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# Experimental and Numerical Study on the Strain Behavior of Buried Pipelines Subjected to an Impact Load

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Abstract: Long-distance oil and gas pipelines are inevitably impacted by rockfalls during geologic hazards such as mud-rock flow and landslides, which have a serious effect on the safe operation of pipelines. In view of this, an experimental and numerical study on the strain behavior of buried pipelines under the impact load of rockfall was developed. The impact load exerted on the soil, and the strains of buried pipeline caused by the impact load were theoretically derived. A scale model experiment was conducted using a self-designed soil-box to simulate the complex geological conditions of the buried pipeline. The simulation model of hammer-soil-pipeline was established to investigate the dynamic response of the buried pipeline. Based on the theoretical, experimental, and finite element analysis (FEA) results, the overall strain behavior of the buried pipeline was obtained and the effects of parameters on the strain developments of the pipelines were analyzed. Research results show that the theoretical calculation results of the impact load and the peak strain were in good agreement with the experimental and FEA results, which indicates that the mathematical formula and the finite element models are accurate for the prediction of pipeline response under the impact load. In addition, decreasing the diameter, as well as increasing the wall thickness of the pipeline and the buried depth above the pipeline, could improve the ability of the pipeline to resist the impact load. These results could provide a reference for seismic design of pipelines in engineering.

**Keywords:** impact load; buried pipeline; finite element analysis; theoretical calculation; strain behavior

#### 1. Introduction

Under complex geological conditions such as mud-rock flow and landslides, long-distance oil and gas pipelines are inevitably impacted by rockfalls, seriously resulting in pipeline corrosion, cracking, deformation, and other damages [1–4]. The serious structural damages of buried pipelines caused by rockfalls attracted much attention from engineers and researchers in recent years. In addition, with the recent rapid development of damage detection and structural health monitoring technology [5–7], the monitoring technology of buried pipelines was also developed and it plays an important role in the safe operation of pipeline network systems. In order to further analyze the damage mechanism of buried pipelines, it is necessary to conduct experimental and numerical studies on the strain behavior of buried pipelines subjected to impact loads for the safe operation of pipelines, as well as for loss prevention and environmental protection.

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In recent years, many researches on buried pipelines under impact loads mainly focused on finite element analysis (FEA) and the theoretical derivation. Among them, three main calculation modes in FEA were applied to investigate the buried pipelines, including the beam mode [8,9], the shell mode [10,11], and the beam–shell mode [12]. Compared to the beam mode, the shell mode is widely used in FEA due to the more accurate results in calculating the distribution of the large deformation of pipelines. Liu et al. [13] applied the shell mode to simulate the large deformation section of a pipeline under fault movement and concluded that the shell mode could clearly analyze the local buckling and the large deformation of pipeline, but this model had no consideration of the effect of soil property when calculating the sliding friction of pipeline-soil. For further research, Zhang et al. [14] and Liu et al. [15] established the soil-pipeline model in FEA, and completely studied the effects of different parameters (the initial defects, the impact energy, the pipeline wall thickness, the buried depth of pipeline, and the soil properties) on the strain behavior of buried pipelines under impact loads. Furthermore, thorough research on the cross-section deformation and the soil pressure on the pipeline during the impact process was carried out by Deng et al. [16] through the three-dimensional distinct element code software. The results indicated that the main factors affecting the cross-section deformation of the pipeline were the impact velocity and the mass of rockfall. Alongside finite element research, theoretical researches on buried pipelines under impact loads were more comprehensive. Among them, the theoretical researches mainly focused on the theoretical calculation of the impact force on soil and the earth pressure on pipelines. The impact of rockfall can be simplified to a low-speed collision problem in engineering practice. There are three main theoretical methods for calculating the impact force on soil: the Hertz collision theory [17–19], the energy theory, and the inelastic collision method [20]. In the former two methods, rockfall and soil are regarded as elastic bodies, unlike the impact force on soil in actual working conditions. The latter method is more reasonable for the consideration of the energy loss, while the calculation process is more complicated. Subsequently, Qi et al. [21] developed a new mathematical model of the impact force from rockfalls to obtain the wallop amplification coefficient, but some differences still existed between the actual situation and the theoretical conditions. Moreover, Ye et al. [22] also studied a new method for calculating the impact force of the rockfall with the consideration of the rockfall weight and the rebound effect, and they validated the feasibility of the proposed calculation method of impact force using examples. For the theoretical calculation of earth pressure on pipelines, a variety of calculation methods of earth pressure were reasonably applied in practical projects, i.e., the Marston method [23,24] based on the limit equilibrium theory, the Hindy method [25], and the empirical coefficient method of earth pressure [26–28]. At present, the calculation method of earth pressure is commonly based on the Marston method. Moreover, Yun and Kang [29] carried out research on the mechanical model of pipelines in landslide conditions and calculated the stress distribution on pipelines using the theory of Winkler. For further research, the safety factor equation of pipelines subjected to impact force was summarized. Jing et al. [30] analyzed the dynamic response of buried pipelines impacted by rockfalls by combining the theoretical calculation formula and LS-DYNA. The results showed that there was an approximate proportional relationship between the maximum impact force and velocity; the stress concentration existed on the upper and lower surfaces of the pipeline, and the vertical stress distributed along the longitudinal and transversal directions of the pipeline; then, it decreased gradually along both ends of the pipeline.

Although the finite element analysis (FEA) of and theoretical research on buried pipelines impacted by rockfalls were conducted by many scholars in the past, the experimental investigation of buried pipelines under impact loads was absent due to the complexity of the non-linear contact problem and the difficulty of full-scale experiments. Furthermore, the effects of pipeline parameters on the strain behavior of buried pipelines under impact loads need to be further explored by combining theoretical derivation, experimental research, and FEA. Therefore, an experimental and numerical study on the strain behavior of a buried pipeline subjected to an impact load was established in this research through theoretical research, experimental study, and simulation. The purposes of this research were threefold.

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Firstly, the rockfall impact force on soil, and the loads and strains on a buried pipeline under an impact load were theoretically derived based on the Hertz collision theory and the Spangler formula. Secondly, a soil-box was designed to conduct a scale experiment of 10 buried pipelines under impact load using a drop hammer impact test machine. Thirdly, an FEA model of hammer–soil–pipeline was developed for further investigation of the dynamic response of the buried pipeline under an impact load, and its accuracy was verified by theoretical and experimental results. Finally, the effect of some important parameters (the wall thickness of the pipeline, the diameter of the pipeline, the buried depth, and the impact height) on the strain behavior of the buried pipeline under an impact load was discussed based on the theoretical, experimental, and FEA results.

#### 2. Theoretical Research

#### 2.1. The Rockfall Impact Force on Soil

For buried pipelines under impact loads from rockfalls, the mathematical formula for calculating the impact force on the soil can be derived. When the rockfall impacts the soil (pebble or clay) with a certain speed, it may sink to a certain depth and the velocity rapidly decreases. During this process, because the stiffness of the soil is smaller than that of the rockfall, the rockfall generally does not rebound slightly and, furthermore, the impact process is very short, which causes a larger impact force. The physical model of a buried long pipeline under a rockfall impact load can be simplified as shown in Figure 1, and the curve of the impact force (F) versus time (t) during this impact process is shown in Figure 2 based on the Hertz collision theory and relevant references [31], in which  $F_{\text{max}}$  is the maximum impact force and  $t_1$  is time when F reaches to  $F_{\text{max}}$ .

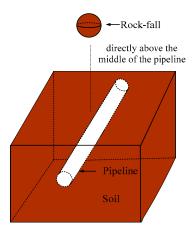
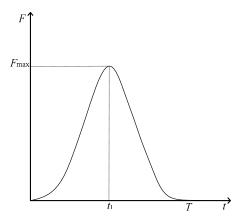


Figure 1. The physical model of a buried long pipeline under an impact load.



**Figure 2.** Impact force (*F*) versus time (*t*) curve.

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Three main calculation methods of  $F_{\rm max}$  are the Hertz collision theory, the energy theory, and the inelastic collision method. The velocity of rockfall may not be perpendicular to the soil, but this experiment mainly focuses on the case where the rockfall impacts the soil vertically based on the Hertz collision theory. It is essential for the Hertz collision theory to make the following assumptions: (1) the rockfall is a rigid body where no deformation occurs during the collision; (2) the rockfall is not separated from the soil during the collision, and the friction between them is not considered; (3) based on a linear elastic half-space theory, the maximum displacement of the soil is in the elastic stage; (4) only the first impulse action is taken into consideration. Based on the above assumptions, the motion equation of  $F_{\rm max}$  on soil can be written as follows:

$$F_{\text{max}} = K^{\frac{2}{5}} \left[ \frac{5}{4} \nu_0^2 \frac{m_1 m_2}{m_1 + m_2} \right]^{\frac{3}{5}}, \tag{1}$$

where  $m_1$  and  $m_2$  are the masses of the two elastic spheres (rockfall and soil),  $v_0$  is the instantaneous velocity before the collision, and K is a stiffness coefficient determined by the following equations:

$$K = \frac{4}{3\pi} \sqrt{\frac{r_1 r_2}{r_1 + r_2}} \frac{1}{C_1 + C_2},\tag{2}$$

$$C_1 = \frac{1 - \mu_1^2}{\pi E_1},\tag{3}$$

$$C_2 = \frac{1 - \mu_2^2}{\pi E_2},\tag{4}$$

where  $r_1$  and  $r_2$  are the radii of the two elastic spheres (rockfall and soil),  $E_1$  and  $E_2$  are the elastic moduli of the two elastic spheres, and  $\mu_1$  and  $\mu_2$  are the Poisson ratios of the two elastic spheres. When the rockfall impacts the soil, it can be considered that  $E_1$  approaches infinity since the stiffness of the rockfall is much larger than that of the soil. The values of  $m_2$  and  $r_2$  approach infinity since the soil is regarded as a semi-linear elastomer. Therefore, the following equations can be derived:

$$C_1 = 0, (5)$$

$$K = \frac{4}{3} \frac{E_2}{1 - \mu_2^2} \sqrt{r_1}.\tag{6}$$

Substituting Equation (6) into Equation (1) gives  $F_{\text{max}}$  as

$$F_{\text{max}} = 1.167 \left[ \frac{E_2}{1 - \mu_2^2} \right]^{\frac{2}{5}} m_1^{\frac{2}{3}} \rho_1^{-\frac{1}{15}} \nu_0^{\frac{6}{5}}, \tag{7}$$

where  $m_1 = \frac{4}{3}\pi r_1^3 \rho_1$ , and  $\rho_1$  is the density of the rockfall. Assuming that the pressure on the contact surface between the rockfall and the soil is uniformly distributed, then the impact pressure ( $p_{\text{max}}$ ) can be expressed as

$$p_{\text{max}} = \frac{F_{\text{max}}}{A},\tag{8}$$

where *A* represents the contact area between the rockfall and the soil.

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## 2.2. The Loads on a Buried Long Pipeline

# 2.2.1. The Vertical Compressive Load

The total vertical compression on the pipeline (P) consists of the pressure from the rockfall impact force transmitting through the soil above the pipeline ( $q_v$ ) and the earth pressure above the pipeline ( $P_v$ ), depicted as follows [32]:

$$P = fq_{\rm v} + P_{\rm v},\tag{9}$$

where f represents the penetration coefficient which is related to the buried depth from the soil surface to the crown of the pipeline, as shown in Table 1.

**Table 1.** The relationship between the buried depth of the pipeline and f.

The Buried Depth of Pipeline (m)	0-0.3	0.3-0.6	0.6-0.9	>0.9
f	1.5	1.35	1.35	1.15

In a collision, the contact area between the rockfall and the soil is smaller than the surface of the soil and, furthermore, the length of the pipeline is relatively infinite; thus,  $F_{\text{max}}$  can be regarded as a vertical concentrated load that can be calculated by Equation (7), and its sketch is depicted in Figure 3, in which  $F_{\text{max}}$  is the vertical concentrated load which acts on the coordinate origin.

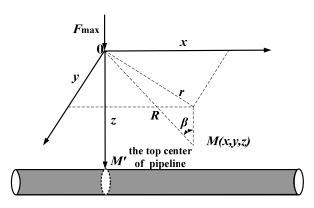


Figure 3. Vertical concentrated load of the soil layer.

The vertical pressure  $(q_v)$  at a certain position in the soil, i.e., point M as shown in Figure 3, can be expressed as follows [33]:

$$q_{\rm v} = \frac{3F_{\rm max}}{2\pi R^2} \cos^3 \beta,\tag{10}$$

where *R* is the distance between point *M* and the original coordinate shown as follows:

$$R = (x^2 + y^2 + z^2)^{\frac{1}{2}} = (r^2 + z^2)^{\frac{1}{2}}.$$
 (11)

In Figure 3,  $\beta$  is the angle between the depth (z) and the distance (R), which can be obtained by the following equation:

$$\cos \beta = \frac{z}{R}.\tag{12}$$

When the pressure acting on point M' of the buried pipeline reaches up to  $F_{\text{max}}$ , y approximates to 0. Therefore, the following equation can be obtained:

$$R = \left(x^2 + z^2\right)^{\frac{1}{2}}. (13)$$

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Substituting Equations (12) and (13) into Equation (10), we can obtain the pressure from the rockfall impact force transmitting through the soil above the pipeline as shown below.

$$q_{\rm v} = \frac{3F_{\rm max}z^3}{2\pi(x^2 + z^2)^{\frac{5}{2}}}. (14)$$

The calculation method of the earth pressure of the soil above the pipeline exerted on the pipeline can be divided into two cases based on the position of water line. When the groundwater level is under the pipeline,  $P_v$  is a constant load generated by the gravity of the soil, and can be represented as follows [34]:

$$P_{\mathbf{v}} = \gamma \cdot C_1,\tag{15}$$

where  $\gamma$  is the unit weight of the soil, while  $C_1$  is the buried depth of pipeline.

When the groundwater level is over the pipeline, the buoyancy effect of water should be taken into account. The  $P_v$  can then be expressed as follows:

$$P_{\rm v} = \gamma \cdot C_2 + \gamma_{\rm sat} h_{\rm w} - \gamma_{\rm w} h_{\rm w},\tag{16}$$

where  $C_2$ ,  $\gamma_{\text{sat}}$ ,  $\gamma_{\text{w}}$ , and  $h_{\text{w}}$  denote the height between the ground surface and the groundwater level, the saturated unit weight of the soil, the unit weight of water, and the height of the groundwater level over the crown of the pipeline, respectively.

#### 2.2.2. The Horizontal Load

The horizontal load exerted on the pipeline  $(q_x)$  can be calculated through the vertical load from the rockfall impact force  $(q_y)$ , as shown below.

$$q_{\rm x} = \lambda q_{\rm v},\tag{17}$$

where  $\lambda$  represents the horizontal pressure coefficient of the soil,  $\lambda = 1 - \sin \Phi$ , and  $\Phi$  is the internal friction coefficient of the soil.

#### 2.3. The Strains on a Buried Long Pipeline

As shown in Figure 4, the deformation of a pipeline can be calculated using the Code for Design of Oil Transportation Pipeline Engineering (GB50253-2003) [35] and the Spangler Formula [36,37].

$$\frac{\Delta y}{D} = \frac{JKP}{\left[\frac{EI}{P^3} + 0.061E'\right]'}\tag{18}$$

$$I = \frac{T^3}{12} \times 1,\tag{19}$$

where  $\Delta y$ , D, J, K, E, I, R, E', and T denote the deformation of the pipeline, the outside diameter of the pipeline, the deformation hysteresis coefficient which is taken as 1.5, the base coefficient of a steel pipeline, the elasticity modulus of the pipeline, the moment of inertia of the pipeline, the radius of the pipeline, the elasticity modulus of the soil, and the wall thickness of pipeline, respectively. P represents the total vertical load on the unit length of the pipeline and can be expressed by Equation (9).

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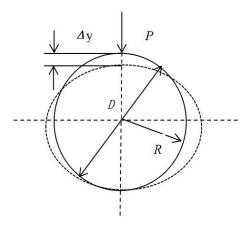
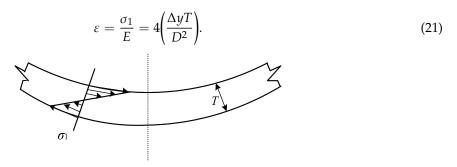


Figure 4. The deformation of the pipeline.

The stress distribution of the pipeline on the entire section is shown in Figure 5. The maximum stress on the cross-section of the pipeline,  $\sigma_1$ , can be obtained as follows:

$$\sigma_1 = 4E\left(\frac{\Delta y}{D}\right)\left(\frac{T}{D}\right). \tag{20}$$

Finally, the maximum strain on the cross-section of pipeline can be expressed as



**Figure 5.** The stress distribution of the pipeline.

## 3. Experimental Program

#### 3.1. Experimental Design

In practical engineering, the buried depth of a pipeline is generally 2.5 m with a diameter of 700 mm; moreover, the effective length of a buried pipeline is generally 20 m. In view of the Technical Specification for Seismic Resistance of Oil and Gas Pipeline Engineering and relevant literature [38–40], a scale of the specimens was taken as 1:7 to simulate the actual pipeline in this test. Table 2 shows the cross-sectional dimensions and the impact parameters of all specimens without consideration of the effect of oil and gas pressure. As shown in Table 2, all specimens were labeled to identify the diameter, thickness, buried depth, and impact height of the specimens. For example, the label "A114  $\times$  2.5-d0.6-H0.5" is defined as follows:

The first part "A" represents that the specimen is in group A.

The second part " $114 \times 2.5$ " indicates that the specimen has a diameter (*D*) of 114 mm and a thickness (*T*) of 2.5 mm.

The third part "d0.6" means that the buried depth (*d*) of the specimen is 0.6 m.

The last part "H0.5" denotes that the impact height of the drop hammer (H) is 0.5 m.

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Group	Specimens	$D \times T$ (mm)	H (m)	L (mm)	d (m)	Soil Type	ε <sub>L</sub> (με)	ετ (με)
A	$A114 \times 2.5$ -d0.6-H0.5	$114 \times 2.5$	0.5	2800	0.6	Clay	354	295
	$A114 \times 2.5 - d0.6 - H1.0$	$114 \times 2.5$	1.0	2800	0.6	Clay	621	424
	$A114 \times 2.5 - d0.6 - H1.5$	$114 \times 2.5$	1.5	2800	0.6	Clay	724	545
	$A114 \times 2.5 - d0.6 - H2.0$	$114 \times 2.5$	2.0	2800	0.6	Clay	816	603
В	$B89 \times 2.5 - d0.6 - H1.0$	$89 \times 2.5$	1.0	2800	0.6	Clay	489	321
	$B139 \times 2.5 - d0.6 - H1.0$	$139 \times 2.5$	1.0	2800	0.6	Clay	774	695
С	$C114 \times 3.0$ -d0.6-H1.0	$114 \times 3.0$	1.0	2800	0.6	Clay	456	367
	$C114 \times 3.5 - d0.6 - H1.0$	$114 \times 3.5$	1.0	2800	0.6	Clay	387	204
D	$D114 \times 2.5 - d0.3 - H1.0$	$114 \times 2.5$	1.0	2800	0.3	Clay	1005	768
	$D114 \times 2.5$ -d0.9-H1.0	$114 \times 2.5$	1.0	2800	0.9	Clay	205	165

**Table 2.** Details of specimens.

Note: D, T, and L are the diameter, thickness, and length of the pipelines, respectively; H is the height of the drop hammer, and d is the buried depth of the pipelines in the soil-box;  $\varepsilon_L$  and  $\varepsilon_T$  denote the peak longitudinal and transverse strains at the mid-span of pipelines, respectively.

The size of the soil-box for laying the soil and pipeline was 3.0 m (length)  $\times 3.0 \text{ m}$  (width)  $\times 1.5 \text{ m}$  (height), which was welded by a steel plate, channel steel, and angle steel, as shown in Figure 6.

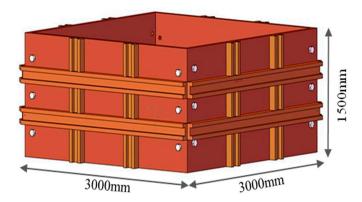


Figure 6. The schematic of the soil-box.

## 3.2. Material Property

Clay, commonly applied in practical engineering, was adopted as the soil. By sampling the compacted soil, the material parameters of the soil were measured as shown in Table 3. The pipeline was fabricated from Chinese Standard Q235 galvanized steel (nominal yield stress  $f_{y,k} = 235$  MPa). A tensile test was carried out on the pipeline, and the mechanical properties were measured as shown in Table 4.

<b>Table 3.</b> Mechanical pr	roperties of the soil.
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Soil Type	Cohesive Force (kPa)	Poisson Ratio	Internal Friction Angle (°)	Density (kg⋅m <sup>-3</sup> )
Clay	35.5	0.38	7.8	1880

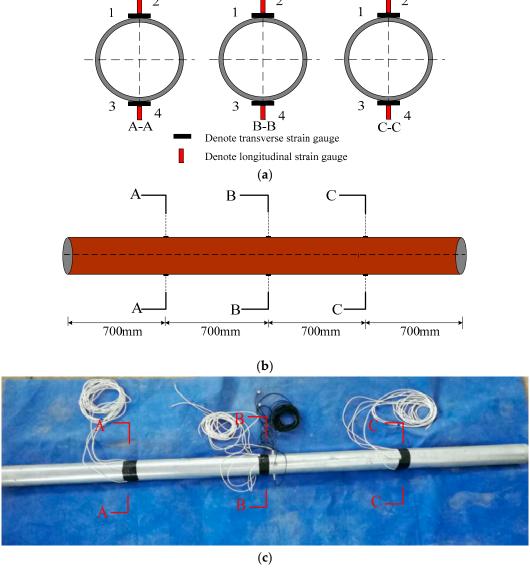
**Table 4.** Mechanical properties of the pipelines.

$D \times T$ (mm)	Yield Strength $f_y$ (MPa)	Yield Strain $\varepsilon_1$	Tensile Strength $f_{\rm u}$ (MPa)	Modulus of Elasticity E (MPa)
89 × 2.5	286	0.00143	426	$2.01 \times 10^{5}$
$114 \times 2.5$	281	0.00141	420	$2.01 \times 10^{5}$
$139 \times 2.5$	280	0.00141	418	$2.01 \times 10^{5}$
$114 \times 3.0$	281	0.00141	420	$2.01 \times 10^{5}$
$114 \times 3.5$	281	0.00141	420	$2.01 \times 10^{5}$

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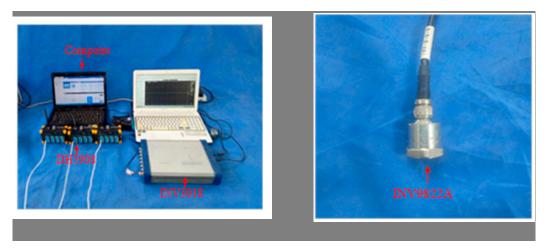
#### 3.3. Layout of Measuring Points

The strain gauges (2 mm  $\times$  1 mm) were adopted to measure the longitudinal and transversal strains of the buried pipelines, as shown in Figure 7. The strain acquisition system was the Wireless Dynamic Strain Collector DH5908, as shown in Figure 8. The strain gauges were linked to the wireless transmitter terminal of the acquisition system. There are three channels on the DH5908. Channels A–A and C–C were both reserved for the four strain gauges located one-fourth of the member length from both sides of the pipeline. Meanwhile, channel B–B was reserved for the four strain gauges located in the mid-span of the pipeline. In addition, the accelerometer INV9822A was installed on the drop hammer, and the output signal was recorded by the acquisition instrument INV3018, as shown in Figure 8b.



**Figure 7.** Layout of strain gauge: (a) section of pipeline; (b) schematic of pipeline strain measurement point; (c) photograph of pipeline strain measurement point.

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**Figure 8.** Test measurement equipment: (a) the Wireless Dynamic Strain Collector; (b) INV9822A accelerometer.

# 3.4. Test Loading

In this test, a drop hammer was adopted to simulate a rockfall to impact the buried pipeline in the soil-box. Therefore, a drop hammer impact test machine consisting of a support shelf, a drop hammer, two guide rails, and a truss made of four columns and a crossbeam was applied in this test, as shown in Figure 9. The soil-box was placed under the drop hammer impact test machine. The mass of the drop hammer was 339 kg with a maximum impact height of 7.8 m, and the maximum impact energy was 52 kJ. The accelerometer installed on the top of the drop hammer was used to measure the acceleration during the impact. All specimens were buried horizontally in the soil at different depths; then, the soil layers (100 mm thickness per layer) were tamped when the soil was loaded into the soil-box. Finally, the same compactness of the soil was ensured before each impact load test. Meanwhile, the drop hammer was released from the given height and fell down along two rails until impacting directly on the soil, which was located in the mid-span of the pipeline. Preloading was carried out to check whether the test device and measurement system were working properly, and then the formal loading was carried out.

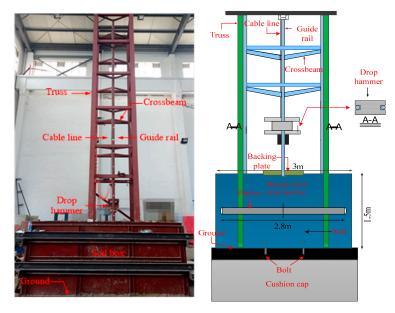


Figure 9. Schematic diagram of the drop hammer impact test machine.

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The impact force (*F*) in this test could be computed as shown in the equation below.

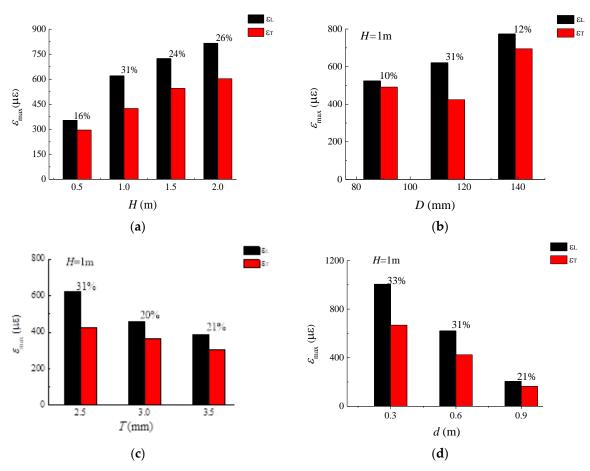
$$F = ma, (22)$$

where m is the mass of the drop hammer, and a denotes the acceleration recorded by the accelerometer during impact.

## 3.5. Test Results and Discussion

#### 3.5.1. Basic Behavior

The test results of all specimens are presented in Table 2 and Figure 10, which depicts the effect of the wall thickness (T) of the pipeline, the diameter (D) of the pipeline, the buried depth (d) of the pipeline, and the impact height (H) of the drop hammer on the peak strain ( $\varepsilon_{max}$ ) at the mid-span of the specimen. It can be seen from Table 2 that, with the same H, D had an obvious influence on  $\varepsilon_{max}$ , while T had a subtle influence on  $\varepsilon_{max}$ . Furthermore,  $\varepsilon_{max}$  decreased with the increase of T, while  $\varepsilon_{max}$  always increased with the increase of D. In addition, we can know that  $\varepsilon_{max}$  decreased rapidly with the increase of T0 under the same T1 and T2, which indicates that the soil played a good role buffering the impact load. The main reason was that the increases of T2 absorbed more energy from the impact load via compression in the internal clearance of soil. From Figure 10, it can be seen that the peak longitudinal strain ( $\varepsilon_{T}$ 1) was much larger than the peak transversal strain ( $\varepsilon_{T}$ 2). Furthermore, the maximum deviation of  $\varepsilon_{T}$ 2 and  $\varepsilon_{T}$ 3 was 33% under the influence of T3, and the deviation was approximately equal to 31% under the other three effects. It means that longitudinal bending was the main source of deformation for the specimens under the impact load.

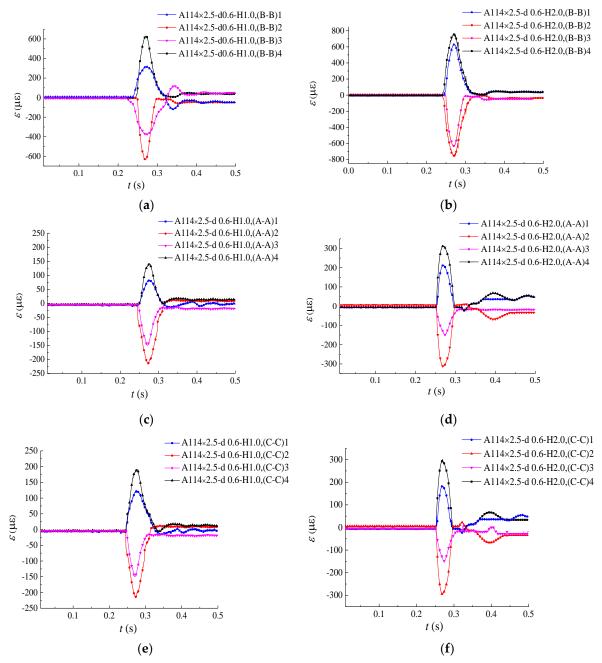


**Figure 10.** The effect of the impact height (H), the pipeline diameter (D), the wall thickness (T), and the buried depth (d) on the peak strain ( $\varepsilon_{\text{max}}$ ): (**a**) H; (**b**) D; (**c**) T; (**d**) d.

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#### 3.5.2. Strain-Time Curves

Taking the A114 × 2.5-d0.6-H1.0 and A114 × 2.5-d0.6-H2.0 samples as examples, the strain–time ( $\varepsilon$ –t) curves are shown in Figure 11. It can be known from Figure 11a,b that  $\varepsilon$  on the longitude and transverse sections at the mid-span of the specimen increased rapidly and approximately descended to zero after the impact load. Furthermore, compared with  $\varepsilon_T$ ,  $\varepsilon_L$  was much larger and  $\varepsilon$  on the upper and lower surfaces of the pipelines showed a symmetrical state. When H was 2 m,  $\varepsilon_L$  was about 816  $\mu\varepsilon$  and  $\varepsilon_T$  was about 603  $\mu\varepsilon$ . The maximum deviation of  $\varepsilon_L$  and  $\varepsilon_T$  was approximately 26%, which indicates that the deformation of the pipeline at the mid-span under the impact load was in the elastic range, and a large elastic deformation was produced in the longitudinal section of the pipeline at mid-span.



**Figure 11.** Strain ( $\varepsilon$ ) versus time (t) curves of specimens. (a) A114 × 2.5-d0.6-H1.0, (B–B); (b) A114 × 2.5-d0.6-H2.0, (B–B); (c) A114 × 2.5-d0.6-H1.0, (A–A); (d) A114 × 2.5-d0.6-H2.0, (A–A); (e) A114 × 2.5-d0.6-H1.0, (C–C); (f) A114 × 2.5-d0.6-H2.0, (C–C).

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From Figure 11c–f, it can be known that, when H was 2 m,  $\varepsilon_L$  at positions (A–A)2 and (C–C)2 was 310  $\mu\varepsilon$  and 300  $\mu\varepsilon$ , respectively, and  $\varepsilon_T$  at positions (A–A)1 and (C–C)1 was 200  $\mu\varepsilon$  and 185  $\mu\varepsilon$ , respectively. It shows that  $\varepsilon$  at positions (A–A) and (C–C) displayed a symmetrical state. In addition,  $\varepsilon_L$  at positions (C–C)2 and (C–C)4 was 300  $\mu\varepsilon$  and 295  $\mu\varepsilon$ , respectively. It can be seen that  $\varepsilon$  on the upper and lower surfaces at positions (A–A) and (C–C) also presented a symmetrical state.

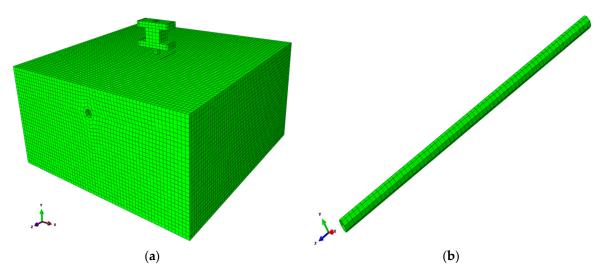
It also can be seen from Figure 11 that  $\varepsilon$  increased with the increase of H, and the maximums of  $\varepsilon$  on the longitudinal and transversal sections appeared at the mid-span of the pipeline. This indicates that, with the increase of the distance of the specimen away from the impact area, the strain of the pipeline became small, and the deformation of the pipeline was still in the elastic range even when H was 2 m.

# 4. Finite Element Analysis (FEA)

To further investigate the strain behavior of buried long pipelines under impact loads, a three-dimensional FEA model was developed to simulate the dynamic response of the pipeline.

### 4.1. Finite Element Type and Mesh

The FEA model was divided into four parts: the soil, the pipeline, the drop hammer, and the rigid cushion. According to References [41,42], four-node shell elements with reduced integration (S4R) were selected to simulate the pipeline, while eight-node brick solid elements with reduced integration (C3D8R) were used to simulate the soil. In addition, the drop hammer and the rigid cushion were simulated by the four-node three-dimensional rigid elements (R3D4). To ensure the simulation's effectiveness, a fine mesh was adopted to develop the model by controlling the mesh density, which enhanced the convergence of the FEA result in the algorithm, as shown in Figure 12. In addition, seeds should be scattered on parts by controlling approximate size, then by selecting the element type of mesh parts with a structured mesh method. Based on the global mesh model, the number of elements was 127,300, including 123,252 hexahedral elements (C3D8R and R3D4) and 4048 quadrilateral elements (S4R).



**Figure 12.** A three-dimensional finite element analysis (FEA) model: (a) overall mesh of model; (b) local mesh of pipeline.

#### 4.2. Material Model

The Mohr–Coulomb [43,44] model was used for the soil, while the three-fold line model was adopted for the pipeline with consideration of its large deformation, as shown in Figure 13. Meanwhile, the drop hammer and the rigid cushion were regarded as rigid bodies where no deformation occurred during the collision. The stress–strain state of the pipeline can be divided into three stages: elastic

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stage, elastic–plastic stage, and plastic stage, where  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\sigma_1$ , and  $\sigma_2$  represent the elastic yield strain, the plastic yield stress, and the plastic yield stress of the pipeline, respectively, and  $E_1$  and  $E_2$  represent the material modulus of the pipeline at the elastic deformation stage and the stress strengthening stage, respectively. The specific parameters are shown in Tables 3 and 4.

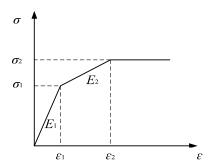


Figure 13. Schematic diagram of the three-fold line pipeline model.

# 4.3. The Contact between the Soil and Pipeline

Considering the non-linear contact relationship and the bond-slip effect between the pipeline and soil [45,46], the interaction between interfaces consists of two parts: normal action and tangential action. Tangential action is caused by the relative slip between the pipeline and soil. Normal behavior refers to the distance between the pipeline and soil surface as clearance, and normal pressure can be transferred only when the pipeline is compressed. The hard contact in ABAQUS was adopted to express this normal action, while the tangential action used the Coulomb friction model [47] to transfer the shear stress of the pipeline–soil surface.

#### 4.4. Boundary Conditions and Load Application

According to Reference [48], the upper surface of the soil is free, while all degrees of freedom on the other three surfaces of soil are constrained. During the loading process, the drop hammer was simulated as a free-falling body which had an impact velocity varying with the given impact heights. Because the material model of the soil was the Mohr–Coulomb model that considers the plastic deformation of the soil, the impact collision between the drop hammer and soil was inelastic. In order to enhance the convergence of the predicted result, increments were defined as the automatic type in the analysis step, and the system iterated automatically in the calculation process with a stable incremental size until the calculation results converged.

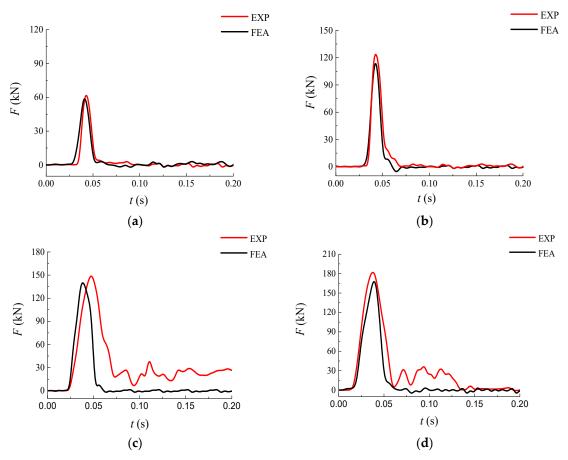
# 5. Results and Discussion

## 5.1. Impact Force

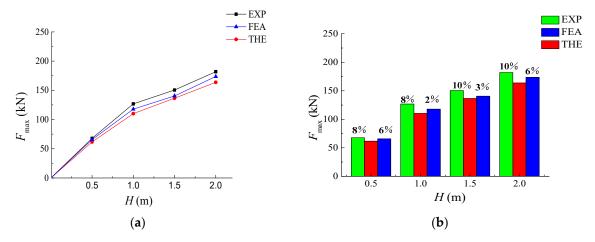
Figure 14 depicts a comparison of impact force–time (F–t) curves on the soil of group A between the depicted results from the FEA and the measured results from the test under different impact heights (H). Furthermore, a comparison of peak impact forces ( $F_{max}$ ) under different values of H among the depicted results from the FEA, measured results from the test (EXP), and theoretical results (THE) deduced from the above theoretical Equation (7) is depicted in Figure 15 and Table 5. It can be found that a generally good agreement was achieved among the results from the FEA, test, and theoretical calculation, which shows that the developed FEA model has a reasonable precision for predicting the impact force on the soil under impact loads and, moreover, the theoretical Equation (7) is capable of calculating the  $F_{max}$ . Furthermore, the  $F_{max}$  on the soil was proportional to the impact height. From Table 5, we can also know that the average values and standard deviations of  $F_{max,FEA}/F_{max,EXP}$  and  $F_{max,THE}/F_{max,EXP}$  were 0.954 and 4.61%, and 0.917 and 8.33%, respectively. Nevertheless, there were still some differences among the results, and these may have been induced by the theoretical formula based on the Hertz collision theory, which assumes that collisions are elastic. Furthermore,

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the influence of the friction force between the drop hammer and guide rail, and the soil compaction in the test were not considered in the FEA model and theoretical formula.



**Figure 14.** Comparisons of impact force–time (F–t) curves between the predicted results in FEA and the measured results in the test (EXP) under different impact heights (H): (**a**) H = 0.5 m; (**b**) H = 1.0 m; (**c**) H = 1.5 m; (**d**) H = 2.0 m.



**Figure 15.** Comparisons of the peak impact force ( $F_{\text{max}}$ ) among the predicted results in FEA, the measured results in the test (EXP), and the theoretical results (THE) under different impact heights (H).

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H (m)	Soil Type	Quality of Drop Hammer (kg)	Test Results (F <sub>max,EXP</sub> ) (kN)	Theoretical Results (F <sub>max,THE</sub> ) (kN)	FEA Results (F <sub>max,FEA</sub> ) (kN)
0.5	Clay	337	67.737	61.690	65.652
1.0	Clay	337	126.817	115.186	117.841
1.5	Clay	337	150.463	136.483	140.462
2.0	Clay	337	181.982	163.780	173.654

**Table 5.** Peak impact force ( $F_{\text{max}}$ ) under different impact heights (H). FEA—finite element analysis.

#### 5.2. The Peak Strain

The peak strains of the pipeline at mid-span derived from the FEA were compared with the measured results from the test and theoretical computation, as summarized in Table 6, where the theoretical results were theoretically derived calculated based on the mathematical Equation (21), and the peak strain ( $\varepsilon_{\text{max, EXP}}$ ) in the test was calculated based on the peak longitudinal and transverse strains ( $\varepsilon_{\text{L}}$  and  $\varepsilon_{\text{T}}$ ). It can be found from Table 6 that the standard deviations among  $\varepsilon_{\text{max,EXP}}$  and  $\varepsilon_{\text{max,EXP}$ 

The Peak Strain in Test The Peak Strain in Theory The Peak Strain in FEA Group Specimens The Relative Emax.FEA The Relative  $\varepsilon_{\text{max,EXP}}$  $\varepsilon_{\text{max,THE}}$  $\varepsilon_{\rm L}$  ( $\mu \varepsilon$ )  $\varepsilon_{\rm T}$  ( $\mu \varepsilon$ )  $(\mu\epsilon)$  $(\mu \epsilon)$ Deviation  $(\mu\epsilon)$ Deviation A114 × 2.5-d0.6-H0.5 354 328 348 6.09% 309 5.79%  $A114 \times 2.5 - d0.6 - H1.0$ 621 424 549 575 4.73% 563 2.10% Α A114 × 2.5-d0.6-H1.5 724 545 645 685 6.20% 658 2.02% A114 × 2.5-d0.6-H2.0 603 792 763 4.09% 816 733 8.18%  $B89 \times 2.5 - d0.6 - H1.0$ 489 321 425 386 9.17% В B139 × 2.5-d0.6-H1.0 774 695 737 784 6.37%  $C114 \times 3.0-d0.6-H1.0$ 367 422 1.20% 456 418 C  $C114 \times 3.5$ -d0.6-H1.0 7.32% 387 204 215 D114 × 2.5-d0.3-H1.0 1005 955 1032 8.06% 1015 768 7.40%  $D114 \times 2.5$ -d0.9-H1.0 205 165 190 205 7.89% 202 5.78%

Table 6. The peak strains of specimens.

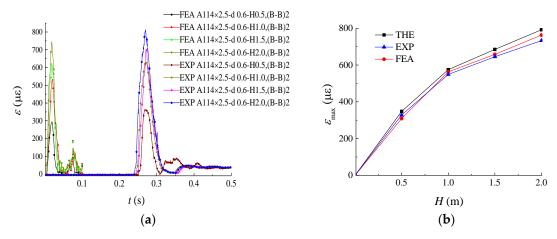
# 5.3. Strain Distribution

### 5.3.1. The Influence of Impact Height

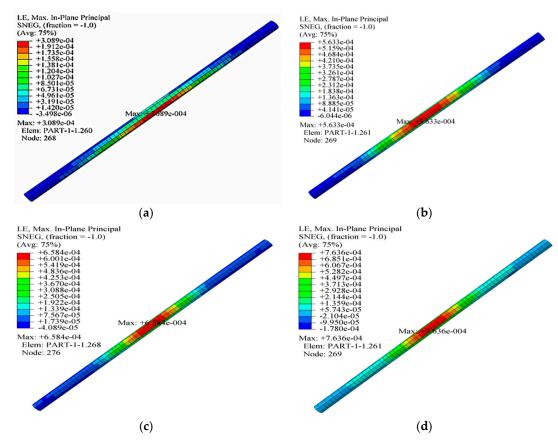
Figure 16a shows a comparison of the strain—time ( $\varepsilon$ –t) curves at the mid-span position (B–B)2 between the depicted results from FEA and the measured results from the experiment (EXP) under different impact heights (H); additionally, a comparison of the peak strains ( $\varepsilon$ <sub>max</sub>) at position (B–B)2 among the FEA, EXP, and theoretical (THE) results is also presented in Figure 16b. It can be found from Figure 16 that a generally good agreement was achieved among the FEA, EXP, and THE results, which shows that the developed FEA model has a reasonable precision for predicting the strain of buried pipelines under impact loads. Furthermore, it is shown that H had a significant influence on the mid-span strain, and the  $\varepsilon$ <sub>max</sub> increased with the increase of H. When H reached 2 m, the predicted  $\varepsilon$ <sub>max</sub> from FEA was 763  $\mu$  $\varepsilon$  and the position of  $\varepsilon$ <sub>max</sub> was as presented in Figure 17d below.

The influence of impact height (H) on the strain distribution of specimens is depicted in Figure 17. It can be found that the maximum strain area occurred at the mid-span and increased with the increase of H, which matches well with the experimental and theoretical results.

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**Figure 16.** The influence of impact height (H) on strain: (**a**) comparisons of strain–time ( $\epsilon$ –t) curves between FEA and EXP results under different H; (**b**) comparisons of the peak strain ( $\epsilon$ <sub>max</sub>) among FEA, EXP, and THE results under different H.



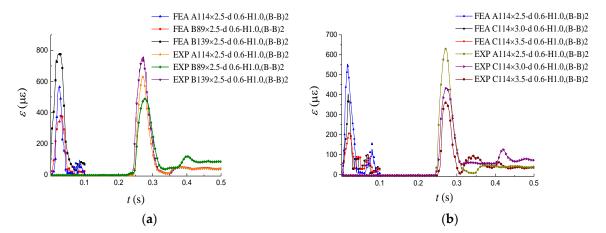
**Figure 17.** The influence of impact height (H) on strain distribution: (**a**) H = 0.5 m; (**b**) H = 1.0 m; (**c**) H = 1.5 m; (**d**) H = 2.0 m.

# 5.3.2. The Influence of Pipeline Diameter and Wall Thickness

Figure 18a,b present the strain–time ( $\varepsilon$ –t) curves at the mid-span of pipelines considering different values of D (89 mm, 114 mm, and 139 mm) and T (2.5 mm, 3.0 mm, and 3.5 mm), respectively. From Figure 18, it can be seen that the  $\varepsilon$ –t curves depicted from FEA agreed well with those from EXP, which indicates that the developed FEA model is reasonable to predict the  $\varepsilon$ –t curves of buried pipelines under impact loads. Furthermore, similar to the above experimental results, the effect of D on  $\varepsilon$  was more obvious than that of T on  $\varepsilon$ . Moreover,  $\varepsilon$  increased with the increase of D, while it decreased with the increase of T. The main reason is that the longitudinal stiffness became small with the increase of

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*D*, and the pipeline mainly underwent longitudinal bending deformation under the vertical impact load. In addition, a thick wall is helpful to improve the whole rigidity of pipeline. Therefore, a small diameter and a thick wall can improve the ability of the pipeline to resist the impact load.



**Figure 18.** Comparisons of strain–time ( $\varepsilon$ –t) curves between FEA and EXP results under different diameter (D) and wall thickness (T): (**a**) D; (**b**) T.

Figure 19 plots the influence of diameter (D) and wall thickness (T) on the strain distribution of specimens. The maximum strain region increased with the increase of D, whereas it decreased with the increase of T, which is in good agreement with the experimental results.

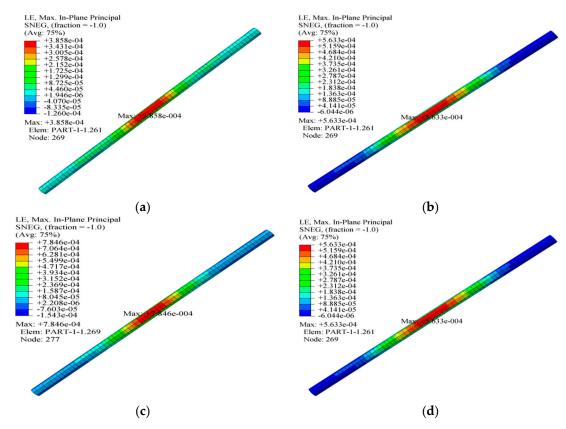
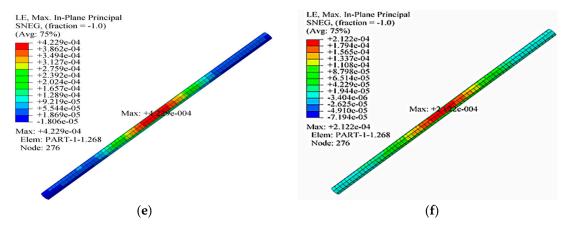


Figure 19. Cont.

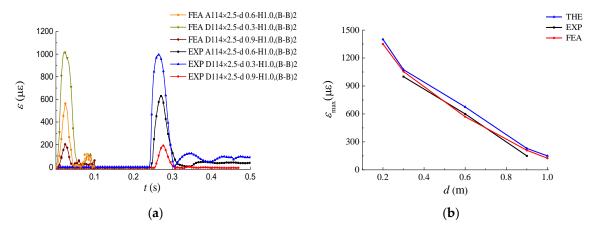
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**Figure 19.** The influence of diameter (*D*) and wall thickness (*T*) on strain distribution: (**a**) D = 89 mm; (**b**) D = 114 mm; (**c**) D = 139 mm; (**d**) T = 2.5 mm; (**e**) T = 3.0 mm; (**f**) T = 3.5 mm.

# 5.3.3. The Influence of Buried Depth

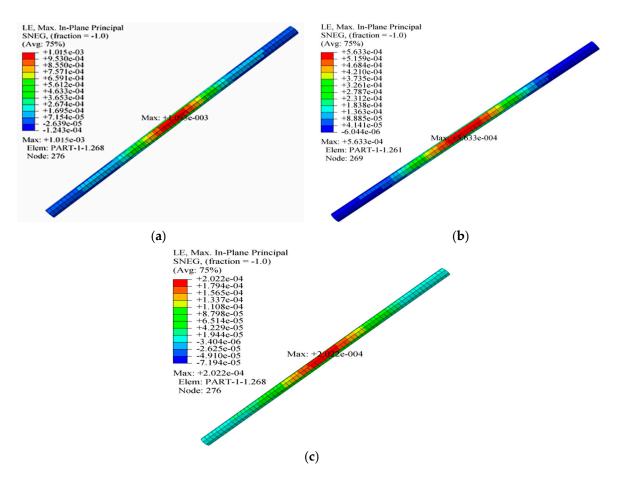
Figure 20a shows a comparison of the strain–time ( $\varepsilon$ –t) curves at the mid-span position (B–B)2 between the predicted results in FEA and the measured results in the experiment (EXP) with various values of buried depth (d); additionally, a comparison of the peak strain ( $\varepsilon$ <sub>max</sub>) at the position among the FEA, EXP, and theoretical (THE) results is also presented in Figure 20b. It can be found from Figure 20 that a generally good agreement was also achieved among the FEA, EXP, and THE results. Similar to the above experimental results,  $\varepsilon$  reduced with the increase of d. It can also be shown that, when d = 0.25 m, the  $\varepsilon$ <sub>max</sub> obtained from THE and FEA was more than 1410  $\mu$  $\varepsilon$ , which indicates that the deformation of the pipeline reached the yield strain. It may be concluded from Figure 20b that a buried depth of the pipeline from 0.5 m to 0.6 m was more reasonable for the pipeline designed in this paper.



**Figure 20.** The influence of burial depth (d) on strain: (**a**) comparisons of strain–time ( $\varepsilon$ –t) curves between FEA and EXP results under different d; (**b**) comparisons of the peak strain ( $\varepsilon$ <sub>max</sub>) among FEA, EXP, and THE results under different d.

The strain distribution of specimens under d in FEA is presented in Figure 21. It can be seen from Figure 21 that  $\varepsilon$  at the mid-span of the specimen with d=0.9 m (D114  $\times$  2.5-d0.9-H1.0) was much smaller than that with d=0.6 m (A114  $\times$  2.5-d0.6-H1.0) and d=0.3 m (D114  $\times$  2.5-d0.3-H1.0), which reflects that the soil plays a buffer role in dispersing the impact load, and indicates that the pipelines cannot be buried shallowly.

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**Figure 21.** The influence of burial depth (d) on strain distribution: (**a**) d = 0.3 m; (**b**) d = 0.6 m; (**c**) d = 0.9 m.

#### 6. Conclusions

The strain behavior of a buried long pipeline under an impact load was obtained through comparison among theoretical results (THE), experimental results, and FEA results. The following conclusions can be drawn:

- (1) For the buried pipeline under an impact load, a generally good agreement was achieved among the FEA results, the EXP results, and THE results in terms of the peak impact force ( $F_{max}$ ) on soil and the peak strain ( $\varepsilon_{max}$ ) on pipelines, which indicates that the mathematical formula based on the mechanical model of a buried pipeline and the finite element models are accurate for the prediction of pipeline response under the impact load.
- (2) The peak longitudinal strain ( $\varepsilon_L$ ) was much larger than the peak transversal strain ( $\varepsilon_T$ ); thus, longitudinal bending was the main source of deformation for specimens under the impact load.
- (3) Under the same impact height (H) of the drop hammer, the diameter (D) had an obvious influence on  $\varepsilon_{\text{max}}$ , while the wall thickness (T) had a subtle influence on  $\varepsilon_{\text{max}}$ . Furthermore,  $\varepsilon_{\text{max}}$  decreased with the increase of T, while it increased with the increase of D and H, which is similar to the distribution of the high-strain area of the pipeline in the experimental results and FEA results. This means that a small diameter and a thick wall thickness are beneficial for the pipeline to resist the impact load.
- (4) The  $\varepsilon_{\text{max}}$  decreased rapidly with the increase of the buried depth (d) of the pipeline under the same D and T, which indicates that the pipelines cannot be buried shallowly. According to the extension of THE and FEA results, a buried depth of the pipeline from 0.5 m to 0.6 m was more reasonable for the pipeline designed in this paper.

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#### 7. Discussion of Future Work

As an efficient means to transport gaseous and liquid materials, pipelines are widely deployed and play important roles in pipeline network systems. However, the impact load caused by rockfall results in the serious damages of buried pipelines in engineering. In this paper, only the effects of parameters on the strain behaviors of healthy pipelines under impact loads were analyzed through theoretical derivation, experimental research, and finite element analysis (FEA). However, pipelines in service often have pre-existing damages; therefore, a pipeline with pre-existing damages should be considered in future research, and the mechanical properties of damaged buried pipelines under impact loads should be further explored. Benefitting from the recent rapid development of structural health monitoring technology [51,52], many researches demonstrated that piezoceramic materials [53,54] have actuating and sensing ability to detect structural damages. Future research will involve conducting experiments on the damage detection of buried long pipelines with advanced sensing technologies, such as fiber optic sensors [55,56] and piezoceramic transducers [57–59], also contributing to the pipeline monitoring. In addition, based on theoretical analysis and numerical simulations, simple design calculations can be proposed.

**Author Contributions:** All authors discussed and agreed upon the idea, and made scientific contributions. F.D. and G.D. designed the experiments and wrote the paper. F.D., X.B., J.T., and X.X. performed the experiments and analyzed the data.

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