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Special Issue Reprint

Advances in Legumes for Human Nutrition

Edited by
Stuart Johnson and Rewati Bhattarai

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Article

Sensory Characteristics and Nutritional Quality of Food Products Made with a Biofortified and Lectin Free Common Bean (*Phaseolus vulgaris* L.) Flour

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Abstract: Common beans (*Phaseolus vulgaris* L.) are an important source of nutrients with beneficial effects on human health. However, they contain lectins, that limit the direct use of flour in food preparations without thermal treatment, and phytic acid, that reduces mineral cation bioavailability. The objectives of this research were: to obtain biofortified snacks and a cream using an untreated common bean flour devoid of active lectins (*lec*[−]) and with reduced content of phytic acid (*lpa*) and to evaluate the sensorial appreciation for these products. The main results of the present work were: the products with the *lpa lec*[−] flour did not retain residual hemagglutinating activity due to lectins; they showed higher residual α -amylase inhibitor activity (from 2.2 to 135 times), reduced in vitro predicted glycemic index (about 5 units reduction) and increased iron bioavailability compared to the products with wild type flour; products with common bean flour were less appreciated than the reference ones without this flour, but the presence of an intense umami taste can be a positive attribute. Results confirmed that the use of the *lpa lec*[−] flour has important advantages in the preparation of safe and nutritionally improved products, and provide useful information to identify target consumers, such as children and elderly people.

Keywords: α -amylase inhibitor; biofortification; lectins; nutritional enhancement; phytic acid; predicted glycemic index; sensory analysis; temporal dominance sensation



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1. Introduction

Legume seeds (pulses) are an excellent and inexpensive source of proteins, dietary fiber, vitamins, minerals, and bioactive components, and their consumption is associated with multiple health benefits, such as lower risk of incidence of cancers, type II diabetes, or cardiovascular diseases [1–5]. However, legume consumption per capita has not increased in the last three decades, despite massive population growth [6], and in several countries estimated average legume consumption does not meet recommendations. In recent years, public awareness of the nutritional benefits of pulses, as part of sustainable food production for food security and nutrition, has increased. As a result, attention to legume research has generally increased. Furthermore, innovative food alternatives that promote legume

consumption and that can provide alternatives to satisfy consumer demand for healthy foods have emerged. These novel foods incorporate legumes into bakery products, pasta, soups, cereals, tortillas, meat substitutes, beverages, and snacks (recently reviewed by [7]).

Snacks occupy a considerable slice of the ready-to-eat food market and are appreciated by consumers of all ages, eaten during work-breaks by adults and during school recreation by children and adolescents. In some cases, snacks have become meal substitutes; the tendency to consume low-value snacks instead of having a complete and balanced meal is frequent and common, mainly among teenagers. This phenomenon has been associated with an increase in the body mass index and poor academic performance of students [8]. The increased consumption of energy-dense, nutrient-poor snacks is one of the major and growing concerns associated with the alarming trend of overweight, obesity and metabolic disorders worldwide [9]. This trend is also related to undesirable changes in snack-food patterns, including an increase in portion sizes, added sugars, total fats, saturated and trans-fats [10]. For this reason, the snack food market is currently searching for healthier products, characterized by enhanced nutritional value, a lower caloric content and a more balanced composition, to satisfy the changing habits of adults and children and to prevent the development of chronic diseases. Furthermore, overweight and obesity, which have always been considered a problem particularly in high-income countries, are currently dramatically increasing in low-income countries that now face concerns associated both with malnutrition and those associated with obesity [11].

Because of their high nutritional value and their positive performance in heat-treated products, legume flours are suitable ingredients for use in baked goods, and bean flour seems to receive a positive response in the formulation of ready-to-eat snacks [12–16]. However, legume-based snacks face challenges such as eliminating or reducing antinutritional factors (e.g., lectins, enzyme inhibitors, α -galacto-oligosaccharides, phytic acid, tannins) and meeting consumer acceptance, including texture, flavor, appearance, color, and final product approval. Sensory analysis evaluates the attributes related to perception at consumption, predicts consumer acceptance drivers, and helps identify target consumers. Sensory evaluation has been applied to bakery products containing legumes, including gluten-free [17,18] and low-fat [19] biscuits, and snacks for children [20]. Furthermore, it must be kept in mind that consumer preferences have changed over the years and nowadays food products that could provide health benefits are preferred by consumers [21].

The common bean (*Phaseolus vulgaris* L.) is an essential source of proteins and many macro and micronutrients. Therefore, its consumption has beneficial effects on human health and related pathologies, such as reducing cardiovascular diseases and diabetes mellitus, preventing different types of cancer, and controlling some metabolic functions [22]. Furthermore, because of their characteristics, beans can be introduced in gluten-free, vegetarian, and vegan diets as an optimal source of proteins. Moreover, the introduction of beans into popular industrial products, especially snacks, may be helpful to attract people and increase the use of legumes within different food formulations so that all groups of consumers can benefit from the positive effects of common beans. Moreover, they are an essential and complete staple food in many developing countries, where ‘hidden hunger’ is a critical challenge and common bean represents one of the most common crops [23].

Despite their positive characteristics, beans also contain some antinutritional compounds, such as lectins and phytic acid [24]. It is well known that consumption of raw or inadequately cooked beans causes poisoning, characterized by extreme nausea, vomiting, diarrhea, severe acute gastroenteritis and intestinal malabsorption [25–27]. This toxicity has been ascribed to the presence of active lectins [28], one of the major classes of common bean storage proteins. For these reasons, the presence of lectins strongly limits the direct use of common bean flour without any thermal treatment to prepare baked products, as the cooking conditions do not always ensure the complete inactivation of these toxic proteins [12]. In addition, the presence in common bean seed of high amounts of the strong chelator phytic acid reduces the bioavailability of important minerals such as iron,

zinc, potassium, calcium, and magnesium that, at physiological pH, easily precipitate as phytate salts [29].

In recent decades, most research has sought, on the one hand, to develop approaches aimed at reducing legume seed antinutrients and improving their excellent properties and, on the other, to find possible ways to sensitize consumers to their regular consumption. For this purpose, not only well-tested technological methods (such as germination, extrusion and heat treatments) exist [13,30–32], but even genetic approaches can be employed [24,33]. Moreover, some technological modifications can modify some nutritional and antioxidative properties. For instance, migration of compounds with high antiradical activity to brine may significantly diminish bioavailability of active compounds because the brine is usually discarded before consumption of canned legumes [34]. Genetic approaches, by exploiting natural and induced biodiversity, were successfully used to isolate lines devoid of active lectins (*lec*[−] [35,36]) and with low seed phytic acid content (*lpa* [37,38]). In common bean seeds, major lectins, the erythroagglutinating and leucoagglutinating phytohemagglutinins (PHA-E and PHA-L, respectively), and the α -amylase inhibitor (α -AI), also known as phaseolamin, occur at the same genetic locus, called APA [39]. α -AI can inhibit mammalian α -amylases, thus inhibiting starch digestion [40] and is widely used as an active ingredient of commercial starch blocker preparations for the control of body weight [41]. Moreover, α -AI can also reduce post-prandial glucose plasma levels, insulin C-peptide and gastric inhibitory polypeptide in healthy and diabetic subjects [42,43]. Through the screening of natural biodiversity, followed by breeding, genotypes showing differences in the presence of PHAs and α -AI have been isolated [35,36]. For example, the cv. Lady Joy, devoid of active PHAs and with an active α -AI, was obtained through the introgression into a commercial cultivar of an inactive PHA, the so-called “pinto lectin”, present in only 10% of common bean genotypes [35].

Two allelic common bean *lpa* mutants, affecting the PvMRP1 phytic acid transporter, have been isolated and characterized to date, showing a 75–90% reduction in seed phytic acid content [37,38]. From an in vivo study, iron absorption per test meal from the *lpa1* seeds was higher than from wild type beans, showing that the *lpa1* beans are biofortified [44]. However, the following study found that the *lpa1* beans could cause adverse gastrointestinal symptoms due to a hard-to-cook (HTC) phenotype concomitant with increased thermal stability of lectins in these lines [27]. As recently shown, both traits depend on the genetic backgrounds in which the *lpa1* mutation is present [45].

Unprocessed common bean flours obtained from the Lady Joy genotype, and the *lpa1* mutant have been used to prepare biscuits [12]. Results showed that if flour derives from conventional common bean, lectin activity is still detectable after baking; consequently, it has been suggested to avoid using unprocessed traditional flour of beans to produce baked products. Conversely, the unprocessed Lady Joy flour (*lec*[−]) is safe, as no lectin activity is present in the biscuits. Moreover, it was shown that baking did not fully inactivate α -AI, a trait that may contribute to lowering the predicted glycaemic index (pGI) of the biscuits. When the *lpa1* flour was used to prepare biscuits, a 50% reduction of phytic acid content in the final product was observed [12].

In a previous study [12] the use of different bean flours devoid of specific antinutrients to prepare biscuits was analyzed. Moreover, different proportions of bean flours in different composite flour formulations (e.g., with wheat and/or maize flours) were used. In the current study a wider range of bean-based products, made with an advanced bean genotype that combines the reduction of phytic acid (*lpa*) with the absence of an active lectin (*lec*[−]) while maintaining the accumulation of α -AI, were analyzed. Crackers with two different proportions of bean flour, two different types of biscuits and a cream, with a content of bean flour ranging from 9% (cream) up to 38% (Cracker 2) were produced. Our general purpose is to promote bean consumption by developing biofortified and low antinutrients beans which are well suited for directly use as bean flours. More specifically, in this study, we aimed, on the one hand, to verify how some nutritional characteristics of the bean flours (e.g., activities of the α -AI and the lectin, iron bioavailability) were influenced by

different formulations and/or cooking conditions and, on the other hand, to assess the sensory properties and related acceptance level of bean-based products, according to a trained evaluation panel.

2. Materials and Methods

2.1. Plant Materials

Bean flours were obtained from a wild type (wt) *P. vulgaris* L. genotype, belonging to the bean market class Borlotto and an *lpa lec⁻* genotype with the same genetic background of Borlotto. This genotype (*lpa lec⁻*) was a BC₁F₅ line derived from a cross between a BC₂F₂ low phytic acid (*lpa*) line [37] and the Lady Joy genotype [12], characterized by the absence of active lectins (*lec⁻*).

2.2. Products Preparation

Composite flours, containing different percentages of the bean flour, wheat flour type 2, whole wheat flour and buckwheat flour were used to prepare biscuits, crackers and a cream. Recipes were developed with the technical assistance of the bakers and pastry chefs Mr. Matteo Consolo and Mr. Ferruccio Farioli at ENAIP (Ente Acli Istruzione Professionale) Lombardia, Busto Arsizio (VA, Italy). Crackers with two different amounts of bean flour, two types of biscuits, and a cream were produced. Formulations are reported in Table 1.

Table 1. Formulations of bean-based food products.

Product	Ingredients	Quantity (g)	% Total	% Bean Flour in	
				Total Flour	Whole Product
Cracker 1	Wheat flour type 2 *	600	38.10	40	26
	Bean flour	400	25.40		
	Water	550	34.92		
	Yeast	10	0.63		
	Salt	15	0.95		
Cracker 2	Wheat flour type 2 *	400	24.62	60	38
	Bean flour	600	36.92		
	Water	600	36.92		
	Salt	15	0.92		
	Yeast	10	0.62		
Biscuit 1 (shortbread)	Butter	500	30.30	50	20
	Milk	350	21.21		
	Wheat flour type 2 *	325	19.70		
	Bean flour	325	19.70		
	Vanilla icing sugar	150	9.09		
Biscuit 2 (buckwheat biscuit)	Sugar	350	27.08	33	12
	Eggs	250	19.34		
	Butter	200	15.47		
	Whole wheat flour *	160	12.38		
	Buckwheat flour	160	12.38		
	Bean flour	160	12.38		
	Backing	12.5	0.97		
Cream	Almond milk	1000	28.41	100	9
	Sugar	300	8.52		
	Eggs yolks	300	8.52		
	Bean flour *	150	4.26		
	Lemon peel	10	10		

* in control products equivalent amounts of these flours replaced the common bean flour. In the case of the cream, rice flour replaced bean flour.

Crackers and biscuits were both prepared in three variants, using the flours from the wt or the *lpa lec⁻* bean genotype or replacing the common bean flour with an equivalent amount of wheat flour type 2 or whole wheat flour (Biscuit 2). Cream was prepared with the *lpa lec⁻* bean flour or with rice flour. Crackers and biscuits prepared with the two different common bean flours (wt and *lpa lec⁻*) were used for the different analyses, except the sensorial ones. For these last analyses, crackers, biscuits, and cream prepared with the *lpa lec⁻* flour were compared to the same product prepared without the common bean flour.

For cracker preparation the dough was produced by mixing wheat flour type 2, bean flour, with 95% of water. The dough was left to rest to activate the autolysis process. After 30 min, yeast was added and the remaining 5% of water was gradually added to the dough. The dough was divided in pieces of the same weight and each loaf was reduced to a thin sheet. Each sheet was put in a baking tin, previously oiled, brushed with olive oil and sprinkled with salt to taste. Sheets were pierced and cut in regular pieces and then cooked in an oven at 190 °C for approximately 8 min.

Shortbread biscuits (Biscuit 1) were prepared by mixing sugar with butter in a planetary mixer until they were completely homogenized. Then the other ingredients were added. The dough resulted was soft and biscuits were formed using a sac a poche. Biscuits were cooked in an oven at 190 °C for 14–18 min.

Buckwheat biscuits (Biscuit 2) were prepared by mixing all the ingredients together to produce the dough. Once all the ingredients were completely homogenized, the dough was worked by “cylinder processing” and formed. Before cooking, biscuits were sprinkled with a mixture of sugar or salt and cornmeal. They were cooked in an oven at 190 °C for 14–18 min.

For the cream, the ingredients were mixed together and cooked for approximately 15 min at low temperature (65 °C) to pasteurize the egg yolks. The final cream was stored in the fridge at about 4 °C.

2.3. Proximate Composition Analyses

The chemical composition of food samples was assessed according to AOAC standard methods [46]. Samples were milled and analyzed for dry matter (DM), ash, crude protein (CP), and ether extract (EE). Starch content was measured enzymatically (kit K-TSTA, Megazyme, Bray, Ireland). Total sugar determination was performed using the sucrose, D-fructose and D-glucose assay procedure (kit K-SUFRG Megazyme). The total dietary fiber (TDF) was determined using the AOAC Method 991.43 (kit K-TDFR, Megazyme) based on the sequential enzymatic digestion of 1 g of sample by heat-stable α -amylase, protease and amyloglucosidase.

The evaluation of in vitro starch digestion of food products over time was performed following the multi-enzymatic protocol detailed by Giuberti et al. [47] and as described in Sparvoli et al. [12]. Commercial fresh white bread (starch content of 72.3% DM) was used as reference and a blank was also included to correct for the glucose in the amyloglucosidase solution. The percentage of digested starch at each time interval was calculated using a factor of 0.9 to convert mono to polysaccharides. For each treatment, samples were analyzed in duplicate. After the enzyme digestion, a hydrolysis index (HI) was derived from the ratio between the area under the hydrolysis curve (AUHC) of each sample and the corresponding AUHC of the reference fresh white bread as a percentage over the same period. From the obtained HI, a pGI value was calculated with the formula $pGI = 8.198 + 0.862 \times HI$ [48].

2.4. Hemagglutination Test

Bean flours, or defatted food samples, were extracted with 20 volumes of phosphate buffered saline buffer (PBS: 10 mM KHPO₄, 15 mM NaCl, pH 7.4). Hemagglutinating activity in the extracts was determined by a serial dilution method using a human type A erythrocyte suspension. Drops of blood were drawn from the donor finger and collected inside 1.5 mL Eppendorf tube: about 150 μ L of blood was collected. Erythrocytes were

separated from the serum by washing with about 10 volumes (1.5 mL) of PBS. This step was repeated three times. The serum was eliminated, and the erythrocytes were stored at 4 °C in 10 volumes of PBS. At the time of use, erythrocytes were diluted 1:10 with PBS.

For each sample (PBS samples both from flours and defatted products), serial dilutions in PBS, ranging from 1:2 to 1:256, were assayed. Agglutination was visually determined after 4 h incubation at room temperature.

2.5. Assay of α -Amylase Inhibitor Activity

The analysis was based on a protocol that measures the inhibitory activity of the sample against human salivary α -amylase (EC 3.2.1.1; Type IX-A) by the increase of iodine staining after the action of the salivary α -amylase with soluble starch [49]. Briefly, different volumes (from 10 to 100 μ L) of each sample extract (bean flour or defatted food products in PBS, as described above) were diluted 50 or 200-fold in 20 mM borate buffer pH 9 and were pre-incubated with a fixed amount of α -amylase (0.15 U) for 30 min at room temperature in a final volume reaction of 300 μ L. Then, 200 μ L of a 0.15% solution of potato starch was added and, after 5 min at room temperature, the reaction was stopped by adding 1 mL of iodine reagent [50] and absorbance was measured at 620 nm. Results were expressed as units of α -amylase inhibited per mg of flour, where one unit of inhibitor activity is the amount which will bring about 50% inhibition of the α -amylase in 30 min under the above conditions according to Marshall and Lauda [51]. The percentage of residual activity was calculated comparing the expected U of α -AI/100 mg of flour to the measured values.

2.6. Preparation of the Pepsin, Pancreatin and Bile Extract Digests

A quantity of 0.2 g porcine pepsin (800–2500 units/mg protein; Sigma-Adrich, St-Louis, MO, USA) was dissolved in 5 mL 0.1 N HCl; 0.05 g pancreatin (4 \times USP specifications, Sigma-Adrich) and 0.3 g bile extract porcine (glycine and taurine conjugates of hyodeoxycholic and other bile salts, Sigma-Adrich) were dissolved in 25 mL 0.1 NaHCO₃. Both solutions were preliminary subjected to batch treatment with Chelex-100 (Bio-Rad Laboratories, Hercules, CA, USA) to eliminate any residual polyvalent metal ion. A quantity of 1.65 g food sample was dissolved in 10 mL water in a 50-mL screw-cap culture tube. The pH of each sample was adjusted to pH 2.0 with 5.0 N HCl. A volume of 0.5 mL of the pepsin solution was added and incubated for 60 min on a shaker at 37 °C. The pH of the sample was then raised to 6 with 1 M NaHCO₃ and 2.5 mL of the pancreatin-bile extract mixture was added. Finally, the pH was adjusted to 7 with 2 M NaOH, and the volume was brought to 15 mL with a 120 mM NaCl/5 mM KCl water solution.

2.7. In Vitro Analysis of Iron Bioavailability and Iron Content Evaluation

The in vitro digestion model from [52] was adopted with some modifications. Caco-2 cells (American Type Culture Collection (Rockville, MD, USA)) were used in experiments at passage 29. Cells were seeded at densities of 50,000 cells/cm² in collagen-treated 6-well plates (Costar Corp., Cambridge, MA, USA) and cultured at 37 °C in Dulbecco's modified Eagle medium containing 25 mmol/L HEPES, and 10% fetal bovine serum (complete medium) in 5% CO₂ atmosphere. On day 13 from cell confluence, the complete medium was removed and replaced with minimum essential medium (MEM) supplemented with 10 mmol/L PIPES, 4 mg/L hydrocortisone, 5 mg/L insulin, 5 μ g/L selenium, 34 μ g/L triiodothyronine and 20 μ g/L epidermal growth factor (enriched MEM), to ensure background levels < 80 μ g Fe/L. A Transwell insert ring (Costar Corp.) was then added to each well, in which the upper chamber was formed by fitting the bottom with a dialysis membrane (15,000 Da molecular weight cut off; Sigma-Aldrich). A volume of 1.5 mL digested sample was added to the upper chamber and incubated for 2 h. Then, inserts were removed, and 1 mL of enriched MEM was added. Cells were incubated for a further 22 h at 37 °C. Then, the monolayer was washed with 140 mM NaCl, 5 mM KCl and 10 mM PIPES pH 7 (rinse solution). The rinse solution was aspirated and 2 mL of a freshly prepared rinse solution containing 5 mM sodium hydrosulfite and 1 mM atophenanthroline disulfonic

acid (removal solution) was added for 10 min. The removal solution was aspirated and the monolayer was washed with rinse solution. Next, 2 mL of deionized water was added and cells were sonicated for 15 min with a benchtop sonicator. Finally, cells were recovered by scraping, collected along with the 2 mL water and stored at $-20\text{ }^{\circ}\text{C}$. A 10- μL sample was used for ferritin measurement using the Human Ferritin ELISA (Enzyme-Linked Immunosorbent Assay) kit (SIGMA-Aldrich). Cell protein was measured using the Bio-Rad DC protein assay kit (Bio-Rad).

For iron content evaluation, 300 mg samples were digested in Teflon tubes filled with 5 mL of 65% (*v/v*) HNO_3 by a microwave digester system (MULTIWAVE-ECO, Anton Paar Italia Srl., Rivoli, Italy) by applying two-step power ramps (Step 1: To 500 W in 10 min, maintained for 5 min; Step 2: To 1200 W in 10 min, maintained for 15 min). After 20 min cooling, the mineralized samples were transferred into polypropylene test tubes and diluted 1:20 with MILLI-Q water (Merck). Iron concentrations were measured by inductively coupled plasma-mass spectrometry (ICP-MS; Bruker AURORA M90 ICP-MS, Bruker Daltonik GmbH, Leipzig, Germany).

2.8. Sensorial Evaluation

Sensory evaluation was executed on food products prepared with bean flour (Table 1) and on reference I samples, in which bean flour was replaced with equal amounts of wheat flour type 2 (Cracker R, Biscuit 1R), whole wheat flour (Biscuit 2R), or rice flour (Cream R). Evaluated products are shown in Figure 1.

Sensory descriptive analysis (DA) and temporal dominance of sensations (TDS) [53,54], were carried out by a panel composed of 10 expert judges (5 females and 5 males, age 30–50), with significant experience in sensory descriptive evaluation and in the use of the sensorial software used to collect data. Attributes to be used in this study were selected based on literature on bakery goods and cookies [55] and proposed to the judges to familiarize with the products. Tests were performed by the panel at appropriate light and temperature conditions, according to UNI EN ISO 8589:2014 [56], in individual booths, with notebooks equipped with specific software for sensory data acquisition (FIZZ Biosystèmes, France).

Samples were distributed to the judges, coded with three-digit numbers and presented randomly. Mineral water was distributed to the judges to clean their mouths between samples.

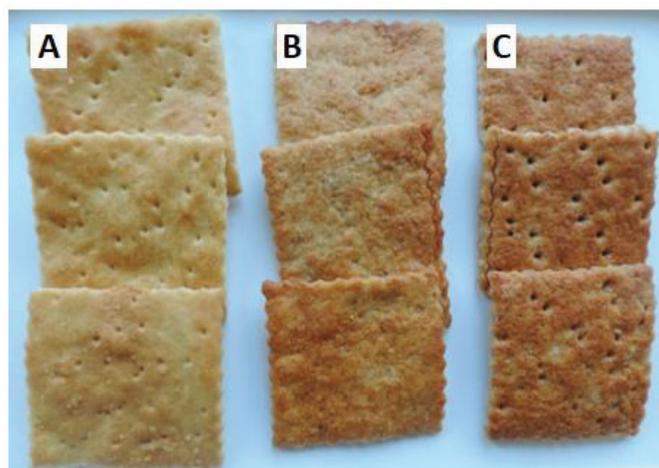


Figure 1. Cont.

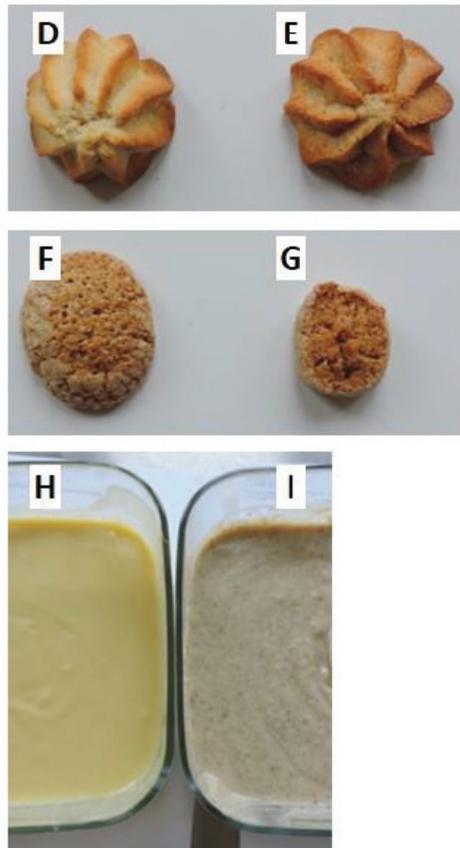


Figure 1. Samples used for the sensorial evaluation. (A) Cracker R, (B) Cracker 1, (C) Cracker 2, (D) Biscuit 1R, (E) Biscuit 1, (F) Biscuit 2R, (G) Biscuit 2, (H) Cream R, (I) Bean Cream. All R samples were reference without common bean flour, while the samples Crackers 1 and 2, Biscuits 1 and 2 and Bean Cream contained 26, 38, 29, 14 and 9% of common bean flour, respectively.

2.8.1. Descriptive Analysis

For DA, each judge was delivered one product at a time and asked to evaluate the attributes (Table 2) using a nine-point intensity scale (1 = hardly perceptible; 9 = very intense) an overall quality score (1 = dislike extremely; 9 = like extremely) [57,58]. DA tests were carried out in duplicate.

Table 2. List of attributes evaluated in descriptive analysis (DA) and temporal dominance of sensations (TDS).

	Cracker	Biscuit 1	Biscuit 2	Cream
Flavor of legumes	DA + TDS	DA + TDS	DA + TDS	DA + TDS
Flavor of wholemeal/bran	DA + TDS	DA + TDS	DA + TDS	
Flavor of wheat	DA + TDS			
Flavor of butter		DA + TDS	DA + TDS	

Table 2. Cont.

	Cracker	Biscuit 1	Biscuit 2	Cream
Flavor of shortcrust pastry		DA + TDS		
Flavor of biscuit			DA + TDS	
Flavor of almond				DA + TDS
Flavor of lemon				DA + TDS
Flavor of cream				DA + TDS
Flavor of eggs				DA + TDS
Flavor of biscuit				DA
Odor of legumes	DA	DA	DA	DA
Odor of wholemeal/bran	DA	DA	DA	
Odor of butter			DA	
Odor of shortcrust pastry		DA		
Odor of crackers	DA			
Odor of biscuit			DA	
Odor of eggs				DA
Odor of cream				DA
Odor of lemon				DA
Snapping	DA			
Consistency	DA	DA	DA	
Crunchiness	DA	DA	DA	
Friability	DA	DA	DA	
Graininess	DA	DA	DA	DA
Viscosity				DA
Creaminess				DA
Adhesiveness	DA	DA	DA	
Humidity	DA	DA		
Chewiness	DA			
Flouriness				DA
Astringency	DA	DA	DA	DA
Umami	DA + TDS	DA + TDS	DA + TDS	DA + TDS
Bitterness	DA	DA	DA	DA
Salty	DA + TDS	DA	DA	DA
Sweetness		DA + TDS	DA + TDS	DA + TDS

2.8.2. Temporal Dominance of Sensations

The TDS was performed, considering only 5–7 attributes (Table 2) linked to the gustative/aromatic aspects considered relevant for each product. According to Pineau [53], during the product tasting (60 s) judges were asked to express the dominant attribute.

Results were elaborated by statistical analysis using the software SAS[®] (SAS 9.4, SAS Institute Inc., Cary, NC, USA). The sensorial profiles were analyzed through ANOVA and post hoc test (Tukey's HSD) and displayed in a visual plot. For the TDS, the proportion of runs for which each attribute was considered as dominant was calculated for each point in time. These proportions were traced over time using the SAS[®] TRANSREG procedure and named "TDS curves".

3. Results

3.1. Proximate Composition

Crackers and biscuits prepared with wt or *lpa lec*⁻ common bean flours were analyzed for their proximate composition (Table 3). Results showed that the protein content was relatively high in all the baked products, due to the contribution of the bean flour. As expected, the highest protein content was found in Cracker 2 (21.7 g/100 g DM and 18.5 g/100 g DM for *lpa lec*⁻ and wt, respectively), which was characterized by the highest bean flour content (38% of the total product). Biscuits contained the lowest protein content (average 11.3 g/100 g DM), according to the lower percentage of the bean flour in their formulation (20% and 12% of the total in Biscuit 1 and Biscuit 2, respectively).

Table 3. Proximate composition of bean-based products (g/100 g dry matter). na, non-analyzed; <LOQ, under limit of quantification.

Sample		Water	Crude Protein	Crude Lipid	Total Carbohydrates				Total Dietary Fiber	Ash
					Starch	Saccharose	Glucose	Fructose		
Cracker 1	<i>lpa lec⁻</i>	7.3	19.1	3.3	44.6	<LOQ	0.9	<LOQ	16.7	6.3
	wt	6.3	17.0	3.1	46.3	<LOQ	0.9	<LOQ	17.9	6.7
Cracker 2	<i>lpa lec⁻</i>	6.5	21.7	3.4	36.6	<LOQ	<LOQ	1.0	21.7	7.5
	wt	6.9	18.5	2.2	38.5	<LOQ	1.1	0.5	22.8	7.8
Biscuit 1	<i>lpa lec⁻</i>	4.6	11.7	32.0	19.3	11.3	0.0	<LOQ	18.4	1.3
	wt	5.0	11.0	32.9	21.2	12.7	0.0	0.0	14.8	1.5
Biscuit 2	<i>lpa lec⁻</i>	4.6	11.6	15.4	14.8	32.3	0.1	<LOQ	18.2	1.8
	wt	4.5	11.0	15.0	18.6	28.5	0.0	<LOQ	17.7	1.8
Bean flours	<i>lpa lec⁻</i>	10.6	27.7	0.6	36.0	na	na	na	na	3.5
	wt	10.6	23.1	1.1	44.9	na	na	na	na	3.8

The lipid content was higher in Biscuit 1 (average 32.4 g/100 g DM) and consistent in Biscuit 2 (average 15.2 g/100 g DM), since, in both cases, butter was one of the main ingredients. In contrast, crackers, which were prepared with no added fats (except for the olive oil used to sprinkle the pan), showed a lower lipid content (average 3.0 g/100 g DM).

The carbohydrate fraction appeared variable between baked products. The starch content was higher in Cracker 1 (average 45.4 g/100 g DM), but was lower in Cracker 2 (average 38.3 g/100 g DM), where the bean flour was present in a higher proportion. In contrast, starch content was higher in Biscuit 1 than in Biscuit 2 (average 20.2 g/100 g DM and 16.7 g/100 g DM, respectively), despite the fact Biscuit 1 contained a higher percentage of common bean flour. This difference might be explained by the composition of Biscuit 2, in which wheat flour was replaced by whole wheat and buckwheat flours that further contributed to lowering the starch content. On the other hand, these ingredients did not influence the content of total dietary fiber (average 16.7 and 17.9 g/100 g). The total dietary fiber also constituted a significant fraction in crackers and increased with the increasing level of bean flour in the products, being higher in Cracker 2 than in Cracker 1 (20.9 g/100 g DM against 16 g/100 g DM). In crackers, total sugars were negligible, while they were relevant in biscuits for the saccharose fraction (glucose and fructose were almost absent) that correlated to the added sugar in the recipes, that was almost three times higher in Biscuit 2 than in Biscuit 1 (average 30.4 g/100 g DM and 12.0 g/100 g DM, respectively).

Finally, the ash content was higher in crackers (average 6.5 g/100 g DM in Cracker 1 and 7.6 g/100 g DM in Cracker 2), while Biscuit 2 had a slightly higher ash fraction (average 1.8 g/100 g DM) than Biscuit 1 (average 1.4 g/100 g). These differences might have been due to the bean flour present in the products, together with the amount of less refined flours in Biscuit 2.

In general, products made with *lpa lec⁻* bean flour had higher protein content and lower starch content compared to those made with wt bean flour, in agreement with the composition of the respective flours (27.7 g/100 g vs. 23.1 g/100 g for proteins and 36.0 g/100 g vs. 44.9 g/100 g for starch) (Table 3).

3.2. Evaluation of Hemagglutinating Activity

Bean seeds accumulate high amounts of toxic PHAs and the excessive consumption of raw or improperly cooked beans may cause poisoning. Therefore, since our aim was to exploit the use of a biofortified and lectin null bean flour to obtain novel fortified foods, it was important to verify the lack of any residual lectin activity in the bean-based products (crackers and biscuits in their variants). To quantify the amount of lectins in the different bean-based products, equal amounts of extracts were compared with equal amounts of extracts from flours of the wt genotype as control, and *lpa lec⁻* genotype (Figure 2).

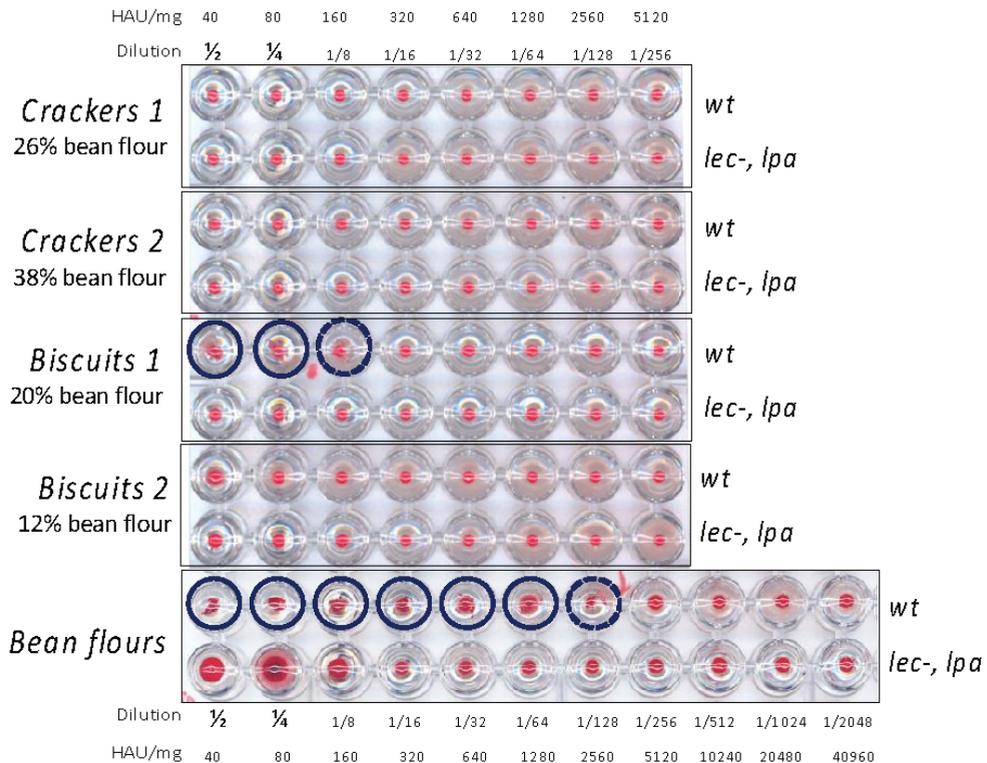


Figure 2. Hemagglutinating activity of bean-based products and bean flour extracts. Serial dilutions of equal amounts of bean-based products or seed flour extracts obtained from two different genotypes (wt and *lpa lec*⁻) were compared. Blue circles indicate sample dilutions able to agglutinate red blood cells. The percentage of bean flour in biscuits was adjusted based on the weight of defatted samples (in defatted biscuits 1 bean flour was 29.4%).

As expected, the flour extract characterized by the absence of active PHAs (*lpa lec*⁻ genotype) was not able to agglutinate blood erythrocytes at any dilution. On the contrary, wt flour extract (containing PHAs) was able to agglutinate blood erythrocytes after a serial dilution until 1/128 (dotted circle in Figure 2).

The hemagglutination test on defatted products (Figure 2) indicated the presence of residual lectin activity only in Biscuit 1 containing 20% wt bean flour (corresponding to 29.4% in defatted flour). Cracker 1 and Cracker 2, containing 26% and 38% of bean flour on the total product, respectively, were not able to agglutinate blood erythrocytes. As expected, none of the products made with *lpa lec*⁻ genotype showed residual hemagglutinating activity. Comparing these agglutination results with those obtained from corresponding amounts of unprocessed bean flours, and considering that defatted Biscuit 1 contains about 1/3 of bean flour, it was possible to quantify the residual PHA activity in processed biscuit 1, prepared with wt common bean flour, being approximately 10–12% that of the corresponding bean flour.

3.3. Evaluation of α -Amylase Inhibitor Activity

The α -Amylase inhibitor plays an important role in lowering starch digestion and its effect is reflected in decreased postprandial plasma hyperglycemia and insulin levels, increased resistance of starch to digestion, and increased activity of the colorectal tract, as well as reduction in the glycemic index [12,59]. Processed products characterized by total, or at least partial, α -AI activity retention could be introduced in diets for people who require a low blood-glucose level (including individuals with type 1 diabetes) for the

maintenance of good health status. To verify the stability and residual activity of α -AI in different processed products, α -AI activity was assessed in defatted extracts of crackers, biscuits and lyophilized cream, and compared to the α -AI activity of control raw flours.

Results of α -AI activity of tested products are reported in Table 4. An insignificant residual inhibitory activity was detected in Crackers 1 and 2 when made with wt bean flour, while a higher percentage of residual activity was reported for crackers made with *lpa lec*⁻ genotype (16.63% and 32.34% respectively for crackers 1 and 2). Biscuit 1 was characterized by limited retention of α -AI activity when made with wt bean flour (20.44%), while biscuits made with the flour from the *lpa lec*⁻ genotype showed higher inhibitory activity (51.19%). Biscuit 2 was characterized by higher residual α -AI activity compared to Biscuit 1, however it behaved in a similar way as regards the selected genotype for the bean flour; when *lpa lec*⁻ bean flour was used, the residual α -AI activity was more than double that of Biscuit 2 containing wt bean flour (84.17% for *lpa lec*⁻ and 37.33% for wt). In the case of the cream a moderate retention of α -AI activity was observed (27.28%). This product was made only with the *lpa lec*⁻ bean flour as, if using wt flour, a high lectin activity would be expected due to the mild cooking conditions (70 °C, see Section 2).

Table 4. α -amylase inhibitor activity (%) in defatted bean-based product extracts. U α -AI, Units of α -amylase inhibitor.

Sample		% Bean Flour in the Total Sample	Expected U α -AI/100 mg Flour	Measured U α -AI/100 mg Flour	% Residual α -AI Activity
Control flours	wt	100	-	1552.33	-
	<i>lpa lec</i> ⁻		-	1253.10	-
Cracker 1	wt	26	620.93	4.23	0.68
	<i>lpa lec</i> ⁻		501.24	83.86	16.63
Cracker 2	wt	38	931.40	2.20	0.24
	<i>lpa lec</i> ⁻		640.35	207.09	32.34
Biscuit 1	wt	29 ^a	494.73	101.11	20.44
	<i>lpa lec</i> ⁻		399.36	204.43	51.19
Biscuit 2	wt	14 ^a	232.38	87.77	37.77
	<i>lpa lec</i> ⁻		187.60	157.89	84.17
Cream	<i>lpa lec</i> ⁻	24 ^b	304.50	83.06	27.28

^a percentage adjusted on the weight of defatted samples; ^b percentage adjusted on the weight of the lyophilized sample.

Interestingly, in all samples extremely high residual α -AI activity was always detected when the *lpa lec*⁻ bean flour was used instead of the wt genotype and the highest activity was found in Biscuit 2, although it was not the one with the highest proportion of bean flour, suggesting that most probably, the food matrix and the cooking conditions are important for maintenance of α -AI stability and activity.

3.4. In Vitro Predicted Glycemic Index

The predicted in vitro glycemic index (pGI) was analyzed for crackers and biscuits using common white bread as reference. Results are reported in Table 5, where pGI was compared in the carbohydrate (starch and saccharose) fractions of each sample.

Table 5. In vitro predicted glycemic index (pGI) of bean-based products. The starch, saccharose and dietary fiber fractions are indicated as g/100 g. <LOQ, under limit of quantification.

Sample	% of Bean Flour in the Total Sample	pGI ^a	Starch	Saccharose	Dietary Fiber
Cracker 1	wt	76.6	46.3	<LOQ	17.9
	<i>lpa lec</i> ⁻	26	71.4	<LOQ	16.7
	Average		74.0		17.3
Cracker 2	wt	61.6	38.5	<LOQ	22.8
	<i>lpa lec</i> ⁻	38	55.4	<LOQ	21.7
	Average		58.5		22.2
Biscuit 1	wt	42.9	21.2	12.7	14.8
	<i>lpa lec</i> ⁻	29 ^b	39.8	11.3	18.4
	Average		41.3	12.0	16.6
Biscuit 2	wt	50.4	18.6	28.5	17.7
	<i>lpa lec</i> ⁻	14 ^b	45.0	32.3	18.2
	Average		47.7	30.4	17.9

^a white bread as control (pGI = 70); ^b percentage adjusted on the weight of defatted samples; LOQ = limit of quantification.

Considering the different bean-based products, Cracker 1 had the highest pGI (average 74), which correlates to its high percentage of starch (average 45.4 g/100 g), primarily deriving from wheat flour. In contrast, in Cracker 2, the higher amount of bean flour (38% in Cracker 2 vs. 26% in Cracker 1) contributed to reducing the starch fraction (37.5 vs. 45.4 g/100 g) and to increasing the dietary fiber and the crude protein contents, thus lowering the pGI value.

The biscuits had a more complex composition and a similar pGI (average 41.3 for biscuits 1 and 47.7 for biscuits 2). The starch fraction was higher in Biscuit 1 (20.2 g/100 g vs. 16.7 g/100 g), while sugars, consisting almost entirely of saccharose, were more than doubled in Biscuit 2 (30.4 g/100 g vs. 12.0 g/100 g). Saccharose was thus primarily responsible for the higher pGI of Biscuit 2. Biscuit 2 was also characterized by the presence of whole wheat and buckwheat flours that counterbalanced the effect of sugars by contributing to an increased total fiber fraction, which was quite similar to that of Biscuit 1 (17.9 g/100 g vs. 16.6 g/100 g) in which a major contribution derived from bean flour, which also contributed, together with a reduced saccharose content, to the slight reduction of pGI.

Interestingly, if data were compared considering the contribution of the bean genotype, it clearly appeared that, when products contained the *lpa lec*⁻ bean flour, a reduction of about 5 units of the pGI, corresponding to about 7% of the reference (white bread) pGI; was detected (71.4 vs. 76.6 for Cracker 1, 55.4 vs. 61.6 for Cracker 2, 39.8 vs. 42.9 for Biscuit 1, 45.0 vs. 50.4 for Biscuit 2).

3.5. Assessment of Fe Bioavailability of Bean Biofortified Biscuit 1 in Caco-2 Cells

We determined the available iron in examined food samples by analyzing ferritin formation in the intestinal cell line Caco-2. This parameter is considered a good indicator of cell Fe uptake from the food digest [52,60]. Caco-2 cell ferritin formation was shown to increase linearly in the range 50–100 µM Fe [52]. Accordingly, based on our estimated of Fe content (Table 6), 1.65 g was identified as an appropriate amount of food to digest, in order to maintain the Fe concentration within the 50–100 µM range (Fe molar mass: 55.845 g/mol). Our analysis showed very low levels of ferritin formation in untreated Caco-2 cells (Figure 3), in agreement with previous data [52]. The test was characterized by high sensitivity because it also allowed estimation of the small variations recorded among all the examined samples. On the other hand, following challenge with food sample digests, the resulting ferritin production was quite variable and required an appropriate number of biological replicates (n = 10) to detect any trend or achieve statistical significance. Interestingly, both *lpa lec*⁻ bean and Biscuit 1 flours tended to have a higher ferritin value

than their wt counterpart. In addition, ferritin levels in *lpa lec*⁻ Biscuit 1 were significantly higher compared to cells alone. (Figure 3).

Table 6. Iron content in wild type (wt) and *lpa lec*⁻ bean flours and Biscuit 1 flours.

Sample	Fe Content (mg/Kg)
wt bean flour	27.03
<i>lpa lec</i> ⁻ bean flour	29.76
wt Biscuit 1 flour	29.38
<i>lpa lec</i> ⁻ Biscuit 1 flour	30.13

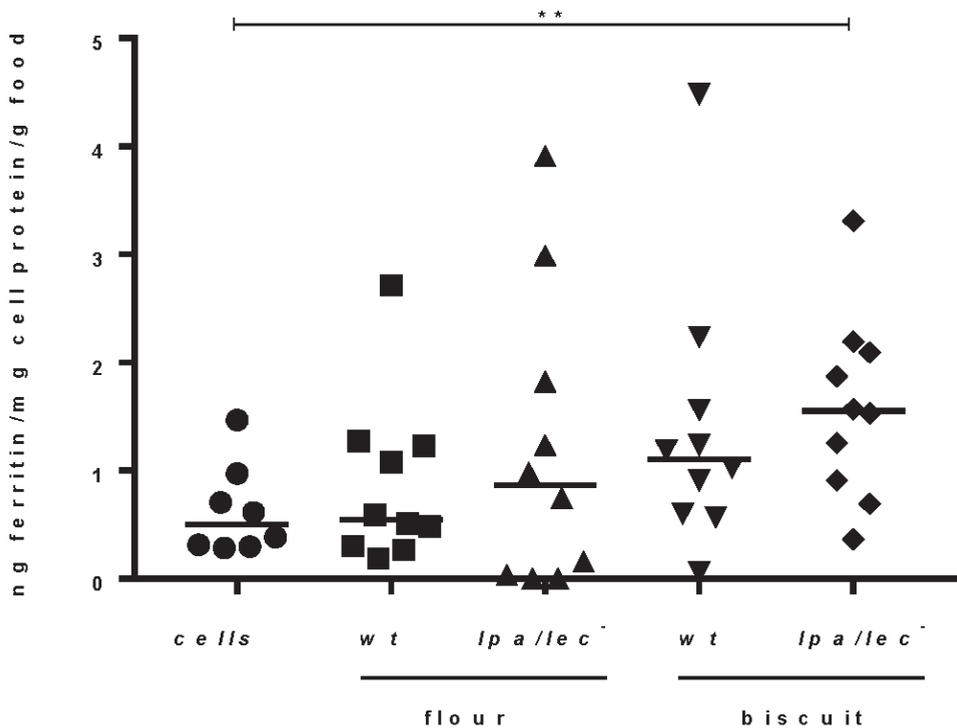


Figure 3. Ferritin production after incubation of Caco-2 cells with wild type (wt) flour, *lpa lec*⁻ flour, wt Biscuit 1 or *lpa lec*⁻ Biscuit1 samples. Samples (n = 10) were statistically evaluated by Mann-Witney test. ** *p* = 0.085.

3.6. Sensory Analyses of Bean-Based Products

Crackers 1 and 2 had very similar characteristics, differing only for crunchiness, higher in Cracker 1. On the other hand, both crackers significantly differed from the control product with lower snapping, crunchiness, friability, chewiness and wheat flavor, and higher intensities of legume, wholemeal/bran odor and flavor, cracker odor, mouth coating and umami. In addition, Cracker 2 was also different from the reference for lower cracker odor and firmness, and higher intensity of bitterness. Both Crackers 1 and 2 recorded an acceptance score (4.4 and 4.1, respectively) between “Neither Like nor Dislike” and “Dislike Slightly”, corresponding, respectively, to 79% and 73% of the score obtained by the Cracker R (5.6) (Figure 4).

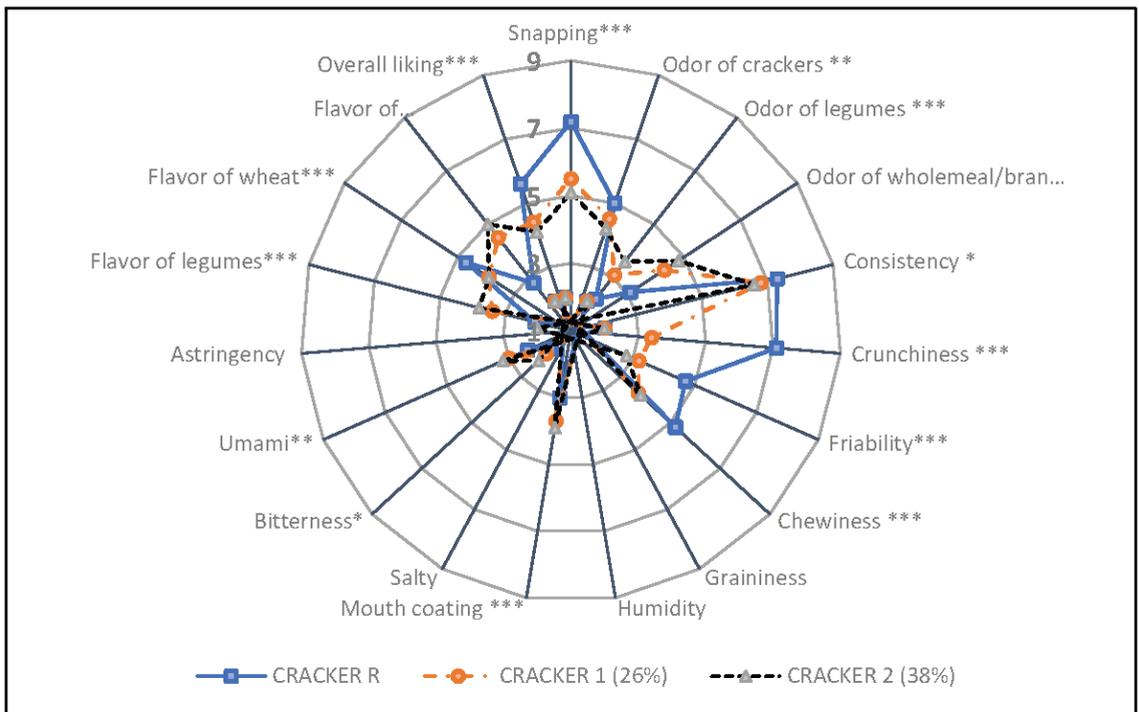


Figure 4. Sensory profiles of crackers with [Cracker 1 (26%); Cracker 2 (38%)] and without [Cracker R] bean flour. Data analyzed by ANOVA and post hoc test (Tukey's HSD); *, **, *** indicate significant differences between the tested products at $p < 0.1$, $p < 0.5$ and $p < 0.01$, respectively.

Regarding the TDS analysis for flavor, in Cracker R (0% bean flour) the flavor of wheat was predominant over the whole tasting; salty showed an important role in the first phase of tasting (Figure 5A). With the addition of 26% bean flour, the flavor of legumes and whole-wheat became dominant (Figure 5B); a further addition of bean flour increased the dominance of whole-wheat flavor, together with a clear perception of umami in the central part of the tasting course (Figure 5C).

Biscuit 1 had a more intense odor and flavor of legumes and wholemeal/bran, as compared to Biscuit 1R. Moreover, Biscuit 1 was perceived as grainier, bitter and with a higher intensity of umami. The control biscuit (Biscuit 1R) was perceived as more consistent, sweeter with a stronger shortcrust pastry flavor (Figure 6). Both biscuits recorded an overall liking over "Neither Like nor Dislike", with Biscuit 1 recording an overall liking of 5.6, corresponding to 93% of the reference biscuit score.

The TDS of Biscuit 1R (Figure 7A) was mainly characterized by butter flavor and of shortcrust pastry, being the first perceived during the first and last part of tasting, and the second having higher impact in the middle part. In Biscuit 1 (Figure 7B), the flavor of wholemeal/bran and butter alternated for dominance during the tasting. Umami and flavor of legumes increased from the beginning to the central segment of tasting where they were the most perceived.

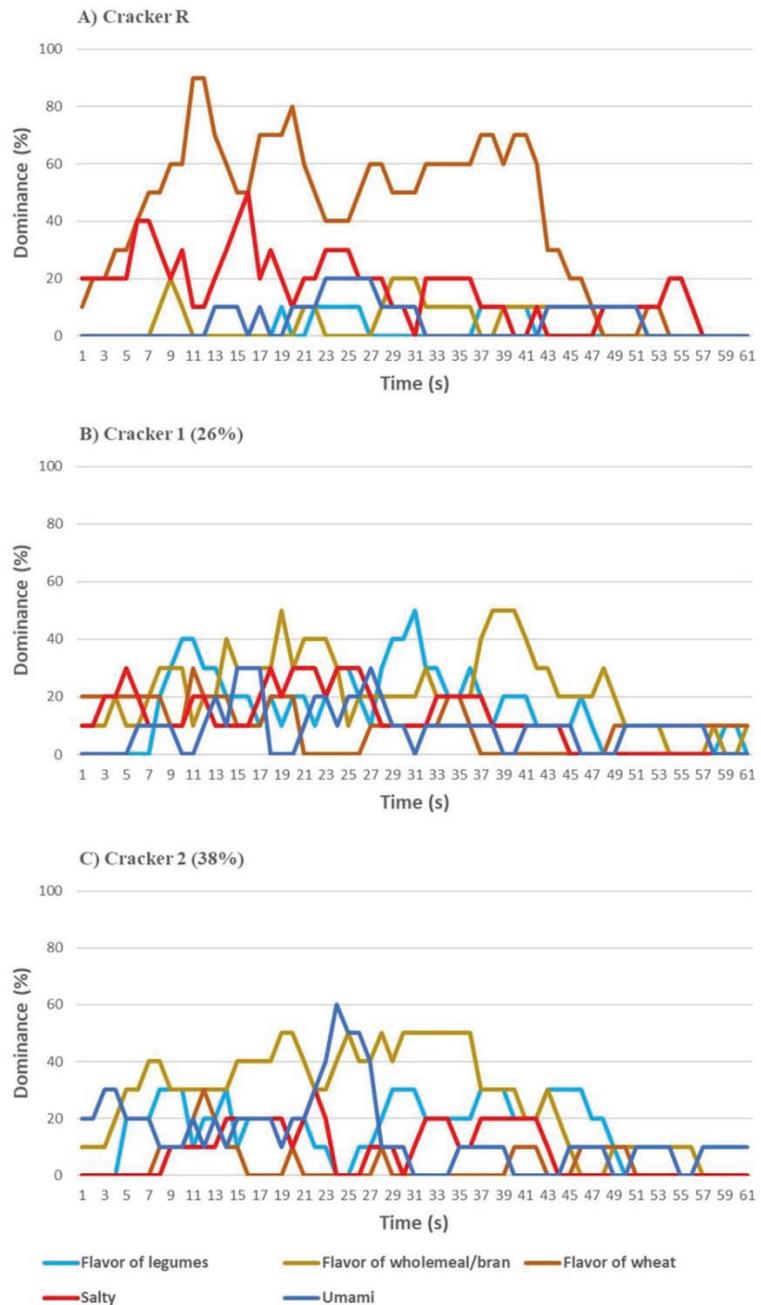


Figure 5. Temporal dominance of sensations (TDS) flavor of (A) Crackers R (reference, without common bean flour), (B) Crackers 1 (with 26% common bean flour) and (C) Crackers 2 (with 38% common bean flour).

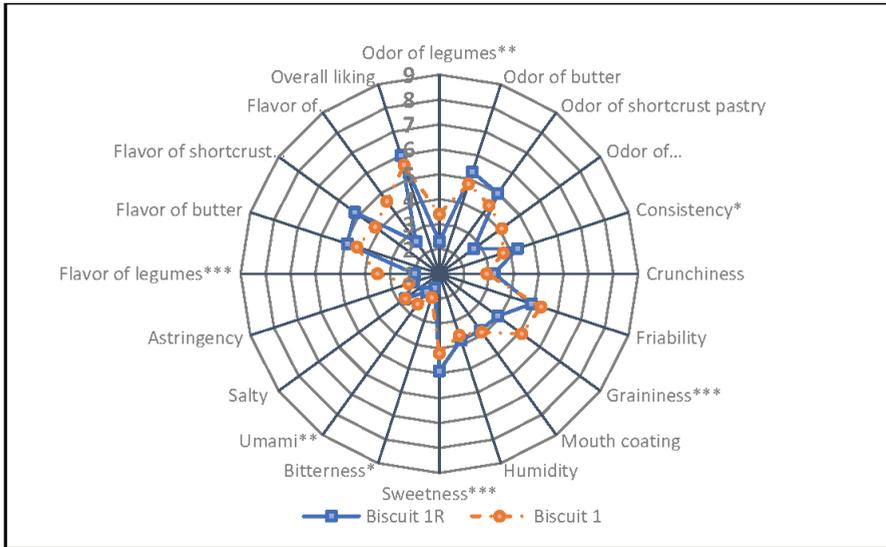


Figure 6. Sensorial profile of shortbread biscuits without (Biscuits 1R, reference) and with common bean flour (Biscuit 1). Data analyzed by ANOVA and post hoc test (Tukey’s HSD); *, **, *** indicate significant differences between the tested products at $p < 0.1$, $p < 0.5$ and $p < 0.01$, respectively.

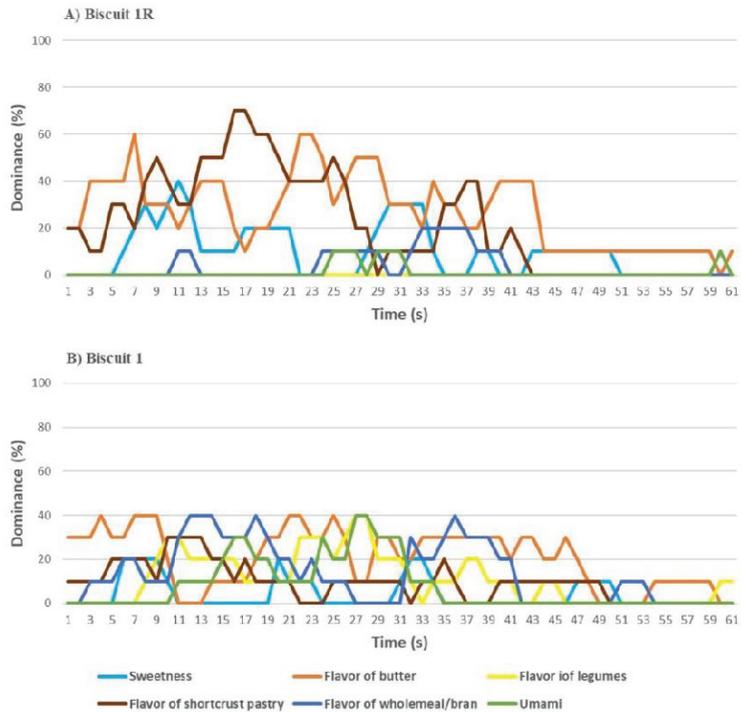


Figure 7. Temporal dominance of sensations (TDS) of (A) Biscuits 1R (reference, without common bean flour) and (B) Biscuit 1 (with 29% common bean flour).

Biscuit 2, as compared to its reference, had a higher mouth coating, while the umami taste was perceived more, as well as the flavor of legumes. The Biscuit 2R showed a higher biscuit odor, consistency and crunchiness; it was perceived to be sweeter than Biscuit 2 and with a more intense flavor of butter and biscuit (Figure 8). A significant difference was recorded for overall liking: Biscuit 2R recorded a score of 6.1, superior to the “Like Slightly” level. On the other hand, Biscuit 2 was rated between “Dislike Slightly” and “Neither Like not Dislike”, despite recording an overall liking of 4.8, corresponding to 79% of the reference biscuit score.

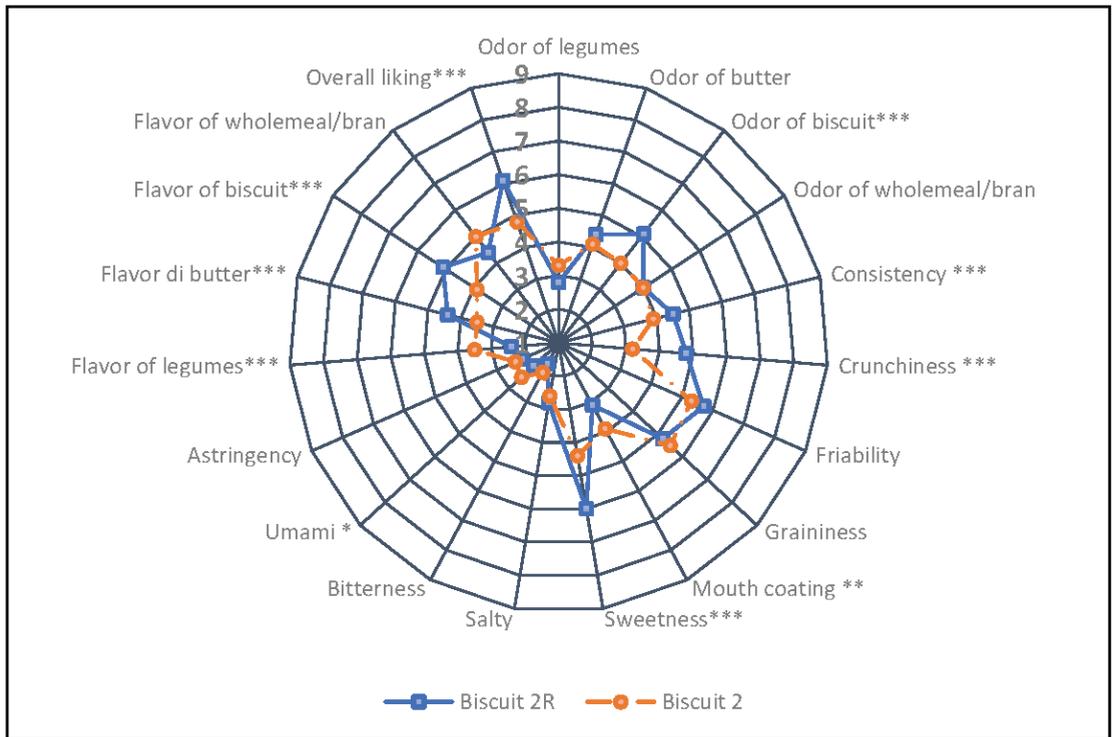


Figure 8. Sensorial profiles of type 2 Biscuits, without (2R) and with common bean flour (2). Data analyzed by ANOVA and post hoc test (Tukey’s HSD); *, **, *** indicate significant differences between the tested products at $p < 0.1$, $p < 0.5$ and $p < 0.01$, respectively.

The TDS of biscuit 2R with 0% bean flour (Figure 9A) showed a prevalence of flavor of shortcrust pastry and butter during the whole tasting. In the biscuit with 20% bean flour (Figure 9B), the flavor of wholemeal/bran and butter alternated during the tasting (the latter with a lower dominance than in the biscuit without bean flour). Umami and flavor of legumes increased from the beginning to the central segment of tasting where they were the most perceived.

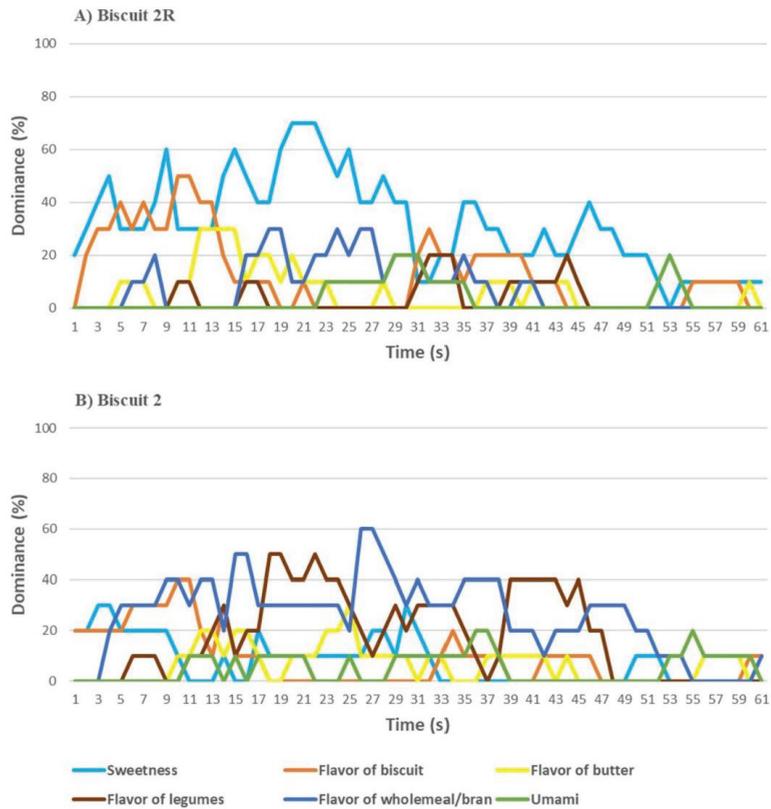


Figure 9. Temporal dominance of sensations (TDS) of (A) Biscuits 2R (reference, without common bean flour) and (B) Biscuit 2 (with 14% common bean flour).

Regarding the TDS flavor of Biscuit 2 (Figure 9A), the sweetness dominated during almost the whole tasting, with the flavor of biscuits being more perceived mainly in the initial phase. In Biscuit 2 sweetness was dominant at the very beginning of tasting; the wholemeal/bran and legumes flavor alternated in dominance during the remaining tasting time. A slight umami peak was recorded at the end (55–60 s).

Regarding the two cream preparations it was possible to observe several significant differences. In particular, the bean flour Cream had higher odor and flavor of legumes and flavor of almond. Moreover, it was rated as grainier and more sapid (i.e., high umami perception) (Figure 10). The Cream R preparations differed also, for more perceived cream odor and flavor of eggs, cream and lemon. Creaminess and sweetness were higher in Cream R as well as astringency. The overall liking of Cream R was between “Neither Like not Dislike”, and “Like Slightly”, while bean Cream was below the “Dislike Slightly” point of acceptability, recording an overall liking of 3.9, corresponding to 71% of the reference biscuit score.

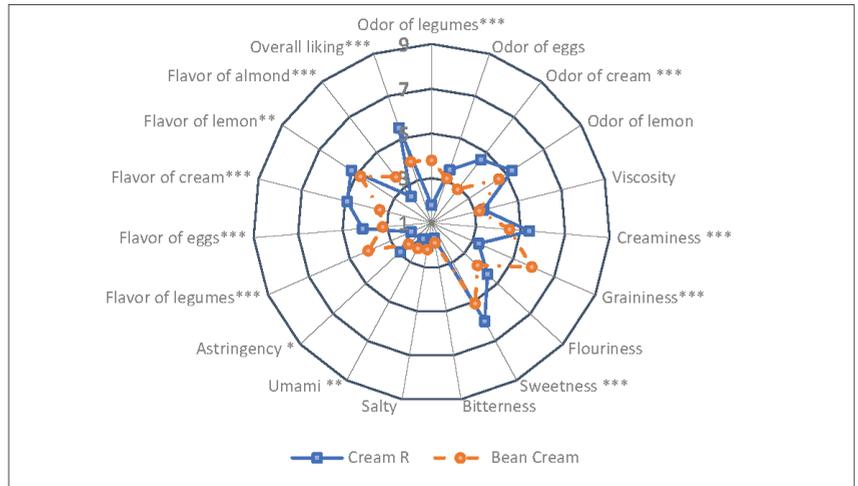


Figure 10. Sensory profile of cream without (Cream R, reference) and with common bean flour (Bean Cream). Data analyzed by ANOVA and post hoc test (Tukey’s HSD; *, **, *** indicate significant differences between the tested products at $p < 0.1$, $p < 0.5$ and $p < 0.01$, respectively).

The TDS taste of Cream R (Figure 11A) showed a dominant peak of lemon flavor at the beginning of the tasting (around 11 s), followed by the flavor of cream and eggs, after that the impact of lemon was noteworthy, since it was the sensation that dominated during the remaining tasting time. The bean cream tasting was characterized by lemon flavor and sweetness at the beginning, which were substituted by the flavor of legumes and, later, the flavor of almond (Figure 11B). The last part of tasting was characterized by the flavor of lemon and almond.

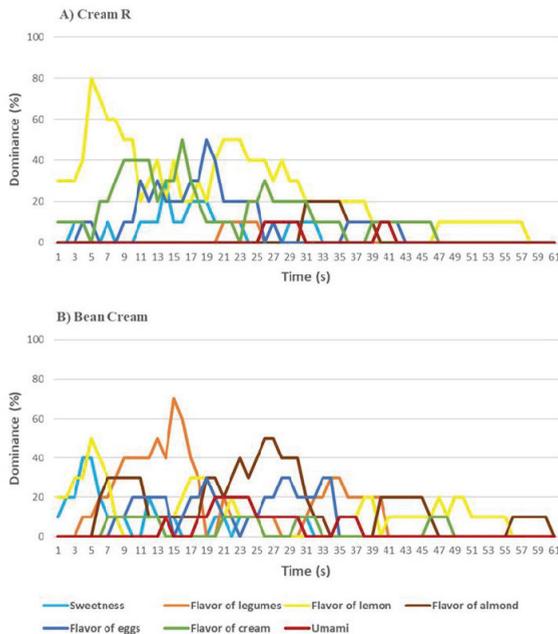


Figure 11. Temporal dominance of sensations (TDS) of (A) Cream R (reference, without common bean flour) and (B) Bean Cream (with 9% common bean flour).

4. Discussion

The nutritional quality of traditional snacks is often considered low due to their high sugar and fat content and their protein deficiency [61]. However, for many people they constitute a considerable fraction of daily calories, representing a fast and convenient food source that can be consumed without preparation [62]. In this scenario, healthier food snacks are required to respond to an increasing necessity by consumers to consume healthier snacks. Crackers and biscuits are among the most widely available baked goods used as snacks as they combine nutrition, long shelf-life and practicality. Following the footsteps of our previous study [12], with the present work, we contributed with new knowledge and genetic materials useful to the development of nutritionally improved and healthier snack foods (e.g., crackers, biscuits and a cream) based on a biofortified and low antinutrient common bean flour. Furthermore, data on the sensorial characteristics of these products provided indications to better identify target consumers as well as to develop bean-based products better adapted to consumer needs. From a nutritional standpoint, the use of composite flours containing common bean flour led, as expected and reported in similar studies [7,12,15,63,64], to products with higher protein and total dietary fiber content and lower amounts of starch and total carbohydrates. Depending on the type of pulse-based snacks (e.g., bars, biscuits, chips, bread, extruded snacks) reported protein concentration has ranged between 8.79% and 29.8%, with extruded snacks having the highest protein content, as they mostly or exclusively contain legume flour [7,64]. The direct comparison between the two types of biscuits produced in the current study with other bean-based biscuits previously described [12,15] showed that the protein content of Biscuit 1 and Biscuit 2 was higher (by on average 11%) than that (ranging between 8% and 10%) reported for biscuits containing bean flour ranging from 12% to 29%, indicating that the composition of the composite flour is also important if other flours beside common bean and wheat are used. In fact, the use of maize flour depleted the protein content of the products [12,15], while the addition of buckwheat flour, together with the presence of eggs, increased it in Biscuit 2 (12% of bean flour) compared to Biscuit 1 (20% bean flour). This was also reflected in starch content which was lower in Biscuit 1 and Biscuit 2 (19.3% and 14.8%, respectively) compared to that of biscuits containing from 12% to 29% bean flour (31.1% and 26.4%, respectively) and control biscuits (41.9%) [12].

If an increase in protein and total dietary fiber and a decrease in starch contents in the bean-based products could be expected, the major element of novelty of the present study relates to the fact that the bean-based products were obtained using flours of biofortified and low antinutrient (*lpa lec⁻*) bean genotypes. Common approaches to increase essential mineral bioavailability and to reduce antinutrients are mainly based on a combination of technological processing, such as soaking, dehulling, heating, cooking, fermentation, germination and extrusion [7]. These treatments, although necessary to reduce or eliminate the antinutrients, are costly and time consuming and may reduce the nutritional quality of the product (e.g., loss of minerals in brine). In contrast, bean genotypes carrying the *lpa* and *lec⁻* mutations do not need specific treatments, such as soaking, to reduce phytic acid or heating of the flour to inactivate the lectins, as demonstrated and discussed in this study and in a previous one [12].

Iron deficiency is a serious issue in many areas of the world. Nearly two billion people are currently iron-deficient, especially resource-poor women, infants, and children in developing countries [65]. The common bean is a strategic crop for biofortification and it has been included in breeding programs in the HarvestPlus international research program [66] supporting the research and development of biofortified crops. Although most of the research has been aimed at increasing the amount of iron in the seed, now it is quite clear that the most powerful approach should also consider the reduction of phytic acid, to ensure iron bioavailability [67]. Indeed, a number of studies have demonstrated that the *lpa* bean mutant is able to provide more bioavailable iron both by using a Caco2-cells model system [60] and by performing clinical studies on volunteer women [27,44]. However, no data are available on the evaluation of the *lpa* trait on the iron bioavailability

inside processed snacks. Here, it was shown that biscuits made with the *lpa lec⁻* bean flour allow iron to be more bioavailable.

Lectins, and in particular common bean PHA, may exert a very toxic action on the consumer if not properly heat inactivated, as shown by Petry et al. (2016) who observed unexpected adverse gastrointestinal symptoms in Rwandese women with low iron status participating in a clinical trial aimed at evaluating the biofortification potential of *lpa* beans. In a recent work, Cominelli et al. [45] demonstrated that these unwanted effects were due to the role played by the *lpa* mutation on the thermal stability of PHA-L, while no significant effects were observed on the thermal stability of PHA-E or PHA-E and PHA-L oligomers. The role of baking on PHA activity was assessed by Sparvoli et al. [12], which demonstrated that, after baking, sample extracts were still partially able to agglutinate erythrocytes, but this activity was significantly decreased with only three minutes of overbaking. Their biscuits retained about 5–10% PHA activity. Our results confirmed the previous finding, showing that no agglutination activity was detected in *lpa lec⁻* bean flour and its derivative products. At the same time, a very low residual agglutinating activity was found in bean-based baked products containing active PHA. This activity was higher in the *lpa* genotype for both types of biscuits (about 20–25%) than in the wt genotype Biscuit 1 (about 10–12%). In both Biscuits 1 and 2, the *lpa* genotype retained a more pronounced activity that correlated with higher agglutinating activity of the seed flour. Due to the more efficient heat penetration that allowed complete PHA inactivation, the crackers did not show any residual agglutination activity for all the used common bean genotypes, confirming that proper heat treatment is necessary to obtain a significant reduction or complete inactivation of the toxic protein fraction in PHA-containing genotypes.

In contrast to what was observed for PHA, when the residual α -AI was assayed, all samples containing the *lpa lec⁻* bean flour showed higher activity than that measured in samples made with wt bean flour. This finding was not completely unexpected and confirmed the findings of a previous study in which it was observed that B14 biscuits made with Lady Joy bean flour (the parent used to introgress the *lec⁻* and α -AI traits in the *lpa lec⁻* genotype) showed higher α -AI activity than that of B14 biscuits made with wt bean flours of Taylor and Billò genotypes [12]. Since the α -AI activity measured in the *lpa lec⁻* flour was lower than that of the wt, these data, together with the above observations, strongly suggest that the α -AI associated to the *lec⁻* trait should be particularly resistant to thermal denaturation and deserves further study to understand the basis for its behavior.

Legume flours are highly recommended to decrease the glycemic index as they are rich in resistant starch and have a high dietary fiber content. For instance, lupin-based biscuits snacks offered to Type 2 diabetes mellitus (T2DM) patients suggested they may improve both their glycemic control and satiety and a number of clinical trials have been conducted, determining the postprandial glycemic response and the pGI of snacks enriched with legume flours [64,68]. Theoretically, foods can be categorized into low (<55), medium (55–69), and high glycemic index (>70) [69]. Based on their pGI values, the two biscuit types (pGI 41.3 and 41.7) and Cracker 2 (pGI 58.5) can be classified as low and medium pGI snacks, respectively, while Cracker 1 (pGI 74), which contained a smaller amount of bean flour, can be classified as high glycemic index (Table 5). These pGI values are, on average, lower than those reported for other bean biscuits, which ranged between 60 and 80 [12], and are in line with those reported for legume-enriched biscuits (reviewed by Binou et al. [64], indicating that the new formulations proposed in this study have an improved nutritional impact (indeed, they also have better protein and starch content). Interestingly, all the products made with the *lpa lec⁻* bean flour showed pGI reductions of about 5 units compared to those of corresponding products made with wt flour. The presence of active α -AI, the activity of which was particularly pronounced in baked products made with *lpa lec⁻* bean flour, is most likely the reason for the observed reduced pGI values. Indeed, B14 biscuits made with bean flour devoid of α -AI showed a pGI about 4–6 units higher than that of the same product made with a wt bean flour [12]. In a very recent study, a bean-based biscuit containing 25% of common bean flour, and with a composition very similar to that of the

B24 biscuit of Sparvoli et al. [12], has been shown to be able to reduce the glycemic response and to increase satiety perception [15]. Thus, it can be argued that the low pGIs observed for the *lpa lec*⁻ bean products here described should be predictive of hypoglycemic functional properties. A first step toward the expansion of the legume-based snacks market to more specific consumers' sectors is to ensure their sensorial appreciation by target consumers. The incorporation of legumes into various products usually results in "beany" flavor/back notes, which are considered "unpleasant" by some people. Furthermore, fortification of cereal-based products with legume flours affects the texture of the end-product [64]. In this study, the Biscuit 1 acceptance score was 93% of that of the control and it also received a better score for all the textural attributes, including crunchiness, consistency and friability. The other bean-based products were less appreciated than traditional ones (reference, R), having an acceptance score ranging between "Neither Like nor Dislike" and "Dislike Slightly", corresponding to a range between 70–79% that of the control. Crackers 1 and 2 were the least appreciated due their lack of friability and crunchiness, typical of traditional crackers, but also due to the presence of excessive humidity, adhesiveness and chewiness. Even in the case of the creams, the one containing bean flour was characterized by a worse texture, consisting of a higher graininess and poor creaminess. In contrast, among the bean-based products, the biscuits seemed to have the best textural attributes and a consistency that was comparable to that of traditional ones. Moreover, judges agreed in underlining the dominant flavor and taste of legumes in bean-based crackers (especially in Cracker 2), which was intense, even if to a lesser extent than in biscuits and creams. This property may be not appreciated by typical consumers, because it was also accompanied by a pronounced astringency and bitterness. These results are consistent with those of other studies. In Sparvoli et al. [12] liking scores of bean-based biscuits decreased with increase in the bean flour content. The sensory evaluation of gluten-free biscuits, based on different formulations of cereals with the addition of chickpea or lentil flour, showed that the control biscuit had the best liking score, and the other pulse-based products ranged between "Slightly Pleasant" and "Slightly Unpleasant". Despite the liking scores, they were all considered satisfying as only one sample received the "Slightly Unpleasant" evaluation [70]. Moderate to low texture scores were recorded in a study based on the sensorial evaluation of bean-based, nutrient-enriched, puffed snacks by [71], according to which this characteristic could be attributed to the protein-starch interactions or the starch-fiber interactions that tend to limit expansion of extrudates. Regarding flavor attributes, [72,73] low flavor scores were also associated with the inherent beany flavors, not desired by consumers.

Interestingly, all the bean-based products demonstrated an intense umami taste. Umami, which is also described as the fifth taste, stimulates salivation, improves hypogeusia by enhancing the gustatory-salivary reflex, enhances appetite and satiety, ameliorates eating disorders, and increases the peristaltic reflex and pellet propulsion through the distal colon [74]. This is regarded as a positive attribute, particularly for older consumers, whose senses of taste and smell may have decreased over time; umami could enhance appetite and, as a result, health of tissues like bones and muscles, thus contributing to the maintenance of good health in the elderly [75]. Furthermore, it has been shown that umami compounds significantly attenuate the sensitivity to sucrose, due to competition for binding to the common receptor subunit T1R3 [76] and this might explain the finding that reduced sweetness was perceived for all the bean-based sweet products (Biscuits 1 and 2 and Cream).

These results, although encouraging, also underlie the need to modify the product formulations (especially that of crackers, which require significant enhancement) and to improve their technological, functional and sensorial properties. To this end, sourdough fermentation (by lactic acid bacteria) technology looks very promising as it may improve texture and flavor characteristics, as well as nutritional quality. The use of this type of fermentation on *lec*⁻ bean flour drastically reduced the phytic acid content, increased antioxidant capacity and, most interestingly, did not affect α -AI activity [77]. Therefore, it

would be interesting, in future, to apply such technology to produce bean flours to be used for bakery products. Another possibility to improve sensorial properties is to use flours obtained from cooked legumes. In fact, in a study in which sensory analysis of gluten-free rice and common bean biscuits were performed, it has been shown that the most disliked biscuits were those made with raw bean flour, while those made with cooked bean flour received a better acceptance score [78]. Furthermore, it is well known that heat treatment of legume flours may control off-flavors, and hence improve the sensory quality of baked products [79].

The acceptance of bean-based products by the consumer is important to embrace the possibility of extending their market to a more diverse and wider group of people. Beyond their interesting use in feeding of children, bean-based products can be offered to elderly people, as well as successfully employed as efficient substitutes in diets for people suffering from celiac disease, diabetes and obesity. It is quite common that products characterized by the presence of unusual ingredients, including whole wheat, buckwheat and bean flours, tend to have a lower degree of acceptability (due to e.g., high level food neophobia of some consumers) [80]. However, usually their perception from consumers changes when these products are related to a detailed description of their health effects. People are more likely to appreciate uncommon foods when they are sure to receive a positive effect on the body from their regular consumption. For this reason, it would be useful to perform a deeper analysis in which the evaluation also considers this aspect, to understand the real willingness to buy these products by target consumers, considering too that children have a different approach to products from adults. Even teenagers have a different perception of product quality from their parents [81] and this will ultimately lead to different hedonic evaluations and different optimal product formulation.

5. Conclusions

In the present study, it was demonstrated that the use of a biofortified and lectin free bean flour (*lpa lec⁻*), instead of that from a wt bean genotype, further improved the nutritional properties of different products. The *lpa lec⁻* bean flour guaranteed the absence of any possible poisoning due to lectins and provided more bioavailable iron, as demonstrated by using a Caco-2 cells model system. All the products made with the *lpa lec⁻* bean flour retained higher α -AI activities and had lower pGIs. It would be worthwhile in the future to evaluate the impact of such snacks, particularly those with the lowest pGI and the highest α -AI activity, on the glycemic response, weight gain, and appetite control using an animal model system, thus providing evidence of the health benefits of such products as has been performed for other types of snacks [82].

These products may meet the nutritional needs of children and elderly people well, as they provide more bioavailable iron, are more protein-rich, have lower pGIs, and their umami taste may enhance appetite and satiety, ameliorating eating disorders.

Although these results are encouraging, there remains a need to improve the technological, functional and sensorial properties of the products and, most importantly, to evaluate the extent of these improvements in the light of how consumer perception may change with information about the nutritional and functional advantages derived from consuming the bean-based products.

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Article

Innovative Application of Phytochemicals from Fermented Legumes and Spices/Herbs Added in Extruded Snacks

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Abstract: A trend related to adding legume seeds to various products has been observed. This work aimed to use fermented red bean/broad bean seeds and their hulls to produce extruded snacks with more beneficial nutritional properties and good sensory quality. Extruded snacks containing fermented ground seeds (50%) or hull (10%) of red bean/broad bean and corn grits with the addition of selected herbs/spices (0.5%) were prepared. The chemical composition, phenolic profile, antioxidant activity, and sensory quality were analysed. The results showed that the protein content ranged from 9 to 22.9 g 100 g⁻¹, phenolic compounds ranged from 3.97 to 12.80 mg 100 g⁻¹ (with the addition of herbs/spices, even up to 62.88 mg 100 g⁻¹), and antioxidant activities ranged from 4.32 to 10.23 Trolox g⁻¹ (ABTS assay), depending on the type of fermented materials. The addition of ground seeds/hull did not influence the consumer desirability, whereas the addition of selected herbs/spices, particularly lovage, increased it. The application of fermented red bean and broad bean seeds and their hulls, as part of the assumptions of the planetary diet, enabled enrichment of extruded corn products, which are often consumed by vegans and vegetarians, with nutritionally valuable ingredients.

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1. Introduction

Legumes are a rich source of nutrients such as protein, low glycemic carbohydrates, and minerals [1–3]. Their recommended intake, which should be approximately 50 g/person/day (0–100 g/person/day), is not achieved in most countries. It is estimated to be approximately 21 g/day worldwide [4], approximately 4 g/person/day in Australia [3], while data on legume consumption in Poland indicate that it is 0.9 kg/year/person, which amounts to approximately 2.5 g/person/day [5].

Meanwhile, in the face of growing problems of excessive burdening of the environment by agricultural production, especially animal production, and the problem of malnutrition, which has not been solved for years, both in quantitative and qualitative terms, an increasing emphasis is put on the consumption of legumes. According to the assumptions of the so-called planetary health diet that is supposed to improve health, including reduced risk of coronary artery disease [6] and weight control [7], environmental benefits and also food security, the Food and Agriculture Organization of the United Nations (FAO), and other authors postulate that by 2050, global consumption of fruits, vegetables, nuts, and legumes will have to double, while the consumption of red meat and sugar must be reduced by over 50% [1,4,8,9]. In addition to the recommendations to increase the consumption of legumes,

there is also a trend of adding them to various products. For example, the work on the nutritional and technological assessment of durum wheat flours enriched with faba bean flours and its use in bread production have been under-taken in Morocco. Such bread with a 40% substitution level showed good sensory quality, with a significantly higher amount of ash, proteins, mineral substances, total phenolic compounds and flavonoids, condensed tannins, and antiradical activity values [10]. Bouhlal et al. [11] showed a significant increase of nutritional quality parameters such as ash, proteins, fat, and energy, as well as of the total polyphenols, flavonoid content, and antiradical activity in four wheat–faba bean-enriched flours. In contrast, Ni et al. [12] showed that the bean hull, a valuable source of dietary fibre in bread, could be used to replace up to 21% of wheat flour without significantly impacting bread texture and volume. Moreover, Fendri et al. [13] revealed that fibre obtained from broad bean pods can be used to improve dough growth and to enhance the textural profile of bread.

However, legume seeds have some anti-nutrients, including trypsin and chymotrypsin inhibitors, which may negatively affect consumers, especially in view of the postulated increasing consumption of these products. Therefore, it seems crucial to subject legume seeds (as a whole or their components) to various technological processes, including fermentation, to reduce oligosaccharide content, but also to generate the formation of functional components such as vitamins (especially B2), antioxidants [14]. Back-slopping fermentation was applied, i.e., to mung bean (*Vigna radiata* (L.) Wilczek) flour, which resulted in better improvement of nutritional values than spontaneous methods; it was stated that this method could be encouraged at community levels [15]. A study has also been undertaken on the replacement of soy flour by fermented and unfermented faba bean flour in the production of gluten-free bread. It was shown that flour fermentation resulted in significant increases in Essential Amino Acid and Biological Value indexes compared to bread made from unfermented flour and soy flour without changing sensory properties [16].

Recent studies also indicate that legume flour can be incorporated into extruded snacks instead of cereals, significantly improving their functional properties as well as their nutritional value [17–19]. The cereal raw material most commonly used to make snacks is corn groat. It provides a good base for the development of corn-based food ingredients for the preparation of nutritious food products enriched with health-promoting ingredients [20]. Previous studies showed the possibility of enhancing their nutritional value by adding legumes [21]. It is also important to increase the functional properties of such products by adding the hull of these seeds. In legume seed production, it is still largely a by-product [22,23]. Therefore, using it as an additive for extruded snacks would constitute a use of waste and, at the same time, an increase in the nutritional value of the product [24]. This is especially true for fermented foods. Red beans and broad beans are often recommended in vegetarian diets [25,26]. Consumption of red kidney beans and broad beans has been linked disease reduction such diabetes, cancer, obesity, and coronary heart diseases. These products are characterised by interesting nutritional properties: high levels of complex proteins, carbohydrates, and dietary fibre, coupled with a low content of saturated lipids and the presence of bioactive compounds, such as polyphenols [26–28]. The addition of them to extruded snacks together with popular spices and herbs such as thyme, marjoram, oregano, basil, and lovage can diversify taste of final products and increase the content of phenolic compounds in them. The potential health benefits of their consumption are related to antioxidants activity, strengthening the immune system, antimicrobial activity, and anticancer effects [29–31]. However, their sensory properties still need to be refined to meet the expectations of increasingly demanding consumers [18].

Therefore, the aim of this study was to use fermented red bean and broad bean seeds as well as their hulls to produce extruded snacks in order to obtain their better nutritional values and desired sensory properties. In order to obtain this, in addition to fermented seeds or hulls, we also added selected spices (thyme, oregano, basil, and lovage) to corn

crisps, which not only enriched the product with bioactive elements but also improved their taste and aroma.

2. Materials and Methods

2.1. Material

Broad bean (*Vicia faba* L., cultivar Bachus) or red bean (*Phaseolus vulgaris* L., cultivar Red Kidney) constituted the sources of the legume seeds. Raw materials (red beans, broad beans) were obtained from Polish crops and purchased at the Seed Headquarters in Nochowo, Poland. Dried leaves of thyme (*Thymus vulgaris* L., cultivar Słoneczko), oregano (*Origanum vulgare* L.), basil (*Ocimum basilicum* L., cultivar Kasia), and lovage (*Levisticum officinale*, cultivar Amor) were purchased from a local herb shop.

2.2. Methods

2.2.1. The Course of the Fermentation Process

Legume seeds were subjected to the process of bacterial fermentation (*Lactobacillus plantarum* ATCC 8014). The raw material, after grinding and moistening to 55% humidity, was fermented at 37 °C for 18 h [32]. The obtained product was then dried in an air dryer (Memmert, Büchenbach, Germany) at 50 °C until the final moisture content was 15–17%. The semi-finished product prepared in this way was a component for the construction of solid products—extruded (crisps).

2.2.2. Preparation of Extruded Products

On the basis of preliminary trial sensory of texture (Ranking Test among 40 consumers to evaluate the amount of red and broad bean's added—10, 20, 30, 40, 50, and 60%), we adopted the following variants for further research:

- corn grits (49.5%); 50% ground broad bean or ground red bean seeds after fermentation and 0.5% of herbs/species;
- corn grits (89.5%); 10% ground broad bean hull or ground red bean hull after fermentation and 0.5% herbs/species.

The shredded fermented raw material was mixed with spices or herbs (0.5%) and corn grits and then subjected to the extrusion process. The process of lactic fermentation was carried out at 37 °C for 18 h by *Lactobacillus plantarum* ATCC 8014. The obtained intermediate was dried to a final moisture content of 15–17% dm. The semi-finished product prepared in this way was a component for the construction of solid products—snacks. The extrusion was carried out in a single-screw extruder (type S-45-Metalchem, Gliwice, Poland). The process parameters were established in earlier studies by Gumienna et al. [33].

2.2.3. Chemical Composition and Protein Digestibility

Chemical composition of the extruded samples was analysed, which included: dry mater content [34], ash content [35], and total protein content [36], as well as the reducing sugars with DNS (3,5-dinitrosalicylic acid), according to the Miller method [37], and soluble protein content by the Lowry method [38].

Protein digestibility was determined using in vitro pepsin-pancreatin method according to Saunders et al. [39]. Briefly, water and pepsin dissolved in 0.1 N HCl were added to the sample, and it was placed in a thermostat at 37 °C for 3 h. After that, it was neutralised, pancreatin was dissolved in phosphate buffer at pH = 8 containing 0.005 M, sodium azide was added, and the mixture was again thermostated at 37 °C for 24 h. After complete hydrolysis, 45% TCA was added to precipitate proteins, and the mixture was centrifuged at 5500 × g. The protein content in the decanted liquid was determined [36] and expressed as percent of total protein content (% of protein digestibility).

2.2.4. Preparation of Samples for the Analysis of Biologically Active Compounds and Their Determination

The sample was ground in a laboratory mill (Witko, Łódź, Poland). A total of 0.5 g of the homogeneous sample was weighed into a centrifuge tube and 10 mL of a 70:30 acetone/water extraction mixture [32]. The extraction mixture used in the research was applied on the basis of the research by Remiszewski et al. [40] and Gumienna et al. [41]. It was shaken for 60 min on a rocker shaker and centrifuged at $4125 \times g$ for 7 min. The supernatant fluid (extract) was decanted and used to perform the following analyses.

Tannin content: Determination of the amount of tannin was carried out using the reaction with a vanillin reagent [40]. The analysis was performed spectrophotometrically at a wavelength of $\lambda = 500$ nm. The content of tannins in the product was expressed as catechin equivalent ($\text{mg g}^{-1} \text{ dm}$) (Sigma-Aldrich, Munich, Germany).

Compounds that reduce the Folin–Ciocoltau reagent: The determination was carried out according to the spectrophotometric method with Folin–Ciocoltau reagent described by Singelton and Rossi [42] and modified by Remiszewski et al. [40]. The result was expressed as mg gallic acid equivalent ($\text{GAE g}^{-1} \text{ dm}$) (Sigma-Aldrich, Munich, Germany).

Antiradical activity (ABTS assay): The antiradical activity was determined against the ABTS reagent (2,2'-azinobis-(3-ethylbenzothiazoline-6-sulphonic acid) (Sigma-Aldrich, Munich, Germany), according to the method described by Re et al. [43] as modified by Remiszewski et al. [40]. The analysis was performed spectrophotometrically at the wavelength $\lambda = 735$ nm by using a spectrophotometer (Biogenet, Józefów, Poland). Results of the ABTS assay were expressed as the capability of antioxidants to scavenge ABTS radicals relative to that of Trolox (a water-soluble vitamin E analogue) and reported as mg Trolox $\text{g}^{-1} \text{ dm}$ (Sigma-Aldrich, Munich, Germany).

2.2.5. Quantitative and Qualitative Determination of Phenolic Compounds by HPLC

Quantitative and qualitative determination of phenolic compounds was analysed by HPLC method, as described by Hertog et al. [44] and modified by Remiszewski et al. [40]. For the determination of phenolic compounds, the extract obtained earlier was subjected to acid hydrolysis in the presence of 6 N HCl (Sigma-Aldrich, Munich, Germany); then, the mixture was incubated at 90 °C for 2 h. The samples prepared in this way were filtered through filters with a diameter of 0.45 μm (Millipore) and subjected to chromatographic analysis. Separation of the compound mixture was carried out on an X-Terra C18 RP column (150 \times 3.9 mm i.d. 5 μm) from Waters at 30 °C. The injection volume was 10 μL , and the flow rate of the mobile phase was 1 mL/min. The eluted fractions were monitored by UV–VIS detector (Waters Alliance, Taunton, MA, USA). The measurement time was 50 min. Formic acid (>98%), methanol (99%), and water were used as mobile phase (Sigma-Aldrich, Munich, Germany). A linear concentration gradient from 5% to 60% methanol was applied with a constant concentration of 5% formic acid. The recording of the chromatographic spectra was carried out in the wavelength range from 240–520 nm. Compounds were identified on the basis of spectra and retention times comparable to the standards (Sigma-Aldrich, Munich, Germany).

2.2.6. Sensory Analysis

Sensory Analysis was conducted in an appropriately designed and equipped laboratory of sensory analysis [45] at the Department of Gastronomy Science and Functional Foods, Poznan University of Life Sciences, Poland. The samples were coded with three-digit numbers, and the serving order of samples were random (program ANALSENS—v.5.0; Sopot, Poland, was used for coding and arrangement of serving order).

The 30 g samples were served in plastic containers (150 mL), which were covered with lids. Unsweetened black tea (temperature of ≈ 45 °C) was used as a taste neutraliser between the samples.

The sensory profiling of taste was conducted by a 10-member trained panel [46,47]. A total of 8 descriptors were adapted for taste (essential oil, herbal, sour, salty, starch,

broth, bitter, and strange) and 8 descriptors for aroma (essential oil, herbal, sour, starch, broth, bitter, lemon, and strange). The intensity of each score was determined using a 10 cm linear scale with appropriate margin descriptions. For attributes, uniform margin denotations were applied: “undetectable to very intensive”. All samples were assessed in two independent replications.

Consumer traits were conducted on a group of people 380 aged 21–56 (who consume corn snacks and legumes at least twice a month). The study was carried out in accordance with The Code of Ethics of the World Medical Association (consent no. 757/13). Women constituted 62% of the population analysed. All subjects gave written informed consent to participate. In consumer examinations, a 10 cm hedonic graphic scale was applied, with the following margin denotations: undesirable to highly desirable. Consumers evaluated desirability of colour, taste, aroma, texture, and overall desirability. All consumers rated all samples in one session (order of administration: 10 samples, 0.5 h interval, 10 samples, 0.5 h interval, and 12 samples).

2.3. Statistical Analysis

The results were analysed statistically with the STATISTICATM PL 13.3 software (StatSoft, Tulsa, OK, USA). The data were analysed for statistically significant differences with Tukey’s multiple range test ($p = 0.05$).

The active compounds content of the tested samples were analysed in 6 samples (2 independent samples and 3 measurements for each sample). For the overall evaluation of differences and similarities in sensory profiles, consumer analysis and content of active compounds of the tested samples the analysis of main components (PCA—principal component analysis) was used. Hypotheses were tested at $\alpha = 0.01$.

3. Results

3.1. Chemical Composition and Protein Digestibility of Extruded Products with the Addition of Fermented Bean Hulls or Ground Beans and Selected Herbs/Spices

All variants of extruded products were analysed for dry matter, ash, reducing substances, soluble protein, total protein, and digestibility of protein (Table 1). It was confirmed that total protein contents were higher in products with ground seeds added than hull—for red bean in the range of 60–80% and broad bean, 90–130%. Moreover, the analysis of these components pointed to higher total protein as well as soluble protein amount in the extruded snakes with added broad bean seeds when compared to red bean seeds addition (20–34%). Taking into account the analysed spices, we found no effect on the amount of total and soluble protein. The higher amount of ash (for red bean in the range of 140–220% and broad bean to 140%), as well as reducing sugars (for red bean in the range of 117–180% and broad bean 64–130%), in products with added hulls was also confirmed. The results showed that protein digestibility of the tested extruded snakes was over 65 percent.

Table 1. Chemical composition and protein digestibility of extruded snacks with the addition of fermented red or broad bean seeds/hulls and with herbs/species (0.5%), and the controls (without herbs/spices addition).

Products	Dry Matter (mg g ⁻¹)	Ash (mg g ⁻¹)	Reducing Substance (mg g ⁻¹ dm)	Soluble Protein (mg g ⁻¹ dm)	Total Protein (mg g ⁻¹ dm)	Protein Digestibility (%)
Extruded snacks with addition of ground fermented red bean seeds (50%)						
Control	955.50 ± 2.37 ^d	24.56 ± 0.13 ^a	17.01 ± 0.29 ^c	6.03 ± 0.55 ^a	173.81 ± 4.72 ^c	66.69 ± 3.66 ^a
Lovage	947.44 ± 1.27 ^b	25.38 ± 1.00 ^b	16.32 ± 0.11 ^b	7.75 ± 0.18 ^b	174.10 ± 3.36 ^c	65.86 ± 0.58 ^a
Basil	949.50 ± 2.38 ^c	26.76 ± 1.44 ^c	15.80 ± 0.31 ^a	7.74 ± 0.51 ^b	172.85 ± 2.10 ^b	71.65 ± 0.99 ^b
Oregano	943.54 ± 1.13 ^a	26.71 ± 0.52 ^c	16.95 ± 0.11 ^c	6.97 ± 0.51 ^a	175.23 ± 4.64 ^d	69.43 ± 1.36 ^b
Thyme	948.54 ± 0.82 ^c	26.01 ± 0.62 ^b	15.95 ± 0.11 ^a	6.27 ± 0.51 ^a	170.23 ± 4.62 ^a	69.29 ± 1.86 ^b
Extruded snacks with addition of fermented red bean hull (10%)						
Control	946.54 ± 2.08 ^b	10.44 ± 0.01 ^b	5.97 ± 0.20 ^a	10.91 ± 0.21 ^a	103.14 ± 2.41 ^d	84.75 ± 2.65 ^a
Lovage	947.41 ± 1.15 ^b	8.31 ± 0.02 ^a	6.27 ± 0.09 ^v	11.042 ± 0.14 ^a	99.82 ± 0.66 ^b	84.15 ± 3.81 ^a
Basil	945.85 ± 0.51 ^b	10.61 ± 0.20 ^b	7.26 ± 0.01 ^d	12.582 ± 0.25 ^c	100.55 ± 1.51 ^c	85.85 ± 3.82 ^a
Oregano	947.45 ± 0.69 ^b	8.25 ± 0.05 ^a	6.57 ± 0.16 ^c	12.00 ± 0.15 ^b	100.23 ± 2.73 ^c	83.84 ± 1.40 ^a
Thyme	942.79 ± 0.86 ^a	8.40 ± 0.10 ^a	6.61 ± 0.19 ^c	12.20 ± 0.10 ^b	93.31 ± 3.72 ^a	91.18 ± 1.23 ^b
Extruded snacks with addition of ground fermented broad bean seeds (50%)						
Control	933.94 ± 1.12 ^b	19.29 ± 1.29 ^a	13.66 ± 0.11 ^b	21.91 ± 0.43 ^c	212.25 ± 2.20 ^b	78.45 ± 0.69 ^c
Lovage	934.95 ± 0.53 ^c	19.40 ± 1.71 ^a	12.72 ± 0.26 ^a	17.32 ± 0.39 ^a	219.05 ± 5.94 ^d	70.77 ± 1.44 ^b
Basil	928.75 ± 0.61 ^b	24.46 ± 2.06 ^b	13.15 ± 0.09 ^a	19.37 ± 1.70 ^b	217.34 ± 6.96 ^c	65.82 ± 1.98 ^a
Oregano	931.25 ± 0.51 ^b	18.15 ± 1.20 ^a	13.15 ± 0.07 ^a	21.02 ± 0.50 ^c	210.19 ± 4.96 ^a	69.02 ± 1.68 ^b
Thyme	923.40 ± 0.35 ^a	22.65 ± 1.42 ^b	15.15 ± 0.04 ^c	18.04 ± 1.40 ^{ba}	229.42 ± 15.99 ^c	67.73 ± 2.53 ^{ba}
Extruded snacks with addition of fermented broad bean hull (10%)						
Control	930.27 ± 0.52 ^a	13.69 ± 1.18 ^c	7.20 ± 0.21 ^b	10.99 ± 0.22 ^a	92.03 ± 5.58 ^a	80.52 ± 1.69 ^a
Lovage	939.63 ± 0.73 ^c	9.30 ± 1.98 ^a	6.95 ± 0.59 ^b	15.98 ± 0.69 ^c	111.96 ± 28.11 ^b	87.77 ± 1.44 ^b
Basil	940.25 ± 1.71 ^c	10.50 ± 0.56 ^b	7.98 ± 0.10 ^c	14.85 ± 0.90 ^b	103.19 ± 0.49 ^a	89.82 ± 1.98 ^{bc}
Oregano	939.71 ± 0.25 ^c	18.80 ± 0.66 ^b	6.71 ± 0.05 ^b	14.60 ± 0.38 ^b	114.12 ± 2.38 ^c	90.03 ± 2.21 ^c
Thyme	936.01 ± 0.22 ^a	9.76 ± 0.33 ^a	6.52 ± 0.04 ^a	14.49 ± 0.30 ^b	117.41 ± 6.48 ^c	92.73 ± 2.53 ^c

Different letters denote a significant difference for means ($n = 6$) for the same lines at a $\alpha \leq 0.05$.

3.2. Phenolic Acid and Flavonoid Amount in the Extruded Products with the Addition of Fermented Bean Hulls or Ground Beans and Selected Herbs/Spices

A qualitative and quantitative profile of phenolic compounds in the extruded products was shown (Table 2). A higher number of phenolic compounds was found in products with ground fermented beans (3.97 and 4.62 mg 100 g⁻¹ dm) than in products with fermented bean hulls (11.17–12.8 mg 100 g⁻¹ dm). In the extruded products with fermented bean hulls, as well as in those with ground fermented beans (controls), flavonoids such as myricetin, quercetin, luteolin, and kaempferol were identified. The products with ground fermented beans also had apigenin. Moreover, hydroxycinnamic acids were found in the extruded products with ground fermented red bean seeds and broad bean seeds, i.e., caffeic acid and ferulic acid, respectively.

The results of the study showed that the addition of herbs or spices (0.5%) significantly increased the content of phenolic compounds in the extruded products. The highest phenolic compound content was observed in all extruded products with lovage (42.03–62.88 mg 100 g⁻¹ dm) (Tables 2 and S1). In particular, the addition of lovage increased the content of the quercetin flavonoid, as well as hydroxycinnamic acids (mainly ferulic acid). The addition of oregano increased the content of phenolic compounds in the extruded products to 32.49–41.7 mg 100 g⁻¹ dm. Luteolin and caffeic acid contributed the most to the phenolic compound profile of these products. In the products with 0.5% of basil and thyme, the contents of the determined phenolic compounds were 22.03–34.13 mg 100 g⁻¹ dm (caffeic acid was dominant in the profile) and 17.21–19.5 mg 100 g⁻¹ dm (luteolin was dominant).

Table 2. Phenolic compounds of extruded snacks with the addition of fermented red or broad bean seeds/hulls and with herbs/species (0.5%), and the controls (without herbs/spices addition).

Products	Phenolic Compounds [mg 100 g ⁻¹ dm]									
	NCA	CA	p-CA	FA	MYR	QUE	LUT	KEMP	API	Total
Extruded snacks with addition of ground fermented red bean seeds (50%)										
Control	nd	nd	nd	nd	0.02 ± 0.00 ^a	2.67 ± 0.2 ^c	0.02 ± 0.00 ^a	1.93 ± 0.3 ^c	nd	4.62
Lovage	0.60 ± 0.2 ^a	1.41 ± 0.4 ^a	2.46 ± 0.5 ^b	9.21 ± 1.4 ^c	0.63 ± 0.2 ^b	34.97 ± 8.1 ^d	0.13 ± 0.01 ^a	4.9 ± 0.8 ^d	nd	54.31
Basil	0.44 ± 0.2 ^a	12.02 ± 2.6 ^c	2.74 ± 0.6 ^b	2.20 ± 0.8 ^b	1.11 ± 0.3 ^b	1.21 ± 0.1 ^a	1.0 ± 0.2 ^b	1.41 ± 0.1 ^b	0.9 ± 0.3 ^a	22.03
Oregano	0.41 ± 0.25 ^a	6.01 ± 0.6 ^b	1.91 ± 0.4 ^b	0.79 ± 0.1 ^a	nd	1.81 ± 0.3 ^b	19.33 ± 5.1 ^d	1.81 ± 0.2 ^c	1.42 ± 0.5 ^a	32.49
Thyme	nd	1.32 ± 0.3 ^a	1.12 ± 0.2 ^a	2.33 ± 0.7 ^b	nd	1.11 ± 0.1 ^a	10.23 ± 0.7 ^c	1.09 ± 0.1 ^a	1.01 ± 0.6 ^a	17.21
Extruded snacks with addition of fermented red bean hull (10%)										
Control	nd	0.86 ± 0.03	nd	nd	0.05 ± 0.00 ^a	3.98 ± 0.9 ^c	0.7 ± 0.01 ^b	4.43 ± 0.3 ^d	1.15 ± 0.07 ^b	11.17
Lovage	0.63 ± 0.2 ^a	1.31 ± 0.15 ^a	1.46 ± 0.5 ^b	9.97 ± 1.4 ^c	0.43 ± 0.1 ^c	38.77 ± 9.1 ^d	0.11 ± 0.00 ^a	7.10 ± 1.3 ^e	nd	59.78
Basil	0.46 ± 0.1 ^a	20.12 ± 5.2 ^c	1.94 ± 0.4 ^b	2.79 ± 0.5 ^b	0.67 ± 0.1 ^d	1.82 ± 0.25 ^b	0.21 ± 0.02 ^a	1.11 ± 0.21 ^a	0.7 ± 0.01 ^a	28.82
Oregano	0.43 ± 0.1 ^a	9.11 ± 2.2 ^b	1.31 ± 0.3 ^b	0.99 ± 0.01 ^a	0.31 ± 0.2 ^c	2.17 ± 0.15 ^b	22.23 ± 4.5 ^d	3.08 ± 0.48 ^c	1.44 ± 0.1 ^c	41.07
Thyme	nd	1.32 ± 0.2 ^a	0.72 ± 0.2 ^a	2.93 ± 0.5 ^b	0.14 ± 0.01 ^b	0.98 ± 0.11 ^a	12.04 ± 0.8 ^c	2.14 ± 0.1 ^b	1.02 ± 0.2 ^b	19.29
Extruded snacks with addition of ground fermented broad bean seeds (50%)										
Control	nd	0.82 ± 0.08 ^a	nd	nd	nd	2.01 ± 0.25 ^c	0.09 ± 0.00 ^a	1.05 ± 0.1 ^b	nd	3.97
Lovage	0.3 ± 0.01 ^a	2.41 ± 0.2 ^b	2.21 ± 0.5 ^b	6.31 ± 1.2 ^c	0.41 ± 0.1 ^b	25.37 ± 6.1 ^d	1.12 ± 0.2 ^b	3.9 ± 0.4 ^d	nd	42.03
Basil	0.51 ± 0.11 ^b	18.01 ± 4.2 ^d	2.19 ± 0.3 ^b	2.30 ± 0.2 ^b	0.56 ± 0.08 ^b	1.19 ± 0.1 ^b	1.01 ± 0.1 ^b	0.91 ± 0.01 ^a	nd	26.68
Oregano	0.49 ± 0.1 ^b	8.78 ± 1.2 ^c	1.01 ± 0.19 ^a	0.81 ± 0.2 ^a	0.06 ± 0.00 ^a	1.91 ± 0.2 ^c	18.08 ± 4.4 ^d	1.91 ± 0.2 ^c	0.99 ± 0.15 ^a	34.04
Thyme	nd	1.12 ± 0.2 ^a	0.72 ± 0.1 ^a	3.33 ± 1.0 ^b	nd	0.18 ± 0.00 ^a	11.09 ± 0.9 ^c	1.08 ± 0.11 ^b	0.8 ± 0.1 ^a	18.23
Extruded snacks with addition of fermented broad bean hull (10%)										
Control	nd	2.13 ± 0.3 ^b	nd	1.81 ± 0.8 ^b	0.21 ± 0.02 ^a	4.01 ± 0.79 ^c	0.8 ± 0.02 ^b	3.13 ± 0.2 ^b	0.71 ± 0.06 ^a	12.8
Lovage	0.42 ± 0.1 ^a	1.01 ± 0.2 ^a	1.66 ± 0.6 ^b	9.98 ± 1.2 ^c	0.43 ± 0.1 ^c	40.17 ± 9.6 ^d	0.09 ± 0.01 ^a	9.12 ± 1.1 ^d	nd	62.88
Basil	0.48 ± 0.1 ^a	25.67 ± 6.3 ^d	2.04 ± 0.35 ^b	3.09 ± 0.5 ^b	0.67 ± 0.2 ^c	0.92 ± 0.17 ^a	0.18 ± 0.01 ^a	1.08 ± 0.08 ^a	nd	34.13
Oregano	0.39 ± 0.1 ^a	10.13 ± 1.3 ^c	1.81 ± 0.4 ^b	1.09 ± 0.3 ^a	0.31 ± 0.02 ^b	2.67 ± 0.3 ^b	22.29 ± 4.5 ^d	3.67 ± 0.2 ^c	1.94 ± 0.9 ^b	44.3
Thyme	nd	1.62 ± 0.3 ^b	0.62 ± 0.01 ^a	2.98 ± 0.6 ^b	0.14 ± 0.01 ^a	0.88 ± 0.09 ^a	11.02 ± 0.3 ^c	1.14 ± 0.03 ^a	1.12 ± 0.4 ^b	19.5

NCA—neochlorogenic acid, CA—caffeic acid, p-CA—p-coumaric acid, FA—ferulic acid, MYR—myricetin, QUE—quercetin, UT—luteolin, KEMP—kaempferol, API—apigenin, nd—not detected. Different letters denote a significant difference for means (*n* = 6) for the same lines at $\alpha \leq 0.05$.

3.3. Tannin Content and Antioxidant Activity of the Extruded Products with Added Fermented Bean Hulls or Ground Beans and Selected Herbs/Spices

Tannins are a class of polyphenolic molecules with molecular weights between 500 and 3000 Da. They act as an antinutrient compound of plant origin because they precipitate proteins, inhibit the digestive enzyme, and decrease the bioavailability of vitamins and minerals. However, tannins have also been considered as compounds with anticarcinogenic and antimutagenic potential, as well as antimicrobial properties [48,49]. Previous studies indicated the antioxidant and antiradical activity of tannins, as well as those extracted from legume seeds [50]. Among the control products (without added herbs/spices) tested in this study (Table 3), the lowest content of tannins ($0.37 \text{ CAE mg g}^{-1} \text{ dm}$) was found in the extruded product with fermented broad bean hull (10% added), and the highest content ($1.64 \text{ CAE mg g}^{-1} \text{ dm}$) in the extruded products with fermented ground red bean seeds (50% added). There were no clear trends regarding the effect of the addition of selected herbs/spices on the tannin amount in the extruded products (Table 3). The extruded products with the addition of fermented red bean were found to have more of tannins in the control sample (1.64 and $1.29 \text{ CAE mg g}^{-1} \text{ dm}$ in the extruded snacks with addition of ground seeds and hulls, respectively) than in the products where spices and herbs were added (0.76 – $1.32 \text{ CAE mg g}^{-1} \text{ dm}$ and 1.00 – $1.15 \text{ CAE mg g}^{-1} \text{ dm}$). In the extruded snacks with broad bean added, there were more tannin in the samples with spices and herbs (1.42 – $1.61 \text{ CAE mg g}^{-1} \text{ dm}$ in the snacks with addition of ground seeds and 0.47 – $0.69 \text{ CAE mg g}^{-1} \text{ dm}$ in the snacks with hull addition) than in the control samples. The only exception were the snacks with addition of ground fermented broad bean seeds and oregano.

The Folin–Ciocalteu (FC) reaction is an antioxidant assay based on electron transfer, which measures the capacity to reduce FC reagent [51]. This study showed (Table 3) that a higher ability to reduce the Folin–Ciocalteu reagent was displayed by compounds extracted from the extruded products with the addition of fermented ground beans (3.23 – $3.35 \text{ mg GAE g}^{-1} \text{ dm}$ and 2.85 – $3.20 \text{ mg GAE g}^{-1} \text{ dm}$) than from those where fermented hulls were added (2.18 – $2.83 \text{ mg GAE g}^{-1} \text{ dm}$ and 1.16 – $1.70 \text{ mg GAE g}^{-1} \text{ dm}$). However, the addition of selected herbs/spices only slightly increased the results of FC assay.

As with the FC assay results, the ABTS test showed the effect of adding the fermented ground beans or hulls on the antiradical activity of the extruded products (Table 3). Higher antiradical activity was found for the extruded products with the addition of fermented ground beans (8.48 – $9.28 \text{ mg Trolox g}^{-1} \text{ dm}$ and 8.64 – $10.23 \text{ mg Trolox g}^{-1} \text{ dm}$) than for those with the addition of fermented hulls (6.66 – $7.86 \text{ mg Trolox g}^{-1} \text{ dm}$ and 4.32 – $5.02 \text{ mg Trolox g}^{-1} \text{ dm}$). Similarly to the results described before, adding herbs/spices slightly modified the antiradical activity of the extruded products with added fermented bean hulls/ground beans. There were no clear trends associated with the presence of individual spices in the products tested. Statistically ($p > 0.05$), among ground fermented red bean seeds and fermented red bean hull samples, a significantly higher antiradical activity was revealed for samples with basil and oregano, and basil and thyme, respectively, compared to the controls (without herbs/spices). For the extruded products with fermented broad beans (both hull and ground beans), the highest antiradical activity was demonstrated for samples with thyme (Table 3).

Table 3. Antioxidant activity and tannin content of extruded snacks with the addition of fermented red or broad beans/hull and with herbs/species (0.5%), and the controls (without herbs/spices addition).

Products	Folin–Ciocalteu Assay (FC) (mg GAE g ⁻¹ dm)	Antiradical Activity (ABTS) (mg Trolox g ⁻¹ dm)	Tannins (CAE mg g ⁻¹ dm)
Extruded snacks with addition of ground fermented red bean seeds (50%)			
Control	3.27 ± 0.04 ^a	8.75 ± 0.21 ^a	1.64 ± 0.03 ^e
Lovage	3.23 ± 0.06 ^a	8.55 ± 0.11 ^a	1.32 ± 0.03 ^d
Basil	3.33 ± 0.08 ^a	9.13 ± 0.06 ^b	1.13 ± 0.07 ^c
Oregano	3.35 ± 0.03 ^a	9.28 ± 0.05 ^c	0.94 ± 0.01 ^b
Thyme	3.32 ± 0.03 ^a	8.48 ± 0.05 ^a	0.76 ± 0.05 ^a
Extruded snacks with addition of fermented red bean hull (10%)			
Control	2.18 ± 0.02 ^a	6.66 ± 0.11 ^a	1.29 ± 0.03 ^c
Lovage	2.32 ± 0.03 ^b	6.73 ± 0.38 ^a	1.12 ± 0.05 ^b
Basil	2.83 ± 0.12 ^e	7.86 ± 0.42 ^b	1.01 ± 0.02 ^a
Oregano	2.43 ± 0.01 ^c	6.83 ± 0.24 ^a	1.00 ± 0.02 ^a
Thyme	2.55 ± 0.07 ^d	7.53 ± 0.02 ^b	1.15 ± 0.08 ^b
Extruded snacks with addition of ground fermented broad bean seeds (50%)			
Control	2.85 ± 0.02 ^a	8.64 ± 0.28 ^a	1.22 ± 0.05 ^b
Lovage	2.99 ± 0.04 ^b	9.48 ± 0.12 ^b	1.61 ± 0.12 ^c
Basil	3.01 ± 0.07 ^b	9.66 ± 0.07 ^b	1.42 ± 0.08 ^c
Oregano	3.10 ± 0.04 ^b	9.39 ± 0.14 ^b	1.00 ± 0.08 ^a
Thyme	3.20 ± 0.02 ^c	10.23 ± 0.13 ^c	1.60 ± 0.09 ^c
Extruded snacks with addition of fermented broad bean hull (10%)			
Control	1.16 ± 0.01 ^a	4.32 ± 0.07 ^a	0.37 ± 0.01 ^a
Lovage	1.33 ± 0.02 ^b	4.89 ± 0.10 ^b	0.47 ± 0.03 ^b
Basil	1.57 ± 0.06 ^c	4.74 ± 0.33 ^b	0.49 ± 0.03 ^b
Oregano	1.62 ± 0.05 ^c	4.84 ± 0.34 ^b	0.59 ± 0.02 ^c
Thyme	1.70 ± 0.01 ^d	5.02 ± 0.19 ^b	0.69 ± 0.08 ^c

CAE—catechin equivalent; GAE—gallic acid equivalent. Different letters denote a significant difference for means ($n = 6$) for the same lines at a $\alpha \leq 0.05$.

3.4. Sensory Quality of the Extruded Snacks with Fermented Broad Beans or Red Beans and Herb/Spices

The snacks tested can be targeted at many consumer groups, especially vegans. They aim to supplement a vegan diet with a product that has a higher nutritional value than traditional corn crisps. However, the success of a food product in the market is related to its sensory quality, especially consumer desirability. Therefore, this part of the presented experiment presented how the sensory characteristics of corn snacks with bean or broad bean hulls and herb/spices affect consumer desirability.

Consumer evaluation results showed that the extruded snacks with fermented broad beans or red beans and spices had various overall desirability (Table S2 and Figure 1). Different taste and aroma desirability was also confirmed. The highest positive correlation was found between changes in overall desirability and taste desirability ($r = 0.918$). Samples with low taste desirability scores obtained low total desirability scores (Table S2 and Figure 2).

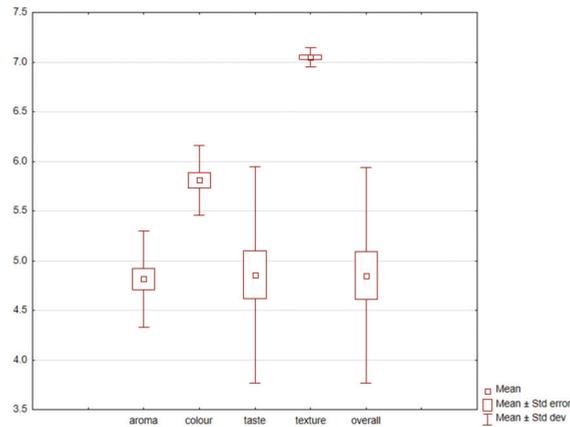


Figure 1. Box plot diagram of consumer desirability (colour, taste, aroma, texture, and overall desirability) of extruded snacks depending on the addition of fermented red or broad bean seeds/hulls and herbs/species (0.5%).

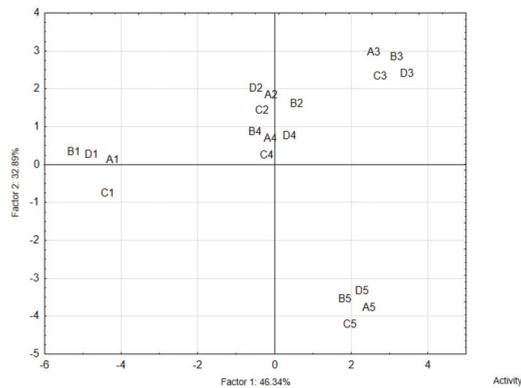


Figure 2. Principal component analysis (PCA) plots of data from overall desirability of extruded snacks with the addition of fermented red or broad bean seeds/hull and with herbs/species (0.5%), and without the addition of herbs/spices (Control); Addition of ground fermented red bean seeds (A): Control 1A, Thyme 2A, Oregano 3A, Basil 4A, Lovage 5A; Addition of fermented red bean hull (B): Control 1B, Thyme 2B, Oregano 3B, Basil 4B, Lovage 5B; Addition of ground fermented broad bean seeds (C): Control 1C, Thyme 2C, Oregano 3C, Basil 4C, Lovage 5C; Addition of fermented broad bean hull (D): Control 1D, Thyme 2D, Oregano 3D, Basil 4D; Lovage 5D.

The overall desirability analysis showed that the products were rated between 3.7 and 6.8 points on a 10-point scale (Table S2). Similar rates were confirmed for taste desirability analysis (3.75–7.98).

It should be noted that the type of additive (i.e., fermented seeds or fermented hulls) did not cause differences in taste and overall desirability (Table S2 and Figure 2, $p > 0.05$). No statistically significant differences were identified in consumers' ratings of these factors. However, a statistically significant effect of the herb/spice used on overall desirability was confirmed. The samples were placed in the PCA space (Figure 2), which demonstrated that they mainly focused on the spice variant, or its lack.

The highest taste and overall desirability were identified for the products with lovage (7.75–7.92)—independently of type product. The samples without herb/spices had lower

taste and overall desirability. The lowest results, especially taste desirability results, were identified for the samples with basil.

In the sensory profiling of the tested extruded snacks, the perception of the following descriptors was defined and determined: taste (essential oil, herbal, sour, salty, starch, broth, bitter, and strange) and aroma (essential oil, herbal, sour, starch, broth, bitter, lemon, and strange). The results of the sensory profiling of these products are presented in Table S3.

Principal component analysis (PCA, Figure 2) was used to examine the relationship between the characteristic sensory profiles of the extruded snacks with fermented broad bean or red bean and herb/spices, and those without herb/spices—the control sample (consumer analysis variables)—and to identify the derived factors by which these variables can be classified. The PCA was also used to map the variants tested in this experiment (i.e., the samples with selected spices and legume seed variants) on these factors. The PCA showed that the first two factors (F1 and F2) were the most important elements of data variability (79%). The analysis revealed a significant dominant relationship between overall desirability and the taste descriptors ($R = 0.91$). Therefore, the taste descriptors were chosen to interpret the data. It is worth noting that the F1 factor is responsible for about 70% of taste variance.

The analysis of sensory profiling did not confirm statistically significant differences between sample profilographs (types of descriptors and their intensities) depending on the type of legume variant added. On the other hand, a statistically significant effect of herb/spice on the type of descriptors and their intensity was confirmed. The samples were placed in the PCA space (Figure 3). The samples mainly focused on the spice variant or its lack.

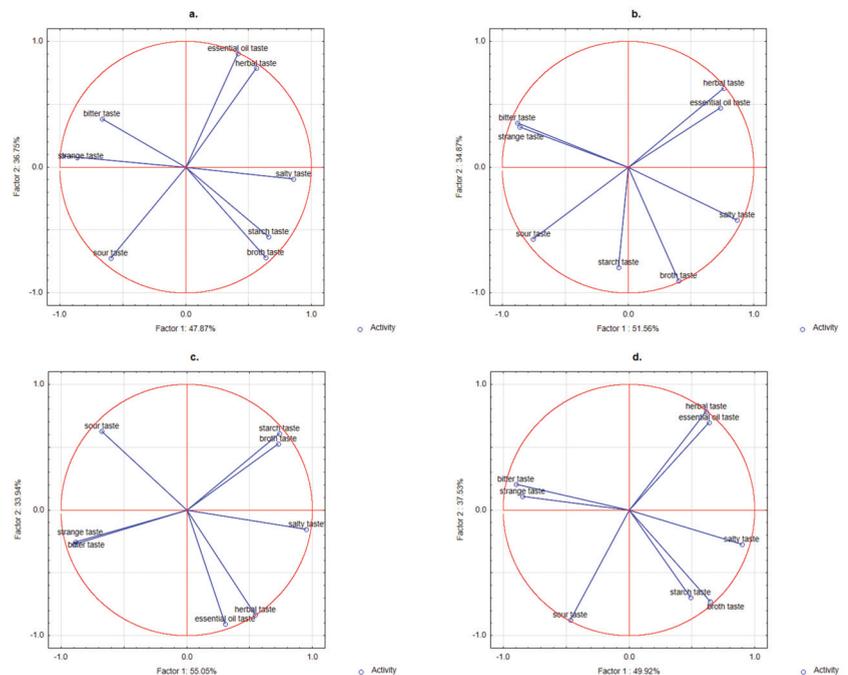


Figure 3. Principal component analysis (PCA) plots of data from sensory profiling of extruded snacks with addition of fermented red or broad beans/hull and with herbs/species (0.5%), and without the addition of herbs/spices (Control): (a) fermented ground red bean seeds 50%; (b) fermented red bean hull 10% panel; (c) fermented ground broad bean seeds 50%; (d) fermented broad bean hull 10%.

For the taste descriptors, the projection of all variants on the factor-plane F1 × F2 (Figure 3) shows that samples with lovage and oregano were situated on the right of the F1 axis (i.e., they had positive coordinate values for F1). The flavour profile of the extruded snack with lovage, which had a low intensity of bitter and strange taste and a high intensity of broth taste, differed from the others to the largest extent. The samples with oregano showed similarities in profile to both lovage and other samples. A lower intensity of herbal, bitter, and strange taste (but higher than the samples with lovage) was confirmed. The samples with other spices (thyme, basil) and without them (control sample) were situated on the left (negative coordinate values for F1). Their taste profiles were characterised by a higher intensity of bitter and strange taste than the samples with lovage. The samples without spices differed from those with thyme, basil, and oregano in a lower intensity of essential oil and herbal taste.

3.5. Effect of Active Compounds of Spices on Sensory Desirability

Taste and overall desirability were found to be positively correlated with the intensity of broth taste ($r = 0.923$), starch taste ($r = 0.714$), salty taste ($r = 0.758$), and broth taste ($r = 0.714$) in all evaluated products (Table 4). A statistically negative correlation was also confirmed between overall and taste desirability and the intensity of bitter taste ($r = -0.838$) and strange taste ($r = -0.712$). The highest intensity of these two descriptors (bitter and strange) was demonstrated for corn crisps with thyme and basil. Previous results indicated that these descriptors and their intensities may be related to the presence of phenolic compounds. Statistical analysis confirmed the relationship between the content of some analysed ingredients and taste and overall desirability. A relationship was found between the intensity of selected taste descriptors and the content of analysed phenolic compounds.

Table 4. Correlations coefficients between the intensity of descriptors and consumer analysis parameters of extruded snacks with the addition of fermented red or broad bean seeds/hull and with herbs/species (0.5%), and the controls (without herbs/spices addition).

	Aroma	Colour	Taste	Texture	Overall
Essential oil aroma	0.085	0.108	-0.227	-0.026	-0.248
Herbal aroma	0.181	0.165	-0.110	-0.066	-0.132
Starch aroma	-0.438	-0.322	-0.658	0.021	-0.671
Lemon aroma	0.399	0.197	-0.094	-0.069	-0.105
Bitter aroma	-0.412	-0.403	-0.731	0.046	-0.734
Strange aroma	-0.635	-0.500	-0.252	-0.021	-0.248
Sour aroma	-0.569	-0.260	-0.029	0.220	-0.023
Broth aroma	0.113	0.260	0.915	-0.016	0.923
Essential oil taste	0.046	0.085	-0.063	-0.064	-0.092
Herbal taste	0.203	0.233	-0.035	-0.043	-0.057
Sour taste	-0.408	-0.289	-0.012	0.069	0.001
Salty taste	-0.546	-0.145	0.769	-0.152	0.758
Broth taste	0.112	0.244	0.922	-0.017	0.932
Starch taste	0.267	0.201	0.721	-0.239	0.714
Bitter taste	0.116	-0.233	-0.838	-0.046	-0.825
Strange taste	-0.212	-0.296	-0.712	0.110	-0.707

A high correlation between the products with high overall desirability and with quercetin (QUE) ($r = -0.960$) was confirmed (Table 5). The analysis showed a high correlation between the amount of this flavonoid and the perceived intensity of broth taste ($r = 0.971$). There was also a correlation between the amount of QUE and the perceived intensity of salty taste ($r = 0.744$). The analysis showed a correlation between the amount of luteolin (LUT) and the perceived intensity of essential oil taste ($r = 0.840$) and herbal taste ($r = 0.876$). It was also demonstrated that the intensity of bitter taste was negatively correlated with the amount of QUE ($r = -0.704$). It is worth noting that the lower intensity of bitter taste in the samples with the highest amount of kaempferol (KEMP) was confirmed

analytically. The largest content of this flavonoid was found in the samples with lovage. At the same time, these samples had the highest taste desirability and the lowest intensity of bitter taste. Statistical analysis confirmed a negative correlation between the content of this compound and the intensity of bitter taste ($r = -0.636$). These trends were confirmed for strange taste descriptor analysis. It was also confirmed that the higher the content of KEMP, the higher the strange taste reduction. There was a negative correlation concerning the amount of this compound ($r = -0.616$) but also concerning FA (ferulic acid; $r = -0.689$).

Table 5. Correlations coefficients between sensory parameters and the content of phenolic compounds of extruded snacks with addition of fermented red bean or broad bean seeds/hulls and with herbs/species (0.5%), and the controls (without herbs/spices addition).

	NCA	CA	p-CA	FA	MYR	QUE	LUT	KEMP	API	Total
Aroma	0.536	0.647	0.614	0.164	0.594	-0.029	-0.069	-0.209	-0.188	0.259
Colour	0.320	0.103	0.235	0.197	0.163	0.191	0.065	0.113	-0.136	0.288
Taste	0.419	-0.430	0.287	0.856	0.140	0.960	-0.194	0.837	-0.416	0.773
Texture	0.011	-0.051	-0.189	-0.099	-0.035	0.017	0.013	0.130	0.054	-0.020
Overall	0.421	-0.411	0.291	0.863	0.155	0.970	-0.216	0.844	-0.433	0.776
Essential oil aroma	0.137	0.125	0.126	-0.353	-0.255	-0.398	0.971	-0.246	0.807	0.133
Herbal aroma	0.292	0.181	0.286	-0.223	-0.164	-0.275	0.932	-0.197	0.696	0.276
Starch aroma	-0.841	-0.321	-0.820	-0.730	-0.686	-0.723	0.320	-0.526	0.432	-0.824
Lemon aroma	0.500	0.424	0.561	0.006	0.219	-0.150	0.688	-0.092	0.612	0.435
Bitter aroma	-0.762	-0.174	-0.720	-0.752	-0.429	-0.725	0.074	-0.496	0.389	-0.870
Strange aroma	-0.567	-0.338	-0.655	-0.307	-0.315	-0.168	-0.277	0.087	0.101	-0.495
Sour aroma	-0.514	-0.445	-0.691	-0.253	-0.357	0.007	-0.475	0.118	-0.311	-0.497
Broth aroma	0.506	-0.223	0.407	0.945	0.304	0.956	-0.293	0.846	-0.477	0.835
Essential oil taste	0.335	0.171	0.241	-0.255	-0.219	-0.227	0.840	-0.156	0.620	0.261
Herbal taste	0.326	0.169	0.278	-0.153	-0.211	-0.195	0.876	-0.150	0.570	0.322
Sour taste	-0.351	-0.221	-0.431	-0.097	-0.074	0.062	-0.663	0.002	-0.520	-0.419
Salty taste	-0.089	-0.718	-0.126	0.630	-0.204	0.744	-0.203	0.719	-0.230	0.385
Broth taste	0.541	-0.176	0.454	0.940	0.401	0.971	-0.443	0.786	-0.586	0.789
Starch taste	0.744	0.118	0.764	0.703	0.439	0.675	0.094	0.507	-0.130	0.890
Bitter taste	-0.425	0.379	-0.219	-0.550	0.091	-0.704	-0.228	-0.636	0.144	-0.714
Strange taste	-0.479	0.132	-0.543	-0.689	-0.199	-0.616	-0.199	-0.404	0.141	-0.745

NCA—neochlorogenic acid, CA—caffeic acid, p-CA—*p*-coumaric acid, FA—ferulic acid, MYR—myricetin, QUE—quercetin, LUT—luteolin, KEMP—kaempferol, API—apigenin.

4. Discussion

The results confirmed the possibility of using fermented seeds of broad beans or red beans in the production of extruded snacks. They contained flavonoids such as myricetin, quercetin, luteolin, and kaempferol. Products with added fermented hulls also had apigenin. Extruded snacks with fermented hulls had a lower number of phenolic compounds due to their lower content than the content of seeds (10% vs. 50%).

A previous study [52] revealed that raw and cooked beans contain predominant quantities of flavonoids in their phenolic profiles, including quercetin, myricetin, cynidine, procyanidin, naringenin, catechin, hesperidin, and kaempferol, which is consistent with the results of this study. They found out that flavonoids were both in free and bound forms (as glucoside derivatives, mostly apigenin 7-O-glucoside, quercetin 3-O-glucoside, myricetin 3-O-glucoside, naringenin 7-O-glucoside, quercetin 4-O-galactoside, and kaempferol 3-O-glucoside). Variations in the flavonoids and their glucosides were observed depending on bean varieties. Kaempferol and its 3-O-glucosides were primarily found in pinto beans and diglucosides of kaempferol and quercetin in dark red kidney beans. 3-O-glucosides of malvidin, petunidin, and delphinidin were present in black beans; however, a small quantity of quercetin 3-O-glucoside and its malonates were found in light red kidney beans, whereas kaempferol monoglucoside, kaempferol 3-O-glucoside, and kaempferol 3-O-xylosyl glucoside were found in Italian beans. On the other hand, Brazilian beans contained non-glycosylated forms of isoflavonoids, including daidzein (0.82–12.91 mg 100 g⁻¹)

and genistein (0.26–0.97 mg 100 g⁻¹). Black beans showed the highest concentrations of isoflavonoids among them, with daidzein being the main compound. The study [52] also demonstrated that raw and cooked beans contain phenolic acids, both benzoic acid derivatives (vanillic, *p*-hydroxybenzoic, and gallic acids) and those derived from cinnamic acid (ferulic, *p*-coumaric, and chlorogenic acids). Ferulic acid was the predominant phenolic acid in dry common beans. Studies also revealed demonstrated that cooking common beans at a high temperature does not change the content of phenolic acids. Raw common beans contained *p*-hydroxybenzoic acid (0.45–0.86 mg 100 g⁻¹), vanillic acid (0.52–1.66 mg 100 g⁻¹), coumaric acid (0.32–0.68 mg 100 g⁻¹), and ferulic acid (0.17–0.36 mg 100 g⁻¹).

The results of this study showed that adding 0.5% of selected herbs/spices significantly increased the content of flavonoids and phenolic acids in the extruded products. This is particularly true for lovage and oregano samples. The increase in the content of individual phenolic compounds in products with individual herbs/spices resulted from their participation in the phenolic profile of these additives. Slimestad et al. [53] reported that luteolin glucuronide together with rosmarinic acid were the main constituents of thyme. The amount of luteolin in thyme was determined to be 660 mg 100 g⁻¹ on average, with the amount of luteolin in fresh herbs being higher (1.489 mg 100 g⁻¹). Nieto [54] showed that methanolic extracts of thyme were the sources of flavonols, such as quercetin-7-O-glucoside, and phenolic acids (*p*-coumaric, caffeic, rosmarinic, cinnamic, carnosic, ferulic, quinic, and caeoylquinic acids), as well as flavanones (naringenin) and flavones (apigenin). Using other solvents such as butanol, ethyl acetate, and hexane, they extracted various compounds from thyme, including saponins, steroids, flavonoids, alkaloids, and tannins. In basil, the total amount of phenolic acid was determined to be 52.61 mg of gallic acid per gram of dry extract [55]. Phenolic acids detected in the basil extract were chlorogenic, *p*-hydroxybenzoic, caffeic, vanillic, rosmarinic, and cinnamic acids. The most dominant acids were rosmarinic (0.18 mg g⁻¹ of dry extract) and cinnamic (0.18 mg g⁻¹ of dry extract). The total flavonoid amount reported by Teofilovic et al. [55] was 0.52 mg of quercetin per gram of dry extract, and among flavonoid components, quercetin (4.77 mg g⁻¹ of dry extract) and naringenin (0.18 mg g⁻¹ of dry extract). Rosmarinic acid, apigenin, luteolin, quercetin, scutellarein, and their derivatives were identified as the major phenolic compounds in oregano; however, the content and distribution of the phenolic compound in oregano varied depending on cultivar, geographical factors, and environmental factors [56]. Nour et al. [57] analysed the phenolic profile and antiradical activity of culinary herbs (i.e., parsley, dill, lovage, and celery leaves). They found that lovage had the highest total amount of phenolic compound (577.04 mg GAE 100 g⁻¹ dm) and flavonoids (298.38 mg QE 100 g⁻¹), and the highest antioxidant activity (1462.52 mg Trolox 100 g⁻¹) among the selected herbs, demonstrating its outstanding value in terms of antioxidant activity and content of bioactive compounds. In the phenolic profile of lovage, the main compounds were quercetin, myricetin, rutin, ellagic acid, and sinapic acid. In line with the results presented in this study, Kozłowska et al. [58] also identified neochlorogenic acid in the phenolic profile of a lovage extract.

This study showed that a higher ability to reduce the Folin–Ciocolteu reagent was demonstrated by compounds extracted from the extruded products with the addition of fermented ground beans than from those where fermented hulls were added (Table 3). The FC assay has been widely applied in the determination of the total phenol/polyphenol content of plant-derived food and biological samples; however, the method is not specific only for phenolic compounds because it is based on the redox reaction [51,59], and the results can be affected by various interfering substances present in crude plant extracts (e.g., sugars, proteins, and other non-phenolic compounds). This non-specificity of the assay may explain the higher values determined in this study for products with the addition of ground fermented beans compared to those where fermented bean hulls were added, independently of herb/spice additions. Clarification of this problem requires further research.

The analysis of the sensory analysis results confirmed a high texture and colour desirability of all samples, regardless of the additive used. Previous data in some cases

indicated potential texture deterioration in such products when legumes are added [18]. Similarly, previously concerns about the addition of spices (especially thyme and basil) and colour deterioration in consumer assessment are not confirmed in this study [17]. In contrast, a highly significant impact of taste on overall desirability was confirmed. In this study, lower taste desirability was found, and thus overall desirability among the samples with a high intensity of bitter taste. This is supported by previous studies indicating low acceptance of this descriptor among consumers. Previous studies also revealed that bitter taste can reduce consumer acceptance of food products [60–63]. The highest statistically significant taste and overall desirability were found among the samples with lovage regardless of the addition of legume seeds.

The profile analysis showed the highest intensity of essential oil taste and herbal taste in the samples containing thyme, basil, and oregano. A negative correlation between taste desirability and these descriptors was confirmed. These trends confirm previous data showing restrictions on the use of spices in food production due to the presence of these descriptors [64].

The taste profile analysis also indicated the highest perceived intensity of bitter taste in the samples with thyme and basil, which confirms previous studies on the addition of these spices to meat products [65,66]. Previous literature [65] indicates a correlation between the presence of bitter taste in the product with the presence of kaempferol and quercetin [60,67]. The results presented in this paper did not confirm this trend. The intensity of this taste was found to be the lowest in the samples with the highest concentration of kaempferol and quercetin. This was true for all samples with lovage. Consumer analysis confirmed that taste and overall desirability increased when the intensity of broth taste went up. This may indicate that the broth-like smell and lovage taste, which are due to the presence of terpene-like compounds, mask the bitter taste. A relatively high content of these compounds in lovage noticeably reduced the intensity of the bitter taste. However, this initial hypothesis should be confirmed/verified in subsequent studies.

5. Conclusions

This study indicates the possibility of using fermented red bean and broad bean seeds and by-products in the form of their hulls for the production of extruded snacks. Their addition increases the common nutritional value of these snacks, measured by the content of mainly protein and phenolic compounds with proven health-promoting effects in numerous studies. Adding selected herbs, especially lovage, positively affects the sensory profile and consumer desirability of these products. The use of fermented seeds of red beans and broad beans, as well as their hulls, is not only in line with the principles of the planetary health diet but also serves as a nutritionally valuable enrichment of corn products often consumed by vegans and vegetarians.

In future studies, it is worthwhile to further develop this topic by studying for example interactions between corn product components as well as the antioxidant properties of the new products tested to determine their usefulness in the diet of people of today more precisely.

Supplementary Materials: The following are available online at <https://www.mdpi.com/article/10.3390/nu13124538/s1>, Table S1: Phenolic compounds of herb/spices added to extruded snacks. Table S2: Mean scores of consumer desirability of corn snacks with fermented broad bean or bean and herb/spices, and the control sample (without spices addition). Table S3: Mean scores ($n = 17$) of sensory taste and aroma profiling of corn snacks with fermented broad bean or bean and herb/spices, and the control sample (without herb/spices addition).

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Informed Consent Statement: Informed consent was obtained from all subjects involved in the study.

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Review

Lupins and Health Outcomes: A Systematic Literature Review

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Abstract: Lupins have a unique nutrient profile among legumes and may have beneficial health effects when included in the diet. The aim of this systematic review was to investigate the effects of lupin on a range of health outcome measures. Databases included MEDLINE, Embase and CINAHL, and focused on controlled intervention studies on healthy adults and those with chronic disease such as type 2 diabetes, cardiovascular disease and overweight. The Preferred Reporting Items for Systematic Reviews and Meta-Analyses protocol was followed. Investigated intervention diets utilised whole lupin, lupin protein or lupin fibre, and outcomes were measured by markers of chronic disease, body weight and satiety. Quality assessment of results was performed using the Cochrane revised risk of bias tool. Overall, 21 studies with 998 participants were included: 12 using whole lupin, four used lupin protein and five lupin fibre. Beneficial changes were observed in 71% of studies that measured blood pressure, 83% measuring satiety and 64% measuring serum lipids. Unintended weight loss occurred in 25% of studies. Whole lupin demonstrated more consistent beneficial effects for satiety, glycaemic control and blood pressure than lupin protein or lupin fibre. Heterogeneity, low study numbers and a small participant base indicated further studies are required to strengthen current evidence particularly regarding the protein and dietary fibre components of lupin.

Keywords: lupin; health outcomes; type 2 diabetes; cardiovascular disease; obesity

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1. Introduction

Lupin (*Lupinus*) is a legume of the Fabaceae family that has formed part of the human diet since early civilisations. Legumes such as chickpeas, lentils, peas, beans and pulses continue to be a staple food in many parts of the world. Prized by consumers for their highly nutritious and inexpensive nature, they are becoming increasingly valued by producers for their ecological sustainability. With an increasing awareness of the benefits of regular legume consumption to human health, particularly among people living with chronic disease, lupin may be a useful addition to the food supply. Australia accounts for approximately 85% of the world's lupin production. It is grown predominantly in Western Australia, with some parts of New South Wales, Victoria and South Australia also under cultivation [1,2]. Lupin grows well in poor agricultural conditions, is pest-resistant and requires less water than many other food crops, therefore is ideally suited to Western Australia's climate and sandy soils. Moreover, it helps to improve soil fertility by the nitrogen fixing action of its rhizome, a characteristic shared with all legume plants [3]. The two most common varieties grown are the narrow-leafed lupin, also known as Australian sweet lupin or blue lupin (*Lupinus angustifolius*) and the white or albus lupin (*Lupinus albus*). Species of lupin cultivated globally include *Lupinus mutabilis* and *Lupinus luteus* or yellow lupin.

Macronutrient profiles of the various lupin species differ slightly. Compared with other legumes, Australian sweet lupin has one of the highest combinations of both protein

and fibre. While protein can make up as much as 40% and fibre 30% as dry weight, with an additional 5% inulin, its carbohydrate content accounts for less than 10% [4]. As with other legumes, lupins have a high nutrient density, yet their energy density is low. They are rich in minerals such as iron, magnesium, zinc, calcium and potassium; they contain vitamin A, B vitamins and vitamin E; and the fat profile predominantly consists of mono- and polyunsaturated fats, omega 3, 6 and 9 fatty acids [5]. Despite these benefits, Australians include very little lupin or other legumes in their diet, compared with populations in other countries. According to the Grains and Legumes Nutrition Council's 2017 consumption study [5], only 28% of people in Australia eat legumes, a modest 4% increase in three years. Conversely, secondary analysis of the National Nutrition and Physical Activity Survey (NNPAS 2011-12) [6] suggests consumption was around 4 g per day, perhaps indicating use as a snack rather than as a staple food within meals. According to dietary modelling, Australians will need to eat almost five times more legumes to meet the Australian Dietary Guidelines recommendation [7]. Lupin is an excellent source of high-quality plant protein for people who follow a vegetarian or vegan diet. It is gluten-free and provides a more nutrient-dense wheat replacement than other grain and cereal alternatives currently utilised in gluten-free diets. The Australian food industry is beginning to recognise the value of lupin and a range of lupin products is now available, including whole lupin flakes, flour, crumb, meal, kibble and splits. The addition of lupin into other foods enhances their nutritional value and may be an acceptable approach to introduce lupin into the food supply, particularly as an ingredient [8]. Flour made from whole lupin can be easily incorporated into many foods and isolated protein and fibre from the lupin seed may also be of benefit, though separation of the component parts is a more complex process. Interest in lupin as a functional food is increasing among food manufacturers, however it is not clear whether there is a difference in biological effect between whole lupin, lupin protein and lupin fibre when consumed as part of the diet. Sensitivity to legume protein allergens may have an inhibitory effect on lupin consumption in some individuals. In 2017 Food Standards Australia New Zealand included lupin among allergens that must be declared on food products, however, allergic response to lupin is milder and occurs less frequently compared with exposure to peanut and soy [9]. According to Allergy and Anaphylaxis Australia, it is estimated that less than 1% of the Australian population is allergic to lupin [10].

Despite the increasing popularity of plant-based diets, it has been some years since a review of the health benefits of lupin intake was conducted. A 2015 review of the literature on lupins, among a broad range of other legumes, suggested *Lupinus angustifolius* may beneficially effect blood pressure, blood lipids, insulin sensitivity and the gut microbiome [8]. A 2016 review of the nutritional, chemical and health-promoting properties of *Lupinus albus* recognised its potential in the production of functional food [11]. A systematic literature review in 2020 on the effects of legume consumption on markers of glycaemic control in people with and without diabetes excluded studies of less than six weeks' duration, therefore post-meal and short-duration lupin interventions were not captured [12]. The aim of this systematic review was to investigate the effects of lupin on a range of health outcome measures.

2. Materials and Methods

This systematic literature review was conducted in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) guidelines [13]. The study protocol was submitted to the International Prospective Register of Systematic Reviews (PROSPERO) for registration (TBC).

2.1. Eligibility and Exclusion Criteria

To research the question 'Is there an effect of lupin consumption on health outcomes in humans?' a framework was developed using the PICO (Population, Intervention, Comparator/Control, Outcome) format (Table S1). Studies were eligible for inclusion in

this review if they met the following criteria: (a) controlled intervention trial of any duration and of parallel or cross-over design; (b) populations comprising any adults aged 18 years and above, with or without chronic disease, overweight or obesity; (c) dietary interventions in the form of whole lupin, such as dried, pickled and brined seeds, flakes, flour, crumb, meal, kibble and splits, or components of lupin such as protein and fibre; (d) assessed the effect of lupin consumption on biomarkers of chronic disease such as any related to diabetes, cardiovascular disease, hypertension, hypercholesteremia, hyperlipidaemia, cancer, inflammation, and oxidative stress, or assessed the effect of lupin consumption on anthropometric measurements and perceptions of satiety in relation to overweight and obesity and their association with chronic disease risk. The exclusion criteria applied to the study search were: (a) participants below 18 years of age; (b) assessments of single isolated proteins, peptides or alkaloids from lupin; (c) lupin intake as a supplement in capsule form; (d) non-English language studies; (e) publication dates before 1 January 2000.

2.2. Search Strategy

The following databases were searched: EMBASE (via Ovid) MEDLINE (via Ovid), CINHAHL (via EBSCO) from 1 January 2000 until 13 September 2021. Reference lists of eligible studies were scanned and searched manually on PubMed for additional studies.

2.3. Study Selection, Data Extraction, and Quality Assessment

Search results were imported into EndNote X9[®] referencing software (EndNote X9, Clarivate Analytics, Philadelphia, PA, USA) and duplicates were removed. Screening of studies was performed in two stages, first by title and abstract, then by full text. A data extraction form was created in Microsoft[®] Excel[®] spreadsheet (Microsoft 365 MSO Version 2109.14430.20306, Redmond, WA, USA) to include study citation, design, and duration; participant numbers and characteristics; intervention and control diet information; outcomes measured, and results obtained. The included studies were assessed for within-study risk of bias using the revised Cochrane risk-of-bias tool (RoB2) for randomised controlled trials [14]. Reviewer L.B. assessed studies to determine whether each study had low, some concerns, or high risk of bias. Areas of uncertainty were resolved in consultation with a second reviewer (S.G.). Assessment domains included risk of bias arising from the randomisation process, period and carryover effects, deviations from intended interventions, missing outcome data, measurement of the outcome, and selection of the reported result [14].

3. Results

3.1. Search Results and Study Selection

The search was conducted on 13 September 2021, returning a total of 157 records. One additional paper was identified from the reference lists of eligible studies. Following automated removal of duplicates by Endnote X9, 127 studies remained. Screening by title and abstract excluded 94 studies. Principal reasons for exclusion were publication type and study aim, interventions and measured outcomes beyond the scope of this review. A full-text review of the remaining 33 led to the exclusion of a further 12 studies. Reasons for exclusion were: duplications in other journals and/or non-English languages ($n = 4$), brief conference or workshop communications ($n = 2$), lupin intervention administration in capsule or supplement form ($n = 2$), investigation of lupin fractions such as alkaloids or single proteins ($n = 3$) and in vitro study protocol ($n = 1$). A total of 21 journal articles of controlled intervention studies met the inclusion criteria and were included in this qualitative review (Figure 1). Two studies generated three articles that reported on different sets of variables within each. These articles were treated as stand-alone studies and included in the final total.

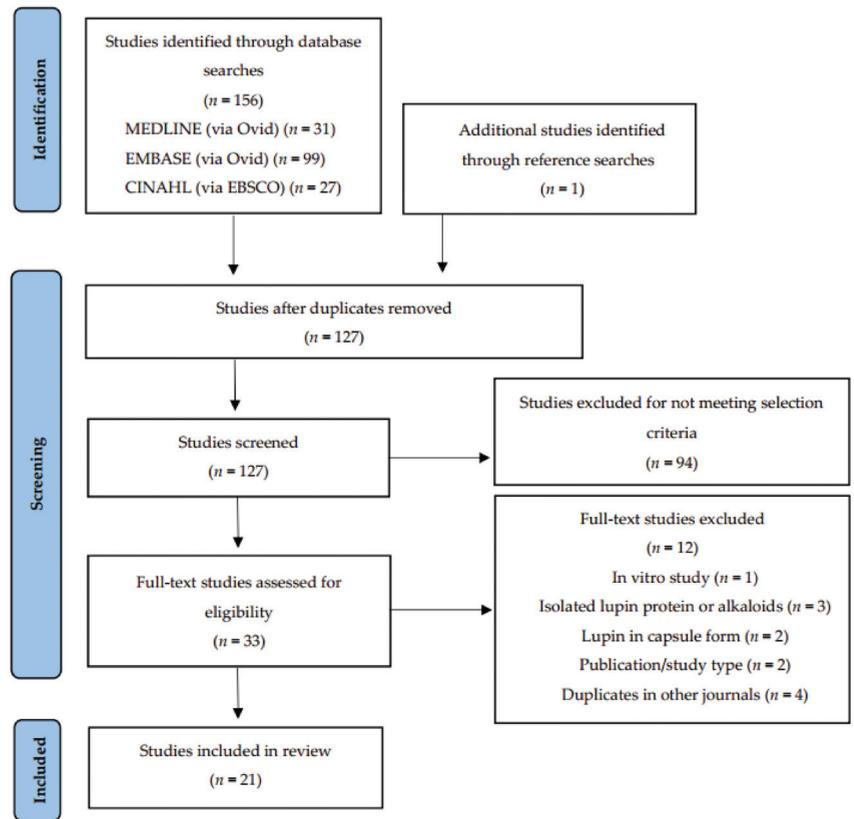


Figure 1. Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram for study selection.

3.2. Study Characteristics

Six of the included studies were randomised controlled trials (RCTs) of parallel design, the remaining 15 were cross-over studies, of which 11 were RCTs, while two were controlled, non-randomised, crossover studies. Research was based predominantly in Australia with smaller number of studies conducted in Germany, Italy and Ecuador. Table 1 lists study locations and the species of lupin under investigation. Five studies recruited healthy men and women [15–19], three recruited healthy men only [20–22], four studies involved men and women with type 2 diabetes [23–26], five with hypercholesterolaemia [27–31] and four involved people who were overweight or obese [32–35]. The number of completing participants ranged from $n = 5$ to $n = 175$ per study. Approximately 25% of the 998 participants across all studies were classified as healthy. Duration of study periods ranged from post-meal studies to 12 months. Categorisation of studies by form of lupin administered during treatment phases identified three distinct groups: whole lupin, lupin protein and lupin fibre. Study characteristics and outcomes of 12 whole lupin studies are summarised in Table 2, four lupin protein studies in Table 3, and five lupin fibre studies in Table 4. Measured outcomes were multiple and varied across studies, with $p < 0.05$ being declared as statistically significant.

Table 1. Study location, lupin species and form of lupin consumed in eligible studies investigating the health benefits of lupin consumption.

Country	Reference	Lupin Species/Common Name (NS = Not Stated)	Whole Seed (W), Protein Isolate (PI) or Fibre Isolate (FI)
Australia	Hall et al., 2005 [18]	<i>L. angustifolius</i>	W
	Hall et al., 2005 [22]	<i>L. angustifolius</i>	FI
	Smith et al., 2006 [20]	<i>L. angustifolius</i>	FI
	Johnson et al., 2006 [21]	<i>L. angustifolius</i>	FI
	Lee et al., 2006 [16]	NS	W
	Lee et al., 2009 [33]	NS	W
	Yang et al., 2010 [32]	NS	W
	Hodgson et al., 2010 [34]	<i>L. angustifolius</i>	W
	Dove et al., 2011 [26]	<i>L. angustifolius</i>	W
	Keogh et al., 2011 [17]	NS	W
	Belski et al., 2011 [35]	<i>L. angustifolius</i>	W
	Skalkos et al., 2020 [24]	Australian Sweet Lupin	W
	Ward et al., 2020 [23]	<i>L. angustifolius</i>	W
Germany	Weißer et al., 2010 [27]	<i>L. angustifolius</i>	PI
	Bähr et al., 2013 [30]	<i>L. angustifolius</i>	PI
	Fechner et al., 2013 [19]	<i>L. angustifolius</i> and <i>L. albus</i>	FI
	Fechner et al., 2014 [29]	<i>L. angustifolius</i>	FI
	Bähr et al., 2015 [31]	<i>L. angustifolius</i>	PI
	Schopen et al., 2017 [15]	<i>L. albus</i>	W
Italy	Sirtori et al., 2012 [28]	<i>L. angustifolius</i>	PI
Ecuador	Fornasini et al., 2019 [25]	<i>L. mutabilis</i>	W

Table 2. Characteristics and major outcomes of studies examining whole lupin consumption and health outcomes.

Reference	Study Type	Subjects (n) and Characteristics	Intervention	Control/Comparator	Energy Balance	Main Health Markers	Main Outcomes
Hall et al., 2005 [18]	RCT single blind cross-over Post-meal study	n = 11 Healthy men (n = 9) and women (n = 2). Mean age 31.6 years, range 25–45 years	Breakfast including lupin bread with 10% wheat flour replaced with Australian sweet lupin kernel flour	Breakfast including standard recipe white bread	95kJ difference in lupin breakfast (1338 kJ) and control breakfast (1243 kJ)	120 min SG, SI 180 min satiety response Glycaemic index (GI) insulinaemic index (II) and satiety index (WB = 100). Energy intake from <i>ad libitum</i> buffet and during remainder of day.	↓ GI (p = 0.022) ↑ II (p = 0.046) Trend to lower SG at 30 min. Peak satiety at 10 min WB and 25 min lupin. Below baseline at 160 min for WB. Lupin did not reach zero within 180 min. No difference in SI, satiety response, satiety index, energy intake at <i>ad libitum</i> buffet, or energy intake during remainder of day.
Lee et al., 2006 [16]	RCT cross-over Study 1: 4 treatments 1 week apart	Study 1: n = 16 healthy men (n = 8) and women (n = 8). Mean age 58.6 ± 7.2 years. Mean BMI 31.3 ± 4.5 kg/m ²	Lupin bread 40% total flour (24% final weight of bread) in 4 treatments: WB-WB/WB-lupin/lupin-WB/lupin-lupin	White bread breakfast and lunch	Isocaloric at breakfast, <i>ad libitum</i> lunch	Post breakfast 180 min satiety response. Total energy intake after <i>ad libitum</i> lunch.	↑ Satiety at breakfast for satisfaction and prospective consumption (p < 0.001, p < 0.001). ↑ Satiety at 180 min for fullness (p < 0.001), satisfaction (p < 0.001) and prospective consumption (p < 0.001). ↓ Energy intake at lunch after lupin breakfast (−488; 95% CI: −798, −178 kJ). ↓ Intraeal energy intake when lupin consumed at lunch (−1028; 95% CI: −1338, −727 kJ).
Lee et al., 2009 * [33]	RCT parallel study 16 weeks 2 cohorts	Study 2: n = 17 healthy men (n = 11) and women (n = 6). Mean age 61.0 ± 5.6 years. Mean BMI 27.2 ± 4.3 kg/m ² n = 74 Overweight and obese men (n = 26) and women (n = 48). Mean age 59.0 ± 7.4/56.8 ± 8.5 years. Mean BMI 30.6 ± 3.6/30.5 ± 3.4 kg/m ² .	Lupin bread 40% wheat flour replaced with lupin kernel flour (24% final weight bread) to replace usual carbohydrate-rich foods to ~15–20% usual energy intake. Lupin bread 40% wheat flour replaced with lupin kernel flour (24% final weight bread) to replace usual carbohydrate-rich foods to ~15–20% usual energy intake.	White bread White bread to replace normal bread intake and other carbo-hydrate-rich foods to ~15–20% usual energy intake	Isocaloric Isocaloric	Post breakfast 180 min plasma ghrelin, SG and SI 24-h SBP, DBP, pulse pressure and heart rate	Altered ghrelin response (p = 0.04) ↓ 180 min plasma ghrelin (p = 0.009). Altered glucose response (p = 0.01) ↓ glucose AUC (p = 0.006) ↓ insulin AUC (p = 0.002). ↓ 24-h SBP (p = 0.03) ↓ pulse pressure (p < 0.001). No difference in DBP, heart rate
Yang et al., 2010 * [32]	Paper refers to the study above	As above	As above	As above	As above	Plasma and urinary F2-isoprostanes, plasma 20-HETE, plasma and urinary nitrite and nitrate concentrates	No difference between groups

Table 2. Cont.

Reference	Study Type	Subjects (n) and Characteristics	Intervention	Control/Comparator	Energy Balance	Main Health Markers	Main Outcomes
Hodgson et al., 2010 [34]	Paper refers to the Lee et al., 2009 study above	As above	As above	As above	As above	BW every 2 weeks Body composition at 16 weeks TC, HDL, TG, LDL, SG, SI, HOMA-IR, plasma leptin and adiponectin, hs-CRP	No difference between groups
Dove et al., 2011 [26]	RCT cross-over study of 3 test sessions, 7–14 days apart	n = 24 type 2 diabetic men (n = 19) and women (n = 5). Mean age: 57 ± 6.6 years. range 44–66 years. Mean BMI: 30.9 ± 4.8 kg/m ²	Beverage of 50 g glucose and 50 g lupin kernel flour	Beverage of 50% glucose (control), beverage of 50% glucose + soya protein and fibre isolates (comparator)	All beverages matched for total volume, carbohydrates and fat content lupin and soya matched for energy, protein and fibre	240 min SG, SI and C-peptide response	↓ 240 min SG response (p < 0.001), ↑ 240 min SI and C-peptide responses (p < 0.001) ↓ SI response compared with soya (p = 0.013). No difference in SG and C-peptide responses between lupin and soya
Keogh et al., 2011 [17]	RCT cross-over study	n = 20 Healthy men (n = 10) and women (n = 10) Mean age, 29.4 years Range: 20–44.8 years BMI 21.8 kg/m ² Range: 18–24.8 kg/m ²	Lupin Bread breakfast	White bread breakfast (control), wholemeal and seeds bread breakfast (comparator)	Isocaloric breakfast, <i>ad libitum</i> standardised food and beverage tray 120 min post breakfast	120 min satiety, PG and insulin response. Food and beverage weight, energy and macronutrient content 120 min post meal	↑ Fullness response for lupin (p < 0.01) and WSB (p < 0.05) ↓ glucose AUC for lupin and WSB (p < 0.001) ↓ insulin AUC for lupin and WSB (p < 0.001) ↓ weight of food and beverage for lupin and WSB (p < 0.05) ↓ energy and total fat consumed after WSB (p < 0.05). No difference in energy and macronutrient intake post lupin meal.
Belski et al., 2011 [35]	RCT double blind parallel study 12 months 2 cohorts	n = 93 at 12 months Overweight and obese men (n = 68) and women (n = 63) Mean age 46.5 ± 10.1/46.7 ± 9.4. Mean BMI 31.3 ± 2.7/31.4 ± 2.8 kg/m ²	Lupin kernel flour in bread, biscuits and pasta	Standard food products without lupin (matched for colour, taste, texture)	Isocaloric	4 and 12 month BW, body composition, SBP, DBP, TC, HDL, LDL, TG, SG and SI, HOMA-IR and hs-CRP	↓ 24-h SBP and DBP at 12 months (p < 0.05) ↓ HDL (p < 0.05) ↓ SI and HOMA-IR at 4 and 12 months (p < 0.05) No difference in TC, LDL, TG, SG, hs-CRP BW or body composition at 4 or 12 months. No difference in maintenance of body weight loss during weight maintenance period (4–12 months)
Schoopen et al., 2017 [15]	RCT single blind cross-over study 3 test visits 24 h apart	n = 12 healthy men (n = 5) and women (n = 7). Mean age men 28 ± 3.67 years, women 26.86 ± 3.44 years. Mean BMI men 24.72 ± 2.3 kg/m ² , women 20.92 ± 1.63 kg/m ²	Sweet lupin flour in lunch meal of pasta and meat sauce (0.94 g lupin flour per kg of participant body weight)	Pasta and meat sauce (reference meal), pasta and meat sauce lunch with whey protein (0.42 g per kg of participant BW)	Standardised breakfast, standardised test lunch. Lupin and whey meals matched for protein. Reference meal ~22% less kJ and ~50% less protein per kg of participant BW. All test meals similar in carbohydrate	180 min SG 180 min SI Post test meal	↓ SG AUC 0–60 min (p < 0.001) ↓ SG AUC 0–180 min (p = 0.030). No difference in SI

Table 2. Cont.

Reference	Study Type	Subjects (n) and Characteristics	Intervention	Control/Comparator	Energy Balance	Main Health Markers	Main Outcomes
Fornasini et al., 2019 [25]	Controlled non-randomised single blind cross-over study (28 weeks) (One-group pretest-posttest design with double pretest)	n = 5 type 2 diabetic men (n = 19) and women (n = 32) under conventional non-insulin medication. Mean age 64.1 ± 11.1 years. Mean BMI 30.3 ± 4.5 kg/m ²	Whole <i>Lupinus mutabilis</i> 10 g dehydrated lupin snack. One dose per day 7 weeks (following initial 14-week medication only period). 2 doses per day next 7 weeks	Usual diet and medication	N/A	At 14 and 28 weeks BW, SBP, DBP, SG, SI, HbA1c, TC, LDL, HDL, Uric acid, CRP	↑ SG and SI 0–28 weeks (p < 0.05) ↑ HDL 0–28 weeks (p < 0.05) ↓ BW (p = 0.015) and BMI (p = 0.009) 0–28 weeks ↓ SBP and DBP 0–28 and 14–28 weeks (p ≤ 0.05). No difference in HbA1c, TC, LDL, uric acid, CRP
Skalkos et al., 2020 [24]	Controlled non-randomised cross-over study 3 consecutive days, 1 treatment per day	n = 20 Post-surgical hospital patient men (n = 12) and women (n = 8) with type 2 diabetes. Mean age 74.3 ± 11.7 years. Mean BMI 30.7 ± 4.5 kg/m ²	4 × lupin biscuit containing 20% lupin flour (2 at morning tea and 2 at afternoon tea) on day 1	4 × wholemeal spelt biscuit day 2 4 × Arnot's Marie biscuit (standard hospital option) day 3	Lupin and spelt biscuits isocaloric (1590 kJ/100 g) and lower than Marie biscuit (1850 kJ/100 g). Higher protein, fat and fibre in lupin and spelt, and lower carbohydrate and sugar than Marie biscuit	CGM interstitial glucose pre- and 5 timepoints post-meal, bowel function (Bristol Stool Chart), hunger and fullness rating	↓ glucose after dinner following lupin biscuit (p < 0.001) No difference in 0–90 min glucose at breakfast, morning tea, lunch, and afternoon tea for all 3 treatments. More patients felt fuller between afternoon tea-dinner following lupin biscuit (p = 0.018). No difference in bowel function
Ward et al., 2020 [23]	RCT double blind cross-over study 1-week run-in period, 2 × 8-week treatment with 8-week washout period	n = 17 completed, men (n = 14) and women (n = 8) with moderate-to-well controlled type 2 diabetes (HbA1c < 9%) Mean age 58 ± 6.6 years. Mean BMI 29.9 ± 3.5 kg/m ²	Lupin-enriched foods replacing 20% of daily energy intake. Consumed every breakfast, lunch and at least 3 dinners per week. Average daily intake ~45 g lupin per day (12 g/d protein 10 g/d fibre)	Wheat-based control foods	Isocaloric	SG (at waking, 1 h post breakfast, immediately pre-lunch and 1 h post-lunch), SI, HOMA-IR, BW, BP, TC, LDL, TG, HDL, C-peptide	No difference between treatments. Borderline significant decrease in TG with lupin

* Part of one study; Abbreviations: Area under the curve (AUC); Body mass index (BMI); Blood pressure (BP); Body weight (BW); Continuous glucose monitor (CGM); Diastolic blood pressure (DBP); Glycated haemoglobin (HbA1c); High density lipoprotein cholesterol (HDL); Homeostasis model assessment of insulin resistance (HOMA-IR); High-sensitivity C-reactive protein (hs-CRP); Low density lipoprotein cholesterol (LDL); Plasma glucose (PG); Systolic blood pressure (SBP); Serum glucose (SG); Serum insulin (SI); Triglycerides (TG); Total cholesterol (TC).

Table 3. Characteristics and major outcomes of studies examining lupin protein consumption and health outcomes.

Reference	Study Type	Subjects (n) and Characteristics	Intervention	Control/Comparator	Energy Balance	Main Health Markers	Main Outcomes
Weige et al., 2010 [27]	RCT double blind parallel study 10-day run-in, 6-week treatment	n = 56 = 43 completed, moderately hypercholesterol-aemic (TC > 2200 mg/L) men (n = 25) and women (n = 31). Mean age 43.9 ± 11.8 years. Mean BMI 25.9 ± 4.5 kg/m ²	Blue lupin protein isolate, 35 g in 2 snack bars per day	Casain protein (CP), 35 g protein in snack bars per day	Isocaloric	LDL, HDL, TC, LDL, HDL, TG, TC, mRNA SREBP-2, LDL receptor and HMG-CoA reductase	↓ LDL:HDL for lupin compared to CP (p < 0.05) ↓ 0–6 week TC and LDL in lupin group ↓ 0–6 week TC, HDL, and TG for CP group (all p < 0.05) No difference between groups. ↓ alanine and glycine after CP ↓ methionine after Lupin, and ↓ than CP (p < 0.05) ↑ SREBP-2 in Lupin group, but not CP ↑ LDL receptor and ↓ HMG-CoA reductase in both groups (p < 0.05) No difference between groups for all 3 mRNA outcomes
Sirtori et al., 2012 [28]	RCT double blind, parallel study 4-week run-in, 4-week treatment	n = 193 = 175 completed, moderately hypercholesterol-aemic (TC > 2200 mg/L) men (n = 82) and women (n = 93). Mean age range 52.7 ± 12.4–55.3 ± 14.6 years. Mean BMI range 24.0 ± 2.0–25.6 ± 3.2 kg/m ²	Blue lupin protein isolate/cellulose fibre combination added to 2 snack bars per day	Control: casain/cellulose. Comparators: lupin/cellulose; pea protein/cellulose; casain/oat fibre; casain/apple pectin; pea protein/oat fibre; pea protein/apple pectin	Isocaloric	TC, LDL, HDL, TC, SC, SI, HOMA-IR, BW, adiponectin, sICAM-1, IL-6, hs-CRP	↓ TC lupin/cellulose (p < 0.05) (Greatest reduction in TC (p = 0.0098) and LDL (p = 0.004) in pea/apple pectin treatment). No difference lupin/cellulose for LDL, HDL, TG, SC, SI or HOMA-IR (casain/cellulose, casain/apple pectin and pea/oat fibre all decreased SI and HOMA-IR (p < 0.05)). Pea/oat fibre also decreased SC (p < 0.05). No difference in adiponectin or inflammatory markers
Bähr et al., 2013 [30]	RCT double-blind cross-over study 8-week treatment, 4-week washout	n = 33 hypercholesterol-aemic (TC ≥ 5.2 mmol/L) men (n = 33) and women (n = 18). Mean age range 49.4 ± 13.9–49.7 ± 12.8 years. Mean BMI range 27.3 ± 5.4–28.8 ± 6.5 kg/m ²	Blue lupin protein isolate (LPI) protein drinks, 25 g LPI per day	Milk protein isolate (MPI) protein drinks, 25 g MPI per day	Isocaloric	TC, LDL, HDL, LDL:HDL, TG, 4 and 8week BW, SBP, DBP, resting pulse, urea, hs-CRP	↑ HDL at week 4 for LPI compared to MPI (p = 0.036) No difference between treatments for lipids ↓ LDL for both treatments at 4 weeks but not at 8 weeks (p ≤ 0.008) ↓ LDL:HDL for LPI (p = 0.022) Both treatments slight ↓ BW and body fat from 0–8 weeks (p ≤ 0.045) No difference between treatments ↓ SBP for both (p ≤ 0.014) ↓ DBP and resting pulse for LPI (p ≤ 0.044) No difference between treatments. No difference between treatments in hs-CRP and urea 0–4 or 0–8 weeks, ↑ urea 0–4 weeks for both treatments (p ≤ 0.001) with smaller increases 0–8 weeks (p ≤ 0.022)
Bähr et al., 2015 [31]	RCT double blind, cross-over 3-phase study, 28 days treatment, 6-week washout	n = 72 hypercholesterol-aemic (TC ≥ 5.2 mmol/L) men (n = 28) and women (n = 40). Mean age range 50.4 ± 19.2–59.8 ± 9.3 years. Mean BMI range 24.9 ± 5.0–27.6 ± 4.4 kg/m ²	Blue lupin protein isolate, 25 g consumed daily in 4 food products.	Milk protein (MP) 25 g in 4 food products; MP foods plus 2.5 g/d arginine in capsule form (MPA) Placebo capsules added to LP and MP diets for blindness	Isocaloric	TC, LDL, HDL, LDL:HDL, oxidised LDL, TG, SBP, DBP hs-CRP; urea, uric acid, homocysteine	↓ LDL after Lupin compared with MP (p = 0.044) ↓ 0–28 d TC (p < 0.001), LDL (p < 0.01) and HDL (p < 0.001) after lupin and MPA ↓ TG (p < 0.05) after Lupin Increases in urea were smaller for Lupin (p = 0.004) and MP (p = 0.001) compared with MPA ↓ Uric acid (p < 0.01) after lupin ↓ homocysteine after lupin compared with MP (p = 0.001) and MPA (p = 0.004)

Abbreviations: Body mass index (BMI); Body weight (BW); Diastolic blood pressure (DBP); High density lipoprotein cholesterol (HDL); Homeostasis model assessment of insulin resistance (HOMA-IR); High-sensitivity C-reactive protein (hs-CRP); Interleukin-6 (IL-6); Low density lipoprotein cholesterol (LDL); Plasma glucose (PG); Systolic blood pressure (SBP); Serum insulin (SI); soluble intracellular cell adhesion molecule-1 (sICAM-1); Triglycerides (TG); Total cholesterol (TC).

Table 4. Characteristics and major outcomes of studies examining lupin fibre consumption and health outcomes.

Reference	Study Type	Subject (n) and Characteristics	Intervention	Control/Comparator	Energy Balance	Main Health Markers	Main Outcomes
Hall et al., 2005 * [22]	RCT single blind cross-over study 28 days of treatment 28 days washout period	n = 44 n = 38 completed, healthy men. Mean age 41.0 ± 1.9 years. Mean BMI 26.7 ± 0.5 kg/m ²	Australian sweet lupin kernel fibre in foods within prescribed diet. 55 g dietary fibre/day for diets >9 MJ/day; 35 g dietary fibre/day for diets ≤9 MJ/day	Prescribed control diet without added lupin fibre. 25 g dietary fibre/day for diets >9 MJ/day; 18 g dietary fibre/day for diets ≤9 MJ/day	Isocaloric	TC, HDL, TG, PG and insulin, HOMA-IR, satiety perception, BW	↓ TC, LDL, TC:HDL and LDL:HDL for both treatments (p < 0.05) ↓ TC (p = 0.001), LDL (p = 0.001) TC:LDL (p = 0.006) and LDL:HDL (p = 0.003) for lupin relative to control. No difference in HDL and TG. No difference in PG for lupin (↓ PG in control (p = 0.001)) No difference in PC, insulin, HOMA-IR or satiety perception between treatments. No difference in BW for either treatment
Smith et al., 2006 * [20]	Paper refers to the Hall 2005 study above	n = 18 (randomly selected from above study)	As above	As above	As above	Measures of (i) total cells, (ii) total bacteria, (iii) <i>E. rectale-C. coccoides</i> , (iv) <i>Bacteroides-Prevotella</i> , (v) <i>Enterobacteriaceae</i> , (vi) <i>C. histolyticum/C. lituseburae</i> group, (vii) <i>Lactobacillus-Enterococci</i> , (viii) <i>Bifidobacterium</i> , (ix) <i>C. ramosum</i> , <i>C. spiriforme</i> and <i>C. coculentum</i> group	↑ <i>Bifidobacteria</i> (p = 0.0001) ↓ <i>C. ramosum</i> , <i>C. spiriforme</i> and <i>C. coculentum</i> group (p = 0.039) in lupin diet. No difference between treatments in total cells, total bacteria or populations of other species. Strong trend (p = 0.53) towards decreased <i>Bacteroides-Prevotella</i> in lupin diet
Johnson et al., 2006 * [21]	Paper refers to the Hall 2005 study above	n = 38 healthy men. Mean age 41.0 ± 1.9 years. Mean BMI 26.7 ± 0.5 kg/m ²	As above	As above	As above	Frequency and ease of bowel motion, flatulence level, Bristol Stool Form, frequency (events), output, transit time, pH, faecal moisture content SCFA (total, acetate, propionate, isobutyrate, butyrate, isovalerate, valerate)	↑ Frequency (p = 0.047), ↑ faecal output (p = 0.020), ↓ transit time (p = 0.012), ↑ perception of flatulence level (p < 0.001), ↓ faecal pH (p < 0.001), ↑ faecal moisture content (p = 0.027), ↑ total SCFA concentration (p = 0.001) and ↑ daily output (p < 0.001), ↑ acetate concentration (p < 0.001) and ↑ butyrate concentration (p = 0.006) and output (p = 0.002) ↑ valerate output (p = 0.030) with no difference in concentration. No difference in propionate, isobutyrate or isovalerate.

Table 4. Cont.

Reference	Study Type	Subject (n) and Characteristics	Intervention	Control/Comparator	Energy Balance	Main Health Markers	Main Outcomes
Fechner et al., 2013 [19]	RCT double blind cross-over study 4 periods of 2 weeks each: run-in, 2 treatments and washout	n = 76 healthy men (n = 21) and women (n = 55). Mean age: 24.4 ± 3.2 years. Mean BMI: 21.7 ± 2.4 kg/m ²	Blue lupin kernel fibre and white lupin kernel fibre. Total dietary fibre per treatment 25 g/d in beverages	Citrus fibre as active comparator for 2 lupin and 1 soya fibre treatments	Isocaloric	TC, HDL, LDL, TG, faecal pH, transit time, Bristol Stool Form, faecal SCFAs and bile acids	No change in serum lipids for all treatments, ↓ faecal pH for blue lupin (p < 0.01), no difference relative to citrus. ↓ Transit time. ↑ Bristol Stool Form score for blue lupin (p ≤ 0.05) ↑ Total SCFA, acetate, propionate and n-butyrate excretion for blue lupin (p ≤ 0.05). ↑ Primary bile acid excretion (p = 0.02) for blue lupin. ↓ total bile acid excretion for blue lupin relative to citrus. ↓ Total bile acid excretion for white lupin from run-in. ↓ Secondary bile acid excretion for blue and white lupin from run-in (p ≤ 0.05).
Fechner et al., 2014 [29]	RCT double blind cross-over study 3 intervention periods of 4 weeks each, run-in and 2 washout periods of 2 weeks each	n = 52 moderately hypercholesterol-aemic (TC > 5.2 mmol/L) men (n = 20) and women (n = 32). Mean age: 46.9 ± 3.2 years. Mean BMI: 26.5 ± 5.9 kg/m ²	Blue lupin kernel fibre 25 g/d	Citrus fibre 25 g/d as active comparator; control diet (CD) with no added fibre	Isocaloric	General excretion markers, faecal concentration or excretion of neutral sterols, bile acids and SCFAs. BW, body composition, BP, TC, HDL, LDL, TG LDL:HDL, hs-CRP, satiety score	↓ Faecal pH from baseline (p ≤ 0.01) and against CD (p ≤ 0.001), ↓ transit time against CD (p ≤ 0.05), no difference in neutral sterols, ↑ Primary bile acids from baseline (p ≤ 0.05), no difference in total or secondary bile acids ↑ Formation of total SCFA from baseline (p ≤ 0.001) and against CD (p ≤ 0.01). ↑ acetate from baseline and against CD (p ≤ 0.001), ↑ propionate from baseline (p ≤ 0.001) and against control (p ≤ 0.05), ↑ butyrate from baseline (p ≤ 0.01) and against control (p ≤ 0.05). ↓ BW, BMI, and WC from baseline (p ≤ 0.001) and against control (p ≤ 0.01). ↓ TC (9%), LDL (12%) and TG (10%) for lupin compared with citrus (p ≤ 0.02), ↓ hs-CRP (p = 0.02), SBF (p = 0.01) for lupin compared to baseline. ↑ Perception of satiety (p ≤ 0.001)

* Part of one study; Abbreviations: Body mass index (BMI); Blood pressure (BP); Body weight (BW); High density lipoprotein cholesterol (HDL); Homeostasis model assessment of insulin resistance (HOMA-IR); High-sensitivity C-reactive protein (hs-CRP); Low density lipoprotein cholesterol (LDL); Plasma glucose (PG); Short-chain fatty acid (SCFA); Triglycerides (TG); Total cholesterol (TC).

3.3. Risk of Bias

Each study was assessed according to the criteria outlined in the revised Cochrane RoB2 tool for RCTs. All parallel studies had a low risk of bias. Most cross-over studies had a low risk of bias (Figure 2); exceptions were one study with some concern for risk of bias in Domain 1: Randomisation process, and in Domain 5: Selection of the reported result [25]. One other cross-over study had some concern in Domain 1 only [24].

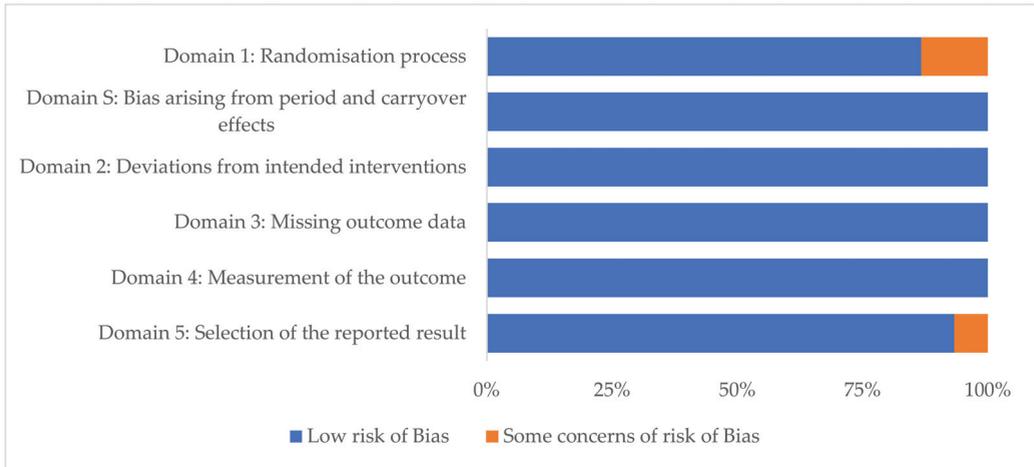


Figure 2. Within-study risk of bias assessment using the revised Cochrane risk-of-bias tool (RoB2) in 15 randomised ($n = 11$) and non-randomised ($n = 2$) controlled cross-over trials examining health outcomes of lupin consumption.

3.4. Range of Investigated Health Measurements and Their Outcomes

The five most investigated variables or groups of variables across all studies and the direction of lupin consumption effect are shown in Figure 3. These were:

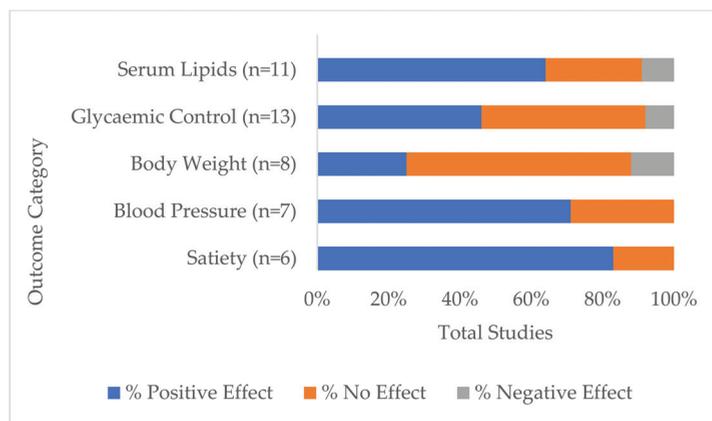


Figure 3. Percentage of total studies that reported differences between baseline and/or comparators ($p < 0.05$) by the five most investigated groups of health markers: serum lipids, glycaemic control, body weight, blood pressure and satiety, that had positive (desirable), negative (detrimental) or no effect on health outcomes.

Serum lipids, which included any one or more of total cholesterol, LDL and HDL cholesterol, LDL:HDL ratio and triglycerides. Eleven studies measured serum lipids refs. [19,22,23,25,27–31,34,35], of which 64% had one or more positive outcomes (i.e., showed statistically significant within-study difference(s) from baseline and/or control in a direction considered optimal for good health, depending on the health marker tested). Three studies did not report differences in serum lipids [19,23,34], while one study reported reduced HDL cholesterol with other lipids unchanged [35].

- I. Glycaemic control included measurements of post-prandial and long-term glucose and insulin levels, HOMA-IR and HbA1c. These were measured in 13 studies refs. [15–18,22–28,34,35] with positive effects indicated in 46% of these studies refs. [15–17,24,26,35].
- II. Body weight measurements were monitored in 8 studies [22,23,25,28–30,34,35], of which 25% recorded unintended weight loss [25,29], while 12.5% (one study) recorded increased body weight [30].
- III. Blood pressure was measured in 7 studies [23,25,29–31,33,35], two of which did not find significant differences [23,31] while the remaining five (71%) recorded reductions.
- IV. Perceptions of satiety were monitored in 6 studies [16–18,22,24,29]. A significantly higher satiety rating score was given for lupin in 83% of studies, while one study saw no difference to control [22].

Variables measured in five or fewer studies included those relating to inflammation, such as hs-CRP [28–31,35], IL-6 and sICAM-1 [28], oxidative stress [32], and those relating to food intake [16–18,29], appetite, digestion and metabolism, such as concentrations of serum ghrelin [16], leptin [34], adiponectin [28,34], bowel function [19,21,24,29], faecal SCFA concentrations [19,21,29], faecal bile acid concentrations [19,29] and faecal microbiome varieties and populations [20]. No studies found significant differences in inflammation and oxidative stress markers, nor in the gastric hormones and adiponectin. However, bowel function changes were seen in all but one of the studies [24]. All studies that measured faecal SCFA concentrations and the faecal microbiome composition study found significant changes.

3.5. Range of Health Outcomes by Lupin Treatment Category

3.5.1. Whole Lupin

Seventy-five percent ($n = 9$) of all whole lupin studies ($n = 12$) reported a significant difference in at least one of the health markers under investigation, compared to baseline or to the control group. While most of the directions of change were desirable or positive outcomes for that variable, one study of overweight and obese participants reported decreased levels of HDL cholesterol, thereby reducing its potential heart-protective benefit, while other lipid markers did not change [35]. One study [25] reported increased serum glucose at 14 and 28 weeks and increased insulin levels at 28 weeks following a daily lupin snack in the first phase and two lupin snacks per day in the subsequent phase. Key health outcomes for whole lupin are shown in Figure 4a. All whole lupin studies ($n = 4$) that measured perceptions of satiety reported desirable changes [16–18,24], as well as 75% ($n = 3$) of studies that monitored blood pressure ($n = 4$) [25,33,35] and 67% ($n = 6$) of studies that measured glycaemic control ($n = 10$) [15–17,24,26,35]. Serum lipids moved to healthier levels in 25% ($n = 1$) of studies ($n = 4$) [25], as well as reporting decreased body weight measurements [25].

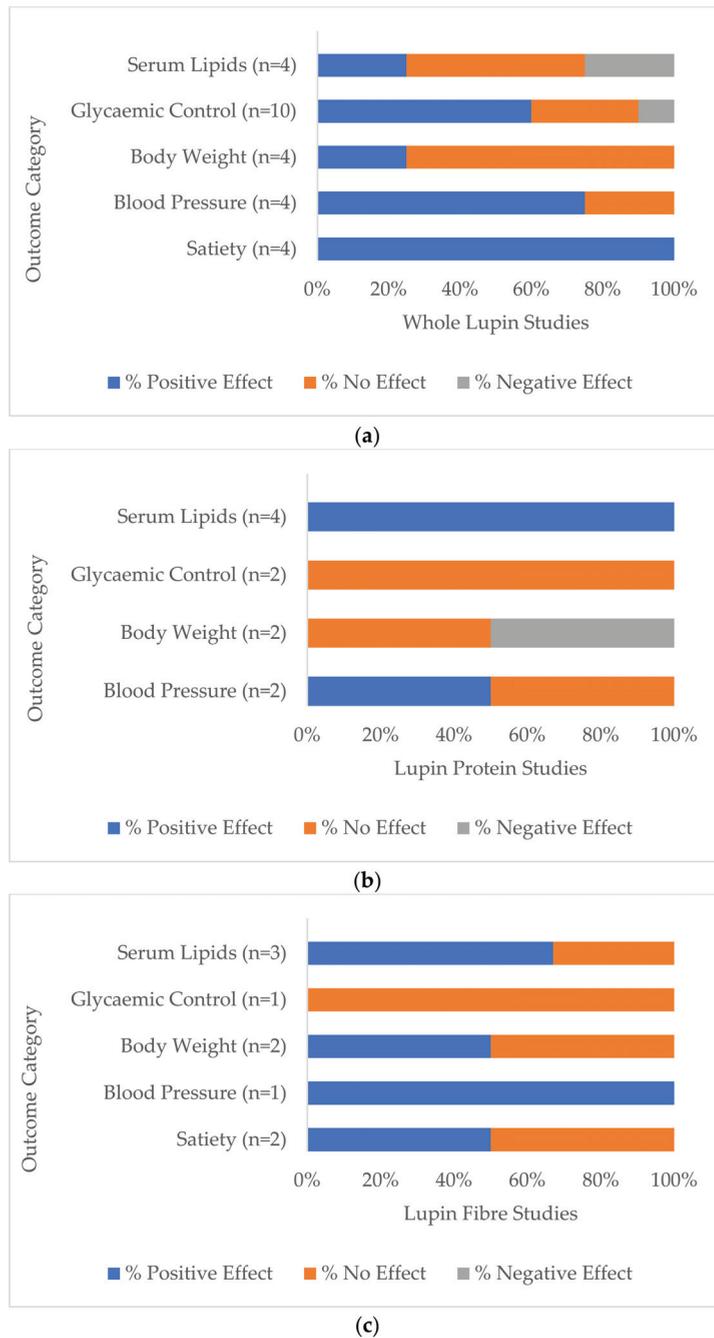


Figure 4. Percentage of total studies categorised by (a) whole lupin, (b) lupin protein and (c) lupin fibre treatment that reported positive (potentially beneficial), negative (potentially detrimental) and no significant differences between baseline and/or comparators ($p < 0.05$) in the five most investigated groups of health markers: serum lipids, glycaemic control, body weight, blood pressure and satiety.

3.5.2. Lupin Protein

All lupin protein studies ($n = 4$) reported significant differences in at least one of the targeted health markers. All studies that measured serum lipids [27,28,30,31] and one study [30] of a total of two that measured blood pressure [30,31] reported significantly reduced levels (Figure 4b).

3.5.3. Lupin Fibre

All lupin fibre studies ($n = 5$) reported significant differences in at least one of the measured health markers. Two out of the three lupin fibre studies that measured serum lipids reported significant differences and positive health outcomes [22,29], as well as the one study that measured blood pressure [29] (Figure 4c). All three lupin fibre studies that monitored bowel function reported positive changes [19,21,29].

4. Discussion

This systematic review of the evidence for health outcomes from lupin consumption observed a range of results across many biological and anthropometric health markers that variously resulted in no effects, positive effects and negative effects in terms of optimum health. In the 21 studies that met the selection criteria, the strongest evidence related to a lowering effect on total cholesterol, LDL cholesterol and LDL cholesterol:HDL cholesterol ratio; reduction in systolic blood pressure, increased satiety and improvement in post-prandial and glycaemic control. This supports the notion that lupin is equally and possibly more effective among all legumes in protecting long-term health. After categorising studies by form of lupin utilised in each intervention, this review noted potential relationships between lupins in their whole form and increased satiety perception, decreased blood pressure and improved glycaemic control, whereas lupin protein and lupin fibre demonstrated strongest positive results for blood pressure and serum lipids (though from a small study base).

These results correspond with the Kouris-Blazos et al. [8] review which concluded that sweet lupins may favourably effect blood pressure, blood lipids, insulin sensitivity and the gut microbiome. The Prusinski review [11] of white lupin concurred, stating that people who experience health conditions such as diabetes, hypertension, obesity, cardiovascular disease, hyperlipidaemia and colorectal cancer may benefit from the incorporation of this legume in the diet. However, research for the review centred on physiological properties of white lupin rather than on evidence for actual health outcomes. A 2017 review and meta-analyses investigating relationships between mortality and the intake of various food groups [36] found an inverse association between all-cause mortality and increased consumption of legumes, with no further dose response after 150 g/per day.

4.1. Whole Lupin

Nutrients in foods are metabolised in the human body according to the food matrix [37]. Categorisation of studies in this review by type of lupin administration, i.e., whole lupin, its protein and its fibre component, revealed greater health benefits were observed for the consumption of the whole food. Improved health outcomes were consistent for blood pressure [25,33,35], satiety [16–18,24] and glycaemic control markers [15–17,24,26,35] in whole lupin treatment studies, indicating benefits for reducing risk and managing symptoms of hypertension, cardiovascular disease, diabetes and obesity. Although it was noted that evidence for an increased satiety effect was present, evidence was less convincing for weight loss. None of the three whole lupin studies that measured body measurements detected a significant reduction in body weight [23,34,35]. Several reasons may account for this observation. Participants followed *ad libitum* diets that were not intended for weight loss, other lifestyle factors impacting weight, such as physical activity, were not monitored, and treatment duration may have been too short to demonstrate significant change. Hodgson et al. [34] proposed that if the observed trend in weight loss after four months was extended, a significant reduction of 2 kg could be expected within two years.

The study further proposed that while *ad libitum* diets that are high in protein and dietary fibre may result in loss of body weight, the amount of protein and fibre in whole lupin may be a factor. In addition, the mostly insoluble fibre present in lupin may not be as effective as isolated soluble fibre used in many dietary fibre weight loss studies. Nevertheless, the broad health benefits proposed by whole lupin consumption suggest a synergistic interplay of macro- and micronutrient components within the whole food matrix and their influence on multiple biological functions [37], leading to improved long-term health outcomes.

4.2. Lupin Protein and Fibre Components

Protein and dietary fibre components of lupin individually demonstrated consistent evidence for lipid lowering effects [22,27–31]. These benefits were observed in hypercholesterolaemic participants, as well as in one of two studies that recruited healthy participants, yet whose average baseline total cholesterol was above 5 mmol/L [22]. Given that lipid levels did not change significantly in the lupin component study based on healthy men and women with average baseline total cholesterol below 5 mmol/L [19], this suggests lupin protein and fibre had moderating effects above this level. Evidence for blood pressure reduction from lupin component interventions with that of whole lupin does not conclusively favour its protein or fibre alone due to the paucity of studies. Serum lipids were measured by a similar number of studies across all three categories of lupin treatment. While results were variable, mostly positive effects were observed in diets that contained isolated lupin protein and lupin fibre. Substantially higher quantities of protein and fibre were provided in component trial protocols, compared with the amounts obtainable from the whole food in whole lupin studies. This may have contributed to the more consistently positive lipid outcomes in these lupin component studies. While the evidence for any health benefits from isolated lupin component consumption cannot be confirmed from so few studies, the addition of lupin protein to foods and beverages for maximising protein intake may be a useful alternative to soy and whey protein, particularly for consumers avoiding phytoestrogens and animal proteins. Similarly, lupin fibre is a gluten-free alternative for individuals with coeliac disease.

4.3. Dose Response

Indication of a dose response relationship between lupin and health outcomes was not identified due to multiple forms of lupin delivery and study methods among studies. However, one study [25] designed a dose response protocol comprising a doubled intake of whole *Lupinus mutabilis* during one intervention phase from 10 g to 20 g per day. While there was no change in glycaemic response markers between the two doses, blood pressure reduction was greatest after the increased dose phase.

4.4. Healthy vs. Unhealthy Participants Health Outcomes

Substantial evidence for health marker differences between healthy participants and those with type 2 diabetes, hypercholesterolaemia or who were overweight or obese, was unable to be determined because of the heterogeneity among studies. Having undertaken a sub-group analysis, however, one lupin fibre study [22] on generally healthy subjects found no significant effect on serum lipids among normocholesterolaemic participants (baseline total cholesterol < 5.5 mmol/L), while LDL cholesterol was significantly lowered among participants identified by study authors as ‘mild to clinically hypercholesterolaemic’ (baseline total cholesterol > 5.5 mmol/L). The investigation of whole *Lupinus mutabilis* consumption in type 2 diabetic subjects under conventional non-insulin medication found significantly reduced glycosylated haemoglobin (HbA1c) outcomes among a sub-group with less severe disease (HbA1c maintained at ≤ 8%), while no HbA1c effect was found in the remaining group that maintained HbA1C ≥ 8% and <10% [25]. Though changes in biomarkers may not be demonstrated consistently in healthy subjects, it can be supposed that lupin consumption offers protective benefits in hypercholesterolaemia and in well-controlled hyperglycaemia, if not in disease of greater severity.

4.5. Progression of Lupin and Health Outcomes Knowledge

Reflection on 16 years of lupin and health research revealed a progression from a focus on principal biomarkers for chronic disease, to a broader scope that encompassed other related health markers and possible biological mechanisms of effect. Analysis of faecal SCFA composition after lupin fibre consumption proposed that increased concentration and output of acetate and butyrate may have a protective effect on colorectal cancer risk [21]. A study on lupin fibre that observed a reduction in serum lipids in hypercholesterolaemic individuals proposed that increased bile acid excretion was not the result of bile acids binding to fibre, but a lower environmental pH from the fermentation of lupin fibre in the gut and the subsequent release of SCFAs [29]. Since the single study that focussed on lupin fibre and faecal gut bacteria was in 2006 [20], current interest and greater understanding of the gut microbiome warrants further investigation.

4.6. Strengths and Limitations

This systematic literature review was undertaken with the acknowledgement of several strengths and limitations. A major strength was the inclusion of high quality RCTs and non-randomised controlled studies that disclosed valid contextual reasons for non-randomisation. Implementation of the revised Cochrane risk-of-bias tool facilitated recognition and acknowledgement of any limitations within studies. Limitations within this review relate to potential publication bias as only research published in English language journals was targeted. Relatively few studies met the selection criteria and the participant base was limited. Furthermore, the objectives, methods and analyses of the various studies lacked homogeneity, thus precluding a meta-analysis to be performed.

4.7. Future Directions

The subject of lupin consumption and health outcomes is a relatively new area of investigation, therefore more research is required to expand the evidence base. This should comprise multiple studies with similar aims, designs and protocols based on adequately sized population groups. Studies should identify the species of lupin and test all lupin forms in quantities that could feasibly be included in a normal diet, preferably in a dose response manner. This would allow for a more accurate assessment of the evidence overall for health benefits and optimum intake. In terms of health outcome measures, those for blood lipids, blood pressure and glycaemic control would be the most useful in identifying the unique nutritional and physiological properties of lupin. Furthermore, studies that involve concurrent investigations on healthy populations and those with different degrees of disease severity will inform whether lupin consumption may be more useful as a risk-reduction strategy or in chronic disease minimisation.

5. Conclusions

This is the first systematic review to our knowledge to investigate the range of health outcomes and lupin consumption according to its mode of delivery, either as a whole food or the protein or fibre component. This review found divergent results in the effects of lupin consumption on many health marker outcomes, though greatest indications of benefit were apparent in improved satiety and reductions in blood pressure, and to a lesser extent in reductions in serum lipids and improved glycaemic control. More often, evidence was based on the whole lupin providing a broader range of health benefits than was observed in the smaller number of component studies. While the evidence for lupin's health benefits is promising, more substantial research would be required before health claims could be made. Nevertheless, its unique nutritional and physiological properties, particularly as a whole food, make it an ideal legume to include in a healthy diet.

Supplementary Materials: The following are available online at <https://www.mdpi.com/article/10.3390/nu14020327/s1>, Table S1: PICO (Population, Intervention, Comparator/Control, Outcome) framework to define the search strategy for the question: ‘Is there an effect of human lupin consumption on health outcomes?’, File S2: Search terms (MEDLINE).

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Conflicts of Interest: S.G. was employed by the Grains & Legumes Nutrition Council, a not-for-profit charity organization, during the period when the search was conducted. L.B. and A.R. declare no conflict of interest.

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Review

Legume Proteins and Peptides as Compounds in Nutraceuticals: A Structural Basis for Dietary Health Effects

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Abstract: In the current climate of food security, quality aspects of legume crops have primary market economic and health impact. Legume proteins and peptides have been discovered to have a role far beyond supplying amino acids for growth and maintenance of body tissues. Several proteins (enzymatic inhibitors, lectins, storage globulins) and peptides derived from them (lunasin, hydrophobic peptides) have shown anticarcinogenic, hypocholesterolemic, glucose-lowering, antioxidant, antimicrobial, and immunostimulant properties. Further understanding of how structural features of legume proteins affect in vivo digestion and production of bioactive sequences represents a key step in the valorization of nutraceutical potentiality of legume proteins and peptides derived from them. In this work, the relationship between structure and bioavailability of protein and peptides are reviewed and discussed.

Keywords: legume proteins; nutraceuticals; structural properties; bioactive peptides; in vivo digestibility; health effects

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1. Introduction

Legumes have a potential to add to the nutritional quality of foods and many options have been suggested for their inclusion in novel food preparation with improved nutritional and functional values.

Proteins represent one of the most concentrated nutrients in legumes, and they can be easily used as components in innovative human foods. In addition, legumes have higher protein content than cereals: therefore, they represent a primary source of amino acids for humans. Proteins extracted from legumes are an important font of proteins of plant origin, that can be consumed as an alternative to meat proteins [1].

Legumes, i.e., *Glycine max* (soybean) and *Phaseolus* species (beans), are grown in the tropical and subtropical areas of the world. It has been recognized that legumes have a primary role in the sustainability of agriculture, enhancing soil quality through nitrogen fixation.

Grain legumes (pulses) are included in the traditional diets of many countries. Indeed, dietary guidelines recommend increasing their consumption, especially in developed countries, due to their potential health benefits. They represent main sources of proteins and minerals (iron, zinc, calcium), while having a low amount of lipids, with the exception of soybean, peanut, and lupin (30–35%). Legume seeds contain low amounts of saturated fats. On the other hand, they are rich in carbohydrates (up to 60%), primarily starch, and in many vitamins (thiamine, niacin, biotin, riboflavin, folic acid). Moreover, legumes are a primary source of total dietary fiber (up to 37%) [1]. For this reason, they are a low-glycaemic food [2].

Epidemiological studies have highlighted a correlation between regular intake of legume seeds and maintenance of a good health status in humans. In meta-analyses of

prospective observational studies, consumption of legumes has been related with a low risk of coronary heart disease [3,4].

The word “nutraceutical” was coined in the United States in 1989 to define “a food, or components of a food, that provides health benefits, including the prevention and treatment of diseases” [5].

Nutraceutical properties of legumes have been ascribed to non-nutrient compounds—isoﬂavones, alkaloids, phytates, saponins—and to a number of proteins such as enzymatic (protease and amylase) inhibitors, lectins, storage proteins—as well as to peptides [1]. It is worth reminding that most of these components have originally been considered as antinutrients, because of their adverse effects on nutrient metabolism [1].

2. Grain Legume Proteins

Legume proteins have attracted interest from the food industry. Indeed, they have a low cost, and good functional and nutritional attributes [6].

Proteins are accumulated in legume seeds during development inside protein bodies (storage vacuoles) and provide ammonia, carbon, and amino acids during seed development and to proteins. Proteins represent from about 20% in most legumes to 40% in soybean seeds [1]. Storage proteins are prevailing in legume seeds.

According to Osborne classification [7] storage proteins are divided into globulins, albumins, glutelins, and prolamins. Globulins are extracted in salt solutions, albumins are soluble in water, glutelins are soluble in acids or bases, and prolamins are soluble in ethanol [7]. Globulins are predominant in legume seeds, while prolamins and glutelins are prominent in cereals seeds [8]. Legumin, vicilin, and convicilin are the globulins present in legumes. Depending on the source, seeds usually present one or two predominant types of 81 storage proteins. Seed storage proteins also include enzymes, protease 82 inhibitors (trypsin, chymotrypsin, and amylase inhibitors), lectins, defense proteins, and others.

According to their sedimentation coefficient, globulins are divided into 7S and 11S oligomeric proteins. The 7S proteins are called vicilins, the 11S proteins are named legumins. The 7S/11S ratio is variable inside seeds and is dependent on the different legume species.

In *Phaseolus vulgaris* L., the predominant globulin is phaseolin, which accounts for 50% of total protein content, while in *Vicia faba* L. the most abundant globulin is vicilin (30% of total proteins). In *Lens culinaris* L. vicilin and legumin account for 72% and 11% of total proteins, respectively. In *Cicer arietinum* L. legumin and vicilin represent 66.5% and 12% of total protein content, respectively. In addition to the 7S and 11S proteins there are also the 2S proteins (monomeric proteins) [9].

Storage proteins are mostly oligomeric proteins. The 7S globulins are typically trimers (MW about 150 kDa), while the 11S proteins form hexamers (MW about 350–400 kDa), or higher association of subunits, such as the 15–18S globulins found in soybean globulins. From these oligomeric proteins, subunits can be released under dissociating conditions or upon thermal treatment. Reassociation of subunits can result in high-molecular weight aggregates with low susceptibility to digestion [10].

Other proteins present in legume seeds have antinutritional effects. The most important are protease inhibitors (Kunitz and Bowman–Birk inhibitors) and lectins, which have presented some adverse effects on animals and humans. However, the effects of these proteins are lost after processes preceding consumption (cooking, fermentation, germination, or dehulling) [11].

Globulins contain high content of aspartic acid and glutamic acids while albumins are rich in lysine and sulfur-containing amino acids [12]. Sulfur amino acids (methionine and cysteine) and tryptophan are limiting amino acids in legumes. On the other hand, they have a high amount of lysine, a property that makes them complementary to cereal proteins.

Because most of the albumin have higher sulfur amino acid content than globulin, strategies to increase this class of proteins in the seed is relevant. Among these, increasing the proportion of embryo axis to endosperm because the percentage of albumin is higher in the axis than in the endosperm [13]. Among globulins, legumins (11S proteins) have a

higher sulfur amino acid content than 7S globulins. Therefore, increasing the legumin to vicilin ratio can result in an increase in sulfur amino acids in the seed. In the complex, plant proteins (legumes and cereals) have a lower nutritional quality than animal proteins.

Besides amino acid composition, studies focused on amino acid availability have pointed out that structural properties of plant proteins, making them resistant to gastrointestinal proteolysis, further lower the nutritional quality, because of limited bioavailability of essential amino acids [14]. Although trypsin inhibitors of many legumes are relatively high sulfur containing proteins, those of *Phaseolus vulgaris* L. and *Glycine max* L. (Bowman-Birk inhibitors) appear not to be readily digested in the rat gut [15].

Legume protein functional properties have been modified by application of several methods, such as thermal treatment, ultrasonication, and high pressure that affect protein structure [16]. In particular, high pressure caused a gradual unfolding of structure with improvement in solubility and emulsifying activity of kidney bean (*Phaseolus vulgaris* L.) isolate, due to the formation of soluble aggregates [17,18].

On the other hand, foaming properties of pea proteins were not improved by thermal treatment. An increase in hydrophobicity as a consequence of changes in structure was observed. Heat treatment induced loss of the oligomeric assembly, subunit denaturation with exposure of hydrophobic and sulfhydryl groups and reassociation into protein aggregates with modified surface properties and limited protein solubility [10,19,20].

Kidney bean and lentil protein isolates showed an improvement in solubility and emulsifying activity with the application of high pressure. However, after high pressure treatment, emulsion properties of kidney bean hydrolysate were impaired, as evidenced by secondary structure modifications (in particular, a shift in amide I and II of the infrared spectrum) [21].

When chickpea protein isolate was subjected to ultrasound treatment, an improvement in solubility, emulsifying, foaming and gel properties was observed [22].

Owing to their biocompatibility, film formation and functional (probiotics) properties, legume proteins have also been used in the encapsulation of several compounds [23]. They are effective for the preservation of probiotic and labile compounds during gastrointestinal digestion [24]. Folate, α -tocopherol, ascorbic acid, and phytase have been encapsulated in protein isolates from pea and chickpea, with 62–100% encapsulation efficiency and good release in the gastrointestinal tract [25,26].

3. Nutraceutical Properties of Legume Proteins

The health benefits of consumption of legume seeds have been reported in relation to many diseases, such as cancer, cardiovascular disease, the ageing process, immune response, diabetes, weight control, osteoporosis, digestive tract diseases, and mental health [27–29].

Small peptides, partially digested proteins and intact proteins from soybean, lupin, lentil, chickpea, pea, and the common bean, exert hormone-like activities [30,31]. Specific beneficial effects in humans include cardiovascular protection, anticancer activity, bone protection, control of weight, immune cell action, insulin sensitivity, control of inflammation and of type 2 diabetes mellitus [32–35]. Some examples of bioactive proteins and peptides derived from legume seeds are presented in Table 1.

Table 1. Legume proteins and peptides with nutraceutical properties (modified from reference [36]).

Precursor	Name/Sequence	Nutraceutical Activity
Soy trypsin/chymotrypsin inhibitor	Kunitz/Bowman Birk inhibitor	Anticancer, anti-inflammatory, weight control
Soy amylase inhibitors	α -Amylase inhibitor	Antiobesity, antidiabetic, anticancer
Jack bean haemagglutinins	Concanavalin A, Lectins	Anticancer, immunostimulant
Bean, soy storage 7S globulins	Phaseolin, conglycinin, 7S protein α' chain	Hypocholesterolemic
Soy storage 11S globulins	Hydrophobic peptides	ACE-inhibitory
Soy 2S albumins	Lunasin	Immunostimulant, anticancer, ipotensive
Lupin/soy conglutin γ	Conglutin	Hypoglycemic, hypocholesterolemic
Soy proteins	YPFVV, LPYPR, IAVPGEVA	ACE-inhibitory, antioxidant, opioid agonist
Fermented soybean	LVQGS	Antihypertensive

Enzyme inhibitors present in legume seeds have been found to be active in the control of proteases, amylases, and glycosidases. These enzymes are involved in the mechanism of defense against exogenous attack (insects and microorganisms) [37].

Once inactivated, proteins known as antinutritional factors, that is protease inhibitors and lectins, have been reported to show health effects. Protease inhibitors are active towards inflammation and cancer [38]. Regular consumption of legumes has been shown to reduce the risk of several cancers, such as colon, prostate, gastric, and pancreatic cancer. Anticarcinogenic properties have been attributed to enzymatic inhibitors, especially Bowman–Birk inhibitors (BBI) [39,40]. Soybean, lentil, and pea BBI have been found to be active in the prevention and suppression of colon, liver, lung, prostate and mammalian cancers induced by chemical and physical agents, soybean BBI being particularly effective [41].

Control of protease activity by protease inhibitors may be responsible for their anticancer power.

α -Amylase inhibitors have shown antidiabetic activity and, therefore, potential applications in the control of obesity. Similarly, α -glucosidase inhibitors have been proposed in the treatment of diabetes. Delay of digestion and absorption of carbohydrates helps in the control of postprandial hyperglycemia in the diabetic patient.

Lectins are blood grouping substances, immunomodulators and tissue markers. One property of lectins is their ability to combine with sugars and glycoconjugates. Lectins have been shown to have a role in the prevention of cancers, in the activation of immune system, and in antimicrobial and insecticidal mechanisms. In addition, they may be used in the control of obesity [42].

Hypocholesterolemic, glucose, and blood pressure-lowering actions have been reported for both proteins and peptides by in vitro and clinical studies. Conglutin γ from lupin has been demonstrated to bind insulin ($K_d = 9 \times 10^{-5}$ M), thus controlling glucose plasma levels [43].

High biological properties of protein extracts of local varieties of *Phaseolus vulgaris* L., such as antiradical, anti α -amylase, and angiotensin converting enzyme-inhibitory activity [44], as well as influence on intestinal permeability, have recently been described [45].

4. Structure–Digestibility Relationship of Legume Proteins

The structural properties of legume proteins, by imparting high stability during gastrointestinal digestion, have been reported to play an important role in their in vivo bioactivity and release of bioactive sequences [46,47].

Major structural properties of legume proteins that have been described with nutraceutical activity are reported in Table 2.

Table 2. Major structural properties of legume proteins with nutraceutical activity (modified from reference [36]).

Protein	MW (kDa)	Structure Type ^a	α -Helix (%)	β -Sheet (%)	N ^o of SS
Kunitz trypsin inhibitor ^b	21.5	Globular, monomeric	6	40–60	2
Bowman–Birk inhibitor ^b	8	Globular, monomeric	0	60	7
α -Amylase inhibitors ^c	12–60	Globular, monomeric/dimeric/tetrameric	15–30	25–60	2–5
Concanavalin A ^d	110	Globular, tetrameric	0	47	0
Phaseolin ^e	150	Globular, trimeric	16	37	0
Glycinin ^b	340	Globular, oligomeric	15	36	22
Conglycinin ^b	200	Globular, oligomeric	15	31	2
Conglutin γ ^f	200	Globular, tetrameric	15	35	24

^a In phosphate-buffered saline, pH 7.0; ^b From soybean; ^c From cereals and legumes; ^d From jack bean; ^e From common bean; ^f From lupin.

The anticarcinogenic effect of BBI towards colon cancer has been related to the native conformation of the inhibitory domain, the inhibitor being found intact in several organs (liver, lung) after ingestion [40]. In particular, trypsin and chymotrypsin inhibitors of the BBI class of both soybean and pea seeds have been found to present anticarcinogenic effects: soybean inhibitors are active toward colon, liver, lung, esophagus, and breast cancers, while pea inhibitors present anti-proliferative activity toward colon cancer [41,42].

Preservation of the conformation of conglutin γ has resulted in being a prerequisite for insulin binding and hypoglycemic activity of the protein, tested in a rat model [43]. Similar properties have been found for the basic 7S globulin, a protein with 64% identity to conglutin γ isolated from soybean seed and built up by two disulfide-bridged subunits of 27 and 16 kDa [43].

Trypsin inhibitors and lectins have been shown to be internalized by the small intestinal villi of rat [48]. These proteins are very stable during processing and gastrointestinal digestion [36].

In addition to stability conferred by disulfide bonds, hydrophobicity is known to affect the physicochemical properties (hydration, gelation, emulsification, foaming, adhesion) of plant proteins, with a consequence on both absorption and nutritional properties. Soybean protein extract showed an average hydrophobicity of 6.44 kJ per residue and control of bitterness of soy hydrolysates in relation to hydrophobicity was successful to increase their functionality.

In oligomeric storage proteins of legume seeds, stabilization conferred by hydrophobic patches between monomers is likely to decrease susceptibility to proteolysis, especially after technological processing [10]. As a consequence, essential amino acids and bioactive peptides may be imprisoned inside stable complexes that are no longer digested [36].

Other adverse effects may include immunological reactions promoted by soluble and stable protein complexes [48]. Major allergens that have been found to be responsible for sensitization are α - and β -conglutins from lupin. Peanut, lentil, and soybean allergens have also been identified. The major lentil allergen is Len c1 (a 48 kDa vicilin), while 33 proteins from soybean (7–71 kDa) have been found to be allergenic. Stability of these proteins during gastrointestinal digestion has been reported as a major cause for their allergenicity [36,49].

Fourier transform infrared spectroscopy (FTIR) has recently been employed to analyze the relationship between structure and bioavailability of food protein by examination of the amide I of the spectrum [46]. FTIR has demonstrated that the secondary structure of several plant proteins, such as legume proteins, is dominated by contributions from β -sheet conformation and, to this respect, it markedly differs from that of animal proteins, characterized by α -helix structure (Figure 1).

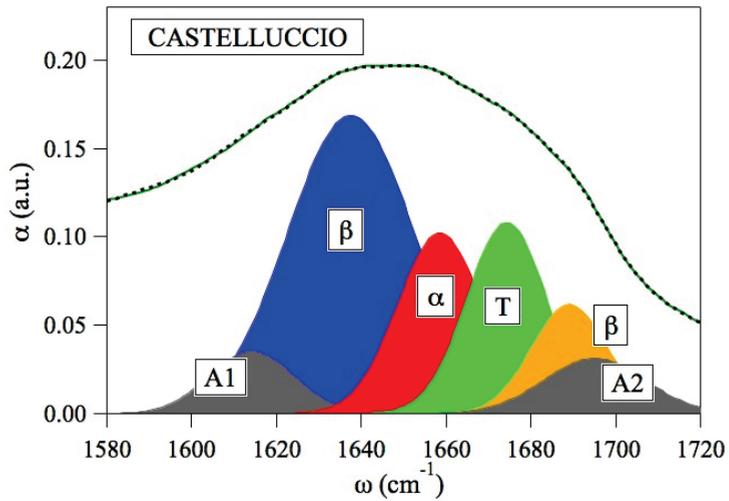


Figure 1. Analysis of proteins of Castelluccio lentil PGI (protected geographical indication) by FTIR. The amide I band was deconvoluted by gaussian contributes. A1: intermolecular aggregates; β: β-sheet; α: α-helix; T: turns, A2: β-aggregates. (Carbonaro and Nucara, personal communication).

Legume proteins presented quite a lower α-helix to β-sheet ratio than cereal proteins (0.47 and 1.1, respectively) [46]. A different α-helix to β-sheet ratio was found in a recent study on several varieties of *P. vulgaris* coming from different countries, with cannellini and borlotti varieties showing the highest values (form 0.47 to 0.56) (Figure 2).

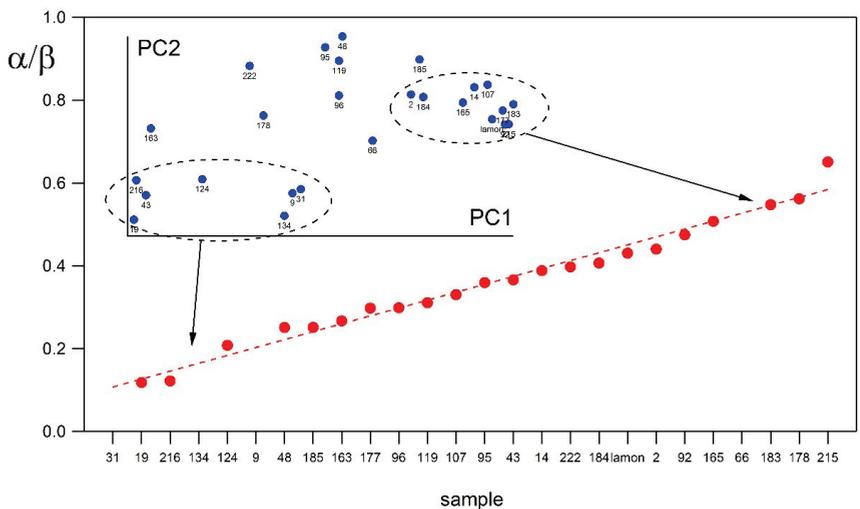


Figure 2. Ratio between percentage of α-helix and β-sheet secondary structures for common bean varieties (red points and dashed line). Dashed line is a guide for the eyes. In the inset the score-plot of PC1 and PC2 obtained from a PCA analysis on fit results is reported. (Carbonaro and Nucara, personal communication).

Moreover, β-sheet content of legume proteins has been found to account for the formation of stable intermolecular complexes upon thermal treatment. A high correlation between β-sheet content and protein digestibility has been found for food proteins and for

both native and heated legume proteins [46]. Hydrophobic amino acids (alanine, valine, methionine, isoleucine, phenylalanine), together with cysteine, have been found in the small intestinal content of rats fed with legume proteins, further supporting the role of the structural properties of these proteins on the overall nutritional quality [14].

3D structure and surface features of the Bowman–Birk inhibitor are presented in Figures 3a and 3b, respectively. It is evident that this protein is dominated by β -sheet conformation and by large hydrophobic areas on the surface. Stability is conferred by seven disulfide bridges in a small molecular weight protein (8 kDa). Other legume seed proteins (storage globulins, α -amylase inhibitors) have been shown to present similar conformational attributes. These features limit digestibility and digestion rate of the protein in the gastrointestinal tract [46,50].

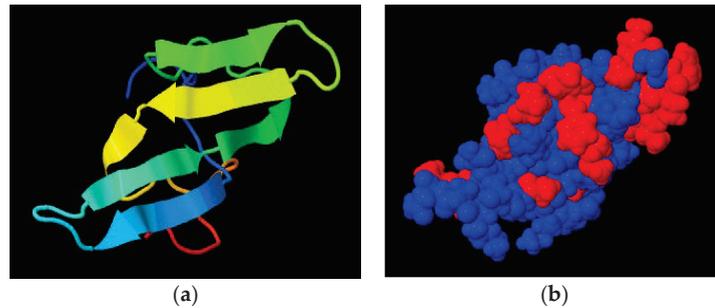


Figure 3. 3D structure (a) and surface properties (b) of soybean Bowman–Birk inhibitor as rendered by Jmol software using the coordinates available in the Brookhaven Protein Data Bank (<http://www.rcsb.org/pdb>) (accessed on 15 December 2021). Surface color mapping: blue for hydrophilic, red for hydrophobic regions.

Exogenous factors may also adversely affect digestibility of legume proteins: these include interaction with other compounds such as carbohydrates, tannins, phytates, lipid, trypsin inhibitors, and lectins [14,36].

Structural properties of legume proteins are likely to also have a role on bioavailability of some micronutrients, such as Fe.

Legume (lentil, chickpea, and pea) seed ferritin concentrates (30–45 mg Fe/100 g) have been investigated for the relationship between structure and resistance to digestion [51]. A correlation between concentration in Asx + Glx of the different legume proteins and iron content was found.

Most of the iron was released by pepsin digestion. Therefore, these iron-rich protein extracts are candidates in the production of functional foods to be used in place of inorganic iron against iron deficiency anemia. The two ferritin polypeptides showed a loose structure, as evidenced by intrinsic fluorescence spectroscopy. This property likely enabled protein degradation and iron release at low pH in the stomach [51].

In another study, determination of Cu, Fe, and protein absorption in the small intestine of rat has been carried out in single-dose experiments [52]. After thermal treatment of legume seeds, most (about 80%) of compounds was extracted in the insoluble fraction. Absorption of proteins, Cu, and Fe in this fraction was low, indicating that insolubilization negatively influences protein, Cu, and Fe absorption from legumes. Increased hydrophobicity of legume proteins after thermal treatment induced protein aggregation and precipitation into insoluble complexes.

These findings suggest that the structure of legume proteins is likely to affect bioavailability not only of essential amino acids, but also that of some micronutrients, such as Cu and Fe.

5. Structural Traits of Bioactive Peptides

Similarly to bioactive peptides from animal proteins (milk, meat), those derived from legume proteins are characterized by: (i) a short length (2–20 amino acids); (ii) proline, lysine, arginine, and hydrophobic amino acids; (iii) low susceptibility to digestion [53,54].

Proteolytic digestion of soybean 7S protein produces a pentapeptide (Leu–Leu–Pro–His–His) that has shown antioxidant activity. An Arg–Gly–Asp tripeptide has been found to be the adhesion region of soy lunasin to the cells, adhesion being a prerequisite for its anticarcinogenic properties [55].

Lunasin is a peptide with 44 amino acids and a high content in Asp, extracted from soybean 2S albumins and then isolated from cereal (wheat, barley, rye) proteins [56]. Besides lunasin, Val–Pro–Tyr and γ -glutamyl peptides from soybean also have anti-inflammatory properties [57]. Hydrophobic peptides from soybean also present anticarcinogenic properties [58].

The antioxidant activity of 28 short-chain peptides attributed to Leu–Leu–Pro–His–His have been examined: the tripeptide Pro–His–His has been shown to be active as metal chelator or radical scavenger, increasing the antioxidant properties of soy protein hydrolysate [59].

Recently, several peptides with antioxidant properties and a high amino acid score have been isolated after hydrolysis of legume proteins [60].

It has also been shown that proteins with low content of Met–Gly and Lys–Arg, i.e., soy and fish proteins, lower cholesterol level [61].

Besides specific residues, charge properties, hydrogen bonding and hydrophobicity are believed to influence both susceptibility to proteolysis and peptide absorption, besides the physiological functions of peptides.

Modern *in silico* techniques, such as quantitative structure–activity relationship (QSAR) models, consisting in analysis of homology similarity, are available for the screening of the origin of bioactive peptides [62–64]. These approaches have allowed the discovery of bioactive peptides, based on their sequence similarity.

Results from bioinformatic predictions have indicated that fragments with probability to be produced are hydrophilic and, therefore, are present at the external surface of the protein. These regions contain a high percentage of random coil (46%) and low amounts of β -sheet (17%) [65].

Bioinformatic tools, based on different algorithms, may help in predicting enzymatic hydrolysis of proteins to account for proteolytic process designs.

6. Conclusions

The structural properties of legume proteins, as also evidenced by FT-IR analysis, by imparting high stability during processing and gastrointestinal digestion, are likely to affect their bioactivity and production of bioactive peptides.

Further knowledge of the relationships between structure and bioactivity of protein and peptides from legume seeds is required to optimize their use as nutraceuticals, to increase peptide production, and to improve bioavailability of bioactive sequences. Such information may also be useful in planning strategies for eliminating the risk of adverse reactions, such as allergenicity, consequent to consumption of legumes for sensitive population groups, another aspect that has partially been related to high protein stability in the gastrointestinal tract.

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Review

Lupin Kernel Fibre: Nutritional Composition, Processing Methods, Physicochemical Properties, Consumer Acceptability and Health Effects of Its Enriched Products

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Abstract: The kernels (dehulled seeds) of lupins (*Lupinus* spp.) contain far higher dietary fibre levels than other legumes. This fibre is a complex mixture of non-starch polysaccharides making up the thickened cell walls of the kernel. The fibre has properties of both insoluble and soluble fibres. It is a major by-product of the manufacture of lupin protein isolates, which can be dried to produce a purified fibre food ingredient. Such an ingredient possesses a neutral odour and flavour, a smooth texture, and high water-binding and oil-binding properties. These properties allow its incorporation into foods with minimum reduction in their acceptability. The lupin kernel fibre (LKF) has demonstrated beneficial effects in clinical studies on biomarkers for metabolic diseases such as obesity, type 2 diabetes, and cardiovascular disease. It can be described as a “prebiotic fibre” since it improves gut micro-floral balance and the chemical environment within the colon. Thus, LKF is a health-functional ingredient with great opportunity for more widespread use in foods; however, it is evident that more non-thermal methods for the manufacture of lupin kernel fibre should be explored, including their effects on the physicochemical properties of the fibre and the effect on health outcomes in long term clinical trials.

Keywords: Lupin; processing; lupin kernel fibre; food ingredient; composition; techno-functionality; health benefits; consumer acceptability

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1. Introduction

The genus *Lupinus* consists of hundreds of species of legumes, but only a few, including the white lupin (*Lupinus albus*), the narrow-leafed or Australian sweet lupin (*L. angustifolius*), the yellow lupin (*L. luteus*), and the Andean lupin (*L. mutabilis*) are domesticated for seed production. Western Australia harvests most of the world's lupin seed (from the low alkaloid Australian sweet lupin) which is considered to be an important nitrogen-fixing rotation crop favouring sustainable cereal production. Australia's lupin seed is traditionally used for animal feed; however, current interest has shifted towards using lupin seed fractions such as flour protein concentrates/isolates and dietary fibre as human food (Figure 1). This interest may, in part, be due to the high protein and fibre content of lupin flour and the increasing body of evidence from human clinical studies of its potential to reduce the cluster of risk factors that make up metabolic syndrome. In addition, being a gluten-free, non-genetically modified option as well as low in phytoestrogen, lupin may enhance high consumer appeal.

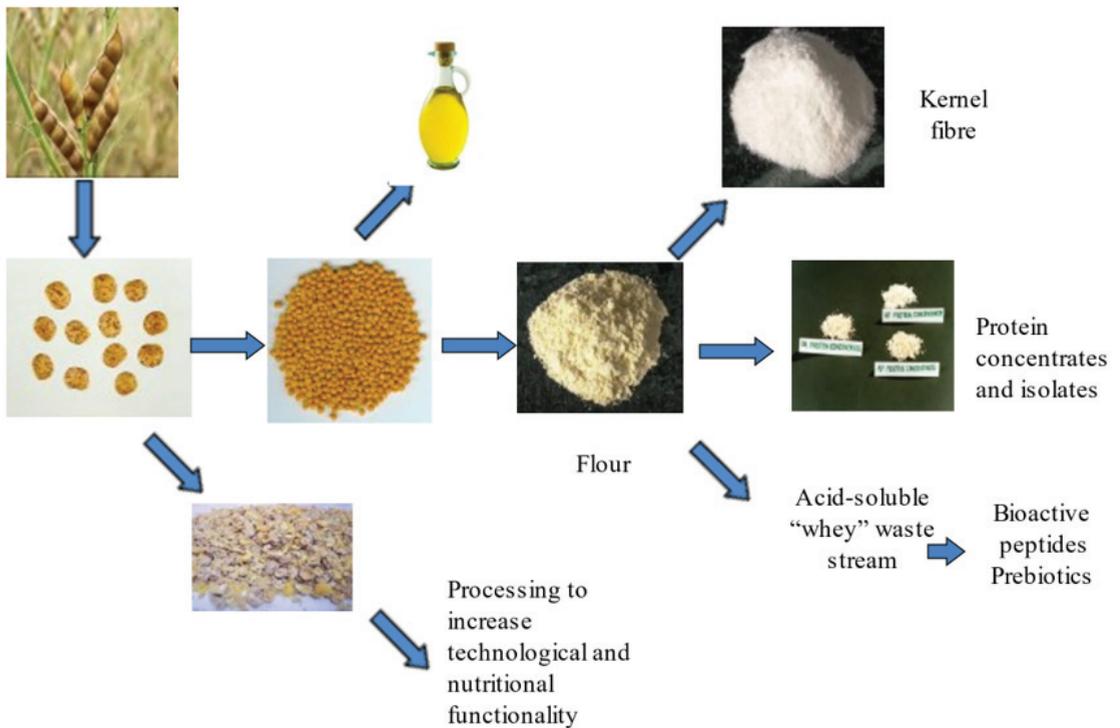


Figure 1. End products obtained from lupin seed processing.

The whole lupin seed contains very high levels of dietary fibre in comparison with those of other legumes, including soybean [1], with as much as 50 g/100 g dry basis (db).

In lupin seeds, the dietary fibre is located in the protective seed coat (hull) at 80–87 g/100 g db [2], of which half (approx. 40 g/100 g db) is contained within the kernel (endosperm) [3]. This lupin kernel fibre (LKF), in the form of thickened cell walls that serve as storage of carbohydrates for seed germination, was first investigated for its potential as a healthy food ingredient several decades ago, and now its potential commercial value is once again being recognised.

This literature review will focus on the current public domain knowledge of the composition, manufacturing methods, and techno-functional as well as health-functional properties of LKF. The drivers and barriers to its potential commercialisation as a food ingredient will also be discussed.

2. Dietary Fibre in Lupin Seeds

Lupin seeds contain both insoluble and soluble dietary fibres. The types of dietary fibres have different chemical structures, especially the level of cellulose vs. non-cellulosic polysaccharides, different physicochemical properties (e.g., water binding, water solubility and viscosity), and different effects on the gut (e.g., fermentability) and metabolism (i.e., health benefits) [4–6]. Insoluble fibres are not water-soluble nor fermented to any significant extent in the human colon; they primarily consist of cellulose, hemicelluloses, and lignin. In contrast, soluble fibres are highly water-soluble and highly fermentable in the colon, while including pectins, gums, and mucilages [7]. Regarding fibre properties and glycemic regulation, Goff et al. [8] reported that, while the viscosity of soluble fibres is well regarded to regulate glucose metabolism, insoluble fibres, despite limited viscosity, lead to improved glycemic control through four different mechanisms—delay in gastric

emptying, gut hormonal regulation, reduced activity of small intestinal digestive enzymes, and delayed absorption of sugars.

There are many excellent and comprehensive reviews on the health benefits of dietary fibre for humans, e.g., Anderson et al. [9–11]. In general, insoluble fibres can provide health benefits to the human gastrointestinal tract mainly through their bulking ability which can stimulate healthy bowel movements [10]. However, soluble fibres are generally considered to have more beneficial metabolic effects than those from insoluble fibres [9]. For example, their viscosity can help modulate nutrient digestion and absorption (e.g., blood glucose control). On the other hand, their fermentability can both (a) assist in maintaining a healthy gut microflora and (b) produce a range of metabolically beneficial fermentation products such as short-chain fatty acids that assist with cholesterol control and provide a substrate for healthy colon cell development [10,11].

2.1. Dietary Fibre of Lupin Hull

The seed coat (hull) of lupin represents about 25% of the weight of the seed and consists mainly of structural non-starch polysaccharides that are classified as insoluble dietary fibres combined with low levels of protein and lipids, minerals, and phytochemicals such as polyphenols [12,13]. The non-starch polysaccharide in the hull is primarily cellulose with only low levels of lignins (an anti-nutritional factor) [14]. This hull dietary fibre has been characterised as 96.5% insoluble dietary fibre and 3.5% soluble dietary fibre. Recently, attempts to increase the level of soluble dietary fibre in lupin hull using extrusion cooking reported that a slight increase could be achieved [12]. The lupin seed hull has been used as an ingredient in human food, such as in high-fibre bread and meat products, and as a bulking agent [13]; however, most lupin hull undergoes little if any value addition and is disposed of as waste.

The remainder of this literature review will now focus on LKF.

2.2. Dietary Fibre of Lupin Kernel

In contrast to the hull, the fibre in the lupin kernel contains more of the soluble fraction and a wider range of different classes of polysaccharides, including pectin substances, cellulose, and non-starch non-cellulosic glucans, with an absence of lignin [14]. However, the proportion of insoluble vs. soluble fibre varies widely in the literature. For instance, Naumann et al. [15] reported that the dietary fibre fraction of dehulled lupin seeds was primarily (approx. 90%) insoluble. In contrast, Turnbull et al. [16] found equal levels of insoluble and soluble dietary fibre in a purified LKF ingredient (88 g/100 g as its total dietary fibre). A reason for this could be the form in which the fibre was analysed, i.e., directly using lupin kernel flour for the fibre assay or firstly isolating the fibre before assaying its dietary fibre composition, which may have modified the cell wall construction making the constituent polysaccharides more soluble. In light of this, it is important to directly analyse the insoluble vs. soluble ratio of any lupin kernel dietary fibre ingredient processed in new or modified ways.

The dietary fibre in the kernels is in the form of thickened walls of the mesophyll cells [17,18], which mainly consist of non-starch polysaccharides and raffinose family oligosaccharides such as raffinose, stachyose, and verbascose [19,20]. More specifically, it is composed of the monosaccharides galactose (67.6%), arabinose (11.5%), uronic acids (8.1%), glucose (7.6%), and xylose (2.6%) [15]. The structure of the lupin kernel cell wall fibre is described as “1-4-linked long-chain galactans and highly branched 1-5-linked arabinans, which are linked to the rhamnosyl residues of a rhamnogalacturonan backbone” [21]. The presence of galacturonic acid backbone in the primarily insoluble cell wall fibre means that its polysaccharides structure is more similar to pectin, a soluble fibre, than to commonly found insoluble cellulosic dietary fibres [17]. Therefore, upon processing, we hypothesise that the “trapped” pectin within the cell wall changes from insoluble to being released from the cell wall matrix and thus becoming soluble.

3. Manufacture of LKF as a Food Ingredient

3.1. Processing Approaches

Lupins, owing to their high protein and dietary fibre contents, have great potential in the manufacture of plant-based food ingredients, which are currently in great demand by the food industry. However, in manufacturing lupin protein concentrates/isolates, the LKF fraction is the main by-product for which value-added commercial utilisation is still in its infancy. The aim of any fractionation is to isolate and quantify fractions of interest and eliminate unwanted components [22]. Potential methods to manufacture LKF can be split into two most common processing types; wet (chemical) processing and dry (physical) processing [23], both to separate the protein and lipid from the dietary fibre using the starting material of lupin kernel flour, flakes, or grits. In addition, enzymatic extractions or combined extraction methods can be used [24,25]. The processing method can greatly affect the composition and properties of the resultant fibre-enriched fraction in food applications and its effect on the human body [25]. This literature review will focus on a comparison between dry and wet processing approaches.

3.1.1. Wet Processing: Methods, Advantages and Disadvantages

The alkaline extraction-isoelectric precipitation method is the most common wet processing technique reported for the separation of protein and fibre of lupin kernels [26]. An example of a wet processing scenario to produce highly dietary-fibre enriched LKF is presented in Figure 2 in which the wet fibre residue is a major by-product of protein concentrate production. First, the protein is extracted from the wet-milled kernel or lupin flour at a high alkaline pH. Centrifugation then results in the protein extract (which is further processed to produce the protein concentrate/isolate) and the fibre residue. This approach provides large volumes of this high-moisture (approx. 80%) paste-like residue that has proven difficult to economically dry to a shelf-stable powder due in part to its very high water-binding properties. Spray drying or freeze-drying to produce the final dry powder ingredient has been reported in the literature [15,27,28]. However, innovation and optimisation for a commercially viable drying method are still required.

There are some drawbacks associated with wet processing for the manufacture of the lupin fractions. The major drawback of this fractionation approach is the requirement of large quantities of water, energy, and chemicals [29]. The high costs of wet processing are also due to extensive losses of solids in the acid-soluble whey (Figure 2) and the need to dry the products as well as recycle the effluents [30]. This method is also time-consuming [25]. In addition, the alkaline extraction and drying steps in wet processing may negatively impact important physico-functional properties of the fibre [26,28].

The techno-functional properties such as solubility and chemical composition of lupin fibre are affected by the extraction conditions; therefore, optimising the condition is crucial [24] to, for instance, improve the fibre yield and maintain or enhance its functionality and reduce processing times. One modified wet milling method involves much less water use and no harmful chemicals for extraction, while producing products with high purity [22].

A novel approach to overcome the issue of drying the LKF residue is to process it directly using high-temperature and high-pressure extrusion cooking that will dry, sterilise, and texturize the fibre in one high throughput and easily up-scalable process. This will give shelf-stable “extrudate pieces” that may be used as a very high fibre ingredient in foods such as breakfast cereal and muesli bars. Alternatively, the extrudate pieces can be milled to give a very high dietary fibre powder with a multitude of application possibilities [25]. The extrusion cooking techniques can improve the colour, flavour, and stability of the fibre fractions [28], as can the total dietary fibre yield [31]. Extrusion cooking, due to its high temperature, pressure, and shear force, can increase the ratio of soluble dietary fibre through the breakdown of bonds of insoluble polysaccharides, converting them into soluble fractions [32,33]. The pectin-like polymers in the cell walls of LKF thus appear as a prime target for solubilisation using extrusion cooking LKF [23]. This potential has recently been

reported by Naumann et al. [15,34], who confirmed that extrusion cooking increased the solubility, water binding, and viscosity but decreased the bile acid diffusion, indicating the cholesterol-lowering potential of fibres and thus showing great potential for producing a more health-enhancing dietary fibre ingredient.

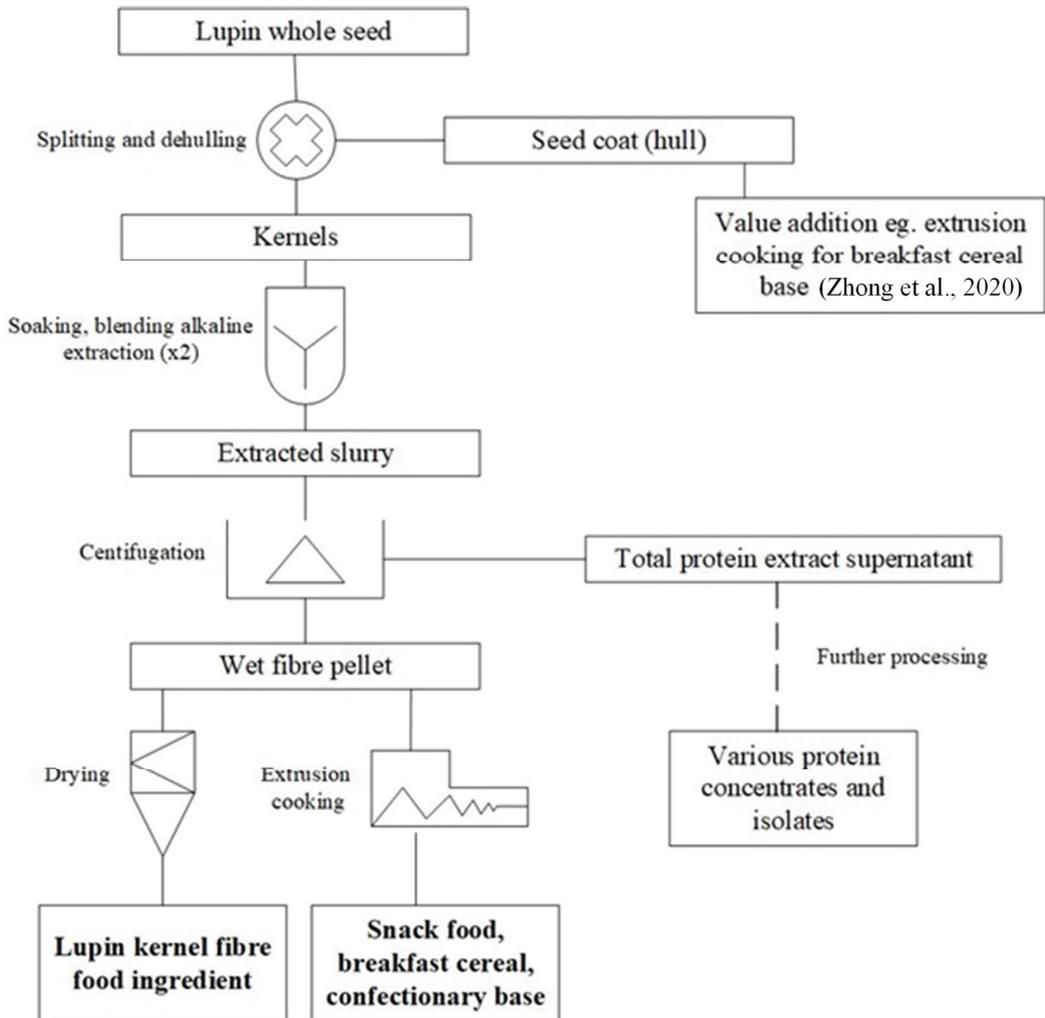


Figure 2. Conventional wet processing for the manufacture of LKF illustrating novel direct extrusion cooking [2].

3.1.2. Dry Processing: Methods, Advantages and Disadvantages

Dry processing is used to prepare fibre-enriched fractions from legumes by disintegrating seeds through the process of milling and then air classification into starch, protein, and fibre fractions [22]. For instance, pin-milling of legume seeds results in distinct populations of particles that differ in both size and density. The air classification technique is used to separate the light or fine fraction (containing mostly starches and fibres) from the coarse and relatively heavier fraction (containing mainly proteins and lipids) [35]. Air classification is repeated several times to purify further fractions [25,36]. The advantages of this dry process include: the relatively simple construction of processing plants, no wastewater production,

and minimal changes in the structure and functional properties of the components [37]. Therefore, if air classification can be used as an alternative to wet processing techniques for the production of protein and fibre fractions from lupin, it has many advantages, including low capital and labour costs, a less costly effluent disposal system, and minimal sanitation.

The use of dry fractionation to separate the protein from the fibre fraction (lupin has negligible starch content), however, has some drawbacks, including the need for many repetitions of the air classification that can lead to low product recovery [22]. It has been reported that air classification is an efficient method for fractionating legumes that have starch as their main storage material, such as peas and faba beans, but less so for lupin in which the storage of non-starch polysaccharides (cell wall fibre) is more difficult to separate from the protein leading to less purity in the final fractions [25,29]. Gueguen [36] stated that the air classification process technique does not give satisfactory results for lipid-rich seeds such as lupin, wrinkled pea, chickpea, or soybean; however, *L. angustifolius* has a relatively low lipid content compared to some other lupin species and as such the lipids may not be a hindrance to its fractionation. Particle size is critical to efficient separation in air classification; therefore, by decreasing particle size through multiple passes, the purity of the targeted fraction can be improved. The fine fraction purity, such as fibre, can also be increased by lower moisture during air classification [38,39]. Sosulski and Sosulski [30] reported that when using air classification, most of the anti-nutritional factors are recovered in the fibre fraction of legumes; however, sweet varieties of *L. angustifolius* (Australian sweet lupin) are low in alkaloids, and the anti-nutritional factors associated with some other legumes (e.g., trypsin inhibitors) are absent. It is recommended that the alkaloid content of dry fractionated LKF is tested to ensure any concentration effect has not increased its levels above the maximum permitted level of 200 mg/100 g in Australia and New Zealand [40].

Recently, the dry processing method of electrostatic separation has been reviewed for the fractionation of plant materials [41]. This method is based on the different triboelectric charging properties of materials, e.g., different contact electrification when fibre and proteins in lupin are rubbed together, allowing them to be separated between electrodes. This review cited the levels of protein purification from lupin flour by a range of dry processing methods (Table 1) that can indicate the concomitant purification of the fibre fraction [41]. The reason for showing protein purity in Table 1 was that there is no published data on the dry fractionation of lupin kernels that reports the dietary fibre purity of the high fibre fraction. However, the main constituents of the lupin kernel are dietary fibre and protein, and the dry fractionation process gives two main fractions: the “high protein” fraction and the “high dietary fibre” fraction. Therefore, if a process provides the protein with a fraction of high protein purity, it follows that the dietary fibre fraction from that process gives a high dietary fibre purity. It can be seen from Table 1 that using the current technology, electrostatic separation is not superior to air classification; however, technical improvements to the electrostatic separation methods are being researched [41]. The option of pre-concentrating with dry fractionation before the final separation with wet processing is also highlighted as a potential mixed-method approach [41,42]. Figure 3 shows a schematic diagram of how electrostatic separation can be combined with more conventional methods, which may result in increased efficiencies and purities.

Table 1. Protein enrichment of lupin by various dry fractionation and mixed (dry + wet) methods. Adapted from [39].

Method	Protein Purity (g/100 g)		Reference
	Before	After	
Air classification	40.4	59.4	[43]
Electrostatic separation	40.5	57.3	[44]
Recycling electrostatic separation (of protein concentrate)	57.3	65.1	[44]
Air classification + electrostatic separation	45.1	59.3	[45]
Dry separation + Aqueous fractionation	53.5	>80	[42]

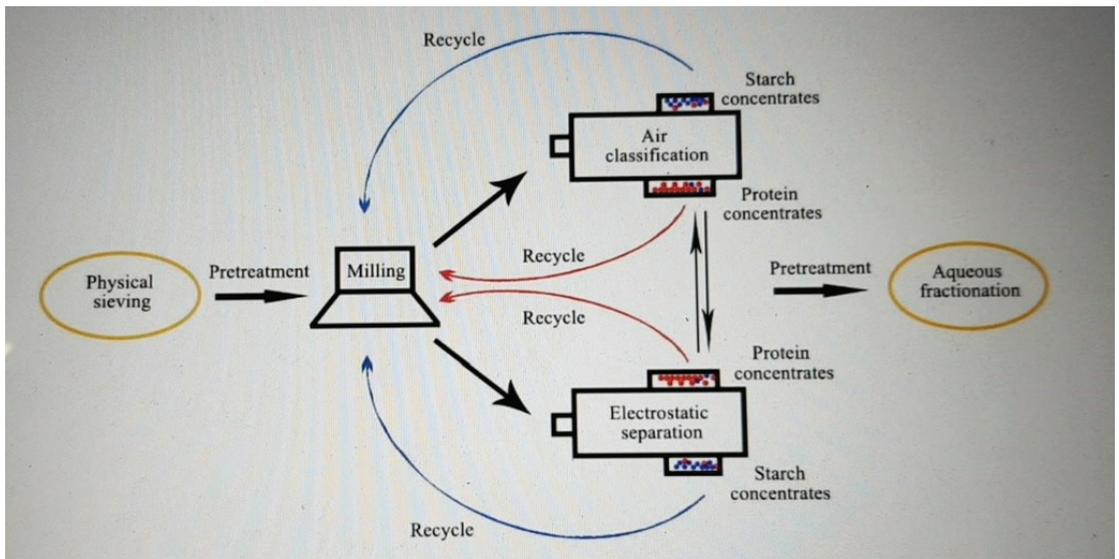


Figure 3. Examples of how electrostatic separation can be combined with more conventional separation methods. Reprinted with permission from [41]. 2022, Tong, L.-T.

4. Composition and Techno-Functionality of LKF Food Ingredients

4.1. Typical Composition of LKF Food Ingredients

Table 2 presents the composition of lupin (*L. angustifolius*) kernel fibre food ingredients reported in the literature. All these examples were produced using wet processing by alkaline extraction and acid precipitation followed by the drying of the protein precipitate. Full fat lupin was used in all of the studies except that of Fechner et al. [46]. These data show the high level of purification of the dietary fibres, the residual level of protein and to a lesser extent fat, even when the kernels were not defatted. This is because most of the fat will solubilise in the highly alkaline conditions used to dissolve the protein and will not associate with the insoluble fibre residue.

Table 2. Proximate and dietary fibre composition of LKF food ingredients reported in the literature. These were produced by alkaline extraction and acid precipitation. ¹ Full fat lupin used for manufacture, ² defatted lupin used for manufacture.

Energy kJ/100 g	Protein g/100 g	Available Carbohydrate g/100 g	Total Dietary Fibre g/100 g	Soluble Dietary Fibre g/100 g	Insoluble Dietary Fibre g/100 g	Fat g/100 g	Ash g/100 g	Reference
-	9.0	-	80.2	48.7	31.5	1.0	1.5	[46] ¹
-	-	-	77.5	-	-	-	-	[47] ²
883	5.9	<0.1	88	44.8	43.2	2.1	-	[48] ¹
-	5.7	-	77.1	8.5	68.6	2.5	1.2	[49] ¹
-	11.1	3.7	83.3	3.7	79.7	-	1.8	[50] ²

4.2. Physicochemical Properties of LKF Food Ingredients

A wide range of physicochemical properties influences the effect of adding dietary fibre ingredients into food products. These include hydration food properties, binding of fat/oil, available surface area and porosity, fibre particle size and bulk volume, and ion exchange capacity [51]. Only a few publications have reported some of these properties for LKF.

4.2.1. Colour, Odour, Flavour and Texture

Colour, odour, flavour, and texture are factors that must be considered in the application of fibre ingredients due to their impact on the sensory characteristics of foods in which they are incorporated. One aim during the manufacture of LKF is to produce a product nearly white in colour, with little odour and a neutral flavour [52]. Thus, its pale colour and low odour and flavour make LKF suitable for fibre enrichment of a wide range of foods such as dairy, baked goods, and meat products; it was dubbed an “invisible fibre” [47]. However, Stephany et al. reported non-enzymatic oxidation in LKF during storage and gave it an unacceptable odour as determined by sensory evaluation. The authors recommended preheat treatment of the lupin seed to reduce the lipoxygenase activity prior to the manufacture of the LKF. In addition, LKF has a smooth texture that makes it an excellent ingredient for fibre enrichment of food formulations [23]. In contrast, lupin kernel flour has some limitations as a fibre enrichment ingredient in foods due to its pale yellow colour and slight beany flavour [53].

4.2.2. Hydration, Water Binding and Viscosity

Hydration properties of the fibre depend on the chemical and physical structures, environmental conditions of the aqueous solution, and the different processing treatments applied to extract the fibre. Hydration terms such as water binding, water holding, and water retention are used interchangeably [51]. Analytically, the water-binding capacity (WBC) of a fibre refers to its ability to bind water and hold it under centrifugal force, with high and stable water-binding properties of fibres preferable for most food product development applications [54]. However, high water binding of lupin kernel fibres can reduce the level of water available for the development of gluten in leavened bread, preventing the full formation of the visco-elastic network in the dough needed to trap gas during fermentation; therefore, for this application, lower water-binding fibres are easier to incorporate [52]. In contrast, the high water-binding capacity of LKF could result in the production of a bread with a slower staling rate through inhibiting moisture migration in the crumb and loss through the crust; however, there is no published evidence of this potentially useful phenomenon. Fibres aid in the modification of food texture through water retention. Various food processing treatments, such as extrusion or grinding, can modify hydration properties and improve functionality [51]. The water-binding capacity of LKF at 11 mL/g dry solids is high compared with other primarily insoluble fibre types, e.g., soy kernel fibre (7 mL/g dry solids), pea hull (5 mL/g dry solids), cellulose (5 mL/g dry solids), and wheat bran fibre (4 mL/d dry solids) [16]. The high water-binding capacity of the lupin kernel results from the high level of pectin-like hydrophilic non-starch polysaccharides embedded in the cell wall structure [54].

In general, the viscosity of primarily insoluble fibre ingredients such as LKF is lower than that of soluble fibre ingredients such as pectins, gums, and β -glucans. However, amongst the primarily insoluble fibres, Turnbull et al. [16] reported a higher viscosity for LKF than for soy kernel fibre, pea hull, cellulose, and wheat bran fibre. Thus, LKF has unusual hydration properties, intermediate between those of soluble and insoluble fibres ingredients [16].

4.2.3. Oil Binding

Fat/oil binding is an important physicochemical property of dietary fibre food ingredients [51]. LKF can interact with oil in a food formulation, and according to McCleary and Prosky [54], this good oil-binding potential plus its water-binding capacity makes it an ideal additive for food preparation such as burgers, as well as a potential fat part-replacer in low-fat processed meat products [55].

4.2.4. Consumer Acceptability of Lupin Kernel Fibre-Enriched Food Products

In the development of fibre-enriched products, the sensory properties, including taste, are expected to be like the equivalent convention product. LKF can fulfil this desire as

they have a neutral colour, odour, flavour, and smooth texture that assists in the sensory acceptability of food formulations enriched with this fibre source [48,52,54]. There are only very few published studies on the sensory acceptability of foods containing LKF. As outlined by McCleary and Prosky [54], LKF can improve baking stability without affecting taste and is an ideal choice for baking-stable fillings or toppings and coatings for fried foods due to its texturizing properties and the high and stable water-binding and good oil-binding properties. Foods incorporated with LKF, such as white bread, muffin, pasta, orange juice, and breakfast bar, have demonstrated acceptable palatability in sensory evaluation trials, though some food types of the LKF variant showed lower palatability compared to the control. In this study, the products were enriched with at least 3 g/serving of the lupin kernel fibre. No changes in overall acceptability were observed for fibre enrichment at serving levels of 4.4 and 7.3 g in bread and pasta compared to 5.5, 2.9, and 5.4 g in the muffin, the orange juice, and the breakfast bar, respectively. In all foods enriched with fibre, the flavour significantly affected the overall acceptability. This means the specific food formulation with added lupin kernel fibre requires knowledge of physicochemical properties of fibres and their interactions in the food matrices and processing-induced changes [47]. In a study by Hall et al. [56], the liking of LKF-containing foods (muesli, bread, muffin, chocolate brownie, chocolate milk drink and pasta) was evaluated after repeated consumption in a dietary setting and it was reported that the fibre addition gave no severe effects on product palatability. In contrast to LKF, lupin flour has a beany flavour that can reduce the sensory attribute of products to which it is added; this may have contributed to its slow uptake in baked products due to poor sensory quality [57].

5. Commercial Examples of LKF Food Ingredients and Their Use in Foods

There are very few examples of commercially available LKF food ingredients or commercial applications of it in food products. Prolupin GmbH [58] advertises that they make a dietary fibre ingredient “from the innermost parts of the seed”, suggesting it may be LKF. The fibre is described as having a smooth mouthfeel and can be used as a “roughage” source and fat substitute, such as in meat products. However, no standard product information form was available on the web page. Prolupin also markets food products containing their ingredients under the brand “Made with LUVE” [59]; however, it was not possible from the web page to determine if LKF was used in any of their products.

6. Evidence of Health Benefits of LKF from Human Trials

A summary of the evidence of health benefits of LKF from human (clinical) trials is presented in Table 3; each study is described in more detail and recommendations for further research are presented. There are also some cell model and animal model trials giving supporting evidence to these clinical trials, but a discussion of these is beyond the scope of this review.

Table 3. A summary of the findings of clinical studies investigating effects of LKF intake on markers/physiological responses of chronic diseases.

Reference	Effect on Biomarker/Physiological Responses					
	Satiety/ Weight Loss	Blood Glucose	Blood Cholesterol	Blood Pressure	Bowel Function	Probiotic
<i>Short-term (post-meal) studies</i>						
[49]	ND	0	ND	ND	ND	ND
[55]	+ve	ND	ND	ND	ND	ND
<i>Longer term (dietary intervention) studies</i>						
[48]	ND	0	+ve	ND	ND	ND
[60]	ND	ND	ND	ND	+ve	ND

Table 3. Cont.

Reference	Effect on Biomarker/Physiological Responses					
	Satiety/ Weight Loss	Blood Glucose	Blood Cholesterol	Blood Pressure	Bowel Function	Probiotic
[61]	ND	ND	ND	ND	ND	+ve
[46]	ND	ND	0	ND	+ve	ND
[62]	ND	ND	+ve	ND	+ve	ND

+ve the lupin treatment gave significantly improved levels of the biomarker/physiological response compared to the control (non-lupin) treatment; 0 no difference in the levels of the biomarker between the lupin and the control treatment; ND biomarker not assessed, or experimental design not valid for comparison.

6.1. Metabolic Syndrome Protection

Major risk factors for the development of cardiovascular disease are: (i) obesity; (ii) elevated total cholesterol (TC) and triglycerides and low-density lipoprotein cholesterol (LDL-C) concentrations; (iii) insulin resistance, which is usually indicated by high blood glucose and insulin concentrations; and (iv) high blood pressure. The presence of some or all of these risk factors is known as the metabolic syndrome; a condition afflicting up to one quarter of the world's population. The unique properties of LKF—bioactive protein complex (Section 4.2)—could help lower these risk factors as part of a healthy diet and lifestyle.

6.2. Appetite and Body Weight Reduction

Foods that are highly satiating, in that they strongly reduce appetite after eating, may help in longer-term reduction in food intake and therefore assist with maintaining a healthy body weight. One critical study demonstrating the highly satiating effect of LKF in a post-meal setting was reported by Archer et al. [55]. In this study, 38 men consumed breakfast either with a full-fat sausage patty or a reduced-fat patty where some fat was replaced by lupin fibre. The participants reported that the LKF-containing breakfast gave higher ($p < 0.05$) perceptions of “fullness” in the post-meal period and lower ($p < 0.05$) total energy intake over the day than the full-fat breakfast even though it had lower total energy. The authors hypothesised that this potentially beneficial effect was due to a combination of high water-binding properties in the stomach and small intestine [16] and fermentation of the LKF to short-chain fatty acids in the colon [60].

The post-meal study by Archer et al. [55], however, does not provide direct evidence of the ability of LKF to help fight obesity. For this evidence, longer-term (over weeks or months) double-blind trials in overweight and/or obese participants are required in which the body weight of the participants on a diet with kernel fibre-containing foods is compared to one with control foods without the fibre. This study has yet to be reported for LKF but is highly recommended to gain crucial evidence of any “anti-obesity” properties of this fibre.

6.3. Cholesterol and Blood Pressure

High blood pressure and elevated fasting total cholesterol levels, low-density lipoprotein cholesterol and triglycerides, and low levels of high-density lipoprotein cholesterol are biomarkers of increased risk of cardiovascular disease [63]. Several studies have investigated the effect of diets containing LKF on these cardiovascular disease risk biomarkers. Hall et al. [48] found a clinically significant ($p < 0.05$) reduction in LDL-cholesterol and TC in overweight but otherwise healthy men when they consumed LKF (30 g/day) incorporated into foods compared to when they consumed the same food without the fibre addition for 28 days in a randomised cross-over study. The authors hypothesised that this beneficial effect might be due to the LKF inhibiting cholesterol re-absorption and increasing the production of short-chain fatty acids in the colon. They also noted that the residual protein in the LKF ingredient may have played a role; however, this remains unclear.

Fechner et al. [46] performed a similar study to Hall et al. [48] in participants with normal cholesterol levels but found no cholesterol-lowering effects of the LKF-containing diet. However, when they performed the study with a cohort of moderately hypercholesteremic adults, a cholesterol-lowering effect of the LKF-containing diet was found; the authors attributed this effect to increases in short-chain fatty acids butyrate and acetate found in the faeces [62].

No studies have been reported investigating the effect of LKF ingestion on blood pressure; however, several studies have shown beneficial effects on blood pressure from consumption of lupin kernel flour-containing foods in non-diabetic participants [64,65]. The authors hypothesised that the effect was due to the increased protein and fibre in the lupin flour-containing diet. In contrast, a recent similar study but in well-controlled type 2 diabetic participants found no effect of the lupin kernel flour-containing diet on blood pressure [66].

6.4. Protection from Type 2 Diabetes

Loss of control of blood glucose levels after a meal is a key risk factor for type 2 diabetes; therefore, the measurement of the blood glucose response of food after a meal compared with a standard and calculation of the food glycaemic index (GI) has become a popular technique for evaluating the “healthiness” of foods in terms of maintaining good blood glucose control. However, the GI is only applicable for foods with high levels of available carbohydrates (digestible starch and sugars), since the participant is required to ingest a test meal containing 50 g of available carbohydrates [67]. Since the level of available carbohydrates in both lupin seed/flour and LKF is very low, their GI cannot be determined [25]. Adding lupin seed/flour of LKF to food can lower its glycemic load (GL), which is the GI multiplied by its available carbohydrate content (g/per serving) divided by 100. Inclusion of low GL foods is recommended for diets to protect against type 2 diabetes [68]. There is potential that LKF, when incorporated into foods such as wheat bread, can interact with 50 g of available carbohydrates from the wheat to lower blood glucose response, and thus the GI of the bread, through its high water-binding capacity that could slow stomach emptying and inhibit starch digestion and glucose absorption in the small intestine [27]. One study has reported the post-meal effects on the glycaemic response of food with added LKF. There was no difference in the post-meal blood glucose response in 21 healthy adults with the LKF compared to the control standard white bread [49]. The lack of effect of the LKF bread may be related to its low inclusion rate (control bread 2.7% total dietary fibre; LKF bread 8.5% TDF) in order to maintain good acceptability of the LKF bread by the participants. It is recommended that further optimisation of LKF bread manufacture is performed in order to allow a higher rate of LKF inclusion whilst maintaining palatability and to then test the glycaemic response/GI of this bread. A nutrient content labelling claim for low glycaemic index and glycaemic load can be made for foods under conditions specified by the FSANZ Food Standards Code, Schedule 4 Nutrition, health, and related claims [69].

More substantial evidence for the type 2 diabetes protective effects of LKF would be its potential to reduce fasting blood glucose and/or insulin and glycosylated haemoglobin (a marker of chronic high blood sugar levels) after consuming food containing the fibre compared to control foods without the fibre for weeks or months. One study by Hall et al. [48] reported no reduction ($p > 0.05$) in fasting glucose and insulin in 38 overweight men who consumed diets containing food with and without LKF in a cross-over design. Further studies on the effect of LKF ingestion in participants with elevated fasting blood glucose (pre-diabetic) are warranted.

6.5. Potential Gastrointestinal Health Benefits

The great importance of the microbiological ecology of the colon and the role of colonic bacterial fermentation of the dietary fibre for health is now fully recognised. This has recently been comprehensively reviewed with respect to the effects of whole grains and

their fibre fractions consumption by Seal et al. [70]. There is good evidence from several independent studies that LKF consumption can beneficially affect microbiological (i.e., the balance of “good” probiotics and “bad” potential pathogens) and chemical markers of good bowel health and function, and thus be classified as a ‘prebiotic’ food ingredient.

Several studies have provided important evidence that LKF can act as a prebiotic ingredient and promote the growth of desirable gut bacteria while supporting digestive system function. For example, a study by Smith et al. [61] reported reduced faecal levels of Clostridia bacteria (potential pathogens) and increased levels of Bifidobacterium (beneficial probiotics) in 38 overweight but otherwise healthy men after consuming a 28-day diet incorporating food containing LKF (approx. 28 g of LKF per day) compared with a diet containing control foods with no LKF.

Improved markers of healthy bowel function (e.g., reduced transit time) and increased levels of health-protective compounds in the faeces (e.g., increased levels of the short-chain fatty acid butyrate, a substrate for healthy colonic cell development) are noted [60]. Similarly, Fechner et al. [46,62] also reported that adding LKF to the diet improved bowel function and faecal chemistry makers.

7. Conclusions

Dietary fibre is a major fraction of the lupin kernel, so its utilisation is critical when manufacturing food ingredients from lupin. As a food ingredient with a light colour and little flavour and aroma, LKF can act as an “invisible” fibre source to boost the fibre levels in foods, thus allowing dietary fibre nutrient content claims. Sensory evaluation trials performed with foods incorporated with LKF (at least 3 g/serving), such as white bread and pasta, demonstrated a higher acceptable palatability. In contrast, the muffin, orange juice, and breakfast bar showed lower palatability than the control. This implies that the fibre physicochemical properties and their interactions with the food systems become crucial for product formulations. In addition, the high water-binding properties of the fibre are a commercial barrier to drying it to a stable powder food ingredient. Therefore, investigations into an alternative drying approach such as extrusion cooking of the wet fibre into high fibre extrudates are suggested.

Current evidence suggests that LKF, with its unique non-starch polysaccharide structure, may have potential health benefits in the human diet, related to satiety, blood cholesterol levels and prebiotic activity; however, further independent research studies are required before health claims for this fibre can be made. LKF appears to have commercial potential as a new dietary fibre, but there are currently few, if any, commercially available LKF food ingredients.

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Article

Legume Intake Is Associated with Potential Savings in Coronary Heart Disease-Related Health Care Costs in Australia

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Abstract: Legume intake has been associated with lower risk for a number of chronic disorders of high financial burden, and is advocated by dietary guidelines as an important part of healthy dietary patterns. Still, the intake of legumes generally falls short of the recommended levels in most countries around the world despite their role as an alternative protein source. The aim of this study was to assess the potential savings in costs of health care services that would follow the reduction in incidences of coronary heart disease (CHD) when adult consumers achieve a targeted level of 50 g/day of legumes intake in Australia. A cost-of-illness analysis was developed using estimates of current and targeted legumes intake in adults (age 25+ y), the estimated percent reduction in relative risk (95% CI) of CHD following legumes intake, and recent data on health care costs related to CHD in Australia. A sensitivity analysis of ‘very pessimistic’ through to ‘universal’ scenarios suggested savings in CHD-related health care costs equal to AUD 4.3 (95% CI 1.2–7.4) to AUD 85.5 (95% CI 23.3–147.7) million annually. Findings of the study suggest an economic value of incorporating attainable levels of legumes within the dietary behaviors of Australians. Greater prominence of legumes in dietary guidelines could assist with achieving broader sustainability measures in relation to diet, helping to bring together the environment and health as an important pillar in relation to sustainability.

Keywords: legumes; coronary heart disease; health care cost; cost-of-illness analysis; nutrition economics

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1. Introduction

Legumes are increasingly the focus of discussions related to the future food supply due to their sustainability credentials and unique nutritional profile. Research suggests that although there is widespread promotion through food-based dietary guidelines, global intake patterns vary greatly. Whereas the term ‘legumes’ is the most inclusive word for the group of foods from the Fabaceae (or Leguminosae) botanical family and is mentioned in 94 guidelines, fewer (n = 87) choose to depict legumes, beans, peas, or pulses only in the accompanying visual guide (unpublished data). Dietary guidelines act as a guide aimed at desirable intake for helping achieve and maintain health and although the extent to which dietary guidelines represent the range of foods normally consumed could be argued, however, the inclusion of the legume food group is well supported in the scientific literature. Relevant health outcome studies have focused on glycaemic control, blood pressure, and chronic disease [1–3], and although a unified daily target is lacking [4], studies support the inclusion of legumes at a dose of at least 50 g/day [5]. Furthermore, the risk of all-cause mortality has been shown to decrease by ~16% with increasing intake of legumes up to 150 g/day [6], with one 50 g serving possibly resulting in a 10% risk reduction in all-cause mortality. Intake globally is less than half that target at 21 g/day [7]. The lowest intake was recorded in Uzbekistan, with less than 1 g/day for adults over 25 years, and the highest in

Rwanda at 115.8 g/day according to the 2019 Global Burden of Disease Study [8]. Greater emphasis and perhaps repositioning of legumes in dietary guidelines may be required to encourage intake for health, environmental and economic benefits [9,10].

The economic benefits of pulses intake have been examined in Canada, but rather than 50 g/day, 100 g was modeled to suggest possible annual savings equal to Can\$38–370 million in health care and related costs of type 2 diabetes- and cardiovascular disease combined [11]. Our previous nutrition economics research has assessed whole grains [12,13], but the combination with legumes is an important consideration, providing a complement of amino acids, which is important in plant-based and flexitarian dietary patterns. However, limiting the potential of legumes as a replacement for meat is also debated. In an examination of 94 food based dietary guidelines (FBDG), yet to be published, we found the classification of legumes highly variable, and while 38% of countries categorized legumes in the protein rich food group, 20% were in a group on their own and 15% were in the starchy staples group. Regardless of the categorization, the inclusion of legumes in FBDG is essential, and the specific phrasing even more so. The addition of legumes to the vegetable and the meat group is utilized in the Australian guidelines, however, the addition of ‘legumes and/or beans’ at the conclusion of each guideline statement is thought to do little in terms of inspiring intake [9].

As the body of evidence is relatively small for legumes, providing evidence of the health care cost savings based on the regular inclusion in diets could help guide decisions about the positioning and emphasis in dietary guidelines. Fifty grams per day, or 350 g over a week may be reasonably added to diets, considering that an international collaboration suggested a universal 100 g serve (or $\frac{1}{2}$ cup) [4]. The aim of this study was to estimate the annual health care cost savings related to the inclusion of 50 g/day of legumes relevant to reductions in coronary heart disease (CHD) in adult Australians.

2. Materials and Methods

2.1. Study Design

A three-step cost-of-illness analysis was developed on the basis of (1) estimates of current per capita [8] and a targeted level of legumes intake [6] among Australian adults (age 25+ y), (2) estimates of percent reductions in relative risk (95% CI) of CHD following legumes intake [14], and (3) recent data on annual costs related to CHD management within the Australian health care system [15]. To assess the uncertainty factor, a sensitivity analysis of four scenarios (very pessimistic, pessimistic, optimistic, and universal) was conducted, as modelled previously [12,13]. Input parameters are summarized in Table 1.

Table 1. Summary of the cost-of-illness analysis input parameters and corresponding references.

Parameter	Men and Women	Reference
Current per capita legumes intake, g/day	19.3	Global Burden of Disease Study [8]
Target legumes intake, g/day	50	Schwingshackl et al. [6]
Gap amount, g/day	30.7	
Proportions of prospective consumers ¹	5%, 15%, 50%, 100%	Estimates
CHD relative risk (95% CI) per 100 g/day legumes intake, no. of studies	0.89 (0.81–0.97), n = 10	Bechthold et al. [14]
CHD% risk reduction (95% CI) per 30.7 g/day legumes intake ²	–3.4% (0.9–5.8)	

Abbreviations: CHD, coronary heart disease. ¹ Estimates of proportions of Australian adults (age 25+ y) who would increase their current estimated per capita legumes intake (19.3 g/day) to the targeted level of 50 g/day over the short term (very pessimistic), short-to-medium term (pessimistic), medium-to-long term (optimistic), and long term (universal) scenarios. ² Percent risk reduction (95% CI) per 30.7 g/day was calculated based on the summary relative risk (95% CI) values per 100 g/day by Bechthold et al. [14] assuming a linear relationship.

2.2. Step 1: Employing Estimates of Current per Capita and Targeted Level of Legumes Intake

Any public health model that attempts to assess a potential benefit of healthy dietary patterns should consider the consumer's perception and behavior in the marketplace and at the dining table. Based on the 2019 Global Burden of Disease data [8], in the first step of this analysis, the current estimated per capita legumes intake of 19.3 g/day for adults (age 25+ y) was compared to a targeted level of 50 g/day [6] and a calculation was built on estimates of proportions of Australian adults (age 25+ y) who are likely to increase their legumes intake by the gap amount of 30.7 g daily. As previously [12,13], here, the sensitivity analysis assumed very pessimistic, pessimistic, optimistic, and universal scenarios to represent 5%, 15%, 50%, and 100% (all) of prospective consumers who would reach the targeted daily level of legumes intake in the short term, short-to-medium-term, medium-to-long-term, and long-term, respectively.

2.3. Step 2: Establishing Percent Reductions in Relative Risk of Coronary Heart Disease with Legumes Intake

Only a few meta-analyses of prospective cohort studies have recently assessed the relationship between legumes intake and CHD as a hard endpoint. The majority of these studies only report data on highest vs. lowest intakes [16–18], with no specific serving sizes (i.e., g/day amounts) provided thereof, and some only examine specific types of legumes (e.g., soy) or legumes intake within the context of certain dietary patterns such as the Mediterranean diet [19]. It appears that at this stage the evidence on such a relationship, and related health benefits thereof, is moderate overall. Upon a keyword search for the relevant English-language literature on PubMed, in the second step of the analysis, the dose-response figures by Bechthold et al. [14] of ~10% reduction in CHD risk with 1 serving intake were utilized. The systematic review and dose-response meta-analysis of prospective studies included 123 reports (8 studies on non-linear dose-response association between legumes intake and CHD risk) and suggested a summary relative risk (RR) per 1 serving (~100 g/day) equal to 0.89 (95% CI 0.81–0.97) [14]. Similar rates of risk reduction were reported by other meta-analyses that only provided evidence on highest vs. lowest intakes [6,20,21]. Building on this while assuming a linear relationship, a 3.4% (95% CI 0.9–5.8) reduction in CHD risk per 30.7 g/day of legumes intake was established and utilized in the final step of the analysis.

2.4. Step 3: Calculating Annual Savings in Direct Health Care Costs Related to Coronary Heart Disease in Australia

The third and final step of the analysis calculated the annual savings in CHD-related costs within the Australian health care system that would potentially follow the targeted level of legumes intake (Step 1) and the estimated reduction in CHD risk (Step 2). As previously described [12,13], the most recent estimates of direct health expenditure (the year 2018–2019) reported by the Australian Institute of Health and Welfare (AIHW) [15] were first inflated to the year 2022 equivalent levels, based on adjustment of rates according to the Australian Bureau of Statistics (ABS) Consumer Price Index (Health group) [22] (Table 2), and then utilized within arithmetic calculations where components of the cost categories were examined individually for assessment of savings, with 1% reduction in costs assumed to correspond to each 1% decrease in CHD risk. Additionally, as previously outlined [12,13], using the net present value equation, a 7% real discount rate was applied to the sum of savings in present day costs related to CHD management to assess the discounted value of different scenarios of legumes intake over a 20-year time frame at five-year increments after the year 2022 (year 0).

Table 2. Summary of coronary heart disease direct health expenditures in Australia (AUD million), age 25+ y population ¹.

	Coronary Heart Disease	
	2018–19	2022 ²
Direct health expenditure		
Allied health and other services	1.8	1.9
General practitioner services	71.6	77.6
Medical imaging	23.3	25.3
Pathology	21.2	23.0
Pharmaceutical benefits scheme	155.1	168.2
Private hospital services	892.2	967.2
Public hospital admitted patient	823.0	892.2
Public hospital emergency department	103.8	112.5
Public hospital outpatient	142.6	154.6
Specialist services	101.2	109.7
All areas	2335.8	2532.1

Abbreviations: AUD, Australian dollar. ¹ From the Australian Institute of Health and Welfare (AIHW) disease expenditure database (2018–2019) [15]. ² Current dollars based on adjustment of inflation rates according to the Australian Bureau of Statistics (ABS) Consumer Price Index (Health group) [22].

3. Results

Savings that could be predicted in CHD-related health care costs when legumes intake is increased from the current per capita level of 19.3 g/day to the 50 g/day target level across proportions of the Australian adult population are summarized in Table 3. Under the very pessimistic scenario, assuming a 5% uptake rate over the short term, our analysis predicted total health care savings equal to AUD 4.3 (95% CI 1.2–7.4) million in CHD cost annually. With a 15% uptake rate over the short-to-medium-term and a 50% uptake rate over the medium-to-long-term, the pessimistic and optimistic scenarios suggested cost savings equal to AUD 12.8 (95% CI 3.5–22.2) million and AUD 42.8 (95% CI 11.7–73.8) million, respectively. And, under the universal scenario, assuming a 100% uptake rate and long-term estimate of potential savings with the targeted increase in legumes intake, some total annual health care savings of AUD 85.5 (95% CI 23.3–147.7) million may be realized in avoided CHD costs.

Table 3. Potential annual savings in direct health expenditures of coronary heart disease in Australian adults (age 25+ y) with 50 g/day legumes intake (AUD million) ¹.

	Scenario			
	Very Pessimistic	Pessimistic	Optimistic	Universal
Direct health expenditure savings				
Allied health and other services	<0.1 (<0.1–<0.1)	<0.1 (<0.1–<0.1)	<0.1 (<0.1–0.1)	0.1 (<0.1–0.1)
General practitioner services	0.1 (<0.1–0.2)	0.4 (0.1–0.7)	1.3 (0.4–2.3)	2.6 (0.7–4.5)
Medical imaging	<0.1 (<0.1–0.1)	0.1 (<0.1–0.2)	0.4 (0.1–0.7)	0.9 (0.2–1.5)
Pathology	<0.1 (<0.1–0.1)	0.1 (<0.1–0.2)	0.4 (0.1–0.7)	0.8 (0.2–1.3)
Pharmaceutical benefits scheme	0.3 (0.1–0.5)	0.9 (0.2–1.5)	2.8 (0.8–4.9)	5.7 (1.5–9.8)
Private hospital services	1.6 (0.4–2.8)	4.9 (1.3–8.5)	16.3 (4.5–28.2)	32.7 (8.9–56.4)
Public hospital admitted patient	1.5 (0.4–2.6)	4.5 (1.2–7.8)	15.1 (4.1–26.0)	30.1 (8.2–52.0)
Public hospital emergency department	0.2 (0.1–0.3)	0.6 (0.2–1.0)	1.9 (0.5–3.3)	3.8 (1.0–6.6)
Public hospital outpatient	0.3 (0.1–0.5)	0.8 (0.2–1.4)	2.6 (0.7–4.5)	5.2 (1.4–9.0)
Specialist services	0.2 (0.1–0.3)	0.6 (0.2–1.0)	1.9 (0.5–3.2)	3.7 (1.0–6.4)
All areas	4.3 (1.2–7.4)	12.8 (3.5–22.2)	42.8 (11.7–73.8)	85.5 (23.3–147.7)

Abbreviations: AUD, Australian dollar. ¹ Data (95% CI) are potential monetary savings following coronary heart disease risk reduction with 50 g/day intake of legumes (Table 1). The very pessimistic, pessimistic, optimistic, and universal scenarios are modeled to represent short term, short-to-medium-term, medium-to-long-term, and long-term of estimates of potential savings in CHD-related health care costs that could follow when, respectively, 5%, 15%, 50%, and 100% of Australian adults (age 25+ y) consume the targeted daily level of legumes.

As shown in Table 4, with a 7% discount rate, as per the Australian Governments recommendations [23], the sensitivity analysis of ‘very pessimistic’ through to ‘universal’ scenarios suggested total discounted savings in CHD-related health care costs of AUD 48.5 (95% CI 13.2–83.7) million to AUD 969.3 (95% CI 264.4–1674.3) million following the 50 g/day intake of legumes over a 20 year period (from 2022 through to 2041). Additionally, assuming adoption of each of the four scenarios every five years, i.e., the very pessimistic during years 0–4, pessimistic during years 5–9, optimistic during years 10–14, and universal scenario during years 15–19, the sum of total incremental discounted savings was estimated at AUD 290.2 (95% CI 79.1–501.3) million over the 20-year time frame.

Table 4. Sum of potential total discounted savings on direct health care expenditures of coronary heart disease in Australian adults (age 25+ y) with 50 g/day legumes intake over short- and long-term periods (AUD million) ¹.

	Scenario			
	Very Pessimistic	Pessimistic	Optimistic	Universal
Years 0 to 4	18.8 (5.1–32.4)	56.3 (15.3–97.2)	187.6 (51.2–324.0)	375.2 (102.3–648.0)
Years 5 to 9	13.4 (3.6–23.1)	40.1 (10.9–69.3)	133.7 (36.5–231.0)	267.5 (72.9–462.0)
Years 10 to 14	9.5 (2.6–16.5)	28.6 (7.8–49.4)	95.4 (26.0–164.7)	190.7 (52.0–329.4)
Years 15 to 19	6.8 (1.9–11.7)	20.4 (5.6–35.2)	68.0 (18.5–117.4)	136.0 (37.1–234.9)
Total discounted savings	48.5 (13.2–83.7)	145.4 (39.7–251.1)	484.7 (132.2–837.1)	969.3 (264.4–1674.3)

Abbreviations: AUD, Australian dollar. ¹ Data (95% CI) are potential total discounted monetary savings following coronary heart disease risk reduction with 50 g/day intake of legumes. The very pessimistic, pessimistic, optimistic, and universal scenarios are modeled to represent short term, short-to-medium-term, medium-to-long-term, and long-term of estimates of potential savings in CHD-related health care costs that could follow when, respectively, 5%, 15%, 50%, and 100% of Australian adults (age 25+ y) consume the targeted daily level of legumes.

4. Discussion

This cost-of-illness analysis supports a greater focus on legumes as a regular inclusion in the dietary pattern and demonstrates a predicted substantial savings for the Australian health care system in relation to costs for CHD from AUD 4.3 (95% CI 1.2–7.4) to AUD 85.5 (95% CI 23.3–147.7) million per year. Naturally, these figures are lower than the approximately \$370 million in the Canadian study from 2017, which was based on an analysis of both type 2 diabetes and cardiovascular disease, at a level where 50% of the Canadian population would consume 100 g of legumes per day, in combination with a low glycaemic index or high fiber diet [11]. In consideration of the current Australian dietary pattern, and known lower current levels of consumption compared with Canada, the present economic analysis was based on the dose response data of 50 g (1/4 cup) of legumes per day by Schwingshackl et al. 2017 [6] who utilized the smallest serving with significant results for risk-decreasing foods in a systematic review and meta-analysis of prospective studies. The authors found that there was an absence of a linear association between legumes and all-cause mortality risk, with the nonlinear analysis showing ~16% reduced risk of all-cause mortality when consuming up to 150 g/day. However, this amount was considered unrealistic as a daily target for Australia, where the latest estimates from 2019 suggest 19.3 g/day [8]. Although 100 g/day was considered an unrealistically high daily target in light of current consumption and typical dietary patterns, we did calculate the potential yield savings in annual health care costs based on a target of 100 g of legumes per day, and thus a gap of 80.7 g/day compared to consumption estimates, as AUD 11.2 (95% CI 3.1–19.4) to AUD 224.8 (95% CI 61.3–388.3) million. This was more than double the savings predicted from reaching 50 g per day over time (Appendix A).

International serve size guidance has suggested 100 g or half a cup of cooked legumes [4], rather than 75 g (as a serving of vegetables) or 150 g (as a serving of lean meat) in the Australian Guide to Healthy Eating. However, this amount may still not be relevant as a daily target for Australians, although the amount (1/2 cup) could easily be consumed

at a meal. The more reasonable target of 50 g/day (1/4 cup) indicates that our results may have further attributable savings, as consumers would not need to consume the food daily, yet could easily consume 350 g over one week. Increased consumption of legumes was supported through the dietary modeling performed for the purpose of informing the 2013 Australian Dietary Guidelines (ADGs), which recommends a 470% increase to meet the levels proposed [24].

Although determining the frequency of intake for legumes over a week presents more of a challenge than serving size, this analysis supports an achievable food volume for daily or weekly consumption. The main concern is setting a target being that amounts need to feasibly align with the cultural acceptability of a food, routine dietary patterns, and the infrastructure of the food systems [4,25]. Australian research points to consumers being receptive to increasing their intake, and “consumer attitudes towards legumes were positive, particularly in relation to their perceived health attributes” [10]. Others have found that knowledge of health benefits assisted consumers with planned dietary changes particularly “after reading the informational messages, 25–42% of all the participants said they planned to eat more legumes in the future” [26]. Favorable research has also been documented by Rööös et al. (2022) [27] where “legumes were generally considered healthy and suitable in diets and many respondents stated an intention to increase consumption” and although they do not use them regularly, many consumers in New Zealand were also open to considering legumes as meat substitutes [28].

Legume consumption in the ADGs is promoted via the statements “consume plenty of vegetables, including different types and color, and legumes/beans” and “consume lean meat and poultry, fish, eggs, tofu, nuts and seeds, and legumes/beans” [29]. The inclusion of legumes as part of both the vegetable and meat alternatives groups aims to encourage visibility and consumption, however, there are equally valid concerns that legumes, in being included in both groups, do not give them the prominence they deserve. There have been suggestions that individualizing advice for the vegetable group, in particular for legumes, may improve consumer understanding and consumption [4,30,31]. A recent publication examined consumer preferences regarding the categorization and wording of both whole grain and legume statements in dietary guidelines ($n = 314$) [9]. When asked what would be helpful in achieving an increase in legume intake, the majority of participants preferred legumes to feature in their own food group (45%), and fewer suggested as part of the protein group (22%). When asked about wording, there was a significant preference for the statement “each day, consume at least one serve of legumes either as a serve of vegetables or as an alternative to meat” ($p < 0.05$). This statement provides both a specific frequency and quantification for legume consumption. Throughout the study, participants emphasized a preference for quantifiable recommendations expressed in cup measures, stating that “grams were less relevant and poorly visualized” [9].

In the planned revision of current ADGs, there has been a call to reflect environmental sustainability objectives as an opportunity to bring together environmental and population health goals [32,33]. A global shift towards plant-based foods, in their most natural form, would be considered beneficial for the health of humans and the planet as a dietary strategy [33,34]. Reflective of this, Canada’s dietary guidelines have recently shifted towards more of a plant-based diet, emphasizing and clearly depicting vegetables and fruits, whole grain food choices, and protein foods, including legumes together with meat, eggs, lower fat dairy, nuts, and seeds [35].

The changing food supply, increasing the number and type of plant-protein products, and legumes in other forms such as flour, pasta, snack food, bread products, convenient lunch portions, alternative packaging to traditional canned, and dried forms [36] may do more to stimulate intake than government guidelines per se. When used as an ingredient though, legumes within the dietary pattern become far more difficult to identify and distinguish in intake studies, even though complex methods of data collection are used at the national level. Plant-based meats are another obvious opportunity for legumes, however, the value of extracted protein from legumes, would need to be evaluated in

comparison to the research supporting whole legume intake, as the nutrient profiles in these more processed foods are significantly altered. Research to date has found that of 137 plant-based meat alternatives available on Australian supermarket shelves only 4% were low in sodium (58–1200 mg/100 g), and less than a quarter of products (24%) were fortified with vitamin B₁₂, 20% with iron, and 18% with zinc [37].

The analysis presented is based on a data-driven approach utilized previously [11–13], where nationally representative data was utilized, increasing the validity of the results. However, we were aware that the cost data was from 2018–2019, so this was inflated to accommodate the age of the data. We also utilized a discounted method [38] to accommodate time, as reported by the Australian Government. A meta-analysis of prospective data pertaining to CHD was used in preference to a similar study of randomized controlled trials in order to obtain overall disease outcomes. The risk reduction ranged from our chosen reference utilized (RR: 0.81–0.97), which approximates the RR from a meta-analysis of RCTs based on the Mediterranean diet and Cardiovascular Disease (CVD), where legumes were one of four dietary components with the most positive effects along with olive oil, vegetables and fruit (RR: 0.91; 95% CI: 0.83, 0.98; $I^2 = 33%$) [19]. It is important to note that our analysis assumed a linear relationship that has not been shown to occur in nature [6]. Although changes in diet would not be immediate in terms of disease reduction, periods of one to three years lag time could be expected before individual or population benefits would be realized [39]. Adjustment for this time lag was not considered in our model, however, policy makers should not be dissuaded and view dietary change positively in light of the potential cost savings that could be realized through such very small changes. Finally, our sensitivity analysis was based on population-based adoption over time and utilized the reported risk reduction range from Bechtold et al. [14], however, effect sizes from observational studies have been shown to be more generous than randomized controlled trials [40], although these tend to report biomarkers rather than disease outcomes. This should be kept in mind when interpreting the analysis presented.

5. Conclusions

Nutrition economic analyses provide key support to directing efforts of population-based initiatives in relation to nutrition, dietary patterns, and health outcomes. The analyses combine metrics relevant to the specific population, incorporating known measures of consumption, and known health care costs, together with published risk reduction evidence, providing logical support and guidance for policy. This analysis is limited to capturing the impact of just one food type on one disease with substantial predicted savings annually and supports earlier work by our group on other key food groups. The potential economic benefits of incorporating legumes within the dietary patterns of Australians should provide greater impetus to promote this food group, consumed as part of a diet low in saturated fat and high in dietary fiber, in a more overt manner as part of revised dietary guidelines. But for Australians, this food could also assist with achieving broader sustainability measures in relation to diet, helping to bring together the environment and health as an important pillar in relation to sustainability. Although the plant-based protein movement seeks to view legumes in terms of nutrient components, protein, and perhaps dietary fiber, it is our assertion that food synergy, and the complex relationships within the food matrix, mean that the legume would be ideally consumed whole. Whole legume products are already plentiful within the Australian food supply in dried, frozen, canned, oven roasted, and as the main ingredient in dips.

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Appendix A

Table A1. Potential annual savings in direct health expenditures of coronary heart disease in Australian adults (age 25+ y) with 100 g/day legumes intake (AUD million)¹.

	Scenario			
	Very Pessimistic	Pessimistic	Optimistic	Universal
Direct health expenditure savings				
Allied health and other services	<0.1 (<0.1–<0.1)	<0.1 (<0.1–<0.1)	0.1 (<0.1–0.1)	0.2 (<0.1–0.3)
General practitioner services	0.3 (0.1–0.6)	1.0 (0.3–1.8)	3.4 (0.9–5.9)	6.9 (1.9–11.9)
Medical imaging	0.1 (<0.1–0.2)	0.3 (0.1–0.6)	1.1 (0.3–1.9)	2.2 (0.6–3.9)
Pathology	0.1 (<0.1–0.2)	0.3 (0.1–0.5)	1.0 (0.3–1.8)	2.0 (0.6–3.5)
Pharmaceutical benefits scheme	0.7 (0.2–1.3)	2.2 (0.6–3.9)	7.5 (2.0–12.9)	14.9 (4.1–25.8)
Private hospital services	4.3 (1.2–7.4)	12.9 (3.5–22.2)	42.9 (11.7–74.1)	85.9 (23.4–148.3)
Public hospital admitted patient	4.0 (1.1–6.8)	11.9 (3.2–20.5)	39.6 (10.8–68.4)	79.2 (21.6–136.8)
Public hospital emergency department	0.5 (0.1–0.9)	1.5 (0.4–2.6)	5.0 (1.4–8.6)	10.0 (2.7–17.3)
Public hospital outpatient	0.7 (0.2–1.2)	2.1 (0.6–3.6)	6.9 (1.9–11.8)	13.7 (3.7–23.7)
Specialist services	0.5 (0.1–0.8)	1.5 (0.4–2.5)	4.9 (1.3–8.4)	9.7 (2.7–16.8)
All areas	11.2 (3.1–19.4)	33.7 (9.2–58.2)	112.4 (30.7–194.1)	224.8 (61.3–388.3)

Abbreviations: AUD, Australian dollar. ¹ Data (95% CI) are potential monetary savings following coronary heart disease risk reduction with 100 g/day intake of legumes. The very pessimistic, pessimistic, optimistic, and universal scenarios are modeled to represent short term, short-to-medium-term, medium-to-long-term, and long-term of estimates of potential savings in CHD-related health care costs that could follow when, respectively, 5%, 15%, 50%, and 100% of Australian adults (age 25+ y) consume the targeted daily level of legumes.

Table A2. Sum of potential total discounted savings in direct health care expenditures of coronary heart disease in Australian adults (age 25+ y) with 100 g/day legumes intake over short- and long-term periods (AUD million)¹.

	Scenario			
	Very Pessimistic	Pessimistic	Optimistic	Universal
Years 0 to 4	49.3 (13.4–85.2)	147.9 (40.3–255.5)	493.1 (134.5–851.7)	986.2 (269.0–1703.4)
Years 5 to 9	35.2 (9.6–60.7)	105.5 (28.8–182.2)	351.6 (95.9–607.2)	703.1 (191.8–1214.5)
Years 10 to 14	25.1 (6.8–43.3)	75.2 (20.5–129.9)	250.7 (68.4–432.9)	501.3 (136.7–865.9)
Years 15 to 19	17.9 (4.9–30.9)	53.6 (14.6–92.6)	178.7 (48.7–308.7)	357.4 (97.5–617.4)
Total discounted savings	127.4 (34.7–220.1)	382.2 (104.2–660.2)	1274.0 (347.5–2200.5)	2548.0 (694.9–4401.1)
Total incremental discounted savings with adoption of each scenario every 5 years (years 2022–2041)				762.9 (208.1–1317.7)

Abbreviations: AUD, Australian dollar. ¹ Data (95% CI) are potential total discounted monetary savings following coronary heart disease risk reduction with 100 g/day intake of legumes. The very pessimistic, pessimistic, optimistic, and universal scenarios are modeled to represent short term, short-to-medium-term, medium-to-long-term, and long-term of estimates of potential savings in CHD-related health care costs that could follow when, respectively, 5%, 15%, 50%, and 100% of Australian adults (age 25+ y) consume the targeted daily level of legumes.

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Review

Legumes—A Comprehensive Exploration of Global Food-Based Dietary Guidelines and Consumption

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Abstract: Despite the well-known human and planetary health benefits of legumes, consumption is often low. This scoping review aimed to evaluate the inclusion of legumes in global food-based dietary guidelines (FBDG), and to review consumption data against global food group classifications for legumes. The review of FBDG from 94 countries identified legume-based key messaging, the key terms used to define legumes, recommended serving size and frequency of consumption and the classification of legumes into food groups as depicted by food guides. The 2018 Global Dietary Database isolated consumption data of legumes and beans using individual-level, nationally representative dietary survey data for matched countries. Food-based dietary guidelines from 40/94 countries most often identified legumes utilising the term legumes, followed by beans ($n = 13$), pulses ($n = 10$), or as beans, peas and lentils ($n = 5$). The serving size recommendations for legume consumption varied widely, and there was no consistency in the suggested frequency of consumption. Median bean and legume consumption for countries with FBDG ranged from 1.2 g/d (Norway) to 122.7 g/d (Afghanistan). Classification of legumes into food groups varied, with 38% of countries categorising legumes in the protein-rich food group, 20% were in a group on their own and 15% were in the starchy staples group. In countries where legumes were together with either nuts or seeds had the greatest range in intake (11.6–122.7 g/day), followed by those that grouped legumes together with protein-rich foods (4.0–104.7 g/day), while countries that grouped legumes into two food groups, in an attempt to promote consumption, tended to have a lower consumption. Greater emphasis and perhaps repositioning of legumes in dietary guidelines may be required to encourage consumption for health, environmental and economic benefits.

Keywords: legumes; dietary guidelines; consumption; sustainability; chronic disease

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1. Introduction

Legume, from the Fabaceae (or Leguminosae) botanical family, is the more inclusive classification for non-oil seed (pulse) and oil-seed crops (peanuts and soybeans) and includes both fresh and dried forms [1]. Common types of legumes include dried beans, broad beans, peas, chickpeas, cowpeas, lentils, lupins, peanuts and soybeans. Abundant in various vitamins and minerals, including B vitamins, iron, magnesium, potassium and zinc, legumes are an economical source of dietary fibre, phytonutrients and protein important for plant-based or flexitarian dietary patterns [2,3]. According to the Food and Agriculture Organization of the United Nations (“FAO”), legumes are an important inclusion in the diet with known benefits for human and planetary health [3]. Regular consumption has been shown to improve the nutrient density of the diet in a US population [4], and has been linked to reductions in the risk of disease, particularly coronary heart disease [5] and all-cause mortality at a serving of 50 g per day [6]. Soy intake specifically is linked to a reduced risk of certain cancers including prostate and breast cancer [7], where population

studies indicate soy consumption has a role in both preventing breast cancer and reducing risk of recurrence in breast cancer survivors due to the isoflavone content [8,9]. Legumes also play a valuable role in sustainable food production, and are well placed to form part of nutritious and environmentally sustainable dietary patterns [10,11], as highlighted in the recent Eat Lancet Commission Planetary Health Diet, where it is recommended to consume 100 g/day (50 g of dried beans, lentils and peas, 25 g of soybeans and 25 g of peanuts) [12]. Increased intakes have also been shown to have a significant impact on socioeconomic burden [13], with a recent Canadian study reporting potential combined annual health care and lost productivity cost savings of CAD377.9 million in the prevention of type 2 diabetes and cardiovascular disease with the daily consumption of 100 g of legumes [13]. Lower targets, of 50 g per day based only on Coronary Heart Disease (CHD) in an Australian population have also been shown to produce cost savings of AUD 4.3 (95% CI 1.2–7.4) to AUD 85.5 (95% CI 23.3–147.7) million annually [14].

National food-based dietary guidelines (“FBDG”) provide advice on foods, food groups, and dietary patterns for populations to promote overall health [15]. Although included in earlier dietary guidance as part of the Five Food Groups, legumes were first mentioned in the 1992 Australian Dietary Guidelines (“ADG”) as part of the vegetable food group (“Eat plenty of breads and cereals (preferably whole grain), vegetables (including legumes), and fruits”) [16]. In the most recent revision of the ADG in 2013, legumes were placed into two food groups; as both a vegetable (75 g serving size) and as an alternative to meat within the protein-rich food group (150 g serving size), with the aim of encouraging consumption [17]. Despite this, modelling as part of the development of the ADGs suggests that Australian’s would need to increase consumption by 470% in order to meet the proposed recommendations [18]. Although there is no universally accepted daily target, a recent review conducted by Marinangeli et al. (2016) concluded that a reasonable serve size to be promoted internationally could be based on 100 g (1/2 metric cup or 125 mL) of cooked beans, lentils, chickpeas, or peas per day [19]. This serve size guide was deemed appropriate based on the nutrient content, particularly the delivery of iron, folate and zinc, and is sufficient to facilitate nutrient content claims across multiple jurisdictions [19].

While the classification of legumes as both a vegetable and a protein source in the ADGs was intended to encourage consumption, such placement may be confusing for consumers and nutrition professionals due to their distinct nutritional profile [11]. It has been suggested that future recommendations to encourage legume intake into dietary patterns may require more explicit emphasis in FBDG [20]. Therefore, this scoping review aimed to evaluate the inclusion of legumes in FBDG globally, and to review country- and regional-level consumption data against global food group classifications for legumes.

2. Materials and Methods

2.1. Legume Messaging in Food-Based Dietary Guidelines

To review legume-based messaging within National Dietary Guidelines, the FAO online repository of FBDG was utilised to source data for countries with dietary recommendations [15]. Only those related to the general healthy population were included for review. In July and August 2021, country-specific webpages from the FAO online repository were individually reviewed, and relevant data were extracted and transcribed into a Microsoft® Excel® spreadsheet (Microsoft 365 MSO Version 16.0.13426.20306, Redmond, WA, USA) for analysis. The following data were extracted for each country: Region, as defined by the FAO (Asia and the Pacific “APAC”, Latin America and the Caribbean “LAC”, Europe, Africa, Near East and North America), the official name of the dietary guidelines, date of most recent revision, verbatim legume-based key messages, the specific terminology used to define legumes, the recommended dietary intake of legumes, serving size suggestions and the classification of legumes into food group/s using the provided food guides. Food guides are a visual representation of the dietary guidelines and depict the recommended food groups, and often frequency of consumption for a nutritious diet [15]. Verbatim key messages related to legumes were further classified as either qualitative or quantitative

(e.g., recommended frequency of consumption) based messaging. Where available, full FBDG documents in English language were consulted to obtain additional information such as recommended servings sizes and/or frequency of consumption.

The pictorial food guides for each country were visually examined to determine the classification of legumes into one of six food group/s: legumes (own group), fruits/vegetables, vegetables only, starchy staples (together with grain, cereals, tubers and/or cassava), protein-rich foods (together with lean meats, poultry, fish, eggs, tofu and/or nuts and seeds), legumes, nuts and seeds (own group), or a combination of the above mentioned. The precise terminology used to define legumes in the guidelines was also noted.

Using Microsoft® Excel® (Microsoft 365 MSO Version 16.0.13426.20306, Redmond, WA, USA), description statistics were applied to explore how legumes were classified and encouraged within dietary guidelines.

2.2. Legume Consumption and Comparison to Legume Food Group Classifications

Global bean and legume consumption data were extracted from the 2018 Global Dietary Database (“GDD 2018”) in June 2022 [21]. The GDD 2018 prediction model provides estimate consumption data for 54 dietary factors, including bean and legume data for 185 countries stratified by age, sex, country, region and time period [22]. Details on data collection for the GDD have been described in detail elsewhere [23–26]; however briefly, estimates are derived from a combination of sources including the use of ~1500 national and subnational surveys of individual-level dietary intakes from public and private sources and from over 800 *covariates* to supplement individual level dietary intake data including food and nutrient availability data and food product sales [27]. The first iteration, GDD 2010, was used to establish the calculations for the 2010 and 2013 Global Burden of Disease Study [28]. According to the GDD 2018, bean and legume consumption is defined as the total intake of beans and legumes (beans, lentils), including fresh, frozen, cooked, canned or dried beans/legumes and includes soybeans but excludes soy milk and soy protein. This definition also excludes peanuts and peanut butter [29].

Median legume intake data (in grams/day) and 95% confidence interval was extracted and analysed in Microsoft® Excel® (Microsoft 365 MSO Version 16.0.13426.20306, Redmond, WA, USA). Intake data for 185 countries were available; however, only those with FBDG were used in the present analysis ($n = 94$). Following extraction of data, countries were recategorised according to the FAO regional categorisation scheme as previously defined (APAC, LAC, Europe, Africa, Near East and North America) to determine regional-level findings. Intake data were analysed for individuals aged 20 years and over (both sexes), from all education levels (low (0–6 years formal), medium (6.01–12 years) and high (12.01+ years) and all residences (rural and urban).

Country and regional-level consumption data were compared to a daily target of 50 g of cooked legumes, as this target was most commonly utilised in the literature [5,6,12].

3. Results

A total of 94 countries with FBDG were included for review to explore how legumes were classified and encouraged within dietary guidelines (excludes Cambodia as the FBDG only related to school children aged 6 to 17 years). Of the 94 included countries, 35% were in Europe ($n = 33$), 31% were in LAC ($n = 29$), 18% were from the APAC region ($n = 17$), 7% were in Africa ($n = 7$), 6% were in the Near East ($n = 6$) and 2% in North America ($n = 2$).

3.1. Legume-Based Messaging in Dietary Guidelines

Most countries had at least one key message related to legumes (96.8%, $n = 91$); however, there was great variability in the language used and the detail provided (Table 1). In 33/91 countries, legumes were not specifically mentioned in the set of key messages; however, consumption was implied via a general message pertaining to the intake of all food groups, e.g., “Focus on meeting food group needs with nutrient-dense foods and beverages . . . ” (United States). Legumes were mentioned as a unique dietary component

in 20 dietary guidelines, e.g., “Eat dry beans, split peas, lentils and soya regularly” (South Africa), “Eat legumes like beans, lentils and green beans, daily” (Mexico) and “Eat less meat—choose legumes and fish” (Denmark), while 15 countries grouped legumes together with other protein-rich foods, e.g., “Eat some beans, pulses, fish, eggs, meat and other proteins” (The United Kingdom), “Lean meats and poultry, fish, eggs, tofu, nuts and seeds, and legumes/beans” (Australia). Countries in Africa more often highlighted legumes as a separate key message ($n = 4/7$), while countries in the APAC region more often group legumes together with other protein sources ($n = 10/17$).

Of the 91 countries with at least one legume-based key message, 42 utilised qualitative-based messaging and often used descriptors such as regularly, frequently or often to encourage consumption, e.g., “Consume legumes frequently” (Greece). Less than a quarter of countries outlined quantitative-based messages ($n = 24$) and highlighted specific serving frequencies, such as daily consumption, e.g., “Eat legumes daily” (Qatar) or encouraged intake at every meal, e.g., “Integrate grains and legumes, other grain and potatoes—in every main meal” (Albania).

3.2. Key Terms Used to Define Legumes

‘Legume’ was the most commonly used term to define the category in 42.6% of dietary guidelines ($n = 40/94$), followed by ‘Beans’ (13.8%, $n = 13$), ‘Pulses’ (10.6%, $n = 10$), ‘Peas and Beans’ (6.4%, $n = 6$), ‘Beans, Peas and Lentils’ (5.3%, $n = 5$) and ‘Pulses and Beans’ (2.1%, $n = 2$) (Table 1). Other terms used included ‘Beans, Lentils, Soybeans, Chickpeas’ (Slovenia), ‘Legume Seeds’ (Poland), ‘Legumes and Pulses’ (Thailand), ‘Soybeans’ (China), ‘Legumes/Beans’ (Australia) and a combination of ‘Legumes’ and ‘Peas, Kidney Beans, Lentils’, all of which were only used by one country each. Twelve countries did not have specific terminology, with no mention of legumes.

3.3. Recommended Serving Sizes and Frequency of Consumption

Overall, 39 countries provided a recommended serving frequency and 25 provided a recommended serving size for legumes (Table 1). Frequency of consumption ranged from two servings per day (Chile, Colombia and Paraguay) to two servings per week (Albania, France). In the United States, individuals should aim for 1–3 cups/week depending on energy needs and in Denmark the recommendation is to simply consume 100 g per day. The most common serving size was one-half a cup of cooked legumes (Kenya, Benin, Sierra Leone, Saudi Arabia, Oman, Australia (as a vegetable), Bangladesh and Austria), and ranged from 30 g fresh or cooked/10 g dried legumes (Estonia) to one cup of cooked legumes (Greece, Australia and New Zealand). Australia was the only country with two serving sizes for legumes: 75 g as a vegetable and 150 g as a meat alternative.

3.4. Food Group Classification

Overall, 87/94 countries with FBDG had visual food guides. Legumes were mostly commonly included in the protein-rich food group (38%, $n = 33$), together with lean meats, poultry, fish, eggs, tofu and/or nuts and seeds. Legumes were a separate food group in 20% of countries ($n = 17$) and 13 countries grouped legumes together with other starchy staples (variously defined, however mostly included grains, cereals, tubers and/or cassava) (Figure 1). Seven FBDG grouped legumes with nuts and seeds (8%) and a smaller proportion included legumes in the fruits/vegetable food group (5%, $n = 4$) and vegetable food group only (4%, $n = 3$). Overall, five FBDG grouped legumes into two foods groups. In Australia and the United States, legumes were considered both a vegetable and a meat alternative in the protein-rich food group. In Albania, legumes were classified in the protein-rich food group and the starchy staples group, while in Poland and Sweden, legumes featured in the “eat more” food group and the “replace/switch” food group (Table 1).

Table 1. Legume-based messaging, food group classification, key legume terminology, recommended serving frequency, recommended serving size and median bean and legume consumption (in grams/day) for countries with FBDDG (*n* = 94).

Country	Food Group Classification in Food Guide	Legume-Based Message/s	Key Legume Term	Recommended Serving Frequency ^a	Recommended Serving Size	GDD Consumption (Median; 95% CI)
Africa						
Benin (2015)	Protein-rich foods	"When there is no meat, fish or eggs in a given day, you can replace them with pulses, peanuts, soybeans, soya, cheese or peas." "Eat beans, peas, lentils, cowpeas, pigeon peas, soya, nuts and edible seeds regularly (at least four times a week)."	Pulses	2–3 servings of food group/day *	½ cup (140 g) *	26.7 (22.7–31.5)
Kenya (2017)	Legumes, nuts and seeds (own group)	"Eat beans, peas, lentils, cowpeas, pigeon peas, soya, nuts and edible seeds regularly (at least four times a week)."	Beans, peas, lentils	4 serving/week	½ cup (125 mL) cooked dried beans, peas or lentils	29.2 (25.3–34)
Namibia (2000)	Protein-rich foods	"Eat beans or meat regularly"	Beans	n/s	n/s	13.4 (11.2–16.2)
Nigeria (2001)	Starchy staples	The diet should contain as wide a variety of foods as possible, e.g., legumes	Legumes	n/s	n/s	21.6 (18.7–24.8)
Seychelles (2006)	Fruits and/or vegetable	"Eat pulses (peas, beans and lentils) at least 4 times a week"	Pulses	4 servings/week	n/s	20.4 (17.1–24.4)
Sierra Leone (2016)	Legumes (own group)	"Eat beans, peas and lentils everyday"	Beans, peas and lentils	n/s	½ cup cooked beans/lentils	23.4 (20.0–27.7)
South Africa (2012)	Legumes (own group)	"Eat dry beans, split peas, lentils and soya regularly"	Beans, split peas, lentils and soya	n/s	n/s	33.6 (25.2–44.3)
Asia and the Pacific						
Afghanistan (2015)	Legumes, nuts and seeds (own group)	"Eat different types of food daily"	Pulses and beans	0.5–2 servings/day depending on energy needs.	½ cup (100 g) boiled lentil/peas, ¼ cup raw dry lentils/peas	122.7 (59.5–237.3)
Australia (2013)	Vegetable	"Plenty of vegetables, including different types and colours, and legumes/beans"	Legumes/beans	5 servings of the food group/day	½ cup (75 g) cooked dried or canned beans, peas or lentils	26.1 (18.7–36.5)
Bangladesh (2013)	Protein-rich foods	"Lean meats and poultry, fish, eggs, tofu, nuts and seeds, and legumes/beans"	Legumes	2.5 servings of the food group/day	1 cup (150 g) cooked or canned legumes/beans	31.7 (28.6–35.4)
China (2016)	Starchy staples	"Consume required amounts of fish, meat, poultry, egg and legumes daily"	Legumes	1 serving/day. Combine cereals with legumes in a 3:1 ratio	⅓–½ cup pulses	15.8 (12.8–19.6)
Fiji (2018)	Protein-rich foods	"Consume plenty of vegetables, milk, and soybeans"	Soybeans	250–400 g of the food group/day	n/s	30.7 (17.9–53.9)
India (2011)	Starchy staples	"Eat body building foods such as dhal, dried peas and beans ..."	Dhal, dried peas and beans	n/s	n/s	27.2 (24.6–30.2)
Indonesia (2014)	Protein-rich foods	"Eat variety of foods to ensure a balanced diet"	Pulses	2 servings/day for vegetarians, 1 serving/day for non-vegetarian	30 g	29.0 (25.5–33)
		"Eat high-protein foods (animal or vegetable source)"	n/s	2–4 servings of the food group/day	n/s	

Table 1. Cont.

Country	Food Group Classification in Food Guide	Legume-Based Message/s	Key Legume Term	Recommended Serving Frequency ^a	Recommended Serving Size	GDD Consumption (Median; 95% CI)
Asia and the Pacific						
Japan (2010)	Cannot be determined**	"Combine vegetables, fruits, milk products, beans and fish in your diet."	Beans	n/s	n/s	61.1 (53.5–70.5)
Malaysia (2010)	Protein-rich foods	"Consume moderate amounts of fish, meat, poultry, eggs, legumes and nuts."	Legumes	1/2–1 serving/day	n/s	26.6 (22.6–31.1)
Mongolia (2010)	Cannot be determined**	"Consume a variety of nutrient-dense foods and beverages."	n/s	n/s	n/s	32.0 (18.8–56)
Nepal (2012)	No food guide	"Eat pulses, fish, poultry, eggs and a little meat regularly."	Pulses	n/s	n/s	64.7 (56.5–74.4)
New Zealand (2020)	Protein-rich foods	"Enjoy a variety of nutritious foods every day including: some legumes ..."	Legumes	2 servings of the food group/day	1 cup (150 g) cooked or canned	68.0 (53.8–85.6)
Philippines (2012)	Protein-rich foods	"Consume fish, lean meat, poultry, eggs, dried beans or nuts daily for growth and repair of body tissues."	Beans	3–4 servings of the food group/day	n/s	9.7 (8.6–11)
Republic of Korea (2016)	Protein-rich foods	"Eat a variety of foods including rice & other grains, vegetables, fruits, milk & dairy products, meat, fish, eggs, and beans."	Beans	3–4 servings of the food group/day	n/s	28.5 (26.4–30.9)
Sri Lanka (2011)	Protein-rich foods	"Eat pulses, fish, dried fish, eggs, poultry and lean meat."	Pulses	3–4 servings of the food group/day	3 Tbsp cooked pulses	89.6 (76.6–104.4)
Thailand (1998)	Protein-rich foods	"Eat fish, lean meat, eggs, legumes and pulses regularly."	Legumes and pulses	n/s	n/s	43.4 (17.8–104.9)
Vietnam (2013)	Protein-rich foods	"Eat protein-rich foods from a good balance of vegetable and animal sources. Increase the intake of ... beans/peas"	Beans/peas	n/s	n/s	104.7 (69.8–157.2)
Near East						
Iran (2015)	Legumes, nuts and seeds	"Eat legumes and dishes made with legumes once a day"	Legumes	n/s	n/s	16.4 (14.6–18.2)
Lebanon (2013)	Protein-rich foods	"Consume legume-based dishes regularly ..."	Legumes	5–6.5 servings of the food group/day	1/4 cup cooked legumes, 2 Tbsp hummus, 1 baked falafel	28.9 (24–34.7)
Oman (2009)	Legumes (own group)	"Consume one serving of legumes daily."	Legumes	1 serving/day	1/2 cup cooked lentils, beans or peas, 1/4 cup dried beans or tofu	45.5 (23.4–92.1)
Qatar (2015)	Legumes (own group)	"Eat legumes daily" "Choose legumes, nuts and seeds as alternative protein sources"	Legumes	n/s	n/s	39.0 (19.5–85.9)

Table 1. Cont.

Country	Food Group Classification in Food Guide	Legume-Based Message/s	Key Legume Term	Recommended Serving Frequency ^a	Recommended Serving Size	GDD Consumption (Median; 95% CI)
Near East						
Saudi Arabia (2013)	Protein-rich foods	"Enjoy a variety of food items from major food groups daily—Include legumes, nuts, seeds, poultry, and lean meats in your eating pattern" "Consume diversified nutrient-rich foods and beverages."	Legumes	2–3 servings of the food group/day	1/2 cup cooked legumes	58.9 (31.1–110.7)
United Arab Emirates (2019)	Cannot be determined**		n/s	n/s	n/s	41.7 (21.5–84.2)
Europe						
Albania (2008)	Starchy staples	"Integral grains and legumes, other grain and potatoes—in every main meal" "Substitute greasy meat and meat by-products with peas, kidney beans, lentils . . ."	Legumes Peas, kidney beans, lentils	2 servings/day	60–100 g legumes	42.4 (33.3–54)
Austria (2015)	Vegetables, legumes and fruits	"Eat five servings of vegetables, legumes and fruits every day. The ideal would be to eat three servings of vegetables and/or legumes . . ."	Legumes	3 servings of vegetables and/or legumes/day*	70–100 g dry pulses (150–200 g cooked)*	7.0 (4.6–10.8)
Belgium (French region) (2020)	Protein-rich foods	n/s	Legumes	n/s	n/s	5.0 (3.4–7.4)
Bosnia and Herzegovina (2004)	Cannot be determined**	"Eat meat, poultry, eggs and legumes several times a week"	Legumes	n/s	n/s	14.6 (8.7–24.8)
Bulgaria (2006)	Protein-rich foods	"Replace meat and meat products often with fish, poultry or pulses"	Pulses	2 servings per week	200–300 g	18.9 (13.7–25.9)
Croatia (2002)	Starchy staples	n/s "Consume a traditional Mediterranean diet with lots of legumes . . ." "Increase your intake of fibre and complex carbohydrates by using whole grains, legumes, vegetables and fruit with the peel on"	n/s	n/s	n/s	8.6 (3.4–21.8)
Cyprus (2007)	Legumes (own group)	"Eat less meat—choose legumes and fish" "Increase the consumption of vegetables, including legumes." "Eat vegetables, fruits and berries frequently . . ."	Legumes	n/s	n/s	34.3 (13.3–88.3)
Denmark (2021)	Legumes (own group)	"The consumption of pulses (beans, lentils, chickpeas, etc), at least twice a week"	Legumes	100 g/day	n/s	25.5 (15.6–42.2)
Estonia (2017)	Vegetable		Legumes	3–4 servings/ week*	30 g fresh or cooked, 10 g dried legumes*	6.3 (4.9–8.2)
Finland (2014)	Vegetable, fruits and berries		n/s	500 g of the food group/day	n/s	6.7 (5.4–8.5)
France (2019)	No guide		Pulses	2 servings/week	n/s	14.9 (12.7–17.5)

Table 1. Cont.

Country	Food Group Classification in Food Guide	Legume-Based Message/s	Key Legume Term	Recommended Serving Frequency ^a	Recommended Serving Size	GDD Consumption (Median; 95% CI)
Europe						
Georgia (2005)	Protein-rich foods	"Replace fatty meat and meat products with legumes . . ."	Legumes	1–3 servings/d (150–200 g)	1/4 cup of beans	36.9 (21.5–65.3)
Germany (2017)	Vegetable Legumes, nuts and seeds	"Enjoy a variety of foods".	n/s	n/s	n/s	5.7 (5.1–6.5)
Greece (2014)		"Consume legumes frequently"	Legumes	3 servings/week *	1 cup of cooked drained legumes (150–200 g) *	15.2 (11.5–19.9)
Hungary (2004)	Fruits and vegetables	"Eat dark green vegetables, citrus fruits, tomato and legumes often."	Legumes	n/s	n/s	16.9 (5.4–51)
Iceland (2014)	Protein-rich foods	"Variety of foods in reasonable quantity"	Beans	2 servings of food group/day *	3/4 cup beans or lentils *	6.0 (4.7–7.7)
Ireland (2015–16)	Protein-rich foods	"Choose eggs, beans and nuts"	Beans	n/s	n/s	25.5 (11–56.4)
Israel (2008)	Protein-rich foods	"Choose fibre-containing foods such as . . . legumes . . ."	Legumes	n/s	n/s	75.3 (64–88.5)
Italy (2019)	No guide	"Eat whole grain and legumes"	Legumes	n/s	n/s	14.9 (13.2–16.9)
Latvia (2008)	Protein-rich foods	"Eat legumes, fish or lean meat. The recommended daily amount of those products is 2–3 servings"	Legumes	2–3 servings of food group/day	n/s	10.5 (4.9–23.5)
Malta (2016)	Protein-rich foods	"Include legume-based dishes throughout the week. These could take the form of home-made dips (bigilla, red kidney dip and hummus). Salads (bean and chickpea salad) stews, vegetable soups (minestra) and home-made torta tal-fui."	Legumes	2+ servings/week	70 g (raw), 140 g (cooked/canned)	4.0 (2.1–7.5)
The Netherlands (2016)	Protein-rich foods	"Eat less meat and more plant-based foods, and vary with fish, pulses, nuts, eggs and vegetarian products"	Pulses	n/s	n/s	5.9 (5.0–6.9)
The Republic of North Macedonia (2014)	No guide	"Substitute meat and meat products with fish, poultry, beans and bean-based products"	Beans	n/s	n/s	22.4 (13.6–38.2)
Norway (2014)	No guide	n/s	n/s	n/s	n/s	1.2 (0.5–3.1)
Poland (2020)	Eat more food group	"Eat more legume seeds (e.g., beans, peas, chickpeas, lentils, broad beans)"	Legume seeds	n/s	n/s	3.0 (2.6–3.4)
	Replace food group	"Replace red meat and processed meat with fish, poultry, eggs, legume seeds and nuts"		n/s	n/s	
Portugal (2003)	Legumes (own group)	"Eat foods from each food group every day to have a complete diet."	Legumes	1–2 servings/day	1 Tbsp raw dried (25 g), 3 Tbsp raw fresh (80 g), 3 Tbsp dried/cooked fresh (80 g)	12.8 (11.3–14.4)

Table 1. Cont.

Country	Food Group Classification in Food Guide	Legume-Based Message/s	Key Legume Term	Recommended Serving Frequency ^a	Recommended Serving Size	GDD Consumption (Median; 95% CI)
Europe						
Romania (2006)	Cannot be determined**	"Eat a variety of foods"	n/s	n/s	n/s	34.6 (27.8–43.5)
Slovenia (2011)	Protein-rich foods	"Eat a variety of foods originating mainly from plants, rather than animals"	Beans, lentils, soybeans, chickpeas.	3–5 servings of the food groups/day *	4 tablespoons of beans, lentils, soybeans, chickpeas *	20.1 (8.9–44.4)
Spain (2008)	Protein-rich foods	"Enjoy a variety of foods"	Legumes	n/s	n/s	22.9 (10.7–49.5)
Sweden (2015)	"Eat More"	"Eat more vegetables and fruit—Ideally, choose high fibre veggs such as . . . beans."	Beans	n/s	n/s	17.0 (14.7–19.6)
Switzerland (2011)	Starchy staples	"Soups, pies and stir fries can easily be made without meat"		n/s	n/s	
		"Consume three portions of grains, potatoes and pulses per day"	Pulses	3 servings of the food group/day	n/s	2.9 (2.1–4.1)
Turkey (2014)	Protein-rich foods	"Increase consumption of wholegrain cereals and leguminous seeds"	Legumes	2 servings of food group/day	90 g	32.6 (21.9–49.3)
United Kingdom (2016)	Protein-rich foods	"Eat some beans, pulses, fish, eggs, meat and other proteins."	Beans and pulses	n/s	80 g	44.8 (36.8–55.2)
Latin America and the Caribbean						
Antigua and Barbuda (2013)	Legumes; nuts and seeds	"Choose to eat a variety of foods every day"	Peas and beans	n/s	n/s	44.2 (26.2–75.7)
Argentina (2014)	Starchy staples	"Eat legumes: cereals, preferably wholemeal; potato; sweet potato; corn or cassava"	Legumes	n/s	n/s	3.7 (2.7–4.9)
Bahamas (2002)	Legumes (own group)	"Make starchy vegetables, peas and beans a part of your diet"	Peas and beans	n/s	n/s	18 (10.1–32.4)
Barbados (2017)	Legumes (own group)	"Enjoy a wide variety of foods every day"	Legumes	n/s	n/s	6.9 (4.4–11)
Belize (2012)	Legumes (own group)	"Choose different types of foods from all the food groups daily"	Legumes	1–2 servings/day depending on energy needs	1/4 cup red beans or lentils	28.2 (16.4–49.7)
Bolivia (2013)	Starchy staples	"Consume a varied diet daily, including foods from all groups"	n/s	n/s	n/s	11.7 (10–13.6)
Brazil (2014)	No guide	"Make natural or minimally processed foods part of your diet"	Beans	n/s	n/s	83.0 (71.6–96.2)
		"To keep your heart healthy . . . eat legumes at least twice a week, without mixing them with cold or cured meats"	Legumes	2 servings/ week	n/s	13.1 (7.4–23.2)
Chile (2013)	Protein-rich foods	"To complement your diet, eat pulses like beans, lentils, peas and chickpeas at least two times per week"	Pulses	2 servings/ week	n/s	26.1 (22.8–29.9)

Table 1. Cont.

Country	Food Group Classification in Food Guide	Legume-Based Message/s	Key Legume Term	Recommended Serving Frequency ^a	Recommended Serving Size	GDD Consumption (Median; 95% CI)
Latin America and the Caribbean						
Costa Rica (2010)	Starchy staples	"Eat rice and beans; they are the basis of the everyday diet" "A variety of foods during the day is pleasant and necessary for good health"	Beans	n/s	n/s	28.4 (16.9–49.7)
Cuba (2009)	Protein-rich foods	"Always try to eat a variety of foods every day. Use the basket to help you make the right choices"	Legumes	n/s	n/s	26.4 (16–44.8)
Dominica (2007)	Legumes (own group)	"Increase consumption of beans, grains, fish, eggs and dairy to keep your bones and organs healthy"	Peas and Beans	n/s	n/s	30.9 (18.6–53.5)
Dominican Republic (2009)	Legumes (own group)	"Let's include animal source foods or legumes in our daily dishes to develop and strengthen our bodies" "Let's eat better by combining legumes with cereals like rice, maize or quinoa"	Beans	n/s	n/s	46.8 (40.9–54.1)
Ecuador (2018)	Protein-rich foods	"Prepare varied meals using natural foods every day"	Legumes	n/s	n/s	15.8 (8.9–28)
El Salvador (2012)	No guide	"Eat a variety of foods"	Beans	n/s	n/s	36.8 (21.8–63.8)
Grenada (2006)	Legumes (own group)	"Eat beans and tortillas every day: eat two tablespoons of beans per tortilla, because they provide more nutrients and fill you up more."	Legumes	n/s	n/s	29.1 (17.7–50.2)
Guatemala (2012)	Starchy Staples	"Eat different types of foods from all the food groups daily"	Beans	n/s	n/s	48.1 (41.7–55.5)
Guyana (2018)	Legumes (own group)	"Eat foods from all food groups to enjoy good health"	Legumes	n/s	n/s	21.9 (17.6–27)
Honduras (2013)	Starchy staples	"Include peas, beans and nuts in your daily meals"	n/s	n/s	n/s	42.4 (36.7–49.1)
Jamaica (2015)	Legumes, nuts and seeds	"Include the three food groups: fruits and vegetables, legumes and animal source foods in your breakfast, lunch and dinner" "Eat legumes like beans, lentils and green beans, daily" "Eat a variety of foods every day"	Legumes	3 servings of food group/day	1/4 cup cooked peas, beans, baked beans, stewed peas and chickpeas (1 Tbspn), 1/2 cup canned green peas and lentils	11.6 (7.9–16.9)
Mexico (2015)	Legumes (own group)		Legumes	n/s	n/s	41.4 (37.3–45.9)
Panama (2013)	Starchy staples		n/s	n/s	n/s	66.1 (40.8–109.8)

Table 1. Cont.

Country	Food Group Classification in Food Guide	Legume-Based Message/s	Key Legume Term	Recommended Serving Frequency ^a	Recommended Serving Size	GDD Consumption (Median; 95% CI)
Latin America and the Caribbean						
Paraguay (2015)	Protein-rich foods	"To have a healthy diet, eat from all 7 food groups (. . . meats, legumes and eggs . . .) every day" "Eat cereals and legumes 2 times a week because together they are more nutritious"	Legumes	2 servings/week consumed with cereals	n/s	16.7 (10.2–28.9)
Peru (2019)	Starchy staples	"Don't miss legumes, they are tasty, healthy and can be prepared in many ways"	Legumes	n/s	n/s	34.4 (30.3–39.5)
Saint Kitts and Nevis (2010)	Legumes, nuts and seeds (own group)	"Every day, choose foods from each of the groups (shown on the mill)" "Always try to eat ground provisions, peas and beans in your meals every day"	Peas and beans	n/s	n/s	No data
Saint Lucia (2007)	Legumes (own group)	"Always try to eat ground provisions, peas and beans in your meals every day"	Peas and beans	n/s	n/s	57.6 (34.2–98.3)
Saint Vincent and the Grenadines (2006)	Legumes (own group)	"Eat a variety of foods from the food groups in the breadfruit"	Legumes	n/s	n/s	46.6 (28.2–79.2)
Uruguay (2016)	Vegetable	"Incorporate vegetables and fruits in all your meals. This will help you to feel good and to maintain a healthy weight"	Legumes	n/s	n/s	45.6 (26.4–77.6)
Venezuela (1991)	Starchy staples	"Eat a varied diet. Get the fibre that your body needs from plant foods on a daily basis"	n/s	n/s	n/s	40.4 (23.9–71.7)
North America						
Canada (2019)	Protein-rich foods	"Eat plenty of vegetables and fruits, whole grain foods and protein foods. Choose protein foods that come from plants more often"	Legumes	n/s	n/s	20.8 (18–24.2)
United States (2020)	Vegetable Protein-rich foods	"Focus on meeting food group needs with nutrient-dense foods and beverages . . ."	Beans, Peas, Lentils	1–3 cups/ week depending on energy needs	n/s n/s	21.8 (20.2–23.6)

^a Translated; ** Food guide image unclear. ³ Recommended serving frequency relates to legumes specifically unless stated otherwise. n/s = not specific.

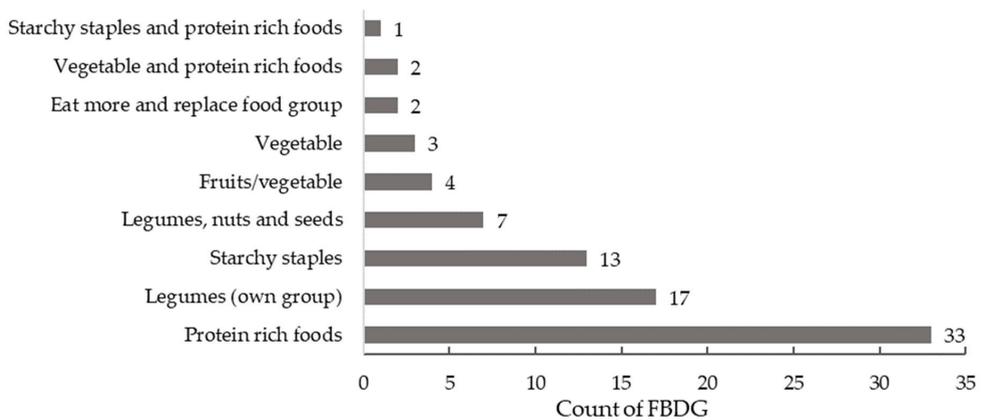


Figure 1. Classification of legumes by food group ($n = 82$). Excludes seven countries that did not have a food guide and five countries where the food group classification could not be determined (image of food guide was unclear).

3.5. Legume Intake Data and Comparison to Legume Food Group Classification

Consumption data were available for 98.9% of countries with FBDG ($n = 93/94$) (Table 1) and of which, median intake ranged from 1.2 g/day (Norway) to 122.7 g/day (Afghanistan). At the regional level, the greatest range in intake for those with FBDG was seen in the APAC region (9.7–122.7 g/day) (Appendix A Figure A1), followed by LAC (3.7–83.0 g/day) (Appendix B Figure A2), Europe (1.2–75.3 g/day) (Appendix C Figure A3), Near East (16.4–58.9 g/day) (Appendix D Figure A4), Africa (13.4–33.6 g/day) (Appendix E Figure A5) and North America (20.8 to 21.8 g/day) (Appendix F Figure A6). Only 11 countries had a median intake >50 g/day, most of which were in the APAC region ($n = 6/11$).

All countries in the European region ($n = 33$) fell below 50 g of legumes per day, except Israel, and 36% (12/33) fell below 10 g/day indicating that Europe had the lowest level of reported consumption overall. In APAC, 65% of countries fell below 50 g/day ($n = 11/17$) but only two countries fell below 25 g/day. No country in Africa met the 50 g daily target, and 4/7 consumed below 25 g/day. More than two-thirds of countries in LAC (89%) fell below the consumption target of 50 g/day ($n = 25/28$), although most countries ($n = 19/28$) consumed above 25 g/day. Most countries in the Near East consumed above 25 g/day ($n = 5/6$), with just one country, Saudi Arabia, exceeding the 50 g/day target. Neither of the two countries representing North America met the 50 g target.

At the country-specific level, highest intakes were reported in Afghanistan (122.7 g/day), Vietnam (104.7 g/day), Sri Lanka (89.6 g/day), Brazil (83.0 g/day) and Israel (75.3 g/day), while Norway (1.2 g/day), Switzerland (2.9 g/day), Poland (3.0 g/day), Argentina (3.7 g/day) and Malta (4.0 g/day) reported the lowest consumption overall. In Australia and New Zealand, median legume intake was 26.1 and 68.0 g/day, respectively, and lower intakes were reported in Canada (20.8 g/day) and the United States (21.8 g/day). The UK reported a median intake of 44.8 g/day.

Median bean and legume consumption was compared to the legume food group classification for 81 countries with matched data. This excludes seven countries that did not have a food guide, five countries where the food group classification could not be determined and one country where consumption data was not available. In Albania, where legumes were classified as both a starchy staple and as a meat alternative in the protein-rich food group, median consumption was 42.4 g/day, higher than any other food group classification, although it should be noted that only one country grouped legumes as both a starchy staple and alternative to meat. Countries that placed legumes together with either nuts or seeds had the greatest range in intake (11.6–122.7 g/day), followed by those that

grouped legumes together with protein-rich foods (4.0–104.7 g/day), which also had the greatest number of countries exceeding the 50 g/day target (5/33). More than two-thirds of those that classified legumes in their own food group (71%) consumed more than 25 g/day.

4. Discussion

Food-based dietary guidelines reflect a country's particular pattern of eating while trying to optimise the health of the general population [30]. This global examination of dietary guidelines, focused on legumes, found that there was no consistency in the terminology used to define the category, with twelve different variations in the language used. While 'legumes', the more inclusive term, was utilised more frequently to define the category than the terms 'beans' or 'pulses' (referring to the dried, mature seeds), the discrepancy in the language used to categorise legumes may be a barrier to the dissemination of clear dietary guideline messaging, as previously mentioned by Didinger and Thompson (2021) [31]. Findings from this review, and previously published research [31,32], may provide impetus to revise the language and the classification system used to define legumes in dietary guidelines globally to move towards a more consistent, and accurate definition.

This review points to a particular opportunity for Australia to refer more specifically to 'Beans, peas and lentils', as opposed to 'legumes/beans' with the suggestion that the general terms, legumes and pulses, may not be familiar to consumers. This was reflected in a recent study involving 505 Australian respondents, in which legumes were most commonly associated with foods such as "beans" (72%), "lentils" (55%), "chickpeas" (42%), and "peas" (24%) [20]. Interestingly, a change in the terminology used to classify and define legumes has been adopted in the most recent revision of The United States 2020–2025 Dietary Guidelines, where the vegetable subgroup changed from 'legumes (beans and peas)' to 'beans, peas and lentils' [31,33]. A shift in the language used to define legumes in the ADG may assist in the translation of the guidelines and help consumers to understand what is expected in terms of consumption. However, it should be noted that consumer understanding and interpretation of dietary guidelines was not assessed in this review and further research is warranted.

Global bean and legume consumption drawn from the GDD 2018 was lower than suggested targets, with only 11 of those with FBDG reporting a median intake greater than 50 g/day. Although countries in the APAC region reported the highest level of consumption, this region also had the greatest variability (9.7–122.7 g/day), whereas surveys from North America had very little variation (20.8–21.8 g/day). Variability in consumption may be due to differences in cultural dietary patterns, where there may be a larger number of pulse consumers. For example, the highest level of consumption was reported in Afghanistan (122.7 g/day), where legumes are commonly incorporated into vegetarian and meat dishes as a thickener; however, this country was also one of few that presented the most explicit recommendations for the inclusion of legumes in the dietary pattern (Table 1). As a region, Europe, made up of 33 countries, had by far the lowest consumption of legumes, with more than one-third of countries (36%) reporting intakes less than 10 g/day.

In Australia, low consumption may be due to unfamiliarity with legumes and the tendency to use them as an ingredient rather than as a traditional pattern of eating or being central to the dish [34]. It has been suggested that increasing pulse consumption requires a multifaceted approach, with a focus on addressing the barriers to consumption, such as changing purchasing habits, or the provision of culinary education to improve knowledge and understanding on how to prepare and cook legumes [20]. The impact of COVID-19 on consumption of legumes is yet to be accounted for, with some indication that consumers may have pantry-stocked canned and dried legumes due to the uncertainty related to food supply issues. Future national nutrition surveys will need to consider methodological issues in detecting changes in consumption over the 2020–2021 time period, particularly considering food groups such as legumes. In any case, the history of poor intake of legumes in Australia may also be attributable, in part, to their lack of adequate representation within

dietary guidelines. Findings from the present analysis provide some support for the need to address this in order to influence change and shift consumption.

Over time, traditional legume consumption may have been displaced through changes in dietary patterns and availability of alternate protein sources. A historical reflection from Germany in 1850 to 1970 reported a decrease in legume consumption and a rise in meat and fat intake, a trend that may be continuing today in some countries [35]. In Spain, over a shorter timeframe, legume consumption had decreased from 20.2 g/day (1991) to 11.9 g/day (2010) [36], and since then, intake has increased to 22.0 g/day following the recategorisation of legumes into the protein-rich food group. Changes in economic circumstances may also encourage a change from legumes to more animal proteins and the reverse may also be true. More recent attention on dietary sustainability issues, plant protein and flexitarian eating patterns may help draw attention to the relative proportion of animal and plant protein within dietary patterns. A historical review of legume recommendations in dietary guidelines with associated changes in consumption would be a suggested area for future research to determine the impact of dietary guidelines on intake.

Almost all food guides visually represented legumes, which were most often categorised as a meat alternative within the protein-rich food group (38%) or within their own group (20%). In contrast, when comparing placement of legumes in written guidelines with the relevant consumption data, higher consumption appeared aligned with placement of legumes in their own category or together with nuts and seeds (23 countries in total). This is closely followed by countries where legumes were recognised as a protein food (33 countries) and together with starchy staples. Australia and the US tended to have lower reported consumption, although legumes were classified in two groups which is based on their nutritional profile [11,19]; grouped together with vegetables despite being far higher in protein, and with meat and protein foods despite the high dietary fibre content. Including legumes in two separate food groups is purportedly to encourage consumption, although this approach was only utilised by these two countries. Furthermore, the phrase utilised in the Australian guidelines, “and/or legumes/beans” at the end of each food group statement could be considered tokenistic and may understate the importance of this food group in future dietary patterns.

While FBDG are built on the available scientific evidence supporting foods and dietary patterns, the statements within guidelines are designed to specifically suit the population. Similar to the terminology used to define the category, it was clear there was variation in the way guidelines were expressed based on culturally based dietary patterns. Countries such as Mexico, Qatar, Dominican Republic, Albania, Guatemala and Malta encouraged legume intake by categorising legumes as their own food group or provide detailed information on the culinary use of legumes to encourage consumption [30]. Other influences such as food security, historical effects, and the different structure of food chains in each country may also influence legume classification within FBDG [19].

This analysis represents the most comprehensive review of global FBDG and consumption data for legumes, however, should be viewed in light of the limitations. Full FBDG documents could not be reviewed for 49 countries, as they were not in English language which limits the scope the present study. The GDD 2018 dietary intake data are based on estimates using a combination of various sources, particularly self-reported dietary intake data. As such, there needs to be some consideration for the self-reported nature of dietary data collection, although this is true of all large population dietary data sets. In comparison to the GDD 2018, FAO estimates have shown to underestimate legume consumption by 50% [37], providing support for the use of the GDD. Furthermore, we acknowledge that legume content may be hidden as an ingredient in foods [38], particularly in countries where there is a more complex food supply system, this may perpetuate issues with underestimation of intake. We were unable to draw direct links between consumption data and the categorisation of legumes in dietary guidelines due to the variety of classification systems and low levels of consumption across numerous countries. However, there were some apparent patterns; particularly when legumes were grouped together with

protein-rich foods or where legumes were classified in their own food group, consumption more often exceeded 25 g/day.

5. Conclusions

Dietary guidelines aim to facilitate easy translation to dietary patterns specific to a population. Legumes are potentially difficult to position due to their nutrient composition, being high in dietary fibre and plant-based protein. With greater emphasis on sustainable eating patterns, novel placement of legumes or at least repositioning of legumes may be a consideration within FBDG. Future research should test messaging in dietary guidelines, particularly in countries where increased consumption is desired and where legumes have been added to multiple food groups such as in Australia.

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Data Availability Statement: All data on food-based dietary guidelines are contained within the article. Publicly available datasets on bean and legume consumption were analysed and presented as Figures A1–A6. Consumption data can be found via the Global Dietary Database: <https://www.globaldietarydatabase.org/> (accessed on 15 June 2022).

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Conflicts of Interest: J.H. and S.G. were employed by the Grains & Legumes Nutrition Council during the period of time when this research was planned and conducted.

Appendix A

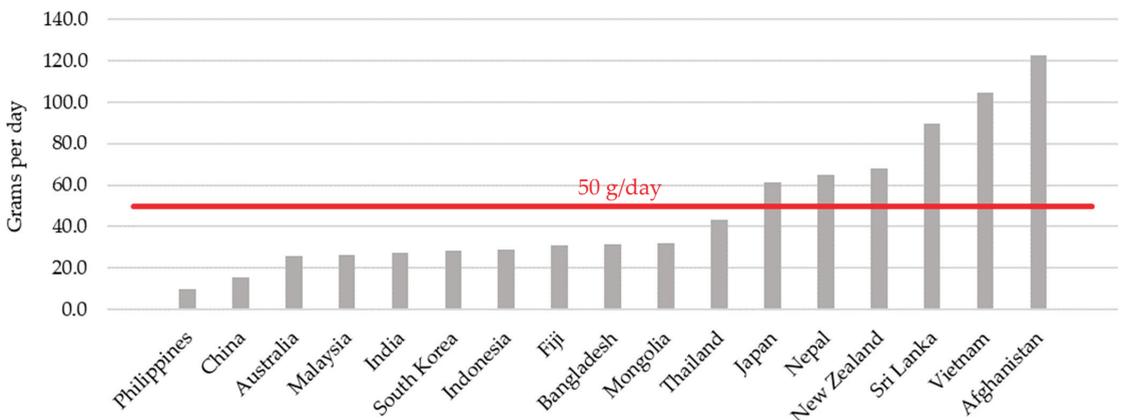


Figure A1. Median bean and legume intake for countries in the Asia and Pacific Region with food-based dietary guidelines ($n = 17$).

Appendix B

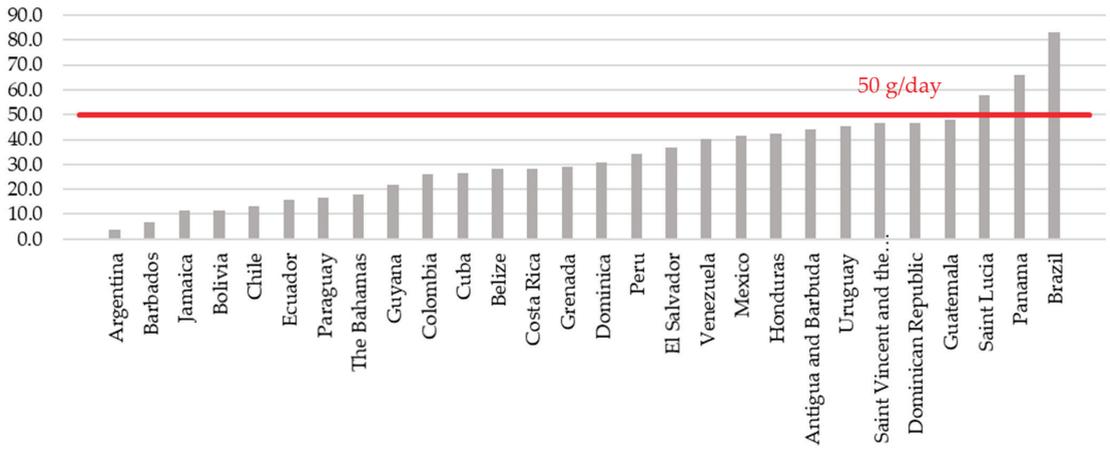


Figure A2. Median bean and legume intake for countries in Latin America and the Caribbean with food-based dietary guidelines ($n = 28$).

Appendix C

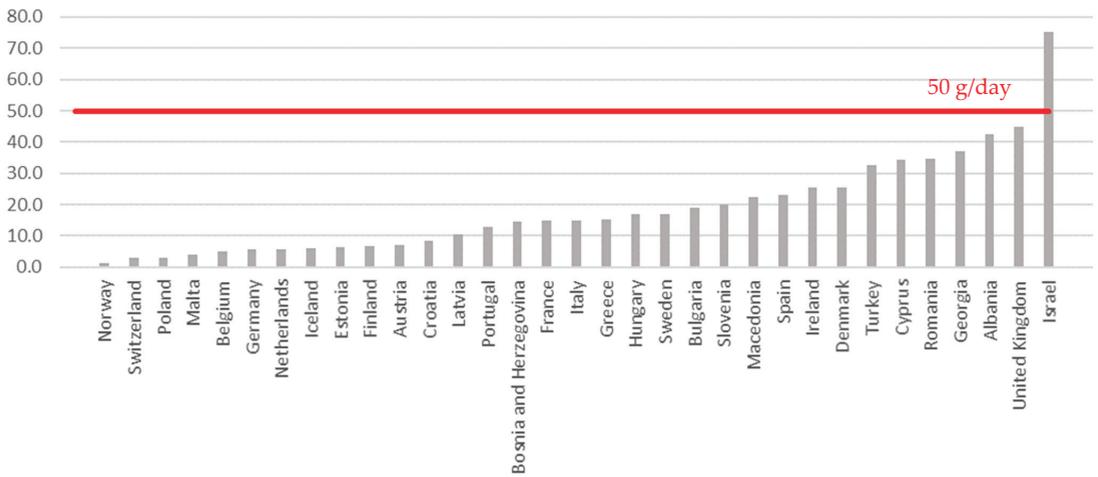


Figure A3. Median bean and legume intake for countries in Europe with food-based dietary guidelines ($n = 33$).

Appendix D

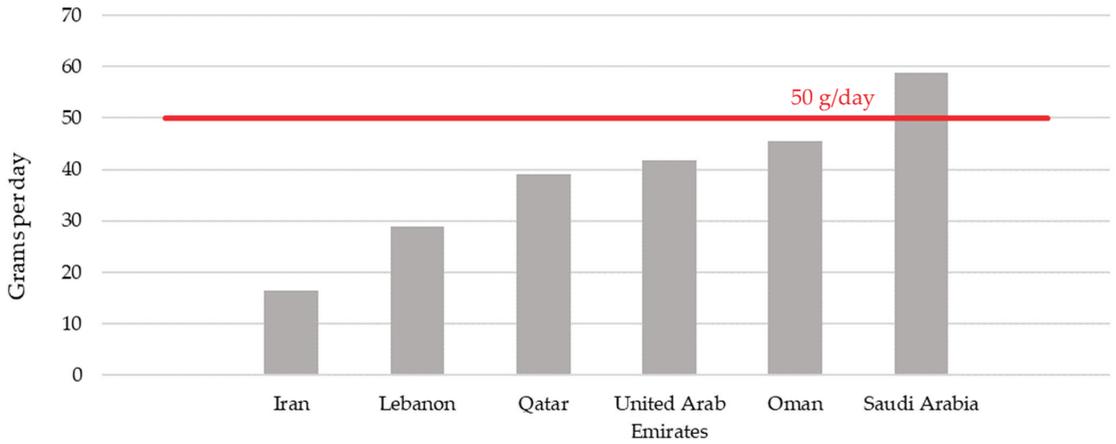


Figure A4. Median bean and legume intake for countries in the Near East with food-based dietary guidelines ($n = 6$).

Appendix E

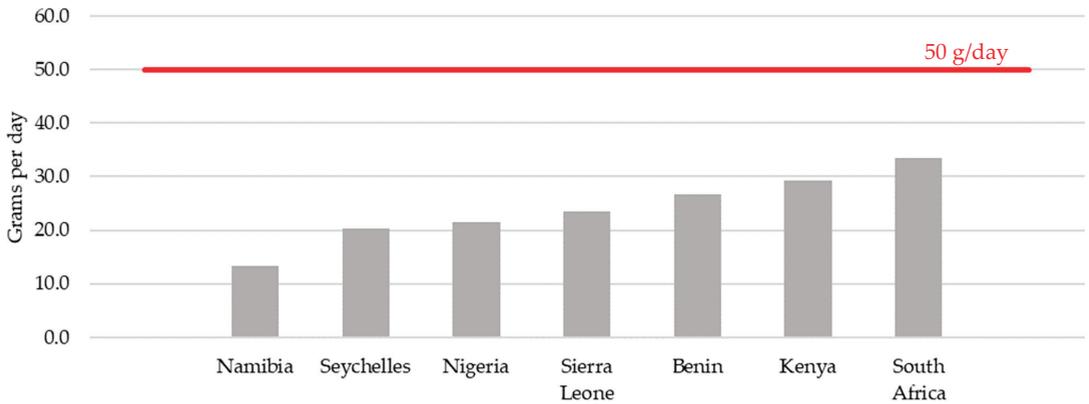


Figure A5. Median bean and legume intake for countries in Africa with food-based dietary guidelines ($n = 7$).

Appendix F

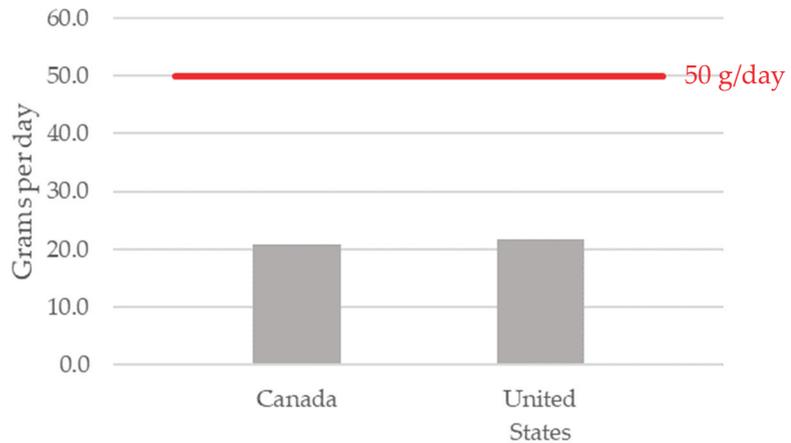


Figure A6. Median bean and legume intake for countries in North America with food-based dietary guidelines ($n = 2$).

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Article

Food Fortification of Instant Pulse Porridge Powder with Improved Iron and Zinc Bioaccessibility Using Roselle Calyx

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Abstract: Undernutrition and mineral deficiencies negatively impact both the health and academic performance of school children, while diets high in phytic acid and some phenolics inhibit the absorption of minerals such as iron and zinc. This study developed instant porridge powders rich in iron and zinc using pregelatinized chickpea flour (PCPF) and pregelatinized foxtail millet flour (PFMF) and assessed the potential of utilizing roselle calyx powder (RCP) as a source of organic acids to enhance its iron and zinc bioaccessibility. Physical properties, nutrients, mineral inhibitors and in vitro iron and zinc bioaccessibility of different proportions of PCPF, PFMF and RCP in instant porridge powders were evaluated. Three instant porridge powder formulations including instant chickpea powder (ICP) using PCPF, instant composite flour (ICF) using PCPF and PFMF and instant pulse porridge powder (IPP) using PCPF, PFMF and RCP were developed. Results show that all instant porridge powders were accepted by sensory evaluation, while different ingredients impacted color, consistency and the viscosity index. Addition of RCP improved the bioaccessibility of iron (1.3–1.6-fold) and zinc (1.3–1.9-fold). A 70 g serving of these instant porridge powders substantially contributed to daily protein, iron and zinc requirement for children aged 7–9 years. These porridge powders hold potential to serve as school meals for young children in low-to-middle income countries.

Keywords: *Setaria italica* L.; *Cicer arietinum* L.; *Hibiscus sabdariffa* L.; chickpea flour; foxtail millet flour; minerals; organic acids; pregelatinization; sensory evaluation; nutritional values; in vitro bioaccessibility

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1. Introduction

Iron deficiency anemia is one of the five leading causes of individuals living with disability burden worldwide [1]. Iron deficiency anemia has been widely researched, with the global prevalence of iron deficiency without anemia expected to be at least double that of iron deficiency anemia [2]. Zinc deficiency is also a major public health problem impacting almost all low-to-middle income countries [3]. These deficiencies lead to impaired mental and physical and cognitive development, reduced work productivity, an increased risk of perinatal complications, morbidity from infectious disease and mortality [2,3]. At both individual and population levels, deficiencies of iron and zinc coexist in low-to-middle income countries such as Nepal, Ethiopia and Nigeria. This is because they share similar food sources and dietary elements, hindering or facilitating the absorption of these two minerals [3,4]. The problem is more severe in southern Asia, primarily among women and children, even though this region is witnessing improved agricultural output, poverty alleviation and access to education [4]. Iron and zinc deficiencies arise from the consumption of monotonous, predominantly unrefined plant-based diets. They are usually due to the presence of high amounts of mineral binders such as phytic acid and condensed tannin [5,6]. Wholegrain cereals and legumes may contain sufficient quantities of these minerals to meet daily intake requirements, but their bioavailability is generally low [5].

Food-based strategies include the integration of dietary diversity, with the principles of bioavailability translated to efficient food synergies, and due emphasis on food accessibility and affordability [7]. Such strategies are recognized as cost-effective, viable and sustainable solutions to combat the double burden of malnutrition of at-risk populations, especially school children. They also promote self-reliance of the community [3,7]. The nutritional status of school children has a direct impact on their health, cognitive abilities and educational performance. School children are often far from mainstream beneficiaries in underdeveloped countries such as Nepal, and the available resources mostly go to younger children who are more vulnerable [8]. However, the importance of investment in school children has been recognized to improve nutrition and also derive long-term societal benefits through poverty reduction and diminishing the economic gap [8,9]. A copious amount of evidence suggests that integration of school meal programs to provide complete or supplemental meals to students promotes nutrition, school participation and enrollment and also enhances educational achievement [10–13]. It is recommended that school meals be prepared using locally available foods high in protein and micronutrients, such as cereal- and legume-based porridge powder [10–13], which are widely consumed in Nepal by all age groups, particularly children [14].

Foxtail millet (*Setaria italica* L.) is one of the oldest varieties of millet, and a neglected minor crop with great potential to help in coping with food insecurity and climate change in remote areas of Nepal [15]. This gluten-free grain is rich in calcium, dietary fiber, polyphenols and protein [16]. Chickpea (*Cicer arietinum* L.) is one of the major pulses consumed as a reliable source of plant-based protein, vitamins and minerals in Nepal [17]. Utilization of this pulse as a sustainable and ecofriendly source of protein is attracting increased attention, with countless possibilities to improve food insecurity worldwide [18]. To improve released iron and/or zinc contents from the food matrix through digestion and solubility in the gut lumen [19], addition of organic acids, especially from plant sources, is required. Roselle (*Hibiscus sabdariffa* L.) is a locally available phenolics-rich plant containing dietary components such as ascorbic acid, citric acid and other organic acids [20,21]. Combining such locally available plants with staple grains (food-to-food fortification) has been advocated as a sustainable method to improve iron and zinc contents and bioavailability [22]. However, a study of food-to-food fortification of instant porridge powder with improved iron and zinc bioaccessibility using roselle calyx for Nepalese school children is challenging due to the specific characterizations of each ingredient.

Hence, this study developed nutrient-dense instant porridge powder from pregelatinized chickpea flour (PCPF) and pregelatinized foxtail millet flour (PFMF) using roselle calyx powder (RCP) as a potential food-to-food fortification to improve the bioaccessibility of iron and zinc while also maintaining the desired sensory properties. Different proportions of pregelatinized chickpea flour, pregelatinized foxtail millet flour and roselle calyx powder were assessed for their physical properties, nutritive values and mineral inhibitor contents. Results provide crucial information concerning iron and zinc bioaccessibility of roselle calyx-enriched instant porridge powder products, using locally available and inexpensive food sources as possible future school meals.

2. Materials and Methods

2.1. Raw Materials

Chickpea and foxtail millet were purchased from Young Smart Farmer, Songkhla, Thailand. The pregelatinized forms of these two pulses, PCPF and PFMF, were prepared according to Subedi et al. [23]. PCPF consisted of 4.85% moisture, 22.34% protein, 7.27% total fat, 63.68% total carbohydrates (comprising 17.07% dietary fiber), 4.90% iron and 2.62% zinc, while PFMF consisted of 5.88% moisture, 12.84% protein, 3.58% total fat, 76.60% total carbohydrates (comprising 3.41% dietary fiber), 3.29% iron and 2.52% zinc. The chemical composition of RCP was 9.11% moisture, 7.26% protein, 1.69% total fat, 73.99% total carbohydrates (comprising 41.89% dietary fiber) and 38.60% total organic acids (comprising 18.84% succinic acid, 13.61% formic acid, 2.21% acetic acid, 2.15% oxalic acid, 1.55% citric

acid, 0.23% ascorbic acid and 0.01% malic acid). RCP was purchased from Huglamool Farm, Amnat Charoen, Thailand. Dried powders of seasoning herbs (onion powder, garlic powder, cumin seed powder, coriander seed powder, black pepper and turmeric) were bought from Khunsiri brand, Pathum Thani, Thailand. Chili powder was obtained from Prakriti Indian Trading Co., Ltd., Bangkok, Thailand. Soybean oil was purchased from Angoon brand, Thai vegetable oil PLC, Bangkok, Thailand. All reagents used in this study were obtained from Sigma-Aldrich (St. Louis, MO, USA).

2.2. Preparation of Three Different Instant Porridge Powder Formulations

Three different formulations of instant porridge powders, including instant chickpea powder (ICP) using PCPF, instant composite flour (ICF) using PCPF and PFMF and instant pulse porridge power (IPP) using PCPF, PFMF and RCP, were prepared (Table 1) by mixing the ingredients with a laboratory blender (model HR1393/00, Philips (Thailand) Ltd., Bangkok, Thailand) for 30 s. The optimal ratio of RCP in the IPP formulation was selected based on sensory evaluation and *in vitro* bioaccessibility of iron and zinc, as shown in Supplementary Tables S2 and S3. The mixtures were then sealed in aluminum foil bags and kept at 4 °C until use. Seasoning spices mixed powder (SSMP) consisting of 52.83% onion powder, 3.33% garlic powder, 0.67% turmeric powder, 1.67% coriander powder, 0.66% cumin powder, 1.67% chili powder and 0.33% black pepper powder, was prepared using a laboratory blender, packed in aluminum foil bags and kept at 4 °C until use.

Table 1. Ingredients of three different instant porridge powder formulations.

Ingredients (%)	Instant Porridge Powders		
	ICP	ICF	IPP
Pregelatinized chickpea flour (PCPF)	82.66	49.74	46.76
Pregelatinized foxtail millet flour (PFMF)	–	33.45	31.44
Oil	8.51	7.23	7.23
Roselle calyx powder (RCP)	–	–	4.99
Seasoning spices mix powder (SSMP)	6.70	7.32	7.32
Salt	2.13	2.26	2.26

ICP: instant chickpea powder using PCPF; ICF: instant composite flour using PCPF and PFMF; IPP: instance pulse porridge power using PCPF, PFMF and RCP.

2.3. Analysis of Nutritive Values

Proximate analysis (moisture content, fat, protein, ash, carbohydrates, energy and total dietary fiber) was determined according to the method of AOAC [24]. Moisture content was evaluated by drying the samples in a hot-air oven (Memmert model UNE 500, Eagle, WI, USA) at 100 °C until constant weight was achieved (AOAC 930.04). Total fat content was determined by acidic digestion and solvent extraction with petroleum ether using a Tecator Soxtec System (model 1043, Hoganas, Sweden) (AOAC 922.06). Protein content was analyzed using the Kjeldahl method utilizing digestion and distillation units (BÜCHI Corporation, New Castle, DE, USA), and then calculated using a conversion factor of 6.25 (AOAC 991.20). Ash content was analyzed by incineration of the organic matter in a muffle furnace (Carbolite model CWF 1100, Hope, UK) at 550 °C (AOAC 930.30). Total carbohydrates were calculated by the subtraction of moisture, fat, protein and ash contents from 100. Energy value was attained from the integration of total energy from carbohydrates, protein and fat as 4, 4 and 9 kcal/g samples, respectively. Total dietary fiber was evaluated using the enzyme gravimetric method (AOAC 985.29). Iron and zinc were analyzed using an Inductively Coupled Plasma–Optical Emission Spectrophotometer (ICP–OES) (AOAC 984.27) [24]. All samples were determined in the Accredited Laboratory, complying with ISO/IEC 17025:2017, at the Institute of Nutrition, Mahidol University (Nakhon Pathom, Thailand). Results as per 100 g fresh weight (FW) are shown in Supplementary Table S1. To accurately compare the nutritive values among the three instant porridge powders, compositions per 100 g dry weight (DW) were calculated.

2.4. Sensory Evaluation

Sensory evaluation was conducted by 40 untrained panelists comprising Nepali people living in Thailand. The test was performed in an individual testing booth of the sensory science laboratory at the Institute of Nutrition, Mahidol University, and lasted 10–15 min. All samples were prepared on the same day of the test and served fresh. The porridge was prepared by reconstituting porridge powder in warm water (60 ± 2 °C) at a ratio of 1:3 (*w/w*). The panelists evaluated the products for satisfaction based on appearance, color, odor, taste, texture and overall liking using a nine-point hedonic scale (1 = dislike extremely to 9 = like extremely) [25].

2.5. Physical Analysis

Physical properties were analyzed in terms of color (L^* (lightness), a^* (red–green color) and b^* (yellow–blue color)) using a Colorflex EZ Spectrophotometer (HunterLab, Reston, VA, USA), water activity (A_w) by a water activity measurement instrument (model ms1–1 M, Novasina, Lachen, Switzerland) and pH using a pH meter (Ohaus Corporation, Morris Country, NJ, USA). Bulk density (BD) was determined by weighing 50 g of sample into a 100 mL measuring cylinder before tapping continuously until a constant volume was obtained. BD was calculated using Equation (1) [26].

$$\text{Bulk density (g/cm}^3\text{)} = \frac{\text{Weight of the sample}}{\text{Volume of the sample after tapping}} \quad (1)$$

The water solubility index (WSI) and water absorption index (WAI) were determined following Anderson, 1999 [27]. The sample (1.25 g) was weighed into a preweighed 50 mL centrifuge tube, followed by the addition of 20 mL of deionized water. The centrifuge tube with the sample mixture was then placed in a SWB20 water bath shaker (Australian Scientific Instruments PTY. Ltd., Fyshwick, Canberra, Australia) at 30 °C for 30 min. The sample was then centrifuged using a Hettich® ROTINA 16R centrifuge (Andreas Hettich GmbH, Tuttlingen, Germany) at $1190 \times g$ for 10 min at 25 °C. The supernatant was decanted for determination of its solid content, and the sediment was weighed using a weighing balance (Mettler Toledo, Toronto, Canada). The supernatant was dried at 105 °C until reaching a stable weight (approximately 3 h). WSI and WAI were calculated using Equations (2) and (3), respectively.

$$\text{WSI} = \left(\frac{\text{Weight of dissolved solid in supernatant}}{\text{Weight of dry solids}} \right) \times 100 \quad (2)$$

$$\text{WAI} = \frac{\text{Weight of sediment}}{\text{Volume of dry solid}} \quad (3)$$

The texture of porridge samples as determined by the consistency and viscosity index was analyzed by a back extrusion test using a TA–XT plus Texture Analyzer (Stable Micro Systems, Godalming, Surrey, UK) followed by the method of Syahariza and Yong (2017) [28]. The texture analysis was carried out at 25 °C and replicated ten times for each sample.

2.6. Anti-Nutrient Analysis

Phytic acid content (total phosphorus) was measured using a phytic acid assay kit (Megazyme, Wicklow, Ireland) as described by McKie and McCleary (2016) [29], with results expressed as g/100 g DW. To determine total phenol and tannin contents, the Folin–Ciocalteu method of The Food and Agriculture Organization of the United Nations (FAO) and the International Atomic Energy Agency (IAEA) (2000) was utilized [30]. Results are expressed as mg tannic acid equivalent (TAE)/100 g DW.

2.7. Determination of Iron and Zinc Bioaccessibility

The samples were analyzed for bioaccessibility by the in vitro dialyzability method of Ting and Loh (2016) [31]. Peptic and pancreatic digestion were simulated by the enzyme

pepsin (P7000, from porcine stomach mucosa), pancreatin (P1750, from porcine pancreas) and bile extract (B8631, porcine). Mineral contents of the dialysates were analyzed by ICP-OES as described in the iron and zinc contents analysis.

2.8. Microbiological Analysis

Total plate count (TPC) and yeast and mold counts (YMCs) were performed following the standard protocols of the Bacteriological Analytical Manual (BAM) [32]. The numbers of colonies appearing on the dilution plates were counted, averaged and reported as colony forming units (CFUs)/g.

2.9. Statistical Analysis

All measurements were performed in triplicate or as stated otherwise, with results expressed as mean \pm standard deviation (SD). Mean differences of $p < 0.05$ were determined by one-way analysis of variance (ANOVA), followed by Duncan's multiple comparison test for more than two data sets or Student's unpaired *t*-test for two data sets using IBM SPSS Statistics for Windows version 26.0 (IBM Corp., Armonk, NY, USA).

3. Results

3.1. Nutritional Analysis

The proximate analysis results as well as iron and zinc contents (per 100 g DW) of ICP, ICF and IPP are shown in Table 2, while contents per 100 g FW are shown in Supplementary Table S1. The energy, fat, carbohydrates and total dietary fiber of all instant porridge powders varied significantly. ICP exhibited slightly but significantly higher energy (1-fold higher than the others), protein (1.2-fold higher than the others), fat (1.2-fold higher than the others) and total dietary fiber (1.2–1.5-fold higher than the others), while containing the lowest carbohydrate content (1.1-fold lower than the others). The ash content of IPP was also slightly but significantly higher than ICP and ICF (1.1-fold higher), possibly due to the supplementation of RCP. The same trends with ash content were observed in iron contents of all instant porridge powders, while no significant difference in zinc content (2.72–3.95 mg/100 g DW) was observed among the instant porridge powders.

Table 2. Proximate, iron and zinc contents of instant porridge powders (per 100 g dry weight).

Formulations	Energy (kcal)	Protein (g)	Fat (g)	Carbohydrates (g)	Total DF (g)	Ash (g)	Iron (mg)	Zinc ^{ns} (mg)
ICP	458.76 \pm 0.44 ^a	20.15 \pm 0.07 ^a	15.03 \pm 0.13 ^a	60.72 \pm 0.25 ^c	17.15 \pm 0.53 ^a	4.10 \pm 0.05 ^b	4.15 \pm 0.01 ^b	3.58 \pm 1.09
ICF	447.07 \pm 0.89 ^c	16.60 \pm 0.11 ^b	12.67 \pm 0.23 ^c	66.66 \pm 0.20 ^a	11.54 \pm 0.24 ^c	4.07 \pm 0.08 ^b	4.23 \pm 0.16 ^b	2.72 \pm 0.13
IPP	450.70 \pm 0.79 ^b	16.50 \pm 0.00 ^b	13.73 \pm 0.00 ^b	65.40 \pm 0.07 ^b	13.80 \pm 0.00 ^b	4.44 \pm 0.01 ^a	4.89 \pm 0.25 ^a	3.95 \pm 0.21

All data are shown as the mean \pm standard deviation (SD) of triplicate determination ($n = 3$). Different lowercase letters denote significantly different contents of the same proximate composition or iron content at $p < 0.05$, while ^{ns} denotes no significant differences in zinc content at $p \geq 0.05$ in different instant porridge powder formulations using one-way ANOVA, followed by Duncan's multiple comparison test. DF: dietary fiber; ICP: instant chickpea powder using pregelatinized chickpea flour (PCPF); ICF: instant composite flour using PCPF and pregelatinized foxtail millet flour (PFMF); IPP: instant pulse porridge powder using PCPF, PFMF and roselle calyx powder (RCP).

3.2. Sensory Evaluation of Instant Porridge Powders

Sensory evaluation results of ICP, ICF and IPP are shown in Table 3, indicating no significant differences ($p \geq 0.05$) in sensory attribute scores among the three instant porridge powders, including in appearance (6.45–6.73), color (6.68–6.85), odor (6.68–7.10), taste (6.28–6.75), texture (6.20–6.73) and overall liking (6.43–6.85). The sensory attribute scores of all instant porridge powders were higher than 6 (like slightly).

Table 3. Sensory evaluation of instant porridge powders.

Instant Porridge Powders	Sensory Attributes					
	Appearance ^{ns}	Color ^{ns}	Odor ^{ns}	Taste ^{ns}	Texture ^{ns}	Overall Liking ^{ns}
ICP	6.45 ± 1.21	6.85 ± 1.09	7.10 ± 1.66	6.28 ± 1.81	6.20 ± 1.41	6.43 ± 1.69
ICF	6.65 ± 1.37	6.68 ± 1.21	6.68 ± 1.44	6.75 ± 1.11	6.55 ± 1.11	6.68 ± 1.27
IPP	6.73 ± 1.38	6.70 ± 1.36	7.10 ± 1.21	6.60 ± 1.35	6.73 ± 0.93	6.85 ± 1.10

All data are shown as the mean ± standard deviation (SD) of 40 untrained panelists ($n = 40$). The^{ns} denotes no significantly different values at $p \geq 0.05$ for the same sensory attributes in different instant porridge powder formulations using one-way ANOVA, followed by Duncan's multiple comparison test. ICP: instant chickpea powder using pregelatinized chickpea flour (PCPF); ICF: instant composite flour using PCPF and pregelatinized foxtail millet flour (PFMF); IPP: instant pulse porridge powder using PCPF, PFMF and roselle calyx powder (RCP).

3.3. Physical Analysis of Instant Porridge Powders

Physical property results including color, water activity (A_w), water solubility index (WSI), water absorption index (WAI), bulk density (BD) and texture (consistency and viscosity index) are shown in Table 4. Color analysis indicated that IPP was significantly darker and redder than ICP and ICF, possibly due to the addition of RCP. All instant porridge powders exhibited A_w ranging from 0.13 to 0.18, with IPP exhibiting the highest value. No significant differences in WSI (6.13–6.40%), WAI (3.77–4.04 g/g) and BD (0.72 g/cm³) were observed among the instant porridge powders. For texture analysis, the highest consistency (1.3–4.3-fold higher than the others) and viscosity index (1.6–25.1-fold higher than others) were recorded in ICF, while ICP exhibited the lowest consistency and viscosity index.

Table 4. Physical properties of instant porridge powders.

Formulations	Color			A_w	WSI (%) ^{ns}	WAI (g/g) ^{ns}	BD (g/cm ³) ^{ns}	Consistency (N s)	Index of Viscosity (N s)
	L*	a*	b*						
ICP	35.40 ± 0.27 ^a	3.69 ± 0.09 ^b	35.88 ± 0.09 ^a	0.17 ± 0.00 ^a	6.40 ± 3.67	4.04 ± 0.12	0.72 ± 0.01	96.65 ± 4.37 ^c	2.47 ± 2.10 ^c
ICF	34.06 ± 0.57 ^b	3.42 ± 0.17 ^c	32.47 ± 0.26 ^b	0.13 ± 0.01 ^b	6.40 ± 1.39	4.00 ± 0.09	0.72 ± 0.00	413.94 ± 35.38 ^a	62.07 ± 8.93 ^a
IPP	27.80 ± 0.40 ^c	4.13 ± 0.02 ^a	19.64 ± 0.31 ^c	0.18 ± 0.01 ^a	6.13 ± 1.22	3.77 ± 0.17	0.72 ± 0.01	306.64 ± 22.65 ^b	37.65 ± 5.18 ^b

All data are shown as the mean ± standard deviation (SD) of triplicate determination ($n = 3$). Different lowercase letters denote significant differences in color, A_w , or texture at $p < 0.05$, while^{ns} denotes no significant differences in WSI, WAI or BD at $p \geq 0.05$ in different instant porridge powder formulations using one-way ANOVA, followed by Duncan's multiple comparison test. ICP: instant chickpea powder using pregelatinized chickpea flour (PCPF); ICF: instant composite flour using PCPF and pregelatinized foxtail millet flour (PFMF); IPP: instant pulse porridge powder using PCPF, PFMF and roselle calyx powder (RCP); A_w : water activity; WSI: water solubility index; WAI: water absorption index; BD: bulk density; N s: Newton second; L*: lightness; a*: red/green value; b*: blue/yellow value.

3.4. Mineral Inhibitor Contents in Instant Porridge Powders

A plant-based diet constitutes an important source of all nutrients, including minerals. However, plants are also sources of mineral inhibitors such as phytate, the main inhibitor of mineral absorption (iron, zinc and calcium) [33]. Thus, the mineral inhibitor contents of ICP, ICF and IPP, including phytic acid, tannin and phenols, were evaluated (Table 5). Results indicate no significant difference in phytic acid (1.45–1.58 g/100 g DW) among the instant porridge powders. However, the tannin and total phenol contents of all instant porridge powders varied significantly. IPP presented the highest tannin (1.8–3.6-fold higher than the others) and total phenols (1.7–2.5-fold higher than the others), while the lowest tannin and total phenol content were recorded in ICF.

3.5. In Vitro Iron and Zinc Bioaccessibility of Instant Porridge Powders

Iron and zinc bioaccessibility and pH values of the three instant porridge powders were determined using the in vitro digestion assay (Table 6). IPP presented the highest bioaccessibility and percentage of bioaccessibility of iron (1.5–1.6-fold and 1.3–1.4-fold, respectively, higher than the others) with zinc (1.9-fold and 1.3–1.5-fold, respectively, higher

than the others). However, no significant differences in the bioaccessibility or percentage of iron and zinc bioaccessibility were observed between ICP and ICF. For pH, IPP exhibited the lowest values (1.3-fold lower than the others), while no significant pH difference was recorded between ICP (5.78) and ICF (5.83).

Table 5. Mineral inhibitor content of instant porridge powders.

Formulation	Mineral Inhibitors		
	Phytic Acid (g/100 g DW) ^{ns}	Tannin (mg TAE/100 mg DW)	Total Phenols (mg TAE/100 mg DW)
ICP	1.48 ± 0.05	1.39 ± 0.01 ^b	1.67 ± 0.01 ^b
ICF	1.45 ± 0.13	0.71 ± 0.01 ^c	1.15 ± 0.02 ^c
IPP	1.58 ± 0.16	2.58 ± 0.13 ^a	2.83 ± 0.13 ^a

All data are shown as the mean ± standard deviation (SD) of triplicate determination ($n = 3$). Different lowercase letters denote significantly different values of tannin or total phenol at $p < 0.05$, while ^{ns} denotes no significant differences in phytic acid content at $p \geq 0.05$ in different instant porridge powder formulations using one-way ANOVA, followed by Duncan's multiple comparison test. ICP: instant chickpea powder using pregelatinized chickpea flour (PCPF); ICF: instant composite flour using PCPF and pregelatinized foxtail millet flour (PFMF); IPP: instant pulse porridge powder with PCPF, PFMF and roselle calyx powder (RCP); TAE: tannin acid equivalent; DW: dry weight.

Table 6. In vitro iron and zinc bioaccessibility and pH of instant porridge powders.

Formulations	Iron		Zinc		pH
	Bioaccessible (mg/100 g)	% Bioaccessibility	Bioaccessible (mg/100 g)	% Bioaccessibility	
ICP	0.32 ± 0.01 ^b	7.83 ± 0.08 ^b	0.87 ± 0.01 ^b	30.97 ± 2.38 ^b	5.78 ± 0.02 ^a
ICF	0.33 ± 0.02 ^b	8.20 ± 0.07 ^b	0.90 ± 0.02 ^b	34.65 ± 2.66 ^b	5.83 ± 0.02 ^a
IPP	0.51 ± 0.01 ^a	11.08 ± 0.86 ^a	1.70 ± 0.02 ^a	45.83 ± 2.90 ^a	4.55 ± 0.03 ^b

All data are shown as the mean ± standard deviation (SD) of triplicate determination ($n = 3$). Different lowercase letters denote significantly different values of in vitro iron and zinc bioaccessibility and pH at $p < 0.05$ in different instant porridge powder formulations using one-way ANOVA, followed by Duncan's multiple comparison test. ICP: instant chickpea powder using pregelatinized chickpea flour (PCPF); ICF: instant composite flour using PCPF and pregelatinized foxtail millet flour (PFMF); IPP: instant pulse porridge powder using PCPF, PFMF and roselle calyx powder (RCP).

3.6. Microbiological Analysis

In this study, the total plate count (TPC) and yeast and mold counts (YMCs) of the instant porridge powders were assessed according to the Thai Community Product Standard based on instant rice porridge powder. This states that the TPC of the product must be less than 1×10^6 CFU/g, while the YMC must not exceed 100 CFU/g [34]. Results show that the TPC and YMC values of the three instant porridge powders were in line with the standard (Table 7).

Table 7. Microbiological quality assessment of instant porridge powders.

Formulations	Microbiological Quality	
	Total Plate Count (CFU/g)	Yeast and Mold (CFU/g)
ICP	$3.0 \times 10^4 \pm 0.06$	Less than 10
ICF	$7.2 \times 10^4 \pm 0.03$	Less than 10
IPP	$6.8 \times 10^3 \pm 0.01$	Less than 10

All data are shown as the mean ± standard deviation (SD) of triplicate determination ($n = 3$). ICP: instant chickpea powder using pregelatinized chickpea flour (PCPF); ICF: instant composite flour using PCPF and pregelatinized foxtail millet flour (PFMF); IPP: instant pulse porridge powder using PCPF, PFMF and roselle calyx powder (RCP).

4. Discussion

To combat climate change, promotions of sustainable plant-based food sources are gaining emphasis worldwide. Plant-based food also contains calories and macronutrients.

To prevent malnutrition and improve health and cognitive outcomes, the macronutrient balance (ratios between protein, carbohydrates and fat) is a key factor [35,36]. Minerals such as iron and zinc are found abundantly in plant-based foods such as legumes, while mineral inhibitors such as phytate have lower bioavailability compared to animal sources. However, household processing methods such as soaking and addition of enhancers help to ensure that the body uses the minerals we consume. Growing children require higher amounts of these nutrients. Our bodies should be able to easily utilize nutrients to gain the benefits of consuming bioactive compounds from plant-based food sources. This study developed instant porridge powder using pregelatinized foxtail millet and chickpea flours as sources of protein, carbohydrates and fat according to the World Health Organization (WHO) recommendation and fortified the product using roselle calyx powder as a source of organic acids to improve the bioaccessibility of the contained iron and zinc.

The proximate analysis results show that ICP had the highest protein and fat contents owing to having the highest PCPF content. Chickpea is a good source of protein and contains significant amounts of all the essential amino acids. All the instant porridge powders met the recommended values of protein ($\geq 15\%$) according to FAO and WHO (1991) [37]. The WHO (2009) also suggested that values higher than 15% protein may increase renal solute load and interfere with appetite [38]. The recommended dietary allowances (RDAs) and absolute requirement (AR) of protein in the Indian Council of Medical Research (ICMR) (2020) [39] states that the RDA of protein for children aged 7–9 years is 23 g protein/day. One serving size (70 g) of ICP provided a 1.2-fold higher protein contribution to RDA than ICF and IPP (Table 8). All three instant porridge powders demonstrated a higher content of protein than the RDA, while for fat content, all instant porridge powders met the recommended FAO and WHO (1991) values of fat (10–25%). [37]. The fat content in chickpea varies from 3.80 to 10.20% as a relatively good source of nutritionally important polyunsaturated fatty acids, linoleic acid and monounsaturated oleic acid [40]. The carbohydrate contents of ICF and IPP were also within the recommended levels of FAO/WHO (1991), while ICP did not meet the recommended level (64 ± 4 g/100 g) [37]. This observation suggests that legume and cereal combinations provide more balanced nutrition than a single plant-based food material. The dietary fiber contents of the three instant porridge powders also showed significant differences, with the highest value recorded in ICP. According to the ICMR (2020) recommendation, dietary fiber intake should be 25 g/day [39]. IPP presented the highest dietary fiber (1.2–1.5-fold higher than the others), possibly due to the high proportion of PCPF. All instant porridge powders were high in fiber products, with dietary fiber contents of more than 6 g/100 g [41]. Energy is provided by proteins, fats and carbohydrates. The highest energy was recorded in ICP, due to the relatively high protein and fat contents in PCPF. All instant porridges were energy-dense foods that provide many calories in a small serving amount.

Ash content represents the total mineral content in foods. The three instant porridge powders varied significantly in ash content due to the differences in amounts of individual ingredients. Ash content was highest in IPP, possibly due to the addition of RCP during formulation, as RCP is a food source of minerals [42]. This result was confirmed by the observation that the highest amounts of iron (1.2-fold higher than the others) and zinc (1.1–1.4-fold higher than the others) occurred in IPP. According to the RDA and AR values for iron and zinc in the ICMR (2020) [39], children aged 7–9 years require 15 mg iron/day and 5.90 mg zinc/day. Our results show that IPP had the highest contribution of iron (1.1-fold higher than the others) and zinc (1.3–1.4-fold higher than the other) to the RDA for children aged 7–9 years (Table 8), possibly due to the RCP supplemented in the formulation. The AR of iron (requirement for growth + basal losses + menstrual losses) was based on the WHO/UNICEF/UNU 2004 (95th percentile) for children aged 7–10 years [43]. They reported that the AR of iron for children aged 7–10 years is 0.89 mg/day. The highest contribution to the AR of iron was recorded in IPP (40.10%), followed by ICF (25.96%) and ICP (25.17%) (Table 8). The International Zinc Nutrition Consultative Group (IZiNCG) (2004) set the AR of zinc for children aged 4–8 years with a reference body weight of

21 kg as 0.83 mg/day and the AR of zinc for children aged 9–13 years with a reference body weight of 38 kg as 4.53 mg/day [44]. Total endogenous zinc losses were calculated as 0.034 mg/kg/day for children one year of age and older (i.e., urinary losses, surface losses and intestinal losses), based on their respective reference body weights and rates of weight gain [44]. IPP showed the highest AR of zinc for both children aged 4–8 years with a reference body weight of 21 kg and children aged 9–13 years with a reference body weight of 38 kg (1.9–2.0-fold higher than the others), while ICP had the lowest contribution to the AR of zinc in both age groups. A food is considered to be a good source of nutrients if it fulfills more than 20% of the RDA [39]. All instant porridges were excellent sources of protein, iron and zinc, and could benefit children who suffer from macronutrient (protein) and micronutrient (mineral) deficiency.

Table 8. Recommended dietary allowances (RDAs) and absolute requirement (AR) of protein, iron and zinc of instant porridge powders and their contribution to RDA and AR.

Formulations	Minerals	RDA ¹	Contribution (%) per Serving (70 g)	AR (mg/d)	Contribution (%) per Serving (70 g)
ICP	Protein	23	58.89	NA	NA
	Iron	15	19.04	0.89 ²	25.17 ²
	Zinc	5.90	33.46	0.83 ³ (1.53 ⁴)	73.38 ³ (39.87 ⁴)
ICF	Protein	23	48.51	NA	NA
	Iron	15	18.99	0.89 ²	25.96 ²
	Zinc	5.90	30.97	0.83 ³ (1.53 ⁴)	75.90 ³ (41.18 ⁴)
IPP	Protein	23	47.17	NA	NA
	Iron	15	21.40	0.89 ²	40.10 ²
	Zinc	5.90	44.02	0.83 ³ (1.53 ⁴)	143.40 ³ (77.80 ⁴)

¹ Recommended dietary allowances of protein, iron and zinc for children aged 7–9 years are according to Indian Council of Medical Research (ICMR) 2020; ² Absolute requirement of iron (requirement for growth + basal losses + menstrual losses) was based on WHO/UNICEF/UNU 2004 (95th percentile) for children aged 7–10 years; ³ Absolute requirement of zinc for children aged 4–8 years with reference body weight of 21 kg as according to IZiNCG (2004); ⁴ Absolute requirement of zinc for children aged 9–13 years with reference body weight of 38 kg as according to IZiNCG (2004); ICP: instant chickpea powder using pregelatinized chickpea flour (PCPF); ICF: instant composite flour using PCPF and pregelatinized foxtail millet flour (PFMF); IPP: instant pulse porridge powder using PCPF, PFMF and roselle calyx powder (RCP); RDA: recommended dietary allowances; AR: absolute requirement; NA: not available.

The highest moisture content was also found in IPP, and attributed to the high moisture content of the RCP added in this formulation. Moisture content is important to maintain food quality. High moisture content of food results in microbial growth and ultimately destroys quality. El Wakeel (2007) stated that dried materials with lower than 10% moisture content, such as instant soup ingredients, had better storage qualities [45]. All instant porridge powders were deemed microbiologically safe to consume according to the criteria of the Thai community product standard based on instant rice porridge powder [34].

As final products, all instant porridge powders were accepted by the consumers and received sensory attribute scores above 6, or ‘like slightly’. Previous research used an average value of 6 (like slightly) on a nine-point hedonic scale as the minimum acceptable limit for consumers liking a product [46–48]. Therefore, ICP, ICF and IPP showed promise to be developed with consumer acceptance. The hedonic rating obtained for IPP was also higher than that for ICP and ICF. Results suggest that the addition of RCP did not adversely affect product sensory perception.

To determine the physical properties, the color of the instant porridge powders was measured. IPP was darker and redder than ICP and ICF, probably due to the intense red pigment of anthocyanins in RCP [49]. Anthocyanins are water-soluble pigments belonging to the phenolic group. They are responsible for red, purple and blue colors in fruits and vegetables [50]. Similar results were reported by Beswa and Singo (2019) [51], who revealed that a high concentration of roselle extracts increased the redness of ice cream, while

lightness decreased. Color changes in instant porridge powders occurred because of the unique colors of the ingredients.

Water activity of ICP, ICF and IPP was low (0.13–0.18), and less than the required water activity level for microbe growth [52]. The water solubility index (WSI) and water absorption index (WAI) are parameters used to explain the hydration properties of porridge. The WSI determines the amount of soluble degradation from starch upon the addition of excess of water [27,53] and also indicates the water penetration into starch granules [54]. A lower WSI indicates minor degradation of starch [55]. The WSI values of instant porridge powders in this study, at 6.13–6.40%, were lower than reported those by Mahgoub et al. (2020) [55]. They found that WSI values of instant porridge supplemented with different types of mung bean were 16.12–22.5% [55], while a similar result was found by Walle and Moges (2017) for legume cereal blended with complementary porridge powder [56]. WAI is an indirect measure of the degree of instantaneous reconstitutability of cereals or powders in excess water [57]. No significant differences for the WAI were found among the three instant porridge powders (3.77–4.04 g/g); however, the WAI increased when the protein content increased. Kinsella (1976) explained that the polar amino acid residues of protein bind to water molecules, resulting in a higher water absorption index [58].

Bulk density (BD) represents the structural change of a material. All the instant porridge powders exhibited low BD (0.72 g/cm³). Similar results were observed for porridge powders supplemented with soybean and mung bean, with a BD ranging from 0.57 to 0.70 g/cm³ [59], and yellow maize porridge, with a BD ranging from 0.69 to 0.81 g/cm³ [60]. Low BD is advantageous in the formulation of food for our target demographic, namely school children, because a minimal quantity of powder is necessary to achieve the desired bulkiness of the food products. Higher-BD foods are suitable for use as thickeners, gels and other high-viscosity food products [60,61].

The texture of the instant porridge powders was determined by consistency and the viscosity index. Consistency relates to the firmness, thickness and viscosity of a liquid or fluid semi-solid product. The consistency analysis revealed significant differences among the three instant porridge powders. The higher the PFMF content, the more work or energy was needed to compress the instant porridge powders due to the higher starch content. The viscosity index is regarded as the extrusion energy or work of adhesion. Higher values mean more resistance is required when pulling out the sample. The viscosity index is highly related to resistance to flow and also to cohesiveness and consistency [62,63]. Results indicate that PCPF reduced porridge viscosity, supporting the observation that ICP had the lowest viscosity index.

Chickpea and foxtail millets contain high amounts of macronutrients and micronutrients; however, anti-nutritional factors were also detected. The anti-nutrients determined in this study include phytates, tannins and total phenols. They can combine with nutrients and reduce nutrient bioavailability. Phytate is a negatively charged structure that can bind with positively charged metal ions such as zinc, iron, magnesium and calcium in the digestive tract to form mineral complexes and reduce their bioavailability, eventually leading to mineral deficiency [64]. Thompson (1993) stated that consumption of a 10–60 mg/g phytate diet over a long period resulted in decreased bioavailability of minerals in monogastric animals [65]. Therefore, the phytate composition of all instant porridge powders might not pose a health hazard. Tannins are phenolic compounds that can precipitate protein and decrease protein digestibility and palatability. Tannins can form an insoluble complex with ferric ion (Fe³⁺) and reduce the bioaccessibility of non-haem iron [66,67], while zinc absorption is not affected by tannin [68]. Our results reveal that the combination of chickpea and foxtail millet reduced tannin and total phenol content; however, supplementation of RCP increased these anti-nutrients due to the proportion of ingredients in the formulations. A high concentration of anti-nutrients has an adverse effect but may exert beneficial health effects at adequate amounts by reducing blood glucose levels and the insulin response to starchy foods and/or plasma cholesterol and triglyceride to reduce cancer risk [69,70].

Bioaccessibility is defined as the fraction of the total amount of a substance that is released from the food matrix during gastrointestinal digestion and is potentially available for being absorbed [71]. IPP showed a higher bioaccessibility and percentage of bioaccessibility of iron due to the higher concentration of organic acids in RCP. Organic acids can improve iron absorption and stabilize its soluble form in the small intestine by changing the state of iron oxidation from ferric ion (Fe^{3+}) to ferrous ion (Fe^{2+}) [72]. A Caco-2 cell study suggested mechanisms underlying the boosting effect of organic acids on two types of iron [73]. Organic acids can improve ferric iron uptake both by chelation and by decreasing the pH, but ferrous iron uptake is promoted only by lowering the pH. No significant difference in zinc content was found in the three instant porridge powders, but IPP showed the highest bioaccessibility and percentage of zinc bioaccessibility. This result suggests that the increase in the bioaccessibility and percentage of bioaccessibility occurred for iron as well as zinc. Organic acids prevented the production of insoluble zinc–phytate complexes [74], like in an *in vitro* (dialyzability) study by Van der Merwe et al. (2019), who suggested that adding 5.56% and 15% of RCP enhanced the percentage of bioaccessible iron (by 42% and 55%, respectively) and amount of bioaccessible iron (by 107% and 269%, respectively) [5]. Our study provides valuable information on how to improve the bioaccessibility of iron and zinc using RCP in plant-based foods. However, an intervention study needs to be conducted to confirm the improved status because bioavailability has a physiological endpoint [75].

5. Conclusions

To reduce the risk of malnutrition, iron deficiency anemia and zinc deficiency retardment, instant porridge powders were successfully developed using PCPF, PFMF and RCP. The ingredients used resulted in significant changes in the physical properties of the instant porridge powders. Addition of PFMF caused an increase in consistency and the viscosity index. Instant porridge powders (ICP, ICF and IPP) are high in protein, fiber and minerals and provide a reasonable percentage of children’s daily requirements for protein, iron and zinc according to the RDA and AR. Addition of RCP in IPP augmented the bioaccessible content and percentage of bioaccessibility of iron and zinc. Roselle calyx powder could be used as a prominent source of iron and organic acids to improve iron content and bioaccessibility of iron and zinc from locally available but often mineral-inhibitor-rich plant-based food sources. The process of preparing instant porridge powders is suitable on a small-scale basis, and the product is safe for consumption. However, the stability studies on the instant pulse porridge powders under long-term and accelerated conditions were the limitations of this study, which should be further investigated. Additionally, even though *in vitro* dialyzability has been frequently used as a trustworthy predictor for evaluating mineral bioavailability or the contents of ingested iron or zinc that pass through intestinal cell, *in vivo* experiments should also be further performed to confirm the amount of iron and zinc being absorbed into our body. Our results demonstrate that the developed instant porridge powders had better nutritional profiles that could help to prevent and treat undernutrition and micronutrient deficiencies in young children living in low-to-middle income countries. Additionally, these instant porridge powders might be a prototype either for school meals or in the food industry for development and introduction of new food products on the market.

Supplementary Materials: The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/nu14194070/s1>. Table S1: Proximate iron and zinc contents of instant porridge powders (per 100 g fresh weight); Table S2: Sensory evaluation of instant porridge powders with different amount of roselle calyx powder; Table S3: *In vitro* iron and zinc bioaccessibility of instant porridge powders with different amount of roselle calyx powder.

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Article

Inhibition of α_1 -Adrenergic, Non-Adrenergic and Neurogenic Human Prostate Smooth Muscle Contraction and of Stromal Cell Growth by the Isoflavones Genistein and Daidzein

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Abstract: Isoflavone-rich legumes, including soy, are used for food production, as dietary supplements and in traditional medicine. Soy consumption correlates negatively with benign prostatic hyperplasia (BPH) and voiding symptoms. However, isoflavone effects on the prostate are hardly known. Here, we examined the effects on human prostate smooth muscle contractions and stromal cell growth, which are driving factors of voiding symptoms in BPH. Smooth muscle contractions were induced in prostate tissues from radical prostatectomy. Growth-related functions were studied in cultured stromal cells (WPMY-1). Neurogenic, α_1 -adrenergic and non-adrenergic contractions were strongly inhibited with 50 μ M and by around 50% with 10 μ M genistein. Daidzein inhibited neurogenic contractions using 10 and 100 μ M. Agonist-induced contractions were inhibited by 100 μ M but not 10 μ M daidzein. A combination of 6 μ M genistein with 5 μ M daidzein still inhibited neurogenic and agonist-induced contractions. Proliferation of WPMY-1 cells was inhibited by genistein (>50%) and daidzein (<50%). Genistein induced apoptosis and cell death (by seven-fold relative to controls), while daidzein induced cell death (6.4-fold) without apoptosis. Viability was reduced by genistein (maximum: 87%) and daidzein (62%). In conclusion, soy isoflavones exert sustained effects on prostate smooth muscle contractions and stromal cell growth, which may explain the inverse relationships between soy-rich nutrition, BPH and voiding symptoms.

Keywords: benign prostatic hyperplasia (BPH); lower urinary tract symptoms (LUTSs); voiding symptoms; soy; soy food; isoflavones; prostate smooth muscle contraction

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1. Introduction

Genistein, daidzein and puerarin are isoflavones naturally occurring in plants of the *Fabaceae* family, including soybeans (*Glycine max*), red clover (*Trifolium pratense*) and different *Pueraria* species, the latter known as Kudzu plant in Western nations and as Gegen in China [1,2]. Based on observations from traditional medicine and of soy consumption for nutrition, as well as epidemiological, experimental and clinical studies, a plethora of biological effects have been attributed to isoflavones, including antitumorigenic, anti-diabetic, neuroprotective, cardioprotective, estrogenic and hormone-disrupting effects, in addition to vasorelaxation and inhibition of smooth muscle contractions [2,3]. In soy and red clover, genistein and daidzein are predominant isoflavones and constitute major parts of the total isoflavone pool [1,4]. In *Pueraria* species, the predominant isoflavone is puerarin [1,4]. Isoflavone-rich preparations from soy and red clover and from genistein itself are available as dietary supplements and used against menopause symptoms or to reduce cholesterol levels [5–7]. Preparations from different *Pueraria* species are used in Western countries (“Kudzu”) and in traditional medicines in China (“Gegen”) and other Eastern Asian regions for the treatment of cardiovascular diseases, diabetes, liver injury and symptoms of diarrhea and fever, as well as to improve circulation and blood flow [8–11].

Soy-rich nutrition has been repeatedly associated with different health-promoting effects, including improvement of benign prostatic hyperplasia (BPH) and lower urinary tract symptoms (LUTSs). In epidemiological studies, consumption of soy food correlated negatively with the prevalence of BPH and LUTSs, which was supposed to account for the low prevalences of BPH in Eastern Asian regions with traditionally high soy consumption rates [12–14]. Increasing isoflavone exposure, resulting from the increased popularity and consumption of soy food that has been noted in Western countries, has unknown risks and benefits, raising the need for improved understanding of isoflavone effects [15].

Voiding symptoms in BPH are characterized by impaired urinary flow and bladder emptying due to urethral obstruction driven by increased prostate smooth muscle tone, enlargement of the prostate or both [16,17]. Accordingly, contraction and growth in the prostate are targets for medical treatment in BPH. Available drugs include α_1 -adrenoceptor antagonists (α_1 -blockers) and the phosphodiesterase 5 inhibitor tadalafil for rapid symptom improvements by smooth muscle relaxation and 5α -reductase inhibitors to reduce prostate growth, progression and the risk of complications and surgery [18]. However, the average improvements by available medications do not exceed 50%, which level is not far from that of placebos and contributes to dissatisfaction, discontinuation and finally hospitalization and surgery [18,19]. Combination therapies are required for simultaneous symptom improvements and prevention of progression but are beset by discontinuation rates of up to 90% [20]. The limitations of α_1 -blockers, which are the first-line option for medical treatment of male LUTSs, have been explained by non-adrenergic contractions, which may raise maximum smooth muscle tone in the prostate and could maintain urethral obstruction in medication-refractory LUTSs [21,22].

While relationships between isoflavone-rich nutrition and BPH/LUTSs have been widely assumed and anticontractile effects have been repeatedly reported in different smooth-muscle-rich organs, isoflavone effects in the prostate are poorly known. Previous studies addressing their effects on prostate smooth muscle contraction have not included human tissues, being limited to non-human prostates. In parallel, attempts to address isoflavone effects on the growth of prostate cells have largely focused on glandular cells. Here, we speculated that leguminous isoflavones may inhibit agonist-induced and neurogenic contractions of human prostate smooth muscle and affect the growth-related functions of stromal cells. Thus, the aim of this study was to examine the effects of genistein, daidzein and puerarin on α_1 -adrenergic, non-adrenergic and neurogenic contractions of human prostate tissues and on growth-related functions of cultured stromal cells.

2. Materials and Methods

2.1. Human Prostate Tissues

Prostate tissues were obtained from patients undergoing radical prostatectomy for prostate cancer. This study was performed in accordance with the Declaration of Helsinki of the World Medical Association and was approved by the Ethics Committee of the Ludwig-Maximilians University, Munich, Germany. Informed consent was obtained from all patients. All samples and data were collected and analyzed anonymously. Accordingly, no patient data were collected, stored or analyzed in the context of this study, and samples were not grouped for pathological backgrounds or any other condition. Macroscopic inspection of prostates for tumor burden and subsequent sampling were performed by pathologists, approximately within 30 min following the final resection of prostates from patients. Organ bath experiments were started within 60 min following sampling. For transport and storage, organs and tissues were stored in Custodiol® solution (Köhler, Bensheim, Germany). For macroscopic examination and sampling, the prostate was opened by a single longitudinal cut from the capsule to the urethra. Subsequently, both intersections were checked macroscopically for any obvious tumor infiltration. Tissues were taken solely from the transitional, periurethral zone, while most prostate cancers arise in the peripheral zone [23,24]. In fact, tumor infiltration in the periurethral zone (where sampling was performed) was found in less than 1% of prostates. Prostates showing tumors in the

periurethral zone upon macroscopic inspection were not subjected to sampling. BPH is present in ca. 80% of patients with prostate cancer [25,26]. The average age of patients undergoing radical prostatectomy in our department is 66 ± 7 years [27], where the prevalence of histological BPH may range between 60 and 70% [16]. Typically, tissues sampled under the same conditions in our previous studies showed prostate-characteristic architectures with compositions of glands and smooth-muscle-rich stroma [28], while tissues containing only stroma without glands were usually limited to anterior parts of the human prostate [29].

2.2. Organ Bath

Strips of prostate tissues ($6 \times 3 \times 3$ mm) were mounted in chambers of an organ bath system (myograph 720 M, Danish Myotechnology, Aarhus, Denmark). The device includes four chambers, each filled with 10 mL aerated (95% O₂ and 5% CO₂) Krebs–Henseleit solution (37 °C, pH 7.4) with the following composition: 118 mM NaCl, 4.7 mM KCl, 2.55 mM CaCl₂, 1.2 mM KH₂PO₄, 1.2 mM MgSO₄, 25 mM NaHCO₃, 7.5 mM glucose. After mounting, tissues were stretched to a tension of 4.9 mN and equilibrated for 45 min. Typically, pretensions decrease spontaneously at the beginning of the equilibration period. Therefore, tensions were adjusted three times during the equilibration period, until a stable resting tone of 4.9 mN was attained within 45 min. Subsequently, contractions induced by 80 mM KCl were assessed by the addition of a 2 M stock solution to assess highmolar KCl-induced contractions as a measure of smooth muscle content and condition and as a later reference for agonist-induced and neurogenic contractions. Highmolar KCl results in biphasic responses, including a phasic contraction reaching a peak within a few minutes after the addition of KCl, which was used for normalization, as described below, followed by a decline to a tonic, stable contraction [30]. After the peak of the phasic contraction was obtained and the tension started to decline to the tonic phase, the chambers were washed by replacing the Krebs–Henseleit solution three times, resulting in a stable resting tone close to the first baseline before KCl. Thereafter, genistein, daidzein and puerarin, or equivalent volumes of dimethylsulfoxide (DMSO, solvent for genistein and daidzein) or methanol (solvent for puerarin) as controls were added. Cumulative concentration–response curves for agonists or frequency response curves by electric field stimulation (EFS) were created 30 min after the addition of isoflavones or solvent.

EFS simulates action potentials, resulting in smooth muscle contractions of prostate tissues by release of endogenous neurotransmitters, including noradrenaline. Using tetrodotoxin, it has been demonstrated that neurotransmission accounts for two-thirds or more of EFS-induced contractions in the human prostate [31,32]. For EFS, tissue strips were placed between two parallel platinum electrodes connected to a CS4 stimulator (Danish Myotechnology, Denmark). Square pulses with durations of 1 ms were applied with a voltage of 20 V for a train duration of 10 s. EFS-induced contractile responses were studied at frequencies of 2, 4, 8, 16 and 32 Hz, with train intervals of 30 s between stimulations.

For a single independent experiment, all four chambers of one organ bath device were filled with tissues from the same prostate. Two of them were examined with isoflavone and the two others with solvent for the controls. Only one frequency response or concentration–response curve was recorded with each mounted tissue. Allocation of isoflavones and solvent to the different chambers was randomly changed between experiments. Independent experiments were repeated in indicated numbers (n), using tissues from n different patients, resulting in numbers of independent experiments as indicated for each series. Single experiments were based on double determinations wherever this was possible. From a total of 200 organ bath experiments, double determinations for both groups (i.e., control and drug groups, each with two tissues from the same prostate) were possible in 164 experiments. In the remaining experiments, the number of sampled tissues did not allow the filling of two channels for both groups. Thus, in 22 experiments, double determinations were possible in only one of the two groups, while 14 experiments were performed with

only one tissue for both groups. However, each experiment contained at least one sample for both groups, resulting in paired samples.

For the calculation of agonist- or EFS-induced contractions, tensions (peak heights in EFS-induced contractions and maximum contractions following agonist exposure) were expressed as % of 80 mM KCl-induced contractions (maximum of phasic contraction). Normalization to KCl may correct variations in smooth muscle content, tissue composition and stromal/epithelial ratios, resulting from varying phenotypes and degrees of BPH, or from other individual heterogeneities between samples and patients. For calculation, the maximum contraction level at a given agonist concentration or given frequency was assessed, regardless of the pattern of contraction. In fact, contraction patterns in our samples differed between α_1 -adrenergic and non-adrenergic agonists and between tissues and patients [30]. In either case, however, the next higher concentration in the concentration–response curves was applied as soon as the plateau contraction was attained and no further substantial increase was expected. Contractions in concentration and frequency response curves describe the maximum contraction levels at each concentration and frequency in control and drug groups.

E_{\max} values, EC_{50} values for contractile agonists and frequencies (f) inducing 50% of the maximum EFS-induced contraction (E_{f50}) were calculated for each single experiment by curve fitting using GraphPad Prism 6 (Statcon, Witzenhausen, Germany) and analyzed as described below. As presentation of single values in scatter plots was intended, curve fitting was performed separately for each single experiment, resulting in separate values for each independent experiment. Curves were fitted without predefined constraints for bottom, top or EC_{50} values, by ordinary fit, without weighting and without choosing automatic outlier elimination by non-linear regression. The resulting values were checked for plausibility, and settings were adapted as follows if error messages occurred, as recommended in the “GraphPad Curve Fitting Guide” (GraphPad Software Inc., San Diego, CA, USA). Thus, high concentrations were excluded in two experiments with endothelin-1 because the results were marked as “ambiguous”, as full contractions were obtained already at low concentrations in one experiment or the curve could not be converged due to downhill parts at higher concentrations.

2.3. Cell Culture

WPMY-1 cells are an SV40 large-T antigen-immortalized cell line, obtained from the stroma of a human prostate without prostate cancer [33]. According to the typical composition of the prostate stroma, where smooth muscle cells are the predominant cell type, WPMY-1 cells show characteristics of myofibroblasts and prostate smooth muscle cells, including expression of vimentin, α -smooth muscle actin, calponin and α_{1A} -adrenoceptors, but lacking expression of cytokeratins and tyrosine hydroxylase [28,33,34]. WPMY-1 cells were purchased from the American Type Culture Collection (ATCC, Manassas, VA, USA) and cultured in RPMI 1640 (Gibco, Carlsbad, CA, USA) supplemented with 10% fetal calf serum (FCS) and 1% penicillin/streptomycin at 37 °C with 5% CO₂. Before the addition of isoflavones, DMSO (solvent for genistein and daidzein) or methanol (solvent for puerarin) to the cells, the medium was changed to an FCS-free medium.

2.4. Proliferation Assay

The proliferation rate of cells was assessed using the 5-ethynyl-2'-deoxyuridine (EdU)-based EdU-Click 555 proliferation assay kit (Baseclick, Tutzing, Germany), according to the manufacturer's instructions. In this assay, incorporation of EdU into the DNA of proliferating cells is assessed by detection with fluorescing 5-carboxytetramethylrhodamine (5-TAMRA). Thirty thousand cells were placed in each well of a 16-well chambered coverslip (Thermo Scientific, Waltham, MA, USA) and cultured for 24 h. Subsequently, the medium was replaced by 10 mM EdU solution in FCS-free smooth muscle cell medium containing isoflavones or solvent, and, 24 h later, the cells were fixed with ROTT® Histofix 4% solution (Roth, Karlsruhe, Germany). Counterstaining of all nuclei was performed with DAPI.

Finally, analysis was performed by fluorescence microscopy (excitation: 546 nm; emission: 479 nm) using a laser scanning microscope (Leica SP2, Wetzlar, Germany). Stainings were quantified using “ImageJ” (National Institutes of Health, Bethesda, AR, USA).

2.5. Cell Apoptosis and Cell Death Analysis

A flow-cytometry-based annexin V allophycocyanin (APC) and 7-aminoactinomycin D (7-AAD) apoptosis detection kit (BD Biosciences, Franklin Lakes, NJ, USA) was used to detect apoptotic (annexin V-positive, 7-AAD-negative) and dead (annexin V-positive, 7-AAD-positive) cells. Cell death in annexin V-positive/7-AAD-positive cells may result from apoptosis or necrosis, which cannot be distinguished by this assay. Cells were grown in 6-well plates, and isoflavones or solvent were added 24 h before flow cytometry. The remaining medium of each well was collected and centrifuged to collect the cell debris. Subsequently, the cells were washed with PBS and resuspended (together with the corresponding debris collected) in annexin V binding buffer (BD Biosciences), followed by the addition of 5 μ L APC annexin V and 5 μ L 7-AAD reagent to every 10^5 cells from each sample. After incubation in the dark for 15 min at room temperature, 400 μ L binding buffer was added to each sample before analysis by flow cytometry.

2.6. Viability Assay

Viability was assessed using the Cell Counting Kit-8 (CCK-8) (Sigma-Aldrich, Munich, Germany). Cells were seeded in 96-well plates (5000 cells/well) and cultured for 24 h. Subsequently, isoflavones or solvent in required amounts were added, and cells were cultured for further 24, 48 or 72 h until assessment. Finally, 10 μ L of [2-(2-methoxy-4-nitrophenyl)-3-(4-nitrophenyl)-5-(2,4-disulphophenyl)-2H-tetrazolium monosodium salt (WST-8) from the kit were added, and absorbance in each well was measured at 450 nm after incubation for 2 h at 37 °C.

2.7. Drugs and Nomenclature

Genistein (5,7-dihydroxy-3-(4-hydroxyphenyl)-4H-1-benzopyran-4-one), daidzein (7-hydroxy-3-(4-hydroxyphenyl)-4H-1-benzopyran-4-one) and puerarin (8-(β -D-glucopyranosyl-7-hydroxy-3-(4-hydroxyphenyl)-4H-1-benzopyran-4-on) are isoflavones naturally occurring in soybeans (*Glycine max*), red clover (*Trifolium pratense*) and Kudzu (*Pueraria* spp.). Synthetic genistein (G6649), synthetic daidzein (D7802) and puerarin (P5555, lot no. MKCG6104) were purchased from Sigma-Aldrich (Munich, Germany). Stock solutions (10 mM) of genistein and daidzein were prepared with DMSO and with puerarin using methanol (as recommended by the providers), and all were stored at -20 °C until use.

Phenylephrine ((R)-3-[-1-hydroxy-2-(methylamino)ethyl]phenol) and methoxamine (α -(1-aminoethyl)-2,5-dimethoxybenzyl alcohol) are α_1 -selective adrenoceptor agonists. Aqueous stock solutions (10 mM) of noradrenaline, phenylephrine and methoxamine were freshly prepared before each experiment. Aqueous stock solutions of endothelin-1 (0.4 mM) were stored at -20 °C as small aliquots, so that repeated freezing and thawing cycles were avoided. U46619 ((Z)-7-[(1S,4R,5R,6S)-5-[(E,3S)-3-hydroxyoct-1-enyl]-3-oxabicyclo [2.2.1]heptan-6-yl]hept-5-enoic acid) is an agonist of the thromboxane A_2 receptor and was dissolved in ethanol. Stock solutions (10 mM) were stored at -80 °C until use. U46619 and endothelin-1 were obtained from Enzo Life Sciences (Lörrach, Freiburg im Breisgau, Germany). Noradrenaline, phenylephrine and methoxamine were obtained from Sigma-Aldrich (Munich, Germany).

2.8. Statistical Analyses

Data in the frequency and concentration–response curves are means \pm standard deviations (SDs). E_{max} , E_{f50} and EC_{50} values are presented as single values (i.e., means from double determinations, as described above) from all independent experiments in scatter plots. Effect sizes become obvious from concentration–response and frequency response curves and scatter plots. Changes in EC_{50} values are additionally reported as

mean differences (MDs) with 95% confidence intervals (Cis) in the text if the effects were obvious. Changes in E_{\max} values observed in contraction experiments and cell culture experiments are reported as percentage decreases or x -fold increases (means with 95% CIs) in the text as well and were calculated by referring isoflavone samples to the corresponding controls (set to 100% or to one) in each single experiment. Calculation of MDs with 95% CIs was performed using GraphPad Prism 6. Derived from our experimental design, the control and drug groups were paired in each series. Comparison of whole frequency/concentration–response curves, i.e., of both groups within a series, was performed by two-way analysis of variance (ANOVA). Post hoc analyses for comparisons at single-agonist concentrations or frequencies were not performed, as this is discouraged in the “GraphPad Statistics Guide” (GraphPad Software Inc., San Diego, CA, USA). E_{\max} , E_{f50} and EC_{50} values were compared by paired Student’s t -tests. All statistical tests were performed using GraphPad Prism 6. p -values < 0.05 were considered significant.

The present study and analyses have an exploratory character, as important features of hypothesis-testing studies are lacking [35]. Owing to the exploratory study design, the p -values reported here need to be considered as descriptive; they are not hypothesis-testing [35]. In order to use p -values sparingly [35], no p -values are reported in the text and p -values of 0.05 or higher are not indicated.

The minimum number of independent experiments in each series was pre-planned as $n = 5$, as statistical analyses with group sizes <5 have been discouraged [36]. Data were analyzed after five experiments in a series were completed, with the exception of the flow cytometry experiments, where six experiments were performed for each series. Originally, we intended to decide whether a series was to be continued or not following this interim analysis. This procedure is possible, as our study was explorative and not designed to test a pre-specified statistical null hypothesis [35], and flexible group sizes have been recommended if data are characterized by large variations, which applies here [36]. However, all series in this study revealed conclusive findings after five independent experiments or six initial independent experiments in the case of flow cytometry. For technical reasons, six instead of five experiments were performed for flow cytometry. In all series, no experiments were excluded from the analyses, and no data were excluded, with the required exceptions for curve fitting in two experiments, as described above.

3. Results

3.1. Effects of Genistein on α_1 -Adrenergic Contractions

Noradrenaline, phenylephrine and methoxamine induced concentration-dependent contractions which were inhibited by 50 μ M and 10 μ M genistein (Figure 1). Contractions by all three α_1 -adrenergic agonists were inhibited by two-thirds or more using 50 μ M genistein and by approximately half using 10 μ M genistein (Figure 1). Inhibitions seen in concentration–response curves were reflected in reduced E_{\max} values for agonists calculated by curve fitting (Figure 1). E_{\max} values for noradrenaline were reduced by 66% (52 to 80) with 50 μ M genistein (Figure 1A) and by 42% (16 to 67) with 10 μ M genistein (Figure 1B) if values under genistein were normalized to values for the corresponding control groups in each single experiment. E_{\max} values for phenylephrine were reduced by 68% (40 to 96) with 50 μ M genistein (Figure 1C) and by 56% (23 to 89) with μ M genistein (Figure 1D). E_{\max} values for methoxamine were reduced by 73% (55 to 90) with 50 μ M genistein (Figure 1E) and by 19% (−93 to 130) with 10 μ M genistein (Figure 1F). Average EC_{50} values for noradrenaline and phenylephrine were elevated slightly, by less than half a magnitude, by 50 μ M genistein, while average EC_{50} values for methoxamine were elevated by more than half a magnitude by 50 μ M genistein (MD (logM) (0.13 to 1.18)) (Figure 1). Using 10 μ M genistein, average EC_{50} values for all three α_1 -adrenergic agonists remained unchanged (Figure 1).

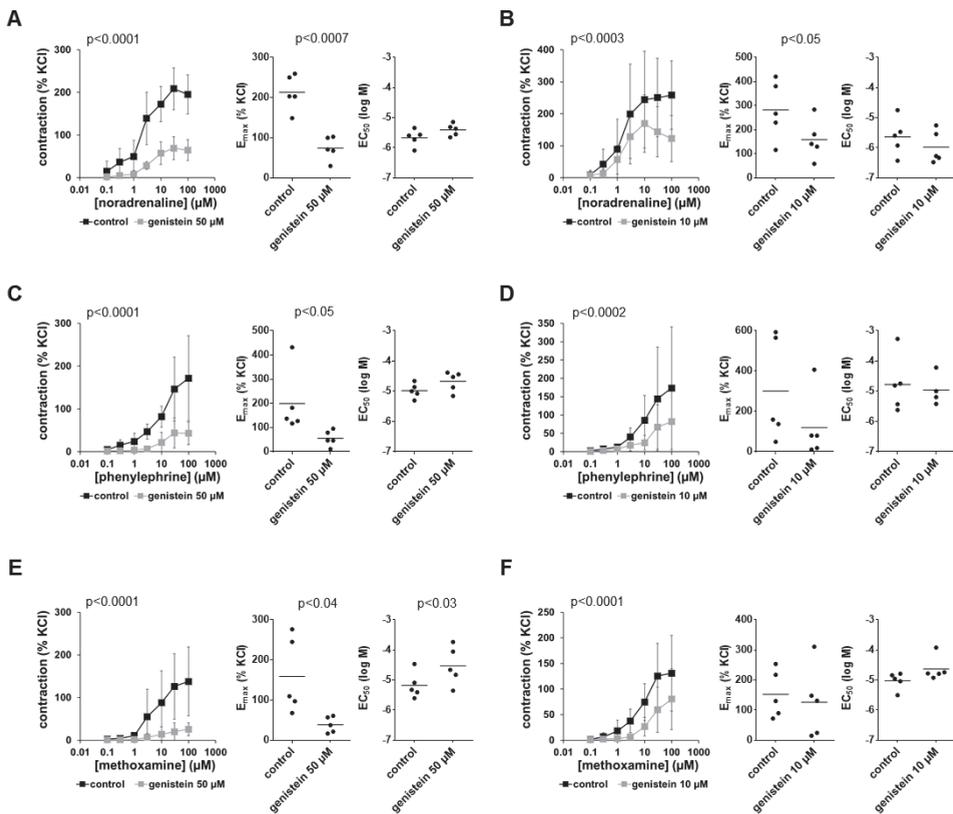


Figure 1. Effects of genistein on α_1 -adrenergic contractions of human prostate tissues. Contractions were induced by the α_1 -adrenergic agonists noradrenaline (A,B), phenylephrine (C,D) and methoxamine (E,F) in the presence of 50 μM genistein (A,C,E), 10 μM genistein (B,D,F) and corresponding amounts of solvent (DMSO) for the controls added 30 min before the construction of concentration–response curves. Data from experiments with tissues from $n = 5$ patients are shown in each diagram. In each experiment, tissue from one patient was allocated to the control and genistein groups. Data are means \pm SDs in concentration–response curves (p -values for whole groups from two-way ANOVA), and E_{max} and EC_{50} values are from all single experiments (calculated by curve fitting) in scatter plots (p -values from two-tailed t -tests).

3.2. Effects of Genistein on EFS-Induced Contractions

EFS induced frequency-dependent contractions which were inhibited by 50 μM and 10 μM genistein (Figure 2A,B). Inhibitions amounted to 75% or more at each frequency for 50 μM genistein and approximately half for 10 μM genistein (Figure 2A,B). Inhibitions seen in frequency response curves were paralleled by reduced E_{max} values, calculated by curve fitting, which decreased by 84% (69 to 98) with 50 μM and by 44% (−26 to 115) with 10 μM genistein (Figure 2A,B). E_{f50} values remained unchanged by both concentrations of genistein (Figure 2A,B).

3.3. Effects of Genistein on Non-Adrenergic Contractions

Endothelin-1 and U46619 induced concentration-dependent contractions (Figure 2C–F). Contractions by both agonists were inhibited by two-thirds or more using 50 μM genistein and were still obvious using 10 μM genistein (Figure 2C–F). Inhibitions seen in concentration–response curves were reflected in reduced E_{max} values for agonists cal-

culated by curve fitting (Figure 2C–F). E_{max} values for endothelin-1 were reduced by 65% (46 to 84) with 50 μM genistein (Figure 2C) and by 36% (18 to 54) with 10 μM genistein (Figure 2D). E_{max} values for U46619 were reduced by 74% (55 to 74) with 50 μM genistein (Figure 2E) and by 41% (–12 to 93) with 10 μM genistein (Figure 2F). EC_{50} values for endothelin-1 remained unchanged by both concentrations of genistein (Figure 2C,D). Average EC_{50} values for U46619 were increased by both concentrations of genistein; there were, however, large variations in the series using 50 μM genistein which amounted to more than half or nearly one magnitude (MD (logM) 0.78 (–1.63 to 3.19) with 50 μM , 0.88 (0.06 to 1.69) with 10 μM).

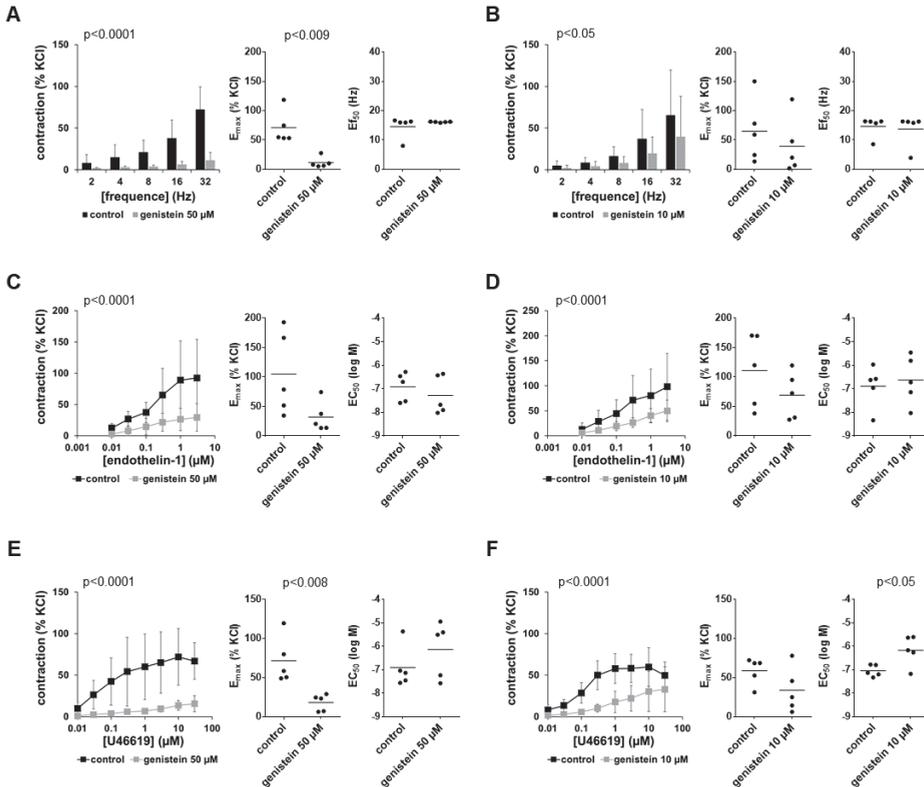


Figure 2. Effects of genistein on EFS-induced and non-adrenergic contractions of human prostate tissues. Contractions were induced by EFS (A,B), endothelin-1 (C,D) and U46619 (E,F) in the presence of 50 μM genistein (A,C,E), 10 μM genistein (B,D,F) and corresponding amounts of solvent (DMSO) for the controls added 30 min before the construction of frequency and concentration–response curves. Data from experiments with tissues from $n = 5$ patients are shown in each diagram. In each experiment, tissue from one patient was allocated to the control and genistein groups. Data are means \pm SDs in frequency/concentration–response curves (p -values for whole groups from two-way ANOVA), and E_{max} , E_{f50} and EC_{50} values are from all single experiments (calculated by curve fitting) in scatter plots (p -values from two-tailed t -tests).

3.4. Effects of Daidzein on α_1 -Adrenergic Contractions

Contractions induced by noradrenaline, phenylephrine and methoxamine were inhibited by 100 μM daidzein but not or to a neglectable extent by 10 μM daidzein (Figure 3). Inhibitions by 100 μM daidzein were largest at high agonist concentrations, where they amounted to around one-third for noradrenaline and phenylephrine, or around 50% for methoxamine (Figure 3). Inhibitions seen in concentration–response curves were paralleled

by reduced E_{max} values (Figure 3), which decreased by 35% (10 to 59) for noradrenaline, 44% (12 to 76) for phenylephrine and 45% (2 to 87) for methoxamine with 100 μ M daidzein. Average EC_{50} values were not consistently changed for all three agonists by 100 μ M daidzein (Figure 3). Using 10 μ M daidzein, E_{max} and EC_{50} values for all three α_1 -adrenergic agonists remained unchanged, apart from a decrease in E_{max} values for noradrenaline-induced contractions (Figure 3).

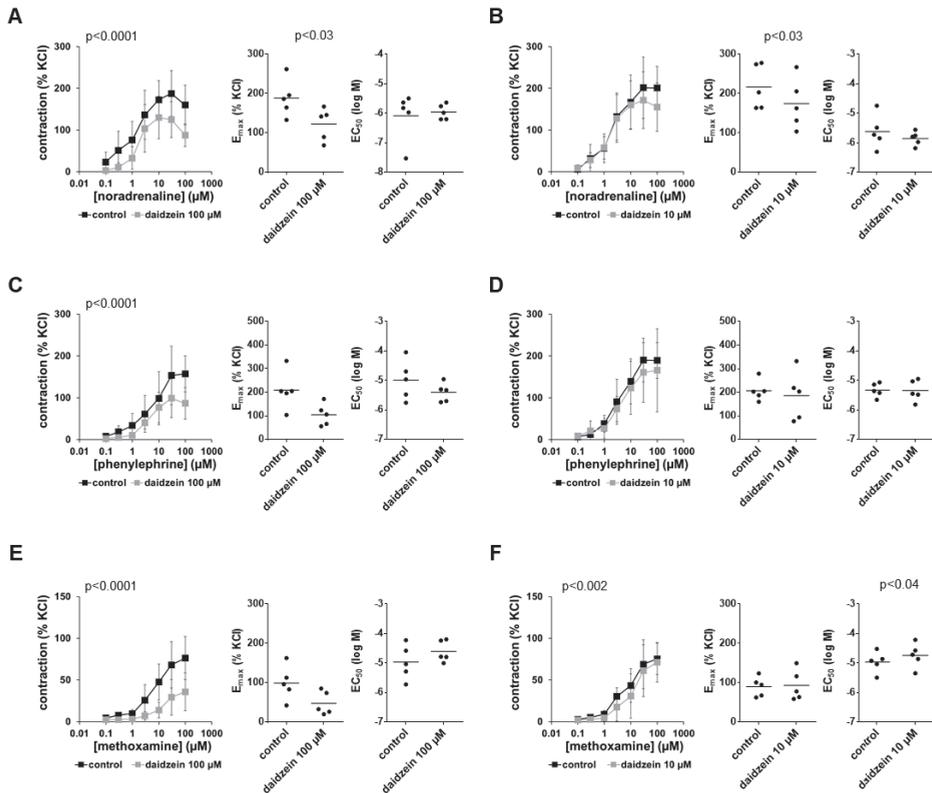


Figure 3. Effects of daidzein on α_1 -adrenergic contractions of human prostate tissues. Contractions were induced by the α_1 -adrenergic agonists noradrenaline (A,B), phenylephrine (C,D) and methoxamine (E,F) in the presence of 100 μ M daidzein (A,C,E), 10 μ M daidzein (B,D,F) and corresponding amounts of solvent (DMSO) added to controls 30 min before the construction of concentration–response curves. Data from experiments with tissues from $n = 5$ patients are shown in each diagram. In each experiment, tissue from one patient was allocated to the control and daidzein groups. Data are means \pm SDs in concentration–response curves (p -values for whole groups from two-way ANOVA), and E_{max} and EC_{50} values are from all single experiments (calculated by curve fitting) in scatter plots (p -values from two-tailed t -tests).

3.5. Effects of Daidzein on EFS-Induced Contractions

EFS-induced contractions were inhibited by 100 μ M and 10 μ M daidzein (Figure 4A,B). Inhibitions amounted to more than two-thirds at each frequency with 100 μ M daidzein and up to 50% using 10 μ M daidzein (Figure 4A,B). Inhibitions seen in frequency response curves were paralleled by reduced E_{max} values for EFS-induced contractions (Figure 4A,B), which were decreased by 70% (40 to 99) with 100 μ M and by up to 50% (22 to 79) with 10 μ M daidzein. E_{f50} values remained unchanged by both concentrations of daidzein (Figure 4A,B).

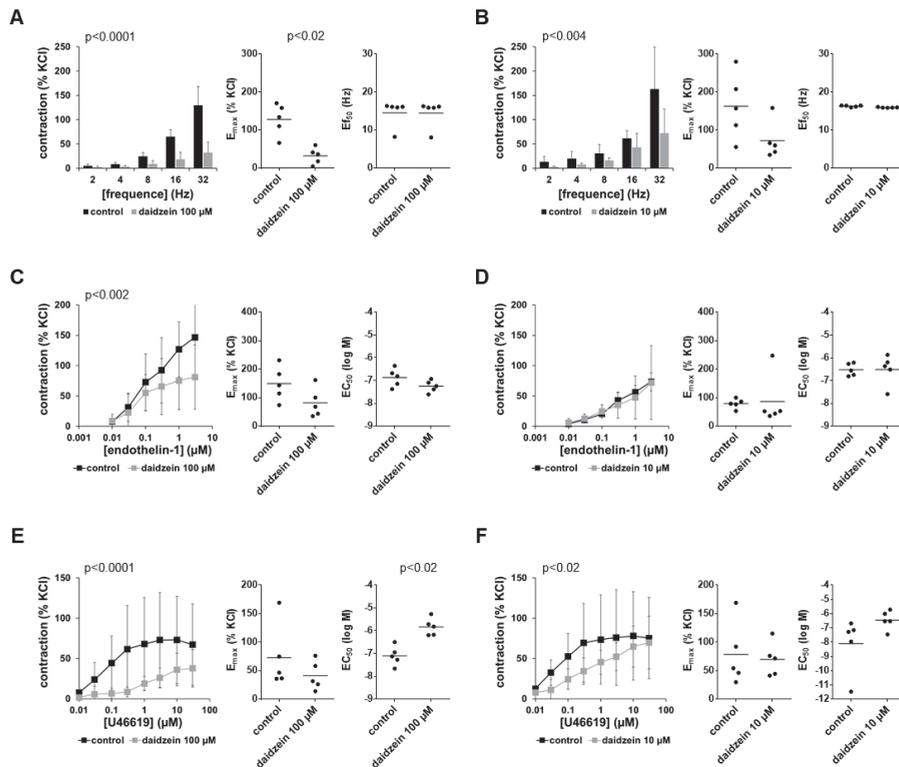


Figure 4. Effects of daidzein on EFS-induced and non-adrenergic contractions of human prostate tissues. Contractions were induced by EFS (A,B), endothelin-1 (C,D) and U46619 (E,F) in the presence of 100 μM daidzein (A,C,E), 10 μM daidzein (B,D,F) and corresponding amounts of solvent (DMSO) for the controls added 30 min before the construction of frequency and concentration–response curves. Data from experiments with tissues from $n = 5$ patients are shown in each diagram. In each experiment, tissue from one patient was allocated to the control and daidzein groups. Data are means \pm SDs in frequency/concentration–response curves (p -values for whole groups from two-way ANOVA), and E_{max} , E_{f50} and EC_{50} values are from all single experiments (calculated by curve fitting) in scatter plots (p -values from two-tailed t -tests).

3.6. Effects of Daidzein on Non-Adrenergic Contractions

Contractions induced by endothelin-1 were inhibited by 100 μM but not by 10 μM daidzein (Figure 4C,D). Inhibition was greatest at the two highest applied endothelin-1 concentrations, amounting to approximately 40% (Figure 4C). Inhibitions seen in concentration–response curves were paralleled by reduced E_{max} values for endothelin-1 (Figure 4C), which were decreased by 43% (1 to 84) with 100 μM daidzein. E_{max} values were not changed by 10 μM daidzein (Figure 4D), while E_{f50} values remained unchanged with both concentrations of daidzein (Figure 4C,D).

Contractions induced by U46619 were inhibited by 100 μM and 10 μM daidzein (Figure 4E,F). Inhibitions were between more than two-thirds to half across wide ranges of concentration–response curves but were smaller or lacking at the highest applied agonist concentrations using 10 μM daidzein (Figure 4E,F). Inhibitions seen in concentration–response curves were reflected by E_{max} values for U46619, which were decreased by 39% (12 to 66) with 100 μM daidzein (Figure 4E) but remained unchanged with 10 μM daidzein (Figure 4F). Concentration–response curves for U46619 were right-shifted using both concentrations of daidzein, which was reflected in increased EC_{50} values for U46619 with

daidzein (Figure 4E,F). As E_{max} values were not reduced with 10 μM daidzein, contractions in right-shifted concentration–response curves with daidzein fully recovered at high concentrations of U46619 (Figure 4F). EC_{50} values for U46619 were increased by more than one magnitude with both concentrations of daidzein (MD (logM) 1.27 (0.38 to 2.16) with 100 μM , 1.66 (−0.72 to 4.04) with 10 μM) (Figure 4E,F).

3.7. Effects of Combined Genistein and Daidzein on Contractions

By combined application of 6 μM genistein and 5 μM daidzein and compared to the corresponding controls, EFS-induced contractions were inhibited by more than half at each applied frequency (Figure 5A). Inhibitions seen in frequency response curves were paralleled by reduced E_{max} values for EFS-induced contractions (Figure 5A), which were decreased by 57% (38 to 77). Ef_{50} values remained unchanged by the combination (Figure 5A).

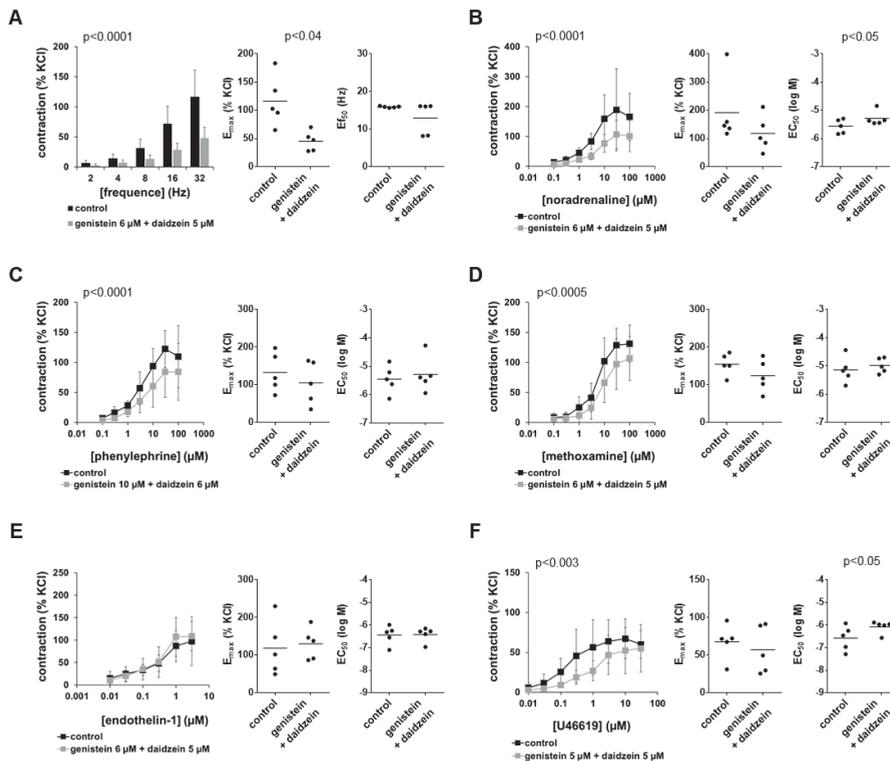


Figure 5. Effects of combined genistein and daidzein on EFS- and agonist-induced contractions of human prostate tissues. Contractions were induced by EFS (A), noradrenaline (B), phenylephrine (C), methoxamine (D), endothelin-1 (E) and U46619 (F) in the presence of 6 μM genistein plus 5 μM daidzein and corresponding amounts of solvent (DMSO) for the controls added 30 min before the construction of frequency and concentration–response curves. Data from experiments with tissues from $n = 5$ patients are shown in each diagram. In each experiment, tissue from one patient was allocated to the control and genistein + daidzein groups. Data are means \pm SDs in frequency/concentration–response curves (p -values for whole groups from two-way ANOVA), and E_{max} , Ef_{50} and EC_{50} values are from all single experiments (calculated by curve fitting) in scatter plots (p -values from two-tailed t -tests).

Contractions by noradrenaline, phenylephrine and methoxamine were inhibited consistently but not by more than half by the combination of genistein with daidzein (Figure 5B–D). Inhibitions seen in concentration–response curves were paralleled by reduced E_{max} values for agonists, which were decreased by 32% (−17 to 80) for noradrenaline,

23% (−6 to 53) for phenylephrine and 19% (−15 to 54) for methoxamine (Figure 5B–D). EC₅₀ values were increased slightly, i.e., less than half a magnitude for noradrenaline, or remained unaffected for phenylephrine and methoxamine (Figure 5B–D).

Contractions by endothelin-1 were not affected by the combination of 6 μM genistein and 5 μM daidzein (Figure 5E). U46619-induced contractions were inhibited partly, i.e., by around half at lower concentrations, but not or to a neglectable extent at high agonist concentrations (Figure 5F). Average E_{max} values for U46619 remained unaffected by the combination, while EC₅₀ values were increased by around half a magnitude (MD (logM) 0.498 (0.03 to 0.97)) (Figure 5F).

3.8. Effects of Puerarin on α₁-Adrenergic Contractions

Contractions induced by noradrenaline, phenylephrine and methoxamine were reduced marginally by 100 μM puerarin but not 10 μM puerarin (Figure 6). E_{max} values were neither substantially nor consistently changed by 100 μM puerarin and remained unaffected by 10 μM puerarin (Figure 6). EC₅₀ values for all three α₁-adrenergic agonists remained unchanged by both concentrations of puerarin (Figure 6).

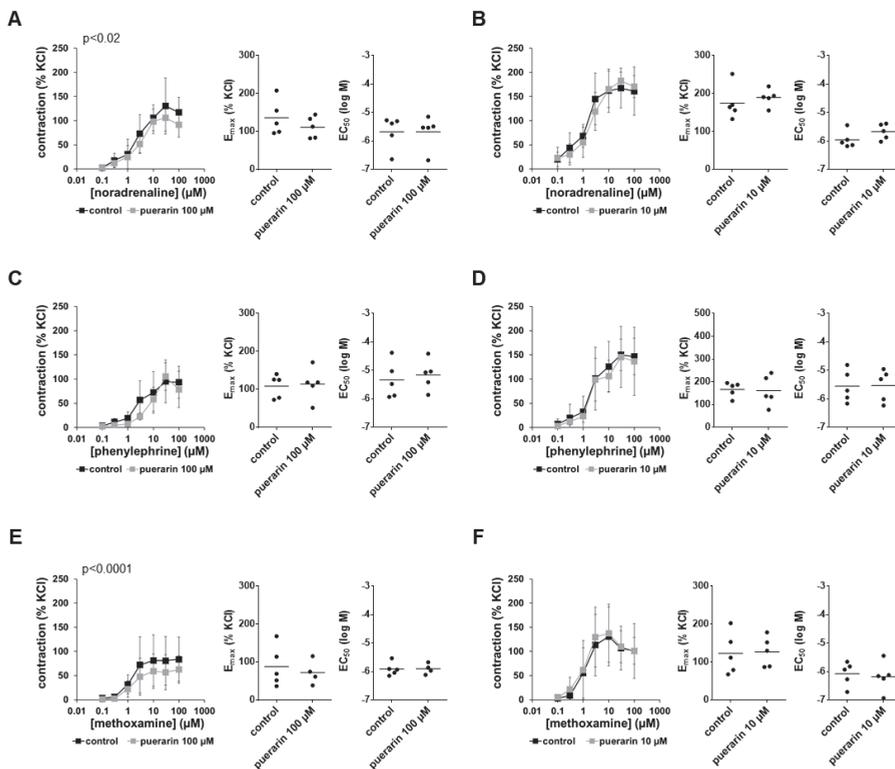


Figure 6. Effects of puerarin on α₁-adrenergic contractions of human prostate tissues. Contractions were induced by the α₁-adrenergic agonists noradrenaline (A,B), phenylephrine (C,D) and methoxamine (E,F) in the presence of 100 μM puerarin (A,C,E), 10 μM puerarin (B,D,F) and corresponding amounts of solvent (methanol) for the controls added 30 min before the construction of concentration–response curves. Data from experiments with tissues from *n* = 5 patients are shown in each diagram. In each experiment, tissue from one patient was allocated to the control and puerarin groups. Data are means ± SDs in concentration–response curves (*p*-values for whole groups from two-way ANOVA), and E_{max} and EC₅₀ values are from all single experiments (calculated by curve fitting) in scatter plots (*p*-values from two-tailed *t*-tests).

3.9. Effects of Puerarin on EFS-Induced Contractions

Puerarin did not affect EFS-induced contractions using a concentration of 100 μM (Figure 7A), so effects at a lower concentration were not examined. According to the lack of effects in frequency response curves, no effects were seen in E_{max} and $E_{f_{50}}$ values (Figure 7A).

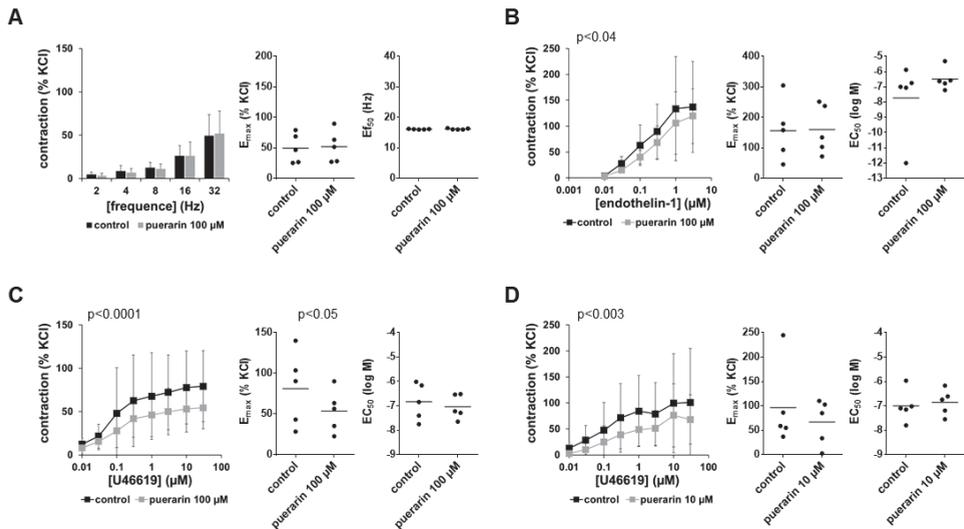


Figure 7. Effects of puerarin on EFS-induced and non-adrenergic contractions of human prostate tissues. Contractions were induced by EFS (A), endothelin-1 (B) and U46619 (C,D), in the presence of 100 μM puerarin (A–C) 10 μM puerarin (D) and corresponding amounts of solvent (methanol) for the controls added 30 min before the construction of frequency and concentration–response curves. Data from experiments with tissues from $n = 5$ patients are shown in each diagram. In each experiment, tissue from one patient was allocated to the control and puerarin groups. Data are means \pm SDs in frequency / concentration–response curves (p -values for whole groups from two-way ANOVA), and E_{max} , $E_{f_{50}}$ and EC_{50} values are from all single experiments (calculated by curve fitting) in scatter plots (p -values from two-tailed t -tests).

3.10. Effects of Puerarin on Non-Adrenergic Contractions

Puerarin did not affect endothelin-1-induced contractions using a concentration of 100 μM (Figure 7B), so effects at a lower concentration were not examined. According to the lack of effects in concentration–response curves, no effects of puerarin were seen in E_{max} and EC_{50} values (Figure 7B).

Contractions induced by U46619 were inhibited by puerarin to similar extents at concentrations of 100 μM and 10 μM , with maximum decreases in contractions of around one-third (Figure 7C,D). Inhibitions were obvious in concentration–response curves and reflected in E_{max} values for U46619-induced contractions, which were decreased by 30% (16 to 44) with 100 μM puerarin and by 23% (–50 to 97) with 10 μM puerarin (Figure 7C,D). EC_{50} values for U46619 were not changed by puerarin (Figure 7C,D).

3.11. Effects of Genistein, Daidzein and Puerarin on the Proliferation of Stromal Cells

Proliferation of WPMY-1 cells, assessed by EdU assays, was concentration-dependently decreased by genistein (Figure 8A). Decreases in proliferation rates amounted to 9% (4 to 15) with 5 μM , 36% (29 to 43) with 10 μM and 57% (48 to 67) with 50 μM genistein if proliferation rates with genistein were referred to corresponding controls without genistein in each single experiment. Daidzein reduced the proliferation of WPMY-1 cells as well and to similar extents at the two highest applied concentrations (Figure 8B). Decreases in proliferation rates

amounted to 3% (0 to 6) with 5 μ M, 29% (21 to 37) with 10 μ M daidzein and 27% (22 to 32) with 50 μ M daidzein. Puerarin decreased the proliferation of WPMY-1 cells only slightly and not concentration-dependently (Figure 8C), resulting in decreases in proliferation of 13% (6 to 21) with 5 μ M, 11% (4 to 17) with 10 μ M and 11% (3 to 19) with 50 μ M puerarin.

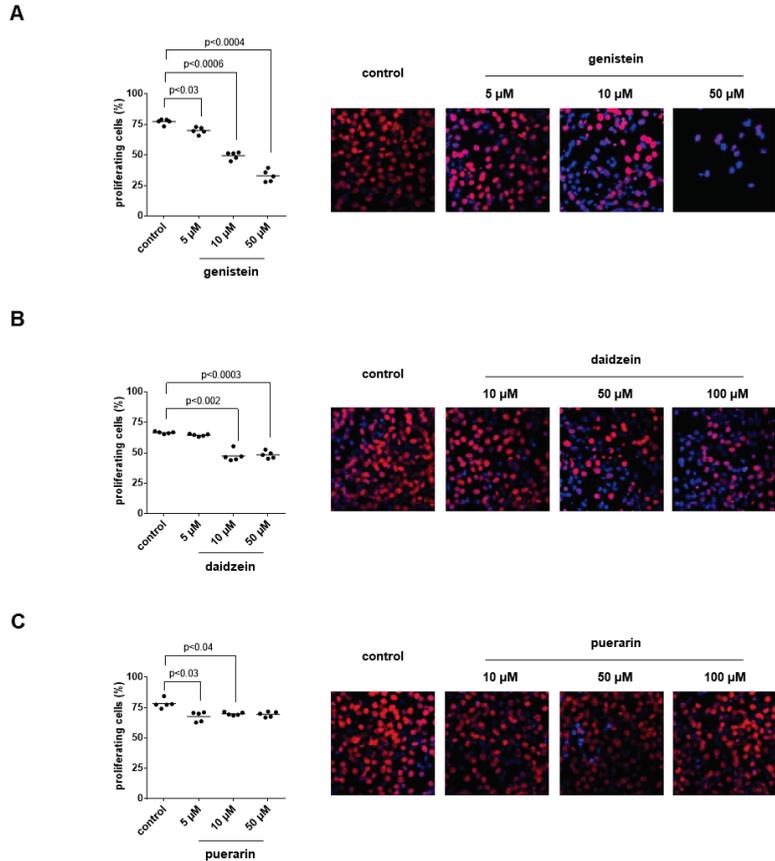


Figure 8. Effects of genistein, daidzein and puerarin on the proliferation of WPMY-1 cells. Proliferation was assessed by EdU assays (red, proliferating cells; blue, non-proliferating cells) after the cells were cultured for 24 h with genistein (A), daidzein (B) and puerarin (C) at the indicated concentrations or with solvent (DMSO for genistein and daidzein, methanol for puerarin) for the controls. Shown are all single values together with means from the quantification of proliferating cells in five independent experiments, along with representative images for each series, and *p*-values from comparisons with values for the corresponding DMSO group determined by one-way ANOVA with Dunnett's tests.

3.12. Effects of Genistein, Daidzein and Puerarin on Apoptosis and Cell Death of Stromal Cells

Genistein increased the numbers of apoptotic and dead WPMY-1 cells, which were assessed by flow cytometric analysis of 7-AAD and annexin V (Figure 9A). Increases in the numbers of dead cells but not numbers of apoptotic cells by genistein were concentration-dependent (Figure 9A). The numbers of apoptotic cells were 2.1-fold higher (1.2 to 3.0) than for the corresponding controls with 25 μ M, 1.9-fold higher (1.3 to 2.5) with 50 μ M and 1.7-fold higher (1.3 to 2.2) with 100 μ M genistein if the numbers with genistein were referred to the corresponding controls without genistein in each single experiment. The numbers of dead cells were 4.0-fold higher (2.8 to 5.2) than for the corresponding controls with 25 μ M, 4.9-fold higher (4.3 to 5.4) with 50 μ M and 7.0-fold higher (5.3 to 8.7) with 100 μ M genistein.

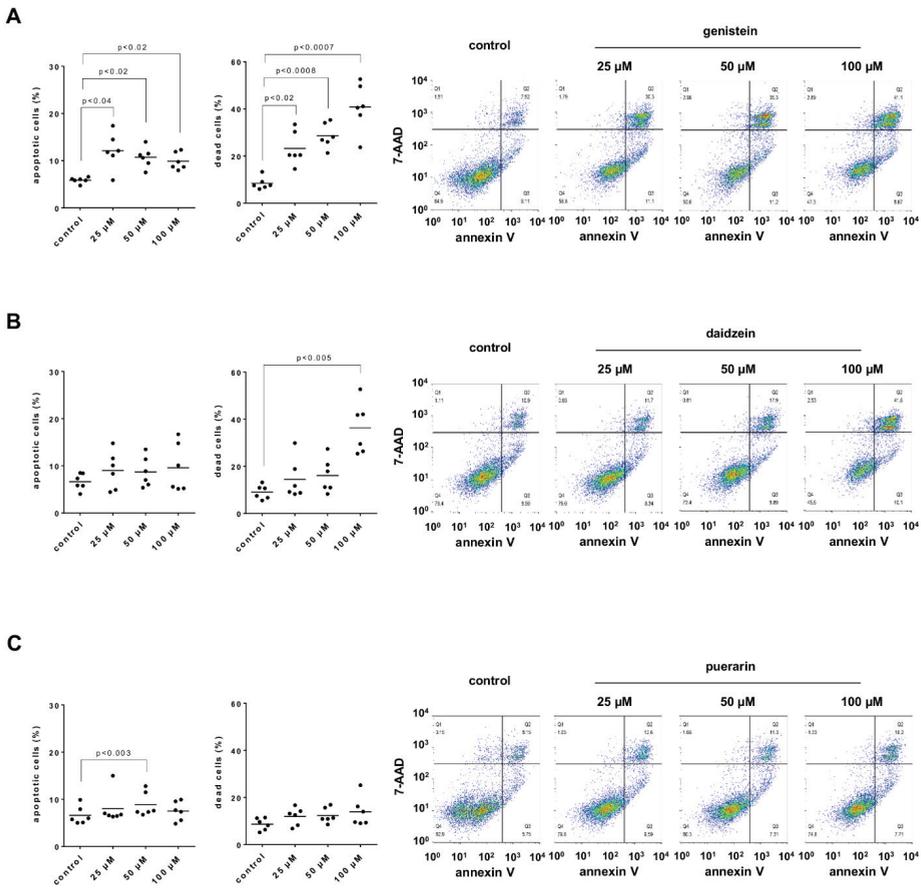


Figure 9. Effects of genistein, daidzein and puerarin on apoptosis and cell death in WPMY-1 cells. Numbers of cells in apoptosis (annexin V-positive, 7-AAD-negative) and of dead cells (resulting from apoptosis and/or necrosis; annexin V-positive, 7-AAD-positive) were assessed by flow cytometry. Flow cytometry was performed following culture with genistein (A), daidzein (B) and puerarin (C) at the indicated concentrations or solvent (DMSO for genistein and daidzein, methanol for puerarin) for the controls for 24 h. Shown are all single values together with means from the quantification of five independent experiments and representative experiments for each series, along with *p*-values from one-way ANOVA with Dunnett’s tests.

Daidzein increased the numbers of apoptotic WPMY-1 cells without a concentration-dependent relationship and concentration-dependently increased the numbers of dead cells, which were most pronounced with the highest applied concentration (Figure 9B). The numbers of apoptotic cells were 1.5-fold higher (0.9 to 2.2) than for the corresponding controls with 25 µM, 1.5-fold higher (1.0 to 1.9) with 50 µM and 1.6-fold higher (0.8 to 2.4) with 100 µM daidzein. The numbers of dead cells were 2.6-fold higher (0.6 to 4.6) than for the corresponding controls with 25 µM, 2.8-fold higher (1.2 to 4.5) with 50 µM and 6.4-fold higher (3.7 to 9.0) with 100 µM daidzein.

Puerarin increased the numbers of apoptotic and dead WPMY-1 cells only slightly or to a neglectable extent, without concentration-dependent relationships (Figure 9C). The numbers of apoptotic cells were 1.4-fold higher (0.9 to 1.9) than for the corresponding controls with 25 µM, 1.6-fold higher (0.9 to 2.2) with 50 µM and 1.3-fold higher (0.9 to 1.7) with 100 µM puerarin. The numbers of dead cells were 2.1-fold higher (1.3 to 2.8) than for

the corresponding controls with 25 μ M, 2.2-fold higher (1.4 to 2.9) with 50 μ M and 2.5-fold higher (0.9 to 4.0) with 100 μ M puerarin.

3.13. Effects of Genistein, Daidzein and Puerarin on the Viability of Stromal Cells

Genistein reduced the viability of WPMY-1 cells, which was assessed by CCK-8 assays 24 h, 48 h and 72 after the addition of isoflavones (Figure 10A). The viability, as well as the effects of genistein, increased over time (Figure 10A). Decreases in viability started with 5 μ M, without exceeding decreases of 50% up to 10 μ M but approaching decreases of 59% (51 to 67) after 24 h and of 87% (86 to 89) after 72 h with 100 μ M genistein if values with genistein were referred to the corresponding controls without genistein in each single experiment (Figure 10A).

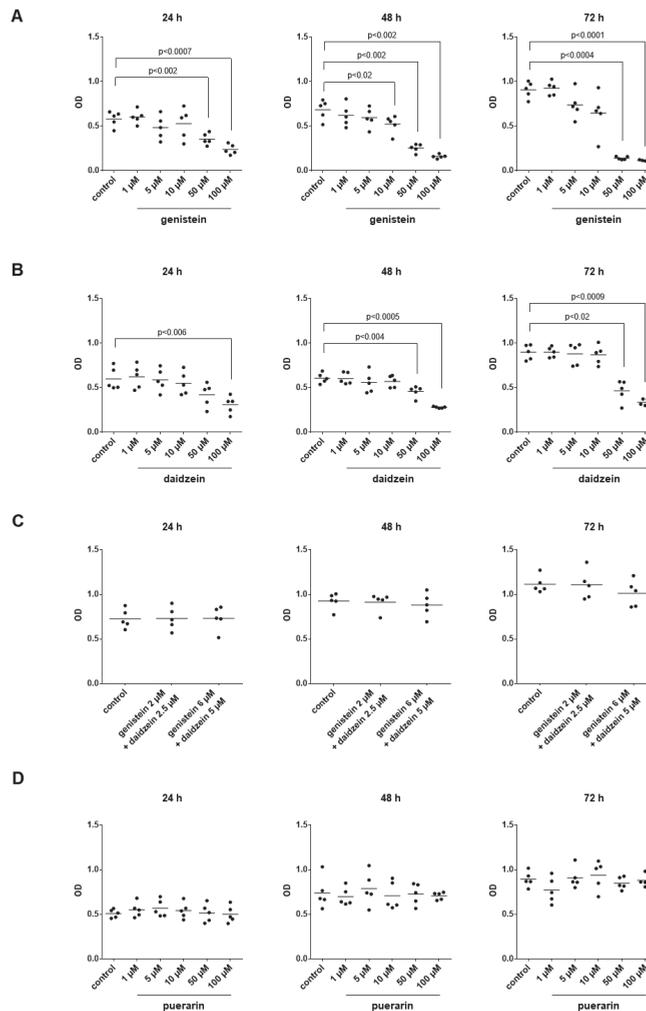


Figure 10. Effects of genistein, daidzein and puerarin on the viability of WPMY-1 cells. Viability was assessed by CCK-8 assays, following culture with genistein (A), daidzein (B), combinations of genistein with daidzein (C) and puerarin (D) at the indicated concentrations or solvent (DMSO for genistein and daidzein, methanol for puerarin) for the controls and for indicated periods. Shown are all single values together with means from quantification of five independent experiments and representative experiments for each series, along with *p*-values from one-way ANOVA with Dunnett’s tests.

Daidzein decreased the viability of WPMY-1 cells, which was concentration-dependent but started with a concentration of 50 μM , while no certain decreases occurred with lower concentrations (Figure 10B). Maximum decreases amounted to 49% (33 to 64) after 24 h, 54% (50 to 58) after 48 h and 62% (55 to 70) after 72 h with 100 μM daidzein (Figure 10B).

The combination of 2 μM genistein with 2.5 μM daidzein did not affect the viability of WPMY-1 cells after 24–72 h (Figure 10C). The combination of 6 μM genistein with 5 μM daidzein did not affect the viability after 24–48 h, while the viability was reduced by 9% (−0.3 to 19) after 72 h with this combination (Figure 10C).

Puerarin did not affect the viability of WPMY-1 cells across the examined concentration range, with a maximum concentration of 100 μM puerarin (Figure 10D).

4. Discussion

High isoflavone exposure due to soy-rich nutrition correlated with low prevalence of BPH and voiding symptoms in epidemiological studies [12–14]. Clinical observations confirmed possible effects of isoflavones on BPH/LUTSs, as preparations from isoflavone-rich plants partly reduced symptoms and the risk for symptomatic BPH [37]. However, previous experimental studies addressing isoflavone impacts in the prostate focused on estrogenic effects, hormone-disrupting properties, epithelial prostate cells, animal models and prostate cancer [38–43]. Consequently, the effects of isoflavones on human prostate smooth muscle contraction and on the growth of stromal cells are mostly unknown, and directed comparisons to identify the most active isoflavones in the prostate are still pending. Our present findings suggest that genistein inhibited human prostate smooth muscle contractions to a remarkable extent and had marked effects on growth-related functions of stromal cells, whereas the effects of daidzein were weaker and the effects of puerarin appeared overall limited. Our findings provide a new basis for understanding isoflavone effects on voiding symptoms and BPH, which are relevant in light of the expanding popularity of soy food seen in many regions and the availability of isoflavone-rich plant preparations as dietary supplements.

We observed inhibition of neurogenic-, α_1 -adrenergic-, endothelin-1- and U46619-induced contractions of human prostate tissues by genistein. Inhibitions with 50 μM of genistein approached the effects of α_1 -adrenoceptor antagonists on EFS-induced and α_1 -adrenergic contractions of human prostate tissues previously observed by us under similar conditions [44]. Using 10 μM , the effects were still obvious, although weaker. In addition, genistein inhibited contractions induced by U46619 and endothelin-1 to a similar degree as α_1 -adrenergic contractions. These non-adrenergic contractions are resistant to α_1 -adrenergic antagonists but may induce a full, maximum prostate smooth muscle tone [21]. In BPH, increased prostate smooth muscle tone may contribute to urethral obstruction and finally to voiding symptoms [16,18]. α_1 -blockers are the first line option for medical treatment and are supposed to improve symptoms by inhibition of α_1 -adrenergic prostate smooth muscle contractions [16,18]. However, their efficacy to improve voiding symptoms is limited, probably due to contractions by non-adrenergic mediators [21,22]. Non-adrenergic contractions may keep prostate tone elevated and account for medication-refractory LUTSs, so that compounds showing inhibitory effects on non-adrenergic contractions are potentially of high clinical interest [21,22]. To the best of our knowledge, previous findings addressing the effects of genistein on prostate smooth muscle contractions are limited to one series, demonstrating the inhibition of EFS-induced contractions in rat prostate tissues with 100 μM genistein [41], while data for agonist-induced contractions, lower concentrations or human tissues are not available.

In cultured stromal cells, we observed concentration-dependent inhibition of proliferation, increases in cell death and decreases in viability with genistein, paralleled by concentration-independent increases in apoptosis. Cell death occurred probably independently of apoptosis, as the rate of apoptotic cells was insufficient to explain higher percentages of dead cells. Thus, genistein may act on different growth-related functions of stromal cells, including proliferation, apoptosis and apoptosis-independent survival, result-

ing in the observed decreases in viability starting at genistein concentrations of 5–10 μM . Previous studies addressing effects of genistein on stromal cells are limited to one series, where a single concentration of 10 μM showed no effect on survival in the presence of androgen [45]. For glandular epithelial cells of the prostate, antiproliferative and apoptotic effects of genistein are well-documented [46–48]. In BPH, both cell types may contribute to epithelial, stromal or mixed hyperplasia and thus to prostate growth and urethral obstruction [17]. Our current findings, together with previous findings from epithelial cells, may suggest that genistein could reduce prostate growth in BPH *in vivo*, provided adequate concentrations occur in the prostate. In fact, genistein inhibited prostate growth in rodent models of testosterone-induced BPH and high-fat-induced prostate growth [38,40,43], as well as *ex vivo* growth in prostate tissues from patients with BPH [49].

Similar to genistein, isoflavone-rich plant preparations reduced experimentally induced and age-related prostate growth in *in vivo* studies on rodents, including extracts from red clover, black soybeans, Kudzu (*Pueraria montana*) and *Pueraria mirifica* [38,40,42,50,51], as did a soy-based, phytoestrogen-rich diet [39]. These and similar preparations contain several different isoflavones, with compositions and predominant compounds varying between plant species. In soybeans, genistein and daidzein belong to the predominant isoflavones, with the content of genistein ranging 1.5–2-fold higher than daidzein [15,39]. Similar to animal models, preparations from soy and red clover reduced prostate size and slightly improved voiding symptoms in humans [37,52], which may explain the widely assumed benefits of soy-rich nutrition in the context of BPH and voiding symptoms. Clinically, voiding symptoms in BPH are expressed by the maximum urinary flow rate (Q_{max}) and the international prostate symptoms score (IPSS) assessed by standardized questionnaires, while postvoid residual urine volume (PVR) allows estimation of the risk for progression. In patients with BPH with a $Q_{\text{max}} < 15 \text{ mL/s}$, an IPSS > 8 and a PVR $> 150 \text{ mL}$, daily treatment with a soy-based preparation for one year containing 40 mg isoflavones increased Q_{max} by 1.7 mL/s, reduced IPSS by 4 points and decreased PVR by 49 mL [37]. However, these were not different from placebo effects: increases in Q_{max} by 1.3 mL/s, decreases in IPSS by 2.9 points and decreases in PVR by 40 mL [37]. In another single-arm trial without a placebo group, patients with BPH received a red clover extract for one year equivalent to 60 mg of isoflavones per day, resulting in a decrease in prostate volume of 10% and a decrease in IPSS of 1.2 points [52]. In general, improvements by medical interventions require decreases in IPSS by 3 or more points in order to be perceptible by patients [53]. No threshold values have been defined for clinically relevant improvements in Q_{max} , as this highly depends on the baseline Q_{max} before treatment initiation. In large-scale trials, α_1 -blockers enhanced Q_{max} by 0.7–2.5 mL/s, which has been considered clinically relevant [54,55].

Consequently, the available clinical data and the effects of these preparations are both limited but may reflect a higher effectiveness of soy compared to red clover. The composition of isoflavone pools may differ between species. Genistein and daidzein are predominant isoflavones in soybeans and red clover and constitute most parts of their total isoflavone content [1,4,15]. However, the availability and content of genistein and daidzein in soy-based food may vary and depend on processing, including fermentation and washing procedures, even though they are high overall [15]. The predominant isoflavone in *Pueraria* roots is puerarin, with levels exceeding those of genistein plus daidzein together by around five-fold and contributing the largest part of the total isoflavone content [1,4,8]. In contrast, puerarin was undetectable in isoflavone preparations from soybeans and red clover [1,4]. Our findings suggest that genistein may be the isoflavone contributing most to the beneficial effects of mixed isoflavone preparations for BPH and LUTSs. Even though daidzein caused obvious inhibitions of EFS- and agonist-induced contractions and showed growth-inhibiting effects in stromal cells, these effects were weaker compared to 50 μM genistein and were minor or lacking at a concentration of 10 μM . Puerarin, the most abundant isoflavone in *Pueraria* roots, did not inhibit smooth muscle contractions of human tissues, apart from partial inhibition of U46619-induced contractions, while growth-related functions of stromal cells were widely unaffected. Regarding the cardiovascular system,

effects of vasodilation and relaxation of vascular smooth muscle due to puerarin are well-known [11], and antihypertensive effects of the roots of *Pueraria* species used in Eastern Asian medicine have been considered [56]. In line with the lack of effects observed for puerarin in our study, improvements in voiding symptoms by *Pueraria* preparations have never been noted, despite their widespread use in traditional medicine in Eastern Asia and as dietary supplements in Western nations [8,9].

Isoflavone concentrations in the prostate have been assessed in patients receiving isoflavone preparations in several trials. Concentrations in the prostate were found to be higher compared to the plasma following the use of isoflavone preparations. In patients with BPH receiving 112.5 mg/d isoflavones, composed of 65.7% genistein and 31.7% daidzein in commercially available capsules, for three days, genistein concentrations amounted to 0.58 nmol/g prostate tissue but 0.78 μ M in the plasma, and daidzein concentrations were 0.47 nmol/g prostate tissue but 0.66 μ M in the plasma [57]. Assuming this could be simply extrapolated, this may correspond to 5.8 μ M genistein or 4.7 μ M daidzein in the prostate. Similar values were reported for patients undergoing radical prostatectomy for prostate cancer who received 27.2 mg of isoflavones (containing 10.6 mg genistein and 13.3 mg daidzein) per day in a soy-based preparation for 12–14 days, resulting in genistein concentrations of 2.2 μ mol/kg in prostate tissue but 0.45 μ M in the plasma, and daidzein concentrations of 2.4 μ mol/kg in tissue but 0.28 μ M in the plasma [58]. In men consuming a soymilk-based beverage for seven days containing 42–60 mg isoflavones, both compounds were detectable in the prostate fluid (consisting mostly of prostate secretion), with concentrations between 0.2 and 1 μ M for genistein and between 0.2 and 30 μ M for daidzein [48]. Consequently, we examined the effects of a combination of 6 μ M genistein and 5 μ M daidzein, representing a composition and concentration which may be expected from isoflavone-rich preparations in the prostate. This combination still inhibited α_1 -adrenergic-, EFS- and U46619-induced contractions. Whether these effects are sufficient for urodynamic effects in vivo cannot be concluded from our findings but it appears possible that they are. It could be speculated that even slight inhibitions of adrenergic and non-adrenergic contractions and slight growth-inhibiting effects may add up, which cannot be expected from α_1 -blockers.

While isoflavone concentrations in the prostate obviously exceed the plasma concentrations after ingestion of isoflavone preparations, the bioavailability and activity of isoflavones depend on glycosylation, conjugation and metabolism, cofactors and other nutrients, duration and form of ingestion, and other variables [6,59,60]. The naturally occurring form of isoflavones, predominating in plants and ingested with soy food are glucosides, which are poorly absorbed [6,59]. The absorbed forms are aglycones, resulting from hydrolysis of the sugar moiety by bacterial and intestinal mucosal β -glucosidases [6,59]. Accordingly, the bioavailability is higher with fermented soy products, for which the content of aglycones is higher, compared to non-fermented soy products [6]. Furthermore, deglycosylation and absorption are critically enhanced by fiber-rich, matrix food components [59,60]. Following absorption, aglycosylated isoflavones undergo conjugation, mainly including glucuronidation but also sulfation, other conjugations and further metabolism [6,59]. Conjugation occurs mostly in enterocytes, and glucuronides are the major forms of isoflavones in the plasma, where the free, unconjugated forms represent only a small portion [6].

Metabolites of isoflavones may be bioactive as well, with equol resulting from the conversion of daidzein as a prominent example [59]. However, our study focused on non-conjugated isoflavone compounds and did not include metabolites or modified forms. Apart from food matrix components, absorption and bioavailability but also bioactivity in vitro may depend on further cofactors and nutrients. In cultured prostate cancer cells, isoflavones show antiproliferative and antigenotoxic effects, which are enhanced by metal ions, in particular iron, copper and zinc ions [61]. In fact, the risk for prostate cancer incidence decreases with soy food consumption, which is not related to soy protein but has been referred to isoflavones [62]. The mortality from prostate cancer, however, is not

reduced by isoflavones or may even increase [62,63], reflecting the need for an improved understanding of still incompletely understood isoflavone effects in the prostate and of their relationships with cofactors and other nutrients.

Considering that genistein showed the largest effects of three different isoflavones on prostate functions, the use of genistein rather than mixed isoflavone preparations for the treatment of voiding symptoms in BPH could be discussed. In contrast to available drugs, genistein may theoretically address contraction and growth in the prostate at once, as a single compound. Currently, this requires combination therapies [18]. Several compounds were recently identified as inhibiting prostate smooth muscle contraction and stromal cell growth at once, similar to genistein. However, these were inhibitors for kinases of GTPases, with limited translational value due to expectable side effects. Considering that genistein is a plant constituent and that pure genistein is available without prescription as a dietary supplement, it may be easily assumed that genistein is better tolerated than most small molecule inhibitors, though this may be in fact a dangerous oversimplification [64]. Genistein belongs to the group of “pan-assay interference compounds” (PAINS), together with other natural phytochemicals [65], showing low specificity, multiple and uncertain targets and a plethora of effects in preclinical studies. In fact, genistein is applied as an “phytoestrogenic” to reduce menopause symptoms [6]. In experimental medicine, it has been used as an inhibitor for non-receptor, Src family tyrosine kinases, so that it appears surprising that the compound is freely available as a dietary supplement. While it is apparently safe in women using it against menopause symptoms, tolerability is unknown in elderly men with BPH and voiding symptoms, and side effects should not be excluded. Isoflavones are potent inhibitors of cytochrome P450 isoforms and modulate activities of various other metabolic enzymes and transporters. As a result, they may not only influence their own bioavailability but also strongly influence the pharmacokinetics and dispositions of co-administered drugs. Clinically relevant and even life-threatening drug–herb, drug–diet and drug–drug interactions have been reported due to the use of isoflavones [64]. Thus, the safety of genistein and isoflavones needs to be assessed before any application in BPH, where polypharmacy is common.

5. Conclusions

The major soy isoflavones, genistein and daidzein, exert sustained effects on prostate smooth muscle contractions and stromal cell growth, which may explain the inverse relationships between soy-rich nutrition, BPH and voiding symptoms. Improvements in voiding symptoms due to isoflavone-rich preparations with soy or red clover appear possible.

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Article

In Vitro Digestion and Colonic Fermentation of UHT Treated Faba Protein Emulsions: Effects of Enzymatic Hydrolysis and Thermal Processing on Proteins and Phenolics

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Abstract: Faba bean (*Vicia faba* L.) protein is a new plant protein alternative source with high nutrient content especially protein and phenolic compounds. The present study investigated physicochemical properties, phenolic content, antioxidant potential, and short chain fatty acids (SCFAs) production during in vitro digestion and colonic fermentation of faba bean hydrolysates and oil-in-water (O/W) emulsions. Results indicate that the enzymic hydrolysates of faba proteins exhibited higher protein solubility, increased electronegativity, and decreased surface hydrophobicity than native faba protein. O/W emulsions showed improved colloidal stability for the faba protein hydrolysates after ultra-high temperature processing (UHT). Furthermore, UHT processing preserved total phenolic content, DPPH and ABTS radical scavenging abilities while decreasing total flavonoid content and ferric reducing power. Besides, the release of phenolic compounds in faba bean hydrolysates (FBH) and emulsions (FBE) improved after intestinal digestion by 0.44 mg GAE/g and 0.55 mg GAE/g, respectively. For colonic fermentation, FBH demonstrated an approximately 10 mg TE/g higher ABTS value than FBE (106.45 mg TE/g). Total SCFAs production of both FBH and FBE was only 0.03 mM. The treatment of FBH with 30 min enzymatic hydrolysis displayed relatively higher antioxidant capacities and SCFAs production, indicating its potential to bring more benefits to gut health. Overall, this study showed that enzymic hydrolysis of faba proteins not only improved the colloidal emulsion stability, but also released antioxidant capacity during in vitro digestibility and colonic fermentation. Colonic fermentation metabolites (SCFAs) were related to the degree of hydrolysis for both FBH and FBE. Additional studies are required to further elucidate and differentiate the role of phenolics during faba protein processing and digestion stages in comparison to contributions of peptides, amino acids and microelements to digestion rates, antioxidant capacities and colonial SCFA production.

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Keywords: faba proteins; enzymatic hydrolysis; UHT processing; emulsion; phenolic compounds; in vitro digestion; colonic fermentation

1. Introduction

The Faba bean (*Vicia faba* L.) is one of the most widely grown winter season legume crops [1]. As a member of the Fabaceae family, it is typically named faba bean or broad bean, and usually cultivated as food and fodder [2–4]. Like other legumes, faba bean is a good source of quality protein (27–34%) [5] and phenolics, especially condensed tannins [6]. In addition, a high proportion of other minor compounds are also present in faba beans, such as levodopa as well as phytic acids which may aid in treating Parkinson's disease and have anti-cancer properties [7,8]. Recently, faba protein along with other legume proteins has gained popularity due to lower environmental impacts and lower cost of production

compared to animal proteins [9]. However, application of faba proteins in plant based foods has not yet been fully explored and faba proteins are still considered an emerging legume protein source.

Phenolic-protein interactions may result in nutrition losses due to protein precipitation and the inactivation of digestive enzymes [10]. Moreover, complexes between phenolics and proteins may affect the bio-accessibility and bioavailability of proteins and phenolics by protecting them from oxidizing as they pass through the GI tract [11]. To improve the bioavailability of phenolics and protein digestibility, protein hydrolysis may be commercially implemented by using protease enzymes such as Alcalase to help decompose protein into smaller peptides and free amino acids, thereby promoting antioxidant capacity [12,13]. Several processing techniques (such as ultra-high temperature processing, high shear strength mixing, and homogenization) also assist in enhancing the stability of protein emulsions by generating pressure and heating impacts [14], which further provide specific antioxidant potential due to the formation of some Maillard reaction products [15].

Earlier studies reported that protein hydrolysates derived from Alcalase showed more resistance to digestive enzymes and higher antioxidant activities than hydrolysates generated from other proteases [16,17]. However, to the best of our knowledge, the influence of Alcalase hydrolysis on phenolic compounds contents and activity in hydrolysates of faba bean is not understood. Furthermore, the knowledge on how UHT, an important commercial processing operation to stabilize plant-based drinks, transforms the phenolic contents and antioxidant properties of faba bean protein emulsions is limited. Lastly, there is a lack of comprehensive understanding of how *in vitro* gastrointestinal digestion influences the release of phenolic compounds in hydrolysates and emulsions. Therefore, the objective of the present study was to determine how physicochemical properties, phenolics contents, and antioxidant activities, changed during *in vitro* gastrointestinal digestion and colonic fermentation of oil-in-water (O/W) emulsions formed with functional faba bean protein hydrolysates with subsequent UHT processing treatment. The production of SCFAs, of FBH and FBE that is generated during colonic fermentation in a faecal model, was also examined.

2. Materials and Methods

2.1. Chemicals and Reagents

All chemicals and reagents used were of analytical grade and purchased from Sigma-Aldrich (St. Louis, MO, USA) unless otherwise stated.

2.2. Sample Preparation

Commercial dehulled faba beans were locally purchased, ground into powders and defatted with n-hexane and stored at room temperature (Experimental design of the study is presented in Figure 1).

2.2.1. Extraction of Faba Bean Phenolics (FBP)

Ethanol extraction of faba bean was performed according to the previously published method with slight modifications [18]. Briefly, slurry of faba bean powder (5 g) was prepared in 20 mL ethanol (70% *v/v*) by homogenising with Ultra-Turrax T25 Homogenizer (IKA Werke GmbH & Co. KG, Staufen, Germany) for 30 s at 10,000 rpm. Homogenised samples were incubated in a shaking incubator (ZWYR-240 incubator shaker, Labwit, Ashwood, VIC, Australia) for 12 h at 4 °C for 120 rpm. Subsequently, incubated samples were centrifuged by Hettich Refrigerated Centrifuge (ROTINA380R, Tuttlingen, Germany) at 5000 rpm for 15 min at 4 °C.

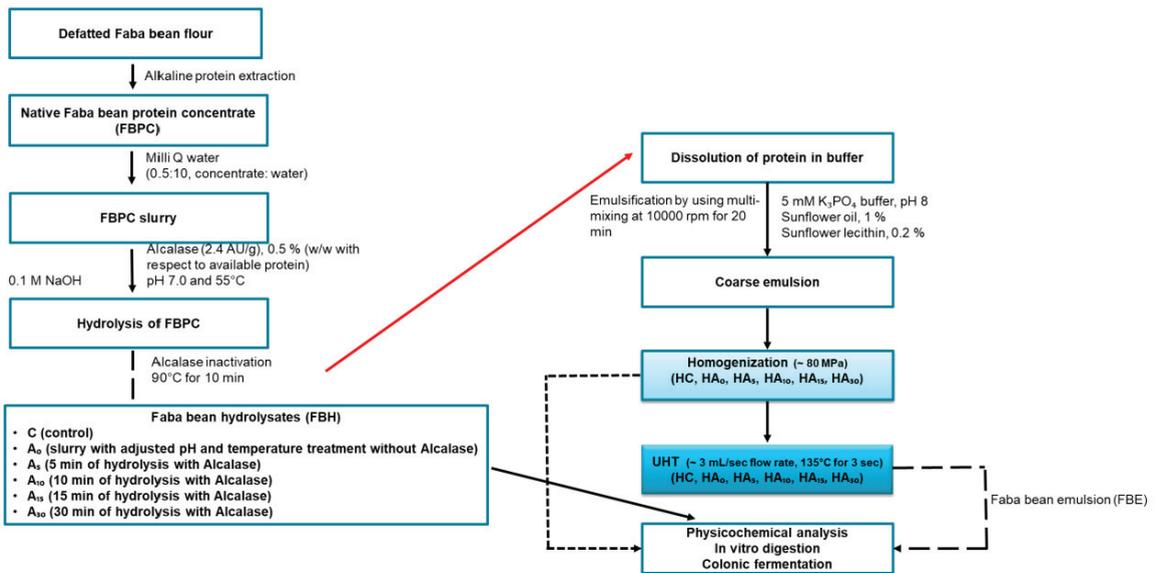


Figure 1. Experimental design.

2.2.2. Preparation of Faba Bean Protein Concentrate (FBPC)

Faba bean flour was defatted using n-hexane. Defatted flour was mixed with distilled water (1:10) to make a slurry and pH was adjusted to 9.0 using 2 N NaOH. The slurry was then mixed for 2 h at room temperature using an overhead stirrer, followed by centrifugation at $10,000 \times g$ for 15 min at 10 °C and collection of the supernatant. The pH of the supernatant was adjusted to 4.3 and centrifuged at $10,000 \times g$ for 15 min 10 °C. The pellets were separated from the supernatant, and pH of the pellets was adjusted to 7.0, followed by freeze drying. The composition and caloric value of the concentrate (per 100 g) were carbohydrate (27 g), total fat (3 g), protein content (63 g), total ash (4 g), moisture content (3 g), and calculated calories (410 kcal).

2.2.3. Preparation of Faba Bean Hydrolysates (FBH)

FBPC was hydrolysed by Alcalase according to the method described by Ghribi et al. [19]. Briefly, 10% (*w/w*) FBPC dispersions pre-equilibrated at pH 7.0 were hydrolysed using 0.5% (*v/v*) of Alcalase (2.4 AU/g of protein) (Alcalase[®], Novozymes Australia Pty. Ltd., North Ride, NSW, Australia) at 50 °C for various time periods viz., 5 min (A₅), 10 min (A₁₀), 15 min (A₁₅), and 30 min (A₃₀). After the target time of reaction, hydrolysis was stopped by inactivating Alcalase at 85 °C for 20 min. Control sample (slurry without pH adjustment and Alcalase) and A₀ sample (slurry with adjusted pH 8 and temperature treatment without Alcalase) were also prepared.

2.2.4. Preparation of Faba Bean Emulsions (FBE)

O/W emulsions (approximately 3000 g) were prepared by adding 5% (*w/w*) of native FBPC (C) or hydrolysed FBPC (A₀, A₅, A₁₀, A₁₅, and A₃₀), 1% (*w/w*) sunflower oil and 0.2% (*w/w*) sunflower lecithin (as a co-emulsifier) in Milli-Q grade water (pH 7.0). The dispersions were mixed with a multi-mixer at 1000 rpm for 10 min. Following mixing, the coarse emulsions were prepared by homogenising the lipidic and aqueous phases using a Silverson high-shear laboratory mixer (Silverson[®], Artarmon, NSW, Australia) at 10,000 rpm for 20 min. The coarse emulsions were homogenised by two passes in an EmulsiFlex-C5 (Avestin, Ottawa, Canada) operating at ~80 MPa followed by UHT processing using a bench-top UHT unit (FT74XTS: UHT/HTST System, Armfield Ltd.,

Hampshire, UK), pre-heated to 105 °C for 3 s and operated at high heat-treatment at 135 °C for 3 s with a sample flow rate of 3 mL/s.

2.3. *In Vitro* Gastrointestinal Digestion

FBH and FBE were digested in three stages, including oral, gastric and intestinal, followed by the harmonized INFOGEST 2.0 protocol of static *in vitro* GID conditions, including enzymes, CaCl₂ and the simulated oral (SOF), gastric (SGF) and intestinal (SIF) fluids described by Sánchez-Velázquez et al. [20] with some modifications. All the samples were first stirred in water (1:2, *w/v*) and an aliquot of 5 mL was taken as a non-digested (ND) aliquot. Samples were then dissolved in SOF (1:1, *v/v*) at pH 7.0 to add 75 U of α-amylase/mL and stirred at 37 °C for 2 min. 5 mL was then taken as an oral-phase aliquot. To simulate the gastric phase (GP), oral-phase bolus was mixed with SGF (1:1, *v/v*) at 37 °C and adjusted to pH 3 before adding 2000 U of porcine pepsin/mL. Gastric phase (GP) aliquots were removed after incubation at 37 °C for 2 h. Gastric-digested samples were further digested by dissolving in SIF (1:1, *v/v*), adjusted pH to 7.0, trypsin (100 U/mL), and bile salt (10 mM) were added to the intestinal phase (IP). Intestinal phase aliquots were taken after incubation at 37 °C for 2 h.

2.4. *In Vitro* Colonic Fermentation

The *in vitro* colonic fermentation procedure was conducted by using a modified method of Gu et al. [21]. A pig faecal model considered a good predictor for human colonic fermentation was selected. Briefly, Pig faecal samples were used as gut microbiome source as human faeces substitutes since pigs and humans are primarily colonic fermenters sharing a comparable gut microbiome. Ten mixed male and female large landrace grower white pigs (around 50 kg live weight) and raised in animal house of Diamond Valley Pork (Laverton North, VIC, Australia), with the standard grower diets for two weeks. The faeces were taken immediately after the pigs defecated and were put into an anaerobic chamber. The medium (20% faeces) was prepared by mixing 20 g faeces with 80 g 0.1 M sterilized pre-nitrogen flushed phosphate buffer (pH 7.0) in a stomacher mixer (MiniMix® Lab Blender, Thomas Scientific, Swedesboro, NJ, USA) for 5 min followed by the filtration through a sterile muslin cloth. Sediments from the small intestinal digestion (FBH and FBE) were prepared after centrifugation at 10,000 × *g* for 10 min. Then, aliquots of 5 mL faecal slurry were added into six sets of tubes with the sediments and 5 mL of the basal media were added. These six sets of tubes were first flushed with nitrogen and then incubated with shaking at 120 rpm for 0 h, 2 h, 4 h, 8 h, 16 h, and 24 h, respectively in darkness. Afterwards, the tubes were centrifuged at 10,000 × *g* and 5 °C for 10 min and the supernatant was taken from the sediment. Supernatants were stored at −80 °C for analysis of phytochemical bioactivity and short chain fatty acid analysis (SCFA) production.

2.5. Physicochemical Properties of Hydrolysates and Emulsions

2.5.1. Degree of Hydrolysis (DH)

DH of all FBE was calculated by quantifying of α-amino groups released during hydrolysis by o-phthalaldehyde (OPA) as described by Church et al. [22]. Briefly, on a 96-well fluorescence plate, 5 µL of sample (glycine standards or hydrolysate) was mixed with 200 µL of OPA reagent (97.5 mL of 100 mM sodium tetraborate (pH 9.9), 0.5 mL of 20% SDS, 1 mL of 5 mg/mL methylated OPA, 1 mL of 5 mg/mL aqueous dithiothreitol) for 5 min at 25 °C, followed by incubation at 37 °C for 20 min. Fluorescence emission (excitation λ 340 nm, emission λ 450 nm) of incubated samples was measured by a plate reader (Varioskan Flash, Thermo Scientific, Waltham, MA, USA). DH was calculated by using Equation (1):

$$DH (\%) = \frac{C_S \times D_{F1} \times D_{F2} \times 100}{m_3 \times H_{tot}} \quad (1)$$

where, C_S (concentration of sample (mmol/L)), D_{F1} (dilution factor prior to OPA reaction), D_{F2} (dilution factor during OPA reaction), m_3 (mass of protein per litre), H_{tot} (total peptide bonds in protein substrate (assumed as 7.8 mmol/g)).

2.5.2. Protein Solubility

Hydrolysate suspensions (1% *w/v*) in 5 mM potassium phosphate buffer (pH 8, prepared in Milli Q water) were prepared by mixing for 10 min. Suspensions were then centrifuged (12,000 × *g*, 20 °C for 20 min), followed by estimation of the total nitrogen contents of supernatant using Dumas combustion method with LECO Trumac[®] N (LECO Corporation, St. Joseph, MA, USA). Total protein contents in the samples were calculated using a conversion factor of 6.25 [23]. The protein solubility was expressed as a percentage of supernatant protein over total protein.

2.5.3. ζ-Potential

The ζ-potential of prediluted (~10-folds) samples FBH and FBE samples were measured using Malvern Zetasizer at 25 °C at a refractive index of 1.33 [24].

2.5.4. Surface Hydrophobicity (S_0)

The S_0 of FBH and FBE were measured using 8-anilino-1-naphthalene sulfonic acid (ANS) as a fluorescent probe on a spectrofluorometer as described by Nwachukwu and Aluko [25]. Briefly, four different serial dilutions (0.006, 0.003, 0.0012 and 0.0009%) of every sample were prepared followed by sub-division of each dilution into two batches (with and without ANS solution). 20 µL of ANS (8.0 mM prepared in 5 mM phosphate buffer) was added to 5 mL of the first batch while the second batch was used as a blank. The ANS-protein conjugation was measured at 370 nm (excitation) and 490 nm (emission) wavelengths using a plate reader (Varioskan Flash, Thermo Scientific, Waltham, MA, USA). The S_0 index was obtained through linear regression analysis of the plot between fluorescence intensity (FI) and protein concentrations (as the slope of fluorescence intensity-protein concentration).

2.5.5. Particle Size

The average particle size distribution of all FBE was determined using a Malvern Mastersizer 3000 (Malvern Instruments Ltd., Worcestershire, UK). The continuous phase was Milli-Q grade water (Refractive Index: 1.33), while the dispersed phase was sunflower oil and plant protein. Measurements for particle size was obtained using ~12.5% laser obscuration and the droplet size distribution proportion at 10% is $d(0.1)$, 50% is $d(0.5)$ and 90% is $d(0.9)$, respectively. The uniformity, surface weighted mean, $D^{3,2}$ and volume-weighted mean $D^{4,3}$ were also achieved from the particle size distribution graphs [26]. Then, the polydispersity index (PDI) was also calculated according to Equation (2).

$$PDI = d(0.9) - d(0.1)/d(0.5) \quad (2)$$

2.6. Estimation of Phenolics and Their Antioxidant Potential

Estimations were performed according to the methods of Akhtar, Wu, Ponnampalam, Cottrell, Dunshea and Suleria [18] using Multiskan Go microplate photometer (Thermo Fisher Scientific Inc., Waltham, MA, USA).

2.6.1. Determination of Total Phenolic Content (TPC)

Folin–Ciocalteu’s method was used to estimate the TPC of FB, FBPC, FBH, FBE and their corresponding digests. 25 µL extract, 25 µL Folin–Ciocalteu’s reagent solution (1:3 diluted with water) and 200 µL water were added into the 96-well plate (Costar, Corning, NY, USA) and incubated for 5 min at room temperature (25 °C). Afterwards, 25 µL of 10% (*w/w*) sodium carbonate was added and incubated for 60 min at 25 °C. Absorbance was then measured at 765 nm using a spectrophotometer (Thermo Fisher

Scientific, Waltham, MA, USA). Gallic acid standard curve with concentrations ranging from 0 to 200 µg/mL was used to determine TPC content and expressed in mg of gallic acid equivalents per gram of extract (mg GAE/g).

2.6.2. Determination of Total Flavonoid Content (TFC)

TFC of FB, FBPC, FBH, and FBE and their corresponding digests were quantified by using aluminium chloride. Extract (80 µL), 80 µL of 2% aluminium chloride and 120 µL of 50 g/L sodium acetate solution were added into the 96-well plate and incubated in dark for 2.5 h at room temperature. Absorbance was measured at 440 nm. Quercetin standard curve with concentrations ranging from 0–50 µg/mL was used to determine TFC and expressed in mg quercetin equivalents per gram of extract (mg QE/g).

2.6.3. Determination of Total Condensed Tannin (TCT)

TCT of FB, FBPC, FBH, and FBE and their corresponding digests were measured using vanillin sulphuric acid method. 25 µL of 32% sulphuric acid, 25 µL of sample extract and followed by 150 µL of 4% vanillin solution were added to 96-well plate which was covered and incubated for 15 min. The absorbance was measured at 500 nm. Catechin standard curve with concentrations ranging from 0 to 1 mg/mL was used for estimation of TCT and expressed in mg catechin equivalents (CE) per gram of extract (mg CE/g).

2.6.4. 2,2'-Diphenyl-1-Picrylhydrazyl (DPPH) Assay

DPPH (4 mg) was dissolved in methanol (100 mL) to prepare DPPH radical solution. 40 µL of extract and 260 µL of DPPH solution were first added to 96-well plate and then vigorously shaken in the dark for 30 min at 25 °C. The absorbance was measured at 517 nm. A Trolox standard curve with concentrations ranging from 0 to 200 µg/mL was used to evaluate the DPPH radical scavenging activity and expressed in mg of Trolox equivalent per gram (mg TE/g) of extract.

2.6.5. Ferric Reducing Antioxidant Power (FRAP) Assay

Sodium acetate solution (300 mM), TPTZ solution (10 mM) and Fe [III] solution (20 mM) were mixed at the ratio of 10:1:1, to prepare the FRAP solution. Then, 20 µL of the extract and 280 µL prepared dye solution were added to a 96-well plate and incubated for 10 min at 37 °C. The absorbance was measured at 593 nm. Trolox standard curve with concentrations ranging from 0 to 200 µg/mL was used to evaluate the FRAP values and expressed in mg of Trolox equivalent per gram of extract (mg TE/g).

2.6.6. 2,2'-Azino-Bis-3-Ethylbenzothiazoline-6-Sulfonic Acid (ABTS) Assay

ABTS⁺ stock solution was prepared by mixing 5 mL of 7 mM ABTS solution and 88 µL of 140 mM potassium persulfate. Then, the reaction mixture was incubated in dark for 16 h. 10 µL of the extract and 290 µL dye solution were added to the 96-well plate and incubated for 6 min at 25 °C. The absorbance was measured at 734 nm. The antioxidant activity was calculated using the standard curve of Trolox with concentrations ranging from 0 to 500 µg/mL. Results were expressed in mg of Trolox equivalent per gram of extract (mg TE/g).

2.7. Short Chain Fatty Acids (SCFAs) Production (GC-FID) Analysis

SCFAs production of the colonic digesta (FBH and FBE) was assessed according to the protocol described by Gu, Suleria, Dunshea and Howell [21]. Briefly, the acidified post-fermented faeces samples were extracted with water/formic acid (99:1, v/v) and analysed using gas chromatography (7890B Agilent, Santa Clara, CA, USA) equipped with a flame ionisation detector (FID) using capillary column (SGE BP21, 12 × 0.53 mm internal diameter (ID) with 0.5 µm film thickness, SGE International, Ringwood, VIC, Australia, P/N 054473). The injection volume was 1 µL and 4-methyl-valeric acid was used as the internal standard. Concentration of detected acetic, propionic, and butyric acids were expressed as µmol/L.

2.8. Statistical Analysis

Results are presented in means \pm standard deviations. All the experiments were performed in triplicates. Experimental data was statistically analysed using one-way ANOVA on Minitab 19 (Minitab® for Windows Release 19, Minitab Inc, Chicago, IL, USA). Tukey's HSD was calculated to test the significant difference among samples at the level of $p \leq 0.05$, at a 5 % level of significance.

3. Results and Discussions

3.1. Changes in the Phenolic Contents and Antioxidant Properties during FBPC Preparation

The effects of concentrating the faba bean protein on the phenolic estimations and antioxidant properties are shown in Table 1. The total phenolic and flavonoid contents of FBPC were 2.79 mg GAE/g and 394.37 μ g QE/g, respectively, which were significantly higher than those of FB. Meanwhile, no significant difference was observed in total condensed tannin after making protein concentrate. That might be due to the initial alkaline conditions during production of the concentrate, which could promote the oxidation of condensed tannins [27]. FBPC exhibited significantly higher values in all three antioxidant assays compared with FB. The increased DPPH radical scavenging capacity after processing faba bean into powders with high protein concentration is in agreement with the study of Nnamezie et al. [28] which also showed improved free radical scavenging abilities resulting from greater phenolics content in the extracts with higher concentrations. The higher the phenolic compound concentrations are, the more available hydroxyl groups within the reaction media and, therefore, the more chances for free radicals to donate hydrogen atoms [29].

Table 1. The estimations of phenolic content and antioxidant capacities of faba bean (FB), protein concentrate (FBPC), hydrolysates (FBH) and emulsions (FBE) *.

Treatments	Samples	TPC (mg GAE/g)	TFC (μ g QE/g)	TCT (mg CE/g)	DPPH (μ g TE/g)	FRAP (μ g TE/g)	ABTS (mg TE/g)
Faba bean flour	FB	1.78 \pm 0.01 ^b	119.72 \pm 13.44 ^b	1.48 \pm 0.18 ^a	7.18 \pm 0.32 ^b	1650.74 \pm 0.01 ^b	28.06 \pm 1.08 ^b
Faba bean protein concentrate	FBPC	2.79 \pm 0.14 ^a	394.37 \pm 28.27 ^a	1.74 \pm 0.05 ^a	15.58 \pm 0.55 ^a	3271.86 \pm 0.19 ^a	41.50 \pm 0.07 ^a
	HC	0.23 \pm 0.01 ^{cd}	37.65 \pm 0.92 ^c	0.09 \pm 0.01 ^g	0.86 \pm 0.05 ^a	249.11 \pm 3.93 ^b	5.39 \pm 0.61 ^a
	HA ₀	0.23 \pm 0.01 ^d	50.33 \pm 3.98 ^b	0.09 \pm 0.01 ^{fg}	0.61 \pm 0.02 ^{bc}	242.01 \pm 9.87 ^b	4.90 \pm 0.53 ^{ab}
Hydrolysates (FBH)	HA ₅	0.25 \pm 0.01 ^{bcd}	63.90 \pm 1.13 ^a	0.14 \pm 0.02 ^{efg}	0.65 \pm 0.01 ^{bc}	146.46 \pm 2.90 ^{de}	3.72 \pm 0.25 ^c
	HA ₁₀	0.24 \pm 0.02 ^{cd}	13.71 \pm 0.22 ^{ef}	0.17 \pm 0.02 ^{cde}	0.64 \pm 0.01 ^{bc}	152.61 \pm 2.63 ^d	3.92 \pm 0.49 ^{bc}
	HA ₁₅	0.24 \pm 0.01 ^{cd}	15.45 \pm 0.41 ^{ef}	0.15 \pm 0.02 ^{def}	0.51 \pm 0.01 ^d	269.37 \pm 2.75 ^a	4.32 \pm 0.25 ^{abc}
	HA ₃₀	0.29 \pm 0.01 ^a	38.69 \pm 5.46 ^c	0.23 \pm 0.02 ^b	0.89 \pm 0.08 ^a	197.51 \pm 8.09 ^c	5.36 \pm 0.61 ^a
	EC	0.23 \pm 0.02 ^d	30.56 \pm 4.15 ^{cd}	0.15 \pm 0.01 ^{de}	0.69 \pm 0.02 ^b	137.63 \pm 3.64 ^{ef}	4.60 \pm 0.37 ^{abc}
	EA ₀	0.22 \pm 0.00 ^d	19.34 \pm 3.91 ^e	0.21 \pm 0.01 ^{bc}	0.65 \pm 0.01 ^{bc}	143.77 \pm 1.88 ^{def}	4.48 \pm 0.12 ^{abc}
Emulsions (FBE)	EA ₅	0.25 \pm 0.01 ^{bcd}	35.12 \pm 4.73 ^c	0.20 \pm 0.01 ^{bcd}	0.59 \pm 0.01 ^{cd}	138.67 \pm 2.50 ^{ef}	4.58 \pm 0.15 ^{abc}
	EA ₁₀	0.23 \pm 0.02 ^{cd}	23.52 \pm 2.61 ^{de}	0.20 \pm 0.01 ^{bcd}	0.60 \pm 0.01 ^{cd}	130.35 \pm 1.56 ^f	4.31 \pm 0.26 ^{abc}
	EA ₁₅	0.26 \pm 0.01 ^{abc}	35.02 \pm 3.93 ^c	0.23 \pm 0.02 ^b	0.58 \pm 0.01 ^{cd}	135.72 \pm 5.90 ^{ef}	4.39 \pm 0.36 ^{abc}
	EA ₃₀	0.28 \pm 0.01 ^{ab}	39.15 \pm 4.11 ^c	0.29 \pm 0.05 ^a	0.66 \pm 0.03 ^{bc}	146.55 \pm 2.48 ^{de}	4.37 \pm 0.24 ^{abc}

* Values (mean \pm SD) illustrated in this table are in triplicates ($n = 3$). For each assay, different superscript letters indicated the significant differences among the faba bean (FB) and protein concentrate (FBPC); and hydrolysates (FBH), and emulsions (FBE), respectively, within a column at 95% confidence level ($p < 0.05$). C, native FBPC protein; A₀, FBPC protein hydrolysates viz., slurry with adjusted pH and temperature treatment without Alcalase; A₅, slurry with 5 min hydrolysis with Alcalase; A₁₀, slurry with 10 min hydrolysis with Alcalase; A₁₅, slurry with 15 min hydrolysis with Alcalase; A₃₀, slurry with 30 min hydrolysis with Alcalase; TPC, Total phenolic content; TFC, total flavonoid content; TCT, total condensed tannin; DPPH, 2,2'-diphenyl-1-picrylhydrazyl; FRAP, ferric reducing antioxidant power; ABTS, 2,2'-azinobis-3-ethylbenzo-thiazoline-6-sulfonic acid; GAE, gallic acid equivalents; QE, quercetin equivalents; CE, catechin equivalents; TE, Trolox equivalents.

3.2. Effects of Enzymatic Hydrolysis on the Phenolic Contents and Antioxidant Properties

The phenolic estimations and antioxidant potential determinations of all the hydrolysates are shown in Table 1. Total phenolic content and total condensed tannin were significantly enhanced after enzymatic hydrolysis for 30 min. Puspita et al. [30] also reported an increase in the total phenolic content of Alcalase extracts of Japanese wireweed (*Sargassum muticum*). Similar improved phenolic contents in the enzymatic extracts of various fruits (kiwi, pear, green apple, raspberry, blackberry, strawberry and blueberry)

and vegetables (pumpkin, green and red pepper) were also observed by Álvarez et al. [31]. At the same time, total flavonoids slightly increased during the first 5 min of hydrolysis but were reduced after longer periods of hydrolysis. This reduction is likely due to anthocyanins, common flavonoids in faba beans, being highly unstable under alkaline conditions of the Alcalase treatment, and they are decolourised within a short time due to hydration at the 2-position of the anthocyanidin skeleton [32,33]. Ferric reducing antioxidant power of HA₁₅, the one subject to hydrolysis for 15 min, was the highest, up to 269.37 µg TE/g, significantly larger than that of the non-hydrolysed one (HA₀). Interestingly, after 15 min hydrolysis, the FRAP value sharply declined to 197.51 µg TE/g. The increase in FRAP may be ascribed to the breakdown of the peptide bonds and consequent higher hydrogen ion availability, which helps proton donation occur at particular side-chain groups [34]. Shahi et al. [35] added that the enhanced ferric reducing power could result from the cleaved peptide chains releasing amino acids like lysine and tryptophan with antioxidant activities. The strongest DPPH radical scavenging ability was observed in HA₃₀, treated with Alcalase for 30 min, up to 0.89 mg TE/g, which was significantly differed from other hydrolysates. Li et al. [36] found that the Alcalase hydrolysates of *Camellia oleifera* seed cake protein showed greater DPPH than papain and trypsin hydrolysates. Similarly, HA₃₀ also represented the highest ABTS value (5.36 mg TE/g) but was not significantly differed from the non-hydrolysed one. At the same time, Ali [2] observed a significant increase in the ABTS values of faba hydrolysates after treating with pepsin for 180 min.

Sbroggio, Montilha, FIGUEIREDO, Georgetti and Kurozawa [34] mentioned that the differences between DPPH and ABTS free radical scavenging modes might be related to the peptides produced having different structures. Extensive hydrolysis could result in forming shorter peptides, including dipeptides and tripeptides, and free amino acids, and therefore peptides got higher hydrophilic properties, easier to approach the ABTS free radical that is water-soluble [37]. Chen et al. [38] also confirmed that soybean peptides, due to their hydrophilicity, have difficulties in having interactions with hydrophobic peroxy radicals like DPPH. This could be a reason why the ABTS value was much higher than that of DPPH. Besides, Samaei et al. [39] added that the ABTS assay has higher sensitivity than the DPPH method since the ABTS radical has preferential interactions with the hydroxylated aromatic compounds within the sequences of peptides, which is associated with the protein composition and hydrophobic properties of the hydrolysates, leading to the peptides of hydrolysates having differences in scavenging ABTS and DPPH radicals.

The effect of hydrolysis time on the DPPH was correlated with degree of hydrolysis as shown in SDS-PAGE (Figure 2). According to SDS-PAGE patterns of hydrolysates viz., A₅ to A₃₀ showed that the Alcalase effectively cleaved proteins in hydrolysates and size was significantly reduced with the increase in reaction time. During the initial hydrolysis stage, both enzyme activity and substrate concentration were comparatively higher, resulting in the breakdown of peptide bonds and proteolysis occurring at higher rates [13]. This may lead to the initial increase in DPPH free radical scavenging capacity, and then further interactions of peptides or functional groups of amino acids may reduce DPPH values. Vasconcellos et al. [40] pointed out that glycinin peptides displayed higher antioxidant effects than conglycinin peptides emphasising the importance of protein structure and amino acid composition at constant degree of hydrolysis. At the end of the hydrolysis, A₃₀ resulted in bands under 20 kDa corresponding to glycinin, and that may cause the improved DPPH at A₃₀.

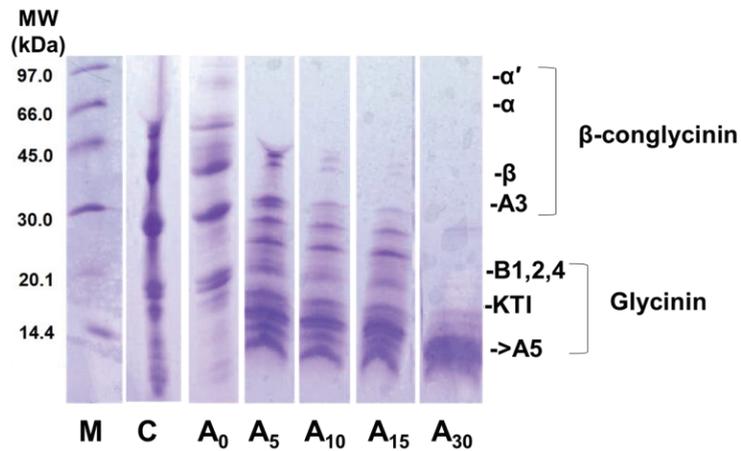


Figure 2. SDS-PAGE profiles of native FBPC protein (C), and FBPC protein hydrolysates viz., slurry with adjusted pH and temperature treatment without Alcalase (A₀), 5 min hydrolysis with Alcalase (A₅), 10 min hydrolysis with Alcalase (A₁₀), 15 min hydrolysis with Alcalase (A₁₅), and 30 min hydrolysis with Alcalase (A₃₀). (M) molecular weight markers.

The results shown in Table 1 revealed that the enzymatic hydrolysis using Alcalase for 30 min is the most effective one, which significantly increased the total phenolic and condensed tannin content and retained or improved DPPH and ABTS radical scavenging capacities but decreased the total flavonoid content and FRAP. The effects of enzymatic hydrolysis were also greatly influenced by the hydrolysis treatment time. Generally, prolonging the hydrolysis time could increase the phenolic content and antioxidant activities of all the hydrolysates to some extent.

3.3. Effects of UHT Processing on the Phenolic Content and Antioxidant Properties of Faba Bean Concentrate

The phenolic estimations and antioxidant activities of HA₀, the slurry of FBPC with adjusted pH and temperature treatment without Alcalase treatment, and EA₀, the hydrolysate A₀ with UHT treatment, are shown in Table 1. By comparing HA₀ and emulsion EA₀, no significant difference was observed in total phenolic content. However, Xu and Chang [41] found reduced TPC values in yellow and black soybean milk after UHT processing, and Dai et al. [42] reported that the TPC values of UHT-treated strawberry samples were significantly lower than the raw ones. Meanwhile, the total flavonoid content of EA₀ was noticeably lower than that of HA₀. Anthocyanins would degrade into various degradation products due to their thermal instability, particularly in a neutral environment [32]. That might be a reason why TFC declined after UHT treatment. While the improved TFC value of UHT-treated yellow soybean milk was attributed to the release of free phenolic compounds from lignin within cell walls [43]. It was observed that HA₀ exhibited a significantly higher total condensed tannin content (0.21 mg CE/g) compared with EA₀ (0.09 mg CE/g), which indicated UHT greatly decreased the content of condensed tannins.

In terms of DPPH and ABTS values, HA₀ and EA₀ did not significantly differ, revealing that UHT processing retained both DPPH and ABTS radical scavenging abilities. Xu and Chang [41] reported that the DPPH value of UHT soymilk produced from soybeans of Proto variety was considerably higher than the raw soymilk. Besides, heat treatments like cooking and pasteurization may lead to the breakdown of large polymerized structural substances present in the cell wall and the release of antioxidant compounds, increasing the antioxidant activity [44]. The FRAP value noticeably decreased from 0.24 µg TE/g (HA₀) to 0.14 µg TE/g (EA₀) after UHT processing, resulting from losing natural antioxidants during the thermal processing. However, Nicoli et al. [45] stated that heat treatments might

induce compounds having new antioxidant characteristics to form. Dias et al. [46] added that thermal processing would not only result in phenolic compound degradation but also unfold and form heat-induced antioxidants like melanoidins that have great antioxidant potential. These studies agree with the retained DPPH and ABTS values after the UHT processing in this research.

3.4. Effects of Enzymatic Hydrolysis Combined with UHT Processing on the Phenolic Contents and Antioxidant Properties

The results shown in Table 1 indicated that the enzymatic hydrolysis using Alcalase for 30 min combined with UHT processing is the most effective one. There was a considerable increase in total phenolic content after this combination processing. The total flavonoid content of the emulsion treated with 30 min hydrolysis and UHT processing showed a significant improvement. Similarly, total condensed tannin noticeably increased to 0.29 mg CE/g after the combination treatment.

Meanwhile, there was no significant difference in both DPPH and ABTS radical scavenging abilities observed after enzymatic hydrolysis for 30 min following the UHT processing. Likewise, ferric reducing power did not show any remarkable change after the combination processing. These indicated that combining enzymatic hydrolysis using Alcalase for 30 min with UHT treatment could retain all the antioxidant activities, while a significant increase in the DPPH values of soy protein isolate hydrolysates, treated with a combination of high-temperature treatment under 121 °C for 10 min and enzymatic hydrolysis using Protamex, was found by Yoo and Chang [47]. Similarly, Voss et al. [48] reported that the okara hydrolysates produced by autoclave processing at 121 °C for 15 min and Alcalase hydrolysis showed a great increase in ABTS value.

3.5. Molecular Weight Distribution and Physicochemical Properties of Hydrolysates

Partial enzymatic hydrolysis caused significant changes in the structure of FBPC revealed by SDS-PAGE profiles (Figure 2). C (native FBPC) exhibited four major bands with molecular weights of ~50, ~37, ~30, and ~21 kDa. These bands correspond to the α -subunits, β -subunits and their intermediate subunits of 11S (legumin-like) globulins [49]. The pattern A₀ showed was similar to C but much clearer, revealing that temperature without enzyme had no effect on protein patterns. SDS-PAGE patterns of FBPC hydrolysates viz., A₅ to A₃₀ showed that the Alcalase effectively cleaved proteins in FBPC and size was significantly reduced with the increase in reaction time. A₅ to A₁₅ remarkably reduced the four distinctive bands and was accompanied by the increased occurrence of protein bands whose molecular weight was less than 20 kDa. Further hydrolysis viz., A₃₀ resulted in bands under 15 kDa. SDS-PAGE results agree with the degree of hydrolysis (Table 2). OPA spectrophotometric assay showed increased amounts of α -amino groups with the increased reaction time of Alcalase resulting in ~1% for A₅, ~2% for A₁₀, ~9% for A₁₅, and ~16% for A₃₀.

The physicochemical properties of hydrolysates were also assessed (Table 2). Results showed that prolonging the Alcalase hydrolysis periods increased the solubility of FBPC by 7–23%, with the highest solubility shown in A₃₀ at pH 7.0. This improved protein solubility might be attributed to the smaller peptides produced by prolonged hydrolysis. These smaller peptides possibly became more soluble due to their formation of stronger hydrogen bonds with water [50]. The electronegativity of FBPC was also enhanced by prolonged hydrolysis at pH 7.0, possibly due to smaller peptides exposed and increased numbers of ionizable amino acids [51]. Besides, Akbari et al. [52] pointed out that enzymatic hydrolysis could dissociate carboxylic groups and release carboxylate ions (COO⁻), resulting in increased electronegativity. In addition, the net negative charge increased with the increase of hydrolysis, which in turn improved protein solubility because of the larger intermolecular repulsive electrostatic forces [5]. Interestingly, the increase in Alcalase hydrolysis also led to a noticeable decrease in surface hydrophobicity (S₀). The hydrophobic areas were enzymatic cleaved, and the hydrophobic residues exposed were refolded, which might be

why S_0 was reduced [53]. Overall, enzymatic hydrolysis by Alcalase with increased time generally resulted in hydrolysates having better functional properties.

Table 2. Physicochemical properties (Degree of hydrolysis ~ DH %, Protein Solubility (%), ζ -potential, Hydrophobicity index ~ S_0 , Droplet size ~ $D^{3,2}$, and Polydispersity index ~ PDI) of faba bean hydrolysates (FBH) and faba bean emulsions (FBE) *.

Treatment	DH %	Protein Solubility (%)	ζ -Potential (mV)	S_0	$D^{3,2}$ (μm)	PDI (%)
HA ₀	0	60.95 ± 0.90 ^a	−30.93 ± 0.45 ^a	236.78 ± 3.00 ^a	-	-
HA ₅	1	67.78 ± 1.57 ^b	−34.67 ± 0.41 ^b	205.91 ± 7.46 ^{ab}	-	-
HA ₁₀	2	72.06 ± 0.90 ^c	−37.53 ± 0.46 ^c	193.32 ± 2.83 ^{ab}	-	-
HA ₁₅	9	77.14 ± 0.90 ^d	−39.67 ± 0.36 ^d	167.23 ± 7.10 ^{ab}	-	-
HA ₃₀	16	83.65 ± 0.67 ^e	−43.75 ± 0.47 ^e	122.64 ± 3.52 ^b	-	-
EC	-	-	−23.10 ± 0.96 ^a	173.07 ± 1.65 ^b	14.10 ± 0.46 ^a	41.55 ± 3.99 ^a
EA ₀	-	-	−21.47 ± 1.10 ^a	244.59 ± 13.80 ^d	14.73 ± 0.35 ^a	30.16 ± 2.17 ^b
EA ₅	-	-	−28.45 ± 0.39 ^b	167.12 ± 5.30 ^b	0.36 ± 0.06 ^b	36.99 ± 2.48 ^a
EA ₁₀	-	-	−30.65 ± 0.34 ^c	147.90 ± 2.26 ^a	0.14 ± 0.01 ^b	17.73 ± 0.78 ^c
EA ₁₅	-	-	−33.56 ± 0.40 ^d	145.71 ± 1.94 ^a	0.10 ± 0.01 ^b	16.31 ± 0.79 ^c
EA ₃₀	-	-	−37.60 ± 0.25 ^e	135.55 ± 2.69 ^a	0.10 ± 0.01 ^b	14.31 ± 0.53 ^c

* Values (mean ± SD) illustrated in this table are in triplicates (n = 3). For each parameter, different superscript letters indicated the significant differences among FBPC protein hydrolysates viz., slurry with adjusted pH and temperature treatment without Alcalase (HA₀), 5 min hydrolysis with Alcalase (HA₅), 10 min hydrolysis with Alcalase (HA₁₀), 15 min hydrolysis with Alcalase (HA₁₅), and 30 min hydrolysis with Alcalase (HA₃₀), and native FBPC protein (EC), FBPC protein hydrolysates viz., slurry with adjusted pH and temperature treatment without Alcalase after UHT treatment (EA₀), 5 min hydrolysis with Alcalase after UHT treatment (EA₅), 10 min hydrolysis with Alcalase after UHT treatment (EA₁₀), 15 min hydrolysis with Alcalase after UHT treatment (EA₁₅), and 30 min hydrolysis with Alcalase after UHT treatment (EA₃₀) within a column at 95% confidence level ($p < 0.05$).

3.6. Physicochemical Properties of Emulsions

The physicochemical properties of emulsions were assessed (Table 2). The droplet size of EA₀ was larger than that of EC, which indicated that UHT processing could increase the particle size. [54] stated that UHT treatment at 135 °C would cause heat-induced protein aggregations on the droplet surface, leading to increased particle size. Other globules like proteins also denature 135 °C. Therefore, in addition to the fat globules, the particle size analysis may include several protein aggregates and protein-fat aggregates as well, resulting in increased particle size [55]. However, the particle size showed a significant reduction after UHT operation with increased Alcalase hydrolysis (EA₅ to EA₃₀). This may be attributed to the smaller peptides with greater emulsifying properties produced by prolonged hydrolysis. With the increase in Alcalase hydrolysis, peptides would have higher electronegativity, protein solubility and lower surface hydrophobicity which helps avoid the aggregation and facilitate small particles to form [16]. Besides, the polydispersity index [56], non-uniformity degree of distribution, was also calculated, and similar trends were observed in PDI, prolonging the Alcalase hydrolysis decreased PDI values.

ζ -potential is measured for predicting the stability of the emulsion. Generally, emulsions with low electronegativity are unstable since storage easily makes them flocculate or coagulate. In contrast, emulsions with higher electronegativity are relatively stable owing to their less attractive forces compared to repulsive forces [57]. The ζ -potential value of EA₀ (−21.47 mV) was less negative than that of EC (−23.10 mV), which revealed that UHT processing led to lower electronegativity. Protein aggregates would form primarily at high temperatures, leading to decreased protein solubility, which may account for lower electronegativity [58]. With the increase in Alcalase hydrolysis, the number of smaller peptides with more negative charge increased and that might result in the improvement in electronegativity (EA₅ to EA₃₀). The enhanced surface hydrophobicity after UHT processing would also be attributed to the aggregated protein since attractive hydrophobic interactions occur between them, forming the oil droplets coated by protein [57]. Prolonging the hydrolysis caused a significant decrease in the hydrophobicity of UHT treated emulsions, which

is consistent with Zang et al. [53]. Overall, emulsions treated with Alcalase hydrolysis were stable after UHT treatment.

3.7. Phenolic Estimations across In Vitro Digestion

The phenolic estimations of FBH and FBE across three digestion phases are shown in Table 3. FBE showed no significant difference with FBH in total phenolic content after in vitro digestion. The TPC values of all the samples significantly increased across in vitro digestion. This observation is also consistent with the study of Ribeiro et al. [59] that the total phenolic content of juçara-based smoothies treated with pasteurization and sonication both had a noticeable increase after intestinal digestion. However, Ma et al. [60] reported an increase of 1.64% and a reduction of 19.97% in the TPC values of digested bamboo leaves soup at gastric and intestinal phases, respectively.

Table 3. Phenolic estimations of faba bean hydrolysates (FBH) and emulsions (FBE) across in vitro digestion *.

Sample Types	Samples	Phases	TPC (mg GAE/g)	TFC (mg QE/g)	TCT (mg CE/g)	DPPH (mg TE/g)	FRAP (mg TE/g)	ABTS (mg TE/g)
Hydrolysates (FBH)	HA ₀	Oral	0.53 ± 0.03 ^c	0.02 ± 0.00 ^a	0.41 ± 0.03 ^a	0.29 ± 0.02 ^b	0.43 ± 0.04 ^b	5.12 ± 0.64 ^b
		Gastric	0.59 ± 0.03 ^b	-	-	0.45 ± 0.06 ^a	0.32 ± 0.03 ^c	7.61 ± 0.31 ^b
		Intestinal	1.21 ± 0.15 ^a	-	-	0.13 ± 0.01 ^c	0.77 ± 0.02 ^a	66.36 ± 3.82 ^a
	HA ₅	Oral	0.40 ± 0.01 ^b	-	-	0.16 ± 0.02 ^b	0.41 ± 0.01 ^a	5.94 ± 0.21 ^c
		Gastric	0.63 ± 0.02 ^a	-	-	0.48 ± 0.08 ^a	0.10 ± 0.01 ^c	7.90 ± 0.12 ^b
		Intestinal	0.39 ± 0.04 ^b	-	-	0.07 ± 0.01 ^c	0.24 ± 0.00 ^b	22.46 ± 0.39 ^a
	HA ₁₀	Oral	0.46 ± 0.04 ^c	-	1.16 ± 0.05 ^b	0.11 ± 0.00 ^b	0.53 ± 0.03 ^a	10.52 ± 0.07 ^b
		Gastric	0.61 ± 0.03 ^b	-	1.29 ± 0.02 ^a	0.39 ± 0.05 ^a	0.10 ± 0.01 ^c	8.22 ± 0.68 ^c
		Intestinal	0.92 ± 0.14 ^a	-	-	-	0.50 ± 0.01 ^a	27.72 ± 0.30 ^a
HA ₃₀	Oral	0.53 ± 0.03 ^c	-	0.54 ± 0.08 ^a	0.37 ± 0.01 ^b	1.10 ± 0.05 ^a	12.25 ± 0.09 ^b	
	Gastric	0.79 ± 0.02 ^b	-	-	0.73 ± 0.06 ^a	0.45 ± 0.05 ^c	9.62 ± 0.15 ^b	
	Intestinal	1.16 ± 0.11 ^a	-	-	-	0.58 ± 0.01 ^b	79.79 ± 0.95 ^a	
Emulsions (FBE)	EA ₀	Oral	0.49 ± 0.03 ^c	0.04 ± 0.00 ^b	2.04 ± 0.02 ^a	0.28 ± 0.02 ^b	1.04 ± 0.04 ^b	12.79 ± 0.41 ^b
		Gastric	0.69 ± 0.02 ^b	-	-	0.42 ± 0.05 ^a	0.90 ± 0.00 ^c	9.10 ± 0.15 ^b
		Intestinal	1.15 ± 0.13 ^a	1.40 ± 0.23 ^a	-	0.19 ± 0.01 ^c	1.35 ± 0.01 ^a	116.13 ± 8.91 ^a
	EA ₅	Oral	0.51 ± 0.02 ^c	-	-	0.24 ± 0.02 ^a	0.99 ± 0.04 ^b	13.95 ± 0.43 ^b
		Gastric	0.80 ± 0.05 ^b	-	-	0.27 ± 0.03 ^a	0.96 ± 0.07 ^b	9.94 ± 0.53 ^c
		Intestinal	0.65 ± 0.09 ^a	0.80 ± 0.11 ^a	-	0.07 ± 0.01 ^b	1.10 ± 0.02 ^a	22.06 ± 0.88 ^a
	EA ₁₀	Oral	0.50 ± 0.04 ^c	-	2.28 ± 0.02 ^a	0.29 ± 0.00 ^b	1.11 ± 0.02 ^b	14.80 ± 0.76 ^b
		Gastric	0.79 ± 0.01 ^b	-	-	0.40 ± 0.02 ^a	0.93 ± 0.04 ^c	9.47 ± 0.43 ^b
		Intestinal	1.17 ± 0.08 ^a	2.61 ± 0.10 ^a	-	0.14 ± 0.01 ^c	2.06 ± 0.09 ^a	78.66 ± 6.38 ^a
EA ₃₀	Oral	0.45 ± 0.04 ^c	-	-	0.26 ± 0.02 ^b	1.00 ± 0.03 ^b	14.98 ± 0.86 ^b	
	Gastric	0.86 ± 0.05 ^b	-	-	0.43 ± 0.06 ^a	1.01 ± 0.05 ^b	10.00 ± 0.27 ^b	
	Intestinal	1.17 ± 0.07 ^a	0.99 ± 0.14 ^a	-	0.15 ± 0.01 ^c	1.22 ± 0.05 ^a	67.46 ± 1.44 ^a	

* Values (mean ± SD) illustrated in this table are in triplicates (n = 3). The data of all the samples have been subtracted the control values. For each sample of each assay, different superscript letters indicated the significant differences among oral, gastric and intestinal phases within three rows of a column at a 95% confidence level (p < 0.05). FBH, faba bean hydrolysates; FBE, faba bean emulsions; A₀, FBPC protein hydrolysates viz., slurry with adjusted pH and temperature treatment without Alcalase; A₅, slurry with 5 min hydrolysis with Alcalase; A₁₀, slurry with 10 min hydrolysis with Alcalase; A₁₅, slurry with 15 min hydrolysis with Alcalase; A₃₀, slurry with 30 min hydrolysis with Alcalase; TPC, Total phenolic content; TFC, total flavonoid content; TCT, total condensed tannin; GAE, gallic acid equivalents; QE, quercetin equivalents; CE, catechin equivalents. DPPH, 2,2'-diphenyl-1-picrylhydrazyl; FRAP, ferric reducing antioxidant power; ABTS, 2,2'-azinobis-3-ethylbenzo-thiazoline-6-sulfonic acid; TE, Trolox equivalent.

The enzymes in the intestinal phase would have actions on the food residual substrate, which helps phenolics release and thus enhance the total phenolic content [61]. Besides, gallic or *p*-coumaric acid and quercetin present in faba beans may increase during digestion since they may convert into other compounds [62]. Zeng et al. [63] added that the phenolics in faba beans are primarily present in their covalent bound form, which may be why they successfully survive the gastric and intestinal digestion to arrive at the colon.

In terms of total flavonoid content, FBE exhibited great total flavonoid contents after intestinal digestion, ranging from 0.80 to 2.61 (mg QE/g) but with almost no detection in the other two phases. Flavonoids are marginally more sensitive to gastric digestion as there were losses observed in all the anthocyanins and the majority of flavonols during the pepsin treatment, particularly higher related to the anthocyanins [64]. This may be responsible for no detection of flavonoids in the gastric phase for all FBE and FBH. On the

contrary, Maduwanthi and Marapana [65] found that the gastric digestion stage displayed significantly higher total flavonoid content in bananas (*Musa acuminata*, AAB) treated with ripening agents ethephon and acetylene than the intestinal phase did. The step-wise release of total flavonoids in digests of four different apple varieties was shown from the gastric phase to the intestinal phase in the study of Bouayed et al. [66].

Regarding TCT, the total condensed tannin of some FBH and FBE sharply decreased after oral digestion and even no detection after gastrointestinal digestion. The interactions between tannins and pancreatic enzymes along with the slightly alkaline pH at the intestinal digestion could result in the degradation of tannins [67,68]. Besides, Wojtunik-Kulesza et al. [61] demonstrated that tannins as high molecular weight phenolic compounds could have strong interaction with proteins and get precipitated by the hydrogen bonding and hydrophobic effect. The total condensed tannin of this research was analysed through the filtered supernatant of the digests, which would also be responsible for no detection of TCT for most samples after gastrointestinal digestion.

3.8. Antioxidant Activities across the Stages of In Vitro Digestion

The antioxidant activities of FBH and FBE across three digestion phases are shown in Table 3. FBH and FBE performed the highest DPPH in the gastric phase and remarkably decreased after intestinal digestion. It is agreed with the previous studies [59,60,66]. Generally, phenolic compounds like quercetin and caffeic acid are highly stable under an acidic pH environment, like the pH of the gastric phase [69]. However, the intestinal condition with slightly alkaline pH would induce chemical conversions, forming quinone intermediates and other oxidizing compounds which were unstable [70]. Additionally, impair the structures of aglycones, changing the chemical characteristics of antioxidants and thus decreasing their antioxidant potential [71]. Chen et al. [72] also mentioned that the alkaline pH of the pancreatic digestion stage would degrade the antioxidant compounds and thereby causing a reduction in antioxidant activities.

The gastric phase of both FBH and FBE exhibited the lowest FRAP values among the three phases, and this might result from the peptides released in the gastric digestion having limited biological activity [73]. Besides, FBE showed significant higher ferric reducing power compared with FBH across in vitro digestion. This could be attributed to the generation of a brown polymer called melanoidins through the Maillard Reaction and other antioxidative products when emulsions are subjected to UHT treatment at 135 °C [15]. Additionally, the ferric reducing power of FBH and FBE was highest in the intestinal phase. It is consistent with the findings of Chen et al. [74] that five varieties of sesame seeds owned the strongest ferric reducing power at the intestinal phase. However, the DPPH values of bamboo leave soup were comparatively higher at the gastric phase and decreased after intestinal digestion [60].

ABTS values of all the samples significantly improved after gastrointestinal digestion. The ABTS radical scavenging ability was primarily released at the intestinal digestion stage. Changing the environment from acidic to alkaline would result in the deprotonation of the hydroxyl groups on the phenolic aromatic rings and thus improve its antioxidant potential [75]. This supports the study of Bouayed, Hoffmann and Bohn [66] that aglycone form exhibits stronger antioxidant activities than that of the glycoside. The bioactive peptides originating from pancreatic hydrolysis with antioxidant characteristics would also contribute to the improved ABTS radical scavenging ability at the intestinal phase [71]. The increasing tendency across in vitro digestion is agreed by Chen, Lin, Lin, Zheng and Chen [74] and Chotphruethipong et al. [76]. However, a considerable reduction was observed in ABTS values of the red grape pomace after intestinal digestion.

3.9. Phenolic Estimations across Colonic Fermentation

The variations of total phenolic compounds, flavonoids, and tannins content in faba bean hydrolysates (FBH) and faba bean emulsions (FBE) during colonic fermentation are displayed in Table 4. It was noted that, during colonic fermentation, total phenolic compounds significantly increased at the first 2 h, except for HA₃₀. Nearly all the TPC values at 8 h of fermentation were

the highest among the FBH and FBE digesta, while HA₁₀ showed the highest TPC value at 4.67 mg GAE/g. However, for EA₃₀, it gained its peak at 2 h (3.80 mg GAE/g). Besides, TPC values significantly decreased after 8 h and then got the lowest levels at 24 h of fecal reaction. In terms of the total flavonoids, all FBH and FBE samples illustrated nearly no flavonoids at 0 h. TFC values gradually increased after 2 h of fermentation and significantly dropped after 4 h, except for EA₅. The emulsions with 5 min enzymatic hydrolysis significantly increased from 4 h, peaked at 8 h (2.61 mg QE/g), and later reduced and gained no detection at 16 h fermentation. As for the total tannin content, HA₅ was detected with the highest TCT value at 0 h (5.44 mg CE/g), but most FBH and FBE were found to have nearly 0 tannins within the first 8 h of fermentation. All FBH and FBE significantly rose after 8 h, especially for the FBE digesta, getting the highest values at 24 h of fecal reaction.

Table 4. Phenolic estimations of faba bean (FB), protein concentrate (FBPC), hydrolysates (FBH) and emulsions (FBE) across colonic fermentation *.

Sample Types	Samples	Phases	TPC (mg GAE/g)	TFC (mg QE/g)	TCT (mg CE/g)	DPPH (mg TE/g)	FRAP (mg TE/g)	ABTS (mg TE/g)
Hydrolysates (FBH)	HA ₀	0 h	3.39 ± 0.04 ^b	-	-	2.36 ± 0.01 ^f	2.91 ± 0.07 ^d	26.55 ± 1.37 ^d
		2 h	3.44 ± 0.03 ^b	0.14 ± 0.00 ^c	-	3.88 ± 0.00 ^d	3.99 ± 0.02 ^b	16.15 ± 0.01 ^f
		4 h	2.40 ± 0.07 ^e	1.06 ± 0.08 ^a	-	3.45 ± 0.03 ^e	4.14 ± 0.00 ^a	33.89 ± 0.12 ^c
		8 h	3.63 ± 0.06 ^a	0.45 ± 0.01 ^b	-	5.78 ± 0.03 ^c	2.77 ± 0.00 ^e	19.25 ± 0.00 ^e
		16 h	2.80 ± 0.00 ^c	-	-	6.40 ± 0.01 ^b	3.76 ± 0.00 ^c	92.52 ± 0.06 ^b
		24 h	2.52 ± 0.01 ^d	-	-	6.60 ± 0.03 ^a	4.09 ± 0.03 ^a	116.94 ± 0.05 ^a
	HA ₅	0 h	3.17 ± 0.00 ^c	0.03 ± 0.01 ^d	5.44 ± 0.13 ^a	1.13 ± 0.02 ^f	3.30 ± 0.08 ^d	33.98 ± 0.35 ^d
		2 h	3.64 ± 0.01 ^b	0.17 ± 0.00 ^c	-	4.32 ± 0.00 ^d	3.45 ± 0.00 ^c	16.18 ± 0.01 ^f
		4 h	2.94 ± 0.04 ^d	0.26 ± 0.01 ^b	-	3.66 ± 0.00 ^e	4.61 ± 0.01 ^a	36.17 ± 0.06 ^c
		8 h	4.07 ± 0.03 ^a	0.60 ± 0.02 ^a	-	6.08 ± 0.01 ^c	1.30 ± 0.00 ^f	19.35 ± 0.00 ^e
		16 h	2.52 ± 0.02 ^e	-	-	7.88 ± 0.26 ^b	3.05 ± 0.00 ^e	92.38 ± 0.03 ^b
		24 h	2.00 ± 0.02 ^f	-	-	8.48 ± 0.09 ^a	3.64 ± 0.01 ^b	116.72 ± 0.06 ^a
	HA ₁₀	0 h	3.15 ± 0.01 ^c	-	-	4.27 ± 0.09 ^d	2.10 ± 0.00 ^f	23.59 ± 0.37 ^d
		2 h	3.93 ± 0.00 ^b	0.36 ± 0.00 ^c	-	5.14 ± 0.01 ^c	4.53 ± 0.01 ^b	15.92 ± 0.03 ^f
		4 h	2.81 ± 0.04 ^e	0.93 ± 0.00 ^b	-	3.64 ± 0.09 ^e	4.79 ± 0.00 ^a	34.52 ± 0.22 ^c
		8 h	4.67 ± 0.02 ^a	1.02 ± 0.00 ^a	-	7.43 ± 0.05 ^b	3.39 ± 0.00 ^c	19.16 ± 0.00 ^e
		16 h	2.95 ± 0.05 ^d	-	0.91 ± 0.02 ^b	7.61 ± 0.10 ^a	3.11 ± 0.01 ^d	94.60 ± 0.03 ^b
		24 h	2.38 ± 0.02 ^f	-	1.22 ± 0.03 ^a	7.67 ± 0.06 ^a	3.02 ± 0.01 ^e	119.75 ± 0.05 ^a
	HA ₃₀	0 h	3.75 ± 0.00 ^a	0.20 ± 0.00 ^c	-	3.11 ± 0.01 ^f	2.22 ± 0.00 ^e	56.29 ± 0.26 ^c
		2 h	3.17 ± 0.02 ^d	0.20 ± 0.00 ^c	-	5.59 ± 0.00 ^c	4.26 ± 0.04 ^a	16.89 ± 0.00 ^f
		4 h	3.15 ± 0.03 ^d	0.89 ± 0.01 ^a	-	3.86 ± 0.01 ^e	4.13 ± 0.00 ^b	33.69 ± 0.10 ^d
		8 h	3.70 ± 0.07 ^a	0.29 ± 0.01 ^b	-	4.33 ± 0.02 ^d	3.12 ± 0.00 ^c	18.99 ± 0.00 ^e
		16 h	3.43 ± 0.06 ^b	0.15 ± 0.02 ^d	0.63 ± 0.01 ^b	7.19 ± 0.09 ^b	3.04 ± 0.04 ^d	97.71 ± 0.04 ^b
		24 h	3.33 ± 0.03 ^c	0.11 ± 0.01 ^e	0.83 ± 0.02 ^a	8.14 ± 0.04 ^a	3.01 ± 0.02 ^d	123.95 ± 0.03 ^a
EA ₀	0 h	3.17 ± 0.02 ^d	-	-	3.00 ± 0.03 ^f	3.52 ± 0.05 ^c	19.65 ± 0.05 ^d	
	2 h	3.32 ± 0.03 ^c	0.38 ± 0.00 ^b	-	4.87 ± 0.05 ^d	3.72 ± 0.00 ^b	15.33 ± 0.03 ^f	
	4 h	2.45 ± 0.02 ^e	0.96 ± 0.02 ^a	-	3.77 ± 0.05 ^e	4.38 ± 0.00 ^a	50.07 ± 0.09 ^c	
	8 h	3.85 ± 0.01 ^a	0.29 ± 0.00 ^c	-	6.10 ± 0.00 ^c	2.51 ± 0.00 ^f	18.69 ± 0.00 ^e	
	16 h	3.63 ± 0.04 ^b	-	5.51 ± 0.00 ^b	6.84 ± 0.05 ^b	3.15 ± 0.06 ^e	97.17 ± 0.07 ^b	
	24 h	3.56 ± 0.02 ^b	-	7.35 ± 0.02 ^a	7.08 ± 0.03 ^a	3.36 ± 0.04 ^d	123.33 ± 0.06 ^a	
EA ₅	0 h	2.31 ± 0.01 ^f	0.18 ± 0.00 ^c	-	3.31 ± 0.02 ^e	2.56 ± 0.01 ^e	61.22 ± 0.01 ^c	
	2 h	3.71 ± 0.01 ^b	0.26 ± 0.00 ^b	-	5.01 ± 0.00 ^c	3.57 ± 0.00 ^b	15.88 ± 0.01 ^e	
	4 h	2.83 ± 0.13 ^e	0.25 ± 0.02 ^b	-	3.45 ± 0.04 ^{de}	4.70 ± 0.00 ^a	57.03 ± 0.19 ^d	
	8 h	4.20 ± 0.01 ^a	2.61 ± 0.00 ^a	-	3.58 ± 0.03 ^d	2.39 ± 0.00 ^f	61.11 ± 0.01 ^c	
	16 h	3.25 ± 0.01 ^c	-	2.35 ± 0.01 ^b	5.99 ± 0.13 ^b	3.02 ± 0.01 ^d	88.35 ± 0.01 ^b	
	24 h	2.93 ± 0.02 ^d	-	3.13 ± 0.01 ^a	6.80 ± 0.05 ^a	3.23 ± 0.01 ^c	97.43 ± 0.08 ^a	
EA ₁₀	0 h	3.51 ± 0.00 ^b	0.10 ± 0.00 ^b	-	2.23 ± 0.10 ^e	2.32 ± 0.01 ^f	61.28 ± 0.02 ^c	
	2 h	3.40 ± 0.06 ^c	0.06 ± 0.00 ^c	-	6.31 ± 0.02 ^a	4.09 ± 0.00 ^a	16.67 ± 0.00 ^f	
	4 h	3.55 ± 0.01 ^b	0.22 ± 0.02 ^a	-	5.29 ± 0.05 ^d	3.94 ± 0.00 ^b	36.02 ± 0.06 ^d	
	8 h	3.93 ± 0.01 ^a	0.10 ± 0.00 ^b	-	5.66 ± 0.05 ^c	2.42 ± 0.00 ^e	19.33 ± 0.00 ^e	
	16 h	3.43 ± 0.01 ^c	-	2.20 ± 0.01 ^b	5.87 ± 0.01 ^b	2.86 ± 0.03 ^d	87.43 ± 0.03 ^b	
	24 h	3.26 ± 0.01 ^d	-	2.93 ± 0.02 ^a	5.95 ± 0.02 ^b	3.01 ± 0.02 ^c	110.13 ± 0.05 ^a	
EA ₃₀	0 h	2.12 ± 0.02 ^d	-	0.53 ± 0.01 ^c	1.85 ± 0.01 ^f	2.51 ± 0.00 ^e	76.29 ± 1.26 ^c	
	2 h	3.80 ± 0.03 ^a	0.15 ± 0.00 ^b	-	5.92 ± 0.00 ^c	3.41 ± 0.00 ^b	17.02 ± 0.00 ^f	
	4 h	3.19 ± 0.02 ^b	0.88 ± 0.00 ^a	-	4.46 ± 0.05 ^e	4.55 ± 0.01 ^a	32.61 ± 0.24 ^d	
	8 h	2.90 ± 0.06 ^c	-	-	4.96 ± 0.02 ^d	2.41 ± 0.00 ^f	18.95 ± 0.01 ^e	
	16 h	1.93 ± 0.00 ^e	-	4.13 ± 0.01 ^b	7.03 ± 0.16 ^b	2.70 ± 0.00 ^d	84.57 ± 0.18 ^b	
	24 h	1.61 ± 0.02 ^f	-	5.51 ± 0.02 ^a	7.72 ± 0.06 ^a	2.79 ± 0.02 ^c	106.45 ± 0.09 ^a	

* Values (mean ± SD) illustrated in this table are in triplicates (n = 3). The data of all the samples have been subtracted the control values. For each sample of each assay, different superscript letters indicated the significant differences among 0 h, 2 h, 4 h, 8 h, 16 h and 24 h phases within five rows of a column at a 95% confidence level (p < 0.05). FBH, faba bean hydrolysates; FBE, faba bean emulsions; A₀, FBPC protein hydrolysates viz., slurry with adjusted pH and temperature treatment without Alcalase; A₅, slurry with 5 min hydrolysis with Alcalase; A₁₀, slurry with 10 min hydrolysis with Alcalase; A₁₅, slurry with 15 min hydrolysis with Alcalase; A₃₀, slurry with 30 min hydrolysis with Alcalase; TPC, Total phenolic content; TFC, total flavonoid content; TCT, total condensed tannin; GAE, gallic acid equivalents; QE, quercetin equivalents; CE, catechin equivalents. DPPH, 2,2'-diphenyl-1-picrylhydrazyl; FRAP, ferric reducing antioxidant power; ABTS, 2,2'-azino-bis(3-ethylbenzo-thiazoline-6-sulfonic acid); TE, Trolox equivalent.

These clearly demonstrated that phenolic compound release was enhanced throughout colonic fermentation, commonly after 8 h of fermentation, consistent with the study of Wang et al. [77]. Condensed tannins can be found in the plant in the form of oligomers as well as polymers of flavanols, which corresponds to extractable tannins as well as non-extractable tannins. According to Quatrin et al. [78], a large proportion of hydrolysable tannins can be converted quickly by gut microbiota within two hours. Meanwhile, tannins with non-extractable properties that are tightly combined with the protein or around sixty percent of the existing dietary fiber are hard to extract with aqueous acidic, organic solvents or digestive enzymes, however, as they approach the colon, they are metabolized by the gut flora and consequently contribute to the TPC results [79].

Flavonoids frequently form glycosidic bonds with other components. The rapid decrease in TFC values after 4 h of fermentation may be due to the combination of flavonoids with sulphates, glycosides and glucuronides after digestion in the upper digestive tract which are subsequently metabolized in the colon with the help of enzymes such as sulfatase, bacterial glycosidases and glucuronidases [80,81]. During gastrointestinal digestion, only a tiny portion of flavonoids (2–15%) was able to be completely digested and absorbed [80]. The flavonoid aglycon may continuously be metabolized in the presence of gut microbiota, resulting in fission products such as valerolactones and phenolic acids [82]. The degradation of condensed tannins contributes to a gradual rise in colonic TPC value [78]. However, because of some assay limitations, alterations shown in the content of condensed tannins during colonic fermentation are not able to be identified using an ultraviolet spectrophotometer. One of the possible explanations might be interactions among tannin metabolites, other compounds existing in the fermented residue, along with the chemicals applied in the assay, making the precipitate and finally falling within the limits of low determination [83].

3.10. Antioxidant Activities across Colonic Fermentation

The variations in the antioxidant potential of faba beans during colonic fermentation were measured by DPPH, FRAP, and ABTS assays, as is displayed in Table 4. In general, a similar tendency in DPPH was found for the digested FBH and FBE, with the values rising and obtaining the highest level after 24 h of fermentation with fluctuations at 4 h and 8 h for some digesta. HA₅ was observed to have a comparatively higher DPPH value of 8.48 mg TE/g after 24 h, followed by HA₃₀ (8.14 mg TE/g). The reducing power of FBH and FBE could be observed through FRAP. FBH and FBE showed an increasing reducing power trend within the first 4 h. In contrast, a decreased trend was noted after 4 h but subsequently increased or sustained from 8 h of fecal reaction. HA₁₀ exhibited the highest reducing power after 4 h fermentation with a value of 4.79 mg TE/g. According to the ABTS results, the values of both FBH and FBE samples significantly reduced from 0 h, fluctuated between 2–8 h, but increased considerably after 8 h, and gained the highest ABTS values at 24 h of reaction (123.95 mg TE/g for HA₃₀). In addition, it seems that FBH demonstrated a relatively higher trend of ABTS values than the FBE group.

Cárdenas-Castro et al. [84] noted similar alterations in the DPPH value in tomatoes during colonic fermentation, and the DPPH value continued to build up after 24 h of fermentation, which is consistent with our results. This trend maintained upward tendency and might result from the existence of other bioactive compounds in faba beans that express antioxidant potential, such as vitamins [85,86]. Furthermore, the rising trends of antioxidant potential (DPPH and ABTS) that existed throughout colonic fermentation were considerably persistent with the improvement in total phenolic content. It was demonstrated that colonic fermentation could aid in releasing and breaking down phenolics from the residual of FBH and FBE digesta, while also enhancing its *in vitro* antioxidant potential.

Besides, melanoidins and dietary fiber in faba beans may cause microorganisms in the large intestine to produce compounds such as metabolites of tannins along with phenolic acids, enhancing DPPH, FRAP along with ABTS results [85]. Phenolic acids existing in faba beans are correlated with the *in vitro* expression of antioxidant capacity [87]. The modifications in antioxidant activities of faba beans at various stages of fermentation also

implied that enzymes in the gastrointestinal tract could not completely neutralize biologically active molecules with antioxidant capacity, thus allowing the bioactive substances to enter the colonic site and release their bioactive effects [86,88,89].

3.11. Short Chain Fatty Acids Production

Five types of short chain fatty acids, including acetic, propionic, iso-butyric, butyric and valeric acids in FBH and FBE were measured and displayed in Figure 3. The significant difference analysis result of Total SCFAs has been updated in the Supplementary Materials (Table S1). Polysaccharides, oligosaccharides, and dietary fibers, containing pectin, beta-glucan, cellulose, gum, etc., will not be digested and absorbed in the upper digestive tract and subsequently, enter the colon. The flora present in the colon will then combine with them for further metabolism. Generally, there was a much lower SCFAs level in our fermented FBH and FBE samples compared to the regular faba bean powder illustrated in another study, in which the level of acetic, butyric and propionic acid after 24 h of reaction were around 41.58 mM, 11.05 mM, and 13.05 mM, respectively [90]. Nonetheless, acetic acid remained the major SCFA produced during colonic fermentation, followed by isobutyric and propionic acids. This finding was consistent with the studies of Gullón, Gullón Estévez, Tavaría, Vasconcelos and Gomes [90] and Wu, Liu, Lu, Barrow, Dunshea and Suleria [89]. However, regarding Çalışkantürk Karataş et al. [91], who measured the potential of faba bean gastrointestinal digesta to enhance gut microbiota fermentation, it is displayed that faba bean digesta facilitated the generation of short chain fatty acids, primarily acetic acid (56.9 μmol), followed by butyric acid (36.1 μmol), propionic acid (23.9 μmol), and valeric acid (8.8 μmol) per 100 mg residue. These slightly different patterns may be due to their use of anaerobic batch cultures to evaluate the impacts on metabolic products.

As shown in Figure 3, during colonic fermentation, the production of SCFAs was found an increasing trend after 4 h of fermentation and peaked after around 16 h, and then sustained or began decreasing steadily. Nevertheless, as noted by Çalışkantürk Karataş, Günay and Sayar [91], the formation of SCFAs still gradually rose after 12 h and showed a peak at 24 h for the whole faba bean. This slow formation pattern might result from the slow pace of fermentation of dietary fiber via gut flora that was picked from the human model, which existed differences from the present study. Periago et al. [92] also discovered that the generation of SCFAs was linked not only to the physical (electrostatic force) and chemical (hydrogen or ester bond) trapping configuration of dietary fiber but also to the species as well as quantities of microbiota.

In addition, the production of SCFAs among FBH and FBE with distinct periods of Alcalase hydrolysis was analogous. Still, it appeared a difference that the SCFAs level in HA₃₀ (enzymatic hydrolysis for 30 min) was generally higher than in other treatments. The lower production and the differences among various FBE along with FBH are most likely correlated to the degradation, Maillard reaction along with caramelization of certain carbohydrates when undergoing UHT treatment, which results in a loss of total polysaccharide contents for fermentation [93,94]. The gut microbiota could efficiently metabolize various Maillard reaction products, inducing the generation of short chain fatty acids. Except for HA₃₀, the similar level discovered in the fermented samples could be attributed to a substance named melanoidins. Melanoidins could form short chain fatty acids along with colonic fermentation, which finally makes up for the degradation loss caused by UHT treatment [15,94].

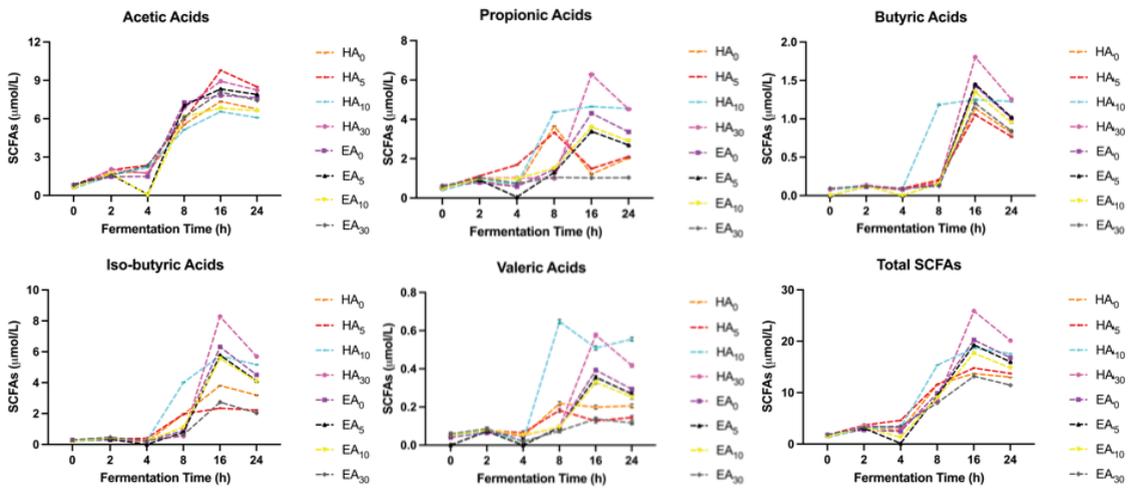


Figure 3. The production of five types of short chain fatty acids, including acetic, propionic, butyric, iso-butyric and valeric acids in FBH (HA₀, HA₅, HA₁₀, & HA₃₀), and FBE (EA₀, EA₅, EA₁₀, & EA₃₀) fermented with six-time variables (0 h, 2 h, 4 h, 8 h, 16 h, & 24 h).

4. Conclusions

In conclusion, enzymatic hydrolysis of faba bean proteins by Alcalase typically contributed to hydrolysates with better functional properties such as higher protein solubility, ζ-potential and lower surface hydrophobicity. In addition, emulsions treated with Alcalase were found stable after UHT treatment as indicated by EA₀ having the larger droplet size (14.73 μm), higher hydrophobicity index (244.59), and lower electronegativity (−21.47 mV). Both phenolic contents and antioxidant activities were significantly increased by about 36% and 54%, respectively, in the faba bean protein concentrate compared to the faba bean flour indicating that these compounds and activities partitioned with the proteins. Enzymatic hydrolysis with Alcalase for 30 min resulted in a comparatively higher total phenolic (0.29 mg GAE/g) and condensed tannin content (0.23 mg CE/g) with higher DPPH and ABTS value viz., 0.89 and 5.36 mg TE/g, respectively, but lower TFC and FRAP value (38.69 μg QE/g and 197.51 μg TE/g). UHT treatment maintained TPC, DPPH and ABTS but reduced the TFC by 30.99 μg QE/g and FRAP by 98.24 μg TE/g. Enzymatic hydrolysis combined with UHT significantly increased the content of total phenolics by 0.06 mg GAE/g and retained all the antioxidant activity values of DPPH, FRAP, and ABTS. The release of phenolic compounds in hydrolysates and UHT emulsions increased after intestinal digestion by 0.44 mg GAE/g and 0.55 mg GAE/g, respectively. Intestinal digestion was the stage when most phenolics were released with the highest antioxidant potential observed. For colonic fermentation, the release of phenolics was enhanced via microbiota present in the gut, commonly after 8 h of fermentation. Furthermore, the SCFA production was dominated by acetic acids, which exhibited significant similar changes among hydrolysates and UHT emulsions. Enzymatic hydrolysis of faba bean protein for 30 min resulted in higher antioxidant capacities and SCFAs, which could be more favorable for gut health. Nonetheless, the biotransformation of phenolic compounds after 48 h of colonic fermentation still needs deeper investigation. Overall, this study showed that enzymatic hydrolysis of faba bean proteins not only improved the colloidal emulsion stability, but also released antioxidant capacity during in vitro digestibility and colonic fermentation. Gut microbiome functionality was also affected by hydrolysis for both proteins and emulsions as indicated by the impact of degree of hydrolysis on short chain fatty acid production. More studies are needed to further elucidate and differentiate the role of phenolics during faba protein processing and digestion stages in comparison to contributions of peptides,

amino acids and microelements to digestion rates, antioxidant capacities and colonial short chain fatty acid production.

Supplementary Materials: The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/nu15010089/s1>, Table S1: The significant difference analysis result of Total SCFAs.

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Article

Probing the Double-Layered Cotyledon Cell Structure of Navy Beans: Barrier Effect of the Protein Matrix on In Vitro Starch Digestion

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Abstract: The microstructure of legumes plays a crucial role in regulating starch digestion and postprandial glycaemic responses. Starch granules are double encapsulated within the outer cell wall and the inner protein matrix of legume cotyledon cells. Despite progress in understanding the role of cell walls in delaying starch digestion, the role of the protein matrix has received little research attention. The aim of this study was to evaluate if the protein matrix and cell wall may present combined physical barriers retarding enzyme hydrolysis of intracellular starch. Intact cotyledon cells were isolated from navy beans and used to assess the barrier effect of the protein matrix on the digestion of starch under conditions simulating the upper gastrointestinal tract. The cells were pretreated with pepsin at 37 °C and pH 2.0 for 1, 4, or 24 h and without pepsin for 24 h (control) to facilitate removal of the intracellular protein matrix prior to cooking and simulated in vitro digestion. A longer pretreatment time resulted in a lower protein content of the cells and a higher initial rate and extent of starch hydrolysis. We suggest that in addition to the primary cell wall barrier, the protein matrix provides a secondary barrier restricting the accessibility of α -amylase to starch. This study provides a new fundamental understanding of the relationship between the structural organization of legume cotyledon cells and starch digestion that could inform the design of novel low glycaemic index foods.

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1. Introduction

Epidemiologic evidence supports the association of consumption of nutrient-dense, low glycaemic index legumes with a decreased risk of incident type 2 diabetes and improved glycaemic control in diabetic individuals [1–3]. Starchy legumes (e.g., beans, peas, chickpeas, lentils, etc.) contain a substantial proportion of slowly digestible starch and physically inaccessible resistant starch. These starch fractions are believed to slow the rate of carbohydrate release in vitro and, consequently, lower the postprandial blood glucose response in vivo [4–6].

An accumulating body of in vitro and in vivo evidence has firmly established a link between the microstructure of food legumes and the slow digestion properties of their starch [7–12]. The cotyledon tissue of starchy legumes comprises numerous cells within which starch granules are tightly embedded in protein matrices and all encapsulated by thick, strong cell walls [13]. In an earlier study, Berg et al. [7] showed that the entrapment of multiple starch granules within cotyledon cells reduced the in vitro hydrolysis rate and the extent of starch in cooked whole navy beans. This is because the resilient cell walls

remained mostly intact throughout cooking and subsequent digestion and, thus, could act as physical barriers obstructing free access of α -amylase to the intracellular starch.

Recently, there has been a surge of interest in the use of intact, isolated cotyledon cells as a food model to gain a deeper mechanistic understanding of how microscale legume structure controls in vitro starch digestion [9–11,14]. Bhattarai, Dhital, Wu, Chen, and Gidley [15] studied the digestive behaviour of isolated legume cells using a dynamic in vitro rat stomach duodenum (DIVRSD) model. The authors proposed three possible mechanisms that may explain the limited hydrolysis of starch inside the cells. Firstly, cell wall intactness reduces the accessibility of digestive enzymes to starch during simulated digestion. Secondly, the swelling and gelatinisation of entrapped starch granules are restricted during cooking, resulting in the retention of the enzyme-resistant crystalline starch structure. Thirdly, the noncatalytic binding of α -amylase to cell wall components prevents its binding to the preferred starch substrate. New evidence further suggests that cell wall porosity controls the diffusion of digestive enzymes and the release of hydrolysed products, which can significantly affect the digestion of starch inside isolated cells from plant foods [16].

To date, most studies have identified the cell wall as a primary physical barrier to starch digestion [7,9,13,17]. Despite the fact that the cell wall coexists with the protein matrix within the cotyledon cell and both naturally encapsulate starch granules [13], the barrier effect exerted by the protein matrix on modulating starch digestion has received inadequate investigation. Rovalino-Córdova, Fogliano, and Capuano [18] and Rovalino-Córdova, Fogliano, and Capuano [19] revealed that the in vitro rate and/or extent of starch hydrolysis of intact red kidney bean cells was significantly reduced in the absence of one or more digestive proteases (i.e., pepsin, trypsin, and chymotrypsin) that were able to hydrolyse the protein matrix. This clearly indicates that the protein matrix represents an additional structural barrier to starch digestion [19]. It has been hypothesized that the compact protein network surrounding starch granules could impede α -amylase mobility within the cells and decrease the starch surface area available for amylase binding and catalysis [18].

The present study aims to extend our understanding of the role of the protein matrix in determining the kinetics of starch digestion using isolated navy bean cotyledon cells as a food model. Navy beans were selected as the legume variety for investigation due to previous evidence supporting the strong relationship between their cotyledon cell structures and starch digestion in vitro [7,11]. In this study, the cells were pretreated with pepsin at 37 °C, pH 2.0, and various incubation times to facilitate the removal of the protein barrier while retaining both the starch granules and the cell walls in an intact state. Accordingly, cell samples with different protein matrix microstructures were generated. Following the cooking of the pepsin-treated cells, the gastrointestinal (GI) fate of the encapsulated starch was assessed using a static in vitro digestion model. It was postulated that the enzymatic breakdown of the intracellular protein matrix could enhance starch hydrolysis efficiency.

2. Materials and Methods

2.1. Materials

One batch of raw navy beans (*Phaseolus vulgaris* L.) was procured from a local store in Palmerston North, New Zealand. Pepsin (from porcine gastric mucosa, ≥ 250 units/mg protein), pancreatin (from porcine pancreas, activity equivalent to 4 \times USP specifications), invertase (from baker's yeast (*S. cerevisiae*), Grade VII, ≥ 300 units/mg solid), porcine pancreatic α -amylase (from porcine pancreas, type VI-B), soluble potato starch (S-2630), and maltose (S-5885) were purchased from Sigma-Aldrich Ltd., St Louis, MO, USA. Amyloglucosidase (for total dietary fibre and starch assays, 3260 U/mL) was purchased from Megazyme International Ireland Ltd., Wicklow, Ireland. All other chemicals and reagents were of analytical grade. Reverse osmosis (RO) water was used for all experiments.

2.2. Preparation of Navy Bean Materials

2.2.1. Isolation of Free Starch Granules

Free starch granules were isolated from navy bean seeds according to the method described by Berg et al. [7].

2.2.2. Isolation of Cotyledon Cells

Raw, intact cotyledon cells were isolated without gelatinising starch by successive treatments of navy beans with acid and then alkali according to the method described by Do et al. [10]. Dried navy bean seeds were soaked in a 0.1 M hydrochloric acid (HCl) solution (pH~1.3) at room temperature (~20 °C) for 24 h. The hydrated beans were manually dehulled to remove the outer seed coats and hypocotyls, split into cotyledons, and rinsed with RO water to remove the remaining acids. The cotyledons were subsequently soaked in a 0.06 M sodium hydroxide (NaOH) solution (pH~12.5) in 1 L Schott bottles. These bottles were placed in a shaking incubator and shaken at 20 °C and 150 rpm for 24 h. The softened, alkali-treated cotyledons were gently mashed by a pestle and mortar to a consistent paste. The resultant paste was successively passed through 150 and 53 µm certified test sieves (Endecotts Ltd., London, England) by extensive washing with water. The cell extract was collected on the 53 µm sieve and ready for pretreatment with pepsin.

2.2.3. Enzymatic Removal of Intracellular Protein Matrix

Enzymatic removal of the intracellular protein matrix was carried out by means of prehydrolysis of isolated navy bean cotyledon cells (INCs) with pepsin. The cell extract (~20 g) collected on the 53 µm sieve (as described in Section 2.2.2) was mixed with pepsin solution (3.2 g pepsin in 400 mL of 0.034 M sodium chloride (NaCl) buffer, pH 2.0) in 1 L Schott bottles. These bottles were shaken in a shaking incubator at 37 °C and 100 rpm for 1, 4, or 24 h. The pH of the cell slurries was occasionally checked and, if necessary, adjusted to 2.0 with 1.0 M HCl.

After the prehydrolysis step, the cell slurries were transferred to 50 mL centrifuge tubes and centrifuged at 1500× g for 10 min to recover the solids. The solid material was reslurried and washed with RO water before being recovered by centrifugation at 1500× g for 10 min. The washing and centrifugation procedure was repeated five times to remove the remaining acids and protein digests. The pepsin-treated cells were dehydrated by rinsing in three changes of absolute ethanol (1 g of extract per 5 mL of ethanol) for 5 min each at room temperature and recovered by centrifugation at 1800× g for 20 min. The cells were then spread onto clean glass plates and air-dried in a fume hood overnight at room temperature. The dried powder was bottled and stored at room temperature until further analysis.

INCs pretreated with pepsin for 1, 4, and 24 h were denoted as INC-1h, INC-4h, and INC-24h, respectively. A control sample (INC-Control) was prepared by treating the cell extract with pepsin-free NaCl buffer (0.034 M, pH 2.0) in the shaking incubator at 37 °C and 100 rpm for 24 h followed by ethanol dehydration and air drying. A native sample (INC-Native) was also prepared by subjecting the cell extract directly to the dehydration process without any chemical or enzymatic modification.

2.3. Determination of Physicochemical Properties

Moisture content was determined gravimetrically by drying the cell samples in an oven at 105 °C to a constant weight. Crude protein was analysed using the Dumas method (AOAC 968.06) [20] and a nitrogen-to-protein conversion factor of 6.25.

Total starch content was quantified using a total starch assay kit (K-TSTA). Total amylose content in starch was analysed using an amylose/amylopectin assay kit (K-AMYL). Both of the starch kits were obtained from Megazyme International Ireland Ltd., Wicklow, Ireland. The analysis was carried out according to the instructions given by the manufacturer. Chemical composition was expressed on a dry weight basis (dwb).

Swelling power and solubility of starch granules were determined after heating aqueous dispersions of INC, containing approximately 2% starch (*w/w*) at 90 °C for 30 min according to the method of Leach, McCowen, and Schoch [21].

2.4. Determination of α -Amylase Activity

Alpha-amylase activities of pancreatin (from porcine pancreas, 4× USP) and α -amylase (from porcine pancreas, type VI-B) were measured using the α -amylase enzymatic assay previously described by Bernfeld [22]. Enzyme solution was prepared by dissolving enzyme powder in RO water at a concentration of approximately 1 unit/mL of α -amylase. One-millilitre aliquots of the enzyme solution were added to 1 mL aliquots of soluble potato starch (1%, *w/v*) in 20 mM sodium phosphate buffer pH 6.9 in 15 mL Kimax screw-capped glass tubes. The capped tubes were mixed by swirling and placed in a water bath at 20 °C. After 3 min, the reaction was terminated by adding 1 mL of colour reagent solution (prepared by combining 8 mL of 5.3 M sodium potassium tartrate solution in 2 M NaOH with 20 mL of 96 mM 3,5-dinitrosalicylic acid and 12 mL of RO water) to each tube. The tubes were capped, mixed by swirling, and immediately incubated in a boiling water bath for 15 min. After cooling on ice for a few minutes, an additional 9 mL of RO water was added to each tube with inversion to mix the contents. The absorbance of the resulting coloured solution was measured spectrophotometrically at 540 nm. A blank assay was prepared by addition of 1 mL of the enzyme solution after adding the colour reagent and placing the tube in the boiling water bath. A standard calibration curve was prepared from a series of aqueous maltose solutions (0–2.0 mg/mL) and run parallel with the samples. The α -amylase activity was calculated and expressed in units of α -amylase per mg of enzyme powder. One unit (U) of amylase is defined as the amount of enzyme that liberates 1.0 mg of maltose from starch in 3 min at pH 6.9 and 20 °C.

2.5. In Vitro Starch Digestion

2.5.1. Static In Vitro Starch Digestion Procedure

The static in vitro digestion protocol described by Dartois, Singh, Kaur, and Singh [23] was followed. This employed a two-stage method to simulate the gastric and small intestinal conditions for starch digestion. Simulated gastric and intestinal fluids (SGF and SIF) were prepared according to the US Pharmacopeia [24].

INCs were suspended in RO water in 400 mL glass beakers to obtain aqueous samples containing approximately 4% starch (*w/w*). The beakers were covered with aluminium foil and placed in a water bath at ~95 °C for 20 min to simulate cooking conditions. Cooked samples (~170 g) were subsequently cooled and introduced into 500 mL jacketed glass reactors. Temperature was maintained at 37 ± 1 °C by circulating water through the reactor jackets. pH was controlled using a pH meter and adjusted by manual addition of HCl (1.0 and 0.5 M) and/or NaOH (1.0 and 0.5 M). The content of each reactor was mechanically agitated using a magnetic stirrer bar at 300 rpm throughout digestion.

The reactor contents were first incubated with the SGF (17 mL) containing pepsin (enzyme/starch ratio, 1.765:100, *w/w*) at pH 1.2 for 30 min to simulate gastric digestion. After the gastric phase, the pH was adjusted to 6.8. The reactor contents were then incubated with the SIF containing pancreatin (enzyme/starch ratio, 1.3:100, *w/w*), amyloglucosidase (enzyme/starch ratio, 0.26:1, *v/w*), and invertase (enzyme/starch ratio, 1:1000, *w/w*) for 120 min to simulate small intestinal digestion.

Duplicate aliquots (0.5 mL) were withdrawn from the reactors after 1, 15, and 30 min of the gastric phase, and after 1, 5, 10, 15, 30, 60, 90, and 120 min of the small intestinal phase. The aliquots were immediately mixed with 2 mL of absolute ethanol in 15 mL centrifuge tubes to stop the enzymatic reaction. The resulting mixtures were vortex-mixed and centrifuged at $1800 \times g$ for 10 min. The ethanolic supernatants (0.1 mL) were incubated with 0.5 mL of amyloglucosidase and invertase in acetate buffer (0.1 mL amyloglucosidase and 3.75 mg invertase per 10 mL acetate buffer) at pH 5.2 and 37 °C for 10 min to completely convert soluble dextrans in the supernatants to glucose. The glucose released was quantified

using a D-glucose assay kit (GOPOD-FORMAT, K-GLUC, Megazyme International Ireland Ltd., Wicklow, Ireland).

The percentage of starch hydrolysis at each sampling time point was used to construct hydrolysis curves and calculated using Equation (1):

$$\%SH = \frac{S_h}{S_i} \times 100 = 0.9 \times \frac{G}{S_i} \quad (1)$$

where %SH is the percentage of starch hydrolysis (%), S_h is the amount of hydrolysed starch (g), S_i is the initial amount of starch (g), and G is the amount of glucose released (g). A factor of 0.9 is used to convert glucose to starch and is based on the molecular mass ratio of starch monomer to glucose (162/180 = 0.9).

The initial rate of starch hydrolysis for the first 10 min of reaction (R10), according to Ezeogu, Duodu, and Taylor [25], was calculated using Equation (2):

$$R10 = \frac{m}{V \times t} \quad (2)$$

where m is the amount of starch hydrolysed (mg), V is the volume of reaction mixture at 10 min of the small intestinal digestion (mL), and t is the reaction time ($t = 10$ min).

2.5.2. Effect of Proteolytic Enzymes on In Vitro Starch Digestion

As illustrated in Figure 1, a set of four different experiments was designed to evaluate the indirect effect of proteolytic enzymes on in vitro digestion of starch in cooked samples of INC-Control. All experiments were performed in accordance with the in vitro digestion protocol suggested by Dartois et al. [23] (Section 2.5.1) but with different combinations of digestive proteases. All enzyme solutions were prepared freshly prior to analysis.

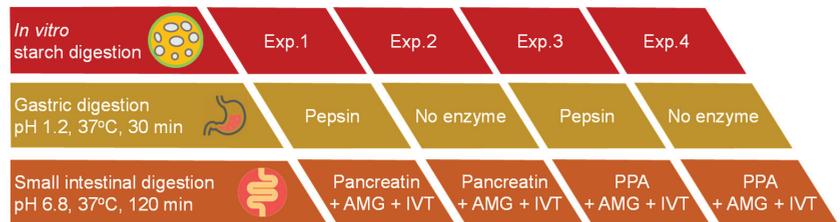


Figure 1. Schematic diagram of four different experiments for evaluating the indirect effect of proteolytic enzymes on in vitro starch digestion of cooked samples of control isolated navy bean cotyledon cells (INC-Control). Abbreviations: AMG—amyloglucosidase, IVT—invertase, PPA—porcine pancreatic α -amylase.

Four experiments (Exps.) were conducted as follows:

Exp.1: control with gastric pepsin (GP) in the SGF followed by pancreatin containing pancreatin proteases (PP) in the SIF.

Exp.2: only PP in the SIF.

Exp.3: only GP in the SGF.

Exp.4: without any protease.

For Exps. 3 and 4, porcine pancreatic α -amylase (PPA) was used in place of pancreatin (a commercial mixture of amylase, lipase, and protease from porcine pancreas) to achieve the unit of α -amylase activity per mL of the final digestion mixture (U/mL) similar to that of pancreatin. The α -amylase activities (U/mg solid) of enzymes were determined using the protocol of Benfield [22] as detailed in Section 2.4. The pancreatin and PPA used in this study were found to exhibit an α -amylase activity of 35.9 ± 0.8 and 17.2 ± 0.6 U/mg solid, respectively.

2.6. Microscopy Analysis

For light microscopy (LM), INCs were mounted onto glass microscope slides, suspended in water, sealed with coverslips, and then viewed under an Axiophot light microscope (Carl Zeiss, Jena, Germany) operating in brightfield mode using the objective of 20× magnification. Representative light micrographs of cells were captured using a Leica DFC320 camera equipped with the Leica software application suite LAS V3.8 (Leica Microsystems, Wetzlar, Germany). Digesta samples taken after 0 and 120 min of the small intestinal digestion were stained with 2% (*w/v*) Lugol's iodine solution and visualised under LM for detecting the presence of starch.

For scanning electron microscopy (SEM), INCs were directly mounted on double-sided adhesive tapes on aluminium stubs, sputter coated with gold (SCD 050, Balzers, Liechtenstein), and viewed under a scanning electron microscope (FEI Quanta 200 FEI Electron Optics, Eindhoven, the Netherlands). Representative electron micrographs of cell samples were captured with accelerating voltage of 25 kV and using the xT microscope software version 3.0.7 (FEI Quanta, Eindhoven, the Netherlands).

2.7. Statistical Analysis

Data were reported as means ± standard deviations for triplicate determinations unless otherwise specified. Tukey's test and analysis of variance (ANOVA) were used to assess the significance of differences ($p \leq 0.05$) between means using Minitab 18 software (Minitab Inc., State College, PA, USA).

3. Results and Discussion

3.1. Microstructural Characteristics of Isolated Navy Bean Cotyledon Cells

Isolated navy bean cotyledon cells (INC) were obtained using the sequential acid-alkali method (Do et al., 2019). This method enabled the easy separation of raw, intact cells without disrupting cell wall integrity and gelatinising starch. Representative light micrographs of INC (Figure 2A–E) confirmed good preservation of cell wall intactness and native starch granule structure after the cell isolation procedure and subsequent preincubation with pepsin. However, the cell samples contained some minor impurities, such as broken/damaged cells, free starch granules, and cell wall/protein fragments. Such impurities could have resulted from the breakage of the cell walls and consequent discharge of the cellular contents during the manual pestle-crushing process to separate the cells. In addition, the isolated navy bean starch (INS) sample was observed to be largely free of impurities (Figure 2F).

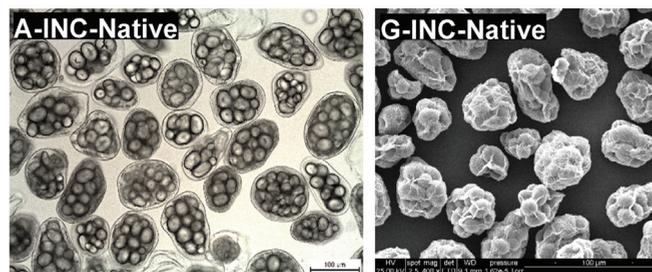


Figure 2. Cont.

The microstructure of each native INC (Figure 2A) consists of multiple starch granules that are physically entrapped in a thick protein matrix and encased by an intact cell wall. The cotyledon cells and starch granules generally exhibit oval or round shapes. Similar microstructural observations of INCs have been reported previously [7,11]. No apparent morphological changes were detected in the control INCs (Figure 2B), indicating that the pepsin-free pretreatment of the native INCs did not significantly alter their cellular structures as expected.

Noticeably, the protein matrix appeared dark in contrast to the lighter background when viewed under LM (Figure 2A–D). The preincubation of the native INCs with pepsin at pH 2.0 and 37 °C resulted in the visual disappearance of the dark-coloured protein substance surrounding the starch granules, indicating hydrolysis of the protein matrix that had taken place inside the cells. As the preincubation time increased from 1 to 24 h, the protein matrix appeared progressively more transparent (lighter) (Figure 2C–E) and was no longer visually perceptible after 24 h (Figure 2E).

As is clearly shown by SEM micrographs in Figure 2, the INS (Figure 2L) displayed a smooth surface whereas the INCs (Figure 2G–K) possessed highly wrinkled surfaces, possibly due to extensive shrinkage and folding of the cell walls during the ethanol dehydration and air-drying process. The native starch granules were packaged into the INCs and wrapped around by the sheet-like cell walls while the protein matrix was not visually perceptible under SEM. These SEM observations agree well with those of previous reports [13,26]. Moreover, in line with the earlier LM observations, the cells seemed to have become progressively more transparent with longer exposure to pepsin, leading to greater visual clarity of the intracellular starch granules. It is possible that the protein matrix was partially removed from the cell cytoplasm when prehydrolysed with pepsin, leaving transparent cellular structures with distinct starch granules enclosed in loose cell walls.

3.2. Effect of the Intracellular Protein Matrix on Physicochemical Properties of Starch

The physicochemical properties of the INCs (control and pepsin-treated) and INS are presented in 1. The control INCs contained 64.3% starch and 17.6% protein (*w/w*, *dwb*). These values are within the range of previously published data [13]. Specifically, starch and protein are the two major components of legume cotyledon cells and constitute 57.2–69.0% and 17.3–20.3% of total dry cell mass, respectively [13].

Furthermore, it is evident from Table 1 that the preincubation with pepsin decreased the protein content while simultaneously increasing the total starch content of the INCs. As expected, a longer incubation time (1, 4, and 24 h) corresponded to a lower protein content (11.4, 9.2, and 2.7%) and a higher total starch content (70.5, 73.4, and 80.2%). The changes in the protein and starch contents were found to be statistically significant ($p \leq 0.05$). These quantitative results support the earlier LM observations of the visual disappearance of the protein matrix in pepsin-treated cells. Additionally, INS contained 91.9% and 0.3% of starch and residual protein, respectively. The amylose content was similar (~28%) across all samples ($p > 0.05$), indicating that neither the pretreatment duration nor pepsin had any effect on the amylose content.

Moreover, Table 1 shows that the swelling power (SP) and starch solubility (SS) of INC-Control did not differ significantly from those of INC-1h or INC-4h ($p > 0.05$). Only prolonged incubation with pepsin up to 24 h could result in significantly higher levels of SP and SS ($p \leq 0.05$). It has been suggested that the suppressed swelling and gelatinisation of starch granules entrapped in isolated cotyledon cells of legumes is attributed to limited water availability and spatial constraints inside cells [27,28]. This is perhaps a consequence of the physical confinement of starch granules in the cell wall/protein matrix as well as the competition for water and space with starch by nonstarch constituents such as proteins [13]. Therefore, as the prehydrolysis of INCs by pepsin progressed, more intracellular space that had been occupied by proteins was freed up. This might have allowed more water and free space to be made available inside cells for the swelling and dissolution of starch granules

upon heating, resulting in greater leaching of soluble materials (mainly amylose) from the granules into the solution.

Table 1. Physicochemical properties of INS, control INCs, and INCs pretreated with pepsin for 1, 4, or 24 h.

Navy Bean Material	AM (%)	TS (% dwb)	P (% dwb)	SP (g/g)	SS (%)
INC-Control	28.8 ± 0.0 ^a	64.3 ± 0.5 ^e	17.6 ± 0.7 ^a	5.2 ± 0.1 ^d	5.5 ± 0.0 ^c
INC-1h	28.6 ± 0.2 ^a	70.5 ± 0.4 ^d	11.4 ± 0.3 ^b	5.4 ± 0.1 ^{cd}	5.5 ± 0.0 ^c
INC-4h	27.8 ± 0.3 ^a	73.4 ± 0.2 ^c	9.2 ± 0.3 ^c	5.6 ± 0.0 ^c	6.1 ± 0.1 ^c
INC-24h	29.0 ± 0.0 ^a	80.2 ± 0.5 ^b	2.7 ± 0.2 ^d	7.4 ± 0.2 ^b	8.3 ± 0.3 ^b
INS	28.0 ± 0.7 ^a	91.9 ± 0.9 ^a	0.3 ± 0.2 ^e	12.6 ± 0.1 ^a	16.9 ± 0.2 ^a

^{a,b,c,d,e} Values are means ± standard deviations of three determinations. Values with the same subscripts in a column do not differ significantly ($p > 0.05$). Abbreviations: AM—amylose content, TS—total starch content, P—protein content, SP—swelling power, SS—starch solubility.

It is also worth noting that, a vast proportion of the protein matrix (~85%) was effectively removed from the cells by pepsin after 24 h. Despite this, INC-24h exhibited considerably lower SP and SS compared to INS from which the cell structural barriers had been completely removed. This implies that the remaining proteins and the intact cell walls of INC-24h prevented complete starch gelatinisation together. From these findings, it appears likely that the limited SP and SS of INCs are linked to the barrier effects exerted by the cell wall and the protein matrix. These physical barriers combine to inhibit the swelling and gelatinisation of the intracellular starch granules during hydrothermal processing.

3.3. Effect of the Intracellular Protein Matrix on In Vitro Starch Digestion

Cooked samples of the control and pepsin-treated INCs, differing in their protein content, were subjected to in vitro gastric and small intestinal digestion. A cooked INS sample was included as a reference. Starch hydrolysis curves are shown in Figure 3. As clearly seen in this figure, virtually no hydrolysis occurred during 30 min of gastric digestion due to the absence of starch-hydrolysing enzymes. Starch was hydrolysed by pancreatic α -amylase during 120 min of small intestinal digestion. During this phase, a general trend was observed in all samples with an increased percentage of hydrolysis during the early stages before reaching a plateau towards the end of digestion.

As noted in Table 2, statistically significant differences in the digestion kinetic parameters were found among the samples ($p \leq 0.05$). The percentage of starch hydrolysed at 120 min of small intestinal digestion (H120, %) decreased in the following order: INS (85.1) > INC-24h (80.6) > INC-4h (77.6) > INC-1h (73.2) > INC-Control (70.6). In a somewhat similar pattern to that seen in H120, the initial rate of starch hydrolysis (R10, mg/mL/min) calculated for the first 10 min of reaction when it was highest decreased in the following order: INS (2.76) > INC-24h (2.11) \approx INC-4h (2.07) > INC-1h (1.50) \approx INC-Control (1.40). Evidently, both R10 and H120 progressively increased as the duration of the pepsin pretreatment increased from 1 to 24 h. These results demonstrate that the enzymatic removal of the protein matrix improves the rate of amylolysis at the initial stages of in vitro digestion and ultimately enhances the starch digestibility of INCs. This clearly proves that, aside from the intact cell wall, the protein matrix acts as an additional barrier to α -amylolysis of the enclosed starch. Similar findings have been previously reported for kidney bean cotyledon cells by Rovalino-Córdova et al. [19], although the conditions under which the cells were isolated by heating and then pretreated with proteases were different to those used in the present study.

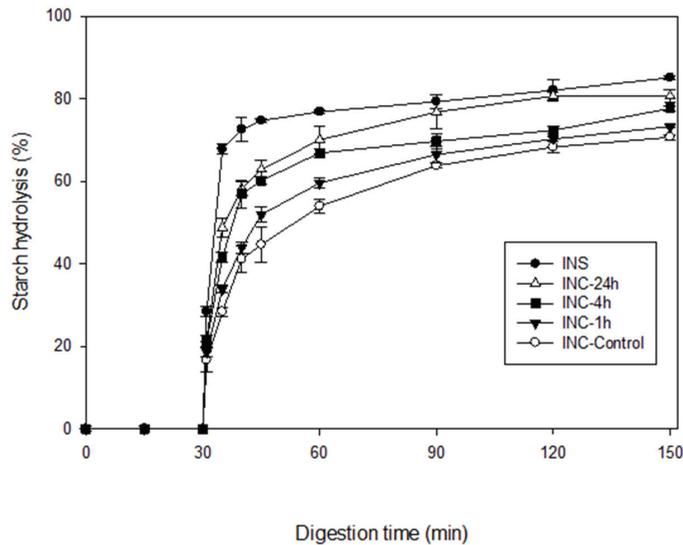


Figure 3. In vitro starch hydrolysis curves of cooked samples of control INCs (○) and INCs pretreated with pepsin for 1 h (▼), 4 h (■), and 24 h (△). INS (●) was included as a reference. Errors bars represent the standard deviations.

Table 2. Kinetic parameters of in vitro starch digestion of cooked samples of INS, control INCs, and pepsin-treated INCs.

Navy Bean Materials	R10 (mg/mL/min) ^f	H120 (%) ^g
INC-Control	1.40 ± 0.11 ^c	70.6 ± 0.6 ^e
INC-1h	1.50 ± 0.03 ^c	73.2 ± 0.3 ^d
INC-4h	2.07 ± 0.13 ^b	77.6 ± 0.6 ^c
INC-24h	2.11 ± 0.13 ^b	80.6 ± 1.6 ^b
INS	2.76 ± 0.13 ^a	85.1 ± 0.4 ^a

^{a,b,c,d,e} Values are means ± standard deviations of three determinations. Values with the same subscripts in a column do not differ significantly ($p > 0.05$). ^f R10: initial rate of starch hydrolysis calculated for the first 10 min of reaction. ^g H120: values for percentage of starch hydrolysis determined experimentally at 120 min of small intestinal digestion.

Figure 4 shows representative light micrographs of INC samples before and after 120 min of the simulated small intestinal digestion. As can be seen from this figure, most cells maintained their structural integrity throughout in vitro digestion whereas only a minor portion of cells had broken and released their contents. In addition, a general trend was observed in all samples. Specifically, most cells became “emptier” after 120 min with the formation of empty “gap spaces” between the cellular contents and the peripheral cell walls. A possible explanation for this, which we have previously proposed [11], is that the starch hydrolysis by α -amylase progresses from the periphery towards the centre of the cells and, thus, causes “shrinking”/emptying of the cellular contents.

Staining of INCs with iodine enabled the visualisation of changes in the starch content. The blue–black colour intensity of amylose–iodine complexes is thought to be proportional to the amount of starch present in the cells, thus providing qualitative information on the extent of starch hydrolysis at specific digestion times. Emptying of the cellular contents and a decrease in iodine staining intensity were observed after 120 min in all samples. This effect occurred to a much greater extent in those with a longer duration of pepsin pretreatment. Evidently, in comparison with INCs preincubated with pepsin for only 1 h, those preincubated for 4 h or longer exhibited emptier cellular contents and greater reductions of iodine staining intensity after their exposure to small intestinal conditions,

supporting their higher extents of amylolysis (H120) determined quantitatively. These findings are in line with previous reports investigating *in vitro* starch digestion kinetics of legume cotyledon cells [17,18,29].

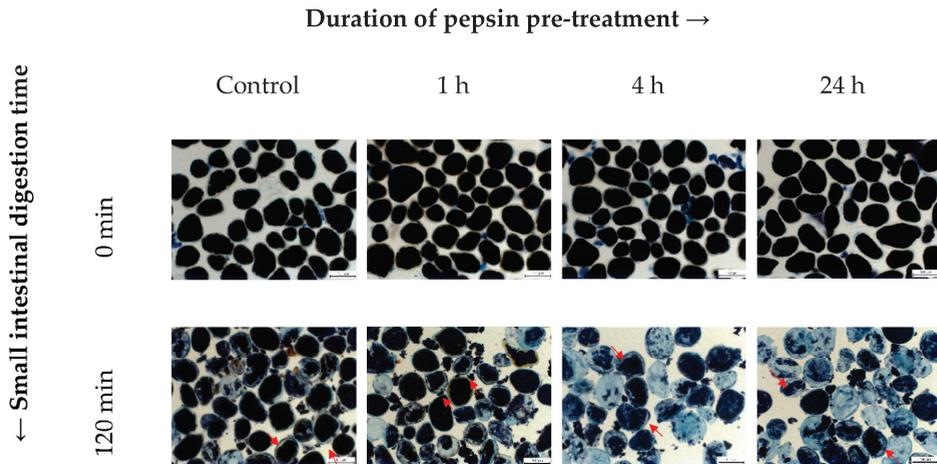


Figure 4. Representative brightfield light micrographs taken at 0 and 120 min of small intestinal digestion of control INCs and INCs pretreated with pepsin for 1, 4, and 24 h. The cells were stained with Lugol's iodine reagent for detecting the presence of starch. Red arrows indicate empty "gap spaces". Scale bar = 100 μ m.

It is also noteworthy that the pretreatment of native INC with pepsin resulted in only partial protein hydrolysis. After 24 h, approximately 85% of protein digestion was achieved as calculated from Table 2. Despite the effective enzymatic removal of the bulk of the protein matrix, INC-24h had significantly lower values for R10 and H120 than INS (deprived entirely of cell structural barriers). This is mostly due to the retention of the intact cell walls in the INC-24h, providing a diffusion barrier to the passage of α -amylase. This evidence implies that the barrier effects of the protein matrix and the cell wall combine to inhibit *in vitro* starch digestion.

In an attempt to separate the net contribution towards the barrier effect of the protein matrix from that of the intact cell wall, Rovalino-Córdova et al. [19] incubated kidney bean cotyledon cells with proteases for 20 h in order to completely hydrolyse proteins prior to *in vitro* starch digestion with α -amylase. Contrary to expectations, it was noted that only 50% protein hydrolysis was achieved despite the prolonged protease pretreatment. Nevertheless, protease-treated cells showed a higher rate of starch hydrolysis at early digestion times compared to control cells (without protease pretreatment). These results are consistent with our findings showing the protein matrix as an additional barrier to *in vitro* starch digestion, but its individual contribution could not be quantitatively determined due to the incomplete protein prehydrolysis.

The starch–protein matrix, consisting of a compact protein matrix entrapping starch granules, is a distinctive microstructural feature of some natural and processed foods. Starch–protein interactions and physiochemical characteristics of the protein matrix (e.g., gluten network in pasta, disulphide-bonded kafirin network in sorghum, etc.) may play a pivotal role in retarding α -amylase digestion of starch, resulting in foods with slow starch digestion properties and potential to modulate glycaemic response [30–32]. However, due to the low content of sulphur amino acids in bean proteins, the slow starch digestion of INCs may be attributed primarily to the microstructural organisation of starch granules and proteins in the cell cytoplasm rather than the formation of disulphide bonds in proteins [19]. In fact, INCs can be represented as a double-layered encapsulation system. The protein

matrix acts as an inner layer for coating starch granules while the cell wall acts as an outer layer for coating the starch granule–protein matrix. These dual encapsulation layers provide double protection against amylolytic degradation, perhaps through limiting the swelling/gelatinisation of starch granules (as evidenced by SP and SS data presented in Table 1, Section 3.2) as well as hindering α -amylase access/binding to starch. Therefore, the breakdown of either the cell wall or protein barriers can lead to a substantial increase in the rate and extent of starch hydrolysis as has been demonstrated in this and other studies [7,17–19,33].

3.4. Effect of Proteolytic Enzymes on In Vitro Starch Digestion

As demonstrated above, the protein matrix plays a crucial part in limiting in vitro digestion of starch in INCs. Since dietary proteins are susceptible to proteolysis catalysed by GP in the SGF and PP (mainly trypsin and chymotrypsin) in the SIF, it is necessary to further explore the indirect effect of these proteolytic enzymes on starch digestion.

Figure 5 shows in vitro starch digestion curves of cooked samples of INC–Control from four experiments differing in the combination of proteases in the digestive fluids: (Exp.1) GP followed by PP, (Exp.2) GP alone, (Exp.3) PP alone, and (Exp.4) no protease. As evident in this figure, all four combinations displayed a similar starch hydrolysis pattern. Specifically, virtually no starch was broken down during 30 min of gastric digestion. This was followed by a gradual rise in the percentage of hydrolysis during 120 min of small intestinal digestion. As shown in Table 3, the initial rate of amylolysis (R10, mg/mL/min) decreased in the following order: Exp.1 (1.40) \approx Exp.3 (1.41) > Exp.2 (0.97) \approx Exp.4 (1.04). Meanwhile, the extent of amylolysis (H120, %) decreased in the following order: Exp.1 (70.6) \approx Exp.2 (70.8) \approx Exp.3 (69.9) > Exp.4 (65.8).

The quantitative data clearly show that both R10 and H120 values were significantly reduced when cooked control INCs were digested in the absence of all proteases. This is consistent with previous work conducted by Rovalino–Córdova et al. [18,19]. These authors found a significant decrease in the rate and extent of α -amylase digestion of starch when kidney bean cotyledon cells were digested with the exclusion of all proteolytic enzymes (i.e., pepsin in the SGF, trypsin and chymotrypsin in the SIF). Wang, Li, Zhang, Wang, and Copeland [34] also found that the greatest starch digestibility of cooked rice was achieved through a combination of amylolytic enzymes (PPA and AMG) and proteolytic enzymes (pepsin, trypsin, and chymotrypsin). Conversely, the total exclusion of all proteases resulted in the lowest starch digestibility. These findings have demonstrated that efficient enzymatic hydrolysis of the protein matrix is necessary for improving starch digestibility, which has previously been described as a cooperative process [18]. In addition, digestion with only PP resulted in a higher R10 value but a similar H120 value compared to digestion with only GP, which may be suggestive of the effectiveness of different proteolytic enzymes in degrading the protein matrix. This is supported by the previous suggestion that PP are thought to be more efficient in hydrolysing dietary proteins than GP [19].

Overall, the results of this study have provided strong evidence that the natural presence of proteolytic enzymes in both the stomach and the small intestine of the human GI tract is necessary for efficient in vitro digestion of starch inside INCs. Initially, GP is likely to play a role in loosening the compact starch granule–protein matrix. Subsequently, extensive protein degradation by PP in the small intestine may facilitate enzyme mobility within the cell cytoplasm and enhance starch–amylase interactions. Considering the facilitating effect of proteolytic enzymes on starch digestion, the encapsulation of starch granules in double-layered INC with the protein matrix (inner layer) being surrounded by the cell wall (outer layer) seems to be advantageous in the sense that the protein matrix is shielded from proteases, making it more resistant to proteolysis while providing extra protection for starch.

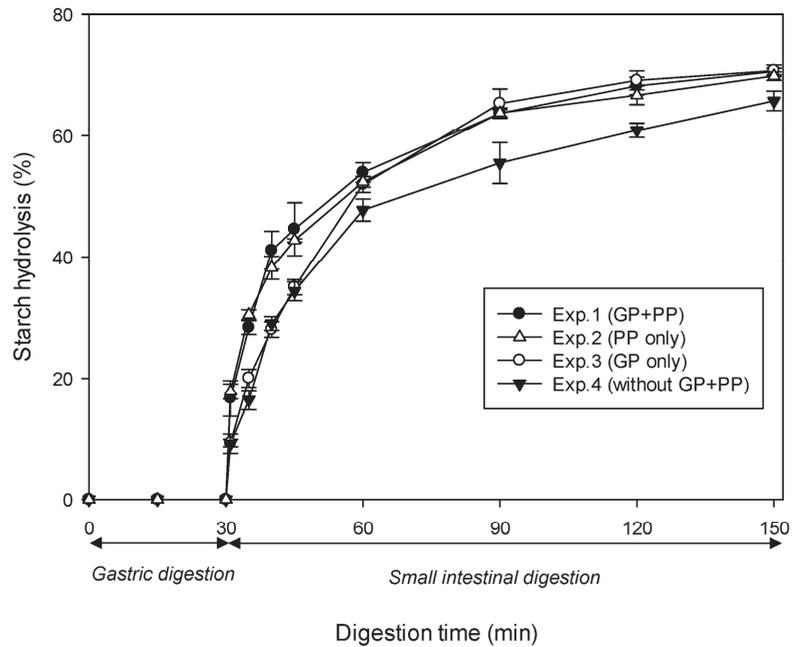


Figure 5. In vitro starch hydrolysis curves of cooked samples of control INCs from four experiments differing in protease combinations. Symbols (●, △, ○, ▼) represent the four experiments (see Experimental Section 2.5.2). Errors bars represent the standard deviations. Abbreviations: GP—gastric pepsin, PP—pancreatin proteases.

Table 3. Kinetic parameters of in vitro starch digestion of cooked samples of control INCs from four experiments differing in protease combinations.

Experiments	R10 (mg/mL/min) ^c	H120 (%) ^d
Exp.1 (GP + PP)	1.40 ± 0.11 ^a	70.6 ± 0.6 ^a
Exp.2 (PP only)	1.41 ± 0.07 ^a	69.9 ± 0.7 ^a
Exp.3 (GP only)	0.97 ± 0.04 ^b	70.8 ± 0.9 ^a
Exp.4 (without GP + PP)	1.04 ± 0.04 ^b	65.8 ± 1.6 ^b

^{a,b} Values are means ± standard deviations of three determinations. Values with the same subscripts in a column do not differ significantly ($p > 0.05$). ^c R10: initial rate of starch hydrolysis calculated for the first 10 min of reaction. ^d H120: values for percentage of starch hydrolysis determined experimentally at 120 min of small intestinal digestion.

4. Conclusions

The present study has unveiled intriguing new insights into the role of the protein matrix in modulating the in vitro digestion of starch in navy bean cotyledon cells. We have shown that the entrapment of starch granules in the protein matrix may restrict their swelling and gelatinisation. In addition to the cell wall, the protein matrix presents a secondary physical barrier blocking the access/binding of α -amylase to starch. Proteolytic degradation of the protein matrix removes these restrictions, rendering starch more susceptible to α -amylolysis. Hence, efficient protein hydrolysis before or during simulated GI digestion is a necessary prerequisite for improving starch digestibility. Finally, as proposed by Do, Singh, Oey, and Singh [35], the unique cotyledon cell structure serves as a great source of inspiration for designing biomimetic materials. Encapsulation of starch granules within a protein core and a polysaccharide shell to form a double-layered structure is a novel strategy to fabricate novel food-grade particles for reduced glycaemic impact.

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Article

Legume Intake, Body Weight, and Abdominal Adiposity: 10-Year Weight Change and Cross-Sectional Results in 15,185 U.S. Adults

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Abstract: There were three objectives: (1) evaluate the relationship between legume intake and weight change across the previous 10 years, (2) examine the cross-sectional associations between legume consumption, BMI, and abdominal adiposity, and (3) determine if the relationship between legume intake and the outcomes were influenced by multiple covariates, particularly fiber intake. The sample included 15,185 randomly selected adults representative of the U.S. population. Percent change in weight was used as the outcome measure for the 10-year analysis. BMI, and waist circumference, corrected for height, were employed as the outcomes for the cross-sectional analyses. Legume, fiber, and energy intakes were measured using the average of two 24-h dietary recalls. Legume intake was divided into three categories. Five demographic and five lifestyle covariates were controlled statistically. There was an inverse dose-response relationship between legume intake and percent weight change over the previous 10 years after adjusting for 9 of the covariates ($F = 6.5$, $p = 0.0028$). However, after controlling for fiber with the other covariates, there were no differences across the three legume intake groups ($F = 1.9$, $p = 0.1626$). The cross-sectional findings showed similar inverse dose-response results until fiber intake was controlled. Then the associations became non-significant. In conclusion, legume intake is a good predictor of percent weight change over the previous 10 years, and it is also a significant predictor of BMI and abdominal adiposity cross-sectionally. These relationships are strongly influenced by fiber consumption. Evidently, legumes have dietary advantages, especially high fiber levels, that seem to be valuable in the battle against weight gain and obesity.

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1. Introduction

Legumes have numerous nutritional characteristics that have the potential to decrease the risk of disease [1–3]. Meta-analyses show that legume intake is inversely associated with incident cardiovascular disease, including coronary heart disease and cardiometabolic risk factors [1,2,4–6]. Despite the many disease-prevention and health-enhancing qualities associated with legume intake, consumption levels tend to be modest in the U.S. and appear to be decreasing [7,8]. Based on purchasing data from grocery stores, chain supermarkets, club stores, and other retail outlets, Semba et al. concluded in 2021, “Although legumes are inexpensive, healthy, and a sustainable source of protein, per capita legume intake remains low in the U.S. and below dietary guidelines” [9].

One of the most common chronic diseases in the United States is obesity. It affects about 43% of the adult population, with another 31% classified as overweight [10]. Research indicates that regular legume consumption may help prevent obesity [11]. Legumes, particularly beans, include only small amounts of dietary fat and large amounts of dietary fiber. Moreover, the glycemic index of beans is low. They also contain high levels of plant protein. They are satiating, and they can enhance the gut microbiome. Consequently, beans and other legumes have many qualities that may help in the fight against weight gain and its consequences [11,12].



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Although legumes have many nutritional characteristics that should make them a desirable food when the prevention of obesity is the goal, individual weight loss studies focusing on legumes have rarely resulted in favorable outcomes [13,14]. However, when combined using meta-analysis, results suggest that legume consumption may be a good choice for weight loss [2,14]. Clearly, individual investigations and meta-analyses differ in their findings and in their conclusions. Consequently, Williams et al. determined, “There is insufficient evidence to make clear conclusions about the protective effect of legumes on weight” [15].

More than 20 years ago, Papanikolaou et al. studied the relationship between bean consumption and a variety of outcomes using a cross-sectional design [16]. The present study and the investigation by Papanikolaou et al. have some similarities and many differences. Papanikolaou et al. focused on bean consumption, primarily baked beans, not legume intake. The earlier study compared bean consumers to non-consumers. Intake was not quantified, and weight change over time was not addressed. Only one dietary recall assessment was used, yet the Papanikolaou investigation found that bean eaters had lower body weights and lower waist circumferences than non-consumers [16].

According to the literature, few studies have examined the influence of legume intake on 10-year weight change in a large sample of adults representing the U.S. population. Moreover, investigations focusing on the relationship between legume intake and abdominal adiposity are scarce. Finally, few, if any, studies have examined the mediating influence of dietary fiber on the association between legume intake and measures of body mass and abdominal obesity. Hence, the present investigation was conducted to reduce some of the potential issues identified in other studies. In particular, a large representative sample of the U.S. population was utilized ($n = 15,185$). Moreover, changes in body mass over 10 years were assessed, and cross-sectional relationships were also examined.

Given previous research, there were three key objectives of this study: (1) evaluate the relationship between legume intake and weight change across the previous 10 years, (2) examine the cross-sectional associations between legume consumption, body mass, and abdominal adiposity, and (3) determine the extent to which the relationship between legume intake and the study outcomes were a result of differences in several covariates, particularly dietary fiber intake.

2. Materials and Methods

2.1. Study Design and Sample

Study participants were chosen randomly to participate in the U.S. National Health and Nutrition Examination Survey (NHANES). Data were collected by NHANES over 8 years, from 2011 to 2018. Participants were assigned specific sample weights by NHANES based on census outcomes. Consequently, results from the present study can be generalized to the non-institutionalized adult population of the U.S.

The Ethics Review Board for the U.S. National Center for Health Statistics (NCHS) approved the data gathering procedures and publishing of the NHANES data online. The files posted online by NHANES contain no confidential information and are free to the public. The protocol codes indicating approval for NHANES data collected from 2011 to 2018 were: Protocol #2011-17 and Protocol #2018-01. Each subject provided written consent to take part in the national survey.

The present study's sample size varied based on if the focus was 10-year weight change or cross-sectional outcomes. A total of 10,137 subjects were part of the 10-year analyses, whereas 15,185 individuals were included in the cross-sectional analyses. The difference was because the 10-year analyses included subjects 36–75 years of age, whereas the cross-sectional part of the study included subjects 18–75 years old. If the 10-year analyses had included subjects as young as 18, then their initial body mass would have been based on their weight at 8 years of age. Therefore, a minimum subject age limit was set at 36 years for the 10-year weight change variable, so only fully grown individuals (those ≥ 26 years old) were included.

Subjects who were underweight (BMI < 18.5) were not included in the sample because of the risk of an eating disorder or severe illness (n = 270). Pregnant women (n = 177) and adults who fasted or who reported extremely low 24-h energy intakes (3 standard deviations or more below the mean: <492 kcal) were also excluded from the analyses (n = 361).

2.2. Instrumentation and Measurement Methods

The association between legume intake and 10-year percent weight change and cross-sectional BMI and abdominal adiposity were evaluated. Age, sex, race/ethnicity, economic status, year of assessment, energy intake, total physical activity, cigarette smoking, alcohol use, and fiber intake were used as covariates, with a special focus on fiber intake.

Diet. Legume consumption, fiber intake, and energy consumption were each indexed using the average of two 24-h dietary recall evaluations. Both dietary recalls collected comprehensive data about all the foods and beverages consumed during the 24-h prior to the interview (midnight to midnight). The first of the two dietary interviews were conducted in person in a private room in the NHANES mobile examination center. The second interview was conducted by telephone 3–10 days after the in-person assessment. The diet interview was based on the “What We Eat in America” partnership between the U.S. Department of Agriculture (USDA) and the U.S. Department of Health and Human Services. A variety of measuring guides, such as glasses, mugs, spoons, bowls, bottles, cups, thickness sticks, a ruler, etc., were available to help subjects accurately report food quantities. After finishing the initial in-person diet assessment, participants were given sample bowls, glasses, etc., and a food model booklet to help them during the upcoming telephone dietary evaluation.

The personnel conducting the diet recalls were multi-lingual. Interviewers were directed by scripts, and the computer-based program provided a standard interview process. The diet evaluations utilized a multi-pass format called the Automated Multiple Pass Method (AMPM), available online [17]. This dietary assessment utilized food probes to maximize recall of all foods and beverages consumed. The United States Department of Agriculture (USDA) National Food and Nutrient Database was used to measure food and nutrient content [18]. It is evaluated and updated regularly and stands as the gold standard within the United States [19].

Results derived from the NHANES 24-h diet recall protocol have been studied comprehensively, and predictive validity has been confirmed by many investigations. Many studies have shown that dietary findings derived from the recalls are predictive of a host of chronic and acute conditions [20–25].

Harvard nutritional epidemiologist, Walter Willett, indicates that a single 24-h dietary recall assessment is likely sufficient if the sample size is large. Additionally, he states that to estimate within-person variability, “it is statistically most efficient to increase the number of individuals in the sample, rather than to increase the number of days beyond 2 days per individual” (page 55) [26]. Taking into account that the current study included a sample of over 15,000 adults, each completing two 24-h dietary recalls, the assessment strategy utilized was suitable to secure quality estimates of dietary consumption.

Legume Intake. Total legume intake was used as the exposure variable. Because legume consumption tends to increase as total energy intake increases, legume intake was indexed as average grams consumed per 1000 kilocalories (kcal) over the two independent days of dietary evaluation. Included were dried beans (nonspecific), canned beans, chickpeas (nonspecific, dried, or canned), beans from fast-food, bean dip, black-eyed peas, kidney beans, white beans, black beans, fava beans, lima beans, navy beans, pink beans, pinto beans, Peruvian beans, soybeans, split peas, wasabi peas, mung beans, baked beans, refried beans, pork and beans, lentil curry, and other legumes.

Legume intake was divided into 3 categories: None, Low, and Moderate/High. The “None” group included adults reporting no legume consumption during the two dietary recall assessments. The Low group comprised adults reporting some legume consumption,

but less than 47 g per 1000 kcal, on average, over the two days of dietary evaluation. The Moderate/High category included men and women who ate 47 g or more of legumes per 1000 kcal, on average, during the two days of dietary assessment. The Low and Moderate/High intake groups included all participants who reported eating legumes, which was about 24% of the sample ($n = 3614$), and these two groups were split precisely in half, so the Low group included 12% of the sample and the Moderate/High category also included 12% of the sample.

Fiber Intake. Dietary fiber was employed as a covariate to determine the extent that the relationship between legume intake and weight-related outcomes was influenced by differences in dietary fiber. Grams of soluble fiber and insoluble fiber were included in the total. Total dietary fiber was expressed as grams consumed per 1000 kcal.

Energy Intake. Total energy (kcal) consumption was based on all foods and beverages consumed during the previous 24 h (midnight to midnight). Energy intake was employed as a reference variable for legume and fiber consumption, as both were reported in grams per 1000 kcal. Participants who significantly underreported their energy consumption were not included in the sample. Specifically, under-reporting was defined as intakes that were 3 or more standard deviations below the mean (<492 kcal).

10-Year Percent Weight Change. A digital scale was used to weigh subjects in kilograms (kg). The scale was checked each day using precise weights. A standard paper gown was worn during the assessment. It included disposable pants, a shirt, and slippers. Under the gown, underwear was worn. To assess 10-year weight change, subjects reported their body weight from 10 years previous. That weight was subtracted from their present weight. Percent weight change was then calculated by dividing the difference between the two weights by the initial weight. For example, if a subject's current weight was 90 kg and initial weight was 80 kg, then the percent weight change was $(90 - 80)/80 = 12.5\%$.

According to a number of high-quality studies, self-reported body weight is closely related to measured weight. In a study by Stunkard et al. [27] using more than 1300 men and women, the two measures were almost perfectly correlated ($r = 0.99, p < 0.01$). Stunkard concluded, "Self-reported weights were remarkably accurate across all these variables in the American sample, even among obese people, and may obviate the need for measured weights in epidemiological investigations" (p. 1593). Similarly, investigations by other researchers studying the relationship between self-reported and measured body weights have resulted in strong correlations ($r = 0.98$) [28].

Studies comparing current body weights to recalled weights of the past have also produced very similar values. For example, when subjects were asked to remember their draft registration weights at age 25 when they were 45–55 years old, on average, the difference was only 2.2% [29]. Likewise, in a large sample, women were required to remember their body weight at age 18 when they were 25–42 years old. The two weights were highly correlated ($r = 0.87, p < 0.01$) and only differed by 1.4 kg on average [30].

In more recent investigations, Kyulo et al. examined the validity of recalled body weight over a 26-year period in more than 2700 older adults (mean age: 70 years). The average difference was 0.67 kg, and the two weights were highly correlated ($r = 0.88, p < 0.0001$) [31]. The authors concluded that the "validity of 26-year recall of body weight during adulthood was very high in an older sample" [31]. Finally, Tamakoshi et al. compared recalled body weights at 25 years of age with measured weights at age 25 in 2453 men aged 34–61 [32]. The recalled weights were strongly correlated with the measured weights ($r = 0.85$), and the difference was 1.3 kg.

Willett showed that measured BMIs and recalled values roughly 55 years earlier were correlated 0.92 ($p < 0.01$) in women and 0.91 ($p < 0.01$) in men. He concluded that "recalled weight from many years earlier appears to be highly valid" (p. 216), and differences between recalled and measured values "have minimal effect on epidemiologic measures of association" (p. 216) [33].

Body Mass Index (BMI). As height increases, body mass tends to increase. BMI is the standard index of body mass adjusted for differences in height. BMI was determined using

the equation: weight in kilograms divided by height in meters squared, kg/m². BMI allows differences in body mass or weight to be compared independently of stature or height. In the present study, height was measured using a wall-mounted stadiometer.

Waist to Height Ratio (WHtR). Similar to body mass, as height increases, waist circumference tends to increase. Therefore, the present study used waist circumference as a measure of abdominal adiposity corrected for differences in height. This is known as the waist-to-height ratio. It is calculated by dividing waist circumference (cm) by height (cm).

Waist circumference is an excellent predictor of differences in abdominal adipose tissue indexed using magnetic resonance imaging, accounting for 91% of the variance [34]. Numerous investigations indicate that the waist-to-height ratio is a better predictor of cardiometabolic health than BMI and typical measures of waist circumference (WC) [35–37]. According to a review and meta-analysis by Ashwell et al., which included over 300,000 individuals from diverse populations, the WHtR is better than BMI and WC at predicting diabetes, dyslipidemia, CVD, hypertension, and all these combined [36].

Waist circumference was assessed by trained technicians. The specialists were graded regularly to ensure the validity and reliability of their measurements. A specially designed room in the mobile examination center was utilized for the measurements. A trained recorder helped with the waist measurements. The superior border of the ilium was used as the reference point for the horizontal placement of the measuring tape. A wall mirror, along with the assistant, was employed to confirm the horizontal placement of the tape. The goal was to place the tape snugly around the waist without compressing the tissue. The waist assessment was taken after a normal exhalation [38].

Smoking. Cigarette smoking was evaluated by asking participants to report the number of cigarettes smoked per day during the past month. Subjects who responded that they did not smoke any cigarettes in the past month were assigned zeros, and smokers were given a maximum of 95 cigarettes per day [39]. Cigarette smoking values were controlled statistically in this investigation.

Physical Activity. Total physical activity was also employed as a covariate. An interview was utilized to assess physical activity. Moderate and vigorous activities were evaluated separately. Activities that caused modest increases in heart rate and breathing speed were defined as moderate. Casual biking and walking were examples of moderate physical activity. On the other hand, significant increases in heart and/or breathing rate were recorded as vigorous physical activity. Jogging, running, or walking up a hill were used as examples of vigorous physical activity.

Specific questions were utilized to ascertain the time spent in moderate and vigorous physical activity, each asked separately. The focus was on “days per week” and “time per day.” Days and minutes were combined using multiplication, resulting in minutes of moderate and minutes of vigorous activity per week. Participants reporting more than 8 h per day of moderate or more than 5 h per day of vigorous activity were given these values, respectively. The moderate and vigorous minutes were added together, producing the total time (minutes) spent in moderate and vigorous physical activity (MVPA) per week.

The NHANES PA questionnaire was based on the World Health Organization (WHO) “Global Physical Activity Questionnaire (GPAQ) [40]”. The U.S. version was adapted for those using the English language. The GPAQ is currently used by over 50 countries to monitor physical activity within their borders. Research shows that the questionnaire results that focus on MVPA are significantly correlated with pedometer counts, Actigraph accelerometer counts, percent body fat, waist circumference, and VO₂ max results. Indexed using the intraclass correlation (ICC), the test-retest reliability of the questionnaire across 10-days was 0.96 for moderate recreational physical activity and 0.90 for vigorous recreational physical activity [41].

Alcohol Use. A total of 3 categories were used to differentiate among alcohol users: Abstainers, Moderate drinkers, and Heavy drinkers. To be classified as an Abstainer, participants had to respond that they consumed no alcohol in the past year. For men, Moderate drinkers reported drinking more than 0 and less than 3 alcoholic beverages per

day during the past 12 months. For women, Moderate drinkers reported drinking more than 0 but less than 2 drinks per day over the past year. For men, Heavy drinkers reported drinking 3 or more alcoholic drinks per day over the past year, whereas, for women, Heavy drinkers reported drinking 2 or more alcoholic beverages per day over the past year.

Economic Status. Another covariate was economic status. It was assessed indirectly using a question about housing, which placed subjects into one of 3 categories. Specifically, participants were asked if they were renting or buying their dwelling or other.

Race/Ethnicity. To control for differences in race/ethnicity, six categories established by NHANES were utilized: Mexican American, Non-Hispanic Black, Non-Hispanic White, Non-Hispanic Asian, Other Hispanic, or Other Race/Multi-Racial.

2.3. Data Analysis

Findings can be generalized to the U.S. adult population because NHANES employed a multi-level, random sampling strategy to secure participants. To achieve this, each statistical model incorporated clusters, strata, and individual sample weights.

Given the very large sample associated with the current investigation, statistical power would be projected to be exceptionally high. Nevertheless, because of the unique multi-stage random sampling approach used by NHANES, the degrees of freedom (df) were established by subtracting the number of strata (59) from the number of clusters (121), resulting in 62 df instead of more than 10,000 df in the denominator.

There were 3 outcome measures (10-year percent weight change, BMI, and WHtR) and 1 exposure variable (grams of legume intake per 1000 kcal). Adjustments were made for differences in 5 demographic covariates (age, sex, race/ethnicity, year of assessment, and economic status) and 5 lifestyle covariates (physical activity, cigarette smoking, alcohol use, energy intake, and fiber intake per 1000 kcal) to minimize their effect on the associations between legume intake and the outcome variables. Special attention was focused on the effect of controlling for differences in fiber intake on the relationships of interest.

The associations between legume intake expressed as a categorical variable with 3 levels (None, Low, and Moderate/High) and the 3 outcome measures, each a continuous variable, were evaluated using analysis of variance (ANOVA) and multiple regression. The SAS SurveyReg procedure was used to evaluate mean differences across the legume categories, taking into account the NHANES sampling weights. The covariates were controlled statistically using partial correlation, and adjusted means were compared across the 3 legume intake categories. The Variance Inflation Factor (VIF) was evaluated because of the possible issue of multicollinearity with fiber consumption and legume intake. VIF was 1.9 or lower, so multicollinearity was not a threat. SAS version 9.4 (SAS Institute, Inc., Cary, NC, USA) was used to analyze the data. Two-sided statistical tests were employed, and *p*-values were set at <0.05 to operationalize statistical significance.

3. Results

A total of 10,137 participants were included in the 10-year weight change calculations, and 15,185 were integrated into the cross-sectional analyses. The median age of the U.S. sample was approximately 45 years, and about 50% of the representative sample reported that they engaged in moderate or vigorous physical activity for about 1 h and 13 min or more of per week. Percentiles for each of the principal continuous variables are shown below in Table 1.

Table 1 indicates that U.S. adults gain significant amounts of weight over time. About 50% of U.S. adults gained more than 5% in body weight during the previous decade, whereas one in four adults gained almost 15% during the previous 10 years, and 10% gained more than 25% in weight. Additionally, fiber intake was low. As displayed in Table 1, the median U.S. adult intake was 7.8 g of fiber per 1000 kcal, and the 90th percentile was only 13.5 g per 1000 kcal per day.

Table 1. Percentile distributions of the key continuous variables representing U.S. adults (n = 15,185).

Variable	Percentile				
	10th	25th	50th	75th	90th
Age (years)	22.5	30.6	44.7	57.3	66.0
10-year weight change (%)	−10.6	−2.9	5.5	14.6	25.9
Waist to Height Ratio	0.47	0.52	0.58	0.65	0.73
Body Mass Index (kg/m ²)	21.8	24.3	28.1	33.0	38.4
Energy intake (kcal)	1244	1574	2009	2553	3154
Fiber intake (g/1000 kcal)	4.4	5.8	7.8	10.4	13.5
Physical Activity (MVPA: min/week)	0	0	73	239	479
Cigarettes (per month)	0	0	0	0	290

Note. Table values include person-level weighted adjustments based on the sampling methods of NHANES, so values represent those of the U.S. adult population.

Table 2 illustrates that most U.S. adults eat few if any, legumes. Over the two days of dietary evaluation conducted by NHANES, intake was 0 g per 1000 kcal for about 76% of the U.S. population. Table 2 also indicates that almost half of U.S. adults reported that they abstain from drinking alcohol, and 48% reported that they own or are buying their dwelling place. Furthermore, by design, about 25% of adults participating in the NHANES survey were selected from each two-year data collection cycle between 2011 and 2018, and the present sample included a wide range of racial/ethnic groups, with nearly equal representation from women and men.

Table 2. Characteristics of the sample based on weighted values for each column for the categorical variables (n = 15,185).

Categorical Variable	N	%	SE
Sex			
Women	7608	50.1	0.56
Men	7577	49.9	0.56
Race/Ethnicity			
Mexican American	1473	9.7	0.98
Other Hispanic	957	6.3	0.60
Non-Hispanic White	9657	63.6	1.75
Non-Hispanic Black	1716	11.3	0.98
Non-Hispanic Asian	835	5.5	0.48
Other Race/Multiracial	547	3.6	0.28
Year of Assessment			
2011–2012	3720	24.5	1.28
2013–2014	3766	24.8	1.34
2015–2016	3796	25.0	1.18
2017–2018	3903	25.7	1.03
Economic Status (housing)			
Renting	3584	23.6	0.99
Buying	7289	48.0	1.54
Other	4312	28.4	1.08
Alcohol Use			
Abstainer	7046	46.4	1.12
Moderate Drinker	3872	25.5	0.92
Heavy Drinker	4267	28.1	0.65
Legume Intake			
None	11,571	76.2	0.66
Low	1807	11.9	0.43
Moderate/High	1807	11.9	0.52

Note: SE refers to the standard error of the percentage. The N and % columns refer to the number of subjects and the percentage of participants after the NHANES sample weights were applied. Weighted values are more meaningful than unweighted values because they can be generalized to the U.S. adult population.

As shown in Table 3, legume intake was a strong predictor of 10-year weight change. There was a consistent inverse dose-response relationship. As legume intake (grams per 1000 kcal) increased, the 10-year weight gain decreased. Adults with moderate to high intakes gained substantially less weight over the past decade than those reporting no legume intake. They also gained significantly less than adults reporting low consumption of legumes. Specifically, adults reporting no legume intake gained 10.5% of their initial body weight over the previous 10 years, whereas moderate/high consumers gained 8.5%. Percent weight gain was 23.5% greater in the non-consumers compared to the moderate/high consumers.

Adjusting for differences in the demographic covariates, age, sex, race, year of assessment, and economic status, as well as the lifestyle covariates, physical activity, smoking, alcohol use, and energy intake, had little effect on the findings. However, when dietary fiber intake (grams per 1000 kcal) was controlled with the other covariates, the association between legume consumption and 10-year percent weight change was nullified.

The cross-sectional analyses revealed similar findings to the 10-year results. With BMI as the outcome variable, there was an inverse dose-response relationship. As legume intake increased, BMI levels decreased. Specifically, women and men reporting no legume consumption had significantly higher BMI levels than their counterparts. Controlling for the lifestyle covariates along with the demographic factors had little influence on the mean differences. However, when adjustments were made for fiber intake and other covariates, BMI differences across the groups were no longer statistically significant.

Table 3. Mean differences in 10-year percent weight change, BMI, and the waist-to-height ratio, given 62 degrees of freedom, across categories of legume intake, after adjusting for the covariates.

Outcome Variable	Legume Intake (grams/1000 kcal)							
	None		Low		Moderate/High		F	p
	Mean	95% CI	Mean	95% CI	Mean	95% CI		
10-yr Percent Weight Change	n = 7673		n = 1231		n = 1233			
Model 1	10.5 ^a	9.6–11.4	9.9 ^a	8.3–11.5	8.5 ^b	7.5–9.6	6.7	0.0023
Model 2	10.5 ^a	9.6–11.3	9.7 ^a	8.2–11.3	8.5 ^b	7.4–9.5	6.5	0.0028
Model 3	10.3	9.4–11.1	9.9	8.4–11.5	9.3	8.3–10.3	1.9	0.1626
Body Mass Index	n = 11,571		n = 1807		n = 1807			
Model 1	29.7 ^a	29.4–29.9	29.1 ^b	28.6–29.7	28.9 ^b	28.5–29.4	7.2	0.0015
Model 2	29.5 ^a	29.3–29.8	29.0 ^b	28.4–29.5	28.8 ^b	28.4–29.3	8.0	0.0008
Model 3	29.5	29.2–29.7	29.1	28.6–29.7	29.3	28.9–29.8	0.8	0.4399
Waist to Height Ratio	n = 11,571		n = 1807		n = 1807			
Model 1	0.601 ^a	0.596–0.605	0.590 ^b	0.582–0.598	0.589 ^b	0.582–0.595	9.7	0.0002
Model 2	0.598 ^a	0.584–0.612	0.589 ^b	0.573–0.604	0.587 ^b	0.572–0.602	9.4	0.0003
Model 3	0.599	0.592–0.606	0.593	0.584–0.603	0.598	0.588–0.607	1.2	0.3221

Means on the same row with the same superscript letter are not significantly different. Means have been adjusted based on the covariates in the model. Model 1 included age, sex, race, year of assessment, and economic status as covariates. Model 2 included the covariates of Model 1 and also minutes of moderate to vigorous physical activity, number of cigarettes smoked per month, total energy intake, and alcohol use. Model 3 included the same covariates as Model 2 and also fiber intake per 1000 kcal. For the 10-yr weight change variable (Model 3) the mean difference between Low and Moderate/High intake was borderline significant ($p > 0.05, p < 0.10$).

With the waist-to-height ratio employed as the outcome variable, again, the association was inverse and dose-response. U.S. men and women who consumed legumes had less abdominal adiposity compared to those reporting no legume intake. Moreover, as legume consumption increased, the waist-to-height ratio tended to decrease. Controlling for the covariates had almost no influence on the mean differences. However, like the other associations, adjusting for differences in dietary fiber intake eliminated the relationship between legume consumption and abdominal adiposity.

Not shown in the table, legume intake was significantly related to fiber consumption. Specifically, with all the covariates controlled, mean fiber intake differed across the three legume categories ($F = 174.1, p < 0.0001$). Subjects reporting no legume intake averaged (\pm SE) 8.1 ± 0.1 g per 1000 kcal, whereas those with Low intakes averaged 9.6 ± 0.2 g per 1000 kcal, and U.S. adults with Moderate/High legume intakes averaged 11.5 ± 0.2 g of fiber per 1000 kcal. The dietary fiber difference between the 1st and 3rd categories of legume intake (11.5 vs. 8.1) was approximately 42%.

4. Discussion

The fundamental objective of the present study was to measure the relationship between legume intake and 10-year percent weight change in a large sample of randomly selected U.S. women and men. Additionally, identifying the cross-sectional associations between legume consumption and BMI and also abdominal adiposity were important aims. Finally, another key purpose was to determine the extent to which various covariates, particularly fiber intake, influenced these associations.

This study had four findings worthy of highlighting. (1) Ten-year percent weight change differed significantly and in a dose-response pattern across the three legume intake categories. (2) Cross-sectionally, mean levels of BMI and abdominal adiposity also differed significantly and in a dose-response manner across the categories of legume consumption. (3) Adjusting for nine demographic and lifestyle covariates had little effect on the legume relationships. (4) Controlling for differences in dietary fiber intake with the other covariates negated the associations between legume intake and the weight-related outcomes for the 10-year results and the cross-sectional findings.

The consistency of the key findings was notable. Whether the focus was on 10-year changes in weight or cross-sectional findings associated with BMI and abdominal adiposity, the results were similar: legume intake was a good predictor of the outcomes. Additionally, in each case, controlling for differences in fiber consumption nullified the relationships.

The average 10-year weight gain in this U.S. representative sample was high, but it was significantly higher in adults who did not consume legumes compared to their counterparts. For example, 10-year percent weight gain for adults reporting no legume intake was 10.5% (see Table 3) or about 9.45 kg (20.8 lbs) for a 90 kg (198 lb) person. On the other hand, participants reporting Moderate/High legume consumption gained 8.5% or 7.65 kg (16.8 lb) for a 90 kg (198 lb) person. Over 10 years, the difference was meaningful. Across several decades, the weight gain difference could be substantial.

Literature reviews indicate that the vast majority of randomized controlled trials have not found that regularly eating legumes results in weight loss [2,14]. Part of the problem could be that many legume intervention studies have employed small sample sizes. For example, researchers have conducted experiments focusing on legume intake and weight loss using 18 men [42], 20 men [43], 19 adults [44], and 21 men [45]. Unless within-group variation is minimal, more participants are usually required to generate enough statistical power to detect the mean differences between groups when small samples are employed.

Another issue that might partly explain the lack of significant treatment effects could be the duration of legume interventions. Weight loss is not easy for most adults. It takes time and practice. When legume-based interventions last only 3 weeks [43,46], or 4 weeks [47,48], or 5 weeks [49], weight loss differences between treatment and control groups are difficult to generate, especially when energy intake is designed to be iso-caloric, which many legume investigations have used [14].

Although randomized controlled trials are considered the gold standard by many, they have a few significant drawbacks. Treatments are often limited in duration and magnitude, so compliance does not suffer. Participants are usually unwilling to follow a special diet for long periods. Moreover, some subjects may not appreciate or tolerate eating large quantities of legumes. Many legume-focused randomized controlled trials have required participants to eat 250–275 g or more of legumes daily [43,45,50,51], so dietary compliance could be an issue. On the other hand, the present investigation did not require subjects

to eat a particular diet. Instead, the usual diets of participants were assessed. Therefore, conformity to dietary treatment requests was not an issue, and reported intakes in the present investigation might better reflect long-term dietary patterns than those achieved with intervention studies. Other observational investigations have also found this to be the case [16].

Why would legume intake be a good predictor of 10-year weight change? What factors might account for the differences in BMI and waist-to-height ratio? There are multiple possible mechanisms. For example, legumes contain a significant amount of plant protein. As a result, legumes have a dual classification. They are officially a vegetable and also a protein food according to the U.S. Dietary Guidelines for Americans, 2020–2025 [52]. No other food group has this characteristic. Several investigations have determined that plant protein consumption reduces the risk of obesity [53,54].

Moreover, legumes tend to be low in fat. Dietary fat is energy dense, providing more than twice the energy per gram than carbohydrate and protein. In other words, gram for gram, legumes tend to be low in calories. Numerous investigations indicate that regular intake of low-fat foods decreases the risk of weight gain [55–58].

Additionally, legumes have a favorable impact on the gut microbiome [59,60]. A healthy digestive environment has been shown to diminish the development of several diseases, including obesity [61]. Regular intake of legumes tends to improve the gut microbiome, which could assist with maintaining a healthy weight.

Overall, legumes are a low glycemic index food [62]. That means that blood glucose concentrations are not raised by eating legumes compared to most carbohydrates. Legumes are among the foods that are encouraged to help manage glucose concentrations [13]. A number of investigations have shown that dietary patterns that focus on low-glycemic foods, such as legumes, reduce the risk of obesity and abdominal adiposity [63,64].

Further, some foods satiate better than others. Consumption of legumes tends to appease hunger and encourage cessation of eating. Multiple studies have found that eating legumes leads to consuming less energy than other foods [65]. A meta-analysis of nine experiments showed that legume intake improved short-term satiety by over 30% when matched with other dietary choices [66].

Last, and perhaps of most importance, legumes are an excellent source of dietary fiber [67]. The present investigation targeted dietary fiber intake to determine its effect on the predictive utility of legumes. According to the USDA, legumes provide roughly 12–16 g of fiber per cup [12]. In contrast, a cup of cooked white rice contains less than 1 g of dietary fiber [12]. The high fiber content of legumes could be the driving factor accounting for the strong relationships found in this study. Dietary fiber is essentially calorie-free, but it helps to satiate. Moreover, soluble fiber can bind with fats and sugars and reduce their absorption and use in the body.

Adjusting for differences in dietary fiber intake not only caused the significant weight-related differences between the legume intake groups to be nullified, but it also had other meaningful statistical effects. First is the F-ratio, which reflects the magnitude of the between-group differences (variance) over the within-group variance (error). For the models focusing on 10-yr percent weight change, Model 2 (which included all the covariates except fiber intake) showed an F-ratio of 6.5. When fiber intake was added to Model 2 (thus becoming Model 3), the F-ratio decreased to 1.9, a decrease of more than 3-fold. For the Body Mass Index, the decrease in the F-ratio was 10-fold, another large and meaningful decrease. For the waist-to-height ratio, the decrease was almost 8-fold. Overall, controlling for differences in fiber intake led to substantial changes in the magnitude of the ratio of the between-group variance over the within-group variance.

For the 10-yr percent weight change variable, after adjusting for all the covariates (except fiber intake), the mean difference between the two extreme legume intake groups (None vs. Moderate/High) was 2 percentage points of body weight. That is a meaningful difference in weight gain, especially when considering the size of the samples and that the weight gain difference was associated with just one variable: legume consumption.

Also meaningful is the fact that the weight gain difference between the two legume intake groups (None vs. Moderate/High) was decreased by 50% when fiber intake was added to the variables controlled in the model. Similarly, when focusing on the waist-to-height ratio variable, the weight gain difference between the two legume intake groups was decreased by over 90% when fiber intake was added to the other covariates. It appears that many of the differences in weight change, BMI, and abdominal adiposity between the legume intake groups were a function of differences in fiber intake.

Studies consistently indicate that a high-fiber diet decreases the risk of overweight and obesity and promotes a leaner body [68–70]. In the current investigation, subjects in the Moderate/High legume intake category consumed 11.2 g of fiber per 1000 kcal per day. Those in the middle group averaged 9.6 g, and adults reporting no legume intake ate 8.1 g of fiber per 1000 kcal per day ($F = 174.1, p < 0.0001$). Clearly, legume intake goes hand-in-hand with fiber intake.

Findings indicated that fiber consumption in the U.S. adult population is far below the levels recommended in the Dietary Guidelines for Americans (2020–2025) [52]. Specifically, results (Table 1) showed that the median U.S. adult intake is about 7.8 g of fiber per 1000 kcal. The latest Guidelines recommend that adults eat 14 g or more per 1000 kcal. Therefore, most U.S. adults are consuming at least 79% less dietary fiber than they should be. This could be one reason weight gain and obesity are serious issues within the U.S.

There were several limitations connected with this investigation. It was an observational study. There was no treatment or intervention. Hence, causation cannot be inferred. Regular consumption of legumes could result in less weight gain over time and lower levels of BMI and abdominal fat. However, other factors besides legume consumption could account for the differences discovered in this investigation. Similarly, eating legumes regularly might be an indicator of other behaviors or conditions that influence weight gain. Ten covariates were controlled in this study. There are always others that could account for some of the relationships identified in this study. Additionally, legume consumption was assessed using two 24-h dietary recalls. More recall assessments would have been better and would have reduced the misclassification of subjects within the legume intake categories. Moreover, weight change in the present investigation was partly based on weight recall. Although many investigations have found weight recall to be accurate and valid, the recall includes more errors than the direct measurement of body weight. Overall, reduced measurement error from the two dietary assessments and the weight recall evaluations would have likely increased the magnitude of the weight-related differences between the legume intake groups because error variance does not predict well.

The current investigation also had many merits. There were more than 15,000 participants. Individuals were chosen randomly, so the findings apply to the non-institutionalized U.S. adult population. Six racial/ethnic groups were sampled, and women and men 18–75 years old were included, so participants represented a broad spectrum of the United States. Legume intake was measured using the average of two, not just one, 24-h dietary recall assessments. The technicians and specialists who worked directly with the participants were well-trained. They were evaluated regularly and recertified systematically to ensure that high-quality measurement methods were practiced.

5. Conclusions

The scientific literature indicates that legumes are a special food. They have an array of characteristics that make them nourishing and healthful. Legumes are full of nutrients, but they are not calorically dense. Despite their many qualities, they are not eaten regularly by Americans. The present study found that U.S. adults who include legumes in their diets have significantly less 10-year weight gain than their counterparts and lower BMIs and leaner waists. Overall, it appears that legumes have dietary attributes associated with successful weight control in U.S. adults.

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Institutional Review Board Statement: The study was conducted according to the guidelines of the Declaration of Helsinki, and The Ethics Review Board (ERB) of the National Center for Health Statistics, approved the NHANES data collection protocol. The ethical approval codes for NHANES data collection from 2011–2018 were: Protocol #2011–17 and Protocol #2018-01. Each subject was required to give written consent to take part in the national survey.

Informed Consent Statement: Written informed consent was obtained from all subjects involved in the study.

Data Availability Statement: All data sets associated with the results of the present study can be found online as part of the National Health and Nutrition Examination Survey (NHANES). There is no charge for the data sets. They can be downloaded at the following website: <https://wwwn.cdc.gov/nchs/nhanes/Default.aspx> (date accessed: 5 January 2023).

Conflicts of Interest: The author declares no conflict of interest.

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