



Article

Classifying Upper Arm Gym-Workouts via Convolutional Neural Network by Imputing a Biopotential-Kinematic Relationship

Ji-Hyeon Yoo ¹, Ho-Jin Jung ¹, Yi-Sue Jung ¹, Yoon-Bee Kim ¹, Chang-Jae Lee ², Sung-Tae Shin ^{3,*}
and Han-Ul Yoon ^{1,2,*,†}

¹ Division of Computer and Telecommunication Engineering, Yonsei University, Wonju 26493, Korea; starsand96@yonsei.ac.kr (J.-H.Y.); wo8672@yonsei.ac.kr (H.-J.J.); yisue@yonsei.ac.kr (Y.-S.J.); yoonbee7@yonsei.ac.kr (Y.-B.K.)

² Department of Computer Science, Yonsei University, Wonju 26493, Korea; clee7128@yonsei.ac.kr

³ Department of Mechanical Engineering, Dong-A University, Busan 49315, Korea

* Correspondence: stshin@dau.ac.kr (S.-T.S.); huyoon@yonsei.ac.kr (H.-U.Y.);
Tel.: +82-51-200-7653 (S.-T.S.); +82-33-760-2235 (H.-U.Y.)

† Current address: 1 Yonseidae-gil, Wonju 26493, Korea.

Abstract: This paper proposes a systemic approach to upper arm gym-workout classification according to spatio-temporal features depicted by biopotential as well as joint kinematics. The key idea of the proposed approach is to impute a biopotential-kinematic relationship by merging the joint kinematic data into a multichannel electromyography signal and visualizing the merged biopotential-kinematic data as an image. Under this approach, the biopotential-kinematic relationship can be imputed by counting on the functionality of a convolutional neural network: an automatic feature extractor followed by a classifier. First, while a professional trainer is demonstrating upper arm gym-workouts, electromyography and joint kinematic data are measured by an armband-type surface electromyography (sEMG) sensor and a RGB-d camera, respectively. Next, the measured data are augmented by adopting the amplitude adjusted Fourier Transform. Then, the augmented electromyography and joint kinematic data are visualized as one image by merging and calculating pixel components in three different ways. Lastly, for each visualized image type, upper arm gym-workout classification is performed via the convolutional neural network. To analyze classification accuracy, two-way rANOVA is performed with two factors: the level of data augmentation and visualized image type. The classification result substantiates that a biopotential-kinematic relationship can be successfully imputed by merging joint kinematic data in-between biceps- and triceps-electromyography channels and visualizing as a time-series heatmap image.

Keywords: imputing a biopotential-kinematic relationship; kinematic and biopotential data analysis; human behavior classification; upper arm gym-workout classification; convolutional neural network



Citation: Yoo, J.-H.; Jung, H.-J.; Jung, Y.-S.; Kim, Y.-B.; Lee, C.-J.; Shin, S.-T.; Yoon, H.-U. Classifying Upper Arm Gym-Workouts via Convolutional Neural Network by Imputing a Biopotential-Kinematic Relationship. *Appl. Sci.* **2021**, *11*, 2845. <https://doi.org/10.3390/app11062845>

Academic Editor: Seokwon Yeom

Received: 28 February 2021

Accepted: 18 March 2021

Published: 22 March 2021

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).

1. Introduction

Nowadays, many people regularly perform gym-workouts to prevent enervation and invigorate the activities of daily living. The gym-workout protocol has been encouraged for both promoting an individual fitness and expediting patient's rehabilitation process [1–3]. Prerequisite for maximizing the efficacy of exercise and preventing unexpected injury is that a person must work out with a correct posture as well as target muscle stimulation while performing exercises such as arm-curl, dead-lift, kettle-bell squat, and so on [1,4]. Habitually failing to fulfill either the former or the latter prerequisite may cause serious injuries on muscular-tendon or musculo-skeletal mechanism [5,6]. Accordingly, people's interests about exercise monitoring systems have been grown as the number of both gym-goers and home trainees increase.

Computer vision-based approach is one main pillar to build exercise monitoring systems. In the computer vision-based approaches, the exercise monitoring system is typically equipped with RGB-d (or RGB) cameras such as Microsoft Kinect, Intel Realsense, etc. [7,8]. For the captured video frame or image, people's joint positions are detected and estimated with the support of deep learning-based algorithms, then their posture is displayed as a skeleton [9,10]. Torres et al. proposed an upper limb strength training system in which a user's posture was detected by Kinect v2 [11]. Nagarkoti et al. presented a mobile phone video recording-based approach to realtime indoor workout analysis [12] and a similar approach was reported by Liu and Chu [13]. The aforementioned studies in [1–3] are of computer vision-based approaches as well; specifically, exercise posture correction for home trainee [1], posture modeling [2], and posture correction during rehabilitation training [3].

Surface electromyography (sEMG)-based approach is the other main pillar which enables to monitor body posture and movements. Due to the inter-subject variability (or difference) of the signal, the sEMG-based approach is used to be supported by advanced neural networks; especially, since sEMG is multichannel time series data, recurrent neural network (RNN), long short-term memory (LSTM), and deep belief network (DBN) are mostly used for desired classification [14–16]. Quivira et al. proposed an approach to classify dynamic hand motions by translating sEMG signals via RNN [17]. Orjuela-Cañón et al. employed deep neural network (DNN) architecture to solve the classification problem of wrist position based on sEMG data [18]. The more dexterous motion is, the more advantageous information sEMG carries; accordingly, similar approaches for the classification of finger, hand and arm movements were introduced in [19–21]. In addition, the monitored muscle activation as well as generated force can directly serve as an indicator to tell whether the target muscle is stimulated during workouts. The sEMG-based force monitoring approaches can be categorized as follows: neural network (NN)-based approach [22–26], dynamic-model and software-based approach [27–29], optimization-based approach [30,31].

The above introduced approaches, so far now, have solely used either computer-vision or sEMG. In contrast, we know that it would be beneficial to endow exercise monitoring systems with multimodality in a sense of information gathering. Kim et al. proposed a posture monitoring system in which both sEMG and inertial measurement unit (IMU) were employed to estimate human motion [32] and Xu et al. introduced a similar approach [33]. Wang used IMU together with Apple Watch to reconstruct arm posture for upper-body exercises [34]. Several studies utilized both sEMG sensor and computer-vision; for instance, equinus foot treatment system by Araújo et al. [35], prosthesis control interface by Blana et al. [36], and rehabilitation video games by Rincon et al. [37] and Esfahlani et al. [38], respectively. As aforementioned, “an individual is performing a proper and exact workout” means that his or her joints are following a correct posture and target muscles are being stimulated primarily. Even though there have been existing studies, an exercise monitoring approach in perspectives of muscle physiology as well as joint kinematics has not yet been fully considered.

Studies have striven for demystifying a relationship between sEMG activation and joint kinematics. Michieletto et al. and Triwiyanto et al. proposed Gaussian–Markov process-based elbow joint angle estimation techniques, respectively [39,40]. Initiated from Hill's muscle model, Han et al. introduced a state space model for elbow joint and Zeng et al. presented their works for knee joint [41,42]. Pradhan et al. used VICON-based 3D motion capture and sEMG data and reported that a relationship between those two were still fuzzy [43]. As seen in the case of sEMG-based force monitoring above, NN-based approach might be a reasonable solution to estimate elbow joint kinematics from sEMG signals [44–47]. Namely, due to the characteristics of sEMG signal (including individual differences), a relationship between sEMG activation and joint kinematics not so straightforward and existing findings are still debatable [48,49].

To address the issues above, we propose a systemic approach to upper arm gym-workout classification via convolutional neural network (CNN). The proposed approach can be regarded as a subsolution of the larger class of problem in the design of exercise monitoring system with a specific example of upper arm gym-workout. The main idea of our approach is to merge joint kinematic data in-between sEMG channels and visualize as one image which will serve as an input to the CNN. The following research questions represent the motivation for this study:

- “If muscle physiologic data is visualized together with kinematic data as a spatio-temporal image, can a relationship between these two data be imputed by the CNN since it consists of an automatic feature extractor followed by a classifier?”
- “If the input image is manipulated to show more distinctive spatio-temporal features from a point of view of human eyes, then how this manipulation does affect to the CNN performances in terms of training loss and test accuracy?”

The above motivation leads us to the following validation procedure. First, the measured sEMG and joint angle data set is augmented using amplitude adjusted Fourier transform to generate the surrogate data while consistency is being sustained. Next, we visualize the measured sEMG and joint angle data as one heatmap by setting a horizontal and a vertical axis to be the time and the channels of the measured data, respectively. Then, we utilize the heatmap as an input data to CNN and then investigate the classification accuracy via CNN varies according to the merging location of the joint angle, e.g., in-between sEMG channels (just as a separate bar) or at the bottom of all sEMG channels. Furthermore, the measured sEMG and joint angle are also visualized with the patches of a Hadamard product matrix made of the joint angle and each sEMG channel, which is believed that, at the first glance, spatio-temporal features become challenging to be recognized. Finally, we perform statistical analysis to substantiate the main effects of the human-eye friendly image manipulation and the level of data augmentation as well as the interaction effect between those two.

To our best knowledge, the idea of the merging of muscle physiology and joint kinematics as well as the human-eye friendly image manipulation have not yet been fully studied in a field of CNN-based classification. Therefore, the contribution of this study can be summarized as follows:

- We propose a novel approach for upper arm gym-workout classification by imputing a relationship between muscle physiology and joint kinematics via CNN feature extraction.
- We introduce a data augmentation technique for time series, present various visualization methods according to human-eye friendly image manipulation, and statistically analyze the CNN classification performance based on experimental evaluations.
- The outcomes from this study can be utilized to advance the state of the art in the problem of developing exercise monitoring systems by providing the level of data augmentation and the visualization method which guarantees the best CNN classification performance.

The rest of the paper is organized as follows: the proposed approach for gym-workout classification is introduced in Section 2; specifically, our approach is explained through corresponding subsections as follows: system architecture, experimental setup and procedure, data augmentation technique, post data-processing, and is culminated to the main idea about imputing a biopotential-kinematic relationship and performing classification via CNN. In Section 3, the classification result is reported in terms of training loss and test accuracy, which is followed by statistical analysis. In Section 4, significant outcomes and findings are discussed. Lastly, Section 5 will be the conclusion of this paper.

2. Methods

2.1. System Architecture, Experimental Setup and Protocol

Figure 1 depicts the overall system architecture, data measurement and flows, data processing, and CNN training for the proposed upper arm gym-workout classification.

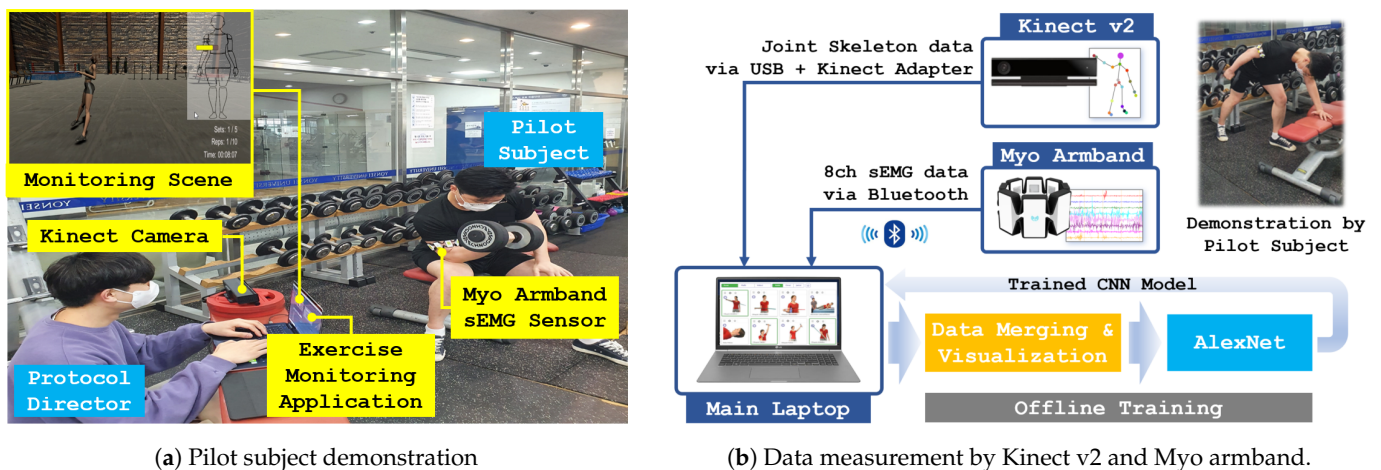


Figure 1. The overall system architecture: the measured data from Kinect v2 and Myo armband are merged and visualized. The visualized image is used as an input for CNN training. Both a pilot subject and a protocol director are wearing a mask by following the government quarantine instruction against COVID-19.

Our exercise monitoring system consisted of a laptop with a custom-developed Unity3D-based software application, an armband type 8-channel sEMG sensor (Myo armband, Thalmic Labs, Brooklyn, NY, USA), a RGB-d camera (Kinect v2, Microsoft, Redmond, WA, USA). Throughout the paper, the sEMG sensor and the RGB-d camera will be referred to as more common name: Myo armband and Kinect v2.

A professional trainer was recruited as a pilot subject (PS). The Myo armband was mounted on PS's right upper arm under a protocol director (PD)'s supervision. Figure 2 shows two exercises demonstrated by the PS and the 8-channel deployment on his right upper arm. After being equipped with Myo armband, the PS was guided by the PD in front of Kinect v2 and given instructions about experimental procedure and safety. The PS was instructed to perform a dumbbell curl (target muscle: biceps brachii) at the first visit and a dumbbell kickback (target muscle: triceps brachii) at the second visit. The experimental protocol was as follows:

1. According to PD's hand signal at every 1.6 s, the PS performed a dumbbell curl one time (one trial).
2. After performing 10 trials, the PS was supposed to take a 15 min break (the end of one session) to minimize the effect of muscle fatigue.
3. Repeat six sessions.

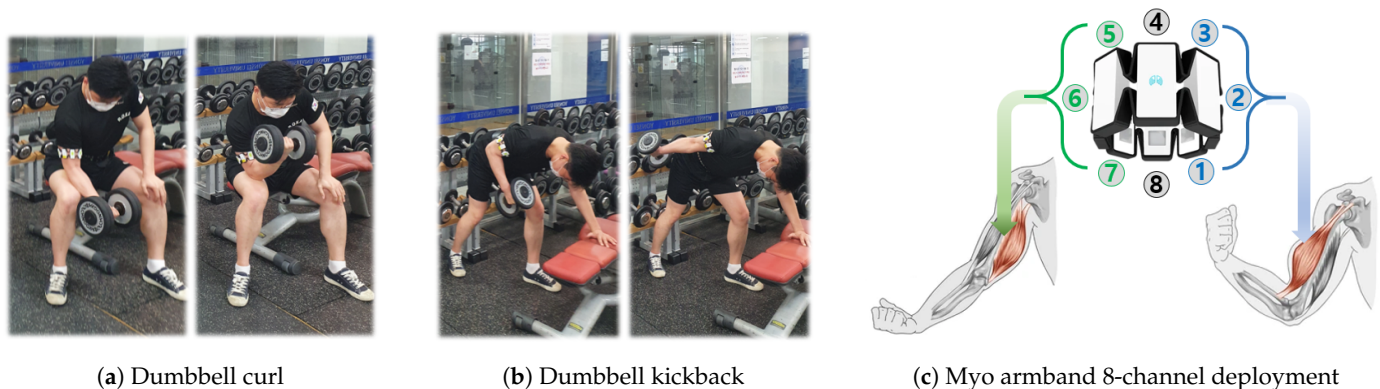


Figure 2. The pilot subject demonstrates dumbbell curl and dumbbell kickback. For Myo armband Ch.1~Ch.3 and Ch.5~Ch.7 correspond to biceps and triceps brachii, respectively. Ch.4 and Ch.8 are placed on boundaries between two muscles.

While the PS was performing the exercise, sEMG data and joint skeleton data were sent via Bluetooth and USB+Kinect adapter connections, respectively. The sampling rate of Myo armband was set to 20 ms; For each channel, hence, 80 time series data samples

were recorded for one trial (corresponds to 1.6 s). An elbow joint angle was calculated by VITRUVIUS using skeleton data from Kinect v2 [10]. The same sampling rate was applied to Kinect v2; therefore, 80 data samples were stored for the elbow joint angle. After two days from the first visit, dumbbell kickback was measured under the same experimental protocol as well.

2.2. Data Augmentation Using AAFT and Signal Processing

In contrast to mechanical or electrical signal measurement, it is difficult to measure a large number of consistent sEMG signals for a repetitive task due to muscle fatigue. To prevent either under- or over-fitting and increase the generalization ability of a neural network, therefore, a proper data augmentation technique must be applied. The measured both sEMG and joint angle data are augmented by adopting the amplitude adjusted Fourier transform (AAFT) (Python code for AAFT can be found at <https://github.com/manu-mannattil/nolitsa>, accessed on 2 February 2021 [50]). We here recapitulate AAFT by the following [51]:

1. Generate a Gaussian sequence, say $y(n)$, using pseudo-random generator;
2. Reorder $y(n)$ according to the rank of the measured original data $x(n)$, say this reordered sequence $z(n)$;
3. Perform Fourier transform to $z(n)$:

$$Y(k) = \sum_{n=0}^{N-1} z(n)e^{-j2\pi nk/N}$$

4. Randomize phase: $Y'(k) = Y(k)e^{j\phi}$, where
 When data are even:
$$\begin{cases} \phi(f_0) = 0 \\ \phi(f_i) = -\phi(f_k), \quad i = 2 - \frac{N}{2}, k = N - \frac{N}{2} + 1 \\ \phi(f_{N/2}) = 0 \end{cases}$$

 When data are odd:
$$\begin{cases} \phi(f_0) = 0 \\ \phi(f_i) = -\phi(f_k), \quad i = 2 - \frac{N+1}{2}, k = N - \frac{N+1}{2} + 1 \end{cases}$$
5. Perform inverse Fourier transform:

$$y'(n) = \frac{1}{N} \sum_{k=1}^{N-1} Y'(k)e^{j2\pi nk/N}$$

6. According to the rank of $y'(n)$, reorder the measured original data $x(n)$; this yields the surrogate data $x'(n)$.

The AAFT generates the surrogates of the original data in terms of temporal correlation, amplitude distribution, power spectral density [51,52]. For the sEMG measurement, since it is rather difficult to obtain a large amount of consistent data due to muscle fatigue, the AAFT is frequently used for data augmentation. In this study, the AAFT was employed with the same purpose and similar application can be found in [53]. We note that a ratio between the number of data after being augmented and that of the original data will be referred to as the level of data augmentation. For instance, if the total number of data was tripled, then the level of data augmentation was 3 and will be denoted by AAFT(3).

After data augmentation by AAFT, moving root mean square (RMS) filtering was applied to both sEMG and joint angle data (original and surrogates) with a sliding window length 10. Recall that each sEMG channel as well as the elbow joint angle data contained 80 time series data samples. These data were integrated over every five samples, which yielded 8×16 for the 8-channel sEMG data and 1×16 for the elbow joint angle data, respectively. The 8×16 sEMG data were intrachannel normalized and the 1×16 elbow joint angle data were interchannel normalized.

2.3. Imputing a Muscle Activation to Joint Kinematics Relationship and Classification via CNN

Figure 3 illustrates the examples of $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, and $\mathcal{I}_{\text{chal}}$, which were produced by our proposed approach introduced below. The subscript of \mathcal{I} —easy, fair, and chal (=challenging)—represents the level of manipulation for the recognizability of input image features from human eyes' point of view. For example, from Figure 3a, we could easily distinguish dumbbell curl and dumbbell kickback by the spectral color of upper- or lower-stripes. In Figure 3b, in contrast, the bottom stripe seemed to be related to the upper stripes but separated; especially, in case of dumbbell curl. Indeed, Figure 3a was produced by interleaving the interchannel normalized elbow joint angle data in-between biceps and triceps channels, whereas the elbow joint angle data were simply added at the bottom of the 8-channel sEMG data. From Figure 3c, we can see diagonal patterns but the patterns themselves are rather challenging to be described.

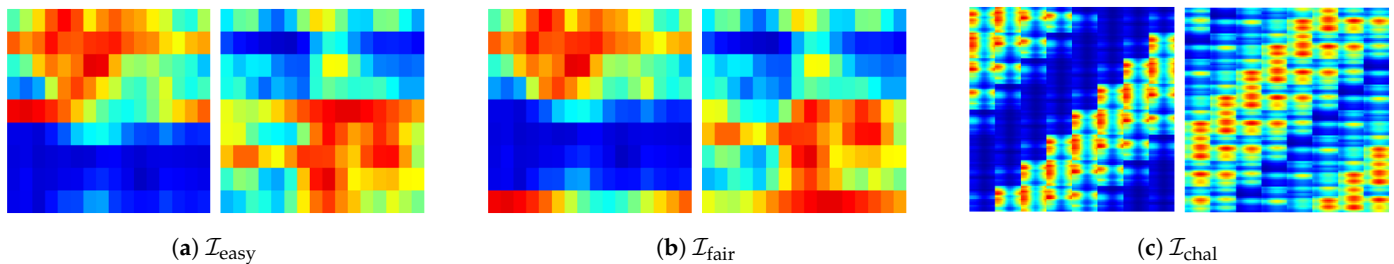


Figure 3. The examples of $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, and $\mathcal{I}_{\text{chal}}$: For all (a–c), a pair of figures represents dumbbell curl (left) versus dumbbell kickback (right).

The underlying rationale of the above manipulation was that we wanted to know whether producing an input image data set with considering human eyes' point of view had an effect on classification via CNN or not. Especially, we want to investigate how it affected feature extraction for imputing a muscle activation to joint kinematics relationship, which could be implied by the training loss and the test accuracy. In this sense, we summarized the feature characteristics of $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, $\mathcal{I}_{\text{chal}}$ as follows:

- $\mathcal{I}_{\text{easy}}$: an image contained features that were easy to be recognized by human eyes, e.g., geometry, color, etc.
- $\mathcal{I}_{\text{fair}}$: an image contained features that were fair to be recognized by human eyes, e.g., simple rules, simple pattern, local differences, etc.
- $\mathcal{I}_{\text{chal}}$: an image contained features that were challenging to be recognized by human eyes, e.g., mathematically defined patterns such as correlation, attraction, bifurcation, fractal, etc.

$\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, $\mathcal{I}_{\text{chal}}$ can be produced according to manipulations introduced below. Let $s_m(n)$ and $a(n)$ be the m th channel sEMG data and the elbow joint angle data after signal processing, respectively. Recall that both data were of $\mathbb{R}^{1 \times 16}$. First, $\mathcal{I}_{\text{easy}}$ was defined to be

$$\mathcal{I}_{\text{easy}}(m, n) = \begin{bmatrix} s_1(n) \\ \vdots \\ s_4(n) \\ a(n) \\ s_5(n) \\ \vdots \\ s_8(n) \end{bmatrix} = \begin{bmatrix} s_1(1) & s_1(2) & \cdots & s_1(16) \\ \vdots & \vdots & \ddots & \vdots \\ s_4(1) & s_4(2) & \cdots & s_4(16) \\ a(1) & a(2) & \cdots & a(16) \\ s_5(1) & s_5(2) & \cdots & s_5(16) \\ \vdots & \vdots & \ddots & \vdots \\ s_8(1) & s_8(2) & \cdots & s_8(16) \end{bmatrix} \in \mathbb{R}^{9 \times 16}. \quad (1)$$

Namely, $a(n)$ was interleaved in-between the biceps and triceps channels of the sEMG. Second, we defined $\mathcal{I}_{\text{fair}}$ as

$$\mathcal{I}_{\text{fair}}(m, n) = \begin{bmatrix} s_1(n) \\ s_2(n) \\ \vdots \\ s_8(n) \\ a(n) \end{bmatrix} = \begin{bmatrix} s_1(1) & s_1(2) & \cdots & s_1(16) \\ s_2(1) & s_2(2) & \cdots & s_2(16) \\ \vdots & \vdots & \ddots & \vdots \\ s_8(1) & s_8(2) & \cdots & s_8(16) \\ a(1) & a(2) & \cdots & a(16) \end{bmatrix} \in \mathbb{R}^{9 \times 16}. \quad (2)$$

Thus, $a(n)$ was merged at the bottom of the sEMG channels. Third, to define $\mathcal{I}_{\text{chal}}$, we first defined matrices S_m and A which could be generated by replicating $s_m(n)$ and $a(n)$ to row- and column-wise, respectively:

$$S_m = \begin{bmatrix} s_m(1) & s_m(2) & \cdots & s_m(16) \\ s_m(1) & s_m(2) & \cdots & s_m(16) \\ \vdots & \vdots & \ddots & \vdots \\ s_m(1) & s_m(2) & \cdots & s_m(16) \end{bmatrix} \quad \text{and} \quad A = \begin{bmatrix} a(1) & a(1) & \cdots & a(1) \\ a(2) & a(2) & \cdots & a(2) \\ \vdots & \vdots & \ddots & \vdots \\ a(16) & a(16) & \cdots & a(16) \end{bmatrix}. \quad (3)$$

Both S_m and A are of $\mathbb{R}^{16 \times 16}$. Now, we can define $\mathcal{I}_{\text{chal}}$ by

$$\mathcal{I}_{\text{chal}} = \begin{bmatrix} S_1 \odot A & S_2 \odot A & \cdots & S_8 \odot A \\ S_2 \odot A & S_3 \odot A & \cdots & S_1 \odot A \\ \vdots & \vdots & \ddots & \vdots \\ S_8 \odot A & S_1 \odot A & \cdots & S_7 \odot A \end{bmatrix} \in \mathbb{R}^{128 \times 128} \quad (4)$$

where \odot represents the Hadamard product. Namely, $\mathcal{I}_{\text{chal}}$ consists of patches of $S_m \odot A$. Finally, all $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, and $\mathcal{I}_{\text{chal}}$ were visualized as $227 \times 227 \times 3$ (width \times height \times RGB-channels) heatmaps by upscaling from $9 \times 16 \times 3$, $9 \times 16 \times 3$, and $128 \times 128 \times 3$, respectively. For this upscaling, bilinear interpolation was used. The selected examples of $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, $\mathcal{I}_{\text{chal}}$ are presented in Figure 4.

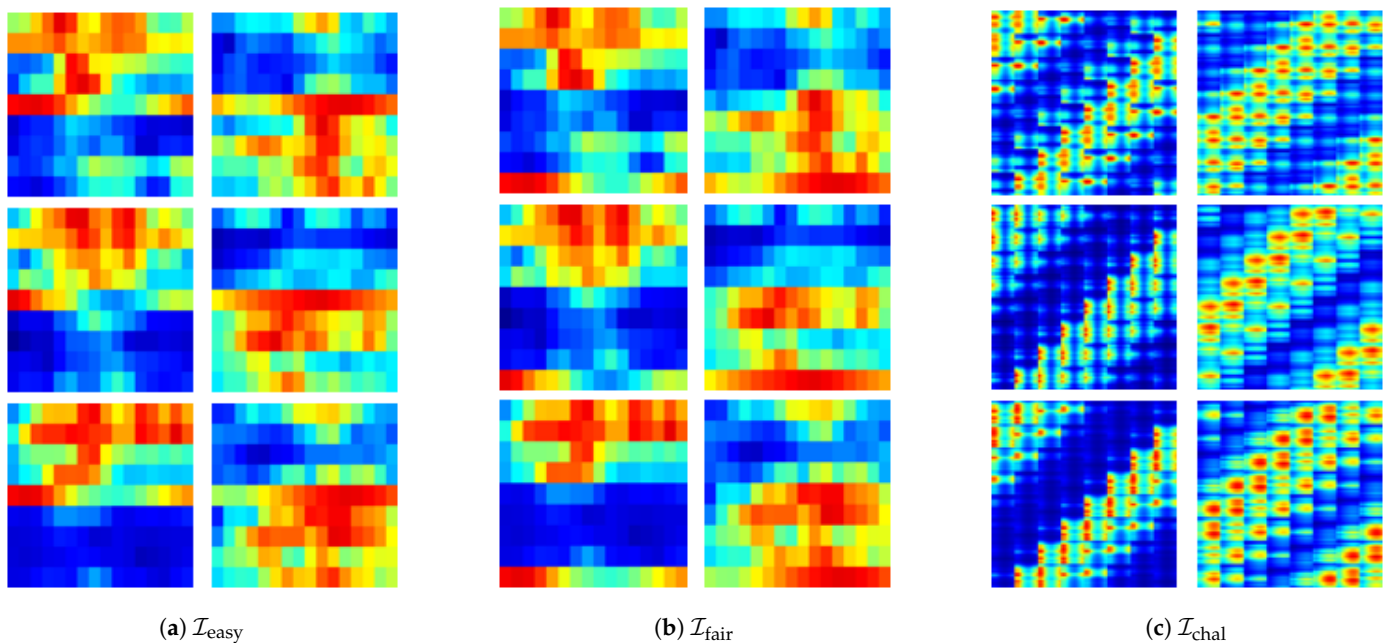


Figure 4. The selected examples of produced $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, and $\mathcal{I}_{\text{chal}}$: For all (a–c), a pair of figures represents dumbbell curl (**left**) versus dumbbell kickback (**right**). For (a), it is easy to see that the left and the right figures can be featured based on upper and lower stripes of the heat map. From (b), stripe pattern in the left figure is separated at top and bottom; nevertheless, the two figures can be fairly featured with stripe patterns. In (c), the two figures show the opposite diagonal patterns against each other. The pattern seems like periodic but becomes challenging to be featured in a simple way.

To train a model and classify the visualized dumbbell curl and dumbbell kickback, the AlexNet was employed [54]. Figure 5 shows gym-workout classification via AlexNet with an example of $\mathcal{I}_{\text{easy}}$ as an input image. According to a combination of the 5-level of data augmentation by AAFT and the 3-level of manipulation for the recognizability of input image features, there existed 15 conditions. Under each condition, training and classification via CNN (AlexNet) were repeated 10 times with shuffling the sequence of input images for each repetition. The performance of CNN is recorded in terms of the training loss and the test accuracy at the end of each repetition.

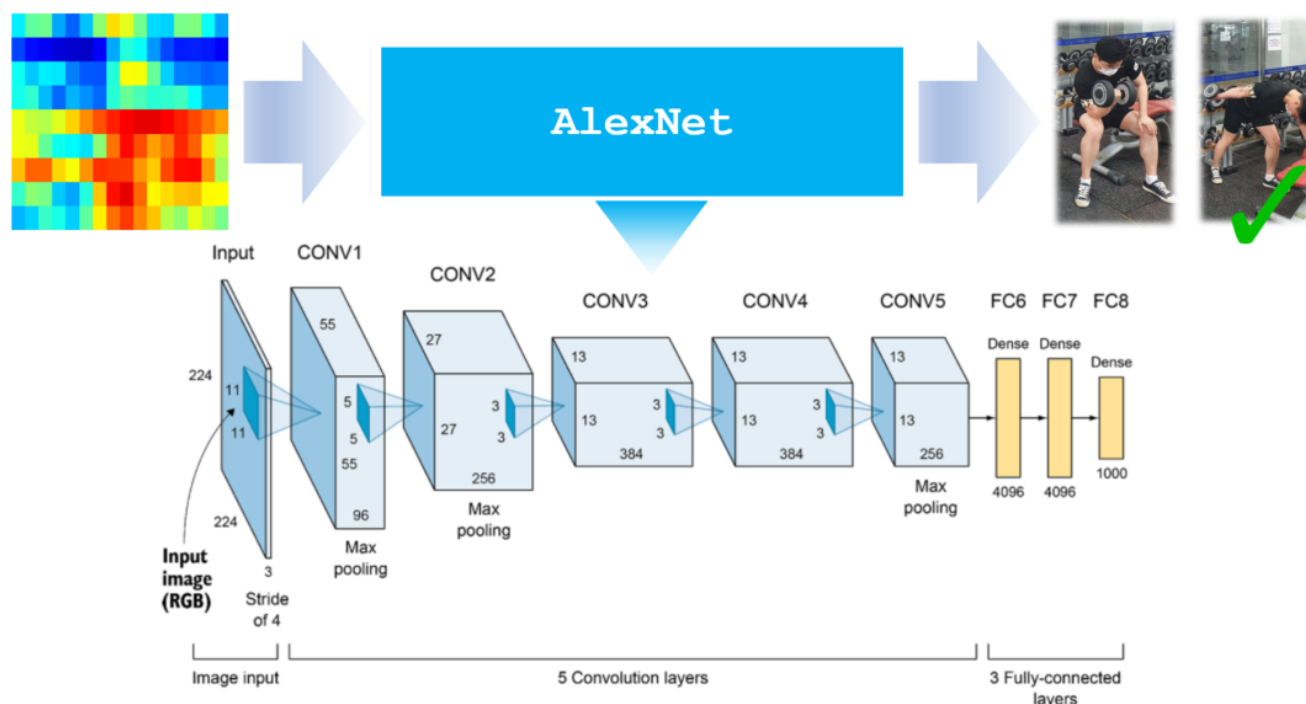


Figure 5. An example of gym-workout classification via AlexNet with an input image $\mathcal{I}_{\text{easy}}$ [54].

2.4. Statistical Analysis and Research Questions

For statistical analysis, the level of data augmentation by AAFT was set to one dependent factor and represented by AAFT(1), AAFT(2), AAFT(3), AAFT(4), AAFT(5). The representation AAFT(4) represents that the original data were augmented by quadruple. The other dependent factor was set to the level of manipulation for the recognizability of input image features which were represented by $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, $\mathcal{I}_{\text{chal}}$. Statistical analysis was performed to explain the effects of the two factors (either positive or negative) on CNN (AlexNet) performance in terms of the training loss and the test accuracy.

A repeated measures analysis of variance (rANOVA) was performed to identify the effect of two dependent factors with the significance level of $p < 0.05$ (IBM SPSS Statistics, v25, Chicago, IL, USA). If the assumption of sphericity was violated for the main effects, the degree of freedom was corrected using Greenhouse-Geisser estimates of sphericity. The Bonferroni-adjusted pairwise comparison was used, and the result was reported in the form of “mean difference (standard error).” Meanwhile, statistically analyzing the CNN performance, the following research questions were addressed:

- (Q1) “How did the level of data augmentation by AAFT affect CNN performance?”
- (Q2) “How did the level of manipulation for the recognizability of input image features affect CNN performance?”
- (Q3) “What was the optimal combination of the two factors for the best CNN performance?”

(Q1) and (Q2) could be answered by investigating the main effect of the level of data augmentation by AAFT and the level of manipulation for the recognizability of input image

features, respectively. The answer for (Q3) could be found by observing the interaction effect between the two factors as well as pairwise comparison result with corresponding mean and standard deviation values.

3. Results

3.1. Overall CNN Performance

To evaluate CNN performance, training:validation:test sets were organized by 8:1:1 ratio. For instance, under AAFT(1) which corresponded to no data augmentation, $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, $\mathcal{I}_{\text{chal}}$ included 60 images each; accordingly, the number of data in training:validation:test sets were 48:6:6. For each condition of AAFT(*) and \mathcal{I}_{*} , the evaluation was repeated 10 times. The data set was shuffled for every repetition. Tables 1 and 2 show that the mean and standard deviation of training loss and test accuracy according to two dependent factors, respectively. Mean values presented in bold face represent the best performance (the lowest value for training loss and the highest value for test accuracy).

For better readability, we summarize the estimated mean values and pairwise comparison results of the two CNN performance metrics across the five levels of data augmentation by AAFT and the three levels of manipulation for the recognizability of input image features in Table 3. The explanation about the statistical analysis result of Table 3 is followed in Sections 3.2–3.4.

Table 1. The mean and standard deviation of training loss.

Training Loss	AAFT(1) [†]			AAFT(2)			AAFT(3)			AAFT(4)			AAFT(5)		
	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$
1st run	3.38	2.93	3.36	2.96	2.62	3.37	2.63	2.62	3.37	1.62	2.27	1.57	1.11	1.66	1.58
2nd run	3.19	3.36	3.27	3.20	3.12	3.48	2.01	1.86	3.74	1.86	2.54	2.31	1.46	1.11	2.21
3rd run	3.07	3.21	3.39	3.04	3.56	3.01	3.30	3.01	2.62	1.83	1.54	2.60	1.61	1.10	1.63
4th run	3.43	3.17	3.42	3.55	3.66	3.39	2.58	2.12	3.14	2.66	2.35	2.17	1.01	1.03	1.04
5th run	3.46	3.38	2.79	2.85	2.45	3.41	2.09	2.11	3.25	2.52	2.04	2.26	1.14	0.82	1.27
6th run	3.38	3.20	3.25	3.29	3.12	3.51	2.73	2.42	2.91	2.58	1.30	2.50	1.79	1.32	1.18
7th run	3.46	3.36	2.44	2.73	3.06	3.63	2.96	1.78	3.03	1.96	1.23	3.36	0.96	0.32	1.21
8th run	3.80	3.46	2.75	3.47	3.20	3.60	2.12	2.52	2.36	1.28	1.23	2.56	1.53	1.24	2.01
9th run	3.25	3.30	3.14	2.83	2.72	3.38	2.20	0.44	2.68	2.18	1.22	3.07	1.28	1.85	2.23
10th run	3.29	3.46	3.23	2.75	2.64	2.52	2.90	2.80	2.37	2.61	2.45	1.81	1.48	1.39	1.38
Mean	3.37	3.28	3.10	3.07	3.13	3.27	2.55	2.17	2.95	2.11	1.82	2.42	1.34	1.18	1.57
Std	0.20	0.16	0.33	0.30	0.44	0.37	0.44	0.73	0.45	0.48	0.56	0.53	0.28	0.43	0.44

†: AAFT(1) corresponds to no data augmentation.

Table 2. The mean and standard deviation of test accuracy (%).

Test Accuracy	AAFT(1) [†]			AAFT(2)			AAFT(3)			AAFT(4)			AAFT(5)		
	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$
1st run	100.0	100.0	50.0	91.7	50.0	50.0	97.2	94.4	50.0	100.0	100.0	99.0	100.0	100.0	85.0
2nd run	100.0	50.0	70.8	100.0	100.0	50.0	91.7	100.0	98.6	100.0	100.0	50.0	100.0	100.0	99.2
3rd run	66.7	95.8	50.0	100.0	50.0	50.0	100.0	98.6	50.0	97.9	99.0	62.5	100.0	100.0	100.0
4th run	100.0	50.0	50.0	100.0	50.0	50.0	100.0	100.0	50.0	100.0	100.0	69.8	100.0	100.0	100.0
5th run	100.0	87.5	50.0	100.0	100.0	50.0	100.0	100.0	96.9	100.0	100.0	96.9	100.0	100.0	100.0
6th run	88.9	100.0	50.0	89.6	97.9	75.0	100.0	100.0	50.0	100.0	100.0	99.0	100.0	100.0	99.2
7th run	66.7	100.0	50.0	100.0	89.6	97.9	98.6	100.0	50.0	99.0	100.0	99.0	100.0	100.0	100.0
8th run	97.2	100.0	50.0	89.6	100.0	70.8	98.6	100.0	91.7	100.0	100.0	50.0	96.7	100.0	98.3
9th run	38.9	50.0	50.0	93.8	54.2	50.0	100.0	100.0	54.2	100.0	100.0	54.2	100.0	99.2	82.5
10th run	86.1	100.0	50.0	97.9	83.3	91.7	98.6	100.0	94.4	99.0	97.9	89.6	100.0	100.0	94.2
Mean	84.4	83.3	52.1	96.3	77.5	63.5	98.5	99.3	68.9	99.6	99.7	76.6	99.7	99.9	95.8
Std	20.7	23.3	6.6	4.6	23.4	19.0	2.6	1.8	23.6	0.7	0.7	22.2	1.1	0.3	6.6

†: AAFT(1) corresponds to no data augmentation.

Table 3. Two metrics of convolutional neural network (CNN) performance. Value represents the mean for the five levels of data augmentation by AAFT (Factor 1) and the three levels of manipulation for the recognizability of input image features (Factor 2), and p -values for interaction effect. Superscripts represent significant differences from the other main effects conditions resulting from Bonferroni adjusted pairwise comparison.

Performance	Factor 1					Factor 2			Interaction
Metrics for CNN	AAFT(1)	AAFT(2)	AAFT(3)	AAFT(4)	AAFT(5)	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	p -Value
Training Loss	3.25 ^{(3),(4),(5)}	3.16 ^{(3),(4),(5)}	2.56 ^{(1),(2),(5)}	2.12 ^{(1),(2),(5)}	1.37 ^{(1),(2),(3),(4)}	2.49	2.32	2.66	0.025
Test Accuracy (%)	73.3 ^{(3),(4),(5)}	79.1 ⁽⁵⁾	88.9 ^{(1),(5)}	91.9 ⁽¹⁾	98.5 ^{(1),(2),(3)}	95.7 ^c	91.9 ^c	71.4 ^{e,f}	0.009

3.2. Effects of the Level of Data Augmentation by AAFT

Effect on training loss: the rANOVA result indicated that there was a significant main effect of the levels of data augmentation by AAFT on training loss, $F(4, 18.26) = 85.93$, $p < 0.001$. The pairwise comparison revealed that AAFT(1) vs. AAFT(2) yields mean(standard error) = 0.10(0.08), $p = 1.000$, AAFT(1) vs. AAFT(3), 0.70(0.11), $p = 0.001$, AAFT(1) vs. AAFT(4), 1.14(0.08), $p < 0.001$, and AAFT(1) vs. AAFT(5), 1.89(0.09), $p < 0.001$, indicating a significant difference between AAFT(1) and the other levels of data augmentation except AAFT(2). This means that the data augmentation had an effect on the training loss when greater or equal than triple. In addition, the comparisons of AAFT(2) vs. other greater levels showed significant differences as AAFT(2) vs. AAFT(3), 0.60(0.13), $p = 0.010$, AAFT(2) vs. AAFT(4), 1.04(1.22), $p < 0.001$, and AAFT(2) vs. AAFT(5), 1.79(1.30), $p < 0.001$, implying that the training loss significantly decreased if the level of data augmentation was greater or equal than triple. Between AAFT(3) and AAFT(4), no significant differences were found. AAFT(5) showed significance difference against all the other levels.

Effect on test accuracy: Please note that test accuracy was presented in terms of percentile in Table 2; therefore, 100% corresponds to 1.00 from now on. Analysis on test accuracy yielded a significant main effect for the level of data augmentation by AAFT on test accuracy as well, $F(4, 0.31) = 18.50$, $p < 0.001$. Pairwise comparisons revealed that AAFT(1) vs. AAFT(2), $-0.06(0.04)$, $p = 1.000$, AAFT(1) vs. AAFT(3), $-0.16(0.40)$, $p = 0.017$, AAFT(1) vs. AAFT(4), $-0.19(0.03)$, $p = 0.002$, and AAFT(1) vs. AAFT(5), $-0.25(0.03)$, $p < 0.001$, suggesting that the test accuracy increased significantly when the level of data augmentation was greater or equal than triple compared to AAFT(1). There were no significant differences among the test accuracy under AAFT(2), AAFT(3), AAFT(4). In contrast, AAFT(5) indicates significant difference over AAFT(1), 0.25(0.03), $p < 0.001$, AAFT(2), 0.19(0.04), $p = 0.004$, AAFT(3) 0.10(0.02), $p = 0.032$, except AAFT(4). This implies the test accuracy improved significantly as data were augmented by AAFT.

3.3. Effects of the Level of Manipulation for the Recognizability of Input Image Features

Effect on training loss: The level of manipulation for the recognizability of input image features showed the significant main effect on training loss, $F(2, 1.50) = 5.94$, $p = 0.010$. However, pairwise comparison revealed no significant difference among $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, and $\mathcal{I}_{\text{chal}}$. The comparison of $\mathcal{I}_{\text{fair}}$ vs. $\mathcal{I}_{\text{chal}}$ only showed marginal tendency, $-0.35(0.12)$, $p = 0.062$.

Effect on test accuracy: The significant main effect of the level of manipulation for the recognizability of input image features on test accuracy was also found, $F(2, 0.86) = 72.21$, $p < 0.001$. Pairwise comparison yielded a significant difference for both $\mathcal{I}_{\text{easy}}$ vs. $\mathcal{I}_{\text{chal}}$, 0.04(0.02), $p < 0.001$, and $\mathcal{I}_{\text{fair}}$ vs. $\mathcal{I}_{\text{chal}}$, 0.21(0.02), $p < 0.001$, which indicated that both $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ yielded better test accuracy over $\mathcal{I}_{\text{chal}}$. No significant difference, however, was found between $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$.

3.4. Interaction Effects of the Level of Data Augmentation by AAFT \times the Level of Manipulation for the Recognizability of Input Image Features

Interaction effect on training loss: The analysis revealed that there was a significant interaction effect between the level of data augmentation by AAFT and the level of manipulation for the recognizability of input image features in training loss, $F(8, 0.40) = 2.43$, $p = 0.022$, as shown in the rightmost column in Table 3. From Figure 6a, we can see that the train losses of both $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ were greater than that of $\mathcal{I}_{\text{chal}}$ under AAFT(1). They tended to be decreased quickly and became less than that of $\mathcal{I}_{\text{chal}}$ as the level of data augmentation increased. This indicates that the level of manipulation for the recognizability of input image features might have had a different effect on training loss depending on $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, and $\mathcal{I}_{\text{chal}}$. Pairwise comparison revealed a marginal tendency for $\mathcal{I}_{\text{fair}}$ vs. $\mathcal{I}_{\text{chal}}$ under AAFT(5), $-0.39(0.14)$, $p = 0.061$, but no significant difference was found.

Interaction effect on test accuracy: A significant interaction effect between the level of data augmentation by AAFT and the level of manipulation for the recognizability of input image features was also found in test accuracy, $F(8, 0.06) = 2.80$, $p = 0.009$, as reported in the rightmost column in Table 3. Figure 6b depicts that the test accuracy mostly tended to be better as AAFT level increased. In addition, the test accuracy of both $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ tended to be more precise than $\mathcal{I}_{\text{chal}}$ across all AAFT levels. Pairwise comparison indeed revealed that the test accuracy of both $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ was significantly higher than that of $\mathcal{I}_{\text{chal}}$ upto AAFT(4). No significant difference was found between $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ across all AAFT levels.

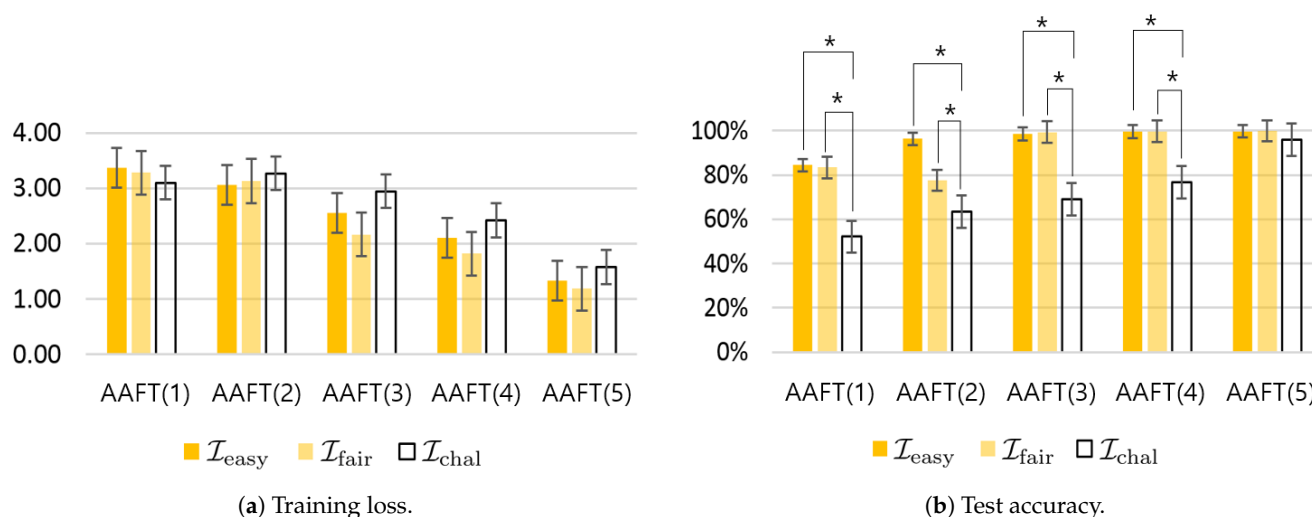


Figure 6. Mean values for (a) training loss and (b) test accuracy: For (a), The training loss shows several tendencies with respect to two factors, but no significant difference found by pairwise comparison. For (b), pairwise comparison indeed revealed that the test accuracy of both $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ was significantly higher than that of $\mathcal{I}_{\text{chal}}$ upto AAFT(4). No significant difference was found between $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ across all AAFT levels. Significance is marked for $p < 0.05$ (*).

3.5. Comparison Result for Various Neural Network Models

The comparison results for AlexNet and three layer (input-hidden-output) neural networks (NNs) are presented in Tables 4 and 5. h1 through h4 represent the number of hidden nodes in the hidden layer. To evaluate NNs, $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ (which consist of 9×16 real values) were flattened to 144×1 vectors, then applied to the NN as an input. Similarly, 128×128 $\mathcal{I}_{\text{chal}}$ was converted into $16,384 \times 1$ vector. Accordingly, the number of input nodes was set to 144, 144, and 16,384 for $\mathcal{I}_{\text{easy}}$, $\mathcal{I}_{\text{fair}}$, and $\mathcal{I}_{\text{chal}}$, respectively.

Since the purpose of this comparison was to find classical NN architectures of which performance was equivalent to that of AlexNet, we increased the number of hidden nodes gradually from 1 to 4. The softmax layer with two nodes was adopted as an output layer as the number of upper arm gym-workouts needed to be classified was two. The rest of the evaluation protocol was the same as introduced in Section 3.1. From Tables 4 and 5, we

can see that the training loss of NNs is smaller than AlexNet. The mean performance of NN almost becomes equivalent or surpasses that of AlexNet when the number of hidden node is set to 4.

Table 4. The mean of training loss for AlexNet and neural networks (NNs). h1 through h4 represent the number of hidden nodes in a hidden layer.

Training Loss	AAFT(1) [†]			AAFT(2)			AAFT(3)			AAFT(4)			AAFT(5)		
	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$
AlexNet	3.37	3.28	3.10	3.07	3.13	3.27	2.55	2.17	2.95	2.11	1.82	2.42	1.34	1.18	1.57
NN-h1	0.20	0.20	0.26	0.20	0.22	0.21	0.23	0.21	0.15	0.25	0.20	0.20	0.25	0.25	0.21
NN-h2	0.07	0.20	0.25	0.18	0.19	0.25	0.15	0.02	0.25	0.20	0.15	0.26	0.20	0.20	0.24
NN-h3	0.10	0.10	0.15	0.15	0.15	0.15	0.15	0.03	0.25	0.01	0.15	0.26	0.15	0.21	0.15
NN-h4	0.03	0.16	0.24	0.06	0.15	0.24	0.01	0.01	0.24	0.01	0.01	0.15	0.15	0.15	0.15

†: AAFT(1) corresponds to no data augmentation.

Table 5. The mean of test accuracy for AlexNet and NNs (%). h1 through h4 represent the number of hidden nodes in a hidden layer.

Test Accuracy	AAFT(1) [†]			AAFT(2)			AAFT(3)			AAFT(4)			AAFT(5)		
	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$
AlexNet	88.4	83.3	52.1	96.3	77.5	63.5	98.5	99.3	68.9	99.6	99.7	76.6	99.7	99.9	95.8
NN-h1	65.4	64.9	76.2	79.1	67.2	60.0	68.3	63.5	55.0	79.4	88.3	60.0	79.4	69.8	60.0
NN-h2	92.4	86.1	80.0	83.9	84.6	70.0	93.0	98.1	70.0	89.2	94.1	75.0	89.3	89.9	85.0
NN-h3	91.3	90.8	95.0	89.9	91.8	95.0	94.0	98.4	80.0	99.1	93.9	75.0	94.6	89.9	95.0
NN-h4	98.4	88.5	85.0	95.5	91.8	85.0	99.6	99.4	84.2	99.3	99.6	95.0	94.8	94.6	95.0

†: AAFT(1) corresponds to no data augmentation.

Tables 6 and 7 show the comparison result for AlexNet versus other deep neural network architectures. Interestingly, VGG-19 reported the best performance in terms of both training loss and test accuracy. For our upper arm gym-workout classification, the performances of ResNet-50 and Inception-v4 were lower than the other two architectures. Further discussion about all the comparison results will be followed in the next section.

Table 6. The mean of training loss for AlexNet, VGG-19, ResNet-50, and Inception-v4.

Training Loss	AAFT(1) [†]			AAFT(2)			AAFT(3)			AAFT(4)			AAFT(5)		
	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$
AlexNet	3.37	3.28	3.10	3.07	3.13	3.27	2.55	2.17	2.95	2.11	1.82	2.42	1.34	1.18	1.57
VGG-19	0.68	0.64	0.69	0.63	0.62	0.66	0.12	0.01	0.29	0.00	0.00	0.00	0.00	0.00	0.00
ResNet-50	1.18	1.77	1.34	2.39	2.11	2.96	4.67	6.02	4.33	4.33	3.25	5.15	4.77	3.87	4.14
Inception-v4	53.95	59.80	53.70	15.37	14.80	15.65	24.83	16.98	7.26	1.83	5.37	0.99	0.13	0.45	1.54

†: AAFT(1) corresponds to no data augmentation.

Table 7. The mean of test accuracy for AlexNet, VGG-19, ResNet-50, and Inception-v4 (%).

Test Accuracy	AAFT(1) [†]			AAFT(2)			AAFT(3)			AAFT(4)			AAFT(5)		
	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$	$\mathcal{I}_{\text{easy}}$	$\mathcal{I}_{\text{fair}}$	$\mathcal{I}_{\text{chal}}$
AlexNet	88.4	83.3	52.1	96.3	77.5	63.5	98.5	99.3	68.9	99.6	99.7	76.6	99.7	99.9	95.8
VGG-19	94.2	66.7	76.3	98.5	98.8	97.9	100.0	99.9	99.0	100.0	100.0	100.0	100.0	100.0	100.0
ResNet-50	50.0	40.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	51.0	50.0	51.0
Inception-v4	55.0	43.3	50.0	53.7	58.8	50.0	54.2	51.3	58.3	80.7	70.8	75.3	95.7	91.7	86.8

†: AAFT(1) corresponds to no data augmentation.

4. Discussion

Recall that the main idea of our approach is to merge joint kinematic data into sEMG data and visualize as a heatmap. We wanted for the employed CNN (AlexNet) to impute a relationship between muscle activation and joint movement via CNN and solve an upper arm gym-workout classification problem. The novelty of the proposed approach is to

control the level of manipulation for the recognizability of input image features as well as the level of data augmentation by AAFT. We wanted to reveal the effect of those two control factors on CNN training loss and test accuracy by statistical analysis. Furthermore, finding the optimal combination of the two factors for the best CNN performance was a part of our research questions.

Our idea of visualizing muscle activation together with joint movement as a heatmap indeed is initiated by approaches to demystify the functional connectivity of a brain across different regions [55,56]. However, existing research has been reported that it is not so straightforward to define biopotential-kinematic relationship explicitly [57–59]; hence, we want to count on CNN to impute those relationship implicitly. According to the statistical analysis, the two control factors had significant main effects for both training loss and test accuracy. Therefore, this finding could be the answers to our first and second research questions.

Table 1 shows that $\mathcal{I}_{\text{fair}}$ has a tendency of having a less value (which means better in terms of training loss) than $\mathcal{I}_{\text{easy}}$ in overall. However, $\mathcal{I}_{\text{easy}}$ has the least standard deviation than $\mathcal{I}_{\text{fair}}$ except AAFT(1). This implies that $\mathcal{I}_{\text{easy}}$ might contribute to the consistency of CNN training. From Table 2, furthermore, $\mathcal{I}_{\text{easy}}$ shows the best test accuracy compared to the others under AAFT(1) and AAFT(2). This can be interpreted as $\mathcal{I}_{\text{easy}}$ is the best suitable choice when data augmentation is not considered. The effect of data augmentation becomes dominant as the level of AAFT increases; accordingly, the test accuracy for $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ almost reached to 99% approximately even after AAFT(3). $\mathcal{I}_{\text{chal}}$ shows the monotonically increasing test accuracy as the level of AAFT increases. Especially, the test accuracy of $\mathcal{I}_{\text{chal}}$ increases drastically from 76.6 under AAFT(4) to 95.8 under AAFT(5). This monotonically increasing characteristic can be advantageous over the others for determining design parameters when we consider more complicated motion with the large enough data samples.

The best test accuracy shown by $\mathcal{I}_{\text{easy}}$ for AAFT(1) and AAFT(2) might be interpreted as if the relationship between muscle activation and joint movement was somehow successfully imputed via CNN. Additionally, the statistical analysis indicated that the test accuracy of both $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ is significantly higher than that of $\mathcal{I}_{\text{chal}}$ upto AAFT(4). Nevertheless, pairwise comparison could not find any significant difference between $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ in terms of the performance metrics of CNN. This might be caused by either a lack of the number of gym-workouts to be classified or a way more dexterous and stronger automatic feature extraction of CNN. These issues can be addressed by increasing the number of gym-workouts to be classified and investigating the sparsity and entropy of $\mathcal{I}_{\text{easy}}$ and $\mathcal{I}_{\text{fair}}$ using methods presented in [60]. In addition, the interaction effect of the two control factors indicate that the optimal combination of a representation method for biopotential-kinematic data together with the level of data augmentation must be involved as a design parameter for an exercise monitoring system.

For the comparison result, in Table 4, the NNs presents the less training loss than that of AlexNet due to a simpler architecture. From Table 5, we can see that the NNs outperforms AlexNets if the number of hidden nodes is greater than 2; however, further evaluation and investigation is needed for the case of the larger class of upper arm gym-workouts. As a result of comparison among deep neural networks presented in Tables 6 and 7, VGG-19 shows the best performance. This result could be expected since VGG-19 consists of the enhanced convolution and pooling layers compared to AlexNet. For both AlexNet and VGG-19, $\mathcal{I}_{\text{easy}}$ yields the best performance under AAFT(1). The training of Inception-v4 improves drastically as the level of AAFT increases and its test accuracy reaches to 90% approximately under AAFT(5). This indicates that Inception-v4 requires more number of data compared to AlexNet and VGG-19. According to Table 7, the training of ResNet-50 might be prematured due to a lack of number of data samples.

5. Conclusions

Throughout this paper, we proposed an approach to upper arm gym-workout classification problem according to the spatio-temporal features of sEMG and joint kinematic data. First, in our approach, two upper arm gym-workouts—dumbbell curl (target muscle: biceps brachii) and dumbbell kickback (target muscle: triceps brachii), respectively—were demonstrated by a professional trainer. During the demonstration, sEMG data sample and elbow joint angle data sample were measured and stored by Myo armband and Kinect v2 at every 20 ms, respectively. Next, after RMS filtering and integrating, the processed both data were merged into and visualized as one image with considering the level of manipulation for the recognizability of input image features from human eyes' point of view. Finally, CNN (AlexNet) was employed to impute a relationship between muscle activation and joint kinematics by being trained with the visualized image data set as well as to solve the gym-workout classification problem.

The statistical result on CNN performance metrics showed that our approach—controlling the level of manipulation for the recognizability—had a significant main effect on CNN performance; of course, so did the level of data augmentation. We also found that there were the interaction effects of two control factors, which should be considered to find the optimal combination of the level of data augmentation and the level of manipulation for the recognizability of input image features as design parameter. Pairwise comparison did not reveal any significant difference when an input image data was visualized as $\mathcal{I}_{\text{easy}}$ or $\mathcal{I}_{\text{fair}}$. However, both visualization approaches showed the outperformance over $\mathcal{I}_{\text{chal}}$.

The contribution and innovation by disseminating our findings can be summarized as follows. First, this study proposes a novel approach to approximate a relationship between muscle physiology and joint kinematics via CNN feature extraction. Second, by providing a systemic procedure as well as a quantitative analysis, this study advances the state of the art in the problem of developing exercise monitoring systems. Finally, the outcomes related to the level of AAFT and the visualization technique can be utilized to determine design parameters when we develop the exercise monitoring system which is compact and cost-affordable.

Future studies should be followed in the directions of increasing the number of gym-workouts to be classified as well as investigating the sparsity and the entropy of the input image data according to the level of manipulation for the recognizability. Based on findings in this study, the proposed approach will serve as a core and be culminated to the development of an exercise monitoring system by which trainee's muscular physiology as well as joint kinematics are properly monitored.

Author Contributions: Conceptualization, J.-H.Y. and H.-U.Y.; methodology, J.-H.Y. and H.-U.Y.; software, J.-H.Y., H.-J.J., Y.-S.J., Y.-B.K., C.-J.L., S.-T.S. and H.-U.Y.; validation, H.-J.J. and H.-U.Y.; formal analysis, J.-H.Y., H.-J.J., C.-J.L., S.-T.S. and H.-U.Y.; investigation, H.-U.Y.; resources, J.-H.Y., H.-J.J., C.-J.L. and H.-U.Y.; data curation, J.-H.Y., H.-J.J., Y.-S.J., Y.-B.K. and H.-U.Y.; writing—original draft preparation, J.-H.Y., H.-J.J., Y.-S.J., Y.-B.K., C.-J.L. and H.-U.Y.; writing—review and editing, J.-H.Y., H.-J.J., S.-T.S. and H.-U.Y.; visualization, J.-H.Y., H.-J.J. and H.-U.Y.; supervision, H.-U.Y.; project administration, H.-U.Y.; funding acquisition, H.-U.Y. All authors have read and agreed to the published version of the manuscript.

Funding: This research was supported by the Ministry of Science and ICT, Korea, under the National Program for “Excellence in SW (Grant Number: 2019-0-01219)” supervised by the Institute of Information and Communications Technology Planning and evaluation (IITP).

Conflicts of Interest: The authors declare no conflict of interest.

Abbreviations

The following abbreviations are used in this manuscript:

sEMG	Surface electromyography
RNN	Hybrid soft actuator module
LSTM	Long short-term memory
DBN	Deep belief network
DNN	Deep neural network
NN	Neural network
IMU	Inertial measurement unit
CNN	Convolutional neural network
PS	Pilot subject
PD	Protocol director
AAFT	Amplitude adjusted Fourier transform

References

- Chen, S.; Yang, R. Pose Trainer: Correcting exercise posture using pose estimation. *arXiv* **2020**, arXiv:2006.11718.
- Saraee, E.; Singh, S.; Joshi, A.; Betke, M. PostureCheck: Posture modeling for exercise assessment using the Microsoft Kinect. In Proceedings of the International Conference on Virtual Rehabilitation (ICVR), Montreal, QC, Canada, 19–22 June 2017; pp. 1–2.
- Han, S.-H.; Kim, H.-G.; Choi, H.-J. Rehabilitation posture correction using deep neural network. In Proceedings of the IEEE International Conference on Big Data and Smart Computing (BigComp), Jeju Island, Korea, 13–16 February 2017; pp. 400–402.
- Elvitigala, D.S.; Matthies, D.; Weerasinghe, C.; Shi, Y.; Nanayakkara, S. GymSoles++ using smart wearables to improve body posture when performing squats and dead-lifts. In Proceedings of the Augmented Humans International Conference, Kaiserslautern, Germany, 16–18 March 2020; pp. 1–3.
- Alekseyev, K.; John, A.; Malek, A.; Lakdawala, M.; Verma, N.; Southall, C.; Nikolaidis, A.; Akella, S.; Erosa, S.; Islam, R.; et al. Identifying the most common crossfit injuries in a variety of athletes. *Rehabil. Process Outcome* **2020**, *9*, 1–9. [\[CrossRef\]](#)
- Szeles, P.R.; Costa, T.S.; Cunha, R.A.; Hespanhol, L.; Pochini, A.D.; Ramos, L.A.; Cohen, M. Crossfit and the epidemiology of musculoskeletal injuries: A prospective 12-week cohort study. *Orthop. J. Sports Med.* **2020**, *8*. [\[CrossRef\]](#) [\[PubMed\]](#)
- Wang, L.; Huynh, D.Q.; Koniusz, P. A comparative review of recent kinect-based action recognition algorithms. *IEEE Trans. Image Process.* **2019**, *29*, 15–28. [\[CrossRef\]](#)
- Devineau, G.; Moutarde, F.; Xi, W.; Yang, J. Deep learning for hand gesture recognition on skeletal data. In Proceedings of the 13th IEEE International Conference on Automatic Face & Gesture Recognition, Washington, DC, USA, 30 May–3 June 2018; pp. 106–113.
- Cao, Z.; Hidalgo, G.; Simon, T.; Wei, S.E.; Sheikh, Y. OpenPose: Realtime multi-person 2D pose estimation using part affinity fields. *IEEE Trans. Pattern Anal. Mach. Intell.* **2019**, *43*, 172–186. [\[CrossRef\]](#)
- Pterneas, V. Vitruvius. Available online: <https://vitruviuskinect.com> (accessed on 25 February 2021).
- Torres, A.J.; Silubrico, C.; Torralba, D.; Tomas, J.P. Detection of proper form on upper limb strength training using extremely randomized trees for joint positions. In Proceedings of the 2nd International Conference on Computing and Big Data, Taichung, Taiwan, 18–20 October 2019; pp. 111–115.
- Nagarkoti, A.; Teotia, R.; Mahale, A.K.; Das, P.K. Realtime indoor workout analysis using machine learning & computer vision. In Proceedings of the 41st Annual International Conference of the IEEE Engineering in Medicine and Biology Society (EMBC), Berlin, Germany, 23–27 July 2019; pp. 1440–1443.
- Liu, A.L.; Chu, W.T. A posture evaluation system for fitness videos based on recurrent neural network. In Proceedings of the International Symposium on Computer, Consumer and Control, Okinawa, Japan, 13–16 November 2020; pp. 1–4.
- Rim, B.; Sung, N.J.; Min, S.; Hong, M. Deep learning in physiological signal data: A survey. *Sensors* **2020**, *20*, 969. [\[CrossRef\]](#)
- Buongiorno, D.; Cascarano, G.D.; De Feudis, I.; Brunetti, A.; Carnimeo, L.; Dimauro, G.; Bevilacqua, V. Deep learning for processing electromyographic signals: A taxonomy-based survey. *Neurocomputing* **2020**, 1–17. [\[CrossRef\]](#)
- Phinyomark, A.; Scheme, E. EMG pattern recognition in the era of big data and deep learning. *Big Data Cogn. Comput.* **2018**, *2*, 21. [\[CrossRef\]](#)
- Quivira, F.; Koike-Akino, T.; Wang, Y.; Erdogmus, D. Translating sEMG signals to continuous hand poses using recurrent neural networks. In Proceedings of the IEEE EMBS International Conference on Biomedical and Health Informatics (BHI), Las Vegas, NV, USA, 4–7 March 2018; pp. 166–169.
- Orjuela-Cañón, A.D.; Ruíz-Olaya, A.F.; Forero, L. Deep neural network for EMG signal classification of wrist position: Preliminary results. In Proceedings of the IEEE Latin American Conference on Computational Intelligence (LA-CCI), Arequipa, Peru, 2–4 November 2017; pp. 1–5.
- Li, C.; Li, G.; Jiang, G.; Chen, D.; Liu, H. Surface EMG data aggregation processing for intelligent prosthetic action recognition. *Neural Comput. Appl.* **2018**, *32*, 16795–16806. [\[CrossRef\]](#)
- Dwivedi, A.; Kwon, Y.; McDaid, A.; Liarokapis, M. A learning scheme for EMG based decoding of dexterous, in-hand manipulation motions. *IEEE Trans. Neural Syst. Rehabil. Eng.* **2017**, *27*, 2205–2215. [\[CrossRef\]](#)

21. Ziegler, J.; Gattringer, H.; Mueller, A. Classification of gait phases based on bilateral EMG data using support vector machines. In Proceedings of the 7th IEEE International Conference on Biomedical Robotics and Biomechatronics (Biorob), Enschede, The Netherlands, 26–29 August 2018; pp. 978–983.
22. Luo, J.; Liu, C.; Yang, C. Estimation of EMG-based force using a neural-network-based approach. *IEEE Access* **2019**, *7*, 64856–64865. [\[CrossRef\]](#)
23. Lei, Z. An upper limb movement estimation from electromyography by using BP neural network. *Biomed. Signal Process. Control* **2019**, *49*, 434–439. [\[CrossRef\]](#)
24. Yuan, L.; Chen, J. Activity EMG signal identification based on radial basis function neural networks. In Proceedings of the 8th IEEE International Conference on Software Engineering and Service Science (ICSESS), Beijing, China, 20–22 November 2017; pp. 878–881.
25. Subasi, A.; Yilmaz, M.; Ozcalik, H.R. Classification of EMG signals using wavelet neural network. *J. Neurosci. Methods* **2006**, *156*, 360–367. [\[CrossRef\]](#) [\[PubMed\]](#)
26. Rittenhouse, D.M.; Abdullah, H.A.; Runciman, R.J.; Basir, O. A neural network model for reconstructing EMG signals from eight shoulder muscles: Consequences for rehabilitation robotics and biofeedback. *J. Biomech.* **2006**, *39*, 1924–1932. [\[CrossRef\]](#)
27. Trinler, U.; Leboeuf, F.; Hollands, K.; Jones, R.; Baker, R. Estimation of muscle activation during different walking speeds with two mathematical approaches compared to surface EMG. *Gait Posture* **2018**, *64*, 266–273. [\[CrossRef\]](#)
28. Son, J.; Hwang, S.; Kim, Y. An EMG-based muscle force monitoring system. *J. Mech. Sci. Technol.* **2010**, *24*, 2099–2105. [\[CrossRef\]](#)
29. Mobasser, F.; Eklund, J.M.; Hashtrudi-Zaad, K. Estimation of elbow-induced wrist force with EMG signals using fast orthogonal search. *IEEE Trans. Biomed. Eng.* **2007**, *54*, 683–693. [\[CrossRef\]](#)
30. Wen, J.; Raison, M.; Achiche, S. Using a cost function based on kinematics and electromyographic data to quantify muscle forces. *J. Biomech.* **2018**, *80*, 151–158. [\[CrossRef\]](#)
31. Huang, C.; Chen, X.; Cao, S.; Qiu, B.; Zhang, X. An isometric muscle force estimation framework based on a high-density surface EMG array and an NMF algorithm. *J. Neural Eng.* **2017**, *14*, 046005. [\[CrossRef\]](#) [\[PubMed\]](#)
32. Kim, H.-J.; Lee, Y.-S.; Kim, D. Arm motion estimation algorithm using myo armband. In Proceedings of the 1st IEEE International Conference on Robotic Computing (IRC), Taichung, Taiwan, 10–12 April 2017; pp. 376–381.
33. Xu, Y.; Yang, C.; Liang, P.; Zhao, L.; Li, Z. Development of a hybrid motion capture method using myo armband with application to teleoperation. In Proceedings of the IEEE International Conference on Mechatronics and Automation, Harbin, China, 7–10 August 2016; pp. 1179–1184.
34. Wang, N. ExerciseTrak: Reconstructing Arm Posture for Upper-Body Exercises Using a Wrist-Mounted Motion Sensing Device. Master's Thesis, Cornell University, Ithaca, NY, USA, 2019.
35. Araújo, F.M.; Ferreira, N.M.F.; Soares, S.F.; Valente, A.; Junior, G.L. Data Acquisition from the integration of kinect quaternions and myo armband EMG sensors to aid equinus foot treatment. In Proceedings of the 12th International Conference on Biomedical Electronics and Devices (BIODEVICES), Prague, Czech Republic, 22–24 February 2019; pp. 235–240.
36. Blana, D.; Kyriacou, T.; Lambrecht, J.M.; Chadwick, E.K. Feasibility of using combined EMG and kinematic signals for prosthesis control: A simulation study using a virtual reality environment. *J. Electromyogr. Kinesiol.* **2016**, *29*, 21–27. [\[CrossRef\]](#)
37. Rincon, A.L.; Yamasaki, H.; Shimoda, S. Design of a video game for rehabilitation using motion capture, EMG analysis and virtual reality. In Proceedings of the International Conference on Electronics, Communications and Computers (CONIELECOMP), Cholula, Mexico, 24–26 February 2016; pp. 198–204.
38. Esfahlani, S.S.; Muresan, B.; Sanaei, A.; Wilson, G. Validity of the Kinect and myo armband in a serious game for assessing upper limb movement. *Entertain. Comput.* **2018**, *27*, 150–156. [\[CrossRef\]](#)
39. Michieletto, S.; Tonin, L.; Antonello, M.; Bortoletto, R.; Spolaor, F.; Pagello, E.; Menegatti, E. GMM-based single-joint angle estimation using EMG signals. *Intell. Auton. Syst.* **2016**, *13*, 1173–1184.
40. Triwiyanto, T.; Wahyunggoro, O.; Nugroho, H.A.; Herianto, H. Evaluating the performance of Kalman filter on elbow joint angle prediction based on electromyography. *Int. J. Precis. Eng. Manuf.* **2017**, *18*, 1739–1748. [\[CrossRef\]](#)
41. Han, J.; Ding, Q.; Xiong, A.; Zhao, X. A state-space EMG model for the estimation of continuous joint movements. *IEEE Trans. Ind. Electron.* **2015**, *62*, 4267–4275. [\[CrossRef\]](#)
42. Zeng, Y.; Yang, J.; Yin, Y. Gaussian process-integrated state space model for continuous joint angle prediction from EMG and interactive force in a human-exoskeleton system. *Appl. Sci.* **2019**, *9*, 1711–1731. [\[CrossRef\]](#)
43. Pradhan, G.; Engineer, N.; Nadin, M.; Prabhakaran, B. Integration of motion capture and EMG data for classifying the human motions. In Proceedings of the 23rd IEEE International Conference on Data Engineering Workshop, Istanbul, Turkey, 11–15 April 2007; pp. 56–63.
44. Guo, S.; Yang, Z.; Liu, Y. EMG-based continuous prediction of the upper limb elbow joint angle using GRNN. In Proceedings of the IEEE International Conference on Mechatronics and Automation (ICMA), Tianjin, China, 4–7 August 2019; pp. 2168–2173.
45. Raj, R.; Sivanandan, K.S. Elbow joint angle and elbow movement velocity estimation using NARX-multiple layer perceptron neural network model with surface EMG time domain parameters. *J. Back Musculoskelet. Rehabil.* **2017**, *30*, 515–525. [\[CrossRef\]](#)
46. Zhang, Q.; Liu, R.; Chen, W.; Xiong, C. Simultaneous and continuous estimation of shoulder and elbow kinematics from surface EMG signals. *Front. Neurosci.* **2017**, *11*, 280. [\[CrossRef\]](#)
47. Chen, J.; Zhang, X.; Cheng, Y.; Xi, N. Surface EMG based continuous estimation of human lower limb joint angles by using deep belief networks. *Biomed. Signal Process. Control* **2018**, *40*, 335–342. [\[CrossRef\]](#)

48. Tang, Z.; Yu, H.; Cang, S. Impact of load variation on joint angle estimation from surface EMG signals. *IEEE Trans. Neural Syst. Rehabil. Eng.* **2015**, *24*, 1342–1350. [\[CrossRef\]](#)
49. Liu, P.; Liu, L.; Clancy, E.A. Influence of joint angle on EMG-torque model during constant-posture, torque-varying contractions. *IEEE Trans. Neural Syst. Rehabil. Eng.* **2015**, *23*, 1039–1046. [\[CrossRef\]](#)
50. Mannattil, M. NoLiTSA (NonLinear Time Series Analysis). Available online: <https://github.com/manu-mannattil/nolitsa> (accessed on 25 February 2021).
51. Zeng, M.; Jia, H.; Meng, Q.; Han, T.; Liu, Z. Nonlinear analysis of the near-surface wind speed time series. In Proceedings of the 5th International Congress on Image and Signal Processing, Chongqing, China, 16–18 October 2012; pp. 1893–1897.
52. Theiler, J.; Eubank, S.; Longtin, A.; Galdrikian, B.; Farmer, J.D. Testing for nonlinearity in time series: The method of surrogate data. *Phys. D Nonlinear Phenom.* **1992**, *58*, 77–94. [\[CrossRef\]](#)
53. Lee, T.-E.-K.; Kuah, Y.-L.; Leo, K.-H.; Sanei, S.; Chew, E.; Zhao, L. Surrogate rehabilitative time series data for image-based deep learning. In Proceedings of the 27th European Signal Processing Conference (EUSIPCO), A Coruña, Spain, 2–6 September 2019; pp. 1–5.
54. Krizhevsky, A.; Sutskever, I.; Hinton, G.E. Imagenet classification with deep convolutional neural networks. *Adv. Neural Inf. Process. Syst.* **2012**, *25*, 1097–1105. [\[CrossRef\]](#)
55. Bullmore, E.; Sporns, O. Complex brain networks: Graph theoretical analysis of structural and functional systems. *Nature Rev. Neurosci.* **2009**, *10*, 186–198. [\[CrossRef\]](#)
56. Rubinov, M.; Sporns, O. Complex network measures of brain connectivity: Uses and interpretations. *Neuroimage* **2010**, *52*, 1059–1069. [\[CrossRef\]](#) [\[PubMed\]](#)
57. Lencioni, T.; Carpinella, I.; Rabuffetti, M.; Marzegan, A.; Ferrarin, M. Human kinematic, kinetic and EMG data during different walking and stair ascending and descending tasks. *Sci. Data* **2019**, *6*, 309. [\[CrossRef\]](#)
58. Xue, Y.; Ju, Z.; Xiang, K.; Chen, J.; Liu, H. Multiple sensors based hand motion recognition using adaptive directed acyclic graph. *Appl. Sci.* **2017**, *7*, 358. [\[CrossRef\]](#)
59. Lozano-García, M.; Sarlabous, L.; Moxham, J.; Rafferty, G.F.; Torres, A.; Jané, R.; Jolley, C.J. Surface mechanomyography and electromyography provide non-invasive indices of inspiratory muscle force and activation in healthy subjects. *Sci. Rep.* **2018**, *8*, 16921. [\[CrossRef\]](#)
60. Li, Y.; Lin, S.; Zhang, B.; Liu, J.; Doermann, D.; Wu, Y.; Huang, F.; Ji, R. Exploiting kernel sparsity and entropy for interpretable CNN compression. In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, Long Beach, CA, USA, 16–20 June 2019; pp. 2800–2809.