

Article

Analysis and Optimization Design of a Solar Water Heating System Based on Life Cycle Cost Using a Genetic Algorithm

Myeong Jin Ko

Urban Development Institute, Incheon National University, Incheon 406-772, Korea; E-Mail: whistlemj@nate.com; Tel.: +82-32-835-4656

Academic Editor: Kamel Hooman

Received: 20 July 2015 / Accepted: 9 October 2015 / Published: 13 October 2015

Abstract: This paper presents an optimization method to design a solar water heating (SWH) system based on life cycle cost (LCC). A genetic algorithm is employed to optimize its configuration and sizing as the optimization technique. To ensure that the optimal solution obtained from the proposed method is a practical design, three constraint conditions, including the energy balance, solar fraction, and available space to install solar collectors, have been set. In addition, the real devices available in the marketplace are considered in the optimization process that searches for optimal configuration and sizing, which is represented by the type and number of each component. By using the proposed method, a SWH system in an office building, South Korea has been designed and optimized. It is observed that a low solar fraction does not always present a decrease in the LCC. A trade-off between the equipment cost and the energy cost results in an optimal design of the SWH system that yields the minimum LCC.

Keywords: solar water heating system; genetic algorithm; optimization design; life cycle cost

1. Introduction

Global energy consumption has increased steadily over the last few decades and has recently been marked by especially dramatic growth rates in many developing countries, such as China, India, and Brazil. Especially, heating accounts for 40% to 50% of the world's energy demand and most of the energy supply for heating currently comes from fossil fuels [1]. To reduce the consumption of fossil fuels for heating and the emission of greenhouse gases, solar energy has been accepted as one of the most promising alternative energy sources because it is free and environmentally clean [2]. One of the

most widely-known solar thermal applications is the solar water heating (SWH) system [3]. According to the Renewable Energy Policy Network (2010), approximately 70 million houses use SWH systems worldwide [4]. Namely, SWH systems have been recognized as one of the most cost-effective systems to many engineers, investors, and users.

The proper design of SWH systems is important to assure good performance and maximize the economic benefits of these systems. There are many studies in the literature that address the design method of these systems. These design methods can be broadly classified into two categories, namely, correlation-based methods and simulation-based methods [5]. The typical correlation based methods include the ϕ method [6], $\overline{\phi}$ method [7], *f*-chart method [8], and $\overline{\phi}$, *f*-chart method [9]. These design methods have been widely used in preliminary design due to their convenience and inexpensiveness in predicting long-term performance compared to detailed simulation-based methods. The application of these design methods, however, is limited, particularly when the meteorological data and the usage characteristics of the SWH system are different from the data used for corrections [10]. On the other hand, a number of simulation-based methods such as TRNSYS [11] and SOLCHIPS [12] have been applied for the design of SWH systems and are also available on the market as user-friendly software tools. Researchers and designers can numerically evaluate the effects of design variables on long-term energy performance by conducting a series of simulations. These design variables could include the collector area, number of the collectors, storage tank volume, auxiliary heater capacity, and number of the auxiliary heaters. However, even one of these design variables could cause a variation in the SWH system's performance. Therefore, the number of simulations increases exponentially according to the increase in the number of design variables and parameters. Moreover, these methods also require the involvement of experts and significant computation time.

To overcome these issues in the previously stated correlation and simulation based methods, linear and nonlinear optimization techniques and evolutionary search algorithms have also been applied for the design of SWH systems. Matrawy et al. [13] proposed a graphical method to estimate the optimum collector area and storage volume under a given solar fraction by maximizing the annual system efficiency. Loomans et al. [14] presented a method that designs the sizing of the SWH system with the minimum payback time by a genetic algorithm (GA). Krause et al. [15] optimize the design parameters that are expected to improve the performance of large solar thermal systems. With this optimization, their results show that solar heat cost could be reduced by approximately 18% compared with the conventionally planned system. Kalogirou [16] studied a design method to find the optimum combination of the collector area and storage tank volume to maximize the life cycle savings of a solar installation by using combined artificial neural networks (ANN) with GA. Kulkarni et al. [5] introduced a concept of design space to identify feasible designs of SWH systems. Then, they [17] designed and optimized an active direct SWH system with different storage volumes and collector areas for a given solar fraction by minimizing the annualized life cycle cost. Kim et al. [18] optimized active-indirect SWH systems by minimizing the capital payback period for different energy demands using a µGA. Atia et al. [19] optimized a forced circulation SWH system for an aquaculture system by minimizing the life cycle cost (LCC) using a GA. Yan et al. [10] presented a simplified method for optimizing the key parameters, such as the collector area and the storage tank volume, of SWH systems based on a life cycle energy analysis. Bornatico et al. [20] presented a methodology for finding the optimal size of the main components for a solar thermal system using particle swarm optimization (PSO). Furthermore, several

research works have been performed that address the design and sizing of SWH systems using hybrid optimization techniques, such as combined PSO with Hooke-Jeeves [21] and combined GA with binary search method [22].

As previously stated, studies on the optimal design for SWH systems have been increasing and are helpful in identifying the sizing of the SWH systems. However, the number of optimization methods is rather small compared to a wide spread range of correlation and simulation based design methods developed in the last few decades. Furthermore, most of the optimization methods have designed and determined a proper SWH system by examining the appropriate sizing of each component or value of the operation parameters through parametric studies based on objective functions, such as annual efficiency, solar fraction, life cycle savings, LCC, and payback period. In addition, the majority of the studies optimized SWH systems with a given configuration consisting of one type of device for each component. System performance and economic benefits, however, vary considerably depending on even one of these design variables and the relation among them. There are a number of system devices in the marketplace. Each device represents different technical characteristics, which may lead to variation in the energetic and economic performance of SWH systems. Thus, the optimal designs can be different compared to the original designs.

Therefore, this paper presents an optimization method to design a SWH system based on LCC by considering the real devices available in the marketplace. GA is employed to optimize its configuration and sizing as the optimization technique. In addition, this study has been set three constraint conditions, including energy balance, solar fraction, and available space to install solar collectors to ensure that the optimal solution obtained from the proposed method is a practical design. This paper is organized as follows: Section 2 presents the mathematical analysis of an indirect forced SWH system. Section 3 proposes the optimization method by constructing a decision vector, an objective function, and constraints. Section 4 applies the proposed method to optimize the SWH system for an office building in Incheon, South Korea. Finally, some concluding remarks are provided in Section 5.

2. Mathematical Model of SWH System

A schematic diagram of an indirect forced SWH system with a flat plate solar collector array, a heat exchanger, a storage tank, and an auxiliary heater is shown in Figure 1. Solar energy absorbed by a collector array is transferred to the storage tank through an external heat exchanger. When hot water is demanded, heat stored in the tank is supplied to the load. If the storage tank temperature is below the desired hot water temperature, an auxiliary heater is placed in series with the tank and the load supply line is switched on. At any instant in time, the energy balance of a well-mixed storage tank can be described as [5]:

$$\left(\rho_w C_{p,w} V_s\right) \frac{\mathrm{d}T_s}{\mathrm{d}t} = q_{Ts} - q_{Ls} - q_l - q_d \tag{1}$$

where ρ_{W} , $C_{p,W}$ are the density (kg/m³) and the specific heat of water (J/kg·°C); V_s is the volume of a storage tank (m³); q_{TS} , q_l , q_d , and q_{Ls} are the solar energy supplied to a storage tank (W), the heat loss of a storage tank (W), the discharged heat to avoid overheating of a storage tank (W), and the solar energy extracted from the storage tank (W), respectively.



Figure 1. Schematic diagram of the SWH system considered in this study.

The solar energy supplied to the tank (q_{TS}) is the energy transferred from the useful heat gain of the collector array (q_u) through a heat exchanger according to the differential temperature control. The solar useful gain of identical collector modules in series is [23]:

$$q_u = A_c N_{c,s} [F_R(\tau \alpha) I_T - F_R U_L (T_{ho} - T_a)]^+$$
(2)

where A_c is the gross area of a single collector module (m²); $N_{c,s}$ is the number of identical collectors in series; $F_R(\tau \alpha)$ and $F_R U_L$ are the intercept and the slope of the efficiency curve of identical collector modules in series; I_T is the solar irradiance on the tilted surface (W/m²); T_{ho} is the hot stream outlet temperature of the heat exchanger (°C); T_a is the outdoor dry-bulb temperature (°C); and the + sign indicates that the collector fluid circulates between the collector array and the hot side of an external heat exchanger only when solar useful heat gain becomes positive, respectively.

For identical collector modules in series, the intercept and slope of the efficiency curve can be estimated as [23]:

$$F_{R}(\tau\alpha) = F_{R1}(\tau\alpha)_{1} \left[\frac{1 - \left(1 - \frac{A_{c}F_{R1}(\tau\alpha)_{1}}{m_{c}C_{p,c}}\right)^{N_{c,s}}}{N_{c,s}\frac{A_{c}F_{R1}(\tau\alpha)_{1}}{m_{c}C_{p,c}}} \right]$$
(3)

$$F_{R}U_{L} = F_{R1}U_{L1} \left[\frac{(m_{c}C_{p,c})}{N_{c,s}\frac{A_{c}F_{R1}(\tau\alpha)_{1}}{m_{c}C_{p,c}}} \right]$$
(4)

where $F_{R1}(\tau \alpha)_1$ and $F_{R1}U_{L1}$ are the intercept and the slope of the efficiency curve of a single collector; m_c is the mass flow rate of the collector fluid (kg/s); and $C_{p,c}$ is the specific heat of the collector fluid (J/kg.°C).

To calculate q_{Ts} , hot and cold stream outlet temperatures for the plate heat exchanger must be known. Both outlet temperatures can be determined by the effectiveness-number of heat transfer units (NTU- ε) analysis. The NTU- ε method uses three dimensionless parameters, such as the heat exchanger effectiveness (ε), number of exchanger heat transfer units (NTU), and capacity rate ratio (c_r). For a given counter-flow heat exchanger, the three parameters can generally be expressed as [23]:

$$\varepsilon = \begin{cases} \frac{1 - exp[-NTU(1 - c_r)]}{1 - c_r exp[-NTU(1 - c_r)]}, c_r \neq 1\\ \frac{NTU}{NTU + 1}, c_r = 1 \end{cases}$$
(5)

$$c_r = \frac{C_{hex,min}}{C_{hex,max}} \tag{6}$$

$$NTU = \frac{UA_{hex}}{C_{hex,min}} \tag{7}$$

where UA_{hex} is product of the overall heat transfer coefficient and area of a heat exchanger (W/°C); $C_{hex,min}$ and $C_{hex,max}$ are the smaller and larger values between the hot fluid capacity rate ($C_{hex,h}$) and the cold fluid capacity rate ($C_{hex,c}$).

The capacity rates of the fluid on the hot and cold sides of the heat exchanger are given as follows:

$$C_{hex,h} = m_c N_{c,p} C_{p,c} \tag{8}$$

$$C_{hex,c} = m_c N_{c,p} C_{p,w} \tag{9}$$

where $N_{c,p}$ is the number of parallel connections in the collector array. Therefore, the heat transfer rate (*i.e.*, solar energy supplied to the tank), hot stream outlet temperature, and cold stream outlet temperature can be determined as:

$$q_{Ts} = \begin{cases} \varepsilon C_{hex,h}(T_{hi} - T_{ci}), C_{hex,min} = C_{hex,h} \\ \varepsilon C_{hex,c}(T_{hi} - T_{ci}), C_{hex,min} = C_{hex,c} \end{cases}$$
(10)

$$T_{ho} = T_{hi} - \frac{q_{Ts}}{C_{hex,h}} \tag{11}$$

$$T_{co} = T_{ci} + \frac{q_{Ts}}{C_{hex,c}} \tag{12}$$

where T_{hi} and T_{ho} are the hot stream inlet and outlet temperatures of the heat exchanger (°C) and T_{ci} and T_{co} are the cold stream inlet and outlet temperatures of the heat exchanger (°C).

To satisfy the desired hot water temperature and flow rate, the storage tank discharge flow rate is mixed with make-up water. By considering the mass and energy balance at the mixing junction the flow rate drawn from the tank is determined as:

$$m_{s} = \begin{cases} m_{l} \left(\frac{T_{l} - T_{m}}{T_{s} - T_{m}} \right), T_{s} > T_{l} \\ m_{l}, T_{s} \le T_{l} \end{cases}$$
(13)

where m_s is the mass flow rate from the storage tank to the load (kg/s); m_l is the mass flow rate of the desired hot water load (kg/s); T_l is the desired hot water temperature (°C); and T_m is the make-up water temperature (°C).

Therefore, the solar energy supplied from the storage tank to the load (q_{LS}) can be estimated as:

$$q_{Ls} = m_s C_{p,w} (T_s - T_m) \tag{14}$$

If the storage tank temperature is less than the desired hot water temperature, water discharged from the tank is heated by an auxiliary heater. Auxiliary heating energy can be calculated as:

$$q_{aux} = \begin{cases} 0, T_s > T_l \\ m_l C_{p,w} (T_l - T_s), T_s \le T_l \end{cases}$$
(15)

Meanwhile, the storage tank loss (q*i*) to the ambient air can be expressed as:

$$q_l = U_s A_s (T_s - T_{amb}) \tag{16}$$

where U_s and A_s are the heat loss coefficient (W/m².°C) and the surface area (m²) of a storage tank and T_{amb} is the ambient temperature (°C).

In this paper, an optimization method is developed to design a SWH system for low temperature applications (below 100 °C) such as a residential hot water system. So if the storage tank temperature is greater than the maximum allowable temperature ($T_{s,max}$), the surplus heat will be discharged to avoid overheating of the storage tank. The discharged flow rate and heat can be calculated as:

$$m_{d} = \begin{cases} \frac{\rho_{w}V_{s}(T_{s} - T_{s,max})}{(T_{s} - T_{m})}, T_{s} > T_{s,max} \\ 0, T_{s} \le T_{s,max} \end{cases}$$
(17)

$$q_{d} = \begin{cases} m_{d}C_{p,w}(T_{s} - T_{s,max}), T_{s} > T_{s,max} \\ 0, T_{s} \le T_{s,max} \end{cases}$$
(18)

The SWH system parameters from Equation (2) to Equation (16) are evaluated on the basis of the initial storage tank temperature at any time step. The final storage tank temperature at the end of any time step must be known because it will be the initial temperature for the next time step. The final storage tank temperature can be estimated as:

$$T_{s,f} = T_s + \frac{(q_{Ts} - q_{Ls} - q_l - q_d)3,600}{\rho_w C_{p,w} V_s} \Delta t$$
(19)

where $T_{s,f}$ is the final storage tank temperature at the end of any time step.

In this optimization method, the SWH system is operated to meet the hot water demand using differential temperature control on an hourly basis. Hourly demands and weather conditions are required as input data. In the proposed method, the number of heat exchangers is fixed as one because this is the common configuration of forced circulation SWH systems in South Korea. Furthermore, a counter-flow type heat exchanger with UA_{hex} of 3000 W/°C is used. For the thermal performance of an auxiliary heater, a simple boiler is modeled with its overall efficiency and part load ratio from the device capacity and the energy required to meet the load.

3. Optimization Method of SWH System

3.1. Decision Variable

The optimization method in this paper is developed to determine the optimal configuration and sizing for a SWH system composed of solar collectors, a storage tank, and auxiliary heaters. Here, the configuration means the combination of the selected types for each component and the sizing is computed using its unit capacity and quantity. The capacity units of a solar collector, a storage tank and an auxiliary heater are the area of a collector module (m²), tank volume (m³), and rated heating rate (kW), respectively. This study fixes the number of storage tanks at one because a single tank is generally

used in SWH systems for low temperature applications. Therefore, a SWH system is expressed as a decision vector composed of five integer variables that represent the type and number of each component as shown below:

$$x = (T_{coll}, N_{coll}, T_{tank}, T_{aux}, N_{aux})^T$$
(20)

where T_{coll} is the type of solar collectors; N_{coll} is the number of solar collectors; T_{tank} is the type of storage tanks; T_{aux} is the type of auxiliary heaters; and N_{aux} is the number of auxiliary heaters.

3.2. Objective Function

This design method obtains the optimal configuration and sizing of a SWH system by minimizing the LCC of the system, which includes all of the costs throughout the lifetime of the system. It can be formulated as follows [24]:

$$C_{LCC} = C_I + C_M + C_R + C_E - C_S$$
(21)

where C_I , C_M , C_R , C_E , and C_S represent the initial, maintenance, replacement, energy, and subsidy costs, respectively.

The initial cost is related to the direct purchase cost of the main components and the supplementary cost, as follows:

$$C_I = \left(C_{coll,j}N_{coll} + C_{tank,j} + C_{aux,j}N_{aux}\right)(1+R_I)$$
(22)

where $C_{coll,j}$, $C_{tank,j}$, and $C_{aux,j}$ are the purchase price of the *j*th device of solar collectors, storage tanks, and auxiliary heaters; N_{coll} and N_{aux} are the installation number of the *j*th device of solar collectors and auxiliary heaters; and R_I is a percentage of the supplementary cost against the direct purchase cost.

The maintenance cost is calculated as a percentage of the initial cost of a SWH system, described as follows:

$$C_M = C_I R_M \left[\frac{(1+i)^{n_p} - 1}{i(1+i)^{n_p}} \right]$$
(23)

where R_M is a percentage of the annual maintenance cost against the initial cost; n_p is the planning period; and *i* is the real discount rate.

The replacement costs are incurred depending on each component's lifetime during the planning period and are described as follows:

$$C_{R,c} = \sum_{n_{r,c}=1}^{n_{r,c}} \left\{ C_{I,c} \left[\frac{1}{(1+i)^{(n_{I,c}n_{r,c})}} \right] \right\}$$
(24)

where $C_{R,c}$ and $C_{I,c}$ are the replacement costs and the initial costs of each component; $n_{l,c}$ is the lifetime of each component; and $n_{r,c}$ is the replacement times of each component.

The energy cost is computed by applying the electricity and liquid natural gas (LNG) escalation rate and is described as follows:

$$C_E = UPA_{ELE}^* \sum_{t=1}^{8,760} c_{ELE}(t) F_{ELE}(t) + UPA_{LNG}^* \sum_{t=1}^{8,760} c_{LNG}(t) F_{LNG}(t)$$
(25)

$$UPA_{fuel}^{*} = \frac{\left(\frac{1+e_{fuel}}{1+i}\right) \left[\left(\frac{1+e_{fuel}}{1+i}\right)^{n_{p}} - 1 \right]}{\left(\frac{1+e_{fuel}}{1+i}\right) - 1}$$
(26)

where UPA_{ELE}^* and UPA_{LNG}^* are the uniform present value factor adjusted to reflect the electricity and the LNG price escalation rate, c_{ELE} and c_{LNG} are the hourly electricity cost [KRW/kWh] and hourly LNG cost [KRW/m³] for a SWH system; F_{ELE} and F_{LNG} are the hourly electricity consumption [kWh] and hourly LNG consumption [m³]; and e_{fuel} is the fuel price escalation rate.

It is considered that part of the initial cost is backed by the government depending on the related regulations regarding the installation of renewable energy systems. According to the total gross area of the collector modules, the subsidy cost is calculated as follows:

$$C_{S} = \left\{ \begin{bmatrix} C_{I}R_{S}, A_{R,max} > A_{coll,j}N_{coll} \\ C_{coll,j}floor\left(\frac{A_{R,max}}{A_{coll,j}}\right) + C_{tank,j} + C_{aux,j}N_{aux} \end{bmatrix} (1 + R_{I})R_{S}, A_{R,max} \le A_{coll,j}N_{coll}$$
(27)

where $A_{coll,j}$ is the gross area of the *j*th device of solar collectors (m²); $A_{R,max}$ is the maximum capacity available to receive the subsidy cost (m²); and R_s is a percentage of the subsidy cost against the initial cost (%).

3.3. Constraint Conditions

The constraints restrict each decision variable to take a value within the minimum and the maximum limits. In this paper, the decision variables present the type and number of main components for a SWH system. Most previous studies that optimized a particular SWH system consisting of only one model selected beforehand by researchers had to constraint the limits of each decision variable. However, when optimizing the types of component that has different capacities, it is difficult to set the maximum limits of decision variables that indicate the number of components to the specific values because the limits vary according to the device types. Therefore, this study has set the following inequality constraints, namely the energy balance, the solar fraction, and the available space to install the collectors that are used in the practical design problems of a SWH system. The limits of N_{aux} are determined by Equation (26) and the limits of N_{coll} are restricted by Equations (27) and (28). Meanwhile, the limits of the decision variables (T_{coll} , T_{tank} , and T_{aux}) that denote the types of components are set automatically at the number of types in the inputted data tables of each component.

(a) Energy balance:

$$Q_{L,peak} \le Q_{aux,tot} \tag{28}$$

(b) Solar fraction (penetration of the solar energy):

$$F_{S,min} \le F_S \le F_{S,max} \tag{29}$$

(c) Available space to install the collector array:

$$A_{c,ins} \le A_{c,max} \tag{30}$$

with

$$Q_{aux,tot} = Q_{aux,j} N_{aux} \tag{31}$$

$$F_{S} = \left(1 - \frac{Q_{aux,year}}{Q_{L,year}}\right) \times 100 \tag{32}$$

$$A_{c,ins} = N_{coll} W_{coll,j} H_{coll,j} \left[\cos(\beta_{coll}) + \frac{\sin(\beta_{coll})}{\tan(\alpha_{s,w})} \right]$$
(33)

where $Q_{L,peak}$ is the peak load (kW); $Q_{aux,j}$ is the heating capacity of the *j*th device of auxiliary heaters (kW); $Q_{L,pear}$ is the annual hot water load (kWh); $Q_{aux,tot}$ is the total heating capacity of the auxiliary heaters (kW); $F_{S,min}$ and $F_{S,max}$ are the minimum and the maximum solar fractions (%); F_S is the solar fraction of any SWH system; $W_{coll,j}$ and $H_{coll,j}$ are the width and the height of the *j* th module of solar collectors (m); β_{coll} is the slope of the collector array (°); $\alpha_{s,w}$ is the meridian altitude in winter (°); $A_{c,max}$ is the available space to install solar collectors (m²); and $A_{c,ins}$ is the installation area of the solar collectors (m²).

3.4. Optimization Algorithm

This study uses the real coded GA [25] to optimize the configuration and sizing for a SWH system. The GA parameters used for the implementation of the optimization algorithm are: number of generations = 300, population size = 50, crossover probability = 0.9, and mutation probability = 0.7. In this paper the optimization process using a GA terminated when the maximum number of generations has been reached. The modified crossover and mutation suggested by Ko *et al.* [26] was also applied to treat the decision variables that represent the type and number of components as a discrete.

Meanwhile, as described in Section 3.1, a decision vector composed of five integer variables that represent the type and number of each component. In the GA process, the types of a decision vector are recognized as the identification numbers assigned in the inputted data tables of each component. Thus, the identification numbers and installation numbers of each component act as genes during the evolutionary process. In addition, the technical and economic data of the devices corresponding to the identification numbers of each component are used as input data in the energetic and economic estimation of the SWH systems.

4. Simulation Results and Discussion

4.1. Simulation Parameters or Data

The proposed optimization method was applied for the design and optimal configuration sizing of a SWH system for an office building in Incheon at Latitude 36° N and Longitude 125° E, South Korea.

In the present study, three different hot water consumptions of weekday, Saturday and Sunday are distributed during a day according to the hot water load profile of the typical office building [27] as shown in Figure 2. Daily hot water consumptions of weekday, Saturday and Sunday are 4.00, 1.91 and 0.84 m³/day at 60 °C, respectively. The meteorological conditions during the year are illustrated in Figure 3. The average daily solar irradiance is 3.38 kW/m², and the average hourly air temperature is 12.18 °C, respectively.



Figure 2. Hourly hot water consumptions over one day in a case study building.



Figure 3. (a) Hourly global horizontal solar irradiance, and (b) outdoor air temperature and make-up water temperature over one year for Incheon, South Korea.

The SWH system of the case study is comprised of three main components, namely, five types of solar collectors, ten types of storage tanks, and eight types of auxiliary heaters. The technical and economical characteristics of the solar collectors, storage tanks, and auxiliary heaters used in the optimization design are shown in Tables 1–3.

All devices shown in Tables 1–3 are available in the actual market, and a list of these devices can be extended by the researcher and designer. Moreover, manufactured devices in the actual market do not have constant size increments and represent different technical characteristics. Therefore, it is expected to be able to practically evaluate the energetic and economic performance of SWH systems and obtain more realistic and optimal designs by using the different types of devices for each component.

| | | | Types | | |
|--|--------|--------|--------|--------|--------|
| Parameters | 0 | 1 | 2 | 3 | 4 |
| Useful gain (kWh/m ² day) | 2.228 | 2.361 | 2.417 | 2.444 | 2.556 |
| Intercept of the collector efficiency (–) | 0.7200 | 0.7208 | 0.7445 | 0.7043 | 0.7203 |
| Negative of the slope of the collector efficiency $(W/m^2.°C)$ | 4.09 | 4.7999 | 4.8483 | 4.5368 | 3.9488 |
| Flow rate of the fluid at standard condition (kg/s) | 0.0400 | 0.0373 | 0.0381 | 0.0368 | 0.0533 |
| Overall height (m) | 2.00 | 2.00 | 2.00 | 2.00 | 2.40 |
| Overall width (m) | 1.00 | 1.00 | 1.00 | 0.99 | 1.18 |
| Lifetime (years) | 20 | 20 | 20 | 20 | 20 |
| Purchase cost (1,000 KRW/ea.) | 520 | 530 | 545 | 540 | 820 |

Table 1. Technical and economic parameters of the solar collectors for the case study.

Table 2. Technical and economic parameters of the storage tanks for the case study.

| Descention | | Types | | | | | | | | |
|-----------------------------------|------|-------|------|-------|-------|-------|-------|-------|-------|-------|
| Farameters | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| Tank volume (m ³) | 0.44 | 0.96 | 1.72 | 2.65 | 3.76 | 4.91 | 5.54 | 6.21 | 6.92 | 9.58 |
| Heat loss coefficient (W/°C) | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 |
| Overall height (m) | 1.22 | 1.22 | 1.52 | 2.00 | 2.44 | 2.44 | 2.44 | 2.44 | 3.05 | 3.05 |
| Overall diameter (m) | 0.68 | 1.00 | 1.20 | 1.30 | 1.40 | 1.60 | 1.70 | 1.80 | 1.70 | 2.00 |
| Lifetime (years) | 15 | 15 | 15 | 15 | 15 | 15 | 15 | 15 | 15 | 15 |
| Purchase cost (1,000,000 KRW/ea.) | 6.60 | 7.15 | 9.49 | 10.73 | 12.65 | 15.88 | 17.33 | 18.02 | 18.98 | 24.20 |

| Table 3. | Technical a | and economic | parameters | of the auxiliary | heaters | for the c | case study. |
|----------|-------------|--------------|------------|------------------|---------|-----------|-------------|
|----------|-------------|--------------|------------|------------------|---------|-----------|-------------|

| | | | | Ту | pes | | | |
|------------------------------|-------|-------|-------|-------|-------|-------|-------|--------|
| Parameters | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Rated heating capacity (kW) | 15.12 | 18.61 | 23.26 | 29.08 | 34.89 | 58.15 | 81.41 | 116.30 |
| Rated efficiency (%) | 83 | 84 | 85 | 86 | 86 | 82 | 83 | 83 |
| Lifetime (years) | 15 | 15 | 15 | 15 | 15 | 15 | 15 | 15 |
| Purchase cost (1000 KRW/ea.) | 807 | 844 | 909 | 964 | 1,039 | 2,291 | 2,565 | 3,207 |

Design parameters and assumptions required for the optimization process are summarized in Table 4. Table 5 shows the electricity and liquid natural gas tariff for an office building. These data are based on the actual conditions in South Korea.

| Table 4. Optimization design parameters considered in | the case study |
|---|----------------|
|---|----------------|

| Parameters | Value |
|--|-------|
| Slope of collector array (°) | 35 |
| Azimuth of collector array (°) | 0 |
| Meridian altitude in winter season (°) | 29 |
| Desired hot water temperature (°C) | 60 |
| Maximum allowable storage tank temperature (°C) | 100 |
| Temperature of the environment surrounding the storage tank (°C) | 20 |
| Specific heat of collector fluid (J/kg·°C) | 3560 |

| Parameters | Value |
|--|-------|
| Specific heat of water (J/kg·°C) | 4180 |
| Density of collector fluid (kg/m ³) | 1043 |
| Density of water (kg/m ³) | 1000 |
| Product of the overall heat transfer coefficient and area of a heat exchanger (W/°C) | 3000 |
| Maximum number of collectors in series (ea.) | 6 |
| Project lifetime (years) | 40 |
| Real discount rate (%) | 2.91 |
| Nominal interest rate (%) | 6.00 |
| Inflation rate (%) | 3.00 |
| Electricity cost escalation rate (%) | 4.00 |
| Gas cost escalation rate (%) | 4.00 |
| Maximum capacity available to receive the subsidy $cost (m^2)$ | 500 |
| Area available to install solar collectors (m ²) | 600 |
| Supplementary cost ratio against the purchase cost (%) | 30 |
| Maintenance cost ratio against the initial cost (%) | 1.5 |
| Subsidy cost ratio against the initial cost (%) | 50 |

 Table 4. Cont.

Table 5. Electricity and liquid natural gas tariffs.

| | | Classification | Value | | |
|-------------|--------------------|---|-------|--|--|
| | | Basic charge | 6160 | | |
| Electricity | En anove also no a | Summer (June, July and August) | 105.7 | | |
| | (KRW/kWh) | Spring/Fall (March, April, May, September, and October) | | | |
| | | Winter (November, December, January and February) | 92.3 | | |
| | En anove also no a | Summer (May, June, July, August and September) | 19.26 | | |
| Natural gas | Energy charge | Spring/Fall (April, October and November) | 19.28 | | |
| | (KKW/MJ) | Winter (December, January, February and March) | 19.46 | | |

4.2. Optimization Results of the Base Case

A case study was conducted to find the optimal SWH system that represents the minimum LCC without restriction for the solar fraction using the proposed optimization method. The minimum and maximum solar fractions of the base case were set as 0% and 100%, respectively.

Figure 4 shows the evolution of the objective function and the solar fraction for the base case. As indicated in Figure 4a, the minimum LCC of 214.7 million KRW (approximately 194.3 thousand USD) has been derived for the first time in the 25 generations, and the optimization algorithm was finally converged in 52 generations. Therefore, 300 generations can be considered as fair termination conditions. Compared to the values of the objective function for the best and worst solutions in the initial population that was randomly generated, an LCC of 9.31% and 39.86% can be reduced using the proposed optimization method.



Figure 4. Evolution of (a) the objective function and (b) the solar fraction during the optimization process for the base case.

Meanwhile, the optimization algorithm converges toward a solar fraction of 60.42% in the base case. As indicated in Figure 4b, the solar fractions of the best and worst solutions for each generation were converged to the solar fraction of the optimal solution, while increasing and decreasing, respectively. It is interesting to note that a high solar fraction of a SWH system does not always present an increase in the LCC against what a lot of engineers would think. In other words, to design an economically feasible SWH system by simply adjusting the solar fraction is very difficult, and it is necessary to design the optimal configuration and sizing of the system by using the optimization method.

The variation in component size for the best and worst solutions during 60 generations can be observed in Figure 5. From Figures 4 and 5, it can be seen that the optimization algorithm identifies and assesses various possible SWH systems to significantly improve the objective function at the beginning of the optimization process. In the subsequent generations, the objective function is gradually improved by minutely adjusting the type and number of components that form each combination. Subsequently, the optimization algorithm obtains the optimal configuration and sizing of the SWH system with the minimum LCC. Table 6 shows the optimal SWH system of the base case and its technical and economic characteristics. It can be seen from this table that the optimal SWH system consists of solar collectors of 104.71 m², a storage tank of 3.76 m³, and an auxiliary heater of 34.89 kW.

Meanwhile, using an Intel(R) Core(TM) i5–2310 @2.90GHz_CPU and 4 GB memory computer, the developed method requires approximately three minutes to optimize the SWH system of the case study. This result indicates that the proposed design method can obtain an optimal SWH system within a short computation time.



Figure 5. Variation of component size for the best and worst solutions in each generation for the base case.

| Variable | Description | Value |
|--------------------|---|---------|
| T _{coll} | Type of the solar collector (–) | 4 |
| N _{coll} | Number of the solar collectors (ea.) | 37 |
| T_{tank} | Type of the storage tank (–) | 4 |
| T_{aux} | Type of the auxiliary heater (–) | 4 |
| N _{aux} | Number of the auxiliary heaters (ea.) | 1 |
| A _{c,tot} | Total area of the solar collector (m ²) | 104.71 |
| V_{s} | Volume of the storage tank (m ³) | 3.76 |
| $Q_{aux,tot}$ | Capacity of the auxiliary heaters (kW) | 34.89 |
| A _{c,ins} | Installation area of the solar collectors (m ²) | 194.3 |
| $Q_{L,peak}$ | Peak hot water load (kW) | 27.35 |
| $Q_{L,year}$ | Annual hot water load (kWh/year) | 60,218 |
| I_T | Annual solar irradiance on the collector array (kWh/year) | 137,495 |
| Q_u | Annual useful heat gain of the collector array (kWh/year) | 39,986 |
| Q_{Ts} | Annual solar energy supplied to the storage tank (kWh/year) | 37,332 |
| Q_l | Annual heat loss of the storage tank (kWh/year) | 752 |
| Q_d | Annual discharged heat from the storage tank (kWh/year) | 4 |
| Q_{Ls} | Annual solar energy supplied by the storage tank (kWh/year) | 36,386 |
| Q_{aux} | Annual auxiliary energy supplied by the heaters (kWh/year) | 23,832 |
| F_{LNG} | Annual LNG consumption (m ³ /year) | 2562 |
| F_{ELE} | Annual electricity consumption (kWh/year) | 1413 |
| F_S | Annual solar fraction (%) | 60.42 |
| C_{I} | Initial cost (1000 KRW) | 57,238 |
| C_M | Maintenance cost (1000 KRW) | 20,129 |
| C_R | Replacement cost (1000 KRW) | 41,302 |
| C_E | Energy cost (1000 KRW) | 124,616 |
| C_S | Subsidy cost (1000 KRW) | 28,619 |
| C_{LCC} | Life cycle cost (1000 KRW) | 214,666 |

Table 6. Characteristics of the optimal SWH system for the base case.

4.3. Effect According to Variation of the Maximum Solar Fraction

Given that the minimum solar fraction is set 0% and the maximum solar fraction is increased to 100% in 5% increments, variation in the LCC and solar fraction of the optimal SWH systems at each maximum solar fraction is shown in Figure 6. The results of the optimization design for the SWH systems with the minimum LCC for the different maximum solar fractions are given in Table 7. For the given design parameters and constraint conditions considered in this paper, the global optimum SWH system is observed at a LCC of 241.7 million KRW and at a solar fraction of 60.42% in the case of a maximum solar fraction of 65% and is the same as that of the base case in Section 4.2.

From Figure 6 and Table 7, for the SWH systems with a lower than maximum solar fraction of 65%, it can be seen that increasing the solar fraction leads to an increase in the equipment cost and a decrease in the energy cost. Note that satisfying the higher solar fraction means an increase in the capacity of the SWH system and the equipment cost represents a cost obtained by subtracting the subsidy cost from the sum of the initial, maintenance, and replacement costs. That is, for these SWH systems, the decrease in the energy cost due to the reduced fossil fuel consumption is larger than the increase in the equipment cost caused by increasing the capacity of the SWH system. Thus, the optimum design with the reduced LCC can be obtained by increasing the solar fraction of the SWH system until the maximum solar fraction of 70%, the proposed method derives the same optimal design as the case for the maximum solar fraction of 65% because the reduction in the energy cost is smaller than the increase in the equipment cost. Therefore, a trade-off between the equipment cost and the energy cost results in an optimal design of the SWH system that yields the minimum LCC.



Figure 6. Variation of costs and solar fractions at the different maximum solar fractions.

| Demonster | | | | | | Maxim | um Solar I | Fraction | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|------------|----------|---------|---------|---------|---------|---------|
| Parameter | 5% | 10% | 15% | 20% | 25% | 30% | 35% | 40% | 45% | 50% | 55% | 60% | 65% |
| T_{coll} (-) | 3 | 3 | 0 | 3 | 3 | 3 | 2 | 4 | 4 | 4 | 4 | 4 | 4 |
| N _{coll} (ea.) | 2 | 5 | 8 | 7 | 13 | 19 | 25 | 19 | 19 | 25 | 31 | 37 | 37 |
| T_{tank} (-) | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 3 | 3 | 3 | 3 | 4 |
| T_{aux} (-) | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 |
| N _{aux} (ea.) | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| $A_{c,tot}$ (m ²) | 3.96 | 9.90 | 16.00 | 13.86 | 25.74 | 37.62 | 50 | 53.77 | 53.77 | 70.75 | 87.73 | 104.71 | 104.71 |
| V_s (m ³) | 0.44 | 0.44 | 0.44 | 0.96 | 0.44 | 0.44 | 0.96 | 0.96 | 2.65 | 2.65 | 2.65 | 2.65 | 3.76 |
| $Q_{aux,tot}$ (kW) | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 |
| $A_{c,ins}$ (m ²) | 7.4 | 18.4 | 29.7 | 25.7 | 47.8 | 69.8 | 92.7 | 99.8 | 99.8 | 131.3 | 162.8 | 194.3 | 194.3 |
| $Q_{L,peak}$ (kW) | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 |
| $Q_{L,year}$ (kWh/year) | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 |
| Q_{Ts} (kWh/year) | 2462 | 5994 | 8740 | 11,159 | 14,698 | 17,754 | 21,185 | 23,695 | 26,625 | 30,374 | 33,440 | 35,901 | 37,332 |
| Q_l (kWh/year) | -57 | -19 | 7 | 49 | 68 | 98 | 165 | 200 | 375 | 455 | 524 | 583 | 752 |
| Q_d (kWh/year) | 0 | 0 | 0 | 0 | 0 | 3 | 1 | 7 | 0 | 0 | 5 | 21 | 4 |
| Q_{Ls} (kWh/year) | 2523 | 6013 | 8731 | 11,103 | 14,624 | 17,622 | 20,986 | 23,385 | 26,195 | 29,848 | 32,744 | 34,966 | 36,386 |
| Q_{aux} (kWh/year) | 57,695 | 54,205 | 51,487 | 49,115 | 45,594 | 42,596 | 39,232 | 36,833 | 34,023 | 30,370 | 27,474 | 25,252 | 23,832 |
| F_{LNG} (m ³ /year) | 6083 | 5715 | 5428 | 5186 | 4810 | 4497 | 4154 | 3904 | 3623 | 3244 | 2940 | 2704 | 2562 |
| F_{ELE} (kWh/year) | 142 | 186 | 335 | 354 | 516 | 632 | 799 | 909 | 892 | 1076 | 1260 | 1425 | 1413 |
| <i>F</i> _S (%) | 4.19 | 9.99 | 14.50 | 18.44 | 24.28 | 29.26 | 34.85 | 38.83 | 43.50 | 49.56 | 54.37 | 58.06 | 60.42 |
| C_{I} (1000 KRW) | 11,335 | 13,441 | 15,339 | 15,560 | 19,057 | 23,269 | 28,359 | 30,900 | 35,548 | 41,944 | 48,340 | 54,736 | 57,238 |
| C_M (1000 KRW) | 3986 | 4727 | 5394 | 5472 | 6702 | 8183 | 9973 | 10,867 | 12,501 | 14,750 | 17,000 | 19,249 | 20,129 |
| C_R (1000 KRW) | 11,444 | 12,630 | 13,699 | 14,188 | 15,793 | 18,165 | 21,395 | 22,826 | 27,812 | 31,414 | 35,016 | 38,618 | 41,302 |
| C_E (1000 KRW) | 280,705 | 264,059 | 251,502 | 240,506 | 223,934 | 210,091 | 195,048 | 184,011 | 171,145 | 154,502 | 141,301 | 131,163 | 124,616 |
| C_{S} (1000 KRW) | 5668 | 6721 | 7670 | 7780 | 9529 | 11,635 | 14,179 | 15,450 | 17,774 | 20,972 | 24,170 | 27,368 | 28,619 |
| C_{LCC} (1000 KRW) | 301,802 | 288,136 | 278,264 | 267,946 | 255,957 | 248,073 | 240,596 | 233,154 | 229,232 | 221,638 | 217,487 | 216,398 | 214,666 |

Table 7. Characteristics of the optimal SWH systems for the different maximum solar fractions.

Figure 7 shows a variation in component sizes for the optimal SWH systems at the different maximum solar fractions. To satisfy the increased solar fractions, the capacity of the optimal SWH systems is increased by applying more solar collectors or a larger storage tank. However, an auxiliary heater of 34.89 kW was selected for all SWH systems because this device has the highest efficiency and economically meets the energy balance as a constraint among the eight models. It is interesting to note that the sizing of solar collectors and a storage tank does not increase linearly in proportion to each other because the proposed optimization method uses devices that are actually available in the marketplace, showing the different technical and economic characteristics, instead of increasing the component sizes in constant increments. It is also found that there are three methods for increasing the solar fraction. The first method is to increase the capacity of one of the solar collectors and a storage tank and to keep the other's capacity with the same condition. The second method is to increase the capacity of one of two components while decreasing the capacity of the remaining component. The last method is to increase the capacity of both components. Therefore, the proposed method determines the optimal sizing of the SWH systems in the most economical way for these three methods.



Figure 7. Variation of component sizes at the different maximum solar fractions.

4.4. Effect According to Variation of the Minimum Solar Fraction

Figure 8 shows a variation in the LCC and solar fraction of the optimal SWH systems at each minimum solar fraction. Variation in component sizes for the different minimum solar fractions is also shown in Figure 9. Here, the minimum solar fraction is increased to 100% in 5% increments, and the maximum solar fraction is set 100%. The results of the optimization design for the SWH systems at the different minimum solar fractions are listed in Table 8. The configuration and sizing of the optimal SWH systems derived from the constraint condition set to the minimum solar fraction of 5%–60% are the same as that of the global optimum SWH system. Note that the SWH systems obtained from the constraint condition set to the minimum solar infeasible solutions because the installation area of the solar collectors for two system designs exceeded the maximum installable area as a constraint. However, this paper analyzed these two SWH systems along with other systems to evaluate the characteristics of the SWH systems according to variations in the solar fraction.



Figure 8. Variation of costs and solar fraction at the different minimum solar fractions.



Figure 9. Variation of component sizes at the different minimum solar fractions.

It may be observed from Table 8 that the energy performance of the optimal SWH systems changes according to the solar fraction. To improve the solar fraction of 5%, an additional energy of approximately 3000 MWh/year is supplied from a storage tank to the load in the SWH systems with minimum solar fractions of 65%–90%. However, the average annual energy inputted to a storage tank is approximately 4700 MWh/year for six SWH systems. In the SWH systems at the high solar fraction such as the minimum solar fractions of 85% and 90%, those energies of 7662 MWh/year and 6443 MWh/year are considerably larger than the average amount. That is, by applying more solar collectors or a larger storage tank, more energy than the amount of energy required to increase the solar fraction is introduced into a storage tank and is used to keep the storage tank temperature high for a long time. In addition, the high temperature of a storage tank increases the annual heat loss to the ambient air and annual discharged heat to avoid overheating. It may also be observed from Figure 8 and Table 8 that the LCC of the systems raises significantly because the increase in the equipment cost is considerably larger than the decrease in the energy cost. Compared to the global optimum SWH system, for the SWH systems with the minimum solar fractions of 65%, 70%, 75%, and 80%, there are increases of 20%, 40%, 65% and 102%

in the equipment cost and the decreases of 13%, 22%, 34%, and 44% in the energy cost, respectively. Furthermore, the LCC of the optimal SWH systems with the minimum solar fractions of 85% and 90% is approximately 30.40% and 88.78% higher than the LCC of the optimal SWH system with the minimum solar fraction of 80% only to increase to an additional solar fraction of 4.99% and 9.78%, respectively; therefore, increasing the solar fraction larger than that of the global optimum SWH system results in a reduction in the energetic and economic performance of the systems.

| D | Minimum Soar Fraction | | | | | | | |
|----------------------------------|-----------------------|---------|---------|---------|---------|---------|---------|--|
| Parameter | 60 | 65 | 70 | 75 | 80 | 85 | 90 | |
| T _{coll} (-) | 4 | 4 | 4 | 4 | 4 | 4 | 4 | |
| N _{coll} (ea.) | 37 | 49 | 61 | 67 | 79 | 145 | 223 | |
| T_{tank} (-) | 4 | 4 | 4 | 7 | 9 | 8 | 9 | |
| T_{aux} (-) | 4 | 4 | 4 | 4 | 4 | 4 | 4 | |
| N _{aux} (ea.) | 1 | 1 | 1 | 1 | 1 | 1 | 1 | |
| $A_{c,tot}$ (m ²) | 104.71 | 138.67 | 172.63 | 189.61 | 223.57 | 410.35 | 631.09 | |
| V_s (m ³) | 3.76 | 3.76 | 3.76 | 6.21 | 9.58 | 6.92 | 9.58 | |
| $Q_{aux,tot}$ (kW) | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | 34.89 | |
| $A_{c,ins}$ (m ²) | 194.3 | 257.3 | 320.3 | 351.8 | 414.8 | 761.3 | 1170.9 | |
| $Q_{L,peak}$ (kW) | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | 27.35 | |
| $Q_{L,year}$ (kWh/year) | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | 60,218 | |
| Q_{Ts} (kWh/year) | 37,332 | 41,410 | 44,318 | 47,618 | 51,206 | 58,867 | 65,290 | |
| Q_l (kWh/year) | 752 | 886 | 994 | 1138 | 1684 | 1922 | 2221 | |
| Q_d (kWh/year) | 4 | 36 | 78 | 49 | 43 | 402 | 607 | |
| Q_{Ls} (kWh/year) | 36,386 | 39,949 | 42,303 | 45,517 | 48,367 | 51,372 | 54,256 | |
| Q_{aux} (kWh/year) | 23,832 | 20,269 | 17,915 | 14,701 | 11,851 | 8846 | 5962 | |
| F_{LNG} (m ³ /year) | 2562 | 2181 | 1928 | 1595 | 1291 | 966 | 660 | |
| F_{ELE} (kWh/year) | 1413 | 1712 | 1986 | 2064 | 2279 | 3630 | 5053 | |
| F_S (%) | 60.42 | 66.34 | 70.25 | 75.59 | 80.32 | 85.31 | 90.10 | |
| C_{I} (1000 KRW) | 57,238 | 70,030 | 82,822 | 96,197 | 117,025 | 180,589 | 270,529 | |
| C_M (1000 KRW) | 20,129 | 24,628 | 29,126 | 33,830 | 41,155 | 63,508 | 95,137 | |
| C_R (1000 KRW) | 41,302 | 48,506 | 55,710 | 66,797 | 82,622 | 114,957 | 169,068 | |
| C_E (1000 KRW) | 124,616 | 108,296 | 97,765 | 82,838 | 69,716 | 59,867 | 51,207 | |
| C_{S} (1000 KRW) | 28,619 | 35,015 | 41,411 | 48,098 | 58,513 | 90,294 | 110,214 | |
| C_{LCC} (1000 KRW) | 214,666 | 216,445 | 224,012 | 231,564 | 252,005 | 328,627 | 475,727 | |

Table 8. Characteristics of the optimal SWH systems for the different minimum solar fractions.

Meanwhile, it may be noted from Table 7 in Section 4.3 and Table 8 that the SWH system with the minimum solar fraction of 70% exhibits a better economic benefit and energy savings than the SWH systems with the maximum solar fraction of 5%–45%. Thus, an increase in the solar fraction does not necessarily indicate a reduction in the economic benefit. These simulation results using the proposed method show that economic feasibility is converted based on the solar fraction of the global optimum SWH system. Therefore, it is necessary to design the configuration and sizing of a SWH system using the optimization method based on the economic criterion.

5. Conclusions

The optimization design for a SWH system is a complicated process that uses mathematical models with many meteorological, technical, and economic variables. Thus, it has been difficult for traditional design techniques in the past to obtain satisfactory results within a reasonable computation time. In this paper, a GA has been employed to optimize the configuration and sizing of the SWH system on the basis of LCC. Through a numerical example of the SWH system for an office building in Incheon, South Korea, the effectiveness of the proposed method has been demonstrated. It was found that the LCC of the SWH system decreases first. Then, its decreasing speed becomes slow gradually and reaches the minimum cost. Finally, it increases sharply with the increase in capacity and solar fraction. This indicates that the global optimum SWH system was derived from the optimum solar fraction to maximize the economic benefits under given design conditions. Therefore, it could be helpful to determine the optimal configuration and sizing of the SWH system by comparing the feasible designs obtained by using the proposed method instead of simply adjusting the solar fraction depending only on the designer's experience and intuition. Future work includes a further improvement of the proposed method to reflect the parameters, such as the slope and azimuth of collectors, flow rates on the hot and cold side of the heat exchanger, and operation conditions that affect the energetic and economic performance of the SWH system.

Acknowledgments

This work was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIP) (NRF-2014R1A6A3A01059739).

Conflicts of Interest

The authors declare no conflict of interest.

Nomenclature

| gross area of a single collector module, m ² |
|--|
| gross area of the <i>j</i> th device of solar collectors, m ² |
| installation area of solar collectors, m ² |
| total area of solar collectors, m ² |
| available space to install collector array, m ² |
| maximum capacity available to receive the subsidy cost, m ² |
| surface area of a storage tank, m ² |
| capacity rate of fluid on cold side of a heat exchanger, W/°C |
| capacity rate of fluid on hot side of a heat exchanger, W/°C |
| maximum capacity rate, W/°C |
| minimum capacity rate, W/°C |
| specific heat of water, J/kg·°C |
| specific heat of collector fluid, J/kg·°C |
| purchase price of the <i>j</i> th auxiliary heater, KRW |
| purchase price of the <i>j</i> th solar collector, KRW |
| |

| C _{tank,j} | purchase price of the <i>j</i> th storage tank, KRW |
|---------------------|---|
| C_E | energy cost, KRW |
| C_I | initial cost, KRW |
| $C_{I,c}$ | initial cost of each component, KRW |
| C_M | maintenance cost, KRW |
| C_R | replacement cost, KRW |
| $C_{R,c}$ | replacement cost of each component, KRW |
| C_S | subsidy cost, KRW |
| C_{LCC} | life cycle cost, KRW |
| C_{ELE} | hourly electricity cost, KRW/kWh |
| C_{LNG} | hourly liquid natural gas (LNG) cost, KRW/m ³ |
| C _r | capacity rate ratio of a heat exchanger |
| e _{fuel} | fuel price escalation rate, % |
| F_{ELE} | hourly electricity consumption, kWh |
| F_{LNG} | hourly LNG consumption, m ³ |
| F_R | collector heat removal factor of identical collectors in series |
| F_{R1} | collector heat removal factor of a collector |
| F_S | solar fraction of any solar water heating system, % |
| F _{S,min} | minimum solar fraction, % |
| F _{S,max} | maximum solar fraction, % |
| H _{coll,j} | height of the <i>j</i> th device of solar collectors, m |
| I_T | hourly total solar radiation on the tilted collector array, W/m^2 |
| i | real discount rate, % |
| m_c | mass flow rate of the collector fluid, kg/s |
| m_d | mass flow rate of the discharged water from a storage tank, kg/s |
| m_s | mass flow rate from the storage tank to the load, kg/s |
| m_l | mass flow rate of the desired hot water load, kg/s |
| N _{c,s} | number of identical collectors in series |
| N _{coll} | number of the <i>j</i> th device of solar collectors |
| N _{aux} | number of the <i>j</i> th device of auxiliary heaters |
| NTU | number of exchanger heat transfer units |
| n_p | planning period, year |
| $n_{l,c}$ | lifetime of each component, year |
| n _{r,c} | replacement times of each component |
| $Q_{aux,j}$ | heating capacity of the <i>j</i> th device of auxiliary heaters, kW |
| $Q_{aux,tot}$ | total heating capacity of the auxiliary heaters, kW |
| $Q_{aux,year}$ | annual auxiliary heating energy, kWh |
| $Q_{L,peak}$ | peak hot water load, kW |
| $Q_{L,year}$ | annual hot water load, kWh |
| q_{aux} | auxiliary heating energy, W |
| q_d | discharged heat to avoid overheating of a storage tank, W |
| q_l | heat loss of a storage tank, W |

| q_{LS} | solar energy extracted from the storage tank to the load, W |
|---------------------|---|
| q_{Ts} | solar energy supplied to a storage tank, W |
| q_u | solar useful heat gain of identical collectors in series, W |
| R_I | a percentage of the supplementary cost against the direct purchase cost, % |
| R _M | a percentage of the annual maintenance cost against the initial cost, % |
| R_{S} | a percentage of subsidy cost against the initial cost, % |
| T_a | outdoor dry-bulb temperature, °C |
| T_{amb} | ambient temperature, °C |
| T_{ci} | cold stream outlet temperature of a heat exchanger, °C |
| T_{co} | cold stream inlet temperature of a heat exchanger, °C |
| T_{hi} | hot stream inlet temperature of a heat exchanger, °C |
| T_{ho} | hot stream outlet temperature of a heat exchanger, °C |
| T_l | desired hot water temperature, °C |
| T_m | make-up water temperature, °C |
| T_s | storage tank temperature at the beginning of the time step, °C |
| $T_{s,f}$ | storage tank temperature at the end of the time step, °C |
| $T_{s,max}$ | maximum allowable storage tank temperature, °C |
| T_{aux} | type of auxiliary heater |
| T _{coll} | type of solar collector |
| T _{tank} | type of storage tank |
| U_L | collector overall heat loss coefficient of identical collectors in series, W/m ² .°C |
| U_{L1} | collector overall heat loss coefficient of a collector, W/m ^{2.} °C |
| U_s | heat loss coefficient of a storage tank, W/m ² .°C |
| UA _{hex} | product of the overall heat transfer coefficient and area of a heat exchanger, W/°C |
| UPA_{ELE}^{*} | uniform present value factor adjusted to reflect the electricity price escalation rate |
| UPA_{LNG}^{*} | uniform present value factor adjusted to reflect the LNG price escalation rate |
| UPA_{fuel}^* | uniform present value factor adjusted to reflect the fuel price escalation rate |
| V_s | storage tank volume, m ³ |
| W _{coll,j} | width of the <i>j</i> th device of solar collectors, m |
| $\alpha_{s,w}$ | meridian altitude in winter, ° |
| β_{coll} | slope of the collector array, $^{\circ}$ |
| 8 | effectiveness of a heat exchanger |
| ρ_w | density of water, kg/m ³ |
| (τα) | product of the transmittance and the absorptance of identical collectors in series |
| $(\tau \alpha)_1$ | product of the transmittance and the absorptance of a collector |

References

- 1. Wang, Z.; Yang, W.; Qiu, F.; Zhang, X.; Zhao, X. Solar water heating: From theory, application, marketing and research. *Renew. Sustain. Energy Rev.* **2015**, *41*, 68–84.
- 2. Balusamy, T.; Sadhishkumar, S. Performance improvement in solar water heating systems—A review. *Renew. Sustain. Energy Rev.* **2014**, *37*, 191–198.

- 3. Islam, M.R.; Sumathy, K.; Khan, S.U. Solar water heating systems and their market trends. *Renew. Sustain. Energy Rev.* **2013**, *17*, 1–25.
- Renewable Energy Policy Network. Renewable Energy 2010: Key Facts and Figures for Decision Makers. Global Status Report. Available online: http://www.ren21.net/gsr (accessed on 10 July 2015).
- 5. Kulkarni, G.N.; Kedare, S.B.; Bandyopadhyay, S. Determination of design space and optimization of solar water heating systems. *Sol. Energy* **2007**, *81*, 958–968.
- 6. Hottel, H.C.; Whillier, A. *Evaluation of Flat-Plate Collector Performance*; University of Arizona Press: Tucson, AZ, USA, 1958.
- 7. Klein, S.A. Calculation of flat-plate collector utilizability. Sol. Energy 1978, 21, 393–402.
- Klein, S.A.; Beckman, W.A.; Duffie, J.A. A design procedure for solar heating systems. *Sol. Energy* 1976, 18, 113–127.
- 9. Klein, S.A.; Beckman, W.A. A general design method for closed-loop solar energy systems. *Sol. Energy* **1979**, *22*, 269–282.
- 10. Yan, C.; Wang, S.; Ma, Z.; Shi, W. A simplified method for optimal design of solar water heating systems based on life-cycle energy analysis. *Renew. Energy* **2015**, *74*, 271–278.
- 11. Klein, S.A.; Cooper, P.I.; Freeman, T.L.; Beekman, D.L.; Beckman, W.A.; Duffie, J.A. A method of simulation of solar processes and its application. *Sol. Energy* **1975**, *17*, 29–37.
- 12. Lund, P.D.; Peltola, S.S. SOLCHIPS—A fast pre-design and optimization tool for solar heating with seasonal storage. *Sol. Energy* **1992**, *48*, 291–300.
- 13. Matrawy, K.K.; Farkas, I. New technique for short term storage sizing. *Renew. Energy* **1997**, *11*, 129–141.
- 14. Loomans, M.; Visser, H. Application of the genetic algorithm for optimization of large solar hot water systems. *Sol. Energy* **2002**, *72*, 427–439.
- 15. Krause, M.; Vajen, K.; Wiese, F.; Ackermann, H. Investigation on optimizing large solar thermal systems. *Sol. Energy* **2002**, *73*, 217–225.
- Kalogirou, S.A. Optimization of solar systems using artificial neural-networks and genetic algorithms. *Appl. Energy* 2004, 77, 383–405.
- 17. Kulkarni, G.N.; Kedare, S.B.; Bandyopadhyay, S. Design of solar thermal systems utilizing pressurized hot water storage for industrial applications. *Sol. Energy* **2008**, *82*, 686–699.
- 18. Kim, Y.D.; Thu, K.; Bhatia, H.K.; Bhatia, C.S.; Ng, K.C. Thermal analysis and performance optimization of a solar hot water plant with economic evaluation. *Sol. Energy* **2012**, *86*, 1378–1395.
- 19. Atia, D.M.; Fahmy, F.H.; Ahmed, N.M.; Dorrah, H.T. Optimal sizing of a solar water heating system based on a genetic algorithm for an aquaculture system. *Math. Comput. Model.* **2012**, *55*, 1436–1449.
- 20. Bornatico, R.; Pfeiffer, M.; Witzig, A.; Guzzella, L. Optimal sizing of a solar thermal building installation using particle swarm optimization. *Energy* **2012**, *41*, 31–37.
- 21. Cheng Hin, J.N.; Zmeureanu, R. Optimization of a residential solar combisystem for minimum life cycle cost, energy use and exergy destroyed. *Sol. Energy* **2014**, *100*, 102–113.
- Kusyy, O.; Kuethe, S.; Vajen, K. Simulation-based optimization of a solar water heating system by a hybrid genetic-binary search algorithm. In Proceedings of the 2010 Xvth International Seminar/Workshop on Direct and Inverse Problems of Electromagnetic and Acoustic Wave Theory (DIPED), Tbilisi, GA, USA, 27–30 September 2010.

- 23. Duffie, J.A.; Beckman, W.A. *Solar Engineering of Thermal Processes*, 3rd ed.; Willey: Hoboken, NJ, USA, 2006.
- 24. Choi, D.S.; Ko, M.J. Optimization design for a solar water heating system using the genetic algorithm. *Int. J. Appl. Eng. Res.* **2015**, *10*, 27031–27042.
- 25. Kanpur Genetic Algorithms Laboratory. Available online: http://www.iitk.ac.in/kangal/codes.shtml (accessed on 29 January 2015).
- 26. Ko, M.J.; Kim, Y.S.; Chung, M.H.; Jeon, H.C. Multi-objective optimization design for a hybrid energy system using the genetic algorithm. *Energies* **2015**, *8*, 2924–2949.
- National Renewable Energy Laboratory. U.S. Department of Energy Commercial Reference Building Models of the National Building Stock. Available online: http://www.nrel.gov/docs/ fy11osti/46861.pdf (accessed on 29 January 2015).

 \bigcirc 2015 by the authors; licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution license (http://creativecommons.org/licenses/by/4.0/).