



# Article Basic Heat Exchanger Performance Evaluation Method on OTEC

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Abstract: Ocean thermal energy conversion (OTEC) harvests the power from the thermal energy in the ocean, which is reserved in the ocean as the temperature difference between warm surface and cold deep seawaters. In the energy conversion, the heat exchangers transfer the thermal energy to the heat engine, which converts it into power. The pressure drops yielded by piping, valve and heat exchangers cause pump loads, which show significant negative power with respect to net power in OTEC. The heat transfer performance and the pressure drop in heat exchanger depend on the types and shapes of each heat transfer area. Generally, heat exchangers with higher friction factors yield higher heat transfer performance and vice versa. However, heat transfer performance and pressure drop are separately evaluated and there is no comprehensive performance evaluation index for OTEC power take-off. Therefore, this research proposes a new simplified overall performance evaluation method for heat exchangers, which can be comprehensively and easily applied and takes into consideration the heat transfer performance and the pressure drop. The evaluation results on plate-type heat exchangers show that the overall performance in each heat exchanger are elucidated and are quantitatively compared.

**Keywords:** ocean thermal energy; OTEC; heat exchanger performance; maximum power output; back work ratio; finite-time thermodynamics

# 1. Introduction

Renewable energy represents an indispensable power supply for the sustainable development of infrastructure. One source of renewable energy is ocean thermal energy conversion (OTEC) system. They convert the thermal energy in the ocean, which is reserved as the vertical temperature gradient in the ocean, into electricity. OTEC can supply stable power as a baseload for an island country or remote grid, and the accompanying deep seawater provides the byproducts for many industrial applications such as aquaculture, agriculture, air-conditioning, and so on [1,2].

The thermal efficiency of OTEC is theoretically low due to the low available temperature difference between heat sources. Thus, to increase the thermal efficiency, various cycles have been proposed. These include an open cycle [3], a hybrid cycle that combined OTEC with desalination [4], and Kalina and Uehara cycles [5–7], which use an ammonia/water mixture as the working fluid. Furthermore, Anderson and Morisaki theoretically elucidated the effectiveness of the staging-closed cycle [8,9]. Moreover, solar-boosted cycles have been proposed as a way to increase the available temperature difference between the turbine inlet and outlet [8–12]. The optimization evaluation method is proposed based on the Carnot cycle thermal efficiency [13]. Johnson achieved the theoretical exergy efficiency for the open cycle, Rankine cycle, multi-component working cycle, Beck cycle, and the staging cycles and showed that the multi-stage open cycle and multi-component cycle exhibits better performance of exergy efficiency. Lee theoretically showed that the available maximum power when using the Lorentz cycle is almost double that of the Carnot cycle [14].

For the commercialized scale of megawatt-class power generation, an abundant quantity of seawater is required. The accompanying required intake pump power for surface and deep seawaters, which is caused by the pressure drop in the heat source because of the friction inside the piping, valves and heat exchangers, can be the same magnitude of power as the turbine/generator (gross) power, and the power might be able to ignore for the net power [4,15,16]. In general, increases in the flow rate of the heat source increases the performance of the heat exchangers, which then results in increased gross power, as well as an elevated heat source pump load. Therefore, the specific heat source flow rate maximizes the available net power, and the flow rate is as the optimum heat source flow rate in each power plant [17]. In addition, since the system uses the thermal energy stored as the sensible heat of seawater, harnessing the thermal energy from the heat source yields a change in the heat source temperatures. This then causes a reduction in the available temperature difference for the heat engine, which reduces the thermal efficiency of the heat engine. Then, theoretical maximum power output, which is well known in finite-time thermodynamics, can be formulated [18–20]. Yasunaga and Ikegami propose a normalization method of thermal efficiency for the performance evaluation [21]. The above studies show the importance of the heat balance in order to reduce the required seawater and also maximize the available power. Ibrahim reported on the effect of the heat transfer performance of heat exchangers on the available power output using irreversible heat exchangers [19]. Ikegami and Bejan considered the seawater intake pumping power in an OTEC system by using an ideal heat engine and introducing reversible heat exchange from the heat source to the heat engine, and identified the thermal efficiency in cases of maximum net power [22].

To achieve effective development of the available power output of an OTEC system, a conflict requirement is necessary that the significant enhancement of heat exchanger performances with reducing the pressure drop. In the design stage of an OTEC system, precise adoption of existing heat exchangers is important. However, in general, separate performance evaluations of the heat exchangers are conducted to measure the heat transfer performance and pressure drop produced by friction. Then, the optimum design is carried out to determine the number of plates to maximize the net power. In the case of the heat exchangers, which are made of titanium, and are the dominant capital expenditure of OTEC, and the net power output per the heat transfer area is maximized [23–25]. The result of the optimum design method is a balance between the heat transfer performance of all the heat exchangers, including evaporators and condensers, and the required seawater intake pump power. The optimum design method is an evaluation of the heat exchangers; however, it can only represent the total balance of the system and is not able to express the performance of each heat exchangers independently. For the development of the heat exchangers for OTEC, many plate-type and tube-type heat exchangers have been tested, however, they are separately evaluated for their heat transfer coefficients and friction factors using the existing performance evaluation method. In contrast, the assessment of effectiveness of each heat exchanger's performance as an evaporator or a condenser in the power generation system has not been evaluated individually.

Therefore, the comprehensive performance evaluation method of a heat exchanger for OTEC power generation, which considers the trade-off of the heat transfer performance and the pressure drop, is required for the selection and design of the heat exchangers, as well as the improvement of heat exchanger performance. Therefore, this study describes the theoretical relationship between the heat transfer performance of heat exchangers, including the pressure drop and power output from the heat engine of OTEC, and proposes a comprehensive performance evaluation index. This method will then be applied to the existing plate-type heat exchangers.

#### 2. Heat Exchanger Performance and Power Output

#### 2.1. Maximum Power Output of a Heat Engine

Figure 1 shows the OTEC power generation system model using a reversible heat engine. The ocean thermal energy is the temperature difference between the surface seawater and the deep seawater. To harvest the thermal energy, both seawaters should continuously flow to the heat exchangers in order to transfer the heat into the heat engine via the heat exchangers. The power output from the heat engine is W, the heat transfer rate of the warm seawater is  $Q_W$  and that of the cold deep seawater is  $Q_C$ , respectively:

$$W = Q_W - Q_C, \tag{1}$$

$$Q_{W} = (\dot{m}c_{p})_{W}(T_{W} - T_{W,O}),$$
(2)

$$Q_{\rm C} = (\dot{m}c_p)_{\rm C} (T_{{\rm C},{\rm O}} - T_{\rm C}),\tag{3}$$

where  $\dot{m}$  is the mass flow rate of seawater,  $c_p$  is the specific heat of seawater, and the subscription of  $_W$  is warm sweater,  $_{W,O}$  is the warm seawater outlet after heat exchange with the heat engine,  $_C$  is the deep seawater and  $_{C,O}$  is the deep seawater outlet after the heat exchange. Figure 2 shows the conceptual T - s diagram of an OTEC with a reversible heat engine. In the case of a reversible heat engine, the entropy generation will be zero:

$$\oint ds = \frac{Q_W}{T_H} - \frac{Q_C}{T_L} = 0, \tag{4}$$

where  $T_H$  and  $T_L$  show the high and low temperatures of the heat engine in the reversible heat engine, respectively. The thermal efficiency  $\eta_{th}$  and the power output W can be calculated as:

$$\eta_{th} = \frac{W}{Q_W} = 1 - \frac{T_L}{T_H},\tag{5}$$

$$W = Q_W \eta_{th}.$$
 (6)

In the heat exchange process via the heat exchangers, the heat flow rate depends on the performance of the heat exchangers. Using an overall heat transfer coefficient *U* and a logarithmic mean temperature  $\Delta T_m$ , the heat transfer rates can be expressed as:

$$Q_W = U_W A_W (\Delta T_m)_W,\tag{7}$$

$$Q_C = U_C A_C (\Delta T_m)_{C'} \tag{8}$$

where the logarithmic mean temperatures in the case of the counter flow in the heat exchangers are:

$$(\Delta T_m)_W = \frac{T_W - T_{W,O}}{\ln\left(\frac{T_W - T_H}{T_{W,O} - T_H}\right)},$$
(9)

$$(\Delta T_m)_C = \frac{T_C - T_{C,O}}{\ln\left(\frac{T_{C,O} - T_L}{T_C - T_L}\right)}.$$
(10)

From Equations (1) to (10), the work output is one degree of freedom as a function of  $T_{W,O}$  or  $T_{C,O}$ . Then the work output can be maximized by  $\partial W/\partial T_{W,O} = 0$  or  $\partial W/\partial T_{C,O} = 0$ . The maximum work considering the heat exchanger performance  $W_{m,NTU}$  is expressed as:

$$W_{m,NTU} = \frac{r(1-r)C_{HS}\Delta T_{HS}}{\phi},\tag{11}$$

where:

$$\phi = \frac{1 - r}{1 - e^{-NTU_W}} + \frac{r}{1 - e^{-NTU_C}},$$
(12)

$$\Delta T_{HS} = \left(\sqrt{T_W} - \sqrt{T_C}\right)^2,\tag{13}$$

where  $C_{HS}$  is the total heat capacity flow rate ( $C_{HS} = C_W + C_C$ ,  $C = \dot{m}c_p$ ), r is the ratio of the heat capacity flow rate of the surface seawater ( $r = C_W/C_{HS}$ ), and *NTU* is the net transfer unit defined as follows:

$$NTU = \frac{UA}{inc_p} = \frac{UA}{C}.$$
(14)

If the NTUs are infinite, the maximum power output Equation (11) will be as follows:

$$W_m = r(1-r)C_{HS}\Delta T_{HS}.$$
(15)

The thermal efficiencies at the maximum power outputs will be calculated as the formula:

$$\eta_{th,CA} = \frac{W_m}{Q_W} = 1 - \sqrt{\frac{T_C}{T_W}}.$$
(16)

Equation (16) is well known as the Curzon-Ahlborn efficiency [26-28].



Figure 1. OTEC power generation system model using a reversible heat engine.

Figure 3 shows the relationship between the ratio of the maximum available power output  $W_{m,NTU}/W_m$  as a function of the net transfer unit in the case in which  $NTU_W$  and  $NTU_C$  are identical. According to Figure 3, the ratio of maximum available power will be 63%, 86%, and 95% when NTU is 1.0, 2.0, and 3.0, respectively.



**Figure 2.** Conceptual T - s diagram of an OTEC power generation system using a reversible heat engine.



**Figure 3.** Dependency of the ratio of maximum available power output and net transfer unit in the case in which  $NTU_W$  and  $NTU_C$  are identical.

## 2.2. Relationship between Net Power and Heat Exchanger Performance

In the OTEC system, the pressure drop due to the friction caused by the flow inside the heat exchanger is not negligible. The seawater intake pumping power *P* can be calculated as:

$$P = \Delta P \frac{\dot{m}}{\rho \eta_P},\tag{17}$$

where  $\rho$  is the seawater density (kg/m<sup>3</sup>),  $\eta_P$  is the mechanical efficiency of the seawater pumps and  $\Delta P$  is the pressure drop of the heat exchangers (kPa). Although the total pressure drop of the seawaters are related to each heat exchanger, piping, valve, and the configuration of seawater intake facility,  $\Delta P$  is assumed that the considerable pressure drop is only inside the heat exchanger in order to directly represent the effect of the performance of the heat exchangers on the net power. Then, the net power output is calculated as:

$$W_{net} = W - (P_W + P_C).$$
 (18)

where  $P_W$  and  $P_C$  are the pumping power of the warm surface seawater and the cold deep seawater, respectively. The maximum net power using a reversible heat engine will then be:

$$W_{m,net,NTU} = \frac{r(1-r)C_{HS}\Delta T_{HS}}{\phi} - (P_W + P_C).$$
 (19)

According to Equation (19), the heat exchanger in the OTEC system has a significant role and is one of the key pieces of equipment that increases the net power output. For the increase of net power output in the OTEC system, the enhancement of heat transfer performance (positive element), as well as decreasing the pressure drop (negative element) are required. However, the dependency of net power on the heat exchanger is a paradox: a decrease of irreversibility during the heat transfer process and the flow.

## 3. Basic Performance Evaluation Method

#### 3.1. Basic Heat Exchanger Performance Evaluation Index

In general, the heat exchanger performance and pressure drop are evaluated separately. However, for comparison with the heat exchanger performance in the system, a performance evaluation method is required since the net power is the balance of the positive and negative elements. For example, in the design of the numbers of plates or tubes in the heat exchangers, (1) the net power will be determined by the balance of each heat exchanger comprehensive performance at the constant total heat flow rate, and the velocity changes the overall heat transfer coefficients and pressure drops; and (2) the net power may be reduced in case that the total heat source flow rate is increased. Then, the basic characteristics of the heat exchangers are ascertained and the theoretical maximum net power can be predictable. If a heat exchanger performance evaluation index can reflect such characteristics, it can support the design and development of heat exchangers for use in OTEC.

For the evaluation of the heat exchanger performance in the system, the maximum available power output and the pressure drop are assumed to be a function of the mean velocity of heat source in the heat exchangers. For comparison of a variable heat exchanger, the net power output per heat transfer area in a single path is the most important factor if we consider the effectiveness of the heat exchangers. In optimization studies, the net power output per total heat transfer area has been employed as the optimum function in terms of an economical point of view since the materials of OTEC heat exchangers are normally titanium used as an anti-corrosion material for protection from the seawater [23–25]. Hence, the theoretical maximum net power per heat transfer area is expressed as:

$$\frac{W_{m,net,NTU}}{A} = \frac{C_{HS}\Delta T_{HS}(1 - e^{-NTU_{HS}})}{4A} - \frac{2P_{HS}}{A} = \frac{C_{HS}}{A} \left[ \frac{\Delta T_{HS}(1 - e^{-NTU_{HS}})}{4} - \frac{2\Delta P_{HS}}{(\rho c_P)_{HS}} \right], \quad (20)$$

where the assumption of Equation (20) is as follows: the efficiency of the heat source pump is assumed to be 100% ( $\eta_P = 1$ ) in order to avoid the effect of the efficiency on the heat exchanger performance evaluation: the total performance of the heat exchangers, including the net transfer unit and pressure drop, are the same, and the ratio of warm seawater heat capacity flow rate is 0.5 in order to conduct independent evaluations as a heat exchanger, an evaporator, or a condenser.

Now, to normalize the net power, the ratio of maximum available power output for heat exchanger performance can be calculated using the backwork ratio (*BWR*), which is generally defined in the gas-turbine cycle [29], as a ratio of the parasitic power of the compressor:

$$\frac{W_{m,net,NTU}}{W_m} = \left(1 - e^{-NTU_{HS}}\right) - BWR,\tag{21}$$

$$BWR = \frac{8\Delta P_{HS}}{\Delta T_{HS}(\rho c_P)_{HS}},$$
(22)

Equation (21) is the theoretical performance evaluation that indicates the ratio of available power output per theoretically maximum available power output using the Carnot cycle with the unit mass flow rate of the heat source in the system. In order to consider the characteristics of Equations (20) and (21), the following performance index for OTEC heat exchanger  $\omega$  is proposed:

$$\omega = \frac{W_{m,net,NTU}}{W_m A} = \frac{\left(1 - e^{-NTU_{HS}}\right) - BWR}{A},$$
(23)

where  $\omega$  shows the effectiveness of the ratio of available power per heat transfer area in the path of the heat source. Since the net power output per heat transfer area expressed by Equation (20) shows the effectiveness of the net power in a single path of the heat exchanger,  $\omega$  is defined as the effectiveness of the available thermal energy from the heat source. Therefore, a higher  $\omega$  represents a lower required seawater flow rate at the same magnitude of the net power output per heat transfer area.

#### 3.2. Assumptions and Evaluation Procedure

The overall heat transfer coefficient *U* is expressed as:

$$U = \frac{1}{\frac{1}{\alpha_{HS}} + \frac{t}{\lambda_{pt}} + \frac{1}{\alpha_{WF}} + R_f},\tag{24}$$

where  $\alpha_{HS}$  is the forced convention heat transfer coefficient of seawater (W/m<sup>2</sup>K), *t* is the plate thickness (m),  $L_{pt}$  is the coefficient of thermal conductivity (W/mK),  $\alpha_{WF}$  is the heat transfer coefficient of the working fluid (W/m<sup>2</sup>K) and *Rf* is the thermal resistance due to fouling (m<sup>2</sup>K/W). The heat transfer coefficient of the working fluid is the mix of the forced convection with the boiling or condensing heat transfer coefficient. In general, the boiling or condensing heat transfer coefficient is much higher than the forced convection heat transfer coefficient. Thus, this study assumes that the working fluid heat transfer coefficient is much higher than the seawater forced heat transfer coefficient and is constant, which are then applied in the Wilson plot method [30], and then even in an evaporator and a condenser, the effect of superheating and subcooling are negligible. Then, the overall heat transfer coefficient is approximated as an exponential function of seawater mean velocity in the plate heat exchanger. In addition, the thermal resistance due to the fouling is assumed to be negligible.

In the design of the heat exchangers, *NTU* is held constant by changing the number of plates or tubes if the mean seawater velocity in the path is constant. Therefore, the overall heat transfer coefficient assumes to be approximated by an exponential function of the mean seawater flow rate, which can be correlated by the experiment results.

In the evaluation of the heat exchanger performance, the following steps are applied:

1. To make the approximate formula of the overall heat transfer coefficient and pressure drop a function of the mean velocity of seawater by experimentation, which is shown in Figure 4a:

$$U = \xi V_{HS}^{\beta}, \, \Delta P = \zeta V_{HS}^{\theta}, \tag{25}$$

- 2. To calculate the maximum net power output per heat transfer area, which are represented by Equation (20), and the optimum mean velocity of seawater, which maximizes the net power output, in the design seawater temperature condition shown in Figure 4b,
- 3. To calculate *ω* represented by Equation (23) as the performance index for an OTEC heat exchanger.

The proposed evaluation method can be applied to any type of heat exchangers. Typically, the plate-type and tube-type heat exchangers were applied to OTEC. In the case of the plate-type heat exchanger design, in general, the plate number is the design value once the geometry has been determined. In contrast, the tube-type can change the tube length in the design, which changes the heat transfer are per flow path of the heat sources.



**Figure 4.** Concept of performance evaluation of a heat exchanger as a function of mean velocity of the heat source. (a) an overall heat transfer coefficient and a pressure drop; and (b) a maximum power output, a required pumping powers and a net power output as a function of mean velocity of the heat source.

# 4. Results and Discussion

In this section, the performance of existing plate-type heat exchangers applied to OTEC are evaluated by the proposed performance evaluation method and the procedure using six different plate type heat exchangers [24,31–34]. Table 1 shows the heat exchanger specifications. By referring to the performance data in this paper, each overall heat transfer coefficient and pressure drop are approximated as the functions of the mean velocity of heat source in the heat exchangers (Equation (25)). The coefficients of Equation (25) are listed on the Table 2. In Table 2, there is a slight discrepancy in the measured velocity range between the overall heat transfer coefficients and pressure drop, and the overall heat transfer coefficients.

No.	1	2	3	4	5	6
Type of Heat Exchanger (Application)	Plate (Evaporator)	Plate (Evaporator)	Plate (Evaporator)	Plate (Condenser)	Plate (Condenser)	Plate (Condenser)
Length (mm)	960	718	1765	1213	1765	1450
Width (mm)	576	325	605	709	605	235
Plate thickness (mm)	0.7	0.5	0.6	0.6	0.6	1.0
Clearance of plates (mm)	4.00	3.96	2.68	2.80	3.40	2.20
Equivalent diameter (mm)	8.0	7.9	5.36	5.6	6.8	4.4
Material	SUS316	Titanium	Titanium	Titanium	Titanium	SUS304
Surface pattern	Herringbone (72°)	Herringbone (30°)	Fluting & drainage	Emboss	Herringbone (58°)	Fluting & drainage
Number of plates	120	20	52	100	30	5
Heat transfer area per path (m <sup>2</sup> )	1.686	0.417	1.592	3.683	1.683	0.560
Total passage cross sectional are (m <sup>2</sup> )	0.14	0.012	0.041	0.099	0.031	0.0005
Reference	[31]	[31]	[31]	[32]	[33]	[34]

Table 1. Heat exchanger specifications.

	Overall Heat Transfer Coefficient U					Pressure Drop	Water Inlet		
No.	Multiplier Factor ξ *	Exponential Factor β *	Mean Velocity Data Range (m/s)	Heat Flux (kW/m <sup>2</sup> )	Multiplier Factor ζ *	Exponential Factor θ *	Mean Velocity Data Range (m/s)	Temperature (°C)	Ref.
1	4.20	0.22	0.20-0.444	4.51-16.6	306.3	1.86	0.16-0.45	36.7-75.1	[31]
2	5.64	0.36	0.59-1.20	45.4-121.9	65.4	2.21	0.60-1.19	27.6-45.6	[31]
3	3.25	0.46	0.29-0.59	10.2-16.1	182.3	2.00	0.48-0.59	23.8-44.0	[31]
4	2.40	1.10	0.40-0.70	N.A.	311.3	1.86	0.40-0.70	10.0	[32]
5	1.80	0.22	0.51-0.94	11.9-12.5	9.4	1.79	0.51-0.94	7.1-15.8	[33]
6	1.66	0.65	0.55-0.29	N.A.	7.0	1.42	0.55 - 1.80	6.85	[34]

Table 2. Approximation coefficients.

\* The data is approximated as an exponential function as  $U = \xi V_{HS}^{\beta}$  and  $\Delta P = \zeta V_{HS}^{\theta}$  shown in Equation (25).

Figure 5 shows the net power output per heat transfer area as a function of the mean velocity of the heat source in the plate. In each PHE, the maximum power output per heat transfer area forms a parabolic path. The maximum power output is the balance of an increase in the maximum power and the heat source pumping power, since the overall heat transfer coefficient increases and the pressure drop increases with the mean velocity of the heat source, respectively. The overall heat transfer coefficient increases with the increase of the mean velocity of the heat source; however, the increase in the pressure drop is higher than the maximum power output. As a result, the net power output forms the parabolic curve. The range of the optimum heat source mean velocities is 0.33 to 2.00 m/s. In the case of Nos. 4, 5 and 6, the optimum heat source mean velocities are out of the range of the overall heat transfer coefficient experimental data or the pressure drop measurement data. However, the maximum points are computed using the approximated formulas, assuming that it is possible to extrapolate and to estimate the optimum points. As shown in Figure 5, a design at too high a mean velocity will cause a negative net power, which is shown in Figure 5 and also in [17,22].

The parameters of the optimum mean heat source velocities, in which the warm seawater temperature is 30 °C and the cold deep seawater temperature is 5 °C, are listed in Table 3. According to Figure 5 and Table 3, the net power output per heat transfer area of No. 1 and No. 4 are the same value of 0.18, while the performance indices for the OTEC heat exchangers  $\omega$  are 0.36 and 0.15 respectively, and this shows the obvious performance difference. The optimum points shown in Table 3 are constant even when there is a change increment in the number of heat exchanger plates. This is one of the characteristics of the specific heat exchangers.



**Figure 5.** The net power output per the heat transfer area as function of the mean velocity of heat source in the plate when  $T_W = 30$  °C,  $T_C = 5$  °C,  $c_p = 4.0$  kJ/kgK and  $\rho = 1025$  kg/m<sup>3</sup>. The open circles show the maximum point of power output, i.e., the optimum mean velocity of the heat source in each plate heat exchanger.

Figure 6 shows the ratio of the net power output, and the irreversible loss in the heat exchange process and backwork ratio at the optimum mean heat source velocities, respectively. According to Table 3 and Figure 6, 21% to 72% is the loss during the heat exchange process. This can be reduced by increasing the heat transfer area in the path in the plates. Additionally, 4% to 19% of the power is consumed as the backwork of the seawater pumping power to force a flow through the plate. Consequently, the performance of No. 1 shows the highest ratio of the net power output of 60%, and No. 3 and No. 2 follow that at 52% abd 41%. Others are less than 25%. Regarding the performance index for OTEC heat exchanger  $\omega$ , which considers the net power by the unit heat transfer area, No. 2 is the highest at almost triple that of No. 1 and No. 3. From Equation (11), the maximum power output is proportional to the mass flow rate of the heat source and, therefore,  $\omega$  in the No. 2 plate shows the best overall performance.

Plate No.	1	2	3	4	5	6
$V_{HS,opt}$ (m/s)	0.39	0.60	0.49	1.03	0.33	2.00
$U_{HS,opt}$ (kW/m <sup>2</sup> K)	1.57	0.62	1.14	0.34	0.48	0.27
$\Delta P_{HS,opt}$ (-)	51.8	21.3	44.3	9.8	39.6	18.8
$NTU_{HS,opt}$ (-)	1.57	0.62	1.14	0.34	0.48	0.27
$(W_{net}/A)_m (kW/m^2)$	0.18	0.39	0.14	0.18	0.05	0.22
$\omega (1/m^2)$	0.36	0.92	0.33	0.15	0.13	0.38

**Table 3.** Optimum mean velocity condition when  $T_W = 30$  °C and  $T_C = 5$  °C.



**Figure 6.** The ratio of net power, loss in the heat exchange process ( $e^{-NTU}$ ), and backwork ratio at the optimum mean velocity of heat source in the plate when  $T_W = 30$  °C,  $T_C = 5$  °C,  $c_p = 4.0$  kJ/kgK and  $\rho = 1025$  kg/m<sup>3</sup>.

#### 5. Conclusions

For the purpose of the development of a comprehensive performance evaluation method for OTEC heat exchangers, it is derived that the theoretical relationship between the heat transfer performance of heat exchangers including the pressure drop and power output from the reversible heat engine. Based on the fundamental relationships that show the paradox of the heat transfer performance and the pressure drop, this study proposes a performance procedure and an index for OTEC heat exchangers.

And they show the specific characteristics of each heat exchangers with the heat transfer area in a path. The results of the comparison of the available power output per heat transfer area among six plate heat exchangers then become clear. The results show that the proposed performance index of the heat exchanger for OTEC is able to express the effectiveness of the performance on OTEC system power outputs. Therefore, the proposed evaluation method is applicable to the evaluation of existing heat exchangers as well as to new research and development.

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