


## Article

# Flywheel vs. Supercapacitor as Wayside Energy Storage for Electric Rail Transit Systems

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**Abstract:** Energy storage technologies are developing rapidly, and their application in different industrial sectors is increasing considerably. Electric rail transit systems use energy storage for different applications, including peak demand reduction, voltage regulation, and energy saving through recuperating regenerative braking energy. In this paper, a comprehensive review of supercapacitors and flywheels is presented. Both are compared based on their general characteristics and performances, with a focus on their roles in electric transit systems when used for energy saving, peak demand reduction, and voltage regulation. A cost analysis is also included to provide initial guidelines on the selection of the appropriate technology for a given transit system.

**Keywords:** electric rail transit system; energy storage system; flywheel; peak demand reduction; supercapacitor; voltage regulation

## 1. Introduction

Electric rail transit systems are continuously developing, in order to provide fast and reliable services to their ever-increasing customers. A critical challenge for electric rail transit systems is the recuperation of regenerative braking energy. Regenerative braking energy is the energy produced by trains during deceleration. In other words, it is the energy mechanically stored in the rotor inertia, which can be converted back into electricity and injected into the rail during deceleration [1]. One possible solution of recuperating regenerative braking energy is through the installation of energy storage systems (ESS) next to the third rail (the power rail next to the running rail). ESS would capture this energy and later release it, when needed. Typical applications of recuperated energy include energy saving, peak demand reduction, and voltage regulation [2]. Different ESS technologies have been proposed and implemented in rail transit systems worldwide. For instance, a flywheel was installed in the Los Angeles Metro for energy saving, and a supercapacitor was installed in several European countries for energy saving and voltage regulation [3]. A sodium-sulfur (NA-s) battery was used in the Long Island railroad, and a Li-ion battery was used in the Philadelphia transit system [4]. Among these technologies, flywheel and supercapacitors show superior characteristics and performances, compared to other available technologies, in terms of power capacity and charge/discharge time.

In this paper, we investigated these two technologies and their applications in electric rail transit systems. A portion of a DC electric rail transit system was modeled in MATLAB/Simulink. The energy storage system was installed in a specific substation, and the performance of these two technologies in providing services, including peak demand reduction and voltage regulation, was tested and compared.

The rest of this paper is organized as follows: Section 2 describes flywheel energy storage (FESS) and supercapacitor energy storage (SESS), and compares their general characteristics. Section 3 presents a description of an electric rail transit system that was used as a case study in this paper. Section 4

presents case study and simulation results. Section 4.3 presents a cost analysis. Finally, Section 5 presents the conclusion.

## 2. Energy Storage Technologies

In this section, flywheel and supercapacitor technologies are described, and their general characteristics are compared.

### 2.1. Flywheel

Generally, a flywheel energy storage system consists of a rotating mass, a motor/generator set, bearings, containment, and a power electronic converter, as presented in Figure 1.

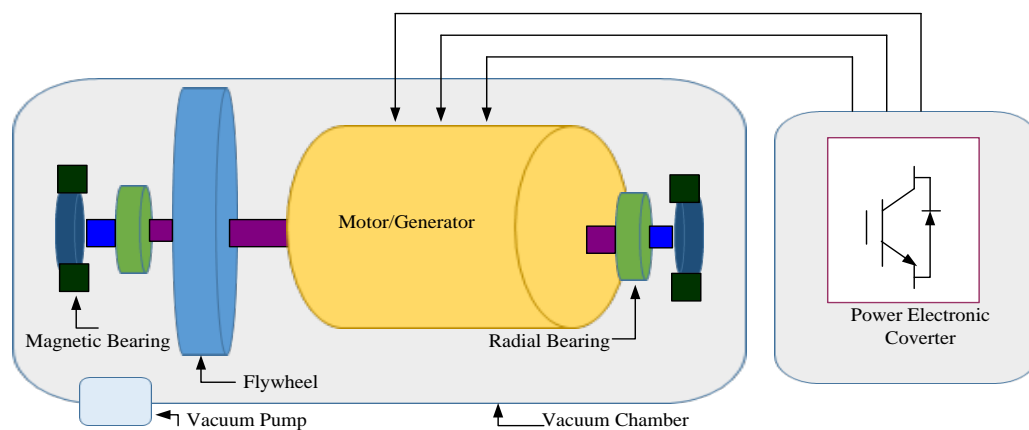


Figure 1. Flywheel structure.

Flywheel energy storages are classified into two main groups: low-speed (rotation speed below 10,000 rpm) and high-speed (rotation speed above 10,000 rpm). Low-speed flywheels are generally made of a metal rotor; and a mechanical, or combination of metal and magnetic, bearing. They have been commercially available for a long time and are mainly used for power quality enhancement. On the other hand, high-speed flywheels are made of a composite rotor and magnetic bearing. They are currently the focus of industrial and academic research and development. There are some commercially available examples of high-speed flywheels [5,6]. For instance, flywheels produced by VYCON Energy and Beacon Power are reported in the literature [7,8].

Flywheels store energy mechanically in a rotating mass. During the charging process, they speed up the rotating mass and slow it down during the discharging process. The amount of energy stored in a flywheel depends on the rotating mass inertia ( $J$ ) and the speed of rotation ( $\omega$ ), as follows:

$$E = \frac{1}{2} J \omega^2 \quad (1)$$

The operating speed of the flywheel is limited between  $\omega_{min}$  and  $\omega_{max}$  to avoid excessive voltage variation, and to limit the maximum torque applied to the electric machine [9]. The power of a flywheel is presented as follows:

$$P = \frac{T}{\omega} \quad (2)$$

where  $T$  is the torque and  $\omega$  is the speed of rotation. The amount of power that can be released by a flywheel depends on the rating of the machine and the power electronic interface.

The conversion of the energy (kinetic to electrical and vice versa) was accomplished using an electric machine. Different types of electric machines can be used in flywheel systems, such as the induction machine, permanent magnet synchronous machine, switched reluctance machine, and synchronous homo-polar machine [5].

To connect a flywheel to the grid, a power electronic converter is needed to control the operation of the machine and the power exchange between the grid and the FESS. Varieties of power electronic interfaces exist for interconnecting FESS. They are selected based on parameters, including the speed of rotation, electrical loading (AC or DC), response time, the need for high power or high energy, and parallel or series connection [10].

Flywheel energy storage is a strong candidate for applications that require high power for the release of a large amount of energy in a short time (typically a few seconds) with frequent charge and discharge cycles. These applications include grid application (frequency regulation and short-time power quality services), uninterruptable power supply (UPS), electric vehicle, rail transportation, and aerospace [5,10–12]. Examples of the application of flywheel energy storage in electric rail transit systems are presented in Table 1. It is worth mentioning that each project may have used different methods for energy saving.

**Table 1.** Application of flywheel energy storage in rail transit systems.

Location	Company	Size	Purpose	Results/Comment	Reference
Los Angeles Metro	VYCON	2 MW, 8.33 kWh	Energy saving	The total weekly saving reported as 10.5 MWh (11.5%)	[13]
Hanover (Germany)	Pillar	0.2 MW, 1.5 kWh	Energy saving	Tested in 2004 and showed energy saving of 462 kwh/year	[7,14]
London Underground	Urenco Power Technology	3 units of 100 kW	Energy saving	This was a testing trial done in 2000	[15]
Keihin Electric Railway (Japan)	-	3 MW, 25 kWh	Voltage regulation	12% energy saving was reported	[16]
Far Rockaway (NY)	Urenco Power Technology	1 MW	Peak demand	Energy saving of 7–25% was reported	[7,14]

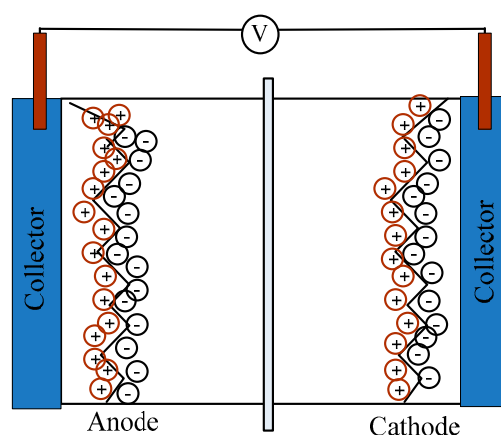
## 2.2. Supercapacitor

Supercapacitor is a general expression for a group of electrochemical capacitors, including pseudo capacitors, electrochemical double layer capacitors (EDLC), and hybrid capacitors [17]. The main difference between these groups of capacitors is the energy storage principle. For instance, pseudo capacitors use a mechanism called ‘faradic’, in which electric charges are transferred between the electrodes and the electrolyte. The EDLC type uses a non-faradic mechanism, in which no chemical reaction happens to transfer the charges between the electrodes and electrolyte. Hybrid capacitors use both faradic and non-faradic mechanisms [18–20].

The focus of this paper is on EDLC type capacitors. However, the word supercapacitor is used interchangeably with EDLC. Mostly, EDLC consists of two porous electrodes immersed in an electrochemical solution. A schematic of the supercapacitor energy storage system is presented in Figure 2. As illustrated, when voltage is applied to the electrodes, they absorb ions with opposite charges available in the electrolyte, and create a layer called the stern layer next to the electrodes. Electrodes also absorb some of the ions by Coulomb force. These ions create another layer called the diffuse layer near the surface of the electrode. This phenomenon is known as the double layer effect [5].

A general formula for a capacitor is presented as follows:

$$C = \epsilon_0 \epsilon_r \frac{A}{d} \quad (3)$$



**Figure 2.** Electrochemical double layer capacitors (EDLC) structure.

The same equation can be considered for supercapacitors. However, because of the porous characteristics of the electrode, the overall surface area of the capacitor ( $A$ ) is significantly larger. On the other hand, the distance between the two electrodes ( $d$ ) is in the nm scale. Therefore, the capacity of the supercapacitors grows much larger than conventional capacitors. This feature leads to an important characteristic, which is the high energy storing capability. The energy stored in the supercapacitor is presented as follows:

$$E = \frac{1}{2} CV^2 \quad (4)$$

Since a supercapacitor stores energy electrostatically, it can charge and discharge very quickly with minimal efficiency degradation. Supercapacitors also have high power capacity. Because of the special material (carbon, for example) used as an electrolyte, the equivalent resistance of a supercapacitor (ESR) is much lower than conventional capacitors. Assuming the supercapacitor voltage is limited to 50% of its rated value, the supercapacitor power is presented as follows:

$$P_{\max} = \frac{1}{4} \frac{V^2}{ESR} \quad (5)$$

Supercapacitor applications range from large scale grid applications to electric vehicles and small-scale applications, and are commonly used in electric rail transit systems. Examples of its application in electric rail transit systems are presented in Table 2.

**Table 2.** Application of supercapacitor energy storage (SESS) in rail transit systems.

Location	Voltage	Purpose	Comment	Ref
Seibu	1500 V	Energy Saving	-	[15]
Columbia	1650 V	Energy Saving	Maxwell 125 V modules were used	[15]
Tehran	-	Energy Saving	25% energy saving achieved	[21]
Brussels	850 V	Energy Saving	37% energy saving achieved	[22]
Toronto	600 V	Energy Saving	Sitra SESS by simense was used	[23]
Madrid	750 V	Voltage Improvement	Sitra SESS by simense was used	[23]
Beijing	750 V	Energy Saving	Sitra SESS by simense was used	[23]
Naples	750 V	Energy Saving	Integration of PV farm and SESS	[24]

### 2.3. Characteristic Comparisons

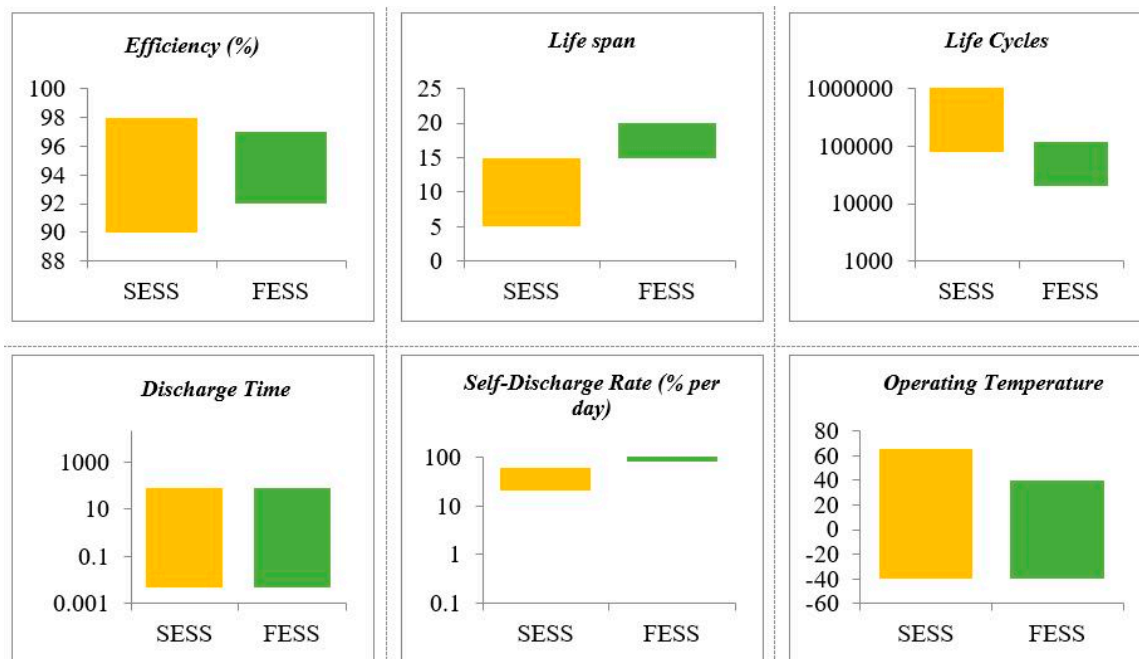
In this section, the general characteristics of flywheels and supercapacitors are compared. Table 3 shows the power and energy characteristics of these technologies [25–27].

**Table 3.** Power and energy characteristics of flywheel ESS and supercapacitor ESS.

Cases	kW/kg	MW/m <sup>3</sup>	Wh/kg	kWh/m <sup>3</sup>
Supercapacitor	0.5–5	0.4–10	2.5–15	150–500
Flywheel	1–5	1–2.5	10–50	20–80

A supercapacitor has less kW and Wh per unit weight. Supercapacitors may have a smaller MW per unit volume. However, a flywheel may have a smaller energy density per unit volume.

Figure 3 shows the characteristics of these technologies in terms of efficiency, lifespan, life-cycle, discharge time at rated power, self-discharge rate, and operating temperature. As illustrated, both technologies show promising characteristics in terms of efficiency (both above 90%). They also show similar characteristics in discharge times (both can discharge fast). However, the flywheel has a significantly larger life cycle (between 800,000–1,000,000 cycles) than the supercapacitor (20,000–100,000 cycles). In addition, in terms of life span, the flywheel shows superior characteristics (around 20 years) compared to the supercapacitor (between 5 and 15 years). On the other hand, supercapacitors have superior characteristics in terms of daily self-discharge rate and operating temperature compared to the flywheel.

**Figure 3.** Energy storage systems (ESSs) characteristics.

Other parameters that need to be compared include safety and environmental impacts. The most conspicuous safety issue of flywheel energy storage is rotor failure, due to the propagation of cracks over time. In such a case, a large fragment of the flywheel rotor can break apart during rotation. Having a large metal containment can prevent such a problem. In terms of environmental impact, flywheels produce heat and noise during operation. Using active cooling systems and deploying flywheels underground can considerably minimize these two problems.

For supercapacitors, there are minimal safety and environmental issues during normal conditions; however, if a supercapacitor's sealed containment is damaged, toxic materials may be released causing health issues. Additionally, the internal components of supercapacitors are combustible, and if a particular failure mode occurs, or if they are exposed to direct fire or an ignition source, they can decompose and produce toxic gases [13].

### 3. System under Study

To investigate the performance of FESS and SESS in the electric rail transit system, a portion of an electric rail transit system, including four passenger stations and three substations, was selected and simulated in the MATLAB/Simulink environment. A schematic of the system under study is presented in Figure 4.

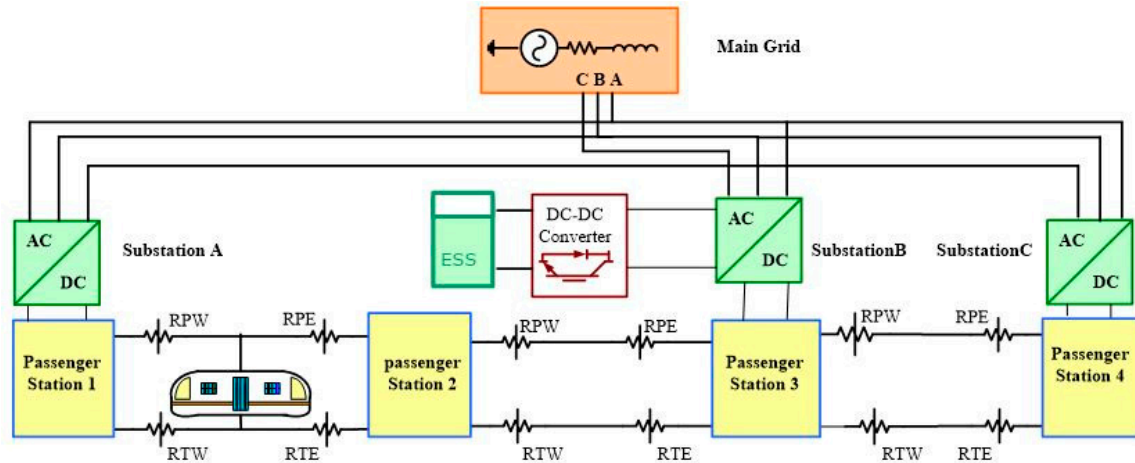


Figure 4. System Overview.

In this system, substations consisted of two parallel sets of transformers and unidirectional converters, which were responsible for converting the AC voltage (13 kV) from the utility grid to the DC voltage (650 V) desired by the transit system. Power and traction rails were modeled by variable resistors to represent train movement; their values changed based on the train position. A train was assumed to be moving from passenger station 1 to passenger station 4. Trains were modeled using a backward modeling approach. In this approach, the speed of the wheel was used as an input to the model using vehicle dynamic equations (Equations (6)–(11)), and the required torque and the angular speed from the gearbox was calculated. Using gearbox equations (Equations (12)–(14)), the required torque and speed from the motor drive was calculated. The schematic of the electric drive and its controller is presented in Figures 5 and 6, respectively. Using an electric drive motor, the power consumed by the train for each specific speed profile can be calculated as:

$$F_T - F_R - F_g - F_W = M_{Metro} \frac{dv}{dt} \quad (6)$$

$$F_R = f_R M_{Metro} g \cos \theta \quad (7)$$

$$F_g = M_{Metro} g \sin \theta \quad (8)$$

$$F_W = \frac{1}{2} C_w A \rho v^2 \quad (9)$$

$$T_w = \frac{F_T \times r}{4n_{cars}} \quad (10)$$

$$\omega_w = \frac{v}{r} \quad (11)$$

$$T_G = \frac{T_w}{\gamma_G} + \frac{B}{\gamma_G} \quad (12)$$

$$\omega_G = \omega_w \gamma_G \quad (13)$$

$$B = T_w (1 - \eta_G) \quad (14)$$



where  $F_R$ ,  $F_W$ , and  $F_g$  are the friction force, the force due to the wind, and the gravity force, respectively; which could be overcome by the tractive effort ( $F_T$ ) produced by the electric drives in each car.  $T_w$  and  $\omega_w$  are the required torque and angular speed of the wheel.  $M_{metro}$  is the weight of the train;  $f_R$  is the friction factor;  $g$  is the acceleration gravity; and  $C_w$ ,  $A$ , and  $\gamma$  are drag coefficient, the front area of the train, and air density, respectively. The velocity dependent part of the running resistance was considered in the rolling resistance coefficient. The number of cars and the radius of the wheels are presented by  $n$  and  $r$ , respectively.  $T_G$  and  $\omega_G$  are the torque and the speed of the gearbox.  $\eta_G$  is the gearbox efficiency,  $\gamma_G$  is the gearbox ratio, and  $B$  represents the vehicle losses.

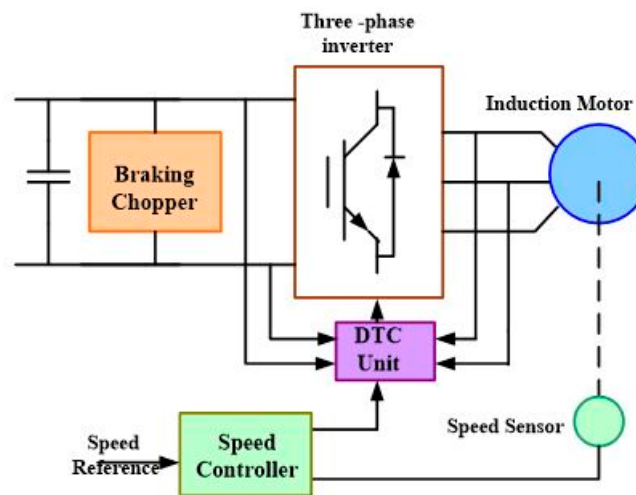


Figure 5. Electric drive schematic.

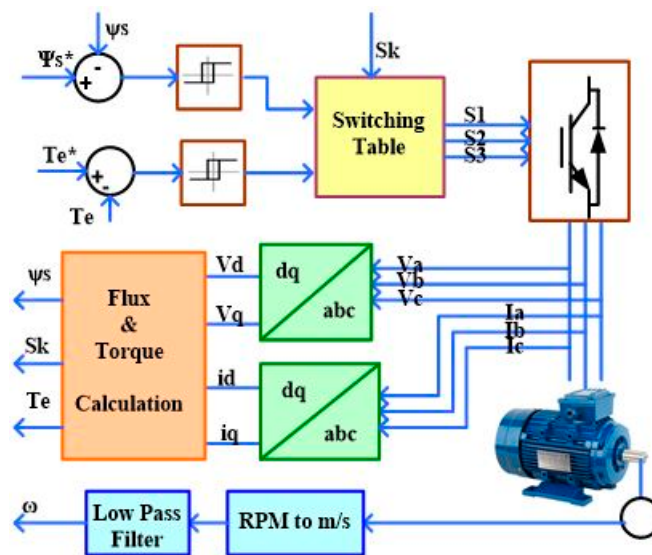


Figure 6. Electric drive control.

A train consisted of several connected cars, and each car had one or two electric drives that controlled the torque and the speed of the wheels, as presented in Figure 5. The model used in this paper was validated using real data from the New York City subway system. More information on system simulation can be found in the literature [14,15].

FESS and SESS were placed in the middle substation (substation B) to provide voltage regulation and peak demand reduction during the transit rush hours. The flywheel was modeled by additional inertia added to the permanent magnet synchronous machine, as presented in Figure 7 [15].

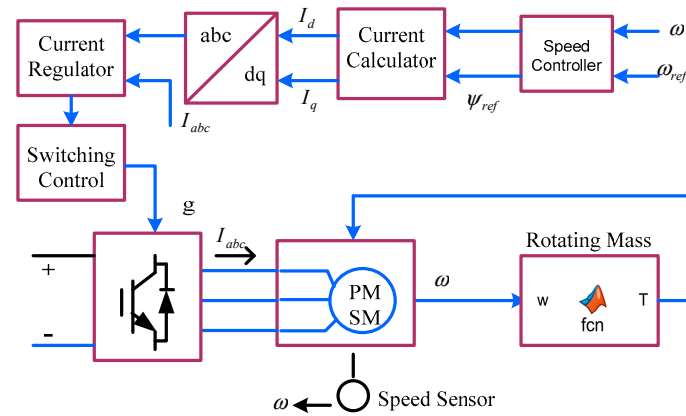


Figure 7. Flywheel model.

The supercapacitor was modeled by a controlled voltage source, as presented in Figure 8. The internal voltage of the supercapacitor is described as follows:

$$E_{SC(int)} = \frac{vN_s Q(t)d}{N_p N_e \epsilon \epsilon_0 A_i} + \frac{2N_e N_s R T}{F} + \sinh^{-1} \left( \frac{Q(t)}{N_p N_e^2 A_i \sqrt{8RT \epsilon \epsilon_0 c}} \right) \quad (15)$$

where  $N_s$  and  $N_p$  are the number of series and parallel capacitors,  $N_e$  is the number of electrode layers, and  $\epsilon$  and  $\epsilon_0$  are permittivity of material and air, respectively.  $R$  and  $T$  are ideal gas constant and operating temperature, respectively, and  $A_i$  is the interfacial area between electrodes and electrolyte. To connect SESS to the third rail, a bidirectional DC/DC converter was used. The schematic and the control circuit of this converter are presented in Figure 9 [16].

S1 only gets a turn on signal for charging, and S2 is turned on during discharging. More information about this type of bidirectional DC/DC converter can be found in the literature [28]. The ESS energy management unit was responsible for the command signal ( $I_{command}$ ) and worked based on some general rules, as follows:

If the voltage of the line at the point of connection ( $V_{PCC}$ ) of the ESS was greater than the voltage set for triggering the charging process, it would charge until the state of charge ESS reached an upper limit. Similarly, if the  $V_{PCC}$  was smaller than a specific limit set for discharging, the ESS would discharge until the state of charge reached a lower limit. For safe operation, the upper and lower limits were considered in this paper to be 90% and 40%, respectively. Other than these two conditions, the ESS remained idle.

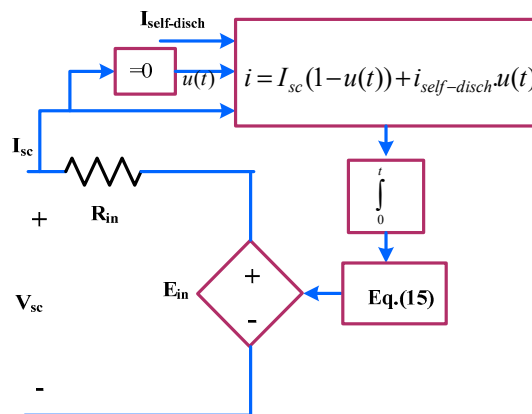


Figure 8. Supercapacitor model.



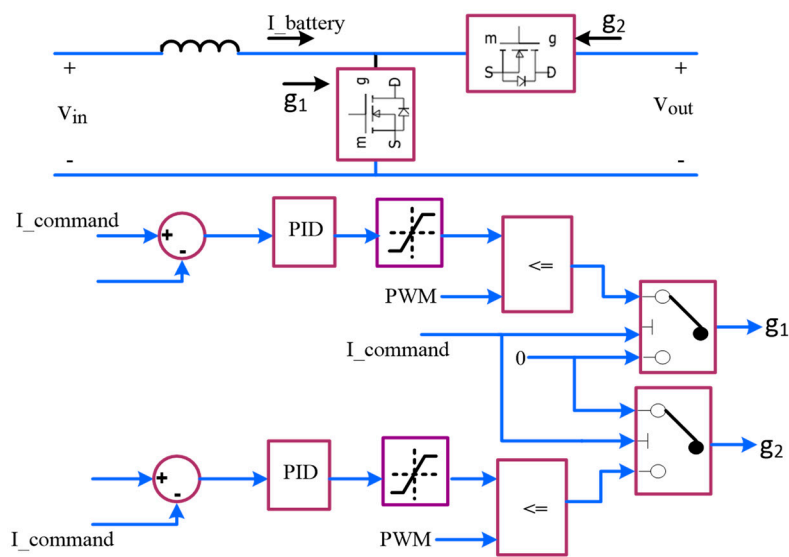


Figure 9. Bidirectional DC/DC converter model.

#### 4. Case Study

In this section, the performance of FESS and SESS for the application of peak demand reduction and voltage regulation is investigated and compared. The cost analysis is also presented for each technology in each application.

##### 4.1. Peak Demand Reduction

A typical train speed, current, power, and energy profile of a train are presented in Figure 10. As illustrated, during acceleration (0–33 s), a train accelerates with a maximum rate to reach its maximum speed. During this interval, a significant amount of current/power is requested from a nearby substation. During the transit system rush hours, the number of trains increases, and trains run with shorter headway (2–3 min). Therefore, a considerable number of train acceleration events happen near each substation during each 15 min interval. Consequently, substations will have high peak demand. An example of a 24 h power profile of substation B in a typical weekday is presented in Figure 11. As can be observed, there is peak demand for 2238 kW at around 5:30 p.m.

In this case study, FESS and SESS were controlled to discharge during train acceleration and charge by regenerative braking energy, to provide a 10% peak demand reduction in substation B. They were sized in a way that provided a 705 A current for 12.3 seconds (353 kW, 1.2 kWh). A Maxwell supercapacitor (K2 series) [29–32] and VYCON flywheel [8] were used as the ESS technologies. Based on the ESS technology characteristics (for example, maximum voltage and current of the cell or module), they need to be oversized to provide the required current and voltage. ESSs sizing information is presented in Table 4. The ESSs were separately placed in substation B and tested. The results are presented in Figure 12. As can be seen, both ESS technologies were capable of reducing the peak of substation B for the desired value of 10%.

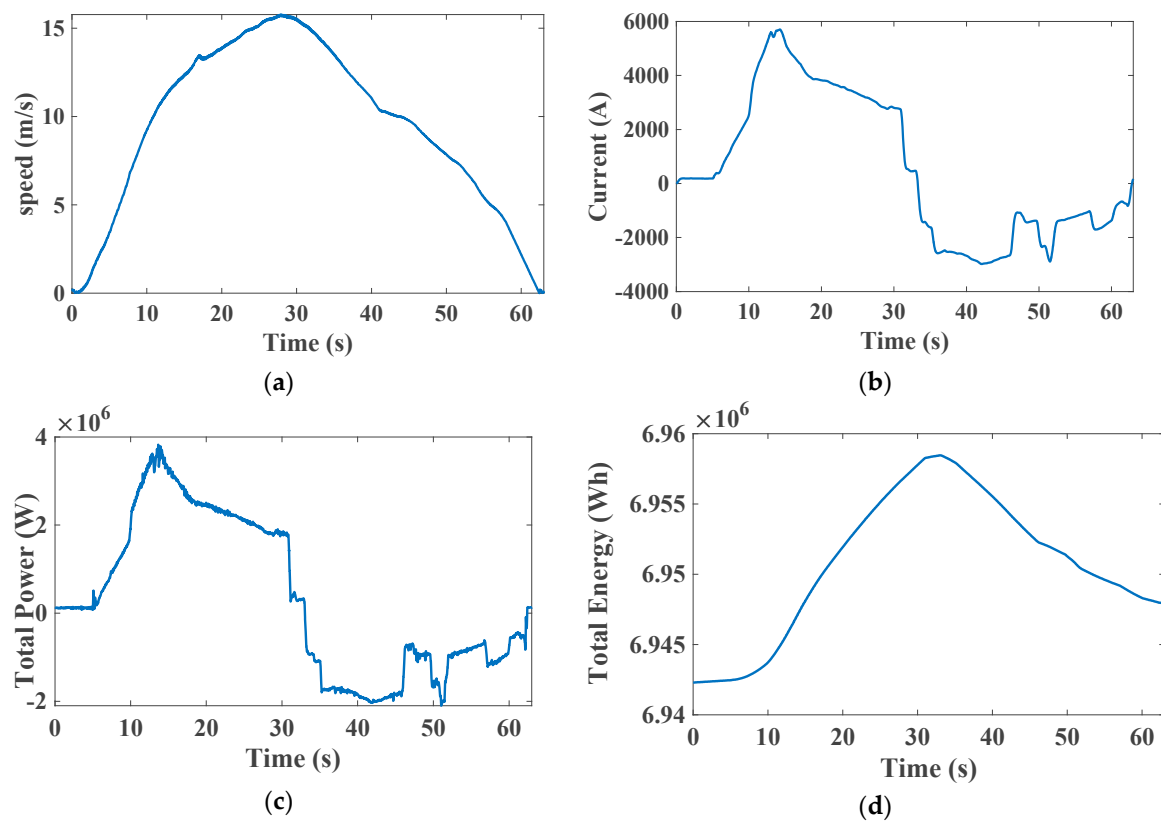


Figure 10. Train performance profile; (a) speed, (b) current, (c) power, and (d) energy.

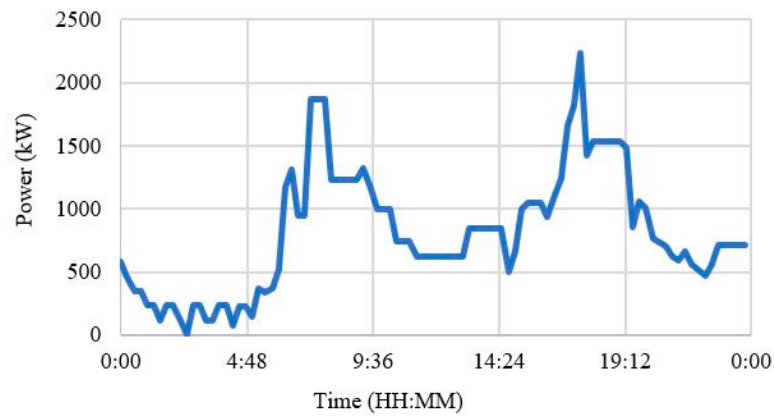
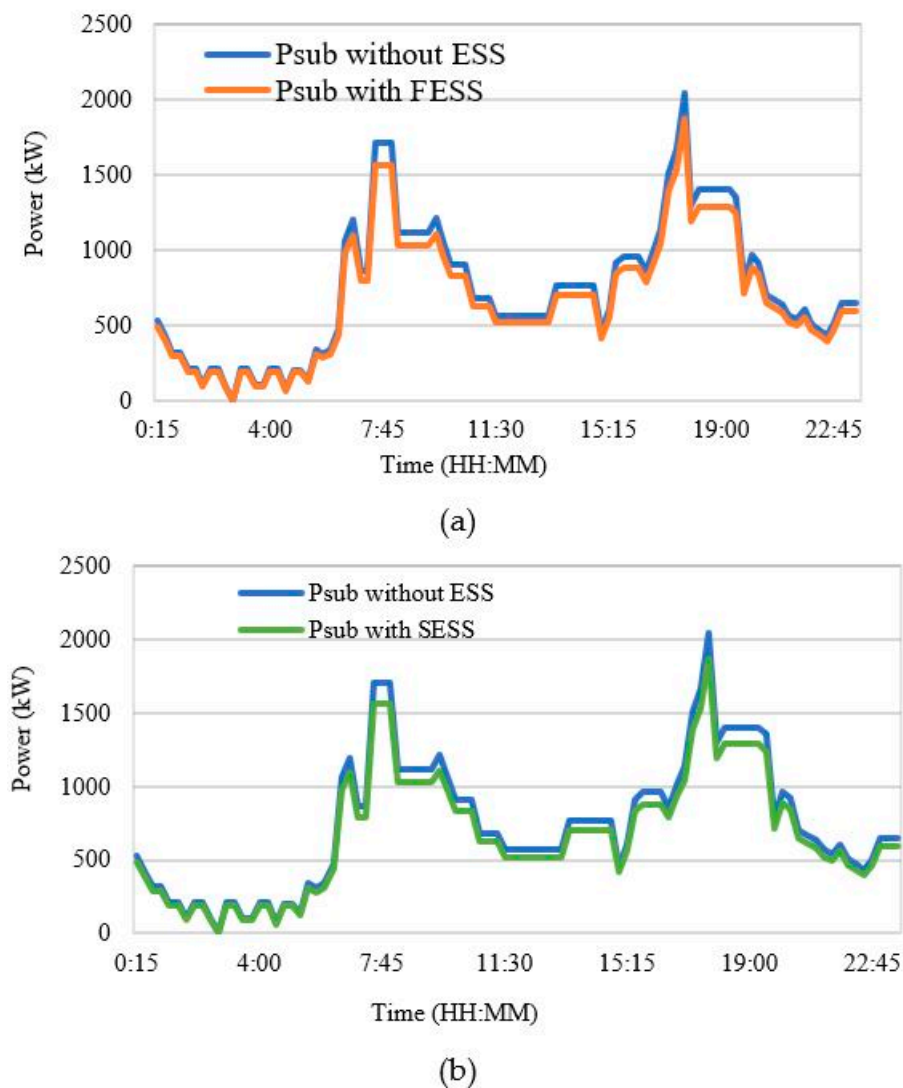


Figure 11. Substation B power profile.

Table 4. FESS and SESS sizing information.

Supercapacitor Cell	C = 3000 F, Vmax = 3, ESRDC = 0.27 mΩ	Flywheel Module	125 kW, 750 Vdc
Configuration	2 string*180 cells in series	Configuration	3 modules in parallel
Max Voltage	500	Energy Storage	1875 kW/sec
Stored Energy	3.04 Wh	Speed	10,000 to 20,000 rpm
Specific Power	5.9 kW/kg	DC Current	167 Adc
I <sub>max</sub> Discharge	500 A in 20 seconds	Recharge Time	15 seconds



**Figure 12.** Substation peak demand reduction; (a) with flywheel ESS, (b) with supercapacitor ESS.

#### 4.2. Voltage Regulation

When a train accelerates, a significant amount of power/current is drawn from the third rail; therefore, the third rail voltage drops inversely, proportional to the current to provide the requested amount of current/power. For example, in a system which is working with a nominal voltage of 650 VDC, the third rail voltage can drop to 580–620 VDC during acceleration. In a weak system, the voltage may drop to an even lower value. Since train acceleration happens frequently, these frequent voltage drops may affect train performance, and may cause damage to other equipment connected to the third rail. By installing ESSs, when the voltage drops below a certain level, the ESS will discharge to the third rail and thus prohibit any further voltage drop. This behavior for both SESS and FESS (modeled here as a current source) is presented in Figure 13.

In this case study, ESS was sized to provide 13% voltage enhancement in the third rail next to substation B. ESS was sized to provide 4500 A with the duration of 17 s (2.93 MW, 66 MW.s) during train acceleration. A Maxwell supercapacitor (K2 series) and VYCON flywheel were used as the ESS technologies. For SESS, 9 strings of 180 cells in series were required, while for FESS 24 modules were required. As mentioned before, the voltage and current of ESS cells or modules were limited, and ESSs need to be oversized to provide the required output.

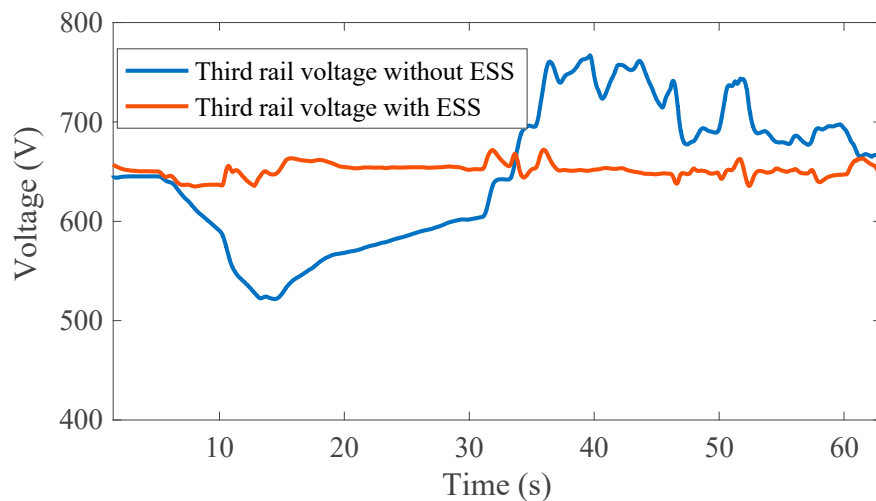


Figure 13. Voltage regulation.

#### 4.3. Cost Analysis

To obtain an accurate selection of suitable technologies for each application, cost analysis of running ESS for 10 years was performed. The cost metrics that were considered included: initial cost (the cost normalized based on the power and energy of ESS), energy conversion cost, balance of plant cost (the owner's cost for project engineering and project management, land, and grid connection equipment), operation and maintenance cost (O&M), and replacement cost [10,25].

The initial cost of the ESS was calculated based on Equation (16):

$$C = C_{\$/kW} * P + C_{\$/kWh} * E \quad (16)$$

where  $C_{\$/kW}$  and  $C_{\$/kWh}$  are the cost per kW and kWh, respectively. The costs for SESS range from 100–300  $\$/kW$  and 300–2000  $\$/kWh$ . However, for FESS, costs range from 250–350  $\$/kW$  and 1000–5000  $\$/kWh$ . In this study, we considered the average value for each cost and each technology [33]. The cost of energy conversion and balance of plant were 153  $\$/kW$  and 100  $\$/kW$ , respectively. Operation and maintenance costs were classified into fixed cost and variable cost. Fixed cost was considered 2% of the initial cost and included the cost of annual tax and insurance. The variable cost for the supercapacitor was 6.7  $\$/kW$  per year and included the cost of periodical inspection of the cells and interconnection cable and fixing, if there was a problem confirming the DC voltage and current. The variable cost of FESS was 9.1  $\$/kW$  per year and included the service cost for changing the air tank filter, oil, and bearing [10]. The replacement cost was associated with the life of the energy storage system. For the supercapacitor, the end of life is the moment when capacity goes down to 80% of its initial capacity, or when its ESR doubles. A supercapacitor is claimed by manufacturers to have a 10 year life span, while a recent study showed that after almost 5 years the cells need to be replaced [34]. To the best of the authors' knowledge, there is no credible information available for the flywheel from real-world implementation; it is claimed by the manufacturer to have a 15–20 year life span. In this study, we considered the life span of the supercapacitor and flywheel, respectively, as 5 and 15 years. The results of the cost analysis for the application of peak demand reduction are presented in Table 5.

Based on the aforementioned assumptions, it was concluded that the flywheel has a lower cost than the supercapacitor, and can be considered a more cost-effective solution for peak demand reduction.

The results of the cost analysis for application of voltage regulation are presented in Table 6. It was concluded that the flywheel has a lower cost than the supercapacitor and can be considered as a more cost-effective solution for voltage regulation.

**Table 5.** Cost analysis for peak demand reduction.

Cost Options	Supercapacitor (\$)	Flywheel (\$)
Initial	433,258.10	117,180.00
Energy Conversion System	330,480.00	57,375.00
Balance of Plant	216,000.00	37,500.00
Annual Operation and Maintenance Cost (O & M)	23,137.16	5756.10
Replacement (every 5 years)	763,738.10	-
Replacement (in 10 years)	1,527,476.20	-
O & M (in 10 years)	231,371.60	57,560.00
Total cost (in 10 years)	2,738,585.90	384,365.00

**Table 6.** Cost analysis for voltage regulation.

Cost Options	Supercapacitor (\$)	Flywheel (\$)
Initial	1,949,658.00	937,440.00
Energy Conversion System	1,487,160.00	459,000.00
Balance of Plant	972,000.00	300,000.00
Annual O & M	133,860.36	55,228.80
Replacement (every 5 years)	3,436,818.00	-
Replacement (in 10 years)	6,873,636.00	-
Maintenance (in 10 years)	1,338,603.60	552,288.00
Total cost (in 10 years)	12,621,057.60	2,248,728.00

## 5. Conclusions

In this study, the application of flywheel and supercapacitor energy storage systems in electric rail transit systems for peak demand reduction and voltage regulation services was investigated. Each technology was described in detail. Examples of application in an electric rail transit system were presented, and the general characteristics of the two technologies were compared. Two case studies were considered for testing performance in the electric rail transit system. The results of the case studies show that both technologies have functional requirements for both applications. However, bearing in mind the cost factor, flywheel energy storage can be considered a suitable option for peak demand reduction and voltage regulation.

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**Conflicts of Interest:** Authors declare no conflict of interest.

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