

Article **Mode Coupling Analysis for a Mode Selective Coupler Using the Supermode Theory**

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Abstract: In this paper, the mode selective coupler (MSC) is analyzed using the supermode theory. It is shown that all characteristic parameters of the MSC can be obtained using the propagation constants of the supermodes supported by the MSC structure. Simulation results show that the characteristic parameters calculated by the supermode theory match well with those calculated by the traditional coupled mode theory (CMT) near the phase matching point of the MSC structure. In practice, the propagation constants of the supermodes can be obtained using common finite element software directly, avoiding the complex double integral in the traditional CMT. This analysis based on the supermode theory gives a deeper insight into the characteristics of the MSC, providing a fast and accurate method for the analysis of MSCs, which is helpful for their design, fabrication and applications.

Keywords: mode selective coupler; coupled mode theory; supermode theory; coupling length; maximum power-coupling efficiency

Citation: Ren, W.; Wang, F.; Ren, G. Mode Coupling Analysis for a Mode Selective Coupler Using the Supermode Theory. *Photonics* **2022**, *9*, 63. [https://doi.org/10.3390/](https://doi.org/10.3390/photonics9020063) [photonics9020063](https://doi.org/10.3390/photonics9020063)

Received: 10 November 2021 Accepted: 22 January 2022 Published: 25 January 2022

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1. Introduction

Mode selective couplers (MSCs) are key components of future mode division multiplexing (MDM) optical networks [\[1](#page-10-0)[,2\]](#page-10-1), which could be used in a mode multiplexer/ demultiplexer [\[3](#page-10-2)[–7\]](#page-10-3), few-mode fiber lasers [\[8](#page-10-4)[–16\]](#page-11-0), etc. Usually, an MSC consists of a singlemode-fiber (SMF) and a few-mode-fiber (FMF) whose cores are brought close together. Thus, there is strong power coupling between the fundamental mode of the SMF and a specific high-order mode of the FMF, which are designed to have similar propagation constants.

Fiber couplers are commonly analyzed using the coupled mode theory (CMT) [\[17](#page-11-1)[–19\]](#page-11-2). Especially for the directional coupler composed of two identical single-mode fibers, the difference of the propagation constants of the two fibers is zero, and the mode coupling coefficient can be calculated using an empirical expression obtained from the CMT [\[18,](#page-11-3)[19\]](#page-11-2).

However, for an MSC composed of different kinds of fibers, the propagation constants of the two considered modes may be different and should be calculated using other methods. Also, there is no empirical expression for the calculation of the coupling coefficients, and they must be calculated using the complex double integral obtained from the CMT. Furthermore, if there are no analytical expressions for the modal spatial distribution of the modes supported by individual fiber cores (e.g., graded-index fiber), the modal spatial distributions should be calculated by using the numerical method or software first. This increases the difficulty for the analysis and design of MSCs and related work using the traditional CMT.

In this paper, the supermode theory is applied to the analysis of the MSC [\[20](#page-11-4)[–27\]](#page-11-5). It is shown that all characteristic parameters of the MSC can be obtained using only the propagation constants of the supermodes supported by the MSC structure. Simulation results show that the calculation results using the proposed method agree well with those calculated using the traditional CMT near the phase matching point of the MSC structure.

In practice, the propagation constants of the MSC's supermodes can be obtained easily using finite element software [28], which significantly simplifies [the](#page-11-6) analysis and design of the MSC. The supermode theory also provides a deeper insight into the characteristics of the MSC. $T_{\rm N}$ paper is organized as follows. In Section 2, the MSC is analyzed using the MSC is analyzed using the CMTT

The paper is organized as follows. In Section 2, the MSC is analyzed using the CMT and supermode theories. It is shown that all the characteristic parameters of the MSC can be obtained using the propagati[on](#page-4-0) constants of its supermodes. In Section 3 , the supermodes supported by the MSC structure are obtained using the commonly used software COMSOL Multiphysics, and the results are compared to those obtained using the traditional CMT. Then, the characteristic parameters of the MSC are calculated using the supermode theory and the traditional CMT under different gap sizes, core radiuses, and core indexes. The results are compared and discussed. Finally, a conclusion is drawn in Section [4.](#page-10-5) Section 4.

2. Theory 2. Theory

The model of a twin-core fiber that is usually used for the theoretical analysis of the theoretical analysis of the MSC is presented in Figure [1.](#page-1-1) It is composed of SMF core 1 with *V* < 2.4048 and FMF core 2 MSC is presented in Figure 1. It is composed of SMF core 1 with *V* < 2.4048 and FMF core with *V* > 2.4048. Here, n_1 and n_2 represent the refractive indexes of the two fiber cores, n_0 represents the refractive index of the cladding, a_1 and a_2 represent the radiuses of the two represents the refractive index of the cladding, a_1 and a_2 represent the radiuses of the two fiber cores, and *d* represents the gap size between the two cores. fiber cores, and *d* represents the gap size between the two cores. represents the refractive index of the cladding, *a*¹ and *a*² represent the radiuses of the two

Figure 1. Structure diagram of the MSC. **Figure 1.** Structure diagram of the MSC.

2.1. Coupled Mode Theory for the MSC 2.1. Coupled Mode Theory for the MSC

Here, we suppose the mode conversion occurs between the fundamental modes of the modes of th SMF 1 (i.e., HE_{11p-1} , HE_{11s-1}) and the second-order modes of the FMF 2 (i.e., TE_{01-2} , HE_{21p-2} , HE_{21s-2} , TM₀₁₋₂). The six vector modes can be classified into two groups: p-modes group $(HE_{11p-1}, HE_{21p-2}, TM_{01-2}$ modes) and s-modes group $(HE_{11s-1}, TE_{01-2}, HE_{21s-2}$ modes). The mode coupling only occurs between the modes in the same group. In order to simplify the modes in the same group. In order to simplify the analysis, we only discuss the mode coupling in the p-modes group. The properties of the analysis, we only discuss the mode coupling in the p-modes group. The properties of the s-modes group are similar to those of the p-modes group.
S-modes group are similar to those of the p-modes group.

where $m = 1, 2, 3$ represents the HE_{11p-1}, HE_{21p-2}, TM₀₁₋₂ mode, respectively. Here $A_m(z)$ is the amplitude of the *m*-th modal field, ψ *m*(*x,y*) is the modal spatial distribution, and β *m* is the amplitude of the *m-th* modal field, *ψm*(*x,y*) is the modal spatial distribution, and *β^m* is the corresponding propagation constant. The mode coupling among the three modes can $\frac{1}{2}$ described by the following coupled-mode equations $\frac{1}{2}$ 23 be described by the following coupled-mode equations $\frac{1}{2}$ The mode field of each mode can be written as $\vec{E}_m = A_m(z)\psi_m(x,y) \exp(-j\beta_m z)$, be described by the following coupled-mode equations [\[22](#page-11-7)[,23\]](#page-11-8)

$$
\frac{d\mathbf{A}}{dz} = -j\mathbf{C}\mathbf{A},\tag{1}
$$

where $\mathbf{A} = [A_1(z) A_2(z) A_3(z)]^T$, and **C** is a 3 \times 3 matrix with elements given by

$$
c_{mk} = \begin{cases} \kappa_{mk} \exp[j(\beta_m - \beta_k)z] & m \neq k \\ M_m & m = k \end{cases}
$$
 (2)

and [\[18\]](#page-11-3)

$$
\kappa_{mk} = \frac{\omega \varepsilon_0 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (N_{total}^2 - N_k^2) \psi_m^*(x, y) \psi_k(x, y) dx dy}{4 \sqrt{P_m} \cdot \sqrt{P_k}},
$$
\n
$$
M_m = \frac{\omega \varepsilon_0 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (N_{total}^2 - N_m^2) \psi_m^*(x, y) \psi_m(x, y) dx dy}{4P_m}.
$$
\n(3)

Here *κmk* is the mode coupling coefficient between the *m*-th and *k*-th (*m*, *k* = 1, 2, 3, and $m \neq k$) modes, M_m is the self-coupling coefficient of the *m*-th mode, $\psi_m(x,y)$ and $\psi_k(x,y)$ are corresponding modal spatial distributions, *ω* is the free-space angular frequency of the light, $ε₀$ is the vacuum permittivity, N_{total} is the refractive index distribution in the entire fiber MSC, N_k is the refractive index distribution of the individual fiber waveguide that supports the *k*-th mode, and P_m and P_k are the optical power carried by the *m*-th and *k*-th mode, respectively.

Equation (1) cannot be solved readily as the elements in **C** are z-dependent. Using

$$
E_m(z) = A_m(z) \exp(-j\beta_m z), \qquad (4)
$$

Equation (1) can be transferred to

$$
\frac{d\mathbf{E}}{dz} = -j \mathbf{RE},\tag{5}
$$

where $\mathbf{E} = [E_1(z) E_2(z) E_3(z)]^T$, and \mathbf{R} is a 3 \times 3 matrix with elements given by

$$
r_{mk} = \begin{cases} \kappa_{mk} & m \neq k \\ \beta_m + M_m & m = k \end{cases} \tag{6}
$$

The solution of Equation (5) can be obtained as [\[22](#page-11-7)[,23\]](#page-11-8)

$$
\mathbf{E}(z) = \mathbf{V}[\exp(-j\gamma_m z)\delta_{mk}] \mathbf{V}^{-1} \mathbf{E}(0)
$$
\n(7)

where δ_{mk} is the Kronecker delta function, γ_m is an eigenvalue of the matrix **R**, **V** = [**v**₁ **v**₂ \mathbf{v}_3], and \mathbf{v}_m is the corresponding eigenvector.

For the mode degeneracy in practical weakly guiding optical fibers, the propagation constants of HE_{21p-2} mode and TM₀₁₋₂ mode can be considered as equal, i.e., $\beta_2 = \beta_3$. Additionally, $M_2 = M_3$. Under such conditions, the eigenvalues and corresponding eigenvectors of the matrix **R** can be shown to be

$$
\gamma_1 = \frac{\beta_1 + \beta_2}{2} + \frac{M_1 + M_2}{2} + \kappa_e, \ \gamma_2 = \beta_2 + M_2, \ \gamma_3 = \frac{\beta_1 + \beta_2}{2} + \frac{M_1 + M_2}{2} - \kappa_e, \tag{8}
$$

Furthermore,

$$
\mathbf{v}_1 = \begin{bmatrix} \frac{\kappa_e + \delta}{\kappa_{31}} \\ \frac{\kappa_{21}}{\kappa_{31}} \\ 1 \end{bmatrix}, \, \mathbf{v}_2 = \begin{bmatrix} 0 \\ -\frac{\kappa_{13}}{\kappa_{12}} \\ 1 \end{bmatrix}, \, \mathbf{v}_3 = \begin{bmatrix} -\frac{\kappa_e - \delta}{\kappa_{31}} \\ \frac{\kappa_{21}}{\kappa_{31}} \\ 1 \end{bmatrix}, \tag{9}
$$

where

$$
\delta = \frac{\beta_1 - \beta_2}{2} + \frac{M_1 - M_2}{2}, \ \kappa_e = \sqrt{\delta^2 + \kappa_{12}\kappa_{21} + \kappa_{13}\kappa_{31}} = \sqrt{\delta^2 + \kappa^2}
$$
(10)

The parameter δ denotes the difference between the fundamental mode HE_{11p-1} mode and TM01-2/HE21p-2 mode, *κ* denotes the coupling coefficient, and *κ^e* is the effective coupling coefficient of the MSC [\[19\]](#page-11-2). It is obvious that $\gamma_1 > \gamma_2 > \gamma_3$.

When light is launched into core 1, i.e., $A_1(0) = 1$, $A_2(0) = A_3(0) = 0$, analytical solutions for the amplitudes of the mode field can be obtained as

$$
A_1(z) = \left[\cos(\kappa_e z) - j\frac{\delta}{\kappa_e} \sin(\kappa_e z)\right] \exp(j\delta z),
$$

\n
$$
A_2(z) = -j\frac{\kappa_{21}}{\kappa_e} \sin(\kappa_e z) \exp(j\delta z),
$$

\n
$$
A_3(z) = -j\frac{\kappa_{31}}{\kappa_e} \sin(\kappa_e z) \exp(j\delta z).
$$
\n(11)

Thus, the normalized mode powers can be expressed as

$$
P_1(z) = |A_1(z)|^2 = \frac{\delta^2}{\kappa_{\xi}^2} + \frac{\kappa_{12}\kappa_{21} + \kappa_{13}\kappa_{31}}{\kappa_{\epsilon}^2} \cos^2(\kappa_{\epsilon}z),
$$

\n
$$
P_2(z) = |A_2(z)|^2 = \frac{\kappa_{21}^2}{\kappa_{\xi}^2} \sin^2(\kappa_{\epsilon}z),
$$

\n
$$
P_3(z) = |A_3(z)|^2 = \frac{\kappa_{31}^2}{\kappa_{\epsilon}^2} \sin^2(\kappa_{\epsilon}z).
$$
\n(12)

The coupling length of the MSC is defined as [\[19\]](#page-11-2)

$$
L_c = \frac{\pi}{2\kappa_e} = \frac{\pi}{2\sqrt{\delta^2 + \kappa^2}}.\tag{13}
$$

We can also define the maximum power-coupling efficiency as [\[18\]](#page-11-3)

$$
F = 1 - P_1(z)_{\min} = 1 - \frac{\delta^2}{\kappa_e^2}.
$$
 (14)

2.2. Supermode Theory for the MSC

In Section [2.1,](#page-1-2) the MSC was analyzed using the CMT, in which there was periodic power transfer among the modes (HE_{11p-1} , HE_{21p-2} , TM_{01-2} modes), and the power of each mode varied along the *z* direction. The amplitudes of the three modes were determined by the coupled mode equations.

However, there is another kind of mode whose electrical field distribution does not vary along the MSC length. That is the eigen mode of the MSC structure, which is also called the supermode [\[19\]](#page-11-2). Normally, the mode field of the supermode can be constituted by a linear combination of the mode field supported by the individual fiber waveguide that forms the MSC, i.e., the mode field of the supermode can be written as

$$
\widetilde{E}_s(z) = \sum_{m=1}^3 A_m(z) \psi_m(x, y) \exp(-j\beta_m z) \tag{15}
$$

On the other hand, the electrical field distribution of the supermode is *z* independent, so it can be written as

$$
E_s(z) = [b_1 \psi_1(x, y) + b_2 \psi_2(x, y) + b_3 \psi_3(x, y)] \exp(-j\beta_s z), \tag{16}
$$

where b_1 , b_2 , b_3 are constants and β_s is the propagation constant of the supermode supported by the MSC structure.

By comparing Equation (15) with (16), we can obtain

$$
A_m(z) = b_m \exp[-j(\beta_s - \beta_m)z] \qquad (m = 1, 2, 3)
$$
 (17)

By substituting Equation (17) into Equation (1), we obtain the following equation

$$
\begin{bmatrix}\n\beta_1 + M_1 & \kappa_{12} & \kappa_{13} \\
\kappa_{21} & \beta_2 + M_2 & 0 \\
\kappa_{31} & 0 & \beta_3 + M_3\n\end{bmatrix}\n\begin{bmatrix}\nb_1 \\
b_2 \\
b_3\n\end{bmatrix} = \beta_s \begin{bmatrix}\nb_1 \\
b_2 \\
b_3\n\end{bmatrix}
$$
\n(18)

The left matrix in Equation (18) is the same as the matrix **R** in Section [2.1,](#page-1-2) so Equation (18) can be written as

$$
\mathbf{R}[\begin{array}{cc} b_1 & b_2 & b_3 \end{array}]^T = \beta_s[\begin{array}{cc} b_1 & b_2 & b_3 \end{array}]^T \tag{19}
$$

As observed from Equation (19), the propagation constant β_s is the eigenvalue of the matrix **R**, and $[b_1\, b_2\, b_3]^T$ is the corresponding eigenvectors. That is to say, the eigenvalues of

the matrix **R** are the propagation constants supported by the corresponding MSC structure described by the matrix **R**.

As in Section [2.1,](#page-1-2) we set $\beta_2 = \beta_3$ and $M_2 = M_3$, which are reasonable for a practical MSC. We also obtain

$$
\beta_{s1} = \frac{\beta_1 + \beta_2}{2} + \frac{M_1 + M_2}{2} + \kappa_e, \quad \beta_{s2} = \beta_2 + M_2, \quad \beta_{s3} = \frac{\beta_1 + \beta_2}{2} + \frac{M_1 + M_2}{2} - \kappa_e \tag{20}
$$

Thus, the characteristic parameters of the MSC obtained in Section [2.1](#page-1-2) can be expressed using the propagation constants of the supermodes as

$$
\delta = \frac{\beta_{s1} + \beta_{s3}}{2} - \beta_{s2},
$$
\n
$$
\kappa_e = \frac{\beta_{s1} - \beta_{s3}}{2},
$$
\n
$$
\kappa = \sqrt{(\beta_{s1} - \beta_{s2})(\beta_{s2} - \beta_{s3})},
$$
\n
$$
L_c = \frac{\pi}{\beta_{s1} - \beta_{s3}},
$$
\n
$$
F = \frac{4(\beta_{s1} - \beta_{s2})(\beta_{s2} - \beta_{s3})}{(\beta_{s1} - \beta_{s3})^2}.
$$
\n(21)

As seen from Equation (21), all characteristic parameters of the MSC can be obtained by only using the propagation constants of its supermodes. In other words, the propagation constants of the MSC provide much information about its coupling characteristics, indicating an alternative method for analysis of the MSC.

In practice, the commercial software COMSOL Multiphysics based on the finite element method provides a simple and precise tool for mode analysis of the MSC structure. The propagation constants of the supermodes supported by the MSC structure can be calculated directly and rapidly by building the corresponding model in the "Mode Analysis" study of the COMSOL Multiphysics [\[28\]](#page-11-6). Thus, we can obtain the characteristic parameters of the MSC quickly and easily.

In fact, even if the traditional CMT is employed to analyze the MSC, the propagation constants of the two individual fibers forming the MSC must still be obtained first. The COMSOL software provides one of the best ways to obtain the propagation constants.

3. Simulation and Discussion

In order to verify the feasibility and accuracy of the proposed method in Section [2,](#page-1-0) simulations were carried out in this section. The characteristic parameters of the MSC were calculated using both the supermode theory and the traditional CMT. The calculation results are compared and discussed.

3.1. Supermodes for the MSC Structure

Firstly, we utilized the COMSOL software to obtain the supermodes of the MSC. Figure [2](#page-5-0) shows the modal distributions of the six supermodes supported by an MSC structure obtained using COMSOL, whose parameters are set to be fiber core radius $a_1 = 4.493 \, \mu m$, $a_2 = 8.56 \, \mu m$, gap size $d = 5 \, \mu m$, cladding radius $d_{clad} = 62.5 \, \mu m$, cladding index $n_{cl} = 1.444$, core index $n_1 = n_2 = 1.449$, operating wavelength $\lambda = 1.55$ µm. The propagation constants of the supermodes decrease from supermode 1 to supermode 6, three of which correspond to the p-modes group $(HE_{11p-1}, HE_{21p-2}, TM_{01-2}$ modes). The remaining three supermodes correspond to the s-modes group $(HE_{11s-1}, TE₀₁₋₂, HE_{21s-2})$ modes) [\[29\]](#page-11-9). Now the question is which modes correspond to the p-modes group or s-modes group.

Figure 2. The modal spatial distributions of the supermodes supported by an MSC structure, which **Figure 2.** The modal spatial distributions of the supermodes supported by an MSC structure, which are obtained using the commercial software COMSOL Multiphysics. The structural parameters of are obtained using the commercial software COMSOL Multiphysics. The structural parameters of the MSC are set to be fiber core radius $a_1 = 4.493 \mu m$, $a_2 = 8.56 \mu m$, gap size $d = 5 \mu m$, cladding *d*_{clad} = 62.5 **c** *cladding index n*² *n*₂, *n*² *d*_{*c*} = *n*² *d*_{*d*} *n*² *d*_d^{*n*} *d*_d^{*n*} *d*_d^{*n*} *d*_d</sub>*d*_{*n*} *d*_d</sub>*d*_{*n*} *d*_d*d*_{*d*}*d*_{*n*} *d*_d*d*</sub>*d*_{*d*}*d*_{*d*} radius d_{clad} = 62.5 μ m, cladding index n_{cl} = 1.444, core index $n_1 = n_2 = 1.449$, operating wavelength $λ = 1.55$ μm.

In order to answer this question, we calculated the modal distributions of the In order to answer this question, we calculated the modal distributions of the supersupermodes supported by the MSC. Based on the analysis in Section 2, the mode field of modes supported by the MSC. Based on the analysis in Section [2,](#page-1-0) the mode field of the supermode $\psi_s(x, y)$ can be expressed as the linear combination of the mode field supported by the individual fiber waveguide that formed the MSC, denoted as

$$
\begin{bmatrix}\n\psi_{s1}(x,y) \\
\psi_{s2}(x,y) \\
\psi_{s3}(x,y)\n\end{bmatrix} = [\mathbf{v}_1 \quad \mathbf{v}_2 \quad \mathbf{v}_3]\begin{bmatrix}\n\psi_1(x,y) \\
\psi_2(x,y) \\
\psi_3(x,y)\n\end{bmatrix},
$$
\n(22)

where $\psi_{sm}(x, y)$ (*m* = 1, 2, 3) is the modal spatial distribution of the *m*-th supermode supported by the MSC structure, \mathbf{v}_m is the corresponding eigenvector shown in Equation (9), and $\psi_m(x, y)$ are the modal spatial distributions of the HE_{11p-1}, HE_{21p-2}, TM₀₁₋₂ modes, which can be described with accurate analytical expressions [18]. Simulation results are shown in Figure [3.](#page-5-1) shown in Figure 3. supported by the MSC structure, \mathbf{v}_m is the corresponding eigenvector shown in Equation (9), and $\psi_m(x, y)$ are the modal spatial distributions of the HE_{11p-1}, HE_{21p-2}, TM₀₁₋₂ modes, which can be described with

Figure 3. $(a-c)$ are the modal spatial distributions of three supermodes supported by an MSC structure, which are composed of the p-modes group (HE_{11p-1} , HE_{21p-2} , TM_{01-2} modes) and obtained using the traditional CMT. The parameters of the MSC are the same as those in Figure [2.](#page-5-0)

By comparing Figures [2 a](#page-5-0)nd [3,](#page-5-1) we can observe that the supermodes 2, 4, 6 in Figure 2 By comparing Figures 2 and 3, we can observe that the supermodes 2, 4, 6 in Figure [2](#page-5-0) are the supermodes corresponding to the p-modes group (HE_{11p-1} , HE_{21p-2} , TM_{01-2} modes) and the supermodes 1, 3, 5 in Figure [2 a](#page-5-0)re the supermodes corresponding to the s-modes group (HE_{11s-1} , TE_{01-2} , HE_{21s-2} modes).

When considering the symmetry, the analysis here can be simplified. In fact, the p-modes (HE_{11p-1} , HE_{21p-2} , TM_{01-2} modes) are mirror symmetric about the line connecting the two core center points, while the s-modes $(HE_{11s-1}, TE₀₁₋₂, HE_{21s-2}$ modes) are mirror antisymmetric. Therefore, the supermodes corresponding to the p-modes group and s-antisymmetric. Therefore, the supermodes corresponding to the p-modes group and smodes group should also be mirror symmetric and antisymmetric, respectively, as shown modes group should also be mirror symmetric and antisymmetric, respectively, as shown in Figure [2.](#page-5-0) in Figure 2.

3.2. Calculation of the Characteristic Parameters of the MSC 3.2. Calculation of the Characteristic Parameters of the MSC

in Figure 2.

In this section, simulations were carried out to verify the feasibility and accuracy of the supermode theory under different parameters of the MSC structure. The characteristic the propagation constants between the propagation constants between the propagation constants between the propagation constants betwe parameters of the MSC, including the difference of the propagation constants between the modes $|\delta|$, coupling coefficient κ , effective coupling coefficient κ_e , coupling length L_c and maximum power-coupling efficiency F , were calculated using both the supermode theory $\frac{1}{10}$ and the traditional CMT under different parameters of the MSC structure, including gap size *d*, core radius *a*1, and core index *n*1. size *d*, core radius a_1 , and core index n_1 . In this section, simulation of the feasibility of the feasibility of the feasibility and accuracy of the feasibility and accur the unit security supermode were carried out to verify the reasibility and accuracy of

Figure [4](#page-6-0) shows the characteristic parameters of the MSC calculated using the two Figure 4 shows the characteristic parameters of the MSC calculated using the two methods under different gap sizes. The red lines are obtained by the supermode theory, methods under different gap sizes. The red lines are obtained by the supermode theory, and the black lines are obtained by the traditional CMT. Parameters of the MSC structure and the black lines are obtained by the traditional CMT. Parameters of the MSC structure are the same as those in Figure [2](#page-5-0) except for the gap size. are the same as those in Figure 2 except for the gap size.

Figure 4. (a) The differences of the propagation constants $|\delta|$, (b) coupling coefficient κ , (c) coupling length L_c , effective coupling coefficient κ_e , (d) maximum power-coupling efficiency F of the MSC under different gap sizes, which are calculated using the supermode theory (red lines) and the CMT (black lines). The structure parameters of the MSC are the same as those used in Figure 2. traditional CMT (black lines). The structure parameters of the MSC are the same as those used in \mathcal{L} , when the gap size between the gap size between the two cores of the MSC is two cores of the MSC is th Figure [2.](#page-5-0)

As seen from Figure [4a](#page-6-0),d, when the gap size between the two cores of the MSC is smaller than ~3.5 μ m, there is a notable calculation error for the differences of the propagation constants $|\delta|$ and the maximum power-coupling efficiency *F* calculated $\frac{1}{100}$ is the two methods. This is due to the fact that the two cores are so close that strong coupling occurs between the modes. Therefore, there is some error when we represent the supermodes as linear combinations of the individual modes supported by the single fiber and *F* calculated using the two methods fit to each other quite well. In fact, for a practical waveguides as in Equation (16). However, when the gap size is greater than ~3.5 µm, |*δ|* and *F* calculated using the two methods fit to each other quite well. In fact, for a practical MSC, normally the gap size between the two cores is greater than $4 \mu m$.

As seen from Figure [4b](#page-6-0), the calculation results for the coupling coefficient *κ* using the two methods match well with each other. Furthermore, the amplitude of the coupling coefficient *κ* is much greater than *δ*. Therefore, the effective coupling coefficient *κe* , which is defined as the square root of $(\delta^2 + \kappa^2)$, is mainly determined by the value of *κ*. As a result, the effective coupling coefficients *κ^e* and corresponding coupling lengths *L^c* calculated by the two methods match well with each other, as seen from Figure [4c](#page-6-0).

In Figure [4,](#page-6-0) the maximum power-coupling efficiency *F* is almost always 1 when the gap size increases from 0 to 15 μ m. This is because the parameters of core 1 and core 2 are designed properly to ensure the HE_{11p-1} mode and HE_{21p-2}/TM_{01-2} mode are well phase-matched, i.e., the propagation constants of the three modes are almost the same $(\beta_1 \approx \beta_2)$. In other words, the MSC works at the phase matching point. This is also the reason why the amplitude of the coupling coefficient *κ* is much greater than *δ*.

However, for a practical MSC composed of an SMF and an FMF, it is difficult to ensure all parameters of the two fibers are so accurate. The core radius and index may be different from the designed value. Therefore, it is necessary to analyze the mode coupling characteristic under non-ideal conditions.

Figure [5](#page-8-0) shows the characteristic parameters of the MSC calculated using the two methods under different core radiuses *a*1. The red lines are obtained by the supermode theory, and the black lines are obtained by the traditional CMT. The other structure parameters of the MSC used here are the same as those in Figure [2.](#page-5-0) It should be pointed out that the core radius a_1 is limited to 4.9 μ m in order to ensure the fiber core 1 only supports the fundamental mode.

As seen from Figure [5a](#page-8-0),b, the differences of the propagation constants |*δ|* calculated using the two methods match well with each other when the core radius a_1 varies from 3 µm to 4.9 µm, while there is a notable calculation error for the coupling coefficient *κ* when a_1 is smaller than \sim [4.](#page-6-0)2 μ m, which is different from the results in Figure 4. This is also due to the error when we represent the supermodes as linear combinations of the individual modes supported by the single fiber waveguides, as shown in Equation (16). When a_1 deviates from the phase matching point ($a_1 \approx 4.493 \,\mu$ m), the error between the modal distributions of the supermodes and the linear combinations of the individual modes increases. The coupling coefficient κ is determined by the overlap integral of the modal distributions in the CMT, as shown in Equation (3). Therefore, when *a*¹ deviates from the phase matching point, the error between the coupling coefficients calculated using the two methods increases.

As seen from Figure [5c](#page-8-0), the effective coupling coefficients *κ^e* and corresponding coupling lengths L_c calculated by the two methods match well with each other when a_1 varies from 3 to 4.9 µm. This is because |*δ|* becomes significantly greater than *κ* when *a*¹ deviates from the phase matching point, thus *κe* and *Lc* are mainly determined by the value of |*δ|*.

As seen from Figure [5d](#page-8-0), the maximum power-coupling efficiency *F* decreases when the core radius *a*¹ deviates from the phase matching point. *F* calculated using the supermode theory corresponds well with that obtained by the traditional CMT, besides slight errors when a_1 is smaller than \sim 4 μ m. In fact, the maximum power-coupling efficiency *F* is less than \sim 17% when a_1 is smaller than \sim 4 μ m, which should be avoided in the design and fabrication of the practical MSC.

two methods increases.

Figure 5. (a) The differences of the propagation constants $|\delta|$, (b) coupling coefficient κ , (c) coupling length L_c , effective coupling coefficient κ_e , (d) maximum power-coupling efficiency F of the MSC under different core radiuses *a*1, which are calculated using the supermode theory (red lines) and under different core radiuses *a*¹ , which are calculated using the supermode theory (red lines) and the t_{tot} (for the structure θ) when are calculated as t_{tot} are supernode theory (for the s) and the traditional CMT (black lines). The structure parameters of the MSC are the same as those used in Figure [2.](#page-5-0)

Figure [6](#page-9-0) shows the characteristic parameters of the MSC calculated using the two L_1 ² calculated by the characteristic parameters of the two calculated using the two methods under different core indexes n_1 . The other parameters are the same as those in *Figure [2.](#page-5-0)* Similarly to the above results in Figure [5,](#page-8-0) the differences of the propagation *Figure 2.* Similarly to the above results in Figure 5, the differences of the propagation deviates from the phase matching point, thus *κ^e* and *L^c* are mainly determined by the value constants |*δ|* calculated using the two methods match well with each other when the core index *n*₁ varies from 1.448 to 1.45, while there are obvious differences for the coupling $\frac{1}{2}$ $\frac{1}{2}$ Figure [6a](#page-9-0),b. The reason for the calculation error for the coupling coefficient is the same with that in Figure [5.](#page-8-0) Furthermore, $|\delta|$ is significantly larger than *κ* when *n*₁ deviates from the phase matching point. Therefore, the effective coupling coefficients *κ*^{*e*} and the coupling lengths *Lc* calculated by the two methods match well with each other, as shown in Figure [6c](#page-9-0).

Figure 6. (a) The differences of the propagation constants $|\delta|$, (b) coupling coefficient κ , (c) coupling length L_c , effective coupling coefficient κ_e , (d) maximum power-coupling efficiency F of the MSC under different core indexes *n*1, which are calculated using the supermode theory (red lines) and under different core indexes n_1 , which are calculated using the supermode theory (red lines) and the traditional CMT (black lines). The structure parameters of the MSC are the same as those used in Figure [2.](#page-5-0)

L^c calculated by the two methods match well with each other, as shown in Figure 6c.

As illustrated in Figure 6d, the maximum power-coupling efficiency *F* calculated As illustrated in Figure [6d](#page-9-0), the maximum power-coupling efficiency *F* calculated in the transmission the corresponds to the maximum power-coupling efficiency *F* calculated CMT, besides slight errors when *n*¹ is smaller than 1.4486. Furthermore, the maximum CMT, besides slight errors when *n*¹ is smaller than 1.4486. Furthermore, the maximum power-coupling efficiency *F* is less than \sim 20% when n_1 is smaller than 1.4486, which should be avoided in the design and fabrication of the practical MSC. be avoided in the design and fabrication of the practical MSC. using the supermode theory also corresponds well with that obtained from the traditional

According to the above simulations and analyses, the characteristic parameters of the MSC (including the difference of the propagation constants |*δ|,* coupling coefficient *κ*, the effective coupling coefficient *κ^e* , coupling length *L^c* and maximum power-coupling efficiency *F*) calculated using the supermode theory match well with those calculated using the traditional CMT near the phase matching point. Therefore, the supermode theory is shown to be valid and accurate for analysis of the MSC near the phase matching point. When the structure parameter of the MSC deviates from the phase matching point, the maximum power-coupling efficiency *F* decreases quickly, which should be avoided in the design and fabrication of the practical MSC.

It should be noted that, although the analysis and simulations here are based on the mode coupling between the fundamental modes of SMF 1 (i.e., HE_{11p-1} , HE_{11s-1}) and the second-order modes of the FMF (i.e., TE_{01-2} , HE_{21p-2} , HE_{21s-2} , TM_{01-2}), the supermode theory is also valid for other kinds of MSC [\[30\]](#page-11-10).

Especially for the analysis of MSC composed of fibers with complex index profiles, in which there are no analytical expressions for the modal distribution of the modes supported by the individual fiber waveguides, the analysis and design of the MSC are complex and time-consuming when using the traditional CMT. In this case, the supermode theory proposed in this paper will be a better choice.

4. Conclusions

In this paper, the supermode theory was applied in the analysis of the MSC. All the characteristic parameters of the MSC are expressed using the propagation constants of the supermodes supported by the MSC structure, which can be obtained using the common finite element software COMSOL directly, avoiding the complex double integral in the traditional CMT. Simulation results showed that the characteristic parameters calculated using the supermode theory corresponded well with those calculated using the traditional CMT near the phase matching point of the MSC structure. Therefore, this work provides a fast and accurate method for the analysis of the MSC, which is helpful for the design, fabrication and applications of the MSC.

Author Contributions: Conceptualization, W.R. and G.R.; data curation, W.R.; formal analysis, W.R.; funding acquisition, W.R. and G.R.; investigation, W.R.; methodology, W.R.; supervision, W.R.; writing—original draft, W.R., F.W. and G.R.; writing—review and editing, W.R., F.W. and G.R. All authors have read and agreed to the published version of the manuscript.

Funding: National Key R&D Program of China (2021YFB2800904), National Natural Science Foundation of China (62075008, 61827818).

Acknowledgments: The authors wish to thank the anonymous reviewers for their valuable suggestions.

Conflicts of Interest: The authors declare no conflict of interest.

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