

Review



# Structural Modifications and Biological Activities of Natural $\alpha$ - and $\beta$ -Cembrenediol: A Comprehensive Review

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**Abstract:** As one of the most characteristic ingredients of glandular trichome secretions from *Nicotiana tabacum* L. (tobacco), natural cembrenediols, namely, (1*S*,2*E*,4*S*,6*R*,7*E*,11*E*)-2,7,11-cembratriene-4,6-diol ( $\alpha$ -cembrenediol/ $\alpha$ -CBD) and its C-4 epimer ( $\beta$ -cembrenediol/ $\beta$ -CBD), have attracted considerable attention for their potent antitumor, neuroprotective, antimicrobial, and other activities. Many researchers are committed to exploring the possibility of utilizing these two cembrenediols and their derivatives both in human medicine and in agricultural fungicides. To the best of our knowledge, this review is the first to provide a comprehensive summary of the chemical modifications and bioactivities of  $\alpha$ - and  $\beta$ -CBD from their discovery to the present day; the review highlights their potential medicinal value for humans. The extensive references from 1962 to 2022 provided herein were systematically gathered from the SciFinder, Web of Science, and Google Scholar databases. We expect this review to assist in providing practical ideas for future drug development based on  $\alpha$ - and  $\beta$ -CBD and in further facilitating the utilization of the tobacco cembrenediols.

Keywords: Nicotiana tabacum; tobacco; cembrenediol; cembranoid

# 1. Introduction

Tobacco (Nicotiana tabacum L.) is an important industrial crop cultivated worldwide which generates a large amount of tax revenues for governments and increases the incomes of farmers and cigarette companies. In addition to being the raw material for the production of cigarettes, the crude extract of *N. tabacum* is used as an insecticide in folk medicine [1-3]. Hundreds of compounds that contain alkaloids, terpenoids, and sugar esters have been identified from this plant in bioassay-guided separation processes or other methods [4]. Among these compounds, nicotine-type alkaloids and cembrane-type diterpenoids are the two most characteristic classes from N. tabacum. In contrast to the alkaloids stored in plant cells, cembranoids are present as glandular trichomes on the surface of tobacco leaves and flowers; at these locations, cembranoids coincide with fatty alcohols and sucrose esters. The first cembranoids to be isolated were (1S,2E,4S,6R,7E,11E)-2,7,11-cembratriene-4,6-diol (called  $\alpha$ -cembrenediol, or  $\alpha$ -CBD) and its C-4R epimer ( $\beta$ -cembrenediol, or  $\beta$ -CBD), which are the major cembrenediols and the main precursors of other cembranoids from tobacco [5,6]. As shown in Figure 1,  $\alpha$ - and  $\beta$ -CBD occupy a large proportion of tobacco glandular hair secretions per both UPLC and HPLC-HRESIMS detection. Both the X-ray crystal structures and the 2D NMR spectra of

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/licenses/by/4.0/). these compounds were first reported in the 1980s [7]. At about the same time, their structures were obtained in total synthesis experiments [8–10]. Cembrenediols from the glandular trichome secretions of tobacco were obtained with high yields and exhibited promising activities, making them a particularly interesting option for structural modification experiments [11]. A series of scientific investigations have indicated that  $\alpha$ - and  $\beta$ -CBD could provide potential health benefits in treating cancer and neurological injuries, and these compounds have been registered with international patents in many countries. Therefore, exploiting the pharmaceutical value of  $\alpha$ -/ $\beta$ -CBD and their synthetic analogs could greatly facilitate the utilization of tobacco cembrenediols.



**Figure 1.** UPLC (**A**) and HPLC-HRESIMS (**B**) analyses of  $\alpha$ -CBD and  $\beta$ -CBD in tobacco glandular trichome secretions.

Several excellent reviews on the related issue of tobacco cembranoids have been published over the past twenty years. As early as 2007, K.A. El-Sayed et al. systematically summarized the biocatalytic and semisynthetic studies on anticancer cembrenediols [12]. N. Yan et al. performed a review summarizing a total of 87 naturally occurring tobacco cembranoids, seven biological synthetic derivatives, and twenty-five chemical synthetic derivatives of  $\alpha$ - or  $\beta$ -cembrenediol [13]. Another review that focused on the bioactivities of  $\alpha$ - or  $\beta$ -cembrenediol, including against phytopathogenic fungi and insects, was published three years later [14]. At almost the same time, P.F. Yang et al. performed a systematic review of structural and biological studies on natural tobacco cembranoids [15]. Our research examining the latest studies on tobacco cembranoids revealed that the previously published reviews are incomplete, as several excellent recent studies are not included in the aforementioned reviews. The present review therefore focuses on both updating the latest scientific investigations and more importantly on providing the most comprehensive possible review of structural modifications to  $\alpha$ - and  $\beta$ -CBD from the perspective of medicinal chemistry. In addition, this review provides a discussion of the pharmacological activities associated with the structural features (the structure-activity relationship, or SAR) of these compounds. An effective literature search strategy based on the SciFinder Scholar and Web of Science databases was performed in order to ensure that any related references were not overlooked. We expect this critical review to be beneficial to the development  $\alpha$ - and  $\beta$ -CBD as potential raw materials for the pharmaceutical industry in the future.

# 2. Method of Searching Literature

The process of searching the relevant literature and organizing the core information into figures or tables can greatly aid authors in developing a deeper understanding of material; such a process can directly influence the final conclusions and perspectives reached [16,17]. The literature search performed in the present review utilized two prominent research discovery applications, namely, Chemical Abstracts (CA) and the Web of Science (WOS). The detailed search strategy utilized in the present review is described below. The CA database was accessed through SciFinder Scholar (Qingdao Agricultural University Library, Qingdao, China). First, the structures of  $\alpha$ -CBD (CAS no. 1026661-36-8) and  $\beta$ -CBD (CAS no. 122053-29-6) were drawn using the tools in SciFinder's drawing editor and then placed into the CA database in order to conduct a reaction and patent search. The compounds were set as 'Material' or 'Reagent' during this process. Additionally, these two compounds were placed into the WOS database (Shandong University of Traditional Chinese Medicine, Jinan, China) in order to search for relevant literature that had been previously reported on this topic. The topics in the search were 'cembrenediol' or 'tobacco cembranoid', the search was refined by 'Document types (article)', the timespan was 'All years (1910–2022)', and the indexes were 'SCI-EXPANDED' and 'CPCI-S'. We used Google Scholar to survey other journals in the field of medicinal chemistry that may have been excluded from the above databases. Based on the aforementioned approach, a total of 85 reactions, 10 patents, and 45 references were ultimately collected, covering most of the related studies.

### 3. Structural Modifications of $\alpha$ - and $\beta$ -CBD

# 3.1. Chemical Synthesis

 $\alpha$ -CBD and  $\beta$ -CBD were first found to exhibit antitumor activity in 1985 [18], although little was understood regarding the structure-activity relationships of tobacco cembranoids until the research team of Professor Khalid A. El Sayed performed their pioneering work. As early as 2008 they had prepared various cembrenediol C6-ester derivatives using semisynthetic approaches. Refluxing  $\alpha$ -CBD or  $\beta$ -CBD in toluene with chlororethyl, ethyl, and benzyl isocyantes and then adding a catalytic amount of triethylamine yielded the C-6 carbamates  $\alpha$ -CBD (**19–21**) and  $\beta$ -CBD (**50–52**), respectively. These cembrenediol C6-ester derivatives were assayed against the proliferation of human mammary epithelial cells (HMECs) and human metastatic prostate cells (PC-3M) [19,20]. A series of  $\alpha$ -CBD analogs (1–18) were rationally synthesized in order to explore additional targeted c-Met interactions in MDA-MB-231 cells and improve cellular potency. Compound 3 exhibited an improved c-Met inhibitory effect compared to that of  $\alpha$ -CBD and  $\beta$ -CBD. The SARs of different substituents on 3's phenyl moiety (3-18) are discussed below [21]. A research team led by professor Duo-Bin Mao at Zhengzhou University of Light Industry designed and synthesized various cembrenediol C6-ester derivatives [22]. Twenty-eight  $\alpha$ -CBD derivatives were prepared by modifying the C-6 position via the introduction of various acyl and ether groups. Compounds 22–28 were obtained via the acid anhydride-pyridine reaction system at room temperature, while compounds 29-44 were synthesized by an acidbase condensation reaction using the raw materials EDC [1-(3-dimethylaminopropyl)-3ethylcarbodiimide hydrochloride] and DMAP (4-dimethylaminopyridine). The synthetic routes and structures of 1–52 are shown in Scheme 1.



Scheme 1. Synthesis of the 4-O-ester derivatives of  $\alpha$ -CBD (1–44),  $\beta$ -CBD (50–52), and 4-O-ether derivatives of  $\alpha$ -CBD (45–49).

Except for the above cembrenediol C6-ester derivatives, structural modifications exist at other sites of various derivatives (53–64) [19]. Compound 53 was prepared from the acetylation of  $\alpha$ -CBD and subsequently used as a material for different oxidation reactions. The reaction of **53**, SeO<sub>2</sub>, and 30% H<sub>2</sub>O<sub>2</sub> in a 1,4-dioxane solution at room temperature afforded 11,12-epoxide analogs **54** (11S,12S) and **55** (11R,12R), while the reaction of **53** with SeO<sub>2</sub> in a 1,4-dioxane solution without H<sub>2</sub>O<sub>2</sub> yielded **56** and **57**.  $\beta$ -Hydroxy thiocyanate (**58**) and 1,3-oxathiolan-2-imine (**59**) were synthesized via treatment of **53** with NH<sub>4</sub>SCN/SbCl<sub>3</sub>. Halogenated derivatives **60** and **61** were prepared from  $\alpha$ -CBD using NBS (N-bromosuccinimide) or NCS (N-chlorosuccinimide) in 10% aqueous acetone at room temperature, respectively. Refluxing  $\alpha$ -CBD overnight with CrO<sub>3</sub> in pyridine afforded **62**, which was then treated with NH<sub>4</sub>SCN in toluene solution to yield **63** and **64**. The synthetic routes and structures of **53–64** are shown in Scheme 2.



Scheme 2. Semisynthetic acetylation, oxidation, epoxidation, and halogenation of  $\alpha$ -CBD (53–64).

Carbohydrates have been demonstrated to be involved in a variety of physiological events. Glycosylation modifications have received considerable attention from pharmaceutical chemists for their potential use in designing novel clinical drugs [23]. As both  $\alpha$ - and  $\beta$ -CBD are insoluble in water, introducing carbohydrate residues into the parent cembrenediol could enhance the solubility of these compounds. A series of  $\alpha$ -D-glucopyranosides of  $\alpha$ - and  $\beta$ -CBD (65–69) have been synthesized. During synthesis, pyranosyl bromides and silver silicate are used as glycosyl donors and catalysts, respectively [24]. The synthetic routes and structures of 65–69 are shown in Scheme 3.

8-O-Methylcembremediol, a natural product in *N. tabacum*, has been found to display better inhibitory activity on PC-3 prostate cancer cells than  $\beta$ -CBD, and was thus subjected to rational structural modifications. The epoxidized analogs **70** and **71** from 8-Omethylcembremediol were prepared by a metal-catalyzed regioselective epoxidation of  $\Delta^{7,8}$  utilizing t-butyl hydroperoxide and vanadyl acetylacetonate. The acetylated and epoxidized derivative **72** was designed to determine the activity of C-6 acetoxylated (11,12)-epoxy cembranoids. The C6-keto derivatives **73–75** were prepared in order to test the importance of the C-6 secondary alcohol moiety with respect to the antimigratory activity and chromic acid oxidation of 8-*O*-methylcembremediol. The reaction of **73** with Lawesson's reagent in toluene for 6 h afforded the sulfated derivative **76** [25]. The synthetic routes and structures of **70–76** are shown in Scheme 4.



Scheme 3. Synthesis of the 4-O-pyranoglucosyl derivatives of  $\beta$ -CBD (65–67) and  $\alpha$ -CBD (68, 69).



Scheme 4. Semisynthetic esterification, oxidation, and epoxidation of 8-O-methylcembremediol (70–76).

# 3.2. Biotransformation

Compared to chemical methods, biological transformations are more advantageous for preparing novel oxygenated derivatives of  $\alpha$ - and  $\beta$ -CBD due to their ability to perform stereo- and regioselective reactions; thus, biological transformations can help to increase the bioactivity or decrease the toxicity profiles of cembrenediols as well as support SAR studies. Microbiological conversion of  $\alpha$ -CBD using *Mucor ramannianus* ATCC 9628 and *Cunninghamella elegans* ATCC 7929 produced the (10*S*, 11*S*)-epoxidized derivative **54**, while biotransformation of **53** using *Bacillus megaterium* MO31 yielded the C-10 oxidative derivative **77** [19]. In addition,  $\alpha$ -CBD can be biotransformed by plant cell cultures that contain *Tripterygium wilfordii* and *Nicotiana sylvestris*, both for varying time periods and by utilizing cells with different ages. For both bioconversions the major product included epoxidized derivative **78**, which was prepared from a regioselective enzymatic attack at the C-11,12 double bond in  $\alpha$ -CBD. The minor components included C-10, C-12, and C-13 as well as the enzymatically hydroxylated and rearranged triol derivatives of  $\alpha$ -CBD (**77**, and **79–81**), which were first reported in species from the genus *Nicotiana* [26]. The synthetic routes and structures of **77–81** are shown in Scheme 5.



Scheme 5. Biocatalytic acetylation, oxidation, and epoxidation of  $\alpha$ -CBD (77–81).

Plant cell cultures with *T. wilfordii* can biotransform  $\beta$ -CBD and achieve enzymatic catalysis at the (11,12)-position, affording the predominant product (11*S*,12*S*)-epoxide analog (82), which has been identified on the basis of published spectral data [27]. Thirty growing symbiotic bacterial species from the marine sponge *Negombata magnifica* were assayed for their ability to bioconvert  $\alpha$ -CBD. Of these, three *Bacilli* species, NC5, NK8, and NK7, were selected to undergo scaled-up bioconversion. As a result, the biocatalysis of  $\alpha$ -CBD was performed using *Bacillus* sp. NC5, producing four hydroxylated metabolites, 83–85. Fermentation of  $\alpha$ -CBD using *Bacillus* sp. NK7 and NK8 produced 87 and 88, respectively [20]. The synthetic routes and structures of 82–88 are shown in Scheme 6.



Scheme 6. Biocatalytic oxidation and epoxidation of β-CBD (82–88).

Two fungal strains, *Cunninghamella* sp. NRRL 5695 and *Mucor ramannianus* ATCC 9628, were selected for their ability to biotransform 8-O-methylcembremediol into polar metabolites, which was determined through TLC analysis. Scale-up fermentation of 8-O-methylcembremediol using *M. ramannianus* ATCC 9628 afforded hydroxylated derivative **89** and two hydroxylated C4-O-demethylated derivatives, S **77** and **86**, while scale-up fermentation of 8-O-methylcembremediol using *C.* sp. NRRL 5695 afforded **89** and the (11*S*,12*S*)-epoxidized derivative **90** [25]. The synthetic routes and structures of **89** and **90** are shown in Scheme 7.



Scheme 7. Biocatalytic oxidation and epoxidation of 8-O-methylcembremediol (89, 90).

# 4. Biological Properties of $\alpha$ -/ $\beta$ -CBD and Its Analogs

Biological studies of  $\alpha$ - and  $\beta$ -CBD have mainly focused on their antitumor, neuroprotective, and antimicrobial activities. In this section, their parent,  $\alpha$ -/ $\beta$ -CBD, as well as those analogs that exhibit potent biological activities, are discussed.

# 4.1. Antitumor Activity

In 1985,  $\alpha$ -CBD and  $\beta$ -CBD were first identified as antitumor agents and found to inhibit tumor promotion in mouse skin [18]. Subsequently, many scholars have conducted wonderful studies on the antitumor properties of  $\alpha$ -/ $\beta$ -CBD and its analogs. For example,

it was found that  $\alpha$ -CBD reduces the activated VEGFR2 levels in various breast cancer cell lines in vitro and reduce the MDA-MB-231 tumor size in mice in vivo. One possible mechanism of action is that  $\alpha$ -CBD could downregulate the vasculogenesis marker CD31 and thereby suppress the VEGFR2-paxillin-FAK pathway [28]. In addition,  $\alpha$ -CBD could reduce the apoptosis of HepG2 cells through the p53-PUMA, PI3K-Akt, and IL-1-NF- $\kappa$ B-IAP pathways, inhibit cell proliferation, change the permeability of plasma membranes, promote apoptosis, arrest the cell cycle in S phase, and induce apoptosis [29]. In this section, the anticancer properties of  $\alpha$ -/ $\beta$ -CBD and its analogs are described using five different levels: extraordinary (IC<sub>50</sub> < 1  $\mu$ M or 0.4  $\mu$ g/mL); significant (IC<sub>50</sub> 1~10  $\mu$ M or 0.4~4  $\mu$ g/mL); moderate (IC<sub>50</sub> > 50  $\mu$ M or 20  $\mu$ g/mL).

Previously, α-CBD was chemically modified to enhance its c-Met kinase inhibition and improve the overall antiproliferative cellular potency on MDA-MB-231 and MDA-MB-468 cells [21]. Synthetic analog **3** showed clear enhancement compared to of  $\alpha$ -CBD, with IC<sub>50</sub> values of 19.8 and 22.7  $\mu$ M, respectively, while **1** was only weakly active, with IC50 values of 38.2 and 39.6  $\mu$ M, respectively. This revealed that substituting the C6 with a phenyl group could improve the inhibitory activity of c-Met. Both 2 and 4 showed less activity than 3 in the cellular proliferation experiment, indicating that the phenyl moiety is the best substituent at that point for c-Met inhibition. Biological assessment of 5-8 revealed that an electron-withdrawing group improved antiproliferative activity, unlike the electron-donating counterpart. Compound 8 exhibited a significant improvement in inhibition compared with 6 and 9, indicating that the m-position for the chloro substituents on the phenyl ring could enhance the anticancer potency of the compound, while 8 showed c-Met catalytic activity with an IC<sub>50</sub> value of 3.4 µM. Compared to the chlorinated analogs 6, 8, and 9, the fluorinated analogs 10 and 11 were relatively weak, revealing the importance of substituent hydrophobicity to enhancing activity; this result was further validated by the activities of dichlorinated analogs 12–15. Among them, 12 was the most active, with IC50 values of 5.3 and 6.6 µM against MDA-MB-231 and MDA-MB-468 cells, respectively, and inhibited c-Met catalytic activity, with an IC50 value of 2.9 µM. Compound **16** inhibited the catalytic activity of c-Met and had an IC<sub>50</sub> value of 1.8 μM, more potent than compound 17 and nearly comparable to compound 12. Compound 18 exhibited significant anticancer activity, with IC<sub>50</sub> values of 1.3 and 2.1  $\mu$ M, respectively, and potently c-Met inhibitory activity with an IC50 value of 1.1 µM. More importantly, 18 possessed excellent in vivo antitumor activity in a breast cancer xenograft athymic mouse model.

The antiproliferative activity of  $\alpha$ -CBD and its analogs in highly malignant mouse +SA mammary epithelial cells has been studied as well [19];  $\alpha$ -CBD, 56, 57, 63, and 64 showed moderate activity, with IC<sub>50</sub> values of 15–40  $\mu$ M, while  $\delta$ -tocotrienol is a positive drug, with an IC<sub>50</sub> value of 7  $\mu$ M. The most active analogs were **56** (13*R*) and **57** (13*S*), with  $IC_{50}$  values of 30 and 20  $\mu$ M, respectively. This clearly indicates the importance of the C13 hydroxy group, especially  $\beta$ -hydroxy, in enhancing this activity. Analogs **19–21** were devoid of anticancer activity, revealing the key role of the free C6 hydroxy group, a result supported by the activity of 53, which was lower than that of  $\alpha$ -CBD. The biocatalytic product 77 exhibited no effect against mammary tumor cells, revealing the importance of the free C10 hydroxy group. The inactive effect of **58** and **59** indicates that  $\Delta^{11,12}$  cannot be substituted by heterocyclic SP2, SP, or heteroatom-containing functional groups. Interestingly, 62 was almost devoid of anticancer activity, while the activity of 63 was greater than that of  $\alpha$ -CBD, indicating the potential selectivity of the double-bond geometry. Compound 64 showed more potent anticancer activity than that of its parent  $\alpha$ -CBD at higher doses, with an IC<sub>50</sub> of 15  $\mu$ M, revealing that the 14-membered macrocycle is not necessary for anticancer bioactivity.

The anticancer activities of  $\beta$ -CBD and its analogs were assayed on PC-3M-CT<sup>+</sup> cells (human highly metastatic prostate cancer cells) [20].  $\beta$ -CBD showed little cytotoxic and potential anticancer activities at concentrations of 10–20 nM; however, it was cytotoxic at

50  $\mu$ M or higher.  $\beta$ -CBD inhibited the basal growth of PC-3M cells and abolished cell proliferation of Calcitonin (CT)-stimulated PC-3M at a certain concentration. Semisynthetic derivatives **50–52** displayed potent anticancer effects against PC-3M cells at concentrations from 5 to 50 nM and exhibited lower toxicity than  $\beta$ -CBD at 50 nM. Biocatalytic products **86–88** possessed potent anticancer activity at 50 nM without cytotoxicity as compared to  $\beta$ -CBD. The effects of **50–52** on baseline and CT-stimulated TER and paracellular permeability were assayed. Only **51** could stabilize junctional complexes and considered worthy of further study.

The anticancer effects of 8-O-methylcembrenediol and its analogs against PC-3M-CT<sup>+</sup> cells were evaluated [25]. At a concentration of 50 µM, 70, 73, 77, 86, 89, and 90 exhibited better activity than that of the vehicle control (DMSO, p < 0.05), while 74 was insignificant. These results indicate that the *E*-geometry is the preferable geometry for exertion of an anticancer effect, rather than the Z-geometry of  $\Delta^{7,8}$ . At 50  $\mu$ M, 8-O-methylcembrenediol and 71 were cytotoxic, and their activity was thus evaluated at concentrations from 10 to 1000 nM. Both showed no activity at the lowest tested dose (10 nM) and exhibited a typical dose-response effect at 100, 500, and 1000 nM versus the vehicle control. A dose of 1000 nM 8-O-methylcembrenediol and 71 reduced the disaggregation and cell migration of PC-3M-CT+ spheroids by 65% and 75%, respectively, while the positive control was inactive in this dose range and only showed activity at 50–200 µM. Based on the above biological results, epoxidation, acetylation, oxidation, or hydroxylation at C6 of 8-O-methylcembrenediol reduced the anticancer effect, while only the (7S,8S)-epoxidized derivative 71 showed potent activity. This result indicates the direction of future modifications; specifically, (75,85)-oxirane could be used to replace the  $E \Delta^{7,8}$  system. Additionally, 8-Omethylcembrenediol and 71 were the two most active compounds in the wound-healing assay at a concentration of 50  $\mu$ M. Compared to  $\beta$ -CBD and the positive control 8-Omethylcembrenediol was more active, indicating that the compound's effect could be enhanced through the C4-O-methylation of  $\beta$ -CBD. Compounds 73 and 90 were the next most active compounds, indicating that the effects of C6-oxidation on the ketone or (115,125)-epoxidation activity were negligible. The least active compounds were 86 and **89**, indicating that the C-10 or C-13-hydroxylation of  $\beta$ -CBD reduced the activity. The poor activity of **75** indicates that the E geometry, rather than the Z-geometry of  $\Delta^{2,3}$ , is most conducive for improving the activity.

Both  $\alpha$ -CBD and its semisynthetic analogs 22–44 and 45–49 were previously assayed for their anticancer activities against HL-60 (human promyelocytic leukemia cell line), SMMC-772 (human hepatocellular carcinoma cell line), A-549 (human lung cancer cell lines), and MCF-7 (human breast cancer cell line) using MTS assay [22]. At a concentration of 40  $\mu$ M, the parent nucleus  $\alpha$ -CBD exhibited moderate inhibitory activities on tumor cell growth (29%, 25%, 20%, 47%, and 10%), and these activities were parallel to the data reported in the literature. Among the C6-esterified derivatives 22-44 most of the compounds showed different degrees of activity on the five cell lines, except for the 22, 23 and **28** derivatives with a short carbon chain in the carboxyl group. More specifically, compared to a positive control (cisplatin), 24-27 and 29-32 exhibited competitive inhibitory effects against MCF-7 cells and 27 and 30-32 exhibited even better anticancer effects, with IC<sub>50</sub> values of 16.57, 15.45, 19.11 and 17.42  $\mu$ M, respectively. Compared to the positive control (cisplatin), compounds 33-37, 39-42, and 44 showed competitive inhibitory effects against SMMC-772 cells; 35 and 41 exhibited the most activity, with IC50 values of 13.26 and 11.44 µM, respectively. For the C6 alkylated derivatives 45–49, only 46 and 49 showed weak effects against A-549 cells; the other compounds were inactive. SAR revealed that the phenylacetate substituents at the C6 position play an important role in the anticancer activity of the compounds.

#### 4.2. Neuroprotective Activity

The first evidence that cembranoids possessed neuroprotective activity was found in 1981, when it was determined that a marine cembranoid analog (lophotoxin) could affect nicotinic acetylcholine receptors (AChRs) [30,31]. Previously,  $\alpha$ - and  $\beta$ -CBD had been evaluated on human  $\alpha 4\beta 2$  neuronal AChRs and human  $\alpha 3\beta 4$  ganglionic AChRs, with the potency found to be greater for  $\beta$ -CBD than for  $\alpha$ -CBD on both receptors.  $\beta$ -CBD was able to completely and noncompetitively inhibit the agonist-induced <sup>86</sup>Rb flux via the above two receptors, and showed a slightly higher potency on the  $\alpha 3\beta 4$  AChR (IC<sub>50</sub> value, 2.2  $\mu$ M) than on the  $\alpha$ 4 $\beta$ 2 AChR (IC<sub>50</sub> value, 19.1  $\mu$ M) [32]. However, later studies on rat  $\alpha$ 4 $\beta$ 2 neuronal AChRs expressed in *Xenopus* oocytes found no difference in the potencies of  $\alpha$ and  $\beta$ -CBD in inhibiting agonist-induced currents; both compounds could more effectively inhibit the nicotine-induced response than the acetylcholine-induced response, and the inhibition was significantly increased through preincubation with cembranoid [33]. Additionally, at a dose of 6 mg/kg  $\beta$ -CBD could inhibit the expression of sensitization to the level of saline controls in mecamylamine-injected sensitized rats [32]. The effect of tobacco cembranoids on AChRs and related ligand-gated ion channels has been systematically summarized [34], several excellent works have subsequently been published on the topic.

It has been reported that  $\beta$ -CBD can improve the effect of NMDA (N-methyl-D-aspartate)-induced excitotoxicity in vivo.  $\beta$ -CBD protected the function of the hippocampal slice in a dose-dependent manner, with an EC<sub>50</sub> value of 0.24  $\mu$ M. Further studies suggested that the neuroprotective effect of  $\beta$ -CBD might be mediated by the activation of the PI3-kinase/Akt antiapoptotic cascade. Thus, GSK3- $\beta$  (glycogen synthase kinase 3- $\beta$ ) was inhibited, causing the reversal of NMDA-induced apoptosis. The Raf/MEK/ERK cascade does not participate in  $\beta$ -CBD-mediated neuroprotection [35].  $\beta$ -CBD was able to reverse POX (OP paraoxon) inhibition of population spikes (PSs), with an EC<sub>50</sub> value of 0.8  $\mu$ M. While atropine alone could not protect against POX neurotoxicity, it enhanced protection by adding  $\beta$ -CBD.  $\beta$ -CBD could not regenerate AChE (acetylcholinesterase), indicating that the toxic effect of POX on hippocampal function might not be directly related to AChE inhibition [36].  $\beta$ -CBD improved the neurotoxicity induced by DFP (diisopro-pylfluorophosphate) in hippocampal area CA1, decreasing the number of dead neurons by 50% when injected before or after the application of DFP, and significantly reduced the number of activated astrocytes [37].

The parents  $\alpha$ -CBD,  $\beta$ -CBD, 8-O-methylcembrenediol, and their semisynthetic or biocatalytic derivatives 62, 70, 72, 73, 75, 76, 83-87 were previously evaluated for their effect on protecting the population spikes from DFP-induced damage and intrinsic toxicity. When  $\beta$ -CBD (10  $\mu$ M) was applied for 30 min after DFP, an obvious reversal of DFP toxicity from 28% to 86% recovery was recorded (p < 0.01). At the same concentration of 10  $\mu$ M, analogs 62, 72, 73, 75, 76, and 83–87 exhibited similar effects to those observed with β-CBD; analog 70 resulted in an incomplete reversal of DFP toxicity, while α-CBD and 8-O-methylcembrenediol completely lost their protective activity. SAR analysis revealed that hydroxylation at C-9, C-10, C-19, and C-20 (83-87) or oxidation of C-6 hydroxyl to a keto group (62) maintained the full neuroprotective activity of  $\beta$ -CBD. Despite having an entirely different chemical skeleton from that of other cembrenediols, 76 possessed protective activity as well. It has been demonstrated by a comparison of  $\alpha$ -CBD and  $\beta$ -CBD that the 4R configuration is necessary for activity. A free  $\beta$ -hydroxy at C-4 is important for anticancer activity as well, as this activity is lost by the methylation of C-4 hydroxy (as occurs in 8-O-methylcembrenediol). The carbonyl at C-6 (73 and 75) can restore the lost protective effect of 8-O-methylcembrenediol. The  $\Delta^{2,3}$  configuration may not be essential for activity, as suggested by the potent activity of both the E- and Z-oriented  $\Delta^{2,3}$  in **73** and 75, respectively. The (115,125)-epoxy functionality could rescue the protective activity of 8-O-methylcembrenediol, as suggested by the activity of its (11,12)-epoxy analogs 72 and 90. The C6-O-acetate in 72 did not affect the activity of 90. The (7R,8S)-epoxy present in 70 partially rescued the activity of 8-O-methylcembrenediol [38].

In addition to the abovementioned protective activities of  $\beta$ -CBD against the neurotoxicity induced by NMDA, POX, and DFP, it was found that  $\beta$ -CBD showed potent neuroprotective activity in a 6-OHDA (6-hydroxydopamine)-induced Parkinson's disease (PD) rat model. Treatments with  $\beta$ -CBD (6 and 12 mg/kg) significantly improved the outcomes of 6-OHDA-induced PD in vivo, as indicated by reduced forelimb asymmetry scores and corner test scores (*P* < 0.05). The neuroprotective effect of  $\beta$ -CBD was demonstrated by decreased depletion of TH (tyrosine hydroxylase) in the striatum and SN (substantia nigra) on the side injected with 6-OHDA. Moreover,  $\beta$ -CBD protected differentiated neuro-2a cells from 6-OHDA-induced cytotoxicity in vitro. The activation of p-AKT and HAX-1 and the inhibition of caspase-3 and endothelial inflammation are involved in  $\beta$ -CBD-mediated protection against 6-OHDA-induced neurotoxicity [39].

The neuroprotective effect of cembranoids could be mediated by their anti-inflammatory activities [40];  $\alpha$ - and  $\beta$ -CBD have both been found to inhibit prostaglandin synthesis, with a lower IC<sub>50</sub> value than that of acetylsalicylic acid [41]. The neuroprotective effect of  $\beta$ -CBD against NMDA-induced toxicity has been observed in both C57BL/6J wildtype (WT) and  $\alpha$ -7-knockout ( $\alpha$ 7KO) mice. It was found that hippocampal TNF- $\alpha$  and IL- $1\beta$  levels were decreased with the application of  $\beta$ -CBD following LPS (lipopolysaccharide) exposure in both strains of mice.  $\beta$ -CBD was able to restore LPS-induced cognitive decline in the long-term NOR test. There was a significant increase in the phosphorylation of STAT3, CREB, and Akt1 after treatment with  $\beta$ -CBD in WT mice following LPS exposure. In  $\alpha$ 7KO mice, only pAkt levels were significantly increased in the cortex.  $\beta$ -CBD significantly upregulated the mRNA levels of ORM2, GDNF, and C3 following LPS exposure, which plays a role in modulating microglial activation, neuronal survival, and memory [42]. In order to investigate whether the central anti-inflammatory activity of  $\beta$ -CBD is active in the peripheral inflammation that is triggered by brain ischemia, macrophages treated with LPS were used as an inflammatory model and then treatment with  $\beta$ -CBD was performed. The results showed that  $\beta$ -CBD is related to a reduction in NFKB1 and ITGB5 gene expression, increased phosphorylation of NF-kB, and a decrease in macrophage adhesion in a blood-brain barrier model, suggesting that  $\beta$ -CBD can partially modulate the peripheral immune response and might be a potential drug against postischemic inflammation [43].

#### 4.3. Antimicrobial Activity

α- and β-CBD were first identified as antimicrobial agents in 1977. These two compounds were assayed for their inhibitory effects on the germination of *Peronospora tabacina* and *Monilinia fructicola*. It was found that the toxicity of β-CBD is greater than that of α-CBD to *P. tabacina*, with EC<sub>50</sub> values of 17 and 24 µg/mL, respectively, while the reverse situation is true for *M. fructicola*, with EC<sub>50</sub> values of >100 and 56 µg/mL, respectively, and EC<sub>25</sub> values of 100 and 40 µg/mL, respectively [44]. Another in vitro microbial bioassay evaluated the influence of these two compounds on the germination and germ tube morphology of *P. tabacina* sporangia. Germination of sporangia was completely inhibited by α- and β-CBD at a concentration of 10 µg/cm<sup>2</sup>. In contrast to a previous report, the activity of α-CBD was greater than that of β-CBD. The toxic effects of α- and β-CBD were not altered by the pH. Diluting the α- and β-CBD to less than 0.1 µg/cm<sup>2</sup> resulted in a small though significant stimulation of germination. Both α- and β-CBD had previously been identified only as an inhibitor against *P. tabacina* [45]. Later, α- and β-CBD were evaluated for their biological activity against *Colletotrichum lagenarium* (Pass.) Ell. & Halst, the anthracnose pathogen of cucumber, with an IC<sub>50</sub> value of 6.3 µg/cm<sup>2</sup> [46].

Both  $\alpha$ - and  $\beta$ -CBD have been screened for antibacterial activity against *S. aureus*, *B. subtilis*, *E. coli*, *S. typhimurium*, *Shigella dysenteraie*, *P. aeruginosa*, and *P. vulgaris* as well as for antifungal activities against *Candida albicans*, *Fusarium chlamydosporum*, *Rhizoctonia bataticola*, *Aspergillus niger*, and *Alternaria alternata*.  $\beta$ -CBD showed broad spectrum antimicrobial properties, inhibiting almost all the test bacteria and fungi at a concentration of 50

 $\mu$ g/disc and with a zone of inhibition ranging from 8 to 30 mm, while  $\alpha$ -CBD only showed weak activities, with a zone of inhibition less than 30 mm [47].

The antifungal activity of tobacco extracts was evaluated on Valsa mali, the causative pathogen of apple tree canker disease, indicating that extracts with a weaker polarity had a higher fungicidal activity and that the inhibitory effect was cultivar-dependent. The effects of flower extracts were higher than those of the leaf extracts.  $\alpha$ - and  $\beta$ -CBD were deduced to be the main fungicidal substances able to destroy the endometrial structure of the fungus. At a concentration of 80  $\mu$ g/mL the cembranoids completely inhibited the growth of V. mali, with an EC50 value of 13.18 µg/mL. The results indicated that tobacco cembranoids are potential resources for the biological control of V. mali [48]. The underlying mechanism of the representative ingredient was further investigated, and the EC<sub>50</sub> value of α-CBD against V. mali was 18.0 µg/mL. Treating V. mali with α-CBD led to various mycelial and cellular abnormalities and the up- and downregulation of 94 and 170 differentially expressed genes, respectively;  $\alpha$ -CBD substantially altered the expression of the genes involved in the redox process, tetrapyrrole binding, coenzyme binding, heme binding, and iron binding. An enrichment analysis in the Kyoto Encyclopedia of Genes and Genomes revealed significant enrichment of the specific metabolic pathways that involve the set of differentially expressed genes [49].

Both  $\alpha$ - and  $\beta$ -CBD can inhibit wild and multiresistant strains of *Botrytis cinerea*, the causative pathogen of crop fungal diseases, with EC<sub>50</sub> values of 9.67–16.38  $\mu$ g/mL. At a concentration of 200  $\mu$ g/mL,  $\alpha$ - and  $\beta$ -CBD inhibited *B. cinerea* in different fruits by 71.9 to 84.2%. Significant damage to the membrane system of B. cinerea resulted in organelle disintegration while sparing the cytoderm. Investigations of the underlying mechanism revealed that  $\alpha$ - and  $\beta$ -CBD increased the activity of chitinase, resulting in an accumulation of N-acetyl-D-glucosamine and causing a significant increase in conductivity and cellular contents by increasing membrane permeability. Furthermore,  $\alpha$ - and  $\beta$ -CBD induced membrane lipid peroxidation, which was associated with the oxidative stress reaction. These results provide a scientific foundation for the application of cembrenediols as an alternative biological agent against B. cinerea [50]. An investigation of the SAR of tobacco cembranoids and a crude extract with antifungal activities revealed that the antifungal activity of cembranoids was related to the number of hydroxyl groups and double bonds. The existence of  $\Delta^{11,12}/\Delta^{7,8}$  and hydroxylation at C-6/C-4 contributed to antifungal activity. Differences in the type and position of substituents affected antifungal activity, of which  $\Delta^{11,12}$  was the most influential factor. Moreover, the change in substituent configuration at C-4 and C-12 had an insignificant effect on antifungal activity [51].

# 5. Patent Release of $\alpha$ -/ $\beta$ -CBD and Their Analogs

Patents related to the application of  $\alpha$ -/ $\beta$ -CBD and their analogs were searched via structural retrieval in the Scifinder<sup>®</sup> database for the period from 2000 to 2022. After removing duplicates, a total of ten documents that were closely related to this study were obtained; these are summarized in Table 1. The documents contained four granted PCTs, four granted American patents, and two published Chinese patents. The contents of these ten selected patents are consistent with the aforementioned published journal articles, and can be classified into three application fields, namely, the neuroprotective, anticancer and antimicrobial fields.

Patent Title	Application Fields	Patent No.	Ref.
Neuronal circuit-dependent neuroprotection by interaction between nicotinic receptors	Neuroprotective	WO2008/002594 A2	[52]
Methods and compositions for protecting and treating neuroinjury	Neuroprotective	WO2011/008585	[53]
Therapeutic application of cembranoids against HIV virus replication, HIV-associated neurocognitive disorders and HIV virus-in- duced inflammation	Neuroprotective	US8835512B2	[54]
Tobacco cembranoids block the expression of the behavioral sensitization to nicotine and in- hibit neuronal acetylcholine receptors	Neuroprotective	US6489357B1	[55]
Therapeutics and methods to treat angiogene- sis related pathologies	Anticancer	WO2018/213824 A2	[56]
Antineoplastic agent	Anticancer	WO86/02835	[57]
Anticancer tobacco cembranoids	Anticancer	US79777384B1	[58]
Antitumor agent	Anticancer	US4701570	[59]
Preparation method and application of ceba- trien-4-ol-6-carboxylate	Anticancer	CN109970558A	[60]
Method for extracting cembrane diterpenes from tobacco inflorescence	Antimicrobial	CN105001052A	[61]

**Table 1.** Published and granted patents related to  $\alpha$ - and  $\beta$ -CBD and their semisynthetic analogs.

# 6. Conclusions and Perspectives

This review summarizes a total of 90 semisynthetic and biotransformed analogs of  $\alpha$ -CBD and  $\beta$ -CBD reported between the beginning of 1962 and the end of 2022. A research team led by Professor Khalid A. El Sayed (University of Louisiana at Monroe) performed many excellent studies on the antitumor effects and structure–activity relationship of  $\alpha$ - and  $\beta$ -CBD and their derivatives. The research team of Professor P.A. Ferchmin (Universidad Central Del Caribe) conducted systematic studies on the neuroprotective activities of  $\beta$ -CBD, while researchers from the Tobacco Research Institute of Chinese Academy of Agricultural Sciences performed a comprehensive study on the antifungal activities of  $\alpha$ - and  $\beta$ -cembrenediol. Overall,  $\alpha$ -CBD and its derivatives show greater anticancer advantages than  $\beta$ -CBD, while  $\beta$ -CBD has more potent neuroprotective activities than those of  $\alpha$ -CBD; both  $\alpha$ - and  $\beta$ -CBD possess moderate antimicrobial effects. The more recent research has great value in promoting the application of tobacco cembrenediol. The potential lead compounds that have been derived from  $\alpha$ - and  $\beta$ -CBD are listed in Table 2.

NO.	<b>Chemical Structures</b>	Potent Bioactivities (IC50 or EC50 Value)		
α-CBD	ОН 🔹 ОН	Anti-proliferation on MDA-MB-231 (34.3 µM), MDA-MB-468 (39.3	9.3	
		μM), MCF-7 (61.2 μM), T-47D (61.9μM), SK-BR-3 (48.9 μM), and BT-474		
		(52.6 μM) cell lines;	[21,22,20]	
		Antifungal effect against P. tabacina (24 µg/mL), V. mali (18.0 µg/mL),	44,40]	
		and <i>B. cinerea</i> (9.67–16.38 µg/mL);		
β-CBD	ОН 💈 ОН	Protective against neurotoxicity induced by NMDA (0.24 $\mu$ M), POX		
		(0.8 $\mu$ M), and DFP in rat hippocampal slices;	[35–	
	)	Protective activities on 6-OHDA-induced PD model;	37,39,44,	
		Antifungal effect against P. tabacina (17 µg/mL), V. mali, and B. cinerea	45]	
		(9.67–16.38 μg/mL);		

**Table 2.** Potential lead compounds derived from  $\alpha$ -CBD and  $\beta$ -CBD.

8		Anti-proliferation on MDA-MB-231 (9.1 μM) and MDA-MB-468 cells (10.6 μM); Inhibition on c-Met catalytic activity (3.4 μM);	[21]
12		Anti-proliferation on MDA-MB-231 (5.3 μM) and MDA-MB-468 cells (6.6 μM); Inhibition on c-Met catalytic activity (2.9 μM);	[21]
16	F <sub>3</sub> C	Anti-proliferation on MDA-MB-231 (4.9 μM) and MDA-MB-468 cells (5.8 μM); Inhibition on c-Met catalytic activity (1.8 μM);	[21]
18	F <sub>3</sub> C H O OH	Anti-proliferation on MDA-MB-231 (1.3 $\mu$ M), MDA-MB-468 (2.1 $\mu$ M), MCF-7 (7.2 $\mu$ M), T-47D (11.2 $\mu$ M), SK-BR-3 (4.7 $\mu$ M), and BT-474 (3.9 $\mu$ M) cell lines; Inhibition on c-Met catalytic activity (1.1 $\mu$ M);	[21,22]

Although previous scientific studies have demonstrated that  $\alpha$ -CBD and  $\beta$ -CBD and their analogs (which have been registered as patents in many countries) might be potential candidates for the treatment of anticancer and neurodegenerative diseases and even for agricultural diseases, there are several issues that must be overcome before they become drugs. First,  $\alpha$ - and  $\beta$ -CBD have poor solubility in water, possibly causing a low absorption and bioavailability. Second, according to our unpublished experimental results, while  $\alpha$ - and  $\beta$ -CBD are stable in methanol and ethanol solutions, they become unexpectedly reversed in acetonitrile solutions, and can even be degraded within several minutes. Increasing the stability of  $\alpha$ -CBD and  $\beta$ -CBD and their analogs thus represents a great challenge for their development in future new drugs. Additionally, although the intake of cembranoids through tobacco smoking consumption is not desirable (commercial tobacco is poor in cembranoids), this matrix deserves to be studied for the presence of these bioactive compounds.

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