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On the Penetration of Projectiles into Semi-Infinite Concrete Targets in a Coupled Deforming and Eroding Regime

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Abstract: With the advancement of high-velocity kinetic energy weapons, the impact velocity encountered by concrete protective structures has evolved from low to high velocity ranges, rendering traditional rigid projectile penetration theories inadequate for accurately describing the physical mechanisms of deformation and erosion coupling during penetration. This study establishes a theoretical analytical framework for penetration dynamics under high-velocity conditions with coupled deformation and erosion effects: the critical velocity threshold distinguishing between rigid projectile penetration and hydrodynamic penetration modes is precisely defined based on the initial impact velocity V_0 . By integrating empirical mass erosion formulas with cavity expansion theory, a theoretical model encompassing coupled deformation and erosion effects has been developed, incorporating both projectile cross-sectional area evolution and penetration depth prediction. Comparative analysis with published experimental data (small-scale projectiles vertically impacting concrete targets) demonstrates the model's predictive accuracy, showing maximum errors of 9.5% in critical velocity prediction, 17.89% in projectile cross-sectional area prediction, and 24.4% in penetration depth prediction.

Keywords: impact velocity; projectile deformation; mass erosion; critical velocity; depth of penetration



Academic Editor: Alberto Taliercio

Received: 5 March 2025

Revised: 28 March 2025

Accepted: 10 April 2025

Published: 10 May 2025

Citation: Xu, H.; Lu, Y.; Li, J.; Chen, X.; Feng, X.; Lu, Z. On the Penetration of Projectiles into Semi-Infinite Concrete Targets in a Coupled Deforming and Eroding Regime. *Buildings* **2025**, *15*, 1607. <https://doi.org/10.3390/buildings15101607>

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1. Introduction

The rapid advancement of military technology in recent years has driven a significant increase in the impact velocity of earth-penetrating weapons, with their terminal velocities transitioning from the traditional low-velocity regime (<800 m/s) to the high-velocity regime [1]. This trend poses new challenges for the study of penetration mechanics into strategic targets deeply embedded within concrete protective structures. Experimental observations reveal [2,3] that when the impact velocity exceeds a critical threshold, the projectile undergoes severe mass erosion and plastic deformation, leading to an anomalous decrease in penetration depth with increasing velocity (termed the “reverse attenuation effect”). This phenomenon causes significant predictive deviations in traditional penetration models based on rigid assumptions within the high-velocity regime. Accurate prediction of penetration depth is critical for evaluating the damage efficacy of kinetic energy munitions and optimizing protective engineering designs. Therefore, establishing a theoretical framework capable of characterizing the coupled high-velocity penetration mechanisms holds substantial scientific significance and engineering value.

Based on the influence of impact velocity V_0 on penetration dynamics, existing studies categorize projectile penetration regimes into three types [4,5], defined by two critical

velocities: the rigid penetration critical velocity V_r and the hydrodynamic penetration critical velocity V_h (referred to as the rigid velocity and hydrodynamic velocity, respectively). (i) Rigid penetration regime ($V_0 < V_r$): the projectile can be idealized as a rigid body, and its penetration behavior is accurately described by the cavity expansion theory [6–8]. (ii) Hydrodynamic penetration regime ($V > V_h$): when the velocity surpasses the hydrodynamic critical threshold, the projectile–target interaction exhibits fluid-like characteristics. The Alekseevskii–Tate (A-T) model and its derivatives [9–11] effectively predict the penetration process in this regime. (iii) Deformation and erosion coupled penetration regime ($V_r < V < V_h$): in this transitional regime, the projectile undergoes severe deformation, including mushrooming and bending, while kinetic energy loss due to “abrasion” (due to the cutting action of concrete aggregates) and “ablation” (caused by interfacial temperature rise) leads to nonlinear attenuation of penetration depth. The complex interplay between deformation and erosion in this regime remains a focal yet challenging area of research.

In experimental studies, scholars have uncovered critical physical phenomena through extensive projectile penetration tests into concrete. Liu et al. [12,13] conducted flat-nosed projectile penetration experiments within the 500–1700 m/s range, quantitatively correlating mushrooming deformation with reduced penetration depth. Kong et al. [5] identified a transitional velocity range between rigid and hydrodynamic penetration regimes, where severe projectile deformation and velocity-dependent depth reduction occur. Guo et al. [14] demonstrated in 800–1800 m/s ogival-nosed projectile tests that the synergistic effects of abrasion (target friction) and ablation (thermo-mechanical degradation) dominate mass loss mechanisms. Li et al. [15] further revealed a significant negative correlation between mass loss rate and penetration efficiency in granite penetration experiments during the semi-hydrodynamic regime. Nia et al. [16] conducted experimental studies on long-rod projectiles penetrating semi-infinite concrete targets at impact velocities between 650 m/s and 1150 m/s, demonstrating that mass erosion significantly affects penetration depth. Ning et al. [1] performed penetration experiments with 30CrMnSiNi2A projectiles against concrete targets at velocities ranging from 841 m/s to 1872 m/s, observing a penetration mode transition when impact velocity exceeded 1402 m/s. Qian et al. [17] investigated high-strength steel projectiles penetrating concrete at velocities of 1010–1660 m/s, noting projectile nose mushrooming and significant erosion during the semi-hydrodynamic penetration stage. These findings collectively highlight that dynamic coupling between projectile deformation and mass erosion constitutes the core physical mechanism, limiting high-velocity penetration efficacy.

In theoretical modeling, Lu et al. [18] and Zhang et al. [19] extended long-rod penetration theories for semi-infinite metallic targets to concrete targets, establishing rigid penetration models accounting for erosion effects and semi-hydrodynamic models incorporating deformation effects, respectively. Wen et al. [20] empirically formulated projectile cross-sectional area evolution equations via experimental data regression, though their model parameters lack universality due to dependencies on specific projectile–target combinations. Within the Jones model framework, Chen et al. [21], He et al. [22], Zhao et al. [23,24], and Ou [25] introduced modifications to aggregate hardness and volume fraction, thereby developing a projectile mass loss model. Guo et al. [26] elucidated the thermo-mechanical coupling mechanisms governing mass loss by integrating the Johnson–Cook constitutive model with temperature-dependent failure criteria. Lu et al. [11] developed a theoretical model of projectile mass loss incorporating both thermal melting and concrete aggregate cutting effects based on the Johnson–Cook model. Yao et al. [27] established a quasi-rigid penetration model considering both mass loss and nose shape evolution through point-by-point regression iteration, investigating the effects of projectile strength, nose shape, and concrete compressive strength on penetration behavior. However, existing models

predominantly adopt single-effect assumptions (either deformation or erosion), failing to establish a unified theoretical framework that simultaneously addresses the coupling of projectile deformation and mass loss.

This study aims to establish a theoretical framework for analyzing high-velocity vertical penetration into semi-infinite concrete targets, incorporating the coupled effects of projectile deformation and erosion, which represents a theoretical innovation compared to conventional approaches considering single physical mechanisms. Based on cavity expansion theory and the Aleksevskii–Tate model, theoretical criteria for critical velocity thresholds of penetration regime transition are established. By introducing empirical mass loss rate functions coupled with mass conservation equations, a descriptive model for the evolution of projectile cross-sectional area during deformation and erosion processes is developed. Finally, through decoupling analysis of the penetration process within the velocity range $[V_r, V_h]$, a penetration depth prediction model incorporating coupled deformation–erosion effects is constructed. The good agreement with experimental results from the published literature verifies the rationality and accuracy of the proposed models.

The paper is organized as follows: Section 3 theoretically establishes the critical velocity threshold models (rigid velocity V_r and hydrodynamic velocity V_h) and verifies their reliability through experimental data. Sections 4 and 5 develop predictive models for projectile cross-sectional area and penetration depth within the determined velocity range $[V_r, V_h]$, considering coupled deformation–erosion effects. Section 6 summarizes the main conclusions and outlines potential directions for future model development.

2. Penetration Regime

Figure 1 illustrates the variation in penetration depth with impact velocity for the same projectile–target combination under vertical impact conditions, where P represents the penetration depth, L_0 denotes the projectile length, and P/L_0 is the dimensionless penetration depth. As shown in the figure, as the impact velocity increases, the penetration depth exhibits a three-stage trend: initial increase, followed by a decrease, and then a slow rise.

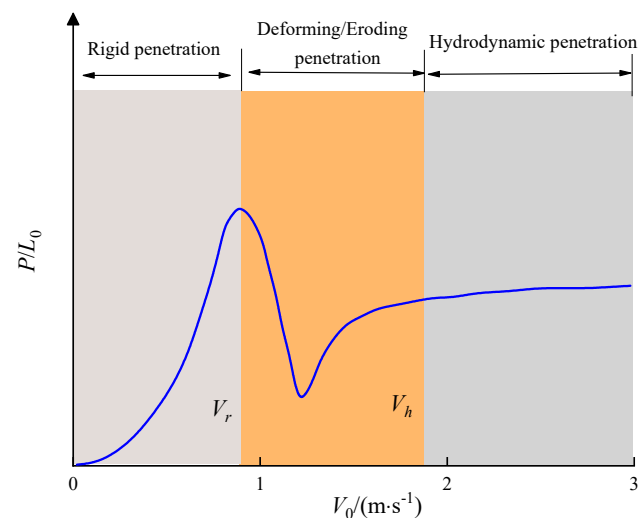


Figure 1. Schematic diagram of penetration regimes at different impact velocities [19].

When $V_0 < V_r$, the projectile can be treated as a rigid body, and the penetration depth increases approximately linearly with velocity, consistent with the predictions of the classical cavity expansion theory. When $V_r < V_0 < V_h$, the projectile enters a deformation–erosion coupled regime, characterized by significant head mushrooming and surface mass loss. During this regime, the target resistance increases sharply due to the projectile head’s blunting effect, while mass erosion leads to kinetic energy loss, causing the penetration

depth to exhibit an anomalous decrease with increasing velocity. When $V_h < V_0$, the stress at the projectile–target interface significantly exceeds the strength of the projectile material, and the projectile exhibits fluid-like behavior, which can be described using an incompressible fluid model. At this regime, the penetration depth increases slowly and eventually approaches a hydrodynamic limit determined by the material properties.

3. Critical Velocity

3.1. Rigid Velocity

According to cavity expansion theory, the cavity expansion stress of concrete can generally be expressed in the following form [6,28]:

$$\sigma_r = a_0 + a_1 V_R + a_2 V_R^2 \quad (1)$$

where a_0 denotes the static resistance parameter of the concrete target, a_1 and a_2 are constants related to the properties of the concrete. V_R denotes the cavity expansion velocity.

Figure 2 illustrates the stress state of an ogival-nosed projectile during its penetration of a semi-infinite concrete target in a rigid regime. In Figure 2, r_0 represents the radius of the projectile, S is the radius of the arc of the ogive nose, φ is the angle between any point on the nose of the projectile and the central axis, and V is the instantaneous velocity of the projectile. Thus, the cavity expansion velocity, as defined in Equation (1), can be expressed as $V_R = V \cos \varphi$.

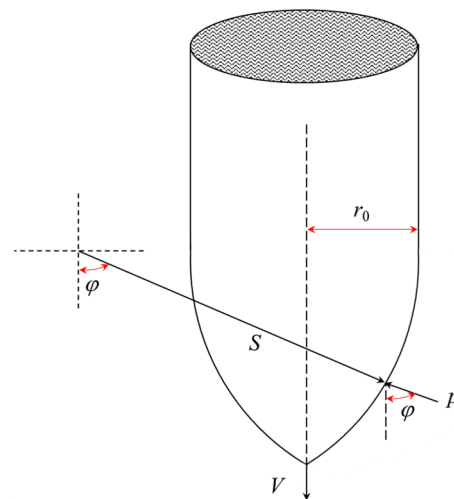


Figure 2. Schematic diagram of the stress state of an ogival-nosed projectile penetrating a semi-infinite concrete target in a rigid regime.

The normal pressure exerted on the surface of the projectile nose is obtained as follows [13]:

$$p(\varphi, V) = a_0 + a_1 V \cos \varphi + a_2 (V \cos \varphi)^2 \quad (2)$$

Chen et al. [29] demonstrated that the frictional resistance experienced by the projectile during its penetration into a concrete target is negligible. Therefore, the influence of friction was excluded from consideration in this study.

The normal pressure exerted on the surface of the ogival-nosed projectile is axially projected and subsequently integrated [18]. Consequently, the penetration resistance F of the projectile within the rigid penetration regime is determined.

$$F(\psi, r_0) = 8\pi r_0^2 \psi^2 \int_{\varphi_0}^{\pi/2} p \cos \varphi (\sin \varphi - \sin \varphi_0) d\varphi \quad (3)$$

where ψ represents the CRH of the projectile, φ_0 denotes the shape parameter of the projectile nose, and S is the radius of the arc of the projectile nose. According to the geometric relationships illustrated in Figure 2, φ_0 can be calculated as $\varphi_0 = \arcsin\left(\frac{S-r_0}{S}\right)$.

By combining Equations (2) and (3), the expression for penetration resistance of the projectile is derived.

$$F = B_0 + B_1V + B_2V^2 \quad (4)$$

where B_0 , B_1 , and B_2 represent the penetration resistance coefficients derived from the integration of Equation (3).

$$B_0 = 8\pi r_0^2 \psi^2 a_2 \left[\frac{1 - \sin^2 \varphi_0}{2} - \frac{S - r_0}{s} (1 - \sin \varphi_0) \right] \quad (5)$$

$$B_1 = 8\pi r_0^2 \psi^2 a_1 \left[\frac{\cos^3 \varphi_0}{3} - \frac{S - r_0}{s} \left(\frac{\pi}{4} - \frac{\varphi_0}{2} - \frac{\sin 2\varphi_0}{4} \right) \right] \quad (6)$$

$$B_2 = 8\pi r_0^2 \psi^2 a_0 \left[\frac{\cos^4 \varphi_0}{4} - \frac{S - r_0}{s} \left(1 - \sin \varphi_0 - \frac{1 - \sin^3 \varphi_0}{3} \right) \right] \quad (7)$$

According to Equation (4), the average axial pressure of the projectile during rigid penetration can be expressed as follows:

$$\bar{\sigma} = \frac{F}{A_0} \quad (8)$$

where A_0 is the initial cross-sectional area of the projectile.

Chen et al. [30] concluded that the strength of the projectile constitutes the paramount factor influencing the transformation of the penetration regime. This study assumes that the projectile undergoes permanent deformation when the average axial pressure exceeds its dynamic strength. Consequently, the critical velocity for rigid penetration can be expressed as follows:

$$V_r = \frac{-B_1 + \sqrt{B_1^2 - 4B_2(B_0 - Y_p A_0)}}{2B_2} \quad (9)$$

where Y_p is the dynamic strength of the projectile, characterized by the Hugoniot elastic limit in this study [31].

3.2. Hydrodynamic Velocity

According to the research findings of Tate [32], when the erosion rate of the projectile exceeds its plastic wave velocity, a shock wave forms at the projectile tip, and the projectile enters a hydrodynamic state. Considering that during the penetration of long-rod projectiles into semi-infinite concrete targets, since the projectile diameter is significantly smaller than the target and its length-to-diameter ratio is typically large ($L/D > 5$), the stress state of the projectile can be approximated as a one-dimensional stress state [10]. Therefore, the critical criterion for hydrodynamic penetration can be expressed as follows:

$$V_h - u = C_H = \sqrt{\frac{E_t^p}{\rho_p}} \quad (10)$$

where u is the penetration velocity, E_t^p is the plastic hardening modulus of the projectile, C_H indicates the velocity of the plastic wave, and ρ_p represents the density of the projectile.

The Alekseevskii–Tate model formulates the hydrodynamic equations by incorporating the dynamic strength of the projectile Y_p and the strength of the target R_t into the Bernoulli equation [32]:

$$\frac{\rho_p(V-u)^2}{2} + Y_p = \frac{\rho_t u^2}{2} + R_t \quad (11)$$

where ρ_t represents the densities of the target.

Given the substantial influence of Y_p and R_t on the computational outcomes of the A-T model, the determination of these parameters has remained a central research focus [6,33,34], with no consensus yet achieved. Based on the analysis of the applicability of different theoretical models for R_t by Liu et al. [13], the theoretical model proposed by Kong et al. [6] is adopted (the calculation of Y_p has been elaborated in Section 3.1):

$$R_t = a_0 + \frac{2}{3}a_1u + \frac{1}{2}(a_2 - \rho_t)u^2 \quad (12)$$

By substituting Equation (12) into Equation (11), we obtain

$$\frac{\rho_p(V-u)^2}{2} + Y_p = a_0 + \frac{2}{3}a_1u + \frac{1}{2}a_2u^2 \quad (13)$$

Thus, the expression for the hydrodynamic velocity is derived by combining Equations (10) and (13).

$$V_h = \frac{-4a_1 + \sqrt{16a_1^2 - 36a_2(2a_0 - 2Y_p - E_t^p)}}{6a_2} + \sqrt{\frac{E_t^p}{\rho_p}} \quad (14)$$

3.3. Reliability Validation of Critical Velocity Models

To validate the theoretical model of critical velocity established in this study, a comparative analysis of the experimental results of four series of projectile penetration into concrete targets was conducted. The experimental parameters for the projectiles and targets are listed in Tables 1 and 2. Kong et al. [5] and Liu et al. [13] have performed experimental investigations on the penetration of flat-nosed projectiles into semi-infinite concrete targets, covering a wide range of impact velocities ranging from 500 to 1800 m/s. The unconfined compressive strengths of the concrete targets utilized in these experiments were 45.4 MPa and 50 MPa, respectively. In Case 1, the experimentally determined critical velocities for rigid penetration and hydrodynamic penetration were 682 m/s and 823 m/s, respectively. In Case 2, the critical velocities for rigid penetration and hydrodynamic penetration were observed to be 840 m/s and 971 m/s, respectively. Guo et al. [14] explored the effects of projectile diameter and material properties on the erosion of ogival-nosed projectiles. They conducted penetration experiments with ogival-nosed projectiles of diameters 12 mm and 30 mm into concrete targets with an unconfined compressive strength of 42.8 MPa, yielding rigid velocities of 1149 m/s and 1537 m/s, respectively. However, due to the constrained firing capability of the experimental apparatus, hydrodynamic velocities were not achieved. Through an analysis of the post-penetration shape characteristics of projectiles, Mu et al. [35] deduced that a key characteristic of the transition from rigid to semi-hydrodynamic penetration regime is the transformation of the nose into a hemispherical shape. Therefore, it can be simplified by considering the projectile nose as hemispherical when the impact velocity approaches the critical velocity for rigid penetration.

Table 1. Projectile parameters.

Type	Projectile							
	$\rho_p/(\text{kg}\cdot\text{m}^{-3})$	M_0/g	CRH	d/mm	L_0/mm	L/D	E^p_t/MPa	Y_p/GPa
Case 1 [13]	7800	38.8	0	9	80	8.88	700	0.9
Case 2 [5]	7800	6.58	0	6	30	5	700	1.1
Case 3 [14]	7800	68.5	3	12	96	8	1200	1.45
Case 4 [14]	7800	322.5	3	30	90	3	1400	1.95

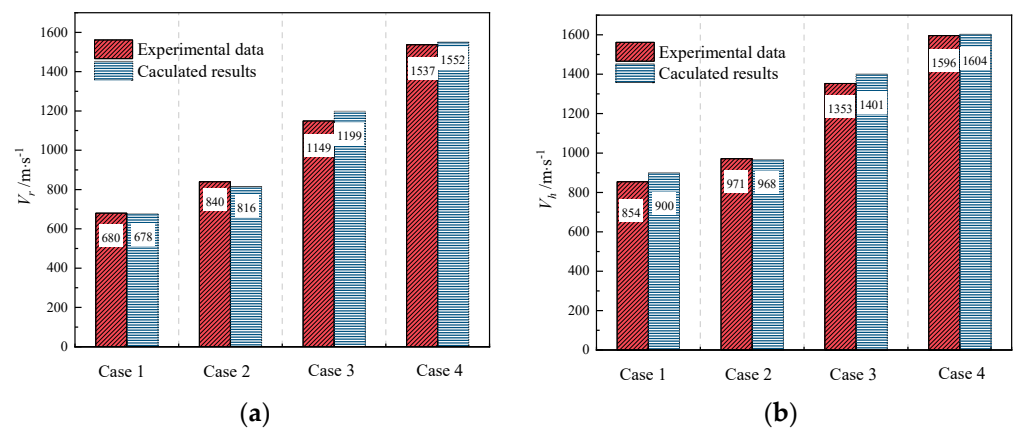
Note: ρ_p denotes the projectile density, M_0 denotes the projectile mass, CRH indicates the projectile head curvature ratio, d indicates the projectile diameter, L_0 is the projectile length, and L/D represents the projectile length-to-diameter ratio.

Table 2. Target parameters.

Type	Target				
	$\rho_t/(\text{kg}\cdot\text{m}^{-3})$	f_c/MPa	$a_0/(10^8 \text{ Pa})$	$a_1/(10^6 \text{ kg}\cdot\text{m}^{-2}\cdot\text{s}^{-1})$	$a_2/(10^3 \text{ kg}\cdot\text{m}^{-3})$
Case 1 [13]	2400	45.4	4.07	1.56	1.48
Case 2 [5]	2400	50	4.48	1.63	1.48
Case 3 [14]	2200	42.8	3.83	1.45	1.36
Case 4 [14]	2200	42.8	3.83	1.45	1.36

Note: ρ_t denotes the target density, f_c represents the compressive strength of concrete, and a_0, a_1, a_2 are resistance coefficients related to the target material properties from study [6].

A comparison between the calculated critical velocities and the experimental results is depicted in Figure 3. The parameters requisite for calculation were sourced from the corresponding references. In accordance with Equations (9) and (14), the principal influencing factor for the rigid velocity is the strength of the projectile, whereas the primary determinants for the hydrodynamic velocity are the strength and plastic hardening modulus of the projectile.

**Figure 3.** Comparison of model calculations and experimental results for critical velocity: (a) the critical velocity for rigid penetration; (b) the critical velocity for hydrodynamic penetration.

As shown in Figure 3a, the calculated results for the rigid velocity are in close agreement with the experimental results, with the relative error maintained within 5%. Figure 3b presents a comparison between the calculated and experimental results for the hydrodynamic velocity. In Case 3 and Case 4, the experiments did not observe a transition from the coupled deforming/eroding regime to the hydrodynamic regime. As a result, the maximum impact velocity of the projectile under the corresponding conditions was adopted as the critical velocity for hydrodynamic penetration. As demonstrated in Figure 3b, there is a strong concordance between the calculated critical velocities for hydrodynamic penetration and the experimental data.

4. Cross-Sectional Area of the Projectile After Penetration

4.1. Fundamental Assumption

Figure 4 presents a schematic representation of a projectile penetrating a semi-infinite concrete target in a coupled deforming/eroding regime. In Figure 4, both the initial state of the projectile and its subsequent coupled deforming/eroding state are shown. L_0 and L_1 denote the equivalent length of the projectile before and after penetration, respectively. The equivalent length is defined as the length of a flat-nosed projectile that possesses an identical mass and cross-sectional area to the actual projectile. For a hemispherical-nosed projectile, the equivalent length L_0 is determined by the equation $L_0 = 0.667r + L$, where r represents the initial radius of the projectile nose, L represents the initial length of the projectile's shank. A_0 and A_1 signify the cross-sectional area of the undeformed and deformed projectile, respectively. x denotes the length of the undeformed projectile. V_0 represents the impact velocity of the projectile. C_H denotes the velocity of the plastic interface, which can be approximated as the plastic wave velocity.

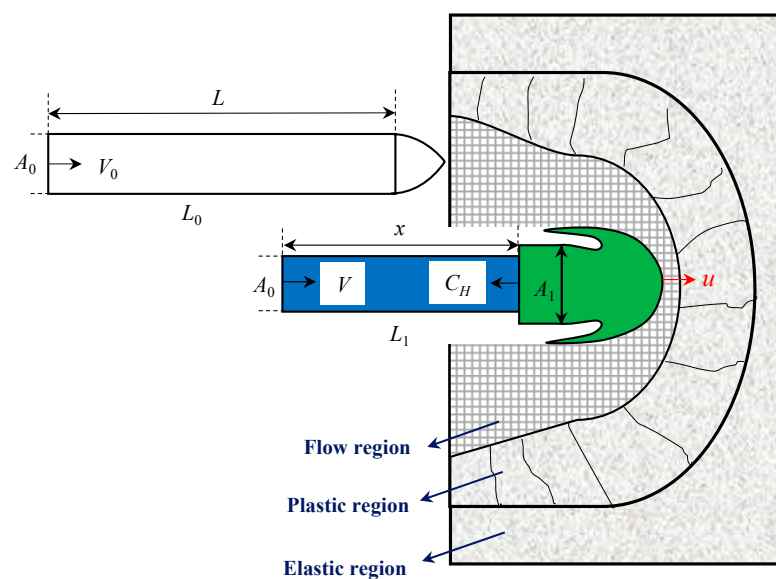


Figure 4. Sketch of coupled deforming/eroding penetration regime.

When the impact velocity V_0 exceeds the rigid velocity V_r (i.e., when the projectile–target interfacial stress exceeds the dynamic yield strength of the projectile material), the projectile nose undergoes significant plastic deformation. Under this condition, the projectile nose can be simplified as a hemispherical shape for analysis [5,13].

After the projectile impacts the target plate, the plastic wavefront propagates along the projectile body from the nose to the tail at velocity C_H , dividing the projectile into two parts: a rigid body and a plastically deforming region. In the plastic deformation region, the projectile penetrates the target at velocity u , while in the rigid body region, the projectile moves along the axial direction at velocity V . Furthermore, due to the combined effects of abrasive wear and thermal melting during high-velocity impact, the surface material of the projectile undergoes spalling, resulting in mass erosion.

In the study of the coupled deforming/eroding penetration regime, the following assumptions have been established [19]: (1) It is presumed that the projectile nose immediately adopts a hemispherical shape upon impact. (2) The influence of thermal effects on the projectile during the penetration process is disregarded. (3) The cross-sectional area of the deformed projectile is assumed to remain invariant. (4) The density of the projectile is assumed to remain constant throughout the entire penetration process. (5) The effect of projectile bending on the penetration process is not considered.

4.2. Construction of Calculated Model

In accordance with the principle of mass conservation, the total mass of a projectile is composed of the mass of the undeformed part, the mass of the deformed part, and the mass loss attributable to erosion.

$$L_0 A_0 = A_0 x + (L_1 - x) A_1 + \Delta M(V) = M_0 \quad (15)$$

where $\Delta M(V)$ represents the instantaneous mass loss of the projectile, and M_0 denotes the initial mass of the projectile.

Silling et al. [36] conducted a series of experiments with ogival-nosed projectiles penetrating quartzite and limestone aggregate concrete targets at velocities ranging from 450 to 1024 m·s⁻¹. The mass erosion rate was empirically determined to exhibit a linear relationship with the impact velocity, as shown in Equation (16):

$$\frac{\Delta M}{M_0} = k_1 V_0 + k_2 \quad (16)$$

where k_1 and k_2 are linear fitting parameters.

Wu et al. [37] suggested a linear relationship between the instantaneous mass of the projectile and its corresponding instantaneous velocity. Assuming no mass loss during rigid penetration, the relationship between the mass erosion rate and the velocity of the projectile during the coupled deforming/eroding penetration process is given by

$$\eta(V) = \frac{\Delta M(V)}{M_0} = \frac{M_0 - M(V)}{M_0} = \begin{cases} k_1(V_0 - V) & V_r < V < V_h \\ k_1(V_0 - V_r) & V < V_r \end{cases} \quad (17)$$

where V symbolizes the instantaneous velocity, and $M(V)$ denotes the instantaneous mass. When $V_r < V_0 < V_h$, the projectile initially penetrates the target within a coupled deforming/eroding regime. Thereafter, when the instantaneous velocity falls below the rigid velocity ($V < V_r$), the projectile persists in penetrating the concrete target as a rigid body, during which its mass is presumed constant.

By combining Equations (15) and (17), the dimensionless cross-sectional area K of the projectile can be obtained.

$$K = \frac{A_1}{A_0} = \left(\frac{L_0}{L_1 - x} \right) \left[\left(1 - \frac{x}{L_0} \right) - \eta(V) \right] \quad (18)$$

By taking the plastic interface of the projectile as the reference system, we derive the following:

$$\frac{dV}{dt} = -\frac{Y_p}{\rho_p x} \quad (19)$$

$$\frac{d(L_1 - x)}{dt} = C_H + u \quad (20)$$

$$\frac{dx}{dt} = -(V + C_H) \quad (21)$$

Jiao et al. [38] observed that the penetration velocity u exhibits a consistent linear relationship with the instantaneous velocity V throughout the coupled deforming/eroding penetration process. Therefore, in this study, the penetration velocity of the projectile is defined as follows:

$$u = aV + b \quad (22)$$

where a and b are constants. The initial boundary condition of Equation (22) is $V = V_r$ and $u = u_r$, and the termination boundary condition is $V = V_h$ and $u = u_h$, where u_r and u_h

represent the penetration velocities corresponding to the critical states of rigid penetration and hydrodynamic penetration, respectively. The initial and terminal boundary conditions correspond to the upper and lower boundaries of the coupled deforming/eroding penetration states, respectively.

The constants in Equation (22) are determined by the aforementioned boundary conditions.

$$a = \frac{(u_h - u_r)}{(V_h - V_r)}, b = \frac{(u_h - u_r)V_r}{V_h - V_r} \quad (23)$$

Combining Equations (19)–(23) and integrating over the velocity interval $[V, V_0]$, the lengths of the deformed and undeformed part of the projectile are expressed as follows:

$$x = L_0 \cdot \exp\left[\frac{\rho_p}{Y_p} \int_{V_0}^V (V + C_H) dV\right] \quad (24)$$

$$L_1 - x = \int_V^{V_0} \left(\sqrt{\frac{E_t^p}{\rho_p}} + aV + b \right) \left(\frac{\rho_p}{Y_p} \right) L_0 \cdot \exp\left[\frac{\rho_p}{Y_p} \int_{V_0}^V (V + C_H) dV\right] dV \quad (25)$$

By substituting Equations (24) and (25) into Equation (18), we derive the expression for the cross-sectional area that incorporates the coupled effects of deformation and erosion occurring during the semi-hydrodynamic penetration process, as presented below:

$$K = L_0 \left(\int_{V_r}^{V_0} \left(\sqrt{\frac{E_t^p}{\rho_p}} + aV + b \right) \left(\frac{\rho_p}{Y_p} \right) L_0 \cdot \exp\left[\frac{\rho_p}{Y_p} \int_{V_0}^V (V + C_H) dV\right] dV \right)^{-1} \cdot \left(1 - \exp\left[\frac{\rho_p}{Y_p} \int_{V_0}^{V_r} (V + C_H) dV\right] - \eta(V) \right) \quad (26)$$

4.3. Comparison of Experimental Data and Calculated Results

The reliability of the projectile cross-sectional area theoretical model was validated through comparison with experimental data from Liu et al. [13] and Kong [5] on residual projectile cross-sections. Two distinct cases were analyzed: Case 1 examined a 9 mm diameter medium carbon steel projectile penetrating concrete with 45.4 MPa compressive strength, while Case 2 involved a 6 mm diameter Chinese 45# steel projectile against 50 MPa concrete. Complete specifications for both projectiles and targets are detailed in Tables 1 and 2.

Figure 5 presents the comparative analysis of model predictions and experimental results, revealing several important trends. Within the velocity range $[V_r, V_h]$, the residual cross-sectional area shows a clear increasing trend with impact velocity, demonstrating the strong velocity-dependence of projectile deformation. When impact velocities slightly exceed the rigid velocity V_r , both the coupled deformation–erosion model and deformation-only model predictions fall below experimental measurements, primarily because neither accounts for cross-sectional area growth in the lower velocity range $[0, V_r]$. Importantly, the coupled model demonstrates significantly better agreement with experimental data than the deformation-only approach, particularly at higher impact velocities.

The observed behavior stems from competing physical mechanisms during penetration. While deformation acts to increase the projectile's cross-sectional area through plastic expansion, simultaneous erosion reduces it through surface material loss. This erosion effect becomes progressively more pronounced at higher velocities, as evidenced by the widening gap between model predictions in Figure 5. Equation (17) confirms this relationship, showing the projectile mass erosion rate's direct correlation with impact velocity. Experimental results from both cases clearly show substantially increased mass loss under high-velocity conditions, underscoring the critical importance of including erosion effects in penetration modeling.

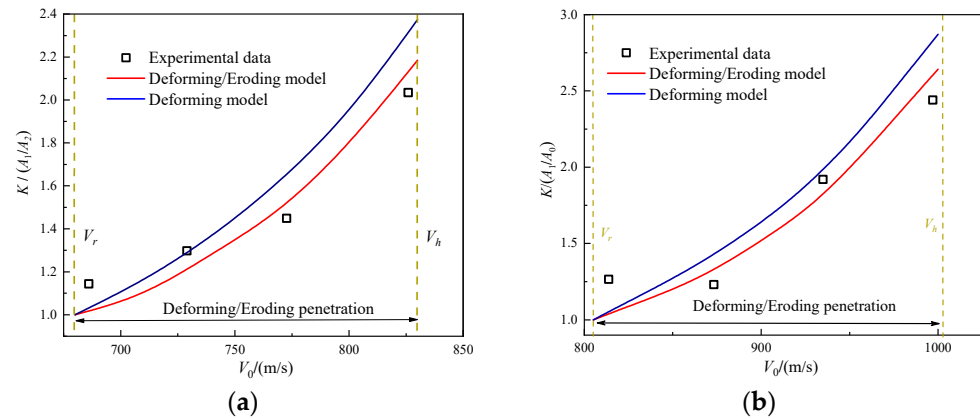


Figure 5. Comparison of model calculations and experimental results for the non-dimensional cross-sectional area: (a) Case 1 (Experimental data were obtained from Ref. [13]); (b) Case 2 (Experimental data were obtained from Ref. [5]).

Several factors contribute to remaining discrepancies between predictions and the experimental data of Liu et al. [13] and Kong [5]. First, the empirical formulations used for mass erosion rate may not perfectly capture the actual situation. Second, the theoretical assumption of cylindrical projectile geometry differs from actual frustum-shaped deformation patterns. Finally, the relatively small projectile sizes used in both experimental series likely introduced additional variability in the measurements. Despite these limitations, the coupled deformation–erosion model provides a more accurate representation of cross-sectional area evolution during high-velocity penetration compared to models considering deformation effects alone.

4.4. Parametric Analysis of the Cross-Sectional Area

As indicated by Equation (26), the primary parameters influencing the cross-sectional area of the recovered projectile include the strength, plastic hardening modulus, density, and plastic wave velocity of the projectile. Among these factors, the density and plastic hardening modulus of the projectile influence the cross-sectional area by changing the plastic wave velocity. Consequently, this study analyzes the influence of strength Y_p and plastic wave velocity C_H on the cross-sectional area of the recovered projectile. The materials of the projectile and target from Case 1 were employed for the analysis, and all other parameters were maintained constant. Figure 6a,b show the relationship between the dimensionless cross-sectional area of the projectile and the impact velocity for different strengths (ranging from 900 to 1200 MPa) and different plastic wave velocities (ranging from 300 to 450 m/s), respectively. As observed in Figure 6a, the dimensionless cross-sectional area of the projectile progressively decreases with increasing projectile strength. This observation implies that under identical conditions, penetration resistance decreases as projectile strength increases. Conversely, as illustrated in Figure 6b, with constant strength, the dimensionless cross-sectional area exhibits a positive correlation with the plastic wave velocity of the projectile. The higher the plastic wave velocity, the larger the cross-sectional area of the projectile, thereby resulting in increased penetration resistance. Therefore, to enhance the penetration performance of the projectile during coupled deforming/eroding penetration, it is advisable to either increase the strength or reduce the plastic wave velocity of the projectile. The plastic wave velocity of a material is intrinsically linked to its density and plastic hardening modulus. It can be effectively reduced by increasing the density or decreasing the plastic hardening modulus.

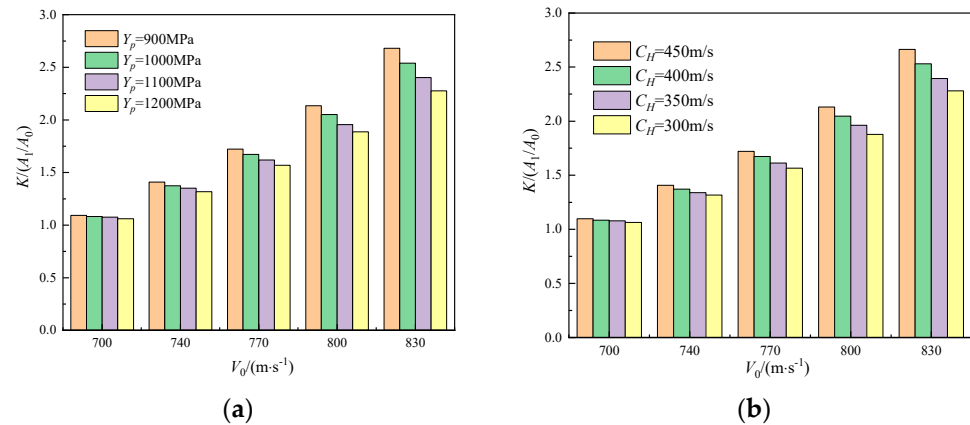


Figure 6. The non-dimensional cross-sectional area with different projectile strengths and plastic wave velocities: (a) calculated results for different projectile strengths; (b) calculated results for different plastic wave velocities.

5. Theoretical Model of DOP

5.1. Rigid Penetration

When $V_0 < V_r$, the projectile penetrates the concrete target in a rigid regime. Consequently, the motion equation of the projectile is expressed as

$$F = B_0 + B_1V + B_2V^2 = M_0 \frac{dV}{dt} \tag{27}$$

By integrating Equation (27) from 0 to V_0 and simplifying, the DOP of rigid projectiles into the concrete targets is obtained.

$$P = P_{rig} = \frac{M_0}{2B_2A_0} \left[\ln(B_0 + B_1V + B_2V^2) - \frac{B_1}{B_2} \left(\frac{4B_0}{\sqrt{B_1^2 - 4B_0B_2 - B_1}} + \frac{B_1}{B_2} \right) \cdot \right] \Bigg|_0^{V_0} \tag{28}$$

5.2. Coupled Deforming/Eroding Penetration

By employing the previously discussed cross-sectional area model, which accounts for the coupled deforming/eroding effect, and combining it with the equations of motion and cavity expansion theory, a comprehensive model of depth of penetration can be developed.

When $V_r < V_0 < V_{hr}$, the projectile initially penetrates the concrete target within a coupled deforming/eroding regime. Upon impact, the nose shape of the projectile transitions to a hemispherical form, as shown in Figure 7. According to the cavity expansion model, the normal pressure exerted on the surface of the projectile nose within this regime can be quantified as follows:

$$p(\theta, u) = a_0 + a_1u \cos \theta + a_2(u \cos \theta)^2 \tag{29}$$

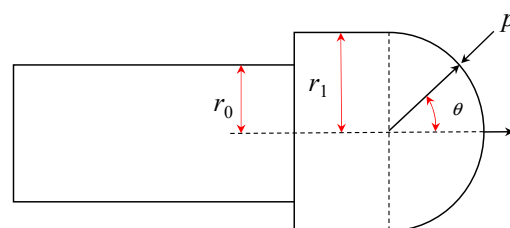


Figure 7. Stress state of projectile during the coupled deforming/eroding penetration process.

According to Equation (29), the expression for the penetration resistance of the projectile in the coupled deformation/erosion regime is obtained:

$$F(r_1, u) = \int_0^{\pi/2} 2\pi r_1^2 p \sin \theta \cos \theta d\theta \quad (30)$$

where r_1 is the radius after the projectile nose is deformed into a hemispherical shape, and A_1 is the cross-sectional area of the projectile after deformation.

Similarly to rigid penetration, the depth of penetration P_{def} can be determined based on Newton's second law.

$$P_{def} = \frac{\rho_p L_0}{Ka_2} \left[\ln \left(a_0 + \frac{2}{3} a_1 u + \frac{1}{2} a_2 u^2 \right) - \frac{24 a_0 a_1}{(18 a_0 a_2 - 4 a_1^2)} \arctan \left(\frac{6 a_0 u + 2 a_1}{\sqrt{18 a_0 a_2 - 4 a_1^2}} \right) \right] \Bigg|_u^{u_r} \quad (31)$$

When the instantaneous velocity of the projectile decreases below the rigid velocity ($V < V_r$), the projectile continues to penetrate the target with a hemispherical nose in a rigid regime. The penetration resistance and depth of penetration of the residual projectile are as follows:

$$F(r_1, V) = A_1 \left(a_0 + \frac{2}{3} a_1 V + \frac{1}{2} a_2 V^2 \right) \quad (32)$$

$$P_{res,r} = \int_0^{V_r} V dt = \frac{M_r}{A_1 a_2} \left[\ln \left(a_0 + \frac{2}{3} a_1 u + \frac{1}{2} a_2 u^2 \right) - \frac{24 a_0 a_1}{(18 a_0 a_2 - 4 a_1^2)} \arctan \left(\frac{6 a_0 u + 2 a_1}{\sqrt{18 a_0 a_2 - 4 a_1^2}} \right) \right] \Bigg|_0^{V_r} \quad (33)$$

where M_r denotes the residual mass of the projectile.

Therefore, when the impact velocity V_0 satisfies the condition $V_r < V_0 < V_h$, the total depth of penetration is determined by summing the depths of penetration of both the coupled deforming/eroding and rigid penetration phases.

$$P = P_{res,r} + P_{def} \quad (34)$$

5.3. Comparison of Model Predictions with Experimental Data

Figure 8 shows a comparison between the DOP predicted by the theoretical model proposed in this paper and the experimental data from studies [5,13]. It is evident that the predicted results are in good agreement with the experimental data. When $V_0 < V_r$, the DOP increases linearly with the impact velocity, reaching its maximum at $V_0 = V_r$. In the rigid penetration phase, the prediction results are marginally higher than the experimental data, likely due to minor permanent deformation and erosion of the projectile nose that occurs during actual penetration. This suggests that the projectile cannot maintain a rigid body assumed by the theoretical model, which will be addressed in a future study. When $V_r < V_0 < V_h$, the projectile enters the coupled deforming/eroding penetration phase, wherein the DOP decreases at an accelerating rate as the velocity increases. Compared to the experimental data, the predicted results are higher. As shown in Figure 8a, the predicted DOP aligns closely with the experimental data from Case 1. During the experiment conducted at an impact velocity of 754 m/s, the projectile exited the target from the side. Consequently, the measured depth of penetration under this condition was anomalously low.

Figure 8b illustrates that the predicted DOP corresponds well with the experimental data from Case 2, except for the DOP at an impact velocity of 870 m/s. It is noteworthy that the DOPs at impact velocities of 866 m/s and 807 m/s are nearly identical, which deviates from the typical trend of decreasing DOP with increasing velocity in the coupled deforming/eroding penetration regime. This discrepancy may be attributed to the scatter of experimental data.

Figure 8c presents a comparison between the experimental data and predicted results for the terminal effects of an ogival-nosed projectile penetrating the concrete target in Case 3. The red square points in the figure represent the experimental values, while the black solid line indicates the model predictions. As shown in Figure 8c, the predicted results exhibit strong consistency with the experimental data. Figure 8a–c demonstrate that the theoretical model, which couples deforming and eroding effects, provides relatively accurate predictions for the DOP of flat-nosed and oval-nosed projectiles. This indicates that the model possesses a certain degree of accuracy and general applicability.

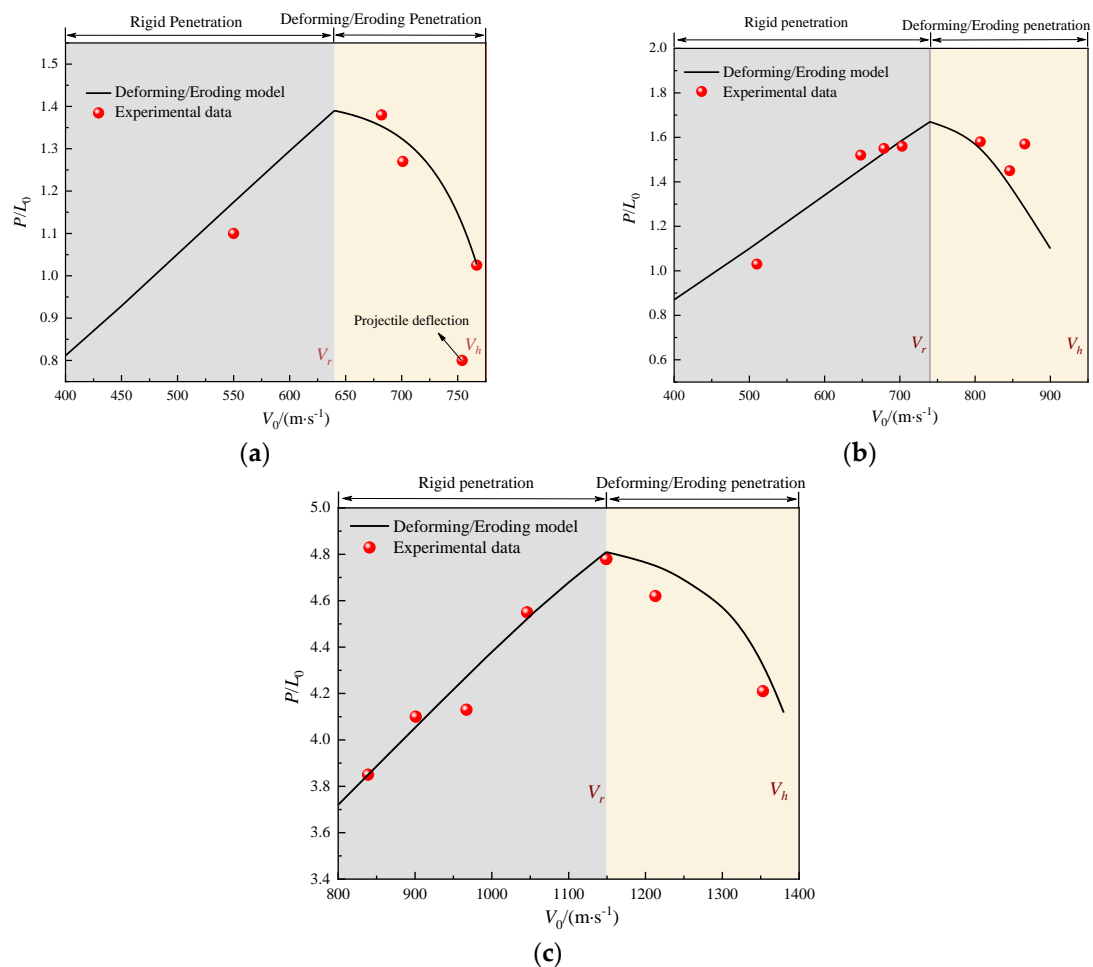


Figure 8. Comparison of model calculations and experimental results for the non-dimensional DOP: (a) Case 1 (Experimental data were obtained from Ref. [13]); (b) Case 2 (Experimental data were obtained from Ref. [5]); (c) Case 3 (Experimental data were obtained from Ref. [14]).

Figure 9 presents the photograph of the residual projectile in Case 1. At the initial stage of coupled deforming/eroding penetration with an impact velocity of $V_0 = 682$ m/s, minimal mushrooming of the projectile is observed, accompanied by slight scratch marks on the projectile's surface. These scratches are a consequence of the frictional interaction between the projectile's surface and the concrete during penetration. As the impact velocity increases to $V_0 = 767$ m/s, the frictional interaction intensifies, leading to a noticeable loss of the projectile's original metallic luster and the observation of substantial concrete powder adhering to its surface. This adherence is attributable to the thermal softening effect on the projectile's surface due to high-velocity friction, which facilitates the embedding of concrete particles. Concurrently, the extent of mushrooming observed on the projectile becomes considerably more pronounced. The above analysis indicates that within the coupled deformation/erosion penetration regime, the deforming and eroding effects of

the projectile are significantly amplified with increasing impact velocity, leading to a progressively higher rate of decline in the depth of penetration.

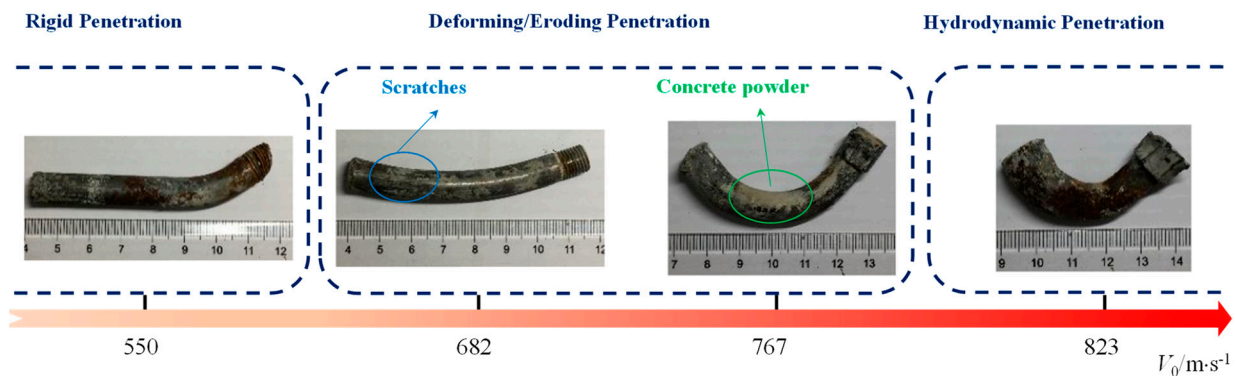


Figure 9. Photographs of the recovered projectile [13].

6. Conclusions

This study conducted a theoretical investigation into the problem of projectiles penetrating semi-infinite concrete targets under vertical impact conditions, considering the coupled effects of deformation and erosion. The theoretical predictions were systematically compared with existing publicly available experimental data for small-scale projectiles. The main findings are summarized as follows:

- (1) The rigid velocity is defined as the critical initial impact velocity at which the projectile–target interfacial stress reaches the dynamic yield strength of the projectile, while the hydrodynamic velocity is defined as the critical impact velocity when the projectile’s erosion rate equals its internal plastic wave speed. These two critical velocities delineate the boundaries of the coupled deformation–erosion penetration regime.
- (2) The cross-sectional area evolution of projectiles is predominantly governed by material strength and plastic wave velocity. Specifically, the cross-sectional area demonstrates a negative correlation with material strength but exhibits a positive dependence on plastic wave velocity.
- (3) During the coupled deformation and erosion penetration process, the penetration depth decreases as the initial impact velocity increases. This is attributed to the increase in penetration resistance caused by the enlargement of the projectile’s cross-sectional area, as well as the significant dissipation of kinetic energy resulting from mass loss.
- (4) The theoretical framework shows good agreement with experimental data, with maximum errors of 9.5% for critical velocity prediction, 17.8% for residual projectile cross-sectional area prediction, and 24.4% for penetration depth prediction.

Given the limitations of currently available experimental data, the proposed model in this study has only been validated for small-scale projectiles under normal penetration conditions. To further verify the model’s rationality and universality, we will conduct large-scale projectile penetration tests to obtain more comprehensive experimental data. Meanwhile, structural instabilities such as bending and fragmentation that may occur during high-velocity penetration significantly affect penetration performance, yet current research on these phenomena remains inadequate. Therefore, future work will focus on investigating structural instability behavior during high-velocity projectile penetration, aiming to achieve a more comprehensive understanding of penetration mechanisms.

Author Contributions: Conceptualization, H.X., Y.L. and Z.L.; methodology, H.X., Y.L. and Z.L.; validation, H.X., Y.L. and Z.L.; formal analysis, H.X.; investigation, H.X., X.C. and X.F.; resources, X.F. and Z.L.; writing—original draft preparation, H.X., J.L. and Z.L.; writing—review and editing, H.X., J.L. and Z.L.; visualization, H.X., J.L. and Z.L.; project administration, J.L. and X.F.; funding acquisition, Z.L. All authors have read and agreed to the published version of the manuscript.

Funding: This work was supported by National Natural Science Foundation of China (NSFC) Young Scientists Fund Project (Grant No. 12202424).

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Data Availability Statement: The original contributions presented in the study are included in the article, further inquiries can be directed to the corresponding author.

Conflicts of Interest: The authors declare no conflict of interest.

Nomenclature

a_0, a_1, a_2	empirical coefficients related to the material properties of the target
A_0	cross-sectional area of the undeformed projectile
A_1	cross-sectional area of the deformed projectile
B_0, B_1, B_2	penetration resistance coefficients
C_H	plastic wave velocity
CRH	caliber-radius-head
d	projectile diameter
E_t^p	plastic hardening modulus of the projectile
F	plastic hardening modulus of the projectile
f_c	compressive strength of concrete
K	compressive strength of concrete
k_1	empirical mass loss coefficient
L_0	equivalent length of the projectile before penetration
L_1	equivalent length of the projectile during penetration
L	length of the projectile shank
M_0	initial mass of the projectile
M_r	residual mass of the projectile
$M(V)$	instantaneous mass of the projectile
ΔM	mass loss of the projectile
P_{rig}	penetration depth of the projectile in rigid regime
$P_{res,r}$	penetration depth of the residual projectile in rigid regime
P_{def}	penetration depth of the projectile in deformation and erosion regime
P	total penetration depth
p	cavity expansion stress
r_0	radius of the undeformed projectile
r_1	radius of the deformed projectile
R_t	strength of the target
S	radius of curvature of the ogive-nosed projectile
φ_0	shape parameter of the projectile nose
φ	angle between the normal to the projectile nose surface and the penetration central axis

u	penetration velocity
V_0	initial impact velocity
V	instantaneous velocity during penetration
V_r	rigid velocity
V_h	hydrodynamic velocity
x	length of the undeformed projectile
Y_p	dynamic yield strength of the projectile
θ	angle between the surface normal of hemispherical nose and the penetration centerline
ψ	caliber-radius-head
ρ_t	density of the target
ρ_p	density of the projectile
φ	angle between the projectile nose surface normal and the penetration centerline
$\eta(V)$	instantaneous mass loss rate of the projectile
$\bar{\sigma}$	average resistance acting on the projectile nose

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