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# Scratch Resistance and Damage Mechanisms Arising in Titanium Carbide–Nickel Aluminide-Based Laser DED Clads on D2 Tool Steel

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Abstract: TiC-based cermet clads were applied onto high-Cr-containing, cold work D2 tool steel substrates through laser-directed energy deposition (L-DED). A novel suspensionbased preplacement method was used to apply the feedstock prior to laser cladding. The preplaced material was then subjected to laser processing using various laser powers (200 to 350 W) and scanning speeds (58 to 116 mm/min.), resulting in the fabrication of high-density clads on the substrates. Hardness profiles were generated by cross-sectional micro-indentation of the clad layers. Micro-Vickers hardness (HV) values of the cermet clads were measured from load-displacement curves under a range of applied normal forces, which are in the range of 265.7 to 890.3 HV. As a preliminary assessment of the wear response, a variety of single-pass scratch testing approaches were undertaken. A qualitative evaluation of 'interface' mechanics between the 'clad' and substrate material was also performed by cross-sectional scratching of the clads; as a chemical clad is developed, this effectively is assessing the transitions through the clad microstructure. Failure modes and damage mechanism were examined at different processing parameters by means of acoustic emission (AE) and coefficient of friction (COF) measurements, together with assessment of the post-test microstructures. The scratch hardness  $(H_{SD})$  of the cermet clads varied within the range of 4.88 to 7.58 GPa, as a function of applied normal force (ranged within 10–40 N), which was considerably higher than the D2 substrate ( $H_{Sp}$  = 3 GPa).

Keywords: laser cladding; cermets; wear behaviour; tool steels; scratch hardness

# 1. Introduction

Ultra-high-strength steels, which are utilised in numerous applications in everyday life, are highly sensitive to damage caused by fatigue [1], wear [2], and stress corrosion cracking [3]. These types of damage, in applications with geometrically optimised components, are usually followed by costly replacement of the parts. Consequently, discovering preventive measures and feasible in situ repair strategies have been attractive scientific and industrial pursuits for many years, especially focusing on laser cladding [4–6] or direct ceramic particle injection into a surface melt zone [7]. Enhancement of the functional properties of steel surface by application of ceramic composite coatings has been found to be an effective technique, again using laser cladding [8,9], plasma electrolytic oxidation [10], or high-velocity oxyfuel thermal spray [11]. Ceramic particles invariably have much higher elastic modulus abrasion resistance in comparison to the majority of metals and typically maintain their mechanical integrity at elevated temperatures. A variety of deposition techniques have been previously reported, such as chemical and physical vapor deposition



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Copyright: © 2025 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/ licenses/by/4.0/). (CVD and PVD), plasma-based implantation, sputtering, pulsed electrode surfacing and deposition, boriding, carburisation, laser deposition, etc. However, these techniques are often expensive, require complicated procedures, long fabrication times, and often lead to fairly thin coatings. Table 1 outlines some examples of high-performance ceramic-based coatings/clads on metal substrates, their associated processing routes, and their measured hardness values and thicknesses, as reported in the open literature [12–21].

**Table 1.** Hardness of some advanced composite coatings/clads and their related processing routes reported in the literature. Bulk TiC/Ni and TiC/Ni<sub>3</sub>Al cermets are also shown for comparison.

Composition	Processing Technique	Hardness	Thickness (µm)	Source
Cr <sub>3</sub> C <sub>2</sub> /25% Ni–Cr	High-velocity oxyfuel (HVOF), direct diode laser	HV 1032		[12]
ZrB <sub>2</sub> –ZrC/Ni	Self-propagating high-temperature synthesis (SHS), atmospheric plasma spraying (APS)	HV <sub>0.1</sub> 525.02 (±96.08)	~200 to 300	[13]
Al <sub>2</sub> O <sub>3</sub>	Gas tunnel-type plasma jet	HV 1200	~100 to 150	[14]
WC–Cr <sub>3</sub> C <sub>2</sub> /Ni and WC/Ni	HVOF	$HV_{0.3}$ 1188 and 1105	320 to 370	[15]
Ni/ZrO <sub>2</sub>	Electroplating	$\rm HV_{25}$ 260 to 600	Not given	[16]
Ti/TiC	Laser melting (LM)	HV 700	100 to 250 before HAZ	[17]
Ti/SiC	Laser deposition (LD)	HV 647.5	~150 hard layer (HAZ to 400)	[18]
Graphene oxide/TiC	Powder-fed laser deposition (PFLD)	HV <sub>0.1</sub> 250–350	432 per layer (10 layers)	[19]
TiC/20 wt.% Ni (bulk)	Sintering	HV 219 to 1295	Not applicable	[20]
TiC/20 vol.% Ni <sub>3</sub> Al (bulk)	Vacuum sintering	HV <sub>5</sub> 1260	Not applicable	[21]

In particular, laser 'hardening' has been explored by a number of researchers for modification of the surface properties on hard steels. Increased wear and hardness have been reported when the surface was subjected to a high-energy laser, where high heating/cooling rates and quenching of the substrate resulted in the formation of a martensitic structure [22,23]. Laser cladding has been applied to rebuild material following removal of the damaged zone through grinding. This allows restoration of both the original dimensions and mechanical properties of the component, with particular attention to the fatigue life that can qualify for the minimum safety level. Laser cladding thus offers in situ repair of high-value components such as turbine blades, speciality tools, gas turbine parts, and internal combustion engine components [4,24].

With this in mind, the present study investigates the use of laser-based cladding for applying TiC-Ni<sub>3</sub>Al-based clads onto D2 cold worked tool steel substrate. The TiC-Ni<sub>3</sub>Al system is particularly interesting for such applications, with both TiC-Ni<sub>3</sub>Al bulk materials [21,25,26] and thermal spray coatings [11] previously having been shown to outperform WC-Co and WC-CoCr in terms of their corrosion resistance [11,24] and hightemperature strength [25], while exhibiting comparable wear behaviour at less than half the mass for an equivalent volume of material [11,21]. To the best of the authors' knowledge, to date, there have not been any substantial laser cladding studies based on the TiC-Ni<sub>3</sub>Al system, with only HVOF- [11,27] and cold-compaction-based [28] powder metallurgy coatings being evaluated. A novel preplacement approach is developed, based on the use of an aqueous suspension of TiC-NixAly-Ni and sodium alginate as an in situ gelation aid, to facilitate a robust powder coating prior to laser cladding. Using this dip-coating approach allows moderately complex-shaped components to be coated with the feedstock material, which is much more challenging to achieve with dry-powder preplacement. Furthermore, an important benefit of utilising suspension-based preplacement is that the effective powder solid loading in the suspension is significantly greater (i.e., 50 vol.% solids) than when using dry powder (typically 3 to 5 times higher when using micronsized feedstock powders). The present work subsequently investigates the effects of laser cladding parameters on the microstructures and micro-mechanical/tribological behaviour of the clad D2 substrates. The importance of tribological characterisation for 3D printed metals has been highlighted in a recent review [29]. In the present case, this is achieved through scratch testing both on the clad surface and through the clad region.

# 2. Experimental Procedures

# 2.1. Materials

The present TiC-Ni<sub>3</sub>Al cermet formulations were prepared by mixing TiC powders and the constituent precursors to form the intermetallic Ni<sub>3</sub>Al binder phase through a reaction sintering approach; in this instance, a mixture of Ni<sub>x</sub>Al<sub>y</sub> and Ni was employed. The final Ni<sub>3</sub>Al content in the mixtures was targeted from 30 to 50 vol% of the overall cermet composition; however, it is anticipated that there will be some dilution during laser processing. The TiC powder (lot no. PL20126240; with a manufacturer specified particle size of 1.0–2.0 µm) used in this work was procured from Pacific Particulate Materials Ltd. (Vancouver, BC, Canada), while both the Ni powder (lot no. L10W013; with a manufacturerreported particle size of 2.2–3.0  $\mu$ m) and Ni<sub>x</sub>Al<sub>v</sub> powder (lot nos. D28  $\times$  029 and G19X071, respectively, where each lot was noted with a manufacturer-specified  $D_{50} = 32 \ \mu m$ ) were purchased from Alfa Aesar (Ward Hill, MA, USA); it should be noted that the  $Ni_xAl_y$ powder exhibited a 1:1 weight ratio between Ni and Al. After weighing the starting materials, each examined cermet composition was ball milled for 24 h with yttria-stabilised zirconia milling media in acetone, followed by a 24 h drying period. The dried powders were subsequently sieved through a  $-75 \,\mu\text{m}$  stainless steel mesh screen, to eliminate any potential hard agglomerates. The laser clads were fabricated onto annealed and precisionground D2 tool steel plates supplied from McMaster-Carr (Douglasville, GA, USA). The substrate specimens were sectioned into a final geometry of 75  $\times$  50  $\times$  5 mm and were subjected to abrasive grit blasting with a 300 µm zirconia shot prior to preplacement of the cermet feed material, as follows.

Stable, aqueous cermet suspensions with 50 wt.% solid loading were then developed from a solution of 1.6 wt.% sodium alginate and distilled water, with 0.1 vol.% Darvan<sup>®</sup> C-N (Vanderbilt Minerals LLC, Norwalk, CT, USA) added as a dispersant. The suspension pH was then adjusted to a value of 8.5 using a solution of NaOH; it has previously been shown that this pH/dispersant combination results in low-viscosity, high-solid-content TiC suspensions [30]. The cermet/alginate suspension was subsequently homogenised on a magnetic stir plate for an average of 3 h at 385 rpm and then vacuum-degassed. An 'in-house' dip-coating apparatus, with a linear driven stage in the vertical direction, was designed and employed for preplacement of feed material on the substrate by a simple dip-coating approach. The part to be dip-coated is then lowered into the stabilised suspension. Precise control of withdrawal speeds at 0.05 mm/s (at an angle of  $90^{\circ}$  to the suspension surface) was obtained via a control board interfaced to an Arduino controller (Arduino AG, Ivrea, Italy). The D2 tool steel coupons were immersed and withdrawn from the coating suspension, with the long dimension of the coupon oriented parallel to the direction of withdrawal. After dip-coating, the substrates were dried at 20  $\pm$  3 °C for 24 h prior to laser cladding. This process gave rise to adhered powder coatings that were roughly 200 to 250  $\mu$ m in thickness. Figure 1 shows an example of a coated coupon prior to L-DED cladding. The chemical compositions of the coating feedstock mixtures and D2 steel substrate are provided in Tables 2 and 3, respectively.



**Figure 1.** Examples of the D2 tool steel coupons after dip-coating but prior to subsequent L-DED cladding.

Table 2. Nominal initial chemical compositions of the examined cla	lad formulations	prior to l	aser heating
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Clad Component Compositions	Co	%	
Clad Component Compositions –	TiC	NiAl	Ni
TiC-30 vol.% Ni <sub>3</sub> Al	60.23	5.26	14.53
TiC-40 vol.% Ni <sub>3</sub> Al	51.62	13.91	38.44
TiC-50 vol.% Ni <sub>3</sub> Al	43.02	17.39	48.05

Table 3. Standard chemical composition of the D2 substrates used in the present work.

	С	Cr	Mo	V	Mn	Si	Fe
AISI D2 Tool Steel	1.55	12	0.8	0.9	0.35	0.25	Balance

# 2.2. Laser Cladding

Following dip-coating and drying, the preplaced cermet coatings were laser-clad onto D2 substrates using an Optomec MTS 500-CA LENS system equipped with a 1 kW ytterbium-doped fibre laser (IPG Photonics;  $\lambda = 1070$  nm), integrated with a five-axis CNC vertical machining centre to control the velocity of the workpiece. The segmented 3D model of targeted clad dimension was generated via Mastercam Mill 2019 software, coupled with the available L-DED system. A laser spot size diameter (*D*) of ~780 µm was achieved at a standoff distance set to 7.9 mm, under an argon atmosphere with oxygen content < 10 ppm. The effect of test parameters was assessed under initial applied values of laser power (*P*) of 200, 250, 300, and 350 W. Similarly, the scanning speed (*V*) was varied between 58 and 116 mm/min. for each of the evaluated laser powers. The outlined parameters were derived based on preliminary studies of a slightly wider parameter range, with conditions outside of these ranges showing either poor clad generation or excess dilution. A hatch spacing of 500 µm was used for all laser clad trials. The laser beam moved above the preplaced, suspension-coated substrate at pre-determined interaction times ( $\tau$ ), in seconds, as defined in Equation (1) [31]:

$$\tau = \frac{D}{V} \tag{1}$$

#### 2.3. Microstructural Characterisation

Following laser processing, the clad samples were sectioned perpendicular to their planar surface, using a precision saw, and were then mounted prior to grinding/polishing down to a 0.25 µm final diamond paste particle size. The general appearance of the clads and three-dimensional measurements of the surface were performed on both the as-printed and mechanically tested specimens using 3D confocal laser scanning microscope (CLSM; VK-X200/X210, Keyence, Mississauga, ON, Canada). Microstructural characterisation was conducted using optical microscopy (OM; Olympus BX-51, Olympus Corp., Tokyo, Japan) and field emission scanning electron microscopy (FE-SEM; Model S-4700, Hitachi High Technologies, Tokyo, Japan). Compositional analysis was performed in the FE-SEM using energy dispersive X-ray spectroscopy (EDS; Inca X-maxN, Oxford Instruments, Concord, MA, USA). Moreover, EDS analysis was used to analyse the clad zone in order to observe and report the dilution, instead of using a more conventional method that measures the dilution simply by clad dimensional characteristics [32,33]. FE-SEM imaging, in conjunction with CLSM observations, was performed on multiple clad layers for verification of the clad dimensions and their related surface roughness. Additionally, electron backscattered diffraction (EBSD) was utilised in the FE-SEM for a more complete understanding of the represented phases, grain morphology, and crystallographic texture of clad cross-sections. Initially, the sample surface was ground through a series of silicon carbide (SiC) abrasive papers, following 320, 400, 600, 800, and finally 1200 grit sizes. This was followed by polishing through 15  $\mu$ m, 3  $\mu$ m, and 1  $\mu$ m diamond suspensions, and finally a 0.05  $\mu$ m colloidal SiO<sub>2</sub> step; this overall process took approximately 1.5 h. EBSD analysis was later performed using the same Hitachi FE-SEM, operated with a Nordlys Oxford Instruments EBSD detector. The sample was tilted to an angle of  $70^{\circ}$  relative to the original orientation (i.e., perpendicular to the electron beam axis) to ensure optimal data collection by the detector. A step size of 1.5 µm was used during EBSD analysis to index the sample. After EBSD data acquisition, the EBSD map was refined by extrapolating wild spikes. Subsequently, a moderate level of zero solution extrapolation was applied to obtain the final EBSD compositional map.

Laser processing parameters are often simplified into a singular energy input for a given build volume. The magnitude of energy output within a specified unit of length value of energy density, *ED*, is calculated based on volumetric energy density [34], derived from those utilised in laser powder bed fabrication (Equation (2)):

$$ED = \frac{P}{VHZ}$$
(2)

where P is the laser power and V is the laser traverse rate (as before), H is the line hatch spacing of adjacent laser raster tracks, and Z is the layer thickness (z-step size). In the present case, the determined ED value is used to compare clads fabricated with different build parameters but potentially similar ED values. Microstructural parameters that are determined from the clad cross-sections are shown schematically in Figure 2.

X-ray diffraction (XRD) was also performed on both dip-coated D2 surfaces, prior to laser heating, and clad surfaces after laser heating, using a Bruker D9 Advance XRD system (Bruker AXS, Madison, WI, USA). In the second instance, the surface was lightly polished to ensure it was flat for XRD.



**Figure 2.** Microstructural representation of the clad dimensions obtained from low-magnification SEM imaging on polished clad/substrate cross-sections. Note that the *x*-axis in the lower right-hand corner denotes the direction of the laser scan.

#### 2.4. Mechanical Testing

#### 2.4.1. Micro-Vickers Indentation

Vickers hardness analysis was conducted based on ASTM E2546 [35] using an instrumented indentation system (Nanovea<sup>®</sup> PB1000, Nanovea Inc., Irvine, CA, USA). Indentations were performed by application of a linearly increasing normal load to a fixed final load, prior to unloading to the initial position. The examined operating conditions for the Vickers indentation experiments are listed in Table 4. Indentation tests were performed on cross-sections of the clad specimens, where the clad/substrate interfaces were imbedded perpendicularly in a Bakelite resin disc; both ends of the clad sample were exposed on either side of the Bakelite block, and both faces were subjected to sequential polishing to achieve an average surface roughness of  $R_a \leq 10$  nm prior to the indentation procedure. Indentations were then performed in three regions relative to the clad structure: top, intermediate, and near the clad/substrate (zones 1, 2, and 3, respectively, as shown schematically in Figure 2), where each is spaced relative to the previous indent at a separation distance determined by multiplying the generated indentation depth by 10.

**Table 4.** Micro-Vickers indentation parameters applied for the testing of TiC-Ni<sub>3</sub>Al-based L-DED clads on D2 tool steel.

Indenter Poisson ratio		0.7	
Indenter elastic modulus (GPa)		1140	
Sample Poisson ratio		0.238	
Applied force	1 N	3 N	5 N
Loading/unloading rate (µm/s)	15	30	70

The indentation hardness (*H*) was subsequently determined from the recorded load–displacement curves, and then verified through diagonal measurement in the SEM. Two specimens were tested from each cladding category, with five separate indentations at each load for defined regions: top, intermediate, clad/substrate interface; these are subsequently referred to as zones 1, 2, and 3, respectively. Indentation loads of 1, 3, and

5 N applied normal force were used in each case. Microstructural observations were subsequently performed on the indented surfaces to observe plastic deformation and potential crack formation.

#### 2.4.2. Scratch Test Procedure

Micro-scratch-hardness tests were performed using a Universal Micro Tribometer (UMT-1; CETR, Campbell, CA, USA), using a diamond stylus with apex angle of  $120 \pm 5^{\circ}$ , and a nominal tip radius of 200 µm. The test was conducted with reference to the standards ASTM G171-03 [36] and ASTM C1624-05 [37]. A schematic representation of the experimental setup to assess the scratch properties of the clads is presented in Figure 3. Measuring the scratch width, one can define the sample scratch hardness [38], as follows (Equation (3)):

$$H_{Sp} = \frac{k * F_z}{W^2} \tag{3}$$

where *k* is a constant,  $F_z$  is the normal force on the stylus in grams, and *W* is the scratch width (in µm); this equation then provides the scratch hardness number (in GPa). For scratch testing, the coefficient of friction (COF) is obtained dynamically throughout the test. In addition to evaluating the COF vs. time response, the presented data also show examples of the mean COF values, which are obtained by averaging over the stable friction region.



**Figure 3.** (a) A schematic representation of the scratch testing procedure, indicating the path of the stylus displacement with respect to the direction of the laser scan during cladding. (b) The relative orientations of the analysed scratch regions, demonstrating the direction of the generated scratches in relation to the direction of the laser scan during cladding: x—parallel, y—perpendicular, and z—normal to the laser scan.

The scratch hardness of a material was determined by producing a 5 mm long scratch on the sample surface under a series of constant loads (5, 10, 20, 30, and 40 N), which were applied in three different directions (x, y, and z) with respect to the laser scanning path for the cermet-based clads. Cross-sectional scratches were utilised, which are generally performed to examine the adhesive characteristics of brittle coating systems [38,39]; this procedure was conducted in the present work in order to observe the variation in scratch resistance within the respective cross-sectional clad zones, while also highlighting if any brittle failure arose within the ceramic-rich surface zone of the clads. The width of the scratches was then determined by profiling with the CLSM for scratches generated in both directions on the clad D2 substrates (with the procedure outlined in Figure 3). A schematic diagram of the generated scratches, with respect to their orientations on the clad specimen, is provided in Figure 3b. The frictional force ( $\mu$ ) and resultant acoustic emission (AE) signals during each scratch pass were measured continuously during the tests. Each scratch measurement was repeated at 5 locations for each sample, to ensure the reproducibility of the results. A semi-quantitative measure of coating/clad adherence, the critical load ( $L_C$ ), and the generated failure mode(s) were verified by performing a series of microstructural analyses in relation to the obtained scratch data. Subsurface cracking and other possible interlayer events detected by AE were further examined using focused

sectioning into the scratch track in order to view the sub-surface cross-sections.
Through thickness, stacked CLSM images, and associated metrology measurements, along with FE-SEM images, were used to generate an understanding of the deformation and failure mechanisms of the L-DED clad layers in relation to the processing parameters.
In this context, widths of generated scratch tracks (W) were accurately measured using CLSM for calculation of scratch hardness values. A minimum of three scratch tracks were assessed for each process condition/orientation combination examined.

ion beam microscopy (FIB; Model F-2000A, Hitachi High Technologies, Tokyo, Japan),

### 3. Results and Discussion

#### 3.1. Directed Energy Deposition Laser Cladding

The laser processing parameters explored for determining optimum laser cladding conditions are presented in relation to the resultant *ED* and surface roughness of the composite clads in Figure 4. Calculated values for *ED* obviously decreased when the laser in reaction time decreased where, in contrast (Figure 4a), higher surface roughness was observed (Figure 4b). Similar results have been reported by previous authors working on laser cladding [40,41]. AM components are often represented by the quality of their printed surfaces. Nevertheless, it is well known that laser cladding typically generates high surface roughness and often requires post-processing steps. It is worth mentioning that only sound clads, with the potential for being utilised without any post-processing steps, were included in the measurements of surface roughness values in the present work; as a consequence, the subsequent evaluation of mechanical behaviour focuses on clads obtained at 300 and 350 W laser power. It is also apparent, and later confirmed in cross-sectional imaging, that these clads show a comparatively low surface roughness (Figure 4b).

Examples of surface morphologies related to various *ED* values are shown in Figure 4c–f. Macro-characterisation of the as-processed samples, by means of CLSM measurements, revealed that successful clad layers were built within the narrow range of 300 to 350 W laser power and 0.84 to 6.77 mm/s laser scanning speed. As a consequence, only clads obtained at these two laser powers are discussed in further detail. The surface roughness of the fabricated clads was strongly dependent on the calculated *ED*, where visibly smother surfaces were observed at higher *ED* values (i.e., >150 J mm<sup>-3</sup>), reaching the lowest roughness ( $R_a = 3-3.5 \mu m$ ). At lower calculated *ED* values, the resultant clads exhibit an irregular surface, with uneven scan lines and cavities between tracks, as shown in Figure 4c.

Figure 5 demonstrates a typical clad cross-section, examined using SEM, demonstrating typical clad thickness values of 160 to 240  $\mu$ m. Figure 6 presents CLSM optical micrographs of the near-surface transverse cross-sections of fabricated clads processed at various laser powers and scanning speeds, resulting in different levels of *ED*. From these (and similar) optical images, it is possible to see that the laser power and scan speed both play a crucial role in clad formation, and clad quality/microstructure. At *V* = 6.77 mm/s and *P* = 300 W laser power, with resulting  $\tau$  = 0.5 s (shown in Figure 6a), a large volume of unmelted particles was observed. However, at a lower scanning speed, *V* = 3.38 mm/s,

presented in Figure 6b,  $\tau$  was effectively increased to 0.9 s, resulting in the formation of fully remelted and solidified microstructures that contain a minimal number of pores and defects. As shown in Figure 6c, increasing the laser power to 350 W, for the same scanning speed, effects the kinetics of melt pool generation in a positive manner, with the formation of homogeneously nucleated hard particles. Subsequently, as shown in Figure 6d, at longer beam interaction time (i.e.,  $\tau = 1.6$  s), formation of dendritic structure is observed.











Figure 4. Laser processing parameters explored for determining optimum laser cladding conditions in relation to (a) the average calculated ED (J/mm<sup>3</sup>), and (b) the measured surface roughness,  $R_{\rm a}$  (in µm). Low-magnification, plan-view optical microscopy imaging on examples of surfaces representing clads fabricated under conditions of (c) P = 300 W, ED = 96.22 J/mm<sup>3</sup>,  $\tau = 0.5$  s, (d) P = 300 W,  $ED = 213.4 \text{ J/mm}^3$ ,  $\tau = 0.9 \text{ s}$ , (e) P = 350 W,  $ED = 143.9 \text{ J/mm}^3$ ,  $\tau = 0.9 \text{ s}$ , and (f) P = 350 W, ED = 275.1 J/mm<sup>3</sup>,  $\tau = 1.4$  s.



**Figure 5.** Typical SEM cross-sectional view of a ceramic–metal L-DED clad, highlighting the thickness and uniformity.





(c)

(d)

**Figure 6.** Examples of CLSM micrographs obtained from the near-surface transverse cross-sections of fabricated clads processed at (a) P = 300 W, V = 6.77 mm/s, (b) P = 300 W, V = 3.38 mm/s, (c) P = 350 W, V = 6.77 mm/s, and (d) P = 350 W, V = 3.38 mm/s.

#### 3.2. Microstructural and Phase Analysis

Figure 7 presents SEM micrographs obtained from the polished cross-section of a laserdeposited layer (P = 350 W and  $\tau = 1.4$  s), highlighting the main clad zones (top, middle, clad/substrate interface, and the underlying heat-affected zone), in respect to the substrate and the central axis of the beam diameter. These cross-sectional SEM micrographs reveal the formation of a high-density clad layer on the surface of the substrate that is well bonded and contains no microcracks. It is also apparent that there is clear dilution of the nominal TiC-Ni<sub>3</sub>Al preplaced clad composition, as the apparent TiC content is visibly reduced; this dilution effect is discussed in greater detail below. The general microstructure throughout the clad layer exhibits a mix of columnar and equiaxed structure, which can be anticipated to form at different cooling rates. The carbide network consists of  $M_2C/M_6C$  and MC carbides in the form of both columnar and near-equiaxed grain structures, which also change in size and morphology as the distance from the substrate decreases. At the bottom region of the clad, continuation of the substrate lattice structure presents a heat-affected zone region (HAZ) that can grow into the clad. This type of microalloying can provide a complete metallurgical bond between the clad and substrate. From observations of the morphology generated for P = 350 W and  $\tau = 1.4$  s, the levels of residual porosity were reduced essentially down to zero.



**Figure 7.** SEM micrographs illustrating the variation in morphology with the TiC-based clad on D2 steel, laser-processed at P = 350 W and  $\tau = 1.4$  s. Note that the *x*-axis denotes the direction of the laser scan.

Microstructural evaluation of the clads fabricated at various laser scanning speeds revealed that any size refinement of the hard carbide phase is closely correlated with the laser scan speed. This is attributed to reduced irradiation time and the resultant energy absorbed into the melt pool. Therefore, an increased solidification rate results in the formation of a finer microstructure and minimal dendritic growth of TiC particles. Similar results have been observed by other research groups, suggesting that faster cooling rates due to higher extraction of energy by the substrate, and therefore formation of a finer microstructure, result from use of increased laser scanning speed [42–44]. The average distribution of the solidified phases and their chemical compositions within the TiC-based clad on D2 steel (P = 350 W and  $\tau = 1.4$  s), with respect to depth from surface, was verified through combined EBSD and EDS analysis; this information is provided in Figures 8 and 9. As demonstrated in Figure 8, discrete chemical phases are contained through the depth of the clad layer, observed through a phase map obtained from EBSD analysis. The EBSD phase map features three colours, specifically green, red, and yellow, which were selected during the analysis and correspond to FCC iron, TiC, and NiAl, respectively. These observations are further confirmed by X-ray diffraction, shown in Figure 10, which compares the dip-coated surface prior to laser cladding (Figure 10a) with that obtained after cladding (Figure 10b). The XRD demonstrates the retention of TiC, dilution by the D2 steel substrate while molten, and retention of NiAl (rather than formation of Ni<sub>3</sub>Al). In this instance, reaction of the elemental Ni directly with the steel is likely.



**Figure 8.** EBSD 'phase map' of the surface L-DED clad region. Note that the 'free-surface' is at the top of the image.



**Figure 9.** Elemental distribution of TiC-based (30 vol.%) L-DED clad on D2 steel (P = 350 W,  $\tau = 1.4$  s), demonstrating (**a**) EBSD analysis (left) and EDS mapping (right), and (**b**) variation in the chemical

composition through the depth of the clad layer.





**Figure 10.** X-ray diffraction traces for (**a**) the dip-coated TiC-Ni<sub>x</sub>Al<sub>y</sub>-Ni layer before laser cladding, and (**b**) the laser clad layer after light surface polishing.

Furthermore, the phase map (Figure 9) reveals a texture predominantly comprised of the green colour, which conforms to the D2 substrate on which the clad was generated. The distribution of TiC-NiAl was characterised by red and yellow colours, indicating that the clad was reasonably coherent. In this sense, no islands of TiC or Ni<sub>3</sub>Al only were observed within any particular zone of the D2 substrate (green colour). This figure shows that NiAl is homogeneously distributed in the form of equiaxial grains. The presence of NiAl highlights decomposition of the original Ni<sub>3</sub>Al components, with the extra Ni incorporated into the ferrous matrix. Furthermore, it is apparent that there is significant dilution of the pre-placed TiC-Ni<sub>3</sub>Al material, with the substrate ferrous matrix intimately mixed with the TiC. The top portion of the clad demonstrates the presence of the preplaced feed stock constituents of TiC, Ni, and Al, in addition to the aforementioned Fe content (~70 wt.%), as shown in Figure 9; this highlights the moderately high degree of dilution of the clad by the substrate. With the exception of Mo, which was only detected at the clad/substrate interface, high concentrations of the main D2 alloying elements, namely, V and Cr (~5.8 and ~5.5 wt.%, respectively), were also detected near the surface of the clad layer. The middle portion of the clad cross-section was found to maintain the approximate elemental composition of the top of the clad, with a homogeneous dispersion of the hard phases (as shown in Figures 8 and 9b). Compositional analyses on the clad/substrate interface, slightly above the HAZ, demonstrated the presence of higher Fe content (~83 wt.%), while the concentrations of Ti, C, Ni, Al, and V were simultaneously reduced. The average content of C through the depth of clad layer was relatively constant, which with the recorded decrease for the Ti content resulted in an increase in C:Ti ratio as the clad depth increased (Figure 9b). Again, these overall observations confirm the dilution effect of the substrate on the initial surface powder composition.

The phases present through the depth of the clad structure were further verified by high-magnification SEM and compositional EDS analysis (Figure 11). The cross-sectional morphology and compositional variation indicated that within each clad zone, there are three distinct phases: metallic matrix (region A), primary carbides (B), and secondary hard phases (C), as shown in Figure 11a. The metallic matrix (A) is formed during the fast solidification of Fe solid solution, supersaturated with up to 0.85 wt.% Ti, 0.18 wt.% Al, and 1.82 wt.% Ni, in addition to Cr and V (3.79 and 7.23 wt.%, respectively). In the mid-clad zone, the elemental concentration of the metallic matrix exhibited an increase in Fe, Cr, Ni, and Al, while the concentrations of Ti and V were reduced. At the clad/substrate interface, the elemental composition of the metallic matrix was characterised with further reduction in the initial TiC-Ni<sub>3</sub>Al cermet components, while the concentration of Fe and its alloying elements was increased further toward the composition of the as-received D2 substrate. The primary carbide phase, which is denoted as (B) in Figure 11a, was composed of 60.5 wt.% Fe, V, Ti, Cr, Si, Al, and Mo near the top surface of clad cross-section. At the mid-section of the clad layer, no Mo or Al was detected in the structure of primary carbides (Figure 11b), while reduction in the Ti, C, Ni, V, and Si concentrations coincided with a significant increase in Cr content. No traces of the original cermet composition (i.e., Ti, C, Ni, or Al) were detected within the composition of the primary carbide phase at the clad/substrate interface and (B) was mainly composed of Fe, C, Mo, V, Si, and Cr with lower concentration, in comparison to those recorded for middle of the clad layer. The microstructure of secondary carbides occurring at various clad depths (i.e., top, middle, clad/substrate interface) is denoted with (C) in Figure 11a.

#### 3.3. Microhardness Evaluation

Figure 12 presents examples of the Vickers indentations obtained within zone 1 (top of the of clad), zone 2 (mid-section of the clad), and zone 3 (near the substrate/clad interface), under an applied load of 5 N. Laser scans were used to generate an accurate profile of the indents, to help assess the type of deformation within the indent at various depths under the applied loads. The indented depth, at constant load, exhibited an increase when moving from the surface of the clad towards the substrate, highlighting reduced hardness due to the reduction in the volume of hard phase.

Representative SEM micrographs obtained from indentations imprints on crosssections of the clads are presented in Figure 13, in relation to its location on the surface of the clad, notably for the following: zone 1, which is the top portion, and zone 2, which is located at the mid-section of clad layer. The images also highlight the corners of the indentation at higher magnifications. According to the microscopy results, the indents exhibit clear boundaries with sharp edges and no extended damage outside of the indentation area. However, a few isolated microcracks, which appear to show a clear crystallographic directionality with the cubic carbide (white arrows), were observed at higher magnifications within the hard phase of the composite clads.



(a)



(b)

**Figure 11.** Representative FE-SEM micrographs obtained from polished cross-sections of the dipcoated TiC-30 vol.% Ni<sub>3</sub>Al layer laser clad onto D2 steel (with an applied laser power of 350 W, at  $\tau = 1.4$  s). (a) The phases identified in the clad layer, namely, the metallic matrix (A), primary carbides (B), and secondary carbides (C) arising at the top and middle of the clad, and the clad/substrate interface. (b) The results of the corresponding EDS analyses of the phases outlined in (a).

Figure 14 demonstrates the results of micro-Vickers indentation measurements on the cross-sections of the TiC-Ni<sub>3</sub>Al clads on D2 hard tool steel as a function of depth into the clad layer. The average HV values have been plotted in relation to the measurement location from the clad surface under the range of applied loads examined. Regardless of the value of applied normal load, the highest hardness values were recorded at the top surface of the examined cross-section. In the middle section of the clad, a slight decrease in hardness values was observed. At the clad/substrate interface, a value of 737 HV is recorded for the applied load of 5 N.



**Figure 12.** CLSM images and associated 2D cross-sectional profiles for the indents obtained using an applied load of 5 N within zones 1, 2, and 3.



Zone 2 15.0kV 14.8mm x2.00k SE(L)

(b)

**Figure 13.** SEM images of Vickers indents obtained under 5 N applied normal force: (**a**) at the top of the clad, showing cracking in the carbide phase near the indent corner, and (**b**) at the clad/substrate interface.



**Figure 14.** Instrumented micro-Vickers indentation results obtained from cross-sections of the TiCbased clads on D2 demonstrating the calculated HV values under 1 N, 3 N, and 5 N applied force.

#### 3.4. Scratch Testing

The resistance of a coated surface to penetration by a moving stylus, under a constant applied normal force, can be utilised as a preliminary evaluation of a material's wear resistance. A representative example of the confocal images used for profiling widths of scratch tracks is provided in Figure 15. Figure 15 demonstrates the mean values of scratch hardness and COF obtained following scratch testing of TiC-based (with a nominal Ni<sub>3</sub>Al content of 30 vol.%) clads on D2 tool steel substrates, as a function of the applied normal force. Both the mean COF and  $H_{Sp}$  exhibit a small increase in magnitude when increasing the applied load, reaching the highest value of  $H_{Sp}$  = 7.58  $\pm$  0.59 GPa, with an associated COF of 0.23  $\pm$  0.04, when testing at 40 N applied normal load. Scratch hardness represents the amount of work required to create a scratch on a specimen and, in principle, eventually fracture the coating/clad (adhesively or cohesively) for thin coatings/brittle clads. Therefore, a coating/clad with greater scratch hardness exhibits better adherence and thus it can be expected to show enhanced tribological performance. The COF represents the interfacial friction at the indenter-surface contact, which generates elastic and plastic strain with progression of the indenter tip along the scratch track. The highest COF was recorded for the as-received (i.e., uncoated) D2 substrate, regardless of the applied load, while the friction response improves drastically after applying the clad layer. As is demonstrated in Figure 16, at higher applied loads, an increased scratch hardness is observed, in contrast with a slight increase in COF which can be related to increased contact area at higher loads. In terms of the nominal TiC-Ni<sub>3</sub>Al composition, previous work has assessed the scratch hardness of bulk TiC-Ni<sub>3</sub>Al cermets, and specifically the influence of Ni<sub>3</sub>Al ordering heat treatments [45]. It was shown that the scratch hardness for these materials varied from  $H_{\text{Sp}}$  = 11.89 GPa for the as-sintered cermet (with 30 vol.% Ni<sub>3</sub>Al) up to 18.12 GPa after ordering at 1200  $^{\circ}C/2$  h; the scratch tests in this prior work were performed at a higher applied load of 100 N. While the nominal Ni<sub>3</sub>Al content (prior to laser heating) is similar in the present case, the clad layer is effectively diluted through partial incorporation of a ferrous component, with Ni<sub>3</sub>Al itself transformed to NiAl through Ni depletion into the surrounding Fe-rich areas. As a result, the present scratch hardness values are roughly half those of the bulk cermets.



**Figure 15.** A representative example of post-scratch, CLSM-generated 2D (**left**) and 3D (**right**) images for TiC-based (with a nominal Ni<sub>3</sub>Al content of 30 vol.%) L-DED clads onto a D2 steel substrate, (P = 350 W and  $\tau = 0.6$  s, ED = 253.14 J/mm<sup>2</sup>) under constant load of 20 N. Note that the *x*-axis corresponds to the direction of the laser scan, as shown schematically in Figure 3.





**Figure 16.** (a) Calculated scratch hardness ( $H_{Sp}$ ) and (b) COF values obtained from scratch testing of TiC-based (with a nominal Ni<sub>3</sub>Al content of 30 vol.%) clad onto D2 tool steel (scratch orientation is parallel to the direction of the laser scan) under conditions of P = 350 W and  $\tau = 0.6$  s (i.e., ED = 253.14 J/mm<sup>2</sup>).

The average width and depth of the scratch profiles did not demonstrate any significant variation when they were compared in relation to the direction of the laser scan. This is attributed to a homogeneously solidified microstructure for the fabricated clads and an absence of gross microstructural segregation between the overlapping layers.

Figure 17 illustrates example micrographs related to scratch damage arising on the surfaces for a clad processed at P = 350 W and  $\tau = 0.6$  s, under constant applied loads of 10 N, 20 N, 30 N, and 40 N. The plastic deformation of traditional polycrystalline metals through the movement of lattice dislocations within individual grains is well known [46,47]. The progression of scratch damage on the clad D2 substrate, under an applied load of 10 N (Figure 17a), shows that slight plastic deformation of the surface was accompanied by the formation of Hertz-type tensile cracks at the edges of the scratch track. By increasing the applied normal force to 20 N (Figure 17b), the appearance of conformal cracks within the structure of the hard carbide phases was observed, in accordance with the initiation of buckling cracks at the edges of the scratch track. Under an applied load of 30 N, buckling cracks were again observed at the edges of the scratch track, which were combined with cohesive spallation of the clad at 40 N.





**Figure 17.** Post-scratch micrographs from TiC-based clad (with a nominal Ni<sub>3</sub>Al content of 30 vol.%) onto D2 steel under conditions of P = 350 W and  $\tau$  = 0.6 s (i.e., ED = 253.14 J/mm<sup>2</sup>), under applied normal loads of (**a**) 10 N, (**b**) 20 N, (**c**) 30 N, and (**d**) 40 N. Note that the scratch area is on the right-hand side in each image.

Figure 18 demonstrates the values obtained for  $H_{Sp}$  and COF from scratch testing of TiC-based composite clads as a function of the calculated *ED* values (through varying laser power and scan speed). As might be anticipated, improved surface properties and reduced surface roughness were observed in contrast to overall reduction in COF for specimens fabricated under *ED* values up to 379.5 J/mm<sup>3</sup>. When the *ED* values exceeded these values, an increase in the resultant surface roughness also resulted in increasing COF, which was more noticeable at higher applied loads. Subsequently, computed values for  $H_{Sp}$  exhibited a general increase in relation to the increasing values of *ED*, which again began to decrease once *ED* values reached 379.5 J/mm<sup>3</sup>.





**Figure 18.** Results of abrasive scratch testing under applied constant normal loads on TiC-based composite clads as a function of applied *ED*: (**a**) COF and (**b**) scratch hardness.

Information gained through acoustic emission (AE) measurements was also used to locate surface and sub-surface cracking, as well as possible crack propagation, that was associated with scratch testing damage. Scratches parallel to the direction of laser scan showed relatively few AE events. In contrast, a substantial increase in the fluctuations of AE was detected where scratches were generated perpendicular to the direction of the laser scan. This correlates with crack formation perpendicular to the scratch direction. Collected AE data, as a function of its detected location on the scratch track, are presented in Figure 19; these scratches were generated under an applied force of 40 N, with the scratches both parallel and perpendicular to the laser scan direction. During microstructural analysis of the corresponding scratch tracks, it was found that the periodic repetition of the AE events corresponds to the location of the existing track-bands perpendicular to the direction of the moving indenter tip.



**Figure 19.** Plots of the AE events occurring for scratch hardness tests obtained under applied force of 40 N: (a) parallel to the direction of the laser scan, and (b) normal to the direction of the laser scan. In each case the scratch direction is highlighted with a yellow arrow.

Several scratch damage techniques have been reported within the literature for evaluation of the adhesion/cohesion bond strength in thick coatings, such as scratching the top surface under constantly increasing applied load [38,48,49]. However, as with the case reported for thermal spray coatings, due to the high thickness and surface roughness, this technique was found inadequate [50], and therefore was not applied in the present work. Cross-sectional scratch analysis was proposed for assessing plasma spray coatings, which were examined by sliding a stylus from the substrate towards the coating surface under a constant applied load [38]. Consequently, in the present work, an approach utilising this cross-sectional scratch analysis technique was conducted. This was performed in association with subsequent microstructural and topographical investigations of the crosssectional scratches generated under constant applied loads from 10 N to 40 N. Figure 20 presents an example of such a cross-sectional scratch analysis for the TiC-Ni<sub>3</sub>Al clad D2 tool steel, highlighting the change in scratch depth, which was constructed by stitching a sequence of CLSM images. Track cross-section measurements revealed a significant decrease in both the width and depth of the scratch tracks as the stylus was moved from the substrate towards the surface of clad. Moreover, increasing the applied normal force was observed to increase the projected scratch depth and the width within the substrate region. However, within the clad area, the depth of scratch track remained essentially unchanged up to 30 N applied normal force. Cohesive fracture of the clads at the end of scratch tracks was only observed for tests performed under a 40 N applied normal force.



**Figure 20.** An example of cross-sectional scratch depth profile on the L-DED clad D2 tool steel under applied loads of 10 N, 20 N, 30 N, and 40 N. The overall image was generated by stitching sequential CLSM images. Note that the bulk material is shown on the left-hand side of the image, with the clad layer and free-surface on the right-hand side.

A series of SEM micrographs obtained from a single scratch track on the cross-section of a TiC-Ni<sub>3</sub>Al clad D2 tool steel sample are presented in Figure 21, highlighting the change in scratch width (and hence the scratch hardness) when transitioning from the bulk D2 material into the clad layer itself. Images obtained from various portions of the crosssectional scratch track clearly demonstrate the impact of the applied clads on the observed damage mechanism(s) and scratch resistivity of the D2 substrate. Lateral- and tensile-type crack formation occurs on the edges of the scratch track within the substrate region, the extent of which was significantly reduced as the stylus moved toward the surface of the clad. As highlighted in Figure 21, the presence of primary and secondary hard carbide phases hinders the deformation of the projected area during scratching, by both inhibiting movement of dislocations and also increasing the local hardness/elastic modulus through the presence of the hard carbide phases. Consequently, the morphology of the scratch edges within the heat-affected zone and through the thickness of the clad exhibits a transition in deformation mechanism characterised by buckling and conformal cracking due to the



increase in overall hardness. No adhesive failure was observed between the clad and substrate due to a high degree of diffusion occurring between them during laser processing.

**Figure 21.** Microstructural evaluation of a scratch track through the bulk/cladding cross-section, generated for a TiC-based clad on D2 substrate (processed at P = 350 W and  $\tau$  = 0.6 s, with a resultant ED = 253.14 J/mm<sup>2</sup>); the scratch direction is highlighted by the yellow arrow. Stitched image shows an overview of the scratch and the individual images highlight the regions shown in each black box.

Two types of failure may be expected during the cross-sectional scratch evaluation of hard coatings/clads, namely, adhesive and cohesive [38]. During adhesive failure, a cone-shaped fracture takes place at the interface between the substrate and coating/clad. Cohesive failure, on the other hand, is an indication of failure within the coating/clad itself, rather than at the interface. In the present work, the geometry of the resulting cone-shaped fracture at the tip of the scratch was measured and the area of the fracture was calculated following (Equation (4)) [50]:

$$A_{\rm cn} = L_{\rm x} x L_{\rm y} \tag{4}$$

where  $A_{cn}$  is the cone area,  $L_x$  is cone diameter, and  $L_y$  refers to the depth of formed cone. The formation of cone-shaped fractures in the TiC-based clads on D2 substrates was observed once the constant applied scratch load exceeded 30 N (Figure 22). At loads of 30 N and 40 N, cone-shaped fractures were observed to originate within the coatings, which suggests a cohesive failure mode predominates above this critical load. During high-magnification imaging of the projected conical areas, it was also noticed that most of the widening of the cone base is related to movement of the stylus through the porous layer that contains the top surface porosity for the as-fabricated clads (Figure 22). Thus, a general increase in the measured conical area was noticed as a function of increasing surface roughness.







(b)

**Figure 22.** (a) SEM image of the tip of a through thickness scratch, for TiC-based cermet clad on D2 steel under 30 N applied normal force. (b) CLSM image of conical fracture arising within the scratch cross-section. The associated *x*-axis denotes the laser scanning direction during clad fabrication.

Any potential oscillations arising for either the COF or the AE events were recorded simultaneously during cross-sectional scratch tests; examples of the recorded data are provided in Figure 23. Detected AE peaks were generally sensed during the movement of the stylus through the HAZ layer, which increased in intensity proportionally to the value of applied force. A constant increase in the value of COF was recorded for scratches generated within the substrate portion of the sample. However, once the stylus entered the clad layer, the COF values were significantly reduced. The COF was found to remain essentially constant within the clad portion of the scratch, regardless of the applied load.



**Figure 23.** Representative AE events (**a**), and COF (**b**), curves obtained from scratch testing of TiCbased clad onto a D2 substrate under applied normal loads ranging from 5 N to 40 N. Samples were processed at P = 350 W and  $\tau = 0.6$  s, with an ED = 253.1 J/mm<sup>2</sup>.

# 4. Conclusions

TiC-based L-DED clads were successfully applied onto high-Cr-containing, cold work D2 tool steel substrates using laser deposition, starting with a TiC-Ni<sub>3</sub>Al composition feedstock. To achieve this, a novel suspension-based gelation preplacement method was used for the feedstock prior to laser cladding. The adhered, preplaced TiC-Ni<sub>x</sub>Al<sub>y</sub>-Ni coating, with a uniform thickness, was then subjected to laser processing using various laser powers and scanning speeds. Microstructural examination confirmed high-density clads with refined microstructures and minimal levels of porosity. Dilution with the steel substrate resulted in NiAl formation, along with a ferrous-based phase. For laser powers of 300 W and 350 W, high-quality clads were fabricated at range of scanning speeds; a relationship between *ED* and surface roughness was confirmed for these process conditions.

In terms of the mechanical behaviour, the maximum values for HV were recorded at the top surface of the examined cross-section, with an average value of HV = 659. In the mid-section of the clad, a slight decrease in hardness values was observed. At the clad/substrate interface, 560 HV was reported for the applied load of 5 N. A substantial decrease in hardness was observed with increasing depth into the substrate, with respect to the clad surface, due to the reduced concentration of the hard carbide phases.

Single-pass scratch testing was used for qualitative and quantitative evaluation of the micro-tribological properties of the clads. Post-scratch morphology of the cermet clads demonstrated that abrasive damage is generated in the clad layer, which can be anticipated to progress by plastic deformation and brittle failure. Within the range of 10 N to 40 N applied normal force, the scratch hardness of the cermet clads ( $H_{Sp}$ ) varied within the range of 4.9 to 7.6 GPa, whereas the D2 substrate exhibited a consistent value of  $H_{Sp} \approx 3$  GPa.

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