

Article Development of Growth Estimation Algorithms for Hydroponic Bell Peppers Using Recurrent Neural Networks

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Abstract: As smart farms are applied to agricultural fields, the use of big data is becoming important. In order to efficiently manage smart farms, relationships between crop growth and environmental conditions are required to be analyzed. From this perspective, various artificial intelligence algorithms can be used as useful tools to quantify this relationship. The objective of this study was to develop and validate an algorithm that can interpret the crop growth rate response to environmental factors based on a recurrent neural network (RNN), and to evaluate the algorithm accuracy compared to the process-based model (PBM). The algorithms were trained with data from three growth periods. The developed methods were used to measure the crop growth rate. The algorithm consisted of eight environmental variables days after transplanting and two crop growth characteristics as input variables producing weekly crop growth rates as output. The RNN-based crop growth rate estimation algorithm was validated using data collected from a commercial greenhouse. The CropGro-bell pepper model was applied to compare and evaluate the accuracy of the developed algorithm. The training accuracies varied from 0.75 to 0.81 in all growth periods. From the validation result, it was confirmed that the accuracy was reliable in the commercial greenhouse. The accuracy of the developed algorithm was higher than that of the PBM. The developed algorithm can contribute to crop growth estimation with a limited number of data.

Keywords: CropGro; long short-term memory; paprika; process-based model; soilless culture

1. Introduction

Smart farming is about applying new digital technologies such as remote sensing [1], cloud computing [2], and the Internet of Things (IoT) [3] to agricultural fields. These digital technologies contribute to large amounts of data at unprecedented rates [4,5]. Based on the increasing number of data, many studies trying to utilize big data were conducted [6]. For the efficient use of big data from the agricultural fields, it is necessary to quantitatively analyze the complex, diverse, and unpredictable relationship between crop growth and environmental factors [7]. Many studies have been conducted using processbased models (PBMs) to analyze the relationship [8]. PBM consists of many modules that express various crop physiological processes (e.g., photosynthesis, respiration, biomass assimilation, biomass distribution, and stress response). As PBM aims to include all biochemical functions, various modules are subjected to interlinked calculations for even a single variable and calibration of many indexes is required [9,10]. In addition, it is important to partition crop organ biomass to accurately simulate models because PBM estimates biomass production through the distribution to each organ [11,12]. In these respects, PBM has limitations directly utilizing big data that have been automatically accumulated.

An artificial neural network (ANN) provides a way of analyzing complex, non-linear, and multidimensional datasets from big data [13], and can abstract quantitative relation-



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). ships from raw data [14]. ANN has been widely used in agricultural studies to analyze the biochemical and physiological characteristics for various crops [15–17].

Among ANN algorithms, the recurrent neural network (RNN) is the most promising for analyzing chronological data and displays better accuracy than previous algorithms [18,19]. RNNs have the advantage of inputting big data over long time periods and the length of output values is also theoretically unlimited [20]. With these advantages, RNNs have been adapted for agricultural purposes and showed higher accuracies than other algorithms [21–23]. The previous studies showed the adaptability of RNNs for environmental data but the RNNs were not trained to directly relate the environment and crop growth. As crop growth responses to the environment are determined by changes over the time of environmental factors, RNN would be appropriate to estimate the crop growth response for cumulative environmental changes. This study aims to develop an RNN algorithm to assess crop growth in response to various environmental factors in hydroponically grown bell peppers. In addition, the developed algorithm was validated by comparing it with an existing PBM.

2. Materials and Methods

2.1. Crop Growth Conditions for Algorithm Training

The data collecting for algorithm training were conducted in a Venlo-type greenhouse on the experimental farm of Seoul National University, Suwon, Korea (latitude, 37.3° N; longitude, 127.0° E) during 1 February to 1 June 2018 (growth period 1) and 1 December 2018 to 1 April 2019 (growth period 2), and in a Venlo-type greenhouse on the experimental farm of Nong Woo Bio Ansung, Korea (latitude, 37.0° N; longitude, 127.0° E) during 1 September to 1 December 2018 (growth period 3). The data collecting for validation is described in Section 2.4. The vents on the roof and sidewall were automatically opened when the temperature was higher than 26 °C during the day. Bell pepper seedlings (Capsicum annuum L. 'Sirocco') 40 days after sowing on rockwool cubes (Grodan delta, Grodan, Roermond, The Netherlands) in a seedling chamber were used. After two weeks of acclimatization to the irrigation system at an electrical conductivity (EC) of 2.0 dS/m, the seedlings with 5–6 nodes were transplanted into 0.9 * 0.15 * 0.07 m (L * W * H) rockwool slabs (Grotop GT Master Dry, Grodan, Roermond, The Netherlands) and placed on gutters with a plant density of 3.3 plants/m². The nutrient solution EC and pH were maintained at 2.6–3.0 dS/m and 5.5–6.5, respectively. The plants were pruned to maintain two main stems, which were vertically trellised to a 'V' canopy system [24].

2.2. Data Collection and Preprocessing

The environmental data in the greenhouse, such as solar radiation, temperature, and relative humidity, were measured using a pyranometer (SP-110, Apogee Instruments, Logan, USA), temperature sensor (CS220, Campbell Scientific, Logan, UT, USA), and relative humidity sensor (PCMini70, Gilwoo Trading, Seoul, Korea), respectively. The substrate's moisture content was measured using a multiple frequency domain reflectometry (FDR) sensor (WT1000B, Mi-Rae Sensor, Seoul, Korea) located in the middle of the substrate. Crop growth data such as leaf area (LA) and fresh weight were also collected. The leaf area (*LA*) of the crop was calculated by substituting the weekly measured leaf length (*L*), leaf width (*W*), and node numbers (*N*) for Equation (1) [25].

$$LA = -0.266 + 0.563 * L * W + 0.232 * N$$
⁽¹⁾

The fresh weight measurement system (Figure 1) [26] was designed to support the whole crop cultivation system and the weight of the whole system was measured using a tensile type of load cell. Furthermore, the water weight in the substrate was corrected with the FDR sensor to derive only the crop fresh weight. The crop fresh weight was measured at dawn (03:00–05:00) when the crop's relative moisture content was stable. With this method, the daily change in fresh weight was continuously collected. All of the environmental and growth characteristic data were normalized in the range of 0–1. The total data size is 59,168.



Figure 1. A schematic diagram of the fresh weight measuring system using the load cell and frequency domain reflectometry (FDR) sensors (**a**), and the actual installation of the fresh weight measuring system (**b**).

2.3. Recurrent Neural Network Application

The RNN has been used to analyze the crop growth rate response to various environmental factors. Among the various RNN algorithms, long short-term memory (LSTM) could solve the RNN vanishing gradient problem [20] and LSTM has a cell with several gates. In this study, input and output activation functions were set to the hyperbolic tangent functions and the gate activation functions were set to sigmoidal functions.

Environmental factors, crop growth factors, and days after transplant (DAT) were used as input data, while crop growth rate was used as output data. The environmental factors consisted of air temperature, relative humidity, light intensity of solar radiation, CO_2 concentration, and substrate moisture content. The crop growth factors consisted of leaf area index (LAI) and fresh weight. The crop growth rate was calculated as a weekly change in the fresh weight. The time step for the LSTM was set as seven days. AdamOptimizer was used for the algorithm training [27] and the hyperparameters for the LSTM and AdamOptimizer were empirically changed to solve regression problems (Table 1). The core of LSTM is also a neural network, thus the algorithm has a hidden layer in its structure. As LSTM does not require deep layers because of its time step, one hidden layer was set for the model structure. The number of nodes in the hidden layer was 64. Seventy percent of the total data were randomly selected and used for algorithm training, and the remainder of the data were employed for accuracy tests of the training results. A 5-fold cross test was conducted to include all the cultivation data [28]. The mean square error (MSE) was set as a cost for reducing the computation. In the model training, the cost for test data was also checked. To avoid overfitting, the trained model with the lowest MSE for the test data was selected as a best model. TensorFlow (v. 1.12.0, Google, Menlo Park, CA, USA) was used for computation and model construction.

Table 1. Hyperparameters for the recurrent neural network (RNN) and AdamOptimizer.

Parameter	Value	Description
Learning rate	0.001	Learning rate used by the AdamOptimizer
β1	0.9	Exponential mass decay rate for the momentum estimates
β2	0.999	Exponential velocity decay rate for the momentum estimates
E	$1 imes 10^{-0.8}$	A constant for numerical stability
Forget bias	1.0	Probability of forgetting information in the previous dataset
Time step	2–24	Number of datasets that the LSTM sees at one time

2.4. Crop Growth Conditions for Validation

Validation was conducted in Venlo-type glasshouses on a commercial farm located in Jinju, Korea (latitude, 35.1° N; longitude, 128.0° E) during 1 August 2017 to 1 June 2018. Environmental control and crop cultivation management in the greenhouse were performed similarly to the crop growth conditions for the algorithm development. The fresh weight of the crop was manually measured weekly for each organ. Equation (2) was used to compensate for the fresh fruit weight (*FFT*) that was dropped in a week.

$$FFW = 286.30 * WF^2 * LF + 1.136$$
⁽²⁾

where WF and LF are the fruit length and fruit diameter, respectively.

2.5. Evaluation of the Growth Rate Algorithm

A PBM (CropGro-Bell Pepper) was used to evaluate the accuracy of the algorithm. CropGro is a PBM platform that applies models to various crops, such as soybean, peanut, dry bean, faba bean, macuna, chickpea, cowpea, velvet bean, cotton, pasture, and tomato [29,30]. CropGro-Bell Pepper is a variant of the soybean model that reflects the bell pepper's genotype and ecotype. The model parameters (Tables 2 and 3) were calculated from the growth survey and calibrated with the GLUE coefficient estimator [31]. The weekly crop growth rate was estimated by using the environmental and crop growth factors collected during the growth period to validate the RNN algorithm. The fresh crop weight was calculated by integrating the estimated crop growth rate. Decision Support Systems for Agrotechnology Transfer v4.7 (DSSAT) [32,33] was used to simulate bell pepper growth rate and validate the PBM. As the crop growth rate from the PBM was based on dry matter, each organ (leaf, stem, root, and fruit) was calculated as fresh weight using the rate based on fresh weight and growth stage. The accuracy was evaluated by comparing the fresh crop weight estimated by the RNN algorithm and the PBM with the actual fresh weight.

Table 2. Genotype coefficients of bell pepper in CropGro-pepper.

Index	Description (Unit)		
PPSEN	Slope of the relative response of development to photoperiod with time		
	(positive for short-day plants) (1/h)		
EM-FL	Time between plant emergence and flower appearance (R1)		
	(photothermal days)		
FL-SH	Time between first flower and first pod (R3) (photothermal days)		
FL-SD	Time between first flower and first seed (R5) (photothermal days)		
SD-PM	Time between first seed (R5) and physiological maturity (R7)		
	(photothermal days)		
FL-LF	Time between first flower (R1) and end of leaf expansion		
	(photothermal days)		
ΙΕΜΑΥ	Maximum leaf photosynthesis rate at 30 $^{\circ}$ C, 350 vpm CO ₂ , and high light		
	$(\text{mg CO}_2/\text{m}^2/\text{s})$		
SLAVR	Specific leaf area of cultivar under standard growth conditions (cm^2/g)		
SIZLF	Maximum size of full leaf (three leaflets) (cm^2)		
CSDL	Critical short day length below which reproductive development		
CODE	progresses with no daylength effect (for short-day plants) (hour)		
XFRT	Maximum fraction of daily growth that is partitioned to seed + shell		
WTPSD	Maximum weight per seed (g)		
SFDUR	Seed filling duration for pod cohort at standard growth conditions		
	(photothermal days)		
SDPDV	Average seed per pod under standard growing conditions (#/pod)		
PODUR	Time required for cultivar to reach final pod load under optimal		
	conditions (photothermal days)		
THRSH	Threshing percentage: the maximum ratio of (seed/(seed + shell))		
	at maturity		
SDPRO	Fraction protein in seeds (g(protein)/g(seed))		
SDLIP	Fraction oil in seeds $(g(oil)/g(seed))$		

Index	Description (Unit)			
MG	Maturity group number for this ecotype, such as maturity group			
TM	Indicator of temperature adaptation			
THVAR	Minimum rate of reproductive development under short days			
PL-EM	Time between planting and emergence (V0), thermal days			
EM-V1	Time required from emergence to first true leaf (V1), thermal days			
V1-JU	Time required from first true leaf to end of juvenile phase, thermal days			
JU-R0	Time required for floral induction, equal to the minimum number of days for floral induction under optimal temperature and daylengths, photothermal days			
PM06	Proportion of time between first flower and first pod for first peg			
PM09	Proportion of time between first seed and physiological maturity in which the last seed may be formed			
LNGSH	Time required for growth of individual shells (photothermal days)			
R7-R8	Time between physiological (R7) and harvest maturity (R8) (days)			
FL-VS	Time from first flower to last leaf on main stem (photothermal days)			
TRIFOL	Rate of appearance of leaves on the mainstem (leaves per thermal day)			
RWIDTH	Relative width of this ecotype in comparison to the standard width per node			
RHGHT	Relative height of this ecotype in comparison to the standard height per node			
R1PPO	Increase in daylength sensitivity after R1 (h)			
OPTBI	Minimum daily temperature above which there is no effect on slowing normal development towards flowering (°C)			
SLOBI	Slope of relationship reducing progress towards flowering if TMIN for the day is less than OPTBI			

Table 3. Ecotype coefficients of bell pepper in CropGro-pepper.

2.6. Statistical Analysis

R², RMSE, and the d-statistic were calculated using a statistical program (SPSS, IBM, Armonk, NY, USA). The d-statistic used the Willmot index [34] as a measure of absolute accuracy. The Pearson correlation was used to compare crop growth rate and environmental factors. All figures were plotted using Sigmaplot (Systat Software, San Jose, CA, USA).

3. Results and Discussion

3.1. Variable Collection for Algorithm Training

Environmental data in the greenhouse were measured during three growth periods (1 September 2018–1 December 2018 for growth period 1; 1 December 2018–1 April 2019 for growth period 2; and 1 February 2018–1 June 2018 for growth period 3) as shown in Figure 2. The fruit yield and the abortion weight of the crop measured as fresh weight are shown in Figure 3. In growth period 3, the fruits were kept without harvest until the end of the data collection. The RNN algorithm estimated crop growth rate as negative because the fruit harvest caused a drastic reduction in fresh weights. To compensate the negative effects of the total fresh weight calibrated, weight was calculated by adding the weight of harvested or aborted fruits to the current value weights [35] (Figure 4). The crop growth rate was calculated using weekly changes in the calibrated weight and was applied as an algorithm. The LAI and crop-calibrated fresh weight exhibited sigmoidal growth period 2 (Figure 4). A drastic decrease in fresh weight occurred during growth period 2 (Figure 4c).



Figure 2. Environmental conditions (temperature, radiation, and CO₂ concentration) in the greenhouse during growth period 1 (1 February 2018–1 June 2018) (**a**,**b**); growth period 2 (1 December 2018–1 April 2019) (**c**,**d**); and growth period 3 (1 September 2018–1 December 2018) (**e**,**f**).



Figure 3. The weight of fruit yield and abortion at one-week intervals during growth period 1 (1 February 2018–1 June 2018) (a) and growth period 2 (1 December 2018–1 April 2019) (b).





Figure 4. Changes in fresh weight and LAI (**a**) as well as in the calibrated fresh weight (**b**) during growth period 1 (1 February 2018–1 June 2018); changes in fresh weight and LAI (**c**) as well as in the calibrated fresh weight (**d**) during growth period 2 (1 December 2018–1 April 2019); and changes in fresh weight and LAI (**e**) as well as in the calibrated fresh weight (**f**) during growth period 3 (1 September 2018–1 December 2018).

3.2. Crop Growth Rate Estimation of the Algorithm

The trained RNN algorithm estimated the actual crop growth rate similarly to the actual calibrated fresh weight (Figure 5). The d-statistic, indicating estimation accuracy, was 0.727, 0.806, and 0.748 for each growth period, respectively. From these results, the RNN algorithm could reliably estimate the actual crop growth rate. These results are similar to the previous studies that estimated the crop growth rate using ANNs [36–38]. While the accuracy in the previous study had been obtained by using a large amount of data from a large scale farm to train the ANN, the accuracy in this study used the data from a single greenhouse, but it was high due to the characteristics of RNN that interprets data chronologically.



Figure 5. Cont.



Figure 5. Comparison of the weekly crop growth rate measured by the fresh weight measuring system and estimated by the recurrent neural network (RNN) algorithm during growth period 1 (1 February 2018–1 June 2018) (**a**,**b**); growth period 2 (1 December 2018–1 April 2019) (**c**,**d**); and growth period 3 (1 September 2018–1 December 2018) (**e**,**f**).

During growth period 2, crop growth rate was dramatically reduced for about two weeks because the crop stem was broken (on 16 January 2019) (Figure 6). The physical injury increased the maintenance respiration [39,40], resulting in the reduction of the crop growth rate over several days. The RNN specializes in interpreting data with time intervals between input and output variables [41]. The RNN algorithm estimated the current crop growth rate based on input variables from the past week. The time interval between the input and output variables affected the estimation accuracy during crop physical injury and its recovery. The actual crop growth rate rapidly decreased after January 16 and then recovered after several days. However, the RNN algorithm estimated the growth rate higher than the actual growth rate (during 16–23 January) because the algorithm used input variables from 9–16 January. RNN, which can estimate current situations (output variables) with past data (input variables), has the advantage of analyzing the cumulative effect of environmental factors but is disadvantaged when immediately reacting to unexpected changes in conditions.





Figure 6. Comparison of the weekly crop growth rates measured by the fresh weight measuring system and estimated by the recurrent neural network (RNN) algorithm during the growth period from 10 January 2019 to 3 February 2019.

3.3. Calibration and Simulation of the PBM

The CropGro-bell pepper model was calibrated from the growth cultivation for algorithm training (Tables 4 and 5). The automatically calculated parameters were re-calibrated to match the previous study results [42]. The crop growth is divided into seven stages in the PBM and no further growth is estimated after the crop reaches the R7 stage. Additionally, when the photothermal day is above a particular value (e.g., past 200 days after the first flowers, leaves no longer expand [42]), it estimated that the growing point no longer develops, resulting in no appearance of new nodes [43]. However, because bell pepper continuously grows until the end of the growth period in greenhouse conditions, effects of these parameters should be minimized. Boote et al. [44] revised the infinite values of SD-PM, the time between the first seed (R3), physiological maturity (R7), and FL-VS (time from the first flower to the last leaf on the main stem) for greenhouse-grown tomatoes to represent the tomato growth curve as an infinite form. Therefore, SD-PM and FL-VS were set to 330 because the growth and development of bell peppers continued until the end of the measurement period.

Table 4. Calibrated genotype coefficients of bell pepper in CropGro-pepper.

Index	Value	Index	Value
PPSEN	0	CSDL	12.33
EM-FL	40	XFRT	0.6
FL-SH	10	WTPSD	0.007
FL-SD	15	SFDUR	40
SD-PM	330	SDPDV	150
FL-LF	200	PODUR	42
LFMAX	0.98	THRSH	6.5
SLAVR	275	SDPRO	0.3
SIZLF	350	SDLIP	0.05

Index	Value	Index	Value
MG	1	LNGSH	35
TM	1	R7-R8	0
THVAR	0	FL-VS	330
PL-EM	5	TRIFOL	0.35
EM-V1	10	RWIDTH	1
V1-JU	24	RHGHT	1
JU-R0	5	R1PPO	0
PM06	0	OPTBI	0
PM09	0	SLOBI	0

Table 5. Calibrated ecotype coefficients of bell pepper in CropGro-pepper.

The calibrated PBM was able to simulate the organ-specific growth of crops (Figure 7). In the early periods of the growth stage, the growth rate of roots was faster but then decreased, while the growth rate of stems and leaves continued to increase. From the first fruit development time, fruit growth was a major factor in the whole crop growth. Consequently, fruit sink strength is very high compared to that of bell pepper organs [45]. The ratio of dry–fresh weight was highest in fruits and lowest in roots (data not shown). In other crop organs, the dry–fresh weight ratio of organs did not change depending on the growth stage, except for stems for which the ratio was highest in the initial growth stage and constant from the middle growth stage. As the PBM calculated the crop growth rate based on dry weight, the fresh weight was computed with the dry–fresh weight ratio measured at every growth stage.



Figure 7. Dry weight of each organ estimated by the process-based model (PBM) with days after transplanting (DAT).

3.4. Validation and Evaluation of the Algorithm

The environmental variables collected in the validation were in the range of Figure 8. In the validation, the RNN algorithm estimated the crop growth rate with reasonable accuracy (Figure 9), although the accuracy was relatively low compared to the training test. The RNN algorithm could estimate the fresh weight with higher accuracy than the PBM (Figure 10). Furthermore, the RNN algorithm had a lower RMSE value and a higher d-statistic than the PBM. Although the amount of data used to optimize the RNN training was insufficient, the RNN algorithms developed were more accurate than the PBM. The RNN algorithm when using daily crop growth data could precisely reflect environmental conditions. In addition, the RNN algorithm estimated the crop growth for typical bell pepper cultivation periods (autumn–spring for validation), even from learning data collected during separate growth periods (winter–spring for periods 1 and 2 and autumn–winter for period 3). These results present the possibility of utilizing the data collected under various seasonal environmental conditions without necessarily continuing the completed cultivation to learn the RNN algorithm.



Figure 8. Environmental conditions in the greenhouse during validation periods from 1 August 2017 to 1 June 2018. Temperature, radiation, and CO_2 concentration (**a**), and relative humidity and substrate moisture content (**b**).



Figure 9. Comparison of the weekly crop growth rates measured by the fresh weight measuring system and estimated by the recurrent neural network (RNN) algorithm during the growth period from 1 August 2017 to 1 June 2018 for validation cultivation (**a**). The test accuracies of the algorithms (**b**).





Figure 10. Comparison of the calibrated fresh weights estimated by the recurrent neural network (RNN) algorithm and the process-based model (PBM) with days after transplanting (DAT) in growth validation periods.

3.5. Advantages and Limitations

Due to the demands of modeling and quantitative technical demands for big data collected from smart farms [46], research on estimating crop growth using machine learningbased algorithms is being attempted [16,47,48]. In this study, it was possible to estimate the crop growth rate in a single greenhouse with a reliable accuracy level using RNN. The developed algorithm improved the estimation accuracy compared to the current machine learning algorithm [36–38] as well as the existing PBMs.

These studies using crop growth data also have limitations. In PBMs, the parameters of the model are determined to reflect the physiological crop characteristics. In general, the process of estimating parameters may cause specific coefficients to fall outside the normal range. However, these parameters can be altered by manually calibrating them to more realistic values. Although many studies have shown that ANNs exhibit superior predictive powers compared to conventional approaches, they do provide little explanation for the relative influence of the independent variables in the prediction process. In addition, the RNN algorithm does not provide information on the growth of specific crop organs, such as leaves, stems, and fruits. Existing PBMs estimate the total biomass by obtaining the biomass distribution to each organ [11,12] and can estimate the target organ [10]. Therefore, for the practical application of the RNN algorithm, additional research that can continuously estimate the growth rate of each organ is needed.

4. Conclusions

RNN-based algorithms were developed to estimate the crop growth response to environmental factors. An RNN algorithm was designed to estimate crop growth, consisting of six environmental variables, two crop growth factors, and DAT as input variables, and produced a weekly crop growth rate with reliable accuracy. The RNN algorithm estimated crop growth with higher accuracy than the conventional PBM in the validation. The RNN algorithm can be used to analyze the relationship between crop growth and environmental factors, and estimate crop growth with a limited number of data. The RNN algorithm developed in this study enables the quantitative analysis of crop growth for the environment in greenhouses and we expect that this method can be useful for designing optimal technologies for environmental control in greenhouses. **Author Contributions:** Conceptualization, J.-W.L. and J.-E.S.; methodology, J.-W.L. and J.-E.S.; validation, J.-W.L. and T.M.; formal analysis, J.-W.L., T.M. and J.-E.S.; investigation, J.-W.L. and J.-E.S.; writing—original draft preparation, J.-W.L.; writing—review and editing, J.-E.S.; supervision, J.-E.S.; funding acquisition, J.-E.S. All authors have read and agreed to the published version of the manuscript.

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