



Communication Iron(II) Complexes with Porphyrin and Tetrabenzoporphyrin: CASSCF/MCQDPT2 Study of the Electronic Structures and UV–Vis Spectra by sTD-DFT

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Abstract: The geometry and electronic structures of iron(II) complexes with porphyrin (FeP) and tetrabenzoporphyrin (FeTBP) in ground and low-lying excited electronic states are determined by DFT (PBE0/def2-TZVP) calculations and the complete active space self-consistent field (CASSCF) method, followed by the multiconfigurational quasi-degenerate second-order perturbation theory (MCQDPT2) approach to determine the dynamic electron correlation. The minima on the potential energy surfaces (PESs) of the ground (${}^{3}A_{2g}$) and low-lying, high-spin (${}^{5}A_{1g}$) electronic states correspond to the planar structures of FeP and FeTBP with D_{4h} symmetry. According to the results of the MCQDPT2 calculations, the wave functions of the ${}^{3}A_{2g}$ and ${}^{5}A_{1g}$ electronic states are single determinant. The electronic absorption (UV–Vis) spectra of FeP and FeTBP are simulated within the framework of the simplified time-dependent density functional theory (sTDDFT) approach with the use of the long-range corrected CAM-B3LYP function. The most intensive bands of the UV–Vis spectra of FeP and FeTBP occur in the Soret near-UV region of 370–390 nm.

Keywords: CASSCF; electronic structure; electronic spectra; iron(II) porphyrin; macroheterocycles

1. Introduction

Porphyrins and their metal complexes are promising materials for many high-technology industries, including the production of chemical sensors, photovoltaic devices, and microelectronic products.

Despite the fact that they were first obtained over 50 years ago [1], sufficiently stable synthetic iron(II) complexes with porphyrinoid ligands became available only decades later [2,3]. Since then, they have been intensively studied as components of many systems due to their remarkable properties.

Being analogs of the natural heme protein [2,4], these compounds exhibit high (photo)catalytic activity, especially in reactions involving oxygen [4–6]. On the one hand, the photosensitivity of the extended π -electron system of the macrocycle allows the use of iron porphyrins for light-harvesting devices [7]. On the other hand, due to the paramagnetic central atom, Fe(II) complexes have a non-zero spin and are promising candidates for building blocks in spintronic devices, ranging from molecular magnets to molecule-based spintronic and quantum information devices [8,9].

The value of the total spin strongly depends on the metal–ligand interaction. When switching from iron(II) porphyrin (**FeP**) to tetrabenzoporphyrin (**FeTBP**), the expansion of the π -electron system due to benzoannulation leads to an increase in the effective magnetic spin moment [8,9]. Note that the electronic structure of TBP is closer to that of porphyrin,



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). not phthalocyanine [10]. However, to the best of our knowledge, no detailed examination of the electronic structures of these compounds has been performed to date.

In this work, an investigation of the geometry and electronic structure of iron(II) complexes with porphyrin and tetrabenzoporphyrin has been performed within the framework of the complete active space self-consistent field/multiconfigurational quasi-degenerate second-order perturbation theory CASSCF/MCQDPT2 methodology. The analysis of the electron density distribution was carried out in terms of the quantum theory of atoms in molecules (QTAIM). The electronic absorption spectra were simulated with use of the simplified TD-DFT method and compared to the experiment for **FeTBP**.

2. Computational Details

The electronic structures of **FeP** and **FeTBP** in ground and low-lying excited states have been studied with the use of the complete active space self-consistent field (CASSCF) method followed by multiconfigurational quasi-degenerate second-order perturbation theory (MCQDPT2) calculations accounting for the dynamic electron correlation. Six electrons in five molecular orbitals consisting mainly of the 3d orbitals of Fe atom were selected for the active space. The doubly occupied orbitals, corresponding to the 1s orbitals of C, N, and Fe as well as the 2s and 2p orbitals of Fe were frozen in the MCQDPT2 calculations. The singlet electronic states of both the **FeP** and **FeTBP** complexes were found to possess a complex composition (see Table S1) and the relative energies were more than 107.5 kJ/mol and 119.0 kJ/mol, respectively (Table 1). In contrast, the wave functions of the low-lying triplet (${}^{3}A_{2g}$) and quintet (${}^{5}A_{1g}$) states are single-determinant; therefore, density functional theory (DFT) methods can be directly applied for further calculations.

Table 1. The relative energies (kJ/mol) of excited states from MCQDPT2 calculations.

State	ΔE, kJ/mol	State	ΔE, kJ/mol	State	ΔE kJ/mol	State	ΔE, kJ/mol
FeP		FeTBP		FeP		FeTBP	
$^{1}B_{1g}$	107.5	$^{1}B_{1g}$	119.0	$^{3}A_{2g}$	0	$^{3}A_{2g}$	0
$^{1}B_{2g}$	107.5	$^{1}B_{2g}$	120.6	³ Eg	15.8	³ Eg	11.1
${}^{1}E_{g}$	116.2	$^{1}A_{1g}$	120.6	${}^{3}B_{2g}$	79.8	${}^{3}B_{2g}$	71.5
$^{1}A_{1g}$	117.1	${}^{1}E_{g}$	123.1	³ Eg	137.7	³ Eg	132.6
$^{1}A_{1g}$	186.1	$^{1}A_{1g}$	194.6	$^{3}B_{1g}$	264.1	${}^{3}B_{1g}$	249.1
$^{1}B_{2g}$	220.2	$^{1}B_{2g}$	224.1	${}^{3}B_{1g}$	275.7	³ Eg	258.6
${}^{1}E_{g}$	232.6	${}^{1}E_{g}$	239.2	${}^{3}E_{g}$	275.8	${}^{3}B_{1g}$	259.7
$^{1}A_{1g}$	363.3	$^{1}B_{1g}$	348.1	$^{3}B_{2g}$	282.1	$^{3}B_{2g}$	266.1
${}^{1}B_{1g}$	367.5	$^{1}A_{1g}$	351.1	${}^{3}A_{2g}$	284.0	${}^{3}A_{2g}$	267.0
$^{1}B_{2g}$	381.2	$^{1}E_{g}$	357.7	0		0	
$^{1}E_{g}$	382.5		_	⁵ A _{1g}	23.9	⁵ A _{1g}	8.5
				${}^{5}E_{g}$	46.0	${}^{5}E_{g}$	26.7
				${}^{5}\mathrm{B}_{2\mathrm{g}}$	59.8	${}^{5}\mathrm{B}_{2\mathrm{g}}$	42.8
				${}^{5}B_{1g}$	290.6	${}^{5}B_{1g}$	261.8

The geometry parameters of the D_{4h} -structures of **FeP** and **FeTBP** corresponding to the minima on the PESs of the ${}^{3}A_{2g}$ and ${}^{5}A_{1g}$ electronic states were optimized using PBE0 functional and triple-zeta def2-TZVP basis sets [11] for all atoms taken from the EMSL BSE library [12–14].

All the above-mentioned calculations were performed with use of the Firefly QC package [15], which is partially based on the GAMESS (US) [16] source code.

The molecular models and orbitals demonstrated in electronic structure subsection were visualized by means of the Chemcraft program [17].

Electronic absorption (UV–Vis) spectra of **FeP** and **FeTBP** were simulated within the framework of the simplified time-dependent density functional theory (sTDDFT) approach [18] as implemented in the ORCA 5.0.3 software [19,20]. Long-range corrected

CAM-B3LYP functional [21] and all-electron def2-TZVP basis sets [11] were employed for the single-point energy calculations on the PBE0/def2-TZVP optimized geometries. Auxiliary Coulomb fitting def2/J basis sets [22] were used in conjunction with the RIJCOSX approximation [23] to accelerate hybrid DFT computations utilizing tighter-than-default DEFGRID3 integration grid. All calculations were performed assuming triplet ground states of **FeP** and **FeTBP** and using unrestricted Kohn-Sham (UKS) determinants. The excitations up to 8 eV were considered. The solvent effects were taken into account using the solvation model based on density (SMD) approach [24] with the default parameters implemented for the DMF solvent. The results of the sTDDFT calculations were visualized with use of the Multiwfn 3.8(dev) program [25].

3. Results and Discussion

3.1. Electronic Structure

The compositions of the wave functions for the ground and low-lying excited states of **FeP** and **FeTBP** are listed in Table S1. Table 1 contains the relative energies of excited states obtained from the MCQDPT2 calculations. Although according to the DFT calculations, the ${}^{5}A_{1g}$ electronic state is lower in energy than ${}^{3}A_{2g}$ (see Table 2) in the case of **FeTBP**, the MCQDPT2 method revealed that the ground state of both of the complexes **FeP** and **FeTBP** (Figure 1) is ${}^{3}A_{2g}$. Note that DFT only considers dynamical correlation, whereas CASPT2 takes both dynamical and static correlations into account.

Table 2. Internuclear distances (Å), valence angles (degrees), and relative energies (kJ/mol) of th
equilibrium D _{4h} structures calculated at PBE0/def2-TZVP level.

	FeP		Fel	ГВР
	³ A _{2g}	${}^{5}A_{1g}$	$^{3}A_{2g}$	⁵ A _{1g}
		Distances		
r(M–N)	1.990	2.054	2.014	2.077
$r(N-C_{\alpha})$	1.366	1.361	1.367	1.362
$r(C_{\alpha}-C_{\beta})$	1.432	1.438	1.442	1.447
$r(C_{\alpha}-C_m)$	1.380	1.392	1.375	1.386
$r(C_{\beta}-C_{\beta})$	1.354	1.357	1.397	1.402
$r(C_{\beta}-C_{\gamma})$	-	-	1.393	1.392
$r(C_{\gamma}-C_{\delta})$	-	-	1.380	1.381
$r(C_{\delta}-C_{\delta})$	-	-	1.402	1.401
$r(N \dots N)_{opp}$	3.980	4.108	4.028	4.154
r(N N) _{adi}	2.814	2.905	2.848	2.937
$r(C_m - C_m)_{opp}$	6.800	6.835	6.810	6.843
$r(C_m - C_m)_{adi}$	4.809	4.833	4.815	4.839
P ^a	21.967	22.020	21.932	21.982
Angles				
$\angle (NC_{\alpha}C_{m})$	125.4	125.2	125.7	125.6
$\angle (C_{\alpha}C_{m}C_{\alpha})$	125.4	127.1	125.6	127.8
$\angle (C_{\alpha}NC_{\alpha})$	105.5	107.5	107.0	108.9
ΔE	0	38.4	7.1	0

^a Perimeter of the coordination cavity, see the text below.

Analyzing Table S1, the complex composition of the low-lying singlet states wave functions of both metal complexes should be noted. In contrast, the wave functions of the ground states and the most low-lying high-spin states are single determinant. Hence, the geometry optimization along with force field and vibrational spectra computations were performed with use of the DFT method (at PBE0/def2-TVZP level) for the ${}^{3}A_{2g}$ and ${}^{5}A_{1g}$ electronic states. Table S1 shows that the unpaired electrons in the triplet (${}^{3}A_{2g}$) are localized in doubly degenerated e_g orbitals, while for the low-lying ${}^{5}A_{1g}$ state, the a_{1g} orbital is doubly occupied and the other active space orbitals are single occupied.



Figure 1. Molecular models of FeP and FeTBP with atom labeling.

Orbitals, which mainly contain the 3d orbitals of the Fe atom, were included in the CASSCF active space (Figure 2). Herein, the contribution of the macrocyclic ligand atomic orbitals (AOs) is small except for the b_{1g} molecular orbital (MO) (see Figure 2). Consequently, the crystal field theory can be used for the sequence of electronic states describing. The energy diagram of the CASSCF active space MOs is demonstrated in Figure 3 and indicates the unfavorableness of the b_{1g} MO occupation. Meanwhile, the a_{1g} , b_{2g} , and e_{g} MOs possess remarkably lower energy; therefore, their occupation is preferable for **FeP** and **FeTBP**, similar to the iron(II) complex with porphyrazine **FePz** [26]. The occupation of the active in the CASSCF calculations orbitals and sequences of the electronic states of **FeP** and **FeTBP** indeed resembles that for complexes with a porphyrazine core ligand.



Figure 2. Shapes of active CASSCF molecular orbitals of FeP.



Figure 3. Energy diagram of the active in the CASSCF calculation's molecular orbitals.

It should also be noted that the ${}^{5}A_{1g}$ state of **FeP** is 24 kJ/mol higher in energy compared to the ground ${}^{3}A_{2g}$ state (and only 8.5 kJ/mol higher in the case of **FeTBP**), while in the **FePz** [26], this difference is significantly larger and reaches ca. 105 kJ/mol. Therefore, the change of the nitrogen atom in the meso-position [26] by the CH group leads to the noticeable stabilization of the quintet state compared to the triplet; however, the latter still dominates.

3.2. Geometry Structure and Analysis of Electronic Density Distribution

According to our QC calculations, the investigated complexes **FeP** and **FeTBP** possess similar structures. Both molecules are planar and possess D_{4h} symmetry in their ground (³A_{2g}) and lower high-spin (⁵A_{1g}) electronic states.

The selected optimized structural parameters of these molecules are listed in Table 2.

The net atomic charges obtained by the PBE0/def2-TZVP approach for the ground and lower high-spin electronic states are presented in Table 3. It is worth mentioning that the use of the dispersion correction (PBE0-D3) [27] has almost no effect on the geometry: the largest difference does not exceed 0.002 Å and 0.1° for the internuclear bond distances and valence angles, respectively.

	Fe	eP	Fel	BP
	³ A _{2g}	⁵ A _{1g}	${}^{3}A_{2g}$	⁵ A _{1g}
Ν	-1.202	-1.254	-1.198	-1.249
Cα	+0.438	+0.448	+0.438	+0.448
C _β	-0.061	-0.063	-0.027	-0.028
Η _β	+0.063	+0.062	-	-
Ċm	-0.025	-0.033	-0.015	-0.024
H _m	+0.052	+0.051	+0.045	+0.044
Fe	+1.186	+1.355	+1.192	+1.360
Cγ	-	-	-0.027	-0.027
H_{γ}	-	-	+0.042	+0.043
C_{δ}	-	-	-0.034	-0.034
H_{δ}	-	_	+0.043	+0.043

Table 3. Net atomic charges (e) by PBE0/def2-TZVP calculations.

The size of the coordination cavity of the studied macrocycles can be described by the distances Fe–N, N . . . N, and C_m . . . C_m. These parameters are larger for the complex with tetrabenzoporphyrin **FeTBP** containing the fused phenyl rings. In contrast, the perimeter of the coordination cavity, defined as the sum of all N–C_{α} and C_{α}–C_m bond distances, is slightly larger for **FeP** than for **FeTBP**. The reason for this discrepancy is the difference in the C_{α}NC_{α} angle of the pyrrole rings. The significant elongation (~0.04 Å) of the C_{β}–C_{β}

bond length should also be noted in the case of **FeTBP** compared to **FeP**. Nevertheless, the net atomic charges for both complexes are almost the same. The largest difference was revealed for the C_{β} atoms with a minor negative charge. It has a higher absolute value in **FeP** due to the fact that the C_{β} atoms in this complex are bonded with hydrogens, while in **FeTBP**, they are slightly "robbed" by C_{γ} atoms.

Comparing the geometries of the ground $({}^{3}A_{2g})$ and lower high-spin $({}^{5}A_{1g})$ electronic states of both considered complexes, we should note the slight difference in the C–C and C–N bond distances except for C_{α} – C_{m} parameter. For the latter, a noticeable (~0.01 Å) increase is observed in the quintet state, ${}^{5}A_{1g}$, as compared to the triplet ground state, ${}^{3}A_{2g}$. At the same time, the Fe–N distances are significantly longer in the case of the high-spin state. In addition, the net charge of the metal has a more positive value, while for nitrogen, it becomes more negative (see Table 3). Furthermore, the angle $C_{\alpha}NC_{\alpha}$ is also larger in the case of the ${}^{5}A_{1g}$ states. The elongation of the Fe–N distance in the ${}^{5}A_{1g}$ state is caused by the occupation of the antibonding b_{1g} MO (Figure 2).

Compared to iron porphyrazine **FePz** [26], an appreciable increase in the coordination cavity size is observed in **FeP**. For example, the Fe–N distance in **FeP** is longer by 0.08 Å in the ground state ${}^{3}A_{2g}$. This can be related to a significant increase in $C_{\alpha}X_{m}$ (X=C for **FeP** and X=N for **FePz**) as well as the $C_{\alpha}X_{m}C_{\alpha}$ angle, since the C–C bond (in **FeP**) is longer than C–N (in **FePz**): 1.38 vs. 1.32 Å. Moreover, the bond distances of the pyrrole ring N– C_{α} and C_{β} – C_{β} have almost the same values as in **FePz** [26], but the perceptible shortening of the C_{α} – C_{β} bond is registered in **FeP**.

3.3. Electronic Absorption Spectra

According to the theoretical sTDDFT CAM-B3LYP SMD predictions (see Table 4), the most intensive bands of the UV–Vis spectra of **FeP** and **FeTBP** occur in the Soret near-UV region of 370–390 nm (see Figure 4). The corresponding excited states are formed by the electron transitions from the HOMO and HOMO–1 to LUMO and LUMO+1 orbitals (Figures 5 and 6). The spectrum of **FeTBP** additionally contains the weaker Q-band at ca. 635 nm that is missing in the spectrum of **FeP**. The theoretical predictions for **FeTBP** are qualitatively supported by the experimental spectrum recorded in DMF. This also holds if other hybrid DFT functionals (PBE0 and B3LYP) are used for the simulation of the spectrum (see Figure S1). Moreover, the shapes of the occupied frontier MOS (HOMO and HOMO–1) are similar in **FeTBP** and the previously studied **ZnTBP** and **CdTBP** complexes [28].



Figure 4. Normalized theoretical (sTDDFT) and experimental (for **FeTBP**) electronic absorption spectra. Gaussian broadening function with FWHM = 0.4 eV was used for the model spectra.

λ, nm	f	Composition (%)
FeP		
372.1	1.28	$\begin{array}{l} \alpha\text{-HOMO} \rightarrow \alpha\text{-LUMO} + 1 \ (27) \\ \alpha\text{-HOMO} \longrightarrow 1 \rightarrow \alpha\text{-LUMO} \ (25) \\ \beta\text{-HOMO} \longrightarrow \beta\text{-LUMO} + 1 \ (25) \end{array}$
364.8	1.33	$\begin{array}{l} \beta \text{-HOMO} & -1 \rightarrow \beta \text{-LUMO} + 1 \ (29) \\ \alpha \text{-HOMO} & -1 \rightarrow \alpha \text{-LUMO} + 1 \ (28) \\ \alpha \text{-HOMO} \rightarrow \alpha \text{-LUMO} \ (22) \end{array}$
FeTBP		
646.5	0.27	α -HOMO $\rightarrow \alpha$ -LUMO (64) β -HOMO $\rightarrow \beta$ -LUMO (23) α -HOMO—1 $\rightarrow \alpha$ -LUMO + 1 (7)
622.0	0.21	α -HOMO $\rightarrow \alpha$ -LUMO + 1 (42) β -HOMO $\rightarrow \beta$ -LUMO + 1 (35) β -HOMO—1 $\rightarrow \beta$ -LUMO (17)
401.2	1.38	α -HOMO—1 $\rightarrow \alpha$ -LUMO (51) β -HOMO—1 $\rightarrow \beta$ -LUMO (26) α -HOMO $\rightarrow \alpha$ -LUMO + 1 (9)
383.3	1.18	β-HOMO—1 → β-LUMO + 1 (41) α-HOMO—1→ α-LUMO + 1 (38) α-HOMO → α-LUMO (7)

Table 4. Calculated compositions of the selected excited states of **FeP** and **FeTBP**, corresponding transition wavelengths, and oscillator strengths.



Figure 5. MO level diagram for **FeP** and **FeTBP** complexes according to UKS CAM-B3LYP/def2-TZVP calculations.



Figure 6. Shapes of the frontier molecular orbitals of FeP and FeTBP complexes.

4. Conclusions

An investigation of the geometry and electronic structure of **FeP** and **FeTBP** was performed. The minima on the potential energy surfaces (PESs) of the ground (${}^{3}A_{2g}$) and low-lying high-spin (${}^{5}A_{1g}$) electronic states correspond to the planar structures of **FeP** and **FeTBP** with D_{4h} symmetry. According to the data obtained by the MCQDPT2 method, the wave functions of ground (${}^{3}A_{2g}$) and low-lying, high-spin (${}^{5}A_{1g}$) electronic states have a single-determinant form, while a complex composition can be noted for singlet-state wave functions. The size of the coordination cavity depends on the electronic state and is larger in the quintet state for both complexes as compared to the ground triplet state, mainly due to the occupation of the antibonding b_{1g} orbital in the former. The applicability of the crystal field theory (CFT) for the description of electronic states sequences was demonstrated. The most intensive bands in the electronic absorption spectra of **FeP** and **FeTBP** occur in the Soret near-UV region of 370–390 nm and correspond to the electron transitions from the HOMO and HOMO–1 to LUMO and LUMO+1 orbitals. The simulated spectrum of **FeTBP** is in qualitative agreement with that of the experiment.

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