



Article

Efficient Private Conjunctive Query Protocol Over Encrypted Data [†]

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[†] Appeared at Progress in Cryptology-AFRICACRYPT 2017 (Africacrypt2017). We extend the contribution of the paper by adding another efficient protocol for PCQ processing using an N -ary encoding, its homomorphic evaluation, and showing the corresponding results.

Abstract: Conjunctive queries play a key role in retrieving data from a database. In a database, a query containing many conditions in its predicate, connected by an “and/&/^” operator, is called a conjunctive query. Retrieving the outcome of a conjunctive query from thousands of records is a heavy computational task. Private data access to an outsourced database is required to keep the database secure from adversaries; thus, private conjunctive queries (PCQs) are indispensable. Cheon, Kim, and Kim (CKK) proposed a PCQ protocol using search-and-compute circuits in which they used somewhat homomorphic encryption (SwHE) for their protocol security. As their protocol is far from being able to be used practically, we propose a practical batch private conjunctive query (BPCQ) protocol by applying a batch technique for processing conjunctive queries over an outsourced database, in which both database and queries are encoded in binary format. As a main technique in our protocol, we develop a new data-packing method to pack many data into a single polynomial with the batch technique. We further enhance the performances of the binary-encoded BPCQ protocol by replacing the binary encoding with N -ary encoding. Finally, we compare the performance to assess the results obtained by the binary-encoded BPCQ protocol and the N -ary-encoded BPCQ protocol.

Keywords: private conjunctive query; encrypted data; packing method; homomorphic encryption



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1. Introduction

In this digital age, data are produced every day at a gigantic rate because of the use of various types of software by hospitals, clinics, research organizations, banks, insurance companies, e-commerce companies, and many others. These organizations do not want their data to be vulnerable to malicious persons, but they do want their data to be available online. They therefore either allow access to the data using queries from anywhere in the world or apply some functions to the data for retrieving statistics on the data. Simultaneously, they need to protect their own sensitive data along with their customers. Therefore, they should store data on some trusted online servers. Practically, it is hard to find trusted online servers. Nowadays, we can achieve data privacy using some techniques such as steganography and cryptography. Steganography is the art of hiding data in multimedia files such as images, audio clips, and video clips. Steganography provides privacy of embedded data, but it compromises the data size. Furthermore, protecting data by some cryptographic techniques is another solution to data privacy. However, conventional encryption does not support computation over the encrypted data. If we use conventional encryption schemes to encrypt the data before storing them to the cloud, encrypted data need to be decrypted. To perform addition and multiplication over encrypted data, homomorphism [1] is a solution to computation over the encrypted data. Using the

homomorphism property, Rivest et al. [1] introduced the concept of homomorphic encryption, in which they showed multiplicative homomorphism using the RSA algorithm [2]. The homomorphic encryption (HE) ensures computation over the encrypted data without distorting their originality, i.e., any operation performed over cipher text produces the same result after decryption if that operation is performed over the corresponding plain text. For example, considering messages m_1 and m_2 , we can calculate the function $E(m_1 + m_2)$ from $E(m_1)$ and $E(m_2)$ without having prior knowledge of the actual messages m_1 and m_2 , where E denotes an encryption function. Before Gentry's invention [3], computation over encrypted data was limited to either a few additions or a multiplication [4–7]. According to the computation capability of the homomorphic encryption scheme, homomorphic encryption can be classified into three categories: partial homomorphic encryption (PHE) [1,5–8], somewhat homomorphic encryption (SwHE) [3,9,10], and fully homomorphic encryption (FHE) [3,11–14].

1.1. Motivation

In 2009, Gentry [3] introduced a fully homomorphic encryption scheme, which can be constructed from SwHE by applying a bootstrapping technique to the SwHE. Bootstrapping is an intermediate procedure of refreshing homomorphically operated ciphertexts, which is a costly computation. Therefore, the performance of this FHE cannot be used practically due to its inefficiency in processing the encrypted data [15] and producing large ciphertexts. Later, Brakerski and Vaikuntanathan [10] proposed a ring-learning-with-errors-based (RLWE) SwHE scheme, which allows many additions and a few multiplications over the encrypted data. Actually, the scheme uses an $n - 1$ degree polynomial to pack n data using a packing method. Because of packing many data into a single polynomial, it reduces the ciphertext size after encryption and thus reduces the time of the computation over those packed ciphertexts. The security of the SwHE depends on the hardness of the LWE problems [16] on an ideal lattice, which is thought to be secure against cryptanalysis using a quantum computer. Therefore, RLWE-based SwHE is considered a post-quantum encryption. Given this advantageous nature of RLWE-based SwHE, it is used in many applications in the cloud such as statistics computation [17,18], genomic computations [19,20], private equality tests [21,22], private database queries [23–25], and private inequality tests [26,27]. In these applications, data-encoding methods, also called packing methods, play an important role in the efficiency. Therefore, RLWE-based SwHE with tailored packing methods can be used for constructing more efficient and quantum-secure applications such as private database queries, genomic computation, and so on.

We use database queries to directly access data from a local database and remotely from an outsourced database in the cloud. For example, we suppose a patient table in a hospital database. A query to access data from the table can be represented as *select * from patient*. Whenever we want to access more specific data from the patient table in the database, we need to add one or more conditional statements to the query. When we add two or more conditions to the predicate of a query using the *and*/*&*/*^* operator, the query is called a conjunctive query. For instance, a conjunctive query, *select * from patient, where diseases='cholera' and patient_age ≥ 12*, can select the patients from the patient table who are suffering from cholera and are 12 years of age and over. If this hospital database is a private outsourced database, it is indispensable for database users to choose private conjunctive queries rather than plain conjunctive queries to maintain the privacy both of the database and the users' queries. In this paper, we focus on the private conjunctive query (PCQ), which has two or more equality conditions in the predicate of the queries.

From the above example, the PCQ plays a significant role in securely accessing data from an outsourced private database in reply to private queries by the users. To ensure the privacy both of the database and queries and to support encrypted computations, the RLWE-based SwHE [10] provides a suitable solution. To effectively use RLWE-based SwHE in different applications, several data packing methods [17–20] have been proposed.

In this respect, we considered developing a new packing method to efficiently solve PCQ problems.

1.2. Problem Statements

A conventional solution for evaluating a private conjunctive query with k equality conditions in the predicate requires evaluating k sub-queries. The expected outcome can be determined by taking the intersection of the individual results obtained from the sub-queries. For example, consider a database containing a table of record along with k attributes α_i and the corresponding value of each attribute is denoted by v_i with $1 \leq i \leq k$. Then, a conjunctive query like *select count(id) from record where $\alpha_1 = v_1 \wedge \alpha_2 = v_2 \wedge \dots \wedge \alpha_k = v_k$* contains k equality conditions in its predicate. Here, we first evaluate k sub-queries by counting “id” for $Q(\alpha_i = v_i) = \{id \mid \text{the attribute } \alpha_i \text{ of id takes } v_i \text{ as the value}\}$ and then compute the intersection $\bigcap_{i=1}^k Q(\alpha_i = v_i)$ for the conjunctive query. If we outsource this computation to the cloud without keeping any security, it will disclose information regarding the database records to the cloud. In 2016, Cheon et al. [28] proposed a PCQ protocol using search-and-compute circuits in which they used SwHE for guaranteeing their protocol security. Supplementarily, they admitted that they need to improve the performance of their PCQ protocol for practical use. Therefore, an efficient protocol must be designed to solve this PCQ problem in the cloud. As such, Saha and Koshiba [24] proposed an efficient protocol for processing PCQ in the cloud. They used a fixed-length binary data-packing method for encoding multi-dimensional data together with a concatenation technique. Their packing method is polynomial-based, where every binary datum is encoded as the coefficient of x with different exponents for a polynomial $f(x)$. Therefore, the degree of the polynomial increases with the increase in the length of the binary data to be packed. The efficiency of the proposed protocol depends on the degree of the polynomial. Moreover, the computational cost increases with the increase in the lattice dimension in RLWE-based SwHE [10]. For this reason, the efficiency of the protocol could be improved using encoding that is different from binary encoding.

1.3. System Model

A basic system model for processing three-party PCQ in the cloud is shown in Figure 1. In this model, we suppose that Charlie is a database owner and Alice is a client who wants to retrieve data from Charlie’s database. Here, Charlie wants to answer Alice’s query Q by revealing as little information as possible about the database D . Alice also wants to retrieve the required data without losing much information on the query. To process a PCQ in this scenario, a third party like Bob in the cloud can perform their task with the help of homomorphic encryption. For ensuring privacy, we consider securing the data in the database and the value of each attribute existing in the predicate of the query. In the model, Alice generates both a public key and its secret key and sends the public key to Charlie. Alice encrypts the query Q using the public key after parsing the values and sends the encrypted values Q' to the cloud. Charlie sends their encrypted database D' to the cloud. Now, Bob homomorphically computes $D' \odot Q'$ to decide the conjunctive equalities between Q' and D' where \odot defines some homomorphic operations. Then, Bob sends the result R' back to Alice for decryption. Alice decrypts R' by using her secret key and decides the conjunctive equalities between Q and D .

1.4. Key Technique

For solving the PCQ problem stated in Section 1.2, we use a special method called the concatenation technique with fixed-length data instead of the traditional technique for comparing values in the predicate of a query with the data in the database. As shown in Figure 1, the PCQ problem can be solved by involving a third party like Bob in the cloud, where a database owner and a query owner are different entities. Let us consider the conjunctive query containing k equality conditions in its predicate (*select count(id) from patientRecord where $\alpha_1 = v_1$ and $\alpha_2 = v_2$ and \dots and $\alpha_k = v_k$, where $k \geq 2$*). If we use the

traditional approach to decide the k equalities, we need to send k equality queries to the cloud, which return some IDs. The expected outcome can be calculated by taking the intersection of those IDs. In this case, information regarding the database will be revealed to Alice. However, our goal is to process PCQ with revealing as little information to Alice as possible. To solve this problem, Alice can encode values from the predicate of the query as fixed-length data and pack those encoded values sequentially as the coefficients of x with different exponents in a single polynomial and send the packed data to the cloud after encryption. Similarly, Charlie can pack the values of k attributes of the database in the same order and send them to the cloud. Next, Bob blindly matches them using the Hamming distance and returns the encrypted distances to Alice. In the end, Alice decrypts those encrypted distances and counts the Hamming distances of being zero to decide the conjunctive equalities. Moreover, the table consists of numerous records. To process the PCQ with k conditions, the concatenation approach needs to perform the equality comparison many times. We can solve this problem using a batch equality technique as mentioned in [29]. The strengths of the above technique are listed below.

- The set intersection operation can be omitted to avoid information leakage to Alice.
- The multiplication depth of the equality test can be reduced through batching.
- The number of homomorphic multiplications required for conjunctive equality tests can be decreased using the batch technique.

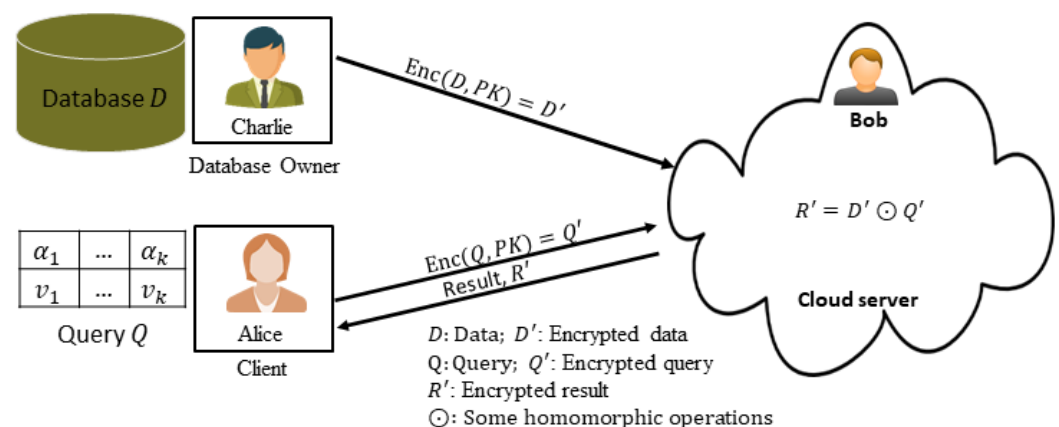


Figure 1. Basic three-party system model to solve the private database queries (PCQ) problem in the cloud.

1.5. Previous Works

Here, we review recent works on private conjunctive queries using different cryptographic schemes. In 2013, Boneh et al. [30] proposed a new method to address private conjunctive queries in the database. However, their method requires set intersection operations, which reveal some information to the user. In 2014, Pappas et al. [31] constructed a technique called blind seer to support quite a rich query set over a private database management system (DBMS) using Yao's garbled circuits [32] and oblivious transfer in the semi-honest model. Then, Fisch et al. [33] improved the security of blind seer by making the system secure against malicious clients. However, both require a communication of non-constant rounds for searching data where they essentially use Yao's garbled circuits [32]. Cheon et al. [28] reported a method of conjunctive query processing. They guaranteed the privacy of the values in the predicate. However, the performance of their protocol was impractical. Kim et al. [34] proposed another method of evaluating private database queries. They reduced the communication cost of accessing τ records, which was required by previous methods. However, they required a multiplicative depth of $\log l$ to process each l -bit data and did not provide the individual query performance. None of these methods addressed conjunctive queries with low multiplication depth, which led to efficient execution of the method. In 2019, Saha et al. [23] introduced a private conjunctive

query protocol that works with the query, including k conditions in the predicate of that query. Here, they calculated the conjunctive query by sending k sub-queries separately to the cloud to determine the record IDs satisfying each query and then performed intersection of those IDs to generate the desired output of the user's query. Here, information leakage is caused by revealing many of the record IDs of the data owner to the user before the intersection operation. After this, Kim et al. [35] introduced another private database query protocol in which 0.119 s were required to process each record. They generated random databases that consisted of 16 keyword attributes with entries with maximum sizes of 48 or 64 bits. The testing platform was a server with 3.7 GHz Intel® Xeon™ Platinum 8170 and 192 GB RAM. In terms of efficiency, the protocol is not suitable for practical use because it requires about 20 min to access data from a database of 10,000 records.

1.6. Our Contributions

In this paper, we affirmatively resolve the problems mentioned in Section 1.2 and produced the following results.

1. We propose an efficient batch private conjunctive query (BPCQ) protocol with the binary encoding, which we call the BPCQ₂ protocol. Here, we use RLWE-based SwHE for providing security for the binary-encoded values appearing in the predicate of a query and the data in the database.
2. As a main technique in our protocol, we develop a data-packing method with a concatenation technique to encode the binary fixed-length multi-dimensional data appearing in the query and the database to evaluate the PCQ in a few multiplications. Because of using this concatenation technique, our methods presented in this paper overcome the weakness stated in Section 1.5 for conjunctive query processing.

Compared to a preliminary version [24] of this paper, we include the following new results.

1. We propose another efficient protocol for PCQ processing using an N -ary fixed-length encoding ($N > 2$), which we call the BPCQ _{N} protocol.
2. Theoretically, we are able to reduce the lattice dimension by a factor of $\lceil \log_2(N) \rceil$ in the BPCQ _{N} protocol because of using N -ary fixed-length encoding rather than the binary fixed-length data encoding used in the BPCQ₂ protocol.
3. The developed data-packing method is modified to show how the Euclidean distance computation is used to decide conjunctive equality for the N -ary-encoded BPCQ _{N} protocol.
4. Through comparative analysis, we demonstrate that the BPCQ _{N} protocol works at least four times faster than the BPCQ₂ protocol because of using N -ary data encoding.

1.7. Notations

\mathbb{Z} denotes the ring of integers. For a prime number q , the ring of integers modulo q is denoted by \mathbb{Z}_q . In addition, \mathbb{Z}^n defines an n -dimensional integer vector space. $\mathbb{Z}[x]$ denotes the ring of polynomials over the integer coefficients. Let $R_q = R/qR = \mathbb{Z}_q[x]/\phi(x)$, where $R = \mathbb{Z}[x]/\phi(x)$ and $\phi(x)$ are a cyclotomic polynomial such that $\phi(x) = x^n + 1$. For a vector $\mathbf{A} = (a_0, a_1, \dots, a_{n-1})$, the maximum norm $\|\mathbf{A}\|_\infty$ is defined as $\max |a_i|$. Let $\langle \mathbf{A}, \mathbf{B} \rangle$ denote the inner product between two vectors \mathbf{A} and \mathbf{B} . The function $\text{Enc}(m, pk) = ct$ defines the encryption of a message m using the public key pk to produce the ciphertext ct . The ciphertexts ct_{add} and ct_{mul} denote the homomorphic addition and multiplication, respectively, of ciphertexts $ct = \text{Enc}(m, pk)$ and $ct' = \text{Enc}(m', pk)$. $s \leftarrow \chi$ indicates that s is chosen from the Gaussian distribution χ . The distribution $D_{\mathbb{Z}^n, \delta}$ indicates the n -dimensional discrete Gaussian distribution for some standard deviations $\delta > 0$.

We consider that a database is an array (or table). We call a collection of values appearing in each row of the table a record. We call a collection of records a block. Let p , η , and τ denote the total number of blocks, the block size, and the total number of records, respectively. In the sequel, k denotes the number of conditions appearing in the predicate

of a conjunctive query. v_i is the i th value in the predicate, where l_i is the length of v_i and $\omega_{\sigma,d,i}$ is the i th value in the d th record of the σ th block. $pow(x)$ denotes the exponent of x .

1.8. Outline of This Paper

This paper is structured as follows. Section 2 describes the security tool used for the security of our protocols. Section 3 discusses the binary encoding-based BPCQ protocol for processing the private conjunctive queries to the cloud. As a main technique in our protocol, Section 4 outlines the data representation procedure for conjunctive query processing; reviews an existing data-packing method, which does not make the BPCQ protocol efficient; and discusses how our tailored packing method creates an efficient BPCQ protocol. Section 5 highlights the technique used to improve the performance of the BPCQ protocol with N -ary encoding, which is used to pack the data. Section 6 outlines the homomorphic evaluation procedure of our protocols using the security tool (see Section 2) along with our packing methods mentioned in Section 4. We evaluate the performance of the BPCQ protocol both theoretically and practically in Section 7. We compare the performance of the binary-encoded BPCQ protocol with the N -ary-encoded BPCQ protocol in Section 8. Finally, Section 9 concludes this paper.

2. Security Tool

We discuss the cryptographic technique that will be used to maintain the privacy of the proposed protocols. In 2011, Brakerski and Vaikunthanathan [10] proposed an SwHE scheme and proved its correctness. Here, we review the asymmetric variant [17] of their SwHE scheme. To solve the problem indicated in Section 1.2, we use this secure scheme as an important ingredient.

2.1. Asymmetric SwHE Scheme

We consider some parameters for the SwHE scheme in [17] as follows.

- $\phi(x)$ is a cyclotomic polynomial, where $\phi(x) = x^n + 1$.
- n denotes the lattice dimension of the ring $R_q = \mathbb{Z}_q[x]/\phi(x)$, where $n \in \mathbb{Z}$. Here, the value of n is a power of 2, that is, $n = 2^\Phi$, where $\Phi \in \mathbb{Z}$.
- q : modulus q is an odd prime such that $q \equiv 1 \pmod{2n}$ defines the ring $R_q = R/qR = \mathbb{Z}_q[x]/\phi(x)$, which denotes a ciphertext space.
- t is an integer $t < q$, which defines the message space of the scheme as $R_t = \mathbb{Z}_t[x]/\phi(x)$, the ring of integer polynomials modulo $\phi(x)$, and t .
- δ is a standard deviation, where $\delta = 4 \sim 8$. It is also used to represent a discrete Gaussian error distribution $\chi = D_{\mathbb{Z}^n, \delta}$ with an n -dimensional integer vector \mathbb{Z}^n .

Now, we discuss the algorithms used for key generation, encryption, homomorphic evaluation, and decryption in the SwHE scheme in [17] as follows.

2.1.1. Key Generation

The key generation algorithm first selects a ring element $s \in R$ according to χ . Then, it samples a uniformly random element $a_1 \in R_q$ and an error $e \in R$ according to χ and sets $a_0 = a_1s + te$. Finally, it outputs a secret key $sk = s$ and its public key $pk = (a_0, a_1)$.

2.1.2. Encryption

If we have a message $m \in R_t$ and a public key $pk = (a_0, a_1)$, the encryption algorithm samples $u, f, g \leftarrow \chi$, where $(u, f, g) \in R$. Then, the encryption algorithm outputs a ciphertext $(c_0, c_1) = ct$, which is defined as

$$\text{Enc}(m, pk) = (c_0, c_1) = (a_0u + tg + m, -(a_1u + tf)). \quad (1)$$

Here, the plaintext $m \in R_t$ is in R_q because $t < q$.

2.1.3. Homomorphic Evaluations

Generally, the homomorphic operation is performed between two ciphertexts $ct = (c_0, \dots, c_\psi)$ and $ct' = (c'_0, \dots, c'_\omega)$. The homomorphic addition (\boxplus) between ct and ct' can be defined as

$$ct_{add} = ct \boxplus ct' = (c_0 + c'_0, \dots, c_{\max(\psi, \omega)} + c'_{\max(\psi, \omega)}).$$

We can also define the homomorphic subtraction that is analogous to the above component-wise addition. For a symbolic variable z , the homomorphic multiplication (\boxtimes) between the above two ciphertexts, ct and ct' , can be defined as

$$ct_{mul} = ct \boxtimes ct' = \left(\sum_{i=0}^{\psi} c_i z^i \right) \left(\sum_{j=0}^{\omega} c'_j z^j \right) = \sum_{i=0}^{\psi+\omega} \hat{c}_i z^i,$$

where $\{ct, ct'\} \in R_q[z]$.

2.1.4. Decryption

The decryption algorithm decrypts a new or homomorphically-operated ciphertext $ct = (c_0, \dots, c_\psi)$ as follows,

$$\text{Dec}(ct, sk) = [\tilde{m}]_q \bmod t, \tag{2}$$

where $\tilde{m} = \sum_{i=0}^{\psi} c_i s^i$. This means the algorithm uses the secret key vector $\mathbf{s} = (1, s, s^2, \dots, s^\psi)$, such that $\text{Dec}(ct, sk) = [ct, \mathbf{s}]_q \bmod t$. For example, the decryption of a fresh ciphertext $ct = (c_0, c_1)$ generated by (1) can be written as

$$\langle ct, \mathbf{s} \rangle = (a_0 u + g t + m) - (a_1 u + t f) \cdot s = m + (e u + g - f s) \cdot t \tag{3}$$

in the ring R_q as $a_0 - a_1 \mathbf{s} = t e$. The decryption algorithm recovers the desired plaintext from a fresh ciphertext ct if the value $m + (e u + g - f s) \cdot t$ does not wrap around mod q and all errors $e, f, g, u \leftarrow \chi$ are sufficiently small. Here, the decryption algorithm recovers the plaintext m using the mod t operation from $[\langle ct, \mathbf{s} \rangle]_q = m + (e u + g - f s) \cdot t \in R$. If the wrap-around does not occur in the encrypted results after the homomorphic operations, the decryption algorithm outputs the following for two ciphertexts ct_1 and ct_2 :

$$\begin{cases} \langle ct_1 \boxplus ct_2, \mathbf{s} \rangle = \langle ct_1, \mathbf{s} \rangle + \langle ct_2, \mathbf{s} \rangle \\ \langle ct_1 \boxtimes ct_2, \mathbf{s} \rangle = \langle ct_1, \mathbf{s} \rangle \cdot \langle ct_2, \mathbf{s} \rangle. \end{cases} \tag{4}$$

Next, we discuss the security and correctness of the mentioned encryption scheme.

2.2. Security of RLWE-Based SwHE Scheme

For the given parameters (n, q, t, δ) , we demonstrate the security of the SwHE scheme using the polynomial RLWE assumption (RLWE $_{n,q,\chi}$) as mentioned by Lauter et al. [17]. The assumption holds if any polynomial number of samples of the form $(a_i, b_i = a_i \cdot s + e_i) \in (R_q)^2$ is true, where $a_i \in R_q$ is uniformly random and $e_i \leftarrow \chi$. As the a_i s are uniformly random in R_q , b_i s ($b_i = a_i \cdot s + e_i$) are also uniform in R_q . For this reason, distinguishing (a_i, b_i) from a uniformly random pair $(a_i, b_i) \in (R_q)^2$ is a hard problem. Besides, the RLWE assumption is reducible to the worst-case hardness of problems on ideal lattices that is thought to be secure against attacks using the quantum computer [16].

2.3. Correctness of RLWE-Based SwHE Scheme

The correctness of the SwHE scheme depends on how the decryption can recover the original result from the ciphertext after some homomorphic operations. We can write the decryption process as

$$\begin{cases} \text{Dec}(ct_{add}, sk) = \text{Dec}((ct \boxplus ct'), sk) = m + m' \\ \text{Dec}(ct_{mul}, sk) = \text{Dec}((ct \boxtimes ct'), sk) = m \cdot m'. \end{cases} \quad (5)$$

Section 1.1 in [10] describes the above process. Here, ciphertexts ct and ct' come from $m \in R_q$ and $m' \in R_q$, respectively, after encryption. The above decryption process will not lose any information about the plaintext if the following lemma holds as mentioned in [36]:

Lemma 1. (Condition for successful decryption.) For a ciphertext ct , the decryption $\text{Dec}(ct, sk)$ recovers the correct result if $\langle ct, \mathbf{s} \rangle \in R_q$ does not wrap around mod q , namely, if the condition $\|\langle ct, \mathbf{s} \rangle\|_\infty < \frac{q}{2}$ is satisfied, where $\|a\|_\infty = \max |a_i|$ for an element $a = \sum_{i=0}^{n-1} a_i x^i \in R_q$. Specifically, for a fresh ciphertext ct , the ∞ -norm $\|\langle ct, \mathbf{s} \rangle\|_\infty$ is given by $\|m + t(ue + g - sf)\|_\infty$. For a homomorphically-operated ciphertext, the ∞ -norm can be computed using Equation (4).

3. Our Protocol

When solving our problem stated in Section 1.2, we needed to construct a secure protocol. In this section, we briefly describe the protocol scenario for PCQs and the traditional technique for solving the PCQ problem. Then, we provide an overview of the proposed concatenation technique for solving the PCQ problem, a batch technique for boosting performance, and a batch private conjunctive query protocol.

3.1. Protocol Scenario

In this section, we describe how to use our protocol for private conjunctive query processing in a real-world scenario as shown in Figure 2. As depicted in Figure 3, consider that a hospital (Charlie) maintains a database of their admitted patients in the patientRecord table, where Charlie has low computation capacity. A government health research institute (Alice) wants to determine the number of male patients admitted to that hospital in the last year who suffered from tuberculosis (TB), which is a conjunctive equality query request to Charlie from Alice. The corresponding SQL statement of this request is *select count(id) from patientRecord where sex="M" and dis="TB" and year=2019*. Here, Alice has a little computation ability, which includes key generation, encryption, and decryption. Charlie must not disclose their patients' information to Alice. Therefore, they want to outsource the computation to a third party like the cloud (Bob) without disclosing the query and corresponding data to Bob.

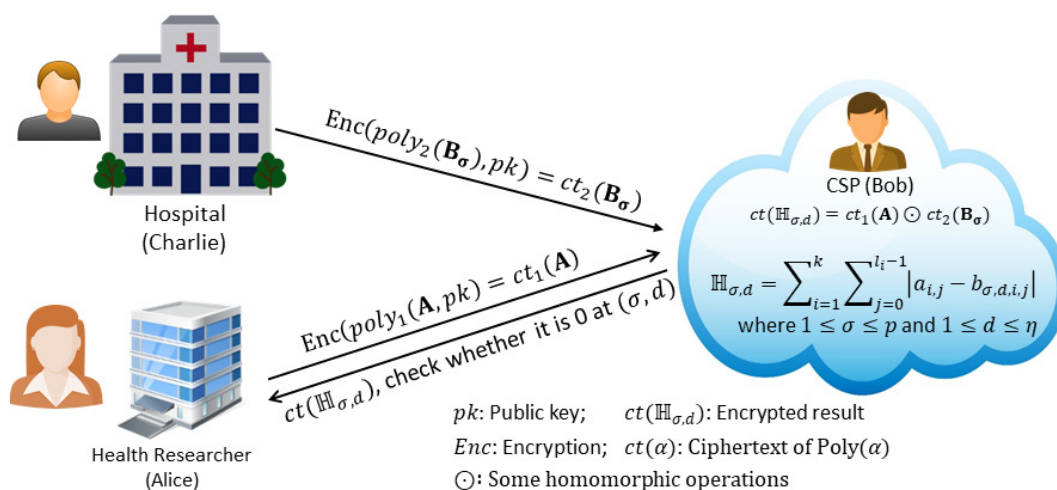


Figure 2. Real-world scenario of private conjunctive query protocol.

PatientRecord				
Id	α_1	α_k
1	$w_{1,1,1}$	$w_{1,1,k}$
2	$w_{1,2,1}$	$w_{1,2,k}$
.
.
τ	$w_{\sigma,\tau,1}$	$w_{1,\tau,k}$

Figure 3. Sample of a patient record table.

3.2. Traditional Technique for Solving the PCQ Problem

As mentioned in the problem scenario in Section 1.2 and the real-world scenario in Section 3.1, the PCQ problem can be solved by involving a third party like Bob in the cloud, where the database owner and the query owner are different entities. Note that we need to solve this problem with leaking as little information to Alice about the database as possible. Here, the query includes k ($k = 3$) equality conditions connected by conjunction operators. If we use the traditional method for solving this conjunctive query problem, which contains three equality conditions in its predicate, Alice needs to send three equality requests separately to Bob, which returns some distances for each record after processing the equality blindly using the Hamming distance. Now, Alice finds the record IDs that contain Hamming distances of zero. Then, Alice finds the number of male patients by counting the number of IDs appearing in the intersection of those IDs, as shown in Figure 4.

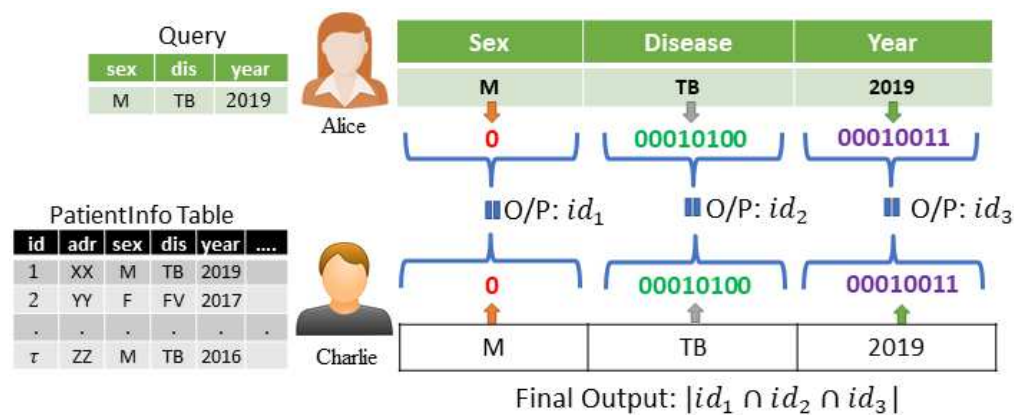


Figure 4. Traditional technique to solve the PCQ problem when $k = 3$.

3.3. Proposed Concatenation Technique for Solving PCQ Problem

If we use the traditional method to solve many equality conditions, more IDs that Alice should not have will be revealed to her. As k conditions are conjunctive, every value in each condition can be encoded as a fixed-length record. Then, Alice can concatenate k values sequentially, and sends the concatenated values as a single query to the cloud after encryption, as shown in Figure 5. Moreover, Charlie sends the corresponding k values from each record of the table of the database to the cloud after encryption using the same approach as Alice. Then, Bob blindly performs the matching blindly using the Hamming distance and returns the distances. In the end, Alice decrypts the result and counts the Hamming distances that are zero to obtain her desired result.

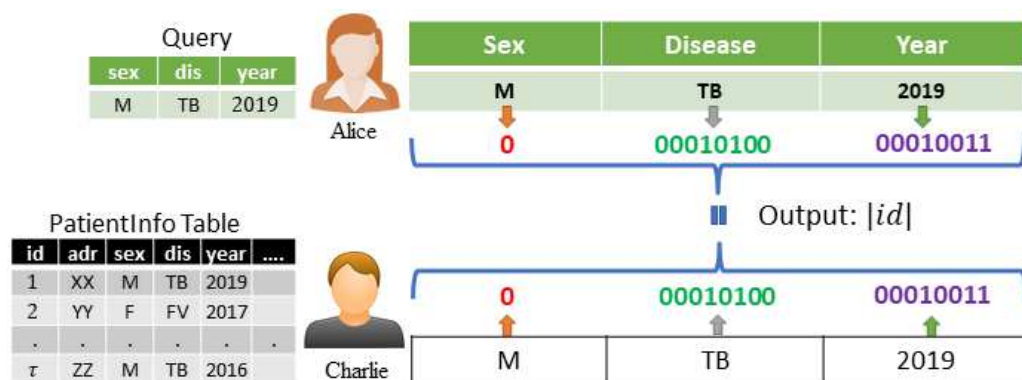


Figure 5. Concatenation technique to solve the PCQ problem when $k = 3$.

For the protocol scenario mentioned in Section 3.1, Alice owns a conjunctive query that has k equality conditions connected by the “and” operator appearing in its predicate. For example, *select count(id) from patientRecord where $\alpha_1 = v_1$ and $\alpha_2 = v_2$ and ... and $\alpha_k = v_k$* , where $k \geq 2$. For the security of this conjunctive query, we take care about only security of the value v_i ($1 \leq i \leq k$) appearing in the predicate of the query. Now, we form a set $V = \{v_1, \dots, v_k\}$ from the values of k attributes $\{\alpha_1, \dots, \alpha_k\}$, which exist in the predicate of the query. Here, we consider $v_i = (a_{i,0}, \dots, a_{i,l_i-1})$ a binary vector of length l_i with $1 \leq i \leq k$. In the hospital database, Charlie owns τ records $\{\mathcal{R}_1, \dots, \mathcal{R}_\tau\}$ in their patient record table, which consists of $(k + \lambda)$ attributes. For evaluating the conjunctive query, we need only the k attributes from $(k + \lambda)$ attributes and their values in each record. From k values, we form a record $\mathcal{R}_\mu = \{w_{\mu,1}, \dots, w_{\mu,k}\}$. Here, each value $w_{\mu,i}$ is represented as a binary vector $w_{\mu,i} = (b_{\mu,i,0}, \dots, b_{\mu,i,l_i-1})$, such that $|w_{\mu,i}| = l_i$ with $1 \leq i \leq k$ and $1 \leq \mu \leq \tau$. To determine the conjunctive equality of V and \mathcal{R}_μ , we generate a large binary vector from the set V as $\mathbf{A} = (v_1, \dots, v_k)$ by concatenating k binary vectors sequentially in the set V . Similarly, we generate another large binary vector from the set \mathcal{R}_μ as $\mathbf{B}_\mu = (w_{\mu,1}, \dots, w_{\mu,k})$. Here, $|\mathbf{A}| = |\mathbf{B}_\mu| = \sum_{i=1}^k l_i = L$. We calculate the conjunctive equality between two sets V and \mathcal{R}_μ using the following equation,

$$\begin{aligned} \mathbb{H}_\mu &= \sum_{i=1}^k \sum_{j=1}^{l_i-1} |a_{i,j} - b_{\mu,i,j}| \\ &= \sum_{i=1}^k \sum_{j=1}^{l_i-1} (a_{i,j} + b_{\mu,i,j} - 2a_{i,j}b_{\mu,i,j}), \end{aligned} \tag{6}$$

where the Hamming distance between two binary vectors \mathbf{A} and \mathbf{B}_μ is denoted by \mathbb{H}_μ . In Equation (6), if $\mathbb{H}_\mu = 0$ for any μ , $\mathbf{A} = \mathbf{B}_\mu$; otherwise, $\mathbf{A} \neq \mathbf{B}_\mu$. Then, count the number of zeros for some positions μ to determine the total number of records matched with the given query. If the values in the query and database are encrypted here using the encryption algorithm of RLWE-based SwHE, Alice can securely verify her conjunctive equality query with the help of Bob and using the homomorphism property of the RLWE-based SwHE.

Here, the computation will be inefficient if we calculate the Hamming distance \mathbb{H}_μ for all τ records one by one instead of processing many records at a time. Therefore, a technique is needed to improve the performance of the required protocol for conjunctive query processing. A database consists of some tables, and each table contains numerous records. To process the PCQ with k conditions, the concatenation approach needs to perform the equality comparison many times. Therefore, the problem requires determining many equalities. We can solve this problem using the batch equality technique, as mentioned in [29].

3.4. Batch Technique for Boosting Performance

The method of running a single instruction on multiple data is called batching. We can enhance the performance of the required PCQ protocol using this batch technique within the lattice dimension n , which is called the batch private conjunctive query (BPCQ) protocol.

3.4.1. Batching for Traditional Methods

The performance of our techniques can be enhanced using the batch technique since we use RLWE-based SwHE for the homomorphic computation in which the performance mostly depends on the ring size, i.e., the lattice dimension n . Using the batch technique, each value v_i of the attribute α_i should be compared with τ values of the corresponding attribute in the table of a database. If the length of each record is l , the total length of the records will be $\tau \cdot l$. In addition, n should be at least $\tau \cdot l$ to process all records at a time for batch computation. We know that any high lattice dimension requires large amounts of memory, which may cause a sudden failure in the computation. Instead of τ , say t' data can be processed within the lattice dimension, i.e., $n \geq t' \cdot l$. Then, τ records of each attribute j can be divided into $\Omega = \lceil \tau/t' \rceil$ blocks. Here, t' records from a column of a table comprise a block.

3.4.2. Batching for Concatenation Method

Suppose that the length of each v_i and corresponding values w_i in the database is l_i , i.e., the records are variable in length, as k values will be compared with the corresponding k values of each record from the table of a database to decide the conjunctive equality. τ equality tests require processing the query over τ records. We use RLWE-based SwHE for the homomorphic calculation in the cloud in our protocol, in which the performance depends on the lattice dimension n , as mentioned in Section 3.4.1. Consider that the total length of k values in the query is $L = \sum_{i=1}^k l_i$. If we want to support batch processing of the PCQ problem, similar to the private batch equality test (PriBET) protocol as mentioned in [29], we need to compare many records from the database with our conjunctive query of length L . We already assumed that we have τ records in the table. If we want to process all τ records at a time, n should be equal to $\tau \cdot L$. Running the batch computation may create a large lattice dimension, which requires large memory in the system in the cloud. This massive memory requirement can surpass a regular machine's capacity in the cloud. To reduce the usage of memory, a block of data is processed at a time instead of all records, where all records of a table are split into blocks. For the given records, let η denote the total number of records accessed within the lattice dimension n such that $\eta = \lfloor n/L \rfloor$. In addition, we split the total records τ into p blocks such that $p = \lceil \tau/\eta \rceil$. Here, individual access of the τ -record of the table requires τ -round communication between Charlie and Bob. Conversely, the required BPCQ protocol will be able to access η records $\{\mathcal{R}_1, \dots, \mathcal{R}_\eta\}$ at a time, which reduces the rounds of communication between Charlie and Bob. Theoretically, we can decrease the communication complexity from τ to $\lceil \tau/\eta \rceil$ because of using the batch technique when sending records to the cloud. Now, we can encode the η records of each block as the coefficients of x with different exponents in a single polynomial to support the batch computation.

3.5. Batch Private Conjunctive Query Protocol

In this section, we discuss the required protocol for private conjunctive queries with the batch technique, which we name the batch private conjunctive query (BPCQ) protocol. Here, we consider the same scenario as mentioned in Section 3.1 to discuss the BPCQ protocol. In the given scenario, consider the same query vector $\mathbf{A} = (v_1, \dots, v_k)$ owned by Alice, where $v_i = (a_{i,0}, \dots, a_{i,l_i-1})$, as mentioned in Section 3.3. Charlie owns a patient record table, which contains τ records. For $1 \leq \sigma \leq p$ and $1 \leq d \leq \eta$, each record of the patient record table with k elements is represented by $\mathcal{R}_{\sigma,d} = \{w_{\sigma,d,1}, \dots, w_{\sigma,d,k}\}$, where $w_{\sigma,d,i} = (b_{\sigma,d,i,0}, \dots, b_{\sigma,d,i,l_i-1})$. To support batch computation in the protocol, we create a block as $\beta_\sigma = \{\mathcal{R}_{\sigma,1}, \dots, \mathcal{R}_{\sigma,\eta}\}$ containing η records, where $1 \leq \sigma \leq p$. Then, we form another batch binary vector from all records for each block β_σ as $\mathbf{B}_\sigma = (\mathbf{B}_{\sigma,1}, \dots, \mathbf{B}_{\sigma,\eta})$ where $\mathbf{B}_{\sigma,d} = (w_{\sigma,d,1}, \dots, w_{\sigma,d,k})$. The length of \mathbf{A} (resp., \mathbf{B}_σ) is L (resp., $\eta \cdot L$). Here, multiple

Hamming distance helps Bob to decide conjunctive equality between two vectors \mathbf{A} and \mathbf{B}_σ , which can be expressed as

$$\begin{aligned} \mathbb{H}_{\sigma,d} &= \sum_{i=1}^k \sum_{j=1}^{l_i-1} |a_{i,j} - b_{\sigma,d,i,j}| \\ &= \sum_{i=1}^k \sum_{j=1}^{l_i-1} (a_{i,j} + b_{\sigma,d,i,j} - 2a_{i,j}b_{\sigma,d,i,j}), \end{aligned} \tag{7}$$

where $1 \leq \sigma \leq p$ and $1 \leq d \leq \eta$. In the above equation, the Hamming distance between two binary vectors \mathbf{A} and $\mathbf{B}_{\sigma,d}$ is denoted by $\mathbb{H}_{\sigma,d}$. Here, the d th sub-vector of the vector \mathbf{B}_σ is $\mathbf{B}_{\sigma,d} = (w_{\sigma,d,1}, \dots, w_{\sigma,d,k})$. If $\mathbb{H}_{\sigma,d} = 0$ in Equation (7) for some (d, σ) , $\mathbf{A} = \mathbf{B}_{\sigma,d}$; otherwise, $\mathbf{A} \neq \mathbf{B}_{\sigma,d}$. As such, one can verify their conjunctive equality query and count the number of zeros for some (d, σ) to determine the total number of records satisfying the given conjunctive query. With the help of the above technique, the required BPCQ protocol is described by the following steps:

Inputs: $\mathbf{A} = (v_1, \dots, v_k)$, $\mathbf{B}_\sigma = (\mathbf{B}_{\sigma,1}, \dots, \mathbf{B}_{\sigma,\eta})$ where $v_i = (a_{i,0}, \dots, a_{i,l_i-1})$ and $\mathbf{B}_{\sigma,d} = (w_{\sigma,d,1}, \dots, w_{\sigma,d,k})$ for $1 \leq d \leq \eta$ and $1 \leq \sigma \leq p$.

Output: $|\{(\sigma, d) | \mathbf{A} = \mathbf{B}_{\sigma,d}\}|$

BPCQ protocol:

1. Alice uses the key generation algorithm of the RLWE-based SwHE to generate the public key and private key, and she keeps them secure.
2. Then, she transmits the public key to Charlie. She transmits conjunctive attributes information $\{\alpha_1, \dots, \alpha_k\}$ appearing in the query to Charlie.
3. Then, she forms a vector $\mathbf{A} = (v_1, \dots, v_k)$ using all v_i in the predicate of her query with a predefined order and length, where $v_i = (a_{i,0}, \dots, a_{i,l_i-1})$. Next, she uses the encryption algorithm to produce ciphertext of \mathbf{A} using her public key and transmits the ciphertext to Bob.
4. Charlie first generates another binary vector $\mathbf{B}_{\sigma,d} = (w_{\sigma,d,1}, \dots, w_{\sigma,d,k})$ by taking the same k elements from each record and keeping the same order and length as Alice's query, which then form a large binary vector $\mathbf{B}_\sigma = (\mathbf{B}_{\sigma,1}, \dots, \mathbf{B}_{\sigma,\eta})$ by merging η vectors of each block σ , where $1 \leq \sigma \leq p$.
5. Then, Charlie employs the same encryption algorithm to encrypt \mathbf{B}_σ using Alice's public key and sends the ciphertext to Bob.
6. According to batch equality tests in Equation (7), Bob performs the homomorphic evaluation and sends the encrypted result $ct(\mathbb{H}_{\sigma,d})$ to Alice to determine the number of records satisfying the query.
7. Alice engages the decryption algorithm to decrypt $ct(\mathbb{H}_{\sigma,d})$ using her secret key and counts the number of zeros appearing in the value $ct(\mathbb{H}_{\sigma,d})$ for $1 \leq \sigma \leq p$ and $1 \leq d \leq \eta$, and thus determines the total number of records that satisfies the given query.

3.6. Security of the Protocol

We demonstrate the security of our BPCQ protocol using the following theorem along with its proof, as mentioned in [23].

Theorem 2. *The BPCQ protocol is secure respecting the assumption that Bob is a semi-honest party, i.e., no probabilistic polynomial time (PPT) algorithm can obtain any information about the values existing in the predicate of a conjunctive query and the data in the database on the basis of exploiting an IND-CPA-secure RLWE-based SwHE applied to the protocol.*

Proof. In our BPCQ protocol, Bob in the cloud is a semi-honest (also known as honest-but-curious) party, i.e., he always follows the protocol but tries to gain information from the protocol. In the semi-honest model, the BPCQ protocol is IND-CPA-secure if it attains

indistinguishability against chosen-plaintext attack (CPA) by any PPT adversary. As the BPCQ protocol uses the RLWE-based SwHE scheme mentioned in Section 2, it generates a ciphertext pair (c_0, c_1) for every message $m \in R_t$ according to Equation (1). In a CPA attack, any adversary has access to the encryption algorithm and can choose the messages.

For each value v_i^* with $1 \leq i \leq k$ appearing in the predicate of a query, a challenger generates the ciphertext pair $(\hat{v}_{i_1}^*, \hat{v}_{i_2}^*)$ using the encryption algorithm of the RLWE-based SwHE scheme and sends them to the PPT adversary. The PPT adversaries randomly choose the query values \bar{v}_i and send them to the challenger for encryption. For input \bar{v}_i , the challenger outputs the ciphertext pair $(\bar{v}_{i_1}, \bar{v}_{i_2})$ and sends the pair to the adversary. According to the RLWE assumption mentioned in Section 2.2,

$$(\hat{v}_{i_1}^*, \hat{v}_{i_2}^*) \stackrel{c}{\approx} (\bar{v}_{i_1}, \bar{v}_{i_2}),$$

where $\stackrel{c}{\approx}$ denotes computational distinguishable between $(\hat{v}_{i_1}^*, \hat{v}_{i_2}^*)$ and $(\bar{v}_{i_1}, \bar{v}_{i_2})$. Therefore, the adversary cannot distinguish the two ciphertext pairs $(\hat{v}_{i_1}^*, \hat{v}_{i_2}^*)$ and $(\bar{v}_{i_1}, \bar{v}_{i_2})$ according to the RLWE assumption. In this situation, we affirm, in the semi-honest adversary model, that the BPCQ protocol is IND-CPA-secure under the RLWE assumption, which completes the proof. \square

4. Data Representation and Its Packing Method

In this section, we discuss the data representation procedure and the required packing methods for conjunctive query processing.

4.1. Data Representation for Conjunctive Query Processing

Depending on the dimension, data can be classified into two types: one-dimensional data and multi-dimensional data, as shown in Figure 6. All the values of an attribute appearing in the single column of a table in the database define one-dimensional data. For instance, one-dimensional data can be presented by a data set denoting the sex of the hospital's patients for a particular disease in 2014 as shown in Figure 6a. In contrast, all the values of several attributes appearing in the multiple columns of a table defines multi-dimensional data. For instance, multi-dimensional data compose a data set defining the sex of the patients with various diseases over several years, as shown in Figure 6b. However, we do not require one-dimensional data for evaluating our conjunctive query with the help of the batch technique. For evaluating the BPCQ protocol, we require a tailored packing method to efficiently process the multi-dimensional data, in which data security is ensured by the RLWE-based SwHE.

4.2. Packing Methods

The data packing method is the technique of binding the many bits in a single polynomial $f(x)$ as the coefficient of x with any exponent. From the existing literatures [17,18,36], a packing method can help make efficient protocols using the RLWE-based SwHE scheme. Now, we discuss the earlier packing methods used for secure computation with RLWE-based SwHE and their weaknesses, our tailored packing method, and the inner product property of the tailored packing method in the next subsections.

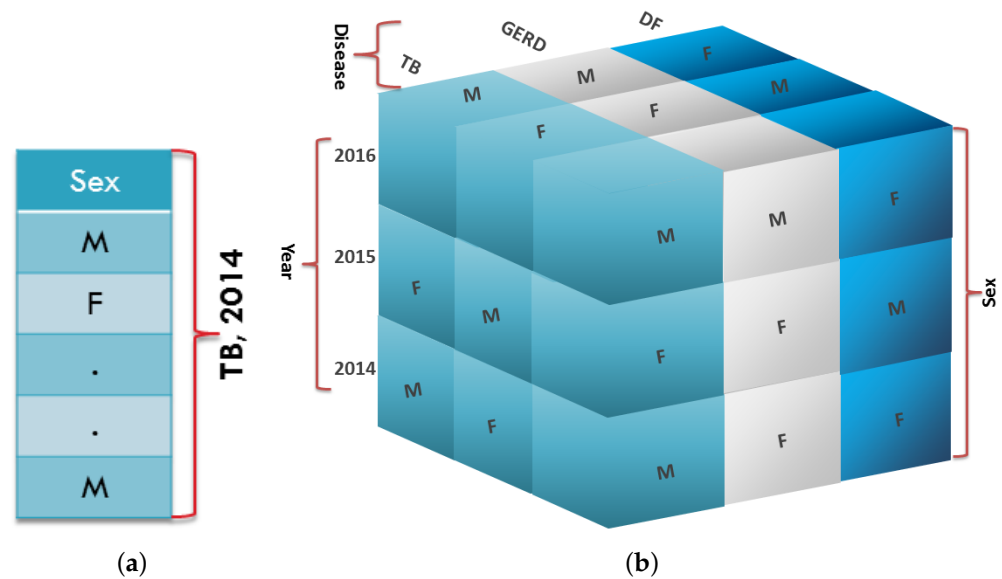


Figure 6. (a) One-dimensional data and (b) multi-dimensional data.

4.3. Existing Packing Method

Several packing methods [17,18,36] can be used in different applications along with RLWE-based SwHE for secure computation in the cloud. Lauter et al. [17] applied a packing method to sum 100 128-bit integers. They encoded every integer in a single polynomial $f(x)$ of degree n by embedding every bit as the coefficient of x with different exponents. For instance, if we want to encode an n -bit integer, we need to make an n -bit binary vector $\mathbf{A} = (a_0, a_1, \dots, a_{n-1})$, which can be represented by a polynomial using their packing method as follows,

$$Poly(\mathbf{A}) = \sum_{i=0}^{n-1} a_i x^i.$$

Lauter et al. [17] used the above packing method to encode every integer and encrypt them as $ct_{pack}(\mathbf{A}) = Enc(Poly(\mathbf{A}), pk)$ using the RLWE-based SwHE. Then, they sent those encrypted vectors to the cloud to perform the required addition homomorphically. The homomorphic evaluation of many arithmetic requires many additions and multiplications. The addition of two vectors such as $\mathbf{A} = (a_0, a_1, \dots, a_{n-1})$ and $\mathbf{B} = (b_0, b_1, \dots, b_{n-1})$ is easily computed using the above packing method. However, the homomorphic multiplication of \mathbf{A} and \mathbf{B} will increase the degree of the polynomial if we use the above packing method to encode these two vectors. If we want to keep the degree of the polynomial within n and perform Δ ($1 \geq \Delta \in \mathbb{Z}$) multiplications, we need to reduce the degree of the polynomial to (n/Δ) .

To overcome the weakness of reducing the degree of polynomial and performing many multiplications within the degree of n , Yasuda et al. [36] proposed another packing method within the polynomial degree of n , which helps privacy-preserving computation of the Hamming distance and Euclidean distance. For their packing method, they took two vectors $\mathbf{A} = (a_0, a_1, \dots, a_{n-1})$ and $\mathbf{B} = (b_0, b_1, \dots, b_{n-1})$ of length n and define the packing as

$$\begin{cases} Poly_a(\mathbf{A}) = \sum_{i=0}^{n-1} a_i x^i \\ Poly_b(\mathbf{B}) = - \sum_{i=0}^{n-1} a_i x^{n-i} \end{cases} \quad (8)$$

For the above pair of packing methods, the second method is new, whereas the first method is the same as the packing method in [17]. However, the above packing methods

are helpful for packing one-dimensional data. For processing our conjunctive query, we needed to design a packing method suitable for packing multi-dimensional data. Besides, we needed to introduce batching over the batch technique for the efficient computation of multiple Hamming distances in Equation (7). To increase the efficiency of calculation, our goal was to calculate multiple Hamming distances in a few multiplications. For this reason, a new packing method was needed to encode the multi-dimensional data within a single polynomial of degree n .

4.4. Our Packing Method

To decide the conjunctive equality between the query values and the data in the database using the multiple Hamming distance, we needed to encode the multi-dimensional data shown in Figure 6b. Here, we aimed to calculate this multiple Hamming distance with a few multiplications using the batch technique. To measure the Hamming distance between the query vector and each record of the σ th block, let us form an integer vector $\mathbf{A} = (v_1, \dots, v_k) \in R_l$ from the set V of length L , where $v_i = (a_{i,0}, \dots, a_{i,l_i-1})$. Then, we form another integer block vector $\mathbf{B}_\sigma = (\mathbf{B}_{\sigma,1}, \dots, \mathbf{B}_{\sigma,\eta}) \in R_t$, where $\mathbf{B}_{\sigma,d} = (w_{\sigma,d,1}, \dots, w_{\sigma,d,k})$ and $|\mathbf{B}_\sigma| = \eta \cdot L$. Here, the vector $\mathbf{B}_{\sigma,d}$ is formed from each record $\mathcal{R}_{\sigma,d}$ of the σ th block. The multiple Hamming distance means the distances between the vector \mathbf{A} and each sub-vector in \mathbf{B}_σ . The multiple Hamming distance in Equation (7) can be obtained by the inner product $\langle \mathbf{A}, \mathbf{B}_\sigma \rangle$. To measure this $\langle \mathbf{A}, \mathbf{B}_\sigma \rangle$ securely with RLWE-based SwHE in Section 2, we constructed a different packing method than the packing methods in Equation (8). To produce the inner product $\langle \mathbf{A}, \mathbf{B}_\sigma \rangle$ within degree n , we encoded the vectors \mathbf{A} and $\mathbf{B}_{\sigma,d}$ using such polynomials so that the inner product does not wrap around a coefficient of x with any exponent. By modifying the packing in [36], the desired packing methods to encode \mathbf{A} and $\mathbf{B}_{\sigma,d}$ can be formed in the same ring $R = \mathbb{Z}[x]/(x^n + 1)$ with $n \geq \eta \cdot L$ and $1 \leq \sigma \leq p$ as follows,

$$\begin{cases} Poly_1(\mathbf{A}) = \sum_{i=1}^k \sum_{j=0}^{l_i-1} a_{i,j} x^{\sum_{\gamma=0}^{i-1} l_\gamma + j} \\ Poly_2(\mathbf{B}_\sigma) = \sum_{d=1}^\eta \sum_{i=1}^k \sum_{j=0}^{l_i-1} b_{\sigma,d,i,j} x^{d \cdot L - (\sum_{\gamma=0}^{i-1} l_\gamma + j)}, \end{cases} \tag{9}$$

where $l_0 = 0$. Now, we can obtain the desired inner product $\langle \mathbf{A}, \mathbf{B}_\sigma \rangle$ after multiplying the above two polynomials, which later helps the multiple Hamming distance calculation between \mathbf{A} and \mathbf{B}_σ . Here, each Hamming distance appears as a coefficient of x with different exponents after multiplying the polynomials $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$, which produces multiple inner products, as described in the next subsection.

4.5. Obtaining the Multiple Inner Products

From the work in [36], the Hamming distance between two vectors can be calculated by the inner product of those two vectors. To obtain multiple inner products, consider the above two vectors \mathbf{A} and \mathbf{B}_σ again. For $1 \leq \sigma \leq p$, multiplication of the polynomials $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$ in Equation (9) can be presented as

$$\begin{aligned} & \left(\sum_{i=1}^k \sum_{j=0}^{l_i-1} a_{i,j} x^{\sum_{\gamma=0}^{i-1} l_\gamma + j} \right) \times \\ & \left(\sum_{d=1}^\eta \sum_{i=1}^k \sum_{j=0}^{l_i-1} b_{\sigma,d,i,j} x^{d \cdot L - (\sum_{\gamma=0}^{i-1} l_\gamma + j)} \right) \\ &= \sum_{d=1}^\eta \sum_{i=1}^k \sum_{j=0}^{l_i-1} a_{i,j} b_{\sigma,d,i,j} x^{d \cdot L} \\ &= \sum_{d=1}^\eta \langle \mathbf{A}, \mathbf{B}_{\sigma,d} \rangle x^{d \cdot L} + \text{ToHD} + \text{ToLD}, \end{aligned} \tag{10}$$

where $Poly_1(\mathbf{A}) \times Poly_2(\mathbf{B}_\sigma) \in R$. In the above equation, \mathbf{A} is a binary vector and $\mathbf{B}_{\sigma,d}$ defines the d th sub-vector of the binary vector \mathbf{B}_σ , where $|\mathbf{A}| = |\mathbf{B}_{\sigma,d}| = L$. ToHD defines the terms of higher degree with $pow(x) > d \cdot L$ and the ToLD defines the terms of lower degrees with $pow(x) < d \cdot L$. Equation (10) shows that a single multiplication $Poly_1(\mathbf{A}) \times Poly_2(\mathbf{B}_\sigma)$ produces η inner products of \mathbf{A} and $\mathbf{B}_{\sigma,d}$. To complete the private computation in the cloud using RLWE-based SwHE, we can encrypt the polynomials $Poly_i(A) \in R$ in Equation (9) with $i = \{1, 2, 3, 4\}$ as

$$ct_i(A) = \text{Enc}(Poly_i(A), pk) \in (R_q)^2, \tag{11}$$

where $ct_i(A)$ defines the packed ciphertext of $Poly_i(A)$. To obtain multiple inner products $\langle \mathbf{A}, \mathbf{B}_{\sigma,d} \rangle$ over packed ciphertexts, the following proposition needs to be true:

Proposition 1. *Suppose $\mathbf{A} = (v_1, \dots, v_k) \in R_t$ is an integer vector, where $v_i = (a_{i,0}, \dots, a_{i,l_i-1})$ and $|\mathbf{A}| = L$. Let $\mathbf{B}_\sigma = (\mathbf{B}_{\sigma,1}, \dots, \mathbf{B}_{\sigma,\eta}) \in R_t$ be another integer vector where $\mathbf{B}_{\sigma,d} = (w_{\sigma,d,1}, \dots, w_{\sigma,d,k})$ and $|\mathbf{B}_\sigma| = \eta \cdot L$. For $1 \leq d \leq \eta$, the vector \mathbf{B}_σ includes η sub-vectors, where the length of each sub-vector is L . These vectors \mathbf{A} and \mathbf{B}_σ are encoded as polynomials $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$, respectively, by Equation (9). From Equation (11), if we represent the ciphertexts of $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$ as $ct_1(\mathbf{A}) \in (R_q)^2$ and $ct_2(\mathbf{B}_\sigma) \in (R_q)^2$, respectively, the decryption of the result obtained from $ct_1(\mathbf{A}) \boxtimes ct_2(\mathbf{B}_\sigma) \in (R_q)^3$ will output a polynomial in R_t including coefficients $\langle \mathbf{A}, \mathbf{B}_{\sigma,d} \rangle$ of $x^{d \cdot L}$ under the condition of Lemma 1.*

Proof. $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$ are constructed from the vectors \mathbf{A} and \mathbf{B}_σ , respectively, using the packing method in Equation (9). By applying RLWE-based SwHE to the polynomials $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$, the ciphertexts $ct_1(\mathbf{A})$ and $ct_2(\mathbf{B}_\sigma)$ are produced, respectively, by Equation (11). By the correctness in Equation (5) and Lemma 1, the homomorphic multiplication of $ct_1(\mathbf{A})$ and $ct_2(\mathbf{B}_\sigma)$ corresponds to the multiplication of the polynomials $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$ in the ring R_t . From the multiple inner products in Equation (10), the multiplication of the polynomials $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$ outputs η inner products $\langle \mathbf{A}, \mathbf{B}_{\sigma,d} \rangle$ as the coefficients of $x^{d \cdot L}$, which equals $\sum_{d=1}^{\eta} \sum_{i=1}^k \sum_{j=0}^{l_i-1} a_{i,j} b_{\sigma,d,i,j}$ for $1 \leq \sigma \leq p$. Consequently, the homomorphic multiplication of the packed ciphertexts $ct_1(\mathbf{A})$ and $ct_2(\mathbf{B}_\sigma)$ simultaneously computes the multiple inner products for $1 \leq \sigma \leq p$ and $1 \leq d \leq \eta$. For this reason, Proposition 1 holds under the correctness in Equation (5) and Lemma 1. \square

5. Improving the Batch Private Conjunctive Query Protocol

In this section, we briefly discuss another encoding technique used to improve the performance of our BPCQ protocol mentioned in Section 3.5. Next, we demonstrate the improved protocol using the encoding technique, the required packing methods, and the multiple inner products obtained from the packing methods.

5.1. Binary vs. N-ary Encoding

Binary encoding refers to the technique of converting an l -bit integer into binary over $\{0, 1\}^l$. We already used binary data encoding for the BPCQ protocol, which is mentioned in Section 3.5. As mentioned in Section 4, the packing method allows encoding many data in a single polynomial of degree n . We know from the works in [21,22] that the computational cost of an RLWE-based protocol increases with the increase in the lattice dimension n . Therefore, if we can exploit the lattice dimension, we can reduce the computational cost of the BPCQ protocol. In contrast, the N -ary ($N \geq 2$) encoding refers to the technique of converting data into N -ary-encoded numbers. Yasuda et al. [18] employed N -ary encoding for their efficient statistical computation in the cloud. In this paper, we consider exploiting the N -ary fixed-length data encoding [22] rather than the naive N -ary encoding to improve the performance of the BPCQ protocol. In N -ary fixed-length encoding, the most significant digit is zero if the length of the encoded number is less than estimated length of an N -

ary-encoded number. Furthermore, data are encoded over $\{0, 1, \dots, N - 1\}^{l'}$, in which l' denotes the length of the N -ary-encoded number. If we encode an l -bit integer Z into an l' -digits N -ary-encoded number, then

$$l' = \lceil l / \log_2(N) \rceil.$$

From the above equation, we achieve a lattice reduction factor of $\lceil \log_2(N) \rceil$ when processing the BPCQ protocol using N -ary encoding rather than binary encoding.

5.2. Private Conjunctive Query Protocol Using N -ary Encoding

From RLWE-based homomorphic encryption, the computational cost mostly depends on the lattice dimension required for the computation. If we can reduce the lattice dimension by keeping the message space and security at an acceptable level, we can reduce the cost of the homomorphic computation. Saha and Koshiba [22] noted a lattice reduction factor of $\log_2(N)$ by employing N -ary fixed-length encoding. They used the encoding in their PriBET protocol to improve the protocol's efficiency. To use the same encoding in our BPCQ protocol, we modified our multiple Hamming distance computation and packing method as follows.

Let us consider the same l -bit integers v_i and $w_{\sigma,d,i}$ (see Section 3.5), which appear in the query and database, respectively. By applying N -ary encoding to v_i and $w_{\sigma,d,i}$, they can be represented by vectors $v'_i = (a_{i,0}, \dots, a_{i,l'_i-1})$ and $w'_{\sigma,d,i} = (b_{\sigma,d,i,0}, \dots, b_{\sigma,d,i,l'_i-1})$, respectively. We want to measure the distance between two N -ary-encoded vectors v'_i and $w'_{\sigma,d,i}$. To apply the batch technique, we make two large batch vectors, such as a query vector $\mathbb{A} = (v'_1, \dots, v'_k) \in R_t$ of length $L_N = \sum_{i=1}^k l'_i$ and record vector $\mathbb{B}_\sigma = (\mathbb{B}_{\sigma,1}, \dots, \mathbb{B}_{\sigma,\eta})$ where $\mathbb{B}_{\sigma,d} = (w'_{\sigma,d,1}, \dots, w'_{\sigma,d,k})$ with $|\mathbb{B}_\sigma| = \eta \cdot L_N$. As the Hamming distance only works for binary data, we need a different distance measurement technique than the multiple Hamming distance in Equation (7) to measure the conjunctive equality between query vector \mathbb{A} and record vector \mathbb{B}_σ . Here, we can use the square Euclidean distance (SED) technique to measure the distance between \mathbb{A} and \mathbb{B}_σ as follows,

$$\begin{aligned} E_{\sigma,d} &= \sum_{i=1}^k \sum_{j=1}^{l'_i-1} (a_{i,j} - b_{\sigma,d,i,j})^2 \\ &= \sum_{i=1}^k \sum_{j=1}^{l'_i-1} (a_{i,j}^2 + b_{\sigma,d,i,j}^2 - 2a_{i,j}b_{\sigma,d,i,j}), \end{aligned} \tag{12}$$

where $1 \leq \sigma \leq p$ and $1 \leq d \leq \eta$. In the above equation, $E_{\sigma,d}$ denotes the Euclidean distance between two N -ary-encoded vectors \mathbb{A} and $\mathbb{B}_{\sigma,d}$. Here, $\mathbb{B}_{\sigma,d}$ is the d th sub-vector of \mathbb{B}_σ . For some positions d in the block σ in Equation (12), if $E_{\sigma,d} = 0$, $\mathbb{A} = \mathbb{B}_{\sigma,d}$; otherwise, $\mathbb{A} \neq \mathbb{B}_{\sigma,d}$. Then, count the number of zeros for some positions d in the σ th block to decide the total number of records satisfying the given query, which, in turn, helps to evaluate the conjunctive equality query.

In particular, consider the same integer vectors $\mathbb{A} \in R_t$ from the set V of length L_N and \mathbb{B}_σ in which length of each element of the vectors is l' . In addition, we make the following subtle change in the packing methods in Equation (9),

$$\begin{cases} Poly_3(\mathbb{A}) = \sum_{i=1}^k \sum_{j=0}^{l'_i-1} a_{i,j} x^{\sum_{\gamma=0}^{i-1} l_\gamma + j} \\ Poly_4(\mathbb{B}_\sigma) = \sum_{d=1}^\eta \sum_{i=1}^k \sum_{j=0}^{l'_i-1} b_{\sigma,d,i,j} x^{d \cdot L_N - (\sum_{\gamma=0}^{i-1} l_\gamma + j)}, \end{cases} \tag{13}$$

where $l'_0 = 0$ and $L_N = \sum_{i=1}^k l'_i$. Let us name the binary encoding-based BPCQ protocol in Section 3.5 the BPCQ₂ protocol. We needed to make some changes to the BPCQ₂ protocol

to comply with N -ary encoding. That means Bob computes $ct(\mathbf{E}_{\sigma,d})$ using Equation (12) and sends the encrypted result to Alice to determine the batch equalities. Here, we name the BPCQ protocol with N -ary encoding the BPCQ $_N$ protocol.

Inputs: $\mathbb{A} = (v'_1, \dots, v'_k)$, $\mathbb{B}_\sigma = (\mathbb{B}_{\sigma,1}, \dots, \mathbb{B}_{\sigma,\eta})$, where $v_i = (a_{i,0}, \dots, a_{i,l'_i-1})$ and $\mathbb{B}_{\sigma,d} = (w'_{\sigma,d,1}, \dots, w'_{\sigma,d,k})$ for $1 \leq d \leq \eta$ and $1 \leq \sigma \leq p$.

Output: $|\{(\sigma, d) | \mathbb{B}_{\sigma,d} = \mathbb{A}\}|$

BPCQ $_N$ protocol:

1. First, Alice generates the public and private keys. She transmits the public key and conjunctive attributes information $\{\alpha_1, \dots, \alpha_k\}$ to Charlie.
2. By preserving a predefined order, she makes a vector $\mathbb{A} = (v'_1, \dots, v'_k)$ by including the k values, which appear in the predicate of her conjunctive query. Here, $v'_i = (a_{i,0}, \dots, a_{i,l'_i-1})$ and its length is l'_i . After encrypting \mathbb{A} using her public key, she transmits the ciphertext to Bob.
3. By maintaining the same order as Alice, Charlie also makes another vector $\mathbb{B}_\sigma = (\mathbb{B}_{\sigma,1}, \dots, \mathbb{B}_{\sigma,\eta})$ from η records of each block σ , where $\mathbb{B}_{\sigma,d} = (w'_{\sigma,d,1}, \dots, w'_{\sigma,d,k})$ with $1 \leq \sigma \leq p$. Charlie uses Alice's public key to encrypt \mathbb{B}_σ and delivers the corresponding ciphertext to Bob.
4. For each block σ , Bob employs Equation (12) to perform the homomorphic evaluation of the conjunctive batch equality test instead of Equation (7), and transmits the encrypted result $ct(\mathbf{E}_{\sigma,d})$ to Alice to retrieve the number of zeros appearing for some positions d in each σ -block.
5. For $1 \leq \sigma \leq p$ and $1 \leq d \leq \eta$, Alice engages the decryption algorithm and her secret key to decrypt $ct(\mathbf{E}_{\sigma,d})$ and produces the polynomial $Poly(\mathbf{E}_{\sigma,d})$. Then, she counts the number of zeros appearing in the coefficients of polynomial $Poly(\mathbf{E}_{\sigma,d})$ for deciding the conjunctive equalities, and thus counts the total number of records satisfying the given query.

Remark 1. We can prove the security of the above protocol in a similar fashion to that mentioned in Theorem 2. This means the above protocol is secure with respect to the assumption that Bob is semi-honest, i.e., they follow the protocol always. However, Bob tries to acquire as much information as possible from the protocol.

5.3. Obtaining Multiple Inner Products

Here, we take the same vectors \mathbb{A} and \mathbb{B}_σ . To obtain the required multiple inner products, we exploit the multiplication of the polynomials $Poly_3(\mathbb{A})$ and $Poly_4(\mathbb{B}_\sigma)$, which can be presented as

$$\begin{aligned}
 & \left(\sum_{i=1}^k \sum_{j=0}^{l'_i-1} a_{i,j} x^{\sum_{\gamma=0}^{i-1} l_\gamma + j} \right) \times \\
 & \left(\sum_{d=1}^\eta \sum_{i=1}^k \sum_{j=0}^{l'_i-1} b_{\sigma,d,i,j} x^{d \cdot L_N - (\sum_{\gamma=0}^{i-1} l_\gamma + j)} \right) \\
 &= \sum_{d=1}^\eta \sum_{i=1}^k \sum_{j=0}^{l'_i-1} a_{i,j} b_{\sigma,d,i,j} x^{d \cdot L_N} \\
 &= \sum_{d=1}^\eta \langle \mathbb{A}, \mathbb{B}_{\sigma,d} \rangle x^{d \cdot L_N} + \text{ToHD} + \text{ToLD},
 \end{aligned} \tag{14}$$

where $Poly_3(\mathbb{A}) \times Poly_4(\mathbb{B}_\sigma) \in R$. Here, $\mathbb{B}_{\sigma,d}$ is the d th sub-vector of record vector \mathbb{B}_σ , where $1 \leq d \leq \eta$ and $1 \leq \sigma \leq p$. The length of both vectors \mathbb{A} and $\mathbb{B}_{\sigma,d}$ is L_N . ToHD means $pow(x) > d \cdot L_N$ and ToLD means $pow(x) < d \cdot L_N$. The result in Equation (14) shows that one multiplication $Poly_3(\mathbb{A}) \times Poly_4(\mathbb{B}_\sigma)$ produces η inner products $\langle \mathbb{A}, \mathbb{B}_{\sigma,d} \rangle$. Saha and Koshiba [22] showed that the inner product helps to calculate the square Euclidean

distances. The following proposition must be satisfied to calculate multiple Euclidean distances using the inner product.

Proposition 2. Let $\mathbb{A} = (v'_1, \dots, v'_k) \in R_t$ be an N -ary-encoded integer vector, where $v'_i = (a_{i,0}, \dots, a_{i,l'_i-1})$ and $|\mathbb{A}| = L_N$. Let $\mathbb{B}_\sigma = (\mathbb{B}_{\sigma,1}, \dots, \mathbb{B}_{\sigma,\eta}) \in R_t$ be another N -ary-encoded integer vector of length $\eta \cdot L_N$, where $\mathbb{B}_{\sigma,d} = (w'_{\sigma,d,1}, \dots, w'_{\sigma,d,k})$. For $1 \leq d \leq \eta$, the vector \mathbb{B}_σ contains η sub-vectors, where $|\mathbb{B}_{\sigma,d}| = L_N$. Now, encode the vectors \mathbb{A} and \mathbb{B}_σ as polynomials $Poly_3(\mathbb{A})$ and $Poly_4(\mathbb{B}_\sigma)$, respectively, using Equation (13). If the ciphertexts of $Poly_3(\mathbb{A})$ and $Poly_4(\mathbb{B}_\sigma)$ can be represented as $ct_3(\mathbb{A}) \in (R_q)^2$ and $ct_4(\mathbb{B}_\sigma) \in (R_q)^2$, respectively, by Equation (11), the decryption of the homomorphic multiplication $ct_3(\mathbb{A}) \boxtimes ct_4(\mathbb{B}_\sigma) \in (R_q)^3$ will produce a polynomial in R_t with coefficients $\langle \mathbb{A}, \mathbb{B}_{\sigma,d} \rangle$ of $x^{d \cdot L_N}$ with respect to Lemma 1.

Proof. We pack the vectors \mathbb{A} and \mathbb{B}_σ to form polynomials $Poly_3(\mathbb{A})$ and $Poly_4(\mathbb{B}_\sigma)$, respectively, using the packing method in Equation (13). In addition, the ciphertexts $ct_3(\mathbb{A})$ and $ct_4(\mathbb{B}_\sigma)$ are generated from $Poly_3(\mathbb{A})$ and $Poly_4(\mathbb{B}_\sigma)$, respectively, by Equation (11). By the correctness in Equation (5) and Lemma 1, the homomorphic multiplication of $ct_3(\mathbb{A})$ and $ct_4(\mathbb{B}_\sigma)$ corresponds to the polynomial multiplication of $Poly_3(\mathbb{A})$ and $Poly_4(\mathbb{B}_\sigma)$ in the ring R_t . From the inner product in Equation (10), the polynomial multiplication of $Poly_3(\mathbb{A})$ and $Poly_4(\mathbb{B}_\sigma)$ produces the inner products $\langle \mathbb{A}, \mathbb{B}_{\sigma,d} \rangle$ as the coefficients of $x^{d \cdot L_N}$, which equals $\sum_{d=1}^{\eta} \sum_{i=1}^k \sum_{j=0}^{l'_i-1} a_{i,j} b_{\sigma,d,i,j}$ for $1 \leq \sigma \leq p$. The homomorphic multiplication of $ct_3(\mathbb{A})$ and $ct_4(\mathbb{B}_\sigma)$ concurrently produces multiple inner products for $1 \leq d \leq \eta$ and $1 \leq \sigma \leq p$. For this reason, Proposition 2 holds under the correctness in Equation (5) and Lemma 1. \square

6. Homomorphic Evaluation of Private Conjunctive Query Protocols

In the following subsections, we demonstrate the process of homomorphic evaluation of the batch private conjunctive query (BPCQ) protocols (see Sections 3 and 5.2 for details) in the cloud.

6.1. Homomorphic Evaluation of the BPCQ₂ Protocol

We performed the homomorphic evaluation of our BPCQ₂ protocol using the RLWE-based SwHE scheme in Section 2, the packing method in Equation (13), and the multiple inner products in Equation (??), along with Proposition 1. For $1 \leq d \leq \eta$ and $1 \leq \sigma \leq p$, Bob needs to determine the values of the Hamming distance $\mathbb{H}_{\sigma,d}$ as mentioned in Equation (7).

6.1.1. Evaluation Over Packed Ciphertext

In the BPCQ₂ protocol, Bob needs to determine the Hamming distance $\mathbb{H}_{\sigma,d}$ between two integer vectors \mathbf{A} and \mathbf{B}_σ (see Section 4.4 for details) in an encrypted manner. To provide security for the protocol, we use the RLWE-based SwHE in which the encryption and decryption algorithms work on polynomials rather than integer vectors. For this reason, Alice (resp., Charlie) encodes the integer vector \mathbf{A} (resp., \mathbf{B}_σ) as $Poly_1(\mathbf{A})$ (resp., $Poly_2(\mathbf{B}_\sigma)$) using the packing methods in Equation (9). They also encrypt $Poly_1(\mathbf{A})$ and $Poly_2(\mathbf{B}_\sigma)$ using Equation (11) to produce packed ciphertext vectors $ct_1(\mathbf{A}) \in (R_q)^2$ and $ct_2(\mathbf{B}_\sigma) \in (R_q)^2$, respectively, and send these packed ciphertexts to Bob in the cloud. The Hamming distance can be calculated by the inner products. Now, Bob can determine multiple Hamming distances $\mathbb{H}_{\sigma,d}$ in an encrypted manner using the multiple inner products property in Equation (10), which produces the output $ct(\mathbb{H}_{\sigma,d})$. With the help of the homomorphic property of RLWE-based SwHE, Bob calculates $ct(\mathbb{H}_{\sigma,d})$ over the packed ciphertexts as

$$ct_1(\mathbf{A}) \boxtimes ct_2(\mathbf{V}_B) \boxplus ct_2(\mathbf{B}_\sigma) \boxtimes ct_1(\mathbf{V}_L) \boxplus (-2ct_1(\mathbf{A}) \boxtimes ct_2(\mathbf{B}_\sigma)), \tag{15}$$

where $ct(\mathbb{H}_{\sigma,d})$ is obtained by only three homomorphic multiplications along with two homomorphic additions for packed ciphertexts. In the above equation, \mathbf{V}_B (resp., \mathbf{V}_L) defines the integer vector of length $\eta \cdot L$ (resp., L) in which every element of the vector is 1 such as $(1, \dots, 1)$. According to the multiple inner products property, $ct(\mathbb{H}_{\sigma,d})$ contains the desired Hamming distances as the coefficients of $x^{d \cdot L}$. Then, Bob transmits $ct(\mathbb{H}_{\sigma,d})$ to Alice to recover the actual Hamming distances that are zeros to decide the equality between vector \mathbf{A} and the sub-vectors of \mathbf{B}_σ . According to Proposition 1 and the BPCQ₂ protocol, Alice engages the decryption algorithm to decrypt $ct(\mathbb{H}_{\sigma,d})$ using her secret key in the ring R_q and obtains all $\mathbb{H}_{\sigma,d}$ as the coefficients of $x^{d \cdot L}$ from the plaintext of $ct(\mathbb{H}_{\sigma,d})$. Then, Alice counts the number of $\mathbb{H}_{\sigma,d} = 0$ for some $1 \leq d \leq \eta$ and $1 \leq \sigma \leq p$. As such, Alice determines the total number of records that satisfies the conjunctive conditions in the given query of the BPCQ₂ protocol.

6.1.2. Solving Additional Information Leakage Problem of the BPCQ_N Protocol

As Alice obtains the packed ciphertext $ct(\mathbb{H}_{\sigma,d})$ from Bob for decryption in the BPCQ₂ protocol and checks every coefficient to find $\mathbb{H}_{\sigma,d} = 0$, she may learn more information than her required information from the protocol. According to Proposition 1, Alice must only check the coefficients of $x^{d \cdot L}$. To solve this problem, we use a random polynomial $r(x) \in R$ in which every coefficient is random except when the coefficient of $x^{d \cdot L}$ is zero. In the BPCQ₂ protocol, Bob adds $r(x)$ to $ct(\mathbb{H}_{\sigma,d})$. This random polynomial $r(x)$ in the ring R can be defined as

$$r(x) = r_0 + \sum_{d=0}^{n/L} \sum_{i=1}^{L-1} r_{d \cdot L+i} x^{d \cdot L+i}.$$

We know that $ct(\mathbb{H}_{\sigma,d})$ includes three ciphertext components as (c_0, c_1, c_2) . Here, Bob adds the random polynomial $r(x)$ to $ct(\mathbb{H}_{\sigma,d})$, which produces another ciphertext $ct(\mathbb{H}'_{\sigma,d})$ such that $ct(\mathbb{H}'_{\sigma,d}) = ct(\mathbb{H}_{\sigma,d}) \boxplus r(x) = (c_0 \boxplus r(x), c_1, c_2)$. Using this randomization, Bob hides all coefficients of the polynomial $ct(\mathbb{H}_{\sigma,d}) \boxplus r(x)$ from Alice except some coefficients with $x^{d \cdot L}$. As such, the mentioned information leakage problem is solved in the BPCQ₂ protocol.

6.2. Homomorphic Evaluation of the BPCQ_N Protocol

In this subsection, we discuss the homomorphic evaluation of the BPCQ_N protocol mentioned in Section 5.2. We evaluate the BPCQ_N protocol with the help of the RLWE-based SwHE scheme in Section 2, the packing methods in Equation (13), multiple inner products property in Equation (14), and Proposition 2. For this protocol, we need to determine $\mathbf{E}_{\sigma,d}$, as mentioned in Equation (12), which defines the Euclidean distance between the vector \mathbf{A} and each sub-vector of \mathbf{B}_σ . We know that the encryption and decryption algorithms of RLWE-based SwHE scheme work on polynomials. In our protocol, Alice encodes the vector \mathbf{A} as the polynomial $Poly_3(\mathbf{A})$ using the packing methods in packing methods in Equation (13). Using Equation (11), she encrypts the polynomial $Poly_3(\mathbf{A})$ as $ct_3(\mathbf{A}) \in (R_q)^2$ and $ct_3(\mathbf{A}^2) \in (R_q)^2$ and transmits them to Bob in the cloud. Similarly, Charlie encodes \mathbf{B}_σ as the polynomial $Poly_4(\mathbf{B}_\sigma)$ using the same packing methods and transmits $ct_4(\mathbf{B}_\sigma) \in (R_q)^2$ and $ct_4(\mathbf{B}_\sigma^2) \in (R_q)^2$ to Bob after encryption using Equation (11). We know that the Euclidean distance can be computed by three homomorphic multiplications and two homomorphic additions. Similar to the BPCQ₂ protocol, we homomorphically evaluate $ct(\mathbf{E}_{\sigma,d})$ as

$$ct_3(\mathbf{A}^2) \boxtimes ct_4(\mathbf{V}_B) \boxplus ct_4(\mathbf{B}_\sigma^2) \boxtimes ct_3(\mathbf{V}_L) \boxplus (-2ct_3(\mathbf{A}) \boxtimes ct_4(\mathbf{B}_\sigma)), \tag{16}$$

where \mathbf{V}_B (resp., \mathbf{V}_L) defines the integer vector of length $\eta \cdot L_N$ (resp., L_N), which forms as $(1, \dots, 1)$. The ciphertext $ct(\mathbf{E}_{\sigma,d})$ contains the desired Euclidean distances $\mathbf{E}_{\sigma,d}$. Now, Bob sends $ct(\mathbf{E}_{\sigma,d})$ to Alice for decryption. Similar to the BPCQ₂ protocol, Alice decrypts $ct(\mathbf{E}_{\sigma,d})$ in the ring R_q with her secret key and extracts $\mathbf{E}_{\sigma,d}$ as a coefficient of $x^{d \cdot L_N}$ from the

plaintext of $ct(\mathbf{E}_{\sigma,d})$ by Proposition 2. As such, Alice estimates the total number of records satisfying the conjunctive conditions in the given query.

Similar to the BPCQ₂ protocol, the BPCQ_N protocol also suffers from the information leakage problem because of revealing the whole polynomial $ct(\mathbf{E}_{\sigma,d})$ to Alice, as Alice only need to verify the coefficients of $x^{d \cdot L_N}$ to decide the conjunctive equalities. We can solve this information leakage problem by using an analogous technique applied to the BPCQ₂ protocol as indicated in Section 6.1.2. We use the following random polynomial in the ring R as

$$r_N(x) = r_0 + \sum_{d=0}^{n/L_N} \sum_{i=1}^{L_N-1} r_{d \cdot L_N + i} x^{d \cdot L_N + i}.$$

Owing to the single homomorphic multiplication in the Euclidean distance computation by Bob, the ciphertext $ct(\mathbf{E}_{\sigma,d})$ is composed of three components: c'_0 , c'_1 , and c'_2 . To prevent information leakage, Bob adds $r_N(x)$ to the ciphertext $ct(\mathbf{E}_{\sigma,d})$ such that $ct(\mathbf{E}'_{\sigma,d}) = ct(\mathbf{E}_{\sigma,d}) \boxplus r_N(x) = (c'_0 \boxplus r_N(x), c'_1, c'_2)$. In this case, the resulting ciphertext $ct(\mathbf{E}'_{\sigma,d})$ contains all the conjunctive equality information between the vector \mathbb{A} and each sub-vector of \mathbb{B}_σ as the coefficients of $x^{d \cdot L_N}$, and conceals all other coefficients using the mentioned randomization. With this technique, the information leakage from the decryption of the polynomial $ct(\mathbf{E}'_{\sigma,d})$ is suppressed excluding the coefficients of $x^{d \cdot L_N}$.

Remark 2. Here, the coefficients of $x^{d \cdot L}$ (resp., $x^{d \cdot L_N}$) are leaked to Alice during checking the zero values despite addressing the information leakage problems in the BPCQ₂ (resp., BPCQ_N) protocol. However, it does not reveal any information regarding actual values in the database along with record indexes.

7. Performance Analysis

In this section, we briefly discuss the security weaknesses of the proposed protocols and their possible countermeasures. Then, we compare the performance of our BPCQ₂ protocol with that of Cheon et al.'s protocol [28] (in short, CKK protocol) when processing the private conjunctive query. Here, the BPCQ₂ protocol scenario is different from that of the CKK protocol [28], though both protocols process private conjunctive query. First, we discuss the theoretical evaluation of the protocol along with its correctness and the experimental settings of the used parameters in the protocol. Then, we analyze the security of the BPCQ₂ protocol using an experimental evaluation to show its practical performance using RLWE-based SwHE.

7.1. Security Weaknesses and Countermeasures

Here, we describe the probable weaknesses related to security that may arise in our proposed protocols and their possible countermeasures.

7.1.1. Security Weaknesses

In this paper, we propose the BPCQ₂ and BPCQ_N protocols, and we use RLWE-based SwHE in the semi-honest model. Here, we ensure the security of the values appearing in the predicate of the queries and the data in the database. Both of our protocols are CPA-secure, i.e., secure against attacks using quantum computers. We consider following two weaknesses for our protocols.

- When Charlie encrypts and sends Bob all the encrypted data with an insecure channel, Alice can eavesdrop. Alice provides Charlie with a public key and has a private key for it, so if Alice obtains Charlie's encrypted data, Alice can obtain all the database's information. This will compromise the basic security of the cryptographic protocol.
- Our protocols are unable to prevent real-world attacks by malicious adversaries because we followed the semi-honest model for protocol security.

7.1.2. Countermeasures Against the Weaknesses

To mitigate against eavesdropping attacks during data transmission between Charlie and Bob, we can use a standard encryption that was used in an earlier work [37]. In our protocols, Charlie encrypts his database using the public key provided by Alice. Then, Charlie re-encrypts the encrypted data using the secret key shared by Charlie and Bob. Now, Alice will not be able to eavesdrop during the data transmission from Charlie to Bob. Here, encryption will ensure data secrecy during transmission through an insecure channel. To resolve the next problem, we can convert our protocol from the semi-honest model to malicious model. If we want to convert the protocols from the semi-honest model to the malicious model, existing works [38,39] support the conversion, but the efficiency of our protocols will be decreased by this conversion. We used the semi-honest model to ensure the efficiency of the designed protocols.

7.2. Theoretical Evaluation

In this subsection, we theoretically compare the CKK [28] protocol with our BPCQ₂ protocol in terms of the multiplication depth of the equality computation. We determine the conjunctive equality using the Hamming distance in Equation (7). As indicated in Equation (15), three polynomial multiplications are required for the homomorphic evaluation of this Hamming distance. As shown in Table 1, the CKK protocol needs a multiplication depth of $\log l$ for their equality circuit for comparing two l -bit integers. Conversely, due to using our tailored packing method, our method requires a multiplication depth of $\log 3$ for the conjunctive equality circuit, which compares two l -bit integers. The communication complexity of the BPCQ₂ protocol is $\mathcal{O}(m \cdot L \log q)$. Now, we discuss the correctness of the homomorphic evaluation of the BPCQ₂ protocol.

Table 1. Theoretical performance comparison between BPCQ₂ method and Cheon et al.'s method [28].

Operation	Data Size	Depth of Multiplication	
		Cheon et al.'s method [28]	Our method
Equality	l -bit	$\log l$	$\log 3$

7.3. Correctness

The ciphertext $ct(\mathbb{H}_{\sigma,d})$ in Equation (15) generates a correct result if it satisfies the condition $\|\langle ct(\mathbb{H}_{\sigma,d}), \mathbf{s} \rangle\|_{\infty} < \frac{q}{2}$ according to Lemma 1. In case of any fresh ciphertext $ct \in (R_q)^2$, U defines the upper bound for the ∞ norm $\|\langle ct, \mathbf{s} \rangle\|_{\infty}$, where $U = 2t\delta^2\sqrt{n}$ (see Theorem 3.3 in [17]). Now, we define the ∞ -norm size of $ct(\mathbb{H}_{\sigma,d})$ with the inequality as $\|\langle ct(\mathbb{H}_{\sigma,d}), \mathbf{s} \rangle\|_{\infty} < 2nU + 2nU^2 \approx 8n^2t^2\delta^4$ (see [36] for details). We achieve the correctness of ciphertext $ct(\mathbb{H}_{\sigma,d})$ if $q > 16n^2t^2\delta^4$.

7.4. Experimental Settings

In the experiment using the BPCQ₂ protocol, the database settings for Charlie were the same as in the CKK protocol, but our protocol scenario is different from theirs. The conjunctive query of the BPCQ₂ protocol contains equality as a comparison operator, which is the same as the CKK protocol for conjunctive query processing. Table 2 shows the parameter settings used for the experiment with the BPCQ₂ protocol. We used $k = 2$ and $k = 4$ to represent the number of equality conditions in the query. The data size and block size were set to 15 ~ 16-bits and $\eta = 100$, respectively. For successful decryption, we set appropriate values of (n, q, t, δ) to help attain a certain security level. According to the BPCQ₂ protocol mentioned in Section 4.4, we need to have $n \geq \eta \cdot L$. As a result, we set $n = 3000 \sim 6400$. For all experiments, we chose $t = 2048$. Furthermore, we set $\delta = 8$ and chose $q \geq 16n^2t^2\delta^4 = 2^4 \cdot 2^{22} \cdot 2^{22} \cdot 2^{12} = 2^{60}$ for the ciphertext space R_q . For this reason, we set $(n, q, t, \delta) = (3000 \sim 6400, 61 \sim 63 \text{ bits}, 2048, 8)$. In the next subsection, we analyze the security of the BPCQ₂ protocol.

Table 2. Parameter settings in the experiment using the BPCQ₂ protocol.

Index	Parameters (n, q, t, δ)	Data Size	Block Size (η)
1	(3000, 61 bits, 2048, 8)	15 bits	100
2	(6000, 63 bits, 2048, 8)	15 bits	
3	(3200, 61 bits, 2048, 8)	16 bits	
4	(6400, 63 bits, 2048, 8)	16 bits	
5	(3200, 61 bits, 2048, 8)	16 bits	
6	(6400, 63 bits, 2048, 8)	16 bits	

7.5. Security Analysis

In this subsection, we analyze the security to determine the security level provided by our protocols. The NIST [40] declares the strength of many security algorithms by showing their achieved security levels and corresponding validity periods. Usually, the strength of a cryptographic algorithm depends on the data owner or the organization using that algorithm. For instance, the U.S. federal government accepts a security algorithm with a security level of 112 bits [41]. After the introduction of post-quantum cryptographic algorithms, NIST noted that a security algorithm with 112 bit security is acceptable up to 2030. They stated that any security algorithm will require at least the 128 bit security level after 2030. For RLWE-based SwHE, we considered defending our protocols against two attacks: distinguishing attack [42] and decoding attack [43]. We chose our parameter settings according to the settings in [43] to achieve a security level of greater than 128 bits. We also considered the security of our protocols in defending against distinguishing and decoding attacks with the advantage $\hat{\mathbf{A}} = 2^{-64}$. To attain the 80 bit security level, Chen and Nguyen [44] estimated that lattice-based cryptographic schemes require the root Hermite factor $\mathbf{H} < 1.0050$ where $c \cdot q/\sigma = 2^{2\sqrt{n \cdot \log(q) \cdot \log(\mathbf{H})}}$. As mentioned in [17], the running time t_{adv} is defined as

$$\log(t_{adv}) = 1.8/\log(\mathbf{H}) - 110.$$

7.6. Experimental Evaluation of the BPCQ₂ Protocol

The practical performance of our BPCQ₂ protocol is compared with that of the CKK protocol in Figures 7 and 8 with six different settings. In our implementation of the BPCQ₂ protocol, the required code was written in C programming language using the Pari library (version 2.7.5) in [45]. Then, we ran the code on a single machine (3.6 GHz Intel core-i7 CPU and 8 GB RAM) in the Linux environment. We then analyzed and compared the performance between our BPCQ₂ protocol and the CKK protocol [28] for three different data sets of 100, 1000, and 10,000 records. In addition, the same indexing is used for Figures 7 and 8 as in the first column of Table 2 to show the relationship between parameter settings and the corresponding performance. Figure 7 shows that the workability of the BPCQ₂ protocol is faster than that of the CKK protocol [28] for conjunctive query processing. Our developed system worked noticeably faster than the CKK protocol because of using a low multiplicative-depth equality circuit along with the batch technique. Our developed system required less RAM than that of CKK protocol [28]. In addition, our BPCQ₂ protocol attained the 257 ~ 698 bit security level, whereas CKK protocol [28] attained a security level of 80 bits, as shown in Figure 8.

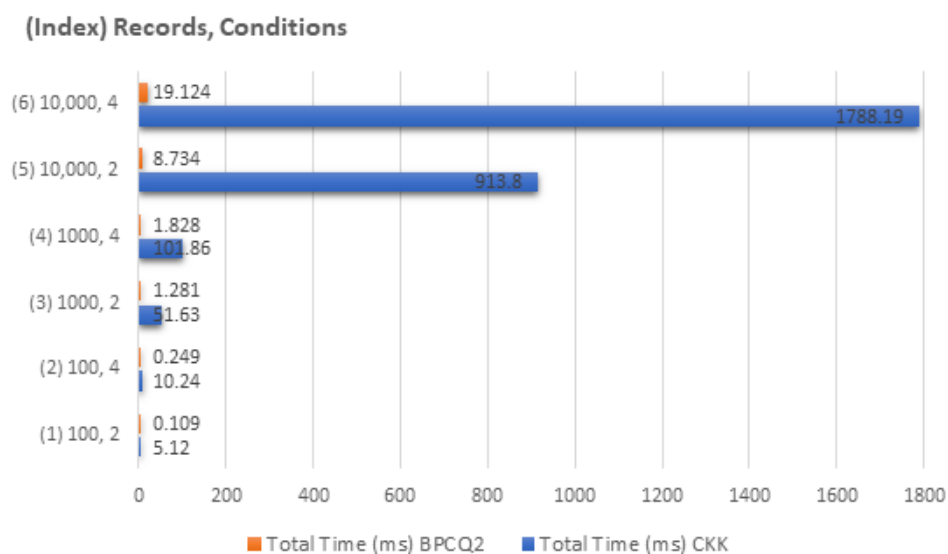


Figure 7. Performance comparison between the CKK protocol and BPCQ₂ protocol in terms of computational time.

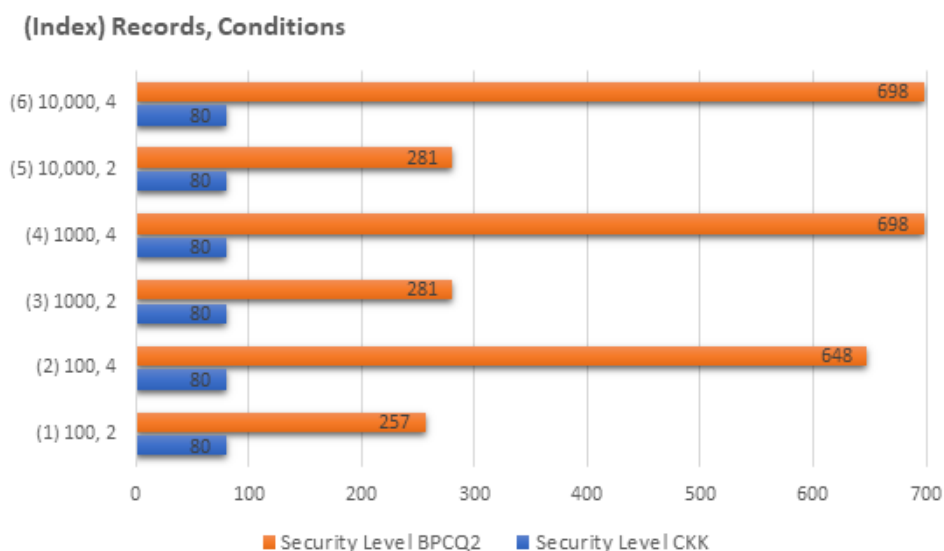


Figure 8. Comparison of the security level between the CKK and BPCQ₂ protocols.

8. Comparative Performance Analysis with the Latest Research Methods

Herein, we describe the comparative performance analysis between the BPCQ₂ and BPCQ_N protocols along with their parameter settings.

8.1. Correctness

The correctness of the BPCQ_N protocol is similar to that mentioned in Section 7.3. The ciphertext $ct(\mathbf{E}_{\sigma,d})$ in Equation (15) generates a correct result if it satisfies the condition $\|\langle ct(\mathbf{E}_{\sigma,d}), \mathbf{s} \rangle\|_{\infty} < \frac{q}{2}$ according to Lemma 1. In addition, we achieve the correctness of ciphertext $ct(\mathbf{E}_{\sigma,d})$ if the following relation holds,

$$q > 16n^2t^2\delta^4. \tag{17}$$

$t \geq N^2$ due to using N -ary encoding in the BPCQ protocol instead of binary encoding.

8.2. Parameter Settings

The parameter settings used for comparing the BPCQ₂ and BPCQ_N protocols are shown in Table 3. We considered the same scenario mentioned in Section 3, which used

three conjunctive equality conditions of 19 bits. We set $\delta = 8$ for all three comparative experiments for the two protocols. We set the value of t to 2048 (resp., 65,536) for the BPCQ₂ (resp., BPCQ_N) protocol. We set the value of N as 2 (resp., 256) for binary (resp., N -ary) encoding since we attained a lattice reduction factor of $\lceil \log_2(N) \rceil = \lceil \log_2(256) \rceil = 8$, which is obvious from the value of n in Table 3.

Table 3. Parameter settings between BPCQ₂ and BPCQ_N protocols.

Index	δ	Query Size (bits)	Lattice Dimension (n)		Modulus (q)		Plaintext Space (t)	
			BPCQ ₂	BPCQ _N	BPCQ ₂	BPCQ _N	BPCQ ₂	BPCQ _N
1	8	19	32,768	4096	69 bits	73 bits	2048	65,536
2	8	19	65,536	8192	71 bits	75 bits	2048	65,536
3	8	19	131,072	16,384	73 bits	77 bits	2048	65,536

8.3. Performance Comparison

As shown in Figures 9 and 10, we performed a comparative analysis of the BPCQ₂ and BPCQ_N protocols using experiments with the same machine mentioned in Section 7.6. To process a conjunctive query with three conditions upon 1000 (resp., 2000) records, the BPCQ₂ protocol required 3.953 s (resp., 4.562 s) whereas the BPCQ_N protocol required 0.251 s (resp., 0.937 s). The BPCQ₂ protocol required 7.437 s, whereas BPCQ_N protocol required 0.938 s to determine the conjunctive equality among 4000 records. With our settings, the BPCQ₂ protocol achieved a security level of 3419 bits and the BPCQ_N protocol achieved a security level of more than 306 bits. The BPCQ_N protocol required at about 0.304 ms, on average, to process each record of the database, whereas the BPCQ₂ protocol required 2.279 ms. Here, the security of the BPCQ₂ protocol is higher than that of the BPCQ_N protocol; however, the security level of BPCQ_N exceeds the minimum 128-bit security level mentioned in Section 7.5. Therefore, from these findings, it is evident that BPCQ_N protocol performs at least four times faster than the BPCQ₂ protocol.

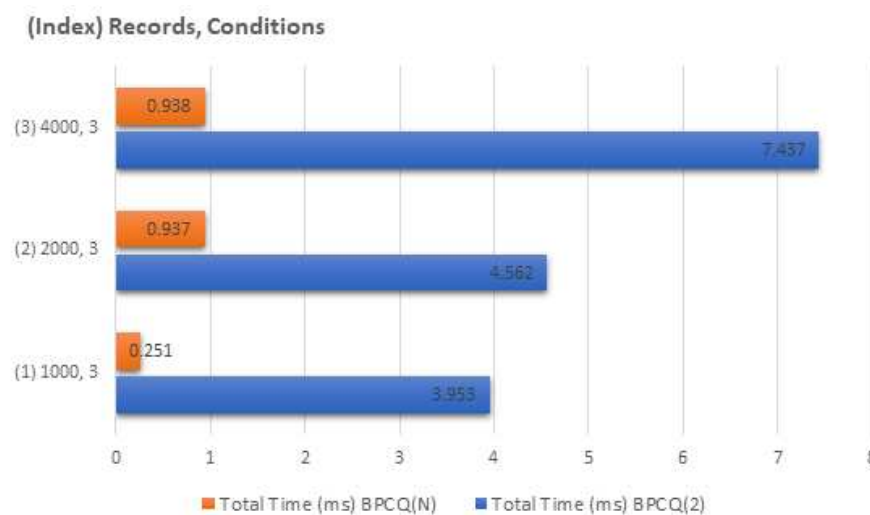


Figure 9. Performance comparison between BPCQ₂ and BPCQ_N protocols in terms of computational time.

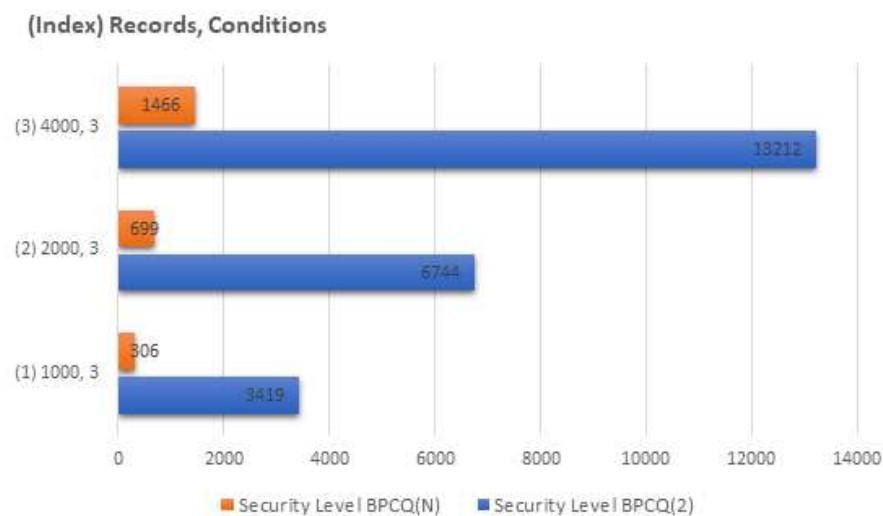


Figure 10. Comparison of security level between BPCQ₂ and BPCQ_N protocols.

9. Conclusions

In this paper, we proposed a BPCQ protocol using RLWE-based SwHE for answering private conjunctive queries to a private database outsourced in the cloud. To ensure the efficiency of the protocol, we also proposed a data-packing method to pack many binary-encoded data within a single polynomial. We call this protocol the BPCQ₂ protocol. We demonstrated an implementation of our protocol using C programming with the Pari library. We evaluated our protocol using random data and measured its performance. From the performance of BPCQ₂ protocol, our protocol works 50 times faster than that of Cheon et al. [28]. Here, we assessed our technique in a different setting than theirs. We further improved the efficiency of the BPCQ protocol using the N -ary data encoding, which we call the BPCQ_N protocol. We were able to reduce the lattice dimension by a factor of $\lceil \log_2(N) \rceil$ because of using N -ary encoding rather than binary data encoding. We also compared the performance of the BPCQ₂ and BPCQ_N protocols using the same settings, which verified the performance improvement provided by the BPCQ_N protocol when applying N -ary encoding to the BPCQ protocol. We think that our packing method along with N -ary encoding is expandable to processing many new queries. In the future, we will investigate private disjunctive and threshold queries, which are used for obtaining data from a private outsourced database in the cloud.

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