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# Chloroplast

## Edited by Bartolomé Sabater

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Chloroplast

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Special Issue Editor Bartolomé Sabater

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# About the Special Issue Editor

**Bartolomé Sabater** has served as Chair of Plant Physiology at the University of Alcalá, Spain, for the past 35 years. He is a Member of the American Society of Plant Biologists and the Japanese Society of Plant Physiologists, and has published almost 100 original research papers and more than 20 book chapters and reviews articles, in addition to having authored a textbook with twelve editions.





# **Evolution and Function of the Chloroplast. Current Investigations and Perspectives**

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Chloroplasts are the place for the major conversion of the sun's radiation energy to chemical energy that is usable by organisms. Accordingly, they account for about 50% of the leaf protein [1], and the enzyme ribulose-1,5-bisphosphate carboxylase of chloroplast is by far the most abundant protein on the Earth [2]. Chloroplasts are not only key in photosynthesis, they are the place of the synthesis of fatty acids in plants and biosynthesis of amino acids, porphyrins, isoprenoids, and secondary metabolites that, sometimes, duplicate parallel biosynthetic pathways in cytosol. Only a small fraction of the involved enzymatic machinery is encoded in the chloroplast DNA. Nuclear DNA encodes most of the chloroplast proteins which, after being synthesised as precursors on cytosol ribosomes, are incorporated into the appropriate chloroplast substructures. Chloroplast evolutionarily derives from a primitive cyanobacteria that was engulfed by non-photosynthetic cells and, progressively, after losing most of its DNA, became the actual chloroplast that retains only a fraction of the original enzyme machinery is now encoded in the cell nuclear DNA that controls the plastid divisions, among others [3].

The structure and dynamics of the chloroplast photosynthesis machinery is a central focus of molecular investigations on photosynthetic productivity and leaf senescence. Functional coordination among chloroplasts, cytosol, nucleus, and other subcellular compartments inspire many active research topics of chloroplast molecular biology. The transition from the engulfed autonomous cyanobacteria to a non-autonomous endosymbiont chloroplast requires new coordination signals, specific for the different plant cells, which have been actively investigated. In fact, the leaf's green chloroplasts are members of the plastid organelles present in all plant cells. All plastids share the same DNA and a few structural features and functions (as the synthesis of fatty acids) and derive from the proplastids present in meristematic cells. The biogenesis of the different plastids, their interconversion and the molecular bases of the functions of some plastids (amiloplasts, chromoplasts, etc.) have also been actively investigated at the molecular level. Obviously, only a few research fields may be reflected in this issue which should be the seed for future issues of a broader scope.

Although most of the original cyanobacterial genes have been lost early in the transition to the endosymbiotic chloroplast, a few of them, like the *ndh* genes [4], have been lost later, in some plant lines, endowing characteristic DNA features to specific plant orders, families, genus, or species.

Therefore, the comparison of the sequences of the chloroplast DNA of different plants, provides valuable information on gene content, reordering in the circular chloroplast DNA and mutational genetic-derive, relevant to the evolution of chloroplast and its relations with ancient environments. Increasing facilities for intense genome sequencing have prompted many laboratories to focus on the chloroplast DNA. Reflecting these efforts, more than half of the articles on this issue deal with the functional or evolutionary investigations, based on sequence analyses of chloroplast DNA. Mainly focussed on phylogenetic comparisons of medicinal plant families, articles on *Aristolochia* [5], *Forsythia* [6], *Eucomnia* [7], and the *Stemonaceae* family [8] report and analyse the complete sequences of the corresponding chloroplast DNA. Similar approaches on other economically important plants have been reported in articles on genera *Catha* [9], *Primula* [10], *Caragana* [11], *Genciana* [12], and *Quercus* [13],

and on the families of *Balsaminaceae* [14], *Meliaceae* [15], *Orchidaceae* [16], and *Fabaceae* [17]. The chloroplast DNA sequence and the analysis of the species of plant branches, that are still poorly investigated have been reported in articles on the Antarctic Bryophyte Sanionia uncinate [18], on *Ailanthus altissima* [19], and on genus *Urophysa* [20].

Adding to the sequences reported elsewhere, the chloroplast DNA sequences described in this issue provide a background for precise bar codes that are useful in plant trade and medicinal applications. In addition, chloroplast DNA sequences are excellent tools for taxonomy and phylogeny. Thus, detailed comparison of the chloroplast DNA sequences of the species of *Arabis* [21] led the authors to propose recent events of the interspecific chloroplast capture and hybridization. Similarly, the comparison of the chloroplast DNA sequences of species of the genus *Dendrobium* led authors to identify the mutational biases affecting the GC (guanine + cytosine) content [22]. Not closely related to the chloroplast title of the issue, but potentially useful for rapid comparison of sequences, is the brief article describing a genome-skimming approach in the chloroplast DNA [23].

Pointing to selective pressures reflected in the chloroplast DNA sequence, the comparison of chloroplast DNAs of *Quercus* and some related species led the authors to propose the intriguing possibility that the specific sequences of the *atpF* gene, encoding a subunit of the thylakoid ATP synthase, show significant positive selection for evergreen sclerophyllous oak species, when compared to the deciduous oak species [24].

Chloroplast gene expression is under a complex post-transcriptional control involving C (cytosine) to U (uracil) editing, intron and polycistron splicing and ribosomal activity [25,26]. Transcriptome analysis of the chloroplast genome is reported by Wu et al. Accordingly, the profile of the chloroplast mRNAs is used to identify genes responsible for wheat yellow leaf colour [27]. Approaching, specifically, the question of the post-transcriptional control of gene expression in chloroplasts, the article by Legen and Schmitz-Linneweber reports that a fraction of the chloroplast mRNAs, associated with ribosomes, are attached to the chloroplast as a direct consequence of translation. Plastid mRNA distribution is stable for different plastid types, enabling rapid chloroplast translation in any plastid type [28].

As pointed above, most chloroplast proteins are encoded in the nucleus. The importation of the nuclear-encoded proteins into chloroplasts is a complex process requiring, among others, the recognition of specific sequences in the amino-ends of the precursor proteins that direct them to the appropriate chloroplast substructure. The amino-end sequence of the amino acids required for chloroplast processing has been investigated for the pre-thylakoid rhodanase-like protein (TROL) and has been reported in this issue. Some researchers have combined the site-directed mutagenesis and *in vitro* reconstructed translation and incorporation systems, in an attempt to clarify the dual localization determinants of this protein [29].

Exposed to the changing environmental conditions, functions in chloroplasts are preserved by adapting their metabolism and molecular structures. The article by Shao et al. [30] reports how nitric oxide (NO) protects the photosynthetic apparatus, against water deficit, by affecting the phosphorylation of diverse proteins, among them some are from the primary reaction centre. In their review article, Wang et al. have revised the actual knowledge on the metabolic adaptation of chloroplasts under heat stress [31]. The turnover of protein is a key to the adaptation of chloroplasts to changing conditions. The influence of the extra-plastidic processes on the turnover of chloroplast proteins is analysed in a review article by Izumi and Nakamura [32].

Present knowledge of the mechanisms of the chloroplast division is updated in two review articles [33,34]. The review by Yoshida dealt with the structure and function of supramolecular machinery involved in the plastid division and its resemblance with the mitochondrial division machinery [33]. The review by Irieda and Shiomi updated the knowledge of the mechanism of chloroplast division, as deduced from the heterologous expression systems [34].

Suetsugu and Wada described, in a brief report, the molecular mechanisms controlling the movement of chloroplasts [35]. They explain how two coiled-coil proteins suppress the chloroplast accumulation response mediated by two phototropin-interacting proteins.

The abundance of chloroplast DNA sequence articles in the issue reflects the predominant research projects in the last few years. Certainly, a refinement of the taxonomy and phylogeny investigations requires high numbers of chloroplast DNA sequences to observe the evolutionary trends. Wide analyses of the DNA sequences reported, surely, mark the starting references for further comparisons which could be useful in phylogeny and trade-vegetable characterisation.

Chloroplast DNA articles do not shadow the variety of chloroplast molecular projects, as shown by the other articles on the issue. New technologies have far surpassed the methods and objectives collected in the pioneering methods book, thirty-five years ago [36].

In the coming months, the *IJMS* (*International Journal of Molecular Science*) will certainly include a number of articles dealing with chloroplasts. Many of the articles in this issue have resulted from collaborations of different laboratories, frequently of different countries, which indicates the universality of the field and the necessity of cooperation among specialised research groups, to attack the complex understanding of chloroplasts, at the molecular level. The key role of chloroplasts in the conversion of radiant energy suggests that basic advances in the field would improve plant productivity and environmental protection.

Conflicts of Interest: The author declares no conflict of interest.

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## Article Molecular Structure and Phylogenetic Analyses of Complete Chloroplast Genomes of Two Aristolochia Medicinal Species

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**Abstract:** The family Aristolochiaceae, comprising about 600 species of eight genera, is a unique plant family containing aristolochic acids (AAs). The complete chloroplast genome sequences of *Aristolochia debilis* and *Aristolochia contorta* are reported here. The results show that the complete chloroplast genomes of *A. debilis* and *A. contorta* comprise circular 159,793 and 160,576 bp-long molecules, respectively and have typical quadripartite structures. The GC contents of both species were 38.3% each. A total of 131 genes were identified in each genome including 85 protein-coding genes, 37 tRNA genes, eight rRNA genes and one pseudogene (*ycf1*). The simple-sequence repeat sequences mainly comprise A/T mononucletide repeats. Phylogenetic analyses using maximum parsimony (MP) revealed that *A. debilis* and *A. contorta* had a close phylogenetic relationship with species of the family Piperaceae, as well as Laurales and Magnoliales. The data obtained in this study will be beneficial for further investigations on *A. debilis* and *A. contorta* from the aspect of evolution, and chloroplast genetic engineering.

Keywords: Aristolochia debilis; Aristolochia contorta; chloroplast genome; molecular structure; phylogenetic analyses

#### 1. Introduction

The traditional Chinese medicine plants, *Aristolochia debilis* and *Aristolochia contorta*, are herbaceous climbers in the family Aristolochiaceae. *Aristolochiae fructus* originates from the mellow fruit of the two species, while *Aristolochiae herba* originates from their dried aerial parts. *Aristolochiae fructus* and *Aristolochiae herba* have been recorded as traditional herbal medicines which can clear lung-heat to stop coughing and activate meridians to stop pain, respectively [1]. Modern pharmacology studies have shown that the primary chemical constituents of the two species are aristolochic acid analogues including aristolochic acids (AAs) and aristolactams (ALs) [2,3]. AAs and ALs have been found among species from the family Aristolochiaceae [4]. Previous researches have revealed that AAs are able to react with DNA to form covalent dA-aristolactam (dA-AL) and dG-aristolactam (dG-AL) adducts [5,6]. With further research, current evidence from studies of AAs has demonstrated that AAs can cause nephrotoxicity, carcinogenicity, and mutagenicity [7–10], especially after prolonged low-dose

or shortdated high-dose intake [11,12]. Some nephropathy and malignant tumours including renal interstitial fibrosis, Balkan endemic nephropathy, and upper tract urothelial carcinomas are caused by AAs [13–15]. Currently, there are different degrees of restrictions on the sale and use of AAs-containing herbal preparations in many countries.

Chloroplasts are key and semi-autonomous organelles for photosynthesis and biosynthesis in plant cells [16–18]. The chloroplast genome, one of three major genetic systems (the other two are nuclear and mitochondrial genomes), is a circular molecule with a typical quadripartite structure of 115 to 165 kb in length [19,20]. All chloroplast genomes of land plants, apart from several rare exceptions, are highly conserved in terms of size, structure, gene content, and gene [21–23]. Due to its self-replication mechanism and relatively independent evolution, the genetic information from the chloroplast genome has been used in studies of molecular markers, barcoding identification, plant evolution and phylogenetic [24–26]. In 1976, Bedbrook and Bogorad produced the first chloroplast physical mapping of *Zea mays* by digestion with multiple restriction enzymes [27]. Subsequently, the first complete chloroplast genome sequence of *Nicotiana tabacum* was determined [28]. With the development of sequencing technology and bioinformatics, research into the chloroplast genome has increased rapidly. By now, the number of chloroplast genome sequence recorded in the National Center for Biotechnology Information (NCBI) has reached more than 1,500 plant species [29].

About eight genera and 600 species are classified within Aristolochiaceae, and are primarily distributed in tropical and subtropical regions. Of these plants, there are four genera (one endemic) and 86 species (69 endemic) distributed widely in China. The genus *Aristolochia L.*, comprising about 400 species (45 species in China), is the largest and most representative genus of Aristolochiaceae [30]. However, there are no reports on the chloroplast genomes of the family Aristolochiaceae at present, and this has hindered our understanding and progress in the research of the evolution, phylogeny, species identification, and genetic engineering of Aristolochiaceae.

In this study, we determined the complete chloroplast genome sequences of *A. debilis* and *A. contorta*, which are the first two sequenced members of the family Aristolochiaceae. Furthermore, to reveal the phylogenetic positions of the two species, we conducted a phylogenetic tree using the maximum parsimony (MP) method based on common protein-coding genes from 37 species. Overall, the results provide basic genetic information on the chloroplast of *A. debilis* and *A. contorta*, and the role of the two species in plant systematics.

#### 2. Results and Discussion

#### 2.1. The Chloroplast Genome Structures of A. debilis and A. contorta

Both species displayed a typical quadripartite structure, and the corresponding regions were of similar lengths. The complete chloroplast genome of *A. debilis* is a circular molecule of 159,793 bp in length comprising a large single-copy (LSC) region of 89,609 bp and a small single-copy (SSC) region of 19,834 bp separated by a pair of inverted repeats (IRs), each 25,175 bp in length (Figure 1, Table 1). The complete chloroplast genome of *A. contorta* is 160,576 bp in length, which is divided into one LSC (89,781 bp), one SSC (19,877 bp) and two IRs, each 25,459 bp in length (Figure 2, Table 1).

Species	Regions	Positions	T(U) (%)	C (%)	A (%)	G (%)	Length (bp)
	LSC	-	32.2	18.7	31.2	17.9	89,609
	SSC	-	34.0	17.4	33.2	15.5	19,834
A. debilis	IRa	-	28.4	22.4	28.3	21.0	25,175
	IRb	-	28.3	21.0	28.4	22.4	25,175
	Total	-	31.2	19.5	30.5	18.8	159,793
	CDS	-	30.9	18.1	30.2	20.8	78,717
	-	1st position	23.5	18.8	30.5	27.2	26,239
	-	2nd position	32.2	20.5	29.2	18.1	26,239
	-	3rd position	36.9	15.1	31.1	17.0	26,239

Species	Regions	Positions	T(U) (%)	C (%)	A (%)	G (%)	Length (bp)
	LSC	-	32.2	18.7	31.2	17.8	89,781
	SSC	-	33.9	17.4	33.3	15.4	19,877
A. contorta	IRa	-	28.4	22.4	28.2	21.0	25,459
	IRb	-	28.2	21.0	28.4	22.4	25,459
	Total	-	31.2	19.5	30.6	18.8	160,576
	CDS	-	30.9	18.1	30.3	20.7	78,765
	-	1st position	23.5	18.8	30.5	27.2	26,255
	-	2nd position	32.2	20.6	29.2	18.1	26,255
	-	3rd position	37.0	15.0	31.1	16.9	26,255

Table 1. Cont.

\* CDS: protein-coding regions.



**Figure 1.** Gene map of the complete chloroplast genome of *A. debilis*. Genes on the inside of the circle are transcribed clockwise, while those outside are transcribed counter clockwise. The darker gray in the inner circle corresponds to GC content, whereas the lighter gray corresponds to AT content.



**Figure 2.** Gene map of the complete chloroplast genome of *A. contorta*. Genes on the inside of the circle are transcribed clockwise, while those outside are transcribed counter clockwise. The darker gray in the inner circle corresponds to GC content, whereas the lighter gray corresponds to AT content.

The analysis results revealed that both species had a GC content of 38.3%. However, this was unevenly distributed across the whole chloroplast genome. In both species, the GC content exhibited the highest values of the IR regions across the complete chloroplast genome, 43.4% both in *A. debilis* and *A. contorta*. The high GC content in the IR regions was the result of four rRNA genes (*rrn16, rrn23, rrn4.5* and *rrn5*) that occur in this region [31]. In addition, the LSC regions have GC contents of 36.6% and 35.5%, as well as the lowest values of 32.9% and 32.8% are seen in SSC regions in *A. debilis* and *A. contorta*, respectively. Within the protein-coding regions (CDS) of chloroplast genome of *A. debilis*, the percentage of AT content for the first, second and third codon positions were 54%, 61.4% and 68%, respectively (Table 1). A bias towards a higher AT representation at the third codon position has also been observed in other land plant chloroplast genomes [32–34].

A total of 131 genes were identified from each genome including 85 protein-coding genes, 37 tRNAs, eight rRNAs, and one pseudogene (*ycf1*) (Table 2). The functional *ycf1* copy existed encompassing IR-SSC boundary and the other pseudogene *ycf1* copy was on the other IR region. Six protein-coding genes, seven tRNA genes, and all rRNA genes were duplicated in the IR regions. Coding regions including protein-coding genes (CDS), tRNAs, and rRNAs constituted 56.7% and 56.4% in the chloroplast genomes of *A. debilis* and *A. contorta*, respectively; while the non-coding regions including introns, pseudogenes, and intergenic spacers constituted 43.3% and 43.6% of the genome, respectively.

No.	Group of Genes	Gene names	Amount
1	Photosystem I	psaA, psaB, psaC, psaI, psaJ	5
2	Photosystem II	psbA, psbB, psbC, psbD, psbE, psbF, psbH, psbI, psbJ, psbK, psbL, psbM, psbN, psbT, psbZ	15
3	Cytochrome b/f complex	petA, petB *, petD *, petG, petL, petN	6
4	ATP synthase	atpA, atpB, atpE, atpF *, atpH, atpI	6
5	NADH dehydrogenase	$ndhA^*$ , $ndhB^*(\times 2)^1$ , $ndhC$ , $ndhD$ , $ndhE$ , $ndhF$ , $ndhG$ , $ndhH$ , $ndhI$ , $ndhJ$ , $ndhK$	12(1)
6	RubisCO large subunit	rbcL	1
7	RNA polymerase	rpoA, rpoB, rpoC1 *, rpoC2	4
8	Ribosomal proteins (SSU)	rps2, rps3, rps4, rps7(×2), rps8, rps11, rps12 **(×2), rps14, rps15, rps16 *, rps18, rps19	14(2)
9	Ribosomal proteins (LSU)	rpl2 *(×2), rpl14, rpl16 *, rpl20, rpl22, rpl23(×2), rpl32, rpl33, rpl36	11(2)
10	Proteins of unknown function	ycf1, ycf2(×2), ycf3 **, ycf4	5(1)
11	Transfer RNAs	37 tRNAs (6 contain an intron, 7 in the IRs)	37(7)
12	Ribosomal RNAs	rrn4.5(×2), rrn5(×2), rrn16(×2), rrn23(×2)	8(4)
13	Other genes	accD, clpP **, matK, ccsA, cemA, infA	6

\* Gene contains one intron; \*\* gene contains two introns; (×2) indicates the number of the repeat unit is 2.

Introns play an important role in the regulation of gene expression and can enhance the expression of exogenous genes at specific sites and specific times of the plant [35]. The intron content of genes reserved in the chloroplast genomes of *A. debilis* and *A. contorta* are maintained in other angiosperms [31,36]. Data revealed the presence of 18 genes containing introns in each chloroplast genome, including *atpF*, *rpoC1*, *ycf3*, *rps12*, *rpl16*, *clpP*, *petB*, *petD*, *rps16*, *ndhA*, *ndhB*, and six tRNA genes (Table 3). In addition, the *ycf3* gene and *rps12* gene each contain two introns and three exons. The *ycf3* gene is located in LSC region and the *rps12* gene is a special trans-splicing gene, the 5' exon is located in LSC, while the 3' exon is located in IR, which is similar to that in *Aquilaria sinensis* [25], *Panax ginseng* [36] and *Cistanche deserticola* [37].

**Table 3.** Genes with introns in the chloroplast genomes of *A. debilis* and *A. contorta* as well as the lengths of the exons and introns.

Species	Gene	Location	Exon I (bp)	Intron I (bp)	Exon II (bp)	Intron II (bp)	Exon III (bp)
	atpF	LSC	145	805	410	-	-
	clpP	LSC	71	781	292	678	255
	ndhA	SSC	552	1090	540	-	-
	ndhB	IR	777	705	756	-	-
	petB	LSC	6	214	642	-	-
	petD	LSC	6	485	476	-	-
	rpl16	LSC	8	1065	403	-	-
	rpl2	IR	391	657	431	-	-
A 1.1.11.	rpoC1	LSC	430	776	1622	-	-
A. debilis	rps12	LSC	114	-	232	536	26
	rps16	LSC	46	853	191	-	-
	trnA-UGC	IR	38	809	35	-	-
	trnG-UCC	LSC	24	761	48	-	-
	trnI-GAU	IR	37	937	35	-	-
	trnK-UUU	LSC	37	2658	35	-	-
	trnL-UAA	LSC	35	521	50	-	-
	trnV-UAC	LSC	39	597	37	-	-
	ycf3	LSC	126	777	228	753	147

Species	Gene	Location	Exon I (bp)	Intron I (bp)	Exon II (bp)	Intron II (bp)	Exon III (bp)
	atpF	LSC	145	771	410	-	-
	clpP	LSC	71	821	292	664	255
	ndhA	SSC	552	1091	540	-	-
	ndhB	IR	777	716	756	-	-
	petB	LSC	6	214	642	-	-
	petD	LSC	7	485	476	-	-
	rpl16	LSC	8	1088	403	-	-
	rpl2	IR	391	657	431	-	-
A. contorta	rpoC1	LSC	430	776	1619	-	-
	rps12	LSC	114	-	232	536	26
	rps16	LSC	46	832	221	-	-
	trnA-UGC	IR	38	809	35	-	-
	trnG-UCC	LSC	24	751	48	-	-
	trnI-GAU	IR	37	938	35	-	-
	trnK-UUU	LSC	37	2648	35	-	-
	trnL-UAA	LSC	35	552	50	-	-
	trnV-UAC	LSC	39	605	37	-	-
	ycf3	LSC	126	764	228	760	147

Table 3. Cont.

#### 2.2. IR Contraction and Expansion

Although genomic structure and size were highly conserved in Angiosperms chloroplast genomes, the IR/SC boundary regions still varied slightly (Figure 3). The contraction and expansion at the borders of the IR regions are common evolutionary events and represent the main reasons for size variation of the chloroplast genomes [33,38]. From Figure 3, the junctions of the IR and LSC regions of four species including *Arabidopsis thaliana* (accession number: NC\_000932), *Nicotiana tabacum* (NC\_001879), as well as two *Aristolochia* species were compared. The IRb/SSC border extended into the *ycf1* genes to cause long *ycf1* pseudogenes in all species; however, compared with *A. thaliana* and *N. tabacum*, the length of *ycf1* pseudogene of two *Aristolochia* species were only 171 and 169 bp, respectively. The IRa/SSC border was located in the CDS of the *ycf1* gene and expanded the same length into the 5' portion of *ycf1* gene as IRb expanded in the four chloroplast genomes. The *trnH* genes were located in the LSC regions in *Nicotiana tabacum, Sesamum indicum, Arabidopsis thaliana*, and *Salvia miltiorrhiza* [31], while this gene was usually located in the IR region in the monocot chloroplast genomes [39]. Interestingly, the IRa/LSC borders were located in the coding region of *trnH* genes in the two *Aristolochia* species.



**Figure 3.** Comparison of the borders of LSC, SSC and IR regions among four chloroplast genomes. Number above the gene features means the distance between the ends of genes and the borders sites. The IRb/SSC border extended into the *ycf1* genes to create various lengths of *ycf1* pseudogenes among four chloroplast genomes. These features are not to scale.

#### 2.3. Codon Usage and RNA Editing Sites

All the protein-coding genes were composed of 26,239 and 26,255 codons in the chloroplast genomes of *A. debilis* and *A. contorta*, respectively. Among these codons, 2737 encode leucine and 315 encode cysteine, respectively, the most and least universal amino acids in the *A. debilis* chloroplast genome. The codon usages of protein-coding genes in the *A. debilis* and *A. contorta* chloroplast genomes are deduced and summarized in Figure 4 and Table S1. Figure 4 shows that the relative synonymous codon usage (RSCU) value increased with the quantity of codons that code for a specific amino acid. Most of the amino acid codons have preferences except for methionine and tryptophan. The results presented here are similar in codon usage with the chloroplast genomes of species within the genus *Ulmus* [40] and *Aq. sinensis* [25]. In addition, potential RNA editing sites were predicted for 35 genes of the chloroplast genomes of two species. A total of 92 RNA editing sites were identified (Table S2). The amino acid conversion S to L occurred most frequently, while P to S and R to W occurred least. Seventy-six common RNA editing sites were shared in genes of the two species.



**Figure 4.** Codon content of 20 amino acid and stop codons in all protein-coding genes of the chloroplast genomes of two *Aristolochia* species. The histogram on the left-hand side of each amino acid shows codon usage within the *A. debilis* chloroplast genome, while the right-hand side illustrates the genome of *A. contorta*.

#### 2.4. Repeat Structure and Simple Sequence Repeats Analyses

The repeats were mostly distributed in the intergenic spacer (IGS) and intron sequences. Figure 5 shows the repeat structure analyses of six species. The results revealed that the repeats of chloroplast genome of *A. contorta* had the greatest number, comprising of 41 forward, 43 palindromic, 29 reverse, and 25 complement repeats. Followed by *A. debilis*, contained 14 forward, 23 palindromic, 23 reverse, and six complement repeats. Simple sequence repeats (SSRs), which are ubiquitous throughout the genomes and are also known as microsatellites, are tandemly repeated DNA sequences that consist of 1–6 nucleotide repeat units [41]. SSRs are widely used for molecular markers in species identification, population genetics, and phylogenetic investigations based on their high level of polymorphism [42–44]. A total of 129 and 156 SSRs were identified using the microsatellite identification tool (MISA) in the chloroplast genomes of *A. debilis* and *A. contorta*, respectively (Table 4; Tables S3 and S4). In these SSRs, mononucletide repeats were largest in number, which were found 81 and 96 times in *A. debilis* and *A. contorta*, respectively. A/T mononucleotide repeats (96.3% and 94.8%, respectively) were the most

common, while the majority of dinucleotide repeat sequences comprised of AT/TA repeats (100% and 92.8%, respectively). This result agreed with the previous studies where proportions of polyadenine (polyA) and polythymine (polyT) were higher than polycytosine (polyC) and polyguanine (polyG) within chloroplast SSRs in many plants [24].



**Figure 5.** Repeat sequences in six chloroplast genomes. REPuter was used to identify repeat sequences with length  $\geq$ 30 bp and sequence identified  $\geq$ 90% in the chloroplast genomes. F, P, R, and C indicate the repeat types F (forward), P (palindrome), R (reverse), and C (complement), respectively. Repeats with different lengths are indicated in different colours.

		Ratio (%)		
A. debilis A. contorta A	. debilis	A. contorta		
A/T 78 91	96.3	94.8		
Mono C/G 3 5	3.7	5.2		
AC/GT 0 1	0	3.6		
Di AG/CT 0 1	0	3.6		
AT/TA 19 26	100	92.8		
AAC/GTT 1 1	10	8.3		
T. AAG/CTT 1 1	10	8.3		
ATC/ATG 1 0	10	0		
AAT/ATT 7 10	70	83.4		
AAAC/GTTT 2 2	16.7	14.3		
AAAT/ATTT 4 5	33.3	35.7		
AATC/ATTG 1 1	8.3	7.1		
AGAT/ATCT 2 1	16.7	7.1		
AATT/AATT 0 1	0	7.1		
ACAT/ATGT 0 1	0	7.1		
AACT/AGTT 1 1	8.3	7.1		
AATG/ATTC 2 2	16.7	14.3		
AATAT/ATATT 2 2	33.3	50		
AAATT/AATTT 1 0	16.7	0		
Penta AAATC/ATTTG 1 0	16.7	0		
AACAT/ATGTT 0 1	0	25		
AAAAT/ATTTT 2 1	33.3	25		
AAATAG/ATTTCT 0 1	0	50		
Hexa ACATAT/ATATGT 0 1	0	50		
ACTGAT/AGTATC 1 0	100	0		

Table 4. Types and amounts of SSRs in the A. debilis and A. contorta chloroplast genomes.

#### 2.5. Comparative Genomic Analysis

The whole chloroplast genome sequences of *A. debilis* and *A. contorta* were compared to those of *Calycanthus floridus* var. *glaucus* (accession number: NC\_004993), *Magnolia officinalis* (NC\_020316), and *Liriodendron chinense* (NC\_030504) using the mVISTA program (Figure 6). The comparison showed that the two IR regions were less divergent than the LSC and SSC regions. The four rRNA genes were the most conserved, while the most divergent coding regions were *ndhF*, *rpl22*, *ycf1*, *rpoC2* and *ccsA*. Additionally, the results revealed that non-coding regions exhibited a higher divergence than coding regions, and the most divergent regions localized in the intergenic spacers among the five chloroplast genomes.



**Figure 6.** Sequence identity plot comparing the five chloroplast genomes with *A. debilis* as a reference by using mVISTA. Grey arrows and thick black lines above the alignment indicate genes with their orientation and the position of the IRs, respectively. A cut-off of 70% identity was used for the plots, and the Y-scale represents the percent identity ranging from 50% to 100%.

#### 2.6. Phylogenetic Analyses

Chloroplast genomes provide abundant resources, which are significant for evolutionary, taxonomic, and phylogenetic studies [31,45,46]. The whole chloroplast genomes and protein-coding genes have been successfully used to resolve phylogenetic relationships at almost any taxonomic level during the past decade [31,37]. *Aristolochia*, consisting of nearly 400 species, is the largest genus in the family Aristolochiaceae [30]. Phylogenetic analyses employing one or several genes have been performed in previous studies [47–49]; however, these analyses were restricted to the species of Aristolochiaceae and included few species from other families. In this study, to identify

the phylogenetic positions of *A. debilis* and *A. contorta* within Angiosperms, 60 protein-coding genes commonly present in 37 species from Piperales, Laurales, Magnoliales, Ranunculales, Fabales, Rosales, Chloranthales, as well as two *Aristolochia* species were used to construct the phylogenetic tree using the Maximum parsimony (MP) method (Figure 7). All the nodes in the MP trees have high bootstrap support values, and 30 out of 34 nodes with 100% bootstrap values were found. The result illustrated that two *Aristolochia* species were sister taxa with respect to four *Piper* species (Piperaceae), and these species were grouped with four species from Laurales and five species from Magnoliales. Additionally, all species are clustered within a lineage distinct from the outgroup. This result (inferred from the chloroplast genome data) obtained high support values, which suggested that the chloroplast genome could effectively resolve the phylogenetic positions and relationships of this family. Nevertheless, to accurately illustrate the evolution of the family Aristolochiaceae, it is necessary to use more species to analyze the phylogeny. This study will also provide a reference for species identification among *Aristolochia* and other genus using the chloroplast genome.



**Figure 7.** Phylogenetic tree constructed using Maximum parsimony (MP) method based on 60 protein-coding genes from different species. Numbers at nodes are values for bootstrap support.

#### 3. Materials and Methods

#### 3.1. Plant Material, DNA Extraction, and Sequencing

Fresh plants of *A. debilis* and *A. contorta* were collected from Lichuan City in Hubei Province and Tonghua City in Jilin Province, respectively. All samples were identified by Professor Yulin Lin, who is based at the Institute of Medicinal Plant Development (IMPLAD), Chinese Academy of Medical Sciences (CAMS) and Peking Union Medical College (PUMC). The voucher specimens were deposited in the herbarium of the IMPLAD. The leaves were cleansed and preserved in a -80 °C refrigerator. Total genomic DNA was extracted from approximately 100 mg of samples using DNeasy Plant Mini Kit with a standard protocol (Qiagen Co., Hilden, Germany). Final DNA quality was assessed based on spectrophotometry and their integrity was examined by electrophoresis in 1% (w/v) agarose gel. The DNA was used to construct shotgun libraries with insert sizes of 500 bp and sequenced according to the manufacturer's manual for the Illumina Hiseq X. Approximately 6.3 Gb of raw data from *A. debilis* and 5.8 Gb from *A. contorta* were produced with 150 bp pair-end read lengths.

#### 3.2. Chloroplast Genome Assembly and Annotation

First, we used the software Trimmomatic (v0.36, Max Planck Institute of Molecular Plant Physiology, Potsdam, Germany) [50] to trim the low-quality reads. After quality control, the clean reads were used to assemble the chloroplast genome. All chloroplast genomes of plants recorded in the National Center for Biotechnology Information (NCBI) were used to construct a reference database. Next, the clean reads were mapped to the database on the basis of their coverage and similarity, and the mapped reads extracted. Extracted reads were assembled to contigs using SOAPdenovo (v2, BGI HK Research Institute, Hong Kong, China) [51], and the resulting contigs were combined and extended to obtain a complete chloroplast genome sequence. To verify the accuracy of assembly, four boundaries of single copy (SC) and inverted repeat (IR) regions of the assembled sequences were confirmed by PCR amplification and Sanger sequencing using the primers listed in Table S5.

We used the online program Dual Organellar GenoMe Annotator (DOGMA), (University of Texas at Austin, Austin, TX, USA) [52] and the software Chloroplast Genome Annotation, Visualization, Analysis, and GenBank Submission (CPGAVAS), (Institute of Medicinal Plant Development, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing, China) [53] coupled with manual corrections to perform the preliminarily gene annotation of chloroplast genomes of two species. The tRNA genes were identified using the software tRNAscan-SE (v2.0, University of California, Santa Cruz, CA, USA) [54] and DOGMA [52]. The gene map was drawn using the Organellar Genome DRAW (OGDRAW) (v1.2, Max Planck Institute of Molecular Plant Physiology, Potsdam, Germany) [55] with default settings and checked manually. The complete and correct chloroplast genome sequences of the two species were deposited in GenBank, accession numbers of *A. debilis* and *A. contorta* are MF539928 and MF539927, respectively.

#### 3.3. Genome Structure Analyses and Genome Comparison

The distribution of codon usage was investigated using the software CodonW (University of Texas, Houston, TX, USA) with the RSCU ratio [56]. Thirty-five protein-coding genes of the chloroplast genomes of two species were used to predict potential RNA editing sites using the online program Predictive RNA Editor for Plants (PREP) suite [57] with a cutoff value of 0.8. GC content was analyzed using Molecular Evolutionary Genetics Analysis (MEGA v6.0, Tokyo Metropolitan University, Tokyo, Japan) [58]. REPuter (University of Bielefeld, Bielefeld, Germany) [59] to identify the size and location of repeat sequences, including forward, palindromic, reverse, and complement repeats in the chloroplast genomes of six species *C. floridus* var. *glaucus*, *M. officinalis*, *L. chinense* and *Piper nigrum* (NC\_034692). For all repeat types, the minimal size was 30 bp and the two repeat copies had at least 90% similarity. Simple sequence repeats (SSRs) were detected using MISA software [60] with parameters set the same as Li et al. [61]. The whole-genome alignment for the chloroplast genomes of

the five species including *A. debilis, A. contorta, C. floridus* var. *glaucus, M. officinalis,* and *L. chinense* were performed and plotted using the mVISTA program [62].

#### 3.4. Phylogenetic Analyses

A total of 35 complete chloroplast genomes were downloaded from the NCBI Organelle Genome Resources database (Table S6). The 60 protein-coding gene sequences commonly present in 37 species, including the two species in this study, were aligned using the Clustal algorithm [63]. To determine the phylogenetic positions of *A. debilis*, and *A. contorta*, we analyzed the chloroplast genomes of these 60 protein-coding genes. Maximum parsimony (MP) analysis was performed with PAUP\*4.0b10 [64], using a heuristic search performed with the MULPARS option, the random stepwise addition with 1000 replications and tree bisection-reconnection (TBR) branch swapping. Bootstrap analysis was also performed with 1,000 replicates with TBR branch swapping.

#### 4. Conclusions

The complete chloroplast genomes of *A. debilis* and *A. contorta*, the first two sequenced members of the family Aristolochiaceae, were determined in this study. The genome structure and gene content were relatively conserved. The phylogenetic analyses illustrated that these two *Aristolochia* species were positioned close to four species from the family Piperaceae and had a close phylogenetic relationship with Laurales and Magnoliales. The results provided the basis for the study of the evolutionary history of *A. debilis* and *A. contorta*. All the data presented in this paper will facilitate the further investigation of these two medicinal plants.

Supplementary Materials: Supplementary materials can be found at www.mdpi.com/1422-0067/18/9/1839/s1.

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#### Abbreviations

LSC	Large single copy
SSC	Small single copy
IR	Inverted repeat
MP	Maximum parsimony
SSR	Simple sequence repeats
ATP	Adenosine triphosphate
NADH	Nicotinamide adenine dinucleotide

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### Article The Complete Chloroplast Genome Sequences of the Medicinal Plant Forsythia suspensa (Oleaceae)

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Abstract: Forsythia suspensa is an important medicinal plant and traditionally applied for the treatment of inflammation, pyrexia, gonorrhea, diabetes, and so on. However, there is limited sequence and genomic information available for F. suspensa. Here, we produced the complete chloroplast genomes of F. suspensa using Illumina sequencing technology. F. suspensa is the first sequenced member within the genus Forsythia (Oleaceae). The gene order and organization of the chloroplast genome of F. suspensa are similar to other Oleaceae chloroplast genomes. The F. suspensa chloroplast genome is 156,404 bp in length, exhibits a conserved quadripartite structure with a large single-copy (LSC; 87,159 bp) region, and a small single-copy (SSC; 17,811 bp) region interspersed between inverted repeat (IRa/b; 25,717 bp) regions. A total of 114 unique genes were annotated, including 80 protein-coding genes, 30 tRNA, and four rRNA. The low GC content (37.8%) and codon usage bias for A- or T-ending codons may largely affect gene codon usage. Sequence analysis identified a total of 26 forward repeats, 23 palindrome repeats with lengths >30 bp (identity >90%), and 54 simple sequence repeats (SSRs) with an average rate of 0.35 SSRs/kb. We predicted 52 RNA editing sites in the chloroplast of F. suspensa, all for C-to-U transitions. IR expansion or contraction and the divergent regions were analyzed among several species including the reported F. suspensa in this study. Phylogenetic analysis based on whole-plastome revealed that F. suspensa, as a member of the Oleaceae family, diverged relatively early from Lamiales. This study will contribute to strengthening medicinal resource conservation, molecular phylogenetic, and genetic engineering research investigations of this species.

**Keywords:** *Forsythia suspensa;* sequencing; chloroplast genome; comparative genomics; phylogenetic analysis

#### 1. Introduction

*Forsythia suspensa* (Thunb.) Vahl, known as "Lianqiao" in Chinese, is a well-known traditional Asian medicine that is widely distributed in many Asian and European countries [1]. In folk medicine, the extract of the dried fruit has long been used to treat a variety of diseases, such as inflammation, pyrexia, gonorrhea, tonsillitis, and ulcers [2]. In recent years, the dried ripe fruit of *F. suspensa* has often been prescribed for the treatment of diabetes in China [3,4].

Chloroplast (cp) genomes are mostly circular DNA molecules, which have a typical quadripartite structure composed of a large single copy (LSC) region and a small single copy (SSC) region interspersed between two copies of inverted repeats (IRa/b) [5]. The cp genome sequences can provide vast information not only about genes and their encoded proteins, but also on functional

implications and evolutionary relationships [6]. Due to high-throughput capabilities and relatively low costs, next-generation sequencing techniques have made it more convenient to obtain a large number of cp genome sequences [7]. After the first complete cp DNA sequences were reported in *Nicotiana tabacum* [8] and *Marchantia polymorpha* [9], complete cp DNA sequences of numerous plant species were determined [6,10–12]. To date, approximately 1300 plant cp genomes are publicly available as part of the National Center for Biotechnology Information (NCBI) database.

Within the Oleaceae family, the complete cp genomes of several plant species have been published [12–15], thereby providing additional evidence for the evolution and conservation of cp genomes. Nevertheless, no cp genome belonging to genus *Forsythia* has been reported. Few data are available with respect to the *F. suspensa* cp genome.

In order to characterize the complete cp genome sequence of the *F. suspensa* and expand our understanding of the diversity of the genus *Forsythia*, details of the cp genome structure and organization are reported in this paper. This is also the first sequenced member of the genus *Forsythia* (Oleaceae). We compare the *F. suspense* cp genome with previously annotated cp genomes of other Lamiales species. Our studies could provide basic data for the medicinal species conservation and molecular phylogenetic research of the genus *Forsythia* and Lamiales.

#### 2. Results and Discussions

#### 2.1. Genome Features

Whole genome sequencing using an Illumina Hiseq 4000 PE150 platform generated 19,241,634 raw reads. Clean reads were obtained by removing adaptors and low-quality read pairs. Then, we collected 662,793 cp-genome-related reads (3.44% of total reads), reaching an average of  $636 \times$  coverage over the cp genome. With PCR-based experiments, we closed the gaps and validated the sequence assembly, and ultimately obtained a complete *F. suspensa* cp genome sequence, which was then submitted to GenBank (accession number: MF579702).

Most cp genomes of higher plants have been found to have a typical quadripartite structure composed of an LSC region and an SSC region interspersed between the IRa/b region [5]. The complete cp genome of *F. suspensa* has a total length of 156,404 bp, with a pair of IRs of 25,717 bp that separate an LSC region of 87,159 bp and an SSC region of 17,811 bp (Figure 1). The total GC content was 37.8%, which was similar to the published Oleaceae cp genomes [12–15]. The GC content of the IR regions was 43.2%, which was higher when compared with the GC content in the LSC and SSC regions (35.8% and 31.8%, respectively).



**Figure 1.** Chloroplast genome map of *Forsythia suspensa*. Genes drawn inside the circle are transcribed clockwise, and those outside are counterclockwise. Genes are color-coded based on their function, which are shown at the left bottom. The inner circle indicates the inverted boundaries and GC content.

The gene content and sequence of the *F. suspensa* cp genome are relatively conserved, with basic characteristics of land plant cp genomes [16]. It encodes a total of 114 unique genes, of which 19 are duplicated in the IR regions. Out of the 114 genes, there are 80 protein-coding genes (70.2%), 30 tRNA (26.3%), and four rRNA genes (*rrn5*, *rrn4.5*, *rrn16*, *rrn23*) (3.5%) (Table 1). Eighteen genes contained introns, fifteen (nine protein-coding and six tRNA genes) of which contained one intron and three of which (*rps12*, *ycf3*, and *clpP*) contained two introns (Table 2). The *rps12* gene is a trans-spliced gene, three exons of which were located in the LSC region and IR regions, respectively. The complete gene of *matK* was located within the intron of *trnK-UUUU*. One pseudogene (non functioning duplications of functional genes), *ycf1*, was identified, located in the boundary regions between IRb/SSC. The partial gene duplication might have caused the lack of protein-coding ability. In general, the junctions between the IR and LSC/SSC regions vary among higher plant cp genomes [17–19]. In the *F. suspensa* cp genome, the *ycf1* gene regions extended into the IR region in the IR/SSC junctions, while the *rpl2* was 51 bp apart from the LSC/IR junction.

Category for Genes	Group of Gene	Name of Gene	
	Rubisco	rbcL	
Photosynthesis related genes	Photosystem I	psaA, psaB, psaC, psaI, psaJ	
	Assembly/stability of photosystem I	ycf3 *, ycf4	
	psbA, psbB, psbC, psbD, psbE, psb Photosystem II psbI, psbJ, psbK, psbL, psbM, psbY psbZ		
	ATP synthase	atpA, atpB, atpE, atpF *, atpH, atpI	
	cytochrome b/f complex	petA, petB *, petD *, petG, petL, petN	
	cytochrome c synthesis	ccsA	
	NADPH dehydrogenase	ndhA *, ndhB *, ndhC, ndhD, ndhE, ndhF, ndhG, ndhH, ndhI, ndhJ	
	transcription	rpoA, rpoB, rpoC1 *, rpoC2	
Transcription and translation related genes	ribosomal proteins	rps2, rps3, rps4, rps7, rps8, rps11, rps12 *, rps14, rps15, rps16 *, rps18, rps19, rpl2 *, rpl14, rpl16 *, rpl20, rpl22, rpl23, rpl32, rpl33, rpl36	
	translation initiation factor	infA	
	ribosomal RNA	rrn5, rrn4.5, rrn16, rrn23	
RNA genes	transfer RNA	trnA-UGC *, trnC-GCA, trnD-GUC, trnE-UUC, trnF-GAA, trnG-UCC *, trnG-GCC *, trnH-GUG, trnI-CAU, trnI-GAU *, trnK-UUUI *, trnL-CAA, trnI-UAA *, trnL-UAG, trnfM-CAUI, trnM-CAU, trnN-GUU, trnP-UGG, trnQ-UUG, trnR-ACG, trnR-UCU, trnS-GCU, trnS-GGA, trnS-UGA, trnT-GGU, trnT-UGU, trnV-CAC, trnV-UAC *, trnW-CCA, trnY-GUA	
Other genes	RNA processing	matK	
	carbon metabolism	cemA	
	fatty acid synthesis	accD	
	proteolysis	clpP *	
Genes of unknown function	conserved reading frames	ycf1, ycf2, ycf15, ndhK	

Table 1. A list of genes found in the plastid genome of *Forsythia suspensa*.

\* indicate the intron-containing genes.

Table 2. Genes with introns within the *F. suspensa* chloroplast genome and the length of exons and introns.

	*		* . * / .			
Gene	Location	Exon I (bp)	Intron I (bp)	Exon II (bp)	Intron II (bp)	Exon III (bp)
trnA-UGC	IR	38	814	35		
trnG-GCC	LSC	24	676	48		
trnI-GAU	IR	42	942	35		
trnK-UUU	LSC	38	2494	37		
trnL-UAA	LSC	37	473	50		
trnV-UAC	LSC	38	572	37		
rps12 *	LSC	114	-	231	536	27
rps16	LSC	40	864	227		
atpF	LSC	144	705	411		
rpoC1	LSC	445	758	1619		
ycf3	LSC	129	714	228	737	153
clpP	LSC	69	815	291	642	228
petB	LSC	6	707	642		
petD	LSC	8	713	475		
rpl16	LSC	9	865	399		
rpl2	IR	393	664	435		
ndhB	IR	777	679	756		
ndhA	SSC	555	1106	531		

\* The rps12 is a trans-spliced gene with the 5' end located in the LSC region and the duplicated 3' end in the IR regions.

#### 2.2. Comparison to Other Lamiales Species

The IR regions are highly conserved and play an important role in stabilizing the cp genome structure [20,21]. For IR and SC boundary regions, their expansion and contraction are commonly considered as the main mechanism behind the length variation of angiosperm cp genomes [22,23]. In this study, we compared the junctions of LSC/IRb/SSC/IRa of the seven Lamiales cp genomes (Figure 2), and also observed the expansions and contractions in IR boundary regions.



**Figure 2.** Comparisons of LSC, SSC, and IR region borders among six Lamiales chloroplast genomes.  $\Psi$  indicates a pseudogene. Colorcoding mean different genes on both sides of the junctions. Number above the gene features means the distance between the ends of genes and the junction sites. The arrows indicated the location of the distance. This figure is not to scale.

The *rps19* genes of four Oleaceae species were all completely located in the LSC region, and the IR region expanded to the *rps19* gene in the other three genomes, with a short *rps19* pseudogene of 43 bp, 30 bp, and 40 bp created at the IRa/LSC border in *S. miltiorrhiza, S. indicum*, and *S. takesimensis*, respectively. The border between the IRb and SSC extended into the *ycf1* genes, with *ycf1* pseudogenes created in all of the seven species. The length of the *ycf1* pseudogene was very similar in four of the Oleaceae species (1091 or 1092 bp), and was longer than that in *S. miltiorrhiza* (1056 bp), *S. indicum* (1012 bp), and *S. takesimensis* (886 bp). Overlaps were detected between the *ycf1* pseudogene and the *ndhF* gene in five cp genomes (except for *S. indicum* and *S. takesimensis*), which also had similar lengths (25 or 26 bp) in four Oleaceae species. The *trnH-GUG* genes were all located in the LSC region, the distance of which from the LSC/IRa boundary was 3–22 bp. Overall, the IR/SC junctions of the Oleaceae species were similar and showed some difference compared to those of Lamiaceae (*S. miltiorrhiza*), Pedaliaceae (*S. indicum*), and Scrophulariaceae (*S. takesimensis*). Our results suggested that the cp genomes of closely related species might be conserved, whereas greater diversity might occur among species belonging to different families, such as one inverted repeat loss in the cp genome of *Astragalus membranaceus* [24] and the large inversions in *Eucommia ulmoides* [25].

#### 2.3. Codon Usage Analysis

The synonymous codons often have different usage frequencies in plant genomes, which was termed codon usage bias. A variety of evolutionary factors which affect gene mutation and selection may lead to the occurrence of codon bias [26,27].

To examine codon usage, the effective number of codons (Nc) of 52 protein-coding genes (PCGs) was calculated. The Nc values for each PCG in *F. suspensa* are shown in Table S2. Our results indicated
that the Nc values ranged from 37.83 (*rps14*) to 54.75 (*ycf3*) in all the selected PCGs. Most Nc values were greater than 44, which suggested a weak gene codon bias in the *F. suspensa* cp genome. The *rps14* gene was detected to exist in the most biased codon usage with the lowest mean Nc value of 37.83. Table 3 showed the codon usage and relative synonymous codon usage (RSCU). Due to the RSCU values of >1, thirty codons showed the codon usage bias in the *F. suspensa* cp genes. Interestingly, out of the above 30 codons, twenty-nine were A or T-ending codons. Conversely, the G + C-ending codons exhibited the opposite pattern (RSCU values <1), indicating that they are less common in *F. suspensa* cp genes. Stop codon usage was found to be biased toward TAA. The similar codon usage rules of bias for A- or T-ending were also found in poplar, rice, and other plants [28–30].

Amino Acids	Codon	Number	RSCU	AA Frequency	Amino Acids	Codon	Number	RSCU	AA Frequency
Phe	UUU UUC	779 405	<b>1.32</b> 0.68	5.59%		UCU UCC	472 247	<b>1.76</b> 0.92	
Leu	UUA UUG CUU CUC	720 451 486 129	1.93 1.21 1.30 0.35	10.56%	Ser	UCA UCG AGU AGC	307 152 339 91	<b>1.15</b> 0.57 <b>1.26</b> 0.34	7.59%
	CUA CUG	301 150	0.81 0.40		Pro	CCU CCC	351 170	1.55 0.75	4.26%
Ile	AUU AUC	890 377	1.47 0.62	8.57%		CCA CCG	269 113	<b>1.19</b> 0.50	
	AUA	548	0.91			ACU	430	1.63	
Met	AUG	495	1.00	2.34%	Thr	ACC	201	0.76	4.98%
Val	GUU GUC	423 126	<b>1.48</b> 0.44	5.41%		ACA ACG	324 100	<b>1.23</b> 0.38	
vui	GUA GUG	447 151	<b>1.56</b> 0.53	0.1170	Ala	GCU GCC	526 177	<b>1.84</b> 0.62	5.41%
Tyr	UAU UAC	631 152	<b>1.61</b> 0.39	3.70%	- Thu	GCA GCG	328 115	<b>1.14</b> 0.40	
TER	UAA UAG	28 10	<b>1.62</b> 0.58	0.25%	Cys	UGU UGC	171 52	<b>1.53</b> 0.47	1.05%
	UGA	14	0.81			CGU	275	1.30	
His	CAU CAC	404 108	<b>1.58</b> 0.42	2.42%	Arg	CGC CGA	90 284	0.42 1.34	6.00%
Gln	CAA	595	1.52	3 69%		CGG	97	0.46	0.00 /8
Giit	CAG	186	0.48	010070	Arg	AGA	392	1.85	-
Asn	AAU	796	1.56	4 81%	0	AGG	133	0.63	
11011	AAC	224	0.44	10170		GGU	493	1.33	
Lys	AAA AAG	837 253	<b>1.54</b> 0.46	5.15%	Gly	GGC GGA	145 594	0.39 <b>1.60</b>	7.00%
Asp	GAU	690	1.59	4.09%		GGG	251	0.68	
1	GAC	176	0.41	1.07 /0	Glu	GAA	866	1.54	5.32%
Trp	UGG	386	1.00	1.82%	Giu	GAG	262	0.46	0.0270

Table 3. The relative synonymous codon usage of the *Forsythia suspensa* chloroplast genome.

The value of relative synonymous codon usage (RSCU) > 1 are highlighted in bold.

The factors affecting codon usage may vary in different genes or species. In a relative study, Zhou et al. [30] considered the genomic nucleotide mutation bias as a main cause of codon bias in seed plants such as arabidopsis and poplar. Morton [31] reported that the cp gene codon usage was largely affected by the asymmetric mutation of cp DNA in *Euglena gracilis*. Our result suggested that a low GC content and codon usage bias for A + T-ending may be a major factor in the cp gene codon usage of *F. suspensa*.

The 52 unique PCGs comprised 63,555 bp that encoded 21,185 codons. The amino acid (AA) frequencies of the *F. suspensa* cp genome were further computed. Of these codons, 2237 (10.56%) encode leucine, which was the most frequency used AA in the *F. suspensa* cp genome (Table 3). As the least common one, cysteine was only encoded by 223 (1.05%) codons.

#### 2.4. Repeats and Simple Sequence Repeats Analysis

Repeat sequences in the *F. suspensa* cp genome were analyzed by REPuter and the results showed that there were no complement repeats and reverse repeats. Twenty-six forward repeats and 23 palindrome repeats were detected with lengths  $\geq$ 30 bp (identity >90%) (Table 4). Out of the 49 repeats, 34 repeats (69.4%) were 30–39 bp long, 11 repeats (22.4%) were 40–49 bp long, four repeats (8.2%) were 50–59 bp long, and the longest repeat was 58 bp. Generally, repeats were mostly distributed in noncoding regions [32,33]; however, 53.1% of the repeats in the *F. suspensa* cp genome were located in coding regions (CDS) (Figure 3A), mainly in *ycf2*; similar to that of *S. dentata* and *S. takesimensis* [34]. Meanwhile, 40.8% of repeats were located in intergenic spacers (IGS) and introns, and 6.1% of repeats were in parts of the IGS and CDS.

No.	Size/bp	Type #	Repeat 1 Start (Location)	Repeat 2 Start (Location)	Region
1	30	F	10,814 (trnG-GCC *)	38,746 (trnG-UCC)	LSC
2	30	F	17,447 (rps2-rpoC2)	17,448 (rps2-rpoC)	LSC
3	30	F	44,547 (psaA-ycf3)	44,550 (psaA-ycf3)	LSC
4	30	F	45,978 (ycf3 intron2)	101,338 (rps12 3end-trnV-GAC)	LSC, IRa
5	30	F	91,923 (ycf2)	91,965 (ycf2)	IRa
6	30	F	110,167 (rrn4.5-rrn5)	110,198 (rrn4.5-rrn5)	IRa
7	30	F	133,335 (rrn5-rrn4.5)	133.366 (rrn5-rrn4.5)	IRb
8	30	F	149,178 (ucf2)	149,214 (ucf2)	IRb
9	30	F	149.196(ucf2)	149.214 (ucf2)	IRb
10	30	F	151 568 (ucf2)	151 610 (ucf2)	IRb
11	32	F	9313 (trnS-GCU *)	37.781 (nshC-trnS-UGA *)	LSC
12	32	F	40.965 (nsaB)	43.189 (nsaA)	LSC
13	32	F	53 338 (ndhC-trnV-11AC)	53 358 (ndhC-trnV-11AC)	LSC
14	32	F	115 350 (ndhE-rnl32)	115 378 (ndhE-rnl32)	SSC
15	34	F	94 332 (ucf2)	94 368 (ucf2)	IRa
16	34	F	94,352 (ucf2)	94,368 (ucf2)	IRa
17	35	F	149 188 (1002)	149 206 (ucf2)	IRa
18	39	F	45.966 (ucf3 intron2)	101 226 (mc12 3and truV CAC)	ISC IPa
10	20	F	45,500 ( <i>ycf3</i> intron2)	101,520 (19512_5end-triv-Gric)	LSC, IKa
20	39	r F	40,052 (maR)	122,004 ( <i>nunA</i> Intronii)	LSC, 55C
20	41	Г	40,555 (psub)	45,177 (psuzi)	LOC ID - CCC
21	41	F	101,324 (rps12_sena-trn v-GAC)	122,602 (nunA intron)	IKa, 55C
22	42	F	94,320 ( <i>ytf</i> 2)	94,556 ( <i>y</i> ( <i>f</i> 2)	IKa
23	42	F	149,165 (9(72)	149,201 (9072)	IKD
24	44	F	94,340 ( <i>ycf2</i> )	94,358 ( <i>ycf2</i> )	IKa
25	58	F	94,332 (Vcf2)	94,340 ( <i>ycf2</i> )	IKa
26	58	F	149,165 ( <i>ycf2</i> )	149,183 ( <i>ycf2</i> )	IKD
27	30	P	9315 (trnS-GCU*)	47,653 (trnS-GGA)	LSC
28	30	P	14,359 (atpF-atpH)	14,359 (atpF-atpH)	LSC
29	30	P	34,338 (trn1-GGU-pspD)	34,338 (trn1-GGU-psbD)	LSC
30	30	P	37,783 (psbC-trnS-UGA *)	47,653 (trnS-GGA)	LSC
31	30	P	45,978 (ycf3 intron2)	142,195 (trnV-GAC-rps12_3end)	LSC, IRb
32	30	Р	91,923 (ycf2)	151,568 (ycf2)	IRa, IRb
33	30	Р	91,965 (ycf2)	151,610 (ycf2)	IRa, IRb
34	30	P	110,167 (rrn4.5-rrn5)	133,335 (rrn5-rrn4.5)	IRa, IRb
35	30	Р	110,198 (rrn4.5-rrn5)	133,366 (rrn5-rrn4.5)	IRa, IRb
36	30	Р	122,764 (ndhA intron1)	122,766 (ndhA intron1)	SSC
37	34	Р	94,332 (ycf2)	149,161 (ycf2)	IRa, IRb
38	34	Р	94,350 (ycf2)	149,161 (ycf2)	IRa, IRb
39	34	Р	94,368 (ycf2)	149,179 (ycf2)	IRa, IRb
40	34	Р	94,368 (ycf2)	149,179 (ycf2)	IRa, IRb
41	39	Р	45,966 (ycf3 intron2)	45,966 (ycf3 intron2)	LSC, IRb
42	41	Р	122,602 (ndhA intron1)	142,198 (trnV-GAC-rps12_3end)	SSC, IRb
43	42	Р	94,320 (ycf2)	149,165 (ycf2)	IRa, IRb
44	42	Р	94,356 (ycf2)	149,201 (ycf2)	IRa, IRb
45	44	Р	77,475 (psbT-psbN)	77,475 (psbT-psbN)	LSC
46	44	Р	94,340 (ycf2)	149,161 (ycf2)	IRa, IRb
47	44	Р	94,358 (ycf2)	149,179 (ycf2)	IRa, IRb
48	58	Р	94,332 (ycf2)	149,165 (ycf2)	IRa, IRb
49	58	Р	94,340 (ycf2)	149,183 (ycf2)	IRa, IRb

Table 4. Repetitive sequences of Forsythia suspensa calculated using REPuter.

# F: forward; P: palindrome; \* part in the gene.

Simple sequence repeats (SSRs) are widely distributed across the entire genome and exert significant influence on genome recombination and rearrangement [35]. As valuable molecular markers, SSRs have been used in polymorphism investigations and population genetics [36,37]. The occurrence, type, and distribution of SSRs were analyzed in the *F. suspensa* cp genome. In total, we detected 54 SSRs in the *F. suspensa* cp genome (Table 5), accounting for 700 bp of the total sequence (0.45%).

The majority of these SSRs consisted of mono- and di-nucleotide repeats, which were found 35 and seven times, respectively. Tri-(1), tetra-(4), and penta-nucleotide repeat sequences (1) were detected with a much lower frequency. Six compound SSRs were also found. Fifty SSRs (92.6%) were composed of A and T nucleotides, while tandem G or C repeats were quite rare, which was in concordance with the other research results [38,39]. Out of these SSRs, 42 (88.9%) and six (11.1%) were located in IGS and introns, respectively (Figure 3B). Only five SSRs were found in the coding genes, including *rpoC2*, *rpoA*, and *ndhD*, and one was located in parts of the IGS and CDS. In addition, we noticed that almost all SSRs were located in LSC, except for (T)19, and no SSRs were detected in the IR region. These SSRs may be developed lineage-specific markers, which might be useful in evolutionary and genetic diversity studies.



Figure 3. Distribution of repeat sequence and simple sequence repeats (SSRs) within *F. suspensa* chloroplast genomes. (A) Distribution of repeats; and (B) distribution of SSRs. IGS: intergenic spacer.

SSR Type #	SSR Sequence	Size	Start	SSR Location	Region
		10	31,855	psbM-trnD-GUC	LSC
	(A)10	10	31,992	psbM-trnD-GUC	LSC
		10	38,025	trnS-UGA-psbZ	LSC
		10	73,886	clpP intron1	LSC
		10	85,390	rpl16 intron	LSC
		10	507	trnH-GUG-psbA	LSC
		10	9056	psbK-psbI	LSC
	(T)10	10	11,162	trnR-UCU-atpA	LSC
	(1)10	10	59,781	rbcL-accD	LSC
		10	66,291	petA-psbJ	LSC
		10	69,202	petL-petG	LSC
	(C)10	10	5236	trnK-UUU-rps16	LSC
		11	19,678	rpoC2	LSC
	(T)11	11	50,871	trnF-GAA-ndhJ	LSC
		11	61,662	accD-psaI	LSC
		11	72,263	rpl20-clpP	LSC
p1		11	74,741	clpP intron2	LSC
	(T)12	12	20,216	rpoC2	LSC
		12	81,254	rpoA	LSC
		12	83,666	rps8-rpl14	LSC
	(4)12	13	12,741	atpA-atpF	LSC
	(A)15	13	46,877	ycf3-trnS-GGA	LSC
		13	14,109	atpF-atpH	LSC
	(T)12	13	34,486	trnT-GGU-psbD	LSC
	(1)15	13	37,645	psbC-trnS-UGA	LSC
		13	86,860	rpl22-rps19	LSC
	(T)14	14	48,630	rps4-trnT-UGU	LSC
	(A)15	15	33,163	trnE-UUC-trnT-GGU	LSC
	(A)16	16	46,618	ycf3 intron2	LSC
	(A)19	19	44,559	psaA-ycf3	LSC
	(T)19	19	117,928	ndhD	SSC
	(A)20	20	29,957	trnC-GCA-petN	LSC

Table 5. Distribution of SSR loci in the chloroplast genome of Forsythia suspensa.

SSR Type #	SSR Sequence	Size	Start	SSR Location	Region
		10	4646	trnK-UUU-rps16	LSC
	(AT)5	10	6558	rps16-trnQ-UUG	LSC
		10	21,057	rpoC2	LSC
p2	(TA)5	10	69,619	trnW-CCA-trnP-UGG	LSC
		12	48,772	rps4-trnT-UGU	LSC
	(TA)6	12	49,291	trnT-UGU-trnL-UAA	LSC
		12	69,931	trnP-UGG-psaJ	LSC
p3	(CCT)4	12	69,371	petG-trnW-CCA	LSC
p4	(AAAG)3	12	73,413	clpP intron1	LSC
	(TCTT)3	12	31,191	petN-psbM	LSC
	(TTTA)3	12	55,102	trnM-CAU-atpE	LSC
	(AAAT)4	16	9284	psbI-trnS-GCU	LSC
p5	(TCTAT)3	15	9458	trnS-GCU-trnG-GCC	LSC
	-	23	17,456	rps2-rpoC2	LSC
	-	27	63,589	ycf4-cemA	LSC
c	-	33	78,324	petB intron	LSC
c	-	45	71,570	rps18-rpl20	LSC
	-	59	38,501	psbZ-trnG-UCC	LSC
	-	90	57,078	atpB *	LSC

Table 5. Cont.

<sup>#</sup> p1: mono-nucleotide; p2: di-nucleotide; p3: tri-nucleotide; p4: tetra-nucleotide; p5: penta-nucleotide; c: compound; \* part in the gene.

#### 2.5. Predicted RNA Editing Sites in the F. suspensa Chloroplast Genes

In the *F. suspensa* cp genome, we predicted 52 RNA editing sites, which occurred in 21 genes (Table 6). The *ndhB* gene contained the most editing sites (10), and this finding was consistent with other plants such as rice, maize, and tomato [40–42]. Meanwhile, the genes *ndhD* and *rpoB* were predicted to have six editing sites: *matK*, five; ropC2, three; *accD*, *ndhA*, *ndhF*, *ndhG*, and *petB*, two; and one each in *atpA*, *atpF*, *atpl*, *ccsA*, *petG*, *psbE*, *rpl2*, *rpl20*, *rpoA*, *rps2*, and *rps14*. All these editing sites were C-to-U transitions. The editing phenomenon was also commonly found in the chloroplasts and mitochondria of seed plants [43]. The locations of the editing sites in the first, second, and third codons were 14, 38, and 0, respectively. Of the 52 sites, twenty were U\_A types, which was similar codon bias to previous studies of RNA editing sites [10,44]. In addition, forty-eight RNA editing events in the *F. suspensa* cp genome led to acid changes for highly hydrophobic residues, such as leucine, isoleucine, valine, tryptophan, and tyrosine. The conversions from serine to leucine were the most frequent transitions. As a form of post-transcriptional regulation of gene expression, the feature has already been revealed by most RNA editing researches [44]. Notably, our results provide additional evidence to support the above conclusion.

Table 6. The predicted RNA editing site in the Forsythia suspensa chloroplast genes.

Gene	Codon Position	Amino Acid Position	Codon (Amino Acid) Conversion	Score
	794	265	uCg (S) => uUg (L)	0.8
accD	1403	468	$cCu(P) \Rightarrow cUu(L)$	1
atpA	914	305	uCa (S) => uUa (L)	1
atpF	92	31	$cCa(P) \Rightarrow cUa(L)$	0.86
atpI	629	210	uCa (S) => uUa (L)	1
ccsA	71	24	aCu (T) => aUu (I)	1
	271	91	Ccu (P) => Ucu (S)	0.86
	460	154	Cac (H) => Uac (Y)	1
matK	646	216	Cau (H) => Uau (Y)	1
	1180	394	Cgg(R) => Ugg(W)	1
	1249	417	Cau (H) => Uau (Y)	1

Gene	Codon Position	Amino Acid Position	Codon (Amino Acid) Conversion	Score
ndhA	344 569	115 190	uCa (S) => uUa (L) uCa (S) => uUa (L)	1 1
	149	50	$\mu Ca(S) => \mu Ua(L)$	1
	467	156	cCa(P) => cUa(L)	1
	586	196	Cau(H) => Uau(Y)	1
	611	204	uCa(S) => uUa(L)	0.8
11 D	737	246	cCa(P) => cUa(L)	1
ndhB	746	249	uCu (S) => uUu (F)	1
	830	277	uCa (S) => uUa (L)	1
	836	279	uCa (S) => uUa (L)	1
	1292	431	$uCc (S) \Rightarrow uUc (F)$	1
	1481	494	cCa (P) => cUa (L)	1
	2	1	$aCg(T) \Rightarrow aUg(M)$	1
	47	16	uCu (S) => uUu (F)	0.8
u dh D	313	105	$Cgg(R) \Rightarrow Ugg(W)$	0.8
nunD	878	293	uCa (S) => uUa (L)	1
	1298	433	uCa (S) => uUa (L)	0.8
	1310	437	uCa (S) => uUa (L)	0.8
	290	97	uCa (S) => uUa (L)	1
ndhF	671	224	uCa (S) => uUa (L)	1
	314	105	aCa (T) => aUa (I)	0.8
ndhG	385	129	Cca(P) => Uca(S)	0.8
	418	140	$C\sigma\sigma(R) \Longrightarrow U\sigma\sigma(W)$	1
petB	611	204	cCa(P) => cUa(L)	1
petG	94	32	Cuu (L) => Uuu (F)	0.86
psbE	214	72	Ccu (P) => Ucu (S)	1
rpl2	596	199	$gCg(A) \Rightarrow gUg(V)$	0.86
rpl20	308	103	uCa (S) => uUa (L)	0.86
rpoA	830	277	uCa (S) => uUa (L)	1
	338	113	uCu (S) => uUu (F)	1
	551	184	uCa (S) => uUa (L)	1
	566	189	uCg(S) => uUg(L)	1
тров	1672	558	$Ccc (P) \Longrightarrow Ucc (S)$	0.86
	2000	667	uCu (S) => uUu (F)	1
	2426	809	uCa (S) => uUa (L)	0.86
	1792	598	Cgu (R) => Ugu (C)	0.86
rpoC2	2305	769	Cgg(R) => Ugg(W)	1
	3746	1249	uCa (S) => uUa (L)	0.86
rps2	248	83	uCa (S) => uUa (L)	1
	80	27	uCa (S) => uUa (L)	1
rps14	149	50	cCa (P) => cUa (L)	1

Table 6. Cont.

## 2.6. Phylogeny Reconstruction of Lamiales Based on Complete Chloroplast Genome Sequences

Complete cp genomes comprise abundant phylogenetic information, which could be applied to phylogenetic studies of angiosperm [11,45,46]. To identify the evolutionary position of *F. suspensa* within Lamiales, an improved resolution of phylogenetic relationships was achieved by using these whole cp genome sequences of 36 Lamiales species. Three species, *C. Arabica, I. purpurea,* and *O. nivara* were also chosen as outgroups. The Maximum likelihood (ML) bootstrap values were fairly high, with values  $\geq$ 98% for 32 of the 36 nodes, and 30 nodes had 100% bootstrap support (Figure 4). *F. suspensa,* whose cp genome was reported in this study, was closely related to *A. distichum,* which then formed a cluster with *H. palmeri, J. nudiflorum,* and the Olea species from Oleaceae with 100% bootstrap supports. Notably, Oleaceae diverged relatively early from the Lamiales lineage. In addition, four phylogenetic relationships were only supported by lower ML bootstrap values. This was possibly a result of less samples in these families. The cp genome is also expected to be useful in resolving the deeper branches of the phylogeny, along with the availability of more whole genome sequences.



Figure 4. Maximum likelihood phylogeny of the Lamiales species inferred from complete chloroplast genome sequences. Numbers near branches are bootstrap values of 100 pseudo-replicates. The tree on the right panel was constructed manually by reference to the left one, and the distance of branches was meaningless. The branches without numbers indicate 100% bootstrap supports.

# 3. Materials and Methods

## 3.1. Plant Materials

Samples of *F. suspensa* were collected in Zezhou County, Shanxi Province, China. The voucher specimens were deposited in the Herbarium of Shanxi Agricultural University, Taigu, China. Additionally, the location of the specimens was not within any protected area.

# 3.2. DNA Library Preparation, Sequencing, and Genome Assembly

Genomic DNA was extracted from fresh young leaves of the *F. suspensa* plant using the mCTAB method [47]. Genomic DNA was fragmented into 400–600 bp using a Covaris M220 Focused-ultrasonicator (Covaris, Woburn, MA, USA). Library preparation was conducted using NEBNext<sup>®</sup> Ultra<sup>™</sup> DNA Library Prep Kit Illumina (New England, Biolabs, Ipswich, MA, USA). Sample sequencing was carried out on an Illumina Hiseq 4000 PE150 platform.

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Next, raw sequence reads were assembled into contigs using SPAdes [48], CLC Genomics Workbench 8 (Available online: http://www.clcbio.com), and SOAPdenovo2 [49], respectively. Chloroplast genome contigs were selected by BLAST (Available online: http://blast.ncbi.nlm.nih. gov/) [50] and were assembled by Sequencher 4.10 (Available online: http://genecodes.com/). All reads were mapped to the cp genome using Geneious 8.1 [51], which verified the selected contigs. The closing of gaps was accomplished by special primer designs, PCR amplification, and Sanger sequencing. Finally, we obtained a high-quality complete *F. suspensa* cp genome, and the result was submitted to NCBI (Accession Number: MF579702).

#### 3.3. Genome Annotation and Comparative Genomics

Chloroplast genome annotation was performed using DOGMA (Dual Organellar GenoMe Annotator) [52] (Available online: http://dogma.ccbb.utexas.edue). Putative protein-coding genes, tRNAs, and rRNAs were identified by BLASTX and BLASTN searches (Available online: http://blast. ncbi.nlm.nih.gov/), respectively. The cp genome was drawn using OrganellarGenomeDRAW [53] (Available online: http://ogdraw.mpimp-golm.mpg.de/index.shtml), with subsequent manual editing. The boundaries between the IR and SC regions of *F. suspensa* and six other Lamiales species were compared and analyzed.

#### 3.4. Repeat Sequence Analyses

The REPuter program [54] (Available online: https://bibiserv.cebitec.uni-bielefeld.de/reputer) was used to identify repeats including forward, reverse, palindrome, and complement sequences. The length and identity of the repeats were limited to  $\geq$ 30 bp and >90%, respectively, with the Hamming distance equal to 3 [55,56]. The cp SSRs were detected using MISA [57] with the minimum repeats of mono-, di-, tri-, tetra-, penta-, and hexanucleotides set to 10, 5, 4, 3, 3, and 3, respectively.

## 3.5. Codon Usage

To ensure sampling accuracy, only 52 PCGs with a length >300 bp were selected for synonymous codon usage analysis. Two relevant parameters, Nc and RSCU, were calculated using the program CodonW1.4.2 (Available online: http://downloads.fyxm.net/CodonW-76666.html). Nc is often utilized to evaluate the codon bias at the individual gene level, in a range from 20 (extremely biased) to 61 (totally unbiased) [58]. RSCU is the observed frequency of a codon divided by the expected frequency. The values close to 1.0 indicate a lack of bias [59]. AA frequency was also calculated and expressed by the percentage of the codons encoding the same amino acid divided by the total codons.

## 3.6. Prediction of RNA Editing Sites

Prep-Cp [60] (Available online: http://prep.unl.edu/) and CURE software [61] (Available online: http://bioinfo.au.tsinghua.edu.cn/pure/) were applied to the prediction of RNA editing sites, and the parameter threshold (cutoff value) was set to 0.8 to ensure prediction accuracy.

#### 3.7. Phylogenomic Analyses

ML phylogenetic analyses were performed using the *F. suspensa* complete cp genome and 32 Lamiales plastomes with three species, *Coffea arabica, Ipomoea purpurea,* and *Oryza nivara,* as outgroups (Table S1). All of the plastome sequences were aligned using MAFFT program version 7.0 [62] (Available online: http://mafft.cbrc.jp/alignment/server/index.html) and adjusted manually where necessary. These plastome nucleotide alignments were subjected to ML phylogenetic analyses with MEGA7.0 [63] based on the General Time Reversible model. A discrete Gamma distribution was used to model evolutionary rate differences among sites. The branch support was estimated by rapid bootstrap analyses using 100 pseudo-replicates.

# 4. Conclusions

The cp genome of the medicinal plant *F. suspensa* was reported for the first time in this study and its organization is described and compared with that of other Lamiales species. This genome is 156,404 bp in length, with a similar quadripartite structure and genomic contents common to most land plant genomes. The low GC content of the cp genome might caused the codon usage bias toward A- or T-ending codons. All of the predicted RNA editing sites in the genome were C-to-U transitions. Among several relative species, the genome size and IR expansion or contraction exhibited some differences, and the divergent regions were also analyzed. Repeat sequences and SSRs within *F. suspensa* were analyzed, which may be useful in developing molecular markers for the analyses of infraspecific genetic differentiation within the genus *Forsythia* (Oleaceae). Phylogenetic analysis based on the entire cp genome revealed that *F. suspensa*, as a member of the Oleaceae family, diverged relatively early from Lamiales. Overall, the sequences and annotation of the *F. suspensa* cp genome will facilitate medicinal resource conservation, as well as molecular phylogenetic and genetic engineering research of this species.

Supplementary Materials: Supplementary materials can be found at www.mdpi.com/1422-0067/18/11/2288/s1.

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# Article Whole-Genome Comparison Reveals Heterogeneous Divergence and Mutation Hotspots in Chloroplast Genome of *Eucommia ulmoides* Oliver

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Abstract: Eucommia ulmoides (E. ulmoides), the sole species of Eucommiaceae with high importance of medicinal and industrial values, is a Tertiary relic plant that is endemic to China. However, the population genetics study of *E. ulmoides* lags far behind largely due to the scarcity of genomic data. In this study, one complete chloroplast (cp) genome of E. ulmoides was generated via the genome skimming approach and compared to another available E. ulmoides cp genome comprehensively at the genome scale. We found that the structure of the cp genome in E. ulmoides was highly consistent with genome size variation which might result from DNA repeat variations in the two E. ulmoides cp genomes. Heterogeneous sequence divergence patterns were revealed in different regions of the E. ulmoides cp genomes, with most (59 out of 75) of the detected SNPs (single nucleotide polymorphisms) located in the gene regions, whereas most (50 out of 80) of the indels (insertions/deletions) were distributed in the intergenic spacers. In addition, we also found that all the 40 putative coding-region-located SNPs were synonymous mutations. A total of 71 polymorphic cpDNA fragments were further identified, among which 20 loci were selected as potential molecular markers for subsequent population genetics studies of E. ulmoides. Moreover, eight polymorphic cpSSR loci were also developed. The sister relationship between E. ulmoides and Aucuba japonica in Garryales was also confirmed based on the cp phylogenomic analyses. Overall, this study will shed new light on the conservation genomics of this endangered plant in the future.

Keywords: *Eucommia ulmoides;* chloroplast genome; heterogeneous divergence; mutation hotspots; whole-genome comparison

## 1. Introduction

There are profuse paleoendemics (e.g., Eucommiaceae) and/or phylogenetically primitive taxa (e.g., Cercidiphyllaceae) in China due to the glaciation refuge role played during the Quaternary period [1,2]. Unfortunately, up to *circa* 5000 flora species are currently endangered in China, some of which have already become extinct [3]. Many plant species with important medicinal values have also been threatened seriously due to the increasing demand for raw materials of medicines, over-harvesting and habitat-loss [4–6]. Conservation of medicinal plants has become one of the most urgent issues faced today in China.

*Eucommia ulmoides* Oliver, a dioecious woody plant endemic to China, is the sole species in the family Eucommiaceae [7]. *E. ulmoides* has been widely cultivated and used as a herbal drug to reduce blood pressure and strengthen the body in central and southern China for at least 2000 years [8,9]. *E. ulmoides* 

is also well-known as a "hardy rubber" tree that produces trans-polyisoprene rubber (i.e., gutta or Eu-rubber) in the leaves, bark, and pericarp [10,11]. It has been shown that *Eucommia* fossils occurred widely across the Northern Hemisphere from the Palaeocene onwards [12], which indicates that *E. ulmoides* is a representative model of Tertiary relict species, i.e., living from Tertiary to present. However, *E. ulmoides* may have been extinct in the wild and already listed in the Red List of Endangered Plant Species in China probably due to exhaustive human exploration [13,14]. Therefore, effective strategies are urgently needed to conserve this rare and endangered medicinal plant.

To date, studies on *E. ulmoides* have mainly focused on the morphological variation and the natural products [8,15]. Molecular and population genetics studies of this valuable tree lag behind largely due to limited DNA sequence resources [16,17]. Recently, nuclear microsatellites (nrSSR) were developed to investigate the genetic diversity of *E. ulmoides* [18,19]. Amplified fragment length polymorphism (AFLP) and sequence-related amplified polymorphism (SRAP) have been used to construct genetic maps of *E. ulmoides* [20,21]. The genetic markers of random amplified polymorphic DNA (RAPD), chloroplast microsatellite (cpSSR) and inter-simple sequence repeat (ISSR) have also been uncovered [22–24]. Nevertheless, the variability of these developed fingerprinting markers in *E. ulmoides* is relatively low, with limited population genetics information. A new and promising marker type i.e., Single Nucleotide Polymorphism (SNP) has gained high popularity during the last two decades [25,26]. With the on-going progress of high throughput sequencing techniques, it has become convenient to collect large-scale SNP data for genetic analyses [27,28]. Using SNP markers in conservation genetics studies of endangered plants has attracted much attention; for instance, in *Pinus ponderosa* Douglas ex Lawson [29], and *Sciadopitys verticillata* (Thunb.) Siebold and Zucc [30].

Chloroplast (cp) DNA sequences have been extensively used in the studies of plant population genetics and molecular phylogenetics [31–33]. Typically, cp genomes of land plants have a quadripartite structure with a pair of inverted repeats (IRs) separating a large single-copy (LSC) region and a small single-copy (SSC) region, ranging from 115 to 165 kilobase (kb) [34]. The cp genomes in general are inherited uniparentally, mostly maternally and are essentially recombination-free, leading to a smaller effective population size and a shorter coalescent time than the nuclear genomes [35]. Recently Wang et al. [17] reported a cp genome sequence of *E. ulmoides* with a length of 163,341 bp. Clearly, the availability of additional sequenced cp genomes from *E. ulmoides* would aid our understanding of the cp genome-wide variation at the individual level. Through comparative genomic analysis, polymorphic cpDNA loci with plentiful SNPs and indels i.e., nucleotide insertions and deletions can also be detected, which would be useful for further population genetics studies of *E. ulmoides*.

Genome skimming is currently one of the most economical techniques to obtain plastome sequences [36], through which obtaining complete cp genomes for plant phylogenomics inference becomes convenient [37]. In this study, we generated and characterized one complete cp genome of *E. ulmoides* using the genome skimming approach. By comparing the cp genome generated in this study and the one published previously [17], our main goals were to: (1) test whether the cp genomes in *E. ulmoides* show structural rearrangements; (2) reveal the divergence pattern of the cp genome in *E. ulmoides*; (3) identify highly variable cp genome-wide markers for subsequent population genetics studies of *E. ulmoides*.

#### 2. Results

#### 2.1. Chloroplast Genome Variation in E. ulmoides

About 20 million clean reads (4.72 Gb data) were generated from genome skimming sequencing. Two assembly methods (CLC Genomics Workbench and SPAdes software) both obtained the complete cp genome of *E. ulmoides* with high genome coverage ( $>180\times$ ) and there is no difference between the two assembled sequences, suggesting a high-quality cp genome map was achieved. The final cp genome size was determined to be 163,586 bp, similar to the previously published one (KU204775) (Table 1). The number of protein-coding genes, tRNA genes and rRNA genes, were the same as those in

the available *E. ulmoides* cp genome (KU204775). We have deposited the newly sequenced *E. ulmoides* cp genome in GenBank with accession number MF766010. The genome skimming sequencing reads have also been deposited in the Sequence Read Archive (SRA) with the accession number PRJNA399774.

**Table 1.** Comparison between the newly and previously sequenced chloroplast genomes of *Eucommia ulmoides*.

Item	This Study	KU204775
Chloroplast genome size (bp)	163,586	163,341
LSC <sup>a</sup> length (bp)	86,764	86,592
SSC <sup>b</sup> length (bp)	14,166	14,149
IRa/IRb <sup>c</sup> length (bp)	31,328	31,300
Number of genes (unique genes)	136 (115)	136 (115)
Number of protein-coding genes (unique genes)	89 (80)	89 (80)
Number of tRNA genes (unique genes)	39 (31)	39 (31)
Number of rRNA genes (unique genes)	8 (4)	8 (4)
GC <sup>d</sup> content (%)	38.33%	38.34%
Protein-coding regions (%)	51.91%	51.99%

<sup>a</sup> LSC, large single-copy region; <sup>b</sup> SSC, small single-copy region; <sup>c</sup> IRa/IRb, two identical inverted repeat regions a/b; <sup>d</sup> GC, Guanine and Cytosine.

The whole genome alignments from MAFFT (Figure 1A) and MAUVE (Figure 1B) were consistent. There were no large genome rearrangements in the two cp genomes, which indicated that the cp genome structure in *E. ulmoides* is highly conserved and perfectly syntenic (Figure 1). Interestingly, small-scale nucleotide insertions and deletions were detected in the *E. ulmoides* cp genome. We found 15 insertions with more than ten nucleotides (11–111 bp) in the two cp genomes (Table 2). Five deletions in the range of 16–90 bp were also uncovered. It is worth noting that all of these insertions and deletions were involved in repeat sequence expansions and contractions (Table 2). Furthermore, across the entire cp genome of *E. ulmoides*, the sequence divergences were not uniform but highly heterogeneous (Figure 1).



**Figure 1.** Conserved chloroplast genome structure in *Eucommia ulmoides*. (A) Pairwise chloroplast genome alignments derived from Multiple Alignment using Fast Fourier Transform (MAFFT) program. The sequence identity is indicated on the top. Label KU204775.1 represents the *E. ulmoides* chloroplast genome retrieved from GenBank, while label *E. ulmoides* indicates the newly sequenced genome in this study. (B) Pairwise chloroplast genome alignments derived from MAUVE software.

No.	Size (bp)	Start Position	Location	Туре
1	56	6851	rps16-trnT(UGU)	insertion
2	27	7006	rps16-trnT(UGU)	insertion
3	45	7196	rps16-trnT(UGU)	insertion
4	13	12,693	ycf3-psaA	insertion
5	23	12,912	ycf3-psaA	insertion
6	111	13,312	ycf3-psaA	insertion
7	12	13,471	ycf3-psaA	insertion
8	32	24,279	psbD-trnT(GGU)	insertion
9	12	26,615	trnD(GUC)-psbM	insertion
10	11	51,194	rps12-rpl20	insertion
11	17	52,547	rps18-rpl33	insertion
12	40	57,075	psbJ-petA	insertion
13	18	64,506	accD-trnM(CAU)	insertion
14	12	73,717	trnL(UAA) intron	insertion
15	14	127,486	ndhG-ndhI	insertion
16	16	4673	trnK(UUU)-rps16	deletion
17	44	24,700	trnG(TTU)-trnE(UUC)	deletion
18	31	41,767	atpI-atpH	deletion
19	44	51,109	rps12-rpl20	deletion
20	90	62,865	accD-trnM(CAU)	deletion

**Table 2.** DNA insertions and deletions with more than 10 nucleotides in the chloroplast genomes of *Eucommia ulmoides*.

#### 2.2. Molecular Marker Development

A total of 155 mutational events, including 75 nucleotide substitutions (SNPs) and 80 nucleotide indels (insertions and deletions), were detected within 71 loci of the cp genome in *E. ulmoides* (Figure 2). There were 98 mutations (51 SNPs and 47 indels) and 15 mutations (12 SNPs and 3 indels) in the LSC and SSC regions, respectively. In addition, 42 mutations (12 SNPs and 30 indels) were located in the IR region. Distribution patterns of SNPs and indels differed largely in the cp genic and intergenic regions of *E. ulmoides*. Most of the SNPs (59 out of 75) were found in the gene sequences, including 31 protein-coding genes and one tRNA gene. In contrast, indels were mainly (50 out of 80) distributed in the intergenic spacers (Figure 2). Upon further investigation of SNPs and indels in the nine intron-containing protein-coding genes (*atpF*, *ndhA*, *ndhB*, *rpl2*, *rpl16*, *rpoC1*, *rps12*, *rps16*, *ycf*3), we found that all the mutations were located in the intron regions. In all, 40 SNPs and 14 indels occurred in the plastid-coding sequence (CDS) regions.

The proportion of variability in the 71 polymorphic loci ranged from 0.03% to 1.55% with a mean value of 0.37% (Figure 3). The mutation rates in most (53 out of 71) of the loci were between 0.10 and 1.00%. Five of these DNA fragments i.e., *atpF-atpA*, *rps18-rpl33*, *psaJ*, *infA* and *rpl32* had variations exceeding 1.00%. Considering the relatively high percentage of variability and convenience for primer design in PCR (Polymerase Chain Reaction) and sequencing experiments, we chose 20 highly variable loci with length of 200–1500 bp as potential molecular markers for subsequent population genetic studies (Table 3). The percentage of variations in these 20 loci all exceeded 0.25%, among which 16 had a percentage of variable characters (VCs) greater than 0.30% (Table 3).

Through SSR analysis, we found a total of 31 SSR loci in the newly assembled *E. ulmoides* cp genome, among which 27 were shared by the two genomes. Further detection revealed that eight cpSSR loci were polymorphic in *E. ulmoides* (Table 4). All the polymorphic cpSSR loci were mononucleotide repeats, ranging from 10–15 bp in length. Five polymorphic cpSSR loci were located in the LSC region, with another three ones in the IR regions (Table 4).



**Figure 2.** Mutational events (SNPs and indels) detected across the chloroplast genome of *Eucommia ulmoides*. SNPs (single nucleotide polymorphisms) indicate nucleotide substitutions and indels represent nucleotide insertions and deletions. The homologous loci are oriented according to their locations in the chloroplast genome.



**Figure 3.** Percentage of variable characters (SNPs and indels) in polymorphic chloroplast loci in *Eucommia ulmoides*. The homologous loci are oriented according to their locations in the chloroplast genome.

Region	Aligned Length (bp)	No. VCs <sup>a</sup>	Percentage of VCs (%)
infA	234	3	1.28
rps18-rpl33	343	4	1.17
rps12	369	3	0.81
rrn5-trnR(ACG)	266	2	0.75
ycf15	210	1	0.48
trnI(GAU)	1062	5	0.47
petB	651	3	0.46
ycf3-psaA	1502	6	0.40
trnT(GGU)-atpE	261	1	0.38
ndhG	531	2	0.38
ycf4	546	2	0.37
trnG(UCC)-psbZ	280	1	0.36
rps16	1170	4	0.34
trnA(UGG)	881	3	0.34
ndhB-rps7	325	1	0.31
psbK-trnQ(UUG)	338	1	0.30
trnI(CAU)-ycf2	357	1	0.28
ycf15-trnL(CAA)	359	1	0.28
psbB	1521	4	0.27
rpl20	384	1	0.26

**Table 3.** The 20 chloroplast DNA fragments with relative high genetic divergences identified in *Eucommia ulmoides*.

<sup>a</sup> VCs: variable characters, including SNPs and indels.

Table 4. The polymorphic chloroplast SSRs identified in E	ıcommia ulmoides.
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No.	SSR Repeat Motif	Length Variation (bp)	Location	Region <sup>a</sup>
1	(G)	10-11	trnG(UCC)-psbZ	LSC
2	(A)	12–15	rpoC2	LSC
3	(A)	12–13	ycf1	IRb
4	(A)	13–14	rpl32-trnL(UAG)	IRa
5	(T)	10-14	psbJ-petA	LSC
6	(T)	10-11	trnG(GCC)-trnS(GCU)	LSC
7	(T)	12–13	ycf1	IRa
8	(T)	14–15	rpl16-rps3	LSC

<sup>a</sup> LSC, large single-copy region; IRa/IRb, two identical inverted repeat regions a/b.

## 2.3. SNP Calling and Phylogenomic Inference

The SNPs calling analysis using the previously published *E. ulmoides* cp genome (KU204775) as reference revealed a total of 75 SNPs. This result of SNP occurrence was consistent with the aforementioned molecular marker analysis (75 SNPs, Figure 2), which indicated that the detected SNPs were really present in different individuals of *E. ulmoides*. Further examination of the 40 SNPs in the CDS regions suggested that all these SNPs were synonymous, i.e., no amino acid change at the protein level. There were 34 transitions and six transversions in the protein-coding region SNPs. The average frequency of SNPs occurrence in the *E. ulmoides* cp genome was calculated as 0.46 per kb.

The final length of the supermatrix dataset contained 96,894 unambiguously aligned nucleotide characters. Three methods produced a congruent phylogenetic tree, shown in Figure 4. Eudicots, monocots and magnoliids were all highly supported to be monophyletic (94–100/90–100/1.00). Magnoliids diverged firstly, followed by monocots, then Chloranthales and eudicots, with relatively low (60–72/74–80/0.90–0.99) support values. Two *E. ulmoides* individuals clustered together with high statistical support values (100/100/1.00), which was subsequently sister to *Aucuba japonica* Thunb.

in the Garryales clade with high statistical support values (100/100/1.00). Garryales, Gentianales and Solanales formed as the highly supported lamiids lineage (100/100/1.00), resolved as (Garryales, (Gentianales, Solanales)). Asterales and Dipsacales formed a highly supported clade, campanulids (100/100/1.00), which was sister to lamiids (100/100/1.00) in asterids. Ericales was resolved as the basal most group in the asterids lineage (100/100/1.00). Brassicales, Malvales, Myrtales and Sapindales clustered in the malvids clade (95/98/1.00) with resolution as (((Brassicales, Malvales), Sapindales), Myrtales). Fabales, Malpighiales and Rosales were located in the fabids lineage (98/100/1.00), which was a sister clade to malvids in rosdis.



**Figure 4.** Maximum likelihood (ML) tree for 34 taxa based on 80 unique plastid protein-coding genes of *Eucommia ulmoides*. Values above the branches represent maximum parsimony bootstrap (MPBS)/maximum likelihood bootstrap (MLBS)/Bayesian inference posterior probability (PP). The newly sequenced *Eucommia ulmoides* chloroplast genome is indicated by red color and the previously published *E. ulmoides* chloroplast genome is followed by its GenBank accession number KU204775.

## 3. Discussion

## 3.1. Conserved Chloroplast Genome Structure in E. ulmoides

Land plant cp genomes are generally inherited as a haplotype with no recombination, providing useful genetic information to trace relationships between different species [35,38]. Within species cp genome structure was highly conserved [39]. As expected, it is the case in *E. ulmoides* in terms of the contained genes and coding regions in the cp genomes (Table 1). Further whole-genome alignments suggested that the two cp genomes of *E. ulmoides* did not show genome rearrangement having the same linear gene order (Figure 1). As such it is reasonable to use cp genomes for subsequent conservation genomics studies on *E. ulmoides*.

It is noteworthy that the newly sequenced cp genome of *E. ulmoides* (163,586 bp) in this study is 245 bp larger than that of the previously reported one (163,341 bp, [17]) (Table 1). The cp genome size variation within different individuals of the same species has been reported for several other plants, such as in *Camptotheca acuminate* Decne. with its size varied as 157,806 bp [40], 157,877 bp [41] and 162,382 bp [42]. Nuclear genome size variations in plants are mostly caused by the repeats activities (e.g., expansions/contractions) via illegitimate recombination in addition to polyploidy [43–47]. In the two *E. ulmoides* cp genomes, we detected 15 insertions and five deletions, with more than 10 nucleotides for each (Table 2). All these sequences were observed to be part of or the whole DNA

repeats. For instance, the repeat sequences in *rps16-trnT(UGU)*, *psbD-trnT(GGU)*, *rps12-rpl20* and *accD-trnM(CAU)* have been detected in the study of Wang et al. [17] as well. Therefore, potentially the illegitimate recombination between repeat regions of *E. ulmoides* cp genome may contribute to the cp genome size variation.

#### 3.2. Heterogeneous Divergence in E. ulmoides Chloroplast Genome

Heterogenous divergence patterns in cp genomes have been reported in several plant groups, such as in Actinidiaceae [37] and in Poaceae [48]. The alignment of the two available cp genomes of *E. ulmoides* revealed highly heterogeneous sequence divergences within this species (Figures 1 and 2). All the identified SNPs and indels from the intron-containing protein-coding genes were located in the intron regions. Due to natural selection CDS regions are in general more conserved than non-coding regions (i.e., intergenic sequences and introns) [49]. In addition, nucleotide substitutions likely have less destructive effect to the integrity of open reading frame (ORF) than indels [50]. We, thus, speculated that this functional constraint may lead to the contrasting occurrences of SNPs and indels in the *E. ulmoides* cp genomes.

The occurrence of synonymous SNPs was more abundant than that of the non-synonymous SNPs in CDS regions because of selection process [51,52]. As expected, all the SNPs detected in protein-coding regions were synonymous. Moreover, since the transitions rather than the transversions usually would generate more synonymous mutations in the CDS the transitions SNPs are more easily retained than the transversion ones [52,53]. In this study we found that the transition SNPs (34) were indeed more frequently detected than the transversion SNPs (6). Previous studies have reported a high level of nuclear genetic diversity at the population level of *E. ulmoides* [18,54]. In this study, we revealed that the frequency of plastid SNPs were 0.46 per kb at the whole cp genome level, lower than the average of 1.02 per kb in the nuclear genes of *E. ulmoides* [54]. The difference of SNP frequency between the cp genome and the nuclear genes could be caused by insufficient sampling in this study and/or different variation rates between the plastid and nuclear sequences.

## 3.3. Mutation Hotspots in E. ulmoides Chloroplast Genome

In general, protein-coding genes in the cp genome have lower sequence variation than the non-coding loci, for instance in bamboos [55] and mimosoid legume [56]. However, an accelerated variation rate of some plastid protein-coding genes has been reported, such as the *psb* in Poaceae [51], *rps* in Saxifragales [57], and *accD* and *rpl20* in Actinidiaceae [37]. In *E. ulmoides*, we found three protein-coding genes (*infA*, *psaJ* and *rpl32*) that varied the most quickly, all having variations exceeding 1.2% (Figure 3). The gene *infA* encodes translation initiation factor 1 and has been found missing in cp genomes of several plant lineages, e.g., in rosids [58]. The other two genes i.e., *psaJ* and *rpl32* code for photosystem I protein J and ribosomal protein L32, respectively, both of which are short in length with the former having 129 bp and the latter 138 bp (this study and [17]). The relatively high level of variation of these genes in *E. ulmoides* indicates that they are less constrained. Abnormal DNA replication, repair or recombination [59,60] may lead to the elevated divergence of these genes.

The genetic markers of SSR, AFLP and SRAP have been developed and used for the population genetics studies of *E. ulmoides* [18,20,21]. However, the above fingerprinting markers [23,24] may provide insufficient genetic information to resolve the population structure and history of *E. ulmoides*. The relationships among natural and cultivated populations of *E. ulmoides* are elusive at present [18,24]. SNP markers are ample in plant cp genomes, making them useful candidates for population genetics studies. Using cp genome SNP markers has widely received attention during the past few years with the advances of high throughput sequencing techniques [61,62]. Highly variable cpDNA fragments have been mined for phylogenetic and population genetic studies in several species using cp genomes data, such as in kiwifruit [37] and temperate woody bamboos [55]. Given that it would be easy to amplify and sequence DNA fragments with length from *circa* 200 to 1500 bp using Sanger sequencing method [62,63], we thus chose 20 cpDNA loci with relatively high genetic divergences as potential

molecular markers (Table 3) for subsequent population genomics studies of *E. ulmoides*. These selected plastid genome-wide loci would genetically be informative for uncovering the genetic relationships among the natural and cultivated *E. ulmoides* populations.

Additionally, 27 cpSSR loci identified by Wang et al. [17] were also confirmed in our SSR analysis, among which eight were further mined as polymorphic cpSSR loci (Table 4). Given that polymorphic cpSSR loci could be applied as useful markers to meet certain study purposes under the circumstances of limited budget [64,65], the newly developed polymorphic cpSSR loci in *E. ulmoides* here would be potential genetic markers to facilitate subsequent population genetics studies in the future.

## 3.4. Phylogenomic Validation of E. ulmoides

The newly obtained *E. ulmoides* cp genome was further validated via phylogenomic analyses using 34 complete plastomes from 10 major lineages of angiosperms. The resulting phylogenomic tree highly supported the clade of two *E. ulmoides* cp genomes (Figure 4), confirming the validity of the assembled and annotated cp genome of *E. ulmoides* in this study. The sister relationship between *E. ulmoides* and *A. japonica* in the Garryales clade was highly supported, which is consistent with the results derived from five organellar genes [66] and 36 plastid genes [17], supporting the classification of *E. ulmoides* (Eucommiaceae) in the updated APG IV system [67]. *E. ulmoides* and *A. japonica* are both woody and have unisexual flowers in separate individuals, which seem to be morphological synapomorphies for the order Garryales [68]. An average of 92.6% identities between 78 common unique cp protein-coding genes in *E. ulmoides* and *A. japonica* were also detected, suggesting a high similarity between the two species at the molecular level. Garryales was shown to be closely related to the clade of (Gentianales + Solanales) in lamiids, in line with the APG IV system [67].

All 20 sampled orders were highly supported to be monophyletic separately (Figure 4), agreeing with the APG IV system [67]. Within eudicots two large sister clades i.e., asterids and rosids were uncovered, and the relationships among these two lineages were highly resolved as ((campanulids, lamiids), Ericales) and ((fabids, malvids), Vitales), respectively as stated previously [69]. The branching patterns of species within campanulids and lamiids are consistent with recent studies [66,70] as (((Gentianales, Solanales), Garryales), (Asterales, Dipsacales)). Our analyses also resolved the phylogenetic relationships within fabids and malvids as (((Brassicales, Malvales), Sapindales), Myrtales), ((Fabales, Rosales), Malpighiales)), consistent with the results of previous studies [17,69]. It is noteworthy that the branching orders of magnoliids, monocots, Chloranthales and eudicots only obtained low-level support values here (Figure 4). Further studies with expanded taxon samples are expected to confirm the phylogeny of these lineages. Moreover, plastome is inherited uniparentally in general, which might introduce biases to species phylogeny inference [71,72]. Analyses using orthologous nuclear genes are also needed for studying the evolutionary history of *E. ulmoides* among the flowering plants [54].

#### 4. Materials and Methods

#### 4.1. Plant Materials and DNA Sequencing

Fresh healthy leaves were collected from an adult male individual of *E. ulmoides* growing in the Arboretum of Northwest Agricuture and Forest University in Yangling, Shanxi, China, in April 2015. After collection, the leaves were immediately immersed in liquid nitrogen and then stored at -80 °C until use. The voucher specimen of this tree was deposited at the Trees Herbarium of Northwest A and F University with accession number ZXZ15027.

Total genomic DNA was extracted by the CTAB method [73]. Paired-end (PE) libraries with insert size *circa* 500 bp were constructed from fragmented genomic DNA based on standard Illumina protocols (Illumina Inc., San Diego, CA, USA). Prepared library was then sequenced for PE 100 bp read length on the Illumina HiSeq 2000 platform at the Beijing Genomics Institute (BGI) in Shenzhen, China.

#### 4.2. Genome Assembly and Annotation

Fastq format PE reads were supplied with adaptor sequences removed. Poor quality reads with phred scores lower than 20 for more than 10% of their bases were also removed. Two independent methods were used to assemble the *E. ulmoides* cp genome. (1) The cp genome was de novo assembled using the CLC Genomics Workbench v7.5 software (CLC Bio, Aarhus, Denmark) based on the clean reads. After discarding contigs with length <300 bp and sequences with coverage <50, the remaining contigs were searched against the available cp genome of *E. ulmoides* (GenBank accession number KU204775) that used as the reference by BLAST (http://blast.ncbi.nlm.nih.gov/) with *e*-value <10<sup>-5</sup>. Aligned contigs with ≥90% similarity and query coverage were determined as cpDNA sequences and ordered according to the reference genome. Small gaps were filled using PE clean reads as conducted in Wang et al. [37]. (2) The clean reads were firstly mapped to the reference cp genome of *E. ulmoides* to determine the proportion of cpDNA using Bowtie v2.3.1 program [74] with a maximum of 3 mismatches. Subsequently, we applied SPAdes v3.9 software [75] with default setting to assemble the cp genome using the determined cpDNA clean reads.

DOGMA software [76] was used for initial cp genome annotation. Start/stop codons and intron/exon boundaries were checked and adjusted manually when necessary by comparing to the reference genome. tRNA genes were confirmed based on tRNAscan-SE 1.21 [77].

#### 4.3. Genome-Wide Comparison and Divergent Hotspot Identification

The previously published cp genome of *E. ulmoides* (accession number: KU204775) was downloaded from GenBank database (https://www.ncbi.nlm.nih.gov/genbank/). This genome was aligned with the *E. ulmoides* cp genome described herein, using MAFFT program [78] and MAUVE software [79], respectively, and manually adjusted where necessary. The obtained pairwise alignment of the cp genomes was visualized in Geneious v9.0 [80]. Moreover, given the genome repeat sequences expansion and contraction may result in genome size variation [81], we examined the DNA insertions and deletions in repeat regions of the two *E. ulmoides* cp genomes.

The two *E. ulmoides* cp genomes were analyzed to identify molecular markers that can be selected in subsequent population genetic studies. We firstly extracted both the genic and intergenic DNA fragments in each cp genome using the "Extract Sequences" option in DOGMA [76]. Then the homologous loci were aligned individually by MUSCLE program (http://www.drive5. com/muscle/) [82] implemented in Geneious v9.0 [80] with default settings. Manual adjustments were made for the alignments where necessary. The proportion of mutational events for each genic and intergenic locus was calculated as follows: the proportion of variation =  $((NS + ID)/L) \times 100$ , where NS = the number of nucleotide substitutions (SNPs), ID = the number of indels (insertions and deletions), L = the aligned sequence length.

Polymorphic cpSSR loci were further mined by genome comparison. Firstly, SSRs in the newly sequenced *E. ulmoides* cp genome were detected by MISA perl script (http://pgrc.ipk-gatersleben.de/misa/). The parameter of minimum repeat unit was set as 10 for mono-, 6 for di-, and 5 for tri-, tetra-, penta-, and hexanucleotide SSRs [83]. Then, all the identified SSR loci were compared to the 29 cpSSR loci of Wang et al. [17] to develop polymorphic cpSSR markers in *E. ulmoides*.

#### 4.4. SNPs Validation and Phylogenomic Analyses

To confirm the SNPs identified by the aforementioned cp genome alignment, we here mapped the genome skimming clean reads generated in this study to the previously published *E. ulmoides* cp genome (KU204775) [17] for SNP calling. Picard-tools v1.41 (http://broadinstitute.github.io/picard/) and samtools v0.1.18 [84] were applied to sort and remove duplicated reads and merge the bam alignment results. GATK3 software [85] was further used to perform SNPs identification. Raw vcf files were filtered with GATK standard filter method and other parameters were set as defaults. Moreover, to reveal if the coding region SNPs detected in *E. ulmoides* cp genome caused amino

acid substitution on protein level, we firstly translated each protein-coding gene into amino acids in Geneious v9.0 [80]. Then the protein sequences of each gene were aligned, respectively using MUSCLE [82]. The mutational events were checked to uncover the synonymous and nonsynonymous SNPs and the nucleotide transitions and transversions.

Phylogenomic analyses were also conducted to validate the newly assembled and annotated *E. ulmoides* cp genome. 34 plastomes representing 10 major lineages of angiosperms (Table S1) were included for phylogenomic analyses. *Amborella trichopoda* from basal angiosperm lineages was defined as outgroup according to previous studies [66,67]. 80 unique plastid protein-coding genes of *E. ulmoides* (Table S2) were used for the phylogenetic inferences. Each gene was aligned individually by MUSCLE [82] in Geneious v9.0 [80], and then concatenated as a supermatrix. Gaps were not included in the dataset.

Three methods i.e., maximum parsimony (MP), maximum likelihood (ML), and Bayesian inference (BI) were used for phylogenetic reconstruction. We performed parsimony heuristic tree searches in PAUP v4.0b10 [86] with parameters set as 1000 random addition sequence replicates, tree bisection and reconnection (TBR) branch swapping, and MulTrees option in effect. 1000 bootstrap replicates [87] were calculated to evaluate the branch support (MPBS) of the MP tree. RAxML v.8.2.8 [88] and MrBayes 3.2.6 [89] in the CIPRES Science Gateway v3.3.3 [90] were applied for ML and BI analyses, respectively. Supermatrix was partitioned by genes and GTR + G model of nucleotide substitution was used. For the ML tree we conducted 1000 fast bootstrap ML reps to assess the support values (MLBS) of internal nodes. In Bayesian analysis two runs with four chains were carried out up to 50,000,000 generations, sampling one tree every 1000 generations till convergence, i.e., the average standard deviation of split frequencies <0.01. We discarded the first 25% of trees as burn-in, and used the remaining trees to estimate the majority-rule consensus BI tree and posterior probabilities (PP).

## 5. Conclusions

In summary, in the present study we generated one complete cp genome of *E. ulmoides* using the genome skimming approach. Through comprehensive genome-wide comparative analyses we found that the cp genomes within *E. ulmoides* were highly conserved in terms of structure and content. Nevertheless, obviously heterogeneous sequence divergences were revealed in different regions of the *E. ulmoides* cp genome. A total of 20 polymorphic DNA fragments and eight SSR loci have been identified as potential cpDNA markers for subsequent population genetics studies of this tree species. The phylogenetic placement of *E. ulmoides* in angiosperms was robustly resolved as well based on the cp genomes data, strongly supporting the sister relationship between *E. ulmoides* and *A. japonica* in the asterids lineage. The data presented here will aid further conservation genomic studies and facilitate the development of plastid genetic engineering for *E. ulmoides*.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/1422-0067/19/4/1037/ s1. Table S1: Taxa and GenBank accession numbers included in the phylogenomic analyses, Table S2: List of 80 unique plastid protein-coding genes of *Eucommia ulmoides* included in the phylogenomic analyses.

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# Article Phylogenomic and Comparative Analyses of Complete Plastomes of *Croomia* and *Stemona* (Stemonaceae)

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Abstract: The monocot genus Croomia (Stemonaceae) comprises three herbaceous perennial species that exhibit EA (Eastern Asian)-ENA (Eastern North American) disjunct distribution. However, due to the lack of effective genomic resources, its evolutionary history is still weakly resolved. In the present study, we conducted comparative analysis of the complete chloroplast (cp) genomes of three Croomia species and two Stemona species. These five cp genomes proved highly similar in overall size (154,407–155,261 bp), structure, gene order and content. All five cp genomes contained the same 114 unique genes consisting of 80 protein-coding genes, 30 tRNA genes and 4 rRNA genes. Gene content, gene order, AT content and IR/SC boundary structures were almost the same among the five Stemonaceae cp genomes, except that the Stemona cp genome was found to contain an inversion in *cemA* and *petA*. The lengths of five genomes varied due to contraction/expansion of the IR/SC borders. A/T mononucleotides were the richest Simple Sequence Repeats (SSRs). A total of 46, 48, 47, 61 and 60 repeats were identified in C. japonica, C. heterosepala, C. pauciflora, S. japonica and S. mairei, respectively. A comparison of pairwise sequence divergence values across all introns and intergenic spacers revealed that the *ndhF-rpl32*, *psbM-trnD* and *trnS-trnG* regions are the fastest-evolving regions. These regions are therefore likely to be the best choices for molecular evolutionary and systematic studies at low taxonomic levels in Stemonaceae. Phylogenetic analyses of the complete cp genomes and 78 protein-coding genes strongly supported the monophyly of Croomia. Two Asian species were identified as sisters that likely diverged in the Early Pleistocene (1.62 Mya, 95% HPD: 1.125–2.251 Mya), whereas the divergence of C. pauciflora dated back to the Late Miocene (4.77 Mya, 95% HPD: 3.626–6.162 Mya). The availability of these cp genomes will provide valuable genetic resources for further population genetics and phylogeographic studies on Croomia.

Keywords: Croomia; Stemona; chloroplast genome; comparative genomics; phylogeny; biogeography

## 1. Introduction

*Croomia* Torr. ex Torr. et Gray belongs to the monocot family Stemonaceae Engl (Pandanales, Liliidae) and comprises three herbaceous perennial species: *C. pauciflora* (Nutt.) Torr., *C. japonica* Miq. and *C. heterosepala* (Bak.) Oku. Of these three species, *C. japonica* and *C. heterosepala* are endemic to warm-temperate deciduous forests in East Asia, while *C. pauciflora* grows in temperate-deciduous forests in North America [1–3]. There is a considerable difference in morphological traits among this genus. For example, the four tepals of *C. japonica* are homomorphic with a re-curved edge, while those of *C. heterosepala* have a flat edge, and one outside tepal is much larger than the other three [4,5]. Compared to two Asian species, *C. pauciflora* has a smaller flower, shorter petiole, denser

underground stem nodes and a more obvious heart-shape leaf base [1]. As the roots of *Croomia* species contain compounds such as pachysamine, didehydrocroomine and croomine groups, they are used as folk medicine to treat cough and injuries [6,7]. *Croomia* can reproduce sexually through seed formation via cross-pollination and asexually through underground rhizomes [1,8]. Due to their limited distribution and small population sizes, the three extant species of *Croomia* are listed as "threatened" or "endangered" in China, Japan and the Americas [9–11]. The other three genera of Stemonaceae are *Pentastemona, Stemona* and *Stichoneuron*. The species of *Stichoneuron* are located in India, Thailand and Peninsular Malaysia, while those of *Pentastemona* are only in Sumatra [3,8]. The genus *Stemona* comprises ca. 25 species with the widest distribution from Northeast Asia to Southeast Asia and Australia. The roots of *Stemona* species contain similar medicine compounds as *Croomia* [7]. Although *Croomia* and *Stemona* species have important pharmacological and ecological value, limited molecular markers were available for the utilization, conservation and breeding of these species in the context of population genetics and phylogenetic studies [12].

Croomia exhibits a well-known classic intercontinental disjunct distribution between Eastern Asia (EA) and Eastern North America (ENA) [1,8,13,14]. This continental disjunction pattern was suggested to have resulted from fragmentation of the mid-Tertiary mesophytic forest flora throughout a large part of the Northern Hemisphere, as global temperature cooled down in the late Tertiary and Quaternary [15,16]. For the two East Asian endemics, C. japonica is distributed in East China and southern Japan, while C. heterosepala is in northern Japan, and they have adjacent ranges in South Japan [17,18]. Therefore, *Croomia* is well suited for testing biogeographic hypotheses about the evolution of both the eastern Asian-eastern North American and eastern Asian-Japanese Archipelago floristic disjunctions. Based on previous molecular phylogenetic analyses using cpDNA sequence variation of the trnL-F region, the two Asian species were identified as sister species that likely diverged in the Mid-to-Late Pleistocene (0.84–0.13 million years ago, Mya), whereas the divergence of C. pauciflora dates back to the Late Plio-/Pleistocene (<2.6 Mya) [12]. However, the previous cpDNA analysis based on a few parsimony informative sites yielded low bootstrap values for the majority of clades [12]. Thus, it is necessary to develop more highly variable genetic markers for determining the phylogenetic relationships and divergence times for Croomia. Nowadays, many phylogenetic relationships that remained unresolved with few loci have been clarified by using whole cp genome sequences [19–21]. Thus, whole cp genome sequences are increasingly being used in phylogeny reconstruction and providing hypervariable genetic markers for population genetic studies, especially in a group of recently-diverged species [22,23].

Here, we sequenced three *Croomia* and two *Stemona* cp genomes using the next-generation Illumina genome analyzer platform. We compared the cp genomes of two Stemonaceae genera to characterize their structural organization and variations and identify the most variable regions. This information on interspecific variability of each region will help guide further systematic and evolutionary studies of Stemonaceae. In addition, we used the whole cp genomes to resolve the phylogenetic relationships of *Croomia* and infer the historical biogeography of the genus.

## 2. Results and Discussion

## 2.1. Genome Assembly and Features

Illumina paired-end sequencing yielded 14,163,520–31,094,272-bp clean reads after trimming, and the de novo assembly generated 50,369–123,479 contigs for five Stemonaceae species. With the cp genome of *C. palmata* as a reference, contigs were combined to generate the draft cp genome for each species. The lengths of determined nucleotide sequences were 154,672, 154,407, 155,261, 154,224 and 154,307 bp for *C. japonica, C. heterosepala, C. pauciflora, S. japonica* and *S. mairei*, respectively. (Figure 1, Table S1). All five cp genomes exhibited the typical quadripartite structure of angiosperms, consisting of a pair of IR regions (27,082–27,243 bp) separated by an LSC region (81,844–82,429 bp) and an SSC

region (17,889–18,346 bp). The cp genomes of three *Croomia* species and two *Stemona* species were deposited in GenBank (MH177871, MH191379–MH191382).



Figure 1. Gene maps of Croomia and Stemona chloroplast genomes. (A) Croomia japonica; (B) Stemona japonica.

These five cp genomes contained 134 genes identically, of which 114 were unique and 20 were duplicated in IR regions (Table S1). Those 134 genes were arranged almost in the same order except *cenA* and *petA*, which were inverted at the LSC region of two *Stemona* species. Gene inversions at LSC were also reported in other angiosperm, such as *Silene* [24], *Cymbidium* [19] and *Acacia dealbata* [25]. The 114 unique genes included 80 protein-coding genes, 30 tRNA genes and 4 rRNA genes. In *Croomia* species, the overall GC content was 38.3%, and the GC contents of the LSC, SSC and IR regions were 36.6%, 32.3–32.5% and 42.8–42.9%, respectively, while those of *Stemona* were 38.0%, 36.2%, 32.1% and 42.7% (Table S1). In all five genomes, nine of the protein-coding genes and six of the tRNA genes possessed a single intron, while three genes (*rps12, clpP* and *ycf3*) contained two introns (Table 1). The *rps12* gene was trans-spliced; the 5' end exon was located in the LSC region, and the 3' end exon and intron were located in the IR regions. Compared to many other species, such as *Salvia miltiorrhiza* [26] and *Cornales* [27], the SSC region of the five studied species was found to have a different (reverse) orientation. The reverse orientation of the SSC region has also been reported

in a wide variety of plant species [28–30]. This phenomenon is sometimes interpreted as a major inversion existing within the species [29,31,32]. In fact, the two orientations of the SSC region have been found to occur regularly during the course of chloroplast DNA replication within individual plant cells [33,34]. Thus, the reverse orientation of the SSC region found in the five Stemonaceae cp genomes may represent a form of plastid heteroplasmy [30,35].

Category of Genes	Groups of Genes	Names of Genes
	rRNA genes	rrn16(×2), rrn23(×2), rrn4.5(×2), rrn 5(×2)
Self-replication	tRNA genes	trnA-UGC *(×2), trnC-GCA, trnD-GUC, trnE-UUC, trnF-GAA, trnfM-CAU, trnG-GCC, trnG-UCC *, trnH-GUG(×2), trnI-CAU(×2), trnI-GAU *(×2), trnK-UUU *, trnL-CAA(×2), trnI-UAA *, trnL-UAG, trnM-CAU, trnN-GUU(×2), trnP-UGG, trnQ-UUG, trnR-ACG(×2), trnR-UCU, trnS-GCU, trnS-GGA, trnS-UGA, trnT-GGU, trnT-UGU, trnV-GAC(×2), trnV-UAC *, trnW-CCA, trnY-FUA
	Small subunit of ribosome	rps2, rps3, rps4, rps7(×2), rps8, rps11, rps12 **(×2), rps14, rps15, rps16 *, rps18, rps19(×2)
	Large subunit of ribosome	rpl2 *(×2), rpl14, rpl16 *, rpl20, rpl22(×2), rpl23(×2), rpl32, rpl33, rpl36
	DNA-dependent RNA polymerase	rpoA, rpoB, rpoC1 *, rpoC2
	Subunit of NADH-dehydrogenase	ndhA *, ndhB *(×2), ndhC, ndhD, ndhE, ndhF, ndhG, ndhI, ndhH, ndhJ, hdhK
	Subunit of Photosystem 1	psaA, psaB, psaC, psaI, psaJ, ycf3 **
Genes for photosynthesis	Subunit of Photosystem 2	psbA, psbB, psbC, psbD, psbE, psbF, psbH, psbI, psbI, psbK, psbL, psbM, psbN, psbT
	Subunits of cytochrome b/f complex	petA, petB *, petD *, petG, petL, petN
	Subunits of ATP synthase	atpA, atpB, atpE, atpF *, atpH, atpI
	Large subunit of rubisco	rbcL
	Maturase	matK,
	Protease	clpP **
	Envelope membrane protein	cemA
Other genes	Subunit of Acetyl-CoA-carboxylase	accD
	c-type cytochrome synthesis gene	ccsA
	Translation initiation factor IF-1	infA
Genes of unknown function Open reading frames (ORF, ycf)		ycf1, ycf2(×2), ycf4, lhbA

Table 1. List of genes ir	n Stemonaceae chlo	proplast genomes.
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\* Gene with one intron, \*\* gene with two introns; (×2) indicates genes duplicated in the IR region.

#### 2.2. Contraction and Expansion of Inverted Repeats

Length variation in angiosperm cp genomes is due most typically to the expansion or contraction of the IR into or out of adjacent single-copy regions and/or changes in sequence complexity due to insertions or deletions of novel sequences [36,37]. Compared to reference cp genome *C. palmata*, all five species exhibited IR expansion at the IRb/LSC border, leading to entire *rpl*22 duplication. In a previous study, a partial duplication of the *rpl*22 gene was reported in some monocot species of Asparagales and Commelinales [38]. Although the gene number and gene order were conserved across these five Stemonaceae species, minor differences were still observed at the boundaries (Figure 2). At the IRa/LSC border, the spacer from *rpl*22 to this border of *Stemona* (65 bp) was longer than that of *Croomia* (24–25 bp), except *C. pauciflora*. As for the *ycf*1 gene, there were 4580–4662-bp sequences located at SSC in *Croomia* and 4374–4383 bp in *Stemona*, while the pseudogene fragment duplications in IRb were 970 bp and 1206 bp in *Croomia* and *Stemona*, respectively. The *ndh*F gene exhibited variable sequences in SSC of *Croomia* (2215–2226 bp), while invariable in *Stemona* with a 2190-bp length. At the border of

IRb/LSC, the spacer from *psb*A to this border of *Croomia* ranged from 91 bp–99 bp, while it ranged from 94 bp–104 bp in *Stemona*. These differences between the five cp genomes led to the length variation of their whole genome sequences.



Figure 2. Comparison of LSC, IR and SSC junction positions among five Stemonaceae chloroplast genomes.

## 2.3. Divergence Hotspot Regions

To elucidate the level of sequence divergence, the three Croomia and two Stemona cp genome sequences were compared and plotted using the mVISTA program (Figure 3). Like most angiosperms, the sequence divergence of IR regions was lower than that of the LSC and SSC region [39,40], which may involve copy correction of IRs as a mechanism [41]. We identified 140 regions in total with more than a 200-bp length (68 protein-coding regions (CDS), 53 Intergenic Spacers (IGS) and 19 introns). The nucleotide variability (Pi) of these 140 regions ranged from 0.080% (rrn16) to 9.565% (IGS petN-psbM) among the five cp genomes. The average Pi of the non-coding region was 3.644%, much higher than coding regions (1.587%), as found in most angiosperms [42,43]. For the 68 CDS, the Pi values for each region ranged from 0.231% (rpl2 CDS1) to 4.047% (ycf1), whereby 10 regions (i.e., matK, rpl33, rps15, psbH, rps18, rps3, rpl20, ccsA, ndhF, accD) had remarkably high values (pi > 2.5%). For the 53 IGS regions, Pi values ranged from 0.185% (trnN-ycf1) to 9.565% (petN-psbM). Again, ten of those regions showed considerably high values (pi > 5.7%; i.e., rpl32–ndhF, trnS–trnG, ndhE–psaC, ndhD–ccsA, atpF-atpH, psbM-trnD, trnE-trnT, petL-petG, rps16-trnQ, accD-psaI; see Figure 4). A comparison of DNA sequence divergence revealed that three of these ten noncoding regions, ndhF-rpl32 (PICs = 96), psbM-trnD (PICs = 73) and trnS-trnG (PICs = 49), are the most variable regions across Stemonaceae (Figure A1). Thus, these three regions may be good candidates for resolving future low-level phylogeny and phylogeography in Stemonaceae. In a previous study, the availability of plastid noncoding regions was compared across 10 major lineages of angiosperms (such as Nymphaeales, monocots, eurosids) [44]. However, only five families of monocots represented by five species pairs were included, without Stemonaceae. The three variable regions predicted here are among the top 13 regions of monocots in the research by Shaw et al. [44], with ndhF-rpl32, psbM-trnD and trnS-trnG ranked first, third and 11th, respectively. Of these regions, ndhF-rpl32 has long been a popular region in phylogenetic studies of angiosperms [44,45]. Meanwhile, *psbM–trnD* and *trnS–trnG* are also noted as highly variable in Liliaceae [46] and occasionally used in low-level phylogenetic analyses (*Scabiosa*: [47]; Solms-laubachia: [48]). The resolution of recent divergences in monocots would benefit considerably by the inclusion of any or all of these highly variable regions.



**Figure 3.** Sequence identity plots among five Stemonaceae chloroplast genomes, with *Stemona japonica* as a reference. CNS: conserved non-coding sequences; UTR: untranslated region.



Figure 4. The nucleotide variability (Pi) values were compared among five Stemonaceae species.

## 2.4. Repetitive Sequences and SSR Polymorphisms

With the criterion of a copy size of 30 bp or longer and a sequence identity >90%, REPUTER [49] identified 47, 49, 48, 61 and 60 repeats (including forward, palindromic, complement and reverse

repeats) in five cp genome sequences of *C. japonica*, *C. heterosepala*, *C. pauciflora*, *S. japonica* and *S. mairei*, respectively (Figure 5A). *C. japonica* contained 21 forward repeats, 24 palindromic repeats, 1 complement repeat and 1 reverse repeat, and *S. japonica* contained 27, 25, 1 and 8 repeats, correspondingly. The other two *Croomia* species and *S. mairei* contained no complement repeats. The numbers of forward repeats, palindromic and reverse repeats were, respectively, 21, 27 and 1 in *C. japonica*, 25, 21 and 2 in *C. heterosepala* and 27, 25 and 8 in *S. mairei* (Figure 5A). The lengths of majority repeats were 30, 31 and 43 bp in size (Figure 5B). For *Croomia*, the repeats were mainly located in *ycf*2 (46.8–58.3%) and non-coding regions (27.1–38.3%). As for *Stemona*, the repeats were mostly located in non-coding regions (58.3–59.0%) and *ycf*2 (33.3–34.4%). Only one repeat was across IGS (*psbC–trnS*) and CDS (*trnS<sup>UGA</sup>*). The remaining repeats were found located in genes such as *ccsA*, *ycf*1, *trnG<sup>UGA</sup>*, *trnS<sup>GCA</sup>*, *trnS<sup>GCU</sup>* and *psa*B.



Figure 5. Analysis of repeated sequences in five Stemonaceae chloroplast genomes. (A) Frequency of repeats by length; (B) frequency of repeat types.

SSRs in the cp genome present high diversity in copy numbers, and they are important molecular markers for plant population genomics and evolutionary history [50,51]. SSRs ( $\geq$ 10 bp) were detected in these five Stemonaceae cp genomes by MIcroSAtellite (MISA) analysis [52], ranging from 90–116 in total. Among these SSRs, the mononucleotide repeat unit (A/T) occupied the highest proportion, with

71.2% in *C. japonica*, 70.9% in *C. heterosepala*, 63.3% in *C. pauciflora*, 64.0% in *S. japonica* and 62.4% in *S. mairei* (Figure 6A). SSR loci were mainly located in IGS (71.4%) (Figure 6B) and were also detected in introns (16.5%) and CDS (12.1%), such as *matK*, *atpA*, *rpoC2*, *rpoB*, *cemA*, *psbF*, *ycf2*, *ycf1* and *ndhD*. In general, the SSRs of these five cp genomes showed great variation, which can be used in population genetic studies of *Croomia* and *Stemona* species.



Figure 6. Simple Sequence Repeats (SSRs) in five Stemonaceae chloroplast genomes. (A) Numbers of SSRs by length; (B) distribution of SSR loci. IGS: intergenic spacer region; CDS: protein-coding regions.

### 2.5. Phylogenetic Analysis, Divergence Time and Ancestral Area Reconstruction

CP genome sequences have been successfully used in angiosperm phylogenetic studies [22,53]. The Maximum Likelihood (ML) and Bayesian Inference (BI) analyses of both whole sequences and protein-coding region of three *Croomia* and two *Stemona* cp genomes yielded nearly identical tree topologies, with 100% bootstrap and 1.0 Bayesian posterior probabilities at each node (Figure 7). This phylogenetic tree supports the monophyly of *Croomia*. Two Asian species *C. japonica* and *C. heterosepala* formed a clade, being strongly recovered as sisters of the North American species *C. pauciflora*. This tree topology is largely congruent with that inferred from *trn*L–F [12], but obtained much higher bootstrap support values. Using average substitution rates of whole cp genomes, the divergence time between the two Asian species, *C. japonica* and *C. heterosepala*, was estimated as ca. 1.621 Mya (1.125–2.251 Mya) and, thus, compatible with the early-Pleistocene event. By contrast, the divergence time between North American *C. pauciflora* and Asian species was estimated as ca. 4.774 Mya (3.626–6.162 Mya) (i.e., the Late Miocene). The divergence times estimated in this paper are much older than that estimated by the strict molecular clock method (*C. pauciflora*/the East Asian lineage: ca. 2.61–0.41 Mya; *C. japonica/C. heterosepala*: ca. 0.84–0.13 Mya) [12].



**Figure 7.** Phylogenetic relationships of three *Croomia* species inferred from Maximum Likelihood (ML) and Bayesian Inference (BI) and divergence time of three *Croomia* species estimated using Bayesian Evolutionary Analysis Sampling Trees (BEAST) analysis. Numbers above the lines represent ML bootstrap values and BI posterior probability. Blue bars indicate the 95% highest posterior density (HPD) credibility intervals for node ages (Mya). Numbers at the node represent divergence time (Mya) and 95% highest posterior density intervals. The phylogenetic tree based on 74 protein-coding genes is completely consistent with this topology.

The divergence between C. pauciflora and two Asian species coincides with the first sundering of the Bering Land Bridge (BLB) between the late Miocene and early Pliocene, most approximately at 5.4-5.5 Mya (Milne and Abbott, 2002) [54]. The Bayesian Binary MCMC (BBM) analysis of ancestral area reconstruction identified Asia as the most likely ancestral range (Node III, marginal probability: 0.93; Figure A2), indicating a possible intercontinental plant migration from Asia to North America. Indeed, the BLB served as an important route for temperate floristic exchanges between Asia and North America from the Eocene to the early Pliocene [55,56]. Subsequently, as a member of the Tertiary relict flora [15], Croomia species on the two continents experienced disjunct distribution and evolved separately after the Late Miocene. Thus, we conclude that the current distribution and differentiation of Croomia species in eastern Asia and eastern North America likely resulted from a combination of ancient migration and vicariant events. The divergence time between C. japonica and C. heterosepala fell into the Early Pleistocene. Habitat fragmentation resulting from the climatic vicissitudes of the (Late) Quaternary likely led to the speciation of *C. japonica* and *C. heterosepala* [12]. The above inferences seem to be consistent with the palaeovegetational and climatic history of eastern Asia and eastern America. However, considering that the cp genome is a haploid, uniparentaly-inherited and single locus [57], a nuclear (biparental) marker is also needed to elucidate the diversification process and demography history of Croomia species.

## 3. Materials and Methods

#### 3.1. Sample Preparation, Sequencing, Assembly and Validation

Fresh leaves of *C. japonica* from China, *C. heterosepala* from Japan, *C. pauciflora* from North America and two outgroup species *Stemona japonica* (Bl.) Miq. and *S. mairei* (Levl.) Krause from China were sampled and dried with silica gel. The voucher specimens were deposited in the Herbarium of Zhejiang University (HZU). Total genomic DNA was extracted from ~3 mg materials using DNA Plantzol Reagent (Invitrogen, Carlsbad, CA, USA) following the manufacturer's protocol. The quality and concentration of the DNA were detected using agarose gel electrophoresis. Purified DNA was sheared into ~500-bp fragments, and the fragmentation quality was checked on a Bioanalyzer 2100
(Agilent Technologies, Santa Clara, CA, USA). Paired-end sequencing libraries were constructed according to the Illumina standard protocol (Illumina, San Diego, CA, USA). Genomic DNAs of five species were sequenced using an Illumina HiSeq<sup>TM</sup> 2000 (Illumina, San Diego, CA, USA) at Beijing Genomics Institute (BGI; Shenzhen, China). Plastome sequences were assembled using a combination of de novo and reference-guided assembly [58]. Firstly, to obtain clean reads, the CLC-quality trim tool was used to remove low-quality bases (Q < 20, 0.01 probability error). Secondly, we assembled the clean reads into contigs on the CLC de novo assembler. Thirdly, all the contigs were aligned with the reference cp genome of *Carludovica palmate* Ruiz. & Pav. (NC\_026786.1) using local BLAST (http://blast.ncbi.nlm.nih.gov/) (27 December 2016), and aligned contigs were ordered according to the reference cp genome with  $\geq 90\%$  similarity and query coverage. Then, to construct the draft cp genome of each species, the ordered contigs usually representing the whole reconstructed genome were imported into GENEIOUS v9.0.5 software (http://www.geneious.com) (18 March 2017), where the clean reads were remapped onto the contigs.

#### 3.2. Genome Annotation and Whole Genome Comparison

The annotation of five species was performed using the Dual Organellar GenoMe Annotator (DOGMA) [59]. The start and stop codons and intron/exon boundaries were manually corrected by comparison to homologous genes from the reference genome of *C. palmate*. We also verified the transfer RNAs (tRNAs) using tRNAscan-SE v1.21 with default settings [60]. The circular genome maps were drawn using the OrganellarGenome DRAW tool (OGDRAW) [61], followed by manual modification.

Genome comparison among the five Stemonaceae cp genomes was analyzed using mVISTA [62] with *C. palmate* as a reference. Six genome sequences were aligned in Shuffle-LAGAN mode with default parameters, and the conservation region was visualized in an mVISTA plot. To identify the divergence hotspot regions in the five Stemonaceae cp genomes, the nucleotide variability of protein coding genes, introns and intergenic spacer sequences of five species were evaluated using DNASP v5.10 [63]. The above regions were extracted following two criteria: (a) total number of mutation (Eta) >0; and (b) the aligned length >200 bp. The inverted regions in *cemA*, *cemA*–*petA* and *petA* were excluded. The top ten most variable noncoding regions with a high Pi value were counted by Potentially Informative Characters (PICs) across species pair of *C. japonica* and *S. japonica* following Shaw et al. [64]. Any large structural event of the cp genome, such as gene order rearrangements or IR expansion/contractions, were recorded.

#### 3.3. Characterization of Repeat Sequence and SSRs

REPUTER [49] was used to find the location and length of repeat sequences, including forward, palindrome, complement and reverse repeats in the five cp genomes. The minimum repeat size was set to 30 bp, and the sequence identity of repeats was no less than 90% or greater sequence identity with the Hamming distance equal to 3. The MISA perl script was used to detect simple sequence repeats (SSRs) [52] with thresholds of 10 bp in length for mono-, di-, tri, tetra-, penta- and hexa-nucleotide SSRs.

#### 3.4. Phylogenetic Analysis, Divergence Time and Ancestral Area Reconstruction

The five cp genome sequences were aligned using MAFFT v7 [65]. Two *Stemona* species were used as outgroups. ML and BI analysis were used to reconstruct the phylogenetic trees. In order to examine the phylogenetic utility of different regions, two datasets were used: (1) the complete cp genome sequences; (2) 78 protein-coding genes shared by the five cp genomes (two inverted genes of *cem*A and *pet*A in *Stemona* species were excluded). Gaps (indels) were treated as missing data. The Akaike Information Criterion (AIC) in JMODELTEST v2.1.4 [66] was used to determine the best-fitting model of nucleotide substitutions. The GTR + I + G model was used for two datasets. The ML tree was constructed using RAXML-HPC v8.2.10 with 1000 replicates on the Cyberinfrastructure for Phylogenetic Research (CIPRES) Science Gateway website (http://www.phylo.org/) (10 May 2017) [67]. BI analysis was conducted in MRBAYES v3.2 [68]. The Markov chain Monte Carlo (MCMC)

was set to run 1,000,000 generations and sampled every 1000 generations. The first 25% of generations was discarded as burn-in.

Due to the lack of fossil records, we used the average substitution rate  $0.51952 \times 10^{-9}$  per site per year (s/s/y) of the whole cp genome in Brassicaceae [69,70] to estimate interspecific divergence time of *Croomia*. The Bayesian analysis was implemented in BEAST v1.8.4 [71] using the GTR + I + G substitution model. MCMC analysis of 20,000,000 generations was implemented, in which every 1000 generations were sampled, under an uncorrelated lognormal relaxed clock approach using the Yule speciation tree prior with the substitution rate. TRACER v1.6 [72] was used to check the effective population size (ESS) >200. TREEANNOTATOR v.1.8.4 [73] was used to produce maximum clade credibility trees from the trees after burning-in of 25%. The final tree was visualized in FIGTREE v1.4.3 (http://tree.bio.ed.ac.uk/software/figtree/) (13 May 2017).

To reconstruct the historical biogeography of *Croomia*, we performed Bayesian Binary MCMC (BBM) analysis as implemented in RASP v3.1 [74] using trees retained from the BI analysis (see above). According to the distribution of *Croomia*, we defined the following two areas: A, Asia (East Asia/South Asia); and B, North America. Accounting for phylogenetic uncertainty, we used 500 trees randomly chosen across all post-burn-in trees generated from BEAST analysis and ran the BBM analysis. A fixed JC + G (Jukes–Cantor + Gamma) model was chosen with a null root distribution. The MCMC chains were run for 500,000 generations, and every 100 generations were sampled. The ancestral ranges obtained were projected onto the MCC tree.

## 4. Conclusions

Here, we sequenced the first five complete cp genomes in Stemonaceae. Each genome possesses the typical structure shared with other angiosperm species. Several highly variable noncoding cpDNA regions were identified, which should be the best choices for future phylogenetic, phylogeographic and population-level genetic studies in Stemonaceae. The phylogenomic and biogeographic analyses of *Croomia* reveal that ancient migration and vicariance-driven allopatric speciation resulting from historical climate oscillations most likely played roles in the formation of the disjunct distributions and divergence of these three *Croomia* species.

Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/8/2383/s1.

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## Abbreviations

Complete chloroplast
Inverted Repeat
Large Single Copy
Small Single Copy
Nucleotide variability
Simple Sequence Repeat
Potentially Informative Character
Maximum likelihood
Bayesian Inference
Markov chain Monte Carlo

## Appendix A



Figure A1. PIC values of the top ten most variable noncoding regions in Stemonaceae.



Figure A2. The Bayesian Binary MCMC (BBM) analysis of ancestral area reconstruction.

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# Article The Complete Chloroplast Genome of *Catha edulis*: A Comparative Analysis of Genome Features with Related Species

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Abstract: Qat (Catha edulis, Celastraceae) is a woody evergreen species with great economic and cultural importance. It is cultivated for its stimulant alkaloids cathine and cathinone in East Africa and southwest Arabia. However, genome information, especially DNA sequence resources, for C. edulis are limited, hindering studies regarding interspecific and intraspecific relationships. Herein, the complete chloroplast (cp) genome of Catha edulis is reported. This genome is 157,960 bp in length with 37% GC content and is structurally arranged into two 26,577 bp inverted repeats and two single-copy areas. The size of the small single-copy and the large single-copy regions were 18,491 bp and 86,315 bp, respectively. The C. edulis cp genome consists of 129 coding genes including 37 transfer RNA (tRNA) genes, 8 ribosomal RNA (rRNA) genes, and 84 protein coding genes. For those genes, 112 are single copy genes and 17 genes are duplicated in two inverted regions with seven tRNAs, four rRNAs, and six protein coding genes. The phylogenetic relationships resolved from the cp genome of qat and 32 other species confirms the monophyly of Celastraceae. The cp genomes of *C. edulis, Euonymus japonicus* and seven Celastraceae species lack the *rps16* intron, which indicates an intron loss took place among an ancestor of this family. The cp genome of C. edulis provides a highly valuable genetic resource for further phylogenomic research, barcoding and cp transformation in Celastraceae.

Keywords: chloroplast (cp) genome; Catha edulis; next generation sequencing; phylogeny; repeat sequence

## 1. Introduction

Qat (Celastraceae: *Catha edulis* (Vahl) Forssk. ex Endl.) is a woody evergreen species of major cultural and economic importance in southwest Arabia and East Africa, which is cultivated for its stimulant alkaloids cathine and cathinone. An estimated 20 million people consume qat on a daily basis in eastern Africa [1], and its use and cultivation has been expanding in recent years [2]. Qat is the only species in Celastraceae that is cultivated on a large scale. The cultivation and/or collection (in some instances illegally from wild sources in protected areas) of qat takes place primarily in Israel, Ethiopia, Kenya, Madagascar, Rwanda, Tanzania, Somalia, Uganda, and Yemen [2–4].

The cultivation and sale of qat has become an important driver in the local and regional economies of East Africa and Yemen. In Yemen, 6% of the gross domestic product is generated from qat cultivation and sales [5]. Ethiopia has become the number one producer of qat in the world with exports in 1946 equaling only 26 tons valued at \$5645, while 15,684 tons were exported in 2000 valued at \$72 million [6]. A similar expansion in qat cultivation and sales has occurred in Kenya with the current trade from

Kenya to Somalia estimated at \$100 million per year. Trade of qat has become international in scale with, for example, 2.26 million kilograms of qat imported into England from Ethiopian and Kenya in 2013 [7]. The biosynthesis of cathinone and similar stimulant alkaloids is rare among green plants, known only in *Catha edulis* and several Asian species of *Ephedra* [8]. In addition, Celastraceae species produce numerous unique phytochemicals of potential pharmaceutical value [9]. Chloroplast transformations of qat and related species may prove useful for the production of cathinone related alkaloids and/or novel drugs.

The phylogenetic placement of qat within the Celastraceae has been inferred from 18S, 26S, *atpB*, ITS (as Nuclear ribosomal internal transcribed spacer), *matK*, *phyB*, and *rbcL* [10]. Phylogeographic work using SSR (as simple sequence repeats) loci has been done for wild and cultivated qat in the historic areas of production—Ethiopia, Kenya, and Yemen [7,11]. Beyond these studies, no genetic resources of which we are aware have been developed for qat. In addition, no chloroplast (cp) genome has been fully sequenced and published in the genus *Catha*. Therefore, our completed cp genome will be an important genetic resource for further evolutionary studies both within the Celastrales generally and economically important qat specifically.

The cp genome in plants is noted as being highly conserved in gene content [12]. Despite the consistency between cp genomes in plants, the differences in the size of cp genomes appear to be driven by intron and gene loss, and structural changes such as loss or gain of repeat units in different types of repetitive DNA [13]. In particular, genes that straddle inversion junctions such as *ycf1* appear to be undergoing rapid evolution [14].

Contrary to the structure of most nuclear plant genomes, the cp genome is typically comprised of a highly conserved quadripartite structure which is 115 to 165 kb in length, uniparentally inherited [12,15], and with similar gene content and order shared among most land plants [16]. From the advancements made by next-generation sequencing (NGS), complete, high quality cp genomes are becoming increasingly common [17]. At present, more than 2000 completed cp genomes of angiosperm species can be downloaded in the public database of the National Center for Biotechnology Information (NCBI; [18], Available online: https://www.ncbi.nlm.nih.gov/genomes/ GenomesGroup.cgi?taxid=2759&opt=plastid). Large databases of complete cp genomes provide an indispensable resource for researchers identifying species [19], designing molecular markers for plant population studies, and for research concerning cp genome transformation [20–22]. The essentially non-recombinant structures of cp genomes make them particularly useful for the above applications. For example, cp genomes maintain a positive homologous recombination system [23–26]. Thus, in the transformation process, genes can be precisely transferred to specific genomic regions. A variety of homologous cp sites have proven useful at multiple levels of classification, including inter-specific and intra-specific [27]. In more recent years, systematic studies have employed entire cp genomes to attain high resolution phylogenies [28].

In this paper, we report the completely sequenced cp genome in the Celastrales and discuss the technical aspects of sequencing and assembly. In addition, we conduct phylogenetic analysis using other fully sequenced cp genomes from species in the closely related orders Malpighiales and Rosales. These analyses were conducted to find the top twenty loci for phylogenetic analysis and find which structural changes have taken place across cp genomes between the orders Rosales, Malpighiales, and Celastrales. The completed cp genome is a valuable resource for studying evolution and population genetics of both wild and cultivated populations of qat as well as genetic transformations related to the production of pharmaceuticals in qat or related Celastraceae species.

## 2. Results and Discussion

#### 2.1. Chloroplast Assembly and Genome Features

The *C. edulis* cp genome was completely assembled into a single molecule of 157,960 bp, by combining Illumina and Sanger sequencing results. By mapping the completed genome using the paired reads, we

confirm the size of our assembly for the completed cp genome with 497,848 (representing 5% of all reads) mapped pair-end reads evenly spanning the entire genome with mean read depth of  $785 \times$  coverage (Figure S1). Given these quality controls and processing steps, the cp genome for qat is high quality.

Although the genome structure is highly conserved in the cp genome, several features such as the presence or lack of introns, the size of the intergenic region, gene duplication, and the length, type and number of repeat regions can vary [29]. The complete *C. edulis* cp genome has the conserved quadripartite structure and size that resembles most land plant cp genomes which are normally 115–165 kb in size including two inverted repeats (IRs) and two single-copy regions as large single copy and small single copy (LSC and SSC).

The cp genome of *C. edulis* consists of two single-copy regions isolated by two identical IRs of 26,577 bp each, one SSC region of 18,491 bp and one LSC region of 86,315 bp. The proportion of LSC, SSC, and IRs size in the entire cp genome is 54.6%, 11.7% and 33.6%, respectively (Figure 1 and Table 1). The GC contents of the LSC, IR, SSC, and the whole cp genome are 35.1%, 42.7%, 31.8%, and 37.3%, respectively, which are consistent with the published Rosid cp genomes [30].



**Figure 1.** Circular map of the *C. edulis* cp genome. Genes shown inside and outside of the outer circle are transcribed clockwise and counterclockwise, respectively. The innermost shaded area inside the inner circle corresponds to GC content in the cp genome. Genes in different functional groups are color coded. IR, inverted repeat; LSC, large single copy region; SSC, small single copy region. The map is drawn using OGDRAW (V 1.2, Max Planck Institute of Molecular Plant Physiology, Am Mühlenberg, Germany).

The *C. edulis* cp genome is composed of tRNAs, protein coding genes and rRNAs, intergenic and intronic regions (Table 2). Non-coding DNA accounts for 67,633 bp (42.8%) of the whole *C. edulis* cp genome, protein-coding genes account for 78,471 bp (49.7%), tRNA accounts for 2806 bp (1.8%), and rRNA accounts for 9050 bp (5.7%). By comparison with seven other species, gene order, gene content, the coding genes, and non-coding region proportions are similar among these cp genomes (Table 2).

	V. seoulensis	85,691 33 8	54.8	18,008	29.6	11.5	26,404	42.6	16.9	156,507	36.3	
	S. purpurea	84,452 34.4	54.3	16,220	31	10.4	27,459	41.9	17.6	155,590	36.7	
	R. communis	89,651 33 3	54.9	18,816	29.5	11.5	27,347	41.9	16.8	163, 161	35.7	
t species.	P. euphratica	84,888 34 5	54.1	16,586	30.6	10.6	27,646	41.9	17.6	156,766	36.7	erted repeat. ng eight species.
iize among eight	M. esculenta	89,295 333	55.3	18,250	29.6	11.3	26,954	42.3	16.7	161,453	35.9	ppy region; IR, inv region size amoı
of plastid genome s	H. brasiliensis	89,209 33.7	55.3	18,362	29.5	11.4	26,810	42.2	16.6	161,191	35.7	t, SSC, small single co g and non-coding :
1. Comparison o	E. japonicus	85,941 35.1	54.5	18,340	31.8	11.6	26,678	42.7	16.9	157,637	37.3	single copy regior : single copy region
Table	C. edulis	86,315 35 1	54.6	18,491	31.8	11.7	26,577	42.7	16.8	157,960	37.3	LSC, large <b>Table 2.</b> Com
	Features	Length (bp)	Length Percentage (%)	Length (bp)	GC Content (%)	Length Percentage (%)	Length (bp)	GC Content (%)	Length Percentage (%)	Length (bp)	GC Content (%)	
	Region	US I			SSC			IR		E	lotal	

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Region	Species	C. edulis	E. japonicus	H. brasiliensis	M. esculenta	P. euphratica	R. communis	S. purpurea	V. seoulensis
Protein coding	length (bp) Length Percentage (%) GC Content (%)	78,471 49.7 38	77,331 49.1 38.2	78,852 48.9 37.1	79,089 49.0 37.2	78,728 50.2 37.6	78,119 47.9 37.5	77,898 50.1 37.6	78,310 50.0 37.2
tRNA	length (bp)	2806	2806	2798	2742	2796	2802	2792	2810
	Length Percentage (%)	1.8	1.8	1.7	1.7	1.8	1.7	1.8	1.8
	GC Content (%)	52.6	53.3	53.2	53.3	53	53.2	52.9	53
rRNA	length (bp)	9,050	9050	9050	9050	9050	9050	9,050	9050
	Length Percentage (%)	5.7	5.7	5.6	5.6	5.8	5.5	5.8	5.8
	GC Content (%)	55.2	55.4	55.4	55.5	55.5	55.5	55.4	55.4
Intron	length (bp)	18,474	19,287	18,538	18,479	18,210	18,278	17,321	18,348
	Length Percentage (%)	11.7	12.2	11.5	11.4	11.6	11.2	11.1	11.7
	GC Content (%)	37.1	36.6	36.6	36.9	36.9	37.1	37.3	36.7
Intergenic	length (bp)	49,159	49,163	51,953	52,093	47,982	54,912	48,529	47,989
	Length Percentage (%)	31.1	31.2	32.2	32.3	30.6	33.7	31.2	30.7
	GC Content (%)	31.9	31.7	29	29	31	28.7	30.7	30.1

## 2.2. Gene Content and Structure

The cp genome of *C. edulis* consisted of 129 coding regions made up of 37 tRNAs, 84 protein-coding genes, and eight rRNAs, of which 112 genes are unique and 17 genes were repeated in two inverted regions consisting of seven tRNAs, six protein coding genes, and four rRNAs (Figure 1 and Table 3). Among these 112 unique genes, three genes crossed different cp boundaries: *trnH<sup>GUG</sup>* crossed the IR<sub>B</sub> and LSC regions, *ycf1* crossed the IR<sub>B</sub> and SSC regions, *rps12* crossed two IR regions and the LSC region (two 3' end exons repeated in IRs and 5' end exon situated in LSC) (Figure 1). Of the remaining 109 genes, 80 are situated in LSC including 59 protein coding genes and 21 tRNAs, 17 in two inverted repeats (six coding genes, seven tRNAs, and four rRNAs), and 12 in the SSC including 11 coding genes and one tRNA.

Gene Category	Groups of Genes	Name of Genes
	Transfer RNA genes	trnA <sup>UGC</sup> a,b trnC <sup>GCA</sup> trnD <sup>GUC</sup> trnE <sup>UUC</sup> trnF <sup>GAA</sup> trnfM <sup>CAU</sup> trnC <sup>UCC</sup> trnG <sup>GCC</sup> trnH <sup>GUG</sup> trnI <sup>CAU</sup> b trnI <sup>GAU</sup> a,b trnK <sup>UUU</sup> a trnL <sup>CAA</sup> b trnL <sup>UAA</sup> a trnL <sup>UAG</sup> trnM <sup>CAU</sup> trnN <sup>GUU</sup> b trnD <sup>UGG</sup> trnQ <sup>UUG</sup> trnR <sup>ACG</sup> b trnR <sup>UCU</sup> trnS <sup>GCU</sup> trnS <sup>GCA</sup> trnS <sup>UGA</sup> trnT <sup>GGU</sup> trnT <sup>UGU</sup> trnV <sup>GAC</sup> b trnV <sup>UAC</sup> a trnW <sup>CCA</sup> trnY <sup>GUA</sup>
Self-replication	Small subunit of ribosome	rps2 rps3 rps4 rps7b rps8 rps11 rps12 <sup>a,b</sup> rps14 rps15 rps16 rps18 rps19
	Ribosomal RNA genes	rrn16 <sup>b</sup> rrn23 <sup>b</sup> rrn4.5 <sup>b</sup> rrn5 <sup>b</sup>
	Large subunit of ribosome	rpl2 <sup>b</sup> rpl14 rpl16 <sup>a</sup> rpl20 rpl22 rpl23 <sup>b</sup> rpl32 rpl33 rpl36
	DNA dependent RNA polymerase	rpoA rpoB rpoC1 <sup>a</sup> rpoC2
	Subunits of photosystem I	psaA psaB psaC psaI psaJ
	Subunits of photosystem II	psbA psbB psbC psbD psbE psbF psbH psbI psbJ psbK psbL psbM psbN psbT psbZ
	Subunits of cytochrome	petA petB <sup>a</sup> petD <sup>a</sup> petG petL petN
Photosynthesis	Subunits of ATP synthase	atpA atpB atpE atpF <sup>a</sup> atpH atpI
	ATP-dependent protease subunit p gene	clpP <sup>a</sup>
	Large subunit of Rubisco	rbcL
	Subunits of NADH dehydrogenase	ndhA <sup>a</sup> ndhB <sup>a,b</sup> ndhC ndhD ndhE ndhF ndhG ndhH ndhI ndhJ ndhK
	Maturase	matK
	Envelop membrane protein	cemA
Other genes	Subunit of acetyl-CoA-carboxylase	accD
	c-type cytochrome synthesis gene	ccsA
Genes of unknown function	Conserved open reading frames	ycf1 ycf2 <sup>b</sup> ycf3 <sup>a</sup> ycf4

Table 3. List of genes in the *C. edulis* plastid genome.

<sup>a</sup> Genes containing introns; <sup>b</sup> Duplicated gene (Genes present in the IR regions).

Most of the protein-coding genes contain only one exon, while 17 genes contain one intron, of which four occur in both IRs, 12 genes are distributed in LSC, and one in the SSC (Table 4), among them three genes (*rps12, clpP* and *ycf3*) contain two introns, while 14 genes (*trnA<sup>GUC</sup>, trnI<sup>GAU</sup>, trnG<sup>UCC</sup>, trnL<sup>UAA</sup>, trnK<sup>UUU</sup>*, and *trnV<sup>UAC</sup>, rpoC1, atpF, rpl16, rpl2, petB, petD, ndhA*, and *ndhB*) contain one intron. The longest intron of *trnK<sup>UUU</sup>* is 2495 bp including the 1533 bp encoding the *matK* gene [13]. The *rps12* gene was predicted to be trans-spliced with a repeated 3' end duplicated in two IRs and a single 5' end exon in LSC [31].

Gene Name	Location	Exon I (bp)	Intron I (bp)	Exon II (bp)	Intron II (bp)	Exon III (bp)
rpoC1	LSC	1632	817	441		
atpF	LSC	396	699	159		
petB	LSC	6	773	642		
petD	LSC	8	784	475		
ndhB	IR	756	687	777		
ndhA	SSC	540	1178	573		
rpl16	LSC	399	1119	9		
rpl2	IR	471	648	393		
rps12	LSC	114		27	546	231
ycf3	LSC	153	727	228	731	126
clpP	LSC	231	676	291	849	69
trnK-UUU	LSC	29	2495	37		
trnL-UAA	LSC	37	540	50		
trnV-UAC	LSC	37	663	39		
trnI-GAU	IR	42	939	35		
trnA-UGC	IR	38	801	35		
trnG-UCC	LSC	23	761	48		

Table 4. Genes with intron and their length of exons and introns in plastid genome of *C. edulis*.

#### 2.3. Comparison of the cp Genomes

The cp genome of *C. edulis* (Celastraceae) was compared to species from 14 genera, including *Populus, Salix, Viola, Hevea, Manihot, Ricinus, Euonymus* and seven out-group species using dot-plot analysis. Besides a unique rearrangement of one 30-kb inversion in the *H. brasiliensis* cp genome [32], no other large structural differences (inversions) were detected among all compared species in the dot-plot analysis. This is consistent with the extremely conserved cp genomes in land plants [16]. The limited structural differences across the 14 species cp genomes demonstrate that gene order, gene content, and entire genome structure are conserved (Figure S3).

Based on the limited structural variation of cp genomes, we focused on seven closely related species of *C. edulis* to examine finer scale structural differences in genome length. Among these seven cp genomes, the length of genomes ranged from 155,590 bp (*S. purpurea*) to 163,161 bp (*R. communis*). The length of the LSC region varied from 84,452 bp (*S. purpurea*) to 89,651 bp (*R. communis*), and from 16,220 bp (*S. purpurea*) to 18,816 bp (*R. communis*) in SSC, and from 26,404 bp (*V. seoulensis*) to 27,646 bp (*P. euphratica*) in the IR regions (Table 2).

The entire GC content of the complete *C. edulis* cp genome is 37.3%, with 33.6% GC content in IRs, 35.1% in LSC, and 31.8% in SSC. These GC contents are consistent with other published cp genomes [33]. The whole GC content in the two Celastrales and six cp genomes of Malpighiales species ranged from 35.7% to 37.3% of the total genome, with *R. communis* having the lowest and *C. edulis* and *E. japonicus* having the highest GC content (Table 1).

These eight species have similar genetic composition at the IR-SSC and IR-LSC boundaries except *rps19*, which is not present from the border of LSC and IR<sub>A</sub> in *P. euphratica* and *R. communis* in which *rpl22* crosses the border of IR<sub>A</sub> and LSC (Figure 2).



Figure 2. Comparison of junctions between the LSC, SSC, and IRs among eight species. Number above indicates the distance in bp between the ends of genes and the borders sites (distances are not to scale in this figure). The  $\psi$  symbol represents pseudogenes.

## 2.4. Contraction and Expansion in the Four Junction Regions

Although genomic structure including gene composition and genome size are highly conserved, expansion and contraction of IRs are common differences between plant cp genomes. Kim [34] proposed that the IRs size differ within plant cp genomes mainly results from the contraction or expansion at the junctions. Comparison of the inverted repeat-single copy (IR-SC) boundary regions of the two Celastrales and six Malpighiales species genomes showed very small differences in boundaries (Figure 2). We inspected the four boundaries (J<sub>LA</sub>, J<sub>LB</sub>, J<sub>SA</sub>, and J<sub>SB</sub>) across the two Celastrales and six Malpighiales species to detect the detailed boundary variation between the two SC regions and IRs using the methods described in [18].

The size of the IRs varied from 26,404 to 27,646 bp. The IR<sub>A</sub>-LSC junction ( $J_{LA}$ ) was situated in the *rps19* gene in *H. brasiliensis, M. esculenta*, and *V. seoulensis* which crossed inside the IR<sub>A</sub> region 96 bp, 186 bp, and 67 bp, respectively, and as a result duplicated pseudogene *rps19* ( $\psi$ *rps19*) was nested within IR<sub>B</sub> for these three species. However, in *C. edulis, E. japonicus* and *S. purpurea*,  $J_{LA}$  is situated in the intergenic regions between *rpl22* and *rps19* in which the distances from *rps19* to the  $J_{LA}$  were 46 bp, 12 bp and 202 bp. In two other species, *P. euphratica* and *R. communis*,  $J_{LA}$  is situated in the coding region of *rpl22* which spread into IR<sub>A</sub> 50 bp and 30 bp, respectively, and resulted in the generation of pseudogene *rpl22* ( $\psi$ *rpl22*)) in IR<sub>B</sub>.

The IR<sub>A</sub>-SSC junction (J<sub>SA</sub>) was situated in or adjoined pseudogene *ycf1* ( $\psi$ *ycf1*) for all eight species; J<sub>SA</sub> of three species (*H. brasiliensis*, *M. esculenta*, and *V. seoulensis*) were all situated just adjacent to the end of  $\psi$ *ycf1*. Overlap between *ndhF* and  $\psi$ *ycf1* was found in *M. esculenta*, in which *ndhF* expanded into the IR<sub>A</sub> region for 26 bp. For the other five species, J<sub>SA</sub> was located near  $\psi$ *ycf1*. In the other six species (*C. edulis*, *E. japonicus*, *H. brasiliensis*, *P. euphratica*, *R. communis*, *S. purpurea* and *V. seoulensis*), the distances between *ndhF* and J<sub>SA</sub> were 29 bp, 27 bp, 28 bp, 98 bp, 19 bp, 129 bp and 33 bp, respectively.

The IR<sub>B</sub>-SSC junction ( $J_{SB}$ ) is situated in the *ycf1* coding region which spans into the IR<sub>B</sub> region in all eight species. However, the length of *ycf1* in the IR region varied among the eight species from 953 bp to 1748 bp highlighting the dynamic variation of the junction regions.

The IR<sub>B</sub>-LSC junctions ( $J_{LB}$ ) were located between *rps19* and *trnH* in *E. japonicus* and *S. purpurea*; situated at the end of  $\psi$ *rps19* in *H. brasiliensis*, *M. esculenta*; and *V. seoulensis*; and at the end of  $\psi$ *rpl22* 

in *P. euphratica* and *R. communis*. In the J<sub>LB</sub> junction, the *trnH* gene is 8 bp into IR<sub>B</sub> region in *C. edulis*. In the other seven species, 2–199 bp distance is found between the *trnH* gene and the IR<sub>B</sub>-SSC junction.

The variation in the IR-SC boundary area is due to the contraction or expansion of the IR observed in the IR-SSC boundaries. These expansions/contractions are likely to be mediated by molecular recombination within the two short, straight repeating sequences that occur frequently in the genes within the boundary [34].

#### 2.5. Verification of the rps16 Intron Loss from Catha and Seven Other Celastraceae Species

The gene composition in the *C. edulis* cp genome is similar to the other angiosperm species analyzed in this study. However, we found that the *rps16* gene had no intron in the *C. edulis* cp genome. The structure and the intron size for *rps16* are conserved in the model species *Arabidopsis thaliana* and in our sampled species (NC\_000932). However, it has been reported that *rps16* gene or the intron of *rps16* has been lost multiple times in numerous lineages [35,36].

To test whether the loss of the *rps16* intron is common throughout the Celastraceae family or just in certain species, two primers were designed in the flanking exons to amplify and then sequence the intron region (or lack thereof) for eight species in the Celastraceae family. Based on the PCR amplification (Figure S2), the length of this *rps16* amplicon is about 550 bp in all eight sampled Celastraceae species indicating that the intron has been lost throughout the Celastraceae family. We also conducted Sanger sequencing to verify the alignment of the *rps16* gene (Figure 3). From this alignment, all species sampled from the Celastraceae family do not contain the *rps16* intron (Figure 3A). The Sanger sequencing data provide additional evidence that all eight-species do not have this intron (Figure 3B).





Intron loss in cp genomes have been reported multiple times in different species, such as species in Desmodieae (Fabaceae) [37] and reported in both dicots and monocots. Loss of the *rps16* intron could

probably be best explained by a homologous recombination and the reverse-transcriptase mediated mechanism [35]. However, intron loss from DNA fragment deletions or gene transfer between introns could be due to yet unexplained processes [37]. By increasing the sampling density within Celastraceae and its closest relatives, the timing of the *rps16* intron loss was inferred to occur between the Celastrales and Oxalidales + Malpighiales approximately 80 million years ago [38].

#### 2.6. Identification of Long Repetitive Sequences

Long repetitive sequences play key functions in cp genome evolution, genome rearrangements and can be informative in phylogenetic studies [39]. Comparison of forward, complement, reverse, and palindromic repeats ( $\geq$ 30 bp) (with a sequence identity of  $\geq$ 90% per repeat unit) were conducted across *C. edulis* and seven related species using REPuter (Available online: https://bibiserv.cebitec. uni-bielefeld.de/reputer/; (University of Bielefeld, Bielefeld, Germany)). *Catha edulis* had the fewest (8) repeats while its cp genome was not the shortest among those examined (157,960 bp) which is inconsistent with the general trend of shorter genomes possessing fewer repetitive regions [40].

A total of 175 unique repeats consisting of forward, reverse, complementary and palindromic were found from the eight-species examined (Figure 4A). The species E. japonicus included the most repeats consisting of: 14 palindromic repeats, 19 forward repeats, and eight reverse repeats, for a total of 41 repeats (Figure 4A and Table S3). In H. brasiliensis, M. esculenta, P. euphratica, R. communis, S. purpurea and V. seoulensis cp genomes, 29, 35, 20, 22, 10, and 10 total repeat pairs were found respectively (Figure 4A). Among them, 19 forward repeats were most commonly found in E. japonicus and M. esculenta and in all species the most common repeat type was forward (Figure 4A). Forward repeats are often the result of transposon activity [41], which can increase under cellular stress [42]. However, the origins and multiplication of long repetitive repeats is not fully understood [43]. Previous studies suggested that the existence of genome rearrangement could be attributed to slipped-strand mispairing and inapposite recombination of repetitive sequences [43]. Moreover, forward repeats can lead to changes in genomic structure and thus be used as markers in phylogenetic studies. The length of repeats is variable in this study, with the shortest at 30 bp and the longest at 95 bp (Table S3). The majority of repeats (82%) varied from 30 bp to 40 bp in length (Figure 4B and Table S3). Given the variability of these repeats between lineages, they can be informative regions for developing genomic markers for population and phylogenetic studies [44].



Figure 4. Analysis of repeat sequences in eight chloroplast genomes: (A) frequency of repeat types; and (B) frequency of the repeats by length  $\geq$ 30 bp.

#### 2.7. Chloroplast Genome Simple Sequence Repeats (SSRs)

Simple sequence repeats (SSRs) are sequences with motifs from 1 to 6 bp in length repeated multiple times (see methods for cutoff criteria), are found distributed throughout the cp genome,

and are often used as markers for breeding studies, population genetics, and genetic linkage mapping [43,45].

A total of 278 SSRs were found in the *C. edulis* cp genome (Figure 5A and Table S4). These SSRs include 165 mononucleotide SSRs (59%), 43 dinucleotide SSRs (15%), 65 trinucleotide SSRs (23%), 3 tetranucleotide (0.01%), and 1 pentanucleotide SSR (0.003%) (Figure 5A and Table S4). Among the 165 SSRs, 98% of SSRs (161) are the AT type with copy number from 8 to 18 (Table S4). In these SSRs of the *C. edulis* cp genome, 89 SSRs were detected in protein-coding genes, 34 SSRs in introns, and 155 in intergenic regions (Figure 5B). In relation to the quadripartite, 195 SSRs were situated in the LSC, whereas 36 and 37 were identified in the SSC and IR, respectively (Figure 5C).



**Figure 5.** The distribution, type, and presence of simple sequence repeats (SSRs) in eight chloroplast genomes: (**A**) number of different SSR types detected in eight chloroplast genomes presence of SSRs at the LSC, SSC, and IR regions.; (**B**) frequency of SSRs in the protein-coding regions, intergenic spacers and intronic regions; (**C**) frequency of SSRs in the LSC, SSC, and IR regions; and (**D**) frequency of common motifs in the eight chloroplast genomes.

Among the eight species, V. seoulensis had the fewest SSRs (242) and H. brasiliensis had the most SSRs (360). Salix purpurea has the shortest cp genome (155,590 bp) with 270 SSRs and R. communis has the longest cp genome (163,161 bp) and 358 SSRs of those analyzed in this study suggesting that number of SSRs may affect genome length, but a strong correlation was not found in all species (Figure 5A). This result indicates that cp genome sizes were not obviously connected with the number of SSRs in these species. Additionally, an abundance of tetranucleotide SSRs were not found in the species studied and no pentanucleotide SSRs were found in V. seoulensis or hexanucleotide in E. japonicus, R. communis and V. seoulensis (Figure 5A). Among the eight species, most SSRs of C. edulis and E. japonicus were located in intergenic regions, most SSRs of H. brasiliensis, M. esculenta, P. euphratica, R. communis, and V. seoulensis in coding regions, and most SSRs of S. purpurea are in intronic regions (Figure 5B). Some SSRs were distributed in protein-coding regions such as ycf1 and rpoC2 (Table S4), which could also be employed as DNA markers for population level and genomic studies. Most SSRs in all eight-species were in the LSC region (Figure 5C). Common motifs in the eight-species studied generally consisted of polythymine (poly-T) or polyadenine (poly-A) (Figure 5D). The Euphorbiaceae species in this study all have more SSRs than the other species in this study as well as similar patterns of distribution in the genome. More work is needed to understand these patterns of SSR distribution in cp genomes. Lastly, the SSRs from this study should be valuable for phylogeographic studies and comparing phylogenetic relationships among Celastraceae species.

#### 2.8. Highly Informative Coding Genes and Markers for Phylogenomic Analysis

Detecting highly informative and variable coding genes is important for DNA barcoding, marker development and phylogenomic analyses [46]. Coding genes such as *matK*, *rbcL* have been widely employed for barcoding applications [47,48] and phylogenetic reconstructions [49–51]. Based on compared complete cp genomes, additional informative markers were identified within the Celastraceae.

We aligned entire coding genes more than 200 bp in length to discover genes with the highest sequence identity index and the highest proportion of parsimony-informative sites, for the seven species in this study (Table 5, Table S5). In the coding regions, *matK* and *ycf1* have the largest proportion of parsimony information characters (16.83% and 16.80%, respectively). The *matK* gene is used as core DNA barcoding sequence under the suggestion of CBOL working group (CBOL is The Consortium for the Barcode of Life, an international initiative devoted to developing DNA barcoding as a global standard for the identification of biological species) and also in concert with other variable genes such as *ITS* + *psbA*-*trnH* + *matK* which was shown to have the highest species identification rate [52]. Given the high number of parsimony informative in *ycf1*, it may also serve as another core DNA barcode in future plant studies [14]. The coding regions identified in this analysis (Table 5) should be particularly informative for species identification and phylogenetic analyses due to the high percentage of variable sites.

No.	Region	Length (bp) <sup>1</sup>	Aligned Length (bp) <sup>2</sup>	Conserved Sites	Parsimony Informative <sup>3</sup>	Parsimony Informative % <sup>4</sup>	CI. <sup>5</sup>	RI <sup>6</sup>	<b>SI</b> <sup>7</sup>
1	matK	1518	1575	1028	265	16.83	0.82	0.7	0.9
2	ycf1	5640	6327	3970	1063	16.80	0.82	0.6	0.8
3	ccsA	969	987	689	160	16.21	0.84	0.7	0.9
4	accD	1509	1401	242	227	16.20	0.83	0.7	0.8
5	rps3	648	663	467	107	16.14	0.82	0.7	0.9
6	ndhF	2232	2331	1606	368	15.79	0.81	0.6	0.8
7	rps8	405	411	294	64	15.57	0.8	0.7	0.9
8	rpl22	399	551	345	82	14.88	0.83	0.6	0.7
9	petL	96	96	70	14	14.58	0.9	0.8	0.9
10	ndhD	1503	1527	1116	207	13.56	0.82	0.7	0.9

Table 5. Ten highest informative sites of coding genes in eight species.

<sup>1</sup> Length: refers to sequence length in *Catha edulis*; <sup>2</sup> Aligned length: refers to the alignment of seven other species considered in the comparative analysis (see Materials and Methods); <sup>3</sup> Number of parsimony informative sites; <sup>4</sup> Percentage of parsimony informative sites; <sup>5</sup> CI: Consistency Index; <sup>6</sup> RI: Retention Index; <sup>7</sup> SI: Sequence Identity.

### 2.9. Phylogenetic Analysis

Based on cp genomes, phylogenetic analyses have helped to resolve the relationships of many angiosperm lineages [53,54]. Previous phylogenetic work in Celastraceae was inferred based on nuclear (26S rDNA and ITS) together with morphological traits and chloroplast genes (*matK*, *trnL-F*) [10]. Our phylogenetic analyses included *C. edulis* and 28 species which were sampled based on relationships from NCBI database (Available online: http://www.ncbi.nlm.nih.gov/genomes/GenomesGroup.cgi? taxid=2759&opt=plastid) and the angiosperm tree of life (Available online: http://www.mobot.org/mobot/research/apweb/) with *Glycine canescens, Glycine falcate, Trifolium aureum,* and *Trifolium boissieri* from Fabaceae as outgroup taxa. The phylogenetic tree indicated that *Catha* and *Euonymus* where most closely related based on 73 common protein-coding genes (Figure 6). Most branches of the phylogenetic tree had high bootstrap support with all three methods. This suggests that the full cp genome information could be very useful in resolving phylogenetic conflicts but phylogenetic analyses with many closely related species are needed to test the resolving power of chloroplast coding genes [55].



**Figure 6.** Phylogenetic tree based on 73 shared protein-coding genes was constructed for 33 species using three different methods, including Parsimony analysis, maximum likelihood (ML) and Bayesian inference (BI). All branches had bootstrap values or posterior probability of 100/1.00 except those labeled. The *rps16* gene losses are indicated with green triangles and the *rps16* intron loss is indicated with a purple triangle.

With a clearly resolved and strongly supported phylogeny, evolutionary patterns can be more clearly interpreted, such as gene or intron sequence loss/gain. Specifically, the intron loss of the *rps16* gene and loss of the whole *rps16* gene (Figure 6), were found in Celastraceae (*rps16* intron loss) and independently (*rps16* gene loss) in the genus *Trifolium* (Fabaceae), and the clade Salicaceae + Violaceae (Table S6). Gene and intron loss have been noted numerous times in land plant cp genomes [37]. From the phylogenetic tree, we were able to infer that the intron of *rps16* was lost in an ancestor to the Celastraceae independently from the two *rps16* gene loss events (Figure 6). Why only the *rps16* intron was lost in the Celastraceae and the entire gene in other closely related lineages is not known. Further study is needed to understand the underlying mechanisms of gene vs. intron loss in these related groups.

### 3. Materials and Methods

## 3.1. DNA Extraction and Sequencing

DNA for this project was obtained from aliquots of the extracts used in Tembrock et al., 2017. Total genomic DNA was used to build sequence libraries (Illumina Inc., San Diego, CA, USA), and was extracted from leaves using a *Catha* specific DNA extraction protocol described in Tembrock et al., 2017. At the Beijing Genomics Institute (BGI), an Illumina HiSeq 2000 sequencer was used to sequence paired-end (PE) sequencing libraries with an average 300 bp insert length. From this, over 10 million clean reads were passed through quality control with a 100 bp each read length. All other used species in this paper were listed in Table S1.

#### 3.2. Chloroplast Genome Assembly and Sequence Analysis

The original Illumina reads were pre-processed, including the trimming and filtering of low-quality sequences with Trimmomatic v0.3 [56] in which the parameters used were as follows: minlen: 50; trailing: 3; leading: 3; and sliding window: 4:15. De novo assembly from *C. edulis* employed the default parameters (Available online: http://www.clcbio.com) in the CLC genomic workbench v7

(CLCbio, Hilden, Germany). Then, three independent de novo assemblies, which included single-end forward reads, single end reverse reads, and PE reads, were performed [18]. After that, a single assembly formed by the combination of these three separate assemblies was conducted. From the complete CLC assembly results, assembled contigs longer than 0.5 kb with over 100× coverage were compared to complete cp genomes of several species, including *Euonymus japonicus* (Celastraceae, KP189362), *Populus euphratica* (Salicaceae; NC\_024747), and *Salix purpurea* (Salicaceae; NC\_026722). Matching the contigs from the cp genomes was done using Local BlastN searches [57]. Using the conserved cp genome regions, the related cp genomes were matched with the mapped contigs [58] and then a single contig was connected to these contigs to create the quadripartite genome employing Contig Express 2003 (Invitrogen, Carlsbad, CA, USA). By designing primers in regions flanking gaps, PCR amplification was carried out and the gap sequences were completed by adding sequence data obtained from Sanger sequencing (Figure S2).

Additionally, primers were designed to verify de novo sequence assemblies, such as the junction regions of the cp genome (Table S2). The 40- $\mu$ L PCR volume was setup as follows: 10× Taq buffer 4  $\mu$ L, ddH<sub>2</sub>O 33.3  $\mu$ L, 10 mM dNTP 0.8  $\mu$ L, 20 pmol/ $\mu$ L each primer 0.5  $\mu$ L, 5 U/ $\mu$ L Taq polymerase 0.4  $\mu$ L and DNA template 0.5  $\mu$ L. Taq buffer, dNTP, primers were from Sangong Biotech (Shanghai, China). Cycling conditions were 94 °C for 5 min, 32 cycles 94 °C for 45 s, 54 °C for 45 s, 72 °C for 2 min and, a 10 min 72 °C final extension step. By combining the results of Sanger sequencing, the whole cp genome was used to map reference species to confirm the assembly with the uniformity of the iterative sequences.

Annotation of the transfer RNAs (tRNAs), protein-coding genes, and ribosomal RNAs (rRNAs) was first performed using DOGMA v1.2 (University of Texas at Austin, Austin, TX, USA) [59]. Then, the protein-coding gene positions in the draft annotation were verified and if necessary manually adjusted following alignment to the related species, *Euonymus japonicas* [58] to accurately determine the genes starting point, stop codons and exon borders. Finally, BLASTN searches and tRNAscan-SE v1.21 (University of California Santa Cruz, CA, USA) [60] were employed to verify both tRNA and rRNA genes.

A graphical cp genome map for *C. edulis* was completed using OGDraw (OrganellarGenomeDRAW) (V 1.2, Max Planck Institute of Molecular Plant Physiology, Am Mühlenberg, Germany) [61]. The annotated *C. edulis* cp genome reported and analyzed herein has been deposited in GenBank (KT861471).

## 3.3. Chloroplast Genomes Comparison

#### 3.3.1. IR Expansion and Contraction

The changes in the size of the angiosperm cp genomes are mainly due to the contraction and expansion from the inverted repeat region, and the two single copy boundary areas. Four borders (J<sub>LA</sub>, J<sub>LB</sub>, J<sub>SA</sub>, and J<sub>SB</sub>) are present in the *C. edulis* cp genome and are situated in the middle of two IRs and two single copy regions [62]. The IR borders and neighboring genes of the two Celastrales species (*Catha edulis* and *Euonymus japonicus*) and six Malpighiales species cp genomes (*Hevea brasiliensis*, *Manihot esculea*, *Populus euphratica*, *Ricinus communis*, *Salix purpurea*, and *Viola seoulensis*) were compared in this study.

#### 3.3.2. Repeat Analysis

Two methods were used to search repeats in *C. edulis* [63]. We identified simple sequence repeats (SSRs) using SSR Hunter v1.3 (Nanjing Agricutural University, Nanjing, China) [64] with cut-offs of eight copy number for mono-SSRs, four copy number for di-, three copy number for tri-, tetra-, penta- and hexanucleotide SSRs. To discover larger repeat regions, REPuter [65] was employed to find four possible repeats types: containing complement, forward, palindrome, and reverse repeats. Nested and low complexity repeats were not included in this study [66].

### 3.3.3. Dot-Plot Analysis

To identify the structural variations across all 14 genera, *Populus* (Salicaceae; Malpighiales), *Salix* (Salicaceae; Malpighiales), *Viola* (Violaceae; Malpighiales), *Hevea* (Euphorbiaceae; Malpighiales), *Manihot* (Euphorbiaceae; Malpighiales), *Ricinus* (Euphorbiaceae; Malpighiales), and *Euonymus* (Celastraceae; Celastrales), as well as outgroup genera *Prunus*, *Morus*, *Theobroma*, *Eucalyptus*, *Elaeagnus*, *Castanea*, and *Citrus*, we conducted the dot-plot analysis (based on a custom perl script) [13] between *C. edulis* and all 14 genera to visualize structural differences in two dimensional plots.

## 3.3.4. Verification of the rps16 Intron Loss from Catha and Seven Other Celastraceae Genera

During annotation, the intron loss of *rps16* was found in the cp genome of *C. edulis*. To verify whether this intron loss happened throughout Celastraceae, two primers were designed (Forward-ACTTCGTTTGAGACGGTGTG, Reverse- AAAAACCCCGATTTCTTTGA) to amplify the entire *rps16* intron from *C. edulis* and seven other Celastraceae species (*Quetzalia stipitata, Mortonia diffusa, Microtropis triflora, Maytenus elliptica, Monimopetalum chinensis, Cassine aethiopica,* and *Parnassia glauca*). In *C. edulis*, the target *rps16* fragment without the intron is about 550 bp. Absence of the *rps16* intron was visualized on 0.8% agarose gels. The size of the fragment was determined by comparing it to a DNA size standard [67]. The *rps16* gene was sequenced using Sanger sequencing at the Beijing Genomics Institute (BGI).

## 3.3.5. Phylogenetic Analyses

The 73 common protein-coding genes of 26 species cp genomes, among them eight Rosales and four Fabales outgroup species, were aligned under the default parameters of Clustal X, with reading frames included by manual correction (Supplement data matrix) [68]. The phylogenetic tree based on these 73 common genes was inferred using three different methods. Implementation of Parsimony analysis, Bayesian inference (BI), and maximum likelihood (ML) were made in PAUP\* 4.0b10 [69], MrBayes 3.1.2, and PHYML v 2.4.5 [70,71] respectively using the parameters from Wu et al. [18].

#### 4. Conclusions

In this study, using next generation sequencing technology, we successfully completed the whole chloroplast genome for the economically important species *C. edulis*. In comparing the *C. edulis* cp genome with numerous closely related species, we found that it has a typical angiosperm cp genome structure and gene content. However, some unique features are reported here, such as the loss of the intron region from the *rps16* gene, and repeat structure and abundance. We also resolved the phylogenetic position of *C. edulis* with its relatives including the monophyly of Celastraceae. The whole cp genome of *C. edulis* provides a valuable genetic resource for further phylogenomic research, barcoding, and cp transformation in Celastraceae.

#### Supplementary Materials: Supplementary materials can be found at www.mdpi.com/1422-0067/19/2/525/s1.

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## Article Comparative Plastid Genomes of *Primula* Species: Sequence Divergence and Phylogenetic Relationships

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Abstract: Compared to traditional DNA markers, genome-scale datasets can provide mass information to effectively address historically difficult phylogenies. Primula is the largest genus in the family Primulaceae, with members distributed mainly throughout temperate and arctic areas of the Northern Hemisphere. The phylogenetic relationships among *Primula* taxa still maintain unresolved, mainly due to intra- and interspecific morphological variation, which was caused by frequent hybridization and introgression. In this study, we sequenced and assembled four complete plastid genomes (Primula handeliana, Primula woodwardii, Primula knuthiana, and Androsace laxa) by Illumina paired-end sequencing. A total of 10 Primula species (including 7 published plastid genomes) were analyzed to investigate the plastid genome sequence divergence and their inferences for the phylogeny of Primula. The 10 Primula plastid genomes were similar in terms of their gene content and order, GC content, and codon usage, but slightly different in the number of the repeat. Moderate sequence divergence was observed among *Primula* plastid genomes. Phylogenetic analysis strongly supported that Primula was monophyletic and more closely related to Androsace in the Primulaceae family. The phylogenetic relationships among the 10 Primula species showed that the placement of P. knuthiana–P. veris clade was uncertain in the phylogenetic tree. This study indicated that plastid genome data were highly effective to investigate the phylogeny.

Keywords: plastid genome; phylogenetic relationship; Primula; repeat; sequence divergence

## 1. Introduction

*Primula* is the largest genus in the family Primulaceae with approximately 500 species [1,2], where they are especially rich in the temperate and arctic areas of the Northern Hemisphere, with only a few outliers found in the Southern Hemisphere. China is the center of *Primula* diversity and speciation with over 300 species [1,3]. Many *Primula* species are grown widely as ornamental and landscape plants because of their attractive flowers and long flowering period. Therefore, *Primula* is reputed to be one of the great garden plant genera throughout the world [2,3].

As a typical cross-pollinated plant with heterostyly, *Primula* has been a particular focus of many botanists, and various studies are involved in hybridization [4], pollination biology [5,6], and distyly [7,8]. According to morphological traits, the taxonomic study of *Primula* has been revised for several times. Smith and Fletcher (1947) firstly proposed an infrageneric system with a total of 31 sections [9]. Considering some putative reticulate evolutionary relationships, Wendelbo (1961) posed a revised system with seven subgenera [10]. Richards (1993) later amended Wendelbo's version and classified six subgenera [11]. Hu and Kelso (1996) delimited the Chinese *Primula* species into 24 sections [1]. Recently, numerous molecular phylogenetic works of the genus *Primula* have also been conducted by using plastid and/or nuclear gene fragments [12–14]. These studies have greatly advanced our understanding of the evolutionary

history of *Primula* species. However, the phylogenetic relationships within the genus *Primula* are still uncertain, mainly due to intra- and interspecific morphological variation, which was caused by frequent hybridization and introgression [1,2,14]. Further research has been hindered by the insufficient information of the traditional DNA markers, such as one or few chloroplast gene fragments, and by the complex evolutionary relationships in *Primula*. Therefore, more sequence resources and genome data are required in order to obtain a better understanding of the phylogeny of the genus *Primula*.

In general, the plastid genome in angiosperms is a typical quadripartite structure, where the size ranges from 115 to 165 kb, with two copies of inverted repeat (IR) regions separated by a large single copy (LSC) region and a small single copy (SSC) region [15]. Approximately 110–130 distinct genes are located along the plastid genome [16]. Most of these are protein-coding genes, the remainder being transfer RNA (*tRNA*) or ribosomal RNA (*rRNA*) genes [16]. Due to its particular advantages—such as small size, uniparental inheritance, low substitution rates, and high conservation in terms of the gene content and genome structure [17,18]—the plastid genome is considered a very promising tool for phylogenetic studies [19,20]. Significant advances in next-generation sequencing technology made it fairly inexpensive and convenient to obtain plastid genome sequences [21,22]. As a result, phylogenomic analyses have also been greatly facilitated. For example, the plastid genomes [23]. The relationships between wild and domestic *Citrus* species could also be resolved with 34 plastid genomes [24]. Similarly, 142 plastid genomes were used to successfully infer deep phylogenetic relationships and the diversification history of Rosaceae [25]. These studies strongly indicate that plastid phylogenomics is helpful in determining the phylogenetic positions of various questionable lineages of angiosperms.

In the present study, we analyzed the complete plastid genomes of 10 *Primula* species including 7 published plastid genomes and 3 new data (*Primula handeliana*, *Primula woodwardii*, and *Primula knuthiana*) by using Illumina sequencing technology. Our primary aims were to: (1) compare the complete plastid genomes of 10 *Primula* species; (2) document that the extent of sequence divergence among the *Primula* plastid genomes; and (3) increase more sequence resources and genome information for investigating the phylogeny in genus *Primula*. The complete plastid genome of *Androsace laxa* from a closely related genus was used as the outgroup in the phylogenomic analysis of genus *Primula*. This study will not only contribute to further studies on the phylogeny, taxonomy, and evolutionary history of the genus *Primula*, but also provide insight into the plastid genome evolution of *Primula*.

## 2. Results

#### 2.1. Genome Features

The sizes of the plastid genomes of the 10 *Primula* species ranged from 150,856 bp to 153,757 bp, where they had a typical quadripartite structure, including a LSC region (82,048–84,479 bp) and a SSC region (17,568–17,896 bp) separated by a pair of IR regions (25,182–25,855 bp) (Table 1). In the 10 *Primula* plastid genomes, gene content was similar and gene order was identical. The *Primula* plastid genomes contained about 130–132 genes, including 85–86 protein-coding genes, 37 *tRNA* genes, and 8 *rRNA* genes (Tables 1 and S4). The *accD* gene was a pseudogene in *P. sinensis*, whereas it was missing in *P. persimilis* and *P. kwangtungensis*. The *P. poissonii* plastid genome contained a pseudogene (*infA*). Among these genes, 15 genes harbored a single intron (*trnA-UGC, trnG-UCC, trnI-GAU, trnK-UUU, trnL-UAA, trnV-UAC, atpF, ndhA, ndhB, petB, petD, rpoC1, rpl2, rpl16, and rps16*) and three genes (*pafI, clpP*, and *rps12*) harbored two introns. Seven *tRNA* genes, seven protein-coding genes, and all four *rRNA* genes were completely duplicated in the IR regions (Table 1). *trnk-UUU* had the largest intron (2487–2568 bp) containing the *matK* gene. The GC contents of the LSC, SSC, and IR regions, as well as those of the whole plastid genomes, were nearly identical in the 10 *Primula* plastid genomes (Table 1). The overall GC content of the *A. laxa* plastid genome was 37.3%,

and the corresponding values for the LSC, SSC, and IR regions were 35.2, 30.9, and 42.7%, respectively (Table 1).

Taxa	A. laxa *	P. handeliana *	P. woodwardii *	P. knuthiana *	P. poissonii	P. sinensis	P. veris	
Assembly reads	16,137,534	12,884,542	25,149,710	15,928,364	/	/	/	
Mean coverage	293.4×	482.4×	508.3×	405.3×	/	/	/	
GenBank numbers	MG181220	MG181221	MG181222	MG181223	NC_024543	NC_030609	NC_031428	
Total genome size (bp)	151,942	151,081	151,666	152,502	151,664	150,859	150,856	
LSC (bp)	83,078	82,785	83,325	83,446	83,444	82,064	82,048	
IRs (bp)	25,970	25,200	25,290	25,604	25,199	25,535	25,524	
SSC (bp)	16,924	17,896	17,761	17,848	17,822	17,725	17,760	
Total GC content (%)	37.3	37	37	37	37	37.2	37.1	
LSC (%)	35.2	34.9	34.9	34.9	34.9	35.2	35.1	
IRs (%)	42.7	42.9	42.8	42.7	42.9	42.8	42.7	
SSC (%)	30.9	30.2	30.2	30.3	30.1	30.5	30.2	
Total number of genes	132	131	131	131	132	131	131	
Protein- coding	87 (7)	86 (7)	86 (7)	86 (7)	86 (7)	85 (7)	86 (7)	
tRNA	37 (7)	37 (7)	37 (7)	37 (7)	37 (7)	37 (7)	37 (7)	
rRNA	8 (4)	8 (4)	8 (4)	8 (4)	8 (4)	8 (4)	8 (4)	
Pseudogenes	/	/	/	/	infA	accD	/	
Taxa	P. kwa	ngtungensis	P. chrysochlora	P. steno	donta	P. pers	similis	
Raw Base (G)		/	/	/			/	
Mean coverage		/	/	/			/	
GenBank numbers	NC	2_034371	KX668178	KX668	3176	KX64	11757	
Total genome size (bp)	ne 153,757		151,944	150,7	785	152,756		
LSC (bp)	84,479		83,953	82,6	82	83,537		
IRs (bp)	:	25,855	25,460	25,1	82	25,753		
SSC (bp)		17,568	17,801	17,7	39	17,713		
Total GC content (%)		37.1	37	37.	1	37.2		
LSC (%)		35	35	35	;	35.2		
IRs (%)		42.7	42.8	43	;	42	2.8	
SSC (%)		30.4	30.2	30.	2	30	).6	
Total number of genes		130	131	13	1	130		
Protein-coding		85 (7)	86 (7)	86 (	7)	85	(7)	
tRNA		37 (7)	37 (7)	37 (	7)	37	(7)	
rRNA		8 (4)	8 (4)	8 (4	1)	8	(4)	
Pseudogenes		/	/	/		/		

Table 1. Plastid genomic characteristics of the 10 Primula species and A. laxa.

\*, The four newly generated plastid genomes. LSC, large single copy region, IR, inverted repeat regions, and SSC, small single copy region.

### 2.2. Codon Usage Analysis

Codon usage plays a crucial role in evolution of plastid genome. Here, we first analyzed codons of the protein-coding genes in the 10 *Primula* plastid genomes. The number of encoded codons ranged from 25,781 (*P. sinensis*) to 26,505 (*P. knuthiana*) (Table S5). Detailed codon analysis showed that the

10 *Primula* species had similar codon usage and relative synonymous codon usage (RSCU) values (Table S5). Leucine and Cysteine were the highest (2743–2823 codons) and lowest (280–298 codons) frequent amino acids in these species, respectively (Table S5). RSCU > 1 denotes that the codon is biased and used more frequently, RSCU = 1 shows that the codon has no bias, and RSCU < 1 indicates that the codon is used less frequently. All 10 *Primula* plastid genomes had 30 biased codons with RSCU > 1 (Table S5). The biased codons had higher representation rates for A or T at the third codon position in a similar manner to the majority of angiosperm plastid genomes. Except for TTG, all of the types of biased codons (RSCU > 1) ended with A or T. The GC% was quite different at the three codon positions (Table S6). The average values of GC% for the first, second, and third codon positions of 10 *Primula* species were 45.3, 37.9, and 29.2%, respectively (Table S6). The observation of GC% level also indicated that plastid genome in *Primula* was a strong bias toward A or T at the third codon position.

## 2.3. Analysis of Repeat Elements

Three categories of repeats (dispersed, palindromic, and tandem repeats) were identified in the 10 *Primula* plastid genomes. We detected 326 repeats in total comprising 144 dispersed, 123 palindromic, and 59 tandem repeats (Figure 1A and Table S7). Among them, repeats of *P. sinensis* (45) were the greatest and that of *P. woodwardii* (26) were the lowest (Figure 1A and Table S7). The majority of the repeats (95.4%) ranged in size from 14 to 62 bp (Figure 1B and Table S7). Repeats located in intergenic spacer (IGS) and intron regions comprised 44.2% (144 repeats) of the total repeats and 47.8% (156 repeats) were located in *ycf2* gene, whereas only a minority were located in other coding DNA sequence (CDS) regions, such as *psaB, trnS-GCU, ycf1, rpoB, ndhF*, etc. (Table S7).



Figure 1. The type of repeated sequences in the 10 *Primula* plastid genomes. (A) Number of three repeat types; (B) number of repeat sequences by length.

A total of 496 simple sequence repeats (SSRs) measuring at least 10 bp in length were also analyzed (Figure 2A and Table S8). Among these SSRs, the mononucleotide, dinucleotide, trinucleotide, tetranucleotide, and hexanucleotide SSRs were all detected. The mononucleotide SSR were the richest with a proportion of 76.6%, followed by dinucleotide SSR (11.7%), tetranucleotide SSR (7.7%), and trinucleotide SSR (2.8%) (Figure 2A and Table S8). We only detected six pentanucleotide and hexanucleotide SSRs in the 10 *Primula* cp genomes (Figure 2A and Table S8). Unsurprisingly, the mononucleotide A/T SSR occupied the highest portion (368; 74.2%) (Table S8). The number of mononucleotide A/T SSR was significantly higher than that of the mononucleotide G/C SSR (Figure 2B and Table S8). Furthermore, most of the SSRs were found in IGS regions (56.9%), followed by CDS regions (25%), and intron regions (17.9%) (Table S8). SSRs located in the CDS region were mainly found in the *ycf1* gene.



**Figure 2.** Simple sequence repeats (SSRs) in the 10 *Primula* plastid genomes. (**A**) Number of SSR types; (**B**) number of mononucleotide A/T and G/C SSRs.

#### 2.4. IR/SC Boundary and Genome Rearrangement

The IR/SC boundary contents of 10 *Primula* plastid genomes were compared (Figure 3). The gene content and gene order were conserved at the IR/SC boundary, but the *Primula* plastid genomes exhibited more obvious differences. In the *P. kwangtungensis* plastid genome, the *rps19* gene was located entirely in the LSC, whereas IRb extended in a variable manner 7–175 bp into the *rps19* gene in all the other species. In the *P. chrysochlora* plastid genome, IRb even crossed completely into the *rps19* gene. IRb extended 7–74 bp in a variable manner into the *ndhF* genes, except in the *P. handeliana* and *P. poissonii* plastid genomes. In all of the *Primula* plastid genomes, IRa extended into the *ycf1* genes, where the smallest and largest extensions occurred in the *P. handeliana* (888 bp) and *P. kwangtungensis* (1048 bp) plastid genomes. The whole-genome alignment of the 10 *Primula* plastid genomes showed no rearrangement events in *Primula* (Figure S1).



**Figure 3.** Comparison of the LSC, IR, and SSC border regions among the 10 *Primula* plastid genomes. Number above the gene features means the distance between the ends of genes and the borders sites. These features are not to scale.

#### 2.5. Sequence Divergence

To investigate the levels of sequence divergence, the 10 *Primula* plastid genomes were plotted using mVISTA with *P. poissonii* as the reference (Figure 4). The *Primula* plastid genomes exhibited moderate sequence divergence (Figure 4). As expected, coding and IR regions exhibited more sequence conservation than non-coding and SC regions, respectively (Figure 4). We then calculated the

percentage of variable characters for each coding region and non-coding regions with an alignment length of more than 200 bp (Table S9). The average percentage of variation in non-coding regions is 0.38, which was significantly higher than that in the coding regions (0.088 on average; Table S9). The accD gene contained various indels and it was a pseudogene in *P. sinensis* and missing in *P. persimilis* and P. kwangtungensis, which may have caused the most divergent coding region. In addition, 15 genes had a percentage of variation greater than 0.10 (Table S9), i.e., ycf1 (0.23), matK (0.18), ycf15 (0.17), ndhF (0.17), rpl33 (0.16), rpl22 (0.16), rps16 (0.15), rps8 (0.12), ccsA (0.12), rps15 (0.12), rpoC2 (0.11), psbH (0.11), ndhD (0.11), rpoA (0.10), and ndhA (0.10). Among the 16 genes with higher percentages of variation, 15 genes were found in SC regions and only one gene in IR regions (Table S9). The average percentages of variations in the LSC, SSC, and IR regions were 0.42, 0.43 and 0.15 in the non-coding regions, while the corresponding values in the coding regions were 0.09, 0.11, and 0.04, respectively (Table S9). All of the results demonstrated that the IR regions were more conserved than the SC regions. The overall sequence divergence based on the p-distance among the 10 Primula species was 0.028143 (Table S10). The pairwise p-distance between the 10 species ranged from 0.005857 to 0.041629 (Table S10). These results suggested that moderate sequence divergence has occurred within the genus Primula.



**Figure 4.** Sequence identity plot of the 10 *Primula* plastid genomes, with *Primula* poissonii as a reference. The *y*-axis represents % identity ranging from 50% to 100%. Coding and non-coding regions are marked in purple and pink, respectively. The red, black, and gray lines show the IRs, LSC, and SSC regions, respectively.

#### 2.6. Phylogenomic Analysis

To investigate the phylogenetic position of *Primula*, three datasets (76 shared protein-coding genes, codon positions 1 + 2, and codon position 3) were used to conduct the BI and ML analyses (Figures 5 and S2). The selected models of each dataset were shown in Table 2. Support values were generally high for almost all relationships inferred from 76 shared protein-coding genes (the support values had a range of 78/0.91–100/1) (Figure 5). All phylogenetic trees clearly identified that *Primula* was monophyletic and more closely related to *Androsace* with high support values (Figures 5 and S2).

Datasets	Best Fit Model	Model in ML	Model in BI
76 shared protein-coding genes	TVM + I + G	GTR + G	TVM + I + G
Codon positions $1 + 2$	TVM + I + G	GTR + G	TVM + I + G
Codon position 3	GTR + I + G	GTR + G	GTR + I + G
Whole plastid genomes	TVM + I + G	GTR + G	TVM + I + G
Protein-coding regions	TVM + I + G	GTR + G	TVM + I + G
Introns & intergenic spacers	TVM + I + G	GTR + G	TVM + I + G
IRs	TVM + I + G	GTR + G	TVM + I + G
LSC	GTR + I + G	GTR + G	GTR + I + G
SSC	TVM + I + G	GTR + G	TVM + I + G

Table 2. Datasets and selected model in ML and BI analysis



**Figure 5.** Phylogenetic relationship of the 31 species inferred from ML and BI analyses based on 76-shared protein-coding genes. The numbers near each node are bootstrap support values and posterior probability. *Hydrangea petiolaris* and *Hydrangea serrata* were used as the outgroups.

We then constructed six datasets (whole plastid genome, protein-coding regions, LSC, SSC, IRs, and introns & intergenic spacers) to analyze the phylogenetic relationships among the members of the genus *Primula*. The plastid genome of *A. laxa* was used as the outgroup. The selected models for each dataset used in BI and ML analyses were displayed in Table 2. The different datasets generally produced congruent phylogenetic trees (two topological structures) with moderate to high support values (Figure 6). All of the phylogenetic trees showed that *P. stendonta*, *P. poissonii*, and *P. chrysochlora* formed a monophyletic group, where they belong to Sect. *Proliferae*. Although *P. woodwardii* and *P. handeliana* belong to Sect. *Crystallophlomis*, they were not monophyletic. *P. kwangtungensis*, *P. persimilis*, and *P. sinensis* belong to different sections, but they clustered together in the phylogenetic trees. In addition, *P. knuthiana* was more closely related to *P. veris* than other *Primula* species, but their placements varied in topological structure.



**Figure 6.** Phylogenetic relationships of the 10 *Primula* species and *A. laxa* inferred from ML and BI analyses. (**A**) Whole plastid genomes; (**B**) protein-coding regions; (**C**) introns and intergenic spacer regions; (**D**) IR regions; (**E**) SSC regions; and (**F**) LSC regions. The numbers near each node are bootstrap support values and posterior probability.

## 3. Discussion

#### 3.1. Evolution of the Plastid Genome

Most angiosperm plastid genomes are highly conserved in terms of their gene content and order, but gene loss (deletion or production of pseudogenes) has occurred in several angiosperm lineages [26,27]. In our study, the *accD* gene was found in seven *Primula* plastid genomes, while it was a pseudogene in *P. sinensis* and was missing in *P. persimilis* and *P. kwangtungensis*. The *accD* gene encodes the acetyl-CoA carboxylase subunit D, which has been lost either partially or completely from some members of the Poales and Acoraceae [28]. The *infA* gene was a pseudogene in *P. poissonii* plastid genome, but it has been entirely lost from the other *Primula* plastid genome. The *infA* gene encodes translation initiation factor 1, which assists with the assembly of the translation initiation complex [18]. Similar events have also occurred in other angiosperm plastid genomes, such as those of *Hagenia abyssinica* [29] and *Morella rubra* [30], although the plastid genome of *A. laxa* contains the *infA* gene. The photosystem assembly factors (*ycf3* and *ycf4*) that act on photosystem I complex [31,32] should be renamed as *pafI* and *pafII* (respectively) according to recent studies [18]. Here, we use the new names of the two genes in both *Primula* and *A. laxa* plastid genomes.

IRs are the most conserved regions in the plastid genomes, where the contraction and expansion of the IR regions have occurred frequently. Our results indicated more obvious differences at the IR/SC boundaries. Particularly, in the *P. kwangtungensis* plastid genome, the *rps19* gene was located entirely in the LSC. By contrast, IRb extended into the *rps19* gene and it even completely crossed the *rps19* gene in the *P. chrysochlora* plastid genome. In addition, IRa extended into the *ycf1* genes where the smallest and largest extensions occurred in *P. handeliana* (888 bp) and *P. kwangtungensis* (1048 bp). The expansions of IRs into the *rps19* gene and *ycf1* gene have been also observed in *Cardiocrinum* [33] and *Amana* [34]. IR regions contraction and expansion events are relatively common evolutionary phenomena in plants [35]. Moreover, IR region loss was observed in some species [36,37].

Large and complex repeat sequences may play important roles in the arrangement and recombination of the plastid genome [38,39]. In all, 326 repeats were detected in the 10 Primula plastid genomes. Compared with other angiosperm species [40], this number is relatively small. Most of repeats ranged in size from 14 to 62 bp and almost all were not large repeats (>100 bp), which were in a similar manner to those reported in other plants [41-43]. Pelargonium, Trifolium, and Trachelium, the most highly rearranged plastid genomes contain a high frequency of large repeats (>100 bp) [44]. Our study revealed that no rearrangement events occurred in Primula, we thus deduced that may be mainly ascribed to no large repeats in these 10 Primula plastid genomes. Repeats located in ycf2 gene occupied 47.8% of the total repeats. The ycf2 gene is the largest gene in the Primula plastid genomes with over 6000 bp in length, and is completely duplicated in the IR regions. This phenomenon has also been reported in Cardiocrinum [33]. SSRs are highly polymorphic, and thus they are employed as molecular markers for population genetics and phylogenetic investigations [45,46]. Notably, the majority of the SSRs in the 10 Primula plastid genomes were the mononucleotide A/T SSRs (74.2%), which supports previous reports that SSRs in the plastid genome generally comprise short polyadenine (polyA) or polythymine (polyT) repeats [47,48]. Most of the SSRs were found in IGS regions (56.9%), followed by CDS regions (25%) and introns (17.9%). The CDS region with the highest number of SSRs was *ycf1*, as found in other species, such as *Cardiocrinum* [33] and Vigna radiata [49]. In the 10 Primula plastid genomes, the ycf1 gene usually spanned the small single copy (SSC) and the inverted repeat a (IRa) region. It is very interesting that all but two of the SSRs in the ycf1 gene are distributed in the SSC region. It is possible because the section of ycf1 gene in the IRa region is shorter (less than one kilobase long) than these in SSC region (more than four kilobase long) [50]. The cpSSRs reported here would be potential molecular markers for future studies of *Primula* species.

According to the results obtained using mVISTA, the *Primula* plastid genomes exhibited moderate sequence divergence, especially in the non-coding regions. Our study showed that the coding regions were more conserved than the non-coding regions, as found in many plants [41–43]. Besides, the IR regions were more conserved than the SC regions as previous studies [51]. This fact that the two IR regions were less variable was attributed to the conservation of the ribosomal RNA genes, which comprised about one-third of the IR region in the plastid genomes [17]. The p-distance results also confirmed that moderate sequence divergence exists within the genus *Primula*. Compared with related herbaceous plants, trees, and shrubs generally have relatively long generation times and low rates of molecular evolution [52]. Herbs have shorter generation times and show much higher rates of molecular change and variance in rates [52]. The genetic diversity of heterotypic flower plants is higher than that of self-pollinated plants, indicating that genetic variation is easy to occur in interspecific and intraspecific species of heterotypic flower plants [53]. Therefore, the moderate sequence divergence probably be related to biology characteristics of these *Primula* species, such as perennial herbs, shorter generation times, cross-pollination, distyly, etc.

#### 3.2. Phylogenetic Relationships

Plastid genomes have been successfully used to resolve the phylogenetic relationships in plant groups [23,25,54]. In this study, we used two methods (ML and BI) to construct the phylogenetic trees. We used three datasets to investigate the phylogenetic position of *Primula*. All of the phylogenetic trees indicated that *Primula* was monophyletic and more closely related to *Androsace* in Primulaceae family. Besides, in the genus *Primula*, all of the phylogenetic trees showed that Sect. *Proliferae (P. chrysochlora, P. poissonii*, and *P. stenodonta*) formed a monophyletic group and *P. chrysochlora* was closely related to *P. poissonii* [55]. Both *P. woodwardii* and *P. handeliana* belong to Sect. *Crystallophlomis*, but they did not have the closest relationship. The phylogenetic trees indicated that *P. woodwardii* and Sect. *Proliferae* were sister groups, then they clustered with *P. handeliana* in the same clade. Section *Crystallophlomis* and *Proliferae* were clustered into one clade in this study, which was also supported by karyotype study [56], but was inconsistent with the morphological work [9]. The placement of *P. knuthiana-P. veris* clade was uncertain in the phylogenetic tree. This was partly due to the rapid evolution of genus *Primula* [14,57]. The lack of samples might also affect the results of the phylogenetic analysis. In fact, for this large genus, our study could not fully clarify the relationships among *Primula* species due to

the limited taxa sampled. Hence, more species and comprehensive analyses should be included in the future phylogenetic studies of *Primula* species. All in all, our analysis based on plastid genomes provides a valuable resource that should facilitate future phylogeny, taxonomy, and evolutionary history studies of this genus.

## 4. Materials and Methods

## 4.1. Plant Materials and DNA Extraction

The four plant materials (*Primula handeliana*, *Primula woodwardii*, *Primula knuthiana*, and *Androsace laxa*) used in this study were sampled from Taibai Mountain (Shaanxi, China; 107.77 °E, 33.95 °N). Total genomic DNA was extracted from silica-dried leaves with a modified CTAB method [58] by Biomarker Technologies Inc., Beijing, China. Voucher specimens were deposited in the Key Laboratory of Resource Biology and Biotechnology, Northwest University. All of the newly generated complete plastid genome sequences were deposited in GenBank (https://www.ncbi.nlm.nih.gov) (Table 1). The complete plastid genomes of *Primula poissonii* (NC\_024543) [59], *Primula sinensis* (NC\_030609) [57], *Primula veris* (NC\_031428) [60], *Primula kwangtungensis* (NC\_034371) [61], *Primula chrysochlora* (KX668178) [55], *Primula stenodonta* (KX668176) [62], and *Primula persimilis* (KX641757) [63] were recovered in order to conduct follow-up analysis (Table S1).

#### 4.2. Illumina Sequencing, Assembly, and Annotation

Whole-genome sequencing was performed using the 150 bp pair-end sequencing method with the Illumina Hiseq 2500 Platform by Biomarker Technologies Inc. (Beijing, China). First, the raw Illumina reads were quality trimmed using the NGSQC Toolkit\_v2.3.3 [64] with the default cutoff values. The clean reads were then subjected to reference-guided assembly with the MIRA v4.0.2 program [65] (parameters: job = genome, mapping, accurate; technology = solexa; segment\_placement = FR). We used Primula poissonii (NC\_024543) and Androsace bulleyana (KU513438) as reference genomes to assemble the *Primula* species and *A. laxa*, respectively. The resultant contigs were further assembled using a baiting and iteration method based on MITObim v1.8 [66] with default parameters. In addition, we also used the SPAdes v3.6.2 [67] (k = 33, 55, 77) to assemble the resultant clean reads of four species. We performed de novo assembly in order to verify the validity and accuracy of assembly results. Finally, a few gaps containing some ambiguous bases "N" and low-coverage regions in the assembled plastid genomes were confirmed by PCR-based Sanger sequencing. The primer pairs were designed online with the Primer3 program [68] and listed in the Supplementary Table S2. All of the genes were annotated using Dual Organellar Genome Annotator (DOGMA) software [69] with the default parameters. We then corrected the annotations with the GENEIOUS R8.0.2 program (Biomatters Ltd., Auckland, New Zealand) based on comparisons with related species. Codon usage and relative synonymous codon usage (RSCU) [70] value were estimated for all exons in the protein-coding genes with the CodonW v1.4.2 program [71].

## 4.3. Identification of Repeat Sequences

We used the online REPuter program [72] to identify dispersed and palindromic repeats with a minimum repeat size of 30 bp and two repeats comprising not less than 90% (Hamming distance = 3). Tandem repeats were detected using the Tandem Repeat Finder program [73] by setting two, seven, and seven as the alignment parameters for match, mismatch, and indels, respectively. The minimum alignment score and maximum period size were 80 and 500, respectively. Simple sequence repeats (SSRs) were detected using the Perl script MISA (http://pgrc.ipk-gatersleben.de/misa/) by setting the minimum number of repeats to 10, 5, 4, 3, 3, and 3 for mono-, di-, tri-, tetra-, penta-, and hexanucleotide SSRs, respectively.
### 4.4. Whole Plastid Genomes Comparison

Whole genome alignment with 10 *Primula* plastid genomes was run in MAUVE [74] under default settings to test rearrangement events across genomes.

#### 4.5. Sequence Divergence Analysis

The mVISTA program [75] was used to compare the 10 *Primula* plastid genomes with *P. poissonii* as the reference. The percentages of variable characters in each coding region and non-coding region with an aligned length of more than 200 bp were calculated as described in a previous study of Poaceae species [76]. The average genetic divergences of these *Primula* plastid genomes were estimated using p-distance with MEGA6 [77]. Substitution included transition and transversion. Gaps and missing data were completely deleted.

# 4.6. Phylogenomic Analysis

To investigate the phylogenetic position of *Primula*, we used 31 complete plastid genomes (Table S3). Among them, 29 were from Ericales, and two *Hydrangea* species (*Hydrangea serrata* and *Hydrangea petiolaris*) were used as the outgroups. 76 shared protein-coding genes, codon positions 1 + 2, and codon position 3, were used to conduct the phylogenetic analysis.

Then, six datasets, including the whole plastid genomes, protein-coding regions, LSC, SSC, IRs, and introns & intergenic spacers were used to conduct the phylogenetic analysis among genus *Primula* with *A. laxa* as the outgroup.

All of the datasets were aligned with MAFFT [78] using the default settings. In order to examine the phylogenetic utility of different datasets, phylogenetic analyses were conducted using maximum likelihood (ML) and Bayesian inference (BI) methods. The ML analysis was conducted using RAxMLv7.2.8 [79] with 1000 bootstrap replicates. The GTRGAMMA model was used in all of the ML analyses, as suggested in the RAxML manual. For the BI analysis, the best substitution model was determined according to Akaike's information criterion (AIC) with Modeltest v3.7 [80]. The BI analysis was performed using MrBayes v3.1.2 [81]. The Markov chain Monte Carlo (MCMC) algorithm was run for two million generations and the trees were sampled very 100 generations. Convergence was determined by examining the average standard deviation of the split frequencies (<0.01). The first 25% of the trees were discarded as a burn-in and the remaining trees were used to generate the consensus tree.

Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/4/1050/s1.

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# Article Sequencing, Characterization, and Comparative Analyses of the Plastome of *Caragana rosea* var. *rosea*

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**Abstract:** To exploit the drought-resistant *Caragana* species, we performed a comparative study of the plastomes from four species: *Caragana rosea, C. microphylla, C. kozlowii*, and *C. Korshinskii*. The complete plastome sequence of the *C. rosea* was obtained using the next generation DNA sequencing technology. The genome is a circular structure of 133,122 bases and it lacks inverted repeat. It contains 111 unique genes, including 76 protein-coding, 30 tRNA, and four rRNA genes. Repeat analyses obtained 239, 244, 258, and 246 simple sequence repeats in *C. rosea, C. microphylla, C. kozlowii*, and *C. korshinskii*, respectively. Analyses of sequence divergence found two intergenic regions: *trnI-CAU-ycf2* and *trnN-GUU-ycf1*, exhibiting a high degree of variations. Phylogenetic analyses showed that the four *Caragana* species belong to a monophyletic clade. Analyses of Ka/Ks ratios revealed that five genes: *rpl16, rpl20, rps11, rps7*, and *ycf1* and several sites having undergone strong positive selection in the *Caragana* branch. The results lay the foundation for the development of molecular markers and the understanding of the evolutionary process for drought-resistant characteristics.

Keywords: Caragana; Caragana rosea var. rosea; plastome; comparative genomics; molecular markers

# 1. Introduction

The genus Caragana has more than 100 species and it belongs to the family of Leguminosae. The plants mainly grow in arid and semi-arid areas of Asia and Europe. Plants from this genus are well-known in resisting drought, barren, cold, and heat, and have a strong adaptability to the sand environment to prevent wind and fixate sand [1]. Sixty two species of Caragana are distributed in China [2], most of them can afforest barren hills and preserve water and soil. The distributions of several Caragana species have been well-studied in China. C. microphylla and C. korshinskii are distributed in Northeast China, North China, and Northwest China [3]. C. microphylla is adapted to the typical steppe zone, forest steppe zone, and deciduous broad-leaved forest steppe zone of the Mongolian plateau. C. korshinskii is suitable for the fixed and semi fixed sand land in the steppe desert and the typical desert belt zone. C kozlowii origins in the Lancang River and Tibet, and was mostly found in riverside with 3600–4000 m altitude [4]. C rosea is mainly from Northeast China, North China, East China, Henan, and southern Gansu Province, growing in slopes and valleys [5]. In addition to its drought adaptability, its medicinal value, such as strengthening the spleen and tonifying the kidney, was also well known [1]. Furthermore, it was shown that chemical constituents in C. rosea have anti-HIV activities [6]. Until now, the plastome of C. korshinskii, C. microphylla, and C. kozlowii were reported, while the plastome of C. rosea has not been studied.

Plants live in constantly changing environments that impose many biotic stress, such as pathogen infection and herbivore attack and abiotic stress, such as drought, heat, cold, nutrient deficiency, and excess of salt or toxic metals. Through the past years, many abiotic stress signaling and response

pathways in plants have been discovered, with the core pathways involve protein kinases related to the yeast SNF1 and mammalian AMPK [7]. There is also research from the evolution of chloroplast genes adapted to contrasting habitats. For example, the *Cardamine resedifolia* plastid gene has undergone a more aggressive positive selection than *Cardamine impatiens*, which is located at lower elevations, which is why it is more adapted to the plateau environment [8,9]. For *Caragana* species, dozens of studies have been carried out on the morphological changes, such as stomatal status, leaf water state, cellular carbon metabolism, and etc., in drought responses [3,10]. However, little studies have been reported on the molecular bases for the stress responses in *Caragana* species at this time.

Different species of *Caragana* exhibit significantly varied abilities in drought resistance. For example, *C. rosea, C. microphylla, C. korshinskii,* and *C. kozlowii* have been evaluated for their strength of drought resistance based on leaf microstructure analysis, the drought resistance order from large to small is *C. korshinskii > C. microphylla > C. rosea > C. kozlowii* [11]. Furthermore, *C. microphylla* and *C. korshinskii* are closed related phylogenatically but difficult to differentiate morphologically [1,3]. Therefore, it is important to develop molecular markers to distinguish species accurately and to promote the rational use of species.

The plastome is an ideal choice for the development of molecular markers. It has many biological characteristics when compared with the nuclear genome, such as uni-parental inheritance, simpler structure, and being easier to obtain. Moreover, it provides more genetic information than a single gene/locus, resulting in much higher resolution in distinguishing closely related species. Furthermore, the plastome contains a series of genes that are related to photosynthesis, and the photosystem II (PSII) is a key part of drought stress, high temperature, and many other stresses [12,13]. Leaf physiological characteristics, such as photosynthetic capacity, which is related to the plastome function, and stomatal conductance, are the fixed indicators of water-use efficiency [14]. The water-use efficiency is critical for plants to cope with drought stress [15]. Therefore, a comparative analysis of the plastome of *Caragana* would shed light into the molecular bases for their tolerance drought.

Here, the complete plastome of *C. rosea* was sequenced and analyzed, which complements the plastid genome database of environmental stresses-resistant plants. Comparative analyses of the plastomes from *C. rosea* and other three *Caragana* species e.g., *C. korshinskii*, *C. microphylla*, and *C. kozlowii* were performed. The results that were obtained here provided valuable resources to illustrate molecular mechanisms that are related to drought resistance of *C. rosea*, to carry out chloroplast genetic engineering experiments and to select for plant individuals with favorable characteristics using molecular breeding. Furthermore, the results also provide useful information for future phylogenetic and taxonomic studies in the *Caragana* species.

# 2. Results

#### 2.1. General Features of the Plastome

The complete plastome of *C. rosea* var. *rosea* is 133,122 bases in length and it lacks inverted repeat (Figure 1). This genome has been deposited in GenBank (accession number: MF593790). In the legume family, the phenomenon of IR regions loss was commonly found [16,17]. The sequence of protein-coding, tRNA, and rRNA regions accounted for 49.76%, 1.77%, and 3.4% of the whole genome, respectively; and, the rest are intergenic regions (Table 1). Moreover, a total of 111 unique genes were annotated, including 77 protein-coding, 30 tRNA, and four rRNA genes. The functional classification of these genes is shown in Table S1. The *C. rosea* plastome has 16 intron-containing genes, including 10 protein-coding genes and six tRNA genes. A total of 10 genes have only one intron, and only the *ycf3* contains two introns (Table S2). The *trnK-UUU* has the largest intron (2485 bases), which contains the *matK* gene. The *rps12* gene, which has the intron in the plastomes of other legume species [18], does not have the intron in the plastome of *Caragana*. Previous studies have shown that the loss of *rps12* intron may have occurred after the loss of the IR [19].



**Figure 1.** Circular gene map of the *C. rosea* plastome. Genes drawn inside the circle are transcribed clockwise, and those outside the circle are transcribed counterclockwise. Genes belonging to different functional groups are color codes. The inner circle shows the GC content. The two grey arrows represent the direction of transcription.

Table 1. Charact	eristics of	Caragana	plastome.
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Plastome Characteristics	C. rosea	C. microphylla	C. kozlowii	C. korshinskii
complete genome length	133,122 bp	130,029 bp	131,274 bp	129,331 bp
No.of unique genes	110	110	110	110
No.of unique protein-coding genes	76	76	76	76
No.of unique tRNA genes	30	30	30	30
No.of unique rRNA genes	4	4	4	4
Size of protein-coding genes	66,243 bp (49.76%)	66,231 bp (50.94%)	66,234 bp (50.45%)	66,231 bp (51.21%)
Size of tRNA genes	2359 bp (1.77%)	2370 bp (1.82%)	2285 bp (1.74%)	2370 bp (1.83)
Size of rRNA genes	4537 bp (3.4%)	4520 bp (3.48%)	4521 bp (3.44%)	4520 bp (3.49)
Overall GC contents	34.84%	34.26%	34.50%	34.36%
	Coding GC 37.13%	Coding GC 36.88%	Coding GC 37.03%	Coding GC 36.88%
	#1st position 45.36%	#1st position 44.98%	#1st position 45.30%	#1st position 44.96%
GC contents of protein-coding genes	#2nd position 37.62%	#2nd position 37.67%	#2nd position 37.58%	#2nd position 37.67%
	#3rd position 28.41%	#3rd position 27.99%	#3rd position 28.21%	#3rd position 27.99%
GC contents of tRNA genes	52.73%	53.14%	53.15%	53.05%
GC contents of rRNA genes	54.77%	54.82%	54.75%	54.82%

The overall GC content of the *C. rosea* plastome is 34.84%, whereas that for the protein-coding regions is 37.13%. The GC contents for the first, second, and third codon position with the protein-coding regions are 45.36%, 37.62%, and 28.41%, respectively. A bias towards a higher AT representation at the third codon position has also been observed in other land plant plastomes [20–22]. The 77 protein-coding genes comprise 66,243 bases coding for 22,081 codons. Among these codons, 2336 (10.58%) encode leucine, whereas just 258 (1.17%) encode cysteine, which are the most and least frequently amino acid in *C. rosea* plastome, respectively. The 30 unique tRNA genes include all the 20 amino acids required for protein biosynthesis (Table S3). However, there are 61 codons (excluding the three stop codons) that are found in the coding sequence (CDs) of the plastome, Since 31 of them do not have the corresponding tRNAs, the translation of their amino acids have to depend on tRNAs encoded in the nuclear genome.

The basic characteristics of cp genome from *C. rosea* and other three *Caragana* species (*C. microphylla*, *C. kozlowii*, and *C. koshinskii*) are shown in Table 1. As shown, the lengths of the four genomes are quite different, ranging from 129,331 bp to 133,122 bp. However, the length of the coding sequence differs by only 12 bp; and, the sizes of the tRNA genes and rRNA genes are also very similar. This suggests that the difference in the length of the cp genomes is caused by those of the intergenic spacers (IGS). In addition, the gene numbers, gene types, and GC contents in the four cp genomes are very similar.

#### 2.2. Gene Loss Analysis

Chloroplast gene losses were analyzed between IRLC (inverted-repeat-lacking clade) of Papilionoideae in 34 species in detail (Table 2). The species are arranged in the same order as they are shown in the phylogenetic tree (see below). The *rpl22* gene and the *rps16* gene were both absent in all plastome. Moreover, the gene rpl22 and rps16 have been lost in most members of the angiosperm [23,24]. The two genes, which are essential for plant survival, have been transferred to the nucleus to maintain the plant's photosynthetic capacity [25,26]. In addition, only two (Wisteria floribunda and Wisteria sinensis) plastomes possess the gene ycf15. The ycf15 gene belongs to the PFAM protein family PF10705 and its function is unknown. In fact, in some plant species, the *ycf15* gene may not produce any protein because of a premature stop codons in the coding sequences of these species [27]. Because the *ycf15* genes in the GenBank are highly variable in terms of gene length and sequence, it has been difficult to annotate this gene. The absence of the ycf4 gene occurs in many species of Papilionatae [23,28,29], whereas the plastome of four Caragana species contains this gene. Psal, ycf1, rpl23, rps18, and ndhB genes were absent in 5, 4, 3, 3, 2 species, respectively, and the rps32 gene was also found to be transferred to nuclear genomes from plastomes in several species [30,31]. The losses of accD, atpE, ndhA, psbJ, psbL, psbZ, and rps2 were only found in Trifolium boissieri, Astragalus mongholicus var. nakaianus, Medicago falcate, Lathyrus littoralis, Medicago falcate, Lens culinaris, and Medicago falcate, respectively; the deletion of these genes rarely occurs in the plastome of angiosperms [23]. Overall, the losses of genes are monophyletic. However, exceptions can be found in the Medicago falcate and several species in the genus Lathyrus, including L. sativus, L. odoratus, L. inconspicuus, L. tingitanus, L. davidii, and L. pubescens.

#### 2.3. Repeat Analysis

Repeated units play an important role in genome evolution, such as structural rearrangements and size evolution [32,33]. We analyzed the content and distribution of repeated sequences in the *C. rosea* plastomes. A total of 19 repeated elements that were longer than 30 bases were identified. The similarities between all the repeated elements were greater than 90%. Tandem, forward, and palindromic repeats presented a similar pattern of distribution. Most of them were found in the intergenic spacer region (IGS), around 31.6% were found in the protein-coding region, and only one repeat was found in the tRNA gene. However, the length of repeats among *C. rosea* plastome was obviously longer than those in other legumes [24,34], the largest repeat unit was 291 base long and was located in the spacer between the genes *rps12* and *clpP*. The type, location, and sequence of the repeat units are shown in Table S4.

Category	Name of Species	rps16	rpl22	ycf15	ycf4	psaI	ycf1	rpl23	rps18	ndhB
A .: 11 - 11	W. floribunda	_	—	+	+	+	_	+	+	+
millettiede	W. sinensis	_	_	+	+	+	_	+	+	_
	G. glabra	_	—	-	+	+	+	+	+	+
Calagaaa	G. lepidota	_	_	_	+	+	+	+	+	+
Guiegeue	A. mongholicus	_	_	-	+	+	+	+	+	+
	A. mongholicus var. nakaianus	_	_	-	+	+	+	+	+	+
	C. kozlowii	_	—	-	+	+	+	+	+	+
Caracanaca	C. korshinskii	_	_	-	+	+	+	+	+	+
Curuguneue	C. microphylla	_	_	_	+	+	+	+	+	+
	C. rosea var. rosea	_	_	-	+	+	+	+	+	+
Cicereae	C. arietinum	-	_		-	+	+	+	+	+
	M. truncatula	_	_	_	_	+	+	+	+	+
	M. papillosa	_	_	-	_	+	+	+	+	+
Tuifaliana	M. hybrida	_	_	-	_	+	+	+	+	+
Irifolieae	M. falcata	_	_	-	+	+	—	+	+	_
	T. boissieri	_	_	- '	_	+	+	+	+	+
	T. glanduliferum	_	_	-	_	+	+	+	+	+
	T. strictum	_	_	_	_	+	+	+	+	+
	L. sativus	_	_	-	+	—	+	-	+	+
	L. odoratus	_	_	- '	-	-	+	+	-	+
	L. inconspicuus	_	_	_	_	_	+	+	+	+
	L. ochroleucus	_	_	-	_	+	+	+	+	+
	L. venosus	_	_	_	_	+	+	+	+	+
	L. palustris	_	_	-	_	+	+	+	+	+
	L. tingitanus	_	_	—	_	+	+	+	-	+
Fabeae	L. davidii	_	_	-	_	_	+	+	+	+
	L. graminifolius	_	_	—	_	+	+	+	+	+
	L. littoralis	_	_	—	_	+	+	+	+	+
	L. japonicus	_	_	—	_	+	+	+	+	+
	L. pubescens	_	_	—	_	_	+	+	+	+
	L. clymenum	_	_	—	_	+	+	+	+	+
	P. sativum	-	_	_	_	+	+	-	+	+
	V. sativa	-	—	_	_	+	+	-	+	+
	L. culinaris	-	_	_	_	+	—	+	-	+
-	Number <sup>a</sup>	34	34	32	22	5	4	3	3	2

Table 2. Gene losses in the plastomes from the inverted-repeat-lacking clade (IRLC) of Papilionoideae.

<sup>a</sup> The number refers to the total number of species that do not have the gene. "+": presence; "-" absence.

More remarkably, we found that 31.6% and 21.1% of these repeats were located in the IGS(*rps12-clpP*) and IGS(*rps19-rpl2*) regions, with the total length of the IGS regions being 2139 bases and 4060 bases, respectively. By contrast, the other three *Caragana* species do not have so many repeat units in the same regions. For example, the length of the corresponding IGS(*rps12-clpP*) regions in *C. microphylla* and *C. korshinskii* are less than 1 kb. Repeats are known to play a major role in plastome size evolution in angiosperm [35], the presence of abundant repeat sequences may be the reason why the genome of *C. rosea* is larger than the other three *Caragana* species.

Simple sequence repeat (SSR) loci are effective molecular markers because of their high variability that are wide distribution throughout the whole plastome [36]. SSR can provide useful information in polymorphism investigations and population genetics [37,38]. We identified SSRs in the plastome of four *Caragana* species. The number of SSRs ranges from 239 to 258 (Figure 2). In *Caragana rosea*, 66.9% are mono-nucleotide repeats, as compared with only 26.4%, 2.5%, and 4.2%, of di-, tri-, and tetra-nucleotide repeats, respectively. Of these SSR loci, 159 contained A or T, whereas only one had G or C; similarly, most dinucleotide repeat sequences were composed of AT/AT repeats. This result is consistent with previous reports that most of the SSR in plastomes are composed of short polyA or polyT repeats, while tandem repeats of G or C are rare [39]. We also analyzed the occurrence of SSRs in the CDs and found that there are fewer SSRs in the protein-coding regions than in the non-coding regions.

The plastomes of the other three *Caragana* species are similar to that of *C. rosea* in terms of the number, distribution, and the GC contents of the SSRs.



**Figure 2.** Statistics of simple sequence repeat (SSRs) detected in the plastome of four *Caragana* species. (A) Numbers of SSRs found in the coding (CDS), intergenic (IGS), and intronic regions, respectively; (B) number of different SSR types identified in the four genomes; and, (C) number of identified SSR motifs in different repeat class types.

# 2.4. Sequence Divergence Analysis among Caragana Species

To elucidate the level of sequence similarities between the *C. rosea* and the other three *Caragana*, the plastome sequences were compared while using the annotated *C. rosea* plastome as the reference (Figure 3). As shown, the four plastome sequences are highly similar. However, there are significant differences between *C. rosea* and other three *Caragana* species in some IGS, such as IGS(*rps12-clpP*) (square A), IGS(*rps19-rpl2*) (square B), and etc., which may be related to the unique large repeat fragments in the *C. rosea* plastome. For the regions IGS(*psaC-ndhD*) (square C), the sequence of *C. rosea* plastome is highly similar to those of *C. microphylla* and *C. korshinskii*, but it is quite different from that of *C. kozlowii*. Overall, the protein-coding regions are highly conserved, while the non-coding regions have different degrees of divergence between the *C. rosea* plastome and those of the other three. This suggests that the IGS of *Caragana* species has evolved rapidly.



**Figure 3.** Structure comparison of the four plastomes by using the mVISTA program. Gray arrows and thick black lines above the alignment indicate genes with their orientation and the position of the IRs, respectively. A cut-off value of 70% identity was used for the plots, and the Y-scale represents the percent identity between 50% and 100%. UTR: Untranlated Regions; CNS: Conserved Non-coding Sequences. A: IGS(*rps12-clpP*); B: IGS(*rps19-rp12*); C: IGS(*psaC-ndhD*).

Highly variable sites in the genome can be used to develop molecular markers. We set out to identify the highly variable sites. We conducted pairwise distance comparison analysis for each non-coding region, including the intergenic region and the intron region using Kimura 2-parameter (K2p) model to identify divergence hotspot regions among the *Caragana* species. As expected, the variation of the intron sequence is relatively low, and the K2p distances ranged from 0.000 to 0.0269 (Figure 4, Table S5). The *clpP*, *ndhA* introns and the second intron of *ycf3* show the highest K2p values among the four *Caragana* species.

For IGSs, the K2p values ranged from 0 to 0.6207 (Figure 5). As described in the method section, we calculated the mean+2\*STD (Standard Deviation) as the threshold for a IGS to be highly variable. It is 0.1649 in this case. The sequence divergences of the IGS regions ranged from 0 to 0.395 between *C. microphylla* and *C. kozlowii*, ranged from 0 to 0.6207 between *C. microphylla* and *C. rosea*, ranged from 0 to 0.5641 between *C. kozlowii* and *C. rosea*, ranged from 0 to 0.068 between *C. korshinskii* and *C. microphylla*, ranged from 0 to 0.3094 between *C. korshinskii* vs C. kozlowii, and ranged from 0 to 0.5176 between *C. korshinskii* vs *C. rosea*. The seven IGS regions (*atpB-atpE*, *ndhC-ndhK*, *ndhH-ndhA*, *psaA-psaB*, *psbC-psbD*, *psbT-psbN*, and *rpoB-rpoC1*) are 100% identical with the K2p values of 0. Meanwhile, we found that the K2p values were particularly high for the five IGS regions: *rpl23-trnI-CAU*, *rps12-clpP*, *rps19-rpl2*, *trnI-CAU-ycf2*, and *ycf1-rps15*.

The high degree of similarity among the plastomes of *C. korshinskii* and *C. microphylla* is consistent with their high degree of similarity in morphological characteristics. To determine whether these species can be distinguished with common molecular markers, such as ITS, *rbcL*, and *matK*, we compared the sequences of these markers from these species. It is found that these regions could not be used to distinguish the two confusable species with 99%, 100%, and 100% identities among these marker sequences, respectively. Interestingly, two regions IGS(*trnI-CAU-ycf2*) and IGS(*trnN-GUU-ycf1*) from *C. korshinskii* and *C. microphylla* have large K2p distances, indicating a high degree of sequence divergences. Moreover, these two regions have relatively high K2p values in the pairwise distance

comparison analysis of these four species, which can be used to develop novel molecular markers to distinguish the four *Caragana* species accurately.



Figure 4. K2p distances for introns among C. rosea, C. microphylla, C. kozlowii, and C. korshinskii.



Figure 5. K2p distances for IGS regions among C. rosea, C. microphylla, C. kozlowii, and C. korshinskii.

# 2.5. Phylogenetic Analysis

The plastome sequence is an important resource for studying phylogenetic relationships and taxonomic status in the angiosperm [28]. In order to determine the phylogenetic position of *Caragana* in the Papilionoideae, we conducted multiple sequence alignments while using 63 common protein sequences from the plastomes of 36 species. *Arabidopsis thaliana* and *Nicotiana tabacum* were set as outgroup. The other 34 species contained six plant families that belong to the IRLC of Papilionoideae, including *Galegeae* (4), *Caraganeae* (4), *Cicereae* (1), *Fabeae* (16), *Trifolieae* (7), and *Millettieae* (2). The numbers in the parentheses represent the number of species in the corresponding taxa. The final dataset comprised 18745 positions and were subjected to phylogentic analysis using RaxML. Without surprise, *C. rosea* is found to locate in the same branch as the other three *Caragana* species, with 100% bootstrap values (Figure 6).



**Figure 6.** Molecular phylogenetic analyses of plastomes in the inverted-repeat-lacking clade of Papilionoideae. The tree was constructed with the sequences of 63 proteins present in all 36 species by using the maximum likelihood method implemented in RAxML. Bootstrap supports were calculated from 1000 replicates. *Nicotiana tabacum* and *Arabidopsis thaliana* were set as outgroups.

# 2.6. Selective Pressure Analysis

As synonymous substitutions accumulate nearly neutrally, non-synonyous substitutions are subject to selective pressures of varying degree and direction (positive or negative). In general, the ratio of nonsynonymous to synonymous substitution ( $\omega$ ) measures the levels of selective pressure operating in a protein coding gene. To test which genes were subject to positive selection at the *Caragana* branch, we conducted the selection analysis of the exons of each protein-encoding gene using the adaptive Branch-Site Random Effects Likelihood (aBSREL) model. A total of 69 branches among 36 species (listed in Section 2.5) were tested for diversifying selection. Significance was assessed using the Likelihood Ratio Test at a threshold of  $p \leq 0.05$ , after correcting for multiple testing.

Five genes (*rpl16*, *rpl20*, *rps11*, *rps7*, and *ycf1*) were found to have evolved under positive selection in *Caragana* branch in the phylogeny, the significance and number of rate categories inferred at the *Caragana* branch are provided in Table 3. The optimized branch length of the *Caragana* branch is 0.0128. The genes can be classified into two groups. The first group contains four ribosomal protein-coding genes. There is very strong evidence in selective pressure for the *rpl20* and *rps11* genes: positive selection was detected in 16 branches for both genes. In contrast, four branches (*Medicago hybrida*, *Medicago papillosa*, *Medicago falcata*, and *Caragana*) have experienced positive selection for the *rpl16* gene. Two branches (*Lathyrus clymenum*, *Caragana*) excluding those for the outgroup have experienced positive selection for the *rps7* gene, with two rate classes per branch. The second group containd the *ycf1* gene. The analysis did not include four species (*Lens culinaris*, *Wisteria floribunda*, *Wisteria sinensis*, and *Medicago falcata*) lacking the *ycf1* gene. The aBSREL model selection procedure identifies 42 branches for *ycf1* to have been significantly selected.

Gene	В	LRT	Test <i>p</i> -Value	Uncorrected <i>p</i> -Value	$\boldsymbol{\omega}$ Distribution over Sites
rpl16	0.0128	17.4234	0.0037	0.0001	ω1 = 0.121 (98%) ω2 = 781 (1.9%)
rpl20	0.0128	31.0401	0	0	$\omega 1 = 0.147 (99\%)$ $\omega 2 = 290 (0.92\%)$
rps11	0.0128	12.0303	0.0452	0.0008	$\omega 1 = 0.226 (100\%)$ $\omega 2 = 0.824 (0.20\%)$ $\omega 3 = 10000 (0.14\%)$
rps7	0.0128	24.3289	0.0001	0	$\omega 1 = 0.00 (100\%)$ $\omega 2 = 286 (0.43\%)$
ycf1	0.0128	34.4372	0	0	ω1 = 0.384 (99%) ω2 = 91.3 (0.74%)

Table 3. The results of positive selection genes at Caragana branch.

B: Optimized branch length; LRT: Likelihood ratio test statistic for selection; Test *p*-value: *p*-value corrected for multiple testing; Uncorrected *p*-value: Raw *p*-value without correction for multiple testing.

To find out which sites were subject to positive selection along the *Caragana* branch, codeml from PAML (v4.9) were used to analyze the Ka/Ks for the four genes: *rpl16*, *rpl20*, *rps11*, and *rps7*, using the branch-site model. The *Caragana* branch shown in Figure 6 were set as the foreground branch and all the other branches were set as the background branches. It is found that two sites: 36Y and 67Q was potentially under positive selection for the *rpl16* gene along the *Caragana* branch. In contrast, one site: 97Y were potentially under positive selection for the *rpl20* gene. Seven sites: 32K, 72T, 86N, 92V, 97Q, 103I, 137T were potential positively selected for the *rps11* gene. Four sites: 59E, 60T, 65V, 132V were positively selected for the *rps12* gene. As no three-dimensional (3D) structures are available for *Caragana* proteins, we cannot determine the functional and evolutionary significance of these sites at this time.

# 3. Discussion

*Caragana* species are xeromorphic, heat-resistant, and cold-resistant plants. Understanding the underlying molecular mechanism of this genus is of great interest for molecular breeding. As sessile organisms, plants must cope with abiotic stresses, such as soil salinity, drought, and extreme temperatures. Stress signaling pathways in plants have been extensively studied and reviewed [7,40]. All of these signaling pathways involve protein kinases that are related to yeast SNF1 and mammalian AMPK, suggesting that stress signaling in plants evolved from energy sensing.

Signals that are caused by limited water (drought stress) or excessive salt (salt stress) can be divided into primary and secondary types. The primary signal caused by drought is also called hyperosmotic stress. Salt stress exerts both osmotic and ionic effects on cells. The secondary effects are rather complex and they include oxidative stress; such effects include damage to cellular components (such as membrane lipids, proteins, and nucleic acids), chloroplast, mitochondria, ER, and metabolic dysfunction [7].

Chloroplast is an organelle where photosynthetic electron transport and many metabolic reactions occur. Environmental stress can easily perturb the metabolic balance in chloroplasts. The disturbance in chloroplast homeostasis is then passed to the nucleus through retrograde signals; as such, all cellular activities can be adjusted and coordinated. The chloroplast is a major site for the production of reactive oxygen species (ROS), such as superoxide anion, hydrogen peroxide, hydroxyl radical, and singlet oxygen [41]. Various environmental stresses, particularly high light stress, can exacerbate ROS production, thereby disrupting ROS-managing systems and generating various secondary messengers.

The relationship between protein synthesis and stress response has been studied [7]. The accuracy of protein synthesis is critical for life because a high degree of fidelity of the translation of the genetic information is required to accomplish the needs for cellular functions and to preserve variability developed by evolution. Even in simple organisms, this process involves more than 100 macromolecules, such as ribosomal proteins, translation factors, aminoacyl-tRNA synthetases, ribosomal RNAs, and transfer RNAs. Moreover, in vivo or in vitro experiments indicated that several macromolecules that participate in translation are the targets of oxidation; hence, translation is directly targeted by oxidative species. In bacteria, target macromolecules include elongation factors, such as Tu [42,43], Ts (EF-Ts) [44], and G (EF-G) [45–48]; several ribosomal proteins [31,36,37,49–51]; tRNAs [38–42,52,53]; and, aminoacyl-tRNA synthetases (aaRS) [43,49–51,54]. In particular, the disulfide bond formation of ribosomal proteins S7 and L16 are affected by oxidative stress [51]. Furthermore, scholars have identified the covalent binding of ribosomal protein S11 to chaperon/oxido-reductase protein through cysteine bond [43].

Previous studies showed that environmental stress can cause oxidative stress, which in turn affects the translation system, particularly in prokaryote originated systems, such as chloroplast. When considering the axis of environmental stress->oxidative stress->translation system, we can speculate that ribosomal protein-coding genes, such as *rps7*, *rps11*, *rpl16*, and *rpl20*, are strongly selected to maintain the integrity of the protein synthesis machinery under various environmental stress resistance characteristics of the Caragana species. Additional analyses would be needed to confirm this hypothesis.

# 4. Materials and Methods

# 4.1. Plant Material, DNA Extraction, and Sequencing

The fresh leaves of the *C. rosea* were collected from the Institute of Medicinal Plant Development, China. After washing, the leaves were kept in the -80 refrigerator until use. DNA from about 100 mg leaves was extracted using the modified CTAB (Cetyltrimethylammonium bromide) method. Subsequently, the extracted DNA integrity and concentration were detected by electrophoresis in 1% (*w/v*) agarose gel and spectrophotometer (Nanodrop 2000, Thermo Fisher Scientific, Waltham, MA, USA). The genomic DNA of *C. rosea* was subjected to high-throughput sequencing using an Illumina Hiseq2000 sequencer (Illumina Inc., San Diego, CA, USA), with insert sizes of 500 bases for the library.

A total of 18,932,846 paired-end reads were obtained with 100 bases long. The other three plastome sequences of *Caragana* e.g., *C. korshinskii* (Accession number: NC\_035229), *C. kozlowii* (Accession number NC\_035228), *C. microphylla* (Accession number NC\_032691), and ITS (Accession number: FJ537266, FJ537264) were obtained from Genbank.

#### 4.2. Genome Assembly and Gap Filling

In order to extract the reads belonging to the plastome from those for the total DNAs, we downloaded 1688 plastome sequences from GenBank in February 2016, which were used to search against Illumina paired-end reads using BLASTN with an E-value cutoff of  $1 \times 10^5$  [55]. The genome sequence of *C. kozlowii* was found to have the highest similarity and it was chosen as the reference sequence for the following assembly.

A total of 3076 paired-end reads similar to the *C. kozlowii* plastome sequence were selected and assembled by AbySS (v1.5.2) [56] and CLC Genomics Workbench (v7) Software. Nine and seven contigs were obtained using the two software tools, respectively. Then, the 16 sequences were further assembled by the Seqman module of DNAStar (v6.10.01), resulting in three contigs. The gaps between the contigs were filled with PCR amplification and Sanger sequencing using the sequence-specific primers (Table S6) designed to cross the gaps. Finally, the draft plastome sequence was validated by mapping the raw Illumina paired-end reads against it using Bowtie2 (v2.0.1) with default settings [57].

#### 4.3. Genome Annotation and Characteristics Analysis

The *C. rosea* plastome sequence was annotated by CpGAVAS web service [58], with the default parameter. The tRNA genes were annotated using ARAGORN [59] and tRNAscan-SE [60]; the protein sequences were verified again by BLASP against the GenBank sequences. Subsequently, the intron/exon boundaries and the start/stop codons of predicted genes are manually edited using the Apollo program (v1.11.8) [61]. The circular plastome map of *C. rosea* was drawn using OrganellarGenomeDRAW [62]. Both GC contents and codon usage were calculated using the programs Cusp and Compseq from EMBOSS (v6.3.1) [63].

# 4.4. Repeat and SSR Analysis

Repeats (palindrome and forward repeats) were identified by REPuter web service [64], with the settings of 3 for the Hamming Distance (sequence identity  $\geq$  90%) and 30 for Minimal Repeat Size, as reported previously [34,65]. The number and location of tandem repeated elements in the *Caragana* genus plastome were determined using the Tandem Repeats Finder [66], with the following parameters: matches, mismatches and indels, minimum alignment score, and the maximum period size were 2, 7, 50, and 500, respectively. We manually verified all of the detected repeats and removed nested and redundant sequences. SSR in the plastome was analyzed by MISA software with the same parameters as reported previously [67]. Briefly, the cutoff for the numbers of units for mono-, di-, tri-, tetra-, penta-, and hexa-nucleotides were 8, 4, 4, 3, 3, and 3, respectively.

# 4.5. Comparative Genomic Analysis

The complete plastome sequence of C. rosea was compared with the those of C. korshinskii, C. kozlowii, and C. microphylla, using the mVISTA program in a Shuffle-LAGAN mode with default parameters [68]. The annotated C. rosea plastome was used as the reference. In order to analyze sequence diversity and selective pressure, a total of 112 intergenic regions, 17 introns, and 76 exons were extracted from the four plastomes using custom MatLab scripts. The corresponding nucleotide sequences were aligned using the CLUSTALW2 (v2.0.12) program with options "-type = DNA -gapopen = 10 -gapext = 2'' [69]. Pairwise distance were determined with the Distmat program that was implemented in EMBOSS (v6.3.1) [63] using the Kimura 2-parameters (K2p) evolution model [70] for intergenic regions and introns. To determine the threshold for the K2p distance to be highly variable, we calculated the mean and the standard deviation for all the K2p values. The mean + 2\*STD were then set as the threshold. The 76 exons sequences were aligned using the RevTrans (v2.0) [71] with the option of CLUSTALW2 program. Subsequently, the selective pressure analysis were conducted using adaptive branch-site random effects likelihood (aBSREL) model [72], implemented in HyPhy (https://veg.github.io/hyphy-site/getting-started/#characterizing-selective-pressures). Finally, we analyzed which sites were subject to positive selection along Caragana branch using the Codeml program that was implemented in PAML (v4.9) [73].

#### 4.6. Phylogenetic Analysis

The plastome sequences of 33 species belonging to the IRLC (inverted-repeat-lackingclade) of Papilionoideae and two outgroup species (*Arabidopsis thaliana* and *Nicotiana tabacum*) were downloaded from NCBI RefSeq database, and a total of 63 protein sequences that were present in all of the 35 species and *C. rosea* were obtained by manual detection (ATPA, ATPB, ATPF, ATPH, ATPI, CCSA, CEMA, CLPP, MATK, NDHC, NDHD, NDHE, NDHF, NDHG, NDHH, NDHI, NDHJ, NDHK, PETA, PETB, PETD, PETG, PETL, PETN, PSAA, PSAB, PSAC, PSAJ, PSBA, PSBB, PSBC, PSBD, PSBE, PSBF, PSBH, PSBI, PSBK, PSBN, PSBT, RBCL, RPL14, RPL16, RPL2, RPL20, RPL32, RPL33, RPL36, RPOA, RPOB, RPOC1, RPOC2, RPS11, RPS12, RPS14, RPS15, RPS19, RPS3, RPS4, RPS7, RPS8, YCF2, and YCF3) (Table S7). For the phylogenetic analysis, these protein sequences were aligned using the CLUSTALW2 (v2.0.12) program with options "-gapopen = 10 -gapext = 2 -output = phylip".

The Maximum Likelihood method implemented in RaxML (v8.2.4) [69] was used to inferred the evolutionary history, using "raxmlHPC-PTHREADS-SSE3 -f a -N 1000 -m PROTGAMMACPREV -x 551314260 -p 551314260 -o A\_thaliana, N\_tabacum -T 20". Subsequently, the Bootstrap analysis was also performed with 1000 replicates for the phylogenetic tree.

# 5. Conclusions

In this study, we sequenced the plastome of *C. rosea* and carried out a comparative study with those from *C. microphylla*, *C. kozlowii*, and *C. korshinskii*. Phylogenetic analyses showed that four *Caragana* species were on a monophyletic clade, with 100% bootstrap values. Analyses of selective pressure revealed that five genes: *rpl16*, *rpl20*, *rps11*, *rps7*, and *ycf1* were evolved undergoing positive selection. Analyses of sequence divergence found two sites: IGS(*trn1-CAU-ycf2*) and IGS(*trnN-GUU-ycf1*) had high degree of variations and might be sources for markers that can be used to distinguish these four species. The results presented in this paper will facilitate the further investigation for these four species in terms the molecular mechanisms for drought-resistance.

Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/5/1419/s1.

Author Contributions: C.L. conceived the study; M.J. collected samples of *C. rosea* var. *rosea*, extracted DNA for next-generation sequencing, assembled the genome, performed data analysis, conducted PCR validation and drafted the manuscript; H.C. annotated the genome; L.W. wrote the matlab scripts. S.H. and A.J.C. reviewed the manuscript critically. All authors have read and agreed the contents of the manuscript.

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# Article Comparative Chloroplast Genome Analyses of Species in *Gentiana* section *Cruciata* (Gentianaceae) and the Development of Authentication Markers

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Abstract: Gentiana section Cruciata is widely distributed across Eurasia at high altitudes, and some species in this section are used as traditional Chinese medicine. Accurate identification of these species is important for their utilization and conservation. Due to similar morphological and chemical characteristics, correct discrimination of these species still remains problematic. Here, we sequenced three complete chloroplast (cp) genomes (G. dahurica, G. siphonantha and G. officinalis). We further compared them with the previously published plastomes from sect. Cruciata and developed highly polymorphic molecular markers for species authentication. The eight cp genomes shared the highly conserved structure and contained 112 unique genes arranged in the same order, including 78 protein-coding genes, 30 tRNAs, and 4 rRNAs. We analyzed the repeats and nucleotide substitutions in these plastomes and detected several highly variable regions. We found that four genes (accD, clpP, matK and ycf1) were subject to positive selection, and sixteen InDel-variable loci with high discriminatory powers were selected as candidate barcodes. Our phylogenetic analyses based on plastomes further confirmed the monophyly of sect. Cruciata and primarily elucidated the phylogeny of Gentianales. This study indicated that cp genomes can provide more integrated information for better elucidating the phylogenetic pattern and improving discriminatory power during species authentication.

Keywords: Gentiana section Cruciata; chloroplast genome; molecular markers; species authentication

# 1. Introduction

*Gentiana* is the largest genus in the family Gentianaceae and widely distributed throughout the northern Hemisphere [1]. Approximately 362 species are recognized in genus *Gentiana* which have been divided into 15 sections [2]. Section *Cruciata* contains 21 species which are mainly distributed in eastern Eurasia [3]. Most species of this section are restricted to alpine regions, although some of them could be found at altitudes below 1000 m at higher latitudes [1]. Four species (*G. macrophylla, G. crassicaulis, G. straminea,* and *G. dahurica*) in sect. *Cruciata* are used as the original plants of traditional Chinese medicine named Qin-jiao [4]. The roots of these plants contain abundant secoiridoid active compounds which could be used for the treatment of diabetes, apoplexy, paralysis, and rheumatism [5–8].

Recently, the wild resources of some *Gentiana* species are dramatically declined due to overexploitation and some of them have been listed in the National Key Protected Wild Herbs in China [5,7]. However, the demand of natural sources for these plants remains high due to the high pharmacological and economical values. Therefore, many economically motivated adulterants of Qin-jiao products with similar morphological characters have been developed to substitute the

genuine medicinal materials. Generally, the authentication of herbs was based on the morphological and histological inspection. But these methods may not be suitable for authenticating some species in sect. *Cruciata* due to the following reasons. Firstly, most species of sect. *Cruciata* shared the similar morphological characters especially in terms of leaf shape. Secondly, some species in this section are usually located in the sympatric distributions, thus intermediate morphology could be detected due to interspecific hybridization [9,10]. Thirdly, pharmacognostical studies showed that some species such as *G. siphonantha* and *G. straminea* usually shared similar chemical profiles [11]. Some other factors, such as growth conditions, developmental stage, and internal metabolism may affect the secondary metabolite accumulation in Qin-jiao and limit the application of such chemical analyses for authenticating the species of sect. *Cruciata*. In addition, chemical methods for identifying the medicinal plants are also expensive and not suitable for high-throughput analysis [12]. Therefore, reliable and cost-efficient methods are needed to authenticate the medical plants of sect. *Cruciata*.

Chloroplast (cp) genome of angiosperm is characterized by a typical quadripartite structure that contains a pair of inverted repeat (IR) regions separated by a large single-copy (LSC) and a small single-copy (SSC) region [13], and it is highly conserved compared to nuclear and mitochondrial genomes. Although chloroplast genomes are highly conserved, some hotspot regions with single nucleotide polymorphisms and insertion/deletions could be found and these regions may provide enough information for species identification [14,15]. Due to low recombination, uniparental inheritance, and low nucleotide substitution rates, many cp genetic markers have been used for plant phylogenetic, phylogeographic, and population genetic analyses [16]. It has been proven that some chloroplast sequences such as trnH-psbA, rbcL, and matK were commonly used as DNA barcodes for plants discrimination [17]. But in some cases, above commonly used DNA barcodes were not suitable to distinguish closely related plants due to limited variation loci [16,18]. Recently, it has been proposed that the complete cp genome could be used as a plant barcode, and various research have demonstrated that complete cp genome can greatly increase resolution for resolving difficult phylogenetic relationships at lower taxonomic levels [16,19–22]. In addition, using the cp genome as a genetic marker for identifying the plant will avoid the problems such as gene deletion and low Polymerase Chain Reaction (PCR) efficiency [23].

Most species in section *Cruciata* were recently diverged and originated from a common radiation in the Qinghai-Tibet Plateau (QTP) before the Pleistocene [1,10], therefore these species were usually closely related and showed parallel evolutionary relationships [1]. Previous research showed that commonly used DNA barcodes in some cases may not be suitable to identify the medicinal plant of this section [24,25]. Therefore, more specific barcodes with enough variation are needed to discriminate closely related species belong to sect. *Cruciata*. Nowadays, with the improvement of sequencing and assembly technologies, it is comparatively simple to obtain comprehensive chloroplast sequences for identifying *Gentiana* species. By utilizing the variable information provided from cp genomes, we can not only obtain more specific barcodes for species authentication in sect. *Cruciata*, but also shed light on the complex evolutionary relationships of the species in this section.

In the present study, we obtained the chloroplast genome sequences of *G. dahurica*, *G. siphonantha* and *G. officinalis* by using de novo assembly of whole-genome sequencing (WGS) data derived from high throughput sequencing technology. We also comparatively analyzed the chloroplast genomes of eight species in sect. *Cruciata* and developed credible cp genome derived InDel markers to authenticate these species. These markers are not only valuable tools for further evolutionary and population genetic studies on *Gentiana*, but also could be used as standardized barcodes for authenticating the original plants of Qin-jiao.

# 2. Results

# 2.1. Complete Chloroplast Genome Features of Sect. Cruciata

The chloroplast genomes of G. dahurica, G. siphonantha, and G. officinalis were sequenced with approximately 5.2, 5.8, and 5.6 Gb of paired-end reads, respectively. The raw reads with a sequence length of 125 bp were trimmed to generate the clean reads for the next assembly. After quality filtering, 10,114,902, 11,405,694, and 11,288,676 clean reads were recovered for G. dahurica, G. siphonantha and G. officinalis, respectively. Combined with the de novo and reference guided assembly, the cp genomes were obtained. The four junction regions between the IRs and SSC/LSC regions were confirmed by PCR amplification and Sanger sequencing. We mapped the obtained sequences to the new assembled genomes and no mismatch or InDel was observed. We compared the basic genome features of three newly sequenced cp genomes with five previously published cp genomes [26–28] and found that all the chloroplast genomes possessed the typical quadripartite structure with the length range from 148,765 to 149,916 bp (Table 1, Figure 1). The whole cp genome contained a pair of inverted repeat regions (IRs: 24,955–25,337 bp) which were separated by a small single copy region (SSC: 17,070–17,095 bp) and a large single copy region (LSC: 81,119–82,911 bp) (Table 1). Although genomic structure and size were highly conserved in eight cp genomes, the IR/SC boundary regions still varied slightly (Figure 2). All the eight chloroplast genomes contained 112 unique genes arranged in the same order, including 78 protein-coding genes, 30 tRNA genes, and 4 rRNA genes. Two genes (rps16, infA) were inferred to be pseudogenes (Figure S1). The overall guanine and cytosine (GC) content in each chloroplast genome is identically 37.7% (Table 1).

Name of Taxon	G. dahurica	G. siphonantha	G. officinalis	G. straminea
Genome length	148,803	148,908	148,879	148,991
LSC length	81,154	81,121	81,119	81,240
SSC length	17,093	17,113	17,088	17,085
IR length	25,278	25,337	25,336	25,333
Total gene number	112	112	112	112
No. of protein coding genes	78	78	78	78
No. of tRNA genes	30	30	30	30
No. of rRNA genes	4	4	4	4
GC content in genome (%)	37.7	37.7	37.7	37.7
Name of Taxon	G. crassicaulis	G. robusta	G. tibetica	G. macrophylla
Genome length	148,776	148,911	148,765	149,916
LSC length	81,164	81,164	81,163	82,911
SSC length	17,071	17,085	17,070	17,095
IR length	25,271	25,333	25,266	24,955
Total gene number	112	112	112	112
No. of protein coding genes	78	78	78	78
No. of tRNA genes	30	30	30	30
No. of rRNA genes	4	4	4	4
GC content in genome (%)	37.7	37.7	37.7	37.7

Table 1. Summary of complete chloroplast genomes for eight Gentiana species.



**Figure 1.** Merged gene map of the complete chloroplast genomes of three *Gentiana* species. Genes belonging to different functional groups are classified by different colors. The genes drawn outside of the circle are transcribed counterclockwise, while those inside are clockwise. Dashed area in the inner circle represent GC content of chloroplast genome.



**Figure 2.** Comparison of chloroplast genome borders of LSC, SSC, and IRs among eight species in *Gentiana* sect. *Cruciata*.  $\Psi$  indicates a pseudogene.

#### 2.2. Comparative Analyses of the Chloroplast Genomes of Species of Sect. Cruciata

Repeat analyses of three newly sequenced cp genomes showed 13/13/13 (G. siphonantha/ G. officinalis/G. dahurica) palindromic repeats, 12/11/11 dispersed repeats, and 7/6/6 tandem repeats (Figure 3A,B) with the repeat length range from 15 to 38 bp (Tables S1 and S2). The numbers and distribution of all repeat types were similar and conserved in these three cp genomes. Overall, 32/30/30 repeats were detected in three cp genomes. Similarly, 37, 34, 34, and 37 repeats were found in previously reported G. crassicaulis, G. robusta, G. straminea, and G. tibetica cp genomes (Figure 3A,B). Unexpectedly, 61 repeats, including 28 dispersed repeats, 18 palindromic repeats and 15 tandem repeats, were found in the cp genome of G. macrophylla. We found most of repeats in eight cp genomes were located in the intergenic or intron regions, and only a few repeats were distributed in protein-coding regions (ycf1, ycf2, and psaA) (Tables S1 and S2). Simple sequence repeats (SSRs) consisting of 1–6 bp repeat unit are distributed throughout the genome. In our study, perfect SSRs in eight Gentiana cp genomes were detected. The results showed that Mono-nucleotide repeats were most abundant type, followed by Tetra-nucleotides, Di-nucleotides and Tri-nucleotides. The penta- and hexa-nucleotides were very rare across the cp genomes (Figure 3C,D). Most SSRs are located in intergenic regions, but some were found in *rpoC2*, *rpoC1*, *atpB*, *ndhF*, and *ycf1* coding genes (Table S3). To investigate the evolutionary characteristics of cpDNA genes in eight Gentiana cp genomes and estimate selection pressures, nonsynonymous (dN), synonymous substitution rates (dS), and the ratio of dN/dS were calculated for 78 protein-coding genes (Table S4). We obtained 771 pairwise comparison results of dN/dS values and the remaining could not be calculated due to dS = 0. Only four genes (*accD*, *clpP*, *matK*, and *ycf1*) had dN/dS values  $\geq 1$  indicating that they had undergone positive selection.



**Figure 3.** Analysis of different repeats in eight chloroplast genomes of *Gentiana* sect. *Cruciata*. (A) Histogram showing the number of palindromic repeats and dispersed repeats; (B) histogram showing the number of tandem repeats; (C) number of different simple sequence repeat (SSR) types detected in eight chloroplast genomes; (D) total numbers of different SSR motifs in eight chloroplast genomes.

To understand the level of sequence divergence, comparative analysis among eight *Gentiana* cp genomes was performed using mVISTA with the annotation of *G. crassicaulis* as a reference. The cp genomes within sect. *Cruciata* showed high sequence similarities with identities of only a few regions below 90%, indicating a high conservatism of these chloroplast genomes (Figure 4). The single-copy regions and intergenic regions were more divergent than the IR regions and genic regions (Figure 5). According to the comparative analyses, some hotspot regions for genome divergence that could be utilized as potential genetic markers to elucidate the phylogenies and to discriminate the species in sect. *Cruciata*. These regions were *psbA-trnH*, *trnK-rps16*, *rps16-trnQ*, *trnS-trnG*, *trnE-trnT*, *psbM-trnD*, *trnT-psbD*, *trnS-psbZ*, *ndhC-trnV*, *atpB-rbcL*, *rbcL-accD*, *accD-psbI*, *rpl33-rps18*, *trnR-trnA*, and *trnV-rps7* (Figure 4).



**Figure 4.** mVISTA percent identity plot comparing the eight chloroplast genomes of *Gentiana* sect. *Cruciata* with *G. crassicaulis* as a reference. The *y*-axis represents the percent identity within 50–100%. Genome regions are color-coded as protein coding (purple), rRNA, or tRNA coding genes (blue), and noncoding sequences (pink).



**Figure 5.** Percentage of variable characters in eight aligned chloroplast genomes of *Gentiana* sect. *Cruciata.* (A) Coding region; (B) Noncoding region.

#### 2.3. Development of InDel Markers to Discriminate Species of Sect. Cruciata

Based on the alignment of complete cp genome sequences, the 16 most InDel-variable loci were selected as candidate DNA markers for authentication (Table S5). After PCR amplification, these 16 markers could successfully amplify the expected polymorphic band sizes (Figure 6). Some of these 16 markers had unique amplicon sizes specific to different *Gentiana* species (Figure 6). Especially five markers (QJcpm9, QJcpm12, QJcpm14, QJcpm15, and QJcpm16) were specific to G. crassicaulis, which all derived from long InDels in the intergenic regions including rps16-trnQ, psbM-trnD, trnS-psbZ, accD-psbI, and trnK-rps16. The marker QJcpm1 was specific to G. robusta and G. crassicaulis and was derived from a 54 and 64 bp InDel in the *ndhC-trnV* region. The QJcpm2 marker derived from 14 bp tandem repeat (TR) in *cemA-petA* region was specific to G. siphonantha and G. crassicaulis. QJcpm3 marker, which was specific to G. officinalis and G. crassicaulis, was derived from 72, 14 bp InDels, and 7 bp TR in rbcL-accD region. Three markers (QJcpm4, QJcpm10, and QJcpm11) were specific to G. straminea, G. robusta, and G. crassicaulis. QJcpm4 marker was derived from 12 bp InDels and 6 bp TR in the rpl33-rps18 region; QJcpm10 marker was derived from 9 bp TR and 33 bp InDel in the trnT-psbD; QJcpm11 marker was derived from 18 bp InDel in rrn5-trnA region. The QJcpm5 marker, which was derived from 14, 4, and 7 bp TR in atpB-rbcL, was specific to G. macrophylla, G. robusta, and G. crassicaulis. Three markers QJcpm6, QJcpm8, and QJcpm13 were derived from a 42 bp InDel in ycf1, 9 bp InDel in rps8-rpl14 region, and 24 bp TR in the trnS-trnG region, respectively, and were specific to G. straminea and G. robusta. The marker QJcpm7, which was specific G. dahurica and G. siphonantha, was also derived from 24 InDel in ycf1 CDS region. Our validation results indicated all these markers can be used to identify species in sect. Cruciata.



**Figure 6.** Validation of 16 molecular markers derived from InDel regions of eight chloroplast genomes of *Gentiana* sect. *Cruciata*. Inserted sequences and tandem repeats are designated by diamonds and triangle, respectively. Solid and dotted lines indicate conserved and deleted sequences, respectively. Left and right black arrows indicate forward and reverse primers, respectively. Abbreviated species names were shown on schematic diagrams: *Gd*, *G. adhurica; Go*, *G. officinalis; Gm*, *G. macrophylla; Gsi*, *G. siphonantha; Gst*, *G. straminea; Gr*, *G. robusta; Gc*, *G. crassicaulis;* M, D600 DNA ladder.

#### 2.4. Phylogenetic Relationships of Species Belong to Sect. Cruciata

Here, 27 cp genomes were retrieved to infer the interspecific relationships of eight species in sect. *Cruciata* as well as to clarify the phylogenetic relationships of some Gentianales species (Table S6). Phylogenetic analyses were performed using Maximum parsimony (MP), Maximum likelihood (ML) and Bayesian inference (BI) methods, and *Arabidopsis thaliana* was set as outgroup. Three different datasets including complete cp genomes, 70 shared protein-coding genes (PCGs) and the most conserved regions (TMCRs) of cp genomes were used to construct the phylogenetic trees. The results showed the same phylogenetic signals for these three datasets and the phylogenetic trees inferred from MP/ML/BI

methods also shared identical topologies (Figure 7, Figures S2 and S3). In these phylogenetic trees, we found all the species of sect. *Cruciata* formed a monophyletic clade a with high bootstrap and BI support values and clustered with another two Gentianaceae species (*G. lawrencei* and *Swertia mussotii*) in the same clade [29,30]. Of these species, *G. macrophylla*, *G. officinalis*, and *G. siphonantha* showed paraphyletic relationships with each other and formed a monophyletic clade with *G. dahurica*. *G. tibetica* and *G. crassicaulis* formed a monophyletic clade and located in the basal position of these eight species in sect. *Cruciata*. Interestingly, *G. robusta* and *G. straminea* with similar morphological characteristics were clustered in a monophyletic clade with a high resolution value. In addition, our phylogenetic results supported the monophyly of two families, including Apocynaceae and Rubiaceae, in the order Gentianales. Unexpectedly, *Gynochthodes nanlingensis* (*Morinda nanlingensis*) belongs to Rubiaceae was embed in the Apocynaceae species.



**Figure 7.** Phylogenetic relationships of species belong to *Gentiana* sect. *Cruciata* inferred from MP/ML/BI analysis based on complete chloroplast genome sequences. The numbers associated with each node are bootstrap support and posterior probability values, and the symbol **\*** in the phylogenetic tree indicated that the support value of branch is 100/100/1.0.

# 3. Discussion

Three cp genomes of sect. *Cruciata* were sequenced using Illumina Hiseq platform, which provided more resources for evolutionary and genetics studies of *Gentiana*. The cp genomic information presented in this study will also contribute to the conservation and management of wild resources of sect. *Cruciata*. Although a recent research reported that 11 ndh genes had been lost in the cp genomes of *Gentiana* sect. *Kudoa* [31], eight cp genomes of sect. *Cruciata* analyzed in present study are rather conserved in gene structures, contents and arrangement, and no significant structural rearrangements, such as inversions or gene relocations, were detected. Of these eight species, *G. macrophylla* has the largest cp genomes size and other species showed minor differences in genome size. The length variations of these cp genomes may result from the length of intergenic regions, similar result has been reported for *Paris* (Melanthiaceae) cp genomes [18].

All the eight cp genomes of sect. *Cruciata* had the same protein-coding genes, tRNA and rRNA genes. We found that exon2 of *rps16* gene was lost in three newly sequenced cp genomes, and *rps16* in other cp genomes also showed same structure. Therefore, *rps16* pseudogene may commonly exist in the genus *Gentiana* [26]. And *infA* gene, which contains internal stop codons, was also inferred as pseudogene in these species. This pseudogene had been reported in many species [32–35]. Except for cp genome of *G. macrophylla*, the remained cp genomes showed minor variations in the junctions between the SSC and IRs regions. As most species of sect. *Cruciata* derived from a common radiation

and usually showed closely interspecific relationships, we thus speculated that highly conserved nature of cp genomes resulted in the similar gene distributions at SC/IR boundaries.

Repeat structure plays an important role in genomic rearrangement, recombination, and sequence divergence in plastomes [36–38]. In the present study, cp genome of G. macrophylla has the largest number of repeats, while the number of repeats was similar in other cp genomes. Most of the repeated regions in different species showed similar characteristics and most repeats were located in intergenic regions or in *ycf1/pasA*. Repeats in these genes are commonly observed in other angiosperm lineages [22,32,39]. Cp microsatellites (cpSSR) usually showed high polymorphism within the same species and which are potentially useful markers for population genetics [40]. Here, 326 SSRs varying in number and type between eight major Gentiana species, and the most abundant repeat type was found to be stretches of mononucleotides (A/T). Similar to the distribution status of dispersed and tandem repeats, most cpSSRs were observed in noncoding regions, and only small proportion were found in coding regions. CpSSRs located in noncoding regions of the cp genome are generally short mononucleotide tandem repeats and commonly showed intraspecific variation in repeat number [15]. Therefore, cpSSRs derived from eight Gentiana species in this study are expected to be useful for the genetic diversity studies in Gentiana. As the wild resources of some Gentiana species were dramatically declined due to overexploitation, we thought these species need to transplant or cultivate in order to preserve their germplasm resources. We believe the obtained SSRs among these chloroplast genomes will also be useful for the domestication and breeding of Gentiana species.

Sequence divergence of the coding genes was observed between different species. Our analyses indicated that all of cp genes showed a low sequence divergence (dS < 0.1) and most cp genes were under purifying selection (dN/dS < 1); similar results were reported for other cp genomes [32,41,42]. Only four genes (*accD*, *clpP*, *matK*, and *ycf1*) were under positive selection. Previous research reported that *accD* and *clpP* genes had a high evolution rate in *Fagopyrum* species [43,44], we thus presumed that these genes may have a high evolution rate in *Gentiana* species. One other gene (*matK*) was highly divergent in Caryophyllaceae, and comparative cp genomes analyses of Myrtaceae also indicated *matK* was under positive pressure [45,46]. The *ycf1* gene with unknown functions showed a biased higher value for dN/dS ratio compared to dS value indicating that this gene evolved at a faster rate. It has also been shown to be subject to positive selection in many angiosperms [20,22,32,44,45].

DNA barcodes are defined as the short DNA sequences with a sufficiently high mutation rate to discriminate a species within a given taxonomic group and are confirmed as reliable tools for the identification of plant species [16,47]. Previously, *rbcL*, *trnH-psbA*, and *matK* were considered as "core" plant barcodes for species identification, but they often have limited resolutions at species level [18]. Previous research showed that three commonly used barcodes in some cases may not be suitable to authenticate the medicinal plant in section *Cruciata* [24,25]. Therefore, seeking for more effective DNA barcodes with high evolutionary rates is very important for the molecular identification of species in *Gentiana* sect. *Cruciata*. The complete cp genome has a conserved sequence from 110k to 160k bp, which far exceeds the length of commonly used molecular markers and provides more variation to distinguish closely related species [12,16]. Therefore, some mutation hotspot regions, including *trnK-rps16*, *rps16-trnQ*, *trnS-trnG*, *trnE-trnT*, *trnT-psbD*, *trnS-psbZ*, *ndhC-trnV*, *rbcL-accD*, *accD-psbI*, *trnR-trnA*, *trnV-rps7*, and *ycf1*, detected from the cp genomes can provide more specific DNA barcodes for the authentication of medicinal materials of sect. *Cruciata* and also provide sufficient genetic markers for resolving the phylogeny of Gentianaceae.

We developed the specific markers for species authentication of sect. *Cruciata* based on the hotspot regions derived from cp genomes. Most of these markers were derived from the intergenic regions of cp genomes and showed high interspecific polymorphism. Previous molecular identification of *Panax, Zanthoxylum,* and *Eclipta* species also indicated that chloroplast-derived genetic markers had high discriminatory powers [12,14,48]. Therefore, specific markers developed from the comparative cp genomes were superior than the commonly used markers for identifying the closely related species. Especially for medicinal plants, these specific genetic markers are more effective in the authentication

of their source plants. We found two InDels (42 and 24 bp) in the *ycf1* gene, which can be used to distinguish species in sect. *Cruciata. Ycf1*, which encodes a protein of approximately 1800 amino acids with unknown function, is the second largest gene in the cp genome. Because the sequence of *ycf1* is too long and too variable for designing universal primers, it has received little attention for DNA barcodes at low taxonomy [18,49]. But two markers derived from *ycf1* gene showed high PCR efficiency and polymorphism in species of sect. *Cruciata*, and could be used as specific barcodes for the authentication of *Gentiana* species. Although our study provided 16 genetic markers which had enough interspecies polymorphism for species identification, some of the markers were usually specific to two species. We thus suggest a combination of several markers should be considered for credible authentication between different species in genus *Gentiana*.

We inferred the phylogenetic relationships of sect. Cruciata using complete cp genomes. Three different methods (MP/ML/BI) were used to rebuilt the phylogenetic trees based on different datasets (cp genomes, 70 shared PCGs, and TMCRs), and the derived phylogenetic trees shared identical topology. All the species of sect. Cruciata formed a monophyletic clade with high bootstrap and BI support values. This result is comparable with the previous phylogenetic research based on four cpDNA fragments [1]. Four species, including G. dahurica, G. macrophylla, G. siphonantha, and G. officinalis, were clustered in the same clade with high support values. Although the flower color of G. officinalis was different from other three species, it shared similar morphological and chemical characters with G. macrophylla [50]. We found that G. straminea was closely related to G. robusta. G. robusta may have originated from introgression between G. straminea and another relative species, and these two species are usually closer to each other [26,51]. Two species, G. tibetica and G. crassicaulis were clustered in the same clade and located in the basal position in the clade of sect. Cruciata. However, a previous phylogenetic result indicated that G. tibetica was closely related to G. straminea and G. robusta [1]. As G. tibetica and G. crassicaulis distributed sympatrically in Tibet and intermediate types were produced by introgression between these two species [52], we thus inferred these two species should be closely related. In addition, based on the phylogenetic results, we found that the family Gentianaceae was closer to family Apocynaceae than to family Rubiaceae in order Gentianales. Previous phylogenetic studies of order Gentianales resulted in similar findings, but with relatively low support values [53,54]. Although our result confirmed the monophyly of section Cruciata and primarily elucidated the phylogeny of Gentianales based on available cp genomes, more complete cp genome sequences are needed to resolve the comprehensive phylogenies of this section, especially since limited taxon sampling may produce discrepancies in tree topologies [15,55].

# 4. Materials and Methods

# 4.1. Plant Materials and DNA Isolation

Samples of *G. dahurica*, *G. siphonantha* and *G. officinalis* were collected from Tianzhu (102.54° E, 37.01° N), Sunan (98.05° E, 39.55° N) and Yuzhong (104.05° E, 35.78° N) Counties in Gansu Province, China. Young leaves of three species were collected and immediately dried with silica gel for further DNA isolation. Total genomic DNA was isolated from each sample using the modified Cetyl Trimethyl Ammonium Bromide (CTAB) method [56]. The quantity and quality of extracted genomic DNA was determined by gel electrophoresis and NanoDrop 2000 Spectrophotometer (Thermo Scientific, Carlsbad, CA, USA).

# 4.2. Chloroplast Genome Sequencing, Assembly and Annotation

The DNA Library with insert size of 200 bp was prepared according to the description by Zhou et al. [32], and sequenced using Illumina Hiseq<sup>TM</sup> 2500 platform (Illumina Inc., San Diego, CA, USA) with the average read length of 125 bp. The obtained raw reads were filtered with the NGS QC Toolkit\_v2.3.3 (National Institute of Plant Genome Research, New Delhi, India) [57]. Adapter sequences and low-quality reads with Q-value  $\leq$  20 were removed. Filtered paired-end reads were firstly mapped to the chloroplast genome of *Gentiana straminea* (KJ657732) by using the Bowtie 2-2.2.6 (University of Maryland,

College Park, MD, USA.) with default parameter [58]. And then the matched paired-end reads were de novo assembled using SPAdes-3.6.0 (St. Petersburg Academic University, St. Petersburg, Russia) [59]. After de novo assembly, the resultant scaffolds were further assembled using a baiting and iteration method based on Perl script MITObim\_1.9.pl (University of Oslo, Oslo, Norway) [60]. Finally, all obtained reads were mapped to the spliced cp genome sequence using Geneious 10.1 (Biomatters Ltd., Auckland, New Zealand) in order to avoid assembly errors. The four junction regions between the IRs and SSC/LSC were confirmed by PCR amplification and Sanger sequencing (Primers and sequencing results are listed in Table S7). The cp genome genes were annotated with the online program Organellar Genome Annotator (DOGMA) [61], and the primary annotated results were manually verified according to the annotation information from other closely related species. The circular plastid genome maps were drawn using the online program OrganellarGenome DRAW (Max planck Institute of Molecular Plant Physiology, Potsdam, Germany) [62] and three newly sequenced cp genome were deposited in GenBank (MH261259–MH261261).

# 4.3. Repeat Structure, Genome Comparison and Sequence Divergence

Dispersed and palindromic repeats within the cp genomes were identified using REPuter (University of Bielefeld, Bielefeld, Germany) with a minimum repeat size of 30 bp and a sequence identity > 90% [63]. Tandem repeat sequences were searched using the Tandem Repeats Finder program (Mount Sinai School of Medicine, New York, NY, USA) with the following parameters: 2 for alignment parameters match, 7 for mismatch and InDel, respectively [64]. Simple sequence repeats (SSRs) were predicted using MISA perl script (Institute of Plant Genetics and Crop Plant Research, Gatersleben, Germany) with the parameters of ten for mono, five for di-, four for tri-, and three for tetra-, penta, and hexa-nucleotide motifs [65]. The nonsynonymous (dN), synonymous (dS), and dN/dS values of each protein coding gene were calculated using PAML packages 4.0 (University College London, London, UK) with Yang and Nielsen (YN) algorithm to detect whether selective pressure exists for plastid genes [66]. The cp genome gene distribution of eight *Gentiana* species was compared and visualized using mVISTA software with the annotation of *G. crassicaulis* as a reference [67]. To examine mutation hotspot regions of the cp genomes of eight *Gentiana* species, the percentages of variable characters for each coding and noncoding regions were analyzed using the method described by Zhang et al. [68].

#### 4.4. Development and Validation of the InDel Molecular Marker

In order to validate interspecies polymorphisms within the chloroplast genomes and develop DNA genetic markers for identifying species belong to sect. *Cruciata*, specific primers were designed using Primer 3 based on the mutational hotspot regions found in these *Gentiana* chloroplast genomes [69]. PCR amplifications were performed in a reaction volume of 25  $\mu$ L with 12.5  $\mu$ L 2× Taq PCR Master Mix, 0.4  $\mu$ M of each primer, 2  $\mu$ L template DNA and 10.1  $\mu$ L ddH<sub>2</sub>O. All amplifications were carried out in SimpliAmp<sup>TM</sup> Thermal Cycler (Applied Biosystems, Carlsbad, CA, USA) as follow: denaturation at 94 °C for 5 min, followed by 30 cycles of 94 °C for 50 s, at specific annealing temperature (Tm) for 40 s, 72 °C for 90 s and 72 °C for 7 min as final extension. PCR products were visualized on 2% agarose gels after staining with ethidium bromide and then the DNA fragments were sequenced by Sangon Biotech (Shanghai, China) (Sequencing results are listed in Table S8).

# 4.5. Phylogenetic Analysis

The complete chloroplast genomes of 26 Gentianales species were recovered to clarify the phylogenetic relationships of sect. *Cruciata* and the cp genome of *Arabidopsis thaliana* was set as outgroup. In order to obtain a reliable result, phylogenetic analyses were implemented based on different cp genome datasets. On the one hand, whole cp genome sequences and 70 common cp protein-coding genes (PCGs) were separately used to infer the phylogenetic relationships of these species. On the other hand, multi-gene alignment matrix, which contained the most conserved regions (TMCRs) of cp genome was generated using HomBlocks (Ocean University of China, Qingdao, China) [70], was used to understand the phylogenetic relationships at cp genome level. Alignments were constructed using

MAFFT v7.308 (Osaka University, Suita, Japan) with default parameters and the best-fit nucleotide substitution model (General Time Reversible + Invariant + Gamma, GTR + I + G) was determined with Modeltest 3.7 (Brigham Young University, Provo, UT, USA) [71,72]. Maximum parsimony (MP) analyses of the resulting alignments from different datasets were performed using PAUP 4.0b10 (Smithsonian Institution, Washington, DC, USA) [73]. Maximum likelihood (ML) analyses were performed using RAxML 8.1.24 (Heidelberg Institute for Theoretical Studies, Heidelberg, Germany) with GTR + I + G nucleotide substitution model [74]. The reliability of each tree node was tested by bootstrap analysis with 1000 replicates. Bayesian analyses were also conducted with MrBayes v3.2.6 (Swedish Museum of Natural History, Stockholm, Sweden) [75] under the same substitution model (GTR + I + G). The Markov chain Monte Carlo (MCMC) algorithm was run for one million generations, with one tree sampled every 100 generations. The first 25% of trees were discarded as burn-in to construct majority-rule consensus tree and estimate posterior probabilities (PP) for each node.

Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/7/ 1962/s1.

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# Article Complete Chloroplast Genome Sequence and Phylogenetic Analysis of *Quercus acutissima*

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Abstract: Quercus acutissima, an important endemic and ecological plant of the Quercus genus, is widely distributed throughout China. However, there have been few studies on its chloroplast genome. In this study, the complete chloroplast (cp) genome of Q. acutissima was sequenced, analyzed, and compared to four species in the Fagaceae family. The size of the Q. acutissima chloroplast genome is 161,124 bp, including one large single copy (LSC) region of 90,423 bp and one small single copy (SSC) region of 19,068 bp, separated by two inverted repeat (IR) regions of 51,632 bp. The GC content of the whole genome is 36.08%, while those of LSC, SSC, and IR are 34.62%, 30.84%, and 42.78%, respectively. The Q. acutissima chloroplast genome encodes 136 genes, including 88 protein-coding genes, four ribosomal RNA genes, and 40 transfer RNA genes. In the repeat structure analysis, 31 forward and 22 inverted long repeats and 65 simple-sequence repeat loci were detected in the Q. acutissima cp genome. The existence of abundant simple-sequence repeat loci in the genome suggests the potential for future population genetic work. The genome comparison revealed that the LSC region is more divergent than the SSC and IR regions, and there is higher divergence in noncoding regions than in coding regions. The phylogenetic relationships of 25 species inferred that members of the Quercus genus do not form a clade and that Q. acutissima is closely related to Q. variabilis. This study identified the unique characteristics of the Q. acutissima cp genome, which will provide a theoretical basis for species identification and biological research.

Keywords: Quercus; chloroplast genome; phylogenetic relationship

#### 1. Introduction

Oak trees provide humans with materials used in food, clothing, and houses, while oak forests supply living organisms and animals with comfortable habitats, good air, and sufficient and pure moisture. Oak trees are linked to Chinese culture, and are also often called eucalyptus or pecking trees. In China, eucalyptus is regarded as a mysterious tree, growing silently, watching its ancestors forge ahead, and passing through generation to generation. Many countries regard oaks as sacred trees, and consider them to be magical and a symbol of longevity, strength, and pride.

The genus *Quercus* L. (Oak) contains more than 400 species that are widespread in the northern hemisphere [1]. These species play important roles in China's forest ecosystem. *Quercus* L. (Oak)'s taxonomy, genetic structure, and breeding is complicated because of its wide variety of species, diverse forms, complex habitat conditions, and gene exchanges between species. Many studies have used nuclear simple sequence repeat (SSR) chloroplast DNA makers to study phylogeny and population variation [2,3]. Previously, studies found a conflict (inconsistency) between the phylogeny of plastid data and nuclear data in Senecioneae and Neotropical Catasetinae [4,5]. Therefore, it is

not sufficient to study *Quercus* simply by using plastid regions. With the rapid development of next-generation sequencing, genome acquisition is now cheaper and faster than traditional Sanger sequencing. Complete chloroplast (cp) genome size data will be necessarily used to infer the phylogenetic relationship of *Quercus* or Fagaceae in future studies.

The genus is characterized by a high variability of morphological and ecological traits, the occurrence of mixed stands, the presence of large population sizes, and high levels of gene flow within the *Quercus* complex [6–11]. A new classification of *Quercus* L. was proposed by Denk with eight sections: *Cyclobalanopsis, Cerris, Ilex, Lobatae, Quercus, Ponticae, Protobalanus,* and *Virentes* [12]. In China, *Quercus* is divided into five morphology-based sections: *Quercus, Aegilops, Heterobalanus, Engleriana,* and *Echinolepides* [13–15]. Due to incomplete sampling and the use of markers with insufficient phylogenetic signals and complex evolutionary problems, the relationships among *Quercus* species are not fully understood.

*Q. acutissima* is an ecological and economic tree species in deciduous broad-leaved forests in the temperate zone of East Asia, widely distributed on the Hu Huanyong line or in Southeast China (latitude from  $18^{\circ}$  to  $41^{\circ}$  N and longitude from  $91^{\circ}$  to  $123^{\circ}$  E) [16]. This line from Heilongjiang Province to Tengchong, Yunnan Province, is roughly inclined in a  $45^{\circ}$  straight line. The development, origin, and reproduction of China are linked with *Q. acutissima*. Therefore, we need to protect, cultivate, and utilize *Q. acutissima*, and this has received substantial attention in phylogeny and biogeography studies. Most previous studies have focused on its population structure [17], breeding [18], forest management [19], and physiology [20]. Studies on the genetic variation of *Q. acutissima* using simple sequence repeat (SSR) and cpDNA makers have been carried out in China and South Korea [16,21]. According to this research, the distribution of *Q. acutissima* often overlaps with other oak trees, i.e., *Q. variabilis* and *Q. chenii* [22]. There is often a variety of species found in the population, although this has usually been determined from a comparison of morphology, rather than at a molecular level. Therefore, an analysis of the complete cp genome of *Q. acutissima* will help to identify the species further.

In the present study, we constructed the whole chloroplast genome of *Q. acutissima* by using next-generation sequencing and applying a combination of de novo and reference-guided assembly. Here, we describe the whole chloroplast genome sequence of *Q. acutissima* and the characterization of long repeats and simple sequence repeats (SSRs). We compare and analyze the chloroplast genome of *Q. acutissima* and the chloroplast genome of other members of Fagaceae. It is expected that the results will provide a theoretical basis for the determination of phylogenetic status and future scientific research.

#### 2. Results and Discussion

#### 2.1. Features of Q. Acutissima cpDNA

A total number of 63 million pair-end reads were produced with 9.82 Gb of clean data. Data from all of the reads were deposited in the NCBI Sequence Read Archive (SRA) under accession number MH607377. The size of the complete cp genome is 161,124 bp (Figure 1). The cp genome displayed a typical quadripartite structure, including a pair of IR (25,816 bp) separated by the large single copy (LSC; 90,423 bp) and small single copy (SSC; 19,069 bp) regions (Figure 1 and Table 1). The DNA G + C contents of the LSC, SSC, and IR regions, and the whole genome are 34.62, 30.84, 42.78, and 36.08 mol %, respectively, which is also similar to the chloroplast genomes of other *Quercus* species (Figure A1; Table 2). The DNA G + C content is a very important indicator of species affinity [23]. It is obvious that the DNA G + C content of the IR region is higher than that of other regions (LSC, SSC). This phenomenon is very common in other plants [23,24]. GC skewness has been shown to be an indicator of DNA lead chains, lag chains, replication origin, and replication terminals [25–27].



**Figure 1.** Chloroplast genome map of *Q. acutissima*. Genes inside the circle are transcribed clockwise, and those outside are transcribed counterclockwise. Genes of different functions are color-coded. The darker gray in the inner circle shows the GC content, while the lighter gray shows the AT content.

Genome Features	Q. acutissima	Q. variabilis	Q. dolicholepis	C. mollissima	L. balansae	F. engleriana
Genome size (bp)	161,124	161,077	161,237	160,799	161,020	158,346
LSC length (bp)	90,423	90,387	90,461	90,432	90,596	87,667
SSC length (bp)	19,068	19,056	19,048	18,995	19,160	18,895
IR length (bp)	51,632	51,634	51,728	51,372	51,264	51,784
Number of genes	136	134	134	130	134	131
Number of	00	96	96	02	07	02
protein-coding genes	00	00	00	05	07	05
Number of tRNA genes	40	40	40	37	39	40
Number of rRNA genes	8	8	8	8	8	8

Table 1. Summary of five Quercus chloroplast genome features.

Plant chloroplast genomes may have 63–209 genes, but most are concentrated between 110 and 130, with a highly conserved composition and arrangement, including photosynthetic genes, chloroplast transcriptional expression-related genes, and some other protein-coding genes [28]. In the *Q. acutissima* chloroplast genome, 136 functional genes were predicted and divided into six groups, including eight rRNA genes, 40 tRNA genes, and 88 protein-coding genes (Tables 1 and 3). In addition, 14 tRNA genes, eight rRNA genes, and 15 protein-coding genes are duplicated in the IR regions (Figure 1). The LSC region includes 62 protein-coding and 25 tRNA genes, while the SSC region includes 13 protein-coding genes (Table A1).

Based on the protein-coding sequences and tRNA genes, the frequency of codon usage was estimated for the *Q. acutissima* cp genome and is summarized in Table A2. In total, all genes are encoded by 6311 codons. Among these, leucine, with 2824 (44.4%) codons, is the most frequent amino acid in the cp genome, and cysteine, with 293 (1.1%), is the least frequent (Table 3). A- and U-ending codons are common. The most preferred synonymous codons (relative synonymous codon usage values (RSCU) > 1) end with A or U [23,29].

Region	A (%)	T (U) (%)	C (%)	G (%)	A + T (%)	G + C (%)
LSC	31.99	33.4	17.74	16.88	65.39	34.62
SSC	34.46	34.71	16.24	14.6	69.17	30.84
IR	28.61	28.61	21.39	21.39	57.22	42.78
Total	31.69	32.24	18.46	17.62	63.93	36.08

Function	Genes
RNAs, transfer	trnH-GUG, trnK-UUU, trnQ-UUG, trnS-GCU, trnG-GCC, trnR-UCU, trnC-GCA, trnD-GUC, trnY-GUA, trnE-UUC, trnT-GGU, trnM-CAU, trnS-UGA, trnG-GCC, trnfM-CAU, trnS-GGA, trnT-UGU, trnL-UAA, trnF-GAA, trnV-UAC, trnM-CAU, trnT-GGU, trnW-CCA, trnP-UGG, trnP-GGG, trnI *-CAU, trnL-CAA *, trnV-GAC, trnI-GAU *, trnA-UGC, trnR-ACG, trnN-GUU, trnL-UAG, trnN-GUU, trnR-ACG, trnA-UGC, trnV-GAC
RNAs, ribosomal	rrn23 *, rrn16 *, rrn5 *, rrn4.5 *
Transcription and splicing	rpoC1 *, rpoC2, rpoA, rpoB
Translation, ribosomal proteins	
Small subunit	rps2, rps3, rps4, rps7, rps8, rps11, rps12 **, rps14, rps15, rps16 *, rps18, rps19
Large subunit	rpl2 *, rpl14, rpl16 *, rpl20, rpl22, rpl23, rpl32, rpl33, rpl36
Photosynthesis	
ATP synthase	atpE, atpB, atpA, atpF *, atpH, atpI
Photosystem I	psaI, psaB, psaA, psaC, psaJ, ycf3 *, ycf4
Photosystem II	psbD, psbC, psbZ, psbT, psbH, psbK, psbI, psbJ, psbF, psbE, psbM, psbN, psbL, psbA, psbB
Calvin cycle	rbcL
Cytochrome complex	petN, petA, petG, petB *, petD *
NADH dehydrogenase	ndhB *, ndhI, ndhK, ndhC, ndhF, ndhD, ndhG, ndhE, ndhA, ndhH, ndhJ
Others	inFA, ycf15 *, ycf1 *, ycf2 *, accD, cemA, ccsA, clpP **
	* Comerciante internet internet internet internet internet

Table 3. List of genes annotated in the cp genomes of *Q. acutissima* sequenced in this study.

\* Genes containing one intron; \*\* genes containing two introns.

In total, we found 23 intron-containing genes, including 15 protein-coding genes, and eight tRNA genes (Table 4). 21 genes (13 protein-coding and eight tRNA genes) contain one intron, and two genes (*ycf3* and *clpP*) contain two introns. The *trnK-UUU* has the largest intron (2505 bp), and the *trnL-UAA* has the smallest intron (483bp). Studies have shown that *ycf3* is required for stable accumulation of photosystem I complexes [30]. Therefore, we speculate that the *ycf3* intron gain of *Q. acutissima* may be helpful for further study of the mechanism of photosynthesis evolution.

Gene	Location	Exon I (bp)	Intron I (bp)	Exon II (bp)	Intron II (bp)	Exon III (bp)
rps16	LSC	42	898	195		
atpF	LSC	144	780	411		
rpoC1	LSC	432	827	1626		
ycf3	LSC	127	718	228	778	155
clpP	LSC	69	844	294	649	228
petB	LSC	6	841	642		
petD	LSC	9	640	474		
rpl16	LSC	9	1102	399		
rpl2	RepeatA	390	628	471		
ndhB	RepeatA	777	680	756		
rps12	RepeatA	10	537	231		
ndhA	ŜSC	551	1040	541		
rps12	RepeatB			232	536	26
ndhB	RepeatB	777	680	756		
rpl2	RepeatB	390	628	471		
trnG-GCC	<b>ĹSC</b>	23	734	37		
trnK-UUU	LSC	37	2505	35		
trnL-UAA	LSC	35	483	50		
trnV-UAC	LSC	36	630	37		
trnI-GAU	RepeatA	42	950	35		
trnA-UGC	RepeatA	38	800	35		
TRNA-UGC	RepeatB	38	800	35		
trnI-GAU	RepeatB	42	950	35		

Table 4. The lengths of exons and introns in genes with introns in the Q. acutissima chloroplast genome.

#### 2.2. Comparative Analysis of Genomic Structure

The chloroplast sequence are often used to measure the genetic diversity within a species, the gene flow between species, and the size of ancestral populations of separated sister species [31]. Thus, it is necessary to understand the chloroplast differences between species. The complete cp genome sequence of Q. acutissima was compared to those of Q. variabilis, Q. dolicholepis, Castanea mollissima, Lithocarpus balansae, and Fagus engleriana. F. engleriana has the smallest cp genome with the largest IR region (51,784 bp), and Q. dolicholepis has the largest cp genome (Table 1). We assumed that the different lengths of the SSC and IR regions is the main reason for variety in sequence lengths. To verify the possibility of genome divergence, sequence identity was calculated for six species' chloroplast DNA using the program mVISTA with Q. variabilis as a reference (Figure 2). The results of this comparison revealed that LSC regions are more divergent than SSC and IR regions and that higher divergence is found in noncoding than in coding regions. The complete cp genome sequence of F. engleriana is quite different from the five other plants. There was no significant difference between the chloroplast genome sequences of evergreen and deciduous trees. At the same time, the results of the sliding window indicated that the location of the variation in the cp genome among the six species occurred in the LSC and SSC regions (Figure A2). Significant variation was found in coding regions of some genes, including psb1, rpl33, petB, rpl2, rps16, rpoC2, ndhK, ycf2, ycf1, and ndhI. The highest divergence in noncoding regions was found in the intergenic regions of trnK-rps16, rps 16-trnQ, psbK-psbI, trnS-trnG, atpH-atpI, atpI-rps2, rpoB-trnC, trnC-petN, psbM-trnD, trnD-trnY, trnE-trnM, trnT-petD, psbZ-trnG, trnT-trnL, trnF-ndhJ, rbcL-accD, psaI-ycf4, ycf4-cemA, petA-psbL, psaJ-rpl33, clpP-psbB, rpl14-rpl16, ndhF-rpl32, ccsA-ndhD, ndhD-psaC, and rps15-ycf1.

The contraction and expansion of the IR region at the borders play important roles in evolution. They are common evolutionary events and a major cause of changes in the size of the chloroplast genome. They may also cause variation in the length of angiosperm plastid genome [32–34]. Detailed comparisons of the IR–SSC and IR–LSC boundaries among the cp genomes of the above six Fagaceae species were presented in Figure 3. The IR regions are relatively highly conserved in the *Quercus* genus—the *rpl2* gene in the *Quercus* cp genome is shifted by 62 bp from IRb to LSC at the LSC/IRb border, and by 62 bp from IRa to LSC at the IRa/LSC border. Compared to other species in the genus,

the range of the IRa/SSC regions changes greatly. Compared with evergreen and deciduous species, we found significant differences in IRb/SSC. Some reports showed that *ycf1* is necessary for plant viability and encodes *Tic214*, an important component of the *Arabidopsis TIC* complex [35,36]. The *ycf1* gene crossed the SSC/IRb region, with 1041bp of *ycf1\_like* within IRb (incompletely duplicated in IRb). The SSC/IRa junction is located in the *ycf1* region in all Fagaceae species chloroplast genomes and extends into the SSC region by different lengths depending on the genome (*Q. acutissima*, 4619 bp; *Q. variabilis*, 4620 bp; *Q. dolicholepis*, 4611 bp; *C. mollissima*, 4623 bp; *L. balansae*, 4626 bp; *F. engleriana*, 4633 bp); the IRa region includes 1041, 1041, 1068, 1059, 828, and 1049 bp of the *ycf1* gene.







**Figure 3.** Comparison of the large single copy (LSC), small single copy (SSC), and inverted repeat (IR) regions in chloroplast genomes of four species. Genes are denoted by colored boxes. The gaps between the genes and the boundaries are indicated by the base lengths (bp). Extensions of the genes are indicated above the boxes.

#### 2.3. Long-Repeat and SSR Analysis

For the repeat structure analysis (Table 5), 31 forward and 22 inverted repeats were detected in the *Q. acutissima* cp genome. Most of these repeats are between 19 and 46 bp. The longest forward repeat is 46 bp in length and is located in the LSC region. A total of 35, 18, and eight repeats were found in the LSC, SSC, IR regions, respectively. Seven forward repeats were located in IR, including one repeat associated with *ycf1* genes and one repeat related to the *trnV-UAC* and *trnA-UGC* genes. Most repeats in the intergenic spacers are distributed in the LSC region. Ten repeats are distributed in the SSC region, and only four of them are in the intergenic spacers.

As chloroplast-specific SSRs are uniparentally inherited and are inclined to undergo slipped-strand mispairing, they are often used in population genetics, species identification, and evolutionary process research of wild plants [37,38]. In addition, chloroplast genome sequences are highly conserved, and the SSR primer for chloroplast genomes can be transferred across species and genera. Yoko et al. used six maternally inherited chloroplast (cpDNA) simple sequence repeat (SSR) markers to study the genetic variation in *Q. acutissima* [39]. In this study, a total of 65 SSRs were found in *Q. acutissima*, most of them distributed in LSC and SSC and partly distributed in IR. These included 61 mononucleotide SSRs (93.85%) and four dinucleotide SSRs (6.15%) (Table 6). Compared with other *Quercus* species, fewer types of SSRs were identified in *Q. acutissima* [40]. Among them, two SSRs belonged to the C type, and the others all belonged to the A/T types. These results are consistent with the hypothesis that cpSSRs are generally composed of short polyadenine (polyA) or polythymine (polyT) repeats and rarely contain tandem guanine (G) or cytosine (C) repeats [41]. We also found that 12 SSRs were located in genes, and the remaining were all located in intergenic regions. These cpSSR markers could be used to examine the genetic structure, diversity, differentiation, and maternity in *Q. acutissima* and its relative species in future studies.

Ð	Repeat Start I	Type	Size (bp)	Repeat Start 2	Mismatch (bp)	E-Value	Gene	Region
1	6831	ц	46	6853	0	$1.47  imes 10^{-18}$	IGS	LSC
2	11,847	R	31	11,847	0	$1.58 imes10^{-9}$	IGS	LSC
С	6818	R	26	6818	0	$1.62  imes 10^{-6}$	rps16	LSC
4	47,242	Н	25	47,264	0	$6.49 imes10^{-6}$	IGS	LSC
IJ	6831	Н	24	6875	0	$2.59 imes10^{-5}$	IGS	LSC
9	115,801	Н	24	135,722	0	$2.59 imes10^{-5}$	ycf1	IRA; IRB
7	113,545	Н	23	113,576	0	$1.04  imes 10^{-4}$	IGS	IRA
8	118,844	R	23	118,844	0	$1.04  imes 10^{-4}$	IGS	IRA
6	137,948	F	23	137,979	0	$1.04  imes 10^{-4}$	IGS	IRB
10	11,371	Н	22	41,193	0	$4.15 imes 10^{-4}$	trnG-GCC (exon), trnG-GCC	LSC
11	9536	F	21	39,849	0	$1.66  imes 10^{-3}$	trnS-UGA, trnS-GCU	LSC
12	10,319	Н	21	18,682	0	$1.66  imes 10^{-3}$	IGS	LSC
13	117,049	R	21	117,049	0	$1.66  imes 10^{-3}$	ndhF	SSC
14	36,478	Н	20	53,719	0	$6.64  imes 10^{-3}$	IGS	LSC
15	53,720	Н	20	130,481	0	$6.64  imes 10^{-3}$	IGS	LSC; SSC
16	55,907	R	20	55,907	0	$6.64  imes 10^{-3}$	atpB	LSC
17	57,271	Н	20	142,064	0	$6.64  imes 10^{-3}$	trnV-UAC, tmA-UGC	LSC; IRB
18	105,331	F	20	105, 349	0	$6.64  imes 10^{-3}$	IGS	IRA
19	146,178	Н	20	146,196	0	$6.64  imes 10^{-3}$	IGS	IRB
20	4930	Н	19	36,476	0	$2.66 imes10^{-2}$	IGS	LSC
21	8915	R	19	8915	0	$2.66 imes10^{-2}$	IGS	LSC
22	13,541	R	19	76,642	0	$2.66 imes10^{-2}$	atpA	LSC
23	18,685	R	19	118,842	0	$2.66 imes10^{-2}$	clpP	LSC; SSC
24	21,297	R	19	54,183	0	$2.66 imes10^{-2}$	rpoC2	LSC
25	36,479	F	19	130,481	0	$2.66 imes10^{-2}$	IGS	LSC; SSC
26	39,957	R	19	39,957	0	$2.66 imes10^{-2}$	IGS	LSC
27	62,040	R	19	62,040	0	$2.66 imes10^{-2}$	IGS	LSC
28	64,751	R	19	64,751	0	$2.66 imes10^{-2}$	IGS	LSC
29	69,026	R	19	69,026	0	$2.66 imes10^{-2}$	IGS	LSC
30	71,277	R	19	71,277	0	$2.66 imes10^{-2}$	IGS	LSC
31	72,561	R	19	72,561	0	$2.66 imes10^{-2}$	IGS	LSC
32	4430	R	18	4430	0	$1.06  imes 10^{-1}$	IGS	LSC
33	4437	Н	18	24,828	0	$1.06  imes 10^{-1}$	rpoC1 (intron)	SSC

**Table 5.** Long repeat sequence in the Q. *acutissima* chloroplast genome.

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Ð	Repeat Start I	Type	Size (bp)	Repeat Start 2	Mismatch (bp)	E-Value	Gene	Region
34	4935	н	18	52,105	0	$1.06  imes 10^{-1}$	IGS	LSC
35	4938	Ч	18	118,695	0	$1.06 imes 10^{-1}$	IGS	LSC
36	6813	н	18	6847	0	$1.06 imes10^{-1}$	IGS	LSC
37	6813	Ч	18	6869	0	$1.06 imes 10^{-1}$	IGS	LSC
38	6817	н	18	127,945	0	$1.06 imes 10^{-1}$	ndhA (intron)	LSC
39	7369	н	18	7387	0	$1.06 imes 10^{-1}$	IGS	LSC; SSC
40	7465	R	18	7465	0	$1.06 imes 10^{-1}$	IGS	LSC; SSC
41	8589	R	18	34,768	0	$1.06 imes 10^{-1}$	IGS	LSC; SSC
42	9666	R	18	9666	0	$1.06 imes 10^{-1}$	IGS	LSC
43	10,283	ц	18	31,730	0	$1.06  imes 10^{-1}$	IGS	LSC
44	10,322	R	18	118,843	0	$1.06 imes10^{-1}$	IGS	LSC; IRA
45	10,548	н	18	133,365	0	$1.06 imes10^{-1}$	ycf1	LSC
46	31,728	Ч	18	125,951	0	$1.06 imes 10^{-1}$	IGS	LSC
47	39,812	н	18	40,698	0	$1.06 imes10^{-1}$	trnS-UGA	LSC; SSC
48	40,022	R	18	69,093	0	$1.06 imes 10^{-1}$	IGS	LSC
49	40,700	н	18	123,827	0	$1.06 imes 10^{-1}$	IGS	LSC
50	43,446	н	18	45,670	0	$1.06 imes 10^{-1}$	psaB	SSC
51	40,022	R	18	69,093	0	$1.06 imes 10^{-1}$	IGS	LSC
52	40,700	н	18	123,827	0	$1.06 imes 10^{-1}$	IGS	LSC
53	43,446	ц	18	45,670	0	$1.06  imes 10^{-1}$	psaB, psaA	LSC
				F: forward; I: inverted	l; IGS: intergenic space			

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Table	

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Gene				accD					petA				clpP	petD									ndhD							ycf1	ycf1		
Region	LSC	IRA	SSC	SSC	SSC	SSC	SSC	SSC	SSC	SSC	SSC	SSC	SSC	SSC	SSC	SSC																	
End	55,722	59,600	60,072	64,101	64,276	64,583	64,958	66,182	68,626	70,740	71,408	73,399	77,285	82,941	85,791	86,109	88,829	114,080	: 118,593	118,705	119,010	119,803	122,209	122,555	123,847	125,822	125,964	: 130,272	7 130,496	133,474	134,054	\$ 137,478	
Start	55,713	59,591	60,063	64,092	64,266	64,570	64,945	66,170	68,616	70,730	71,398	73,389	77,274	82,928	85,781	86,100	88,820	114,070	118,582	118,695	119,000	119,794	122,199	122,546	123,832	125,812	125,954	130,262	130,487	133,465	134,042	137,468	
Length (bp)	6	6	6	6	10	13	13	12	10	10	10	10	11	13	10	6	6	10	11	10	10	6	10	6	15	10	10	10	6	6	12	10	
Repeat Motif	(T)10	(T)10	(T)10	(T)10	(A)11	(AT)7	(T)14	(T)13	(T)11	(T)11	(T)11	(T)11	(AT)6	(TA)7	(A)11	(T)10	(T)10	(T)11	(T)12	(A)11	(T)11	(A)10	(T)11	(A)10	(AT)8	(T)11	(T)11	(T)11	(A)10	(T)10	(T)13	(A)11	
Ð	34	35	36	ULBU	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	-CA2I	63	64	65	
1 Gene				trnK-i				psbK							rpoC2	rpoC2													trnfM				
Regior	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC																	
End	1818	4446	4707	4948	7011	7755	8183	8601	8930	9474	10,170	13,557	15,356	16,169	18,703	21,306	25,312	28,572	29,660	30,285	30,441	31,741	32,103	33,995	34,787	34,964	36,494	39,830	41,247	53,227	53,735	54,124	55,000
Start	1809	4433	4697	4939	7001	7746	8174	8590	8920	9465	10,161	13,547	15,345	16,160	18,692	21,295	25,299	28,563	29,651	30,275	30,428	31,731	32,094	33,986	34,775	34,955	36,485	39,819	41,238	53,217	53,726	54,110	54,990
Length (bp)	6	13	10	6	10	6	6	11	10	6	6	10	11	6	11	11	13	6	6	10	13	10	6	6	12	6	6	11	6	10	6	14	10
Repeat Motif	(A)10	(C)14	(T)11	(A)10	(T)11	(T)10	(A)10	(A)12	(A)11	(A)10	(A)10	(A)11	(T)12	(T)10	(A)12	(T)12	(T)14	(T)10	(T)10	(T)11	(C)14	(T)11	(A)10	(A)10	(A)13	(A)10	(A)10	(AT)6	(T)10	(T)11	(A)10	(T)15	(A)11
Ð		2	б	4	ß	9		×	6	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33

#### 2.4. Phylogenetic Analysis

Phylogenetic analysis was completed on an alignment of concatenated nucleotide sequences of all chloroplast genomes from 25 angiosperm species (Figure 4). We used the Bayesian inference (BI) method based on RAxML to build a phylogenetic tree, and *Malus prunifolia* and *Ulmus gaussenii* were used as the outgroup. Support is generally high for almost all relationships inferred from all chloroplast genome data based on BI methods (the support values have a range of 0.8956 to 1). It is noteworthy that the species in genus *Quercus* do not form a clade. Several evergreen tree species gather together to form one clade. *Q. acutissima* and *Q. variabilis* are sister species and are frequently mixed in Chinese endemic species; the second clade splits into two subclades. *F. engleriana* is in the top position, while *Q. acutissima* appears to be more closely related to *Q. variabilis, Q. dolicholepis,* and *Q. baronii*. In general, the topologies of the other branches (genus *Fagus, Trigonobalanus, Lithocarpus,* and *Castanopsis*) are almost the same based on two nuclear loci (ITS and CRC) [3].



Figure 4. Bayesian inference (BI) phylogenetic tree reconstruction including 25 species based on all chloroplast genomes. *Malus prunifolia* and *Ulmus gaussenii* were used as the outgroup.

#### 3. Materials and Methods

#### 3.1. Sampling, DNA Extraction, Sequencing, and Assembly

*Q. acutissima* was planted in Nanjing Forestry University and Zijin Mountain in Nanjing, China  $(32^{\circ}04' \text{ N}, 118^{\circ}48' \text{ E}; 32^{\circ}04' \text{ N}, 118^{\circ}50' \text{ E})$ , respectively. Fresh leaves were collected and wrapped in ice and immediately stored at  $-80 \,^{\circ}\text{C}$  until analysis. Genomic DNA was isolated by the modified method CTAB [42]. Agarose gel electrophoresis and one drop spectrophotometer (OD-1000, Shanghai Cytoeasy Biotech Co., Ltd., Shanghai, China) were used to detect DNA integrity and quality. Shotgun libraries (250 bp) were constructed using pure DNA according to the manufacturer's instructions. Sequencing was performed with an Illumina Hiseq 2500 platform (Nanjing, China), yielding at least 9.82 GB of clean data for *Q. acutissima*. Firstly, all of the raw reads were trimmed by Fastqc. Next, we performed a BLAST analysis between trimmed reads and references (*Q. variabilis* and *Q. dolicholepis*) to extract cp-like reads. Finally, we used the chloroplast-like reads to assemble sequences using NOVOPlasty [43]. NOVOPlasty assembled part reads and stretched as far as possible until a circular genome formed. When the assembly result was within the expected range, the overlap was larger than 200 bp, and the assembly formed a ring.

#### 3.2. Annotation and Analysis of the cpDNA Sequences

CpGAVAS was used to annotate the sequences; DOGMA (http://dogma.ccbb.utexas.edu/) and BLAST were used to check the results of the annotation [44,45]. tRNAscanSE was used to identify the tRNAs [46]. The circular gene maps of the species of *Q. acutissima* were drawn using the OGDRAWv1.2 program [47] (http://ogdraw.mpimp-golm.mpg.de/). An analysis of variation in synonymous codon usage, relative synonymous codon usage values (RSCU), codon usage, and the GC content of the complete plastid genomes and commonly analyzed CDS was conducted. MISA(available online: http://pgrc.ipk-gatersleben.de/misa/misa.html) [48] and REPuter (available online: https://bibiserv. cebitec.uni-bielefeld.de/reputer/) [49] was used to visualize the SSRs and long repeats, respectively.

#### 3.3. Genome Comparison

MUMmer [50] was used for pairing sequence alignment of the cp genome. The mVISTA [51] program was applied to compare the complete cp genome of *Q. acutissima* to the other published cp genomes of its related species, i.e., *Q. variabilis* (KU240009), *Q. dolicholepis* (KU240010), *C. mollissima* (HQ336406), *L. balansae* (KP299291), and *F. engleriana* (KX852398) with the shuffle-LAGAN mode [52], using the annotation of *Q. variabilis* as a reference.

#### 3.4. Phylogenetic Analysis

Phylogenies were constructed by Bayesian inference (BI) analysis using the 25 cp genome of the Fagaceae species sequences from the NCBI Organelle Genome and Nucleotide Resources database. The sequences were initially aligned using MAFFT [53]. Then, the visualization and manual adjustment of multiple sequence alignment were conducted in BioEdit [54]. An IQ-tree was used to select the best-fitting evaluation of models of nucleotide sequences [55]. TVM + F + R4 and GTR + G were selected as the best substitution models for the BI analyses. BI analyses were conducted using Mrbayes [56]. *Malus prunifolia* (NC\_031163), and the *Ulmus gaussenii* (NC\_037840) were used as the outgroups.

#### 4. Conclusions

In this study, we reported and analyzed the complete cp genome of *Q. acutissima*, an endemic and ecological tree species in China. The chloroplast genome was shown to be more conservative with similar characteristics to other genus *Quercus* species. Compared to the cp genomes of five other oak species, its LSC were shown to be more divergent among the four regions, and noncoding regions showed higher divergence. An analysis of the phylogenetic relationships among six species found *Q. acutissima* to be closely related to *Q. variabilis*. The developmental position of the tree in the Fagaceae family is consistent with previous studies. The results of this study provide an assembly of a whole chloroplast genome of *Q. acutissima* which might facilitate genetics, breeding, and biological discoveries in the future.

**Author Contributions:** X.L. performed most of the experiments, data analysis, and the writing of the manuscript; Y.L. participated in the data analysis; M.Z. and M.L. participated in the preprocessing of data; and Y.F. supervised the project and provided suggestions for the manuscript.

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Conflicts of Interest: The authors declare no conflict of interest.

#### Abbreviations

- LSC Large single copy
- SSC Small single copy
- IR Inverted repeat
- Cp Chloroplast
- BI Bayesian inference
- A Adenine
- T Thymine
- G Guanine
- C Cytosine

## Appendix A

Table A1. The number of genes in the *Q. acutissima* cp genome.

Region	Number of CDS	Number of tRNA	Number of rRNA	Total
LSC region	62	25	0	87
SSC region	13	1	0	14
IRA region	6	7	4	17
IRB region	7	7	4	18

Table A2. Codon-anticodon recognition patterns and codon usage of the Q. acutissima chloroplast genome.

Amino Acid	Codon	No.	RSCU	tRNA	Amino Acid	Codon	No.	RSCU	tRNA
Ala	GCG	164	0.47		Pro	CCA	313	1.13	trnP-TGG
Ala	GCC	224	0.64		Pro	CCC	226	0.82	
Ala	GCU	630	1.79		Pro	CCU	409	1.48	
Ala	GCA	388	1.1		Pro	CCG	161	0.58	
Cys	UGU	221	1.44		Gln	CAG	215	0.45	
Cys	UGC	86	0.56	trnC-GCA	Gln	CAA	731	1.55	trnQ-TTG
Asp	GAC	209	0.39	trnD-GTC	Arg	CGU	337	1.26	trnR-ACG
Asp	GAU	870	1.61		Arg	AGA	500	1.87	trnR-TCT
Glu	GAA	1064	1.5	trnE-TTC	Arg	CGA	358	1.34	
Glu	GAG	357	0.5		Arg	AGG	183	0.68	
Phe	UUU	983	1.3		Arg	CGG	118	0.44	
Phe	UUC	535	0.7	trnF-GAA	Arg	CGC	109	0.41	
Gly	GGU	580	1.27		Ser	AGC	125	0.37	trnS-GCT
Gly	GGG	330	0.72		Ser	UCU	557	1.66	
Gly	GGA	706	1.55		Ser	UCA	397	1.18	trnS- $TGA$
Gly	GGC	206	0.45	trnG-GCC	Ser	UCC	349	1.04	trnS-GGA
His	CAU	486	1.54		Ser	AGU	391	1.17	
His	CAC	145	0.46	trnH-GTG	Ser	UCG	193	0.58	
Ile	AUC	458	0.58		Thr	ACU	538	1.6	
Ile	AUA	758	0.97		Thr	ACG	160	0.48	
Ile	AUU	1139	1.45		Thr	ACC	247	0.73	trnT-GGT
Lys	AAG	379	0.5		Thr	ACA	402	1.19	trnT- $TGT$
Lys	AAA	1062	1.4		Val	GUU	508	1.41	
Leu	UUG	572	1.22	trnL-CAA	Val	GUC	181	0.5	trnV-GAC
Leu	UUA	894	1.9		Val	GUA	547	1.52	
Leu	CUU	583	1.24		Val	GUG	207	0.57	
Leu	CUA	373	0.79	trnL-TAG	Trp	UGG	462	1	trnW-CCA
Leu	CUC	204	0.43		Tyr	UAC	212	0.42	trnY-GTA
Leu	CUG	198	0.42		Tyr	UAU	792	1.58	
Met	AUG	620	1	trnI-CAT	Stop	UAA	47	1.6	
Asn	AAU	1004	1.5		Stop	UAG	22	0.75	
Asn	AAC	304	0.46		Stop	UGA	19	0.65	

RSCU: Relative Synonymous Codon Usage.



**Figure A1.** BLAST result of the chloroplast genome and the GC stew of *Q. acutissima*. BLAST 1 represents *L. balansae*; BLAST 2 represents *Q. variabilis*; BLAST 3 represents *Q. dolicholepis*.



**Figure A2.** Percentage of variation in the complete cp genomes of the six species. The regions are oriented according to their locations in the genome.

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# Article Comparative Genomics of the Balsaminaceae Sister Genera Hydrocera triflora and Impatiens pinfanensis

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**Abstract:** The family Balsaminaceae, which consists of the economically important genus *Impatiens* and the monotypic genus *Hydrocera*, lacks a reported or published complete chloroplast genome sequence. Therefore, chloroplast genome sequences of the two sister genera are significant to give insight into the phylogenetic position and understanding the evolution of the Balsaminaceae family among the Ericales. In this study, complete chloroplast (cp) genomes of *Impatiens pinfanensis* and *Hydrocera triflora* were characterized and assembled using a high-throughput sequencing method. The complete cp genomes were found to possess the typical quadripartite structure of land plants chloroplast genomes with double-stranded molecules of 154,189 bp (*Impatiens pinfanensis*) and 152,238 bp (*Hydrocera triflora*) in length. A total of 115 unique genes were identified in both genomes, of which 80 are protein-coding genes, 31 are distinct transfer RNA (tRNA) and four distinct ribosomal RNA (rRNA). Thirty codons, of which 29 had A/T ending codons, revealed relative synonymous codon usage values of >1, whereas those with G/C ending codons displayed values of <1. The simple sequence repeats comprise mostly the mononucleotide repeats A/T in all examined cp genomes. Phylogenetic analysis based on 51 common protein-coding genes indicated that the Balsaminaceae family formed a lineage with Ebenaceae together with all the other Ericales.

Keywords: Balsaminaceae; chloroplast genome; Hydrocera triflora; Impatiens pinfanensis; phylogenetic analyses

#### 1. Introduction

The family Balsaminaceae of the order Ericales contains only two genera, *Impatiens* Linnaeus (1753:937) and *Hydrocera* Wight and Arnott (1834:140) and are predominantly perennial and annual herbs [1]. The monotypic genus *Hydrocera*, with a single species *Hydrocera triflora*, is characterized by actinomorphic flowers, a pentamerous calyx and corolla without any fusion between perianth parts, contrary to highly similar sister genus *Impatiens* whose flowers are highly zygomorphic [2]. *Impatiens*, one of the largest genera in angiosperms, consists of over 1000 species [3–6] primarily distributed in the Old World tropics, subtropics and temperate regions, but also in Europe, and central and North America [5,7]. In contrast, the sister *Hydrocera*, which is a semi-aquatic plant, is restricted to the lowlands of Indo-Malaysia [1]. Besides, the geographical regions, including south-east Asia, the eastern Himalayas, tropical Africa, Madagascar, southern India and Sri Lanka occupied by *Impatiens*, have been identified as diversity hotspots [7,8]. Recently, numerous new species have been recorded within these regions each year [9–14].

The controversial nature of classification of the genus *Impatiens* [1,15], for example different floral characters, its hybridization nature and species radiation, has made it under-studied. The species in prolific genus *Impatiens* are economically used as ornamentals, medicinal, as well as experimental research plant materials [16]. Additionally, previous studies have shown the genus *Impatiens* to possess potential anticancer compounds by decreasing patients' cancer cell count and increasing their life span and body weight [17]. The glanduliferins A and B isolated from the stem act to inhibit the growth of human cancer cells for growth inhibitory activity of human cancer cells [18]. As well, some polyphenols from *Impatiens* stems have showed antioxidant and antimicrobial activities [19].

In angiosperms, the chloroplast genome (cp) typically has a quadripartite organization consisting of a small single copy (SSC, 16–27 kb) and one large single copy (LSC) of about 80–90 kb long separated by two identical copies of inverted repeats (IRs) of about 20–88 kb with the total complete chloroplast genome size ranging from 72 to 217 kb [20–22]. Most of the complete cp genomes contains 110–130 distinct genes, with approximately 80 genes coding for proteins, 30 tRNA and 4 rRNA genes [21]. In addition, due to the highly conserved gene order and gene content, they have been used in plant evolution and systematic studies [23], determining evolutionary patterns of the cp genomes [24], phylogenetic analysis [25,26], and comparisons of angiosperm, gymnosperm, and fern families [27]. Moreover, the cp genomes are useful in genetic engineering [28], phylogenetics and phylogeography of angiosperms [29], and estimation of the diversification pattern and ancestral state of the vegetation within the family [30].

The Ericales (Bercht and Presl) form a well-supported clade (Asterid) containing more than 20 families [31]. Up to now, complete cp genomes representing approximately half of the families in the order Ericales have been sequenced including: Actinidiaceae [32,33], Ericaceae [34,35], Ebenaceae [36], Sapotaceae [37], Primulaceae [38,39] Styracaceae [40], and Theaceae, Pentaphylacaceae, Sladeniaceae, Symplocaceae, Lecythidaceae [30]. In addition the *Impatiens* and *Hydrocera* intergeneric phylogenetic relationship has been done using chloroplast *atpB-rbcL* spacer sequences [4]. However, there are no reports of complete chloroplast genomes in the family Balsaminaceae to date. This limitation of genetic information has hindered the progress and understanding in taxonomy, phylogeny, evolution and genetic diversity of Balsaminaceae. Analyses of more cp genomes are needed to provide a robust picture of generic and familial relationships of families in order Ericales.

This study aims to determine the complete sequences of the chloroplast genomes of *I. pinfanensis* (Hook. f.) and *H. triflora* using a high-throughput sequencing method. Additionally, comparisons with other published cp genomes in the order Ericales will be made in order to determine phylogenetic relationships among the representatives of Ericales.

#### 2. Results and Discussion

#### 2.1. The I. pinfanensis and H. triflora Chloroplast Genome Structure and Gene Content

The complete chloroplast genomes of *I. pinfanensis* and *H. triflora* share the common feature of possessing a typical quadripartite structure composed of a pair of inverted repeats (IRs) separating a large single copy (LSC) and a small single copy (SSC), similar to other angiosperm cp genomes [23]. The cp genome size of *I. pinfanensis* is 154,189 bp, with a pair of inverted repeats (IRs) of 17,611 bp long that divide LSC of 83,117 bp long and SSC of 25,755 bp long (Table 1). On the other hand, the *H. triflora* complete cp genome is 152,238 bp in length comprising a LSC region of 84,865 bp in size, a SSC of 25,622 bp size, and a pair of IR region 18,082 bp each in size. The overall guanine-cytosine (GC) contents of *I. pinfanensis* and *H. triflora* genomes are 36.8% and 36.9% respectively. Meanwhile, the GC contents in the LSC, SSC, and IR regions are 34.5%/34.7%, 29.3%/29.9%, and 43.1%/43.1% respectively.

Species	Impatiens pinfanensis	Hydrocera triflora
Total Genome length (bp)	154,189	152,238
Overall G/C content (%)	36.8	36.9
Large single copy region	83,117	84,865
GC content (%)	34.5	34.7
Short single copy region	25,755	25,622
GC content (%)	29.3	29.9
Inverted repeat region	17,611	18,082
GC content (%)	43.1	43.1
Protein-Coding Genes	80	80
tRNAs	31	31
rRNAs	4	4
Genes with introns	17	17
Genes duplicated by IR	18	18

Table 1. Comparison of the chloroplast genomes of Impatiens pinfanensis and Hydrocera triflora.

Like in typical angiosperms, both *I. pinfanensis* and *H. triflora* cp genomes encode 115 total distinct genes of which 80 are protein coding, 31 distinct tRNA and four distinct rRNA genes. Of these 62 genes coding for proteins and 23 tRNA genes were located in the LSC region, seven protein-coding genes, all the four rRNA genes and seven tRNA genes were replicated in the IR regions, while the SSC region was occupied by 11 protein-coding genes and one tRNA gene. The *ycf1* gene was located at the IR and SSC boundary region (Figures 1 and 2).



**Figure 1.** Gene map of the *Impatiens pinfanensis* chloroplast genome. Genes lying outside of the circle are transcribed clockwise, while genes inside the circle are transcribed counterclockwise. The colored bars indicate different functional groups. The dark gray area in the inner circle corresponds to GC content while the light gray corresponds to the adenine-thymine (AT) content of the genome.



**Figure 2.** Gene map of the *Hydrocera triflora* chloroplast genome. Genes lying outside of the circle are transcribed clockwise, while genes inside the circle are transcribed counterclockwise. The colored bars indicate different functional groups. The dark gray area in the inner circle corresponds to (guanine cytosine) GC content while the light gray corresponds to the AT content of the genome.

Among the 115 unique genes in *I. pinfanensis* and *H. triflora* cp genomes, 14 genes contain one intron, comprised of eight genes coding for proteins (*atpF*, *rpoC1*, *rpl2*, *petB*, *rps16*, *ndhA*, *ndhB*, *ndhK*) and six tRNAs (*trnL-UAA*, *trnV-UAC*, *trnK-UUU*, *trnI-GAU*, *trnG-GCC* and *trnA-UGC*) (Table 2), while *ycf3*, *clpP* and *rps12* genes each contain two introns. These genes have maintained intron content in other angiosperms. The trans-splicing gene *rps12* has its 5' exon located in LSC, whereas the 3' exon is located in the IRs, which is similar to that in *Diospyros* species (Ebenaceae) [36,41] and *Actinidia chinensis* (Actinidiaceae) [41]. Oddly, *rps19* and *ndhD* genes in both species begin with uncommon start codons GTG and ACG respectively, which is consistent with previous reports in other plants [36]. However, the standard start codon can be restored through RNA editing process [42,43].

The complete cp genome of *I. pinfanensis* and *H. triflora* were found to be similar, although some slight variations such as genome size, gene loss and IR expansion and contraction factors were detected, despite the two species being from the same family Balsaminaceae. For instance, *H. triflora* cp genome is 1951 bp smaller than that of sister species *I. pinfanensis*. The SSC region of *I. pinfanensis* is shorter (17,611 bp) compared to that of *H. triflora*, which is 18,082 bp long. The GC content of *H. triflora* is slightly higher (36.9%) than that of *I. pinfanensis* (36.8%). Both species possess highest GC values in the IR regions (43.1%) compared to LSC and SSC region showing the lowest values (34.5%/34.7% and 29.3%/29.9%) respectively. The IR region is more conserved than the single copy region (SSC) in both species, due to presence of conserved rRNA genes in the IR region, which is also the reason for its high GC content. Both cp genomes are AT-rich with the genome organization and content of the two species almost the same and highly conserved, these results are similar to those of other recently published Ericales chloroplast genomes [34,36].

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Group of Genes	Gene Name
rRNA genes	$rrn16(\times 2), rrn23(\times 2), rrn4.5(\times 2), rrn5(\times 2),$
tRNA genes	<pre>tmA-UGC * (x2), tmC-GCA, tmD-GUC, tmE-UUC, tmF-GAA, tmG-GCC *, tmG-UCC, tmH-GUG, tm1-CAU(x2), tmF-GAU * (x2), tmK-UUU *, tmL-CAA(x2), tmL-UAA *, tmL-UAG, tmfM-CAU, tmM-CAU, tmN-GUU(x2), tmP-GGG tmP-UGG, tmQ-UUG, tmR-ACG(x2), tmR-UCU, tmS-GCU, tmS-GGA, tmS-UGA, tmT-GGU, tmT-UGU, tmV-GAC(x2), tmP-UGC, tmQ-UUG, tmR-ACG(x2), tmN-UAC *, tmW-CCA, tmY-GUA</pre>
Ribosomal small subunit	rps2, rps3, rps4, rps7(×2), rps8, rps11, rps12_5'end, rps12_3'end * (×2), rps14, rps15, rps16 *, rps18, rps19
Ribosomal large subunit	rp12 * (×2), rpl14, rpl16, rpl20, rpl22, rpl23(×2), rpl32, rpl33, rpl36
DNA-dependent RNA polymerase	rpoA, rpoB, rpoC1 *, rpoC2
Large subunit of rubisco	rbcL
Photosystem I	psaA, psaB, psaC, psaI, psaI, ycf3 **
Photosystem II	psbA, psbB, psbC, psbD, psbE, psbH, psbI, psbI, psbI, psbK, psbL, psbM, psbN, psbT, psbZ
NADH dehydrogenase	$ndhA *, ndhB * (\times 2), ndhC, ndhD, ndhE, ndhE, ndhG, ndhH, ndhI, ndhI, ndhK$
Cytochrome b/f complex	petA, petB *, petD, petG, petL, petN
ATP synthase	atpA, atpB, atpE, atpF *, atpH, atpI
Maturase	matK
Subunit of acetyl-CoA carboxylase	accD
Envelope membrane protein	сетА
Protease	clpP **
Translational initiation factor	infA
c-type cytochrome synthesis	ccsA
Conserved open reading frames ( <i>ycf</i> )	$ycfl$ , $ycf2(\times 2)$ , $ycf4$ , $ycf15(\times 2)$
Genes with one or two ii	ntrons are indicated by one $(*)$ or two asterisks $(**)$ , respectively. Genes in the IR regions are followed by the $(\times 2)$ symbol.

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#### 2.2. Codon Usage

The relative synonymous codon usage (RSCU) has been divided into four models, i.e., RSCU value of less than 1.0 (lack of bias), RSCU value between 1.0 and 1.2 (low bias), RSCU value between 1.2 and 1.3 (moderately bias) and RSCU value greater than 1.3 (highly bias) [44,45]. To determine codon usage, we selected 52 shared protein-coding genes between I. pinfanensis and H. triflora with length of >300 bp for calculating the effective number of codons. As shown in (Table 3), the relative synonymous codon usage (RSCU) and codon usage revealed biased codon usage in both species with values of 30 codons showing preferences (<1) except tryptophan and methionine, with 29 having A/T ending codons. The TAA stop codon was found to be preferred. All the protein-coding genes contained 22,900 and 22,995 codons in I. pinfanensis and H. triflora cp genomes respectively. In addition, our results indicated that 2408 and 2439 codons encode leucine while 253 and 259 encode cysteine in I. pinfanensis and H. triflora cp genomes as the most and least frequently universal amino acids respectively. The Number of codons (Nc) of the individual PCGs varied from petD (37.10) to ycf3 (54.84) and rps18 (32.11) to rpl2 (54.24) in I. pinfanensis and H. triflora respectively (Table S1). Like recently reported in cp genomes of higher plants, our study showed that there was bias in the usage of synonymous codons except tryptophan and methionine. Our result is in line with previous findings of codon usage preference for A/T ending in other land plants [46,47].

#### 2.3. SSR Analysis Results

Analysis of SSR occurrence using the microsatellite identification tool (MISA) detected Mono-, di-, tri-, tetra-, penta- and hexa-nucleotides categories of SSRs in the cp genomes of eight Ericales. A total of 197 and 159 SSRs were found in the *I. pinfanensis* and *H. triflora* cp genomes respectively. Not all the SSR types were identified in all the species, Penta and hexanucleotide repeats were not found in *I. pinfanensis*, *Diospyros lotus*, and *Pouteria campechiana*, while only hexanucleotides were not identified in *Ardisia polysticta* and *Barringtonia fusicarpa* (Table 4). Among the SSR types discovered mononucleotide repeat units were highly represented, which were found 180 and 141 times in *I. pinfanensis* and *H. triflora* respectively. Most of the mononucleotide repeats consisting of A or T were most common (117–176 times), whereas C/G were less in number (1–8 times), and all the dinucleotide repeat sequences in all the species were AT repeats. This result is consistent with previous reports, which showed most angiosperm cp genome to be AT-rich [36,38,48].

Link minek		Num	ber	RSC	n			Number		RSCU	
АШПО АСІЯ	Содон	I. pinfanensis	H. triflora	I. pinfanensis	H. triflora	Amino Acia	COGOL	I. pinfanensis	H. triflora	I. pinfanensis	H. triflora
Ē	nnn	913	908	1.40	1.38		UCU	482	482	1.69	1.67
Phe	UUC	387	406	0.60	0.62	c	UCC	252	264	0.88	0.92
	UUA	854	842	2.11	2.07	. ver	UCA	360	324	1.26	1.12
	DUUG	468	486	1.16	1.20		DCG	142	181	0.50	0.63
F	CUU	517	503	1.28	1.24		CCU	376	371	1.59	1.58
геп	CUC	160	162	0.40	0.40	f	CCC	175	167	0.74	0.71
	CUA	310	315	0.77	0.78	D'TO	CCA	294	290	1.24	1.23
	CUG	121	128	0.30	0.32		CCG	103	112	0.43	0.48
	AUU	1035	1020	1.54	1.52		ACU	493	500	1.70	1.74
Ile	AUC	359	376	0.53	0.56	F	ACC	198	180	0.68	0.63
	AUA	624	611	0.93	0.91	Ihr	ACA	358	368	1.24	1.28
Met	AUG	547	548	1.00	1.00		ACG	108	104	0.37	0.36
	GUU	482	469	1.55	1.52		GCU	580	593	1.86	1.85
1.7.1	GUC	134	135	0.43	0.44	1.4	CCC	183	191	0.59	0.60
Val	GUA	457	457	1.47	1.48	Ala	GCA	346	353	1.11	1.10
	GUG	167	174	0.54	0.56		GCG	141	143	0.45	0.45
Ě	UAU	704	269	1.64	1.65	Č.	ngu	191	196	1.53	1.51
1 / 1	UAC	155	146	0.36	0.35	c yo	NGC	58	63	0.47	0.49
Ē	UAA	41	44	1.50	1.63	TER	UGA	18	18	0.66	0.67
IEK	UAG	23	19	0.84	0.70	Trp	DDU	412	412	1.00	1.00
an a	CAU	405	421	1.54	1.57	A	AGA	406	407	1.81	1.77
LIIS	CAC	121	114	0.46	0.43	grv	AGG	134	143	0.60	0.62
5	CAA	627	626	1.54	1.53		CGU	302	299	1.35	1.30
CIII	CAG	186	192	0.46	0.47	Δ τοτ	000	88	95	0.39	0.41
	AAU	885	868	1.59	1.57	9117	CGA	317	333	1.41	1.45
Asn	AAC	231	238	0.41	0.43		CGG	98	103	0.44	0.45
I vice	AAA	976	978	1.55	1.54	,	AGU	363	72	1.27	1.29
гуз	AAG	284	289	0.45	0.46	Jer	AGC	110	108	0.39	0.37
Acro	GAU	720	737	1.64	1.64		GGU	525	525	1.33	1.35
dev	GAC	159	160	0.36	0.36	10	GGC	160	165	0.40	0.42
đ	GAA	914	929	1.55	1.55	din -	GGA	639	625	1.62	1.61
PIN	GAG	264	272	0.45	0.45		GGG	258	238	0.65	0.61
			RSCU: Rela	tive synonymous C	odon Usage. RSC	U > 1 are highli	ghted in bold.				

Table 3. Codon usage in Impatiens pinfanensis and Hydrocera triflora chloroplast genomes.

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Table 4.

					Amo	ount			
SSR Type	Repeat Unit	Impatiens pinfanensis	Hydrocera triflora	Actinidia kolomikta	Ardisia polysticta	Diospyros lotus	Barringtonia fusicarpa	Pouteria campechiana	Primula persimilis
	A/T	176	139	117	153	146	154	161	134
MONO	C/G	4	2	4	4	4	œ	1	4
Di	AT/AT	8	6	8	5	3	13	11	6
	AAG/CTT	1	0	0	0	0	0		1
Tri	AAT/ATT	С	С	2	1	1	2	4	0
	AGC/CTG	0	0	0	0	1	0	0	0
	AAAG/CTT7	1	0	e	2	1	ε	-	1
	AAAT/ATTT	2	С	С	ę	4	e	9	2
	AATG/ATTC	1	0	0	1	0	0	0	0
	AATT/AATT	1	0	1	0	0	0	1	0
E	AGAT/ATCT	1	0	0	0	0	0	0	0
letra	AAGT/ACT7	ر 0	1	0	0	0	1	0	0
	AACT/AGT	Г 0 1	0	0	1	0	0	0	0
	AATC/ATTG	0	0	2	0	1	1	0	0
	AAAC/GTT	ر 0	0	0	0	0	0	1	0
	AAGG/CCT7	Г 0	0	0	0	0	0	1	0
	AATAC/ATT	GT 0	1	0	0	0	0	0	0
	AAAAT/ATI	TT 0	0	1	0	0	0	0	0
Penta	AAATT/AA1	TT 0	0	0	1	0	0	0	0
	AATGT/AC/	ATT 0	0	0	0	0	1	0	0
	AATAT/ATA	TT 0	0	0	0	0	0	0	1
	AATCCC/AT	TGGG0	1	0	0	0	0	0	0
Hexa	AGATAT/AT	ATCT 0	0	0	0	0	0	0	1
	AAGATG/A1	ICTTC 0	0	1	0	0	0	0	0
Total		197	159	143	171	161	187	188	150

#### 2.4. Selection Pressure Analysis of Evolution

The ratio of Synonymous (Ks) and non-synonymous (Ka) Substitution can determine whether the selection pressure has acted on a particular protein-coding sequence. Eighty common protein-coding genes shared by I. pinfanensis and H. triflora genomes were used. As suggested by Makałowski and Boguski [49] the Ka/Ks values are less than one in protein-coding genes as a result of less frequent non-synonymous (Ka) nucleotide Substitutions than the Synonymous (Ks) substitutions (Table S2). We found that the Ka/Ks values of the two species were low (<1) approaching zero, except for one gene psbK found in the LSC region, which has a ratio of 1.0259 (Figure 3). This indicates a negative selection all genes except *psbK* gene and shows that the protein-coding genes in both species are quite highly conserved (Table S2). The LSC, SSC, and IR regions average Ks values between the two species were 0.0995, 0.0314, and 0.1334 respectively. Based on Ka/Ks comparison among the regions, only ycf1 gene in IR region and most of the genes in the LSC and SSC regions revealed higher Ks values. The higher Ks values signaled that on average more genes found in the SSC region have experienced higher selection pressures in contrast to other cp genome regions (LSC and IR). The non-synonymous (Ka) value varied from 0.005 (psbE) to 0.0927 (ycf1) while Ks ranged from 0.058 (psbN) to 0.2944 (ndhE). Based on sequence similarity among the IR, SSC and LSC regions, the IR region was more conserved. This is in agreement with previous reports that found out that IR region diverged at a slower rate than the LSC and SSC regions as a result of frequent recombinant events taking place in IR region leading to selective constraints on sequence homogeneity [50,51].



**Figure 3.** Non-synonymous (Ka) and synonymous (Ks) substitution rates and Ka/Ks ratio between *I. pinfanensis* and *H. triflora.* One gene *psbK* had Ka/Ks ratio greater than 1.0, whereas all the other genes were less than 1.0.

#### 2.5. IR Expansion and Contraction

Despite of the highly conserved nature of the angiosperms inverted repeat (IRa/b) regions, the contraction or expansion at the IR junction are the usual evolutionary events resulting in varying cp genome sizes [52,53]. In our study, the IR/SSC and IR/LSC borders of *I. pinfanensis* and *H. triflora* were compared to those of the other six Ericales representatives (*P. persimilis, P. campechiana, D. lotus, B. fusicarpa, A. kolomikta* and *A. polysticta*) to identify the IR expansion or contraction (Figure 4). The IRb/SSC boundary expansions in all the eight species extended into the *ycf1* genes creating long  $^{\varphi}ycf1$  pseudogene fragments with varying length. The *ycf1* pseudogene length in *I. pinfanensis* is 1101 bp, 1095 bp in *H. triflora, 394* bp in *A. kolomikta, 974* bp in *A. polysticta, 1058* bp in *B. fusicarpa, 1203* bp in *D. lotus, 1078* bp in *P. campechiana* and 1018 bp in *P. persimilis.* Additionally, the *ndhF* gene is situated in the SSC region in *I. pinfanensis, H. triflora, A. kolomikta, D. lotus, and P. persimilis,* and it ranges from 32 bp, 9 bp, 71 bp, 10 bp and 44 bp away from the IRb/SSC boundary region respectively,

but this gene formed an overlap with the *ycf1* pseudogene in *A. polystica, B. fusicarpa* and *P. campechiana* cp genomes sharing some nucleotides of 3 bp, 1 bp and 1 bp in that order. The *rps19* gene is located at the /IRb/LSC junction, of *I. pinfanensis, H. triflora* and of the other five cp genomes, apart from *A. kolomikta* in which this gene is found in the LSC region, 151 bp gap from the LSC/IRb junction. Moreover, the occurrence of *rps19* gene at the LSC/IRb junction resulted in partial duplication of this gene at the corresponding region (IRa/LSC border) in *I. pinfanensis, H. triflora*, and *A. polysticta* cp genomes. The *trnH* gene is detected in the LSC region in *I. pinfanensis* and *H. triflora*. However, complete gene rearrangement of this *trnH* gene was observed resulting in complete duplication in the IR in the *A. kolomikta* chloroplast genome, 630 bp apart from the IR/LSC junction with *psbA* gene extending towards LSC/IRa border, however this gene is found in the LSC regions of the other five chloroplast genomes.



**Figure 4.** Comparison of IR, LSC and SSC border regions among eight Ericales cp genomes. The IRb/SSC junction extended into the *ycf1* genes creating various lengths of *ycf1* pseudogenes among the eight cp genomes. The numbers above, below or adjacent to genes shows the distance between the ends of genes and the boundary sites. The figure features are not to scale.  $^{\varphi}$  indicates a pseudogene.

The border regions of the Ericales revealed that the *I. pinfanensis* and *H. triflora* cp genomes varied a little compared to other analyzed cp genomes. As shown in Figure 4, our analyses confirmed the

IR evolution as revealed by the incomplete *rps19* gene, which was duplicated in the IR region in *I. pinfanensis, H. triflora*, and *A. polysticta*. Conversely, this *rps19* gene was not duplicated among the remaining representatives of Ericales cp genomes. In a recent study [36,54] found that the *trnH* gene duplication occurs in Actinidiaceae, and Ericaceae. This duplication of genes in the LSC/IRb junction and the IRa/LSC junction would be of great importance in systematic studies. Furthermore, the *rps19* gene at the LSC/IRb in *I. pinfanensis* and *H. triflora* is largely extended into the IRb region (199 bp and 100 bp) respectively. The SSC region of *I. pinfanensis* is 471 bp smaller than that of sister species *H. triflora*, but also smallest among the other species used in this study. Additionally, the *I. pinfanensis* LSC region is smaller than that of other species. Previous studies have shown that there is expansion of single copy (SC) and IR regions of angiosperms cp genomes during evolution [50,55], the *I. pinfanensis* and *H. triflora* cp genomes revealed that the border areas were highly conserved despite of slight genome size differences between the two species.

#### 2.6. Phylogenetic Analysis

Phylogenetic relationships within the order Ericales have been resolved in recent published reports but the position of Balsaminaceae still remains controversial [33,35–40]. In our study, the phylogenetic relationship of *I. pinfanensis*, and *H. triflora* and 38 other species of Ericales downloaded from GenBank (Table S3) was determined, with four cp genomes sequences belonging to Cornales being used as Outgroup species. Fifty-one common protein-coding sequences in all the selected cp genomes employed a single alignment data matrix of a total 35,548 characters (Supplementary Materials File S4). Almost all the nodes in the phylogenetic tree showed a strong bootstrap support. Though, Sapotaceae and Ebenaceae had low support (bootstrap < 70), this could be as a result of fewer samples in these families (Figure 5). *I. pinfanensis* and *H. triflora* as sister taxa (Balsaminaceae) formed the basal family of Ericales with intensive support. In general, all the 38 species together with the two Balsaminaceae family species formed a lineage (Ericales) recognizably discrete from the four outgroup species (Cornales). All the species grouped together into 10 clades corresponding to the 10 families in order Ericales according to APGIV system [31]. This study will provide resources for species identification and resolution of deeper phylogenetic branches among *Impatiens* and *Hydrocera* genera.



**Figure 5.** Phylogenetic relationships based on 51 common protein-coding genes of 38 representative species from order Ericales and four Cornales as Outgroup species with maximum likelihood. The numbers associated with the nodes indicate bootstrap values tested with 1000 replicates.

#### 3. Materials and Methods

#### 3.1. Plant Materials and DNA Extraction

Total genomic DNA was extracted from fresh leaves of the *I. pinfanensis* and *H. triflora* collected from Hubei province (108°42′19″ E, 30°12′33″ N) and Hainan province (110°18′57″ E, 19°23′10″ N) in China using a modified cetyltrimethylammonium bromide (CTAB) method [56]. The DNA quality was checked using spectrophotometry and their integrity examined by electrophoresis in 2% agarose gel. The voucher specimens (HIB-lzz07, HIB-lzz18) were deposited at the Wuhan Botanical Garden herbarium (HIB).

#### 3.2. Chloroplast Genome Sequence Assembly and Annotation

The pair-end libraries were constructed using the Illumina Hiseq 2500 platform at NOVOgene Company (Beijing, China) with an average insert size of approximately 150 bp for each genome. The high-quality reads were filtered from Illumina raw reads using the PRINSEQ lite v0.20.4 (San Diego State University, San Diego, CA, USA) [57] (phredQ  $\geq$  20, Length  $\geq$  50), then assembled with closely related species cp genome using a BLASTn (with *E* value of 10<sup>-6</sup>) with *Primula chrysochlora* (NC\_034678) and *Diospyros lotus* (NC\_030786) as reference species. In addition, the software Velvet v1.2.10 (Wellcome Trust Genome Campus, Hinxton, Cambridge, UK) [58] was used to assemble the obtained reads with K-mer length of 99–119. Then, consensus sequences with reference chloroplast genome was mapped using GENEIOUS 8.0.2 (Biomatters Ltd., Auckland, New Zealand) [59]. We used the online software local blast to verify the single copy (SC) and inverted repeat (IR) boundary regions of the assembled sequences.

The annotations of the complete cp genomes were performed using DOGMA (Dual Organellar GenoMe Annotator, University of Texas at Austin, Austin, TX, USA) [60]. The start and stop codons positions were further checked by local blast searches. Further, the tRNAs locations were confirmed with tRNAscan-SE v1.23 (http://lowelab.ucsc.edu/tRNAscan-SE/) [61]. The circular cp genome maps were generated using an online program (OGDrawV1.2, Max planck Institute of Molecular Plant Physiology, Potsdam, Germany) OrganellarGenomeDraw [62] with default settings plus manual corrections. Putative tRNAs, rRNAs and protein-coding genes were corrected by comparing them with the more similar reference species *Primula chrysochlora* (NC\_034678) and *Diospyros lotus* (NC\_030786) resulting from BLASTN and BLASTX searches against the nucleotide database NCBI (https://blast.ncbi.nlm.nih.gov/). The cp genome sequences were submitted to GenBank database, accession numbers *I. pinfanensis* (MG162586) and *H. triflora* (MG162585).

#### 3.3. Genome Comparison and Structure Analyses

The IR and SC boundary regions of *I. pinfanensis* and *H. triflora*, and the other six Ericales species were compared and examined. For synonymous codon usage analysis, about 52 protein-coding genes of length > 300 bp were chosen. Online program CodonW1.4.2 (http://downloads.fyxm.net/CodonW-76666.html) was used to investigate the Nc and RSCU parameters. The simple sequence repeats (SSRs) of the two study species and other Ericales representatives were detected using MISA software [63] with SSR search parameters set same as Gichira et al. [48].

#### 3.4. Substitution Rate Analysis—Synonymous (Ks) and Non-Synonymous (Ka)

We examined substitution rates synonymous (Ks) and non-synonymous (Ka) using Model Averaging in the KaKs\_Cal-culator program (Institute of Genomics, Chinese Academy of Sciences, Beijing, China) [64]. Eighty common protein-coding genes shared by the *I. pinfanensis* and *H. triflora* were aligned separately using Geneious software v5.6.4 (Biomatters Ltd., Auckland, New Zealand) [59].

#### 3.5. Phylogenetic Analyses

To locate the phylogenetic positions of *I. pinfanensis* and *H. triflora* (Balsaminaceae) within order Ericales, the chloroplast genome sequences of 38 species belonging to order Ericales and four Cornales species as outgroups, were used to reconstruct a phylogenetic relationships tree. The Phylogenetic tree was performed based on maximum likelihood (ML) analysis using RAxMLversion 8.0.20 (Scientific Computing Group, Heidelberg Institute for Theoretical Studies, Institute of Theoretical Informatics, Karlsruhe Institute of Technology, Karlsruhe, Germany) [65]. Consequently, based on the Akaike information criterion (AIC), the best-fitting substitution models (GTR + I + G) were selected (p-inv = 0.47, and gamma shape = 0.93) from jModelTest v2.1.7 [66]. The bootstrap test was performed in algorithm of RAxML with 1000 replicates.

#### 4. Conclusions

The cp genomes of *I. pinfanensis*, and *H. triflora* from the family Balsaminaceae provide novel genome sequences and will be of benefit as a reference for further complete chloroplast genome sequencing within the family. The genome organization and gene content are well conserved typical of most angiosperms. Fifty protein-coding sequences, shared by selected species from Ericales as well as our study species, were used to construct the phylogenetic tree using the maximum likelihood (ML). Majority of the nodes showed strong bootstrap support values, and the few nodes with low support, should be solved using other methods (e.g., restriction-site-associated DNA sequencing). The two species (*I. pinfanensis*, and *H. triflora*) were placed close to each other. These findings strongly support Balsaminaceae as a basal family of the order Ericales. Lastly, the Balsaminaceae (*I. pinfanensis*, and *H. triflora*) has a relationship with the other 38 species, which are all grouped into one Clade (Ericales). This study will be of value in determining genome evolution and understanding phylogenomic relationships within Ericales and give precious resources for the evolutionary study of Balsaminaceae.

# Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/2/319/s1.

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Author Contributions: Qing-Feng Wang and Jin-Ming Chen conceived and designed the experiment; Zhi-Zhong Li, Josphat K. Saina, Andrew W. Gichira and Cornelius M. Kyalo assembled sequences and revised the manuscript; Zhi-Zhong Li and Josphat K. Saina performed the experiments, analyzed the data and wrote the paper; Jin-Ming Chen and Zhi-Zhong Li collected the plant materials. All authors have read and approved the final version of the manuscript.

Conflicts of Interest: The authors declare no conflict of interest.

#### Abbreviations

IR	Inverted repeat
LSC	Large single copy
SSC	Small single copy
SSR	Simple sequence repeats
RSCU	Relative synonymous codon usage

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# Article Complete Chloroplast Genome Sequences of Four Meliaceae Species and Comparative Analyses

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**Abstract:** The Meliaceae family mainly consists of trees and shrubs with a pantropical distribution. In this study, the complete chloroplast genomes of four Meliaceae species were sequenced and compared with each other and with the previously published *Azadirachta indica* plastome. The five plastomes are circular and exhibit a quadripartite structure with high conservation of gene content and order. They include 130 genes encoding 85 proteins, 37 tRNAs and 8 rRNAs. Inverted repeat expansion resulted in a duplication of *rps19* in the five Meliaceae species, which is consistent with that in many other Sapindales, but different from many other rosids. Compared to *Azadirachta indica*, the four newly sequenced Meliaceae individuals share several large deletions, which mainly contribute to the decreased genome sizes. A whole-plastome phylogeny supports previous findings that the four species form a monophyletic sister clade to *Azadirachta indica* within the Meliaceae. SNPs and indels identified in all complete Meliaceae plastomes might be suitable targets for the future development of genetic markers at different taxonomic levels. The extended analysis of SNPs in the *matK* gene led to the identification of four potential Meliaceae-specific SNPs as a basis for future validation and marker development.

Keywords: chloroplast genome; Next Generation Sequencing; genome skimming; Meliaceae; DNA marker; SNP; indel; *matK*; *rps19* 

#### 1. Introduction

The Meliaceae or mahogany family is a flowering plant family of mainly trees and shrubs (and a few mangroves and herbaceous plants) in the order Sapindales. The species of the Meliaceae family, which are contained in The Plant List [1] belong to 52 plant genera, all showing a pantropical distribution. The Plant List includes 3198 scientific plant names of species rank for the family Meliaceae. Of these, 669 are accepted species names.

*Cedrela odorata* L., a fast-growing deciduous tree species, is the most commercially important and widely distributed species in the genus *Cedrela*, and one of the world's most important timber species. It is found from Mexico southwards throughout central America to northern Argentina, as well as in the Caribbean. The aromatic wood is in high demand in the American tropics because it is naturally termite- and rot-resistant. It contains an aromatic and insect-repelling resin that is the source of one of its popular names, Spanish-cedar (it resembles the aroma of true cedars, *Cedrus* spp.). Other common names include Cuban cedar or cedro in Spanish. It is used for a wide range of purposes, including the
production of furniture and craft items as well as different medicinal uses of the bark. It is an excellent choice for use in reforestation, because it is considered a pioneer species.

*Entandrophragma cylindricum* (Sprague) Sprague, commonly known as sapele or sapelli, is a large tree native to tropical Africa. The commercially important wood is reminiscent of mahogany (*Swietenia macrophylla*), a member of the same family. Demand for sapelli as a mahogany substitute, often traded as "African mahogany", has increased sharply in recent years. It is sold both in lumber and veneer form. Among other applications is its use in musical instruments.

*Khaya senegalensis* (Desv.) A. Juss. represents a *Khaya* species of the African riparian woodlands and savanna zone. Common names include African mahogany, dry zone mahogany, Gambia mahogany, or Senegal mahogany, among others. The wood is used for a variety of purposes, such as carpentry, interior trim, and construction. The bitter tasting bark is utilized for a variety of medical purposes.

*Carapa guianensis* Aubl. is a tree from the tropical regions of Southern Central America, the Amazon region, and the Caribbean. The wood resembles mahogany and is used in quality furniture. The seed oil is used in traditional medicine and as an insect repellent.

All four Meliaceae species analyzed in this study (*Cedrela odorata, Entandrophragma cylindricum, Khaya senegalensis, Carapa guianensis*) are listed as vulnerable on the IUCN red list of threatened species [2]. Furthermore, *Cedrela odorata* is one of the six Meliaceae species that are included in the list of CITES-protected species to ensure that international trade will not threaten the survival of this species [3]. Detecting violations of CITES regulations in the tropical timber trade requires accurate genus and species identification of wood, which is often difficult or even impossible using anatomical methods, especially if the wood is processed. Thus, there is a high demand for the development of genetic markers for these purposes.

For genus and species identification, chloroplast DNA (cpDNA) markers are often useful. In contrast to nuclear genomes, chloroplast genomes are inherited uniparentally (maternally in most seed plants) [4], show a dense gene content and a slower evolutionary rate of change (e.g., [5]). The double-stranded cpDNA is present in many copies per cell, leading to the convenient situation that cpDNA can be retrieved relatively easily from low-quantity and/or degraded DNA samples, including wood samples. These advantages of cpDNA are reflected in the recommendation of the Barcode of Life Consortia to apply molecular markers, mainly based on organelle DNA, to genetically differentiate all eukaryotic species [6]. For the genetic differentiation of vascular plants, molecular markers which are mainly based on DNA variations in two chloroplast regions (*rbcL* and *matK*) are used as a two-locus barcode [7].

Chloroplast-derived DNA sequences have been widely used for taxonomic purposes and phylogenetic studies (e.g., [8,9]). Complete cp genome sequences provide valuable data sets to resolve complex evolutionary relationships [10] and have been shown to improve resolution at lower taxonomic levels (e.g., [11]). The application of Next-Generation Sequencing (NGS) technologies [12], especially using genome-skimming strategies [13,14] has made it relatively easy to obtain complete cpDNA sequences for low cost. The high abundance of cpDNA compared to nuclear DNA allows the use of total DNA for genome skimming without prior purification of chloroplasts or cpDNA [15,16].

The comparative analysis of complete plastomes allows the extension of two-locus barcoding to next-generation barcoding (whole-plastome barcoding), gene-based phylogenetics to genome-based phylogenomics, and the development of molecular markers for taxonomic and phylogeographic purposes [15–18].

In Meliaceae, the development of cpDNA markers has so far been restricted to specific loci [19,20]. Although the Meliaceae form a large family, only the plastome sequence of one species, *Azadirachta indica*, has been previously published in GenBank (KF986530.1). In this study, we sequenced the plastomes of four additional species and compared them to that of *Azadirachta indica* as well as those of other species in the order Sapindales to analyze interspecific variation within the Meliaceae and uncover Meliaceae-specific genome features.

# 2. Results

# 2.1. The Structure of Chloroplast Genomes from Four Meliaceae Species

The complete cp genomes of *Cedrela odorata, Entandrophragma cylindricum, Khaya senegalensis* and *Carapa guianensis* were sequenced using Illumina sequencing technology in a genome-skimming approach (Table 1) and compared to the previously published plastome of *Azadirachta indica* (GenBank KF986530.1).

Table 1. Information on samples and NGS data of four Meliaceae individuals seq	uenced in this study.
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Species	Individual (Thuenen-ID)	Origin/Location	Longitude	Latitude	Trimmed Reads	Coverage *
Cedrela odorata	CEODO_205_2	Cuba, population Guisa	-76.68	20.16	254214	$101 \times$
Entandrophragma cylindricum	c-5-ENTC-46	Cameroon, FBR, Parc National de Lobeke	15.6442	2.26286	2206300	$165 \times$
Khaya senegalensis	KS	Unknown/Green house, Thünen Institute Hamburg-Lohbrügge			2783117	346×
Carapa guianensis	CAGUI_332_1	French Guiana, region Rorota	-52.262392	4.87761	422759	135×

\* The coverage is based on a final mapping of the trimmed reads to the assembled cpDNA sequence.

The plastomes of these four Meliaceae species (and of *Azadirachta indica*) are small circular DNA molecules of sizes in the range of 158,558 bp to 160,737 bp, with the typical quadripartite structure of land plant cp genomes consisting of two inverted repeats (IRa and IRb) separated by large (LSC) and small (SSC) single copy regions, respectively (Table 2).

	Cedrela Odorata (MG724915)	Entandrophragma Cylindricum (KY923074.1)	Khaya Senegalensis (KX364458.1)	Carapa Guianensis (MF401522.1)	Azadirachta Indica * (KF986530.1)
Genome size (bp)	158,558	159,609	159,787	159,483	160,737
LSC length (bp)	86,390	87,117	87,404	87,054	88,137
SSC length (bp)	18,380	18,532	18,311	18,277	18,636
IR length (bp)	26,894	26,980	27,036	27,076	26,982
Number of genes	130	130	130	130	131

Table 2. Summary of Meliaceae chloroplast genome features.

\* Not sequenced in this study. Identifiers (in parenthesis) under the species name refer to GenBank accession numbers.

In each of the cp genomes of the four newly sequenced Meliaceae species (Table 1), 112 different genes were annotated (78 protein-coding, 30 tRNA and 4 rRNA genes), 18 of which were duplicated in the IR regions, giving a total of 130 genes encoding 85 proteins, 37 tRNAs and 8 rRNAs (Tables 2 and 3). Among the 112 unique genes, 18 included one or two introns. The intron sizes are ranging from 532 bp for trnL-UAA to 2535 bp for trnK-UUU when considering the plastome of *Cedrela odorata*. One gene, *rps12*, is presumed to require trans-splicing (Table 3; [21]).

Table 3. List of gen	es annotated in the cp g	genomes of four Meliaceae	species sequenced in this study
(Table 2).			

Function	Genes
RNAs, ribosomal	rrn23, rrn16, rrn5, rrn4.5
RNAs, transfer	trnA-UGC *, trnC-GCA, trnD-GUC, trnE-UUC, trnF-GAA, trnG-GCC, trnG-UCC *, trnH-GUG, trnI-CAU, trnI-GAU *, trnK-UUU *, trnL-CAA, trnL-UAA *, trnL-UAG, trnM-CAU, trnfM-CAU, trnN-GUU, trnP-UGG, trnQ-UUG, trnR-ACG, trnR-UCU, trnS-CCU, trnS-GGA, trnS-UGA, trnT-GGU, trnT-UGU, trnV-GAC, trnV-UAC *, trnW-CCA, trnY-GUA
Transcription and splicing	rpoA, rpoB, rpoC1 *, rpoC2, matK
Translation, ribosomal proteins	
Small subunit	rps2, rps3, rps4, rps7, rps8, rps11, rps12 **, <sup>T</sup> , rps14, rps15, rps16 *, rps18, rps19
Large subunit	rpl2 *, rpl14, rpl16 *, rpl20, rpl22, rpl23, rpl32, rpl33, rpl36
Photosynthesis	
ATP synthase	atpA, atpB, atpE, atpF *, atpH, atpI
Photosystem I	psaA, psaB, psaC, psaI, psaJ, ycf3 **, ycf4
Photosystem II	psbA, psbB, psbC, psbD, psbE, psbF, psbH, psbI, psbJ, psbK, psbL, psbM, psbN, psbT, psbZ
Calvin cycle	rbcL
Cytochrome complex	petA, petB *, petD *, petG, petL, petN
NADH dehydrogenase	NdhA*, ndhB*, ndhC, ndhD, ndhE, ndhF, ndhG, ndhH, ndhI, ndhJ, ndhK
Others	clpP1 **, accD, cemA, ccsA, ycf1, ycf2

\* Genes containing one intron; \*\* genes containing two introns; <sup>T</sup> trans-splicing of the related gene. Genes in bold are located in inverted repeats (two gene copies in the genome).

The gene maps of the newly sequenced Meliaceae species are provided in Figure 1 (*Cedrela odorata*), and in Figures S1–S3 (*Entandrophragma cylindricum, Khaya senegalensis* and *Carapa guianensis*). The gene content and gene order in the cp genomes of the four species are nearly identical to the previously published plastome of *Azadirachta indica* (GenBank KF986530.1) with the exception that the *ycf1* gene at the IRa/SSC border was not annotated as a pseudogene in *Azadirachta indica* resulting in a total number of 131 genes in this species compared to 130 genes in the other four Meliaceae species (Table 2). Another exception is that the gene names of trnG-UCC and trnC-GCC are swapped due to misannotation in *Azadirachta indica*.

As mentioned above, 18 unique genes were annotated to include introns in the four newly sequenced Meliaceae species (Table 3), whereas introns are missing in the annotations of two of these genes in *Azadirachta indica* (GenBank KF986530.1), namely *petD* and *rps12* (intron in the 3' part of the gene). The annotations of these genes are probably not correct in *Azadirachta indica*; both exons are relatively short (exon 1 of *petD*: 8 bp; exon 3 of *rps12*: 26 bp), thus making their annotation difficult.

The gene *rps19* is included in the inverted repeats (close to the IRa/LSC or IRb/LSC border, respectively; Figure 1, Figures S1–S3, Table 3) in the four Meliaceae species sequenced in this study as well as in *Azadirachta indica* (KF986530.1) thus resulting in a duplication of *rps19*.



**Figure 1.** Gene map of the complete chloroplast genome of *Cedrela odorata* (GenBank MG724915). The grey arrows indicate the direction of transcription of the two DNA strands. A GC-content graph is depicted within the inner circle. The circle inside the GC content graph marks the 50% threshold. The maps were created using OrganellarGenomeDRAW [22].

# 2.2. Diversity of the Meliaceae Plastome Sequences

The newly sequenced individuals of the four different Meliaceae species share several large regions, located in intergenic regions and in the *rpl16* intron (LSC), which show low similarity to *Azadirachta indica* (Figure 2). These regions are related to large deletions which become obvious in a multiple alignment of related whole plastomes (Figure S4). The largest deletion which is in the *psbE-petL* linker is of a size of about 199 bp (Figure S4; at position 69531 bp of the *Azadirachta indica* sequence). These deletions mainly contribute to the smaller genome sizes of the four individuals compared to *Azadirachta indica* (Table 2). The *Cedrela odorata* individual, which is the individual with the smallest cp genome size (158,558 bp; Table 2), shows, compared to the four other individuals, additional large deletions in different intergenic linkers, e.g., the *ycf3-rps4* linker (Figure 2). A large exclusive deletion was also detected for the *Carapa guianensis* individual in the *psbM-psbD* linker region in the LSC (Figure 2, Figure S4).



**Figure 2.** Visualization of pairwise alignments of complete cpDNA sequences of four Meliaceae species each with the cpDNA sequence of *Azadirachta indica* (reference). VISTA-based similarity plots portraying the sequence identity of each of the four Meliacea species with the reference *Azadirachta indica* are shown. The annotation (protein-encoding genes) is provided for *Azadirachta indica* on top (based on the related GenBank file; KF986530.1). Plastome regions with the highest diversity between the 5 Meliaceae individuals are marked by blue arrows (top1–3). Further details are provided in the text below.

Based on the multiple whole-plastome alignment of the five Meliaceae individuals (Figure S4), 7635 positions that showed DNA sequence variations (SNPs or indels) in at least one of the plastomes (compared to the consensus sequence), were called by the SNiPlay tool (SNiPlay genotyping table in Table S5). The following regions of the consensus sequence showed the highest frequencies of variations (considering intervals of 10,000 bp): 1–10,000 bp (1–9681 bp in *Azadirachta indica*) with 923 variable positions (top1); 120,000–130,000 bp (117,660–127,364 bp in *A. indica*) with 771 positions (top2); and 130,000–140,000 bp (127,365–137,199 bp in *A. indica*) with 735 positions (top3). The top1-region is located at the 5-prime part of the LSC including *psbA*, *matK*, *rps16*, *psbK* and *psbI* (Figure 2). The top2-and top3-region are connected and represent the SSC downstream of *ndhF*, the SSC/IRb border and parts of the rRNA cluster of the IRb (Figure 2).

A phylogenetic tree based on a multiple alignment of the five complete Meliaceae cpDNA sequences (Table 2) and *Acer buergerianum* (family Sapindaceae in the Sapindales; NC\_034744.1) as an outgroup, shows that the analyzed Meliaceae form a monophyletic sister clade to *Azadirachta indica* within the Meliaceae. Within this clade, *Cedrela odorata* and *Entandrophragma cylindricum* group together, as do *Khaya senegalensis* and *Carapa guianensis* (Figure 3).



**Figure 3.** Phylogenetic tree (maximum likelihood) based on whole-plastome sequences of five Meliaceae species and *Acer buergerianum* (outgroup). Bootstrap values (%) are shown above branches. The bootstrap value on the branch separating *Azadirachta indica* from the other Meliaceae is below 70% and was not shown for this reason. GenBank accession numbers of the plastomes are given in Table 2.

# 2.3. Comparative Analyses for the Identification of Potential Meliaceae-Specific Plastome DNA Variations in One Barcoding Region

The *matK* gene, one of the cpDNA barcoding regions (see Introduction), was selected for comparative analyses aiming at the identification of potential Meliaceae-specific cpDNA variations. Based on a multiple alignment (Figure S5) of the extracted *matK* gene sequences of the five Meliaceae species listed in Table 2 together with five non-Meliaceae species of the order Sapindales (*Boswellia sacra* Flueck (Burseraceae), NC\_029420.1; *Anacardium occidentale* L. (Anacardiaceae), NC\_035235.1; *Leitneria floridana* Chapm. (Simaroubaceae), NC\_030482.1; *Citrus sinensis* (L.) Osbeck (Rutaceae), NC\_008334.1 [23]; *Acer buergerianum* Miq. (Aceraceae), NC\_034744.1), 16 SNP positions were identified where the five Meliaceae individuals showed the same nucleotide, which, however, differed from the nucleotide(s) of the non-Meliaceae species at the same position (SNP positions summarized in Table 4).

These 16 SNP positions were further analyzed in a multiple alignment of *matK* gene sequences from 100 Meliaceae individuals downloaded from GenBank (Figure S6). Only SNPs where all the downloaded Meliaceae sequences showed the same nucleotide at the given position were further validated with more *matK* sequences from members of other taxonomic groups (other Sapindales, other Rosids, and other land plants; Table 4).

The SNPs at the following four positions of the *matK* gene were selected as potential Meliaceae-specific: 346 bp/328 bp (consensus sequence/*Cedrela odorata* sequence), 1318 bp/1270 bp, 1478 bp/1430 bp and 1494 bp/1446 bp (Table 4). At position 1318 bp/1270 bp, e.g., all Meliaceae individuals considered include a G (Table 4, Figure S6) in contrast to members of other Sapindales families, other Rosids, as well as other land plants, where the considered individuals show an A (Table 4). This SNP is at the first position of the codon encoding for MatK amino acid 424 in *Cedrela odorata* and will result in an amino acid exchange (Meliaceae analyzed: Gly (Figure S6); other Sapindales, Rosids and land plants analyzed: Ser or Asp).

The other barcoding region, the *rbcL* gene (see Introduction), showed less nucleotide variation compared to *matK* when analyzed in a similar way (Figure S7). In a multiple alignment of the *rbcL* sequences of five Meliaceae species and five non-Meliaceae species of the order Sapindales (Figure S7), only 2 SNP positions were identified where the five Meliaceae individuals showed another nucleotide than the non-Meliaceae species at the same position (SNP positions 225 and 582 related to the consensus sequence). However, at these two positions, some other Meliaceae individuals showed differing nucleotides at GenBank; thus, these positions were not further analyzed.

Position (Consensus)	Position (Cedrela odorata)	Meliaceae	Sapindales without Meliaceae	Rosids without Sapindales	All without Rosids
208	208	Т	A or C or T	C or A	C or A
280	262	T or C <sup>1</sup>			
346	328	G	C or T	C or A	C or A
402	378	А	C or T or A or G	A or C	A or C
574	550	C or T <sup>3</sup>			
618	588	C or A <sup>1</sup>			
639	609	G	T or G or A or C	T or G or A or C	G or A or T
861	819	Т	С	C or T or G	С
995	953	C or T <sup>4</sup>			
1194	1146	Т	C or T	C or T	С
1237	1189	G or A $^2$ or C $^1$			
1239	1191	G or A <sup>3</sup>			
1318	1270	G	А	А	А
1389	1341	C or T <sup>1</sup>			
1478	1430	С	G or A	G or A or T	A or G
1494	1446	Т	A or G	G or A	G or C

Table 4. Identification of potential Meliaceae-specific SNPs (highlighted in grey) in the *matK* gene.

The SNP positions listed were validated in different multiple alignments of *matK* sequences from member individuals of different taxonomic groups downloaded from GenBank (100 sequences each). The "position (consensus)" refers to the position in the consensus sequence in the alignment in Figure S5. <sup>1,2,3,4</sup> Only 1/2/3/4 individual(s) show the indicated nucleotide.

#### 3. Discussion

In this study, the complete chloroplast genomes of four Meliaceae species (order Sapindales) were sequenced by NGS (genome-skimming), annotated (Figure 1, Figures S1–S3, Table 3) and compared with each other and with the only previously published Meliaceae plastome sequence, the sequence of *Azadirachta indica* (KF986530.1; Table 2). Across eight other families within the Sapindales, there are currently 38 complete cpDNA sequences available at the Organellar Genome Resource at NCBI [24].

Gene and intron content are highly conserved among land plant plastomes, although losses have been identified in several angiosperm lineages (reviewed, e.g., in [25,26]). The following genes known to be lost in some other Angiosperm species [25] are also missing in the four newly sequenced Meliaceae plastomes (Table 3) as well as in *Azadirachta indica* (KF986530.1): *chlB*, *chlL*, *chlN*, *infA*, *trnP-GGG*. The losses of *chlB*, *chlL*, *chlN*, and *trnP-GGG* represent synapomorphies for flowering plants [25]. The most common gene loss involves *infA* [25,27]. There is evidence that *infA* has been transferred to the nucleus in some species [27], which has not yet been investigated in Meliaceae. The low depth of coverage (related to the nuclear genome) of the genome skimming data used in this study did not allow to perform such an investigation.

Intron content is also highly conserved across angiosperms with most genomes containing 18 genes with introns [25]. In the four Meliaceae species sequenced in this study, 18 unique genes with one or two introns were annotated (Table 3). The apparent lack of the *petD* intron and the intron in the 3'*-rps12* locus in *Azadirachta indica* (KF986530.1) are annotation errors in our opinion.

Hotspots for structural rearrangements within plastomes include the IRs, which are frequently subjected to expansion, contraction, or even complete loss (summarized in [21]). Inverted repeat expansion resulted in a duplication of *rps19* in the five Meliaceae plastomes analyzed so far (Figure 1, Figures S1–S3, Table 3, KF986530.1). This is consistent with research considering the plastomes of *Nitraria sibirica* (Nitrariaceae [28]) and 38 other Sapindales species [24] not belonging to the Meliaceae. However, some of these plastomes have only incomplete second *rps19* copies (*Anacardium occidentale*, NC\_035235.1; *Acer miaotaiense*, NC\_030343.1; *Acer buergerianum*, NC\_034744.1; *Mangifera indica*, NC\_035239.1) or the second copy is even completely missing, such as in *Aesculus wangii* (NC\_035955.1) or *Pistacia vera* (NC\_034998.1), where the first *rps19* copy is in addition incomplete. The *rps19* gene is completely absent in *Rhus chinensis* (NC\_033535.1). In contrast to the Sapindales, many other rosid species include only one *rps19* gene in the LSC of the cpDNA sequence [28]. To answer

the question if the duplication of *rps19* is a general plastome feature in Meliaceae, the plastomes of other member species of the 52 plant genera within the Meliaceae must be sequenced and annotated.

Complete plastome sequences are valuable for deciphering phylogenetic relationships especially between closely related taxa, or where recent divergence, rapid speciation or slow genome evolution has resulted in limited sequence variation [18,29,30]. Considering that most species-level diversity of Meliaceae in rainforests is recent [31], a whole-plastome phylogeny is highly desired for this family. The whole-plastome phylogeny that was generated in this study (Figure 3) based on the whole-plastome alignment for five Meliaceae species (Figure S4), is an initial step in this direction. The result that *Azadirachta indica* (member of the subfamily Melioidaeae) belongs to another subclade than the four other Meliaceae species (members of the subfamily Swietenioideae) as well as the further sub-grouping of the four Meliaceae species, agrees with previous studies (subfamilies according to [31–34]). In the future, more complete cpDNA sequences of member individuals of other Meliaceae species are needed to exploit the power of the whole-plastome phylogenies, especially for differentiation at lower taxonomic levels. Additional integration of complete cpDNA sequences with small amplicon datasets could further improve the phylogenetic resolution, as recently shown in *Acacia*, where the greatest support has been achieved when using a whole-plastome phylogeny as a constraint on the amplicon-derived phylogeny [30].

Whole-plastome alignments are also very useful to develop cpDNA markers for the genetic identification of species or other taxonomic categories [17]. Especially, large indels can be easily identified in whole-plastome alignments and used, e.g., for the development of robust PCR-based markers, as recently shown for a *Populus tremula*-specific marker that has been developed based on a 96 bp-indel [17,35]. In the present study, we identified and compactly visualized large indels between the analyzed Meliaceae individuals based on pairwise alignments using VISTA (Figure 2). Exclusive large deletions were identified for the *Cedrela odorata* and the *Carapa guianensis* individuals, respectively (Figure 2). Before effective markers can be developed, it must be further validated with more Meliaceae species and individuals whether these deletions are genus-, species- or individual-specific. PCR-marker development based on indels in small intergenic regions might be particularly robust, because the primers could be placed into conserved genic regions adjacent to the indel. The SNPs and indels identified between the five Meliaceae plastomes (Table S5), based on a multiple alignment, may also serve—after further validation—as targets for future marker development at different taxonomic levels.

Aiming at the identification of potential Meliaceae-specific SNPs, the *matK* gene, one of the barcoding regions [7]—was selected and the SNPs identified in this gene were analyzed in extended sets of previously published *matK* sequences. In general, nucleotide variation per site in *matK* is three times higher than in *rbcL* (the large subunit of RUBISCO; [36]), and the amino acid substitution rate is six times that of *rbcL* [37]. Here, four potential Meliaceae-specific SNPs were identified (Table 4), three of which are in the 3'-terminus of the *matK* gene encoding for the C-terminus of MatK in a region that is homolog to domain X of mitochondrial group II intron maturases [38] and has a significantly higher amount of basic amino acids compared to the N-terminal region [39]. The potential Meliaceae-specific SNPs—once further validated—should be attractive for the taxonomic differentiation of samples from wood or wood products.

#### 4. Materials and Methods

#### 4.1. Sampling, DNA Extraction and Sequencing

The individual trees analyzed are described in detail in Table 1. Genomic DNA was isolated from leaves or cambium according to Dumolin et al. 1995. Genomic library generation and sequencing on the Illumina MiSeq v3 ( $2 \times 300$  bp paired-end reads) was done by Eurofins Genomics (Ebersberg, Germany).

#### 4.2. Assemblies of Chloroplast Genome Sequences and Annotation

If not otherwise stated, CLC Genomics Workbench (CLC-GWB; v8.5.1 and v9.5.3; CLC bio, A QIAGEN company; Aarhus, Denmark) was used for data processing. Initial quality control of the NGS reads was done with FastQC [40].

# 4.2.1. Assembly of cpDNA Sequences of Khaya Senegalensis and Entandrophragma Cylindricum

All reads were trimmed with CLC-GWB including adapter trimming, quality trimming (quality limit of 0.01), trimming of 10 nucleotides at the 5'-end and removing reads of less than 50 bp in length. All other options were set to default. Potential chloroplast reads were extracted by mapping all trimmed reads against the chloroplast sequence of *Azadirachta indica* (KF986530.1) using the tool MITObim [41]. Multiple de novo assemblies based on different word sizes were performed on extracted chloroplast reads. Overlapping contigs of one or more assemblies were used to combine the contigs to complete cpDNA sequences.

### 4.2.2. Assembly of cpDNA Sequences of Carapa Guianensis and Cedrela Odorata

Adapter sequences were removed by the trimming software Trimmomatic [42] using simple and palindromic trim. Further trimming was done by CLC-GWB including quality trimming (quality limit of 0.01), trimming of 10 nucleotides at the 3'- and 15 nucleotides 5'-end and removing reads shorter than 50 bp. All other options were set to default. A first set of contigs was generated by de novo assembly of all trimmed reads, using a length fraction of 0.9 and a similarity fraction of 0.95. All resulting contigs were blasted with the command line tool *blastn* [43] against the nucleotide BLAST database downloaded from GenBank [44] to identify and select chloroplast contigs. All resulting Blast hits were filtered for the keyword "chloroplast" and finally validated with the web Blast tool at NCBI [45]. Trimmed reads were mapped to the chloroplast contigs, mapped reads were extracted and stored in a separate read set as chloroplast reads. Multiple de novo assemblies based on different word sizes were performed on these chloroplast reads. Overlapping contigs of one or more assemblies were used to assemble the complete cpDNA sequence.

# 4.3. Annotation of the cpDNA Sequences and Preparation of GenBank Submission Files

Draft annotations were generated with the web-based software CPGAVAS [46,47] to check gene content and order. These draft annotations were corrected where necessary, guided by alignments to other well-characterized eudicot plastomes including those of *Arabidopsis thaliana* (NC\_000932), *Nicotiana tabacum* (NC\_001879) and *Spinacia oleracea* (NC\_002202).

The file resulting from the fine annotation of the plastome of *Khaya senegalensis* (GB-format) was transferred to a draft SQN-file using the CHLOROBOX-GenBank2Sequin-tool [48] and edited using the Sequin tool (v13.05; [49]). The error-corrected SQN-file was submitted to GenBank. Because gene content and order were the same in all species analyzed (according to the fine annotation), the GenBank submission files (SQN-format) for the other species were created by updating the sqn-file of *Khaya senegalensis* with the sequences of the other three species (using the "update sequence" function in Sequin) and subsequent manual editing of shifted annotations in Sequin.

The circular gene maps of the four Meliaceae plastomes (Figure 1; Figures S1–S3) were obtained using the OrganellarGenomeDRAW software (OGDRAW v1.2; [22,50].

# 4.4. Alignments and Construction of a Phylogenetic Tree

Pairwise alignments of complete cpDNA sequences were performed using the VISTA tool mVISTA ("AVID" as alignment program) at VISTA [51,52]. Identity plots of each pairwise alignment were downloaded from the related VISTA-point results page.

Multiple alignments of complete cpDNA sequences were run using CLC-GWB (v. 8.5.1.; parameters: gap open cost = 10.0; gap extension cost = 1.0; end gap cost = as any other; alignment mode = very accurate; redo alignments = no; use fixpoints = no).

The phylogenetic tree was constructed based on the alignment of five Meliaceae species together with one outgroup (*Acer buergerianum*, NC\_034744.1; family Sapindaceae in the order Sapindales) using the "Maximum likelihood phylogeny" tool of CLC-GWB including bootstrap analysis with 100 replicates (other parameters: construction method for the start tree = UPGMA; existing start tree = not set; nucleotide substitution model = Jukes Cantor; protein substitution model = WAG; transition/transversion ratio = 2.0; include rate variation = No; number of substitution rate categories = 4; gamma distribution parameter = 1.0; estimate substitution rate parameter(s) = Yes; estimate topology = Yes; estimate gamma distribution parameter = no).

# 4.5. SNP and Indel Detection in Multiple Alignments Using SNiPlay

To identify SNPs between the complete cpDNA sequences of the 5 Meliaceae species (Table 2), the alignment-FASTA of the related multiple alignment was exported from CLC-GWB and further edited (replacement of alignment spaces "-"—if present—by "?" at the 5'- or 3'- end of the sequences). The edited alignment-FASTA was used as an input for the web tool SNiPlay (pipeline v2; [53]) to run SNP/indel discovery (default parameters) [54].

# 4.6. NCBI-Blast Analyses of matK and Download of matK Gene Sequences of Different Taxonomic Groups for Multiple Alignments

The *matK* gene sequence of *Cedrela odorata* (MG724915) was used as a query in different BlastN searches (parameters: optimized for highly similar sequences, maximal target sequences: 100) at GenBank (NCBI; [45]): (i) restrict to Meliaceae (taxid:43707); (ii) restrict to Sapindales (taxid:41937) and exclude Meliaceae; (iii) restrict to Rosids (taxid:71275) and exclude Sapindales; and (iv) no restriction, but exclude Rosids. After each Blast analysis, all 100 hits were selected and a FASTA was downloaded with the aligned sequences. Each FASTA file was used as input for a multiple alignment together with the *matK* sequence of *Cedrela odorata* (used as reference). In the case of the Meliaceae alignment, sequences of wrong orientation in the alignment were reverse complemented and the alignment was repeated. In the case of other alignments only sequences in the right orientation (CDS orientation) were considered in the further analyses.

Supplementary Materials: Supplementary materials can be found at www.mdpi.com/1422-0067/19/3/701/s1. Figure S1. Gene map of the complete cpDNA sequence of Entandrophragma cylindricum (KY923074). Figure S2. Gene map of the complete cpDNA sequence of Khaya senegalensis (KX364458). Figure S3. Gene map of the complete cpDNA sequence of Khaya senegalensis (KX364458). Figure S3. Gene map of the complete cpDNA sequence of the matK gene sequences of 5 Meliaceae species (Table 2) and 5 non-Meliaceae members of the order Sapindales (Boswellia sacra, NC\_029420.1; Anacardium occidentale, NC\_035235.1; Leitneria floridana, NC\_030482.1; Citrus sinensis, NC\_008334.1; Acer buergerianum, NC\_034744.1). Figure S6. Alignment of the matK gene sequences of 5 Meliaceae species (Table 2) and 5 non-Meliaceae members of the order Sapindales (Boswellia sacra, NC\_029420.1; Anacardium occidentale, NC\_035235.1; Leitneria floridana, NC\_030482.1; Citrus sinensis, NC\_008334.1; Acer buergerianum, NC\_034744.1). Figure S6. Alignment of the order Sapindales (Boswellia sacra, NC\_029420.1; Anacardium occidentale, NC\_035235.1; Leitneria floridana, NC\_030482.1; Citrus sinensis, NC\_008334.1; Acer buergerianum, NC\_034744.1). Table S1. SNPs and Indels identified by SNIPlay in the whole-plastome alignment of 5 Meliaceae species.

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# Abbreviations

ср	chloroplast
cpDNA	chloroplast DNA
CITES	Convention on International Trade in Endangered Species of Wild Fauna and Flora
IRa	Inverted Repeat a
IRb	Inverted Repeat b
IUCN	International Union for Conservation of Nature
LSC	Large Single-Copy Region
NGS	Next-Generation Sequencing
SSC	Small Single-Copy Region

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# Article Molecular Evolution of Chloroplast Genomes of Orchid Species: Insights into Phylogenetic Relationship and Adaptive Evolution

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Abstract: Orchidaceae is the 3rd largest family of angiosperms, an evolved young branch of monocotyledons. This family contains a number of economically-important horticulture and flowering plants. However, the limited availability of genomic information largely hindered the study of molecular evolution and phylogeny of Orchidaceae. In this study, we determined the evolutionary characteristics of whole chloroplast (cp) genomes and the phylogenetic relationships of the family Orchidaceae. We firstly characterized the cp genomes of four orchid species: Cremastra appendiculata, Calanthe davidii, Epipactis mairei, and Platanthera japonica. The size of the chloroplast genome ranged from 153,629 bp (C. davidi) to 160,427 bp (E. mairei). The gene order, GC content, and gene compositions are similar to those of other previously-reported angiosperms. We identified that the genes of *ndhC*, *ndhI*, and *ndhK* were lost in *C*. *appendiculata*, in that the *ndhI* gene was lost in *P. japonica* and *E. mairei*. In addition, the four types of repeats (forward, palindromic, reverse, and complement repeats) were examined in orchid species. E. mairei had the highest number of repeats (81), while C. davidii had the lowest number (57). The total number of Simple Sequence Repeats is at least 50 in C. davidii, and, at most, 78 in P. japonica. Interestingly, we identified 16 genes with positive selection sites (the psbH, petD, petL, rpl22, rpl32, rpoC1, rpoC2, rps12, rps15, rps16, accD, ccsA, rbcL, ycf1, ycf2, and ycf4 genes), which might play an important role in the orchid species' adaptation to diverse environments. Additionally, 11 mutational hotspot regions were determined, including five non-coding regions (*ndhB* intron, *ccsA-ndhD*, *rpl33-rps18*, *ndhE-ndhG*, and *ndhF-rpl32*) and six coding regions (rps16, ndhC, rpl32, ndhI, ndhK, and ndhF). The phylogenetic analysis based on whole cp genomes showed that C. appendiculata was closely related to C. striata var. vreelandii, while C. davidii and C. triplicate formed a small monophyletic evolutionary clade with a high bootstrap support. In addition, five subfamilies of Orchidaceae, Apostasioideae, Cypripedioideae, Epidendroideae, Orchidoideae, and Vanilloideae, formed a nested evolutionary relationship in the phylogenetic tree. These results provide important insights into the adaptive evolution and phylogeny of Orchidaceae.

**Keywords:** adaptive variation; chloroplast genome; molecular evolution; Orchidaceae; phylogenetic relationship

### 1. Introduction

Orchidaceae is the biggest family of monocotyledons and the third largest angiosperm family, containing about five recognized subfamilies (Apostasioideae, Cypripedioideae, Epidendroideae,

Orchidoideae, and Vanilloideae) [1], with over 700 genera and 25,000 species [2–4]. The orchid species are generally distributed in tropical and subtropical regions in the world, while a few species are found in temperate zones. Many orchid species have important ornamental and flowering values, e.g., their flowers are characterized by labella and a column, and they are attractive to humans [5,6]. In recent years, due to the overexploitation and habitat destruction of orchid species, many wild population resources have become rare and endangered [7]. Presently, some scholars have mainly concentrated on the study of Orchidaceae for their morphology and medicinal value, and research on genomes has been relatively scarce [8,9]. Some studies showed that the two subfamilies, Apostasioideae and Cypripedioideae, were clustered into the two respective genetic clades based partial on chloroplast DNA regions and nuclear markers [4,10]. However, the major phylogenetic relationships among the five orchid subfamilies remain unresolved [11].

In recent years, the fast progress of next-generation sequencing technology has provided a good opportunity for the study of genomic evolution and interspecific relationships of organisms based on large-scale genomic dataset resources, such as complete plastid sequences [12,13]. The chloroplast (cp) is made up of multifunctional organelles, playing a critical role in photosynthesis and carbon fixation [5,14–16]. The majority of the cp genomes of angiosperms are circular DNA molecules, ranging from 120 to 160 kb in length, with highly-conserved compositions, in terms of gene content and gene order [17–20]. Generally, the typical cp genome is composed of a large single copy (LSC) region and a small single copy (SSC) region, which are separated by two copies of inverted repeats (IRa/b) [21-23]. Due to its maternal inheritance and conserved structure characteristics [24–27], the cp genomes can provide abundant genetic information for studying species divergence and the interspecific relationships of plants [28-31]. For example, based on complete cp genomes, some studies suggested that Dactylorhiza viridis diverged earlier than Dactylorhiza incarnate [12]; Lepanthes is was distinct from *Pleurothallis* and *Salpistele* [13]. In addition, some researchs based on one nuclear region (*ITS-1*) and five chloroplast DNA fragment variations revealed that Bolusiella talbotii and the congeneric B. iridifolia were clustered into an earlier diverged lineage [10]. However, up to now, the phylogenetic relationships of some major taxons (e.g., Cremastra and Epipactis) in the Orchidaceae family remain unclear.

In this study, the complete cp genomes of four orchid species (*Cremastra appendiculata, Calanthe davidii, Epipactis mairei*, and *Platanthera japonica*) were first assembled and annotated. Following this, we analyzed the differences in genome size, content, and structure, and the inverted repeats (IR) contraction and expansion, identifying the sequence divergence, along with variant hotspot regions and adaptive evolution through combination with other available orchid cp genomes. In addition, we also constructed the evolutionary relationships of the Orchidaceae family, based on the large number of cp genome datasets.

# 2. Results

# 2.1. The Chloroplast Genome Structures

In this study, the cp genomes of four species displayed a typical quadripartite structure and similar lengths, containing a pair of inverted repeats IR regions (IRa and IRb), one large single-copy (LSC) region, and one small single-copy (SSC) region (Figure 1, Table 2). The cp genome size ranged from 153,629 bp in *C. davidii* to 160,427 bp in *E. mairei*, with *P. japonica* at 154,995 bp and *C. appendiculata* at 155,320 bp. The length of LSC ranged from 85,979 bp (*P. japonica*) to 88,328 bp (*E. mairei*), while the SSC length and IR length ranged from 13,664 bp (*P. japonica*) to 18,513 bp (*E. mairei*), and from 25,956 bp (*C. davidii*) to 27,676 bp (*P. japonica*). In the four species, the GC contents of the LSC and SSC regions (about 34% and 40%) were lower than those of the IR regions (about 43%) (Table 1). There were 37 tRNA genes and eight rRNA genes that were identified in each orchid cp genome, but there were some differences in terms of protein-coding genes. In *C. davidii*, we annotated 86 protein-coding genes. There were no *ndhC*, *ndhI*, and *ndhK* genes in *C. appendiculata*. In *P. japonica* and *E. mairei*, the *ndhI* gene

was lost (Tables 1 and 2). Fourteen out of the seventeen genes contained a single intron, while three (*clpP*, *ycf*3, and *rps*12) had two introns (Table 2).



**Figure 1.** Chloroplast genome maps of the four orchid species. Gene locations outside of the outer rim are transcribed in the counter clockwise direction, whereas genes inside are transcribed in the clockwise direction. The colored bars indicate known different functional groups. The dashed gray area in the inner circle shows the proportional GC content of the corresponding genes. LSC, SSC and IR are large single-copy region, small single-copy region, and inverted repeat region, respectively.

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Species	Cremastra appendiculata	Calanthe davidii	Epipactis mairei	Platanthera japonica
Accession number	MG925366	MG925365	MG925367	MG925368
Genome size (bp)	155,320	153,629	160,427	154,995
LSC length (bp)	82,098	86,045	88,328	85,979
SSC length (bp)	15,478	15,672	18,513	13,664
IR length (bp)	26,372	25,956	26,790	27,676
Coding (bp)	100,018	104,531	113,915	107,028
Non-coding (bp)	55,302	49,098	46,512	47,967
Number of genes	130(0)	132 (19)	131 (19)	128 (17)
Number of protein-coding genes	83 (7)	86 (7)	85 (7)	85 (7)
Number of tRNA genes	38 (8)	38 (8)	38 (8)	38 (8)
Number of rRNA genes	8 (4)	8 (4)	8(4)	8 (4)
GC content $(\%)$	37.2	36.9	37.2	37
GC content in LSC (%)	34.5	34.5	34.9	34.2
GC content in SSC (%)	30.4	30.2	31.0	29
GC content in IR (%)	43.5	43.1	43.1	43.2
Mapped read number	551,680	324,741	230,968	322,259
Chloroplast coverage	544.9	217.4	216	313.6
	The numbers in parenthesis indica	te the genes duplicated in th	ne IR regions.	

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Table 2.

Category of Genes	Group of Gene	Name of Gene	Name of Gene	Name of Gene	Name of Gene	Name of Gene
	Ribosomal RNA genes	rrn16 (×2)	rrn2 (×2)	rrn4.5 (×2)	rrn5 (×2)	
Self-replication	Transfer RNA genes	trnA-UGC *(×2) trnfM-CAU trn1-GAU *(×2) trnM-CAU trnR-UCU trnT-UGU	<i>trnC-GCA</i> <i>trnG-GCC</i> * <i>trnK-UUU</i> * <i>trnN-GUU</i> (× <sup>2)</sup> <i>trnS-GCU</i> <i>trnS-GCU</i> <i>trnV-GAC</i> (× <sup>2)</sup>	trmD-GUC trm6-UCC trmL-CAA (×2) trmP-UGG trmS-GGA trmV-UAC (×2)	<i>trnE-UUC</i> <i>trnH-GUG</i> (× <sup>2</sup> ) <i>trnL-UAA</i> * <i>trn2-UUG</i> <i>trn5-UGA</i> <i>trnW-CCA</i>	trnF-GAA trn1-CAU (×2) trnL-UAG trnR-ACG (×2) trnT-GGU trnY-GUA
	Small subunit of ribosome	rps2 rps11 rps18	rps3 rps12 **.(×2) rps19 (×2)	rps4 rps14	rps7 (×2) rps15	rps16 *
	Large subunit of ribosome	rp12 *,(×2) rp123 (×2)	rpl14 rpl32	rpl16 * rpl33	rp120 rp136	rp122
	DNA-dependent RNA polymerase	rpoA	rpoB	rpoC1 *	rpoC2	
	Translational initiation factor	infA				
	Subunits of NADH-dehydrogenase	ndhA * ndhF ndhK <sup>a</sup>	ndhB *,(×2) ndhG	ndhC <sup>a</sup> ndhH	ndhD ndhI <sup>a,c,d</sup>	ndhE ndh]
	Subunits of photosystem I	psaA ycf3 **	psaB ycf4	psaC	psal	psaJ
Genes for photosynthesis	Subunits of photosystem II	psbA psbF psbL	psbB Hdsq psbM	psbC psbI psbN	psbD psbJ psbT	psbE psbK psZ
	Subunits of cytochrome b/f complex	petA petN	petB*	petD *	petG	petL
	Subunits of ATP synthase	atp A atpI	atp B	atp E	atp F *	atp H
	Subunits of rubisco	rbcL				
	Maturase	matK				
Other genes	Protease	clpP **				
	Envelope membrane protein	сетА				
	Subunit of acetyl-CoA carboxylase	accD				
	C-type cytochrome synthesis gene	ccsA				
Genes of unknown function	Conserved open reading frames	ycf1	$ycf2^{(\times 2)}$			
						(

<sup>a</sup> gene is no in *Cremastra appendiculata;* <sup>c</sup> gene is not in *Epipactis mairei;* <sup>d</sup> gene is not in *Platanthera japonica;* \* Gene contains one intron; \*\* gene contains two introns; <sup>(×2)</sup> indicates that the number of the repeat unit is 2.

#### 2.2. Repeat Structure and Simple Sequence Repeats

Repeats in cp genomes were analyzed using REPuter (Figure 2a and Table S2). *E. mairei* had the greatest number, including 46 forward, 31 palindromic, three reverse repeats, and 1 complement repeat. This was followed by *C. appendiculata* with 43 forward, 33 palindromic, and 2 reverse repeats. *P. japonica* had 42, 21, 1, and 1 forward, palindromic, reverse, and complement repeats. *C. davidii* had the least number, with only 30 forward and 27 palindromic repeats. The comparison analyses revealed that most of the repeats were 30–90 bp, and that the longest repeats, with a length of 309 bp, were detected in the *E. mairei* cp genome (Figure 2b). Most of the repeats were distributed in non-coding regions. There were 9% repeats in coding sequence and intergenic spacer parts (CDS-IGS) in *E. mairei*, but none in *C. appendiculata* (Figure 2c). The highest number of tandem repeats was 53 in *E. mairei*, and the lowest was 29 in *C. davidii* (Table S3). The total number of SSRs was 51 in *C. appendiculata*, 50 in *C. davidiii*, 58 in *E. mairei*, and 78 in *P. japonica* (Table S4). Only one six compound, SSR, was found in *C. appendiculata* (Figure 3a). A large proportion of SSRs were found in the LSC region, and we did not identify C/G mononucleotide repeats, while the majority of the dinucleotide repeat sequences were comprised of AT/TA repeats (Figure 3b).



**Figure 2.** Maps of repeat sequence analyses. Repeat sequences in *C. appendiculata, C. davidii, E. mairei,* and *P. japonica* chloroplast genomes. (a) Number of the four repeat types, F, P, R, and C, indicate the repeat type (F: forward, P: palindrome, R: reverse, and C: complement, respectively). (b) Frequency of the four repeat types by length. (c) Repeat distribution among four different regions: IGS: intergenic spacer, CDS: coding sequence, intron and CDS-IGS part in CDS and part in IGS.



**Figure 3.** The distribution maps of simple sequence repeats (SSR) in *C. appendiculata, C. davidii, E. mairei,* and *P. japonica* chloroplast genomes. (a) Classification of SSRs in four orchid species. IGS, intergenic spacer; CDS, coding sequence, CDS-IGS, part in CDS and part in IGS. (b) Classification of SSRs by repeat type. mono-, mononucleotides; di-, dinucleotides; tri-, trinucleotides; tetra-, tetranucleotides; penta-, pentanucleotides; and hexa-, hexanucleotides.

### 2.3. IR Contraction and Expansion

We examined the differences between inverted repeat and single-copy (IR/SC) boundary regions among 20 orchid genera, which were classified into several different types (Figure 4). First, the *rps19* gene crossed the large single-copy and inverted repeat b (LSC/IRb) regions within the two parts for eighteen Orchidaceae genera. In *C. crispate* and *C. appendiculata*, the *rps19* gene existed only in the IRb region. Second, in 12 genera, the *ndhF* gene and the *ycf1* pseudogene overlapped in the IRb/SSC region. In *C. appendiculata* and *Dendrobium strongylanthum*, the *ndhF* gene was complete in the SSC region, 8–35 bp away from the IRb region. In *C. crispate, E. pusilla*, and *Phalaenopsis equestris*, the *rpl32* gene was in the SSC region instead of the *ndhF* gene, 280–464 bp away from the IRb region. For the 17 genera mentioned above, the *ycf1* gene crossed the SSC/IRa region. In *C. edavidii* and *Bletilla ochracea*, the *ndhF* gene crossed the IRb/SSC region, and the *ycf1* gene was complete in the SSC region, 101 and 4 bp away from the IRa region. The *trnH-GUG* genes were all located in the LSC region, which was 231 to 1390 bp away from the LSC/IRa boundary. Most specifically, in *Vanilla planifolia*, the *ccsA* gene crossed the IRb/SSC region, as we did not find the *ndhF* and *ycf1* genes. Thirdly, all 20 genera had the same IRa/LSC borders: the *rps19* gene in the IRa region and the *psbA* gene in the LSC region.

	97bp	117bp		464bp	5295bp	216bp	1176р	276bp
Cattlene anionata	rpl22	rps19	ycfl	rp132	ycf1		rps19	psb/1
Cunteya crisputa	/ LSC : 857336p	IRB :	249336p	ssc	12789Бр	IRA : .	249336p	LSC
	181bp	35bp		35bp	3345br	123bp	35bp	564bp
	rp122	rps19	yeft	ndhf	yeft		rps19	psbA
Cremastra appendicui	ata LSC : 87098bp	IRB :	26372bp	SSC	15438bp	IRA : 3	26372bp	lsc >
	264bp	102bp			4446bp	1026bp	280bp	50bp
	rpl22		ycf1		yef.	1	rps19	psbA
Masdevallia coccinea	LSC : 84957bp	IRB :	27009bp	SSC :	18448bp	IRA : 3	27009bp	LSC
			64bp	2129bp				
	330bp	36bp		415bp	5238bp	90bp	228bp	94bp
Ervcina pusilla	LSC : 83733bn	IRB ·	24097hn	SSC -	11236bn	IRA	24097hn	LSC
	17600	1500	1700	719760	460	144446	21764	6700
	rp122	3500	nd	hf	yef1	trnN-	rps19	psbA
Bletilla ochracea	LSC : 83733bp	IRB :	24097bp	SSC	11236bp	IRA : 2	24097bp	LSC
	324bp	36bp			4314bp	110760	210br	126bp
	rp122		yefl		yef	( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	rps19	psbA
Cymbidium faberi	LSC : 85852bp	IRB :	26796bp	SSC	17818bp	IRA : :	26796bp	lsc >
			<i>n</i>	dhf				
	310bp	56bp	86bp 38bp	2086bp 1789bp	101bp	231bp	288bp	283bp
	rp122		"	dhf	ycf1	trnN-GU	U rps19	psbA
Calanthe davidii	LSC : 86045bp	IRB :	25956bp	SSC	15672bp	IRA : 2	25956bp	LSC
	323bp	43bp		8bp	5225bp	304bp	231bp	581bp
Dandrohium strongel	rp122	IDD . /	ycj1	ndhf	ycf.	IDA . /	rps19	psbA
Denaroonum strongytt	111 LSC : 858560p	IKD : .	2031700		1459060	IRA I .	20317bp	Lac
	323bp	43bp	_		4513bp	1067bp	232bp	108bp
<b></b>	rp122	_	yefl		ycf		rps19	psbA
Elleantnus soairoi	2 LSC : 88425bp	IRB : :	27103bp	SSC :	18880bp	IRA : :	27103bp	LSC
			69bp	2190bp				
	323bp	43bp	nell		4473bp	1074bp	232bp	108bp
Sobralia callosa	LSC   88666bp	IRB :	26985bn	SSC	18794bp	IBAL	26985bp	LSC
	·			ndhf				
	329bp	31bn	76bp	2183bp 280bp	5483br	960	263br	123bp
	rp122		ycf1	rp132	yef	1	rps19	psbA
Phalaenopsis equestri	<i>s</i> > LSC : 85967bp	IRB :	25846bp	SSC	11300bp	IRA 1	25846bp	lsc >
	317bp	55bp		23bp	4633bp	197bp	232bp	268bp
	rp122		yeft	ndhf	ycf.	·	rps19	psbA
Epipactis mairei	> LSC : 86075bp	IRB :	26326bp	SSC	14962bp	IRA : 2	26326bp	LSC
	310bp	52bp			4538bp	1048bp	300bp	111bp
	rp122		ycf1		ycf.	<i>,</i>	rps19	psbA
Cephalanthera longife	Dia LSC : 88806bp	IRB :	26942bp	SSC	19187bp	IRA : :	26942bp	LSC
			50bp	2209bp				
	281bp	91bp			4363bp	1046bp	303bp	96bp
I istora fuannaonsis	1 SC . 95357hp	IDP .	26424bp	SSC .	1931100	IDA .	76424bp	PSD/I
Listera jagongensis	_ LoC : 053576p	168 :	204340p	ndhf	1031100	IRAT	.04340p	ent /
	11.05-	59h	48bp	2202bp	17085-	0151-	2051-	1761-
	rp122	Soob	yefl		4708bp	/ ///	rps19	psbA
Platanthera japonica	LSC : 85979bp	IRB :	27676bp	SSC	13664bp	IRA 1	27676bp	LSC
				ndhf				
	329bp	52bp	26р	712bp	4325bp	1009Бр	225bp	68bp
	rpl22		ycfl		ycf.	<i>,</i>	rps19	psbA
Habenaria pantlingia	14 LSC : 83641bp	IRB :	26470bp	SSC :	17370bp	IRA : :	26470bp	LSC
			41bp	2212bp				
	406bp	131bp			3953bp	82bp	337br	104bp
Goodvera velutira	1 SC + 824421-	IDD -	ycf7 26506bp	ssc	17237bp	IRA ··	70506bp	ISC
Goodyes a venumu	/ LOC 1 824430p	IKB :	202000p	ndhf	1,22,00		rosoop	Lanc
	220	816	71bp	2170bp	150-	106.0	10-11	875.0
	550bp	атрр	yeft		4585bp	106455	287br	psbA
Anoectochilus emeien	SUS LSC : 82670bp	IRB :	26319bp	SSC	17342bp	IRA : 3	26319bp	LSC
				ndhf				
	297bp	81bp	6600	£184bp	487bp	1064bp	287bp	75bp
	rp122		yeft		ycf.	<i>(</i>	rps19	psbA
Ludisia discolor	LSC : 82675bp	IRB :	26573bp	SSC	17233bp	IRA 1	26573bp	lsc >
			66bp	ndhf 2187bp				
	329bp	49bp	570bp	348bp	244bp	1832bp	110bp	96bp
Vanilla nlanifolia	Pp122	IRP -	29808bn	SSC -	2037bn	IRA ·	29808hn	LSC
pianyonu	_ Loc . 000380p		3000p	330.1	200700			

Figure 4. Comparison of the borders of LSC, SSC, and IR regions in 20 orchid complete chloroplast genomes.

#### 2.4. Sequence Divergence and Mutational Hotspot

The whole chloroplast genome sequences of *C. appendiculata, C. davidii, E. mairei*, and *P. japonica* were compared to 16 other species, using mVISTA [32] (Figures 5 and 6, and Table S5). The comparison analyses showed a high sequence similarity across the cp genomes, with a sequence identity of 82.0%. Interestingly, the proportions of variability in the non-coding regions (introns and intergenic spacers) ranged from 6.77% to 100% with a mean value of 45.97%, i.e., values that are twice as high as in the coding regions (where the range was from 5.80% to 61.76% with a mean value of 24.68%). Five regions within the non-coding regions (*ndhB* intron, *ccsA-ndhD*, *rpl33-rps18*, *ndhE-ndhG*, and *ndhF-rpl32*) and six regions within the coding parts (*rps16*, *ndhC*, *rpl32*, *ndhI*, *ndhK*, and *ndhF*) showed greater levels of variations (percentage of variability >80% and 50%, respectively). In particular, the *ndhB* intron and *ccsA-ndhD* showed a variable percentage of 100%.

In addition, we performed a MAUVE [33] alignment of the 20 orchid chloroplast genomes. The *C. appendiculata* genome is shown at the top as the reference genome (Figure 7). These species maintained a consistent sequence order in most of the genes. However, in *B. ochracea* and *C. faberi*, the *psbM* gene was in front of the *petN*, while the others were upside-down. *Bletilla* and *Cymbidium* actually had the nearest relationship.



**Figure 5.** Sequence alignment of chloroplast genomes of 20 orchid species. Sequence identity plot comparing the chloroplast genomes with *C. appendiculata* as a reference using mVISTA. The red color-coded as intergenic spacer regions. The blue color-coded as gene regions. A cut-off of 70% identity was used for the plots, and the Y-scale represents the percent identity between 50% and 100%.

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**Figure 7.** MAUVE genome alignments of the 20 orchid chloroplast genomes, with *C. appendiculata* set as a reference genome. The corresponding colored boxes indicate locally-collinear blocks, which present homologous gene clusters. The red vertical line is the location of *atpH* gene. The yellow vertical line is the location of *petN* gene. The green vertical line is the location of *psbM* gene. The blue vertical line is the location of *ycf2* gene.

# 2.5. Gene Selective Analysis

We compared the rate of nonsynonymous (dN) and synonymous (dS) substitutions for 68 common protein-coding genes between *C. appendiculata, C. davidii, E. mairei,* and *P. japonica* with 16 other Orchidaceae species (Table S6). Sixteen genes with positive selection sites were identified (Table S7). These genes included one subunit of the photosystem II gene (*psbH*), two genes for cytochrome b/f complex subunit proteins (*petD* and *petL*), two genes for ribosome large subunit proteins (*rpl22* and *rpl32*), two DNA-dependent RNA polymerase genes (*rpoC1* and *rpoC2*), three genes for ribosome small subunit proteins (*rps12, rps15,* and *rps16*), and *accD, ccsA, rbcL, ycf1, ycf2,* and *ycf4* genes. Interestingly, the *ycf1* gene possesses 13 and 15 positive selective sites, followed by *accD* (8, 10), *rbcL* (4, 7), *ycf2* (2, 3), *rpoC1* (2, 4), *rpoC2* (1, 2), *rpl22* (1, 2), *rps16* (1, 2), *rpl32* (1, 1), *rps12* (1, 1), *ccsA* (0, 2), *petD* (0, 1), *petL* (0, 1), *psbH* (0, 1), and *ycf4* (0, 1). What is more, the likelihood ratio tests (LRTs) of variables under different

models were compared in the site-specific models, M0 vs. M3, M1 vs. M2 and M7 vs. M8, in order to support the sites under positive selection (p < 0.01) (Table S7).

# 2.6. Phylogenetic Relationship

In this study, the maximum likelihood (ML) analysis suggested that *C. appendiculata* and the congeneric *C. davidii* clustered into the Epidendroideae subfamily clade with high bootstrap support, and that *E. mairei* and *P. japonica* clustered into Orchidoideae subfamily (Figure 8). Interestingly, five subfamilies of Orchidaceae, Apostasioideae, Cypripedioideae, Epidendroideae, Orchidoideae, and Vanilloideae have a nested evolutionary relationship in the ML tree. Meanwhile, *C. appendiculata* was closely-related to *C. striata* var. *vreelandii*, *C. davidii*, and *C. triplicate*, which formed a small evolutionary clade with a high bootstrap. *P. japonica* and *Habenaria pantlingiana* had a relatively-closer affinity in the Orchidoideae subfamily.



Figure 8. Cladogram of 54 nucleotide sequences of complete chloroplast genomes of orchid species based on the GTRGAMMA model with maximum likelihood (ML) analysis. \* The newly generated chloroplast genomes of orchid species.

#### 3. Discussion

#### 3.1. Sequence Variation

In this study, we first determined the whole chloroplast genomes of four orchid species. The cp genome size of *C. davidii* was shorter than that of others, which might be the result of the expansion and contraction of the border positions between the IR and SC regions [21-23]. In addition, the GC contents of the LSC and SSC regions in all the orchid species were much lower than those of the IR regions, which possibly resulted from four rRNA genes (rrn16, rrn23, rrn4.5, and rrn5) sequences in the IR regions. In addition, we identified some obvious differences in the protein-coding genes for the orchid chloroplast genomes, despite that the cp genomes of land plants are generally considered to be highly conserved [34]. Interestingly, there were no ndhC, ndhI, and ndhK genes in C. appendiculata. In P. japonica and E. mairei, the ndhI gene was lost. Previous studies also found that some orchid species had lost the *ndh* gene, which encodes the subunits of the nicotinamide-adenine dinucleotid (NADH) dehydrogenase-like complex proteins [35–37]. The loss of this gene might have hindered cyclic electron flow around photosystem I and affected the plant photosynthesizing [35–38]. In addition, some studies suggested that the different Orchidaceae species harbored a variable loss or retention of *ndh* genes [35]. For example, *Cymbidium* has the *ndhE*, *ndhJ*, and *ndhC* genes [39], and *Oncidium* has the *ndhB* gene [40]. Nevertheless, the mechanisms that underlie the variable loss or retention of *ndh* genes in orchids remain unclear [11,41].

In addition, we identified 233 SSRs in four orchid species (*C. appendiculata*, *C. davidii*, *E. mairei*, and *P. japonica*); 77.68% of SSRs were distributed in the IGS and intron regions. Generally, microsatellites consist of 1–6 nucleotide repeat units, which are widely distributed across the entire genome and have a great influence on genome recombination and rearrangement [42,43]. The large amount of SSRs also have been identified in *Forsythia suspense* [44], *Dendrobium nobile*, *Dendrobium officinale*, and so on [45]. The majority of these SSRs consisted of mono- and di-nucleotide repeats. Tri-, tetra-, and penta-nucleotide repeat sequences were detected at a much lower frequency in these orchid species and in other organisms [46,47].

Meanwhile, our analyses revealed that the mutational hotspots among orchid genera were highly variable. A diversity of IR contraction and expansion, along with the high level of mutational hotspots, revealed that Orchidaceae had experienced a complex evolution process. Interestingly, in the orchid species, the two IR regions were less divergent than the LSC and SSC regions. Five regions within the non-coding regions (*ndhB* intron, *ccsA-ndhD*, *rpl33-rps18*, *ndhE-ndhG*, and *ndhF-rpl32*) and six regions within the coding regions (*rps16*, *ndhC*, *rpl32*, *ndhI*, *ndhK*, and *ndhF*) showed greater levels of variations (percentage of variability >80% and 50%, respectively). These regions can be used as potential DNA barcodes for the further study of phylogenetic relationships, species identification, and population genetics.

#### 3.2. Adaptive Evolution

We used the site-specific model (seqtype = 1, model = 0, NSsites = 0, 1, 2, 3, 7, 8), one of the codon substitution models, to estimate the selection pressure [48]. Sixteen genes with positive selection sites were identified in these orchid species. These genes included one subunit of the photosystem II gene (*psbH*), two genes for cytochrome b/f complex subunit proteins (*petD* and *petL*), two genes for ribosome large subunit proteins (*rpl22* and *rpl32*), two DNA-dependent RNA polymerase genes (*rpoC1* and *rpoC2*), three genes for ribosome small subunit proteins (*rps12*, *rps15*, and *rps16*), and *accD*, *ccsA*, *rbcL*, *ycf1*, *ycf2*, and *ycf4* genes. We found that the genes with positive selection sites can be divided into four categories: Subunits of photosystem (*psbH* and *ycf4*), subunits of cytochrome (*petD*, *petL*, and *ccsA*), subunits of ribosome (*rpl22*, *rpl32*, *rps15*, and *rps16*) and others (*rpoC1*, *rpoC2*, *accD*, *rbcL*, *ycf1*, and *ycf2*). The plastid *accD* gene, which encodes the  $\beta$ -carboxyl transferase subunit of acetyl-CoA carboxylase, is an essential and required component for plant leaf development [49–53]. In this study, 10 positively-selected sites were identified in *accD* genes for orchid species, suggesting that the *accD* 

gene played a possible pivotal role in the adaptive evolution of orchids. What is more, the ycf1 gene is also essential for almost all plant lineages [5,54], except for Gramineae, which lost the ycf1 gene in its cp genomes [55]. Additionally, ycf1 is one of the largest cp genes, encoding a component of the chloroplast's inner envelope membrane protein translocon [56]. This gene, which is also highly variable in terms of phylogenetic information at the level of species, has also been shown to be subject to positive selection with 15 sites, as has also been identified in many plant lineages [57–59]. In addition, we found that the *rbcL* gene possessed seven sites under positive selection in orchid species. Generally, *rbcL* is the gene for the Rubisco large subunit protein, and as the result of enzymatic activity of Rubisco, which is an important component as a modulator of photosynthetic electron transport [60,61]. Current research has revealed that positive selection of the *rbcL* gene in land plants may be a common phenomenon [62]. Additionally, the *rbcL* gene is also widely used in the phylogenetic analysis of land plants [63]. In conclusion, these results showed that multiple factors, several of them interconnected (positive selection, heterogeneity environments), have possibly contributed to orchid diversification and adaptation. For example, some positively-selected sites that were identified (e.g., rbcL, ycf1, and accD) were associated in a significant manner with environment adaptation, including factors such as temperature, light, humidity, and atmosphere [49]. Additionally, epiphytism in orchid species is a key innovation which should help generate and maintain high levels of plant diversity. On the other hand, the tropical distributions of orchid species might have increased the rates of speciation relative to those outside of the tropics as a result of more stable climates (e.g., the lack of glaciation and suitable temperatures), the greater habitat area, and together, this possibly provided a greater opportunity for the co-evolution of plants and their mutualists, and for greater adaptation [49,58,59].

#### 3.3. Phylogenetic Relationship

In this study, the maximum likelihood (ML) tree obtained high bootstrap support values, which had 33 nodes with 100% bootstrap support, with 36 of the 46 nodes having values  $\geq$ 95%. The phylogenetic analyses based on complete cp genomes, suggested that five subfamilies of Orchidaceae (Apostasioideae, Cypripedioideae, Epidendroideae, Orchidoideae, and Vanilloideae) have a nested evolutionary relationship (Figure 8). Apostasioideae is the earliest diverging subfamily of orchids. Some recent molecular studies have shown that the five subfamilies had formed their respective five monophylies [11,41,49]. The generic relationships of the five subfamilies found in our analyses are basically congruent with those of recent studies. However, our finding that Orchidoideae is a nested subfamily is different from the studies of Kim et al. [41] and Givnish et al. [49]. They reconstructed ML trees using the concatenated coding sequences of plastid genes, resulting in large amounts of missing data for these orchid taxa. In this study, we sampled these newly orchid species (C. longifolia, L. fugongensis, E. mairei, and E. veratrifolia) to construct a more widespread Orchidaceae phylogenetic tree, through which we obtained the different species relationships. However, some molecular phylogenetic studies, to date, have failed to identify the placement of Cypripedioideae and Vanilloideae [8,25,64,65]. Recently, Givnish et al. [49] and Niu et al. [11] reconstructed ML trees from 39 and 53 orchids species, respectively, using the sequence variations in 75 genes and 67 genes from the plastid genomes. Their results showed that five orchid subfamilies clustered into the five monophyletic clades: Epidendroideae–Orchidoideae–Cypripedioideae–Vanilloideae–Apostasioideae. However, the current study found that C. appendiculata and the congeneric C. davidii clustered into the Epidendroideae subfamily clade, and that E. mairei and P. japonica clustered into the Orchidoideae subfamily. These results were largely consistent with traditional morphological evidence [66–68]. However, the inconsistent phylogenetic relationships for the five subfamilies may be due to the differences in the collected samples used in different studies [11,49,64,65], which need to be further explored by sampling a much higher number of orchid species.

# 4. Materials and Methods

### 4.1. Plant Material, DNA Extraction, Library Construction, and Sequencing

Fresh leaf tissues were collected from *Cremastra appendiculata, Calanthe davidii, Epipactis mairei,* and *Platanthera japonica* in the Qinling Mountains, Shaanxi Province, China. The leaves were cleaned and preserved in a -80 °C refrigerator at Northwest University. The voucher specimens of the four species materials were deposited into the Northwest University Herbarium (NUH). The total genomic DNA was isolated using the modified Cetyltrimethyl Ammonium Bromide (CTAB) method [69], which added the EDTA buffer (Amresco, Washington, DC, USA) (1.0 mol/L Tris-HCl (Amresco, Washington, DC, USA) (pH 8.0), 0.5 mol/L EDTA-Na<sub>2</sub> (Amresco, Washington, DC, USA), 5.0 mol/L NaCl) solution before isolating the high-quality DNA with the CTAB solution (1.0 mol/L Tris-HCl (pH 8.0), 0.5 mol/L EDTA-Na<sub>2</sub>, 2% CTAB). Following this, we constructed a pair-end (PE) library with 350 bp insert size fragments using TruSeq DNA sample preparation kits (Sangon, Shanghai, China). Subsequently, we sequenced at least 4.5 GB of clean data for each orchid species. The detailed next-generation sequencing was conducted on the Illumina Hiseq 2500 platform by Sangon Biotech (Shanghai, China).

#### 4.2. Chloroplast Genome Assembly and Annotation

First, we used the software, NGSQCToolkit v2.3.3 [70], to trim the low-quality reads. After removing the low-quality sequences, the clean reads were assembled using MIRA v4.0.2 [70] and MITObim v1.8 [71] with the cp genome of a closely-related species, *Dendrobium nobile* (KX377961), as reference. The programs, DOGMA (http://dogma.ccbb.utexas.edu/) [72] and Geneious v8.0.2 [73] were used to annotate the chloroplast genome. Finally, we obtained four high-quality, complete chloroplast genome sequences. The Circle maps of the four species were drawn using OGDRAW v1.1 [74].

# 4.3. Repeat Sequence Analyses

The REPuter program (Available online: https://bibiserv.cebitec.uni-bielefeld.de/reputer/ manual.html) was used to identify repeats, including forward, reverse, palindrome, and complement sequences. The maximum computed repeats and the minimal repeat size were limited to 50 and 30, respectively, with a Hamming distance equal to 3 [75]. The tandem repeats finder welcome page (http://tandem.bu.edu/trf/trf.html) was used to identify tandem repeats sequences [76]. The alignment parameters match, mismatch, and indels, were 2, 7, and 7, respectively. The minimum alignment score to report repeat, maximum period size and maximum TR array Size (bp, millions) are limited to 80, 500, and 2, respectively. A Perl script MISA (MIcroSAtellite identification tool, http://pgrc.ipk-gatersleben.de/misa/) was used to search for simple sequence repeat (SSR or microsatellite) loci in the chloroplast genomes [77]. Tandem repeats of 1–6 nucleotides were viewed as microsatellites. The minimum number of repeats were set to 10, 5, 4, 3, 3, and 3, for mono-, di-, tri-, tetra-, penta-, and hexanucleotides, respectively.

## 4.4. Genome Structure and Mutational Hotspot

In order to compare the genome structures and divergence hotspots in a broad manner, we used 16 cp genomes (available in Genbank https://www.ncbi.nlm.nih.gov/) representing each orchid genus, and added the four newly-sequenced ones (Table 3). The boundaries between the IR and SC regions of *C. appendiculata, C. davidii, E. mairei,* and *P. japonica* and other 16 sequences were compared and analyzed. Meanwhile, the whole-genome alignment of the chloroplast genomes of the 20 species were performed and plotted using the mVISTA program [32]. Following this, we selected the regions within non-coding and coding regions that had a greater level of variation (percentage of variability >80% and 50%, respectively) as mutational hotspots. The formula was as follows: percentage of

variable = (number of nucleotide substitutions + the number of indels)/(the length of aligned sites – the length of indels + the number of indels)  $\times$  100%.

Subfamily	Species	Accession Number	
	Cattleya crispata	KP168671	
	Cremastra appendiculata	MG925366	
	Masdevallia coccinea	KP205432	
	Erycina pusilla	JF746994	
	Phalaenopsis equestris	JF719062	
Orchidaceae subfamily. Epidendroideae	Bletilla ochracea	KT695602	
	Cymbidium faberi	KR919606	
	Calanthe davidii	MG925365	
	Dendrobium strongylanthum	KR673323	
	Elleanthus sodiroi	KR260986	
	Sobralia callosa	KM032623	
	Epipactis mairei	MG925367	
	Cephalanthera longifolia	KU551263	
	Listera fugongensis	KU551270	
	Platanthera japonica	MG925368	
Orchidaceae subrainity. Orchidoldeae	Habenaria pantlingiana	KJ524104	
	Goodyera velutina	KT886432	
	Anoectochilus emeiensis	LC057212	
	Ludisia discolor	KU578274	
Orchidaceae subfamily. Vanilloideae	Vanilla planifolia	KJ566306	

Table 3. List of taxa sampled in the study and species accessions numbers (GenBank).

# 4.5. Gene Selective Pressure Analysis

The codon substitution models in the Codeml program, PAML3.15 [46] were used for calculating the non-synonymous (dN) and synonymous (dS) substitution rates, along with their ratios ( $\omega = dN/dS$ ). We analyzed all CDS gene regions, except *ndh*, due to there being too many losses there. These unique CDS gene sequences were separately extracted and aligned using Geneious v8.0.2 [73]. A maximum likelihood phylogenetic tree was built based on the complete cp genomes of the 20 species using RAxML [78]. We used the site-specific model (seqtype = 1, model = 0, NSsites = 0, 1, 2, 3, 7, 8) to estimate the selection pressure [79]. This model allowed the  $\omega$  ratio to vary among sites, with a fixed  $\omega$  ratio in all the branches. Comparing the site-specific model, M1 (nearly neutral) vs. M2 (positive selection), M7 ( $\beta$ ) vs. M8 ( $\beta$  and  $\omega$ ) and M0 (one-ratio) vs. M3 (discrete) were calculated in order to detect positive selection [79].

### 4.6. Phylogenetic Analysis

In order to deeply detect the evolutionary relationship of the Orchidaceae family, 50 available complete chloroplast genomes were downloaded from the NCBI Organelle Genome Resources database (Table S1). In addition, *Artemisia argyi* and *Megadenia pygmaea* were used as outgroups. In total, 54 nucleotide sequences of complete chloroplast genomes were aligned using MAFFT [73]; the detailed parameters were as follows: 200 PAM/K = 2 and 1.53 gap open penalty [73]. The choice of the best nucleotide sequence substitution model (GTRGAMMA model) was determined using the Modeltest v3.7 [80]. We constructed a maximum likelihood phylogenetic tree based on these complete plastomes using MAGA7 [34] with 1000 bootstrap replicates under the GTRGAMMA model [80].

Supplementary Materials: The following are available online at http://www.mdpi.com/1422-0067/19/3/716/s1.

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Conflicts of Interest: The authors declare no conflict of interest.

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# Article

# Complete Chloroplast Genome of *Cercis chuniana* (Fabaceae) with Structural and Genetic Comparison to Six Species in Caesalpinioideae

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Abstract: The subfamily Caesalpinioideae of the Fabaceae has long been recognized as non-monophyletic due to its controversial phylogenetic relationships. Cercis chuniana, endemic to China, is a representative species of Cercis L. placed within Caesalpinioideae in the older sense. Here, we report the whole chloroplast (cp) genome of C. chuniana and compare it to six other species from the Caesalpinioideae. Comparative analyses of gene synteny and simple sequence repeats (SSRs), as well as estimation of nucleotide diversity, the relative ratios of synonymous and nonsynonymous substitutions (dn/ds), and Kimura 2-parameter (K2P) interspecific genetic distances, were all conducted. The whole cp genome of C. chuniana was found to be 158,433 bp long with a total of 114 genes, 81 of which code for proteins. Nucleotide substitutions and length variation are present, particularly at the boundaries among large single copy (LSC), inverted repeat (IR) and small single copy (SSC) regions. Nucleotide diversity among all species was estimated to be 0.03, the average dn/ds ratio 0.3177, and the average K2P value 0.0372. Ninety-one SSRs were identified in C. chuniana, with the highest proportion in the LSC region. Ninety-seven species from the old Caesalpinioideae were selected for phylogenetic reconstruction, the analysis of which strongly supports the monophyly of Cercidoideae based on the new classification of the Fabaceae. Our study provides genomic information for further phylogenetic reconstruction and biogeographic inference of Cercis and other legume species.

Keywords: Cercis chuniana; Cercidoideae; Caesalpinioideae; chloroplast genome; legume; nextgeneration sequencing

# 1. Introduction

The chloroplast (cp) is widely present in algae and plants with important functions in photosynthesis, carbon fixation, and stress response [1,2]. The cp genome in most angiosperms is a circular molecule with a typically quadripartite structure, comprising a large single copy (LSC) region and a small single copy (SSC) region separated by two copies of a large inverted repeat (IR) region [3–6]. Although the cp genome is highly conserved, some differences in gene synteny, simple sequence repeats (SSRs) and pseudogenes have been observed [7–9] and an accelerated rate of evolution has been observed in some cp regions at different taxonomic levels [10,11]. A complete cp genome is a valuable resource of information for studying plant taxonomy, phylogenetic reconstruction,

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and historical biogeographic inference. Next-generation sequencing (NGS) technologies have enabled a rapid expansion in the database of whole cp genomes [12,13].

Fabaceae (legumes) are the third largest angiosperm family, with an estimated 727 genera and 20,000 species [14]. The family has been traditionally classified into three well-known and widely accepted subfamilies, i.e., Caesalpinioideae DC., Mimosoideae DC. and Papilionoideae DC. However, the subfamily Caesalpinioideae has been long considered to be non-monophyletic and not reflective of accurate phylogenetic relationships among the species [15–20]. As based on recent phylogenetic analyses, a new classification of six subfamilies has been recognized in Leguminosae: Cercidoideae, Detarioideae, Dialioideae, Duparquetioideae, Papilionoideae and a recircumscribed Caesalpinioideae [21].

The genus *Cercis* L. is removed from Caesalpinioideae and currently placed within Cercidoideae [21]. This genus comprises a clade of about nine species, with a disjunct distribution across the warm temperate zones of Eastern Asia, Europe and North America [22–26]. In China, five species are recognized, i.e., *C. chinensis, C. chingii, C. chuniana, C. glabra* and *C. racemosa* [26,27]. *Cercis chuniana,* a small tree or shrub, occurs mainly in subtropical evergreen broadleaf forest with a relatively narrow geographic distribution in southern China. Unique among *Cercis* species, it has an asymmetrical leaf blade [27,28].

Previous research has been focused on plant anatomy, phylogenetic reconstruction, and historical biogeography of *Cercis* [24–26,29,30]. However, *C. chuniana* has frequently failed to be analyzed in most phylogenetic research, resulting in an unclear phylogenetic position within the genus. Because *Cercis* has been removed to Cercidoideae, it would also be useful to detect additional genomic evidence that might support the new classification system of Fabaceae. Moreover, Sanger-based and whole-cp genome DNA barcoding can been used for phylogenetic reconstruction. Here we present and characterize the complete cp genome of *C. chuniana*. The structural variation, gene arrangement, and distribution of SSRs are compared with previously published cp genome of *C. canadensis* and five species from various genera in Caesalpinioideae. Our results provide cp information for *Cercis* and other legumes for use in comparative genomics, phylogenetic reconstruction, and biogeographic inference.

#### 2. Results and Discussion

#### 2.1. Genome Organization and Features of C. chuniana

A total number of  $2 \times 250$  bp pair-end reads of 1,917,920 were produced with 1.17 Gb of clean data. All reads data were deposited in the NCBI Sequence Read Archive (SRA) under accession number SRP118607. In total, 102 contigs (N50 = 8438 bp) were generated for *C. chuniana*. The size of the complete cp genome is 158,433 bp (Figure 1; Table 1). The cp genome displays a typical quadripartite structure, including a pair of IR regions (25,505 bp) separated by the LSC (88,063 bp) and SSC (19,360 bp) regions (Figure 1 and Table 1). The G + C content of the cp genome is 36.10% for C. chuniana, demonstrating congruence with that of C. canadensis (36.20%) (Table 1). When duplicated genes in the IR regions were counted only once, the cp genome of C. chuniana were found to encode 114 predicted functional genes, including 81 protein-coding genes (PCGs), 29 tRNA genes, and four rRNA genes, all of which are comparable to the numbers in *C. canadensis* and other related species (Table 1). The remaining non-coding regions include introns, intergenic spacers, and pseudogenes. Nineteen genes are duplicated in the IR regions, including eight PCGs, seven tRNA genes, and four rRNA genes (Figure 1 and Table S1). Fifteen genes (nine PCGs and six tRNA genes) contain one intron, and two PCGs (clpP and ycf3) have two introns each (Table S1). The maturase K (*matK*) gene in the cp genome is located within the *trnK* intron, consistent with the location in C. canadensis and similar to most other plant species [31]. In the IR regions of *C. chuniana*, the four rRNA genes and two tRNA genes (*trnE* and *trnA*) are clustered as 16S-trnE-trnA-23S-4.5S-5S. This differs from the cp genomes of C. canadensis and most legumes, which show a cluster of 16S-trnI-trnA-23S-4.5S-5S [32-37].



**Figure 1.** Gene map of the *Cercis chuniana* cp genome. The genes lying inside and outside the outer circle are transcribed in clockwise and counterclockwise direction, respectively (as indicated by arrows). Colors denote the genes belonging to different functional groups. The hatch marks on the inner circle indicate the extent of the inverted repeats (IRa and IRb) that separate the small single copy (SSC) region from the large single copy (LSC) region. The dark gray and light gray shading within the inner circle correspond to percentage G + C and A + T content, respectively.

Table 1. Summary of characteristics in cp genome sequences of *Cercis chuniana* and six other species of caesalpinioid legumes compared in this study.

Genome Features	C. chuniana	C. canadensis	T. indica	Cera. siliqua	L. coriaria	M. cucullatum	H. brasiletto
GenBank Accession No.	MF741770	KF856619	KJ468103	KJ468096	KJ468095	KU569489	KJ468097
Size (bp)	158,433	158,995	159,551	156,367	158,045	158,357	157,728
LSC length (bp)	88,063	88,118	87,967	85,801	87,581	87,663	87,465
SSC length (bp)	19,360	19,621	19,546	18,492	18,160	18,091	18,185
IR length (bp)	25,505	25,628	26,019	26,037	26,152	26,294	26,039
Number of genes	114	113	113	112	113	114	113
PCGs	81	79	79	78	80	80	79
tRNA genes	29	30	30	30	29	30	30
rRNA genes	4	4	4	4	4	4	4
G + C content (%)	36.10	36.20	36.20	36.70	36.50	36.40	36.70

#### 2.2. Comparative Analysis of Genomic Structure

Synteny analysis identified a lack of genome rearrangement and inversions in the cp genome sequences among the seven species (Figure S1). Therefore, genomic structure, including gene number
and gene order, is highly conserved among the seven species. However, some nucleotide substitutions and indels as well as length variation are still present, particularly in the LSC/IR/SSC boundaries (Figure 2 and Figure S2).

Pseudogenes are frequently identified in cp genomes [38,39]. Four pseudogenes were identified in the current study, i.e., *Yrps19*, *Yycf1*, *YinfA* and *YaccD* (Table 2). *Yrps19* and *ycf1* are partially repeated in the IR regions and were generally found to be pseudogenized. The rps19 gene is 279 bp in all species (Figure 2) with length variation in the IR regions, from 73 bp in Tamarindus indica to 107 bp in Libidibia coriaria. It has the same length (152 bp) in both C. chuniana and C. canadensis in the IR regions (Figure 2). Because it is partially duplicated in the IR regions, the  $\Psi rps19$  gene has lost its protein-coding ability, thus producing the pseudogenized Yrps 19 gene. Two nonsynonymous substitutions were detected in the *Yrps19* gene between *C. chuniana* and *C. canadensis*. Among the seven species, 28 substitutions (seven in the IRb region and 21 in the LSC region, respectively) and 4 indels with length variation from 4 to 47 bp were identified (Figure 2; Table 2). The same was found with the  $\Psi$ ycf1 gene, as the IRb/SSC junction region is located within the  $\Psi$ ycf1 CDS region and only a partial gene is duplicated in the IRa region, thus producing the pseudogene  $\Psi$ *ycf1*. This is generally the case in the dicots. The length of the  $\Psi ycf1$  pseudogene in the IR regions ranges from 385 bp in C. chuniana to 899 bp in Mezoneruon cucullatum. Four nonsynonymous substitutions were detected between C. chuniana and C. canadensis. Altogether 20 substitutions (19 in the IRa region and one in the SSC region) and 7 indels with length variation ranging from 1 to 33 bp are present among the seven species (Figure 2; Table 2). The *VinfA* gene is pseudogenized in all species except Ceratonia siliqua, with a length of 135 bp in both C. chuniana and C. canadensis and with length ranging from 192 to 252 bp among the other four species. A total of 23 substitutions and 6 indels ranging from 1 to 13 bp in length occurs in  $\forall$ *infA* (Figure 2; Table 2). The pseudogenized  $\forall$ *infA* gene has also been frequently found in other angiosperm chloroplast genomes as well [40–42]. The pseudogenized  $\Psi accD$  gene is present in all species except T. indica and M. cucullatum, with a length of 1473 bp in both C. chuniana and C. canadensis and with length ranging from 1395 to 1500 bp in the other three species. Six indels ranging from 3 to 36 bp in length, and 101 substitutions were detected in  $\Psi accD$  (Table 2).

Emocios	IRa	IRb	LSC	LSC
Species	¥ycf1	Ψrps19	₩infA	ΨaccD
C. chuniana	385 bp *	152 bp *	1 indel, 91-bp SV *	5 indels *
C. canadensis	418 bp *	152 bp *	1 indel, 91-bp SV *	5 indels *
T. indica	644 bp *	73 bp *	71-bp SV *	-
Cera. siliqua	776 bp *	85 bp *	-	4 indels, 63-bp SV *
L. coriaria	819 bp *	107 bp *	-	4 indels *
M. cucullatum	899 bp *	103 bp *	4 indels *	3 indels *
H. brasiletto	697 bp *	96 bp *	4 indels *	4 indels *

**Table 2.** The location and characteristics of the four pseudogenes in the seven species of caesalpinioid legumes compared in this study.

\* Pseudogene present; SV: structural variation with indels ≥ 50 bp.



**Figure 2.** Comparison of the border positions of LSC, SSC and IR regions among the seven species of caesalpinioid legumes compared in this study. Genes are denoted by colored boxes. The gaps between the genes and the boundaries are indicated by the base lengths (bp). Extensions of the genes are indicated above the boxes.

## 2.3. Characterization of Simple Sequence Repeats

Variable copy numbers and resulting length variation have impelled the wide use of cp SSRs in plant population genetics and biogeographic studies, especially at lower taxonomic levels [43,44]. A total of 91 SSRs of  $\geq$ 10 bp in length were found in both *C. chuniana* and *C. canadensis*. These two species exhibit the highest number of SSRs among the seven species (Table 3). The lowest number of SSRs was detected in *Haematoxylum brasiletto*, with only 38 SSRs in total (Table 3). Most SSRs are present in the LSC regions, accounting for an average of 75.00% of the total SSRs in each species. Among all of the SSRs, the mononucleotide A or T repeat units were found in highest proportion, with an average of 78.10% of the total SSRs in each species. The SSRs have a remarkably high A + T content, with only 15 compound SSRs containing the nucleotides C or G in *C. chuniana* (Table S2). The lengths of SSRs in the seven species range from 10 to 20 bp, whereas the compound SSRs range from 21 to 275 bp. The copy lengths of 10 to 13 bp are most common, with an average of 77.00% among all species (Figure 3). No pentanucleotide or hexanucleotide SSRs were detected among the seven species.

The shared interspecific SSRs were identified among species, with identical repeats and locations in homologous regions (Table 4). *Cercis chuniana* and *C. canadensis* demonstrated the highest number of 19 common SSRs. Conversely, *Tamarindus indica* has the lowest number of shared SSRs ( $\leq$ 3). Altogether 13 SSRs were isolated and corresponding primer pairs were designed for each di-, tri- and tetranucleotide SSRs of *C. chuniana* (Table S3). These SSRs are expected to be useful in the assessment of genetic diversity and population structure as well as the investigations of biogeographic patterns among the species of *Cercis*.



**Figure 3.** Analysis of repeated sequences of the seven species compared in this study. (a) The number of SSRs distributed in different regions; (b) The number of SSRs with different types, including compound, mono-, di-, tri-, and tetranucleotides; (c) The proportion of SSRs with different lengths.

#### 2.4. Sequence Divergence and Nucleotide Diversity

A complete cp genome is valuable for plant taxonomic analyses, phylogenetic reconstruction, speciation processes, and biogeographical inferences at different taxonomic levels [45–49]. Highly variable regions among cp genomes can provide useful data for phylogenetic reconstruction. In the current study, the average nucleotide variability (Pi) was estimated to be 0.006 between *C. chuniana* and *C. canadensis* as based on the comparative analysis with DnaSP (Figure 4a). The highest variation was found in the LSC and SSC regions. The IR regions had a much lower nucleotide diversity with Pi < 0.006. Eight regions (trnS-trnT, atpF-atpH, trnT-psbD, trnL-trnF-ndhJ, accD-psaI, rps3-rps19, ycf1-ndhF and the *ndhA* intron) were highly variable, with Pi values >0.030. The first five loci are present in the LSC, whereas the remaining two are present in the SSC region. In contrast, much higher nucleotide diversity with Pi = 0.038 was detected among the seven species (Figure 4b). Five regions (psbZ-trnG, trnT-trnL, rps3-rps19, rpl32, and ycf1) exhibit the highest nucleotide diversity, all with Pi > 0.12. These loci are thus suggested as useful regions for phylogenetic analysis at higher taxonomic levels in the Fabaceae.

Species	Z	LSC	SSC	IRa	IRb	Compound	Mono- (≥10)	Di- (≥6)	Tri- (≥5)	Tetra- ( <u>&gt;</u> 5)
C. chuniana	91	67 (73.63)	22 (24.18)	1 (1.10)	1 (1.10)	18 (19.78)	69 (75.82)	1 (1.10)	2 (2.20)	1 (1.10)
C. canadensis	91	66 (72.53)	19 (20.88)	3 (3.30)	3 (3.30)	20 (21.98)	68 (74.73)	3 (3.30)	0 (0.00)	0(0.00)
T. indica	85	63 (74.12)	14(16.47)	4(4.71)	4(4.71)	12 (14.12)	64 (75.29)	7 (8.24)	0 (0.00)	2 (2.35)
Cera. siliqua	76	57 (75.00)	17 (22.37)	1 (1.32)	1(1.32)	13 (17.11)	61(80.26)	2 (2.63)	0 (0.00)	0(0.00)
L. coriaria	99	50 (75.76)	12(18.18)	2 (3.03)	2 (3.03)	12 (18.18)	53(80.30)	0 (0.00)	1(1.52)	0 (0.00)
M. cucullatum	62	60 (75.95)	13(16.46)	3 (3.80)	3 (3.80)	13(16.46)	65 (82.28)	1(1.27)	0 (0.00)	0(0.00)
H. brasiletto	38	29 (76.32)	5(13.16)	2 (5.26)	2 (5.26)	2 (5.26)	36 (94.74)	0 (00.0)	0 (0.00)	0 (0.00)
Average	75	56 (74.76)	15 (18.81)	2 (3.22)	2 (3.22)	13 (17.14)	59 (79.24)	2 (2.67)	0.43 (0.57)	0.43 (0.57)
			Note: The nu	mbers in paren	theses are the p	percentage of eacl	h region or SSR type	es.		

Table 4. Shared SSRs among the seven species in caesalpinioid legumes.

Species	C. chuniana	C. canadensis	T. indica	Cera. siliqua	L. coriaria	M. cucullatum	H. brasiletto
C. chuniana	1						
C. canadensis	19						
T. indica	1	0	·				
Cera. siliqua	9	4	1				
L. coriaria	4	9	б	7	·		
M. cucullatum	9	5	1		80		
H. brasiletto	4	5	2	9	9	4	,



**Figure 4.** Sliding window analysis of the whole cp genome. (a) *C. chuniana* and *C. canadensis;* (b) All seven species. X-axis: position of the midpoint of a window; Y-axis: nucleotide diversity ( $\pi$ ) of each window.

#### 2.5. dn/ds Ratio and Kimura 2-Parameter (K2P) Genetic Distance

A total of 76 PCGs in all seven species was used to estimate dn/ds ratios. The dn and ds values range from 0 to 0.1713 and 0.0046 to 0.5330, respectively. If dn or ds is 0, the dn/ds ratio cannot be calculated. Among all genes, 67 proteins possess dn/ds ratios <0.5, indicating purifying selection (Figure 5a). In *ndhD*,  $\Psi$ ycf1,  $\Psi$ infA and *rpl23* the dn/ds ratios were >1, indicating positive selection (Figure 5a). Among the different regions, the dn/ds ratio was the highest in the IR regions (0.9022) and the lowest in the LSC region (0.2205). Based on the K2P model, we calculated the interspecific genetic distance was found to be 0.0373 (Figure 5b). The minimum K2P values were identified in *ndhB* and *rps7* (0.0030) and the maximum in *psaB* (0.2020).



Figure 5. Evolutionary dynamics of genes in the cp genomes. (a) The dn/ds ratios for individual genes; (b) The K2P values for individual genes.

## 2.6. Phylogenetic Analyses

A total of 97 representative species from the old Caesalpinioideae and Mimosoideae were selected to reconstruct phylogenetic relationships (Table S4). *Cucumis sativus* (DQ119058) was used as the outgroup. Two phylogenetic methods of Bayesian inference (BI) and maximum likelihood (ML) resulted in highly similar phylogenetic trees based on the complete cp genome sequences and 61 protein-coding genes (PCGs) (Figure 6). The total aligned length was 302,882 bp for the complete cp genome sequences and 69,253 bp for the PCGs, and the number of parsimony-informative sites was 163,470 bp and 25,698 bp, respectively. The trees based on ML exhibit completely congruent topologies with higher bootstrap support values in the tree based on complete cp genome sequences than those based on the PCGs (Figure 6a). The relationship between subfamilies Cercidoideae and Detarioideae was not stable in the BI analysis, but otherwise high posterior probability values were detected in both the ML and BI analyses based on the two data sets (Figure 6b). All analyses recover the monophyly of both the Cercidoideae and Detarioideae with strong support. Our results are consistent with [50] and strongly support the new classification system of the Fabaceae [21].



Figure 6. Phylogenetic trees of sampled species inferred from the concatenated whole cp genome sequences and 61 protein-coding genes (PCGs) in the cp genome based on maximum likelihood (ML) and Bayesian inference (BI). (a) ML analysis based on whole cp genome sequences; (b) BI analysis based on whole cp genome sequences; (c) ML analysis based on PCGs; (d) BI analysis based on PCGs. Numbers in bold above branches are bootstrap values  $\geq$ 50% and Bayesian posterior probability values  $\geq$ 90%.

## 3. Materials and Methods

#### 3.1. Ethics Statement

Sample collection and transplanting were carried out for scientific purposes. *Cercis chuniana* was collected from the field in Dadongshan Natural Reserve in Guangdong Province, China. One individual seedling was permitted by the management of the reserve to be transplanted and grown in the greenhouse at the College of Life Sciences, South China Agricultural University (SCAU, Guangzhou, China).

#### 3.2. Plant Samples

Fresh leaves were collected from *C. chuniana* growing at SCAU. The voucher (LWZ109) is deposited in the herbarium of SCAU (CANT). The cp genome of *C. canadensis* (KF856619) was downloaded from NCBI and used as the reference sequence in the assembly of *C. chuniana*. Five additional species from the old

Caesalpinioideae were used for comparison, i.e., *Tamarindus indica* (KJ468103), *Ceratonia siliqua* (KJ468096), *Libidibia coriaria* (KJ468095), *Mezoneuron cucullatum* (KU569489) and *Haematoxylum brasiletto* (KJ468097).

#### 3.3. DNA Extraction and PCR Amplification

Total genomic DNA was extracted with the modified Cetyl Trimethyl Ammonium Bromide (CTAB) method [51]. The DNA concentration was quantified with a Nanodrop spectrophotometer (Thermo Scientific, Carlsbad, CA, USA), and a final DNA concentration of >30 ng/ $\mu$ L was used. Sequences of complete cp genome of *C. chuniana* were amplified with fifteen universal primer pairs developed by Zhang et al. [52]. The PCR amplification was performed in a total volume of 25  $\mu$ L, containing 1 ng of template DNA, 0.12 U of Primerstar GXL DNA Polymerase, 0.2  $\mu$ M of each primer, 200  $\mu$ M of each dNTP, 5  $\mu$ L of 5× PCR Buffer and 13.5  $\mu$ L of sterilized double-distilled water. Thermocycling conditions were 95 °C (1 min), followed by 32 cycles of denaturation at 94 °C (15 s), annealing at 58 °C (30 s), and extension at 68 °C (10 min), and a final extension of 68 °C (10 min).

#### 3.4. Chloroplast Genome Sequencing, Assembly and Annotation

A paired-end library was constructed with the Nextera XT DNA Library Prep Kit (Illumina Inc., San Diego, CA, USA). The genomic DNA mixture was fragmented into ~300 bp size by the Nextera XT transposome. Library Sequencing acquired  $2 \times 250$  bp paired reads with Illumina MiSeq Desktop Sequencer at South China Botanical Garden, Chinese Academy of Sciences. Reads of the C. chuniana cp genome were initially filtered for quality, and then adapters were removed, errors were checked, and contigs and scaffolds generated, all with the A5-miseq pipeline [53]. Scaffolds from the assembly with k-mer values of 35 to 145 were matched to reference cp genome sequences, and were used to determine the relative position and direction respectively. We assembled the cp genome using Geneious 9.1.4 (Biomatters Ltd., Auckland, New Zealand) [54] with BLAST 2.0.3+ (National Institutes of Health, Bethesda, MD, USA) [55] and map reference tools. DOGMA (available online: http://dogma.ccbb.utexas.edu/) [56] and Geneious (Biomatters Ltd., Auckland, New Zealand) were used for annotating the cp genome in comparison with that of C. canadensis (KF856619) [57]. The annotation of tRNA genes were confirmed with the ARAGORN program (Lund University, Lund, Sweden) [58] and then manually adjusted with Geneious. Contigs with BLAST hits to the consensus sequence from the "map to reference function" were assembled manually to construct the complete cp genome. Finally, the circular genome map of C. chuniana was illustrated with the Organellar Genome DRAW tool (OGDRAW, available online: http://ogdraw.mpimp-golm.mpg.de/) [59]. To further refine the draft genome, the quality and coverage of was confirmed by remapping reads. The Sequence Read Archive (SRA) can be found in GenBank under an accession number of SRP118607. The annotated cp genomic sequence of C. chuniana was deposited in GenBank (Accession Number: MF741770).

#### 3.5. Genome Comparison

The cp genome sequences from the finalized data set were aligned with MAFFT v7.0.0 (Osaka University, Suita, Japan) [60] and adjusted manually when necessary. The expansion/contraction of the IR regions can lead to changes in the structure of the cp genome, resulting in the length variation of angiosperm cp genomes and contributing to the formation of pseudogenes [9,61,62]. Therefore, we conducted a comparative analysis to detect the variation in the LSC/IR/SSC boundaries among the seven species included in comparisons. Gene synteny analysis was performed with MAUVE (University of Wisconsin, Madison, WI, USA) [63] as implemented in Geneious with default settings. To elucidate the level of sequence divergence, the complete cp genomes were compared and plotted with the mVISTA program in Shuffle-LAGAN mode [64–66].

#### 3.6. Simple Sequence Repeats Analysis

MISA (available online: http://pgrc.ipk-gatersleben.de/misa/misa.html) [67] is a tool for the identification and location of perfect simple sequence repeat loci (SSRs) and compound SSRs (the latter being two individual SSRs that are disrupted by a certain number of bases). We used MISA to search for potential SSRs in the cp genomes of the seven species. The minimum number (thresholds) of SSRs was set as 10, 6, 5, 5, and 5 for mono-, di-, tri-, tetra-, and pentanucleotide SSRs, respectively. All SSRs, motif types and length variants were manually verified and the redundant ones removed. We investigated the shared repeats among the cp genomes of the seven species, based on the criterion that identical lengths located in homologous regions are considered to be shared repeats. Using the program Primer 3-1.1.1 (Premier Biosoft International, Palo Alto, CA, USA) [68], we developed SSR primers specific for *C. chuniana* for potential application in further analysis.

## 3.7. Sequence Divergence, dn/ds Ratio and K2P Genetic Distance

Comparative analyses of the nucleotide diversity (*Pi*) among the complete cp genomes of the seven species were performed with DnaSP 6 (Universitat de Barcelona, Barcelona, Spain) [69,70], as based on a sliding window analysis. The window length was 600 bp and step size was 200 bp. The 80 PCGs were extracted and aligned with MAFFT. We estimated the dn/ds ratio for each PCG as well as the interspecific genetic distance with DnaSP 6 and MEGA 6.0 (Tokyo Metropolitan University, Hachioji, Tokyo, Japan) [71], as based on the Kimura 2-parameter (K2P) model.

#### 3.8. Phylogenetic Analysis

Altogether 97 representative species from the old Caesalpinioideae and Mimosoideae were selected for phylogenetic analyses (Table S4). Cucumis sativus (DQ119058) was used as the outgroup. Two data sets of the complete cp genome sequences and PCGs were used for phylogenetic reconstruction based on two methods of Bayesian inference (BI) and maximum likelihood (ML), respectively. All analyses were performed on the high-performance computer cluster available in the CIPRES Science Gateway 3.3 (available online: www.phylo.org) [72]. Gaps were treated as missing data. BI was performed by using MrBayes v. 3.2.6 (Swedish Museum of Natural History, Stockholm, Sweden) [73] with base frequencies estimated from the data. We ran four Markov Chains Monte Carlo (MCMC) for 50 million generations using default settings for priors and saved one tree every 1000 generations. The first 10% of the trees were discarded, as determined with the aid of the program Tracer version 1.6 (University of Auckland, Auckland, New Zealand) [74]. The posterior probability (PP) of each clade (i.e., the "clade credibility value") was estimated with 50% majority-rule consensus trees. We conducted ML using RAxML 8.2.10 (Heidelberg Institute for Theoretical Studies, Heidelberg, Germany) [75] and the RAxML graphical interface (rxmlGUI v. 1.3) (Research Institute Senckenberg, Frankfurt, Germany) [76]. RaxML was conducted by using Python v.2.7.6 (available online: http://www.python.org/ftp/python/2.7.6/python-2.7.6.msi) with 1000 rapid bootstrap replicates. The general time-reversible (GTR) model was chosen with a gamma model for the rate of heterogeneity.

#### 4. Conclusions

We report the complete cp genome of *C. chuniana* endemic to China, which belongs to *Cercis* L., an intercontinentally disjunct genus. Using a high-throughput sequencing method, we sequenced and annotated the whole genome, detected the arrangement of the genes, and identified SSRs in *C. chuniana*. We compared the cp genomic characteristics of *C. chuniana* to its congener *C. canadensis* and five other species from the old Caesalpinioideae. The current study is the first structural and gene comparison among the cp genomes of seven species from three subfamilies of legumes, including Cercidoideae, Detarioideae and Caesalpinioideae at the genomic level. Nearly 100 representative species from the old Caesalpinioideae were used for phylogenetic reconstruction, strongly corroborating

the monophyly of Cercidoideae and Detarioideae in the sense of the new classification of Fabaceae. Our study contributes to the taxonomy, phylogenetic reconstruction and biogeographical research of *Cercis* and other legume species.

# Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/5/1286/s1.

**Author Contributions:** Wanzhen Liu performed most of the experiments and data analyses; Hanghui Kong participated in data analyses and writing the manuscript; Juan Zhou participated in sample collection; Peter W. Fritsch participated in writing the manuscript; Gang Hao supervised the project and provided suggestions for the manuscript; Wei Gong conceived and designed the experiment and research, supervised the project and contributed to the writing of the manuscript.

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#### Abbreviations

- LSC Large single copy
- SSC Small single copy
- IR Inverted repeat
- Cp Chloroplast
- ML Maximum likelihood
- BI Bayesian inference
- A Adenine
- T Thymine
- G Guanine
- C Cytosine

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## Article The Complete Plastome Sequence of an Antarctic Bryophyte Sanionia uncinata (Hedw.) Loeske

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**Abstract:** Organellar genomes of bryophytes are poorly represented with chloroplast genomes of only four mosses, four liverworts and two hornworts having been sequenced and annotated. Moreover, while Antarctic vegetation is dominated by the bryophytes, there are few reports on the plastid genomes for the Antarctic bryophytes. *Sanionia uncinata* (Hedw.) Loeske is one of the most dominant moss species in the maritime Antarctic. It has been researched as an important marker for ecological studies and as an extremophile plant for studies on stress tolerance. Here, we report the complete plastome sequence of *S. uncinata*, which can be exploited in comparative studies to identify the lineage-specific divergence across different species. The complete plastome of *S. uncinata* is 124,374 bp in length with a typical quadripartite structure of 114 unique genes including 82 unique protein-coding genes, 37 tRNA genes and four rRNA genes. However, two genes encoding the *a* subunit of RNA polymerase (*rpoA*) and encoding the cytochrome  $b_{6/f}$  complex subunit VIII (*petN*) were absent. We could identify nuclear genes homologous to those genes, which suggests that *rpoA* and *petN* might have been relocated from the chloroplast genome to the nuclear genome.

Keywords: Sanionia uncinata; chloroplast genome; Antarctic bryophyte; moss; plastome

## 1. Introduction

Antarctic terrestrial ecosystems are dominated by lichens and bryophytes (including mosses, liverworts and hornworts), encompassing more than 200 lichens and 109 mosses species [1]. Only two vascular plant species have survived and adapted to these extreme environments, with a very limited distribution restricted to the maritime Antarctic, while mosses are common plants on extensive ice-free areas of Antarctica.

*Sanionia uncinata* is one of the most dominant moss species in Antarctica and is mainly distributed over coastal areas [2,3]. Moreover, *S. uncinata* is distributed across multiple geographic regions, ranging from Northern Hemisphere (Europe, Asia, North America and the Arctic) to Southern Hemisphere (Africa, South America and the Antarctica) and also found at high-altitude mountains in tropical and subtropical areas [4]. A recent phylogeographic study has established the haplotype networks of *S. uncinata* populations by identifying their genetic diversity with massive molecular marker datasets of more than 200 specimens collected from various regions around the world [4].

*S. uncinata* is a pleurocarpous moss species that form dense and extensive carpets on terrestrial habitats over a wide range of water regimes, from dry rock surfaces to wet areas at the edges of streams or melt pools [5–7]. *S. uncinata* has been extensively used as an experimental model for the study of environmental impacts on plants [8–10]. This species is known to tolerate dehydration by retaining moisture in their tissues for a long period of time by forming a carpet-like community

shape that helps to avoid water loss [11]. However, the molecular mechanism and molecular ecology underlying stress tolerance have yet to be elucidated. The dehydration process, although it prevents the moss from freezing, directly affects cell metabolism and as a result, photosynthetic capacity decreases when the water content of moss drops below the optimum level [12]. On the other hand, photosynthesis is essential for the production of energy needed for plant growth and takes place in the chloroplasts. Thus, the integrity and metabolic performance of chloroplasts are very important for photosynthetic activities [13].

Chloroplasts are unique organelles, derived from cyanobacteria through endosymbiosis, that provide essential energy for plants and algae through photosynthesis [14,15]. They contain their own genomes that have a unique mechanism of RNA transcription and are inherited maternally. Chloroplasts are known to play an important role in the synthesis of pigments, starch, fatty acids and amino acids as well as the photosynthesis process [16,17]. In general, chloroplast genomes—namely, plastomes—are highly conserved with regards to gene sequences and gene content in terrestrial plants. Their highly conservative nature is sufficient to perform comparative studies on different species to discuss evolutionary relationships between species in terms of molecular phylogeny and molecular ecology [18]. For instance, plastome sequences provide species-specific information that includes genome size, gene order, genome rearrangements, patterns of base pair composition, codon usage, massive plastid gene losses and various type of nucleotide polymorphism [19,20].

Despite their genetic diversity and evolutionary significance, genetic resources for bryophytes are very limited when compared to angiosperms. Of the 2352 records for chloroplast genome sequences of green plants, only 15 plastomes of bryophytes comprised of 2 from hornworts, 5 from liverworts and 8 from mosses (including *Sanonia uncinata* NC\_025668 which was directly submitted by the authors of this study) are available in public repositories [21] (http://www.ncbi.nlm.nih.gov). There are currently only four complete chloroplast genomes fully published for mosses, for example, *Physcomitrella patens* [22], *Tortula ruralis* [13], *Tetraphis pellucida* [23] and *Tetraplodon fuegianus* [24]. The plastome information of more bryophytes and comparative genomic studies are necessary to better understand the molecular evolutionary events or functions of chloroplast genes. In this regard, the plastome information of *S. uncinata* provided in this study will be a very useful resource for future research on the ecology, physiology and molecular evolution of bryophytes.

#### 2. Results and Discussion

#### 2.1. Overall Genome Organization

Illumina MiSeq sequencing produced 4,993,466 raw reads with an average read length of 301 bp and a total number of 1,503,033,266 base pairs. A total of 46,573 chloroplast-related reads were obtained as a result of alignment of quality trimmed reads against other chloroplast genomes publically available in NCBI. Assembly of the nucleotide sequence reads was performed to obtain non-redundant contigs and singletons using CLC Genomics Workbench V7.5 (CLC bio, Aarhus, Denmark). The final *S. uncinata* plastome sequence has been submitted to GenBank (Accession: NC\_025668).

The gene map for the *S. uncinata* plastome is shown in Figure 1. The complete plastome of *S. uncinata* is 124,374 base pairs (bp) in length with a typical quadripartite structure including large and small single-copy regions (LSC of 86,570 bp and SSC of 18,430 bp) separated by a pair of identical inverted repeats (IRA and IRB) of 9687 bp each (Figure 1). Most of the chloroplast DNA had a well preserved quadripartite structure in bryophytes [23,25,26] and vascular plants [27,28]. The genome contained 114 unique genes including 82 unique protein-coding genes, 37 tRNA genes and 4 rRNA genes (Table 1). The gene content of the IR regions was conserved among *S. uncinata*, *T. ruralis* and *P. patens*.

The size of the plastome of *S. uncinata* is very similar to those of liverworts (*Marchantia polymorpha* 121,024 bp NC\_001319, *Pellia endivifolia* 120,546 bp NC\_019628, *Aneura mirabilis* 108,007 bp NC\_010359, *Ptilidium pulcherrimum* 119,007 bp NC\_015402) and mosses (*Physcomitrella patens* 122,890 bp NC\_005087, *Tortula ruralis* 124,374 bp NC\_012052, *Tetraphis pellucida* 127,489 bp NC\_024291) and *Tetraplodon fuegianus* 

123,670 bp, KU\_095851(unverified) but much smaller than hornworts (*Anthoceros formosae* 161,162 bp NC\_004543 and *Nothocerosaenigmaticus* 153,208 bp NC\_020259), which have an increased length of intragenic spacers in the LSC region or in the identical IR regions [18,29,30].



**Figure 1.** Map of the *Sanionia uncinata* plastome. Complete plastome sequences were obtained from the de novo assembly of Illumina paired-end reads. Genes are color coded by functional group, which are located in the left box. The inner darker gray circle indicates the GC content while the lighter gray corresponds to AT content. IR, inverted repeat; LSC, large single copy region; SSC, small single copy region. Genes shown on the outside of the outer circle are transcribed clockwise and those on the inside counter clockwise. The map was made with OGDraw [31].

Table 1. Gene	s present ir	ι the S.	uncinata	plastome.
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Gene Products	Genes
Photosystem I	psaA, B, C, I, J, M
Photosystem II	psbA, B, C, D, E, F, H, I, J, K, L, M, N, T, Z
Cytochrome b6/f	petA, B <sup>a</sup> , D <sup>a</sup> , G, L
ATP synthase	atpA, B, E, F <sup>a</sup> , H, I
Translation factor	infA
Chlorophyll biosynthesis	chlB, L, N
Rubisco	rbcL
NADH oxidoreductase	ndhA <sup>a</sup> , B <sup>a</sup> , C, D, E, F, G, H, I, J, K
Large subunit ribosomal proteins	rpl2 <sup>a</sup> , 14, 16 <sup>a</sup> , 20, 21, 22, 23, 32, 33, 36
Small subunit ribosomal proteins	rps2, 3, 4, 7, 8, 11, 12 <sup>a,b</sup> , 14, 15, 18, 19
RNAP	rpoB, C1 <sup>a</sup> , C2
Other proteins	accD, cemA, clpP <sup>c</sup> , matK
Proteins of unknown function	ycf1, 2, 3 <sup>c</sup> , 4, 12, 66 <sup>a</sup>
Ribosomal	rrn4.5 <sup>d</sup> , 5 <sup>d</sup> , 16 <sup>d</sup> , 23 <sup>d</sup>
	trnA(UGC) <sup>a,d</sup> , C(GCA), D(GUC), E(UUC), F(GAA), G(UCC) <sup>a</sup> , G(UCC), H(GUG),
Trees ( DNIA -	I(CAU), I(GAU) <sup>a,d</sup> , K(UUU) <sup>a</sup> , L(CAA), L(UAA) <sup>a</sup> , L(UAG), fM(CAU), M(CAU),
Iransier KNAs	N(GUU) <sup>d</sup> , P(UGG), P(GGG), Q(UUG), R(ACG) <sup>d</sup> , R(CCG), R(UCU), S(GCU),
	S(GGA), S(UGA), T(GGU), T(UGU), V(GAC) <sup>d</sup> , V(UAC) <sup>a</sup> , W(CCA), Y(GUA)

<sup>*a*</sup> Gene containing a single intron; <sup>*b*</sup> Gene divided into two independent transcription units; <sup>*c*</sup> Gene containing two introns; <sup>*d*</sup> Two gene copies in the IRs.

The overall G/C content was 29.3% for *S. uncinata*, similar to other known bryophyte plastomes (*P. patens* (28.5%) [22], *T. pellucida* (29.4%) [23], *T. fuegianus* (28.7%) [24]), as well as the liverwort *M. polymorpha* (28.8%) [26], hornwort *A. formosae* (32.9%) [25], the charophyte *Chaetosphaeridium* (29.6%) [32] and algae (30–33%) [22] but significantly less than the 34~40% found in seed plants [33].

The chloroplast genes found in the complete plastome are represented in Table 2. There were 14 intron-containing genes including 5 tRNA genes and 9 protein-coding genes and almost all of which were single-intron genes except for *ycf3* and *clpP*, which each had two introns. Two exons of trans-spliced gene *rps12* are located in the LSC 71 kb apart from each other. The *trnK-UUU* gene has the largest intron (2272 bp), which has *matK* ORF (1548 bp) encoding a maturase involved in splicing type II introns [34].

Gene	Location			Length (	bp)	
Gene	Locution-	Exon I	Intron I	Exon II	Intron II	Exon III
rps12	LSC	114	-	270		
ndhB	LSC	729	629	780		
ycf66	LSC	106	591	320		
rpoC1	LSC	423	789	1614		
atpF	LSC	411	654	135		
ycf3	LSC	126	684	228	739	153
clpP	LSC	69	687	291	483	234
rpl2	LSC	396	637	438		
ndhA	SSC	556	731	551		
trnK-UUL	I LSC	37	2272	42		
trnL-UAA	LSC	38	262	50		
trnV-UAC	LSC	37	542	37		
trnI-GAU	IR	42	769	35		
trnA-UGC	IR	38	763	35		

Table 2. The genes with introns in the *S. uncinata* plastome and the length of the exons and introns.

The 82 protein-coding genes in this genome represented nucleotide coding for 40,330 codons. On the basis of the sequences of protein-coding genes and tRNA genes within the plastome, the frequency of codon usage was deduced (Table 3). Among these codons, 4343 (10.85%) encoded for leucine and 455 (1.14%) for Tryptophan, which were the most and the least amino acids, respectively. The codon usage was biased towards a high representation at the third codon position. A biased frequency of codons included the levels of available tRNA, functionally related genes, evolutionary pressures and the rate of gene evolution [35].

Table 3. The codon-anticodon recognition pattern and codon usage for *S. uncinata* plastome.

Amino Acid	Codon	No. *	tRNA	Amino Acid	Codon	No. *	tRNA
Phe	UUU	2862		Tyr	UAU	1492	
Phe	UUC	916	trnF-GAA	Tyr	UAC	578	trnY-GUA
Leu	UUG	688	trnL-UAA	Stop	UAA	1772	
Leu	UUA	1766	trnL-CAA	Stop	UAG	577	
Leu	CUG	252		His	CAU	550	
Leu	CUA	591	trnL-UAG	His	CAC	224	trnH-GUG
Leu	CUU	741		Gln	CAA	685	trnQ-UUG
Leu	CUC	305		Gln	CAG	273	
Ile	AUG	1582	trnI-CAU	Asn	AAU	1878	
Ile	AUU	1943		Asn	AAC	665	trnN-GUU
Ile	AUC	629	trnI-GAU	Lys	AAA	2942	trnK-UUU
Met	AUG	515	trnfM-CAU	Lys	AAG	768	
Val	GUG	244	-	Asp	GAU	619	

onNo. *tRNAC210trnD-GUCA845trnE-UUCC200trnE-UUC
C 210 <i>trnD-GUC</i> A 845 <i>trnE-UUC</i>
A 845 trnE-UUC
G 80/
G 286
U 515
C 362 trnC-GCA
A 657
G 455 trnW-CCA
G 387
A 732 trnR-UCU
G 164 trnR-CCG
A 322
U 270 trnR-ACG
C 143
U 735
C 419 trnS-GGA
G 426
A 465 trnG-UCC
U 653
C 237

Table 3. Cont.

\* Numerals indicate the frequency of usage of each codon in 40,330 in codons in 82 potential protein-coding genes.

#### 2.2. Comparison with Other Bryophyte Plastomes

Multiple complete bryophyte plastomes available provide an opportunity to compare the sequence variation at the genome-level. We therefore compared the whole plastome sequence of *S. uncinata* with those of mosses *T. ruralis*, *P. patens*, *T. pellucida*, the liverwort *M. polymorpha* and hornwort *A. formosae*. The sequence identity between all five bryophyte plastomes was plotted using the mVISTA program with the annotation of *S. uncinata* as a reference (Figure 2).

Sequence similarities of the genes between *S. uncinata* and other bryophytes (mosses, liverwort and hornwort) were compared (Table S1). The *rRNA* genes (*rrn5*, *rrn16*, *rrn4.5* and *rrn23*) in the IRs region showed the highest sequence similarity (average 98.3–96.1%) and PSII-associated genes such as *psbL*, *psbA*, *psbZ*, *psbE*, *psbH*, *psbK*, *psbF*, *psbB*, *psbD* and *psbJ*, which also displayed high levels of sequence similarity (average 93.9–89.8%). Genes for large subunit ribosomal proteins (*rpl32*, *rpl20*, *rpl33* and *rpl23*) and a small subunit ribosomal protein (*rps12*) were relatively more conserved than other coding genes. Notably, the highest sequence variation occurred in the *matK* gene (average 79.4%), widely known to be evolved rapidly and thus often used as a barcoding marker in phylogenetic and evolutionary studies [34,36], suggesting that this gene has also undergone evolutionary pressure within bryophytes. Following this, genes such as *rpoC2*, *petB atpE*, *atpF* and *rpl22* showed lower similarity (average 80.2–83.5%) than other plastid genes in order (Table S1). Those genes with large sequence variations had evolutionary significance in inferring divergence times and branching patterns among early land plant lineages, while relatively less varied genes such as rRNA and PSII-associated genes were well conserved during the evolution of bryophytes.



**Figure 2.** Alignment of complete plastome sequences from six species. Alignment and comparison were performed using mVISTA and the percentage of identity between the plastomes was visualized in the form of an mVISTA plot. The sequence similarity of the aligned regions between *S. uncinata* and other five species is shown as horizontal bars indicating average percent identity between 50–100% (shown on the y-axis of graph). The x-axis represents the coordinate in the plastome. Genome regions are color-coded for protein-coding (exon), rRNA, tRNA and conserved non-coding sequences (CNS) as the guide at the bottom-left.

## 2.3. Phylogenomic Analysis

Plastome information has provided an important resource for uncovering evolutionary relationships between various plant lineages [20,37]. The whole plastomes and protein-coding genes have been widely used for the reconstruction of phylogenetic relationships among different plant species [38]. The availability of completed *S. uncinata* plastome provided us with the sequence information to study the molecular evolution and phylogeny of *S. uncinata* with closely related species.

Phylogenomic analysis of representatives from the bryophyte subfamily including *S. uncinata*, produced a single, well-supported tree using maximum parsimony (MP) (Figure 3). To do this, a set of 40 protein-coding genes in plastome analyzed in other species were concatenated and these concatenated sequences were used to infer the phylogenetic relationships of 23 taxa including *S. uncinata* using MEGA7. Phylogenetic analysis based on the multigene dataset revealed that mosses, liverwort and hornwort have been resolved as monophyletic in MP tree (Figure 3). Based on molecular phylogeny results, liverworts are placed in a basal position representing the earliest diverging lineage, while hornworts are the closest relatives of extant vascular plants, corroborating a previously reported branch order of "liverworts (mosses(hornworts(vascular plants)))" [37]. *S. uncinata* was most related to *T. ruralis* and formed a sister group with other moss species, which was supported by bootstrap values (100 for both ML and MP). In addition, it provides convincing support for many traditionally

recognized genera and identifies higher level phylogenetic structure of mosses [39]. This result suggests that plastome information can effectively resolve phylogenetic positions and evolutionary relationships between different Bryophyte lineages.

The *T. ruralis* and *S. uncinata*, two mosses inhabiting extreme environments of polar and alpine regions, share common features in their plastomes–lack of *petN* and *rpoA* and presence of *trnP-GGG*–which is not the case for other two species of *P. patens* and *T. pellucid* (Table 4). Due to very scarce taxon sampling and the limitation of available plastome data, it is not clear whether the presence or absence of those genes is related to the resistance or adaptation to the extreme environments where they inhabit. To address this, synapomorphic characteristics developed during adaptation should be investigated together with genome evolution.



**Figure 3.** Phylogenetic tree reconstruction of 23 taxa using MEGA7 based on concatenated sequences of 40 protein-coding genes in the plastome. Maximum likelihood (ML) topology is shown with the bootstrap support values (MP/ML) given at nodes. Forty protein-coding sequences were extracted from annotated plastomes found in GenBank [21] (http://www.ncbi.nlm.nih.gov) (Table S2). The nucleotide sequences for each gene were translated into amino acids, aligned in MEGA7 and manually adjusted. Nucleotide sequences were aligned by constraining them to the amino acid sequence alignment. Individual gene alignments were then assembled into a single dataset.

#### 2.4. Loss of rpoA and petN in the S. uncinata Plastome

Previous studies on bryophyte plastomes have shown that the overall structure and gene contents of plastomes are highly conserved among different bryophyte lineages [22,23,25,26]. The plastome of *S. uncinata* also showed a similar level of size and structure when compared to those of other three moss plastomes, *P. patens*, *T. ruralis* and *T. pellucida*. The plastome of *S. uncinata* did not show a large inversion of the ~71 kb fragment found in the LSC region of *P. patens* plastome, which follows the cases of *T. ruralis* and *T. pellucida*, suggesting that this large inversion is limited to the order *Funariales* in Bryophyte [22,40] (Figure 4).

While most mosses and plant groups are conserved in the contents of chloroplast genes, certain lineages undergo apparent and frequent gene loss (e.g., *rpoA* transfer from the chloroplast

to the nucleus: [13,22,23]). Two genes encoding  $\alpha$  subunit of RNA polymerase (*rpoA*) and encoding cytochrome b<sub>6/f</sub> complex subunit VIII (*petN*) were absent in the *S. uncinata* plastome (Figure 4). As discussed in previous moss plastome studies, the most prominent and typical feature of the plastomes of moss is the absence of *rpoA*, which is thought to have disappeared together with *ycf5*, *cysA*, *cysT* after the divergence of the mosses from the hepatic bryophytes [22,41]. We could not identify *rpoA* with *cysT* and *cysA* in the *S. uncinata* plastome, which means that the *S. uncinata* plastome follows the typical characteristics of moss plastomes (Table 4, Figure 4). The loss of *petN* reported in other mosses, except in *P. patens* [22], was also observed in the *S. uncinata* plastome (Table 4, Figure 4). The presence of *trnP-GGG* also varied depending on species [13,23], the gene was present in the plastomes of *S. uncinata* and *T. ruralis*, while it was absent in the plastomes of *P. patens* and *T. pellucida* (Table 4).



**Figure 4.** Comparison of the large inversion in the LSC region among six bryophytes plastomes. In comparative LSC region alignment of *rpoA*, *petN* coding regions from *M. polymorpha*, *S. uncinata*, *T. ruralis*, *T. pellucida* and *P. patens*. The inverted-arrangement of 71 kb fragment was only detected for *P. patens*.

	Plants *	Genome Size (bp)	petN	rpoA	ccsA	cysA	cysT	ycf66	matK	rps15	trnP-GGG
Alga	Chlorella vulgaris	150,613	-	+	+	+	+	-	-	-	-
Hornwort	Anthoceros formosae	161,162	+	+	+	+	+	-	Ψ	Ψ	+
Liverwort	Marchantia polymorpha	121,024	+	+	+	+	+	+	+	+	Ψ
Moss	Tetraphis pellucida	127,489	-	-	-	-	-	+	+	+	-
Moss	Physcomitrella patens	122,890	+	-	-	-	-	+	+	+	-
Moss	Tortula rularis	122,630	-	-	-	-	-	+	+	+	+
Moss	Sanionia uncinata	124,374	-	-	-	-	-	+	+	+	+
Lycopodiophyta	Huperzia lucidula	154,373	+	+	+	-	-	+	+	+	+
Moniliformopses	Equisetum arvense	133,309	+	+	+	-	-	+	+	+	+
Acrogymnospermae	Pinus thunbergii	116,635	+	+	+	-	-	-	+	+	+
Magnoliophyta	Arabidopsis thaliana	154,515	+	+	+	-	-	-	+	+	-

Table 4. Gene contents of plastomes from green alga, bryophytes and land plants.

\* The annotated plastomes are listed in Table S2. The presence '+' or absence '-' of each molecular character and pseudogenes are marked '\...

## 2.5. Identification of Nuclear Genes Encoding rpoA and petN

Many cyanobacterial genes have been lost or transferred to the nuclear genomes during the transition from endosymbiont to organelle and the chloroplast genome might have been decreased in size [42,43]. Most gene loss occurred early in the endosymbiotic process; however, some losses have occurred in subsequent evolutionary processes [43]. The gene loss and transfer to the nucleus have been especially frequent, sporadic and temporary during the evolution of embryophytes and bryophytes [44]. Revealing why certain genes lose their functions is of great importance in the genome evolution of chloroplast, enabling the reconstruction of genomic events that occurred after the split of vascular plants and moss.

Therefore, to verify the chance of the nuclear relocation of the lost two chloroplast genes encoding the  $\alpha$  subunit of RNA polymerase (*rpoA*) and cytochrome b<sub>6/f</sub> complex subunit VIII (*petN*), we used blast to search for putative homologues against the draft sequences of the S. uncinata nuclear genome (unpublished results), as a result, we found one target sequence for each gene and then verified the sequences of PCR amplified fragments (nucleotide sequences are listed in Table S3), respectively, which might function as the replaced gene for *rpoA* or *petN* in *S. uncinata*. To check whether those nuclear genes would have a conserved function with chloroplastic genes, we performed a comparative alignment of nuc-rpoA (nuclear-rpoA) and nuc-petN (nuclear-petN) of S. uncinata with the homologous corresponding genes of P. patens, M. polymorpha, A. formosae and A. thaliana (Figure 5). Particularly, for P. patens, the nuc-rpoA sequence (Pp nuc-rpoA) was used in the alignment [20]. The nuc-rpoA sequence showed 41% identity with the nuc-rpoA sequence of P. patens, 76% of M. polymorpha, 66% of A. formosae and 67% of A. thaliana of the other cp-rpoA sequence from other compared species. The nuclear petN sequence showed 59–79% identified with the other chloroplastic petN sequence from other compared species P. patens (79%), A. formosae (59%) and A. thaliana (76%), respectively. When the sequences were scanned in the signal P4.1 program [45] (SignalP 4.1 server; http://www.cbs.dtu.dk/services/SignalP), we could identify the signal peptide which targets chloroplast at the 5' terminus of the nuc-rpoA gene of S. uncinata (Figure 5), suggesting that those genes would be localized in the chloroplast after being translated and functioned as other cp-rpoA or cp-petN genes in chloroplasts. This is very similar to the case of nuc-rpoA of P. patens, which has been shown to target the chloroplast organelle by the fluorescence-labelled protein method [22]. It would therefore be very interesting to prove that the proteins encoded by these nuclear genes actually constitute the chloroplastic-RNA polymerase complex or the Cyt  $b_{6/f}$  complex in the moss protein expression system.



**Figure 5.** Amino acid alignment of (**A**) nuc-*rpoA* and (**B**) nuc-*petN* genes of *S. uncinata* with the nuc-*rpoA* or cp-*rpoA* and cp-*petN* genes from other green plants. Identical amino acid residues are boxed in black, other residues are printed in grey. Signal peptide sequences were predicted using SignalP [45] and shown as double arrow lines and the asterisk.

#### 2.6. Prediction of RNA Editing Sites of Chloroplast Genes

Genetic information on DNA is sometimes altered at the transcript level by a process known as RNA editing, a sequence-specific post transcriptional modification resulting in the conversion, insertion, or deletion of nucleotides in a precursor RNA [46,47]. Such modifications are observed across organisms and have been reported with the discovery of C to U as well as U to C conversions in mitochondria and chloroplast in plants [48–50]. Since the first evidence of RNA editing in chloroplasts was found 24 years ago [51], RNA editing has been found in chloroplast transcripts from all major lineages of land plants [52]. In general, it is known that extensive RNA-editing occurs in hornworts and ferns when compared to seed plants [53]. The number of shared editing sites increases in closely related taxa, implying that RNA editing sites are evolutionarily conserved.

We predicted 72 editing sites in 22 protein coding genes by analyzing chloroplast coding sequences of *S. uncinata* using the PREP [54] and PREPACT 2.0-chloroplast program (http://www.prepact.de) [55] (Table S4). Most RNA editings are known to occur at the second codon position [56], with a frequency from 58.6% in hornwort to 68% in fern, 80% or 73.1% in *Cycad taitungensis* and *Pinus thunbergii*, respectively, 85.3%, 91.9% and 92% in *Arabidopsis thaliana*, *Nicotiana tabacum* and *Zea mays*, respectively, and up to 95.2% in *Oryza sativa* [57]. The RNA-editing of *S. uncinata* were mostly C-U editing events enriched at the second positions (64/72, 89%) as well, which allowed for the identification of the putative amino acid conversion by RNA editing (A-V, P-L, S-L, S-F, T-M and T-I).

#### 3. Materials and Methods

## 3.1. Ethics Statement

Sample collection and field activities were carried out for scientific purposes in accordance with the Protocol on Environmental Protection to the Antarctic Treaty and approved by the Ministry of Foreign Affairs and Trade of the Republic of Korea (International Legal Affairs Division document No. 4029, approved on 18 December 2014). Sampling sites were not located within Antarctic Specially Protected Areas and no protected species were sampled in this study.

#### 3.2. Plant Culture Conditions

*Sanionia uncinata* (Hedw.) Loeske plants growing under natural conditions were collected in the vicinity of the Korean King Sejong Antarctic Station (62°14′29′′ S; 58°44′18′′ W) on the Barton Peninsula of King George Island during the austral summer (mainly in January 2012) and then transferred to the lab and grown hydroponically in BCDAT solid media under a 16:8 h light:dark cycle at 15 °C.

#### 3.3. Library Preparation and Sequencing

The total genomic DNA was extracted from tissues of plants using the DNeasy Plant Mini Kit (Qiagen). The quality of DNA was checked by Bioanalyzer 2100 (Aligent, Santa Clara, CA, USA). Library quantification was performed using the KAPA Library Quantification Kit (KAPA Biosystems, Boston, MA, USA). Paired-end cluster generation and sequencing were performed on the Illumina MiSeq, with each library being allocated to one lane of a flow cell. For the DNA library, TruSeq DNA sample preparation kits were used and sequenced in one lane of Illumina MiSeq 300 X 2 bp. The files containing the sequences and quality scores of reads were deposited in the NCBI Short Read Archive and the accession numbers are SRR6440975 genomic DNA-Seq (BioProject PRJNA428497).

#### 3.4. Assembly and Annotation

After performing read preprocessing including adapter removal and quality filtering, the high-quality raw reads were aligned to 3 publicly available moss plastomes (*Physcomitrella patens* NC\_005087, *Tortula ruralis* NC\_012052 and *Tetraphis pellucida* NC\_024291) downloaded from the NCBI organelle genome resources. The chloroplast reads were recovered from whole genome sequences by identifying them based on the alignment results and then de novo assembled using CLC Genomics Workbench V7.5 (CLC bio, Aarhus, Denmark). The assembled contigs were ordered based on their position in the reference plastome of *T. ruralis* because *T. ruralis* was identified as the top-hit species when BLAST searches were performed against the nr database. All gaps and junction regions between contigs and highly variable regions were validated by Sanger sequencing. The complete plastome was annotated using the program online software DOGMA [58] with default parameters, tRNAscan-SE [59] and BLAST similarity search tools from NCBI. OGDraw was used for map drawing [31]. The final *S. uncinata* plastome sequence has been submitted to GenBank (Accession: NC\_025668).

#### 3.5. Genome Alignment

The complete plastome sequences of 7 species were aligned using the mVISTA online suite [60]. A comparison of *Sanionia uncinata* (NC\_025668) plastome structures with *Physcomiterella patens* (NC\_005087), *Tortula ruralis* (NC\_012052), *Tetraphis geniculate* (NC\_024291), *Marchantia polymorpha* (NC\_001319) and *Anthoceros formosae* (NC\_004543), which are all in the bryophyte, was performed using the mVISTA program in Shuffle-LAGAN mode [60]. Default parameters were applied and the sequence annotation information of *S. uncinata* was used. Percentage identity between each plastome, all relative to that of *S. uncinata*, was subsequently visualized through an mVISTA plot. The mVISTA program only compared the sequence similarity by aligning the entire plastomes of all 6 taxa and the ~71 Kb inversion region of *P. patens* was reversed to match with other plastomes.

#### 3.6. Phylogenetic Analysis

A set of 40 protein-coding genes, which have been analyzed in other species (accession numbers are listed in Table S2 and 40 protein-coding genes sequence of plastomes derived from 23 plants in Table S5), were used to infer the phylogenetic relationships among *S. uncinata*. Sequences were aligned using ClustalW. Phylogenetic analyses using maximum parsimony (MP) and maximum likelihood (ML) were performed, with MEGA7 [61]. For the MP analyses, the Subtree-Pruning-Regrafting algorithm was used with search level 1 in which the initial trees were obtained by the random addition of sequences (10 replicates). Sites with gaps or missing data were excluded from the analysis and statistical

support was achieved through bootstrapping using 1000 replicates. For the ML analyses, the "Models" function of MEGA7 was used to find the best model for ML analysis. The Jones-Taylor-Thornton (JTT) + G + I + F model which was estimated to be the Best-Fit substitution model showing the lowest Bayesian Information Criterion, was employed in subsequent analyses. All positions containing gaps and missing data were eliminated. Bootstrap support was estimated with 1000 bootstrap replicates.

## 3.7. Prediction of RNA-Editing Sites

The RNA editing sites (C-to-U) in protein-coding genes were predicted by the online program Plant RNA Editing Prediction and Analysis Computer Tool (PREPACT 2.0) (http://www.prepact.de) [55] and Predictive RNA Editor for Plants (PREP) suite (http://prep.unl.edu/) [54] with a cutoff value of 0.8.

## 4. Conclusions

This study provided the whole sequence of the *S. uncinata* plastome, the dominant species of the Antarctic Peninsula, with information on the sequence and regulatory regions of chloroplast genes. We completed the *S. uncinata* plastome using a high-throughput sequencing method. The sequence and structure of *S. uncinata* were well conserved with the moss plastome sequences and was the most similar to the plastome sequence of *T. ruralis*. We confirmed that the two genes coding for *rpoA* and *petN* were lost in the *S. uncinata* plastome through comparative analysis of the plastome contents of the representative species of land plants and the sequence analysis results suggested the possibility of post-transcriptional regulation of the chloroplast genes of *S. uncinata* by predicting the RNA-editing site. These results will contribute not only to the functional utilization of chloroplast genes but also to systematic phylogenetic analysis of land plants using whole plastome sequences.

Supplementary Materials: Supplementary materials can be found at www.mdpi.com/1422-0067/19/3/709/s1.

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#### Abbreviations

- LSC Large single copy
- SSC Small single copy
- IR Inverted repeat
- Cp Chloroplast
- nuc Nucleus
- MP Maximum parsimony
- ML Maximum likelihood
- A Adenine
- T Thymine
- G Guanine
- C cvtosine

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## Article The Complete Chloroplast Genome Sequence of Tree of Heaven (*Ailanthus altissima* (Mill.) (Sapindales: Simaroubaceae), an Important Pantropical Tree

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Abstract: Ailanthus altissima (Mill.) Swingle (Simaroubaceae) is a deciduous tree widely distributed throughout temperate regions in China, hence suitable for genetic diversity and evolutionary studies. Previous studies in A. altissima have mainly focused on its biological activities, genetic diversity and genetic structure. However, until now there is no published report regarding genome of this plant species or Simaroubaceae family. Therefore, in this paper, we first characterized A. altissima complete chloroplast genome sequence. The tree of heaven chloroplast genome was found to be a circular molecule 160,815 base pairs (bp) in size and possess a quadripartite structure. The A. altissima chloroplast genome contains 113 unique genes of which 79 and 30 are protein coding and transfer RNA (tRNA) genes respectively and also 4 ribosomal RNA genes (rRNA) with overall GC content of 37.6%. Microsatellite marker detection identified A/T mononucleotides as majority SSRs in all the seven analyzed genomes. Repeat analyses of seven Sapindales revealed a total of 49 repeats in A. altissima, Rhus chinensis, Dodonaea viscosa, Leitneria floridana, while Azadirachta indica, Boswellia sacra, and Citrus aurantiifolia had a total of 48 repeats. The phylogenetic analysis using protein coding genes revealed that A. altissima is a sister to Leitneria floridana and also suggested that Simaroubaceae is a sister to Rutaceae family. The genome information reported here could be further applied for evolution and invasion, population genetics, and molecular studies in this plant species and family.

Keywords: Ailanthus altissima; chloroplast genome; microsatellites; Simaroubaceae; Sapindales

## 1. Introduction

*Ailanthus altissima* (Mill.) Swingle, a deciduous tree in the Simaroubaceae family, is widely distributed throughout temperate regions in China. It grows rapidly reaching heights of 15 m (49ft) in 25 years and can tolerate various levels of extreme environments (e.g., low temperatures, sterile soils, arid land). Besides, it reproduces through sexual (seeds disperse by wind) or asexual (sprouts) methods [1]. Two hundred years ago it was brought to Europe and North America. *A. altissima* being an early colonizer can survive high levels of natural or human disturbance [2]. Therefore, in recent years, it is commonly known as an exotic invasive tree developed into an invasive species expanding on all continents except Antarctica [1]. While previous studies in *A. altissima* have mainly focused on discovering the biological features of this plant to prevent its expansion, there is limited information to understand the impact of genetic diversity and evolution. Thus, genomic information of *A. altissima* is essential for further molecular studies, identification, and evolutionary studies.

Many studies have analyzed the genetic diversity of *A. altissima* using various markers, for example, chloroplast DNA [2,3], microsatellite primer [4,5]. These studies provided a detailed series of information about genetic structure and genetic diversity of *A. altissima* in native and invasive area. However, to understand the genetic diversity and population structure within *A. altissima* natural populations, more genetic resources are required.

It is well known that chloroplasts (cp) are key organelles in plants, with crucial functions in the photosynthesis and biosynthesis [6]. Current research shows that chloroplast genomes in angiosperms have highly conserved structure, gene content, organization, compared with either nuclear or mitochondrial genomes [7,8]. In general, cp genomes in angiosperms have circular structure consisting of two inverted repeat regions (IRa and IRb) that divides a large–single-copy (LSC) and a small-single-copy (SSC) regions [9]. Nevertheless, mutations, duplications, arrangements and gene loss have been observed, including the loss of the inverted repeat region in leguminous plants [7,10–12]. Some studies have applied plant plastomes to study population genetic analyses and basal phylogenetic relationships at different taxonomic levels [13], also to investigate the functional and structural evolution in plants [14–16]. At present, more cp genomes have been sequenced as a result of next-generation sequencing technologies advancement resulting in low sequencing costs.

More than 800 sequenced plastomes from various land plants have boosted our understanding of intracellular gene transfer, conservation, diversity, and genetic basis [17]. Although cp genomes have been sequenced in many trees such as *Castanea mollissima* [18]), *Liriodendron tulipifera* [19], *Eucalyptus globules* [20], and *Larix deciduas* [21], the plastome of *Leitneria floridana* (GenBank NC\_030482) a member of Simaroubaceae has been sequenced but no analysis has been published at present despite the family containing many high economic value trees. Regardless of its potential use in crop or tree species improvement, studies on invasive species such as *A. altissima* which is also an important economic tree in the North China are too few. Chloroplast genome sequencing in invasive species could bring insights into evolutionary aspects in stress-tolerance related trait and genetic variation.

Simple sequence repeat (SSR) also called microsatellite markers are known to be more informative and versatile DNA-based markers used in plant genetic research [22]. These DNA markers are reliable molecular tools that can be used to examine plants genetic variation. SSR loci are evenly distributed and very abundant in angiosperms plastomes [23,24]. Chloroplast microsatellites are typically mononucleotide tandem repeats, and SSR in the fully sequenced genome could be used in plant species identification and diversity analysis. CpSSR in the fully sequenced plants plastomes such as; orchid genus *Chiloglottis* [25], *Cryptomeria japonica* [26], *Podocarpus lambertii* [27], *Actinidia chinensis* [28], have proven to be useful genetic tools in determining gene flow and population genetics of cp genomes. However, the lack of published plastome of *A. altissima* has limited the development of suitable SSR markers.

Here, we sequenced the complete chloroplast genome of *A. altissima*, and characterized its organization and structure. Furthermore, phylogenetic relationship using protein coding genes from selected species, consisting of 31 species from five families was uncovered for the Simaroubaceae family within the order Sapindales. Lastly, this resource will be used to develop SSR markers for analyzing genetic diversity and structure of several wild populations of *A. altissima*.

#### 2. Results and Discussion

#### 2.1. Ailanthus altissima Genome Size and Features

The *A. altissima* chloroplast genome has a quadripartite organizational structure with overall size of 160,815 base pairs (bp) including two copies of Inverted repeats (IRa and IRb) (27,051 bp each) separating the Large Single Copy (LSC) (88,382 bp) and Small Single Copy Region (SSC) (18,332 bp) (Figure 1). Notably, the genome content; gene order, orientation and organization of *A. altissima* is similar to the reference genome and other sequenced Sapindales plastomes [29,30] with genome size of about 160 kb. The overall guanine-cytosine (GC) content of the whole genome is 37.6%, while the

average adenine-thymine A + T content is 62.36%. The relatively higher IR GC content and A + T bias in this chloroplast have been previously reported in genomes of relative species in order Sapindales [31]. The GC content of the LSC, SSC and IR regions are 35.7, 32.2 and 42.6% respectively. Moreover, the protein coding sequences had 38.3% GC content.



**Figure 1.** Circular gene map of *A. altissima* complete chloroplast genome. Genes drawn on the outside of the circle are transcribed clockwise, whereas those inside are transcribed clockwise. The light gray in the inner circle corresponds to AT content, while the darker gray corresponds to the GC content. Large single copy (LSC), Inverted repeats (IRa and IRb), and Small single copy (SSC) are indicated.

The tree of heaven (*A. altissima*) chloroplast genome harbored a total of 113 different genes, comprising 79 protein coding genes (PCGS), 30 transfer RNA (tRNA) genes, and four ribosomal RNA (rRNA) genes (Table 1). All the 77 PCGS started with the common initiation codon (ATG), but *rps19* and *ndhD* genes started with alternative codons GTG and ACG respectively, this unusual initiator codons have been observed to be common in other angiosperm chloroplast genomes [32–34]. Of the 79 protein coding sequences, 60 are located in the LSC, 11 in the SSC and eight genes were duplicated the IR region, while 22 tRNA genes were found in LSC, seven replicated in the IR region and one located in the SSC region.

Similar to some closely related plant species in order Sapindales, the chloroplast genome of *A. altissima* has maintained intron content [35]. Among the 113 unique genes, the *rps16*, *rpoC1*, *petB*, *rpl2*, *ycf15*, *ndhB*, *ndhA*, *atpF*, six tRNA genes (*trnG-GCC\**, *trnA-UGC\**, *trnL-UAA\**, *trnI-GAU\**, *trnK-UUU\**, *trnV-UAC\**) possess one intron, and *ycf3* and *clpP* genes harbored two introns. The *rps12* trans-splicing gene has two 3' end exons repeated in the IRs and the 5' end exon located in the LSC region, which is similar to that in *C. platymamma* [30], *C. aurantiifolia* [29], *Dipteronia* species [35]. The *ycf1* gene crossed the IR/SSC junction forming a pseudogene *ycf1* on the corresponding IR region. The *rps19* gene in *A. altissima* was completely duplicated in the inverted repeat (IR) region, which most other chloroplast genomes have presented [29,30].

Functional Category	Group of Genes	Gene Name	Number
Self-replication	rRNA genes	rrn16(×2), rrn23(×2), rrn4.5(×2), rrn5(×2),	4
	tRNA genes	trnA-UGC*(×2), trnC-GCA, trnD-GUC, trnE-UUC, trnF-GAA, trnG-UCC, trnH-GUG, trnI-CAU(×2), trnI-GAU*(×2), trnK-UUU*, trnI-CAA(×2), trnI-UAA*, trnI-UAG, trnG-GCC*, trnM-CAU, trnN-GUU(×2), trnP-GGG, trnP-UGG, trnQ-UUG, trnR-ACG(×2), trnR-UCU, trnS-GCU, trnS-GGA, trnS-UGA, trnT-GGU, trnT-UGU, trnV-GAC(×2), trnV-UAC*, trnW-CCA, trnY-GUA	30
	Ribosomal small subunit	rps2, rps3, rps4, rps7(×2), rps8, rps11, rps12, rps14, rps15, rps16*, rps18, rps19	12
	Ribosomal large subunit	rpl2*(×2), rpl14, rpl16, rpl20, rpl22, rpl23(×2), rpl32, rpl33, rpl36	9
	DNA-dependent RNA polymerase	rpoA, rpoB, rpoC1*, rpoC2	4
Photosynthesis	Large subunit of rubisco	rbcL	1
	Photosystem I	psaA, psaB, psaC, psaI, psaJ, ycf3**	6
	Photosystem II	psbA, psbB, psbC, psbD, psbE, psbF, psbH, psbI, psbJ, psbK, psbL, psbM, psbN, psbT, psbZ	15
	NADH dehydrogenase	ndhA*, ndhB*(×2), ndhC, ndhD, ndhE, ndhF, ndhG, ndhH, ndhI, ndhJ, ndhK	11
	Cytochrome b/f complex	petA, petB*, petD, petG, petL, petN	6
	ATP synthase	atpA, atpB, atpE, atpF*, atpH, atpI	6
Other	Maturase	matK	1
	Subunit of acetyl-CoA carboxylase	accD	1
	Envelope membrane protein	cemA	1
	Protease	clpP**	1
	c-type cytochrome synthesis	ccsA	1
Functions unknown	Conserved open reading frames (ycf)	<i>ycf1</i> , <i>ycf2</i> (×2), <i>ycf4</i> , <i>ycf15</i> (×2)	4
Total			113

<b>Tuble 1.</b> Else of genes found in <i>Thummus unissinu</i> emotopiast genon	Tabl	e 1.	List	of	genes	found	in	Ailanth	us ı	altissima	Ch	loropl	ast	genom	ıe.
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Note: \* Gene with one intron, \*\* Genes with two introns. (×2) Genes with two copies.

#### 2.2. IR Expansion and Contraction and Genome Rearrangement

The angiosperms chloroplast genomes are highly conserved, but slightly vary as a result of either expansion or contraction of the single-copy (SC) and IR boundary regions [36]. The expansion and contraction of the IR causes size variations and rearrangements in the SSC/IRa/IRb/LSC junctions [37]. Therefore, in this study, exact IR boundary positions and their adjacent genes of seven representative species from different families in order Sapindales were compared (Figure 2). The functional *ycf1* gene crossed the IRa/SSC boundary creating *ycf1* pseudogene fragment at the IRb region in all the genomes. Besides, *ycf1* pseudogene overlapped with the *ndhF* gene in the SSC and IRa junctions in four genomes with a stretch of 9 to 85 bp, but *ndhF* gene is located in SSC region in *L. floridana, R. chinensis* and *A. altissima*.

The *rpl22* gene crossed the LSC/IRb junction in all the chloroplast genomes except in *R. chinensis*. Furthermore, this gene was partially duplicated forming a pseudogene fragment at the corresponding IRA/LSC junction in *L. floridana* and *B. sacra*, but completely duplicated in *D. viscosa*. In all the seven chloroplast genomes, the *trnH-GUG* gene was located in the LSC regions, however this gene overlapped with *rpl22* gene in *D. viscosa*. The results reported here are congruent with the recent studies which showed that the *trnH-GUG* gene was situated in the LSC region in some species from order Sapindales, while the SSC/IRa border extends into the protein coding gene *ycf1* with subsequent formation of a *ycf1* pseudogene [29,30]. Despite the seven chloroplast genomes of Sapindales having well-conserved genomic structure in terms of gene order and number, length variation of the whole

chloroplast genome sequences and LSC, SSC and IR regions was detected among these genomes. This sequence variation might have been as a result of boundary expansion and contraction between the single copy and IR boundary regions among plant lineages as suggested by Wang and Messing 2011 [38].



**Figure 2.** Comparison of IR, LSC and SSC junction positions among seven Chloroplast genomes. The features drawn are not to scale. The symbol  $\varphi$  means pseudogene created by IRb/SSC border extension into *ycf1* genes. Colored boxes for genes represent the gene position.

The mauve alignment for seven species revealed that all the genomes formed collinear blocks (LCBs). In particular, all the seven species; *A. altissima, Leitneria floridana, Azadirachta indica, Citrus aurantiifolia, Boswellia Sacra, Spondias bahiensis* and *Dodonaea viscosa* reveal a syntenic structure, however block two was inverted (from *rpl20* to *rbcL* genes) compared to the reference genome (*Aquilaria sinensis*). The collinear blocks of the genes including ribosomal RNA, tRNA, and protein coding genes revealed that all the seven genomes were relatively conserved with no gene rearrangement (Figure 3). Some other studies have revealed homology in genome organization and no gene rearrangements, thus our findings support their conclusions [31,39,40].



**Figure 3.** Gene arrangement map of seven chloroplast genomes representing families from Sapindales, and one reference species (*Aquilaria sinensis*) aligned using Mauve software Local collinear blocks within each alignment are represented in as blocks of similar color connected with lines. Annotations of rRNA, protein coding and tRNA genes are shown in red, white and green boxes respectively.

#### 2.3. Codon Usage and Putative RNA Editing Sites in Chloroplast Genes of A. altissima

In this study, we analyzed codon usage frequency and the relative synonymous codon usage (RSCU) in the *A. altissima* plastome. All the protein coding genes presented a total of 68,952 bp and 22,964 codons in *A. altissima* chloroplast genome. Of 22,964 codons, leucine (Leu) being the most abundant amino acid had a frequency of 10.56%, then isoleucine (Ile) with 8.54%, while cysteine (Cys) was rare with a proportion of 1.12% (Tables S1 and S2, Figure 4). Our study species genome is like other previously reported genomes which showed that leucine and isoleucine are more common [41–45]. Furthermore, comparable to other angiosperm chloroplast genomes, our results followed the trend of codon preference towards A/T ending which was observed in plastomes of two *Aristolochia* species [46], *Scutellaria baicalensis* [47], *Decaisnea insignis* [34], *Papaver rhoeas* and *Papaver orientale* [48] *Cinnamonum camphora* [49], and *Forsythia suspensa* [41]. All the twenty-eight A/U—ending codons had RSCU values of more than one (RSCU > 1), whereas the C/G—ending codons had RSCU values of less than one. Two amino acids, Methionine (Met) and tryptophan (Trp) showed no codon bias. The results for number of codons (Nc) of each protein coding gene ranged from 38.94 (*rps14* gene) to 58.37 (*clpP* gene).

The potential RNA editing sites in tree of heaven chloroplast genome was done using PREP program which revealed that most conversions at the codon positions change from serine (S) to leucine (L) (Table 2). In addition, 15 (27.78%), 39 (72.22%), and 0 editing locations were used in the first, second and third codons respectively. One RNA editing site converted the amino acid from apolar to polar (proline (P) to serine (S). Overall, the PREP program identified a total of 54 editing sites in 21 protein coding genes, with *ndhB* and *ndhD* genes predicted to have the highest number of editing sites (9). Followed by *ndhA*, *matK*, *rpoC2*, and *rpoB* with four editing sites, whereas *ndhF* had three sites. Interestingly, fifty three of fifty four RNA editing conversions in the *A. altissima* chloroplast

genome resulted into hydrophobic products comprising; isoleucine, leucine, tryptophan, tyrosine valine, methionine, and phenylalanine. In general our results are congruent with the preceding reports which also found that most RNA editing sites led to amino acid change from polar to apolar, resulting in increase in protein hydrophobicity [41,46,50].



Figure 4. Amino acid frequencies in A. altissima chloroplast genome protein coding sequences	5.
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Gene	Nucleotide Position	Amino Acid Position	Codon Conversion	Amino Acid Conversion	Score
accD	818	273	$T\underline{\mathbf{C}}G \geq TTG$	$S \geq L$	0.80
atpF	92 353	31 118	$\begin{array}{l} C\underline{\mathbf{C}}A \geq CTA \\ T\underline{\mathbf{C}}A \geq TTA \end{array}$	$\begin{array}{c} P \geq L \\ S \geq L \end{array}$	0.86 1.00
atpB	403	135	$\underline{\mathbf{C}}\mathbf{C}\mathbf{A}\geq\mathbf{T}\mathbf{C}\mathbf{A}$	$\underline{P \geq S}$	0.86
rps14	80	27	$T\underline{\mathbf{C}}A \geq TTA$	$S \geq L$	1.00
	149	50	$T\underline{\mathbf{C}}A \geq TTA$	$S \geq L$	1.00
ccsA	145	49	$\underline{\mathbf{C}}TT \geq TTT$	$L \geq F$	1.00
clpP	556	186	$\underline{\mathbf{C}} \mathbf{A} \mathbf{T} \geq \mathbf{T} \mathbf{A} \mathbf{T}$	$H \geq Y$	1.00
MatK	319 457 643 1246	107 153 215 416	$\label{eq:constraint} \begin{split} \underline{\mathbf{C}} TT &\geq TTT \\ \underline{\mathbf{C}} AC &\geq TAC \\ \underline{\mathbf{C}} AT &\geq TAT \\ \underline{\mathbf{C}} AC &\geq TAC \end{split}$	$\begin{array}{l} L \geq F \\ H \geq Y \\ H \geq Y \\ H \geq Y \\ H \geq Y \end{array}$	0.86 1.00 1.00 1.00
ndhA	107 341 566 1073	36 114 189 358	$\begin{array}{l} C\underline{C}T \geq CTT \\ T\underline{C}A \geq TTA \\ T\underline{C}A \geq TTA \\ T\underline{C}C \geq TTC \end{array}$	$\begin{array}{c} P \geq L \\ S \geq L \\ S \geq L \\ S \geq F \end{array}$	1.00 1.00 1.00 1.00
ndhB	149 467 586 611 746 830 836 1255 1481	50 156 196 204 249 277 279 419 494	$\begin{split} T\underline{C}A &\geq TTA\\ C\underline{C}A &\geq CTA\\ \underline{C}AT &\geq TAT\\ T\underline{C}A &\geq TTA\\ T\underline{C}T &\geq TTT\\ T\underline{C}A &\geq TTA\\ T\underline{C}A &\geq TTA\\ T\underline{C}A &\geq TTA\\ \underline{C}AT &\geq TAT\\ C\underline{C}A &\geq CTA \end{split}$	$\begin{array}{l} S \geq L \\ P \geq L \\ H \geq Y \\ S \geq L \\ S \geq F \\ S \geq L \\ S \geq L \\ H \geq Y \\ P \geq L \end{array}$	$\begin{array}{c} 1.00\\ 1.00\\ 1.00\\ 0.80\\ 1.00\\ 1.00\\ 1.00\\ 1.00\\ 1.00\\ 1.00\\ \end{array}$

Table 2. Predicted RNA editing site in the A. altissima chloroplast genome.
Gene	Nucleotide Position	Amino Acid Position	Codon Conversion	Amino Acid Conversion	Score
	2	1	$ACG \ge ATG$	$T \ge M$	1.00
	313	105	$\underline{C}GG \ge TGG$	$R \ge W$	0.80
	383	128	$T\underline{C}A \ge TTA$	$S \ge L$	1.00
	674	225	$T\underline{C}A \ge TTA$	$S \ge L$	1.00
ndhD	878	293	$T\underline{C}A \ge TTA$	$S \ge L$	1.00
	887	296	$C\underline{C}T \ge CTT$	$P \ge L$	1.00
	1076	359	$G\underline{C}T \ge GTT$	$A \ge V$	1.00
	1298	433	$T\underline{C}A \ge TTA$	$S \ge L$	0.80
	1310	437	$T\underline{\mathbf{C}}A \geq TTA$	$S \geq L$	0.80
	290	97	$T\underline{C}A \ge TTA$	$S \geq L$	1.00
ndhF	586	196	$\underline{C}TT \ge TTT$	$L \ge F$	0.80
	1919	640	$G\underline{C}T \ge GTT$	$A \ge V$	0.80
" 0	166	56	$\underline{\mathbf{C}}$ AT $\geq$ TAT	$H \ge Y$	0.80
nahG	320	107	$A\underline{C}A \ge ATA$	$T \geq I$	0.80
petL	119	40	$C\underline{\mathbf{C}}T \geq CTT$	$P \geq L$	0.86
psbF	77	26	$T\underline{\mathbf{C}}T \geq TTT$	$S \geq F$	1.00
rpl20	308	103	$T\underline{\mathbf{C}}A \geq TTA$	$S \geq L$	0.86
rpoA	830	277	$T\underline{\mathbf{C}}A\geq TTA$	$S \geq L$	1.00
	338	113	$T\underline{\mathbf{C}}T \geq TTT$	$S \geq F$	1.00
rnoB	551	184	$T\underline{C}A \ge TTA$	$S \ge L$	1.00
TPOD	566	189	$T\underline{C}G \ge TTG$	$S \ge L$	1.00
	2426	809	$T\underline{C}A \ge TTA$	$S \ge L$	0.86
rpoC1	41	14	$T\underline{\mathbf{C}}A\geq TTA$	$S \geq L$	1.00
	1681	561	$\underline{\mathbf{C}}$ AT $\geq$ TAT	$H \ge Y$	0.86
rnoC2	2030	677	$A\underline{C}T \ge ATT$	$T \ge I$	1.00
rpoc2	2314	772	$\underline{\mathbf{C}}$ GG $\geq$ TGG	$R \geq W$	1.00
	4183	1395	$\underline{\mathbf{C}}TT \ge TTT$	$L \geq F$	0.80
rps2	248	83	$T\underline{C}A \ge TTA$	$S \ge L$	1.00
rps16	209	70	$T\underline{C}A \ge TT\overline{A}$	$S \ge L$	0.83

Table 2. Cont.

The cytidines marked are putatively edited to uredines.

Comparisons of RNA editing sites with other six species from other families revealed that *R. chinensis* and *D. viscosa* have high RNA editing sites (61 each distributed in 20 and 17 genes respectively) followed by *B. sacra* (57 in 20 genes), *A. altissima* (54 in 21 genes), *A. indica* (53 in 21 genes), *C. aurantiifolia* (52 in 21 genes), and *L. floridana* 48 in 20 genes. As shown in Table S3, these results are consistent with several studies in that all the RNA editing sites predicted among the seven species are cytidine (C) to uridine (U) conversions [41,50–52]. Majority of RNA editing occurred at the second positions of the codons with a frequency from 62.30% (38/61) in *D. viscosa* to 81.28% (39/48) in *L. floridana*, which concurs with previous plastid genome studies in other land plants [53,54]. All the species shared 19 editing sites distributed in twelve genes (Table 3), whereas the two species from Simaroubaceae family (*L. floridana* and *A. altissima*) shared 33 editing sites in 16 genes this implies that the RNA editing sites in these two species are highly conserved (Table S4). Like previous studies [41,51,55], the *ndhB* gene in most of species analyzed here have the highest number of editing sites. Notably, a RNA editing event was detected at the initiator codon (ACG) resulting in ATG translational start codon in the *ndhD* gene.

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		Citrus aurantiifolia	Rhus chinensis	Dodonaea viscosa	Boswellia Sacra	Leitneria floridana	Azadirachta indica	Ailanthus altissima
Gene	A.A Position	Codon (A.A) Conversion						
atpF	31	$CCA (P) \ge CTA (L)$	$CCA (P) \ge CTA (L)$	$CCA (P) \ge CTA (L)$	$CCA (P) \ge CTA (L)$	$CCA (P) \ge CTA (L)$	$CCA (P) \ge CTA (L)$	$CCA (P) \ge CTA (L)$
clpP	187	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \geq TAT (Y)$	$CAT (H) \ge TAT (Y)$
MatK		$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \geq TAT (Y)$	$CAT (H) \ge TAT (Y)$
ndhA	358	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$
	50	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$
	156	$CCA(P) \ge CTA(L)$	$CCA(P) \ge CTA(L)$	$CCA$ (P) $\geq CTA$ (L)	$CCA(P) \ge CTA(L)$	$CCA(P) \ge CTA(L)$	$CCA(P) \ge CTA(L)$	$CCA(P) \ge CTA(L)$
ndhB	196	$CAT(H) \ge TAT(Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT(H) \ge TAT(Y)$
	249	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$
	419	$CAT (H) \ge TAT (Y)$	$CAT(H) \ge TAT(Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT(H) \ge TAT(Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$
1	1	ACG $(T) \ge ATG (M)$	ACG $(T) \ge ATG (M)$	$ACG(T) \ge ATG(M)$	ACG $(T) \ge ATG (M)$	$ACG(T) \ge ATG(M)$	ACG $(T) \ge ATG (M)$	ACG $(T) \ge ATG (M)$
nanU	128	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA(S) \ge TTA(L)$	$TCA (S) \ge TTA (L)$	$TCA$ (S) $\ge TTA$ (L)	$TCA(S) \ge TTA(L)$	$TCA (S) \ge TTA (L)$
ndhG	107	$ACA (T) \ge ATA (I)$	$ACA (T) \ge ATA (I)$	$ACA (T) \ge ATA (I)$	$ACA (T) \ge ATA (I)$	$ACA (T) \ge ATA (I)$	$ACA (T) \ge ATA (I)$	$ACA (T) \ge ATA (I)$
rpoA	278	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA$ (S) $\ge TTA$ (L)	$TCA (S) \ge TTA (L)$
	113	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$	$TCT (S) \ge TTT (F)$
rpoB	184	$TCA(S) \ge TTA(L)$	$TCA (S) \ge TTA (L)$	$TCA$ (S) $\ge TTA$ (L)	$TCA (S) \ge TTA (L)$	$TCA(S) \ge TTA(L)$	$TCA(S) \ge TTA(L)$	$TCA(S) \ge TTA(L)$
	809	$TCG(S) \ge TTG(L)$	$TCG(S) \ge TTG(L)$	$TCG (S) \ge TTG (L)$	$TCG (S) \ge TTG (L)$	$TCG(S) \ge TTG(L)$	$TCG (S) \ge TTG (L)$	$TCG(S) \ge TTG(L)$
rpoC1	14	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$
rpoC2	563	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \ge TAT (Y)$	$CAT (H) \geq TAT (Y)$	$CAT (H) \ge TAT (Y)$
rps14	27	$TCA$ (S) $\geq TTA$ (L)	$TCA$ (S) $\geq TTA$ (L)	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$	$TCA (S) \ge TTA (L)$

## 2.4. Repeat Sequence Analysis

Microsatellites are usually 1–6 bp tandem repeat DNA sequences and are distributed throughout the genome. The presence of microsatellites was detected in the chloroplast genome of *A. altissima* (Figure 5). A total of 219 simple sequence repeats (SSRs) loci were detected, of which mononucleotide repeats occurred with high frequency constituting 190 (86.76%) of all the SSRs. Majority of mononucleotides composed of poly A (polyadenine) (39.27%) and poly T (polythymine) (47.49%) repeats, whereas poly G (polyguanine)or polyC (polycytosine) repeats were rather rare (2.74%). Among the dinucleotide repeat motifs AT/AT were more abundant, while AG/CT were less frequent. One trinucleotide motif (AAT/ATT), five tetra-(AAAG/CTTT, AAAT/ATTT, AACT/AGTT, AATC/ATTG and AGAT/ATCT) and two pentanucleotide repeats (AAAAG/CTTTT and AATAG/ATTCT) were identified. Hexanucleotide repeats were not detected in the *A. altissima* chloroplast genome.

As shown in Figure 5, the SSR analysis for seven species showed that *Leitneria floridana* had the highest number of SSRs (256) while *Dodonaea viscosa* and *Rhus chinensis* had the lowest (186). In all the seven species, mononucleotide repeats were more abundant with A/T repeats being the most common repeats. This result is consistent with earlier studies in [31,35,46] which revealed that many angiosperm chloroplast genomes are rich in poly A and poly T. Moreover, in the seven analyzed species, hexanucleotide repeats were not detected, whereas *Azadirachta indica, Dodonaea viscosa* and *Leitneria floridana* had no pentanucleotide repeats.



**Figure 5.** Simple sequence repeat (SSRs) type, distribution and presence in *A. altissima* and other representative species from Sapindales. (**A**) Number of detected SSR motifs in different repeat types in *A. altissima* Chloroplast genome. (**B**) Number of identified repeat sequences in seven chloroplast genomes. (**C**) Number of different SSR types in seven representative species. F, indicate (forward), P (palindromic), R (reverse), and C (complement), while P1, P2, P3, P4, P5 indicates Mono-, di-, tri-, tetra-, and penta-nucleotides respectively. F: forward; P: palindromic, R: reverse; C: complement.

The REPuter program revealed that *A. altissima* chloroplast genome contains 21 palindromic (p), 22 forward (f) and six reverse (r) repeats, however the complement repeats were not detected (Table 4). We notice that all the identified tandem repeats in *A. altissima* were more than 20 bp, while thirteen had length of more than 30 bp. Repeat analyses of seven Sapindales revealed a total of 48 or 49 repeats for each species, with all species containing forward, palindromic and reverse repeats

(Figure 5). Compliment repeats were not identified in other species except for *Azadirachta indica* and *Citrus aurantiifolia* which had one and three repeats respectively. *Citrus aurantiifolia* had the highest number of reverse repeats but also lowest number of forward repeats. Most of the repeat lengths were less than 50 bp, however *Boswellia sacra* chloroplast had seven forward repeats with length of between 65 to 251 bp. Besides, we found out that almost all the repeat sequences were located in either IR or LSC region.

Number	Size	Position 1	Туре	Position 2	Location 1 (2)	Region
1	48	95,957	F	95,975	ycf2	IRa
2	48	153,174	F	153,192	vcf2	IRb
3	37	103,326	F	125,821	rps12/trnV-GAC(ndhA*)	IRa/SSC
4	30	95,957	F	95,993	vcf2	IRa
5	30	153,174	F	153,210	vcf2	IRb
6	29	50,944	F	50,972	trnĽ-UAA*	LSC
7	29	58,040	F	58,078	rbcL	LSC
8	28	115,434	F	115,460	vcf1	SSC
9	26	39,399	F	39,625	psbZ/trnG-UCC	LSC
10	25	71,153	F	71,178	trnP-GGG/psaJ	LSC
11	23	47,036	F	103,323	ycf3**(rps12/trnV-GAC)	LSC/IRa
12	23	112,456	F	112,488	rrn4.5/rrn5	IRa
13	23	136,686	F	136,718	rrn5/rrn4.5	IRb
14	22	11,749	F	11,771	trnR-UCU/atpA	LSC
15	21	248	F	270	trnH-GUG/psbA	LSC
16	21	9541	F	38,293	trnS-GCU (trnS-UGA)	LSC
17	21	41,956	F	44,180	psaB(psaA)	LSC
18	21	49,678	F	49,699	trnL-UAA*	LSC
19	20	1945	F	1965	trnK-UUU	LSC
20	20	15,166	F	92,503	atpH/atpI(ycf2)	LSC
21	20	47,039	F	125,821	ycf3**(rps15)	LSC/IRa
22	20	88,907	F	160,270	rpl2	IRa/IRb
25	48	31,790	Р	31,790	petN/psbM	LSC
26	48	95,957	Р	153,174	ycf2	IRa/IRb
27	48	95,975	Р	153,192	ycf2	IRa/IRb
28	37	125,821	Р	145,834	ndhA*(trnV-GAC/rps12)	SSC/IRb
29	36	30,970	Р	30,970	petN/psbM	LSC
30	30	72,117	Р	72,117	rpl33/rps18	LSC
31	30	95,957	Р	153,174	ycf2	IRa/IRb
32	30	95,993	Р	153,210	ycf2	IRa/IRb
33	27	542	Р	571	trnH-GUG/psbA	LSC
34	25	11,403	Р	11,430	trnS-GCU/trnR-UCU	LSC
35	24	4867	Р	4897	trnK-UUU/rps16	LSC
36	24	9535	Р	48,164	trnS-GCU(psaA/ycf3)	LSC
37	23	47,036	Р	145,851	ycf3**(trnV-GAC/rps12)	LSC/IRb
38	23	51,804	Р	119,066	trnF-GAA/ndhJ(rpl32/trnL-UAG)	LSC/SSC
39	23	112,456	Р	136,686	rrn4.5/rrn5	IRa/IRb
40	23	112,488	Р	136,718	rrn4.5/rrn5	IRa/IRb
41	22	39,195	Р	39,195	psbZ/trnG-UCC	LSC
42	20	15,166	Р	156,674	atpH(ycf2)	LSC/IRb
43	20	38,361	Р	48,100	trnS-UGA(trnS-GGA)	LSC
44	20	88,907	Р	88,907	rpl2	IRa
45	20	107,097	Р	107,130	rrn16/trnI-GAU	IRa
46	23	39,184	R	39,184	psbZ/trnG-UCC	LSC
47	21	9751	R	9751	trnS-GCU/trnR-UCU	LSC
48	21	51,281	R	51,281	trnL-UAA/trnF-GAA	LSC
49	21	85,055	R	85,055	rps8/rpl14	LSC
50	20	53,712	R	53,712	ndhC	LSC
51	20	9385	R	13,356	psbI(atpA/atpF)	LSC

**Table 4.** Distribution and localization of repetitive sequences F, forward: P, palindromic, R; reverse in *A. altissima* chloroplast genome.

F: forward; P: palindrome; R; reverse\* intron or \*\* introns.

## 2.5. Phylogenetic Tree

The phylogenetic position of *A. altissima* within Sapindales was carried out using 75 protein coding sequences shared by thirty-one taxa from Sapindales (Table S5). Three remaining species were from Thymelaeaceae family (*Aquilaria sinensis*) and Malvaceae (*Theobroma cacao* and *Abelmoschus esculentus*) from order Malvales selected as outgroups (Figure 6). The maximum likelihood (ML) analysis produced a phylogenetic tree which fully supported *A. altissima* to be closely related with *Leitneria floridana* with 100% bootstrap value. The ML resolved 26 nodes with high branch support (over 98% bootstrap values), however six nodes were moderately supported perhaps as a result of less samples use (59 to 95%). Concerning relationships among families within Sapindales order, family Simaroubaceae early diverged and formed a sister clade/relationship with a 95% bootstrap support to Rutaceae family. Interestingly, the placement of families within Sapindales in our phylogenetic tree supports the one reported by previous studies [30,56,57] based on some chloroplast and nuclear markers. The families Anacardiaceae and Burseraceae formed a sister clade/ group, this clade further branched forming a sister clade with families Sapindaceae, Meliaceae, Simaroubaceae and Rutaceae analyzed in our study. Therefore, it is crucial to use more species for better understanding of Simaroubaceae phylogeny and evolution. This study provides a basis for future phylogenetic of Simaroubaceae species.



**Figure 6.** Phylogenetic tree of 31 Sapindales species with three outgroup Malvales species inferred from ML (Maximum likelihood) based on common protein coding genes. The position of *A. altissima* is shown in bold, while bootstrap support values are shown at each node.

## 3. Materials and Methods

## 3.1. Plant Materials and DNA Extraction

Fresh leaves of *Ailanthus altissima* were collected in Wuhan Botanical Garden, Chinese Academy of Sciences in China. Total genomic DNA isolation was carried out using MagicMag Genomic DNA Micro Kit (Sangon Biotech Co., Shanghai, China) based on the manufactures protocol. The quality and integrity of DNA were checked and inspected using spectrophotometry and agarose gel electrophoresis respectively. The voucher specimen (HIB-LZZ-CC003) has been deposited at the Wuhan Botanical Garden herbarium (HIB) Wuhan, China.

#### 3.2. The Tree of Heaven Plastome Sequence Assembly and Annotation

Library preparation was constructed using the Illumina Hiseq 2500 platform at NOVOgene Company (Beijing, China) with an average insert size of approximately 350 bp. The high-quality data (5 Gb) were filtered from raw sequence data (5.2 Gb) using the PRINSEQ lite v0.20.4 (San Diego State University, San Diego, CA, USA) [58] (phredQ  $\geq$  20, Length  $\geq$  50), followed by de novo assembling using NOVOPlasty [59] with default sets (K-mer = 31). The seeds and reference plastome used was from the closely related species *Leitneria floridana* (NC\_030482) with high coverage of chloroplast reads ~1500×. Lastly, one contig of *Ailanthus altissima* was generated and mapped with reference plastome using GENEIOUS 8.1 (Biomatters Ltd., Auckland, New Zealand) [60]. Finally, online web-based server local blast was used to verify the inverted repeat (IR) single copy (SC) junctions.

Preliminary gene annotation of assembled genome was done using the program DOGMA (Dual Organellar GenoMe Annotator, University of Texas at Austin, Austin, TX, USA) [61], and BLAST (http://blast.ncbi.nlm.nih.gov/). The positions of start and stop codons together with position of introns were confirmed by comparing with homologous genes of other chloroplast genomes available at the GenBank database. Moreover, tRNA genes were verified with tRNAscan-SE server (http://lowelab.ucsc.edu/tRNAscan-SE/) [62]. The chloroplast genome physical circular map was drawn using program OGDRAW (Organellar Genome DRAW) [63] Max planck Institute of Molecular Plant Physiology, Potsdam, Germany) accompanied by manual corrections. The chloroplast genome sequence of *A. altissima* was deposited in the GenBank database, accession (MG799542).

#### 3.3. Genome Comparison and Gene Rearrangement

The border region between Inverted repeat (IR) and large single copy (LSC), also between inverted repeats and small single copy (SSC) junction were compared among seven representative species from Sapindales order. Additionally, alignments of seven chloroplast with one reference genome to determined gene rearrangements was carried out using Mauve v.4.0 [64].

## 3.4. Repeat Analysis in A. altissima Chloroplast Genome

Microsatellites were identified in the tree of heaven chloroplast genome and other selected representative genomes belonging to order Sapindales using an online software MIcroSAtellite (MISA) [65]. The minimum number of repetitions were set to eight repeat units for mononucleotide SSR motifs, five repeat units for dinucleotide SSRs, four for trinucleotide SSRs and three repeat units for tetra-, penta-, and hexanucleotide motifs. The REPuter (https://bibiserv.cebitec.uni-bielefeld.de/reputer) program [66] (University of Bielefeld, Bielefeld, Germany) with default parameters was used to identify the location and sizes of forward, palindromic, complement and reverse repeat sequences in *A. altissima* chloroplast genome.

#### 3.5. Codon Usage and RNA Editing Sites

CodonW1.4.2 (http://downloads.fyxm.net/CodonW-76666.html) was used to analyze codon usage. Subsequently, possible RNA editing sites in *A. altissima* protein coding genes were predicted using the program predictive RNA editor for plants (PREP) suite [67] with the cutoff value set to 0.8. PREP server uses 35 genes as reference for potential RNA editing sites prediction by comparing the predicted protein genes to homologous proteins from other plants.

# 3.6. Phylogenetic Analysis

Seventy five protein coding sequences present in 31 species from order Sapindales and three species from Thymelaeaceae (*Aquilaria sinensis*), Malvaceae (*Theobroma cacao* and *Abelmoschus esculentus*) as outgroups were used for the phylogenetic reconstruction. These species chloroplast genomes were downloaded from GenBank (Table S5). The protein coding sequences alignment was done using GENEIOUS v8.0.2 (Biomatters Ltd., Auckland, New Zealand) [60]. Maximum likelihood (ML) analysis

was carried out using RAxMLversion 8.0.20 (Scientific Computing Group, Heidelberg Institute for Theoretical Studies, Institute of Theoretical Informatics, Karlsruhe Institute of Technology, Karlsruhe, Germany) [68] with 1000 replicates for bootstrap test. Lastly, the jModelTest v2.1.7 [69] was used to select the best substitution model (GTR + I + G).

# 4. Conclusions

In this study, we present the plastome of tree of heaven from family Simaroubaceae which contains about 22 genera and over 100 species. *A. altissima* chloroplast genome possess circular and quadripartite structure which is well conserved similar to other plants chloroplast genomes. Nonetheless, the plastome showed slight variations at the four boundary junctions due to expansion and contraction in SC and IR borders. About 219 SSR loci and 49 repeats sequences were identified in *A. altissima* genome, this provides genetic information for designing DNA molecular markers for analyzing gene pool dynamics and genetic diversity of *A. altissima* natural populations aiming dispersal mechanism of this invasive tree. The phylogenetic analysis performed using 75 protein coding genes of 34 species available at the GenBank database, comprising 3 outgroup species from Malvales and 31 species representing families from order Sapindales. The two species from family Simaroubaceae formed a cluster and were group together with other families to form a single clade (Sapindales). In addition, the RNA editing analysis in *A. altissima* genome identified a total of 54 possible editing sites in 21 chloroplast genes with C-to-U transitions being the most. The availability of this chloroplast genome provides a tool to advance the study of evolution and invasion in *A. altissima* in order to address present evolutionary, ecological and genetic questions regarding this species.

Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/4/929/s1.

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Conflicts of Interest: The authors declare that they have no conflict of interest.

## Abbreviations

- SC Single copy
- LSC Large single copy
- SSC Small single copy
- IR Inverted repeat

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# Article Comparative Analysis of the Chloroplast Genomes of the Chinese Endemic Genus *Urophysa* and Their Contribution to Chloroplast Phylogeny and Adaptive Evolution

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Abstract: Urophysa is a Chinese endemic genus comprising two species, Urophysa rockii and Urophysa henryi. In this study, we sequenced the complete chloroplast (cp) genomes of these two species and of their relative Semiquilegia adoxoides. Illumina sequencing technology was used to compare sequences, elucidate the intra- and interspecies variations, and infer the phylogeny relationship with other Ranunculaceae family species. A typical quadripartite structure was detected, with a genome size from 158,473 to 158,512 bp, consisting of a pair of inverted repeats separated by a small single-copy region and a large single-copy region. We analyzed the nucleotide diversity and repeated sequences components and conducted a positive selection analysis by the codon-based substitution on single-copy coding sequence (CDS). Seven regions were found to possess relatively high nucleotide diversity, and numerous variable repeats and simple sequence repeats (SSR) markers were detected. Six single-copy genes (atpA, rpl20, psaA, atpB, ndhI, and rbcL) resulted to have high posterior probabilities of codon sites in the positive selection analysis, which means that the six genes may be under a great selection pressure. The visualization results of the six genes showed that the amino acid properties across each column of all species are variable in different genera. All these regions with high nucleotide diversity, abundant repeats, and under positive selection will provide potential plastid markers for further taxonomic, phylogenetic, and population genetics studies in Urophysa and its relatives. Phylogenetic analyses based on the 79 single-copy genes, the whole complete genome sequences, and all CDS sequences showed same topologies with high support, and *U. rockii* was closely clustered with *U. henryi* within the *Urophysa* genus, with *S. adoxoides* as their closest relative. Therefore, the complete cp genomes in Urophysa species provide interesting insights and valuable information that can be used to identify related species and reconstruct their phylogeny.

Keywords: Urophysa; Semiaquilegia adoxoides; cp genome; repeat analysis; SSRs; positive selection analysis; phylogeny

# 1. Introduction

The genus *Urophysa* (Ranunculaceae) is a Chinese endemic genus with only two species, *Urophysa rockii* Ulbr. and *Urophysa henryi* (Oliv.) Ulbr. *U. rockii* is an extremely rare species with fewer than 2000 individuals living in Jiangyou, a Sichuan province of China, and *U. henryi* is distributed in Guizhou, south Chongqing, north Hunan, and west Hubei [1]. The two species' natural populations are restricted to small and isolated areas separated by high mountains and deep valleys and grow in steep and karstic cliffs with dramatically shrinking and fragmenting natural distributions [2]. In addition,

the plants are collected for Chinese traditional medicine for the treatment of contusions and bruises, which contributed to the decline of their populations [3]. Previous studies on the genus *Urophysa* are scarce and mainly focused on the endangered *U. rockii*, its growing environment and conservation strategies [4], its biological and ecological characteristics, and its reproductive biology [5,6]. A recent study suggested that the uplift of the Yungui Plateau played an important role in the species divergence of *Urophysa* [2]. However, the chloroplast DNA (cpDNA) phylogeny showed inconsistency with the nuclear ribosomal DNA (nrDNA). Hence, to gain a better insight into the relationship of these two species and understand their genome structure so as to facilitate their speciation process and the conservation of *U. rockii*, we assembled and characterized the complete chloroplast genome sequence of *U. rockii* and *U. henryi* using the Illumina paired-end sequencing reads.

The angiosperm cp genome is one of the three DNA genomes (the other two are nuclear and mitochondrial genome), is uniparentally inherited, and has a high conserved circular DNA arrangement [7]. It is widely considered an informative and valuable resource for investigating evolutionary biology because of its relatively stable genome structure, gene content, and gene order [8–13]. The cp genome of plants always ranges from 115 to 210 kb and has a quadripartite structure that is typically composed of two copies of inverted repeat (IR) regions, which are separated by a large single-copy (LSC) region and a small single-copy (SSC) region [14–16]. Because of its compact size, less recombination, and maternal inheritance, the cp genome has been used to generate genetic markers for phylogenetic analysis [17,18], molecular identification [19], and divergence dating [20]. Especially, the low evolutionary rate of the cp genome in taxa that are not very young makes it an ideal system for assessing plant phylogeny [21].

In the present study, we report the complete chloroplast genome sequences of these two *Urophysa* species and their relative *Semiquilegia adoxoides* for the first time. Combining previously reported cp genome sequences, we performed phylogenetic analyses according to the whole cp genome and shared single-copy genes. Our findings will contribute to our understanding of the evolutionary history of the genus *Urophysa*. Additionally, highly variable regions and genes that were detected to be under positive selection could be employed to develop potential markers for phylogenetic analyses or candidates for DNA barcoding in future studies.

#### 2. Results and Discussion

#### 2.1. Complete Chloroplast Genomes of Three Species

The complete chloroplast genome of *U. rockii, U. henryi*, and *S. adoxoides* showed a single circular molecule with a typical quadripartite structure (Figure 1). The sizes of the *U. rockii, U. henry*, and *S. adoxoides* cp genomes were found to be 158,512 bp, 158,303, and 158,340 bp, respectively, which are in the range of most angiosperm plastid genomes [22]. The cp genome consists of a pair of IRs (IRa and IRb, with length 26,473–26,584 bp), separated by a LSC (87,031–87,202 bp) region and one SSC (18,192–18,220 bp) region (Table 1). The GC content of each species was very similar in the whole cp genome and the same region (LSC, SSC, and IR), but in the IR regions it was clearly higher than in the other regions, possibly because of the high GC content of the rRNA (55.8%) that was located in the IR regions (Table 2). These results are similar to a previously reported high GC percentage in IR regions [23–25].

The genomes contain 87 coding genes, 36 transfer RNA genes (tRNA), and 8 ribosomal RNA genes (rRNA) (Table 3). Most of the genes occur as a single copy in LSC or SSC regions, while 18 genes are duplicated in the IR regions, including seven protein-coding genes (*ndhB*, *rpl2*, *rpl23*, *rps7*, *rps12*, *rps19*, *ycf2*), seven tRNA species (*trnA-UGC*, *trnI-CAU*, *trnI-GAU*, *trnL-CAA*, *trnN-GUU*, *trnR-ACG*, and *trnV-GAC*) and four rRNA species (*rrn4.5*, *rrn5*, *rrn16*, and *rrn23*). The gene *ycf1* straddles the SSC and IRs, while *rps12* locates its first exon in the LSC region and two other exons in the IRs. The LSC region comprises 63 protein-coding genes and 21 tRNA genes, whereas the SSC and IR regions include 12 and 7 protein-coding genes, with one and seven tRNA, respectively. The protein-coding genes

present in the *U. rockii* cp genome include 9 genes encoding large ribosomal proteins (*rpl2*, *rpl14*, *rpl16*, *rpl20*, *rpl22*, *rpl23*, *rpl32*, *rpl33*, *rpl36*) and 12 genes encoding small ribosomal proteins (*rps2*, *rps3*, *rps4*, *rps7*, *rps8*, *rps11*, *rps12*, *rps14*, *rps15*, *rps16*, *rps18*, *rps19*). There are 5 genes encoding phytosystem I subunits (*psaA*, *psaB*, *psaC*, *psaI*, *psaJ*), along with 15 genes related to photosystem II subunits (*psbA*, *psbB*, *psbC*, *psbD*, *psbE*, *psbH*, *psbI*, *psbJ*, *psbK*, *psbL*, *psbM*, *psbT*, *psbZ*) (Table 3). Six genes (*atpA*, *atpB*, *atpE*, *atpF*, *atpH*, *atpI*) encode ATP synthase and electron transport chain components (Table 3). A similar pattern of protein-coding genes is also present in *U. henryi* and *S. adoxoides*. There are eight intron-containing genes, six of which contain one intron; only the genes *clpP* and *ycf3* have two introns (Table S1). All these eight genes possess at least two exons, and *ycf3* has three exons. The *rps16* gene has the longest intron (866 bp), and *rpoC1* has the longest exon (1613 bp).



**Figure 1.** Gene maps of the *Urophysa rockii*, *Urophysa henryi* and *Semiquilegia adoxoides* chloroplast (cp) genomes. Genes shown inside the circle are transcribed clockwise, and those outside are transcribed counterclockwise. Genes belonging to different functional groups are color-coded. The darker gray color in the inner circle corresponds to the GC content, and the lighter gray color corresponds to the AT content. SSU: small subunit; LSU: large subunit; ORF: open reading frame.

		LSC			SSC			IR		Total	
opecies	Length (bp)	GC%	Length (%)	Length (bp)	GC%	Length (%)	Length (bp)	GC%	Length (%)	Length (bp)	GC%
U. rockii	87,128	37.2	55.0	18,216	32.5	11.5	26,584	43.7	16.8	158,512	38.8
U. henryi	87,031	37.2	55.0	18,260	32.6	11.5	26,506	43.6	16.7	158,303	38.8
S. adoxoides	87,202	37.2	55.1	18,192	32.5	11.5	26,473	43.7	16.7	158,340	38.9
Tsuga chinensis	88,522	36.3	55.3	18,405	32.0	11.5	26,632	43.1	16.6	160,191	38.1
Aconitum austrokoreense	86,362	36.2	55.4	16,948	32.7	10.9	26,291	43.0	16.9	155,892	38.1
A. kusnezoffii	86,335	36.2	55.4	16,945	32.7	10.9	26,291	43.0	16.9	155,862	38.1
A. volubile	86,348	36.2	55.4	16,944	32.6	10.9	26,290	43.0	16.9	155,872	38.1
Ranunculus macranthus	84,637	36.0	54.6	18,909	31.0	12.2	25,791	43.5	16.6	155,129	37.9
R. occidentalis	83,532	35.9	54.1	21,269	31.6	13.8	24,831	43.6	16.1	154,474	37.8
R. austro-oreganus	83,582	35.9	54.1	21,249	31.6	13.8	24,831	43.6	16.1	154,493	37.8
Clematis terniflora	79,328	36.3	49.7	18,110	31.4	11.4	31,045	42.0	19.5	159,528	38.0
Coptis chinensis	84,567	36.4	54.4	17,376	32.1	11.2	26,762	43.0	17.2	155,484	38.2

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Table 2. Comparison of the sizes of coding and non-coding regions among species.

C	Pro	tein-Coding			tRNA			rRNA	
Salado	Length (bp)	GC%	Length (%)	Length (bp)	GC%	Length (%)	Length (bp)	GC%	Length (%)
U. rockii	78,867	39.2	49.8	2687	53.2	1.7	8602	55.8	5.4
U. henryi	78,769	39.2	49.8	2695	53.3	1.7	8602	55.8	5.4
S. a doxoides	78,498	39.3	49.6	2706	53.6	1.7	8602	55.8	5.4
T. chinensis	78,903	38.4	49.3	2716	53.1	1.7	9050	55.4	5.6
A. austrokoreense	79,575	38.3	51.0	2810	53.0	1.8	9050	55.4	5.8
A. kusnezoffii	78,294	38.4	50.2	2813	52.9	1.8	9046	55.3	5.8
A. volubile	79,560	38.3	51.0	2810	53.0	1.8	9050	55.5	5.8
R. macranthus	78,615	38.2	50.7	2738	53.1	1.8	7559	55.2	4.9
R. occidentalis	69,294	38.6	44.9	2717	53.1	1.8	9050	55.4	5.9
R. austro-oreganus	74,355	38.1	48.1	2796	52.9	1.8	9050	55.4	5.9
C. terniflora	81,819	38.3	51.3	2718	53.4	1.7	9050	55.4	5.7
C. chinensis	71,637	39.0	46.1	2716	53.2	1.7	9050	55.5	5.8

	2	4
Category for Genes	Group of Genes	Name of Genes
Self-replication	transfer RNAs	<pre>tmA-uGC *, tmC-GCA, tmD-GUC, tmE-UUC, tmF-GAA, tmjM-CAU, tmG-GCC, tmG-UCC, tm1-CAU *, tmL-UAG, tmL-UUU, tmL-CAA *, tm1-UAA, tmL-UAG, tmM-CAU, tmN-GUU *, tmP-UGG, tmQ-UUG, tmR-ACG *, tmN-UCU, tm7-UGU, tmV-GAC *, tmV-UAC, tmW-CCA, tm1-UGU, tmV-GAC *, tmV-UAC, tmW-CCA,</pre>
1	ribosomal RNAs RNA rodymersee	rrn4.5 *, rrna5 *, rrn16 *, rrn23 * rnoA rnoR rnoC1 rnoC7
Ι	Small subunit of ribosomal proteins (SSU)	rps2, rps3, rps4, rps7 *, rps8, rps11, rps12 *, rps14, rps15, rps19 *
I	Large subunit of ribosomal proteins (LSU)	rpl2 *, rpl14, rpl16, rpl20, rpl22, rpl23 *, rpl32, rpl33, rpl36
	Subunits of NADH-dehydrogenase	ndhA, ndhB *, ndhC, ndhD, ndhE, ndhE, ndhG, ndhH, ndhI, ndhI, ndhK
Genes for	Subunits of photosystem I	psaA, psaB, psaC, psaI, psaJ
photosynthesis	Subunits of photosystem II	psbA, psbB, psbC, psbD, psbE, psbF, psbH, psbl, psbJ, psbK, psbL, psbM, psbN, psbT, psbZ
1	Subunits of cytochrome b/f complex	petA, petB, petD, petG, petL, petN
	Subunits of ATP synthase	atpA, atpB, atpE, atpF, atpH, atpI
I	Large subunit of rubisco	rbcL
	Tanslational initiation factor	infA
I	Protease	ctpP
Other genes	Maturase	matK
1	Subunit of Acetyl-CoA-carboxylase	accD
1	Envelope membrane protein	cemA
1	C-type cytochrome synthesis gene	ccsA
Genes of unknown function	hypothetical chloroplast reading frames (ycf)	ycf1 *, ycf2 *, ycf8, ycf4

\* Gene with two copies.

Table 3. List of genes encoded in two Urophysa species and S. adoxoides.

#### 2.2. Repeat Analysis

Chloroplast repeats are potentially useful genetic resources to investigate population genetics and biogeography of allied taxa [26]. Analyses of various cp genomes revealed that repeat sequences are essential to induce indels and substitutions [27]. Repeat analysis of the *U. rockii* cp genome revealed 22 palindromic repeats, 23 forward repeats, 5 reverse, and 1 complement repeats. Among them, 16 palindromic, 18 forward, and 5 reverse repeats are 20–40 bp in length. Six palindromic and five forward repeats are 41–60 in length (Figure 2). Similarly, 23 and 25 palindromic repeats, 21 and 22 forward repeats, 5 and 2 reverse repeats, and 1 complement repeats were detected, and the detailed repeats length distributions are shown in Figure 2. The number and length of the repeats indicate that *U. rockii* is more similar to *U. henryi* than to *S. aquilegia*. Previous studies suggested that the slipped-strand mispairing and improper recombination of repeat sequences can result in sequence variation and genome rearrangement [28–30]. These repeats are informative sources for developing genetic markers for phylogenetic and population studies [31].



**Figure 2.** Analysis of repeated sequences in *U. rockii, U. henryi,* and *S. adoxoides* chloroplast genomes. (A) Total of four repeat types; (B) Frequency of the palindromic repeat by length; (C) Frequency of the forward repeat by length; (D) Frequency of the reverse repeat by length.

Simple sequence repeats (SSRs) in the cp genome can be highly variable at the intra-specific level and are therefore often used as genetic markers in population genetic and evolutionary studies [12,32–34]. Because of a high polymorphism rate at the species level, SSRs have been

recognized as one of the main sources of molecular markers and have been extensively researched in phylogenetic and biogeographic studies of populations [35–37]. In this study, we analyzed the SSRs in the cp genomes. Five categories of perfect SSRs (mono-, di-, tri-, tetra-, and penta-nucleotide repeats) were detected in the cp genome of these three species, with an overall length ranging from 10 to 26 bp (Figure 3, Table S2). Certain parameters were set, because SSRs of 10 bp or longer are prone to slipped-strand mispairing, which is believed to be the main mutational mechanism for polymorphism [38–40].



**Figure 3.** Analysis of simple sequence repeats (SSRs) in chloroplast genomes of the three species. (A) Number of different SSR types detected in each species; (B) type and frequency of each identified SSR.

A total of 169 microsatellites were detected in the *U. rockii* cp genome on the basis of the SSR analysis. Similarly, 171 and 174 SSRs were detected in *U. henryi* and *S. adoxoides*, respectively (Figure 3A). The most abundant were tri-nucleotide repeats, which accounted for about 33.85% of the total SSRs, and whose number varies from 56 in *U. rockii* to 60 in *S. adoxoides*, followed by mono-nucleotide repeats (27.63%), di-nucleotide repeats (26.46%), and tetra-nucleotides repeats (11.28%). Penta-nucleotide repeats were the least abundant (0.78%; Figure 3, Table S2). Most previous studies revealed that the richness of SSR types varies between species. In *Quercus* species, mono-nucleotide repeats are the most abundant, accounting for about 80% of the total SSRs [34]. In the cp genome of *Forthysia*, the number of di-nucleotide repeat is the highest [41]. Tri-nucleotide SSRs are most abundant in *Nicotiana species*, accounting for approximately 43.03% [42]. These results

suggest that different repeats may contribute to the genetic variations differently among species. Thus, the SSR information will be important for understanding the genetic diversity status of *Urophysa* and its relatives.

In U. rockii, more than 96.2% mono-nucleotides are composed of A/T, and a majority of di-nucleotides (84.9%) is composed of A/T (Figure 3B, Table S2), which is consistent with U. henryi (97.8% mono-nucleotides and 83.0% di-nucleotides) and S. aquilegia (97.9% mono-nucleotides and 85.6% di-nucleotides). Our findings are comparable to previously reported observations that SSRs found in the chloroplast genome are generally composed of poly-thymine (polyT) or poly-adenine (polyA) repeats and infrequently contain tandem cytosine (C) and guanine (G) repeats [43]. Therefore, these SSRs contribute to the AT richness of the three species cp genome, as previously reported for different species [43,44]. SSRs were also detected in CDS regions of the U. rockii cp genome. The CDS regions account for approximately 49% of the total length. About 68.6% of SSRs (68.4% for U. henryi and 67.2% for S. adoxoides) were detected in non-coding regions, whereas only 28.9% of SSRs (29.2% for U. henryi and 30.5% for S. adoxoides) are present in the protein-coding region of U. rockii. Furthermore, about 62.1% of SSRs are present in the LSC region of U. rockii (66.1% for U. henryi and 68.9% for S. adoxoides), and a minority of SSRs exist in IR regions (17.8% in IRa and IRb in total). It was observed that 49 SSRs (28.9%) were located in 19 genes (CDS) regions (atpF, rpoC1, rpoC2, rps14, rps15, rps19, psaB, psaA, rbcL, rpl33, rpl22, ndhB, ndhD, ndhF, ndhH, ccsA, ycf1, ycf2, ycf3) in U. rockii. The detailed SSR location information is listed in Table S2. These results suggest an uneven distribution of SSRs in the *U. rockii*, *U. henryi*, and *S. adoxoides* cp genomes, as was also reported in different angiosperm cp genomes [44]. Moreover, the cp SSRs of the three species presented abundant variation and are useful for detecting genetic polymorphisms at population, intraspecific, and cultivar levels, as well as for comparing more distant phylogenetic relationships among species.

## 2.3. Genomes Sequence Divergence among the Three Species

In order to calculate the sequence divergence level, the nucleotide diversity values in the LSC, SSC, and IR regions of the chloroplast genomes were calculated (Figure 4, Table S3). In the LSC regions, these values varied from 0 to 0.05496, with a mean of 0.00705, in the IR regions they varied from 0 to 0.01265, with a mean of 0.00363, and only the SSC region had >0.010 average sequence nucleotide diversity, and its values varied from 0 to 0.02369, with a mean of 0.01048. All these results indicated that the differences among these genome regions were small. However, some highly variable loci, including *trnK-UUU*, *trnG-UCC*, *trnD-GUC*, *atpF*, *rps4*, *trnL-UAA*, *accD*, *cemA*, *rpl36*, *rpl22*, *rps19*, *ndhF*, *trnL-UAG*, *ccsA*, *ndhA*, and *ycf3* were more precisely located (Figure 4, Table S3). All these regions displayed higher nucleotide diversity values than other regions (value > 0.015). Twelve of these loci were found to be located in the LSC region, and four in the SSC region, but the nucleotide diversity in the IR regions appeared small, less than 0.015. Among these loci, *atpF*, *accD*, *ndhF*, *tpl22*, *ccsA*, and *ycf3* have been detected as highly variable regions in different plants [19,23,45,46]. On the basis of these results, we believe that *accD*, *rps4*, *ccsA*, *rpl36*, and *ndhF*, which have comparatively high sequence deviation, are good sources for interspecies phylogenetic analysis, as shown in previous studies [42,44].

Expansion and contraction at the borders of IR regions is the main reason for size variations in the cp genome and plays a vital role in its evolution [39,47,48]. The IR/LSC and IR/SSC junction regions were compared to identify IR expansion or contraction. The *rps19*, *ndhF*, *ycf1*, and *psbA* genes were located in the junctions of the LSC/IRa, IRa/SSC, SSC/IRb, and IRb/LSC regions, respectively (Figure 5). Despite the similar length of these three species IR regions, from 26,473 to 26,584 bp, some IR expansion and contraction were observed. The *rps19* gene traverses the LSC and IRb regions (LR line), with 104 bp located in the IR region. The RS line (the junction line between IRb and SSC) is located between *ycf1* and *ndhF*, and the variation in distances between the RS line and *ndhF* ranges from 33 to 36 bp across the three species. The SR line (the junction line between SSC and IRa) intersects the ycf1 gene, the SSC and IRa regions are the same in *U. rockii* and *U. henryi* (4259 bp in SSC and 1081 bp in IRb), while different in *S. adoxoides* (4229 bp in SSC and 1084 bp in IRb) (Figure 5). The distance between the *psbA* and RL line varies from 386 to 403 bp.

Compared to species of other genera, the IRb/SSC and SSC/IRa regions of *Urophysa* showed an expansion in *ycf1*, but a contraction in *rps19* (Figure 5). The expansion and contraction detected in the IR regions may act as a primary mechanism in creating the length variation of the cp genomes in *U. rockii*, *U. henryi*, and *S. adoxoides*, as previous studies suggested [32,34,42,49].



Figure 4. The nucleotide diversity of the whole chloroplast genomes of the three species. LSC: large single-copy region; IRs: inverted repeats region; SSC: small single-copy region.



**Figure 5.** Comparison of the borders of the LSC, SSC, and IR regions of the chloroplast genomes of the three species. LR: junction line between LSC and IRb; RS: junction line between IRb and SSC; SR: junction line between SSC and IRa; RL: junction line between IRa and LSC.

#### 2.4. Phylogenetic Analysis

To study the phylogenetic position of U. rockii and U. henryi within the Ranunculaceae family, we used 79 single-copy genes shared by the cp genomes of 12 Ranunculaceae members, representing seven genera (Figure 6). For Bayesian inference (BI) and maximum parsimony (MP), the posterior probabilities and bootstrap values were very high for each lineage, with all values >98%. Both the maximum likelihood (ML), BI, and MP phylogenetic results strongly supported that U. rockii is closely clustered with U. henryi within the genus Urophysa, with S. adoxoides as their closest relative with 100% bootstrap value (Figure 6), which is consistent with the results of previous molecular studies [50–52]. Furthermore, the species in each genus formed a single clade. The first clade is formed by species of the genera Urophysa, Semiaquilegia, and Trollius, the second clade was divided into two clades: one clade includes the *Ranunculus* and *Clematis* species, and the other clade consists of just the *Aconitum* species. Additionally, the topological structures from the whole complete chloroplast genome sequences and the CDS sequences are similar to that from single-copy genes (Figure S1), and all lineages possess high bootstrap values. These results suggest that there is no conflict among the entire genome data set, CDS sequences, and 79 shared single-copy genes of these cp genomes. Furthermore, these results are in accord with previous phylogeny research [53]. All these phylogenetic analyses are substantially increasing our understanding of the evolutionary relationship among species in Ranunculaceae.



**Figure 6.** Phylogenetic relationship of *Urophysa* with related species based on 79 single-copy genes shared by all cp genomes. Tree constructed by (**A**) maximum likelihood (ML) with the bootstrap values of ML above the branches; (**B**) maximum parsimony (MP) and Bayesian inference (BI) with bootstrap values of MP and posterior probabilities of BI above the branches, respectively.

## 2.5. Positive Selected Analysis

Of 57 single-copy CDS genes initially considered for the positive selection analysis (Table S4), 47 were eventually selected (Table 4). No significant positive selection was detected for all genes (p-value > 0.05), but six genes that possess high posterior probabilities for codon sites were found in the Bayesian Empirical Bayes (BEB) test (*atpA*, *rpl20*, *psaA*, *atpB*, *ndhI*, and *rbcL*) (Figure 7, Figure S2 and Table 4). Previous studies suggested that codon sites with a high posterior probability should be regarded as positively selected sites [54], which means that these six genes may be under positive selection pressure [55]. After Jalview visualization, the results of the amino acid properties across each column of all species revealed that many amino acids vary between different genera, such as the 88th amino acid (G in U. rockii and U. henryi, R in other species) of the rpl20 gene (Figure 7A) and other amino acids (marked with red blocks in Figure 7A). In the *ndhI* gene, two amino acids (the A in 168th and the P in 174th) were specific for *U. rockii* and *U. henryi*, and three amino acids (the 9th, 148th, and 165th, marked with red blocks in Figure 7B) were only possessed by U. rockii, U. henryi, and *S. adoxoides*. The amino acid properties of the other four genes (*atpA*, *atpB*, *rbcL*, and *psaA*) are shown in Figure S2. As we know, most amino acids may be under strong structural and functional constraints and not free to change [55]. We detected six genes with high posterior probability in codon site and many different amino acids among species, which may play an important role in Urophysa

species evolution and environment adaptation. Populations of *U. rockii* and *U. henryi* are distributed only in karst regions of southern China, and the karst environments are characterized by low soil water content, insufficient light, and poor nutrient availability, which might have exerted strong selective forces on plant evolution [56].



**Figure 7.** Two of the amino acids sequences that showed positive selection in the branch-site model test. (**A**) Amino acids sequences of the *rpl20* gene; (**B**) amino acids sequences of the *ndh1* gene. The red blocks represent the different amino acids.

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Gene Name	lnL	df	Omega ( $\omega = 1$ )	lnL	df	Omega ( $\omega > 1$ )	BEB	NEB	<i>p</i> -Value
Iqsd	-188.6475	26	1	-188.6475	27	3.40383	NA	NA	1
psbL	-164.11693	26	1	-164.1169	27	3.40719	NA	NA	1
rps14	-621.64162	26	1	-621.6416	27	3.40833	NA	NA	1
psal	-214.67663	26	1	-214.6766	27	3.38764	NA	NA	1
atpH	-434.45059	26	1	-434.4506	27	3.35869	NA	NA	1
[bsa]	-318.52192	26	1	-318.5219	27	3.4089	NA	NA	1
atpE	-868.20243	26	1	-868.2024	27	3.40891	NA	NA	-
atpA	-3297.629	26	1	-3297.41	27	69.43581	220, E, 0.794	NA	$5.04 imes 10^{-1}$
petN	-126.25816	26	1	-126.2582	27	3.40693	NA	NA	1
rps11	-920.92455	26	1	-920.9246	27	1	NA	NA	
psbT	-216.52331	26	1	-216.5233	27	1	NA	NA	1
DubhG	-1238.1161	26	1	-1238.116	27	3.33667	NA	NA	$9.99 imes 10^{-1}$
ycf4	-1275.4093	26	1	-1275.409	27	3.40886	NA	NA	1
rps18	-567.98294	26	1	-567.9829	27	3.39414	NA	NA	1
petB	-1274.0507	26	1	-1274.051	27	3.403	NA	NA	1
rp120	-1000.285	26	1	-999.941	27	112.30316	88, R, 0.683	NA	$4.07  imes 10^{-1}$
Ndsq	-223.7602	26	1	-223.7602	27	3.40292	NA	NA	1
psbF	-198.46733	26	1	-198.4673	27	3.38407	NA	NA	1
petG	-206.74878	26	1	-206.7488	27	3.42095	NA	NA	1
psbK	-375.13705	26	1	-375.1371	27	3.4063	NA	NA	1
rp136	-267.8099	26	1	-267.8099	27	1	NA	NA	1
rps2	-1620.734	26	1	-1620.734	27	3.40891	NA	NA	1
Mdsq	-179.71897	26	1	-179.719	27	3.4064	NA	NA	1
rpoB	-6830.0894	26	1	-6830.089	27	3.40847	NA	NA	$9.99 imes 10^{-1}$
psaA	-4245.754	26	1	-4245.49	27	63.47379	28, R, 0.778	NA	$4.66 imes10^{-1}$
Hqsd	-540.92362	26	1	-540.9236	27	3.40123	NA	NA	1
ndhE	-616.75534	26	1	-616.7553	27	3.40218	NA	NA	1
atpB	-3133.747	26	1	-3133.75	27	1	115, N, 0.828	NA	1
IdhI	-1307.986	26	1	-1307.68	27	575.22179	174, S, 0.696	NA	$4.35 imes 10^{-1}$
сетА	-1787.561	26	1	-1787.561	27	3.40891	NA	NA	1
IndhJ	-1001.4075	26	1	-1001.407	27	1	NA	NA	1
[qsd	-209.10513	26	1	-209.1051	27	3.38566	NA	NA	1

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Conc Mono	Z	lull Hypotl	nesis	Alter	rnative Hy <sub>l</sub>	pothesis	Signi	ificance Test	
ALLE INALLE	lnL	df	Omega ( $\omega = 1$ )	lnL	df	Omega ( $\omega > 1$ )	BEB	NEB	<i>p</i> -Value
petA	-1331.3789	26	1	-1331.379	27	3.4089	NA	NA	1
psbC	-2760.6743	26	1	-2760.674	27	1	NA	NA	1
Hypu	-2643.2896	26	1	-2643.29	27	1	NA	NA	$9.98 imes 10^{-1}$
rbcL	-2937.477	26	1	-2937.41	27	5.22178	440, E, 0.736	NA	$7.20 imes10^{-1}$
clpP	-1301.1173	26	1	-1301.117	27	3.40876	NA	NA	1
ndhC	-731.03212	26	1	-731.0321	27	3.33544	NA	NA	1
ycf3	-935.76375	26	1	-935.7638	27	3.40891	NA	NA	
psbD	-1922.7755	26	1	-1922.775	27	3.38592	NA	NA	-
psbA	-1960.3785	26	1	-1960.379	27	3.39639	NA	NA	1
petL	-172.24809	26	1	-172.2481	27	3.40087	NA	NA	1
rp133	-413.59385	26	1	-413.5939	27	3.4089	NA	NA	
psbE	-435.90511	26	1	-435.9051	27	3.40785	NA	NA	1
psaC	-498.98549	26	1	-498.9855	27	3.408	NA	NA	1
atpI	-1445.5558	26	1	-1445.556	27	3.39588	NA	NA	1
psaB	-4069.2947	26	1	-4069.295	27	3.41513	NA	NA	1
	Bold types are pos	sitively select	ted sites. BEB: Bayesian	Empirical Bayes; I	NEB: Naïve l	Empirical Bayes; Amino ¿	acid: (E: Glu; R: Arg; N:	: Asn; S: Ser).	

However, five of the abovementioned six genes are involved in photosynthesis (*atpA*, *psaA*, *atpB*, *ndhI*, and *rbcL*) (Table 3). The gene *rpl20* is involved in translation, which is an important part of protein synthesis [57]. The genes *atpA* and *atpB* participate in ATP synthesis, which is the main source of energy for the functioning of living cells and all multicellular organisms [58]. Additionally, *rbcL* is the gene for the Rubisco large subunit protein, which is an important component of photosynthetic electron transport [59,60]. Most previous research has revealed that positive selection of the *rbcL* gene in land plants may be a common phenomenon [61]. All these genes might play important roles when founder effects occur in populations; both changes in selection pressures and genetic drift result in the rapid shift of these genes to a new, coadapted combination. Therefore, all these genes under positive selection give an indication of why U. rockii and U. henryi could adapt to the harsh environment of karst (characterized by low soil water content, periodic water deficiency, and poor nutrient availability). Moreover, the results of the gene effectiveness test (*rbcL* and *rpl20*) (Figure S3) suggested that these genes can distinguish the species of *Urophysa* and its relatives and can be used for future phylogenetic analyses. The six genes will not only provide insights into chloroplast genome evolution of species of Urophysa, but also offer valuable genetic markers for population phylogenomic studies of Urophysa and its close lineages.

#### 3. Materials and Methods

## 3.1. Plant Materials and DNA Extraction

Fresh leaves of *U. rockii*, *U. henryi*, and *S. aquilegia* were collected from Jiangyou (Sichuan, China; coordinates: 31°59′ N, 104°51′ E), Yichang (Hubei, China; coordinates: 30°42′ N, 111°17′ E), and Nanchuan (Chongqing, China; coordinates: 30°04′ N, 90°33′ E), respectively. The fresh leaves from each site were immediately dried with silica gel for further DNA extraction. The total genomic DNA was extracted from leaf tissues with a modified Cetyl Trimethyl Ammonium (CTAB) method [62].

## 3.2. Chloroplast Genome Sequencing and Assembling

All cp genomes were sequenced using an Illumina Hiseq 2500 platform by Biomarker Technologies, Inc. (Beijing, China) In order to eliminate the interference from mitochondrial or nuclear DNAs, all the cp genome reads were extracted by mapping all raw reads to the reference cp genome of *Trollius chinensis* (KX752098) with Burrows Wheeler Alignment (BWA) [63]. High-quality reads were obtained using the CLC Genomics Workbench v7.5 (CLC Bio, Aarhus, Denmark) with the default parameters set. A few gaps in the assembled cp genomes were corrected by Sanger sequencing. The primers were designed using Lasergene 7.1 (DNASTAR, Madison, WI, USA). Primer synthesis and the sequencing of the polymerase chain reaction products were conducted by Sangon Biotech (Shanghai, China). The primers and amplifications are shown in Supplementary Table S5.

#### 3.3. Genome Annotation and Analysis

The complete cp genomes were annotated using the online program DOGMA [64]. The annotation results were checked manually, and the codon positions were adjusted by comparing to a previously homologous gene from various chloroplast genomes present in the database using Geneious R11 (Biomatters, Ltd., Auckland, New Zealand). Furthermore, the OGDRAW1 program [65] was used to draw the circular plastid genome maps. GC content and codon usage were analyzed by the MEGA 6 software [66]. The complete cp genomes of *U. rockii, U. henryi*, and *S. adoxoides* are deposited in the GenBank under the accession numbers MH006686, MH142266, and MH142265, respectively.

## 3.4. Repeat Sequence Characterization and SSRs

Perl script MISA [67] was used to search for microsatellites (mono-, di-, tri-, tetra-, penta-, and hexa-nucleotides) loci in the cp genomes. The minimum numbers (thresholds) of the SSRs were 10, 5, 4, 3, 3, and 3 for mono-, di-, tri-, tetra-, penta-, and hexa-nucleotides, respectively. All the

repeats were manually verified, and redundant results were removed. REPuter was employed to identify repeat sequences, including palindromic, forward, reverse, and complement, within the cp genome [68]. The following conditions for repeat identification were used: (1) Hamming distance of 3; (2) 90% or greater sequence identity; (3) a minimum repeat size of 30 bp.

# 3.5. Phylogenetic Analysis

Phylogenetic analysis was conducted using the single-copy genes of the three taxa, together with nine species downloaded from the NCBI GenBank (Tables S6 and S7). The sequences were aligned using MAFFT v5 [69] in GENEIOUS R11 (Biomatters, Ltd.) with the default parameters set and were manually adjusted in MEGA 6.0 [66]. Maximum parsimony (MP) analyses were conducted using PAUP [70]. All characters were equally weighted, gaps were treated as missing, and character states were treated as unordered. Heuristic search was performed with MULPARS option, tree bisection-reconnection (TBR) branch swapping, and random stepwise addition with 1000 replications. The maximum likelihood (ML) analyses were performed using RAxML 8.0 [71]. For ML analyses, the best-fit model, general time reversible (GTR) + G was used with 1000 bootstrap replicates. Bayesian inference (BI) was performed with Mrbayes v3.2 [72]. The Markov chain Monte Carlo (MCMC) analysis was run for  $1 \times 10^8$  generations. The trees were sampled at every 1000 generations with the first 20% discarded as burn-in. The remaining trees were used to build a 50% majority-rule consensus tree. The stationarity was considered to be reached when the average standard deviation of split frequencies remained below 0.001. Additionally, in order to test the utility of different cp regions, phylogenetic analyses were performed for the complete chloroplast genome sequences and the CDS sequences, respectively.

# 3.6. Chloroplast Genome Nucleotide Diversity and Positive Selected Analysis

The cp genome sequences were aligned using MAFFT v5 [69] and adjusted manually. Furthermore, a sliding window analysis was conducted for nucleotide diversity in LSC, SSC, and IR regions of the cp genomes using the DnaSP version 5.1 [73]. In addition, to identify the genes under positive selection in U. rockii and U. henryi, endemic to special karst environment, an optimized branch-site model [74] combined with Bayesian Empirical Bayes (BEB) methods [55] were used by comparison with their relatives. We firstly extracted all CDS sequences from U. rockii, U. henryi, S. adoxoides, and nine closely related species downloaded from GenBank (Table S6). The single-copy CDS sequences between these twelve species were obtained (see the Table S4). Each single-copy CDS sequence of these twelve species was aligned according to their amino acid sequence alignment generated by MUSCLE [75], and the "number of gaps" in the alignments was further checked. Then, the alignments of the corresponding DNA codon sequences were further trimmed by TRIMAL [76], and the bona fide alignments were used to support the subsequent positive selection analysis. The optimized branch-site model in the CODEML program implemented in the PAML 4 package [77] was used to assess potential positive selection affecting individual codons along a specifically designated lineage, which was set as U. rockii and *U. henryi*. Selective pressure is measured by the ratio ( $\omega$ ) of the nonsynonymous substitution rate (dN) to the synonymous substitutions rate (dS). A ratio  $\omega > 1$  indicates positive selection,  $\omega = 1$  implies neutral selection, and  $\omega < 1$  suggests negative selection [78]. Log-likelihood values were calculated in an alternative branch-site model (Model = 2; NSsites = 2; and Fix = 0) that allowed  $\omega$  to vary among different codons along particular lineages and a neutral branch-site model (Model = 2; NSsites = 2; Fix = 1; Fix  $\omega$  = 1) that confined the codon sites under neutral selection ( $\omega$  = 1) on the basis of the likelihood ratio tests (LRT). The right-tailed chi-square test was performed to calculate the p values based on the difference in log-likelihood values between the alternative model and the neutral model with one degree of freedom to assess the model fit. Then, the p values were further adjusted according to multiple statistical tests [79]. A gene with an adjusted p value smaller than 0.05 and with positively selected sites was considered a positively selected gene (PSG). Moreover, in order to identify specific amino acid sites that are potentially under positive selection, a BEB method was implemented to calculate the posterior probabilities for sites classes. Codon sites with a high posterior probability were

regarded as positively selected sites [54]. Jalview [80] was used to view the amino acid sequences of positively selected genes. In the end, in order to test the effectiveness of genes under positive selection, we randomly chose two genes to conduct the phylogenetic analyses.

Supplementary Materials: Supplementary Materials are available online at http://www.mdpi.com/1422-0067/19/7/1847/s1.

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# Article Exploring the History of Chloroplast Capture in Arabis Using Whole Chloroplast Genome Sequencing

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**Abstract:** Chloroplast capture occurs when the chloroplast of one plant species is introgressed into another plant species. The phylogenies of nuclear and chloroplast markers from East Asian *Arabis* species are incongruent, which indicates hybrid origin and shows chloroplast capture. In the present study, the complete chloroplast genomes of *A. hirsuta, A. nipponica,* and *A. flagellosa* were sequenced in order to analyze their divergence and their relationships. The chloroplast genomes of *A. nipponica* and *A. flagellosa* were sequenced once, divergence between recipient species would be lower than between donor species. However, the chloroplast genomes of species with possible hybrid origins, *A. nipponica* and *A. stelleri*, differ at similar levels to possible maternal donor species *A. flagellosa*, which suggests that multiple hybridization events have occurred in their respective histories. The mitochondrial genomes exhibited similar patterns, while *A. nipponica* and *A. flagellosa* were more similar to each other than to *A. hirsuta*. This suggests that the two organellar genomes were co-transferred during the hybridization history of the East Asian *Arabis* species.

Keywords: Arabis; chloroplast capture; Brassicaceae

# 1. Introduction

The genus *Arabis* includes about 70 species that are distributed throughout the northern hemisphere. The genus previously included many more species, but a large number of these were reclassified into other genera, including *Arabidopsis*, *Turritis*, and *Boechera*, *Crucihimalaya*, *Scapiarabis*, and *Sinoarabis* [1–6]. Because of their highly variable morphology and life histories, *Arabis* species have been used for ecological and evolutionary studies of morphologic and phenotypic traits [7–11]. The whole genome of *Arabis alpina* has been sequenced, providing genomic information for evolutionary analyses [12,13].

Molecular phylogenetic studies of *Arabis* species have been conducted to determine species classification and also correlation to morphological evolution of *Arabis* species [10,14,15]. Despite having similar morphologies, *A. hirsuta* from Europe, North America, and East Asia have been placed in different phylogenetic positions and are now considered distinct species. For example, East Asian *A. hirsuta*, which was previously classified as *A. hirsuta* var. *nipponica*, is now designated as *A. nipponica* [16]. Meanwhile, nuclear ITS sequences indicated that *A. nipponica*, *A. stelleri*, and *A. takeshimana* were closely related to European *A. hirsuta*. However, chloroplast *trnLF* sequences indicated that the species were closely related to East Asian *Arabis* species [14,16]. Such incongruent nuclear and organellar phylogenies have been reported from in other plant species and this is generally known as "chloroplast capture" [17,18], which is a process that involves hybridization and many successive backcrosses [17]. When chloroplast capture happens, the chloroplast genome of a species is replaced by another species' chloroplast genome. *A. nipponica* may have originated

from the hybridization of *A. hirsuta* or *A. sagittata* and East Asian *Arabis* species (similar to *A. serrata, A. paniculata*, and *A. flagellosa*), which act as paternal and maternal parents, respectively [14,16]. However, the evolutionary history and hybridization processes of *A. nipponica* and other East Asian *Arabis* species still need to be clarified. Because these conclusions for incongruence between nuclear and chloroplast phylogenies came from analyzing a small number of short sequences, hybridized species, the divergence level, and the classification of species are somewhat ambiguous. In the present study, the whole chloroplast genomes of three *Arabis* species were sequenced in order to analyze their divergence and evolutionary history. The whole chloroplast genome sequences also provide a basis for future marker development.

# 2. Results

#### 2.1. Chloroplast Genome Structure of Arabis Species

The structures of the whole chloroplast genomes are summarized in Table 1, which also includes previously reported Arabis chloroplast genomes and the chloroplast genome of the closely related species Draba nemorosa. The chloroplast genome structure identified in the present study is shown as a circular map (see Figure 1). The complete chloroplast genomes of the Arabis species had total lengths of 152,866–153,758 base pairs, which included 82,338 to 82,811 base pair long single copy (LSC) regions and 17,938 to 18,156 base pair short single copy (SSC) regions, which were separated by a pair of 26,421 to 26,933 base pair inverted repeat (IR) regions. The structure and length are conserved, and are similar to other Brassicaceae species' chloroplast genome sequences [19–22]. The complete genomes contain 86 protein-coding genes, 37 tRNA genes, and eight rRNA genes. Of these, seven protein-coding genes, seven tRNA genes, and four rRNA genes were located in the IR regions, and were therefore duplicated. The rps16 gene became a pseudogene in A. flagellosa, A. hirsuta, and A. nipponica strain Midori, which was previously reported as a related species [23]. In addition, the rps16 sequences of D. nemorosa, A. stelleri, A. flagellosa, A. hirsuta, and A. nipponica shared a 10 base pair deletion in the first exon, while A. stelleri, A. flagellosa, A. hirsuta, and A. nipponica shared a 1 base pair deletion in the second exon and *D. nemorosa* lacked the second exon entirely. The *rps16* sequence of *A. alpina* also lacked part of the second exon and had mutations in the start and stop codons. Therefore, different patterns of rps16 pseudogenization were observed in A. alpina and the other Arabis species, as was previously suggested [23]. The A. alpina lineage had acquired independent dysfunctional mutation(s). The patterns observed for the European A. hirsuta revealed that the pseudogenization of rps16 in the other Arabis species might not have occurred independently but, instead, occurred before the divergence of D. nemorosa and other Arabis species after splitting from A. alpina.

Table 1. Summary of chloroplast genome structure in Arabis species.

Species	Strain	Nucleotide Length (bp)			GC Contents (%)			NCRI #	Reference		
		Entire	LSC	SSC	IR	Entire	LSC	SSC	IR	- 1(CD1#	Reference
Draba nemorosa	JO21	153289	82457	18126	26353	36.47	34.27	29.3	42.39	AP009373 (NC009272)	
Arabis alpina		152866	82338	17938	26933	36.45	34.21	29.31	42.39	HF934132 (NC023367)	[25]
Arabis hirsuta	Brno	153758	82710	18156	26446	36.4	34.15	29.16	42.41	LC361350	this study
Arabis flagellosa	Kifune	153673	82775	18052	26423	36.4	34.13	29.22	42.41	LC361351	this study
Arabis stelleri		153683	82807	18030	26423	36.39	34.11	29.22	42.42	KY126841	[23]
Arabis nipponica	JO23	153689	82811	18036	26421	36.4	34.1	29.31	42.42	AP009369 (NC009268)	
Arabis nipponica	Midori	153668	82772	18052	26422	36.39	34.1	29.24	42.42	LC361349	this study



**Figure 1.** Chloroplast genome structure of *Arabis* species. Genes shown outside the map circles are transcribed clockwise, while those drawn inside are transcribed counterclockwise. Genes from different functional groups are color-coded according to the key at the top right. The positions of long single copy (LSC), short single copy (SSC), and two inverted repeat (IR: IRA and IRB) regions are shown in the inner circles.

## 2.2. Chloroplast Genome Divergence

Phylogenetic trees were generated by using whole chloroplast genome sequences and concatenated coding sequence (CDS) regions (see Figure 2). The inclusion of other Brassicaceae members revealed that *D. nemorosa* should be placed within *Arabis*, as previously reported [24]. In both trees, the two *A. nipponica* strains were grouped with *A. flagellosa* and *A. stelleri*. Although several nodes were supported by high bootstrap probabilities, the nearly identical sequences of the four East Asian *Arabis* species made them indistinguishable.

The divergence among the *Arabis* chloroplast genomes was shown using a VISTA plot (see Figure 3) and this was summarized in Table 2. The genome sequences of the two Japanese *A. nipponica* strains differed by only 55 nucleotide substitutions (0.036% per site), while those of *A. hirsuta* and *A. nipponica* differed by about 3500 sites (2.4% per site). The chloroplast genomes of *A. nipponica* and the other two East Asian *Arabis* species were also very similar (~100 nucleotide differences, <0.1% per site). Additionally, the 35 CDS regions, 29 tRNA genes, and four rRNA genes of the four East Asian *Arabis* species were identical, with three, 27, and four, respectively, also found to be identical in *A. hirsuta*. The levels of divergence between the East Asian *Arabis* species were similar to previously

reported levels of variation within the local *A. alpina* population, in which 130 SNPs were identified among 24 individuals (Waterson's  $\theta = 0.02\%$ ) [25]. If the hybridization event had facilitated chloroplast capture, the divergence between the *A. stelleri* and *A. nipponica* chloroplast genomes should have been less than their divergence from *A. flagellosa*. However, the divergence between the potential hybrid-origin species (*A. stelleri* and *A. nipponica*: 0.068 to 0.085) was similar to their divergence from *A. flagellosa* (0.056 to 0.086). Although the level of divergence was too low to make reliable comparisons, it is possible that *A. stelleri* and *A. nipponica* originated from independent hybridization events or the introgression process may still be ongoing.



**Figure 2.** Chloroplast genome-based phylogenetic trees of *Arabis* species. The neighbor-joining trees were constructed using both (**A**) whole chloroplast genomes and (**B**) synonymous divergence from concatenated CDS. Numbers beside the nodes indicate bootstrap probabilities (%). Scale bars are shown at the bottom left of each tree.

	Compared Speci	# of Differences	Divergence (%: Ks with JC Correction)	
Draba nemorosa	vs.	Arabis alpina	4475	2.976
Draba nemorosa	vs.	Arabis hirsuta	4219	2.801
Draba nemorosa	vs.	Arabis flagellosa	4262	2.765
Draba nemorosa	vs.	Arabis stelleri	4171	2.771
Draba nemorosa	vs.	Arabis nipponica (JO23)	4150	2.757
Draba nemorosa	vs.	Arabis nipponica (Midori)	4131	2.745
Arabis alpina	vs.	Arabis hirsuta	3566	2.366
Arabis alpina	vs.	Arabis flagellosa	3571	2.371
Arabis alpina	vs.	Arabis stelleri	3565	2.366
Arabis alpina	vs.	Arabis nipponica (JO23)	3564	2.366
Arabis alpina	vs.	Arabis nipponica (Midori)	3547	2.355
Arabis hirsuta	vs.	Arabis flagellosa	1245	0.815
Arabis hirsuta	vs.	Arabis stelleri	1253	0.82
Arabis hirsuta	vs.	Arabis nipponica (JO23)	1234	0.808
Arabis hirsuta	vs.	Arabis nipponica (Midori)	1214	0.795
Arabis flagellosa	vs.	Arabis stelleri	132	0.086
Arabis flagellosa	vs.	Arabis nipponica (JO23)	111	0.072
Arabis flagellosa	vs.	Arabis nipponica (Midori)	86	0.056
Arabis stelleri	vs.	Arabis nipponica (JO23)	130	0.085
Arabis stelleri	vs.	Arabis nipponica (Midori)	104	0.068
Arabis nipponica (JO23)	vs.	Arabis nipponica (Midori)	55	0.036

Table 2. Divergence between species.



**Figure 3.** Alignment of the seven chloroplast genomes. VISTA-based identity plots of chloroplast genomes from six *Arabis* species and *Draba nemorosa* are compared to *A. nipponica* strain Midori. Arrows above the alignment indicate genes and their orientation. The names of genes  $\geq$ 500 bp in length are also shown. A 70% identity cut-off was used for making the plots, and the Y-axis represents percent identity (50–100%), while the X-axis represents the location in the chloroplast genome. The blue and pink regions indicate genes and conserved noncoding sequences, respectively.

# 2.3. Distribution of Simple Sequence Repeats in the Chloroplast Genomes

Because the extremely low divergence among the East Asian *Arabis* species made it difficult to resolve their evolutionary relationships, other highly variable markers were needed. Therefore, simple sequence repeat (SSR) regions throughout the chloroplast genome were assessed for their ability to provide high-resolution species definition. A total of 74 mono-nucleotide, 22 di-nucleotide, and two tri-nucleotide repeat regions of  $\geq 10$  base pairs in length were identified (see Table 3). However, these repeat regions were still unable to completely resolve the relationships of the East Asian *Arabis* species. Fifty of the 98 SSRs exhibited no variation among the East Asian *Arabis* species, while only 29 SSRs exhibited species-specific variation, including nine in *A. flagellosa*, 15 in *A. stelleri*, four in *A. nipponica* strains, which suggests that they were also species-specific. Although the two *A. nipponica* strains were similar to each other, *A. flagellosa*, *A. stelleri*, and *A. nipponica* differ to a similar degree in terms of of variable SSRs, which suggests that the occurrence of chloroplast capture would be independent or still ongoing. This was suggested by the patterns of nucleotide substitutions.
Posit A. nip	ion in <i>ponica</i>	UNIT		A. nipp	vonica	A. stelleri	A. flagellosa	A. hirsuta	A. alpina
(Midori)	Genome				1000				
from	to			Midori	JO23			13 with 2	29 bp with several
287	318	AT		16	15	15	12	mutations	mutations
1922	1932	A		11	11	9	12	11	9
3929 4258	3938 4270	I T		10	9 18	10	9 17	13	7
7713	7727	T		15	15	15	15	12	15
7729	7738	А		10	10	10	9	10	10
8203	8216	TA		7	6	7	7	6	6
8273	8282	1A AT		5	5	5	5	5	6
8321	8330	TA		5	5	4	5	5	deletion
9677	9690	Т		14	14	T4GT10	15	14	14
9982	9991	TA		5	5	5	5	5	5
11,660	11,669	A T		9	9	9 10	10	10 T3AT6	7 T3AT6
13,010	13,018	T		9	9	10	9	T7AT2	T10AT2
13,810	13,821	ATT		4	4	4	4	4	ATTATATTCTT
14,101	14,110	A	CDC	10	10	14	10	12	10
18,027	18,037		CDS	5	5	5	5	5	5
22,549	22,558	T		10	10	11	10	9	15
25,777	25,786	Т	CDS	10	10	10	10	10	10
27,601	27,611	G		11	11	11	15	12	9
28,808	28,817	1		10	10	9	10	10	15C13G2
30,293	30,310	T		15	15	17	15	12	5
30,830	30,839	А		10	9	11	10	8	6
30,918	30,929	TA		6	6	6	6	6	4
31,260	31,269	AT		5	5	5	5	5	3
35,509	35,516	AT		5	5	5	5	5	5
35,538	35,555	AT		9	9	9	9	3	deletion
41,508	41,522	Т		15	13	11	13	18nt	101nt
41,768	41,778	A		11	12	12	11	A12GA4	11
43,656	43,665	A T		9	10	10 T4AT4AT4	11 T4AT4	A81A2 4	A91A21A2 4
45,038	45,046	Ť		9	9	9	10	8	7
45,771	45,788	Т		18	18	18	18	13	9
46,034	46,057	A		24	24	24	24	16	11
46,116	46,133	TA		5	9	5	8 5	5	3
46,782	46,791	Т		10	11	10	11	14	10
47,368	47,378	А		11	11	12	12	10	13
47,586	47,595	Т		10	10	10	10	13	TCT8
47,624	47,633	A		10	10	11	11	8	7 T3AT10
49,631	49,640	T		10	10	10	10	8	8
50,329	50,340	А		12	12	12	12	11	11
51,202	51,211	TA		5	5	5	5	19nt	deletion
51,215	51,230 53.097	I T	CDS	16	17	17	16	13	13
53,592	53,601	Ċ	CD5	10	10	9	10	9	9
55,477	55,490	Т		14	14	14	14	complement A11	complement A13
55,891	55,906	Т		16	16	16	16	13	15
56,476	56,485	Т		10	10	10	10	10	A218
59,338	59,348	Ť		10	10	9	10	10	4
61,731	61,739	Ċ		9	13	9	12	8	C3AC3
62,108	62,117	TA		5	5	5	5	6	4
62,161	62,182	Т А		22	22	22	31	2nt shorter	2nt shorter
62,202	63,538	A T		9 16	10	9 15	9 T5GT10	A31A3 16	A51A3 7
64,629	64,639	Ť		11	11	11	11	11	T6GT3G
65,636	65,645	С		10	13	11	13	8	C2TCTGC7
66,253	66,262	AT		5	5	5	5	4	7
68,965 68,965	68,977	A T		14 13	14	14	19	17	12
69,965	69,975	Ť		11	11	12	11	11	8
75,328	75,340	А		13	14	14	13	19	14

# Table 3. Simple sequence repeats (SSRs) in Arabis chloroplast genome.

Positi A. nip (Midori)	ion in <i>ponica</i> Genome	UNIT		A. nip	ponica	A. stelleri	A. flagellosa	A. hirsuta	A. alpina
76,614	76,626	Т		13	13	13	13	13	13
78,154	78,162	TTG		3	5	3	3	4	2
80,484	80,493	А		10	11	10	10	10	9
81,019	81,035	Т		17	17	17	17	17	17
81,178	81,191	Т		14	14	14	14	18	8
82,568	82,578	А		11	10	9	10	9	10
83,489	83,498	TA		5	5	5	5	5	4
93,127	93,136	TA		5	5	5	5	5	4
97,975	97,984	А		10	10	10	10	12	9
98,781	98,791	Т		11	11	11	11	10	14
107,287	107,295	AT		5	5	5	5	5	7
107,313	107,324	Т		12	11	13	13	T2(AT)4T7	14
111,481	111,490	TA		5	5	5	5	TA2TGTA	4
111,589	111,598	AT		5	5	5	5	5	10
111,665	111,672	Т		8	8	10	8	7	10
111,801	111,810	А	A7	7CA2	A7CA2	10	A7CA2	A7CA2	A7TAC
112,472	112,481	А		10	10	10	10	11	10
116,836	116,845	Т		10	9	10	11	T7AT3	10
123,173	123,184	Т		12	12	12	12	12	12
123,285	123,383	Т		10	10	10	10	10	10
123,884	123,893	Т		10	10	10	10	10	10
123,975	123,987	Α		13	13	13	13	13	13
124,356	124,365	TA		5	5	5	5	5	5
124,874	124,886	Т		13	13	13	13	13	13
125,029	125,041	А		13	13	13	13	13	13
126,052	125,385	Т		15	15	15	15	15	17
126,087	126,097	Т		11	11	11	11	11	11
126,117	126,128	А		12	12	12	12	12	12
126,952	126,962	Т		11	11	11	11	T8CT2	T8CT2
127,241	127,252	А		12	12	12	12	6	6

Table 3. Cont.

# 2.4. Mitochondrial Genome Analysis

Chloroplast capture could have originated from hybridization events that also affected other cytoplasmic genomes. Due to this, variation in the mitochondrial genome sequences was analyzed. Mapping next-generation sequencing (NGS) reads to the *Eruca vesicaria* mitochondrial genome revealed that 29 sites with five or more mapped reads varied among the *A. nipponica* strain Midori, *A. flagellosa*, and *A. hirsuta* (see Table 4). Twenty-eight of the sites were conserved among *A. nipponica* and *A. flagellosa*. One site was specific to *A. nipponica* and provided 100% support for the relationship between *A. nipponica* and *A. flagellosa*. Even though reliability decreased, 123 of 125 sites with two or more reads (98.4%) also supported the similarity of the *A. nipponica* and *A. flagellosa* mitochondrial genomes. These findings suggest that the hybridization history of the species affects both the chloroplast and the mitochondrial genomes similarly.

Table 4. Nucleotide variation in the mitochondrial g	genome of Arabis species.
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		Number of Mapped Reads			
		5 and More	4 and More	3 and More	2 and More
Number of variable sites	Total	29	46	74	129
Specific to	A. nipponica	1	1	4	12
-	A. flagellosa	0	0	0	3
-	A. hirsuta	14	25	35	62
Shared with	A. flagellosa and A. nipponica	14	19	31	46
-	A. nipponica and A. hirsuta	0	0	1	1
-	A. flagellosa and A. hirsuta	0	0	1	1
-	other type	0	1	2	4

# 3. Discussion

Chloroplast capture results in the incongruence of chloroplast and nuclear phylogenies, which has been reported in many plant taxa and is considered common among plants [17,18,26–37]. Furthermore, it is possible that the introgression of chloroplast genomes occurs more frequently than that of nuclear genomes as a result of uniparental inheritance, lack of recombination, and low selective constraint [38–40]. Chloroplast capture could occur by using several factors including sampling error, convergence, evolutionary rate heterogeneity, wrong lineage sorting, and hybridization/introgression [17]. Introgression-induced chloroplast capture occurred through hybridization between distant but compatible species, which was followed by backcrossing with pollen donor species [41,42].

East Asian Arabis species have previously been reported to show evidence of chloroplast capture [14,16]. More specifically, detailed phylogenetic analyses of nuclear and chloroplast marker genes has suggested that A. nipponica, A. stelleri, and A. takeshimana originated from the hybridization of A. hirsuta (or A. sagittata) and East Asian Arabis species (close to A. serrata, A. paniculata, and A. flagellosa), which act as paternal and maternal parents, respectively [14,16]. In the present study, comparing the whole chloroplast genomes of four plants from three East Asian Arabis species (two A. nipponica, one each of A. stelleri, and A. flagellosa) revealed genome-wide similarities that indicated chloroplast capture by A. nipponica and A. stelleri. The study also compared the species' partial mitochondrial genomes, which indicated a closer relationship between A. nipponica and A. flagellosa than between the former and European A. hirsuta. This suggested that A. nipponica also has a history of mitochondrial capture. This is not surprising, because hybridization and backcrossing could have similar effects on both organellar genomes. Also, cyto-nuclear incompatibility caused by a mitochondrial genome could lead cytoplasmic replacement to exhibit chloroplast capture [17,41,42]. The pattern of variation in the mitochondrial genomes suggested that both the chloroplast and mitochondrial genomes were co-transmitted during the evolutionary history of East Asian Arabis species. Future research should focus on the process of chloroplast (organellar) capture. Simple backcrossing could show the mechanisms of cytoplasm replacement and could produce results in as few as a hundred generations under certain conditions [42]. In the present study, the divergence between the genomes of hybrid-origin species and putative pollen-donor species was similar to the divergence observed within species, which suggests that the hybridization event was relatively recent. Nuclear genome markers are needed to estimate the proportion of parental genome fragments in the current nuclear genome of A. nipponica.

# 4. Materials and Methods

#### 4.1. Plant Materials

*Arabis nipponica* (*A. hirsuta* var. *nipponica*, sampled from Midori, Gifu Prefecture, Japan), *A. flagellosa* (sampled from Kifune, Kyoto Prefecture, Japan), and *A. hirsuta* (strain Brno from Ulm Botanical Garden, Germany) were used in the present study.

# 4.2. DNA Isolation, NGS Sequencing, and Genome Assembly

Chloroplasts were isolated from *A. hirsuta* and *A. nipponica* as described in Okegawa and Motohashi [43]. DNA was isolated from the chloroplasts using the DNeasy Plant Mini Kit (Qiagen, Valencia, CA, USA), while the total DNA was isolated from leaves of *A. flagellosa*. NGS libraries were constructed using the Nextera DNA Sample Preparation Kit (Illumina, San Diego, CA, USA) and sequenced as single-ended reads using the NextSeq500 platform (Illumina). About 2 Gb (1.4 Gb, 12 M clean reads) of sequences were obtained for *A. flagellosa* (43 Mb mapped reads, 282.69× coverage). Additionally, 400 Mb (300 Mb, 2.5 M clean reads) were obtained for both *A. hirsuta* (64 Mb mapped reads, 417.17× coverage) and *A. nipponica* (72 Mb mapped reads, 455.87× coverage). The generated reads were assembled using velvet 1.2.10 [44] and assembled into complete chloroplast genomes by mapping to previously published whole chloroplast genome sequences. Sequence gaps were

resolved using Sanger sequencing. Genes were annotated using DOGMA [45] and BLAST. The newly constructed chloroplast genomes were deposited in the DDBJ database under the accession numbers LC361349-51. Finally, the circular chloroplast genome maps were drawn using OGDRAW [46].

# 4.3. Molecular Evolutionary Analyses

The whole chloroplast genome sequences of *A. nipponica* (strain JO23: AP009369), *A. stelleri* (KY126841) [23], *A. alpina* (HF934132) [25], and *D. nemorosa* (strain JO21: AP009373) in the GenBank were also used. Whole chloroplast sequences were aligned in order to construct neighbor-joining trees with Jukes and Cantor distances. The sequences of 77 known functional genes were linked in a series after excluding initiation and stop codons and were then used for phylogenetic analyses along with sequences from the related clade species *Brassica oleracea* (KR233156) [47], *B. rapa* (DQ231548), *Eutrema salsugineum* (KR584659) [48], *Raphanus sativus* (KJ716483) [49], *Scherenkiella parvula* (KT222186) [48], *Sinapis arvensis* (KU050690), and *Thlaspi arvense* (KX886351) [21] using *A. thaliana* (AP000423) [50] as an outgroup. The synonymous divergence of the concatenated CDS was estimated using the Nei and Gojobori method. All phylogenetic analyses were performed using MEGA 7.0 [51]. Levels of divergence throughout the chloroplast genome were visualized using mVISTA [52] with Shuffle-LAGAN alignment [53].

# 4.4. Mapping NGS Reads to Mitochondrial Genome Sequences

Because the chloroplast isolation method used in the present study did not completely exclude mitochondria, about 1% of the sequence reads were derived from mitochondrial genomes. Although this proportion is too low to be useful for assembling whole mitochondrial genomes, the reads were nevertheless mapped to the mitochondrial genome of *Eruca vesicaria* (KF442616) [54] in order to measure mitochondrial genome divergence. Regions with at least five mapped reads were used for the analysis.

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# Article Mutational Biases and GC-Biased Gene Conversion Affect GC Content in the Plastomes of *Dendrobium* Genus

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**Abstract:** The variation of GC content is a key genome feature because it is associated with fundamental elements of genome organization. However, the reason for this variation is still an open question. Different kinds of hypotheses have been proposed to explain the variation of GC content during genome evolution. However, these hypotheses have not been explicitly investigated in whole plastome sequences. *Dendrobium* is one of the largest genera in the orchid species. Evolutionary studies of the plastomic organization and base composition are limited in this genus. In this study, we obtained the high-quality plastome sequences of *D. loddigesii* and *D. devonianum*. The comparison results showed a nearly identical organization in *Dendrobium* plastomes, indicating that the plastomic organization is highly conserved in *Dendrobium* genus. Furthermore, the impact of three evolutionary forces—selection, mutational biases, and GC-biased gene conversion (gBGC)—on the variation of GC content in *Dendrobium* plastomes was evaluated. Our results revealed: (1) consistent GC content evolution trends and mutational biases in single-copy (SC) and inverted repeats (IRs) regions; and (2) that gBGC has influenced the plastome-wide GC content evolution. These results suggest that both mutational biases and gBGC affect GC content in the plastomes of *Dendrobium* genus.

**Keywords:** *Dendrobium*; plastome assembly; selection; mutational biases; GC-biased gene conversion (gBGC); GC<sub>eq</sub>

# 1. Introduction

Chloroplasts, responsible for photosynthesis and other biosynthesis processes in plants, have essential effects on plant growth and development. Their own genomes (plastomes) are usually uniparentally inherited and highly conserved in their quadripartite structure, which consists of a pair of inverted repeats (IRs) regions and two single-copy (SC) regions [1]. Comparative studies of the plastome sequence have revealed: (1) a relatively higher GC content in IR regions than that of SC regions and (2) varied GC content in different gene and non-coding loci e.g., [2,3]. The variation of GC content is a key genome feature because it is associated with fundamental elements of genome organization [4,5]. For instance, GC-rich regions exhibit higher gene density, more conserved mutation rates, and higher recombination rates, relative to GC-poor regions. Therefore, resolving the origin and causes of the variation in base composition has practical significance for a better understanding of the plastome organization.

Three major kinds of hypotheses have been proposed to explain the variation of GC content in genome evolution. The first hypothesis, "natural selection hypothesis", has suggested that high GC content can be selected for by their thermal stability [4,6]. Natural selection also affects the probability of fixation of a mutation based on the mutation fitness advantage or disadvantage of the organism [7,8].

The second hypothesis, the so-called "mutational biases hypothesis", is that the GC content is driven by the heterogeneous mutational biases along genomes [9]. The third hypothesis involves GC-biased gene conversion (gBGC), a process that takes place during meiotic recombination. The gBGC process prefers repairing DNA mismatches with GC bases and tends to increase the GC content of recombining DNA over evolutionary time [10,11]. This was recently confirmed by a broad range of genome comparison studies in eukaryotes and prokaryotes [12–14]. For example, in yeast genomes, gBGC occurred to repair the mismatches located at the extremities of the conversion regions [15]. The gBGC was also demonstrated to affect the GC content of third codon position and intron regions in grasses genomes [16]. Recently, Wu and Chaw, 2015 measured the gene conversion events of non-coding regions in cycads plastomes and reported the first case of plastome GC-biased gene conversion [17].

However, these hypotheses have not been explicitly investigated in whole plastome sequences. Orchids (Orchidaceae) are the largest family in the monocots, including about 25,000 species in 880 genera and five subfamilies [18]. Recent studies showed a diversified evolution of the plastome sequence among different orchid genera [19,20]. Moreover, orchids also present a peculiar plastomic structure: they exhibit variable IRs that caused the drastic reductions in small single-copy (SSC) regions (e.g., the expansion/contraction of IRs has led to the length of the SSC region in *Vanilla* being only about one-eighth of that in *Goodyera*) [20]. These features result in a variable GC content among different plastome sequences. However, there is still little known about the GC content evolution of the orchid plastome sequences.

Recently, plastome sequences have been made available for more than 20 orchid genera. However, in this study, we chose to focus on the *Dendrobium* genus because it is the only genus of orchids for which more than 30 plastome sequences have been sequenced [21,22]. *Dendrobium* is one of the largest genera in the orchid species. In China, there are about 80 *Dendrobium* species, some of which are well known for their high horticultural and medicinal value [23,24]. Although many plastome sequences have been published, the research of plastomic structure and base composition remains very limited in the *Dendrobium* species. In this study, we surveyed 10 plastomes of *Dendrobium* species, including two newly sequenced ones, and we addressed two key questions: (1) Is the plastome structure conserved among *Dendrobium* species or variable due to the expansion/contraction of IRs? (2) Which evolutionary forces—selection, mutational biases, or gBGC—have a significant impact on GC content in orchid plastomes?

To address the questions mentioned above, the complete plastome of two more *Dendrobium* species (*D. loddigesii* and *D. devonianum*) were sequenced and assembled by two different methods. The plastomic structures among *Dendrobium* plastomes were compared. Moreover, we evaluated the selection forces among the plastid protein-coding genes of 10 *Dendrobium* species. Meanwhile, biased mutations of protein-coding genes and non-coding regions were also measured on the basis of the estimated equilibrium GC content (GC<sub>eq</sub>). Our results suggest that both mutational biases and gBGC affect GC content in the plastomes of the *Dendrobium* genus.

# 2. Results

### 2.1. Plastome Assembly of D. loddigesii and D. devonianum

A total of approximately 3.84 Gb of 150 bp pair-end reads each for *D. loddigesii* and *D. devonianum* was obtained from the Illumina paired-end sequencing. Reads with error probability >0.05 were discarded. After that, the plastomes of *D. loddigesii* and *D. devonianum* were assembled by two different ways: (1) de novo assembly by using SOAPdenovo version 1.12 and (2) using the reference-guided mapping method with CLC Genomics Workbench 6.0.1. For the de novo assembly analysis, 43,509 contigs of *D. loddigesii* and 32,667 contigs of *D. devonianum* were included in the initial assembly. After comparison with plant plastomes, 88 contigs and 67 contigs were obtained with E-values  $<10^{-10}$  and average coverage depth  $>30\times$  for *D. loddigesii* and *D. devonianum*, respectively. Six of these contigs (length >17 kb and coverage depth  $>117\times$ ) resulted in a nearly complete draft genome for

*D. loddigesii*. Four contigs (length >25 kb and coverage depth >172×) were employed for the plastome assembly of *D. devonianum*. After assembly and gap closure, the plastome sequences of *D. loddigesii* and *D. devonianum* were 152,874 bp and 152,215 bp in length, respectively. For the reference-guided mapping analysis, the trimmed reads were mapped to the plastome sequence of *D. moniliforme* (AB893950), which served as a reference sequence. After gap closure, we obtained the plastome sequences of *D. loddigesii* and *D. devonianum* with 149,674 bp and 150,973 bp in length, respectively.

The assembled plastomes of *D. loddigesii* and *D. devonianum* were compared and plotted using mVISTA, with *D. moniliforme* as the reference (Figure S1). The comparison results showed that the differences—including single nucleotide polymorphism (SNP), insertion-deletion (InDel), and sequence repeat—between the plastomes were mainly distributed in the non-coding regions and *ndh* pseudo genes. Each type of these errors was corrected and validated by PCR amplification and Sanger sequencing. After that, the complete plastomes of *D. loddigesii* and *D. devonianum* were obtained with 152,498 bp and 151,715 bp respectively.

#### 2.2. Highly Conserved Plastomic Structure and Organization in Dendrobium Genus

Genome maps of the newly sequenced two *Dendrobium* plastomes, including *D. loddigesii* and *D. devonianum*, were circular, as shown in Figure 1. The plastome contained a pair of inverted repeat (IR) regions, which separated the single-copy (SC) region into large SC (LSC) and small SC (SSC) regions. The LSC, SSC, and IR regions of *D. loddigesii* and *D. devonianum* ranged from 84,089 to 84,897 bp, 14,311 to 16,932 bp, and 25,736 to 25,800 bp, respectively. The overall GC content (37.38–37.56%) was similar with other *Dendrobium* plastomes [20,22], with 35.12–35.36% and 30.54–30.61% in LSC and SSC regions, respectively, and a higher content of 43.51–43.57% in the IR regions (Table 1). The two *Dendrobium* plastomes contained 102 unique functional coding genes, including 30 tRNA genes, four rRNA genes, and 68 protein-coding genes.



**Figure 1.** Genome map of two newly sequenced *Dendrobium* plastomes. Only the plastome of *D. loddigesii* is shown because it has an identical structure with *D. devonianum*. Genes outside and inside the circle are transcribed clockwise and counterclockwise, respectively. LSC: large single-copy; SSC: small single-copy; IR<sub>A</sub> and IR<sub>B</sub>: two identical inverted repeats.

Species	Plastome Length (bp)	LSC Length (bp)	SSC Length (bp)	IR Length (bp)	GC Content (%)	GC Content of LSC (%)	GC Content of SSC (%)	GC Content of IR (%)	Accession
Dendrobium loddigesii	152,493	84,089	16,932	25,736	37.38	35.63	30.54	43.57	LC317044
Dendrobium devonianum	151,715	84,897	14,311	25,800	37.56	35.12	30.61	43.51	LC317045

Table 1. Genome feature of the two newly sequenced Dendrobium plastomes.

Abbreviations: LSC, large single-copy; SSC, small single-copy; IR, inverted repeats.

The plastome sequences of *D. loddigesii*, *D. devonianum*, *D. officinale*, and *D. moniliforme* were used for the plastome comparison (Figure 2). Comparative plastomes of these four *Dendrobium* species revealed distinct loss or retention of *ndh* genes, which indicated that the *ndh* genes have experienced independent loss during their evolution. Compared to the variable expansion/contraction of IRs in different orchid genera, e.g., [20,21], the IRs of plastomes in the *Dendrobium* genus were conserved. Overall, these *Dendrobium* plastomes appeared to have a nearly identical organization reflecting the highly conserved plastomic organization in the *Dendrobium* genus.



**Figure 2.** Dot-plot analysis of the four *Dendrobium* plastomes. The *Dendrobium* plastomes appear to have a nearly identical organization, which indicates that their plastomic organization is highly conserved. The black arrows indicate the different loss/retention of *ndh* genes.

# 2.3. Mutational Biases of Non-Coding Loci Are Associated with the Plastomic Structure

The phylogenetic relationship of these 10 *Dendrobium* species was inferred from the whole plastome sequence (Figure 3). The phylogenetic tree was highly resolved with the support value of all nodes = 100%. This tree was utilized to construct the ancestral sequences of non-coding loci, including intergenic and intronic loci for the 10 *Dendrobium* species. Then, the point mutations between ancestral and current sequences were calculated.

In all examined *Dendrobium* species, the nucleotide mutations were mainly distributed in the non-coding loci of SC regions. The frequencies of transversions are higher than that of transitions in both SC and IR regions. Figure 4 shows the relative rates of the six nucleotide pair mutations. The most common mutation is G/C to A/T mutations in SC regions. Therefore, we divided the nucleotide mutations into two groups: AT-rich (G/C to A/T) and GC-rich (A/T to G/C) mutations

(Table 2). The number of AT-rich mutations was larger than that of GC-rich mutations in the SC regions, while the two types of mutations did not display significant difference in the IR regions. These results indicated that the mutational biases in Dendrobium plastomes are associated with the plastomic structure. Moreover, the contrasting biased mutations between the SC and IR regions were also evident by the GCeg values estimated from the non-coding loci of the SC and IR regions. In line with the counting results shown in Table 2, the GC<sub>eq</sub> values for the SC regions were remarkably smaller than equilibrium ( $GC_{eq} = 50\%$ ) (Figure 5). However, in the IR regions,  $GC_{eq}$  values of all *Dendrobium* species were greater than 50%, except for D. loddigesii, D. lohohense, and D. salaccense (with GCeq values 44.83%, 42.86% and 33.97%, respectively). This result disagreed with the data shown in Table 2, that the IR regions for Dendrobium species have no significant difference between GC-rich and AT-rich mutations. Because only few mutations were detected in the IR regions, the data shown in Table 1 might not precisely reflect the mutational biases of non-coding loci. Thus, based on the estimated GCeq values, the mutational biases in the IR region were toward GC-richness. Considering the different mutational trends in Dendrobium plastomes: (1) toward AT-richness in SC regions and (2) toward GC-richness in IR regions, we proposed that the mutational biases of non-coding loci are associated with the plastomic structure in Dendrobium species.



**Figure 3.** The BI tree inferred from whole plastome sequences of *Dendrobium* species. Tree node labeled with "A" denotes to the ancestor for each *Dendrobium* species. The values of posterior probabilities are showed for each node.



Figure 4. Cont.



**Figure 4.** Proportion of the six nucleotide-pair mutations estimated from non-coding loci of the SC and IR regions of 10 *Dendrobium* species. The numbers of A to G mutations are normalized for the unequal nucleotide content of *Dendrobium* species. The frequencies of transversions are higher than that of transitions in both SC and IR regions.



**Figure 5.** Comparison of equilibrium GC content ( $GC_{eq}$ ) values between the SC and IR regions for the non-coding regions. Error bars depict 95% confidence intervals for  $GC_{eq}$ . Note that, the non-coding regions showed contrast  $GC_{eq}$  values (<50% in SC and >50% in IR) in SC and IR regions. Moreover, the estimated  $GC_{eq}$  values are lower than current GC content in SC regions, but higher than current GC content in IR regions.

Table 2. Summary of mutations in non-coding loci.

Species		SC	IR			
	Numbers of GC-AT Mutations	Numbers of Normalized AT-GC Mutations	Numbers of GC-AT Mutations	Numbers of Normalized AT-GC Mutations		
D. moniliforme	229	66.65	6	7.74		
D. xichouense	226	65.58	8	6.18		
D. officinale	229	61.93	8	5.39		
D. falconeri	248	76.54	6	4.63		
D. devonianum	273	109.66	9	10.84		
D. primulinum	221	60.78	8	7.71		
D. loddigesii	210	62.25	13	8.62		
D. chrysotoxum	188	61.10	1	7.74		
D. lohohense	185	40.77	7	5.40		
D. salaccense	452	104.40	14	6.92		

# 2.4. Contrasting Mutational Biases of Protein-Coding Genes between SC and IR Regions

Three pairs of site models (M0 vs. M3, M1a vs. M2a, and M7 vs. M8) were used to test whether the evolution of plastid protein-coding genes was driven by positive selection. Among 68 plastid protein-coding genes, twelve genes (*accD*, *ccsA*, *matK*, *psaB*, *rbcL*, *rpl20*, *rpoC1*, *rpoC2*, *rps3*, *rps16*, *ycf1* and *ycf2*) were detected under positive selection (Table S1). The plastid protein-coding genes were classified into two categories, positive selected and non-positive selected data sets. The mutational biases were counted. However, the two data sets showed the same mutational trends: (1) the frequencies of transitions were higher than that of transversions (Figure S2); (2) counts of AT-rich mutations were larger than that of GC-rich mutations (Table S2); and (3) the GC<sub>eq</sub> values were smaller than equilibrium (GC<sub>eq</sub> = 50%) (Figure S3). Similar results were also observed in each codon position, which suggests that positive selection has no effect on gene conversions. Therefore, the plastid protein-coding genes were re-divided into SC and IR data sets based on their locations. The genes of *rpl22*, *rps12*, and *ycf1* were discarded because they were distributed in both SC and IR regions. Consistent with the counting results of non-coding loci, protein-coding genes also showed a contrast mutational bias between SC and IR regions (Table 3 and Figures 6 and 7).

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Table 3.	Summarv	ot	mutations	1n	protein-co	ding	LOC1
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		SC	IR			
Species	Numbers of GC-AT Mutations	Numbers of Normalized AT-GC Mutations	Numbers of GC-AT Mutations	Numbers of Normalized AT-GC Mutations		
D. moniliforme	79	44.73	1	7.73		
D. xichouense	115	45.95	8	5.78		
D. officinale	100	51.09	8	10.29		
D. falconeri	94	49.20	2	9.66		
D. devonianum	89	53.71	5	5.79		
D. primulinum	94	49.86	7	6.43		
D. loddigesii	86	46.03	5	5.79		
D. chrysotoxum	152	36.24	13	1.28		
D. lohohense	59	48.04	3	5.79		
D. salaccense	57	42.26	3	5.79		



**Figure 6.** Proportion of the six nucleotide-pair mutations estimated from protein-coding genes. In contrast to the counting results of non-coding loci, the frequencies of transitions are higher than that of transversions in both SC and IR regions.



**Figure 7.** Comparison of  $GC_{eq}$  values between the SC and IR regions for the protein-coding genes. Error bars depict 95% confidence intervals for  $GC_{eq}$ . The protein-coding genes showed the same evolution trends with the non-coding loci.

### 2.5. Mutational Biases Have Directly Impact on the Evolution of GC Content in Dendrobium Plastomes

As mentioned above, our analysis revealed contrast mutational biases between SC and IR regions in both protein-coding genes and non-coding loci. To assess the effect of mutational biases on the evolution of GC content in *Dendrobium* plastomes, the GC<sub>eq</sub> values were compared to current GC content. As shown in Figures 5 and 7, the GC<sub>eq</sub> values of protein-coding genes and non-coding loci in SC regions were estimated to be 19.25–44.88% and 16.05–28.92%, respectively. The estimated GC<sub>eq</sub> values were lower than current GC content in SC regions indicating that the GC content of SC regions was evolved toward AT-richness. On the contrary, the results show that GC<sub>eq</sub> values of IRs (42.86–90.02% for protein-coding genes and 21.72–88.54% for non-coding loci) were higher than current GC content, suggesting that the evolution trends of GC content in IRs were toward GC-richness. Considering the consistent evolution trends between mutational biases and the evolution of GC content in SC and IRs, we proposed that mutational biases have directly impact on the evolution of GC content in *Dendrobium* plastomes.

# 2.6. gBGC Has an Effect on Maintaining Higher GC Content in IR Regions

Figure S4 illustrated the non-synonymous (dn) and synonymous (ds) substitution rates of protein-coding genes and mutation rates estimated from the syntenic non-coding loci in the SC and IR regions. The two-sided Mann–Whitney test indicated that the substitution rates were higher in SC regions than that in IR regions of *Dendrobium* plastomes (p < 0.01). The reduced substitution rates of IR regions most likely resulted from gene conversion. The intraplastomic recombination via IR regions (Figure S5A). Therefore, the GC-biased mutation and reduced substitution rates in IRs could be caused by gBGC. To determine whether the gBGC has an effect on the evolution of GC content, we compared the GC content of IR regions between the two groups: (1) the one-IR group, including five plastomes from Pinaceae and cupressophytes, which contain only one copy of IR region; and (2) the two-IR group, including 10 *Dendrobium* plastomes, which contain two copies of IR regions. Our comparison revealed a significantly higher GC content in the IR regions of the two-IR group than that of the one-IR group (Mann–Whitney 2-sides, p < 0.01), which suggested that the gBGC has influenced the GC content in IR regions (Figure S5B). Furthermore, the significant correlation between the GC<sub>eq</sub> values and current GC

content in protein-coding genes and non-coding regions (Spearman's r = 0.851, 0.841, both p < 0.01) indicated that gBGC has a long-term effect on the GC content in *Dendrobium* plastomes.

# 3. Discussion

# 3.1. The High-Quality Complete Plastome Sequences That Could Represent for Dendrobium Species

Plastome sequences of seed plants, in general, have relatively small sizes, conserved gene contents, dense coding regions, and slower evolutionary rates as compared to nuclear and mitochondrial genomes [1]. These unique features have attracted intense attention from researchers, leading to numerous plastome sequences published, e.g., [20,22,25,26]. The first record of a Dendrobium plastome, Dendrobium officinale, was obtained by sequencing from the isolated chloroplast DNA [21]. However, it is extremely hard to extract the chloroplast from Dendrobium species due to their high percentage of polysaccharides, e.g., [27,28]. The plant whole genome sequencing data always contains high copy numbers of plastome sequence reads, which provide an opportunity for the complete plastome sequence assembly. Thus, a lot of methods were established to assemble the plastome sequence from the genome sequencing resources [29–31]. Among them, the reference-guided mapping method is a good approach for studies of related species with known reference sequences. Recently, more than 30 Dendrobium plastomes have been sequenced and assembled by using this approach [22]. However, tentative errors, such as SNP, InDel, and repeat sequences, may generate by using different assembly tools or mapping data to different references [31]. As shown in Figure S1, the sequences in the non-coding regions and ndh genes of D. loddigesii and D. devonianum were distinct from different assembly methods. Therefore, the high-quality plastome sequence that could be representing for Dendrobium species was urgently needed. In this study, two different methods were employed for the plastome assembly of *Dendrobium* species. According to the mVISTA analysis, the mis-assembled error sequences were detected, which indicated that at least two different methods should be used in the plastome assembly analysis to avoid assembling errors. After correcting and validating these errors by PCR amplification and Sanger sequencing, the complete plastomes of D. loddigesii and D. devonianum were obtained. Therefore, we proposed that the high-quality complete plastome sequences of *D. loddigesii* and *D. devonianum* were obtained in this study, which could represent for Dendrobium species for the future studies.

# 3.2. Both Mutational Biases and gBGC Affect GC Content in the Plastomes of Dendrobium Genus

The causes of GC content variation in plastome sequences were less clearly established than in nuclear genome sequences, and the role of gene conversion has only been investigated in non-coding regions more recently [17]. Previously, more than 30 plastomes of *Dendrobium* species have been published, which provides a huge resource for exploring the evolutionary mechanism of the variation of GC content in *Dendrobium* plastomes. Niu et al., (2017) proposed that evolutionary stasis should use at least 10 plastome sequences [22]. Here, we examined and discussed the three major hypotheses based on our newly sequenced data coupled with other eight *Dendrobium* plastomes.

# 3.2.1. Natural Selection

Natural selection has great impact on the variations in base composition. For example, in plastomes, the codon-usage of the *psbA* gene was proposed to be associated with positive selection for maintaining the translation efficiency [32]. Moreover, several studies have suggested a correlation between GC content and the function and expression of genes e.g., [5,33,34], which enhanced the hypothesis that natural selection has a direct impact on GC content. The "natural selection hypothesis" was also supported by the study of Shi et al., (2007), who found that the selection is the primary cause of the GC content variation in rice genes [35]. In this study, positive selection analysis was performed for the 68 plastid protein-coding genes. The higher GC content in the IRs than that in SC regions leads us to expect that genes located in IRs have a higher proportion under positive selection. However,

only one protein-coding gene (*ycf2*) was identified under positive selection in the IR regions. Moreover, the same mutational trends were observed in both positive selected and non-positive selected data sets. These results suggested that natural selection does not appear to contribute significantly to the varied GC content of plastid protein-coding genes. Therefore, we ruled out the effect of natural selection, which leaves the mutational bias and gBGC as potential determinants for the evolution of GC content in the *Dendrobium* plastomes.

### 3.2.2. Mutational Bias

In this study, our analysis revealed a contrast mutational bias in *Dendrobium* plastomes that: (1) toward AT-richness in SC and (2) toward GC-richness in IR regions. The contrast mutational biases would lead to a lower GC content in SC regions. Indeed, the GC contents of LSC and SSC regions are lower than that of IRs. Moreover, the result estimated  $GC_{eq}$  values lower than current GC content in SC regions and higher than that of IRs, indicating that the GC content variation in SC regions is primarily determined by the mutational biases. Therefore, we proposed that mutational biases have direct impact on the variation of GC content in *Dendrobium* plastomes. Mutational biases toward AT-richness have been reported in gnetophytes [3]. Their plastomes were discovered to be compacting with enriched AT content, which was thought to have many advantages for resource consumption. For example, an A/T nucleotide contains seven atoms of nitrogen, one less than the G/C nucleotide. Moreover, AT-richness in base composition would benefit the rapid replication of plastomes [36]. Therefore, the sequence feature that mutated toward AT-richness would be favored in the plastome.

# 3.2.3. gBGC

The variation in base composition is proven to be affected by gene conversion, e.g., [37,38]. The gene conversion of the plastome sequences is typically modeled as an independent process, in which changes at any one site are independent of changes at any other site [39]. However, gene conversion is not an entirely stochastic process, as it acts according to certain deterministic biases that affect the GC content evolution. Over the past 10 years, GC-biased gene conversion (gBGC) has been clearly established as the main process affecting GC content evolution in the nuclear genome [40–43]. However, whether the gBGC affects the GC content in plastome sequences is poorly understood. In this study, although no direct evidence is detected, we cannot ignore the impact of gBGC to the GC content evolution in Dendrobium genus. Based on the comparison results of 10 Dendrobium plastomes, we proposed that the gBGC has influenced the plastome wide GC content evolution due to three reasons. Firstly, the higher GC content in IR regions could be explained by gBGC. In this study, the reduced substitution rates and GC-biased mutation indicated that gBGC occurred in IRs. The gBGC would affect GC/AT heterozygous sites yields more frequently to GC than to AT alleles, which lead to increasing the GC content over evolutionary time [13]. Secondly, the recombination of IRs provides an opportunity for gBGC to occur. IRs are the recombination hotspots in plastome sequence, which favors the gBGC [44–46]. Moreover, a gBGC model during recombination has been put forth to interpret the GC-biased mutations observed in the IR regions [17]. Through that model, GC to AT mutations were unfavored, whereas AT to GC ones were fixed after gBGC. After loss of one IR region, the GC content of IR regions in Pinaceae and cupressophytes species was evolved toward AT-richness. Thirdly, the significant correlation between the GC<sub>eq</sub> values and GC content values in protein-coding genes and non-coding regions (Spearman's r = 0.851, 0.841, both p < 0.01) indicated that in Dendrobium, gBGC has shaped plastome-wide mutations and GC content. Therefore, we proposed that both mutational biases and gBGC affect GC content in the plastomes of the Dendrobium genus.

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# 4. Materials and Methods

#### 4.1. DNA Extraction and Plastome Sequencing

Two grams each of fresh leaves were harvested from individuals of *D. loddigesii* (voucher specimen: Niu14007) and *D. devonianum* (voucher specimen: Niu15008). They were cultivated in the greenhouse of Nanjing Normal University. The total DNA of each species was extracted using the Qiagen DNeasy Plant Mini Kit (Qiagen, Hilden, Germany), according to the manufacturer's instructions. DNA samples that met the quality of concentrations >300 ng/mL, A260/A280 ratio = 1.8–2.0, and A260/A230 ratio >1.7, were used for next-generation sequencing. The sequencing depth was 3.84 GB of 150 bp paired-end reads for each species.

# 4.2. Plastome Assembly, Annotation and Comparison

To obtain high-quality complete plastome sequences of *D. loddigesii* and *D. devonianum*, two different assembly methods: (1) de novo assembly methods and (2) reference-guided mapping method, were employed in this study. For the de novo assembly, raw reads were first trimmed with error probability <0.05, then de novo assembled using SOAPdenovo version 1.12 with the default parameters [47]. The de Bruijn graph approach was applied to assembly with an optimal K-mer size of 79. Contigs with length <200 bp were discarded. The remaining contigs were compared with the plastome sequences of *D. moniliforme* (AB893950) using BLAST searches. Matched contigs with E-values of <10<sup>-10</sup> were designated as plastomic contigs. The gaps between plastomic contigs were closed by PCR amplification with specific primers and Sanger sequence of *D. moniliforme* (AB893950) using CLC Genomics Workbench 6.0.1 (CLC Bio, Aarhus, Denmark). The four junctions between LSC/SSC and IRs and the regions with uncertain nucleotide "N" were validated with PCR amplification.

Protein-coding genes and ribosomal RNA genes were annotated using DOGMA [48]. The boundaries of each annotated gene were confirmed by their alignment with their orthologous genes from other *Dendrobium* plastomes. Genes of tRNA were predicted using tRNAscan-SE 1.21 [49]. The differences between plastome sequences of *D. loddigesii* and *D. devonianum* that assembled from different methods were compared by using the mVISTA software [50]. The plastome sequence of *D. moniliforme* (AB893950) was used as an outgroup.

#### 4.3. Phylogenetic Analysis

The plastome sequences of 10 *Dendrobium* species and *Phalaenopsis aphrodite* were aligned based on the MAFFT method [51]. The gaps within alignment were excluded. The phylogenetic tree was constructed using MrBayes 3.2 [52]. For the Bayesian inference (BI) analysis, two simultaneous runs were conducted, each consisting of four chains. The parameters were set as "lset nst = 6 rates =  $\gamma$ ". In total, chains were run for 2,000,000 generations, with topologies sampled every 100 generations. The first 25% of our sampled trees were discarded. The remaining trees were used to construct a majority-rule consensus tree and calculate posterior probabilities (PPs) for each node.

# 4.4. Ancestral Non-Coding Sequences Reconstruction and Calculation of the Relative Rates of the Six Nucleotide Pair Mutations

The non-coding loci, including intergenic and intronic loci, were manually retrieved from the 10 *Dendrobium* plastome sequences (Table S3). The loci were aligned by using MUSCLE [53] with the "Refining" option implemented in Mega 5.2 [54]. Gaps located at the 5'- and 3'-ends of alignments were excluded, and then concatenated using SequenceMatrix 1.8 [55]. The alignment of non-coding loci was divided into SC and IR data sets based on their locations. The ancestral non-coding sequences of 10 *Dendrobium* species were reconstructed using the maximum likelihood (ML) method with GTR + G model in Mega 5.2. The BI tree inferred from whole plastome sequences was designated as the "user tree". DAMBE 5 [56] was used to count the nucleotide changes between ancestral and

current sequences. After that, we calculated the relative rates of the six nucleotide pair mutations. Firstly, we normalized the counts of the mutations from A/T to G/C, C/G, or T/A, and followed Hershberg and Petrov's method (2010) by multiplying them with current genome wide number of GC sites/AT sites [57]. For example, the normalized number of A to G mutations in *D. loddigesii* = the number of A to G changes between ancestral sequence and the current sequence of *D. loddigesii* × the current number of GC sites/AT sites. In this way, we could determine the expected number of mutations under equal GC and AT contents. Then, the relative rates of each nucleotide mutation were calculated with the formula = the number of mutations from G/C or the normalized number of mutations from A/T/ (the total number of mutations from G/C + the normalized total number of mutations from A/T) × 100.

# 4.5. Estimation of GC<sub>eq</sub>

The GC<sub>eq</sub> values were estimated according to the method of Hershberg and Petrov (2010) [57] and Wu et al., 2015 [17]. The GC<sub>eq</sub> values were calculated as: rate of AT to GC/(rate of AT to GC + rate of GC to AT). The rate of AT to GC = the total number of AT to GC changes/the current number of AT sites, while the rate of GC to AT = the total number of GC to AT changes/the current number of GC sites. The SC and IR data sets including ancestral and current sequences were bootstrapped with 100 replications using PHYLIP 3.695 [58]. Then, the GC<sub>eq</sub> values were recalculated 100 times and the resulting values were used to estimate the 95% confidence intervals for GC<sub>eq</sub>.

# 4.6. Natural Selection Analysis and Evolutionary Stasis of Protein-Coding Genes

Except for the 11 *ndh* genes, positive selection analysis was performed for the other 68 protein-coding genes using the codeml program in PAML vs. 4.9 [59]. The natural selection at the codon level was detected using the three pairs of site models (M0 vs. M3, M1a vs. M2a, and M7 vs. M8) as implemented in codeml. The likelihood ratio tests (LRTs) were used to compare the site models. The relative rates of the six nucleotide pair mutations and GC<sub>eq</sub> values of protein-coding genes were also counted.

# 4.7. Estimation of Substitution Rates

The synonymous (ds) and non-synonymous (dn) substitution rates were estimated with the codeml program of PAML [59]. The parameters were set to the following: seqtype = 1, runmodel = -2, and CodonFreq = 3. The protein-coding genes of *Phalaenopsis aphrodite* were used as the reference. The non-coding loci that flanked by the same genes/exons were identified as syntenic. The loci with sequence lengths less than 150 bp were discarded. The mutation rates between *P. aphrodite* and the 10 *Dendrobium* species were estimated with the baselml program of PAML using the REV model [59].

# 4.8. Statistical Analysis

Statistical analyses were performed using SPSS Statistics 20.0.

# 5. Conclusions

In conclusion, this study is the first to observe the impact of evolutionary forces, selection, mutational biases, and GC-biased gene conversion (gBGC) on the variation of GC content in the whole plastome sequences. The high-quality complete plastomes of *D. loddigesii* and *D. devonianum* were obtained and compared with eight other *Dendrobium* plastomes. The results indicate that the plastomes of *Dendrobium* species are highly conserved in plastomic organization. Because tentative errors generated by different assembly methods can lead to low quality of plastome sequence, we thus strongly suggest using two different assembly methods in the plastome assembly analysis. Furthermore, we examined three major hypotheses based on the plastome sequences of 10 *Dendrobium* species. Our results demonstrated that both mutational biases and gBGC affect GC content in the plastomes of the *Dendrobium* genus.

Supplementary Materials: Supplementary materials can be found at www.mdpi.com1422-0067/18/11/2307/s1.

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Conflicts of Interest: The authors declare no conflict of interest.

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# **A Simple Method to Decode the Complete 18-5.8-28S rRNA Repeated Units of Green Algae by Genome Skimming**

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Abstract: Green algae, *Chlorella ellipsoidea, Haematococcus pluvialis* and *Aegagropila linnaei* (Phylum Chlorophyta) were simultaneously decoded by a genomic skimming approach within 18-5.8-28S rRNA region. Whole genomic DNAs were isolated from green algae and directly subjected to low coverage genome skimming sequencing. After de novo assembly and mapping, the size of complete 18-5.8-28S rRNA repeated units for three green algae were ranged from 5785 to 6028 bp, which showed high nucleotide diversity ( $\pi$  is around 0.5–0.6) within ITS1 and ITS2 (Internal Transcribed Spacer) regions. Previously, the evolutional diversity of algae has been difficult to decode due to the inability design universal primers that amplify specific marker genes across diverse algal species. In this study, our method provided a rapid and universal approach to decode the 18-5.8-28S rRNA repeated units provided a solid nuclear marker for phylogenetic and evolutionary analysis for green algae for the first time.

Keywords: green algae; rRNA repeated unit; phylogeny; genome skimming

Green algae, a big group which contains at least 7000 species, has been found in wide range habitats from freshwater to sea water [1]. Similar to land plants, green algae contain chlorophyll *a* and chlorophyll *b* and store food as starch in plastids [2]. In the ecosystem, green algae play a role as primary photosynthetic eukaryotic organisms. The green algae have become powerful producers and providers of various natural substances, which may constitute the primary natural source, such as minerals, vitamins, nutrients, and fatty acids, as well as carotenoid pigments that include carotenes, xanthophylls, zeaxanthin, and lutein [3–5]. Currently, it is feasible to produce some carotenoids commercially through aquaculture [6]. In addition, because of their rapid growth and high oil content, some green algae also have been considered as a promising alternative feedstock for biodiesel production [7,8]. However, the challenge of developing green algae as a permanent fuel source will be to operate industries sustainably and compete with existing energy options with its costly investment [9].

In spite of their many unifying features, green algae exhibit remarkable in morphology and ecology reflecting their evolutionary diversification. Recently, according to cladistic classification and molecular analysis, the monophylogenic of green algae origin is still arguable [10–13], which referred that more approaches are required to validate phylogeny of green algae at molecular level by using different markers. The rapid increase in genomic data from a wide range of green algae has high

potential to resolve large-scale green algal relationships. Furthermore, green algal genomes are important sources of information for the evolutionary origins of plant traits due to their evolutionary relationship to land plants [14,15]. Therefore, in this study, we demonstrated a genome skimming method to deduce the complete 18-5.8-28S rRNA repeated sequence (as a nuclear marker), which used as a molecular tool to reveal the relationships between *Haemotococcus pluvalis*, *Chlorella ellipsoidea* and *Aegagropila linnaei* to discuss the relationship between the freshwater and marine algae.

Genome skimming is an approach which reconstructs whole genome shotgun libraries faster and easily. This technique involves filtering millions of shotgun next generation sequencing (NGS) reads to find the few reads associated with particular DNA regions of interest [16], which is 18-5.8-28S rRNA repeated sequence for this case. Internal transcribed spacers (ITS) are sequences located in eukaryotic rRNA genes between the 18S and 5.8S rRNA coding regions (ITS1) and between the 5.8S and 28S rRNA coding regions (ITS2). The ITS is a non-coding region with high interspecific variability allowing differentiation of species within a genus, but low intra-specific variability preventing the separation of individuals or strains within the same species. These spacer sequences are present in all known nuclear rRNA genes of eukaryotes and have a high evolution rate. Previous restriction site variation studies in the ribosomal DNA (rDNA) have shown that the spacer regions are variable while coding regions are conserved. ITS are useful for phylogenetic analysis among related species and among populations within a species [17]. Combined with genome skimming, we implemented a rapid and cost-effective strategy for generating phylogenetically informative genomic data [18,19].

Haematococcus pluvialis, an unicellular green algae, is a promising microorganism that now showed potential to be a nutraceutical for human health because of the ability to produce astaxanthin, which is used as a coloring agent for aquaculture [20]. Moreover, astaxanthin not only helped to protect the skin against UV-induced damage, but was also used for tumor therapies and prevented neural damage associated with age-related degeneration [21,22]. H. pluvalis has developed into an organism that can be cultivated on an industrial scale [23]. In addition, H. pluvalis can also generate chlorophylls a and b, and primary carotenoid compounds namely neoxanthin, violaxanthin, zeaxanthin, lutein, and  $\beta$ -carotene, which suggested their great development and commercialization [21,24]. On the other hand, Chlorella ellipsoidea has been shown to possess bioactive substances that have various functional properties such as immunomodulatory, anti-inflammatory, and antioxidant effects [25,26]. Violaxanthin, the major component that was isolated from C. ellipsoidae, showed anti-inflammatory effect through inhibiting the NF-kB pathway [26]. Recent studies showed that C. ellipsoidea extract had significant apoptosis effect in human colon cancer cell line and suggested to have potential to prevent human cancer progression [6]. Moreover, C. ellipsoidea has been frequently used as a model organism in the field of genetics and the molecular biology in photosynthesis [6,27]. These observations indicated that microalgae have drawn more attention in scientific research. Aegagropila linnaei is a freshwater macroalga that is generally regarded as a rare and endangered species and belongs to Cladophorales order [28]. Velvety in appearance, these species can be excellent houseplants and are good to use in clear hanging vessels [29]. So far, the classification within the *Cladophorales* is still uncertain due to the polyphyletic nature of the large genus Cladophora, which results from a simple morphology with few specificity, extensive phenotypic plasticity, and both parallel and convergent evolutional character. Therefore, molecular data have contributed greatly a better understanding of Cladophorales evolution in recent years [30]. Unfortunately, A. linnaei has been used only in few molecular phylogenetic studies until now [31].

Three types of green algae were collected from a local commercial company (Available online: http://www.leadingtec.cn/). High quality genomic DNA was isolated by a modified CTAB method [32] to establish a genomic library with TrueSeq PCR-free kit and later we performed paired-end sequencing by Illumina HiSeq X Ten within 150 base pair (bp)-length unit. Paired-end deep sequencing reads were assembled by FLASH software [33] and then de novo assembled by CLCbio software (Available online: http://www.clcbio.com/) with default parameter settings (Kmer = 24, bubble size = 50). BLAST tool was applied to explore potential contigs that matched 18-5.8-28S rRNA

repeats. Additional rRNA-related reads were obtained by repeatedly mapping with Geneious R9 software (Available online: http://www.geneious.com/) with 25–100 iterations. Finally, the complete 18-5.8-28S rRNA repeated unit consensus sequences were generated from the mapped reads, and were deposited to NCBI GenBank (detail information are listed in Table 1). In the three green algae tested, we found only 0.02 to 0.24% total reads were matched to 18-5.8-28S rRNA repeats. The average assembly coverage for 18-5.8-28S rRNA loci ranged from to 26 to 2736 X among the three green algal species (Table 1). These results suggested that the 18-5.8-28S rRNA copy numbers have great variation between different green algal species.

Species	Chlorella ellipsoidea (CE)	Haematococcus pluvialis (HP)	Aegagropila linnaei (AL)
Total reads	67,016,212	68,697,604	7,502,824
18-5.8-28S reads	159,445	74,748	1648
18-5.8-28S reads % *	0.24	0.11	0.02
Coverage (fold)	2736	825	26
Sequence (bp)	5785	5817	6028
NCBI accession number	KY364701	KY364700	KY364699

Table 1. Summary of three algae species tested in this study.

\* This is defined as (18-5.8-28S reads/Total reads) × 100.

Next, we used rRNA prediction tool (Available online: http://weizhong-lab.ucsd.edu/metagenomicanalysis/server/hmm\_rRNA/) and BLAST [34] to compare the gene annotation in other algal species and confirmed each identity and sequences of rRNA and ITS manually. The complete 18-5.8-28S repeats of three green algae ranged from 5785 to 6028 bps. The sequence identities of three species 18-5.8-28S rRNA repeats were confirmed by BLAST showing high identities (>99%) with previous published partial 18S rRNA sequences from the NCBI database (Figure S1). Sequence alignment of green algal rRNA repeats was generated by MAFFT [35] with default settings. High variation was detected in ITS1 and ITS2 regions (sequence identities ranged from 28.8% to 34.8% and 26.1% to 38.8%, respectively), while other regions of 18S, 5.8S and 28S (78.3% to 92.7%) were highly conserved among the three green algal species. We also used DnaSP V5 [36] to calculate the nucleotide diversity of 18-5.8-28S repeated units among three green algae. First, the nucleotide sequences were aligned by MAFFT and output as .meg file. Next, the nucleotide diversity of the aligned sequences was calculated in a sliding window with length and step size of 100 and 5 sites, respectively. Compared to rRNA regions, high nucleotide diversity ( $\pi$  is around 0.5–0.6) in ITS1 and ITS2 regions are detected (Figure 1).

To validate the phylogeny of three green algae, we used MEGA6 software [37] to construct a Maximum likelihood tree (with 500 bootstrap replicates and Kimura 2-parameter model), which contains 25 species derived from Phylum Chlorophyta. *Alexandrium tamarense* [38] derived from Phylum Dinoflagellata was used as outgroup for tree rooting. The result showed that, *Chlorella ellipsoidea* is closely related to *Micractinium reisseri; Haematococcus pluvialis* is closely related to *Chlamydomonas* sp. (Figure 2). The phylogenetic relationship obtained from complete 18-5.8-28S rDNA is consistent with previous research, which used short or partial sequences from 18S or other markers [39,40]. The freshwater macroalgae, *Aegagropila linnaei*, in contrast, was shown to be phylogenetically distinct from *Chlorella ellipsoidea*, *Haematococcus pluvialis* and other green algal species tested in this study (Figure 2). In conclusion, the 18-5.8-28S rRNA repeats deduced in this study provides an important DNA data for further phylogenetic and evolutionary analysis in green algae.



**Figure 1.** The complete 18-5.8-28S rRNA repeat unit of three green algae, *Chlorella ellipsoidea*, *Haematococcus pluvialis* and *Aegagropila linnaei* (Phylum Chlorophyta). The 18S, 5.8S and 28S rRNA genes are labeled in red, ITS1 and ITS2 sequences are labeled in blue. The nucleotide sequence identities are also highlighted for comparison. Lower panel shows the sliding window to compare the nucleotide diversity of 18-5.8-28S rDNA repeat unit assembly among three green algal species.



**Figure 2.** Molecular phylogeny of three green algae and related species in Phylum Chlorophyta based on complete 18-5.8-28S rRNA repeat units. The complete or partial 18-5.8-28S rRNA repeat sequences were downloaded from GenBank to construct a phylogenic tree by the Maximum likelihood method with 500 bootstrap replicates. Three targeted green algal *Chlorella ellipsoidea, Haematococcus pluvialis* and *Aegagropila linnaei*, are highlighted in black.

Molecular-based analysis on phylogeny has started on a new page of evolutionary relationship and substituted the previous morphological-based classification. Several markers, for example, small subunit (SSU) rDNA [31], large subunit (LSU) rDNA [41], have been established to study algal phylogeny and evolution. In addition, the detail inheritance may be accomplished by developing specific protein coding genes, such as *rbcL* and *matK* in chloroplast [42,43], internal transcribed spacer (ITS) [35], and nrDNA [44]. Generally, short and ease amplified sequence, such as ITS in fungi, rbcL and matK plastid loci in plants, and mitochondrial cytochrome c oxidase subunit 1 (cox1) in animals, have provided a convenient tool to perform DNA barcoding [45]. Moreover, NGS technology has advanced a genomics approach to differentiate more precisely among orthologous and paralogous regions at different loci within different species. The obstacle that scientists faced was that the short sequences may not support to all branches in a phylogeny [41]. Two-locus barcoding with rbcL and *matK* instead of single marker analyses has improved the accuracy and resolution of phylogenetic reconstruction [46]. However, the loss of deeper branches with short markers may also reduce its resolution in the case of two closely related species with nearly identical sequence. Although LSU was able to resolve ancient eukaryotic lineage [47], the SSU was more robust when decoding deeper divergence within LSU rRNA trees [48]. While *cox1* was a suitable marker for most of animals, the slow rate of *cox1* evolution in plants may impede its scientific application [49]. The same situation is reported in some coral species which show nearly identical cox1 sequences between closely related species [50]. In contrast, high nucleotide diversity was reported in closely species or cryptic species [51]. This situation is very common in insects who display great genomic diversity and making it difficult to design universal primers to successfully amplify target marker genes [52,53]. The advantage of NGS is that hundreds to thousands markers can be easily discovered by high coverage whole genome or even reduced genome sequencing [54]. The 18-5.8-28S rRNA repeat is a highly copied genomic unit that plays an important function during protein translation [55]. The tandemly repeated head-to-tail organization has been considered the standard for eukaryotes, which has developed into a promising approach for phylogenetic reconstruction [55]. This high-copy-number trait makes it efficient to assemble with high coverage even in low coverage whole genome sequencing. Our approach is able to bypass the restricted and time-consuming works needed to design PCR primers to amplify the complete 18-5.8-28S rRNA repeat.

Intragenomic diversity is generally low due to concerted evolution [56]. This mechanism will lead individual repeats in the multigene family to evolve in concert, resulting in the homogenization of all the repeats in an array. However, recent findings in some species, including *Haematococcus*, pointed out the intragenomic variation of rRNA may be present, which indicated that more attention to be paid since this variation might affect the species delamination [57–60]. In our study, we obtained the complete 18-5.8-28S rRNA cluster by de novo assembly, mapping and consensus sequence generation approach. The parameter setting that we used to do de novo assembly and consensus sequence generation was able to remove low quality sequencing reads/errors, sequence variation, such as SNP or potential indels. The intragenomic variation reported in other species is around the level of 0–10% [61–63] and we believe that this tiny variation would be removed in our current analysis pipeline. Further studies using SNP/indel calling programs, such as SAMtools/BCFtools [64] or VarScan [65] allowed us to detect more possible intragenomic variation.

In conclusion, we provided a rapid and universal approach to deduce the complete 18-5.8-28S rRNA repeat sequences from evolutionarily diverse green algal species where the design of universal primers to amplify this locus is not possible. In addition, the complete 18-5.8-28S rRNA repeat unit sequence provides a good nuclear marker for phylogenetic and evolutionary analysis for green algae.

Supplementary Materials: The following are available online at www.mdpi.com/1422-0067/18/11/2341/s1.

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# Article Different Natural Selection Pressures on the *atpF* Gene in Evergreen Sclerophyllous and Deciduous Oak Species: Evidence from Comparative Analysis of the Complete Chloroplast Genome of *Quercus aquifolioides* with Other Oak Species

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Abstract: Quercus is an economically important and phylogenetically complex genus in the family Fagaceae. Due to extensive hybridization and introgression, it is considered to be one of the most challenging plant taxa, both taxonomically and phylogenetically. Quercus aquifolioides is an evergreen sclerophyllous oak species that is endemic to, but widely distributed across, the Hengduanshan Biodiversity Hotspot in the Eastern Himalayas. Here, we compared the fully assembled chloroplast (cp) genome of Q. aquifolioides with those of three closely related species. The analysis revealed a cp genome ranging in size from 160,415 to 161,304 bp and with a typical quadripartite structure, composed of two inverted repeats (IRs) separated by a small single copy (SSC) and a large single copy (LSC) region. The genome organization, gene number, gene order, and GC content of these four Quercus cp genomes are similar to those of many angiosperm cp genomes. We also analyzed the Q. aquifolioides repeats and microsatellites. Investigating the effects of selection events on shared protein-coding genes using the Ka/Ks ratio showed that significant positive selection had acted on the *atpF* gene of *Q. aquifolioides* compared to two deciduous oak species, and that there had been significant purifying selection on the *atpF* gene in the chloroplast of evergreen sclerophyllous oak trees. In addition, site-specific selection analysis identified positively selected sites in 12 genes. Phylogenetic analysis based on shared protein-coding genes from 14 species defined Q. aquifolioides as belonging to sect. Heterobalanus and being closely related to Q. rubra and Q. aliena. Our findings provide valuable genetic information for use in accurately identifying species, resolving taxonomy, and reconstructing the phylogeny of the genus Quercus.

**Keywords:** cp genome; repeat analysis; sequence divergence; non-synonymous substitution; electron transport chain; phylogeny

# 1. Introduction

The chloroplast (cp) is an organelle which plays an important role in photosynthesis and carbon fixation in plant cells. In angiosperms, the cp is a uniparentally inherited organelle, and it has its own circular, haploid, evolutionarily conserved genome. The cp genome is therefore considered to be a useful and informative genetic resource for studies on evolutionary relationships in the plant kingdom at various taxonomic levels [1]. In most cases, the cp genome is between 120 and 160 kb in size and has a structure composed of two copies of a large inverted repeat (IR) region, a large single copy (LSC) region, and a small single copy (SSC) region [2].

Oaks (*Quercus* L.), which comprise approximately 500 shrub and tree species, form a phylogenetically complex and economically important genus of the beech family, Fagaceae [3]. Distributed throughout much of the Northern Hemisphere, oaks are located in the northern temperate region, and they also occur in the Andes of South America and subtropical and tropical Asia [4]. Oaks are dominant in various habitats, such as temperate deciduous forest, oak-pine forest and temperate and subtropical evergreen forest [5]. They are intimately associated with many other organisms, including fungi, ferns, birds, mammals, and insects [4]. For this reason, their interactions have been the subject of a large number of ecological studies. Human beings have a close connection with oak, as throughout history it has been a common symbol of strength and courage and has been chosen as the national tree in many countries. Moreover, oaks are of great economic value, being used in, for example, the construction of fine furniture and the wine industry.

Oak species are notoriously difficult to classify taxonomically, due to morphological variation caused in part by hybridization [6–14]. Some studies stated that *Quercus* contained two subgenera, *Cyclobalanopsis* and *Quercus*, the latter including three sections: *Quercus* (white oaks), *Lobatae* (red oaks), and *Protobalanus* (golden cup or intermediate oaks) [3,15]. Because previous classifications of oaks have been based solely on morphological characters which are often homoplastic in oaks, these classifications have always been subject to debate [3,15]. With advances in molecular phylogenetics and techniques based on pollen morphology, views on oak classification are changing [15–19]. Recently, Denk et al. proposed an updated classification for *Quercus* with two subgenera: subgenus *Quercus*, the 'New World clade' or 'high-latitude clade', and subgenus *Cerris*, the exclusively Eurasian 'Old World clade' or 'mid-latitude clade' [19]. There are five sections (*Protobalanus*, *Ponticae*, *Virentes*, *Quercus*, and *Lobatae*) in subgenus *Quercus* and three sections (*Cyclobalanopsis*, *Ilex* and *Cerris*) in subgenus *Cerris*.

China, which is a center of *Quercus* diversity, has 35–51 species [20]. Based on morphological characters, including 25 qualitative and 18 quantitative characters, oaks in China were divided into five sections, namely *Aegilops*, *Quercus*, *Brachylepides*, *Engleriana*, and *Echinolepides*. Recently, we studied the phylogeography of *Quercus aquifolioides*, which is endemic to the Hengduanshan Biodiversity Hotspot, based on 58 populations distributed throughout the species range, using four chloroplast DNA fragments and 11 nuclear microsatellite loci [21]. Up till now, to our knowledge, very few studies have focused on the phylogenetic relationships and population genetics of oaks in China [22], in part due to the challenges arising from introgressive hybridization, lineage sorting, and molecular markers failing to give sufficient phylogenetic signals.

In this study, we produced the first cp genome sequence for *Q. aquifolioides* using next-generation sequencing technology. This complete cp genome, combined with previously reported cp genome sequences for other members of the genus, will enhance our understanding of the systematic evolution of *Quercus*. We analyzed the completely assembled cp genome of *Q. aquifolioides* and compared it to those of three other oak species to investigate common structural patterns and hotspot regions of sequence divergence in these four *Quercus* cp genomes, examined whether selection pressure had acted on protein coding genes, and reconstructed the phylogenetic relationships of the four *Quercus* species. Our findings will not only enrich the complete cp genome resources available for the genus *Quercus* but also provide abundant genetic information for use in subsequent taxonomic and phylogenetic identification of members of the genus, and assist geneticists and breeders in improving commercially-grown oak trees.

#### 2. Results and Discussion

# 2.1. Chloroplast Genome Organization in Q. aquifolioides

The *Q. aquifolioides* cp genome is a typical circular double-stranded DNA molecule with a length of 160,415 bp, which falls within the normal angiosperm length range [23,24]. The cp genome has the usual quadripartite structure, featuring a LSC region (large single copy region, 89,493 bp), a SSC region

(small single copy region, 16,594 bp), and a pair of IRs (inverted repeats, 25,857 bp) (Figure 1; GenBank accession No. KP340971). The GC contents of the LSC, SSC, and IR regions individually, and of the cp genome as a whole, are 34.8%, 31.2%, 42.7%, and 36.9%, respectively. These GC contents are within the range previously reported for other plant species. Approximately 48.0% of the cp genome encodes proteins, 5.6% encodes rRNAs and 1.3% encodes tRNAs. Noncoding regions (intergenic regions, introns and pseudogenes) constitute the remaining 45.1% of the genome. The *Q. aquifolioides* cp genome encodes 127 genes: 80 protein-coding genes, eight ribosomal RNA genes, and 39 tRNA genes. *ycf2* is the largest gene, having a length of 6834 bp. We found that 18 genes have one intron (10 protein coding genes and 8 tRNA genes) and two genes (*clpP* and *ycf3*) have two introns each. Two identical rRNA gene clusters (16S-23S-4.5S-5S) were found in the IR regions. There are two tRNA genes, *trnI* and *trnA*, in the 16S~23S spacer region of each cluster. The sequence of the rRNA coding region is highly conserved: sequence identities of four rRNA genes with those of *Arabidopsis thaliana* (L.) Heynh were over 98%.



**Figure 1.** Gene map of the *Q. aquifolioides* chloroplast genome. The annotated chloroplast (cp) genome of *Q. aquifolioides* is represented as concentric circles. Genes shown outside the outer circle are transcribed counter-clockwise and genes indicated inside the outer circle are transcribed clockwise. Two inverted repeats (IRs), the large single copy (LSC) and the small single copy (SSC) are shown in the inner circle.

#### 2.2. Repeat Sequence Analysis and Simple Sequence Repeats (SSR)

Repeat sequences have been used extensively for phylogeny, population genetics, genetic mapping, and forensic studies [25]. In the cp genome of *Q. aquifolioides*, 38 pairs of repeats longer than 30 bp were detected; they consisted of 24 palindromic repeats and 14 forward repeats (Figure 2). Among these repeats, 36 are 30–40 bp long, one is 44 bp long, and one is 64 bp long (Figure 2). A large proportion of the repeats (73.7%) are present in non-coding regions, but some repeats are embedded in coding regions, such as the *trnS-GCU*, *trnS-GGA*, *psaB*, *psaA*, *ycf1*, *ycf2*, and *accD* genes

(Table S1). As previous studies reported, many repeats were found in the *ycf*2 gene [26–29]. Apart from the IR region, the longest repeats, which were 64 bp in length, were present in the *ndhD/psaC* intergenic region.



Figure 2. Analysis of repeated sequences in Q. aquifolioides.

SSR, also known as microsatellites, are highly polymorphic and thus widely used as molecular markers. A total of 78 perfect microsatellites were identified in the *Q. aquifolioides* cp genome. Among them, 70.51% were present in the LSC regions, whereas 10.26% and 19.23% were identified in the IR and SSC regions respectively (Figure 3A). This result is consistent with previous reports that SSRs are not evenly distributed in cp genomes [30]. Twelve of the SSRs were present in protein-coding regions, six were in introns, and 60 were located in intergenic spacers of the *Q. aquifolioides* cp genome (Figure 3B). Of the motifs forming these SSRs, 58 are mononucleotides, six are dinucleotides, five are trinucleotides, six are tetranucleotides, and three are pentanucleotides (Figure 3C). Most of the mononucleotides (98.28%) and dinucleotides (100%) are composed of A and T. (Figure 3C). These results are consistent with previous reports that SSRs in cp genomes generally consist of short polyA or polyT repeats [31]. The high AT content of cp SSRs contributes to the AT richness of the *Q. aquifolioides* cp genome, which is similar in this respect to other cp genomes [31].



**Figure 3.** Analysis of simple sequence repeats (SSRs) in the *Q. aquifolioides* cp genome. (**A**) Frequency of SSRs identified in the LSC, SSC, and IR regions; (**B**) Frequency of SSRs identified in the coding regions, intergenic spacers and introns of the LSC, SSC and IR regions; (**C**) Frequency distribution of different classes of polymer in the cp genome of *Q. aquifolioides*.

# 2.3. Comparison of the cp Genomes of Q. aquifolioides and Three Related Quercus Species

Three complete cp genomes, those of *Q. aliena* (GenBank accession number: KP301144), *Q. rubra* (GenBank accession number: JX970937), and *Q. spinosa* (GenBank accession number: KM841421), belonging to three different sections within the *Quercus* genus, were selected for comparison with *Q. aquifolioides* (Table 1). *Q. rubra* has the largest cp genome; this is mostly attributable to variations in the lengths of the LSC and SSC regions. The GC content of these four cp genomes is very similar, at ~37%. *Q. aquifolioides* has the same number of protein coding genes and rRNA genes as the other three *Quercus* species. Although *Q. spinosa* has one tRNA fewer than the other three *Quercus* species, the total length of its tRNA genes is greater than that in any of the other three species. We found that *Q. aquifolioides* shared 80 protein-coding genes with the cp genomes of all three of the other *Quercus* species.

Table 1. Summary of the features of four complete Quercus plastomes.

Genome Features	Sect. Hete	robalanus	Sect. Lobatae	Sect. Quercus
Schonic reatures -	Q. aquifolioides Q. spinosa		Q. rubra	Q. aliena
Genome size/GC content	160,415/37.0	160,825/36.9	161,304/36.8	160,921/36.9
Coding genes: number/size	80(7)/80,270	80(7)/80,812	80(7)/80,946	80(7)/80,052
tRNA: number/size	39/10,625	38/11,402	39/10,756	39/10,753
rRNA: number/size	8/9048	8/9050	8/9050	8/9048
LSC: size/percent/GC content	89,807/56/34.8	90,371/56.2/34.7	90,541/56.1/34.7	90,258/56.1/34.7
SSC: size/percent/GC content	18,894/11.8/31.2	18,732/11.6/31.2	19,023/56.1/30.9	18,972/11.8/31.0
IR: size/percent/GC content	51,754/32.2/42.7	51,722/32.2/47.2	52,740/32.7/42.7	51,682/32.1/42.7
Introns: size/percent	20,473/12.8	19,757/12.3	20,217/12.5	20,014/12.4
Intergenic spacer: size/percent	49,548/31.0	50,207/31.2	47,473/29.4	47,304/29.3

Numbers in brackets denote the numbers of genes duplicated in the IR regions.

We compared the other three complete cp genomes with that of *Q. aquifolioides* (Figure 4). The sequence identity between these four *Quercus* cp genomes was analyzed. Our results revealed perfect conservation of gene order along the cp genomes of the four species and very high similarity between them.

Although the overall quadripartite structure, including the gene number and order, is usually well conserved, the IR region often undergoes expansion or contraction, a phenomenon called ebb and flow in cp genomes [32]. Generally, the expansion or contraction involves no more than a few hundred nucleotides. Kim and Lee proposed that length variation in angiosperm cp genomes was primarily caused by expansion and contraction of the IR region and the single-copy (SC) boundary regions [33]. The IR/SC boundary regions of these four complete Quercus cp genomes were compared, and found to exhibit clear differences in junction positions (Figure 5). The inverted repeat b (IRb)/SSC borders are located in the coding region of the *ycf1* gene with a region of 4590–4611 bp located in the SSC regions. The shortened ycf1 gene crossed the inverted repeat a (IRa)/SSC borders, with 25–28 bp falling within the SSC regions, and the *ndhF* gene was located in the SSC region with its distance to the IRa/SSC borders ranging from 8 to 22 bp. At the LSC/IRa junction, the distances between *rps19* and the border ranged from 12 to 35 bp, while the distances between *rpl2* and the border were from 39 to 63 bp. At the LSC/IRb junction, the distances between *rpl2* and the border ranged from 54 to 226 bp and the distances between *trnH* and the border were the same, at 16 bp. Thus, variations at the IR/SC borders in these four cp genomes contribute to the differences in length of the cp genome sequence as a whole.


**Figure 4.** Comparison of four *Quercus* cp genome sequences. The outer four rings show the coding sequences, tRNA genes, rRNA genes, and other genes in the forward and reverse strands. The next three rings show the blast results between the cp genomes of *Q. aquifolioides* and three other *Quercus* species based on BlastN (blast 1–3 results: *Q. aquifolioides* Vs *Q. aliena*, *Q. rubra*, and *Q. spinosa*, respectively). The following black ring is the GC content curve for the *Q. aquifolioides* cp genome. The innermost ring is a GC skew curve for the *Q. aquifolioides* cp genome. GC skew+ (green) indicates G > C, GC skew– (purple) indicates G < C.



**Figure 5.** Comparisons of borders between neighboring genes and junctions of the LSC, SSC, and IR regions among the four *Quercus* cp genomes. Boxes above or below the main line indicate genes adjacent to borders. The figure is not to scale with regard to sequence length and shows only relative changes at or near (inverted repeats/single copy) IR/SC borders.

The whole-genome alignment revealed high sequence similarity across these four cp genomes, suggesting that *Quercus* cp genomes are well conserved (Figure 6). As observed in other angiosperms [34–36], we also found that among these four cp genomes the SC regions are more divergent than the IR regions, possibly due to error correction occurring via gene conversion between IRs [37]. Our results also showed that coding regions are more conserved than non-coding regions, as seen in other plants [38,39]. The most divergent coding region in these four *Quercus* cp genomes was *rpl22*. Non-coding regions showed various degrees of sequence divergence among these four *Quercus* cp genomes, with the *trnH-GUG/psbA* regions having the highest level of divergence. These hotspot regions furnish valuable information as a basis for developing molecular markers for phylogenetic studies and identification of *Quercus* species.



**Figure 6.** Alignment of four *Quercus* cp genome sequences. Sequence identity plot for four *Quercus* species, with *Q. aquifolioides* as a reference. The *X*-axis corresponds to coordinates within the cp genome. The *Y*-axis shows the percentage identity in the range 50% to 100%.

# 2.4. Genome Sequence Divergence among Quercus Species

To investigate the extent of sequence divergence among these four *Quercus* cp genomes, the nucleotide variability (*Pi*) values within 600 bp windows (200 bp stepwise moving) in the LSC, SSC, and IR regions of the genomes were calculated (Figure 7). In the LSC region, the values varied from 0 to 0.02389 with a mean of 0.00603, while the SSC regions were from 0 to 0.02 with a mean of 0.00863, and the IR regions were from 0 to 0.00417, with a mean of 0.00098. These results suggest that the differences between these genomic regions are very small. However, we also found certain highly variable regions in the LSC, SSC, and IRs. In the LSC, the highly variable regions were *trnH/psbA* and *petA/psbJ*, with *Pi* > 0.02. In the SSC, highly variable regions included *ndhF/rpl32*, *ndhA/ndhH* and *ycf1* (*Pi* > 0.015). In the IRs, two regions, *trnR/trnN* and *ndhB*, with *Pi* > 0.004 were identified (Figure 8). Four of these regions, *trnH/psbA*, *petA/psbJ*, *ndhF/rpl32*, and *ycf1*, have also been identified as highly variable in other plants [33,40–42]. On the basis of our results, five of these variable regions (*trnH/psbA*, *petA/psbJ*, *ndhF/rpl32*, *ndhA/ndhH*, and *ycf1*) show great potential as sources of useful phylogenetic markers for *Quercus*.



**Figure 7.** Comparative analysis of nucleotide variability (*Pi*) values among the four *Quercus* cp genome sequences. (**A**) Analysis of the LSC regions; (**B**) Analysis of the SSC regions; (**C**) Analysis of the IR regions. (Window length: 600 bp, step size: 200 bp). *X*-axis: position of the midpoint of a window, *Y*-axis: nucleotide diversity of each window.

#### 2.5. Selection Events in Protein Coding Genes

The non-synonymous (Ka) to synonymous (Ks) nucleotide substitution rate ratio (denoted by Ka/Ks) is a very important tool used in studying in protein coding gene evolution. The Ka/Ks ratio is used to evaluate the rate of gene divergence and determine whether positive, purifying or neutral selection has been in operation. A Ka/Ks ratio of >1 indicates positive selection, while Ka/Ks < 1 (especially if it is less than 0.5) indicates purifying selection. A value of close to 1 indicates neutral selection [43].

In this study, we compared Ka/Ks ratios for 73 shared unique protein coding genes in the *Q. aquifolioides* cp genome and the cp genomes of three other related *Quercus* species: *Q. rubra*, *Q. spinosa*, and *Q. aliena* (Table S2). The results are shown in Figure 8. Interestingly, we found that the Ka/Ks ratios were both region specific and gene specific. The average Ka/Ks ratio of the 73 protein coding genes analyzed across the four cp genomes was 0.1653. The most conserved genes with average Ka/Ks values of 0, suggesting very strong purifying selective pressure, were *rpl23*, *rps7*, *psaC*, *rps12*, *psbA*, *psbK*, *psbI*, *atpH*, *atpI*, *rps2*, *petN*, *psbD*, *rps14*, *ycf3*, *ndhJ*, *ndhK*, *ndhC*, *psbJ*, *psbE*, *psbE*, *petL*, *rpl33*, *clpP*, *psbT*, *psbN*, *psbH*, *rpl36*, *rps8*, *rpl14*, *rpl16*, and *rps19* (Table S2). The averaging Ka/Ks method showed no gene with Ka/Ks > 1, which suggests that no gene had been under positive selection in the *Q. aquifolioides* cp genome. Average Ka/Ks value within the range 0.5 to 1, indicating relaxed selection, were observed for *accD*, *petA*, *rpl20*, *rpl22*, *ycf2*, *ndhF*, *ccsA*, *matK*, *atpF*, and *rpoC2*. The remaining genes showed average Ka/Ks values of between 0 and 0.49, which suggested that most genes in the *Q. aquifolioides* cp genome were under purifying selection.



**Figure 8.** Ka/Ks ratios for protein-coding genes from *Q. rubra, Q. spinosa,* and *Q. aliena* chloroplast genome in comparison with *Q. aquifolioides*.

Although no genes were observed with average Ka/Ks > 1, there were four genes (*ycf2*, *matK*, *atpF*, and *rpl20*) with Ka/Ks > 1 in at least one pairwise comparison (Figure 8). Of these, only *atpF* had Ka/Ks > 1 in two pairwise comparisons. More interestingly, the Ka/Ks ratio for the *atpF* gene was more than 1 in the comparisons with the two deciduous *Quercus* species. In contrast, the Ka/Ks ratio for this gene was 0 in the comparison between *Q. aquifolioides* and *Q. spinosa*, which are both evergreen sclerophyllous oak trees. Deciduous oak trees completely lose their foliage during the winter or the dry season, usually as an adaptation to cold and/or drought, whereas evergreen sclerophyllous oak treess throughout of the year. For leaves to tolerate cold and drought stresses requires energy. The *atpF* gene encodes one of the subunits of the H<sup>+</sup>-ATP synthase, which is essential for electron transport and photophosphorylation during photosynthesis [44]. This finding suggests that differential selection acting on the *atpF* gene may indicate that it has played a role in deciduous-evergreen oak tree divergence.

Alongside the Ka/Ks analysis, we also investigated site-specific selection events. We found a total of 12 genes exhibiting site-specific selection (Table 2). Of these, *rpoC2* was found to have 26 sites under positive selection. This gene encodes one of the four subunits of RNA polymerase type I (plastid-encoded polymerase, PEP), which is a key enzyme required for transcription of

photosynthesis-related genes in the chloroplast [45]. Our identification of the positively-selected sites in this analysis could lead to a better understanding of the evolution of *Quercus* species.

Gene	NULL (M8a)	POSITIVE (M8)	Putative Sites under Positive Selection		
rpl2	-1177.05	-1174.54	1 (131 S)		
ycf2	-9154.8	-9154.87	5 (96 K, 932 W, 1174 P, 1291 W, 2007 R)		
rps7	-615.83	-615.616	1 (130 E)		
ndhD	-2145.31	-2141.84	8 (170 T, 188 G, 200 L, 206 A, 362 R, 375 P, 413 Q, 504 F)		
ycf1	-8057.78	-8051.12	8 (426 F, 529 L, 757 L, 761 L, 1007 I, 1490 Q, 1491 G, 1492 F)		
rpoC2	-5814.68	-5814.8	26 (33 H, 131 P, 280 L, 364 I, 505 H, 542 E, 587 E, 595 P, 598 V, 626 N, 643 K, 691 G, 697 T, 815 Y, 849 G, 856 H, 898 D, 947 S, 1013 K, 1074 I, 1081 A, 1132 E, 1176 I, 1273 C, 1374 D, 1394 N)		
rpoC1	-2857.37	-2855.15	1 (145 Y)		
psaB	-2999.97	-2998.62	3 (145 L, 238 E, 239 K)		
ndhJ	-633.793	-633.569	1 (107 A)		
ndhC	-828.789	-828.761	2 (68 V, 86 F)		
rpl36	-145.376	-145.135	1 (20 R)		

Table 2. Positive selection sites identified by Selecton.

Likelihood ratio test (LRT) analysis of models comparison M8 vs. M8a. M8 represents a model with positive selection; M8a represents null model without positive selection. Degree of freedom (df) = 1.

# 2.6. Phylogenetic Analysis of the cp Genomes of Q. aquifolioides and Related Quercus Species

The phylogeny of oak trees is complex due to extensive introgression, hybridization, incomplete lineage sorting, and convergent evolution [46]. However, phylogenetic issues in many angiosperms have been addressed successfully with the help of cp genome sequences [47–49]. Maximum parsimony (MP) analysis with 73 protein-coding genes from 12 Fragaceae with two tobacco species as outgroup revealed 10 out of 11 nodes with bootstrap values  $\geq$  95%, which is very high for an MP tree (Figure 9). The MP phylogenetic tree was even more strongly supported by eight 100% bootstrap values, showing that *Q. aquifolioides* was grouped with *Q. spinosa* within *Quercus*. Both of these are members of sect. *Heterobalanus*. The MP tree also revealed that *Q. rubra* and *Q. aliena* were the closest relatives of *Q. aquifolioides* and *Q. spinosa* (Figure 9). However, this phylogenetic tree is solely based on cp DNA. To fully understand their phylogenetic relationships, nuclear DNA is required to be investigated to assess the effect of introgression and hybridization on phylogeny.



**Figure 9.** Phylogenetic relationship between *Q. aquifolioides* and related species, inferred from 73 protein-coding genes shared by all cp genomes. The phylogenetic tree was constructed by the maximum parsimony method, with two *Nicotiana* species as outgroups.

# 3. Materials and Methods

# 3.1. Plant Material

We collected a *Q. aquifolioides* tree less than 3 years old from Lijiang Alpine Botanic Garden, China and transplanted it to Beijing Forestry University. *Q. aquifolioides* is a common, non-endangered tree species in China. No specific protective policy was implemented in this area. The plants were grown in a growth chamber under 150 mmol·m<sup>-2</sup>·s<sup>-1</sup> light, with 16 h light/8 h dark cycles, at 24 °C with a constant humidity of 65%. Voucher specimens were deposited in the herbarium of Beijing Forestry University, Beijing, China.

# 3.2. Chloroplast Isolation, DNA Extraction, and Sequencing

A 0.3–0.5 g sample of the fresh young leaves was collected after the plant had grown in the dark for 24–36 h to promote starch degradation in chloroplasts (Nobel 1974). The chloroplast DNA (cpDNA) extraction and enrichment method followed the protocol developed by our group [50]. After amplifying the cpDNA by rolling circle amplification (RCA), we purified the RCA product and used 5 µg for library preparation. A 101-bp paired-end run was performed on an Illumina-HiSeq 1500 (Illumina, San Diego, CA, USA) at the gene sequencing platform of the School of Life Sciences, Tsinghua University, China. Briefly, library preparation was carried out following the manufacturer's instructions with an insert size of up to 500 bp. Base calling was performed with RTA v.1.6 (Illumina, San Diego, CA, USA).

#### 3.3. Chloroplast Genome Assembly

We assembled the *Q. aquifolioides* chloroplast genome using a pipeline developed in our lab [21]. Briefly, we used an in-house Perl script to eliminate low quality (probability of error > 1%) nucleotides in each read. SOAPdenovo 2 [51] was used for de novo assembly with default parameters, except that an insert size of 500 bp was set. Next, the primary contigs were assembled using the *Quercus rubra* chloroplast genome (GenBank accession number: JX970937) as the reference sequence. Gaps between two neighbor contigs were filled with N. These gaps were resolved as previously described [50].

### 3.4. Genome Annotation

We used CpGAVAS [52] for chloroplast genome annotation then manually corrected the output. This program uses a chloroplast genome sequence in FASTA format to identify protein-coding genes by performing BLASTX searches against a custom database of known chloroplast genomes. The program also produces a circular map of the chloroplast genome, displaying the protein-coding genes, transfer RNAs (tRNAs), and ribosomal RNAs (rRNAs) based on the annotations.

# 3.5. Repeat Analysis

Simple sequence repeats (SSRs) in the cp genomes were detected using the Perl script MISA [53]. The thresholds set for the SSRs were 10, 6, 4, 3, 3, and 3 for mono-, di-, tri-, tetra-, penta-, and hexa-nucleotides, respectively. Tandem repeat sequences (>10 bp in length) were detected using the online program Tandem Repeats Finder [54]. The minimum alignment score and maximum period size were 90 and 500 respectively. The online REPuter software tool (Available online: https://bibiserv.cebitec.uni-bielefeld.de/reputer/) was used to identify forward, palindrome, reverse, and complement sequences with a minimum repeat size of 30 bp, and sequence identity greater than 90% (Hamming distance equal to 3) [55].

# 3.6. CCT Map

Comparative genome maps of *Q. aquifolioides* and the other three *Quercus* cp genomes were constructed by BLAST using CCT software [56] and the results were displayed as a circular map.

Additional features such as the Clusters of Orthologous Groups of proteins (COG) and GC Skew in the reference genome were also included.

# 3.7. Sequence Divergence Analysis

The alignments of the cp genomes of *Q. aquifolioides* and the other three *Quercus* cp genome were visualized using mVISTA [57] (Available online: http://genome.lbl.gov/vista/mvista/submit.shtml) in Shuffle-LAGAN mode [34] in order to show interspecific variation. The sequence divergences of four *Quercus* protein coding genes were evaluated using MEGA 7 [58]. A sliding window analysis was conducted to generate nucleotide diversity (*Pi*) values for the three data sets (the aligned LSC, SSC, and IR regions of the four complete *Quercus* cp genomes) using DnaSP 5 [59]. The step size was set to 200 bp, with a 600 bp window length. The Tamura 3-parameter (T92) model was selected to calculate pairwise sequence divergences [60].

# 3.8. Selection Pressure Analysis

To estimate selection pressures, non-synonymous (Ka) and synonymous (Ks) substitution rates of 73 protein coding genes between the cp genomes of *Q. aquifolioides* and the other three *Quercus* species were calculated using DnaSP 5. For identification of site-specific selection, protein coding gene alignments were analyzed using Selecton [61], with *Q. aquifolioides* as a reference sequence. Two models, M8 (allows for positive selection operating on the protein) and M8a (does not allow for positive selection), were used and likelihood scores estimated by models were evaluated using a log-likelihood ratio test (LRT) with degree of freedom (df) = 1. Only sites with posterior probabilities > 0.8 were selected.

### 3.9. Phylogenetic Analysis

The sequences were aligned using MAFFT 7 [62]. Maximum parsimony (MP) analysis was executed using PAUP 4 [63]. A total of 73 protein-coding genes shared by all cp genomes were used for this phylogenetic analysis, which included 12 Fagaceae species (*Q. aquifolioides* KP340971; *Q. aliena* KP301144; *Q. rubra* JX970937; *Q. spinosa* KM841421; *Q. variabilis* KU240009; *Q. dolicholepis* KU240010; *Q. baronii* KT963087; *Castanopsis concinna* KT793041; *C. echinocarpa* KJ001129; *Castanea henryi* KX954615; *Lithocarpus balansae* KP299291; *Trigonobalanus doichangensis* KF990556), with two *Nicotiana* species (*N. sylvestris* AB237912; *N. tabacum* Z00044) as outgroups.

Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/4/1042/s1.

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Article



# Candidate Genes for Yellow Leaf Color in Common Wheat (*Triticum aestivum* L.) and Major Related Metabolic Pathways according to Transcriptome Profiling

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Abstract: The photosynthetic capacity and efficiency of a crop depends on the biosynthesis of photosynthetic pigments and chloroplast development. However, little is known about the molecular mechanisms of chloroplast development and chlorophyll (Chl) biosynthesis in common wheat because of its huge and complex genome. Ygm, a spontaneous yellow-green leaf color mutant of winter wheat, exhibits reduced Chl contents and abnormal chloroplast development. Thus, we searched for candidate genes associated with this phenotype. Comparative transcriptome profiling was performed using leaves from the yellow leaf color type (Y) and normal green color type (G) of the Ygm mutant progeny. We identified 1227 differentially expressed genes (DEGs) in Y compared with G (i.e., 689 upregulated genes and 538 downregulated genes). Gene ontology and pathway enrichment analyses indicated that the DEGs were involved in Chl biosynthesis (i.e., magnesium chelatase subunit H (CHLH) and protochlorophyllide oxidoreductase (POR) genes), carotenoid biosynthesis (i.e.,  $\beta$ -carotene hydroxylase (BCH) genes), photosynthesis, and carbon fixation in photosynthetic organisms. We also identified heat shock protein (HSP) genes (sHSP, HSP70, HSP90, and Dna]) and heat shock transcription factor genes that might have vital roles in chloroplast development. Quantitative RT-PCR analysis of the relevant DEGs confirmed the RNA-Seq results. Moreover, measurements of seven intermediate products involved in Chl biosynthesis and five carotenoid compounds involved in carotenoid-xanthophyll biosynthesis confirmed that CHLH and BCH are vital enzymes for the unusual leaf color phenotype in Y type. These results provide insights into leaf color variation in wheat at the transcriptional level.

Keywords: RNA-Seq; transcription factor; chlorophyll biosynthesis precursor; carotenoid composition; wheat; yellow-green leaf color mutant

# 1. Introduction

Photosynthesis is the basis of plant production, and at least 90% of grain yield is determined by photosynthesis [1]. Under irradiation by light, photosynthetic pigments fix light energy and convert it into chemical energy to synthesize carbohydrates. Chlorophyll (Chl) is the primary photosynthetic pigment in higher plants, where it is responsible for light harvesting in the antenna systems and driving electron transport in the reaction centers [2]. The entire Chl biosynthetic pathway from glutamyl-tRNA to Chl *a* and Chl *b* comprises about 20 different enzymatic steps [3]. Mutations in any of these genes

may lead to variations in the Chl contents [4], abnormal chloroplast development [5], and decreased photosynthetic efficiency [6], thereby yielding leaf color mutants. Mutants deficient in Chl biosynthesis have been identified in many higher plants, such as rice [7,8], Brassica napus [9], Arabidopsis thaliana [10], barley [11], and Camellia sinensis [12]. Many of the reported chlorotic mutants exhibit reduced Chl biosynthesis due to the lower activity of magnesium chelatase (Mg-chelatase) [11,13–15]. Mg-chelatase (EC 6.6.1.1) is a key regulatory enzyme that catalyzes the insertion of  $Mg^{2+}$  into protoporphyrin IX (Proto IX) in an ATP-dependent manner as the first committed step in Chl biosynthesis, where this protein complex comprises magnesium chelatase subunit I (CHLI), D (CHLD), and H (CHLH) in higher plants [16], which are all required for its activity [17]. CHLI and CHLD belong to the large family of AAA<sup>+</sup> (ATPases associated with various cellular activities) proteins, but only the I-subunit has an ATPase activity [18]. The H-subunit binds the porphyrin substrate, and it is regarded as a catalytic subunit without ATPase activity [19]. The GUN5 gene encodes the CHLH, and it has a specific function in the plastid signaling pathway where its activity is controlled by GUN4 [20,21]. The GUN4 gene encodes a protein that regulates Chl biosynthesis in plastids, and it has been implicated in plastid retrograde signaling via the regulation of Mg-protoporphyrin (Mg-Proto) synthesis or transport [21]. The activity of Mg-chelatase has essential regulatory roles in Chl biosynthesis and chloroplast development in higher plants. For example, in peas, the virus-induced gene silencing of CHLI and CHLD yields plants with yellow leaf phenotypes and reduced Mg-chelatase activities, as well as lower Chl accumulation correlated with undeveloped thylakoid membranes [22]. In addition, CHLD and CHLI silencing greatly reduces the levels of photosynthetic proteins, as well as being correlated with reactive oxygen species homeostasis [22]. A T-DNA insertion mutant OsCHLH in rice also exhibits underdeveloped chloroplasts with a low Chl content [7]. Previous studies have explored the semi-dominant leaf color mutants caused by Mg-chelatase. In barley, the mutants chlorina-125, -157, and -161 have the same phenotypic ratio model (i.e., one green wild-type leaf, two light-green chlorina leaves, and one lethal yellow leaf at the seedling stage). The Mg-chelatase activity of the heterozygous chlorina seedlings is 25–50% of that in wild type seedlings [23,24]. In tobacco, the Sulfur mutant, a CHLI gene mutation due to the formation of inactive Mg-chelatase, is a semi-dominant aurea mutation, where homozygotes of the mutant are yellow seedling lethals, whereas the heterozygotes have reduced Chl contents and a yellow-green phenotype [25]. Moreover, a semi-dominant CHLI allele designated as Oil Yellow1 (Oy1) has also been characterized in maize [26]. In rice, chl1 and chl9 mutants exhibit a yellowish-green leaf color phenotype where the abnormal leaf color is controlled by a single recessive gene. The chl1 and chl9 genes encode the CHLD and CHLI subunits of Mg-chelatase, and their mutation leads to underdeveloped chloroplasts and low Chl contents [13]. However, to the best of our knowledge, only a few CHLD, CHLH, and CHLI genes encoding the Mg-chelatase D, H, and I subunits have been reported in wheat leaf color mutants [27-29].

Common wheat (*Triticum aestivum* L.) is one of the most important food crops in the world. Two main types of Chl-deficient wheat mutant have been identified (i.e., albinism [30,31] and chlorina [32,33]), which have great research value for understanding the mechanisms of Chl biosynthesis and photosynthesis in wheat. However, only a few studies have reported the molecular mechanisms related to the changes in leaf color in wheat because of its large genome and high proportion of repetitive sequences (>80%) [30,34]. Most of these studies have focused on agronomic traits, photosynthetic characteristics, physiological and biochemical characteristics, and genetic mapping [31–33]. For example, controlled by cytogene, the wheat stage albinism mutant *FA85* exhibits albinism in cold early spring and returns to normal green gradually with the increase of temperature [35]. Chlorophyll precursors and key enzyme activities measurement of *FA85* have revealed that the accumulation of Proto IX, Mg-Proto IX, and Pchlide derives from the downregulated transcription level of Pchlide oxidoreductase and Chl synthase [36]. In addition, the five homeologous allelic chlorina mutants Driscoll's chlorina, chlorina-1, CD3, chlorina-214, and CDd-1 exhibit the reduction in Mg-chelatase activity which leads to the accumulation of Proto IX to different extents [28].

homoeologous group 7 chromosomes [37]. In recent years, due to the development of high-throughput sequencing technology, transcriptome sequencing (RNA-Seq) has emerged as a powerful tool for studying complex biological processes at the molecular level and for identifying candidate genes involved in specific biological functions [38,39]. RNA-Seq has been employed to investigate leaf color mechanisms in various plants, such as tea (*Camellia sinensis* L.) [12], *Anthurium andraeanum* [40], *Lagerstroemia indica* [41], and wheat [34]. These studies showed that the candidate genes related to leaf color mutation were involved in chloroplast development, Chl biosynthesis, photosynthesis, and transcription factors (TFs) such as phytochrome-interacting factor (PIF1 and PIF3), Golden2-like (GLK), and v-myb avian myeloblastosis viral oncogene homolog (MYB), which might participate in the pathways identified. Therefore, RNA-Seq can increase our understanding and provide new insights into wheat leaf color mutation at the genomics level.

Previously, we reported a spontaneous yellow-green leaf color mutant (*Ygm*) derived from a common wheat cultivar Xinong1718, with yellow (Y), yellow-green (Yg), and normal green (G) types under field temperature conditions from the jointing stage to the adult stage (Figure 1). Genetic analysis indicated that the yellow leaf color trait in the *Ygm* mutant was controlled by an incompletely dominant gene *Y1718* [42]. The homozygous dominant genotype (*Y1718Y1718*) of Y is extremely yellow, stunted, and sterile. The homozygous recessive genotype (*y1718y1718*) of G is normal green, whereas the heterozygous genotype (*Y1718y1718*) of Yg is yellow-green. Types Yg and G have similar agronomic traits to the wild type Xinong1718. The mutant is maintained steadily as the heterozygote genotype Yg. The *Y1718* gene was mapped on the short arm of chromosome 2B (2BS) between the simple sequence repeat marker *Xwmc25* and the expressed sequence tag-sequence tagged site marker *BE498358*, with genetic distances of 1.7 cM and 4.0 cM, respectively [42]. Thus, in the present study, the availability of a set of germplasm for the Y, Yg, and G genotypes allowed us to obtain insights into complex metabolic networks and certain biochemical traits, especially leaf color in common wheat.



**Figure 1.** Phenotypes of the Y, Yg, and G plants among the progeny of the Ygm mutant and wild type (WT, Xinong1718). (**A**) Jointing stage (9 April 2016); (**B**) Adult stage (28 April 2016); (**C**) Enlarged views of the leaves in different development states in G type at the jointing stage; (**D**) Enlarged views of the leaves in different development states in Y type at the jointing stage (WT, Xinong1718). G, normal green leaf color plant in the progeny of *Ygm*; Yg, yellow-green leaf color plant in the progeny of *Ygm*; Yg, yellow-green leaf color plant in the progeny of *Ygm*; Yg, yellow-green leaf color plant in the progeny of Ygm; F<sub>G</sub> and F<sub>Y</sub>, fully-developed leaves in G and Y plants, respectively. H<sub>G</sub> and H<sub>Y</sub>, half-developed leaves in G and Y plants, respectively. L<sub>G</sub> and L<sub>Y</sub>, small leaf buds in G and Y plants, respectively. Bar = 5 cm.

In this study, comparative transcriptome profiles of the Y and G types in the progeny of the *Ygm* mutant were analyzed by RNA-Seq. Based on a combination of biochemical analysis and bioinformatics, we identified the major metabolic pathways related to leaf color and candidate genes for the loss of pigmentation in these plants. The concentrations of seven intermediate products involved in Chl biosynthesis and five carotenoid compounds involved in carotenoid-xanthophyll biosynthesis were measured to further understand the molecular mechanisms related to pigment biosynthesis in the *Ygm* mutant.

# 2. Results

# 2.1. Sequence Analysis Using RNA-Seq

To understand the molecular basis of leaf color polymorphism in the progeny of the *Ygm* mutant, cDNA libraries were constructed from the half-developed leaves of the Y and G types based on three biological replicates, which were then sequenced using the Illumina HiSeq<sup>TM</sup> 2500 platform (Illumina, San Diego, CA, USA). The correlation coefficient values ranged from 0.947 to 0.989 (Table S1), thereby indicating strong correlations between the replicates. After cleaning and checking the read quality, 387,431,412 clean paired-end reads were generated, with 203,880,864 reads from the Y type and 183,550,548 from the G type, where the clean data GC content ranged from 57.29% to 59.25%, and the Q20 percentage exceeded 96.72%. The high-quality clean reads were then aligned with wheat genome sequences in the Unité de Recherche Génomique Info (URGI) database (http://wheat-urgi.versailles. inra.fr/Seq-Repository), where the alignment efficiency ranged from 69.67% to 70.71% (Table 1). Thus, the throughput and sequencing quality were sufficiently high to warrant further analysis.

**Table 1.** Summary of transcriptome sequencing data obtained using yellow leaves of Y plants and green leaves of G plants.

Groups	Total Reads	Clean Reads	GC (%)	Q20 (%)	Total Mapped Reads	Ratio (%)
G-1	59,480,874	58,804,014	58.37	96.76	41,285,997	70.21
G-2	61,434,418	60,676,246	58.68	96.54	42,662,324	70.31
G-3	64,966,228	64,070,288	58.68	96.65	44935,906	70.14
Y-1	67,083,278	66,278,074	59.25	96.87	46,615,892	70.33
Y-2	58,156,566	57,490,204	57.87	96.95	40,650,136	70.71
Y-3	81,071,740	80,112,586	57.29	96.61	55,817,063	69.67
Total	392,193,104	387,431,412	58.32	96.72	271,967,318	69.35

# 2.2. Identification and Functional Annotation of Differentially Expressed Genes (DEGs) in G and Y

The FPKM (fragments per kilobase of transcript per million mapped reads) method was used to analyze the gene expression. As a result, 74,937 and 75,211 genes were identified respectively in the cDNA library from G and Y leaves, of which 3879 and 4153 genes were expressed specifically in the leaves of G and Y, respectively (Figure 2). In total, 1227 DEGs (false discovery rate (FDR) < 0.05 and |log<sub>2</sub>Fold Change| (|log<sub>2</sub>FC|)>1) were detected, where 689 were upregulated and 538 were downregulated in Y. In order to understand the functions of the DEGs and the biological processes involved with leaf color variation, all of the DEGs were searched for in the GenBank non-redundant (Nr) protein database as well as the Gene Ontology (GO), Clusters of Orthologous Groups (COG), and Kyoto Encyclopedia of Genes and Genomes (KEGG) databases. In total, 882 DEGs had BLASTx matches with known proteins and these 882 DEGs were assigned to one or more GO terms in the biological process (685 genes), molecular function (797 genes), and cellular component (247 genes) categories. Among these three categories, the metabolic process sub-category in the biological process category accounted for the majority of the GO annotations, followed by binding and catalytic activity in the molecular function category (Figure 3). Lipid metabolic process (50 genes) and phosphorus metabolic process (20 genes), which are closely related to cell membrane functions, were significantly enriched in the biological process GO term (Table S2). The nucleic acid binding transcription factor (TF)

activity and sequence-specific DNA binding TF activity were the most significantly enriched GO terms in the molecular function category (Table S2). In the cellular component category, most of the DEGs were involved with cell, cell part, and membrane components (Figure 3), but the most significantly enriched component was membrane part (Table S2).



Figure 2. The numbers of specific genes and shared genes between G and Y.



Figure 3. GO classifications of the DEGs in groups G and Y.

All of the 1227 DEGs were further annotated based on the COG database to obtain functional predictions and classifications (Figure 4). In total, 434 (35.37%) of the DEGs were finally mapped onto 22 different COG categories, where the "general function prediction only" cluster represented the largest group (108, 24.88%), followed by "posttranslational modification, protein turnover, chaperones" (97, 22.35%), "signal transduction mechanisms" (66, 15.20%), and "secondary metabolites biosynthesis, transport and catabolism" (59, 13.59%). In addition, "carbohydrate transport and metabolism" (42, 9.67%) and "lipid transport and metabolism" (35, 8.1%) were also annotated, which are closely related to energy metabolism and cell membrane function.

To identify biological pathways, the DEGs were annotated with the corresponding enzyme commission (EC) numbers from BLASTx alignments against the KEGG pathway databases. Among the 1227 DEGs, 323 (26.32%) were assigned to 82 KEGG pathways (Table S3), where the top 11 pathways were considered significant at a cut-off FDR corrected *p*-value (*q*-value) < 0.05 (Figure 5A). The 11 enriched pathways comprised protein processing in the endoplasmic reticulum, alpha-linolic acid metabolism, spliceosome, circadian rhythm-plant, linoleic acid metabolism, endocytosis, monoterpenoid biosynthesis, porphyrin and chlorophyll metabolism, brassinosteroid biosynthesis, glutathione metabolism, and thiamine metabolism. Most of the genes mapped in the first eight significantly enriched pathways had downregulated expression trends, except for the circadian rhythm-plant pathway. Chlorophyll and carotenoid biosynthesis are crucial for the leaf color and

photosynthesis. We focused our analysis on the major genes related to pigment metabolism and photosynthesis. The results indicated that 33 genes related to either photosynthesis (five genes), Chl metabolism (nine genes), carotenoid biosynthesis (two genes), carbon fixation in photosynthetic organisms (five genes), or carbon metabolism (12 genes) pathways were differentially expressed, and the enrichment of each pathway is shown in Figure 5B. These pathways were investigated in greater detail and they may be important for the unusual leaf color phenotype in Y.





**Figure 4.** Clusters of Orthologous Groups (COG) classifications of the annotated 434 DEGs. The capital letters on the horizontal axis indicate the COG categories that are listed on the right of the histogram, and those on the vertical axis indicate the number of DEGs.



**Figure 5.** Kyoto Encyclopedia of Genes and Genomes (KEGG) classifications of DEGs. (**A**) Enrichment of the top 20 most significant pathways (*p*-value < 0.05). The vertical axis shows the annotations of the KEGG metabolic pathways. The horizontal axis represents the DEG numbers annotated in each pathway; (**B**) KEGG-based pathway assignments of the 33 DEGs (Y versus G) related to photosynthesis and pigment metabolism: photosynthesis (five genes), porphyrin and chlorophyll metabolism (nine genes), carotenoid biosynthesis (two genes), carbon fixation in photosynthetic organisms (five genes), and carbon metabolism (12 genes).

# 2.3. Identification of DEGs Related to Chl Metabolism and Carotenoid Biosynthesis in Y and G Plants

To further identify the key transcripts related to yellow leaf color formation in the mutant Y type, the DEGs in two pathways for Chl metabolism and carotenoid biosynthesis were compared in detail between the Y and G transcriptomes. The results demonstrated that eight genes in the porphyrin and Chl metabolism pathway had downregulated expression levels (q < 0.05, fold change > 2) (Figure 6A,C), including five genes encoding CHLH (i.e., *Traes\_2DS\_BB50DEEF8, Traes\_2DS\_DBD06E18F, Traes\_2AS\_BFBD75AB4, Traes\_2AS\_B6BA92570,* and *Traes\_2BS\_E67494A11*), and three genes encoding protochlorophyllide oxidoreductase (POR), which catalyzes the conversion of proto-chlorophyllide into chlorophyllide during Chl biosynthesis (i.e., *Traes\_2BL\_F22336B90, Traes\_2AL\_E0AC9DBC7,* and *Traes\_2DL\_3C229EB92*). Only one gene (i.e., *Traes\_3AS\_433192E29*) encoding chlorophyllase (Chlase) was upregulated in Y. Moreover, our comparison of the DEGs involved in carotenoid biosynthesis showed that two genes encoding  $\beta$ -carotene hydroxylase (BCH) (substrate:  $\beta$ -carotene; product: zeaxanthin) in the carotenoid biosynthesis pathway were significantly upregulated in Y (Figure 6B,C). The results indicate that photosynthetic pigment biosynthesis is important for the unusual phenotype of Y.



**Figure 6.** DEGs at the transcript level involved in chlorophyll and carotenoid biosynthesis pathways. (**A**) Chlorophyll biosynthesis pathway; (**B**) Carotenoid–xanthophyll biosynthesis pathway. In (**A**,**B**), upregulated genes are marked by red-line borders and downregulated genes by green-line borders. The numbers following each gene name indicate the number of corresponding DEGs identified in our database; (**C**) Expression profile clustering for chlorophyll and carotenoid biosynthesis pathways. Expression ratios are based on log<sub>2</sub> FPKM values (fragments per kilobase of transcript per million mapped reads), where each vertical column represents a sample (G-1, G-2, and G-3; Y-1, Y-2, and Y-3), and each horizontal row represents a single gene. CHLH, Mg-chelatase H subunit; POR, protochlorophyllide oxidoreductase; Chlase, chlorophyllase; BCH, β-carotene hydroxylase.

# 2.4. Identification of DEGs Related to Photosynthesis

The Chl content was closely related to photosynthesis. In this study, we identified five DEGs involved in photosynthesis, including two genes that encode photosystem II 47 kDa protein (PsbB), one gene that encodes photosystem II protein D2 (PsbD), one gene encode PsaC in photosystem I, and F-type ATPase  $\beta$  subunit, most of which were significantly downregulated in the Y type (Figure 7A,B). Moreover, most of the genes that mapped to the carbon metabolism and carbon fixation pathways in photosynthetic organisms exhibited decreased expression in Y compared with G, where the genes encoding ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco), 6-phosphogluconolactonase (6PGL), glucose-6-phosphate 1-dehydrogenase (G6PDH), and 6-phosphogluconate dehydrogenase

(6PGD) were downregulated. However, two fructose-1,6-bisphosphatase genes were upregulated (Figure 7B). In addition, 18 genes related to early light-inducible proteins (ELIPs) were significantly upregulated in Y (Figure 7B). These results indicate that changes in the expression levels of these genes might have blocked photosynthesis, thereby influencing chlorophyll biosynthesis in the mutant Y type.



**Figure 7.** DEGs mapped onto the photosynthesis pathway. (**A**) Photosynthesis pathway. The image of the known photosynthesis pathway was obtained from the freely available KEGG database (http://www.kegg.jp/kegg-bin/show\_pathway?ko00195). The green border denotes lower expression in Y compared with G, red color denotes higher expression, and half red/half green donates both up- and downregulated genes in Y compared to G. The blue dashed lines denote photosynthetic electron transport in the thylakoid membrane, red dashed lines denote light irradiation. The black dashed lines denote energy conversion of carbon fixation in photosynthetic organisms and the solid arrows denote molecular interaction or relation; (**B**) Expression profile clustering for genes involved in the photosynthesis and carbon metabolism pathway. Expression ratios are based on log<sub>2</sub> FPKM values, where each vertical column represents a sample (G-1, G-2, and G-3; Y-1, Y-2, and Y-3), and each horizontal row represents a single gene. PsbB, photosystem II 47 kDa protein; PsbD, photosystem II protein D2; PsaC, photosystem I subunit VII; ELIPs, early light-inducible proteins; FBP, fructose-1,6-bisphosphatae; Rubisco, ribulose-1,5-bisphosphate carboxylase/oxygenase; 6PGL, 6-phosphogluconolactonase; G6PDH, glucose-6-phosphate 1-dehydrogenase; 6PGD, 6-phosphogluconate dehydrogenase; MTHFR, methylenetetrahydrofolate reductase; HIBCH, 3-hydroxylsobutyryl-CoA hydrolase-like protein.

# 2.5. Analysis of TFs and Heat Shock Proteins (HSPs) in Y and G Plants

Transcription factors are important regulators that can activate or repress the expression of genes in a sequence-specific manner, thereby affecting or controlling many biological processes. We found that the GO term "sequence-specific DNA binding transcription factor activity" was most significantly enriched among the entries in the molecular function category (Table S2). Therefore, we identified all of the TFs among the DEGs in Y and G using the plant transcription factor database (PlantTFDB) v4.0 (http://planttfdb.cbi.pku.edu.cn/). In total, 44 DEGs encoding putative TFs were identified and categorized into eight different common families (Table S4). The heat shock transcription factor (HSF) protein family was the most abundant (14 TFs, 32%), where all of exhibited downregulated expression in Y (Table S4), followed by the bZIP (12 TFs, 27%), WRKY (three TFs, 7%), ERF (three TFs, 7%), and GATA (three TFs, 7%) families (Figure 8).



Figure 8. Percentages of different transcription factors involved in the "sequence-specific DNA binding transcription factor activity" GO term.

In order to obtain a more intuitive understanding of the roles of those downregulated HSFs, totally, seven HSF binding motifs were projected in the TFDB database (Figure S1). The binding motifs of these seven HSFs (Figure S1) were then searched to identify the cis-acting elements present in the promoters of the DEGs using FIMO software (version 4.9.0) [43]. The results showed that many genes interacted with these seven HSF genes (Table S5). Thus, we selected 37 genes related to leaf color variations as target nodes and genes annotated as HSFs as source nodes to generate an interaction network diagram using Cytoscape software (version 3.3.0) [44]. We found that genes encoding 30 HSPs (i.e., small HSPs (sHSPs) and HSP70) comprised the main group that interacted with the seven HSFs (Figure 9A). Interestingly, most of the genes annotated as heat shock proteins (HSPs) (e.g., sHSPs, HSP70, and HSP90) in the "protein processing in endoplasmic reticulum" pathway had significantly reduced mRNA levels in the Y type (Figure 9B,C and Table S6). In addition, we found that genes encoding ELIPs, CHLH, and BCH also interacted with the seven HSFs (Figure 9A). Thus, we suggest that downregulation of these seven HSFs may affect the regulation of leaf color formation.



Figure 9. Cont.



**Figure 9.** Gene interaction network diagrams and expression profile clustering for genes encoding heat shock proteins (HSPs). (**A**) Interactions between heat shock transcription factors (HSFs) and other genes. Green inverted triangles represent HSFs, HSFA6B, and HSFB2B. Pink rhombuses represent early light-inducible proteins (ELIPs). Blue circles represent HSPs. Blue squares represent CHLH. The pink square represents  $\beta$ -carotene hydroxylase (BCH); (**B**,**C**) Expression profile clustering for HSP encoding genes. Expression ratios are based on log<sub>2</sub> FPKM values, where each vertical column represents a sample (G-1, G-2, and G-3; Y-1, Y-2, and Y-3), and each horizontal row represents a single gene. sHSP, small heat shock protein; HSP70, heat shock cognate 70 kDa protein; HSP90, heat shock 90 kDa protein; DnaJ, chaperone protein DnaJ.

# 2.6. Quantitative Real-Time PCR (qRT-PCR) Analysis of Candidate Genes

To validate the reliability of the RNA-Seq data, 15 DEGs that we considered to have strong involvement with leaf color variation in Y were subjected to qRT-PCR analysis. The results showed that the expression patterns of the 14 genes detected by qRT-PCR were consistent with those in the transcriptome data, where only one gene (i.e., *Traes\_4DL\_A8FC9F163*) encoding PsbB had a different expression pattern (Figure 10). This discrepancy with the PsbB transcripts detected by RNA-Seq and qRT-PCR may have been caused by differences in the sensitivity of the method employed to analyze this gene. qRT-PCR is based on the specific amplification of single gene primers, and it has higher accuracy than RNA-Seq. Moreover, it is possible that this discrepancy is caused by low-expression levels of PsbB. Transcriptome results showed that *Traes\_4DL\_A8FC9F163* in G was almost no expression, and the expression level in Y was also low. Overall, most of the qRT-PCR data were consistent with the RNA-Seq to the RNA-Seq data.



**Figure 10.** qRT-PCR validation of the RNA-Seq results for the candidate DEGs related to yellow leaf color formation in the Y type. Log<sub>2</sub>(FC) represents the fold change in Y relative to that in G.

#### 2.7. Comparison of Chl Precursors in Y and G Plants

Mg-chelatase is encoded by the *CHLD*, *CHLI*, and *CHLH* genes in higher plants, which catalyze the insertion of  $Mg^{2+}$  into Proto IX to form Mg-Proto IX in chlorophyll biosynthesis [45] (Figure 6A). To further investigate the effects of the downregulated expression of the *CHLH* gene, which is involved in chlorophyll biosynthesis in Y plants, seven intermediate products related to the metabolic process of Chl biosynthesis were compared in half-developed leaves from the Y and G types (i.e., H<sub>Y</sub> and H<sub>G</sub>, respectively, at the jointing stage). The 5-aminolevulinic acid (ALA), porphobilinogen (PBG), uroporphyrinogen III (Urogen III), coproporphyrinogen III (Coprogen III), and Proto IX contents of the half-developed leaves from Y plants were significantly higher than those from the G plants (Figure 11). In particular, the Proto IX contents (the substrate of Mg-chelatase) were two times higher in Y than G plants. However, the Mg-Proto IX (the product of Mg-chelatase), Pchlide, Chl *a*, and Chl *b* contents, decreased significantly in Y plants compared with G plants (Figure 11). These results indicate that the downregulated expression of the *CHLH* gene decreased the Mg-chelatase activity and reduced the production of Chl *a* and Chl *b* in Y plants.



**Figure 11.** Comparison of the relative contents of chlorophyll precursors, chlorophyll, and carotenoids in  $H_Y$  and  $H_G$  leaves at the jointing stage. Three individuals were measured for each chlorophyll and chlorophyll precursor. Each plant was extracted once, and the chlorophyll contents were measured three times. Error bars indicate means  $\pm$  SD based on three independent experiments. Significant differences were determined using the Student's *t*-test in Y compared with G plants (\* *p* < 0.05, \*\* *p* < 0.01). ALA, 5-aminolevulinic acid; PBG, porphobilinogen; Urogen III, uroporphyrinogen III; Coprogen III, coproporphyrinogen III; Proto IX, protoporphyrin IX; Mg-Proto IX, Mg-protoporphyrin IX; Pchlide, protochlorophyllide; Chl *a*, chlorophyll *a*; Chl *b*, chlorophyll *b*; Caro, carotenoid.

# 2.8. Analysis of the Carotenoid Composition in Y and G Plants by HPLC

Our results showed that the total carotenoid level was significantly reduced in Y (Figure 11), but upregulated expression of the *BCH* gene related to carotenoid biosynthesis was also identified (Figure 6B,C). To further confirm the involvement of *BCH* in carotenoid-xanthophyll biosynthesis and the yellow leaf color phenotype in Y plants, we quantitatively analyzed five carotenoid compounds (i.e., lutein, zeaxanthin,  $\beta$ -cryptoxanthin,  $\alpha$ -carotene, and  $\beta$ -carotene) in the half-developed leaves from the Y and G types using high performance liquid chromatography (HPLC), and the results are shown in Figure 12 and Table 2. The standard curve for carotenoids is shown in Table S7.  $\beta$ -carotene and lutein were the major carotenoids found in G plants, and a very small amount of zeaxanthin was also detected (Figure 12). Yellow plants differed in terms of the composition and levels of carotenoids. Consistent with the increased expression of *BCH* genes, the zeaxanthin level was significantly higher (more than 40 times) in Y, and it was the most abundant carotenoid, whereas the  $\beta$ -carotene and lutein levels were significantly lower than those in G plants (Figure 12 and Table 2). The  $\beta$ -cryptoxanthin level did not differ significantly and  $\alpha$ -carotene was below the detection limit in G and Y (Figure 12 and Table 2). In addition, violaxanthin and neoxanthin were identified in Y and G according to individual

peaks in the absorption spectra, but their levels were significantly lower in Y (Figure 12). Overall, our results showed that differences in the carotenoid composition could contribute to the yellow leaf phenotype in Y.



**Figure 12.** High performance liquid chromatography (HPLC) elution profiles for carotenoids accumulated in G and Y leaves at 450 nm. (**S**) HPLC elution profiles for five carotenoid standards. (**G**) HPLC elution profiles for carotenoids accumulated in G leaves. (**Y**) HPLC elution profiles for carotenoids accumulated in Y leaves. The vertical axis shows the absorbance (mAU) at 450 nm, and the horizontal axis represents the retention time for carotenoids. The right panel is the absorption spectra from peak 1 through 8 at 450 nm in Y and G types. The vertical axis shows the mAU, and the horizontal axis represents absorption wavelength. Peak 1, lutein (absorption peak λmax nm: 444, 472); peak 2, zeaxanthin (450, 476); peak 3, β-cryptoxanthin (451, 476); peak 4, α-carotene (445, 473); peak 5, β-carotene (452, 477); peak 6, 9-cis-β-carotene (446, 472); peak 7, violaxanthin (416, 438, 468); peak 8, neoxanthin (413, 435, 463).

Table 2. Comparison of the leaf carotenoids contents ( $\mu g/g$  Fresh Weight) in G and Y at the jointing stage according to HPLC.

Phenotype	Lutein	Zeaxanthin	β-Cryptoxanthin	α-Carotene	β-Carotene
G	$366.60 \pm 23.26$	$3.89\pm0.66$	$0.85\pm0.24$	ND	$494.94\pm45.00$
Y	$140.18 \pm 9.43$ *	$157.62 \pm 15.63$ **	$0.56\pm0.08$	ND	$105.73 \pm 29.75$ *

The carotenoids contents are expressed as the mean  $\pm$  SD based on three independent replications. Asterisks indicate significant differences in the compound measured in the Y type compared with the G type (*t*-test, *n* = 3, \*: *p* < 0.05; \*\*: *p* < 0.01). ND denotes not detected or below the detection limit for HPLC.

# 3. Discussion

Leaf color formation is closely related to chloroplast development and photosynthetic pigments, and it is important for photosynthesis. Recently, many leaf color mutants have been identified in higher plants and they are valuable materials for investigating the biosynthesis of photosynthetic pigments and selective breeding for high photosynthetic efficiency. *Ygm* is a spontaneous yellow-green leaf color mutant of the cultivar Xinong1718 in common wheat, where it is an incomplete dominant semidominant mutant [42]. The dominant homozygotes (Y type) of *Ygm* have yellow leaves, whereas the heterozygotes (Yg type) have yellow-green leaves. The recessive homozygotes (G type) of *Ygm* are normal green. In this study, the G and Y types among the progeny of the *Ygm* mutant were selected as the materials, which we subjected to integrated biochemical analysis and transcriptome profiling to obtain insights into the differences in gene regulation and complex biological processes in G and Y.

# 3.1. Yellow Leaf Phenotype is Closely Associated with Chl and Carotenoid Pigment Metabolism

Leaf color variations are determined by complex biological processes. The yellow leaf color mainly depends on the Chl and carotenoid contents. Chlorophylls are essential molecules for harvesting solar energy in photosynthetic antenna systems, as well as for charge separation and electron transport within the reaction centers, where they are present in the thylakoid membrane in the form of a pigmented protein complex [2]. Comparative transcriptome profiling data for the Y type and G type among the Ygm mutant progeny showed that the expression of CHLH in Y plants was significantly downregulated compared with that in G plants (Figure 6A,C). Mg-chelatase comprises three subunits (i.e., CHLH, CHLI, and CHLD) which catalyze the insertion of Mg<sup>2+</sup> into Proto IX to form Mg-Proto IX in chlorophyll biosynthesis [45]. CHLH is crucial for the Mg-chelatase activity as a catalytic subunit [19]. It has been reported that mutation of the CHLH gene leads to defective Chl and the chlorina or yellow phenotype in rice [8] and Arabidopsis thaliana [20]. Our analysis of seven intermediate products involved in Chl biosynthesis showed that the level of the substrate for Mg-chelatase increased significantly in Y plants whereas the level of the product from Mg-chelatase decreased significantly (Figure 11). Thus, our results indicate that the downregulated expression of the CHLH gene in Y plants may have decreased the Mg-chelatase activity and further reduced the production of Chl a and Chl b in Y plants.

Chl metabolism is a highly coordinated process, which is catalyzed by numerous enzymes. In addition to CHLH mentioned above, POR catalyzes the photoreduction of protochlorophyllide to chlorophyllide in Chl biosynthesis, which is a light-dependent enzyme that is present in all oxygen-producing photosynthetic organisms [46]. In our study, three genes encoding POR were significantly downregulated in Y compared with G (Figure 6A,C). Previous studies of Arabidopsis and rice have suggested that the Chl *a* content is directly related to the expression levels of *PORB* and PORC, and when PORB and PORC are absent in plants, the Chl a content is decreased and the thylakoid is not stacked [47,48]. Moreover, the *pgl10* mutant in rice has phenotypically pale-green leaves with significantly decreased Chl (Chl a and Chl b) and carotenoid contents, and less grana lamellae. Bioinformatics analysis indicates that PGL10 encodes PORB [49]. Those results suggest that POR was inhibited in the Y type, which might have reduced the Chl content and led to the yellow leaf phenotype. Moreover, we found that one gene encoding Chlase in the Chl biosynthesis pathway was significantly upregulated in Y plants (Figure 6C). Chlase is considered to be a key enzyme in chlorophyll degradation [50,51]. However, some evidence does not support the involvement of Chlase in chlorophyll breakdown during leaf senescence [52–54]. For example, in Arabidopsis, AtCHL1 and AtCHL2 encode Chlase, but CHL1 and CHL2 single and double knockout mutants are still able to degrade chlorophyll during senescence [52]. Similarly, overexpression of the Chlase-encoding gene ATHCOR1 in Arabidopsis leads to the increased breakdown of Chl a, but there are no substantial changes in the total amount of Chl [55]. In fact, Chlase has been shown to participate in chlorophyll breakdown in ethylene-treated citrus fruit, as well as in the tissue damage responses to fungi and bacteria [56–58]. The discovery of the involvement of pheophytin pheophorbide hydrolase (PPH) in early chlorophyll

breakdown during leaf senescence [59] has made the biological role of Chlase controversial. Thus, the function and involvement of Chlase in Chl catabolic processes needs to be investigated further.

Carotenoids are essential components of the photosynthetic apparatus and photoprotection system, and their biosynthesis is coordinated with that of Chls in the chloroplasts [60]. In this study, HPLC analysis showed that the  $\beta$ -carotene and lutein levels were significantly lower in Y plants, whereas the zeaxanthin levels were more than 40 times higher than those in G plants (Figure 12 and Table 2). The changes in the abundances of carotenoids were accompanied by the altered expression of carotenoid biosynthesis genes [61].  $\beta$ -carotene hydroxylase (BCH) is mainly responsible for the  $\beta$ -ring hydroxylation of  $\beta$ -carotene to produce zeaxanthin, and its activity overlaps slightly with the hydroxylation of the  $\beta$ -ring of  $\alpha$ -carotene. [62]. In potato tubers, silencing the  $\beta$ -carotene hydroxylase genes CHY1 and CHY2 increases the levels of  $\beta$ -carotene and total carotenoids by up to 38 and 4.5 times, respectively, but reduces that of zeaxanthin [63]. By contrast, downregulating genes that encode BCH increases the  $\beta$ -carotene contents of sweet potato and the maize endosperm [64,65]. In our study, the expression levels of two genes that encode BCH were upregulated in Y plants (by ~1.5 and 1.8 time, respectively) (Figure 6B,C), which presumably enhanced the conversion of  $\beta$ -carotene into zeaxanthin, thereby increasing the zeaxanthin content and decreasing the  $\beta$ -carotene content. However, the increased zeaxanthin content was not converted into vioxanthin and neoxanthin, and their contents actually decreased significantly in Y plants (Figure 12). Zeaxanthin and violaxanthin are involved in the xanthophyll cycle, which is the main mechanism for photoprotection [66]. When plants are exposed to light stress, the photosynthetic organs are damaged and the conversion of violaxanthin into zeaxanthin is increased to protect against further light damage [67]. We suggest that the increase in zeaxanthin and the decrease in violaxanthin were related to damage to the photosynthetic system in Y plants, which enhanced the conversion of violaxanthin into zeaxanthin. We also found that the accumulation of lutein did not accompany the increased expression of BCH, and the lutein content actually decreased greatly in Y. This phenomenon has also been reported in transgenic tobacco, and it may be due to the limited effect of BCH on lutein [68]. In addition, significant reductions in the lutein content were also observed in previous studies in yellow-green tea mutants ZH1 and temperature-sensitive mutant Anji Baicha in the yellow-green stage [69,70].

# 3.2. Yellow Leaf Phenotype Affected by the Expression of Genes Related to Photosynthesis

Yellow leaf color formation is related to chloroplast development, where chloroplasts comprise the chloroplast membrane, thylakoid, and matrix, which are the main sites for photosynthesis. In higher plants, the multi-subunit pigment-protein complexes (i.e., photosystem (PS)I, PSII, light harvesting complexes, cytochrome b6/f, and ATP synthase) are embedded in the highly folded thylakoid membrane where they are responsible for light absorption and energy transfer [71,72]. The photosynthetic light reaction occurs in the thylakoid in chloroplasts, and thus we suggest that changes in gene expression might have been related to thylakoid development and photosynthesis in the two progeny of Ygm. Similar to the photosynthesis pathway, genes encoding PsbB (the transcriptome data indicated one upregulated gene and one downregulated gene, whereas both were downregulated according to qRT-PCR), PsbD, photosystem I subunit VII, and F-type ATPase β subunit were significantly repressed in Y plants (Figure 7). These results were consistent with our previous chloroplast ultrastructure analysis, which demonstrated that yellow leaf color formation is greatly affected by abnormal chloroplast development [42]. In addition, PSII is distributed mainly over the overlapping regions of the grana lamella [73], and the poorly stacked grana in Y might have been associated with the dramatic downregulation of the PSII protein complex [10,74,75]. In higher plants, ELIPs are light stress-induced, Chl a/b-binding proteins that accumulate in the thylakoid membranes, where their proposed function is in photoprotection [76–78]. The increased accumulation of ELIP transcripts and proteins is correlated with photodamage in the PSII reaction centers [79]. Overexpression of the ELIP2 gene in Arabidopsis downregulates the level and activity of glutamyl tRNA reductase, CHLH, and CHLI, thereby reducing the accumulation of Chl and photosystems assembled

in the thylakoid membranes [80]. In yellow leaves, we found that the accumulation of ELIP mRNAs was 2-4 times higher compared with that in green leaves (Figure 7B), which suggests that the yellow phenotype may be related to the upregulation of ELIP transcripts. Rubisco is a rate-limiting enzyme that participates in photosynthetic carbon fixation and it is a potential target for genetic manipulation to increase crop yields [81,82]. Albino or pale green phenotypes are observed in *Arabidopsis* transgenic lines due to the co-suppression of Arabidopsis Rubisco small subunit gene RBCS3B, where among these lines, RBCS3B-7 exhibits abnormal thylakoid stacking and it is light sensitive under normal light [83]. Furthermore, the abundance of Rubisco is lower in *Brassica napus* and a wheat anther culture Chl-deficient mutant [30,74]. Similarly, we found that genes encoding the Rubisco small chain were significantly downregulated in Y in our study (Figure 7B). The pentose phosphate metabolic (PPP) pathway is part of the carbon metabolism pathway and some of the PPP intermediates such as ribulose-5-phosphate (Ru5P) are required for the synthesis of nucleotides, and they are shared in the carbon fixation pathways of photosynthetic organisms [84,85]. In the carbon metabolism pathway, three genes encoding glucose-6-phosphate 1-dehydrogenase (G6PDH), 6PGL, and 6PGD in the oxidative phase of PPP (product: Ru5P and DADPH) were downregulated in Y (Figure 7B). Thus, the altered expression of genes related to carbon metabolism and photosynthesis in Y may have caused abnormal chloroplast development and decreased the Chl content.

#### 3.3. HSFs, HSPs, and Chloroplasts

In this study, all of the HSFs and HSPs had decreased expression levels in Y (Table S4 and Figure 9B,C). HSPs are among the most abundant protective proteins in plants where there are five conserved protein families: HSP100s, HSP90s, HSP70s, HSP60s, and sHSPs [86]. These HSPs act as molecular chaperones that participate in protein folding, assembly, and the prevention of irreversible protein aggregation to maintain cell homeostasis [87–89]. Therefore, HSPs play key roles in plant development and stress resistance processes. HSP-encoding genes are regulated by HSFs by specifically binding highly conserved characteristic palindromic sequence (5′-AGAAnnTTCT-3′) in the promoters of many HSP genes, thereby causing the accumulation of HSPs [90–92]. Seven HSFs target gene prediction analyses demonstrated that a large number of HSP genes (sHSPs and HSP70) as well as some photosynthesis and Chl biosynthesis related genes were target genes regulated by those seven HSFs (Figure 9A).

Some sHSP family members play roles in chloroplast development and photosynthesis under heat stress in many species. For example, after silencing sHSP26 in maize, the abundances of four chloroplast proteins comprising ATP synthase subunit  $\beta$ , Chl *a/b* binding protein, oxygen-evolving enhancer protein 1, and photosystem I reaction center subunit IV declined greatly under heat stress [93]. The overexpression of Oshsp26 in tall fescue also enhanced the photochemical efficiency of PSII (Fv/Fm) during heat stress [94]. In Arabidopsis, the cooperation between HSP21 and pTAC5 is required for chloroplast development under heat stress [95]. These results suggest that the inhibition of sHSPs in Y plants may be caused defective chloroplasts and led to the yellow leaf phenotype. In addition, the involvement of HSP70s with chloroplast development has been observed in Arabidopsis and rice. For example, suppressing the HSP70s homologues cpHsc70-1/cpHsc70-2 double genes in Arabidopsis resulted in a white and stunted phenotype, and the chloroplasts in these plants had an unusual morphology with few or no thylakoid membranes [96]. The phenotype of the T-DNA inserted heat-sensitive rice mutant OsHsp70CP1 varies with temperature, where a severe chlorotic phenotype and lower Chl contents are found in the leaves under a constant high temperature (40 °C), whereas plants grown at a constant temperature of 27 °C have a normal phenotype [97]. Furthermore, HSP70 has been implicated in photoprotection and the repair of PSII during and after photoinhibition [98]. DnaJ proteins are chaperones in the HSP40 family, where the J domain is generally used as a DnaJ co-chaperone to activate the HSP70 ATPase domain to allow stable substrate binding and the release of HSP70 [99,100]. In addition, DnaJ proteins are essential for normal chloroplast development. Silencing of DnaJ encoded gene OsDjA7/8 in rice resulted in an albino lethal phenotype

in the seedling stage due to disordered development of chloroplast [101]. The detection of HSPs in our transcriptome data has further proven that the expression levels of *HSPs* are closely related to chloroplast development and Chl biosynthesis in plant species.

Chloroplasts are semi-autonomous organelles and a complex network of regulatory signals exists between the nucleus and plastids. Plastid retrograde signaling is mediated by the tetrapyrrole intermediate Mg-Proto IX, and its methylester (Mg-Proto IX-ME) was identified in an Arabidopsis genome-uncoupled mutant (GUN5) that encodes the plastid-localized CHLH [20,102,103]. Mg-Proto IX can replace the action of light by inducing two nuclear HSP genes (HSP70A and HSP70B) [104]. In the present study, the Mg-Proto IX content and the expression of HSP70 were significantly decreased in Y (Figures 9C and 11), thereby indicating the possible involvement of Mg-Proto IX in the expression of HSP genes. Furthermore, in the photosynthetic system, the responses of HSPs to plastid retrograde signaling have important roles in regulating the expression of nuclear genes involved in photosynthesis. Kindgren et al. [105] found that HSP90 proteins respond to the GUN5-mediated plastid signal to control the expression of photosynthesis-associated nuclear genes (PhANG) during the response to oxidative stress. Recently, it was shown that Arabidopsis chloroplast HSP21 is activated by the GUN5-dependent retrograde signaling pathway to maintain the stability of the PSII complex and thylakoid membranes under high temperature stress by directly binding to its core subunits, such as D1 and D2 proteins [106]. These results suggest that the responses of HSPs and Mg-Proto IX to plastid signaling might have important roles in photosynthesis.

### 4. Materials and Methods

# 4.1. Plant Materials

*Ygm* was produced from a spontaneous leaf color mutant in the winter wheat cultivar Xinong1718 following 14 generations of self-pollination and direct selection for the yellow-green phenotype in each generation. The progeny of the *Ygm* mutant exhibited three leaf color phenotypes (i.e., yellow leaf plants (Y), yellow-green leaf plants (Yg), and normal green leaf plants (G)) (Figure 1). Yellow leaf plants die after the flowering stage and do not produce seeds, so this genotype was maintained by sowing seeds from the Yg plants each year. Yg plants are similar to the wild type Xinong1718 in terms of their growth period and plant height, but the yield capacity is significantly lower than that of Xinong1718. Green leaf plants are similar to the wild type Xinong1718 in terms of their growth period, plant height, and yield capacity [42]. In total, 66 plant line populations (20 and 46 derived from G and Yg plants, respectively) were sown on 2 October 2015, and 125 seeds from each plant line population were used in a single plot with a spacing of 30 cm between the rows and 8 cm between the plants, where each row measured 2 m. All of the experimental materials were grown in an experimental field at Northwest A&F University, Yangling, China, according to the standard practices employed in the local area.

The colors of the young leaves and leaf developmental states in Y and G were observed visually in the jointing stage in the field (Figure 1A). Three types of young leaves were present in the Y and G seedlings (i.e., fully-developed leaves ( $F_Y$  and  $F_G$ , respectively), half-developed leaves ( $H_Y$  and  $H_G$ , respectively), and small leaf buds ( $L_Y$  and  $L_G$ , respectively)) (Figure 1C,D). We only collected  $H_Y$  and  $H_G$  in the jointing stage from the Y and G seedlings, respectively, to measure the concentrations of Chl precursors and carotenoids compounds, as well as for transcriptome sequencing analysis with the Illumina HiSeq<sup>TM</sup> 2500 sequencing system (Illumina, San Diego, CA, USA).

#### 4.2. RNA Extraction, Library Construction, and RNA Sequencing

For transcriptome analysis,  $H_Y$  and  $H_G$  leaves were collected from Y and G seedlings at the jointing stage (31 March 2017) from 08:00 am to 10:00 am (Figure 1C,D). Six samples from three biological replicates of G and Y were used to construct cDNA libraries designated as G-1, G-2, G-3, Y-1, Y-2, and Y-3, respectively. The samples were frozen immediately in liquid nitrogen and

stored at -80 °C until RNA extraction. Total RNA was extracted using Trizol Reagent (Invitrogen Life Technologies, Shanghai, China) and treated with RNase-free DNase I (TaKaRa, Dalian, China) according to the manufacturer's instructions. The quality of the total RNA was confirmed with a NanoDrop ND1000 spectrophotometer (Thermo Scientific, Wilmington, DE, USA) coupled with 1% agarose gel electrophoresis. The RNA integrity value (>8.0) was also verified using an Agilent 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA). The cDNA library construction and sequencing of six RNA samples were completed by Guangzhou GENE DENOVO Biotechnology Co., Ltd. (Guangzhou, China).

# 4.3. Sequence Alignment and Functional Annotation

In order to obtain clean data, it is essential to remove adaptor sequences, more than 10% of the unknown nucleotides, and low quality reads with more than 50% of low quality (q-value  $\leq$  20) bases. After removing rRNA using the short reads alignment tool Bowtie2 (version 2.2.9) [107], the high-quality clean reads were then mapped to the wheat reference genome sequences in the URGI database (http://wheat-urgi.versailles.inra.fr/Seq-Repository) by TopHat2 (version 2.0.3.12) [108]. In order to identify new genes and new splice variants of known genes, the transcripts were reconstructed using Cufflinks (version 2.2.1) [109] based on reference annotation based transcripts (RABT). Cufflinks constructed faux reads according to references to compensate for the influence of low coverage sequencing. During the last assembly step, all of the reassembled fragments were aligned with reference genes and similar fragments were then removed. Cuffmerge was then employed to combine the assembly results for three samples of each biological duplicate for Y and G for further downstream differential expression analysis. For functional annotation, all of the transcripts including new gene transcripts ( $\geq$ 200 bp and exon number >2) were annotated using the BLASTx function with protein databases, including the NCBI Nr protein database (https://ftp.ncbi.nlm.nih.gov/blast/db/FASTA/), GO (http://www.geneontology.org/), and KEGG (http://www.genome.jp/kegg/kegg2.html) databases with a significance threshold of *E* value  $< 10^{-5}$ . GO annotation was conducted using Blast2GO (vision 2.5) software [110] and WEGO software (version 2.0) was then applied for gain GO function classification [111]. KEGG pathway analyses were conducted using the KEGG Automatic Annotation Server (KAAS) (http://www.genome.jp/tools/kaas/).

# 4.4. DEG Analysis

The FPKM values were used as a measure of normalized gene expression, and significance tests of differences in gene expression in Y and G (each with three biological replicates) were performed using the edgeR package (http://www.bioconductor.org/packages/release/bioc/html/edgeR.html). FDR < 0.05 and  $|\log_2$  (fold change) |  $\geq 1$  were used to assess the significance of differences in gene expression. Hierarchical clustering of the DEGs was performed using the OmicShare tools, which is a free online platform for data analysis (http://www.omicshare.com/tools).

The DEGs were then annotated with the COG database to predict and classify possible functions using BLASTx (*E* value <  $10^{-5}$ ). GO enrichment analysis of DEGs was implemented using the GOseq R package (Bioconductor version: release (3.7)) [112]. The enrichment of the DEGs in KEGG pathways was tested using the KOBAS software (version 2.0) [113]. GO terms and KEGG pathways with corrected *q*-values < 0.05 were considered significantly enriched for DEGs. The correlations between biological repeats in Y and G were expressed as Pearson's correlation coefficients.

# 4.5. qRT-PCR Analysis

In order to validate the results of the RNA-Seq and DEG analyses, the color-related DEGs were selected for qRT-PCR with specific primers designed using Primer Premier 5.0 software (Table S8). cDNA was synthesized according to the manufacturer's instructions using a PrimeScript<sup>TM</sup> RT reagent kit with gDNA Eraser (TaKaRa, Dalian, China). RNA (1  $\mu$ g) extracted from the half-developed leaves was used as the template. qRT-PCR was performed using a SYBR Premix Ex Taq<sup>TM</sup> II Kit (TaKaRa,

Dalian, China) according to the manufacturer's instructions with a QuantStudio<sup>®</sup> 7 Flex Real-Time PCR system (Applied Biosystems, Shanghai, China). Wheat 18S rRNA was used as an internal control for normalization [114]. The amplification efficiencies of the primers were checked based on standard curve analysis. Each of the reactions was performed in triplicate. Dissociation curve analysis was performed after each assay to determine the target specificity. Relative gene expression levels were calculated according to the  $2^{-\Delta\Delta Ct}$  comparative  $C_T$  method [115].

#### 4.6. Determination of Photosynthetic Pigments and Chl Precursors

The Chl a, Chl b, and total carotenoid contents were determined using an UV-1800 spectrophotometer (Shanghai Mapada Instruments Co. Ltd., Shanghai, China) at 645, 663, and 470 nm according to the method described by Lichtenthaler [116]. The chlorophyll biosynthesis pathway is shown in Figure 6A. Seven precursors of chlorophyll biosynthesis were examined. The ALA contents were extracted and determined as described by Dei [117]. PBG, Urogen III, and Coprogen III were quantified as described by Bogorad [118]. Proto IX, Mg-Proto IX, and Pchlide were extracted according to the methods described by Rebeiz et al. [119]. The Proto IX, Mg-Proto IX, and protochlorophyllide contents were measured with a Hitachi F-4500 fluorescence spectrometer (Hitachi Instrument (Shanghai) Co., Ltd., Shanghai, China). The wavelengths used for detecting each porphyrin were as follows: Proto IX = excitation (Ex) 400 nm and emission (Em) 633 nm; Mg-Proto IX = Ex 440 nm and Em 595 nm; and protochlorophyllide = Ex 440 nm and Em 640 nm. Three individual plants were measured from the Y and G seedlings. The H<sub>Y</sub> and H<sub>G</sub> leaves from each plant in the jointing stage were extracted once and each sample was measured three times. Statistical analyses were performed using Microsoft Excel 2016 (Microsoft China, Beijing, China) with the one-way ANOVA test. The concentrations of pigments and Chl precursors in the G seedlings were set to 1, and the relative values for pigments and Chl precursors in the Y samples were expressed as fold changes relative to those in the G type samples.

# 4.7. Isolation and HPLC Analysis of Carotenoid Compounds

Carotenoids were extracted from half-developed wheat leaves from Y and G plants at the jointing stage according to the method described by Norris et al. [120] with appropriate modifications. All of the extraction procedures were conducted on ice with shielding from strong light. Briefly, 2 g of the fresh leaves were ground into a powder with liquid nitrogen and saponification was performed by adding 8 mL 20% w/v KOH and methanol. The homogenates were then transferred into 50 mL centrifuge tubes and heated at 60 °C for 30 min in darkness. After cooling to room temperature, each sample was ultrasonicated with 20 mL acetone:ethyl acetate (v:v = 2:1) for 40 min at 35 °C. The extract was then centrifuged at  $8000 \times g$  at 4 °C for 5 min. The supernatant was then transferred to a fresh tube and concentrated using a nitrogen blowing instrument. The dried extract was re-suspended in 10 mL of acetone and ethyl acetate (v:v = 2:1) and then filtered through a 0.22 µm organic membrane for HPLC analysis.

Carotenoids were separated by reverse-phase HPLC analysis on a YMC C<sub>30</sub> carotenoid column (150 mm × 4.6 mm, 3 µm) (YMC Co. Ltd., Shanghai, China) using a Shimadzu LC-20A HPLC system (Shimadzu, Tokyo, Japan). HPLC separation employed (A) methanol:acetonitrile (v:v = 3:1) and (B) methyl *tert*-butyl ether with a gradient of: 0–5 min, 0% B; 5–30 min, 0–35% B; 30–40 min, 35–45% B; 40–50 min, 45–0% B. The flow rate was 1 mL/min and the column temperature was 25 °C. The detection wavelength was 450 nm.  $\beta$ -carotene and zeaxanthin analytical standards were purchased from Sigma–Aldrich (Shanghai, China).  $\beta$ -cryptoxanthin and lutein analytical standards were purchased from Extrasynthese (Lyon, France). The  $\alpha$ -carotene analytical standard was purchased from CaroteNature (Lupsingen, Switzerland). Each standard (1 mg) was dissolved in 1 mL of dimethyl sulfoxide. In order to establish a standard curve, mixed standard solutions of 4 µg/mL, 2 µg/mL, 1 µg/mL, 0.5 µg/mL, and 0.25 µg/mL were then prepared by diluting the stock solutions. Carotenoids were identified based on their retention time relative to known standards and absorption spectra for individual peaks compared with published spectra. Individual carotenoids were quantified based

on the individual peak areas by using the standard curves and expressed as  $\mu g/g$  by fresh weight. Three biological replicates were analyzed in Y and G plants, and the carotenoid content was expressed as the mean  $\pm$  SD based on three independent determinations.

# 5. Conclusions

In this study, transcriptome sequence analysis and physiological characterization were performed to identify the major molecular mechanisms related to leaf color variation in the mutant progeny of wheat *Ygm*. The transcriptome profiles differed considerably between Y and G, where various genes and pathways associated with yellow leaf formation were identified, including photosynthetic pigment synthesis, photosynthesis, and carbon fixation pathways. In addition, HSPs were shown to have important functions in response to plastid retrograde signaling and chloroplast development. Genes that interact with HSFs were shown to be associated with Chl biosynthesis. The measurements of Chl precursors indicated that the Y phenotype probably exhibited inhibited Chl biosynthesis due to the reduced activity of Mg-chelatase that is caused by the downregulation of *CHLH*. Moreover, the changes in the abundances of carotenoid composition may be associated with the yellow leaf phenotype. Overall, we speculated that the possible formation mechanism of yellow leaf phenotype in Y, which was shown in Figure 13. Our results provide new insights into the molecular mechanisms of yellow leaf formation in common wheat and they may facilitate selective breeding for high photosynthetic efficiency.



**Figure 13.** Possible formation pathway of yellow leaf phenotype of Y mutant. The red arrow indicates upregulated expression and the green arrow indicates downregulated expression. The green ovals indicate chlorophyll biosynthesis and chloroplast development. The yellow ovals indicate carotenoid biosynthesis, photosynthesis and energy metabolism.

Supplementary Materials: Supplementary materials can be found at www.mdpi.com/1422-0067/19/6/1594/s1

**Author Contributions:** L.Z. and H.W. conceived the original screening and research plans; L.Z. supervised the experiments; H.W. performed most of the experiments, analyzed the data, and prepared the figures and tables; L.C., N.S., C.L., and X.A. provided technical assistance to H.W.; H.F. provided help and guidance in carotenoid measurement experiment. Y.F. and D.S. advised on the analysis and interpretation of the results. H.W. wrote the article with contributions from all the authors. All authors approved the final manuscript.

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## Article Stable Membrane-Association of mRNAs in Etiolated, Greening and Mature Plastids

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**Abstract:** Chloroplast genes are transcribed as polycistronic precursor RNAs that give rise to a multitude of processing products down to monocistronic forms. Translation of these mRNAs is realized by bacterial type 70S ribosomes. A larger fraction of these ribosomes is attached to chloroplast membranes. This study analyzed transcriptome-wide distribution of plastid mRNAs between soluble and membrane fractions of purified plastids using microarray analyses and validating RNA gel blot hybridizations. To determine the impact of light on mRNA localization, we used etioplasts, greening plastids and mature chloroplasts from *Zea mays* as a source for membrane and soluble extracts. The results show that the three plastid types display an almost identical distribution of RNAs between the two organellar fractions, which is confirmed by quantitative RNA gel blot analyses. Furthermore, they reveal that different RNAs processed from polycistronic precursors show transcript-autonomous distribution between stroma and membrane fractions. Disruption of ribosomes leads to release of mRNAs from membranes, demonstrating that attachment is likely a direct consequence of translation. We conclude that plastid mRNA distribution is a stable feature of different plastid types, setting up rapid chloroplast translation in any plastid type.

**Keywords:** chloroplast; etioplast; membrane; organelle; ribosome; RNA processing; translation; *Zea mays* 

## 1. Introduction

Subcellular RNA localization is an important means of gene regulation in eukaryotic organisms [1], but also in bacteria [2]. In plants, insights into intracellular RNA localization are limited and have been mostly studied for the localization of mRNAs for endosperm storage proteins [3]. Plant cells do not only contain RNAs in the nucleo-cytosolic compartments, but also within the two DNA-containing organelles, the mitochondria and chloroplasts. Chloroplast genomes of angiosperms code for about 80 proteins. In addition, there are genes for tRNAs and rRNAs; rRNAs are 70S ribosomes that translate all chloroplast mRNAs.

The sub-organellar localization of chloroplast RNAs has rarely been addressed, but there are a few notable exceptions. In the green algae *Chlamydomonas reinhardtii*, several chloroplast mRNAs (e.g., *psbC*, *psbD*, *rbcL*) have been demonstrated to be enriched around the chloroplast pyrenoid [4,5] by fluorescence in situ hybridization (FISH). The pyrenoid seems to be a dominant site of mRNA translation and thylakoid membrane complex assembly in this algae [6]. A large fraction of all Chlamydomonas chloroplast 70S ribosomes is attached to thylakoid membranes, as evidenced both by electron microscopy as well as cell fractionation [7–9]. This suggested early on that chloroplast mRNAs are translated in close association with chloroplast membranes. Since many chloroplast mRNAs encode integral membrane proteins, it was assumed that translating ribosomes get trapped on membranes because the nascent protein chain is translated directly into the thylakoid membrane.

This is supported by the finding that membrane attachment of RNAs depends on active translation [10] and is proportional to overall protein synthesis within thylakoid membranes [11]. These findings imply that the membrane-bound ribosomes are associated with mRNAs. This is supported by in vitro experiments, where washed thylakoids are capable of synthesizing proteins when supplemented with soluble factors [12,13]. Recently, ribosome-protected mRNA fragments in the soluble fraction of chloroplasts were compared with ribosome-protected mRNA fragments in the membrane fraction. This demonstrated transcriptome-wide association of mRNAs coding for membraneous proteins to chloroplast membranes [14]. Noteworthy, mRNA association via nascent-chain bound ribosomes with membranes is astonishingly stable and occurs even in the absence of chlorophyll production [15].

Chloroplast mRNAs are produced as polycistronic units and are subsequently processed [16]. RNA splicing, endonucleolytic cleavage and exonucleolytic trimming and decay gives rise to complex transcript patterns. For most transcript units, the biological importance of this complexity is unclear. Some processing events have been shown to be required for producing translatable messages (e.g., [17]). In maize chloroplast development, a correlation of the accumulation of monocistronic transcript isoforms with their translational efficiency has been noted [18]. In general, however, both unprocessed polycistronic as well as processed monocistronic forms are supposed to be translated [14,19]. How prevalent differential translation of longer or shorter transcript isoforms is in the chloroplast transcriptome is largely unknown.

We set out to determine which mRNAs are associated with chloroplast membranes versus free mRNAs in the chloroplast stroma fraction. Our results suggests that individual transcripts from a single operon show preference for either stromal or membrane association. In rare cases such associations are subject to developmental change, but in general, membrane-enrichment of plastid RNAs is constant during chloroplast development.

## 2. Results and Discussion

#### 2.1. Preparation of Sub-Organellar Fractions Highly Enriched in Membrane and Stroma Marker Proteins

We prepared membranes and stroma extracts from isolated plastids from 9-day-old *Zea mays* seedlings that were either grown in the dark (i.e., are etiolated), from greening seedlings (grown in the dark and then irradiated for 16 h, then kept in the dark for an 8 h night and harvested next morning after an additional 75 min of light exposure), or from plants grown under standard long-day conditions. The three tissue types represent etioplasts, greening plastids, and mature chloroplasts, respectively. The fractions were analyzed immunologically for the presence of the marker proteins PetD and RbcL (Figure 1). PetD is a membrane protein of the cytochrome  $b_6f$  complex; RbcL is a stromal protein. There is a slight signal for PetD in stroma from etiolated plants, while the protein is beyond the detection limit in the two other stroma preparations. A small fraction of RbcL can be found within each membrane fraction, indicating a slight contamination of our membrane preparation with stromal proteins. Nevertheless, this immunological analysis shows successful enrichment for both, membrane and stroma proteins, in the two fractions.



**Figure 1.** Enrichment of marker proteins in chloroplast membrane and stroma fractions. Western blot analysis was performed from each type of tissue using RbcL and PetD antisera as markers for stroma and membrane, respectively. RNAs from these fractions were used for microarray analysis. A seven hundredth of each sample was analyzed by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE). The membrane fraction was washed five times prior to RNA extraction. Aliquots from the supernatants of the first and the last wash were analyzed here as well (W1 and W5).

## 2.2. Global Association of Chloroplast mRNAs for Membrane Proteins with Chloroplast and Etioplast Membranes

To investigate the association of RNA with membranes on a global level, we labelled RNA from stroma and membrane fractions with fluorescent dyes and competitively hybridized them to a whole-genome tiling array of the maize chloroplast genome [20]. We opted for stroma preparations versus total chloroplast RNA as a control sample, since at least some RNA degradation is expected to occur during membrane/stroma preparation, but is largely absent from total chloroplast RNA preparations. The ratio of membrane-signal over stroma signal (the membrane enrichment value (MEV)) was calculated for all probes. MEVs from four biological replicates were normalized, combined and plotted against the genome position of the probes (Figure 2a). A gene ontology (GO) analysis was carried out for the top 10% probes with the highest MEVs in comparison to all probes on the array (Figure 2b; full data set can be found in Table S1). This revealed that probes representing components of photosystem I, II and the cytochrome  $b_6 f$  complex are overrepresented in the top 10% MEV probes. Several operons containing genes for these complexes are represented by multiple probes of similar MEVs, for example the *psbB–petB* and the *psbD/C* operons, which is further support for the validity of the assay. Membrane-based translation of mRNAs coding for photosynthetic proteins has been demonstrated before by ribosome profiling [14]. By contrast, probes for ribosomal proteins, the NADH dehydrogenase (NDH) complex and the ATP synthase are underrepresented in the top 10% MEV probes. Probes for rbcL, tRNAs, the plastid RNA polymerase and uncharacterized open reading frames (ORFs) are not found at all among the top 10% MEV probes. This distribution of MEVs was found for each of the three tissues analyzed, i.e., noteworthy also for etiolated tissue (Figure 2 and Table S2).

While most RNAs within the top 10% membrane-enriched fraction are encoding proteins with photosynthetic functions, there are also interesting exceptions, namely *rps14* and *cemA*. Both are represented by multiple probes within the top 10% MEV probes. *rps14* is the only mRNA coding for a ribosomal protein that shows such high enrichment in membranes (Table S2). It is suggested that the *cemA* gene product is required for the import of inorganic carbon across the envelope membrane, but it was not found to be attached to membranes in recent ribosome profiling analysis [14]. Both *rps14* and *cemA* are part of main peaks in this analysis, represented by multiple probes corresponding to the *psaA/B* and the *cemA*-*petA* operon (Figure 2a). *rps14* is accumulating as part of a tricistronic

transcript together with *psaA* and *psaB* and *cemA* is located within an operon adjacent to *petA*. *petA* and *psaA/B* encode core membrane proteins of photosystem I and the *cytochrome* b<sub>6</sub>f complex. Thus, *rps14* and *cemA* are likely drawn to the membrane as part of a larger transcript tethered to the thylakoid membrane via translated *psaA/B* and *petA*, respectively.



Figure 2. Microarray analysis of RNAs enriched at chloroplast membranes. (a) Membrane-enrichment of RNA along the chloroplast chromosome. Isolated chloroplast from maize seedlings grown at three different light regimes (leading to mature, greening, and etiolated plastids, respectively) were processed into stroma and membrane fractions. Two µg of RNA isolated from each fraction were differentially labeled and hybridized to a microarray representing the maize chloroplast genome in a tiling fashion. The ratio of membrane versus stroma signal was plotted against the genomic position on the Zea mays chloroplast genome (acc. NC\_001666). The graphs shown represent four biological replicates. Normalization between conditions is based on the sum of membrane enrichment values (MEVs) of all probes for each condition. Probes corresponding to mRNAs coding for known membrane proteins are highlighted by dashed boxes. Selected soluble RNAs are labelled as well. The data underlying this chart are deposited in Table S1; (b) Gene ontology (GO) term analysis of membrane-enriched RNAs in the chloroplast. The probes showing the top 10 percent MEVs binned into 12 functional categories (PSI = photosystem I; PSII = photosystem II; Prot. = proteins; ORFs = open reading frames of unknown function; misc. = miscellaneous). Probes covering more than one category were counted in each relevant bin. The numbers of probes within each bin are expressed as the fraction of the total number of probes for each condition (in %). This is compared to the distribution of all probes on the array across the bins (open bars). Probes for photosystems I and II are over-represented in the top 10% MEV probes of all three tissue types.

## 2.3. Membrane Association of Chloroplast RNAs via Ribosomes

The suggested mechanism of membrane association of chloroplast mRNAs is via actively translating ribosomes [7,9,14]. To test directly for the importance of ribosomes for mRNA-membrane association, we treated membranes with ethylenediaminetetraacetic acid (EDTA) to separate the small and large subunit of the ribosome and thus disrupt membrane and mRNA association. RNA retrieved

from supernatants and membranes after EDTA treatment was analyzed by RNA gel blot hybridization using a probe specific for the *psbD* gene (Figure 3a). We observed that in mock-treated samples, the signal for *psbD* mRNAs is almost completely retained in the membrane fractions. Only shorter transcripts of 1.0 to 1.5 kb are found in the stroma of etiolated and greening tissue. These transcripts likely represent monocistronic *psbD* (the *psbD* coding region is 1.0 kb in length) and are in excess of longer precursors. Possibly, such abundant shorter transcripts are not all engaged with ribosomes and can thus partially remain in the stroma. Larger transcripts encompassing *psbD*, *psbC* and further genes are only found in membrane fractions prior to EDTA treatment, which could be explained by their more efficient translation relative to the smaller monocistronic RNAs. After EDTA treatment, no *psbD* remains detectable in membranes and the RNA is instead found in the supernatant. This is further evidence for ribosomes being required for membrane attachment of chloroplast mRNAs. Still, it cannot be ruled out that larger ribonucleoprotein (RNP) granules that would co-enrich with membranes despite a physical interaction, dissolve due to EDTA treatment and are thus released in the supernatant. Such granules have been described in *Chlamydomonas reinhardtii* [21].





Figure 3. RNAs are associated to chloroplast membranes via translating ribosomes. (a) Disruption of ribosomes by ethylenediaminetetraacetic acid (EDTA) releases psbD mRNAs into the soluble fraction. Membrane fractions from the same number of plastids from three different tissue types were either treated with EDTA in order to separate the large and small subunit of the ribosome or were treated with buffer (mock). Membranes were spun down after treatment (P) and the supernatant was collected as well (S). RNA was extracted from all fractions and fractionated on 1.2% agarose gel and analyzed by hybridization to a radiolabeled psbD probe (see Table S4 for primer sequences). As a quality control, the rRNAs were stained with methylene blue; (b) Release of mRNAs from chloroplast membranes after puromycin treatment. Membranes were isolated from mature chloroplasts that were purified from four-week-old plants grown under long-day conditions. Membranes were treated with puromycin to release nascent chains and ribosomes from the mRNAs and thus secondarily free mRNAs from the membrane. The membrane was washed multiple times. RNA from the supernatant after puromycin treatment and from the washed membranes was analyzed by a whole genome tiling array of the maize chloroplast genome. The ratio of freed RNA over membrane-bound RNA was calculated for each probe and plotted against genome position. Selected probes are labelled by the gene-names they represent. The isoaceptor tRNAs are named by their respective codon (GGU, GCA, UGU, CAU and GAC, respectively).

Therefore, to validate the hypothesis that nascent chains emerging from ribosomes tether polysomes to chloroplast membranes, we treated mature membranes with puromycin. Puromycin leads to premature peptide chain termination and thus releases ribosomes from mRNAs. We analyzed RNAs released into the supernatant versus membrane-bound RNAs on our microarray after puromycin treatment. As expected, puromycin led to a massive release of ribosomal RNAs from membranes, demonstrating that the antibiotic treatment was successful (Figure 3b). This rRNA release was accompanied by a loss of mRNAs from membranes. mRNAs that showed high MEV values in our previous analysis were particularly prone to detachment from membranes after puromycin treatment. This was for example seen for the *psbD/C* and *psaA/B* operons (Figures 2a and 3b). A similar set of RNAs was found when we treated membranes with EDTA and analyzed the RNAs on microarrays (Figure S1). By contrast, tRNAs were released to a far lesser extent (Figure 3b). tRNAs and also the known stromal mRNAs *rbcL* were found in membranes even after extensive washing. This could be caused by the protection of RNAs with membranes was mediated globally by translating ribosomes tethered to membranes via nascent peptide chains.

# 2.4. tRNAs, mRNAs for Stromal Proteins and Short mRNAs for Membrane Proteins Are Enriched in the Soluble Fraction of Plastids

As expected, many tRNAs show low MEVs, in fact the lowest of the entire analysis (Table S2). 79% of all genes represented in the 10% least enriched RNAs across all three tissue types are tRNA genes. An additional 16% of the low MEVs correspond to ORFs of unknown function (Table S2). In order to visualize, which mRNAs show low MEVs, we removed probes for tRNAs and rRNAs from the analysis (Table S3). Expectedly, mRNAs like *rbcL*, *clpP*, *infA* and *matK* that code for soluble proteins do show low MEVs. Similarly, many mRNAs for ribosomal proteins display low MEVs. In addition, few probes for photosynthetic mRNAs coding for membrane proteins have low MEVs as well. Of the latter, almost all represent short reading frames, including petN (29 codons), petL (31), petG (37), psaI (36), psaJ (42), psbM (34) and psbJ (40). As has recently been discussed for ribosome profiling, such short mRNAs are fully translated before a sufficiently long peptide chain emerges from the large subunit's exit channel that would enable contact with the membrane [14]. An exception within this group is *psbA*, which encodes the 40 kDa membrane protein D1 and is 354 codons long. Several probes for *psbA* appear at the bottom of the MEV list for all three tissue types. mRNA for *psbA* is accumulating in large amounts in chloroplasts, mostly untranslated [22,23], and is believed to be required as a reservoir during stress-induced demand for D1 production [24]. Thus, the untranslated excess of *psbA* mRNA likely leads to the observed low membrane enrichment values. In sum, our analysis demonstrates on a transcriptome-wide scale that mRNAs coding for soluble proteins localize to the stroma. In addition, short mRNAs for membrane proteins as well as the *psbA* mRNA are predominantly stroma-localized as well. Paralleling what we observed for membrane-associated RNAs, the list of soluble RNAs is similar between all three tissue types examined.

## 2.5. Etioplasts Display Membrane Enrichment of RNAs Similar to Chloroplasts

Etioplasts differ dramatically in their internal structure from structures known in developing and mature chloroplasts, showing a para-crystalline prolammelar body (PLB) instead of thylakoid membranes. Thus, it came as a surprise that the membrane enrichment curves of plastid RNAs are similar between the three plastid types analyzed (Figure 2a). To uncover potential differences, we did a pairwise comparison of the MEV datasets (Figure S2). This corroborated the large similarities found in the operon (Figure 2a) and GO term analysis (Figure 2b). Intriguingly, greatest similarities are not found between the two green plastid types, but between etioplasts and plastids from greening tissue (Pearson correlation  $r_{E/DE} = 0.83$ ; Figure S2). By contrast, transcript enrichment at membranes in mature chloroplasts are less similar to the two other plastid preparations ( $r_{DE/G} = 0.66$ ;  $r_{E/G} = 0.72$ , Figure S2). Possibly, the short time span of irradiation has not sufficed to erase the etioplast transcript pattern in greening plastids, thus making these two plastid types more similar on the RNA level and thus also membrane enrichment level than either is to mature chloroplasts. By contrast, the translational activity will have much increased in the greening plastids, but has not led to stronger membrane attachment in our dataset nor in a previous analysis of selected mRNAs [25].

Overall, the most pronounced difference was found for the aforementioned ORFs. The region encompassing ORF46 to ORF137 shows a higher membrane enrichment in etiolated and greening versus mature tissue (Figure S2). The ORFs in question are remnants of a long reading frame of unknown function called *ycf*2 [26]. Their low spot count, indicative of a low expression level, suggests that further, more sensitive assays will be necessary to ascertain the differential membrane association seen here.

Why should there be mRNA association with membranes in etioplasts at all? 95% of all prolammelar body (PLB) proteins are protochlorophyllid oxidoreductases (PORA and PORB), which are encoded in the nuclear genome [27–31]. In addition, a number of proteins involved in the light reactions of photosynthesis has been identified. This includes the soluble chloroplast-encoded proteins AtpA, AtpB, RbcL, as well as membranous, chloroplast-encoded proteins like the subunits of the cytochrome b6f complex, and the PSII subunit PsbE [28,30,32–34]. Thus, etioplast do have an active translation system. We conclude, that the observed association of many mRNAs coding for membrane proteins with etioplast membranes is reflecting this translational activity (Figures 2 and 3; [14,35]). It remains however unclear, to which membrane type these RNAs are bound, since we did not differentiate between etioplast envelope membranes, the PLB and lamellar prothylakoid membranes present in various cell types of etiolated maize leafs [36]. Also, we cannot exclude that the green light we utilize during etioplast preparation affects translational activity and thus mRNA localization.

In contrast to the examples listed above, many other of the chloroplast-encoded proteins of the thylakoid membrane complexes have not been detected in etioplasts. These appear to be only accumulating upon illumination. For example, the photosynthetic membrane proteins D1, PsbB, PsbC or the PSI reaction core proteins are not or only barely detectable in etioplasts, but have been shown to exhibit a massive increase in expression during photomorphogenesis [25,33,37]. We show here on a genome-wide scale that this increased expression is not reflected by a comparable increase in association of the corresponding mRNAs to chloroplast membranes in greening versus etiolated tissue. Rather, membrane association of plastid mRNAs appears stable across the three plastid types analyzed here. Even *psbA* and *psbB*, which show induction of mRNA levels in greening tissue, exhibit only a mild change in the ratio of membrane-bound versus stroma-bound RNAs. This is in line with previous analyses that show only a slight increase in *psbA* membrane attachment during de-etiolation in barley [38].

# 2.6. Quantitative Analysis of Membrane-Bound and Stromal mRNAs on a "Per-Chloroplast" Base Uncovers Transcript-Autonomous Localization Patterns

Our array analysis indicates association of RNAs with chloroplast membranes, but the resolution of this approach is limited by the number and length of probes on the microarray. Thus, the microarray analysis misses the great variety and complexity of transcripts representing individual genes within each operon. To understand which RNA species are bound to membranes, we performed quantitative RNA gel blot hybridization assays. We again extracted chloroplasts from the three maize cultures and followed the same procedure for RNA preparation from membrane and stroma fractions as for the microarray approach, with the exception that we counted chloroplasts prior to lysis and cell fractionation. This way, we could normalize the RNA amount from stroma and membrane preparations to chloroplast numbers and thus represent the quantitative differences in RNA abundance between the two fractions more accurately than when total RNA amounts are used for normalization. We utilized probes for eight different chloroplast genes that can be divided into two groups: a group of genes coding for membrane-proteins; and a second group including mRNAs coding for soluble proteins and RNAs that are not translated. *atpH*, *petA*, *psbB*, *psbA* represent the former group, while *psaC*, *rps16*,



*rbcL*, *rrn5* and *rrn16* represent the latter. The RNAs we recover from the chloroplast fractions are intact: there are no bands found that would not also be seen in total RNA preparations (Figure 4).

**Figure 4.** Analysis of transcript accumulation in membrane and stroma preparations on a per-chloroplast base. Equal aliquots of RNA from membrane and stroma fractions of purified plastids from three different tissues were extracted from the same number of chloroplasts. In addition, five micrograms of total leaf RNA was analyzed as well. The RNAs were fractionated on 1.2% agarose gel and analyzed by hybridization to radiolabeled probes for the plastid RNAs indicated (see Table S4 for primer sequences). As a quality control, the rRNAs were stained with methylene blue. Str = RNA from stroma fractions; m = RNA from membrane fractions.

2.6.1. The Analysis of Equal Amounts of Total Cellular RNA from Different Tissues Does Not Accurately Reflect per Organelle RNA Levels for Most Plastid Genes

All RNAs analyzed are found to be expressed in etiolated, greening and mature plastids. In etiolated tissue, there is overall less RNA per plastid than in the two photosynthetic tissues examined

(Figure 4). This is most pronounced for RNAs related to the light reactions of photosynthesis (*psaC*, *petA*, *psbB*, *atpH*, *psbA*) and to a lesser extent also for *rbcL* and genes of the genetic apparatus (*rps16*, *rrn5*). 16S rRNA accumulation remains constant across all three conditions. For a number of RNAs, peak accumulation levels are found for greening tissue rather than for mature tissue (e.g., *rrn5*, *rbcL*, *atpH*). Hence, in line with previous analyses, there is a global dependency of chloroplast RNA accumulation on light signals and chloroplast stage. Whether the observed smaller differences in the extent and timing of induction are biologically relevant, is unclear at present.

When considering total RNA preparations (lanes loaded with equal total cellular RNA amounts), there is dramatically less chloroplast RNAs in etiolated and greening tissue than in mature tissue for most genes analyzed. Apparently, the amount of RNA per chloroplast remains constant during initial chloroplast development while, later, the ratio of plastid RNA to cytoplasmic ribosomal RNAs increases towards mature chloroplasts. Again, only the 16S ribosomal RNAs displays an approximately equal accumulation in total RNA across the three conditions. In addition, *psbA* and *rrn5* display induction of RNA levels already in total RNA preparations of greening tissue. Apparently, RNA accumulation is reacting faster to irradiation for these two genes than for the other genes assessed here. A rapid response of *psbA* on all levels of gene expression has indeed been described in various plant species [33,39]. In general, our analysis demonstrates that changes observed for chloroplast-encoded transcripts in different tissues or conditions are pronouncedly dependent on whether normalization is made according to total cellular or total chloroplast RNAs.

#### 2.6.2. mRNAs Are Enriched at Chloroplast Membranes in a Transcript-Autonomous Fashion

The RNA gel blot hybridizations corroborate microarray findings. For example, RNAs for *psbB* and *petA* that display the most pronounced membrane-bias in the northern analysis do also display high MEVs in microarrays. RNAs found enriched in the stroma in RNA gel blots like *rbcL* and *rps16* have comparatively low MEVs (compare these four mRNAs in Figures 2a and 4). In line with this, mRNAs for *atpH*, *psbA*, and the extrinsic membrane proteins *psaC*, display low membrane enrichment values in the microarray analysis and are also found predominantly in stroma fractions in RNA gel blots. This demonstrates a qualitative congruence of the two assays.

For all probes, we noted that the qualitative transcript patterns are similar between stroma and membrane fractions: All transcripts found in the stroma can also be detected in the membrane fraction for any of the probes used (Figure 4). Intriguingly, different transcripts detected with the same probe display distinct distributions between membrane and stroma. A striking example for this is the *psaC* probing, which shows several transcripts highly enriched in the stroma fraction, and in addition larger transcripts that are approximately equally distributed. Most prominent is a band of less than 0.5 kb (labelled "a" in Figure 4), which corresponds to the monocistronic form of psaC [40] and is found almost exclusively in the stroma fraction. This RNA species has been described as the major translatable RNA species in tobacco [40,41]. Given that PsaC is translated as a soluble protein and only later assembled into PSI [42,43], it is not surprising that its translated mRNA is found in the stroma. Longer transcripts are however found in membrane fractions (summarized as "b" in Figure 4). These are co-transcripts including cistrons encoding membraneous subunits of the NDH complex (e.g., NdhD). These likely draw the *psaC*-cistron to membranes in the process of their translation. Similarly, monocistronic *atpH* transcripts are found almost exclusively in the stroma, while the long, polycistronic precursors are co-fractioning also considerably with membranes. An additional cistrons in the longer transcripts is represented by *atpF*, which is translated on membranes [14]. Thus, the membrane-attachment of polycistronic *atpH*-*atpF* transcripts can be explained by the affinity of the *atpF* mRNA for membranes. As a general trend, different transcripts from complex operons can show differential association with membranes in chloroplasts.

### 2.6.3. Differential Membrane-Association of rRNA Species

If a number of chloroplast mRNAs display membrane-association and if this association is mediated by ribosomes, then we should find rRNA attached to chloroplast membranes as well. Indeed, we do observe that 16S rRNA and 5S rRNA co-fractionate with membranes (Figure 4). The unexpected finding is, that different rRNA species show different MEVs. While the ratios of membrane versus stroma signal of 16S and 23S rRNAs remain constant across the three conditions analyzed, 5S rRNA displays a strong decline of membrane association in tissue with mature chloroplasts, which is also reflected by our microarray analysis (see *rrn5* in Figure 2a). 5S rRNA and 23S rRNA are part of the large ribosomal subunit. Thus, the finding that a subpopulation of 5S rRNA localizes to the stroma in mature chloroplasts suggests that it does so independently of the ribosome. Alternatively, a recently discovered antisense RNA to 5S may cause this discrepancy [44]. We cannot distinguish between sense and antisense transcripts with our microarray nor with the double-stranded probe used to detect 5S rRNA on RNA gel blots. Whether the tissue-dependent localization of 5S rRNA is of functional significance remains to be determined.

## 2.6.4. Constant Association of Plastid RNAs with Chloroplast Membranes Suggests Altered Translational Rates during Chloroplast Greening rather than Increased Accumulation or Improved Recruitment of mRNAs to Membranes

Consistent with the microarray analyses, the RNA gel blot hybridizations also shows a striking similarity in RNA distribution between membranes and stroma in the three tissue types (Figure 4). mRNAs for the soluble proteins *rbcL*, *rps16* and *psaC* display almost identical preferential localization to the stroma fractions with only minor amounts within the membrane preparation in all three tissues. A noticeable, albeit small shift of RNAs towards membrane pools in mature chloroplasts was observed for *psbB* and *petA*, and a minor shift also for *psbA*. *psbA* translation is massively induced after irradiation [33,39], far exceeding the minor increase in membrane attachment seen here. Given that in general, translational induction in the light is known to be massive for many mRNAs [33], we have to assume that RNA tethering to membranes does not directly mirror translational activity. This is reflecting previous analyses in *Chlamydomonas* that found EF-Tu and *psaA/B* mRNAs are strongly and consistently attached to chloroplast membranes throughout dark-light cycles, while translation occurs only in the light [45]. Similarly, the barley *psaA/B* mRNA associates with chloroplast membranes in etioplasts without noticeable psaA/B translation [25,38]. It was suggested that there is active repression of *psaA/B* translation in the dark since translation off of isolated etioplast membranes could be initiated in vitro after adding a translation-competent extract [25]. This is supported by studies in pea that report that membrane-bound, non-polysomal RNAs are moving within 8 min into polysomes after illumination, suggesting that the initial association with membranes is either mediated by one or few ribosomes or by other means [10]. Increasing translation from low levels to high levels would not necessarily lead to an increased detection of mRNAs in membranes, since at least in theory, it would not matter for our approach if few or many ribosomes tether an mRNA to thylakoid membranes. Quantitative ribosome profiling experiments in etioplasts versus chloroplasts could solve this problem.

In sum, our analyses demonstrate that etioplasts are poised for a rapid translational answer to light signals on the level of global mRNA attachment to chloroplast membranes. This likely goes hand in hand with parallel processes that stage the necessary production of mRNAs and the corresponding expression factors in etioplasts [30,32]. It remains to be shown, how important constant attachment of mRNAs to membranes is for rapid photomorphogenesis versus other processes, like the provision of RNA binding proteins for translational initiation.

## 3. Materials and Methods

## 3.1. Plant Material

Wild type maize seedlings were grown on soil for 8 days with 16 h light/8 h dark cycle at 25 °C. Etiolated tissue was grown without light for 8 days and harvested directly. Greening tissue was generated by growing plants for 6 days without light and the light-exposure on the seventh day for 16 h and subsequently return into darkness for 8 h. On the 8th day the tissue was exposed for a further hour and 15 min prior to harvest, which was carried out in safety green light. Tissue with mature chloroplasts was generated by growing plants for 8 days under a standard light regime (16 h light/8 h dark). On the 8th day, mature seedlings were exposed for one additional hour to light after which the tissue was harvested. During plastid isolation from etiolated and greening tissue, safety green light was used, so additional exposure to photomorphogenic light was eliminated. All plants were grown at 26 °C.

## 3.2. Extraction of Stroma and Membrane Fractions

Intact plastids from three different tissue types were isolated from 8-day-old seedlings [46] with the following modifications. After Percoll gradient purification, washed plastids were resuspended in resuspension buffer (50 mM HEPES/KOH pH 8, 330 mM sorbitol) by gentle agitation at 4 °C. Dilution of extracted plastids was used for estimation of the number of plastids per microliter microscopically. Plastids from etiolated, deetiolated and green tissue was adjusted to the same number of plastids per microliter. The plastid pellet was resuspended in hypotonic polysomal buffer [19] without detergents, containing heparin, chloramphenicol, cycloheximide,  $\beta$ -mercatoethanol and protease inhibitor cocktail without EDTA. Chloramphenicol stabilizes ribosomes on mRNAs. Lysis of plastids was performed by passing the extract for 40 to 50 times through a 0.5 mm × 25 mm syringe in a hypotonic buffer designed to keep polysomes intact [19,47]. Membranes and stroma were separated by centrifugation at 40,000× g at 4 °C for 30 min. The membrane pellets were washed five to six times in polysomal buffer, and finally resuspended in the same volume as the stroma volume obtained. From equal volume proportions, RNA was isolated. For RNA gel blot analyses, RNAs were loaded on volume basis.

### 3.3. EDTA and Puromycin Treatments of Purified Plastid Membranes

Plastid membrane fractions from all three tissue types were obtained from 8-day-old seedlings. Aliquots (300  $\mu$ L) were incubated at room temperature with 100 mM EDTA (final concentration) for 15 min with gentle shaking. Control reactions were performed without addition of EDTA. After incubation, RNAs released from membrane particles, were separated by centrifugation for 10 min at 21,000× g at 4 °C. Membrane pellets were resuspended in lysis buffer in same volume as the one of the corresponding supernatant. From each of the fractions RNA was extracted by phenol/chloroform/isoamylalcohol (24:24:1).

For puromycin treatment, membrane fractions were incubated in 500 ng/ $\mu$ L Puromycin in polysome buffer [19] for 15 min at room temperature. Afterwards, membranes were pelleted by centrifugation at 21,000× g for 10 min at 4 °C. The membrane pellet was washed five times in polysome buffer. RNA was extracted from supernatant (freed RNA) and pellet (membrane-bound RNA) fractions. Two µg of RNA from each fraction were labelled and subjected to microarray analysis see below.

## 3.4. RNA Gel Blot Analyses

Total RNA was extracted from etiolated, deetiolated and green tissue with TRIzol reagent (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's instructions. For each individual sample a pool of collected leaves was used. Five micrograms of total RNAs from tissue or RNAs extracted from stroma and membrane fractions were separated on an agarose gel containing 1.2%

formaldehyde and transferred to uncharged nylon membranes (Hybond N, GE Healthcare, Little Chalfont, UK). After transfer, the blots were UV cross-linked (150 mJ/cm<sup>2</sup>) and then stained with methylene blue to check RNA integrity and loading.

Polymerase chain reaction (PCR) based probes were used for in vitro transcription via T7 polymerase (Thermo Fisher, Waltham, MA, USA) in the presence of 32P-UTP according to the manufacturer's instructions. Primers used are listed in Table S4. For all northern blots, except *rrn16* and *rrn5*, single stranded in vitro transcripts were used for hybridization. The *rrn16* and *rrn5* RNA gel blots were hybridized with a double-stranded PCR probe labelled in presence of 32P-CTP via a Klenow exo- fragment (Thermo Fisher, Waltham, MA, USA) according to the manufacturer's instructions. After pre-incubation in Church buffer (0.5 M sodium phosphate buffer, pH 7.2 and 7% sodium dodecyl sulfate (SDS) for 1 h at 68 °C, hybridization of radiolabelled probe was performed overnight at 68 °C in the same buffer, followed by at least three 15-min washes in 1× SSC; 0.1% SDS,  $0.5 \times SSC/0.1\%$  SDS and  $0.2 \times SSC/0.1\%$  SDS, respectively. Ribosomal RNA related probes, *psbA*, and *rbcL* probes were washed additionally for 15 min at 68 °C with  $0.1 \times SSC/0.1\%$  SDS and  $0.05 \times SSC/0.1\%$  SDS. Signals were detected by autoradiography with the Personal Molecular Imager system (Bio-Rad, Hercules, CA, USA).

### 3.5. Immunoblot Analyses

Proteins from stroma and membrane fractions were loaded on a volume-per-volume basis. Proteins were separated by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) and transferred to Hybond-C Extra Nitrocellulose membranes (GE healthcare). Integrity and loading of protein samples was detected by Ponceau S stain of the membrane prior incubation with antibodies. Antibody hybridization was performed for 1 h in 2% skim milk powder in TBST buffer for primary antibody and secondary horseradish peroxidase coupled antibody in TBST.

## 3.6. Tiling Microarray Design

Overlapping PCR products covering the whole maize chloroplast genome were generated by using self-made Taq-polymerase and were purified via the QIAquick PCR purification kit (Qiagen, Hilden, Germany). A total of 500 ng of each PCR product was transferred into a 384-well plate, dried and resuspended in 5  $\mu$ L of 1M betaine in 3× SSC buffer. DNA fragments were transferred on to silanated glass slides (Vantage Silanated Amine Slides; CEL Associates, Los Angeles, CA, USA) using an OmniGrid Accent microarrayer (GeneMachines, San Francisco, CA, USA). Array design as described [20].

## 3.7. Microarray Hybridisation

Microarrays were cross-linked at 250 mJ/cm<sup>2</sup> in a UV cross linker (GS Gene Linker, Bio-Rad), and blocked with BSA buffer (1% BSA, 0.1% SDS and 5× SSC) for 1 h at 42 °C. The labelled RNAs from stroma and membrane fractions (approximately 15  $\mu$ L) were mixed, loaded on to array and covered with a cover slip. Hybridisation was performed overnight in a 42 °C water bath in Corning microarray hybridization chambers. Unspecifically bound RNA was washed off the slide by incubation in 1× SSC, 0.2× SSC, and 0.05× SSC for 8 min each on a horizontal shaker at 180 rpm. Slides were dried by centrifugation at 1500 rpm in plate centrifuge and scanned using the ScanArrayGx Plus microarray scanner (Perkin Elmer, Shelton, CT, USA).

## 3.8. Microarray Analysis

Data from four replicate experiments (this totals 48 spots per probe since we were using 12 replicate spots per probe per array) were filtered against elements with low signal-to-noise ratios, and local background was calculated according to default parameters in Genepix Pro 7.0 software (Molecular Devices, Sunnyvale, CA, USA). Only spots with a signal-to-background ratio >4 and for which 60% of pixels have a F532 fluorescent signal >2 SD above background were chosen for further

analysis. Fragments for which fewer than half the number of all spots (i.e., less than 24 spots) per array passed these cutoffs were not used for subsequent analyses and appear as gaps when enrichment ratios are plotted according to chromosomal position. Background-subtracted data were used to calculate the median (median of ratios (membrane RNA = 635 nm: stroma RNA = 532 nm)) as described in [21]. This value is called the membrane enrichment value (MEV). Normalization for Figure 2a and Table S1 was done according to the median (median of ratios (F635/F532)) value for all probes with signal above background on each array.

Supplementary Materials: Supplementary materials can be found at www.mdpi.com/1422-0067/18/9/1881/s1.

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## Abbreviations

MEV Membrane Enrichment Value

NDH NADH Dehydrogenase

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## Article Effects of TROL Presequence Mutagenesis on Its Import and Dual Localization in Chloroplasts

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**Abstract:** Thylakoid rhodanase-like protein (TROL) is involved in the final step of photosynthetic electron transport from ferredoxin to ferredoxin: NADP<sup>+</sup> oxidoreductase (FNR). TROL is located in two distinct chloroplast compartments—in the inner envelope of chloroplasts, in its precursor form; and in the thylakoid membranes, in its fully processed form. Its role in the inner envelope, as well as the determinants for its differential localization, have not been resolved yet. In this work we created six N-terminal amino acid substitutions surrounding the predicted processing site in the presequence of TROL in order to obtain a construct whose import is affected or localization limited to a single intrachloroplastic site. By using in vitro transcription and translation and subsequent protein import methods, we found that a single amino acid exchange in the presequence, Ala67 to Ile67 interferes with processing in the stroma and directs the whole pool of in vitro translated TROL to the inner envelope of chloroplasts. This result opens up the possibility of studying the role of TROL in the chloroplast as well as possible consequence/s of its absence from the thylakoids.

**Keywords:** TROL; protein import; chloroplasts; dual localization; ATP; inner envelope membrane; thylakoids

## 1. Introduction

TROL (thylakoid rhodanase-like protein, At4g01050) is an integral membrane component of non-appressed thylakoid membranes, responsible for the anchoring of FNR (ferredoxin:NADP<sup>+</sup> oxidoreductase) [1]. This 66 kDa protein is firmly attached to the membrane by its two transmembrane helices, resisting extraction by high salt, urea, or high pH treatments [1]. The N-terminus of TROL consists of a presequence that directs the protein to chloroplasts. Two predicted transmembrane helices surround the centrally positioned inactive rhodanase-like domain, RHO, which is orientated towards the thylakoid lumen. The C-terminus of the protein resides in the cytosol and consists of a single hydrophobic FNR-binding region, ITEP (highly conserved module of TROL necessary for establishing high-affinity interaction with FNR), and a region upstream of the ITEP domain, designated PEPE (Pro-Val-Pro repeat-rich region), which is followed by a possible PVP hinge, proposed to introduce flexibility to the FNR-binding region. In our previous research we proposed that the TROL–FNR interaction is dynamic [2,3], in which binding and release of FNR from TROL can regulate the flow of photosynthetic electrons before the pseudo-cyclic electron transfer pathway becomes activated [4]. By studying Arabidopsis *trol* mutants we proposed that the TROL–FNR interaction is the bifurcating point between electron-conserving and electron-dissipating pathways [4].

In addition to being mainly located at the stroma thylakoids, in its fully processed form of 66 kDa, TROL can also be found embedded in the chloroplast inner envelope membrane (IM) in its non-processed form (70 kDa), which indicates a possible role in the electron transfer chain specific for

this membrane [1]. This dual localization has been proven by the proteome analysis of chloroplast envelopes and thylakoid membranes [5] and was proposed to be dependent on the NADP<sup>+</sup>/NADPH ratio in the chloroplasts, as shown for the shuttling of the Tic62 protein [6]. Although there were attempts to investigate the structure and the function of TROL as the FNR anchor [1,7–9] its role in the IM remains undefined.

TROL is a nuclear-encoded protein, synthesized with a cleavable N-terminal presequence that targets the protein to and across the chloroplast envelope. During chloroplast protein import, the targeting sequences are sequentially decoded resulting in localization of the polypeptide to the appropriate organelle subcompartment [10]. Since TROL has been found both in the IM and the thylakoids [1,5], the determinants for this dual localization were the subject of investigation in this research.

Most of the IM proteins are directed to the general import pathway (Toc and Tic complex) through their cleavable transit peptides on their way to the chloroplasts. Some preproteins are released from the translocon at the level of the IM, as instructed by a hydrophobic stop-transfer signal in their sequence [11]. Others are first targeted to the stroma by their stroma-targeting presequence, and their processed mature form is subsequently re-exported into the IM, by so-called conservative sorting [11]. Proteins targeted to the thylakoid membrane require dual targeting signals that direct their import across the chloroplast envelope membranes and subsequent transport to the thylakoids. Stroma-targeting domains of preproteins are recognized and removed by the stromal processing peptidase (SPP) and lumen-targeting domain by a second processing protease [10,12]. A single bipartite transit sequence can carry the information for targeting to the thylakoids, with the stromal targeting domain located at the N-terminal region and the thylakoid lumen targeting domain at the C-terminal region of the presequence [10,13]. Preplastocyanin (prePC), and the subunits of the oxygen evolving complex (preOE16, preOE23, and preOE33) are configured as described. Integral membrane proteins, such as the precursor to the light-harvesting chlorophyll a/b binding protein (preLHCP) and the precursor to the 20-kDa subunit of the CP24 complex [13], contain information only for envelope transport within the presequence. The signals for targeting of these proteins to the thylakoids seem to reside within the primary structure of the mature polypeptide [14]. Since a single targeting domain is predicted for the transit sequence of TROL, this protein might belong to the latter group.

Targeting sequences are of various sizes, from 30 to 120 amino acids, and contain a high number of hydroxylated residues, but lacking acidic ones. The N-terminal 10–15 residues are devoid of Gly, Pro, and charged residues, a variable middle region is rich in Ser, Thr, Lys, and Arg, lacking acidic residues; and a C-terminal proteolytic processing site [15]. It contains a loosely conserved Ile/Val-x-Ala/Cys-Ala motif, recognized by SPP.

It has been postulated that chloroplast-localized proteins have various energy requirements for their import, according to their localization. ATP in the intermembrane space, usually less than 50  $\mu$ M, drives the transport of the precursor across the outer envelope membrane [16,17], and import through the IM into the stroma progresses if the ATP concentration is higher than 100  $\mu$ M [18,19]. This ATP is needed for the action of molecular chaperones in the stroma, which provide the driving force to complete import into the organelle [20]. Proteins localized in the same organellar compartment might have different energy needs for their import, depending on the import pathway they use [17]. Upon entering the stroma, the transit sequence is removed by SPP [20]. Further import into thylakoids requires additional translocators and energy demand. Four different mechanisms are known to target proteins from the stroma to the thylakoids [20–22].

The aim of this work was to introduce mutations in the presequence of the TROL protein, around the processing site, in an attempt to obtain a construct that would direct TROL to a single sub-chloroplast compartment, namely to a single membrane: either the inner envelope or the thylakoids. In addition, we wanted to characterize TROL import properties and requirements in more detail.

## 2. Results

After amino acid comparison between TROL from *A. thaliana* and other vascular plants, the N-terminal conserved region was determined around the SPP cleavage site. In this conserved region, AKSLTYEEALQQ (aa 67–78), we have chosen six potentially significant amino acids that could influence the import and localization of protein TROL in chloroplasts. Changes made to the presequece were as following: e1: 67Ala $\rightarrow$ 67Ile, e2: 71Thr $\rightarrow$ 71Asn, e3: 72Tyr $\rightarrow$ 72Val, e4: 73Glu74Glu $\rightarrow$ 73Gln74Gln, e5: 76Leu $\rightarrow$ 76Thr, e6: 78Gln $\rightarrow$ 78Val (Table 1). Hydrophobicity was checked for each amino acid substitution, according to Kyte and Doolittle [23] (Figure 1). For substitutions 73Glu74Glu $\rightarrow$ 73Gln74Gln, the exchange of polarity has been compared according to Zimmerman et al. [24] (Figure 1). After the mutations were introduced into the gene *At4g01050*, constructs in the pZL1 vector were checked by DNA sequencing.

 Table 1. Mutations introduced to the TROL presequence by the QuikChange Multi Site-Directed

 Mutagenesis and corresponding primer sequences.

Change	Mutation/Primer Name	Primer Sequence
e1	g199a_c200t	aagcagtgcaacagctcctattaaatccctgacgtacgag
e2	c212a_g213t	gctcctgctaaatccctgaattacgaggaagctctgcaac
e3	t214g_a215t	ctgctaaatccctgacggtcgaggaagctctgcaac
e4	g217c_g220c	gctaaatccctgacgtaccagcaagctctgcaacaatcta
e5	c226a_t227c	ccctgacgtacgaggaagctacgcaacaatctatgacca
e6	c232g_a233t	cgtacgaggaagctctgcaagtatctatgaccacttcttca
	TROLfor	caccatggaagctctgaaaaccgca
	TROLrev	gggctgcgatggcatcg



Figure 1. Cont.



**Figure 1.** Kyte and Doolittle plots representing hydrophobicity change as a consequence of amino acid substitution/s in the TROL presequence [23]. Amino acids 67–78 of the partially conserved N-terminal part of the presequence around the predicted transit peptide cleavage site, AKSLTYEEALQQ, were substituted as follows: e1: 67Ala→67Ile, e2: 71Thr→71Asn, e3: 72Tyr→72Val, e4:73Glu74Glu→73Gln74Gln, e5: 76Leu→76Thr, e6:78Gln→78Val. For the e6 substitution, a polarity check according to Zimmerman was performed [24].

The wild type precursor of TROL and its e1-e6 presequence mutants were labeled with [<sup>35</sup>S]-methionine during in vitro translation and imported into isolated intact pea chloroplasts under various conditions. First, the standard import experiment was performed, in the absence or the presence of externally added 3 mM ATP. After a 20-min long import at 25 °C, chloroplasts were re-isolated on a Percoll cushion and treated with the protease thermolysin, to distinguish precursors loosely bound to the chloroplast envelope (early intermediates) from the firmly incorporated ones [17,18]. We observed that TROL requires ATP for successful processing and its import into the thylakoid membrane. After import with ATP, the majority of TROL was found in thylakoids, in its fully processed form, and just a small portion was located in the chloroplast envelope, in its precursor form, protected from thermolysin (Figure 2a, WT, lane 5). Presequence mutants e2, e3, e4, and e6 showed identical import behaviour as the wild type TROL (Figure 2a,b). In contrast, mutant e1 locates almost exclusively to the envelope membrane (Figure 2a, e1, lane 10, Figure 2b, lane 4). Only a very small portion was processed to the mature form and transported to the thylakoids. It seems that just a single amino acid substitution in the TROL presequence (67Ala→67Ile) results in almost exclusive envelope localization (Figure 2a,b). Presequence mutant e5, although having very similar import properties to the wild-type TROL, seems to have a slightly increased portion of envelope-bound form after import into chloroplasts (Figure 2a, e5, lanes 9 and 10, Figure 2b, lane 12).



**Figure 2.** (**A**) Wt TROL and its presequence mutants e1–e6 are imported into pea chloroplasts. In vitro synthesized [<sup>35</sup>S]-TROL and e1–e6, as well as the control protein pOE33 from thylakoid lumen were incubated with isolated intact chloroplasts at 25 °C for 20 min, in a standard import reaction containing 3 mM ATP (lanes 4, 5, 9, and 10) or without ATP (lanes 2, 3, 7, and 8). After import, samples were re-isolated on a Percoll cushion and treated with 0.5 µg thermolysin (Th) per µg chlorophyll (lanes 3, 5, 8, and 10). Untreated samples are shown in lanes 2, 4, 7, and 9. The results were analyzed by SDSPAGE. Lanes 1 and 6 represent 10% of the translation product (Tp) used for the import reactions. The positions of pTROL, mTROL, pOE33, iOE33, and mOE33 are indicated by arrows. (**B**) Comparison of import of TROL and its presequence mutants e1–e6 into chloroplasts. In vitro synthesized [<sup>35</sup>S]-TROL and e1–e6 were incubated with isolated intact chloroplasts at 25 °C for 20 min, in a standard import reaction containing 3 mM ATP. After import, samples were re-isolated on a Percoll cushion and treated with 0.5 µg thermolysin (Th) per µg chlorophyll (lanes 2, 4, 6, 8, 10, 12, and 14). The results were analyzed by SDSPAGE. Lanes 1, 3, 5, 7, 9, 11, and 13 represent 10% of the respective translation product. The positions of pTROL and mTROL are indicated by arrows.

Further, we wanted to investigate the energy requirements for the import of TROL and its presequence mutants e1 and e5. After isolating chloroplasts from peas grown in the dark for at least 8 h, and incubating isolated intact chloroplasts in the dark and on ice to minimize internal ATP production, we added 0, 30, 300, or 3000  $\mu$ M of external ATP to the import reaction. After 20 min. import at 25 °C and subsequent chloroplast re-isolation, we observed that even the smallest amount of ATP enabled the import of TROL into the thylakoids to some extent (Figure 3, lanes 3 and 5). Additional ATP resulted in a slight increase in the quantity of processed protein, while at the same time the portion of precursor form, located in the inner envelope, decreased (Figure 3, lanes 7 and 9). Mutant e5 seems to require higher amounts of external ATP to complete the import (Figure 3, e5). Also,

there is more envelope form present in this mutant (Figure 3, lanes 5, 7, 9). Mutant e1 localizes almost exclusively to the chloroplast inner envelope, needing some external ATP (30–300  $\mu$ M) for complete protection from thermolysin, as required for inner envelope incorporation (Figure 3, e1). As a control, oxygen evolving complex protein of 33 kDa (pOE33) was imported along with the TROL constructs. This is a well-characterized protein which is localized to the thylakoid lumen and forms a soluble translocation intermediate in the stroma. It uses the general import pathway into the chloroplasts and is therefore a suitable control for TROL import experiments. It seems that pOE33 requires more ATP to complete its import. With the lowest ATP concentration, stromal intermediate iOE33 is visible, and import seems to be complete after the addition of more than 300  $\mu$ M ATP (Figure 3, pOE33). The higher energy need for pOE33 import results probably from its two processing events (in the stroma and in the thylakoid lumen) and its luminal localization.



**Figure 3.** Energy requirement for import of wt TROL and its presequence mutants e1 and e5 into pea chloroplasts. Import into intact pea chloroplasts was performed under standard conditions, by incubating in vitro synthesized [ $^{35}$ S]-TROL, e1, e5, and control protein pOE33 from thylakoid lumen with chloroplasts corresponding to 20 µg chlorophyll at 25 °C. ATP-scale import into intact pea chloroplasts was performed using increasing concentrations of ATP from 0 to 3000 µM. After import, chloroplasts were re-isolated on a Percoll cushion and samples were treated with 0.5 µg thermolysin (Th) per µg chlorophyll (lanes 3, 5, 7 and 9). Untreated samples are shown in lanes 2, 4, 6, and 8. The results were analyzed by SDS/PAGE. The respective precursor, intermediate, and mature forms are indicated by arrow heads. Lane 1 represents 1/10 of the translation product (Tp) used for the import reaction.

We also wanted to explore how the import of TROL and e1 and e5 mutants proceeds on a temporal scale. Radioactively labeled precursors were added to the standard import reaction including 3 mM ATP and chloroplasts corresponding to 20  $\mu$ g chlorophyll. Imports were performed for 0.5, 2, 5, 10, and 20 min at 25 °C. All samples were subsequently re-isolated on Percoll cushion, treated with thermolysin, lysed, and separated into membrane (P) and soluble fractions (S). After the analysis of

radioactive signals on films, it was clearly visible that as time advances the amount of proteins in the pellet fractions increases for all tested precursors (Figure 4). Between 5 and 10 min of import are needed for around 50% of TROL import to be accomplished. For complete import, more than 10 min were required (Figure 4, lane 10). For the e1 mutant, the portion of imported protein that locates to the IM visibly increases with time, while the amount of mature, thylakoid-localized form starts to appear after 10 min. of import (Figure 4, lane 8). In this experiment, mutant e5 showed nearly identical properties to wt TROL.



**Figure 4.** Time-scale import of wt TROL and e1 and e5 presequence mutants into intact pea chloroplasts. Radioactively labeled TROL, e1, e5, and control protein pOE33 were imported using increasing times in standard import reactions at 25 °C in the presence of 3 mM ATP. Import was performed for 0.5 (lanes 2 and 3), 2 (lanes 4 and 5), 5 (lanes 6 and 7), 10 (lanes 8 and 9), or 20 min (lanes 10 and 11). After import chloroplasts were separated into the pellet (P, lanes 2, 4, 6, 8, and 10) and soluble (S, lanes 3, 5, 7, 9, and 11) fractions. Lane 1 indicates 1/10 of the respective translation product (Tp) used for the import reaction. Precursor (p), intermediate (i), and mature (m) forms of TROL, e1, e5, and OE33 are indicated by arrows.

Finally, we investigated to what extent is TROL, imported both to the IM and the thylakoids, associated with the membranes. The strength and the nature of this association was tested by applying either 6 M Urea, 0.1 M Na<sub>2</sub>CO<sub>3</sub> pH 11.5 for the separation of integral from peripheral membrane proteins, or 1 M NaCl, which decreases electrostatic interactions between proteins and charged lipids. 6 M Urea only partially extracted imported TROL from the membranes, mostly its envelope-located portion, while the mature forms from thylakoid membranes remained almost fully intact (Figure 5, lanes 2 and 3). Treatment of the envelopes with Na<sub>2</sub>CO<sub>3</sub> at pH 11.5 converts membrane vesicles to sheets and disrupts protein–protein interactions, while protein–lipid interactions remain and the bilayer is otherwise intact. In this way we could determine if an integral membrane protein has achieved stable insertion into the bilayer. Carbonate treatment extracted only a very small portion of the tested proteins from the membrane, indicating that TROL and the tested mutants are strongly attached to the lipid bilayer (Figure 5, lanes 4 and 5). High salt did not extract TROL from the membranes.



**Figure 5.** Extraction of wt TROL and its presequence mutants e1 and e5 from the membranes. In vitro synthesized [ $^{35}$ S]-TROL, e1, e5, and control protein pOE33 were incubated with isolated intact chloroplasts at 25 °C for 20 min, in a standard import reaction containing 3 mM ATP. Subsequent to import, chloroplasts were re-isolated, washed, and separated into membrane and soluble fractions. Isolated membranes, containing imported proteins, were treated with 6 M Urea in 10 mM HEPES/KOH pH 7.6 (lanes 2 and 3), 0.1 M Na<sub>2</sub>CO<sub>3</sub> pH 11.5 (lanes 4 and 5), or 1 M NaCl (lanes 6 and 7). All incubations were performed for 20 min on RT. As a control, membranes were incubated solely in 10 mM HEPES/KOH pH 7.6 for 30 min on ice (lanes 8 and 9). Afterwards, samples were centrifuged at 265,000 × *g* for 10 min at 4 °C, and both pellets (P, lanes 2, 4, 6, and 8) and the supernatants (S, lanes 3, 5, 7, and 9) were analyzed by SDS–PAGE and by exposure on X-ray films. Lane 1 represents 10% of the respective translation product (Tp) used for the import reactions. The positions of pTROL, mTROL, pOE33, iOE33, and mOE33 are indicated by arrows.

## 3. Discussion

In the N-terminal conserved region of TROL, around the SPP cleavage site, we have chosen six potentially significant amino acids for its import and localization in chloroplasts. Selection of the amino acids was largely based on their hydrophobic or hydrophilic character, which we tried to change by substitutions, in an attempt to interfere with stromal processing. The structure and influence of the neighboring amino acids have also been taken into consideration. We have chosen those amino acids whose neighboring hydrophobic/hydrophilic signals were as indiscernible as possible. In the e1 mutation, Ala67 was changed to Ile. Ala67 is a part of the AXS motif, predicted to represent a signal for cleavage of the presequence by the SPP. Ile is similar in nature to Ala, but contains three more methyl groups that make it more hydrophobic. In the e2 mutation, neutral and polar Thr71 was changed to hydrophobic Asn. The corresponding Kyte and Doolittle plot [23] resulted in a neutral to slightly hydrophilic character of the changed amino acid site. In e3, by Tyr72 to Val exchange, strong hydrophilicity was substituted by medium to strong hydrophobicity. In this example the structure has also been changed by removing the cyclic ring in Tyr, which could additionally influence the hydrophobicity. In e4, where Glu73 and Glu74 were changed to two Gln, hydrophobicity remained the same, but the loss of polarity (according to Zimmerman et al. [24]) could influence protein sorting. In e5 hydrophobic Leu76 was exchanged for neutral Thr, leading to a more hydrophilic character. In e6 Gln78 to Val exchange leads to a large hydrophilicity loss and change to hydrophobicity. After successfully introduced mutations into TROL presequence, constructs e1–e6, as well as the wild type, were incorporated into the pZL1 vector and further utilized for investigation of import characteristics of this dually localized protein.

In organello import into isolated chloroplasts was performed to investigate chloroplast localization and integration of wt TROL and its presequence mutants into the chloroplast membranes. We expected that some of the amino acid substitutions made to the presequence might interfere with proper processing in the stromal compartment and/or result in alternative localization of TROL. The labeled precursor was imported into organelles and processed into a smaller mature protein of around 66 kDa (Figure 2, mTROL), previously shown to co-purify entirely with the thylakoid fraction [1]. A weaker signal of the size of the labeled precursor was also detected (Figure 2, pTROL). This signal was protected from protease digestion (Figure 2a, lane 5, Figure 2b, lane 2), indicating a portion of TROL located in the IM of chloroplasts, in its non-processed form of 70 kDa [1,5]. In contrast to the wt, the TROL e1 comprised almost entirely of the IM portion, indicating an influence of the 67Ala/67Ile presequence mutation on TROL localization. In this mutant the SPP recognition motif AKS has been changed to IXS, leading to inhibition of stromal processing and the increment of the IM portion of TROL. Compared to wt TROL, it is obvious that for the e1 mutant not only import into thylakoids is impaired, but the IM portion of the protein is highly increased. Since this portion is protected from thermolysin action, as well as from extraction with high salt and carbonate concentrations, we conclude that envelope-TROL is firmly incorporated into the membrane.

Experiments using increasing external ATP concentrations indicate that TROL requires more than 300 mM ATP for completion of its import into thylakoids, while only 30 mM is necessary for IM incorporation, as visible after thermolysin treatment (Figure 3). This result implies the stop-transfer mechanism of TROL import and its lateral insertion to the IM [11,22]. Time-scale experiments in the presence of 3 mM ATP indicate that the incorporation of TROL into the IM happens very early, and the smaller thylakoid form starts to appear after 2–5 min of import, reaching a maximum between 10–20 min (Figure 4). We observed that after addition of 3 mM ATP in wt, but also in e1, to some extent, there is much less of the IM form of TROL compared to the 300 mM experiment. The distribution of TROL between these two membranes might be influenced by the energy distribution between thylakoids and envelope compartments. TROL could be switching the intensity of its action between the IM (role unknown) and the thylakoids (regulation of photosynthetic electron transfer), influenced/directed by the energetic state of those compartments.

Jurić et al. [1] have shown that imported TROL could not be extracted from membranes by high salt, urea, or high pH treatments, indicating that At4g01050 is an integral thylakoid membrane protein. The same extraction procedures were used to investigate the membrane incorporation character of the e1–e6 TROL mutants (Figure 5). Only 6 M urea extracted a portion of protein, mainly the IM incorporated one, while TROL in the thylakoids remained fully protected. Mutation e1 causes visible changes in TROL localization, directing most of the protein to the IM of chloroplasts. Once there, the incorporated TROL resists the extraction procedures in the same way as the wild type protein, in which only urea solubilized a portion of the protein from the membranes. After import, the e5 mutation exhibits slightly more IM portion of TROL than the wt, but not significant enough to use it in further experiments.

TROL is not an isolated case in terms of dual localization in chloroplasts. Seventeen other proteins have been identified in both chloroplastic envelope and thylakoid membranes [22]. All of them are predicted to carry an N-terminal signal for chloroplast import. These proteins belong to one of the following groups according to their function: protein transport, tetrapyrrole biosynthesis, membrane dynamics, and transport of nucleotides and inorganic phosphate [22]. One of these proteins is Tic62, a redox sensor in the inner envelope and the thylakoids, proposed to anchor FNR, just like TROL [7].

The nature and the mechanism of dual localization of TROL remains unresolved. The inner envelope of chloroplasts and thylakoid membrane share a similar lipid composition, but perform very different functions. The way in which TROL incorporates into these membranes is at the moment just a speculation. Up to now, no pathway that would catalyse dual targeting has been found. All known nuclear-encoded thylakoid proteins and some IEM proteins are targeted to the respective membranes via a stromal intermediate. TROL, as a dually localized protein, could also use a similar pathway. This has been confirmed by the import properties of the e1 mutant. However, absence of stromal processing for IM located TROL favours the possibility of lateral insertion to the IM. Stromal processing seems to be the key moment for further sorting of TROL to thylakoids. Tha4 and Hcf106 components of the tat pathway are integral membrane proteins that insert into thylakoid membrane by unassisted insertion [25]. The same pathway use PSII subunits W, X, and Y [26], PSI subunit K [27], and the CFoII subunit of the ATP synthase [28], and these have been shown to insert into the membrane by the unassisted pathway. As already mentioned, some IM proteins insert laterally into the membrane by a stop transfer mechanism upon entering the chloroplast. Some of the dual-localized integral proteins, like TROL, may be similarly held at the envelope first, then sorted to the thylakoids. Low ATP demands for insertion of TROL into the IM points to this conclusion.

Future prospects for the e1 mutant would be the production of transgenic *A. thaliana* plants containing TROL located only/mostly to the IM of chloroplasts. In this way we could study the effect of the absence of TROL from thylakoids on photosynthesis and subsequent electron transfer/dissipation events, as well as the so far unknown role of this protein in the inner envelope membrane.

## 4. Materials and Methods

#### 4.1. TROL Presequence Substitutions

Using the QuikChange Multi Site-Directed Mutagenesis method, various mutations were introduced into the TROL presequence. Amino acids 67–78 of the presequence, AKSLTYEEALQQ, represent a partially conserved N-terminal part of the sequence, around the predicted transit peptide cleavage site. In this sequence we have chosen six amino acids potentially significant for TROL processing that might influence its import into and localization inside the chloroplasts. Changes made to the presequence were as following:

e1: 67Ala→67Ile, e2: 71Thr→71Asn, e3: 72Tyr→72Val, e4:73Glu74Glu→73Gln74Gln, e5: 76Leu→76Thr, e6:78Gln→78Val. Hydrophobicity was checked for each amino acid substitution, according to Kyte and Doolittle [23] (Figure 1). For substitutions 73Glu74Glu→73Gln74Gln, exchange polarity has been compared according to Zimmerman et al. [24] (Figure 1).

Subsequent to the introduction of mutations into the TROL presequence by PCR, constructs were transformed into competent bacteria, multiplied, purified, and checked by restriction enzymes and DNA sequencing.

## 4.2. In Vitro Transcription and Translation

The coding region for TROL from *Arabidopsis thaliana* was cloned into the vector pZL1 under the control of the T7 promoter and pOE33 from *Pisum sativum* was cloned into the vector pGEM4Z under the control of the SP6 promoter. Transcription and translation were carried out using the TNT<sup>®</sup> Quick Coupled Transcription/Translation System (Promega, Madison, WI, USA) in the presence of [<sup>35</sup>S]-methionine (185 MBq, PerkinElmer, Boston, MA, USA) for radioactive labelling. After translation, the reaction mixture was centrifuged at 50,000× g for 20 min at 4 °C and the post-ribosomal supernatant was used for import experiments.

### 4.3. Chloroplast Isolation and Protein Import

Chloroplasts were isolated from leaves of 8 days old pea seedlings (*P. sativum* var. Letin, Agricultural Institute Osijek, Osijek, Croatia) and purified through Percoll density gradients as described [11,17]. A standard import reaction contained chloroplasts equivalent to 20  $\mu$ g chlorophyll in 100  $\mu$ L import buffer (330 mM sorbitol, 50 mM HEPES/KOH pH 7.6, 3 mM MgSO<sub>4</sub>, 10 mM Met, 10 mM Cys, 20 mM K-gluconate, 10 mM NaHCO<sub>3</sub>, 2% BSA (*w*/*v*)), up to 3 mM ATP and maximal 10%

(v/v) [<sup>35</sup>S]-labeled translation products. Import reactions were initiated by the addition of translation product and carried out for 20 min at 25 °C, unless indicated otherwise. Reactions were terminated by separation of chloroplasts from the reaction mixture by centrifugation through a 40 % (v/v) Percoll cushion. Chloroplasts were washed once in 330 mM sorbitol, 50 mM HEPES/KOH pH 7.6, and 0.5 mM CaCl<sub>2</sub>, lysed in 10 mM HEPES/KOH pH 7.6 for 30 min on ice and separated into membrane and soluble fractions by centrifugation at 265,000 × *g* for 10 min at 4 °C. Import products were separated by SDS–PAGE and radiolabeled proteins analysed by exposure on X-ray films.

For the purpose of investigating the energy requirement, prior to import, ATP was depleted from chloroplasts and the translation product. For chloroplast isolation, plants were taken from the dark and isolated intact chloroplasts were further incubated in the dark on ice for 30 min. to diminish internal ATP production. For the import experiment, 0, 30, 30, and 3000  $\mu$ M ATP was used and chloroplasts corresponding to 20  $\mu$ g chlorophyll, in a 20 min import reaction.

Some experiments included chloroplast protease posttreatment, by using thermolysin after import. Thermolysin in concentration of 0.5 µg per µg chlorophyll was applied for 20 min on ice. The reaction was stopped by adding 5 mM EDTA. Chloroplasts were pelleted and resuspended in Laemmli buffer [29].

## 4.4. Membrane Extraction of Imported Proteins

Subsequent to import of 20 min at 25 °C, chloroplasts were re-isolated, washed, and separated to the membrane and soluble fractions. Isolated membranes, containing imported proteins, were treated with 6 M Urea in 10 mM HEPES/KOH pH 7.6, 0.1 M Na<sub>2</sub>CO<sub>3</sub> pH 11.5, or 1 M NaCl. All incubations were performed for 20 min on RT. As a control, membranes were incubated solely in 10 mM HEPES/KOH pH 7.6 for 30 min on ice. Afterwards, samples were centrifuged at  $265,000 \times g$  for 10 min. at 4 °C, and both pellets and the supernatants were analysed by SDS–PAGE and by the exposure on X-ray films.

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Author Contributions: Lea Vojta designed the import experiments, grew experimental plants, isolated chloroplasts, performed in vitro transcription and translation and all import experiments. Lea Vojta also analyzed results and wrote the manuscript. Andrea Čuletić evaluated the character of substituted amino acids and performed molecular cloning. Hrvoje Fulgosi conceived this research and designed presequence amino acid substitutions and analyzed and discussed the results.

**Conflicts of Interest:** The authors declare no conflict of interest. The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

## Abbreviations

- TROL thylakoid rhodanase-like protein
- FNR ferredoxin:NADP+ oxidoreductase
- RHO rhodanase-like domain
- ITEP highly conserved module of TROL necessary for establishing high-affinity interaction with FNR
- PEPE Pro-Val-Pro repeat-rich region
- IM inner envelope membrane
- SPP stromal processing peptidase

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## Article Nitric Oxide Enhancing Resistance to PEG-Induced Water Deficiency is Associated with the Primary Photosynthesis Reaction in *Triticum aestivum* L.

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Abstract: Photosynthesis is affected by water-deficiency (WD) stress, and nitric oxide (NO) is a free radical that participates in the photosynthesis process. Previous studies have suggested that NO regulates excitation-energy distribution of photosynthesis under WD stress. Here, quantitative phosphoproteomic profiling was conducted using iTRAQ. Differentially phosphorylated protein species (DEPs) were identified in leaves of NO- or polyethylene glycol (PEG)-treated wheat seedlings (D), and in control seedlings. From 1396 unique phosphoproteins, 2257 unique phosphorylated peptides and 2416 phosphorylation sites were identified. Of these, 96 DEPs displayed significant changes ( $\geq$ 1.50-fold, p < 0.01). These DEPs are involved in photosynthesis, signal transduction, etc. Furthermore, phosphorylation of several DEPs was upregulated by both D and NO treatments, but downregulated only in NO treatment. These differences affected the chlorophyll A-B binding protein, chloroplast post-illumination chlorophyll-fluorescence-increase protein, and SNT7, implying that NO indirectly regulated the absorption and transport of light energy in photosynthesis in response to WD stress. The significant difference of chlorophyll (Chl) content, Chl a fluorescence-transient, photosynthesis index, and trapping and transport of light energy further indicated that exogenous NO under D stress enhanced the primary photosynthesis reaction compared to D treatment. A putative pathway is proposed to elucidate NO regulation of the primary reaction of photosynthesis under WD.

**Keywords:** nitric oxide; 20% PEG-induced water deficiency; phosphoproteomic; *Triticum aestivum* L.; primary reaction of photosynthesis

## 1. Introduction

Nitric oxide (NO) is a small gaseous signaling molecule that has attracted significant interest. As a bioactive molecule, NO is involved in numerous physiological processes in animals, and has also been reported as a mediator of both biotic and abiotic stress responses in plants [1]. Recently, various enzymatic and nonenzymatic pathways for its synthesis have been reported [2,3]. Important advancements have been achieved to elucidate the roles of NO in plant growth and development. The role of NO as a signal molecule has been established as an activator of reactive oxygen species (ROS), scavenging enzymes under abiotic stress [4–7]. Interestingly, NO can be rapidly induced by environmental stimuli, and also acts as a secondary messenger during environmental stress-related signal transduction [4,8]. Exogenous NO, supplied to plants via sodium nitroprusside (SNP) or potassium nitrite, can also improve plant tolerance for environmental stress.

Water deficiency (WD) is an environmental factor that significantly impairs both crop growth and productivity [9]. Recent trends in climate change have increased the frequency and severity of WD stress, indicating it as one of the main environmental issues of global concern [10]. Plants grown under WD conditions have evolved various mechanisms to resist WD stress. One vital adaptation is the regulation of photosynthesis as a countermeasure to oxidative stress arising in WD conditions. Some of these adaptations involve NO [11–13].

Understanding the complex effect of NO on plants requires a detailed analysis of both physiological and molecular changes. The adaptability of plants to WD at the cellular and physiological levels is implemented by either induction or repression of relevant genes. Recently, a large number of analyses of plant responses to NO have been conducted using different techniques: transcriptional analyses identified 510 NO-related genes in *Arabidopsis thaliana* [14]; comparative proteomics identified 92 NO-related proteins in *Oryza sativa*, indicating that exogenous NO alleviated Al<sup>3+</sup> toxicity [15]; and 166 proteins were identified in *Anemone vitifolia Buch* using label-free quantitative proteomics after NO treatment without stress [16]. The above-identified NO-related genes or proteins were mostly involved in photosynthesis, stress, signaling, and secondary metabolism. NO has also been reported to be either directly or indirectly involved in the regulation of translation, and in post-translational modifications (PTMs). An established example is phosphorylation, which is one of the most prevalent and functionally important PTMs [17]. Phosphorylation is also a frequent post-translational modification because thousands of kinase genes occupy 3%–4% of functional genes in plants. Phosphorylation has therefore been widely employed to investigate the molecular mechanism of biotic/abiotic stress and the resulting hormone response.

Wheat (*Triticum aestivum* L.) is one of the most-produced cereal crops. Although previous studies indicated that NO can alleviate WD stress, no systematic profiling studies by "omics" have to date been published that dissect the effect of NO on wheat plants in response to WD stress. Here, comparative phosphoproteomics were performed to analyze the phosphorylation dynamics in response to exogenous NO donors (SNP) and 20% polyethylene glycol (PEG) 6000-induced WD (D) in wheat seedlings. Based on most protein species and in combination with the physiological level, NO-induced WD resistance was further verified, and a possible mechanism was suggested.

## 2. Results

#### 2.1. Morphological Change in Response to NO and D Stress

Leaf relative water content (RWC) showed a gradual decline both in "D" and "S + D" treatments; however, "S + D" exhibited higher RWC than "D" plants (Figure 1) during 72 h of D stress. Biomass results showed that D without SNP treatment inhibited the growth of wheat, while the growth state in the "S + D" treatment exceeded that of the "D" treatment. Here, this was also reflected in fresh and dry biomass accumulation as well as in plant height; S + D increased by 28.8%, 6.0%, and 12.2%, respectively, compared to D treatment (Figure 2A,B).



**Figure 1.** Change of relative water content (RWC) in leaves of wheat seedlings under normal water conditions and in response to three different treatments at different time points; a total of three independent biological replicates were conducted (n = 10). C, normal water conditions; S, pretreated with 150 µmol/L sodium nitroprusside; D, water deficiency induced by 20% polyethylene glycol (PEG)-6000; S + D, pretreated with 150 µmol/L sodium nitroprusside and water deficiency stress by 20% PEG-6000. Asterisks indicate significant differences among the four treatments at p < 0.05.



**Figure 2.** Phenotypic (**A**,**B**) changes in leaves of wheat seedlings under normal water conditions and in response to three different treatments; a total of three independent biological replicates were used (n = 10). For a detailed description of treatment conditions, please refer to the legend of Figure 1. Different lowercase letters indicate a statistically significant difference at p < 0.05.

#### 2.2. Quantitative Identification of Phosphoproteins Using iTRAQ

A total of 2257 unique phosphopeptides were identified among all four experimental groups (Table S1); these peptides originated from 1396 unique phosphoproteins (Table S2) searched in the *pooideae* Uniprot database, and 2416 phosphorylation sites, 2110 (87%) of which contained serine (Ser) residues (Figure S1 and Table S3). A fold-change value >1 indicates that the examined phosphorylated peptide is more abundant (suggesting its upregulation), while a fold-change value <1 indicates a less abundant phosphorylated peptide (suggesting its downregulation). A total of 96 differentially expressed phosphorylated proteins (DEPs) were identified in D/C consisting of 37 increased and 61 decreased proteins; (S + D)/C had less DEPs than D/C, including four less-increased and four less-decreased proteins (Table 1). However, only 25 and 24 DEPs were found in S/C and (S + D)/D. In total, the four groups had 148 DEPs with 172 differentially expressed phosphorylated peptides (DEPPs) (>1.5-fold change and p < 0.05, see Figure 3). The peptide-sequence and phosphorylation-site

(probabilities > 75%), protein description, peptide score, and fold changes of 198 spots associated with NO or WD are provided in Table S4. At least one phosphorylated S/T-Q site in most DEPPs (85%) was found to be localized to the nucleus.

 Table 1. Number of differentially expressed significant phosphorylated proteins in the leaves of wheat seedlings.

Groups	Increase	Decrease	Each Total	<b>Total Phosphorylated Proteins</b>
S/C	12	13	25	
D/C	37	61	96	149
(S + D)/C	33	57	88	148
(S + D)/D	7	17	24	

Notes: The rates of four groups exceeded +/-1.5, and p < 0.05. For a detailed description of treatment conditions, please refer to the legend of Figure 1.



**Figure 3.** Upregulated and downregulated significant phosphorylated peptides among four groups. For a detailed description of treatment conditions, please refer to the legend of Figure 1.

# 2.3. Gene ontology and Principle Component Analysis (PCA) Analysis of Differentially Expressed Phosphorylated Protein Species in Response to D Stress and NO

Hierarchical clustering analysis of DEPs detected different expression patterns in all four comparison groups (Figure 4A). Gene ontology (GO) analysis of DEPs indicated that most DEPs could be classified into 17 different biological processes. Fewer DEPs were found to have molecular function than those associated with cellular component and biological processes, and most DEPs were involved in binding. In biological processes, most DEPs were related to cellular processes, single-organism processes, biological regulation, metabolic processes, and response to stimulus (Figure S2). D/C or (S + D)/C exhibited two-fold increased DEPs compared to S/C or (S + D)/D, respectively (Figure S3). The function of the phosphorylated proteins listed in Table S4 was mainly divided into photosynthesis metabolism, signaling, stress defense, protein modification, translation, and DNA binding (Figure 4B).

PCA was performed to analyze the reason for the observed DEPs within the four groups. The variation explained by the first principle component (PC1, x axis) can be largely attributed to D; PC1 explains 50.6% of the variation. PC2 (y axis) explains an additional 10.8% of the variation, much of which is attributable to NO treatment (Figure S4).



**Figure 4.** (A) Cluster analysis and (B) functional classification of significant differentially phosphorylated peptides in leaves of winter wheat among four different treatments. The color scale bar at the left of the hierarchical cluster analysis indicates the increased (red) and the decreased (green) peptides. For a detailed description of treatment conditions, please refer to the legend of Figure 1.

# 2.4. Metabolism-Related Differentially Expressed Phosphorylated Protein Species Accumulation in Response to NO and D Stress

Based on their classification, most DEPs (photosynthesis metabolism-related, 43 species) correlated with the photosynthesis metabolism (Table S4). No change in S/C was detected. Not surprisingly, most of the DEPs were downregulated when wheat seedlings suffered from D (D/C). However, some of these proteins were significantly upregulated (>1.5-fold change and p < 0.05) in (S + D)/D (Figure 4A), such as the chlorophyll a-b binding protein (Lhcb), chloroplast postillumination chlorophyll-fluorescence-increase protein (PIFI), and SNT7. The chosen DEPs are listed in Table 2, including their accession, coverage, proteins, unique peptides, Molecular weight, calc, and fold ratio. Only one peptide was phosphorylated with class II, the others were phosphorylated with class I. In these phosphorylation sites of class I, 11 DEPs have one or two phosphosites, located in either serine (Ser) or threonine (Thr) residue.

								Fold Ratio <sup>g</sup>
escription	Phosphosite <sup>a</sup>	Accession	Coverage <sup>b</sup> (%)	Proteins <sup>c</sup>	Unique Peptide <sup>d</sup>	MW [kDa] <sup>e</sup>	Calc. pI <sup>f</sup>	(S/C, D/C, (S + D)/C, (S + D)/D)
Lhcb	S(11): 94.0	F2CRC1	12.24	4	2	26.72	6.11	$0.73/0.22/0.27/1.23\uparrow$
SNT7	T(1): 100.0; S(5): 100.0 T(4): 100.0; S(8): 100.0 S(5): 100.0 S(5): 100.0 S(5): 100.0	M8CIW2	2.59		0	68.90	9.01	$\begin{array}{c} 1.04/0.62/0.82/1.32\uparrow\\ 0.95/0.59/0.75/1.26\uparrow\\ 0.82/0.76/0.62/0.82\\ 0.74/0.63/0.49/0.78\end{array}$
PIFI	Class II T(2): 100.0	W5I170 B3TN78	14.98 19.18	ω 1	1 1	24.69 7.83	4.77 8.53	$0.79/0.60/0.72/1.20\uparrow 0.75/0.69/0.52/0.75$
Notes: Sign pretreatmer corresponds of unique pt D/C, (S + D	fifcantly upregulated phosph at ((S + D)/C) to a certain ex to the last column. S refers to eptides assigned to each prote ()/C). NO plus arought-treate molitions nease refer to the 1	orylated peptid tent. <sup>a</sup> Potential > serine, T refers zin. <sup>e</sup> Molecular d protein levels egend of Figure	es ( $p < 0.5$ ) are markec ( $p$ hosphorylation site to thrine. <sup>b</sup> Percentage weight of proteins. <sup>f</sup> Is to drought-trreated pro-	I with $\uparrow$ . If they as of 0.75 or aboved of peptides assi, so the peptides assi, so the peptides assi, so the peptides ((S + 1)) the period of	were downregulated in <i>ce</i> that belong to class I gned to the predicted pr f proteins. <sup>8</sup> Ratio of NC D)/D). Ratio corresponc	D/C, they have bu are highly reliabl otein. <sup>c</sup> Number o. ) or drought-treate is to the group in t	een restored after e; $0.75 > p \ge 0.5$ if proteins in each ed protein levels t the same column.	sodium nitroprusside (SNP) belongs to class II. Each line proteome species. <sup>d</sup> Number o control protein levels (S/C, For a detailed description of

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#### 2.5. Physiological Changes of Photosynthesis-Related Parameters in Response to NO and D Stress

Figure 5 shows the physiological changes associated with photosynthesis performance in response to both NO and D. No significant differences were found between C and S; however, D decreased by 48.2%, 45.1%, 37.87%, 34.2%, 20.3%, and 18.5% in Chl a, Chl b, Pn, RC/ABS, PI<sub>ABS</sub>, and P<sub>ET</sub>. When pretreated with SNP and then stressed by D, their values increased significantly; however, they could not completely recover to the control level.



**Figure 5.** (**A**) Chlorophyll (Chl) content (Chl a, Chl b), (**B**) net photosynthetic rate (Pn), (**C**) fluorescence intensity, PI<sub>ABS</sub>, P<sub>ET</sub>, and (**D**) RC/ABS in leaves of wheat seedlings under normal water conditions and in response to NO or PEG-induced water deficiency treatments. C, normal water conditions; S, pretreated with 150 µmol/L sodium nitroprusside; D, water deficiency induced by treatment with 20% polyethylene glycol (PEG)-6000; S + D, pretreated with 150 µmol/L sodium nitroprusside and then water deficiency stressed by 20% PEG-6000. O, J, I and P mean that the analysis of the transient-considered fluorescence values at 50 ms (Fo, step O), 2 ms (F2 ms, step J), 30 ms (F30 ms, step I), and maximal level (FM, step P), respectively. For a detailed description of treatment conditions, please refer to the legend of Figure 1. Different lowercase letters in (**A**,**B**) indicate a statistically significant difference at *p* < 0.05.

## 3. Discussion

In abiotic stress studies, morphological, comparative physiological, and phosphoproteomic analyses are the most prevalent and effective strategies to investigate the mechanisms of abiotic stress resistance [18]. The results of the present study suggest that morphological and RWC changes after exogenous NO pretreatment contribute to D resistance. Furthermore, a comparative phosphoproteomic analysis among NO or D treatments was successfully performed. The results indicate that the phosphorylated peptides participated in key biological processes, which might work cooperatively to establish a new cellular homeostasis in response to NO.
### 3.1. Phosphoprotomics Elucidates Molecular Mechanism of NO-Induced D Tolerance in Wheat Seedlings

A number of studies indicated that phosphorylation played an important role in drought and chilling tolerance [17,18]. Here, 148 significant DEPs were identified in response to D or NO treatments. D was the single largest contributor to the variation among all four phosphoproteomic datasets obtained via PCA, followed by NO. This indicates that D treatment potentially impacts the phosphorylation of these proteins more significantly than NO treatment.

To date, quantitative phosphoproteomic studies in plants have shown that a majority of phosphoproteins only had one or two phosphorylation sites. Here, similar findings were obtained for wheat, and 76.9% of the phosphoproteins in four groups were found to possess fewer than two phosphorylation sites. However, only few DEPs were shown to have multiple phosphorylation sites. The distribution of phosphorylation Ser was maximal (87.3%) compared to the distributions of Thr and Lys, suggesting that these are preferentially highly sensitive and specific for Ser sites under D and NO treatment, followed by Thr and Lys residues. Identification of these phosphoproteins and phosphorylation sites provides a basis for the understanding of the molecular mechanisms underlying NO-regulated D resistance.

## 3.2. Comparison between Present Phosphoproteomic Data and Previous Studies

Only one NO-induced phosphoproteomic profile has been reported in cotton plants [19]; furthermore, no study on NO with a D stress-related phosphoproteomic profile has been published for higher plants. Two studies reported the D-induced phosphoproteomic profile in wheat [18,20]; however, one of these studies sampled wheat grains. Consequently, the results reported in these three References [18–20] were compared to the findings of this study. Thirty-four of the NO-induced phosphoprotein species found here were identical to those found by Fan et al. [19]; furthermore, nine and seven D-induced phosphoprotein species identical to those found here were identified in the remaining two studies [18,20], respectively. Differences and commonalities are listed in Table S5, most of which are related to photosynthesis metabolism and signaling; however, differential mechanisms could well be induced by NO with or without D stress in cotton or wheat. This difference is caused by different WD durations and extent, as well as wheat variety and sampling organization. The data reported herein aid the exploration of the molecular mechanism of NO-induced phosphorylation in response to severe D exposure.

#### 3.3. Phosphorylation is Involved in the Photosynthesis Metabolism against D

Previous studies indicated that NO enhanced the stress resistance in other crops by regulating genes related to photosynthesis, metabolism, and stress signals [15,21]. Here, most of the phosphoproteins also participated in the photosynthesis metabolism, most of which in turn were downregulated after D treatment (Table 1, Figure S4). However, others were upregulated after NO plus D treatment, suggesting that the phosphorylation of these upregulated proteins was regulated by the interaction between NO and D; NO without D stress did not activate protein phosphorylation, which has also been reported before [19], suggesting that the effect of NO treatment is indirect.

Light-harvesting chlorophyll a/b protein (CAB) located in light-harvesting complex II (LHCII) can switch between light-harvesting antenna systems for photosystem (PS)I or PSII to maintain optimal excitation balance. This switch (termed state transition), involves the phosphorylation of CAB by specific thylakoid-bound Ser/Thr kinases (Stt7, SNT7, and TAKs) [22]. Polverari et al. [23] reported that NO modulated the CAB gene, SNT7 is required for the plastoquinone (PQ) redox state and provides a link between short- and long-term photosynthetic acclimation [24]. The novel nucleus-encoded chloroplast protein PIFI indicates the nonphotochemical reduction of PQ and has been reported to be involved in the NAD(P)H dehydrogenase complex-mediated chlororespiratory electron transport [25]. Here, the phosphorylation level at Ser or Thr sites showed significant decreases due to D stress, and no significant difference was found in response to NO exposure. However, phosphorylation of

several peptides was significantly activated by the interaction of NO and D, indicating that, if these peptides are phosphorylated, they play an important role in the adaptation to D stress, which may be linked to the induction of NO.

## 3.4. NO-Enhanced D Tolerance Correlated with Primary Reaction of Photosynthesis

The central processes of photosynthesis are the absorption of light energy, electron transfer, and conversion of energy. Chl is an important pigment for photosynthesis and includes Chl a and Chl b. Chl a plays an important role as primary electron donor in the electron-transport chain and transfers resonance energy to the antenna complex, ending at the reaction center. Chl b aids the photosynthesis by absorbing light energy [26]. Chl a and Chl b concentrations influence the ability to absorb light energy. Numerous previous studies indicated that NO increased biomass accumulation and photosynthetic-performance driving force (PI<sub>ABS</sub>) due to both the trapping of excitation energy (RC/ABS) and electron transport ( $P_{\rm ET}$ ) [2,5,12].

Here, changes of Chl a and Chl b content, Chl fluorescence intensity, PIABS, RC/ABS, and PET in response to NO and D treatment showed that absorption, transfer, and conversion of light energy were regulated by NO under D stress. The energy derived from the primary reaction of the photosynthesis is used in particular pathways to achieve the final result of photosynthesis [27].

## 3.5. Putative Mechanism of NO Regulates Primary Reaction of Photosynthesis in Response to D Stress

Combining the results reported here with those of previous studies [2,7,28–31] suggests that the primary reaction of photosynthesis may be regulated by NO involved in LHCII phosphorylation under D stress. The specific putative mechanism in wheat seedlings is shown in Figure 6.



**Figure 6.** Schematic representation of NO involved in the regulation of photosynthesis to improve water-deficiency stress resistance. The contribution of NO to photosynthesis primary reaction under water deficit stress flows in the direction indicated by the arrow.

In this study, in response to D stress, the ROS that was generated via oxidative burst (intersystem electron transport (PET) from chloroplast and respiratory chain (RET) of mitochondria) is suggested to trigger NO synthesis. Under D stress, NO activates the phosphorylation of photosynthesis, signaling, and stress defense-related peptides, thus promoting stress tolerance. Additionally, when seedlings suffered from D stimuli, PSII momentarily ran faster than PSI; thus, this led to the uneven excitation of both the PS-generated redox and ROS signals that are then transmitted as retrograde signals to the cytosol, mitochondrion, and nucleus. During PET, including the PQ pool, the LHCII kinase SNT7 activates the phosphorylated LHCII. For example, the CAB protein moved from PSII to PSI, compensates the imbalance, and upregulates the PIFI phosphorylation level. This improved absorption, transfer, and conversion of light energy. These changes therefore enhance the primary reaction of photosynthesis, which carries implications for the mechanisms with which NO improves WD resistance.

## 4. Materials and Methods

# 4.1. Plant Materials and D and NO Treatments

Seeds of common wheat cv. Zhoumai 18 were germinated for 14 h in glass petri dishes (15 cm) in an illuminated incubator (Laifu Technology, Ningbo, China). Standard growth conditions for wheat were used (14 h light: 10 h dark photoperiod; 25 °C day and 15 °C night; relative humidity of 60% during the day and 75% at night). Uniform seedlings were transferred to full-strength Hoagland's liquid growth medium [32], and each petri dish contained approximately 60 seedlings. After 15 further days of growth, 3 dishes of each sample group were treated: for the C treatment, seedlings were incubated in Hoagland medium without SNP and PEG; for the D treatment, seedlings were incubated in Hoagland medium supplemented with 20% PEG (6000) (-0.8 MPa osmotic potential) (Sigma Chemical Co., St. Louis, MO, USA); for the S treatment, seedlings were incubated in Hoagland solution supplemented with 150 µmol/L SNP (Sigma Chemical Co., St. Louis, MO, USA); for the S treatment, seedlings were incubated in Hoagland medium supplemented with 150 µmol/L SNP for 3 days before also adding 20% PEG solution. At all stages of the experiment and for all sample groups, liquid media were changed daily. After 3 days of 20% PEG treatment, the uppermost fully developed leaves of all 4 treatments were collected, immediately frozen in liquid nitrogen, and stored at -80 °C until further physiological and phosphorylated proteomic analysis.

### 4.2. Phenotypic Analysis

Ten individual wheat seedlings were randomly harvested from each dish. The RWC in the leaves was determined with the method described by Wu and Xia [33]. RWC percentage was calculated using the following formula: RWC (%) =  $100 \times (FW - DW)/(SW - DW)$ , where FW represents fresh weight, DW represents dry weight, and SW represents saturated weight. SW was determined after letting the leaflet float on distilled water for 24 h at room temperature.

Plant height and plant biomass (fresh and dry weights) were measured. Samples were then placed in an oven at 105 °C for 15 min followed by drying to a constant weight at 75 °C.

### 4.3. Physiological Analysis

Chl was extracted using the nonmaceration method developed by Hiscox and Israelstam [34]. Leaf samples (0.05 g) were incubated in 5 mL dimethyl sulfoxide at 65 °C for 4 h. Absorbance of the chloroplast pigments was measured at both 645 and 663 nm, and the Chl a and Chl b contents were calculated accordingly [35].

Fast Chl fluorescence-induction kinetics were measured using a Handy PEA Chl fluorimeter (Hansatech Instruments Ltd., Norflok, UK). Induced by red saturating light, the OJIP rising transients were measured with leaves that had been dark-adapted for 20 min. The analysis of the transient-considered fluorescence values at 50 ms (Fo, step O), 2 ms (F2 ms, step J), 30 ms (F30 ms,

step I), and maximal level (FM, step P). On a logarithmic time scale, the increasing transient from Fo (F measured at 50 ms) to FP (where FP = FM under saturating excitation light, of which the excitation intensity was sufficiently high to ensure the closure of all RCs of PSII) showed polyphasic behavior. The JIP test represents a translation of original data into biophysical parameters that quantify the energy flow. The absorption of light energy (ABS), RC/ABS = ((F2 ms - Fo)/4(F300 ms - Fo))(Fv/FM) represents the active RC density on a Chl basis. A decrease in RC/ABS indicates an increase in the size of the Chl antenna serving each RC [2]. The contribution of dark reactions was calculated with the formula ( $\psi o/(1 - \psi o)$ ) = (FM - F2 ms)/(F2 ms - F50 ms). The performance index of photosynthesis (PI<sub>ABS</sub>) represents the driving force of the performance. One component is performance due to the conversion of excitation energy into electron transport (P<sub>ET</sub>). The net leaf photosynthetic rate (Pn) was measured using a Li-Cor 6400 photosynthetic system (Li-Cor, Lincoln, NE, USA).

To dissect the impact of NO on the growth of wheat under WD, the second newly expanded leaves from 3 treated and untreated (Control) samples were harvested for protein extraction, iTRAQ labeling, and identification of phosphorylated peptides.

# 4.4. Protein Extraction

For each sample group, 5 g of tissue from each of the 3 biological replicates were ground with a mortar and pestle and transferred into chilled 50 mL conical tubes. Protein extraction was conducted according to Pi et al. [36].

### 4.5. Protein Digestion and iTRAQ Labeling

Protein digestion was performed using a method involving filter-aided sample preparation (FASP Digestion) [37]. After the resulting peptides were collected by centrifugation at  $14,000 \times g$  for 10 min, the filters were then rinsed with 40 µL 106 DS buffer (50 mM triethylammonium bicarbonate at pH 8.5) and centrifuged a final time. Peptide concentration was estimated by UV light spectral density at 280 nm using an extinctions coefficient of 1.1 of 0.1% (g/L) solution that was calculated on the basis of the frequencies of tryptophan and tyrosine in vertebrate proteins. For iTRAQ labeling, 100 µg of sample peptides was separately labeled for each biological replicate using an iTRAQ Reagent4plex Multiplex Kit (AB Sciex, Framingham, USA). 114, 115, 116, and 117 labels were used for the C, S, D, and S + D sample groups, respectively. Labeling was conducted according to the manufacturer's instructions.

### 4.6. Enrichment of Phosphorylated Peptides Using TiO<sub>2</sub> Beads

The labeled peptide samples were concentrated using a vacuum concentrator and resuspended in 500 µL buffer (2% glutamic acid, 65% acetonitrile, and 2% trifluoroacetic acid). Then, 500 µg TiO<sub>2</sub> beads (GL Sciences, Tokyo, Japan) was added, and samples were agitated for 40 min before centrifugation for 1 min at 5000 × g. The supernatant was then discarded. The beads were washed 3 times with 50 µL 30% acetonitrile and 3% TFA and 3 times with 50 µL of 80% acetonitrile and 0.3% trifluoroacetic acid to remove the remaining nonadsorbed material. Finally, the phosphopeptides were eluted with 50 µL of elution buffer (40% acetonitrile, 15% NH<sub>4</sub>OH) and lyophilized [38]. Each iTRAQ sample (5 µL) was mixed with 15 µL of 0.1% trifluoroacetic acid (v/v) for mass spectrometry analysis.

## 4.7. Liquid Chromatograph -Mass Spectrometry Analysis

A 5  $\mu$ L mixture from each sample was added to a Thermo Scientific EASY precolumn (2 cm  $\times$  100  $\mu$ m  $\times$  5  $\mu$ m C18) in buffer A (0.1% formic acid) and separated with a linear gradient of buffer B (80% acetonitrile and 0.1% formic acid) at a flow rate of 250 nL/min on a Thermo Scientific | | instrument | nLC (ProxeonBiosystems, now Thermo Fisher Scientific, Waltham, MA, USA) EASY column (75  $\mu$ m  $\times$  250 mm  $\times$  3  $\mu$ m C18). The peptides were eluted with a gradient of 0–55% buffer B from 0 to 220 min, 55–100% buffer B from 220 to 228 min, and 100% buffer B from 228 to 240 min.

Following nano-Liquid Chromatograph (LC) separation, Mass Spectrometry (MS)/MS analysis was performed on a Q exactive mass spectrometer (Thermo Fisher Scientific). The mass spectrometer

was operated in positive-ion mode. MS data were acquired using a data-dependent (top-10) method that dynamically selects the most abundant precursor ions from a survey scan (350-1800 m/z) for HCD fragmentation and uses the automatic gain control function as target value. The dynamic exclusion duration was 30.0 s. Survey scans were acquired at a resolution of 70,000 at m/z 200, and the resolution for the HCD spectra was set to 17,500 at m/z 200. Isolation width was set to 2 m/z. Normalized collision energy was 29 eV, and the underfill ratio (which specifies the minimum percentage of the target value likely to be reached by the maximum fill time), was set to 0.1%. The instrument was operated with enabled peptide recognition mode. Each iTRAQ experiment was analyzed 3 times.

### 4.8. Data Workflow

MS/MS spectra were searched using Mascot 2.2 (Matrix Science, Boston, MA, USA) and Proteome Discoverer 1.4 software (Thermo Fisher Scientific,) against both the *pooideae* Uniprot database (294, e962 sequences, downloaded 9 November 2015) and a decoy database. For protein identification, the following parameters were used: fragment mass tolerance, 0.1Da; peptide mass tolerance, 20 ppm; enzyme, trypsin; max missed cleavages, 2; fixed modifications, carbamidomethyl (C), iTRAQ 4plex (N-term), iTRAQ 4plex (K); variable modifications, oxidation (M), phosphorylation (ST), phosphorylation (Y). The score threshold for peptide identification was set at a false-discovery rate (FDR) of  $\leq$ 0.01. Phosphorylated sites on the identified peptides were assigned using the PhosphoRS algorithm; for each phosphorylation site on all phosphorylated, and PhosphoRS probabilities above 75% were interpreted to indicate that a site is truly phosphorylated, and PhosphoRS scores above 50% were considered to indicate good peptide spectral match [39,40]. Please note that the expression change of phosphorylated peptides can be attributed to either the change in abundance of the corresponding protein, the phosphorylation level of the protein, or both; in this study, we did not attempt to dissect the relative contributions of these two factors. All datasets were processed using inhouse Perlscripts.

Phosphopeptide quantification was evaluated based on the intensity of the reporter ions. We normalized the phosphopeptide ratios by dividing the value for a given peptide by the median ratio of all identified peptides. The log2 fold-change values (S/C; D/C; (S + D)/C; (S + D)/D) for each treatment were calculated for each phosphopeptide. Only phosphopeptides detected in at least 2 out of the 3 biological replicates were used for the assessment of significant changes.

## 4.9. Identification of Differentially Phosphorylated Peptides

Differentially phosphorylated peptides were identified on a per-peptide basis and in a pairwise manner. Briefly, the abundance values for a particular phosphorylated peptide from 3 biological replicates of each experimental condition were compared to the abundances of the same peptide from a different experimental condition using a 2-sample Student's *t*-test with default parameters. The peptide was considered to be differentially phosphorylated if the reported 2-sided *p*-value was below 0.05. The fold-change was then calculated for the peptide as the ratio between the mean value of the biological replicates of one experiment and the mean value of the other.

Multiple distinct phosphorylated peptides can be mapped to the same protein. Our analyses showed that these multiple peptides exhibit similar trends, i.e., they are either all significantly upregulated or all significantly downregulated between two experimental conditions, highlighting the accuracy and high quality of our data. To gain indepth insight of their phosphorylation patterns, we conducted hierarchical cluster analysis of the different phosphorylated peptides based on their phosphorylation intensities.

Additionally, PCA was performed using the built-in R function 'prcomp'. PCA is often used to investigate the internal structure of the data in a way that best explains the variance in the data. In our case, PCA was used to illustrate the similarities among sample groups.

Accession numbers of sequence data can be accessed here: ProteomeXchange PXD010724.

# 4.10. Statistical Analysis

All experiments were repeated independently 3 times. Growth and physiological parameters were statistically analyzed using one-way analysis of variance (ANOVA) and Duncan's multiple-range test to determine significant differences among group means. Significant differences from control values were determined at the p < 0.05 level.

# 5. Conclusions

In this study, 20% PEG greatly inhibited both growth and leaf RWC of wheat seedlings; however, NO could alleviate this PEG-induced inhibition. Phosphorylation has been widely employed to understand the molecular mechanism of biotic/abiotic stress and hormone responses. Here, quantitative phosphoproteomic analysis was performed using iTRAQ in seedlings treated with S, D, and S + D compared with control. In total, 2257 unique phosphopeptides, 1396 unique phosphoproteins, and 2416 phosphorylation sites were identified among four experimental groups. In total, the four groups contained 148 DEPs with 172 different expressed DEPPs (>1.5-fold change and p < 0.05, Figure 3). Most species were involved in both photosynthetic metabolism and signaling. Most DEPPs decreased in response to D stress. However, several were increased after NO plus D treatment, such as part of the peptides of CAB, PIFI, and SNT7, which may be closely related to the absorption of light energy in the LHCII antenna and electron transport, as a primary reaction of photosynthesis. We suggest that the NO signal could play an important role in the phosphorylation of these peptides. The corresponding physiological change further confirmed the effect of NO on the primary reaction of photosynthesis in wheat seedlings suffering from D stress. This study provides insight into how the molecular mechanisms of NO improving D resistance in higher plants.

Supplementary Materials: Supplementary materials can be found at http://www.mdpi.com/1422-0067/19/9/2819/ s1.

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# Review Metabolic Reprogramming in Chloroplasts under Heat Stress in Plants

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Abstract: Increases in ambient temperatures have been a severe threat to crop production in many countries around the world under climate change. Chloroplasts serve as metabolic centers and play a key role in physiological adaptive processes to heat stress. In addition to expressing heat shock proteins that protect proteins from heat-induced damage, metabolic reprogramming occurs during adaptive physiological processes in chloroplasts. Heat stress leads to inhibition of plant photosynthetic activity by damaging key components functioning in a variety of metabolic processes, with concomitant reductions in biomass production and crop yield. In this review article, we will focus on events through extensive and transient metabolic reprogramming in response to heat stress, which included chlorophyll breakdown, generation of reactive oxygen species (ROS), antioxidant defense, protein turnover, and metabolic alterations with carbon assimilation. Such diverse metabolic reprogramming in chloroplasts is required for systemic acquired acclimation to heat stress in plants.

**Keywords:** heat stress; metabolic reprogramming; chloroplasts; chlorophyll breakdown; reactive oxygen species (ROS); antioxidant defense; protein turnover; Photosystem II (PSII) core subunit; PSII repair cycle; carbon assimilation

# 1. Introduction

Photosynthesis is thought to be the most important photo-chemical reaction, during which sunlight is trapped and converted into biological energy in plants. In general, leaves function as a highly specialized organ that are basically appointed in the photosynthetic process in higher plants. Leaf photosynthesis is substantially affected, often lethally, by high temperature stress, usually 10–15 °C above an optimum temperature for plant growth as plants are not capable of moving to more favorable environments [1-3]. Housed in chloroplasts, the photosynthetic apparatus is susceptible to be damaged by heat stress and the chloroplasts have been demonstrated to play an essential role in activation of cellular heat stress signaling [4–6]. Given that Photosystem II (PSII) is the most susceptible target within the chloroplast thylakoid membrane protein complexes for heat stress, heat stress commonly causes severe thermal damages to PSII, dramatically affecting photosynthetic electron transfer and ATP synthesis [1–3,7,8]. Under heat stress, heat stress-induced damages lead to alterations of photochemical reactions in thylakoid lamellae of chloroplast, reflected as a significant reduction in the ratio of variable fluorescence to maximum fluorescence (Fv/Fm) [1,2,9–11]. Exposure to high temperature stress causes oxidative stress in plants, particularly leading to the dissociation of oxygen evolving complex (OEC) in PSII, which further results in inhibition of the electron transportation from OEC to the acceptor side of PSII [1,2,12,13]. Heat stress causes cleavage of the reaction center-binding protein D1 of PSII in spinach thylakoids and induces dissociation of a manganese (Mn)-stabilizing 33-kDa proteins

from PSII reaction center complex [14]. As a photosynthetic carbon fixation cycle, the Calvin–Benson cycle is responsible for the fixation of CO<sub>2</sub> into carbohydrates, as well as assimilation, transport, and utilization of photoassimilates as the organic products of photosynthesis. In addition to the disruption of OEC in PSII, heat stress also results in dysfunction in the system of carbon assimilation metabolism in the stroma of chloroplast [2,8]. It has been observed that the disruption of electron transport and inactivation of the oxygen evolving enzymes of PSII dramatically inhibit the rate of ribulose-1,5-bisphosphate (RuBP) regeneration [10,15]. Heat stress-induced inhibition in the activity of ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) mainly results from inactivation of Rubisco activase that is extremely sensitive to heat stress because the enzyme Rubisco of higher plants is heat-stable [8,15]. In addition to the early effects on photochemical reactions and carbon assimilation, alterations in the microscopic ultrastructures of chloroplast and the integrity of thylakoid membranes were reported to be severely disrupted, including membrane destacking and reorganization when subjected to heat stress [2,16–19].

In photosynthetic organisms, chloroplasts respond to a variety of environmental stresses, including heat stress, with adjustments for major metabolic processes to optimize carbon fixation and growth requirements [2,3,6,8,20]. As one of the subcellular energy organelles, chloroplast in plant cells conducts major metabolic reprogramming processes including chlorophyll breakdown, generation and scavenging of reactive oxygen species (ROS), protein turnover, and metabolic alterations of carbon assimilation in response to heat stress (Figure 1). Here we review studies that have investigated the effects of heat stress on photosynthesis and its associated metabolic adaptations for optimizing plant growth and development under stress conditions. We will assess the role of chloroplast from an organellar perspective to begin building insights into better understanding the importance and significance of metabolic reprogramming within this organelle during high temperature stresses.



**Figure 1.** Extensive and transient metabolic reprogramming in chloroplasts under heat stress. Major events of metabolic reprogramming in response to heat stress include chlorophyll breakdown, generation of reactive oxygen species (ROS), antioxidant defense, protein turnover, and metabolic alterations with carbon assimilation. With respect to the systemic acquired acclimation to heat stress in plants, diverse metabolic reprogramming in chloroplasts is required for optimizing plant growth and development during high temperature stresses.

### 2. Chlorophyll Breakdown under Heat Stress

Chlorophyll (Chl) functions in harvesting light energy and driving electron transfer during the initial and indispensable processes of photosynthesis, and is a pigment consisting of two moieties: a chlorin ring containing a magnesium ion at its center and a long hydrophobic phytol chain, joined by an ester bond. It should be noted that when the photosynthetic apparatus is overexcited, and oxygen receives the absorbed energy from Chl, Chl acts as a harmful molecule, negatively affecting plant cells including most porphyrins [21,22]. Importantly, the breakdown of Chl is a physiological process as a prerequisite for protecting plant cells from hazardous effects of phototoxic pigments in association with recycling nitrogen sources from Chl-binding proteins in chloroplasts when leaves are senescing [22–25].

Recent studies have provided advances in better understanding of the pathway of Chl catabolism in higher plants. In brief, the removal of the phytol residue and the central Mg by chlorophyllase occurs at the initial reaction in the Chl breakdown pathway. Chlorophyllase genes, termed CLHs, were reported to be involved in Chl breakdown [26], but recent studies questioned the involvement of CLHs in Chl degradation in vivo during leaf senescence [23,27]. In 2009, functional analysis of pheophytinase (PPH) supports its role in porphyrin-phytol hydrolysis involved in senescence-related chlorophyll breakdown in vivo [28]. Several reports have revealed that the resulting pheophorbide (pheide) a is converted into a primary fluorescent chlorophyll catabolite (pFCC) in the next-step reactions, and two enzymes are involved in the reactions, including pheide a oxygenase (PAO) and red chl catabolite reductase (RCCR) [29–32]. PAO encodes a Rieske-type iron-sulfur oxygenase that is bound to chloroplast envelop, also named as ACCELERATED CELL DEATH (ACD1) [30]. PAO functions as a key enzyme that catalyzing the cleavage of the porphyrin ring in Chl breakdown pathway, and the red chlorophyll catabolite resulted from reactions can be further catalyzed into pFCC by RCCR. Next, a primary active transport system is responsible for exporting the resulting primary fluorescent catabolite pFCCs from the plastid and importing into the vacuole [33,34]. Based on the genetic analysis of the stay-green mutants, NON-YELLOW COLORING1 (NYC1) and NYC1-LIKE (NOL) were cloned and found to selectively retain photosystem II (PSII) light-harvesting complex subunits [35,36]. The first half of chlorophyll b can be catalyzed into chlorophyll a by chlorophyll b reductase that is composed of two subunits NYC1 and NOL [36]. In addition, leaves of the loss-of-function mutant pao showed a stay-green phenotype under dark-induction conditions and a light-dependent lesion mimic phenotype was also observed because of the increase in levels of phototoxic pheide a [31,37,38]. Interestingly, the *Bf993* mutant of *Festuca pratensis* is classified into the third type of stay-green mutants in which the stay-green gene named as *senescence-induced degradation* (SID) is defective [39–41]. SGR (STAY-GREEN), designated as the orthologous gene of SID, has been cloned and characterized in a lot of plant species, including Arabidopsis [42], rice [43–45], pea [45,46], bell pepper [47,48], and tomato [47]. Based on the accumulated evidence, the direct interacting relationship has been characterized to exist between SGR and a subset of the protein components of the light harvesting chlorophyll *a/b*-protein complex II (LHCPII), suggesting that SGR is likely to play a role in making pigment-protein complexes unstable as a prerequisite for the enzymes in chlorophyll breakdown pathway to reach their substrate when leaves are in senescing processes [22,44,49].

A visible sign of leaf senescence and fruit ripening, loss of green color is resulted from massive Chl breakdown into nonphototoxic breakdown products in combination with carotenoid retention or anthocyanin accumulation [22,23,50]. Under normal growth conditions, chlorophyll maintains at a steady level due to the balance of its biosynthesis and degradation without a visible change in chlorophyll content [23,25]. In contrast, chlorophylls undergo turnover or breakdown when the partial or complete dismantling of the photosynthetic machinery occurs in response to environmental stresses, including heat stress. Heat stress symptoms in plants are typically characterized by leaf senescence or chlorosis due to a decline in chlorophyll content [2,51,52]. Heat-induced leaf chlorosis has been observed in a variety of plant species, including *Arabidopsis* [4,5,53], Soybean (*Glycine max* L. Merr.) [54], sorghum (*Sorghum bicolor*) [55], wheat (*Triticum aestivum*) [56,57] and

creeping bentgrass (*Agrostis stolonifera*) [58]. However, the underlying mechanisms by which leaf senescence is regulated during heat stress remain elusive. Further studies are needed for answering the question of whether heat-induced chlorophyll loss is caused by heat-induced inhibition of chlorophyll synthesis and/or heat-enhanced chlorophyll degradation in plant leaves.

In Arabidopsis, chlorophyll a (Chl a) content was gradually reduced and chlorophyllase (Chlase) activity substantially increased during the high temperature treatment [53]. Upon heat treatment, no significant difference was detected in the enzyme activity of a key chlorophyll-synthesizing enzyme, porphobilinogen deaminase across all the lines of bentgrass (Agrostis spp.). However, the activities of chlorophyll-degrading enzymes, including chlorophyllase and chlorophyll-degrading peroxidase, increased significantly after heat stress whereas pheophytinase activity was unchanged [52]. Interestingly, lower activities of chlorophyll-degrading enzymes were detected in heat-tolerant transgenic lines in which the expression of isopentenyl transferase (*ipt*) gene is driven by a senescence-activated promoter (SAG12) or heat shock promoter (HSP18.2) in modulation of cytokinin biosynthesis when compared with the WT under heat stress [52]. The authors suggested that the enhanced degradation of chlorophyll under heat stress could result in a severe loss of the chlorophyll content in heat-challenged bentgrass. Studies on genetic variations contrasting in heat tolerance in the strength of leaf senescence under heat stress in hybrids of colonial (Agrostis capillaris) x creeping bentgrass (Agrostis stolonifera) supported that heat-induced loss of chlorophyll in leaves was caused by the rapid breakdown of chlorophyll, as manifested by the high-level activation of genes encoding chlorophyllase and pheophytinase, and the activity of pheophytinase (PPH) [59]. Recently, the map-based cloning of a semidominant, heat-sensitive, missense allele (cld1-1) led to identify a putative hydrolase, named as CHLOROPHYLL DEPHYTYLASE1 (CLD1) that is capable of dephytylating chlorophyll [60]. Their findings suggest that CLD1 is conserved in oxygenic photosynthetic organisms and plays a key role as the long-sought enzyme in making the phytol chain removed from chlorophyll in its degradation process at the steady-state level in chloroplasts.

Unlike studies on hormonal regulation of leaf senescence, less attention has been paid to effects of hormones on heat-induced chlorophyll loss. Exogenous application of a synthetic form of cytokinin, zeatin riboside (ZR) helped maintain higher leaf chlorophyll content creeping bentgrass exposed to heat stress by slowing down the action of protease and by induction or upregulation of heat-shock proteins [61]. The ethylene-inhibiting compound 1-methylcyclopropene (1-MCP) treatment can delay leaf senescence in cotton plants under high temperature by reducing lipid peroxidation, membrane leakage, soluble sugar content, and increasing chlorophyll content [62]. It was reported that the novel ethylene antagonist, 3-cyclopropyl-1-enyl-propanoic acid sodium salt (CPAS), increases grain yield in wheat by delaying leaf senescence under extreme weather conditions [63].

Plant chlorophyll retention-staygreen is considered a valuable trait under heat stress. Accumulating data indicate that understanding the physiological and molecular mechanisms of "STAY-GREEN" trait or delayed leaf senescence is required for regulating photosynthetic capability and may be also a key to break the plateau of productivity associated with adaptation to high temperature [64]. Staygreen traits are associated with heat tolerance in bread wheat and quantitative trait loci (QTL) for staygreen and related traits were identified across the genome co-located with agronomic and physiological traits associated to plant performance under heat stress, confirming that the staygreen phenotype is a useful trait for productivity enhancement in hot-irrigated environments [65]. In soybean, high temperatures and drought stress can lead to chlorophyll retention in mature seeds, termed as "green seed problem", which is usually related to lower oil and bad seed quality, thus inducing a yield loss of soybean seeds. A "mild" stay-green phenotype was observed in a susceptible soybean cultivar when subjected to combined abiotic stresses of heat and drought stress and also the transcript levels of the *STAY-GREEN 1* and *STAY-GREEN 2* (*D1, D2*), *PHEOPHORBIDASE 2* (*PPH2*) and *NON-YELLOW COLORING 1* (*NYC1*) genes were downregulated in soybean seeds, indicating that the high-level transcriptional activation of these genes mentioned above in fully mature

seeds is critical for a tolerant cultivar to cope with stresses and conduct a rapid and complete turnover of chlorophyll [66].

It is well-established that the major responses of crop plants to heat stress are classified into several aspects including the enhancement of leaf senescence, reduction of photosynthesis, deactivation of photosynthetic enzymes, and generation of oxidative damages to the chloroplasts. With respect to crop yield, heat stress also reduces grain number and size by affecting grain setting, assimilate translocation and duration and growth rate of grains [57]. In wheat, delayed senescence, or stay-green, contributes to a long grain-filling period and stable yield under heat stress [67]. Waxy maize (*Zea mays* L. sinensis Kulesh) is frequently exposed to high temperatures during grain filling in southern China. The heat-sensitive waxy maize variety exhibited a significant increase in the translocation amount and rate of assimilates pre-pollination and the accelerated leaf senescence phenotype under heat stress [68]. Short heat waves during grain filling can reduce grain size and consequently yield in wheat (*Triticum aestivum* L.). The four susceptible varieties of wheat showed greater heat-triggered reductions in final grain weight, grain filling duration and chlorophyll contents in flag leaves under heat stress, suggesting that grain size effects of heat may be driven by premature senescence [69,70].

# 3. Generation and Homeostasis of ROS in Chloroplasts under Heat Stress

As a significant source of reactive oxygen species (ROS) in plant cells, the chloroplast produces a variety of ROS such as hydrogen peroxide ( $H_2O_2$ ), superoxide, hydroxyl radicals ( $^{\bullet}OH$ ), and  $^{1}O_2$ during photosynthesis [71]. The transfer of excitation energy in the PSII antenna complex and the electron transport in the PSII reaction center can be inhibited by a variety of abiotic stresses, resulting in the formation of ROS in algae and higher plants [72]. Given that ROS generation is an unavoidable consequence of aerobic metabolism, plants have evolved a large array of ROS-scavenging mechanisms [71]. ROS such as  ${}^{1}O_{2}$  is formed by the excitation energy transfer, whereas superoxide anion radical  $(O_2^{\bullet-})$ ,  $H_2O_2$  and  $\bullet OH$  are formed by the electron transport [73]. In chloroplasts, ROS are mainly generated in the reaction centers of PSI and PSII in the chloroplast thylakoid membranes [71]. Photoreduction of oxygen to superoxide occurs in PSI and in PSII, oxygen of the ground (triplet) state of oxygen  $({}^{3}O_{2})$  is excited to the excited singlet state of oxygen  $({}^{1}O_{2})$  by the P680 reaction center chlorophyll [71]. Under extreme conditions, these ROS synthesis rates can increase, leading to an oxidative stress in both organelle and whole-cell functions [72,74]. It is well established that the chloroplast is extremely sensitive to high temperature stress during photosynthesis [1,2,9,10]. Accumulated data indicate that oxidative bursts of superoxide and/or hydrogen peroxide can be induced rapidly in response to a variety of environmental stresses, including also heat stress in plants [75–77]. It was reported that ROS, produced in PSI, PSII as well as in the Calvin-Benson cycle, can cause irreversible oxidative damage to cells when plants were subjected to heat stress [71,72,78]. Under high temperature conditions, large amounts of ROS were generated in tobacco cells for initiating signaling events involved in PCD, which is consistent with the role of applying the antioxidants ascorbate or superoxide dismutase (SOD) to the cultures in supporting the survival of cells [79]. In the leaves of tobacco (*Nicotiana tabacum*) defective in ndhC-ndhK-ndhJ (Delta ndhCKJ), hydrogen peroxide was rapidly produced in response to heat treatment, implying a role of the NAD(P)H dehydrogenase-dependent pathway in repressing generation of reactive oxygen species in chloroplasts [80]. In exposure to moderate heat treatment conditions, the oxidative damages of the reaction center-binding D1 protein of photosystem II increased and showed a tight positive relationship with the accumulated levels of <sup>1</sup>O<sub>2</sub> and <sup>•</sup>OH in spinach PSII membranes, implying that inhibition of a water-oxidizing manganese complex led to a rapid production of ROS through lipid peroxidation under heat stress [81]. In Arabidopsis, large amounts of chlorophyllide a caused a surge of phototoxic singlet oxygen in the chlorophyll synthase mutant (chlg-1) under heat stress, suggesting that chlorophyll synthase acts in maintenance of ROS homeostasis in response to heat stress [82].

It is well known that ROS burst can trigger the oxidative damage to pigments, proteins, and lipids in the thylakoid membrane [71,73,83]. ROS are primarily agents of damage, but this view is questioned

by data proving their beneficial role and particularly their signaling function. The chloroplast harbors ROS-producing centers (triplet chlorophyll, ETC in PSI and PSII) and a diversified ROS-scavenging network (antioxidants, SOD, APX-glutathione cycle, and a thioredoxin system) to keep the equilibrium between ROS production and scavenging [71]. The non-enzymatic and enzymatic ROS scavenging systems are engaged in preventing harmful effects of ROS on the thylakoid membrane components to keep ROS level in chloroplasts under control [71,73,74,83–87]. The efficient enzymatic scavenging systems are composed of several key enzymes, including superoxide dismutase (SOD), catalase (CAT), ascorbate peroxidase (APX), glutathione reductase (GR), monodehydroascorbate reductase (MDHAR), dehydroascorbate reductase (DHAR), glutathione peroxidase (GPX) and glutathione-*S*-transferase (GST) and non-enzymatic systems contain antioxidants such as ascorbic acid (ASH), glutathione (GSH), phenolic compounds, alkaloids, non-protein amino acids and alpha-tocopherols [21,71,73,83,87–90]. These antioxidant defense systems work in concert to maintain homeostasis of ROS, protecting plant cells from oxidative damage by scavenging of ROS.

In addition to triggering ROS burst, heat stress also affects the scavenging systems in plants. Heat induced degradation of chloroplast Cu/Zn superoxide dismutase as shown by reduced protein levels and isozyme-specific SOD activity [91]. Loss of Cu/Zn SOD and induction of catalase activity would explain the altered balance between hydrogen peroxide and superoxide under stress. The authors proposed that degradation of PSII could thus be caused by the loss of components of chloroplast antioxidant defense systems and subsequent decreased function of PSII [91]. In a recent study aiming at identifying heat protective mechanisms promoted by  $CO_2$ in coffee crop, the results likely favored that the maintenance of reactive oxygen species (ROS) at controlled levels contributed to mitigate of PSII photoinhibition under the high temperature stress [92]. Exogenous application of spermidine protected rice seedlings from heat-induced damage as marked by lower levels of malondialdehyde (MDA),  $H_2O_2$ , and proline content coupled with increased levels of Ascorbate (AsA), GSH, AsA and GSH redox status [93]. The authors conclude that heat exposure provoked an oxidative burden while enhancement of the antioxidative and glyoxalase systems by spermidine rendered rice seedlings more tolerant to heat stress. Under the later high temperature stress, heat priming contributed to a better redox homeostasis, as exemplified by the higher activities of superoxide dismutase (SOD) in chloroplasts and glutathione reductase (GR), and of peroxidase (POD) in mitochondria, which led to the lower superoxide radical production rate and malondialdehyde concentration in both chloroplasts and mitochondria [94]. These results suggested that heat priming effectively improved thermo-tolerance of wheat seedlings subjected to a later high temperature stress, which could be largely ascribed to the enhanced anti-oxidation at the subcellular level. In Kentucky bluegrass (Poa pratensis), higher activities of superoxide dismutase (SOD), catalase (CAT), peroxidase (POD), ascorbate peroxidase (APX), and glutathione reductase were detected in plants of heat-tolerant "Midnight" after long-term heat stress (21 and 28 d) in comparison with plants of heat-sensitive "Brilliant" [95]. Meanwhile, transcript levels of chloroplastic Cu/Zn SOD, Fe SOD, CAT, POD and cytosolic (cyt) APX were significantly higher in "Midnight" than in "Brilliant" under long-term heat stress. These results supported the hypothesis that enzymatic ROS scavenging systems could play predominant roles in antioxidant protection against oxidative damages from long-term heat stress. In tomato, studies on the role of a tomato (Lycopersicon esculentum) chloroplast-targeted DnaJ protein (LeCDJ1) showed that the sense transgenic tomato plants were more tolerant to heat stress due to the higher activities of ascorbate peroxidase (APX) and superoxide dismutase (SOD) [96]. The high temperature stress in southern China is one of the major factors leading to loss of the yield and quality of wucai (Brassica campestris L.). Comparative investigations on two cultivars of wucai (heat-sensitive and heat-tolerant) showed that greater severity of damage to the photosynthetic apparatus and membrane system was observed in heat-sensitive cultivar probably due to a high-level accumulation of ROS and malondialdehyde (MDA) [97]. In line with the studies mentioned above, plants of heat-tolerant Cucurbit species exhibited comparatively little oxidative damage, with the lowest hydrogen peroxide ( $H_2O_2$ ), superoxide ( $O^{2-}$ ) and malondialdehyde (MDA) compared with

the thermolabile and moderately heat-tolerant interspecific inbred line [98]. The enzyme activities of superoxide dismutase (SOD), ascorbate peroxidase (APX), catalase (CAT) and peroxidase (POD) were found to be increased with heat stress in tolerant genotypes and the significant inductions of FeSOD, MnSOD, APX2, CAT1 and CAT3 isoforms in tolerant genotypes suggested their participation in heat tolerance [98].

Generally, the chloroplast possesses a variety of constitutively expressed antioxidant defense mechanisms to scavenge the various types of ROS generated during heat stress in preventing and minimizing oxidative damage to biological macromolecules (Figure 2). Therefore, the capacity of antioxidant defense is critical for heat stress adaptation and its strength is correlated with acquisition of thermotolerance with respect to buffering the effect of heat stress on the metabolic system [3,74,99,100]. On the other hand, ROS are produced in chloroplasts can function as plastid signals to inform the nucleus to activate the expression of genes encoding antioxidant enzyme and to adjust the stress-responsive machinery for more efficient adaptation to environmental stresses [6,47,72,74,100,101].



**Figure 2.** A representative scheme of reactive oxygen species (ROS) generation and scavenging in chloroplasts under heat stress. High temperature stress triggers oxidative bursts of superoxide and/or hydrogen peroxide in plants. The transfer of excitation energy in the photosystem II (PSII) antenna complex and the electron transport in the PSII reaction center can be inhibited by heat stress. It has been established that ROS are generated both on the electron acceptor and the electron donor side of PSII under heat stress during which electron transport from the manganese complex to plastoquinone (PQ) is limited. The leakage of electrons to molecular oxygen on the electron acceptor side of PSII forms  $O_2^{\bullet-}$ , inducing initiation of a cascade reaction leading to the formation of  $H_2O_2$ . A diversified ROS-scavenging network functions in concert in chloroplasts, mainly including antioxidants and APX-glutathione cycle, to keep the equilibrium between ROS production and scavenging. The efficient enzymatic scavenging systems are composed of several key enzymes, including superoxide dismutase (SOD), ascorbate peroxidase (APX), glutathione reductase (GR), monodehydroascorbate reductase (MDHAR), dehydroascorbate reductase (DHAR), glutathione peroxidase (GPX) and glutathione-*S*-transferase (GST) and non-enzymatic systems contain antioxidants such as ascorbic acid (Asc) and glutathione (GSH).

### 4. Turnover of PSII Core Subunits and PSII Protection under Heat Stress

The deleterious effects of high temperatures on proteins in chloroplasts include protein denaturation and aggregation [18,102,103]. ROS generation is one of the earliest responses of plant cells in response to heat stress and chloroplasts are the main targets of ROS-linked damage [71,102]. Given that chloroplasts are a major site of protein degradation, turnover of the damaged proteins is critical for plants to adapt to heat stress through the process of acclimation. As a multisubunit thylakoid membrane pigment-protein complex, PSII is vulnerable to light and heat damages that inhibit light-driven oxidation of water and reduction of plastoquinone [2,71]. PSII produces ROS, responsible for the frequent damage and turnover of this megacomplex that occur under physiological and stress conditions [71]. Accumulating data suggest that although more than 40 proteins are known to associate with PSII, the damage mainly targeted to one of its core proteins, the D1 protein under light and heat stress conditions [18,102,104,105]. Importantly, D1 protein has been demonstrated as a very susceptible target of  ${}^{1}O_{2}$ ; on the other hand, it appears to function as a major scavenger of  ${}^{1}O_{2}$  due to its close localization to the site of <sup>1</sup>O<sub>2</sub> formation in the reaction center of PSII [106]. In addition to the D1 protein,  $\beta$ -carotene, plastoquinol, and a-tocopherol have also shown to play a role in scavenging  ${}^{1}O_{2}$  and protect PSII against photo-oxidative damage [107,108]. Under heat stress conditions, two processes have been characterized in the thylakoids: dephosphorylation of the D1 protein in the stroma thylakoids, and aggregation of the phosphorylated D1 protein in the grana [102]. Heat stress also induced the release of the extrinsic Photosystem II Subunit O (PsbO), P and Q proteins from Photosystem II, which affected D1 degradation and aggregation significantly [102]. In spinach thylakoids, cleavage of the D1 protein was detected in response to moderate heat stress (40  $^{\circ}$ C, 30 min), producing an N-terminal 23-kDa fragment, a C-terminal 9-kDa fragment, and aggregation of the D1 protein [102,103]. ROS are known to specifically modify PSII proteins. Using high-resolution tandem mass spectrometry, oxidative modifications were identified on 36 amino acid residues on the lumenal side of PSII, in the core PSII proteins D1, D2, and CP43 of the cyanobacterium Synechocystis sp. PCC 6803, providing the compelling evidence to date of physiologically relevant oxidized residues in PSII [109]. Taken together, the oxidative damage of the D1 protein is caused by reactive oxygen species, mostly singlet oxygen, and also by endogenous cationic radicals generated by the photochemical reactions of photosystem II [71,73]. Under heat stress, the damage to the D1 protein by moderate heat stress is due to reactive oxygen species produced by lipid peroxidation near photosystem II [73,110]. Moreover, the damage of the D1 protein has been shown to be directly proportional to light intensity [111,112] or strength of heat stress [102]. D1 protein is well characterized as a protein of high turnover rate due to its rapid degradation when oxidized after its interaction with 1O2 and its replacement by newly synthesized D1 polypeptides [105]. It was reported that the D1 protein was shown to have the half-life of 2.4 h, the fourth fastest turn-over rate of barley (Hordeum vulgare) proteins when plants were growing under normal growth light intensity (500  $\mu$ mol  $\cdot$  m<sup>-2</sup>  $\cdot$  s<sup>-2</sup>) [113]. Meanwhile, higher degradation rates of the D2, CP43 and PsbH subunits were also detected when compared with the other PSII subunits [113–115]. The maintenance of PSII activity is critical, but also a challenge for oxygenic photosynthetic organisms to survive under normal growth or stress conditions.

Thus, replacing the photo- or heat-damaged D1 with a newly synthesized copy is essential for maintaining PSII activity [105,116]. In chloroplasts, the damaged D1 is degraded by a concerted action of particular filamentation temperature sensitive H (FtsH) protease and Deg (for degradation of periplasmic proteins) isoforms during its rapid and specific turnover and replaced with a de novo synthesized one in a system which is termed the PSII repair cycle [105]. It has been a subject of extensive studies about the basic concept for replacement of the damaged D1 protein by a newly-synthetized copy in the PSII repair cycle [105,116–119]. Repair of damaged D1 protein in PSII includes five steps: (1) migration of damaged PSII core complex to the stroma thylakoid, (2) partial PSII disassembly of the PSII core monomer, (3) access of protease degrading damaged D1, (4) concomitant D1 synthesis, and (5) reassembly of PSII into grana thylakoid [105,116,120,121]. Deg /HtrA (for high temperature requirement A) proteases, a family of serine-type ATP-independent proteases, have been shown

in higher plants to be involved in the degradation of the Photosystem II reaction center protein D1 [105,116,122]. In Arabidopsis, five DEGs (Deg1, Deg2, Deg5, Deg7, and Deg8) have shown to be peripherally attached to the thylakoid membrane of chloroplasts: Deg1, Deg5, and Deg8 are localized on the lumenal side, and Deg2 and Deg7 are localized on the stromal side [123–125]. DEG5 and DEG8 may have synergistic function in degradation of D1 protein in the repair cycle of PSII under heat stress based on functional analysis of deg5, deg8 and the double mutant deg5 deg8 of Arabidopsis thaliana [126]. Under photoinhibitory conditions, cooperative degradation of D1 by Deg and FtsH has been demonstrated in vivo, in which Deg cleavage assists FtsH processive degradation [127]. In Arabidopsis, FtsH11-encoded protease have shown to play a direct role in thermotolerance, a function previously reported for bacterial and yeast FtsH proteases [128]. It should be noted that photosynthetic capability and PSII quantum yield are greatly reduced in the leaves of FtsH11 mutants when exposed to the moderately high temperature whereas under high light conditions, FtsH11 mutants and wild-type plants showed no significant difference in photosynthesis capacity [128]. In general, several possible mechanisms have been proposed for activation of these proteases, which depend on oligomerization of the monomer subunits [129]. In line with the hypothesis that hexamers of the FtsH proteases are probably localized near the Photosystem II complexes at the grana, degradation of the D1 protein could take place in the grana rather than in the stroma thylakoids to circumvent long-distance migration of both the Photosystem II complexes containing the photodamaged D1 protein and the proteases [129]. Under high light conditions, the lumen-exposed loops of the D1 protein at specific sites were cleaved by all of three lumenal serine proteases, Deg1, Deg5 and Deg8, during PSII repair cycle [130–133]. It was reported that Deg5 and Deg8 interact to form an active protease complex under high light [133]. Interestingly, Deg1 is activated when the Deg1 monomers are transformed into a proteolytically active hexamer at acidic pH upon protonation of a histidine amino acid residue [134]. In addition to functioning as a protease, Deg1 also plays a novel role as a chaperone/assembly factor of PSII [135]. In addition, Deg1 has been shown to be responsible for the proteolytic activity against the PsbO protein in vitro [136]. During the PSII repair cycle, only the damaged reaction center protein D1 and occasionally also the D2, CP43 and PsbH subunits are replaced while the other protein components of the complex are recycled, indicating that many aspects of PSII repair cycle and de novo biogenesis are partially overlapping [113–115,137]. Originally, the vulnerability of PSII to high light or heat stress was taken as an inherent fault of the photosynthetic machinery. However, recent studies strongly support that the constant, yet highly regulated, photodamage and repair cycle of PSII are of a strong physiological basis. Collectively, the photodamage of PSII is likely to act as a PSI protection mechanism instead of being considered solely as an undesired consequence of the highly oxidizing chemistry of the water splitting PSII [105].

The synthesis of heat shock proteins (HSPs) is characterized as a major response of all organisms responding to heat stress. The HSPs act as chaperones by assisting in protein folding and preventing irreversible protein aggregation [138,139]. A chloroplast-localized sHSP, HSP21, has been identified in diverse higher plant species, including both dicots and monocots [139,140] and its precursor polypeptide is ~5 kD larger than the mature protein [141,142]. HSP21 is thought to protect photosynthetic electron transport, specifically that of Photosystem II, during heat stress [143–147] and oxidative stress [145,148]. In addition, HSP21 has been demonstrated to play a dual role in protecting PSII from oxidative stress and promoting color changes during fruit maturation whereas no protective effects for the transgene were detected on PSII thermotolerance [149]. Importantly, studies using the transgenic tomato plants overexpressing HSP21 have shown that this protein associates with proteins of Photosystem II and does not reactivate heat-denatured Photosystem II, but instead protects this complex from damage during heat stress [150]. Interestingly, around two thirds of chloroplast HSP21 proteins are translocated into the thylakoid membranes in response to heat treatment in plants, suggesting that the association with membranes should be considered to fully understand the role of sHsps in physiological adaptation processes under stress conditions [151]. Despite extensive studies on HSP21, the specific roles of HSP21 in protecting PSII from heat stress remain elusive. Recently, HSP21 has been demonstrated to protect PSII from heat stress-induced damages by directly binding to D1 and D2 proteins, the core subunits of PSII. Importantly, heat-responsive transcriptional activation of *HSP21* is regulated by the chloroplast retrograde signaling pathway in which GUN5 acts as a determinant upstream signaling component in *Arabidopsis* [5]. Based on these findings, an auto-adaptation loop working module has emerged in which the GUN5-dependent plastid signal(s) is triggered in response to heat stress and in turn communicated into the nucleus to activate the heat-responsive expression of *HSP21* for optimizing particular demands of chloroplasts in making photosynthetic complexes stable during adaptation to heat stress in plants [5,6].

## 5. Effects of Heat Stress on Metabolic Flux through the Calvin-Benson-Bassham Cycle

In the Calvin-Benson-Bassham Cycle, Ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) plays a critical role in catalyzing the carboxylation of the 5-carbon sugar ribulose-1,5-bisphosphate (RuBP) when atmospheric CO<sub>2</sub> during is fixed during photosynthesis. Rubisco activase (RCA) regulates the activity of Rubisco by facilitating the dissociation of inhibitory sugar phosphates from the active site of Rubisco in an ATP-dependent manner [152]. Extensive evidence supports the conclusion that reduction of plant photosynthesis arises primarily from thermal inactivation of Rubisco activity due to the inhibition of RCA under moderately elevated temperatures [8,152–155]. In addition to Rubisco activation, electron transport activity, ATP synthesis, and RuBP regeneration are also inhibited by moderately heat stress [156-158]. As the temperature increases further above the thermal optimum, the physical integrity of electron transport components of the photosynthetic apparatus can be severely damaged, leading to the increased limitation in photosynthesis [15]. It has been the subject of extensive investigations of elucidating the biochemical basis for the decrease in Rubisco activation state under heat stress [8,15,153,154,159]. Initially, studies on thermal stability of purified RCA showed that heat treatment only slightly inhibited the activities of this enzyme [160,161] and later experiments confirmed that heat stress caused thermal denaturation of activase in wheat and cotton leaves [162]. In line with the thermal denaturation of RCA under heat stress, Feller et al. (1998) suggested that RCA exhibited the exceptional thermal lability in vivo and the thermal stabilities of activases were different in plants from contrasting thermal environments [15,159]. Thus, loss of activase activity during heat stress is caused by an exceptional sensitivity of the protein to thermal denaturation and is responsible, in part, for deactivation of Rubisco [163]. It has been assumed that the stability of RCA could be influenced by heat-induced changes either in redox state [11,164,165] or the concentrations of ions, nucleotides, or other chloroplast constituents in plants [154]. On the other hand, the thermotolerance of Rubisco activase has been proposed to be responsible for restricting the distribution of certain plant species [154] as demonstrated by the response of Rubisco activase activity to temperature for cotton, a warm-season species, and Camelina sativa, a cool-season species. With respect to the effects of high growth temperature on the relative contribution of diffusive and biochemical limitations to photosynthesis, our knowledge is limited although there is abundant evidence that photosynthesis can acclimate to temperature [166,167]. Accumulating data suggest that the biochemical mechanisms about the decrease in Rubisco activation can be attributed to: (1) more rapid de-activation of Rubisco caused by a faster rate of dead-end product formation; and (2) slower re-activation of Rubisco by activase [168]. In a word, the resulting consequence is that RCA becomes less effective in keeping Rubisco catalytically competent as temperature increases.

Inhibition of net photosynthesis by heat stress has been attributed to an inability of Rubisco activase to maintain Rubisco in an active form because of the low thermal stability of Rubisco's chaperone, activase. These results support a role for RCA in limiting photosynthesis at high temperature when the temperature exceeds the optimum range for plants. In cotton (*Gossypium hirsutum* L.), activase gene expression is influenced by post-transcriptional mechanisms that may contribute to acclimation of photosynthesis during extended periods of heat stress [169]. In wheat, northern blot analysis showed maximum accumulation of *TaRCA1* transcript in thermotolerant cv. during mealy-ripe stage, as compared to thermosusceptible ones [170]. To test the hypothesis

that thermostable RCA can improve photosynthesis under elevated temperatures, gene shuffling technology was used to generate several Arabidopsis thaliana RCA1 (short isoform) variants exhibiting improved thermostability [171]. In line with the findings mentioned above, transgenic Arabidopsis lines expressing a thermostable chimeric activase showed higher rates of photosynthesis than the wild type after a short exposure to higher temperatures and they also recovered better, when they were returned to the normal temperature [172]. The results showed that photosynthesis and growth were improved under moderate heat stress in transgenic Arabidopsis expressing these thermotolerant RCA isoforms, providing evidence that manipulation of activase properties can improve C3 photosynthesis. In addition, the transcriptional level of wheat RCA (45–46 kDa) positively correlated with the yield of plants under heat-stress conditions in a very significant and linear manner [173]. At present, accumulating data indicate that RCA could affect plant productivity in relation to its endogenous levels under temperature stress conditions. Critically, RCA as the molecular chaperone plays a key role in constant engagement and remodeling of Rubisco to maintain metabolic flux through the Calvin-Benson-Bassham cycle as Rubisco is characterized as a dead-end inhibited complex in higher plants. In plants of the crassulacean acid metabolism (CAM), it has been assumed that possessing thermostable RCA is necessary for these plants to support the metabolic flux of Calvin-Benson-Bassham cycle when closure of stomata is a limitation factor during the day [174]. It is interesting that the CAM Rca isoforms (Agave tequilana) were found to be approximately 10 °C more thermostable when compared with the C3 isoforms of Rca isolated from rice (Oryza sativa) [174]. Interestingly, sequence analysis and immuno-blotting identified the beta-subunit of chaperonin-60 (cpn60 beta), the chloroplast GroEL homologue, as a protein that was bound to Rubisco activase from leaf extracts prepared from heat-stressed, but not control plants [175]. Rubisco requires RCA, an AAA+ ATPase that reactivates Rubisco by remodeling the conformation of inhibitor-bound sites. RCA is regulated by the ratio of ADP:ATP, with the precise response potentiated by redox regulation of the alpha-isoform [176]. Given that RCA uses the energy from ATP hydrolysis to restore catalytic competence to Rubisco, manipulation of RCA by redox regulation of the a-isoform might provide a strategy for enhancing photosynthetic performance in Arabidopsis [177]. In rice, heat stress significantly induced the expression of RCA large isoform (RCAL) as determined by both mRNA and protein levels and correlative analysis indicated that and RCA small isoform (RCAS) protein content was very tightly correlated to Rubisco initial activity and net photosynthetic rate under both heat stress and normal conditions [178]. In two Populus species adapted to contrasting thermal environments, the difference in the primary sequence of Rubisco activases between the species is more significant in the regions conferring ATPase activity and Rubisco recognition, suggesting that the genotypic distinctive characterizations in Rubisco activase are likely to underlie the specificities with respect to the heat-sensitive strength of Rubisco activase and photosynthesis under moderate high temperature conditions [179]. Recent studies on the effects of heat and drought on three major cereal crops, including rice, wheat, and maize, indicate that reductions in Rubisco activation might be not dependent on the amount of Rubisco and RCA, but could be resulted from the inhibition of RCA activity, as evidenced by the mutual reduction and positive relationship existed between the activation state of Rubisco and the rate of electron transport [153]. Critically, Rubisco activase acts as a key player in photosynthesis under heat stress conditions (non-stomatal limitation) [180]. When exposed to a moderate heat stress, Rca can be inhibited reversibly, but is irreversibly inhibited under a higher temperature and/or longer exposure due to heat stress-induced insolubilization and degradation of the Rca protein [180].

## 6. Conclusions and Perspectives

In many regions of the world, high temperature stress is one of the most important constraints to plant growth and productivity, especially for crop plants. The mechanism underlying the development of heat-tolerance for important agricultural crops as well as plant responses and adaptation to elevated temperatures needs to be better understood. Extensive studies have shown that metabolic regulation of adaptation processes during heat stress is not only an important developmental process, but also allows for flexibility of physiological responses to heat stress. In photosynthetic organisms, heat stress can affect photosynthesis through altered carbon assimilation metabolism in chloroplasts with remobilizing their starch reserve to release energy, sugars and derived metabolites in order to help mitigate the stress. This is thought to be an essential process for plant fitness with important implications for plant productivity under high temperature stress. One future challenges is to dissect the complex interaction networks between heat stress sensing, signal transduction and activations of key genes involved in metabolic reprogramming in coordination with developmental programmes. Accumulation and modification of metabolites in chloroplasts under heat stress may play a key role in the regulation of adaptation processes at cellular levels in plants, allowing plants to interact with their environment and to activate cellular heat stress responses at the optimal time in order to maintain photosynthesis. This kind of metabolic reprogramming is critical for plants to survive stress periods, and to prevent further damage to the whole plant.

The role of chloroplast in the metabolic regulation of heat stress responses has attracted increasing attention and extensive investigations from an organellar perspective have provided insights into better understanding the hypothesis stated that the heat stress-induced reprogramming, including decline in photosynthesis and alterations in photosynthetic metabolites which, in turn, could act as signal(s) or trigger the initial signal cascades to activate cellular heat stress responses. The present knowledge concerning the interplay between the chloroplast and nucleus in heat stress signal perception and activation of cellular heat stress responses is emerging, but more efforts are needed to reach a detailed overview. It can be predicted that uncovering the molecular mechanisms of heat sensing will pave the way to engineering plants capable of tolerating heat stress. It is well known that the ability of plants adapting to different climate regimes vary dramatically across and within species. Identification and functional analysis of the valuable heat-tolerant genetic resources will bring about a further significant improvement in manipulation of photosynthesis to increase crop yield based on a direct comparative analysis between the different manipulations with all the transgenic and wild-type plants grown and assessed in parallel under filed growth conditions. Thus, in-depth analyses of the interactions between the chloroplast and nucleus in heat stress responses are likely to be in focus during forthcoming years. On the other hand, Rubisco activase and enzymes functioning in the detoxification of reactive oxygen species are thought to be critical targets for breeding heat-tolerant crop plants with high yields under high temperature stress.

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#### Abbreviations

1-MCP	1-methylcyclopropene
<sup>1</sup> O <sub>2</sub>	singlet state of oxygen
<sup>3</sup> O <sub>2</sub>	triplet state of oxygen
ACD1	Accelerated cell death
APX	ascorbate peroxidase
AsA	Ascorbate
ASH	ascorbic acid
ATP	adenosine 5'-triphosphate
CAM	crassulacean acid metabolism
CAT	catalase

Chl	Chlorophyll
Chl a	Chlorophyll a
Chlase	chlorophyllase
CLD1	CHLOROPHYLL DEPHYTYLASE1
CLHs	Chlorophyllase genes
CPAS	3-cyclopropyl-1-enyl-propanoic acid sodium salt
cpn60 beta	beta-subunit of chaperonin-60
Deg/HtrA	high temperature requirement A
DHAR	dehydroascorbate reductase
FtsH	filamentation temperature sensitive H
Fv/Fm	variable fluorescence to maximum fluorescence
GPX	glutathione peroxidase
GR	glutathione reductase
GSH	glutathione
GST	glutathione-S-transferase
GUN5	genomes uncoupled 5
$H_2O_2$	hydrogen peroxide
HSPs	heat shock proteins
ipt	isopentenyl transferase
LeCDJ1	tomato (Lycopersicon esculentum) chloroplast-targeted DnaJ protein
LHCPII	light harvesting chlorophyll <i>a/b</i> -protein complex II
MDA	malondialdehyde
MDHAR	monodehydroascorbate reductase
NAD(P)H	nicotinamide adenine dinucleotide phosphate (NADP)
NOL	NYC1-LIKE
NYC1	NON-YELLOW COLORING1
O <sub>2</sub> •−	superoxide anion radical
OEC	oxygen evolving complex
OH	hydroxyl radicals
PAO	pheide a oxygenase
pFCC	primary fluorescent chlorophyll catabolite
pheide	pheophorbide
POD	peroxidase
PPH	pheophytinase
PPH2	PHEOPHORBIDASE 2
PsbO	PHOTOSYSTEM II SUBUNIT O
PSII	Photosystem II
QTL	quantitative trait loci
RCA	Rubisco activase
RCAL	RCA large isoform
RCAS	RCA small isoform
RCCR	Red chl catabolite reductase
ROS	Reactive oxygen species
Rubisco	Ribulose-1,5-bisphosphate carboxylase/oxygenase
RuBP	Ribulose-1,5-bisphosphate
SGR	STAY-GREEN
SID	senescence-induced degradation
SOD	superoxide dismutase
ZR	zeatin riboside

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# Review Chloroplast Protein Turnover: The Influence of Extraplastidic Processes, Including Autophagy

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**Abstract:** Most assimilated nutrients in the leaves of land plants are stored in chloroplasts as photosynthetic proteins, where they mediate CO<sub>2</sub> assimilation during growth. During senescence or under suboptimal conditions, chloroplast proteins are degraded, and the amino acids released during this process are used to produce young tissues, seeds, or respiratory energy. Protein degradation machineries contribute to the quality control of chloroplasts by removing damaged proteins caused by excess energy from sunlight. Whereas previous studies revealed that chloroplasts contain several types of intraplastidic proteases that likely derived from an endosymbiosed prokaryotic ancestor of chloroplasts, recent reports have demonstrated that multiple extraplastidic pathways also contribute to chloroplast protein turnover in response to specific cues. One such pathway is autophagy, an evolutionarily conserved process that leads to the vacuolar or lysosomal degradation of cytoplasmic components in eukaryotic cells. Here, we describe and contrast the extraplastidic pathways that degrade chloroplasts. This review shows that diverse pathways participate in chloroplast turnover during sugar starvation, senescence, and oxidative stress. Elucidating the mechanisms that regulate these pathways will help decipher the relationship among the diverse pathways mediating chloroplast protein turnover.

**Keywords:** autophagy; chlorophagy; chloroplasts; Rubisco-containing bodies; photooxidative damage; plants; senescence; sugar starvation; ubiquitin proteasome system; vacuole

# 1. Introduction

Chloroplasts are a type of plastid in plants and algae. In land plants, chloroplasts are present in green tissues, such as leaves, that are required for photosynthetic energy production. Within chloroplasts, thylakoid membranes contain pigments and proteins that form the light harvesting complex and electron transport chain, and the stroma contains soluble proteins that mediate the assimilation of carbon dioxide (CO<sub>2</sub>) via the Calvin cycle. In mature plant leaves, assimilated nutrients are largely stored in chloroplasts as photosynthetic proteins. For instance, the nitrogen in chloroplasts accounts for around 75% of the total leaf nitrogen in C3 species [1]. The CO<sub>2</sub>-fixing enzyme ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) in the stroma is especially abundant, accounting for 10–30% of the total leaf nitrogen, and constituting around half of the total soluble proteins in leaves [2,3]. Chloroplast proteins are degraded, and the amino acids and other molecules released during this process are reutilized in growth. Leaf senescence is a well-established developmental process during which chloroplast proteins are degraded en masse; the released amino acids are remobilized to generate juvenile tissues and produce seeds [4,5]. A portion of the photoassimilate is accumulated in chloroplasts as starch during the day, and is degraded at night to produce sucrose as the major source for respiratory energy production within mitochondria [6]. Since the availability of solar energy fluctuates under the ever-changing environment, plants occasionally need alternatives to sugars for producing the energy required for continuous growth. Stress conditions can also interfere with photosynthetic energy production; for instance, stomatal closure due to drought stress inhibits  $CO_2$  intake and thereby reduces photosynthetic activity in leaves [7,8]. Plants must metabolically produce alternative energy sources to survive under photosynthesis-limited conditions. Amino acids derived from chloroplast protein degradation via catabolic pathways can serve as alternative respiratory substrates [9].

Chloroplast protein degradation is also vital for maintaining chloroplast function, as chloroplast proteins constantly accumulate damage caused by sunlight during photosynthesis. Photoinhibition occurs when the photosynthetic apparatus is damaged by excess energy from strong visible light (with wavelengths of between 400 and 700 nm) [10–13]. Although chloroplasts cannot use ultraviolet-B (UVB; with wavelengths of between 280 and 315 nm) for photosynthesis, various macromolecules, such as proteins, lipids, and nucleotides, directly absorb UVB, which may result in cumulative damage [14]. To maintain photosynthetic activity and avoid the overproduction of reactive oxygen species (ROS) in response to sunlight irradiation, damaged components within chloroplasts must be removed.

Turnover of chloroplastic components is required for efficient nutrient recycling during plant senescence, respiratory energy production under photoassimilate-starved conditions, and quality control in individual chloroplasts under photooxidative damage. Chloroplasts contain various types of intraplastidic proteases, which are thought to have been derived from an endosymbiosed prokaryotic ancestor of chloroplasts [15,16]. Recent studies of chloroplast protein turnover have further demonstrated the contribution of extraplastidic protein degradation systems to nutrient or energy recycling and the removal of damaged proteins. In this review, we describe the extraplastidic pathways that facilitate the degradation of chloroplastic components, and compare the physiological roles of these pathways and the environmental and developmental stimuli that activate them.

### 2. Autophagic Degradation of Rubisco-Containing Bodies

Autophagy is an evolutionarily conserved process in eukaryotes whereby the cell sequesters a portion of cytoplasm, including organelles, for subsequent transport into lytic organelles [17–19]. During autophagy, a nascent double membrane-bound vesicle called an autophagosome encloses a portion of the cytoplasm. The outer membrane of autophagosomes then fuses with the vacuolar or lysosomal membrane to release the inner-membrane structures, referred to as autophagic bodies, into the vacuolar or lysosomal lumen for digestion. The basic mechanism of autophagosome formation was described in the budding yeast *Saccharomyces cerevisiae* through the identification of autophagy (*ATG*) genes [20].

The *ATG* genes required for the initiation or elongation of autophagosomal membranes are referred as core *ATGs* (*ATG1–10*, *12–14*, *16*, *18*), and these genes are also required for all types of autophagy [17]. Many core ATGs function in two conjugation cascades that are required for ATG8 lipidation and autophagosomal membrane elongation. ATG7 and ATG10 conjugate ATG12 to ATG5, and the resulting ATG12-ATG5 conjugate then interacts with ATG16 to form the ATG12-ATG5-ATG16 complex. ATG8 is processed by the protease ATG4. The resulting mature ATG8 is activated by ATG7, transferred to ATG3, and is eventually conjugated with phosphatidylethanolamine with the aid of the ATG12-ATG5-ATG16 complex. Orthologues of the yeast core *ATGs* are conserved in plant species [21–23], and studies of autophagy-deficient *atg* mutants of *Arabidopsis thaliana* show that they have similar functions [24–32].

During leaf senescence, the amount of chloroplast stromal proteins, including Rubisco, decreases prior to the reduction in the number of chloroplasts [33–35]. Therefore, stromal proteins appear to be degraded either inside or outside the chloroplast without the breakdown of the entire chloroplast. An immuno-electron microscopy (EM) analysis of Rubisco degradation in senescing

wheat (*Triticum aestivum*) leaves revealed the presence of cytosol-localized small vesicles that contained Rubisco, but not thylakoid proteins such as light-harvesting chlorophyll a/b protein of Photosystem II (LHC II),  $\alpha$ ,  $\beta$ -subunits of coupling factor 1 in ATPase, or cytochrome *f* [36]. These vesicles, which are around 1 µm in diameter and are frequently surrounded by autophagosome-like double membranes, were originally referred to as Rubisco-containing bodies (RCBs). The development of live-cell imaging techniques using fluorescent protein markers allowed for the visualization of RCBs in vivo in Arabidopsis and rice (*Oryza sativa*) leaves expressing stroma-targeted green fluorescent protein (GFP) or GFP-labeled Rubisco [37,38]. This technique further demonstrated that RCBs are not produced in the mutant *atg5* or *atg7* lines, and that RCBs labeled with stroma-targeted red fluorescent proteins (RFPs) are co-localized with an autophagosomal marker, GFP-ATG8. These observations revealed that RCBs are a type of autophagic body that delivers a portion of the stromal proteins into the vacuole. Thus, the RCB pathway was established as an autophagic process that mobilizes stromal proteins to the vacuole (Figure 1a).

Endosomal sorting complex required for transport (ESCRT) proteins are part of an evolutionarily conserved system that is responsible for the remodeling of endosomal membranes in eukaryotes [39]. A recent study in Arabidopsis indicated that the ESCRT-III paralogs charged multivesicular body protein 1A (CHMP1A) and CHMP1B are required for the delivery of RCBs to the vacuole [40]. In *chmp1a chmp1b* double mutant plants, RCBs were produced but accumulated in the cytoplasm; therefore, CHMP1 proteins are required for the vacuolar sorting of chloroplast-derived RCBs or the fusion of autophagosomes enclosing RCBs. How a portion of stroma is separated as RCBs, and how RCBs are then recruited for autophagic transport remain unclear.

The RCB pathway is particularly active in sugar-starved, excised Arabidopsis leaves in darkness or the presence of photosynthesis inhibitors [41]. Starch is the major carbohydrate form for energy storage. The starchless mutants, *phosphoglucomutase (pgm)* and *ADP-glucose pyrophosphorylase1 (adg1)*, which lack starch, exhibited enhanced production of RCBs [41,42]. Moreover, starchless and *atg* double mutants exhibited reduced growth and enhanced cell death during developmental senescence compared to the respective single mutants [42]. These results indicate that the RCB pathway plays a role in the response to sugar starvation. Recent studies found that in the sugar-starved leaves of Arabidopsis plants maintained in complete darkness for several days, autophagy deficiency compromises the release of free amino acids, especially free branched chain amino acids (BCAAs) like isoleucine, leucine, and valine [43,44]. Arabidopsis mutants with defects in the enzymes involved in BCAA catabolism have reduced tolerance to sugar starvation due to prolonged complete darkness [9,45–49]; thus, BCAAs are a particularly important energy source for mitochondrial respiration as alternatives to sugars. The RCB pathway might supply free amino acids, especially BCAAs, derived from vacuolar degradation of stromal proteins as an alternative energy source during periods of impaired photosynthesis (Figure 1a).

Photosynthetic energy production can be perturbed by various types of suboptimal conditions, including shading, flooding, or drought. The importance of core autophagy machinery during submergence-induced hypoxia or draught stress was reported in Arabidopsis plants [50,51]. The RCB pathway might alleviate the energy limitation that is caused by some types of abiotic stresses.

RCB production is also activated during accelerated leaf senescence induced in leaves that were individually covered to impair photosynthesis [52]. This activation of senescence corresponds to chloroplast shrinkage. In addition to direct observations of RCBs labeled with stroma-localized fluorescent proteins, the activity of the RCB pathway can be monitored by biochemical detection of free GFP or RFP derived from vacuolar degradation of Rubisco-GFP or -RFP fusion proteins, which are mobilized to the vacuole via RCBs [53]. This technique indicated that autophagy contributes substantially to the degradation of Rubisco in individually darkened leaves and in those shaded by the leaves of neighboring Arabidopsis plants [53]. Such biochemical methods of monitoring the RCB pathway have also been established in rice plants, and have shown that Rubisco is degraded via RCBs in individually darkened rice leaves [38]. In autophagy-deficient *atg* mutant rice plants, *osatg7*, Rubisco degradation was attenuated in senescing leaves, which is consistent with the partly compromised

nitrogen remobilization from lower leaves to newly developing upper leaves [54]. These findings further indicate that the RCB pathway mediates nitrogen remobilization from older leaves that cannot acquire sufficient light due to shading of developing leaves by upper tissues.

Analyses of Arabidopsis and maize (*Zea mays*) plants harboring the *atg* mutation indicated that autophagy contributes to nitrogen remobilization from vegetative tissues to reproductive tissues, including seeds [55–57]. However, such a role for autophagy in rice plants was not evaluated, because autophagy-deficient rice plants exhibit male sterility due to impaired pollen maturation [58].

# 3. Chlorophagy: Degradation of Entire Chloroplasts

Whereas the amount of stromal proteins decreases during the earlier stages of leaf senescence in wheat or barley (*Hordeum vulgare*) plants, the number of chloroplasts per cell decreases during the later stages [33–35]. In individually darkened leaves of wild-type Arabidopsis plants, RCB production and subsequent shrinkage of chloroplasts occur during the earlier stages of senescence, and the chloroplast population decreases during the later stages of senescence [52]. This decrease in chloroplast number is suppressed in *atg4* mutants. Some isolated vacuoles from the darkened leaves of wild-type plants contained chloroplasts that exhibited chlorophyll autofluorescence signals. These findings suggest that shrunken chloroplasts, which are produced through the active separation of their components in the RCB pathway, become the targets of autophagic transport as entire organelles, a process known as chlorophagy [59] (Figure 1b).

In yeast and mammals, autophagy is also recognized as a major quality control system for organelles through the selective removal of dysfunctional organelles [19]. In Arabidopsis *atg* plants, oxidized peroxisomes containing aggregated catalase accumulate in the cytoplasm of senescing leaves [60–62]. During germination, enzymes in peroxisomes catalyze  $\beta$ -oxidation and the glyoxylate cycle, thereby allowing lipids stored in seeds to be used as energy before photosynthetic machinery within chloroplasts are developed. As photosynthetic growth is established several days after germination, peroxisomes are remodeled to carry out the glycolate pathway, which is required for photorespiration. This functional conversion of peroxisomes was partly compromised in Arabidopsis *atg* plants in which peroxisome aggregates accumulate in mesophyll cells containing mature chloroplasts [63,64]. Thus, plant peroxisomes are likely targets of a process of selective autophagy known as pexophagy during senescence or seedling development. Autophagic degradation of the endoplasmic reticulum (ER) during ER stress due to tunicamycin treatment was also observed in Arabidopsis roots [65,66]. Selective degradation of ER by autophagy termed ER-phagy may function in plants.

A recent study investigated the involvement of autophagy in the turnover of chloroplasts under photooxidative stress conditions and demonstrated that chlorophagy is induced in Arabidopsis leaves damaged by UVB exposure [67]. A subset of the chloroplasts in the cytoplasm of UVB-damaged *atg5* and *atg7* plants exhibited irregular shapes and disorganized thylakoid structures. Chlorophagy was also induced by chloroplast damage caused by exposure to strong visible light or natural sunlight. Therefore, chlorophagy may remove entire photo-damaged chloroplasts by transporting them into the vacuole [67,68] (Figure 1c).

The chloroplast-targeted RCB pathway and chlorophagy differ in individually darkened leaves and in leaves subjected to UVB damage [67,69]. During sugar starvation in individually darkened leaves, RCBs were observed after 1 d of treatment, whereas chlorophagy was rarely observed during 3 days of dark treatment. By contrast, in leaves subjected to UVB-mediated oxidative stress, chlorophagy was actively induced 2 days after treatment without prior RCB production. These observations suggest that the induction of these two types of autophagy is individually controlled by distinct upstream mechanisms in response to environmental or developmental conditions (Figure 1).



**Figure 1.** Schematic model for the Rubisco-containing body (RCB) pathway and chlorophagy forms of chloroplast-related autophagy. (**a**) When photosynthetic energy production of whole plants is impaired due to complete darkness, a portion of the chloroplast stroma is transported to the central vacuole via RCBs, which are a type of autophagic compartment that specifically contains stromal proteins. The RCB pathway can facilitate the recycling of amino acids as an energy source. (**b**) When senescence is accelerated in individually darkened leaves, the active production of RCBs leads to chloroplast shrinkage, thereby allowing the transport of entire chloroplasts to the vacuole via chlorophagy. (**c**) Photodamage from exposure to ultraviolet-B (UV-B), strong visible light, or natural sunlight causes chloroplasts to collapse. The collapsed chloroplasts are then transported to the vacuole without prior activation of RCBs. This process is suggested to serve as a quality control mechanism that removes damaged chloroplasts.

## 4. ATI Body-Mediated Chloroplast Degradation

ATG8 is a core ATG protein that builds up the autophagosomal membrane by conjugating with phosphatidylethanolamine [70]. In yeast, several types of organelle-targeted autophagy are controlled by ATG proteins containing an ATG8-interacting motif (AIM) [71]. ATG32 triggers the removal of dysfunctional or excess mitochondria by interacting with autophagosomal membrane-anchored ATG8 on the mitochondrial outer envelope [72,73]. ATG39 and ATG40 were also identified as ATG8-interacting proteins that control nucleus- or ER-targeted selective autophagy, respectively [74].

ATG8-interacting protein 1 (ATI1) and ATI2 were identified in a yeast two-hybrid screen for candidates that interact with the Arabidopsis ATG8 isoform, ATG8f [75]. These proteins were found to associate with plastids in addition to the ER as small vesicles of approximately 1 µm in diameter, which are referred to as ATI bodies [76]. A screen of potential ATI1-interacting proteins and microscopy observations of fluorescent marker proteins indicated that plastid-associated ATI bodies transport some thylakoid, stroma, and envelope proteins into the vacuole, especially under dark-induced

energy limitation [76]. These delivery cargos differ from those of the RCBs that specifically contain a portion of stroma [36,37]; however, the vacuolar transport of plastid-associated ATI bodies is an autophagy-dependent process, as this body was not produced in the *atg5* mutants [76]. Therefore, ATI bodies represent a distinct form of autophagy vesicles that transport some stroma, thylakoid, and envelope components into the vacuole (Figure 2a). Plastid-associated ATI bodies are also observed inside the chloroplast, and ATI1 interacts with some thylakoid proteins in vivo [76]. It is thus proposed that plastid-associated ATI bodies form in chloroplasts and are then delivered into the vacuole via autophagosome-mediated transport (Figure 2a), although how such bodies are evacuated from chloroplasts remains unclear.



**Figure 2.** Schematic model for chloroplast protein turnover mediated by ATI bodies, CV-containing vesicles (CCVs), senescence-associated vacuoles (SAVs), or ubiquitination. (a) Plastid-associated ATI bodies are produced in chloroplasts and are then delivered into the central vacuole via an autophagy-dependent pathway. ATI bodies transport thylakoid, stroma, and envelope proteins. CV protein also interacts with thylakoid and stroma proteins, and then induces the production of CCVs that transport thylakoid, stroma, and envelope proteins into the central vacuole via an autophagy-independent pathway. SAVs are small lytic compartments that form in the cytoplasm. Stroma components are incorporated into the SAVs for digestion. (b) Chloroplast outer envelope-anchored E3 ligase, SP1, ubiquitinates TOC proteins and facilitates their degradation by 26S proteasome. Cytoplasmic E3 ligase PUB4 ubiquitinates oxidative chloroplasts accumulating <sup>1</sup>O<sub>2</sub> for the digestion of such chloroplasts in their entirety.
The appearance of plastid-associated ATI bodies in energy-starved seedlings or senescing leaves suggests that ATI bodies also contribute to amino acid recycling during starvation or senescence as part of the autophagy process, although the link between the induction level of the ATI bodies and changes in free amino acid content has not been evaluated. Additionally, plastid-associated ATI bodies are produced under salt stress, and ATI-knockdown plants have reduced salt tolerance [76]. The activation of autophagosome production and the reduced tolerance of *atg* mutants to salt stress were also observed in Arabidopsis plants [50,77]. These findings suggest that ATI bodies are involved in salt stress-induced chloroplast protein turnover.

### 5. Senescence-Associated Vacuoles

The formation of small, lytic senescence-associated vacuoles (SAVs) was reported when senescing leaves of Arabidopsis, soybean (*Glycine max*), and tobacco (*Nicotiana tabacum*) plants were stained with R-6502 dye, which emits strong fluorescence upon the hydrolytic activity of cysteine proteases [78,79]. Senescence-associated gene 12 (SAG12) is a senescence-induced cysteine protease localized within SAVs. SAVs are formed in the peripheral cytoplasmic region of mesophyll cells and are much smaller than the central vacuole, being approximately 0.7  $\mu$ m in diameter. In addition, SAVs have greater lytic activity than the central vacuole and are strongly stained by lysotracker red or neutral red, fluorescent markers of acidic organelles.

SAV numbers increase as leaf senescence progresses [80]. Proteomic analysis of isolated SAVs in tobacco plants indicated that SAVs contain stromal proteins such as Rubisco and glutamine synthetase, but not thylakoid proteins such as LHCII and the reaction center D1 protein in photosystem II [79]. Treatment with a specific inhibitor of cysteine proteases, E-64, partially suppressed Rubisco degradation in the tobacco leaf discs [80]. These observations suggest that SAVs contribute to senescence-induced Rubisco degradation, similar to RCBs; however, *atg7* mutants produced SAVs [79]. Therefore, SAVs may be an autophagy-independent, extra-chloroplastic route for the degradation of stromal proteins in senescent leaves (Figure 2a). How stromal proteins are transported into the SAVs remains uncertain.

### 6. Autophagy-Independent Vesicles Derived from Chloroplasts

The *chloroplast vesiculation* (*CV*) gene encodes a plastid-targeted protein in rice plants that is strongly upregulated under abiotic stress and downregulated by cytokinin [81]. In Arabidopsis, expression of the *CV-GFP* construct under the control of the dexamethasone-inducible promoter caused the formation of a type of chloroplast-derived vesicle exhibiting strong CV-GFP signal referred to as CV-containing vesicles (CCVs) [81]. CCVs are around 1 µm in diameter and contain stroma, envelope, and thylakoid proteins, as demonstrated by immunoblot analysis of some chloroplast proteins, co-immunoprecipitation assays of potential CV-interacting protein, and confocal microscopy of fluorescent marker proteins of chloroplast stroma [81]. CCVs do not associate with the autophagosome marker GFP-ATG8a, and the *atg5* mutation does not affect the production of CCVs. Additionally, CCVs do not associate with SAVs stained with lysotracker red. Thus, CCVs are part of a vacuolar degradation process for chloroplasts that is independent of autophagy and SAVs (Figure 2a).

Immuno-EM analysis showed that CV-GFP was associated with the thylakoid or envelope membranes before CCV production [81]. The interaction of CV with PsbO protein, a subunit in the thylakoid-bound photosystem II complex, was confirmed by co-immunoprecipitation detection and a bimolecular fluorescence complementation (BiFC) assay. These results indicate that CV interacts with some proteins inside the chloroplast before CCVs form. The C-terminal domain of CV, which is largely conserved among CV orthologs of various plant species, is required for CCV production [81]; however, how chloroplast-targeted CV induces the formation of CCVs and chloroplast destabilization has not been evaluated.

In Arabidopsis plants, endogenous *CV* was upregulated in senescing leaves and leaves subjected to oxidative stress or salt stress [81]. Consistent with this, transient expression of *CV* caused accelerated leaf senescence, and the suppression of *CV* transcript by miRNA led to increased leaf longevity under

salt stress. Similarly, in rice plants, the RNAi silencing of *CV* expression led to delayed leaf senescence under water deficit stress, and the transient overexpression of *CV-GFP* under the control of the  $\beta$ -estradiol-inducible promoter accelerated leaf senescence symptoms [82]. Elevated *CV* transcript levels were observed in UVB-damaged Arabidopsis leaves [67]. *CV* may activate the destabilization and degradation of chloroplasts through the formation of CCVs during senescence, especially under stress conditions in Arabidopsis and rice plants.

### 7. Ubiquitin E3 Ligase-Associated Chloroplast Degradation

The ubiquitin proteasome system (UPS) is an evolutionarily conserved major protein degradation system in eukaryotic cells [83–85]. During UPS-mediated proteolysis, the polypeptide ubiquitin acts as a sorting signal for the degradation of specific proteins by the 26S proteasome in the ubiquitination cascade. Ubiquitin is activated by E1 proteins and then transferred to E2 ubiquitin conjugating enzymes. The transfer of ubiquitin from E2s to target proteins requires E3 ubiquitin ligases. The resulting ubiquitinated proteins are selectively incorporated into the 26S proteasome complex for breakdown. Eukaryotic genomes generally encode a large family of E3s and Arabidopsis plants can theoretically express more than 1500 of these proteins [86–88]. The ubiquitination of specific proteins by individual E3s allows for highly controlled, selective protein degradation by the UPS.

The UPS was shown to contribute to the degradation of chloroplast proteins in an experiment using suppressor of ppi1 locus 1 (SP1) isolated from Arabidopsis plants [89]. SP1 is a chloroplast outer envelope-anchored E3 ligase that ubiquitinates some proteins of the translocon on the outer chloroplast membrane (TOC) complex (Figure 2b). Most nucleus-encoded chloroplast proteins are imported into chloroplasts through the TOC and translocon on the inner chloroplast membrane (TIC) complexes [90]. During the greening of etiolated seedlings, etioplasts, which are a type of plastid present in non-green tissues, are converted to mature chloroplasts; therefore, large amount of photosynthetic proteins encoded in the nuclear genome are expressed and imported into the plastid via TIC-TOC complexes. *sp1* mutant plants exhibit delayed maturation of chloroplasts during the greening of etiolated seedlings [89]. Thus, SP1 likely serves as a control for protein import into chloroplasts. *sp1* mutants also showed delayed leaf yellowing during dark-induced accelerated senescence; conversely, SP1-overexpressing plants showed an enhanced decline of photosynthetic efficiency [89]. SP1-mediated TOC turnover may further regulate protein import into chloroplasts are actively degraded during senescence.

SP1 induces the degradation of TOC during oxidative stress caused by salt or osmotic stress, thereby attenuating protein import into the chloroplasts [91]. Under these stress conditions, accumulation of hydrogen peroxide ( $H_2O_2$ ), a type of ROS, was enhanced in *sp1* mutants and was alleviated in SP1-overexpressing plants. SP1-mediated degradation of the TOC complex by UPS suppresses photosynthetic activity and thereby limits ROS production [91], since ROS are produced during photosynthesis. SP1-mediated TOC turnover may therefore control the chloroplast proteome and photosynthetic capacity in response to stress. It is still unclear how ubiquitinated proteins on outer-envelope proteins are solubilized to allow degradation by UPS localized in the cytoplasm.

A recent study reported that a cytosol-localized E3 ligase functions in the degradation of entire chloroplasts [92]. When dark-germinated, etiolated seedlings of the Arabidopsis mutant of plastid *ferrochelatase 2 (fc2)* are transferred from darkness to light, their chloroplasts over-accumulate singlet oxygen (<sup>1</sup>O<sub>2</sub>), thereby leading to the death of photosynthetic cells and compromised greening of plants. A suppressor mutant (referred to as *pub4–6* [92]) of this inhibited greening phenomenon had an amino acid substitution in *plant u-box 4 (PUB4)*, which encodes a cytosolic ubiquitin E3 ligase. Although EM analysis indicated that entire chloroplasts were digested in the cytoplasm during compromised greening in *fc2* plants, this degradation of chloroplasts was lower in *fc2 pub4–6* plants, even though <sup>1</sup>O<sub>2</sub> accumulation was not suppressed. Therefore, PUB4-related ubiquitination triggers the digestion of entire chloroplasts that are accumulating <sup>1</sup>O<sub>2</sub> (Figure 2b). However, unlike the *pub4–6* mutation,

the T-DNA insertional knockout mutations of *PUB4* (referred to as *pub4–1* and *pub4–2*) did not suppress the phenotype of the *fc2* mutant during greening [92]. Therefore, it is unclear how PUB4 is involved in the ubiquitination of  ${}^{1}O_{2}$  accumulating chloroplasts and their subsequent degradation.

In mammalian cells, ubiquitination largely acts as a trigger of autophagic removal of dysfunctional organelles [93]. During mitophagy, depolarized mitochondria are ubiquitinated by the E3 ligase Parkin, allowing for the autophagic removal of targeted mitochondria into the lysosome [94–97]. During the greening of *fc*2 mutants, some chloroplasts appeared to be degraded in the cytoplasm, and the interaction between degrading chloroplasts and the vacuole via a globule-like structure was observed [92]. Such observations were distinct from the vacuolar chloroplasts that result from chlorophagy in leaves exposed to strong visible light (1200–2000 µmol·m<sup>-2</sup>·s<sup>-1</sup>), where entire chloroplasts exhibiting thylakoid membranes are localized in the central vacuole in EM imaging [67]. Furthermore, the *pub4–6* and *atg10* mutants are phenotypically distinct, as *atg10* plants showed accelerated senescence during dark treatment compared to wild-type plants, but *pub4–6* plants did not [92]. Therefore, PUB4-related ubiquitination is unlikely a simple trigger of autophagy.

### 8. Future Perspectives

Our understanding of the diverse extraplastidic pathways mediating chloroplast protein degradation has progressed in the past decades. Table 1 compares their relationships to core autophagy machinery, plant species, induction stimuli, and degradation targets. It is clear that multiple pathways are induced during diverse stress conditions, such as sugar starvation, senescence, and oxidative stress (Table 1). Thus, new questions about chloroplast turnover arise, including why plants have multiple processes for chloroplast protein turnover, and how these processes are differentially utilized. Future research should examine how extraplastidic systems are coordinated with intraplastidic proteolysis. The RCB pathway is activated during the earlier stages of dark treatment and chlorophagy is induced during the later stages [52,67], suggesting that several pathways are induced at distinct time points during leaf senescence and stress responses. An important role of intrachloroplastic proteases in chloroplast protein turnover during photodamage was largely demonstrated [15,16]. Therefore, extraplastidic pathways that are induced during photooxidative stress might be triggered when intraplastidic proteolysis is insufficient for maintaining chloroplast functions.

Pathway	Relationship to Core Autophagy Machinery	Analyzed Species	Degradation Targets	Stimuli <sup>b</sup>	References
RCBs (Rubisco-containing bodies)	dependent	Arabidopsis, rice, wheat	stroma, envelope	sugar starvation, senescence	[36–38,41,42,44,52–54]
Chlorophagy	dependent	Arabidopsis	entire chloroplasts	photodamage, senescence	[52,67]
ATI bodies	dependent	Arabidopsis	stroma, thylakoid, envelope	sugar starvation, salt stress, senescence	[76]
SAVs (Senescence-associated vacuoles)	independent	Arabidopsis, soybean, tobacco	stroma	senescence	[78-80]
CCVs (Chloroplast vesiculation-containing vesicles)	independent	Arabidopsis, rice	stroma, thylakoid, envelope	senescence, salt stress, oxidative stress	[81,82]
E3 ligase SP1	_ a	Arabidopsis	TOC proteins on outer envelope	senescence, greening, oxidative stress	[89,91]
E3 ligase PUB4	_ a	Arabidopsis	entire chloroplasts	Oxidative stress ( <sup>1</sup> O <sub>2</sub> )	[92]

Table 1. List of extraplastidic degradation pathways described.

<sup>a</sup> The link of the E3 ubiquitin ligases to autophagy has not been directly examined. <sup>b</sup> Stimuli inducing the respective pathways.

In Arabidopsis *atg* plants, both *CV* expression and proteasome activity are increased [43,98], suggesting a complementary relationship among some of the chloroplast-associated degradation systems. However, since senescence symptoms are largely accelerated in *atg* mutants due to the over-accumulation of salicylic acid [99], the increase in *CV* expression or proteasome activity in *atg* plants can also be interpreted as a result of accelerated senescence and cell death. To better understand the process of chloroplast protein turnover and to decipher the relationships among the diverse pathways mediating this process, the mechanisms regulating these pathways will need to be elucidated. It would be fascinating to determine whether the distinct pathways that mediate chloroplast degradation share a common upstream regulatory mechanism, or whether they are regulated independently. In addition, how small vesicles delivering portions of chloroplasts, including RCBs, ATI bodies, and CCVs, are derived from entire chloroplasts largely remains to be explained.

The extraplastidic routes for chloroplast protein turnover were largely identified using Arabidopsis plants (Table 1). This advance greatly expanded our understanding of chloroplast protein turnover in important cereals, such as rice and maize [38,54,56,82]. Chloroplast degradation is strongly linked to nitrogen remobilization and the changes of photosynthetic capacity that are important determinants of productivity in crop plants. Therefore, manipulating chloroplast protein turnover might be an effective strategy to improve the productivity of crops. In rice plants, RNAi-mediated silencing of *CV* led to an increase in grain yield under water deficit stress [74]. Studies showed that Arabidopsis plants overexpressing one of the core *ATGs* had an enhanced stress tolerance [100,101]. In addition, SP1-overexpressing Arabidopsis plants had improved tolerance to oxidative stress [91]. Therefore, elucidating the molecular basis of multiple processes for chloroplast protein turnover in Arabidopsis plants may suggest strategies to improve the productivity and stress tolerance of crop plants.

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# **Insights into the Mechanisms of Chloroplast Division**

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Abstract: The endosymbiosis of a free-living cyanobacterium into an ancestral eukaryote led to the evolution of the chloroplast (plastid) more than one billion years ago. Given their independent origins, plastid proliferation is restricted to the binary fission of pre-existing plastids within a cell. In the last 25 years, the structure of the supramolecular machinery regulating plastid division has been discovered, and some of its component proteins identified. More recently, isolated plastid-division machineries have been examined to elucidate their structural and mechanistic details. Furthermore, complex studies have revealed how the plastid-division machinery morphologically transforms during plastid division, and which of its component proteins play a critical role in generating the contractile force. Identifying the three-dimensional structures and putative functional domains of the component proteins has given us hints about the mechanisms driving the machinery. Surprisingly, the mechanisms driving plastid division resemble those of mitochondrial division, indicating that these division machineries likely developed from the same evolutionary origin, providing a key insight into how endosymbiotic organelles were established. These findings have opened new avenues of research into organelle proliferation mechanisms and the evolution of organelles.

**Keywords:** chloroplast division; mitochondrial division; endosymbiotic organelle; FtsZ; dynaminrelated protein; glycosyltransferase protein

### 1. Introduction

Chloroplasts (plastids) produce organic molecules and oxygen via photosynthesis, directly and indirectly providing a diverse array of living organisms with the materials they need to grow and develop. The activity of plastids over the past billion years has resulted in the dramatic greening of the Earth. Due to their endosymbiotic origin, plastids contain their own genomes and multiply by the binary fission of pre-existing plastids [1-4]. Although the mechanisms driving this division were long unclear, the discovery of a specialized ring structure at the division site of plastids in primitive unicellular alga provided groundbreaking insights into this process [5], and the rise of genomics and proteomics has further accelerated studies in this field. Consequently, some components for plastid division have been identified in the last 25 years [1-4,6]. It is now known that plastid division is carried out by a ring-shaped supermolecule termed the plastid-division machinery, which contains three or more types of ring structures: the plastid-dividing (PD) ring, which forms the main framework of the division machinery and comprises a ring-shaped bundle of nanofilaments on the cytosolic side of the outer envelope membrane of the corresponding organelle [4,5,7]; the FtsZ ring, a single ring constructed from homologs of the bacterial fission protein FtsZ located beneath the inner envelope membrane at the division site [8]; and the dynamin ring, a disconnected ring-like structure formed of a dynamin superfamily member on the cytosolic side of the outer envelope membrane at the site of organelle division (Figure 1) [9,10]. The importance of each component for plastid division has been well studied and summarized in detail elsewhere [3,11]; however, the functional mechanical details of the plastid-division machinery remain unclear. Various types of functional domains have been identified in the component proteins, including GTPase and glycosyltransferase domains, some

of which are conserved not only within the plant kingdom but also in bacteria and non-photosynthetic eukaryotes; therefore, considerations and comparisons of these component proteins in other species will support our understanding of their fundamental functions during plastid division. Furthermore, it is now known that the mitochondrial- and peroxisome-division machineries carry out similar division processes to those of the plastids, suggesting that the elucidation of the plastid-division system will also provide insights into the proliferation of other membranous organelles within eukaryotic cells. This review summarizes and considers the domain architectures of the major proteins involved in plastid division, enabling the further exploration of the proliferation mechanisms in plastids as well as the proliferation mechanisms in mitochondria.

#### 2. Structure and Assembly of the Plastid-Division Machinery

As mentioned above, plastids divide under the regulation of their division machinery, supramolecular complexes comprising a dynamic trio of rings, the PD ring, FtsZ ring, and dynamin ring, which span the plastid double membrane. Although the molecular function of each ring in the division machinery has not been fully revealed, studies using the alga Cyanidioschyzon merolae and the model dicot Arabidopsis thaliana have begun to uncover the molecular mechanisms by which this machinery functions. The formation of the plastid-division machinery is executed in a specific order during plastid division (Figure 1). As in bacteria, the assembly of the FtsZ ring in the stromal region is the first known event to occur at the plastid-division site, followed by the appearance of the inner PD ring beneath the inner envelope membrane at the division site. After the formation of the inner rings, the interaction between FtsZ and certain membrane proteins might transfer positional information about the FtsZ ring to the outside of the plastid, resulting in the binding of the glycosyltransferase protein PLASTID-DIVIDING RING 1 (PDR1) to the outer membrane, where it assembles the outer PD ring [12]. Another possibility was suggested following a series of electron microscopy (EM) observations in C. caldarium; small electron-dense vesicles were visualized along the putative division site before the assembly of the outer PD ring. Interestingly, the boundary of the vesicles appeared to coincide with one end of the PD ring filament, suggesting that these filaments might be biosynthesized on the surface of these vesicles using their components [5].

These inner and outer rings appeared to be linked to each other through nano-scale holes that appear on the groove of the division site, as revealed by scanning EM [13]. Recent yeast two-hybrid studies using several plastid-division proteins in A. thaliana showed that the FtsZ ring interacts with the inner membrane proteins ACCUMULATION AND REPLICATION OF CHLOROPLAST6 (ARC6) and PALAROG OF ARC6 (PARC6) in the stromal region [14,15]. ARC6 and PARC6 then further interact with the outer membrane proteins PDV2 and PDV1 in the intermembrane space to form the ARC6-PDV2 and PARC6-PDV1 complexes [15–17]. The intermembrane structure enables the translation of positional information regarding the FtsZ ring from the stromal region to the outer envelope membrane. In the final assembly step of the plastid-division machinery, dynamin-related protein (Dnm2/DRP5B) molecules cross-link the outer PD ring filaments. The sequence of assembly was confirmed in a range of knockdown/knockout experiments involving the plastid-division genes. When the expression of PDR1 was downregulated, the FtsZ ring was assembled, but the Dnm2 proteins were not recruited to the division site [12]. Meanwhile, expression of a gene encoding the Dnm2 K135A mutant protein, which corresponds to a GTP-binding deficient mutant (K44A) of human dynamin 1, disturbed the formation of the dynamin ring and inhibited plastid division in *C. merolae*, despite the normal assembly of the FtsZ and PD rings [18–20]. Thus, neither FtsZ ring formation nor PD ring formation depends on the subsequent assembly of the plastid-division machinery, while the localization of Dnm2 relies on formation of the outer PD ring.



**Figure 1.** Representation of the plastid-division process. Plastid division occurs as follows: (1,2) Two types of FtsZ protein assemble in a heterodimer in the stromal region, then polymerize to form the FtsZ ring in the center of the plastid. To tether to the inner envelope membrane, FtsZ proteins bind to several membrane proteins. (3,4) PDR1 proteins attach to the outer envelope membrane above the site of the FtsZ ring, and it is hypothesized that PDR1 biosynthesizes polyglucan nanofilaments to form the PD ring from UDP-glucose molecules. (5) The GTPase protein Dnm2 (also known as DRP5B) binds to the PD ring filaments and is likely to generate the motive force for constriction. (6) Dnm2 proteins accumulate at the contracting bridge of two daughter plastids and pinch off the membranes. After the abscission of the plastids, the division machinery is disassembled. The inner PD ring and membrane proteins such as ARC6, and PDV2 are omitted from this representation. Modified from Yoshida et al. (2016) [21].

A series of ultrastructural studies provided structural insights into the contractile mechanism of the plastid-division machinery. Sequential EM observations of cells during plastid division revealed that the thickness of the inner PD ring does not change, but its volume decreases at a constant rate during contraction [22]. By contrast, the width and thickness of the outer PD ring monotonically increase during contraction in C. merolae, retaining its density and volume [22,23]. Similar EM observations of the outer PD ring changes have also been made in the green alga Nannochloris bacillaris [24], and in the land plants [25]. These morphological transitions of the outer PD ring during plastid division led to the idea that the outer PD ring filaments can slide and squeeze the plastid membranes. The establishment of a technique for isolating the intact plastid-division machinery from C. merolae cells provided a major breakthrough on this issue [13]; the isolated plastid-division machinery not only formed a circular structure, but also formed super-twisted and spiral structures featuring both clockwise and anticlockwise spirals. The existence of plastid-division machinery in these twisted states indicates the motive force involved in the contraction of plastid membranes; indeed, the plastid-division machinery autonomously contracted when plastid membranes were dissolved by detergent. In addition, reconstituted plastid FtsZ rings also displayed contractile ability. Although the detailed molecular mechanism involved is still unclear, the contraction process of the FtsZ ring accompanies the transition of the FtsZ protofilament from a less dynamic to a more dynamic state; therefore, this dynamic transition of protofilament states is assumed to induce a decrease in the average protofilament length in the FtsZ ring and thus cause contraction [21]. This led to the undertaking of complex studies to reveal how the plastid-division machinery is transformed morphologically during contraction, and which of its component proteins play a key role in generating this force.

### 3. The FtsZ Ring

Plastid FtsZ is a homolog of the bacterial fission protein FtsZ [8,26,27], and was the first factor found to be responsible for plastid division, assembling into a ring beneath the inner envelope membrane at the division site [22,28,29]. Both plastid and bacterial FtsZ proteins are composed of two functional domains, a GTP-binding domain at the N-terminal and a GTPase-activating domain at the C-terminal, which interact with the opposing terminal of other FtsZ proteins to form a polymer strand (Figure 2) [30,31]. Interestingly, whereas bacteria have one *FtsZ* gene in their genome, the plastid *FtsZ* gene underwent duplication and these duplicated loci, now present in the nuclear genomes, are widely conserved throughout photosynthetic eukaryotes [8,32,33]. Thus, the *C. merolae* genome contains two *FtsZ* genes, *FtsZ2-1* (*FtsZ2* in *A. thaliana*; FtsZA group) and *FtsZ2-2* (*FtsZ1* in *A. thaliana*; FtsZB group), for plastid division [27,32,34]. Phylogenetic studies have found that FtsZA is more ancestral [32], containing a conserved C-terminal core motif similar to that of bacterial FtsZ, which is assumed to interact with other plastid-division proteins to tether the FtsZ ring to the inner membrane [6,8]. The other FtsZ group, FtsZB, lacks the C-terminal core motif and probably evolved following the duplication of the original *FtsZ* gene or its precursor during the establishment of the plastids after the endosymbiotic event.



**Figure 2.** Assembly of the FtsZ ring. **(A,B)** FtsZ molecules assemble into hetero-oligomers, then these FtsZ protofilaments bundle and assemble into a ring structure in the stroma region. **(C)** The two types of FtsZs can assemble into heteropolymer structures via FtsZA-FtsZB and FtsZB-FtsZA hetero-interactions. The protein structure of the tubulin heterodimer (PDB: 1TUB) is also shown on the right. The protein structures of *A. thaliana* FtsZ2 (shown as FtsZA in the Figure) and FtsZ1 (FtsZB in the Figure) were obtained using homology modeling in the Modeller program [35], and structural data for each protein molecule were visualized using CueMol: Molecular Visualization Framework software (http://www.cuemol.org/). Reproduced and modified from Yoshida et al. (2016) [21].

Although the mechanistic details of FtsZ ring assembly and dynamics remain unclear, recent studies using a heterologous yeast system revealed that the two types of plastid FtsZs spontaneously formed heteropolymers then assembled into a single ring that could generate contractile force in the absence of any other related proteins (Figure 2A,B) [21,36]. Interestingly, the plastid FtsZ heteropolymer had higher kinetic dynamics for protofilament assembly, mobility, and flexibility than either homopolymer, suggesting that the gene duplication of plastid FtsZ led to innovation in the kinetic functions of the FtsZ ring for plastid division [21]. The FtsZ heteropolymers are structurally similar to the microtubules comprised of  $\alpha$ - and  $\beta$ -tubulin, suggesting the convergent evolution of functions in the plastid FtsZs and eukaryotic tubulins (Figure 2C). Consistent with molecular genetic studies using A. thaliana, the assembly of the plastid FtsZ ring in vivo is further promoted by several regulatory factors. ARC6 positively regulates the assembly of the FtsZ protofilament through interactions with the FtsZA molecules [6,8]. PARC6 also interacts with FtsZA, inducing the remodeling of the FtsZ protofilaments for ring formation with support from ARC3 [6,8,15]. Moreover, ARC3 and GIANT CHLOROPLAST1 (GC1) negatively regulate FtsZ ring assembly [37,38]; however, as these FtsZ-associated genes are not well conserved in the plant kingdom, another regulatory system with unknown factors might also coordinate the assembly of the FtsZ ring.

### 4. The Dynamin Ring

A member of the dynamin superfamily, Dnm2 (also known as DRP5B or ARC5 in *A. thaliana*), is also observed in a ring structure at the plastid-division site (Figure 1) [9,10]. Originally, the classical dynamin protein was identified as a 100-kDa mechanochemical GTPase required for the scission of clathrin-coated vesicles from the plasma membrane [39,40]. Dynamin-related proteins are now known to be involved in diverse membrane remodeling events; for example, Dnm1 (also known as DRP1 in animals) is involved in mitochondrial and peroxisome divisions [40,41]. The dynamin superfamily proteins possess several functional domain subunits, including a large GTPase domain (approximately 300 amino acids), a middle domain, a pleckstrin-homology (PH) domain, a GTPase effector domain (GED), and a carboxy-terminal proline-rich domain (PRD) (Figure 3A,B, upper) [40,42,43]. Although the molecular mass of Dnm2 is similar to that of classical dynamin, a conserved domain search identified only the GTPase domain at its amino-terminal region (Figure 3A). The three uncharacterized regions occupying the rest of the sequence are highly conserved between Dnm2 proteins in plant species, implying that these regions might be responsible for key roles during plastid division (Figure 3A,B, bottom).

The functional importance of Dnm2 proteins during plastid division has been demonstrated in *C. merolae*, the moss *Physcomitrella patens*, and *A. thaliana* [9,10,44]. Dnm2 proteins formed a discontinuous ring structure at the plastid-division site in the early phases of plastid division, after which they appeared to link and assemble into a single ring [9,10]. Surprisingly, the plastid-division dynamin-related proteins are phylogenetically related to a group of dynamin-related proteins involved in cytokinesis [45]. Considering that the primitive algal genome encodes only two dynamin-related proteins, Dnm2 for plastid division and Dnm1 for mitochondrial/peroxisome division [34,46,47], these findings raise the question of the original function of the ancestral dynamin protein.



**Figure 3.** Structures of the dynamin superfamily. (**A**) Domain architectures of the dynamin superfamily. Dynamin 1 catalyzes clathrin-coated vesicle scission at the plasma membrane. Dnm1/DRP1 is involved in the division of mitochondria and peroxisomes. Dnm2 (also known as DRP5B/ARC5 in *A. thaliana* and moss) is involved in plastid division. GTPase domain (red); middle domain (purple); pleckstrin homology domain (PH, blue); GTPase effector domain (GED, yellow); and proline-rich domain (PRD, light brown). Domain architectures were identified using a conserved-domain search program [48]. (**B**) Protein structures of human dynamin 1 (classical dynamin) for vesicle scission and *C. merolae* Dnm2 for plastid division. The structure of dynamin 1 is represented with crystal structure data from an assembly-deficient dynamin 1 mutant, G397D ΔPRD (PDB: 3ZVR) [43], while the structure of Dnm2 is visualized using homology modeling based on the dynamin 1 structure. The functional domains in dynamin 1 are shown in red (GTPase domain), purple (middle domain), blue (PH domain), and yellow (GED domain); the proline-rich domain (PRD) is not shown. Uncharacterized conserved regions in Dnm2 are shown in black. The protein structure of Dnm2 was modeled as described in Figure 2.

### 5. The PD Ring

In the 1980s and 1990s, EM observations revealed the presence of the PD ring at the division site of plastids in numerous photosynthetic eukaryotes [5,49]. Two (or three) types of electron-dense specialized ring structures comprise the PD ring: the outer PD ring is the main skeletal structure of the plastid-division machinery, and is composed of a ring-shaped bundle of nanofilaments, 5 to 7 nm in width, on the cytosolic side of the outer envelope membrane (Figure 1) [13,23]. In addition, an inner 5-nm-thick belt-like PD ring forms on the stromal side of the inner envelope membrane [22,50]. The outer and inner PD rings have been widely observed in the plant kingdom [5,49], while a third, intermediate, PD ring has only been identified in the intermembrane space of *C. merolae* and the green alga *N. bacillaris* [24,51]. Many angiosperms also form double rings at the constricted isthmi of dividing plastids, including proplastids, amyloplasts, and chloroplasts [5,49]. The PD ring structure can be observed only during the late phases of plastid division in land plants [49,52], suggesting that the number and electron density of the PD rings in these organisms might be too low to detect during the early phases of plastid division using EM, making their morphological dynamics more difficult

to study in these species. Interestingly, a phylogenetic study of the PD ring identified a clear trend, in which the PD rings of the primitive unicellular eukaryotes with smaller genomes are larger than those of the multiplastidic cells of land plants [5,49]; therefore, *C. merolae* is one of the most suitable organisms for studying the involvement of the PD ring in plastid division. Based on these previous studies, the PD rings, especially the outer rings, were concluded to be universal across the plant kingdom, where they play an important role in plastidokinesis.

Although the molecular components of the outer PD ring have not yet been elucidated, despite more than 25 years passing since its discovery, a chemical-staining screen shed light on this issue [12]. Periodic acid-horseradish peroxidase staining indicated that the outer PD ring is likely to contain saccharic components, which led us to perform a proteomic analysis of the isolated plastid-division machinery fraction and identify a novel glycosyltransferase protein, PDR1 [12]. Interestingly, the expression of *PDR1* was specifically detected in the plastid-division phase, and PDR1 proteins assembled a single-ring structure at the plastid-division site. Ultrastructural studies clearly showed that PDR1 proteins localized on the outer PD ring filaments. Furthermore, analyses of the components of the purified PD ring filaments revealed that glucan molecules were components of the outer PD ring. PDR1 has sequence similarity to glycogenin, which acts as a priming protein for glycogen biosynthesis (Figure 4A) [53]; therefore, it is now hypothesized that PDR1 can elongate the glucan chain to biosynthesize PD ring filaments from UDP-glucose molecules, analogous to the biosynthesis of glycogen (Figure 4B). Taking these findings together, although the biosynthesis mechanism is still unclear, PDR1 is probably involved in the biosynthesis of the PD ring polyglucan filaments. Potential orthologs of *PDR1* have also been identified in land plant genomes.



Figure 4. Cont.



**Figure 4.** Working models of the glycosyltransferases glycogenin and PDR1. (**A**) Glycogenin is required for the initiation of glycogen biosynthesis, and can be autoglycosylated at a specific tyrosine residue to form a short oligosaccharide chain of glucose molecules to act as a priming chain for the subsequent biosynthesis of glycogen. (**B**) A schematic representation of PD ring filament biosynthesis by PDR1. A series of results suggested that PD ring filaments are composed of both PDR1 and glucose molecules. Considering the sequence similarity with glycogenin, the glycosyltransferase domain of PDR1 may biosynthesize the polyglucan nanofilaments from UDP-glucose residues to form the PD ring filaments. OEM, outer envelope membrane; IMS, intermembrane space. (**C**) Schematic of *C. merolae* PDR1 and MDR1 domain structures. The glycosyltransferase domains of PDR1 and MDR1 identified them as type-8 subgroup members of the glycosyltransferase family. (**D**) Protein sequence similarities between PDR1, MDR1 and glycogenin-1. (**E**) Comparisons of the protein structure of glycogenin-1 (PDB: 1LL2) and the putative structures of the glycosyltransferase domains of PDR1 and MDR1. Orange arrows indicate specific insertion regions in the glycosyltransferase domains of PDR1 and MDR1. The protein structures of the PDR1 and MDR1 glycosyltransferase domains were modeled as described in Figure 2.

### 6. The Homology between Plastid- and Mitochondrial-Division Machinery

Over the past 25 years, the mode of plastid division has been unveiled and several key components of the division machinery have been identified. Furthermore, studies have revealed that the other endosymbiotic organelles, mitochondria, also proliferate via the activity of a supramolecular complex, the mitochondrial-division machinery, which, like the plastid-division machinery, comprises an electron-dense specialized ring called the mitochondrion-dividing (MD) ring which is the counterpart of the PD ring in mitochondrial division, the FtsZ ring, and a dynamin ring (Figure 5) [1,54,55]. Interestingly, some of the mechanisms of mitochondrial division are also very similar to those of the plastid-division machinery. In addition, a recent multi-omics analysis of isolated plastid- and mitochondrial-division machineries showed that 185 proteins, including 54 uncharacterized proteins, were present in the fraction, indicating that many unknown components may be involved in these machineries [54]. Indeed, a glycosyltransferase homologous to PDR1, MITOCHONDRION-DIVIDING RING1 (MDR1) (Figure 4C), was identified from these candidates, and a series of analyses showed that MDR1 is required for the assembly of the MD ring, which also consists of polyglucan filaments and is required for mitochondrial division [54]. Despite the low sequence similarity between PDR1 and MDR1 (Figure 4D,E), these proteins both have a glycosyltransferase domain belongs to the type-8 subgroup of the glycosyltransferase family and they have homologous functions in plastid and mitochondrial division [54]. Given that both plastids and mitochondria evolved from free-living bacteria, the compelling structural and mechanical similarity between the plastid- and mitochondrial-division machineries indicates that they were established in host cells to dominate and control the proliferation of these endosymbiotic organelles during their early evolution.



**Figure 5.** Schematic representations of the division machinery in plastids and mitochondria. IEM, inner envelope membrane; OEM, outer envelope membrane; IM, inner membrane; OM, outer membrane. For details on the mitochondrial-division machinery, see Refs. [1,54–58]. Modified from Yoshida et al. (2016) [21] and Yoshida et al. (2017) [54].

### 7. Conclusions and Perspectives

One of the current hot topics in this field is the elucidation of the system coordinating the cell-division cycle and the plastid/mitochondrial-division cycle [59–61]. Combinational analyses using genetic engineering and synchronized *C. merolae* cells has revealed the existence of a plastid-division checkpoint in the cell cycle, which is very likely to contribute to the permanent possession of plastids [19]; however, the fundamental mechanisms driving this process are still unclear. To identify the coordination system, the selection of suitable organisms for each analysis will be very important. The unicellular alga C. merolae and the land plant A. thaliana have enabled the molecular study of plastid division via their species-specific advantages. As a model organism, A. thaliana enabled many types of genetic studies to be conducted, leading to the identification of some of the genes responsible for plastid division (see for more details Chen et al. (2018) [6]). Studies in this area also benefit from using C. merolae cells, which can be synchronized to enable the isolation of intact plastid/mitochondrial-division machineries [13,54,62]. Furthermore, many genetic-engineering techniques have been recently established in C. merolae [18,63-66]. These innovations will enable dramatic advances in the investigation of the molecular mechanisms driving plastid division over the next decade; for example, an impressive recent study elucidated the crystal structure of the ARC6-PDV2 complex to reveal how protein-protein interactions translate information regarding plastid division across the double membrane, from the stromal region to the cytosol [17]. It is expected that the further identification of three-dimensional protein structures involved in the plastid-division machinery will open up a completely new avenue in the field.

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## **Bacterial Heterologous Expression System for Reconstitution of Chloroplast Inner Division Ring and Evaluation of Its Contributors**

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**Abstract:** Plant chloroplasts originate from the symbiotic relationship between ancient free-living cyanobacteria and ancestral eukaryotic cells. Since the discovery of the bacterial derivative *FtsZ* gene—which encodes a tubulin homolog responsible for the formation of the chloroplast inner division ring (Z ring)—in the *Arabidopsis* genome in 1995, many components of the chloroplast division machinery were successively identified. The knowledge of these components continues to expand; however, the mode of action of the chloroplast dividing system remains unknown (compared to bacterial cell division), owing to the complexities faced in in planta analyses. To date, yeast and bacterial heterologous expression systems have been developed for the reconstitution of Z ring-like structures formed by chloroplast FtsZ. In this review, we especially focus on recent progress of our bacterial system using the model bacterium *Escherichia coli* to dissect and understand the chloroplast division machinery—an evolutionary hybrid structure composed of both bacterial (inner) and host-derived (outer) components.

Keywords: chloroplast division; Z ring; membrane-tethering; heterologous expression; E. coli; AtFtsZ1; AtFtsZ2; ARC6; ARC3

### 1. Introduction

Plant chloroplasts evolved from free-living cyanobacteria through primary endosymbiosis, which started with an engulfment of ancient cyanobacteria by ancestral eukaryotic cells approximately a billion years ago [1,2]. As in bacteria, the proliferation of chloroplasts is achieved by binary fission via a hybrid division machinery comprised of inner (stromal) bacterial and outer (cytosolic) host-derived elements [3–5]. This machinery mainly consists of four rings: two (the Z ring and the inner plastid-dividing (PD) ring) are inside, while the other two (the dynamin-related protein5B (DRP5B) ring and the outer PD ring) are outside the chloroplast [3,6,7]. Of those, the Z ring is broadly conserved from bacteria to chloroplasts [3,4,8–11]. Each Z ring is composed of FtsZ homolog tubulin-like GTPase, and is believed to generate a constrictive force for division both in bacteria and chloroplasts of *Arabidopsis thaliana* (although it has also been reported that the motive force was provided by the outer DRP5B ring, but not inner Z ring, in chloroplast of the red alga *Cyanidioschyzon merolae*) [8–10,12–15]. While the four rings and other division-related components might coordinately function to constrict the mid-chloroplast, the initial and critical event is the assembly of FtsZ into the Z ring just beneath the inner envelope membrane (IEM) at the future site of division. The Z ring then works as a scaffold, to which other components are recruited in a specific order to drive a division complex [4].

In contrast to the bacterial Z ring that is composed of a single FtsZ protein, the components of the chloroplast Z ring are two phylogenetically distinct FtsZ proteins, FtsZ1 and FtsZ2,

which heteropolymerize into FtsZ filaments in vivo and in vitro [15–19]. FtsZ1 probably emerged from FtsZ2 through a gene duplication event, because the C-terminal amino acid sequence (which is critical for the membrane-tethering in bacterial FtsZ—see below) is conserved only in FtsZ2 [20,21]. FtsZ1 and FtsZ2 show high amino acid sequence identity and similarity regarding their GTPase core domain with bacterial FtsZ, but play distinct roles in the formation of the FtsZ polymers; FtsZ2 dominantly forms the backbone of the filament, while FtsZ1 assists in its remodeling [16,19,22]. In *A. thaliana*, FtsZ2 was additionally duplicated into two functionally redundant paralogs: AtFtsZ2-1 and AtFtsZ2-2. These two paralogs are functionally interchangeable with respect to in vivo chloroplast division activity, although the distinct contributions by these two AtFtsZ2 conforming to the shape of the chloroplast have also been reported [22,23].

The assembly and dynamics of the chloroplast Z ring is elaborately regulated by many components that negatively or positively affect the Z ring formation [3-5]. The stromal protein Accumulation and Replication of Chloroplasts 3 (ARC3) directly interacts with both AtFtsZ1 and AtFtsZ2 and inhibits the assembly of the Z ring at non-division sites [19,24–26], resembling the function of bacterial division inhibitor protein MinC for the positioning of the Z ring [27], whereas the IEM-spanning protein Accumulation and Replication of Chloroplasts 6 (ARC6) directly interacts only with AtFtsZ2 and promotes Z ring assembly in the stroma [22,26,28,29]. ARC3 and ARC6 were identified in the native AtFtsZ1-AtFtsZ2 complex isolated from Arabidopsis chloroplast [30]. Another IEM-spanning protein Paralog of ARC6 (PARC6) also directly interacts with AtFtsZ2, and in addition to the stromal proteins MinD and MinE, indirectly affects the Z ring formation through a direct interaction with the inhibitor protein ARC3 [25,26,31–34]. Briefly, in the working model of Z ring regulation in chloroplast division, ARC6 promotes the formation of a Z ring composed of AtFtsZ1-AtFtsZ2 heteropolymer, possibly by the tethering of AtFtsZ2 to the IEM. ARC3, MinD, and MinE act together as a Z ring positioning system and accurately confine the Z ring to the mid-chloroplast. During the remodeling and constriction of the Z ring, ARC3 may also function as an inhibitor of Z ring assembly after being recruited by PARC6 to the division site [3–5]. For an in-depth review of the many contributors to the chloroplast Z ring dynamics that include bacterial- and host-derivatives, we refer readers to previously published reviews [3–5].

To understand the chloroplast division comprehensively, in planta molecular analysis of Z ring assembly and dynamics is important. However, it can be challenging owing to the complexity of the plant cell, wherein many division-related components act together. Furthermore, plant breeding and genetic manipulation require more time compared to model microorganisms, even in the model plant A. thaliana. This situation has led to the development of some heterologous expression systems for Arabidopsis FtsZ proteins and other related components using single-celled model microorganisms, such as the yeasts Schizosaccharomyces pombe and Pichia pastoris, as well as the bacterium Escherichia *coli* [15,19,26,35]. The fission yeast *S. pombe* system was established as a cellular model for the functional analysis of bacterial actin-related protein MreB and FtsZ ahead of chloroplast FtsZ [36,37]. At present, together with recent methylotrophic yeast *P. pastoris* system, the yeast systems have shown the value of using heterologous expression systems for chloroplast division-related proteins-particularly filament and ring formation by FtsZs—to analyze their inherent functions [15,19,26,35]. On the other hand, based on the evolutionary background of the chloroplast and the fact that the Z ring-driven division system indeed functions in bacteria, as well as other practical advantages of a model bacterium, the *E. coli* system could be a good tool for the research of chloroplast FtsZ. However, the previous report showed that the chloroplast FtsZ produced in E. coli cells did not successfully form the Z ring or Z ring-like structure, but only formed long filaments and aberrant clusters; therefore, this system is lagging behind yeast expression systems [15,19,26,35].

Recently, we progressively developed the *E. coli* system to reconstitute Z ring or Z ring-like structures composed of the *A. thaliana* FtsZ protein AtFtsZ2-1 (hereafter called AtFtsZ2) [38]. Our system plausibly reflects the dynamic properties of AtFtsZ2, where the AtFtsZ2 assembles into long filaments or Z ring-like structures depending on the conditions. In Figure 1, we summarize the

proposed molecular mechanism of action of AtFtsZ2 and its positive contributor ARC6, which has been demonstrated to anchor the chloroplast Z ring to the membrane in our system. In the following sections, we describe the development of this system and the important factors contributing to the filament morphology of AtFtsZ2, which includes N-terminal extended region of AtFtsZ2, membrane-tethering of the AtFtsZ2 filament, the negative regulator ARC3, and the positive regulators ARC6 and AtFtsZ1.



**Figure 1.** The formation of Z ring-like structures of *Arabidopsis* chloroplast FtsZ2 in the bacterial heterologous expression system. Schematic illustration of the proposed molecular behavior of chloroplast division-related components in *E. coli* cells and its merged microscopic image of phase-contrast and GFP are shown when expressing (a) super folder GFP (sfGFP)-AtFtsZ2, (b) sfGFP-AtFtsZ2-2MTS, (c) sfGFP-AtFtsZ2, and Accumulation and Replication of Chloroplasts 6 (ARC6) and (d) sfGFP-AtFtsZ2 $\Delta$ C18 (C-terminal 18-residue truncated form of AtFtsZ2) and ARC6. *E. coli* cells were grown in L broth (1% bactotryptone, 0.5% yeast extract, 0.5% NaCl) to the stationary phase at 22 °C. Scale bars: 5  $\mu$ m. MTS: membrane-targeting sequence. To reduce the complexity in the diagrams, bundling of the FtsZ2 filaments was omitted.

### 2. Optimization of E. coli System for Heterologous Expression of Chloroplast FtsZ

### 2.1. Fluorescent Tagging of AtFtsZ2 and Culture Condition

FtsZ proteins can polymerize, assemble into filament bundles, and eventually form the Z ring in the division system [3–5,8,9,39]. In each organism (bacterium or plant), visualizing the FtsZ

protein using immunoelectron microscopy, immunofluorescence, or fluorescent protein (FP) labeling techniques clearly showed the Z ring formation at the division site [40–47]. In particular, FP labeling enables us to monitor the FtsZ protein dynamics in live cells. As for heterologous expression systems for chloroplast FtsZs tagged with FPs, in *S. pombe* yeast cells, AtFtsZ1 and/or AtFtsZ2 formed linear and ring-shaped filaments that were free-floating in the cytosol [19,35]. Furthermore, AtFtsZ1 and/or forcibly membrane-targeted AtFtsZ2 expressed in *P. pastoris* yeast cells assembled into ring structures, and the rings including membrane-tethered AtFtsZ2 showed contractible ability [15]. Thus, yeast systems can be available for the analysis of chloroplast FtsZs.

By contrast, as mentioned above, heterologous expression of AtFtsZ2 in E. coli cells only showed long filaments with aberrant clusters at 42  $^{\circ}$ C [19]. In bacterial cells, recombinant proteins frequently aggregate into inclusion-bodies because of high growth temperature and/or high-level expression of the heterologous protein [48]. In this context, we confirmed that the expression level of AtFtsZ2 protein introduced in E. coli was not too high, and successfully removed aberrant aggregation of AtFtsZ2 by decreasing the growth temperature to 22 °C—an optimal temperature for A. thaliana (Figure 1a) [38]. We also found that the growth phase of the bacteria strongly affected the AtFtsZ2 filamentation; sampling in the stationary phase is more suitable to form long filaments than in the logarithmic phase [38]. Some theories for this phenomenon include (i) reduced dynamics and a lower turnover rate of AtFtsZ2 compared with those of E. coli FtsZ (EcFtsZ) [19], and (ii) less competition with actively assembling EcFtsZ during the stationary phase. In the paper, we critically investigated the fusion terminus of FP [38]. In most papers to-date, irrespective of the derivatives from bacteria and plants, FPs were tagged at the C-terminus of FtsZ. On the other hand, as previously reported, we confirmed that N-terminal FP fusions of EcFtsZ were also precisely localized at the middle of the E. coli cell [38,49,50]. As for chloroplast FtsZ, we conducted heterologous expression of both Nand C-terminal FP-fused AtFtsZ2 and concluded that—at least in our E. coli system—filamentation of C-terminally FP-tagged AtFtsZ2 was repressed compared with that of an N-terminally fused one (Figure 1a) [38]. Since the C-terminal domain of FtsZ is important for its function, C-terminal FP-tagging might partially interfere with the filamentation ability of AtFtsZ2 [19,51]. Furthermore, the C-terminal FP fusions of AtFtsZ2 showed aberrant aggregations at higher temperature (37 °C) compared to N-terminal FP fusions [38]. As a consequence, we selected N-terminal FP-fused AtFtsZ2 for our expression system. Importantly, C-terminal FP fusions of FtsZ are generally assembly-competent, and the AtFtsZ2-FP fusion forms a Z ring at the middle of the chloroplast in A. thaliana [19,52]. Thus, this issue might need to be discussed. Many chloroplast proteins-including FtsZs-are encoded in the nuclear genome and are eventually transported into the chloroplast via its N-terminal transit peptide [12,45,46,53]. The transit peptide is cleaved upon its import into the chloroplast, and this is one reason why the C-terminal FP-fusion of AtFtsZ was preferred for in planta analysis [12,47]. Therefore, if the N-terminal FP-fused FtsZ is expressed in planta, it is necessary that the FP does not disturb the function of the transit peptide. In heterologous expression systems, the transit peptide-lacking chloroplast FtsZs are generally used, and this issue does not need to be considered.

### 2.2. N-Terminal Region of AtFtsZ2

Besides transit peptide, the chloroplast AtFtsZ2 protein harbors an extended N-terminal region compared with eubacterial FtsZ proteins [35,38]. AtFtsZ1 lacks this "extended" region, but many cyanobacterial and chloroplast FtsZ proteins exhibit N-terminal extension regardless of their amino acid sequence conservation, possibly implying the additional trait(s) in these FtsZs [38]. In the *S. pombe* yeast system, it has been reported that the N-terminal-extended region of AtFtsZ2 promotes its polymer bundling and turnover, suggesting that the N-terminus of AtFtsZ2—as with its C-terminus—is also important for its function [35]. Consistent with this, we confirmed the dependency of AtFtsZ2 filamentation on its N-terminus in *E. coli* cells, where the N-terminally-truncated AtFtsZ2 (here we deleted amino acid residues from 49 to 112 in addition to the transit peptide, AtFtsZ2 $\Delta$ N) with N-terminal FP showed considerably shorter filaments than did full-length FP-AtFtsZ2 fusion [38]. This suggests that the behavior of AtFtsZ2 produced in *E. coli* cytosol reflects its inherent properties.

### 2.3. Membrane-Tethering of AtFtsZ2

To establish the *E. coli* reconstitution system completely for the analysis of chloroplast division-related components, the formation of the Z ring composed of AtFtsZ2 in *E. coli* cells is critical. The FtsZ protein itself has no membrane spanning or anchoring domains and requires the cognate membrane associating and/or transmembrane proteins FtsA and ZipA in *E. coli* and Ftn2/ZipN in cyanobacteria, which interact with the C-terminus of FtsZ and target it to the membrane [42,54–62]. In green lineage chloroplasts, IEM protein ARC6—an Ftn2/ZipN ortholog—was believed to tether the Z ring, though no direct evidence has been reported for this so far [22,28,29,63,64]. *E. coli* has no ARC6 homolog, and FtsA and ZipA might not target AtFtsZ2 to the membrane, despite partial conservation of the FtsA-interacting sequence in the AtFtsZ2 C-terminus, which was supported by the fact that AtFtsZ2 did not form ring-like structures (Figure 1a) [38].

Previous reconstitution systems using liposomes revealed that the membrane-tethering of FtsZ is required for Z ring formation, in which reconstitutions of contractile EcFtsZ ring were achieved by artificial membrane-tethering with the C-terminal membrane-targeting sequence (MTS) or natural tethering through a co-introduced FtsA. This membrane anchored EcFtsZ could actually constrict a liposome, indicating that membrane-tethering is critical to form the *E. coli* Z ring and generate a constriction force [14,62]. Similarly, in our *E. coli* system, an artificial membrane-tethering of AtFtsZ2 by MTS gave AtFtsZ2 the ability to form multiple Z ring-like structures in both wild-type and ftsZ-depleted E. coli, indicating the intrinsic property of AtFtsZ2 to form Z ring-like structures in E. coli cells (Figure 1a,b). However, these Z ring-like structures did not constrict a cell (probably because AtFtsZ2 could not interact with FtsA and ZipA, which stabilize Z ring composed of EcFtsZ) [38]. Around the same time, it was independently shown that the MTS-tagged chloroplast FtsZ derived from Galdieria sulphuraria thermophilic red alga (GsFtsZ) formed multiple Z ring-like structures in E. coli cells [65]. Together with the recent success in the *P. pastoris* yeast system that showed reconstitution of the ring-like structure of AtFtsZ2 by MTS-tagging [15], these reports strongly demonstrated that membrane-tethering is a necessary and sufficient factor for bacterial and chloroplast FtsZ proteins to form Z ring or Z ring-like structures.

Interestingly, the diameter of the ring-like structure formed by membrane-tethered AtFstZ2 was much larger than that of EcFtsZ when reconstituted in *P. pastoris* cells, resembling the size of their corresponding rings in vivo [15]. This has suggested that the structure of each FtsZ protein determines the curvature, and consequently the size, of each ring [15]. However, we successfully reconstituted the Z ring-like structures of AtFtsZ2 in *E. coli* cells [38]. Furthermore, in *A. thaliana*, the chloroplast size increases during leaf development, and leaf epidermal cells contained small chloroplasts with smaller AtFtsZ1 and AtFtsZ2 rings compared to leaf mesophyll cells [52,66]. Thus, we presume that FtsZ proteins have the potential to form Z rings with various diameters according to the cell or chloroplast diameter.

### 3. The Function of Negative and Positive Contributors in Bacterial Reconstitution Systems

### 3.1. The Negative Regulator ARC3

In rod-shaped bacteria such as *E. coli* and *Bacillus subtilis*, mid-cell positioning of the Z ring is tightly regulated by the Min system, in which the negative regulator MinC inhibits FtsZ polymerization at cell poles [67,68]. Spatial regulation of the Z ring by MinC is also conserved in cyanobacteria [69]. In contrast, except for certain algal lineages and the moss *Physcomitrella patens*, the chloroplast has no MinC homolog, but instead acquired the plant-specific stromal protein ARC3 as a functional analog of bacterial MinC [19,24–26,52,70,71]. Indeed, *Arabidopsis arc3* mutants showed multiple Z rings and nonuniform chloroplast size and number, whereas ARC3-overexpressing mutants exhibited

a small number of enlarged chloroplasts with fragmented AtFtsZ filaments [25,26,72,73]. ARC3 directly interacts with both AtFtsZ1 and AtFtsZ2, and these interactions were inhibited by the C-terminal membrane-occupation-and-recognition nexus (MORN) domain of ARC3 [25,26]. The MORN domain is a binding site of PARC6, which is believed to recruit and activate ARC3 at the chloroplast division site [32,34]. In a yeast heterologous expression system which does not contain the PARC6 homolog, recombinant ARC3 lacking the MORN domain was used to analyze the ARC3 inhibitory effects on AtFtsZ filaments [19,26]. We also co-expressed this mutant ARC3 and AtFtsZ2 with an FP in E. coli cells and evaluated its function in our bacterial system. Consistent with previous reports, we confirmed the inhibition of the AtFtsZ2 assembly by ARC3 regardless of the presence or absence of the MTS tag (Figure 2a,b) [38]. Since it has already been reported in the yeast system that ARC3 inhibited the assembly of cytosolic free-floating AtFtsZ filaments (linear and ring-shaped structures), our bacterial system presented the first example of ARC3 in inhibiting AtFtsZ filaments in membrane-tethered Z ring-like structures in a heterologous expression system (Figure 2b) [19,26,38]. It is worth noting that in our E. coli system— like the yeast systems—there might be no factors that affect ARC3 behavior. The consistency of the inhibitory effects of ARC3 on FtsZ filament assembly among yeast, E. coli, and in planta analyses strongly demonstrates the clear function of ARC3 in chloroplast Z ring regulation. This is further supported by a recent study in which in vitro assays showed that ARC3 promoted AtFtsZ2 debundling and disassembly by enhancing its GTPase activity and 3D reconstruction using single-particle analysis, suggesting that PARC6 mediated ARC3-AtFtsZ2 interaction [74]. Bacterial MinC also promotes the debundling and disassembly of FtsZ, but does not affect its GTPase activity [27]. In addition, chloroplast ARC3 binds to both MinD and MinE, but bacterial MinC only binds to MinD [25,75]. The analogous function of ARC3 to MinC is indisputable, but there might be differences between ARC3 and MinC in their mode of action in each division system.



**Figure 2.** The effects of Accumulation and Replication of Chloroplasts 3 (ARC3) on the filaments of *Arabidopsis* chloroplast FtsZ2 in the bacterial heterologous expression system. Schematic illustration of the proposed molecular behavior of chloroplast division-related components in *E. coli* cells and its merged microscopic image of phase-contrast and GFP are shown when expressing (**a**) sfGFP-AtFtsZ2 and ARC3, and (**b**) sfGFP-AtFtsZ2-2MTS and ARC3. *E. coli* cells were grown in L broth (1% bactotryptone, 0.5% yeast extract, 0.5% NaCl) to the stationary phase at 22 °C. Scale bars: 5 µm. MTS: membrane-targeting sequence. To reduce the complexity in the diagrams, bundling of the FtsZ2 filaments was omitted.

### 3.2. The Positive Regulator ARC6

Using liposomes and purified EcFtsZ proteins, Osawa and Erickson (2013) demonstrated that the reconstitution of the Z ring (or Z ring-like structure) membrane-tethered by its natural partner is one goal for protein-free or heterologous expression systems in order to study the molecular mechanisms of the Z ring-centered division machinery [62]. In the case of chloroplast division machinery, a Z ring-anchoring factor has not yet been identified, but a great deal of indirect evidence implied the IEM protein ARC6 as a potential candidate [22,28,29,34,45,63,64]. In *Arabidopsis*, FP-labeled ARC6 concentrated at the chloroplast constriction sites in the shape of a ring, and ARC6 mutants exhibited a Z ring-defective phenotype, consequently leading to a small number of enlarged chloroplasts [28,45,63,64]. Using the yeast two-hybrid system, a direct interaction between ARC6 and the C-terminal conserved sequence of AtFtsZ2 was demonstrated [22,29,34]. FP-labeled ARC6 and AtFtsZ2 co-localized in the yeast cytosol, which mostly depended on the AtFtsZ2 C-terminus [34]. Collectively, all these reports revealed that ARC6 is a positive regulator of Z ring formation in chloroplasts. Therefore, the next challenge will test whether ARC6 truly anchors the chloroplast Z ring to the membrane.

We applied Osawa and Erickson's strategy to our *E. coli* heterologous expression system, where the MTS-untagged AtFtsZ2 (which itself can only form linear filaments) and ARC6 were co-expressed, and evaluated the effects of ARC6 on AtFtsZ2 filament morphology [38]. Fortunately, our challenge was a success—AtFtsZ2 polymer drastically altered its morphology from the linear filaments to Z ring-like or helical structures dependent on ARC6 (Figure 1a,c). FP-labeling of both AtFtsZ2 and ARC6 showed co-localization of these two proteins in the ring-like structures [38]. These Z ring-like structures completely depended on the ARC6-interacting sequence at the AtFtsZ2 C-terminus (here we truncated 18 amino acids, AtFtsZ2AC18), suggesting ARC6-mediated tethering of AtFtsZ2 filaments to the membrane (Figure 1c,d). Membrane-fractionation assays further supported the membrane attachment of AtFtsZ2 by ARC6 through their direct interaction [38]. The C-terminal region of ARC6 protrudes into the chloroplast IEM and directly interacts with the outer envelope membrane (OEM) protein Plastid Division 2 (PDV2), being able to transfer the Z ring positioning information from the stromal division machinery to the cytosolic one [76,77]. Together with previous results, our data obtained from the bacterial reconstitution system clarified that the other N-terminal side of ARC6 interacts with AtFtsZ2-a backbone protein of the Z ring through which ARC6 directly anchors the Z ring to IEM [22,28,29,34,38,45,63,64]. Bacteria such as E. coli and B. subtilis have no ARC6 ortholog, but the membrane-interacting protein FtsA interacts with FtsZ and anchors it to the membrane, hence stabilizing the Z ring [42,57,58,62,78,79]. By contrast, cyanobacteria uniquely evolved Ftn2/ZipN—an ancestor of chloroplast ARC6—as a functional analog of FtsA for Z ring-tethering [59–61]. The successful reconstitution of chloroplast FtsZ2 ring in bacterial cells by membrane-tethering through the chloroplast ARC6 indicates high stability and plasticity of the Z ring-centered division machinery that is conserved from bacteria to chloroplasts.

Additionally, in the *S. pombe* yeast expression system, it has been demonstrated that ARC6 stabilizes AtFtsZ2 filaments independent of its tethering ability [35]. On the other hand, a recent report revealed a new function of the *E. coli* FtsA in aligning FtsZ protofilaments in the unbundled state and stabilizing them, in addition to its membrane-tethering ability [80]. Thus, these functional analogs commonly work for Z ring-tethering but have additional function(s) as a positive regulator in each division system.

### 3.3. The Positive Regulator AtFtsZ1

In our paper, we described the unexpected function of AtFtsZ1 in positively contributing to the filament morphology of AtFtsZ2 in the bacterial expression system [38]. As mentioned above, the chloroplast Z ring is composed of AtFtsZ2 and AtFtsZ1, but the former dominantly determines the filament morphology, while the latter plays a regulatory role [15,19]. It is worth noting that the *Arabidopsis ftsZ1* mutant (like *ftsZ2* mutants) showed a small number of enlarged chloroplasts, implying

the indispensable function of AtFtsZ1 in chloroplast division, although it has also been observed that chloroplasts in the *ftsZ1* mutant still exhibited a single mid-plastid constriction [22,26,30,81]. As expected, FP-labeled AtFtsZ1 and AtFtsZ2 co-localized in the E. coli expression system, consistent with previous observations that AtFtsZ1 and AtFtsZ2 can form a heteropolymer both in vitro and in yeast systems (Figure 3) [15,17–19,38]. However, the AtFtsZ1 surprisingly induced a morphological transformation of AtFtsZ2 filaments into ring-like and helical structures in E. coli cells, resembling Z ring-like structures tethered by ARC6 (Figure 3) [38]. This phenomenon was also observed in the case of AtFtsZ2 $\Delta$ C18 [38]. AtFtsZ1 possesses no C-terminal sequences responsible for membrane-tethering, and independently expressed AtFtsZ1 showed only a dispersed pattern in the bacterial cytoplasm, which suggests that AtFtsZ1 itself is unlikely to interact with any of the E. coli endogenous components supporting membrane-tethering [38]. Thus, it still remains an open question as to how AtFtsZ2 filaments form Z ring-like structures depending on AtFtsZ1 in the bacterial expression system. In the P. pastoris yeast system, a ring-like structure of MTS-untagged AtFtsZ1 was observed in the absence of other related component(s), although we did not detect any AtFtsZ1 filaments or rings in E. coli cells [15,38]. As for membrane-tethering, these data led to the speculation that AtFtsZ1 itself may interact with the membrane. Nevertheless, it is clear that AtFtsZ1 works positively to form the chloroplast Z ring. The conservation of two distinct FtsZ proteins in green lineage indicates a unique mechanism to regulate chloroplast Z ring assembly and dynamics compared to bacterial cell division. We hope future studies will reveal unknown mechanisms of action of AtFtsZ1 apart from its ability to increase the FtsZ filament turnover rate [19].



**Figure 3.** The effects of *Arabidopsis* chloroplast FtsZ1 on the formation of Z ring-like structures of *Arabidopsis* chloroplast FtsZ2 in the bacterial heterologous expression system. Schematic illustration of the Z ring-like structures composed of AtFtsZ1 and AtFtsZ2 heterooligomer in *E. coli* cells, although the mechanism by which the *Arabidopsis* FtsZ filaments are tethered to the membrane is unclear (indicated by red question marks in the illustration), and its merged microscopic images of phase-contrast and GFP (upper panel), and phase-contrast and mCherry (lower panel) are shown. *E. coli* cells expressing sfGFP-AtFtsZ2 and mCherry-AtFtsZ1 were grown in L broth (1% bactotryptone, 0.5% yeast extract, 0.5% NaCl) to the stationary phase at 22 °C. Scale bar: 5  $\mu$ m. To reduce the complexity in the diagram, bundling of the FtsZ filaments was omitted.

### 4. Conclusions

The *E. coli* reconstitution system has now become one of the many useful heterologous expression systems for studying the FtsZ-centered chloroplast division machinery. Although in planta analysis is the best way to comprehensively examine the components employed in chloroplast division, heterologous expression systems lacking such native division-related contributors also provide

important insights into inherent properties of the introduced component(s). In general, microbial manipulations have practical advantages, such as rapid growth, axenic culture, and genetic accessibility. Yeast systems allow the advantage of a eukaryotic environment completely lacking plant derivatives. The advantages of bacterial systems are as follows: (i) bacteria is an evolutionary progenitor of chloroplast and bacterial cytosol, wherein the Z ring-centered division system still works and is topologically equivalent to chloroplast stroma; and (ii) bacteria-especially E. coli-are distantly related to cyanobacteria, and partly lack the homologs of chloroplast division-related proteins. Indeed, using the *E. coli* system, we reconfirmed the negative regulation of the chloroplast FtsZ2 filaments by plant-specific ARC3 and found that this regulation was also effective for the membrane-tethered one (Z ring-like structures) [38]. We also directly demonstrated the Z ring-tethering ability of ARC6, which is unique to cyanobacteria and chloroplasts [38]. The components of the chloroplast division machinery in the stromal side (including IEM) have changed over the course of evolution [3–5]. Besides ARC3 and ARC6, the homologous proteins of PARC6 and MULTIPLE CHLOROPLAST DIVISION SITE 1 (MCD1) are absent in *E. coli* [32–34,82]; hence, they are capable of being applied to our bacterial system. Additionally, bacteria-derived chloroplast MinD and MinE can be analyzed by using the minCDE deletion mutant of E. coli, which creates minicells but also shows normal- and long-sized cells, as in the case of AtFtsZ2 expressed in the ftsZ-depleted *E. coli* strain [38,83]. By contrast, in the bacterial system (and in the yeast systems), the analyses of division-related components employed in the plant cytosolic side (including OEM), such as DRP5B, Plastid Division 1 (PDV1), and PDV2, appear more challenging [7,13,76,77,84,85]. Accordingly, the integration of data obtained from yeast and E. coli systems into in planta results is important, and will continue to accelerate the research on chloroplast division.

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Brief Report



### Two Coiled-Coil Proteins, WEB1 and PMI2, Suppress the Signaling Pathway of Chloroplast Accumulation Response that Is Mediated by Two Phototropin-Interacting Proteins, RPT2 and NCH1, in Seed Plants

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Abstract: Chloroplast movement is induced by blue light in a broad range of plant species. Weak light induces the chloroplast accumulation response and strong light induces the chloroplast avoidance response. Both responses are essential for efficient photosynthesis and are mediated by phototropin blue-light receptors. J-DOMAIN PROTEIN REQUIRED FOR CHLOROPLAST ACCUMULATION RESPONSE 1 (JAC1) and two coiled-coil domain proteins WEAK CHLOROPLAST MOVEMENT UNDER BLUE LIGHT 1 (WEB1) and PLASTID MOVEMENT IMPAIRED 2 (PMI2) are required for phototropin-mediated chloroplast movement. Genetic analysis suggests that JAC1 is essential for the accumulation response and WEB1/PMI2 inhibit the accumulation response through the suppression of JAC1 activity under the strong light. We recently identified two phototropin-interacting proteins, ROOT PHOTOTROPISM 2 (RPT2) and NPH3/RPT2-like (NRL) PROTEIN FOR CHLOROPLAST MOVEMENT 1 (NCH1) as the signaling components involved in chloroplast accumulation response. However, the relationship between RPT2/NCH1, JAC1 and WEB1/PMI2 to elucidate the signal transduction pathway.

Keywords: Arabidopsis; blue light; Marchantia; organelle movement; phototropin

### 1. Introduction

Phototropins (phot) are blue-light photoreceptor kinases that mediate phototropism, leaf flattening, stomatal opening, and chloroplast movement including low light-induced chloroplast accumulation response and strong light-induced chloroplast avoidance response (herein referred to as the accumulation and avoidance response, respectively). These responses contribute to optimal photosynthetic light utilization at the organ/tissue, cellular, and organelle level [1,2]. Most land plants have two or more phototropin genes and functional differences exist between them. There are two phototropins in *Arabidopsis thaliana*, phot1 and phot2. Phototropism, leaf flattening, stomatal opening, and the accumulation response are mediated by both phot1 and phot2; however, phot1 plays a greater role in these responses, especially at lower blue-light intensities [1,2]. In contrast, the avoidance response is mediated primarily by phot2 [1,2]. Clear functional divergence of phototropins in the accumulation and avoidance response is observed in the moss *Physcomitrella patens* and the fern *Adiantum capillus-veneris* [3,4]. However, in the liverwort *Marchantia polymorpha*, which is a basal land plant, a single phototropin mediates both the accumulation and avoidance responses [5].
Thus, phototropins can intrinsically mediate all phototropin-mediated responses and functional diversification of phototropins seems to have occurred during land plant evolution concomitant with phototropin gene duplication [6].

By regulating various signaling components, such as phototropin-interacting proteins, phototropins can regulate multiple, diverse responses. For example, BLUE LIGHT SIGNALING1 kinase is a direct phototropin substrate and specifically mediates stomatal opening [7]. In addition, the two phototropin-interacting bric á brac, tramtrack and broad complex/pox virus and zinc finger (BTB/POZ) domain proteins NONPHOTOTROPIC HYPOCOTYL 3 (NPH3) and ROOT PHOTOTROPISM 2 (RPT2), which belong to the NPH3/RPT2-like (NRL) protein family, mediate phototropism and leaf flattening [8–11]. Recently, we identified a phototropin-interacting NRL protein, NRL PROTEIN FOR CHLOROPLAST MOVEMENT 1 (NCH1), and found that NCH1 specifically mediates the accumulation response [12]. NCH1 is highly similar to RPT2 than NPH3 and contains four conserved regions including a BTB/POZ domain (Figure 1a). Furthermore, functional redundancy was found between NCH1 and RPT2 for the accumulation response, but not the avoidance response [12]. These results indicate that phototropism, leaf flattening, and the accumulation response are dependent on these NRL proteins, while stomatal opening and the avoidance response are independent of these NRL proteins. In *M. polymorpha*, the RPT2/NCH1 ortholog MpNCH1 specifically mediates the accumulation response, indicating that phototropin-regulated chloroplast movement is conserved in land plants [12].

Similar to NPH3 and RPT2, NCH1 is localized on the plasma membrane and interacts with phototropins [12], but the downstream function of NCH1 as well as other NRL proteins remained to be determined. Here, we performed genetic analysis of *RPT2* and *NCH1* using triple or quadruple mutant plants between *rpt2nch1* and other mutants that were implicated in the signal transduction of chloroplast movement.

### 2. Results and Discussion

*J-domain protein required for chloroplast accumulation response* 1 (*jac1*) and *rpt2nch1* plants are both defective in the accumulation response [12,13]. JAC1 protein is a C-terminal J-domain protein similar to clathrin uncoating factor auxilin (Figure 1a) [13]. To analyze chloroplast movement in jac1 and rpt2nch1 in detail, we performed analysis of the light-induced changes in leaf transmittance, reflective of light-induced chloroplast movements (Figure 1b) [14]. In response to 3  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> of blue light, which induces the accumulation response in wild type, a clear avoidance response is induced in rpt2nch1 but not in jac1 (Figure 1c,d) [12]. Therefore, RPT2/NCH1 could suppress the induction of the avoidance response to facilitate efficient induction of the accumulation response under low light conditions (Figure 2). Compared to wild type and *jac1*, a faster avoidance response was induced by 20  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> of blue light in *rpt2nch1* (Figure 1c,d; one-way ANOVA followed by Tukey–Kramer multiple comparison post hoc test, p < 0.01 for wild type or *jac1* vs. *rpt2nch1*), although similar transmittance changes (defined as "amplitude" in Figure 1e) were observed for both rpt2nch1 and jac1 following 40 min of 20  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> blue-light irradiation (Figure 1c,e; one-way ANOVA followed by Tukey–Kramer multiple comparison post hoc test, p > 0.05 for *jac1* vs. *rpt2nch1*). Following subsequent application of 50  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> blue light, only a slight additional avoidance response was observed in *rpt2nch1* which contrasted with the stronger avoidance response induced in wild type (Figure 1c) [12]. This result could be attributed to prior movement of the majority of chloroplasts to the side walls in rpt2nch1 during the former irradiation period. Interestingly, avoidance responses of similar magnitudes were induced in *jac1* in response to both 20 and 50  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> of blue light and a decreased rate of avoidance response induction was not observed during strong light irradiation (Figure 1c). The changes observed in leaf transmittance for *rpt2nch1jac1* were intermediate between those observed for *rpt2nch1* and *jac1*.



Figure 1. (a) Protein structure of ROOT PHOTOTROPISM 2 (RPT2), NRL PROTEIN FOR CHLOROPLAST MOVEMENT 1 (NCH1), J-DOMAIN PROTEIN REQUIRED FOR CHLOROPLAST ACCUMULATION RESPONSE 1 (IAC1), WEAK CHLOROPLAST MOVEMENT UNDER BLUE LIGHT 1 (WEB1), and PLASTID MOVEMENT IMPAIRED 2 (PMI2). Blue boxes indicate the four conserved regions of NPH3/RPT2-like (NRL) proteins. The position of the BTB/POZ domain is indicated by a black bar. Red box is a J-domain. Green boxes indicate the coiled-coil domains; (b) Measurement of light-induced changes in leaf transmittance as a result of chloroplast photorelocation movements. The depicted trace represents typical data collected for wild type under the various light irradiation conditions (indicated by color boxes). There is a decrease in leaf transmittance in response to 3  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> of blue light, indicating that the accumulation response is induced (**downward arrow**). Conversely, there is an increase in leaf transmittance in response to 20 and 50  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> of blue light, indicating that the avoidance response is induced (upward arrows). Red lines mark the initial linear fragments of leaf transmittance rate change during the first 2-6 min of the irradiation period, indicating the velocity. A red parenthesis marks the difference between the transmittance level observed following 60 min of 3  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> blue-light irradiation and the transmittance level observed a following further 40 min of 20  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> blue-light irradiation, indicating the amplitude of the avoidance response caused by 20  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> blue-light irradiation; (c-e) Distinct chloroplast movements observed between *rpt2nch1* and *jac1*; (c) Light-induced changes in leaf transmittance of the indicated lines were measured using a custom-made plate reader system [14]. The samples were sequentially irradiated with 3, 20 and 50 µmol m<sup>-2</sup> s<sup>-1</sup> of continuous blue light. The beginning of each irradiation period is indicated by white, cyan and blue arrows, respectively. The light was extinguished after 150 min (black arrow); (d) The velocity of light-induced transmittance changes. (e) The amplitude of the avoidance response caused by 20  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> blue-light irradiation. Data for wild type, rpt2nch1, jac1 and rpt2nch1jac1 from Suetsugu et al. (2016) [12] were used for comparison, because data for web1, rpt2nch1web1, pmi2pmi15 and rpt2nch1pmi2pmi15 were acquired in the same experiments using the same plate. Data are presented as means of three independent experiments and the error bars indicate standard errors. WT, wild type.

Previously, we showed that JAC1 mutation suppresses the defective avoidance response in weak chloroplast movement under blue light 1 (web1) and plastid movement impaired 2 (pmi2) [15]. WEB1 and PMI2 are related coiled-coil domain proteins that interact with each other (Figure 1a) [15]. Although the low light-induced accumulation response was normal in *web1* and *pmi2pmi15*, both mutant plants exhibited attenuated avoidance response under the strong light conditions (Figure 1c to e) [15]. The *jac1web1* and jac1pmi2pmi15 showed nearly the same phenotypes as jac1 single mutants [15]. Importantly, the weak avoidance response phenotype observed in *web1* and *pmi2pmi15* was completely suppressed in *jac1web1* and *jac1pmi2pmi15*, respectively [15]. Therefore, we hypothesized that WEB1 and PMI2 suppress JAC1 function under strong light, preventing the induction of the JAC1-dependent accumulation response and leading to efficient induction of the avoidance response (Figure 2). The weak avoidance response phenotype observed in web1 and pmi2pmi15 was absent in rpt2nch1web1 and rpt2nch1pmi2pmi15, similar to jac1web1 and jac1pmi2pmi15 (Figure 1c-e). Mutation of JAC1 suppressed web1 and pmi2pmi15 phenotypes, because *jac1web1* and *jac1pmi2pmi15* phenotypes are indistinguishable from *jac1* [15]. Although mutation of RPT2 and NCH1 largely suppressed the weak avoidance response phenotypes observed in web1 and pmi2pmi15, the velocity and amplitude of the avoidance response in these mutants did not match those in *rpt2nch1* (Figure 1c–e; one-way ANOVA followed by Tukey–Kramer multiple comparison post hoc test, p < 0.01 for *rpt2nch1web1* or *rpt2nch1pmi2pmi15* vs. *rpt2nch1* in velocity and p < 0.05 for *rpt2nch1web1* or *rpt2nch1pmi2pmi15* vs. *rpt2nch1* in amplitude). The phenotypes of rpt2nch1web1 and rpt2nch1pmi2pmi15 were very similar to rpt2nch1jac1 in that no detectable chloroplast movement was observed under 3  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> of blue light and their avoidance response phenotypes were similar to jac1 (Figure 1c,d; one-way ANOVA followed by Tukey-Kramer multiple comparison post hoc test, p > 0.05 for *rpt2nch1web1* or *rpt2nch1pmi2pmi15* vs. *rpt2nch1jac1*). Collectively, our results indicate that RPT2 and NCH1 are essential for the accumulation response and regulate JAC1-dependent and -independent pathways and that WEB1/PMI2 represses the signaling pathway for the accumulation response under the strong light conditions (Figure 2). However, how WEB1 and PMI2 suppress the accumulation response pathway remained to be determined. Interaction of WEB1 and/or PMI2 with JAC1 has never been detected [15]. At the least, the amounts of phototropins were normal in *web1* and *pmi2pmi15* mutant plants [15]. Further analysis of the relationship between WEB1/PMI2, RPT2/NCH1 and JAC1 is required.



**Figure 2.** Working model of chloroplast photorelocation movements. The photoreceptors phot1 and phot2 mediate the accumulation response under a low light condition through RPT2 and NCH1. RPT2 and NCH1 might regulate both JAC1-dependent and -independent (X) pathways. The signaling pathway by RPT2/NCH1 and JAC1 suppresses that of the avoidance response under a low light condition. Under the high light condition, the WEB1/PMI2 complex suppresses the signaling pathway for the accumulation response that is regulated by RPT2/NCH1 and JAC1 through an unknown mechanism, resulting in the efficient induction of the avoidance response mediated by phot2. **Gray** arrows indicate the suppressed signaling pathways. **Black** arrows indicate the activated signaling pathways.

RPT2 and NCH1 are localized on the plasma membrane and interact with phototropins, indicating that RPT2 and NCH1 are the initial downstream signaling components involved in the phototropin-mediated accumulation response (Figure 2) [12]. Notably, RPT2 and NCH1 are conserved in land plants, but JAC1, WEB1 and PMI2 orthologs are found only in seed plants [16]. Thus, to maximize light utilization through chloroplast movement, land plants have evolved a sophisticated mechanism of controlling chloroplast movement by increasing the molecular components involved in blue-light signaling.

### 3. Materials and Methods

# 3.1. Arabidopsis Lines and the Growth Condition

The wild-type and mutant lines are a Columbia *gl1* background. Seeds were sown on 0.8% agar medium containing 1/3 strength Murashige & Skoog salt and 1% sucrose, and grown under white light at ca. ~100  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> (16 h)/dark (8 h) cycle at 23 °C in an incubator. *rpt2-4nch1-1* [12], *jac1-1* [13], *web1-2* [15] and *pmi2-2pmi15-1* [15] were described previously. For *PMI2* mutant plants, *pmi2pmi15* was used, because PMI15 is closely related to PMI2 and *pmi15* exhibits a very weak defect in chloroplast movement [17]. *rpt2-4, nch1-1, pmi2-2* and *pmi15-1* are T-DNA knockout lines [12,17]. *jac1-1* carries a missense mutation [13] and *web1-2* carries a deletion of one nucleotide [15]. Western blot analysis showed that JAC1 and WEB1 proteins were not detected in *jac1-1* and *web1-2*, respectively [13,15]. Double, triple and quadruple mutants were generated by genetic crossing.

# 3.2. Analyses of Chloroplast Photorelocation Movements

Chloroplast photorelocation movements were analyzed by the measurement of light-induced changes in leaf transmittance as described previously [14]. Third leaves that were detached from 16-day-old seedlings were placed on 1% (*w*/*v*) gellan gum in a 96-well plate and then dark-adapted at least for 1 h before transmittance measurement.

### 3.3. Statistical Analysis

Statistical analyses were performed by one-way ANOVA followed by Tukey–Kramer multiple comparison post hoc test.

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Author Contributions: Noriyuki Suetsugu conceived, designed and performed the experiments; Noriyuki Suetsugu and Masamitsu Wada analyzed the data, contributed reagents/materials/analysis tools and wrote the paper.

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