



Systematic Review

Insights into Neonatal Cerebral Autoregulation by Blood Pressure Monitoring and Cerebral Tissue Oxygenation: A Qualitative Systematic Review

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Abstract: Objective: The aim of this qualitative systematic review was to identify publications on blood pressure monitoring in combination with cerebral tissue oxygenation monitoring during the first week after birth focusing on cerebral autoregulation. Methods: A systematic search was performed on PubMed. The following search terms were used: infants/newborn/neonates, blood pressure/systolic/diastolic/mean/MAP/SAP/DAP, near-infrared spectroscopy, oxygenation/saturation/oxygen, and brain/cerebral. Additional studies were identified by a manual search of references in the retrieved studies and reviews. Only human studies were included. Results: Thirty-one studies focused on preterm neonates, while five included preterm and term neonates. In stable term neonates, intact cerebral autoregulation was shown by combining cerebral tissue oxygenation and blood pressure during immediate transition, while impaired autoregulation was observed in preterm neonates with respiratory support. Within the first 24 h, stable preterm neonates had reduced cerebral tissue oxygenation with intact cerebral autoregulation, while sick neonates showed a higher prevalence of impaired autoregulation. Further cardio-circulatory treatment had a limited effect on cerebral autoregulation. Impaired autoregulation, with dependency on blood pressure and cerebral tissue oxygenation, increased the risk of intraventricular hemorrhage and abnormal neurodevelopmental outcomes. Conclusions: Integrating blood pressure monitoring with cerebral tissue oxygenation measurements has the potential to improve treatment decisions and optimizes neurodevelopmental outcomes in high-risk neonates.

Keywords: neonate; blood pressure; NIRS; cerebral oxygenation; cerebral autoregulation

1. Introduction

The transition period from fetal to neonatal life is associated with significant physiological changes affecting all vital organ systems [1]. Intrauterine, most of the blood bypasses the lungs through the ductus arteriosus due to elevated pulmonary resistance. Immediately after clamping the umbilical cord, there is a significant reduction in the preload of the heart, as up to 50% of the preload is delivered by the placenta. In lambs, it has been shown that this may result in reduction of cardiac output, which may trigger bradycardia [1,2]. With aeration of the lungs immediately after birth, the pulmonary vascular resistance drops, and the pulmonary blood flow increases, leading to an increase in cardiac output [1,2]. Most changes from the fetal to neonatal transition occur within the first few minutes, which is one of the most-challenging periods in human life [3,4]. Once the immediate transition is



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). completed, cardio-circulatory and pulmonary changes still continue for several weeks [4]. It takes up to 96 h for term infants to have a functional closure of the ductus arteriosus. Permanent anatomic closure occurs within three weeks up to three months after birth [4]. Therefore, monitoring to assess and evaluate hemodynamics in neonates, especially during the first week after birth, is crucial, whereby the immediate transition might also be highly relevant.

During immediate transition after birth, monitoring with pulse oximetry and electrocardiogram (ECG) is recommended [5–7]. However, the routinely used monitoring is not always sufficient to recognize potentially compromised neonates [8], especially in cases when impaired cardio-circulation causes reduced oxygen delivery to the tissue.

A further tool for the assessment of the neonate's circulation is blood pressure monitoring. Due to its feasibility, it is a common practice in the neonatal intensive care unit (NICU). Especially in compromised neonates during the first days after birth, blood pressure measurements are used to detect arterial hypotension, whereby thresholds are still controversially discussed [9–15]. During immediate transition, there are only a few observational studies describing blood pressure in preterm and term neonates [16].

Arterial blood pressure is dependent on systemic vascular resistance and cardiac output. Therefore, it determines tissue perfusion and oxygen delivery to the tissue. Tissue oxygenation can be monitored by near-infrared spectroscopy (NIRS) [17] The focus of tissue oxygenation measurements in neonates has been on cerebral tissue oxygenation measurement during the immediate transition [18,19] and at the NICU during the first week after birth [20]. Some studies in neonates have described that the combination of blood pressure measurements and cerebral NIRS monitoring might be a promising tool due to its potential to reveal information about cerebral autoregulation [21–23].

Therefore, the aim of the present qualitative systematic review was to identify publications on blood pressure monitoring in combination with cerebral tissue oxygenation monitoring with NIRS during the immediate transition and first week after birth in order to gain more information about cerebral autoregulation, resulting in improved treatment options and approaches in neonates.

2. Materials and Methods

2.1. The Search Strategy and Study Selection Criteria

Articles were identified using the stepwise approach specified in the Preferred Reporting Items for Systemic Reviews and Meta-Analyses Statement (PRISMA).

2.2. Search Strategy

A systematic search was performed on PubMed. In order to identify studies addressing blood pressure measurements, non-invasive or invasive in combination with cerebral tissue oxygenation measurements with NIRS during the immediate transition and first week after birth, the following search terms were used: infants/newborn/neonates, blood pressure/systolic/diastolic/mean/MAP/SAP/DAP, near-infrared spectroscopy, oxygenation/saturation/oxygen, and brain/cerebral. Additional studies were identified by a manual search of references in retrieved studies and reviews. Only human studies with combined blood pressure and cerebral tissue oxygenation monitoring during the immediate transition period after birth and the first week were included.

2.3. Study Selection

Articles identified following the literature review were evaluated by two authors (D.P., G.P.) for inclusion using the title and abstract. The full text was reviewed, resulting from remaining uncertainty regarding eligibility for inclusion. All data were analyzed qualitatively. Data extraction included the characterization of study types, patient demographics, methods, and results.

3. Results

The initial search detected 2200 articles on PubMed. Due to the rejection of studies that did not meet the prior mentioned criteria, 36 studies were identified, analyzing blood pressure and cerebral regional tissue oxygenation monitoring during the immediate transition and during the first week after birth (Figure 1).



Figure 1. Selection of papers.

Thirty-one studies described monitoring results in preterm neonates, and five studies described monitoring results in preterm and term neonates. There were no studies that conducted measurements on term neonates only.

Blood pressure was measured invasively with an indwelling catheter in 27 [21,23–48] studies and non-invasively with oscillometric measurements in 3 studies [22,49,50]. In five studies [51–55], both methods were combined, and in one study [56], the method was unclear. Cerebral oxygen saturation was measured in 19 studies with the NIRO 200, 300, or 500 (Hamamatsu Photonics, Hamamatsu-city, Japan) [23,26–30,32,34–37,40,42,44,45,48,51,53,56], in 10 studies with the INVOS 4100 or 5100 (Covidien, Medtronic, Minneapolis, MN, USA) [21,22,31,38,39,41,46,50,52,55], in 3 studies with the FORE-SIGHT (Casmed, Irvine, CA, USA) [24,25,47], in 2 studies with the cerebral tissue oxygenation Monitor 205 (Critikon, Tampa, FL, USA) [33,54], in 1 study with the Oxiplex TS.3.1 (ISS, Inc., Champaign, IL, USA) [49], and in 1 study with the NIRO 300 in combination with an INVOS 4100 [43] (Tables 1–3).

Baik [50] (2017)Term n = 292, preterm n = 186Term 38.9 \pm 0.8, preterm 31.0 \pm 3.5INVOS 5100cMABP (oscillometric)15 min0-1 minImpact of MABP on the cerebral regional oxygen saturationIn neona con regional oxygen saturationIn neona con regional oxygen saturationIn neona con regional oxygen saturationIn mean con regional oxygen saturationIn neona con regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona regional oxygen saturationIn neona<	Author (Reference) (Year) of Publication	Neonates, n	Gestational Age, Weeks	NIRS Device	Arterial Blood Pressure	Duration	Initiation	Study Aim	Main Results Concerning BP and NIRS
Pfurtscheller 34.4 ± 1.6 Impact of MABP pretern 34.5 ± 1.5 Impact of MABP pretern 34.5 ± 1.5 Impact of MABP pretern $(oscillometric)$ Impact of MABP pretern $15 \min$ Impact of MABP $0-1 \min$ Impact of MABP pretern and NIRSImpact of MABP megat compromised[20] $n = 47$ 34.5 ± 1.5 (stable, $n = 22$)INVOS 5100cMABP (oscillometric) $15 \min$ $0-1 \min$ parameters in compromisednegat cFT neonates	Baik [50] (2017)	Term <i>n</i> = 292, preterm <i>n</i> = 186	Term 38.9 ± 0.8 , preterm 31.0 ± 3.5	INVOS 5100c	MABP (oscillometric)	15 min	0–1 min	Impact of MABP on the cerebral regional oxygen saturation	In preterm neonates, MABP correlated negatively with cFTOE
	Pfurtscheller [22] (2022)	Preterm $n = 47$	34.4 ± 1.6 (resp. support, <i>n</i> = 25) 34.5 ± 1.5 (stable, <i>n</i> = 22)	INVOS 5100c	MABP (oscillometric)	15 min	0–1 min	Impact of MABP and NIRS parameters in compromised neonates	In compromised preterm neonates, MABP correlated negatively with cFTOE and positively with crSO2

Table 1. Cerebral tissue oxygenation measurement in combination with arterial blood pressure measurement during immediate transition.

Table 2. Cerebral tissue oxygenation measurement in combination with blood pressure measurement after immediate transition within the first 24 h after birth.

Author (Reference) (Year) of Publication	Neonates, n	Gestational Age, Weeks	NIRS Device	Arterial Blood Pressure Evaluation	Duration	Initiation	Study Aim	Main Results Concerning BP and NIRS
Naulaers [56] (2002)	Preterm $n = 15$	28.0 (25.0–30.0)	NIRO 300	n.a.	48 h	<6.0 h	To describe normal values of cTOI in premature infants	cTOI, MABP, and CBF increased in the first 3 days in preterm neonates
Pellicer [54] (2005)	Preterm n = 59	28.3 ± 2.3	Critikon	MABP (oscillometric and invasive)	80 min	5.3 ± 3.7 h	Effect of two catecholamines on brain hemodynamics in LBW neonates	Epinephrin and dopamine increased BP, CBF, and HbD, whereas cerebral circulation is still pressure passive

Table 2. Cont.

Author **Arterial Blood** Main Results NIRS Gestational Age, (Reference) (Year) Neonates, n Pressure Duration Initiation **Concerning BP and** Study Aim Weeks Device of Publication Evaluation NIRS The influence of RDS RDS neonates showed 26.6 ± 1.32 on arterial blood impaired CA with Lemmers Preterm (with RDS, n = 18) [21] INVOS 4100 MABP (invasive) 72 h 1.0–2.0 h pressure in preterm positive MABP-crSO2 and 29.3 ± 1.74 *n* = 83 negative MABP-cFTOE (2006)neonates with and (without RDS, n = 20) without RDS correlations Stable very premature Association between Victor neonates showed intact Preterm cardiocirculatory [29] 27.0 (23.0-30.0) NIRO 500 MABP (invasive) 96 h <24.0 h CA without correlation of n = 40values and cerebral (2006)MABP-cFTOE and oxygenation CO-cFTOE Association between Victor aEEG and cFTOE MABP (invasive) Preterm cardiocirculatory [28] 27.0 (24.0-34.0) NIRO 500 96 h <24.0 h maintained normal above n = 35and echo values and cerebral (2006)MABP of 23 mmHg monitoring MAP-HbD gain reflecting O'Leary Preterm Association between cerebral pressure NIRO 500 MABP (invasive) 11.0 h [36] 26.0 (23.0-30.0) 96 h n = 88CA and outcome passivity was associated (2009)with IVH or PVL CA measurements took Hahn Increasing precision of Preterm hours and can be NIRO 300 MABP (invasive) 17.4 h coherence analysis by [30] 27.5 (24.0-29.0) 1.3–3.7 h n = 22improved by adding adding MABP (2010)MABP cTOI decreased initially, Takami MABP Detailed analyses of then increased, while Preterm NIRO 200 [51] 25.2 ± 1.6 (oscillometric and 72 h 3.0-6.0 h cerebral oxygenation FTOE showed the NIRO 300 n = 16(2010)invasive) and echo and cardiac function opposite pattern; MABP increased gradually

Table 2. Cont.

Author (Reference) (Year) of Publication	Neonates, n	Gestational Age, Weeks	NIRS Device	Arterial Blood Pressure Evaluation	Duration	Initiation	Study Aim	Main Results Concerning BP and NIRS
Bonestroo [31] (2011)	Preterm $n = 142$	30.0 (26.0–31.6) (volume, <i>n</i> = 33) (control 1, <i>n</i> = 33) 29.4 (25.9–31.6) (dopamine, <i>n</i> = 38) (control 2, <i>n</i> = 38)	INVOS 4100–5100	MABP (invasive)	1 h	15 min before treatment	Effect of volume expansion and dopamine in hypotensive preterm neonates	No significant changed in rScO2 and cFTOE
Gilmore [24] (2011)	Preterm $n = 23$	26 ± 1	Foresight	MABP (invasive)	24–96 h	$14.4\pm14.4~\mathrm{h}$	Relationship between CA and blood pressure	Correlation between MABP and impaired CA
Hahn [32] (2012)	Preterm $n = 60$	27 ± 1	NIRO 300	MABP (invasive)	2.3 h	$2.3\pm0.5h$	Neonates with inflammation and CA	Impairment of CA measured with OI worsened with lower MABP
Wong [37] (2012)	Preterm $n = 32$	26.3 ± 1.5	NIRO 200	MABP (invasive)	57.0 ± 5.9 h	12 ± 5.8 h	Relationship between cerebral autoregulatory capacity and blood pressure	Sick infants exhibited blood pressure-dependent variations in crSO2
Alderliesten [41] (2013)	Preterm $n = 90$	27.9 (26.2–30.0) (with IVH, <i>n</i> = 30) 27.5 (25.4–31.0) (without IVH, <i>n</i> = 60)	INVOS 4100–5100	MABP (invasive)	24 h after IVH	21.0 h	Association between CA and IVH	IVH infants exhibited increased crSO2, decreased cFTOE, and passive brain perfusion indicated by MABP-crSO2 correlation
Kooi [39] (2013)	Preterm $n = 14$	27.6 (25.0–28.7)	INVOS 5100C	MABP (invasive)	1 h after volume therapy	16.8 h	Effect of volume therapy in hypotensive neonates	Volume did not improve cFTOE in preterm neonates
Eriksen [40] (2014)	Preterm $n = 60$	26.2 ± 1.5 (dopamine, <i>n</i> = 13) 26.7 ± 1.2 (no dopamine, <i>n</i> = 47)	NIRO 300	MABP (invasive)	$2.3\pm0.5\mathrm{h}$	18 ± 9.4 h	Effect of dopamine therapy in terms of CA	Dopamine therapy was associated with decreased CA

Author **Arterial Blood** Main Results NIRS Gestational Age, (Reference) (Year) Pressure Duration Initiation **Concerning BP and** Neonates, n Study Aim Weeks Device of Publication Evaluation NIRS BIAR COH (a specific Riera time-frequency analysis Preterm To identify impaired [27] 27 ± 2 NIRO 200NX MABP (invasive) 9.5 h <24.0 h consisting of MABP and n = 54hemodynamics (2014)TOI) identified cerebral hypoperfusion There were no significant 33.4 ± 1.9 Binder-Heschl MABP differences in mean 24-h CA during (hypotensive, n = 17) Preterm [55] INVOS 5100 (oscillometric and 24 h <6.0 h crSO2 and cFTOE n = 46 33.3 ± 1.3 hypotension (2015)invasive) and echo between hypotensive and (normotensive, n = 29) normotensive neonates Term 39.9 (37.0-40.2) 7.0–11.0 h Feasibility of NIRS Demel Measurements of crSO2 n = 7, (term, n = 7)MABP term [49] Oxiplex TS 3.1 72 h and Doppler using frequency domain Preterm 34.0 (32.2-35.6) (oscillometric) 1.5–2.0 h NIRS was feasible (2015)sonography n = 16(preterm, n = 16) preterm Time domain analysis Eriksen Comparison of two Preterm using TOI and MABP [26] NIRO 300 conventional methods 27 ± 1 MABP (invasive) $2.3 \pm 0.5 \, h$ 18.0 ± 9.4 h n = 60appeared more robust in (2015)used to describe CA describing CA Stammwitz Higher variability of TOI Preterm Association between was associated with IVH [33] 27.3 (26.0-32.0) Critikon MABP (invasive) 68–76 h <6.0 h n = 31CA and outcome (2016)and death Evaluation of the In extreme preterm Vesoulis interaction between neonates, MABP and Preterm [25] 25.5 ± 1.3 Foresight MABP (invasive) 72 h $17.8 \pm 9.7 \, h$ BP, changes in oxygen cFTOE showed a positive n = 68extraction, and correlation, indicating (2017)maturity immature autoregulation Da Costa Optimal MABP gained by To define optimal Preterm TOI and HR identified [34] 25.0 (23.0-27.0) NIRO 200NX MABP (invasive) 24 h 3.1–12.6 h MABP using NIRS n = 44(2018)risk patients

Table 2. Cont.

Table 2. Cont.

Author (Reference) (Year) of Publication	Neonates, n	Gestational Age, Weeks	NIRS Device	Arterial Blood Pressure Evaluation	Duration	Initiation	Study Aim	Main Results Concerning BP and NIRS
Pichler [53] (2018)	Preterm $n = 98$	33.1 (32.0–34.0) (with NIRS, <i>n</i> = 49) 33.4 (32.3–34.3) (without NIRS, <i>n</i> = 49)	NIRO 200NX	MABP (oscillometer and invasive)	48 h	2.0 (1.5–3.5) h (with NIRS) 2.5 (2.0–4.0) h (without NIRS)	Reduction of hypotensive episodes by using NIRS	cTOI measurements led to a non-significant reduction in arterial hypotension
Da Costa [35] (2019)	Preterm $n = 43$	25.7 (23.6–31.0)	NIRO 200NX	MABP (invasive) and echo	48 h	6.0 h	Association of MABP and IVH in preterm neonates	crSO2 was lower in neonates with IVH before and during the event
Bruckner [52] (2020)	Term n = 13, preterm n = 47	34.0 (33.0–35.0) (whole cohort)	INVOS 5100	MABP (oscillometric and invasive) and echo	24 h	4.0–6.0 h	Association between cardiac function and crSO2	In stable term and preterm neonates, crSO2 and cFTOE did not correlate with CO
Chock [38] (2020)	Preterm $n = 103$	26.2 ± 1.7	INVOS 5100C	MABP (invasive)	96 h	8.0–21.0 h	Association between CA and outcome	MABP and crSO2 correlated in neonates with adverse outcome

BP, blood pressure; CBF, cerebral blood flow; CA, cerebral autoregulation; cFTOE, cerebral fractional tissue oxygen extraction; CO, cardiac output; crSO2, cerebral oxygen saturation; cTOI, cerebral tissue oxygenation index; EEG, electroencephalogram; HbD, deoxygenated hemoglobin; HR, heart rate; IVH, intraventricular hemorrhage; LBW, low birth weight; MABP, mean arterial blood pressure; NIRS, near-infrared spectroscopy; OI, oxygenation index; PVL, periventricular leukomalacia.

Table 3. Cerebral tissue oxygenation measurement in combination with blood pressure measurement after 24 h up to 1 week after birth.

Author (Reference) (Year) of Publication	Neonates, n	Gestational Age, Weeks	NIRS Device	Arterial Blood Pressure Evaluation	Duration	Initiation	Study Aim	Main Results Concerning BP and NIRS
Tsuji [23] (2000)	Preterm $n = 32$	26 (23.0–31.0)	NIRO 500	MABP (invasive)	30 min	<72 h	Association between CA and outcome	Concordant changes in HbD and MABP suggest impaired cerebrovascular function
Wong [42] (2008)	Preterm $n = 24$	26 ± 2	NIRO 300	MABP (invasive)	3 h	28 h	Association between CA and outcome	High coherence between MABP and cTOI indicates impaired CA in sick preterm neonates

Author **Arterial Blood** Gestational Age, NIRS Main Results Concerning (Reference) (Year) Pressure Duration Initiation Study Aim Neonates, n **BP** and **NIRS** Weeks Device of Publication Evaluation To assess whether cTOI cTOI and HbD showed De Smet Term and NIRO MABP (invasive) <72 h may replace HbD for similar results; both may be [48]preterm 28.7 (24.0-39.0) 1.5–23.5 h 300 (2009)n = 20measuring CA used for calculating CA cTOI, crSO2, and HbD To assess whether cTOI Caicedo Preterm **INVOS 4100** showed similar results: all [43] 29 ± 2 MABP (invasive) 6-70 h 24–72 h and crSO2 may replace n = 53and NIRO 300 three may be used for (2011)HbD for measuring CA calculating CA Neonates with IVH showed Zhang NIRO Association between CA higher TOI, lower cFTOE, Preterm [44]26.4 (24.0-29.0) MABP (invasive) 72 h 24–72 h n = 17300 and outcome and reduced coherence (2011)between MABP and HbD cTOI and HR, reflecting Association between Mitra cerebrovascular reactivity, Preterm cardio-circulatory [45] NIRO 200NX MABP (invasive) 2 h 48 h 26.1 (23.7-32.6) n = 31values and CBF in sick showed a correlation with (2014)preterm neonates MABP Negative correlation Verhagen Preterm INVOS Association between 29.1 (25.4-31.7) MABP (invasive) 24 h <72 h between MABP and cFTOE [46]n = 254100-5100 clinical variables and CA (2014)suggests the absence of CA Traub To determine whether Preterm Neonates maintain intact CA [47] 26.5 (23.0-33.2) Foresight MABP (invasive) 24 h 88.8 h NIRS helps to identify n = 17within normal MABP ranges (2021)neonates at risk

CA, cerebral autoregulation; CBF, cerebral blood flow; cFTOE, cerebral fractional tissue oxygen extraction; crSO2, cerebral oxygen saturation; cTOI, cerebral tissue oxygenation index; HbD, deoxygenated hemoglobin; HR, heart rate; IVH, intraventricular hemorrhage; MABP, mean arterial blood pressure; NIRS, near-infrared spectroscopy.

Table 3. Cont.

Two studies described the association of blood pressure values and cerebral NIRS during immediate transition in preterm and in term neonates [22,50] (Table 1). These studies showed intact cerebral autoregulation in term neonates and impaired cerebral autoregulation in moderate and late preterm neonates receiving respiratory support with significant associations between crSO2/cFTOE and MABP.

Twenty-six studies were conducted during the first 24 h [21,24–41,49,51–56] (Table 2). Eleven studies investigated physiological changes of blood pressure and cerebral tissue oxygenation [24–30,49,51,52,56]. A further six studies combined cerebral NIRS monitoring with blood pressure measurement to investigate cerebral autoregulation in stable and sick neonates [21,32,34,37,53,55]. Stable preterm neonates experienced reduced cerebral tissue oxygenation, perfusion, and cardiac output after birth, followed by an increase of all three parameters; however, cerebral autoregulation remained intact [28,29,49,51,52,56]. Besides, sick preterm neonates suffering from respiratory distress syndrome (RDS), hypotension, or sepsis had a higher prevalence of impaired cerebral autoregulation [21,25,32,34,37,53,55]. Another four studies focused on the treatment of hypotension and cerebral autoregulation [31,39,40,54]. They showed that cardio-circulatory treatment had a limited effect on cerebral autoregulation. The influence of impaired autoregulation on intraventricular hemorrhage (IVH), death, or abnormal neurodevelopmental outcome was demonstrated by five studies [33,35,36,38,41]

Eight studies were conducted 24 h after birth [23,42–48] (Table 3). Three studies examined the physiological changes in blood pressure, cerebral tissue oxygenation, and cerebral autoregulation [46–48], and a further two studies [43,45] investigated cerebral autoregulation in stable and sick neonates by combining cerebral tissue oxygenation with blood pressure measurement. Maintaining mean arterial blood pressure (MABP) within normal ranges reduces the duration of impaired cerebral autoregulation [46]. However, even clinically unremarkable preterm neonates below 32 weeks of gestational age still experience episodes of impaired cerebral autoregulation [47]. Risk factors for impaired cerebral autoregulation include a higher CRIB II Score [43,45]. The remaining three studies showed that impaired cerebral autoregulation increased the risk of IVH and abnormal neurodevelopmental outcomes [23,42,44].

4. Discussion

4.1. Immediate Transition

Studies within the immediate transition period analyzing blood pressure measurements in combination with cerebral tissue oxygenation measurements were scarce. There were only two observational studies available [22,50]. Baik et al. described that there is no correlation of cerebral oxygen saturation (crSO2) and cerebral fractional tissue oxygen extraction (cFTOE) with MABP in term neonates, suggesting intact cerebral autoregulation. These findings were in line with findings in animals by Helou et al. [48]. However, in moderate and late preterm neonates, Baik et al. [50] showed a significant correlation between cFTOE and MABP, whereas crSO2 and MABP did not correlate. Pfurtscheller et al. [22] showed in more detail that, only in moderate and late preterm neonates receiving respiratory support, both crSO2 and cFTOE were associated with MABP, indicating an impaired cerebral autoregulation in those compromised neonates.

4.2. First Day after Birth

Twenty-six studies were conducted during the first day after birth [21,24–41,49,51–56]. Of these, three studies described different mathematical and technical approaches to assess cerebral autoregulation [26,27,30]. Eight studies analyzed physiological changes of blood pressure and cerebral tissue oxygenation [24,25,28–30,49,51,56]. Naulaers et al. [56] demonstrated that, in preterm neonates, blood pressure, as well as the crSO2 values increased over the first three days. These findings in blood pressure were in line with normative blood pressure studies, which demonstrated an increase in MABP with an increase of postnatal age in days and with an increase in gestational age [16,57]. Concerning cerebral tissue

oxygenation, Takami et al. [51] described a reduction after birth in stable preterm neonates in addition to a reduction in perfusion and cardiac output. These results seem to contradict their findings for blood pressure, since blood pressure did not correlate with cFTOE and crSO2, which suggests intact cerebral autoregulation. A further two studies were in line with the latter findings and showed that preterm neonates presenting a combination of low cardiac output and a normal systemic blood pressure were able to maintain cerebral and peripheral perfusion within normal ranges [28,29]. In contrast to those mentioned studies demonstrating intact cerebral autoregulation in preterm neonates [28,29,49,52], Gilmour et al. [24] demonstrated that preterm neonates could have episodes of impaired cerebral autoregulation in association with low arterial blood pressure. Their findings may be explained with the heterogeneity of their cohort, including stable and sick neonates. Bearing this in mind, Vesloulis et al. [25] showed that sick extremely low gestational age preterm neonates with a mean gestational age of 24 weeks had an autoregulatory immaturity, which led to a decrease in oxygen extraction with low blood pressure values.

Taking the above-mentioned studies into consideration, it seems that preterm neonates are able to have an intact cerebral autoregulation, but may lose this ability due to different risk factors. Six studies identified such risk factors for impaired cerebral autoregulation with blood pressure and cerebral NIRS measurements [21,32,34,37,53,55]. Hahn et al. [32] showed that inflammation in preterm neonates moderately influenced cerebral autoregulation in the first day after birth. However, it was unclear whether the impaired cerebral autoregulation was due to inflammation itself or due to arterial hypotension that exceeded cerebral autoregulatory capacity, caused by inflammation. These findings were in line with data coming from animal studies [58,59]. Furthermore, Lemmers et al. [21] demonstrated that neonates with RDS more frequently suffered from impaired cerebral autoregulation compared to neonates without RDS. These findings are supported by a non-NIRS study [60] demonstrating that CBF, measured by using the 133Xe clearance technique, varied with blood pressure, also suggesting an impaired cerebral autoregulation in preterm neonates with RDS. In addition to the previously mentioned risk factors for impaired cerebral autoregulation, birth weight and Clinical Risk Index for Babies (CRIB) Score were demonstrated to be risk factors as well, due to the influence on blood pressure variability, which exceeded cerebral autoregulatory capacity and led to fluctuations in cerebral tissue oxygenation [37]. Similar results concerning birth weight were shown by Baik et al. [61] by comparing cerebral NIRS data of intrauterine-growth-restricted (IUGR) neonates with appropriate for gestational-age neonates showing significantly higher crSO2 values and significantly lower cFTOE values in IUGR neonates during immediate transition. A further cause for impaired cerebral autoregulation is hypotension below the autoregulatory capacity [14]. This hazardous hypotension is commonly defined by MABP being below gestational age in NICU [62]. However, Binder et al. [55] demonstrated that, during borderline hypotension, cerebral autoregulation in preterm neonates was maintained within the first 24 h. These findings were in line with Dempsy's multicenter HIP trial [13], where hypotensive preterm neonates with clinical evidence of good perfusion had equal cranial ultrasound outcomes as normotensive neonates, whereas neonates treated for low blood pressure were associated with adverse outcomes.

The treatment of arterial hypotension and its influence on cerebral autoregulation was the focus of four studies [31,39,40,54]. Bonestroo et al. [31] demonstrated in his study that any kind of hypotensive treatment did not cause a significant change in crSO2 and cFTOE. This is in line with Kooi et al. [39], who showed that cFTOE did not improve with volume expansion in hypotensive preterm neonates. On top of that, dopamine therapy was even associated with a decreased cerebral autoregulation in preterm neonates [40,54], and epinephrin or dopamine increased cerebral blood flow in sick preterm neonates.

Cerebral autoregulation assessment by blood pressure and cerebral tissue oxygenation measurements and the impact on outcome (intraventricular hemorrhage (IVH), death, or abnormal neurodevelopmental outcome) were addressed in five observational studies [33,35,36,38,41]. These studies demonstrated that impaired cerebral autoregulation

within the first 24 h increased the risk for IVH [36,41] and abnormal neurodevelopmental outcome at 16 months [33]. This was in line with Chock et al. [38], who demonstrated that impaired cerebral autoregulation was associated with an increase of cerebral hemodynamic fluctuations, which increased the risk of death and IVH. Equal findings were reported by Da Costa et al. [34,35], who described impaired cerebral autoregulation in preterm neonates with IVH prior to and during the event.

4.3. Beyond the First Day after Birth

Eight studies combining blood pressure and cerebral tissue oxygenation measurements were conducted after 24 h up to 1 week after birth. [23,42–48]. One study showed different approaches to calculate cerebral autoregulation [48]. Two studies showed that, on the one side, with MABP maintained within normal ranges, the time with impaired cerebral autoregulation was reduced. On the other side, 40% of clinically unremarkable preterm neonates with a gestational age below 32 weeks showed episodes of impaired cerebral autoregulation within the first 72 h of life [46,47]. These findings are in accordance with observations during the first day after birth [24].

Two studies [43,45] assessed risk factors for impaired cerebral autoregulation, whereby the first showed that an increase in the CRIB II Score was associated with an impaired cerebral autoregulation [45]. This finding is again consistent with observations during the first day after birth [37]. The second study [43] demonstrated that investigating cerebral vascular reactivity with cerebral tissue oxygenation and heart rate measurements helped to identify neonates at risk. In this study, blood pressure and cerebral tissue oxygenation measurements showed no correlation, due to the described technical limitations.

Three studies investigated the influence of impaired cerebral autoregulation on IVH, death, or abnormal neurodevelopmental outcome with cerebral tissue oxygenation and blood pressure measurements [23,42,44]. The three studies [23,42,44] demonstrated similar results compared to studies that were performed during the first day after birth [33,35,36,38,41]. They concluded that the time with impaired cerebral autoregulation is associated with IVH, death, or abnormal neurodevelopmental outcome.

4.4. Limitations

First, one of the main limitations is the small cohorts in most of the included studies. The study populations were heterogeneous, in particular regarding the presence of risk factors. Thereby, most of the studies demonstrated that blood pressure measurement in combination with cerebral tissue oxygenation monitoring is a feasible method for bedside monitoring of cerebral autoregulation.

Second, studies were performed using different blood pressure measurement methods (invasive and non-invasive) and different NIRS devices.

Third, different methods were used to measure cerebral autoregulation, and different thresholds were set for defining impaired autoregulation.

5. Conclusions

Interpreting arterial blood pressure measurements and making therapeutic decisions can be challenging in clinical practice. The use of cerebral tissue oxygenation provides a promising approach for establishing blood pressure targets that preserve cerebral autoregulation and prevent pressure-passive cerebral perfusion. Integrating blood pressure monitoring with cerebral tissue oxygenation measurements provides the potential to identify more effectively interventions for improving neurodevelopmental outcomes in high-risk patients. This approach has significant implications for enhancing clinical practice and ultimately improving patient outcomes.

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