amino acid produced by natural fermentation) and *Apocynum venetum* leaf extract treatment yielded a modest decrease in SOL of 4.3 min and shortening of deep non-REM sleep latency by 5.3 min [33]. Similar magnitude reductions in SOL were reported for 100 mg of GABA supplementation only [39], while supplementation with 300 mg of GABA induced reductions in SOL and WASO in concert with an increase in SE [34]. In comparison, the consumption of two kiwifruits 1 h

before bedtime yielded a substantial increase in TST of 55 min, as well as a 2% increase in SE and decreased SOL (14 min) and WASO (6.1 min) [32]. In athletes, beetroot juice showed an improvement in subjective quality of sleep as measured by the PSQI [23]. In healthy adults, 3 g of glycine ingested an hour before bed decreased SOL and the onset latency of slow wave sleep [37]. Similarly, 3 g of glycine led to decreases in morning fatigue when ingested an hour before bed [36], and an improvement in cognitive function during periods of sleep restriction when ingested 30 min before bed [29]. In adults who were dissatisfied with sleep, 3 g of L-serine ingested 30 min before bed improved subjective sleep quality and sleep satisfaction; however, in a smaller sub-study, there were no improvements in actigraphy-measured sleep [38]. Finally, consuming a tart cherry-based sleep supplement powder reduced SOL (10 min) in poor sleeping young adults [35].

#### 4. Discussion

This review identified just four studies on nutritional interventions designed to enhance sleep quality and quantity in an athletic population. However, there are studies conducted in other general population cohorts, including individuals with diagnosed or self-reported sleep problems, that show modest support for the efficacy of nutritional intervention to increase objective TST, objective SE, subjective sleep, and reduce the effects of sleep complaints such as insomnia. Substantially more work is required in carefully controlled nutritional supplement studies to verify their efficacy and effectiveness in promoting sleep, recovery, and performance in the variety of settings that competitive athletes have to manage. Translation and implementation of positive experimental outcomes will require coordination and management between athletes, coaches, and support staff, especially dietitians and sports medicine practitioners, providing specific dietary advice.

##### 4.1. Carbohydrate

Carbohydrates have long been utilized in sport to fuel athletes who engage in intensified and prolonged exercise [2,10]. The traditional focus of carbohydrate supplementation is often on restoring muscle and liver glycogen levels between training sessions or matches [40]. While evidence for the amount, type, and timing of carbohydrate intake for recovery is well documented, some studies have also explored the use of carbohydrate for promoting sleep.

Most studies have focused on the effects of high and low glycemic index (GI) carbohydrate feeding [22,30]. Evidence from studies in athlete samples is limited and equivocal, with one study in Brazilian male basketballers reporting a non-significant increase in TST and decrease in SOL, but also reductions in SE and an increase in WASO [22]. However, studies in healthy populations are more definitive, with consistent reports of improvements in sleep following high GI carbohydrate feeding [25,30]. In a study of particular relevance to athletes, Vlahoyiannis and colleagues [30] provided healthy, physically active young males with either a high or low GI meal immediately following an evening bout of intermittent sprint exercise. While there were no effects of meal GI on sleep architecture (i.e., proportion of sleep time spent in different sleep stages), the high GI meal substantially improved TST, SE, SOL, and WASO. As such, high GI feeding may ameliorate the sleep disturbances experienced by athletes following evening training and competition.

The timing of a high GI meal may influence its subsequent impact on sleep. One study reported that SOL was longer and subjective sleepiness was lower when a high GI meal was ingested 1 h before bed rather than 4 h before bed [25]. The proposed mechanism for improvement in sleep following a high GI meal is an increase in the plasma ratio of tryptophan to large neutral amino acids (TRP/LNAA). Tryptophan is an essential amino acid and serves as a precursor to the synthesis of serotonin and melatonin [41], both important regulators of sleep. By increasing the TRP/LNAA, tryptophan can more readily cross the blood brain barrier, consequently leading to an increase in the synthesis of serotonin [42] and then downstream increases in secretion of melatonin [25,30]. Importantly, it appears that the TRP/LNAA peaks 2–4 h after ingestion of a high carbohydrate meal

with minimal change in the first 1–2 h [43]. Therefore, high GI feeding should occur at least two hours before bedtime to maximize the potential impact on sleep.

In addition to manipulating glycemic load (which combines both the quality (GI) and the quantity of carbohydrates), studies have investigated the effects of restricting carbohydrate intake on sleep parameters [26]. Ingestion of a very low carbohydrate meal 4 h before bed increased the proportion of slow-wave sleep assessed by polysomnography (PSG), the gold standard of sleep assessment [26]. An increase in slow-wave sleep could be particularly beneficial to athletes as it is thought to play an important role in performance recovery [44] and assists in energy conservation and recuperation of the nervous system [1,45]. However, athletes also need to consider the impact of low carbohydrate feeding on muscle and liver glycogen restoration, and decisions on priorities for refueling versus sleep promotion will need to be made.

The effects of different evening carbohydrate meals on sleep remains somewhat unclear given the heterogeneity of current research findings. Further research targeting the ideal timing, quantity, and source of carbohydrate ingestion, as well as its interaction with other macro and micronutrients is needed in an athletic population to determine its efficacy. More definitive guidelines on carbohydrate feeding to improve athlete sleep can then be developed.

#### 4.2. Protein

Protein plays an important multifactorial role in an athlete's training and competition cycle by facilitating muscle repair and remodeling and supporting adequate immune function [2,46,47]. Whey protein supplementation post-exercise and pre-sleep has been introduced to enhance whole body protein synthesis and muscle performance during overnight recovery [47]. A specific whey protein which has recently been investigated as a nutritional pre-sleep intervention is  $\alpha$ -lactalbumin [42,48].  $\alpha$ -Lactalbumin is reported to have the highest natural level of tryptophan in protein food sources [48]. Tryptophan is an essential amino acid and serves as a precursor to the synthesis of serotonin and melatonin, both of which are involved in the regulation of sleep [41].

The initial evidence demonstrating the potential effectiveness of  $\alpha$ -lactalbumin on sleep was obtained from a series of studies conducted in stress-vulnerable participants with and without sleep complaints [49,50]. Although not directly investigating sleep parameters, sleep quality may have improved with a substantial reduction in sleepiness, and improved attention processes the morning following the intervention. In addition, these studies observed an increase in TRP/LNAA, which is essential for serotonin synthesis in the brain. Another study also reported decreases in depressive feelings under stress in stress-vulnerable participants consuming  $\alpha$ -lactalbumin [50]. There are strong links between sleep and feelings of anxiety and depression, with women experiencing more insomnia complaints than males [51]. Supplementation with  $\alpha$ -lactalbumin that can increase sleep quality and decrease depressive feelings may be beneficial during high-stress team-sport seasons, particularly for female athletes.

Recently, Ong and colleagues investigated the efficacy of an  $\alpha$ -lactalbumin treatment (20 g, 1 h prior to bed) in healthy male subjects with no known sleep conditions or impairments [28]. Sleep quantity was increased as was objective (13%; via actigraphy-based assessment) and subjective (11%; via sleep diary) TST compared to placebo, as well as 7% higher objective SE [28]. In comparison, there was no difference for any actigraphy-recorded sleep variables between  $\alpha$ -lactalbumin and collagen peptide supplementation in a small cohort of cyclists [24]. While there is preliminary evidence to support the efficacy of  $\alpha$ -lactalbumin to improve sleep, further well-designed studies are required to confirm its effectiveness. Specifically, research examining the use of  $\alpha$ -lactalbumin chronically in an athletic population is warranted. To date, limited studies involving night-time protein ingestion have been carried out for longer than four weeks in the general population. There is currently no evidence for the efficacy of nutritional interventions when used chronically, or in a field setting in an athletic population during training and competition.

#### 4.3. Tart Cherry Juice

Tart cherries contain approximately 13 ng of melatonin per kg of cherry [52], which upon consumption can increase exogenous melatonin, which is critical for the sleep–wake cycle in humans [53]. The high antioxidant content of tart cherries purportedly reduces oxidative stress, in turn enhancing sleep in isolation and in conjunction with melatonin. The reported anti-inflammatory properties may influence the pro-inflammatory cytokines involved in sleep regulation and also the recovery process after exercise [10,52]. The majority of studies investigating tart cherry juice usage in an athletic population have examined its effect on aspects of recovery including muscle soreness [54–56]. While promoting recovery is beneficial to athletes, tart cherry juice has enhanced sleep indices as assessed by PSG and wrist actigraphy monitoring in healthy individuals without the presence of sleep problems [31], and individuals with sleep problems such as insomnia [27]. Other studies have demonstrated that tart cherry juice can increase TST and SE, regardless of the differences in participants (good sleepers compared to individuals with insomnia) [27,57]. Consumption of a liquid blend consisting of Montmorency tart cherries and apple juice improved sleep in older adults with chronic insomnia by decreasing WASO, and reducing their insomnia questionnaire score compared to baseline [27].

The efficacy of tart cherry juice as an intervention to improve indices of recovery in marathon runners has been investigated [58]. Consumption of a tart cherry juice blend taken in the morning and afternoon (~240 mL) elicited a more rapid return of strength post-race and smaller elevations in inflammation markers (i.e., c-reactive protein, interleukin-6, and uric acid) compared to placebo [58]. It appears that tart cherry juice may be effective at accelerating the recovery process and restoring strength, even after high-intensity endurance exercise. However, there are claims that the use of antioxidants in an athletic population is questionable given potential blunting of the training adaptation response, and reduced training efficiency [59]. One authoritative consensus group indicated that tart cherry juice interventions in athletes is not recommend nor endorsed [60]. Further research is needed to assess the use of tart cherry juice in an athletic population, including its effects on sleep and physiological recovery.

#### 4.4. Other Nutritional Strategies

There are a variety of other nutritional interventions that have been explored for their ability to improve sleep in poor sleepers, including kiwifruit and herbal supplements. Kiwifruits contain a range of nutrients that potentially augment sleep and recovery [44], including serotonin, a known sleep promoting hormone that helps regulate REM sleep [61]. Improved sleep was reported in poor sleepers who ingested two kiwifruits an hour before bed over a four-week intervention period [32]. Marked increases in wrist actigraphy monitored TST (16.9%) and SE (2.4%) were evident, while subjective sleep diary recordings showed a substantial decrease in WASO and SOL. The improved sleep quality may be attributable to high levels of folate in kiwifruit [10,32]. Folate deficiency has been linked to insomnia and restless leg syndrome, both of which cause large sleep disruptions and can hinder the restorative quality of sleep [32,62].

Akin to kiwifruit consumption, ingestion of GABA in different quantities has yielded improvements in the sleep quality of poor sleepers or those dissatisfied with sleep [33,39]. GABA is an inhibitory neurotransmitter that is often present in food, and its receptors in the central nervous system are often targeted by pharmacological agents such as benzodiazepines for treatment of several conditions including insomnia [63]. While benzodiazepines can improve sleep quality and quantity, they are also associated with substantial side-effects, including drowsiness, lethargy, fatigue, and, in extreme cases, impaired motor coordination and addiction [63], all of which would likely impair athletic performance. Importantly, studies in humans have reported no adverse effects of pre-sleep GABA ingestion on next-day sleepiness or fatigue, which suggests it may be useful in athletes to improve sleep.

Glycine is another inhibitory neurotransmitter that has been linked to improvements in subjective and objective sleep quality for poor sleepers [36,37], but also daytime fatigue and

cognitive performance in healthy adults during simulated sleep restriction [29]. Similarly, ingestion of L-serine, a glycine precursor, reportedly leads to improvements in sleep satisfaction and subjective sleep quality in adults dissatisfied with their sleep [38]. While further evidence for the efficacy of glycine and L-serine in athlete populations is required, these results suggest both proteins may offer athletes dissatisfied with sleep issues, or facing situational sleep restriction, a means by which to improve their sleep.

Most studies included in this review have focused on the effects of single nutrients or ingredients. However, some recent studies have examined the effects of multi-nutrient supplements on sleep. In one study on the effects of a tart cherry powder-based supplement, there was a significant improvement in SOL and a tendency towards improvement in SE across seven days of supplementation in young adult poor sleepers [35]. However, chemical analysis undertaken as part of the study indicated the supplement contained no melatonin, despite being tart cherry based. Instead, the sleep improvement was likely due to the 3 g of tryptophan and 2 g of glycine, which is similar to the 3 g dose used in other studies that report sleep improvement [29,36,37], contained in the product. Another multi-nutrient sleep study determined both the most and least optimal combination of a variety of ingredients for sleep improvement [64]. Both combinations contained a mixture of ingredients linked to improvements in sleep when used in isolation including high GI carbohydrate and tryptophan. The most optimal combination led to a reduction in SOL in a group of healthy adults with good sleep. Perhaps more importantly for athletes, the least optimal combination drink led to increases in SOL relative to a placebo supplement in the same group, emphasizing the need to consider how nutrients interact to influence sleep.

## 5. Future Directions

There are numerous nutrients and foods that have demonstrated efficacy in isolation for enhancing sleep quality and quantity. As humans rarely consume single nutrients in isolation, and typically enjoy a variety of nutrients as part of mixed meals, it is important to clarify how the co-ingestion of food and supplements can help or hinder sleep. This information would not only be beneficial for athletes within the daily training environment, but also when traveling and crossing multiple time zones with national and international travel.

The growing interest in monitoring athlete sleep has led to an increase in commercial sleep technology (wearables such as Fitbit™ and Whoop™, nearables such as ResMed S+™, and smartphone applications). These consumer-based technologies have advantages in terms of low-cost and ease of use, however the reliability and validity of many of these devices remains to be established. Although caution needs to be taken when implementing these commercial sleep technology devices at present, the market for sleep monitoring technology is expanding rapidly. Ideally, this expansion will occur alongside research on the reliability and validity of these devices for use in applied settings.

With a plethora of supplements now available in different forms (powder, liquid, capsule), investigation is also required on the most effective form(s) of supplement to consume. For example, tart cherry is available as a liquid, powder, and in a capsule. Understanding the most effective dosage (for example g per kg body mass), timing (acute or chronic consumption), and the most effective supplement form (liquid, gel, powder, capsule), would enable practitioners to more accurately individualize and prescribe nutritional interventions and supplements to aid sleep. More work is needed to establish optimal nutrient dosing relative to body mass, rather than absolute dosing (fixed amount(s) of nutrients) used in the majority of existing studies.

## 6. Practical Applications

Given the well-known benefits of sleep for athletes, other than implementing appropriate sleep hygiene practices such as creating a sleep routine, avoiding electronic devices, and sleeping in a cool, dark quiet room, nutritional interventions can be useful in enhancing sleep quality and quantity. Though the exact timing and dosage of nutrition interventions



to enhance sleep warrants further research, the following sleep enhancement strategies can be derived from the studies published to date:

- Consume a diet rich in fiber, whole grains, fruits, and vegetables.
- Consume a high GI carbohydrate meal 2–4 h before bedtime.
- Incorporate tart cherry juice concentrate into an athlete’s daily routine when sleep may be impaired (e.g., night competition), 1 × 30 mL upon waking and 1 × 30 mL before the evening meal.
- Consume 20–40 g of a protein source rich in tryptophan, such as  $\alpha$ -lactalbumin enriched whey protein, 2 h before bedtime.
- Regular kiwifruit consumption an hour before bed.
- Glycine at a dosage of 3 g consumed before bed may enhance sleep quality and quantity.

To identify if a nutritional intervention is enhancing the sleep of an individual athlete in an applied setting, we recommend:

- Using a reliable and valid device such as wrist actigraphy, and questionnaires that assess sleep quality (i.e., Pittsburgh Sleep Quality Index) [65] and quantify daytime sleepiness (Epworth Sleepiness Scale) [66].
- Considering alternative options available to monitor sleep depending on access to expertise, the simplicity of use, and reliability and validity of the method [67].

In relation to nutritional interventions known to compromise sleep, we recommend that athletes avoid the following substances prior to sleep:

- Caffeine [68].
- Alcohol [69].
- Excess fluid ingestion [70].

A major challenge for athletes is to maintain high standard nutritional practices across the wide variety of settings they face: their personal home environment, the regular training facility, the home match venue, the away match venue, and during local, national, and international travel. Dieticians should work closely with and educate players, coaches, and team staff to ensure that both nutrition and sleep arrangements are given a high priority.

## 7. Conclusions

It appears that selected nutritional interventions are an effective way to improve sleep quality and quantity, if the correct dose, source, and timing is administered. However, the underlying efficacy and real-world effectiveness of these nutritional interventions in elite athletes is unclear, given the limited number of studies conducted in this cohort. Given that sleep is acknowledged as an important factor during training, travel, and competition, future research needs to assess the ability of these nutritional interventions to support athlete sleep for both training and competition.

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Review

# Nutrition for Older Athletes: Focus on Sex-Differences

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**Abstract:** Regular physical exercise and a healthy diet are major determinants of a healthy lifespan. Although aging is associated with declining endurance performance and muscle function, these components can favorably be modified by regular physical activity and especially by exercise training at all ages in both sexes. In addition, age-related changes in body composition and metabolism, which affect even highly trained masters athletes, can in part be compensated for by higher exercise metabolic efficiency in active individuals. Accordingly, masters athletes are often considered as a role model for healthy aging and their physical capacities are an impressive example of what is possible in aging individuals. In the present review, we first discuss physiological changes, performance and trainability of older athletes with a focus on sex differences. Second, we describe the most important hormonal alterations occurring during aging pertaining regulation of appetite, glucose homeostasis and energy expenditure and the modulatory role of exercise training. The third part highlights nutritional aspects that may support health and physical performance for older athletes. Key nutrition-related concerns include the need for adequate energy and protein intake for preventing low bone and muscle mass and a higher demand for specific nutrients (e.g., vitamin D and probiotics) that may reduce the infection burden in masters athletes. Fourth, we present important research findings on the association between exercise, nutrition and the microbiota, which represents a rapidly developing field in sports nutrition.

**Keywords:** aging; cardiorespiratory system; exercise; hormones; masters athletes; muscle; nutrition; protein

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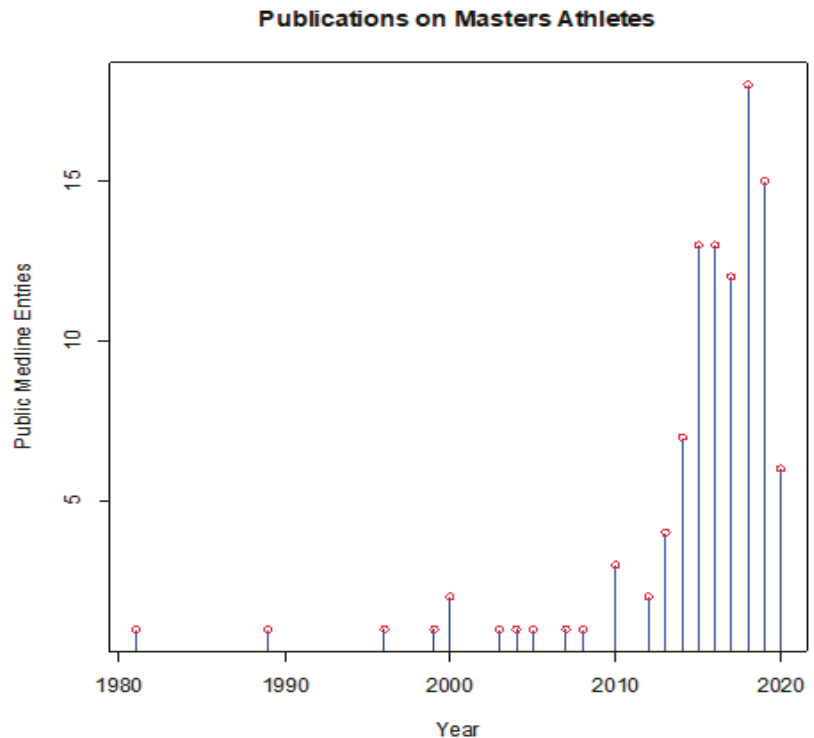
## 1. Introduction

Nutrition and physical activity (PA) are the two main modifiable factors that determine health and well-being in modern civilization. These two factors are often studied and considered as separate entities, although they are, in reality, inter-related in various ways. To give some examples of this interplay, PA can shift the nutrient spectrum that human metabolism utilizes; lack of PA leads to accumulation of ectopic fat in liver, muscle, and potentially other organs [1,2]; malnutrition hampers physical and mental performance;

overfeeding leads to expansion of fat deposits, thus increasing body inertia and deteriorating physical fitness [3]. One motivation for this review, therefore, is to raise awareness of the interplay between nutrition and PA/performance for readers with either of the two backgrounds.

The topic of diet and PA becomes particularly relevant in combination with aging, as aging is generally associated with generalized inflammation and exaggerated disease burden [4]. Ample evidence suggests that regular exercise and optimized nutrition can help to reduce disease burden [5–7]. Therefore, the World Health Organization (WHO) has taken action to promote PA across the entire age spectrum [8], and many governments have developed national strategies also with the interest to mitigate age-related morbidity. However, older people become typically more and more sedentary with increasing age, and it is often challenging to engage them in regular physical exercise.

In this sense, masters athletes can offer interesting insights. These people train for and compete in athletic events beyond the typical age of retirement from sports. Many of them follow rigorous training regimens, often over decades or even their entire adult life. Beyond their intrinsic motivation to be physically active, they are driven by the desire to excel in sports, but also to maintain their health. The scientific literature has long neglected this topic, and only the past decade has seen an increasing number of publications on all aspects of masters athletics (Figure 1).



**Figure 1.** Publications on masters athletes, plotted against year of publication. Date are the result of a literature research on public Medline on 1 October 2021, using the following search terms: ((master athlete (Title/Abstract)) OR (masters athlete (Title/Abstract)) OR (veteran athlete (Title/Abstract))).

Naturally, a plethora of questions arise with regard to the nutritional support for these aging athletes. Thus, as with any athlete, also the masters athletes wish to reduce their body fat, in order to optimize athletic performance. But what are the energetic re-

quirements, given that resting metabolic rate (RMR) typically dwindles with age? How can the requirements for the intake of protein, vitamins and other micronutrients be met when the total intake is reduced for the sake of body composition? Which nutrients are physiologically opportune for optimized performance, and which ones should be recommended to facilitate recovery and prevention of injury? The field of nutritional support for athletes has traditionally focused on young elite athletes, and there is no clear answer to these and other questions pertinent to the topic. Hence, the present paper attempts to collate the relevant information in order to (a) provide recommendations where evidence is available, and to (b) flag the most important open question for future research.

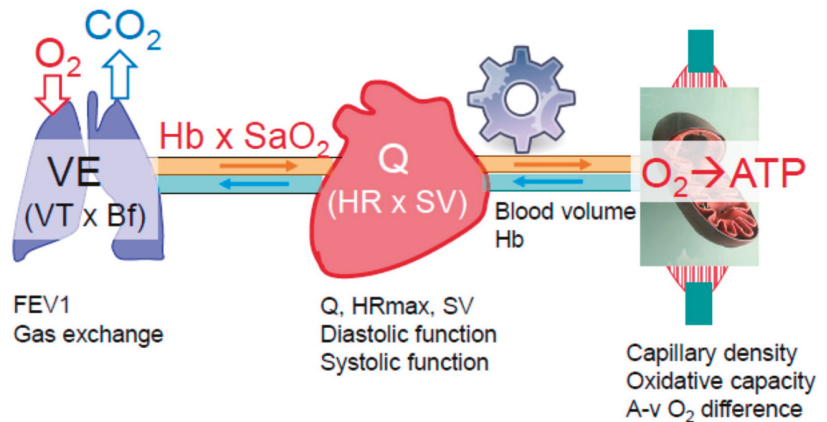
## 2. Physiological Changes in Older Athletes

### 2.1. Oxygen Delivery and Utilization Systems

The exercising skeletal muscles rely on continuous oxygen supply that is precisely matched to the metabolic requirements of the exercise intensity. If, at any intensity, the oxygen supply becomes limited, anaerobic metabolism compensates for the lacking oxygen, indicated by increasing lactate concentration [9,10]. Oxygen has to be transported from the ambient air to the oxygen-respiring mitochondria of the working muscles. This is involving a series of steps, namely oxygen diffusion (driven by the existing pressure gradient) from the alveoli into the pulmonary capillary blood, the oxygenated blood (oxygen is primarily bound to hemoglobin) is pumped by the heart to the skeletal muscles, where oxygen is converted to adenosine triphosphate (ATP), providing energy to power the working muscles (Figure 2). Oxygen delivery ( $DO_2$ ) to the muscles is determined by the cardiac output (heart rate  $\times$  stroke volume,  $Q$ ), the hemoglobin concentration (Hb) and the level of its saturation ( $SaO_2$ ), according to the equation:

$$DO_2 = Q \times Hb \times SaO_2 \times K$$

$K$  indicates the Hüfner coefficient for hemoglobin-oxygen binding capacity which is 1.33 mL/g.



**Figure 2.** The lung–heart–muscle axis involved in oxygen delivery and utilization. Main cardiorespiratory parameters specifying organ function at rest and during exercise: minute ventilation (VE), cardiac output (Q), and oxygen extraction in the skeletal muscle. Parameters listed below the organs represent those which are primarily affected by the aging process. Oxygen,  $O_2$ ; carbon dioxide,  $CO_2$ ; tidal volume, VT; breathing frequency, Bf; hemoglobin concentration, Hb; arterial oxygen saturation,  $SaO_2$ ; heart rate, HR; adenosine triphosphate, ATP.



The extraction of oxygen utilized by the mitochondria is represented by the arterio-venous oxygen difference (a-v O<sub>2</sub> difference). As the need for oxygen is increasing with increasing exercise intensity, minute ventilation, cardiac output, muscle perfusion and oxygen extraction all have to increase. According to the Fick principle for individual aerobic capacity, the highest achievable rate of oxygen uptake (VO<sub>2</sub>max) equals Q × a-v O<sub>2</sub> extraction. All organs involved in oxygen transport and utilization may contribute to VO<sub>2</sub>max decline in the aging athlete.

### 2.1.1. The Pulmonary System

Although data on the breathing reserve (BR; maximal voluntary ventilation related to minute ventilation during maximal exercise) do not indicate a considerable ventilatory limitation of VO<sub>2</sub>max in healthy older individuals [11], it can become a limiting factor in some highly trained aged athletes [12]. In addition, diffusion capacity of the lung is predictive of marathon performance, and it decreases markedly with age, an effect from which masters athletes are not exempt [13]. Probably as a consequence of this, exercise-induced hypoxemia is more prevalent in highly fit older individuals compared to the healthy general population and fatiguing work of the respiratory muscles may provoke vasoconstriction in the leg muscles and compromise Q [14]. Thus, VO<sub>2</sub>max restriction by the pulmonary system depends on the level of fitness (the higher the more likely) and the age-related degree of decrease in respiratory function along with structural changes, i.e., declining respiratory muscle strength and endurance, enhanced rigidity of the chest wall, loss of elastic recoil, reduction of the alveolar surface area and the number of capillaries perfusing the lung [11,15,16]. A low BR, reduced forced expiratory volume in one second (FEV1) and exercise-induced hypoxemia are potential markers for pulmonary limitations of VO<sub>2</sub>max in the older athlete [17] (Figure 2).

### 2.1.2. The Cardiovascular System

It is obvious that a precise interplay between pulmonary ventilation, DO<sub>2</sub> and the extraction of oxygen by the muscle tissue is a prerequisite for properly matching oxygen need and demand. The contribution of the cardiovascular system, in particular Q<sub>max</sub>, is considered as the main determinant of VO<sub>2</sub>max in young people when including large skeletal muscle groups, e.g., leg cycling, running or cross-country skiing [18,19]. The demand of oxygen by these working muscles increases tremendously (about 10 to 20-fold, depending on the individual fitness) from rest to maximal work [10]. Consequently, a large amount of oxygenated blood has to be transported to muscles driven by the pumping heart. Thus, a 72% contribution of Q<sub>max</sub> to VO<sub>2</sub>max changes has been demonstrated in sedentary young and older subjects of both sexes, and the Q<sub>max</sub> increased to 81–89% in trained young and older subjects of both sexes [18]. The age-related decrease in cardiovascular function (particularly decline of Q which is HR × SV) will considerably affect VO<sub>2</sub>max. HR<sub>max</sub> decreases according to the formula (208 (beats per min)–0.7 × age) in healthy sedentary and trained subjects, probably due to the decrease in intrinsic heart rate [20]. In addition, lower SV associated with reduced left ventricular (LV) compliance (diminished diastolic function) was shown in healthy sedentary people but seems to be preserved in masters athletes [21]. Impaired ability to modulate sympathetic vasoconstrictor activity (functional sympatholysis) and a reduced exercise hyperemia are also characteristics of aging. Again, regular PA was shown to offset these impairments [22].

### 2.1.3. Skeletal Muscle and Mitochondria

Capillarization of skeletal muscles and muscle oxidative capacity decrease likewise with aging at least in rather sedentary subjects [23,24]. However, long-term engaging in endurance sports can largely prevent the reduction in muscle capillarization and muscle oxidative enzymatic activity with aging, e.g., in 65- to 75-year-old athletes [25]. The oxidative capacity of skeletal muscles is not considered as an important limitation of VO<sub>2</sub>max because it exceeds the amount of oxygen consumed during whole body exercise [26]. Thus,

benefits of regular training on adaptations in the skeletal muscle rather promote improved submaximal exercise performance than  $\text{VO}_2\text{max}$ . Such adaptations include increases in capillary supply and mitochondrial key enzyme activities favoring a higher rate of fat oxidation and a concomitant reduction in the glycolytic flux, as well as a tighter control of the acid-base status [10]. Consequently, the anaerobic threshold (submaximal endurance performance) declines at a slower rate with aging (especially in trained individuals) than  $\text{VO}_2\text{max}$  [27,28]. A noteworthy observation is the inverse relationship between mechanical efficiency and  $\text{VO}_2\text{max}$  (shown in elite cyclers), which was attributed to variations in the amount of efficient type I and less efficient type II fibers of working muscles [29].

## 2.2. Body Composition and Metabolism

Although aging is generally associated with a loss of lean muscle mass, exercise can modulate such losses. According to population-based studies, the prevalence of sarcopenia in healthy adults aged 60 years and older is about 10% for men and 10% for women, respectively [30]. Intriguingly, in a cross-sectional study including 156 female and male masters athletes aged between 40–79 years, no individual was categorized as sarcopenic, i.e., below normal levels of muscle mass and muscle strength or performance, according to the definition of the European Working Group for Sarcopenia in Older People [31,32]. Data from cross-sectional studies indicate that lean muscle mass and muscle strength did not decline with age in individuals aged 40–81 years who trained 4 to 5 times per week [33]. These data could indicate that declines in physical function may not be related to age alone but are rather confounded by muscle disuse and decreased levels of PA in the elderly general population [34]. On the other hand, two previous cross-sectional studies based on an anthropometric assessment of lower limb muscle volume [35] in male 115 track and field masters [36] and in 54 male master weight lifters [37] indicated a volume reduction of approximately 6% per age decade. This figure has been confirmed by a recent longitudinal study in 71 track-and-field master athletes with a mean follow-up of 4.2 years that found a reduction in calf muscle cross section, as assessed with computed tomography, by 0.6% per year in men, but no significant change in women [38]. Moreover, a recent cross-sectional study in 256 track and field masters aged 35–91 years in which whole body skeletal muscle mass was assessed via bio-electrical impedance indicates a reduction by 3.2% and 2.8% per decade in women in men, respectively [39], which was equalized by a commensurate increase in fat mass. On the other hand, older athletes of 68 years showed 17% lower body fat percentage and 12% greater leg lean mass, respectively [40]. In the general population, fat-free mass is expected to decrease the 6th decade of life onwards by approximately 2% per decade in men but not in women, while both men and women gain fat mass by 7.5% per decade [41]. Thus, whilst masters athletes likely experience muscle wasting and adipose tissue accumulation, their body composition may still be better preserved than in the non-athletic counterparts.

The question arises, which factors may trigger the age-related adipose tissue accumulation. Lifelong training increased the proportion of type I muscle fibres with a concomitant decrease of carbohydrate oxidation independent of intensity level in older athletes compared to younger men [42]. While fat oxidation capacity was similar in both groups, older athletes compensated with a higher exercise metabolic efficiency [42]. While sports and recreational activity decreased to a higher extent in men than in women over a course of ~10 years, activity levels as well as baseline age were inversely related to changes in fat mass in women only [41]. Low RMR may predispose to future weight gain. As PA contributes considerably to total energy expenditure, the question is whether regular exercise can curb age-related reductions in RMR. Although it is difficult to disentangle changes in metabolic rate from alterations of body composition with aging, evidence suggests that RMR is lower in older men and women, even after adjusting for differences in body composition, waist-to-hip ratio and smoking status [43,44]. A paper, based on the cohort of 256 track and field masters athletes mentioned above, has found that the effect of age on RMR is mostly attributable to changes in body composition [45]. While fat-free mass

is a main determinant of RMR, other factors that are unrelated to differences in body composition can also explain differences in RMR of young and older individuals. Although the decline of RMR also occurs in highly physically active individuals, it is associated with reductions of exercise volume and energy intake that occur with age. However, these age-related adaptations are blunted in individuals who maintain these two components at a similar level as young physically active men [46]. Also, a higher aerobic capacity is related to a higher RMR in older athletes [47]. In a sample of 65 healthy women ranging from 21–72 years, those individuals who were regularly performing endurance exercise were spared from an age-related decline in RMR assessed by indirect calorimetry compared to their sedentary counterparts [48]. This metabolic difference may in part explain lower body weight and fat mass in active, older individuals.

In summary, the decline in metabolic rate, along with simultaneous declines and inclines, respectively, in lean mass and fat mass in the elderly can only in part be ascribed to the aging process per se. Rather, these effects seem confounded by declining levels of PA and inadequate energy intake in this population. Although body composition of older athletes is considerably better than that of less physically active age-matched individuals, the age-related decline and alterations in body composition and metabolism also takes place in this group and can in part be compensated for by higher exercise metabolic efficiency.

### 2.3. Effects of Aging on the Endocrine System and Metabolic Pathways

The aging process is accompanied by several endocrine alterations, along with changes in nearly all biological systems, including body composition, functional performance and bone mass. These aging-induced effects are often confounded by other factors, such as chronic diseases or changes of dietary patterns, and malnutrition often occur concomitantly during the process of aging. This section is not intended to give a thorough overview of endocrine changes, but discusses the most important hormonal alterations occurring during aging pertaining regulation of appetite, glucose homeostasis and energy expenditure and the modulatory role of PA.

#### 2.3.1. Thyroid Hormones

The important role of thyroid hormones in determining energy expenditure and basal metabolic rate has long been recognized [49]. With aging, there is a general increase of the incidence of thyroid diseases [50]. Apart from this increase and according to several population studies, aging is associated with subclinical hypothyroidism, i.e., increased levels of thyroid-stimulating hormone (TSH) with free thyroxine (FT4) levels remaining in the normal range [51,52]. Some authors even ascribe a beneficial adaptation of physiological aging to these reduced TSH levels in the elderly by preventing excessive catabolism [53]. While the free triiodothyronine resistance index was negatively associated with aging in males, TSH levels were positively associated with age in females [54]. These results underline a possible sex-specific effect of alterations of thyroid hormones with aging.

Although endocrine effects have to be separated from alterations of body composition and PA behavior with aging, RMR is lower in older individuals, potentially even after adjusting for differences in body composition [43]. The age-related decline of RMR, however, cannot fully be ascribed to alterations of body composition or differences in thyroid hormone status [55].

#### 2.3.2. Hypothalamic Growth Hormone-Insulin-Like Growth Factor-I Axis

This axis includes the secretion of growth hormone (GH; somatotropin) from the somatotropes of the pituitary gland into the circulation, and the successive stimulation of insulin-like growth factor-1 (IGF-1). This endocrine system drives anabolic effects on protein synthesis and growth and hence plays an important role in maintaining muscle mass. In elderly individuals, GH secretion, together with IGF-1 levels decrease starting with the third decade [56]. There is also a reduction in GH releasing hormone (GHRH)-induced GH secretion, likely reflecting changes of neurotransmitter control and reduced

hypothalamic GHRH synthesis related to the aging brain [57]. Regular physical exercise is thought to modulate activity of the GH-IGF-1 axis throughout the lifespan, potentially preserving muscle mass in the elderly. With regard to that, GH responses to a cycling sprint, resistance or endurance exercise bout were compared in young and middle-aged men. While resting GH concentration and objective parameters of exertion were not different between groups, GH response to exercise was greater in the young compared to their older counterparts [58]. When investigating the effect of a 12-week resistance training program on GH and testosterone secretion in young (23 years) and older (63 years) individuals, the authors found that, regardless of age, this training modality elicits GH and testosterone secretion. Response and magnitude, however, was different between the two groups [59]. Mechano growth factor (MGF), a splice variant of the IGF-1 gene, is supposed to be an important local factor promoting satellite cell proliferation in muscle. Although short-term (5-week) resistance exercise failed to increase expression of MGF in elderly as compared to young individuals [60], longer-term training of 12-weeks was still able to upregulate expression of this factor in the elderly [61]. Exercise training can stimulate the GH-IGF-1 axis as well as MGF in the elderly, albeit somewhat less so in older as compared to younger individuals. This may reflect an age-related desensitization to mechanical loading. It has to be noted that short study duration, differences in training motivation or the inability to achieve a sufficient absolute exercise intensity in the elderly as well as a sex-bias towards male study participants may bias these findings.

### 2.3.3. Hormones Regulating Appetite and Food Intake

Above the age of 65 years, there seems to be a decrease in appetite and food intake, which predisposes to undernutrition. A negative energy balance has implications for chronic disease progression and mortality rate [62]. Hormones mediating the anorexic effect of aging include cholecystokinin (CCK), leptin, and ghrelin. CCK is a gastrointestinal peptide hormone produced by enteroendocrine cells of the duodenum that mediate satiating effects by binding to receptors in the central nervous system. Aging is associated with increased CCK concentrations as well as a greater sensitivity to the satiety-inducing effect of this hormone [63]. In line with that, whey protein ingestion resulted in greater plasma concentrations of CCK and gastric inhibitory peptide in older compared to younger individuals [64]. In addition, the increased activity of the anorexigenic hormone leptin, an adipokine derived from adipose tissue in humans as well as the reduced activity of the orexigenic hormone ghrelin, a gastrointestinal molecule derived from the stomach, seem to further reduce hunger and thereby hamper energy intake in the elderly [65,66]. Obesity is another factor that can dysregulate the endocrine role of leptin secretion from adipocytes, so that hyperleptinemia due to high fat mass fails to negatively regulate food intake, a state termed leptin resistance [67]. A recent study showed that higher fitness levels in older individuals were associated with lower leptin levels and inflammation, regardless of adiposity, suggesting a protective effect of physical fitness towards development of leptin resistance [68]. Nevertheless, the modulatory role of PA on hormones governing appetite and food intake in the elderly remains understudied. In general, individuals with higher levels of PA experience blunted satiety and amplified hunger compared to those being less physically active, likely in order to compensate for the increased PA induced energy expenditure [69]. It seems, however, that chronic exercise can affect perceptions of hunger and energy intake independent of body composition and sex. Individuals being physically active may be more sensitive to regulating energy balance by improved adjustments of energy intake and density of food [70]. The paucity of data on this subject in older athletes of both sexes, however, does not allow definitive conclusions with regard to this age group.

### 2.3.4. Insulin, Glucose and Metabolic Pathways Mediating Glucose Homeostasis

Insulin is the most important hormone mediating control of glucose homeostasis, i.e., cellular uptake, utilisation and endogenous production. Aging is associated with

deteriorating glucose homeostasis [71]. It has been suggested that, starting from the fourth decade, fasting plasma glucose increases by about 0.055 mmol/L per decade, alongside with a gradual rise of glucose concentrations obtained after 120 min of a 75 g oral glucose tolerance test [72]. Further to that, aging is a risk factor for brain insulin resistance, i.e., impaired sensitivity of central nervous pathways to insulin [73]. Interestingly, high insulin action in the brain influences long-term weight management and is associated with a favorable body fat distribution [74]. In general, insulin is secreted in a pulsatile manner. During the basal as well as insulin-stimulated state, both amplitude and number of pulses are reduced with aging [75]. This goes hand in hand with decreased effectiveness in reducing hepatic glucose output and increased liver insulin clearance [76]. Glucagon concentrations, in turn, do not seem to be affected by age [77]. However, the rise in hepatic glucose production after glucagon stimulation, i.e., hepatic sensitivity to glucagon, is increased in elderly individuals compared to their younger counterparts [78].

Seminal studies from the lab of John Holloszy and colleagues point towards the importance of physical training for maintaining glucose tolerance. The researchers studied older lean and overweight untrained as well as trained individuals compared to young untrained and trained individuals. They found that oral glucose tolerance, defined as the area under the glucose curve, was twofold poorer in the untrained older individuals as compared to the other groups. Also, the insulin response to glucose was higher in the untrained groups as compared to the older and younger trained groups [79]. These results are suggestive of reduced glucose tolerance and insulin sensitivity with aging and a counter regulatory role of lifelong exercise training.

A similar, more recent study used the glucose clamp technique to assess insulin sensitivity in younger (age range 24–47 years) and older (age range 60–75 years) athletes as well as younger and older normal-weight and obese individuals. Insulin sensitivity was highest and similar in young and older athletes, followed by normal weight individuals and lowest in obese young and old individuals [80]. This study underlines the fact that body composition and physical inactivity, but not age per se are related to the development of insulin resistance. As glucose disposal decreases over the lifespan, several factors contributing to reduced insulin action have to be considered. These include a rise in total body fat, in particular visceral fat, and reductions of caloric intake, PA and consequent decrements in lean mass or certain drugs and age-related diseases.

In this context, it is important to also scrutinize cellular mechanisms responsible for age-induced reductions in insulin-stimulated glucose uptake. In brief, upon binding to its receptor, insulin stimulates autophosphorylation of the insulin receptor, tyrosine phosphorylation of the insulin receptor substrate-1 (IRS-1) and association with phosphoinositide 3-kinase (PI3K) and subsequent phosphorylation of Akt2 at serine 473 and threonine 308 sites and AS160 phosphorylation, which finally promotes glucose transporter type 4 (GLUT4) translocation to the plasma membrane to stimulate glucose uptake [81]. Aging can selectively affect elements of this signalling cascade. For instance, Akt phosphorylation by insulin was ~40% lower in healthy, lean, elderly individuals compared to young body mass index (BMI)-, fat mass- and habitual PA-matched volunteers [82]. This was in line with a 25% reduction in insulin-stimulated rates of muscle glucose uptake. Another study found that AS160 phosphorylation under insulin-stimulated conditions was reduced, together with ~30% lower whole-body insulin sensitivity, in aged compared to young individuals [83]. Participation in an exercise training program of either strength or endurance exercise improved whole-body insulin sensitivity and abrogated impairments in AS160 phosphorylation in these individuals. Different factors have been discussed that could contribute to this reduction in insulin action in elderly individuals. Among these, a reduction in oxidative capacity [84], impaired mitochondrial metabolic flexibility during insulin stimulation [82], oxidative stress [85] or dysfunctional regulation of mitochondrial dynamics [86] may contribute to alterations of mitochondria with aging. These changes in turn promote increased deposition of ectopic lipids within the myocyte as intramyocellular lipids (IMCL). This site of lipid deposition has repeatedly been shown to be particularly

detrimental to insulin action [87]. Compared to younger volunteers, IMCL content, assessed by  $^1\text{H}$  magnetic resonance spectroscopy, was 70% higher in elderly individuals [82]. Decrements in mitochondrial activity together with excess caloric intake promote lipid synthesis and storage and yield bioactive diacylglycerols, which are known to accumulate within the plasma membrane, where they recruit novel protein kinase C isoforms that facilitate inhibitory phosphorylation of IRS1 [81]. Ceramides, another important class of bioactive lipids, may inhibit Akt phosphorylation [81]. These intracellular processes impair the insulin signalling pathway and eventually contribute to impaired insulin-stimulated cellular glucose uptake.

In summary, aging is associated with deteriorating glucose homeostasis. While insulin secretion is diminished over the lifespan, glucagon action is only marginally affected. Besides decrements in hormones mediating control of glucose homeostasis, decreased PA, together with reduced mitochondrial function will promote ectopic lipid deposition in muscle, which further impairs insulin signalling and glucose uptake.

#### 2.4. Bone and Bone Metabolism

Bone is a hard tissue that derives its tensile stiffness from the organic extracellular matrix and its stiffness in shear and compression from an inorganic calcium-phosphate compound (so-called bone apatite). Whilst the majority of the organic matrix consists of type 1 collagen (>90%), there are also other collagens as well as other protein constituents, such as proteoglycans, carboxylated osteocalcin, osteopontin and TGF- $\beta$  (Transforming growth factor beta (TGF- $\beta$ )). The role of these latter constituents is only just evolving and seems to be primarily related to endocrine and regulatory functions, rather than serving mechanical purposes. Four different types of cells govern biological activity in bone. Osteoblasts lay down bone protein onto existing bone surfaces, and they also initiate mineralization. When such bone formation drifts cease, osteocytes either become dormant as bone lining cells, or they transform into osteocytes that are fully covered by bone matrix and thus remote to the bone's surface. However, osteocytes still communicate via gap junctions with other osteocytes and lining cells, which allows trafficking of electrolytes and small organic compounds. Osteocytes are also thought to sense mechanical strains, to transfer that information into biological signals that help to adapt bone to its mechanical purposes, and also to initiate the repair of bone microdamage through the process of bone remodeling [88,89]. Osteoclasts, finally, trans-differentiate from peripheral blood mononuclear cells and have capacity to dissolve the inorganic matrix through acidification, and subsequently also the protein phase in order to resorb bone tissue.

The four types of bone cells work in co-operation with each other. Thus, the activity of osteoclasts is under the control of osteoblasts through receptor activator of NFKB ligand (RANKL), as well as under the control of osteocytes by sclerostin [90]. In addition, TGF- $\beta$  from the bone matrix stimulates activation of osteoblasts [91]. The reciprocal control of osteoblastic activity by osteoclasts is less well established, but may involve compounds such as ephrinB2 and other factors [92]. These cell-cell interactions seem fundamental for the bone remodeling process [93]. Herein, osteoclastic bone resorption precedes osteoblastic bone formation, a process that is often triggered by material microdamage [94], thus helping to repair damaged bone. Modeling, on the other hand, serves the purpose of 'shaping' bones through formation and resorption that occurs on opposing surfaces of the bone, thus resulting in bone 'drifts' [95]. Thus, remodeling and modeling are two diverse processes. Whilst modeling is the main contributor to bone turn-over at young age, the bone turn-over after closure of the growth plate is mostly due to remodeling. With regards to aging, it is also noteworthy that bone turn-over is typically greater in young than in older people [96]. This is partly due to a slowing of the remodeling process, i.e., the entire cycle from activation of osteoclasts until closure of the resorption cavity, which takes approximately 90 days in young people but 120 days in old people [93]. In addition, it has been demonstrated that the ability to respond to bone microdamage becomes blunted with increasing age [97].

It is important to understand that the largest forces that bones experience emerge from regional muscle forces and impact loading, rather than from body weight per se. As a result, muscle mass and bone mass are well-adapted to each other [98]. This mutual relationship between muscle and bone is largely driven through the bone's response to local tissue strains [99]. Thus, the mechanostat theory proposes that bone counteracts excessive deformations with enhanced bone formation, whereas sub-threshold deformations are answered by streamlining the bone's structure [100]. In addition, evidence suggests also an independent effect of strain rate on bone accrual. There are also several signaling pathways that interact with muscle and bone. For example, bone morphogenetic protein 1 (BMP1) has been shown to positively affect muscle growth in mice [101]. TGF- $\beta$ , which initially had been known for its stimulating role for osteoblasts, has now been recognized to negatively affect calcium handling within skeletal muscle, and to thereby hamper muscles electro-mechanical coupling [102]. Moreover, undercarboxylated osteocalcin from the bone enhances insulin production, cognitive functioning and muscular fuel utilization [103]. Thus, there are several compounds from bone's non-collagen protein phase that unfold important systemic actions on skeletal muscle and athletic performance.

In addition to the mechanical determinants, bone is also under control of several endocrine systems. Both sex hormones have important effects, with the estrogens and androgens being more effective on the endocortical and periosteal surfaces, respectively [104]. From an evolutionary perspective, estrogens may serve the role of 'packing' bone minerals that are not mechanically required [98], so that sufficient calcium depots are available when breastfeeding taps on the calcium resources [105]. In this regard, the complete withdrawal of estrogen after menopause is a catastrophic event for the female skeleton, leading to bone loss and an incidence rate for vertebral and femoral fractures of 1% per year, respectively [106]. In female endurance athletes, the so-called female athlete triad may occur, where underfeeding is associated with amenorrhea, low bone mineral density and fractures, even at young age [107]. In addition to sex steroids, there are also other hormones impinging on bone, such as parathyroid hormone, the D-hormone and insulin-like growth factors. Bones are responsive to exercise [108], which is primarily thought to be through mechanical effects. Rapid running and jumping elicits larger strains and strain rates than static exercises [109], and power athletes have stronger leg bones than endurance athletes [110–112]. The largest effects have been observed for the humerus, the structure of which can be twice as strong in tennis and baseball players in the active as compared in the passive arm [113,114]. Side-to-side differences in the legs of jumpers are much smaller than in the arm [115], which could be due to the fact that jumpers load both legs substantially during run-ups, whereas tennis players place only small loads on their passive arms.

With advancing age, bone mass is lost in the general population, a phenomenon that is much more pronounced in the spine and in the upper extremity than in the legs [116]. Bone benefits acquired through exercise at young age can still persist several decades after cessation of exercise training [117]. Conversely, when taking up an exercise activity during adulthood, i.e., after fusion of the growth plates, then it is still possible to moderately enhance bone mass and bone strength in the loaded bones, as demonstrated by observations in masters tennis players achieved in adulthood [118]. As to another question, namely what happens to masters athletes if exercise is continued across the life span, results from cross-sectional studies suggest that the bone benefits attained at young age [119] persist into old age, although they may fade away with advancing age [120]. A recent longitudinal study in 71 track and field masters with 4-year follow-up, however, demonstrates that tibia bone mass and strength can be preserved, or even increased in male power athletes, whilst male endurance athletes and female track-and-field masters lose tibia epiphyseal bone mass at a rate of approximately 5% per decade [38]. It has to be considered, however, that some of the female masters athletes in that study were aged between 50 and 60 years, i.e., within the perimenopausal decade, and that this study could not discern between the effects of aging and of menopause. It therefore seems fair to conclude that engagement

in track and field events such as sprint running and jumping has potential to maintain or increase bone health into old age.

### 3. Performance and Trainability of Older Athletes

#### 3.1. Performance Decline in the Aging Athlete

Declines in cardiovascular fitness and physical performance are the main features of aging human beings [121,122]. The decline starts in the third to fourth decade and depends on genetic and life-style characteristics, in particular on PA through life-span. High cardiorespiratory fitness (CRF: aerobic capacity,  $VO_2\max$ ) and regular PA are associated with longevity even when adjusted for relevant confounders [122,123]. Differences of the slope in performance decline between aging athletes and their sedentary peers are still a matter of debate, but absolute performance levels remain considerably high in masters athletes of both sexes at all ages [28]. Whereas most studies primarily focused on age-dependent changes in running and swimming performance [124,125], only a few have considered a broader range of sports [126]. Data derived from mean winning performance times in track and field events of 10,000 participants in Senior Olympic Games demonstrate a slow decline from the age of 50 to 75 years which became dramatically steeper after the age of 75 [126]. Those findings have been expanded by a recent study suggesting an additional steepening of the endurance performance (in track and field events) in very old masters athletes aged 80+ [127]. Whereas no differences in performance decline in sprint versus endurance events were found for men, sprint performance declined more steeply than that of endurance in women [128]. However, when accounting for kinetic energy contributions to the metabolic costs, sprint and distance running world records depicted remarkably similar age trends [129]. A similar decline was shown in mountain runners from 50 to 70+ years; this decline was, however, slightly more pronounced in females compared to males [28]. Although the performance decline in this study seemed to be slightly steeper in masters athletes than in sedentary persons of the general population, it is important to note that endurance performance remained about 3.5 times higher in older athletes [28]. A recent review confirmed, based on current data from masters athletes, that most of the physiological mechanisms determining  $VO_2\max$  (i.e., pulmonary and cardiovascular function, blood oxygen transport capacity, skeletal muscle capillary density and oxidative capacity) are profoundly modulated by regular PA during the entire life-span [9]. In contrast to cardiovascular and skeletal muscle adaptations to PA and exercise training, pulmonary adaptations seem to play a rather minor role for the maintenance of performance in the aging athletes [9,10]. In summary, all these findings indicate that endurance performance (i.e.,  $VO_2\max$ ) inevitably declines when getting older but can be favorably modified by regular PA and especially by exercise training at all ages in both sexes (Figure 3).

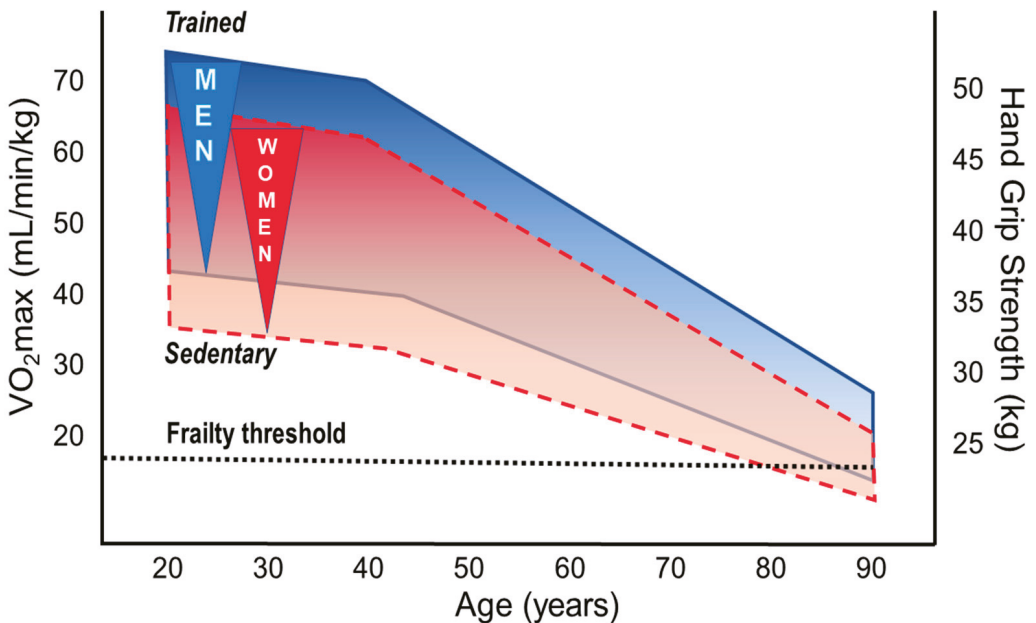
#### 3.2. Sex Differences in Performance, Decline Rates, and Training Effects

##### 3.2.1. Aerobic Fitness

Upper limits of  $VO_2\max$  values can exceed 90 mL/min/kg in young male elite athletes [134] compared to about 35 to 37 mL/min/kg in the general population aged 35–45 years [135]. Above the third age decade,  $VO_2\max$  values decline by approximately 10% per decade but this decline may be considerably modulated by training [9,10]. Extraordinarily high aerobic capacity has been reported in lifelong physically active very old individuals of both sexes. For instance,  $VO_2\max$  values of 38 ( $\pm 1$ ) vs. 21 ( $\pm 1$ ) mL/min/kg were shown for 81-year-old male endurance athletes ( $n = 9$ ) vs. age-matched healthy untrained persons [136]. Even more impressive, a  $VO_2\max$  of 42.3 mL/min/kg has been recently reported in a 83-year old female masters runner [137]. On top, a 101-yr old athlete improved his  $VO_2\max$  following 2 years of specific training (to 103 years of age) from 31 to 35 mL/min/kg [138]. Baker and Tang compared the aging-related rates in performance decline of masters records of both sexes [126]. The age of males/females when performance had declined to 50% of the maximum performance at 30–35 years were 90/84 years for walking, 87/84 years for swimming, 78/72 years for jumping, and 74/60 years for



weight lifting [126]. The larger sex differences in weight lifting compared to walking or swimming might be attributable to differences between lower and upper body performance (composition). While 12% sex difference was reported for running, swimming and cycling [139,140], the sex difference was 17% in cross country skiing (skating technique), again indicating different lower and upper body performance between sexes [135]. The relative (to body mass)  $VO_2max$  differs by about 10% between male and female athletes, which is primarily explained by the higher percentage of body fat and lower hemoglobin levels in women [135,141]. Although this indicates similar overall effectiveness of the oxygen delivery and utilization systems in men and women, specific differences in cardiac and skeletal muscle adaptations exist. With regard to cardiac adaptation to regular exercise training, greater LV end-diastolic cavity sizes and LV mass are observed in trained versus untrained individuals, which is more pronounced in male athletes [142]. Sex differences in LV mass cannot completely be explained by the lower body size of the female athletes, but may at least partly result in the larger blood pressure increase at peak exercise in men [143]. Performance in sprint cycling (200 m) was effectively halved (from the maximum performance at 30–35 years) at an age of 80 years in males compared to an age of 59 years in female masters athletes [126]. Regular exercise training of masters athletes can prevent the shrinking of type I muscle fibers [144], and minimize loss of type II fibers, and it may also prevent fiber type grouping [145] following denervation-reinnervation cycles with aging in both sexes. Notably, females seem to develop a slower muscle fiber phenotype due to progressive slowing of discharge rates [146], likely explaining the relatively large sex difference in the decline of sprint cycling performance [126] (see below).



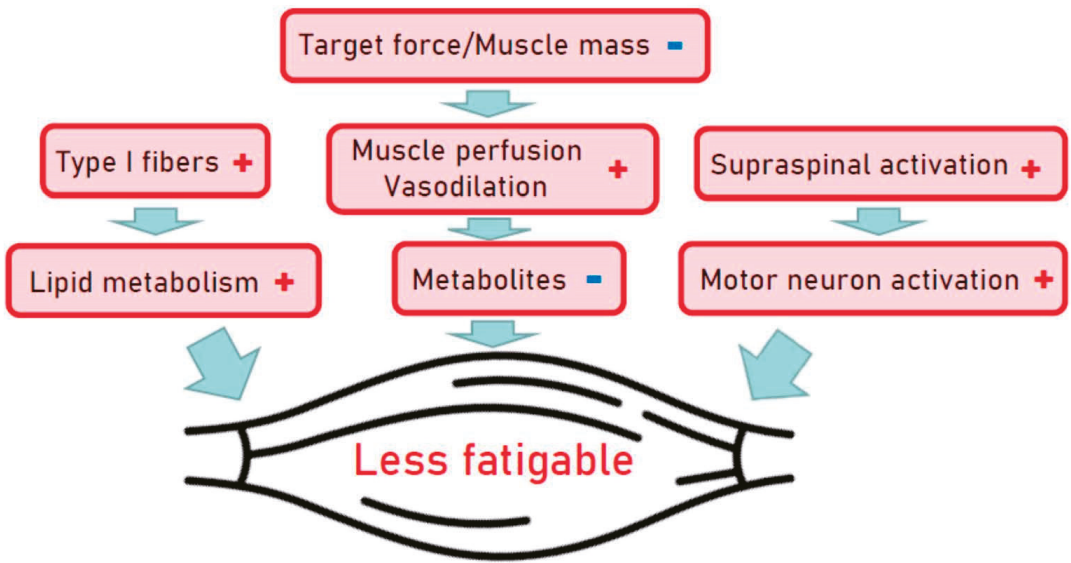
**Figure 3.** Schematic presentation of the age-related performance decline, i.e., in aerobic capacity ( $VO_2max$ ) and maximal grip strength, for both sexes, depending on physical training status, ranging from well-trained to sedentary. The figure is based on the meta-analysis from Fitzgerald et al. (1997) [130], with contributions from Burtscher et al. (2008) [28], Booth et al. (2010) [131], Landi et al. (2017) [132], and (defining frailty threshold as  $VO_2max$  of 18 mL/min/kg) Carr et al. (2006) [133].

### 3.2.2. Muscular Strength

Muscular strength and power are important contributors to physical fitness with an independent role in the prevention of many chronic diseases and early deaths [147]. The aging process results in decline of muscle mass and strength by about 1% per year starting in the fourth decade [148]. Peak instantaneous power declines by approximately 7% per decade when assessed via vertical jump test in endurance runners, in sprinters as well as in the general population, and very similar are reported for ergometric lower extremity power testing [36,144,149,150]. Muscle wasting, however, differs largely between individuals due to differences associated with the aging process per se but can be significantly modified by PA levels and exercise training. Most important characteristics associated with aging are the muscle architecture and fiber type composition, tendon properties and vascular control of the contracting muscle [147,151]. In athletes, the onset of declining power-lifting performance is more pronounced and progresses more rapidly than endurance performance, i.e., men's and women's power-lifting performance starts decreasing by 3% per year in the 4th decade and by 1% per year thereafter [152]. As shown for endurance training on cardiovascular fitness, rapid and pronounced effects of resistance training on muscle mass, muscle strength and power are well established in young and elderly individuals as well [147]. Accordingly, higher levels of muscle strength and power in the aging athletes are not surprising but seem predominantly due to hypertrophy of remaining fibers as the loss of fiber numbers seems not to be preventable by lifelong PA [153]. The magnitude of differences between sexes in muscular strength is well documented and may almost entirely be explained by the difference in muscle size of equally trained men and women [154], indicating similar muscle quality characteristics for both sexes. The overall muscle mass and power is greater in men than women and the absolute changes in muscle mass following resistance training are also larger in men, but the relative changes in strength and muscle hypertrophy are similar in both sexes [155]. From a cross-sectional study including a wide age range of men and women, better preservation of eccentric peak torque and enhanced capacity to store and utilize elastic energy with aging was shown for females compared to males [156]. While eccentric actions in a hypertrophy-targeted resistance training seem to be slightly more effective than concentric actions, both types of training should be included [157]. Skeletal muscles of males compared to females are generally stronger and more powerful, but muscles of males might be more easily fatigable. While those sex differences are primarily caused by differences in contractile mechanisms, other mechanisms, e.g., muscle perfusion, voluntary activation, etc., also represent contributing factors (Figure 4) [158].

### 3.2.3. Mitochondria

Mitochondria are highly adaptive organelles and dynamically respond to environmental stimuli, such as nutritional states and physical exercise. Growth of mitochondrial mass, achieved by mitochondrial biogenesis, is regulated by a number of molecules including the co-transcriptional factor peroxisome-proliferator-activated receptor  $\gamma$  co-activator-1 $\alpha$  (PGC-1 $\alpha$ ) [159]. Conversely, mitochondrial mass can be reduced by mitophagy, a process contributing to clearance of defective mitochondria and thus to mitochondrial quality control. Mitochondrial numbers are, furthermore, regulated by mitochondrial dynamics (i.e., fusion and fission) [160] that do not necessarily change mitochondrial mass. Physical activity is known to boost mitochondrial biogenesis and turnover, as well as respiration [161] and mitochondrial quality is positively associated with physical performance [162–164]. AMP-activated protein kinase (AMPK) regulates PGC-1 $\alpha$  [165] and mitochondrial quality control [166] and is therefore an important mediator of mitochondrial biogenesis by PA. Mitochondrial functions, such as for example ATP production, are compromised in advanced age [167]. Accordingly, aging is also associated with oxidative stress and with inflammation [168], as well as with impaired mitochondrial biogenesis. The latter is at least in part mediated by reduced AMPK activity with increasing age [169], an effect that can be prominently attenuated in the skeletal muscle by exercise [170].



■ Less in women than in men

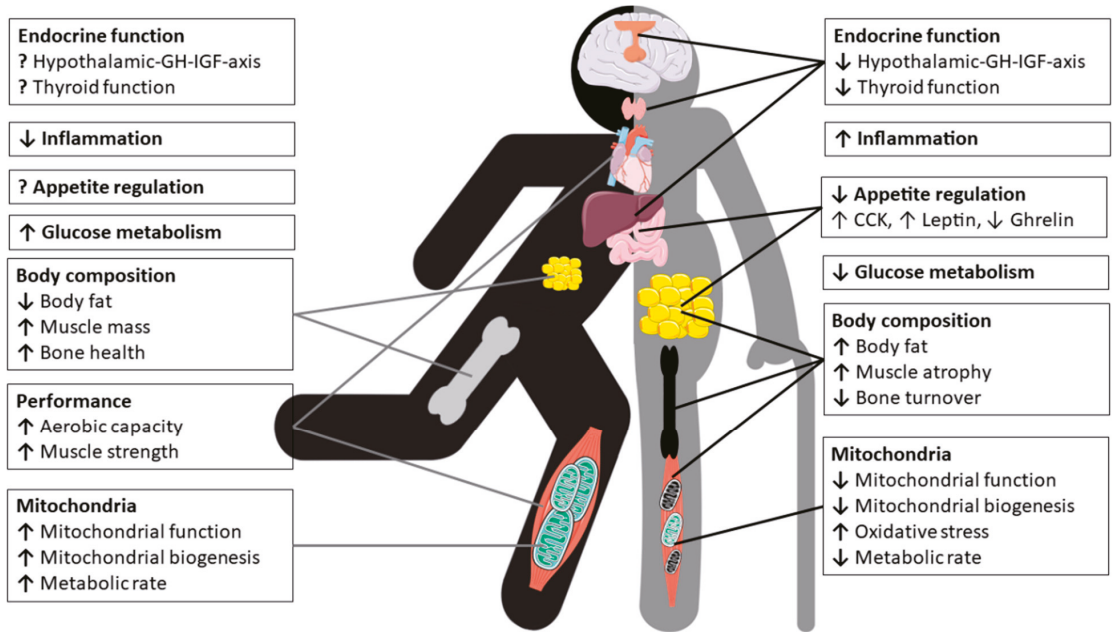
✚ Greater in women than in men

Figure 4. Mechanisms potentially contributing to the less fatigability of skeletal muscles in females. Modified according to Hunter [158].

Although sex dimorphisms of mitochondria have been described, they are still a matter of debate and the functional consequences are not well understood. In aged rat brain a higher mitochondrial mass has been reported in males, while females had more efficient mitochondria with a better redox balance, which was in line with reduced levels of uncoupling proteins (UCP4 and UCP5) that are implicated in oxidative stress reduction [165]. This sexual mitochondrial dimorphism may be associated with higher life span and protection from some age-related neurological diseases [171]. Similarly, more efficient mitochondria in female rats have been described in the liver [172,173], cardiac muscle [174] and skeletal muscle [175]. A review of sex-related differences in mitochondria by Ventura-Clapier and colleagues [176] provides an overview on several further rodent studies in which mitochondria of female in most tissues appear to be more efficient and less affected by oxidative stress as compared to males [176]. On the other hand, the transcription of mitochondrial biogenesis-related factors has been reported to be tissue-specifically different in mice; with no differences in the liver but higher levels of related RNAs in brain and kidney of male as compared to female mice [177]. In humans, mitochondrial biogenesis could be higher in blood of females than in blood of men [178]. Conversely, ATP production rates in skeletal muscles have been observed to be lower in women than in men in another study [179].

In conclusion, the sex dimorphism of mitochondria appears to vary between cell types, tissues and species, as well as with age and health or physical fitness status. To better understand sex-related differences in terms of for example mitochondrial ATP production, reactive oxygen species (ROS)-production/oxidative stress or mitochondrial biogenesis and in particular functional consequences require more detailed research. However, the importance of mitochondrial integrity and efficiency for human health is well established

and mitochondrial quality and biogenesis can be enhanced, e.g., by PA, in both men and women [180–182]. Figure 5 depicts the pleiotropic effects of physical exercise and nutrition on physiology of master’s athletes.



**Figure 5.** Pleiotropic effects of physical exercise and nutrition on healthy aging. The right side depicts effects of aging on endocrine function, appetite regulation, glucose metabolism, body composition and mitochondria. The left side illustrates how physical exercise can potentially mitigate effects of aging on the human body of masters athletes. ↑, positive change; ↓, negative change; ?, unknown effect. Cholecystokinin, CCK; growth hormone, GH; insulin-like growth factor, IGF.

#### 4. Nutritional Considerations for Masters Athletes

Masters athletes are often considered as a role model for successful aging and their physical capacities provide useful insight into strategies for healthy aging [183]. Although training is the primary stimulus for exercise-induced adaptations, nutrition can have a major impact on the physiological adaptations that result from exercise training and competition. However, nutrition for the older athletes needs to consider the physiological and diet-related challenges associated with aging and exercise (e.g., changing gut function and nutrient requirements with age) that affect training capacity or nutrient absorption. The most important challenges that masters athletes may face to stay competitive is, first, the maintenance of energy balance, including the risk of low energy availability and, second, anabolic resistance, where the synthetic response to muscle contraction and/or protein ingestion is blunted. For example, a protein-energy deficit can quickly lead to a loss of muscle mass, strength and function together with a transient depression of immune function so that exercise performance is compromised [184]. Furthermore, changes in body composition and hormones in the andropausal/menopausal transition can influence both muscle and bone. The aging muscle is a significant predictor for falls and fractures. Immobilization of a limb due to injury results in a sudden and dramatic muscle wasting and bone loss in conjunction with an inflammatory response, both of which may have detrimental metabolic and functional consequences. This section therefore highlights nutritional aspects that may support health and physical performance for older athletes. Key nutrition-related concerns include the need for adequate energy and protein intake

for preventing low bone and muscle mass and a higher demand for specific nutrients (e.g., vitamin D and probiotics) that may reduce the inflammatory burden in masters athletes. In older adults, gut microbiota composition may represent a marker of health status and probably a predictor of functional decline. With this review, we highlight important research findings on the association between exercise, nutrition and the microbiota, which represents a rapidly developing field in sports nutrition.

#### 4.1. Dietary Protein and Energy Requirements

It is well known that a balanced diet that provides enough energy to allow physical exercise is of utmost importance to stay healthy, and this is a yet more crucial factor for athletes with specific dietary needs. In sports nutrition, energy availability is defined as the energy available to promote good health once the energy cost of exercise is deducted from energy intake, relative to an athlete's fat-free mass. Low energy availability (<30 kcal/kg of lean body mass/day) is associated with a number of disorders seen in both female and male athletes, including reduced metabolic rate, hormonal changes (e.g., satiety hormones, reproductive hormones, GH and IGF-1), poor bone health and impairments of muscle protein synthesis, immune health and performance [185,186]. Females may have special or different needs due to differences in body size and nutritional status (e.g., energy availability or iron status) as well as due to fluctuations in sex steroid hormones, for example via menopause [187]. Furthermore, physiological changes associated with aging per se such as a gradual decrease in lean body mass and subsequently in RMR, loss of appetite, changes in the composition and function of the gut microbiota but also diminished salivary secretion may increase the risk of inadequate energy intake and might require modification of the master athletes' diet [188]. Although regular PA may lower the risk of inadequate energy intake and has the potential to maintain muscle mass and RMR with aging [46,48], recent findings including athletic populations suggest that masters athletes are still at risk of nutritional deficit [189]. In this study in master triathletes, post-exercise energy and protein intakes relative to body mass were significantly lower than the recommended dietary allowance (RDA) for younger athletes, with  $-40\%$  for energy (22.7 kJ/kg), and  $-25\%$  for protein (19.6 g), which may affect post-exercise recovery. Furthermore, dietary analysis revealed that female masters athletes in particular consumed significantly less carbohydrates (0.7 g/kg) post-exercise than recommended (1.0 to 1.2 g/kg).

##### 4.1.1. Optimizing Post-Exercise Recovery

Carbohydrates provide key substrates for the muscle and central nervous system during rest and exercise. It is still unclear if the recommended carbohydrate intake of 1.2 g/kg/h [190] is sufficient for this, or if a surplus could enhance post-exercise muscle glycogen resynthesis and whether female athletes or older individuals are affected differently. Until now, there is no evidence that post-exercise carbohydrate fuelling recommendations differ between ages and sexes. Thus, it is likely that carbohydrate loading strategies act in the same way in masters athletes, provided that they consume at least 8.0 g/kg body mass/day for daily fuel needs and recovery [190], additionally 30 to 70 g carbohydrates per hour of exercise—depending on exercise intensity and duration—for immune system recovery after intense exercise boots [191].

Proteins are essential nutrients for recovery from exercise. Post-exercise protein ingestion stimulates muscle protein synthesis (MPS), and the balance between synthesis and breakdown of muscle proteins determines recovery and adaptation to the exercise stimulus. Thus, sufficient provision of amino acids is critical to build muscle mass and strength with exercise training, as well as enhancing other adaptations [192]. In particular resistance exercise augments the ability of muscle to respond to protein intake. The optimal daily dietary protein intake depends on many factors, including age, sex, body size, habitual energy and nutrient intake as well as habitual exercise and PA. Moreover, acute dietary factors such as the quality of protein, defined by the spectrum of amino acids contained, the amount of protein ingested and the timing of protein ingestion and also the intake of

other nutrients can influence the response of muscle to protein intake [193]. It is clear that the essential amino acids are critical for optimal stimulation of MPS, but also as signals to stimulate the process. The essential amino acid leucine is of particular interest as it is a powerful signal for stimulation of the mammalian target of rapamycin (mTORC) 1 pathway, which is responsible for the initiation of protein translation and is thus often used as a proxy measure for MPS [194]. The recommended protein intake for professional athletes is between 20–25 g of high-quality protein consumed after exercise [195], preferably through the ingestion of whole foods [196], which are rich in dietary protein, vitamins, minerals, and other macronutrients (e.g., whole milk or eggs). Recent data suggest that this amount may be suboptimal and insufficient for older people [197]. Thus, dietary protein recommendations for older adults should be increased (i.e.,  $\geq 30$  g/meal or  $\geq 1.2$  g/kg body mass/day), which contain higher amounts of leucine (i.e., 78.5 mg/kg body mass/day) than current recommendations [198], and also considered on a meal-by-meal (every 3–4 h) basis [199,200]. Experts even suggested higher protein intakes for masters athletes (35–40 g/meal) to meet a daily target of  $\sim 1.5$ – $1.6$  g/kg body mass/day to optimize lean mass gains during resistance training [201]. Protein intakes in female masters athletes with energy intakes less than 1800 kcal/day are likely to be too low. To preserve lean tissue during periods of energy restriction, protein requirements are greater (i.e., 1.6–2.4 g/kg body mass/day) than during periods of energy balance [202]. Vegetarian athletes can increase the muscle anabolic potential by blending animal and plant protein sources [203], and vegan athletes should combine various plant-based proteins in a 50/50 ratio to provide a more balanced amino acid profile (e.g., maize/soybean, rice/soybean, rice/pea) or by fortifying plant-based proteins with leucine (3 g/meal) [204,205].

#### 4.1.2. Mastering Anabolic Resistance

At old age, the stimulating effects of exercise on MPS become blunted, which is referred to as anabolic resistance [206]. It was also recently demonstrated that masters triathletes aged  $>50$  years display lower MPS rates following a bout of downhill running than younger triathletes, suggesting slower acute recovery with aging [207]. However, the latter study was not designed to address the impact of chronic exercise training on muscle anabolism with aging. The only study to date to investigate MPS in masters athletes compared to untrained older individuals reported that endurance-trained masters athletes, with an average of  $\sim 50$  years of continuous training, do not display an elevated capacity to upregulate intramuscular signalling and integrated myofibrillar protein synthesis in response to unaccustomed resistance exercise training [208]. This is somewhat surprising, since masters athletes typically display superior physiological function and indices of muscle morphology compared with healthy untrained older individuals (see above, [209]). Obviously, lifelong exercise is the best approach to achieve whole-body health, but even starting later on in life will help delay age-related muscle weakness and physical disability.

There is a great interest how modifiable factors, such as diet and PA, can modulate the rate of age-related muscle loss. Stable isotope approaches revealed that the older muscle displays a reduced responsiveness to anabolic properties of amino acid feeding [210,211]. Older women in particular exhibit a blunted MPS response to feeding [206]. This anabolic resistance is now widely believed to be a key factor responsible for age-related muscle loss [212]. However, performing exercise in close temporal proximity to protein ingestion, and increasing the amount of protein ingested per meal (see above) can—at least to some extent—overcome anabolic resistance [213,214]. In support of this, cross sectional data show that senior athletes who consume protein modestly above the RDA experience higher muscle strength and quality than those consuming the RDA [215]. Moreover, supplementing with a daily dose of  $\sim 3.5$  g omega-3 fatty acids has been shown to stimulate MPS and may improve muscle mass and function in healthy older adults [216,217].

#### 4.2. Bone Health and Injury Recovery

In addition to its mechanical susceptibility, bone is also a nutritionally modulated tissue and nutritional inadequacies are a risk factor for low bone mass in athletic individuals [218]. What is less clear is the influence of feeding practices on the bone response to intense exercise and training, and the current knowledge is well covered in the recent review by Sale et al. [219]. Evidence suggests that an energy availability >30 kcal/kg of lean body mass/day minimize negative effects on the bone and an energy availability of 45 kcal/kg of lean body mass/day is optimal to support bone health in the athlete [220]. This requirement is particularly important to prevent the female athlete triad and to thereby prevent fatigue fractures [107]. Apart from energy availability, low carbohydrate availability negatively affects the bone, while consuming carbohydrate before, during or after exercise attenuates bone resorption to intense exercise and training in the athlete [221]. Masters athletes require protein intakes higher than the RDA (between 1.2 and 1.6 up to 2.2 g/kg body mass/day) through its support for muscle mass and function [201], but also via the increase in circulating hormones and growth factors, such as IGF-1, which have an anabolic effect on bone [222]. Nevertheless, it seems unlikely that a diet high in animal protein (~2 g/kg) is harmful for bone health, provided that dietary calcium intake is adequate [223]. Findings even indicate beneficial effects of animal protein sources on bone strength in older adults with exercise training [224]. In addition, diets high in animal protein appear to protect against bone loss during periods of weight loss [225]. Fermented dairy products, in particular, exert beneficial effects on bone growth and mineralization, attenuation of bone loss, and reduce fracture risk [226]. Perhaps more attention should be paid to increasing fruit and vegetable intake in older athletes, because of their potassium alkali salts that the body metabolizes to bicarbonate [227,228], rather than reducing animal protein sources. An important direct or indirect mediator of bone and skeletal health is vitamin D. Vitamin D is mainly obtained through sunlight ultraviolet-B exposure (UVB) of the skin, with a small amount typically coming from the diet. It is now clear that vitamin D has important roles beyond its well-known effects on calcium and bone homeostasis. Vitamin D deficiency and insufficiency are common in athletes [229] and associated with a greater risk of low bone mass and bone injuries, such as fatigue fractures [230], which appeared to be protected by calcium (2000 mg/day) and vitamin D (800 IU/day) supplementation [231]. Higher doses with at least 1500–2000 IU/day vitamin D are required in athletes with insufficient status (circulating 25(OH)D < 40 nmol/L) [232].

##### 4.2.1. Muscle Disuse Atrophy

Skeletal muscle injuries account for over 40% of all sports-related injuries, with a two times higher risk in male than female athletes [233]. Fatigue fractures are the most common bone injuries in athletes (0.7% to 20% of all injuries), especially in women with reduced energy availability [218], and often occur during periods of high-volume and high-intensity training that are characterized by excessive and rapid increases in training and competition load [234]. Fatigue fractures emerge from prolonged mechanical overuse, so that bone's capacity to repair microdamage through the remodelling process is overwhelmed by the emergence of new bone microdamage sites, which ultimately results in bone material fatigue [94]. Thus, the factors that predispose to fatigue fractures include the intensity, frequency and duration of loading exercises, as well as short recovery periods between loading cycles [235]. Based on the current epidemiological evidence, the masters athlete's age, per se, does not increase the prevalence and risk of injury within competition [236], although little is known about injuries during training, including the incidence of fatigue fractures. It is rather that inadequate energy intake and/or deficits in muscle strength, flexibility or aerobic fitness increases the risk of sustained sports injuries.

Muscle disuse due to prolonged bed rest (e.g., hospitalization, recovery from surgery), limb immobilization or reduced PA due to illness result in rapid muscle atrophy (~0.5% of muscle mass per day of immobilization) and deconditioning of muscle tissue [237,238]. Furthermore, short-term (5 days) muscle disuse has been demonstrated to lower post-

absorptive and post-prandial MPS rates and to induce anabolic resistance to protein ingestion in healthy young adults [239]. Thus, muscle disuse represents a unique metabolic and nutritional challenge for the young but even more so for the masters athlete as energy and macronutrient requirements are altered considerably. Although robust data on the consequences of these changing metabolic demands and the efficacy of nutritional interventions in injured athletes are still lacking, models of muscle disuse (i.e., bed-rest, step reduction) have revealed insights into the likelihood for deleterious metabolic adaptations that occur during a short-term reduction in PA with increased sedentary behavior [2,240,241]. A recent study in young adults with a habitually active lifestyle (>10,000 steps/day) provided direct evidence of a number of unfavourable adaptations to body composition with loss of lean mass (~0.3 kg) and accretion of abdominal and liver fat, with development of whole-body insulin resistance, after 2 weeks of physical inactivity (>80% step reduction) [2]. Older adults with lower baseline lean mass and slower rate of recovery following physical inactivity may be even more prone to these acute periods of muscle disuse when compared to young people [2,241].

#### 4.2.2. Role of Nutrition for Injury Recovery

While sports nutrition has typically focused on augmenting performance and adaptations, far less attention has been given to nutrition for the injured athlete. Injury, per se, results in significant stress response and increases energy expenditure by 15% to 50%, depending on the type and severity of the injury. During the first stage following an injury, an inflammatory response is initiated accompanied by an increase in catabolic hormones (i.e., cortisol and catecholamines) and a decrease in anabolic hormones (i.e., GH, testosterone), resulting in a catabolic environment that can lead to a sudden and large loss of lean body mass [242].

Nutritional strategies have been proposed to help improve recovery from exercise-induced injuries involving immobilization and/or reduced activity [243–247]. One of the key considerations during the injury is to ensure that sufficient energy is consumed to prevent excessive muscle disuse atrophy and to support repair, without significantly increasing body fat. Hence, identifying energy needs during injury via indirect calorimetry or estimated using predictive equations is an important first step to maintain the caloric balance. Thereby, total daily energy expenditure can be calculated as: resting metabolic rate  $\times$  stress factor (bone fracture, minor surgery = 1.2)  $\times$  activity coefficient (sedentary = 1.2, lightly active = 1.4) [245]. Especially in the older athlete, where the muscle could develop anabolic resistance, it is crucial to ensure a higher protein intake for repair. The recommendation is 1.6–2.5 g/kg body mass, evenly spread across the day, every 3–4 h around a rehabilitation session, and before sleep, in amounts of 20–35 g, which contain high amounts of leucine (2.5–3 g), and additionally casein prior to sleep [246,248,249]. Other nutrients, such as creatine monohydrate (10 g/day for 2 weeks), fish oil-derived omega-3 fatty acids (4 g/day), and  $\beta$ -hydroxy- $\beta$ -methylbutyrate (3 g/day) have been proposed as beneficial for the treatment of injury [248]. However, although dietary-supplement strategies may be useful if caloric intake and appetite is reduced, nutritional considerations to promote injury recovery should be explored in a food first approach, rather than a reliance on supplements [243].

#### 4.3. Immune Function and Risk of Infection

Age-related declines of both the innate as well as the adaptive immune system contribute to the increased susceptibility of older individuals to acute and chronic infections, autoimmune diseases, and systemic inflammatory diseases. While a lack of PA, decreased muscle mass, and poor nutritional status facilitate immunosenescence (namely, a decline of naïve T cells and the CD4/CD8 T-cell ratio, an increase in memory/effector T-cells and senescent/exhausted T-cells) and inflammaging (characterized by elevated levels of IL-6, TNF- $\alpha$ , and IL-1 $\beta$ ), moderate exercise training positively affects the composition of the T cell compartment, the function of various leukocyte subpopulations, and counteracts



hallmarks of immunosenescence [250]. Thus, maintaining regular PA throughout life helps to maintain function of the immune system during aging, which could play a role in preventing infection. A recent study in masters cyclists aged 55 to 80 years found that compared with inactive older adults, the cyclists showed reduced evidence of a decline in thymic output and inflammaging [251]. Masters cyclists showed higher serum levels of the thymo-protective cytokine IL-7 and lower IL-6, which promotes thymic atrophy. In addition, maintaining high levels of aerobic fitness during aging may help prevent the accumulation of senescent T-cells [252]. While moderate exercise reduces the risk of illness [253], prolonged intense exercise is associated with a transient depression of immune function and can lead to immune impairment in athletes associated with an increased susceptibility to infections (mainly of the upper respiratory tract) [254]. There has been much interest in how dietary strategies can improve immunity in athletes. So far, there is limited evidence that the dietary practices of athletes such as low energy or carbohydrate availability suppress immunity. Athletes are recommended to follow a balanced diet to avoid a nutrient deficiencies required for proper immune function [255]. A metabolomics approach offered by Nieman and Mitmesser [191] highlights the potential impact of high carbohydrate-polyphenol food sources to counter post-exercise inflammation and to enhance oxidative and anti-viral capacity, with reduced upper respiratory illness (URI) rates [256]. Another intervention by which the immunomodulatory effects of high-intensity training might be countered is by manipulating dietary protein intake. For example, a reduced incidence of URI was observed in elite cyclists undertaking 2 weeks of high-intensity exercise training while consuming a high protein diet (3 g/kg body mass/day) [257]. The authors concluded that it is possible that immune surveillance might be maintained during heavy training by consuming a high protein diet. The new theoretical perspective offered by Walsh et al. [258] sharpens the focus on tolerogenic nutritional supplements (e.g., probiotics, vitamin C and vitamin D) shown to reduce the burden of infection in athletes at a non-damaging level. In the present section, we focus on vitamin D and probiotics, with a particular interest in the gut microbiota because of the close relationship between the microbiome and the immune system.

#### 4.3.1. Anti-Inflammatory Vitamin D

There has been increasing interest in the benefits of supplementing vitamin D during the winter months as studies demonstrate vitamin D insufficiency ( $25(\text{OH})\text{D} < 50 \text{ nmol/L}$ ) in more than half of all athletes living at northerly latitudes [259]. It is important to avoid vitamin D deficiency ( $25(\text{OH})\text{D} < 30 \text{ nmol/L}$ ) in order to maintain immunity and prevent URI, particularly in settings where profound vitamin D deficiency is common [260]. URI tend to be more prevalent in female endurance athletes when engaging in similarly high training loads than their male counterparts (~11 h/week of moderate-vigorous activity) [261,262]. Evidence supports an optimal circulating  $25(\text{OH})\text{D}$  of  $75 \text{ nmol/L}$  to prevent URI in athletes and military personnel and, furthermore, to enhance immune function and to induce anti-inflammatory actions through the induction of regulatory T cells and the inhibition of pro-inflammatory cytokine production [263]. Reducing inflammation is a key mechanism that can improve age-related skeletal muscle changes through either direct catabolic effects or indirect mechanisms (e.g., higher GH and IGF-1 concentrations, less anorexia) [264]. A recent study in sarcopenic older adults participating in a 12-week controlled resistance training program found a significant beneficial effect of daily supplementation with whey protein (22 g), essential amino acids (including 4 g leucine), and vitamin D (100 IU) compared to placebo, with a gain of 1.7 kg in fat free mass, significant decreases in C-reactive protein concentrations, and significant increases in IGF-I concentrations, accompanied by a reduced risk of malnutrition [265]. Although the authors were not able to assess the effects of vitamin D supplementation separately from essential amino acid supplementation, this study suggests that whey protein, essential amino acid and vitamin D supplementation, together with resistance training, can reduce inflammatory markers and improve anabolic markers in sarcopenic elderly. Interestingly, long-term

supplementation with calcium-vitamin D fortified milk may negate these benefits because of the concomitant gains in fat mass [266]. Despite the decline in vitamin D production with aging, vitamin D sufficiency can be achieved in older athletes by regular sunlight exposure in the summer and daily 1000 IU vitamin D3 supplementation in the winter months [267].

#### 4.3.2. Gut Microbiota and Probiotics

The concept of a healthy resilient gut microbiome relies on its high richness and biodiversity [268]. The intestinal microbiota plays an important role in many metabolic processes that are beneficial to the host such as synthesis of vitamins and production of short chain fatty acids (SCFA), but also in the development of the mucosal immune system [269]. On the other hand, it has also been associated with chronic inflammation resulting from impairment of mucosal barrier, thereby contributing to the development of inflammatory, autoimmune and metabolic diseases [270]. Lower bacterial diversity has been observed with advanced age, and accumulating evidence suggests that the gut microbiota is linked to health status in aging [271]. Diet, particularly protein intake, and exercise can modify the composition, diversity and metabolic capacity of the gut microbiota [272–274] and may, thus, provide a practical means of enhancing gut and systemic immune function. It has been reported that exercise-induced changes in the microbiota (e.g., increased butyrate-regulating bacterial taxa and microbiome SCFA-producing capacity) are more substantial in lean versus obese adults and are largely reversed once exercise training discontinued [274], suggesting an association between microbial diversity, body composition, and physical function. Cardiorespiratory fitness ( $VO_2\max$ ) has been observed to correlate with gut microbial diversity and fecal butyrate, a SCFA associated with gut health, in healthy young adults [275], and with intestinal *Bacteroides* in healthy elderly women [276]. Thus,  $VO_2\max$  seems to be a good predictor of gut microbial diversity and metabolic function in healthy humans. Recent findings from the American Gut Project revealed that chronic exercise training benefits older adults by maintaining the stability of the gut microbiota (microbial composition and function) induced by aging [277]. Recent data demonstrated that masters athletes can be seen as a model of healthy aging also from the perspective of the microbiota [278]. Compared to community-dwelling older adults, senior orienteering athletes displayed a more homogeneous composition of gut microbiota, with higher levels of *F. prausnitzii* that is associated with positive health benefits, such as good gastrointestinal health, as well as psychological well-being that is most likely due to changes in the gut–brain axis [279]. Conversely, a substantial proportion of endurance athletes report gastrointestinal problems (e.g., abdominal discomfort, diarrhoea) during long-distance runs or competitive events and these symptoms may be related to gut ischaemia-associated leakage of bacterial endotoxins into the circulation [280]. Excessive exercise, but also other factors, such as drugs or illness, may be linked with dysbiosis of the gut microbiome, promoting inflammation and a catabolic state that can negatively influence muscle mass and function, particularly during aging [281].

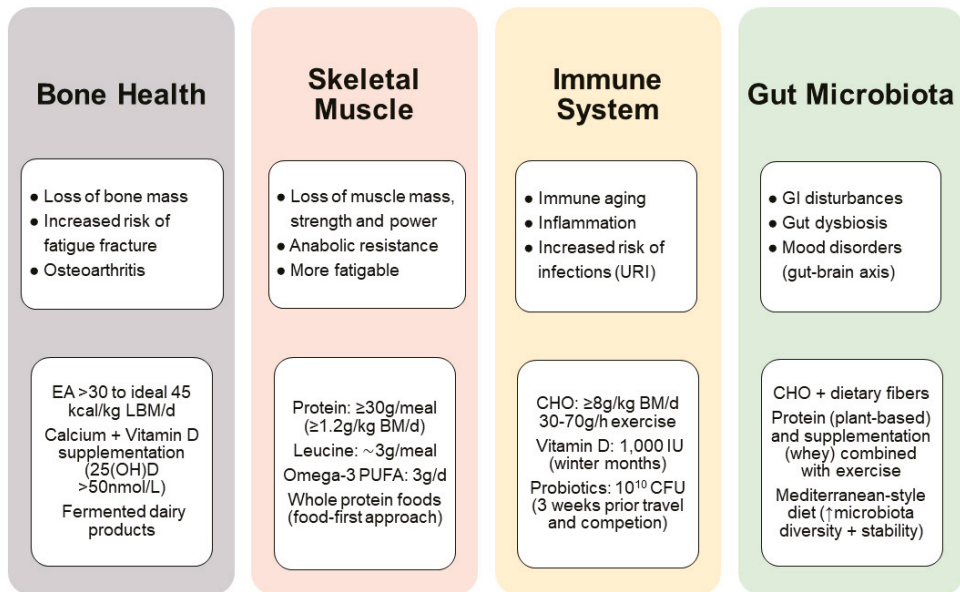
Probiotics are live microorganisms that are thought to confer immunomodulation properties on both local and systemic immunity. Probiotics have been found to modify the population of the gut microflora and have been shown to increase some aspects of mucosal and systemic immunity in healthy humans such as altered cytokine production, increased natural killer cell cytotoxic activity, increased secretory immunoglobulin A (IgA) levels, and enhanced resistance to infections [282]. On the other hand, probiotics exert important anti-inflammatory ‘tolerogenic’ effects that may reduce the infection risk of athletes at a harmless level [258] and there is now some evidence from a number of studies in support of this [283–287]. The proposed mechanism behind the protective effects of probiotics against infections in athletes may be attributable to modulation of the gut microbiota (enhanced intestinal barrier function and protection from pathogens) the mucosal immune system (enhanced bioactive metabolite production, such as SCFA and neurotransmitters) and lung macrophage and T lymphocyte functions [288,289]. Data

from our own study indicate that some of these effects appeared to be connected with alterations in tryptophan metabolism [287]. Daily supplementation with a multi-species probiotics ( $1 \times 10^{10}$  colony-forming units (CFUs) during 12 weeks of winter training limited exercise-induced drops in tryptophan levels and reduced the incidence of URI. Trained young athletes who developed URI demonstrated higher degradation rates of tryptophan compared to those without URI. A previous study undertaken by well-trained cyclists reported sex differences with probiotic supplementation ( $1 \times 10^9$  CFUs of *L. fermentum* PCC®) for 11 weeks with a significant reduction in lower respiratory illness symptoms (duration and severity) in males, but with some evidence of an increase in symptoms in females, while the effects of probiotic supplementation on URI were unclear in males and females [290]. Although anti-inflammatory effects of probiotics exist in young athletes, these results cannot be directly extrapolated to masters athletes due to changes in the immune system that occur with ageing. The influence of probiotics on immune function and infection risk in the elderly has been studied only sporadically. The most comprehensive study so far in free-living elderly aged >65 years demonstrated a clear association between probiotic ( $3 \times 10^7$  CFUs of *L. delbrueckii* ssp. *bulgaricus* 8481) consumption for 6 months and enhanced systemic immunity in older people [291]. Clinical benefits by probiotics are reported from respiratory infection studies where in one study the rate of common cold infections was decreased by dietary intake of yoghurt fermented with *L. delbrueckii* ssp. *bulgaricus* OLL1073R-1 [292] and in another study the duration but not the incidence of episodes decreased with *L. casei* DN-114001 [293]. Theoretically, combining probiotics with omega-3 fatty acids may offer a promising nutritional strategy to counteract metabolic challenges associated with aging via the gut microbiota, especially relevant for older people that suffer from anabolic resistance, systemic inflammation, and mood disorders (through the gut–brain axis) [279,294,295]. However, more research is required to understand the connection between exercise, nutrition and the gut microbiota in the elderly population in general and in master athletes in particular.

## 5. Conclusions and Future Perspectives

In summary, physiological and diet-related challenges that occur with the aging process can be positively influenced by chronic exercise training and appropriate nutrition. Even though limited evidence exists on nutritional requirements for masters athletes, based on the analysis of current nutrition guidelines for young athletes and older non-athletic populations, recommendations can be suggested for older athletes (Figure 6). Particular attention should be given to proper energy and protein intake for preventing low bone and muscle mass and optimizing post-exercise recovery. Furthermore, a higher demand for specific nutrients (e.g., vitamin D, probiotics omega-3 fatty acids) should be considered in older athletes to improve both mucosal and systemic immunity, enhance resistance towards inflammatory and metabolic diseases (including infections), and, last but not least, to preserve psychological well-being, which itself can help boost the masters athletes' immune system.

Although we have proposed nutritional recommendations for older athletes, there are still many open questions concerning the nutritional requirements of masters athletes. For example, how do older athletes train and eat and, what is the nutrition knowledge and practice of masters athletes? What role does the diet play, for both decreasing fracture risk and enhancing the healing process after fracture? In addition, further research is required demonstrating the benefits of tolerogenic nutrients for their immunological effects in older athletes. There is limited research available on how adaptations to exercise impact the gut microbiota and how nutritional factors, such as restricted energy or higher protein consumption, influence the gut microbiota in older athletes. As such, future longitudinal studies should address what kind of diet and specific nutrients are most suitable for the athletic lifespan to better assist masters athletes.



**Figure 6.** Overview of physiological and diet-related challenges associated with aging and nutritional strategies to assist masters athletes to stay healthy, optimize adaptation and post-exercise recovery. Body mass, BM; colony-forming units, CFU; carbohydrates, CHO; energy availability, EA; gastrointestinal, GI; international units, IU; lean body mass, LBM; polyunsaturated fatty acids, PUFA; upper respiratory illness, URI.

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Review

# Come Back Skinfolts, All Is Forgiven: A Narrative Review of the Efficacy of Common Body Composition Methods in Applied Sports Practice

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**Abstract:** Whilst the assessment of body composition is routine practice in sport, there remains considerable debate on the best tools available, with the chosen technique often based upon convenience rather than understanding the method and its limitations. The aim of this manuscript was threefold: (1) provide an overview of the common methodologies used within sport to measure body composition, specifically hydro-densitometry, air displacement plethysmography, bioelectrical impedance analysis and spectroscopy, ultra-sound, three-dimensional scanning, dual-energy X-ray absorptiometry (DXA) and skinfold thickness; (2) compare the efficacy of what are widely believed to be the most accurate (DXA) and practical (skinfold thickness) assessment tools and (3) provide a framework to help select the most appropriate assessment in applied sports practice including insights from the authors' experiences working in elite sport. Traditionally, skinfold thickness has been the most popular method of body composition but the use of DXA has increased in recent years, with a wide held belief that it is the criterion standard. When bone mineral content needs to be assessed, and/or when it is necessary to take limb-specific estimations of fat and fat-free mass, then DXA appears to be the preferred method, although it is crucial to be aware of the logistical constraints required to produce reliable data, including controlling food intake, prior exercise and hydration status. However, given the need for simplicity and after considering the evidence across all assessment methods, skinfolts appear to be the least affected by day-to-day variability, leading to the conclusion 'come back skinfolts, all is forgiven'.

**Keywords:** DXA; ultrasound; bioelectrical; impedance; scanning; plethysmography; densitometry; athlete; exercise; monitoring

## 1. Introduction

The assessment of body composition is routine practice in many sport organisations. Whilst total body mass (BM) assessments can be important in some situations (e.g., in sports where there is a given weight classification), the wider examination of body composition, specifically lean mass (LM) and fat mass (FM), is more informative for athletes and their coaches. This evaluation of FM, often reported as the percentage of the body that is fat (BF%), is highly relevant in many sports given that excess fat mass can be perceived as 'dead weight' when the body is resisting the forces of gravity in movements such as jumping and running. Despite the importance placed upon optimising and assessing body composition in elite sport, there is no universally accepted measurement method, with practitioners often selecting a technique that is suitable to their daily routines, as opposed to a thorough understanding of the methodologies and their limitations.



Throughout history, researchers attempted to study and accurately measure human anthropometry using a variety of techniques, ranging from early cadaver work to more recent imaging technologies such as dual-energy X-ray absorptiometry (DXA). In anthropometry, the body is often divided into compartments in a conceptual rather than anatomical separation. The simplest is the two-compartment model, which involves splitting the body into FM and fat-free mass (FFM), with the principle being that if one of these components is determined, the other can be estimated. The three-compartment model includes bone mineral content (BMC), FM and FFM (which is also inclusive of all other non-mineral tissues, i.e., organs), whilst the 4-compartment model also includes total body water (TBW) [1]. A visual representation of the compartment model is depicted in Figure 1.

Whilst various methods were developed to measure specific body tissues, cadaveric dissection is the only ‘direct’ method and therefore less invasive, more practical ‘indirect’ methods have been developed (Figure 1). Indirect methods include hydro-densitometry, which whilst accurate, has limited application in the elite sporting environment, as discussed below. Certain methods are deemed ‘doubly indirect’ and use predictive regression equations to quantify body composition based on an indirect technique, e.g., skinfold thickness measures with subsequent prediction equations. All of these methods may be deemed ‘suitable practice’ in specific situations and given there is no gold-standard body composition methodology in free-living individuals, it is crucial that athletes and coaches are fully aware of the various methodologies available and their limitations. Therefore, the aims of this current review are to (1) provide an overview of common methodologies used within sport to measure body composition; (2) compare the efficacy of what is widely believed to be the most valid (DXA) and most practical (skinfold thickness) measurement techniques within applied sports practice; and (3) provide a framework to help select the most appropriate body composition method in applied sports practice.

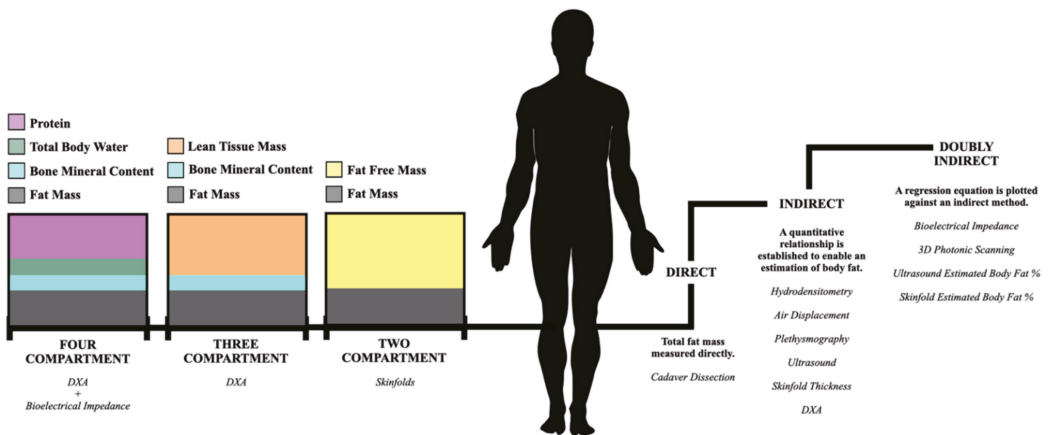


Figure 1. The 2-, 3- and 4-compartmental models of human body composition (left hand side), alongside the validation hierarchy (right hand side).

## 2. An Overview of Measurement Methodologies That Can Be Used in Applied Sport for the Assessment of Body Composition

Over the centuries, numerous techniques have been developed and utilised in an attempt to gain a greater understanding of the evaluation of body composition. However, the validity, accuracy, precision and reliability when employing some of these measures can be questionable, with methods often selected based on factors such as expense, safety, portability, invasiveness and requisite expertise for operation, rather than the most suitable

for the required assessment. Whilst it is not possible to review every method of assessing body composition, this section will highlight those that have been used in the applied sport environment and critically evaluate their efficacy. Specifically, hydro-densitometry, air displacement plethysmography (ADP), bioelectrical impedance analysis and spectroscopy (BIA and BIS), ultrasound (US), three-dimensional (3D) scanning, DXA and skinfold thickness will be discussed. An overview of these techniques is provided in Table 1.

### 2.1. Hydro-Densitometry—Two Compartmental, Indirect

The indirect method of underwater weighing, termed hydro-densitometry, dates back to ~300 BC and uses assumed densities [2] and prediction formulae for determination of two-compartmental FFM and FM [2,3]. Historically, measures of body density established via underwater weighing have represented the ‘criterion’ for both accurate and reliable measurement of body composition and as a means for other methods to be validated against [4]. Employing the ‘Archimedes principle’ of measuring body volume, this technique is based upon the understanding that when an object is submerged underwater, the measurement of the ‘buoyant force’ is deemed to be equal to the weight of the water that it displaces [5]. When carried out by a qualified technician, the method can be accurate and reliable. However, limitations include (1) assumption of specific tissue densities, which may differ in elite athletic populations; (2) residual lung volume can be a source of error, given an individual must exhale all of their air whilst remaining static for a stable value; (3) some individuals may become claustrophobic whilst underwater or not be comfortable in water; (4) the equipment is now uncommon and costly, whilst needing to be maintained, sterilised and cleaned regularly; (5) the technique cannot measure distribution of FFM or FM; (6) air can be contained within an individual’s swimsuit, skin, head, and/or body hair or internally such as in their digestive tract, all of which can be included in false estimations [6]; (7) air temperature, barometric pressure, nitrogen analyser and force sensor calibrations all contain sources of error, which could contribute to the overall measurement error [7]; and (8) is time consuming and potentially uncomfortable. Despite the fact that hydro-densitometry has long been considered a criterion assessment of body composition, the emergence of accurate surrogate techniques are now seen as more suitable alternates in applied sport [8] and in laboratory-based sport science research [9–11].

### 2.2. Air Displacement Plethysmography—Two Compartmental, Indirect

Air displacement plethysmography (ADP) is an alternative to hydrostatic weighing and has more practicality in applied sport, where instead of water, air is utilised to measure body density. Some consider ADP to overcome several of the aforementioned limitations of hydro-densitometry [6]. Machines such as the BOD POD (COSMED, Rome, ITA), use Poisson’s Law to calculate air displacement and thus volumetric calculation. Isothermal air is then measured via inbuilt systems or generated via a prediction formula and combined to calculate a corrected body volume and body composition via numerous predictive equations [12]. Correlations of body density to assess validity when compared to hydro-densitometry have been found to be high [4,13,14]. Additionally, ADP has a high level of reliability ( $CV = 1.7 \pm 1.1\%$ ) [4]. Despite these benefits, ADP is (1) insufficiently sensitive to detect in-competition changes in elite athletic body composition [15]; (2) sensitive to clothing, body hair, air movement, moisture, pressure and temperature changes [12,21–23]; and (3) expensive to purchase for those in the applied sports setting. When compared with hydro-densitometry, ADP overestimates BF% by ‘at least 1.28%’ [6]. When estimating adiposity in comparison to DXA, which will be covered later in this review, ADP diverges at the extremes of the BMI spectrum [24], which may be a cause for concern when used with certain athletic populations. Additionally, much like the use of hydro-densitometry, ADP is considered to be limited by its lack of ability to differentiate FM distribution, which may be of interest to practitioners. As such, this technique is not commonly used in applied sports practice and is primarily utilised in laboratory-based sport science research.

**Table 1.** An overview of the different methodologies for assessing body composition in sport. The authors of the current paper met to rate each technique on a number of key considerations based upon the current balance of evidence within the scientific literature.

Method of Assessment	Evidence of Reliability	Speed of Measurement	Affordability of the Unit	Ease of Standardisation	Suitability for Sport
Hydro-Densitometry (Two-Compartmental Doubly Indirect)	***	**	**	**	Inappropriate – lack of specialised equipment available and uncomfortable for the athlete
Air Displacement Plethysmography (Two-Compartmental Doubly Indirect)	***	***	***	***	May not be suitable to measure in-season changes to body composition and inappropriate for athletes at extremes of the BMI
Bioelectrical Impedance Spectroscopy (Multi-Compartmental Doubly Indirect)	***	*****	***	**	Useful to detect changes over time but not to measure LBM/FM and many standardisation factors to consider
Ultrasound A-Mode (Single-Compartmental (Doubly) Indirect)	**	****	****	****	Time and cost effective with good potential application in sport but needs further research
3D Photonic Scanning (Single-Compartmental (Doubly) Indirect)	Data lacking	*****	***	Data lacking	Given the lack of data in athletic populations, this method requires further study before being utilized in sport
Dual-Energy X-ray Absorptiometry (DXA) (Three-Compartmental Indirect)	*****	****	*	**	Best when segment specific LM changes, or bone density measures are required i.e. following injury or suspected low energy availability. Use heavily dependent on access and available finance with many standardisation factors to consider
Skinfold Thickness (Two-Compartmental (Doubly) Indirect)	****	****	*****	****	Time and cost effective method to assess FM and track change over time

Classifications range between 1 \* (low) and 5 \*\*\*\*\* (high) star ratings. It should be noted that star ratings are based on ideal conditions/equipment, for example taken by an accredited, suitably trained practitioner with the best available equipment. \* Low, \*\* Low-Medium, \*\*\* Medium, \*\*\*\* Medium-High, \*\*\*\*\* High.

### 2.3. Bioelectrical Impedance Analysis and Spectroscopy—Multi-Compartmental, Doubly Indirect

Bioelectrical impedance methods are commonly used within general populations for assessment of body composition due to the speed of procedure, minimal expertise required to administer the test, portability and cost in comparison to other approaches. Indeed, it is not uncommon to see such units in gymnasiums and sports clubs. The methods of bioelectrical impedance are categorised by the number of frequencies used for analysis [25]. Techniques which are single frequency are commonly referred to as bioelectrical impedance analysis (BIA) devices (i.e., hand to hand), while multiple frequency methods are described as bioelectrical impedance spectroscopy (BIS) devices (i.e., hand and foot contact) [25]. BIS methods are considered superior to BIA methods as the calculation of body fluid volumes are based on Cole modelling and mixture theories as opposed to the simple regression equations used by BIA (for a more in-depth review see [25]). Despite this, the majority of previous research using bioelectrical impedance cites BIA methods. For both techniques, the currents are generated and measured using electrodes or metal contacts, which send a small voltage through the body to indirectly assess TBW volume. Based on the resistance to current flow observed within the body, FFM has more water and is less resistant than FM, which contains less water and is therefore more resistant. Bioelectrical impedance was previously validated for measuring TBW volume and may also distinguish between intracellular and extracellular fluid compartments [26,27]. Nevertheless, this method has several limitations including (1) outputs that can be affected by temperature and hydration status [28]; (2) sensitivity to conductive surface of electrodes and electrode placement [29]; (3) makes assumptions on the composition of the body in formula and calculations, irrespective of population group [30]; and (4) the limbs contribute a large proportion to whole-body impedance, despite the relatively low contribution to overall BM [31].

Within athletic populations, there are a limited amount of studies that have assessed the validation of bioelectrical impedance methods to measure FM and FFM, with conflicting findings when compared to DXA. Some investigations reported an underestimation of FM and overestimation of FFM [32–36], with others that reported overestimations of FM [37]. There is also large variability between devices [38], alongside large differences in the equations used to estimate body composition, making comparisons highly complex [25]. However, using bioelectrical impedance as a way of assessing change (provided methods are standardised) may be a useful tool [38] and is re-emerging in an applied sport context.

### 2.4. Ultrasound—Multi-Compartmental, Indirect (or Doubly Indirect If Prediction Equations Used)

A relatively new method in the measurement of body composition is ultrasound (US). This method measures uncompressed subcutaneous adipose tissue thickness through US imaging [39] by transmitting a high-frequency beam through the skin at a chosen surface anthropometry site(s) via a handheld transducer head. Once the beam meets an interface (such as subcutaneous fat or muscle tissues) an image is partially echoed back to the transducer, whereby specific types of tissues transmit differing acoustic impedance (i.e., FM content increases the time required for sound reflections off BMC to return to the probe [40]). An image can then be produced from the echo reflected back to the transducer, allowing the integrated software to produce an estimation of the thickness of the tissue (for a more in-depth review see [39]). The use of US can be an accurate measure of subcutaneous adipose tissue; however, it can be altered by (1) the chosen US frequency; (2) pressure and orientation of the probe causing measurement error; (3) the technician's ability to choose the representative sites for measurements. Furthermore, US can be expensive and impractical within the applied setting, even with improved portability. One reported advantage of US is that intra-abdominal fat can be assessed more reliably than anthropometric measures [41], although, whilst this is useful to assess metabolic risk factors for cardiovascular disease, it is not routinely required in applied sport.

With regards to the application of US in applied sport, it is considered a reliable [42] and accurate measure [39] of subcutaneous adipose tissue thickness, but is limited by the plasticity of fat tissue and uneven tissue borders. More recently, Gomes et al. [43] reported US provides similar results for FM in comparison to skinfold and DXA across a range of athletes. However, the aforementioned study used a high-resolution B-mode US unit, which can be time-consuming (>20 min) and requires costly medical devices (>£11,000) along with expensive analysis software (>£1000) [44], that limits the application of such a method in an applied setting. Within an applied context, one of the more widely used methods is A-mode US devices which are commercially available at a considerably lower cost (such as the BodyMetrix® (Intelmetrix, Brentwood, CA, USA) device (<£2000)). However, research on the validity of such devices is at best equivocal, with poor agreeability reported in comparison with DXA in both non-athletic [45–47] and athletic young adults [48], and when compared to BOD POD in NCAA Division I athletes [44]. Moreover, Pérez-Chirinos Buxadé and colleagues [49] reported that the A-mode US devices produced significantly lower skinfold thickness scores in comparison with skinfold caliper measures performed by The International Society for the Advancement of Kinanthropometry (ISAK) qualified technicians. In terms of the reliability of A-mode devices, mixed results have been reported, from excellent [44,50], to acceptable [51] and also poor [49]. However, it is important to note there are a range of devices available and results may be specific to the device assessed. It should also be noted that the US method involves converting uncompressed subcutaneous adipose tissue thickness into a percentage body fat using regression equations, which adds another layer of inaccuracy that is discussed in more detail in Section 3.2. One advantage of the US device is that it has better inter-rater reliability than skinfolds in novice (non ISAK trained) practitioners [52]. Whilst the use of portable US may prove an exciting avenue for assessment of body composition in the future, this requires further development and research to assess the accuracy and reliability of available devices; however, it could be an effective tool to produce repeatable data when there is no access to suitably trained skinfold practitioners (see Section 2.7).

### 2.5. 3D Photonic Scanning—One Compartmental, Doubly Indirect

The use of 3D scanners, a form of digital anthropometry, originates from the assessment of human body shape for garment manufacture [53]. Three-dimensional scanners are now used for a variety of purposes, including assessment of body composition. Briefly, data with a 3D scanner involves the use of visible and infrared light to create an avatar of the human body, with the subject required to stand still in a particular posture whilst wearing minimal clothing. The reflection of the light off the body allows for a series of points to be captured with triangulation [54]. These points are connected to create a 3D mesh, with the use of landmarks to calculate circumferences, volumes, lengths and surface areas (for a more in-depth explanation of data acquisition and processing, see [55]). In comparison to DXA and computerised tomography (CT) scans, 3D scanners do not require ionising radiation or principal component analysis, the outcome can be used to create a pseudo-DXA scan [55]. The use of 3D scanners is time efficient (a scan takes approx. 10 s), which provides advantages over other time-consuming methods. Theoretically, this method could be employed on a regular basis within athletic populations for frequent assessments of body composition, providing visualisations for retrospective comparisons. Conversely, the cost of using 3D scanners and the operative expertise required may make it a prohibitive method in most applied settings.

The first published data on the use of 3D scanners to assess the body composition of athletes were by Schranz et al. [56], who compared elite Australian rowers to age-matched non-athletic controls. They observed elite rowers had greater segmental volumes and cross-sectional areas, variables which cannot be measured with a one compartment method. Therefore, the authors suggested for talent identification purposes, 3D scanning may be implemented in the testing of potential athletes, with the same research group subsequently observing that 3D methods are better than 1D methods for predicting junior

rowing performance [57]. Evidence exists for the accuracy and reliability of 3D scanning when estimating body composition [56,58–60], although there are differences between commercially available scanners, which is partly due to the differences in the algorithms used [60]. Indeed, the development and refinement of the most valid algorithm and post-processing technique are still required [61]. Nonetheless, not all data support the validity of 3D scanning [62,63]. Cabre et al. [63] observed high typical errors and significant under and over predicting of BF%, FM and FFM using 3D scans compared to a four component model (combined DXA, ADP and BIS) in a non-athletic population. However, the authors observed no significant differences between 3D and DXA measures. Conversely, Tinsley et al. [60] observed high limits of agreement (LoA) for BF% (7–9.5%) and FM/FFM (5.3–7.2 kg) when compared to a four component model. As these LoA are in excess of expected changes from typical dietary and exercise interventions, longitudinal data that compare 3D scanning to other methods of body composition assessment during interventions aimed to alter body composition are required. In summary, given the expense and lack of athlete-specific validation data, this technique is uncommon in applied sport and is mainly suitable for laboratory-based research.

#### 2.6. Dual-Energy X-ray Absorptiometry—Three Compartmental, Indirect

Whilst DXA was first developed for the measurement of bone mineral density (BMD), it has been extensively utilised in athletic populations for the assessment of body composition [11,64–66]. Indeed, DXA is now considered by many in the field as the ‘criterion standard’ of body composition assessment, despite being used in clinical settings for the diagnosis of bone related disorders such as osteoporosis. DXA operates by passing both high and low energy x-ray photons in either a pencil or fan-based beam, through differing body regions. The energy of these beams is attenuated by the density and volume of differing tissues, with soft tissues such as FM and LM allowing greater passage of photons when compared with denser tissues such as bone. The system software then produces an image in a rectilinear fashion and measurements of cross-sectional areas are completed with quantification of FM, LM and BMC in a two-dimensional image, calculated from the coefficient of two differing peaks in order to generate an R-value. For an further in depth description of the technical aspects of DXA measurement in differing systems, readers are directed to a recent review by Bazzocchi and colleagues [67]. Although DXA can be a reliable measure of body composition [68,69], utilisation of this method is not without its limitations, inclusive of legal and ethical constraints and technical considerations that will be discussed in more detail in subsequent sections. The major strength of DXA is the ability to measure BMC, which is growing in importance in due to the increasing awareness of low energy availability and the consequences of this on bone mineral content [70]. Furthermore, DXA provides limb-specific estimations of FM and FFM which can be useful when tracking injured athletes and the magnitude of fat loss in weight-making athletes [9,10].

#### 2.7. Skinfold Thickness—Two Compartmental, Indirect (or Doubly Indirect If Prediction Equations Used)

Skinfold thickness assessment involves the use of a caliper to measure a double fold of gripped skin, over a range of differing sites to establish an overall measurement of subcutaneous adiposity [71]. This method is an inexpensive technique, requiring minimal equipment (calibrated calipers and anthropometric tape measure), allowing assessment to be conducted in a number of different field-based settings making it a popular method for estimating FM [71,72]. Detailed methodology of specific protocols are outlined in a number of texts [73,74]. The number of anatomical sites measured and equations used to predict both body density and FM using this technique varies significantly, which can create discrepancies in the data collected. As a consequence, ISAK was founded in 1986 to provide training courses and accreditation worldwide, setting professional standards for using skinfold thickness to assess body composition, with the eight site method now considered by many as best practice in applied sport settings. Although traditionally the most popular and suitable method for field testing, this doubly indirect method was

previously deemed unsuitable for the assessment of FFM and estimation of BF% [30]. The limitations and practical application of this technique will be covered in more detail in Section 3.

### 3. Practical Considerations When Using DXA and Skinfolts as Measures of Body Composition in Applied Sport Practice

Although traditionally skinfold thickness measurement has been the most popular method of body composition assessment in applied settings, DXA assessment has become increasingly more common in recent years [68], most likely due to a greater availability of machines and a belief that this is now the criterion standard. Despite these methods being used extensively in applied practice, both techniques produce outcomes based on a number of assumptions and require a high degree of standardisation for both accurate and reliable assessment, which is often ignored or not considered in applied sport settings. The following sections will serve to draw attention to these considerations, in the context of an applied sport setting, whilst providing a framework for best practice should these methods be considered and utilised.

#### 3.1. DXA

The following practical considerations when using DXA to assess body composition are important to generate valid and reproducible data: (1) machine and software types, (2) legal and ethical considerations and (3) technical standardisation.

##### 3.1.1. Machine and Software Types

As highlighted earlier, DXA was originally developed to measure BMD within the general population and therefore quantifies FM and LM as a secondary measure. DXA scanning assesses the composition of photographic pixels, directly distinguishing bone (usually 40–45% of pixels) from other soft tissues (FM and LM). The remaining pixels are used to calculate the remaining soft tissue using a FM:LM ratio [75]. Where no bone is present, the ratio of the attenuation of the two beams is linearly proportional to fat within the soft tissue, with this relationship used to estimate FM and LM, respectively [76]. There are also variations between manufacturers, such as energy levels emitted, pixel size, beam path, software algorithms and scanning frequencies [67].

A further consideration often not considered are both inter-machine and inter-manufacturer variability, causing issues with athletes who are required to travel and may be scanned at different locations. Additionally, even when the same model of DXA machine is used, results may vary significantly between hardware and software version. For example, Table 2 shows data collected on elite female soccer players assessed on units produced by the same DXA manufacturer, yet with different models. These measurements were made within weeks of each other and despite players reporting with the same total BM, there was an 18% increase in FM and a 4% decrease in LM. Furthermore, the algorithm used within differing software packages can be modified with numerous iterations available for scan analysis, all of which may produce conflicting values. Within the published literature it is not common for authors to report which algorithm they have used for analysis, rather, only the make and model of machinery used. Furthermore, the software on DXA scanning systems often only allow allocation of athletes to a single racial classification, thereby not allowing further options for individuals who may be of multi-ethnic backgrounds. Such issues do not detract from the use of DXA; however, they must be taken into consideration when comparing athletes who may have been scanned at differing sites and even at the same site if the software on the machine has been updated.

**Table 2.** Example of the differences observed in practice using real-world data derived from English Premier League and Women’s Super League soccer players. This includes using different DXA scanners made by the same company, on the same individuals, alongside an example of the effect of different predictive equations on collected skinfold data.

		Real World DXA Data			
Participant & DXA Characteristics		Multi-ethnic backgrounds, Females, Soccer Players (n = 5), Age: 25 ± 5 years, Height: 167.5 ± 4.0 cm			
		SCAN 1: QDR Series Discovery A, Hologic Inc., Bedford, MA, Software Version 12.4, Weight: 64.0 ± 7.7 kg			
		SCAN 2: QDR Series Horizon A, Hologic Inc., Bedford, MA, Software Version 13.6.0.2, Weight: 64.0 ± 6.8 kg			
Scan Details		Lean Mass (kg)	BMC (kg)	Body Mass (kg)	Body Fat (%)
SCAN 1	12.1 ± 2.1	50.0 ± 6.1	2.9 ± 0.2	65.0 ± 7.9	18.6 ± 1.7
SCAN 2	14.2 ± 2.0	48.0 ± 5.1	2.8 ± 0.2	63.0 ± 10.0	21.9 ± 1.6
SCAN 1 vs. SCAN 2	18.0	−4.0	−2.2	−3.3	18.0
		Real World Skinfold Data			
Participant Characteristics		ATHLETE 1: Caucasian, Male, Soccer Player, Age: 26 years, Height: 180.0 cm, Weight: 79.4 kg, Bicep, 4.0 mm; Tricep, 4.2 mm; Chest, 4.4 mm; Axilla, 5.2 mm; Subscapular, 7.6 mm; Abdominal, 7.4 mm; Supraspinale, 4.6 mm; Iliac Crest, 9.0 mm; Thigh, 4.6 mm; Calf, 4.0 mm.			
		ATHLETE 2: Caucasian, Male, Rugby Player, Age: 27 years, Height: 195.5 cm, Weight: 133.7 kg, Bicep, 6.2 mm; Tricep, 8.0 mm; Chest, 14.4 mm; Axilla, 18.4 mm; Subscapular, 25.2 mm; Abdominal, 29.8 mm; Supraspinale, 27.2 mm; Iliac Crest, 31.2 mm; Thigh, 12.5 mm; Calf, 13.4 mm.			
Equation Used	Designed For	Sites Used	Calculation	Athlete 1	Athlete 2
Durmin & Wommersley (1974) [16] & Siri (1961) [17]	Age Specific Male Population	Biceps, Triceps, Subscapular, Suprailiac	Body Density = 1.1631 − (0.0632 × Log ΣSF)/Body Fat Percentage = ((495/body density) − 450)	8.2%	23.1%
Jackson & Pollock (1978) [18] & Siri (1961) [17]	General Male Population	Chest, Abdominal, Thigh	Body Density = 1.10938 − ((0.008267 × ΣSF) + (0.0000016 × ΣSF <sup>2</sup> ) − (0.0002574 × age))/body Fat Percentage = ((495/body density) − 450)	4.3%	16.6%
Jackson & Pollock (1978) [18] & Siri (1961) [17]	General Male Population	Chest, Axilla, Triceps, Subscapular, Abdominal, Suprailiac, Thigh	Body Density = 1.112 − ((0.0043499 × ΣSF) + (0.00000055 × ΣSF <sup>2</sup> ) − (0.0002826 × age))/body Fat Percentage = ((495/body density) − 450)	5.4%	19.4%
Withers et al., (1987) [19] & Siri (1961) [17]	Athletic Male Population	Biceps, Triceps, Subscapular, Suprailiac, Abdominal, Thigh, Calf	Body Density = 1.0988 − (0.0004 × ΣSF)/Body Fat Percentage = ((495/body density) − 450)	7.3%	22.2%
Reilly et al., (2009) [20]	Athletic Male Soccer Population	Thigh, Abdominal, Triceps, Calf	Body Fat Percentage = 5.174 + (0.124 × Thigh) + (0.147 × Abdominal) + (0.196 × Triceps) + (0.130 × Calf)	8.2%	14.4%

Dual-energy X-ray absorptiometry (DXA): bone mineral content (BMC); skinfolds (SF).



### 3.1.2. Legal and Ethical Considerations

DXA exposes athletes to low radiation doses (ranging between 0.1 and 75  $\mu$ Sy dependent upon manufacturer, model and scan mode used). Considering this in perspective, the effective dose of two of the most widely used commercially available DXA systems (Hologic Discovery A system and GE-Lunar iDXA), which are relatively similar in radiation exposure (4–5  $\mu$ Sy), is less than the average natural daily background radiation experienced (5–8  $\mu$ Sy per day) in the United Kingdom [67,75,77,78]. However, due to radiation exposure, there are still legal and ethical constraints surrounding the dose of radiation emitted during DXA scanning (for both athlete and operator), which despite being relatively minimal when compared to other radiographic devices, is a factor that requires consideration. For example, in the United Kingdom, scanning for research purposes requires either ethical approval at a national level (a local ethics committee is not sufficient) or a referral from a qualified medical practitioner. Moreover, whilst radiation is low, there is currently considerable debate as to the maximum times per annum this technique can be utilised and it is therefore not suitable if teams wish to assess body composition regularly throughout the season, i.e., monthly. Furthermore, it would also be inappropriate to scan females who may be pregnant.

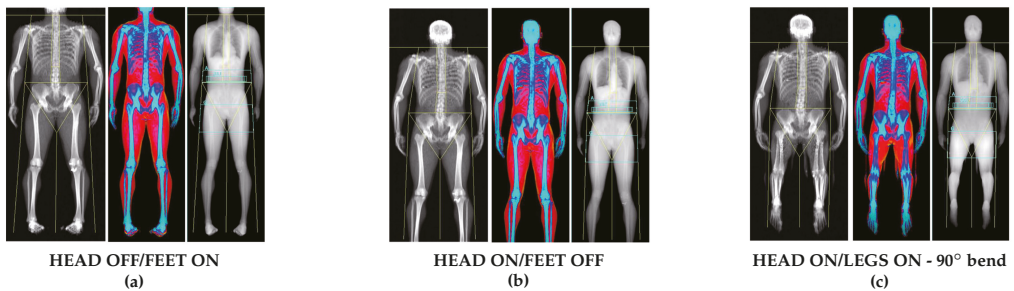
### 3.1.3. Technical Standardisation Requirements

Despite DXA scanner hardware/software limitations and the legal/ethical considerations, whole-body DXA measurements can be a reliable measure of body composition in athletic populations when standardised protocols are used [79], with technical error of measurements of  $\sim$ 0.1% for total mass,  $\sim$ 0.4% for total LM,  $\sim$ 1.9% for total FM and  $\sim$ 0.7% for total BMC [69]. Whilst measurements should always be taken from the whole body within the limits of the bed, this can be problematic with athletes such as rugby players, who may be both wider and taller than the bed. Taller athletes cannot always fit within the  $\sim$ 195 cm limit of the scanning area [80], which can significantly alter the outcome results. An example of this is indicated in Table 3, where a 201 cm tall athlete was placed on the DXA scanner on the same day in a number of positions including head off/feet on, head on/feet off and head and feet on, but with knees bent to 90 degrees. These varying positions altered FM by over 3 kg and total body mass by 7 kg, with BF% ranging from 13.8% to 16.6% (Table 3). Indeed, DXA may fail to accurately assess athletes who are often considered within the ‘extremes’ of physiology, such as tall and extremely muscular athletes. Specifically, historical data have shown issues with those who have a chest depth  $>$ 25 cm [81] and particularly lean athletes, where negative fat values were found for the torso [82]. Given that many sports teams often set arbitrary non-discriminatory BF% targets for athletes (often 15% with sanctions in place when this is not achieved), positioning can lead to errors and thus major consequences for the athlete. To date, there is no approved standardisation procedure for tall athletes (in our experience most teams opt for head off feet on) and we would suggest that, as a minimum, this needs to be considered and reproduced for each scan, whilst future studies should establish best practice for such situations.

Table 4 summarises a number of emerging technical considerations that must be accounted for and/or controlled to generate reliable DXA body composition data. It is important that athletes have fasted overnight when being scanned, which can be challenging when assessing large squads of individuals [79]. Given that a typical DXA scan can take 10–15 min per individual, when testing an entire sporting squad in one day it is possible that some athletes may need to be fasted until the late afternoon, which is often not feasible. Given that it is unusual for a sports team to own their own DXA unit, assessment can involve visiting a local University, which poses challenges when ensuring pre-scanning standardisation procedures. Indeed, studies have suggested that eating a meal can lead to as much as a 2.6% increase in FM [83]. Another key consideration is the effect of muscle glycogen on the reliability of DXA body composition measures. It is now common practice for athletes to periodise carbohydrate intake, commencing some training sessions with low and often competing with high muscle glycogen, utilising the

‘fuel for the work required’ concept [84–87]. Glycogen depletion significantly affects DXA results, with a 2.5% increase in LM when glycogen super compensated [83]. Conversely, this could have major implications for athletes who may feel they have lost LM, when in reality they may simply have depleted glycogen stores due to training. Similarly, creatine loading can have major effects on the reliability of the data obtained [88]. Other factors to consider include time of day, hydration status and previous exercise activity [83,89]. Careful planning is required for the use of DXA to give the best possibility of reproducible data, which from an applied perspective is often difficult to control on a regular basis. Perhaps the strongest example of the effects of diet and exercise on DXA data veracity is in a series of case studies from our research group, summarised in Table 4. These reports involved professional combat sport athletes ‘making weight’ for competition utilising acute weight loss (AWL) strategies, inclusive of the manipulation of carbohydrate intake and hydration status. Post AWL, LM and FM was reduced by 17.5% and 10.4% respectively, across a 4 day period of intense energy and water restriction. These values rebounded by 25.4% and 40.6%, respectively following a two week period of rehydration, refuelling and recovery, inclusive of total cessation of training activity [9]. In addition, when assessing another weight making athlete one day prior and following weigh in, LM and FM were both reduced by 4.0% and 5.8%, followed by a 10.0% and 4.6% rebound [10]. These values are physiologically impossible and highlight the effects that acute changes in nutrition and exercise status can have on DXA body composition data, further illustrating the importance of pre scan standardisation to enhance reproducibility of the outcome data. It is crucial coaches are aware of these limitations and create conditions where such controls can be implemented. Indeed, if it is not possible to implement such controls, it would seem unethical to subject an athlete to a radiation dose, albeit a very low concentration, if the data produced have limited validity and could result in inaccurate practical outcomes. It could therefore be argued that if it is not necessary to assess BMC and/or limb-specific measurements of FM and FFM, then skinfold thickness measures may provide a suitable and more reliable alternate in free-living conditions. Where using DXA as a technique to measure body composition, it is imperative to ensure standardised, best practice protocols are followed [69] and all information considered within the Committee on Medical Aspects of Radiation in the Environment’s (COMARE) report considered [90].

**Table 3.** An example of failure to fit different athletic body types within the confinements of the DXA bed and how this affects results: (a) head on, feet off; (b) head off, feet on; (c) head on, feet on with 90° bend of the knee.



Characteristics - Caucasian, Male, Age: 35 years, Height: 201.0 cm, Weight: 103.5 kg  
 DXA - QDR Series Horizon, Hologic Inc., Bedford, MA, USA

SCAN	Fat Mass (kg)	Lean Mass (kg)	BMC (kg)	Body Mass (kg)	Body Fat (%)
HEAD OFF/FEET ON	13.3	79.3	4.0	96.5	13.8
HEAD ON/FEET OFF	16.6	79.4	4.1	103.0	16.6
HEAD ON/LEGS ON	14.2	79.1	4.2	97.6	14.6

All measurements collected one after the other by one of the research team. dual-energy X-ray absorptiometry (DXA); bone mineral content (BMC).

**Table 4.** A summary of differences in DXA scan results over the course of a habitual day [83]; following creatine supplementation [88]; exercise activity [89]; glycogen storage [88]; rapid weight loss and gain [9,10]; dual-energy X-ray absorptiometry (DXA); bone mineral content (BMC).

	Characteristics - Race Unknown, Males, Age: 28 ± 6 years, Height: 178.0 ± 6.0 cm, Weight: 75.0 ± 9.0 kg, DXA: Lunar Prodigy, GE Healthcare, Madison, WI, USA	Characteristics - Race Unknown, Males, Age: 30 ± 6 years, Height: 178.6 ± 6.0 cm, Weight: 80.6 ± 10.2 kg, DXA: Lunar Prodigy, GE Healthcare, Madison, WI, USA	Characteristics - Race Unknown, Males, Age: 31 ± 6 years, Height: 182.7 ± 7.2 cm, Weight: 78.2 ± 8.8 kg, DXA: Lunar Prodigy, GE Healthcare, Madison, WI, USA	Characteristics - Caucasian, Male, Age: 22 years, Height: 180.0 cm, Weight: 75.8 kg, DXA: QDR Series Horizon, Hologic Inc., Bedford, MA, USA	Characteristics - Caucasian, Male, Age: 19 years, Height: 166.0 cm, Weight: 72.5 kg, DXA: QDR Series Horizon, Hologic Inc., Bedford, MA, USA				
Effect of:	Δ Fat Mass (%)	Δ Lean Mass (%)	Δ BMC (%)	Δ Body Mass (%)	Δ Body Fat (%)	Reference			
<b>Experimental Research Derived Data</b>									
NO INTERVENTION (IMMEDIATE RETEST)	-0.4	0.0	0.3	0.0	0.1	[83]			
HABITUAL DAY (AM TO PM, ~12h)	-1.7	0.8	0.3	0.4	0.3	[83]			
HABITUAL DAY (AM TO AM, ~24h)	-0.6	-0.2	-0.2	-0.2	0.1	[83]			
MEAL CONSUMPTION	2.6	1.5	0.4	1.5	-0.2	[83]			
EXERCISE ACTIVITY	0.2	0.4	0.0	0.4	-0.3	[89]			
CREATINE LOADING	0.4	1.1	0.0	1.3	3.3	[88]			
GLYCOGEN DEPLETION	-0.2	-1.1	0.0	-1.3	-2.0	[88]			
GLYCOGEN LOADING	0.5	1.8	0.0	2.3	4.5	[88]			
GLYCOGEN & CREATINE LOADING	0.6	2.5	0.0	3.0	5.2	[88]			
<b>Case Study Derived Data</b>									
RAPID WEIGHT DEPLETION (TIME COURSE: 4D)	-10.4	-17.5	-3.1	-12.7	3.8	[9]			
RAPID WEIGHT DEPLETION (TIME COURSE: 1D)	-5.8	-4.0	-0.8	-2.8	0.0	[10]			
RAPID WEIGHT Regain (TIME COURSE: 1D)	4.6	10.0	0.0	4.5	5.5	[10]			
RAPID WEIGHT REGAIN (TIME COURSE: 14D)	40.6	25.4	-2.1	26.3	10.9	[9]			

### 3.2. Skinfold Thickness Assessment

Despite the aforementioned simplicity of skinfold measurement, which makes this method popular within applied settings, there are a number of technical limitations that must be considered when employing this technique. Initially, there is an assumption of constant skin thickness and compressibility in the double fold between differing intra and inter individual measurement sites. This is also affected by the grip of the practitioner and the applied pressure of the caliper, and an athlete's age, sex and skin temperature. However, skinfold assessment has also been shown to be the least affected method by everyday activities, ingestion of a meal and changes in hydration status [79,91]. To that end, the experience of the anthropometrist is crucial in obtaining accurate skinfold data. From our own experiences and previous reports, as little as a 1 cm difference in the assessed measurement site can have significant effects on the outcome data [92]. Even with ISAK-accredited practitioners, it is not uncommon to see large discrepancies in assessment outcomes, particularly in larger athletes, which can create issues when multiple testers perform the measurements across groups of individuals.

A key issue when utilising skinfold thickness in the applied setting is the desire for FM measurements to be reported as a BF%, which adds another layer of complexity and turns an indirect method into doubly indirect. Doubly-indirect methods incorporate regression equations by plotting results against a criterion standard to create an estimate of composition. The conundrum with these regression equations is there are currently over one hundred such formulae for the estimation of BF% from skinfold thickness measurements alone [73], and these equations have not yet been validated when tracking regular changes in body composition [93]. These formulae are also established across varying populations, using numerous protocols, with deviations in sites measured and often have intra-practitioner and criterion variability and reliability issues. This can be characterised by the use of different equations on the same set of individual data producing resounding differences (as highlighted in Table 2), where data on a Caucasian male soccer player resulted in ranges between 4 and 8% body fat dependent upon the equation used. Therefore, the conversion of skinfold thickness into a BF% should be discouraged with data presented as a sum of the 8 skinfold sites providing a more accurate and reliable outcome of body composition assessment [20,94,95]. Indeed, the sum of skinfold thicknesses has a high degree of agreement with whole-body measures from DXA [96]. However, there are some considerations with this approach. Firstly, it is not possible to further estimate FFM, which is often useful information for those in the field. The second problem is that many coaches are not familiar with being given data as a 'sum of mm' and often still request relativised data. This is compounded by limited normative data of 8 skinfold measures in athletes and thus coaches can be somewhat confused when presented with such information. We therefore present a set of normative data taken from applied practitioners working in the field, which we believe can help to address this problem (Table 5). Finally, even in ISAK-accredited practitioners, it is not uncommon to see sum of 4, 7 or 8 sites reported. This can cause confusion and make it difficult to compare data. If the field is to move away from percentage values then it is important that a widely accepted methodology is adopted, which we would suggest is the standard ISAK sum of 8 sites as presented in Table 4.

**Table 5.** Overview of  $\Sigma 8$  skinfold ranges (mm) in a variety of sports (data compiled from personal communications with peers working in elite performance). Lower, middle and upper ranges suggested are based upon typical values measured in elite sport although it must be stressed that attributing performance to skinfold measures is difficult to establish.

	Males			Females		
	Lower	Middle	Upper	Lower	Middle	Upper
Combat Athletes	35–40	40–55	55–65	45–50	50–65	65–75
Cricket Batsmen	45–55	55–65	65–70	90–100	100–120	120–140
Cricket Bowlers	40–50	50–60	60–70	75–80	80–100	100–120
Distance Running	30–40	40–45	45–55	40–55	55–70	70–85
Field Hockey	35–40	40–55	55–65	50–65	65–80	80–90
Football	40–45	45–55	55–65	60–65	65–75	75–85
Road Cycling	30–35	35–40	40–50	–	–	–
Rowing Lightweight	30–35	35–45	45–55	40–45	45–50	50–55

Table 5. Cont.

	Males			Females		
	Lower	Middle	Upper	Lower	Middle	Upper
Rowing Openweight	35–45	45–60	60–70	55–65	65–80	80–95
Rugby Backs	40–45	45–60	60–75	55–60	60–70	70–80
Rugby Forwards	40–55	55–70	70–90	65–70	70–80	80–95
Rugby 7s	45–50	50–65	65–75	–	–	–
Swimming	40–45	45–55	55–65	55–70	70–80	80–95
Tennis	40–45	45–55	55–65	50–55	55–65	65–75

4. Conclusions and Recommendations for the Field

Despite the assessment of body composition being routine practice in applied sports settings, there would appear to be nothing routine with regards to the techniques used to assess it. All of the methods discussed in this review have strengths and weaknesses, and at times may be deemed ‘best practice’ in specific athletic situations and to address specific questions. A schematic representation of the pertinent considerations that could be addressed when making a decision on the preferred method of assessing body composition can be seen in Figure 2. We would suggest where BMC needs to be examined, or when it is necessary to take limb-specific estimations of FM and FFM, then DXA appears to be the assessment tool of choice (providing the pre-scan conditions can be controlled as discussed). However, given the simplicity of the skinfold technique, the speed in which it can be implemented and assessed, the frequency of which it can be used along with the low costs associated with the method. If the goal is to simply track changes in body fatness over time, it could be argued that skinfold measures may still provide the best solution when reported as a sum of mm rather than a relative percentage value. Combined with the fact that all of the assessments of body composition, skinfold assessments appear to be the least affected by factors that are difficult to control in athletes (food intake, hydration status, daily activity) perhaps it is now time to say in applied sports practice ‘come back skinfolds, all is forgiven’.

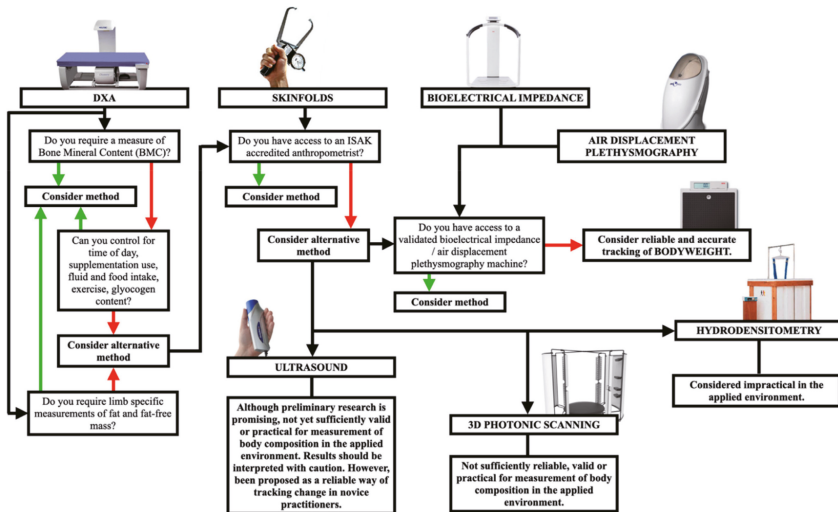


Figure 2. A proposed body composition method decision-making tree. Evidence base and applicability of all methods should be considered within the specific context in which they are being applied, be conducted by a suitably accredited/trained individual with all risks managed and should deliberate all points made throughout the current article prior to application of the chosen method. Green arrows indicate yes as the answer, red arrows indicate no as the answer, and black arrows indicate the potential flow of questioning when considering different methods of anthropometrical assessment.

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Review

# Creatine Supplementation, Physical Exercise and Oxidative Stress Markers: A Review of the Mechanisms and Effectiveness

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**Abstract:** Oxidative stress is the result of an imbalance between the generation of reactive oxygen species (ROS) and their elimination by antioxidant mechanisms. ROS degrade biogenic substances such as deoxyribonucleic acid, lipids, and proteins, which in turn may lead to oxidative tissue damage. One of the physiological conditions currently associated with enhanced oxidative stress is exercise. Although a period of intense training may cause oxidative damage to muscle fibers, regular exercise helps increase the cells' ability to reduce the ROS over-accumulation. Regular moderate-intensity exercise has been shown to increase antioxidant defense. Endogenous antioxidants cannot completely prevent oxidative damage under the physiological and pathological conditions (intense exercise and exercise at altitude). These conditions may disturb the endogenous antioxidant balance and increase oxidative stress. In this case, the use of antioxidant supplements such as creatine can have positive effects on the antioxidant system. Creatine is made up of two essential amino acids, arginine and methionine, and one non-essential amino acid, glycine. The exact action mechanism of creatine as an antioxidant is not known. However, it has been shown to increase the activity of antioxidant enzymes and the capability to eliminate ROS and reactive nitrogen species (RNS). It seems that the antioxidant effects of creatine may be due to various mechanisms such as its indirect (i.e., increased or normalized cell energy status) and direct (i.e., maintaining mitochondrial integrity) mechanisms. Creatine supplement consumption may have a synergistic effect with training, but the intensity and duration of training can play an important role in the antioxidant activity. In this study, the researchers attempted to review the literature on the effects of creatine supplementation and physical exercise on oxidative stress.

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**Keywords:** reactive oxygen species; creatine supplementation; exercise; antioxidants

## 1. Introduction

Many athletes have utilized ergogenic aids to maintain fitness, improve recovery, and physiological adaptations in long-term exercise programs. Therefore, the effects of ergogenic aids have always attracted a lot of attention, and many researchers have tried to combine exercise programs and ergogenic aids to enhance the benefits of exercise [1,2].

One of the favorite ergogenic supplements among athletes (at all levels) is creatine. Studies have shown that creatine supplementation combined with resistance training had a higher effectiveness in training and increased muscle strength and lean mass [1,2]. As a popular creatine supplement in the sports and fitness industry, it is believed that creatine supplementation helps maintain high-energy phosphate stores during exercise. Moreover, specific mechanisms of creatine supplementation have been identified in improving athletic performance [3,4]; there are ambiguities about its effects on oxidative stress and its mechanism of action. The antioxidant effects of creatine may be due to various functional mechanisms, such as indirect mechanisms involved in the cell membrane stabilization and improvement of cellular energy capacity [5] and its direct antioxidant properties [6]. Oxidative stress reduces strength and performance [7]; mechanically, reactive oxygen

species (ROS) can speed up skeletal muscle fatigue by reducing calcium sensitivity [8] and can decrease maximal calcium-activated force [9]. ROS are free radical molecules that can oxidatively alter cellular compounds such as lipids, proteins, and DNA, and damage cells [10]. They are also associated with several diseases such as cancer, cardiovascular disease, Parkinson's, Alzheimer's, etc. [11]. Increased ROS production due to certain diseases or exercise can exceed the capacity of the antioxidant system, which can lead to oxidative stress and dysfunction. However, its predominant impact on the human health and function is still controversial [12].

One of the common physiological conditions associated with the enhancement of oxidative stress is exercise [13,14]. Exercise can have positive and negative effects on oxidative stress [15]. High-intensity exercise can lead to a temporary imbalance between the active oxygen/nitrogen species production and removal, which can lead to oxidative stress. Although exercise-induced ROS is required for the production of natural force in the muscles, high levels of ROS appear to cause contractile dysfunction [16]. Exercise-induced ROS production is important for exercise-induced mitochondrial biogenesis [17], because ROS are used as signaling molecules to activate redox-sensitive signaling pathways [16]. Evidence suggests that exercise intensity and duration are associated with oxidative stress in humans, and has been confirmed by several studies [18,19]. Intense exercise or exercise in untrained people is associated with a greater increase in oxidative stress compared to moderate and regular aerobic exercise [20]. In addition, long-term regular training may improve some antioxidant defense mechanisms, and thus may limit mitochondrial oxidative damage [21,22].

Using antioxidant supplements along with physical activity can reduce the harmful effects of oxidative stress caused by exercise, increase the antioxidant defense system associated with exercise and increase the positive effects of physical activity. Creatine is one of the most popular supplements for athletes; it can act as a cellular energy buffer, increase creatine phosphate (CrP) and adenosine triphosphate (ATP) regeneration [23]; additionally, creatine compounds can have different effects. It seems that creatine has significant antioxidant effects. In general, the purpose of this study was to investigate the available information on the effects of endurance, resistance, and combination exercise along with the creatine supplementation on oxidative stress and their mechanism of action. Therefore, the present study tried to summarize the available information and research on the effects of creatine supplement consumption and physical exercise on oxidative stress and how it works, together with the mechanisms of action.

## 2. Materials and Methods

According to the purpose of the research, a search was performed in MEDLINE, PubMed, Scopus, the Directory of Open Access Journals and Science Direct databases; the keywords used included physical exercise, creatine supplementation, and oxidative stress. Our focus was on English articles published from 2008 to 2020. As shown in Figure 1, in the first stage, 341 articles were reviewed, and after removing duplicate articles and articles unrelated to the research objectives in several stages, 8 articles were selected for full study of their findings. After selecting articles and reviewing their findings on physical activity and creatine supplementation, information was collected focusing on the research objectives (Figure 1).

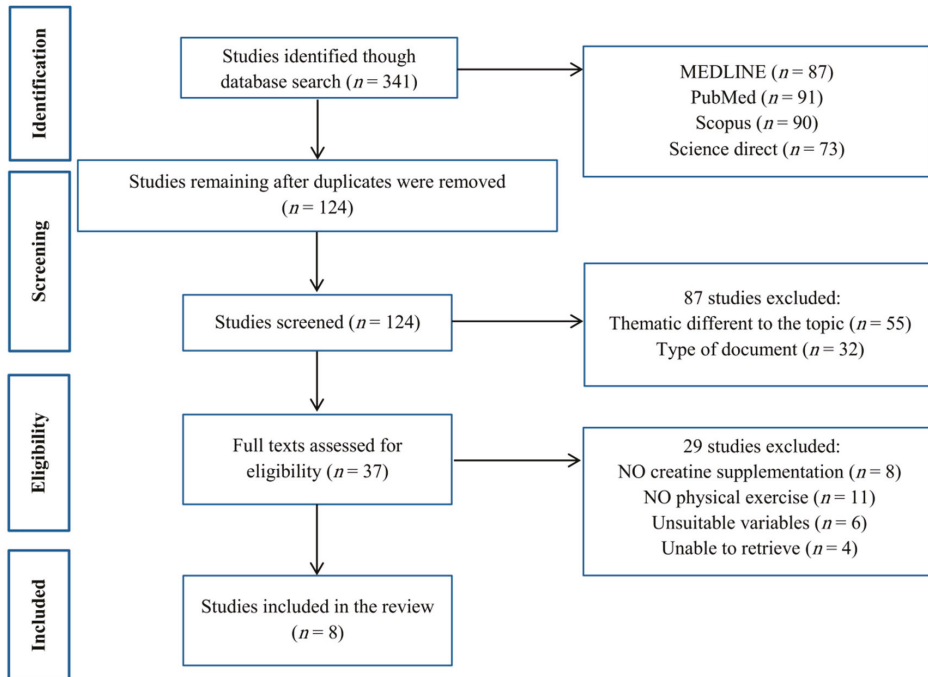


Figure 1. Flowchart of the study selection.

### 3. Oxidative Stress

Oxidative stress is an enclosed physiological pathway regulated by the antioxidant mechanisms. Improper regulation of oxidative stress is correlated with several recurrent pathological or physiological conditions [14]. Oxidative stress can be defined as an imbalance between the generation of harmful free radicals and their removal by the antioxidant defense system. Highly reactive unstable free radicals are composed of many compounds. However, the most common are ROS (superoxide, hydroxile, alcoxide, peroxide, hydrogen peroxide) and reactive nitrogen species (RNS) (nitric oxide, nitrogen dioxide, peroxynitrite); collectively called reactive oxygen and nitrogen species (RONS) [24]. Free radicals are very reactive atoms or molecules that have one or more unpaired electrons in their outer shell and can be formed by the interaction of oxygen with specific molecules [25]. These radicals are produced by the loss or acceptance of an electron in cells, therefore behaving as the oxidants or reductants [26]. The endogenous sources of RONS consist of: nicotinamide adenine dinucleotide phosphate (NADPH) oxidase, myeloperoxidase (MPO), lipoxxygenase, and angiotensin II [27]. External sources of RONS are air pollution, tobacco, alcohol, drugs, industrial solvents, etc., which are metabolized to free radicals in the body [28].

NADPH oxidase is a common source of the superoxide anion ( $O_2^-$ ) formed by the reduction of one electron of the molecular oxygen by electrons supplied by the NADPH during cellular respiration. Most  $O_2^-$  is catalyzed by superoxide dismutase (SOD) to hydrogen peroxide ( $H_2O_2$ ) [29].  $H_2O_2$  is not a free radical because it has no unpaired electrons, but via the Fenton or Haber-Weiss reaction, it is able to form very reactive hydroxyl radicals ( $OH^-$ ). Hydroxyl radicals are highly reactive and react especially with phospholipids in cell membranes and proteins [30].

Too much RONS can cause irreversible damage to the biological molecules, proteins, carbohydrates, lipids, RNA and DNA, leading to the spread of many pathological problems and oxidative tissue damage [31]. Antioxidant systems suitable with enzymatic (e.g., SOD,

catalase (CAT) and glutathione peroxidase (GPX)) and non-enzymatic (e.g., uric acid, bilirubin, vitamin E, vitamin C, glutathione (GSH), ascorbic acid, and  $\alpha$ -tocopherol) processes, both act to reduce the oxidation potential of RONS through direct and indirect mechanisms. Direct antioxidants activate redox reactions and trap and inactivate RONS in a process that is sacrificed and must be regenerated [32]. On the other hand, indirect antioxidants may or may not be redox-active and apply their antioxidant effects via the up-regulation of cytoprotective proteins [32].

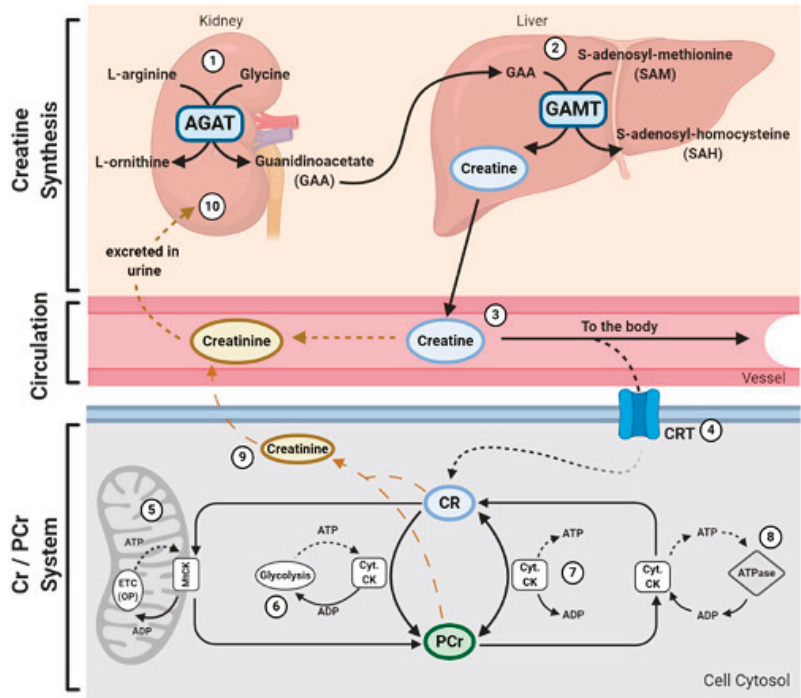
The ROS involve many physiological functions. The intracellular concentration of ROS increases transiently in response to a stimulus such as cytokines, growth factors, or other hormones; this pattern is common in many physiological conditions, where the release of ROS is quickly controlled by the antioxidant regulatory mechanisms. If stable or unbalanced, increased oxidative stress may suppress antioxidant capabilities, and the ROS can cause damage [14]. The ROS release is involved in major cellular signaling pathways and allows the transmission of extracellular stimuli and changes in cell physiology by modulating the transcription of some genes or by post-transcriptional modulation. To date, “redox-responsive” signaling pathways have been implicated in important functions such as nitric oxide (NO) generation, vascular tone regulation and neurotransmission, cell adhesion, immune responses, and hypoxia and apoptosis [11,33].

#### 4. Creatine and Oxidative Stress

Creatine is a metabolite of three amino acids (arginine, glycine, and methionine) that are synthesized by the cooperation of various organs, including the liver, pancreas, and kidneys [34]. Beef is a rich source of arginine, glycine and methionine. In contrast, all plant-based foods contain small amounts of glycine and methionine, and most plant foods (except soy, peanuts, and other nuts) also contain small amounts of arginine [35]. The beginning of creatine synthesis is by arginine; the guanidino group from arginine to glycine is transferred by glycine amidinotransferase, and produces guanidinoacetate and ornithine (Figure 2). It seems that the arginine–glycine aminotransferase is fundamentally expressed in the kidney tubules, pancreas, and a little in the liver and other organs. Thus, guanidinoacetate is produced by renal components. The guanidinoacetate released by the kidneys is methylated by guanidinoacetate N-methyltransferase, which is mainly found in the liver, pancreas, and to a very small extent in the kidneys, and produces creatine [36].

Creatine synthesis is primarily regulated as follows: (1) changes in the renal arginine expression: glycine aminotransferase in rats and humans; and (2) the availability of substrates. Dietary creatine intake and circulating growth hormone (GH) levels are major factors influencing new creatine synthesis [36]. Creatine supplements and GHs do not affect the hepatic activity of guanidinoacetate N-methyltransferase in animals. Thus, a creatine supplement helps to store arginine, glycine, and methionine for use through other vital metabolic pathways such as protein synthesis, NO, and glutathione. This is of great nutritional and physiological importance [34,37].

Studies have shown that creatine supplementation can have antioxidant properties. The first evidence of creatine-like antioxidant activity was reported by Matthews et al. [13]. They stated that creatine supplementation could protect rats against nitropropionic acid intoxication (an animal model of Huntington’s disease). Moreover, Hosamani et al. showed a reduction in mitochondrial oxidative damage induced by rotenone and neurotoxicity in *Drosophila melanogaster* when supplemented with creatine [38]. The exact mechanism of action of creatine antioxidant is not known. However, it has been shown to increase the activity of antioxidant enzymes and the capability to eliminate ROS and RONS [6,13,39]. Furthermore, 90% of the body’s total creatine is stored in the skeletal muscle, and mitochondria are an important source of ROS, which includes  $H_2O_2$  and  $O_2^-$ , and possibly  $OH^-$  and peroxynitrite in the skeletal muscle [40].



**Figure 2.** Physiological structure of creatine. Adopted from Clarke et al. [41]. L-arginine; glycine amidinotransferase (AGAT); anidinoacetate N-methyltransferase (GAMT); phosphocreatine (PCr); cytosolic creatine kinase (Cyt. CK); electron transport chain (ETC); adenosine diphosphate (ADP); mitochondrial creatine kinase (mtCK); and adenosine triphosphate (ATP).

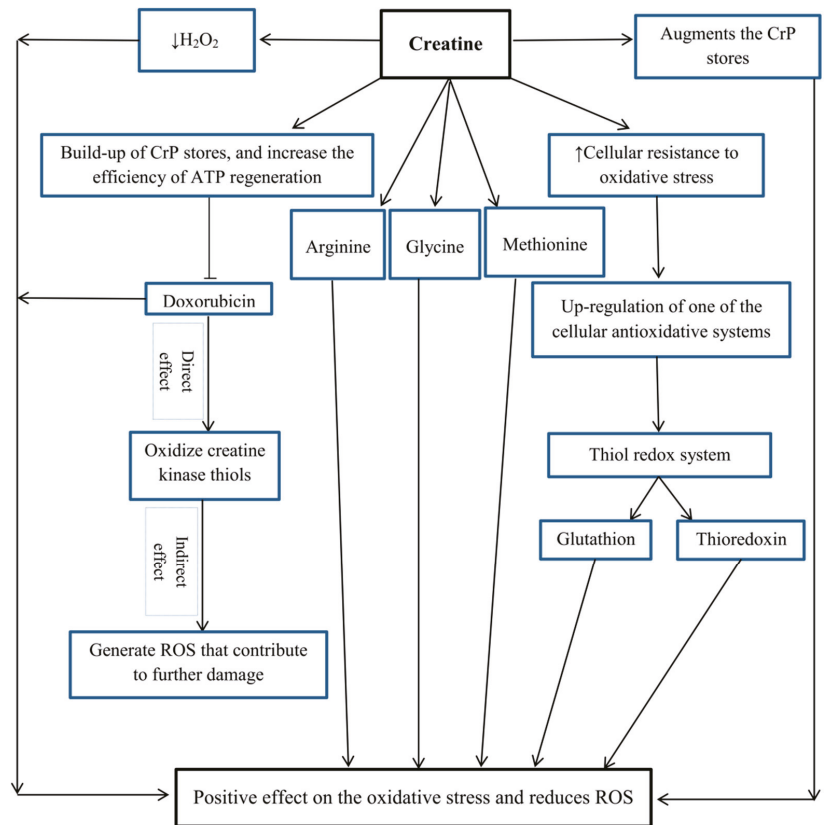
Creatine protects two different and important cellular targets, mitochondrial deoxyribonucleic acid (mtDNA) and RNA against oxidative damage. In addition, creatine has been shown to cause other related effects that help the cell to survive and function under oxidative stress. Creatine possibly maintains mitochondrial integrity via organelle-directed antioxidant activity [42], which promotes adequate mitochondrialogenesis, and provides a significant amount of thiol contents intracellularly, preventing the RNA from oxidative damage in situations where robust messenger ribonucleic acid (mRNA) use is required and thus exerts its antioxidant effects [42]. Mitochondria and mtDNA are important targets for oxidative damage. Indeed, it has been reported that mtDNA mutations work as an etiologic factor in oxidative stress-related disorders [43], including cardiovascular disease, inherited or acquired neurological disorders, and various types of tumors. Mitochondrial antioxidants have been proposed as a valuable tool to protect mitochondria against pathological changes [44]. Studies have shown that creatine significantly protects mtDNA from oxidative damage [42]. Creatine probably prevents damage through direct antioxidant activity. Thus, its supplementation can play a significant role in genome stability, which can normalize mitochondrial mutagenesis and intercept its functional consequences such as reduced oxygen consumption, mitochondrial membrane potential, ATP content, and cell survival [45,46].

Furthermore, RNA molecules interfere with all stages of gene expression and several other biological activities. RNA damage can also affect the balance between protein breakdown and synthesis and the repair and regeneration processes in the skeletal muscle that ultimately determine muscle mass [46]. RNA damage can be related to exposure to xenobiotics [46]. The protective effect of creatine against doxorubicin activity, which causes



RNA damage, can be attributed in part to the production of CrP sources that increase ATP regeneration. Creatine’s protective activity against radicals also points to its role as an antioxidant [47]. Creatine also increases the expression of myogenic transcriptional regulators (MRFs) and IGF-1 mRNAs [48,49] and increases CrP stores [50]. In the case of non-muscle tissue, empirical reports suggested that creatine might play a significant role in the differentiation and function of the central nervous system (CNS) neurons. For example, creatine can act as an exocytosis transmitter by nerve cells [51] and adjust gamma-aminobutyric acid (GABA) receptors (inhibitory [52] or stimulant [53]). It is worth noting that the GABA receptor activity plays a main role in the neuronal differentiation [46]. A study by Young et al. showed that mitochondrial reductase and cytoplasm (peroxiredoxin-4, a type 2 peroxiredoxin and thioredoxin-dependent peroxide reductase) were increased in the creatine-treated cells [54]. Incremental regulation of these enzymes may also effectively help several protective effects. Studies have shown that creatine helps cells function and survive under oxidative stress, especially in the differentiation of myoblasts [46].

In addition, the antioxidant properties of creatine may be related to the presence of arginine in its molecule. Arginine is a substrate of the NO synthase family and can enhance NO generation (a free radical that modulates metabolism, contraction, and glucose uptake into the skeletal muscle) [55]. Other amino acids such as glycine and methionine may be particularly sensitive to the oxidation of free radicals due to the presence of sulfhydryl groups (Figure 3) [56].



**Figure 3.** The effect of creatine on oxidative stress. Hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>); creatine phosphate (CrP); adenosine triphosphate (ATP); and reactive oxygen species (ROS).

## 5. The Influence of the Physical Exercise on Oxidative Stress

Physical exercises are usually divided into two major groups: endurance exercise and resistance exercise. Endurance or intense aerobic exercise is commonly known to stimulate ROS and overproduce active nitrogen species due to the increased metabolism, leading to oxidative stress and related injuries [57]. Aerobic exercise is estimated to increase  $O_2^-$  1–3-fold during muscle contraction [58]. However, mitochondria account for only a small fraction of  $O_2^-$  production during aerobic exercise [58,59]. In fact, mitochondrial-derived  $O_2^-$  formation in the skeletal muscle decreases during the exercise relative to the rest. This is because contractile activity changes the redox state in the muscles to a more oxidative state and reduces the NADH/NAD ratio in the mitochondria. Decrease in the NADH/NAD ratio is related to decreased release of I-dependent  $O_2^-$  [58]. During the endurance exercise, ATP is broken down into adenosine diphosphate (ADP) to release energy and support continuous muscle contraction. In some situations, adenosine monophosphate (AMP) is formed, and by a biochemical process involving xanthine oxidase (XO) it can be broken down into hypoxanthine, xanthine, and uric acid. The XO, using molecular oxygen, stimulates the formation of  $O_2^-$  and thus exacerbates oxidative stress [60]. In addition, special precautions should be taken for exercise in people with conditions such as asthma; asthma can cause significant ROS and oxidative stress, therefore it can jeopardize the benefits of exercise [61].

Although a period of intense aerobic training may cause oxidative damage to muscle fibers, regular aerobic exercise helps increase the cells' ability to reduce ROS over-accumulation [62]. Regular moderate-intensity exercise has been shown to increase the activity of endogenous antioxidant enzymes such as SOD, GPX, and CAT [63]. The body's protection facing chronic low-to-moderate ROS exposure occurs via exercise through elementary conditioning relevant to the redox consisting of repair systems acting as the oxidative damage [62,64]. This adaptation through moderate-intensity exercise also includes an increase in the myocellular antioxidant capacity, which helps reduce the ROS levels [65]. In addition, increasing the ROS formation in the active skeletal muscle by modulating muscle contraction plays an essential role in the adaptation to exercise [62,63]. For example, endurance running is considered important for survival in human development because it can stimulate exercise-related contractile responses through metabolic and redox challenges [62,66]. However, current lifestyles caused reduced physical activity and inhibits human adaptation capacity in redox metabolism and homeostasis [62]. Basic evidence has shown that at least 30 min of exercise (moderate intensity) each day is essential to maintain good health and decrease the potential risks of disease [65].

Accordingly, Zarrindast et al. stated that moderate-intensity aerobic training for eight weeks on the land and water reduces oxidative stress and improves antioxidant status [67]. Moreover, Done et al. concluded that regular aerobic exercise increases resistance to oxidative stress [68]. Estébanez et al. showed that aerobic exercise does not cause significant changes in the oxidative stress biomarkers among the elderly [69]. In addition, Leelarungrayub et al. reported that moderate-intensity aerobic dance for six weeks could reduce malondialdehyde (MDA) and increase total antioxidant capacity (TAC) among inactive women [70]. In general, moderate to intermittent ROS production during a short period of aerobic training program can activate signaling pathways that lead to cellular adaptation and protection against subsequent stresses. In contrast, moderate levels of ROS production over a long period of time (e.g., several hours) or high levels generated during high-intensity short-term training can lead to tissue and structural damage [69].

Despite the need for less oxygen during resistance activities compared to aerobic exercise, the generation of free radicals during the resistance exercise is significant and results from the XO pathway, respiratory burst of neutrophils, catecholamine autoxidation, local muscle ischemia and conversion of weak superoxide to powerful hydroxyl radical with lactate which causes oxidative stress [71,72]. In the case of skeletal muscle, it has been shown that increased ROS formation may impair cellular redox status and lead to the attack of macrophages and other phagocytes, culminating in tissue damage and impaired

muscle function [19,73]. Evidence suggests that oxidative damage to biomolecules in cells during acute myeloid leukemia leads to a continuous enhancement in ROS levels and a reduction in the antioxidant cellular defense [74]. Skeletal muscle and myogenic cells are equipped with antioxidants. The antioxidant system inactivates excess ROS/RNS, causing myogenic regeneration and affecting inflammatory reactions, thus stimulating angiogenesis and reducing fibrosis [75]. The oxidative stress-responsive muscle cells include: nuclear factor kappa B (NF- $\kappa$ B), activator protein 1 (AP-1), Nrf2, and peroxisome proliferator-activated receptor gamma coactivator-1 alpha (PGC-1 $\alpha$ ) [76]. The main role of ROS in the skeletal muscle has been confirmed, both in physiological processes and in fatigue and muscle wasting, aging, and excessive exercise [73]. Skeletal muscle is the biggest tissue in the human body; this system, like other systems, requires the severe regulation of redox homeostasis, such as energy requirements, calcium signaling, and glucose uptake [76]. Skeletal muscle consumes large amounts of molecular oxygen and can produce large amounts of ROS [77].

Resistance training increases the activity of antioxidant enzymes if performed regularly for a long time [78,79]. In this regard, da Silva et al. stated that six months of resistance training can improve people's response to oxidative stress and this mechanism may help better performance and health. Their results showed an increase in the CAT activity and no change in the SOD activity [80]. Furthermore, Vezzoli et al. concluded that 12 weeks of moderate-intensity resistance training can minimize the generation of ROS and oxidative stress. They stated that moderate-intensity resistance training can overcome anabolic resistance and maximize protein synthesis in older adults [81]. In the case of acute resistance exercise, Motameni et al. showed that three types of resistance exercise (hypertrophy, strength, and power) did not worsen oxidative stress in women who practiced resistance exercise. They did not observe a significant change in H<sub>2</sub>O<sub>2</sub> and MDA levels due to the resistance training [82]. In contrast, they reported that plasma MDA levels had increased after three sets of resistance exercises in untrained men [83]. Based on the evidence, variations of training intensity and volume, or both (high volume-low intensity or low volume-high intensity training) likely have a positive influence on the elevation of GSH concentration [84].

No research has been conducted as of yet on the effects of order of exercise (first strength or endurance exercises) with concurrent exercises on oxidative stress, and it is not clear how they affect it; the need for research in this field is felt. However, in research on the benefits of strength–endurance or endurance–strength training, the results showed that endurance–strength training increases aerobic capacity more than strength–endurance, and the strength–endurance training further increases strength, power and muscle hypertrophy than the endurance–strength training [85]. The order of exercise in the concurrent training depends on the purpose of the training and the needs of the sport. In addition, the phosphatidylinositol 3-kinase (PI3K)–protein kinase B (AKT)–mammalian target of rapamycin (mTOR) signaling pathways are disrupted when resistance training is performed after glycogen depletion during endurance training [86,87].

Ammar et al. stated in their study that aerobic, anaerobic, and combined training can alter antioxidant status in response to the elevated lipid peroxidation. They stated that under the aerobic and anaerobic conditions, a faster response occurs after training, with higher levels of MDA occurring 5 min after the aerobic training, as well as higher levels of SOD and GPX occurring during anaerobic training (immediately and 5 min after training) and aerobic training (20 min after training). They concluded that the response to oxidative stress depends on the intensity and duration of activity [88]. Mitochondria, in addition to producing ATP during aerobic exercise, appear to be the main intracellular source of pro-oxidants. The mitochondrial electron transfer chain consists of several redox centers, which possibly lead to electron leakage to oxygen and its reduction to O<sub>2</sub><sup>•−</sup>. This is engaged in the dissemination of reactions related to the oxidative chain, which is a progenitor of other ROS [89]. Findings have shown that pro-oxidants of aerobic exercise are much higher than those of anaerobic exercise, and it has been suggested that the response

to oxidative stress depends on the type of exercise (such as intensity and duration) [12]. Parker et al. stated that aerobic exercise produces a much higher pro-oxidant status than anaerobic exercise [90,91]. They also stated that increasing the intensity of exercise creates more endogenous antioxidant defenses. These results may reflect an enhancement in ROS generation, which stimulates the release of plasma antioxidants and subsequently inhibits ROS with high-intensity exercise [14,64]. High-intensity exercises may create redox-related health adaptations by readjusting endogenous antioxidant defenses [62]. A study by Azizbeigi et al. concluded that the endurance, resistance, and concurrent training (endurance + resistance) reduced oxidative stress (MDA) and increased the enzymatic and non-enzymatic antioxidant capacity (SOD, erythrocyte GPx) in untrained men. In addition, TAC levels increased significantly only in the endurance training and the concurrent groups. They stated that it was not clear whether the increase in the enzymatic activity in the concurrent group was due to adaptive effects in response to endurance or resistance training, and it is not clear which one had a greater effect [92].

## 6. Mechanism of the Effect of Creatine Supplementation Combined with Physical Activity on Oxidative Stress

As mentioned, acute and chronic exercises have various effects on oxidative stress. Findings have shown that regular exercise stimulates the endogenous antioxidant system and protects the body against the dangers of oxidative stress. PGC-1 $\alpha$  plays a pivotal role in regulating the expression of subunits cytochrome C and cytochrome oxidase in response to a period of treadmill training and long-term training; which indicating that exercise-induced changes in the oxidation capacity are regulated by PGC-1 $\alpha$  [88]. Increased expression of PGC-1 $\alpha$  is associated with increased expression of nuclear respiratory factor-1 (Nrf-1) and Nrf-2 [70]. In addition to regulating mitochondrial biogenesis, PGC-1 $\alpha$  can regulate the expression of endogenous antioxidants in skeletal muscle [90,91]. Nrf-2 is a redox-sensing transcription factor, a major regulator of antioxidants as well as other protective factors responsible for strengthening the antioxidant defense system [82,93]. Additionally, PGC-1 $\alpha$  in cell culture can regulate mRNA expression of uncoupling proteins 2 and 3 [94]; this indicates that PGC-1 $\alpha$  can increase binding capacity while reducing ROS production in mitochondria [91]. During exercise, several other stimuli are activated that help increase the PGC-1 $\alpha$  response; these include increasing cytosolic calcium concentrations, decreasing high-energy phosphate levels and activating AMP-activated protein kinase (AMPK), stimulating the adrenergic system that synthesizes cyclic adenosine monophosphate (c-AMP), and activating protein kinase A and other kinases, including mitogen activated protein kinase (MAPK) [90]. PGC-1 $\alpha$  expression appears to be upregulated by ROS. Studies have shown the role of PGC-1 $\alpha$  in the increasing of ROS, eliminating enzymes due to elevations in ROS [70]. In skeletal muscle, physical activity upregulates peroxisome proliferator-activated receptors  $\gamma$  (PPAR $\gamma$ )-controlled genes to augment mitochondrial biogenesis, aerobic respiration, and other physical activity-triggered affairs; it has been shown that PPAR $\gamma$  is a coactivator of PGC-1 $\alpha$  [71]. A set of adaptations in the body enables PPAR $\gamma$  to regulate antioxidant defense. Evidence confirms that PPAR $\gamma$  is involved in the direct transcriptional regulation of several major endogenous antioxidants [72–74]. Unlike chronic physical activity, acute exercise can increase the generation of free radicals and cause oxidative damage to cells. Intensity and duration of physical activity, nutrition, and training status are the main factors influencing oxidative stress caused by physical activity [95]. In addition, aging, dehydration, hypoxia, and gender have many effects on oxidative stress caused by physical activity [96–98].

It is clear that enhanced demand for ATP used during exercise enhances ROS levels. Oxidative phosphorylation (OXPHOS) is the main source of ATP production in cells. Changes in the process of increasing ROS production lead to oxidative damage [23]. Endogenous antioxidants could not completely prevent oxidative damage under the physiological and pathological conditions in this case (exercise at altitude). These conditions may disturb the endogenous antioxidant balance and increase oxidative stress. In this case, the use of antioxidant supplements such as creatine can have positive effects on the antioxidant sys-

tem. Few studies have been performed on the effects of short-term and long-term creatine supplementation along with physical exercise on oxidative stress (Table 1). In this regard, Stefani et al. [99] noted that creatine supplement consumption combined with resistance exercise could reduce oxidative stress (reduced lipoperoxidation in plasma, heart and liver, and gastrocnemius). Moreover, supplementation had positive effects on the SOD activity in all groups. Creatine supplement consumptions possibly have a synergistic effect with resistance training in modulating SOD activity in the heart [99]. In conditions of progressive chronic stress and in resistance training, the supplementation seems to exert a synergistic effect due to the compatibility of resistance training with creatine, which includes the enzymatic compatibility of cellular signaling with SOD in heart tissue. This mechanism happens by the activation of the NADPH oxidase system, which modulates the expression of antioxidant enzymes in a short time through angiotensin II and inflammatory mediators [11,100]. Additionally, the results of Araujo et al. revealed that creatine consumption acts in an additive manner to exercise to raise the antioxidant enzymes in rat livers [101]. Their results showed an increase in glutathione peroxidase (GSH-GPx) activity in the training and training + creatine groups compared to the control group. Regular exercise activates transcription factors (NF- $\kappa$ B and Nrf2), which are responsible for stimulating various genes including mitochondrial GSH-GPx [102,103]. The results of Silva et al. showed that the increase in thiobarbituric acid reactive substance (TBARS) is independent of creatine supplementation [23]. Actually, about 2–5% of the oxygen involved in OXPHOS during physical activity is changed to potentially detrimental oxygen formatives named ROS [104]. Creatine increases intracellular CrP which acts as a cellular energy buffer, thus reducing the OXPHOS dependence on the high-intensity, short-term exercise (Figure 3) [23]. Creatine supplementation may be more effective in short-term training than in long-term training, by reducing intracellular calcium accumulation and limiting ROS formation and reducing oxidative damage [23]. Rahimi stated that consuming 20 g of creatine per day for seven days reduces MDA and 8-hydroxy-2'-deoxyguanosine (8-OHdG) after resistance training. He stated that a resistance exercise using the flat pyramid loading pattern system increases oxidative DNA damage and lipid peroxidation in athletes. Additionally, the antioxidant effects of creatine may be related to its compounds (arginine, glycine and methionine) [40]. Deminice and Jordao concluded that creatine supplement consumption reduces the oxidative stress markers induced by a moderate aerobic exercise [105]. They stated that acute aerobic exercise increases TBARS and total lipid hydroperoxide, and that creatine supplementation can have positive effects on these variables. Mitochondrial protection is very important because this process is required to maintain mitochondrial activity and mitochondriogenesis [106]. As mentioned, creatine has direct antioxidant activity through normalizing mitochondrial mutagenesis, prevents its functional outcomes, and perhaps plays the main role in the stability of mitochondrial activity. Additionally, creatine can prevent mtDNA damage and protect mitochondria by reducing extracellular H<sub>2</sub>O<sub>2</sub> levels [45,46]. Young et al. reported the capacity of creatine exposure to promote the thiol redox system, of which the GSH and thioredoxin pathways are important components (indirect antioxidant effect) [54]. In addition, studies have shown other indirect antioxidant mechanisms such as hydration and membrane stabilization [5] and increased or normalized cell energy status [107,108]. In contrast, the findings of Kingsley et al. showed that short-term creatine consumption had no effect on the antioxidant defense or protection against lipid peroxidation caused by the exhaustive cycling among healthy men [109]. Deminice et al. stated that creatine supplementation has no effect on the antioxidant parameters; creatine supplement consumption was inadequate to inhibit oxidative stress induced by acute repeated-sprint exercise. They stated that more studies were needed to confirm the antioxidant effects of creatine consumption in humans [110]. Moreover, Percario et al. stated that creatine supplement consumption along with resistance training stimulates oxidative stress and decreases the overall antioxidant capacity [111]. They stated that total antioxidant status (TAS) values in the creatine + training group were significantly decreased compared to the other groups. Considerable enhancement in strength in the

creatine + training group may increase the energy production mechanism due to the high capacity for ATP re-synthesis in cells. This condition is maybe suitable for the manifestation of ischemia-reperfusion syndrome, with enhanced uric acid and hydroxyl radical generation causing the mobilization of antioxidant stores (thereby decreasing TAS) to prevent oxidative stress [111].

**Table 1.** Studies on the effects of short-term and long-term creatine supplementation and exercise on oxidative stress.

Studies	Subject	Exercise	Intervention	Main Outcome
Human study				
Kingsley et al. (2009) [109]	Active males (n = 18)	Incremental cycling that was continued until the individualized predetermined point of exhaustion	Ingested 22.8 g·d <sup>-1</sup> Cr (equivalent to 5 g Cr × 4 daily) for 5 days. Each supplement dose consisted of 5.7 g Cr and 5 g of glucose polymer dissolved in 500 mL of warm water	= Oxidative stress (as measured by serum hydroperoxide concentrations)
Rahimi (2011) [40]	Trained males (n = 27)	7 sets, 3–6 repetitions, 80–90% 1RM (bench press, lat pull down, and seated rows)	20 g/day (5 g/serving, 4 serving/day), 7 days before exercise	↓MDA, 8-OHdG
Percario et al. (2012) [111]	Male elite Brazilian handball players (n = 26)	5 week RT, 50–95% 1RM, 3–12 repetition	First 5 days: a daily dose of 20 g, remaining 27 days: participants were given a dose of 5 g per day, after training	↓ TAS, =TBARS
Deminice et al. (2013) [110]	Male soccer players (n = 25)	2 consecutive running-based anaerobic sprint test, (6 sprints (35 m), maximum speed, 10 s rest between repetition)	0.3 g/kg, 7 days after first exercise	= MDA, GSH, GSH/GSSG ratio, TAC, CAT, SOD, GPX
Animal study				
Deminice and Jordao. (2012) [105]	Male rats (n = 64)	1 h swimming with load of 4% of total body weight	2% Cr, 28 days before exercise	↓TBARS, Lipid hydroperoxide ↑GSH/GSSG ratio, TAC = α-Tocopherol, CAT
Silva et al. (2013) [23]	Male rats (n = 36)	Exhaustion eccentric running (treadmill, 50–60% VO <sub>2</sub> max, constant velocity 1.0 km/h)	300 mg/kg/day, 15 days, dose of initially: 2 serving/day, dose after 6 days: 1 serving/day	= TBARS, PC, TT, SOD, GPX, CAT
Araujo et al. (2013) [101]	Male Wistar rats (n = 40)	25 min treadmill at different fixed speeds for each series, 48 h interval between series, 8 weeks	2% in diet Cr during the maintenance phase equals 20 g·kg <sup>-1</sup> peak in the phase of 13% were used equivalent to 130 g·kg <sup>-1</sup>	T and TCr groups: ↑H <sub>2</sub> O <sub>2</sub> , GSH-GPx CCr and TCr groups: ↑CAT TCr group: ↓SOD AI groups: GSH, GSH/GSSG
Stefani et al. (2014) [99]	Male Wistar rats (n = 40)	8 weeks RT (4 series of 10–12 repetitions, 90 s interval, 4 times per week, 65% to 75% of 1 Concurrent Strength and Aerobic Training Order Influence Training-InduceRM)	The first 7 days prior to the initiation of training: dosage of 0.3 g/kg/day, last 7 weeks: the dosage was set at 0.05 g/kg/day	↓lipoperoxidation, MDA ↑SOD = CAT

= No significant difference; ↓ significantly decreased responses; ↑ significantly increased responses; creatine (Cr); one repetition maximum (1RM); malondialdehyde (MDA); 8-OH-2-deoxyguanosine (8-OH-dG); thiobarbituric acid-reactive substances (TBARS); glutathione (GSH); oxidized glutathione (GSSG); resistance training (RT); total antioxidant capacity (TAC); catalase (CAT); total antioxidant status (TAS); glutathione peroxidase (GSH-GPx); protein carbonyls (PC); total thiol (TT) superoxide dismutase (SOD); glutathione peroxidase (GPX); hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>); training (T); training + creatine (TCr); and control + creatine (CCr).

According to the existing research (Table 1), long-term creatine supplementation along with moderate-intensity resistance and endurance training can probably reduce oxidative stress and increase the antioxidant defense system; however, in the short-term, creatine consumption and its effect on oxidative stress due to endurance exercise is not well known, although it seems that the short-term creatine ingestion possibly reduces oxidative stress due to intense resistance exercise. Considering the antioxidant effects of regular physical activity (PGC-1 $\alpha$ , PPAR $\gamma$ ) and creatine (maintaining mitochondrial integrity, acting as a cellular energy buffer, reducing extracellular H<sub>2</sub>O<sub>2</sub> levels, cell membrane stabilization and improvement of cellular energy capacity), it seems that the combined effect of physical activity and creatine consumption can reduce oxidative stress, but further research is needed to conclude more accurately about the intensity of long-term resistance and endurance training with creatine supplementation and the short-term effects of creatine consumption and physical activity on oxidative stress. No research has been done on the effect of creatine supplementation along with the concurrent exercise but considering the antioxidant effects of creatine and the effects of concurrent exercise, it seems that it can have positive effects on oxidative stress. The intensity of exercise, however, can have different effects, and there is a need for more research in this regard [112].

## 7. Conclusions

According to the available information, creatine has antioxidant properties and can be effective through direct and indirect mechanisms. It has a positive effect on oxidative stress and reduces ROS. Creatine can maintain mitochondrial integrity, increase CrP resources, act as a cellular energy buffer, and protect two important cellular targets, mtDNA and RNA, from oxidative damage. In addition, the antioxidant properties of creatine may be related to its constituents (arginine, glycine and methionine) (Figure 3). It seems that creatine consumption combined with long-term training could possibly reduce oxidative stress and improve the antioxidant system. Creatine supplement consumption possibly has a synergistic effect with training, but the intensity and duration of training and supplementation period can play an important role in the antioxidant activity. Not much research has been conducted on the effects of creatine consumption along with long-term and short-term exercise on oxidative stress; therefore, for more accurate conclusions, more research is needed in this field.

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Review

# Efficacy of Popular Diets Applied by Endurance Athletes on Sports Performance: Beneficial or Detrimental? A Narrative Review

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**Abstract:** Endurance athletes need a regular and well-detailed nutrition program in order to fill their energy stores before training/racing, to provide nutritional support that will allow them to endure the harsh conditions during training/race, and to provide effective recovery after training/racing. Since exercise-related gastrointestinal symptoms can significantly affect performance, they also need to develop strategies to address these issues. All these factors force endurance athletes to constantly seek a better nutritional strategy. Therefore, several new dietary approaches have gained interest among endurance athletes in recent decades. This review provides a current perspective to five popular diet approaches: (a) vegetarian diets, (b) high-fat diets, (c) intermittent fasting diets, (d) gluten-free diet, and (e) low fermentable oligosaccharides, disaccharides, monosaccharides and polyols (FODMAP) diets. We reviewed scientific studies published from 1983 to January 2021 investigating the impact of these popular diets on the endurance performance and health aspects of endurance athletes. We also discuss all the beneficial and harmful aspects of these diets, and offer key suggestions for endurance athletes to consider when following these diets.

**Keywords:** diet; fat; carbohydrate; protein

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## 1. Introduction

Endurance performance, especially prolonged training, requires greater metabolic and nutritional demands from athletes [1]. As endurance athletes face harsh conditions during training periods, they seek alternative dietary strategies to improve endurance performance and metabolic health [2]. It is of paramount importance that a popular diet should be scientifically proven before being adopted in the athletic population [3]. Vegetarian diets [4], high-fat diets (HFD) [5], intermittent fasting (IF) diets [6], gluten-free diet (GFD) [7] and low fermentable oligosaccharides, disaccharides, monosaccharides and polyols (FODMAP) diets [8] are very popular among endurance athletes. In this review, we will discuss both the beneficial and harmful aspects of these diets on metabolic health and endurance performance.

## 2. Methods

We searched both the PubMed and Cochrane databases for the terms “diet\*”, “track-and-field”, “runner\*”, “marathoner\*”, “cyclist”, “cycling”, “triathlete”, “endurance”, and “endurance athletes” in the title, abstract, and keywords to detect the most applied diets between 2015 and 2021 in endurance athletes. We obtained 217 results in PubMed and 80 trials in the Cochrane database. We defined the most recurrent diets in endurance athletes, including “High CHO availability”, “High-carbohydrate diet”, “Ketogenic diet”,

“Low-CHO diet”, “Low-CHO, high-fat diet”, “Ketogenic low-carbohydrate, high-fat diet”, “Low-carbohydrate ketogenic diet”, “Low-carbohydrate, high fat, ketogenic diet”, “High-fat, low carbohydrate diet”, “Ketone ester supplementation”, “time-restrictive eating”, “Ketone supplementation”, “Intermittent fasting”, “fasting during Ramadan”, “Vegan diet”, “Lacto-Ovo vegetarian diet”, “Vegetarian diet”, “Low fermentable oligo-, di-, monosaccharide, and polyol diet”, and “Gluten-free diet”. Since we all know that high-carbohydrate diet is already well proven to enhance endurance performance [2], we targeted other diets for in-depth investigation by categorizing them as “vegan/vegetarian diets”, “high-fat diets”, “intermittent fasting”, “low-FODMAP diet, and “gluten-free diet”. We included studies on endurance athletes and popular diets, including vegetarian diets, high-fat diets, intermittent fasting, gluten-free diet, and low-FODMAP diet. Using PubMed, Cochrane Library, and Web of Science databases, we aimed to identify studies on races and endurance training. Two researchers (A.D.L and L.H.) independently reviewed the literature. In cases of conflict, a third investigator (B.K.) resolved the disagreement. We identified the studies published from 1983 to 2021. To define the studies on endurance athletes and diets to be included in the current narrative review, we searched MeSH terms (“Diet, Ketogenic” (Majr); “Diet, High-Fat” (Majr); “Diet, Carbohydrate-Restricted” (Majr); “Ketone Bodies” (Majr); “Diet, Vegetarian” (Majr); “Diet, Vegan” (Majr); “Fasting” (Majr); “Diet, Gluten-Free” (Majr); “athletes” (Majr); “physical endurance” (Majr); “Diet Therapy” (Majr); “Oligosaccharides” (Majr), “Disaccharides” (Majr)) and MeSH terms found below this term in the MeSH hierarchy recommended by PubMed and Cochrane Library. We also searched by adding the terms “FODMAP diet”, “low-FODMAP diet”, “FODMAP\*”, “Fermentable oligosaccharides, disaccharides and polyols”, “Fermentable, poorly absorbed, short chain carbohydrates”, “Inulin”, “Xylitol”, “Mannitol”, “Maltitol”, “Isomalt”, “Fructose”, “Fructans”, “Galactooligosaccharides”, “fructooligosaccharides”, and “Polyols” to all databases, as no MeSH terms for the low-FODMAP diet were defined. We discussed the findings after determining the clinical and practical relevance of the studies by considering only human studies. We included studies available in English clearly describing the applied diet and investigating the effect of diet on endurance athletes as the primary goal. In addition, we included studies where diets were applied according to the dietary description. We excluded studies not explicitly addressing the impact of the diet on endurance performance or health-related parameters, that were not written in English, and were conducted on animals or in vitro. Based on our inclusion and exclusion criteria, we identified 57 research articles (Table 1). We organized the narrative review by considering both the beneficial and detrimental aspects of all five diets for endurance athletes.

### 3. Popular Diets Applied to Improve Sports Performance in Endurance Athletes

#### 3.1. Vegetarian Diets

Worldwide, it is estimated that around four billion people follow vegetarian diets [9]. In addition to many books and documentaries on vegetarian diets along with various types of practice (Table 1) and many well-known athletes who have adopted vegan diets and improved their performance [10], vegan diets have become more acceptable and feasible in the athletic population [11]. Looking at the athletic population, using a survey-based study conducted with 422 marathon runners, approximately 10% ( $n = 39$ ) of the athletes consumed vegetarian/vegan/pescatarian diets [12]. However, in the NURMI study, the authors used the prevalence of vegetarian diets in ultra-endurance runners, primarily living in Austria, Germany, and Switzerland [13]. The findings revealed that the ratio of vegetarian and vegan athletes was 18.4% and 37.1%, respectively.

**Table 1.** Studies investigating the potential effects of vegetarian, fasting, high-fat, gluten-free, and low-FODMAP diets on athletes' endurance performance.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
<b>High-Fat Diets</b>						
Endurance-trained male athletes (n = 20)	A non-randomized control trial	K-LCHF diet (n = 9; %CHO:fat:protein = 6:77:17) or HCD (n = 11; 65:20:14)	12 weeks	A 100-km TT performance, a 6-s sprint, and a CPT	↓ Body mass ↓ Body fat percentage ↑ Average relative power during the 6 s sprint ↑ Fat oxidation during exercise ↔ 100 km TT endurance performance	[14]
Recreational male athletes (n = 14)	A randomized, crossover design	K-LCHF diet (<10%CHO, 75% fat) and 2 week HCD (>50% CHO), >2 weeks washout period in between	2 weeks	A 90-min bicycle ergometer exercise test at 60%Wmax	↓ Exercise-induced cortisol response; however, better results observed in HCD ↓ Exercise capacity ↑ Fat oxidation during exercise ↑ Perceived exertion after exercise ↔ Post-exercise s-IgA levels at week 2	[15]
Professional male race walkers (n = 25)	A mix of repeated-measures and parallel-group design	K-LCHF diet (n = 10; 75–80% FAT, <50 g CHO, 17% protein), HCD (n = 8; 60–65% CHO, 20% FAT, 15–20% protein), or PCD, (n = 7; 60–65% CHO, 20% FAT, 15–20% protein)	3 weeks	- A graded walking economy and VO <sub>2</sub> peak test on treadmill - A 10-km race walk (field) - A 25-km standardized race walk	↔ VO <sub>2</sub> peak ↓ 10 km race walk performance ↑ Perceived exertion after exercise ↑ Oxygen cost ↑ Fat oxidation during exercise	[16]
Male and female elite race walkers (n = 24)	A mix of repeated-measures and parallel-group design	K-LCHF diet (n = 9; 75–80% FAT, <50 g CHO, 15–20% protein), HCD (n = 8; 60–65% CHO, 20% FAT, 15–20% protein), or PCD, (n = 7; 60–65% CHO, 20% FAT, 15–20% protein)	3 weeks	- A graded walking economy and VO <sub>2</sub> peak test on treadmill	↔ VO <sub>2</sub> peak ↔ Blood acid-base status	[17]
Endurance-trained male athletes (n = 8)	A randomized repeated-measures crossover study	K-LCHF diet (75–80% FAT, <50 g CHO, 15–20% protein), HCD (43% CHO, 38% FAT, 19% protein)	4.5 weeks	- A graded metabolic test to exhaustion - A TTE performance at 70% VO <sub>2</sub> max	↔ TTE performance ↔ Perceived exertion after exercise ↓ Exercise efficiency above 70% VO <sub>2</sub> max ↔ Exercise efficiency above 70% VO <sub>2</sub> max	[18]
Recreationally competitive male runners (n = 8)	A pre–post-test	K-LCHF diet (<50 g CHO, 70% FAT (ad libitum), or HCD (habitual diet defined as moderate to high CHO)	3 weeks	- Five 10-min running bouts at multiple individual race paces in the heat, 20-min rest, then a 5 km TT performance after 50 min of running in challenging environmental conditions	↔ 5 km TT performance ↔ Perceived exertion after exercise ↑ Fat oxidation during exercise ↓ Body mass ↓ Skinfold thickness ↔ Exercise-induced cardiorespiratory, thermoregulatory, or perceptual responses	[19]
Elite male cyclists (n = 5)	A pre–post-test	K-LCHF diet (<20 g CHO, 85% FAT, 15% protein) for 3 weeks immediately after a 1 week HCD (66% CHO, 33%FAT, 1.75 g protein/kg BW/d)	4 weeks (3 weeks LCKD after 1 week HCD)	- A VO <sub>2</sub> max test on cycle ergometry - A TTE test at 60–65% VO <sub>2</sub> max at two time points: after HCD and K-LCHF diet	↔ VO <sub>2</sub> max ↔ TTE performance ↑ Fat oxidation ↔ Blood glucose levels during TTE performance	[20]
Recreational athletes (n = 5)	Case study	K-LCHF diet (ad libitum FAT, <50 g CHO, 1.75 g protein/kg BW/d)	10 weeks	- A TTE performance - A peak power test - A VO <sub>2</sub> max test	↓ TTE performance ↑ Fat oxidation during exercise even at higher intensities ↓ Body mass ↓ Skinfold thickness	[21]

Table 1. Cont.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
Endurance-trained male athletes ( <i>n</i> = 8)	A randomized, repeated-measures, crossover study	K-LCHF diet (75–80% FAT, <50 g CHO, 15–20% protein), HCD (43% CHO, 38% FAT, 19% protein)	4.5 weeks	- A graded metabolic test to exhaustion - A TTE performance at 70% VO <sub>2</sub> max	Preservation of mucosal immunity ↑ Both pro- and anti-inflammatory T-cell-related cytokine responses to a multiantigen in vitro	[22]
Elite race walkers ( <i>n</i> = 25)	A mix of repeated-measures and parallel-group design	K-LCHF diet ( <i>n</i> = 10; 75–80% FAT, <50 g CHO, 17% protein), HCD ( <i>n</i> = 8; 60–65% CHO, 2% FAT, 15–20% protein), or PCDD ( <i>n</i> = 7; 60–65% CHO, 20% FAT, 15–20% protein)	3.5 weeks	- A graded walking economy and VO <sub>2</sub> peak test - A 10-km track race After CHO re-adaptation: - A 20-km race walking	↔ VO <sub>2</sub> peak ↓ 10 km race walk performance ↑ Perceived exertion after exercise ↑ Oxygen cost ↑ Whole-body fat oxidation	[23]
Male ultra-endurance runners ( <i>n</i> = 20)	A cross-sectional study design	K-LCHF diet ( <i>n</i> = 10, 10:19:70) diet or Habitual high-CHO ( <i>n</i> = 10, %CHO:protein:fat = 59:14:25) diet	An average of 20 months (range 9–36 months)	- A graded exercise test - A 3-h run at 65% VO <sub>2</sub> max on a treadmill	↑ Fat oxidation ↔ Muscle glycogen utilization and repletion after 180 min of running and 120 min of recovery	[24]
Male competitive recreational distance runners ( <i>n</i> = 7)	A randomized counterbalanced, crossover design	K-LCHF diet ( <i>n</i> = 10; 75–80% FAT, <50 g CHO, 17% protein), or HCD ( <i>n</i> = 8; 60–65% CHO, 20% FAT, 15–20% protein)	6 weeks	- A VO <sub>2</sub> max test - A 5-km TT performance (day 4, 14, 28, and 42)	↔ VO <sub>2</sub> max ↔ TT performance ↑ Fat oxidation	[25]
Endurance-trained male cyclists ( <i>n</i> = 5)	Crossover design	A high-fat diet (70% FAT) or an equal-energy, high-carbohydrate diet (70% CHO)	2×2 weeks, 2 week washout period in between (ad libitum diet during washout period)	- Peak power output test - A cycling exercise to exhaustion at 90% VO <sub>2</sub> max, 20-min rest, and followed with a cycling exercise to exhaustion at 50% VO <sub>2</sub> max	↑ TTE performance during MIE ↔ Endurance performance during HIE ↑ Fat oxidation	[26]
Highly trained male ultra-endurance runners ( <i>n</i> = 20)	A cross-sectional study design	Habitual low CHO ( <i>n</i> = 10; <20% CHO, >60% FAT) or high CHO ( <i>n</i> = 10; >55% CHO)	At least 6 months		↑ Circulating total cholesterol, LDL-C, and HDL-C concentrations ↑ Fewer small, dense LDL-C particles	[27]
Trained male off-road cyclists ( <i>n</i> = 8)	A crossover design	A mixed diet (%CHO:fat:protein = 50:30:20) or a NK-LCHF diet (15:70:15)	4 weeks	A continuous exercise protocol on a cycling ergometer with varied intensity (90 min at 85% LT, then 15 min at 115% LT)	↑ VO <sub>2</sub> max ↓ Body mass ↓ Body fat percentage ↑ Fat oxidation ↓ Post-exercise muscle damage ↓ CK and LDH concentration at rest and during the 105 min exercise protocol in the NK-LCHF diet trial	[28]
Endurance trained cyclists ( <i>n</i> = 16)	A randomized, controlled study design	A NK-LCHF diet (19:69:10) or a habitual diet (%CHO:fat:protein = 53:30:13)	15 days	a 2.5-h constant-load ride at 70% VO <sub>2</sub> peak followed by a simulated 40-km cycling TT while ingesting a 10% 14C-glucose + 3.44% MCT emulsion at a rate of 600 mL/h	↑ Fat oxidation ↔ TT performance	[29]

Table 1. Cont.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
Trained male cyclists ( <i>n</i> = 9)	A repeated-measures, randomized, crossover study	2 × 0.35 g/kg KE or placebo (30 min before and 60 min after exercise)	Acute ingestion	A 85-min steady state exercise at 73% $\dot{V}O_{2max}$ , followed by a 7 kJ/kg TT (~30 min)	↑ Transient type-I T-cell immunity at the gen level	[30]
Endurance-trained male and female athletes (male/female, 9/3)	A single-blind, randomized and counterbalanced, crossover design	KE (330 mg/kg BW of βHB containing beverage, or bitter-flavored placebo drink before exercise	Acute ingestion	An incremental bicycle ergometer exercise test to exhaustion	↔ Blood pH and HCO <sub>3</sub> levels ↔ TTE performance	[31]
Endurance-trained athletes (male/female:5/1)	A single-blind, random order controlled, crossover design	A 400 mL, low-dose β-HB KME 252 mg/kg BW, "low ketosis"; a high-dose βHB KME (752 mg/kg BW, "high ketosis", or a bitter-flavored water (placebo)	Acute ingestion, 60 min prior to exercise	A 60-min continuous cycling exercise, consisting of 20 min intervals at 25%, 50% and 75% Wmax	↓ Contribution of exogenous βHB to overall energy expenditure ↑ Exercise efficiency when blood βHB levels above 2 mmol/L ↑ Nausea	[32]
High-performance athletes	Study 1: A randomized crossover design Study 2, 3 and 5: A randomized, single-blind, crossover design Study 4: A two-way crossover study	Study 1 ( <i>n</i> = 6): A KE (573 mg/kg BW) drink at rest, and during 45 min of cycling exercise 40% and 75% of WMax; with 1 week washout period in between Study 2 ( <i>n</i> = 10): - 96% of calories from CHO (dextrose = CHO), KE (573 mg/kg BW), or FAT before test Study 3 ( <i>n</i> = 8): - 60% of calories from CHO and 40% of KE (573 mg/kg BW), a mixture of carbohydrates (CHO), or a no-calorie beverage with 1000 mg B3 before test Study 4 ( <i>n</i> = 7): - 60% of calories from CHO (dextrose) and 40% from KE, or a mixture of CHOs, 50% of the drink consumed at baseline, the remaining 50% at 30 min, 60 min, and 90 min during exercise as equal aliquots Study 5 ( <i>n</i> = 6 male, <i>n</i> = 2 female): - 60% of calories from CHO and KE (573 mg/kg BW), or a mixture of carbohydrates (CHO)	Acute ingestion	Study 1: - A 45-min cycling exercise at 40% and 75% WMax Study 2 and 3: - A fixed-intensity cycling exercise at 75% WMax for 60 min Study 4: - A fixed-intensity bicycle ergometry test at 70% $\dot{V}O_{2max}$ for 2-h Study 5: - A 60-min steady state workload at 75% WMax followed by a blinded 30-min TT	↑ TT performance following 1 h of high-intensity exercise ↑ Fat oxidation ↓ Plasma lactate levels during exercise ↑ D-βHB oxidation according to exercise intensity (from 0.35 g/min at 40% WMax to 0.5 g/min at 75% WMax) ↔ Blood glucose levels	[33]
Trained male cyclists ( <i>n</i> = 9)	A repeated-measures, randomized, crossover study	A drink containing 0.35 g/kg BW BD or placebo	Acute ingestion (30 min before and 60 min during 85 min of steady state exercise)	A steady state cycling at the power output eliciting 85% of their VT followed by a TT performance equivalent to 7 kJ/kg (~25–35 min)	↔ TT performance and average power output ↔ Blood glucose and lactate levels ↑ Fat oxidation ↑ GI symptoms	[34]
Elite male cyclists ( <i>n</i> = 10)	A randomized crossover design	A 1,3-butanediol AcAc diester (2×250 mg/kg BW) or a viscosity and color-matched placebo drink	Acute ingestion, ~30 min before and immediately prior to commencing the warm up	~A 31-km laboratory-based TT performance on a cycling ergometer	↓ TT performance ↑ GI symptoms (nausea and reflux) ↑ Fat oxidation	[35]



Table 1. Cont.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
Male runners (n = 11)	A randomized crossover design	An energy matched ~650 mL drink containing 60 g CHO + 0.5 g/kg BW 1,3-butanediol (CHO-BD) or 110 g ± 5 g CHO alone	Acute ingestion (50% after baseline measurements + 25% after 30 min of seated rest, + 25% after 10 min rest period after completing submaximal running)	A 60-min submaximal running, followed by a 5-km running time trial	↔ TT performance ↔ Overall lactate concentration ↑ Blood glucose levels after TT performance ↑ Fat oxidation	[36]
Highly trained male cyclists (n = 12)	A randomized crossover design	A KE drink (65 g (918,102 mg/kg, range: 722–1072 mg/kg) of KE [96% βHB] or a viscosity- and taste-matched placebo	Acute ingestion (at 60 and 20 min before and at 30 min during race)	A simulated cycling race, which consisted of a 3-h intermittent cycling, a 15-min time trial, and a maximal sprint	↔ High-intensity exercise performance in the final stage of the event ↔ Upper-abdominal discomfort ↓ Appetite after exercise ↔ Net muscle glycogen breakdown	[37]
Recreational male distance runners (n = 13)	A randomized, double-blind, placebo-controlled, cross-over design	Either one (K1: 22.1 g) or two (K2: 44.2 g) servings of the ketone supplement (βHB + MCT) or a flavor-matched placebo drink	Acute ingestion (60 min prior to exercise)	A 5-km running TT on a treadmill	↔ Post-exercise glucose concentration ↔ TT performance ↔ Perceived exertion after exercise Dose-response impact on cognitive function	[38]
Eight trained, middle- and long-distance runners (male/female, 7/1)	A double-blind, randomized crossover design	An 8% carbohydrate-electrolyte solution before and during exercise, either alone (CHO + PLA), or with 573 mg/kg of a ketone monester supplement (CHO + KME)	Acute ingestion	A 60-min submaximal exercise at 65%VO <sub>2</sub> max immediately followed by a 10-km TT	↔ TT performance ↔ VO <sub>2</sub> max, running economy, RER, HR, perceived exertion ↔ Cognitive performance ↔ Plasma glucose and lactate levels ↑ Fat oxidation	[39]
Male and female elite race walkers	A non-randomized clinical trial	A K-LCHF diet (n = 18; 75–80% FAT, <50 g CHO, 15–20% PRO) followed by an acute CHO restoration, or HCD (n = 14; 60–65% CHO, 20% FAT, 15–20% PRO)	3.5 weeks	A hybrid laboratory/field test of 25 km (males) or 19 km (females) at around 50 km race pace at 75% VO <sub>2</sub> max	↓ Bone resorption markers at rest and post-exercise ↑ Bone formation markers at rest and throughout exercise Partial recovery of these effects following CHO restoration	[40]
Well-trained competitive male cyclists or triathletes (n = 7)	A randomized, crossover design	Day 1: a standard CHO diet (%CHO:fat:protein = 58:27:15) Day 2–7: either an HFD (16:69:15) or HCD (70:15:15) for 6 days Day 8: HCD (70:15:15)	6 day fat adaptation followed by 1 day CHO restoration, a 18 day washout period between	Day 9: A 4-h cycling ergometer at 65% VO <sub>2</sub> peak, followed by a 60-min TT	↔ TT performance ↑ Fat oxidation	[41]
Well-trained competitive male cyclists or triathletes (n = 8)	A randomized, crossover design	Day 1–5: either an HFD (%CHO:fat:protein = 59:68:13) or an HCD (74:13:13) Day 6: HCD (74:13:13)	5 day fat adaptation followed by 1 day CHO restoration, a 2 week washout period between	A 2-h cycling at 70% VO <sub>2</sub> max; followed by 7 kJ/kg TT	↔ TT performance ↑ Fat oxidation ↔ Muscle glycogen utilization ↔ Plasma glucose uptake	[42]
Well-trained competitive male cyclists or triathletes (n = 8)	A randomized, double-blind crossover design	Day 1–5: either an HFD (%CHO:fat:protein = 19:68:13) or an HCD (74:13:13) Day 6: HCD (74:13:13) Pre-exercise: a CHO breakfast (CHO 2 g/kg). During exercise: CHO intake (0.8 g/kg/h)	5 day fat adaptation followed by 1 day CHO restoration, a 2 week washout period between	A 2-h cycling at 70% VO <sub>2</sub> max; followed by 7 kJ/kg TT	↔ TT performance ↑ Fat oxidation	[43]

Table 1. Cont.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
Well-trained competitive male cyclists or triathletes ( <i>n</i> = 8)	A randomized, double-blind crossover design	Day 1–5: either an HFD (%CHO:fat:protein = 19:68:13) or an HCD (74:13:13) Day 6: HCD (74:13:13)	5 day fat adaptation followed by 1 day CHO restoration, a 2 week washout period between	A 60-min steady state ride at 70% VO <sub>2</sub> max	↓ Muscle glycogen utilization ↑ Fat oxidation ↑ Pre-exercise AMPK-1 and AMPK-2 activity ↓ Exercise-induced AMPK-1 and AMPK-2 activity	[44]
Endurance-trained male cyclists ( <i>n</i> = 8)	A randomized, single-blind, crossover design	Day 1–6: either a NK- LCHF diet (%CHO:fat:protein = 16.8:68.2:15.0) or an HCD (67.8:17.1:15.1) Day 6: HCD (16.8:68.2:15.0)	6 day fat adaptation followed by 1 day CHO restoration, a 2 week washout period between	A 100-km TT on their bicycles; five 1 km sprint distances after 10, 32, 52, 72, and 99 km, four 4 km sprint distances after 20, 40, 60, and 80 km	↔ TT performance ↑ Fat oxidation ↓ 1 km sprint power ↔ Perceived exertion	[45]
Endurance-trained male cyclists ( <i>n</i> = 5)	Randomized, crossover design	Either 10 day habitual diet (~30% fat), followed with 3 day HCD or 10 day high-fat diet (> 65% fat), followed by 3 day HCD 1 h prior to each trial: –400 mL 3.44% MCT (C <sub>8–10</sub> ) solution During trial: 600 mL/h 10% glucose ( <sup>14</sup> C) + 3.44% MCT solution	10 day HFD + 3 day HCD vs. 10 day habitual diet + 3 day HCD Acute ingestion of MCT solution 1 h before trial and glucose + MCT solution during trial	A 150-min cycling at 70% VO <sub>2</sub> peak, followed immediately by a 20-km TT	↑ TT performance ↑ Fat oxidation ↓ Muscle glycogen utilization ↔ Body fat, BW	[46]
Endurance-trained male cyclists or triathletes ( <i>n</i> = 7)	A randomized, double-blind crossover design	Day 1–5: either an HFD (%CHO:fat:protein = 19:68:13) or an HCD (74:13:13) Day 6: HCD (74:13:13)	5 day fat adaptation, a 2 week washout period between	A 20-min steady state cycling at 70% VO <sub>2</sub> peak, 1 min rest, a 1 min all-out sprint at 150% PPO, and followed by 4 kJ/kg TT	↑ Fat oxidation ↓ Glycogenolysis and PDH activation ↔ Muscle glycogen contents at rest	[47]
A lacto-ovo vegetarian athlete who adhered to an LCHF diet for 32 weeks	Case study	An LCHF diet for 32 weeks	32 weeks	Three professional races while on the LCHF diet in week 21, 24, and 32 (consumption of CHO before and during the race as advised)	↓ Half-ironman performance at week 21 ↓ Ironman performance at week 24 and 32 ↔ Exercise-induced GI symptoms	[48]
Trained male cyclists ( <i>n</i> = 11)	A reference-controlled crossover (two treatment, two period), balanced, masked, single-center outpatient metabolic trial	HCD (% CHO:protein:fat = 73/14/12) for 2.5 days or HCD for first day and followed by the last 1.5 days with fat-enriched feeding (43/9/48)	2.5 days (1 day HCD, followed by lipid supplementation for 1.5 day), or 2.5 day HCD	Pre- and post-intervention; - A 3-h exercise on a bicycle ergometer at 50% Wmax post-intervention: 20-km TT	↔ Perceived exertion after exercise ↔ Fat oxidation during prolonged exercise ↑ Replenishment of both glycogen content and IMCL stores ↔ TT performance	[49]
Trained male cyclists ( <i>n</i> = 22)	A single-blind (clinical trial staff were blinded), 2-treatment crossover randomized clinical trial	An HCD, (CHO 7.4 g/kg BW, FAT 0.5 g/kg BW) for 2.5 days or a high-CHO fat-supplemented (HCF) diet (first day similar with HCD, followed by 1.5 days with a replication of the HC diet with 240 g surplus fat (30% saturation)) distributed over the last 4 meals of the diet period	2.5 days (1 day HCD, followed by lipid supplementation for 1.5 day), or 2.5 day HCD	A fixed-task simulated TT lasting approximately 1-h A VO <sub>2</sub> peak test	↔ TT performance ↔ Fat oxidation during submaximal or 1 h TT exercise ↔ Reaction time throughout TT	[50]

Table 1. Cont.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
Male collegiate long-distance athletes ( <i>n</i> = 8)	A double-blind, placebo-controlled, crossover study design	3 days before the trial: an HCD (% CHO:fat:protein = 71:19:10) 4 h before exercise: HF meal (% CHO:fat:protein = 30:55:15) or HC meal (% CHO:fat:protein = 70:21:9) Immediately before exercise: - either maltodextrin jelly (M) or a placebo jelly (P) in the HF meal group - a P in the HC group	Acute ingestion (either HF meal or HC meal 4 h before exercise)	An 80-min fixed-load test on a treadmill at ~70 VO <sub>2</sub> max, followed with continuous endurance running to exhaustion at ~80% VO <sub>2</sub> max	↑ TTE performance in pre-exercise HF meal plus M consumption after CHO-loading ↑ Fat oxidation	[51]
<b>Vegetarian Diets</b>						
Vegan ( <i>n</i> = 24), LOV ( <i>n</i> = 26) and omnivorous ( <i>n</i> = 26) recreational runners	A cross-sectional study design	Omnivorous, LOV or vegan diet for at least half a year	At least 6 months	An incremental exercise test on a bicycle ergometer	↔ maximum power output ↔ Exercise capacity ↔ Blood lactate and glucose concentration during incremental exercise	[52]
Vegan ( <i>n</i> = 23), LOV ( <i>n</i> = 25) and omnivorous ( <i>n</i> = 25) recreational runners	A cross-sectional study design	Omnivorous, LOV or vegan diet for at least half a year	At least 6 months	An incremental exercise test on a bicycle ergometer	↑ exercise-induced MDA concentration in the vegan (+15% rise) and LOV (+24% rise) groups ↔ NO metabolism	[53]
Male endurance athletes ( <i>n</i> = 8)	A crossover design	A mixed meat-rich diet (69% animal protein sources) or a LOV diet (82% vegetable protein sources)	2 × 6 weeks, 4 week washout period in between (ad libitum diet during washout period)	- A VO <sub>2</sub> max test	↔ Immunological parameters ↑ Fiber intake ↑ P/S ratio of fatty acids ↔ VO <sub>2</sub> max capacity	[54]
Omnivorous, lacto-ovo vegetarian, and vegan recreational runners (21–25 subjects, respectively)	A cross-sectional study	Omnivorous, lacto-ovo-vegetarian or vegan diet for at least half a year	At least 6 months	An incremental exercise test on a bicycle ergometer	↑ exercise-induced MDA concentration ↓ Sirtuin activities in vegans	[55]
A male vegan ultra-triathlete and a control group of 10 Ironman triathletes	Case report	A vegan ultra-triathlete adhered to a raw vegan diet and a control group of 10 Ironman triathletes adhered to a mixed diet	Vegan athlete living on a raw vegan diet for 6 years, vegan for 9 years and a vegetarian for 13 years	A Triple-Ironman distance (11.4 km swimming, 540 km cycling, and 126 km running)	↑ VO <sub>2</sub> max ↔ Exercise performance ↔ Exercise capacity ↔ Systolic and diastolic functions	[56]
A female vegan mountain biker	Case report	A vegan athlete living on a vegan diet for approximately 15 years	A vegan diet for approximately 15 years	The Transalp Challenge 2004 (altitude climbed, 22,500 m; total distance, 662 km, lasts approximately 8 days)	Successfully completing ultra-endurance mountain biking with a well-planned and implemented vegan diet	[57]
Vegetarian ( <i>n</i> = 27) and omnivore ( <i>n</i> = 43) elite endurance athletes	Cross-sectional study design	Vegetarian and omnivore endurance athletes who adhered to their respective diets for at least three months	At least three months	A VO <sub>2</sub> max test on the treadmill	↔ Exercise performance ↔ Protein intake (kg BW/day) ↑ VO <sub>2</sub> max (in females) ↔ VO <sub>2</sub> max (in males)	[58]
Vegan ( <i>n</i> = 22) and omnivorous ( <i>n</i> = 30) amateur runners	Cross-sectional study design	Vegan and omnivore athletes; diet adherence time not reported	-	VO <sub>2</sub> max and peak power output test on the treadmill	Better systolic and diastolic function ↑ VO <sub>2</sub> max	[59]

Table 1. Cont.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
<b>Intermittent Fasting Diets</b>						
Well-trained, middle-distance runners ( <i>n</i> = 18)	A non-randomized, controlled study	RIF vs. control	1 month	Beginning and at the end of Ramadan: - A VO <sub>2</sub> max test on the treadmill - A MVC testing - A 5-km TT	↓ TT exercise performance ↔ VO <sub>2</sub> max ↔ Running efficiency	[60]
Middle-distance athletes ( <i>n</i> = 8)	Pre–post-test	RIF	1 month	5 days before, 7 and 21 days after Ramadan: - A maximal aerobic velocity test	↓ Nocturnal sleep time ↓ Energy intake ↔ BW and body fat percentage ↔ Testosterone/cortisol ratio ↑ Fatigue ↑ Transient alteration in circulating IL-6, adrenaline, noradrenaline levels	[61]
Elite under 23 cyclists ( <i>n</i> = 16)	Parallel randomized trial	Time-restrictive eating (TRE) (16 h fasting, 8 h eating periods) or normal diet; both the same energy and macronutrient composition	4 weeks	Pre- and post-diet: - A VO <sub>2</sub> max test - A 45-min cycling ergometer at 45% peak power output	↔ VO <sub>2</sub> max ↔ endurance performance ↑ PPO/BW ratio ↓ BW and body fat percentage ↔ Fat-free mass	[62]
Male trained cyclists ( <i>n</i> = 11)	A non-randomized repeated-measures experimental study design	Ramadan fasting (15 h 15 min fasting period)	29 days	A slow progressively increasing training load period (endurance training at first, and then intensity training included progressively)	↑ Perceived exertion ↑ DOMS ↓ Total sleep time ↓ duration of deep and REM sleep stages ↔ Cognitive performance	[63]
Adolescent male cyclists ( <i>n</i> = 9)	A partially double-blind, placebo-controlled, randomized design	A CHO mouth rinse (with 25 mL of the solution) (CMR), a placebo mouth rinse (PMR), and a no-rinse (NOR) trial during Ramadan fasting state (fasting period ~13.5 h)	The last two weeks of Ramadan	A cycling exercise at 65% VO <sub>2</sub> peak for 30 min followed by a 10 km TT under hot (32 °C) humid (75%) condition	↑ TT performance in the CMR and PMR groups ↓ Perceived exertion in the CMR compared to the NOR ↔ Total sleep time	[64]
Trained male middle- and long-distance runners ( <i>n</i> = 17)	A randomized, parallel-group, pre- and post-experimental design	A TRE (fasting: 16 h, ad libitum eating: 8 h) ( <i>n</i> = 10) or normal diet ( <i>n</i> = 7)	8 weeks	An incremental test until exhaustion	↓ BW ↔ VO <sub>2</sub> max ↔ Running economy ↔ Blood lactate, glucose, and insulin ↓ Daily energy intake	[65]
<b>Gluten-Free Diet</b>						
Non-coeliac or non-IBS competitive endurance cyclists ( <i>n</i> = 13)	A controlled, randomized, double-blind, crossover study design	GFD or gluten-containing diet plus additional 2 gluten-free or gluten-containing food bars (total 16 g wheat gluten per day)	2 × 7 days, a 10 day washout period in between	A steady state cycling at 70% Wmax for 45 min followed by a 15 min TT	↔ TT performance ↔ GI symptoms ↔ Intestinal damage ↔ Well-being	[66]
<b>Low-FODMAP Diet</b>						
Recreationally competitive runners with non-clinical GI symptoms (5 males, 6 females)	A single-blind, crossover design	Either a high-FODMAP or a low-FODMAP (<0.5 g FODMAP/meal) diet	2×6 days, 1 day washout period in between	- A 5 × 1000-m run on day 4 - A 7-km threshold run on day 5	In the low-FODMAP group; ↔ Well-being ↓ GI symptoms	[67]
A female ultra-endurance runner	Case study	A 4 week low-FODMAP diet, (3.9 g FODMAP/day)	4 week low-FODMAP diet + 6 week reintroduction of high-FODMAP foods	A 6-day 186.7 km multistage ultra-marathon	Minimal GI symptoms ↑ Nausea ↓ Energy, protein, CHO, and water intake compared to the recommended guidelines	[68]

Table 1. Cont.

Subjects	Study Design	Diet/Application	Duration	Exercise Protocol(s)	Main Findings	Ref.
A recreationally competitive multisport athlete	Case study; a single-blind approach	A 6 day low-FODMAP diet ( $7.2 \pm 5.7$ g FODMAPs/day) vs. habitual diet ( $81 \pm 5$ g FODMAPs/day)	6 days	Same training period both diet trial (Swim 60 min (day 1); cycle 60 min (day 2); rest (day 3); run intervals 70 min (day 4); cycle 180 min and steady state run 65 min (day 5) and; run intervals 65 min (day 6))	↓ Exercise-induced GI symptoms	[69]
Endurance runners ( $n = 18$ )	A double-blind randomized crossover design	A high- ( $46.9 \pm 26.2$ g FODMAP/day) or low- ( $2.0 \pm 0.7$ FODMAP/day) FODMAP diet	$2 \times 1$ day; before each experimental trial	A 2-h running at 60% $\text{VO}_2\text{max}$ in 35 °C ambient temperature	In the low-FODMAP group; ↓ Exercise-associated disruption of GI integrity ↓ Exercise-associated GI symptoms ↓ Breath $\text{H}_2$ concentration	[70]

↓: A significant decrease after the diet manipulation in the experimental group; ↑: A meaningful rise after the diet manipulation in the experimental group; ↔: No change after the diet manipulation in the experimental group. Abbreviations: K-LCHF: ketogenic low-carbohydrate, low-fat diet; NK-LCHF: non-ketogenic low-carbohydrate, low-fat diet CHO: carbohydrate; HCD: high-carbohydrate diet; TT: time trial; CPT: critical power test; s-IgA: serum immunoglobulin A; Wmax: maximal power output;  $\text{VO}_2\text{peak}$ : peak oxygen uptake;  $\text{VO}_2\text{max}$ : maximal oxygen uptake; PCD: periodized carbohydrate diet; TTE: time-to-exhaustion; MIE: moderate intensity exercise; HIE: high-intensity exercise; LDL-c: low-density lipoprotein; HDL-c: high-density lipoprotein; CK: creatine kinase; LDH: lactate dehydrogenase; SS: steady state;  $\text{HCO}_3^-$ : hydrogen bicarbonate; KE: ketone ester; KME: ketone monoester; BW: body weight;  $\beta\text{HB}$ : (R)-3-hydroxybutyl (R)-3-hydroxybutyrate; VT: ventilatory threshold; GI: gastrointestinal; MCT: medium-chain triglycerides; RER: Respiratory exchange ratio; HR: heart rate; IMCL: Intra myocellular lipid; LOV: lacto-ovo-vegetarian; MDA: malondialdehyde; NO: nitric oxide; P/S ratio: polyunsaturated/saturated fatty acid ratio; MVC: Maximal Voluntary Isometric Contraction; IL-6: interleukine-6; PPO/BW ratio: peak power output/body weight ratio; DOMS: delayed onset muscle soreness; GFD: gluten-free diet; FODMAP: fermentable oligosaccharides, disaccharides, monosaccharides and polyols.

## The Impact of Vegetarian Diets on Sports Performance

### Benefits of Vegetarian Diets

With the growing popularity of vegetarian diets in the athletic population, researchers have begun to investigate the role of these diets in sports performance and metabolic profile [71].

Studies on vegetarian diets have suggested that these diets may improve endurance performance by increasing exercise capacity and performance, modulating exercise-induced oxidative stress [72], inflammatory processes including anti-inflammatory and immunologic responses [4], and upper-respiratory tract infections (URTI) [73], and providing better cardiovascular function [59].

Studies measuring the aerobic capacity of vegetarian and omnivorous athletes reported controversial results [54,56,58,59]. Two studies showed that  $\text{VO}_2\text{max}$  values were higher in vegetarian athletes compared to omnivorous athletes [56,59], while a crossover study showed no difference between the groups [54]. Studies supported higher  $\text{VO}_2\text{max}$  values in vegetarians designed as a case study and two cross-sectional studies [56,58,59], which are considered as the lowest level of the etiology hierarchy. A cross-sectional study in amateur runners reported that vegetarian female athletes had higher  $\text{VO}_2\text{max}$  values than omnivorous female athletes; however, no difference was observed in  $\text{VO}_2\text{max}$  values between vegetarian and omnivorous male athletes [58]. We need more high-level studies on the interaction between  $\text{VO}_2\text{max}$  and vegetarian diet patterns in endurance athletes.

The availability of studies on vegetarian endurance athletes supports neither a positive nor a negative impact on exercise capacity [52,56]. Comparing the exercise capacity of lacto-ovo-vegetarian, vegan and omnivorous athletes, Nebl et al. [52] measured maximum power output ( $P_{\text{max}}$ ) during incremental exercise as the primary outcome of the study in determining exercise capacity, while maximum power output per lean body weight ( $P_{\text{max}}\text{LBW}$ ), blood lactate and glucose concentration during incremental exercise were evaluated as secondary outcomes. No differences were detected in  $P_{\text{max}}$ ,  $P_{\text{max}}\text{LBW}$ , blood lactate and glucose concentrations between groups during increased exercise, suggesting that there was no difference in exercise capacity compared to the lacto-ovo-vegetarian (LOV), vegan or omnivorous diet pattern in endurance athletes [52]. In addition, a case

study by Leischik and Spelsberg [56] assessed the exercise performance, cardiac status, and nutritional biomarkers of a male vegan ultra-triathlete and a control group of 10 Ironman triathletes during a Triple Iron ultra-triathlon (11.4 km swimming, 540 km cycling, and 126 km running). Apart from a mild thrombopenia with no pathological consequences in laboratory parameters, the vegan athlete did not have weakened nutritional biomarkers or impaired health symptoms. Additionally, the  $\text{VO}_2\text{max}$  value of the vegan athlete was greater compared to the omnivorous athletes. Systolic and diastolic functions also did not differ between vegan and omnivorous athletes. The findings indicate that a well-planned vegan diet can provide adequate nutritional support for an ultra-triathlete [56].

In addition to these aforementioned benefits, vegetarian diets may also provide advantages for exercise capacity by increasing muscle glycogen levels [71], and delaying fatigue [74]. As for increasing glycogen stores, carbohydrate intake is considered the cornerstone of a better endurance performance by enhancing muscle glycogen stores, delaying fatigue, and providing athletes to compete at better and higher levels during prolonged periods [75]. Given the fact that the vegetarian diets are rich in carbohydrates (CHO) [71], such diets may offer more opportunities when considering races or training that can last at least six hours [2]. However, these data bring us to the point where foods high in CHO rather than diet types may be responsible for better performance. Taken together, both studies have shown that vegetarian diets neither benefit nor harm exercise capacity and endurance performance compared to omnivorous athletes. However, more studies are needed due to the small number of studies on the topic.

Studies have shown that the beneficial effects of vegetarian diets in alleviating oxidative stress and regulating the anti-inflammatory response are based on their enormous non-nutrient content called phytochemicals [4,76]. Polyphenols containing flavonoids, phenolic acids, lignans, and stilbenes are the most diverse non-nutrient group of phytochemicals that are produced as secondary metabolites throughout plants and have a broad spectrum of effects on metabolic health [77]. Polyphenol research of the athletic population has often been conducted using various fruits and vegetables, mainly berries [78], including blueberries [79–82], black currant [83], Montgomery cherry [84,85], and pomegranate [86]. Acute polyphenol intake or supplementation of ~300 mg 1–2 h before training or >1000 mg of polyphenol supplementation (equivalent to 450 g blueberries, 120 g blackcurrants or 300 g Montmorency cherries) 3 to more days (1–6 weeks) before and immediately after training is recommended as a countermeasure to improve antioxidant and anti-inflammatory response mechanisms [87]. However, only two studies examined the effect of vegetarian diets on exercise-induced oxidative stress in endurance athletes by comparing them with omnivorous diets, revealing contradictory results [53,55]. An incremental exercise test was applied in both studies. Nebl et al. [53] showed that nitric oxide levels, also known as an important biomarker for inflammation, endothelial and vascular function, did not alter between groups. In addition, exercise-induced malondialdehyde (MDA) concentration, an end product of lipid-peroxidation that is commonly measured to detect oxidative stress, significantly increased in vegan athletes in both studies, and in LOV athletes compared to omnivorous athletes [53]. Further, Pottthast et al. [55] found a negative interaction between MDA, and sirtuin activities and antioxidant intakes such as ascorbate and tocopherol. These studies showed opposite results, against expectations, i.e., vegetarian diets increased the antioxidant response while suppressing the oxidant response. One explanation might be that the MDA test may not provide accurate measurement in biological samples due to its high reactivity and cross-reactions with other biochemicals available in the body despite its widely usage as an oxidative stress biomarker [88]. Therefore, studies with a greater sample size and including other oxidant parameters are needed to clarify these findings.

In addition to polyphenols, Interleukin 6 (IL-6) has often been identified as an inflammatory biomarker associated with fatigue, skeletal muscle inflammation, and differentiation of immune response, as well as an inducer of the metabolic acute phase response to infection [4,89–91]. It has been suggested that endurance athletes consuming vegetarian diets may have lower IL-6 concentrations and a lower IL-6 increase in response to endurance

performance [4]. These data are explained by the positive interaction between muscle glycogen and IL-6 concentration, based on the information that higher muscle glycogen stores cause lower IL-6 elevations [92]. The higher CHO content of vegetarian diets may increase muscle glycogen stores, resulting in a down-regulated IL-6 response to endurance performance [4]. However, there are no data comparing the vegetarian and omnivorous diets for IL-6 concentration in endurance athletes.

One further point is the possible roles of vegetarian diets in URTI [73]. It is well known that endurance athletes are at greater risk for URTI due to prolonged and excessive training or races that cause immunosuppression and immune deficiency [93]. The possible link between URTI and a vegetarian diet may be explained with an emphasis on its polyphenolic content [94]. Polyphenol supplementation is also preferred in endurance athletes because of its debilitating role in URTI, one of the risk factors that often arise after immunosuppressive endurance exercise. A meta-analysis by Somerville et al. [73] reported that flavonoid supplementation reduced the incidence of URTI by 33% compared to a control group. Researchers also examined all factors that may cause a bias between studies, indicating that the risks for sequence generation, allocation concealment, and reporting bias are unclear in the included studies in the systematic review [73]. On the other hand, in a crossover design, Richter et al. [54] compared the influence of a 6 week LOV diet versus a meat-rich Western diet on in vitro measurements of immunologic parameters in male endurance athletes. The findings reported that no change was detected in CD3<sup>+</sup> (pan T-cells), CD8<sup>+</sup> (mainly T suppressor cells), CD4<sup>+</sup> (mainly T helper cells), CD16<sup>+</sup> (natural killer cells), CD14<sup>+</sup> (monocytes) after the two diet trials and none of the immunological parameters differed from each other after the two diets. Studies have commonly focused more on diet content rather than diet pattern whether vegetarian or omnivorous. Therefore, the potential immunological benefits of vegetarian diets need to be investigated further.

A review investigating the effect of vegetarian diets on cardiovascular health in endurance athletes highlighted that vegetarian diets can provide better cardiovascular protection by reducing plasma lipid levels, exercise-induced oxidative stress, inflammation and blood pressure, and improving endothelial function and arterial flexibility [71]. One cross-sectional study confirmed the information by investigating the difference in heart morphology and function according to the vegan and omnivorous diets in amateur runners [59]. The results showed that vegans had better systolic function, determined by longitudinal strain (vegan: −20.5% vs. omnivore: −19.6%), and diastolic function in vegans, determined by higher E-wave velocities (87 cm/s vs. 78 cm/s), compared to omnivorous athletes [59]. Therefore, we can confirm that vegetarian diets may have a beneficial impact on cardiovascular function; however, we still need further investigation on endurance athletes.

#### Potential Risks of Vegetarian/Vegan Diets

Vegetarian and vegan diets offer several beneficial privileges for athletic populations [9,71]. However, the underlying mechanisms linking vegetarian diets to metabolic processes that may lead to undesirable effects on sports performance and, more importantly, metabolic health, should be considered beyond their beneficial functions [95]. In cases where athletes follow a vegetarian diet, issues related to the micronutrient deficiency, diet's energy availability [96], relative energy deficiency syndrome (RED-S) [11], serum hormones [97,98], and protein quality/quantity [99,100] are topics that need to be addressed first.

Athletes who adhere to vegetarian diets are considered at high risk for deficiency of certain nutrients, especially when their dietary composition is not well-structured [10]. These risks are mainly due to the restriction of some food groups with a high nutrient density such as milk, meat, and eggs, the inability to access vegetarian foods when needed, or the development of early satiety and loss of appetite due to the high fiber content of vegetarian foods [95,101]. Furthermore, due to these dietary restrictions, athletes are at a higher risk for several micronutrient deficiencies including omega-3, iron, zinc, iodine, calcium, vitamin D, and vitamin B<sub>12</sub> [101].

Nebl et al. [102] investigated the food consumption of vegan, lacto-ovo-vegetarian (LOV) and omnivorous (OMN) athletes according to the intake recommendations of the German, Austrian, and Swiss Nutrition Societies for the general population. Most athletes did not reach the recommended energy intake. Although omnivorous athletes consumed lower CHO compared to the recommended intake, vegetarian athletes consumed adequate amounts. For micronutrient intake, vegans achieved adequate iron levels by consuming only foods high in iron, while female LOV and OMN athletes achieved the recommended amount after supplementation. The results showed that all groups consumed enough of most nutrients. However, an analysis of the circulating state of nutrients is also needed to better interpret the effectiveness of dietary intake, particularly for vegetarian athletes [102]. A cross-sectional study by the same researchers [103] then compared the micronutrient consumption of LOV, vegan, and omnivorous recreational runners and found that 80% of each group had adequate vitamin B<sub>12</sub> and vitamin D levels, and these parameters were higher in supplement users. Red blood cell folate exceeded the reference range; however, there was no difference in red blood cell folate among all groups [103]. No iron deficiency anemia was detected in any group, and less than 30% of each group were found to have depleted iron stores. The results suggest that a well-planned vegetarian diet can meet the athlete's iron, vitamin D, and vitamin B<sub>12</sub> needs [103]. These findings have been confirmed in case reports on vegan mountain bikers and ultra-triathletes [56,57]. Additionally, vegetarian diets are often inferior in quality compared to omnivores; this is due to anti-nutritional factors such as trypsin inhibitors, phytate, and tannins in those rich in vegetarian diets [104]. However, these challenges can be overcome by applying pre-cooking techniques described in detail in another review [105]. Therefore, it is obvious that vegetarian diets require careful monitoring in endurance athletes whose energy, macro and micronutrient needs are higher than their omnivore counterparts. However, with a well-planned diet and close monitoring, the nutritional needs of athletes can be successfully met, even ultra-endurance athletes.

Various metabolic risks such as iron deficiency anemia, menstrual disorders, musculoskeletal injuries, immunity, and hormonal irregularities occur in endurance athletes as a result of insufficient energy and nutrient intake following high-intensity endurance performance [106,107]. Relative energy deficiency syndrome has been found more often in vegetarian athletes, which causes endocrine and eating disorders that cause harmful diseases to metabolic health, reduces bone mineral density, and causes menstrual dysfunction [108,109]. Relative energy deficiency syndrome was developed to replace the Female Athlete Triad by broadening the definition to include male athletes and impaired physiological function caused by relative energy deficiency [109]. The key etiological factor of RED-S is a low energy availability which results in, but is not limited to, impairments of metabolic rate, menstrual function, bone health, immunity, protein synthesis and cardiovascular health [109]. In a study, researchers attribute this to either vegetarians' food choices for low-energy-dense, high-fiber foods, even in high-energy situations, or restricted food intake behaviors by indicating dietary rules to mask vegetarians' eating disorders [110]. Since low energy availability has already presented a challenging problem for endurance athletes independent of the diet pattern [111] and even healthy endurance athletes often cannot fully meet their body energy and vitamin requirements [112], nutritional adequacy and the quality of vegetarian diets are often questioned. However, studies examining the nutritional efficiency of vegetarian diets claimed the opposite results. Examining the diet adequacy and performance parameters of a vegan ultra-triathlete with 10 Ironman counterparts, a case report has revealed that a vegan athlete has no nutritional deficiencies or health disorders [56]. Researchers examined the spiroergometric, echocardiographic, or hematological parameters of a vegan ultra-endurance triathlete that has been vegetarian for 22 years and vegan for the past nine years. It has been found that a long-term vegetarian diet is not detrimental to metabolic health for a long-distance triathlete, even at micronutrient parameters associated with anemia. Although being a vegan athlete who consumes a well-planned diet does not have a detrimental impact in terms of cardiometabolic health



and sports performance [56], findings need to be explored with a larger athletic cohort. These findings are similar to those of Wirnitzer et al. [57], who evaluated the food intake of a vegan mountain biker in the Transalp Challenge race (42 h). The researchers have highlighted that a carefully planned vegan diet strategy ensures that the race goals are achieved, and thus the race is completed in a healthy state [57]. Therefore, a well-planned vegan diet can be a great alternative for ultra-endurance athletes who endure extreme conditions such as psychological, physiological, endocrinological, and immunological stress-related metabolic challenges during prolonged training periods. In the last statement by the Academy of Nutrition and Dietetics on vegetarian diets, it was stated that vegetarian diets seemed more sustainable for all stages of life [113]. Researchers have suggested that well-planned vegetarian and vegan diets containing certain micronutrients such as high-quality plant protein, iron, n-3 fatty acids, Zn, Ca, iodine, vitamin B<sub>12</sub>, and vitamin D provide various health benefits regarding diseases such as hypertension, ischemic heart disease, diabetes and obesity [113]. In addition, given the content of vegetarian diets that can contain milk, eggs, or fish, vegetarian diets may be a better option for providing better nutritional density and quality than a vegan diet [99]. It is recommended that vegans carefully monitor blood vitamin B<sub>12</sub> concentrations and supplement their diets, if necessary, with supplements or fortified foods [113]. Vegetarian and vegan nutrition programs should be planned by considering the above-mentioned data.

For many years, there have been claims that vegetarian diets negatively affect serum sex hormones [97,98], but data on the interaction between serum sex hormones and vegetarian diets remain controversial. In a crossover study conducted in 1992, Raben et al. [114] studied the effects of a 6 week lacto-ovo-vegetarian and omnivorous diet on serum sex hormones and endurance performance in eight endurance athletes. Although endurance performance did not differ according to the diet model, serum testosterone levels slightly decreased after six weeks of consuming a lacto-ovo-vegetarian diet. The researchers stated that these results may be related to dietary fiber binding to sex hormones and higher fiber intake in the lacto-ovo-vegetarian diet [114]. Considering the evidence in the literature that testosterone triggers muscle protein anabolism and lean body mass [115], a decrease in testosterone levels would cause an undesirable situation. However, a recent study in men from the national health and nutrition examination survey (NHANES) database, but not on athletes, found that a vegetarian diet did not link to serum testosterone levels [116]. Along with all data, the interpretation of the vegetarian diet as an attenuating factor to sex hormones by disregarding other confounding factors such as age, gender, training intensity, and emotional stress would be inappropriate [116] and needs further investigation.

The issue of the protein quality and quantity of vegetarian diets has long been controversial [99,117]. While some researchers note that vegetarian proteins have some missing specific amino acids [118], others state that including high-quality protein-rich foods such as legumes, seeds, nuts, and grains in a vegetarian diet is sufficient to meet the body's amino acid requirement [119]. A vegan diet structure should be created by examining the protein content of the food consumed, especially in terms of quality and quantity. Determining the dietary protein quality using the Digestible Indispensable Amino Acid Score (DIAAS) method in omnivore and vegetarian athletes, Ciuris et al. [100] analyzed the diet content of 38 omnivore- and 22 vegetarian athletes. Vegetarian athletes had significantly lower lean body mass (LBM) compared to omnivores (−14%). Available protein was significantly correlated with strength ( $r = 0.314$ ) and LBM ( $r = 0.541$ ). The main findings revealed that vegetarian athletes needed to consume an additional 10 g of protein per day to achieve the recommended protein intake of  $1.2 \text{ g} \cdot \text{kg}^{-1}$  body weight (BW) and an additional 22 g of protein to reach  $1.4 \text{ g} \cdot \text{kg}^{-1} \cdot \text{kg}^{-1}$  BW [100]. Data on vegetarian proteins such as hemp, soy, potato, and rice proteins highlight that these vegetarian proteins contain sufficient high-quality protein content to increase muscle protein synthesis and post-workout recovery [119]. Rogerson [10] suggested that vegan athletes could improve their protein intake towards the higher limit of the International Society Of Sports Nutrition's (ISSN) protein recommendation for athletes up to  $2.0 \text{ g} \cdot \text{kg}^{-1}$  body mass per day. However, given

that there is little evidence in the literature that vegetarian proteins are inadequate to provide an athlete's needs or that vegetarian athletes need a higher protein intake [11,117], this recommendation needs further clarification with clinical research.

Additionally, the potential benefits of vegetarian diets are often attributed to their polyphenolic contents [4]. The intake of polyphenols with food may be the best choice for regulating body hormesis in the case of antioxidants due to the fact that polyphenol supplements may compromise the body's antioxidant defense metabolism [87,120]. However, at this point, the bioavailability of polyphenols taken with food comes into question [121]. While some researchers have suggested that the recommended polyphenol intake can be achieved by consuming polyphenol-rich foods or as a polyphenol supplement [122], others claimed that some polyphenols, such as quercetin, cannot be taken naturally with food [123]. Keeping all this in mind, it is necessary to further clarify the possible mechanisms for how the bioavailability of polyphenols in the body and their effects on sports performance change with their consumption naturally.

With all the data obtained from studies, there is currently no certain evidence that omnivorous or vegetarian diets provide better metabolic health and performance benefits [52,53,55–57,59]. Therefore, more research is needed to clarify the optimal dietary recommendations for macro and micronutrients, as well as polyphenols, to maintain health and improve performance in endurance athletes following vegetarian diets.

### 3.2. High-Fat Diets

High-fat diets (HFD) have been widely applied for decades as a treatment option for certain diseases such as epilepsy or as an effective dietary strategy for weight loss [124]. In recent years, these diets have also become widespread in endurance athletes [14–19,21–24,38]. High-fat diets applied in the athletic population are grouped under two main categories: (1) a ketogenic low-CHO high-fat (K-LCHF) diet, and (2) non-ketogenic high-fat (NK-LCHF) diet (described in Table 2). While a ketogenic diet aims to increase blood ketone levels from 0.5 to 3.0 mmol/L, non-ketogenic diets aim to provide potential benefits without reaching higher blood ketone concentrations. Ketosis is considered as a survival mechanism for the body to equilibrate blood glucose during a metabolic crisis, such as a lack of calories or glucose, in fasting conditions, or prolonged exercise and to provide energy to the brain, whose survival depends on ketone body (KB) utilization in case of glucose deprivation [125].

**Table 2.** Types and application processes of new diets applied by endurance athletes.

Type	Other Terms Mentioned in Endurance Sport Research	Definition/Application	Ref.
<b>Vegetarian diets</b>			
Vegetarian diet	Vegetarian diet	Excludes all meats but may allow some animal products.	[99]
Ovo-vegetarian diet	Not detected	Excludes all meat and dairy products from the diet, but allows eggs.	[99]
Lacto-vegetarian diet	Not detected	Excludes all meat and eggs from the diet, but allows dairy products.	[99]
Lacto-ovo vegetarian diet	Lacto-ovo vegetarian diet	Excludes all types of meat from the diet, but allows the consumption of eggs and dairy products.	[99]
Pesco-vegetarian diet	Not detected	Excludes all animal products from the diet except fish.	[99]
Flexitarian diet	Not detected	A diet that flexible in terms of the consumption of animal products and allow to consume them occasionally.	[99]
<b>Vegan diet</b>			
Vegan diet	Vegan diet	Excludes all animal products from the diet.	[99]

Table 2. Cont.

Type	Other Terms Mentioned in Endurance Sport Research	Definition/Application	Ref.
<b>High-fat diets</b>			
Ketogenic low-CHO high-fat diet	Ketogenic diet; low-CHO ketogenic diet; ketogenic low-carbohydrate diet; keto-adaptation; high-fat diet; low-carbohydrate diet; low-carbohydrate, high-fat ketogenic diet	Consists of very low-CHO (20–50·g <sup>-1</sup> day) and high-fat (75–80% of total energy) content with sufficient (15–20%) protein intake, resulting in increased ketone concentrations in blood named ketosis.	[5]
Non-ketogenic low-CHO high-fat diet	Non-ketogenic low-CHO high-fat diet; high-fat diet; low-carbohydrate diet	Consists of low-CHO (15–20% of total energy) and high-fat (60–65% of total energy) content with sufficient (15–20%) protein intake.	[5]
Acute ketone body supplementation	Ketone ester supplementation, ketone salt supplementation, a ketone monoester supplement, ketone diester ingestion, an exogenous ketone supplement	Creates exogenous ketosis, is applied in forms of either ketone salts or ketone esters.	[126]
CHO restoration following fat adaptation	Fat adaptation followed by CHO loading, keto-adaptation and glycogen restoration	A diet that is consumed a high-CHO diet for 1–3 days, and followed by a ketogenic or non-ketogenic high-fat diet for 5 to 14 days.	[5]
<b>Intermittent fasting diets</b>			
Complete alternate-day fasting	Intermittent fasting	Includes alternate fasting days (does not allow foods and drink consumption), and eating days (allow food and drink consumption ad libitum). Includes a nocturnal fasting period of 16/18/20 h and an ad libitum-eating period of 8/6/4 h, (e.g., 5:2 diet, which includes 5 days (allows for food and drink consumption ad libitum) and 2 non-consecutive days (allows the consumption of 20–25% of energy needs ad libitum)).	[127]
Modified fasting	Not detected		[127]
Time-restricted eating	Time-restrictive eating (16/8)	Allows food or beverages at certain time periods, including regular, extended intervals (e.g., 16:8 diet with 16 h of fasting without energy intake and 8 h of food intake ad libitum).	[127]
Religious fasting	Ramadan intermittent fasting, Ramadan fast, Ramadan fasting	Comprises several fasting regimens based on specific religious and spiritual purposes (e.g., Ramadan fasting involving a fasting period from sunrise to sunset).	[127]
<b>Gluten-free diet</b>		Complete exclusion of gluten and gluten-containing products.	[128]
<b>Low-FODMAP diet</b>			
Long-term FODMAP elimination	A low-FODMAP diet, low-FODMAP foods	- (1) FODMAP restriction for 2 to 6 weeks from the athletes' diet. - (2) reintroduction the restricted high-FODMAP foods step by step. - (3) individualize the athletes' diet according to response against the first and second stages.	[129]
Short-term FODMAP elimination	24 h low-FODMAP diet	A strict FODMAP diet for 1 to 3 days before intensive training or races.	[129]

CHO: carbohydrate, FODMAP: fermentable oligosaccharides, disaccharides, monosaccharides and polyols.

In HFD studies on endurance athletes, K-LCHF diets have been commonly applied for diet periods ranging from three to 12 weeks [16–19,21–23,38]. Two studies, a case report (a 10 week K-LCHF diet) [21] and a cross-sectional study (a 20-month K-LCHF diet) [24], examined the effects of longer-term ketogenic diets on performance. For NK-LCHF diets, three studies, two crossover (a 2 week NK-LCHF diet) [26,28] and a cross-sectional (6-mth NK-LCHF diet) study [27], also investigated the impact of NK-LCHF diets on performance and lipoprotein profiles in endurance athletes (Detailed in Table 1). Besides these ketogenic diet applications, acute [30–39] or long-term [130] administration of KBs (in a ketone ester (KE) or ketone salt (KS) form) and CHO restoration following keto-adaptation [26,40–43,45–47] have also been evaluated in endurance athletes. Additionally, studies have been conducted to investigate the effects of an acute pre-exercise high-fat meal [51], and a short-term (1.5 days) fat supplementation during high-CHO diet

administration [49,50]. In this section, we will discuss these high-fat studies in detail, with all their beneficial and harmful consequences for endurance athletes.

### 3.2.1. Potential Beneficial Aspects of High-Fat Diets

High-fat diet administration has taken place in endurance athletes with the aim of improving the utilization of fatty acids and KB [14,19,20,24–26,28,32–36,41–43,45–47,49–51], sparing muscle glycogen stores [24,37,42,44,46,47], increasing weight loss, especially body fat mass [14,19,21,28], improving aerobic capacity [28], improving time to exhaustion [26,51] and time-trial performance [33,46,131], regulating performance-related parameters [34,36,39], increasing cognitive performance [38], regulating exercise-associated immunologic and hormonal response [15,22,30], increasing cellular gene expression [132], and attenuating overreaching syndrome [130].

One of the main goals of applying a high-fat diet to improve performance is to increase the body's ability to use KB and fatty acids as an energy source [14,19,20,24–26,28,32–36,41–43,45–47,49–51]. The enhancement of the body's ability to use KB as an energy source generally occurs in two type manipulations: (1) By restricting dietary CHO intake for a prolonged time, the body adapts metabolically to using KB instead of glucose; this process is called keto-adaptation [24]. (2) Acute KB supplementation instantly changes fuel usage from CHO to KB [30–39,130].

Improvement of fat utilization to fuel, especially during prolonged exercise, may provide advantages for endurance athletes, including the glucose-sparing effect that, in particular, has vital importance for the brain during times of glucose depletion [133]. While the intramuscular triglyceride stores are predominantly preferred to provide energy during low- to moderate-intensity exercise (50–75%  $\text{VO}_2\text{max}$ ), in moderate to vigorous-intensity exercises (>75%  $\text{VO}_2\text{max}$ ), muscle glycogen is used as the primary substrate to obtain energy provisions [134]. However, since the substrate utilization highly depends on the diet pattern, keto-adaptation results in a shift from glycogen to FFA or KBs, even during high-intensity exercises [21]. A number of studies such as K-LCHF [14,15,19–21,24,25] and NK-LCHF trials [26,28], acute KB administration [32–36,39], keto-adaptation followed by CHO loading [41–43,46], and pre-workout HF meal administration [51] proved that fat oxidation significantly increased at rest and during exercise after HFD applications. Only studies practicing the short-term fat administration during high-CHO diet administration in trained male cyclists revealed that overall fat oxidation did not alter during prolonged exercise and during submaximal or one hour time-trial (TT) exercise training [49,50]. However, one of the studies noted that fat oxidation significantly increased regardless of diet [50], while another highlighted that intramyocellular lipid utilization increased 3-fold in the fat supplemented group [49]. Taking all studies together, it seems that all applications aiming to increase fat ingestion provide better fat and KB utilization in the body, especially during exercise. This metabolic advantage appears to be unique for enhancing endurance performance.

However, along with the changes in substrate utilization towards fatty acids and KBs, KD might not be advantageous for exercise that highly relies on anaerobic metabolism and requires glucose flux such as short-duration exercise or long-duration exercise with interval sprints. In a randomized, crossover study in trained endurance athletes, it was stated that a 5 day fat adaptation followed by 1 day CHO restoration caused a decrease in glycogenolysis and PDH activation [47]. The findings suggested that this dietary manipulation could result in an increase in the NADH/NAD<sup>+</sup> ratio or the Acetyl-CoA/CoA ratio, which could result in sustained attenuation of PDH activity and impaired glycolysis metabolism. Further research should be elucidated on the possible interaction between impaired glycolysis metabolism and ketogenic diets on prolonged exercise with anaerobic metabolism or high-intensity intermittent exercise.

As it is well known that depleting glycogen stores is one of the major causes of fatigue during endurance exercise [2], HFD also aims to reduce muscle glycogen utilization to ensure CHO availability for longer periods of time during endurance training. Although

one study on endurance-trained male cyclists showed that muscle glycogen utilization significantly decreased after a 10 day fat adaptation followed by 3 day CHO restoration trial compared to a high-CHO trial [46], others investigating muscle glycogen utilization claimed that no difference was observed between the intervention and the control trial [24,37,42,47]. In addition, a cross-sectional study on male endurance runners stated that muscle glycogen utilization did not alter after an average of a 20-month K-LCHF or high-carbohydrate (high-CHO) diet. Therefore, studies on HFD and its “muscle glycogen sparing effect” remain controversial. We cannot conclude that HFD provides an advantage to spare muscle glycogen during endurance training. Further work is needed to assess muscle glycogen utilization.

K-LCHF diets might be an effective option for athletes who aim to lose body weight (BW) and body fat while sparing muscle mass [14,19,21,28]. A crossover study assessing the effects of a long-term (4 week) K-LCHF diet rich in polyunsaturated fatty acids on aerobic performance and exercise metabolism in trained off-road cyclists revealed that BW and body fat percentage decreased after long-term KD [28]. It was also stated that the long-term K-LCHF diet improved maximum oxygen consumption and decreased post-exercise muscle damage. The findings suggest that a long-term K-LCHF diet may provide advantages to both body composition and endurance performance. However, another study claimed that long-term KD (for 12 weeks) caused a decrease in both body fat percentage (5.2%) and body mass (5.9 kg) in endurance-trained athletes [14]. However, results also showed that although long-term KD resulted in improved body composition, it had no impact on 100 km TT performance. Consistent with this study, Heatherly et al. [19] investigated the impact of a 3 week ad libitum ketogenic diet on markers of endurance performance in recreationally competitive male runners. Results showed that the body composition of subjects positively changed with a decrease of ~2.5 kg BW and skinfold thickness occurring at multiple sites in the trunk region. However, KD did not affect exercise-induced cardiorespiratory, thermoregulatory, and perceptual responses and 5 km TT performance, and perceived exertion [19]. Findings indicate that KD may be an alternative strategy for reducing fat mass regardless of endurance performance.

On the other hand, Zinn et al. [21] investigated the 10 week ketogenic diet experiences of five endurance athletes and the effects of this diet on body composition and exercise performance. Although body mass and the sum of skinfolds were reduced by an average of 4 kg and 25.9 mm, respectively, endurance athletes experienced an inability to maintain high-intensity exercises during this period [21]. These findings raised doubts about the use of KD for weight loss in endurance athletes. In addition to that, a recent study compared the efficiency of two energy-reduced ( $-500 \text{ kcal}\cdot\text{day}^{-1}$ ) diets, including a cyclical ketogenic reduction diet (CKD), defined as a high-fat low-CHO ( $>30 \text{ g}\cdot\text{day}^{-1}$ ) diet for five days, followed by a high carb diet (8–10 g/body FFM) for two days, and a nutritionally balanced reduction diet (RD), a typical diet containing 55% CHO, 15% protein, and 30% fat, on body composition and endurance performance in healthy young males [135]. Results revealed that both diets reduced body weight and body fat mass. However, while CKD-related weight loss is due to decreased body fat, body water, and lean body mass, RD leads to a reduction in body weight mainly by reducing body fat mass [135]. Among all of these findings, one should note that adherence to a weight loss diet is major factor in achieving a target that does not significantly require KD consumption.

Several studies determined the potential impact of HFD on aerobic capacity [16,17,20,23,25,28,39]. It is well known that  $\text{VO}_2\text{max}$  is referred to as a gold standard method to measure aerobic fitness [136]. Therefore, studies on KD, N-KD, and acute KE ingestion in endurance athletes stated that these diet manipulations had no effect on  $\text{VO}_2\text{max}$  performance [16,17,20,23,25,39], except for a 4 week KD study on off-road cyclists by Zajac et al. [28]. Studies arguing that HFD was ineffective on aerobic capacity also showed that this HFD caused a decrease [16,23] or no change [25,39] in TT performance, and no alteration in time-to-exhaustion (TTE) performance [20]. Therefore, HFD seemed to fail to increase aerobic capacity and endurance in endurance athletes.

Researchers evaluated multiple performance-related factors such as TT performance [14, 19,23,25,29,33–36,38,39,41–43,45,46,48–50], TTE performance [18,20,21,26,31,37,51], lactate concentration during exercise [33,34,36,39], and post-exercise muscle damage [28] to determine the effects of HFD on sports performance. While research on TT and TTE performance in endurance athletes revealed controversial results, the majority of the studies declared that no alterations were observed in TT [14,19,25,29,34,36,38,39,41–43,45,49,50] and TTE [18,20,26,31,37] performance after the HF-associated applications. Additionally, two well-controlled studies of Burke et al. [16,23] underlined that a 3.5 week K-LCHF diet not only decreased 10 km race walk performance, but also increased oxygen cost and perceived exertion throughout exercise. These findings suggest that HFD has no advantage or may even negatively affect exercise performance. However, some points should be taken into account when interpreting these findings. Five of eight studies on perceived fatigue during endurance performance revealed that no differences were detected between HFD and control trials [18,19,38,39,49]. Similar results were also observed in studies on lactate concentration during exercise [34,36,39]. It seems that HFD altered neither perceived exertion nor plasma lactate concentrations. Another important point for endurance performance is the maintenance of blood glucose concentration during exercise [38]. Changes in blood glucose levels during exercise were investigated in acute KB ingestion trials [34,36,38,39]. Three of four studies indicated that blood glucose concentrations were maintained during endurance exercise and were found to be similar between control groups [34,38,39].

Although these results are promising, blood glucose changes should also be examined in studies involving HFD manipulations. Additionally, a crossover study evaluating the efficiency of a 4 week NK-LCHF diet application on off-road cyclists stated that blood CK and LDH concentration, known as muscle damage biomarkers, significantly decreased at rest and during the 105 min exercise protocol in the NK-LCHF diet trial [28]. These findings also appear promising. It should be noted that studies reporting that TT or TTE performance did not change after HFD interpreted the study results based on statistical significance. It should also be noted that, although considered as statistically insignificant, a few minutes can be crucial in winning a race. Therefore, this point should be considered when interpreting the study results. Lastly, for post-exercise recovery, Volek et al. [24] indicated that long-term (at least 6 months) LCHF diets resulted in an increased fat oxidation rate and a higher peak exercise intensity in endurance athletes compared to counterparts consuming high-CHO low-fat diets. Moreover, although the LCHF diet group consumed 10% CHO, whereas the habitual high-CHO group consumed 59% CHO, there was no difference between the LCHF and high-CHO low-fat diets for 2 h post-exercise recovery [24]. These results suggest that long-term LCHF diets can improve post-exercise recovery, especially in ultra-endurance events where the glycogen-sparing effect and adequate post-exercise recovery are crucial for a better performance. Keeping all these findings in mind, although studies on TT and TTE performance mostly found no advantages of HFD or revealed controversial results, performance-related parameters may be positively affecting the HFD. More work is required to clarify this information.

Ketone body consumption in endurance athletes may increase endurance performance by up-regulating physiological parameters and increasing metabolic efficiency [126]. For instance, Cox et al. [33] conducted comprehensive research including five separate studies on the effect of ketone esters (KE) on the performance of 39 endurance athletes. Twenty minutes after consumption of the ketone ester-based drink, blood ketone concentrations rapidly increased to 2 mmol/L and remained high with a slight drop, reaching a new steady state approximately 30 min following subsequent exercise at 75%  $W_{max}$  exercise intensity. Findings from the study showed that acute nutritional ketosis caused by the consumption of KE resulted in metabolic improvements in endurance performance by enhancing metabolic flexibility and energy efficiency, rapidly altering substrate utilization towards ketone bodies for oxidative respiration, sparing intramuscular BCAA concentration by reducing BCAA deamination, increasing muscle fat oxidation even though in the

presence of glycogen, and decreasing blood lactate levels during exercise [33]. On the other hand, most of the studies (6 of 10) applying acute KB intake showed that this practice did not improve TT [34,36,38,39] and TTE performance [31,37]. Study findings remain unclear, and the impact of KB on exercise performance needs further clarification.

The efficacy of HFD on cognitive performance has been investigated in studies on acute KE [39] and KS [38] administration and fat-enriched feeding during high-CHO diet administration [50]. Prins et al. [38] administered one (22.1 g) or two (44.2 g) servings of KS or placebo to recreational male distance runners 60 min before a 5 km TT performance, and noted a possible dose–response interaction between KS supplementation and cognitive performance. On the other hand, studies including acute KE administration [39] and fat-enriched feeding during high-CHO diet administration [50] showed no alteration in cognitive performance. A study applying the high-CHO diet supplemented with fat on trained male cyclists highlighted that a possible explanation for this result is that the study protocol, including 1 h of fixed-task simulated TT performance, may not be sufficient to create mental fatigue [50]. However, the study on acute KE intake found similar results despite applying an exercise protocol (1 h submaximal exercise at 65%  $\text{VO}_2\text{max}$  followed by a 10 km TT) that caused more fatigue [39]. Taken together, studies did not confirm the exact efficiency of HFD on cognitive performance and the interaction needs further investigation.

Few studies investigated the potential influence of HFD on immunologic and hormonal response in endurance athletes [15,22,30]. Assessing the impact of acute (2 day) and prolonged (2 week) adherence to a K-LCHF diet on exercise-induced cortisol, serum immunoglobulin A (s-IgA) responses in a randomized, crossover manner, researchers indicated that a lower cortisol response at week 2 was observed compared to day 2 in the K-LCHF trial ( $669 \pm 243$  nmol/L vs.  $822 \pm 215$  nmol/L, respectively) [15]. However, a better exercise-induced cortisol response was found in the HCF trial at both day 2 and week 2 ( $609 \pm 208$  nmol/L and  $555 \pm 173$  nmol/L, respectively). Additionally, no differences in s-IgA concentrations were observed at week 2 between the K-LCHF diet and high-CHO diet [15]. Another study by Shaw et al. [30] determined the impact of acute KE supplementation (R,S-1,3-butanediol (BD);  $2 \times 0.35$  mg·kg<sup>-1</sup> BW; 30 min before and 60 min after exercise) on the T-cell-associated cytokine gene expression within stimulated peripheral blood mononuclear cells (PBMC) following prolonged, strenuous exercise in trained male cyclists. No alteration was detected in serum cortisol, total leukocyte and lymphocyte, and T-cell subset levels, IL-4 and IL-10 mRNA expression, and the IFN- $\gamma$ /IL-4 mRNA expression ratio between the KE and placebo trials during exercise and recovery. However, a transient increase was observed in T-cell-related IFN- $\gamma$  mRNA expression throughout exercise and recovery in the KE trial. Results indicated that acute KE supplementation may provide enhanced type-I T-cell immunity at the gene level [30]. The same researchers investigated the potential effect of a 4.5 week K-LCHF diet on resting and post-exercise immune biomarkers in endurance-trained male athletes in a randomized, repeated-measures, crossover manner [22]. T-cell-related IFN- $\gamma$  mRNA expression and the IFN- $\gamma$ /IL-4 mRNA expression ratio within multiantigen-stimulated PBMCs were greater in the K-LCHF trial compared to the high-CHO trial. Furthermore, a significant rise was observed in the multiantigen-stimulated whole-blood IL-10 production, an anti-inflammatory cytokine, post-exercise in the K-LCHF trial. The results indicated that a 4.5 week K-LCHF diet caused an increase in both pro- and anti-inflammatory T-cell-related cytokine response to a multiantigen in vitro [22]. Keeping the studies on immunologic and hormonal response to HFD in mind, although post-exercise pro- and anti-inflammatory T-cell-related cytokine response alters after a K-LCHF diet or acute KE supplementation, it remains uncertain how these alterations influence the immunoregulatory response. Therefore, more work is required to elucidate the interaction by adding clinical illness follow-up and tracking immunomodulatory metabolites using metabolomic approaches.

Antioxidant specialties of HFD may be discussed on the basis of KB [124]. Antioxidant activity of KBs is one of the multidimensional properties that determine their metabolic

activity in the body. The main potential antioxidant properties of KB are mainly explained by its effects on neuroprotection, inhibiting lipid peroxidation and protein oxidation, and improving mitochondrial respiration [137]. However, as there is no study investigating the impact of KB on exercise-induced oxidative stress in endurance athletes and the evidence on the impact of KB on exercise-induced oxidative stress is limited, future studies in this field are needed.

Another therapeutic benefit of KD may be linked to increased Fibroblast Growth Factor 21 (FGF21) [132]. Fibroblast Growth Factor 21 acts as the primary regulator of skeletal muscle keto-adaptation by increasing activation of the AMP-activated protein kinase (AMPK)—sirtuins 1 (SIRT1)—peroxisome proliferator-activated receptor coactivator 1 (PGC-1) pathway, resulting in increased mitochondrial biogenesis, development of IMTGs, and ketolytic gene expression [138]. However, in a study on 5-d fat adaptation followed by 1-d CHO restoration, a significant decrease was observed in the exercise-induced AMPK-1 and AMPK-2 activity in the fat-adapted trial despite the higher AMPK-1 and AMPK-2 activity before exercise. Therefore, more work is required to interpret the possible interaction accurately.

Ketone bodies may have a particular metabolic advantage, not only providing a source of oxidizable carbon to maintain energy needs but also acting as a potential regulator of overtraining by directly regulating autonomic neural output and inflammation [139,140]. One study applying three weeks of KE intake during prolonged extreme endurance training investigated the effects of KE on overreaching symptoms [130]. Ketone ester ingestion significantly increased sustainable training load (15% higher than the control group), and prevented the increase in nocturnal adrenaline and noradrenaline excretion induced by strenuous training [130]. These findings suggest that KE supplementation during exercise substantially reduces the development of overreaching, which is a detrimental factor for endurance performance. In addition, growth differentiation factor (GDF-15), an established biomarker for nutritional and cellular stress, increased 2-fold less in the KE group than the control group. However, this study was conducted on healthy, physically active males, and it is not exactly known whether the same effects can be achieved in endurance athletes [130]. For this reason, it is necessary to examine the same mechanism, especially on endurance athletes with intense and frequent training periods.

### 3.2.2. Potential Risks Regarding High-Fat Diets

Some researchers have also investigated HFD's potential risks on endurance, including an increased oxygen cost and an impaired running economy [16,23], an altered blood acid-base status [17,31], compromised gastrointestinal (GI) symptoms [32,34,35,37,48], reduced bone formation markers [40], increased cholesterol and lipoprotein levels [27], a decreased appetite [37], and thereby worsened performance.

The deterioration of the running economy and increased oxygen cost during endurance exercise are considered to be major potential disadvantages of HFD. Burke et al. [16,23] demonstrated with two separate studies in elite race-walkers that a 3 week K-LCHF diet during intensity training impaired endurance performance by decreasing exercise economy, which has vital importance in endurance performance, despite enhancing peak aerobic capacity ( $VO_2$ peak). Another study by Burke et al. claimed that although KD elevated glycogen availability, it still impaired endurance performance mainly by blunting the CHO oxidation rate [141]. In addition, LCHF diets can also impair endurance performance by increasing perceived fatigue [15,16,23]. The reason why K- LCHF diets cause increased fatigue is thought to be a gradual increase in non-esterified fatty acids (NEFAs) with the LCHF diet [142]. Non-esterified fatty acids compete with the tryptophan, a neurotransmitter highly associated with the central fatigue, for binding to albumin, thus resulting in an increase in free tryptophan transfer from the blood–brain barrier towards the brain. However, as we discussed above, the majority of studies found no alteration in perceived exertion during endurance performance [18,19,38,39,49].



Studies on well-trained endurance athletes revealed that neither keto-adaptation nor CHO restoration followed by keto-adaptation improves endurance performance, especially at multistage ultra-endurance events with intermittent sprints [42,45]. For instance, investigating the impact of a 6 days high-fat (68% fat) diet followed by 1 day CHO loading or high-CHO diet (68% CHO) for seven days on performance parameters during the 100 km time trial, Havemann et al. [45] found that 100 km time trial performance assessed by heart rate, perceived exertion, and muscle recruitment did not differ between groups; however, the 1-km sprint power output decreased more in the high-fat diet group than in high-CHO counterparts. Although an improvement was expected in high-intensity sprint bouts after an NK-LCHF diet due to its sparing effect on muscle glycogen, the findings revealed the opposite, decreasing the high-intensity sprint performance, a crucial parameter for endurance performance [45]. On the contrary, McSwiney et al. [14] also evaluated the impact of K-LCHF diets on 100 km TT performance and 6 s sprint peak power, indicating that although TT performance did not differ between the K-LCHF diet and high-CHO diet groups, 6 s sprint peak power significantly increased ( $+0.8 \text{ W}\cdot\text{kg}^{-1}$  rise) compared to the high-CHO group ( $-0.7 \text{ W}\cdot\text{kg}^{-1}$  decrease). More research is required to clarify these contradictory results.

Maintaining the acid-base balance in the body during exercise, especially during strenuous exercise, is important to delay acidosis and fatigue and thus to maintain endurance performance [143]. Exercise is a well-known factor that alters the acid-base state [143]. In addition to exercise, the macronutrient composition of dietary patterns can also affect acid-base balance and systemic pH and  $\text{HCO}_3^-$  levels [31]. Some researchers claimed that HFD can alter circulating acidity by increasing acidic KB circulation in the body [144], while others state that acid-base balance can be well regulated by improving the adaptive mechanisms, regardless of diet [17]. The potential effect of HFD on blood acid-base status, blood pH, and  $\text{HCO}_3^-$  concentrations was evaluated in only two studies of endurance athletes. The potential effect of HFD on blood acid-base status, blood pH, and  $\text{HCO}_3^-$  concentrations was evaluated in two studies, one evaluating a 3 week ketogenic diet [17] and the other an acute KE intake in endurance athletes [31]. The study findings showed that neither K-LCHF diet nor acute KE intake affected blood pH and  $\text{HCO}_3^-$  status and acid-base status [17,31]. One explanation is that both studies included well-trained endurance athletes. It is suggested that well-trained athletes can regulate the body acid-base balance well regardless of the diet by developing a metabolic adaptation to strenuous exercise. Therefore, the potential effect of HFD on acid-base status can be interpreted as negligible when applied to well-trained endurance athletes.

Gastrointestinal symptoms triggered by an HFD have commonly been seen during KB consumption [32,34,35,37]. A study investigating the kinetics, safety and tolerability of KB revealed that ketone esters may only cause GI symptoms when high doses ( $2.1 \text{ g}\cdot\text{kg}^{-1}$ ) are consumed [145]. However, although studies administered a low-dose KE in endurance athletes, the findings stated that acute KE ingestion caused an increase in low to severe GI symptoms, including nausea, reflux, dizziness, euphoria, and upper-abdominal discomfort [32,34,35,37]. One study by Dearlove et al. [32] compared the dose–response interaction between acute low- or high-dose KE ingestion ( $0.252 \text{ g}\cdot\text{kg}^{-1}$  vs.  $0.75 \text{ g}\cdot\text{kg}^{-1}$ , respectively) and GI symptoms. Findings showed that no GI discomfort was observed in the low-dose KE ingestion, while nausea symptoms were elevated in the high-dose KE trial. Although the high dose administered in this study ( $0.75 \text{ g}\cdot\text{kg}^{-1}$ ) remained much lower than the high dose ( $2.1 \text{ g}\cdot\text{kg}^{-1}$ ) that was claimed to cause GI symptoms, it still caused exercise-induced nausea in endurance athletes. In addition, Mujika [48] investigated the race performance and GI symptoms of a LOV male endurance athlete who adhered to an LCHF diet for 32 weeks. The athlete participated in three professional races while on the LCHF diet in weeks 21, 24, and 32. Although he suffered worse race experiences on the LCHF diet, no alteration was observed in GI symptoms. This result may be due to the athlete's adaptation to the ketogenic diet [48]. Taken together, while long-term keto-adaptation may inhibit the increase in GI symptoms, it should be taken into account when applying to endurance

athletes that acute KE intake may be disadvantageous on exercise-induced GI symptoms. Interestingly, Zinn et al. [21] showed that endurance athletes suffered from constipation during the diet application after a 10 week K-LCHF diet, which might be important for the gut microbiome and well-being. This possibility may also be kept in mind while applying a ketogenic diet. In case of a similar situation, fiber and water intake should be calculated and closely monitored to eliminate constipation-associated problems.

Another less-studied potential disadvantage of HFD is its potential impact on decreasing appetite [37], bone formation markers [40], and increasing cholesterol and lipoprotein profile [27]. A randomized, crossover study evaluating the effects of acute KE ingestion early in a cycling race on glycogen degradation in highly trained cyclists showed a significant attenuation in the perception of hunger, determined using a validated 10-point visual analog scale [37]. This potential effect of HFD on appetite should be taken into account, especially during HFD administration planned for long-term application.

Heikura et al. [40] investigated the effects of a 3.5 week K-LCHF diet followed by CHO restoration on bone biomarkers in male and female race walkers. Their findings showed a meaningful increase in bone resorption markers at rest and post-exercise while a significant attenuation in bone formation markers at rest and throughout exercise in K-LCHF diet trial occurred. However, these alterations partially recovered after CHO restoration [40]. As only one study investigated the interaction between bone markers and ketogenic diets in endurance athletes, and a recent narrative review on ketogenic diets and bone health noted that we do not have enough high-quality experimental research to adequately clarify the potential disadvantages of ketogenic diets on bone health, we need more high-quality research on this topic.

Only one cross-sectional study of 20 competitive ultra-endurance athletes investigated the interaction between a long-term low-CHO diet and the circulating lipoprotein and cholesterol profiles [27]. Although a higher level of exercise tended to lower total and LDL-C concentrations, a hypercholesterolemic profile was observed in ultra-endurance athletes who adhered to a low-CHO diet, suggesting that a possible explanation may involve an expansion of the endogenous cholesterol pool during keto-adaptation and may remain higher on a low-CHO diet. Further, a higher consumption of saturated fat (86 vs. 21 g·day<sup>-1</sup>) and cholesterol (844 vs. 251 mg·day<sup>-1</sup>), and lower fiber intake (23 vs. 57 g·day<sup>-1</sup>) may be another cause of these hypercholesterolemic profiles of ultra-endurance athletes [27]. However, due to the small sample size ( $n = 20$ ) and the lack of checking for familial hypercholesterolemia or specific polymorphisms [27], future work is needed to evaluate this interaction in depth.

Another possible pathway is that KD high in protein causes an increase in ammonia, thereby altering both brain energy metabolism and neuronal pathways, thus triggering central fatigue [146]. Both NEFA and ammonia may lead to increased central fatigue during exercise in endurance athletes adopting KD [142]. The interaction between the gut-brain axis can have critical importance to reveal performance- and, especially, fatigue-related metabolism during endurance events [147]. However, none of the HFD studies on endurance athletes studied the gut-brain axis, increased ammonia concentration, or endurance performance. Another point regarding a high protein intake during KD is that a high protein consumption can disrupt ketosis by providing gluconeogenic precursors, thus inducing gluconeogenesis [148]. Therefore, moderate protein consumption is generally recommended during KDs. As we know that endurance athletes tend to consume more protein intake (1.2–2.0 g·kg<sup>-1</sup> BW·day<sup>-1</sup>) [149], this important effect of protein on ketosis should be kept in mind during the KD administration periods.

There are some important points that need to be considered before applying an HFD in endurance athletes. During NK-LCHF diet applications, the metabolic adaptation of muscle may evolve towards oxidation of fat as the primary energy source (maximum fat oxidation rate (fat max) from 0.4–0.6 g·min<sup>-1</sup> to 1.2–1.3 g·min<sup>-1</sup>) [139]. However, glycogen stores may not provide enough glucose to power the brain, thus increasing fatigue [150] and decreasing endurance performance. For this reason, the adaptation period should

be chosen carefully in order to alleviate the side effects of transition periods. Phinney et al. [20] noted that ketogenic high-fat diets may impair performance at first (a reduction of approximately 20%), but improvements in performance (up to a 155% increase) can be observed after metabolic adaptation to the ketogenic state.

Another important point that needs to be considered while planning further studies on HFD is to evaluate blood ketone concentration at frequent intervals during the study application period [151]. A review investigating the role of ketone bodies on physical performance found that 7 out of 10 studies included in the review failed to reach BOHB concentrations at the 2 mmol/L threshold, but only caused an acute ketosis state (B-OHB > 0.5 mmol/L) [151]. Another significant point is which KB type should be used [152]. The impact of ketone bodies on metabolism differs according to the type (ester-based form or salt-based form), and optical isoform (e.g., L or D isoforms of BOHB) consumed [137]. For example, D- $\beta$ OHB is produced from acetoacetate (AcAc), released by the liver, and is actively used in metabolic pathways [153], while L-OHB is an intracellular metabolite known for having less activity in oxidative metabolism [150]. Therefore, L- $\beta$ OHB supplementation may not provide the performance-related benefits of ketone bodies. These results explain that the specific effect of KD or KB on physical performance awaits further investigation, as most studies of KB failed to achieve the required ketone concentrations or applied ineffective KB to enhance endurance performance [152].

To conclude, there are several HFD strategies, as discussed in detail above, practiced by endurance athletes. However, while these diets may provide performance and health benefits, they are sometimes not effective at all or create many problems for endurance athletes. In addition, the physiological response to acute (exogenous) or endogenous nutritional ketosis may vary between highly trained endurance athletes and untrained individuals [140]. Therefore, it should be noted that these strategies may not be suitable for all endurance athletes. At first glance, while high-fat diets may seem like a promising approach to endurance performance, more research is needed to keep in mind all study results.

### 3.3. Intermittent Fasting

Intermittent fasting (IF) is defined as a period of voluntary withdrawal from food and beverages. It is an ancient approach that is implemented in different formats by different populations around the world [154]. Intermittent fasting diets have become more prevalent in recent years, including the scientific literature investigating the metabolic interaction between IF and health, as well as in the media and among the public [127]. Intermittent fasting diets are divided into four groups: (1) complete alternate-day fasting, (2) modified fasting, (3) time-restrictive eating and (4) religious fasting such as Ramadan IF (R-IF) (explained in detail in Table 2) [127].

#### Intermittent Fasting and Sports Performance

##### Possible Benefits of Intermittent Fasting in Endurance Athletes

Studies on IF in endurance athletes have often been conducted during the religious fasting period (R-IF) [60,61,63,64], with few studies investigating the effects of time-restrictive eating (16:8) on endurance performance and health-related effects [62,65]. Fasting diets may alter metabolic pathways in the body by acting as a potential physiological stimulus for ketogenesis [155], regulating metabolic, hormonal and inflammatory responses [61], and stimulating mitochondrial biogenesis and suppressing mTOR activity [155], and regulating body composition [62,65].

Energy restriction/fasting for more than 12 to 16 h leads to a metabolic switch in basic energy fuels from carbohydrates to fats, resulting in metabolic ketosis, the same as the ketogenic diets [155]. These KD-like alterations in substrate uses are believed to serve as an inductor for fat oxidation, and a preservative for muscle mass and function [156].

The effect of fasting diets on muscle cells is generally known to be similar to aerobic exercise, including stimulation of mitochondrial biogenesis and suppression of mTOR

activity [157]. However, the main mechanism on fasting diets is driven by fatty acid metabolism and peroxisome proliferator-activated receptor delta (PPAR-d), instead of  $Ca^{2+}$ , which is known to be effective in aerobic exercise [155]. Although the main mechanism on muscle cells differs between exercise and fasting diets, research findings suggest that application of a fasting diet along with exercise could switch cellular metabolism from glucose to ketone bodies [156], thereby inducing ketone utilization, which might, in turn, trigger mitochondrial biogenesis and preserve muscle mass [158]. Although the potential benefits of IF on mitochondrial biogenesis and mTOR activity appear promising, no study has investigated these metabolic interactions in endurance athletes adhering to IF.

The impact of R-IF on hormonal, metabolic and inflammatory responses is a less-studied point in terms of IF diets. In a study on middle-distance runners, Chennaoui et al. [61] examined the effects on R-IF on the hormonal, metabolic and inflammatory responses in a pre–post-test study design. Researchers applied a maximal aerobic velocity test 5 days before, 7 and 21 days after Ramadan. No change was observed in the testosterone/cortisol ratio during the RIF trial. A significant rise was reported in IL-6, adrenaline, and noradrenaline concentrations after the RIF; however, all parameters returned to baseline levels 7 days after exercise [61]. More work is needed to interpret these results effectively.

Another aspect of IF is its impact on the body composition of endurance athletes. Studies on endurance athletes and TRE (16:8) revealed that TRE caused a meaningful decrease in BW and body fat percentage in endurance athletes [62,65]. Moro et al. [62] claimed that although  $VO_{2max}$  and endurance performance did not change after a 4 week TRE, a meaningful rise in the peak power output/BW ratio was due to the BW loss. However, another study showed a decrease in TT performance (−25%) and no improvement in running efficiency after R-IF in well-trained middle-distance runners [65]. Taking these studies into account, although IF may provide some benefits by decreasing BW and body fat percentage, we cannot assume that it positively affects endurance performance.

#### Risks to Be Considered When Applying Fasting Diets

Potential risks of IF diets are reduced endurance capacity [60], increased fatigue [61,63], altered sleep habits (i.e., delayed bedtime, decreased sleep time) [61,63,64], and dehydration [159] in endurance athletes.

Studies on IF diets and endurance capacity and performance-related parameters have produced conflicting results in endurance athletes [60,62,64]. Both R-IF and TRE studies on endurance athletes stated that IF diets had no influence on the aerobic capacity, determined by  $VO_{2max}$  [60,62,64]. Additionally, one study on TT performance and R-IF in well-trained middle-distance runners showed that R-IF caused a decrease in TT performance [60]. However, another study determining the impact of the CHO mouth rising technique on 10 km TT performance declared that the CHO mouth rising technique provided benefits by increasing 10 km TT performance [64]. For TRE and endurance performance, Moro et al. [62] revealed that a 4 week TRE had no impact on endurance performance. As for evaluating performance-related parameters, several researchers investigated the exercise-induced fatigue, blood lactate, glucose, and insulin concentrations in endurance athletes [61, 63–65]. Exercise-induced fatigue, as determined by the Fatigue score [61] and the Rated Perceived Exertion (RPE) Scale [63], increased after a maximum aerobic speed test and an intensive endurance training, while it decreased significantly in an R-IF trial applying mouth rising during a 10 km TT performance [64]. One TRE study also showed that blood lactate, glucose and insulin concentrations did not alter during an incremental test [65]. We know that endurance exercise lasts more than an incremental test duration. Therefore, although blood parameters were well-maintained during an incremental test, we cannot interpret the study as the parameters will be preserved during prolonged strenuous exercise. Since there are few studies on endurance performance and IF, further studies should be conducted with an exercise protocol similar to races and competitions, including all performance-related parameters.

One study assessed the effect of R-IF on cognitive function in a non-randomized, repeated-measures, experimental design manner [63]. No difference was observed in cognitive performance, measured using reaction time and mean latency times on simple and complex tasks during Ramadan in trained male cyclists. Therefore, the implementation of IF diets to increase endurance capacity, improve performance-related parameters or cognitive performance does not appear to be a well-approved strategy. On the other hand, it would be wrong to refer the IF diet as a detrimental strategy due to the controversial findings of studies. Further, a review of the role of R-IF in sports performance, which included well-controlled studies, reported that although R-IF generally affected athletic performance with a few declines in physical fitness at a modest level, including perceived exertion, feelings of fatigue, and mood fluctuations, these negative effects may not cause a decrease in sports performance [160]. Furthermore, while prolonged fasting has detrimental effects on endurance performance by decreasing endurance time and causing carbohydrate depletion, hyperthermia, and severe dehydration [161–164], IF causes preventable adverse effects on performance [160].

An important factor among the difficulties that IF can cause is the alterations in sleeping habits of endurance athletes who practice R-IF [61,63,64]. During R-IF, in contrary to other IF diets, sleeping periods alter due to the difference of fasting/feeding cycle, thereby disturbing the circadian sleep/waking rhythm [160]. These changes may trigger general fatigue, mood, and mental and physical performance in endurance athletes. A study on 8 middle-distance athletes who maintained training during Ramadan revealed that R-IF affected physical performance by disturbing sleeping habits, creating energy deficiency, and fatigue [61]. Another study on cyclists showed a significant reduction in the duration of deep and REM sleep two weeks after starting R-IF, although total sleep time was unchanged [63]. On the other hand, a study on adolescent cyclists also reported no change in total sleep time following R-IF [64]. As sleep is one of the major components for maintaining metabolic health and performance [165], during Ramadan IF, the sleep cycle of endurance athletes should be carefully monitored and effective sleep strategies should be developed for this period. Further, in order to determine the effects of Ramadan IF on sleep patterns more accurately, more objective sleep measurements should be applied.

Another adverse effect of R-IF on endurance athletes is the deterioration of hydration conditions before, during and after exercise [159]. Starting competition in euhydrated state is one of the key factors for greater performance [166]. Further, providing adequate fluid ingestion during exercise, especially prolonged strenuous training, has a major impact on body fluid homeostasis. Although glycogen breakdown provides an average of 1.2 L water [155], it is still not enough to meet the body fluid need during the marathon, especially in hot weather conditions [156]. Therefore, fasting due to lack of water/fluid consumption can create adverse health problems beyond performance detriments [146]. Although TRE diets allow the consumption of water and unsweetened coffee and tea, R-IF has restrictive rules that forbid the consumption of anything during the fasting state [127]. Therefore, the water balance and fluid strategy of endurance athletes should be carefully planned, especially for endurance athletes applying R-IF diets.

The adverse effects of the IF diets also vary according to the weather conditions during fasting, training severity, training load, and training level of athletes [159]. These factors and, more importantly, endurance athletes' ability to cope with these metabolic changes determines how their sports performance will be during Ramadan. Evidence suggests that the performance success of athletes following an IF diet depends on their energy availability and macro and micronutrient intake, as well as training load and sleep length and quality [167]. Chennaoui et al. [61] suggested that athletes struggling with R-IF can reduce the negative effects of IF by reducing their training load and taking daytime naps.

Taking all studies into account, the efficiency of IF to improve exercise capacity and performance-related parameters still remains uncertain. Therefore, as we consistently repeat in the review, more work is needed before recommending these diets, especially in hot environments or during intense training periods. Since many Muslim athletes follow a

month-long R-IF diet for religious reasons, even if there is a major competition or tournament [160], we need to develop effective strategies to maintain endurance performance and inhibit any decrease in endurance capacity during Ramadan.

### 3.4. Gluten-Free Diet

Exercise-induced GI symptoms in endurance athletes share common characteristics with Irritable Bowel Syndrome (IBS), including altered bowel functions (e.g., diarrhea, constipation), bloating, intestinal cramps, urge to defecate, and flatulence without any known organic disease [168]. These symptoms strongly affect the quality of life, psychological well-being, and also have quite a detrimental influence on exercise performance [1,168]. Therefore, several therapies have been developed for manipulating and attenuating these GI symptoms [169]. While drug-based treatments can be of benefit, certain foods are thought to trigger GI symptoms. In a research study, 63% of patients with IBS reported that some foods trigger their IBS symptoms [170]. Therefore, diet therapies gain more interest than other therapy options in patients with IBS and endurance athletes with GI symptoms. For example, a gluten-free diet (GFD) [128] and a low Fermentable Oligo-, Di-, Mono-saccharides, and Polyols (FODMAP) diet [171] are classified as elimination diets that both exclude or limit certain foods or nutrients that may cause undesirable GI problems such as abdominal bloating, cramps, flatulence or urge to defecate.

#### 3.4.1. Why Do Endurance Athletes Consider a Gluten-Free Diet to Be Beneficial?

A gluten-free diet is a strict elimination diet that requires the complete exclusion of gluten, a storage protein found in wheat, rye, barley seeds, and includes gluten-free foods and food products that do not contain gluten or have a gluten content of less than 20 ppm, as per European legislation [172]. It has been used for decades as a treatment for celiac disease (CD) or to treat other gluten-related disorders that require strict gluten elimination from the diet [173]. However, recently, gluten has been considered to be an inducer that triggers the pathophysiology of various conditions. Based on this theory, endurance athletes have widely practiced GFD even if CD or non-celiac gluten sensitivity (NCGS) has not been diagnosed [7]. Although they applied GFD as a possible dietary therapy because of their belief in a diet that could improve metabolic health and performance or alleviate exercise-induced GI symptoms, the results show no significant improvement in performance with GFD in non-celiac athletes [129].

A study of 910 athletes (male = 377, female = 528, no gender selected = 5) found that 41% of the athletes reduced their gluten consumption by approximately 50% to 100% due to their belief that gluten causes GI symptoms, inflammation, and decreased performance [7]. Endurance athletes in particular (70%) tend to exclude gluten from their diet. Almost half of the athletes who consumed GFD reported that at least one of their GI symptoms was attenuated with ongoing GFD [7]. Inconsistent with the study, a randomized controlled, double-blind, crossover study of 13 endurance cyclists with no known gluten-related disease who followed GFD or gluten-containing diet for a short period (7 days) showed that gluten elimination did not alleviate GI symptoms [66]. Additionally, neither plasma intestinal fatty acid binding protein (I-FABP), a marker of intestinal damage, nor TT performance differed between the groups. This is the only randomized-controlled study investigating the influence of GFD vs. gluten-containing diet on endurance performance and intestinal injury, and perceived well-being in endurance athletes [66]. Further research is required to elucidate the GFD, endurance performance and GI symptoms.

The best technique for identifying gluten-related issues is to remove gluten from the diet and check it for health effects in clinical practice [174]. With this gluten-related practice, athletes often self-diagnose that they have gluten-related disorders, resulting in gluten being excluded from the diet [129]. Assessing the presence of celiac symptoms, prevalence, and comorbidities in 141 collegiate athletes, Leone et al. [175] found that athletes reported being 3.85 times more likely to be diagnosed with CD and 18.36 times more likely to be associated with CD than the general population. This close association negatively alters the

athlete' health, leading to several detrimental consequences, including higher depression and perceived stress levels [175]. A possible explanation is that CD can be diagnosed faster as athletes monitor their health on a regular basis and work with an interdisciplinary team. The rapid detection of CD can provide an advantage to begin treatment as soon as possible, thereby reducing other harmful consequences associated with celiac disease.

A study on endurance athletes showed that they generally believed in GFD and its benefits to GI stress and exercise performance [176]. It is well known that the "belief effect" in athletes is an influential factor that can increase sports performance by 1 to 3% [177]. Whether gluten triggers exercise-related GI symptoms or whether endurance athletes with GI issues have a higher rate of NCGS remains unclear [66]. Additionally, switching to GFD can cause some healthy dietary changes in athletes, such as increased consumption of fruits, vegetables, legumes, and whole grains, and these changes may have more significant benefits on the GFD than gluten elimination [96]. Therefore, the gluten-free diet should not be recommended to non-celiac athletes (NCAs), as there is no evidence in the literature about its benefits to GI stress, immune response, and athletic performance [8,66].

### 3.4.2. Possible Risks of a Gluten-Free Diet

The main concerns of GFD for endurance athletes can be classified as low energy availability [96] and the potential to create an energy deficit, micronutrients and fiber, leading to the RED-S [3]. Although GFD limits the consumption of certain gluten-containing foods rich in CHO that could lead to an energy deficiency [173], there is insufficient data to investigate the effect of GFD on energy deficiency in endurance athletes. We recommend that more studies are required on this topic, especially with a well-planned GFD for endurance athletes.

In addition, athletes consuming GFD need to greatly consider their diet as they need to control all foods for gluten content, which can negatively affect psychology [128]. For athletes with CD or other gluten-related clinical conditions, removing gluten from the diet is the only effective treatment [173]. In endurance athletes with CD, an increase in exercise performance and a decrease in GI problems were found after a gluten-free diet was adopted [178]. However, it is worth noting that endurance athletes need more energy to perform better in prolonged training and races, and gluten is present in carbohydrate-rich foods, which are the primary common source to meet their energy needs [112]. Gluten-free products are also known for their high cost and can sometimes be difficult to find [128]. Therefore, dietary gluten elimination may be an effective strategy for athletes with CD [173]. However, when applied to non-celiac athletes, it can create a large energy deficit and low energy availability, impairing both metabolic health and performance.

### 3.5. Low-FODMAP Diet

Exercise-related GI problems affect performance and health conditions in approximately 70% of endurance athletes [179]. Several foods are believed to trigger these GI symptoms, including foods high in fructose, lactose, digestible fibers, and undigested fermentable carbohydrates such as inulin and oligofructose, named "prebiotics" [180]. These fermentable short-chain carbohydrates are classified as FODMAP, including animal milk (lactose), legumes (galactooligosaccharides; GOS), wheat (fructans), fruits (high in fructose), and prebiotic foods (high in inulin, fructooligosaccharides (FOS) and oligofructose) [180,181]. Prebiotics are known for their beneficial effects on health, including reducing disease risks by increasing the microbial abundance of beneficial bacteria such as *Bifidobacterium* and butyrate producers [182]. However, they reach the colon and are fermented by colonic bacteria [183]. Thus, they can cause GI symptoms such as abdominal distress, bloating and gas, resulting in gas production, including hydrogen and methane and osmotic water translocation [184]. As a result, luminal distention and GI symptoms such as bloating, and cramps, can increase, impairing well-being and athletic performance [185]. Therefore, endurance athletes tend to remove high-FODMAP foods from their diets to eliminate their undesirable effects on the GI system [67]. In endurance

athletes with exercise-induced GI symptoms, low-FODMAP diets could apply in two different processes, including the long or short term (both described in detail in Table 2) [8].

### 3.5.1. Several Points Indicating That a Low-FODMAP Diet Is Advantageous

Endurance athletes' expectations of a low-FODMAP diet are the same as those they have of GFD, including reduced GI symptoms, and thereby increased performance [8]. It is estimated that approximately 22% of endurance athletes have IBS [186]. Exercise-induced oxidative stress and physiological changes in the body can lead to impaired GI motility and intestinal permeability, which also occur as a result of IBS [147]. Foods rich in FODMAPs can further trigger GI symptoms in athletes with impaired GI function or in IBS patients [187]. In addition, foods high in FODMAPs can also cause upper-GI symptoms, such as stomach swelling due to the high consumption of fructose and glucose [184]. For example, upper-GI distress syndromes such as bloating, nausea, and stomach pain/cramps are common in cyclists, which can impair performance and well-being during exercise and daily life [188]. The potential efficiency of a low-FODMAP diet on exercise-induced GI symptoms has been studied in four studies, two randomized controlled crossover studies [67,70], and two case reports [68,69]. All studies suggested the low-FODMAP diet as an efficient treatment for reducing exercise-associated GI symptoms. A case study investigating a multisport athlete with exercise-induced GI symptoms showed that a short-term (6 day) restriction of foods high in FODMAPs (from  $81.0 \pm 5.0$  g to  $7.2 \pm 5.7$  g·day<sup>-1</sup>) resulted in a decrease in GI symptoms both during exercise and daily life of the athlete [69]. Another case report evaluated a long-term (4 week restriction of foods high in FODMAPs followed by reintroduction of foods high in FODMAPs for 6 weeks) low-FODMAP application before an aggressive multistage ultra-marathon race [68]. Apart from severe nausea, minimal GI symptoms including bloating and flatulence were observed throughout the race. Examining the influence of a 6-day low-FODMAP diet on recreationally competitive athletes with non-clinical GI symptoms in a single-blind, crossover design, Lis et al. [67] reported a significant decrease in exercise-induced GI symptoms, particularly in flatulence, urge to defecate, loose stool, and diarrhea, in nine of 11 athletes after the low-FODMAP trial. Another well-designed crossover study also applied 1 day low-FODMAP or high-FODMAP diet before exertional-heat stress to evaluate its impact on GI integrity, functions, and discomfort [70]. An exercise protocol that includes 2 h of work at 65% VO<sub>2</sub>max at 35 °C ambient temperature was applied after the diet applications. The study findings indicated that lower exercise-induced GI symptoms and I-FABP concentrations were observed after 1 day low-FODMAP diet, suggesting that 1 day low-FODMAP diet provided a crucial advantage by decreasing exercise-associated disruption of GI integrity, and attenuating GI symptoms [70]. Therefore, studies evaluating exertional-heat stress during long-term exercise have administered a 24 h low-FODMAP diet as a control diet to eliminate GI symptoms associated with food and fluid intake [189–191].

It should be noted that endurance athletes typically eat foods high in FODMAPs [8]. A study investigating the content of FODMAPs in various sports foods has shown that FODMAPs are often included in sports foods, such as dry dates (fructans), fructose, inulin (fructans), honey (fructose), and chicory root (oligosaccharides) [8]. Therefore, sports food alternatives low in FODMAPs could be a better choice for endurance athletes, in particular, those who have previously experienced GI symptoms.

A meta-analysis of nine randomized trials reported the administration of a low-FODMAP diet for short-term attenuated GI symptoms, abdominal pain, and quality of life in patients with IBS [192]. However, 25% of patients did not respond to the diet, and responders experienced the diarrhea-predominant type of IBS. These findings suggest that the higher response rate of diarrhea-type IBS may be due to osmotic changes in the gut following a low-FODMAP diet [192]. Note that runner's diarrhea is known to be one of the most common exercise-related GI problems [168]; the chances of responding positively to a low-FODMAP diet are high in endurance athletes, especially athletes with runner's diarrhea.



Exercise-induced GI symptoms may become detectable after intense exercise, affecting recovery and refueling periods [188]. The management of this process becomes crucial in multistage events that last multiple stages in a day or over multiple days [112,193]. In endurance athletes with exercise-induced GI symptoms, the FODMAPs restriction may also be needed for the post-exercise period [129], which is crucial to provide optimal nutrient delivery to the body after exercise, particularly intense training periods.

Taken together, as all studies on a low-FODMAP diet and exercise-associated GI symptoms confirmed the efficiency of the diet, we can consider a low-FODMAP diet as an efficient therapy to attenuate exercise-associated GI symptoms. However, the response rate to the low-FODMAP diet should also be determined before planning any long-term low-FODMAP diet application for endurance athletes.

### 3.5.2. Potential Risks to Consider When Applying a Low-FODMAP Diet

A low-FODMAP diet may result in decreased consumption of prebiotics, which is highly recommended for maintaining a healthy gut microbiome [178]. Additionally, adherence to the diet may be problematic for athletes due to difficulties during the application process [3].

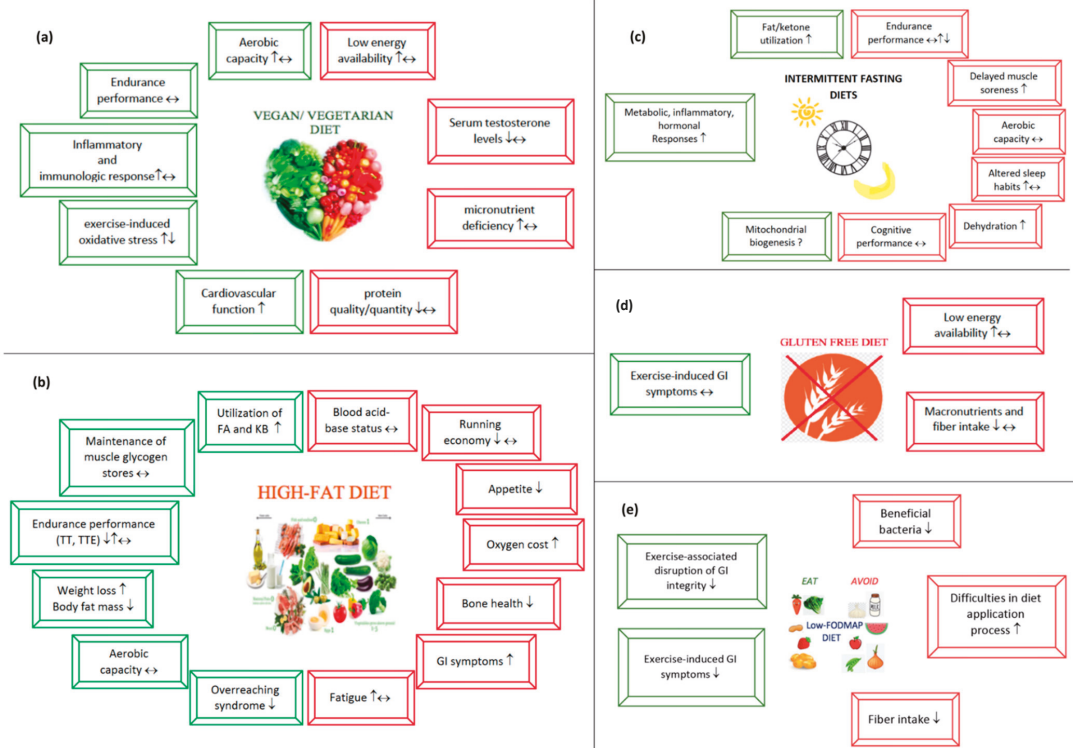
By assessing the low-FODMAP diet based on nutrients instead of general composition, we can realize that complex polysaccharides, the most significant prebiotic metabolites, are restricted with the diet, thus negatively affecting microbiome composition [194]. Although highlighted in several studies on humans with IBS [195–197], randomized controlled studies are needed to investigate the gut microbiome and low-FODMAP diet to evaluate the potential effects of a low-FODMAP diet in endurance athletes.

A low-FODMAP diet should not only attenuate GI problems but provide sports-specific nutrients and energy intake efficiently as well [171]. Subjects that fail to show any improvement during the first phase of long-term FODMAP application should not continue the diet [3]. Additionally, the reintroduction phase should be carefully applied to subjects by trained dietitians and professionals to identify which foods high in FODMAPs cause these symptoms, and personalize the diet to attenuate IBS symptoms, and thereby maintain healthy gut functions [8].

A general recommendation to reduce the FODMAP content of the diet consists of reducing FODMAP intake from 15–30 g FODMAP·day<sup>-1</sup> to 5–18 g FODMAP·day<sup>-1</sup> [198]. It is recommended for patients with IBS that less than 0.5 g FODMAP per meal or less than 3 g per day be consumed [199]. However, endurance athletes with exercise-induced GI symptoms consume 2-fold higher FODMAPs than the diet classified as high in FODMAPs in clinical research (up to 43 g·day<sup>-1</sup>) [67]. Therefore, foods high in FODMAPs could be a contributing factor for exercise-induced GI symptoms. A recent study on athletes reported that 55% (*n* = 910) of athletes removed at least one high FODMAP from their diet to attenuate exercise-induced GI symptoms, and approximately 85% reduced GI symptoms by removing food from their diet [171]. Lactose is often reported as the most problematic nutrient high in FODMAPs [163]. The most frequently eliminated foods are reported as lactose (86%), GOS (23.9%), fructose (23.0%), fructans (6.2%), and polyols (5.4%). Therefore, before strict FODMAP restriction, it should be considered that lactose and fructose are the most common inductors for GI distress [200]. Lactose consumption of athletes may be greater than that in the general population due to high protein ingredients, good sources of calcium, and rehydration [69]. Furthermore, higher fructose consumption may be greater in endurance athletes, especially during exercise due to sufficient energy supply during long-duration (> 90 min.) events or training [201]. Higher fructose intake may be more likely to trigger exercise-induced GI symptoms [202]. Therefore, just reducing or eliminating lactose and fructose instead of all high FODMAPs may inhibit the detrimental gut alterations and may solve the GI problems in endurance athletes.

#### 4. Conclusions

This review discusses in detail the effectiveness of five popular diets, namely vegetarian diets, HFD, IF, GFD, and the low-FODMAP diet, on endurance performance and metabolism. Considering all findings from the review, all five diets discussed in detail appear to have both beneficial and detrimental effects on endurance performance (Figure 1). For vegetarian diets, we suggest that when adjusting the athlete's diet a sports dietitian is to (a) determine which vegetarian diet the athlete is consuming; (b) control the athlete's micronutrients and related biomarkers, especially vitamin B12, folate, vitamin D and iron; (c) regulate the athlete's energy needs and all macro and micronutrient needs to prevent any deficiency, and (c) monitor the diet consumption and adjust it according to the needs based on individual- and sports-specific needs. While reviews of the HFD and sports performance have controversial results, the scientific evidence on the effectiveness of HFD on endurance performance is not strong enough to recommend these diets to endurance athletes. The evidence for IF diets and endurance performance and health-related parameters also needs to be improved by further investigation. We need more evidence before recommending the IF diet to endurance athletes. Considering all the relevant study results [66,68–70], we can say that a low-FODMAP diet may benefit more from GFD unless athletes have celiac disease. However, it should be kept in mind that the implementation steps of the low-FODMAP diet are complex and require careful monitoring by a trained dietitian. In addition, only lactose and fructose elimination from the diet should be considered in endurance athletes prior to adopting a low-FODMAP diet. We suggest that a short-term (1–6 days) low-FODMAP diet can be planned at first before planning a long-term strategy, especially before endurance racing or strenuous exercise. In summary, all five diets discussed in the review can be applied to endurance athletes in accordance with the athletes' current metabolic demands. Before deciding on a popular diet, considering the current metabolic and sport-specific situation of endurance athletes will result in healthier and more beneficial results.



**Figure 1.** Possible beneficial and detrimental effects of popular diets on endurance athletes. Statements presented in green boxes show the beneficial effects of diets, while red boxes indicate the potential risks of diets. (a): Vegetarian diets; (b) high-fat diets; (c) Intermittent Fasting; (d) Gluten-free diet; (e) low-FODMAP diet. Abbreviations: URTI: Upper-respiratory tract infections; RED-S: relative energy deficiency syndrome; FA: fatty acids; KB: Ketone bodies; GI: Gastrointestinal; FODMAP: fermentable oligosaccharides, disaccharides, monosaccharides and polyols.

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Review

# Iron Metabolism: Interactions with Energy and Carbohydrate Availability

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**Abstract:** The provision or restriction of select nutrients in an athlete's diet can elicit a variety of changes in fuel utilization, training adaptation, and performance outcomes. Furthermore, nutrient availability can also influence athlete health, with one key system of interest being iron metabolism. The aim of this review was to synthesize the current evidence examining the impact of dietary manipulations on the iron regulatory response to exercise. Specifically, we assessed the impact of both acute and chronic carbohydrate (CHO) restriction on iron metabolism, with relevance to contemporary sports nutrition approaches, including models of periodized CHO availability and ketogenic low CHO high fat diets. Additionally, we reviewed the current evidence linking poor iron status and altered hepcidin activity with low energy availability in athletes. A cohesive understanding of these interactions guides nutritional recommendations for athletes struggling to maintain healthy iron stores, and highlights future directions and knowledge gaps specific to elite athletes.

**Keywords:** carbohydrate; low energy availability; RED-S; iron deficiency; ketogenic diets; hepcidin; exercise

## 1. Introduction

Strategies that support athlete health and training availability are integral to the optimization of training outcomes and competition preparation. Nutrition has been recognized as an important contributor to these goals, with the provision of energy, macronutrients and micronutrients underpinning both health and performance. Energy supply is a basic consideration in sports nutrition, with athletes experiencing both deliberate and unintentional changes to the balance between intake and expenditure as they manipulate body composition and training loads. Although energy balance is the traditional metric by which such changes have been evaluated, the newer concept of energy availability [1] has become a major topic in considerations of athlete health, training consistency and competition performance. Energy availability (EA), calculated by removing the energy cost of an athlete's exercise program from their dietary energy intake, represents the energy that is remaining to support the body's normal physiological functioning (e.g., reproductive system, bone metabolism, and endocrine function) [2]. Low energy availability (LEA), arising from reduction in an athlete's energy intake and/or an increase in exercise load, is associated with downregulation and impairment of key physiological processes due to the lack of adequate energy support [3]. LEA underpins the clinical sequelae associated with the syndromes known as the Female Athlete Triad [4] and Relative Energy Deficiency in Sport (RED-S) [5,6]. While the former focused on disruption to the menstrual

cycle and bone health in female athletes, these models now acknowledge that LEA is also an important issue in male athletes [7].

Carbohydrate (CHO) availability has emerged as another key theme of interest, with this term describing the balance between CHO requirements of the muscle and central nervous system (and potentially other organs and body systems) around an exercise session relative to the endogenous and/or endogenous CHO supply [8]. There is plentiful evidence that strategies which achieve high CHO availability (i.e., to balance supply to the demand) are associated with enhancement of exercise capacity and sports performance, particularly during prolonged endurance events requiring high intensity efforts [9,10]. These outcomes have led to recommendations that when optimal performance is desired, endurance athletes adopt strategies of daily CHO intake and/or high CHO availability around key exercise sessions to meet the session fuel demands [8]. However, the application of advanced analytical techniques to investigate exercise–nutrient interactions has shown strategies that achieve low CHO availability (i.e., acute CHO restriction around an exercise session) can amplify cellular adaptations within skeletal muscle during and after exercise [11]. If manipulation of CHO availability could be integrated into the training cycle, matching availability to the demands and goals of each session, a strategic blend of augmented adaptation workouts and targeted quality sessions could lead to enhanced performance outcomes [8,12]. Meanwhile, an alternative approach to metabolic preparation for endurance exercise is to chronically restrict dietary CHO, allowing the muscle to achieve a 2–3 fold increase in fat oxidation, coupled with a simultaneous decrease in CHO utilization, thus shifting its fuel reliance from finite CHO stores to the relatively unlimited body fat reserves [13–15]. The overall favorability of these strategies should be considered in the context of an athlete’s performance goals and their requirement for metabolic flexibility [8,16,17]. Furthermore, they should be carefully integrated into the athlete’s periodized training program to meet specific training goals and performance outcomes [8].

Although the main concerns around LEA have targeted reproductive and bone health, there is now greater awareness of the potential for wider disruption to body systems [5,6]. In parallel, there is growing interest in the effects of manipulating CHO availability beyond impacting metabolic changes in the muscle or performance outcomes, to the downstream targets of inter-organ cross-talk. Iron metabolism is emerging as a system that can be influenced by both factors. Poor iron status is often associated with LEA [6], with recent study of 1000 female athletes reporting an odds ratio of 1.64 for a history of anemia, low hemoglobin or low iron stores in those identified with LEA [18]. In addition, there are mechanisms by which exercising under low CHO availability can impair iron regulation [19,20]. Therefore, the purpose of this review is to synthesize the current information on the impact of manipulating energy and CHO availability on iron metabolism, with consideration to current dietary practices adopted by elite endurance athletes. This paper was prepared as a narrative review in recognition of the complexity and the early stage of development of these themes. Our intention is to draw on observations from our own extensive research on each of the separate topics, as well as the work of others, to focus attention on issues that should be further addressed by a systematic series of observational and intervention studies.

## 2. Why Are Adequate Iron Stores Necessary for Athletes?

Iron is fundamentally important to the optimal function of endurance athletes, given the mineral’s role in athlete-relevant processes such as oxygen transport, cellular energy production, cognitive processing, and immune function [21,22]. Compromised iron stores can impair critical physiological processes, with significant negative effects on athlete health and performance. For example, high levels of aerobic fitness, a common prerequisite for elite endurance performance, can be limited by the oxygen-delivery capacity to the muscle [23]. In iron-compromised individuals with anemia, the impairment of hemoglobin production results in decrements to aerobic performance [24]. However, in such cases, once iron stores are restored via oral or intravenous supplementation, increases in  $VO_{2max}$  [25], exercise time-trial performance [26] and exercise efficiency [27,28] have been reported.

Despite research and clinical knowledge, iron deficiency in athlete populations remains a common issue. To understand the prevalence, various thresholds used to classify the severity of iron deficiency must be established. Accordingly, three stages of iron deficiency have been proposed: (1) Iron depletion, where iron stores are depleted without hematological consequences; (2) Iron deficiency non-anemia, where erythropoiesis diminishes as the iron supply to the erythroid marrow is reduced; and (3) Iron deficiency anemia, where hemoglobin production falls, resulting in anemia [29]. At a minimum, quantification of serum ferritin, hemoglobin and transferrin concentrations are required to diagnose an iron deficiency, with additional variables such as serum soluble transferrin receptor, hemoglobin mass, or C-reactive protein presenting as potential beneficial adjunct markers of detection [20]. While there is general agreement that iron deficiency can negatively impact performance, there is less conformity surrounding the classification criteria of these categories. Iron deficiency non-anemia has been commonly defined in athletic populations as a serum ferritin of  $<20 \mu\text{g}\cdot\text{L}^{-1}$  and transferrin  $<16\%$  [20,29]; however, variations in the literature range from serum ferritin values of  $<12 \mu\text{g}\cdot\text{L}^{-1}$  through to  $<40 \mu\text{g}\cdot\text{L}^{-1}$  [29–31]. Iron deficiency anemia is thought to be apparent once hemoglobin concentrations become compromised, with diagnostic thresholds below  $11.5\text{--}12 \text{g}\cdot\text{dL}^{-1}$  commonly used. The incidence of iron deficiency non-anemia is reported as 24–47% of female and 0–17% of male athletes [32]; however, rates as high as 86% of female youth athletes from a mixed-sport cohort have been reported [33]. The higher incidence of iron deficiency observed in females has been attributed to the increased iron losses associated with menstruation [34]. However, the high prevalence of low iron stores commonly seen in athletes can also be partially explained by incorporation of iron into new tissues and cells induced by adaptation to training, as well as exercise-associated iron losses via exercise-induced mechanisms such as hemolysis, hematuria, sweating, gastrointestinal bleeding, and acute transient increases in the iron regulatory hormone, hepcidin [35].

### 3. Hepcidin and Iron Regulation

Iron status is tightly controlled in the body by the homeostatic regulation of iron movement across the gut and between cells. Homeostasis is essential, not only to encourage iron uptake in times of need, but also prevent iron toxicity and overload. Iron regulation is governed by the master regulatory hormone, hepcidin, which is released from the liver to dictate the availability of iron for biological functions [36]. The primary action of hepcidin is to bind to, and internalize the body's cellular iron export channels, ferroportin, located on the cell surface of macrophages of the reticuloendothelial system, enterocytes in the duodenum and hepatocytes [37]. Hepcidin–ferroportin interactions decrease both the amount of iron that can be absorbed from the diet by duodenal enterocytes, and the amount of iron recycled by macrophages. Through this mechanism, hepcidin is able to regulate transferrin and intracellular iron stores in a homeostatic manner. For instance, in iron-deplete individuals, hepcidin concentrations are reduced as a means of encouraging iron absorption to drive the replenishment of iron stores. However, iron excess stimulates liver hepcidin production, in an attempt to prevent further increases in iron supply [38].

While iron status appears to be a dominant factor in hepcidin regulation, inflammation is also known to impact hepcidin levels, and subsequently, iron balance [39]. The inflammatory cytokine interleukin-6 (IL-6) directly stimulates hepcidin production via an increase in signal transducer and activator of transcription 3 (STAT 3) production, resulting in increased transcription of hepcidin from hepatocytes [40]. This mechanism was highlighted through administration of  $30 \mu\text{g}\cdot\text{L}^{-1}$  recombinant IL-6, which elicited a 7.5-fold increase in hepcidin concentrations 2 h post-infusion [41]. This outcome was replicated in a study using a  $2 \text{ng}\cdot\text{kg}^{-1}$  body mass (BM) injection of lipopolysaccharide (i.e., stimulating an inflammatory response), in which IL-6 was increased 3 h post-injection, followed by an increase in hepcidin levels 3 h later (i.e., 6 h post-injection, but 3 h post-IL-6 response) [42]. Since exercise is known to be a potent inflammatory stimulus, the relationship between exercise, inflammation, and hepcidin activity has attracted attention. Indeed, IL-6 is released from the skeletal muscle in response to exercise, playing a key role in mediating the acute phase

response [43]. The duration of exercise appears to be the largest determinant of the post-exercise IL-6 response, with increases occurring in a time-dependent exponential manner, peaking immediately post-exercise [44]. Furthermore, exercise intensity and modality can also influence the IL-6 response, with higher intensity and weight-bearing modes (i.e., running vs. cycling) yielding greater increases in post-exercise cytokine levels [43,45]. An early investigation of the link between exercise, IL-6 and hepcidin tracked the time course of changes in these two variables following 60 min of treadmill running (15 min at 75–80% HRpeak, followed by 45 min at 85–90% HRpeak) [46]. Here, a 6.9-fold increase in IL-6 was evident immediately post-exercise, followed by a subsequent peak in hepcidin levels 3–6 h later (5.2-fold increase). This was the first study to demonstrate that increases in hepcidin levels occur subsequent to an exercise-induced inflammatory stimulus. This sequence is important, as it is likely that iron absorption is impaired during the post-exercise period, when hepcidin levels are elevated. This outcome could have negative implications for athletes' iron balance, particularly when performing frequent high-volume training. Within the exercise literature in particular, hepcidin levels have been used as a surrogate marker of iron bioavailability, with interest in strategies that minimize the hepcidin response to exercise.

Multiple regression analysis of both physiological and biochemical markers has shown that the increase in IL-6 concentrations is a small, yet significant contributor to the magnitude of subsequent hepcidin increase at 3 h post-exercise [47]. Interestingly, nutritional manipulation of the magnitude of the IL-6 response to exercise may provide a mechanism to improve iron absorption during the post-exercise period. Factors that influence the release of IL-6 during exercise include the task duration, mode, intensity, training status, and of importance in the context of sports nutrition, muscle glycogen stores [19,48]. Although an increased production of IL-6 has been demonstrated in response to running or cycling for  $\geq 2$  h at moderate to high intensities, this response can be attenuated when CHO is consumed throughout the exercise task to maintain blood glucose concentrations and decrease the reliance on/depletion of muscle glycogen stores [19]. However, studies utilizing exercise bouts  $< 2$  h in duration have shown CHO supplementation has minimal impact on IL-6 concentrations, unless exercise is commenced with low muscle glycogen stores, in which case the response is augmented [19]. In this low muscle glycogen scenario, CHO ingestion during exercise bouts of 30–90 min in duration can promote the attenuation of the IL-6 response to exercise [49,50]. Given the relationship between IL-6 and hepcidin activity, an increased IL-6 response resulting from training with low CHO availability and/or low muscle glycogen stores, may increase hepcidin levels 3 h post-exercise, which could then negatively impact iron regulation in athlete cohorts. Therefore, strategies that promote CHO availability may help to limit the post-exercise compromise in iron absorption by attenuating exercise-induced inflammation and subsequently minimizing post-exercise hepcidin levels. However, the quantity and timing of CHO intake are important factors in regulating this response.

#### 4. Carbohydrate Availability and Iron Regulation

##### 4.1. Post-Exercise Carbohydrate Intake

Post-exercise CHO consumption is an important nutritional strategy to optimize recovery, particularly for endurance athletes. When an athlete's goal is to maximize post-exercise muscle glycogen restoration to support subsequent training/competition sessions, CHO ingestion should occur as soon as practical after exercise, to take advantage of the higher rates of muscle glycogen synthesis in the early phases of recovery, and maximize the duration of the period when exogenous substrate is available for muscle storage [51]. CHO intake targets for rapid refueling during the 1–4 h following exercise have been set at 1.0–1.2 g·kg<sup>-1</sup>·h<sup>-1</sup>, consumed as small regular meals [52]. Several studies have investigated whether such practices also influence iron metabolism. Initial work by Badenhorst et al. [53] examined the effect of consuming 12 mL·kg<sup>-1</sup> body mass (BM) of a 10% CHO beverage at different stages of recovery following a 60 min interval running task on post-exercise inflammation and hepcidin levels. There were no differences in either IL-6 or hepcidin activity for

up to 5 h post-exercise between immediate (15 and 120 min post-exercise) and delayed (120 and 240 min post-exercise) ingestion of CHO [53]. Since peak IL-6 concentrations occur immediately after exercise and return rapidly to baseline within 1–2 h [54], it is likely that this finding reflects the inability of CHO ingestion to affect hepcidin levels when IL-6 concentrations are already elevated. Indeed, similar findings were reported by Dahlquist et al. [55], who investigated the IL-6 and hepcidin response to different post-exercise nutrition support approaches following interval-based cycling sessions (8 × 3 min intervals at 85% of power output at  $\text{VO}_{2\text{max}}$ ). This study found similar post-exercise IL-6 and hepcidin responses to a recovery beverage containing 75 g CHO and 25 g of protein, the same beverage with the addition of a vitamin D (5000 UI) and vitamin K2 (1000 mcg) complex, or a taste-matched placebo. Therefore, it appears that the post-exercise consumption of CHO occurs too late to influence post-exercise IL-6 or hepcidin levels, raising the prospect that CHO intake may need to occur prior to, or during the exercise session, to be of benefit to this response. Of course, post-exercise CHO consumption should still be emphasized for its other contributions to recovery, such as the restoration of muscle glycogen stores, particularly following strenuous exercise [52].

#### 4.2. Carbohydrate Feeding during Exercise

Given the lack of a substantial post-exercise effect from CHO consumption on inflammatory responses and iron regulation, attention turns to the impact of consumption during exercise. Robson-Ansley et al. [56] studied the ingestion of either a 8% CHO solution or a placebo beverage prior to and during a 2 h submaximal run (60%  $v\text{VO}_{2\text{max}}$ ), at a rate of 2 mL·kg<sup>-1</sup> BM consumed every 20 min. Immediately following the 2 h run, a 5 km running time-trial was performed. Despite attenuation of the IL-6 response immediately post-exercise when the CHO beverage was consumed, no differences in hepcidin concentration were reported between conditions. Unfortunately, in this study, post-exercise hepcidin concentrations were measured immediately after, and at 24 h of recovery; timings that would likely not reflect the key periods during which hepcidin is elevated (i.e., 3–6 h post-exercise [46]). In a follow-up study, participants ran for 90 min on a motorized treadmill at 75%  $\text{VO}_{2\text{peak}}$ , while consuming either a 6% CHO or placebo beverage at a rate of 3 mL·kg<sup>-1</sup> BM every 20 min [57]. Despite using more appropriate sampling time points (e.g., measuring hepcidin concentrations 3 h post-exercise), no differences in either IL-6 or hepcidin levels were evident between the CHO or placebo beverage trials. One explanation for the absence of an effect is that the selected exercise protocol was too short for muscle glycogen stores to become sufficiently different in terms of eliciting increased IL-6 production. Accordingly, scenarios which involve exercise in the presence of muscle glycogen depletion could elicit a greater influence on iron regulation. On this basis, the manipulation of CHO in the hours prior to exercise is of interest.

#### 4.3. Implications of Acute Carbohydrate Restriction

Badenhorst et al. [50] assessed the impact of muscle glycogen stores on iron regulation by implementing an exercise task known to deplete muscle glycogen stores by ~50% [58]. Here, participants performed a 16 km run at 80%  $v\text{VO}_{2\text{peak}}$ , followed by 5 × 1 min efforts at 130%  $v\text{VO}_{2\text{peak}}$  with 2 min recovery between efforts. This task was followed by 24 h of diets of either low (3 g kg<sup>-1</sup> BM) or high (10 g kg<sup>-1</sup> BM) CHO intake with similar energy support (4100 and 4500 kcal, respectively) [50]. Participants then performed an interval-based running task (8 × 3 min at 85%  $v\text{VO}_{2\text{peak}}$ ) 24 h later, with IL-6 and hepcidin concentrations measured pre/post-exercise and at 3 h post-exercise, respectively. The results showed that the high CHO trial was associated with an attenuated post-exercise IL-6 response (2-fold vs. 3-fold increase) with a trend towards lower hepcidin levels 3 h post-exercise compared to low CHO trial (4.1 vs. 6.4 nM;  $d = 0.72$ ). While differences in the hepcidin response did not reach statistical significance, this study demonstrated the potential for a moderately greater increase in hepcidin following exercise undertaken with theoretical (albeit not quantified) depletion of muscle glycogen stores. This study provides some evidence of an association between macronutrient



intake, inflammation and iron regulation, especially when considered in the context of the athlete's pre-exercise nutritional state.

These outcomes become important in light of the contemporary interest in strategies to periodize CHO availability around specific training sessions. It is likely that periodic glycogen depletion occurs within the training programs of high performance endurance athletes, with the high frequency and load (intensity and volume) of their sessions preventing a full glycogen restoration between each workout. However, more recent practices that deliberately manipulate low CHO availability have evolved as a strategy to enhance endurance training adaptations. For example, in a survey of the self-reported practices of elite runners and race walkers, a majority (62%) of the distance athletes reported training in a fasted state, typically 1–3 times/week around easier sessions [59], where low liver glycogen stores and low exogenous CHO availability would be expected [8]. Although some athletes identified “practical” reasons for this behavior (e.g., to allow them to get more sleep before training or to reduce the risk of gut discomfort during training), the majority identified a strategic rationale for the approach (e.g., to assist with body composition changes or enhance the training response). Furthermore, 44% of the total cohort reported an occasional restriction of CHO intake around or between some training sessions, theoretically creating a scenario of training with low muscle glycogen availability, which would upregulate the accompanying cell signaling and gene expression response [60]. Although many of the athletes who reported such practices identified that they were underpinned by a strategic rationale, a significant number of the group who identified the absence of CHO restriction strategies noted a lack of overall performance improvement, or an increase in illness/injury, among their experiences [59]. Indeed, given the potential exacerbation of inflammation and hepcidin activity following exercise with low muscle glycogen stores, the implications to iron balance become an interesting point for consideration.

Recent work from our group investigated this question of dietary manipulation in an applied setting, with elite triathletes performing four 48 h manipulations of diet and exercise [61]. Here, two trials involved a ‘train-high, sleep-low, train-low’ sequence [8], which restricted CHO intake between the two training sessions to achieve low glycogen training on the session of interest. The remaining two trials involving exercise performed under consistently high CHO availability ( $8 \text{ g kg BM}^{-1} \text{ day}^{-1}$  CHO). The final session of the sequence involved a 45 min running protocol, or a 60 min cycling protocol, with the cycling trials of higher intensity (evidenced by increased heart rate and RER). During the running trials, no differences in hepcidin concentrations were evident between conditions of high or low CHO availability. However, during cycling trials, when exercise intensity was increased, a ~72% greater hepcidin response was evident during the ‘train-high, sleep-low, train-low’ dietary condition. Taken together, it appears that muscle glycogen availability and exercise intensity are both critical factors in determining the magnitude of the post-exercise hepcidin response, and that alterations in iron regulation may only occur once a critical level of metabolic stress is achieved. An important practical outcome of this study was the demonstration that strategies of acute CHO periodization can be implemented throughout the training cycle without altering iron regulation if applied to sessions of short duration and low intensity. This outcome supports previous suggestions to maximize the efficacy of targeted approaches [8], where low CHO availability should be periodized around lighter training sessions to enhance the molecular adaptations to training, while high CHO availability can be used to support training when quality and/or high intensity are required.

#### 4.4. Implications of Long Term Carbohydrate Manipulation

Although it may be possible to integrate acute restriction of CHO into the training program with minimal influence on post-exercise iron regulation, longer-term manipulation of CHO can yield unavoidable or larger alterations to iron metabolism, which, over time, could eventually deplete iron stores with negative effects to an athlete's health, wellbeing and performance. To explore this chronic effect, Badenhorst et al. [62] had trained endurance runners complete two structured 7 day training blocks while consuming diets of either low ( $3 \text{ g kg}^{-1} \text{ BM}$ ) or high ( $8 \text{ g kg}^{-1} \text{ BM}$ ) CHO content.

On days 1 and 7 of each training week, athletes performed a 45 min treadmill run at 65%  $\text{VO}_{2\text{max}}$  to measure the IL-6 and hepcidin response to exercise. Contrary to expectations, there were no clear differences in post-exercise IL-6 or hepcidin concentrations between Days 1 and 7, or between dietary conditions. However, interrogation of the study protocol notes that it scheduled five days of key training sessions, followed by a rest day on Day 6, then the post-intervention test on Day 7. As such, it is possible that the day of rest, combined with a higher (22%) protein intake in the isocaloric low CHO condition, could have allowed both diets to achieve sufficient restoration of muscle glycogen on Day 7. Consequently, any differences in inflammatory and iron regulatory markers to the observed exercise session were likely negated.

The ketogenic low CHO high fat (LCHF) diet represents another model of chronic CHO restriction of current interest. Ketogenic diets are characterized by CHO intakes of  $<50 \text{ g}\cdot\text{day}^{-1}$  and low to moderate protein intake ( $\sim 15\%$  energy intake), with the remaining daily energy consumed in the form of dietary fat [63]. Adherence to a LCHF diet increases blood ketone concentrations, while re-tooling the muscle to substantially increase fat oxidation, including an increase in the exercise intensity at which maximal rates of fat oxidation occur [17,63]. Studies of medium term (e.g., 4 weeks) adherence to such diets show reduced resting muscle glycogen content and reduced utilization of glycogen during exercise [64]. It is of interest to note that even with extreme restriction of dietary CHO intake, gluconeogenesis and the storage of muscle glycogen is enabled from precursors such as lactate, glycerol and some amino acids [17,64]. Of note, the time course of adaptation to a LCHF diet remains a contentious issue, largely due to the absence of a clear definition of the processes and outcomes of keto-adaptations. Although it has been argued that 2–3 months, or even longer, may be needed for complete keto-adaptation to occur [17], substantial alterations in substrate utilization and ketone concentrations have been demonstrated in as little as 5 days adherence to a ketogenic LCHF diet [15,65]. Controversially, and of importance to the current review, it has been proposed that long-term adherence to a ketogenic diet enhances glycogenesis, restoring or “normalizing” muscle glycogen content to levels similar to that of athletes adhering to high CHO diets [66]. However, this theory is only supported by evidence from a single cross-sectional study, where endurance athletes who reported (and had confirmed) long-term adherence to a ketogenic LCHF diet ( $>6$  months;  $<50 \text{ g}\cdot\text{day}^{-1}$  CHO), were compared to a similar cohort who consumed diets with higher CHO availability [66]. Meanwhile, studies with similar methodology [67] and other lines of interrogation contradict this theory, justifying that further scrutiny is warranted [17]. Nevertheless, with the majority of studies supporting the notion that ketogenic LCHF diets are associated with chronically reduced muscle glycogen content, it is possible that such nutritional approaches can result in a cumulative increase in hepcidin levels (both at baseline and post-exercise), with negative implications to iron status. Of course, there may also exist other iron-related issues from such diets, on the basis of the food choice changes, which would impact the quantity and sources of dietary iron intake.

To explore such issues, our group recently examined the effect of 3 weeks adherence to a LCHF diet ( $<50 \text{ g}\cdot\text{day}^{-1}$  CHO,  $\sim 80\%$  fat) during a period of intensified training on iron metabolism in elite race walkers [68]. The dietary iron content of the LCHF diet was  $\sim 25\%$  lower than that of the CHO-rich diet (13.7 vs. 17.8  $\text{mg}\cdot\text{day}^{-1}$ ;  $p = 0.005$ ) due to the exclusion of fortified grains and cereals, which provide a substantial source of non-heme iron in the Western diet. Despite a lower dietary iron intake, the LCHF group exhibited a smaller decrement in serum ferritin levels (23% decrease) than athletes adhering to CHO-rich diets (37% decrease;  $p = 0.021$ ) [68]. While this outcome seems contradictory, we propose that the greater decrease in serum ferritin may reflect a larger, more adaptive hematological response to training in the group exposed to consistently or strategically high CHO availability. Here, iron may have been used for adaptive processes such as increases in the production of hemoglobin or iron-associated enzyme activity, outcomes that benefits aerobic performance. Indeed, these athletes experienced a mean 4.8–6.0% improvement in 10 km race walk performance, as compared to the 1.6–2.3% decrement evident in athletes adhering to a LCHF diet [13,14]. However, there are multiple factors that can influence performance, and unfortunately, hematological adaptation (i.e., hemoglobin

mass) was not quantified to confirm this hypothesis. Another investigation of moderately trained individuals (defined as endurance training >7 h per week), assessed the impact of a 12-week LCHF dietary intervention on hematological parameters [69]. In a free-living situation where participants self-selected all their foods, athletes adhering to the LCHF diet consumed significantly less dietary iron than athletes adhering to a high CHO diet (12.0 vs. 18.2 mg·day<sup>-1</sup>). However, in this instance no changes in serum ferritin were evident after 12 weeks in either the high CHO or LCHF dietary groups. Differences between our work and that of McSwinnery and colleagues [69] may be attributed to the caliber of athlete and level of the training stimulus. It is likely that the elite athlete cohort from our work completed a more demanding training schedule than the moderately-trained participants engaged by McSwinnery et al. [69], which in combination with the highly hemolytic nature of race walking, may have elicited larger exercise-associated iron losses [35], and therefore, reductions in iron stores.

We also studied the impact of a LCHF diet on the iron regulatory response to exercise [68]. Here, a greater IL-6 and hepcidin response occurred following a 25 km exercise protocol in athletes who had adapted to a LCHF diet, compared to athletes that remained on a high CHO diet. This result presents the possibility that iron absorption may have been impaired in keto-adapted athletes in the hours following exercise. Importantly, however, the differences in serum ferritin levels need to be considered, as they have a strong homeostatic influence on the magnitude of the post-exercise hepcidin increase [47,70]. Accordingly, the higher serum ferritin levels evident in the LCHF group post-intervention, may have contributed to the greater hepcidin outcomes reported at 3 h post-exercise. This question prompted examination of the iron regulatory response in a subset of athletes, matched for serum ferritin levels, to remove any influence of baseline iron status [71]. This follow-up study revealed no differences in post-exercise hepcidin concentrations between keto-adapted athletes (<50 g·day<sup>-1</sup> CHO) and those adhering to CHO-rich diets (~8·g·kg<sup>-1</sup> BM·day<sup>-1</sup> CHO). Therefore, it collectively appears that iron status may have been a confounding factor in our initial study [68], and that an athlete's initial iron status may exert a more dominant influence over hepcidin expression than dietary manipulation. In conclusion, while acute studies manipulating muscle glycogen content can alter hepcidin activity, evidence of altered iron regulation resulting from chronic CHO restriction is yet to be clearly demonstrated. It is possible that prolonged adherence to a low CHO diet may result in an adaptive state, whereby these acute alterations subside as the dietary adherence is maintained over time. However, this assertion is speculative, and future research is required to confirm this prospect.

Finally, given the potential for negative implications to iron metabolism when training with low CHO availability, it was speculated that sustained high CHO availability may exert a positive influence on iron metabolism. A recent investigation had elite race walkers adhere to a novel dietary strategy aimed at optimizing endogenous and exogenous CHO availability for 2 weeks [72]. This dietary approach strategically incorporated a number strategies to promote high CHO availability, which included high CHO intake (10–12 g·kg<sup>-1</sup> BM), gut training strategies [73], low residue foods, and sucralose ingestion. The combination of such strategies was intended to increase CHO availability and oxidation during prolonged high intensity exercise, in an attempt to improve exercise economy and gut tolerance [74], whilst positively influencing athletic performance. However, these outcomes may also improve the ability to better sustain blood glucose concentrations and muscle glycogen stores, which could attenuate the IL-6 response to exercise and minimize post-exercise hepcidin levels. Regardless, no differences in serum ferritin, inflammation or hepcidin concentrations were evident in athletes adhering to the novel, very high CHO dietary approach, compared to athletes consuming a more moderate CHO intake (6–8 g·kg<sup>-1</sup> BM). Therefore, it appears that there is no additional benefit to iron regulation from increasing CHO intake to very high levels, leaving us to conclude that a moderate CHO intake appears sufficient to mediate the various factors we know have an impact on iron regulation.

## 5. Energy Availability and Iron Regulation

While CHO has received significant attention as a potential moderator of iron metabolism, a more recent focal point has been the impact of inadequate energy availability. LEA in athletes, which can arise from restrictions in energy intake, excessive energy expenditure, or a combination of both, is thought to impair key physiological processes that underpin health and performance [6]. Interestingly, it has been suggested that low iron stores may contribute to LEA or its clinical manifestations, yet it is also acknowledged that LEA may itself contribute to low iron status in athletes [75]. With no innate mechanism available for the body to synthesize iron, humans are solely reliant on dietary iron sources to replace incidental daily [76] and exercise-induced [35] iron losses, including the replacement of iron used for adaptive purposes. Absolute restriction of energy intake, which is commonly involved in scenarios of LEA in weight restricted or weight sensitive sports [77], may contribute to reduced intake of micronutrients, exacerbated by disordered eating or other restrictions of dietary range. Furthermore, scenarios of LEA can also be accompanied by very high energy expenditures resulting from excessive training loads, which potentially increase exercise-induced iron losses via mechanisms such as hemolysis, sweating, gastrointestinal bleeding, inflammation and hepcidin elevations [35]. This mismatch between iron losses and iron intake may partially explain the high rate of iron deficiency commonly observed in athletes with LEA [18].

The action of the iron regulatory hormone hepcidin may also be influenced by LEA. Increases in resting hepcidin concentrations have been reported in physically active military personnel completing a 4 day military training exercise, that elicited a 55% energy deficit and 2.7 kg decrease in body mass (EI =  $\sim 2200$  kcal·day<sup>-1</sup>; EE =  $\sim 6100$  kcal·day<sup>-1</sup>) [78]. Here, the increase in resting hepcidin levels seen was positively associated with energy expenditure ( $r = 0.40$ ), and negatively correlated with energy balance ( $r = -0.43$ ). However, the macronutrient content of the diet had no influence on either IL-6 or hepcidin levels. Collectively these data indicate a link between hepcidin expression and energy provision, occurring independently of an inflammatory stimulus, highlighting the importance of maintaining energy availability to avoid unnecessary elevations in hepcidin concentrations. Similar findings were reported in a crossover study of highly trained endurance athletes, where resting hepcidin concentrations were increased by a 3 day exposure to LEA (18 kcal·kg·FFM<sup>-1</sup>) compared to a diet of sustained adequate energy availability diet (52 kcal·kg·FFM<sup>-1</sup>) [79]. In this same study, 75 min of treadmill running at 70% VO<sub>2max</sub> yielded a significantly larger post-exercise IL-6 response in the LEA trial, compared to the adequate EA condition. Here, a steady decline ( $-28\%$ ) in muscle glycogen content was evident over the 3-day LEA period, which is likely responsible for the augmented inflammatory response reported. However, despite differences in IL-6 between dietary conditions, no significant differences in hepcidin levels at 3 h post-exercise were reported. Taken together, the differences in resting hepcidin concentrations between dietary conditions (without changes to inflammation), in addition to a similar post-exercise hepcidin increase (occurring despite differences in IL-6 levels), provides further evidence that LEA may be influencing hepcidin activity via a non-inflammatory mechanism that is independent of the STAT-3 pathway primarily responsible for CHO induced alterations in hepcidin levels [40].

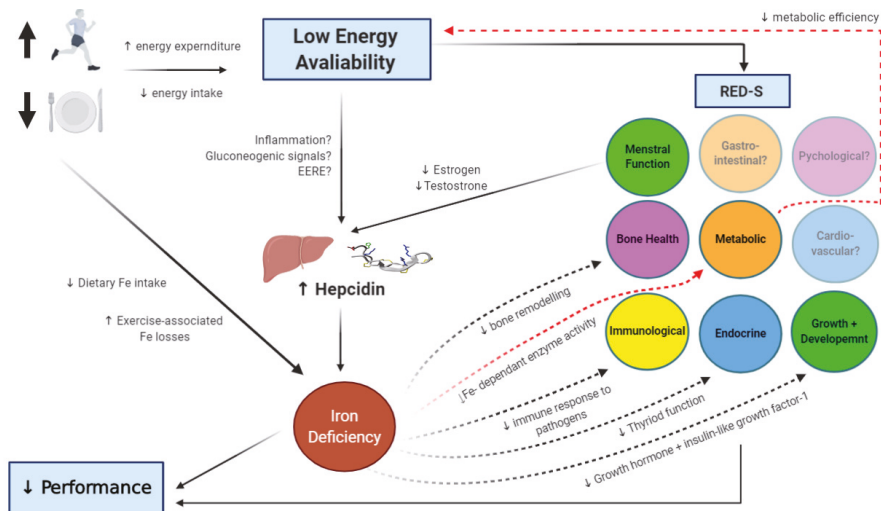
The precise mechanism underpinning the observed alterations to hepcidin concentrations associated with LEA are still in question. One prospect is the regulation of hepcidin via gluconeogenic signaling [80]. For instance, in response to metabolic disturbances induced by food deprivation, a  $\sim 5$ -fold increase in transcription of the hepcidin gene (hepcidin antimicrobial peptide; HAMP) has been reported. This upregulation was followed by a  $\sim 2$ -fold increase in hepcidin concentrations, attributed to activation of cyclic adenosine monophosphate response binding protein (CREBH) [80]. This study demonstrated the ability for hepcidin to be a gluconeogenic sensor during times of starvation, potentially making this pathway a candidate to explain the increased hepcidin expression in athletes with LEA. Alternatively, the expression of another key regulator of iron metabolism, erythroferrone (ERFE), can also be regulated by nutrient availability [81]. Increases in ERFE act as an inhibitor of hepcidin expression, and therefore, ERFE reductions that occur in response to starvation result in an

increase in hepcidin concentrations [81]. Irrespective of the current lack of knowledge surrounding the precise mechanistic pathway relevant here, the increase in hepcidin levels that are evident in scenarios of LEA has led to the proposition that hepcidin could be a useful biomarker for early identification of LEA in athletes [82]. Although this is an intriguing prospect, it is noted that the majority of evidence supporting this association has been largely drawn from animal studies, or models of starvation. Therefore, it remains to be determined whether LEA in athletes creates metabolic perturbations of sufficient magnitude to alter these signaling pathways. Accordingly, future research should identify the exact mechanism(s) responsible for alterations in hepcidin expression caused by LEA before it can be considered as a useful biomarker in the early identification of this issue in athletes.

Interestingly, it is also possible that hepcidin concentrations may be indirectly affected by LEA as a secondary response to other hormonal perturbations. A study of exercising military personnel had participants placed into a 55% energy deficit for 28 days, while receiving either a weekly 200 mg testosterone injection, or an isovolumetric placebo [83]. Participants receiving placebo injections reported no changes to serum ferritin or hepcidin levels over the 28-day energy deficit period, however, a decline in hemoglobin concentrations and erythropoiesis was noted. In comparison, participants that were supplemented with testosterone reported a 41% decline in hepcidin levels, which increased iron availability to support a 34% increase in erythropoietin concentrations, allowing erythropoiesis and hemoglobin concentrations to be sustained during the energy deficit. These outcomes indicate that testosterone has suppressive effects on hepcidin expression, which is particularly important in scenarios of LEA where hepcidin may otherwise be elevated. Moreover, the key female sex hormone, estrogen, can have a similar suppressive effect on hepcidin concentrations [84,85]. Such findings become interesting in the context of athletes, where low sex hormone concentrations are a common outcome of sustained LEA and part of the clinical sequelae of RED-S [7,86]. Therefore, an increase in hepcidin expression may indirectly occur in response to declining estrogen or testosterone concentrations, which may subsequently implicate poor iron stores as a secondary outcome of LEA.

While we have primarily reviewed mechanisms by which LEA can augment hepcidin levels, potentially leading to a state of iron deficiency, there is also evidence of a bi-directional relationship in which low iron stores contribute to an energy deficit (Figure 1). For instance, the oxidative production of adenosine triphosphate (ATP) through the electron transport chain requires non-heme iron sulphur enzymes and heme-containing cytochromes [22]. In cases where iron stores are compromised, an athlete may become metabolically inefficient, characterized by a shift from ATP production via oxidative phosphorylation towards anaerobic metabolism. This effect increases the energy expenditure for a given exercise task, potentially reducing energy availability [6]. Additionally, low iron stores may exacerbate some of the other negative health outcomes associated with LEA (Figure 1) [75]. For example, associations between iron status and bone mineral density have been reported in non-athletic populations [87], leading to the assertion that chronic iron deficiency can induce bone resorption [88]. Impairments in bone turnover markers have been demonstrated in studies where LEA has been induced [89,90], which over time, may lead to poor bone health. In athletes with both depleted iron stores and LEA, the negative impact on markers of bone turnover may be amplified, potentially accelerating the progression towards undesirable and irreversible conditions such as osteopenia. Another key system possibly influenced by LEA is the immune system [6], with evidence of an increased incidence of illness reported in athletes with LEA [91]. However, it has also been proposed that LEA has minimal impact on immunity, and studies demonstrating this association may instead be mediated by poor mental health (e.g., anxiety or perceived stress) [92], another proposed consequence (and cause) of LEA in athletes [6]. Nevertheless, iron plays an important role in mounting an effective immune response to invading pathogens, and iron deficiency may contribute to decreased immune resistance and increased susceptibility to infection [93]. It may be that when LEA and depleted iron stores occur simultaneously in athletes, that immune resistance is further compromised, potentially via the indirect effect of LEA on psychological health, which is known to impact immune resistance [94]. Finally, iron deficiency can reduce thyroid functioning [95], and decrease the release of

growth hormone and insulin-like growth factor [96]. These alterations (which also occur in scenarios of LEA to preserve energy [6]) can have wide-reaching effects, including interfering with growth, reproduction, bone health, and metabolism [75,97]. Accordingly, it may be that the identification of an iron deficiency can serve as an early indicator of LEA, and therefore, dietitians working with athletes wishing to correct an iron deficiency might also consider screening for LEA and clinical signs of RED-S. Furthermore, correcting an iron deficiency may be an important first step in minimizing some of the other negative health consequences that can result from LEA.



**Figure 1.** Schematic representation of the interactions between low energy availability (LEA) and iron status in athletes. Solid lines identify pathways where LEA is thought to affect iron status, often mediated by hepcidin expression. Broken lines indicate how iron deficiency can exacerbate other health consequences associated with LEA in relation to the Relative Energy Deficiency in Sport syndrome (RED-S) [6].

## 6. Conclusions

It appears that nutrient availability can impact the iron regulatory response to exercise. With regard to CHO availability, acute manipulation of muscle glycogen content, which causes the athlete to “train low”, appears to increase hepcidin levels during the recovery from exercise. Therefore, athletes who wish to integrate this specialized training strategy into a periodized training/nutrition program should focus the nutrient manipulation on training sessions that are low in intensity and short in duration to minimize any potential influence on hepcidin concentrations and iron regulation. To date, chronic investigations of CHO restriction (i.e., ketogenic LCHF diets) have not shown clear evidence of negative effects on either iron status or iron regulation. However, dietary iron content is typically lower in LCHF menus as compared to that of CHO-rich diets, which should be considered when athletes are adopting these approaches long term. As for the impact of energy availability, investigations in animal models and of military personnel indicate a link between LEA and iron metabolism; however, studies to date in athletes are limited. Accordingly, future research should be directed towards understanding the effects of energy deficit (both acute and chronic) on hematological functions, and well as their interaction with other health systems.

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Review

# What Should I Eat before Exercise? Pre-Exercise Nutrition and the Response to Endurance Exercise: Current Prospective and Future Directions

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**Abstract:** The primary variables influencing the adaptive response to a bout of endurance training are exercise duration and exercise intensity. However, altering the availability of nutrients before and during exercise can also impact the training response by modulating the exercise stimulus and/or the physiological and molecular responses to the exercise-induced perturbations. The purpose of this review is to highlight the current knowledge of the influence of pre-exercise nutrition ingestion on the metabolic, physiological, and performance responses to endurance training and suggest directions for future research. Acutely, carbohydrate ingestion reduces fat oxidation, but there is little evidence showing enhanced fat burning capacity following long-term fasted-state training. Performance is improved following pre-exercise carbohydrate ingestion for longer but not shorter duration exercise, while training-induced performance improvements following nutrition strategies that modulate carbohydrate availability vary based on the type of nutrition protocol used. Contrasting findings related to the influence of acute carbohydrate ingestion on mitochondrial signaling may be related to the amount of carbohydrate consumed and the intensity of exercise. This review can help to guide athletes, coaches, and nutritionists in personalizing pre-exercise nutrition strategies, and for designing research studies to further elucidate the role of nutrition in endurance training adaptations.

**Keywords:** cycling; running; carbohydrate; adaptations; periodization; fasting

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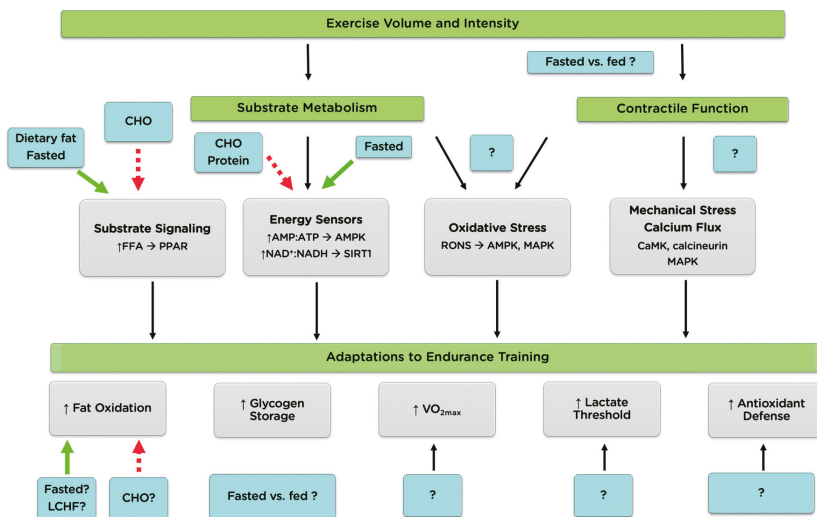
## 1. Introduction

From Olympians to recreational exercisers, athletes of all levels face the same questions—what should I eat before exercise, and how does it affect my training? Despite being relevant to anyone performing exercise, many questions relating to the effects of nutritional intake on endurance training responses and adaptations remain unanswered.

The duration and intensity of exercise are the most important factors influencing the adaptive response to endurance training [1]. However, strategies altering nutrient availability before and during exercise can also impact training adaptations by modulating the exercise stimulus and/or cellular responses to the exercise-induced perturbations [2]. Specific strategies to alter nutrient availability can include exercising in the overnight-fasted state, restricting carbohydrate (CHO) ingestion between training sessions, and increasing CHO ingestion before or during exercise [3]. Although performance may be improved following pre-exercise CHO ingestion [4,5], exercise undertaken with reduced availability of CHO can increase the activation of key signaling proteins compared with exercise performed with high CHO availability [6], potentially influencing longer-term training adaptations.

Among the intracellular signals comprising the endurance training response are mechanical stretch, reactive oxygen and nitrogen species (RONS), calcium flux, AMP:ATP ratio, and the availability of endogenous CHO and free fatty acids (FFA) [7,8]. These signals are affected by both the duration

and intensity of an exercise session, and by the pre-exercise nutrition choices of an athlete (i.e., the size, type, and timing of the pre-exercise meal(s), Figure 1). Although some lines of evidence suggest ingesting CHO before exercise can negatively influence endurance training adaptations, contrasting findings have been reported. For example, ingesting CHO has decreased [9], increased [10], or had no effect [11] on the activity of the 5' AMP-activated protein kinase (AMPK) following exercise. Similarly, training-induced improvements in maximal oxygen consumption ( $VO_{2max}$ ) have been reported to increase [12], decrease [13], or remain unchanged [14] following 4–6 weeks of CHO-fed compared with fasted-state training. These contrasting findings can be a source of confusion and may explain why the beliefs and practices relating to the role and influence of pre-exercise nutrition vary so widely among coaches and athletes [15,16]. Accordingly, the purpose of this review is to highlight the current knowledge of the influence of pre-exercise nutrition ingestion on the metabolic, physiological, and performance responses to endurance training. We also highlight areas for practitioners where evidence is lacking, particularly regarding trained athletes, and suggest directions for future research.



**Figure 1.** Schematic of areas where pre-exercise nutrition has the potential to impact the adaptive responses to endurance training. Green arrows suggest the potential to increase or augment specific signaling, and red dashed arrows suggest the potential to decrease or impair specific signaling. Abbreviations: AMPK, AMP-activated protein kinase; CaMK, calcium/calmodulin-stimulated protein kinase; CHO, carbohydrate; FFA, free fatty acids; LCHF, low-CHO high-fat; MAPK, mitogen-activated protein kinase;  $VO_{2max}$ , maximal oxygen consumption.

## 2. Acute Responses to Pre-Exercise Nutrition Intake

The vast majority of pre-exercise nutrition interventions have been conducted in an acute context. Although acute responses to training do not always correspond with long-term adaptations [17,18], the accumulation over time of transient, exercise-induced changes in gene expression are thought to be the driving factor behind many adaptations to training [19]. Therefore, it is relevant to consider the acute effects of pre-exercise nutrition in addition to the longer-term adaptations.

### 2.1. Metabolism and Substrate Oxidation

The liver plays a key role in metabolic regulation during extended exercise [20]. Despite the ~40% reduction in liver glycogen following an overnight fast [21], blood glucose concentration can be maintained at normal levels during exercise due to increased gluconeogenesis and/or decreased

utilization of glucose in skeletal muscle [22,23]. However, fatigue during extended exercise is often associated with reduced blood glucose concentrations [24], supporting a critical role for liver glycogen in achieving optimal performance during extended exercise.

Exercising in the fasted-state generally allows higher levels of fat oxidation than exercise performed in the CHO-fed state during low-to-moderate intensity exercise [25] and can increase the relative intensity where maximal fat oxidation occurs [26]. Ingesting CHO before exercise increases plasma glucose and insulin levels, leading to a reduction in hepatic glucose output and an increase in skeletal muscle glucose uptake during exercise [27]. This can lower fat oxidation by decreasing plasma FFA availability via insulin-mediated inhibition of lipolysis [28], and also by inhibiting fat oxidation within the muscle due to an increased glycolytic flux [29]. Intramuscular triglycerides (IMTG) provide a key substrate for fat oxidation, primarily during exercise in the fasted state [30,31], although their use declines as the duration of exercise extends, while the oxidation of plasma FFA increases [32]. Up to 6 h may be required following a CHO-rich meal for substrate oxidation and glucose homeostasis to return to levels observed during fasted-state exercise [33].

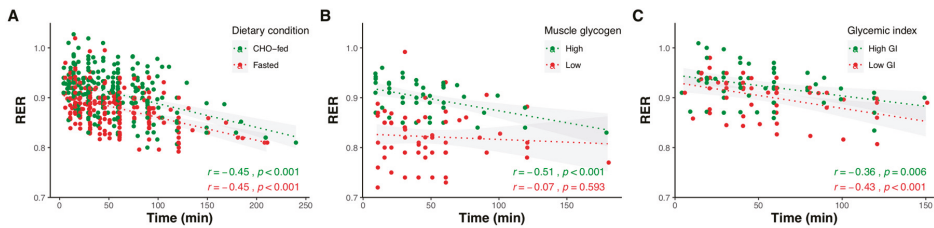
In contrast with exercise performed in the overnight-fasted state, which lowers hepatic but not muscle glycogen [34], restricting CHO between training sessions allows exercise to be undertaken with reduced muscle glycogen concentrations [35]. During exercise with low muscle glycogen there is an increase in the oxidation of fat [36,37] and amino acids [38,39], and a reduction in muscle glycogen breakdown [36,40,41]. During exercise undertaken with normal muscle glycogen levels, muscle glycogen breakdown is similar between fed and fasted-state exercise [31,42–44] and may be reduced when ingesting CHO during exercise [45].

The majority of research looking at fat oxidation has compared CHO to a placebo, but the use of pre-exercise protein ingestion represents an interesting and under-researched area. Consumption of protein before and during steady-state exercise did not affect FFA availability or whole body fat oxidation compared with fasted-state exercise commenced with normal [46] or lowered [47] muscle glycogen concentration, despite elevated insulin levels. This may be related to the increases in catecholamine levels during exercise, which are an important determinant of the adipose tissue lipolytic rate and can override the inhibition by insulin [48]. Although protein ingestion before exercising in a low-glycogen state has no effect on rates of muscle protein synthesis, it is plausible that it could reduce muscle protein breakdown during exercise [49]. It also appears possible that pre-exercise protein ingestion increases amino acid oxidation during exercise [49], but further quantification of its influence is needed.

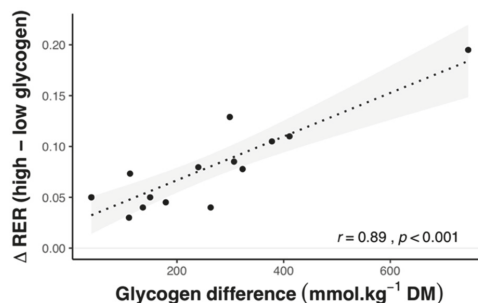
To compare the influence of pre-exercise CHO ingestion, muscle glycogen levels, and glycemic index on substrate oxidation and AMPK activity, we pooled the results of 125 studies (available as supplementary online files) that included the relevant intervention groups (Figures 2–7). Together, these studies included 1245 subjects (12.8% female), with an average age, BMI, and  $\text{VO}_{2\text{max}}$  of  $25.4 \pm 3.1$  years,  $23.2 \pm 1.4$   $\text{kg m}^2$ , and  $56.7 \pm 8.2$   $\text{mL kg}^{-1} \text{min}^{-1}$ . Linear correlation analysis was used to calculate the correlation coefficient between variables, according to Pearson's product moment ( $r$ ) using R statistical software. Pooled data are reported as mean  $\pm$  SD, with the level of statistical significance set at  $p < 0.05$ .

### 2.1.1. Effect of Exercise Duration

The respiratory exchange ratio (RER—a measure of substrate oxidation) decreases with exercise duration, indicating an increasing reliance on fat oxidation as the duration of exercise extends [50]. Differences in RER between exercising in the fed vs. fasted state and following low vs. high glycemic index CHO remain largely similar throughout exercise, while the differences in RER between high and low starting muscle glycogen decrease as exercise duration extends (Figure 2). The latter could presumably be related to the greater utilization of muscle glycogen during exercise undertaken with higher levels of glycogen, leading to more similar levels during the later stages of exercise. This idea is supported by the pooled data, which show a strong correlation ( $r = 0.89$ ,  $p < 0.001$ ) between the differences in pre-exercise glycogen levels and differences in RER during exercise (Figure 3).



**Figure 2.** Substrate oxidation in relation to exercise duration for studies reporting respiratory exchange ratio (RER) at multiple time points comparing overnight-fasted and/or CHO-fed exercise with normal muscle glycogen levels (A), exercise undertaken with high ( $471 \pm 208 \text{ mmol kg}^{-1}$  dry mass) and low ( $232 \pm 112 \text{ mmol kg}^{-1}$  dry mass) muscle glycogen levels (B), and following high ( $82 \pm 10$ ) and low ( $36 \pm 9$ ) glycemic index meals (C). Shaded areas represent 95% confidence intervals. Data were obtained by pooling results from 60 studies (see supplementary files for references).



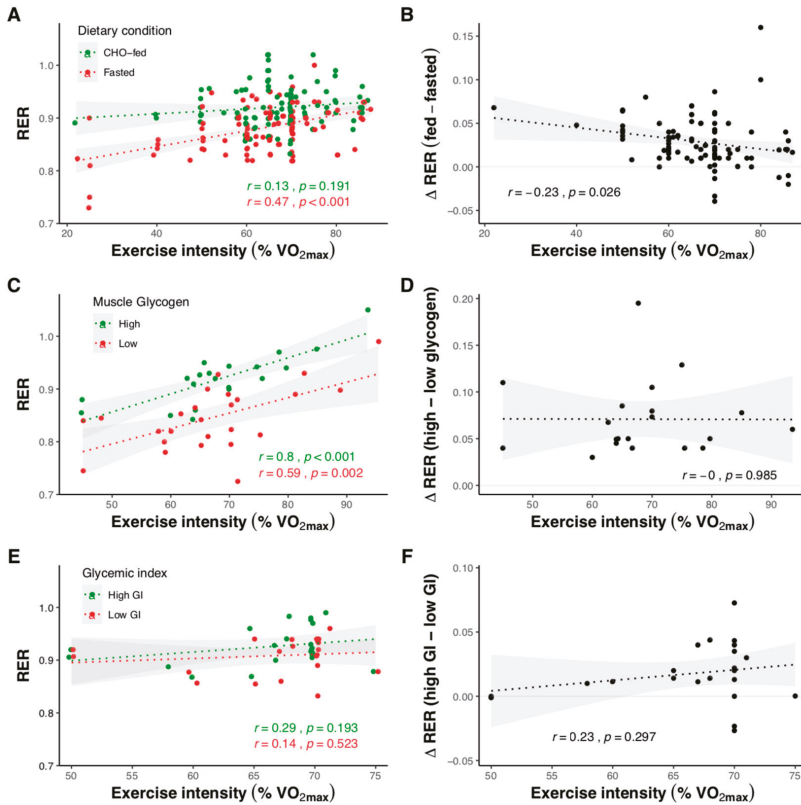
**Figure 3.** Correlation between differences in respiratory exchange ratio (RER) during exercise and differences in pre-exercise glycogen levels. Shaded area represents 95% confidence intervals. Data were obtained by pooling results from 13 studies that manipulated glycogen levels and reported RER for high- and low-glycogen trials (see supplementary files for references). DM = dry mass.

### 2.1.2. Effect of Exercise Intensity

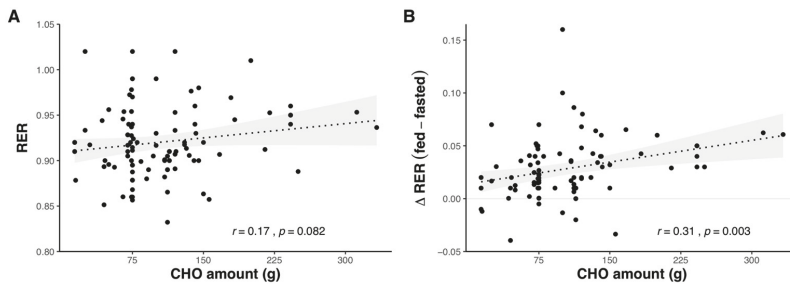
Exercise intensity is well-established to influence substrate oxidation during exercise, with RER increasing with intensity [51]. Differences in RER between fed and fasted-state exercise are larger at lower intensities and decrease as intensity increases (Figure 4A,B). In contrast, exercise undertaken with low muscle glycogen maintains lower RER values compared with normal glycogen, despite increasing exercise intensity (Figure 4C,D). The glycemic index of the pre-exercise meal appears to have minimal effects on the relationship between intensity and substrate oxidation (Figure 4E,F).

### 2.1.3. Effect of Carbohydrate Amount

Several studies have directly compared varying amounts of CHO ingested before exercise, either showing no differences in substrate oxidation with varying amounts of pre-exercise CHO [5,52–54], or differences throughout all [55] or portions [56,57] of the exercise bout. When pooling a number of studies together, there is a weak positive relationship between the amount of CHO ingested and RER during subsequent exercise, while differences in RER between CHO-fed and fasted-state exercise increase as the amount of CHO ingested is increased (Figure 5).

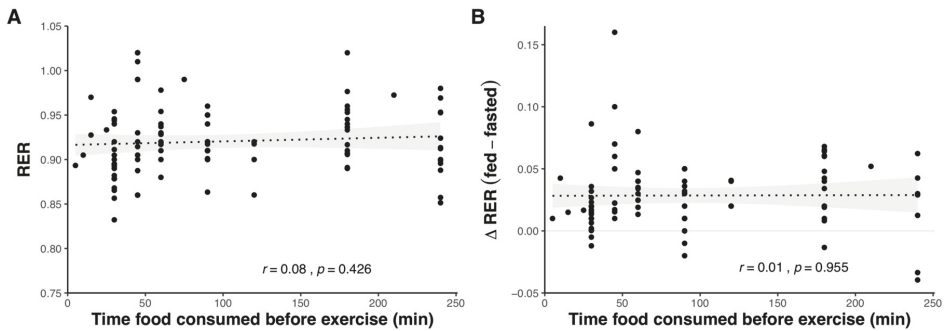


**Figure 4.** Substrate oxidation in relation to exercise intensity for studies comparing overnight-fasted and CHO-fed exercise with normal muscle glycogen levels (A,B), exercise undertaken with high ( $471 \pm 208$  mmol kg<sup>-1</sup> dry mass) and low ( $232 \pm 112$  mmol kg<sup>-1</sup> dry mass) muscle glycogen levels (C,D), and following high ( $82 \pm 10$ ) and low ( $36 \pm 9$ ) glycemic index meals (E,F). Shaded areas represent 95% confidence intervals. Data were obtained by pooling results from 103 studies (see supplementary files for references).

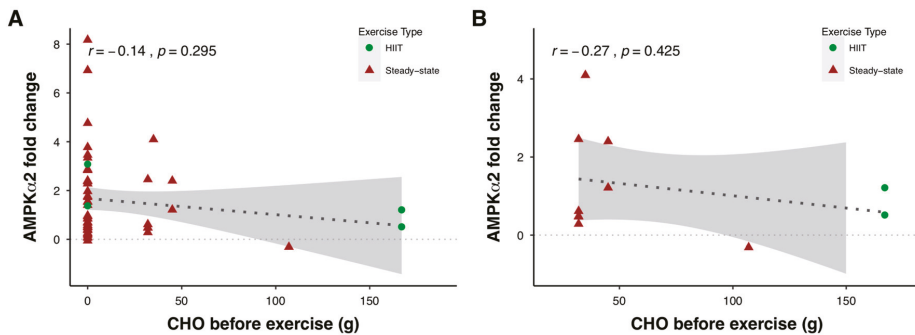


**Figure 5.** Substrate oxidation in relation to amount of carbohydrate (CHO) consumed before exercise, as absolute RER value during exercise (A) and difference in RER between fed and fasted-state exercise (B). Shaded areas represent 95% confidence intervals. Data were obtained by pooling results from 76 studies (see supplementary files for references).





**Figure 6.** Substrate oxidation in relation to the time food was consumed before exercise, as absolute RER value during exercise (A) and difference in RER between CHO-fed and fasted-state exercise (B). Shaded areas represent 95% confidence intervals. Data were obtained by pooling results from 76 studies (see supplementary files for references).



**Figure 7.** Relationship between AMPK $\alpha$ 2 activity during exercise (measured as fold-change from pre-exercise resting levels to immediately post-exercise) and carbohydrate (CHO) intake before exercise including (A) and excluding (B) studies that tested in the overnight-fasted state. HIIT: high-intensity interval training. Shaded areas represent 95% confidence intervals. Data were obtained by pooling results from 22 studies (see supplementary files for references), which included 265 participants (6.0% female),  $25.1 \pm 2.8$  years,  $VO_{2max} 52.9 \pm 11.0$  mL  $kg^{-1} min^{-1}$ .

#### 2.1.4. Effect of Pre-Exercise Meal Timing

The amount of time before exercise food is consumed is another factor that can influence metabolism and substrate oxidation, and studies have undertaken exercise in the fed state between 5 [58] and 240 min [59,60] post-prandial. Although direct comparisons of the influence of meal-timing are limited, no differences in substrate oxidation were found when the same meals were ingested 15, 45, or 75 min [61] and 30, 60, or 90 min [62] before exercise. When consumed within 4 h of exercise, the amount of time prior to exercise does not have a meaningful impact on substrate oxidation (Figure 6).

#### 2.1.5. Summary and Future Directions

During submaximal steady-state exercise, fat oxidation is generally higher in the overnight-fasted compared with CHO-fed state. Although fat oxidation increases with exercise duration, fasted-state exercise increases fat burning throughout the duration of exercise compared with consuming CHO before exercise. However, as exercise intensity increases the difference in fat oxidation between CHO-fed

and fasted-state exercise diminishes. Fat oxidation is also higher when undertaking exercise with low, compared with normal muscle glycogen levels, with the differences maintained across varying exercise intensities but diminishing as the duration of exercise extends. While the amount of time before exercise food is consumed does not meaningfully influence substrate oxidation, greater amounts of CHO in the pre-exercise meal leads to greater differences in substrate oxidation between fed and fasted-state exercise. These findings are most applicable to moderately-trained males, who made up ~87% of study participants. Substrate metabolism may differ between males and females [63], with differences further affected by the female menstrual cycle [64] and the use of oral contraceptives [65]. Additionally, sedentary populations typically show no differences in post-exercise glucose, insulin, or FFAs between fasted and fed conditions [66], which is in contrast with trained athletes [67–69] who also show a greater capacity for fat oxidation compared with untrained or recreationally active populations [70].

Despite fasted-state training being performed by a large number of endurance athletes [15], there are potential negative implications from its use. Particularly for athletes doing a high volume of training, exercising in the overnight-fasted state could more likely lead to a negative energy balance, which can be associated with hormonal and immune dysfunction [71]. As a method of providing energy intake while still allowing higher levels of fat oxidation, future studies should examine the effects of a protein-rich breakfast on fat oxidation during exercise, in direct comparison with exercise following a CHO-rich breakfast and in the overnight-fasted state. As this approach is currently utilized by few endurance athletes [16], it could be a useful tool for those who want to increase fat burning without incurring a large caloric deficit. The influence of various pre-exercise meals on gut comfort should also be investigated, as a large number of athletes perform fasted-state training to avoid gut discomfort [15]. Exercise-induced gastrointestinal distress is beyond the scope of this review but has been reviewed elsewhere [72,73].

## 2.2. Cell Signaling

Among the key intracellular signals influencing skeletal muscle adaptations to endurance training are changes in the AMP:ATP ratio, contraction-induced changes in mechanical strain, increased calcium flux, an increase in RONS, and the availability of endogenous CHO and FFA [7,8,74]. Nutritional intake has the potential to modify signaling across several of these pathways, primarily related to energy sensing and nutrient availability.

### 2.2.1. Energy Sensing and the AMP-Activated Protein Kinase

The 5' AMP-activated protein kinase (AMPK) is a cellular energy sensor that regulates cellular and whole-body energy balance by inhibiting ATP-consuming pathways and activating ATP-producing pathways [75]. Activation of AMPK can lead to a range of metabolic adaptations including increases in glucose uptake, glycolytic flux, fat oxidation, and mitochondrial biogenesis [76]. The degree of AMPK activation during exercise can be influenced by exercise intensity [77], training status [78], muscle glycogen [79], and nutrient availability [80].

When starting exercise with normal muscle glycogen levels, studies that have shown a blunting effect of CHO ingestion on AMPK- $\alpha$ 2 activity [9,81] have been at lower intensities than those showing no differences between CHO-fed and fasted-state exercise [11,82]. Conversely, exercise that is undertaken with low, compared with normal muscle glycogen levels, has resulted in greater increases in the activity of AMPK- $\alpha$ 2 following 1 h of steady-state endurance exercise at 65–70%  $\text{VO}_{2\text{max}}$  [83–85], but similar increases in AMPK activity and/or phosphorylation were seen following both exhaustive and non-exhaustive high-intensity exercise undertaken with high and low muscle glycogen levels [86–88]. Therefore, ingesting CHO before exercise may dampen AMPK activity during low but not high-intensity exercise, and an intensity threshold may exist below which CHO ingestion could blunt AMPK signaling.

The CHO content of the pre-exercise meal size could also influence molecular signaling. Compared with exercising in the fasted state, consumption of <70 g CHO prior to exercise had no effect [11,82] or even increased [10] skeletal muscle AMPK signaling following exercise compared with exercise

performed in the fasted state. In contrast, ingesting 130–160 g of CHO before exercise reduced the exercise-induced increases in AMPK<sup>Thr172</sup> phosphorylation [89], with the phosphorylation of acetyl-CoA carboxylase (ACC) decreased [36] or unaffected [89]. When pooling a number of studies together, non-significant correlations can be observed between the exercise-induced increases in AMPK- $\alpha$ 2 activation and CHO intake before exercise (Figure 7). Future studies that are designed to examine the relationships between meal size, exercise type and intensity, and AMPK activity are warranted.

Interpretation of the research comparing pre-exercise nutrition choices on AMPK activity during exercise is complicated by the small number of studies available, training status of participants, and specific markers being reported. For example, AMPK- $\alpha$ 2 activity during exercise is reduced by short- and longer-term endurance training, making it difficult to compare between trained and untrained subjects [78,90,91]. Additionally, some studies report the phosphorylation of AMPK<sup>Thr172</sup>, which reflects phosphorylation of both AMPK- $\alpha$ 1 and - $\alpha$ 2 subunits and may be less sensitive for detecting changes in AMPK activity that are only occurring in the - $\alpha$ 2 subunit that is more responsive to exercise [81,82,86]. Further complicating interpretation of the available literature, several studies have shown a blunting effect of CHO ingestion on AMPK- $\alpha$ 2 activity or AMPK<sup>Thr172</sup> phosphorylation, yet similar increases in phosphorylation of ACC, a downstream substrate of AMPK [81,83,92]. Similar increases in PGC-1 $\alpha$  mRNA expression following HIIT performed with low or high CHO availability have also been reported, despite phosphorylation of ACC being reduced by high CHO availability [36,93]. Furthermore, despite an attenuation of exercise-induced AMPK activation when ingesting CHO during a single bout of exercise [81], no differences in training adaptations were observed following 10 weeks of training with or without CHO ingestion during exercise [94]. These apparent discrepancies could be due to crosstalk between signaling pathways and/or the wide variability in exercise-induced changes in mRNA expression [95] and highlight the importance of looking at longer-term changes in mitochondrial content or function rather than acute changes in specific proteins.

### 2.2.2. Contraction-Induced Signaling

Another key intramuscular signal comes from increased calcium released during muscle contraction. Calcium-dependent transcriptional pathways play important roles in regulating fat oxidation, mitochondrial biogenesis, and muscle fiber-type changes via myocyte enhancer factor 2 (MEF2) and p38 mitogen-activated protein kinase (MAPK) [96–99]. Few studies have compared the effects of nutrition interventions on calcium-dependent, contraction-induced signaling pathways. There appear to be minimal effects of exercise performed in the fed vs. fasted-state or with varying levels of muscle glycogen [36,87,89,100], but some evidence suggests p38 may be sensitive to nutrient status [101,102]. Although more research is needed, the independence of these pathways from nutritional influence could help to explain why similar longer-term changes could be observed when training under differing nutritional conditions.

### 2.2.3. Substrate Signaling

Exercise performed in the overnight-fasted state generally results in higher levels of FFA compared with CHO-fed exercise, and an inverse relationship is seen between FFA concentration and CHO oxidation during exercise [33]. In addition to acting as substrate for  $\beta$ -oxidation in the mitochondria, FFA also play a role in molecular signaling cascades that regulate fatty acid metabolism and mitochondrial biogenesis, via activation of peroxisome proliferator-activated receptors (PPAR), MAPKs, and sirtuin 1 [7,103–105]. Some studies have found differences in FFA between fed and fasted state throughout an entire bout of exercise [50,106], while others have shown differences appearing from 20 [59], 30 [107], 45 [4], or 60 min [108] into exercise. These differences do not appear to show any pattern related to meal size, time of ingestion, or exercise intensity. Similar levels of FFA are found during exercise in the fasted-state and following ingestion of a high-fat meal [60,109] or following pre-exercise protein ingestion with normal [46] and low [47] muscle glycogen levels. Although a

high-fat diet, in the absence of exercise, can increase rates of fat oxidation during exercise, a high-fat intake by itself does not increase mitochondrial content or exercise performance without simultaneously engaging in exercise training [105]. Future studies are needed to determine if differences in FFA during CHO-fed vs. fasted-state can significantly alter training adaptations.

#### 2.2.4. Reactive Oxygen and Nitrogen Species

Rather than simply being a byproduct of oxidative stress, RONS play a direct role in regulating the response to both acute exercise (e.g., muscle contractile function, glucose uptake, blood flow, and cell bioenergetics) and longer-term exercise training (e.g., mitochondrial biogenesis, muscle hypertrophy, angiogenesis, and redox homeostasis) [110]. Very little research exists looking at the influence of a pre-exercise meal on the oxidative stress response to a bout of exercise. At rest, a high-CHO meal can evoke a greater postprandial oxidative stress response compared with a high-fat meal [111], while the addition of olive oil to a meal reduced post-meal increases in oxidative stress markers, such as NADPH oxidase and 8-isoprostane, both of which have been associated with endurance training adaptations [112–114]. Acute and chronic fruit ingestion can dampen lipid oxidation during exercise [115], and fruit-derived phenolic compounds may promote muscle fiber-type transformation [116]. Whey protein can also impact the antioxidant defense system by enhancing activity of the endogenous antioxidant enzymes [117]. It is currently unknown how various pre-exercise meals affect oxidative stress in response to exercise and if there are any longer-term training implications.

#### 2.2.5. Summary and Future Directions

Overall, it appears that ingesting small amounts of CHO (<75 g) does not meaningfully impair mitochondrial signaling, but lower-intensity exercise may be more influenced by CHO ingestion than high-intensity exercise. Beyond the differences in exercise intensity and duration, interpretation of the existing literature is further challenged by several studies comparing the effects of fasted and CHO-fed exercise that have provided CHO both before and during exercise [9,30,118,119]. This is relevant because CHO ingestion during exercise can reduce muscle glycogen breakdown [45], which itself may be a key signal for AMPK activity [79] and alter levels of TCA cycle intermediates [120].

Although crosstalk between signaling pathways exists, higher-volume endurance training is more likely to influence training adaptations through the contraction-induced signaling pathways, while higher-intensity training, which increases the AMP:ATP ratio, appears more likely to signal for mitochondrial biogenesis through energy-sensing pathways [121]. It is possible that there may be a threshold for the amount of CHO ingested before exercise (~75 g), above which may impair intracellular (e.g., AMPK) signaling, independent of muscle glycogen levels. This is relevant as a large number of endurance athletes report consuming a small amount of CHO-based foods before training [15]. It is also possible that the influence of CHO ingestion on AMPK signaling may be related to exercise intensity. Future research could seek to better understand the interplay between exercise intensity and the amount of CHO ingested before and/or during exercise, bearing in mind that interactions between CHO ingestion and exercise intensity may be different during continuous and intermittent exercise [122]. Additionally, a better understanding of the influence of pre-exercise nutrition on RONS signaling during exercise is needed.

### 2.3. Performance

Pre-exercise CHO ingestion has been found to generally enhance prolonged (>60 min), but not shorter duration aerobic exercise performance [66]. However, ingesting CHO during exercise minimizes the differences between consuming CHO or a placebo prior to exercise [123–126]. The vast majority of studies comparing performance in the fed or fasted state have used steady-state endurance exercise [66], but similar effects of exercise duration are found with HIIT, as performance was improved in the fed state for 90 min of high-intensity intermittent running [68,127], but not short-duration HIIT [128–130]. However, one study showed a benefit of pre-exercise CHO ingestion on an exercise capacity test

lasting ~8–10 min [67]. Several studies have compared high-fat and high-CHO pre-exercise meals with minimal performance differences observed [57,60,125,131].

### 2.3.1. Amount, Type, and Timing of the Pre-Exercise Meal

The amount of CHO (25–312 g) consumed prior to exercise does not have a meaningful influence on time trial performance [5,52,53,55], while the glycemic index appears to have only a small impact that is more likely to be observed in time-to-exhaustion, but not time-trial performance tests [132]. No differences in performance have been observed following pre-exercise ingestion of solid vs. liquid CHO [43], solid vs. gel-based CHO [133,134], or fast-food vs. sport supplements [135]. Timing of the pre-exercise meal has minimal effects when consumed 15, 45, or 75 min [61], 15 or 60 min [129], or 5 or 35 min [58] before exercise, but CHO ingested 30 min before exercise resulted in better performance than 120 min before exercise [67]. Taken together, performing fed vs. fasted exercise appears to have a far larger effect on exercise performance than the amount or timing of the meals, unless the difference in meal timing is at least 90 min. There is some fear of hypoglycemia from consuming CHO between 30–60 min prior to exercise; however, despite occurring in a small number of cases, there does not appear to be any detrimental performance effects or any relationship between low blood glucose concentrations and performance [136].

### 2.3.2. Athlete Perceptions and Behavior

The perception of breakfast is also a consideration when comparing the acute performance effects of pre-exercise CHO intake and fasted exercise. Trained cyclists completed a ~20 min cycling time-trial more quickly when they perceived that they had consumed breakfast (CHO or placebo) prior to the start of the exercise, compared with a fasted exercise session [137], and there was a 4% improvement in ~1 h time-trial performance when cyclists were told the placebo drink actually contained CHO compared with a blinded trial [138]. However, when a time-trial was preceded by 2 h of steady-state cycling, there were no placebo effects observed [139], suggesting placebo effects may be minimized with longer exercise durations. When undertaking exercise with reduced muscle glycogen levels, the perception of CHO availability augmented HIIT capacity, although performance was not restored to that of CHO consumption [140]. In a survey of endurance athletes, 26% agreed and 51% disagreed with the statement, “the quality of my workout is the same whether I eat or do not eat beforehand” [15], making it likely that a large inter-individual variation exists with regard to the perception of breakfast and its influence on performance.

### 2.3.3. Summary and Future Directions

Overall, the importance of consuming CHO before exercise increases as the exercise duration increases and exercising in the fed vs. fasted state appears to have a far greater effect on performance than the size or timing of the meals. To better understand the influence of pre-exercise energy availability vs. CHO availability and its effects on HIIT, future studies should compare fed vs. fasted exercise, along with pre-exercise protein ingestion, in the absence of CHO, prior to both HIIT and steady-state performance tests.

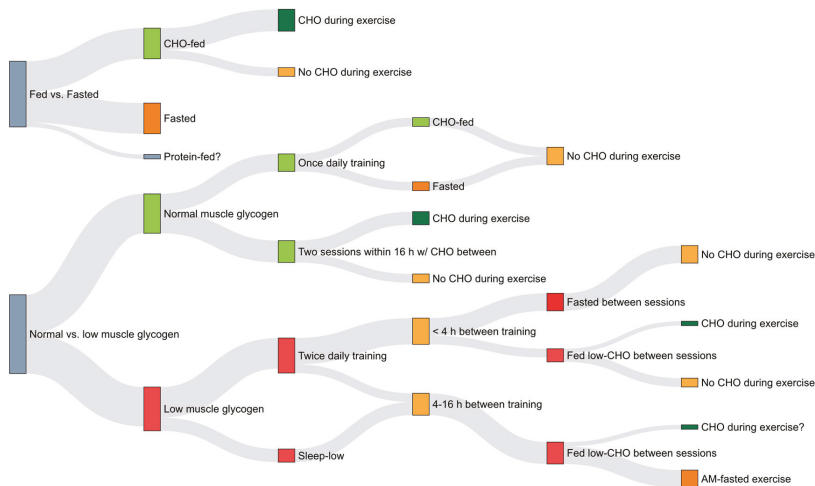
## 3. Training Adaptations

The majority of research looking at pre-exercise nutrition interventions has been in relation to a single exercise session, with far fewer studies looking at the impact on training adaptations. This is relevant because acute responses to exercise do not always correspond with long-term adaptations. For example, increased fat oxidation observed when training with low vs. high CHO availability does not translate into longer-term increases in fat-burning capacity [141,142]. Likewise, blunting key mitochondrial signaling proteins with CHO ingestion during acute exercise did not impair training-induced improvements in performance or mitochondrial biogenesis [81,94]. Therefore, it is

important to understand the changes that occur with chronic training rather than an acute bout of exercise alone.

### 3.1. Skeletal Muscle Adaptation

Of the studies examining the effects of longer-term (>4 weeks) training in the fasted state on endurance adaptations [12–14,143–145], only one [144] has used endurance-trained subjects. Furthermore, almost all studies using moderate-intensity continuous endurance training in the fasted state also provided the fed groups with CHO during exercise, which can independently influence both acute [120] and chronic [93] responses to exercise. Other studies have examined pre-exercise CHO supplementation, though not necessarily in the overnight-fasted state and using untrained subjects [146,147]. Additionally, fasted state training has been used as part of studies comparing low vs. high muscle glycogen [148] and once vs. twice daily training [149]. Therefore, making comparisons across studies is challenged by the variety of methods that have been used to compare high vs. low CHO availability around training sessions (Figure 8).



**Figure 8.** Comparison of the various methods of altering CHO availability used in training studies. Protocols used to commence training with a reduced availability of endogenous carbohydrate include overnight fasting, and training twice within a 24 h period consuming low-CHO nutrition between sessions or remaining in the fasted state. Some studies have fed carbohydrate during exercise, while others have not. Thickness of the line is related to the number of studies using a given approach. Question marks represent areas yet to be studied. Created from [12–14,31,35,93,141–145,148–153], which included 307 participants (10.7% female), 26.3 ± 4.2 years, VO<sub>2max</sub> 53.2 ± 11.0 mL kg<sup>-1</sup> min<sup>-1</sup>.

#### 3.1.1. Substrate Usage

One of the reasons athletes perform training sessions in the fasted state is a desire to increase fat oxidation during exercise [15]. As discussed above (Section 2.1), fat oxidation is higher during an acute bout of exercise performed in the overnight-fasted, compared with the CHO-fed state, and with low compared with high muscle glycogen. Despite these differences, most studies have found no differences in fat oxidation following 4–6 weeks of fed or fasted-state training when tested in the fed [13,14,145] or fasted [31,146] state. Similar findings have been reported in the “sleep-low” context, where fat oxidation is increased during fasted training sessions performed with low muscle glycogen compared with exercising in the fed-state, but no differences in fat oxidation were observed following one [148], three [142], or four [141] weeks of training when tested in the fed state. However, it is

possible that longer time periods of fasted training may be needed before relevant differences in fat oxidation would be observed, as proteins involved in fat oxidation have been increased following fasted, but not fed-state training [12,14]. Studies that have reported improvements in fat oxidation following training with low compared with normal muscle glycogen tested subjects in the fasted state and trained twice-daily with only water ingested between the sessions [149,150]. Though speculative, these differences could be related to FFA signaling, which are increased during exercise and increased even further if no food is ingested in the hours following exercise [105]. Finally, IMTG usage during exercise was increased after 6 weeks of fasted (but not fed) training when tested in the fasted state [145], but there were no differences when tested in a fed state, while also providing additional CHO [14]. Taken together, it appears that increases in fat oxidation following fasted-state or low-glycogen training may not be relevant during typical racing conditions when consuming CHO before and during exercise, but more studies in endurance-trained athletes are needed to compare acute and chronic changes.

### 3.1.2. Mitochondrial Markers

A key feature of the adaptive response to endurance training are changes in the activity of enzymes involved in the tricarboxylic acid (TCA) cycle and the  $\beta$ -oxidative pathway [154]. Activity of citrate synthase (CS) is the most widely used biomarker of mitochondrial content in skeletal muscle because of the strong correlation between resting CS activity and resting mitochondrial content when measured using the “gold standard” transmission electron microscopy (TEM) [155]. Similar changes in CS activity have been observed between fasted and fed-state training following 4–6 weeks of moderate-intensity training [12,13] and HIIT [143,146]. A key enzyme of the  $\beta$ -oxidative pathway,  $\beta$ -hydroxyacyl coenzyme A dehydrogenase ( $\beta$ -HAD), is also generally not impacted by pre-exercise nutrition [12,13,143]. However, one study has shown an increase in both CS and  $\beta$ -HAD only with fasted, but not CHO-fed training [145]. It is possible that this difference may be related to the very large amount of CHO ingested in the fed-training group ( $\sim 2 \text{ g kg}^{-1}$  90 min prior and  $1 \text{ g kg}^{-1} \text{ h}^{-1}$  during exercise), as other studies showing similar adaptations between fed and fasted training used smaller (e.g., 1–1.5  $\text{g kg}^{-1}$  CHO) pre-training meals [13,143]. Increases in succinate dehydrogenase activity following twice-daily training were blunted when ingesting CHO before and during the second workout, which was commenced with lowered muscle glycogen [93], suggesting a strong, and potentially underappreciated influence of ingesting CHO during exercise that adds complexity when interpreting the current literature.

Greater increases in CS have been reported in two studies that had subjects train twice-daily every other day, inducing low muscle glycogen during the second bout of exercise, compared with once-daily training with normal muscle glycogen [35,150]. In these studies, the two sessions were 1–2 h apart and subjects received only water between sessions. In contrast, other studies using twice-daily training but feeding low- or high-CHO meals between sessions found similar training-induced increases in CS activity between groups [151,153]. When comparing two different “train-low” protocols (2 h vs. 15 h between low-glycogen training sessions), greater elevations in acute signaling and mitochondrial adaptations were observed when training with 2 h between sessions without ingesting any food [152,156]. Thus, it appears that remaining in the fasted state following the first bout of exercise may be an important factor in the augmented adaptations observed following twice-daily training.

Overall, the exercise training itself seems to be the primary driver of changes in mitochondrial content, though very large pre-exercise meals ( $>1.5 \text{ g/kg}$  CHO) and CHO ingestion during exercise may have blunting effects on some signaling pathways, possibly related to the interactions between AMPK and glycogen [79]. Future research should explore the effects of pre-exercise nutrition choices on contraction-induced and RONS signaling pathways.

### 3.1.3. $\text{VO}_{2\text{max}}$ and Peak Aerobic Power

Studies comparing fasted and fed training have reported no differences in  $\text{VO}_{2\text{max}}$  following 4 weeks of sprint interval training (SIT) [144], 6 weeks of aerobic training [14,145], and 3 weeks of mixed

intensity training [157]. However, greater training-induced increases in  $\text{VO}_{2\text{max}}$  have also been reported following both fasted vs. fed-state training [13] and fed vs. fasted-state training [12]. Reasons for these divergent findings are unclear, as both studies used untrained participants performing 4–6 weeks of steady-state aerobic training. Similar improvements in  $\text{VO}_{2\text{max}}$  and peak power were seen in untrained men following 8 weeks of HIIT with or without prior CHO [146], and following exercise undertaken with low or high muscle glycogen levels in trained and untrained athletes [35,93,153,158,159].

#### 3.1.4. Summary and Future Directions

Pre-exercise nutrition intake would not be expected to have an effect on  $\text{VO}_{2\text{max}}$  (which is largely affected by central adaptations [160]), but may affect peripheral adaptations that are influenced by fuel availability such as the substrate usage and mitochondrial size, particularly in untrained participants. Although there is some potential for pre-exercise nutrition intake to influence adaptations to endurance training, the lack of research in endurance-trained subjects, the very large amounts of CHO ingested before exercise in some studies, and the provision of CHO both before and during exercise in other studies makes extrapolating results to trained athletes challenging. Additionally, some of the strongest evidence suggesting low-glycogen training can magnify signaling responses to exercise is based on studies performing the experimental exercise session a few hours after a glycogen-lowering exercise bout [149–151], and some of these effects might simply be attributable to performing two exercise sessions in close proximity [156].

Future training studies should compare fasted-state training against low-CHO and moderate-CHO pre-exercise meals, with both normal and low muscle glycogen, and in the context of both HIIT and steady-state continuous endurance training to determine if there are differential effects on fat oxidation and/or mitochondrial biogenesis. It would also be of interest to investigate if there is a threshold for the amount of pre-exercise CHO ingested, independent of muscle glycogen levels [161], above which adaptations may be negatively impacted but below which adaptations are not impaired. Additionally, sex-based differences in the response to training programs should be investigated, as females accounted for just ~10% of participants in the training studies discussed.

#### 3.2. Performance Changes

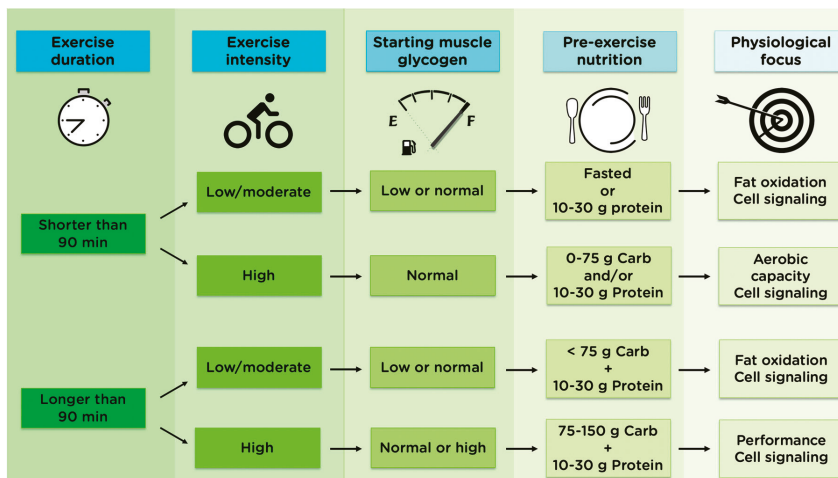
Studies comparing fed vs. fasted training have reported similar improvements in time-to-exhaustion during a maximal incremental test [145,162] and 1-h time-trial performance [145] following 6 weeks of endurance training. In contrast, time-to-fatigue at 85%  $\text{VO}_{2\text{max}}$  improved more in trained cyclists performing SIT in the fasted state compared to those that consumed CHO ( $>2.5 \text{ g kg}^{-1}$  CHO prior and CHO drink during exercise), despite performing less work during training sessions [144]. Trained endurance athletes had greater improvements in a 12 min running time-trial following 3 weeks of aerobic training while consuming a low-GI compared with moderate GI diet [163].

Some studies comparing high vs. low glycogen training have reported similar performance improvements between groups [93,141,149,150,153], however greater improvements were seen following one and three weeks of sleep-low training [142,148], twice-daily training with low-CHO vs. high-CHO consumption between sessions [151], and twice- vs. once-daily training [35]. Two studies using a combination of tactics to vary CHO availability around training sessions (i.e., periodized-CHO) found similar improvements between chronic high-CHO and periodized-CHO diets, both of which resulted in greater improvements than a chronic low-CHO diet [158,159]. Future training studies should compare pre-exercise protein ingestion against CHO-fed and fasted-state training in the context of both HIIT and steady-state continuous endurance training. Additionally, it would be of interest to study whether a delayed CHO ingestion strategy [164] in the context of low glycogen or fasted-state training has any influence on the adaptive response and whether it is training specific (e.g., high- vs. low-intensity training).



#### 4. Science to Practice

In an attempt to optimize both training adaptations and acute performance during key training sessions, current sport nutrition guidelines suggest training be performed both with high CHO availability, in order to enhance glycolytic and CHO oxidation pathways, and low CHO availability to increase the activation of acute cell signaling pathways related to mitochondrial biogenesis and fat oxidation [3]. Despite the rationale for a periodized approach to nutrition, whereby CHO availability for each workout is varied according to the type of session and its goals within a periodized training cycle [161], many athletes are not following these recommendations and/or are unclear on the current best-practice guidelines. For example, only 17–27% of elite athletes report following a periodized-CHO diet, and less than half of endurance athletes report varying their pre-exercise nutrition choices based on exercise duration or intensity [16,165]. Although training in the overnight-fasted state is performed by nearly two-thirds of endurance athletes (63%), many are doing it because they think it is beneficial, while others avoid it because they think it is not beneficial [15]. Furthermore, nearly all beliefs and practices relating to pre-exercise nutrition appear to vary based on sex, competitive level, and habitual dietary pattern [15,16]. Taken together, this highlights the need for more research in trained athletes as well as improved communication of the available research to athletes and coaches. From the standpoint of practical application, the duration and intensity of the exercise session should be considered when considering the best pre-exercise nutrition choices, along with the personal preferences of each individual athlete, as described in Figure 9. While the principles behind these recommendations should be applicable to a broad population, the relative influence of nutrition on training adaptations may vary based on sex, BMI, and training status.



**Figure 9.** Practical application of pre-exercise nutrition to optimize training adaptations. The duration and intensity of the exercise session should be considered when considering the best pre-exercise nutrition choices. Before shorter duration exercise sessions that focus on lower intensity steady-state training, it may be beneficial to withhold CHO, while there is little evidence supporting CHO restriction before high-intensity exercise. When consuming less than ~75 g CHO, food choices before HIIT can be left to personal preference. For longer duration exercise (>90 min), there is little evidence to suggest fasted-state training offers any additional benefit, although this is still practiced by approximately one-third of endurance athletes [16]. Ingesting less than ~75 g CHO is unlikely to impair mitochondrial signaling adaptations from longer-duration, low-intensity exercise, while consuming 75–150 g CHO prior to extended high-intensity exercise is suggested to increase endogenous fuel storage.

## 5. Conclusions and Practical Application

The availability of endogenous and exogenous CHO, fat, and protein before and during exercise can influence the acute and longer-term responses to endurance exercise. Acutely, CHO ingestion inhibits fat burning, however evidence showing enhanced fat burning capacity following long-term training in the fasted state is lacking. Contrasting findings related to the influence of CHO ingestion on mitochondrial signaling may be related to the amount of carbohydrate consumed and the intensity of exercise. Consumption of >120 g CHO before submaximal, steady-state exercise has blunted mitochondrial signaling, while <70 g CHO has not, yet CHO availability appears to have minimal effects following HIIT exercise. Performance is improved following pre-exercise CHO ingestion for longer but not shorter duration exercise, while training-induced performance changes following various pre-exercise nutrition strategies vary based on the type of nutrition protocol used. Caution should be used when generalizing these findings to wider populations, as the majority of research participants have been trained males between 20–30 years of age. In addition to wider participant demographics, more research is needed on the acute and longer-term effects of pre-exercise protein ingestion, studies in endurance-trained subjects performing fasted-state training compared with ingesting moderate and low-CHO meals before exercise, and fasted vs. fed-state training without CHO ingestion during exercise.

**Supplementary Materials:** The following are available online at <http://www.mdpi.com/2072-6643/12/11/3472/s1>, References for studies included in pooled analyses.

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Review

# Betaine Supplementation May Improve Heat Tolerance: Potential Mechanisms in Humans

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**Abstract:** Betaine has been demonstrated to increase tolerance to hypertonic and thermal stressors. At the cellular level, intracellular betaine functions similar to molecular chaperones, thereby reducing the need for inducible heat shock protein expression. In addition to stabilizing protein conformations, betaine has been demonstrated to reduce oxidative damage. For the enterocyte, during periods of reduced perfusion as well as greater oxidative, thermal, and hypertonic stress (i.e., prolonged exercise in hot-humid conditions), betaine results in greater villi length and evidence for greater membrane integrity. Collectively, this reduces exercise-induced gut permeability, protecting against bacterial translocation and endotoxemia. At the systemic level, chronic betaine intake has been shown to reduce core temperature, all-cause mortality, markers of inflammation, and change blood chemistry in several animal models when exposed to heat stress. Despite convincing research in cell culture and animal models, only one published study exists exploring betaine's thermoregulatory function in humans. If the same premise holds true for humans, chronic betaine consumption may increase heat tolerance and provide another avenue of supplementation for those who find that heat stress is a major factor in their work, or training for exercise and sport. Yet, this remains speculative until data demonstrate such effects in humans.

**Keywords:** osmolyte; heat shock proteins; gut permeability; heat stress; thermoregulation

## 1. Introduction and Methodology

In today's global society, the demand for humans to perform work in the heat is increasing [1,2]. Whether affecting manual laborers, military personnel, or athletes, heat stress is responsible for approximately 620 deaths in the United States [3] and thousands globally [4–6] each year. As such, strategies to manage heat stress and prolong exercise or activity in the heat have been explored extensively [7–10]. Broadly, these strategies can be categorized into *physical* and *nutritional* strategies. Physical strategies may include pre-cooling, cold water immersion, misting fans, and/or altering clothing [11]. These strategies have become popular among sports medicine staff and have varying degrees of success. Alternatively, nutritional strategies, largely based upon defending plasma volume (i.e., consuming relatively large doses of electrolytes, carbohydrates, and cold fluids), also play a role in managing heat stress. Among these nutritional strategies of heat stress management includes the consumption of betaine.

The articles for this review were collected using the [PubMed.gov](https://pubmed.ncbi.nlm.nih.gov/) search engine, searching the term "Betaine" alone and in conjunction with, "Heat Stress", "Heat Shock Proteins", "Hydration", "Gut Permeability", "Osmolytes", "Performance", and "Thermoregulation". Searches were terminated in July of 2020.

## 2. Betaine

Trimethylglycine (betaine) is a derivative of the amino acid glycine. Betaine can be endogenously synthesized through the metabolism of choline, or exogenously consumed through dietary intake [12]. Although betaine concentrations in foods vary depending on cooking and preparation methods, grain products and vegetables such as wheat bran (1340 mg·100 g<sup>-1</sup>), wheat germ (1240 mg·100 g<sup>-1</sup>), spinach (600–645 mg·100 g<sup>-1</sup>), and beets (114–297 mg·100 g<sup>-1</sup>) are the best sources of dietary betaine [13]. Average dietary intake for Western culture typically ranges from 100 to 400 mg·day<sup>-1</sup>, with a mean of 208 ± 90 mg·day<sup>-1</sup> and results in an average resting plasma betaine concentration of 0.02–0.07 mmol·L<sup>-1</sup> [14,15]. As betaine is a short-chain, neutral, amino acid derivative, absorption across the enterocyte is thought to primarily use the sodium-dependent Amino Acid Transport System A, however sodium-independent absorption is also known to occur [12,16]. A single dose of betaine (50 mg·kg<sup>-1</sup>) in healthy young men (mean age: 28 years old) free of any known diseases resulted in a peak concentration of ~1 mmol·L<sup>-1</sup>, in ~1 h [15]. The elimination half-life of a single dose of betaine is ~14 ± 7 h, with <5% of the original dose present in 72 h [15]. However, a loading strategy of 50 mg·kg<sup>-1</sup> per 12 h for 5 days in the same population resulted in a peak concentration of ~1.5 mmol·L<sup>-1</sup>, ~1 h after ingestion [15]. Likewise, the elimination half-life of the five-day loading of betaine is ~41 ± 14 h, with <5% of the original dose present in 8.6 days. Thus, a five-day loading protocol of betaine increases blood concentrations 50% more than a single dose and may function nearly three times as long.

### 2.1. Methyl Donation

It is universally accepted that betaine serves two primary roles in mammalian physiology [12,17]. The first is that of a methyl donor. As the name indicates, trimethylglycine (i.e., betaine) has three methyl groups, which can serve as reagents for transmethylation reactions. If this occurs, betaine is converted into dimethylglycine, or further catabolized into sarcosine, ultimately adding to the amino acid pool as glycine [12,18]. Notably, betaine metabolism supplies methyl groups for the conversion of homocysteine to methionine [19,20], and aids in the synthesis of key metabolic proteins such as creatine [21,22] and carnitine [23], especially during periods of hypertonicity [24]. These findings have led to several lines of research that examine betaine's role in health and disease prevention [12,19,25–27] as well as human performance [28–32].

### 2.2. Osmolyte

The second role that betaine serves is that of an osmolyte. Osmolytes are organic molecules used in the regulation of intracellular fluid concentrations and cell volume [33,34]. When presented with an external hypertonic stressor, the immediate response of mammalian cells is to decrease cell volume (i.e., fluid loss) and increase inorganic solute concentrations (i.e., electrolytes) in efforts to maintain homeostasis [33]. However, this accumulation of inorganic solutes, if severe enough, can interfere with electrical signaling and protein conformation. Therefore, in order to preserve long-term cellular function, mammalian cells seek to mitigate this problem by exchanging the potentially harmful inorganic solutes for compatible organic osmolytes, such as betaine [33,35].

Indeed, when presented with hypertonic stress, membrane-bound betaine/ $\gamma$ -aminobutyric acid transporter 1 (BGT1) mRNA and expression are up-regulated, leading to an increase in intracellular betaine concentration [16,34]. Interestingly, once the cells are returned to an isotonic (300 mOsm) environment, BGT1 expression remains elevated for at least 24 h [16]. This may play an important role for individuals engaging in daily exercise in hot-humid environments creating hypertonic stress. Further supporting the importance of osmolyte accumulation, Alfieri et al. cultured porcine vascular endothelial cells in a hypertonic (500 mOsm) solution with and without osmolytes (0.1 mmol betaine and myo-inositol). Cultures without osmolytes present experienced a 63% mean reduction in cell number after 56 h, however cultures with betaine and inositol experienced only a 32% decrease in cell number during the same time [16]. In a separate experiment measuring morphological changes

in cell cultures with and without osmolytes, the same group observed that cultures with betaine and myo-inositol grew well and maintained proper morphology, whereas those without osmolytes experienced significant apoptosis, detaching from the plates [16]. Thus, it appears that osmolytes, such as betaine, are responsible for decreasing hypertonic stress in mammalian cells, which results in preserved functionality and increased survivability.

Simultaneously, as an osmolyte, betaine acts to retain intracellular fluid and preserve the osmotic balance during hypertonic stress. As such, this has profound impacts on bolstering membrane integrity, which becomes particularly important for enterocytes undergoing heat stress (see the *Gut and Immune Health* section, below).

### 3. Heat Stress and Heat Shock Proteins

Training in hot-humid environments can result in several physiological changes. Most notably, during active heat stress, increases in core temperature lead to elevated peripheral blood flow and an increase in skin temperature [36,37]. If core temperature continues to increase, cholinergic eccrine sweat glands become stimulated and present an electrolyte solution of sweat to the surface of the skin [38]. Sweat is generated initially from the extracellular compartment, but cells will attempt to defend plasma volume and osmolality by actively excreting water to counteract the osmotic stress. Therefore, the intracellular compartment is presented with not only a thermal stress, but additionally an osmotic stress. Proteins, when presented with a great enough thermal or osmotic stress, denature and lose functionality [39–41].

To combat this loss in functionality, cells express a class of molecular chaperones known as heat shock proteins (HSPs), which are categorized by their molecular weight (i.e., HSP60, HSP70, HSP90, etc.) [42,43]. HSPs promote correct protein conformation by refolding denatured proteins and thereby reestablishing functionality [44]. Despite being constitutively expressed, many HSPs are considered to be stress-induced [42]. This suggests that the baseline concentrations of HSPs are adequate to maintain protein homeostasis, until additional cellular stress like that induced from exercise (i.e., hypertonic, thermal, acidic, oxidative, etc.) is applied. Interestingly, beyond the standard role of a molecular chaperone, HSPs have been reported to interact with the plasma membrane and extracellular matrix to signal immune cells [42]. This interaction may play an important role, especially in the enterocyte, with regard to the systemic immune response that occurs during heat-related injuries [45]. Of note, HSP expression is reactionary, suggesting that the response is not fully engaged until cellular damage has already begun.

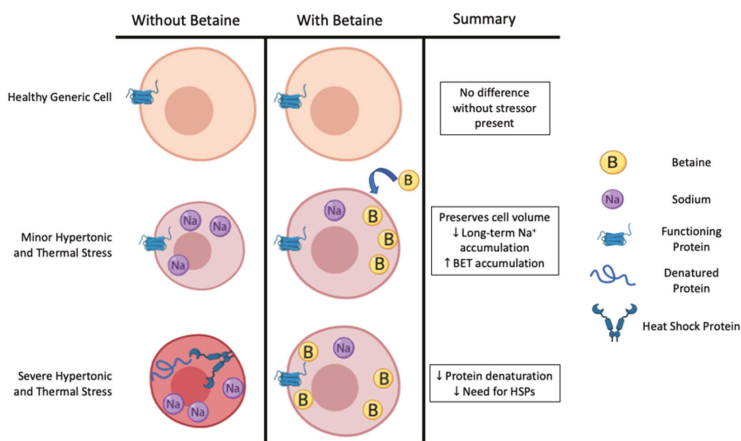
However, betaine functions in a similar capacity to molecular chaperones (i.e., attenuating stress-induced protein denaturation), by stabilizing intracellular protein conformation through enhanced hydrogen bonding between aqueous proteins in the folded state [41,46]. Indeed, osmolytes have been shown to prevent or delay stress-induced denaturation as well as help refold already denatured proteins [47,48]. Further, when osmolytes are present, there is a decreased need for HSP up-regulation during times of cellular stress, indicating osmolytes are filling the role of HSPs, attenuating cellular stress [16,34,49,50].

However, a hierarchy may exist for the cellular response to stress. It has been demonstrated that thermal stress alone (42 °C in an isotonic environment for 3 h) resulted in a ~15-fold increase in HSP70 mRNA expression, but no reported change in BGT1 expression in madin-darby canine kidney (MDCK) cells [34]. However, hypertonicity alone (515 mOsm in a thermoneutral environment for 3 h) resulted in an up regulation of both HSP70 and BGT1 mRNA expression [34]. Additionally, compared to a physiological neutral (7.4 pH), an acidic environment (6.5 pH) has been shown to increase the expression of HSP72 in MDCK cells ~2-fold [51]. In the same study, when combining an acidic environment (6.5 pH) with a hypertonic insult (+50 mM NaCl, ~400 mOsm, or +150 mM NaCl, ~600 mOsm), HSP72 expression experienced a ~6-fold and ~9-fold increase, respectively [51]. Likewise, incubating MDCK cells in a medium with hyperkalemic insult (20 and 40 mM K<sup>+</sup>) resulted in a modest but significant ~2-fold increase in HSP72 expression [51]. Additionally, using an in vitro CYP2E1 human

hepatoblastoma cell line, betaine was shown to reduce the mRNA expression of HSP70 when presented with oxidative stress [52]. Taken together, this further supports the idea that mammalian cells rely upon HSPs to defend against many types of cellular stress (i.e., thermal, hypertonic, acidic, oxidative) and that intracellular betaine accumulation may aid in this defense by acting in a similar capacity to HSPs.

Yet, organisms of higher order and complexity may not behave as is observed in cell culture models. Nevertheless, using an animal model, Dangi et al. demonstrated that goats supplemented with betaine and subjected to long-term heat stress (42 °C, 36 ± 2% RH, 6 h per day, for 16 days) produced significantly lower concentrations of HSP60, HSP70, and HSP90 compared to those without betaine [53]. Thus, similar to cell culture data, betaine was effective in combating cellular heat stress as evidenced by a reduced need for HSP expression. These data demonstrate that animals consuming betaine were able to withstand the heat stress with reduced cellular responses and could therefore, theoretically, withstand a greater heat load before experiencing detrimental consequences. In human models, Walsh et al. demonstrated that treadmill running (70% VO<sub>2</sub> peak for 60 min) at room temperature (20 °C, <40% RH) was a sufficient stimulus to increase serum HSP70 expression [54]. However, to our knowledge, there is no research in humans examining the relationship between osmolyte supplementation and HSP expression. More research is needed to further elucidate these findings and to determine if they translate to human models.

Thus, it appears that in cell culture and animal models, HSP expression is quite sensitive to many types of cellular stress that may compromise intracellular proteins' shape and function (i.e., hyperthermia, hypertonicity, acidity, hyperkalemia, and oxidative stress). When present in great enough quantity, intracellular osmolytes appear to be the preferred method of combatting many types of cellular stress, inasmuch as they attenuate the stressor and thereby delay the onset of HSP expression (Figure 1). Although not measured specifically during every type of cellular stress, it appears that hypertonicity is the specific stimulus required to up-regulate the betaine transporter [34]. Against the two primary stressors associated with exercising in hot-humid conditions (thermal and hypertonic), betaine attenuated the mRNA expression for HSP70 by >50%, suggesting a greater protection against these types of cellular stress [34]. Pre-loading betaine prior to the cellular stress may be important, as it appears that betaine is more effective at stabilizing and preventing the initial denaturation process of proteins compared to the refolding of proteins [55]. Further, there may be an additive effect if the cellular stress is too great for either method (HSP expression or pre-loading betaine) alone. If true at a systemic level, this should lead to prolonged cellular function and exercise capacity in humans.



**Figure 1.** Betaine’s potential role in preserving cell function during increasing severities of hypertonic and thermal stress, as evidenced by data from cell culture models. BET: betaine, HSPs: heat shock proteins, Na<sup>+</sup>: sodium.

#### 4. Gut and Immune Health

Lipopolysaccharide (LPS) is an endotoxin associated with the cell membrane of Gram-negative bacteria, and is commonly found in the gastrointestinal tract of mammals [56,57]. The presentation of toxins (e.g., LPS) and gut bacteria into the blood is termed endotoxemia and is thought to be a primary pathway of heat stroke. Indeed, several studies displayed symptoms of endotoxemia in animals [58,59] and humans with heat-related injuries [58,60,61].

The mechanism by which LPS is thought to enter systemic circulation is through a breakdown of tight junctions and membrane integrity in the gut, thereby increasing intestinal permeability. As exercise intensity and the need to dissipate body heat increases, functional sympatholysis shunts blood flow away from the viscera and toward the active tissue and periphery. Physical activity has been shown to reduce intestinal blood flow by 40–80% of resting values [62–65], with even greater reductions in blood flow occurring when heat stress accompanies exercise [58,64,66]. This significant decrease in intestinal perfusion leaves enterocytes vulnerable to severe stress (i.e., hypoxia-related oxidative stress, ATP depletion, and acidosis), which results in quantifiable injury and increased permeability of the small intestine [45,67–70]. Increased intestinal permeability allows LPS and related bacteria to translocate across the tight junctions or through enterocytes into the blood [58,71–73]. While not uncommon for small amounts of LPS to enter portal circulation, it is thought to be quickly detoxified and cleared via the liver and never enter systemic circulation [58]. However, stressors (e.g., exercise in the heat) can lead to increased concentrations of LPS entering portal circulation, thereby overwhelming hepatic defenses and promoting immune responses and signs of exertional heat stroke [65,68].

Indeed, when severe enough, LPS in the blood causes elevated presentation of liver enzymes alanine aminotransferase (ALT) and aspartate aminotransferase (AST) in the serum. Despite these enzymes being non-specific (that is, they are known to be elevated from several stimuli), elevations may indicate the presence of hepatic injury [65,74,75]. Demonstrating this, injections of LPS (5 mg·kg<sup>-1</sup>) into the tail vein of rats significantly increased serum expression of ALT (LPS: 805.8 ± 245.0 units·mL<sup>-1</sup> vs. CON: 38.1 ± 3.8 units·mL<sup>-1</sup>; *p* < 0.05) and AST (LPS: 651.0 ± 101.6 units·mL<sup>-1</sup> vs. CON: 82.1 ± 3.7 units·mL<sup>-1</sup>; *p* < 0.05) [75]. Further, LPS in systemic circulation results in a rise in several markers of inflammation [45,70,76–78], most notably tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-6 (IL-6) [74,79]. In the same study, injections of 5 mg·kg<sup>-1</sup> LPS into the tail vein of rats significantly increased serum expression of inflammatory cytokine TNF- $\alpha$  (LPS: 20.9 ± 0.7 ng·mL<sup>-1</sup> vs. CON: <0.01 ng·mL<sup>-1</sup>; *p* < 0.05) [75]. Similarly, 90 min after injection with 0.3 ng *E. coli* LPS·kg<sup>-1</sup>, humans display a significant increase in plasma TNF- $\alpha$  (~19-fold, *p* < 0.05) and IL-6 (~17-fold, *p* < 0.05), compared to baseline values [79]. Large increases in inflammatory markers, such as the ones evident in this study, can be suggestive of increased gut permeability and heat-related injury as temperature-dependent endotoxemia is known to result in large increases in inflammatory markers [45,69,71].

Importantly, betaine may be able to attenuate or prevent symptoms of heat-related injuries at key steps along the proposed pathway. There is some evidence that suggests betaine is able to attenuate or entirely prevent oxidative stress [52,80]. If true within the stressed enterocyte, this should minimize exercise-induced cellular damage and may help maintain membrane integrity, thereby preventing the translocation of endotoxins across the gut. In a key study, Ganesan et al., using a rat model, tested the effects of pre-loaded betaine (250 mg·kg·day<sup>-1</sup> dissolved in distilled water for 30 days) on indicators of oxidative stress with and without additional restraint stress (immobilization for 6 h·day<sup>-1</sup> for 30 days) [80]. Restraint stress alone resulted in significant increases in plasma corticosterone (*p* < 0.001), alongside significant decreases in betaine concentrations within the thymus and spleen (*p* < 0.001). Coinciding with the decrease in betaine concentration in the thymus and spleen, the restraint-stressed rats experienced statistically significant decreases in antioxidant function (glutathione peroxidase activity (*p* < 0.001), glutathione-S-transferase activity (*p* < 0.001), superoxide dismutase activity (*p* < 0.001), and catalase activity (*p* < 0.001)) compared to the non-stressed control group. Conversely, rats that experienced the restraint stress and pre-loaded with betaine for 30 days had a significant increase in betaine within the thymus and spleen (*p* < 0.05). Further, they did not

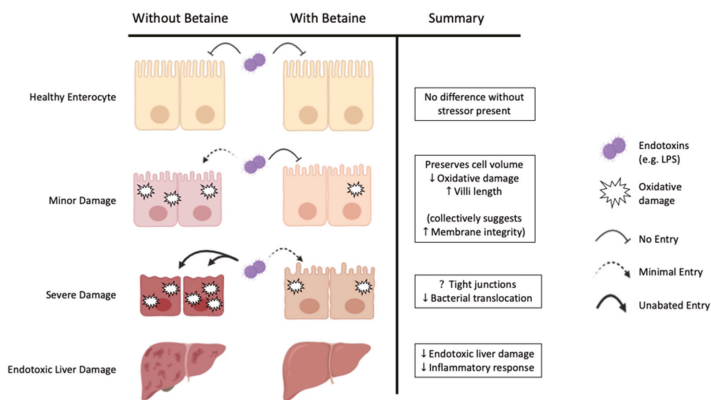


experience a significant decrease, but were able to maintain all antioxidant markers comparable to the non-stressed control group. Interestingly, the non-stressed group that were pre-loaded with betaine did not experience significant changes in any markers of antioxidant function compared to the control group. These results suggest that betaine supplementation alone does not augment natural antioxidant capabilities but acts as another means of defending against stress-induced oxidation.

Moreover, a recent study by Wang et al. described betaine’s effect on gut health in high-salt (4.0% NaCl in the diet)-stressed rats with and without supplemental betaine (0.0%, 0.5%, 1.0%) dissolved in the water supply for 28 days [81]. Compared to the high-salt-stressed condition without betaine, betaine (0.5% and 1.0%) significantly improved markers of gut health (intestinal villi length and the ratio of villus height to crypt depth) across the duodenum ( $p < 0.05$ ), jejunum ( $p < 0.05$ ), and ileum ( $p < 0.05$ ) [81]. However, betaine did not significantly alter plasma, liver, or intestinal osmolarity ( $p > 0.05$ ), suggesting betaine was able to bolster these cells against the hypertonic stress and maintain normal osmolarity in these tissues.

These data demonstrate that betaine may reduce oxidative stress and improve gut health, both of which may attenuate LPS translocation. However, if LPS translocation remains unabated, liver damage and elevated inflammatory responses are known to occur. Yet, even in the presence of  $5 \text{ mg}\cdot\text{kg}^{-1}$  LPS in systemic circulation, 1% betaine supplementation added to the water supply (consumption of  $\sim 1.5 \text{ g}\cdot\text{kg}^{-1}$ , for 14 days) resulted in an attenuation of circulating TNF- $\alpha$ , ALT, and AST concentrations ( $p < 0.05$ ) using a rat model [75]. Additionally, betaine supplementation has been shown to reduce total leukocyte count in heat-stressed animal models. Leukocytes are a class of immune cells that aid in the identification or disposal of foreign cells. Non-heat-stressed broiler chickens have a normal total leukocyte count of  $1.14\text{--}1.17 \times 10^4 \text{ cells}\cdot\mu\text{L}^{-1}$  [82] and this value rises when presented with cellular stress [83]. Khattak et al. measured markers of immune response in broiler chickens supplemented with or without betaine when exposed to cyclical heat stress (10 h, 32–35 °C, 75–85% RH). The control group experienced a significant increase in total leukocyte count, whereas betaine supplementation was able to attenuate this immune response (BET:  $1.5 \times 10^4 \text{ cells}\cdot\mu\text{L}^{-1}$  vs. CON:  $3.2 \times 10^4 \text{ cells}\cdot\mu\text{L}^{-1}$ ;  $p < 0.05$ ) [84].

Collectively, these data from cell culture and animal models demonstrate that betaine can independently reduce measures of oxidative damage, improve enterocyte health, as well as attenuate markers of potential liver damage and inflammatory responses to LPS endotoxemia (Figure 2). Thus, betaine bolsters cells against several key points in the proposed mechanistic pathway of LPS endotoxemia and may prevent heat-related injuries.



**Figure 2.** Betaine’s potential role in minimizing gut permeability through the preservation of enterocyte integrity and function during increasing severities of damage, as evidenced by data from cell culture and animal models.

## 5. Animal Models of Heat Stress

As core temperature is a key indicator of exercise-associated GI disturbances [77,78,85,86], if betaine can attenuate the rise in core temperature during exercise in the heat, endotoxemia and thereby heat-related injuries may be preventable. The majority of this work has been performed in animal models (i.e., chickens, cows, ducks, goats, poultry, and sheep) with most demonstrating betaine to effectively combat thermal stress [84,87–89].

Pre-loading betaine has been shown to effectively reduce core temperature in the short (6 days), medium (21 days), and long term (63 days) in animal models exposed to cyclical heat stress. Specifically, Zulkifli et al. showed that 50 g·kg<sup>-1</sup> betaine supplementation in water reduced rectal temperature (BET: 44.75 ± 0.21 °C vs. CON: 45.61 ± 0.28 °C; *p* < 0.05) in broiler chickens exposed to cyclical heat stress (4 h, 36 ± 1 °C, 75% RH) for six days [90]. Likewise, using sheep exposed to cyclical heat stress (43 °C, 49% RH, 8 h/day, 21 days), DiGiacomo et al. demonstrated that BET supplementation (2 g·day<sup>-1</sup> BET in the feed) decreased core (BET: 39.6 °C vs. CON: 40.1 °C; *p* < 0.001) and skin (BET: 38.0 °C vs. CON: 39.3 °C; *p* < 0.001) temperature compared to the heat-stressed control group [91]. Moreover, Attia et al. using 1.0 g·kg<sup>-1</sup> BET supplementation in the feed, demonstrated a reduced core temperature during (BET: 41.9 ± 0.50 °C vs. CON: 43.2 ± 0.60 °C; *p* < 0.05) and after (BET: 40.5 ± 0.22 °C vs. CON: 41.3 ± 0.57 °C; *p* < 0.05) exposure to cyclical heat stress (38 °C, 49% RH, 6 h·day<sup>-1</sup>, 3 successive days·week<sup>-1</sup>, for nine weeks) in slow-growing chicks compared to a heat-stressed control [92]. Further, in broiler chickens exposed to natural cyclical heating patterns (10 h, 32–35 °C, 75–85% RH), betaine supplementation has been shown to decrease the mortality rate by 10-fold (BET: 3.3% vs. CON: 33%, *p* < 0.05) [84]. Coupling these data with the reduced need for HSP expression in goats exposed to heat stress [53], it becomes evident that betaine may play a significant, direct role in managing heat stress.

Additionally, betaine supplementation may play an indirect role in managing heat stress through alterations in cellular metabolism and blood chemistry. Several researchers have demonstrated that broiler chickens without betaine supplementation experienced a reduction in mitochondrial function when exposed to heat stress [87,93]. However, betaine supplementation successfully attenuated this loss, restoring function to thermoneutral levels [87]. Although the exact mechanism is unclear, mitochondrial function may be improved due to decreased osmotic stress and thereby decreased reactive oxygen species formation [80,94]. While an increase in metabolism may seem counter-intuitive to promote heat dissipation, some researchers speculate that the decreases in lean mass and an increased mortality rate associated with heat stress are due to the inability to produce enough ATP to pant (i.e., hyperventilate) effectively [95].

In addition to restoring mitochondrial function, betaine has been shown to alter blood chemistry. Indeed, increases in red blood cell count (BET: 2.75 M·μL<sup>-1</sup> vs. CON: 2.45 M·μL<sup>-1</sup>; *p* < 0.001), hemoglobin count (BET: 18.72 g·dL<sup>-1</sup> vs. CON: 15.02 g·dL<sup>-1</sup>; *p* = 0.005), and hematocrit percentage (BET: 38.63% vs. CON: 28.63%; *p* < 0.001) have been demonstrated in meat-type ducks supplemented with betaine [96]. The changes in blood composition resulted in an increase in the partial pressure of oxygen (BET: 55.03 mmHg vs. CON: 37.34 mmHg; *p* < 0.001), thereby increasing oxygen carrying capacity [96]. These adaptations are thought to be a prerequisite to successful aerobic performance, especially in the heat. Therefore, betaine supplementation may not only affect heat tolerance, but perhaps also exercise performance in the heat.

Additionally, betaine supplementation has been shown to alter blood electrolyte concentrations during heat stress [84,96]. It is expected that heat-stressed broiler chickens experience a decrease in blood electrolyte concentrations, compared to chickens in a thermoneutral environment [97]. Yet, data measuring betaine's effects on electrolyte concentrations are equivocal. In broiler chickens exposed to cyclical heat stress, betaine supplementation significantly lowered serum Na<sup>+</sup> (BET: 229.4 g·kg<sup>-1</sup> vs. CON: 305.8 g·kg<sup>-1</sup>; *p* < 0.05) and K<sup>+</sup> (BET: 26.6 g·kg<sup>-1</sup> vs. CON: 30.0 g·kg<sup>-1</sup>; *p* < 0.05), but did not significantly change serum Cl<sup>-</sup> compared to the control [84]. Conversely, Park and Kim et al. using whole blood from heat-stressed ducks, found a significant increase in Na<sup>+</sup> (BET: 145.52 mEq·kg<sup>-1</sup> vs. CON: 126.82 mEq·kg<sup>-1</sup>; *p* < 0.05), K<sup>+</sup> (BET: 3.17 mEq·kg<sup>-1</sup> vs. CON: 2.60 mEq·kg<sup>-1</sup>;

$p < 0.05$ ), and  $\text{Cl}^-$  (BET: 120.53  $\text{mEq}\cdot\text{kg}^{-1}$  vs. CON: 105.61  $\text{mEq}\cdot\text{kg}^{-1}$ ;  $p < 0.05$ ) concentrations with betaine supplementation [96]. The mechanisms by which betaine supplementation impacts electrolyte concentrations during heat stress remains unclear.

It is clear, however, that betaine supplementation has decreased core temperature, decreased mortality rate, and altered the composition of blood through direct and indirect means in several animal models when experiencing heat stress (Table 1). It is important to note that these results are in animals experiencing passive heat stress and may not accurately reflect humans undergoing active heat stress. Yet, if these data translate to human models well, it is reasonable to expect that many people (e.g., athletes, factory or field workers, military personnel) undergoing work in hot-humid conditions will benefit. More research is needed to determine if the same physiological changes occur when experiencing active vs. passive heat loads in human models.

**Table 1.** Animal models successfully using BET to combat passive thermal stress.

Author	Population	Supplementation	Thermal Stress	Significant Findings (Compared to Identified CON)
Zulkifli et al., 2004	Chickens (N = 150)	Ad libitum intake, water supplemented with 0 (CON) or 50 $\text{g}\cdot\text{kg}^{-1}$ BET	Cyclical heat stress (36 °C, 75% RH) for 4 $\text{h}\cdot\text{day}^{-1}$ , 6 days	BET ↓ core temperature immediately post-heat stress
Attia et al., 2009	Chickens (N = 300)	Ad libitum intake, feed supplemented with 0 (CON) or 1.0 $\text{g}\cdot\text{kg}^{-1}$ BET	Cyclical heat stress (38 °C, 49% RH) for 6 $\text{h}\cdot\text{day}^{-1}$ , 3 days-week <sup>-1</sup> , 9 weeks	BET ↓ core temperature during and after heat stress BET ↑ Hgb during and after heat stress BET ↓ blood pH during, but not after heat stress
Khattak et al., 2012	Chickens (N = 250)	Ad libitum intake, feed supplemented with 0 (CON) or 1.2 $\text{g}\cdot\text{kg}^{-1}$ BET	Natural daily cyclical heat stress (30–41 °C, 40–93% RH), 35 days	BET ↓ total leukocyte count BET ↓ mortality 10-fold
Dangi et al., 2015	Goats (N = 18)	Intramuscular injections of saline (CON) or saline + 0.2 $\text{g}\cdot\text{kg}^{-1}$ BET immediately prior to heat stress	Cyclical heat stress (42 °C, 36% RH) for 6 $\text{h}\cdot\text{day}^{-1}$ , 16 days	BET ↓ HSP60, HSP70, and HSP90
DiGiacomo et al., 2016	Sheep (N = 36)	Ad libitum intake, feed supplemented with 0 (CON), 2, or 4 g BET daily in morning feed	Cyclical heat stress (43 °C, 49% RH) for 8 $\text{h}\cdot\text{day}^{-1}$ , 21 days	BET (2 g) + Heat ↓ core and skin temperature
Sahebi Ala et al., 2017	Chickens (N = 1200)	BET as a replacement for 30% methionine needs according to methyl groups	Cyclical heat stress (32 °C, 40% RH) for 6 $\text{h}\cdot\text{day}^{-1}$ , 31 days	BET + Heat ↔ mitochondrial Complex-1 function, whereas Heat alone ↓ mitochondrial Complex-1 function
Park and Kim, 2017	Ducks (N = 360)	Ad libitum intake, water supplemented with 0 (CON), 700, 1000, or 1300 ppm BET	Cyclical heat stress (33–43 °C, 70% RH) for 8 $\text{h}\cdot\text{day}^{-1}$ , 20 days	All doses of BET ↑ RBC count, Hct, and Hgb ↑ PO <sub>2</sub> and PCO <sub>2</sub> ↓ blood pH ↑ Blood electrolyte concentrations (Na <sup>+</sup> , K <sup>+</sup> , Cl <sup>-</sup> )

BET: betaine, Cl<sup>-</sup>: chloride, CON: control group, Hct: hematocrit, Hgb: hemoglobin, HSP: heat shock protein, K<sup>+</sup>: potassium, Na<sup>+</sup>: sodium, PCO<sub>2</sub>: partial pressure of carbon dioxide, PO<sub>2</sub>: partial pressure of oxygen, ppm: parts per million, RBC: red blood cell, RH: relative humidity.

## 6. Human Models of Heat Stress

Despite these findings in animals, there is a gap in the knowledge regarding betaine's ability to attenuate thermal stress in humans. In fact, only one study has specifically examined this phenomenon in humans [98]. The study examined acute intake of betaine on thermoregulation and rehydration after a dehydrating protocol (2.7% body mass loss) in the heat (31.1 ± 0.7 °C, 34.7 ± 5.5% RH) in

10 male runners (age:  $20 \pm 2$  years, height:  $177 \pm 6$  cm, weight:  $70.6 \pm 6.8$  kg, body fat:  $6.2 \pm 2.1\%$ ,  $\text{VO}_2$ :  $63.5 \pm 4.1$  mL·kg·min<sup>-1</sup>). It is no surprise that these authors report limited data supporting betaine's ability to combat thermal stress, as rehydration after a dehydrating thermal stress effectively bypasses betaine's preventative role in protein stabilization. Specifically, these authors found that 5 g of betaine dissolved in a carbohydrate drink did not significantly affect core or skin temperature during exercise. Yet, participants with betaine supplementation did report a lower perceived thermal sensation prior to (BET:  $4.1 \pm 0.2$  vs. CON:  $4.5 \pm 0.2$ ;  $p < 0.05$ ) and immediately following (BET:  $7.3 \pm 0.2$  vs. CON:  $7.6 \pm 0.1$ ;  $p < 0.05$ ) 75 min of treadmill running at 65%  $\text{VO}_2$  max [98]. Additionally, betaine supplementation increased oxygen consumption during the sprinting protocol (84%  $\text{VO}_2$  max) to exhaustion (BET:  $55.0 \pm 5.7$  mL·kg·min<sup>-1</sup> vs. CON:  $52.3 \pm 2.7$  mL·kg·min<sup>-1</sup>;  $p < 0.05$ ) [98]. Increasing oxygen consumption during exercise is universally considered to be an advantage if it translates to an increase in performance. In this particular study, although betaine significantly increased oxygen consumption, this did not lead to a significant improvement in treadmill sprint duration (BET:  $228 \pm 173$  s vs. CON:  $196 \pm 119$  s;  $p = 0.12$ ). Although not statistically significant, an increase in mean sprint duration of 32 s is physiologically meaningful for athletes.

It is important to highlight that the studies demonstrating improved heat tolerance in animal models supplemented with betaine chronically in the feed or the water supply [84,87–89,91,99,100]. This strategy provides a daily dose of betaine, thereby loading the compound within the body. However, the human study examining heat tolerance never implemented a loading strategy but examined an acute dosage. Moreover, Armstrong et al. examined rehydration, thereby introducing betaine after the thermal stress was applied. This effectively negates the protein stabilization effects betaine has been shown to demonstrate [48,55].

## 7. Conclusions

Osmolytes, such as betaine, are responsible for decreasing hypertonic stress in mammalian cells, which results in preserved functionality and increased survivability. Betaine has been shown to attenuate many types of cellular stressors (i.e., hyperthermia, hypertonicity, acidity, hyperkalemia, and oxidative stress) that require the aid of molecular chaperones. Betaine can independently reduce measures of oxidative damage, improve enterocyte health, as well as attenuate markers of potential liver damage and inflammatory responses to LPS endotoxemia. Thus, betaine bolsters cells against several key points in the proposed mechanistic pathway of LPS endotoxemia and may prevent heat-related injuries.

Using a wide variety of dosing strategies in animal models, pre-loading betaine in the diet has been shown to reduce core temperature, skin temperature, mortality rate, and oxidative damage. Many elite and recreational athletes exercise for long durations in hot-humid environments and encounter many of the aforementioned stressors (i.e., hyperthermia, hypertonicity, acidity, and oxidative stress). Supplemental pre-loaded betaine may successfully combat these issues, as has been shown in animal models. If true on a systemic level, pre-loading with betaine may improve heat tolerance and provide an athlete who finds that heat stress is a major limiting factor, another avenue of protection in their training and performance. Yet, this remains speculative until data demonstrate such effects in humans.

## 8. Future Research

In light of this review, several lines of research become overtly clear. First, scholars should aim to translate the data from mammalian cell cultures of other species to humans. Specifically, future research should measure the effects of betaine on different types of cellular stressors (i.e., hypertonic, thermal, oxidative, acidic, etc.) in isolation and in combination, using specific tissues or tissue analogs (i.e., human enterocytes, hepatocytes, and skeletal muscle). Secondly, future researchers should test the impact of pre-loaded betaine on humans during passive and active heat stress, conditions where each of these cellular stressors are anticipated to be present. Specifically, future researchers should measure indications of thermal stress, hypertonic stress, and changes in metabolism. Lastly, future research

should examine the impact of pre-loaded betaine on immune response during exercise in the heat to determine if the proposed pathway for endotoxin translocation can be improved upon through a series of exposures and adaptations (i.e., training the gut). All of these considerations will lead to a greater understanding of how athletes may use betaine supplementation to increase safety and performance in events where heat and humidity may pose a problem.

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Systematic Review

# Does Protein Supplementation Support Adaptations to Arduous Concurrent Exercise Training? A Systematic Review and Meta-Analysis with Military Based Applications

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**Abstract:** We evaluated the impact of protein supplementation on adaptations to arduous concurrent training in healthy adults with potential applications to individuals undergoing military training. Peer-reviewed papers published in English meeting the population, intervention, comparison and outcome criteria were included. Database searches were completed in PubMed, Web of science and SPORTDiscus. Study quality was evaluated using the CONSensus based standards for the selection of health status measurement instruments checklist. Of 11 studies included, nine focused on performance, six on body composition and four on muscle recovery. Cohen's *d* effect sizes showed that protein supplementation improved performance outcomes in response to concurrent training ( $ES = 0.89$ , 95%  $CI = 0.08$ – $1.70$ ). When analysed separately, improvements in muscle strength ( $SMD = +4.92$  kg, 95%  $CI = -2.70$ – $12.54$  kg) were found, but not in aerobic endurance. Gains in fat-free mass ( $SMD = +0.75$  kg, 95%  $CI = 0.44$ – $1.06$  kg) and reductions in fat-mass ( $SMD = -0.99$ , 95%  $CI = -1.43$ – $0.23$  kg) were greater with protein supplementation. Most studies did not report protein turnover, nitrogen balance and/or total daily protein intake. Therefore, further research is warranted. However, our findings infer that protein supplementation may support lean-mass accretion and strength gains during arduous concurrent training in physical active populations, including military recruits.

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## 1. Introduction

Concurrent training is defined as the combination of resistance and endurance training as part of a periodised physical training model [1]. The simultaneous development of strength, power and endurance is required by many athletic and exercising populations to meet the physical demands of their chosen sporting discipline (e.g., soccer, rugby, hockey) or exercise activity (e.g., circuits, cross-fit training) [2–6]. Similarly, recruits undergoing arduous military training routinely engage in concurrent training so as to meet the training and operational demands of military life [7–13]. Military recruit training programmes are designed to transform civilians into trained soldiers, therefore, physical training is necessarily arduous, involving a combination of aerobic training, strength and conditioning, obstacle courses, swimming, circuit training and loaded marching [14,15]. Despite the requirement of concurrent training in athletic and military recruit populations, and the positive effects protein supplementation may have on training outcomes, the majority of systematic reviews and meta-analyses have focused mainly on the effects of protein

supplementation when either resistance or endurance training are studied in isolation with no specific population in particular being studied [16–19].

In untrained individuals, a bout of endurance exercise upregulates muscle protein synthesis (MPS) in mitochondrial and myofibrillar proteins, whereas, a bout of resistance training elicits an increase primarily in myofibrillar protein synthesis [20]. Moreover, a period of chronic (10 weeks) endurance or resistance training refines the MPS response following exercise in proteins specific to each mode of training. Resultantly, chronic endurance training improves the oxidative capacity of muscle, which can increase whole-body oxygen uptake, leading to a more fatigue-resistant muscle, whereas resistance training develops muscle strength [21]. Both modes of exercise have been shown to increase the phosphorylation of protein in the protein kinase B-mammalian target of rapamycin-p70 ribosomal protein S6 kinase (Akt-mTOR-p70S6K) pathway, leading to an increase of MPS [20]. Indeed, studies have suggested an interference effect when both modes of training are conducted concurrently within the same training programme [22–28], however, others have disputed this interaction [29–31]. Mechanistically, endurance exercise stimulates a rise in adenosine monophosphate-activated protein kinase (AMPK) [32], which may inhibit mTOR through the activation of the tuberous sclerosis complex (TSC) [2]. This has the potential to reduce the post-exercise MPS response, and subsequently attenuate muscle strength adaptations [27] when individuals undertake concurrent endurance training [28].

MPS has been shown to be maximised when protein is consumed in 20–40 g doses immediately-post resistance training [33]. Studies have also shown that concurrent resistance and aerobic training stimulates myofibrillar protein synthesis to a similar degree compared to when resistance training is performed in isolation [34]. This response is further augmented when 25 g of protein is ingested in the immediate post-exercise period [35]. Elevated levels of amino acids in the blood upregulate the localisation and activation of mTOR by deactivating the TSC [27]. The concept of “nutrient sensing” has also been suggested, whereby other proteins such as VPS34 may be key at stimulating the mTOR pathway and myofibrillar protein synthesis in response to elevated blood amino acid concentrations [27,36,37]. As such, an elevated protein intake during arduous concurrent training may be an effective strategy for attenuating the interference effect of endurance exercise [27,28], by maximising mTOR activity and the MPS response to resistance training [33,38–43], thus supporting muscle strength adaptations. Moreover, individuals undertaking arduous concurrent training with limited recovery time between exercise sessions (i.e., military recruit training) may benefit further from strategies which elevate the amount of energy and protein in the diet to support muscle adaptations [44]. In addition to muscle endurance, military recruits are required to pass strength-based tests during basic training [7,8]. Therefore, strategies which support the development of muscle strength and/or attenuate the interference effect are likely to be advantageous, particularly when considering strength is a key determinant of occupational performance [7].

To our knowledge, no study has systematically evaluated the literature to establish the effects of protein supplementation on training adaptations during arduous concurrent training. Therefore, the aim of this systematic review and meta-analysis was to evaluate the literature on protein supplementation and its effects on adaptations to arduous concurrent exercise training in healthy individuals with potential applications to recruits undergoing military training.

## 2. Materials and Methods

This systematic review was completed in accordance with the preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement [45].

### 2.1. Eligibility Criteria

This review sought peer-reviewed papers with human participants published in English with the following Population, Intervention, Comparison and Outcome (PICO) criteria being implemented to identify eligible studies [46]. The PICO was designed with

the aim of the findings of this review being applied to military recruits undertaking military training. Military recruits are typically aged between 16–35 years [47], and are required to meet aerobic fitness and muscular strength test standards such as maximal strength and muscular endurance tests [8]. Studies that did not meet all the PICO were excluded from this review.

Population: (a) stated as healthy active male or females; (b) aged between 16–35 years.

Intervention: (c) include both endurance/aerobic training and resistance/weight training, circuit training, cross-fit training, military training but not high-intensity interval training (HIIT); (d) daily protein supplementation included but not with vitamins and/or antioxidants or through an increased intake of whole-food protein sources in the diet; (e) studies assessing body composition and/or performance were required to have  $\geq$  two sessions per week and be  $\geq$  four weeks in duration; (f) studies assessing muscle recovery were required to be  $<$  one week in duration; (g) training sessions performed at moderate or vigorous intensity (e.g., jogging, running, cycling, weight training) [17].

Comparison: (h) changes in outcome measures across repeated timepoints; (i) participants grouped by supplement condition.

Outcome: (j) change in primary variable(s): maximal oxygen uptake ( $\dot{V}O_{2max}$ ), time trial (TT), one-repetition maximum (1RM), fat-free mass (FFM), fat mass, musculoskeletal injury (MSKI) incidence, muscle function/soreness/damage.

## 2.2. Search Strategy and Study Selection

The final electronic database searches were completed in February 2021 in three databases (PubMed, Web of science and SPORTDiscus) using the terms “protein” or “protein supplementation”, “training”, and “concurrent training” either alone or concurrently. The reference lists of all papers that met the inclusion criteria were interrogated to identify additional studies not found in the electronic search, until no further studies could be identified [48]. First, the study title and abstract were screened by one reviewer (SC) followed by the full text by the same reviewer. The characteristics that were extracted from each study included: author, participant sample, total protein intake ( $g \cdot kg^{-1} \cdot day^{-1}$ ), training intervention, protein timing and dose. The study selection process is outlined in Figure 1.

## 2.3. Risk of Bias Assessment

Studies were evaluated for methodological quality according to the COnsensus based standards for the selection of health status measurement instruments (COSMIN) checklist by two separate reviewers (SC and HC) (Table 1). This review used the recommended “worst score counts” method to obtain a total score for study quality. This was done by obtaining a quality score per measurement by taking the lowest rating of any item in a criteria box [49]. Each COSMIN item for all categories were scored from 4–1, where 4 was low risk and 1 was high risk. Each study needed a mean score of  $\geq 3$  to be included in this review [49].

## 2.4. Data Synthesis and Analyses

The analysis was conducted by first extracting the relevant information from all study groups at baseline and at the end of the intervention. This included the number of participants ( $n$ ),  $p$  values, mean, standard deviation (SD) and 95% confidence intervals (if available). To compare the effects of protein supplementation against placebo conditions, pooling was used of the continuous data as standardized mean difference (SMD) represented as Cohen’s  $d$  effect sizes (ES), standard error (SE) and 95% confidence intervals calculated for each main outcome using the reported mean change differences (delta scores),  $n$  and corresponding SDs [17,19]. If the mean change difference was not reported this was calculated based on the reported pre-and-post mean and SDs in each study. If a study used multiple protein-supplemented groups, we combined the data into an overall protein-supplemented group for subsequent analyses [17]. When a study used multiple performance outcome measures, the relative  $\dot{V}O_{2peak}$  and lower body 1RM were

prioritised for muscle strength and aerobic endurance [17]. Effect sizes were classed as small (0.2), medium (0.5), and large effects (0.8) [50]. Effect size was calculated using the following equations:

$$E1: \text{Cohen's } d = (M2 - M1) / SD_{\text{pooled}}$$

$$E2: SD_{\text{pooled}} = \sqrt{((SD_1^2 + SD_2^2) / 2)}$$

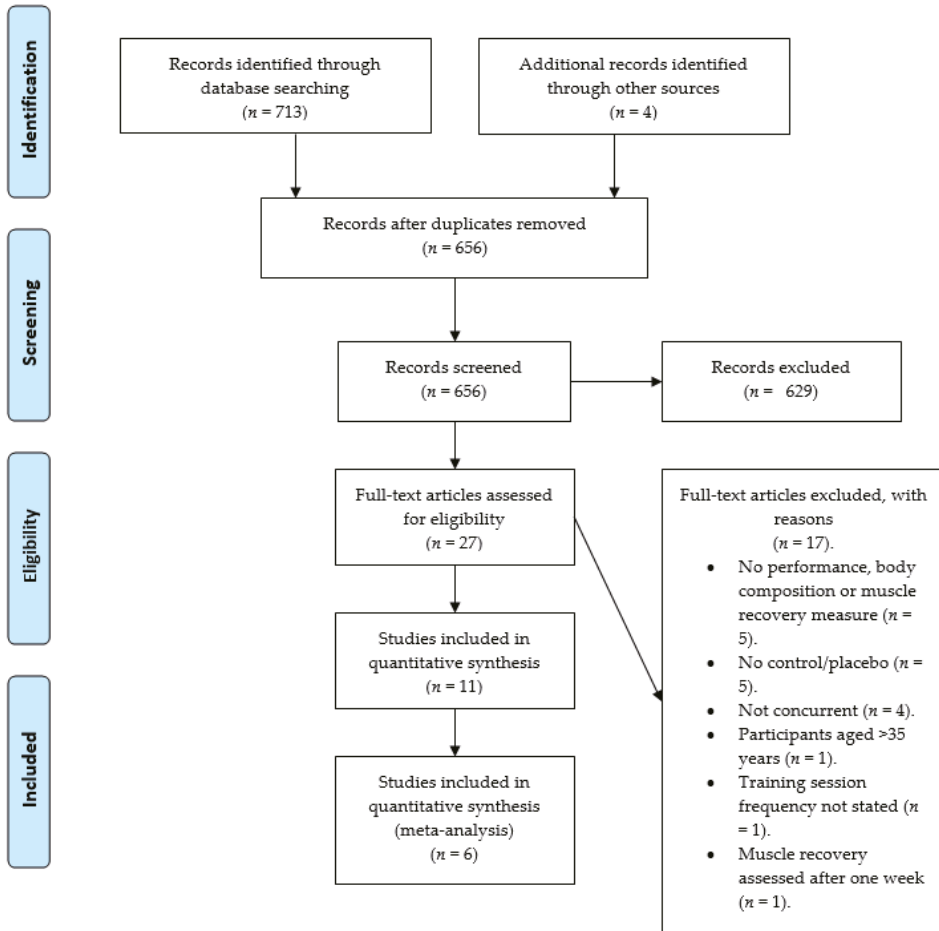


Figure 1. Flow chart of study retrieval process.

A random-effects model was applied with heterogeneity across studies tested using  $I^2$  test.  $I^2$  values of 25%, 50%, and 75% were considered low, moderate and high, respectively [17]. Each study was weighted (%) based on its inverse within study variance and between study variance using the Meta-Essentials spreadsheet 1.4 (Microsoft Excel 2016, Washington, DC, USA). Meta-Essentials was used for the meta-analysis, creation of forest and Egger’s funnel plots (including the trim and fill method) and running statistical analysis, with alpha set at  $p \leq 0.05$ .

### 3. Results

#### 3.1. Study Quality and Risk of Bias Assessment

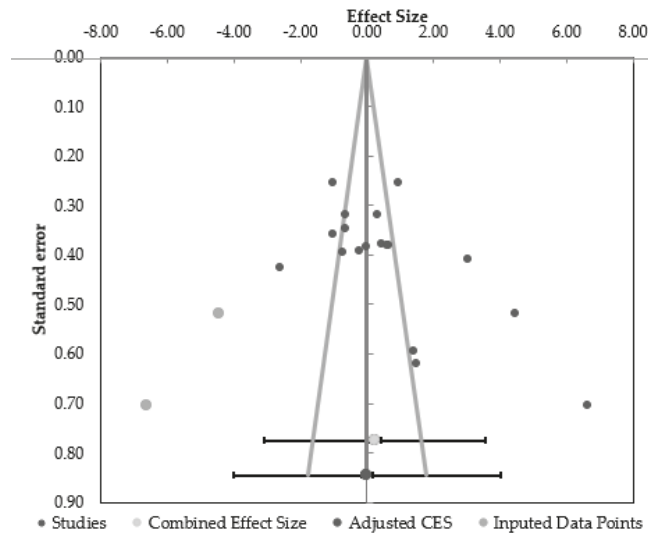
Table 1 outlines the quality assessment scores for each study. All 11 studies were considered eligible for this review based on their COSMIN quality assessments scores.

**Table 1.** The individual and mean reviewer quality assessment scores for each study.

Study	Reviewer 1	Reviewer 2	Mean	Included
McAdam et al. [51]	4.00	3.70	3.85	Y
Eddens et al. [52]	3.60	3.30	3.45	Y
Crowe, Weatherson and Bowden [53]	4.00	3.40	3.70	Y
Forbes and Bell [54]	3.80	3.20	3.50	Y
Ormsbee et al. [55]	3.80	3.40	3.60	Y
Taylor et al. [56]	4.00	4.00	4.00	Y
Longland et al. [57]	4.00	3.50	3.75	Y
Walker et al. [58]	4.00	3.90	3.95	Y
Jimenez-Flores et al. [59]	3.30	2.90	3.10	Y
Blacker et al. [60]	3.40	3.00	3.20	Y
Flakoll et al. [61]	4.00	3.80	3.90	Y

Yes = Y; 1 = poor, 2 = fair, 3 = good, 4 = excellent.

Egger’s regression analysis found asymmetries in the funnel plot (Figure 2) suggesting that results might be influenced by biasing factors such as publication bias. One study was particularly responsible for this asymmetry [57] as they showed the strongest beneficial effects of the treatment group when compared with the placebo group. When this outlier was removed the funnel plot was symmetric ( $p > 0.05$ ). The funnel plot with this outlier included can be seen in Supplementary Figure S1.



**Figure 2.** Funnel plot of the comparison of the effect of protein supplementation vs. placebo on muscle strength, aerobic endurance, fat-free mass (FFM) and fat-mass (FM) adaptations. CES = combined effect size.

#### 3.2. Participant Characteristics and Study Interventions

Details of the studies’ characteristics are provided in Tables 2 and 3. The sample sizes ranged from 10 to 387 with a total participant sample size of 681 (645 men, 61 women) for all studies. The reported mean age of participants ranged between 18 and 31 years.

Intervention durations ranged from one day to six months with seven studies using a standardised concurrent endurance and resistance training programme [53–58], two studies using a military training programme [51,58] and two studies using an acute loaded march protocol [59–61].

**Table 2.** The impact of protein on performance and body composition during concurrent exercise training.

Study	Sample	Age	Total Protein Intake	Intervention	Supplement Type & Dose
McAdam et al. [51]	69 male U.S. Army recruits.	19 ± 1 years	2.8 ± 0.5 & 1.6 ± 0.4 g·kg <sup>-1</sup> ·d <sup>-1</sup> in PRO and PLA.	8-week U.S. Army Initial Entry Training.	38.6 g WP or isocaloric PLA post-exercise in AM & prior to sleep.
Crowe, Weatherson and Bowden [53]	10 male, 3 female trained canoeists.	32 ± 2 years	0.85 ± 0.06 & 0.85 ± 0.05 g·kg <sup>-1</sup> ·day <sup>-1</sup> in PRO & PLA.	6-weeks endurance & resistance training.	45 mg·kg <sup>-1</sup> ·day <sup>-1</sup> leucine or PLA.
Ormsbee et al. [55]	26 sedentary men and 25 sedentary women.	21 ± 1 years & 20 ± 1 years in PRO & PLA.	2.2 ± 0.1 & 1.1 ± 0.1 g·kg <sup>-1</sup> ·day <sup>-1</sup> for the PRO & PLA groups.	6-month endurance & resistance training.	42 g PRO or isocaloric PLA consumed immediately post-exercise & 8–12 h later.
Taylor et al. [56]	16 female intermittent sport athletes.	20 ± 2 years	Not measured.	8-week endurance & resistance training.	24 g pre-and-post-exercise.
Longland et al. [57]	40 recreationally active men.	23 ± 2 years	2.4 & 1.2 g·kg <sup>-1</sup> ·day <sup>-1</sup> for the PRO & PLA groups.	4-weeks endurance & resistance training with an energy deficit	50 g WP or CHO drink given post-exercise to PRO & PLA groups.
Walker et al. [56]	30 U.S. Air force men.	26 ± 9 years	Not measured.	8-week U.S. Air force training.	20 g WP or isocaloric PLA post-exercise.
Forbes and Bell [54]	15 healthy women & 16 men.	Women: 27 ± 4 years, men: 26 ± 3 years	PLA (men = 1.4 ± 0.4 g·kg <sup>-1</sup> ·day <sup>-1</sup> , women = 1.2 ± 0.2 g·kg <sup>-1</sup> ·day <sup>-1</sup> ), PRO (men = 3.8 ± 0.4 g·kg <sup>-1</sup> ·day <sup>-1</sup> , women = 3.2 ± 0.3 g·kg <sup>-1</sup> ·day <sup>-1</sup> ).	6-weeks endurance & resistance training.	2.0 and 2.4 g·kg <sup>-1</sup> ·day <sup>-1</sup> WP for women & men.

Data reported as mean ± standard deviation where possible. U.S. = United states, g·kg<sup>-1</sup>·day<sup>-1</sup> = grams per kilogram of body mass per day, PLA = placebo, PRO = protein, WP = whey protein, CHO = carbohydrate, CON = control, AM = morning.

**Table 3.** Concurrent exercise training and the impact of protein on muscle recovery.

Study	Sample	Age	Total Protein Intake	Intervention	Supplement Type & Dose
Eddens et al. [52]	24 male cyclists.	PRO = 27 ± 3 years; PLA = 28 ± 5 years; CHO = 26 ± 5 years	PRO = 1.2 ± 0.6 g·kg <sup>-1</sup> ·day <sup>-1</sup> ; PLA = 1.2 ± 0.6 g·kg <sup>-1</sup> ·day <sup>-1</sup> ; CHO = 1.2 ± 0.7 g·kg <sup>-1</sup> ·day <sup>-1</sup>	Single concurrent exercise event (high-intensity cycling followed by 100 box jumps).	20 g WP, isocaloric CHO or low-calorific PLA post-exercise.
Jimenez-Flores et al. [59]	33 healthy men and 2 healthy women.	21 ± 1 years & 21 ± 1 years in PLA & PRO groups	Not measured.	4-day loaded (13.2–26.4 kg) mountain skirmish.	25 g protein bar or isocaloric CHO bar post-exercise.
Blacker et al. [60]	10 healthy men.	28 ± 9 years	* 0.9 ± 0.3 g·kg <sup>-1</sup> ·day <sup>-1</sup> , in the PLA, CHO & PRO.	3 days post-load (25 kg) carriage exercise.	36 g PRO, 32 g CHO or low-calorie PLA post-exercise. PLA = 0 g CHO, 0 g PRO, 0 g fat; CON = 0 g PRO, 8 g CHO and 3 g fat; PRO = 10 g PRO, 8 g CHO and 3 g fat.
Flakoll et al. [61]	387 male U.S. Marine recruits.	19 ± 1 years	Not measured.	Single day loaded march hike.	Participants who weighed <81.8 kg received one portion and those weighing >81.8 kg received two portions post-exercise.

Data reported as mean ± standard deviation. U.S. = United States, g·kg<sup>-1</sup>·day<sup>-1</sup> = grams per kilogram of body mass per day, PLA = placebo, PRO = protein, WP = whey protein, CHO = carbohydrate, CON = control. \* maximum across three timepoints.

### 3.3. Protein Dose and Timing

The majority of studies supplemented participants with whey protein in the form of a beverage, except one which used a protein bar [59], with the most common strategy being to provide an absolute bolus dose of protein ranging between 20–50 g. One study provided an additional dose of whey protein relative to body mass (2.4 g·kg<sup>-1</sup>·day<sup>-1</sup>) [54]. Crowe et al. [53] provided participants with a leucine supplement (45 mg·kg<sup>-1</sup>·day<sup>-1</sup>). In terms of timing, the majority of studies provided protein immediately (<1 h) post-exercise [52,55–61]. Others also provided protein at breakfast [54] and some provided protein both prior to sleep and immediately post-exercise [51]. Seven studies assessed and reported total daily protein intake [51–55,57,60], whereas four studies did not [56,58,59,61].

### 3.4. Synthesis of Results

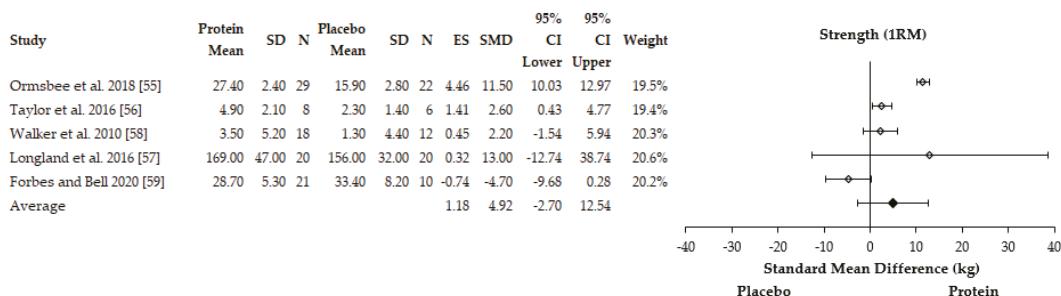
This review identified 11 individual studies; one focused only on performance [55], six on performance and body composition [51,53,55–58] and four on muscle recovery

adaptations only [52,59–61]. Four studies found a benefit on muscle strength, five studies reported a benefit on body composition changes such as increasing FFM, reducing fat-mass or both, and one study reported a benefit on muscle recovery. The characteristics of these studies are outlined in Tables 2 and 3.

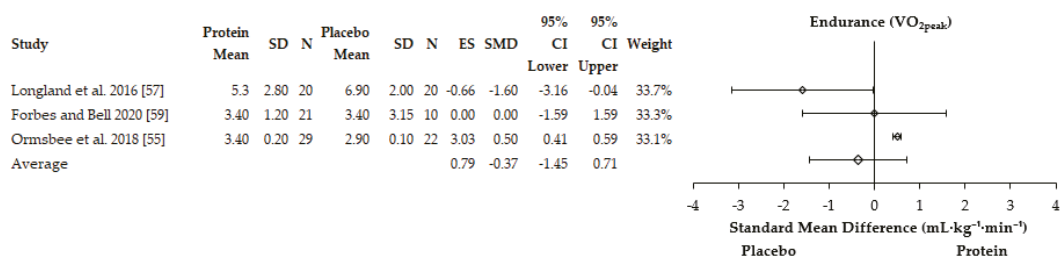
### 3.4.1. Performance Adaptations

McAdam et al. [51] observed a greater increase in muscle strength (push-up repetition performance) with protein supplementation (+6.8, 95%CI: 2.9–10.7) compared to a placebo (+2.6, −0.7–6.0 95% CI) during a two-minute maximal push-up test. There was no effect on run time performance (protein: −48.3 s, −63.0–33.6 s 95%; placebo: −74.2 s, −95.5–51.9 95% CI) during a two-minute maximal time trial. Similarly, Ormsbee et al. [55] found a greater increase in 1 RM bench press after six-months of concurrent training with protein supplementation (+27.4 ± 2.4 kg vs. +15.9 ± 2.8 kg,  $p = 0.003$ ) but not 1 RM hip sled performance (protein: 72.3 ± 7.8 kg; placebo: 73.6 ± 9.0 kg). Both groups had a statistically significant increase in  $\dot{V}O_{2peak}$  at six months compared to baseline but no differences between groups were reported. Taylor et al. [56] reported a statistically significant change in 1 RM bench press performance with additional protein in female basketball players over an eight-week period (protein = +4.9 ± 2.1 kg vs. placebo = +2.3 ± 1.4 kg,  $p = 0.046$ ). Walker et al. [58] reported a statistically significant increase in 1 RM bench press performance (protein: +3.5 ± 5.2 kg; placebo: +1.3 ± 4.4 kg,  $p < 0.05$ ) and the number of push-ups (protein: +5.4 ± 6.8; placebo: +3.2 ± 6.8,  $p < 0.05$ ) performed with protein supplementation over eight weeks of recruit military training. There was, however, no effect of protein on run time performance during a maximal three-mile time-trial (protein: −1.4 ± 0.4 s; placebo: −0.9 ± 3.3 s,  $p > 0.05$ ). The remaining study also observed a greater increase in rowing time to exhaustion with leucine supplementation compared to a placebo ( $p = 0.008$ ) [53]. The remaining three studies reported no statistical effects on exercise performance with protein supplementation compared to a placebo or control condition [54,57]. For instance, Longland et al. [57] reported no impact of protein supplementation on leg and bench press 1 RM or cycling time trial performance. Similarly, no differences in men or women were reported between groups for changes in  $\dot{V}O_{2peak}$ , 2000 m rowing time trial, leg and bench press 1 RM performance [54]. It was possible to include five and three studies in the meta-analyses for muscle strength [54–58] and aerobic endurance adaptations [54,55,57], respectively. One study was not included in the muscle strength analysis [51] whilst two studies were not included in the aerobic endurance adaptations [51,53] due to no SD being reported. Additionally, another study was removed from the  $\dot{V}O_{2peak}$  meta-analysis due to assessing time trial performance [58]. The results of the meta-analysis are reported as SMD and showed that protein supplementation improved performance outcomes when muscle strength and aerobic endurance parameters were analysed together (SMD = 0.89, 95% CI = 0.08–1.70). When performance outcomes were analysed independently, protein supplementation was found to enhance muscle strength adaptations during concurrent training compared to placebo (ES = 1.18, SMD = +4.92 kg, 95% CI = −2.70–12.54 kg) (Figure 3). However, the meta-analysis found protein supplementation to not enhance aerobic endurance adaptations ( $\dot{V}O_{2peak}$ ) with the analysis favoring placebo (ES = 0.79, SMD = −0.37 ml·kg<sup>−1</sup>·min<sup>−1</sup>, 95% CI = −1.45–0.71) (Figure 4). The individual study effect sizes for muscle strength and aerobic endurance adaptations can be found in Supplementary Figures S2 and S3. There was substantial heterogeneity between studies for muscle strength ( $I^2 = 94%$ ) and aerobic endurance adaptations ( $I^2 = 95%$ ).





**Figure 3.** Forest plot of the studies which assessed the effects of protein supplementation on muscle strength adaptations. SD = standard deviation, N = sample size, ES = effect size, SMD = standard mean difference, CI = confidence interval.

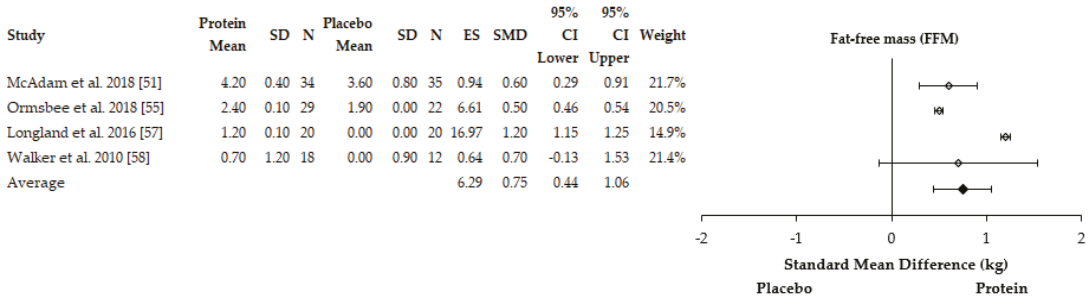


**Figure 4.** Forest plot of the studies which assessed the effects of protein supplementation on aerobic endurance adaptations. SD = standard deviation, N = sample size, ES = effect size, SMD = standard mean difference, CI = confidence interval.

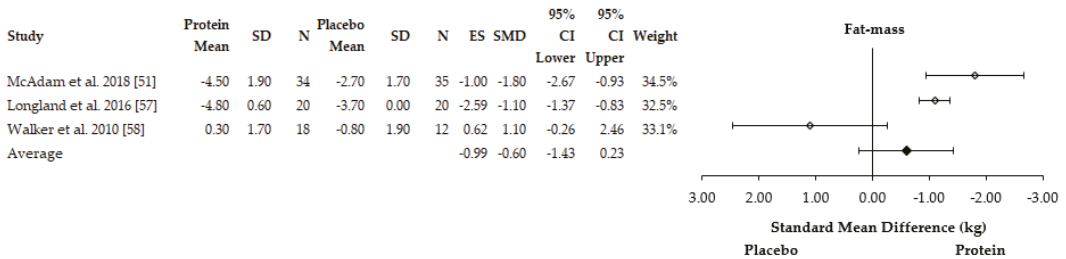
### 3.4.2. Body Composition Adaptations

Fat-free mass (FFM) was shown to increase to a greater extent with protein supplementation over an eight-week training period in military recruits (protein:  $+0.7 \pm 1.2$  kg; placebo  $0.0 \pm 0.9$  kg,  $p < 0.05$ ) [58]. Similarly, FFM was also shown to increase to a greater extent in female basketball players over an eight-week period (protein:  $+1.4$  kg; placebo:  $+0.4$  kg,  $p = 0.025$ ) [56]. McAdam et al. [51] also observed greater reductions in fat-mass over an eight-week period in military recruits with protein supplementation compared to a placebo (protein:  $-4.5$  kg; placebo:  $-2.7$  kg,  $p = 0.04$ ) after controlling for initial fat-mass [51]. A trend for greater reductions in fat-mass (protein:  $-1.0 \pm 0.3$  kg; placebo:  $-0.3 \pm 0.4$  kg,  $p > 0.05$ ) and gains in FFM (protein:  $+2.4 \pm 0.3$  kg; placebo:  $+1.9 \pm 0.3$  kg,  $p > 0.05$ ) were reported by Ormsbee et al. [55]. However, significant differences were observed only at three months into the six-month concurrent training intervention for gains in FFM (protein:  $+2.6 \pm 0.2$  kg; placebo:  $1.7 \pm 0.3$  kg,  $p = 0.02$ ) in sedentary men and women. Longland et al. [57] reported greater reductions in fat-mass (protein:  $-4.8 \pm 1.6$  kg; placebo:  $-3.5 \pm 1.4$  kg,  $p < 0.05$ ) and gains in FFM ( $+1.2 \pm 1.0$  vs.  $+0.1 \pm 1.0$  kg,  $p < 0.05$ ) with protein supplementation compared to a placebo over a four-week period. Conversely, no effect of leucine supplementation was reported after six-weeks on fat mass (body fat percentage) changes [53]. In total, five out of six studies reported a beneficial impact of protein supplementation on body composition adaptations. Four studies were included in the meta-analysis for changes in FFM [51,55,57–59] whereas three studies were included in the meta-analysis for fat-mass [51,57,58]. One study was excluded from the FFM adaptations analysis due to no SD being reported [56] and one study was excluded from the fat-mass adaptations analysis due to body fat percentage being reported [53]. The meta-analysis found that protein supplementation enhanced gains in FFM (ES = 6.29, SMD =  $+0.75$  kg, 95% CI = 0.44–1.06 kg) (Figure 5). The meta-analysis also found protein supplementation to enhance reductions in fat-mass compared to placebo (ES =  $-0.99$ , SMD = 0.60 kg, 95% CI =  $-1.20$ – $0.45$  kg) (Figure 6). The individual study effect sizes for FFM and fat-mass

adaptations can be found in Supplementary Figures S4 and S5, respectively. There was considerable heterogeneity between studies for FFM ( $I^2 = 98%$ ) and fat-mass adaptations ( $I^2 = 91%$ ).



**Figure 5.** Forest plot of the studies which assessed the effects of protein supplementation on fat-free mass (FFM) changes in response to concurrent training. SD = standard deviation, N = sample size, ES = effect size, SMD = standard mean difference, CI = confidence interval.



**Figure 6.** Forest plot of the studies which assessed the effects of protein supplementation on fat-mass changes in response to concurrent training. SD = standard deviation, N = sample size, ES = effect size, SMD = standard mean difference, CI = confidence interval.

### 3.4.3. Muscle Recovery Adaptations

Perceived muscle soreness after a six-mile hike was reduced by 7% with post-exercise protein supplementation compared to increases of 10% and 16% in the placebo and control conditions, respectively ( $p < 0.05$ ) [61]. The remaining studies found no significant difference between protein and placebo conditions for the recovery of muscle function [60], muscle damage [59] or both [52]. Blacker et al. [60] reported no effect of protein compared to CHO on muscle function recovery. At 48 hours post-exercise, knee extensor isometric force was reduced by  $10 \pm 10%$  for the low caloric placebo condition ( $p = 0.008$ ) but had returned to baseline in the CHO ( $p = 0.199$ ) and protein condition ( $p = 0.099$ ). At 72 h post-exercise, participants in the placebo condition returned to baseline ( $p = 0.145$ ), whereas both the CHO ( $p = 0.457$ ) and protein conditions ( $p = 0.731$ ) remained at baseline at 48 h post-exercise. Only one study assessed the impact of protein supplementation on markers of exercise induced muscle damage and inflammation [59]. It was found that there were no differences between protein and isocaloric placebo conditions for changes in blood concentrations of cortisol (placebo:  $-0.79 \pm 0.89$ ; protein:  $1.39 \pm 1.08 \mu\text{g}\cdot\text{dL}^{-1}$ ,  $p = 0.160$ ), C-reactive protein (placebo:  $0.13 \pm 0.77$ ; protein:  $0.99 \pm 0.16 \text{ mg}\cdot\text{L}^{-1}$ ,  $p = 0.305$ ), creatine kinase (placebo:  $278.65 \pm 50.23$ ; protein:  $422.18 \pm 149.87 \text{ U}\cdot\text{L}^{-1}$ ,  $p = 0.722$ ) or aldolase (placebo:  $2.06 \pm 0.46$ ; protein:  $1.98 \pm 0.91 \text{ U}\cdot\text{L}^{-1}$ ,  $p = 0.704$ ). Based on the limited number of studies and available data, it was not possible to complete a meta-analysis of studies assessing the effect of protein supplementation on muscle recovery adaptations. These

limitations include the SD not being reported [61] and different outcome measures, such as muscle damage [59], muscle function [52,60] and muscle soreness [61].

#### 4. Discussion

This review identified 11 studies which investigated the effects of protein supplementation on exercise performance, body composition and muscle recovery adaptations to concurrent exercise training compared to a placebo in healthy adults, confirming the need for more work in this area. The key findings from the literature that met our inclusion criteria demonstrated that protein supplementation had a large effect on muscle strength and FFM adaptations to concurrent exercise training. There was limited evidence to suggest that protein supplementation can support aerobic endurance and muscle recovery adaptations.

##### 4.1. Muscle Strength and Body Composition Adaptations

Longland et al. [57] reported no impact of protein supplementation on muscle strength adaptations despite a greater increase in FFM compared to a placebo condition. This was the only study to purposely induce a negative energy balance while participants consumed a total protein intake of  $2.4 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ . The study duration (four weeks) may have been too short for differences in strength development to be detected, particularly as protein supplementation is suggested to promote gains in FFM and muscle strength as the duration of training increases [62]. Forbes and Bell [54] also reported no effect of an additional  $2.0\text{--}2.4 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  of protein on muscle strength and body composition adaptations over a six-week period (Table 2). It may be that the findings were also confounded by the intervention duration, given that it was shorter than each study reporting a positive effect of protein supplementation. The results were analysed by sex and the small sample size (15 women and 16 men) may have also limited the statistical findings as acknowledged by the study authors. Furthermore, the participants in the control condition consumed  $1.2\text{--}1.4 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  of protein, which may have been adequate to meet the demands of training, however, with no measure of nitrogen balance or protein turnover, this cannot be confirmed. The final study which observed no effect of protein supplementation on body composition adaptations may have had low total daily protein intakes ( $0.85 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ ) [53].

The studies that reported a positive effect of protein supplementation on muscle strength and body composition adaptations provided protein to participants immediately post-exercise [51,55–58]. This likely maximised myofibrillar protein synthesis in response to concurrent training [33,35,38,39] and modulated muscle strength and FFM adaptations [63,64]. Promoting MPS post-exercise is an important factor at enhancing skeletal muscle remodelling and adaptation [39,42,64,65]. Subsequently, this could have attenuated the interference effect of endurance training on strength adaptations [35,66] by promoting the activation of mTOR and inhibiting the activation of the tuberous sclerosis complex [27,28]. Skeletal muscle is sensitive to protein feeding for 24 hours post-exercise and thus, consuming protein in 20–40 g doses evenly throughout the day is recommended [64,67,68]. More recently, it has been shown that consuming protein prior to sleep also augments MPS throughout the night [69]. Consuming protein prior to sleep, and subsequently increasing total daily protein intake may be advantageous at optimising MPS responses and supporting muscle strength and body composition adaptations when undertaking concurrent training [70]. Nevertheless, it should be acknowledged that acute changes in MPS does not necessarily predict changes in muscle strength and FFM [71]. Instead it is likely the chronic and repetitive changes in MPS and muscle protein breakdown (MPB) which contribute to these [65]. Two studies that reported a greater increase in muscle strength reported a larger reduction in fat-mass with protein intakes  $\geq 2.2 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  compared to a placebo [51,55]. This suggests that individuals undergoing arduous concurrent training may benefit from protein intakes higher than the current recommendation of  $1.8\text{--}2.2 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  [72]. Mechanistically, it is speculative as to how an elevated protein intake promoted a greater loss in fat-mass, but previous work suggests that the greater

thermic effect of protein may play a key role [73]. However, despite similar daily energy intakes between groups in both studies, neither included a measure of energy expenditure, and therefore, it is unclear if participants were in energy balance. Consuming a protein intake  $>2.2 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  and possibly higher than  $3.0 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  while restricting energy intake has been suggested to maximise the loss of fat-mass and promote the maintenance of FFM [72]. It is unclear if the greater reduction of fat-mass promoted greater improvements in muscle strength performance in studies included in this review [51,55]. More work is needed to better determine the impact of protein intakes higher than the current recommendations ( $1.7\text{--}2.2 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ ) on body composition adaptations, and how this may influence exercise performance in individuals undergoing arduous concurrent training. The studies identified in this review suggest that protein supplementation may be an effective strategy at augmenting muscle strength and body composition adaptations in healthy adults undertaking concurrent training. It is likely that this effect is facilitated by maximising the MPS post-exercise and attenuating the potential interference effect of endurance training on muscle strength and FFM adaptations. However, more work which includes measures of nitrogen balance or protein turnover are needed to confirm this. Furthermore, future work should also consider factors such as the timing of protein intake around exercise, energy intake/expenditure, and the duration of the training intervention.

#### 4.2. Aerobic Adaptations

Protein requirements are elevated in endurance athletes to  $1.6\text{--}1.8 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  [74] but may be higher ( $1.7\text{--}2.2 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ ) during periods of intense and/or high volume training [72]. Protein feeding has been shown to facilitate recovery and performance adaptations to endurance training [17,64]. Nonetheless, the effects of protein supplementation on aerobic performance adaptations during an arduous concurrent training programme are unknown. Similar improvements in run time performance was observed in military recruits with total daily protein intake of  $2.8 \pm 0.5$  and  $1.6 \pm 0.4 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  in the protein and placebo conditions, respectively [51]. As such, the dietary protein requirements to facilitate endurance-based adaptations were likely met in both groups, therefore, between groups differences were not observed. This suggests that to promote endurance-based performance adaptations, additional protein intake is not warranted when total habitual intake is  $\geq 1.6 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ . Walker et al. [58] also observed no between group differences in run time performance in military recruits supplemented with whey protein or CHO for eight-weeks. However, the total daily protein intakes were not reported, and it is unknown if protein requirements were met. In contrast, one study found leucine supplementation ( $45 \text{ mg}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ ) for six-weeks improved exercise time to exhaustion in canoeists [53]. The reported total daily protein intake was  $0.85 \pm 0.06 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  and  $0.85 \pm 0.05 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$  in the protein and placebo groups, therefore, participants likely benefited from the elevated leucine intake given that this amount of protein per day is much lower than the recommended amount [72,74]. Leucine is the key amino acid which stimulates MPS through the mTOR pathway [39,75], but given that the other essential amino acids are required to support this process [36,39], it is unclear how leucine supplementation improved exercise time to exhaustion. The results of the meta-analysis suggest that there is limited evidence to support the use of protein supplementation for aerobic endurance adaptations in response to concurrent training compared to placebo. However, based on the limited number of studies identified, more work is needed to confirm this.

#### 4.3. Muscle Recovery Adaptations

Protein supplementation has been shown to improve muscle function recovery following resistance training [19] and other modes of exercise including cycling, running, eccentric exercise and resistance training [62], yet no review has evaluated the effects following arduous concurrent exercise training specifically. Protein consumption in close proximity to exercise prevents a decrease in myogenin messenger RNA expression, which can accelerate the remodelling and recovery of skeletal muscle [76]. Specifically, leucine

may be a key component at initiating this process post-exercise through the activation of mTOR and MPS [39,75]. One study found post-exercise protein ingestion reduced muscle soreness in U.S. Marines following a loaded march [61]. However, Flakoll et al. [61] failed to provide the total daily protein intake, which therefore, limits our understanding of the impact of protein supplementation specifically on recovery adaptations [77]. The remaining studies all failed to find an effect of protein supplementation on muscle recovery in the days following arduous concurrent exercise [52,59,60]. Specifically, no impact was observed on markers of muscle damage [59], soreness [52] or function [52,60]. Jimenez-Flores et al. [59] observed no differences in markers of muscle inflammation or damage between protein and placebo conditions. However, some of the markers which were chosen may be questionable. For example, cortisol is a stress hormone [78,79] which can indicate changes in whole-body catabolism [79]. C-reactive protein is a marker of whole-body inflammation and is not necessarily specific to skeletal muscle [78]. The data also suggest a large inter-participant variability, which is a known limitation of such markers, particularly creatine kinase [79].

Eccentric exercise initiates a chain of events which leads to myofibrillar damage, degradation of structural proteins and membrane damage, thus inhibiting muscle function especially if individuals are unaccustomed to the exercise bout [80]. The participants in the study by Eddens et al. [52] completed a bout of concurrent endurance and eccentric exercise. The participants consumed a similar total daily protein intake, which corresponds to current recommendations [64]. As such, it is possible that the additional protein consumed post-exercise in the experimental group did not accelerate muscle recovery, due to protein requirements already being met by the participants. It was acknowledged by Eddens et al. [52] that the decrement in muscle function over the 24 h post-exercise was ~15%, which is lower than that observed with other eccentric exercise protocols, with decrements of between 10–65% reported elsewhere [81]. Therefore, it cannot be excluded that the muscle damaging protocol may not have been arduous enough, which might explain the lack of statistical difference between conditions [52]. Blacker et al. [60] found no statistically significant difference between protein and placebo conditions on acute muscle function recovery following arduous concurrent exercise. However, both supplement conditions accelerated recovery of muscle function compared to the control condition. Similarly, participants consumed a standardised total daily amount of protein ( $0.9 \pm 0.3 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ ) across conditions. Although the amount of protein is lower than the current general recommendations ( $1.2\text{--}2.0 \text{ g}\cdot\text{kg}^{-1}\cdot\text{day}^{-1}$ ) [64], no effect of protein supplementation post-exercise was observed by Blacker et al. [60] when compared to an isocaloric placebo [60]. Jimenez-Flores et al. [59] found no impact of protein supplementation compared to an isocaloric placebo on markers of muscle damage following arduous concurrent exercise, however, high-variability between study participants was observed and likely influenced the ability to detect statistical differences [59]. However, unlike Blacker et al. [60], there was no control group, therefore, it is unknown if the additional energy intake accelerated muscle recovery [59]. The limited number of studies and the differences between methodologies and outcome measures make it difficult to determine whether protein supplementation does improve muscle recovery, thus warranting further research. Additionally, due to the lack of women included in studies to date, future research is needed in women to examine the impact of protein on muscle recovery, particularly given the known difference in the rate of muscle function recovery post-exercise between men and women [82].

#### 4.4. Limitations

Only one study identified in this review included a measure of protein balance or turnover [57]. Therefore, it is unknown if participants were in a positive protein balance before, during or after the interventions in the remaining studies. Including a measure of protein requirements in future studies can allow for a better understanding of how much additional protein is potentially needed during arduous concurrent training by estimating changes in MPS and whole-body protein balance. The majority of studies failed to include markers of skeletal muscle damage or inflammation when focusing on muscle recovery and

therefore, the mechanisms of the effects observed are speculative. The control of dietary intake is critical for comparison between studies involving nutritional interventions. Four studies failed to report the total daily protein intake during the intervention [56,58,59,61], making the comparisons between studies even more challenging, given that this is considered more important than the timing of protein intake [71,83]. It is recommended that these methodological considerations be factored into future studies aimed at investigating the influence of protein supplementation on arduous concurrent training adaptations. The heterogeneity of the meta-analysis results should be acknowledged as this may make it difficult to apply these findings to a specific population. Nonetheless, the findings of this review infer that protein supplementation can support muscle strength, aerobic endurance, and body composition adaptations during concurrent training. However, more population specific randomised controlled trials (RCTs) are needed to build upon these findings.

#### 4.5. Military Research Applications

Five of the eleven studies included in this review quantified the effects of protein supplementation in those during military training, or in response to a military training-based activity [51,58–61]. Given the potential for concurrent endurance training to inhibit muscle strength adaptations [27,28], military recruits may be one population who can benefit from strategies which aim to promote gains in FFM and muscle strength during arduous concurrent military training. The findings of this systematic review suggest that protein supplementation may be an effective strategy to support body composition and muscle strength development. However, to better understand the effects protein supplementation has on adaptation and performance outcomes of military recruits, additional population specific RCTs are needed. Future RCTs should consider investigating the effects of elevated protein intakes on training adaptations during arduous military training, including muscle strength, body composition and muscle recovery. Additionally, future work may want to consider adaptations not included in this review, such as bone adaptations, given that bone health and stress fracture incidence are important areas of military research [84–86] and which protein supplementation may be able to support [70,87]. The lack of women studied to date in this area also highlights a gap in the current literature. Therefore, future work should aim to include data in women since they now take-up more arduous (ground close combat) roles in the military [88]. Furthermore, including measures of protein metabolism, such as nitrogen balance and protein turnover, in future work should be considered as a means of better understanding the effects of protein supplementation during military recruit training.

## 5. Conclusions

This is the first systematic review and meta-analysis to investigate the effects of protein supplementation on arduous concurrent training adaptations. Based on the limited number of studies identified, more work in this area is clearly warranted, particularly given the importance of developing aerobic fitness and muscle strength concurrently in many exercising populations. The findings of this review suggest that protein supplementation may be an effective strategy at supporting lean-mass accretion and muscle strength adaptations in healthy adults, whilst considering the impact that training programme duration, total energy and protein intake of participants has on outcome measures. From the existing literature, it is reasonable to recommend that individuals aim for total daily protein intakes between 1.7 and 2.2 g·kg<sup>-1</sup>·day<sup>-1</sup> whilst ingesting 20–40 g of protein immediately post-exercise to maximise MPS and support muscle strength and FFM adaptations. However, the disassociation between MPS and chronic physiological adaptations should be acknowledged. Based on the novel data included in this review, subsequent research may consider investigating the potential benefits of higher total daily protein intakes during arduous concurrent training on adaptation and performance outcomes.

**Supplementary Materials:** The following are available online at <https://www.mdpi.com/article/10.3390/nu13051416/s1>, Figure S1: Funnel plot of the comparison of the effect of protein supplementation vs. placebo on muscle strength, aerobic endurance, FFM and fat-mass adaptations. All studies are included. Figure S2: Forest plot showing the effect sizes of the studies which assessed the effects of protein supplementation on muscle strength adaptations. Figure S3: Forest plot showing the effect sizes of the studies which assessed the effects of protein supplementation on aerobic endurance adaptations. Figure S4: Forest plot showing the effect sizes of the studies which assessed the effects of protein supplementation on fat-free mass (FFM) changes in response to concurrent training. Figure S5: Forest plot showing the effect sizes of the studies which assessed the effects of protein supplementation on fat-mass changes in response to concurrent training.

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