

Review

The Effects of Ultrasound on Crystals: Sonocrystallization and Sonofragmentation

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Abstract: When ultrasound is applied to a solution for crystallization, it can affect the properties of the crystalline products significantly. Ultrasonic irradiation decreases the induction time and metastable zone and increases the nucleation rate. Due to these effects, it generally yields smaller crystals with a narrower size distribution when compared with conventional crystallizations. Also, ultrasonic irradiation can cause fragmentation of existing crystals which is caused by crystal collisions or sonofragmentation. The effect of various experimental parameters and empirical products of sonocrystallization have been reported, but the mechanisms of sonocrystallization and sonofragmentation have not been confirmed clearly. In this review, we build upon previous studies and highlight the effects of ultrasound on the crystallization of organic molecules. In addition, recent work on sonofragmentation of molecular and ionic crystals is discussed.

Keywords: ultrasound; sonocrystallization; sonofragmentation; sonochemistry; acoustic cavitation; crystal nucleation

1. Introduction

Ultrasound is an oscillating sound pressure wave over a frequency range of 15 kHz to 10 MHz [1]. When ultrasonic waves pass through a liquid with sufficient amplitude, the negative pressure exceeds the local tensile strength of the liquid and bubbles are created [2–4]. Bubbles are typically generated near pre-existing impurities (e.g., gas-filled crevices in dust motes), which oscillate and grow during cycles of compression and expansion. When the growing bubbles reach a specific resonant size, they efficiently absorb energy from ultrasound waves during a single compression-expansion cycle [1,5,6]. The resonant size depends on the frequency of the irradiated ultrasound, and is approximately 170 μm for a 20 kHz ultrasound [1]. At the resonant size, bubbles grow rapidly during a single cycle of ultrasound waves due to efficient energy absorption. Since bubbles cannot be sustained without absorption of energy, they implasively collapse after reaching the resonant size. This process is referred to as acoustic cavitation.

There are both chemical and physical effects of acoustic cavitation. Ultrasonic wavelengths in liquid vary from approximately 1 mm to 10 cm, which is much larger than the molecular size scale. Thus, the chemical and physical effects of ultrasound do not occur by direct interactions between ultrasound and chemical species, but by the process of acoustic cavitation [2,4,7]. The collapse of bubbles produces hot spots, which have intense local temperatures (~ 5000 K) and pressures (~ 1000 atm) and a rapid heating and cooling rate ($>10^{10}$ $\text{K}\cdot\text{s}^{-1}$) [8–11], and shockwaves. Shockwaves have velocities as high as ~ 4000 m/s and high-pressure amplitudes of 10^6 kPa [12].

The physical effects of ultrasound are more diverse in heterogeneous systems (solid-liquid systems) than in homogeneous systems. When a bubble collapses near a significantly larger surface or particle, the bubble no longer collapses spherically and a high-speed liquid stream with a velocity

>100 m/s is generated (i.e., a microjet) [13,14]. The liquid moves toward the surface of the solid material, which deforms it or changes its chemical composition [1,15]. Additionally, shockwaves generated from acoustic cavitation cause high-velocity collisions between micron-sized solid particles (i.e., interparticle collisions) [16–18]. Shockwaves can also directly interact with particles and induce breakage (i.e., sonofragmentation) [19].

Sonocrystallization is crystallization induced by ultrasound, and was first reported by Richards and Loomis in 1927 [20]. In that report, the author investigated the ultrasonic effects of crystallization, among other diverse physical and chemical influences. From the 1950s to the 1970s, sonocrystallization was actively studied in the former Soviet Union [21–24]. Since that time, sonocrystallization of various materials and the modification of diverse experimental parameters have been reported [25–27]. The industrial use of sonocrystallization increased during the 1980s due to advances in ultrasonic equipment, and, currently, sonocrystallization is common for generating crystals in the pharmaceutical and fine chemicals sectors [28–30]. Despite considerable research, a fundamental understanding of sonocrystallization, especially the mechanism of action, remains incomplete.

2. Effect of Ultrasound on Crystallization

2.1. Induction Time and Metastable Zone Width

Induction time (t_{ind}) is the elapsed time between supersaturation and the appearance of crystals (Figure 1) [31]. It is composed of three parts, including the relaxation time (t_r), stable nucleus time (t_n), and nucleus growing time (t_g). The relaxation time is the time required for the crystallized solution to achieve a quasi-steady-state distribution of molecular clusters, while the stable nucleus time and nucleus growing time are the times required for the formation of a stable nucleus and its growth to a detectable size, respectively. In some systems, especially those with a low degree of supersaturation, massive nucleation occurs following a latent period (t_{lp}). The concentration of the crystallized solution remains relatively constant during the induction time and latent period. Following the latent period, widespread crystal growth occurs and the concentration of the solution changes rapidly and significantly. Induction time is measured by visual observation or analytical techniques that utilize conduction measurements or laser light scattering. There are several factors that affect induction time measurements greatly such as agitation, impurities, solution viscosity, level of supersaturation, etc.

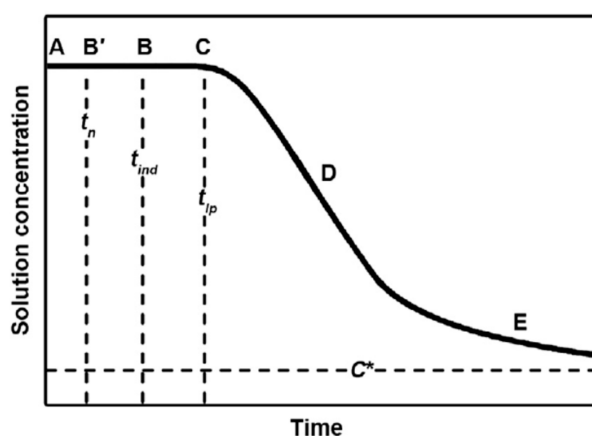


Figure 1. A desupersaturation curve. There is lag time between the points of supersaturation (A) and nucleation (B'). Initial nuclei grow until they are a detectable size (B). The concentration of the solution remains relatively constant for some time (C) and then it changes dramatically (D) due to rapid crystal growth. Finally, it reaches the equilibrium concentration (E). C^* = equilibrium saturation, t_n = nucleation time, t_{ind} = induction time, and t_{lp} = latent period [31].

Ultrasonic irradiation reduces induction time due to the improved micro-scale mixing and turbulence caused by acoustic cavitation. When the induction time decreases, the rate of appearance of crystals accelerates. Thus, the number of produced crystals increases, while their sizes decrease.

The effect of ultrasound on the induction time for crystallization has previously been reported [32–34]. Z. Guo et al. studied the effects of ultrasound on induction time using saturated roxithromycin solutions [33]. In this study, saturated roxithromycin solutions were mixed with water (antisolvent) under ultrasonic irradiation and the induction time was assessed using a He–Ne laser recorder. Notably, induction time was reduced when sonocrystallization was performed (Figure 2). Additionally, the difference in induction time between sonocrystallization and stirring crystallization increased as the supersaturated ratio of the solution decreased.

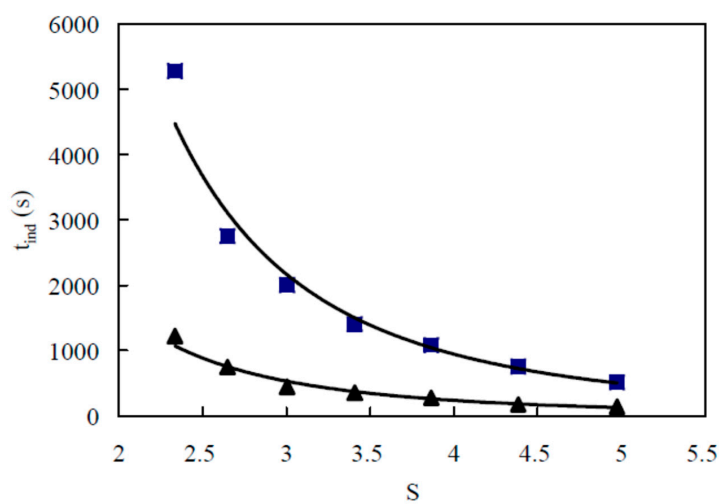


Figure 2. Influence of ultrasound on the induction time (t_{ind}) of roxithromycin solutions with different supersaturated ratios (S) in the presence (▲) and absence (■) of ultrasound [33]. Ultrasonic frequency was unspecified, but an ultrasonic horn was used, so one must assume ~20 kHz.

Those authors also assessed the induction time of BaSO_4 under ultrasonic irradiation and found that the induction time of sonocrystallization was shorter than that for stirring crystallization [35]. Furthermore, it was confirmed that sonication with high-amplitude ultrasound waves decreased induction time more than sonication with low-amplitude waves (Figure 3).

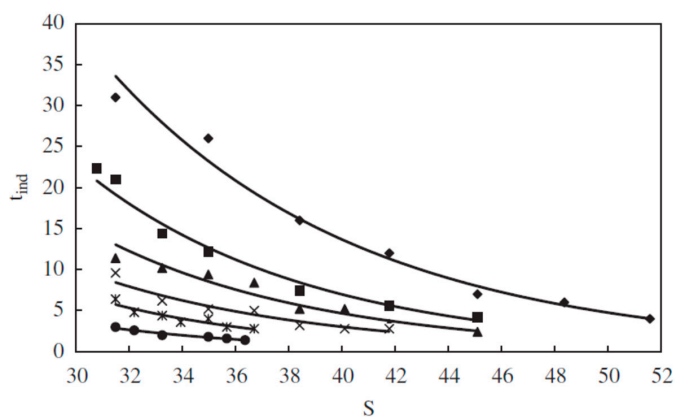


Figure 3. Influence of ultrasound on the induction time (t_{ind}) of BaSO_4 solutions with different supersaturated ratios (S). The amplitude of an 750 W ultrasonic processor was modified to 0% (◆, no ultrasound), 21% (■), 31% (▲), 41% (×), 51% (*), and 61% (●) [35]. Ultrasonic frequency was unspecified, but an ultrasonic horn was used, so one must assume ~20 kHz.

The metastable zone width (MZW) is the area between an equilibrium saturation curve and the experimentally observed supersaturation point at which nucleation occurs spontaneously (Figure 4) [31]. For the generation of crystals, the status of a solution changes from stable to metastable to labile (unstable). There are several ways to generate crystals, including cooling (ABCD line), evaporation or addition of an antisolvent (AB'C' line), a combination of cooling and evaporation, or cooling and the addition of an antisolvent (AB''C'' line).

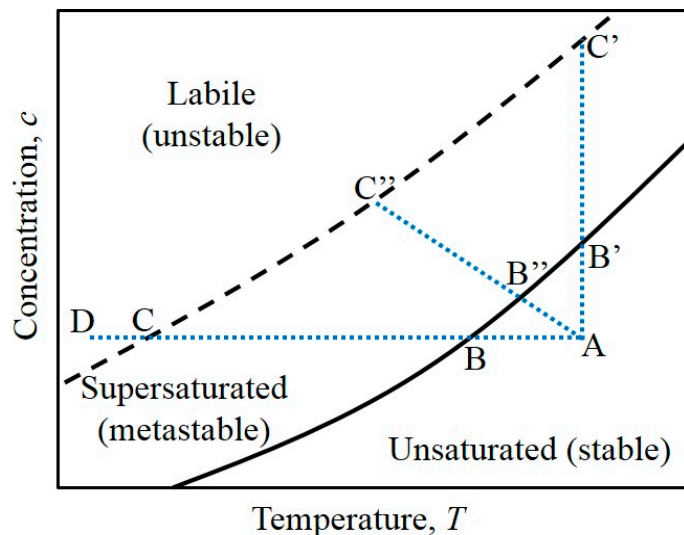


Figure 4. Solubility–supersaturation diagram. Solid line is a solubility curve of a solution. Dashed line is a supersaturation curve which represents temperatures and concentrations at which uncontrolled spontaneous crystallization occurs [31].

When a solution is ultrasonically irradiated, the MZW decreases. During sonocrystallization, gas-filled crevices surrounding dust motes behave as new nucleation sites causing an increase in the rate of nucleation [36,37]. Additionally, microscale mixing and turbulence improves from the collapse of bubbles during sonocrystallization [38–41]. They accelerate diffusion of solutes and increase the nucleation rate. Due to such increased nucleation sites and improved mixing efficiency, sonocrystallization reduces the MZW. Mixing efficiency can be formulated in terms of the Richardson flux constant [42], a dimensionless number defined as the ratio of buoyancy to shear terms in turbulence kinetic energy equations. Lewis Fry Richardson is also familiar for his observation that turbulence can be described as

- Big whorls have little whorls
- That feed on their velocity,
- And little whorls have lesser whorls
- And so on to viscosity [43].

The effects of ultrasound on the MZW were confirmed during the crystallization of *p*-aminobenzoic acid (PABA) [44]. Cooling crystallization of PABA was performed at a constant cooling rate of 1 °C/min during sonication or with stirring. The nucleation temperature was determined by detecting the appearance of the first crystals becoming visible to the naked eye. As shown in Figure 5, nucleation occurred at lower levels of saturation during sonication compared to stirring.

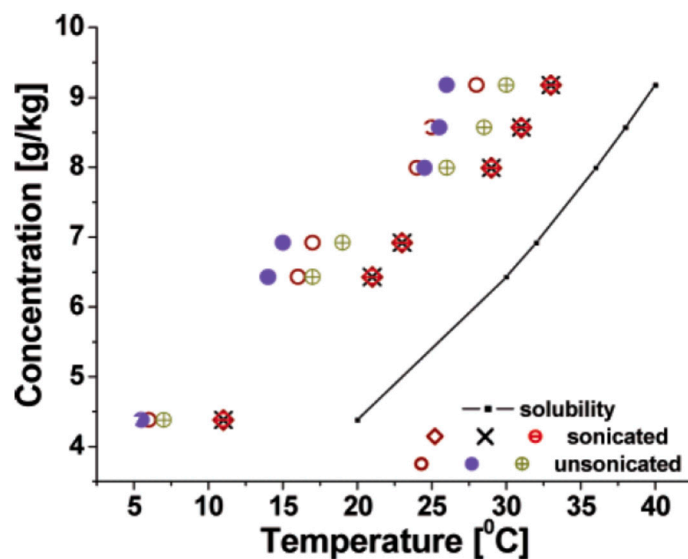


Figure 5. Effect of ultrasound on the metastable zone width (MZW) of *p*-aminobenzoic acid crystallization. For sonocrystallization, 20 kHz and 2.1 W/cm² of ultrasound was used. For the unsonicated cases, a magnetic stirring bar was used to stir the solution at 300 rpm [44].

Another example of a reduction in MZW under sonication is the antisolvent crystallization of benzoic acid [45]. A saturated benzoic acid solution was prepared using absolute ethanol, and mixed with water (antisolvent) by either conventional magnetic stirring or ultrasonic irradiation at room temperature. As shown in Figure 6, the MZW decreases significantly on ultrasonic irradiation of the benzoic acid solution.

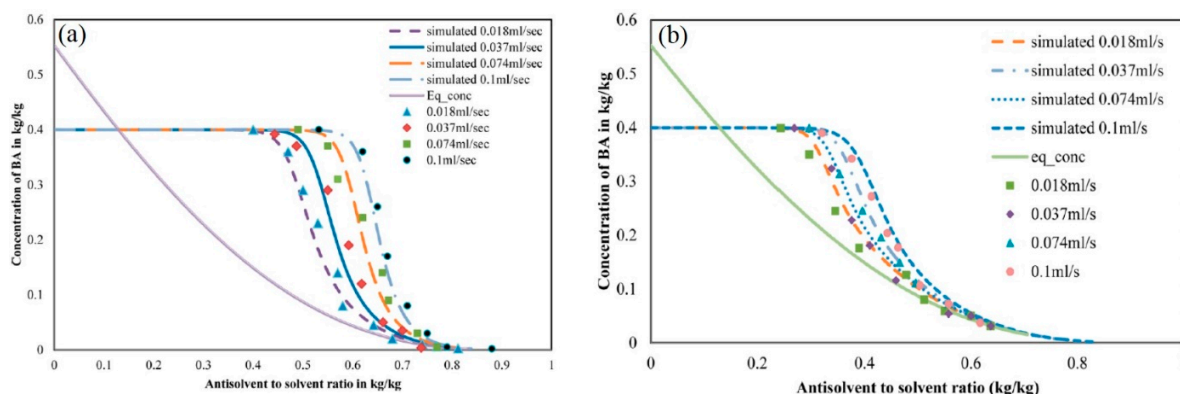


Figure 6. Simulated and experimental results of MZW change of benzoic acid with various addition rates of antisolvent (a) with stirring (a magnetic bar, 400 rpm) and (b) under sonication (20 kHz, 8 W/cm²). For each graph, the Eq conc line is the solubility curve of benzoic acid [45].

2.2. Nucleation Rate

Ultrasound may promote nucleation by reducing the critical excess free energy (ΔG_{crit}). When ultrasound is irradiated to a solution, bubbles are generated [2–4]. At the bubble–solution interface, half a solute molecule is solvated by the solvent, while the other half is not due to contact with the bubble. Such contacts decrease the solvation rate. Re-dissolution of the solute molecule is then prevented, increasing the coagulation of molecules in the solution [46]. Thus, the critical excess free energy (ΔG_{crit}) for nucleation is reduced, while the nucleation rate increases [31,37].

Also, ultrasound increases the rate of secondary nucleation by affecting the number of secondary nucleation sites. Under ultrasonic irradiation, the crystals generated from primary nucleation collide

or interact with shockwaves [47–49]. Due to these occurrences, pre-existing crystals are fragmented and become sites of secondary nucleation [36,50].

Chow et al. investigated sonocrystallization of ice crystals in sucrose solution, which formed ice dendrites (Figure 7) [51–53]. Primary nucleation produced the ice dendrites, which subsequently fragmented due to continuous sonication. During prolonged sonication, secondary nucleation occurred around the fragmented crystals and cavitation spots. From corresponding images of these events, it was confirmed that ultrasound affected primary and secondary nucleation events.

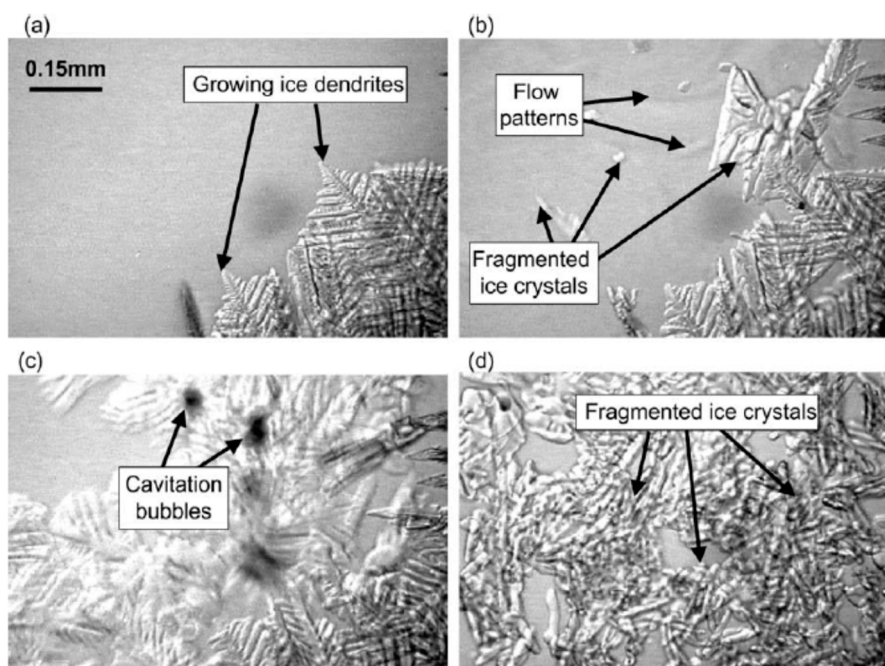


Figure 7. Optical micrographs of sonocrystallization and sonofragmentation of ice dendrites in a 15 wt % sucrose solution using 67 kHz ultrasound in a custom optical microscope chamber. (a) Primary nucleation and crystal growth (no ultrasound), (b) flow patterns and breakage of ice dendrites after 1.36 s of sonication, (c) sonofragmentation of ice crystals after 2.38 s of sonication, and (d) secondary nucleation and crystal growth after 17.38 s of sonication [51].

2.3. Polymorphism

Polymorphisms can be effected by sonocrystallization. Polymorphism is the ability of a solid material to exist in more than one form or structure [54]. Polymorphs have different stabilities under certain conditions, and the preferred form depends on the condition in which the polymorphs are formed or stored. It is unknown how ultrasound controls the polymorphism of a material [55–57].

Sonocrystallization generally converts crystals from their kinetically favored form to one that is thermodynamically favored. A typical example is that of calcium carbonate, which exists in three different forms including calcite (the most stable form under ambient conditions), aragonite (metastable), and vaterite (the least stable form) [58]. Without sonication, vaterite, which is the kinetically favored form, was generated. However, the percentage of calcite, which is the thermodynamically favored form, increased as sonication time or intensity increased (Figure 8) [58]. Thus, more intense or extended periods of sonication might promote the ground-state polymorph due to the improved mass transport and local heating from acoustic cavitation. Another example of sonocrystallization for controllable polymorphism is *p*-aminobenzoic acid [44]. Rasmuson et al. reported that *p*-aminobenzoic acid polymorphs were selectively crystallized by adjusting the degree of supersaturation and ultrasonic irradiation. Above ~25 °C, *p*-aminobenzoic acid is in its α -polymorph which is its energetically favored form. Sonocrystallization of *p*-aminobenzoic acid significantly

reduced the induction time, narrowed the MZW, and produced the β -polymorph above 25 °C with a low initial supersaturation.

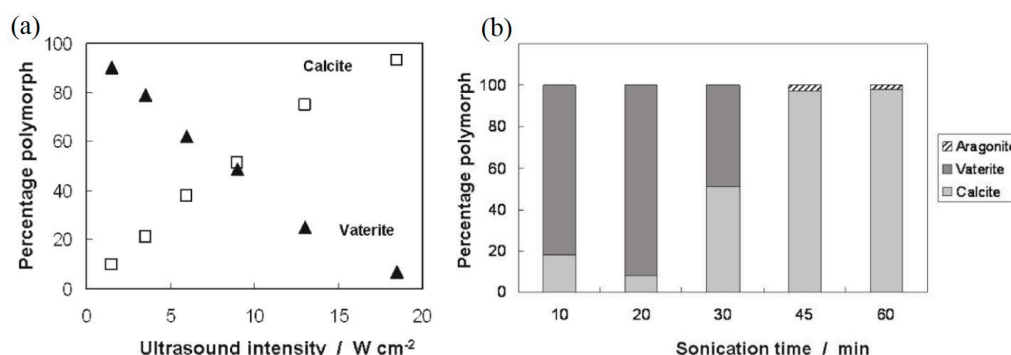


Figure 8. Variation of composition of CaCO₃ polymorphs under sonication (20 kHz): (a) the effect of intensity of ultrasound with 30 min of sonication, and (b) the effect of sonication time with 13 W/cm² of sonication [58].

Conversely, sonocrystallization can sometimes produce a less thermodynamically stable polymorph. Paracetamol exists as either form I (stable) or form II (metastable), and given the difference in stability between the forms, form II has higher solubility [59]. When a supersaturated paracetamol solution was cooled without sonication, plate-like crystals (form I) were generated. However, with sonication, needle-like crystals (form II) were formed (Figure 9). The generation of less stable forms from sonocrystallization has been reported; however, until now, no clear explanations were provided [59–64].

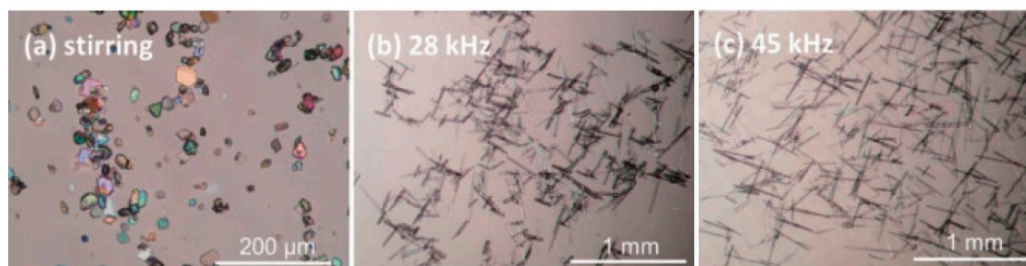


Figure 9. Optical microscopic images of paracetamol polymorphs: (a) form I produced by stirring (150 rpm), (b) form II generated via ultrasonic irradiation with 28 kHz, and (c) form II crystallized via ultrasonic irradiation with 45 kHz [59].

3. Various Parameters of Sonocrystallization

3.1. Frequency of Ultrasound

Changes in ultrasound frequencies affect the bubble dynamics [65]. At low ultrasonic frequencies (<100 kHz), cavitation bubbles experience positive and negative pressure ultrasound waves for extended periods of time because wavelengths increase as frequencies decrease. Thus, the bubble oscillation amplitude is large since the size of the bubble differs substantially during compression and expansion periods [32,66]. Conversely, high ultrasonic frequencies (>200 kHz) shorten the wavelength of the ultrasound and the lifetime of the cavity is reduced. In all cases, there are generally dense clouds of cavitation bubbles, and the power of collapse from each bubble is dependent on their size: stronger for large bubbles at low frequencies, weaker for small bubbles at high frequencies [67,68]. While not generally recognized in the literature, the number of cavitating bubbles is *not controllable*, and so it

is *exceedingly difficult* to compare different frequencies due to changes in the number of cavitating bubbles, which are highly dependent on the specific apparatus used.

Koda et al. produced liposomes under ultrasonic irradiation and assessed the effects of irradiation frequency on their size. Three different frequencies (43, 143, and 480 kHz) were applied at a fixed intensity (8 W/cm^2). It was observed that the size of the liposomes decreased as the sonic frequency decreased, due to changes in bubble dynamics (Figure 10) [69].

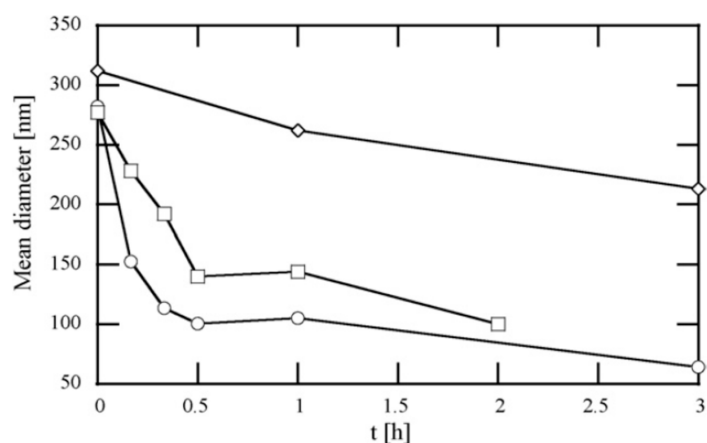


Figure 10. The effect of frequency of ultrasound on crystal size of liposomes. The ultrasonic power was 8 W/cm^2 , and the frequencies were 43 kHz (\circ), 133 kHz (\square), and 480 kHz (\diamond) [69].

Another study investigated the effects of the frequency of ultrasound waves on the MZW [70]. Cooling crystallization of paracetamol was tested without or with ultrasonic irradiation at multiple frequencies (from 41 to 1140 kHz), and the MZW was calculated as the difference between the nucleation temperature and the saturation temperature. When the frequency of the ultrasound increased, the MZW decreased (Figure 11).

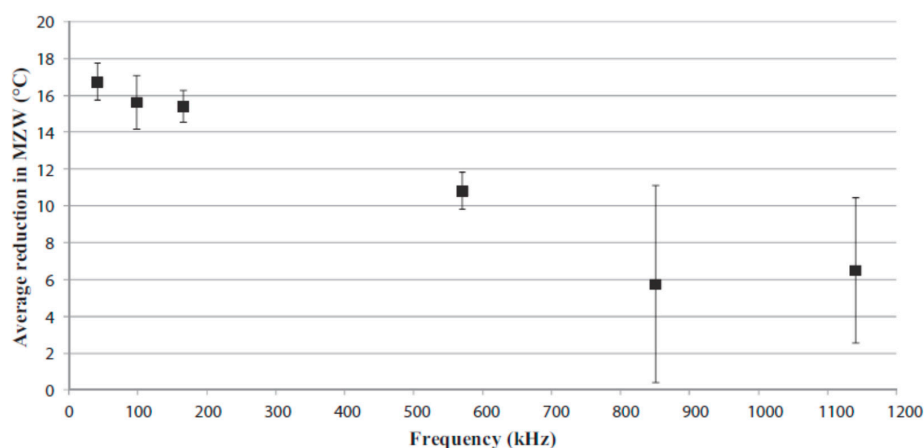


Figure 11. The effect of ultrasound frequency on reduction of the MZW of paracetamol. The amount of reduced MZW is the difference of MZW of cooling crystallization of paracetamol without and with sonication (8 W/cm^2). The cooling crystallization and cooling sonocrystallization experiments were performed at least three times for each frequency. The dots are the average reduction of MZW and the error bars are the standard deviations [70].

3.2. Intensity of Ultrasound

When ultrasound intensities increase, the size of generated crystals decreases. Increased sonication intensities cause more vigorous microscale mixing and turbulence, which causes solutes to diffuse

more rapidly [71]. Due to the accelerated diffusion of the solute, the induction time and MZW are reduced and the nucleation rate increases. Also, the vigorous microscale mixing and turbulence helps to prevent crystals from agglomerating [72]. The effect of ultrasound intensity was investigated during sonocrystallization of roxithromycin [73]. The intensity was adjusted from 5 to 15 W/cm², which caused the average crystal length to decrease from ~60 μm to ~15 μm during 10 min sonications.

3.3. Sonication Time

As sonication time increases, crystal sizes decrease and become more uniform. For short sonication times, solution and precipitants are not mixed uniformly [32]. The generated crystals from the solution are irregularly shaped and of various sizes. Thus, prolonged sonication time improves mixing and prevents crystals from aggregating [74,75]. Kougoulos et al. investigated the effects of sonication duration on crystal size using adipic acid and found a significant difference in crystal size according to whether sonication was applied or not (Figure 12) [76]. Furthermore, crystal size was reduced as sonication duration increased. As we will see, this is often due to sonofragmentation of the crystals formed.

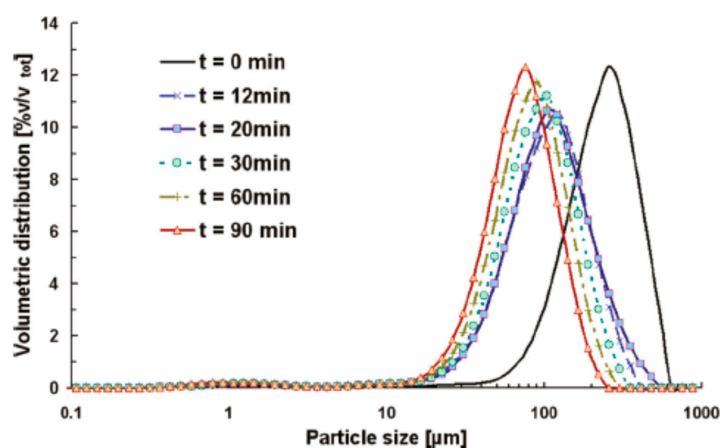


Figure 12. Effect of sonication time on particle size and size distribution of adipic acid. For sonication experiments, the adipic acid solution was sonicated at 20 kHz and 8.5 W/cm². For the control experiment, stirring was performed with a magnetic stirring bar (200 rpm) [76].

3.4. Types of Ultrasound Generator and Configurations for Sonocrystallization

Multiple types of ultrasonic generators exist and provide many different experimental configurations for sonocrystallization. Ultrasound generators are typically ultrasonic baths, horns, and plate transducers (Figure 13).



Figure 13. Different types of ultrasound generators: (a) ultrasonic bath [77], (b) ultrasonic horn [78], and (c) ultrasonic plate transducer [79].

Sonicated baths are standard laboratory equipment and are typically used to disperse particles in liquid. Such sonicators are easily accessed, but are only available in batch configurations [80,81]. Ultrasonic horns are also used to perform sonocrystallization and offer batch or flow-through configurations [45,71,82–86]. Scale-up to large tubular flow-through reactors has also been commercialized, as shown in Figure 14 [29]. Another type of ultrasound generator is the plate transducer, which generates a wide range of ultrasound frequencies. It is essential for sonocrystallization when high frequencies (>100 kHz) are required [87]. With the ultrasonic plate transducer, a batch configuration is typically used for crystallization.

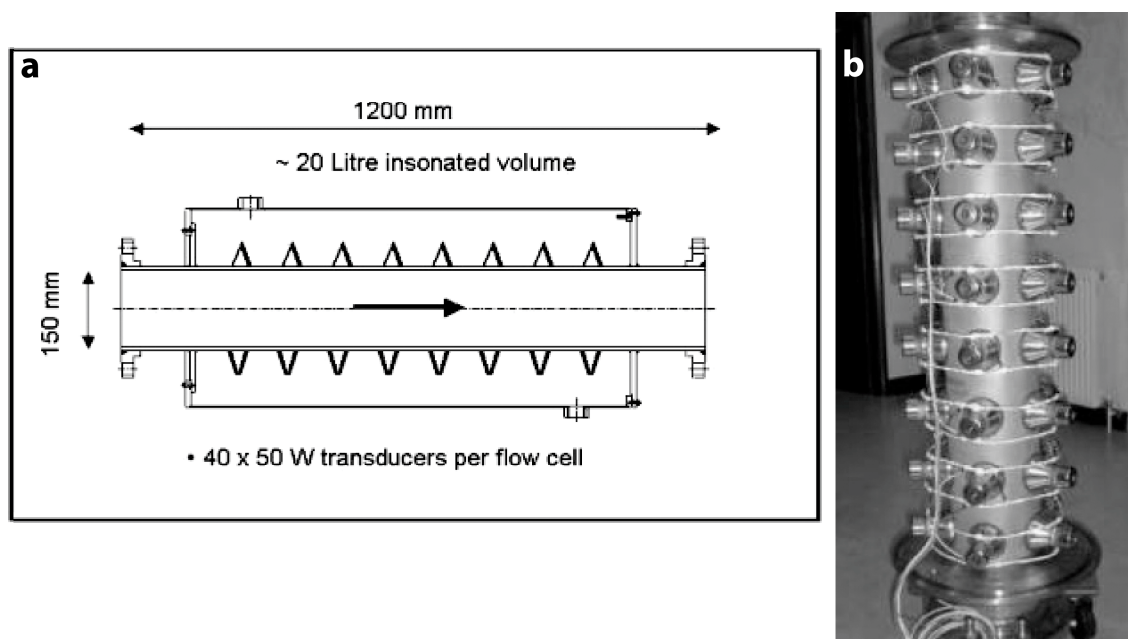


Figure 14. Large-scale tubular flow-through ultrasonic reactors have been in use commercially. (a) Schematic of the design of a flow reactor and (b) photograph of a 20-L flow cell with 40 bonded transducers from C³ Technology [29].

4. Ultrasound in Slurries, Inter-Particle Collisions, Shockwaves, and Sonofragmentation

In a liquid–solid mixture, acoustic cavitation causes various physical phenomena. If a bubble grows near a solid particle larger than the resonant size of the bubble, the bubble is deformed due to the asymmetric environment [1,6]. This asymmetry causes the bubble to collapse asymmetrically, and a fast-moving stream of liquid (i.e., a microjet) is formed [13,14]. The microjet moves toward the solid particles and causes surface deformation or changes in the chemical composition of the surface [1,15].

When solid particles in the mixture are smaller than the resonant size of the bubble, the shockwave that is generated by acoustic cavitation causes interparticle collisions [17,18,88]. Also, shockwaves interact directly with solid particles, causing sonofragmentation [19,89,90]. Interparticle collisions and sonofragmentation affect the average particle size and size distribution, both by reducing the size of existing crystals and by creating secondary nucleation sites [91].

The effect of the shockwaves generated by acoustic cavitation in liquid–solid systems depends on the properties of the solids in the system, most notably their malleability versus their friability (brittleness) [16]. For malleable materials (e.g., metal powders), ultrasonic irradiation leads to agglomeration from interparticle collisions [17,18]. The velocity of the colliding particles is sufficient at the point of impact between particles to cause intense localized heating, plastic deformation, spot-welding, and melting (Figure 15) of various low-melting-point metals (e.g., Zn, Ni, Co, Mo). Very-high-melting-point metals (e.g., W), however, are not affected to the same extent, as one might

expect [18]. Similarly, there is an optimal particle size for such impacts that depends on the particle density, but is generally in the 0.5 to 50 μm range [18].

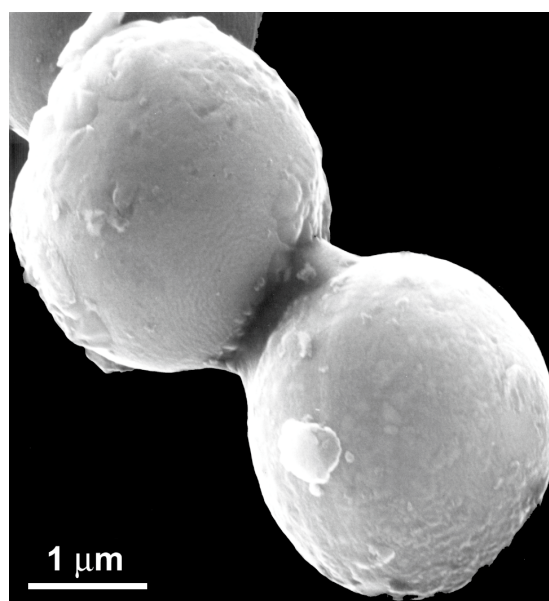


Figure 15. SEM image of zinc particles after sonication. 20 wt % of zinc slurry was sonicated by an ultrasonic horn (20 kHz and 50 W/cm²) for 30 min. Localized melting was caused by high-velocity interparticle collisions and particles were agglomerated [16,17].

Sonication of molecular crystals causes sonofragmentation by means of direct interactions between particles and shockwaves. Suslick et al. explored the sonication of an aspirin slurry under multiple experimental conditions [19]. They suggested four possible mechanisms of particle breakage under sonication, including interparticle collision, particle-horn collision, particle-wall collision, and direct interaction between particles and shockwaves (i.e., sonofragmentation). As shown in Figure 16, interparticle collisions rarely affect particle breakage. Additionally, particle-horn and particle-wall collisions were negligible contributors to fragmentation. Thus, the authors concluded that direct interactions between particles and shockwaves were the main causes of fragmentation.

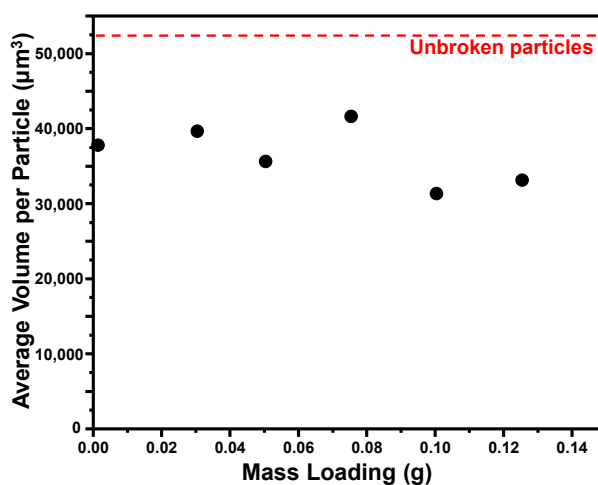


Figure 16. Effect of quantity of particle loading on final particle size after sonication for 10 s. Ultrasound was 20 kHz and 5.5 W/cm². All masses were dispersed in 5 mL of dodecane [19].

Ionic crystals are also broken due to the direct interaction between shockwaves and crystals [92]. Suslick et al. investigated the mechanism of sonofragmentation of slurries of various ionic crystals under multiple experimental conditions. In addition, they quantitatively studied the relationship between material properties (i.e., Vickers hardness and Young's modulus) and the patterns of sonofragmentation. There was strong correlation of the rate of fragmentation with thermodynamic properties of ionic crystals: the size of fragmented crystals decreased exponentially with increase of sonication time (Figure 17). It is a mechanochemical extension of the Bell-Evans-Polanyi Principle or Hammond's Postulate: activation energies for solid fracture correlate with binding energies of solids.

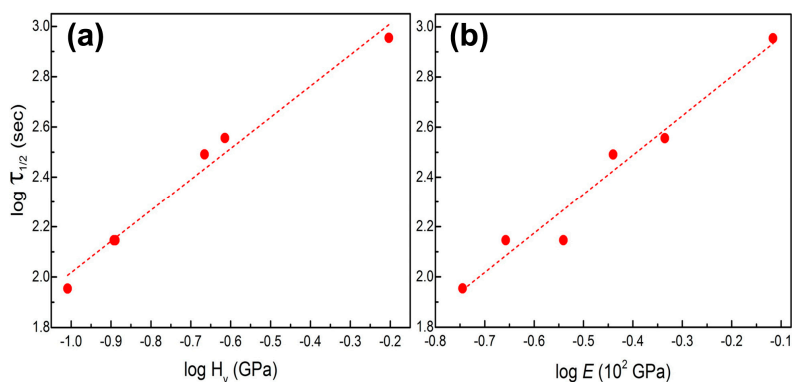


Figure 17. Relationship between (a) Vickers hardness (H_v) and the time necessary to halve the initial crystal size ($\tau_{1/2}$) and between (b) Young's modulus (E) and the time necessary to halve the initial crystal size ($\tau_{1/2}$). The dashed lines are linear fits [92].

As we have discussed, the effects of ultrasound on crystals have been heavily investigated experimentally. Mathematical simulations of the sonofragmentation process using population balance models that describe the effects of all physical parameters such as solution viscosity and applied power on the crystal size distribution have been lacking. Braatz, Suslick, and coworkers have recently presented detailed population balance models for describing the crystal breakage that results from ultrasound [93]. Aspirin crystals dispersed in various solvents—dodecane and silicone oils of known viscosity—were subjected to ultrasound and the kinetics of the resulting sonofragmentation quantitatively measured. Population balance models were developed for binary breakage events and cavitation rate proportional to the applied power and exponentially related to solvent viscosity. As shown in Figure 18, a simple 2-parameter population balance model that assumes binary breakage into equal fragments was tested against the experimental data and describes the breakage process accurately: the influences of sonication time, ultrasonic intensity, and liquid viscosity are quantitatively incorporated into this simple model. The statistical analysis supports the breakage model in which cavitation bubbles cause the aspirin crystals to break into two equal-sized particles.

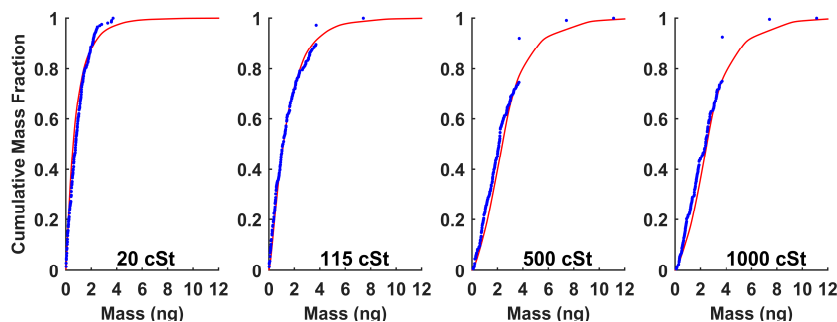


Figure 18. Experimental (●) and simulated (—) cumulative mass fractions for trials of one minute of sonication of aspirin crystals using silicone oils of different viscosities, as labeled [93].

5. Application

Sonocrystallization generates various materials with a wide range of sizes and diverse structures. One of the fields in which sonocrystallization is used widely is to produce pharmaceutical agents (PAs), since it can control crystal sizes, distributions, and polymorphisms [25,28–30]. Reductions in PA size increase the dissolution rate and solubility, especially for nanocrystals [94–97]. Also, control of polymorphisms decreases the probability of side effects [98,99]. For PAs, control of such properties (i.e., size and polymorphism) is important because they directly affect delivery to target organs and work to treat a disease. In fact, multiple PAs, including acetylsalicylic acid, paracetamol, phenacetin, carbamazepine, etc., have been generated via sonocrystallization to decrease size and size distributions, and/or to control polymorphism (Figure 19) [59,60,70,72,100–103].

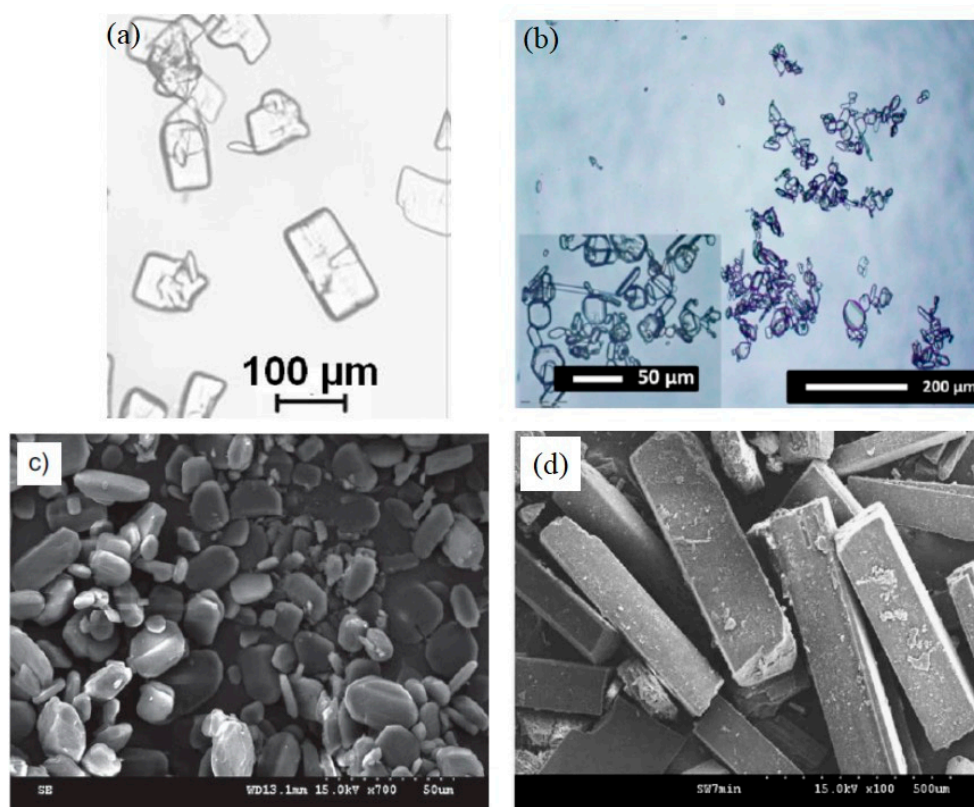


Figure 19. Microscopic images of several pharmaceutical agents generated by sonocrystallization. Optical microscopic images of (a) acetylsalicylic acid [100] and (b) paracetamol [60]. SEM images of (c) phenacetin [72] and (d) carbamazepine [101].

Another application of sonocrystallization is for the generation of nanocrystals and nanostructures [104–110]. Qian et al. reported ultrasonic irradiation as a new method for generating zinc oxide nanocrystals [111]. The conventional method was time-consuming, taking 2 days; sonication (20 kHz), however, generated nanocrystals in 3 min. Moreover, nanocrystals were formed using ultrasonic irradiation without the addition of heptane in 25 min (Figure 20).

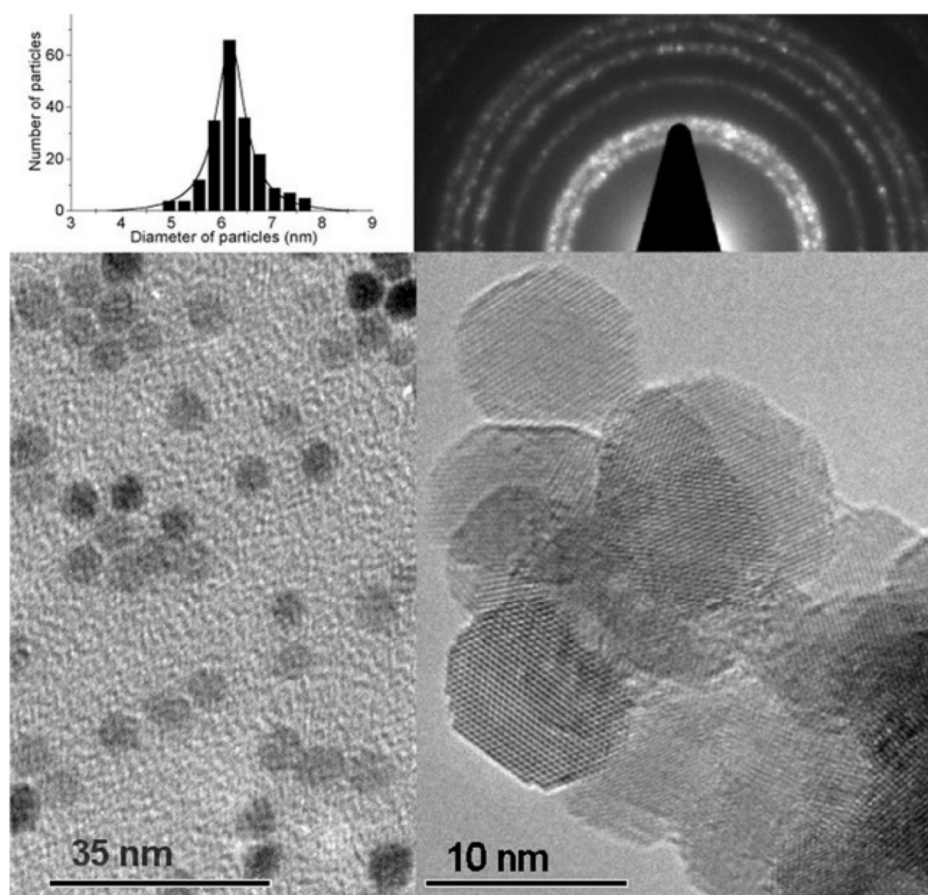


Figure 20. Characterizations of zinc oxide nanocrystals produced by sonocrystallization: particle size distribution, electron diffraction pattern, and TEM images [111].

It is possible to produce a variety of nanostructures via sonocrystallization. Li et al. produced nanofibers and fibrillar networks using ultrasonic irradiation [112]. *N*-lauroyl-L-glutamic acid di-*n*-butylamide (GP-1) was dissolved in octanol or propylene glycol at 120 °C and quenched to room temperature in an ultrasonic water bath (35 kHz, 1–4 W/cm²) for 0–2 min. Using sonication, the product was a nanofiber network structure and without sonication, spherulitic particles were formed (Figure 21). The network structure exhibited an enhanced storage modulus and gelation capability compared with the spherulitic particles.

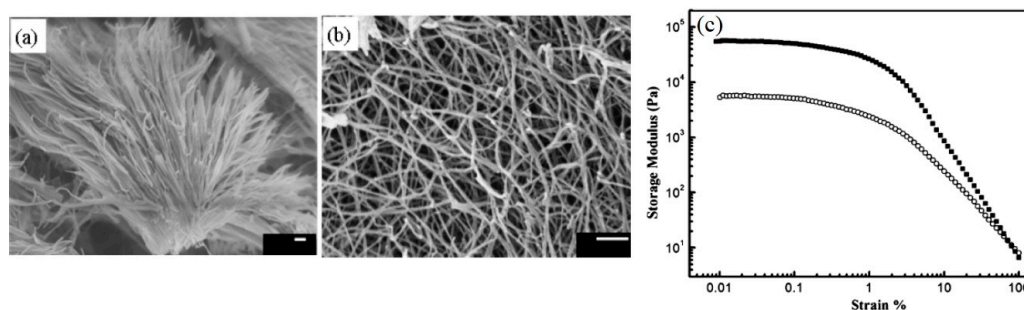


Figure 21. *N*-lauroyl-L-glutamic acid di-*n*-butylamide (GP-1) nanostructures generated without sonication or with sonication: SEM images of GP-1 (a) spherulitic structures produced without sonication and (b) 3D interconnected fiber network structures with 1 min of sonication, and (c) storage modulus of the 2 wt % GP-1/polypropylene glycol gels formed without ultrasound (□) and with ultrasound (■), respectively. Scale bars are 500 nm [112].

Hayward et al. reported on the generation of perylene diimide (PDI) nanowires using sonocrystallization [113]. PDI and poly(3-hexylthiophene) were dissolved in 1,2-dichlorobenzene at 120 °C and cooled to 20 °C with or without sonication. Notably, sonocrystallization produced narrower, straighter, and less agglomerated PDI nanowires than the cooling crystallization without sonication (Figure 22, left). The relatively good control of sonocrystallized nanowire sizes allowed for the preparation of smooth films (Figure 22, right).

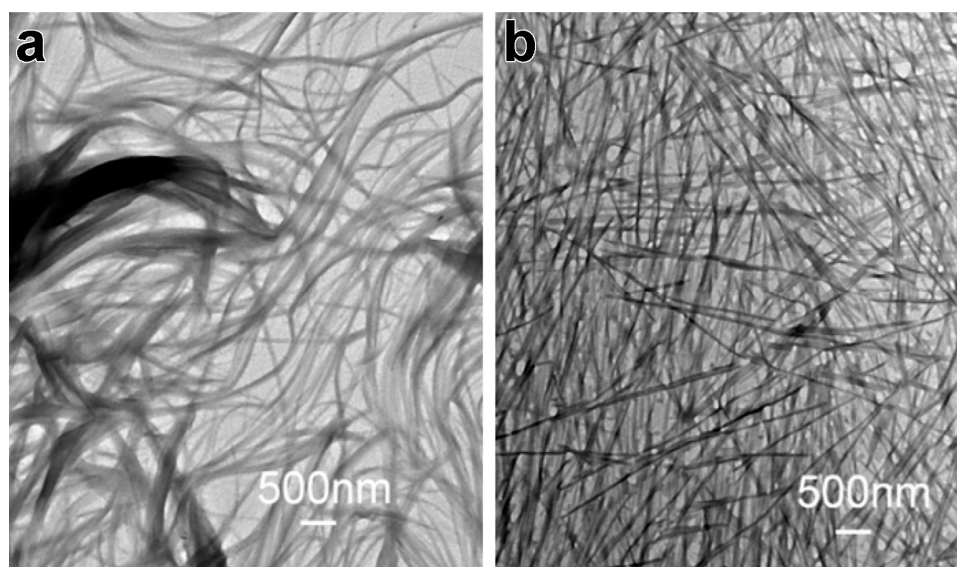


Figure 22. (Left) SEM images of perylene diimide (PDI) nanowire produced by (a) cooling crystallization without ultrasonic irradiation and (b) sonocrystallization in the presence of ultrasound; for the sonocrystallization, the PDI solution was irradiated with 35 kHz of ultrasound for 2 h [113].

6. Conclusions

Sonocrystallization is an important method for the controlled preparation of crystals with desired size and size distribution. In solutions undergoing crystallization, acoustic cavitation and its physical effects reduce the induction time and the metastable zone width and increase the nucleation rates of crystal formation. Various control variables have been modified to produce micro- and nano-crystals that are of special interest to the pharmaceutical industry due to the enhanced bioavailability associated with particle size reduction. The effect of breakage of pre-existing crystals under ultrasonic irradiation has provided useful insights into the effects of ultrasonic irradiation during sonocrystallization. There have been numerous reports on empirical results from sonocrystallization. While the mechanisms of action attributed to sonocrystallization and sonofragmentation have been increasingly well delineated, the relative importance of these various mechanisms (bubble interface nucleation, reduction of MZW, sonofragmentation, etc.) remains, however, an open question that will depend heavily on specific systems and configurations.

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