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# Assessment and Evaluation in Active Learning Implementations: Introducing the Engineering Education Active Learning Maturity Model

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**Abstract**: With the technological changes experienced in the world in recent decades, society has changed as a whole, due to the speed and availability of information that exists today. As student attention decreases, critical thinking and Active Learning, which places the student at the center of the learning process, have gained prominence. Considering the growing popularity of these techniques, this article proposes the Engineering Education Active Learning Maturity Model (E<sup>2</sup>ALM<sup>2</sup>), a framework that allows practitioners to assess the current maturity of Active Learning implementation in a program or a course. E<sup>2</sup>ALM<sup>2</sup> was built from a literature review of key success factors (KSF) for Active Learning implementations, which were divided into dimensions. Each KSF is composed of constructs, which are detailed with variables. Each variable has a proposed measurement method and an estimated uncertainty level. The framework can support diagnosis and practical improvements in real settings.

Keywords: Active Learning; maturity model; engineering education

## 1. Introduction

Since the beginning of the second half of the 20th century, the world has gone through technological evolutions that have transformed several areas of knowledge. Since the appearance of the first computers, data processing capacity and speed have increased exponentially, and this has led people and society to new behaviors. Education in general has changed, and so has engineering education [1,2].

With the transformations experienced in recent decades, current students were born surrounded by many technological resources. With almost all the information available on mobile phones, knowing how to make sense of it becomes increasingly important.

Engineering schools are experiencing a global trend of adaptation of their programs to the reality of the 21st century. Several movements are attempting to modernize programs and teaching practices, such as the CDIO initiative [3]. This initiative "provides students with an education stressing engineering fundamentals set in the context of Conceiving— Designing—Implementing—Operating (CDIO) real-world systems and products" [4]. Additionally, accreditation criteria of engineering programs in USA, established by the Accreditation Board for Engineering and Technology—ABET (called EC2000) [5], have changed. Such novel criteria require US engineering departments to demonstrate that, in addition to having a solid knowledge of science, math, and engineering fundamentals, their graduates have communication skills, multidisciplinary teamwork capabilities, lifelong learning skills, and awareness of the social and ethical considerations associated with the engineering profession [6]. Finally, completely novel engineering colleges are being created, with totally different proposals from the traditional 20th century model, such as the Olin College [7] and Aalborg University [8].



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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). A common topic among the engineering modernization movements is the importance of placing the student at the center of the learning process, as highlighted in the learning outcomes of the EC2000 (Criterion 3. i—"a recognition of the need for, and an ability to engage in life-long learning") [9] and Standard 8 of the CDIO ("Active Learning") (p. 153, [3]). Putting the student at the center of the learning process, along with increasing student engagement, is arguably achieved by the use of Active Learning [10–22].

Active Learning still lacks a definitive unique definition, but three stand as the most popular. Prince defines it as "any instructional method [used in the classroom] that engages students in the learning process" [23], Roehl as "an umbrella term for pedagogies focusing on student activity and student engagement in the learning process" [24], and Barkley as "an umbrella term that now refers to several models of instruction, including cooperative and collaborative learning, discovery learning, experiential learning, problembased learning, and inquiry-based learning" [14]. Hartikainen [10] shows 66 definitions of Active Learning, grouped by three main categories: (1) defined and viewed as an instructional approach; (2) not defined but viewed as an instructional approach; and (3) not defined but viewed as a learning approach.

Among the main Active Learning techniques, the following stand out: Problem-Based Learning (PBL) [8,23,25–29], Cooperative and Collaborative Learning [13,23,30–35], and the Flipped Classroom [20,36–39].

Furthermore, the pedagogical results and effectiveness of Active Learning are also widely documented [19,23,40–45]. Hartikainen [10] related positive effects on the development of subject-related knowledge, professional skills, social skills, communication skills, and meta-competences.

However, there are problems both in research and in the implementation of Active Learning. Prince [23] points out that comprehensive assessment of Active Learning is difficult due to the limited range of learning outcomes and different possible interpretations of these outcomes. Streveler [46] notes that "active learning is not a panacea that is a blanket remedy for all instructional inadequacies. Instead, it is a collective term for a group of instructional strategies that produce different results and require differing degrees of time to design, implement, and assess". Fernandes [47] related that "students identify the heavy workload which the project entails as one of the main constraints of PBL approach". There are also the least researched, but much-mentioned, barriers of resistance to novelty on the part of lecturers and students [43,48–52].

Although Active Learning has already been validated as an effective way to influence student learning and is increasingly being incorporated into the classroom, there is no way to qualify and evaluate the use of Active Learning techniques by faculty members [40]. There are four maturity models in the field of education, but none that specifically allow the assessment of the implementation of Active Learning in a course [53–56]. In addition to the difficulty of measuring Active Learning usage in the classroom, there is no way to assess the maturity level of Active Learning implementations in a course or a program of a Higher Education Institution (HEI), engineering schools included. This gap blurs the diagnostics of the status of a given implementation and consequently leads to less assertiveness in decision making, reducing the effectiveness of changes and Active Learning as a whole.

Maturity models can be a bridge to this gap. They enable practitioners to assess organizational performance, support management, and allow improvements [57]. Maturity modeling is a generic approach that describes the development of an organization over time through ideal levels to a final state [58]. In addition, maturity models are instruments to assess organizational elements and select appropriate actions, which lead to higher levels of maturity and better performance [59].

Therefore, this work will propose a conceptual maturity model that allows evaluating Active Learning implementations at the level of a specific course. This model targets the incremental enhancement of courses and seems logically to be the first step towards a more general and comprehensive framework that can extend its reach to evaluate institutions as a whole.

## 2. Methodology

Based on the research objectives, the broad keyword "active learning" was used in Scopus and Web of Science databases to search for abstracts of peer-reviewed journal articles. Additional keywords related to "success factors" and "engineering education" were used to refine the search. Ultimately, 31 studies were selected for review. Figure 1 uses the PRISMA model [60,61] to describe the literature review process.



**Figure 1.** Source selection process (N = 31).

The initial search returned a total number of 13,029 articles. Approximately 25% (3306) of the records were excluded because they belonged to categories other than education. The objective of this criterion was to exclude articles that used "active learning" in different purposes.

With the sample reduced to 75% of the original size (9723), filters were applied in the databases to match keywords related to success factors: "critic\* factor\*", "key factor\*", and "success factor\*". This step led to the reduction of the sample to 127 articles.

The abstracts of these 127 articles were judged against the following inclusion criteria: (1) reported research on key factors and (2) written in English. These criteria were intended to eliminate articles that had some keywords related to success factors but that did not actually address them. This step resulted in the reduction of the sample to 42 articles, whose full texts were searched. Of these, 11 full texts were not available for download, which resulted in the selection of 31 references that were included in the literature review. After the literature selection stage, references were read to identify the Key Success Factors (KSF) for the implementation of Active Learning.

The software MaxQDA<sup>®</sup> was used to extract and accumulate text snippets that represented key success factors. Then, similar snippets were combined into single KSFs to avoid duplication. Next, a definition based on the literature was attributed to each factor. The following step was to define the relevant constructs for each factor and for each construct, the variables that would be used for measurement.

Finally, each variable had a measurement method proposed and an uncertainty degree estimated.

The research method is presented in Figure 2.



Figure 2. Research procedures.

# 3. Results

The 31 sources included for the literature review provided 14 key success factors, grouped into five dimensions according to their similarity and relatedness to a specific aspect of the educational environment. Table 1 shows the dimensions and their related KSF.

Table 1. Dimensions, KSF, and references.

Dimension	KSF	References
	Course artifacts	[16-18,42,49,53,62-66]
Content quality St	udent assessment	[18,34,42,62,67–70]
Le	earning facilitation	[17,18,42,53,67,70,71]
	Culture	[72]
Organizational environment	Policy	[19,72]
Organizational environment	Student feedback	[25,49,73]
In	structional design	[74,75]
Organizational infractory styre	Classrooms	[76-81]
Organizational intrastructure	Technology	[16,19,42,70,82]
	Knowledge	[49,72]
Lecturer	Skills	[72]
	Attitude	[42,72]
T C C	Between students	[42,62]
Interactions	With lecturers	[42,75]

Following up on the creation of dimensions, each of the 14 KSF was detailed into 41 constructs. The constructs were detailed into 90 variables that could operationalize objective measurements to assess the maturity of a given implementation. Then, a measurement method was proposed for each variable, as well as an uncertainty degree estimated based on each measurement method. Three measurement methods were proposed:

- A questionnaire faculty in charge of a course should answer (Lecturer Questionnaire, LQ),
- Another questionnaire directed to students (Student Questionnaire, SQ), and
- An external evaluation from a third party not directly involved in the course (External Evaluation, EE).

As a result, Figure 3 shows the Engineering Education Active Learning Maturity Model (E<sup>2</sup>ALM<sup>2</sup>) with four levels.:



Figure 3. E<sup>2</sup>ALM<sup>2</sup>.

All dimensions and their KSF are defined in the following sections. Each KSF is detailed with its constructs and variables. Each variable has a measurement method (MM) and uncertainty degree (UD) suggested.

#### 3.1. Content Quality

This dimension concentrates the factors related to the core of the learning process, such as the quality of the problems, projects, or cases studied (artifacts); the level of difficulty required from the students; whether the activities facilitate learning; and whether the evaluation criteria are clear and consistent. The three KSF are detailed below.

## 3.1.1. Course Artifacts

- Course artifacts (problems, projects, or cases studied) should:
- Engage students with real-life problems and active experiences [62];
- Provide students with a variety of additional instructional resources, such as simulations, case studies, videos, and demonstrations [62];
- Be suitable to achieve different targets including the support of the students' learning process and establishing learning outcomes requirements [53];
- Be clearly written, in the right length, useful, flexible, and provide an appropriate degree of breath [63];
- Have suitable intellectual challenge [16–18,42,64]; and
- Begin with an explanation of its purpose [49,65,66].

Table 2 describes the KSF "Course Artifacts" with more detail. Its constructs were derived from the list of requisites presented above. Variables were proposed to measure each construct, as well as the most suitable measurement method (MM) and the uncertainty degree in each measurement.

Construct	Variable	MM	UD
Use of real-life problems	% of course content based on real-life problems	SQ and LQ	Medium
Application of active	% of classes using active methods	SQ and LQ	Medium
experiments	Students' perception of hands-on activities	SQ	Low
	Quantity of instructional resources used	SQ and LQ	Low
Variety of instructional	% of classes using resources other than the board or projector	SQ and LQ	Low
resources	Students' perception of the use of various resources	SQ	Low
Adequacy to learning outcomes (LO)	% of classes linked directly to an LO Students'perception of reaching an LO	SQ and LQ SQ	Medium Medium
Suitability of intellectual challenge	Students' perception of the level of difficulty presented	SQ	Low
Clarity in writing of course activities	Students' perception of the clarity used	SQ	Low
Size of course activities	Students' perception of size	SQ	Low
Explanation of	Students' perception of clarity in the purpose of the activities	SQ	Low
activities	% of activities in which the purpose is explained to students	SQ	Low

Table 2. KSF "Course Artifacts".

## 3.1.2. Student Assessment

Student assessment needs to be clear, concise, and consistent. This involves instructions, assignments, assessments, due dates, course pages, and office hours [62]. Furthermore, criteria for success must be communicated clearly and monitored [18,34,42,67–70]. Table 3 details the KSF "Student Assessment".

Table 3. KSF "Student Assessment".

Construct	Variable	MM	UD
	Perception of students on the clarity of assessment methods	SQ	Low
Clearness of assessment methods	Are the assessment methods defined in advance?	SQ and LQ	Low
	% of activities that have defined what is expected of the student	SQ and LQ	Low
Clearness of criteria	Perception of students on the clarity of success criteria	SQ	Low
for success	Are the success criteria defined in advance?	SQ and LQ	Low
Communications with students	Is information about assessment methods and success criteria made available before (or at the beginning of) the course?	SQ and LQ	Low
with students	Students' perception of communication of assessment methods and success criteria	SQ	Low

Learning facilitation includes the preparation of students to conduct activities and tasks required in addition to activities related to the facilitator guiding the learning process of the students [53]. It also involves providing students with regular opportunities for formative feedback from the lecturer [17,18,42,67,70,71]. Table 4 details the following levels of this KSF.

Table 4. KSF "Learning Facilitation".

Construct	Variable	MM	UD
Preparation of students to conduct activities required	% of activities flagged as supporting another activity	SQ and LQ	Medium
	Students' perception of the existing preparation for conducting activities	SQ	Medium
	Students' perception of the teacher's performance as a facilitator	SQ	High
	Intensity of the participation of monitors or auxiliary teachers during the course	SQ and LQ	Medium
Formative feedback	% of activities where there is formative feedback from the teacher	SQ	Medium
from teacher	Students' perception of the intensity of support received via formative feedback	SQ	Medium

#### 3.2. Organizational Environment

The factors of this dimension represent abstract aspects of the institution, such as culture, policy, and the practice of collecting feedback from students.

# 3.2.1. Culture

Organizational culture is a set of values systems followed by members of an organization as guidelines for behavior and solving the problems that occur in the organization [72]. This way, an organization and its members should have behavior alignment, and an organization should have guidelines to solve problems. Table 5 details the following levels of this KSF.

Table	5.	KSF	"Culture".

Construct	Variable	MM	UD
Acceptance of changes by the organization	Ease of approval of pedagogical changes Ease of approval of administrative changes	LQ LQ	Medium Medium
	Clarity of expected behaviors	LQ	Medium
Behavior alignment	Existence (or maturity) of behavioral guidelines	LQ	Low
Ability to solve problems	Perception of the speed with which problems are solved	LQ	Low
	Perception of transparency in problem solving	LQ	Low
Defining rules	Existence (or maturity) of a code of ethics	LQ	Low
Adequacy to the rules	Perception of the existence of punishments for those who violate certain rules	LQ	Medium

# 3.2.2. Policy

Organization policy is a set of program plans, activities, and actions that allows the prediction of how the organization works and how a problem would be solved [72]. Once time is needed to prepare the activities, teachers must have it for implementing something new in their classes [19]. Table 6 describes more details of this KSF.

Table 6. KSF "Policy".

Construct	Variable	MM	UD
Organizational support for the preparation of activities	Perception of the existence of time available for planning new activities	LQ	Low
	% average of teachers' time in classroom activities	LQ	Low
	% average of teachers' time in administrative activities	LQ	Low
	Average amount of administrative functions performed by teachers	LQ	Low
	Perception of the availability of auxiliary resources for the preparation of activities	LQ	Low
Adequacy of pedagogical plans	Perception of the adequacy of existing teaching plans to the use of AL	LQ	Medium

# 3.2.3. Student Feedback

Organizations are expected to collect feedback from students [25,49,73] and provide the support needed to successfully complete the activity [49].

Thus, it is possible to identify three different requirements for organizations carry on successfully this process: having a suitable process of feedback collection, using suitable feedback, and having an adequate student feedback process.

The following levels of the KSF "Student Feedback" are shown in Table 7.

## Table 7. KSF "Student Feedback".

Construct	Variable	MM	UD
Collecting student feedback	Existence (or maturity) of the process of receiving feedback from students	SQ	Low
Using student feedback	Perception of students on the fulfilment of their placements in feedbacks	SQ	Medium
Using student leedback	Number of objective actions resulting from student feedback in the last years	EE	Low
	Is feedback anonymous?	SQ	Low
Quality of the student feedback	Is the collection in person or remote?	SQ	Low
	Perception of students about the ease of the process of giving feedback	SQ	Low

#### 3.2.4. Instructional Design

Brophy [74] and Paechter et al. [75] highlight the importance of the structure and coherence of the curriculum and the learning materials. Thus, it is possible to identify two requirements to this KSF:

- Curriculum should be suitable to the course needs; and
- Curriculum and learning material should have coherence with each other. Table 8 shows the following levels of this KSF.

Construct	Variable	MM	UD
Structure of the curriculum	Perception about the adequacy of the curriculum to the needs of the course	SQ	High
Coherence of the curriculum and the learning material	Student perception of the alignment of the curriculum with the course material	SQ	Medium

Table 8. KSF "Instructional Design".

## 3.3. Organizational Infrastructure

This dimension contains factors that represent the infrastructure available for course activities.

#### 3.3.1. Classrooms

Classrooms designed for improved Active Learning experience [76] and equipped with technologies can enhance student learning and support teaching innovation [77–81]. Thus, two different requirements emerge for this KSF:

- Organizations should have appropriate classrooms for Active Learning; and •
- Organizations should provide classrooms with technological support.

Table 9 describes more details of this KSF.

# Table 9. KSF "Classrooms".

Construct	Variable	MM	UD
Classrooms designed for improve Active Learning experience	Existence of classrooms for Active Learning Classroom availability for Active Learning	SQ and LQ SQ and LQ	Low Low
	% of activities performed in an environment suitable for Active Learning	SQ and LQ	Medium
Classrooms equipped	Existence of classrooms equipped with multimedia devices and/or laboratories	SQ and LQ	Low
enhance student learning and support teaching innovation	Availability of classrooms equipped with multimedia devices and/or laboratories	SQ and LQ	Low
	% of activities performed in a technologically appropriate environment	SQ and LQ	Low

#### 3.3.2. Technology

The school should provide equipment and technological structure [19,42]. This involves availability, reliability, accessibility, usability of devices, internet (Wi-Fi), learning support, and inclusive learning environment [16,42,70,82].

Table 10 shows the details of KSF "Technology".

#### Table 10. KSF "Technology".

Construct	Variable	MM	UD
Availability of technology	Availability of multimedia devices	SQ and LQ	Low
	Internet availability on campus	SQ and LQ	Low
	Availability of e-learning system	SQ and LQ	Low
Reliability of technology	Reliability of multimedia devices	SQ and LQ	Medium
	On-campus internet reliability	SQ and LQ	Low
	Reliability of e-learning system	SQ and LQ	Medium
Accessibility of technology	Accessibility of multimedia devices	SQ and LQ	Medium
	On-campus internet accessibility	SQ and LQ	Low
	Accessibility of e-learning system	SQ and LQ	Low
Usability of technology	Usability of multimedia devices	SQ and LQ	Medium
	Campus internet usability	SQ and LQ	Low
	Usability of e-learning system	SQ and LQ	Medium

## 3.4. Lecturer

The lecturer is single most important actor in a successfully implementation of Active Learning. This dimension groups factors that represents their knowledge, skills, and attitude to carry out education innovation.

#### 3.4.1. Knowledge

Knowledge is a combination of framed experience, values, and contextual information that provides an environment for evaluating and incorporating new experiences [72]. De-Monbrun et al. highlighted the relevance of experience to lecturer [49]. Therefore, lecturer should have suitable experience as faculty member and information about Active Learning. Table 11 details the KSF "Knowledge".

Table 11. KSF "Knowledge".

Construct	Variable	MM	UD
Experience	Activity time as a lecturer Highest academic title Time since the highest titration	LQ LQ LQ	Low Low Low
Contextual information	Level of knowledge about Active Learning	LQ	High

# 3.4.2. Skills

Skills are the ability to use reason, thoughts, ideas, and creativity in doing, changing, or making things more meaningful so as to produce a value from the results of the work [72]. The lecturer should have skills about educational innovations in general and about Active Learning specifically. Table 12 shows this KSF in detail.

#### Table 12. KSF "Skills".

Construct	Variable	MM	UD
Skills about Active Learning	Amount of participation in Active Learning events	LQ	Low
	Number of books read on Active Learning	LQ	Low
	Amount of Active Learning techniques over which you have mastery	LQ	Low
Skills about educational innovations	Amount of participation in events on educational innovations	LQ	Low
	Number of books read on educational innovations	LQ	Low

## 3.4.3. Attitude

Attitude encompasses a very broad range of activities, including how people walk, talk, act, think, perceive, and feel [72]. Hegarty and Thompson [42] highlight the relevance of lecturer attributes and teaching methods, such as approachable, supportive, enthusiastic, and interesting delivery. Table 13 shows the following levels of this KSF.

Construct	Variable	MM	UD
Willingness to adopt Active Learning techniques	Qualitative perception of disposition	EE	High
	Number of periods in which adoption was attempted	LQ	Low
	Number of subjects in which adoption was attempted	LQ	Low
	Time since last adoption attempt	LQ	Low
Demographics	Age	LQ	Low
	Current position	LQ	Low
	Study area	LQ	Low

Table 13. KSF "Attitude".

## 3.5. Interactions

Placing students at the center of the learning process requires them to step out of the role of recipients of information and become active agents. The interaction between students and between them and teachers allows this transition to happen.

# 3.5.1. Between Students

Opportunities for students to work together and obtain peer feedback included in the learning design [42]. Chen, Bastedo, and Howard [62] emphasize that the course should provide online and face-to-face opportunities for students to collaborate with others.

Table 14 shows this KSF in detail.

## Table 14. KSF "Interactions between Students".

Construct	Variable		UD
Interactions in general	Quantity of work/projects carried out in group in the course	SQ	Medium
	% of the grade of the discipline from group work	SQ	Medium
Online collaboration	Number of remote meetings with other students throughout the course	SQ	Low
	Number of online presentations made by the student with assistance from other students	SQ	Low
Face-to-face collaboration	Number of face-to-face meetings with other students throughout the course	SQ	Low
	Number of face-to-face presentations made by the student with the assistance of other students	SQ	Low

## 3.5.2. With Lecturers

Interaction between students and lecturer supports knowledge construction, motivation, and the establishment of a social relationship [75]. Furthermore, constructive and enriching feedbacks from the lecturer lead to increasing academic success and feelings of support [42]. Table 15 details this KSF.

Table 15. KSF "Interactions with Lecturers".

Construct	Variable	MM	UD
Interactions students/professors	Number of orientation meetings throughout the course	SQ and LQ	Low
	Number of meetings to monitor projects throughout the course	SQ and LQ	Low

#### 3.6. Measurement Scales

Most of E<sup>2</sup>ALM<sup>2</sup> variables are related to the perception of students and teachers. They can be measured on a five-point Likert scale [83], coded as 5: strongly agree; 4: agree; 3: neither agree nor disagree; 2: disagree; and 1: strongly disagree.

The model also involves numerical variables, such as the percentage of activities that define clearly what is expected of the student or the percentage of activities in which the purpose is explained to students. For these variables, it is also possible to use a five-point scale, however with coding based on frequency or ranges, such as 5: always, 4: often, 3: occasionally, 2: rarely, and 1: never.

Finally, there are binary variables, e.g., whether assessment methods are defined in advance.

#### 3.7. KSF Weights

In the proposed model, each dimension has a score independent of the others. Thus, there is no need to define weights for the dimensions. However, it is necessary to define the weight that each KSF has in the composition of the score within its dimension. Two approaches are possible: (i) a uniform distribution inside the dimension and (ii) a distribution according to the relative relevance, based on number of references that support each KSF. Table 16 presents KSF weights under two criteria.

#### Table 16. KSF Weights.

Dimension	KSF	(i) Uniform Distribution	Number of References	(ii) Relative Relevance
Content quality (references = 26)	Artifacts	0.33	11	0.42
	Student Assessment	0.33	8	0.31
	Learning Facilitation	0.33	7	0.27
Organizational environment (references = 8)	Culture	0.25	1	0.13
	Policy	0.25	2	0.25
	Student Feedback	0.25	3	0.38
	Instructional Design	0.25	2	0.25
Organizational infrastructure	Classrooms	0.50	6	0.55
(references = 11)	Technology	0.50	5	0.45
Lecturer (references =5)	Knowledge	0.33	2	0.40
	Skills	0.33	1	0.20
	Attitude	0.33	2	0.40
Interactions	Between students	0.50	2	0.50
(references = 4)	With lecturers	0.50	2	0.50

#### 4. Discussion

As explained in the introduction, there is a lack of instruments that can help engineering schools and lecturers assess Active Learning implementations. The use of maturity models can support them in this task.

According to Bruin et al. [84], the maturity assessment can be descriptive, prescriptive, or comparative in nature. A purely descriptive model can be applied for an as-is diagnosis, with no provision for improving maturity or providing relationships with performance. A prescriptive model emphasizes the relationships between variables for final performance and indicates how to approach maturity improvement to positively affect the outcome. Therefore, it allows the development of a roadmap for improvement. A comparative model allows benchmarking across sectors or regions. Thus, it would be possible to compare similar practices between organizations to assess maturity in different sectors.

The  $E^2ALM^2$  is a descriptive maturity model (according to Bruin et al.'s classification), which can be understood as the first step in a life cycle that will allow the evolution to a prescriptive model. This evolution requires more knowledge about the impact of actions

and the identification of replicable actions that support the advance in the maturity level. This difficulty is especially important due to the difference in results obtained in education when different contexts and conditions are compared [43].

Although there are four other maturity models in the field of education, they have a different focus from  $E^2ALM^2$ . These models are focused on: Project-Based Learning (PBLCMM) [53], Student Engagement (SESR-MM) [54], Curriculum Design (CDMM) [55], and e-Learning [56]. In addition to the difference in focus, none of these models provide an assessment of the same requirements and with the scope of  $E^2ALM^2$ . In addition to these four models, there is an extremely simple scale, which is neither a theoretical model with scientific references nor peer-reviewed, but which has a similar objective to assess the use of Active Learning [85].

The  $E^2ALM^2$  model allows the diagnosis of the current stage of Active Learning implementation with a focus on a course, from the objective measurement of 90 variables. For most variables, the suggested measurement method is a questionnaire for the lecturer, for the student, or for both. This choice aims to facilitate the application of the model in real cases, reducing the need for an external evaluator to observe the activities throughout the entire period to issue its report.

Obviously, collecting impressions through questionnaires introduces the possibility of bias, both for the teacher and the student. Therefore, it will be necessary to use response validation techniques when creating the questionnaires. Because of this possibility of bias, all variables had an estimated uncertainty degree. In cases where the uncertainty degree is high, the statistical validation of answers will need to be stricter. As a way to avoid possible contamination in the results due to bias, some variables are measured by questions asked to both the lecturer and the students.

The use of Active Learning has several positive effects, as explained in the introduction, but there are also some difficulties and limitations. Streveler states that Active Learning is not a solution for all instructional inadequacies [46]. The increasing workload for lecturers [52,86] and students [47], the resistance to changes [43,48–51], and the need to align curriculum and course activities [86,87] are challenges that need to be overcome in Active Learning implementations.

Furthermore, it is important to emphasize that the  $E^2ALM^2$  model does not aim to assess the overall quality of an engineering program, but the maturity level of Active Learning implementation, which is a recommendation of the main modernization movements in the Engineering Education field around the world. Courses and schools can still be of a high quality even though they follow a more traditional approach to engineering education. The point here is that whoever wants to modernize their engineering education approach will struggle with the implementation of Active Learning as a pedagogical and cultural element, and the  $E^2ALM^2$  can shed light for managers and lecturers during the messy times of changes, infrastructural adaptations, and resistance from students and faculty members.

As future work, we recommend: (i) defining further studies to test the scale of each variable; (ii) determining empirical testing of the weights of each KSF in their respective dimensions; (iii) testing the questionnaires to measure all variables; (iv) validation of the framework in different cultural settings, for instance with an international panel of experts; and (v) application of the framework to evaluate the maturity of real cases, which will allow qualitative and quantitative analyses.

## 5. Conclusions

This study proposed a framework to evaluate the maturity of adoption of Active Learning by a specific course. The variables described here can serve as a checklist to lecturers adopting Active Learning and as a metric to evaluate the comprehensiveness and quality of existing initiatives.

The proposed model is descriptive, because it allows evaluating the current situation, but it can be understood as a first step towards the construction of a prescriptive model, which can indicate good practices and replicable actions to increase the level of maturity. E<sup>2</sup>ALM<sup>2</sup> was designed so that its application is easy, centered on questionnaires for lecturers and students, without the need for long periods of external observation, which would lead to greater expenses and prevent scalability.

E<sup>2</sup>ALM<sup>2</sup> allows faculty members to assess the current state of Active Learning implementations and therefore compare states before and after planned interventions with specific objectives.

Despite having the focus on a course, the diagnosis of a program or an engineering school can be made as a composition of the evaluations of the courses that comprise it, which also favors managerial actions.

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