



## Article

# Parameter Estimation of LFM Signals Based on FOTD-CFRFT under Impulsive Noise

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**Abstract:** Due to the short duration and high amplitude characteristics of impulsive noise, these parameter estimation methods based on Gaussian assumptions are ineffective in the presence of impulsive noise. To address this issue, a LFM signal parameter estimation method is proposed based on FOTD and CFRFT. Firstly, the mathematical expression of FOTD is presented and its tracking performance is verified. Secondly, the tracked signal is subjected to discrete time CFRFT, and a mathematical optimization model for LFM signal parameter estimation is established on the fractional spectrum characteristic. Finally, a correction method for non-standard S $\alpha$ S distributed noise is proposed, and the performance of parameter estimation under both standard and non-standard S $\alpha$ S distributions are analyzed. The simulation results show that this method not only effectively suppresses the impact of impulsive noise on the fractional spectrum of LFM signal, but also has better parameter estimation accuracy and stability in the low GSNR. The proposed method is particularly effective under the measured noise environment, as it successfully suppresses the impact of impulsive noise and achieves high-precision parameter estimation.

**Keywords:** linear frequency modulation signal; impulsive noise; fractional-order tracking differentiator; concise fractional Fourier transform; parameter estimation



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## 1. Introduction

Linear frequency modulation (LFM) signal is a typical non-stationary signal, whose instantaneous frequency varies linearly with time. Compared with single frequency signal and narrow-band signal, LFM signal has stronger anti-interference ability; thus, it is widely used in radar, sonar, communication, seismic survey and other fields [1,2]. Due to the inevitable introduction of noise during signal acquisition and transmission, the parameter estimation of LFM signal under noisy environments is a common and fundamental issue in these fields. Most existing parameter estimation methods assume that the noise obeys a Gaussian distribution, but the noise caused by sudden interference in the actual environment generally does not obey the Gaussian distribution. Impulsive noise is a typical non-Gaussian noise, which has the characteristics of short duration and high amplitude. The performance of traditional methods degrades significantly under impulsive noise, and even cannot accurately estimate parameter. Therefore, it is necessary to explore the LFM signal parameter estimation method in the presence of impulsive noise.

To address the parameter estimation under impulsive noise environment, scholars have proposed many methods, such as, fractional lower-order statistics [3–5], nonlinear transform [6–8], tracking differentiator [9,10], convolutional neural networks [11,12]. In [3], an improved fractional lower order LVD (FLO-LVD) for the impulsive noise is proposed, which can overcome the influence of cross-terms and achieve higher estimation accuracy. In [5], FLO-SST with adaptive order and adaptive window is proposed, which utilizes FLO to suppress impulsive noise and obtain the instantaneous frequency of LFM signal by

SST. However, these two methods require prior information of impulsive noise and lack theoretical support for selecting the order. In [7], the Sigmoid-FPSD method is proposed to effectively suppress impulsive noise, which has certain adaptability to changes in impulsive noise parameter. In [8], a piecewise nonlinear amplitude transformation (PNAT) function was designed to suppress impulsive noise, and combined with LVD to form a new LFM signal parameter estimation method, named PANT-LVD. However, these methods based on nonlinear transform also present certain drawbacks including poor stability under low GSNR and extensive computational requirements. In [9], fastest tracking differentiator (FTD) and fractional Fourier transform (FRFT) are proposed to estimate the parameter of LFM signal under impulsive noise. It effectively eliminates high-amplitude impulsive noise, but suffers a significant performance degradation under low GSNR and strong impulsive noise environments. In [12], a deep learning-based parameter estimation method of LFM signal is proposed, which uses deep neural networks and convolutional neural networks to eliminate the impact of impulsive noise on LFM signal parameter estimation. However, this method requires a considerable amount of time to train the model. It is necessary to point out that all these methods can only estimate parameter if the impulsive noise obeys a standard symmetric  $\alpha$ -stable ( $S\alpha S$ ) distribution, the performance sharply decreases and even be unable to estimate parameter when impulsive noise does not obey the standard  $S\alpha S$  distribution.

In recent years, with the development of fractional calculus theory, fractional calculus has expanded into the fields of science and engineering, particularly finding wide applications in control systems. Due to the limited performance of integer-order adaptive controllers, a fractional-order adaptive controller is designed to improve the performance and stability of the system by using a fractional-order tracking differentiator (FOTD). Therefore, research on FOTD is continuously being conducted in various fields. In [13], the design and analysis of FOTD are introduced, a fractional order self-disturbance rejection controller is designed by FOTD. In [14], the fractional order adaptive controller is designed by FOTD to obtain the differential signal, and the stability of the adaptive system is analyzed. In [15], a fractional order nonlinear disturbance observer based on FOTD is designed to improve the control performance of UAV in the disturbance environment.

Inspired by tracking differentiator, this paper presents a new application of FOTD in the LFM signal parameter estimation under impulsive noise environment. This method utilizes FOTD to track noisy signals and achieve a significant reduction of high-amplitude impulsive noise. Moreover, the fractional spectrum of the tracked signal is established by concise fractional Fourier transform (CFRFT), and a mathematical optimization model of parameter estimation is built on the fractional spectrum characteristic of LFM signal. Finally, a correction method for non-standard  $S\alpha S$  distribution noise is proposed by using the properties of  $\alpha$ -stable distribution, which effectively solves the LFM signal parameter estimation under non-standard  $S\alpha S$  distribution noise. The main contributions of this paper include: (1) FOTD based on G-L fractional derivative is constructed and its discrete form is given; (2) FOTD is employed to suppress impulsive noise, and a FOTD-CFRFT based method is proposed to estimate LFM signal parameter in the presence of impulsive noise; (3) a correction method is proposed to effectively address the parameter estimation under non-standard  $S\alpha S$  distribution noise.

The rest of this paper is arranged as follows: Section 2 briefly introduces the impulsive noise model, Section 3 proposes the LFM signal parameter estimation method, Section 4 conducts simulation experiments analysis and comparison for the simulated and measured impulsive noise, and Section 5 gives a brief summary.

## 2. Impulsive Noise Model

The impulsive noise typically exhibits characteristics of short duration and high amplitude, which can be fitted by the  $\alpha$ -stable distribution model. The  $\alpha$ -stable distribution

does not have a closed-form probability density function, and is commonly represented by the following characteristic function [16,17]

$$\varphi(t) = \exp[jat - \gamma|t|_\alpha(1 + j\beta\text{sgn}(t)g(t, \alpha))] \tag{1}$$

with

$$g(t, \alpha) = \begin{cases} \frac{2}{\pi} \lg|t| & \alpha = 1 \\ \tan \frac{\alpha\pi}{2} & \alpha \neq 1 \end{cases} \tag{2}$$

where  $\text{sgn}(t)$  represents the sign function.  $\alpha \in (0, 2)$  denotes the characteristic exponent of impulsive noise, higher  $\alpha$  indicates weaker impulsive intensity. Similar to the variance in Gaussian distribution,  $\gamma \in (0, +\infty)$  denotes the dispersion coefficient, which reflects the deviation of samples from the mean.  $\beta \in [-1, 1]$  denotes the skewness parameter, describing the skewness of the distribution.  $a$  represents the location parameter with the range of  $(-\infty, +\infty)$ . When  $\beta = 0$ , the distribution is called as S $\alpha$ S distribution. When  $a = 0, \beta = 0$ , the distribution is called as standard S $\alpha$ S distribution. Since the variance of  $\alpha$ -stable distribution noise is undefined, GSNR is used to replace SNR, defined as

$$\text{GSNR} = 10\lg(\sigma_s^2/\gamma) \tag{3}$$

where  $\sigma_s^2$  represents the variance of the signal, and  $\gamma$  represents the dispersion coefficient of the impulsive noise.

Below, three common properties of  $\alpha$ -stable distribution are briefly listed as [18]

- (1) If  $X \sim S(\alpha, \beta, \gamma, a)$ ,  $b$  is a real number, then

$$X + b \sim S(\alpha, \beta, \gamma, a + b) \tag{4}$$

- (2) If  $X \sim S(\alpha, \beta, \gamma, a)$ ,  $m$  is a non-zero real number, then

$$mX \sim \begin{cases} S(\alpha, \text{sgn}(m)\beta, \gamma, ma) & \alpha \neq 1 \\ S(1, \text{sgn}(m)\beta, |m|\gamma, ma - \frac{2}{\pi}m(\ln|m|)\beta\gamma) & \alpha = 1 \end{cases} \tag{5}$$

- (3) Let  $X_1 \sim S(\alpha, \beta_1, \gamma_1, a_1)$  and  $X_2 \sim S(\alpha, \beta_2, \gamma_2, a_2)$  be mutually independent  $\alpha$ -stable distribution, then

$$X_1 + X_2 \sim S(\alpha, \beta, \gamma, a) \tag{6}$$

where

$$\beta = \frac{\beta_1\gamma_1^\alpha + \beta_2\gamma_2^\alpha}{\gamma_1^\alpha + \gamma_2^\alpha}, \gamma = (\gamma_1^\alpha + \gamma_2^\alpha)^{1/\alpha}, a = a_1 + a_2 \tag{7}$$

### 3. Parameter Estimation Method

#### 3.1. Fractional-Order Tracking Differentiator

The expression of FOTD can be obtained by using fractional-order optimal control theory and the design approach of integer-order tracker differentiator. The FOTD is defined as

$$\begin{cases} D^\theta x_1(t) = x_2(t) \\ D^\theta x_2(t) = fhan \end{cases} \tag{8}$$

where  $x_1(t)$  is the tracked signal,  $x_2(t)$  is the fractional derivative of  $x_1(t)$ ,  $fhan$  is the fastest control synthesis function. By passing the input signal to  $fhan$  function, FOTD can quickly respond to the changes in input signal, and accurately compute its fractional derivative [19,20].

The fractional calculus used in this paper is the Grünwald–Letnikov definition, i.e.,

$$D^\theta = \frac{1}{h^\theta} \sum_{j=0}^{[(t-t_0)/h]} w_j x(t - jh) \tag{9}$$

with

$$w_j = \begin{cases} 1 & j=1 \\ (1 - \frac{1+\theta}{j})w_{j-1} & j=2,3,4 \dots \end{cases} \tag{10}$$

where  $\theta$  denotes fractional derivative order,  $h$  denotes the differential step. The discrete form of FOTD is given by

$$\begin{cases} x_1(n+1) = h^\theta \cdot x_2(n) + \sum_{j=1}^{j=n} w_j x_1(n-j) \\ x_2(n+1) = h^\theta \cdot fhan + \sum_{j=1}^{j=n} w_j x_2(n-j) \end{cases} \tag{11}$$

with

$$fhan [x_1(n), x_2(n), v(n), r, h_0] = - \begin{cases} r \cdot \text{sign}(c) & |c| > d \\ r \frac{c}{d} & |c| \leq d \end{cases} \tag{12}$$

$$c = \begin{cases} x_2(n) + \frac{(c_0-d)}{2} \text{sign}(y(n)) & |y(n)| > d_0 \\ x_2(n) + \frac{y(n)}{h_0} & |y(n)| \leq d_0 \end{cases} \tag{13}$$

where

$$\begin{aligned} d &= rh_0 \\ d_0 &= h_0 d \\ y(n) &= x_1(n) - v(n) + h_0 x_2(n) \\ c_0 &= \sqrt{d^2 + 8r|y(n)|} \end{aligned} \tag{14}$$

$v(n)$  is the discrete input signal, where  $n \in [1, N]$ , and  $N$  is the sampling points number.  $x_1(n)$  and  $x_2(n)$  are the discrete forms of  $x_1(t)$  and  $x_2(t)$ .  $r$  denotes the tracking factor; the larger  $r$  is, the faster  $x_1(n)$  can track the signal  $v(n)$ .  $h_0$  denotes the filtering factor; the smaller  $h_0$  can give a better suppression on high-amplitude impulsive noise.

Figure 1a shows a pure LFM signal and its tracked signal  $x_1(n)$ ; it can be observed that  $x_1(n)$  has a certain phase delay and amplitude reduction. Compared with the original signal, amplitude reduction does not affect the parameter estimation, but the phase delay will directly affect the accuracy of parameter estimation. Therefore, the phase delay must be reduced as much as possible. Inspired by the displacement formula in physics, the tracked signal is corrected with the differential signal to approximate the original signal. The approximated signal  $x_3(n)$  is given by  $x_3(n) = x_1(n) + r_2 \cdot x_2(n)$ , where  $r_2$  controls the approximation degree. From Figure 1b, the peak point locations show that  $x_3(n)$  has a smaller delay compared to  $x_1(n)$ , achieving the effect of reducing the phase delay.

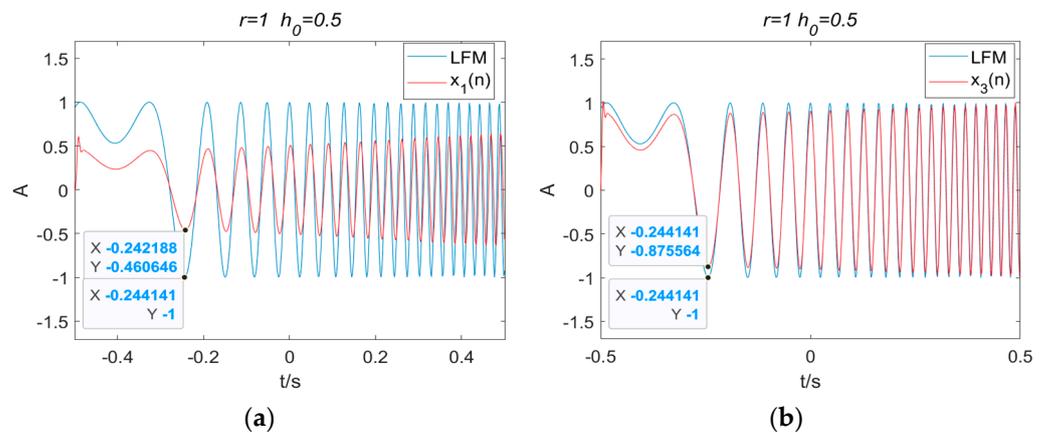


Figure 1. The tracked signal of FOTD and improved FOTD: (a) FOTD; (b) improved FOTD.

### 3.2. Parameter Estimation Model

The expression of the LFM signal is

$$x(t) = A \exp(j2\pi f_0 t + j\pi k t^2) \tag{15}$$

where  $A$  is the amplitude,  $f_0$  is the center frequency, and  $k$  is the chirp rate. The definition of CFRFT for signal  $x(t)$  is [21]

$$L^\varphi(u) = L^\varphi[x(t)](u) = \int_{-\infty}^{+\infty} x(t) \cdot \exp(j\pi \cot \varphi t^2 - 2j\pi t u) dt \tag{16}$$

where  $\varphi \in [0, \pi]$  represents the rotation angle and  $L^\varphi(u)$  represents the CFRFT of  $x(t)$ . The discrete-time CFRFT is given by

$$L^\varphi(u) = \sum_{n=-N}^N x(n/\Delta x) \cdot \exp[(j\pi \cot \varphi (n/\Delta x)^2) - 2\pi u n / \Delta x] \tag{17}$$

where  $\Delta x = \sqrt{T \cdot f_s}$ . Further discretize the variable  $u$ , the discrete CFRFT is given by

$$L^\varphi(m) = \sum_{n=-N}^N x(n/\Delta x) \cdot \exp[(j\pi \cot \varphi (n/\Delta x)^2) - 2\pi m n / \Delta x] \tag{18}$$

For CFRFT, the relationship between WVD ( $t, \mu$ ) and  $WVD_{L^\varphi}(u, v)$  can be expressed as

$$WVD_{L^\varphi}(u, v) = WVD \left[ \frac{1}{\sin \varphi} (t \cos \varphi + \mu \sin \varphi) - t \right] \tag{19}$$

It means that the time axis  $t$  is rotated by  $\varphi$  and stretched by  $1/\sin \varphi$ , while the frequency axis  $\mu$  is rotated by  $\pi/2$  to obtain the new coordinate system (see Figure 2). LFM signal exhibits a linear change in frequency with respect to time in the time-frequency plane, where the slope of the line and its center are  $k = -\tan \varphi$  and  $f_0$ , respectively. When the  $u$  axis is orthogonal to the IF of LFM signal ( $\varphi = \pi/2 - \phi$ ), the projection of the IF on  $u$  axis is a point  $f_0$ . Therefore, it can be concluded that when  $\cot \varphi = -k$ , the fractional spectrum of LFM signal is energy concentration.

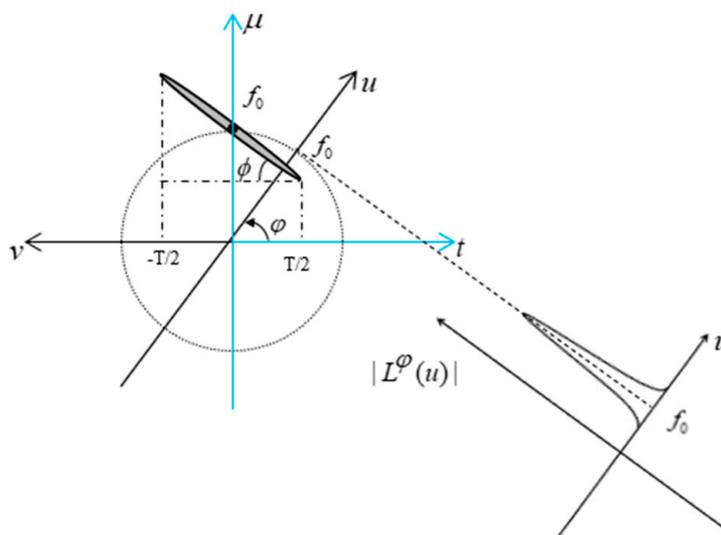


Figure 2. The principle of CFRFT for LFM signal.

In addition, according to Equations (15) and (16), the fractional spectrum of LFM signal is given by

$$L^\varphi(u) = \int_{-\infty}^{+\infty} A \exp(j2\pi f_0 t + j\pi k t^2) \exp(j\pi \cot \varphi t^2 - j2\pi t u) dt \tag{20}$$

$$= \int_{-\infty}^{+\infty} A \exp[j2\pi(f_0 - u)] \exp[j\pi(k + \cot \varphi) t^2] dt$$

Specifically, when  $\cot \varphi = -k$ , then

$$L^\varphi(u) = \int_{-T/2}^{T/2} A \exp[j2\pi(f_0 - u)] dt = \frac{A \sin[\pi T(f_0 - u)]}{\pi(f_0 - u)} \tag{21}$$

According to the Parseval's theorem, i.e.,

$$\int_{-\infty}^{+\infty} |L^\varphi(u)|^2 du = \int_{-\infty}^{+\infty} |x(t)|^2 dt \tag{22}$$

It can be followed that the fractional spectrum of LFM signal reaches a global maximum at  $u = f_0$  when  $\cot \varphi = -k$ .

Based on this characteristic, a mathematical model for LFM signal parameter estimation is established as

$$\begin{cases} \{\varphi_0, u_0\} = \underset{\varphi, u}{\operatorname{argmax}} |L^\varphi[\hat{s}(t)](u)| \\ \hat{k} = -\cot \varphi_0 \\ \hat{f}_0 = u_0 \end{cases} \tag{23}$$

where  $\hat{s}(t)$  represents the tracked signal.  $\varphi_0$  and  $u_0$  denote the optimal value points,  $\hat{k}$  and  $\hat{f}_0$  represent the estimated chirp rate and center frequency, respectively. LFM signal exhibits energy concentration at a certain CFRFT domain, and the position of the peak is related to the parameter of LFM signal. Figure 3 shows the fractional spectrum of LFM signal in the CFRFT domain, a prominent peak can be observed. By performing peak search, the coordinates  $[\varphi_0, u_0]$  ( $\varphi_0 = p_0\pi/2$ ) of the peak can be substituted into Equation (23) to estimate the center frequency and chirp rate.

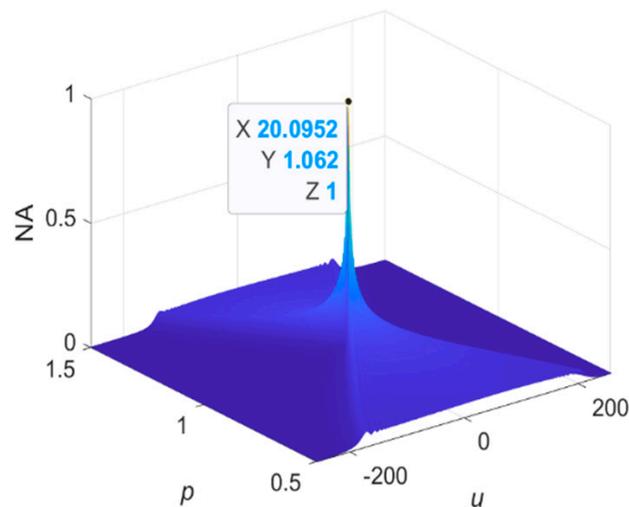
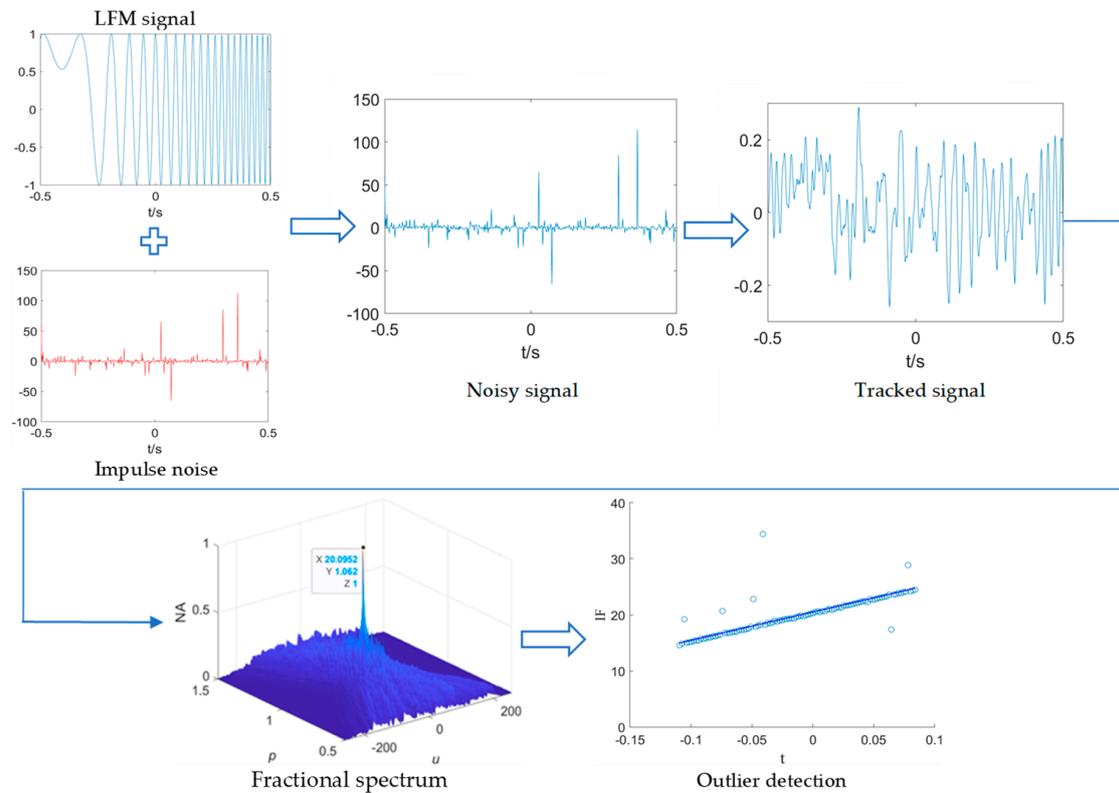


Figure 3. The fractional spectrum of LFM signal established by CFRFT.

In this paper, the water cycle algorithm (WCA) is utilized to search for the global optimum. WCA is a metaheuristic algorithm inspired by the natural water cycle process, which combines the search for the optimum solution with the water cycle process in

nature [22]. The algorithm flow of the proposed method is illustrated in Figure 4 and the specific steps are as follows:



**Figure 4.** Flow chart of FOTD-CFRFT algorithm.

Step 1: The pure LFM signal  $x(t)$  is generated by using Equation (15).

Step 2: A random impulsive noise is generated and added to  $x(t)$ , i.e.,  $s(t) = x(t) + n(t)$ , where  $n(t)$  obeys the  $\alpha$ -stable distribution,  $s(t)$  denotes the noisy signal.

Step 3: FOTD is applied to track the noisy signal, and the tracked signal is denoted as  $\hat{s}(t)$ .

Step 4: The fractional spectrum of the tracked signal  $\hat{s}(t)$  is established by using DTCFRFT, and LFM parameters are estimated by using Equation (23).

Step 5: 100 Monte Carlo experiments are performed, and an outlier detection algorithm is used to eliminate outliers.

#### 4. Simulation Experiment

The simulation experiment is arranged as follows: The proposed FOTD-CFRFT method is initially analyzed, followed by a comparison with three other recently proposed methods under simulated impulsive noise. Lastly, the parameter estimation performance is tested in the presence of measured impulsive noise. The parameters of LFM signal in the simulation experiment are set by

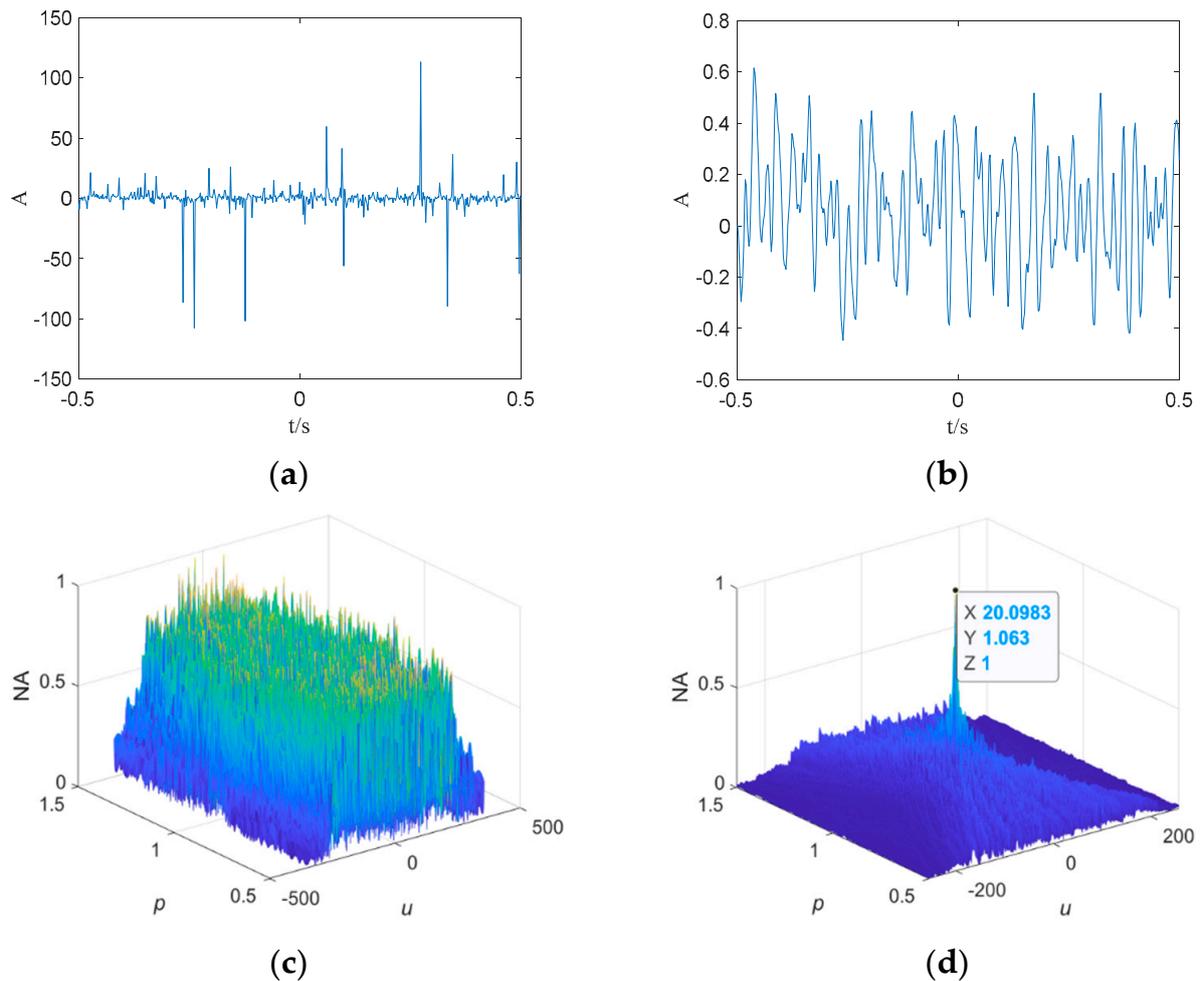
$$\{A, f_0, k, f_s, T, N\} = \{1, 20.5 \text{ Hz}, 50.5 \text{ Hz/s}, 512 \text{ Hz}, 1 \text{ s}, 512\} \quad (24)$$

where  $f_s$  denotes sampling rate,  $T$  denotes sampling duration,  $N$  denotes the sampling number.

##### 4.1. FOTD Analysis

In this subsection, the tracking performance of FOTD is demonstrated, and the impact of each parameter on estimation accuracy is analyzed from an experimental perspective. Figure 5a shows the time-domain waveform of the noisy signal, which clearly shows the presence of numerous high-amplitude impulsive noises. Figure 5b shows the time-domain

waveform of the tracked signal by FOTD. It can be observed that the high-amplitude impulsive noise has been suppressed, which provides favorable conditions for the accurate estimation of LFM signal parameter. Moreover, in order to clearly demonstrate the tracking effect of FOTD, the fractional spectrum of the noisy signal and tracked signal are shown in Figure 5c,d, respectively, where  $p$  denotes the rotational order, and  $NA$  denotes the normalized amplitude. It can be observed from Figure 5c that the true peak is obscured by the impulsive noise, resulting in inaccurate parameter estimation. However, the clear peak can be seen in Figure 5d, and the positions of the peak closely match the true values  $\{f_0, p\} = \{20.5, 1.0626\}$ .



**Figure 5.** The tracking performance of FOTD: (a) time-domain waveform of the noisy signal; (b) time-domain waveform of the tracked signal; (c) fractional spectrum of the noisy signal; (d) fractional spectrum of the tracked signal.

Since FOTD contains three parameters  $r, h_0, \theta$ , it is necessary to analyze the impact of each parameter on the parameter estimation accuracy. By selecting different parameters, 100 Monte Carlo experiments were conducted under a standard  $S\alpha S$  noise environment with  $\alpha = 1.2, GSNR = -3\text{dB}$ . The RMSE of estimated results corresponding to each parameter are shown in Figure 6. From Figure 6, it can be seen that  $r$  has almost no impact on the parameter estimation, whereas the other two parameters directly affect the accuracy of parameter estimation. For the superior performance of FOTD,  $h_0$  should be within the range of  $0 < h_0 \leq 1$ , and  $\theta$  should be within the range of  $1.2 \leq \theta \leq 1.8$ . Therefore, the parameters of FOTD are set as  $r = 1, h_0 = 0.3, \theta = 1.5$  in the following sections.

4.2. Comparisons

4.2.1. Standard S $\alpha$ S Distribution Noise

In order to better demonstrate the performance of parameter estimation under the impulsive noise, the proposed method is compared with the three newly proposed parameter estimation methods, i.e., Sigmoid-FPSD [7], PANT-LVD [8] and FTD-FRFT [9]. For  $\alpha = 1.2$  and GSNR = 0 dB, the fractional spectrums of the noisy signal are built and shown in Figure 7, the search step size for parameter  $p$  is 0.002. From Figure 7, all four methods can suppress the impulsive noise and achieve accurate parameter estimation. However, the FTD-FRFT method shows significant noise amplitude in the fractional spectrum, which indicates poor suppression performance for impulsive noise.

To further compare the performance of four methods under low GSNR, the fractional spectrum of the noisy signal and its projection are constructed at GSNR = -3dB, where the red lines represent the accurate positions of peak point. When GSNR = -3dB, it can be observed from Figure 8 that the FTD-FRFT method fails to suppress the impulsive noise, and the peaks are submerged by the impulsive noise, resulting in inaccurate parameter estimation. However, Sigmoid-FPSD, PANT-LVD and FOTD-CFRFT methods can suppress the impulsive noise and achieve accurate parameter estimation.

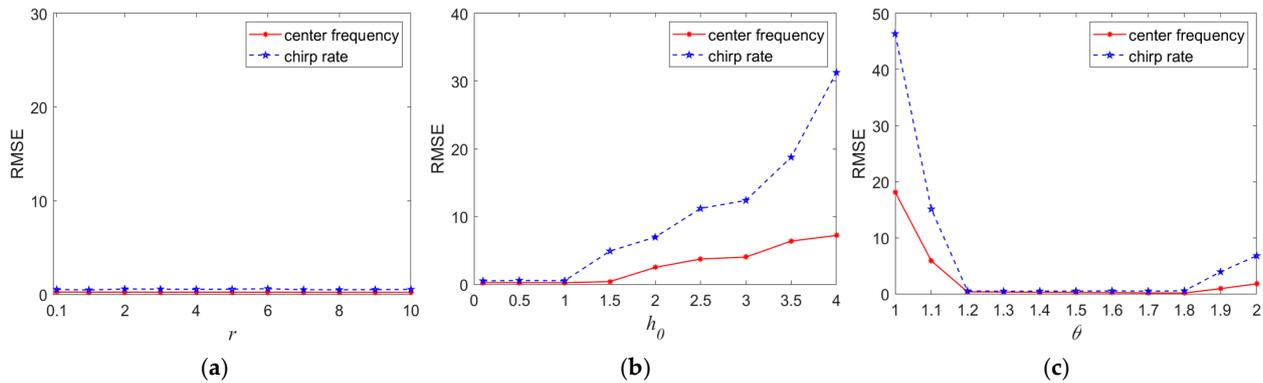


Figure 6. The parameter analysis of FOTD: (a) tracking factor  $r$ ; (b) filtering factor  $h_0$ ; (c) fractional derivative order  $\theta$ .

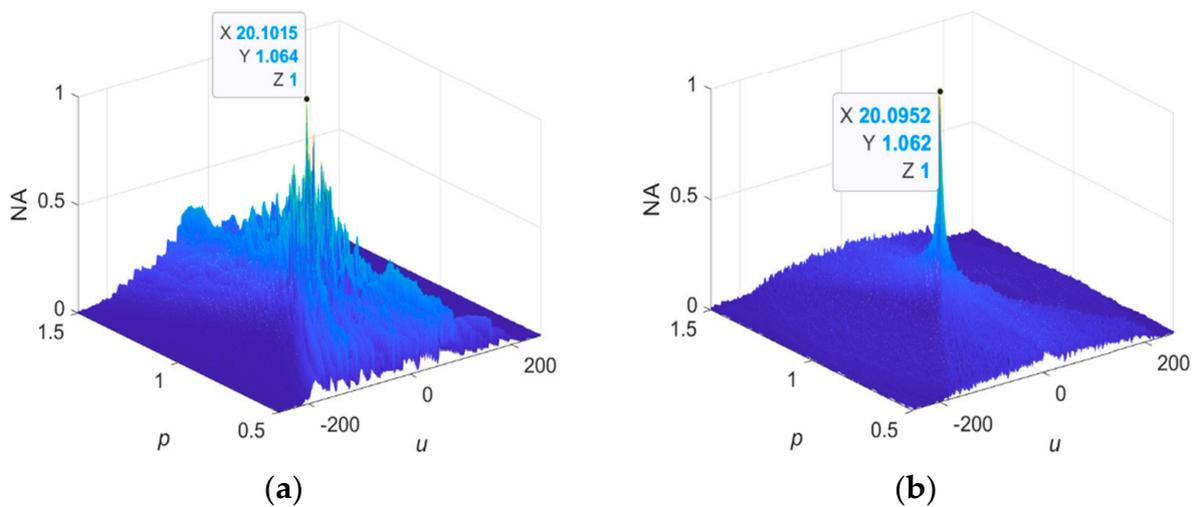
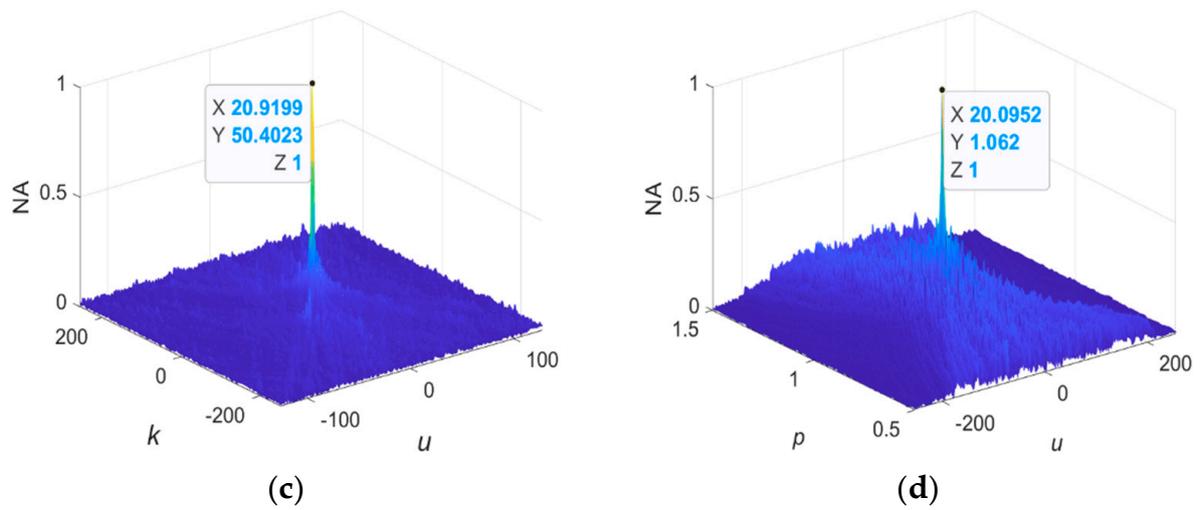
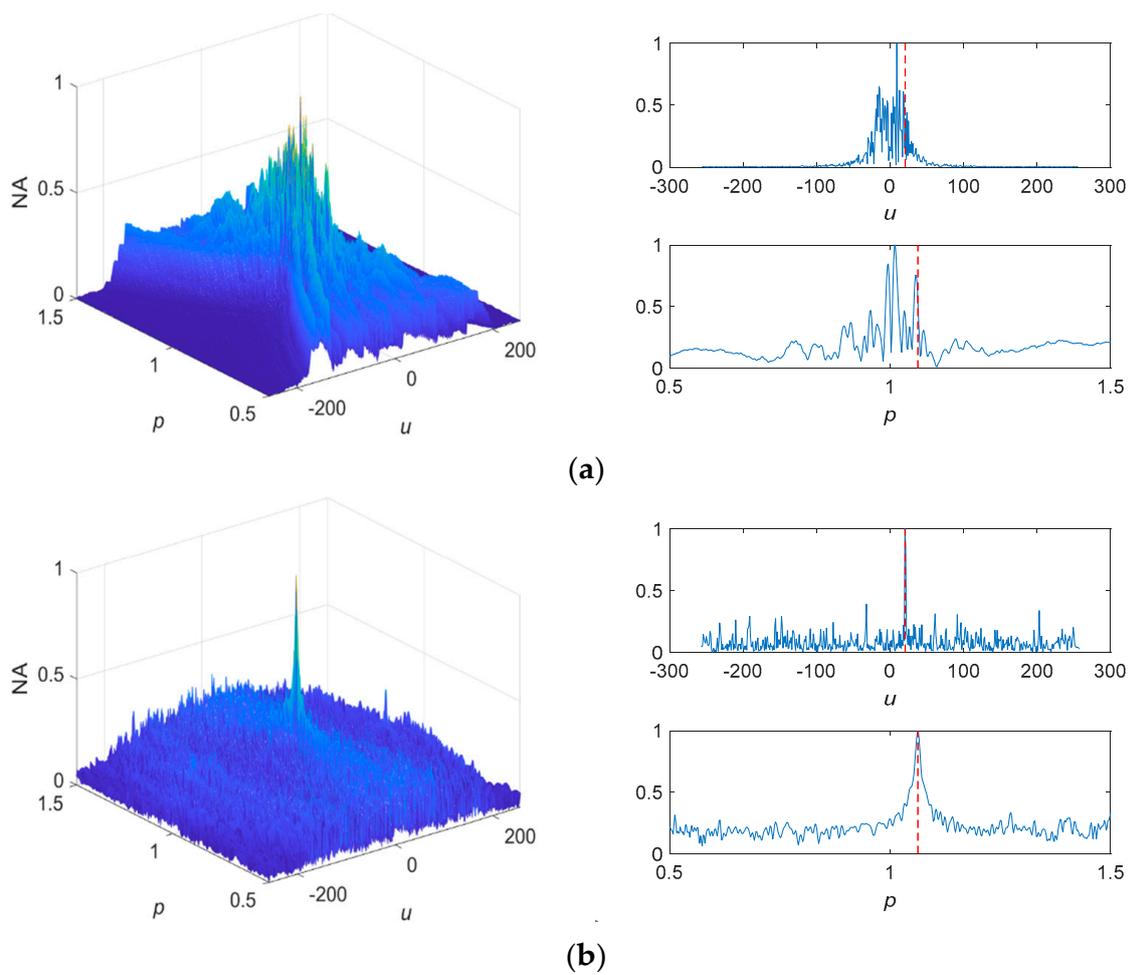


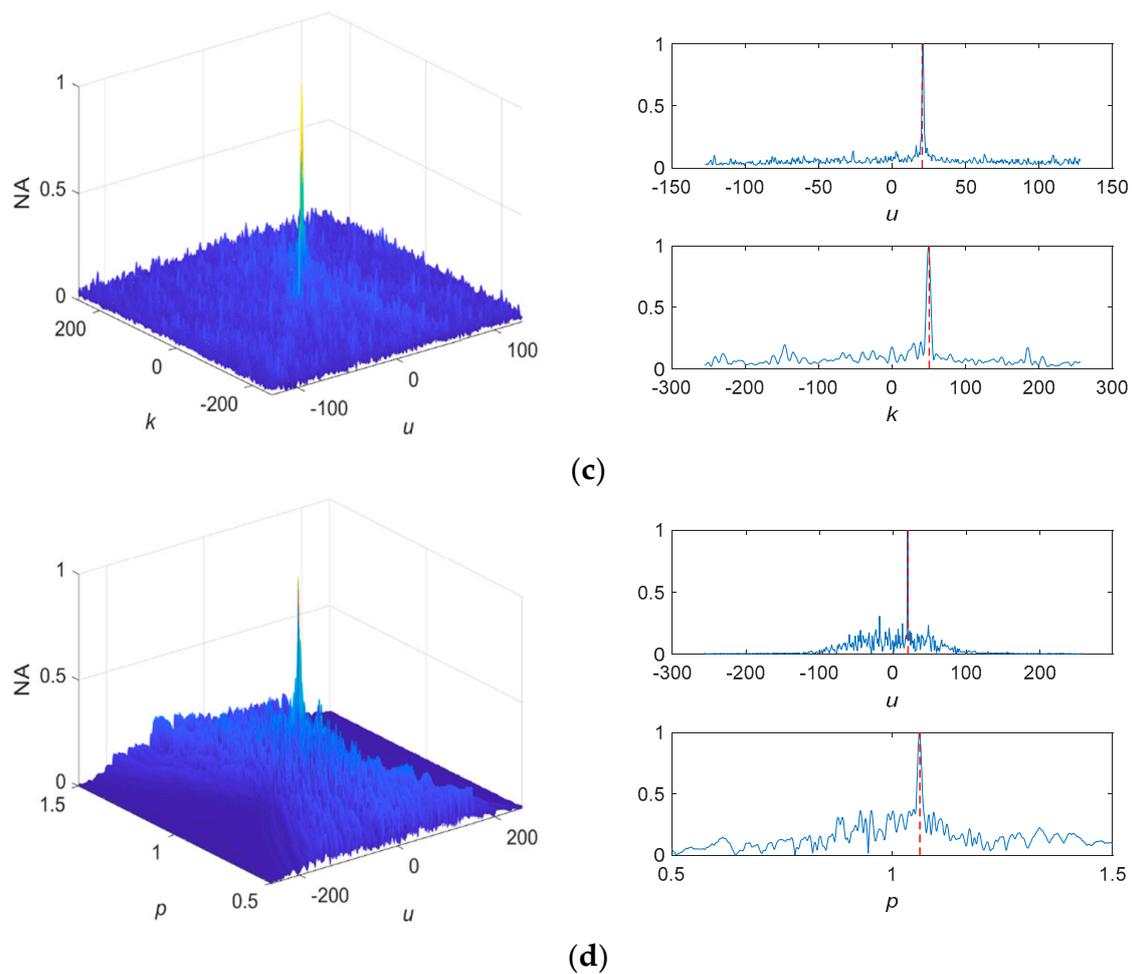
Figure 7. Cont.



**Figure 7.** The fractional spectrogram of the noisy signal (GSNR = 0 dB): (a) FTD-FRFT; (b) Sigmoid-FPSD; (c) PANT-LVD; (d) FOTD-CFRFT.



**Figure 8.** Cont.

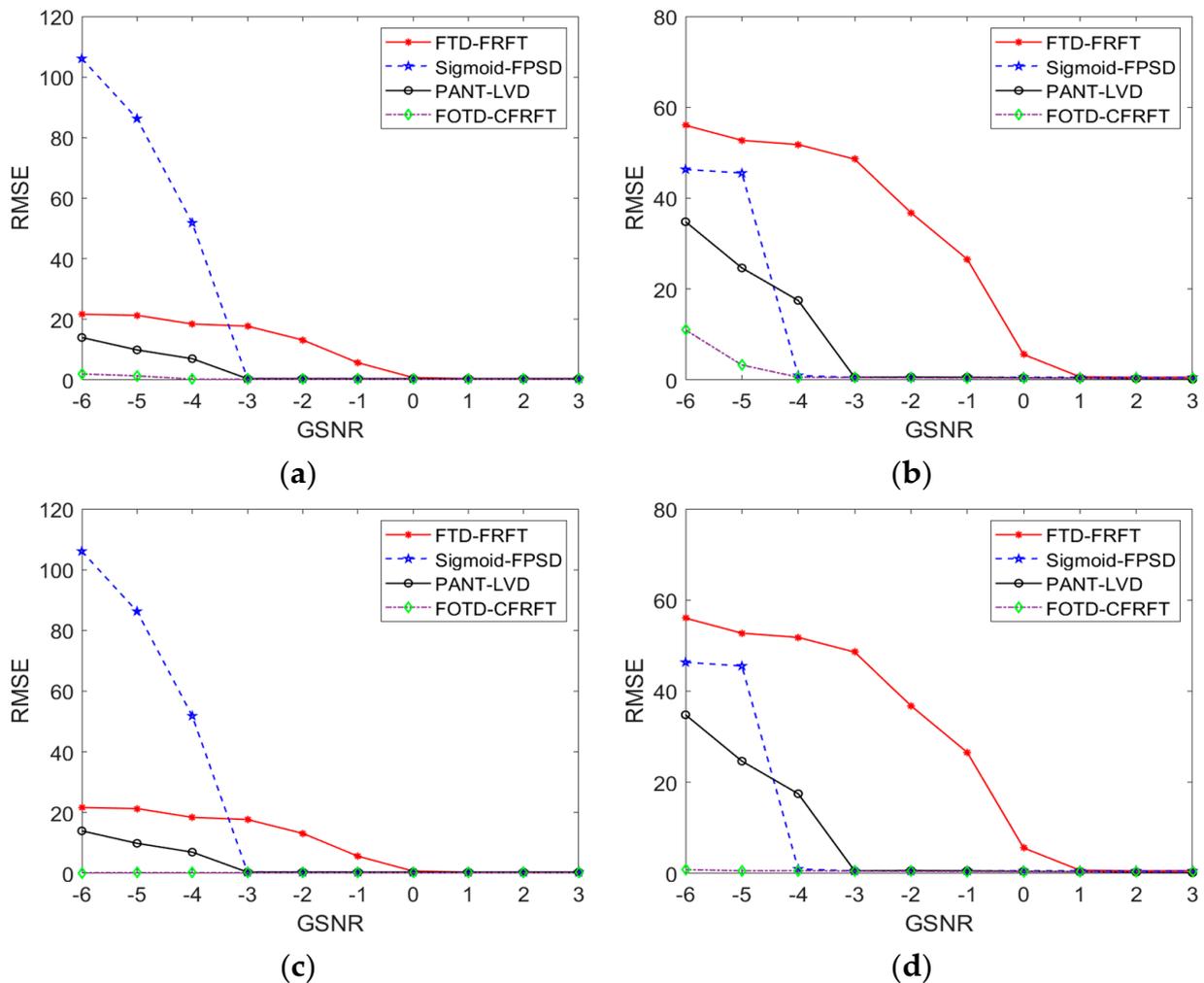


**Figure 8.** The fractional spectrogram of the noisy signal (GSNR =  $-3$  dB) and its projection: (a) FTD-FRFT; (b) Sigmoid-FPSD; (c) PANT-LVD; (d) FOTD-CFRFT.

Next, 100 Monte Carlo experiments are conducted at each GSNR level to calculate RMSE with  $\alpha = 1.2$ ,  $\beta = 0$ ,  $a = 0$ , the average values of estimation results at different GSNR are presented in Table 1. It is evident from Table 1 that the FOTD-CFRFT method exhibits superior accuracy at high GSNR compared to the other three methods, whereas it remains effective when the other three methods fail to accurately estimate the parameters at low GSNR. To visually compare the performance of four methods at different GSNR levels, the RMSE of the estimated parameters for four methods are shown in Figure 9. From Figure 9a,b, it can be seen that the FTD-FRFT method can accurately estimate the LFM signal parameter when  $\text{GSNR} \geq 1$  dB, whereas other three methods can accurately estimate the LFM signal parameter when  $\text{GSNR} \geq -3$  dB. The FOTD-CFRFT method has a much smaller RMSE over the other three methods, which indicates that the FOTD-CFRFT method has stronger noise robustness. However, when GSNR decreases to  $-6$  dB, the estimation accurate of the FOTD-CFRFT method also drops sharply. The estimated parameters of 100 Monte Carlo experiments are shown as a scatter diagram in Figure 10, where the blue line represents the true IF of LFM signal. It can be observed that the FOTD-CFRFT method only has a small number of outliers occur in 100 Monte Carlo experiments, which means that the FOTD-CFRFT method has higher stability compared with the other three methods at low GSNR. Therefore, an outlier detection algorithm is applied to detect and remove these outliers for improving accuracy. Figure 9c,d show that the FOTD-CFRFT method combined with the outlier detection algorithm can effectively improve the accuracy of parameter estimation under low GSNR.

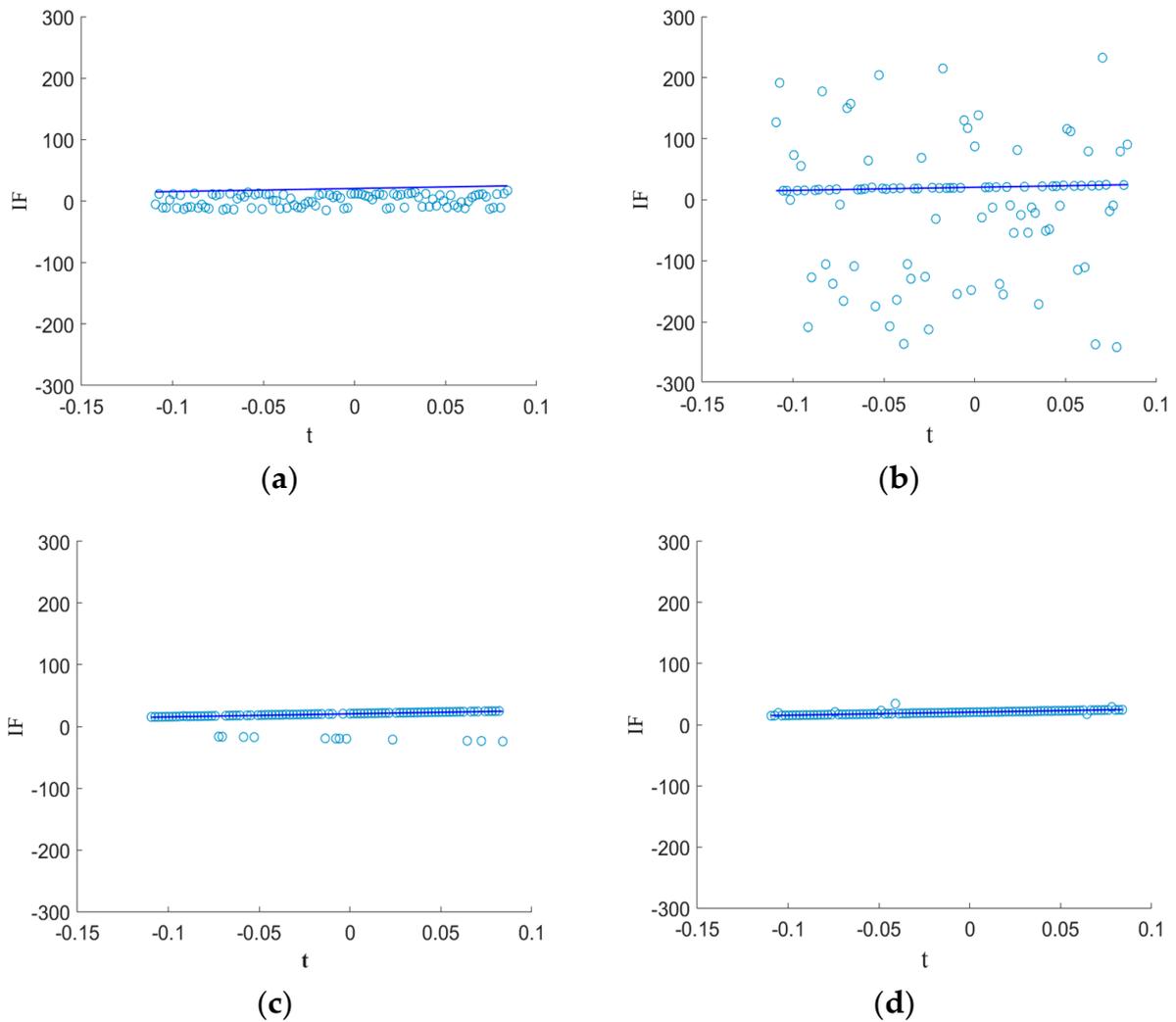
**Table 1.** The estimate values of the center frequency and chirp rate at different GSNR.

		−6 dB	−5 dB	−4 dB	−3 dB	−2 dB	−1 dB	0 dB	1 dB	2 dB	3 dB
$f_0$	FTD-FRFT	1.2102	2.2815	5.5838	7.6531	13.4799	18.5058	20.0341	20.0968	20.0952	20.0950
	Sigmoid-FPSD	−4.7484	11.7004	10.2649	20.2852	20.2182	20.1562	20.1863	20.1862	20.1058	20.1158
	PANT-LVD	16.0291	18.4695	19.6947	20.9199	20.9199	20.9199	20.9199	20.9199	20.9199	20.9199
	FOTD-CFRFT	20.2796	20.2574	20.2608	20.2617	20.2639	20.2652	20.2605	20.2603	20.2649	20.2646
$k$	FTD-FRFT	−3.5269	0.9849	1.3671	8.1601	25.9438	40.8245	49.4254	50.1678	50.0054	49.9729
	Sigmoid-FPSD	45.4743	54.6101	50.1517	50.1191	50.1191	50.1678	50.1840	50.1677	50.1516	50.1353
	PANT-LVD	37.9866	43.9949	47.0189	50.1228	50.0730	50.1329	50.2327	50.2826	50.3425	50.3924
	FOTD-CFRFT	50.0982	50.1338	50.0889	50.1279	50.1832	50.1652	50.1783	50.1738	50.2006	50.1938

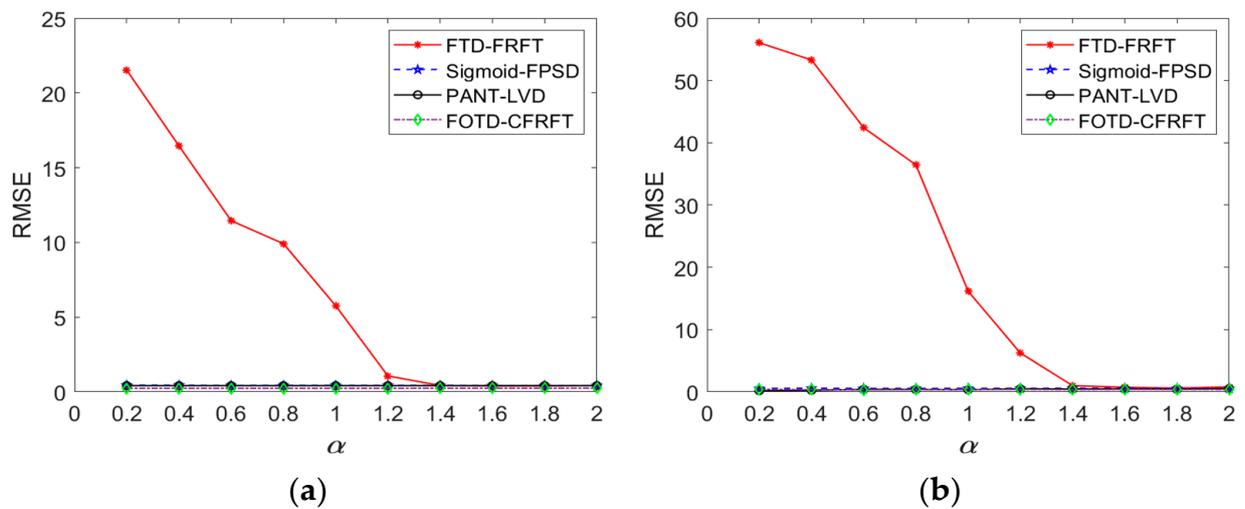


**Figure 9.** RMSE of estimated parameter for four methods: (a) center frequency; (b) chirp rate. (c) center frequency (with the outlier detection algorithm); (d) chirp rate (with the outlier detection algorithm).

The influence of parameter  $\gamma$  on the parameter estimation accuracy is discussed above, then the parameter  $\alpha$  is analyzed below, where  $\alpha$  is varied in  $[0.2, 2]$  at  $\text{GSNR} = 0 \text{ dB}$ . From Figure 11, it can be seen that the FTD-FRFT method can only estimate the parameter in a weak impulsive noise environment; its performance sharply declines under a strong impulsive noise environment. Different from FTD-FRFT, the other three methods can estimate the parameter under a strong impulsive noise environment, and RMSE is basically unaffected by the impulsive intensity. In summary, the proposed FOTD-CFRFT method is superior to Sigmoid-FPSD, PANT-LVD and FTD-FRFT methods in terms of noise robustness and stability.



**Figure 10.** The scatter diagram of estimated parameters in 100 Monte Carlo experiments (GSNR = - 6 dB): (a) FTD-FRFT; (b) Sigmoid-FPSD; (c) PANT-LVD; (d) FOTD-CFRFT.



**Figure 11.** RMSE of estimated parameter for different  $\alpha$ : (a) center frequency; (b) chirp rate.

### 4.2.2. Non-Standard S $\alpha$ S Distribution Noise

When the parameters  $\beta \neq 0$  or  $a \neq 0$ , the noise no longer obeys a standard S $\alpha$ S distribution, it is necessary to explore the parameter estimation performance under non-standard S $\alpha$ S distribution noise. Based on the properties of  $\alpha$ -stable distribution listed in Equations (4)–(7), the non-standard S $\alpha$ S distribution can be corrected to a standard S $\alpha$ S distribution if  $\alpha$ -stable distribution parameters are known. Therefore, the  $\alpha$ -stable distribution noise parameters need to be estimated first. Assume the noise obeys  $\alpha$ -stable distribution, denoted as  $X \sim S(\alpha, \beta, \gamma, a)$ , the specific correction methods are given by:

- (1) When  $a \neq 0, \beta = 0$ , the correction formula is

$$X - a \sim S(\alpha, 0, \gamma, 0) \tag{25}$$

- (2) When  $a = 0, \beta \neq 0$ , let  $X' \sim S(\alpha, -\beta, \gamma, 0)$ , the correction formula is

$$X + X' \sim S(\alpha, 0, 2^{1/\alpha}\gamma, 0) \tag{26}$$

- (3) When  $a \neq 0, \beta \neq 0$ , let  $X' \sim S(\alpha, -\beta, \gamma, 0)$ , the correction formula is

$$X + X' - a \sim S(\alpha, 0, 2^{1/\alpha}\gamma, 0) \tag{27}$$

The other parameters of noise are fixed as  $\alpha = 1.2, a = 0, \text{GSNR} = 0 \text{ dB}$ , the symmetric parameter  $\beta$  is varied in  $[-1, 1]$ . With different  $\beta$ , the RMSE of center frequency and chirp rate for four methods are shown in Figure 12. It can be seen that the FTD-FRFT method can accurately estimate the parameter for  $\beta = 0$ , the Sigmoid-FPSD method can accurately estimate the parameter when  $-0.4 \leq \beta \leq 0.4$ , the PANT-LVD method can accurately estimate the parameter when  $-0.2 \leq \beta \leq 0.2$ . The Sigmoid-FPSD and PANT-LVD methods have some adaptability to  $\beta$ , but they fail to accurately estimate the parameter when  $\beta$  changes significantly. Figure 12 indicated that the FOTD-CFRFT method combined with the correction method can effectively solve the parameter estimation under the non-standard S $\alpha$ S distribution noise. To visually demonstrate the impact of  $\beta$ , Figure 13 shows the fractional spectrum of tracked signal when  $\beta = 0.8$ . It can be seen that the FTD-FRFT, Sigmoid-FPSD and PANT-LVD methods cannot extract the fractional spectrum characteristic of LFM signal, and thus cannot accurately estimate the parameter. However, the proposed method combining FOTD-CFRFT and noise correction can accurately estimate the parameters of the LFM signal, indicating the effectiveness of the correction method.

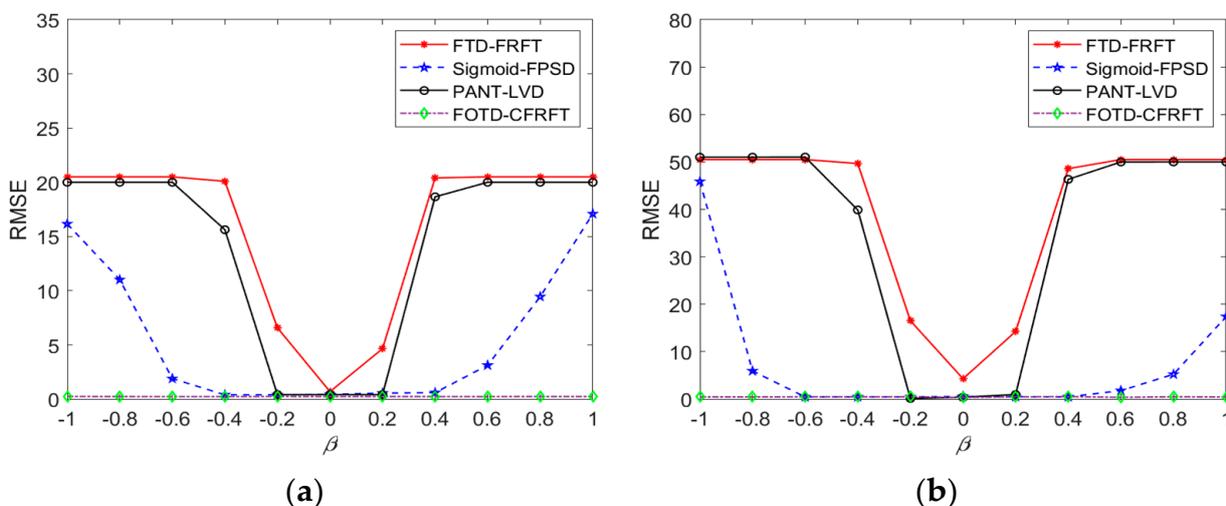
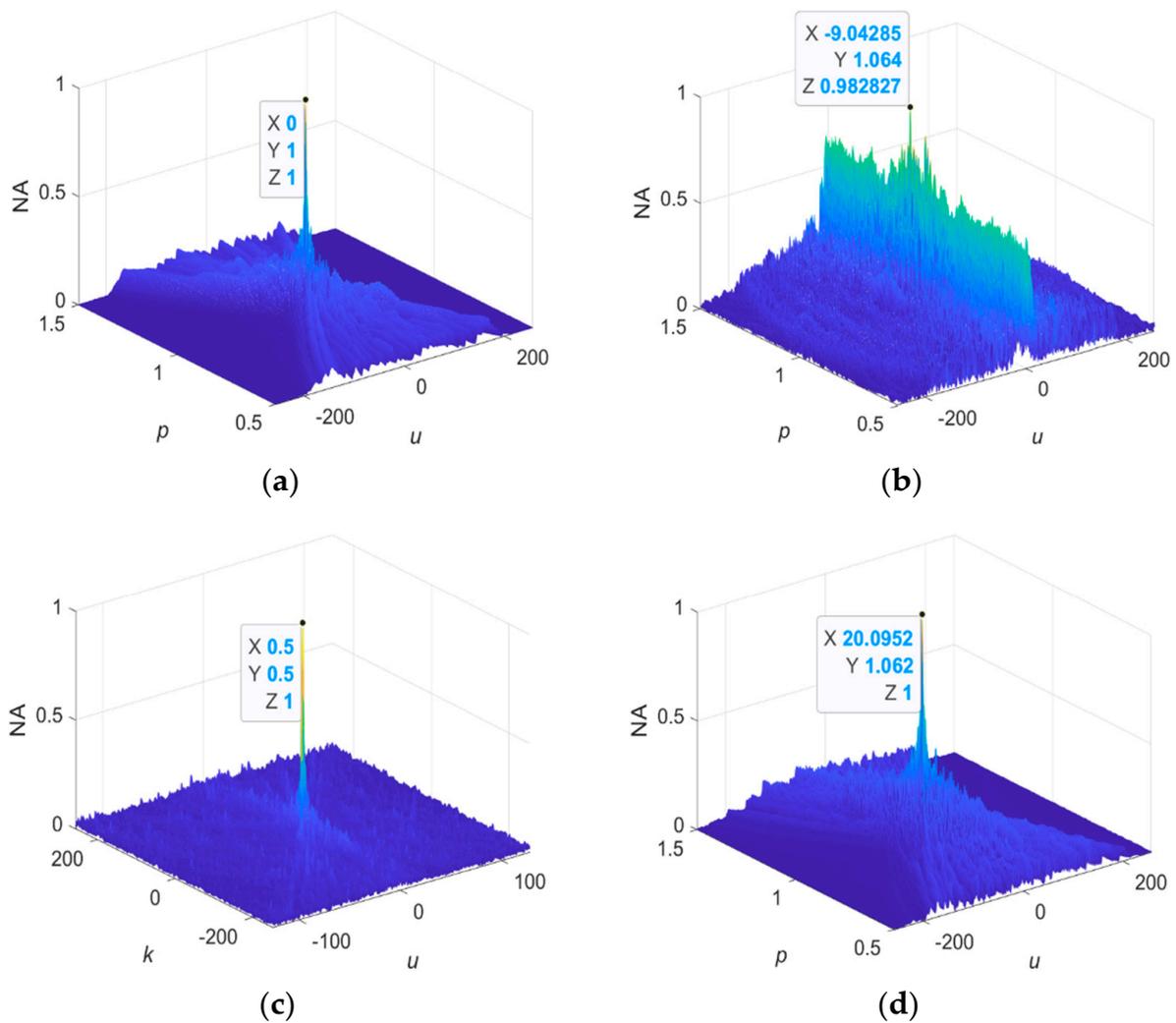
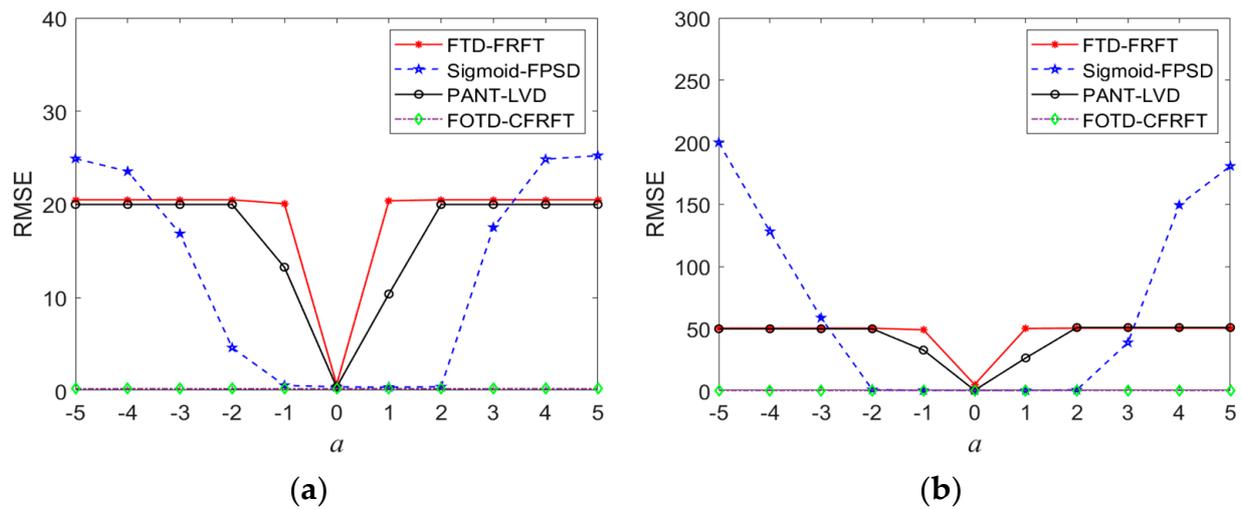


Figure 12. RMSE of center frequency and chirp rate for four methods at different  $\beta$ : (a) center frequency; (b) chirp rate.

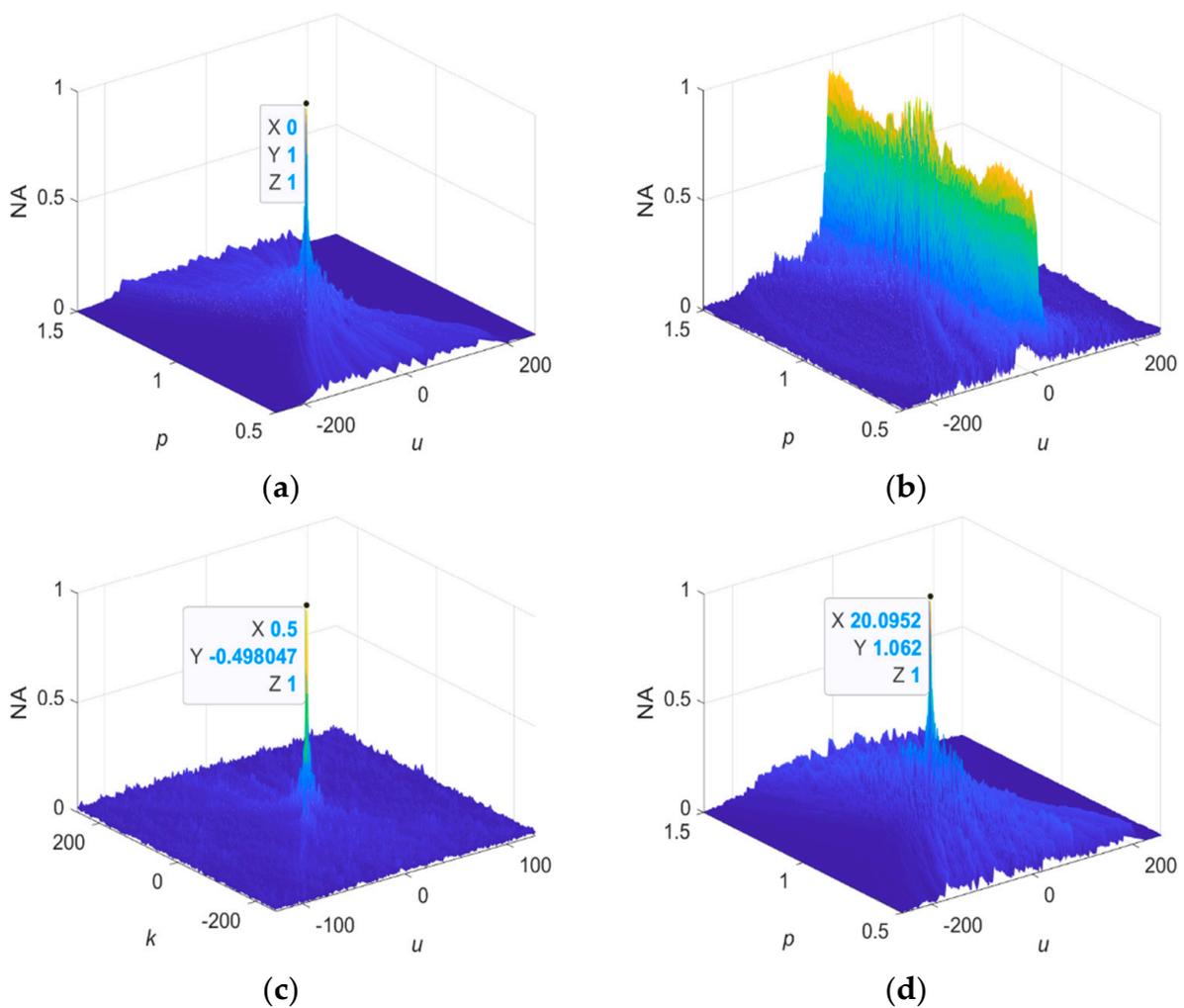


**Figure 13.** The fractional spectrum of the noisy signal when  $\beta = 0.8$ . (a) FTD-FRFT; (b) Sigmoid-FPSD; (c) PANT-LVD; (d) FOTD-CFRFT.

In addition, the other parameters of noise are fixed as  $\alpha = 1.2$ ,  $\beta = 0$ ,  $\text{GSNR} = 0$  dB, Figure 14 shows the RMSE of parameter estimation when the parameter  $a$  varies in  $[-5, 5]$ . From Figure 14, the FTD-FRFT and PANT-LVD methods can accurately estimate the parameter only if  $a = 0$ . The Sigmoid-FRFT method can estimate the parameter when  $-1 \leq a \leq 2$ , which shows some adaptability to the variation in  $a$ . However, with the increase in  $a$ , the Sigmoid-FRFT method does not give an accurate estimation value. Combined with the noise correction method, the proposed FOTD-CFRFT achieves accurate parameter estimation for  $a \in [-5, 5]$ . With  $\alpha = 1.2$ ,  $\beta = 0$ ,  $a = 3$ ,  $\text{GSNR} = 0$  dB, the fractional spectrums of the tracked signal are shown in Figure 15. When  $a = 3$ , the noise is no longer a standard  $\text{S}\alpha\text{S}$  distribution noise; therefore, the other three methods fail to extract the fractional spectrum characteristic of the LFM signal. Due to the combination of noise correction, the FOTD-CFRFT method can still accurately extract the fractional spectrum characteristic of LFM signal, so as to achieve high precision parameter estimation under the non-standard  $\text{S}\alpha\text{S}$  distribution noise.



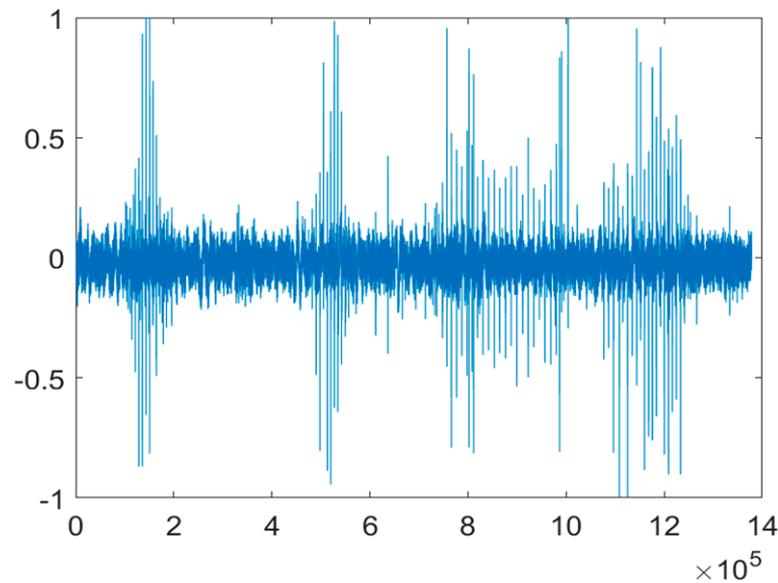
**Figure 14.** RMSE of center frequency and modulation frequency for four methods at different  $a$ : (a) center frequency; (b) chirp rate.



**Figure 15.** The fractional spectrum of the noisy signal when  $a = 3$ . (a) FTD-FRFT; (b) Sigmoid-FPSD; (c) PANT-LVD; (d) FOTD-CFRFT.

#### 4.3. Measured Noise Experiment

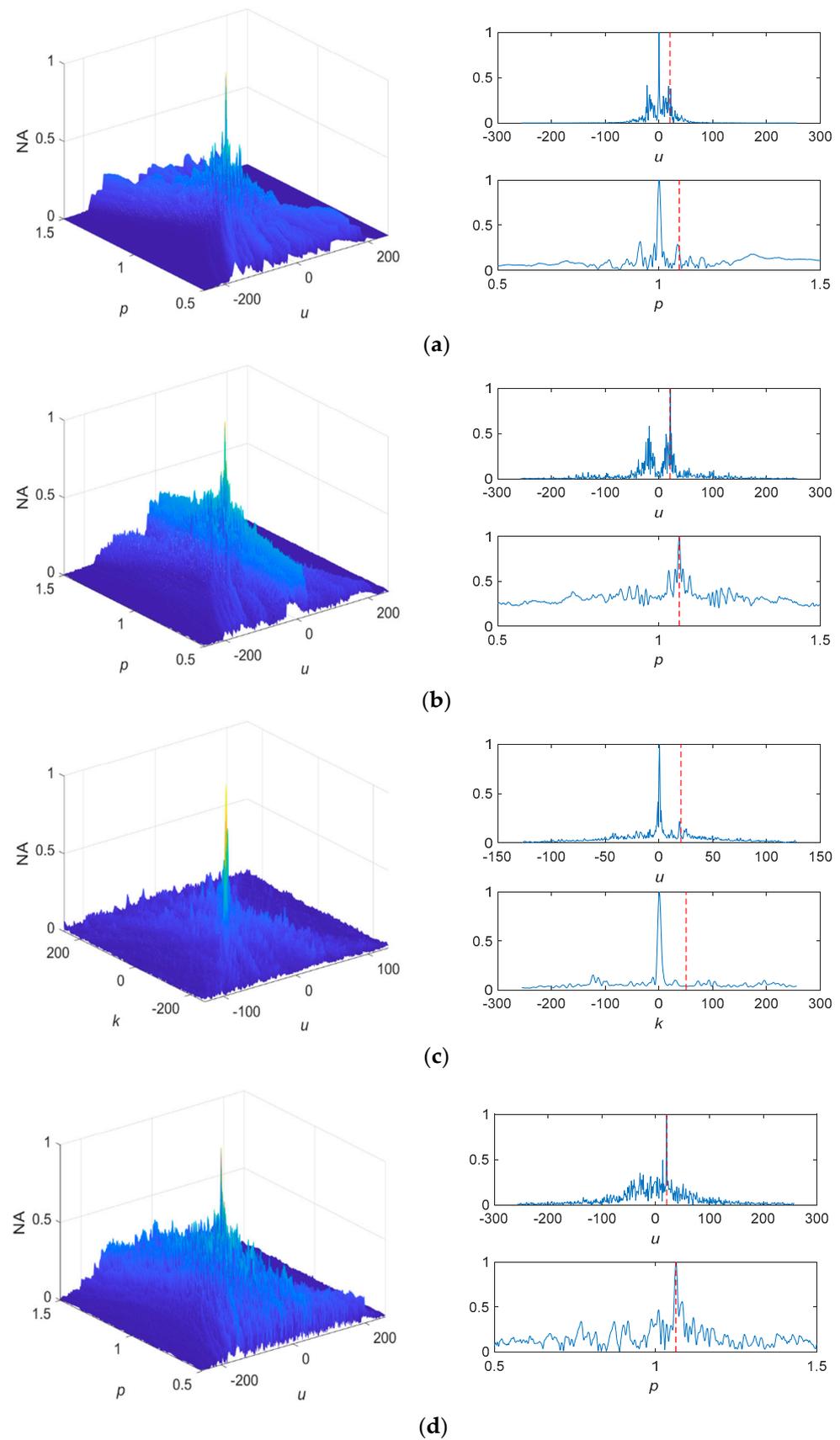
To verify the effectiveness of the proposed method in a natural noisy environment, a measured impulsive noise was obtained from killer whale echolocation clicks recorded in the Glacier Bay National Preserve (see Figure 16) [23]. A segment of the noise was extracted and added to the LFM signal. GSNR is varied by adjusting the noise amplitude.



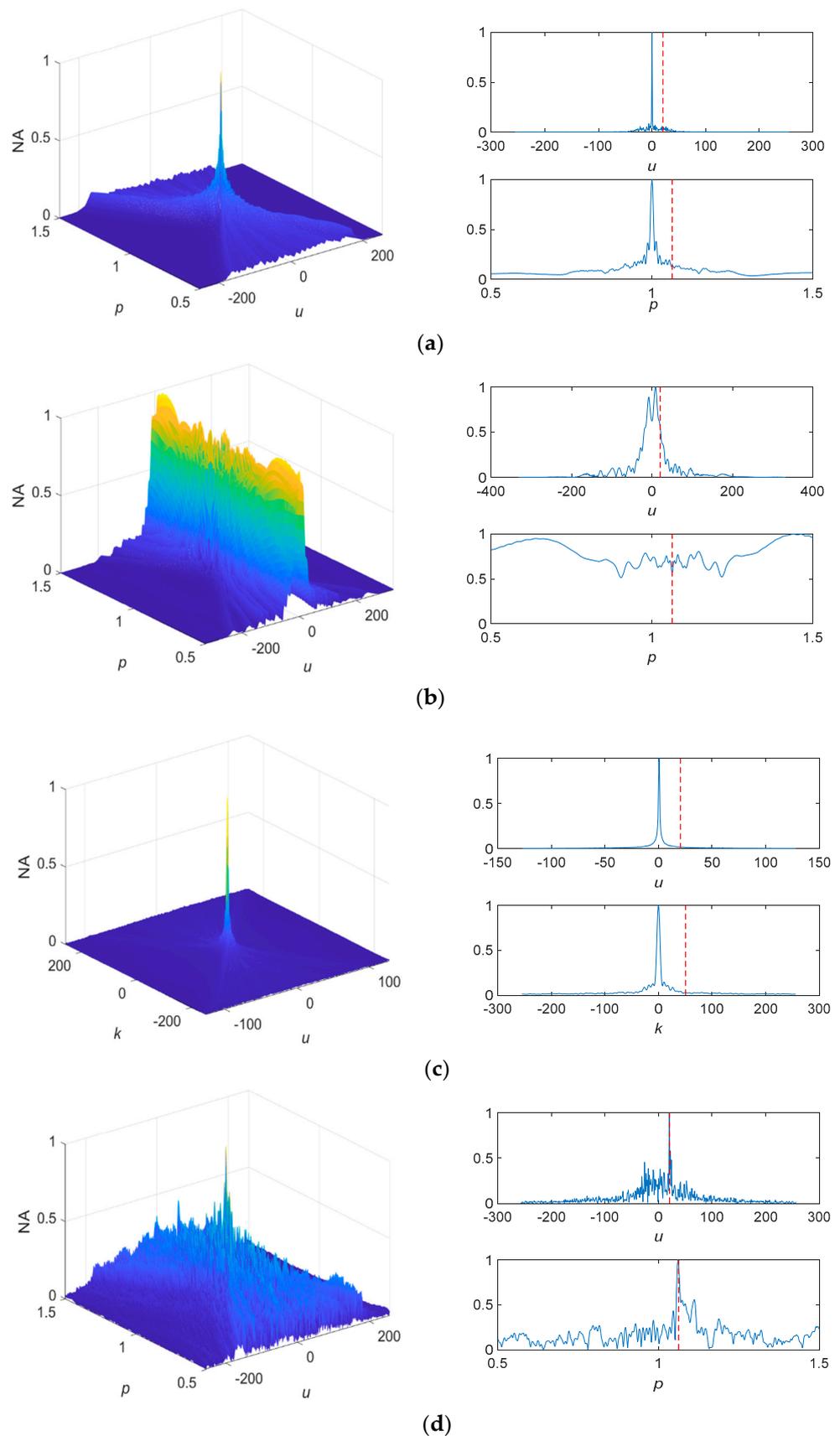
**Figure 16.** Measured impulsive noise.

To analyze the performance of four methods under weak impulsive noise, a segment of noise was extracted from the 352,000th point to the 352,512th point, and further added to LFM signal. At this time, the GSNR is approximately  $-1$  dB. Since most measured noises do not typically obey a standard S $\alpha$ S distribution, correction is required before parameter estimation. The noise parameters are estimated by using noisy signal, and the obtained parameters are  $\{\alpha, \beta, \gamma, a\} = \{1.97, -1, 1.22, -1.27\}$ . Then, the noisy signal is corrected using Equation (27). Based on four methods, Figure 17 shows the fractional spectrum of LFM signal and its projections under the weak measured impulsive noise. From Figure 17, it can be observed that the FTD-FRFT and PANT-LVD methods fail to accurately estimate the parameter, while the Sigmoid-FPSD and FOTD-CFRFT methods can accurately estimate parameter. In terms of accuracy, the estimated center frequency and chirp rate by the Sigmoid-FPSD method are 21.10 Hz and 50.02 Hz/s. The corresponding results obtained by the FOTD-CFRFT method are 20.33 Hz and 50.12 Hz/s, which have better accuracy than that of Sigmoid-FPSD.

To compare the performance of four methods under a strong measured impulsive noise environment, a segment of 1,125,000 points to 1,125,512th point is extracted and added to LFM signal. As shown in Figure 18, the FTD-FRFT, Sigmoid-FPSD and PANT-LVD methods are unable to accurately estimate the parameters. Similar to weak impulsive noise case, the estimated noise parameters are  $\{\alpha, \beta, \gamma, a\} = \{1.53, 0.43, 1.18, 6.18\}$ . The proposed FOTD-CFRFT combined with noise correction can accurately estimate the parameter, the estimated center frequency and chirp rate are 20.39 Hz and 49.94 Hz/s, respectively. In summary, compared with the other three methods, the FOTD-CFRFT method combined with the noise correction method also has a much better performance under the measured impulsive noise environment.



**Figure 17.** The fractional spectrum of LFM signal and its projections under the weak measured impulsive noise: (a) FTD-FRFT; (b) Sigmoid-FPSD; (c) PANT-LVD; (d) FOTD-CFRFT.



**Figure 18.** The fractional spectrum of noisy signal and its projections under the strong measured impulsive noise: (a) FTD-FRFT; (b) Sigmoid-FPSD; (c) PANT-LVD; (d) FOTD-CFRFT.

## 5. Conclusions

In this paper, a fractional-order tracking differentiator (FOTD) based on G-L fractional derivative and its discrete form is constructed. Additionally, FOTD is utilized to suppress large impulsive noise, and an LFM signal parameter estimation method under impulsive noise is proposed using FOTD-CFRFT, which effectively addresses the issue that traditional parameter estimation methods perform poorly in the presence of impulsive noise. The experimental results show that the proposed method can effectively suppress high impulsive noise through FOTD, and overcome the disadvantage that the performance of the similar FTD-FRFT method sharply decreases under strong impulsive noise and low GSNR environment. In addition, the proposed method exhibits higher stability and accuracy than the Sigmoid-FPSD and PANT-LVD methods under low GSNR. Finally, since most actual environmental impulsive noise typically obeys a non-standard S $\alpha$ S distribution, a correction method for non-standard S $\alpha$ S distribution noises is proposed, which successfully achieves accurate estimation of LFM signal parameters under measured impulsive noise.

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