

Article

# **Optimization of a 660 MW**<sub>e</sub> **Supercritical Power Plant Performance—A Case of Industry 4.0 in the Data-Driven Operational Management. Part 2. Power Generation**

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**Abstract:** Modern data analytics techniques and computationally inexpensive software tools are fueling the commercial applications of data-driven decision making and process optimization strategies for complex industrial operations. In this paper, modern and reliable process modeling techniques, i.e., multiple linear regression (MLR), artificial neural network (ANN), and least square support vector machine (LSSVM), are employed and comprehensively compared as reliable and robust process models for the generator power of a 660 MW<sub>e</sub> supercritical coal combustion power plant. Based on the external validation test conducted by the unseen operation data, LSSVM has outperformed the MLR and ANN models to predict the power plant's generator power. Later, the LSSVM model is used for the failure mode recovery and a very successful operation control excellence tool. Moreover, by adjusting the thermo-electric operating parameters, the generator power on an average is increased by 1.74%, 1.80%, and 1.0 at 50% generation capacity, 75% generation capacity, and 100% generation capacity of the power plant, respectively. The process modeling based on process data and data-driven process optimization strategy building for improved process control is an actual realization of industry 4.0 in the industrial applications.



**Keywords:** combustion; supercritical power plant; industry 4.0 for the power sector; generator power; artificial intelligence

#### 1. Introduction

Given the increased domestic and commercial industrial sectors, almost 100% of energy consumption has increased over the last four decades [1]. Harvesting the rising energy demand through the inefficient power complexes and their poor operation control, not only the operation cost of power generation is increased significantly, but huge volumes of greenhouse gas emissions also cause degradation and deterioration of the environment. Over the past few decades, researchers and energy experts are closely collaborating in a wide range of research activities to mitigate the harmful impacts of power generation to ensure sustainable power generation and environmental protection. [2–16].

The power generation from large commercial power plants is a highly complex and critical industrial operation. A large number of thermo-electric operating parameters are simultaneously controlled and monitored via distributed control systems. The large volume of operation data and the underlying nonlinear interactions analysis in the operating parameters make it practically impossible by conventional analytical means.

The advanced and sophisticated artificial intelligence (AI) algorithms are designed to analyze the high-dimensional featured data describing complex industrial operations [17–19]. With the development of information and communication technologies (ICT), the collection, storage, and retrieval of a process's large volume of operation data are significantly improved. Modern data analytics techniques and computationally inexpensive software tools are fueling the commercial applications of data-driven decision making and process optimization strategies for complex industrial operations [20]. Machine learning and deep learning, the reliable and promising data analytics domains of AI, are practically suitable for modeling, controlling, and optimizing the processes. However, the comprehensive analysis of the optimization and operation management of power generation systems conducted in the true spirit of industry 4.0 is scarcely reported in the literature [20].

A comprehensive review of AI applications' current status in the energy systems is presented in the literature. The key challenges impeding AI inclusion in real-life applications are extensively explored, and the widespread AI-based applications in the various industrial sectors are predicted [21,22]. A preliminary study is carried out to develop a platform built in the context of industry 4.0. Deep learning models employed to model the waste to heat recovery system have performed well in mapping the system's dynamic response [20]. LSSVM based hybrid models are reported for forecasting the energy demand of the grid [23] and the energy consumption of complex industrial processes to ensure the efficient operation management and control of the cement industry [24].

Elfaki et al. have modeled the electrical output power of a combined cycle power plant by ANN using four input variables. The deviation between the target value and validation dataset value is negligible, signifying the model's effectiveness [25]. Zhu, H., et al. have employed wavelet decomposition and ANN to forecast power generation from the photovoltaic power plant. The developed methodology presents better performance and forecasting precision as compared to traditional ANN models [26]. The researchers have reported the modeling of wind turbine generator operation by ANN and LSSVM techniques under various operating parameters [27–29]. However, the literature concerning the generator power modeling of a large-scale power complex under various operating scenarios is scarce.

In this paper, various process modeling techniques, i.e., MLR, ANN, and LSSVM, are utilized and comprehensively compared for the operational analysis of the generator power production from a 660 MW<sub>e</sub> supercritical coal power plant. Considering 330 MW<sub>e</sub> and 660 MW<sub>e</sub> as 50% and 100% unit load (that is essentially the resistive power, and power factor between 0.85 to 1.00), the generator power (that accounts for both resistive and reactive power production from the power plant), is varied

from 355 MVA to 715 MVA (50% generation capacity to nearly 100% generation capacity). The power plant's characteristics operation data under the various power generation scenarios are taken from the Supervisory Information System (SIS). After machine learning techniques perform the data visualization test, i.e., self-organizing feature map (SOFM), MLR, ANN, and LSSVM, are employed to predict the generator's power. The best performing and reliable process model is utilized for two principal objectives, i.e., (1) to plot the characteristics response of the generator power under the failure mode of the power plant; and (2) to optimize the generator power of the supercritical power plant for effective control of thermo-electric operating parameters.

Two AI techniques applications are presented in this study. In the first case, carefully designed computer-simulated Monte-Carlo experiments are performed on the AI process models to develop a standard operating procedure for failure mode recovery and mitigation of cost of failure. Secondly, AI process models are employed as a very successful operation control excellence tool. The paper's contribution constitutes a further step ahead in the spirit of industry 4.0 data analytics for actual commercial processes in various industrial sectors.

# 2. Schematic of Power Plant

The schematic process flow of the pulverized coal power plant is shown in Figure 1. Two basic systems can be distinguished; one is the flue gas system, and the second is the water and steam system. In the flue gas system, the primary air provided by the primary air fan (PAF) is heated by air pre-heater (APH). The hot primary air is used to transfer the pulverized coal from the coal mill to the boiler burners for coal combustion. After passing through APH, the secondary air provided by the forced draft fan (FDF) is used for the complete combustion of coal in the boiler. The hot gases produced after coal combustion, known as flue gas, transfer the heat to the boiler's heating surfaces and converts water to supercritical or subcritical steam. The flue gas leaving the boiler heats primary and secondary air through APH. Induced draft fan (IDF) draws out the flue gas from the boiler and maintains negative pressure inside the furnace. The electrostatic precipitator (ESP) and flue gas desulphurization (FGD) system are installed to remove the particulate matter, Hg, and SO<sub>x</sub> in the flue gases. After that, clean flue gas is discharged to the atmosphere via stack.



Figure 1. The schematic process flow of pulverized coal power plant.

Feed water is an essential part of the steam power plant operation and known as the power plant's blood. The condensate pump takes condensate water from the condenser's hot well, pressurizes it, and passes it on to low pressure (LP) heaters (LP8, LP7, LP6, and LP5). A part of feed-water flow taken from the outlet of LP8 and LP7 is diverted to LT-Economizer (LT ECO) for feed water heating by the flue gas exhaust from the boiler, and then is mixed with the feed-water coming out of LP7 and directed to LP6 and LP5 for further heating, and sent to deaerator. In the deaerator, oxygen and other dissolved gases, which can cause rusting and corrosion in the boiler, are removed from the feed water. Feed water pump then pressurizes the feed water to the reacquired pressure. Feed water passes through high pressure (HP) heaters (HP3, HP2, HP1), where the temperature of feed water is increased before entering the boiler's economizer. In LP and HP heaters, feed water is heated by steam extractions from different stages of turbines. Then, the preheated feed water enters the boiler's economizer, super-heater, and finally leaves the boiler as the steam of reacquired temperature and pressure. The pressurized steam expands in the HP turbine, where its temperature and pressure is dropped. As steam temperature leaving the HP turbine is low and to avoid condensation of steam in the latter stage of intermediate pressure (IP) turbine, steam is heated in re-heater before entering the IP turbine. The reheat steam expands in the IP turbine and then in LP turbines A and B (LPA and LPB). After expanding in LPA and LPB turbines, steam is condensed, and the cycle continues. The expansion of steam in the turbines helps rotate the turbines, which are mounted on the same shaft, and the shaft is coupled with the generator for the production of electricity.

The sensors are installed at various points for measuring the values of different thermo-electric operating parameters of the power plant. However, soft sensors take the input from the other measuring sensors and record a particular parameter by the specified mathematical operator that cannot be measured directly. The make and model number of the sensors involved in this study are mentioned in Table 1.

	Sensor	Make	Model
1	Coal flow rate (M <sub>c</sub> )	Vishay Precision Group (USA)	3410
2	Air flow rate (M <sub>a</sub> )	Siemens (Germany)	7MF4433-1BA22-2AB6-Z
3	Water/Coal ratio (w/c)	Soft sensor	Soft sensor
4	Middle temp. (T <sub>mid</sub> )	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
5	LT Eco water outlet temp. (T <sub>LT.ECO</sub> )	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
6	APH air outlet temp. $(T_a)_{APH}$	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
7	$\% O_2$ in flue gas at APH outlet ( $\% O_2$ )	Walsn (Canada)	0AM-800-R
8	Flue gas temp. after APH ((Tfg)APH)	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
9	Ambient temp. (T <sub>amb</sub> )	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
10	Feed water pressure (FWP)	Siemens (Germany)	7MF4033-1GA50-2AB6-Z
11	Feed water temp. (FWT)	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
12	Feed water flow (FWF)	Siemens (Germany)	7MF4533-1FA32-2AB6-Z
13	Main steam pressure (MSP)	Siemens (Germany)	7MF4033-1GA50-2AB6-Z
14	Main steam temp. (MST)	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
15	Reheat pressure (RHP)	Siemens (Germany)	7MF4033-1GA50-2AB6-Z
16	Reheat temp. (RHT)	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
17	Absolute condenser vacuum (P <sub>vac</sub> )	Siemens STTRANS D PS III	7MF4233-1GA50-2AB6-Z

**Table 1.** Summary of the sensors for various thermo-electric operating parameters of power plant.

	Sensor	Make	Model
18	Deaerator temp. $(T_d)$	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
19	Attemperation water flow rate(AWF)	Siemens (Germany)	7MF4533-1FA32-2AB6-Z
20	Condensate temp. (T <sub>C</sub> )	Anhui Tiankang China Thermocouple	WRNR2(K TYPE)
21	Auxiliary power (P <sub>aux</sub> )	Nanjing Suatak Measurement and Control System	STM3-WT-3-155A4BN
22	Turbine speed (N)	Braun (Germany)	A5S
23	Excitation voltage (Exc. V)	Siemens (Germany)	SPPA-E3000 SES 530
24	Excitation current (Exc. I)	Siemens (Germany)	SPPA-E3000 SES 530
25	Generator power (G.P)	Nanjing Suatak Measurement and Control System	STM3-WT-3-555A4BY

Table 1. Cont.

## 3. Training Data and Data Visualization

## 3.1. Training Data for Process Modeling

In this paper, twenty-four thermo-electric operating parameters of the power plant are taken to model the generator power under various power generation scenarios. The thermo-electric operating parameters are selected based on the operation engineers' experience and the comprehensive literature review [30–35]. The operating parameters are taken from the boiler, turbine, and generator sides of the power plant and are critically controlled within the operating ranges. The average values of the coal used at the power plant are listed in Table 2.

LHV MJ/kg					
24.23	Moisture	Volatile Mater	Ash	Sulfur	Fixed Carbon <sup>by diff.</sup>
	2.5	23.73	16.6	0.55	57.66

Table 2. Properties of coal (air-dried basis).

Moreover, the input-process-output diagram connecting the thermo-electric operating parameters of the power plant (input variables) and generator power (output) is shown in Figure 2.

The power plant's operation data representing the detailed and extensive information on power generation under the influence of selected thermo-electric operating parameters are taken from the SIS portal. The total 1900 data points of the thermo-electric operating parameters under the power plant's continuous power generation mode are retrieved to model complex and nonlinear power generation operations. The list of the thermo-electric operating parameters with the measuring units, minimum-maximum operating range, and standard deviation is mentioned in Table 3.



**Thermo-Electric Operating Parameters (Input)** 

Figure 2. Input-Process-Output diagram of generator power.

Parameters	Unit	Min	Max	St. Dev
Coal flow rate (M <sub>c</sub> )	t/h	129	252	86.76
Air flow rate $(M_a)$	t/h	1469	2636	825
Water/Coal ratio (w/c)	-	6.98	8.49	1.07
Middle temp. (T <sub>mid</sub> )	°C	343	425	57.78
LT Eco water outlet temp. (T <sub>LT.ECO</sub> )	°C	90	100	6.94
APH air outlet temp. $(T_a)_{APH}$	°C	311	352	29.43
$\% O_2$ in flue gas at APH outlet ( $\% O_2$ )	%	5.27	8.50	2.28
Flue gas temp. after APH $(T_{fg})_{APH}$	°C	120	157	26.84
Ambient temp. (T <sub>amb</sub> )	°C	5.0	43.0	27.2
Feed water pressure (FWP)	MPa	15.0	30.0	10.39
Feed water temp. (FWT)	°C	260	299	27.67
Feed water flow (FWF)	t/h	942	1987	738.98
Main steam pressure (MSP)	MPa	13.0	24.4	8.05
Main steam temp. (MST)	°C	550	569	13.97
Reheat pressure (RHP)	MPa	2.6	5.0	1.69
Reheat temp. (RHT)	°C	553	569	10.88
Absolute Condenser vacuum (P <sub>vac</sub> )	kPa	95.60	89.30	4.48
Deaerator temp. $(T_d)$	°C	164	190	18.59
Attemperation water flow rate (AWF)	t/h	4	97	65.94
Condensate temp. $(T_C)$	°C	27	47	13.8
Auxiliary power (Paux)	MWe	20.3	29.2	6.31
Turbine speed (N)	Rpm	2986	3017	22.49
Excitation voltage (Exc. V)	V	186	435	182.65
Excitation current (Exc. I)	А	1940	4144	1629.85
Generator power (G.P)	MVA	355.1	714.9	254.44

Table 3. Statistics of the training data.

# 3.2. Self-Organizing Feature Map (SOFM)

To confirm the presence of useful and featured information that may exist in the power generation's characteristics operation data, a reliable and sophisticated machine learning data visualization technique, i.e., self-organizing feature map (SOFM), is used [36]. SOFM is an unsupervised learning machine

that maps the underlying possible statistical features of the high-dimensional input space data on the nodes of a two-dimensional square lattice. The square lattice's length is equal to the input space dimensions [2,36]. SOFM has an excellent ability to distribute the input space data on the nodes in homogenous groups and is used in many real-life applications [37–39]. In this work, a two-dimensional square lattice carrying  $24 \times 24$  nodes is created, and the distribution of the thermo-electric operating parameters data, which are the input space data, is shown in Figure 3. The z-axis represents the frequency of occurrence of data points on a node. It is evident from Figure 3, that the data points are reasonably well-distributed. Therefore, the SOFM directs to construct the process models of generator power using the retrieved data of the power plant's thermo-electric operating parameters.



**Figure 3.** SOFM of  $24 \times 24$  lattice for input variables.

### 4. The Theoretical Background of Modeling Techniques

An essential theoretical background of the three modeling techniques used in this study is provided below.

#### 4.1. Multiple Linear Regression

Multiple linear regression (MLR) is a statistical model which describes how various inputs affect the output of a function. It is an extension of simple linear regression and incorporates two or more independent variables to model the dependent variable. The model works to create a relationship between a few or more input variables and an output variable by fitting a linear mathematical equation to the observed data. MLR is a computationally downscaling technique that is widely used in statistical analysis [40].

Each value of the independent variable x is associated with a value of the dependent variable y, and if y is a dependent variable and  $x_1, x_2, ..., x_i$  are independent variables, then the basic MLR model will be given in the following equation,

$$y = b_0 + b_1 x_1 + b_2 x_2 + \ldots + b_i x_i + e \tag{1}$$

where  $b_0$ ,  $b_1$ ,  $b_2$ , ...,  $b_i$  are the regression coefficients, and "e" accounts for the error in fitting the regression line across the observed data [41].

#### 4.2. Artificial Neural Network

Artificial neural network-based algorithms are inspired by the working of the human brain and are capable to effectively dig and learn the relationships among the complex, nonlinear, and multi-dimensional set of variables [32].

A typical ANN consists of three layers: an input layer, a hidden layer, and an output layer. The number of neurons in the input layer is equal to the number of input variables. After multiplying by the weights with the input variables data, the information is fed to the hidden layer. A transfer function is applied at the hidden layer to process the received information. The information from the hidden layer is multiplied by a weight and is transferred to the output layer. The output layer contains neurons with a transfer function to process the previous layer's values and yields a final output value [42].

An ANN model is described mathematically with the following equation,

$$y_{i} = f_{2} \left( \sum_{i}^{n} W_{2} \left[ f_{1} \left( \sum_{i}^{n} x_{i} * W_{1} + b_{1} \right) \right] + b_{2} \right)$$
(2)

where, *x* and *y* are the input and output variables and, i = 1, 2, 3, ..., n equal to the number of data points of input and output variables.  $W_1$  and  $W_2$  are the weights at the input and hidden layer,  $b_1$  and  $b_2$  are the biases at different layers and  $f_1$  and  $f_2$  are the transfer functions in the hidden and output layer, respectively.

The output value calculated by ANN is compared with the output variable's actual value, and error is calculated. If the error is higher than the threshold value, the weights and biases at the layers are modified, and calculations are performed in each iterative cycle unless the error lies within the acceptable level [43].

#### 4.3. Least Square Support Vector Machine

Least square support vector machine training algorithms are among the efficient supervised learning techniques used for their good generalization ability and accuracy. LSSVM training is based on the structural risk minimization principle (SRM), and its basic concept is to transform the data into a high-dimensional feature space and solve the nonlinear problems in a linear pattern [42]. LSSVM uses squared errors as the cost function and can be written as an optimization problem with equal constraints [42],

$$\min_{w,b,\xi} J(w,\xi) = \frac{1}{2} w^{\mathrm{T}} w + \frac{1}{2} \gamma \sum_{i=1}^{n} \xi_{i}^{2}$$
(3)

such that : 
$$y_i = \boldsymbol{w}^{\mathrm{T}} \boldsymbol{\varphi}(\boldsymbol{x}_i) + b + \xi_i, i = 1, \cdots, n$$
 (4)

where *w* is a weight vector,  $\gamma$  is a penalty parameter,  $\xi$  is the ith error variable,  $\varphi$  is a nonlinear function mapping inputs from the data to a higher feature space, and *b* is a bias.

According to the Karush-Kuhn-Tucker (KKT) theory, the solution can be obtained by solving a linear equation in terms of the dual variables, and then the LSSVM model is given as follows [42],

$$y(\mathbf{x}) = \sum_{i=1}^{n} \alpha_i K(\mathbf{x}, \mathbf{x}_i) + b$$
(5)

where  $\alpha_i$  is a KKT multiplier, and K(.,.) is a radial basis function that simplifies the mapping process. The kernel function is linear, gaussian, and polynomial type, and generally, the gaussian kernel function is used in the development of LSSVM models for mapping the complex and nonlinear interactions among the data. Gaussian kernel function can be expressed as:

$$K(x, x_j) = \exp(-\|x - x_j\|^2).$$
(6)

More detailed information on the LSSVM model development can be found elsewhere [44].

#### 5. Development of Process Models

The least-square approach is used for developing the MLR model. The deviation between the actual and observed values of the process is computed, termed as residuals. In the least-square model approach for the best-fit line, the sum of the square of residuals is minimized. The residuals are normally distributed, having a mean equal to zero and standard deviation ( $\sigma$ ).

The ANN model is a multi-layered perceptron (MLP) that consists of three layers, an input layer, a hidden layer, and an output layer [45]. The hidden layer itself may consist of one or more layers. It is proved that one hidden layer is enough to approximate the nonlinearity present in the data provided enough number of neurons are present in the hidden layer [46]. The optimum number of neurons in the hidden layer is determined by hit and trial methods [47,48]. The feedforward backpropagation network algorithm is used in this work. Gradient descent with momentum is employed as a training function, tangent hyperbolic and purelin is employed as transfer functions at the hidden and output layer of MLP, respectively [2,44]. ANN training is carried out until one of the two stopping criteria is met, i.e., either a 0.0000001 change in convergence error or a maximum number of epochs is reached [2,49]. In this work, multiple ANNs are trained, and the number of neurons in the hidden layer is varied from 10 to 36 to find the optimal number of hidden layer neurons based on the validation test, as mentioned in the Section 5.2.

LSSVM can be trained quite effectively for modeling a system based on the structural risk minimization (SRM) principle. A Gaussian kernel function is generally used for mapping the complicated nonlinear relationship between the input and output variables onto the feature space [42]. It is essential to mention here that the training data set should be standardized for developing a useful LSSVM model. Bayesian optimizer and expected improvement per second plus acquisition function is used to optimize  $\gamma$  for LSSVM under 30 epochs [50–53].

The input and output data described in Table 3 are used to develop models. The 24 data values from the top 24 rows of Table 3 and one from the last row of Table 3 correspond to input and output parameters.

#### 5.1. Errors and Evaluation Criteria

The developed process models' performance is evaluated based on the model's prediction error against the validation dataset unseen to the development phase models. Coefficient of determination  $(R^2)$ , root-mean-square error (RMSE), normalized RMSE (NRMSE), mean absolute error (MAE), and mean absolute percentage error (MAPE) of the models' prediction are calculated to evaluate the robustness and effectiveness of the process models. The definition of the evaluation criteria is given below,

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i=1}^{n} (y_{i} - \overline{y}_{i})^{2}}$$
(7)

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y}_i)^2}$$
(8)

$$NRMSE = \frac{RMSE}{y_{max} - y_{min}} * 100\%$$
<sup>(9)</sup>

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - \hat{y}_i|$$
(10)

$$MAPE = \frac{1}{n} \sum_{i=1}^{n} \left| \frac{y_i - \hat{y}_i}{y_i} \right|'' * 100\%$$
(11)

where, *n* is the sample size,  $\hat{y}_i$ ,  $y_i$  and  $\overline{y}_i$  are the predicted values, actual values, and mean of actual values;  $y_{max}$  and  $y_{min}$  are the maximum and minimum value of  $y_i$ , respectively.

#### 5.2. Validation Case against Unseen Data

After the training of MLR, ANN, and LSSVM process models, all models are validated against unseen generator power data that was not added in the training dataset during the models' development. The unseen data consist of 110 data points of the thermo-electric operating parameters and entail the extensive and diverse operating state of power plant operation.

The validation performance of the developed MLR model is evaluated based on the evaluation criteria. R<sup>2</sup>, RMSE, NRMSE, MAE and MAPE for MLR model are 0.99958, 2.674 MVA 0.834%, 1.940 MVA, and 0.007%, respectively.

Multiple ANN models are trained based upon the number of hidden layer neurons varied from 10 to 36. Each of the trained ANN models' performance is evaluated against the validation data set and presented in Table 4. By comparing the performance of trained ANN models, the ANN model having twelve number of neurons in the hidden layer has outperformed the remaining ANN models in terms of the evaluation criteria for assessing the performance of models and is represented as ANN [24-12-1]. The structure of the ANN [24-12-1] is shown in Figure 4. The R<sup>2</sup>, RMSE, NRMSE, MAE and MAPE for ANN [24-12-1] are 0.999707, 2.093 MVA, 0.653%, 1.447 MVA and 0.006% respectively.

Hidden Layer Neurons	<b>R</b> <sup>2</sup>	RMSE	NRMSE	MAE	MAPE
-	-	MVA	%	MVA	%
10	0.999369	3.177	0.991	2.171	0.009
11	0.999685	2.344	0.731	1.597	0.006
12	0.999707	2.093	0.653	1.447	0.006
13	0.999218	3.391	1.057	2.173	0.009
14	0.999267	3.638	1.134	2.528	0.01
15	0.999612	2.609	0.813	1.903	0.007
16	0.999692	2.212	0.69	1.534	0.006
17	0.999468	3.095	0.965	2.177	0.008
18	0.999395	3.084	0.962	2.123	0.008
19	0.999536	2.401	0.749	1.672	0.007
20	0.999568	2.532	0.79	1.98	0.007
21	0.999684	2.218	0.692	1.627	0.006
22	0.999447	2.899	0.904	2.037	0.008
23	0.999491	2.742	0.855	2.055	0.008
24	0.999655	2.447	0.763	1.853	0.007
25	0.999244	3.281	1.023	2.228	0.009
26	0.999551	2.552	0.796	1.81	0.007
27	0.999656	2.608	0.813	1.931	0.007
28	0.999601	2.549	0.795	1.669	0.007
29	0.999337	3.595	1.121	2.677	0.01
30	0.999438	3.066	0.956	2.164	0.008
31	0.999698	2.328	0.726	1.831	0.006
32	0.999556	2.533	0.79	1.783	0.007
33	0.999495	2.931	0.914	2.132	0.008
34	0.99924	3.354	1.046	2.729	0.009
35	0.999483	2.817	0.878	2.065	0.008
36	0.999668	2.27	0.708	1.723	0.006

Table 4. Performance evaluation of ANNs against validation dataset.



# Input Layer Hidden Layer Output Layer

Figure 4. ANN [24-12-1] structure.

Similarly, the performance of the LSSVM model is also measured based on the evaluation criteria. R<sup>2</sup>, RMSE, NRMSE. MAE and MAPE for the LSSVM model are 0.999878, 1.521MVA. 0.474%, 1.069 MVA, and 0.004%, respectively. The comparative performance analysis of MLR, ANN [24-12-1], and LSSVM model performance against the evaluation criteria are presented in Figure 5 and Table 5.

Madala	R <sup>2</sup>	RMSE	NRMSE	MAE	MAPE
wodels	-	MVA	%	MVA	%
MLR	0.99958	2.674	0.834	1.940	0.007
ANN [24-12-1]	0.999707	2.093	0.653	1.447	0.006
LSSVM	0.999858	1.521	0.474	1.069	0.004

Table 5. Performance comparison of MLR, ANN, and LSSVM models.

According to Table 5, the LSSVM model has relatively higher superiority over the MLR and ANN models in predicting the plant's generator power. Therefore, the LSSVM model is selected for further analysis of interest, as discussed in the next sections.



**Figure 5.** External validation data graphs of MLR, ANN [24-12-1] and LSSVM models. (a) MLR (b) ANN (c) LSSVM.

### 6. Results and Discussion

The successfully validated LSSVM model is used to predict the power plant's generator power for various operating strategies of the power plant. The approach provides insight into the influence of power plant thermo-electric operating parameters on power generation. The minimum, average, and maximum values of the thermo-electric operating parameters at 50% and 100% unit load are listed in Table 6. Comprehensive Monte-Carlo experiments are constructed, and gaussian noise is equal to one percent of the operating range of the thermo-electric operating parameters [54,55]. It is essential to mention here that the generator power trends are constructed with a 99% confidence interval to ensure the relationship and interaction among the thermo-electric operating parameters and generator power, as discussed in the next sections.

Operating Parameters		50%	50% Unit Load (MW <sub>e</sub> )			100% Unit Load (MW <sub>e</sub> )		
	Unit	Min	Avg	Max	Min	Avg	Max	
Coal flow rate (M <sub>c</sub> )	t/h	129	137	156	210	238	252	
Air flow rate $(M_a)$	t/h	1469	1559	1703	2197	2472	2636	
Water/Coal ratio (w/c)	-	6.98	7.52	8.08	7.63	8.09	8.49	
Middle temp. (T <sub>mid</sub> )	°C	343	356	377	410	417	425	
LT Eco water outlet temp. (T <sub>LT.ECO</sub> )	°C	94	98	100	90	93	100	
APH air outlet temp. $(T_a)_{APH}$	°C	311	318	334	332	343	352	
$\% O_2$ in flue gas at APH outlet ( $\% O_2$ )	%	7.37	7.93	8.50	5.27	5.88	6.85	
Flue gas temp. after APH $(T_{fg})_{APH}$	°C	120	127	144	129	137	157	
Ambient temp. (T <sub>amb</sub> )	°C	5.1	25.2	39.3	5.0	25.7	43.3	
Feed water pressure (FWP)	MPa	15.4	16.2	18.0	26.8	29.7	30.0	
Feed water temp. (FWT)	°C	260	263	268	291	298	299	
Feed water flow (FWF)	t/h	942	1032	1139	1676	1923	1987	
Main steam pressure (MSP)	MPa	13.0	13.7	15.3	22.1	24.1	24.4	
Main steam temp. (MST)	°C	550	567	569	552	567	569	
Reheat pressure (RHP)	MPa	2.6	2.8	3.5	3.4	4.8	5.0	
Reheat temp. (RHT)	°C	553	567	569	561	567	568	
Absolute condenser vacuum (Pvac)	kPa	95.60	94.10	91.90	95.50	93.60	89.40	
Deaerator temp. $(T_d)$	°C	164	166	170	181	187	190	
Attemperation water flow rate (AWF)	t/h	5	39	81	6	58	97	
Condensate temp. $(T_C)$	°C	27	33	40	31	35	47	
Auxiliary power (P <sub>aux</sub> )	MWe	20.3	22.2	24.0	25.4	27.8	29.2	
Turbine speed (N)	Rpm	2986	3003	3017	2986	3002	3017	
Excitation voltage (Exc. V)	ĨV	186	218	277	297	359	431	
Excitation current (Exc. I)	А	1940	2259	2845	3022	3556	4124	

Table 6. Statistics of thermo-electric operation parameters at 50% and 100% unit load.

# 6.1. The Combined Effect of Excitation Voltage and Excitation Current on the Generator Power

In order to evaluate the combined effect of excitation voltage and excitation current on the power generation from the generator at the sustained 50% and 100% unit load (resistive power in MW<sub>e</sub>), the excitation voltage and excitation current are systematically increased from the minimum to maximum values, whereas the remaining thermo-electric operating parameters are maintained at the average values as mentioned in Table 6. Keeping the remaining thermo-electric operating parameters at the average values is essential to sustain the 50% and 100% unit load from the generator.

Figure 6a,b shows the combined effect of excitation voltage and excitation current on the generator power at 50% and 100% unit load.



**Figure 6.** Effect of the combined effect of excitation voltage and current on reactive generator power **(a)** 50% unit load; **(b)** 100% unit load.

A general increasing trend of generator power is observed with the increase in excitation voltage and excitation current. At 50% unit load, generator power is increased from 372.5 MVA to 405.2 MVA when excitation voltage and excitation current are changed from 186 V to 277V and 1940 A to 2845 A, respectively. At 100% unit load, generator power is increased from 649.2 MVA to 713.9 MVA when excitation voltage and excitation current change from 297 V to 431 V and 3022 A to 4124 A, respectively. With every 10V and 100A increase in excitation voltage and excitation current, the average relative increase in the generator power is 0.84% and 0.96% at 50%, and 100% unit load, respectively.

# 6.2. Generator Power Control during Coal Mill Trip Accident (Failure Mode Recovery and Mitigation of Cost of Failure)

The robust externally validated and flexible LSSVM process model is potentially applicable for predicting the system response under the power plant's failure modes. Based on the model prediction, the strategies and standard operating procedures can be prepared to effectively deal with the abnormal and failure modes of the industrial operations without facing the actual accidents and failures, and thus, help minimize the operation cost. Moreover, the model response under the simulated operating parameters of the industrial operations provides the characteristics system response that, in turn, can be effectively useful for enhancing the operation control training of the workforce.

The coal mill trip is a potentially costly failure in the power plant operation that poses severe implications to the power complex's safe and continuous power generation. Coal mill trip causes a sharp decrease in the total coal flowrate, and under the improper operation control of the boiler, the performance of heating surfaces (super-heater, re-heater, economizer) installed inside the boiler gets poor. Furthermore, under the sudden and considerable reduction in the fuel supply and improper operation control, the combustion and flame may get unstable or die out, leading to complete power loss from the unit. In this scenario, operators' immediate action is to effectively control the power plant's thermo-electric operating parameters to avoid the sharp drop in power production and restore power generation's safe operation from the power complex.

Figure 7 shows the generator power trend against the main steam pressure and excitation current (two of the thermo-electric operating parameters). Generator power is commonly made to decrease from about 100% to nearly 50% generation capacity by the variation in the thermo-electric operating parameters based on the grid's energy demand variation. A smooth declining gradient of the generator power generation trend from 669.5 MVA to 518.4 MVA is observed by the systematic variation in thermo-electric operating parameters. At the main steam pressure of 18.9 MPa, it is considered that a coal mill is tripped (accident), causing the abnormal variation in the thermo-electric operating parameters. As a result, generator power is decreased from 518.4 MVA to 450.4 MVA. The dip in the trend represents the coal mill operation failure and can be directly related to power, operation safety, and monetary costs. A set of adjustments in the thermo-electric operating parameters are made in

computer-simulated Monte-Carlo experiments based on the discussions with operation engineers and literature review [32,56–59]. Insufficient adjustments and inefficient handling of thermo-electric operating parameters related to boiler and turbine operation may lead to complete power loss from the power plant. Five sets of computer-simulated experiments with potential solutions were prepared and tested on a robust and well-validated LSSVM process model of the power plant. One set of simulated experiments is successfully employed to ensure combustion stability and achieve a smooth and gradual 50% power generation capacity after the accident. The sharp decrease in generator power is highly avoidable as it affects the safe and smooth operation of the generator and the stability of the grid.



Figure 7. Generator power trend during the coal mill trip accident.

The generator power trend plotted by the LSSVM model in Figure 7 may also serve as the generator power's performance curve during the coal mill trip scenario. The operation data based constructed process models can be potentially employed for preparing the operation strategies and scheme of action for the possible accidents that happen during the operation in industries. Therefore, the presented approach constitutes the one step ahead in advancing the industry 4.0 data analytics concept in the industrial operation for improved process control and efficient operation management practices.

# 6.3. Effect of Adjustment in Thermo-Electric Operating Parameters for Optimal Generator Power (A Case of AI for Operation Control Excellence Tool)

This section presents a detailed example of the validated LSSVM process model's commissioning as an operational excellence tool based on its prediction for carefully designed computer simulated experiments.

Three actual operating conditions of the power plant at approximate 50% generation capacity are randomly selected. It is important to note that the power plant is operating under controlled operational conditions during this time. The set of values of operational parameters at these three randomly selected controlled operational states are shown in Table 7. Similar tests are conducted to take the operational control data of thermo-electric operating parameters at 75% and the power plant's 100% generation capacity.

Extensive literature review [32,56–59] and detailed critical discussions are conducted with operating engineers' inter-discipline teams. Multiple options of computer-simulated experiments are designed to check the possible increase in generator power with the adjustments in thermo-electric operating parameters. The viable and possible adjustments designed in the thermo-electric operating

parameters, considering the power plant's operating conditions, are listed in Tables 7–9. It is essential to mention here that the coal flow rate is essentially kept the same in the three power generation capacities to keep the same thermal energy spent. Moreover, the main steam pressure, reheat steam pressure, turbine speed, and excitation voltage are kept nearly unchanged at the sustained 50%, 75%, and 100% generation capacity of the power plant.

Thermo-Electric Operating	TT	50% Generation Capacity (MVA)						
Parameters	Unit	Actual	Adjusted	Actual	Adjusted	Actual	Adjusted	
Coal flow rate $(M_c)$	t/h	134	134	135	138	137	137	
Air flow rate $(M_a)$	t/h	1519	1500	1508	1497	1531	1519	
Water/Coal ratio (w/c)	-	7.46	7.43	8.05	7.8	7.69	7.43	
Middle temp. (T <sub>mid</sub> )	°C	354	357	366	368	353	356	
LT Eco water outlet temp. (T <sub>LT.ECO</sub> )	°C	98	99	98	99	96	97	
APH air outlet temp. $(T_a)_{APH}$	°C	315	318	334	336	323	325	
$\% O_2$ in flue gas at APH outlet ( $\% O_2$ )	%	7.98	7.90	7.60	7.55	7.63	7.59	
Flue gas temp. after APH (T <sub>fg</sub> ) <sub>APH</sub>	°C	127	121	133	127	129	121	
Ambient temp. (T <sub>amb</sub> )	°C	15.0	15.0	12.0	12.0	27.0	27.0	
Feed water pressure (FWP)	MPa	15.9	15.9	17.3	17.3	16.4	16.4	
Feed water temp. (FWT)	°C	263	265	267	269	263	265	
Feed water flow (FWF)	t/h	1004	996	1088	1076	1056	1043	
Main steam pressure (MSP)	MPa	13.5	13.5	14.8	14.8	13.6	13.6	
Main steam temp. (MST)	°C	550	566	551	566	551	566	
Reheat pressure (RHP)	MPa	2.7	2.7	3.3	3.3	2.7	2.7	
Reheat temp. (RHT)	°C	559	567	560	567	561	567	
Absolute condenser vacuum $(P_{vac})$	kPa	93.84	93.92	94.35	94.4	93.55	93.62	
Deaerator temp. $(T_d)$	°C	165	167	168	169	167	169	
Attemperation water flow rate (AWF)	t/h	56	35	27	19	14	9	
Condensate temp. (T <sub>C</sub> )	°C	34	34	32	32	36	36	
Auxiliary power (Paux)	MWe	20.5	20.3	22.4	22.2	20.8	20.5	
Turbine speed (N)	Rpm	3002	3002	3009	3009	3006	3006	
Excitation voltage (Exc. V)	V	223	223	210	210	272	272	
Excitation current (Exc. I)	А	2323	2323	2188	2188	2776	2776	

Table 7. Summary of actual and adjusted thermo-electric operating parameters at 50% generation capacity.

Table 8. Summary of actual and adjusted thermo-electric operating parameters at 75% generation capacity.

Thermo-Electric Operating	<b>T</b> T •.	75% Generation Capacity (MVA)						
Parameters	Unit	Actual	Adjusted	Actual	Adjusted	Actual	Adjusted	
Coal flow rate (M <sub>c</sub> )	t/h	184	184	201	201	193	193	
Air flow rate $(M_a)$	t/h	1983	1965	2142	2129	2064	2034	
Water/Coal ratio (w/c)	-	7.73	7.61	8.04	7.95	7.7	7.58	
Middle temp. (T <sub>mid</sub> )	°C	383	385	401	405	378	382	
LT Eco water outlet temp. (T <sub>LTECO</sub> )	°C	97	98	92	93	95	96	
APH air outlet temp. $(T_a)_{APH}$	°C	322	325	333	338	325	328	
% $O_2$ in flue gas at APH outlet (% $O_2$ )	%	6.76	6.70	6.25	6.21	6.67	6.53	
Flue gas temp. after APH (T <sub>fg</sub> ) <sub>APH</sub>	°C	127	122	131	126	137	131	

Thermo-Electric Operating	TT	75% Generation Capacity (MVA)						
Parameters	Unit	Actual	Adjusted	Actual	Adjusted	Actual	Adjusted	
Ambient temp. (T <sub>amb</sub> )	°C	8.0	8.0	10.0	10.0	27.0	27.0	
Feed water pressure (FWP)	MPa	21.7	21.7	24.8	24.8	22.2	22.2	
Feed water temp. (FWT)	°C	278	280	287	289	279	281	
Feed water flow (FWF)	t/h	1424	1400	1613	1598	1487	1463	
Main steam pressure (MSP)	MPa	17.7	17.7	20.4	20.4	18.2	18.2	
Main steam temp. (MST)	°C	554	567	554	566	554	568	
Reheat pressure (RHP)	MPa	3.4	3.4	4.06	4.06	3.7	3.7	
Reheat temp. (RHT)	°C	560	567	560	567	563	567	
Absolute condenser vacuum (P <sub>vac</sub> )	kPa	95.24	95.32	94.51	94.6	92.74	92.82	
Deaerator temp. $(T_d)$	°C	174	175	180	181	174	176	
Attemperation water flow rate (AWF)	t/h	33	12	39	25	19	12	
Condensate temp. $(T_C)$	°C	30	30	32	32	38	38	
Auxiliary power (Paux)	MWe	25.1	24.9	25.7	25.4	25	24.7	
Turbine speed (N)	Rpm	3001	3001	3001	3001	3003	3003	
Excitation voltage (Exc. V)	ĨV	269	269	285	285	296	296	
Excitation current (Exc. I)	А	2757	2757	2904	2904	3012	3012	

Table 8. Cont.

 Table 9. Summary of actual and adjusted thermo-electric operating parameters at 100% generation capacity.

Thermo-Electric Operating	<b>T</b> T •.	100% Generation Capacity (MVA)						
Parameters	Unit	Actual	Adjusted	Actual	Adjusted	Actual	Adjusted	
Coal flow rate $(M_c)$	t/h	243	243	239	239	248	248	
Air flow rate $(M_a)$	t/h	2453	2418	2399	2399	2507	2470	
Water/Coal ratio (w/c)	-	7.98	7.96	8.15	8.12	7.85	7.79	
Middle temperature (T <sub>mid</sub> )	°C	415	417	413	415	417	419	
LT Eco water outlet	°C	92	93	91	92	95	96	
APH air outlet temperature $(T_a)_{APH}$	°C	340	346	336	343	338	346	
$\% O_2$ in flue gas at APH outlet ( $\% O_2$ )	%	5.79	5.63	5.64	5.64	5.43	5.21	
Flue gas temperature after APH (T <sub>fg</sub> ) <sub>APH</sub>	°C	133	130	134	129	148	135	
Ambient temperature (T <sub>amb</sub> )	°C	5.0	5.0	10.0	10.0	34.0	34.0	
Feed water pressure (FWP)	MPa	29.7	29.7	29.6	29.6	29.5	29.5	
Feed water temperature (FWT)	°C	297	299	297	299	297	300	
Feed water flow (FWF)	t/h	1950	1935	1951	1936	1947	1935	
Main steam pressure (MSP)	MPa	24.1	24.1	24	24	23.8	23.8	
Main steam temperature (MST)	°C	553	567	552	566	553	568	
Reheat pressure (RHP)	MPa	4.8	4.8	4.8	4.8	4.2	4.2	
Reheat temperature (RHT)	°C	564	566	565	569	563	568	
Absolute condenser vacuum (P <sub>vac</sub> )	kPa	94.87	94.93	94.38	94.44	90.11	90.26	
Deaerator temperature (T <sub>d</sub> )	°C	186	189	187	188	189	191	
Attemperation water flow rate (AWF)	t/h	50	20	47	18	58	19	
Condensate temperature (T <sub>C</sub> )	°C	31	31	33	33	46	46	
Auxiliary power (Paux)	MWe	27.4	27.1	28.0	27.9	27.8	27.5	
Turbine speed (N)	Rpm	2996	2996	3012	3012	3004	3004	
Excitation voltage (Exc. V)	ĨV	364	364	310	310	396	396	
Excitation current (Exc. I)	А	3598	3598	3131	3131	3865	3865	

At 50% generation capacity, the generator power for the power plant's three operating conditions under the adjusted thermo-electric operating parameters is increased by 1.47%, 1.55%, and 2.19%. For 75% and 100% generation capacity, the increase in generator power under the adjusted thermo-electric operating parameters are 1.93%, 1.69%, 1.78% and 1.34%, 1.20%, 0.45%, respectively. The average increase in generator power under the adjusted thermo-electric operating parameters is 1.74%, 1.80%, and 1.0% at 50% generation capacity, 75% generation capacity, and the power plant's 100% generation capacity. These increases are illustrated in Figure 8.



**Figure 8.** Comparison of actual and optimal generator power (**a**) at 50% generation capacity (**b**) 75% generation capacity (**c**) 100% generation capacity.

As observed in Tables 7–9, many thermo-electric operating parameters during the power plant's actual operation state lie in the lower controllable operating regimes. For example, the main steam temperature, reheat steam temperature, flue gas temperature after APH are generally near the lower controllable limits caused by the in-effective combustion control and reduced heat transfer to the heating surfaces. The adjustments made in the thermo-electric operating parameters lie within the manufacturer designed parametric operating limits for the power plant operation control that resulted in the optimal power production from the power plant. The data-driven optimization strategies for the improved operation control and operation excellency of the power plant are achieved by combining the experience of operation engineers of industries and the detailed analysis of AI-based process modeling conducted in the spirit of industry 4.0.

#### 7. Conclusions

Based on the real operation data, the process modeling techniques, i.e., MLR, ANN, and LSSVM, are employed to model the generator power of 660 MW<sub>e</sub> supercritical coal power plant.

The LSSVM has outperformed the MLR and ANN process models based on the validation test conducted on the unseen operation data taken from the power plant. The LSSVM has demonstrated reliable performance in modeling the generator power and thereby constructing the trend lines of the generator operation against the thermo-electric operating parameters of the power plant.

With every 10 V and 100 A increase in excitation voltage and current at 50% and 100% unit load, the average increase in generator power is 0.84% and 0.96%.

During the load decrement scenario from about 100% to nearly 50% generation capacity, the decrease in generator power after the coal mill trip accident is recovered as predicted by the LSSVM process model by a significant adjustment in the thermo-electric operating parameters.

At 50% generation capacity, the generator power for the three operating conditions of the power plant under the adjusted thermo-electric operating parameters is increased by 1.47%, 1.55%, and 2.19%, whereas for 75% and 100% generation capacity, the increase in generator power under the adjusted thermo-electric operating parameters are 1.93%, 1.69%, 1.78% and 1.34%, 1.20%, 0.45%, respectively.

The data-driven optimization strategies for the power plant's improved operation control are achieved by the extensive and detailed discussions with the operating engineers and the analysis of AI-based process modeling conducted in the spirit of industry 4.0.

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