

Special Issue Reprint

# Oxidative Stress in Brain Function

Edited by Waldo Cerpa

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# **Oxidative Stress in Brain Function**

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Editor

Waldo Cerpa



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## About the Editor

#### Waldo Cerpa

I am Waldo Cerpa and I am an associate professor in the faculty of biological science at the Pontificia Universidad Católica de Chile. The main interest of my laboratory lies in studying brain function from the perspective of the pathophysiological processes generated by external damage. In particular, traumatic brain injury and alcohol intake are the current models that my team and I use. Using these models, we study the contribution of different cellular processes associated with glutamate receptors, their signaling, and their relationship with cellular homeostasis. Additionally, we are looking for pharmacological (or other) tools that can modulate the cellular processes described above.

## Preface

Studying the functioning of brain cells continues to be the main approach for solving some of the main diseases that affect an increasingly elderly population. Our brain has a series of cellular tools to defend itself against both intrinsic (genetic) and external (environmental) damage, which endanger brain function and, therefore, human life. Antioxidant defenses and the ability to regulate them are one of the most comprehensive approaches to preventing brain damage that is associated with a series of pathological conditions. We wanted to bring together different views and approaches that aim to prevent/revert oxidative damage in our brains from the perspective of the cellular mechanisms that regulate essential processes in different brain cells. We hope that the variety of cellular processes and targets addressed in this reprint will be of interest to a broad audience fascinated by the regulation of brain function and how our brain defends itself against oxidative damage, which is typical of a wide range of brain pathologies.

Waldo Cerpa Editor





## Article Mild Traumatic Brain Injury Induces Mitochondrial Calcium Overload and Triggers the Upregulation of NCLX in the Hippocampus

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Abstract: Traumatic brain injury (TBI) is brain damage due to external forces. Mild TBI (mTBI) is the most common form of TBI, and repeated mTBI is a risk factor for developing neurodegenerative diseases. Several mechanisms of neuronal damage have been described in the cortex and hippocampus, including mitochondrial dysfunction. However, up until now, there have been no studies evaluating mitochondrial calcium dynamics. Here, we evaluated mitochondrial calcium dynamics in an mTBI model in mice using isolated hippocampal mitochondria for biochemical studies. We observed that 24 h after mTBI, there is a decrease in mitochondrial membrane potential and an increase in basal matrix calcium levels. These findings are accompanied by increased mitochondrial calcium efflux and no changes in mitochondrial calcium uptake. We also observed an increase in NCLX protein levels and calcium retention capacity. Our results suggest that under mTBI, the hippocampal cells respond by incrementing NCLX levels to restore mitochondrial function.

Keywords: traumatic brain injury; mitochondrial calcium; NCLX; hippocampus

#### 1. Introduction

Traumatic brain injury (TBI) is brain damage due to external forces produced by direct hits, acceleration, and deacceleration, among others [1]. The main causes of TBI are self-perpetrated harm, vehicle accidents, falls, and contact sports [2]. TBI is one of the leading causes of injury-related deaths and disability, with the male population being more affected by TBI than females [3]. Depending on the severity, TBI could be classified as mild, moderate, or severe. The first one is the most common form of TBI, comprising more than 80% of total cases [2]. mTBI is characterized by the absence of skull fracture (Glasgow Coma Score 13–15), the loss of consciousness that could be present briefly or absent, and headache, among other symptoms [4]. Importantly, in a low percentage of patients, symptoms could persist for up to 1 year, and they could develop post-concussive syndrome, i.e., behavioral changes and psychological symptoms [5]. mTBI and repeated mTBI have acquired more attention in recent years, given the importance of the long-term consequences and the fact that repeated mTBI has been recognized as a risk factor for developing neurodegenerative diseases, including Alzheimer's disease (AD) and chronic traumatic encephalopathy (CTE) [1,6].

The physical damage to the head affects the cortex and spreads to subcortical regions such as the hippocampus through gradients of pressure that cause vascular and axonal damage [7,8]. The hippocampus is a critical brain region involved in complex processing such as episodic and semantic memories, avoidance learning, and anxiety [9,10]. Thus, the hippocampal damage after TBI is related to psychological symptoms and memory

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problems in patients, and degeneration of the hippocampus is crucial in AD and other types of dementia.

TBI is characterized by developing two phases of brain damage, primary and secondary damage [11]. Primary damage involves the mechanisms triggered by the hit, such as hemorrhages and vasculature and axonal tract damage by the tensile and stress forces, among others [7]. Secondary damage develops in the next hours and days after the impact [11]. Neuronal damage mechanisms include the release of glutamate and excitotoxicity [12,13], neuroinflammation [14,15], oxidative stress [16,17], and mitochondrial dysfunction [18,19]. Mitochondrial bioenergetics is impaired soon after TBI in cortical and hippocampal mitochondria, with different severities, including mild [18,19]. Different chemical compounds have shown protective effects on mitochondrial bioenergetics and cell viability under TBI models, including the antioxidant MitoQ [20] and inhibitors of the mitochondrial permeability transition pore (mPTP) cyclosporine A [21] and NIM811 [22], indicating the role of calcium influx to the mitochondria in neuronal dysfunction. An important route of calcium entry into hippocampal neurons is the glutamate receptor N-methyl-D-aspartate receptor (NMDAR). Our lab has previously shown that NMDAR signaling and intracellular distribution are altered by mild TBI [23], suggesting that calcium dyshomeostasis is a good candidate for the promotion of mitochondrial dysfunction.

Calcium influx from the cytoplasm or membrane contact sites with the ER is mediated by the voltage-dependent anion channel (VDAC) in the outer mitochondrial membrane (OMM). In contrast, in the inner mitochondrial membrane (IMM), the main route for calcium entry into mitochondria is the mitochondrial calcium uniporter (MCU) complex [23]. It is composed of the channel protein MCU and its paralogue MCUb, as well as the essential MCU regulator (EMRE) and the regulatory proteins MICU1, MICU2, and MICU3 in the brain. The channel is a low-affinity calcium channel that drives the calcium influx depending on the mitochondrial membrane potential as a driving force and the calcium binding to the EF-hand domains in the regulatory proteins MICU1–MICU2 [24]. On the other hand, the calcium efflux is mainly driven by the mitochondrial sodium/calcium exchanger known as NCLX. Although the existence of a mitochondrial proton/calcium exchanger has been established, the molecular identity has been controversial, although Letm1 protein has been proposed for this role [24]. In the brain, the calcium efflux from the mitochondria is mainly mediated by NCLX [25,26], with a minor contribution from other exchangers. In some cases, the transient opening of the mPTP has also been proposed to regulate calcium efflux [27].

Until now, there has been no available information about either mitochondrial calcium uniporter (MCU) complex function or mitochondrial calcium dynamics after TBI in hippocampal mitochondria. Thus, we wanted to evaluate the changes in mitochondrial calcium dynamics after mild TBI, the most common TBI case, in the hippocampus. To do this, we used a mouse model of mild repeated TBI and evaluated mitochondrial calcium dynamics in hippocampal isolated mitochondria. We observed that intramitochondrial calcium levels are increased, and the mitochondrial membrane potential is decreased, two hallmarks of mitochondrial dysfunction. Interestingly, we observed that hippocampal mitochondria respond to this damage by increasing NCLX protein levels, suggesting regulatory mechanisms to alleviate calcium overload.

#### 2. Materials and Methods

#### 2.1. Animals

Male C57BL/6J mice, 8 weeks old, obtained from the animal care unit of Pontificia Universidad Católica de Chile (CIBEM) were housed in groups of between 3 and 5 animals per cage and maintained at 23 °C on a 12 h:12 h light–dark cycle with food and water *ad libitum*. The animals were treated and handled according to the National Institutes of Health guidelines for the care and use of laboratory animals (NIH Publications No. 8023, revised 1978, Baltimore, MD, USA).

#### 2.2. Antibodies and Reagents

The primary antibodies used were mouse anti-cyclophilin F (sc-376061, Sta. Cruz Biotech.), rabbit anti-MCU (HPA016480, Sigma, Atlas Antibodies, Bromma, SE), rabbit anti-MCUb (HPA048776, Sigma, Atlas Antibodies, Bromma, SE), rabbit anti-MICU2 (ab101465, abcam, Cambridge, UK), rabbit anti-MICU1 (HPA037480, Sigma, Atlas Antibodies, Bromma, SE), rabbit anti-MICU3 (PA5107178, Invitrogen, Carlsbad, CA, USA), rabbit anti-SLC24A6 (ab83551, abcam, Cambridge, UK), anti-TOM20 (sc-17764, Sta. Cruz Biotechnology, Inc., Dallas, TX, USA.), rabbit anti-COX IV (4844S, Cell Signaling, Danvers, MA, USA), rabbit anti-GAPDH (sc-25778, Santa Cruz Biotechnology, Inc., Dallas, TX, USA), mouse anti-VDAC1 (B-6) (sc-390996, Santa Cruz Biotechnology, Inc., Dallas, TX, USA), mouse anti-PSD-95 (7E3, sc-32290, Sta. Cruz Biotechnology, Inc., Dallas, TX, USA), rabbit anti-lamin B1 (ab16048, abcam, Cambridge, UK), mouse anti-PDI (A-1, sc-376370, Sta. Cruz Biotechnology, Inc., Dallas, TX, USA), mouse anti-cytochrome C (556432, BD Pharmingen, San Diego, CA, USA), mouse anti-Letm1 (sc-271235, Sta. Cruz Biotechnology, Inc., Dallas, TX, USA), and mouse anti-OSCP (sc-365162, Sta. Cruz Biotechnology, Inc., Dallas, TX, USA). All secondary antibodies used were obtained from Jackson Immunoresearch. Chemicals used: Tetramethyl rhodamine ethylester perchlorate (TMRE, T669 Invitrogen, Carlsbad, CA, USA), calcium indicator Calcium Green-5N Hexapotassium salt (C3737, Invitrogen, Carlsbad, CA, USA), Ru360 (557440 Merck Millipore, Burlington, MA, USA), ruthenium red (1439, Tocris, UK) cyclosporine A (CsA, 1101, Tocris, UK), CGP-37157 (1114, Tocris, UK).

#### 2.3. Mild Traumatic Brain Injury Induction

To induce mTBI, we adapted Maryland's weight drop model used for rats [28] to fit mouse anatomy as previously described [29–31]. Animals were randomly assigned to receive either sham or mTBI. Mice were subjected to 5 sessions of 3 blasts each with a 2-day interval in a frontal weight impact device. Sham animals were subjected to all procedures except injury induction.

#### 2.4. Mitochondrial Isolation

Mitochondrial isolation was performed as previously described [32] (Supplementary Figure S1). Briefly, both hippocampi from a mouse brain were dissected and lysed in MSH-BSA buffer (mannitol 225 mM, sucrose 75 mM, HEPES 5 mM, EGTA 1 mM, and BSA 0.2 mg/mL supplemented with protease inhibitor cocktail). The lysates were centrifuged at 500 g for 5 min. The supernatant was then centrifuged at  $14,000 \times g$  for 10 min. The pellet was resuspended in 200 µL of Percoll 12% dissolved in MSH (without BSA) and then gently transferred to 1 mL Percoll 24% dissolved in MSH. The gradient was centrifuged at  $18,000 \times g$  for 15 min. Then, the pellet was washed twice, and the final pellet was resuspended in MSH. The protein concentration was determined by a BCA Protein Assay Kit (Pierce) [29,32,33].

#### 2.5. Immunoblot

As previously described, immunoblots were performed with isolated mitochondria or whole hippocampal lysates. The hippocampi of treated or sham animals were dissected on ice and immediately processed. Briefly, the hippocampal tissue was homogenized in RIPA buffer (25 mM Tris-Cl, pH 7.6, 150 mM NaCl, 1% NP-40, 1% sodium deoxycholate, and 0.1% SDS) supplemented with a protease inhibitor mixture and phosphatase inhibitors (25 mM NaF, 100 mM Na<sub>3</sub>VO<sub>4</sub>, and 30  $\mu$ M Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>) using a homogenizer. The protein samples were centrifuged at 13,500 rpm for 15 min at 4 °C. The protein concentrations were determined using the BCA Protein Assay Kit (Pierce). The samples were resolved by SDS-PAGE, followed by immunoblotting on PVDF membranes. The membranes were incubated with the primary antibodies and corresponding peroxidase-conjugated antibodies (Jackson Immunoresearch, Inc.) and developed using an ECL kit (Westar Sun, Cyanagen, Bologna, Italy; Westar Supernova, Cyanagen, Bologna, Italy).

#### 2.6. Indirect Mitochondrial Membrane Potential Measurement

Mitochondrial membrane potential was determined by TMRE exclusion as previously described [34]. Briefly, 20 µg of mitochondrial protein in 100 µL of experimental buffer (KCl 125 mM, HEPES 20 mM, MgCl<sub>2</sub> 2 mM, KH<sub>2</sub>PO<sub>4</sub> 2.5 mM, BSA 0.1%, glutamate 5 mM, and malate 5 mM) was incubated for 10 min at 37 °C to allow the energization of mitochondria. Then, 100 µL 2 µM TMRE was added (final volume 200 µL and final TMRE concentration 1 µM) and incubated for 10 min at 37 °C. Finally, the suspension was centrifuged at 14,000× *g* for 5 min. For supernatant measurements, 100 µL of the supernatant was measured. The remaining supernatant was discarded, the pellet resuspended in a new 100 µL of buffer, and charged into a plaque for measurement. TMRE was measured at 514/570 nm excitation/emission in a fluorometer.

#### 2.7. Intramitochondrial Calcium Levels

Intramitochondrial calcium levels were determined as previously described [35,36]. In brief, 100 µg of hippocampal mitochondria was isolated in the presence of 10 µM ruthenium red and without EGTA. Washes were performed in the absence of EGTA. The mitochondrial pellet was diluted in 0.6 N HCl, homogenized, and sonicated. Then, samples were heated at 95 °C for 30 min and then centrifuged at  $10,000 \times g$  for 5 min. The supernatants were recovered, and the calcium content was determined spectrophotometrically using the O-Cresolphtalein Complexone Calcium Assay Kit (Cayman Chemical, Ann Arbor, MI, USA). The absorbance was measured at 570 nm.

#### 2.8. Calcium Uptake Assays

A mitochondrial calcium uptake assay was performed as previously described [36]. Briefly, 20  $\mu$ g of isolated mitochondria was resuspended in experimental buffer (KCl 125 mM, HEPES 20 mM, MgCl<sub>2</sub> 2 mM, KH<sub>2</sub>PO<sub>4</sub> 2.5 mM, BSA 0.1%, glutamate 5 mM, and malate 5 mM). Cell-impermeable calcium indicator Green-5N 5  $\mu$ M was added to the buffer. Fluorescence was measured at 506 nm excitation and 532 nm emission on a plate reader. First, the fluorescence was measured for 2 min every 20 s. Then, CaCl<sub>2</sub> 25  $\mu$ M (final concentration) was added and measured for 8 min every 20 s. Data were adjusted to basal and maximum values to calculate the slope (MCU complex activity). For the assessment of calcium efflux, we registered calcium uptake for 6 min, and then the suspension was incubated with both Ru360 5  $\mu$ M (final concentration) and NaCl 12 mM (final concentration). CGP-37157 20  $\mu$ M (final concentration) was added as a control when indicated.

For calcium retention capacity, we used a first calcium challenge with  $CaCl_2 25 \mu M$  (final concentration) and then  $CaCl_2 10 \mu M$  (final concentration). Fluorescence was measured for 2 min at the baseline and after each calcium challenge every 20 s. We performed the experiments using one sham and one mTBI animal each time. To avoid differences between readings we analyzed results with a paired *t*-test.

#### 2.9. Swelling Assay

A swelling assay was performed as previously described [35] with minor modifications. Mitochondrially induced swelling was measured spectrophotometrically as a decrease in absorbance at 540 nm. Fifty micrograms of isolated hippocampal mitochondria was resuspended in a total volume of 100  $\mu$ L of swelling buffer (KCl 120 mM, Tris-HCl 10 mM, MOPS 5 mM, Na<sub>2</sub>HPO<sub>4</sub> 5 mM, glutamate 10 mM, malate 2 mM, and EGTA 0.1 mM). The swelling was induced by the addition of 100  $\mu$ M CaCl<sub>2</sub> while monitoring absorbance. When indicated, ruthenium red (10  $\mu$ M) or CsA (1  $\mu$ M) was added.

#### 2.10. Electron Microscopy

The sample was fixed in glutaraldehyde 2.5% and prepared in sodium cacodylate buffer 0.1 M pH 7.0 for 16 h. Then, the samples were washed 3 times for 20 min each and then were postfixed with osmium tetroxide 1% in water for 90 min. Then, samples were washed 3 times for 20 min each and stained in blocks with uranyl acetate 1% in water for 60 min. Samples were dehydrated in acetone battery: 50, 70, 95, 100, and 100% for 20 min each, and left overnight in a mixture of epoxide:acetone 1:1, and then pure epoxide for 4 h. The samples were embedded in pure resin and polymerized in a stove at 60 °C for 48 h. Thin slices (70–80 nm) were obtained with a Leica Ultracut R ultramicrotome, placed on a copper grid, and stained with uranyl acetate 4% in methanol for 1 min and lead citrate according to Reynolds for 4 min. Images were taken using a Philips Tecnai 12 electron microscope at 80 kV in the Unidad de Microscopía Avanzada belonging to the Biological Sciences Faculty at Pontificia Universidad Católica de Chile.

#### 2.11. Statistical Analysis

Data and statistical analysis were performed using Prism8 software (GraphPad 8 Software). All data are expressed as mean  $\pm$  SEM and relativized to sham animals when indicated. Student's *t*-test was used when two groups were compared. Two-way ANOVA with Bonferroni post hoc analysis was used in the swelling assay when two experimental conditions and time were evaluated simultaneously. The number of independent experiments "*n*" is indicated in every figure. A *p* < 0.05 value was considered significant.

#### 3. Results

#### 3.1. Mitochondrial Isolation

First, we performed mitochondrial isolation from hippocampal tissue to evaluate the mitochondrial calcium dynamic. Mitochondria in the brain could be experimentally separated into "synaptic mitochondria" and "non-synaptic mitochondria". Synaptic mitochondria correspond to presynaptic mitochondria contained in synaptic boutons and experimentally obtained from synaptosomes. On the other hand, non-synaptic mitochondria correspond to those mitochondria found in the soma, dendrites, and axons in neurons, but also mitochondria found in glial cells [37] (Figure 1A). Considering that, in neurons, the calcium influx through glutamate receptors is of particular interest in the context of mTBI, we decided to perform the experiments in the "non-synaptic" mitochondrial fraction, containing dendritic and glial mitochondria, directly exposed to glutamate and glutamate spillover. We assessed the purity and structure of our fraction using immunoblot and electron microscopy (Figure 1B,C). We evaluated the total lysate from hippocampal tissue, pellet P1 containing nuclei and cell debris, supernatant S2, containing cytosol and microsomes, and the pellet P2 was divided between mitochondrial fraction (Mito) and the upper fraction after a Percoll gradient containing synaptosomes and myelin (SM). We evaluated different protein markers: PSD-95 for synaptosomes, lamin B1 for nuclei, GAPDH for cytosol, MCU and cytochrome C (Cyt C) for mitochondria, and PDI for ER. We observed that the mitochondrial fraction is enriched in mitochondrial proteins MCU and Cyt C with minor contamination of synaptosomes (PSD-95) (Figure 1B). We did not observe Cyt C in S2, suggesting mitochondrial integrity. As expected, we observed mitochondrial proteins in synaptosomes containing presynaptic mitochondria. We performed transmission electron microscopy of the obtained mitochondrial fractions to evaluate the mitochondrial structure. We observed that most structures correspond with mitochondria, containing both outer and inner membranes with cristae (Figure 1C). We also observed synaptosomes in a minor proportion, as expected by immunoblots results.



**Figure 1.** Mitochondrial isolation. (A) Scheme of mitochondrial distribution in the brain cells. (B) Immunoblot assessment of isolation protocol. It shows total lysate, first precipitant (P1), second supernatant (S2), synaptosomes + myelin after Percoll gradient (SM), and mitochondrial pellet (Mito). It shows synaptosomal marker PSD95, nuclear marker lamin B1, cytosolic marker GAPDH, two mitochondrial markers MCU and Cyt C, and endoplasmic reticulum marker PDI. (C) Electron microscopy of mitochondrial fraction seen at  $8200 \times$  and  $43,000 \times$ . Upper image scale bar 3.0 µm. Lower image scale bar 0.6 µm.

#### 3.2. Mitochondrial Membrane Potential Decreases Soon after mTBI

Then, we indirectly evaluated the mitochondrial membrane potential of the isolated mitochondria derived from our sham or mTBI-submitted mice. To do this, we used the exclusion of the positively charged dye tetramethylrhodamine (TMRE). As we have previously published, there was cognitive impairment one week after the mTBI protocol [30]. So, we evaluated mitochondrial membrane potential at this point, and we did not observe differences between experimental groups (Figure 2A) (TMRE in the supernatant, unpaired two-tailed *t*-test *t* = 0.04425, *p* = 0.9659; TMRE in mitochondrial pellet, unpaired two-tailed *t*-test *t* = 0.4725, *p* = 0.6509; sham *n* = 4, mTBI *n* = 5). Since other studies have suggested that mitochondrial bioenergetic impairment occurs early [18,19] in mTBI pathophysiology, we decided to evaluate 24 h after the mTBI protocol. We observed a decrease in TMRE signal in the mitochondrial fraction with a consistent increase in the supernatant, suggest-

ing decreased mitochondrial membrane potential (Figure 2B) (TMRE in the supernatant, unpaired two-tailed *t*-test t = 3.083, p = 0.0177; TMRE in mitochondrial pellet, unpaired two-tailed *t*-test t = 3.580, p = 0.0373; sham n = 5, mTBI n = 4).



**Figure 2.** mTBI induces early and partial mitochondrial depolarization. (**A**) TMRE exclusion assay in mTBI-derived mitochondria 24 h after protocol indicating relative levels of TMRE in supernatant and mitochondria. (**B**) TMRE exclusion assay in mTBI-derived mitochondria one week after protocol. Student's *t*-test for supernatant and mitochondria separately. \* p < 0.05.

#### 3.3. mTBI Increases Intramitochondrial Calcium Content and Calcium Efflux in Hippocampal Mitochondria

Two hallmarks of mitochondrial damage are decreased membrane potential and mitochondrial calcium overload. Thus, we evaluated intramitochondrial calcium (IMC) levels in our mitochondrial fraction. To do this, we isolated our mitochondrial fraction in the presence of ruthenium red, a known non-selective inhibitor of the MCU channel, to avoid calcium influx during fraction preparation. Once the mitochondrial fraction was obtained, we obtained lysates containing matrix components, and we spectrophotometrically measured calcium levels on the mitochondrial matrix. We observed a marked increase of around 50% in IMC levels (Figure 3A) (unpaired two-tailed *t*-test *t* = 3.232, *p* = 0.0231, sham n = 4, mTBI n = 3). We then wanted to directly explore the activity of the MCU complex using the non-permeable calcium indicator Calcium Green-5N. We stimulated calcium uptake using 25  $\mu$ M CaCl<sub>2</sub> and we fluorometrically monitored extramitochondrial calcium.

Traces showed calcium uptake in mitochondrial fraction derived from both groups, sham and mTBI (Figure 3B). Error bars were removed for better visualization (graphs with error bars are found in Supplementary Figure S2A) We used ruthenium red as an MCU blocker to corroborate that a decrease in fluorescence is mediated by the MCU activity (Figure 3B). To quantify MCU activity, we measured the slope of the register after calcium stimuli. We observed a slight decrease in MCU activity in the mTBI-derived mitochondrial fraction, despite no statistical differences (Figure 3C) (paired two-tailed *t*-test, t = 1.210, p = 0.1565, sham and mTBI n = 4). Then, to analyze the mitochondrial calcium efflux in our mitochondrial fraction, we used the same paradigm with the calcium indicator Calcium Green-5N and stimulated calcium uptake with 25  $\mu$ M CaCl<sub>2</sub>, but after calcium uptake, we stopped calcium influx with the MCU inhibitor Ru360 and stimulated calcium efflux by adding sodium to the milieu. We observed an increase in the fluorescence product of the calcium release (Figure 3D and Supplementary Figure S2B). To quantify calcium efflux, we assessed the slope of fluorescence increase. We observed an increase in calcium efflux in mitochondrial fractions derived from mTBI-submitted animals (Figure 3E) (unpaired two-tailed *t*-test, t = 3.086, p = 0.0367, sham and mTBI n = 3). Since the mitochondrial sodium/calcium exchanger NCLX is the main route of calcium efflux, we assessed the source of the calcium with the NCLX inhibitor CGP-37157 (Figure 3D,E). These results indicate that 24 h after our mTBI protocol, hippocampal mitochondria increased NCLX activity while MCU activity remained unchanged.



**Figure 3.** mTBI induces calcium overload and increased calcium efflux. (**A**) IMC relative to sham levels was measured spectrophotometrically. n = 4 for sham and 3 for mTBI. (**B**) Mitochondrial calcium uptake in isolated mitochondria measuring extramitochondrial calcium with impermeable sensor Calcium Green-5N. (**C**) Slope quantification of B. n = 4. (**D**) Mitochondrial calcium uptake and efflux measured fluorometrically as performed in B. The red square indicates the magnification of efflux curves. (**E**) Slope quantification of D. n = 3. Student's *t*-test for IMC and slopes. \* p < 0.05.

## 3.4. mTBI Increases the Protein Levels of the Mitochondrial Sodium/Calcium Exchanger NCLX in Hippocampal Mitochondria

To determine if changes in calcium influx/efflux have a molecular correlation, we evaluated the respective protein levels in the mitochondrial fraction (Figure 4A,B). We evaluated the protein components of the MCU complex: MCU, MCUb, MICU1, MICU2, and MICU3. We observed that none of the core protein components of the MCU complex changed their expression after mTBI, in accordance with the absence of changes in MCU activity (Figure 4A,B) (unpaired two-tailed *t*-test. For MCU t = 0.2344, p = 0.8262; for MCUb t = 0.02810, p = 0.9789; for MICU1 t = 0.6869, p = 0.5299; for MICU2 t = 1.249, p = 0.2797sham and mTBI n = 3). However, the brain-enriched MICU protein, MICU3, is the only protein that shows an increase after mTBI (unpaired two-tailed *t*-test, t = 3.744, p = 0.0028sham and mTBI n = 7) (Figure 4A,B and Supplementary Figure S3). We also evaluated the mitochondrial sodium/calcium exchanger NCLX and observed an increased protein expression in mitochondrial fractions (unpaired two-tailed *t*-test, t = 2.808, p = 0.0484, sham and mTBI n = 3, consistent with increased activity of the exchanger. We also evaluated Letm1, a proton/calcium antiporter of the inner mitochondrial membrane. We observed a slight increase in Letm1 protein levels which are not statistically significant (Figure 4A,B) (unpaired two-tailed *t*-test, t = 2.017, p = 0.1139, sham and mTBI n = 3). To confirm that the above-mentioned results are not the product of changes in mitochondrial mass, we assessed mitochondrial housekeeping proteins in whole-hippocampal lysates. We evaluated the cytochrome c oxidase subunit IV (COX IV), the voltage-dependent anion channel (VDAC), and the import-machinery protein Tom20. We did not observe differences in any of the mitochondrial housekeeping proteins (Figure 4C,D) (unpaired two-tailed t-test. For COX IV, *t* = 0.3471, *p* = 0.7357 sham and mTBI *n* = 6; for Tom20 *t* = 0.4280, *p* = 0.6907; for VDAC



t = 0.1582, p = 0.8820, sham and mTBI n = 3), indicating that NCLX protein levels are not increased because mitochondrial mass changed.

**Figure 4.** NCLX levels increased in mTBI mitochondria. (**A**) Immunoblot of mitochondrial fractions. It shows different MCU complex proteins: MCU, MCUb, MICU1, MICU2, and MICU3. It also shows sodium/calcium exchanger NCLX and proton/calcium exchanger Letm1. VDAC was used as the loading control. (**B**) Quantification of A. n = 3. For MICU3, n = 7. (**C**) Immunoblot of total hippocampal lysates. It shows two mitochondrial mass markers: TOM20, COX IV, and VDAC. GAPDH was used as the loading control. (**D**) Quantification of C. n = 3. For COX IV n = 6. Student's *t*-test for every protein measured. \* p < 0.05; \*\* p < 0.01.

#### 3.5. mTBI Increases the Calcium Retention Capacity in Hippocampal Mitochondria

We next decided to evaluate the sensitivity of our mitochondrial fractions to trigger mPTP, or the calcium retention capacity (CRC), given that calcium overload is one of the signals that triggers mPTP, and it is associated with cell death signaling pathways. Using the same paradigm measuring extramitochondrial calcium with the calcium indicator Calcium Green-5N, we performed several stimulations every 2 min. We started with stimulation of 25  $\mu$ M CaCl<sub>2</sub>, and then, subsequent stimulations were 10  $\mu$ M CaCl<sub>2</sub> (Figure 5A and Supplementary Figure S4A). To quantify the CRC, we plotted the inverse of the area under the curve, and surprisingly we observed a mild, but significant, increase in CRC (Figure 5B) (paired two-tailed *t*-test, *t* = 10.22, *p* = 0.0020, sham and mTBI *n* = 4). We also evaluated the mPTP opening using a stronger calcium stimulation in a swelling assay. We monitored absorbance at 540 nm before and after stimulation with 100  $\mu$ M CaCl<sub>2</sub> and observed that both sham and mTBI-derived mitochondrial fractions decreased absorbance, indicating

mitochondrial swelling (Figure 5C and Supplementary Figure S4B). There was no difference between the registers (two-way ANOVA, time: F = 7.730, p < 0.0001; treatment: F = 1.215, p = 0.3322; interaction: F = 1.188, p = 0.2696; subject: F = 19.52, p < 0.0001; Bonferroni post hoc analysis, p > 0.05 for every point; n = 3 for sham and mTBI). To identify any change in molecular players of the mPTP opening, we analyzed protein levels of cyclophilin D (CypD), the gatekeeper of mPTP opening, and oligomycin-sensitive conferring protein (OSCP), an ATPase subunit that regulates mPTP opening. We did not observe changes in either protein, CypD and OSCP, but a tendency to increase in CypD is reported (Figure 5D) (unpaired two-tailed *t*-test. For CypD t = 1.883, p = 0.1329; for OSCP t = 0.4528, p = 0.6666, sham and mTBI n = 3 for CypD and n = 4 for OSCP). All these data suggest mild effects on mPTP opening, with a mild delay in opening in mTBI-derived isolated mitochondria.



**Figure 5.** mTBI increases calcium retention capacity. (**A**) Calcium retention capacity (CRC). Isolated mitochondria were submitted to several calcium challenges starting with 25  $\mu$ M, and then 10  $\mu$ M stimuli. (**B**) The CRC was quantified as the inverse of the area under the curve of the entire register. *n* = 4. (**C**) Swelling assay. Isolated mitochondria were stimulated with 100  $\mu$ M calcium and followed spectrophotometrically at 540 nm. When indicated, ruthenium red 10  $\mu$ M or cyclosporine A 1  $\mu$ M was used. *n* = 3. (**D**) Immunoblot analysis for two proteins involved in mPTP opening: CypD (*n* = 3) and OSCP (*n* = 4). Student's *t*-test for 1/AUC and immunoblots; two-way ANOVA, and Bonferroni post hoc analysis for swelling assay. \*\* *p* < 0.01.

#### 3.6. mTBI Increases NCLX Protein Levels in Mitochondria Contained in Synaptosomes

Finally, we decided to evaluate if the mitochondria contained in synaptosomes also showed the same response to mTBI as our mitochondrial fraction. Therefore, we evaluated NCLX and MCU protein levels in these preparations, and we observed an increase in NCLX protein levels while MCU levels remain unaltered (Figure 6A,B) (unpaired two-tailed *t*-test. For NCLX t = 2.544, p = 0.0438; for MCU t = 1.448, p = 0.1977, sham and mTBI n = 4), suggesting that NCLX upregulation could be a global response in hippocampal cells.



**Figure 6.** mTBI increases NCLX protein levels in synaptic mitochondria. (**A**) Immunoblot of mitochondrial proteins contained in synaptosomes. It shows NCLX and MCU. PSD-95 was used as a loading control. (**B**) Quantification of NCLX and MCU. n = 4. \* p < 0.05.

All these data suggest that after mTBI, the mild and early alterations in mitochondrial function characterized by decreased mitochondrial membrane potential and increased calcium content may trigger a cellular response to increase NCLX protein levels to decrease calcium content.

#### 4. Discussion

Using an mTBI mouse model, we found signals of early mitochondrial dysfunction such as decreased mitochondrial membrane potential and increased basal intramitochondrial calcium levels. We also found an increase in MICU3 and NCLX expression in the mouse hippocampus and increased NCLX activity. Given that mitochondrial membrane potential is restored one week after our mTBI protocol, we believe that NCLX upregulation is a compensatory mechanism of hippocampal cells to restore mitochondrial function.

It has been described that NCLX is a key player in mitochondrial calcium homeostasis. In fact, NCLX transports calcium slower than the MCU complex, becoming the ratelimiting step in calcium transients in the mitochondria [38]. The importance of NCLX in cell physiology is evident in the heart, where cardiomyocytes display many mitochondria. The conditional deletion of NCLX in cardiomyocytes produces premature cell death by heart failure [39]. On the other hand, the MCU conditional KO in cardiomyocytes did not show basal phenotype in the CD1 mouse strain [35], possibly explained by other calcium channels in the IMM.

Moreover, in AD patients and mouse models, the protein levels of NCLX are downregulated while MCU protein levels remained unchanged [40], suggesting that in the brain, the loss of calcium efflux from the mitochondria is critical for proper organ function. In our results, acute pathology such as mTBI increases NCLX protein levels, contrary to chronic pathology such as AD [40]. It is expected that under acute and mild pathology, the compensatory mechanisms reestablished normal cell function such as mitochondrial bioenergetics, which was reestablished 96 h after mTBI [18], while in the AD model, the mitochondrial energy production is persistently impaired [41,42].

NCLX KO mice have been generated, and interestingly the brain slices from these mice showed impaired synaptic transmission [43]. Considering these data, we believe that upregulation of NCLX could be a reasonable response of the hippocampal cell to acute mitochondrial damage by mTBI over other possible mechanisms, such as the regulation of MCU activity. The regulatory mechanism of the NCLX gene remains unknown. The transcription factors and coregulators that govern NCLX gene (*Slc8b1*) expression remain undescribed. However, there are several signaling pathways altered after mTBI that could contribute to a shift in the activation state of several transcription factors; for example, our lab has previously described alterations in NMDAR signaling 1 week after mTBI [30].

The increase in NCLX protein levels as a compensatory mechanism to acute damage seems to agree with other compensatory mechanisms. The severity of TBI produces different outcomes in mitochondrial dynamics proteins. A study revealed that under mTBI the fusion protein machinery Opa1, Mfn1, and Mfn2 are increased, while the fission protein machinery Drp1 and Fis1 are decreased. On the other hand, severe TBI produces the net opposite effect, an increase in fission protein machinery and a decrease in fusion protein machinery [44]. The prevalence of mitochondrial fusion after mTBI suggests a compensatory mechanism to avoid apoptosis and increase ETC activity [44]. We believe that NCLX upregulation is in the same line, avoiding calcium overload and apoptosis. Moreover, in our mTBI system, we reproduced the changes in mitochondrial dynamics proteins using whole hippocampal lysates with increased Mfn2 and Opa1 protein levels and decreased Drp1 protein levels (Supplementary Figure S5), arguing in favor of this hypothesis. Mitochondrial fusion helps to alleviate mitochondrial stress and avoid apoptotic cell death [45]. Mfn2, in fact, has been suggested to play a crucial role in the mitochondrial network balance in neurons after oxygen/glucose deprivation, coordinating mitophagy and mitochondrial biogenesis [46]. Indeed, the mitochondrial biogenesis master regulator peroxisome proliferator-activated receptor  $\gamma$  coactivator 1 $\alpha$  (PGC-1  $\alpha$ ) increases protein expression of Mfn2 [47], which in turn, regulates Parkin clustering to mitochondria by PINK1-mediated phosphorylation [48]. We did not observe changes in mitochondrial mass (Figure 4C,D) after our mTBI protocol, which could be explained by the coordination of both mitochondrial biogenesis and mitophagy to renew the mitochondrial network, however, if both processes are cooccurring remains to be determined.

Indeed, the mechanisms of mitochondrial response to mTBI are complex and involve different aspects of mitochondrial physiology. For example, it has been described that the NCLX function is dependent on mitochondrial membrane potential [49] and MCU function. As we observed a decreased mitochondrial potential, the driving force for calcium influx is decreased, but also the driving force for calcium efflux. Therefore, NCLX upregulation seems logical as a compensatory mechanism to extrude the excess calcium. There is also posttranslational regulation in both the MCU complex and NCLX [24]. Since we did not observe changes in calcium influx after mTBI, MCU posttranslational regulation seems unlikely, although we could not discard that option. In the counterpart, the phosphorylation of Ser-258 on NCLX by PKA increases the exchanger's activity [50]. In this study, we could not immunoprecipitate NCLX to evaluate its phosphorylation state, and currently, there are no commercial antibodies for NCLX-pS258. However, we believe that increased phosphorylation of the exchanger after mTBI is unlikely, given that it has been reported that cAMP signaling and PKA activity after TBI are decreased [51], although we have not evaluated this signaling pathway in our mTBI model.

We observed that increased NCLX protein levels are also present in mitochondria contained in synaptosomes (Figure 6). The mitochondrial fraction used in this study contains mitochondria derived from neurons (dendrites, axons, and soma), but also glial cells. Mitochondria contained in synaptosomes are neuronally derived exclusively. Thus, we could confirm that NCLX upregulation is occurring in neurons. However, the astroglial contribution might be important and remains to be explored. In fact, disrupting the astroglial expression of NCLX in vitro make neurons more vulnerable to excitotoxic stimuli [52], suggesting that NCLX function in astrocytes impacts neuronal viability. Furthermore, NCLX in astrocytes regulates gliotransmission and proliferation [53]. Hence, the contribution of increased NCLX in astrocytes in the context of mTBI is of particular interest for future studies.

We also observed an increase in MICU3 protein levels. MICU3 has been described as an enriched MICU protein in the brain compared to other tissues, and it has been described as a potentiator of mitochondrial calcium uptake [54]. Surprisingly, we did not observe changes in mitochondrial calcium uptake. We suggest two possibilities. First, posttranslational modifications in MCU protein could decrease calcium uptake that is contra-rested with the increased expression of MICU3, reestablishing MCU function. Second, the enrichment of

MICU3 in the brain has not been extensively studied yet, so, we could not rule out other functions for the MICU3 protein.

Interestingly, we observed an increase in CRC, although we observed a slight increase in CypD and no differences in the swelling assay. The relevance of mPTP in the pathophysiology of TBI has been studied using mPTP inhibitors such as cyclosporine A [21] or NIM811 [22], which improve memory performance and mitochondrial bioenergetics, but also using CypD knockout mice [55]. The KO of CypD when submitted to mTBI showed partial amelioration of synaptic impairment produced by mTBI in the somatosensory cortex. This study suggests that mPTP opening contributes partially to synaptic dysfunction [55], although there are no data about mitochondrial performance. In our model, consisting of repetitive mild traumas, the effect of mPTP in the pathophysiology is not clearly observed. We can speculate that if mPTP is crucial in cognitive impairment and cell death, it might play a role very early in cellular events. The main reason we did not observe changes is the temporal resolution of our study. As we are observing a cellular response to increase NCLX protein levels, other mechanisms could be driving an mPTP inhibition not directed by CypD. In this way, recent evidence points to Drp1 as a new contributor to mPTP opening and overopening in hypoxia in vitro models [56]. Notably, we observe a decrease in Drp1 protein levels that may regulate mPTP to a closed state in our model and in our window of time. Recently described mechanisms include circular RNAs in mPTP opening regulation [48,49], a poorly described regulatory pathway, and absent in mTBI research. On the contrary, with chronic pathology such as AD where CRC is decreased, in our acute mTBI model CRC is slightly increased. The overexpression of NCLX in the AD model also helped to increase CRC [40], although we could not explain the phenomenon observed by us regarding NCLX expression given subtle differences in experimental procedures.

#### 5. Conclusions and Perspectives

Taken together, we showed that under mild TBI, mitochondrial membrane potential decreases, basal intramitochondrial calcium increases, and NCLX is upregulated as a compensatory mechanism. We describe for the first time that NCLX protein could be upregulated under acute pathology in the hippocampus and emerge as a new therapeutic target for neuropathology as a key regulatory element in mitochondrial calcium homeostasis.

Supplementary Materials: The following supporting information can be downloaded at: https:// www.mdpi.com/article/10.3390/antiox12020403/s1, Supplementary Figure S1: Methodological figure, Supplementary Figure S2: Extended Data Figure 3. Supplementary Figure S3: Extended Data Figure 4. Supplementary Figure S4: Extended Data Figure 5. and Supplementary Figure S5: mTBI induces upregulation of fusion proteins and decrease Drp1 protein levels.

Author Contributions: R.G.M. and W.C. designed the experiments and R.G.M. performed the experimental procedures, the data analysis, and wrote the manuscript. W.C. and R.A.Q. revised the manuscript. All authors have read and agreed to the published version of the manuscript.

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### Article Human Microglia Synthesize Neurosteroids to Cope with Rotenone-Induced Oxidative Stress

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Abstract: We obtained evidence that mouse BV2 microglia synthesize neurosteroids dynamically to modify neurosteroid levels in response to oxidative damage caused by rotenone. Here, we evaluated whether neurosteroids could be produced and altered in response to rotenone by the human microglial clone 3 (HMC3) cell line. To this aim, HMC3 cultures were exposed to rotenone (100 nM) and neurosteroids were measured in the culture medium by liquid chromatography with tandem mass spectrometry. Microglia reactivity was evaluated by measuring interleukin 6 (IL-6) levels, whereas cell viability was monitored by the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay. After 24 h (h), rotenone increased IL-6 and reactive oxygen species levels by approximately +37% over the baseline, without affecting cell viability; however, microglia viability was significantly reduced at 48 h (p < 0.01). These changes were accompanied by the downregulation of several neurosteroids, including pregnenolone, pregnenolone sulfate, 5α-dihydroprogesterone, and pregnanolone, except for allopregnanolone, which instead was remarkably increased (p < 0.05). Interestingly, treatment with exogenous allopregnanolone (1 nM) efficiently prevented the reduction in HMC3 cell viability. In conclusion, this is the first evidence that human microglia can produce allopregnanolone and that this neurosteroid is increasingly released in response to oxidative stress, to tentatively support the microglia's survival.

Keywords: allopregnanolone; microglia; neuroinflammation; neurosteroids; reactive oxygen species; rotenone

#### 1. Introduction

Neurosteroids are a family of molecules produced in the brain by metabolizing pregnenolone or converting peripherally synthesized steroids [1]. Allopregnanolone is considered the major representative steroid of the family and displays neuromodulatory properties mediated by membrane receptors, such as the  $\gamma$ -aminobutyric acid type A receptor (GABA<sub>A</sub>) [2]. Apart from generating chloride inhibitory currents in neurons, GABA<sub>A</sub> has been proposed to mediate some anti-inflammatory properties of allopregnanolone [3]. However, the GABA<sub>A</sub> mechanism appeared to be just one of those possibly involved in modulating the anti-inflammatory properties of neurosteroids [4]. Additionally, allopregnanolone has been shown to possess neuroprotective properties [5], and a reduction in allopregnanolone availability has been implied in various neurological disorders, including multiple sclerosis [6], Parkinson's disease [7], Alzheimer's disease [8], and epilepsy [9].

Although the presence of neurosteroids in the human brain has been well established in early studies using radioimmunoassays [10–12], the sources of human neurosteroids

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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). are still poorly characterized, and the current knowledge is mainly based on information from in vitro studies with human cell lines [13,14] and postmortem analyses of supposedly healthy donors or patients with different neurological disorders [15–17]. Based on these experiments, it has been suggested that neurosteroids are produced in the human brain thanks to oligodendrocytes that synthesize and release pregnenolone to fuel the production of other cognate molecules by neurons and astrocytes [18,19]. In particular, evidence supporting the synthesis of allopregnanolone in the human brain has been obtained by experiments using surgical specimens from patients with epilepsy [20]. However, it is undetermined which human brain cell type may produce allopregnanolone, although in rats, neurons have been indicated as the probable source of this neurosteroid [21].

Mouse microglia were found to express some steroidogenic enzymes capable of metabolizing dehydroepiandrosterone and androstenediol [22]. Subsequently, evidence was obtained to suggest that human microglia can produce pregnenolone, but it remained undetermined if other neurosteroids could be metabolized by these cells [14,23]. This is a relevant issue because microglia are one of the possible targets involved in the beneficial properties of allopregnanolone, which could be released by microglia to also exert autocrine effects. Recently, allopregnanolone properties have been characterized in cultured BV2 microglial cells and also in primary microglia cultures, disclosing an array of modulatory effects on phagocytosis and morphology, which markedly changed in the experimental conditions able to reproduce the interruption of the blood–brain barrier functions, as it occurs in several neurological disorders characterized by neuroinflammation [24]. Indeed, microglia are a major player not only in protecting the brain from aggression by pathogens [25], but also in mediating neuroinflammation [26], especially when the inflammatory process becomes chronic [27].

In the presence of a lesion, microglia become reactive, which means that microglial cells change their morphology to become ameboid with shorter and thicker pseudopodia and increase their expression of an array of proteins [28], of which the most popular to characterize their reactivity is the ionized calcium-binding adapter molecule 1 (Iba1) [29]. Indeed, the most important products synthesized and released to promote neuroinflammation by reactive microglia include interleukins (ILs), such as IL-1 $\beta$  and IL-6, tumor necrosis factor- $\alpha$ , interferon- $\gamma$ , chemokine (C-C motif) ligand 2, chemokine (C-X3-C motif) ligand 1, and the C-X-C motif chemokine ligand 10; also, glutamate and nitric oxide are released by microglia during inflammation to promote tissue damage [30].

Rotenone is an inhibitor of the mitochondrial complex I, which produces oxidative damage [31]. This property has been exploited to reproduce features of Parkinson's disease both in vitro and in vivo [32]. We recently described the cytotoxic effects of rotenone at 100 nM on cultured mouse BV2 microglial cells, which were related to the induction of reactive oxygen species (ROS) able to reduce microglia survival by 50% [33]. In such conditions, we described a profound derangement of neurosteroid metabolism, which was characterized by a remarkable reduction in pregnenolone sulfate and allopregnanolone levels and, at variance, increased levels of  $5\alpha$ -dihydroprogesterone ( $5\alpha$ -DHP) and pregnanolone. These findings represented the first evidence of the capability to synthesize neurosteroids by murine microglia, but it is unknown whether human microglia could operate similarly, as questioned by other investigators who found that human microglia cell lines were able to produce pregnenolone [23].

Thus, in the present experiments, we aimed at evaluating if the human microglia could also be able to synthesize neurosteroids and if this property may be modified by challenging microglial cells with rotenone at the same dose used in our previous work to induce ROS production and cell death [33]. To disclose the activating effects of rotenone on the human microglial clone 3 (HMC3) cell line, we evaluated the changes in IL-6 levels and ROS in the culture medium. We also assessed HMC3 viability after 24 h (h) and 48 h of exposure to rotenone and the modifying effects of allopregnanolone added to cell cultures. These experiments revealed that human microglia can produce neurosteroids and that this property can be modified by rotenone-induced oxidative stress.

#### 2. Materials and Methods

#### 2.1. Chemicals and Reagents

Eagle's minimum essential medium (EMEM) was purchased from ATCC (Manassas, VA, USA). Penicillin, streptomycin, fetal bovine serum (HyClone Laboratories, Logan, UT, USA), 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), rotenone, and the human IL-6 enzyme-linked immunosorbent assay (ELISA) kit were purchased from Merck Life Science (RAB0306, Milan, Italy); 2,7 dichlorodihydrofluorescein diacetate (H2DCFDA) was from Invitrogen (Thermo Fisher Scientific, Waltham, MA, USA); Hank's Balanced Salt Solution (HBSS) was obtained from Gibco (Thermo Fisher Scientific, Waltham, MA, USA). All other chemical reagents were HPLC grade. The certified standard for the investigated neurosteroids and the isotope-labeled internal standards (allopregnanolone-2,2,3,4,4-d5 and pregnenolone-20,21-13C2-16,16-d2 sulfate sodium salt) were supplied as pure substances or solutions (100  $\mu$ g/mL) from Merck Life Science. AmplifexTM-Keto Reagent Kit and Discovery DSC-18 SPE cartridges (100 mg; 1 mL) were also purchased from Merck Life Science. Acetonitrile (ACN), methanol, formic acid (FA), and ammonium formate (AmmF) were of liquid chromatography-mass spectrometry (LC-MS) purity grade (Merck Life Science); ultra-pure water was obtained by a Milli-Q Plus185 system (Millipore, Milford, MA, USA).

#### 2.2. Cell Culture

HMC3 microglia (ATCC, Manassas, VA, USA)were grown in EMEM supplemented with 100 U/mL penicillin, 10  $\mu$ g/mL streptomycin, and 10% fetal bovine serum (FBS) and kept in a humidified incubator at 37 °C with 95% O<sub>2</sub>/5% CO<sub>2</sub>. For all experiments, cells were grown to 80–90% confluency and then subjected to no more than 20 cell passages.

#### 2.3. IL-6 Quantification

To evaluate the production and release of IL-6, we exposed the HMC3 cells to rotenone (100 nM) for 24 h and 48 h. For quantification, we used the IL-6 ELISA kit. Briefly, 100  $\mu$ L of each standard and samples (culture media) were added to wells of a 96-well plate. The plate was covered and incubated for 2.5 h at room temperature. After discarding the standard and samples, the plate was cleaned four times with a washing solution. After that, the detection antibody (100  $\mu$ L) was added to each well, and the plate was incubated at room temperature for 1 h with gentle shaking. Following four washes with washing solution, 100  $\mu$ L of horseradish peroxidase–streptavidin was added to each well. The plate was subsequently incubated for 45 minutes (min) at room temperature and washed four times with the washing solution. After that, 100  $\mu$ L of the ELISA colorimetric 3,3,5,5–tetramethylbenzidine reagent was added to each well for 30 min at room temperature and covered. A total of 50  $\mu$ L of stop solution was added, and the plate was read at 450 nm immediately on the microplate reader (Multiskan FC Microplate, Thermo Fisher Scientific, Waltham, MA, USA). All the values obtained with the ELISA assay were normalized with the total protein content of each sample (cell lysate according to the Bradford method).

#### 2.4. Determination of ROS

The ROS generation was determined using the fluorogenic probes H2DCFDA according to the manufacturer's instructions. Briefly, HMC3 cells were seeded in a 96-well plate (at a density of 10,000 cells/well) and maintained in complete culture media for 24 h. Afterward, cells were washed once with HBSS and incubated for 45 min at 37 °C with 10  $\mu$ M H2DCFDA dye. After incubation, the dye was removed and cells were treated for 24 h with rotenone 100 nM, control medium, or H<sub>2</sub>O<sub>2</sub> used as a positive control. Cell staining was performed in HBSS. The emitted fluorescence intensity was measured using a Fluoroskan FL Microplate Fluorometer (Thermo Fisher Scientific, Waltham, MA, USA) with wavelengths of 485 nm (excitation) and 520 nm (emission).

#### 2.5. Sample Processing for Neurosteroid Quantification

A total of 100,000 HMC3 cells/well were seeded in 24 well plates in serum-free Roswell Park Memorial Institute (RPMI) 1640 medium without phenol red for the neurosteroid quantification. Then, cells were treated with rotenone (100 nM) for at least 24 h. As previously published [33], the medium was aspirated and centrifuged at the end of treatment to remove cells in suspension, and the supernatant was used to process the neurosteroid analysis. Aliquots (700  $\mu$ L) of cell medium were spiked with 50  $\mu$ L of the internal standard solution, vortexed (90 s, s), and purified using the C-18 SPE procedure. The obtained eluates were dried using an Eppendorf Concentrator Plus AG5305 (Eppendorf AG, Hamburg, Germany) and derivatized with Amplifex Keto Reagent (50  $\mu$ L) for 1 h at room temperature and in the dark. Finally, the obtained samples were resuspended with 50  $\mu$ L methanol/water (70/30), centrifuged, and analyzed using LC-MS/MS.

#### 2.6. Working Solutions and Calibrators

To obtain the working solutions at 10 concentration levels, a stock solution containing all of the examined neurosteroids was serially diluted with methanol. Moreover, a stock solution of the isotope-labeled internal standards (ISs) was prepared in methanol at a concentration of 1000 fg/mL for both ISs. All solutions were kept at -20 °C until use. To obtain calibration samples (n = 10) in the range of  $5.0 \pm 1250$  fg/700 µL for pregnenolone and  $5\alpha$ -DHP,  $1.0 \pm 250$  fg/700 µL for pregnanolone, and  $0.2 \pm 50$  fg/700 µL for pregnenolone sulfate, progesterone, and allopregnanolone; aliquots (700 µL) of blank cell medium were spiked with 50 µL of the ISs solution and 50 µL of the working solutions. The calibrators were prepared as previously reported [33] and evaluated in triplicate on three separate days.

#### 2.7. LC-MS/MS Analysis

LC analyses were performed as described previously [33]. Briefly, we used a Kinetex XB-C18 column (100 mm length  $\times$  2.1 mm inner diameter; 2.6 µm particle size) equipped with a UHPLC C18 SecurityGuard cartridge (2.1 mm) (Phenomenex, Torrance, CA, USA). Mass spectrometric detection was performed using an Agilent QQQ-MS/MS (6410B) triple quadrupole operating in electrospray positive ionization mode. In the case of progesterone and 5 $\alpha$ -DHP, the presence of two keto groups led to cis/trans derivatives, which eluted as two separable LC peaks; therefore, to quantitate progesterone and 5 $\alpha$ -DHP in medium samples, the summation of both peaks was used.

#### 2.8. Viability of HMC3 Microglial Cells Treated with Rotenone

To evaluate the viability of HMC3 cells exposed to rotenone (100 nM), the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) test was performed. The rotenone 100 nM concentration was selected because it was shown to be below  $IC_{50}$  in our previous experiment, with no progression in cell death over an observation period of 72 h [33]. A total of 10,000 HMC3 cells were seeded in 96 well plates. After 24 h, cells were exposed to rotenone or the combination of rotenone (100 nM) and allopregnanolone (1 nM) and incubated for 24 h and 48 h. Subsequently, the MTT solution was added to each well (10  $\mu$ L) and incubated for 2 h. Finally, a 10% sodium dodecyl sulfate solution was added to dissolve formazan, and absorbance was measured at 570 nm, using 620 nm as a wavelength reference.

#### 2.9. Statistical Analysis

Data were analyzed using SigmaPlot 11 (Systat Software, San Jose, CA, USA). The evaluation of IL-6 was carried out using the two-way analysis of variance (ANOVA) and Holm–Šídák as post hoc tests. Data analysis of ROS assay was compared using one-way ANOVA and Bonferroni post hoc test. The neurosteroid levels were compared using the Mann–Whitney test. The data on viability at 24 h were analyzed using the Student's *t*-test. The data on viability at 48 h were compared using one-way ANOVA and Holm–Šídák as

post hoc tests. A p-value < 0.05 was considered to be statistically significant. Results are illustrated by mean values and standard error of the mean (SEM) or median values and interquartile range.

#### 3. Results

#### 3.1. Oxidative Stress Activates HMC3 Microglia to Release IL-6

As previously shown by others [34] and illustrated in Figure 1, we confirmed that HMC3 cells can produce and release IL-6 in resting conditions. As expected, after 24 h of stimulation, rotenone activated the HMC3 microglia inasmuch as they produced a significant increase in the release of IL-6 (+37%) compared to the basal condition (p < 0.001, two-way ANOVA and Holm–Šídák post hoc test). On the other hand, prolonged exposure to rotenone did not lead to an additional release of IL-6, whose levels remained significantly higher when compared to the control group (p < 0.05, two-way ANOVA and Holm–Šídák post hoc test) (Figure 1a).



**Figure 1.** (a) Effect of oxidative stress on IL-6 production by HMC3 cells. After 24 h, the treatment with rotenone (100 nM) significantly increased the release of IL6 when compared to unstimulated cells. Furthermore, prolonged oxidative stress did not lead to additional IL-6 release but its levels remain significantly higher when compared to the control group. Each column represented the average  $\pm$  SEM (n = 5) normalized by the total protein content measured per well. Data were analyzed by two-way ANOVA followed by Holm–Šídák post hoc test. ° p < 0.05 vs. control group 48 h; \*\*\* p < 0.001 vs. control group 24 h. In (b), bars illustrate the ROS production in HMC3 cells following rotenone treatment (100 nM). Each bar represents the mean  $\pm$  SEM (n = 5) of the percentage of the fluorescence, normalized with the respective value of the control. Data were analyzed by one-way ANOVA followed by Bonferroni post hoc test. \* p < 0.05 vs. control group; °°° p < 0.001 H<sub>2</sub>O<sub>2</sub> vs. rotenone and control groups.

As already demonstrated for BV2 cells, rotenone led to a significant increase in ROS production in HMC3 after 24 h (p < 0.05 rotenone vs. controls; one-way ANOVA followed by Bonferroni post hoc test) using, this time, a more ubiquitous fluorogenic probe. However, this increase was significantly lower when compared to the changes in ROS levels caused by the exposure to strong oxidants, such as H<sub>2</sub>O<sub>2</sub> (500 µM) (p < 0.001, H<sub>2</sub>O<sub>2</sub> vs. control or rotenone levels, one-way ANOVA followed by Bonferroni correction) (Figure 1b).

#### 3.2. Effect of Rotenone on Neurosteroid Levels in Culture Medium of HMC3 Cells

To evaluate if human microglia could produce neurosteroids, we measured pregnenolone, pregnenolone sulfate, progesterone,  $5\alpha$ -DHP, pregnanolone, and allopregnanolone by LC-MS/MS in the culture medium of HMC3 cells, both at rest and after 24 h of exposure to rotenone (100 nM).

First, we established that all the evaluated neurosteroids were detectable, although in low amounts, in basal conditions (Figures 2–4). In particular, pregnenolone sulfate was the most synthesized neurosteroid (about 0.03 pg/100,000 cells), followed by pregnenolone (at 0.004 pg/100,000 cells). Lower amounts were also found for pregnanolone (approximately 0.008 pg/100,000 cells),  $5\alpha$ -DHP (0.002), progesterone (0.0001 pg/100,000 cells), and, especially, allopregnanolone, which was barely detectable (0.00002 pg/100,000 cells).



**Figure 2.** Pregnenolone (**a**) and pregnenolone sulfate (**b**) concentrations in HMC3 cells' medium determined by LC-MS/MS in microglia in resting condition (Control) or after activation by exposure to rotenone for 24 h. In (**a**), pregnenolone levels, illustrated in the box plot, after stimulation with rotenone were lower but not significantly different when compared to the control group. Peak areas corresponding to the respective median values are illustrated on the right. In (**b**), pregnenolone sulfate levels, illustrated in the box plot, were significantly lower (\* *p* < 0.05, Mann–Whitney rank sum test) after stimulation with rotenone. Peak areas corresponding to the respective median values areas corresponding to the respective median values areas areas (\* *p* < 0.05, Mann–Whitney rank sum test) after stimulation with rotenone. Peak areas corresponding to the respective median values are illustrated on the right. The neurosteroid concentrations are expressed in pg/100,000 cells.



**Figure 3.** Progesterone (**a**) and pregnanolone (**b**) concentrations in HMC3 cells' medium determined by LC-MS/MS in microglia in resting condition (Control) or after activation by exposure to rotenone for 24 h. In (**a**), progesterone levels, illustrated in the box plot, after stimulation with rotenone increased but not significantly when compared to the control group. Peak areas corresponding to the respective median values are illustrated on the right. In (**b**), pregnanolone levels, illustrated in the box plot, were significantly lower (\*\* p < 0.01, Mann–Whitney rank sum test) after stimulation with rotenone. Peak areas corresponding to the respective median values are illustrated on the right. The neurosteroid concentrations are expressed in pg/100,000 cells.



Figure 4.  $5\alpha$ -Dihydroprogesterone ( $5\alpha$ -DHP) (**a**) and allopregnanolone (**b**) concentrations in HMC3 cells' medium determined by LC-MS/MS in microglia in resting condition (Control) or after activation by exposure to rotenone for 24 h. In (**a**),  $5\alpha$ -DHP levels, illustrated in the box plot, after stimulation with rotenone were lower but not significantly different when compared to the control group. Peak areas corresponding to the respective median values are illustrated on the right. In (**b**), allopregnanolone levels, illustrated in the box plot, were significantly reduced (\* p < 0.05, Mann–Whitney rank sum test) after stimulation with rotenone. Peak areas corresponding to the respective median values are illustrated on the respective median values are illustrated on the respective median values areas corresponding to the respective median values. Peak areas corresponding to the respective median values areas corresponding to the respective median values areas corresponding to the respective median values. Peak areas corresponding to the respective median values areas corresponding to the respective median values. Peak areas corresponding to the respective median values areas corresponding to the respective median values are illustrated on the right. The neurosteroid concentrations are expressed in pg/100,000 cells.

This scenario was completely modified by the treatment of HMC3 cells with rotenone. Rotenone induced a remarkable reduction in pregnenolone sulfate levels with respect to the basal condition (p < 0.01, Mann–Whitney rank sum test; Figure 2b). In addition, pregnenolone levels were slightly decreased in comparison to the control group (p = 0.052; Figure 2a).

Progesterone levels increased but not significantly (p = 0.310; Figure 3a). Pregnanolone levels were highly reduced by rotenone treatment (-85%), especially when rotenone-exposed cells were compared to the unstimulated microglia (p < 0.01; Figure 3b).

Levels of  $5\alpha$ -DHP were only slightly reduced in the medium of rotenone-treated HMC3 (p = 0.052; Figure 4a). Notably, the main progesterone metabolite, allopregnanolone, presented a remarkable increase in response to rotenone treatment (p < 0.05 vs. unstimulated cells; Figure 4b).

#### 3.3. Exogenous Allopregnanolone Preserved HMC3 Cell Viability from Rotenone-Induced Damage

We evaluated whether rotenone could influence the survival of HMC3 microglia using the MTT test, as we previously found a cytotoxic effect on murine microglia [33]. At variance with the previous results, exposure to rotenone (100 nM) did not affect HMC3 cell viability (control condition:  $90 \pm 1.5\%$  vs. rotenone treatment:  $88 \pm 2.8\%$ ) after 24 h (Figure 5), but significantly decreased the survival of human microglia after 48 h (p < 0.01, control condition:  $97 \pm 4.5\%$  vs. rotenone treatment:  $74 \pm 4.7\%$ ), even if at a lower extent in respect to BV2 cells [33].



**Figure 5.** Viability of HMC3 cells exposed to rotenone (100 nM) at different time intervals (24 h and 48 h) and rescued by allopregnanolone (1 nM). The data represent the average  $\pm$  SEM of two independent experiments. \*\* p < 0.01 rotenone vs. control group;  $^{\circ\circ} p < 0.01$  rotenone + allopregnanolone vs. rotenone, according to one-way analysis of variance (ANOVA) followed by Holm–Šídák post hoc test for multiple comparisons.

Since we interpreted the difference observed at 24 h in the response to rotenone of HMC3 vs. BV2 microglia as possibly related to the altered allopregnanolone metabolism, which led to reduced allopregnanolone levels in BV2 [33], we hypothesized that it could be possible to limit the HMC3 cell death found at the 48 h time interval by further increasing allopregnanolone in the culture medium. To this aim, we decided to combine rotenone treatment with allopregnanolone, exogenously added to HMC3 cultures at 1 nM; thus, we observed that HMC3 cell viability was maintained at values similar to those of the control condition (p < 0.01, Holm–Šídák post hoc test; rotenone treatment: 74 ± 4.7% vs. rotenone + allopregnanolone treatment: 93.8 ± 5.4%).

#### 4. Discussion

We previously found that murine microglia were able to produce and release a variety of neurosteroids other than pregnenolone in BV2 cell cultures [33] and that this property could be markedly modified by oxidative stress able to affect cell survival. Indeed, our previous work elicited some criticism, which we already mentioned in the introduction. Precisely, Germelli and collaborators [23] highlighted that the ability of BV2 cells to produce neurosteroids was a novel finding requiring confirmation in the human microglia so as to possibly design new therapeutic approaches to neurological disorders, especially when characterized by neuroinflammation. Thus, in the present work, we explored the possibility that: (i) human microglia could be able to synthesize and release neurosteroids; (ii) synthesis of these steroidal molecules could be modified in response to oxidants such as rotenone. We also evaluated the possibility that the major neurosteroid, allopregnanolone, could produce a protective effect on human microglia exposed to the toxic activity of rotenone. The main findings of our study show that also the human microglia is able to produce and release neurosteroids, as previously found for the mouse microglia [33], and that this ability could be altered by neurotoxicants such as rotenone. Furthermore, as an additional novel finding, we showed that a high dose of exogenous allopregnanolone could result in rescue effects for the human microglia endangered by metabolic challenges, as in the case of exposure to rotenone, thus suggesting a possible therapeutic use of this neurosteroid to protect the microglia.

Although HMC3 microglia were able to produce neurosteroids in basal conditions, the metabolic profile of the evaluated molecules was different from that found in mouse BV2 cells [33]. Indeed, in our previous study, we found that neurosteroids were present at much higher levels in the culture medium of BV2 cells. For instance, pregnenolone was at a concentration 500-fold higher than that found in the HMC3 culture medium. Consequently, pregnenolone sulfate was also approximately 67-fold higher in the BV2 culture medium. Similar or even more remarkable differences could be mentioned for the other neurosteroids, including progesterone,  $5\alpha$ -DHP, and allopregnanolone, with the only exception of pregnanolone, which was produced at very low levels also by resting BV2 cells.

This scenario was notably changed by rotenone, which induced in HMC3 culture medium changes that were partly different from those observed for BV2 cells. Despite the fact that a 24 h exposure to rotenone did not significantly modify the levels of pregnenolone, pregnenolone sulfate, progesterone,  $5\alpha$ -DHP, pregnanolone, and allopregnanolone in the mouse BV2 cell cultures, human HMC3 microglia responded to rotenone by reducing the levels of pregnenolone sulfate and pregnanolone and by increasing the levels of allopregnanolone. Thus, human microglia appeared to react more rapidly to oxidative damage caused by rotenone than mouse microglia, which instead modified the release of neurosteroids in the culture medium only 48 h after the rotenone treatment [33]. Interestingly, the late changes in neurosteroid release of BV2 cells were also qualitatively different from those evidenced in HMC3 cells because rotenone produced an increase in  $5\alpha$ -DHP and pregnanolone levels and reduced pregnenolone levels in the culture medium of BV2 cells with no changes in allopregnanolone levels. Thus, the ability to modify allopregnanolone metabolism in response to the oxidant rotenone appears to be specific for the human microglia, at least in the case of HMC3 cells. Alternatively, it could be proposed that the differences in allopregnanolone levels in HMC3 and BV2 cell cultures were dependent on the different extent of rotenone toxicity, which was more pronounced for BV2 microglia, but this interpretation is at odds with the changes observed for the other neurosteroids,  $5\alpha$ -DHP and pregnanolone, which increased in the BV2 culture medium.

Allopregnanolone is a protective molecule [5], but the changes we observed in response to rotenone were ineffective in promoting the survival of HMC3 cells for more than 24 h. However, by adding allopregnanolone exogenously, we were able to block the detrimental effects of rotenone on the survival of HMC3 cells, as observed at 48 h. The extremely increased concentration of allopregnanolone required to obtain this beneficial
result may explain why the changes in allopregnanolone synthesis and release by HMC3 microglia were not sufficient to afford protection at the 48 h time interval. Anyway, the effective allopregnanolone concentration was close to the range of levels measured in the cerebrospinal fluid of healthy humans (females: 0.09 nM; males: 0.2 nM) [35], suggesting that the contribution of microglia to neurosteroid synthesis may be limited because other sources can provide adequate levels of allopregnanolone to protect microglial cells. Moreover, allopregnanolone concentration could be increased in the brain tissue by drugs that modify the steroid metabolism in the adrenal gland, such as trilostane [36], to pave the way for the growing field of microglia pharmacology [37].

We did not investigate any possible mechanisms activated by allopregnanolone to afford protection from the oxidative effects of rotenone. Indeed, this is a limitation of our study, and further experiments will be required to address this issue. Interestingly, an in vivo study using mice treated with pilocarpine to induce a status epilepticus evidenced a reduction in ROS cerebral tissue levels and oxidative damage by treatment with allopregnanolone. This effect was associated with an increased expression of superoxide dismutase 2 in the hippocampus of allopregnanolone-treated mice [38]. It is also interesting to note that the oxidative effect of silver nanoparticles disrupting the antioxidant defense in the hippocampus of Wistar rats was associated with a reduction in progesterone,  $17\alpha$ hydroxyprogesterone, and testosterone hippocampal levels, whereas allopregnanolone, dehydroepiandrosterone, dehydroepiandrosterone sulfate, androstenedione, dihydrotestosterone, and  $17\beta$ -estradiol hippocampal levels were increased or unmodified, depending on the silver formulation used [39]. It is also worth noting that both progesterone and allopregnanolone exerted beneficial effects in the Wobbler mouse model of amyotrophic lateral sclerosis by preserving mitochondrial respiratory complex I activity, reducing the mitochondrial expression and activity of nitric oxide synthase, and inducing the Mn-dependent superoxide dismutase [40].

### 5. Conclusions

Our work provides the first evidence that human microglia produce and release neurosteroids and that this metabolic activity could be modulated in response to a damaging event. We also found that the anti-inflammatory neurosteroid allopregnanolone could provide microglia protection at physiological levels [41], which might be further increased by pharmacological approaches. These results constitute a pathophysiological background to encourage the investigators to consider neurosteroids and, especially, allopregnanolone as a possible useful therapeutic tool to cope with brain diseases in which oxidative stress and neuroinflammation play a pathological role, as in the case of multiple sclerosis, amyotrophic lateral sclerosis, Parkinson's disease, Alzheimer's disease, and epilepsy.

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Article



### Distinct Roles of CK2- and AKT-Mediated NF-κB Phosphorylations in Clasmatodendrosis (Autophagic Astroglial Death) within the Hippocampus of Chronic Epilepsy Rats

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Abstract: The downregulation of glutathione peroxidase-1 (GPx1) plays a role in clasmatodendrosis (an autophagic astroglial death) in the hippocampus of chronic epilepsy rats. Furthermore, Nacetylcysteine (NAC, a GSH precursor) restores GPx1 expression in clasmatodendritic astrocytes and alleviates this autophagic astroglial death, independent of nuclear factor erythroid-2-related factor 2 (Nrf2) activity. However, the regulatory signal pathways of these phenomena have not been fully explored. In the present study, NAC attenuated clasmatodendrosis by alleviating GPx1 downregulation, casein kinase 2 (CK2)-mediated nuclear factor-KB (NF-KB) serine (S) 529 and AKT-mediated NF-KB S536 phosphorylations. 2-[4,5,6,7-Tetrabromo-2-(dimethylamino)-1H-benzo[d]imidazole-1yl]acetic acid (TMCB; a selective CK2 inhibitor) relieved clasmatodendritic degeneration and GPx1 downregulation concomitant with the decreased NF-KB S529 and AKT S473 phosphorylations. In contrast, AKT inhibition by 3-chloroacetyl-indole (3CAI) ameliorated clasmatodendrosis and NF-κB S536 phosphorylation, while it did not affect GPx1 downregulation and CK2 tyrosine (Y) 255 and NF-kB S529 phosphorylations. Therefore, these findings suggest that seizure-induced oxidative stress may diminish GPx1 expression by increasing CK2-mediated NF-KB S529 phosphorylation, which would subsequently enhance AKT-mediated NF-kB S536 phosphorylation leading to autophagic astroglial degeneration.

Keywords: 3CAI; astrocyte; autophagy; GPx1; NAC; oxidative stress; seizure; TMCB

### 1. Introduction

Clasmatodendrosis is an autophagic and non-apoptotic type II programmed death in astrocytes. Clasmatodendritic degeneration is characterized by lysosome-derived vacuolization in hypertrophic cell body and fragmentation/vanishing of processes [1–3]. Clasmatodendrosis was first reported by Alzheimer and termed by Cajal [4,5]. Clasmatodendrosis is detected in aging and various pathophysiological conditions in ischemia [6], acidosis [7], dementia [6,8,9], head trauma [10], infection [11] and demyelination disease [12]. In epilepsy rats, clasmatodendritic degeneration is restrictedly detected in astrocytes within the stratum radiatum of the CA1 region (CA1 astrocytes) [13–15]. Although the roles of these astroglial degenerations in pathogenesis of various neurological diseases remain an open issue, clasmatodendrosis influences the duration of spontaneous seizures in chronic epilepsy rats [14,16].

The underlying mechanisms of clasmatodendrosis are relevant to oxidative stress, the impaired ATP production induced by acidosis and/or energy-consuming events, aberrant chaperone accumulation and neuroinflammation [7,13,17], although the regulatory signal pathways are largely unknown. Interestingly, nuclear factor- $\kappa$ B (NF- $\kappa$ B) is one of the upstream molecules evoking autophagic cell death of astrocytes [18,19]. Indeed, tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) neutralization alleviates clasmatodendrosis by inhibiting NF- $\kappa$ B serine (S) 529 phosphorylation [2]. Furthermore, AKT and its downstream effector

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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). glycogen synthase kinase-3 $\beta$  (GSK-3 $\beta$ ) also play a pivotal role in this process [2,13,14,20,21]. However, the possibility of integration between AKT- and NF- $\kappa$ B-mediated signaling pathways during clasmatodendritic degeneration has not been reported.

Glutathione peroxidase-1 (GPx1) is the first identified selenoprotein that scavenges reactive oxygen species (ROS) through the reduction of H<sub>2</sub>O<sub>2</sub> by using glutathione (GSH, an endogenous antioxidant) as a cofactor [22,23]. GPx1 regulates the induction of autophagy in response to ROS [24,25]. GPx1 downregulation is relevant to clasmatodendrosis in the hippocampus of chronic epilepsy rats, which is regulated by GSH biosynthesis. Briefly, GPx1 is significantly decreased in clasmatodendritic CA1 astrocytes, while it is increased in reactive CA1 astrocytes. N-acetylcysteine (NAC, a GSH precursor) restores GPx1 expression in clasmatodendritic astrocytes and alleviates clasmatodendrosis. In contrast, L-buthionine sulfoximine (BSO, an inducer of GSH depletion) aggravates clasmatodendrosis accompanied by GPx1 downregulation, independent of nuclear factor erythroid-2-related factor 2 (Nrf2) activity [15]. Since GPx1 plays an important role in astroglial viability against ROS-mediated to clasmatodendrosis in CA1 astrocytes, although the regulatory signal pathways of these phenomena have not been fully explored.

As aforementioned, NAC induces GPx1 upregulation [15], which can inhibit NF- $\kappa$ B S536 phosphorylation [28,29]. In addition, GPx1 expression is transiently reduced in CA1 astrocytes at 3 day after status epilepticus (SE) when NF- $\kappa$ B transactivation is increased [15,30]. NAC also inhibits TNF- $\alpha$ -induced AKT activation and AKT-mediated NF- $\kappa$ B S536 phosphorylation [31]. Furthermore, GPx1 silencing drives the ROS-mediated AKT activation [32,33]. Considering these previous studies, it is likely that (1) NF- $\kappa$ B and GPx1 may reciprocally regulate each other and/or that (2) GPx1 may integrate between NF- $\kappa$ B- and AKT-mediated signaling pathways during clasmatodendritic degeneration, which have not been reported yet. Thus, we conducted the present study to elucidate these hypotheses.

Here, we demonstrate that NAC attenuated clasmatodendrosis by alleviating GPx1 downregulation, casein kinase 2 (CK2)-mediated NF- $\kappa$ B S529 and AKT-mediated NF- $\kappa$ B S536 phosphorylations. 2-[4,5,6,7-tetrabromo-2-(dimethylamino)-1H-benzo[d]imidazole-1-yl]acetic acid (TMCB; a selective CK2 inhibitor) relieved clasmatodendritic degeneration and GPx1 downregulation concomitant with the decreased NF- $\kappa$ B S529, S536 and AKT S473 phosphorylations. However, AKT inhibition by 3-chloroacetyl-indole (3CAI) did not affect GPx1 downregulation and CK2 tyrosine (Y) 255 and NF- $\kappa$ B S529 phosphorylations, although it mitigated clasmatodendrosis and NF- $\kappa$ B S536 phosphorylation. These unreported data suggest that seizure-induced oxidative stress may diminish GPx1 expression by increasing CK2-mediated NF- $\kappa$ B S529 phosphorylation, which would subsequently enhance NF- $\kappa$ B S536 phosphorylation by AKT hyperactivation, leading to autophagic astroglial degeneration.

### 2. Materials and Methods

### 2.1. Experimental Animals and Chemicals

Male Sprague Dawley (SD) rats (200–250 g) were cared under controlled environmental conditions (23–25 °C, 12 h light/dark cycle) and freely accessed to water and conventional rat diets. All experimental protocols described below were approved by the Institutional Animal Care and Use Committee of Hallym University (Hallym 2021-3, approval date: 17 May 2021). All reagents were obtained from Sigma-Aldrich (St. Louis, MO, USA), except as noted.

### 2.2. Chronic Epilepsy Rat Model

One day before pilocarpine treatment, rats were given LiCl (127 mg/kg, i.p.). The following day, animals were injected with atropine methylbromide (5 mg/kg i.p.) 20 min before pilocarpine (30 mg/kg, i.p.) treatment. To cease status epilepticus (SE), diazepam (Valium; Hoffmann-la Roche, Neuilly-sur-Seine, France; 10 mg/kg, i.p.) was administered

2 h after SE on-set and repeated, as needed. Control animals were given saline instead of pilocarpine. After SE induction, rats were monitored 8 h/day to identify chronic epilepsy activity [14,34].

### 2.3. NAC Treatment

Chronic epilepsy rats were given N-acetylcysteine (NAC, 70 mg/kg/day, i.p.) over a 7-day period [17]. Five hours after the last injection, the animals were used for experiments.

### 2.4. Infusion of TMCB and 3CAI

Animals were implanted with an infusion needle (Brain infusion kit 1, Alzet, Cupertino, CA, USA) into the right lateral ventricle (coordinates: 1 mm posterior; 1.5 mm lateral; 3.5 mm depth) under isoflurane anesthesia (3% induction, 1.5–2% for surgery, and 1.5% maintenance in a 65:35 mixture of N<sub>2</sub>O:O<sub>2</sub>), and connected with an Alzet 1007D osmotic pump (Alzet, Cupertino, CA, USA) containing (1) the vehicle, (2) TMCB (0.5  $\mu$ M) or (3) 3CAI (25  $\mu$ M). Seven days after surgery, the animals were used for experiments [14,30].

### 2.5. Western Blot

Under urethane anesthesia (1.5 g/kg, i.p.), rats were decapitated, and the hippocampus was rapidly dissected out and homogenized in lysis buffer containing protease inhibitor cocktail (Roche Applied Sciences, Branford, CT, USA) and phosphatase inhibitor cocktail (PhosSTOP<sup>®</sup>, Roche Applied Science, Branford, CT, USA). The protein concentration was measured using a Micro BCA Protein Assay Kit (Pierce Chemical, Dallas, TX, USA). Thereafter, Western blotting was performed by the standard protocol (n = 7 rats in each group). After electrophoresis, proteins were transferred to polyvinylidene fluoride membranes that were subsequently incubated with a blocking solution followed by immunoblotting with the primary antibody (Table 1). For chemiluminescent detection and analysis, an Image-Quant LAS4000 system (GE Healthcare Korea, Seoul, South Korea) was used. The  $\beta$ -actin value was used for the normalization of each protein value. The phosphoprotein/total protein ratio was represented as the phosphorylation ratio [14,30,34].

| Antigen    | Host   | Manufacturer (Catalog Number) | Dilution Used |
|------------|--------|-------------------------------|---------------|
| AKT        | Rabbit | Cell signaling (#9272)        | 1:1000 (WB)   |
| CK2        | Mouse  | Millipore (#05-1431)          | 1:1000 (WB)   |
| GFAP       | Mouse  | Millipore (#MAB3402)          | 1:2000 (IH)   |
| GPx1       | Sheep  | Biosensis (#S-072-100)        | 1:2000 (IH)   |
|            |        |                               | 1:10,000 (WB) |
| NF-κB      | Rabbit | Abcam (#ab16502)              | 1:500 (IH)    |
|            |        |                               | 1:2000 (WB)   |
| NF-κB S529 | Rabbit | Abcam (#ab47395)              | 1:100 (IH)    |
|            |        |                               | 1:1000 (WB)   |
| NF-κB S536 | Rabbit | Abcam (#ab28856)              | 1:100 (IH)    |
|            |        |                               | 1:1000 (WB)   |
| p-AKT S473 | Rabbit | Cell signaling (#4060)        | 1:250 (IH)    |
|            |        |                               | 1:1000 (WB)   |
| p-CK2 Y255 | Rabbit | Invitrogen (#PA5-38831)       | 1:1000 (WB)   |
| β-actin    | Mouse  | Sigma (#A5316)                | 1:5000 (WB)   |

Table 1. Primary antibodies used in the present study.

IH: Immunohistochemistry; WB: Western blot.

### 2.6. Tissue Preparation and Immunohistochemistry

Animals were anesthetized with urethane anesthesia (1.5 g/kg, i.p.) and perfused with 4% paraformaldehyde in 0.1 M phosphate buffer (PB, pH 7.4) through the left ventricle followed by post-fixation in the same fixative overnight. After immersion with 30% sucrose overnight, brains were sectioned at 30  $\mu$ m. Sections were blocked with 3% bovine serum albumin in PBS for 30 min, and later incubated overnight with mixtures of primary antibod-

ies (Table 1) in PBS containing 0.3% Triton X-100. After washing, tissues were reacted with Brilliant Violet-421, Cy2- or Cy3-fluorescent dye conjugated secondary antibodies (Jackson Immuno Research Laboratories, West Grove, PA, USA). The fluorescent intensity was quantified in the randomly selected 2–3 reactive astrocytes or clasmatodendritic astrocytes in the stratum radiatum of the CA1 region (n = 7 rats in each group) with AxioVision Rel. 4.8 (Carl Zeiss Korea, Seoul, Republic of Korea) and ImageJ software. For quantification of clasmatodendritc astrocytes, cell counts were conducted in areas of interest ( $1 \times 10^4 \mu m^2$ ) of 10 sections per each animal [14,34].

### 2.7. Data Analysis

The Mann–Whitney test was applied to analyze statistical significance of data obtained from two groups. The Kruskal–Wallis test with Dunn–Bonferroni post hoc test was used for the comparison of data obtained four groups. The Spearman test was applied to identify the relationship between two variables. A *p*-value less than 0.05 was considered significant.

### 3. Results

3.1. NAC Restores GPx1 Expression and Inhibits CK2-Mediated NF-κB S529 Phosphorylation in Clasmatodendritic CA1 Astrocytes

NF-κB signaling pathway activates autophagy. In particular, NF-κB S529 phosphorylation is involved in clasmatodendritic astrocytes [2,35]. Since NF-κB at S529 site is phosphorylated by CK2 [36], we investigated the effects of NAC on GPx1 expression and CK2-mediated NF-κB S529 phosphorylation in clasmatodendritic astrocytes.

Compatible with a previous study [16], NAC ameliorated clasmatodendritic degeneration of CA1 astrocytes (Figure 1A,B). Clasmatodendritic CA1 astrocytes showed GPx1 downregulation, although reactive CA1 astrocytes exhibited GPx1 upregulation (Figure 1A,C). Compared to the vehicle, NAC increased GPx1 expression in clasmatodendritic (vacuolized) astrocytes, but not in reactive astrocytes (Figure 1A,C). In contrast to GPx1, NF-κB S529 phosphorylation was enhanced in clasmatodendritic astrocytes, as compared reactive astrocytes (Figure 1A,D). NAC abolished NF-κB S529 phosphorylation in clasmatodendritic CA1 astrocytes, but not in reactive astrocytes (Figure 1A,D). Thus, NF-κB S529 phosphorylation showed an inverse correlation with GPx1 expression (Figure 1E).

Compatible with immunofluorescent studies, Western blot data also revealed that NAC augmented GPx1 expression, but reduced NF- $\kappa$ B S529 phosphorylation level, as compared to the vehicle (Figures 2A–C and S1). Since NF- $\kappa$ B S529 phosphorylation is regulated by CK2, which increases NF- $\kappa$ B-mediated nuclear transcriptional activity [36], we further evaluated the effect of NAC on CK2 phosphorylation (activity). Western blot data revealed that CK2 Y255 phosphorylation was reduced in the hippocampus of chronic epilepsy rats, as compared to control animals (Figures 2A,D and S1). Compared to the vehicle, NAC further diminished CK2 Y255 phosphorylation without altering its total protein level (Figures 2A,D and S1). Considering that inhibition of the Src/CK2 signaling pathway is one of the insufficient adaptive responses to seizures [14,37,38], our findings indicated that CK2-mediated NF- $\kappa$ B S529 phosphorylation may lead to clasmatodendrosis, accompanied by GPx1 downregulation.



**Figure 1.** Effects of NAC on GPx1 expression and NF-κB S529 phosphorylation in CA1 astrocytes. Compared to control rats, GPx1 expression is increased in reactive CA1 astrocytes (Reac), while it is reduced in clasmatodendritic (vacuolized) CA1 astrocytes (Clas, arrows). However, the NF-κB S529 signal is enhanced only in clasmatodendritic CA1 astrocytes. CK2 Y255 phosphorylation is diminished in the whole hippocampus of chronic epilepsy rats. Compared to the vehicle (Veh), NAC ameliorates GPx1 downregulation and NF-κB S529 phosphorylation in clasmatodendritic astrocytes, accompanied by the reduced CK2 Y255 phosphorylation. (A) Representative photos of GPx1 expression, NF-κB S529 signal and their intensities. Bar = 25 μm. (B) Quantification of clasmatodendritic degeneration in CA1 astrocytes (\* p < 0.05 vs. vehicle, n = 7 rats, respectively; Mann–Whitney test). (C,D) Quantification of GPx1 and NF-κB S529 intensities in CA1 astrocytes (\*# p < 0.05 vs. vehicle and reactive astrocytes, respectively, n = 20 cells in 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test). (E) Linear regression analysis between GPx1 and NF-κB S529 intensities in reactive and clasmatodendritic CA1 astrocytes of chronic epilepsy rats (n = 80 cells in 14 rats; Spearman test).



Figure 2. Western blot data representing the effects of NAC on GPx1 expression, NF-κB S529, and CK2 Y255 phosphorylations. Consistent with the immunofluorescent study (Figure 1), NAC increases GPx1 expression, but diminishes NF-κB S529 phosphorylation level, as compared to the vehicle (Veh). In addition, CK2 Y255 phosphorylation is decreased in the whole hippocampus of chronic epilepsy rats, which is further reduced by NAC. (A) Representative Western blot of GPx1, NF-κB, NF-κB S529, CK2 and CK2 Y255 levels. (B–D) Quantification of GPx1, NF-κB S529 and CK2 Y255 phosphorylation levels based on Western blot data (\*,<sup>#</sup> p < 0.05 vs. control rats and vehicle-treated epilepsy rats, n = 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test).

# 3.2. NAC Diminished AKT-Mediated NF- $\kappa$ B S536 Phosphorylation in Clasmatodendritic CA1 Astrocytes

AKT S473 hyperphosphorylation causes bax-interacting factor 1 (Bif-1)-mediated astroglial autophagy [13,14]. AKT activation also stimulates NF-κB S536 phosphorylation [39]. Interestingly, deletion or inhibition of GPx1 increases NF-kB S536 phosphorylation [28,29] and NF $\kappa$ B S536 phosphorylation is critical for autophagy in response to oxidative stress [40,41]. Furthermore, NAC inhibits TNF-α-induced AKT S473 and NF-κB S536 phosphorylation [31]. Thus, we explored whether NF-κB S536 phosphorylation is involved in AKT-mediated clasmatodendrosis and NAC abolishes this pathway. Compared to intact astrocytes, both reactive astrocytes and clasmatodendritic CA1 astrocytes showed AKT S473 hyperphosphorylation (Figure 3A,B). However, AKT S473 intensity in clasmatodendritic astrocytes was higher than that in reactive astrocytes (Figure 3A,B). NAC attenuated AKT S473 hyperphosphorylation in clasmatodendritic astrocytes, but not in reactive astrocytes (Figure 3A,B). AKT S473 phosphorylation showed an inverse proportion with GPx1 expression (Figure 3C). Similar to the case of AKT S473 phosphorylation, NF-κB S536 phosphorylation was enhanced in clasmatodendritic astrocytes, compared to reactive astrocytes (Figure 4A,B). NAC abolished NF-KB S536 phosphorylation in clasmatodendritic CA1 astrocytes, but not in reactive astrocytes (Figure 4A,B). Linear regression analysis showed an inverse proportional relationship between GPx1 and NF-κB S536 phosphorylation (Figure 4C).



Figure 3. Effects of NAC on GPx1 expression and AKT S473 phosphorylation in CA1 astrocytes. Compared to control rats, AKT S473 phosphorylation is enhanced in clasmatodendritic (vacuolized) CA1 astrocytes (Clas, arrows) more than reactive CA1 astrocytes (Reac), which is attenuated by NAC treatment. (A) Representative photos of GPx1 expression, AKT S473 signal and their intensities. Bar = 25  $\mu$ m. (B) Quantification of AKT S473 intensity in CA1 astrocytes (\*# *p* < 0.05 vs. vehicle and reactive astrocytes, respectively, *n* = 20 cells in 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test). (C) Linear regression analysis between GPx1 and AKT S473 intensities in reactive and clasmatodendritic CA1 astrocytes of chronic epilepsy rats (*n* = 80 cells in 14 rats; Spearman test).



**Figure 4.** Effects of NAC on GPx1 expression and NF-κB S536 phosphorylation in CA1 astrocytes. Compared to control rats, NF-κB S536 signal is increased in clasmatodendritic (vacuolized) CA1 astrocytes (Clas, arrows), but not reactive CA1 astrocytes (Reac), which is attenuated by NAC treatment. (A) Representative photos of GPx1 expression, NF-κB S536 signal and their intensities. Bar = 25 µm. (B) Quantification of NF-κB S536 intensity in CA1 astrocytes (\*# p < 0.05 vs. vehicle and reactive astrocytes, respectively, n = 20 cells in 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test). (C) Linear regression analysis between GPx1 and NF-κB S536 intensities in reactive and clasmatodendritic CA1 astrocytes of chronic epilepsy rats (n = 80 cells in 14 rats; Spearman test).

Compatible with immunofluorescent studies, Western blot data also revealed that NAC augmented AKT S473 and NF- $\kappa$ B S536 phosphorylation levels, as compared to the vehicle (Figures 5A–C and S2). These findings indicate that AKT-mediated NF- $\kappa$ B S536 phosphorylation may participate in clasmatodendritic degeneration, and that NAC may ameliorate clasmatodendrosis by inhibiting this pathway as well as CK2-mediated NF- $\kappa$ B S529 phosphorylation.



Figure 5. Western blot data representing the effects of NAC on AKT S473 and NF-κB S536 phosphorylations. Consistent with immunofluorescent study (Figures 3 and 4), NAC diminishes AKT S473 and NF-κB S536 phosphorylation levels, as compared to the vehicle (Veh). (A) Representative Western blot of AKT, AKT S473, NF-κB and NF-κB S536 levels. (B,C) Quantification of AKT S473 and NF-κB S536 phosphorylation levels based on Western blot data (\*,# p < 0.05 vs. control animals and vehicle-treated epilepsy rats, respectively, n = 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test).

# 3.3. CK2 Inhibition Restores GPx1 Upregulation and Attenuates NF-κB and AKT Phosphorylations in Clasmatodendritic CA1 Astrocytes

Next, we applied TMCB (a selective CK2 inhibitor) to identify whether the CK2 signaling pathway would induce GPx1 downregulation during clasmatodendritic degeneration. Similar to the case of NAC, TMCB attenuated clasmatodendritic degeneration of CA1 astrocytes (Figure 6A,B). Compared to the vehicle, TMCB increased GPx1 expression in clasmatodendritic astrocytes, but not in reactive astrocytes (Figure 6A,C). TMCB abolished NF-κB S529 phosphorylation in clasmatodendritic CA1 astrocytes, but not in reactive astrocytes (Figure 6A,D). Furthermore, TMCB abrogated AKT S473 hyperphosphorylation in clasmatodendritic astrocytes, but not in reactive astrocytes (Figure 7A,B). TMCB also diminished NF-κB S536 phosphorylation in clasmatodendritic CA1 astrocytes, but not in reactive astrocytes (Figure 7C,D).



Figure 6. Effects of TMCB on GPx1 expression and NF-κB S529 phosphorylation in CA1 astrocytes. Compared to the vehicle, TMCB attenuates clasmatodendritic degeneration concomitant with the enhanced GPx1 expression and the decreased NF-κB S529 phosphorylation in clasmatodendritic (vacuolized) CA1 astrocytes (Clas, arrows), but not reactive CA1 astrocytes (Reac). (A) Representative photos of GPx1 expression, NF-κB S529 signal and their intensities. Bar = 25 µm. (B) Quantification of clasmatodendritic degeneration in CA1 astrocytes (\* p < 0.05 vs. vehicle, n = 7 rats, respectively; Mann–Whitney test). (C,D) Quantification of GPx1 and NF-κB S529 intensities in CA1 astrocytes (\*# p < 0.05 vs. vehicle and reactive astrocytes, respectively, n = 20 cells in 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test).



**Figure 7.** Effects of TMCB on AKT S473 and NF-κB S536 phosphorylations in CA1 astrocytes. Compared to the vehicle, TMCB ameliorates NF-κB S536, but not AKT S473, phosphorylation in clasmatodendritic (vacuolized) CA1 astrocytes (Clas, arrows), but not reactive CA1 astrocytes (Reac). (A) Representative photos of AKT S473 phosphorylation and its intensities. Bar = 25 μm. (B,C) Quantification of AKT S473 and NF-κB S536 intensity in CA1 astrocytes (\*# *p* < 0.05 vs. vehicle and reactive astrocytes, respectively, *n* = 20 cells in 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test). (D) Representative photos of NF-κB S536 phosphorylation and its intensities. Bar = 25 μm.

Western blot data also demonstrated that TMCB increased GPx1 expression, but decreased AKT S473, NF- $\kappa$ B S529 and NF- $\kappa$ B S536 phosphorylation levels, as compared to the vehicle (Figures 8A–E and S3). These findings indicate that CK2-mediated NF- $\kappa$ B S529 phosphorylation may diminish GPx1 expression during clasmatodendrosis, and that AKTmediated NF- $\kappa$ B S536 phosphorylation may be a consequence of GPx1 downregulation induced by this pathway.



Figure 8. Western blot data representing the effects of TMCB on GPx1 expression, AKT S473, NF-κB S529 and NF-κB S536 phosphorylations. Consistent with immunofluorescent study (Figures 6 and 7), TMCB increases GPx1 expression, but reduces AKT S473, NF-κB S529 and NF-κB S536 phosphorylation levels, as compared to the vehicle (Veh). (A) Representative Western blot of GPx1, AKT, AKT S473, NF-κB, NF-κB S529 and NF-κB S536 levels. (B–E) Quantification of GPx1 expression, AKT S473, NF-κB S529 and NF-κB S536 phosphorylation levels based on Western blot data (\*,<sup>#</sup> p < 0.05 vs. control rats and vehicle-treated epilepsy rats, respectively, n = 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test).

# 3.4. AKT Inhibition Attenuates Clasmatodendrosis and NF- $\kappa$ B S536 Phosphorylation without Affecting GPx1 Level and CK2-Mediated NF- $\kappa$ B S529 Phosphorylation in Clasmatodendritic CA1 Astrocytes

To confirm the role of AKT-mediated NF- $\kappa$ B S536 phosphorylation in clasmatodendritic degeneration, we applied 3CAI to chronic epilepsy rats. 3CAI ameliorated clasmatodendritic degeneration of CA1 astrocytes (Figure 9A,B). 3CAI also decreased NF- $\kappa$ B S536 phosphorylation in clasmatodendritic astrocytes, but not in reactive astrocytes (Figure 9A,C). However, 3CAI could not affect reduced GPx1 expression in clasmatodendritic astrocytes (Figure 9A,D). In addition, 3CAI did not influence increased NF- $\kappa$ B S529 phosphorylation in CA1 astrocytes (Figure 10A,B).



**Figure 9.** Effects of 3CAI on GPx1 expression and NF-κB S536 phosphorylation in CA1 astrocytes. Compared to the vehicle, 3CAI attenuates clasmatodendritic degeneration concomitant and the increased NF-κB S536 phosphorylation in clasmatodendritic (vacuolized) CA1 astrocytes (Clas, arrows), but not reactive CA1 astrocytes (Reac), while it does not affect GPx1 expression level. (A) Representative photos of GPx1 expression and NF-κB S536 signal and their intensities. Bar = 25 µm. (B) Quantification of clasmatodendritic degeneration in CA1 astrocytes (\* p < 0.05 vs. vehicle, n = 7 rats, respectively; Mann–Whitney test). (C,D) Quantification of GPx1 and NF-κB S536 intensities in CA1 astrocytes (\*# p < 0.05 vs. vehicle and reactive astrocytes, respectively, n = 20 cells in 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test).



Figure 10. Effects of 3CAI on NF-κB S529 and CK2 Y255 phosphorylations in CA1 astrocytes. Compared to the vehicle, 3CAI does not influence NF-κB S529 in clasmatodendritic (vacuolized) CA1 astrocytes (Clas, arrows) and reactive CA1 astrocytes (Reac). CK2 Y255 phosphorylation in the whole hippocampus is also unaffected by 3CAI treatment. (A) Representative photos of the NF-κB S529 signal and its intensities. Bar = 25 µm. (B) Quantification of NF-κB S529 intensity in CA1 astrocytes ( $^{#} p < 0.05$  vs. reactive astrocytes, n = 20 cells in 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test).

Western blot data revealed that 3CA1 reduced the NF- $\kappa$ B S536 phosphorylation level without affecting GPx1 expression, NF- $\kappa$ B S529 and CK2 Y255 phosphorylation (Figures 11A–E and S4). Regarding the GPx1-mediated inhibition of NF- $\kappa$ B S536 phosphorylation [25,26], these findings indicate that the CK2-NF- $\kappa$ B S529-GPx1 signaling pathway may be an upstream regulator of AKT-mediated NF- $\kappa$ B S536 phosphorylation during clasmatodendritic degeneration.



Figure 11. Western blot data representing the effects of 3CAI on GPx1 expression, NF-κB S529, NF-κB S536 and CK2 Y255 phosphorylations. Consistent with the immunofluorescent study (Figures 9 and 10), 3CAI reduces only the NF-κB S536 phosphorylation level without affecting GPx1 expression, NF-κB S529 and CK2 Y255 phosphorylations, as compared to the vehicle (Veh). (A) Representative Western blot of GPx1, NF-κB, NF-κB S529, NF-κB S536, CK2 and CK2 Y255 levels. (B–E) Quantification of GPx1 expression, AKT S473, NF-κB S529 and NF-κB S536 phosphorylation levels based on Western blot data (\*# p < 0.05 vs. control rats and vehicle-treated epilepsy rat, respectively, n = 7 rats, respectively; Kruskal–Wallis test with Dunn–Bonferroni post hoc test).

### 4. Discussion

Astroglial activation generates  $H_2O_2$  that evokes an imbalance of redox homeostasis in the brain [42]. Therefore, the defense system removing  $H_2O_2$  is essential for astroglial viability. GPx1 plays an important role in GSH-mediated  $H_2O_2$  elimination [22,23]. Indeed, GPx expression is increased in glial cells around surviving neurons [43] and GPx1 inhibits the ROS-mediated AKT activation [32,33]. In the present study, GPx1 was upregulated in reactive CA1 astrocytes, suggesting that increased GPx1 expression in reactive astrocytes may be an adaptive response against oxidative stress. However, GPx1 expression was significantly diminished in clasmatodendritic CA1 astrocytes concomitant with increased NF- $\kappa$ B S529 phosphorylation, which was recovered by NAC. NF- $\kappa$ B signaling pathway activates autophagy after heat shock [35]. Indeed, NF- $\kappa$ B S529, but not S276 and S311, phosphorylation is involved in clasmatodendritic astrocytes [2]. Since NAC acts as a direct ROS scavenger *per se* as well as a GSH precursor leading to increased GPx1/2 expression [44–46], our findings suggest that the antioxidant properties of NAC may improve GPx1 downregulation in clasmatodendritic astrocytes by inhibiting NF- $\kappa$ B S529 phosphorylation.

S529 phosphorylation increases NF-κB-mediated nuclear transcriptional activity, which is regulated by CK2 [36]. CK2 is a highly conserved and constitutively active serine/threonine kinase that promotes cell viability, proliferation and differentiation [47,48]. CK2 activity is enhanced by phosphorylation of Y255 and T360/S362 sites, which are modulated by the Src family and extracellular signal-regulated kinase 1/2 (ERK1/2), respectively [49,50]. In the epileptic hippocampus, CK2 Y255, but not T360/S362, phosphorylation is decreased as an

insufficient and maladaptive response to inactivation/downregulation of phosphatase and tensin homolog deleted on chromosome 10 (PTEN). Furthermore, inhibition of Src-mediated CK2 Y255 phosphorylation further ameliorates PTEN downregulation/phosphorylation and clasmatodendrosis [14,38]. Furthermore, Src inhibition enhances GPx1 levels [51] and Src kinase upregulation inhibits GPx1 activity [52]. Most of all, NF- $\kappa$ B activation increases proinflammatory cytokines, including TNF- $\alpha$ , which abrogates the compensatory GPx1 induction following oxidative stress [27,53]. Indeed, NAC suppresses ROS-mediated NF- $\kappa$ B and subsequent mRNA expression of chemokines in human astrocytes [54] and fully blocks ROS-induced CK2 upregulation that induces NF- $\kappa$ B activation [55,56]. Compatible with these reports, the present data show that CK2 inhibition by NAC and TMBC effectively enhanced GPx1 expression in vacuolized CA1 astrocytes concomitant with reduced NF- $\kappa$ B S529 phosphorylation. Therefore, our findings indicate that CK2-mediated NF- $\kappa$ B S529 phosphorylation may be an upstream pathway of GPx1 downregulation.

The present data show AKT S473 hyperphosphorylation in clasmatodendritic CA1 astrocytes exhibiting low GPx1 intensity. Oxidative stress triggers AKT activation [57], which inhibits ROS-induced GPx1 upregulation [58]. Therefore, the present data are simply interpreted as that AKT may be one of the upstream molecules to suppress GPx1 expression in clasmatodendritic astrocytes. In the present study, however, AKT inhibition by 3CAI did not improve GPx1 downregulation in clasmatodendritic astrocytes, although it attenuated clasmatodendrosis. Therefore, our findings indicate that AKT S473 hyperphosphorylation may not be relevant to reduced GPx1 expression during clasmatodendritic degeneration.

On the other hand, CK2 also activates AKT by phosphorylation at S129 site [59–61]. In addition, the present study reveals that both CK2 inhibition by TMCB and AKT inhibition by 3CAI attenuated clasmatodendritic degeneration. Considering these, it is plausible that CK2-mediated AKT S129 phosphorylation would also elicit clasmatodendrosis by NF- $\kappa$ B S536 phosphorylation. However, CK2-mediated AKT S129 phosphorylation is necessary for the cell viability in HEK-293T cells [59]. Indeed, CX-4945 (a CK2 inhibitor) exerts strong anti-proliferative activity by blocking AKT S129 phosphorylation in cancer cells [60,61]. Therefore, it is likely that CK2-mediated AKT S129 phosphorylation may not be involved in clasmatodendritic degeneration or astroglial viability in the epileptic hippocampus.

The decreased GPx1 expression also elicits the activation of the redox-sensitive NF-KB canonical pathway and increases autophagic flux [62]. Indeed, GPx1 deletion increases NF- $\kappa$ B S536 phosphorylation [28], which is critical for autophagy in response to oxidative stress [40,41]. Consistent with a previous study demonstrating NAC-induced AKT and NF-κB inhibition [31], the present study demonstrates that NF-κB S536 phosphorylation was also enhanced in clasmatodendritic CA1 astrocytes showing AKT S473 hyperphosphorylation, which were attenuated by NAC and TMCB. However, AKT inhibition by 3CAI did not affect the reduced GPx1 level and the enhanced NF-KB S529 phosphorylation in clasmatodendritic astrocytes, although it attenuated clasmatodendrosis and NF-κB S536 phosphorylation. Considering AKT-mediated NF-kB S536 phosphorylation [39,63], our findings indicate that AKT-mediated NF-kB S536 phosphorylation may be also involved in clasmatodendritic degeneration, accompanied by the AKT/GSK-3β/Bif-1 signaling pathway. Since GPx1 inhibits NF-kB S536 phosphorylation [29] and CK2 inhibition diminishes AKT S473 phosphorylation [64,65], the present data also suggest that the enhanced AKTmediated S536 phosphorylation may be a consequence from CK2-NF-KB S529-mediated GPx1 downregulation. Therefore, it is likely that that antioxidative capacity of NAC may be attributed to GPx1 upregulation by inhibiting CK2-NF-KB S529-mediated signaling pathway in clasmatodendritic astrocytes, independent of the AKT-NF-KB S536-mediated signaling pathway.

Astrocytes contribute to the slow afterhyperpolarizing potential (sAHP), which is a major intrinsic mechanism of neuronal inhibition and its termination [66]. 4,5,6,7-Tetrabromotriazole (TBB, a CK2 inhibitor) augments sAHP [67]. Since the inhibition of clasmatodendrosis shortens seizure duration in chronic epilepsy rats [14], the present data provide evidence that clasmatodendrosis may be an epiphenomenon maintaining prolonged seizure duration in the epileptic hippocampus.

### 5. Conclusions

The present study demonstrates for the first time that CK2-mediated NF- $\kappa$ B S529 phosphorylation evoked GPx1 downregulation in clasmatodendritic astrocytes, which subsequently led to AKT-mediated NF- $\kappa$ B S536 phosphorylation facilitating this autophagic astroglial degeneration (Figure 12). Therefore, our findings suggest that GPx1 may integrate between CK2- and AKT-mediated signaling pathways during clasmatodendrosis induced by oxidative stress.



**Figure 12.** Schematic depiction representing the distinct role of NF-κB phosphorylation in clasmatodendritic CA1 astrocytes based on the present data and previous reports. Seizure activity decreases the GSH level and subsequently increases the ROS level. Aberrant CK2-mediated NF-κB S529 phosphorylation participates in GPx1 downregulation, which abolishes the GPx1-mediated inhibition of NF-κB S536 phosphorylation induced by AKT hyperactivation. In turn, the enhanced NF-κB S536 phosphorylation is involved in clasmatodendritic degeneration concomitant with AKT-mediated Bif-1 activation.

**Supplementary Materials:** The following supporting information can be downloaded at: https: //www.mdpi.com/article/10.3390/antiox12051020/s1. Figure S1: Full-length images of Western blots in Figure 2A. Figure S2: Full-length images of Western blots in Figure S3: Full-length images of Western blots in Figure 8A. Figure S4: Full-length images of Western blots in Figure 11A.

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### Article Effect of N-Acetylcysteine on Sleep: Impacts of Sex and Time of Day

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Abstract: Non-rapid eye movement sleep (NREMS) is accompanied by a decrease in cerebral metabolism, which reduces the consumption of glucose as a fuel source and decreases the overall accumulation of oxidative stress in neural and peripheral tissues. Enabling this metabolic shift towards a reductive redox environment may be a central function of sleep. Therefore, biochemical manipulations that potentiate cellular antioxidant pathways may facilitate this function of sleep. N-acetylcysteine increases cellular antioxidant capacity by serving as a precursor to glutathione. In mice, we observed that intraperitoneal administration of N-acetylcysteine at a time of day when sleep drive is naturally high accelerated the onset of sleep and reduced NREMS delta power. Additionally, N-acetylcysteine administration suppressed slow and beta electroencephalographic (EEG) activities during quiet wake, further demonstrating the fatigue-inducing properties of antioxidants and the impact of redox balance on cortical circuit properties related to sleep drive. These results implicate redox reactions in the homeostatic dynamics of cortical network events across sleep/wake cycles, illustrating the value of timing antioxidant administration relative to sleep/wake cycles. A systematic review of the relevant literature, summarized herein, indicates that this "chronotherapeutic hypothesis" is unaddressed within the clinical literature on antioxidant therapy for brain disorders such as schizophrenia. We, therefore, advocate for studies that systematically address the relationship between the time of day at which an antioxidant therapy is administered relative to sleep/wake cycles and the therapeutic benefit of that antioxidant treatment in brain disorders.

Keywords: electroencephalography; sleep; antioxidants; schizophrenia; N-acetylcysteine

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### 1. Introduction

Sleep propensity and its electroencephalographic manifestations increase as a function of time spent awake and decline as a function of time spent asleep. The accumulation of sleep needs during wakefulness and its discharge in sleep is thus said to be a homeostatic process [1]. The biochemical nature of this homeostatic process is not known. The homeostat may relate to the fundamental shift in cerebral metabolism away from the consumption of glucose to other energy sources. Glucose utilization requires a series of oxidation/reduction (redox) reactions, and it is thus conceivable that the homeostat is embodied, at least in part, by cellular redox status in the brain. The brain accumulates oxidative stress as a consequence of wakefulness, and this oxidative stress is reversed by sleep [2–5]. If brain redox status does indeed contribute to sleep homeostasis, then perturbation of brain redox status should alter the sleep homeostatic process. The goal of the current study was to measure the effects of systemic manipulations of redox substrates on EEG readout of sleep homeostasis.

As an electron carrier, nicotinamide adenine dinucleotide in its unphosphorylated (NAD) or phosphorylated (NAD phosphate; NADP) forms, is necessary for glycolytic metabolism and numerous other cellular biochemical pathways that rely on the transfer of electrons via redox reactions. NAD-dependent reactions occur at a high rate during wakefulness, as evidenced by the accumulation of NADH in brain tissues during sleep deprivation [6]. Parallel increases in markers of oxidative stress during sleep deprivation demonstrate that the capacity to undergo redox reactions is biochemically constrained during time spent awake by the availability of NAD+ as an oxidizing substrate [7,8].

Several strategies are available to increase the availability of NAD and related molecules as oxidizing substrates. The current study employed systemic administration of N-acetylcysteine (NAC), which directly increases the capacity for glutathione synthesis (Figure 1) to achieve this goal. NAC crosses the blood–brain barrier and, therefore, can undergo conversion to glutathione within cells of the brain parenchyma. We hypothesized that intraperitoneal (ip) injection of NAC via increased antioxidant capacity (i.e., glutathione) would decrease oxidative stress and subsequently decrease sleep needs. If, indeed, oxidative stress contributes to sleep homeostasis, this manipulation would be expected to manifest as an alteration in the homeostatic regulation of sleep. We tested this hypothesis by measuring sleep state timing and EEG parameters in animals subjected to NAC administration.



**Figure 1.** NAD(P)+/H are cofactors for hundreds of redox reactions involved in cellular metabolism. Exogenous application of NAC increases the pool of glutathione available in the cell for NADP(H)-dependent redox reactions. In turn, increased glutathione should produce additional opportunities for production of NADPH via the hexose monophosphate shunt [9]. Wakefulness challenges this system by promoting the accumulation of oxidative stress (i.e., reactive oxygen species [ROS] and DNA damage) during cellular metabolism. We propose that the increased availability of NADPH and glutathione as a result of NAC supplementation directly neutralizes the oxidative burden of wakefulness. Image created with BioRender.com.

### 2. Materials and Methods

### 2.1. Ethical Approval

This study was approved by the Institutional Animal Care and Use Committee of Washington State University and conducted in accordance with National Institutes of Health's Guidelines for the Care and Use of Laboratory Animals. All efforts were made to minimize the number of animals used in the experiments and to reduce the amount of pain and suffering.

### 2.2. Animals and Surgery

Twelve adult C57BL/6J mice (n = 12, 6 females, 6 males; all aged 10–12 weeks) were anesthetized using isoflurane (5% induction; 1–3% to maintain 0.5–1 Hz respiration rate) and placed in a stereotaxic frame. A 1-cm midline incision was made in the skin over the dorsal surface of the skull, and the skull was exposed to allow two holes, roughly 0.5 mm in diameter, to be drilled over predetermined coordinates targeting the medial prefrontal cortex (mPFC; A/P + 1.94; M/L  $\pm$  0.5; D/V – 1.3). At this location, stainlesssteel polyimide-insulated depth electrodes (Plastics One part #E363/1/SPC diameter: 0.25 mm) were implanted bilaterally for local field potential (LFP) measurements. Prior to surgery, depth electrodes were cut to 1.5 mm. Mice were additionally implanted with EEG and electromyographic (EMG) electrodes as described previously [10] and diagrammed in Figure 2B. Briefly, two stainless-steel EEG screw electrodes were implanted over the parietal cortices, and two EMG electrodes were implanted in the nuchal muscles. All electrodes were soldered to a six-pin head mount connector and secured to the skull with dental cement. Buprenorphine SR (1.0 mg/kg) was administered once as a post-operative analgesic. After surgery, all mice were singly housed in a vivarium which remained between  $70^{\circ}$  and  $75^{\circ}F$  at a relative humidity of 50%, on an LD 12:12 cycle for 12–13 days during recovery from surgical procedures.



**Figure 2.** Experimental protocol for N-acetylcysteine (NAC) manipulation, as described in detail in the Section 2.3. (**A**) Schematic representation of experimental setting and timeline for experimentation. (**B**) Schematic representation of electrode placement. Image created with BioRender.com.

### 2.3. Experimental Design

Animals were subjected to saline (vehicle) and NAC treatments in a within-subjects crossover design, as described in Figure 2A. Two weeks after surgery, mice were connected

to recording cables via a head mount and individually re-housed in cylindrical acrylic plastic cages 25 cm in diameter and 20 cm tall. Mice were allowed to habituate to the environment and head mount tethers overnight. Mice then underwent 24 h of undisturbed baseline LFP/EEG and EMG recording, starting 2 h before light onset (ZT22). After the baseline, three treatments of either NAC (600 mg/kg) or saline (12 mL/kg) intraperitoneal (i.p.) injections were applied (randomly assigned as Treatment 1 or Treatment 2) at ZT22, ZT0, and ZT3 (Figure 2A). Mice were concurrently sleep deprived (SD) for 6 continuous hours, from ZT0 to ZT6. Recovery sleep was recorded for the following 16 h before initiating a second session with the other treatment (at ZT 22, 0, and 3) and SD from ZT0-6. Two weeks later, mice were subjected to both treatments on the same time schedule as during the SD experiments but were allowed to sleep undisturbed between the injections (SS; spontaneous sleep). Each animal received both treatments concurrent with the two SD protocols in a repeated-measures, counterbalanced design (day 16 and 18; n = 12) and concurrent with the two SS protocols in a repeated-measures, counterbalanced design (day 30 and 31; n = 10) then was euthanized after the second spontaneous sleep recording (schematized in Figure 2A).

NAC is known to reach peak plasma levels quickly [11], with a half-life reported to range between 11 min and 6 h, depending on the animal and route of administration [12,13]. When administered intraperitoneally, NAC is directly taken up by the hepatic portal system and undergoes extensive first-pass metabolism through the liver before reaching main circulation and entering the brain [14]. The high dose used here (600 mg/kg) and the multiple injections delivered before and throughout sleep deprivation ensured that NAC was present systemically throughout the SD period. NAC was prepared in phosphate-buffered saline the day prior, balanced for pH (using NaOH), stored under nitrogen, and opened immediately prior to each injection to minimize oxidation.

### 2.4. Data Collection and Processing

Data from LFP, EEG, and EMG potentials were collected, extracted, and processed as described previously [10]. Briefly, LFP, EEG, and EMG signals from the head mount were fed through a PCB-based preamplifier (Part #8406-SL, Pinnacle Technology, Inc., Lawrence, KS, USA) to a commutator (Part #8408, Pinnacle Technology, Inc.), which was read into a PC-based acquisition system (Pinnacle Technology, Inc.; Part #8401). Signals were further amplified 50-fold and sampled at 400 Hz. LFP and EMG potentials were extracted using Sirenia software, version 2.2 from Pinnacle Technology, Inc. Sleep recording files were extracted in European Data format (.edf) and contained data from two frontal LFPs and one nuchal EMG.

Sleep recording files were scored through the online computational tool SPINDLE (sleep phase identification with neural networks for domain-invariant learning) [15]. SPIN-DLE allows .edf files to be uploaded to a web-based platform and processes signals automatically with a 4-s epoch resolution. The algorithm classifies each epoch as one of three vigilance states: wakefulness, non-rapid eye movement sleep (NREM sleep; NREMS; or slow wave sleep; SWS, which is assumed to be synonymous with NREMS in this manuscript), or rapid-eye-movement sleep (REM sleep; REMS) and additionally designates each epoch as likely or unlikely to contain artifacts. Briefly, the SPINDLE algorithm processes raw signals by windowed Fourier transforms, amongst other pre-processing methods, then feeds these data through a convolutional neural network to detect sleep states. In general, wakefulness was defined by high EMG activity for more than 50% of epoch duration. NREMS was defined by reduced EMG activity and increased LFP power below 4 Hz. REMS was defined by intermediate muscle tone, low LFP power over 4 Hz, and high LFP power between 6-9 Hz. A hidden Markov model is integrated into the SPINDLE scoring process to help to define the dynamics of vigilance states and suppress physiologically implausible sleep transitions. Further, an additional convolutional neural network was applied to mark unclear stages and technical artifacts so that they could be excluded from analysis. SPINDLE vigilance state classifications are exported as .csv files.

The SPINDLE scoring algorithm was validated against manually scored datasets: three independent laboratories achieved average agreement rates between manual and SPINDLE state classification of 93–99% [15]. We additionally validated the algorithm in our own laboratory, where total agreement between manual and SPINDLE state classification for three 24-h mouse polysomnographic recordings was 95%.

EEG spectral data (.edfs) and vigilance state classifications (from .csv files) were processed together with MATLAB as previously described [2]. EEG spectral data were separated into the following bands: delta (1–4 Hz), theta (5–8 Hz), alpha (9–12 Hz), beta (15–35 Hz), and gamma (35–120 Hz). The gamma range was further subdivided into low gamma (35–60 Hz), gamma (60–90 Hz), and high gamma (90–120 Hz). Wakefulness was subdivided into quiet wakefulness (QW) or active wakefulness (AW) using EMG peak-topeak amplitude of all wake epochs across the entire recording. QW was defined as the 33rd percentile or less and AW as the 66th percentile or higher of all wake EMG peak-to-peak amplitude values [16].

### 2.5. Statistical Analysis

Statistical analysis was performed with STATISTICA software (version 12.0, StatSoft, Tulsa, Oklahoma). Differences between means of sleep timings or EEG spectra were estimated by repeated-measures analysis of variance (RM ANOVA), with significance levels set to  $\alpha \leq 0.05$ . Partial eta squared ( $\eta^2_p$ ) is reported as a measure of effect size for each significant effect in the results section. Independent variables assessed include treatment (NAC or saline injectate), sex, and time of day (12 intervals for non-cumulative sleep timing measures; 6 intervals for EEG spectral power measures). Data analysis was subjected to sigma-restricted parameterization and effective hypothesis decomposition methods by the software. Significant results were further tested by Fisher's LSD post hoc test. While appropriate when the statistical interaction assessed is supported at  $\alpha \leq 0.05$ , it does not correct for multiple comparisons.

### 3. Results

### 3.1. NAC Increases Time Spent in NREM Sleep at the Cost of Wakefulness

We first assessed within-subjects differences in sleep architecture between EEG recordings made after NAC or saline injections during spontaneous sleep (ZT22–ZT10, days 30 & 31 in Figure 2A; data shown in Figure 3). Due to the short half-life of NAC [12,13], only the first 12 h after the first injection are displayed. RM ANOVAs indicated significant interactions of treatment x time during wakefulness ( $F_{11,88} = 2.51$ , p = 0.009,  $\eta^2_p = 0.24$ , Figure 3A) and NREMS ( $F_{11,88} = 2.7$ , p = 0.005,  $\eta^2_p = 0.25$ , Figure 3B). Post hoc analysis shows that NREM sleep is increased at the expense of wakefulness within the first 7 h of the injection protocol (Figure 3A,B). REMS did not show significant differences for treatment x time interaction (Figure 3C). State classifications assessed during and after sleep deprivation did not produce any significant differences between treatments (ZT0–ZT12, days 16 and 18; data not shown).

Increased time spent in NREM sleep after NAC may be explained by the reduced latency to NREM sleep onset after each of the three injections, which produced a treatment x time interaction ( $F_{2,16} = 9.61$ , p = 0.002,  $\eta^2_p = 0.55$ , Figure 4). NAC treatment reduced latency to sleep onset after the first injection by 66% relative to saline (24 min for NAC vs. 71 min for saline; p < 0.001 post hoc: Fisher's LSD). Latency to sleep decreased progressively after injections 2 and 3 regardless of treatment and was not affected by treatment at either of these time points. No order effect (i.e., which treatment was received on which day) was observed in latency to sleep onset.

We further probed differences in the duration of wake and NREM sleep by assessing state consolidation during the SS recordings. In the first 1-h interval beginning immediately after the first injection, wake bout duration and REMS bout duration were reduced by NAC treatment relative to saline (wake  $F_{5,40} = 5.01$ , p = 0.001,  $\eta^2_p = 0.39$ ; REMS  $F_{5,40} = 3.07$ , p = 0.019,  $\eta^2_p = 0.28$ ; data not shown). NREMS bout duration was not affected by treatment

(not significant. [n.s.], data not shown). Neither the number of bouts of each state nor the number of brief awakenings was different across treatments (n.s., data not shown).



**Figure 3.** Sleep state classifications derived from SPINDLE-scoring during NAC (blue line) and saline (black line) injections on spontaneous sleep (days 30 and 31, ZT22–ZT10) recording days. Injection times are indicated by red arrows along the X-axis. Light and dark phases are denoted by the color of the background in each panel and the bar along the top of each panel. Data show the mean total number of minutes spent in each sleep state—wake (A), NREMS (B), and REMS (C)—during 1-h bins. Significant differences were found in wake and NREM sleep durations between NAC and saline injections during SS recordings (A,B), and indicated by asterisks where p < 0.05, Fisher's LSD. Grey and light blue shaded areas signify SEM. N.S: treatment effect on REMS not significant.



**Figure 4.** Sleep latency after NAC (blue bars) and saline (black bars) injections on SS days (days 30 and 31). Bars represent minutes elapsed after injections at ZT 22, ZT0, and ZT3, respectively, until the first epoch of NREM sleep was detected. Significant differences were found between the elapsed time after the first NAC and saline injections (at ZT 22), as indicated by the asterisks. Asterisks denote p < 0.05, as assessed by Fisher's LSD post hoc test. These differences were not modulated by sex. Error bars signify SEM.

### 3.2. NAC Accelerates Changes in the Dissipation of Sleep Pressure

To gain a better understanding of the increases in NREM sleep duration displayed in Figure 3B, we conducted a power analysis of low-frequency oscillations (i.e., SWA, theta, alpha, and low beta) during SS injections. When the spectral activity was separated into sleep states, significant power differences were observed in 1–20 Hz bands between NAC and saline recordings during NREM sleep (days 30 and 31 as displayed in Figure 2; RM ANOVA treatment x 2-h time interval x frequency,  $F_{95,760} = 1.52$ , p = 0.002,  $\eta^2_p = 0.16$ ; statistics describe all data displayed in Figure 5).

To account for time-of-day effects in response to treatments, panels in Figure 5 are separated to display low-frequency data in six two-hour time intervals from ZT 22 to ZT 10. Injections occurred 2 h prior to the start of the light phase (at ZT22; Figure 5A), at the start of the light phase (ZT 0; Figure 5B), and 3 h into the light phase (ZT3; Figure 5C). The first panel (Figure 5A) displays LFP power in low-frequency bands during the last two hours of the dark phase. This is the post-siesta period, when wakefulness generally increases, and low-frequency activity is expected to build along with sleep pressure and drowsiness. NAC recordings demonstrate increased delta power when compared to saline recordings, while alpha and low beta power are decreased (Figure 5A). Overall, this indicates that NAC accelerates the discharge of sleep drive (delta oscillations) during the dark phase.

During the light phase (Figure 5B–F), power in low-frequency bands generally decreases as increased NREM sleep duration alleviates sleep pressure and drowsiness. The first 6 h of the light phase demonstrate this effect, regardless of treatment, in Figure 5B–D. However, NAC recordings demonstrate further decreases in power across the 1–20 Hz range when compared with saline recordings. This difference suggests that sleep pressure is discharged at an accelerated rate during the early light phase when NAC is administered. Later in the light phase, a transient elevation of delta power in NAC recordings relative to saline recordings can be noted (Figure 5E), demonstrating a rebound effect after the initial acceleration of SWA discharge.



**Figure 5.** Changes in LFP spectral power during NREM sleep in mice during spontaneous sleep recordings (SS; days 30 and 31) from ZT22 to ZT10. (**A**–**F**) Panels display power in 1–20 Hz bands in sequential 2-h intervals from days when mice received either NAC (blue) or saline (black/grey) injections. Three injections were administered in this time frame at 0, 120, and 300 min; within each of the first three panels (**A**–**C**). Treatment x 2-h time interval (panel) x frequency differences between NAC and saline recordings were indicated by RM ANOVA, and individual frequency band differences were derived via post hoc assessment by Fisher's LSD. Significance is indicated by asterisks between these groups where *p* < 0.05. These differences were not modulated by sex. Light and dark phases are denoted by the color of the background in each panel. Grey and blue shaded areas signify SEM. N.S.: No significant effect of treatment on EEG power spectra from ZT8 to ZT10.

When sleep pressure is increased after sleep deprivation, from ZT 6 to ZT 9 (post-SD; days 16 and 18 as displayed in Figure 2), the patterns described above are repeated (RM ANOVA treatment x 30-min time interval x frequency,  $F_{95,950} = 1.32$ , p = 0.028,  $\eta^2_p = 0.12$ ; statistics describe all data displayed in Figure 6). As expected, delta power is high after sleep deprivation, regardless of treatment, indicating increased sleep discharge. As we observed during SS (Figure 5), delta power initially increases and is discharged at an accelerated rate in NAC recordings when compared with saline recordings; at the same time, power in other low-frequency sub-bands (i.e., theta, alpha, and low-beta) is suppressed (Figure 6A–C). Eventually, delta power rebounds, decreasing during NAC recordings when compared with saline recordings (Figure 6F).

Overall, these data appear to suggest that NAC accelerates the discharge of delta power during NREMS when sleep need is elevated (i.e., during the dark phase or directly after sleep deprivation). However, in periods when sleep need has already been dissipated, animals that have been subjected to NAC injections display suppressed sleep pressure. Additionally, the initial attenuation of alpha power in NAC recordings suggests decreased cortical EEG synchronization, as alpha power is expected to increase during typical post-SD recovery sleep [17].

### 3.3. NAC Induces Sex-Specific Effects on the Accumulation of Sleep Need and Drowsiness during Enforced Wakefulness

We next determined whether NAC impacts the dynamics of sleep needs and waking EEG activity throughout the day. To do this, we assessed cumulative LFP energies for gamma, beta, and delta oscillations during active wake (AW), quiet wake (QW), and NREM sleep during NAC and saline injections. No differences in overall LFP energies were

apparent between NAC- and saline-treated animals during AW or NREMS during SD (n.s., data not shown). Cumulative gamma power during AW was also unaffected during SD (n.s., data not shown). However, NAC was found to modulate delta and beta LFP energies within QW in a sex-dependent manner during SD. In females, NAC accelerated the accumulation of delta and beta energy across QW during SD, whereas NAC suppressed the accumulation of delta and beta energy across QW during SD in males (days 16 and 18 as displayed in Figure 2; beta:  $F_{5,40} = 4.73$ , p = 0.002,  $\eta^2_p = 0.37$ ; delta:  $F_{5,45} = 6.66$ , p < 0.001,  $\eta^2_p = 0.42$ ; RM ANOVA treatment x sex x hour, assessed for post hoc differences, indicated in Figure 7). These differences indicate that NAC decreases the build-up of sleep pressure across SD in males, whereas NAC accelerates the accumulation of sleep pressure during SD in female mice. Data were also significant for treatment x sex interaction.



**Figure 6.** Changes in LFP spectral power during NREM sleep in mice during the hours after sleep deprivation recordings (SD; days 16 and 18; ZT6 to ZT9). (**A**–**F**) Panels display average power in 1–20 Hz bands in sequential 30-min intervals from days when mice received either NAC (blue) or saline (black/grey) injections. 30-min bins were utilized to ensure that the accelerated dynamics of SWS after SD were captured. Times displayed at the top of each panel refer to the amount of time elapsed after the sleep deprivation protocol was ended, allowing the recovery sleep opportunity to begin. No injections were administered in the timeframe of these recordings. The last injection was administered 3 h before the recordings displayed in panel A (final injection at ZT3). Treatment x 30-min time interval (panel) x frequency differences between NAC and saline recordings were indicated by RM ANOVA, and individual frequency band differences were derived via post hoc assessment by Fisher's LSD. Significance is indicated by asterisks between these groups where *p* < 0.05. These differences were not modulated by sex. Shaded areas signify SEM.

## 3.4. NAC Attenuates Sleep Need and Drowsiness in a Sex-Independent Manner during Quiet Wakefulness in Spontaneous Sleep

Finally, we assessed cumulative LFP energies during SS recordings (days 30 and 31). Differences were not detected between NAC- and saline-treatment recordings in cumulative delta or beta energies during NREMS in the 6-h interval after the second injection during SS recordings (n.s., not shown). Cumulative gamma energy in the active wake was also unaffected during SS recordings (n.s., not shown). However, NAC-treatments were found to attenuate cumulative beta (RM ANOVA Time x treatment interaction  $F_{5,40} = 2.91$ , p = 0.024,  $\eta^2_p = 0.27$ ; Figure 8A,B) and delta (RM ANOVA Time x treatment interaction  $F_{5,40} = 3.36$ ,

p = 0.014,  $\eta^2_p = 0.32$ ; Figure 8C,D) energy during QW in spontaneous sleep/wake recordings in a time-dependent but sex-independent manner. There were no effects of NAC on cumulative beta energy in female mice, but the trend was in the same direction as in male mice, which demonstrated suppression of beta energy when NAC was on board (Figure 8A,B).



**Figure 7.** Changes in cumulated delta and beta activities during QW in SD recordings in which saline (black/grey) and NAC (blue) were administered to mice. These recordings were taken during the sleep deprivation period, as indicated by the red bar across the x-axes. Data are displayed in 1-h bins that occur from ZT0-6 on days 16 and 18 of the experimental protocol. These differences are displayed as cumulative LFP energies. Cumulative LFP energy is displayed on the left panels (**A**,**C**) for female mice and on the right (**B**,**D**) for male mice. Cumulative beta energy is displayed in the top panels (**A**,**B**), and cumulative delta energy is displayed on the bottom panels (**C**,**D**). Treatment x sex x hour differences were indicated by RM ANOVA, and differences between NAC and saline in specific intervals were derived via post hoc assessment by Fisher's LSD. Significance is indicated by asterisks between these groups where *p* < 0.05. Injection times are indicated by red arrows. Grey and blue shaded areas signify SEM.

Overall, delta and beta energies in NAC-treated recordings were lower than in salinetreated recordings, suggesting that NAC suppresses the accumulation of sleep need and drowsiness in QW during SS in the light phase [16].



**Figure 8.** Changes in cumulated delta and beta activities during QW between SS recordings in which saline (black/grey) and NAC was administered (blue). Data are displayed in 1-h bins which occur from ZT0-6 on days 30 and 31 of the experimental protocol. Cumulative LFP energy is displayed on the left panels (**A**,**C**) for female mice and on the right (**B**,**D**) for male mice. Cumulative beta energy is displayed in the top panels (**A**,**B**), and cumulative delta energy is displayed on the bottom panels (**C**,**D**). Treatment, x time differences, were indicated by RM ANOVA, and differences between NAC and saline in specific intervals were derived via post hoc assessment by Fisher's LSD. Significance is indicated by asterisks between these groups where *p* < 0.05. Injection times are indicated by red arrows. Grey and blue shaded areas signify SEM.

### 4. Discussion

Here, we describe the effects of systemic redox manipulation via the glutathione precursor NAC on sleep timing and the EEG features associated with sleep homeostasis. We hypothesized that increasing the antioxidant capacity of the brain would facilitate sleepdependent decreases in oxidative stress, decreasing the time required to dissipate sleep needs during NREMS. The effects of NAC on sleep timing and EEG parameters related to sleep homeostasis confirmed this effect, as animals exposed to NAC fell asleep faster (i.e., reduced latency to SWS) and dissipated delta power more rapidly. Overall, NAC increased the time that mice spent in NREMS at the cost of wakefulness during baseline sleep.

These effects could be a consequence of the perturbation of molecular processes that underlie sleep homeostasis, as glutathione is a regulator of sleep/wake cycles. The literature on this subject has previously described glutathione as a sleep-promoting substance and has shown that intracerebroventricular injections of oxidized glutathione (GSSG) increase time spent asleep [18,19]. It has been hypothesized that the somnogenic effects of GSSG are due to its ability to attenuate glutamatergic neurotransmission in the brain and stimulate nitric oxide synthase or general oxidative stress signaling mechanisms [19,20]. Ultimately, NAC produced the same effect, as it induced a shift in redox status that limits the ability of animals to stay awake. Additionally, NAC was observed to accelerate changes in delta power associated with sleep homeostasis. That is, when sleep need (delta power) is already increasing (post-siesta wakefulness or during SD), NAC further augments it; when sleep needs are decreasing (during sleep; lights on), NAC facilitates accelerated dissipation of delta power. Along with a general decline in EEG synchrony, this suggests that NAC changes the distribution of sleep depth by increasing initial sleep intensity without changing the timing of the accumulation of sleep needed during wakefulness.

### 4.1. N-Acetylcysteine Transiently Perturbs the Sleep Homeostat

In animals undergoing uninterrupted, spontaneous sleep/wake cycles during the light phase, delta power during NREMS declines from near peak levels at the light onset to a minimum value by the end of the light phase. Here, we have shown that NAC accelerates the rate of decline of NREMS delta power across the light phase, relative to vehicle injection.

The underlying biochemical processes which facilitate this effect of NAC are likely a direct result of increased glutathione (GSH) availability. Generally, systemic NAC is rapidly converted to glutathione via a multi-step enzymatic pathway that includes its direct conversion to L-cysteine, a rate-limiting substrate in glutathione synthesis [21]. Increased glutathione should produce additional opportunities for the production of NADPH [9], as reduced glutathione (GSH) serves as a redox substrate by undergoing oxidation to GSSG, coupled with reduction of NADP+ to NADPH, via glutathione reductase. The generation of NADPH then secondarily impacts NAD+/NADH via pathways as schematized in Figure 1. Through interconversion of NADP/NAD, the availability of GSH thus allows the cell to stabilize the NAD+/NADH ratio and NAD levels in the face of increased NAD+ consumption by enzymatic processes during wakefulness. Since fluctuations in cellular antioxidant capacity are largely due to the balance of the redox couple GSH/GSSG, this metabolic pathway is believed to be involved in mediating oxidative stress in the cell and responsible for providing the antioxidant benefits of NAC [22,23]. These data are compatible with our hypothesis that increased NAC and glutathione generally reduce sleep needs by decreasing cellular oxidative stress.

The biochemical pathway linking NAC to NAD(P):H homeostasis is especially important during prolonged wakefulness: sleep deprivation elevates brain expression of glutathione peroxidase [24] and the concentration of oxidized glutathione (GSSG) [25,26] while lowering the concentration of reduced glutathione (GSH) [27]. Accumulation of GSSG over time spent awake should decelerate glutathione peroxidase activity through product-dependent inhibition and thereby increase NADP+ concentration in the brain (as indeed occurs in sleep deprivation; [6]). Additionally, As NADPH is the primary source of reducing equivalents for glutathione, NADP+:NADPH dysregulation associated with protracted wake could endanger the efficacy of the cell's most robust antioxidant system [28]. Ultimately, a shift in redox state limits the ability to stay awake: intracerebroventricular infusion of oxidized glutathione into the brain increases time spent asleep [19,25]. By serving as a biochemical precursor for GSH, NAC increases the pool of GSH available as a NADP+/NADPH buffering substrate.

The effects of NAC on sleep are not necessarily an exclusive consequence of its participation in glutathione-related redox and antioxidant reactions. The therapeutic potential of NAC has also been proposed to involve the modulation of glutamatergic neurotransmission in the brain [29]. The NAC metabolite L-cysteine is oxidized to L-cystine once it is taken up by tissue. Interactions with the cystine/glutamate antiporter exchange extracellular cystine for intracellular L-glutamate, especially across glial membranes [30]. This increase in extrasynaptic glutamate activates mGluR2/3 receptors, decreasing synaptic glutamate release [29]. Such decreases in excitatory activity may decrease the signaling and metabolic demands of neurons in the presence of NAC [31]. This signaling change, in addition to the conversion of NAC to glutathione, may contribute to the sleep-related changes observed here. NAC also confers other neuroprotective effects, including the stabilization of proteins and DNA by cross-linking cysteine disulfide molecules. Given that DNA damage induces sleep and that sleep has been implicated in DNA repair [32], this mechanism cannot be dismissed in considering the possible effects of NAC related to sleep. In order to use NAC to its full efficacy, further studies should explore the underlying mechanisms that specifically contribute to its sleep-related effects. Future studies should verify the extent to which acute NAC elevates cerebral glutathione in order to understand if the effects observed here are glutathione specific. Other relevant repair/oxidative stress protection mechanisms of NAC may also be relevant and worth exploring [33], including mechanisms by which NAC scavenges free radicals [34], induces neurogenesis [35], reduces mitochondrial apoptosis [36], reduces glutamatergic neurotransmission [29], and chelates metals as a form of oxidative stress protection [37].

### 4.2. Sex Differences in the Response to N-Acetylcysteine

We revealed sex differences in the impact of NAC on SD when assessing the spectral dynamics of cumulative delta and beta effects during QW (Figure 7). In females, NAC accelerated the accumulation of drowsiness and sleep needs across QW during SD, whereas in males, NAC suppressed the accumulation of drowsiness and sleep needs across QW during SD. The sex differences observed in accumulated EEG beta power during QW (i.e., drowsiness; [16]) could be the result of sex differences in antioxidant mechanisms, as males are more robustly impacted by surges of antioxidants than females. Studies assessing the capacity of human brain mitochondrial respiration have shown that mitochondria derived from males create two-fold more reactive oxygen species (ROS) than those of females [38]. An influx of glutathione via NAC would consequently be expected to have a greater impact in terms of alleviating oxidative stress in males. On the other hand, the same increase in glutathione may create reductive stress in females. If female mitochondria accumulate an excess of antioxidant capacity, further elevating GSH might free up excess NADPH to serve as a substrate for NADPH oxidase 2 (NOX2) in the generation of superoxide ions. Further, imbalances in NADP+/H and, subsequently, the dysregulated activity of NOX2 during sleep fragmentation create excessive superoxide production and subsequent inflammation. In support of this theory, NOX2-deficient mice are protected from cognitive decline associated with sleep fragmentation [39].

Final accumulated QW beta power at hour 6 during SD in the saline control condition was more than 2-fold higher in males (2358 mV<sup>2</sup>·min; Figure 7B) than in females (944 mV<sup>2</sup>·min; Figure 7A) according to post hoc comparisons of these two values (p = 0.027, Fisher's LSD). This sex difference is effectively nullified by NAC, in the sense that the final accumulated beta power at hour 6 during SD in the NAC condition was (non-significantly) higher in females (1917 mV<sup>2</sup>·min; Figure 7A) than in males (1508 mV<sup>2</sup>·min; Figure 7B).

This pattern was replicated by QW delta power: Final accumulated QW delta power at hour 6 during SD in the saline control condition was higher in males (7873 mV<sup>2</sup>·min; Figure 7D) than in females (4268 mV<sup>2</sup>·min; Figure 7C; p = 0.049, Fisher's LSD). This sex difference is effectively nullified by NAC, as the final accumulated delta power at hour 6 during SD in the NAC condition was (non-significantly) higher in females (7972 mV<sup>2</sup>·min; Figure 7C) than in males (5305 mV<sup>2</sup>·min; Figure 7D). The nullification of these sex differences by NAC implicates underlying sex differences in wake-dependent redox dynamics. Interestingly, during QW during SS in the light phase, similar sex differences were not observed, as NAC slowed the accumulation of delta oscillations in both males and females (Figure 8). This suggests that these sex differences may be masked under conditions where oxidative stress is not exacerbated (such as during SD).

### 4.3. Limitations of C57BL/6J as an Experimental Model

C57BL/6J mice are known to have mutations in the nicotinamide nucleotide transhydrogenase (NNT) gene, which results in mitochondrial redox abnormalities. Although this mutation spontaneously arose nearly four decades ago, it was only discovered in 2005, and C57BL/6J mice are still commonly used in laboratory experiments [40]. NNT is an enzyme that is localized to the inner mitochondrial membrane. Its main role is to reduce NADP+ to
NADPH at the expense of NADH oxidation and H+ re-entry to the mitochondrial matrix. In doing so, it provides a major pathway for NADP+/H and NAD+/H interconversion within the cell. In the absence of NNT, redox-related imbalances abound with relatively minimal impact on overall health. Redox challenges of NNT-deficient mice that are pertinent to our manipulations include higher rates of hydrogen peroxide (i.e., ROS) release and poorer ability to metabolize peroxide; spontaneous NADPH oxidation; and increased ratio of oxidized glutathione to reduced glutathione (GSSG:GSH). Overall, this results in increased oxidative stress and decreased glutathione-based antioxidant capacity in these mice [40].

NAC has demonstrated neuroprotective effects in C57BL/6J mice in the literature [41–43]. The pathways which utilize nicotinamide-based substrates in the body are rich with redundancies—about 200 enzymes in total can process nicotinamide-based substrates; these redundancies may explain why NNT deficiency does not produce major adverse health effects in mice [40]. A comprehensive phenotypic comparison of C57BL6/J mice against C57BL6/N mice, in which NNT is repaired, additionally demonstrates that NNT-deficient C57BL6/J mice perform better in certain neurobehavioral assays of cognitive function [44]. As the NNT mutation decreases the effectiveness of NADPH-related antioxidant pathways, the metabolic impairments produced by the NNT mutation in C57BL6/J mice might be able to be considered a constitutive model of oxidative stress. Demonstrating the efficacy of NAC in this model allows for a specific understanding of the utility of such treatments in the context of increased oxidative stress in many neurological diseases. Future studies should assess whether the effects of NAC on EEG and sleep differ between C57BL/6J and other strains with intact nicotinamide pathways (such as C57BL/6N mice).

#### 4.4. Translational Relevance of the Findings

The effects of NAC on sleep are likely to have translational relevance. NAC has been applied in human subject trials in neurologic and psychiatric conditions in which oxidative stress is believed to contribute to pathogenesis [45–47]. A prominent line of reasoning about the pathogenesis of schizophrenia, for instance, is that the schizophrenic brain is constitutively vulnerable to oxidative insults and that typically benign oxidative challenges can cause changes to the schizophrenic brain that manifest as symptoms [45]. This line of reasoning led to the hypothesis that pharmaceutical and/or nutraceutical manipulations that increase the availability of antioxidant substrates in the brain could be of therapeutic benefit. Despite the clear rationale for the use of antioxidants such as NAC as adjunct therapies in schizophrenia, clinical trials involving antioxidant administration to schizophrenic patients have led to equivocal results, with only a subset of trials finding statistically and clinically significant benefits [48–50]. Reasons for discrepancies in the outcome of clinical trials are not known, but results of our studies suggest that both time of day (i.e., the timing of antioxidant administration relative to sleep timing) and sex ought to be considered in the application of NAC.

Time of day significantly impacts oxidation-reduction reactions in the brain [51–53], which may influence the efficacy of antioxidant therapeutics in the treatment of neurologic and psychiatric conditions. Sleep is associated with dramatic shifts in the oxidative status of biochemical substrates in the brain and supports mechanisms that reduce accumulated oxidative stress that builds during waking activities [54–56]. Disruption of sleep and its associated neuronal network activities is a hallmark of schizophrenia [57,58]. For example, sleep acutely attenuates abnormalities in sensory gating in schizophrenia [59] and similar sensory gating deficits that emerge in non-schizophrenics voluntarily undergoing habitual sleep restriction [60]. Our experiment demonstrates the somnogenic qualities of NAC, which is replicated in experimental infusions of GSSG [18,19].

Because the oxidative insult of sleep disruption exacerbates the symptoms of schizophrenia, manipulations that increase the availability of antioxidant substrates may benefit from being timed relative to the sleep/wake cycle. Specifically, we hypothesize that for antioxidants taken for the purpose of targeting and protecting the brain (as is the case of NAC

for schizophrenia), maximal therapeutic efficacy will occur when the antioxidant is administered at a time and in a manner that delivers the antioxidant to the brain when sleep need is highest, at sleep onset. Conversely, therapeutics administered without regard for sleep-dependent antioxidant processes in the brain may not deliver positive results. We attempted a meta-analysis of studies to test this hypothesis. Pubmed searches were conducted for the following term sets, with filtering for clinical trials: "schizophrenia nicotinamide," "schizophrenia niacin", "schizophrenia acetylcysteine". Collectively, the three searches yielded access to 28 published studies, the PMIDs of which are included as supplementary materials with this manuscript (Supplementary Table S1). Trials involved the administration of five agents: N-acetylcysteine (n = 17); niacin or niacinamide (n = 4); nicotinic acid (n = 2); nicotinamide adenine dinucleotide (n = 3); nicotinamide (n = 2). Of the 28 trials accessed, only three specified the time of day at which the agent was administered (Figure 9A). Two trials involved one morning dosing and one evening dosing, and one trial involved a morning dose exclusively. No trials engaged in a systematic comparison of exclusive morning vs. exclusive evening dosing; no trials employed exclusively evening dosing. Thus, 89% of clinical studies examining the efficacy of N-acetylcysteine and related antioxidants in schizophrenia do not report the time of day of N-acetylcysteine administration, and no studies appear to have systematically varied time of day as part of the design. Of those 28 studies, only 20 studies (71%) assessed study outcomes in both sexes, and only 7 (25%) reported statistical analysis related to sex differences (Supplementary Table S1), with many studies claiming an inability to properly assess sex differences, given small sample sizes.

A more general Pubmed review of clinical trials examining the efficacy of nicotinamidebased antioxidants without regard to the target disease state indicated that 76% do not report the time of day of administration (Supplementary Table S2). Pubmed searches were conducted for the following term sets, with filtering for clinical trials: "nicotinamide supplement", "nicotinamide supplementation", "dietary nicotinamide", "n-acetylcysteine supplement", "dietary n-acetylcysteine", "dietary n-acetyl cysteine", "n-acetyl cysteine supplement", "nicotinamide riboside", or "nicotinamide mononucleotide". Resulting accessible trials involved the administration of 7 agents: N-acetylcysteine (n = 37); niacin or niacinamide (n = 4); nicotinamide (n = 7); nicotinamide adenine dinucleotide (NAD; n = 4; nicotinamide mononucleotide (n = 4); nicotinamide riboside (n = 11); or nicotinic acid (n = 1). The vast majority of studies did not report the time of day of antioxidant administration (51 of 68 studies; Supplementary Table S2). Five studies involved only daytime or morning administration; no trials have employed exclusively evening dosing. Six of the studies incorporated morning and evening administration; five of these entailed morning administration in addition to evening administration in the same subject group. A single study (PMID 35215405) systematically compared the effects of nicotinamide mononucleotide (NMN) supplementation in the morning vs. evening on self-reported, subjective sleep quality and drowsiness. Subjects were instructed to take supplements either in the AM (between wake-up time and 12:00) or PM (between 18:00 and bedtime). Those in the PM NMN group had reduced daytime drowsiness ratings relative to PM placebo controls, whereas those in the AM NMN group did not differ from AM placebo controls. This study, which appears to be unique to the literature in that it systematically assessed time-of-day effects on antioxidant efficacy, is suggestive of a time-of-day-dependent antioxidant response relevant to sleep and sleepiness (Figure 9B). Future studies should further address this possibility and, at a minimum, specify in reports the time of day at which antioxidants were administered.

Of the 68 studies included in this general review of nicotinamide-based antioxidants, only 39 (57%) assessed study outcomes in both sexes, and only 9 (13%) reported statistical analysis related to sex differences (Supplementary Table S2). Out of the studies which reported statistical analysis of sex differences, four studies (6%) found differences between sexes. Two of these studies found that nicotinamide treatments were more effective in males (PMIDs 8951265, 7660324), whereas two found more striking results in females (PMIDs 34238308, 32320006), with several studies mentioning that larger study sizes would be

necessary to properly assess the difference in sex. These equivocal results comport with our understanding of sex differences, as observed in Figures 7 and 8, which suggest that sex differences may reveal themselves differentially based on a subject's prior accumulation of tissue-level oxidative stress (which may be roughly assessed by time of day).



## A. Antioxidant Trials in Schizophrenia

B. Antioxidant Trials Irrespective of Disorder



**Figure 9.** Timing of administration of NAC and related antioxidants in clinical trials. (**A**) Data from 32 clinical trials involving schizophrenia. (**B**) Data from 93 clinical trials reviewed without regard to the disorder targeted. Numbers in parentheses indicate the number of trials included in that category.

Disorders where NAC or other nicotinamide-based treatments are commonly used as adjunctive therapies are often conditions in which sleep is taxed and tissue-level oxidative stress is high. Given these results, we suggest that future clinical studies assess both sexes, as conditions in which oxidative stress is exacerbated will likely reveal sex differences (as suggested by PMID 32320006). Consideration of both time of day and sex together could potentially improve the replicability of study outcomes and the efficacy of antioxidant-based treatments in clinical trials.

### 5. Conclusions

The studies described here demonstrate that N-acetylcysteine modulates the sleepwake cycle in mice: reducing the latency to onset of and increasing the amount of time spent in NREMS. The sleep-promoting effect of N-acetylcysteine may contribute to its therapeutic potential in schizophrenia and other neuropsychiatric conditions, provided that it is administered at bedtime. N-acetylcysteine may modulate the electroencephalogram differently in male and female subjects. It is, therefore, recommended that future clinical trials involving N-acetylcysteine incorporate bedtime administration into the design and measure the effects of treatments in both male and female subjects.

**Supplementary Materials:** The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/antiox12051124/s1, Table S1: Antioxidant Trials in Schizophrenia; Table S2: Antioxidant Trials Irrespective of Disorder.

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## Systematic Review Sleep Deprivation-Induced Oxidative Stress in Rat Models: A Scoping Systematic Review

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Abstract: Sleep deprivation is highly prevalent in the modern world, possibly reaching epidemic proportions. While multiple theories regarding the roles of sleep exist (inactivity, energy conservation, restoration, brain plasticity and antioxidant), multiple unknowns still remain regarding the proposed antioxidant roles of sleep. The existing experimental evidence is often contradicting, with studies pointing both toward and against the presence of oxidative stress after sleep deprivation. The main goals of this review were to analyze the existing experimental data regarding the relationship between sleep deprivation and oxidative stress, to attempt to further clarify multiple aspects surrounding this relationship and to identify current knowledge gaps. Systematic searches were conducted in three major online databases for experimental studies performed on rat models with oxidative stress measurements, published between 2015 and 2022. A total of 54 studies were included in the review. Most results seem to point to changes in oxidative stress parameters after sleep deprivation, further suggesting an antioxidant role of sleep. Alterations in these parameters were observed in both paradoxical and total sleep deprivation protocols and in multiple rat strains. Furthermore, the effects of sleep deprivation seem to extend beyond the central nervous system, affecting multiple other body sites in the periphery. Sleep recovery seems to be characterized by an increased variability, with the presence of both normalizations in some parameters and long-lasting changes after sleep deprivation. Surprisingly, most studies revealed the presence of a stress response following sleep deprivation. However, the origin and the impact of the stress response during sleep deprivation remain somewhat unclear. While a definitive exclusion of the influence of the sleep deprivation protocol on the stress response is not possible, the available data seem to suggest that the observed stress response may be determined by sleep deprivation itself as opposed to the experimental conditions. Due to this fact, the observed oxidative changes could be attributed directly to sleep deprivation.

**Keywords:** sleep deprivation; sleep; oxidative stress; stress; glutathione; GSH; GSSG; catalase; CAT; superoxide dismutase; SOD; nitric oxide; NOx; lipid peroxidation; MDA; rat

## 1. Introduction

Sleep is a highly conserved fundamental aspect observed across the animal kingdom, playing a critical role in maintaining homeostasis. Sleep deprivation (SD) refers mainly to quantitative or qualitative alterations of normal sleep. SD seems to be intrinsically linked to the modern technological world and lifestyle. Decreases in sleep duration or quality can be seen in all age groups, with significant variations determined by a multitude of factors [1]. While SD is certainly common, there is no current agreement on the extent of this phenomenon to epidemic proportions [2]. In humans, SD determines a multitude of negative health effects ranging from daytime sleepiness to an association with multiple

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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). chronic conditions such as obesity, diabetes, mental health disorders, cardiovascular disease and neurodegenerative disorders [2–4].

Sleep is a universal phenomenon in most animals. Even though the first experimental evidence regarding the importance of sleep dates back to the 19th century [5], multiple unknowns still persist regarding the nature of sleep, particularly regarding its underlying functions. While several theories attempt to explain the roles of sleep (such as the inactivity theory, energy conservation theory, restoration theory and brain plasticity theory, among others), it is commonly agreed that the roles of sleep are best explained through an integration of these theories [6]. Furthermore, an emerging perspective describes sleep as a consequence of metaregulation. Through this lens, the beneficial role of sleep can be explained by considering sleep as a state of adaptive inactivity or as a default state of cerebral networks [7].

A further theory regarding the role of sleep was first proposed by Reimund in 1994, hypothesizing that sleep may play an antioxidant role in the brain and peripheric organs [8]. Initially, this theory was disregarded due to the frequently contradictory evidence in the literature regarding animal models of SD and oxidative stress. Nevertheless, recent findings appear to validate the antioxidant functions of sleep and the occurrence of oxidative stress after SD in both paradoxical sleep deprivation (PSD/REM sleep) and total sleep deprivation (TSD) protocols in rodent models [9]. Additionally, a multifaceted reciprocal association between sleep and oxidative stress has been proposed and seems to be supported by recent results: sleep may act as a protective mechanism against oxidative stress, while at the same time, a certain level of oxidative stress is required to initiate sleep [9,10]. While the behavioral effects of sleep deprivation (such as low mood, anxiety, memory alterations etc.) have been largely characterized before, numerous unknowns remain regarding the molecular changes underlying these effects. Furthermore, it is believed that the molecular and immune alterations resulting from SD, such as oxidative stress and inflammation, may serve as driving factors for the development of chronic pathologies linked to insufficient sleep [4,11].

Oxidative stress can be defined as "an imbalance between oxidants and antioxidants in favor of the oxidants, leading to a disruption of redox signaling and control and/or molecular damage" [12]. Reactive oxygen species (ROS) span a spectrum of roles depending on their concentration. At low levels (oxidative eustress), ROS encompass crucial functions in multiple physiological processes such as redox signaling, cell death, and immune function. However, at higher concentrations, ROS can be damage-inducing (oxidative distress) [13,14]. Overall, redox homeostasis is maintained through multiple oxidative stress responses following activation by multiple molecular redox switches [13]. The imbalance between oxidants and antioxidants observed in oxidative stress can occur due to various factors, including increased reactive oxygen species (ROS) production, antioxidant enzyme inactivation or increased antioxidant consumption [14]. The excess ROS formed during oxidative stress (superoxide anion, hydrogen peroxide, hydroxyl radical, peroxyl radicals etc.) is counterbalanced by both enzymatic (Superoxide dismutase, Catalase, Glutathione peroxidase and transferase) and nonenzymatic antioxidants (Glutathione, vitamins, etc.). The roles and determination methods of the major antioxidants are relatively well-known and have been previously reviewed [12,14,15]. The unbalanced increased oxidant load can lead to an accumulation of damage, activating multiple programs such as autophagy, mitophagy, apoptosis, necroptosis, and ferroptosis [13]. Furthermore, increased ROS production may determine changes in DNA structure, induce alterations in lipids and proteins, trigger the activation of transcription factors, impact the synthesis of inflammatory cytokines and influence signal transduction pathways [15]. Oxidative damage to lipids generates a wide range of toxic compounds, ranging from lipid hydroperoxides to Malonaldehyde (MDA) and 4-Hydroxynonenal (4-HNE) [16]. These products can further induce protein and DNA damage by cross-linking or denaturation [15,17]. Nitric oxide (NO) exhibits intricate physiological and pathological functions ranging from signaling, inflammation, vascular regulation, regulation of sleep-wake cycles and oxidative damage. NO synthesis is mediated by three enzyme isoforms, each possessing specific localizations and distinct roles: neuronal nitric oxide synthase (nNOS), inducible nitric oxide synthase (iNOS) and endothelial nitric oxide synthase (eNOS) [18]. NO plays an intricate role in relation to oxidative stress. While increased ROS can decrease NO availability through NO scavenging, especially in the cardiovascular system [19], dysregulated nitric oxide can be implicated in oxidative /nitrosative stress, which could further induce oxidative damage in proteins, lipids and DNA [20,21].

A previous systematic review on the relationship between sleep deprivation and oxidative stress has been published by Villafuerte et al. [9], presenting evidence for the antioxidant role of sleep in both brain and non-brain areas. However, multiple unknowns remain regarding this relationship. We performed a scoping review in order to systematically identify and evaluate the newly available research conducted on this topic. The main goals of this review were to evaluate and attempt to clarify the relationship between sleep deprivation and oxidative stress in experimental studies performed on rat models, to provide a timeline of oxidative changes determined by sleep deprivation and to identify and address any remaining knowledge gaps regarding this relationship.

## 2. Materials and Methods

The methods used in this review were designed and based on the 22-item Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) [22].

A scoping systematic review of the literature was performed regarding oxidative changes in experimental sleep-deprived rat models. The searches were performed between 21 and 23 February 2023 in three databases PubMed, Web of Science and Cochrane Library, for articles published between 1 January 2015 and 1 October 2022. The following simplified search algorithm was adapted for each database: (sleep OR sleep deprivation) AND (oxidative stress) AND (rat) AND (publication date 1 January 2015-1 October 2022) NOT (sleep apnea OR neurodegenerative disease). Search results were imported into Endnote 20 (IBM-Clarivate) for management. Title and Abstract screening and full-text evaluation were performed by two researchers (V.S.N. and I.A.C.), and discrepancies were resolved by consensus and oversight from C.C., H.A.C. and S.C. A data charting form was initially piloted and developed by two authors (V.S.N. and I.A.C.) and updated as needed. The following data were extracted from each included study: sleep deprivation protocol and duration, type of control group, subject characteristics (rat breed, age and sex), serum cortisol/corticosterone, oxidative stress parameters (Reduced Glutathione-GSH, Oxidized Glutathione-GSSG, GSH/GSSG ratio, Catalase-CAT, Superoxide dismutase-SOD, Nitric Oxide and Nitric Oxide enzymes), Lipid peroxidation. Data extraction was performed independently by two authors (V.S.N. and I.A.C.).

Comparisons were sought between a control group not exposed to sleep deprivation and a group that was sleep deprived without any other intervention or drug administration. We included experimental sleep deprivation studies performed on rats, using any type of sleep deprivation protocol that included at least one of the previously mentioned oxidative stress parameters. All measurement types were included (enzyme activity, ELISA, Western Blot, Immunohistochemistry, Immunofluorescence, gene expression, etc.).

No automation tools were employed during the search, screening, full-text evaluation or data extraction steps of the review. A limited methodological and bias evaluation was performed.

The included studies were grouped by type of SD protocol (PSD or TSD), by anatomical region in which the oxidative stress measurements were performed and by the length of the SD duration. In some cases, studies that performed oxidative stress measurements in multiple body sites were presented in multiple tables according to the previously detailed grouping.

A comprehensive overview of the methods can be found in Supplementary File S1. A flowchart presenting the study inclusion process is presented in Figure 1.



Figure 1. Study inclusion flowchart [23].

#### 3. Results

A total of 54 studies were included in the qualitative analysis. Multiple sleep deprivation protocols were used, such as MSP (multiple small platforms), CP (classical platform/inverted flowerpot), DOW (Disk over water), GH (Gentle handling) and ASD (automated sleep deprivation). Comprehensive descriptions of the mentioned sleep deprivation protocols and respective control groups have been previously reviewed [9,24,25].

Most of the included studies employed paradoxical sleep deprivation (PSD) protocols (87%, n = 47), while the others used total sleep deprivation (TSD) protocols (13%, n = 7).

The studies that evaluated PSD employed the following SD protocols: Multiple small platforms (68.5%, n = 37/54) and Classical Platform/Inverted flowerpot (18.5%, n = 10/54).

The studies that evaluated TSD employed the following SD protocols: Disk over water (5.5%, n = 3), Gentle handling (3.7%, n = 2) and Automated sleep deprivation (3.7%, n = 2).

The main results of the review are presented in Tables 1–4 for paradoxical sleep deprivation in the hippocampus, cortex and other brain areas, serum, other non-brain areas, respectively; Tables 5 and 6 for total sleep deprivation in brain and non-brain areas and Table 7 for gene expression in both PSD and TSD protocols. The full-length tables are available in Supplementary File S1.

Overall, only a limited number of studies (11.1%, n = 6/54) reported a lack of significant changes in any of the evaluated parameters compared to their respective control group [26–31]. Conversely, the overwhelming majority of results point to either increases or decreases in at least one parameter associated with oxidative stress. Remarkably, a notable pattern seems to emerge across a majority of studies whereby the examined parameters exhibit relatively consistent changes, with either a lack of changes, reductions in some parameters such as antioxidants/antioxidant enzymes (GSH, GSH/GSSG ratio, GPx, SOD, CAT) or increases in others (GSSG and lipid peroxidation). Only three of the examined studies seem to present contradicting results, with increases in the antioxidant GSH [32] or in the antioxidant enzyme SOD [33,34].

The stress response was evaluated in a limited number of studies through the measurement of serum Cortisol/Corticosterone (18.5%, n = 10/54), almost exclusively in PSD protocols employing the MSP paradigm. In most of these studies, serum Cortisol/Corticosterone was significantly increased in the sleep deprivation group compared to the control group in both continuous and sleep restriction protocols [34–41]. Only two studies reported no significant changes in this parameter [42,43]. A comprehensive comparison between studies that determined serum stress hormones is available in Table 8.

| Reference | SD<br>Protocol | SD Duration  | Rat Breed, Sex, Age                         | Oxidative Stress Marker                         | Results   |
|-----------|----------------|--|---|---|---|
| [27]      | MSP            | 48 h   | Wistar, Male, PND 28                        | LP  | LP (-)  |
| [44]      | MSP            | 48 h   | Wistar, Male, Adult                         | GSH, NOx, LP                                    | GSH ( $\downarrow$ ), NOx ( $\uparrow$ ), LP (-)  |
| [26]      | MSP            | 72 h   | Wistar, Male, Adult                         | GSH, LP   | GSH (-), LP (-)   |
| [45]      | MSP            | 72 h   | Wistar, Male                                | LP  | LP (†)  |
| [46]      | MSP            | 72 h   | Wistar, Male, Adult                         | GSH, SOD, LP                                    | $\operatorname{GSH}\left(\downarrow\right),\operatorname{SOD}\left(\downarrow\right),\operatorname{LP}\left(\uparrow\right)$  |
| [47]      | MSP            | 72 h   | Wistar, Male, Adult                         | LP  | LP (†)  |
| [31]      | MSP            | 24, 48, 72 h   | Wistar, Male, Adult                         | GSH, GPx, CAT, SOD, LP                          | GSH (-), GPx (-), CAT (-),<br>SOD (-), LP (-)   |
| [48]      | СР             | 4 days   | Wistar, Male, 5 weeks                       | LP  | LP (†) *  |
| [49]      | MSP            | 5 days   | Sprague Dawley, Male<br>and Female, 6 weeks | GSH/GSSG ratio, GPX, CAT,<br>SOD, LP            | GSH/GSSG ratio ( $\downarrow$ ), GPX (-),<br>CAT ( $\downarrow$ ), SOD ( $\downarrow$ ), LP ( $\uparrow$ )  |
| [38]      | MSP            | 5 days   | Sprague Dawley, Male,<br>48 weeks           | NOx   | NOx (↑)   |
| [50]      | СР             | 6 days (48 h SD, 48 h<br>Srec, 48 h SD, 48 h<br>Srec, 48 h SD) | Wistar, Male, Adult                         | CAT, LP   | CAT (-), LP (†)   |
|           |                | 21 days (18 h/day)<br>21 days (18 h/day) +                     | Sprague Dawley, Male,                       |   | GSH/GSSG ratio ( $\downarrow$ ), LP ( $\uparrow$ )  |
| [43]      | MSP            | 5 days Srec  | 12–16 weeks                                 | GSH/GSSG ratio, LP                              | GSH/GSSG ratio ( $\downarrow$ ), LP ( $\uparrow$ )  |
|           |                | 21 days (18 h/day) +<br>21 days Srec                           |   |   | GSH/GSSG ratio ( $\downarrow$ ), LP (-)   |
| [51]      | MSP            | 4 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT          | $\begin{array}{c} \text{GSH (-), GSSG (\uparrow),} \\ \text{GSH/GSSG ratio (\downarrow), GPx (\downarrow),} \\ \text{CAT (\downarrow)} \end{array}$                           |
| [52]      | MSP            | 4 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT          | GSH (-), GSSG ( $\uparrow$ ),<br>GSH/GSSG ratio ( $\downarrow$ ), GPx ( $\downarrow$ ),<br>CAT ( $\downarrow$ )   |
| [53]      | MSP            | 4 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT, SOD, LP | GSH (-), GSSG ( $\uparrow$ ),<br>GSH/GSSG ratio ( $\downarrow$ ), GPx ( $\downarrow$ ),<br>CAT ( $\downarrow$ ), SOD ( $\downarrow$ ), LP (-)                                 |
| [54]      | MSP            | 6 weeks (8 h/day)  | Wistar, Male, 8–10 weeks                    | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT, SOD, LP | $\begin{array}{c} \text{GSH (-), GSSG (\uparrow),} \\ \text{GSH/GSSG ratio (\downarrow), GPx (\downarrow),} \\ \text{CAT (\downarrow), SOD (\downarrow), LP (-)} \end{array}$ |
| [55]      | MSP            | 6 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT, SOD, LP | GSH (-), GSSG ( $\uparrow$ ),<br>GSH/GSSG ratio ( $\downarrow$ ), GPx ( $\downarrow$ ),<br>CAT ( $\downarrow$ ), SOD ( $\downarrow$ ), LP (-)                                 |
| [56]      | MSP            | 6 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT, SOD     | GSH (-), GSSG (†),<br>GSH/GSSG ratio ( $\downarrow$ ), GPx ( $\downarrow$ ),<br>CAT ( $\downarrow$ ), SOD ( $\downarrow$ )  |
| [57]      | MSP            | 6 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>CAT, SOD, LP      | $\begin{array}{l} \text{GSH (-), GSSG (\uparrow),} \\ \text{GSH/GSSG ratio (\downarrow), CAT (\downarrow),} \\ \text{SOD (\downarrow), LP (-)} \end{array}$                   |
| [58]      | MSP            | 8 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT, SOD, LP | $\begin{tabular}{l} \hline GSH (-), GSSG (\uparrow), \\ GSH/GSSG ratio (\downarrow), GPx (\downarrow), \\ CAT (\downarrow), SOD (-), LP (-) \end{tabular}$                    |
| [59]      | MSP            | 8 weeks (8 h/day)  | Wistar, Male, Adult                         | GSH, GSSG, GSH/GSSG ratio,<br>GPx, CAT          | $\begin{array}{c} \text{GSH (-), GSSG (\uparrow),} \\ \text{GSH/GSSG ratio (\downarrow), GPx (\downarrow),} \\ \text{CAT (\downarrow)} \end{array}$                           |
|           |                |  |   |   |   |

#### Table 1. Paradoxical sleep deprivation in the hippocampus.

SD: sleep deprivation; MSP: multiple small platforms; CP: classical platform/inverted flowerpot; Srec: sleep recovery; PND: postnatal day; GSH: Reduced Glutathione; GSSG: Oxidized Glutathione; GSH/GSSG ratio: Reduced Glutathione/Oxidized Glutathione ratio; GPx: Glutathione peroxidase; CAT: Catalase; SOD: Superoxide dismutase; NOx: Nitric oxide; LP: Lipid peroxidation; \*: dentate gyrus, hippocampus; " $\uparrow/\downarrow$ ": significantly increased/decreased; "-": not significantly increased or decreased.

| Reference | SD<br>Protocol | SD Duration | Rat Breed, Sex,<br>Age                          | Anatomical Site          | Oxidative Stress Marker   | Results  |
|-----------|----------------|-------------|---|--------------------------|---------------------------|--|
| [28]      | MSP            | 48 h        | Wistar, Male,<br>Adult                          | Cortex                   | GSH, NOx, LP              | GSH (-), NOx (-), LP (-)   |
| [26]      | MSP            | 72 h        | Wistar, Male,<br>Adult                          | Prefrontal cortex        | GSH, LP                   | GSH (-), LP (-)  |
| [45]      | MSP            | 72 h        | Wistar, Male                                    | Forebrain cortex         | LP                        | LP (↑)   |
| [47]      | MSP            | 72 h        | Wistar, Male                                    | Forebrain cortex         | LP                        | LP (↑)   |
| [48]      | СР             | 4 days      | Wistar, Male,<br>5 weeks                        | Cortex                   | LP                        | LP (†)   |
| [60]      | MSP            | 7 days      | Wistar, Male,<br>8 weeks                        | Cortex                   | GSH, GPx, CAT, SOD,<br>LP | $\begin{array}{c} \text{GSH} (\downarrow), \text{GPx} (\downarrow), \text{CAT} (\downarrow), \text{SOD} (\downarrow), \\ \text{LP} (\uparrow) \end{array}$ |
| [26]      | MSP            | 72 h        | Wistar, Male,<br>Adult                          | Cerebellum,<br>Brainstem | GSH, LP                   | GSH (-), LP (-)  |
| [36]      | MSP            | 72 h        | Sprague<br>Dawley, Adult                        | Amygdala                 | SOD, LP                   | SOD ( $\downarrow$ ), LP ( $\uparrow$ )  |
| [61]      | СР             | 72 h        | Sprague<br>Dawley, Male,<br>8–10 weeks          | Thalamus                 | GSH, CAT, SOD, LP         | $GSH\left(\downarrow\right),CAT\left(\downarrow\right),SOD\left(\downarrow\right),LP\left(\uparrow\right)$   |
| [62]      | MSP            | 5 days      | Sprague<br>Dawley, Male<br>and Female,<br>Adult | Whole brain              | CAT, SOD, LP              | CAT ( $\downarrow$ ), SOD ( $\downarrow$ ), LP ( $\uparrow$ )  |
| [63]      | СР             | 6 days      | Wistar, Male,<br>Adult                          | Locus coeruleus          | GSH                       | $\operatorname{GSH}\left(\downarrow\right)$  |

#### Table 2. Paradoxical sleep deprivation in cortex and other brain areas.

SD: sleep deprivation; MSP: multiple small platforms; CP: classical platform/inverted flowerpot; GSH: Reduced Glutathione; GPx: Glutathione peroxidase; CAT: Catalase; SOD: Superoxide dismutase; NOx: Nitric oxide; LP: Lipid peroxidation; " $\uparrow/\downarrow$ ": significantly increased/decreased; "-": not significantly increased or decreased.

## Table 3. Paradoxical sleep deprivation in serum/plasma.

| Reference | SD<br>Protocol | SD Duration  | Rat Breed, Sex, Age               | Oxidative Stress<br>Markers | Results   |
|-----------|----------------|--|-----------------------------------|-----------------------------|---|
| [39]      | MSP            | 20 h   | ns                                | LP                          | LP (†)  |
| [35]      | MSP            | 24 h   | Long-Evans, Male, Old             | LP                          | LP (†)  |
| [64]      | СР             | 24, 36, 48 h   | Sprague Dawley, Male,<br>6 months | SOD, LP                     | SOD ( $\downarrow$ ), LP ( $\uparrow$ )   |
| [65]      | СР             | 48 h   | Sprague Dawley, Male,<br>6 weeks  | SOD, LP                     | SOD ( $\downarrow$ ), LP ( $\uparrow$ )   |
| [17]      | MSP            | 72 h   | Wistar, Male, 10 weeks            | NOx                         | NOx (↓)   |
| [36]      | MSP            | 72 h   | Sprague Dawley, Adult             | SOD, LP                     | SOD ( $\downarrow$ ), LP ( $\uparrow$ )   |
| [40]      | MSP            | 5 days   | Wistar, Male                      | LP                          | LP (†)  |
| [39]      |                | 5 days (20 h/day)  |                                   |                             | LP (↑)  |
|           | MSP            | 5 days (20 h/day) +<br>5 days Srec                             | ns                                | LP                          | LP (-)  |
| [50]      | СР             | 6 days (48 h SD, 48 h<br>Srec, 48 h SD, 48 h Srec,<br>48 h SD) | Wistar, Male, Adult               | CAT, LP                     | CAT (-), LP (-)   |
| [66]      | MSP            | 7 days (20 h/day) and<br>7 days continuous                     | Wistar, Male                      | CAT, SOD, LP                | CAT (-/↓), SOD (-), LP (↑)  |
| [67]      | MSP            | 7 days   | Wistar, Male                      | GPx, SOD                    | $\operatorname{GPx}\left(\downarrow\right)$ , $\operatorname{SOD}\left(\downarrow\right)$ |
| [30]      | MSP            | 21 days (18 h/day)   | Wistar, Male, Adult               | eNOS                        | eNOS (-)  |

SD: sleep deprivation; MSP: multiple small platforms; CP: classical platform/inverted flowerpot; Srec: sleep recovery; GPx: Glutathione peroxidase; CAT: Catalase; SOD: Superoxide dismutase; NOX: Nitric oxide; eNOS: Endothelial Nitric Oxide Synthase; LP: Lipid peroxidation; ns: not specified; "'\/\": significantly increased/decreased; "-": not significantly increased or decreased.

| Reference | SD<br>Protocol | SD Duration                                   | Rat Breed, Sex, Age                 | Anatomical Site                 | Oxidative Stress Markers         | Results  |
|-----------|----------------|---|-------------------------------------|---------------------------------|----------------------------------|--|
| [17]      | MSP            | 72 h  | Wistar, Male, 10 weeks              | Testes, Epididymis              | GSH, GPx, CAT, SOD, LP           | $\begin{array}{c} \text{GSH} (\downarrow), \text{GPx} (\downarrow), \text{CAT} (\downarrow), \text{SOD} \\ (\downarrow), \text{LP} (\uparrow) \end{array}$ |
| [40]      | MSP            | 5 days  | Wistar, Male                        | Testes                          | GSH, GPx, LP                     | GSH ( $\downarrow$ ), GPx ( $\downarrow$ ), LP ( $\uparrow$ )  |
| [34]      | MSP            | 14 days (20<br>h/day)                         | Sprague Dawley, Male,<br>12 weeks   | Testes                          | GSH, CAT, SOD, LP                | $GSH (\downarrow), CAT (\downarrow), SOD (\uparrow), LP (\uparrow)$  |
| [41]      | MSP            | 21 days (18<br>h/day)                         | Wistar, Male,<br>Peripubertal       | Testes                          | GSH, GSSG, GSH/GSSG<br>ratio, LP | GSH (-), GSSG (-), GSH/GSSG<br>ratio (-), LP (†)   |
| [32]      | MSP            | 21 days (18<br>h/day)                         | Wistar, Male,<br>Peripubertal       | Epididymis caput,<br>cauda      | GSH, LP                          | GSH (†), LP (†)  |
|           |                | 4 days  | Wistar, Male, 3 months              | Liver, Pancreas                 | CAT, SOD, LP                     | CAT (-), SOD (-/↑), LP (-/↑)<br>CAT (↓/-), SOD (↓/-), LP (↑/-)<br>CAT (-), SOD (↓/-), LP (↑)   |
| [33]      | MSP            | 8 days +<br>20 days Srec                      | Wistar, Male, 14 months             | Liver, Pancreas                 | CAT, SOD, LP                     | CAT (↓/-), SOD (-), LP (-)<br>CAT (↓/-), SOD (-/↑), LP (-/↑)<br>CAT (-), SOD (-/↑), LP (-)   |
| [68]      | MSP            | 21 days<br>(14 h/day)                         | Wistar, Male, Adult                 | Liver                           | SOD, LP                          | SOD ( $\downarrow$ ), LP ( $\uparrow$ )  |
| [69]      | СР             | 21 days<br>(18 h/day)<br>21 days              | Wistar, Male, Adult                 | Liver                           | GPx, SOD, LP                     | GPx (-), SOD (-), LP (-)<br>GPx (-), SOD (↓), LP (†)   |
| [70]      | СР             | (22 h/day)<br>72 h<br>72 h + 72 h Srec        | Sprague Dawley, Male,<br>8–10 weeks | Aorta                           | SOD, LP                          | SOD (↓), LP (↑)<br>SOD (-), LP (-)   |
| [71]      | СР             | 5 days  | Sprague Dawley, Male,<br>24 weeks   | Aorta                           | NOx, eNOS, p-eNOS                | NOx ( $\downarrow$ ), eNOS (-), p-eNOS ( $\downarrow$ )  |
| [66]      | MSP            | 7 days (20 h/day)<br>and 7 days<br>continuous | Wistar, Male                        | Saliva<br>Submandibular         | CAT, SOD, LP                     | CAT (-), SOD (-), LP (-)<br>CAT (-), SOD (↓), LP (-/↑)   |
| [72]      | СР             | 21 days<br>(18 h/day)                         | Wistar, Male                        | Thyroid                         | LP                               | LP (†)   |
| [45]      | MSP            | 72 h  | Wistar, Male                        | Kidney<br>Erythrocytes          | LP                               | LP (-)<br>LP (↑)   |
| [37]      | MSP            | 4 days  | Wistar, Male, 3 months              | Plantar muscle<br>Soleus muscle | LP                               | LP (-)<br>LP (†)   |

| <b>Fable 4.</b> Paradoxical sleep deprivation in | n other | non-brain | sites. |
|--|---------|-----------|--------|
|--|---------|-----------|--------|

SD: sleep deprivation; MSP: multiple small platforms; CP: classical platform/inverted flowerpot; Srec: sleep recovery; CSH: Reduced Glutathione; GSSG: Oxidized Glutathione; GPx: Glutathione peroxidase; CAT: Catalase; SOD: Superoxide dismutase; NOx: Nitric oxide; eNOS: Endothelial Nitric Oxide Synthase; p-eNOS: phospho-rylated Endothelial Nitric Oxide Synthase; LP: Lipid peroxidation; "↑/↓": significantly increased/decreased; "--": not significantly increased or decreased.

#### Table 5. Total sleep deprivation in brain areas.

| Reference | SD<br>Protocol | SD Duration   | Rat Breed, Sex, Age             | Anatomical Site           | Oxidative Stress<br>Markers | Results   |
|-----------|----------------|---|---------------------------------|---------------------------|-----------------------------|---|
| [29]      | GH             | 6 h   | Wistar, Male, 10<br>weeks       | Hippocampus               | LP                          | LP (-)  |
| [42]      | GH             | 12 h  | Wistar, Female,<br>13–15 months | Hypothalamus              | nNOS                        | nNOS (↓)  |
| [73]      | ASD            | 14 days   | Wistar, Male                    | Cortex and<br>Hippocampus | GSH, CAT, SOD, LP           | $\begin{array}{c} \text{GSH} (\downarrow),  \text{CAT} (\downarrow),  \text{SOD} (\downarrow), \\ \text{LP} (\uparrow) \end{array}$ |
| [74]      | DOW            | 5 days SD +<br>2 days Srec<br>(3 total cycles) +<br>3 months Srec | Wistar, Male,<br>Weanling       | Hippocampus               | GPx, CAT, SOD               | GPx ( $\downarrow$ ), CAT ( $\downarrow$ ), SOD ( $\downarrow$ /-)  |

SD: sleep deprivation; Srec: sleep recovery; GH: Gentle handling; ASD: Automated sleep deprivation; DOW: Disk over water; GSH: Reduced Glutathione; GPx: Glutathione peroxidase; CAT: Catalase; SOD: Superoxide dismutase; nNOS: neuronal Nitric Oxide Synthase; LP: lipid peroxidation; " $\uparrow/\downarrow$ ": significantly increased/decreased; "-": not significantly increased or decreased.

| Reference | SD<br>Protocol | SD Duration                                     | Rat Breed, Sex,<br>Age          | Anatomical Site | Oxidative Stress<br>Markers | Results   |
|-----------|----------------|---|---------------------------------|-----------------|-----------------------------|---|
| [75]      | DOW            | 5 days  | Wistar, Male                    | Liver           | GPx, CAT, SOD, LP           | $\begin{array}{c} \text{GPx} (\downarrow), \text{CAT} (\downarrow), \text{SOD} (\downarrow), \\ \text{LP} (\uparrow) \end{array}$ |
| [76]      | DOW            | 5 days SD + 2 days<br>Srec (3 total cycles)     | Wistar, Male,<br>Adult          | Liver           | GPx, CAT, SOD, LP           | $\begin{array}{l} \text{GPx} (\downarrow), \text{CAT} (\downarrow), \text{SOD} (\downarrow), \\ \text{LP} (\uparrow) \end{array}$ |
| [77]      | ASD            | 14 days<br>6 h/day–1st week<br>8 h/day–2nd week | Sprague Dawley,<br>Male, PND 19 | Plasma          | LP                          | PND 33: LP (↑)<br>PND 90: LP (-)  |

#### Table 6. Total sleep deprivation in non-brain areas.

SD: sleep deprivation; Srec: sleep recovery; ASD: Automated sleep deprivation; DOW: Disk over water; PND: Postnatal day; GPx: Glutathione peroxidae; CAT: Catalase; SOD: Superoxide dismutase; LP: Lipid peroxidation; " $\uparrow/\downarrow$ ": significantly increased/decreased; "-": not significantly increased or decreased.

Table 7. Gene expression in paradoxical and total sleep deprivation.

| Reference | SD<br>Protocol | SD Duration                                     | Rat Breed, Sex,<br>Age          | Anatomical<br>Site   | Oxidative Stress<br>Measurements | Results   |
|-----------|----------------|---|---------------------------------|----------------------|----------------------------------|---|
| [78]      | PSD-MSP        | 96 h<br>21 days (18 h/day)                      | Wistar-Hannover,<br>Male, Adult | Testes               | iNOS, eNOS                       | iNOS ( $\uparrow$ ), eNOS ( $\downarrow$ )<br>iNOS ( $\uparrow$ ), eNOS (-) |
| [77]      | TSD-ASD        | 14 days<br>6 h/day–1st week<br>8 h/dav–2nd week | Sprague Dawley,<br>Male, PND 19 | Prefrontal<br>cortex | GPx, CAT, SOD                    | PND 33: GPx (†), CAT (-), SOD (†)<br>PND 90: GPx (-), CAT (-), SOD (-)      |

SD: sleep deprivation; PSD: paradoxical sleep deprivation; TSD: total sleep deprivation; MSP: multiple small platforms; ASD: automated sleep deprivation; PND: postnatal day; GPx: Glutathione peroxidase; CAT: Catalase; SOD: Superoxide dismutase; eNOS: Endothelial Nitric Oxide Synthase; iNOS: Inducible nitric oxide synthase; " $^{/}/^{/"}$ : significantly increased/decreased; " $^{-''}$ : not significantly increased.

Table 8. Serum cortisol/corticosterone changes in sleep deprivation.

| Reference | SD Type | SD Protocol | SD Duration   | Rat Breed, Sex, Age                  | Cortisol/Corticosterone |
|-----------|---------|-------------|---|--------------------------------------|-------------------------|
| [42]      | TSD     | GH          | 12 h  | Wistar, Female, 13–15 months         | Yes -                   |
| [35]      | PSD     | MSP         | 24 h  | Long-Evans, Male, Old                | Yes ↑                   |
| [36]      | PSD     | MSP         | 72 h  | Sprague Dawley, Adult                | Yes ↑                   |
| [37]      | PSD     | MSP         | 4 days  | Wistar, Male, 3 months               | Yes ↑                   |
| [38]      | PSD     | MSP         | 5 days  | Sprague Dawley, Male, 48 weeks       | Yes ↑                   |
| [39]      | PSD     | MSP         | 20 h<br>5 days (20 h/day)<br>5 days (20 h/day) + 5 days Srec                                | ns                                   | Yes ↑<br>Yes ↑<br>Yes - |
| [40]      | PSD     | MSP         | 5 days  | Wistar, Male                         | Yes ↑                   |
| [34]      | PSD     | MSP         | 14 days (20 h/day)  | Sprague Dawley, Male, 12 weeks       | Yes ↑                   |
| [41]      | PSD     | MSP         | 21 days (18 h/day)  | Wistar, Male, Peripubertal           | Yes ↑                   |
| [43]      | PSD     | MSP         | 21 days (18 h/day)<br>21 days (18 h/day) + 5 days Srec<br>21 days (18 h/day) + 21 days Srec | Sprague Dawley, Male,<br>12–16 weeks | Yes -                   |

SD: Sleep deprivation; TSD: Total sleep deprivation; PSD: Paradoxical sleep deprivation; Srec: Sleep recovery; GH: Gentle handling; MSP: multiple small platforms; ns: not specified; " $\uparrow$ ": significantly increased; "-": not significantly increased or decreased.

#### 4. Discussion

## 4.1. Sleep Deprivation Determines Changes in Oxidative Stress Parameters

With a few exceptions [26–31], most studies included in our review revealed changes in at least one parameter associated with oxidative stress in both PSD and TSD protocols. Even when taking only lipid peroxidation into consideration, with some exceptions [26–29,31,44,53–55,57,58], most studies that determined this parameter revealed elevated levels of lipid peroxidation in both PSD and TSD protocols. Moreover, while some of the results were not interpreted in the context of oxidative stress, most of the observed

alterations in these parameters were interpreted as or implied to be evidence of oxidative stress by the original authors. The absence of a specific protocol to selectively eliminate short-wave sleep (SWS) limits our ability to determine its potential antioxidant role based on these results. However, these findings provide additional evidence supporting the antioxidant role of paradoxical sleep and sleep as a whole.

As previously observed [9], the evidence from the included studies seems to point to changes in oxidative stress parameters in both brain (whole brain, hippocampus, cortex, thalamus, hypothalamus, amygdala, locus coeruleus) and non-brain areas (serum, testes, epididymis, liver, pancreas, aorta, submandibular glands, thyroid, erythrocytes, soleus muscle). However, some body sites did not show changes in oxidative stress parameters: cerebellum and brainstem [26], saliva [66], kidney [45], and plantar muscle [37]. While the evidence is limited in some cases, these observations might suggest that the antioxidant effects of sleep extend beyond the central nervous system, possibly including most body sites.

Although alterations in oxidative stress parameters have been noted across various body sites, not all sites might exhibit equal resilience to oxidative stress. Interestingly, short SD durations (24–72 h or 48–72 h) might not induce reliable oxidative changes in the hippocampus [26,27,31,44–47] or cortex [26,28,45,47], as opposed to longer SD protocols. In contrast, changes in oxidative stress parameters seem to be reliably encountered in serum in both short and longer SD durations.

The included studies used multiple rat strains, namely Wistar (39), Sprague Dawley (12), Long-Evans (1), and Wistar-Hannover (1). Given that the majority of these studies employed Wistar rats, strain differences might not be readily apparent. While strain differences might exist and account for some degree of variability in the oxidative stress response to SD [9], the current data seem to indicate the presence of an oxidative stress response after SD across all mentioned rat strains and in both males and females in the case of Wistar [42] and Sprague Dawley rats [49,62].

It has been previously proposed that short SD durations might induce an antioxidant compensatory response in multiple body sites [9,11], evidenced by increases in antioxidants or decreases in oxidants. Contrary to these findings, we did not observe this effect in the studies included in our review that employed short (6–24 h) SD protocols [29,31,35,39,42,64]. Based on these data, we cannot exclude the presence of some compensatory mechanisms in short SD protocols. However, even if such mechanisms are present, their overall effect might not be sufficient to counterbalance the global redox state in the examined organs [79].

#### 4.2. Sleep Recovery

Sleep recovery plays a significant role in reversing or at least partially mitigating the effects caused by SD. Somewhat simplified, the sleep recovery time seems to be dependent on the extent of the damage caused by the previous period of SD [43].

Some of the studies included in our review contained sleep recovery periods at the end of the SD period in both PSD [27,33,39,43,70] and TSD [74,76,77] protocols of varying lengths. The presented results are mostly conflicting. While some studies revealed normalizations in multiple parameters related to oxidative stress [39,70], others reported partial recoveries depending on the examined parameter [33] or on the length of the recovery period [43,77]. Lasting changes after sleep recovery were also reported [74,76], as well as the absence of changes after sleep recovery [27].

A comparison can somewhat be drawn between the studies that revealed normalizations in oxidative stress parameters [39,70] and studies that reported lasting changes after SD [74,76]. While the available evidence is limited, the results seem to suggest that longer SD periods would induce longer-lasting changes as compared to shorter SD periods. This interpretation would be consistent with the idea that longer SD periods induce increased amounts of ROS and subsequently increased cellular and tissue damage. While age might play a role in the observed results [74], it may be the case that the examined body sites present different sleep recovery dynamics. Several studies included multiple sleep recovery periods. In one study, a comparison was made between a 5-day sleep recovery period and a longer duration of 21 days, indicating that only the longer recovery period was effective in normalizing the levels of MDA and significantly reducing the ratio of GSH/GSSG compared to the sleep-deprived group [43]. Similarly, another study showed that 57 days of sleep recovery are able to normalize lipid peroxidation and GPx, SOD gene expression in the plasma/prefrontal cortex compared to 24 h of sleep recovery [77].

An interesting comparison between animals of different ages is presented by Hernández Santiago et al. [33], suggesting that recovery after SD varies dependent on the age, examined body site and oxidative stress parameter, with differential effects in the liver/pancreas of rats of different ages. Overall, adult rats appear to be more vulnerable to the effects of SD when compared to younger rats. Although the analysis did not find any other studies that investigated the influence of age on sleep recovery dynamics through direct comparisons between animals of different ages, two other studies specifically focused on weanling rats. These studies reported conflicting results: one study revealed a normalization in lipid peroxidation and gene expression of GPx, SOD after 57 days of sleep recovery in plasma/prefrontal cortex [77], while the other reported lasting changes in multiple parameters in the hippocampus following SD and sleep recovery [74].

Sleep recovery periods have also been included in some studies during the experimental protocols in the form of chronic sleep restriction. A consistent pattern seems to emerge from a subset of studies involving daily short-term SD over an extended period of at least two weeks. Several studies published by the same research group indicate that 8 h of daily SD conducted for 4-8 weeks can induce alterations in antioxidant enzymes [51-59] but not in lipid peroxidation [53-55,57,58] in the hippocampus of adult rats. Contrary to these results, increases in plasma lipid peroxidation seem to be pointed out in the case of young rats, possibly suggesting that early life SD might present a greater oxidative challenge [77]. Conversely, longer daily SD periods (18–20 h/day) for prolonged periods of 14–21 days seem to consistently determine increases in lipid peroxidation in multiple body sites such as the hippocampus [43], testes [34,41], epididymis [32], liver [68] and thyroid [72]. Other findings provide additional support for this trend, as they demonstrate that only the extended SD interval of 22 h per day resulted in alterations in lipid peroxidation, in contrast to the 18 h daily interval [69]. We hypothesize that the reduced daily SD interval may at least partially mitigate the impact of SD on oxidative stress parameters, as evidenced by the absence of lipid peroxidation changes. Furthermore, the absence of increased lipid peroxidation could be attributed to the combined effect of multiple compensatory mechanisms, such as the thioredoxin system and nitric-oxide-mediated inhibition of oxidant enzymes [54].

Conclusions are difficult to draw based on these data. While recovery after an oxidative injury in the context of SD is possible, multiple aspects regarding the dynamics of this process remain unknown. The available data seem to suggest that the process of sleep recovery could potentially impact oxidative stress parameters in a manner that varies based on several factors, including but not limited to the specific organ involved, the examined parameter, the type and duration of SD experienced, animal strain and age, as well as the duration of the subsequent sleep recovery period. Even though they are based on limited data, these findings seem to further suggest that extended periods of SD might induce longer-lasting changes. Furthermore, it appears that longer recovery periods exhibit greater effectiveness in mitigating the observed changes in oxidative stress parameters during SD. In addition, short daily SD intervals seem to be at least partially compensated even if performed for longer time periods. Even though oxidative stress might be transient after SD it has the potential to trigger multiple inflammatory pathways, with downstream effects on synapse formation and neuronal circuit development. These changes may be more evident in younger animals, and the effects could be expressed later in life as anxiety-like and depressive-like behavior [77].

#### 4.3. Influence of Sex in Response to Sleep Deprivation

Based on a recent review, available evidence from animal and human studies seem to point out the existence of several nuanced variations in the response to oxidative stress and inflammation between sexes. These differences are likely influenced by the antioxidant properties of sex hormones (mainly estrogens). Specifically, males seem to exhibit greater ROS production, less efficient antioxidant mechanisms, elevated basal inflammation and a comparatively weaker inflammatory response against an acute stimulus [80].

Despite the fact that some knowledge regarding variances in sleep and responses to SD between the sexes exists in the literature, there is currently a relative scarcity of comprehensive studies addressing this specific subject matter. Based on the currently limited evidence from animal and human studies, it appears that sleep loss may have a potentially greater impact on cognition in females compared to males [81]. Additionally, some data seem to suggest that females may exhibit a greater stress response to sleep disturbances (HPA reactivity, sympathetic nervous system activation, cardiovascular dysfunction), increased mood disruptions and possibly even an increased inflammatory response [25]. As previously reviewed [82], sex differences between male and female rodents seem to influence multiple characteristics of sleep, such as duration, time spent in different sleep phases, sleep fragmentation, circadian functions and the response to SD.

Most of the studies included in our review used male animals, with three notable exceptions, which employed mixed male and female groups [49,62] or only female groups [42]. Changes in oxidative stress parameters were observed in all three studies, with similar results in the whole brain and hippocampus [49,62]—decreased antioxidant enzymes and increased lipid peroxidation. Interestingly, Bajaj et al. [42] reported decreases in nNOS in the hippocampus coupled with increases in several inflammatory cytokines, suggesting an influence on sleep–wake cycles determined by SD.

None of the included studies presented a direct comparison between male and female animals. Changes in oxidative stress parameters have been observed after SD in other studies that employed male and female rats [83,84]. However, to the best of our knowledge, no direct comparison between male and female rats is available in the literature regarding the oxidative stress response in the context of SD.

Considering the established existence of sex-specific variations in the response to oxidative stress and various sleep parameters, it is reasonable to hypothesize that similar distinctions are likely to exist in the context of oxidative stress induced by SD. However, further studies that employ direct comparisons between male and female rodents are required in order to fully characterize this relationship.

## 4.4. Sleep Deprivation and the Stress Response

Stress and sleep deprivation present an intricate and interconnected relationship whereby SD can elicit a stress response (either directly or indirectly), and in turn, stress can determine multiple effects on sleep. The activation of the HPA axis serves as one of the central mechanisms through which the interplay between stress, sleep/sleep deprivation and metabolism is played out [85].

The assessment of the HPA axis activation and associated stress response typically involves quantifying serum cortisol levels in humans and corticosterone levels in rodents. Although corticosterone is the primary glucocorticoid in rodents, it has been shown that both cortisol and corticosterone exhibit similar dynamics (though not identical) and can be measured in order to assess the response to a stressor [86].

The significance of the stress response during SD stems from its potential to induce oxidative stress. This connection poses challenges in the interpretation of the effects attributed to SD. Various forms of stress, accompanied by elevated levels of stress hormones, are known for their ability to induce oxidative stress changes in multiple body sites on rat models such as the brain [87–89], liver [87,89,90], kidney [87,89], heart, stomach [87] and testes [91,92].

Most of the studies included in our review that measured serum cortisol/corticosterone revealed increases in these parameters, at different SD durations, during both continuous SD and sleep restriction protocols. Of note, most of these studies employed PSD protocols, and only one study used a TSD protocol [42]. Only two of the included studies reported insignificant changes in the levels of serum cortisol/corticosterone [42,43]. Bajaj et al. [42] observed increases in serum cortisol in the sleep-deprived group, although these changes did not reach statistical significance. This fact can be at least partially explained by the short SD duration of 12 h, exclusive inclusion of female animal groups or the gentle handling protocol used. However, it is worth noting that the results presented by Konakanchi et al. [43] are in contradiction to those reported by other authors.

Given the fact that only one of the included studies employed a TSD protocol with a short SD duration [42], we believe that a comparison between the stress-inducing effects of PSD and TSD protocols is not possible based on these findings.

Only two of the included studies evaluated the effects of sleep recovery at the end of the SD period on stress hormones [39,43]. Even though limited, the results seem to indicate that 5 days of sleep recovery are sufficient to normalize serum corticosterone levels after 5 days of SD [39]. Based on previous evidence, it appears that the normalization of the increased corticosterone/ACTH levels induced by SD might occur at an even faster rate after 24 h [93] or even after 4 h [94], depending on the experimental conditions such as the length of the previous sleep deprivation paradigm. Nevertheless, SD may potentially still present longer-lasting effects on multiple hormones and neurotransmitters [93], including the stress response, by influencing the reaction to a subsequent stressor [94,95].

It is currently believed that the stress response observed in rodent models following SD is likely determined by a combination of stressors originating both directly from SD and those induced by the SD protocol itself. While the extent and differences between SD protocols in inducing stress are controversial, multiple studies seem to suggest that the most commonly used SD protocols induce a variable stress response in rodents [96–98]. In certain SD protocols, such as the MSP or CP paradigms, it is possible to at least partially assess the source and possible influence of the stress response by introducing a second control group placed on wide platforms or a steel grid that allow for undisturbed sleep. Although the utilization of a large platform group is known to have certain limitations, such as disrupted normal sleep patterns [99] and even a potential stress response [96], it provides additional insights for understanding the stress response during SD. In our review, less than half of the studies that used the MSP or CP paradigms employed the use of a second wide platform/grid control group (40.4%, *n* = 19/47) [26,36,38,43,48,51–61,69,70,72]. Interestingly, with the exception of one study [60], all studies consistently indicated the absence of significant differences in the assessed oxidative stress parameters between the cage control and the wide platform/grid control group. Furthermore, in three of the aforementioned studies, serum cortisol/corticosterone levels were also assessed, indicating no statistically significant differences between the control groups [36,38,43].

Overall, the presence of a stress response after SD is well-known (see reviews [11,98]). Despite the elevated levels of stress hormones observed in the majority of studies included in the analysis, the precise underlying cause of this response still remains somewhat unclear. Previous research suggests that stress determined by SD may exhibit distinct characteristics when compared to other forms of stress. These include a gradual rise in corticosterone, a lack of habituation in the HPA axis, a diminished response in ACTH and the absence of increases in adrenal gland size [94].

Most of the results presented in our review indicate elevated corticosterone levels after SD, coupled with a lack of notable differences between multiple control groups in oxidative stress parameters and stress hormones. While limited to the presented data, these results might suggest that sleep deprivation may itself act as the primary determinant of the observed stress response rather than the specific experimental protocol. If this is the case, the observed changes in oxidative stress parameters are most likely a direct consequence of sleep deprivation and not determined by the stress of the experimental protocol. To further complicate matters, stress might play a physiological role in the induction of sleep, potentially facilitating the occurrence of sleep rebound subsequent to exposure to a stressor. This process is presumed to play an evolutionary role in mitigating the effects associated with stress exposure [100]. Furthermore, the stress response determined by SD may be physiological in nature, playing a crucial role in facilitating REM sleep rebound after SD [101].

The timing of measurement represents another crucial aspect to consider when examining the stress response during SD. As previously reviewed [98], the measurement of stress hormones at the end of the SD protocol may introduce a potential source of bias, as it could mask transient increases in stress hormone levels that occur at the beginning of the procedure.

#### 4.5. Mechanisms of Sleep Deprivation-Induced Oxidative Stress

The literature on experimental SD frequently exhibits ambiguity, as multiple studies demonstrate the absence of oxidative stress following sleep deprivation [26–31,102,103]. This fact may likely be attributed primarily to the overall variability in experimental conditions. However, most evidence from a previous systematic review [9] and from the current review seems to point towards the fact that sleep deprivation represents an oxidative challenge.

The precise mechanism through which sleep deprivation induces oxidative stress remains incompletely understood. However, from a conceptual standpoint, heightened levels of ROS could arise as a result of increased production, reduced clearance, or a combination of both mechanisms. Moreover, sleep deprivation might give rise to distinct adverse conditions that determine the accumulation of ROS [104]. If oxidative stress is indeed an outcome of sleep deprivation, it is likely attributable to a complex interplay of various site- and possibly species-specific mechanisms, including but not limited to: increased glucose consumption during prolonged waking, stress hormones induced oxidative stress, increased metabolism and mitochondrial dysfunction, endoplasmic reticulum dysfunction, and gut dysbiosis linked to Nox enzyme (NADPH oxidase) induced ROS hyperproduction in the gut.

Physiological sleep is distinguished by a notable reduction in whole-body energy expenditure, with slow-wave sleep, in particular, exhibiting a decrease of approximately 15–35% [105]. Moreover, the diminished neuron activity and metabolism observed during sleep contribute to a reduction in brain glucose utilization [105–107]. As a result, sleep deprivation and prolonged wakefulness might lead to heightened metabolism, increased glucose consumption and increased production of ROS [43,104].

As previously shown, the activation of the HPA axis and the consequent release of stress hormones may induce oxidative stress in various body sites, including the central nervous system and the periphery [87–92]. Regardless of its specific origin, the observed stress response during sleep deprivation may, to some extent, contribute to the generation of ROS in multiple body sites.

As previously reviewed, mitochondrial dysfunction, endoplasmic reticulum dysfunction and gut dysbiosis might be other mechanisms through which SD generates oxidative stress [104]. The heightened metabolism observed during SD translates into increased mitochondrial oxygen-dependent ATP synthesis, consequently leading to an elevated production of ROS. The accumulation of misfolded or unfolded proteins observed during SD can induce oxidative stress by imposing an increased demand for protein folding processes. Moreover, the observed gut dysbiosis during SD could potentially contribute to the generation of ROS by triggering the hyperactivation of the Nox enzyme, which is responsible for a significant portion of ROS production in the gut.

Additionally, certain data suggest a connection between insufficient sleep duration and heightened susceptibility to acute oxidative stress. This phenomenon could potentially be attributed to an elevated baseline accumulation of ROS, leading to an increased sensitization to acute oxidative stress [10].

## 4.6. Negative Health Outcomes Associated with Sleep Deprivation

There are still several unresolved questions concerning the relationship between SD and various pathologies. SD has been linked to a diverse array of both acute and chronic adverse health consequences, encompassing daytime sleepiness, mental health disorders, neurodegenerative disorders, metabolic disorders such as diabetes and obesity, as well as cardiovascular disease (CV), among other conditions [2–4,108].

From a conceptual standpoint, SD can be viewed as a stressor that induces an increase in the allostatic load, thereby contributing to dysfunctions across multiple organ systems. The increase in allostatic load is likely mediated through the multiple consequences of SD and circadian disruption, such as oxidative stress, inflammation and multiple hormone dysregulations (Cortisol, Insulin, etc.) [11].

Multiple mechanistic links have been proposed in order to explain the previously mentioned SD-induced pathologies. In the CV system, SD is reported to induce endothelial dysfunction (through chronobiological disruption, oxidative stress, inflammation, and autonomic dysregulation) [108] and hypertension [109,110]. Furthermore, short sleep durations have been associated with an increased risk of metabolic syndrome [111], obesity and diabetes [112], further increasing CV risk factors. Multiple mechanisms have been proposed to explain the effects of sleep deprivation on metabolism, such as changes in energy intake (increased hunger and appetite) and possibly expenditure, hormonal changes (decreased Leptin and glucagon-like peptide-1, increased Ghrelin, altered Cortisol rhythms), inflammation and oxidative stress, sympathetic predominance (either from reduced vagal tone or increased sympathetic activity). Overall, these mechanisms may lead to Insulin resistance and altered energy metabolism (reviewed in [112]). Previous studies have shown that SD leads to an elevated food intake in both human subjects and rodent models. However, it is noteworthy that differential effects on overall weight have been observed, with rodents experiencing weight loss and humans exhibiting weight gain [113]. SD has been shown to affect various biochemical and molecular pathways found in multiple neurological or neurodegenerative disorders such as Alzheimer's disease (AD), Parkinson's disease, Multiple sclerosis, Huntington's disease and stroke [10,114]. Overall, the proposed main mechanisms through which SD determines these changes might be summarised as: decreased neuronal clearance of misfolded proteins ( $\alpha$ -synuclein, amyloid- $\beta$ , tau), impairment of the glymphatic system, neuroinflammation and oxidative stress (reviewed in [114]). Furthermore, it is important to note that oxidative stress can induce protein misfolding and aggregation, genomic instability and DNA damage. Consequently, the neuronal accumulation of ROS might be seen as a contributing element in the pathogenesis of neurodegenerative diseases [10,33]. SD has also been shown to determine the activation of astrocytes and microglia in the central nervous system, leading to neuronal injury through increased levels of proinflammatory factors [115]. SD determines alterations in multiple pathways that may lead to the pathology observed in AD, such as neuroinflammation and oxidative stress, endothelial damage, impaired glial pathway, inhibition of neurogenesis and cholinergic neurons, impairment of spatial and working memory, impairment of long-term potentiation and synaptic plasticity, decreased amyloid- $\beta$  clearance and subsequent accumulation of amyloid- $\beta$  and tau [114]. In addition, SD has been shown to directly negatively influence memory. While the exact mechanisms through which SD determines memory impairment are not completely known, multiple pathways have been proposed, such as oxidative stress, neuroinflammation, neuronal damage, decreased synaptic plasticity, changes in neurotransmitters and gene expression (reviewed in [116]).

#### 5. Limitations

Our review presents several limitations determined by the design of the study, by the original studies from which the data were extracted and by the existing experimental sleep deprivation protocols.

## 5.1. Limitations Inherent to the Review Methodology and Results

The first limitation of our review refers to the search algorithm. While we employed systematic search strategies, the searches were performed in three major indexing databases and included studies published between 2015 and 2022. Studies published before 2015 were not included in order to avoid duplications with the previous review written by Villafuerte et al. [9]. Most of the included studies utilized PSD protocols through the MSP paradigm. All included studies utilized a limited number of rat strains as the SD model, with Wistar rats being the most frequently used. As a consequence of these factors, the findings presented in our review cannot be widely generalised to other rodent models, such as mice. Even though strain differences are not evident from these data, they have been suggested before in the context of SD and may account for at least some degree of variability in the observed results [9].

#### 5.2. Limitations Inherent to the Original Studies

We encountered several challenges associated with data extraction due to the often limited and unclear aspects regarding the methodology of the included studies. These include the general timeline of the experiments (mainly the presence of sleep recovery periods after SD and until euthanasia), stress reduction procedures such as maintaining social hierarchy in the case of the MSP paradigm or limited human interaction and the description of the control group.

The majority of the studies included in the analysis utilized behavioral tests. However, it was not consistently indicated whether these tests were conducted during or at the end of the SD protocol. To address this potential bias, sleep recovery periods were taken into consideration only when explicitly described as such by the original studies or when presented on a clear experimental timeline.

Furthermore, we observed an increased variability in the implementation of the specific SD protocols among the included studies.

#### 5.3. Limitations Determined by the Existing Sleep Deprivation Protocols

The studies included in our review employed a multitude of sleep deprivation protocols, such as MSP, CP, DOW, GH and ASD. Sleep deprivation research has been carried out since the 1950s, leading to the development of multiple protocols aimed at eliminating sleep. An ideal SD protocol would be able to completely abolish a desired sleep phase and would be free from any inherent bias factors associated with the procedure itself. Additionally, such a protocol should include a comparable control group not exposed to SD. It is wellknown that all the currently available SD protocols suffer from some drawbacks [9,117]. Previous research has shown that the most commonly used PSD protocols could effectively eliminate or nearly eliminate REM sleep [99,118]. However, while some data seem to point out an additional partial reduction in SWS (37% SWS reduction in socially stable rats in the case of MSP and 31% SWS reduction in the case of CP) [99], other studies have reported minimal reductions in SWS through the CP paradigm [118]. Furthermore, as stated before, most SD protocols might be stress-inducing [96–98].

#### 6. Quality and Bias Evaluation of Included Studies

A limited quality and bias evaluation was performed for all included studies by examining the following criteria: control group description, randomisation, total number of experimental animals and social hierarchy maintenance in the case of studies that employed the MSP paradigm. Such an evaluation was mainly motivated by the frequent variations in the employed SD protocols. Overall, the studies included in our analysis were of good methodological quality. Consequently, no studies were excluded based on this criterion.

All included studies contained at least one control group that was not exposed to sleep deprivation. More than two-thirds of the included studies (72.2%, n = 39/54) presented a detailed description of the control group, while the remaining studies specified the existence of a control group without providing a detailed description. Moreover, some

studies that employed the MSP or CP paradigm included two separate control groups: cage control and wide platform/grid control (40.4%, n = 19/47).

More than half of the included studies specified the use of randomisation in the creation of the groups (66.6%, n = 36/54), but only one study offered a detailed explanation of the randomisation method.

In the majority of the included studies, the total count of experimental animals was explicitly provided or could be inferred from the sample sizes (77.7%, n = 42/54), whereas in a limited number of studies, it remained unclear (22.2%, n = 12/54).

The evaluation of social hierarchy maintenance was motivated by two factors: the frequent use of the MSP SD protocol in the included studies and the effectiveness of this procedure to further mitigate stress within the MSP paradigm [119]. Of the included studies that employed the MSP sleep deprivation protocol, only a minority (13.5%, n = 5/37) provided, at the very least, partial insights regarding the maintenance of social hierarchy throughout the experimental period. The remaining studies either lacked any specific information regarding the maintenance of social hierarchy or provided unclear data.

#### 7. Conclusions

The inherent variability in sleep deprivation protocols coupled with factors such as possible sex and differences frequently adds a layer of complexity to the interpretation of data regarding sleep deprivation. As such, definitive conclusions are difficult to draw regarding the multifaceted relationship between sleep deprivation and oxidative stress.

The currently available data seem to further suggest that both paradoxical and total sleep deprivation can determine alterations in oxidative stress parameters. These changes seem to be relatively consistent and can be seen in both brain (whole brain, hippocampus, cortex, thalamus, hypothalamus, amygdala, locus coeruleus) and non-brain areas (serum, testes, epididymis, liver, pancreas, aorta, submandibular glands, thyroid, erythrocytes, soleus muscle), in multiple rat strains (Wistar, Sprague Dawley, Long-Evans, Wistar-Hannover) and in both males and females in the case of Wistar and Sprague Dawley rats.

Sleep recovery seems to be characterized by extensive variability determined by a multitude of factors ranging from the duration of sleep deprivation to the duration of sleep recovery, among others. Furthermore, short daily sleep deprivation seems to be at least partially compensated even when performed for longer time periods, at least when considering lipid peroxidation.

Most available data seem to suggest the presence of a stress response after sleep deprivation. Stress has traditionally been considered a significant confounding variable in studies investigating sleep deprivation. However, the origin of the stress response and the overall effects of stress in SD studies remain somewhat unclear. The findings outlined in this review seem to provide evidence that supports the hypothesis of sleep deprivation being a stressor in itself. Consequently, the oxidative changes observed during sleep deprivation are most likely a direct result of sleep deprivation rather than an indirect effect of the experimental conditions.

#### 8. Future Directions

Multiple unknowns remain regarding the relationship between oxidative stress and sleep deprivation, requiring to be addressed in future studies: sex differences in response to sleep deprivation and sleep recovery, dynamics of sleep recovery, the existence of an antioxidant compensatory mechanism in short SD durations and the molecular mechanisms through which SD determines oxidative stress.

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# Review The Unfolded Protein Response: A Double-Edged Sword for **Brain Health**

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Abstract: Efficient brain function requires as much as 20% of the total oxygen intake to support normal neuronal cell function. This level of oxygen usage, however, leads to the generation of free radicals, and thus can lead to oxidative stress and potentially to age-related cognitive decay and even neurodegenerative diseases. The regulation of this system requires a complex monitoring network to maintain proper oxygen homeostasis. Furthermore, the high content of mitochondria in the brain has elevated glucose demands, and thus requires a normal redox balance. Maintaining this is mediated by adaptive stress response pathways that permit cells to survive oxidative stress and to minimize cellular damage. These stress pathways rely on the proper function of the endoplasmic reticulum (ER) and the activation of the unfolded protein response (UPR), a cellular pathway responsible for normal ER function and cell survival. Interestingly, the UPR has two opposing signaling pathways, one that promotes cell survival and one that induces apoptosis. In this narrative review, we discuss the opposing roles of the UPR signaling pathways and how a better understanding of these stress pathways could potentially allow for the development of effective strategies to prevent age-related cognitive decay as well as treat neurodegenerative diseases.

Keywords: endoplasmic reticulum stress; mitochondria unfolded protein response; oxidative stress; neurodegeneration; proteostasis; calcium; brain; nitrosative stress; oxygen homeostasis

## 1. Introduction

Proper oxygen  $(O_2)$  homeostasis is essential for human survival, and the human brain consumes about 20% of the total oxygen to support neurons and glia [1-4]. Unmet brain oxygen needs during ischemic stroke limit ATP synthesis [5,6]. Oxygen consumption results in the generation of free radicals and non-radicals including superoxide  $(O_2^{-})$ and hydroxyl anions (OH), and hydrogen peroxide ( $H_2O_2$ ) [7–10]. Although this is an unavoidable consequence of oxygen-dependent brain activity, if not controlled properly, it leads to oxidative stress and neurodegeneration [11–19]. Thus, maintaining proper oxygen homeostasis in brain tissues requires a balanced level of O2-derived free radicals and non-radicals [1]. In this review, we discuss how the unfolded protein response (UPR) regulates oxygen homeostasis in the endoplasmic reticulum (ER) and mitochondria to support neuronal cell viability, but also how these stress pathways can promote cognitive decline and potentially neuronal diseases.

Given that maintaining the redox balance is necessary for cell survival, it is surprising that the brain is so susceptible to oxidative stress and oxidative damage [1]. This vulnerability to brain oxygen damage is believed to be a compromise between brain function and

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the biochemical organization that is required for survival [20]. This organization includes a high content of mitochondria, an increased glucose demand, and a high influx of neuronal  $Ca^{2+}$ . Furthermore, there is increased microglia activity, as well as increased neuronal nitric oxide synthase (nNOS) and nicotinamide adenine dinucleotide phosphate (NAPDH) oxidase (NOX) signaling, along with the presence of autoxidizable neurotransmitters. This metabolism machinery generates hydrogen peroxide, high concentrations of peroxidable lipids, elevated levels of cytochrome  $P_{450}$ , and the enrichment of brain tissues in redoxactive transition metals such as  $Fe^{2+}$  and  $Cu^+$  [1,11–19,21,22]. All of this leads to potential stress that needs to be properly and safely regulated.

In this complex system, brain cells have to efficiently modulate their signaling pathways to maintain their redox balance and utilize universal adaptive stress responses in order to survive periods of elevated oxidation levels and minimize cellular damage. These stress pathways depend on the proper function of the endoplasmic reticulum (ER) and activation of the unfolded protein response (UPR), a set of complex molecular pathways that regulate proper ER function required for cell survival, or in the case of unmitigated cell stress, lead to cell death. In this review, we discuss the Janus faces of this complex signaling pathway in the context of managing the "oxidant burden" of the brain [23,24].

#### 2. Role of the ER in Maintaining Neuron Cell Homeostasis

## 2.1. Calcium Regulation and Signaling

Connecting synaptic activity with the biochemical signals of neurons occurs through utilizing calcium ions (Ca<sup>2+</sup>) as the main second messenger to regulate activity-dependent signaling [25,26]. Brain calcium fluxes lead to high ATP demands that restore the ion levels after calcium influx through the plasma membrane receptor. When impaired, intracellular calcium homeostasis leads to increased generation of mitochondrial reactive oxygen species (ROS) [27]. The ER, the main cellular calcium storage compartment, remains a critical system responsible for the calcium balance in neurons [28]. ER calcium release in response to small increases in its cytosolic levels is termed calcium-induced calcium release (CICR), whereas the reduction in calcium concentration in ER lumen is referred to as storage-operated calcium entry (SOCE) [28]. Both of these mechanisms amplify cytosolic calcium levels and allow the ER, at least in theory, to generate calcium transients independently of any plasma membrane depolarization [29]. Furthermore, ER calcium release and uptake in neurons relies on the membrane potential and contributes to its modulation by accelerating increases and decreases in the calcium cytosolic levels.

The excessive influx of calcium into neurons mainly occurs through the activation of N-methyl-D-aspartate (NMDA) receptors by glutamate, and results in CICR [28]. Although the influx of calcium through NMDA receptors is the underlying basis of neurodegeneration caused by excitotoxicity, calcium stores within the endoplasmic reticulum (ER) can also be released through ryanodine receptors (RyR) and inositol 1,4,5-trisphosphate receptors (IP<sub>3</sub>R) under these conditions, and this can amplify the pathological calcium signals [28,29]. As a consequence, the activation of the mitochondrial calcium buffering system can occur and lead to rapid mitochondrial damage due to increased permeability of the transition pore (mPTP) [28,30,31]. Furthermore, the increase in intracellular calcium concentration is accompanied by  $O_2^-$  release and the generation of OH<sup>-</sup> in the Fenton reaction, which is catalyzed by superoxide dismutase (SOD) [32,33].

ER calcium release in the region of mitochondria-associated membranes (MAMs) [34,35] has been shown to support the ATP demand-related mitochondrial uptake of calcium [36,37]. Mitochondrial calcium uptake leads to increases in the activity of the Krebs cycle enzymes [36–39]. Despite multiple pathways that allow mitochondrial calcium release that include both ion exchangers and the transient opening of the mitochondrial permeability transition pore (mPTP) [30,31], mitochondria remain prone to calcium overload. This unfortunately leads to reduced ATP synthesis, increased ROS formation [40,41], and eventually cell death [42]. This highlights the importance of the cooperation between mitochondria and ER in regulating intracellular calcium levels and neuronal cell viability.

#### 2.2. The ER and Proteostasis

The spatial organization of the brain dependence on this complex neuronal structure is maintained by the continuous protein profile-related remodeling of synapses [43–45]. Their proper function relies on the biogenesis of plasma membranes that are enriched with specific proteins, including cell adhesion molecules, ion channels, receptors, and transporters [46]. The ER is a central compartment for the secretory protein pathway, which is important for membrane protein maturation and lipid biosynthesis, and this pathway remains critical both during and after brain development [47,48]. Proper ER functions are crucial for both synapse formation and plasticity as well for cognitive functions [47–51].

The ER also contains enzymes and chaperones that assist in various protein folding scenarios and mediates their posttranslational maturation [52]. This protein maturation machinery includes chaperone immunoglobulin binding protein (BiP; also known as HSPA5 or Grp78) [53], different oxidoreductases of the protein disulfide isomerase (PDI) family [54], and the peptidyl prolyl cis-trans isomerases (PPIs) [55]. Protein quality control of the ER-maturating glycosylated proteins is ensured by the calnexin–calreticulin system [56], whereas terminally misfolded peptides are exported from the ER and degraded either by the proteasome (ER-associated degradation (ERAD)) or the lysosome (ER-to-lysosomeassociated degradation (ERLAD)) [57,58]. Random oxidation of mRNA is one of the consequences of the brain oxygen burden [59], and this can increase translational errors [60], reduce the successful protein folding in ER [61-63], and provide challenges for the ERassociated degradation system. Furthermore, impaired efficiency of ER-related protein maturation can result in deregulation of brain redox homeostasis and lead to oxidative damage. Oxidative stress can also impair ER proteostasis and ER-associated degradation, leading to accumulation and aggregation of misfolded proteins, as is observed during neurodegeneration [64,65].

#### 2.3. The ER Lipid Biosynthesis

ER-localized enzymes are also responsible for the synthesis of the majority of cellular lipids that are another key component of the brain. These membrane lipids allow the brain cells to grow, proliferate, differentiate, and modulate neurons and glia cell function, including neurotransmission [66–68]. Interestingly, the brain is enriched in long-chain polyunsaturated fatty acids that are sensitive to oxidation, but neurons do not store energy in the form of glycogen or lipid droplets. Therefore, fatty acid oxidation primarily occurs in astrocytes that transfer the related metabolites to neurons [69]. Furthermore, stressed neurons release peroxidated fatty acids to be endocytosed and stored in lipid droplets by neighboring astrocytes that utilize this storage to support the stimulated neuron energy requirements [70]. This lipid crosstalk between the neurons and astrocytes ensures proper brain function, while minimizing the risk of oxidative stress [69]. This cooperation between the neurons and astrocytes in neurons during periods of prolonged stimulation [70].

Cholesterol, on the other hand, is enriched in synaptic membranes and serves as a regulator of neurotransmissions. It is synthetized de novo in both neurons and astrocytes [71,72]. The cholesterol synthesis pathway is dependent upon the ER-associated sterol regulatory element-binding protein (SREBP) system that is activated by low cholesterol levels in ER membranes and is very sensitive to the alterations in ER homeostasis [73,74].

In summary, ER homeostasis (as presented in Figure 1) remains one of the key factors for brain development and function, including the redox balance. ER homeostasis is stabilized by the presence of the UPR. The UPR promotes cellular survival by reducing ER damage during stress, or alternatively promotes cell death during prolonged or unmitigated stress [75]. This negative scenario is a common characteristic of neurodegenerative diseases caused by aggregates of mutant proteins or through loss of function of genes responsible for proteostasis [75–78]. Thus, the ability of UPR to determine cell fate is a crucial element of brain aging and potential neurodegeneration.



**Figure 1.** The role of the endoplasmic reticulum (ER) in maintaining neuron cell homeostasis. (A) As the main  $Ca^{2+}$  reservoir, the ER is crucial for the regulation of cytosolic  $Ca^{2+}$  concentration using pumps and channels localized in ER membrane. Those include sarco/endoplasmic reticulum  $Ca^{2+}$  ATPase (SERCA),  $Ca^{2+}$ -activated ryanodine receptors (RyRs), and inositol-1,4,5-trisphosphate (IP<sub>3</sub>)-gated IP<sub>3</sub> receptors (IP<sub>3</sub>Rs). They cooperate with the cell membrane  $Ca^{2+}$  transporters that regulate the influx of extracellular  $Ca^{2+}$ , exemplified by plasma membrane  $Ca^{2+}$  ATPase (PMCA) and N-methyl-D-aspartate receptor (NMDAR). (B)  $Ca^{2+}$  homeostasis processes in the ER and mitochondrion

are tightly interconnected, primarily by virtue of the regions of mitochondria-associated membranes (MAMs). An increase in  $Ca^{2+}$  concentration in MAM promotes its influx into the mitochondrion, mainly through voltage-dependent anion channel (VDAC). High  $Ca^{2+}$  concentration stimulates the activity of the oxidative processes in the mitochondrion, leading to the increased production of reactive oxygen species (ROS). In turn, ROS-dependent modifications of ER  $Ca^{2+}$  channels increase their permeability for  $Ca^{2+}$  and the efflux of  $Ca^{2+}$  from ER, which closes the positive-feedback loop. (C) The ER is a central cell compartment where the synthesis and quality control of secretory and membrane proteins takes place. The properly folded proteins are directed through secretory pathway to the cell membrane, whereas irreversibly unfolded/misfolded proteins are exported and eventually degraded either in lysosomes or proteasomes. (D) ER-based lipid crosstalk between neurons and astrocytes. Fatty acids (FAs) and the products of their oxidation synthesized in astrocytes are delivered to neurons to support their demand for energy and membrane building components. In turn, nonfunctional peroxidated FAs released by neurons are endocytosed by astrocytes and stored in lipid droplets or catabolized by the mitochondrial FA oxidation pathway.

## 3. The Unfolded Protein Response Pathway

The proper ratio between folded and unfolded proteins in the ER is an essential component of ER homeostasis [79]. Nevertheless, numerous cellular and environmental and physiological insults, including gene mutations, prion transmission, virial infections and ROS, promote ER stress. This results in the extensive accumulation of misfolded or incompletely folded proteins in the lumen of this organelle [75–78,80–87]. This type of disturbance of proteostasis calls for reductions in the protein synthetic load and increases in the availability of ER chaperones such as BiP [88]. Consequently, the pool of BiP associated with the ER UPR transmembrane proteins is released into the ER lumen to facilitate folding while simultaneously activating the UPR proteins (Figure 2A). These UPR proteins include protein kinase RNA (PKR)-like ER kinase (PERK), inositol-requiring transmembrane kinase/endoribonuclease (IRE1 $\alpha$ ), and activating transcription factor 6 (ATF6)) [89]. After BiP release, both IRE1 and PERK self-associate and undergo transautophosphorylation to become functional [88–91], whereas ATF6 translocates to the Golgi, where it is subjected to intermembrane proteolysis by site 1 and 2 proteases, yielding the nuclear-targeted transcription factor ATF6f (p50) [92–95].

PERK phosphorylates an alpha subunit of the eukaryotic initiation factor 2 (eIF2 $\alpha$ ), yielding P-eIF2 $\alpha$  [96,97]. This in turn reduces the global rates of protein synthesis by inhibiting the activity of its own guanine nucleotide exchange factor [98]. The PERK-mediated reduction in cellular protein synthesis, referred to as the integrated stress response (ISR), reduces the ER peptide influx and allows correction of the degradation of misfolded proteins [99–102]. Nevertheless, the ISR-related translational blockage does not apply to the translation of a limited number of specific genes, including the growth arrest and DNA damage-inducible protein (GADD34), proapoptotic CCAAT/enhancer binding homologous protein (CHOP), and activating transcription factor 4 (ATF4) [89,103–106]. ATF4 enhances expression of antiapoptotic factors as well as—along with nuclear factor erythroid 2–related factor 2 (NRF2)—modulates glutathione (GSH) synthesis and the response to ox-idative stress [107,108]. If the ER stress is diminished, GADD34 dephosphorylates P-eIF2 $\alpha$  and thus reverses the translational blockage when the stress response is resolved [109].

Upon trans-autophosphorylation, IRE1's endoribonuclease (RNase) activity is initiated, which allows it to degrade a subset of mRNAs to reduce the ER load of newly translated proteins in a process called IRE1-dependent decay (RIDD) [106,110]. Secondly, IRE1 splices the mRNA transcript of the X-box binding protein 1 (XBP1) transcription factor into an mRNA that encodes a transcriptionally active isoform of this protein (XBP1s) [111].



**Figure 2.** The unfolded protein response (UPR) pathway. (A) Three UPR sensors—inositol-requiring protein 1 $\alpha$  (IRE1 $\alpha$ ), protein kinase RNA (PKR)-like endoplasmic reticulum kinase (PERK) and activating transcription factor 6 (ATF6)—are localized in endoplasmic reticulum (ER) membrane and share a common activation signal: the dissociation of binding immunoglobulin protein (BiP) chaperone in response to increased level of unfolded/misfolded proteins. Dimerization of IRE1 $\alpha$ , followed by its trans-autophosphorylation, activates its RNase domain. The primary target of IRE1 $\alpha$  is the unspliced X box-binding protein 1 (XBP1u) transcript. Spliced XBP1 mRNA (XBP1s) encodes transcription factor XBP1s, which activates UPR-associated genes. IRE1 $\alpha$  also degrades certain mRNAs through the regulated IRE1-dependent decay (RIDD) process. Upon dimerization and trans-autophosphorylation, PERK phosphorylates eukaryotic translation initiator factor 2 $\alpha$  (eIF2 $\alpha$ ) to attenuate general protein translation. Phosphorylated eIF2 $\alpha$  promotes expression of activating transcription factor 4 (ATF4) and nuclear factor erythroid 2-related factor 2 (NRF2), which are involved in the response to ER and

A) Proadaptive UPR

oxidative stress, respectively. ER stress triggers the cleavage of disulfide bonds, stabilizing ATF6 oligomers by protein disulfide isomerase family A member 5 (PDIA5), and this is followed by its transport to the Golgi apparatus where it is processed by site 1 and site 2 proteases (S1P, S2P). Cytosolic ATF6 fragment (ATF6f) is released and imported to the nucleus, where it plays the role of an active transcription factor. (**B**) Under extensive and persistent ER stress, the UPR switches from proadaptive to a proapoptotic character. Oligomerized IRE1α, stabilized by the disulfide bonds formed by protein disulfide isomerase family A member 6 (PDIA6), recruits tumor necrosis factor (TNF) receptor-associated factor 2 (TRAF2), which in turn activates the proapoptotic signal-regulating kinase 1/Janus N-terminal kinase (ASK1/JNK) and the nuclear factor kappa-light-chain-enhancer of activated B cells (NF-κB) signaling pathways. ATF4 promotes the expression of CCAAT/enhancer-binding protein homologous protein (CHOP) and transcription factor targeting apoptotic genes, including growth arrest and DNA damage-inducible 45 alpha (GADD45A), p53 upregulated modulator of apoptosis (PUMA), phorbol-12-myristate-13-acetate-induced protein 1 (NOXA), and growth arrest and DNA damage-inducible 34 (GADD34). GADD34 forms a complex with protein phosphatase 1 (PP1) to dephosphorylate eIF2α and reverse the inhibition of translation.

Both ATF6f and the XBP1s mediate a wide transcriptional reprogramming of stressed ER cells. These transcription factors work both cooperatively and independently to reduce ER peptide influx, increase folding processes in ER, and improve misfolded protein removal [82,112–114]. Furthermore, both ATF6f and XBP1s stimulate ER lipid membrane biosynthesis and chaperone transcription to increase the volume and folding capacity of the ER. They also promote the expression of the genes responsible for ERAD, including synoviolin 1 (HRD1), which is XBP1-induced, and the suppressor/enhancer of lin-12-like (SEL1L), which is induced by both ATF6f and XBP1s [115–117] and N-glycosylation [82,98,118–120]. Notably, ATF6f and XBP1s transcriptional targets include prosurvival transcripts [111,114,118,121,122]. Although the ER requires increased production of membrane lipids in order to increase the ER volume during the UPR, this approach remains the most straightforward mechanism for the cell to resolve the stress and improve protein folding [123]. Despite the fact that all of the UPR branches stimulate lipid biogenesis [120,124–126], XBP1s remain the most critical for efficient increasing the ER volume [127–129].

The UPR can also realign its three signaling branches towards cell death programs (Figure 2B). The UPR-related cell death shifts the balance away from the proadaptive signals in cases where the cellular damage is too severe or the adaptative response fails [114,130,131]. Both PERK and ATF6f continuously stimulate expression of CHOP, whereas IRE1 leads to the activation of the Janus N-terminal kinase (JNK) [130,132–134]. The RIDD allows for the accumulation of proapoptotic factors by degrading their specific miRNAs that target these factors [135,136]. Furthermore, upon eventual hyperactivation of IRE1, in addition to RIDD, this RNAse forms a scaffold for the activation of proinflammatory and apoptotic ASK1-JNK and NF-k $\beta$  pathways [137,138]. IRE1-ASK1-JNK signaling leads to the inhibition of mitochondrial respiration and enhanced ROS production [139]. Interestingly, IRE1 activation can also prevent the proapoptotic activity of ATF6f [140].

The UPR cell death decision is also supported by changes in levels of other apoptotic factors such as growth arrest and DNA damage-inducible alpha (GADD45A), p53 upregulated modulator of apoptosis (PUMA), and phorbol-12-myristate-13-acetate-induced protein 1 (PMAIP1, also known as NOXA) [82,130,131,141–144]. Notably, PUMA and NOXA provide the link between UPR-induced cell death and mitochondrial apoptosis [145]. Since these two proteins contribute to the outer mitochondrial membrane permeabilization, their accumulation during ER stress can result in enhanced ROS efflux from mitochondria and accelerated oxidative stress [146]. Furthermore, if cells are exposed to strong and chronic ER insults, potent activation of PERK signals will result in a rapid decline in ATP levels accompanied by an intensive release of ER-stored calcium that leads to necroptosis [147–152]. Notably, necroptosis is also often associated with increased ROS levels [153–156]. It is also worth mentioning that both proadaptive and apoptotic aspects of the UPR are modulated at
the posttranscriptional levels by the accompanying ER stress specific changes in noncoding RNAs, especially microRNAs [114,121,131,136,157–165].

#### 4. The Mitochondrial UPR

Since mitochondria play a central role in terms of ROS-produced oxidative stress in brain, the impairment of ATP production and deregulation of mitochondrial function may also deregulate protein import and homeostasis in these organelles, and result in the induction of the mitochondrial UPR (UPRmt) [166–169]. In order to respond to such an insult, the mitochondrial UPR pathway has to adjust both mitochondria and nuclear encoded genes in order to increase the levels of ROS scavengers and mitochondrial chaperones and proteases. Chronic stress can lead to apoptosis [166–170].

It has been suggested that the mitochondrial UPR can serve as a protective mechanism against ATP depletion, mitochondrial protein misfolding or loss of mitochondrial inner membrane potential [168,171]. For example, the activation of UPRmt favors glycolysis [170,172], while at the same time it stimulates mitochondrial ROS removal [168]. The UPRmt has also been associated with a number of human diseases, including cancers, cardiac pathophysiology, neurodegeneration and Alzheimer's disease [168,171,173–175].

While significant progress on deciphering the UPRmt mechanisms was achieved initially in *C. elegans*, it is only recently that the human UPRmt has become better characterized [176]. It has been shown, for example, that the UPRmt can result in the activation of the PERK axis of the UPR and thus increase levels of ATF4, ATF5 and CHOP as well as participate in ISR [166–169,177–180]. Mitochondrial disfunction has also been shown to lead to eIF2 phosphorylation, and this promotes the translation of ATF4, CHOP and activating transcription factor 5 (ATF5). These factors stimulate the transcription of the genes responsible for the recovery from mitochondrial insults including the mitochondrial chaperones [166,168,169,178–180]. ATF4 induces the transcription of the supercomplex assembly factor 1 (*SCAF1*) that supports OXPHOS metabolic reprograming [181]. Furthermore, ATF5 serves as sensor of mitochondrial homeostasis since its activity is inhibited when the protein import into healthy mitochondria is restored [182]. Since ATF5 contains both a mitochondrial translocation signal and a nuclear localization signal. During nonstress conditions, it is selectively imported into mitochondria for subsequent degradation by resident proteases [182].

Depending on the cause of mitochondrial dysfunction, different kinases can phosphorylate eIF2 [176]. Besides the ER stress and oxidative stress-related PERK kinase, eIF2 can be also phosphorylated by ribosome-associated general control nonderepressible 2 (GCN2) during stalled translation [183,184], whereas in the absence of heme or with the binding of the death ligand signal enhancer (DELE1), a mitochondrial protein that is exported to cytosol during stress, the eIF2 heme-regulated inhibitor (HRI) is activated [176,185,186]. Furthermore, eIF2 can also be phosphorylated by protein kinase R (PKR) activated by mitochondrial matrix-generated dsRNA [187]. Interestingly, the ISR-related translational blockage includes blocking the synthesis of the mitochondrial subunits of the channels responsible for protein import to attenuate mitochondrial stress [176,188,189]. Given the importance of mitochondrial homeostasis in the brain, understanding the crosstalk between the mitochondrial and the ER UPR pathways will require further study [190].

#### 5. Oxidative Insults Can Cause ER Stress

Increased cellular oxidation can disrupt ER homeostasis and trigger UPR activation and eventually lead to cell death. These oxidative insult-related ER stressors include deregulation of ER calcium homeostasis, nitrosative stress, and mitochondrially generated ROS, as well as ischemic events, discussed below [191–196]. Calcium homeostasis is a critical component here (Figure 3). Calcium influx to the ER is mediated by pumps from the sarco/endoplasmic reticulum calcium transport ATPase (SERCA) family, whereas the efflux occurs via the inositol 1,4,5-trisphosphate (IP<sub>3</sub>) receptors (IP<sub>3</sub>R) channels, the ryanodine receptor (RyR) channels, and a heterogeneous collection of calcium leak pores [28,197,198]. Importantly, although sulfoxidation of cysteine 674 in SERCA will prevent calcium influx to ER, the nitric oxide-mediated glutathionylation of this cysteine residue has an opposite effect [191,199,200]. These independent reports stress the importance of maintaining proper redox homeostasis in terms of ER calcium storage. Furthermore, ROS-dependent posttranslational modifications of IP<sub>3</sub>R and RyR channels enhance calcium efflux from ER and consequently impair the calcium-dependent protein folding machinery (calnexin and calreticulin) and lead to the activation of UPR [89,201,202].



# A) Deregulation of ER Ca<sup>2+</sup> homeostasis by ROS

Figure 3. Induction of UPR by oxidative stress. (A) Elevated reactive oxygen species (ROS) levels may cause the oxidation of endoplasmic reticulum (ER) calcium transporters, most notably, ryanodine receptors (RyRs), and inositol-1,4,5-trisphosphate (IP<sub>3</sub>) receptors (IP<sub>3</sub>Rs). Elevated ROS levels also promote sulfoxidation of Cys674 of sarco/endoplasmic reticulum Ca<sup>2+</sup> ATPase (SERCA). These modifications lead to efflux of Ca<sup>2+</sup> from ER and impairment of Ca<sup>2+</sup>-dependent chaperons, calnexin

and calreticulin. (**B**) The disturbance of ER  $Ca^{2+}$  homeostasis may spread through mitochondriaassociated membranes and target the mitochondrion, causing the  $Ca^{2+}$  influx through the voltagedependent anion channel (VDAC). High  $Ca^{2+}$  concentrations induce mitochondrial stress, which leads to activation of the mitochondrial unfolded protein response (mUPR) and formation of mitochondrial permeability transition pores (mPTP). Increased leakage of ROS from electron transport chains and depletion of ATP enhances further ER stress and deregulation of  $Ca^{2+}$  homeostasis. (**C**) Increased ROS concentrations combined with the production of NO by nNOS (neuronal nitric oxide synthase) leads to the formation of peroxynitrate (ONOO<sup>-</sup>) which reacts with thiol group of proteins. Snitrosylation inhibits the activity of modified proteins, including protein disulfide isomerases (PDIs). PDIs, accompanied by ER oxidoreductin 1 (ERO1), catalyze the formation and cleavage of disulfide bonds, and are one of the crucial components of the ER proteostasis system. The reduced-to-oxidized ratio of glutathione (GSH/GSSG), which plays a role analogous to PDIs, may also be increased by the oxidative environment in ER. PDIs also directly affect the UPR sensors and activate transcription factor 6 (ATF6) and inositol-requiring protein 1 $\alpha$  (IRE1 $\alpha$ ).

Calcium depletion of ER can also be attributed to the crosstalk between the ER and mitochondria and the fact that efficient calcium influx to the ER requires ATP. Hence, oxidative stress-related alterations of the mitochondrial calcium pool and function may impair ER calcium balance and activate the UPR (Figure 3B). Mitochondrial associated membrane (MAM) regions of the ER are known to amplify calcium release and signaling [36,203]. Furthermore, the increased release of mitochondrial H<sub>2</sub>O<sub>2</sub> also stimulates ER calcium release via the oxidation of IP<sub>3</sub> receptors [201]. Disturbed MAM signaling has been associated with both Alzheimer's disease (AD) and amyotrophic lateral sclerosis (ALS), neurodegenerative diseases that are associated with ER stress [204,205]. Additionally, IP<sub>3</sub>R channels are regulated by the ER membrane presenilins that are also considered ER calcium leak channels [206,207], and mutations in the presenilins are associated with AD [208–211]. Although the role of presenilins in maintaining ER calcium homeostasis requires further study, some of the mutations in these proteins were shown to disturb UPR signaling [212].

In neurons, oxidative stress-related damage results in reduced ATP and NADH synthesis and eventually impairment of complex I that leads to increased levels of  $O_2^{--}$  [213]. This leads to ER stress and activation of the apoptotic branch of the UPR, including the ER-stress associated caspase 12 [193,214–216]. Furthermore, the increase in mitochondrial ROS (both  $O_2^{--}$  and  $H_2O_2$ ) along with the NO synthesized by nNOS can result in formation of peroxynitrite (ONOO<sup>-</sup>) [217] and leads to the formation of S-nitrosylated proteins [218]. Notably, PDIs that facilitate proper disulfide bond formation and rearrangements in ER can be S-nitrosylated, and if so, their activity is inhibited and leads to the accumulation of misfolded polyubiquitinated proteins in ER and activation of the UPR [219,220]. Since increases in PDI activity serve as a neuroprotective mechanism preventing accumulation of immature and misfolded proteins upon ischemia and during neurodegenerative disorders, the oxidative stress-related impairment of these ER resident chaperones can dramatically influence neurodegeneration [221].

Ischemic events in the brain affect mitochondrial function and result in elevated ROS levels and limit ATP production. This would therefore inhibit energy-dependent cellular functions including the maintenance of ion homeostasis and the redox potential [222–227]. Notably, the ischemic ATP level reduction is accompanied by the accumulation of NADH and acyl esters of coenzyme A and carnitine, and these acyl esters were shown to impair both mitochondrial function and structure [228,229]. These changes would impair protein and lipid synthesis, as well as protein folding in ER, and therefore activate the UPR and UPRmt [88,166–169]. An unmet oxygen cellular demand results in increased levels of BiP as well as PERK activation [95,230–242]. This suggests that reduced ATP production due to hypoxia or mitochondrial dysfunction can be at least partially counteracted by reducing global translation by an integrated stress response, whereas the related ATF4 signaling restores the mitochondrial and ER balance [166–169,177].

Although mild and short-lived ischemic events are well controlled by hypoxia-inducible factors (HIFs) that allow both adaptation and survival of neural cells and prevent exten-

sive ROS formation [243–248], the rapid reestablishment of normal oxygen levels is often accompanied by overproduction of ROS and cellular damage that is referred to as ischemia–reperfusion injury [243,244,249–254]. This damage is accompanied by hyperoxidation of NADH in some neurons and consequently enhanced generation of  $O_2^{--}$  and acute oxidative stress [255–257]. Not surprisingly, ischemia–reperfusion injury has been also associated with the rapid depletion of ER calcium and extensive activation of UPR and UPRmt [258–282].

ROS may also react and change properties of other ER-important molecules such as lipids, proteins and nucleic acids and thus impair ER function. For example, mRNA oxidation that has been observed in neurodegenerative diseases, including AD and ALS [61–63], can result in ribosome stalking and disturbances of cotranslational folding that could eventually contribute to ER stress [59]. Furthermore, ROS-related lipid oxidation can alter ER membrane composition that may also activate the UPR via IRE1 or PERK [283–286]. Furthermore, since cholesterol autoxidation is proportional to ROS levels, the oxidative stress can result in increased generation of non-enzymatically produced oxysterol [287] that can also disrupt ER membranes and lead to activation of the UPR [288–290].

### 6. ER Stress Contributions to Oxidative Stress

Disulfide bond generation in the ER is an oxidative process that utilizes  $O_2$  and  $H_2O_2$ as the electron acceptors [291,292]. Oxygen is required by oxidases such as ER oxidoreductin 1 (ERO1) [293], whereas  $H_2O_2$  is generated by the glutathione peroxidases 7 or 8 (GPX7, GPX8) and peroxiredoxin IV (PRDX4) [292,294-296]. These two types of enzymes are involved in disulfide bond generation complement and control each other since ERO1 catalysis results in H<sub>2</sub>O<sub>2</sub> formation that has to be reduced by GPX7 and GPX8 [297]. Notably, PRDX4 reactions rely on other sources of H<sub>2</sub>O<sub>2</sub> in ER [297]. PDIs mediate oxidation of cysteine residues in the proteins that require oxidative folding in ER [294]. Although, this oxidative protein folding system is well maintained during normal physiological conditions, during prolonged stress, disulfide bond formation in ER may contribute to oxidative stress through the PERK branch of the UPR [298–300]. During chronic stress, the PERK signals switch from the integrated stress response to the propagation of proapoptotic CHOP signaling. The increased expression of some CHOP target genes such as ERO1 may contribute to enhanced ROS generation in ER. Upon ER stress, the expression of GPX8 peroxidase increases as well [297], and thus the importance of CHOP-ERO1 axis in inducing oxidative stress in vivo remains unclear. Other studies, however, have indicated that increased ERO1 levels can result in increased efflux of ER calcium through IP<sub>3</sub>R channels [301,302], and these in turn activate the JNK pathway and stimulate ROS production by the oxidases NOX2 and NOX4 [303,304]. Consequently, ERO1-mediated efflux of ER calcium leads to oxidative stress and amplifies CHOP signaling [303,304]. Furthermore, the ER-stress related increase in H<sub>2</sub>O<sub>2</sub> generation leads to elevated oxidized GSH levels and thus further reduces the cellular ROS buffering capacities [299,305].

More importantly, chronic or exaggerated ER stress results in dramatic ER calcium efflux as well as activation of UPR apoptotic signaling that can support mitochondrial ROS release and lead to oxidative stress [146]. As mentioned, UPR-induced intrinsic apoptosis relies on B-cell lymphoma 2 (BCL2) repression and induction of BH3-only proteins, including the BCL-2 interacting mediator of cell death (BIM), NOXA, PUMA, death receptor 5 (DR5), and proto-oncogene c (CRK) [82,306–311]. Such a programmed increase in mitochondrial outer membrane permeability allows the release of cytochrome c, changing the gating of mPTPs, and the balance between ER and mitochondrial calcium pools, all of which leads to mitochondrial dysfunction and ROS generation [207,312,313]. Furthermore, ER stress-related increases in cytosolic calcium may stimulate phospholipase A<sub>2</sub> activity and consequently enhance peroxidation of unsaturated lipids and contribute to oxidative stress [314,315].

Taken together, depending on the pathological situation, the chronic or exacerbated activity of this pathway caused by accumulation of mutated misfolded proteins in neurode-generative diseases such as AD and ALS can also induce ROS production (Figure 4) [64,316].



Figure 4. The crosstalk between ER stress and oxidative stress. The main linkage between endoplasmic reticulum (ER) and mitochondrion homeostasis is the  $Ca^{2+}$  concentration interdependence.

Ca<sup>2+</sup> efflux from ER and influx into mitochondria are connected by a positive-feedback loop: oxidative stress and reactive oxygen species (ROS) generation induce the release of Ca<sup>2+</sup> from ER, and in turn, high Ca<sup>2+</sup> stimulates the oxidative stress. The important source of ROS in ER is the activity of enzymes catalyzing redox reactions: protein disulfide isomerases (PDIs), ER oxidoreductin 1 (ERO1), glutathione peroxidases (GPXs), and peroxiredoxins (PRDXs). ER stress induces activation of proadaptive unfolded protein response (UPR). In the case of prolonged and excessive stress, UPR activates apoptotic transcription factor CCAAT/enhancer-binding protein homologous protein (CHOP) and severe oxidative stress leads to formation of mitochondrial permeability transition pores (mPTPs). Both pathways trigger eventual apoptosis of cells during unmitigated cellular stress conditions.

#### 7. Discussion

Given the complexity of the processes described above and challenges that the brain cells experience while maintaining oxygen homeostasis, it is important to understand molecular mechanisms that assure their proper functioning and survival, as well as the role that the ER plays in this regulation.

Although it seems obvious that oxidative stress accompanies brain pathologies and aging, the role of proadaptive stage of UPR pathway remains underappreciated both in research and clinical approaches. The majority of current approaches focus on the elimination of death-related signals during chronic ER stress, and that is understandable given the pathomechanisms of many of the neurodegenerative diseases, including ALS, AD, Parkinson's disease (PD), and prion diseases [64,316–324]. In these cases, the chronic ER stress will have devastating effects on cell survival. Notably, some studies have shown the benefits of supporting adaptive UPR activity in these disease models. For example, the neuroprotective effects of the transgenic increased levels of XBP1s in a PD mice model [325] and the use of chemical chaperones such as 4-phenyl butyric acid (4-*PBA*) to reduce stress [326]. Furthermore, the forced activation of ATF6 in forebrain neurons improved functional recovery in a mouse model of stroke and Huntington's disease [327,328].

Alternatively, UPR-inhibiting approaches have also been tested. The PERK pathway inhibitor ISRIB [329] was able to attenuate amyloid  $\beta$ -induced neuronal cell death in AD [330], and was also shown to be promising for therapies targeting ALS [331] and traumatic brain injury (TBI) [332]. Furthermore, the "free radical theory of aging" proposes that the long-term accumulation of oxidative stress incidents will eventually manifest itself by impairing the cellular abilities of maintaining homeostasis, including mitochondrial and ER function [333,334]. Although ROS scavengers seem like a straightforward strategy to cope with neurodegeneration, successful approaches to improve aging-related declines in cognitive function in humans with antioxidants are rarely successful [335,336]. Furthermore, similar limitations were observed during clinical trials using antioxidant strategies in stroke or cardiac ischemia [28,198,337–341]. The main challenges of antioxidant therapies are related to the short half-life of ROS, and this requires scavenger molecules to be extremely efficient, lipid-permeable, and usually used at very high concentrations [28,342].

Thus, development of effective strategies against neurodegeneration and aging requires extension of therapeutic strategies towards other mechanisms that regulate brain cell homeostasis, including the UPR. Notably, a recent study showed the importance of proper balance between the proadaptive and proapoptotic activity of IRE1 in aging brain by demonstrating that XBP1 expression alleviated many of the age-related functional changes [343]. Furthermore, activation of PERK signaling may also have neuroprotective effects [344].

#### 8. Conclusions

Here, we have discussed how regulation of the UPR in the ER and mitochondria deals with oxidative stress, how the two collaborate to regulate redox homeostasis, and how things can go wrong with the high oxygen demands of neuronal cells. More insight, however, is needed to understand how these pathways can be manipulated to control the key translations between the survival and death pathways. Both sides of the UPR pathways need to be considered. The findings discussed here emphasize the role of the adaptive ER stress responses for preserving proper brain cell homeostasis. This suggests that reprograming the UPR pathways in order to increase the cellular survival pathways rather than the apoptotic pathways should be tested. Only a precise understanding of mechanisms governing both brain cell redox homeostasis and its crosstalk with UPR<sub>mt</sub> and the ER UPR will lead to effective therapies for age-related cognitive decay and neurodegenerative diseases.

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# Article Redox Regulation of Microglial Inflammatory Response: Fine Control of NLRP3 Inflammasome through Nrf2 and NOX4

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Abstract: The role of inflammation and immunity in the pathomechanism of neurodegenerative diseases has become increasingly relevant within the past few years. In this context, the NOD-like receptor protein 3 (NLRP3) inflammasome plays a crucial role in the activation of inflammatory responses by promoting the maturation and secretion of pro-inflammatory cytokines such as interleukin-1ß and interleukin-18. We hypothesized that the interplay between nuclear factor erythroid 2-related factor 2 (Nrf2) and NADPH oxidase 4 (NOX4) may play a critical role in the activation of the NLRP3 inflammasome and subsequent inflammatory responses. After priming mixed glial cultures with lipopolysaccharide (LPS), cells were stimulated with ATP, showing a significant reduction of IL1-β release in NOX4 and Nrf2 KO mice. Importantly, NOX4 inhibition using GKT136901 also reduced IL-1ß release, as in NOX4 KO mixed glial cultures. Moreover, we measured NOX4 and NLRP3 expression in wild-type mixed glial cultures following LPS treatment, observing that both increased after TLR4 activation, while 24 h treatment with tert-butylhydroquinone, a potent Nrf2 inducer, significantly reduced NLRP3 expression. LPS administration resulted in significant cognitive impairment compared to the control group. Indeed, LPS also modified the expression of NLRP3 and NOX4 in mouse hippocampus. However, mice treated with GKT136901 after LPS impairment showed a significantly improved discrimination index and recovered the expression of inflammatory genes to normal levels compared with wild-type animals. Hence, we here validate NOX4 as a key player in NLRP3 inflammasome activation, suggesting NOX4 pharmacological inhibition as a potent therapeutic approach in neurodegenerative diseases.

Keywords: inflammation and immunity; NLRP3 inflammasome; Nrf2; NOX4; glial cultures; KO mice; neurodegenerative diseases

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# 1. Introduction

Inflammation is a protective response to infection or injury characterized by the recruitment and activation of immune cells to fight infection and remove debris. The inflammatory response eliminates harmful stimuli and restores tissue homeostasis through tissue and wound repair. Excessive inflammation contributes to chronic inflammatory disease, which can also lead to sepsis and subsequent multiorgan failure [1]. NOD-like receptor protein 3 (NLRP3) inflammasome is a cytoplasmic multiprotein complex that plays a crucial role in regulating the innate immune response [2]. Activation of the NLRP3 inflammasome requires two steps: priming and activation. The priming step involves the transcriptional upregulation of NLRP3 and pro-interleukin-1ß (IL-1ß) genes by nuclear factor-kappa B (NF-κB) in response to pathogen-associated molecular patterns (PAMPs) or danger-associated molecular patterns (DAMPs) [3]. The second step involves the assembly and activation of NLRP3 inflammasome complex, which activates caspase-1. This caspase-1 activation leads to the maturation and secretion of proinflammatory cytokines, such as IL-1 $\beta$  and interleukin-18 (IL-18). Additionally, GSDM is also cleaved by caspase-1, forming pores in the membrane and, consequently, pyroptosis [4,5]. Dysregulation of NLRP3 inflammasome activation has been implicated in the pathogenesis of various diseases, including neurodegenerative [6], diabetes, and autoimmune diseases [7].

Reactive oxygen species (ROS) are highly reactive molecules that are produced during normal cellular metabolism. They play an essential role in cellular signaling and regulation of various physiological processes such as cell proliferation, differentiation, cellular senescence, and apoptosis [8]. However, the excessive production of ROS can cause oxidative stress, which can lead to cellular damage, aging, and various diseases [9]. Therefore, it is crucial to maintain a balance between ROS production and scavenging to prevent the deleterious effects of oxidative stress. The production and scavenging of ROS are tightly regulated by a complex network of enzymes and transcription factors.

NADPH oxidases (NOX) are considered an important enzymatic sources of ROS production [10]. Of these, NOX4 appears to be the most suitable therapeutic target because it is induced in various cells and tissues under ischemia or hypoxia [11]. It catalyzes the transfer of electrons from NADPH to molecular oxygen to produce a superoxide anion  $(O_2^{\bullet})$  and hydrogen peroxide  $(H_2O_2)$ , which are the primary ROS produced by NOX4. In this regard, NOX4-derived ROS have been shown to play a critical role in the priming and activation of the NLRP3 inflammasome [12] by activating NF- $\kappa$ B signaling, leading to the upregulation of NLRP3 and pro-IL-1β genes [12]. Moreover, NOX4-derived ROS have been shown to activate the NLRP3 inflammasome directly by inducing potassium efflux and calcium influx, leading to the activation of caspase-1 and the subsequent release of IL-1 $\beta$  and IL-18. On the other hand, nuclear factor erythroid 2-related factor 2 (Nrf2) is a transcription factor that is found imprisoned in the cytoplasm, but under stress conditions such as the formation of ROS, its translocation to the nucleus is induced, leading to the transcription of a battery of genes encoding antioxidant and detoxification enzymes [13]. In this context, Nrf2 plays a critical role in protecting cells from oxidative stress by upregulating the expression of various antioxidant genes, including glutathione peroxidase, superoxide dismutase, and catalase [13]. Additionally, Nrf2 has been shown to be a negative regulator of NLRP3 inflammasome activation by reducing ROS production and modulating the activity of NF-KB [14]. Therefore, the interplay between NOX4 and Nrf2 in the regulation of NLRP3 inflammasome ROS homeostasis is a complex and dynamic process. Moreover, NOX4-derived ROS can contribute to NLRP3 inflammasome activation, while Nrf2 activation can modify ROS levels and mitigate NLRP3 inflammasome activation.

In this manuscript, we aimed to contribute to the understanding of the role of NOX4 and Nrf2 in the activation of the NLRP3 inflammasome. Our data show that (i) both NOX4 and Nrf2 participate in NLRP3 inflammasome activation; (ii) in NOX4 and Nrf2 KO animals, there was a reduction in the release of IL-1 $\beta$  in response to LPS plus ATP; (iii) NOX4 inhibition using GKT136901 also reduced IL-1 $\beta$  release as well as NOX4 KO mixed glial cultures. In addition, we measured NOX4 and NLRP3 expression in WT mixed

glial cultures following LPS treatment, observing that both increased after TLR4 activation, while 24 h treatment with tert-butylhydroquinone (tBHQ), a potent Nrf2 inducer, significantly reduced NLRP3 expression. In vivo LPS administration resulted in significant cognitive impairment compared to the control group. However, mice treated with GKT136901 after LPS impairment showed a significantly improved discrimination index and recovery of the expression of inflammatory genes to normal levels. This shows that NOX4 is a key player in NLRP3 inflammasome activation.

### 2. Materials and Methods

#### 2.1. Mixed Glial Cultures

Mixed glial cultures were prepared from the cerebral cortices of postnatal day 3 C57BL/6N wild-type NOX4 knockout and Nrf2 knockout mice, as previously described [15,16]. Briefly, after removing the meninges and blood vessels, the forebrain was carefully dissociated in DMEM/F12 medium by repeated pipetting. After mechanical dissociation, cells were seeded in DMEM/F12 containing 20% FBS at a density of 300,000 cells/mL and incubated at 37 °C in humidified 5% CO<sub>2</sub> and 95% air. After 5 days in vitro (DIV), the medium was replaced with DMEM/F12 and 10% FBS. Cultures were used at confluency reached after 10–12 DIV. Cultures were treated with LPS (1 µg/mL), ATP (5 mM) (Sigma-Aldrich, Madrid, Spain), tBHQ (10 µM), and GKT136901 (1 µM).

# 2.2. Determination of IL-1 $\beta$ Levels in the Culture Medium

After the different drug treatments, IL-1 $\beta$  levels were measured by using a specific ELISA kit. Supernatant samples were collected at the indicated time points and subjected to the ELISA analysis according to the supplier's recommendations (DY401, R&D Systems, Minneapolis, MN, USA).

#### 2.3. Animals

All animal experimentation was performed under the license PROEX 013/18 granted by the Ethics Committee of Universidad Autónoma de Madrid (Madrid, Spain) and in compliance with the Cruelty to Animals Act, 1876, and the European Community Directive, 86/609/EEC. Every effort was made to minimize stress to the animals. Animals were housed under controlled conditions ( $22 \pm 1$  °C, 55–65% humidity, 12 h light-dark cycle), and have been given free access to water and standard laboratory chow. Experiments were performed on 3–4-month male C57BL/6N wild-type mice, NOX4 KO mice, C57BL/6J, and Nrf2 KO mice. NOX4 KO mice were generated in 2010 by deleting the NADPH binding pockets located in exons 14 and 15 to directly assess NOX4 function in vivo without modifying its expression [11]. In this work, Kleinschnitz C. et al. verified that NOX4 in these animals had no activity. For our experiment, animals were randomly divided into the different experimental groups (vehicle, LPS 250 µg/kg, LPS 250 µg/kg + GKT136901 10 mg/kg). A mixture of DMSO/water in a ratio of 1/99 (10 mL/kg) was used as a vehicle. All the treatments were injected intraperitoneally (i.p.). For the analyses of protein and transcriptional changes, animals were terminally anesthetized with a mix of ketamin:xylacin 1:2 (Ketolar 50 mg/mL, Pfizer, Madrid, Spain; Xilagesic 20 mg/mL, Calier Labs, Barcelona, Spain) and transcardially perfused with heparinized saline. Hippocampi were gently removed and stored at −80 °C until use.

#### 2.4. Novel Object Recognition Test in Mice

The novel object recognition (NOR) test is a behavioral test commonly used to assess recognition memory in mice [17]. For 3 consecutive days, the animals were placed on a field ( $40 \times 40 \times 40$  cm, made of polyvinyl chloride) for 10 min. On the first day (T0), mice explored an empty box. On day 2 (T1), the animal was placed in the field with two identical objects (cylindrical glass bottles, too heavy for the mouse to move, 22 cm high, 9 cm in diameter) and allowed to explore for 10 min. On the third day (T2), a new object (new object, square object) was placed in place of one of the old objects (familiar object) and the

other was left as is. Object exploration was measured using a stopwatch with the animal sniffing, wiping, or looking at the object from a distance of up to 2 cm. All object positions were balanced between groups, and objects and NOR fields were washed with 0.1% acetic acid between trials to balance olfactory cues. The amount of time spent examining new or familiar objects was videotaped for 10 min and scored by a blind observer. NOR is based on the premise that rodents have an innate preference for new objects, so mice that remember familiar objects spend more time exploring new objects. Discrimination index in the T2 was estimated as follows: Discrimination Index (%) = [Time exploring novel object – Time exploring familiar object)/(Time exploring novel object + Time exploring familiar object)]  $\times$  100. LPS (250 µg/kg) was injected intraperitoneally (i.p.) immediately after the end of the T1 phase. GKT136901 was dissolved in a mixture of DMSO/water in a ratio of 1/99. GKT136901 5 (10 mg/kg i.p.) was administered immediately after the end of the T0 and T1 phases.

# 2.5. Quantitative Real-Time PCR

Total RNA was extracted from mixed glial cultures and hippocampi using the TRIzol method (10296-028, Invitrogen, Carlsbad, CA, USA). cDNA was synthesized using the iS-cript cDNA synthesis kit (1708891, Biorad, Hercules, CA, USA) according to the manufacturer's instructions. Quantitative polymerase chain reaction (qPCR) was performed using the 7900HT Fast Real-Time PCR System (Applied Biosystems, Waltham, MA, USA) in 384-well format with Power SYBR Green PCR Master Mix (Thermo Fisher, Waltham, MA, USA). Data were normalized to the expression of the housekeeping gene B2M (NM\_009735). Specific primers were designed using the NCBI nucleotide data base and Primer 3 software (Version 4.1.0) (http://biotools.umassmed.edu/bioapps/ primer3\_www.cgi (accessed on 3 February 2023). Primer sequences were checked with BLAST (http://blast.ncbi.nlm.nih.gov/Blast.cgi (accessed on 3 February 2023)) and purchased from Sigma or IDT. The comparative CT method (or the  $2^{\Delta CT}$  method) [18,19] was used to determine differences in gene expression between B2M and control samples. The target genes and the specific primers were the following: Nlrp3 (NM\_145827) (forward, 5'-TTCAATCTGTTGTTCAGCTC-3'; reverse, 5'-GTCTAATTCCAGCCATCTGTAG-3'), NOX4 (NM\_001285835) (forward, 5'-GGGACATTAAACGATTAAACAAGAATCC-3'); reverse, 5'-GGAAGTATTGGCTTCTTATTGG-3'), Il1b (NM\_008361) (forward, 5'-GAAGAGCCCATCCTCTGTGA-3'; reverse, 5'-TTCATCTCGGAGCCTGTAG-3').

#### 2.6. Immunoblotting and Image Analysis

After the different treatments, mixed glial cultures and mouse hippocampi were lysed in ice-cold lysis buffer (1% Nonidet P-40, 10% glycerol, 137 mM NaCl, 20 mM Tris–HCl, pH 7.5, 1 g/mL leupeptin, 1 mM PMSF, 20 mM NaF, 1 mM sodium pyrophosphate, and 1 mM Na<sub>3</sub>VO<sub>4</sub>). Proteins (30  $\mu$ g) from cell lysates were separated via SDS-PAGE and transferred to Immobilon-P membranes (Millipore Corp., Billerica, MA, USA). Membranes were incubated with anti-NLRP3 (1:1000; AdipoGen, San Diego, CA, USA), anti-NOX4 (1:1000; R&D Systems, Minneapolis, MN, USA), anti-NQO1 (1:1000; Cell Signaling Technology, Danvers, MA, USA) or anti-βactin (1:50,000; Sigma-Aldrich, Madrid, Spain). Appropriate peroxidase-conjugated secondary antibodies (1:5000; Santa Cruz Biotechnology, Dallas, TX, USA) were used for protein detection via enhanced chemiluminescence on the ImageQuant LAS 4000 min. Different band intensities corresponding to immunoblot detection of protein samples were quantified using the Scion Image program. Immunoblots corresponded to a representative experiment that was repeated three or four times with similar results.

#### 2.7. Immunocytochemistry

For the immunofluorescence experiments, primary glial cells were always seeded in 24-well plates, and each well required a coverslip ( $\emptyset$  13 mm; VWR, Leicestershire, UK), in which the cells grew. After the different treatments were applied, it was necessary to wash three times with PBS 1X and then fix the cells with 4% paraformaldehyde in PBS 1X. The

next step was to permeabilize the cells with PBS 0.3% Triton for 10 min at room temperature, then apply a blocking solution containing PBS 1X plus 10% bovine serum albumin (BSA), for 1 h. Primary antibody was diluted in PBS 1X with 1% BSA and incubated overnight at 4 °C: NRF2 (1:100; ref). The next day, we applied the secondary antibody donkey antirabbit Alexa Fluor 488 (1:800, ThermoFisher Cat# A21206) for 1 h at room temperature. After incubation in the secondary antibody, the sections were washed four times for 15 min each in PBS, mounted with a small drop of VECTASHIELD mounting medium containing DAPI (Vector Laboratories Inc., Newark, CA, USA, Cat. No. H-1000), and the slides were cover-slipped. All immunostained brain images were acquired with a Leica SP5 confocal microscope. Images were processed with the program ImageJ 1.52e.

#### 2.8. Statistical Analysis

For multiple comparisons, one- or two-way analysis of variance (ANOVA) was used, with the factors being genotype (wild type (WT) or NOX4 or Nrf2 knockout (KO)) and treatment (vehicle or GKT136901 treatment). Subsequent post hoc comparisons (Bonferroni's test) were performed with a level of significance set at p < 0.05. Data are presented as mean  $\pm$  standard error of the mean (SEM). Symbols in the graphs denote post hoc tests. Statistical analyses were carried out with the SPSS 22.0 software package (SPSS, Inc., Chicago, IL, USA).

#### 3. Results

#### 3.1. Genetic and Pharmacological Deficiency of NOX4 Reduces NLRP3 Inflammasome Components and IL-1β Release in Primary Mixed Glial Cultures

In order to investigate the relationship between NLRP3 inflammasome and NOX4, we used a well-characterized model of NLRP3 inflammasome activation (LPS 4 h + ATP 30 min) in WT and in NOX4 deficient mice, and we also used the pharmacological inhibitor of NOX4 (GKT136901). We measured IL-1 $\beta$  release in mixed glial cultures using the protocol shown in Figure 1A. We used LPS for 4 h to increase the expression of NLRP3 inflammasome components, a well described process called "priming". In the last 30 min, we added ATP to allow NLRP3 oligomerization and activation, and, finally, the release of IL-1 $\beta$  to the culture medium. Stimulation of WT cultures with LPS (1  $\mu$ g/mL, 4 h) plus ATP (5 mM, 30 min) produced a significant release of IL-1 $\beta$  (Figure 1A). However, in NOX4 KO cultures we observed a significant reduction of IL-1 $\beta$  release compared to WT (60% reduction). Moreover, in wild-type cultures treated with the same stimuli, we observed the same effect using GKT136901 (1  $\mu$ M), a selective pharmacological inhibitor of NOX4 (Figure 1A). To better explore the mechanism of NLRP3 inflammasome inhibition, we measured NLRP3 inflammasome components (Nlrp3 and Il1b) with RT-PCR using the same protocol. As illustrated in Figure 1B, LPS produced the increase in Nlrp3 and Il1b mRNA both in WT and NOX4 KO mice, and GKT136901 significantly reduced Nlrp3 and Il1b mRNA levels only in WT mice.

Next, we checked the levels of NLRP3 using WB to confirm the data obtained by RT-PCR. Figure 2 shows the Western blot of NLRP3 in WT and NOX4 KO mice in the different conditions. Incubation of cells with LPS for 2 and 4 h significantly increased the production of NLRP3. Co-treatment with GKT136901 partially reduced NLRP3 protein levels in WT mice but not in NOX4 KO mice, confirming the results obtained in mRNA. Together, these data indicate that NOX4 inhibition is important for NLRP3 inflammasome activation in mixed glia cultures.



**Figure 1.** Nox4 activity is required for NLRP3 inflammasome activation. (**A**) Mixed glial cultures of WT and NOX4 KO mice were stimulated with LPS (1  $\mu$ g/mL) for 4 h plus ATP (5 mM) during the last 30 min, in the absence or presence of GKT136901 (1  $\mu$ M) following the protocol at the top of the figure. Inflammasome activation was analyzed via ELISA measurement of IL-1 $\beta$  in the supernatant. Mean  $\pm$  SEM (n = 6). Two-way ANOVA followed by Bonferroni's tests \*\*\* p < 0.001 vs. WT LPS. (**B**) At the end of the experiment, cells were harvested and analyzed for the expression of NLRP3 inflammasome components Nlrp3 and Il1b via RT-PCR. Mean  $\pm$  SEM (n = 5). One-way ANOVA with Tukey's multiple comparisons test. \*\*\* p < 0.001 and \*\* p < 0.01 vs. control; ## p < 0.01 and #p < 0.05 vs. LPS-treated cells.



**Figure 2.** NLRP3 levels in mixed glial cultures of WT and Nox4 KO mice after LPS treatment. Mixed glial cultures of WT and NOX4 KO mice were stimulated with LPS (1 µg/mL) for 2 and 4 h, in the absence or presence of GKT136901 (1 µM) following the protocol at the top of Figure 1. Changes in NLRP3 protein amounts were determined via Western blot. A representative Western blot image of NLRP3 protein and actin is shown (top). Mean  $\pm$  SEM (n = 5). One-way ANOVA with Tukey's multiple comparisons test. \*\*\* p < 0.001 and \*\* p < 0.01 vs. control; # p < 0.05 vs. 4 h LPS-treated cells.

# 3.2. NOX4 Activity Resulted in Nrf2 Translocation to the Nucleus That Is Necessary for NLRP3 Inflammasome Activation

As stated in the Introduction, NOX are one of the main sources of ROS and, importantly, the only known enzyme family that has ROS formation as its sole known function [20]. Of these, NOX4 appears to be the most promising target for various diseases like neurodegenerative diseases or brain ischemia [21]. On the other hand, Nrf2 is known as a master regulator of antioxidant and anti-inflammatory responses, and in the presence of oxidative stress, Nrf2 translocates into the nucleus to induce phase II antioxidant response, a set of key proteins that detoxify xenobiotics. Hence, we wanted to explore whether NOX4-derived ROS produced by LPS treatment can induce the translocation of Nrf2 to the nucleus. To confirm this hypothesis, we evaluated the nuclear translocation of Nrf2 induced by 4 h treatment with LPS. Mixed glial cultures from WT and NOX4 KO mice were treated with LPS for 4 h, then were fixed and double-stained with anti-Nrf2 and DAPI. As shown in Figure 3A,B, in control conditions, Nrf2 was predominantly present in the cytosol; however, in the presence of LPS 4 h Nrf2 was predominantly located in the nucleus in WT cultures (Figure 3A) but not in NOX4 KO animals (Figure 3B). We used tBHQ as positive control of Nrf2 translocation. tBHQ treatment resulted in the translocation of Nrf2 to the nucleus both in WT and in NOX4 KO cultures. To corroborate the induction of phase II enzymes by LPS treatment, we analyzed the protein levels of NAD(P)H quinone oxidoreductase 1 (NQO1) (Figure 3C), one of the phase II enzymes induced by Nrf2. LPS treatment significantly increased NQO protein levels by 2-fold and co-incubation with GKT136901 partially reduced the increase in NQO1. Together these results suggest that Nrf2 participates in NOX4-derived ROS activation of NLRP3 inflammasome.



**Figure 3.** LPS treatment for 4 h induces Nrf2 translocation to the nucleus and NQO1 protein levels. High magnification confocal images of mixed glial cultures from WT (**A**) and NOX4 KO (**B**) immunostained with Nrf2 (green) and counterstained with DAPI (blue) to illustrate nuclei. Mixed glial cultures were untreated (Control), treated with LPS (1 µg/mL) for 4 h or treated with tBHQ (10 µM) for 24 h. (**C**) NQO1 protein levels in WT and NOX4 KO mice. Animals were treated with LPS (1 µg/mL) for 2 and 4 h, in the absence or presence of GKT136901 (10 µM). A representative Western blot image of NQO1 is shown at the top. Mean  $\pm$  SEM (n = 5). Oneway ANOVA with Tukey's multiple comparisons test. \*\*\* p < 0.001 vs. control; # p < 0.05 vs. LPS-treated animals.

# 3.3. Nrf2 Is Required for NLRP3 Inflammasome Activation

Next, we used the protocol shown on top of Figure 4 to determine the participation of Nrf2 in NLRP3 inflammasome activation. Stimulation of WT cultures with LPS (1 µg/mL, 4 h) plus ATP (5 mM, 30 min) produced a significant release of IL-1 $\beta$  (Figure 4A). However, in Nrf2 KO cultures, we did not observe any IL-1 $\beta$  release. Furthermore, we observed the same blocking effect on IL-1 $\beta$  release using a 24 h pre-treatment with tBHQ (10 µM) after LPS plus ATP (Figure 4A). To explore further in the mechanism of NLRP3 inflammasome, we measured NLRP3 inflammasome components (NIrp3 and II1b) by RT-PCR using the same protocol. As illustrated in Figure 4B, LPS resulted in an increase in NIrp3 and II1b mRNA both in WT and NOX4 KO mice, and 24 h pre-treatment with tBHQ significantly reduced NIrp3 and II1b mRNA levels in both WT and NOX4 KO mice. Together, these data indicate that Nrf2 is necessary for NLRP3 inflammasome activation in mixed glia cultures.



**Figure 4.** Nrf2 activity is required for NLRP3 inflammasome activation. (A) Mixed glial cultures of WT and Nrf2 KO mice were treated with tBHQ (10  $\mu$ M) for 24 h followed by stimulation LPS (1  $\mu$ g/mL) for 4 h plus ATP (5 mM) during the last 30 min, following the protocol at the top of the figure. ELISA measurements were performed for quantification of IL-1 $\beta$  secretion. Mean  $\pm$  SEM (n = 5). Two-way ANOVA followed by Bonferroni's tests \*\*\* p < 0.001 vs. WT LPS. (B) Mixed glial cultures of WT and NOX4 KO mice were treated with tBHQ (10  $\mu$ M) for 24 h followed by the stimulation with LPS (1  $\mu$ g/mL) for 4 h. At the end of the experiment, cells were harvested and analyzed for the expression of NLRP3 inflammasome components Nlrp3 and Il1b by RT-PCR. Mean  $\pm$  SEM (n = 5). One-way ANOVA with Tukey's multiple comparisons test. \*\*\* p < 0.001 and \*\* p < 0.01 vs. control; ### p < 0.001 and ## p < 0.01 vs. LPS-treated cells.

Α

В

# 3.4. NOX4 Genetic Deletion and Inhibition with GKT136901 Results in Memory Impairment Induced by LPS Administration In Vivo

Neurodegenerative diseases, such as Alzheimer's and Parkinson's disease, are characterized by several pathological features, including aggregation of specific proteins, selective neuronal loss, and chronic inflammation. To evaluate how NOX4 could be controlling neuroinflammation in vivo, we used the LPS-model that drives a transient sickness behavior response characterized by weight loss and memory loss without affecting locomotor activity [22]. We evaluated the memory loss induced by LPS ( $250 \mu g/kg$ ) using WT and NOX4 KO mice and pharmacological inhibition of NOX4 with GKT136901 using the novel object recognition test (NOR) in mice, following the protocol shown in Figure 5A. In these conditions, administration of LPS significantly impaired NOR performance in WT animals (Figure 5B). Memory impairment produced by LPS injection was partially reversed with pharmacological treatment using the inhibitor of NOX4 GKT136901. Furthermore, in NOX4 KO animals, injection of LPS did not produce any memory impairment compared with NOX4 KO vehicle mice (Figure 5B). Taken together, the absence of NOX4 and its pharmacological inhibition improves memory impairment induced by LPS challenge in mice.



**Figure 5.** Genetic ablation and pharmacological inhibition of Nox4 improves LPS-induced memory impairment. (**A**) Illustration of the protocol used to evaluate novel object recognition (NOR) test. WT and Nox4 KO mice were treated with LPS (250  $\mu$ g/kg, i.p.) alone or in combination with GKT (10 mg/kg, i.p.) and after 24 h were subjected to the test. (**B**) Discrimination index in WT and Nox4 KO mice in saline-treated, LPS-treated and GKT-treated groups. Mean  $\pm$  SEM (n = 7). Two-way ANOVA followed by Bonferroni's tests \*\*\* p < 0.001 vs. WT vehicle and # p < 0.05 vs. WT LPS.

# 3.5. NOX4 Genetic and Pharmacological Inhibition Modulate Inflammasome Component Expression In Vivo

The LPS model of transient inflammation is characterized by an acute inflammatory response during the first few hours and a high increase in proinflammatory cytokine expression, which remains elevated for up to 24 h. Therefore, following the experimental protocol of Figure 5, we evaluated NLRP3 inflammasome components (NIrp3 and Il-1b) with RT-PCR in mouse hippocampus at 24 h post-LPS administration. At 24 h post-LPS

injection, there was a significant increase in Nlrp3 and Il-1b mRNA levels in WT animals (Figure 6A,B). GKT136901 co-administration with LPS significantly reduced the expression of both Nrlp3 and Il-1b genes. Additionally, there was a significant increase in the mRNA levels of NOX4 in LPS-treated mice compared to vehicle in WT mice but not in NOX4 KO mice. Co-administration of GKT136901 reversed NOX4 mRNA increase near to vehicle levels (Figure 6C).



**Figure 6.** NOX4 activity is necessary for NLRP3 inflammasome components and Nox4 expression in hippocampus of LPS-treated mice. Expression of genes of the inflammasome NLRP3 complex and NOX4 in the hippocampus of WT and Nox4 KO mice after 24 h LPS and/or GKT treatment. mRNA levels of inflammasome components Nlrp3 (**A**), ll1b (**B**), and Nox4 (**C**) in the hippocampus of WT (n = 5) and Nox4 KO (n = 5) mice treated with LPS (250 µg/kg) and/or GKT (10 mg/kg) for 24 h. Mean  $\pm$  SEM (n = 5). One-way ANOVA with Tukey's multiple comparisons test. \* p < 0.05 vs. vehicle; ## p < 0.01 and #p < 0.05 vs. LPS-treated animals.

Finally, we wanted to confirm these results via Western blot in the hippocampus of animals 24 h post-LPS administration. At the protein level, we observed that there was an increase in NLRP3 and NOX4 amounts in LPS-treated WT animals (Figure 7). These increases were not observed in LPS-treated NOX4 KO animals or in WT animals treated with GKT136901, corroborating the results observed in mRNA. These results confirm that NOX4 is a key protein for NLRP3 inflammasome component expression and activation, and that its pharmacological inhibition can be a good pharmacological treatment to reduce inflammatory levels and improve memory impairment.



**Figure 7.** NOX4 and NLRP3 protein levels in the hippocampus of WT and NOX4 KO mice treated with LPS for 24 h. Changes in Nox4 (**left**) and NLRP3 (**right**) levels in WT and NOX4 KO mice. Animals were treated with LPS (250  $\mu$ g/kg) for 24 h, in the absence or presence of GKT136901 (10 mg/kg). Representative Western blot image of Nox4, NLRP3, and actin is shown on top. Mean  $\pm$  SEM (*n* = 5). One-way ANOVA with Tukey's multiple comparisons test. \*\*\* *p* < 0.001 and \*\* *p* < 0.01 vs. control; ## *p* < 0.01 vs. LPS-treated animals.

#### 4. Discussion

We here validate NOX4 as a key player in NLRP3 inflammasome activation, suggesting NOX4 pharmacological inhibition as a potent therapeutic approach in neurodegenerative diseases. In this research, we contribute to the understanding of the role of NOX4 and Nrf2 in the activation of the NLRP3 inflammasome. Our data show that both NOX4 and Nrf2 participate in NLRP3 inflammasome activation, since (i) we showed a reduction in IL-1 $\beta$  release in response to LPS plus ATP in NOX4 and Nrf2 KO animals; (ii) pharmacological inhibition of NOX4 using GKT136901 and Nrf2 activation using tBHQ also reduced IL-1<sup>β</sup> release; (iii) we measured NOX4 and NLRP3 expression in WT mixed glial cultures following LPS treatment, observing that both increased after TLR4 activation, while 24 h treatment with tert-butylhydroquinone, a potent Nrf2 inducer, significantly reduced NLRP3 expression. In vivo LPS administration resulted in significant cognitive impairment compared to the control group. However, mice treated with GKT136901 after LPS impairment showed a significantly improved discrimination index in the NOR test and recovery of the expression of inflammatory genes to normal levels. Nevertheless, we should consider that our study has some limitations. In this study, to directly assess NOX4 function in vivo without altering its expression, we selected NOX4 KO mice generated in 2010 by deleting the NADPH binding pockets located in exons 14 and 15. In in vitro experiments, other NOX isoforms are present that may be involved in LPS-dependent ROS generation. Therefore, it would be of interest in future experiments to use selective inhibitors of the remaining isoforms to determine their involvement.

Aging is characterized by a gradual, cumulative deterioration in physiological functions over time which results in increased susceptibility to diseases, in particular neurodegenerative diseases [23]. Microglial cells are key mediators of age-related neuroinflammation, and it has been demonstrated that an increase in microglial activity can be an early event that leads to oxidative damage and cell degeneration [24]. Reactive microgliosis resulted in an increase in inflammatory cytokines [25] and increased microglial NADPH-derived ROS accumulation, which are considered to be key events in the central nervous system pathogenesis [26]. Here, we have shown that NOX4 activity is necessary for a proper inflammatory response since genetic ablation and pharmacological inhibition of NOX4 reduced NLRP3 inflammasome activation by 60% (Figure 1). Moreover, NOX4 activation is important for the expression of NLRP3 inflammasome components induced by TLR4 activation (Figures 1 and 2). It has been demonstrated by different authors that there is a link between NOX4 activity and NLRP3 inflammasome activation. In Kupffer cells, NOX4-derived ROS promoted NLRP3 activation and significantly increased the expression of inflammasome components both in vitro and in vivo, aggravating liver inflammatory injury [27]. This mechanism has also been observed in various models of inflammation, such as acute pancreatitis [28], in high-glucose-induced endothelial dysfunction [29], in osteoarthritis [30], and in myocardial ischemia-reperfusion injury [31]. We also observed an in vivo anti-inflammatory effect in our model of LPS-induced memory impairment (Figure 5). In fact, genetic ablation, or pharmacological inhibition of NOX4, improved animal behavior and restored normal levels of NLRP3 inflammasome components and NOX4 (Figures 6 and 7), confirming the results obtained in vitro.

Nrf2 plays an important role in regulating oxidative stress, inflammation, mitochondrial function, and in autophagy [28]. Under normal conditions, Keap1 binds to Nrf2 in the cytoplasm and promotes its ubiquitination and proteasomal degradation [31,32]. Intracellular ROS or electrophiles alter the conformation of the Nrf2/Keap1 complex, thereby inhibiting Nrf2 ubiquitination. Thus, Nrf2 translocates to the cell nucleus, binds to the regulatory enhancer sequence ARE, and promotes the expression of antioxidant and antiinflammatory genes [30]. On the other hand, NLRP3 also detects cellular stressors, like pathogen- and danger-associated molecular patterns (PAMPs and DAMPs) that induce inflammasome activation. The main link between Nrf2 and NLRP3 are intracellular ROS, that could come from both mitochondrial ROS and NOX activation, and can activate both proteins; however, its actions are opposite. In fact, it has been demonstrated that Nrf2 activation before NLRP3 inflammasome activation reduces inflammation in various in vitro and in vivo models of central and peripheral inflammation [33-36]. Consistent with all these studies, we have shown that 24 h treatment with tBHQ prior to NLRP3 inflammasome activation reduces NLRP3 inflammasome components both in WT and NOX4 KO mice (Figure 4). However, it is unexpected that both Nrf2 activation and Nrf2 ablation have the same consequence on the NLRP3 inflammasome activation (Figure 4). It has been recently proposed that a physical interaction of the Nrf2 complex with caspase-1 contributes to the requirement of Nrf2 expression for inflammasome activation [37,38]. Here, we showed that Nrf2 translocates to the nucleus upon LPS exposure to cells and that NOX4 activity is critical for this translocation, as Nrf2 does not translocate to the nucleus in NOX4 KO animals (Figure 3). These experiments point to NOX4 as the crucial protein for both Nrf2 translocation to the nucleus and for NLRP3 activation. Probably, other sources of ROS, like mitochondrial ROS, could be participating in this process, since NLRP3 inflammasome activation is only partially blocked in NOX4 KO mice. Hence, it is possible that the early production of NOX4-derived ROS could be a crucial step for Nrf2-NLRP3 rupture of physical interaction, but this hypothesis needs to be further investigated.

#### 5. Conclusions

We present evidence for a complex crosstalk between NOX4, Nrf2, and NLRP3 inflammasome pathways. We contribute to the understanding of the role of NOX4 and Nrf2 in the activation of the NLRP3 inflammasome. We demonstrate that NOX4 is a key player in NLRP3 inflammasome activation suggesting NOX4 pharmacological inhibition as a potent therapeutic approach in neurodegenerative diseases.

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# c-Abl Phosphorylates MFN2 to Regulate Mitochondrial Morphology in Cells under Endoplasmic Reticulum and Oxidative Stress, Impacting Cell Survival and Neurodegeneration

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Abstract: The endoplasmic reticulum is a subcellular organelle key in the control of synthesis, folding, and sorting of proteins. Under endoplasmic reticulum stress, an adaptative unfolded protein response is activated; however, if this activation is prolonged, cells can undergo cell death, in part due to oxidative stress and mitochondrial fragmentation. Here, we report that endoplasmic reticulum stress activates c-Abl tyrosine kinase, inducing its translocation to mitochondria. We found that endoplasmic reticulum stress-activated c-Abl interacts with and phosphorylates the mitochondrial fusion protein MFN2, resulting in mitochondrial fragmentation and apoptosis. Moreover, the pharmacological or genetic inhibition of c-Abl prevents MFN2 phosphorylation, mitochondrial fragmentation, and apoptosis in cells under endoplasmic reticulum stress. Finally, in the amyotrophic lateral sclerosis mouse model, where endoplasmic reticulum and oxidative stress has been linked to neuronal cell death, we demonstrated that the administration of c-Abl inhibitor neurotinib delays the onset of symptoms. Our results uncovered a function of c-Abl in the crosstalk between endoplasmic reticulum stress and mitochondrial dynamics via MFN2 phosphorylation.

Keywords: c-Abl; mitofusin 2; apoptosis; mitochondrial fusion; amyotrophic lateral sclerosis; endoplasmic reticulum stress

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#### 1. Introduction

The endoplasmic reticulum (ER) is a membranous organelle key in the control of synthesis, folding, and sorting of proteins. The folding capacity of the ER is constantly challenged by physiological demands and disease states. To adjust proteostasis, cells engage a dynamic intracellular signaling pathway known as the unfolded protein response (UPR), enforcing adaptive programs that improve central aspects of the entire secretory pathway, whereas uncompensated ER stress results in oxidative stress and apoptosis [1]. The execution of cell death by ER-damaging insults largely depends on the intrinsic mitochondrial apoptosis pathway. Thus, a crosstalk between the ER and mitochondria is essential to determine cell fate under ER stress [2]. Interestingly, early studies suggested that c-Abl kinase operates as a signaling interphase between the ER stress and oxidative stress and mitochondria, mediated by its translocation to the mitochondria and the engagement of apoptosis programs [3]. However, the mechanisms and molecular targets of c-Abl at the mitochondria are not completely explored.

c-Abl is a non-receptor tyrosine kinase with functions in neurulation, cytoskeleton dynamics, synapsis, and apoptosis in the central nervous system [4–14]. Emerging evidence suggests that the localization of c-Abl to the cytosol has a significant impact on cytoskeleton dynamics, in addition to influencing cells under stress. For example, c-Abl is activated by oxidative stress impacting the activation of the canonical mitochondrial apoptosis pathway [15–18]. Also, c-Abl activation under cellular stress leads to the activation of the pro-apoptotic transcription factors p73 [19] and MST1 [20], and thus promoting the expression of proapoptotic genes. Several studies using the pharmacological targeting of c-Abl indicate that its activation has a pathogenic role in different human diseases linked to abnormal protein aggregation and oxidative stress. c-Abl exhibits a proapoptotic function in neurons exposed to amyloid  $\beta$  [21,22], and it is involved in neurodegeneration in Parkinson's disease [23–31] and Alzheimer's disease (AD) [32–36], in addition to lysosomal storage disorders [37,38] and amyotrophic lateral sclerosis (ALS) [39–41]. However, how c-Abl regulates mitochondrial dynamics and cell fate in cells under ER stress is still unknown.

Here, we report that c-Abl regulates mitochondrial morphology in response to ER stress. In cells under ER stress, c-Abl translocates to the mitochondria and triggers mitochondrial fragmentation. Interestingly, when c-Abl is pharmacologically or genetically inhibited in cells under ER stress, mitochondrial fragmentation and apoptosis are rescued. Then, we demonstrate that c-Abl phosphorylates mitofusin 2 (MFN2), an essential regulator of the mitochondria fusion machinery, specifically at Y269, which alters the MFN2 GTP binding affinity, influencing mitochondrial dynamics and cell survival under ER stress. Interestingly, the regulation of mitochondrial morphology by c-Abl-MFN2 has therapeutical potential, as demonstrated by its pharmacological inhibition using cell culture models for ALS. Furthermore, the treatment with neurotinib, a novel allosteric c-Abl inhibitor with high brain penetrance in ALS transgenic mice mutant for superoxide 1 (SOD1), results in a delayed disease onset. Overall, our results uncover that c-Abl modulates mitochondrial dynamics and apoptosis in cells under ER stress via MFN2 phosphorylation.

#### 2. Materials and Methods

#### 2.1. Primary Culture of Rat Hippocampal Neurons

Rat hippocampal cultures were prepared as described previously with some modifications [22,42]. Hippocampi from Sprague Dawley rats on embryonic day 18 were removed, dissected free of meninges in Ca<sup>2+</sup>/Mg<sup>2+</sup>-free HBSS, and rinsed twice with HBSS by allowing the tissue to settle to the bottom of the tube. After the second wash, the tissue was resuspended in HBSS containing 0.25% trypsin, and incubated for 15 min at 37 °C. After three rinses with HBSS, the tissue was mechanically dissociated in plating medium (DMEM; Invitrogen, Waltham, MA, USA), supplemented with 10% horse serum (Invitrogen), 100 U/mL penicillin, and 100 µg/mL streptomycin by gentle passage through Pasteur pipettes. The dissociated hippocampal cells were seeded onto poly-L-lysine-coated six-well culture plates at a density of  $7 \times 10^5$  cells per well in plating medium. The cultures were maintained at 37 °C in 5% CO<sub>2</sub> for 2 h before the plating medium was replaced with Neurobasal growth medium (Invitrogen) supplemented with B27 (Invitrogen), 2 mM L-glutamine, 100 U/mL penicillin, and 100 µg/mL streptomycin. On day 2, the cultured neurons were treated with AraC 2 µM for 24 h; this method resulted in cultures highly enriched in neurons (~5% glia). For hippocampal cultures from *ABL1* conditional knockout mice (*ABL1-cKO*), homozygous c-Abl-floxed mice were kindly donated by Dr. AJ Koleske (Yale School of Medicine, USA) and bred in our animal facility. *ABL1-cKO* mice were bred from *ABL1<sup>loxP</sup>/ABL1<sup>loxP</sup>* and Nestin-Cre<sup>+</sup>, obtained from Jackson Labs. These mice have loxP sites upstream and downstream of exon 5 of the *Abl1* gene. This strain was originated and maintained on a mixed B6.129S4, C57BL/6 background, and did not display any gross physical or behavioral abnormalities. Genotyping was performed using a PCR-based screening to evaluate c-Abl ablation [11]. Male and female mice were housed on a 12/12 h light/dark cycle at 24 °C with ad libitum access to food and water.

#### 2.2. Immunofluorescence

The hippocampal neurons or MEF cells were seeded onto poly-L-lysine-coated coverslips in 24-well culture plates at a density of  $2.5 \times 10^4$  cells per well. The cells were rinsed twice in ice-cold PBS, fixed with a freshly prepared 4% paraformaldehyde/4% sucrose in PBS for 20 min, and permeabilized for 5 min with 0.2% Triton X-100 in PBS. After several rinses in ice-cold PBS, the cells were incubated in 3% BSA in PBS (blocking solution) for 60 min at room temperature, followed by an overnight incubation at 4 °C with primary antibodies. The cells were extensively washed with PBS and then incubated with Alexa-conjugated secondary antibodies (Invitrogen) for 60 min at room temperature. The cells were mounted in mounting medium and analyzed using confocal microscopy. The primary antibodies used were as follows: mouse anti-c-Abl, mouse anti-GAPDH, rabbit anti-actin, rabbit anti- $\beta$ III tubulin, and rabbit anti-TOM20 (SCBT, Dallas, TX, USA); rabbit anti-phospho-c-Abl Y412 (Sigma-Adrich, St. Louis, MO, USA); and cytochrome c (BD Biosciences, Franklin Lakes, NJ, USA). The mitochondrial marker Mitotracker Deep Red was obtained from Invitrogen.

#### 2.3. Immunoblot Analysis

The treated cells were washed with ice-cold PBS and immediately lysed with radioimmunoprecipitation assay (RIPA) buffer (containing 50 mM Tris, 150 mM NaCl, 1 mM EGTA, 1 mM EDTA, 0.5% deoxycholate, 1% NP-40, and 0.1% SDS) supplemented with protease inhibitors (1 mM PMSF, 1  $\mu$ g/mL aprotinin, 10  $\mu$ g/mL leupeptin, 1 mM Na<sub>3</sub>VO<sub>4</sub>, and 50 mM NaF). The homogenates were maintained on ice for 30 min and then were centrifuged at 10,000 × *g* for 5 min. The supernatant was recovered, and the protein concentration was determined using the BCA protein assay kit (Pierce, Appleton, WI, USA). The proteins were resolved in SDS-PAGE, transferred to a PVDF membrane, and reacted with primary antibodies. The reactions were followed by incubation with secondary peroxidase-labeled antibodies (Pierce) and developed using the ECL technique (Thermo Scientific, Waltham, MA, USA). The primary antibodies were the same as those used for immunofluorescence, with the addition of rabbit anti-p-CRKIII Y221, anti-caspase3, anti-cleaved-caspase 3, and anti-MFN2 (Cell Signaling Technology, Danvers, MA, USA), and rabbit anti-FLAG (Sigma Aldrich, St. Louis, MO, USA).

#### 2.4. Coimmunoprecipitation Assay

Protein extract was obtained from MEF cells lysed in non-denaturing lysis buffer (20 mM Tris, 137 mM NaCl, 1 mM EDTA, and 1% NP-40) containing a mixture of protease and phosphatase inhibitors. Immunoprecipitations were performed using anti-c-Abl (SCBT), and MFN2 (Cell Signaling Technology) and agarose beads anti-FLAG (Sigma Aldrich). Complexes were isolated using protein G Sepharose. Tissue and cell lysates were separated by SDS-PAGE, transferred to Nitrocellulose membranes (Fisher Thermo Scientific), and immunoblotted with phosphotyrosine (pTyr) antibody (Millipore Bioscience Research Reagents, Burlington, MA, USA), anti-c-Abl, anti-FLAG, and anti-MFN2 antibody.

#### 2.5. In Vitro Phosphorylation Assay

Kinase assay mixtures contained 25 mM HEPES, pH 7.25, 100 mM NaCl, 5 mM MgCl<sub>2</sub>, 5% glycerol, 100 ng of bovine serum albumin/ $\mu$ L, 1 mM sodium orthovanadate, and 10 nM c-Abl kinase. c-Abl was purified to >90% purity, as previously described [43]. After a 5 min preincubation at 30 °C, 25  $\mu$ L reactions were initiated by the addition of immunoprecipitated agarose bead-FLAG-MFN2 or GST–CrkII, 5  $\mu$ M ATP, and 0.25  $\mu$ Ci of [ $\gamma$ -<sup>32</sup>P]ATP. c-Abl incubated with 0.5  $\mu$ Ci of [ $\gamma$ -<sup>32</sup>P]ATP without a substrate was used for normalization. All reaction mixtures were incubated at 30 °C for different periods of time, and the reactions were terminated by the addition of ice-cold SDS sample buffer and were resolved by SDS-PAGE. The gels were dried and exposed for autoradiography, and quantified using a Molecular Dynamics PhosphorImaging system and the ImageQuant LAS 500 equipment.

#### 2.6. Molecular Biology

pLZRS-FLAG-MFN2 was generated by PCR and restriction digest using 5' EcoRI and 3' XhoI. The pMSCV-mCherry vector has been described previously [44]. Outer mitochondrial membrane-targeted Cerulean (Cerulean-OMM) was generated by inserting the C-terminal domain of human BCL-xL (a.a. 208-233) downstream of Cerulean by PCR amplification with 5' EcoRI and 3' SalI restriction sites and cloning into pMX vector. The MFN2 mutant was generated by PCR using the proofreading Pfu polymerase (Promega, Madison, WI, USA), followed by DpnI digestion of the methylated parental plasmid. The oligonucleotides used were as follows: MFN2-Y269F-REV: 5'- GCACCTCCTCCATGAACTCAGGCTCCGA -3'; MFN2-Y269F-FOR: 5'- CTCGGAGCCTGAGTTCATGGAGGAGGTG -3' (gene ID: 170731). pcDNA3-c-Abl-full-length, pcDNA3-c-Abl-kinase-dead-ful-length, and pcDNA3-c-Abl-ΔC (lacking the C-terminal domain a.a 1-634) were cloned into pMSCV-IRES-mCherry by PCR and restriction digest using 5' Eco/kozak and 3' SalI/STOP/ERT2-3'. All constructs were verified by DNA sequencing.

#### 2.7. Cell Lines

MEF cells were obtained from ATCC. All stable MEF cell lines were generated by retroviral transduction. Briefly, Phoenix amphotropic virus producer cells were transfected with the appropriate plasmid using Lipofectamine 2000 (Thermo Fisher, Waltham, MA, USA) for 48 h. The target cells were infected with virus containing culture medium from the packaging cells supplemented with 5  $\mu$ g/mL polybrene. Stable transductants were selected following the addition of 200  $\mu$ g/mL Zeocin (Invitrogen, Waltham, MA, USA) (pLZRS vectors) or were sorted by flow cytometry for Venus-, mCherry-, or Cerulean-positive cells (pMX vectors).

#### 2.8. Microscopy and Cell Death Assay

To assess the mitochondrial morphology, the cells were stained with Mitotracker Deep Red or with anti-TOM20. Images were collected using confocal microscopy (Zeiss LSM510, Thornwood, NY, USA) and analyzed with the NIH ImageJ 1.53 software. Fragmentation was judged based on the mitochondrial distribution of a normal cell, and cells exhibiting over 80% mitochondrial fragmentation were counted as fragmented. For each condition, at least 100 cells were counted. The quantification was carried out by an individual blinded to the conditions. TUNEL staining was performed using an apoptosis detection kit (Roche Molecular Biochemicals, Indianapolis, IN, USA). Briefly, the cells were incubated in 0.1% Triton X-100 in PBS. Then, the sections were immersed in the TUNEL reaction mixture for 60 min at 37 °C and washed twice in PBS (pH 7.4). Then, the cells were labeled with phalloidin-TRITC (red).

The confocal images of neurons were obtained using a Carl Zeiss 633 (numerical aperture 1.4) objective with sequential acquisition settings at the maximal resolution of the confocal ( $1024 \times 1024$  pixels), or using a Carl Zeiss Axiovert 200M motorized inverted microscope equipped with a precision motorized XY stage (Carl Zeiss MicroImaging, Thornwood, NY, USA). The confocal microscope settings were kept the same for all scans when fluorescence intensity was compared. All measurements were performed using the NIH ImageJ software.

#### 2.9. Onset and Survival Analysis

Male WT or SOD1 G93A mice were fed ad libitum with a control diet, a diet containing nilotinib, or a diet containing neurotinib (a novel allosteric c-Abl inhibitor with high brain penetrance, patent number WO2019/173761 A1) [35,45]. The diet administration began from the time of weaning until euthanasia. The rodent diet was manufactured by Envigo/Teklad (Madison, WI, USA) with the incorporation of nilotinib at 200 ppm or neurotinib at 67 ppm into the NIH-31Open Formula Mouse/Rat Sterilizabile Diet (7017), followed by irradiation handling of the final product. All experimental protocols followed ethical guidelines. The onset was defined as the first day from birth when animals displayed weakness or coordination defects in their posterior limbs; i.e., when the animal was suspended by the tail, the hindlimb was collapsed, partially collapsed towards the lateral midline, trembled, or retracted. The endpoint was determined when animals were no longer able to feed themselves, or when the animal was suspended by the tail and there was rigid paralysis; or when the animal was allowed to walk and there was no forward motion; or when the animal was placed on its left and right side and it was not able to right itself within 10 s [46]. Euthanasia was performed with CO<sub>2</sub>, followed by perfusion with NaCl 0.9%. The lumbar spinal cord tissue was dissected, and half was frozen for Western blot analysis, while the other half was submerged in PFA 4% overnight for IHC analysis. On the next day, the spinal cord was transferred to 30% sucrose. For nerve tissue, sciatic nerves were dissected from each animal and fixed in 2.5% (v/v) glutaraldehyde in PBS for TEM analysis.

#### 2.10. Fluorescent Immunohistochemistry

The lumbar spinal cord tissues, harvested from both WT and SOD1 G93A mice fed with either the control diet, nilotinib-containing diet, or neurotinib-containing diet, were frozen in the Tissue-Tek<sup>®</sup> O.C.T. Compound (Sakura Finetek, Torrance, CA, USA) and subsequently sectioned using a cryostat at a thickness of 25  $\mu$ m. The sections were collected every 200  $\mu$ m in a solution of PBS with 0.02% azide.

To prepare the sections for analysis, the free-floating sections underwent permeabilization for 30 min using a 0.2% Triton X-100 solution in PBS, followed by blocking in a 3% BSA solution in PBS for 2 h at room temperature. Next, the sections were incubated overnight at 4 °C with a primary antibody against NeuN (Abcam, Cambridge, UK). On the following day, the sections were thoroughly rinsed with PBS, and then incubated for 2 h at room temperature and protected from light with the corresponding Alexa-conjugated secondary antibody (Invitrogen). This was followed by several washes with PBS. At least 6 sections were mounted on slides and allowed to dry overnight at room temperature, while being protected from light. On the following day, the slides were cover-slipped with glass slides using mounting medium.

Fluorescence images were captured using a Zeiss Axioscope 5 microscope. All images were consistently acquired using the same settings, and subsequently quantified using the NIH ImageJ software.

#### 2.11. TEM and Morphological Analysis

The nerve tissue was prepared and fixed in 2.5% (v/v) glutaraldehyde in PBS. Ultrathin sections were mounted in a 300 mesh Formvar/carbon copper grids (Tedpella INC, Redding, CA, USA) and contrasted with uranyl acetate. Images were captured at different magnifications using a Philips Tecnai 12 transmission electron microscopy (Eindhoven, The Netherlands) at 80 kV equipped with a SIS CDD Megaview G2 camera and the iTEM Olympus Soft Imaging Solutions software (Windows NT 6.1).

The morphological analysis of TEM images was performed with the NIH ImageJ software. The images of individual mitochondria were generated from TEM images of sciatic nerve axons, and each mitochondrion was categorized as normal or swollen based on a disrupted external mitochondrial membrane with fragmented or swollen cristae/matrix, as described before [47].

#### 2.12. Statistical Analysis

The mean and SEM values and the number of experiments are indicated in each figure. Statistical analysis was performed using one-way ANOVA, followed by Student's *t* test using GraphPad Prism (version 5.0). For onset and survival analysis, a log-rank (Mantel–Cox) test was performed between curves. For IHC analysis, a one-way ANOVA with Dunnett's T3 multiple comparison test was performed between groups. The results are presented as mean  $\pm$  SEM.

#### 3. Results

#### 3.1. c-Abl Is Required to Induce Mitochondrial Fragmentation under ER Stress

To investigated whether c-Abl is involved in the morphological changes affecting mitochondria in response to ER stress in the nervous system, we evaluated if c-Abl was activated in neuronal cells exposed to the N-glycosylation inhibitor tunicamycin (1 µg/mL) or thapsigargin 1 µM. The primary hippocampal neurons exposed to tunicamycin or thapsigargin showed an increase in phospho-c-Abl signal on immunofluorescence assays (Figure 1A). This increase in phospho-c-Abl was also detected as early as 1 h after tunicamycin treatments, prior to and during ER stress, as shown by the accumulation of UPR markers CHOP and Bip by Western blot assays (Figure 1B). Then, we asked whether c-Abl tyrosine kinase is important for ER stress-induced mitochondrial fragmentation. The primary neuronal cultures under ER stress were treated with Imatinib (5  $\mu$ M), a pharmacological c-Abl inhibitor. Immunofluorescence for  $\beta$ III-tubulin (neuronal marker) and TOM20 (mitochondrial marker) showed that shortened mitochondria induced by ER stress were rescued by imatinib (Figure 1C,D), suggesting that activated c-Abl influences mitochondrial morphology. To confirm these results, we exposed MEF cells with tunicamycin and then we stained mitochondria with MitoTracker Deep Red FM to quantify mitochondrial sphericity in the cellular space using the IMARIS version 7.6.5. software. Higher values for sphericity are associated with more fragmented and/or rounded mitochondria. While the control and imatinib-treated cells exhibited a tubular mitochondrial network, tunicamycin treatment induced evident mitochondrial fragmentation, which was significantly prevented by imatinib (Figure 1E,F). These results suggest that the activation of c-Abl is required for the alterations of mitochondrial morphology elicited during the ER stress response. Importantly, the treatment of cells with imatinib protected against ER stress-induced cell death as demonstrated using the MTT (Figure 1G) and Sytox (Figure 1H) assays in the MEF cells. These effects correlated with the translocation of cytochrome c to the cytosol (Figure 1A) and the upregulation of ER stress-proapoptotic marker CHOP (Figure 1B).

Although imatinib is a well-known c-Abl inhibitor, other targets have been described such as PDGFR and c-KIT [48]. Thus, we employed a genetic approach to ablate c-Abl expression in the nervous system. We conditionally ablated c-Abl expression in the neurons by intercrossing *ABL1<sup>flox/flox</sup>* mice with Nestin-Cre transgenic mice to generate *ABL1-cKO*. First, we confirmed the successful deletion of c-Abl in embryonic brain extracts by Western blot (Figure 2A). We then generated primary neuronal cultures from *ABL1-cKO* mouse embryos and littermate control animals and evaluated mitochondrial length in neurites by staining for the mitochondrial marker TOM20 (Figure 2B). At basal level, *ABL1-cKO* neurons presented unaltered mitochondrial length (Figure 2C). Then, we analyzed the mitochondrial morphology of WT and *ABL1-cKO* neurons exposed to tunicamycin for

8 h. Although WT neurons exhibited a 50% reduction in mitochondrial length, *ABL1-cKO* neurons displayed mitochondrial lengths similar to control and untreated WT neurons (Figure 2C). These results indicate that c-Abl contributes to mitochondrial fragmentation under ER stress.



**Figure 1.** c-Abl is activated and localized in mitochondria in response to ER stress and collaborates in mitochondrial fragmentation. (**A**) Hippocampal primary neurons of 7 days in vitro were exposed to tunicamycin (Tm) or thapsigargin (Th) for 2 h and the immunodetection against phospho-c-Abl (red) was analyzed regarding mitochondrial localization with cytochrome c (green). Scale bar: 5 μm. (**B**) p-c-Abl and ER stress markers are increased after Tm treatment in hippocampal primary neurons. (**C**) Mitochondrial morphology of primary hippocampal neurons detecting TOM20 (red) and βIII tubulin (green) in treatments with Tm or Imatinib (Ima) for 10 h. Scale bar: 5 μm. (**D**) Quantification

of mitochondrial length from neuronal processes in experiments performed in (C). (E) Mitochondrial morphology of MEFs detecting Mitotracker (magenta) in treatments with Tm or Ima for 10 h. Scale bar: 10  $\mu$ m; inset: 5  $\mu$ m. (F) Mitochondrial sphericity from experiments performed in (E). (G) MTT assay in MEFs in treatments with Tm or Ima for 10 h. (H) Sytox assay in MEFs in treatments with Tm or Ima for 10 h. (H) Sytox assay in MEFs are presented as mean  $\pm$  SEM. *p* \* < 0.05, *p* \*\*\* < 0.001.



**Figure 2.** c-Abl regulates mitochondrial morphology in hippocampal primary neurons in response to ER stress. (**A**) c-Abl expression in primary neurons from WT and c-Abl-deficient (ABL1-cKO) mice. (**B**) Representative images of WT and ABL1-cKO primary hippocampal neurons treated with Tm for 8 h and immunostained for anti  $\beta$ III tubulin (green) as the neuronal marker and TOM20 (red) as the mitochondrial marker. Scale bar: 5  $\mu$ m. (**C**) Quantification of mitochondrial length from neuronal processes from experiments performed in (**B**). One-way ANOVA with Bonferroni post-test. Results are presented as mean  $\pm$  SEM. ns: not significant, *p* \*\*\* < 0.001.

#### 3.2. c-Abl Activation Induces Mitochondrial Fragmentation

To further explore the significance of c-Abl to mitochondrial dynamics, we performed gain-of-function experiments to study c-Abl activity in the absence of stress. To achieve this, we generated a c-Abl variant capable to activate its kinase function in a tamoxifen-inducible manner by fusing a C-terminal truncated c-Abl (constitutively active) to the ERT2 domain that blocks its kinase activity unless 4-hydroxy-tamoxifen (tamoxifen) is present (c-Abl WT ERT2). We also generated a kinase-dead variant (c-Abl KD ERT2) by substituting two specific amino acids (L285P/K290R) (Figure 3A). Then, to study the effect of c-Abl on mitochondria dynamics, we stably expressed both variants of c-Abl in plasmids carrying a mCherry reporter in MEF cells stably expressing the Cerulean mitochondrial marker [49]. As a control, we checked the activity of c-Abl by monitoring the phosphorylation of a well-known substrate CrkII (p-CrkII) at tyrosine 221 [50]. Hydrogen peroxide was also used as a positive control to activate endogenous c-Abl (Figure 3B). Tamoxifen-treated cells expressing the kinase-active c-Abl variant demonstrated a clear increase in the phosphorylation of CrkII, whereas the kinase-dead variant did not affect its phosphorylation (Figure 3B), demonstrating the specificity of our experimental system. We then analyzed the mitochondrial sphericity in MEF cells expressing the active or kinase-dead variants of c-Abl. We analyzed changes in tamoxifen-stimulated cells and compared mitochondrial sphericity with control cells carrying an empty vector (Figure 3C,D). Control cells exhibited tubular mitochondria (empirically minimal fragmentation), while FCCP treatment was used as a positive control to induce the maximal empirical mitochondrial fragmentation. Almost 80% of cells with stimulated c-Abl activity showed dramatic mitochondrial fragmentation, while the kinase-dead variant exhibited no significant fragmentation (around 25%), relative to control and FCCP (Figure 3C,D). These findings suggest that c-Abl activity is necessary for mitochondrial fragmentation.

#### 3.3. c-Abl Phosphorylates the Mitochondria Fusion Protein MFN2 on Y269

To explore possible molecular mechanisms explaining the consequences of c-Abl activation on mitochondrial morphology, we investigated the potential interaction between c-Abl and components of the machinery controlling mitochondrial dynamics.

Using an in silico analysis based on the MitoCarta 3.0 dataset of 1136 mitochondrial proteins [51], we identified a group of proteins predicted to undergo phosphorylation by c-Abl (Supplementary Figure S1A and Table 1). Specifically, we identified a possible interaction between c-Abl and the outer mitochondrial membrane GTPase MFN2, which is essential for mitochondrial fusion [52–54]. We then tested whether c-Abl is capable to form a protein complex with MFN2. Endogenous c-Abl was immunoprecipitated from tunicamycin-treated MEF cells, and its association with MFN2 was assessed by Western blot analysis. Remarkably, an interaction between c-Abl and MFN2 was observed preferentially under ER stress (Figure 4A). Similar results were obtained when endogenous MFN2 was immunoprecipitated (Figure 4A). Interestingly, this interaction was reduced by the treatment of cells with imatinib (Figure 4A). We then stably reconstituted doubleknockout MFN1/2 (MFN1/2 DKO) MEF cells with FLAG-tagged WT MFN2 (FLAG-MFN2) at levels that were similar to endogenous protein (Figure S2A), and we induced ER stress in the presence or absence of imatinib. We detected increased c-Abl levels in FLAG immunoprecipitates after treating cells with tunicamycin, an interaction that was reduced after the administration of imatinib (Figure 4B). These results suggest that the activation of c-Abl is required to form a complex with MFN2 and in response to ER stress.



**Figure 3.** c-Abl activity induces mitochondrial fragmentation. (**A**) Generation of a tamoxifeninducible system for constitutively active c-Abl (c-Abl WT ERT2) or kinase-dead (c-Abl KD ERT2) variants. (**B**) Activity of c-Abl variants in MEFs after ER stress was checked by immunoblot of c-Abl and a well-known substrate of c-Abl, p-Crk II. (**C**) Confocal microscopy of MEF cells overexpressing mitochondrial Cerulean-OMM (cyan) and the mCherry (red) empty vector (EV), c-Abl WT ERT2, or c-Abl KD ERT2 system, revealing the mitochondrial morphology under stimulation with tamoxifen. Scale bar: 20  $\mu$ m. (**D**) Quantification of mitochondrial sphericity expressed as the percentage of sphericity relative to FCCP treatment in MEFs expressing the EV. One-way ANOVA with Bonferroni post-test. Results are presented as mean  $\pm$  SEM. *p* \* < 0.05.

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| Protein ID * | Protein Name | Residue | Sequence -7/+7  | Kinase-Dependent<br>Predicted Value ** | Hydrophobicity-<br>Dependent<br>Predicted Value *** | Score  |
|--------------|--------------|---------|-----------------|--|---|--------|
| O95140       | MFN2         | Y269    | ASASEPEYMEEVRRQ | 11.267                                 | -1.527  | 17.205 |
| Q8IWA4       | MFN1         | Y248    | ASASEPEYMEDVRRQ | 10.706                                 | -1.527  | 16.348 |
| Q9BXK5       | B2L13        | Y213    | LESEEEEYPGITAED | 10.014                                 | -1.333  | 13.349 |
| O75323       | NIPS2        | Y187    | PRSGPNIYELRSYQL | 11.430                                 | -1.120  | 12.802 |
| Q5THJ4       | VP13D        | Y2873   | TNLEHQIYARAEVKT | 12.250                                 | -1.040  | 12.740 |
| Q8IWA4       | MFN1         | Y40     | SHFVEATYKNPELDR | 10.319                                 | -1.213  | 12.517 |
| O00429       | DNM1L        | Y266    | TDSIRDEYAFLQKKY | 9.849                                  | -1.220  | 12.016 |
| Q5THJ4       | VP13D        | Y768    | TQFSDDEYKTPLATP | 9.884                                  | -1.180  | 11.663 |
| Q969Q5       | RAB24        | Y70     | DTAGSERYEAMSRIY | 10.385                                 | -1.093  | 11.351 |
| O00429       | DNM1L        | Y101    | LHTKNKLYTDFDEIR | 9.714                                  | -1.153  | 11.200 |
| Q5THJ4       | VP13D        | Y1189   | GMANREKYGRKIATA | 11.108                                 | -0.987  | 10.964 |
| Q9BUR5       | MIC26        | Y43     | KVDELSLYSVPEGQS | 13.270                                 | -0.667  | 8.851  |
| Q96HS1       | PGAM5        | Y224    | ARQEEDSYEIFICHA | 12.029                                 | -0.680  | 8.180  |
| P57105       | SYJ2B        | Y43     | VSNDSGIYVSRIKEN | 10.196                                 | -0.767  | 7.820  |
| Q6UXV4       | MIC27        | Y44     | KPEQLPIYTAPPLQS | 10.986                                 | -0.700  | 7.690  |
| Q9BPW8       | NIPS1        | Y262    | GWDENVYYTVPLVRH | 14.185                                 | -0.533  | 7.561  |
| Q9BPW8       | NIPS1        | Y87     | KPEYLDAYNSLTEAV | 11.845                                 | -0.600  | 7.107  |
| Q16611       | BAK          | Y108    | QPTAENAYEYFTKIA | 9.754                                  | -0.720  | 7.023  |
| O43236       | SEPT4        | Y318    | EHFGIKIYQFPDCDS | 10.827                                 | -0.593  | 6.420  |
| Q14318       | FKBP8        | Y187    | GPQGRSPYIPPHAAL | 9.852                                  | -0.627  | 6.177  |
| Q8NAN2       | MIGA1        | Y152    | KGSQVCNYANGGLFS | 12.228                                 | -0.333  | 4.072  |
| O43865       | SAHH2        | Y28     | EIEDAEKYSFMATVT | 9.989                                  | -0.347  | 3.466  |
| Q07817       | B2CL1        | Y120    | HITPGTAYQSFEQVV | 9.800                                  | -0.167  | 1.637  |
| 075323       | NIPS2        | Y264    | GWEELVYYTVPLIQE | 11.294                                 | 0.020   | -0.226 |
| O43236       | SEPT4        | Y228    | CWKPVAEYIDQQFEQ | 10.305                                 | N/A   | N/A    |
| O43236       | SEPT4        | Y407    | RETHYENYRAQCIQS | 10.99                                  | N/A   | N/A    |
| O43865       | SAHH2        | Y470    | ALALIELYNAPEGRY | 9.678                                  | N/A   | N/A    |
| O60313       | OPA1         | Y637    | THVIENIYLPAAQTM | 9.659                                  | N/A   | N/A    |
| 075323       | NIPS2        | Y89     | KPECLEAYNKICQEV | 9.56                                   | N/A   | N/A    |
| 075323       | NIPS2        | Y231    | FSQIGQLYMVHHLWA | 9.553                                  | N/A   | N/A    |
| Q5HYI7       | MTX3         | Y29     | ESLVVMAYAKFSGAP | 11.314                                 | N/A   | N/A    |
| Q5THJ4       | VP13D        | Y3589   | QDNRQLYYENFIYIA | 9.867                                  | N/A   | N/A    |
| Q5THJ4       | VP13D        | Y3861   | LTGINVHYTQLATSH | 10.98                                  | N/A   | N/A    |
| Q5THJ4       | VP13D        | Y4369   | NYAKSLYYEQQLMLR | 10.067                                 | N/A   | N/A    |
| Q6UXV4       | MIC27        | Y18     | TMPAGLIYASVSVHA | 10.63                                  | N/A   | N/A    |

Table 1. Sites in mitochondrial proteins predicted to undergo phosphorylation by c-Abl.

\* Protein ID in UniProt database. \*\* Value obtained after FASTA sequence analysis in GPS 5.0 software. \*\*\* Hydrophobicity value of the residue reported by PhosphoNET online tool. N/A: Not applicable.



Figure 4. Activated c-Abl phosphorylates MFN2 in Y269. (A) Co-immunoprecipitation assay against endogenous levels for MFN2 and c-Abl in Tm or Ima treatments in MEF cells. (B) Co-immunoprecipitation assay for MEF cells over-expressing FLAG-MFN2 using agarose-anti-FLAG beads and detecting c-Abl in Tm or Ima treatments in MEF cells. (C) Phospho-tyrosine immunodetection against immunoprecipitated FLAG-MFN2 after Tm or Ima treatments in MEF cells. (D) In vitro phosphorylation with P32 orthophosphoric acid in living MEF cells and immunoprecipitation of FLAG-Mfn2 after tamoxifen (tamox)-stimulated c-Abl WT ERT2. (E) Phospho-tyrosine detection for MEF cells over-expressing either FLAG-MFN2 WT or FLAG-MFN2 Y269F variants in response to Tm. (F) In vitro phosphorylation of immunoprecipitated MFN2-FLAG, P32 gamma ATP (2.5  $\mu$ Ci), and recombinant c-Abl. (G) Scintillation counting of radiolabeled MFN2-FLAG after indicated times. (H) Modelling of Y269 residue in the MFN2 GTPase domain. (I) FLAG-MFN2 was immunoprecipitated and then incubated with GTP-agarose beads for 60 min at 30 °C. The bound proteins were analyzed via immunoblotting using FLAG antibody. One-way ANOVA with Bonferroni post-test. Results are presented as mean  $\pm$  SEM. p \* < 0.05.

Although MFN2 has been reported to be phosphorylated at Ser27 in response to cellular stress [55], the phosphorylation in tyrosine residues of MFN2 remains unclear. To address this, we first examined whether MFN2 was phosphorylated at tyrosine in cells under ER stress. FLAG-MFN2 reconstituted in MFN1/2 KO cells expressing FLAG-MFN2 was treated with tunicamycin, and FLAG immunoprecipitated to assess phospho-tyrosine levels. Cells undergoing ER stress showed increased detection in tyrosine phosphorylation of MFN2, detected by the phospho-tyrosine antibody 4G10, and this was reduced in cells treated with imatinib (Figure 4C). The tyrosine phosphorylation of MFN2 was further evaluated in living cells by the addition of <sup>32</sup>P-labeled orthophosphoric acid into the media of FLAG-MFN2-overexpressing MEFs using our tamoxifen-inducible system. Again, the expression of c-Abl kinase activity increased the radioactive signal of immunoprecipitated FLAG-MFN2 (Figure 4D), suggesting that MFN2 can be phosphorylated by active c-Abl in living cells.

Our in silico analysis provided the highest score for phosphorylation to the amino acid residue Y269 in the human peptide sequence 261-ASASEPEYMEEVRRQ-277 of MFN2 (Table 1), which is highly conserved in a wide range of species (Supplementary Figure S1B). Thus, we performed site-directed mutagenesis to generate a Y269F mutant. Both WT and Y269F FLAG-MFN2 versions were expressed at similar levels (Figure S2A,B). We then treated these cells with tunicamycin and measured the levels of phosphorylated tyrosine in MFN2. As expected, phospho-tyrosine levels were detected in FLAG-immunoprecipitants from MFN2-WT-expressing cells but not in cells expressing the Y269F point mutant (Figure 4E). Then, to examine whether c-Abl directly phosphorylates MFN2, we performed an in vitro assay using purified recombinant proteins. Purified recombinant c-Abl kinase was incubated with FLAG-MFN2-WT, FLAG-MFN2-Y269F, or GST-CRKII (used as a positive target for c-Abl phosphorylation) in the presence of  $[\gamma^{-32}P]$ ATP. We observed a significant time-dependent increase in FLAG-MFN2 phosphorylation measured via both autoradiography (Figure 4F) and scintillation counting (Figure 4G). The phosphorylation of FLAG-MFN2 WT was detected as early as 5 min of incubation and increased up to 120 min. In contrast, the phosphorylation of mutant FLAG-MFN2-Y269F remained at baseline levels until the endpoint. MFN2 fusion activity relies on the function of its GTPase domain [56]. In addition, it has been reported that the specific phosphorylation of MFN2 at Ser27 under cellular stress regulates mitochondrial fragmentation [55]. Using the Protein Imager tool [57], we modeled the Y269-containing GTPase domain of MFN2 (Figure 4H). This model predicted a close proximity of Y269 to the GTP-binding site. Based on this observation, we then addressed whether the Y269 residue of MFN2 affects its nucleotide-binding affinity upon ER stress using a GTP-agarose bead immunoprecipitation assay. While GTP binding by MFN2-WT was reduced upon ER stress, the Y269F mutant displayed a higher affinity for GTP, an activity that was unaffected by ER stress (Figure 4I). This suggests that MFN2-Y269F may remain in an active conformation for longer time than the WT form. We then measured the MFN2 hydrolytic activity and did not detect a significant difference in the GTP hydrolysis rate between MFN2 WT and the mutant form (Figure S3). Importantly, it is known that a change in the GTP hydrolysis rate is not necessary for mitochondria fusion and MFN2 activity [58]. Thus, the phosphorylation in Y269 appears to decrease the affinity of MFN2 for GTP, and this may reduce its ability to promote mitochondrial fusion. Altogether, these results indicate that MFN2 is directly phosphorylated by c-Abl kinase to control mitochondrial fragmentation.

#### 3.4. c-Abl Mitochondria Localization Requires MFN2 Y269

We then investigated whether the interaction with MFN2 is necessary for c-Abl to localize in the mitochondria. To test this, we used FLAG-MFN2-WT and FLAG-MFN2-Y269F constructs on MEF cells, and then we determined the mitochondrial localization of c-Abl after tunicamycin treatment. Using stochastic optical reconstruction microscopy (STORM), we observed that the individual molecules of c-Abl were distributed diffusely with respect to mitochondria under basal conditions in cells expressing MFN2-WT or

MFN2-Y269F (Figure 5A,B). Interestingly, ER stress induced a significant increase of c-Abl molecules and in large clusters at the mitochondria, a pattern that was absent from FLAG-MFN2-Y269F-expressing cells (Figure 5A,B). We then evaluated the proximity between c-Abl and MFN2 molecules after the induction of ER stress using STORM. In FLAG-MFN2-WT cells, the proximity within 50 nm was significantly increased after tunicamycin treatment. Importantly, this effect was ablated in FLAG-MFN2-Y269F cells (Figure 5C,D). Thus, c-Abl mitochondrial localization in response to ER stress requires MFN2 Y269.



**Figure 5.** Activated c-Abl colocalizes with mitochondria dependent on MFN2 interaction. (**A**) Superresolution microscopy revealing the c-Abl (green) localization in TOM20 (red)-stained FLAG-MFN2 WT or FLAG-MFN2 Y269F MEFs. Scale bar: 1  $\mu$ m. (**B**) Quantification of c-Abl molecules as observed in (**A**). (**C**) Super-resolution microscopy of c-Abl (green) colocalization with FLAG-MFN2 (blue) and TOM20 (red) in FLAG-MFN2 WT or FLAG-MFN2 Y269F MEFs. Scale bar: 1  $\mu$ m. (**D**) Quantification of c-Abl colocalizing with FLAG-MFN2. One-way ANOVA with Bonferroni post-test. Results are presented as mean  $\pm$  SEM. ns: not significant, *p* \* < 0.05, *p* \*\* < 0.01.

Then, we studied whether the phosphorylation of MFN2 on Y269 by c-Abl is important to mitochondrial morphology. To analyze this, we used MEF cells that expressed FLAG-MFN2-WT or FLAG-MFN2-Y269F, and then stained them with TOM20 to study mitochondria morphology (Figure 6A). In control treatment, both cell lines exhibited normal tubular mitochondria morphology, whereas ER stress triggered significant fragmentation in 70% of FLAG-MFN2-WT-expressing cells but only in 45% of FLAG-MFN2-Y269F cells (Figure 6A,B), suggesting that the association between c-Abl and MFN2 is necessary to trigger mitochondria morphology impairments in cells under ER stress. Mitochondrial dynamics have the potential to influence mitochondrial function. In our observations, we found that ER stress did not affect the oxygen consumption rate (OCR) response (Figure S4A,B). However, it did lead to a reduction in the extracellular acidification rate (ECAR) in MFN2 WT MEFs (Figure S4C), indicating that the cells were still capable of oxygen consumption, but with altered glycolytic activity. In the case of the MFN Y269F mutant MEFs, treatment with tunicamycin did not produce changes in either OCR (Figure S4A,B) or ECAR (Figure S4C), suggesting that these cells could rely on both oxidative phosphorylation and glycolysis even when subjected to ER stress. Furthermore, our previous findings revealed that imatinib treatment protects against tunicamycin-induced cell death (Figure 1G). Similar results were observed using more specific apoptotic markers including cleaved caspase-3 both by Western blot (Figure 6C) and immunofluorescence (Figure 6D,E) and TUNEL assay (Figure 6F,G). Altogether, these results showed that the phosphorylation of MFN2 on Y269 by c-Abl is important to induce mitochondrial fragmentation and apoptosis in cells under ER stress.



**Figure 6.** Mfn2 collaborates in the mitochondrial fragmentation and the apoptotic response in a Y269 residue-dependent manner. (**A**) Mfn2WT- and Mfn2Y269F-expressing cells were stained for TOM20 (red) and treated with vehicle (control) or exposed to Tm for 10 h. Scale bar: 20 µm. (**B**) The percentage of cells exhibiting tubular mitochondria in (**A**) was quantified. (**C**) Western blot against cleaved caspase 3 from Mfn2WT- and Mfn2Y269F-expressing cells exposed to Tm for 18 h. (**D**) Mfn2WT- and Mfn2Y269F-expressing cells exposed to Tm for 18 h. (**D**) Mfn2WT- and Mfn2Y269F-expressing cells were stained for cleaved caspase 3 (red) and treated with vehicle (control) or exposed to Tm for 18 h. Scale bar: 40 µm. (**E**) The number of cells per field exhibiting tubular mitochondria in (**D**) was quantified. (**F**) Mfn2WT- and Mfn2Y269F-expressing cells were developed for TUNEL staining (green) and phalloidin (red), and treated with vehicle (control) or exposed to Tm for 18 h. Scale bar: 40 µm. (**G**) The percentage of cells positive for TUNEL in (**F**) was quantified. One-way ANOVA with Bonferroni post-test. Results are presented as mean ± SEM. *p* \* < 0.05, *p* \*\* < 0.01.

## 3.5. c-Abl Tyrosine Kinase Inhibition Reduces Abnormal Mitochondria and Increases the Survival in an ALS Animal Model

ER stress has been extensively described as a pathological mechanism in neurodegenerative diseases associated with abnormal protein aggregation, including ALS [59]. Interestingly, c-Abl has been shown to contribute to the neurodegenerative cascade observed in several neurodegenerative diseases where ER and oxidative stress has been involved on the pathological causes [21–29,31,32,34–41]. To assess the significance of our findings to disease conditions affecting the nervous system, we explored the involvement of c-Abl in mitochondrial fragmentation in cellular and animal models of ALS. First, we expressed ALS-associated mutant SOD1-G85R or WT SOD1 fused to EGFP in primary hippocampal neurons at 7 days post differentiation. As expected, the expression of mutant SOD1 resulted in protein aggregation within hippocampal neurons, whereas the transfection of the WT SOD1 protein showed no such aggregation (Figure 7A). Also, neurons overexpressing mutant SOD1 exhibited higher levels of phosphorylated c-Abl at Y412 (Figure 7A), which was inhibited with imatinib (Figure 7A). We then analyzed mitochondrial fragmentation induced by mutant SOD1. Remarkably, imatinib significantly prevented mitochondrial fragmentation in neurons overexpressing mutant SOD1 compared with the WT form (Figure 7B,C).

Moreover, we evaluated the effect of pharmacological inhibition of c-Abl with nilotinib on the SOD1 G93A ALS mice. First, we quantified the percentage of morphologically disrupted mitochondria, characterized by an altered external mitochondrial membrane with fragmented or swollen cristae/matrix, in sciatic nerves from WT or SOD1 G93A mice fed with either control or nilotinib diet (Figure 7D). The results showed an increase of disrupted mitochondria in SOD1 G93A compared to control littermates. In addition, nilotinib treatment decreased the number of disrupted mitochondria in SOD1 G93A mice, while nilotinib did not influence the mitochondria of WT mice (Figure 7E). These results suggest that c-Abl inhibition with nilotinib may have a beneficial effect on altered mitochondrial morphology in SOD1 G93A mice.

To determine the protective effects of nilotinib in SOD1 G93A mice, we quantified the number of NeuN-positive neurons in the ventral horn of the lumbar spinal cord (Figure 7F). As previously described [60], we found a lower amount of NeuN cells in SOD1 G93A mice than in control littermates (Figure 7G). Interestingly, treatment with nilotinib prevented the NeuN-positive cell loss in SOD1 G93A mice, while control mice did not exhibit significant changes (Figure 7G).

When we analyzed the progression of the disease in control, nilotinib, or the recently described c-Abl inhibitor neurotinib- [35,45] fed SOD1 G93A mice, the results showed that neurotinib administration led to a significant delay in disease onset compared to the control group (p = 0.0081), whereas nilotinib did not exhibit a significant effect (p = 0.2253). The median onset of symptoms was 152 days in the neurotinib-treated mice, compared to 139 days in the control group (Figure S5A). The median survival time was also slightly longer in the neurotinib group (165.5 days) compared to the control group (163 days), although this difference was not significant (p = 0.1237) (Figure S5B). Overall, the results suggest that pharmacological c-Abl inhibition has a beneficial effect on the phenotype of the SOD1 G93A ALS mouse model, in delaying disease onset and improving neuronal survival.



Figure 7. c-Abl regulates mitochondrial status in ALS models. (A) Confocal microscopy of primary hippocampal neurons overexpressing SOD1 WT fused to GFP (SOD1-GFP) or SOD1 G85R fused to GFP (mSOD1-GFP) in green, and stained for p-c-Abl (red) and βIII tubulin (blue), treated with vehicle or imatinib. Scale bar: 5 µm. (B) Confocal microscopy of primary hippocampal neurons overexpressing SOD1-GFP or mSOD1-GFP in green, and stained for TOM20 (red) both in soma (left side of the panel) and processes (right side of the panel) stained for and  $\beta$ III tubulin (blue). Scale bar: 5 µm. (C) Mitochondrial length in neuronal processes as in (B). (D) Representative TEM images of mitochondria in the sciatic nerve from WT control-fed (n = 4) and nilotinib-fed (n = 4) mice and SOD1 G93A control-fed (n = 5) and nilotinib-fed (n = 5) mice. Scale bar = 500 nm. (E) Percentage of swollen mitochondria characterized by a disrupted external mitochondrial membrane with fragmented or swollen cristae/matrix in the sciatic nerve from (D). (F) Representative fluorescence images of NeuN in the ventral horn of the lumbar spinal cord in WT control-fed (n = 6) and nilotinib-fed (n = 5) mice, and SOD1 G93A control-fed (n = 5) and nilotinib-fed (n = 5) mice. Scale bar: 150  $\mu$ m. (G) Graph shows the number of NeuN positive cells in 300,000  $\mu$ m<sup>2</sup> from (F). Two-way ANOVA with Tukey's multiple comparisons test. Results are presented as mean  $\pm$  SEM. p \* < 0.05,  $p^{***} < 0.001, p^{****} < 0.0001.$ 

#### 4. Discussion

Mitochondrial dynamics influence the morphology and impact on various processes of mitochondrial homeostasis. In addition to the close relationship with the cellular bioenergetic capacity and buffering intracellular Ca<sup>2+</sup>, among other functions, mitochondria interact with the endoplasmic reticulum and react to cellular stress to favor apoptosis after severe injuries. Mitochondrial dynamics allow cells to adapt themselves to physiological and environmental fluctuations. Considering the high functionality of mitochondria, it is not unexpected that the machinery for fusion and fission follows rigorous regulations to keep cellular stability [56,61,62]. However, the mechanisms controlling the mitochondrial dynamic machinery are just partially understood. In this work, we unveiled a new molecular mechanism for c-Abl kinase in mitochondria as a modulator of the mitochondrial dynamics through MFN2 in response to cellular stress. We described that activated c-Abl promotes the mitochondrial fragmentation mediated through the phosphorylation of MFN2 at Y269 in response to ER stress.

The function for activated c-Abl under cellular stress has been mainly associated with the transcription of proapoptotic genes through the factors p73 and MST1 [20–22]. Additionally, it has been described that c-Abl is activated in response to ER stress, oxidative stress, and genotoxic stress [17,22,63,64], and translocates to the mitochondria where it promotes the outer mitochondrial membrane permeabilization and apoptosis [3]. However, the mechanism through which c-Abl could exert this function is unknown. Here, we focused on a potential mechanism for c-Abl in mitochondria. Given that ER stress leads to c-Abl activation, and it also affects mitochondrial dynamics [53], we investigated a potential role for c-Abl kinase in mitochondrial dynamics in response to ER stress.

In our first approach, we found that the mitochondrial fragmentation was reduced with imatinib, a specific c-Abl inhibitor, in response to ER stress, which agrees with previous studies in animal models concerning neurodegenerative disorders, where imatinib treatment had proven benefits, ameliorating symptoms [65]. Surprisingly, the expression of constitutively active c-Abl kinase by the tamoxifen-inducible system led to a dramatic mitochondrial fragmentation, while the expression of the kinase-dead variant did not induce changes on the morphology, demonstrating that activated c-Abl kinase is sufficient to induce mitochondrial fragmentation. Furthermore, c-Abl-deficient neurons exposed to tunicamycin revealed a significantly reduced mitochondrial fragmentation. Hence, using different strategies, our data indicates a novel function for c-Abl kinase collaborating in the mitochondrial fragmentation induced by cellular stress.

Through STORM microscopy, we provided detailed evidence for the c-Abl localization at mitochondria. In basal conditions, we found that c-Abl molecules were apparently randomly distributed, and interestingly, c-Abl molecules were dramatically enriched in mitochondria with a clustered-like pattern in response to ER stress. Through biochemical studies, it has been described that mitochondrial fractions are enriched in activated c-Abl under ER stress, and furthermore, c-Abl collaborates with the outer mitochondrial membrane permeabilization and apoptosis [3,66], supporting our results. In addition, it was reported that activated c-Abl exposes its N-terminal myristoyl group, which permits its localization with membranes [66] and could explain our observations of enriched c-Abl at mitochondria.

We explored potential targets of c-Abl that could be related to their association with mitochondrial dynamics, and found that c-Abl interacts with and phosphorylates the GT-Pase MFN2. Post-translational modifications on MFN2 have been previously reported in the literature. The phosphorylation of MFN2 at Ser27 by the JNK kinase was identified in response to cellular stress [55]; also, PINK1 phosphorylates MFN2 at Thr111 and Ser442, favoring its ubiquitination by the E3 ubiquitin ligase Parkin, in response to cellular stress [67]. Interestingly, those post-translational modifications reported for MFN2 are described as leading to mitochondrial fragmentation in response to cellular stress. Recently, it has been described that c-Src tyrosine kinase could regulate ER–mitochondrion interactions through the phosphorylation of the C-terminal tail of MFN2 [68]. Hence, our results, revealing the

MFN2 phosphorylation by c-Abl kinase in response to ER stress, are consistent with this tendency, as described also with the phosphorylation of MFN1 by ERK in response to DNA damage [69,70].

A structural model prepared with the Protein Imager tool suggests that the Y269 amino acid residue is localized close to the GTP binding site and exposed to the cytoplasm, in the GTPase domain. Interestingly, the Y269 of MFN2 interacts with the N161 of the counterpart MFN2 monomer in the interface of the dimer. This interaction is crucial for mitochondrial elongation, as living cells seem quite sensitive to mutations in the interface [71]. These findings suggest that the Y269 residue plays a pivotal role in mediating protein–protein interactions within the MFN2 dimer interface, ultimately influencing mitochondrial fusion dynamics.

We found that the non-phosphorylable MFN2 Y269F exhibits an increased GTP affinity, which could suggest an augmented exchange from GDP to GTP. Additionally, we did not observe changes in the hydrolytic capacity of GTP between MFN2 WT and MFN2 Y269F, which could be in agreement with our data, since it was reported that changes in the hydrolytic capacity for MFN2 do not alter the mitochondrial fusion rates [58].

Through the STORM super-resolution microscopy, we detected that c-Abl colocalizes with MFN2 preferentially under stress, and interestingly, it was prevented with the expression of the mutant MFN2 Y269F. It seems that the Y269 residue of MFN2 is important to facilitate the c-Abl localization and enrichment in the mitochondrial membrane. It has been reported that MFN2 is enriched at mitochondria-associated membranes (MAMs) [72–74]. In this regard, whether c-Abl is localized at MAMs in response to ER stress remains to be determined.

We explored the biological significance of the mutant MFN2 Y269F to the mitochondrial morphology. Interestingly, the cells expressing MFN2 Y269F exhibited resistance to promote mitochondrial fragmentation in response to ER stress, suggesting that the phosphorylation of MFN2 at Y269 is required in the reduction in its pro-fusion function in response to ER stress. Our results suggest that the increased association with c-Abl could lead to the phosphorylation of MFN2 in response to ER stress. It has been reported that the phosphorylation of MFN2 at Ser27 is required to promote mitochondrial fragmentation in cells under genotoxic stress [55]. The use of other MFN2 mutants such as phosphomimetic MFN2 Y269E could be included in future experiments to explore more deeply the contribution on mitochondrial morphology; however, our approach confirms that the Y269 participates in the c-Abl–MNF2 interaction. Finally, we evaluated potential changes in the apoptotic response in MFN2 Y269F-expressing cells. Our findings show reduced levels for cleaved caspase 3 and TUNEL activation in MFN2 Y269F-expressing cells after an extended exposure to ER stress. During ER stress, c-Abl is activated, but its role in mitochondria remains unknown [3]. Our model reveals that c-Abl promotes a reduced function pro-fusion for MFN2, and it also favors the apoptotic response. Interestingly, post-translational modifications in MFN1 and MFN2 in response to cellular stress facilitate the apoptotic response [55,67,70], supporting the role for c-Abl promoting mitochondrial fragmentation mediated by its association with MFN2 in response to ER stress.

The activation of c-Abl in ALS motoneurons has been associated with oxidative stress [39]. Bosutinib, a Src/c-Abl inhibitor, reduced the misfolded mutant SOD1 protein levels, improved the expression of mitochondrial genes, and modestly extended G93A ALS mouse model survival [40]. Our results with nilotinib showed a coherent preservation of the mitochondrial morphology, and although we did not observe a significant increase in life span, the novel allosteric inhibitor neurotinib delays the onset of symptoms in the G93A mouse model, resembling the effects described for the c-Abl inhibitor dasatinib that also delays motor neuron degeneration in the G93A mouse model [41].

Overall, our study provides a view into the complex relationship between c-Abl kinase and mitochondrial dynamics mediated by MFN2 phosphorylation at Y269 during ER stress, mainly in the context of ALS. The correlation between in vitro and in vivo results reinforces the significance of c-Abl activity in mediating mitochondrial responses to stress conditions and the use of c-Abl inhibitors for targeting mitochondrial dysfunction in ALS.

#### 5. Conclusions

In conclusion, our research highlights c-Abl kinase in regulating mitochondrial dynamic under cell stress. Specifically, we identified a new molecular mechanism by which c-Abl phosphorylates the mitochondrial fusion protein MFN2 at Y269 in response to ER stress, leading to mitochondrial fragmentation and apoptosis.

Our results point to a therapeutic potential of c-Abl modulation in neurodegenerative diseases like ALS, where ER and oxidative stress contribute to cell death. Moreover, the pharmacological inhibition of c-Abl in an ALS mouse model improves mitochondrial health and delays the onset of symptoms, opening avenues for further research and potential treatments in neurodegenerative conditions linked to cellular stress and mitochondrial dysfunction.

Supplementary Materials: The following supporting information can be downloaded at: https: //www.mdpi.com/article/10.3390/antiox12112007/s1, Figure S1: In silico analysis to determine potential c-Abl mitochondrial targets; Figure S2: The stable overexpression of FLAG-Mfn2 restitutes the mitochondrial morphology in Mfn1/2- deficient MEF cells; Figure S3: The GTP hydrolytic rate is not altered in mutant Mfn2; Figure S4: Mitochondrial activity in MFN WT and Y269F mutant MEF; Figure S5: The pharmacological inhibition of c-Abl improves ALS phenotype in SOD1 G93A mice.

**Author Contributions:** A.M., C.M.L. and A.R.A. contributed to the conception and design of the study; A.M., C.V. and C.M.L. performed the cell biology and microscopy and the experiments. A.M. and C.M.L. performed the studies in SOD mice treated with c-Abl inhibitors diets; N.L. helped with the hippocampal neuron's cultures and experiments; F.L., P.F., C.G. and M.M.K. helped with the c-Abl-ERT2 constructs experiments and STORM microscopy. A.E.D. synthesized nilotinib and neurotinib chow; A.M., A.R.A., C.H., B.v.Z. and C.M.L. wrote the first version of the manuscript and discussed the results; A.M., C.M.L. and G.I.C. analyze data and generate the figures; J.J.M., N.C.I., S.Z. and D.R.G. wrote sections of the manuscript. All authors have read and agreed to the published version of the manuscript.

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Informed Consent Statement: Not applicable.

**Data Availability Statement:** The data presented in this study are available on request from the corresponding author. The data are not publicly available due to the proprietary nature of the drug neurotinib and its associated patent WO2019/173761 A1, which restricts sharing the data without prior authorization from the corresponding author and a valid, justified request.

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### Modulation of Kynurenic Acid Production by N-acetylcysteine Prevents Cognitive Impairment in Adulthood Induced by Lead Exposure during Lactation in Mice

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Abstract: Lead  $(Pb^{2+})$  exposure during early life induces cognitive impairment, which was recently associated with an increase in brain kynurenic acid (KYNA), an antagonist of NMDA and alpha-7 nicotinic receptors. It has been described that N-acetylcysteine (NAC) favors an antioxidant environment and inhibits kynurenine aminotransferase II activity (KAT II, the main enzyme of KYNA production), leading to brain KYNA levels decrease and cognitive improvement. This study aimed to investigate whether the NAC modulation of the brain KYNA levels in mice ameliorated Pb<sup>2+</sup>-induced cognitive impairment. The dams were divided into four groups: Control, Pb<sup>2+</sup>, NAC, and Pb<sup>2+</sup>+NAC, which were given drinking water or 500 ppm lead acetate in the drinking water ad libitum, from 0 to 23 postnatal days (PNDs). The NAC and Pb2++NAC groups were simultaneously fed NAC (350 mg/day) in their chow from 0 to 23 PNDs. At PND 60, the effect of the treatment with  $Pb^{2+}$ and in combination with NAC on learning and memory performance was evaluated. Immediately after behavioral evaluation, brain tissues were collected to assess the redox environment; KYNA and glutamate levels; and KAT II activity. The NAC treatment prevented the long-term memory deficit exhibited in the Pb<sup>2+</sup> group. As expected, Pb<sup>2+</sup> group showed redox environment alterations, fluctuations in glutamate levels, and an increase in KYNA levels, which were partially avoided by NAC co-administration. These results confirmed that the excessive KYNA levels induced by Pb<sup>2+</sup> were involved in the onset of cognitive impairment and could be successfully prevented by NAC treatment. NAC could be a tool for testing in scenarios in which KYNA levels are associated with the induction of cognitive impairment.

Keywords: heavy metals; kynurenic acid; cognition; N-acetylcysteine

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#### 1. Introduction

Lead  $(Pb^{2+})$  is a widely utilized metal in the industry due to its malleability, conductivity, and ductility and usefulness as raw material in countless processes [1–3]. Due to the non-biodegradable nature of  $Pb^{2+}$ , it can accumulate in soil, rivers, lakes, and air, which are the main sources of  $Pb^{2+}$  exposure for humans. The World Health Organization established that there is no safe concentration of  $Pb^{2+}$  in the blood, as even a low amount of  $Pb^{2+}$  has been related to cognitive impairment, particularly in children [4–6]. This heavy metal can enter the organism by ingestion, inhalation, and absorption, and once inside, it is distributed to the organs and stored in the teeth and bones [7–12]. The central nervous system (CNS) is a target of  $Pb^{2+}$  toxicity, leading to long-term consequences [5,13,14]. Several clinical and experimental studies have observed that  $Pb^{2+}$  effects depend on the period, duration, and route of metal exposure, being more severe and lasting longer when it occurs during the neurodevelopmental period and/or early life, during which several processes occur, such as neurogenesis, neural migration, differentiation, synaptic pruning, neuronal plasticity, and neuronal connections establishment [15–18].

Several detrimental effects induced by Pb2+ exposure, such as calcium-signaling alteration, energetic homeostasis disruption, oxidative stress, inflammation, and neurotransmitter fluctuations, can trigger long-lasting cognitive impairment [19–23]. We previously proposed that kynurenic acid (KYNA) could play a relevant role in Pb<sup>2+</sup> neurotoxicity [24]. KYNA is an endogenous metabolite derived from the tryptophan catabolism through the kynurenine pathway (KP), mainly synthesized in astrocytes by the kynurenine aminotransferase II (KAT II) and considered a neuromodulator due its inhibitory effects on NMDA and  $\alpha$ -7-nicotinic receptors [25–27]. In this context, we previously showed that an increase in brain KYNA levels correlated with long-term memory impairment in adult mice exposed to Pb<sup>2+</sup> during the lactation period (0–23 PNDs) [24]. Accordingly, several studies have demonstrated that the elevation of brain KYNA levels during gestation or early postnatal life led to memory deficits in adulthood [28-31]. These studies showed that the stimulation of brain KYNA neosynthesis (administrating its precursor L-kynurenine or inhibiting the long branch of KP) resulted in a reduction in extracellular glutamate levels [27,32,33], while its inhibition induced the opposite effect [26]. Additionally, other important neurotransmitters, such as dopamine, acetylcholine, and gamma-aminobutyric acid, increased when brain KYNA was reduced [25,33-38]. Taken together, this experimental evidence demonstrated that the manipulation of endogenous KYNA levels had critical effects on different neurotransmitter systems. However, to our knowledge, the influence of brain KYNA modulation within the context of heavy metal exposure during early life had not been previously described.

In this study, N-acetylcysteine (NAC), a mucolytic and a redox modulator, was used as a tool to regulate KYNA production since it had been previously shown that NAC inhibited KAT II activity in human and rat brain tissues [39–41]. It is worth mentioning that NAC had been tested for various neurodegenerative and psychiatric diseases, showing beneficial effects on cognitive performance [42–45]. Furthermore, several preclinical studies showed NAC effectiveness in ameliorating the brain damage induced by diverse neurotoxic agents; however, its protective effects have always been related to the induction of glutathione (GSH) synthesis promotion as well as to its scavenging and anti-inflammatory properties. In view of previous findings that had shown that the subchronic administration of NAC prevented memory impairment induced by increased brain KYNA levels [46], we aimed to explore whether the inhibitory modulation of brain KYNA levels by NAC administration during early-life Pb<sup>2+</sup> exposure could prevent cognitive impairments in adulthood.

#### 2. Materials and Methods

#### 2.1. Materials

L-kynurenine (kyn), kynurenic acid (KYNA), NAC, pyruvate, pyridoxal-5-phosphate (P5P), glutathione reduced form (GSH), oxidized glutathione (GSSG), O-phtaldehyde (OPA), N-Ethylmaleimide (NEM), diethylenetriamine pentaacetate (DTPA), glucose-6-phosphate (G-6P), and glucose-6-phosphate dehydrogenase (G-6PDH) were obtained from Sigma-Aldrich Company (St. Louis, MO, USA). All other chemicals were of the highest commercially available purity. Solutions were prepared using deionized water obtained from a Milli-Q (Millipore, Burlington, MA, USA) purifier system.

#### 2.2. Animals

Female C57 mice were housed 1:1 with a male mouse in individual acrylic cages. Successful mating was confirmed by the presence of mouse sperm on vaginal swabs. Once pregnancy was confirmed, the male was removed from the cage. Dams were housed individually until the day of birth and remained with their pups until weaning at postnatal day (PND) 23. At birth, litters were randomly assigned to one of four groups: (1) Control, (2)  $Pb^{2+}$ , (3) NAC, and (4)  $Pb^{2+}$  + NAC. At weaning, pups were separated by sex and housed by treatment. At PND 60, and once all the treatments were completed, pups were evaluated behaviorally and biochemically.

#### 2.3. Lead Exposure and N-acetyl-L-cysteine Treatment

Dams and their offspring were randomly divided into 4 groups: (1) Control group, received normal drinking water; (2)  $Pb^{2+}$ , received 500 ppm lead acetate in drinking water; (3) NAC, received 350 mg/day of NAC in the food; and (4)  $Pb^{2+}$  + NAC, received 500 ppm lead acetate in drinking water and 350 mg/day of NAC in the food. The treatment period was from 0 to 23 PNDs. At weaning, the treatments were withdrawn and replaced with normal drinking water and a normal chow diet.

#### 2.4. Behavioral Test

#### 2.4.1. Buried Food Location Test (BFLT)

BFLT is an adaptation of the model described by Lehmkuhl et al. for olfactory dysfunction evaluation [47]. This test consists of two sessions: training and memory retrieval testing, both performed in an acrylic box ( $42 \times 30$  cm), covered with a 2 cm deep layer of sawdust. Mice were habituated twice to the box for 10 min, 24 h, and 48 h, before training. The box had spatial clues (black geometric figures of  $8 \times 8$  cm, placed at a height of 13 cm in the middle of each side of the box). Mice were also habituated to eat sugary pellets ("fruit loops") in their home cages for seven days before training in order to eliminate food neophobia. Animals were fasted 24 h before the training session, with ad libitum water access. The training session, or learning phase, consisted of 6 trials (2 min inter-trial interval) and a "0" trial, during which the "fruit loops" were present. In trial "0", a fruit loop was placed in plain sight on the sawdust in a fixed position, where the mouse could find it and gnaw on it for a few seconds. If mice were unable to find the fruit loop within 180 s in the 0 trial, they were gently guided to it; in each trial, the mice were allowed to gnaw the pellet for at least 5 s. In the next 6 trials, the pellet was buried 1 cm under the sawdust in the same fixed quadrant of the box (the location of the pellet was the same in all trials). At the end of each trial, the animals were returned to their home cage, the testing area was cleaned with a 10% ethanol solution, and the sawdust was removed to eliminate odoriferous marks left by the mouse in the previous trial. After 24 h, long-term memory was evaluated in a retention test where the pellet was no longer present; mice were allowed to freely explore the testing box for 180 s. The time spent reaching the precise target location of the buried food (same location as during the training session) was recorded, and the exploration time spent in the target location quadrant was measured. All sessions were video recorded, allowing offline analysis with tracking software (ImageJ 1.54d version, Bethesda, MD, USA). These results were expressed as the time (in seconds) and distance (in centimeters) to reach the target.

#### 2.4.2. Novel Object Recognition Test (NOR)

The NOR test is based on a behavioral phenomenon called novelty preference in rodents [48]. NOR test was performed in an acrylic box ( $42 \times 42 \times 36$  cm) covered with white paper and a 2 cm deep layer of sawdust where mice were habituated for 10 min, 24 h, and 48 h, prior to test. The test consisted of two phases: training and probing. In the training phase, two identical objects (A and A') were placed at the center of the box, equally spaced. The mice were released into the box, starting from the center of the wall with their backs to the objects, and allowed to explore them for 5 min. The first part of the probe phase (short-term memory evaluation) was carried out two hours after the training session, one of the familiar objects was changed for a novel object "B", and mice were reintroduced into the box and allowed to explore for another 5 min. The second probe phase to evaluate long-term memory was performed 24 h later in the same way, but object "B" was changed to a novel object "C". The sessions were recorded, and the exploration time (sniffing and manipulating the objects) was registered. The results were expressed as a recognition index (time exploring a novel object/time exploring both objects  $\times 100$ ).

#### 2.5. Tissue Collection and Treatment

Immediately after behavioral testing, mice were euthanized by decapitation. Brains were rapidly removed and placed on ice. Brain tissue (20 mg) was treated immediately for GSH-level quantification, and the remaining tissue was rapidly frozen for posterior analysis. To quantify kynurenic acid levels, tissues were homogenized in water (1:10, w/v), deproteinized with 30 µL of perchloric acid (PCA), and finally, centrifuged at 14,600 × g for 10 min.

#### 2.6. GSH and GSSG Levels

Brain tissue was homogenized (1:10, p/v) in Buffer A (154 mM KCl, 5 mM DTPA, and 0.1 M potassium phosphate buffer (PPB) pH 6.8), and then, Buffer B (20 mM ascorbic acid, 10 mM DTPA, 40 mM HCl and 10% trichloroacetic acid) was added (1:1 buffer A). Homogenates were centrifuged at 14,000× *g* for 10 min, and supernatants were filtered (0.22 µm). For GSH determination, OPA was added to the supernatant where it formed an isoindole with GSH that could be fluorometrically detected. To quantify GSSG levels, extra steps were performed: (1) GSH neutralization by mixing supernatants with NEM (7.5 mM), and (2) GSSG levels were reduced to GSH with 100 mM of sodium hydrosulfite; finally, GSSG was detected as GSH for its quantification as an isoindole when adding OPA. The final product was quantified fluorometrically at 370 nm excitation and 420 nm emission in a Synergy HTX microplate reader spectrophotometer (BioTek Instruments, Winooski, VT, USA). GSH and GSSH levels were expressed as nmoles/g of tissue.

#### 2.7. Lipoperoxidation

Brain tissue was used to evaluate lipid peroxidation through thiobarbituric-acidreactive species (TBA-RS). Briefly, tissues were homogenized in Buffer Krebs (NaCl (19 mM), KCl (5 mM), CaCl<sub>2</sub> (2 mM), MgSO<sub>4</sub> (1.2 mM), glucose (5 mM), NaH<sub>2</sub>PO<sub>4</sub> (13 mM), and Na<sub>2</sub>HPO<sub>4</sub> (3 mM); pH 7.4). A total of 250 µL of TBA solution (100 mL: 2.54 mL HCl, 0.375 g of TBA, and 15 g of trichloroacetic acid (TCA)) were mixed with 125 µL of homogenate, in a final volume of 500 µL. Then, these homogenates were boiled for 15 min. Subsequently, they were placed on ice for 5 min and centrifugated  $12,000 \times g$  for 5 min at 4 °C. Finally, MDA-TBA chromogen was determined at 532 nm using a microplate reader (Synergy<sup>TM</sup> HTX, Biotek Instruments, Winooski, VT, USA).

#### 2.8. Kynurenic Acid Determination

KYNA levels were quantified fluorometrically (344 nm excitation and 398 nm emission) in a Series 200a detector (Perkin Elmer, Waltham, MA, USA). A total of 50  $\mu$ L of supernatants were isocratically eluted at a flow rate of 1 mL/min onto a 3  $\mu$ m C18 reverse-phase column (ZORBAX Eclipse XDB 5  $\mu$ m, 4.6 mm  $\times$  150 mm; Agilent, Santa Clara, CA, USA), using a mobile phase consisting of 50 mM sodium acetate, 250 mM zinc acetate, and 3% acetonitrile; the pH 6.2. KYNA had a retention time of ~7 min.

#### 2.9. Kynurenine Aminotransferase Activity

KAT II activity was evaluated in brain tissue homogenized 1:10 in homogenization buffer (Tris-base acetate buffer (0.5 M, pH 8), pyridoxal phosphate (P5P, 50 mM), and 2-mercaptoethanol (775  $\mu$ L)). A total of 100 microliters of homogenates were mixed with 100  $\mu$ L of the reaction cocktail, consisting of L-Kyn (100  $\mu$ M), pyruvate (1 mM), and P5P (80  $\mu$ M) in Tris-acetate buffer (150 mM, pH 7.4), and then incubated for 2 h at 37 °C in a shaking bath. A total of 20 microliters of trichloroacetic acid (50%) plus 1 mL of HCl (0.1 M) were added to samples to stop the reaction. Then, samples were centrifuged at 14,000× *g* for 10 min. KYNA, the enzymatic product of KAT II, was detected by fluorescence, as mentioned previously.

#### 2.10. Glutamate Quantification

Briefly, brain tissue was homogenized in 40 volumes of 85% methanol with a Teflon homogenizer. The homogenates were centrifuged at  $4000 \times g$  for 10 min at 4 °C. The supernatant was used to perform derivatization with OPA (50%/50%). The samples were eluted on a C18, 3 µm column (ZORBAX Eclipse XDB 5 µm, 4.6 × 150 mm; Agilent, Santa Clara, CA, USA) with a mobile phase consisting of 0.29% glacial acetic and 1.5% de tetrahydroflurane at pH 5.9. Glutamate levels were quantified using a reverse-phase HPLC method with a fluorescence detector (Perkin Elmer series 200a, Waltham, MA, USA) at an excitation wavelength of 390 nm and emission wavelength of 460 nm.

#### 2.11. Protein Quantification

The Lowry method was used to quantify protein levels. Briefly, 10  $\mu$ L homogenate (1:20 v/v with water) was used, C solution (1 mL, A + B solutions) was added (A (sodium tartrate (0.2%), Na<sub>2</sub>CO<sub>3</sub> (2%) and NaOH (0.4%)) and B (Cu(SO<sub>4</sub>)<sub>3</sub> (0.5%)), and then samples were incubated at room temperature for 10 min. Then, 100  $\mu$ L of Folin's solution (50% v/v with water) was added. Samples were mixed and incubated at room temperature for 30 min. Synergy<sup>TM</sup> HTX microplate reader (Biotek Instruments, Winooski, VT, USA) was used to determine the absorbance at 550 nm.

#### 2.12. Statistical Analysis

All data were expressed as the mean  $\pm$  SEM. Comparisons between groups were performed using the Kruskal–Wallis test with Dunn's test for multiple pairwise comparisons. Pairwise comparisons of novel object-recognition-test data were analyzed using the Wilcoxon signed-rank test. Spearman's correlation was used to assess the association between variables. Statistical significance was set at *p* < 0.05. All statistics were calculated with Graph Prism 9.1.0. (GraphPad, San Diego, CA, USA).

#### 3. Results

We had previously observed that mice exposure to Pb<sup>2+</sup> during the lactation period induced cognitive impairment in adulthood, along with a rise in brain KYNA levels [24]. Taking into consideration that NAC is a modulator of KYNA production due to its KAT II inhibitory activity, we decided to test it as a tool to prevent the cognitive impairment induced by this heavy metal. Using the same experimental Pb<sup>2+</sup> exposure protocol during the lactation period, the strategy consisted of co-administering NAC with Pb<sup>2+</sup> and then

evaluating the cognitive performance, glutamate, and redox environment, as well as the KYNA levels and KAT II activity.

#### 3.1. NAC Attenuates Memory Dysfunction Induced by Pb<sup>2+</sup> Exposure during Early Life

The first step was to use the BFLT to evaluate the cognitive performance of the adult mice (60 PNDs) that were exposed to Pb2+ alone or in combination with NAC during the lactation period. The acquisition session (Figure 1A,B) consisted of training mice to learn where the buried food was located. We found no significant differences between the experimental groups during the training session. However, in the Pb<sup>2+</sup> group, it was observed that the animals showed a less pronounced tendency to learn the target location, since their final time during training was not reduced as markedly as in the other groups, which possibly suggested an impairment in the learning process. Interestingly, the NAC+Pb<sup>2+</sup> group behaved more similarly to the controls than to the Pb<sup>2+</sup> group. Furthermore, when long-term memory was evaluated (24 h after training), mice of the  $Pb^{2+}$  group took almost the same time (45  $\pm$  8 s) and travel distance to reach the target  $(156 \pm 37 \text{ cm})$  as before training (first trials of the acquisition phase), while the control group had similar times to those observed during the last trials of the acquisition phase (9.3  $\pm$  2.4 s and  $59.9 \pm 2.5$  cm, respectively), confirming that the early postnatal exposure to this heavy metal induced cognitive impairment. The NAC group showed no changes, as compared to the control group, when assessing long-term memory. For those administered with Pb<sup>2+</sup> and NAC simultaneously, they showed improved long-term memory performance by reducing the time and distance required to reach the target ( $12 \pm 2.2$  s and  $65.7 \pm 8.5$  cm, respectively), as compared to the Pb<sup>2+</sup> group (Figure 1C and 1D, respectively).



**Figure 1.** Effect of NAC administration on memory impairment induced by Pb<sup>2+</sup> exposure. Learning (**B**) and memory (time to reach the target) (**C**) and distance to reach the target (**D**) were evaluated through the buried food location test (**A**) in all experimental groups at 60 PNDs. Data are the mean  $\pm$  SEM (n = 8–10); \* *p* < 0.01, \*\* *p* < 0.001, and \*\*\* *p* < 0.0001, based on the Kruskal–Wallis test with Dunn's test for pairwise comparisons.

The novel object recognition (NOR) test was another strategy used to evidence the NAC effect on the cognitive impairment induced by  $Pb^{2+}$  exposure. This test was based on the innate preference of the mice for novelty [49]. We first confirmed that mice from all the groups spent an equal amount of time exploring two identical objects, A and A' (Figure 2, sample phase). Next, when the short-term memory was evaluated, all groups except for the  $Pb^{2+}$  group showed that the mice were able to distinguish the novel object from the familiar one, while the  $Pb^{2+}$  group was unable to discriminate between both objects though they had the same exposure in the sample phase (Figure 2, short-term memory (STM)). When the long-term memory (LTM) was evaluated, as expected, the control group explored the novel object for longer periods of time than the familiarized object as evidenced by a significant increase in the discrimination index, while the  $Pb^{2+}$  group could not discriminate between objects (Figure 2, LTM). The long-term memory impairment induced by  $Pb^{2+}$  exposure was abolished by the simultaneous administration of NAC, indicating that NAC had prevented deficits in long-term memory.



**Figure 2.** Effect of simultaneous administration of NAC and Pb<sup>2+</sup> during lactation on the evaluation of short-term memory (STM) and long-term memory (LTM) through novel object recognition test. The recognition index (time exploring novel object/time exploring both objects × 100) was calculated for 8–10 animals per group. The data represent mean  $\pm$  SEM; \* p < 0.05 between the novel and familiar objects for each group and phase based on the Wilcoxon signed-rank test; and <sup>#</sup> p < 0.001 vs. Pb<sup>2+</sup> based on the Kruskal–Wallis test with Dunn's test for pairwise comparisons.

#### 3.2. Fluctuations in Brain KYNA Levels Are Prevented by NAC Administration

As previously described, the cognitive impairment induced by the Pb<sup>2+</sup> administration correlated with an elevation of the brain KYNA levels; here, we aimed to examine whether NAC could prevent Pb<sup>2+</sup>-induced cognitive impairment by reducing the brain KYNA levels inhibiting KAT II activity. Therefore, we first determined the effect of NAC on the KYNA levels and the KAT II activity in those adult mice (60 PNDs) that were exposed to Pb<sup>2+</sup> during lactation. As shown in Figure 3A, no significant changes in the KAT II activity were observed in the NAC and Pb<sup>2+</sup> groups, as compared to the controls. Conversely, in the NAC+Pb<sup>2+</sup> group, a significant reduction (around 40%) in the KAT II activity was found in the brain tissue. As expected, in the Pb<sup>2+</sup> group, the brain KYNA levels increased (about 2-fold), as compared to the control group, whereas a non-significant reduction was found in the brain KYNA levels in the NAC group. Furthermore, the increase in the brain KYNA levels induced by Pb<sup>2+</sup> exposure was prevented by NAC treatment, and this effect could have been partially due to the KAT II inhibition shown in the same group.



**Figure 3.** Effect of simultaneous administration of NAC and Pb<sup>2+</sup> on KAT II activity and brain KYNA levels in mice at 60 PNDs. NAC and Pb<sup>2+</sup> were administrated during the lactation period; after that, the animals were administrated tap water and a standard mice diet until 60 PNDs. The brain cortex was used to evaluate KAT II activity (**A**) and KYNA levels (**B**). Data represents mean  $\pm$  SEM of 7–10 animals per group. \* *p* < 0.01, \*\* *p* < 0.001, and \*\*\* *p* < 0.0001 based on the Kruskal-Wallis test with Dunn's test for pairwise comparisons.

Based on our data that NAC could prevent the cognitive impairment induced by Pb<sup>2+</sup>, we speculated if the pro-cognitive effect exerted by NAC on Pb<sup>2+</sup>-toxicity was directly correlated to the brain KYNA-level modulation. To answer this question, we analyzed the learning and memory performance parameters (time and distance to reach the target) obtained from the BFLT results of all the groups with their respective brain KYNA levels (Figure 4). A positive association between the brain KYNA levels and the long-term memory performance was found, thus suggesting that NAC improved cognitive performance, at least in part, by modulating the brain KYNA levels.



**Figure 4.** Correlation between long-term memory and brain KYNA levels. The lower triangular matrix contains the scatterplot for each pair of variables for all groups (Control: black circle; NAC: green circle; Pb<sup>2+</sup>: red triangle; and NAC+Pb<sup>2+</sup>: blue triangle). The upper triangular matrix contains the Spearman's rank correlation coefficient (r) and its associated *p*-value (*p*) (n = 7–10 per group).

# 3.3. Effect of NAC Co-Administration on Brain Glutamate Level Fluctuations Induced by $Pb^{2+}$ Exposure

We had confirmed the involvement of KYNA as a part of the mechanisms by which  $Pb^{2+}$  exposure induces cognitive impairment. We also knew that this metabolite was a neuromodulator of glutamatergic transmission since previous in vivo studies had indicated that in the hippocampus and prefrontal cortex, where KYNA had decreased glutamate levels, presumably via the inhibition of  $\alpha$ 7 nicotinic receptors (nAChRs) [32,33]. As shown in Figure 5, the  $Pb^{2+}$  group showed a trend of decreasing glutamate levels while NAC itself did not have any effect on the glutamate levels. Interestingly, the simultaneous administration of NAC with  $Pb^{2+}$  exposure had increased the glutamate levels, as compared to the  $Pb^{2+}$ , suggesting that NAC had prevented a reduction in the marginal glutamate levels induced by  $Pb^{2+}$ .



**Figure 5.** Effect of NAC on glutamate levels when exposed to  $Pb^{2+}$  during lactation. Brain levels of glutamate were evaluated in all the experimental groups at 60 PNDs. Data represent mean  $\pm$  SEM of 4–5 animals per group. \*\* p < 0.001 based on the Kruskal-Wallis test with Dunn's test for pairwise comparisons.

#### 3.4. Redox Environment Alteration Induced by Pb<sup>2+</sup> Exposure Is Prevented by NAC Administration

In addition, since the KYNA formation could be carried out via non-canonical pathways that involved a cellular redox state, we expected that the pro-oxidant effects of Pb<sup>2+</sup> would increase the amount of ROS during Pb<sup>2+</sup> administration and, confirming our previous data, induce non-enzymatic KYNA production [50,51]. Comparatively, here, our hypothesis was that NAC treatment, given its antioxidant profile during Pb<sup>2+</sup> administration, would reduce the concentration of these ROS and, thus, the non-enzymatic production of KYNA. To address this objective and considering the short half-life of these ROS, we assessed a GSH/GSSH ratio and lipid peroxidation levels across all experimental groups (Figure 6A and 6B, respectively). The GSH/GSSG ratio was marginally reduced in the Pb<sup>2+</sup> group (around 38%) while the simultaneous administration of Pb<sup>2+</sup> with NAC increased the GSH/GSSG ratio, as compared to the Pb<sup>2+</sup> group. Upon evaluating the lipid peroxidation, a prominent oxidative stress marker, the Pb<sup>2+</sup> group exhibited an approximately twofold increase, as compared to the control group. However, this oxidative upsurge was effectively neutralized when NAC was administered in conjunction with Pb<sup>2+</sup>, underscoring NAC's potential in mitigating the Pb<sup>2+</sup>-induced oxidative stress and its consequent impact on the cellular redox environment and the non-enzymatic KYNA production.



**Figure 6.** Effect of NAC in the pro-oxidant environment induced by Pb<sup>2+</sup>. Brain levels of GSH/GSSG ratio (**A**) and lipid peroxidation (**B**) were evaluated in all the experimental groups at 60 PNDs. Data represent mean  $\pm$  SEM of 4–5 animals per group. \* p < 0.01 and \*\* p < 0.001 based on Kruskal-Wallis test with Dunn's test for pairwise comparison.

#### 4. Discussion

The goal of the present study was to investigate whether the NAC modulation of the brain KYNA levels could mitigate the cognitive impairment induced by  $Pb^{2+}$  exposure during early postnatal life. This approach on the modulation of brain KYNA levels was based on our previous findings that had shown a correlation between a pronounced increase in the brain KYNA levels and the  $Pb^{2+}$ -induced long-term memory impairment during the lactation period, in a mouse model [24].

The important role of KYNA on neurotransmission has been extensively demonstrated in different rodent models; hence, we now know that KYNA influences GABAergic, cholinergic, glutamatergic, and dopaminergic neurotransmission [25,36–38,52]. However, among this range of neuromodulatory functions, the effect of KYNA on glutamatergic neurotransmission is the most thoroughly understood. Experimental evidence has shown that fluctuations in the brain KYNA levels substantially reduced the extracellular glutamate levels in the different brain areas, including those related to cognitive processes, such as the hippocampus and the prefrontal cortex [27,32,33]. In fact, KYNA has been used as a tool in several experimental models to reduce or block glutamate-mediated neurotransmission. Specifically, experimental manipulations to increase the brain levels of KYNA have shown that nanomolar or low micromolar elevations of this metabolite induced a wide range of cognitive impairments, including disrupting hippocampus-mediated contextual learning and memory; working memory; and contextual fear memory [32,53]. In addition to pharmacological manipulations, some exogenous stimuli have been known to induce an increase in the brain KYNA levels. Similarly, we previously demonstrated that Pb<sup>2+</sup> exposure during lactation had increased the brain levels of KYNA when evaluated at 23 PNDs and 60 PNDs [24]. Our data suggested that the elevation in the KYNA levels could be a mechanism by which Pb<sup>2+</sup> exposure during lactation induced cognitive impairment in adult mice (60 PNDs). Thus, if the elevated brain KYNA levels were a key mechanism in the induction of cognitive deficits, reducing the KYNA levels in this same model could prevent the observed alterations in learning and memory during adulthood.

To reduce the KYNA levels, we decided to use NAC as a pharmacological tool, as this compound had been previously shown to inhibit the main enzyme for KYNA synthesis, KAT II [39]. Therefore, to address the effect of reducing the KYNA levels by NAC on  $Pb^{2+}$ -induced cognitive deficits, we first confirmed that  $Pb^{2+}$  induced long-term memory alterations in adult mice when exposed during lactation. As expected, when NAC was co-administered with  $Pb^{2+}$ , it successfully mitigated the  $Pb^{2+}$ -induced cognitive impairment in both cognitive tests performed. The next question was to investigate whether this cognitive improvement could be related to a reduction in the brain KYNA levels modulated by NAC.

Under our experimental conditions and as we had previously shown, Pb<sup>2+</sup> exposure during lactation induced a substantial increase in KYNA levels when the brain tissue was examined after the cognitive testing at 60 PNDs. This increase was successfully prevented by the NAC administration, as shown in the brain tissue of those animals co-administered with NAC during Pb<sup>2+</sup> exposure. In this group, KAT II activity was significantly reduced in the brain tissue; this could partly explain the reduction in the KYNA levels. Moreover, when we analyzed Pb<sup>2+</sup> and NAC groups separately, no significant effect on the KATII activity was shown [24]. In the case of the NAC+Pb<sup>2+</sup> group, the potential explanation for our findings was that NAC had previously been demonstrated to reduce glial cell activation, mainly reactive astrocytes, which were the major cells expressing KAT II [54]. Accordingly, in cultured astrocytes, it has been shown that GFAP, a protein marker for reactive astrocytes, was not as overexpress after Pb<sup>2+</sup> treatment as it was in response to Pb<sup>2+</sup>-induced neuronal damage, indicating that astrogliosis was more likely a secondary reaction to this event [55]. Therefore, if neuronal damage was prevented, astrogliosis would also be reduced. Additionally, NAC has been observed to promote neuronal differentiation, thereby potentially improving the neuron/glia ratio, which could have subsequent effects on the KAT II activity due to its cellular distribution [56]. In the NAC-only group, it was also important to consider that the observed effect on the KAT II activity was measured

30 days after the cessation of the NAC treatment; we could not rule out a direct inhibitory effect on KAT II in this experimental group during the NAC administration (lactation). Future experiments addressing the activity of this enzyme at different times of the treatment should be performed to determine the mechanism of the KYNA reduction by NAC more precisely under these basal conditions.

Therefore, these results not only confirmed that the NAC administration during the lactation period could efficiently prevent an increase in the brain KYNA levels induced by Pb<sup>2+</sup> exposure, but that this effect was also sustained into adulthood, where it translated into the ameliorating cognitive impairments observed in the Pb<sup>2+</sup> group. This was consistent with the experimental evidence showing that KAT II inhibition, pharmacologically or by genetic manipulation (i.e., KATII knockout mice), had pro-cognitive effects [32,57]. Moreover, consistent with our findings, it was reported that NAC supplementation had prevented cognitive impairment associated with transient increases in the brain KYNA levels [46].

The detrimental cognitive effects of increased brain KYNA during gestational or early postnatal stages have been believed to stem from its ability to modulate glutamatergic neurotransmission [29]. Glutamate, the predominant excitatory neurotransmitter in the CNS, mediated 70–90% of synaptic transmission and played a vital role in learning and memory, as well as synaptic plasticity [58,59]. As mentioned previously, there has been plenty of evidence suggesting that elevated brain KYNA reduced glutamate levels (around 30–50%) [27,32,33], as observed in this study where postnatal Pb<sup>2+</sup> exposure resulted in elevated brain KYNA levels while the glutamate in the brain tissue decreased. The NAC administration had normalized both the brain KYNA and glutamate fluctuations induced by Pb<sup>2+</sup>. Our results also confirmed that the pro-cognitive effects of the KYNA reduction induced by NAC preserved the glutamate levels in the brain tissue, thus allowing the prevention of the alterations in neurotransmission and, consequently, in the cognitive performance impairments related to the excessive Pb<sup>2+</sup>-induced KYNA production. On the other hand, it is noteworthy to mention that most of the pro-cognitive experimental manipulations of KYNA had been described under physiological conditions. Here, we described that the reduction in the KYNA levels, even within the context of Pb2+-induced neurotoxicity, could be beneficial for cognitive processes. Furthermore, if we considered that the detrimental effects of  $Pb^{2+}$  exposure on the CNS have been well documented, particularly when exposure had occurred in early life, which is a critical period for establishing proper communication between brain cells [60–62], the pro-cognitive effect induced by the KYNA manipulation in the lactation period during Pb<sup>2+</sup> exposure was more relevant. This kind of modulation could also potentially be translatable to humans since the prenatal concentrations of KYNA in the human brain are much higher than during adulthood, suggesting a key role as a direct modulator of glutamatergic neurotransmission in the developing brain [63].

Separately, we should mention that NAC is also a modulator of the redox environment [64,65]. This antioxidant profile was relevant within the context of non-canonical production of KYNA, where its synthesis was promoted by the direct interaction of its precursor, L-kynurenine, with ROS and free radicals [50]. Given that Pb<sup>2+</sup> exposure has been known to promote oxidative stress by increasing ROS and disrupting the antioxidant balance, it is conceivable that KYNA could be produced via this non-canonical pathway. To verify whether these pro-oxidants conditions were promoting this pathway, we evaluated markers of oxidative stress, including lipid peroxidation and a GSH/GSSG ratio. As anticipated, NAC prevented the Pb<sup>2+</sup>-induced lipid peroxidation and significantly increased the GSH/GSSG ratio, as compared to the Pb<sup>2+</sup> group. These findings suggested that NAC helped to mitigate the ROS levels, consequently reducing the likelihood of KYNA being produced through the non-canonical pathway.

In this context, it had been observed that the pre-treatment with NAC before exposure to a pro-oxidant agent enabled brain tissue to better withstand oxidative damage, as compared to when no supplementation had been provided [46]. This indicated that subchronic NAC supplementation may prime or adapt the brain environment to better cope with subsequent adverse effects. Consistent with this, the simultaneous administration of NAC and Pb<sup>2+</sup> resulted in reduced brain malondialdehyde levels (around 54% vs. Pb<sup>2+</sup>), the upregulation of SOD gene expression, the downregulation of cell-death-related genes, and the improvement of the GSH/GSSG ratio [66,67]. Furthermore, in vitro studies had demonstrated that NAC had the capacity to bind Pb<sup>2+</sup> [68,69]. This interaction may have contributed to the elimination of Pb<sup>2+</sup> through feces and urine [70], providing another mechanism through which NAC exerted its protective effects against Pb<sup>2+</sup>-induced oxidative stress and the subsequent KYNA production.

It is crucial to note that both cognitive performance and biochemical test were evaluated as the long-term effects of early-life KYNA modulation. As we mentioned previously, early-life Pb<sup>2+</sup> exposure disrupts several essential brain processes [60–62]. Previous studied had shown that mice exposed to Pb<sup>2+</sup> during early life exhibited increased brain KYNA levels and, later, demonstrated cognitive impairments in adulthood [24]. This suggested that even mild increases in the brain KYNA levels, initiated by Pb<sup>2+</sup> exposure in the earliest postnatal days, may interfere with the establishment of neural networks. In our study, the NAC supplementation prevented the  $Pb^{2+}$  -induced increases in the brain KYNA, correlating with improved cognitive performance following Pb<sup>2+</sup> exposure. These findings indicated that KYNA production was a mechanism through which Pb<sup>2+</sup> induced neurotoxicity and highlighted KYNA modulation as a significant pathway through which NAC exerted its pro-cognitive effects. While numerous studies have underscored the protective effects of NAC in cognitive dysfunction across various experimental models and human pathologies, often attributing these benefits to its antioxidant or anti-inflammatory properties [71–74], our study confirmed KYNA modulation as a crucial mechanism [46], even in a pro-oxidant context, underlying NAC's pro-cognitive effects.

As detailed in this paper, numerous studies have sought to unravel the effects of NAC on  $Pb^{2+}$  neurotoxicity [67,75–77]. These studies collectively highlighted NAC's ability to improve cellular redox status, mitigate inflammatory responses, improve mitochondrial bioenergetics, support neurotransmission, and protect against Pb<sup>2+</sup>-induced neuronal cell death. Consequently, a pertinent question arose: could the KYNA modulation observed in this study be a secondary effect of NAC's influence on these various factors? In our research, we have established a direct correlation between KYNA levels and cognitive outcomes, underscoring KYNA's significant role in the neurotoxicity triggered by Pb<sup>2+</sup> exposure. Given that NAC has demonstrated efficacy in counteracting the factors that influence KYNA formation, it is plausible that NAC could hinder certain pathways leading to the synthesis of this tryptophan metabolite. However, it is important to acknowledge a limitation of our study: NAC is not a specific inhibitor of KAT II. This non-specificity makes it challenging to definitively ascertain how NAC precisely modulates only brain KYNA levels. Despite this limitation, our findings clearly indicated that NAC reduced KYNA levels, and this reduction was associated with cognitive improvements in the presence of Pb<sup>2+</sup>. Another notable limitation was that all the parameters evaluated in this study were determined long-term, at 60 PNDs, following the cessation of both the Pb<sup>2+</sup> exposure and the NAC treatment, at 23 PND. Future studies should aim to investigate KYNA modulation's impact, both during and immediately after Pb<sup>2+</sup> exposure.

#### 5. Conclusions

The comprehensive results of our study underscored the pivotal role of brain KYNA in mediating cognitive impairments induced by Pb<sup>2+</sup> exposure during early life. We have established a direct correlation between elevated KYNA levels and compromised cognitive function, highlighting the neurotoxic potential of this tryptophan metabolite when dysregulated by heavy metal exposure. Also, our findings pave the way for further exploration of NAC as a multifaceted therapeutic agent, particularly in scenarios where dysregulated KYNA levels are implicated in cognitive impairments. The potential for NAC to modulate KYNA production, alongside its well-documented antioxidant and

anti-inflammatory properties, positions it as a valuable candidate for intervention in a spectrum of cognitive disorders.

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Abstract: Traumatic brain injury (TBI) is a major global health problem that affects both civilian and military populations worldwide. Post-injury acute, sub-acute, and chronic progression of secondary injury processes may contribute further to other neurodegenerative diseases. However, there are no approved therapeutic options available that can attenuate TBI-related progressive pathophysiology. Recent advances in preclinical research have identified that mitochondria-centric redox imbalance, bioenergetics failure and calcium dysregulation play a crucial role in secondary injury progression after TBI. Mitochondrial antioxidants play an important role in regulating redox homeostasis. Based on the proven efficacy of preclinical and clinical compounds and targeting numerous pathways to trigger innate antioxidant defense, we may be able to alleviate TBI pathology progression by primarily focusing on preserving post-injury mitochondrial and cerebral function. In this review, we will discuss novel mitochondria-targeted antioxidant compounds, which offer a high capability of successful clinical translation for TBI management in the near future.

Keywords: traumatic brain injury; mitochondria; free radicals; oxidative stress; cell death; antioxidants; therapeutics; neuroprotection

# 1. Introduction

Traumatic brain injury (TBI) is caused by a mechanical blow, penetration, bump, or jolt to the head subsequently leading to tissue and cellular damage, and ultimately resulting in alteration of physiological and behavioral functions. TBI represents a major contributor to morbidity and mortality amongst civilian and military populations across the world. There were over 69,000 TBI-related deaths in the United States alone in 2021, accounting for about 190 deaths per day [1]. TBI also has a big global impact, with annual TBI incidence estimated to be 27 to 69 million [2,3]. These injuries have both short-term and long-term effects on individuals, their families, and society and their financial cost is enormous. Many survivors live with significant disabilities, resulting in major socioeconomic burden. The economic impact of TBI in the United States is estimated to be about USD 76.5 billion for survivors [4,5]. Clinically, TBI is categorized as mild, moderate, or severe injury based on the Glasgow Coma Scale (GCS) scores range between 3 to 15, with a lower score indicating more severe brain damage and a poorer prognosis. The GCS describes the level of consciousness of an individual after acute brain trauma [6,7]. Nevertheless, across all TBI severities, the consequences of TBI may lead to long-term disability, including cognitive and motor function limitations/impairments, and decreased psychosocial health.

TBI-induced neuronal tissue damage manifests in primary and secondary injuries. The primary injury stems from the initial mechanical impacts to the brain [8]. The primary

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**Copyright:** © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). injury is considered an irreversible injury resulting from brain tissue compression, displacement, stretching, shearing, tearing, crushing of the brain parenchyma, brain hemorrhage, and blood–brain barrier (BBB) disruption [8]. Following the primary mechanical insult, the downstream sequelae of molecular events activate complex secondary injury pathophysiological cascades such as excitotoxicity, intracranial hypertension, edema, elevated calcium, metabolic dysregulation, mitochondrial dysfunction (energy crisis, antioxidant depletion, and free-radical generation), inflammation, and ischemic injury, which occur at the acute (i.e., minutes to hours) and sub-acute (i.e., hours to weeks) phases of progressive TBI [9–12]. Consequently, brain functions are first disrupted at the injury site and subsequently disrupted at distal interconnected regions. Despite the advancements in TBI research, the precise mechanisms leading to the progression of TBI pathophysiology are yet to be fully elucidated.

The chronic progression of post-TBI secondary injury responses (i.e., weeks to years) further affects TBI patients' neuronal ability to maintain their long-term physiological and behavioral functions. TBI progression is linked to the etiology of many neurodegenerative diseases such as Alzheimer's disease (AD), Amyotrophic lateral sclerosis (ALS), Huntington's disease (HD), Multiple sclerosis (MS), and Parkinson's disease (PD) [13]. However, the specific epidemiological factors and pathophysiological mechanisms that underlie this association between TBI and specific neurodegenerative pathologies remain unclear. Notably, reports indicate a 63–96% increased risk of all-cause dementia following TBI [14]. Additionally, the risk of PD may go up by at least 1.8 times following moderate to severe TBI [14,15]. Meta analysis indicates an increased risk of ALS following TBI [16]. Additionally, patients with TBI have a higher risk of developing MS [17]. Furthermore, a World War II study suggested that early-adulthood TBI increases the likelihood of developing AD later in life by 2.3 to 4.5 times, respectively, for moderate and severe injuries [14,15]. These reports suggest that TBI is a major risk factor for the onset of neurodegenerative disorders in later life (Figure 1).

**Primary Insult** 



#### Secondary Pathophysiology

| Acute   | Sub-Acute   | Chronic   |
|---|---|---|
| Minutes to Hours  | Hours to Weeks  | Weeks to Years  |
| Excitotoxicity     Energy Crisis     Mitochondrial dysfunction     Antioxidants depletion     Increase ROS     Inflammation | <ul> <li>Edema</li> <li>Intracranial Pressure</li> <li>Mitochondrial dysfunction</li> <li>Metabolic Failure</li> <li>ROS induced damage</li> <li>Apoptosis</li> </ul> | <ul> <li>Motor and cognitive<br/>deficits</li> <li>Neurological and<br/>behavioral sequels</li> <li>Neurodegenerative<br/>diseases: PD, AD</li> </ul> |

**Figure 1.** Progressive pathology of TBI. The primary mechanical impact may lead to brain damage in the form of brain hemorrhage, blood–brain barrier (BBB) breakdown and synapse loss, thereby subsequent secondary injury processes beginning immediately after post-injury, and sometimes may sustained over lifetime. During these acute (minutes to hours) and sub-acute (hours to weeks) processes, mitochondria-centric mechanisms play a key role in the further progression of TBI pathology. During the chronic (weeks to years) period of secondary brain injury, neurological behavior deficits in terms of cognitive and motor functions are evident, and may further contribute to neurological diseases such as AD, PD, HD, ALS and MS.

Much of our understanding of the pathobiology of TBI has arisen from animal models that simulate features of human TBI. Multiple preclinical TBI models, including models of penetrating traumatic brain injury (PTBI), controlled cortical impact (CCI) injury, blast-induced traumatic brain injury (BTBI) and closed head injury (CHI) have ascertained that mitochondrial dysfunction is a common and immediate indicator of cellular damage [18–21] that may even play a critical role in secondary excitotoxic post-injury events. Several detailed previous reports have highlighted preclinical models and cellular mechanisms of TBI [22–30]. Mitochondria-centered cellular mechanisms involve calcium homeostasis, energy homeostasis, and redox homeostasis. Their imbalance subsequently may prompt downstream cellular processes such as cell death pathways and neuronal death and alter behavior outcomes in TBI.

Mitochondria are key organelles in all eukaryotic cells and play a central role in cellular energy homeostasis through the metabolism of carbohydrates, fats, and/or proteins. Brain cells manage higher cellular energy (i.e., adenosine triphosphate, ATP) demands by oxidizing their metabolic substrates through respiration and oxidative phosphorylation. Mitochondrial dysfunction following TBI has been shown to be devastating for neuronal cell survival [31–34]. Several time-course studies of mitochondrial bioenergetics in preclinical models of TBI have suggested that mitochondrial energy failure is the key pathological event that is initiated immediately (e.g., within 30 min) and remains evident for up to 2 weeks after injury [11,12,33–36].

Interestingly, under normal physiological conditions, intracellular calcium levels are modulated by mitochondria to maintain cellular homeostasis at a certain threshold; however, rapid increase in cellular calcium following TBI may lead to excitotoxicity. Under physiological conditions during ATP production, mitochondria also maintain calcium homeostasis and regulate mitochondrial permeability transition (MPT) pore formation. Several reports have identified impaired mitochondrial calcium-buffering capacity and early mitochondrial MPT opening following acute and sub-acute phases of TBI [37]. Therefore, mitochondrial MPT is considered as the "biological on/off switch" that determines the fate of cells in response to noxious excitotoxic stimuli [38–41].

Additionally, mitochondrial dysfunction in response to secondary injury elevates the oxidative stress response. Post-TBI oxidative damage leads to structural functional alteration in cellular and subcellular components. This, coupled with the impairment of mitochondrial bioenergetics, initiates a vicious cycle of free-radical formation and apoptosis. In this review, we evaluate the detailed mechanisms of mitochondrial redox homeostasis and discuss potential antioxidant strategies to mitigate oxidative damage following TBI. The aim of this review is to provide a comprehensive overview of antioxidant therapy for TBI to the scientific research community, categorized into different classes, and systematically discussed in the following sections.

#### 2. Mitochondrial Redox Mechanisms in TBI

Mitochondria are vital organelles present in all eukaryotic cells, that consume approximately 98% of body's total oxygen supply. Efficiently utilizing this oxygen, mitochondria produce energy through oxidative phosphorylation processes linked by respiration via the electron transport chain (ETC) complex proteins. In normal physiological conditions, oxygen slippage estimated from 3 to 5% can occur during the utilization of oxygen in the mitochondrial ETC complexes I and III [42-44]. This oxygen slippage results in the generation of the superoxide radical (O2<sup>•-</sup>), a highly reactive unstable singlet oxygen, which, in turn, can lead to the production of other reactive oxygen species (ROS). Mitochondria also contain antioxidants to manage elevated levels of free radicals as part of normal repair mechanisms. Highly reactive superoxide (O2 •-) is rapidly converted into less-reactive ROS, hydrogen peroxide ( $H_2O_2$ ) by superoxide dismutase (SOD), which is then further decomposed/neutralized into water by catalase (CAT) or peroxiredoxin (Prx) or thioredoxin (Trx) complex enzyme systems. However, O2 •- also generates hydroxyl (•OH) radicals through the Fenton reaction, which can be further converted to peroxynitrite (ONOO<sup>-</sup>), and subsequently other reactive nitrogen species (RNS) such as nitrogen dioxide and peroxynitrous acid (ONOOH). Normally, mitochondria maintain redox homeostasis with antioxidant activities to scavenge ROS–RNS [45,46]. However, under pathophysiological conditions, elevated levels of ROS-RNS have been observed as early as 30 min after TBI [47,48].

Moreover, these harmful ROS–RNS molecules can further oxidize and damage cellular proteins, lipids, nucleic acids, and extracellular matrix components. The oxidized protein adducts, 3-nitrotyrosine (3-NT), protein carbonylation (PC), and the lipid peroxidation adduct 4-hydroxynonenal (4-HNE) are the hallmarks of peroxynitrite-mediated oxidative stress. Ad-

ditionally, ROS–RNS may further induce nuclear and mitochondrial DNA damage and affect gene expression responses. ROS–RNS overproduction may inducedamage to ETC subunits, which may further exaggerate the vicious cycle of mitochondrial dysfunction.

Following TBI, higher redox footprints with respect to elevated free radicals (ROS– RNS), together with altered lipid, protein, and DNA adducts have been observed during the acute and sub-acute phases of secondary injury. If not mitigated, these elevated redox mediators may further contribute to other chronic neurological disease pathologies. The protective antioxidant defense system has potential to mitigate the TBI-induced oxidative stress response is further discussed in detail (Figure 2).



**Figure 2.** Generation and scavenging of reactive oxygen species (ROS) using the antioxidant defense system. Electrons released from the mitochondrial ETC and produced by NADPH oxidases are the major source of endogenous reactive oxygen species. The oxidative stress generated via this mechanism can be countered via the antioxidant defense system. Oxygen (O<sub>2</sub>) is reduced to superoxide (O<sub>2</sub>•<sup>-</sup>), which can be reduced to hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) by superoxide dismutase (SOD). Nitric oxide radicals (•NO) form the potent oxidant peroxynitrite (ONOO<sup>-</sup>) following reaction with  $O_2$ •<sup>-</sup>. The H<sub>2</sub>O<sub>2</sub> can undergo the Fenton reaction and transformed into hydroxyl radicals (•OH) or reduced to water (H<sub>2</sub>O) by catalase (CAT), or the glutathione (GSH)/glutathione peroxidase (GPx) or peroxiredoxin (Prx) systems. The oxidized form of thioredoxin (Trx) is reduced back by the reaction with Trx reductase (TrxR), while that of Grx is reduced back by GSH and terminal NADPH oxidation. An oxidized GSH (GSSG) is reduced back to two GSH molecules through the enzymatic reaction of GSH reductase (GR). Both Trx and Grx reduce protein disulfides. These enzymatic antioxidant defense systems counter free-radical-induced stress, and maintain cellular redox homeostasis. The reactive oxygen species may further lead to protein, lipid, and DNA damage.

#### 3. Mitochondrial Antioxidants in TBI

TBI is a highly heterogeneous condition, with patients exhibiting diverse patterns of injury, severity, and outcomes. Oxidative stress plays a crucial role in the development of acute brain injury and acts as a key mediator in the secondary injury cascade of TBI pathology. Oxidative stress leading to oxidative damage represents a state where oxygen levels, combined with oxygen-derived free radicals overwhelm the scavenging antioxidant system.

Following TBI, excitotoxicity occurs, where an excess of Ca<sup>2+</sup> further promotes ROS– RNS production. The increased ROS–RNS levels, coupled with depleted antioxidant levels after TBI, lead to elevated oxidative stress, wherein protective mechanisms, such as antioxidants, fail to control these radicals, resulting in oxidative stress and subsequent neuronal death. Post TBI, various complications such as brain edema, mitochondrial dysfunction, BBB breakdown, sensory-motor dysfunction, and secondary neuronal injury have been proposed to be linked to oxidative stress [49–51]. Our recent findings indicate decreased mitochondrial antioxidants and increased oxidative stress markers during the acute phase of TBI [37], a trend supported by numerous researchers highlighting the role of oxidative stress following TBI [33,52–57]. This underscores oxidative stress redox mechanisms as a valid therapeutic target for TBI. Furthermore, antioxidant intervention emerges as a logical therapeutic approach for achieving neuroprotection after TBI. Both elevated free-radical-mediated oxidative stress and depleted endogenous antioxidant responses have been observed during acute and sub-acute phases preclinically; and only limited reports have noted duringchronic phase of TBI [37,52,58].

Antioxidants are substances which scavenge or neutralize free radicals in cells, thereby prevent oxidative damage. Antioxidants may be able to reduce the risk of the onset of chronic diseases. Antioxidant therapy emerge as a novel approach to preventing and treating neurodegenerative conditions where oxidative stress acts as a major contributing factor to the pathogenesis and/or progression of the diseases. There are two main approaches by which antioxidant levels can be replenished in brain cells, and these may serve as options for therapeutic interventions to limit free-radical generation and oxidative stress responses. This, in turn, improves the balance of redox homeostasis after brain trauma. In an injured brain, antioxidants may be able to modulate redox mechanisms through (a) scavenging or detoxifying excessive ROS–RNS using natural or synthetic antioxidants and restrict free-radical overproduction, and (b) modulating cell signaling pathways that favor endogenous antioxidant synthesis and balanced redox homeostasis.

This review highlights each category of antioxidants that may serve as future therapeutic options to restrict/stimulate the mechanisms listed above and favor balanced redox homeostasis following the secondary injury phases of TBI. Unfortunately, there are no FDA-approved treatment options that are currently available to restrain multifaceted TBI pathophysiology, leaving a critical gap unfilled. Therefore, more preclinical research efforts are warranted to identify novel therapeutic targets. Additionally, repetitive injuries aggravate secondary injuries and lead to early neurological deficit. Collaborative efforts between preclinical and clinical communities under regulatory guidance of the FDA are ongoing to conduct better-designed clinical studies, and gain rapid approvals of therapeutic products for TBI. This review is intended to provide an overview on comprehensive information about antioxidant therapy for TBI to the scientific research community, classified into different categories (Figure 3), and discussed below.



**Figure 3.** Classification of different antioxidants discussed in the current review. As shown in this illustration, antioxidants are further classified into natural and synthetic ROS–RNS scavengers and detoxifiers. Among the natural antioxidants, they are further sub-divided into enzymatic and non-enzymatic forms, whereas in the group of synthetic antioxidants, they are further sub-divided into three categories, namely non-targeted cytosolic, mitochondria-targeted, and glutathione precursors,

based on their sub-cellular target, pharmacological properties, and abundance. Examples of each category of antioxidants are listed and discussed in detail.

# 4. ROS–RNS Scavengers

The ROS–RNS scavengers/detoxifiers are further categorized as natural (e.g., endogenous enzymatic or non-enzymatic) and synthetic (e.g., drug molecules and dietary supplements), as described below.

# 4.1. Natural ROS-RNS Scavengers

Among natural enzymatic antioxidants, the mitochondria-specific superoxide dismutase (SOD) isoform (e.g., manganese SOD or Mn-SOD) plays a critical role in scavenging mitochondrial production of  $O_2^{\bullet-}$  at the mitochondrial ETC complex I and III sites [59,60]. Another isoform of SOD (i.e., cytosolic copper–zinc SOD or Cu-Zn-SOD) scavenges  $O_2^{\bullet-}$ in the cytosolic compartment. The mitochondrial ETC is a primary site of  $O_2^{\bullet-}$  generation; therefore, scavenging  $O_2^{\bullet-}$  at the mitochondrial ETC level offers the greatest benefit. Catalase (CAT) is an additional important ROS scavenger that neutralizes  $H_2O_2$  and converts it into water [61]. The redoxin family, including peroxiredoxin (Prx) and thioredoxin (Trx) enzyme systems, together with other non-enzymatic reducing cofactors, nicotinamide adenine dinucleotide phosphate (NADPH) and/or glutathione, also plays an important role in scavenging ROS [37,61]. They help to convert  $H_2O_2$  into water (Table 1, and Figure 2).

Chronic exposure to oxidative stress may further lead to activation of the first line of antioxidant defense by increasing SOD-, CAT-, and GPx-mediated protective feedback mechanisms that may be able to help mitigate oxidative stress responses to some extent. Interestingly, we observed a depletion of SOD protein expression during the acute period following PTBI [37]. Other studies have also detected diminished SOD activity during the acute phase of TBI that remained low for at least several weeks post TBI [60,62]. Depletion of SOD after TBI could make injured tissue more susceptible to increased  $O_2^{\bullet-}$  formation, amplifying post-injury oxidative damage over time. In contrast, studies have reported an increase in CAT during the acute and sub-acute phases following TBI; however, the precise mechanism by which brain injury leads to increased CAT protein expression is currently unknown [37]. Earlier studies have reported decreased SOD, CAT, and GPx antioxidant enzyme activity in AD patients [63,64]. Interestingly, in an AD mouse model, the overexpression of the SOD protein showed great promise in relation to improvement in neurological outcomes [65]. Therefore, in therapeutic applications, SOD and SOD mimetics have great potential to serve as a drug to ameliorate TBI-related oxidative damage.

The other class of natural antioxidants are the non-enzymatic antioxidants, which are mainly acquired from dietary sources; these are also called natural ROS scavengers. The most common dietarily derived antioxidants are Vitamin A (retinol), Vitamin C (ascorbate), Vitamin E ( $\alpha$ -tocopherol), carotenoids (carotene, zeaxanthin, lutein, lycopene, cryptoxanthin, retinoids), polyphenols, and flavonoids, among others.

Vitamin A is obtained from dietary sources such as green and yellow vegetables, dairy products, fruits, and meats. Vitamin A can act as a chain-breaking antioxidant by combining with reactive radicals before these radicals can propagate peroxidation in the lipid phase of the cell and generate H<sub>2</sub>O<sub>2</sub> [66]. Likewise, Vitamin C is acquired from dietary sources such as fruits and vegetables, and is available as a dietary supplement. Vitamin C has been used as an antioxidant to treat mitochondrial diseases; additionally, it can act as an electron transfer mediator to bypass complex III in combination with Vitamin K at the ETC [67,68]. The oxidized form of Vitamin C is transported into the mitochondria via glucose transporter 1, which helps to maintain a healthy mitochondrial membrane potential and inhibits mitochondrial membrane depolarization [69]. Additionally, Vitamin C facilitates electron movement, favoring energy production [70]. Vitamin E is mainly

found in vegetable oil and its derivatives, nuts and seeds. Vitamin E interrupts the chain reaction of oxidant generation and oxidative damage by capturing free radicals.

Table 1. Natural ROS scavengers.

| ROS Scavengers  | Properties and Mechanisms of Action  |  |
|---|--|--|
| Enzymatic ROS scavengers  |  |  |
| Superoxide dismutase (SOD)  | Enzyme. Converts superoxide radicals into oxygen and H <sub>2</sub> O <sub>2</sub> .   |  |
| Catalase (CAT)  | Enzyme in the peroxisomes. Neutralizes H <sub>2</sub> O <sub>2</sub> in water.   |  |
| Glutathione peroxidase (GPx)<br>Thioredoxin system:<br>Thioredoxin (Trx),<br>Peroxiredoxin (Prx),<br>Thioredoxin reductase (TrxR) | Thiol-dependent enzymatic antioxidants. Neutralize $H_2O_2$ and are recycled by nicotinamide adenine dinucleotide phosphate (NADPH) as a cofactor. |  |
|   | Non-enzymatic ROS scavengers   |  |
| Vitamin A (retinol) or carotenoids  | Fat-soluble antioxidant. Donates electrons to neutralize free radicals.  |  |
| Vitamin E (tocopherols and tocotrienols)  | Fat-soluble antioxidant. Scavenges lipid peroxyl radicals.   |  |
| Vitamin C (ascorbic acid)   | Water-soluble antioxidant. Donates electrons to neutralize free radicals.<br>Scavenge superoxide.  |  |
| Carotenoids   | Found in various fruits and vegetables. Of the ~600 types of carotenoids, some can synthesize Vitamin A. Neutralizers of ROS.                      |  |
| Polyphenols   | Ubiquitously present in fruits and vegetables. Free-radical scavenger.   |  |
| Flavonoids  | Phytochemicals present in plants, fruits, and vegetables. Scavengers of ROS.   |  |
| Pycnogenol (PYC)  | Combination of bioflavonoids with robust capacity to scavenge free radicals.   |  |
| Alliin  | Found in both natural and synthetic compounds. A bioactive compound derived from garlic. Superoxide scavenger.                                     |  |
| Allicin   | Synthesized from alliin. Inhibits superoxide, nitric oxide (NO) and hydroxyl radicals.   |  |
| Minerals (copper, zinc and selenium, magnesium)   | Precursors to antioxidants that help regulate free radicals.   |  |
| Coenzyme Q10 (CoQ10), coenzyme Q (CoQ)  | Lipid antioxidant. Essential component of the ETC. Protects cells from oxidative damage.   |  |
| Glutathione   | Tripeptide. Detoxifies ROS. Maintains redox balance.   |  |
| NADPH   | NADPH, as a cofactor independently and with redoxins, plays a crucial role in ROS detoxification.  |  |
| Cytochrome C  | Endogenous heme protein located in mitochondria. Oxidized cytochrome C is able to scavenge superoxide radicals.                                    |  |

Carotenoids are another class of antioxidants, and their main dietary sources are red vegetables and fruits (carrots, tomatoes, apricots, plums) and green leafy vegetables (spinach, kale). Indeed, carotenoids are important precursors of Vitamin A [71]. Carotenoids are very efficient quenchers of singlet oxygen and potent scavengers of other ROS–RNS. Similarly, polyphenol antioxidants (flavanols, anthocyanins, isoflavones, phenolic acid), mainly found in fruits (apples, berries, grapes), vegetables (celery, kale, onions), legumes (beans, soybeans), nuts, wine, tea, coffee, and cocoa, can be obtained from nutritional sources. Polyphenol acts as an antioxidant via a direct ROS-scavenging mechanism and the modulation of antioxidant enzymes. Flavonoids are phenolic structures containing natural substances mainly found in fruits, vegetables, grains, bark, roots, stems, flowers, tea, and wine. Flavonoids exert antioxidant, anti-inflammatory, and anti-cholinesterase activities. Flavonoids act as potent inhibitors for several enzymes, such as xanthine oxidase (XO), cyclo-oxygenase (COX), lipoxygenase, and phosphoinositide 3-kinase [72,73]. Pycnogenol (PYC) is a combination of bioflavonoids that is extracted from the bark of the French maritime pine tree (Pinus maritima), and has a robust capacity to scavenge free radicals. The neuroprotective effects of PYC have been explored in a rodent model of TBI [74]. Additionally, alliin, a garlic-derivative compound, reacts with  $O_2^{\bullet-}$  and scavenges by utilizing the xanthine/xanthine oxidase system [75]. Allicin, a derivative of alliin, also inhibits  $O_2^{\bullet-}$ , nitric oxide (NO<sup>•</sup>), and hydroxyl (<sup>•</sup>OH) radical production [75–77].

Some antioxidants are produced by cells that chelate and/or bind to redox metals, thus protecting the cells against oxidative stress indirectly. Micronutrients such as metal and trace elements (zinc, iron, selenium, and copper) possess antioxidant properties. Supplementation with either selenium or zinc has been found to restore the alterations of mitochondrial parameters, including ETC enzymes and antioxidant enzymes, in several diseases [78].

The membrane-bound coenzyme Q10 (CoQ10) is an important antioxidant that is part of the mitochondrial ETC. It shuttles electrons from complexes I/II to complex III. CoQ10 prevents the generation of free radicals and modifications of proteins, lipids, and DNA. Thus, CoQ10 markedly regulates the cellular redox balance.

Among other non-enzymatic ROS scavengers, one of the key cellular antioxidants is glutathione (e.g.,  $\gamma$ -L-glutamyl-L-cysteinylglycine). Glutathione is synthesized from the amino acids L-cysteine, L-glutamic acid, and glycine. Glutathione is an important antioxidant which reacts with ROS using thiol-SH groups of cysteine. Glutathione is a ubiquitously distributed tripeptide antioxidant abundantly present in all cells in millimolar concentrations (~5 mM) [79]. The reduced form of glutathione (i.e., GSH) is involved in various cell functions, including the detoxification of oxidized amino acids/proteins, the biosynthesis of proteins and DNA precursors, amino acid transport, and the maintenance of redox balance. During this process, the endogenously generated oxidized glutathione (GSSG) can be recycled back to GSH by the endogenous Grx system. The GSH/GSSG ratio remains an important indicator of redox homeostasis and imbalance in cell oxidative metabolism.

Another antioxidant, NADPH (e.g., nicotinamide dinucleotide phosphate), works closely with glutathione and other redoxin enzymes to protect against ROS–RNS-induced cell damage. In redoxin systems, NADPH serves as a cofactor, used for transferring and preserving redox potential for multiple antioxidants such as glutathione, Prx, and Trx. This NADPH-induced conversion reactivates the functions of antioxidant molecules. We found that NADPH levels significantly decreased following TBI [37]. This reinforces the importance of exogenous NADPH treatment following TBI to increase the effectiveness of antioxidant proteins as the scavengers of oxidants. Additionally, in cells, endogenous cytochrome C (Cyt C) may act as an  $O_2^{\bullet-}$  scavenger since it is reduced by  $O_2^{\bullet-}$  and oxidized by  $H_2O_2$  [80]. Cyt C seems to be an ideal antioxidant since Cyt C can regenerate and avoid being damaged during antioxidant reactions [81].

Additionally, several dietary or nutritional supplements serve as conventional (nontargeted) antioxidants in cells. However, all of these natural antioxidants have limited effectiveness in scavenging mitochondrial ROS–RNS and oxidative stress due to their limited ability to cross the mitochondrial biomembranes [82,83]. In the next section, we compile a list of mitochondria-targeted synthetic antioxidants, which may serve as better options to combat ROS–RNS and oxidative stress and may offer neuroprotection.

#### 4.2. Synthetic ROS–RNS Scavengers

Novel synthetic ROS–RNS scavengers targeted towards preventing or minimizing oxidative damage have contributed new insights into potential neuroprotective therapies (Table 2). Superoxide  $(O_2^{\bullet-})$  scavengers are important antioxidants due to their ability to mitigate oxidative stress during the acute post-injury phase. One such synthetic compound is Mn (III) tetrakis (4-benzoic acid) porphyrin (MnTBAP), an ROS scavenger. MnTBAP is both an SOD mimetic and peroxynitrite (ONOO<sup>-</sup>) scavenger [84,85]. Other compounds that can donate electrons to  $O_2^{\bullet-}$  are ascorbic acid, cysteine (via the sulfhydryl group), tiron, and carboxy-PTIO (a nitric oxide scavenger), which can also react with superoxide radicals [86–94]. Tiron is a Vitamin E-analog antioxidant that can enhance NF- $\kappa$ B-dependent gene transcription with an anti-apoptotic effect [95]. Carboxy-PTIO is an imidazole-derived

free-radical scavenging compound that inactivates NO<sup>•</sup> and NO<sub>2</sub>, subsequently reacting with water to form nitrite and nitrate. Phenelzine (PZ) is an FDA-approved drug for the management of treatment-resistant depression, panic disorder, and social anxiety disorder that functions as an MAO inhibitor [96]. PZ has aldehyde-scavenging properties. PZ administration was also shown to significantly improve mitochondrial respiration following TBI [96].

Table 2. Synthetic ROS scavengers and detoxifiers.

| ROS Scavengers and Detoxifiers         | Properties and Mechanisms of Action   |  |
|--|---|--|
|  | Non-targeted compounds  |  |
| MnTBAP                                 | $O_2^{\bullet-}$ scavenger. Possesses SOD- and catalase-like activity. Also scavenges ONOO <sup>-</sup> .     |  |
| Cysteine                               | Amino acid. $O_2^{\bullet-}$ scavenger.   |  |
| Tiron                                  | Reduced and oxidized Tiron species. Reacts with $O_2^{\bullet-}$ radical.                                     |  |
| Carboxy-PTIO                           | Specific NO scavenger. Reacts with $O_2^{\bullet-}$ radical.  |  |
| Phenelzine                             | FDA-approved drug. MAO inhibitor. Aldehyde-scavenging properties partially protect against oxidative damage.  |  |
| Mitochondria-targeted compounds        |   |  |
| MitoVit-E                              | Vitamin E attached to TPP. Reduces mitochondrial oxidative damage.  |  |
| MitoQ                                  | CoQ10 derivative linked with TPP. Scavenges mitochondrial ROS.  |  |
| Plastoquinone (SkQ1)                   | Targeted antioxidant. Scavenges mitochondrial ROS.  |  |
| Edaravone                              | Used clinically as a neuroprotective compound. Reduces oxidative damage and lipid peroxidation.               |  |
| Mito TEMPOL                            | Cell permeable, stable nitroxide. SOD mimetic.  |  |
| Elamipretide (SS-31)                   | Cationic tetrapeptide freely permeable to the mitochondria. Reduces the production of toxic ROS.              |  |
| Cerium oxide nanoparticles (Nano-CeO2) | Cerium atoms linked by oxygen atoms. Scavengers of ROS.   |  |
| Metalloporphyrins                      | Manganese and iron complexes. Synthetic catalytic antioxidants that mimic the body's own antioxidant enzymes. |  |
| Phenyl-tert-butylnitrone (PBN)         | Nitroxide radical. ROS-scavenging properties.   |  |
| Glutathione precursors                 |   |  |
| NAC                                    | A cysteine prodrug. Replenishes intracellular glutathione level.  |  |
| NACA                                   | N-acetyl cysteine (NAC) analog.Glutathione precursor.   |  |
| D-NAC                                  | Dendrimer-tagged NAC. Serves as a prodrug to synthesize glutathione.  |  |
| S-adenosyl methionine (SAMe)           | SAMe is processed stepwise into cysteine synthesis, and ultimately synthesize glutathione.                    |  |

Moreover, to overcome the limited effectiveness of natural ROS scavengers, several synthetic mitochondrial ROS scavengers have been designed to cross the BBB and accumulate in neuronal mitochondria. These compounds are formulated to target mitochondria at the injured region to neutralize ROS and promote the mitigation of oxidative damage, together with improving bioenergetic function. The development of antioxidants capable of restoring mitochondrial function following brain injury is highly significant since redox homeostasis dysregulation is a critical factor in the cell death pathway during the acute, sub-acute, and chronic phases of TBI. Also, specific mitochondrial targeting leads to more precise and effective mitigation of redox homeostasis. We have compiled a list of such covalently modified compounds in this table.

The synthetic mitochondrial-targeted Vitamin E compound (MitoVit-E) is created by covalently attaching natural Vitamin E ( $\alpha$ -tocopherol) to a triphenylphosphonium (TPP<sup>+</sup>) cation. MitoVit-E facilitates the accumulation of TPP<sup>+</sup> in the mitochondrial matrix against the negatively charged mitochondrial membrane potential ( $\Delta$ Ym). This unique feature

makes MitoVit-E an effective mitochondria-targeted ROS scavenger. By utilizing the concentration gradients of  $\Delta \Psi m$ , MitoVit-E decreases ROS production and apoptosis in aortic endothelial cells via peroxide-induced oxidative stress and apoptosis [97,98]. One disadvantage of Vitamin E is that it is not a catalytic antioxidant and therefore its scavenging activity is not regenerated. Another well-studied mitochondria-targeted antioxidant is mitoquinone (MitoQ), a ubiquinone derivative conjugated to the TPP<sup>+</sup> cation that serves as a potent reactive oxygen species (ROS) scavenger. MitoQ has structural similarity with endogenous components of the mitochondrial ETC ubiquinone; therefore, it may help in assisting efficient electron transfer through the ETC [99,100]. The active form of MitoQ, i.e., ubiquinolis able to scavenge ROS and is being modified into its inactive form, ubiquinone. This inactive form is continuously recycled back into its active form by the mitochondrial complex II. This reduction-oxidation cycle enables MitoQ to maintain an efficient chain-breaking antioxidant capacity. MitoQ treatment has been shown to inhibit mitochondrial oxidative damage in rodent models of cardiac ischemia and reperfusion injury [101]. The antioxidant properties of MitoQ were further demonstrated in several preclinical models of TBI, where it increased the activity of antioxidant enzymes and reduced oxidative damage [102,103]. Plastoquinonyl-decyl-triphenylphosphonium bromide (SkQ1) is another class of mitochondria-targeted antioxidant [104]. In the case of SkQ1, the phosphorus cation bound to three phenyl rings (TPP<sup>+</sup>) is conjugated to plastoquinol via a decyl linker. The binding of this cation to the phenyls ensures the ability of SkQ1 to penetrate membranes [105]. A positive electrical charge leads to a thousand-fold accumulation of SkQ1 in the mitochondrial membrane's inner layer [105]. SkQ1 is able to reduce cardiac ischemic injury, and is well known for lipid peroxidation inhibition [106-109].

Edaravone is a free-radical scavenger that can quench hydroxyl radicals and hydroxyl radical-dependent lipid peroxidation. It is an FDA-approved compound for the treatment of acute ischemic strokes and amyotrophic lateral sclerosis (ALS) [110]. Additionally, edaravone has shown promising beneficial effects in a wide range of diseases, such as PD, AD, atherosclerosis, chronic heart failure, and diabetes mellitus [111–114]. Other potential synthetic antioxidants designed to reduce oxidative damage effectively include Mito TEMPOL, elamipretide (SS-31), cerium oxide nanoparticles (Nano-CeO<sub>2</sub>), metalloporphyrins, and phenyl-tert-butylnitrone (PBN) [115–121]. However, their roles in TBI have not yet been investigated. Research on the evaluation of ROS–RNS scavengers in TBI is ongoing, and the field continues to explore novel approaches and compounds to mitigate oxidative stress and improve behavioral outcomes following TBI [52,58,122,123]. Indeed, several preclinical studies have shown the therapeutic efficacy of mitochondria-targeted antioxidants by improving cognitive and functional recovery post TBI [122,123]. Thus, this strategy may offer new hope for treating TBI patients.

Amongst synthetic ROS scavengers and detoxifiers, novel precursors of glutathione play a significant role. Glutathione, a ubiquitous reducing sulfhydryl tripeptide, plays a major role in ROS–RNS detoxification. Many studies have reported a depletion of glutathione and its precursors, namely cysteine, methionine, and glycine, in brain tissue and cerebrospinal fluid (CSF) following TBI [37,49,50,124]. Therefore, several strategies have explored boosting glutathione levels following TBI to protect neurons against oxidative damage. One approach is to administer glutathione directly. Glutathione injections have been used in the past to boost glutathione levels in blood and skin; however, there was no systemic study available to prove its efficacy [125]. Direct enhancement of glutathione comes with its own challenges like short half-life, absorption, BBB permeability, and limited brain bioavailability [125].

The de novo synthesis of glutathione is primarily controlled by the cellular concentration of cysteine. In keeping with this, NAC and its analogs, such as the cysteine supplement, are effective at raising levels of glutathione in various neurological diseases and injuries, preclinically and clinically [126–129]. Therefore, various glutathione prodrugs or antioxidant supplements to boost innate glutathione levels have been investigated. N-acetyl cysteine (NAC) is perhaps the most widely studied glutathione precursor to act as an antioxidant. NAC has been approved by the FDA for treating hepatotoxic doses of acetaminophen (Tylenol). Additionally, NAC has been widely used because of its mucolytic effects, taking part in the therapeutic protocols of cystic fibrosis. Over the past decade, studies have documented the positive outcome of NAC treatment for many CNS diseases, including TBI [130]. Additionally, NAC's ability to replenish glutathione, maintain cellular homeostasis, and support mitochondrial function has been successfully demonstrated in TBI [131–135].

Clinical treatment with NAC has been shown to upregulate glutathione-centered pathways in the CSF of severe TBI pediatric patients (ClinicalTrials.gov NCT01322009) [136–138]. NAC treatment was evaluated in U.S. service members who had been exposed to a blast-induced mild TBI [139]: the outcome of this study demonstrated NAC as safe and effective pharmaceutical agent for acute countermeasure. NAC treatment has beneficial effects on the injury severity, and resolution of post-traumatic sequelae of blast-induced mild TBI (ClinicalTrials.gov NCT00822263) [139]. Furthermore, NAC's neuroprotective effects are mediated by both antioxidant and anti-inflammatory mechanisms [140–143]. These multimodal neuroprotective properties of NAC may confer significant benefits on the complex and heterogenous nature of TBI pathology.

The BBB permeability of NAC is limited by its physiochemical properties, such as its acidic nature and negative charge [144,145]. Notably, numerous studies evaluating the neuroprotective properties of NAC have yielded inconsistent results, which may be due to its low bioavailability [144,145]. A potential strategy for overcoming the low bioavailability of NAC is to use an NAC analog where the carboxyl group of NAC is neutralized, thus making it more hydrophobic and increasing its BBB permeability [146]. In this regard, the preparation of NAC analogs, such as N-acetylcysteine amide (NACA), is very attractive and may have advantages over NAC in treating CNS pathologies due to the improved stability and bioavailability [147]. For instance, there are studies reporting the neuroprotective efficacy of NACA in neurological diseases including PD, AD, and HIV-associated neurological disorders [148–150]. In the same line of effort, we have demonstrated that NACA effectively reduces oxidative damage, maintains the glutathione level, and improves mitochondrial bioenergetics following TBI [128,129,151]. Other studies have reported similar outcomes in spinal cord injury (SCI) patients [129]. Thus, NACA may offer neuronal protection by reducing oxidative stress and supporting cellular pathways to limit mitochondrial dysfunctions following TBI.

To enhance NAC's bioavailability and address neurological conditions, researchers are investigating an alternative intranasal route for its direct delivery to the CNS via neuronal pathways, thus minimizing the BBB permeability issues [152]. However, the optimal dosing regimen for this intranasal route of NAC administration still needs to be further investigated at the preclinical level for TBI.

Recently, researchers have used nanoparticle delivery systems, such as dendrimers, to ensure targeted and effective drug delivery to the CNS. Hydroxyl-terminated polyamidoamine (PAMAM) dendrimer, a dendrimer linked with NAC (D-NAC), has shown to be a promising route of drug delivery to injury sites within the brain. In particular, D-NAC has been investigated as a drug delivery system to target cells involved in neuroinflammation [153]. In the presence of a brain injury, D-NAC traverses the BBB and localizes specifically in activated microglia and astrocytes, and the extent of its uptake correlates with the extent of the injury [154,155]. D-NAC also has been shown to be effective in improving myelination and motor functions in cerebral palsy [156,157]. The protective role of D-NAC has been established in ischemic brain injury, asphyxia brain injury [158–160], and other CNS pathologies like choroidal and retinal neovascularization [161]. Collectively, novel dendrimer-based delivery methods, such as D-NAC, appear to be promising avenues for targeting therapeutic agents in CNS diseases.

Similarly, another compound that aids in restoring glutathione synthesis by recycling its precursor cysteine is S-adenosyl methionine (SAMe). SAMe has been studied for its po-

tential neuroprotective efficacy in several CNS diseases [162,163]. Besides providing amino acids during methyltransferase reactions for glutathione synthesis, SAMe serves as a key metabolite in many biochemical reactions, and is available as a dietary supplement. Depletion of methionine and its crucial metabolites has been reported in TBI; therefore, restoring methionine metabolites with SAMe supplementation may improve its outcome [124].

A thorough understanding of methods to replenish glutathione and the application of innovative technology to advance targeted therapy in research is critically important when considering therapies to combat TBI secondary pathogenesis. Similarly, the development of neuroprotective formulations to enhance signaling pathways to upsurge innate antioxidants as a potential tool for the therapeutic treatment of neurological diseases represents an important goal for current neuroscience research.

# 5. Signaling Pathway Modulators for Cellular Antioxidant Synthesis

The initiation of redox homeostasis originates from extracellular or intracellular signals via nuclear receptors and mitochondria-mediated pathways. There are intra- and extracellular signaling pathways that activate the protective mechanisms that particularly trigger the endogenous synthesis of antioxidants. Inducers such as ROS, oxidative stress, mitophagy, apoptosis, excitotoxicity, ischemic insults, calcium, neurotransmitters, exercise, or therapeutic treatment (agonists/antagonists) may trigger the onset of signal transduction via modulating several transcription factors in the nucleus, thereby activating gene expression of downstream protein expression. More specific to the current review topic, there are several inducers listed below that may be able to modulate notable antioxidant signaling pathways, such as the Nrf2, AKT, SIRT1, PGC1 $\alpha$ , and mTOR signaling pathways (Table 3). The Nrf2 pathway centers around the broad-reaching transcription factor Nrf2, which modulates the transcription of a myriad of endogenous antioxidants. Protein kinase B, a serine/threonine kinase (AKT), is the main mediator of the downstream effector protein phosphoinositide 3-kinase (PI3K). AKT serves as the central component in numerous signaling pathways regulating cell metabolism, growth, proliferation, and survival. Thus, activating AKT can help preserve typical mitochondrial function across several disease conditions [164]. Additionally, AKT regulates Nrf2 to affect the transcription of pro- and antioxidant enzymes and maintain the cellular redox state [165]. Likewise, SIRT1 is a deacetylase that controls the expression of a multitude of antioxidants and oxidative stress modulators like PGC-1 $\alpha$ , which plays a major role in the antioxidant defense system. The rapamycin (mTOR) signaling pathway integrates both intracellular and extracellular signals, and serves as a regulator of cellular metabolism, growth, proliferation, and survival. These pathways, in turn, modulate gene expression and the protein biosynthesis of downstream targets, such as antioxidants and mitochondrial biogenesis proteins. Thus, these pathways may be able to modulate ROS-RNS levels, keep the redox balance in check, and maintain cellular integrity. An overview of cell signaling pathways favoring cellular antioxidants synthesis and neuroprotection is illustrated in detail (Figure 4) and discussed below.

| Pathway Modulators              | Properties and Mechanisms of Action   |  |
|---------------------------------|---|--|
| Nrf2 activators                 |   |  |
| Omaveloxolone (RTA-408)         | Synthetic compound. FDA-approved for the treatment of FA. Prevents Nrf2 degradation.                    |  |
| Dimethyl fumarate (DMF)         | Synthetic compound. Activates the Nrf2 pathway and AKT pathway.   |  |
| Curcumin                        | Derived from turmeric. Activates the Nrf2 pathway.  |  |
| Sulforaphane                    | Naturally found in cruciferous vegetables. Activates Nrf2 by inhibiting Keap1.                          |  |
| Epigallocatechin gallate (EGCG) | Abundant in green tea. Activates the Nrf2 pathway and has antioxidant and anti-inflammatory properties. |  |
| Quercetin                       | Present in various fruits, vegetables and grains. Activates Nrf2 and SIRT1.                             |  |
| Oltipraz                        | Synthetic compound. Activates Nrf2 by modifying Keap1.  |  |
| Bardoxolone methyl              | Synthetic compound. Activates the Nrf2 pathway.   |  |

# Table 3. Signaling pathway modulators.

# Table 3. Cont.

| Pathway Modulators                          | Properties and Mechanisms of Action   |  |
|---|---|--|
| SIRT1, PGC-1 $\alpha$ , and mTOR modulators |   |  |
| Resveratrol                                 | Natural polyphenol compound. Most-relevant SIRT1 and mTOR modulator, AKT activator,                                 |  |
|   |   |  |
| Naringenin                                  | Natural citrus flavonoid. Modulates SIRT1.  |  |
| SRT2104                                     | Synthetic compound. SIRT1 activator.  |  |
| 1,4-dihydropyridine derivative              | Synthetic compound. SIRT1 activator.  |  |
| Naphthofuran derivative                     | Synthetic compound. SIRT1 activator.  |  |
| Bisarylaniline derivative                   | New synthetic analog. SIRT1 activator.  |  |
| Berberine                                   | Small molecule isolated from various plants, mainly used in Chinese traditional medicine. PGC-1 $\alpha$ activator. |  |
| Metformin                                   | Anti-diabetic drug. Activator of AMPK, which further regulates PGC-1 $\alpha$ .                                     |  |
| Rapamycin/Sirolimus                         | Bacterial origin natural product. mTOR inhibitor and increases antioxidant defense.                                 |  |
| Everolimus                                  | Newly developed mTOR inhibitor. Rapamycin analog.   |  |
| Temsirolimus                                | Newly developed mTOR inhibitor. Rapamycin analog.   |  |



**Figure 4.** Illustration of signaling pathway modulators involved in endogenous cellular antioxidant synthesis. Extracellular stimulants such as mitophagy, ROS, and oxidative damage may lead to the activation of cell signaling pathways such as AKT, PGC-1 $\alpha$ , mTOR, and SIRT. These signaling pathways are involved in upregulating endogenous antioxidant homeostasis by activating nuclear antioxidant response element (ARE) signaling via the activation of common transcription factors Nrf-2 and Keap1. Activation of the nuclear ARE gene upregulates mitochondrial biogenesis. Additionally, ARE gene expression activation may also leads to activation of several mitochondrial antioxidant transcription factors, thereby protein biosynthesis and protect cells against external stimuli. Increased antioxidant levels further balance redox homeostasis by decreasing cellular ROS, oxidative stress, and apoptotic cell death response together by improving the cellular antioxidant capacity and overall health of mitochondria. By activating these pathways, therapeutic compounds may be further able to offer neuroprotection following TBI and CNS diseases.

#### 5.1. Nrf2 Activators

One of the main cellular signaling and oxidative stress defense pathways is the nuclear factor erythroid 2 (Nrf2)-dependent transcriptional mechanism. Nrf2 is responsible for regulating an extensive panel of antioxidant enzymes involved in the detoxification of oxidative stress. Several strategies have been proposed to activate this pathway to counter ROS production and promote neuroprotection. Nrf2 is a transcription factor responsible for regulating the expression of various downstream genes that modulate the oxidative stress response through regulating the antioxidant response element (ARE). Thus, Nrf2-targeted genes affect many vital antioxidants through ARE gene regulation, such as SOD, CAT, and GPX, among others, which help in combatting ROS [166]. Additionally, Nrf2 downregulation supports the decreased efficiency of mitochondrial oxidative phosphorylation. It is widely thought that the Nrf2 pathway plays an important role in TBI pathogenesis, and even in other neurological diseases like AD and PD [167–169]. In a mouse model of TBI, Nrf2 was found to be downregulated in cortical tissue, leading to increased oxidative stress, inflammation, and apoptosis [168]. Therefore, targeting and activating Nrf2 signaling is a potential novel target following the oxidative stress-centered pathology of TBI. Owing to its excellent therapeutic potential in CNS diseases in both preclinical and clinical settings, recently, several Nrf2 activators have been approved by the FDA.

Omaveloxolone (RTA-408) and dimethyl fumarate (DMF) are both FDA-approved Nrf2 activators used to treat various neurological conditions like FA and MS. Other Nrf2 activators like curcumin, sulforaphane, epigallocatechin gallate (EGCG), quercetin, oltipraz, and bardoxolone methyl also hold promise as therapeutic agents due to their antioxidant properties [170,171].

RTA-408 is one of the FDA-approved Nrf2 activators for treating FA, a progressive neurodegenerative condition. RTA-408 affects the Nrf2 pathway by preventing Nrf2 ubiquitination and degradation, leading to Nrf2 translocation to the nucleus and increased antioxidant expression. RTA-408 therapy has been found to enhance mitochondrial function and improve neurological symptoms, cognitive impairment, and neuroinflammation in multiple preclinical and clinical models of CNS conditions like epilepsy [172]. RTA-408's efficacy in minimizing CNS pathology and its mitochondria-protective properties makes it a potential candidate for treating TBI and other neurological diseases [173–175].

Similarly, dimethyl fumarate (DMF) is another Nrf2 activator approved by the FDA for treating MS [176,177]. DMF influences the Nrf2 pathway by modifying Keap1, thus promoting Nrf2 nuclear translocation. DMF also activates AKT pathways and thus promotes neuroprotection [178]. DMF upregulates several antioxidants including glutathione, bolstering the downstream antioxidant capacity in CNS conditions like MS, cerebral edema, TBI, and intracerebral hemorrhage [179–183]. DMF treatment in clinical settings has shown long-term efficacy in reducing relapse rates and minimizing lesion formation in relapsing forms of MS [184]. Furthermore, DMF treatment was found to increase neuronal mitochondrial biogenesis via Nrf2 regulation along with improved mitochondrial function and neurological symptoms in a preclinical model of MS [185]. Additionally, DMF has shown to improve cognitive functions in animal models of AD and PD [186]. Together, this evidence emphasizes that DMF has broader therapeutic applicationfor MS, and other neurodegenerative diseases.

Several Nrf2 activators listed here exhibit potential health benefits. Curcumin, found in turmeric, a commonly used spice in Indian cuisine and in traditional medicine, activates the Nrf2 pathway, leading to increased antioxidant and detoxifying enzyme production [187]. Curcumin has shown to be effective against cancer, cardiovascular diseases, and various metabolic and neurological conditions [188]. Sulforaphane, another compound, is mainly found in cruciferous vegetables such as broccoli, cabbage, and brussels sprouts. It activates Nrf2 by inhibiting the protein Keap1 [189]. It similarly enhances endogenous antioxidants and detoxifying enzymes. Sulforaphane has shown therapeutic potential against neurodegenerative diseases [190]. Epigallocatechin gallate (EGCG) is a catechin present in green tea. It activates Nrf2, and it is known for its antioxidant and health-promoting properties [191]. Research suggests that ECCG may help protect neurons from oxidative damage and improve cognitive function [192]. Quercetin, found in fruits and vegetables, is an Nrf2 pathway activator that reduces inflammation and improves antioxidant defense mechanisms. The therapeutic effects of quercetin have been investigated in cancer, cardiovascular diseases, and neurodegenerative conditions [193,194]. Oltipraz, a synthetic compound, activates Nrf2 and reduces oxidative stress in cancer, and additionally has shown neuroprotective benefits [195]. Bardoxolone methyl, another synthetic compound, activates the Nrf2 pathway and stimulates antioxidant enzyme production [196,197]. It has been found to be effective against various disease conditions. Although Nrf2 compounds have shown promising protective effects in various health conditions, further research is warranted to confirm and fully understand their safety and efficacy.

# 5.2. SIRT, PGC-1α, AKT, and mTOR Modulators

There are other critical regulatory mechanisms in redox homeostasis, such as the Silent Information Regulator (SIRT) genes, also known as Sirtuins, which stimulate antioxidant expression of several enzymes. One of the members of the SIRT family, SIRT1, is a nicotinamide adenine dinucleotide (NAD<sup>+</sup>)-dependent deacetylase that plays a wide range of roles in transcriptional regulation, inflammation, cell survival, and repair mechanisms. It guards against oxidative stress by activating the gene transcription of peroxisome proliferator-activated receptor gamma coactivator- $1\alpha$  (PGC- $1\alpha$ ) via the removal of the acetyl group [198]. PGC- $1\alpha$  is a transcriptional coactivator that is able to upregulate

mitochondrial biogenesis, and plays a central role in regulating the oxidative stress defense [199]. SIRT1 is described as a complex target for multiple strategies addressed for the prevention/treatment of several chronic age-related diseases and CNS diseases. Natural and synthetic SIRT1 modulators have been examined. This review examines compounds of a natural origin that have recently been found to upregulate SIRT1 activity, such as polyphenolic products in fruits, vegetables, and plants, including resveratrol, quercetin, and curcumin.

Resveratrol is a natural polyphenol found in various plant sources, such as grapes, berries, and peanuts, which acts as an antioxidant by activating SIRT1. SIRT1 is involved in various cellular processes, including mitochondrial biogenesis [200–202]. Resveratrol also activates the Nrf2 signaling pathway to ameliorate oxidative stress and improve mitochondrial function [203]. Moreover, resveratrol activates the PI3K/AKT pathway. On the other hand, resveratrol modulates the recently identified mammalian target of the rapamycin (mTOR) and Janus kinase/signal transducer and activator of transcription (Jak/STAT) pathways to enhance antioxidant defense and positively modulate mitochondrial function. Resveratrol has been suggested to influence mitochondrial dynamics by modulating the balance between mitochondrial fusion and fission, thus regulating mitophagy. Proper regulation of fusion/fission processes is crucial for maintaining mitochondrial health and function. Therefore, resveratrol helps preserve mitochondrial integrity.

Moreover, resveratrol has been shown to be beneficial in neurological diseases like AD, PD, HD, and ALS [201,202,204]. The evidence supports resveratrol's role in attenuating TBI-associated behavioral abnormalities, brain edema, and pathophysiology [205–210]. In a TBI preclinical model, resveratrol improved mitochondrial biogenesis and function by activating the PGC-1 $\alpha$  signaling pathway [210]. PGC-1 $\alpha$  is central modulator of cell metabolism, where it regulates mitochondrial biogenesis and oxidative metabolism, and controls the expression of antioxidants. It is important to note that while numerous preclinical studies and some clinical trials have explored the potential benefits of resveratrol, the findings are often mixed, and the optimal dose and duration of resveratrol supplementation for specific health conditions remain areas of ongoing research. Other compounds like berberine and metformin which activate PGC-1 $\alpha$  may be more useful in neurodegenerative diseases conditions [211–214].

Despite the potential positive health benefits of resveratrol, it exhibits low CNS bioavailability. This unfavorable pharmacokinetic profile of natural SIRT1 modulators has prompted the development of novel compounds that can positively modulate SIRT1 activity and display better neuroprotective efficacy profiles. Numerous synthetic SIRT1 modulators have been formulated, such as SRT2104, 1,4-dihydropyridine derivative, naphthofuran derivative, and bisarylaniline derivative. However, studies to confirm the pharmacokinetic profiles of these compounds are ongoing. These compounds may have implications in CNS therapeutic development. Additionally, mTOR modulators like everolimus and temsirolimus might regulate ROS through mTOR-mediated antioxidant defense [215]. The mTOR signaling pathway is at the core of many metabolic activities; its activation improves oxidative stress adaptation by activating Nrf2-associated antioxidant signaling [216].

# 6. Challenges and Future Approach

Despite significant strides in characterizing TBI pathophysiology and identifying therapeutic interventions, the landscape is marred by numerous clinical trial failures, even after promising preclinical success [217–220]. Several conceptual and methodological issues have undoubtedly contributed to the hitches in translating the preclinical results of antioxidant therapy to a clinical setting. Major challenges lie in the inherent heterogeneity of traumatic brain injuries, the complex and multifaced pathology of brain injuries, the limited information on their molecular pathology, the clinical predictiveness/relevance of animal models, the adequacy of pharmacological methodology, the ill-defined category of TBI, and the outcome measures used. Herein, we reviewed some of these critical problems and potential solutions.

The complex and multifaceted nature of TBI pathophysiology complicates treatment, rendering it challenging to address comprehensively with a single targeted drug. A drug targeting multiple components of the secondary TBI cascade may have superior potency compared to a drug that has a single target. For instance, the Nrf2 pathway activator discussed above broadly modulates intracellular and mitochondria-mediated oxidative and inflammatory responses and may support multiple innate defense mechanisms against TBI pathology. Therefore, any drug with pleiotropic mechanisms of action may be advantageous for TBI research [221].

It has been suggested that the complex pathophysiology of TBI may even possibly be addressed through a combination of therapeutic interventions [222]. The need for integrated multitargeted treatments for TBI has been recognized [223]. At the mitochondrial level, we have identified significant impairment of multitargeted homeostasis, including bioenergetics, calcium, apoptosis, and redox mechanisms, post TBI [11,37]. Providing acetate supplements such as glyceryl triacetate (GTA) and acetyl-L-carnitine (ALC) to boost energy production could contribute to neuronal repair and recovery in the energy deprivation-related pathophysiology of TBI [224,225]. Combining acetate therapy with antioxidants may have additive or synergetic mitochondrial mechanism-targeted neuroprotective efficacy compared to monotherapy in attenuating TBI pathology or promoting recovery. Thus, an effective approach to interrupt post-injury oxidative brain damage might involve the combined treatment of antioxidants with mechanistically complementary energy substrates that simultaneously provide a boost in their antioxidant capacity. Ideally, numerous combination therapies should undergo preclinical testing, with the best combinations chosen for further clinical exploration. An efficient and validated screening platform for candidate therapeutics, sensitive and clinically relevant biomarkers and outcome measures, and standardization and data sharing across centers would greatly facilitate the development of successful combination therapies for TBI [221].

There remains a strong need for rigorous studies to understand the temporal profile of oxidative injury mechanisms following preclinical heterogeneous models of TBI, which may identify novel targets for evaluating neuroprotective therapeutics. As the pathophysiology of secondary injury evolves over time, antioxidant interventions must be able to adapt to evolution in the molecular causes of injury; each compound is likely to have a unique therapeutic time window based on the molecular timeline of secondary injury, during which it is most effective and outside of which it may lack significant benefit [222]. Thus, it is crucial to determine the most efficacious therapeutic window for initiating each antioxidant based on its physiochemical properties and molecular targets in TBI.

Many pharmacological methodological issues have limited the clinical application of antioxidant therapies. Failure to demonstrate sufficient CNS penetration, inadequate dose optimization, or failure to show effectiveness with the treatment delays common in human studies represent some key issues. Nanotechnology, including dendrimers and structural modifications like TPP, discussed earlier, offers excellent potential to increase the efficiency and efficacy of antioxidant therapeutics as their customizable size, stealthy chemistry, and multifunctionality allow them to enhance drug penetration through the BBB. One strategy to improve the delivery of antioxidants to the brain involves the use of the nose-to-brain route, with administration of the antioxidant in specific nasal formulations and its passage to the CNS mainly through the olfactory nerve route [226,227].

Outcomes between individuals following TBI greatly vary, making antioxidant treatment or other treatments for TBI so challenging [228]. The "one-size-fits-all" approach to TBI medicine that has been followed for many years is questionable. Due to this, many researchers have begun to investigate the possibility of using precision medicine techniques to address TBI treatment [228]. TheFDA-approved novel biomarkers for TBI screening, such as GFAP and UCH-L1, which are released from the brain into the bloodstream within 12 h of injury [229]. Notably, personalized stratification based on recently discovered biomarkers can account for individual variability, forming a practical tool that can be used to assist clinical decision making for early TBI diagnosis, and evaluation of therapeutics intervention. This approach holds the potential to overcome the challenges posed by TBI heterogeneity, offering a more tailored and effective strategy for treating TBI patients. An increased understanding of additional biomarkers across the TBI spectrum is needed to improve antioxidant precision medicine in TBI. We stress the importance of further research into this area to improve the clinical efficacy of antioxidant therapy for TBI in the future.

# 7. Holistic Approach to Improve TBI Outcomes

A holistic approach to provide support that looks at the whole person, not just their CNS health, should be taken into consideration for TBI management. TBI alone or in combination with polytraumatic injuries (i.e., TBI + polytrauma) heavily impacts the body, damaging the brain tissue and shifting homeostasis in many bodily systems such as the immune system, GI system, lungs, heart, and gut microbiota [11,12,230]. This systemic insult can result in changes throughout the body that can increase morbidity and even mortality following TBI [231]. Herein, we reviewed a bidirectional relationship between the gut microbiome and the brain, which also plays a role in TBI-associated pathology. Damage to the brain alters the composition of the microbiome; the altered microbiome affects TBI severity, neuroplasticity, and metabolic pathways through various bacterial metabolites [232]. Significant changes in the gut microbiome within two hours following a TBI was demonstrated in rats, and dysbiosis persisted throughout the study period of 7 days [233]. Furthermore, gut dysbiosis was associated with neuronal loss 3 months after TBI [234]. Notably, emerging research indicates a potential link between the gut microbiome and neurological health [232,233]. The interaction of the CNS and gut signaling pathways includes chemical, neural, metabolic, immune, and endocrine routes, and imbalances in these pathways have been associated with neurological disorders like PD, MS, and AD [235]. Therefore, microbiota manipulation has been proposed as a treatment target for such diseases [236]. While this field of research evolves, maintaining a healthy gut through diet and lifestyle may positively impact outcomes following TBI.

The gut-brain axis suggests that a bidirectional communication between the gut and the brain may influence neurological conditions. A balanced microbiome may contribute to antioxidant production, potentially influencing our body's ability to combat oxidative damage [237]. Recently, it has been shown that the intake of antioxidant compounds might modulate the composition of beneficial microbial species in the gut, and these commensal bacteria often exhibit antioxidant properties [238]. Thus, the antioxidant supplements and balanced microbiome complement each other due to their mutualistic associations. Probiotic-derived metabolites such as butyrate, propionate, and acetate may serve as alternative energy sources for an injured brain and may improve mitochondrial function following TBI [230,239]. Further supporting the benefit of antioxidants, polyphenol antioxidants such as quercetin, resveratrol, and flavonoid intervention have shown to selectively inhibit pathogenic bacteria in the gut [240]. Additionally, short-chain fatty acids (SCFAs), the main metabolites produced in the colon by bacterial fermentation may contribute to host energy production and ROS modulation [241]. Furthermore, the gut microbiota has been shown to regulate key transcriptional co-activators, transcription factors and enzymes involved in mitochondrial biogenesis, such as the PGC-1 $\alpha$ , SIRT1, and AMPK genes [241]. Thus, metabolites produced by commensal gut microbiota, including the beneficial SC-FAs, might influence key mitochondrial functions related to TBI pathobiology such as energy production, mitochondrial biogenesis, and redox balance, making them a potential therapeutic target.

Due to the high energy demands exist during the repair of an injured brain; and growing our understanding of brain-gut microbiota crosstalks for the host's overall health, we have briefly highlighted the existence of interactions between the brain, gut microbiota and mitochondrial redox homeostasis. However, the underlying mechanisms through which antioxidants might influence the gut–brain axis to exert neuroprotection in TBI is yet to be fully elucidated. This knowledge gap is of paramount clinical significance.

# 8. Conclusions

Emerging evidence indicates that mitochondrial homeostasis is central to the secondary injury cascade in TBI pathology, which lacks approved therapy. Loss of this homeostasis, including redox imbalance, excitotoxicity, calcium overload, bioenergetics failure, and apoptosis, are the main participants in mitochondria-centered damage following TBI, contributing to neuronal death and long-term neurobehavioral sequelae. Thus, mitochondria-targeted antioxidant strategies in TBI have been increasingly studied, as their maintenance could potentially preserve neuronal homeostasis and crucial brain functions. Properly selecting mitochondria-targeted antioxidants, greater understanding of the underlying injury mechanisms, better-tailored treatments, and the application of novel pharmacological methodology offer new insights into the successful management of TBI, and its translation from bench to bed. Therefore, the antioxidants reviewed here could be a viable therapeutic option to minimize secondary damage and improve the quality of life after TBI. However, further research using antioxidants as a treatment for TBI is necessary in order to move towards adding them into routine care for TBI.

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# Effects of L-Type Voltage-Gated Calcium Channel (LTCC) Inhibition on Hippocampal Neuronal Death after Pilocarpine-Induced Seizure

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Abstract: Epilepsy, marked by abnormal and excessive brain neuronal activity, is linked to the activation of L-type voltage-gated calcium channels (LTCCs) in neuronal membranes. LTCCs facilitate the entry of calcium (Ca<sup>2+</sup>) and other metal ions, such as zinc (Zn<sup>2+</sup>) and magnesium (Mg<sup>2+</sup>), into the cytosol. This  $Ca^{2+}$  influx at the presynaptic terminal triggers the release of  $Zn^{2+}$  and glutamate to the postsynaptic terminal. Zn<sup>2+</sup> is then transported to the postsynaptic neuron via LTCCs. The resulting  $Zn^{2+}$  accumulation in neurons significantly increases the expression of nicotinamide adenine dinucleotide phosphate (NADPH) oxidase subunits, contributing to reactive oxygen species (ROS) generation and neuronal death. Amlodipine (AML), typically used for hypertension and coronary artery disease, works by inhibiting LTCCs. We explored whether AML could mitigate Zn<sup>2+</sup> translocation and accumulation in neurons, potentially offering protection against seizureinduced hippocampal neuronal death. We tested this by establishing a rat epilepsy model with pilocarpine and administering AML (10 mg/kg, orally, daily for 7 days) post-epilepsy onset. We assessed cognitive function through behavioral tests and conducted histological analyses for  $Zn^{2+}$ accumulation, oxidative stress, and neuronal death. Our findings show that AML's LTCC inhibition decreased excessive Zn<sup>2+</sup> accumulation, reactive oxygen species (ROS) production, and hippocampal neuronal death following seizures. These results suggest amlodipine's potential as a therapeutic agent in seizure management and mitigating seizures' detrimental effects.

Keywords: seizure; amlodipine; L-type voltage-gated calcium channel; zinc; neuronal death; oxidative stress

### 1. Introduction

Epilepsy is a neurological disorder characterized by abnormal electrical activity in the brain, resulting in recurrent seizures. While the exact causes and mechanisms of epilepsy remain incompletely understood, significant progress has been made in understanding

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**Copyright:** © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). some of the contributing factors [1–3]. Seizures disrupt ion concentrations in the brain, including potassium and calcium, leading to the depolarization of neighboring neurons and the increased release of neuromodulators like zinc, which contribute to abnormal brain activity. Seizures can also damage various brain cells, such as astrocytes and microglia, disrupt microtubules, compromise the blood–brain barrier, and induce reactive oxidative stress. Zinc accumulation has also been observed in certain cases of epilepsy [4–6].

L-type voltage-gated calcium channels (LTCCs) play a critical role in regulating calcium influx in smooth muscle cells and neurons. The  $\alpha$ 1 subunit of LTCCs forms the channel pore and controls its opening. Upon membrane depolarization, the  $\alpha$ 1 subunit allows the entry of calcium ions (Ca<sup>2+</sup>) and other ions such as zinc (Zn<sup>2+</sup>) [7,8]. There are four isoforms of LTCCs, namely Cav1.1 ( $\alpha$ 1S), Cav1.2 ( $\alpha$ 1C), Cav1.3 ( $\alpha$ 1D), and Cav1.4 ( $\alpha$ 1F). In the brain, Cav1.2 and Cav1.3 are the predominant isoforms, with Cav1.2 being particularly abundant and playing a significant role in brain LTCCs. Cav1.2 has been associated with hippocampal long-term potentiation (LTP), a form of synaptic plasticity linked to learning and memory. It also participates in activity-dependent gene transcription [7–13].

Zinc is an essential mineral that plays crucial roles in various physiological functions in the body, including cell division, development, and DNA synthesis. Adequate zinc levels are necessary for optimal brain functioning and memory formation. However, disruptions in zinc homeostasis can have negative effects on brain function. Zinc deficiency can impair cognitive functions, particularly short-term memory. Conversely, excessive accumulation of zinc in brain cells can be detrimental. Following brain injuries such as seizures, ischemia, or trauma, an increase in neuronal death is observed. In these conditions, zinc accumulation within brain cells is believed to contribute to neuronal damage and cell death [14–17]. Zinc released during seizures can translocate to the intracellular space of postsynaptic neurons through various ion channels, including NMDA (N-methyl-D-aspartate) and AMPA ( $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid) receptors, as well as Ltype voltage-gated calcium channels (LTCCs). This influx of zinc into postsynaptic neurons can increase intracellular  $Zn^{2+}$  concentration [18,19]. Elevated intracellular  $Zn^{2+}$  levels can interact with NADPH oxidase, an enzyme involved in the production of reactive oxygen species (ROS), such as superoxide radicals. This interaction can promote ROS production within neurons. ROS are highly reactive molecules that can cause oxidative stress and damage cellular components, including proteins, lipids, and DNA. Increased ROS production and oxidative stress resulting from zinc-induced interactions can have deleterious effects on neurons, ultimately leading to neuronal death. This process has been implicated in various neurodegenerative disorders, including Alzheimer's disease, Parkinson's disease, and ischemic brain injury [20,21].

Amlodipine is a dihydropyridine (DHP)-type drug commonly used as a calcium channel blocker (CCB). It specifically acts on L-type voltage-gated calcium channels (LTCCs) in various tissues, including smooth muscle cells in blood vessels and cardiac myocytes [22,23]. Amlodipine is primarily indicated for hypertension and angina. By reducing peripheral vascular resistance and improving coronary blood flow, amlodipine lowers blood pressure and relieves angina symptoms. Recent research has explored the potential use of amlodipine beyond vascular diseases and into the field of brain diseases [24,25].

During seizures, there is excessive activation of neurons and other cells in the brain, leading to an overload of calcium ions (Ca<sup>2+</sup>) and zinc ions (Zn<sup>2+</sup>). This overload can contribute to cell death and neuronal damage. The excessive influx of Ca<sup>2+</sup> and Zn<sup>2+</sup> into brain cells is facilitated by calcium channels, including L-type voltage-gated calcium channels (LTCCs). Amlodipine, as a dihydropyridine (DHP)-type calcium channel blocker, specifically binds to and blocks the Cav1.2  $\alpha$ 1 subunit, which is the main component of LTCCs. By inhibiting the activity of Cav1.2 channels, amlodipine can effectively reduce the influx of calcium ions into brain cells. Additionally, by blocking LTCCs, amlodipine may indirectly affect the accumulation of Zn<sup>2+</sup> in brain cells. As previously mentioned, excessive activation of neurons and cells during seizures can lead to the release of zinc ions, and the entry of Zn<sup>2+</sup> into cells is facilitated by calcium channels, including LTCCs. By

inhibiting LTCCs, amlodipine may help reduce the influx of  $Zn^{2+}$  into brain cells, potentially mitigating the detrimental effects of zinc overload [26–28].

Some reports have suggested the neuroprotective effects of amlodipine in central nervous system diseases and its potential use as an anticonvulsant in acute seizures [29–33]. Based on these findings, we hypothesize that treatment with amlodipine after pilocarpine-induced seizures in an animal model may lead to a reduction in oxidative stress, zinc accumulation, astrocyte and microglia over-activation, blood–brain barrier damage, and microtubule damage, and to an increase in cognitive function.

We hypothesize that amlodipine may exert a neuroprotective effect in seizure conditions. To test this hypothesis, we employed a controlled experimental design involving four groups: a seizure-vehicle group, a seizure-amlodipine group, and two sham controls. Our method included administering amlodipine post-seizure induction, followed by quantitative assessments using NeuN (Neuronal Nuclear) and DAPI (4',6-diamidino-2phenylindole) staining, to evaluate neuronal survival and integrity. Our findings suggest that amlodipine treatment leads to an increase in NeuN-positive cells compared to the seizure-vehicle group, indicating a potential protective effect against seizure-induced neuronal damage. However, these numbers did not reach the levels observed in the sham groups, suggesting a partial mitigation effect.

# 2. Materials and Methods

# 2.1. Ethics Statement and Care of Experimental Animals

The present study adhered to ethical guidelines for animal research and was approved by the Animal Research Committee at the College of Medicine at Hallym University (protocol number: Hallym 2021-39). Adult male Sprague Dawley rats (SD-Rats) were obtained from DBL Co. in Chungcheongbuk-do, Republic of Korea. The rats used in the experiment were approximately 8 weeks old and had an initial weight of 300–350 g. Strict control was maintained over environmental conditions, including a temperature of  $20 \pm 2$  °C, humidity levels of 55%  $\pm$  5%, and a 12 h light/dark cycle.

#### 2.2. Seizure Induction

Seizures were induced in rats through the administration of pilocarpine (25 mg/kg, i.p.) [34–37]. Prior to the injection of pilocarpine, lithium chloride (LiCl, 127 mg/kg, i.p.) was intraperitoneally administered, to enhance the action of muscarinic receptors. Additionally, scopolamine (2 mg/kg, i.p.) was injected 30 min before pilocarpine to reduce saliva production. The severity of status epilepticus (SE) was assessed using the Racine stage method, which categorizes seizures into five stages based on the following observed behaviors: 1. facial movement, 2. head nodding, 3. forelimb clonus, 4. rearing, and 5. falling. Following the occurrence of forelimb clonus and rearing, our pilocarpine-induced seizure model consistently reached a seizure severity of over phase 4, characterized by rearing, as per the Racine scoring system. This confirms the successful induction of seizures in our experimental setup [38,39]. Diazepam (10 mg/kg, i.p.), a commonly used antiepileptic medication for terminating or suppressing seizures, was administered one hour later. This experimental design allowed for the controlled induction and assessment of the severity of status epilepticus in the rats, followed by the administration of diazepam to manage and control the seizures.

#### 2.3. Amlodipine Administration

The animals were divided into four groups: sham-vehicle, sham-amlodipine, seizurevehicle, and seizure-amlodipine. In the amlodipine groups, amlodipine was administered orally at a dose of 10 mg/kg, dissolved in 0.9% saline. The first dose of amlodipine or saline was administered 1 h after seizure induction, and the treatment was continued daily for 7 days. For the behavior test to check cognitive function, the seizure groups received amlodipine or saline 1 h after seizure induction, and the administration was performed once a day for 12 days. For the TSQ (N-(6-methoxy-8-quinolyl)-para-toluene sulfonamide) staining procedure to check zinc accumulation, the seizure-amlodipine group received amlodipine (10 mg/kg, p.o., Daewoong, Dr.Reddy's lab, Korea) 1 h after seizure induction, while the seizure-vehicle group received an equivalent volume of 0.9% saline at the same time [40].

### 2.4. Brain Sample Preparation

The rats that experienced seizures were euthanized at two time points, 24 h and 7 days after seizure induction. Anesthesia was induced by administering urethane (1.5 g/kg, i.p.). To preserve the brain tissue, the animals were perfused with 0.9% saline, followed by 4% paraformaldehyde. The brains were carefully extracted and post-fixed in 4% paraformaldehyde for 1 h. Following fixation, the brains were transferred to a 30% sucrose solution and allowed to sink until they reached the desired consistency. After two days, the brains were sectioned into 30 $\mu$ m thick slices using a cryostat microtome (CM1850; Leica, Wetzlar, Germany).

#### 2.5. Detection of Zinc Accumulation

After a 24 h period following seizure induction, the rats were anesthetized with urethane (1.5 g/kg, i.p.), and the brains were harvested without perfusion. To preserve the brain tissue, the brains were rapidly frozen in dry ice for approximately 1 min and then stored at -80 °C. Using a cryostat, brain samples were cut into 10 µm thick slices. These sliced sections were immediately mounted onto pre-coated slides (Fisher Scientific, Pittsburgh, PA, USA) and allowed to dry at room temperature for 1 h. Subsequently, the samples were stained with a 0.001% solution of TSQ (N-(6-methoxy-8-quinolyl)-paratoluene sulfonamide) obtained from Molecular Probes, Eugene, OR, USA [40]. The staining process lasted for 1 min. After staining, the samples were washed with a 0.9% saline solution for 1 min. The stained samples were observed using a fluorescence microscope (Olympus, Tokyo, Japan) equipped with UV light under a 360 nm wavelength and a 500 nm long-pass filter. This allowed for the visualization of the TSQ-positive cells, indicating zinc accumulation. To quantify the TSQ-positive cells, blind quantification was performed. The cells were counted without prior knowledge of the experimental conditions, ensuring an unbiased assessment of the results.

#### 2.6. Detection of Oxidative Stress in the Hippocampal Region

For the 4-hydroxyl-2-nonenal (4HNE) immunohistochemistry assay, brain tissue samples were washed three times in 0.01 M phosphate-buffered saline (PBS) for 10 min each. A pretreatment step was performed to eliminate any blood present in the brain tissue's blood vessels. The tissue samples were sequentially treated with distilled water, 90% methanol, and 30% hydrogen peroxide for 15 min each. After each treatment, the samples were washed three times for 10 min each in 0.1 M PBS. The brain tissue samples were then incubated overnight at 4 °C with a 4HNE-specific primary antibody solution. The primary antibody used was mouse anti-4HNE serum, obtained from Alpha Diagnostic Intl. Inc., San Antonio, TX, USA, diluted at a ratio of 1:500 in PBS containing 0.3% Triton X-100. Following the overnight incubation, the brain tissue samples were washed three times for 10 min each in 0.01 M PBS. Next, the samples were incubated with a secondary antibody solution containing donkey anti-mouse IgG conjugated with Alexa-Fluor-594 at a dilution of 1:250, obtained from Invitrogen, Grand Island, NY, USA. This incubation step was carried out at room temperature for 2 h. After incubation with the secondary antibody, the brain tissue samples were washed three times for 10 min each in 0.01 M PBS. Finally, the tissue samples were mounted onto slides, cover-slipped (Fisher Scientific, Pittsburgh, PA, USA) using DPX (Sigma-Aldrich Co., St. Louis, MO, USA) mounting medium obtained from Sigma-Aldrich Co., St. Louis, MO, USA, and observed using a fluorescence microscope from Olympus, Tokyo, Japan. To quantify the fluorescence intensity of the 4HNE staining, we used the ImageJ software (version 1.47c; NIH, Bethesda, MD, USA), developed by the National Institutes of Health, Bethesda, MD, USA, to quantify the fluorescence intensity of the 4HNE staining. Specifically, we analyzed the intensity within defined regions of interest in the

tissue sections. The software allowed us to calculate the mean fluorescence intensity for these regions, and we then averaged these values to represent the overall fluorescence intensity for each experimental group.

## 2.7. Immunofluorescence Assay

To evaluate the impact of amlodipine on neuronal cells, an immunofluorescence assay was conducted. Brain tissue samples underwent a series of procedures, outlined as follows: First, the samples were washed in 0.01 M PBS for 10 min, and this process was repeated three times. Subsequently, a pretreatment solution, composed of distilled water, 90% methanol, and 30% hydrogen peroxide, was applied to the brain tissues for 15 min. After the pretreatment, the tissues were washed in 0.01 M PBS for 10 min, and this step was repeated three times. The brain tissue samples were then incubated overnight at 4 °C with primary antibodies. The specific primary antibodies used, along with their respective dilutions, in this study were as follows: rabbit anti-MAP2 (1:200, Abcam), rabbit anti-GFAP (1:1000, Abcam, Cambridge, UK), goat anti-C3 (1:300, Invitrogen, Boston, MA, USA), goat anti-Iba1 (1:500, Abcam, Cambridge, UK), mouse anti-CD68 (1:100, Bio-rad, California, USA), mouse anti-NeuN (1:500, Millipore, Billerica, MA, USA), and rabbit anti-Cav1.2 (1:300, Alomone labs, Jerusalem BioPark, Jerusalem). All primary antibodies were diluted in PBS containing 0.3% Triton X-100 (PBS-T). Following the overnight incubation, the brain samples were washed three times for 10 min each in 0.01 M PBS. Subsequently, the brain tissue samples were subjected to a 2 h incubation with a secondary antibody solution in PBS-T. The secondary antibodies used were specifically selected to align with the host species of the primary antibodies and were conjugated with fluorescent markers. However, the precise details of the secondary antibodies were not provided. After incubation with the secondary antibodies, the brain tissue samples underwent three washes of 10 min each in 0.01 M PBS. The washed brain samples were then mounted onto slides and cover-slipped (Fisher Scientific, Pittsburgh, PA, USA) using DPX (Sigma-Aldrich Co., St. Louis, MO, USA) mounting medium and observed using a fluorescence microscope from Olympus, Tokyo, Japan. For the fluorescence intensity measurement of brain tissue samples, we utilized ImageJ software (version 1.47c; NIH, Bethesda, MD, USA). The average value of the mean fluorescence intensity was determined and reported. Overall, this immunofluorescence assay provided insights into the effects of amlodipine on various neuronal markers, including MAP2, GFAP, C3, Iba1, CD68, NeuN, and Cav1.2, in the brain tissue samples.

# 2.8. Immunohistochemistry Assay

To evaluate live neuron detection and blood-brain barrier (BBB) disruption in brain tissue, the following steps were conducted, according to the immunofluorescence assay protocol. First, brain tissue samples were washed and pretreated as described. Then, the samples were incubated overnight at 4 °C with a primary antibody solution, containing mouse anti-NeuN (1:500, Millipore, Billerica, MA, USA) and 0.3% Triton-X in PBS. After the overnight incubation, the brain samples were washed three times with 0.01 M PBS. Subsequently, the samples were incubated with a secondary antibody solution containing anti-mouse IgG (1:250, Vector, Burlingame, CA, USA) and 0.3% Triton-X in PBS for 2 h at room temperature. This step allowed for the detection of IgG leakage following a seizure. For the analysis of IgG leakage, brain samples underwent the same pretreatment and washing steps as mentioned above. Then, the samples were incubated with a secondary antibody solution containing anti-rat IgG (1:250, Vector Labororoid, Burlingame, CA, USA) and 0.3% Triton-X in PBS for 2 h at room temperature. After the secondary antibody incubation, an ABC (avidin-biotin complex, Vector, Burlingame, CA, USA) solution was applied to the brain samples at room temperature for 2 h, helping to amplify the signal from the primary antibody. The brain samples were then colored with a 3,3'-diaminobenzidine (DAB, Sigma-Aldrich Co., St. Louis, MO, USA) solution in 0.01 M PBS buffer for 2 min. This reaction produced a brown color, as the DAB reacted with the ABC complex. The colored brain samples were mounted onto slides (Fisher Scientific, Pittsburgh, PA, USA)
using a Canada balsam mounting medium (Junsei Chemical, Chuo-ku, Tokyo, Japan), and they were cover-slipped. To quantify the number of NeuN-positive cells and assess IgG leakage, we have now included a detailed description of the image analysis technique used for quantifying staining intensity, which serves as an indicator of blood leakage. This includes the ImageJ software used, the parameters set for analysis, and the approach for selecting regions of interest. The image analysis software program ImageJ (version 1.47c; NIH, Bethesda, MD, USA) was employed. This software facilitated the measurement of staining intensity and the quantification of the desired markers. By following this protocol, the immunohistochemistry assay enabled the detection of live neurons in the area of the hippocampus (CA1, CA3, hilus, subiculum) using the NeuN marker and the assessment of BBB disruption by analyzing IgG leakage in all hippocampal regions.

#### 2.9. Behavior Test

## 2.9.1. Barnes Maze Test

To evaluate the recovery of spatial cognitive ability after seizures, we conducted a study where rats were treated with amlodipine and subjected to the Barnes maze test. The rats, which had been epileptic for a week, were divided into two groups: one group received amlodipine treatment from the first day of seizure induction, while the other group had a rest period before starting the treatment. The Barnes maze test involved a circular board with multiple holes, with only one hole open and a cage placed underneath it. The rats were assessed over several trials, with each trial consisting of the rat being placed in a black cylinder, disoriented, and timed as they found the opening hole. After five days of testing, the rats were euthanized, and samples were stained using the previously mentioned method.

### 2.9.2. Adhesive Removal Test

We examined cognitive recovery post-seizure using amlodipine in rats, employing both the adhesive removal test and the Barnes maze test on the same days. In the adhesive removal test, rats had 1 cm tape pieces on their paws; if removal exceeded 2 min, we intervened. This test assessed fine motor skills and sensory perception. Multiple trials were conducted for each rat. The Barnes maze test evaluated spatial learning and memory. The combination of these tests allowed for a comprehensive assessment of cognitive function recovery, shedding light on the effects of amlodipine treatment.

#### 2.10. Data Analysis

The data in this study are reported as the mean  $\pm$  SEM. To compare the vehicletreated and amlodipine-treated groups, statistical analyses were conducted using the Mann–Whitney U test or the Kruskal–Wallis with post hoc Bonferroni. Behavioral data were assessed for variance using an ANOVA. All data were analyzed using IBM, from the Statistical Package for the Social Sciences (IBM SPSS statistics version 25, Chicago, IL, USA) software. Moreover, a blind test approach was implemented during the statistical analysis to reduce bias.

#### 3. Results

# 3.1. Amlodipine Administration Reduced Cav 1.2 Activation and Zinc Accumulation in Neurons Following Seizure

In this study, we quantified the expression of the Cav 1.2 calcium channel as an indirect indicator of altered calcium influx in neuronal cells [41,42]. Our objective was to examine the effects of amlodipine, an inhibitor of L-type voltage-gated calcium channels (LTCCs), on Cav1.2 channel expression and zinc accumulation in the hippocampal region of the brain. Cav1.2 channels play a crucial role in the influx of various ions, including Ca<sup>2+</sup> and Zn<sup>2+</sup>, and their activation during seizures leads to Zn<sup>2+</sup> accumulation in neuronal cells.

To evaluate Cav1.2 channel expression, we performed immunofluorescence staining of brain samples using an assay that specifically targeted Cav1.2 channels in NeuN-positive

cells, representing live neurons. We compared the expression levels between the group treated with amlodipine after status epilepticus (SE) and the SE group treated with a vehicle, as well as the vehicle-only groups. Our findings revealed that Cav1.2 expression was significantly higher in the CA1 and CA3 regions of the hippocampus in the vehicle-treated SE group, compared to the amlodipine-treated SE group and the vehicle-only groups. Interestingly, the expression levels of Cav1.2 channels in the SE amlodipine-treated group were comparable to those in the sham-treated group. Thus, our results demonstrate that amlodipine effectively reduced Cav1.2 channel expression during seizures (Figure 1A–D).



**Figure 1.** Amlodipine administration reduced Cav 1.2 activation and zinc accumulation in neurons following seizure. (**A**,**C**) Confocal micrographs of Cav1.2 (red), NeuN (green), and DAPI (blue) in the hippocampal CA1 and CA3 regions. Scale bar =  $20 \ \mu$ m. (**B**,**D**) The bar graph shows the intensity of Cav1.2 in the hippocampal regions CA1 and CA3. Both graphs indicate that the intensity of Cav1.2 in the seizure-amlodipine group is similar to that of the sham groups and approximately half of that in the seizure-vehicle group, with significant differences. Additionally, there was no significant difference between the sham-vehicle group and the sham-amlodipine group. Animals were sacrificed on day 7. Cav 1.2 expression decreased in the seizure-amlodipine group, compared with seizure-vehicle

group, by about 46% in the CA1 region (seizure-vehicle group,  $113.9 \pm 5.9$ ; seizure-amlodipine group,  $61.0 \pm 7.2$ ; sham-vehicle group,  $61.6 \pm 2.7$ ; sham-amlodipine group,  $64.0 \pm 3.3$ ), and by 54% in the CA3 region (seizure-vehicle group,  $65.7 \pm 5.5$ ; seizure-amlodipine group,  $30.0 \pm 4.2$ ; sham-vehicle group,  $20.0 \pm 1.7$ ; sham-amlodipine group,  $29.3 \pm 9.7$ ). The sample sizes were n = 3 animals for each sham group and n = 5 animals for each seizure group. (Bonferroni post hoc test after Kruskal–Wallis test, CA1: chi-squared = 10.212, df = 3, p = 0.017; CA3: chi-squared = 10.471, df = 3, p = 0.015.) (E) Difference in the number of TSQ (+) cells between the seizure-vehicle and seizure-amlodipine groups in the CA1 region one day after the seizure. (F) The seizure-amlodipine group shows a significant reduction in TSQ-positive neuron cells, with a decrease of about 66%, compared to the seizure-vehicle group. Thus, amlodipine treatment after a seizure reduces Cav1.2 over-activation and zinc accumulation, compared to vehicle treatment after a seizure. Animals were sacrificed on day 1. TSQ (+) neuron cells decreased in the seizure-amlodipine group, compared with seizure-vehicle group, by about 66% in the CA1 region (seizure-vehicle group,  $47.5 \pm 8.0$ ; seizure-amlodipine group,  $16.0 \pm 5.0$ ). Scale bar = 100  $\mu$ m (the sample sizes were n = 5 animals for the seizure-amlodipine group, n = 6 animals for the seizure-vehicle group), (Mann–Whitney U test measurement results, whole brain region z = 2.373, p = 0.018). # indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, p < 0.05.

Furthermore, we conducted TSQ staining to assess zinc accumulation in the hippocampal region following pilocarpine-induced seizures. Seizures result in excessive neuronal activation, leading to neuronal damage and the subsequent accumulation of zinc within neurons. By blocking LTCCs, which are ion channels in neurons, our aim was to mitigate zinc accumulation and its potential detrimental effects on neuronal survival. Neither the sham-vehicle nor the sham-amlodipine groups showed significant zinc accumulation in neurons. However, within the seizure groups, a notable zinc accumulation was observed. Crucially, the seizure-vehicle group exhibited a twofold increase in the number of neurons with positive zinc accumulation compared to the seizure-amlodipine group. These findings highlight the efficacy of amlodipine in reducing Cav1.2 channel expression and diminishing zinc accumulation in neurons, potentially contributing to neuroprotection against cell death (Figure 1E,F).

In summary, our study demonstrates that amlodipine treatment during seizures effectively downregulates Cav1.2 channel expression and limits zinc accumulation in neurons. These findings underscore the potential neuroprotective properties of amlodipine, highlighting its therapeutic value in mitigating neuronal damage associated with seizures.

### 3.2. Administration of Amlodipine Decreased Reactive Oxidative Stress (ROS) after Seizure

To investigate the impact of amlodipine on reactive oxidative stress (ROS) in neuronal damage, we examined the expression of ROS in the hippocampal region one week after pilocarpine-induced seizures. ROS, triggered by factors such as neuronal over-activation and ion accumulation during seizures, can cause significant harm to neuronal cells through DNA, protein, and lipid damage, ultimately leading to cell death.

To assess seizure-induced ROS expression, we performed 4HNE staining in the hippocampal region. The results demonstrated a significant expression of ROS following pilocarpine-induced seizures. However, when amlodipine was administered, it effectively reduced ROS expression in the CA1, CA3, Sub, and DG regions (Figure 2A,B).

These findings indicate that amlodipine administration can effectively decrease ROS levels following seizures, suggesting its potential role in mitigating neuronal damage caused by oxidative stress.



**Figure 2.** Administration of amlodipine decreased reactive oxidative stress (ROS) after seizure. ROS was detected using 4-hydroxy-2-nonenal (4HNE) (red) staining in the hippocampal CA1, CA3, dentate gyrus (DG), and subiculum (Sub) regions, 7 days after the seizure. (A) Micrographs showing 4HNE (red) in the hippocampal CA1, CA3, DG, and Sub regions. (B) In every region, there was no significant difference between the sham groups. There were differences in intensity between the seizure-vehicle and seizure-amlodipine groups in every region, including the CA3 region. In every region, the intensity was similar between the sham groups and the seizure-amlodipine-treated group, and there was also a significant difference between the sham-vehicle group and the seizure-vehicle group. Therefore, administering amlodipine after a seizure significantly reduced ROS, compared to administering the vehicle after a seizure. Animals were sacrificed on day 7. The expression of 4HNE decreased in the seizure-amlodipine group, compared with seizure-vehicle group, by about 68% in the CA1 region (seizure-vehicle group,  $5.9 \pm 1.0$ ), by 52% in the CA3 region (seizure-vehicle group,

27.0 ± 2.4; seizure-amlodipine group, 13.1 ± 1.6; sham-vehicle group, 7.1 ± 0.5; sham-amlodipine group, 29.2 ± 1.3), by 69% in the hilus (seizure-vehicle group, 20.1 ± 3.4; seizure-amlodipine group, 6.6 ± 1.8; sham-vehicle group, 7.0 ± 0.6; sham-amlodipine group, 5.1 ± 2.1), and by 67% in the subiculum (seizure-vehicle group, 28.0 ± 4.0; seizure-amlodipine group, 8.6 ± 1.2; sham-vehicle group, 6.3 ± 0.7; sham-amlodipine group, 7.6 ± 1.1). Scale bar = 100 µm (the sample sizes were n = 5 animals for each sham group, n = 6 animals for the seizure-vehicle group, and n = 8 animals for the seizure-amlodipine group). (Bonferroni post hoc test after Kruskal–Wallis test, CA1: chi-squared = 11.085, df = 3, *p* = 0.011; CA3: chi-squared = 12.686, df = 3, *p* = 0.002; subiculum: chi-squared = 13.485, df = 3, *p* = 0.004; dentate gyrus: chi-squared = 12.686, df = 3, *p* = 0.005). # indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, *p* < 0.05.

#### 3.3. Amlodipine Administration Increased Neuron Survival after Seizure

To evaluate the potential neuroprotective effect of amlodipine in pilocarpine-induced status epilepticus (SE), we stained neuronal nuclei (NeuN) to identify and quantify neurons in various regions of the hippocampus, including the CA1, CA3, Sub, and DG regions. Our objective was to compare the number of NeuN-positive neuron cells in the amlodipine-treated group and in the vehicle-treated group, to assess neuronal survival. After one week, the analysis of stained brain samples revealed a significantly higher number of live neuron cells in the amlodipine-treated SE group, compared to the SE vehicle group. This observation suggests that administering amlodipine for one week in rats has a neuroprotective effect, mitigating neuronal death caused by pilocarpine-induced status epilepticus.

The seizure-amlodipine group had a higher number of NeuN-positive cells compared to the seizure-vehicle group. NeuN is a neuronal marker, and NeuN-positive cells are typically used to quantify neurons in various conditions. Despite this increase, the number of NeuN-positive cells in the seizure-amlodipine group was still lower than each sham group. This implies that, while amlodipine may have had a protective or restorative effect on neuron numbers post-seizure, it did not fully restore neuron numbers to the level seen in the healthy control (sham) groups (Figure 3A,B).

These findings indicate that amlodipine may play a crucial role in preserving neuronal integrity and enhancing neuronal survival during status epilepticus, highlighting its potential as a therapeutic agent for protecting against the harmful effects of seizures on neuronal cells.

## 3.4. Amlodipine Reduced Blood-Brain Barrier Breakdown after Seizure

To explore amlodipine's potential in safeguarding the blood–brain barrier (BBB) against pilocarpine-triggered seizures, we performed immunohistochemical examinations on brain tissues to measure serum IgG leakage, a marker of BBB impairment during seizures. Brain sections were immunostained for rat IgG, to compare leakage levels between the amlodipine- and vehicle-treated groups under seizure conditions. Our analysis revealed notably reduced IgG leakage in the amlodipine group, indicative of a significant protective effect of the drug on the BBB integrity during seizures. These results suggest that amlodipine may be instrumental in maintaining BBB health, potentially shielding the brain from deleterious agents and seizure-associated neuronal harm (Figure 4A,B).

Upon re-examination of the staining intensity data, we observed a notable variance in leakage among individual samples within the amlodipine-treated pilocarpine group. This variance is now more accurately represented in the revised graph in Figure 4, which shows the range of staining intensities observed, highlighting the significant difference in leakage when compared to the sham controls.



**Figure 3.** Amlodipine administration increased neuron survival after seizure. (**A**) Micrographs of NeuN (+) cells representing surviving cells in the hippocampal CA1, CA3, hilus, and subiculum (Sub) regions. The number of surviving neurons appears to be greater in the seizure-amlodipine group than in the seizure-vehicle group. (**B**) Cell counting in the micrographs of every region shows a significant difference between the sham-vehicle group and the seizure-vehicle group. In the CA1 and hilus regions, cell counting showed that the seizure-amlodipine group had approximately twice the number of NeuN (+) cells as the seizure-vehicle group. In the CA3 and Sub regions, the seizure-amlodipine group had more NeuN (+) cells, which was a noticeable difference from the seizure-vehicle group. Additionally, in every region, there is no significant difference in NeuN (+) cells between the sham-vehicle group. Therefore, administering amlodipine after a seizure significantly increases neuron survival compared to treating with a vehicle after a seizure. Animals were sacrificed on day 7. The number of NeuN (+) cells was higher in the seizure-amlodipine group than the seizure-vehicle group by about 58% in the CA1 region (seizure-vehicle group, 89.5  $\pm$  21.5;

seizure-amlodipine group, 215.4  $\pm$  32.3; sham-vehicle group, 401.6  $\pm$  22.3; sham-amlodipine group, 389.5  $\pm$  6.9), by 34.3% in the CA3 region (seizure-vehicle group, 257.9  $\pm$  8.7; seizure-amlodipine group, 392.8  $\pm$  24.7; sham-vehicle group, 551.1  $\pm$  28.3; sham-amlodipine group, 659.0  $\pm$  19.4), by 49% in the hilus region (seizure-vehicle group, 46.7  $\pm$  8.0; seizure-amlodipine group, 92.1  $\pm$  10.0; sham-vehicle group, 125.2  $\pm$  11.0; sham-amlodipine group, 117.0  $\pm$  2.8), and by 39% in the subiculum (seizure-vehicle group, 179.9  $\pm$  14.1; seizure-amlodipine group, 297.0  $\pm$  25.4; sham-vehicle group, 353.8  $\pm$  14.9; sham-amlodipine group, 380.3  $\pm$  6.6). Scale bar = 100 µm (the sample sizes were n = 5 animals for each sham group, n = 6 animals for the seizure-vehicle group, and n = 8 animals for the seizure-amlodipine group, and n = 8 animals for the seizure-amlodipine group, 3f = 3, *p* = 0.001; CA3: chi-squared = 18.543, df = 3, *p* < 0.001; subiculum: chi-squared =15.535, df = 3, *p* = 0.001; dentate gyrus: chi-squared = 15.649, df = 3, *p* < 0.001.) <sup>#</sup> indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, *p* < 0.05.



Scale bar=100µm



**Figure 4.** Amlodipine administration reduced blood–brain barrier (BBB) breakdown after seizure. (**A**,**B**) The micrographs show the amount of IgG leakage in a specific region of the whole brain. We found a significant difference between the sham-vehicle group and the seizure-vehicle group. There is also a twofold decrease in IgG leakage between the seizure-amlodipine group and the seizure-vehicle group. However, the IgG leakage in the seizure-amlodipine group is similar to that of the sham groups. Also, the sham-vehicle group shows no significant difference when compared with the sham-amlodipine

group. This indicates that administering an amlodipine treatment after a seizure significantly reduces IgG leakage. The scale bar represents a distance of 100 µm. The data are presented as mean values with the standard error of the mean (SEM) indicated. The sample sizes were n = 3 animals for each sham group, n = 5 animals for the seizure-vehicle group, and six for the seizure-amlodipine group. The difference between the seizure-amlodipine group and the seizure-vehicle group is considered statistically significant, with a *p*-value less than 0.05. Animals were sacrificed on day 7. IgG leakage decreased in the seizure-amlodipine group, compared with the seizure-vehicle group, by about 53% in the hippocampal area (seizure-vehicle group,  $37.4 \pm 6.0$ ; seizure-amlodipine group,  $17.6 \pm 4.3$ ; sham-vehicle group,  $12.1 \pm 2.4$ ; sham-amlodipine group,  $12.3 \pm 3.8$ ). (Bonferroni post hoc test after Kruskal–Wallis test of IgG, CA1: chi-squared = 7.763, df = 3, *p* = 0.051.) <sup>#</sup> indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, *p* < 0.05.

## 3.5. Amlodipine Administration Reduced Astrocyte Over-Activation after Seizure

In order to investigate the activation of astrocytes in the CA1 region of the hippocampus following pilocarpine-induced seizures, we conducted staining using glial fibrillary acidic protein (GFAP) and complement component 3 (C3). Astrocytes become activated in response to seizure-induced brain damage, leading to the expression of C3. The presence of C3 triggers synaptic death through phagocytosis by microglia or macrophages that recognize the C3 receptor, contributing to neuronal death and other seizure-related side effects.

The results of our staining analysis revealed that the seizure-vehicle group exhibited three times higher astrocyte activation than the sham groups. Similarly, the seizureamlodipine group showed twice the level of astrocyte activation of the sham groups. Notably, there was a significant difference observed between the seizure-vehicle and seizure-amlodipine groups, in terms of astrocyte activation. The seizure-vehicle group had a lower number of DAPI-positive cells than the sham operating group. DAPI is a fluorescent stain that binds strongly to DNA, and it is commonly used in microscopy to visualize cell nuclei. This observation could imply a reduced number of cells in the seizure-vehicle group compared to the sham group (Figure 5A,B).

Furthermore, the intensity of C3 staining in the CA1 region was higher in the seizurevehicle group compared to the other groups, while the seizure-amlodipine group exhibited similar intensity to the sham groups. This suggests that treating seizures with amlodipine significantly reduces the excessive activation of astrocytes and subsequent release of C3, which is associated with synaptic death in neurons [42].

Based on these findings, it can be concluded that amlodipine has a protective effect in mitigating astrocyte-mediated damage and neuronal death in the context of seizures. By reducing astrocyte activation and the release of C3, amlodipine may help preserve synaptic integrity and neuronal function, offering potential neuroprotective benefits during seizures.

#### 3.6. Amlodipine Administration Reduced Microglia Over-Activation after Seizure

To evaluate the activation of microglia, we conducted staining using ionized calciumbinding adaptor molecule-1 (Iba1) and cluster of differentiation 68 (CD68), which specifically identify microglia and inflammatory microglia, respectively [43,44]. Microglia are the primary immune cells in the brain and play a crucial role in immune and inflammatory responses. However, the excessive activation of microglia after seizures can result in additional harm to neurons. In our study, we measured the intensity of Iba1 staining to assess microglia activation. The results demonstrated that the seizure-vehicle group exhibited approximately three times higher Iba1 intensity than the seizure-amlodipine group. Additionally, we measured the intensity of CD68 staining, to evaluate the presence of inflammatory microglia. The sham groups displayed similar intensities of CD68 staining, while the seizure-vehicle group showed around twice the intensity in comparison with the seizure-amlodipine group (Figure 6A,B).



Figure 5. Amlodipine administration reduced astrocyte over-activation after seizure. (A) The micrographs show the staining of GFAP (green), C3 (red), and DAPI (blue) in the hippocampal CA1 region. (B) The bar graph illustrates the intensity of GFAP, which is significantly different in the sham-vehicle group compared with the seizure-vehicle group; there is also a significant difference between the seizure-amlodipine group and the seizure-vehicle group. The intensity of C3 in the seizure-amlodipine group is comparable to each sham group and is approximately three times lower than that of the seizure-vehicle group. There is also a significant difference between the sham-vehicle group and the seizure-vehicle group. Also, there is no significant difference in GFAP and C3 intensity among the various sham groups. This indicates that administering an amlodipine treatment after a seizure reduces the over-activation of astrocytes. The scale bar represents a distance of 100 µm. The data are presented as mean values, with the standard error of the mean (SEM) indicated. The sample sizes were five animals for each sham group, six animals for the seizure-vehicle group, and eight animals for the seizure-amlodipine group. The difference between the seizure-amlodipine group and the seizure-vehicle group is considered statistically significant, with a p-value less than 0.05. Animals were sacrificed on day 7. GFAP and C3 intensity decreased in the seizure-amlodipine group, compared with the seizure-vehicle group, by about 33% in the CA1 region for GFAP (seizure-vehicle group,  $17.3 \pm 1.8$ ; seizure-amlodipine group,  $11.6 \pm 1.0$ ; shamvehicle group,  $5.1 \pm 0.4$ ; sham-amlodipine group,  $3.7 \pm 0.8$ ) and by 64% in the CA1 region for C3 (seizure-vehicle group,  $17.3 \pm 2.5$ ; seizure-amlodipine group,  $6.2 \pm 1.4$ ; sham-vehicle group,  $5.5 \pm 1.9$ ; sham-amlodipine group,  $12.9 \pm 0.8$ ). (Bonferroni post hoc test after Kruskal–Wallis test for GFAP, CA1: chi-squared = 12.894, df = 3, p = 0.005; Bonferroni post hoc test after Kruskal–Wallis test for C3, CA1: chi-squared = 12.246, df = 3, p = 0.007.) <sup>#</sup> indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, p < 0.05.



Figure 6. Amlodipine administration reduced microglia over-activation after seizure. (A) The micrographs depict the staining of Iba1 (red), CD68 (green), and DAPI (blue). There is a high presence of detected cells positive for both Iba1 and CD68 in the seizure-vehicle group. (B) The bar graph displays the intensity of Iba1 staining, which is found to be twice as high in the seizure-vehicle group compared to the other groups. The intensity of Iba1 in both the seizure-amlodipine group and each sham group is almost similar. Additionally, there is a significant difference in C3 intensity between the seizure-vehicle group and the seizure-amlodipine group, with the latter showing a slightly higher intensity compared to each sham group. Additionally, both graphs illustrate a significant difference between the sham-vehicle group and the seizure-vehicle group, while showing no significant difference among the sham groups. This indicates that administering amlodipine after a seizure reduces the over-activation of astrocytes, in comparison to the seizure-vehicle group. The scale bar represents a distance of 100  $\mu$ m. The data are presented as mean values with the standard error of the mean (SEM) indicated. The sample sizes were five animals for each sham group, six animals for the seizure-vehicle group, and eight animals for the seizure-amlodipine group. The difference between the seizure-amlodipine group and the seizure-vehicle group is considered statistically significant, with a p-value less than 0.05. Animals were sacrificed on day 7. Iba1 and CD68 intensity decreased in the seizure-amlodipine group, compared with the seizure-vehicle group, by about 68% in the CA1 region for Iba1 (seizure-vehicle group,  $12.6 \pm 2.5$ ; seizure-amlodipine group, 4.1  $\pm$  0.5; sham-vehicle group, 2.4  $\pm$  0.1; sham-amlodipine group, 3.4  $\pm$  0.7) and by 52% in the CA1 region for CD68 (seizure-vehicle group,  $7.9 \pm 1.6$ ; seizure-amlodipine group,  $3.8 \pm 0.7$ ; sham-vehicle group,  $1.8 \pm 0.3$ ; sham-amlodipine group,  $2.3 \pm 0.5$ ). (Bonferroni post hoc test after Kruskal–Wallis test for Iba1, CA1: chi-squared = 16.884, df = 3, p = 0.001; Bonferroni post hoc test after Kruskal–Wallis test for CD68, CA1: chi-squared = 12.379, df = 3, p = 0.006.) <sup>#</sup> indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, p < 0.05.

These findings suggest that the treatment of seizures with amlodipine successfully inhibits microglia activation subsequent to the seizure episode. By reducing microglia activation, amlodipine may offer protective effects against secondary damage to neuronal cells following seizures.

## 3.7. Amlodipine Administration Reduced Microtubule Disruption after Seizure

To assess microtubule damage subsequent to seizures, we conducted staining for microtubule-associated protein 2 (MAP2) on brain samples, specifically focusing on the CA1 region of the hippocampus. Microtubules play a critical role in maintaining the cytoskeletal structure, and their disruption during seizures can lead to the increased vulnerability of brain tissue. Our results revealed that the sham groups exhibited comparable levels of MAP2 staining intensity, indicating intact microtubule structures. Conversely, the seizure groups displayed significantly lower positive intensities of MAP2, signifying that microtubule damage was a consequence of the seizures. However, in the seizure-amlodipine group, the intensity of MAP2 staining was twice that of the seizure-vehicle group. This observation suggests that amlodipine treatment was effective in preventing or mitigating microtubule damage caused by seizures (Figure 7A,B).





**Figure 7.** Amlodipine administration reduced microtubule disruption after seizure. (**A**) The micrographs illustrate the staining of MAP2 (green) and DAPI (blue). The intensity of MAP2 staining in the seizure groups is lower than in the sham groups. (**B**) The bar graph demonstrates that each sham

group exhibits a similar intensity of MAP2 staining. There is a significant difference between the sham-vehicle group and the seizure-vehicle group, and the seizure-amlodipine group has a lower intensity than the sham groups but a higher intensity than the seizure-vehicle group, with a significant difference observed. Additionally, there was no significant difference between the sham-vehicle group and the sham-amlodipine group. These results indicate that administering amlodipine after a seizure provides protection to microtubules. The scale bar represents a distance of 100 µm. The data are presented as mean values, with the standard error of the mean (SEM) indicated. The sample sizes were five animals for each sham group, six animals for the seizure-vehicle group, and eight animals for the seizure-amlodipine group. The difference between the seizure-amlodipine group and the seizure-vehicle group is considered statistically significant, with a p-value less than 0.05. Animals were sacrificed on day 7. Microtubule intensity increased in the seizure-amlodipine group, compared with the seizure-vehicle group, by about 43% in the CA1 area (seizure-vehicle group,  $16.3 \pm 2.4$ ; seizure-amlodipine group,  $28.9 \pm 4.1$ ; sham-vehicle group,  $42.4 \pm 0.7$ ; sham-amlodipine group,  $42.0 \pm 2.9$ ). (Bonferroni post hoc test after Kruskal–Wallis test, CA1: chi-squared =10.452, df = 3, p = 0.015.) # indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, p < 0.05.

# 3.8. Amlodipine Administration Improved Spatial Cognitive, Memory, and Cognitive Function Recovery after Seizure

To assess the recovery of spatial cognitive ability and cognitive function following seizures, we employed the Barnes maze and adhesive removal behavior tests. These tests were conducted for five days, one week after inducing seizures or during a control period. Additionally, we stained brain samples using NeuN to evaluate overall neuron survival and the neuroprotective effects of amlodipine.

Our results showed that the sham groups displayed similar patterns in both the Barnes maze and adhesive removal tests. However, in the Barnes maze, the seizure groups did not exhibit any significant differences on days 1 and 2. From day 3 onwards, the seizure-amlodipine group not only displayed better performance in reaching the opening hole but also showed significant improvements compared to the seizure-vehicle group (Figure 8A). In the adhesive removal test, the seizure-amlodipine group exhibited significantly faster performance than the seizure-vehicle group on days 4 and 5. However, there were no significant differences between the seizure-vehicle group and the seizure-amlodipine group on days 1, 2, and 3 (Figure 8B).

We also conducted NeuN staining and quantified the number of NeuN-positive cells in the CA1 and CA3 regions of the hippocampus in brain samples. We found significant differences between the seizure-amlodipine group and the seizure-vehicle group, indicating enhanced neuron survival in the amlodipine-treated group. There were no significant differences observed in the sham groups (Figure 8C,D).

Overall, our findings suggest that amlodipine treatment after seizures improves the recovery of spatial cognitive ability and cognitive function. Furthermore, amlodipine appears to have a protective effect against microtubule damage induced by seizures. By preserving the integrity of microtubules, amlodipine may contribute to the stability and functionality of brain tissue, ultimately enhancing the recovery of spatial and functional cognitive abilities after seizures.



Figure 8. Amlodipine administration improved spatial cognitive, memory, and cognitive function recovery after seizure. One week following the seizures for the seizure groups, and after a recovery period for the sham groups, we conducted several tests, and the results were as follows: (A) Barnes maze test: This test assessed spatial cognitive ability recovery. The sham groups outperformed the seizure groups. Notably, the seizure-amlodipine group performed better than the seizure-vehicle group, with the most significant differences observed between the third and fifth day. Additionally, there was no significant difference between the sham-vehicle group and the sham-amlodipine group on any day. Statistical analyses (a repeated measures test, followed by an ANOVA) revealed significant differences, especially in group interaction effects. (Barnes maze: time x group: F = 3.268, p < 0.021.) (B) Adhesive removal test: This measured cognitive function recovery, and the seizureamlodipine group demonstrated progressive improvement from day one to five. In contrast, the seizure-vehicle group exhibited negligible progression, with stark differences apparent on the fourth and fifth days. Additionally, there was no significant difference between the sham groups on any day. Statistical analyses (a repeated measures test, followed by an ANOVA) revealed significant differences, especially in group interaction effects (adhesive removal: time \* group: F = 12.005, p < 0.006). (C) We analyzed micrographs for neuronal nuclei (NeuN)-positive cells in the hippocampal CA1 and CA3 areas. (D) Bar graphs showed the sham groups had similar NeuN (+) cell counts in the hippocampal regions. In contrast, there was a noticeable discrepancy in the seizure groups, with a significant difference compared to the sham-vehicle group, as the amlodipine treatment seemed to bolster recovery in spatial cognition and general cognitive functions. Also, there were no differences in the CA1 and CA3 regions between the various sham groups. Each sham group consisted of five animals,

while the seizure groups had six. Statistical significance was achieved between the seizure-amlodipine and seizure-vehicle groups, with a *p*-value below 0.05. Animals were sacrificed on day 12. The number of NeuN (+) cells was higher in the seizure-amlodipine group, compared with the seizure-vehicle group, by about 36% in the CA1 region (seizure-vehicle group, 218.2  $\pm$  12.1; seizure-amlodipine group, 342.7  $\pm$  21.1; sham-vehicle group, 395.8  $\pm$  23.1; sham-amlodipine group, 414.9  $\pm$  14.4) and by 35% in the CA3 region (seizure-vehicle group, 320.4  $\pm$  5.3; seizure-amlodipine group, 492.5  $\pm$  18.8; sham-vehicle group, 646.6  $\pm$  33.5; sham-amlodipine group, 682.3  $\pm$  24.2). (Bonferroni post hoc test after Kruskal–Wallis test: CA1: *p* = 0.006; CA3: *p* = 0.004.) This underscores the neuroprotective potential of amlodipine post-seizure. <sup>#</sup> indicates significant difference between sham vehicle and seizure vehicle groups, \* Significantly different from the vehicle group, *p* < 0.05.

## 4. Discussion

The present study aimed to investigate the effects of amlodipine, which is known to block L-type voltage-gated calcium channels (LTCCs), on various aspects of neuronal damage and dysfunction caused by pilocarpine-induced seizures [45–47]. The results of this study revealed several positive effects of amlodipine treatment. Firstly, it was found that amlodipine treatment led to a reduction in the overexpression of Cav1.2 channels and zinc accumulation induced by seizures. These channels are involved in the entry of calcium (Ca<sup>2+</sup>) and zinc (Zn<sup>2+</sup>) ions into neurons during seizures, ultimately leading to neuronal damage [46,48]. The decreased expression of Cav1.2 channels in the amlodipine-treated group suggests that amlodipine inhibits the activation of these channels, potentially reducing the entry of harmful ions into neurons.

In addition, the study examined the effect of amlodipine treatment on reactive oxidative stress (ROS), which plays a significant role in neuronal damage during seizures [49–55]. It was observed that amlodipine treatment effectively reduced ROS levels in the hippocampal regions compared to the vehicle-treated group after seizures. By reducing ROS levels, amlodipine may mitigate oxidative damage to essential components in neuronal cells, such as DNA, proteins, and lipids, therefore protecting neuronal cells from harm.

Pilocarpine-induced seizures are a well-established experimental model for studying epilepsy, particularly temporal lobe epilepsy. When administered to animals, pilocarpine can induce seizures that closely resemble human temporal lobe epilepsy. These seizures often lead to neuronal death in the hippocampus, a critical brain region involved in memory and learning [5,6,34,56,57]. However, in the present study, we found that amlodipine treatment increased the survival of neurons following seizures. Staining for neuronal nuclei (NeuN) revealed a higher number of live neuron cells in the amlodipine-treated group than in the vehicle-treated group. This finding suggests that amlodipine treatment contributes to the preservation of neuronal viability during pilocarpine-induced status epilepticus.

Pilocarpine-induced seizures have been studied for their effects on blood–brain barrier (BBB) disruption, which is a critical aspect in understanding epilepsy and its complications. Research indicates that BBB breakdown can both induce epileptic seizures and result from them. This disruption is dynamic and time-dependent, and it is particularly noticeable in the acute phase of epilepsy induced by pilocarpine. Thus, we investigated whether amlodipine could prevent BBB disruption after a seizure [5,58–62]. In the present study, we found that amlodipine showed a protective effect on the blood–brain barrier (BBB) during seizures. The study examined BBB integrity using IgG leakage as an indicator, and the results showed that amlodipine treatment significantly reduced the intensity of IgG leakage, compared to the vehicle-treated group, after seizures [63–66]. This suggests that amlodipine protects against BBB disruption, preventing the passage of blood components into the brain tissue.

Research has shown that pilocarpine-induced seizures in rats led to the rapid activation of astrocytes and microglia in the brain. Astrocytes and microglia are types of glial cells in the central nervous system that play crucial roles in brain health and disease. In the context of epilepsy, such as in the pilocarpine-induced model, these cells become activated as part of the brain's response to seizures. One study reported that, following pilocarpine-induced seizures, there was a significant increase in the labeling of astrocytes and microglial cells in the hippocampal region, indicating their activation. This suggests that glial cells respond quickly to seizure activity and may be involved in the pathophysiological changes that occur in the brain during epilepsy [67–71]. In the present study, we found that amlodipine treatment reduced the over-activation of astrocytes, as evidenced by the decreased expression of glial fibrillary acidic protein (GFAP) and complement component 3 (C3) staining. Additionally, the administration of amlodipine demonstrated the ability to prevent microglia activation in response to seizures. The excessive activation of astrocytes and microglia can cause secondary damage to neurons, so by inhibiting their over-activation, amlodipine may play a protective role in preventing such detrimental effects and preserving the integrity of neuronal cells.

Several studies have shown that pilocarpine-induced epilepsy causes microtubuleassociated protein 2 (MAP2) disruption in the hippocampus [72,73]. Therefore, the present study examined the impact of amlodipine on microtubule disruption following seizures. It was observed that amlodipine treatment mitigated the damage caused to microtubules during seizures. Microtubules are essential for maintaining the structural integrity and stability of brain tissue, so preserving their integrity may contribute to the overall stability and function of neurons, offering protection against neuronal damage [72,74,75].

Calcium channels, particularly LTCCs, play a crucial role in various cognitive processes including memory formation, learning, and synaptic plasticity [76–78]. The influx of calcium through these channels is a key event in the signaling pathways that underlie these cognitive functions. Aberrant calcium signaling, often observed in neurodegenerative diseases, is linked to impaired cognitive abilities. Our study's focus on amlodipine's action on LTCCs, therefore, has significant implications for understanding and potentially treating cognitive dysfunctions associated with abnormal calcium channel activity. Research has shown that pilocarpine-induced status epilepticus in rats leads to significant cognitive impairment. This impairment includes deficits in memory and spatial cognitive ability following seizures. Behavioral tests indicated that amlodipine treatment improved the recovery of cognitive function and spatial cognition in rats. Staining for neuronal nuclei (+) cells in various regions of the hippocampus further supported the hypothesis that amlodipine treatment had a positive impact on neuronal protection and cognitive ability following seizures.

This study highlights the critical role of calcium channels in neuronal function, especially in relation to seizures and their impact on cognitive abilities. The dysregulated influx of calcium into neurons during seizures, a result of abnormal neuronal firing, leads to an increase in intracellular calcium [79–82]. This increase can cause neuronal damage or death (excitotoxicity), disrupting neural circuits essential for cognitive functions such as memory, attention, and learning [83,84]. Our findings suggest that chronic alterations in calcium channel function and expression, as a result of prolonged or repeated seizures, could contribute to the long-term cognitive deficits often observed in chronic epilepsy [85]. The potential of calcium channel blockers in mitigating these seizure-induced cognitive impairments offers a promising therapeutic avenue [86].

A considerable body of research supports the effectiveness of calcium channel blockers in reducing the severity of various seizure types. This is evidenced in studies conducted by various researchers [87,88]. The dihydropyridine class of these blockers, in particular, has been noted for its anticonvulsant properties across multiple experimental settings [89–91]. A subset of these, the LTCC blockers, such as nicardipine, have been observed to provide neuroprotection under conditions of extended depolarization [92]. Nimodipine, another compound in this class, has demonstrated efficacy in mitigating seizure effects in various animal studies, including those focusing on pilocarpine-induced seizures in rats [47,90]. While the specific mechanisms of LTCC inhibitors are not completely understood, they are known to regulate calcium flow in and out of synaptosomes, affecting neurotransmitter dynamics [93]. Nimodipine's neuroprotective effect may also play a role in moderating the excitotoxicity linked with an overproduction of free radicals during seizures induced by pilocarpine [94].

Our study provides insights into the action of amlodipine, a known L-type calcium channel (LTCC) inhibitor, across different physiological states. Particularly, we observed its varied efficacy in normal versus pathological conditions, such as those mimicking epilepsy. In pathological states characterized by elevated LTCC expression, amlodipine exhibited pronounced efficacy. This aligns with its role as an LTCC inhibitor, where heightened LTCC activity in disease states amplifies the drug's impact. Contrary to its effect in pathological states, amlodipine showed no significant effects in normal physiological conditions. This finding is pivotal, underscoring the drug's specificity and potential for targeted action in pathological states without influencing normal physiological processes. These observations emphasize the importance of context in pharmacological efficacy. Understanding how amlodipine functions differently under varying physiological conditions with altered LTCC dynamics. In conclusion, our study highlights the context-dependent action of amlodipine, offering crucial insights for its application in therapeutic strategies that require precise modulation of calcium channels.

In summary, this study provides evidence supporting the neuroprotective effects of amlodipine in the context of pilocarpine-induced seizures. Amlodipine demonstrated the ability to reduce Cav1.2 channel overexpression and zinc accumulation, decrease reactive oxidative stress, increase neuron survival, reduce blood–brain barrier leakage, inhibit astrocyte over-activation, and protect against microtubule disruption. Furthermore, amlodipine improved the recovery of both spatial and functional cognitive ability following seizures. These findings collectively highlight the potential of amlodipine as a therapeutic intervention for mitigating neuronal damage and dysfunction associated with seizures.

While the present study provides insights into the neuroprotective effects of amlodipine in a pilocarpine-induced seizure model, it is important to consider: Firstly, the results are specific to the pilocarpine model of seizures, which may not fully replicate the complex pathophysiology of human epileptic conditions. Secondly, the findings in an animal model may not directly translate to human epilepsy treatment. Thirdly, the study may not have addressed the long-term effects and safety profile of amlodipine in the context of chronic epilepsy management. Fourthly, while the study indicates neuroprotective effects, the detailed mechanisms of how amlodipine affects neuronal functioning and epilepsy pathology might require further exploration.

# 5. Conclusions

The study highlights amlodipine's potential in reducing brain damage from pilocarpineinduced seizures. These findings suggest its potential as a therapeutic agent.

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