



Article Continuous Cooling Crystallization in a Coiled Flow Inverter Crystallizer Technology—Design, Characterization, and Hurdles

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Abstract: Continuous small-scale production is currently of utmost interest for fine chemicals and pharmaceuticals. For this purpose, equipment and process concepts in consideration of the hurdles for solids handling are required to transfer conventional batch processing to continuous operation. Based on empirical equations, pressure loss constraints, and an expandable modular system, a coiled flow inverter (CFI) crystallizer with an inner diameter of 1.6 mm was designed. It was characterized concerning its residence time behavior, tested for operation with seed crystals or an ultrasonic seed crystal unit, and evaluated for different purging mechanisms for stable operation. The residence time behavior in the CFI corresponds to ideal plug flow behavior. Crystal growth using seed crystals was demonstrated in the CFI for two amino acids. For fewer seed crystals, higher crystal growth rates were determined, while at the same time, secondary nucleation was observed. Feasibility for the interconnection of a sonicated seeding crystal unit could be shown. However, the hurdles are also identified and discussed. Prophylactic flushing combined with a photosensor for distinguishing between solvent and suspension phase can lead to stable and resource-efficient operation. The small-scale CFI technology was investigated in detail, and the limits and opportunities of the technology are presented here.

Keywords: amino acids; coiled tubular crystallizer; continuous crystallization; cooling crystallization; mini-channel equipment; non-invasive sensors; residence time distribution; rinse cycles

1. Introduction

For bulk chemical crystallization, the continuous operation mode is most commonly used [1–3]. In contrast, the fine chemical and pharmaceutical industry often prefer the batch mode because of the smaller amount of production [1–3]. However, the continuous mode has some main advantages, such as consistent quality, because no batch-to-batch fluctuations are present [1,2,4]. Additionally, the energy efficiency is mostly higher than batch processes, because the energy demand is continuous, and no high energy peaks are necessary; hence, energy integration is more accessible [1]. In recent years, various equipment concepts were developed for continuous small-scale crystallization to overcome these weaknesses, especially to cope with hurdles regarding (online) analysis techniques and solid handling problems for small-scale crystallizers. One of the most common quality characteristics used in the crystallization process is the crystal size distribution (CSD). It can influence the kind and number of post-processing steps and the crystal quality [5].

Since the equipment becomes smaller, it is easier to intensify the processes by using ultrasonication [6–8]. The main advantage of ultrasound-assisted crystallization is the influence of CSD [9–15], narrowing metastable zone width (MZW) [16–18] and reducing clogging [19–21]. Furthermore, the mixing can be increased [22] without additional inserts, such as stirrers, which can present unwanted heat exchange surfaces (cooling bridge).



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Besides narrowing the MZW [17], ultrasound is used and investigated as a nucleation source to generate seed crystals [23–25]. Ultrasound has the benefit that no impurities can enter the system with introduced seed crystals [26,27].

A distinction is made between the stirred tank and the plug flow crystallizer for the continuous small-scale crystallizers. The Multistage Continuous Mixed-Suspension, Mixed-Product Removal (MSMPR) [4,28–33], Taylor–Couette [32,34,35], or Draft Tube Baffle (DTB) [36,37] crystallizers belong to the stirred tank crystallizers. The oscillatory baffled crystallizers [32,38,39] and coiled tube crystallizers belong [40–45] to the plug flow crystallizers. Nice overviews of different crystallizer technologies are given in References [3,32,40]. The crystallizer introduced in this work belongs to the coiled tube crystallizers. Therefore, the main equipment concepts regarding this type are introduced in the following.

Next to the apparatus concept, also the driving force for crystallization can be different. Only cooling crystallization in coiled tubes is described in the following, although some investigations about precipitation and anti-solvent crystallization in tubular crystallizers have been performed [14,46–49]. One of the first investigations for cooling crystallization in a mini-channel tubular crystallizer (batch mode, inner diameter d_i 1.6 mm, length L 7.6 m and flow rates of 10.8 to 47.2 mL min^{-1} , and tube-in-tube heat-exchange concept) were performed by Méndez del Río and Rousseau with paracetamol in ethanol or methanol to influence the crystal size distribution and morphology compared to stirred batch crystallization experiments [50]. Eder et al. [23,41,51] created a fundamental basis for continuous cooling crystallization in coiled tube crystallizers. As a model substance, acetylsalicylic acid in ethanol was used [23,41,51]. The crystallizer consists of a polysiloxane tube with a 2 mm inner diameter, and for the process, seed suspension was continuously mixed with a slightly undersaturated solution before the crystallization process itself [23,41,51]. Their first proof-of-concept investigated different mass flow rates (11.4–25.2 mL min⁻¹) and the resulting change in residence time [41]. They already found out that flow rates that are too small lead to clogging [41]. Further, they investigated the effect of different seed loadings, and, as expected, higher seed mass led to less particle growth because more crystals to grow on were available [51]. Later, J.G. Khinast's group realized a model-free control strategy for crystal size tuning in almost the same setup, but they used a round-bottom flask in an ultrasound bath to form seed crystals instead of prepared seed crystals in a suspension tank [24]. Additionally, they implemented a prophylactic rinsing concept by rinsing every 10 min for 2.5 min with pure solvent, so the process ran for five time slots (one hour) [24].

Our research group further specified the coiled configuration to a coiled flow inverter (CFI) design [52] to achieve better mixing than a helical structure [53]. Hohmann et al. started with a polyvinyl chloride tubing [52] and later changed to a fluorinated ethylene propylene (FEP) tube [42], which decreased the tendency to clog. A 6.5 m-long CFI with an inner diameter of 4 mm was investigated [42,52]. It was shown that the CFI design offers residence time behavior nearly to ideal plug flow [42,52,54]. Further, it was observed that different process conditions, such as mass flow rate, lead to different flow regimes [42,54]. The most effective and trouble-free operation is possible if homogenous suspension flow is applied instead of moving sediment [42,54]. Furthermore, a scale-up of the CFI was constructed and tested in a continuous downstream miniplant [55]. The inner pipe diameter was 10 mm, formed of stainless steel, and 23.5 m in length [55]. The same substance system L-alanine in water was used for both CFI crystallization investigations [42,55]. Next to the scale-up, a scale-down to an inner diameter of 1.6 mm was performed [56]. All three CFI crystallizers are constructed based on the CFI design in a horizontal direction of the coil axes but differ in temperature control, configuration structure, and other details. The scaled-down CFI is characterized in detail in this work.

Wiedmeyer et al. investigated a vertically helically coiled tube crystallizer for continuous cooling crystallization with the model substance potassium alum dodecahydrate in water [43,57,58]. A polysiloxane tube was coiled with an inner diameter of 6 mm and a tube length of 34 m. It was found that the potash alum crystals have a size-dependent residence time, which leads to classifying behavior in the tube crystallizer [43]. A timedependent secondary flow was observed in a numerical simulation, possibly responsible for the classifying effect [43]. In their crystal growth experiments, the distribution width remained constant [43].

To ensure stable operation without clogging, slug flow is often used in coiled tubular crystallizers. Here, a second immiscible phase, mostly air, is inserted, alternating between both phases. With slug flow, the homogenous flow conditions do not have to be fulfilled for stable operation. Investigations with slug flow were made regarding protein crystallization [59,60], alternating temperature profiles [45,61], product quality [44,62], nucleation without ultrasound [63], and nucleation with ultrasound [23,64].

Sonocrystallization is another current topic for small-scale crystallization. The mechanisms of sonocrystallization in solution, influencing parameters, and overview of continuous sonocrystallization in capillaries are given in References [9,25,65]. The primary influence of ultrasound on crystallization processes and nucleation are reduction of MZW [17] and induction time [66], influence on the polymorphic form [16,67–69], and particle size [9–15]. In this work, nucleation was continuously performed in an ultrasonic bath, and nucleation supersaturation thresholds were already investigated in detail for the used model system [25]. The chosen setup is most similar to Eder et al. [23]; however, no slug-flow or mixing with the undersaturated solution is applied. However, both concepts create the nuclei continuously in a coiled tube inserted in an ultrasonic bath, followed by coiled tubes for crystal growth. Others use ultrasonic baths as an anti-plugging strategy to improve the crystal growth for lab and pilot-scale [19,20].

In this work, the performance of the scaled-down CFI crystallizer [56] was evaluated with two different amino acids (L-alanine and glycine in water). In this paper, first a short description of the construction approach is summarized, and then the setup and the process conditions, analytical methods, and experimental procedure are presented. Regarding the results, first the residence time behavior is described and evaluated. Afterward, the crystal growth investigations for both amino acids are analyzed. Two anti-plugging strategies are introduced, and tested. Regarding the analysis, a non-invasive sensor to detect whether suspension or solvent was flowing was implemented, and a low-cost concept with a digital camera on a microscope equipped with a glass measurement-cell enabled non-invasive inline observation of the crystals [25,70]. A feasibility study about the connection of nucleation in an ultrasonic bath connected with a crystal growth part in the CFIC is here described, and hurdles are presented in detail. Finally, the experiments from the known literature are compared to evaluate the performance of the introduced crystallizer. The main goal of this work is to close the knowledge gap concerning the CFI technology [71] regarding scale-down, so it can be used for lab-scale process screening or small-scale production. In the long-term, these investigations help to get a thorough knowledge about coiled flow inverter crystallizers with their application opportunities and hurdles to gain higher technology readiness levels and a broader application range.

2. Materials and Methods

2.1. Substance Systems

When water is referred to in this paper, it means deionized water (<10 μ S cm⁻¹), and physical properties for water are taken from Reference [72]. Two amino acids in water were chosen as the model substance system. For the residence time studies and nucleation studies with crystal growth (combination), L-alanine (purity \geq 99.6%, Evonik Rexim (Nanning) Pharmaceutical Co., Ltd., Wuming District, Nanning, China)) was used. For the crystal growth experiments with seed crystals, L-alanine from Carbolution Chemicals GmbH, (St. Ingbert, Germany) (purity 98%) and glycine (purity \geq 98%, VWR International, Radnor, PA, USA) were used for the preparation of the solution and the seed crystals. For L-alanine/water, the physical properties were determined as described in Reference [42] based on the experimental data of References [73–75]. The same calculation method was applied to determine the physical properties for glycine/water based on the experimental data by Reference [75] (see Appendix A, Figure A1). The solubility line of L-alanine/water [76] and glycine/water can be taken from Appendix A. The solid density of L-alanine 1.375 g cm⁻³ [77] is slightly lower than glycine 1.640 g cm⁻³ [78]. Both are assumed to be constant for the experiments and evaluation.

2.2. Design, Setup, and Process Parameters

For the design of a CFI reactor, many studies have already been performed [46,79,80]. The minimum number of coils and 90° bends [81], type of coil configuration [46], and scale-up methods have been studied [82], among others. However, when using solids, the essential design criterion is ensuring a homogeneous suspension flow, avoiding clogging, and maintaining consistent mixing of the crystals [54]. An empirically developed correlation can be used to estimate if the selected mass flow rate is sufficiently high to guarantee the required particle size and quantity to a homogeneous suspension flow [54]. For this purpose, the critical Froude number (Fr_{d,crit}) is determined for the transition range from sedimenting flow to homogeneous suspension flow, according to Equation (1), for coiled capillaries [54]. In the previous study, the mentioned transition region was investigated with the main influencing variables of Reynolds number (Re) and particle mass fraction $(w_{\rm s})$, but the effect of pulsation characteristics of the pump can be neglected [54] in the investigated flow regimes. Nevertheless, pulsation can influence the flow behavior; for example, pulsed streaming improves the cleaning of whey protein deposits [83]. However, since the same pump is used here, as in References [42,54], the influence of pulsation is not further considered here, since the primary influence on the pulsation behavior is due to the pump head design.

$$Fr_{d,crit} = 0.252 \cdot \text{Re}^{0.717} \cdot (1 - w_s)^{-4.564}$$
(1)

With the addition of the classical definition of the densimetric Froude number (Fr_d) (Equation (2)), the maximum possible particle size can be determined for ensuring homogeneous suspension flow [54].

$$Fr_{d} = \frac{\overline{u}^{2}}{x_{50,3} g} \cdot \frac{\rho_{L}}{\Delta \rho_{SL}}$$
(2)

Since the apparatus was designed for the process development, the suspension flows should be as small as possible, and this can only be achieved with relatively small internal tube diameters. For further design, different limiting cases were considered. For example, for the limiting cases, d_i 1.6 mm, $\overline{T} = 20$ °C for the substance system L-alanine/water (see Section 2.1), and solid weight fraction of 1 or 10 w.%, the maximum crystal size to be transported for homogeneous suspension flow at 16 g min⁻¹ is 382 or 219 µm, respectively. The calculated cases are also in agreement with tests from Hohmann et al. [54]. After determining a suspension flow and the inner tube diameter, the pressure loss limits the length (Equation (3)), which can be overcome by using the used pump. The process pump chosen here is a LabDos[®] Easy-Load (HiTec Zang GmbH, Herzogenrath, Germany), which can overcome up to 2 bar pressure drop. Further investigations on the pressure loss in the CFI can be found in References [25,56].

$$\Delta p = f \, \frac{\rho L}{2d_i} \overline{u}^2 \tag{3}$$

The exact design setup of the CFIC can be taken from Reference [56]. For further understanding, it is crucial to know that a tube-in-tube design was chosen as the heat-transfer concept (process tube made of FEP, inner diameter 1.6 mm, outer silicone tube with an inner diameter of 6 mm). FEP was chosen because our group had a better experience [42] with this material instead of polyvinyl chloride tubing [52]. Investigations from the literature also suggest that the influence of a fluorinated polymer is lower regarding adhesion and clogging than silicones [84]. The crystallizer consists of up to seven identical crystallization units (CUs; see Figure 1) to be extended as required. Each of these CUs is wound in the cross-twin configuration and has a length of 7.8 m with four bends [56].



Figure 1. Left, separate CU without insulation; upper right, CU connection with temperature sensors; and bottom right, FEP-in-silicone tube construction.

The whole plant consists of four units (see Figure 2), which would correspond to four Functional Equipment Assemblies (FEAs) according to the modular concept [85]. The first (PFU) includes the feed tanks and the process pump; the second (USU) is a seed crystal unit, where a CFI-designed coiled tube is immersed in an ultrasonic bath. The third FEA is the CFIC, whose design procedure has been described previously. It ends with an analysis unit (PAU) containing a photometric sensor, a flow cell and a microscope with a camera [25], a magnetic valve, and collecting vessels. A photo of the setup is shown in Figure A2.



Figure 2. Flowchart of the crystallization process setup.

The FPU and USU are almost identical in design, as described in Reference [25]. The main components of the FPU are two storage tanks, one containing solvent (water) and the other the feed, thus defined suspension or saturated solution (see Appendix A). For the FPU, only for some experiments, the manual three-way valve was replaced by a magnetic three-way valve (3/2-way 6626 TwinPower, Bürkert GmbH, Ingelfingen, Germany). The USU was unchanged from Reference [25], with the process tubing (FEP, d_i 1.6 mm) coiled in CFI design in an ultrasonic bath (Elmasonic S30H, Elma Schmidbauer GmbH, Singen, Germany).

As already mentioned, the FEA CFIC consists of seven identical CUs. In the experiments described here, only four or two CUs were used (number._{CU} = 4 or 2). As in Reference [56], the individual CU was not insulated with Polyethylene (PE) foam, but with Spaceloft[®] (Aspen Aerogels, Inc., Northborough, MA, USA), since it provides better

insulation and should reduce the influence of the environment on the process. For better process monitoring, a thermocouple (type-K, OMEGA Engineering, Norwalk, CT, USA) was also applied to the process tube after each CU at the connection between the CUs (see Figure 1). As already described in Reference [25], this temperature is corrected according to Equation (4). Moreover, an invasive temperature measurement in the process medium is not possible due to the small process tube and avoiding clogging. The temperature of the cooling mass flow, on the other hand, can be recorded in direct contact with the cooling agent with (Pt-B-100-2, RÖSSEL-Messtechnik GmbH, Werne, Germany) temperature sensors at the connection points from CU to CU.

$$T_{\rm L}[^{\circ}C] = 1.1774 \cdot T_{\rm surface}[^{\circ}C] - 3.9049 \tag{4}$$

A photometric sensor and a magnetic valve have been added to the PAU in deviation from the setup in Reference [25]. The design of the photosensor for the detection between suspension and solution is described in Section 2.4.4 and in detail in Reference [86]. The magnetic valve in connection with the photosensor enables a separation between suspension and solution. Further, the PAU contains a microscope equipped with a camera and a self-designed glass flow cell [25,70]. The devices and sensors used are listed in more detail in Appendix A Table A2. The single FEAs can be interconnected individually. Thus, for the combination experiments (nucleation and crystal growth), all FEAs were used. FPU, CFIC, and PAU were used for the crystal growth experiments, and for the analysis of feed seed crystals, only FPU and PAU were used.

2.3. Analytics

For the thermogravimetric method, two different types of samples were taken to determine the solved and solid weight fraction concentration. Small samples (around 1.5 mL) were taken with suspension (SL) or only of the solution (L) (with syringe prefilter). The samples were weighed in the liquid and dried state. The mass fraction w_i is determined from the difference in the empty sample weight, which in turn is converted into a mass loading, X_i . With the aid of this, the solids content or soluted concentration can be determined. The relative yield, which describes the attainment of the solubility line, can also be determined by using Equation (5). A detailed description of the method is given in Reference [37] and has already been used for crystallization investigations [25,42,54].

$$Y_{\rm rel} = \frac{X_{\rm i,L,in} - X_{\rm i,L,out}}{X_{\rm i,L,in} - X_{\rm i,L,out}^*}$$
(5)

Two different analytical methods were used to determine the crystal size and distribution: sedimentation and image analysis. The sedimentation method, in a time- and spatially resolving spectrometer (LUMiReader[®] PSA, LUM GmbH, Berlin, Germany), requires density and viscosity information to calculate the crystal size distribution via software (SepView[®] 6, LUM GmbH, Berlin, Germany) based on the Lambert–Beer law and Stoke's law. The analyzed suspension samples were directly taken after the flow process into the polycarbonate cuvettes (PC, 3 mL, LUM GmbH, Berlin, Germany), lightly rocked back and forth in hand for homogenization, and directly measured at needed temperature (initial temperature or process end temperature). This method has already been successfully used for L-alanine in saturated water and is limited to crystals smaller than 300 μ m in order to be able to exclude sedimentation that is too fast [42,54,70].

Image analysis is a further analytical method that was used. Therefore, a measurement flow-cell was constructed [70] to determine the projection area of the crystals non-invasively with a microscope (Bresser Science ADL 601P, Bresser GmbH, Rhede, Germany) and an attached camera (Z6, Nikon GmbH, Tokyo, Japan). A routine developed based on the algorithms by References [87,88] was used to determine the projection area and calculate the circular area equivalent diameter. The exact method and its evaluation are given in Reference [70]. This method was already used in References [25,37].

For the crystal growth experiments with L-alanine, the sedimentation method was used to compare the results with the investigations conducted by Hohmann et al. [42]. Additionally, this method ensures the evaluation of all particles, inclusive of aggregates and agglomerates. The non-invasive offline image analysis was used for the nucleation and crystal growth combination for L-alanine. This analytic was chosen to ensure that no further crystal growth takes place due to non-reduced supersaturation. After all crystal growth experiments, seed crystal suspension was led directly after the feed pump (FPU) into the PAU to take photos of the seed and determine the crystal size or evaluate the crystals qualitatively. Hence, it could be ensured that the same analytical methods were used to determine seed and product crystals.

2.4. Experimental Procedure

The respective solubility line (see Appendix A) was used to prepare a saturated solution. If seed or tracer crystals were needed, the crystals were dry-pestled and sieved manually before adding them to the saturated solution. Detailed experimental preparation regarding saturated solution or suspension for each kind of investigation can be found in Appendix A. To give a first overview about all experiments, the sample name, kind of investigation, and main set point information about the used seed/tracer particles are given in Table 1. "RTD" is used for residence time distribution investigations, crystal growth experiments are abbreviated with "CG", and the combination of nucleation and crystal growth is named "combi".

Sample Name	Investigation	Seed/Tracer Particles		
Sample Name	nivesugation	Sieve Size (µm)	Solid Weight Fraction (Set Point) (w.%)	
RTD _S ,90-125	RTD	90-125	1	
RTD _S ,125-180	RTD	125-180	1	
RTD _S ,90–180	RTD	90-180	1	
RTDL	RTD	-	0	
seed ₉₀₋₁₂₅ 1	CG	90-125	1	
seed ₉₀₋₁₂₅ 2	CG	90-125	1	
seed ₁₂₅₋₁₈₀ 1	CG	125-180	1	
seed ₁₂₅₋₁₈₀ 2	CG	125-180	1	
seed _{90–180} 1	CG	90-180	1	
seed ₉₀₋₁₈₀ 2	CG	90-180	1	
seed ₉₀₋₁₂₅ 3	CG	90-125	0.1	
seed ₉₀₋₁₂₅ 4	CG	90-125	0.1	
glycine _{90–125}	CG	90-125	1	
combi 1	nucleation + CG	-	0	
combi 2	nucleation + CG	-	0	
combi 3	nucleation + CG	-	0	
combi 4	nucleation + CG	-	0	
combi 5	nucleation + CG	-	0	

Table 1. Overview of experiments.

2.4.1. Residence Time Distribution for the CFIC

The FPU, CFIC, and PAU units were used for the residence time investigations. The residence time investigations for the liquid phase in the CFIC were already investigated for different crystallization units [56] for the chosen process conditions. The investigations on the residence time behavior of the solid phase in the CFIC were performed similarly to Reference [54]. A step signal with a defined suspension (1 w.%), which varies to its tracer crystal sizes (sieve fractions: 90–125 μ m, 125–180 μ m, and 90–180 μ m), was used for the experiments. For this purpose, the solid fraction of the incoming and outgoing streams of the L-alanine/water system was determined by using the gravimetric methods (Section 2.3). The experiments were carried out isothermally, at ambient temperature, to minimize temperature-related effects, such as crystalline growth or dissolution of the

solid particles. For this purpose, both the storage tank's temperature and the coolant flow temperature were set to 25 °C. Before starting the experiment, the system was first rinsed with deionized water for at least 15 min to guarantee a cleaned tube and the same conditions for every run. Three series of measurements were carried out for each of the three crystal fractions. Four crystallization units were used for all measurements.

The theoretical hydraulic residence time (τ) is determined by the ratio of volume (V) and volume flow rate (V) (Equation (6)) [89].

τ

$$=\frac{V}{\dot{V}}$$
(6)

The distribution sum curve (*F*) can be determined by the ratio of the mass fraction in dependence of the time $w_i(t)$ to the original tracer mass fraction ($w_{0,\text{tracer}}$) (Equation (7)). Furthermore, the actual residence time can be determined via the distribution sum curve (Equation (8)) [89].

$$F(t) = \frac{w_{\rm i}(t)}{w_{0,\rm tracer}} \tag{7}$$

$$\bar{t} = \int_0^1 t \, \mathrm{d}F(t) = \int_0^\infty (1 - F(t)) \mathrm{d}t \tag{8}$$

To de-dimension the time, t, it is related to the hydraulic residence time (Equation (9)) [89]. Furthermore, the residence time behavior can be well described for the CFI [54,80,90] by using the dispersion model and an adjustment of the Bodenstein number, Bo (Equation (10)) [89].

$$\theta_{\tau} = \frac{t}{\tau} \tag{9}$$

$$F(\theta_{\tau}) = \frac{1}{2} \cdot \left[1 - \operatorname{erf}\left(\frac{\sqrt{Bo}}{2\sqrt{\theta_{\tau}}} \cdot (1 - \theta_{\tau})\right) \right]$$
(10)

For the comparison of the residence times of the different phases, a mean dimensionless residence time ($\overline{\theta}$) is introduced; this allows us to make a suitable comparison between the different phases considering different mass flows in the individual tests (Equation (11)).

$$\overline{\theta} = \frac{t}{\tau} \tag{11}$$

2.4.2. Crystal Growth Experiments in the CFIC

The FPU, CFIC, and PAU units were used for the crystal growth experiments. The influence of the seed crystal size and amount used for the substance system L-alanine/water in CFIC on the crystal growth behavior was investigated. In addition, the feasibility of another amino acid (glycine) was studied.

A defined suspension was first prepared for the investigations. Before the crystal growth tests begin, a temperature profile is first to run at the chosen process conditions to be investigated (Section 2.2). It is considered a steady-state when the temperatures have not changed by more than 0.2 °C over at least 20 min. After switching the magnetic valve from water to suspension, the experiment begins. (For the experiments seed₉₀₋₁₂₅ 1,3, and 4, no magnetic valve was installed yet, so that the pump had to stop for a short time, and the process tube from the water storage tank to the suspension tank was moved manually before the crystal growth experiment started.)

During the tests, sampling for gravimetric measurement and sedimentation analysis occurred approximately every 8 min at the end of the process tube. In addition, photos of the suspension in the measuring cell were repeatedly taken between these samplings. After termination of the experiment (either by an explicit stop or by clogging in the crystallizer), the apparatus was flushed with water. For further sampling the feed suspension and to exclude only detected influences by the pump on the crystals, the FPU was directly

connected to the PAU after the crystal growth experiments; then sampling was performed at the end of the PAU for gravimetric analysis, sedimentation analysis, and for image analysis of the seed crystals.

In order to easily compare the individual growth experiments with each other, the mean growth rate, \overline{G} , was determined according to Equation (12) [42] and thus also allows us to make a comparison with other crystal growth experiments of the same substance system in other apparatus. However, it needs to be considered that this is only a shortcut method to get a first impression of a crystallization system or crystallization behavior and does not consider the growth rate of each crystal side.

$$\overline{G} = \frac{x_{50,3,\text{prod}} - x_{50,3,\text{seed}}}{\tau_{\text{SL}}}$$
(12)

The individual process parameters for each test can be found in Appendix A Table A3.

2.4.3. Anti-Plugging Strategies

A periodic prophylactic rinsing, as well as a pressure-loss rinsing, was investigated. For periodic prophylactic rinsing, water was flushed for 10 s every 13 min ($\Delta t_{slot} = 13$ min, $t_{rinse} = 10$ s). The time interval of 13 min was chosen because, in nucleation experiments in the USU, the shortest operating time until clogging was determined to be 14 min [25]. Moreover, in other experiments in the CFIC not described here, the shortest operating time until clogging was detected after this time interval. A rinsing time of 10 s was selected to use a small amount of solvent and interrupt the crystallization operation only for a short period.

For pressure-loss rinsing, the operation is maintained until an initial clogging occurs, which is indicated by a pressure increase. Suppose the pressure loss increase has risen above a defined threshold. In that case, magnetic valve A is switched to solvent until the pressure loss has dropped below a critical pressure loss limit again—this time until the first clogging is recorded Δt_{clog} and selected as the time duration to switch to a prophylactic flush cycle ($\Delta t_{slot} = \Delta t_{clog}$ and $t_{rinse} = 60$ s). If the pressure increases again due to clogging before this time interval is passed, the magnetic valve switches to flushing mode prematurely if the threshold is exceeded.

The test of the prophylactic purging cycle was used for crystal growth experiment seed_{90–125} 2 and combi 5, and pressure-loss rinse was used for experiment seed_{90–180} 2 and combi 4. The control software used is HiTextTM connected with LabVision[®]. The codes for the corresponding purging mechanisms can be found in Appendix A (Tables A4 and A5).

2.4.4. Suspension Detection with Inline Sensor

Rinsing cycles of any kind can influence the product suspension in the collecting tank or follow-up processes and, in the worst case, even dissolve crystals. In order to prevent this, a feasibility study is being carried out to determine whether the photometric sensor developed by Höving et al. [86] is suitable for distinguishing between suspension and solvent. In order to use a second magnetic valve to channel the solvent phase into a waste tank and the suspension into the product tank. The design of this sensor is shown schematically in Figure 3 and is described in more detail in Reference [86]. Due to the changing light resistance, it is possible to distinguish between suspension and solvent.



Figure 3. Exploded view of particle sensor: 1 = LED, 2 = 3D-printed housing, 3 = FEP tube, and 4 = photoresistor.

For the crystal growth experiment_{90–180} 2, the sensor was used in combination with the magnetic valve B. The control code of the magnetic valve B, depending on the signal of the photoresistor, can be found in Appendix A (Table A6).

2.4.5. Combination: Nucleation and Crystal Growth

In order to check whether a continuous process from nucleation to crystal growth can be implemented in the apparatus with the L-alanine/water system, combined experiments (combi) of nucleation in the USU and crystal growth in the CFIC were carried out. All units (FPU, USU, CFIC, and PAU) were used for this purpose. However, only two crystallization units of CFIC were used instead of four, because crystallization unit three is often clogged in preliminary experiments not described here. To ensure a sufficiently high supersaturation in the USU to generate crystal nuclei [25], the solution was set to 5 K above the selected saturation temperature (50 °C). The selected temperature in the ultrasonic bath and other process variables can be found in Appendix A Table A7. Moreover, in the combi tests, water (55 °C) was first pumped through the entire setup (the ultrasonic bath was turned on) to achieve a stationary temperature profile. Once this was achieved, the experiments started by switching the magnetic valve from solvent (water) to solution (undersaturated L-alanine solution). However, for combi experiments one to three, the magnetic valve was not yet installed, so the pump had to be be stopped briefly to switch the process tube as quickly as possible into the solution tank. The process tube was fitted with a filter frit (pore size III, ROBU Glasfilter Geräte GmbH, Hattert, Germany) to exclude crystals and impurities.

For the tests, the used L-alanine concentration was checked for the feed tank via the gravimetric method. Image analysis was used to determine the crystal size and to observe the crystallization behavior. Concerning the L-alanine used, it should be noted that the L-alanine batch used for the nucleation studies in Reference [25] and here in the combi experiments 1–3 was different (1941180707) from that used for the combi experiments 4 and 5 (1941200315).

3. Results

As the basis for the characterization of the CFIC, the residence time behavior of the liquid and solid phases is described. Further, the crystal growth experiments for the two amino acids are evaluated. Afterward, the practicability of anti-plugging strategies is shown and evaluated. Finally, the viability of combining the nucleation in the ultrasonic bath and crystal growth unit in the CFIC is shown, and hurdles are named.

3.1. Residence Time Distribution

The investigations of the residence time distribution are to gain more understanding about the flow behavior in the crystallizer. The results for the residence time behavior of the liquid phase (RTD_L) are taken from Reference [56], and for comparison with the

solid phase (RTD_S) shown in Figure 4. Furthermore, the averaged corresponding process conditions and its RTD characteristic parameters are listed in Table 2.



Figure 4. Residence time distribution for four CUs of the CFIC for different phases (single experiments).

Sample Name	<i>ṁ</i> (g∙min ^{−1})	- t (s)	τ (s)	- θ (-)	Bo (-)
RTD _S , _{90–125}	16.2 ± 0.3	256 ± 1	266 ± 5	0.960	308 ± 73
RTD _S , 125–180	16.5 ± 0.1	248 ± 2	260 ± 2	0.951	286 ± 20
RTD _S , 90-180	17.3 ± 0.5	240 ± 1	249 ± 6	0.962	353 ± 65
RTDL	16.6 ± 0.5	227 ± 8	226 ± 7	1.006	383 ± 5

Table 2. Averaged (over 3 experiments) residence time and characteristic process parameters.

From Figure 4, it can be taken that all distribution sum curves are narrow, and it confirms that the RTD in a CFIC almost equals the behavior of ideal plug flow. Additionally, the dispersion model is proper equipment to display the RTD in a CFIC. In more detail, it can be seen that the liquid phase is the slowest. In the test shown here individually for the RTD_S of the mixed fraction of 90–180 μ m, the RTD of the liquid phase seems to correspond most closely. The RTD of the smallest sieve fraction (90–125 μ m) is slightly faster, and that of the sieve fraction 125–180 μ m is the quickest. However, in Figure 4, there are only results of single measurements shown due to the clarity.

In Table 2, the averaged results are represented. The mass flow rate is almost identical only for the investigations of the sieve fraction 90–180 μ m the mass flow rate was a bit higher. Therefore, the hydraulic residence times are calculated between 226 and 266 s depending on the mass flow rate and the solid weight fraction. The actual residence time is calculated by using the trapezoidal rule and Equation (8). The actual residence time for the liquid flow is the shortest, followed by the mixed sieve fraction (90–180 μ m), followed by the bigger sieve fraction (125–180 μ m), and the slowest is the smallest sieve fraction (90–125 μ m). However, the direct comparison of the actual residence time is flawed because of the varying mass flow rates. Due to it, the averaged dimensionless residence time is determined. If it equals one, the hydraulic and actual residence time is the same. If it is smaller than one, the investigated phase is faster than the calculated phase. From Table 2, it can be taken that the liquid residence time equals the hydraulic residence time. The averaged dimensionless residence time is maller, so the particles

are faster than the liquid phase. The difference is marginal between the solid phases, whereas the bigger sieve fraction (125–180 μ m) is slightly quicker. The Bodenstein number determined by the adjustment to the dispersion model is highly above 100 for every case, confirming that the RTD behaves almost like an ideal plug flow.

Our group already found that the particles travel faster than the mean fluid element in a horizontal CFI [54], mainly if the homogenous suspension flow regime is used. Hence, it can be confirmed for the smaller inner tube diameter that the particles never rest in distinction to small fluid elements, which underlie the no-slip condition.

For the mixed sieve fraction here, the solid phase behaves more like the smaller crystals. A comparison with investigations already known from the literature is made in Section 4.

3.2. Crystal Growth Experiments

The crystal growth experiments were performed to determine the performance of the crystallizer. The aim was to evaluate an operation window and investigate the hurdles and behavior during crystal growth experiments in the crystallizer. Furthermore, the performance was compared with two other tube crystallizers [42,44]. For comparison, similar process conditions were chosen. Additionally, the same substance system, L-alanine in water, was chosen. Finally, the feasibility for another amino acid water-based system (glycine) was tested.

3.2.1. L-Alanine

For the crystal growth experiments with seed crystals for L-alanine, the saturated solution was cooled from 30 to 23.6 °C, so it is pretty similar to the investigations of Reference [42]. An exemplary temperature profile and the process parameters for the crystal growth experiments can be taken from support information in Appendix A Figure A3. The results of the crystal growth experiments are listed in Table 3.

Sample	$\stackrel{-}{w_{ ext{ala,S,seed}}}_{ ext{(g_{ ext{ala,S}} \cdot ext{g}_{ ext{susp}}}^{-1})}$	$\frac{\Delta w_{ala,S}}{(g_{ala,S} \cdot g_{susp}^{-1})}$	– Y _{rel} (%)	$\Delta x_{50,3}$ (µm)	− G (μm·s ^{−1})	$ au_{ m SL}$ (min)	t _{exp} (min)	Clogging
seed ₉₀₋₁₂₅ 1	0.00802	0.00872	66 ± 3	31.5	0.148	3.55	115	no
seed ₉₀₋₁₂₅ 2 *	0.00628	0.00868	60 ± 8	29.4	0.147	3.34	66	no
seed ₁₂₅₋₁₈₀ 1	0.00984	0.00725	65 ± 4	36.4	0.161	3.76	57 + 20	yes
seed ₁₂₅₋₁₈₀ 2	0.00759	0.00570	55 ± 9	37.8	0.170	3.69	10 + 37	yes
seed ₉₀₋₁₈₀ 1	0.00828	0.00659	51 ± 6	-6	-0.024	4.24	23	yes
seed ₉₀₋₁₈₀ 2 **	0.01158	0.00394	58 ± 11	18.5	0.088	3.52	35 + 8 + 6 + 16	yes
seed ₉₀₋₁₂₅ 3	0.00144	0.00127	18 ± 5	66.0	0.256	4.29	39	yes
seed ₉₀₋₁₂₅ 4	0.00112	0.00042	7 ± 2	71.5	0.294	4.05	45	yes
glycine ₉₀₋₁₂₅ **	0.00615	0.01457	71 ± 4	39.9	0.248	2.69	32	yes

 Table 3. Crystal growth results in 4 CUs.

* With prophylactic rinse; ** with pressure loss rinse.

For the gravimetrical evaluation, it was determined that the highest solids increase is for the minor seed fraction (90–125 µm) with around 1 w.% seed fraction ($\overline{w}_{ala,S,seed}$). Here the solids fraction doubled. It is also reflected in the relative yield, which indicates how well the system has approached the solubility line. With regards to the evaluation of the results, the relative yield is considered more apposite than the increase in solids content ($\Delta \overline{w}_{ala,S}$). The sampling of the solid phase is subject to the pulsation of the peristaltic pump so that this can already influence the small sampling. Therefore, the information on the increase in solids is more helpful in determining whether an increase in solids and, thus, crystal growth could be detected. For every experiment, crystal growth was detected regardless of the seed crystal size or amount. Nevertheless, it is determined that supersaturation is less reduced for the small number of seed crystals (seed_{90–125} 3 and 4). The few seed crystals offered not enough area to reduce the supersaturation in this crystallizer with the chosen residence time.

A few numbers of crystals should lead to a higher increase regarding the particle size $(\Delta x_{50,3})$. This hypothesis can be confirmed by facing the crystal size results (determined with the sedimentation method). In the experiments with the smallest number of seed crystals, the particle size increased most with 66–71.5 µm. The same seed fraction size but with a higher amount of seed crystals, crystal size increased only about half (around 30 µm). Due to the higher amount of available area to grow the next higher seed fraction size (125–180 µm), the crystal size increased a bit more. For the mixed seed crystal fraction (90–180 µm), only less to no increase in crystal growth could be detected. For the first experiment, the crystal size even decreased. For the experiment seed_{90–180} 1, the crystal size might be decreased because the ambient temperature was a slightly higher than for the other experiments (see Appendix A Table A3); hence, the analyzed crystals could have been dissolved. Nevertheless, for the second run with the mixed seed fraction size, the crystal growth was marginal and not so uniformly as in the other experiments (see Figure 5).



Figure 5. Crystal size distribution (via sedimentation method) shown as boxplots for crystal growth experiments with different seed crystal sizes and amounts; whiskers show minimum and maximum measured crystal.

In Figure 5, the crystal size distribution is shown in boxplots for every experiment. It is shown that it widens a bit for every product crystal size distribution, but except for the mixed seed crystal fraction, the crystal growth is almost uniform. To further determine why there was no detectable increase in crystal size for the mixed seed fraction but an increase in solid, further microscope images of the experiments are consulted.

In Figure 6, microscopic images of the seed and product crystals are shown. As the particle size distribution in Figure 5 already showed, for the narrow seed crystal fractions (seed₉₀₋₁₂₅ 2 and seed₁₂₅₋₁₈₀ 2) a uniform crystal growth can be detected. For the mixed seed fraction (seed₉₀₋₁₈₀ 2), the crystals tend to agglomerate and are more concentrated in the middle of the tube. Because agglomeration and aggregation occurred, the determined crystal size might increase so much that the crystals were not detectable anymore for the sedimentation method. The particles' sedimentation velocity is used in the sedimentation method, and by analyzing too big crystals, the particle flow velocity might be too fast to detect these agglomerates. Similar observations were already made in the past [70]. The increase regarding the solid weight fraction shows that there was a crystallization process. The CSD has got wider, not narrowed (Figure 5). Accordingly, the observation already made in Section 3.1 that no classifying effect of a mixed particle size fraction in the CFIC can be confirmed. However, there is improved mixing in contrast to the vertically coiled tube crystallizer [43]. It confirms the assumption that there is also an enhanced particleparticle interaction, leading to more agglomeration. Due to the agglomeration formation, the crystals also seem to concentrate in the center of the flow. The large agglomerates align themselves so that they float forward with the shortest area (frontal area) if possible. This

agglomeration formation cannot be seen in the other experiments because the individual crystals are distributed over the entire flow profile. In order to investigate the agglomeration degree, it should be evaluated, as presented in Reference [44]. However, here the analytic is limited to the microscopic images and sedimentation method, so we did not investigate it further at this point.



Figure 6. Microscopic pictures in the non-invasive measurement flow-cell for crystal growth experiments. Exception: $seed_{90-125} 4$, here the seed crystals photo is taken in a Petri dish.

For the few seed crystals (seed₉₀₋₁₂₅ 3 and 4) on the microscopic images, in addition to the crystal growth, secondary nucleation can be detected. The same observations were made by Termühlen et al. [44] for seed weight fraction of 0.1 w.%. Hence, it confirms that the supersaturation is too high for too few crystals to be reduced without secondary nucleation.

The operational time for each experiment (t_{exp}) is listed in Table 3. For seed₉₀₋₁₂₅ 1 and 2, no clogging was detected, and the experiments only had to be stopped because of the available feed amount. For the same size fraction but less seed amount (seed₉₀₋₁₂₅ 3,4, 0.01 w.%), the experiments stopped because of clogging, probably in module one. (The location of clogging can only be determined by rinsing the crystallizer afterward with water and evaluating where it took a long time to unclog). This clogging location is also an indication for secondary nucleation because the supersaturation in the first module is the highest, so secondary nucleation is most probable there.

For crystallization experiment seed_{125–180} 1, first, the tube was clogged at the threeway valve of the pressure sensor. As a result of this, the pressure loss did not increase but decreased, which is why a lower threshold for the anti-plugging concept is necessary. After the renewed start of the experiment (rinsing and renew stable temperature profile), the crystallizer clogged after 20 min directly in front of the magnetic valve B. Here, as bottleneck was determined, the opening of the magnetic valve connector because that was first less than 1.6 mm in diameter. After this experiment, the opening was extended to 1.6 mm. The other experiment of the same seed crystals was clogged after 10 min for the first time, but it could not be determined where it was clogged. After a short time of rinsing (around 3 min), the experiment started again. This time, it clogged after 37 min. It clogged in the feed tube before entering the peristaltic pump cause of the settled seed crystals of the run before, here they grew in the tube until it clogged. In the future, the feed tube should be heated above the saturation temperature to dissolve seed crystals that settle undesirably.

The mixed seed fraction (seed₉₀₋₁₈₀ 1 and 2) showed the quickest and highest susceptibility for clogging. Just one time, the clogging location could be detected (seed₉₀₋₁₈₀ 2 after 35 min) between CU two and three because of a minimal inaccurate tightening between the connectors. In the remaining cases, the clogging location could not be localized, and this is primarily an indication for clogging inside the CUs. Probable that the higher degree of agglomeration led to bigger complexes that clogged the tube.

3.2.2. Glycine

Glycine in water was chosen as an additional substance to investigate for the following reasons:

- Feasibility of another substance system shall be shown;
- A higher solid amount should be reached due to the higher dependency of solubility of glycine in water than L-alanine in water;
- Its structure is similar to L-alanine.

The last point allows excluding the influence on the interaction between the surfaces of the crystals and the tube. The solid density is a bit higher for glycine than L-alanine, so with this crystal growth experiment, further proof of the conditions for homogenous suspension flow was provided. In another study, glycine was already investigated concerning its nucleation in a slug flow crystallizer [63].

Almost the same cooling temperature was chosen for the process condition, so the saturated solution (30 °C) was cooled down to 25 °C. Due to the best experimental results for a seed crystal amount of 1 w.% and sieved crystal size of 90–125 μ m with L-alanine, these conditions were also chosen for the crystal growth experiment with glycine. As already mentioned, the mass flow rate must be recalculated to ensure a homogenous suspension flow in the CFIC. Since the inner diameter is already given, the mass flow rate can be adjusted to approximately the same maximum particle size that can be transported. A mass flow rate of around 24 g min⁻¹ is calculated for the higher solid density of glycine. The mass flow rate was chosen slightly higher to ensure homogenous suspension flow for the glycine system (26 g min⁻¹). All process parameters and results can be taken from Appendix A Tables A3 and A4, respectively.

Figure 7 shows the crystal size distribution of the seed (grey) and product crystals (blue) determined via the sedimentation method. A logarithmic normal size distribution (Equation (13)) is adjusted to the experimental values via minimizing the sum of the error squares by fitting the standard deviation (σ_{LND}). Therefore, the error function (erf) is used.

$$Q_3(x) = 0.5 \cdot \left[1 + \operatorname{erf}\left(\frac{\ln(x/x_{50,3})}{\sqrt{2}\sigma_{\text{LND}}}\right) \right]$$
(13)



Figure 7. Crystal size distribution (sedimentation method) with logarithmic normal size distribution (LND) for seed and product crystals for crystal growth experiments with glycine/water.

It can be seen that the seed crystals ($x_{10,3}$ 87 µm; $x_{50,3}$ 104 µm and $x_{90,3}$ 123 µm) grew about 40 µm ($x_{10,3}$ 117 µm; $x_{50,3}$ 144 µm and $x_{90,3}$ 171 µm) uniformly, and the smaller crystals grew a bit less than the bigger ones. This observation could indicate a crystal-sizedependent growth, but it needs a lot more investigation. The crystal size distribution itself widens a slightly from $\sigma_{\text{LND,seed}}$ 0.12 to $\sigma_{\text{LND,prod}}$ 0.15, but it is still narrow. Furthermore, the solid weight fraction increases to 2 w.%, and the relative yield for this experiment is also higher than for the L-alanine crystal growth experiments with around 71%. This indicates that the residence time chosen for glycine is more effective in reducing the supersaturation than for L-alanine. Uniform crystal growth is also observed on the microscopic images (Figure 6).

During the experiment, the "pressure loss rinsing" program ran. Clogging was detected after 31 min, so the system switched to water. Unfortunately, it could not be detected where the clogging occurred. Probably it happened in the CFIC due to a too big grown crystal. The system needed 29 more minutes switching between water rinsing and feed suspension till a new stable run was started for 12 min. The evaluation of the anti-plugging strategies is further described in the next section.

All in all, this single experiment emphasizes that crystal growth with seed crystals is also possible with another substance system and not only for L-alanine. It might be even better to take substance systems with higher solubility in water to exploit the potential of the crystallizer if the optimized conditions were chosen.

3.3. Anti-Plugging Strategies and Suspension Detection

In the long term, a continuous operation mode can only be guaranteed if anti-plugging strategies are applied. Here two different anti-plugging strategies are introduced and ran during three experiments. From the literature, the prophylactic rinse cycles are already known. Besenhard et al. [24] rinsed their crystallizer with solvent every 10 min for approximately 2.5 min. It equals 20% of the processing time. For a continuous mode, this is too much solvent loss and a too short production period. In this work, the rinse cycles shall be decreased in number and duration to save solvent and maximize production time. The experimental procedure of the anti-plugging strategies is described in Section 2.4.3.

For the prophylactic rinse, every 13 min for 10 s, the crystallizer was rinsed, which equals 1.3% of the processing time, to avoid clogging even from occurring. Whereas for the pressure loss rinsing, the processing time is exhausted till a clogging occurs. Hence, the rinsing process only starts after the pressure loss increases above the set threshold and

switches to suspension again if the pressure loss is decreased to the level before. In this case, it is not predictable which solvent amount can be used to unclog the crystallizer.

An exemplary diagram of the pressure over time for the prophylactic rinsing is given in Figure 8. Here is also shown the magnetic valve position on the second axis. The pressure loss thresholds can be firstly calculated according to Equation (3) and later adjusted with already reached experience. It can be seen that the pressure loss increases in the beginning due to the switch from water to suspension, then it even comes to a stable value. The rinse cycles have less impact on the pressure loss. However, during the rinse cycle, under the microscope, it was observed that the rinse solvent has an impact of approximately one minute of the suspension because of the gradient between the solvent and suspension. Additionally, the slight difference between the fluid and solid residence time has led to a mixing.



Figure 8. Pressure loss and magnetic valve position for "prophylactic rinse" (for experiment seed_{90–125} 2).

During the application of prophylactic rinsing, the crystallizer did not clog, was stopped due to ran out suspension, and operated for 66 min (five time slots), which equals around 17 residence times. Admittedly, by evaluating this experiment, the smallest seed fraction, $90-125 \mu m$, was used, as it does not have any clogging problems in the other experiment without prophylactic rinse cycles.

For the pressure loss rinsing, an exemplary diagram is shown in Figure 9. As already described in Section 3.2.1, the first clogging appeared after 35 min, and it clogged between CU 2 and 3 due to a minimal inaccurate tightening between the connectors. It was necessary to resolve it manually, and water rinsing was not sufficient. Probably, with the use of a prophylactic rinsing, this could have been avoided. However, in the following process, the process was not so stable as in the beginning. It emphasizes that a once-disturbed process is harder to bring to a stable process than one that is continuously running. Additionally, it can take a long time until the clogging is resolved only by solvent, which has the saturation temperature. For example, for the experiment glycine_{90–125}, it took 29 min until unplugging was reached. Therefore, a high amount of solvent can be necessary, and production time decreases.



Figure 9. Pressure loss and magnetic valve position for "pressure loss rinsing" (for experiment seed₉₀₋₁₈₀ 2).

In summary, prophylactic rinsing has the advantage of generating a more stable process, and it is better calculable which amount of solvent is necessary. A rinsing due to clogging can additionally be implemented. (In this case, it was implemented see Appendix A Table A4, but it does not come to this case in our investigations.) Disadvantageous is the impact of rinsing on the product quality. Even though there is a short rinsing time, the shift between the phases can impact the crystal size.

A sensor for suspension detection might help gain only the product suspension and not the rinse water or the influenced suspension. Therefore, a sensor equipped with a photoresistor was tested concerning its feasibility. It was applied for experiment seed_{90–180} with the pressure loss rinsing. Its pressure loss is shown in Figure 10. Additionally, the position of magnetic valve B is shown and the measured resistance value from the photo sensor.



Figure 10. Pressure loss and magnetic valve position for "pressure loss rinsing" (for experiment seed_{90–180} 2): green background = right magnetic valve position, and red shaded background = magnetic valve got the wrong position.

At the beginning of the experiment, the threshold for the water and suspension distinction was well chosen. The magnetic valve control worked fine during the clogging process because it switched to the waste slot during pressure loss increase. Some crystals probably flow through the crystallizer during the resolving process, so the resistance value was smaller than for water and identified the phase wrongly as the product suspension. Thus, in the future, it will be necessary to implement a lower threshold as well. The following wrong magnetic valve positions were due to a not ideal chosen threshold. It was chosen a little too high, so it was still indicated as suspension instead of water. Thus, longstanding, the threshold evaluation has to be adjusted more precisely to reach a better distinguishing between solvent and suspension phase.

3.4. Combination: Nucleation and Crystal Growth

A continuous crystallization process is most effective if the whole process from nucleation to crystal growth until solid-liquid separation can be combined. For the last step, recently, a continuous vacuum screw filter for small-scale solid-liquid separation was developed [91]. The combining of nucleation and crystallization is tested in the following. Therefore, the USU for the nucleation process is combined with the CFIC for crystal growth. Only two CUs were used in these investigations because, in pre-tests, particular susceptibility to clogging occurred between CU two and three. Probably the saturation was not reduced enough, and secondary nucleation or agglomeration prevails too much for a stable process. The process conditions must be chosen to gain nucleation in the USU, so a saturation above 1.25 was chosen (around 1.3), as it was determined as a nucleation threshold in the USU for the L-alanine/water system (see Reference [25]). Appropriate to this, the undersaturated solution in the feed tank was set for the saturation concentration of 50 °C and overheated to 55 °C. As Section 2.4.5 already described, switching between solvent and solution is performed manually for experiments 1–3, and for experiments 4 and 5, the magnetic valves were implemented. For combi 4, the pressure loss rinsing ran, and for combi 5, the prophylactic rinsing ran ($\Delta t_{slot} = 8 \min t_{rinse} = 10 s$). Additionally, for experiments 1–3 another L-alanine batch was used as for experiments 4 and 5. The used L-alanine is from the same manufacturer for the combi experiments differing from the crystal growth experiments. For nucleation, every impurity can influence the nucleation process. To gain more comparability to the nucleation experiments in the USU [25], the conditions should be chosen as similar as possible. Only the image analysis was performed for the experiments because the experimental setup should be observed the whole time to evaluate if crystals are continuously produced. The thermogravimetric method was only used to sample the feed tank solution to calculate the gravimetrically determined saturation S_G (see Equation (14)).

$$S_G = \frac{w}{w^*(T_{\text{TI}_22})} \tag{14}$$

In Table 4 and Appendix A Table A7, the process parameters are listed. The process conditions for the experiments are almost the same. More significant deviations are only present for the temperature in the ultrasonic bath and the ambient temperature. The temperatures are exemplarily shown in Appendix A (Figure A4) over the length of the equipment. The bath temperature must be chosen around 6 K less for experiments 4 and 5 than for experiments 1–3 to gain the same outlet temperature after the USU. It seems that the ambient temperature, around 2 K higher for combi 4 and 5, already has a high impact on the temperature in the bath, due to the open water surface. The first detection of the crystals t_{cryst} was almost at the same time for every experiment, around one minute after one calculated residence time ($\tau_{USU+2CUs} = 45 \text{ s} [25] + 117 \text{ s} [56] = 2.7 \text{ min}$). However, for combi 4, significantly fewer crystals could be observed.

Sample	L-Alanine Batch Number	S _{G,USU} (-)	t _{cryst} (min)	t _{clog} (min)
1	1941180707	1.371	3.6	18
2	1941180707	1.356	3.4	12
3	1941180707	1.314	3.5	20
4	1941200315	1.352	3.4	9
5	1941200315	1.328	3.3	7

Table 4. Combined nucleation and crystal growth: supersaturation, time until visible crystals appear, and time until clogging.

Combi 1–3 are more stable because the clogging appeared later than in experiments 4 and 5 (Table 4). For experiment 4, the pressure loss rinse was insufficient, so the clogging could not be dissolved during the operation. Afterward, the rinse cycle time for the prophylactic rinse for experiment 5 was set to 8 min, but the tube was already clogged after 7 min, and then rinsing again was not sufficient to unplug the clogging. According to Eder et al. [23], the combination experiments with slug flow lasted about 25 min. Unfortunately, there is no information on whether the experiments stopped due to the absence of feed solution or clogging. Nevertheless, the longer operating time indicates a more stable operation when slug flow is used.

The experiments were also investigated concerning the crystal size distribution via image analysis. The distributions are shown in Figure 11. For experiment 4, no CSD was determined, because too few crystals were observed during the crystallization process, even though the microscope image in Figure 11 for combi 4 suggests otherwise. For combi 1–3, the CSD ($x_{10,0}$ 69 µm $x_{50,0}$ 102 µm $x_{90,0}$ 160 µm [±12 µm]) is relatively small. For combi 5, the CSD is broader ($x_{10,0}$ 35 µm $x_{50,0}$ 114 µm $x_{90,0}$ 215 µm), which could indicate a higher secondary nucleation and a higher crystal growth rate.



Figure 11. Combined nucleation and crystal growth experiments: left, crystal size distribution (via image analysis) shown as boxplots; right, microscopic pictures in the non-invasive measurement flow-cell.

In order to be able to interpret the combi experiments holistically, all tests with their observations must be evaluated together. First of all, combi 4 showed the most significant deviation of all experiments. Few nuclei were formed, even though the USB was cooled down considerably more. In other preliminary tests of the same educt L-alanine batches, no nuclei were detected at the corresponding ultrasonic bath temperature of tests 1–3. This observation indicates a deviating nucleation behavior compared to the results of Reference [25], where nuclei could be detected from the upper threshold of supersaturation

of more than 1.25 for the L-alanine system. After extensive error analysis (operator, plant modification, and environmental influences), the reactant batch of L-alanine used was identified as the cause of the deviating results. According to the manufacturer's certificate analysis, the batches deviated by 0.1 at the analysis item: pH (2.5% H₂O, 25 °C), (combi 1–3 pH 6.2; combi 4 and 5 pH 6.1). Therefore, it can be assumed that there was another ionic concentration for tests 1–3, i.e., for the experiments in Reference [25] as well. The nucleation threshold was somewhat lowered compared to tests 4 and 5. Due to the shifted nucleation thresholds for combi 4 and 5, the system was probably in the nucleation transition range, so some crystals were visible for combi 4 and many crystals for combi 5.

Unfortunately, further experiments on these deviations could not be carried out, because the L-alanine used in the two batches was used up by that time. Moreover, the supply conditions for the L-alanine for the nucleation experiments had changed due to the corona virus pandemic.

Finally, it can be concluded from the combination experiments that a combination of continuous nucleation followed by crystal growth in a CFIC is possible in principle. A prophylactic rinse with very short crystallization slots should be used. Accordingly, it is more efficient to work directly with slug flow. Furthermore, the nucleation behavior of the substance used should be known precisely, so that the process conditions can be adjusted if there are quality deviations concerning the educts used (which is usually the case in industrial operation). It is also likely that a substance system whose nucleation threshold is already at a higher temperature and nucleation occurs with minor temperature difference is more promising in this crystallizer concept.

4. Discussion

Regarding the residence time distribution investigations, we can confirm that the residence time distribution for the liquid and solid flow is very narrow and is similar to an ideal plug flow [54]. Moreover, for scale-up CFI design (d_i 10 mm), the RTD of the liquid phase is also similar to an ideal plug flow [92]. Additionally, for homogeneous suspension flow in a horizontal CFI, the particles flow faster than the mean fluid element [54].

In other studies, vertical helical coiled tubes were investigated regarding the residence time behavior of the solid phase [43,58,93]. However, some of the investigations are performed in the moving sediment flow regime [54], and it was observed that the particles are slower than the liquid phase [93]. Additionally, Wiedmeyer et al. [43] investigated the influence of different particle sizes. They found out that the larger particles (around 160 μ m) got a shorter residence time than the smaller particles (around 80 μ m) [43]. Even for a mixed fraction, the bigger crystals travel faster than the smaller ones [43]. With the presented results, it can be added that the larger crystals travel faster than the smaller ones for helically coiled tubes if the sieve fractions were investigated independently from each other. Therefore, the velocity profile must affect transporting the small particles through the secondary flow to regions of lower axial velocities [43]. The particles do not approach so close to the wall to underlie the no-slip condition, if taking the previous observations into account.

For the mixed sieve fraction here, the solid phase behaves more like the smaller crystals. Hence, this comparison could indicate a more classifying behavior regarding the crystal size in a vertical coiled tube than in a helically coiled tube, presumably because of the stronger influence of gravity. This effect would lead to a better mixed suspension and a reinforced particle–particle interaction in the helically CFI tube than in a vertically coiled tube. It should be considered that they used a pulse-response, whereas a step-response was used here. In order to be able to make an accurate statement as to whether the classifying behavior of the particles is more pronounced in a vertically coiled tube than in a horizontally coiled one, further investigations are necessary, for example, the influence of the different tube materials was not considered (polysiloxane [43] vs. FEP here).

To evaluate the performance of the crystallizer, we compare it with a bigger CFI_{Hohmann} ($d_i = 4 \text{ mm}$) [42] and a vertically coiled tube with slug flow ($d_i = 3.2 \text{ mm}$) [44]. These

are good examples to compare with because the same substance system was chosen (L-alanine/water), with almost the same seed ratio (1 w.%), and with the same tube material (FEP). We here give an overview of the three crystallizers (Table 5) and compare their performance.

The most significant difference is the smaller flow rate combined with the smaller inner diameter for the CFIC. This results in different mean velocities as well. Thus, the CFIC has a mean flow velocity of around 0.131 m s⁻¹, the highest mean flow velocity for the CFI_{Hohmann} is 0.052 m s⁻¹ [42], and the highest mean flow velocity for the slug flow crystallizer (SFC) is around 0.083 m s⁻¹ [44]. Due to the change of the diameter and the flow rates, the flow velocity of the CFIC is the highest. Nevertheless, the CFIC consumes the least solvent amount. Regarding the residence time, all crystallizers show a very narrow distribution. Most flexible is the design of the CFIC because its residence time can be adjusted according to the length by varying the numbers of CUs [56].

The temperature control concepts are all different, and each has got its advantages. Hence, for every new crystallization process, it is necessary to evaluate this point before constructing a new crystallizer and chose the best option for the current separation task or the already existing equipment. It could also be possible that a step profile for another process might be the best, so several water baths could be the best option described by others [19,23,45].

To evaluate the performance of the crystallizers regarding the crystal growth rate, it is determined via the shortcut method (see Equation (12)). For the investigations made by Hohmann et al. [42], the crystal growth rate was determined via the $x_{50,0}$ instead of the $x_{50,3}$ but the seed and product crystal size difference is used, so the value is still comparable. For the crystal growth experiments by Hohmann et al., the growth rate is determined from 0.055 to 0.079 µm s⁻¹ [42]. The crystal growth rates for the presented crystal growth experiments are about twice as fast for the narrow seed crystal fractions (90–125 µm and 125–180 µm). For the experiments with fewer seeds, it is even higher. The results show that the miniaturization of the crystal growth performance. With the crystallizer presented here, up to 50 % solution can be saved compared to the crystallizer developed by Hohmann et al. [42] for process development. Since the use of half the suspension mass flow rate was sufficient. The presented crystallizer is sufficient to transfer from a small to a larger tubular crystallizer, as described in Reference [55]. The intermediate stage is not urgently needed for an approximate prediction of the crystallization behavior.

For the helically coiled tube crystallizer with slug-flow designed by Termühlen et al. [44], the calculated crystal growth rates are in the range of 0.127 to 0.411 μ m s⁻¹. For the comparison, it must be considered that the seed crystals were prepared differently from each other, which can influence the growth rate, as well as the different cooling range from about 50 to about 31 °C [44]. However, based on this first comparison, it can already be estimated that operation with slug flow does not require a homogeneous suspension flow. For this reason, it can achieve higher residence times and, thus, higher growth rates. Nevertheless, Termühlen et al. have also observed a higher agglomeration formation at low flow rates [44], i.e., stagnant or moving sediment.

In our investigations, the operation time until clogging depends on the chosen seed fraction and other circumstances. It must be concluded that this small-scale crystallizer is susceptible to clogging, although anti-plugging strategies were tested and homogeneous suspension flow implemented. Thereby too big crystals should not be used in this crystallizer. Nothing about clogging issues was found in References [23,44] for the SFCs known from the literature. This indicates that the clogging issue is there less distinct. However, using an SFC is necessary to add equipment for the second (air) phase (pump, mixing connector, gaseous phase needs to be saturated, and others). Additionally, there are different mechanisms for the slug implementation [94] and other parameters, which impact the slug size [45,95]. Furthermore, it has to be taken into account by using slug flow that the wall film can influence crystals movement [45], so the wall film has to be avoided

strictly [96]. Therefore, the choice of the used tube material with the interacting substance system is essential [96]. Advantageous of the CFI concept is the space-saving structure due to different possible designs [46,56]. Furthermore, the mixing in the CFI design can be increased compared to a helix [53,97].

For all crystallizers, it is necessary to suppress secondary nucleation by sufficient seed mass. Further interesting questions concerning the coiled crystallizer are the limitation by higher viscosity substance systems and which crystallizer leads to a higher agglomeration degree.

Table 5. Comparison between three coiled crystallizers for crystal growth experiments; all used L-alanine/water as substance system, 1 w.% seed ratio, and FEP as tube material.

Criterion	CFIC (This Work and Reference [56])	CFI Hohmann et al. [42]	SFC Termühlen et al. [44]	
Inner Diameter (mm)	1.6	4	3.18	
Tube Length (m)	7.8 · number. _{CU}	6.54	7, 13.25 and 26.5	
Flow Rate	$\approx 16 \text{ g min}^{-1}$	$30, 40 ext{ g min}^{-1}$	20, 40 mL min $^{-1}$	
Residence Time (min)	$\approx 1 \cdot number{CU}$	2.75, 2.15	10.5, 5.25	
	tube-in-tube	housed tube	tube-in-tube	
Cooling Strategy	counter-current	counter-current	co-current	
	(with water)	(with gas)	(water as active insulation)	
Crystal Crowth Pate ($um a^{-1}$)	0.088-0.170	0.055 ± 0.079	0.127 to 0.411	
Crystal Growth Rate (µm s)	(seed _{90–180} 1 excluded)	0.035 10 0.079		
Operation Time	2.6τ to 30τ	$>3\tau$	3.8τ to 7.6τ	
Operation fille	10–115 min	<u>≥</u> 31	40 min	
Tendency to Clogging	relatively high	stable	seems stable	
	 space saving structure better mixing than belix [53] 		 additional equipment due to the second phase 	
	 botter mixing man herx [50] bomogenous suspension flor 	547	 wall film 	
Other Factors	 not too big crystals transpor 	 stable slugs necessary 		
	• sufficient seed mass to supp			

Next to the residence time distribution and crystal growth experiments, the combination of nucleation and crystal growth was investigated. These results are compared with the most similar cooling crystallization investigations known from the literature (Table 6). However, they are not really comparable with each other due to the different substance systems investigated, where each has a different nucleation and crystal growth kinetics.

For the comparison, it must also be considered that Han et al. [19] used seed crystals, so they only investigated crystal growth and not the whole process with nucleation and crystal growth. Nevertheless, they gave information about their running times, and they also used coiled tubes in ultrasonic baths during their crystallization processes. The group of J.G. Khinast already separated the nucleation and crystal growth process from each other [23,24]. Eder et al. used a coiled tube in an ultrasonic bath and continuously mixed the stream with the seed nuclei and solution [23]. Further, they supported the system with (air) slugs to avoid clogging [23]. Besenhard et al. also used an ultrasonic bath but with a round-bottom flask to induce nucleation in this flask, so the nuclei were formed in a batch mode [24]. Accordingly, the new concept about the USU+CFIC is the continuous nucleation formation connected with the continuous crystal growth units without using an additional phase to implement slugs.

Criterion	USU+CFIC (This Work)	Eder et al., 2012 [23]	Besenhard et al., 2015 [24]	Han et al., 2018 [19] Ultrasonicated Conditions Only
Substance System	L-alanine in water	acetylsalicyli	c acid in ethanol	phthalic acid in water
Inner Diameter (mm) Tube Length (m) Tube Material	1.6 6 + 15.6 FEP	3 + 27 poly	2 15 siloxane	4 12/18 stainless steel
Flow Rate	$pprox 16 \mathrm{~g~min^{-1}}$	15 mL min^{-1}	22 (feed) + 4–11 (seed) mL min^{-1}	$50-150 \text{ mL min}^{-1}$
Residence Time (s)	≈45 + 120	≈215, 265	≈ 100	60–271
Cooling Strategy	cooled USU and tube-in-tube counter-current in the CFIC (with water)	step-wise (7 levels) (with cryostats)	step-wise (6 levels) (with cryostats)	step-wise (2 levels) (with cryostats)
Operation Mode	• separated sonicated nucleation (CFI) and crystal growth units (CFI)	 separated sonicated nucleation (coiled side stream tube) and crystal growth units (coiled tube) slug flow fines dissolution (optional) 	 separated sonicated nucleation (in a vessel) and crystal growth units prophylactic rinsing 	 seed crystals (0.3%) continuously applied ultrasound
Ultrasound Device	ultrasonic bath, 37 kHz,	ultrasonic	bath, 35 kHz	ultrasonic baths, 35 kHz
Operation Time	7–20 min	$\approx 25 \min$	$5 \cdot (10 \min + 2.5 \min \operatorname{rinsing}) = 60 \min$	three to four residence times
Location of Crystal Samples	non-invasive inline	crystallizers outlet (filtrated, washed, dried)	online in an external chamber	after filtering and drying
Particle Size (µm)	69–215	50-190	90–130	seed ≈ 11 product $\approx 10-400$
Tendency to Clogging	relatively high and variable	n/a	no clogging occurred	operation time for three or four residence times was defined as feasible

Table 6. Comparison between continuous nucleation and crystal growth experiments for different coiled tubes.

The crystallizer used here has the smallest tube inner diameter, the one used by the research group of J.G. Khinast [23,24] was in a similar range of 2 mm. Han et al. [19] used an even bigger tube with 4 mm inner diameter. Known investigations from the pilot scale were not compared here [20].

Regarding the cooling strategy for the nucleation and crystal growth experiments, the USU+CFIC is built with the fewest number of cryostats. Every experimental setup needed an external cryostat for the nucleation formation if the ultrasonic bath has no internal cooling cycle. The tube-in-tube counter-current cooling concept for the CFIC only requires one cryostat. The crystallizers, by the others, are equipped for each of their temperature step with one cryostat [19,23,24]. For other separation tasks, as already described, it always has to be considered which cooling strategy might be the best concerning temperature controllability and flexibility and investigation cost or rather already existing equipment.

One significant difference between the crystallizers is the tube material because, from the literature, it is known that the material influences the tendency to adhesion and clogging [84]. Wang et al. found out that the general tendency regarding clogging is "steel > silicone rubber > polyvinylidene chloride > polytetrafluoroethylene > glass" [84]. The already investigated materials suggest that the used crystallizer should be less susceptible to clogging than the others. This hypothesis cannot be confirmed, because, on the one hand, the shortest running time was detected for the combination experiment with 2.5 residence times. On the other hand, the longest-running time of 7.2 residence times (without rinsing) was detected for the combination experiments. Furthermore, the exact information about the length of the experiments and the reason for each stop is not precisely specified in the two sources [19,23], which makes a more detailed comparison impossible.

However, the investigations show that the highest ratio from biggest particle size to inner diameter was reached for the crystallizer introduced here. This ratio could be why the crystallizer introduced here has a variable tendency to clog because the crystals were already too big for a stable operation. Thus, the ratio from the biggest particle to inner diameter may not be chosen to be above 0.1, as Han et al. [19] did to enable a stable crystallization process.

5. Conclusions

Continuous cooling crystallization for small-scale devices is an active field of research, especially for fine chemical and pharmaceutical production, due to the paradigm shift from batch to continuous production. To overcome the solid handling challenges, various equipment and process concepts are under investigation. The here presented crystallizer with separated nucleation and crystal growth units designed in coiled flow inverter (CFI) design was investigated regarding the following:

- Residence time distribution (RTD) for different phases and particle sizes;
- Crystal growth for different sieved seed particle sizes, seed amount, and two different amino acids;
- For combined nucleation in an ultrasonic bath and crystal growth units in continuous flow.

Furthermore, anti-plugging strategies with two different rinsing concepts were tested in combination with a photometric sensor for suspension detection.

The investigated crystallizer shows RTD behavior similar to an ideal plug flow for the solid and liquid phases. The solid phase travels faster than the liquid phase for the horizontal coiled crystallizer and homogenous suspension flow because the particles do not underlie the no-slip condition.

The crystal growth experiments show comparable results for the substance system L-alanine in water, as already investigated in the literature. The comparison evaluates that the smaller CFIC presented here consumes half of the solution for almost the same or even better results. Hence, the presented crystallizer is appropriate for process development. Furthermore, best handling could be achieved by using the small sieved crystal size fraction (90–125 μ m) and a sufficient amount of seed crystals (1 w.%), with the latter preventing secondary nucleation. The longest operation duration was 32 residence times with 18.6 g min⁻¹ suspension mass flow rate. Further, the comparison between the CFIC and SFC shows the advantages of both concepts.

Feasibility for combined nucleation and crystal growth in continuous flow could be achieved. Additionally, the combination of nucleation and crystal growth was compared with similar concepts from the literature and evaluated concerning the operation. However, the system was not stable enough to run for more than 7.2 residence times, although a rinsing concept against clogging was implemented. In the future, the anti-plugging concepts should be further developed.

Two different anti-plugging concepts were also run during the crystal growth experiments. A prophylactic rinsing showed a more stable process than a rinsing that only reacts to increasing pressure loss due to clogging. A sensor concept for suspension detection was also implemented to avoid waste due to the rinsing. Feasibility could be shown for distinguishing between solution and suspension and connection to a magnetic valve to separate the waste phase from production suspension.

The introduced crystallizer concept can be used, on the one hand, for lab-scale process screening to quickly gain information about the rough crystal growth behavior in continuous flow and less use of material and devices, such as the number of cryostats or additional equipment to introduce slug flow. On the other hand, the opportunities and hurdles are shown regarding the use for small-scale production.

Precipitation and anti-solvent crystallization often need intense mixing and create smaller crystals. Hence, the CFI crystallization concept might be more effective for anti-solvent crystallization than for cooling crystallization. Additionally, the technology could

be used for fine-grain removal, which is used for draft tube baffles to grow crystals instead of dissolving crystals.

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Symbols		Dimensionless Numbers		
b	coefficients	Во	Bodenstein number	
<i>a</i>	host conscitu	E.	critical densimetric Froude number	
сp	heat capacity	1 ¹ d,crit	according to a empirical correlation	
di	inner tube diameter	Fr _d	densimetric Froude number	
f	friction factor	Re	Reynolds number	
F	distribution sum curve	Indices		
8	gravitational constant	*	saturated (equilibrated)	
\overline{G}	mean growth rate	∞	ambient	
k	heat transfer coefficient	0,tracer	tracer concentration	
L	length	ala	L-alanine	
m	mass flow rate	c	cooling agent	
р	pressure loss	cryst	(time) until crystals are visible	
Q3	crystal size distribution sum curve	exp	experimental (time)	
S _G	gravimetrically determined saturation	gly	glycine	
t	time	L	liquid phase	
ī	actual residence time	LND	logartithmic normal size distribution	
Т	temperature	р	process	
\overline{T}	mean temperature	prod	product crystal	
\overline{u}	mean flow velocity	rel	relative	
V	volume	SL	suspension	
V	volume flow rate	sol	solution	
wi	mass fraction	solv	solvent	
\overline{w}_{i}	mean mass fraction	Abbreviations		
ws	particle mass fraction	CFI	coiled flow inverter	
x	particle size	CFIC	coiled flow inverter crystallizer	
X	mass loading	CG	crystal growth	
Y	yield	combi	combined experiments with nucleation and crystal growth	
\overline{Y}	mean yield	CSD	crystal size distribution	
Greek Symbols		CU	crystallization unit	
Δ	difference	DTB	Draft Tube Baffle	
η	dynamic viscosity	erf	error function	
θ	dimensionless time	FEA	Functional Equipment Assemblies	
$\overline{\theta}$	mean dimensionless time	FEP	fluorinated ethylene propylene	
			Multistage Continuous	
ρ	density	MSMPR	Mixed-Suspension, Mixed-Product Removal	
$ ho_{ m L}$	density liquid	MZW	meta stable zone width	
Aper	density difference between	RTD	residence time distribution	
Δpsl	solid and liquid phase	N1D	residence unie distribution	
σ	standard deviation	SFC	slug flow crystallizer	
τ	hydraulic residence time			

Abbreviations

Appendix A

Empirical correlations of the dynamic viscosity and density in aqueous solution [42]

$$\eta_{\rm sol}\Big(T[^{\circ}C], X[g_s \ g_{\rm sol}^{-1}\Big) = \eta_{\rm solv}(T) \cdot \big(1 + \big(b_{\eta,1}(T) + b_{\eta,2}\big) \cdot X\big) \tag{A1}$$

$$\rho_{\text{sol}}\left(T[^{\circ}C], X[g_s g_{sol}^{-1}\right) = \rho_{\text{solv}}(T) \cdot \left(1 + \left(b_{\rho,1}(T) + b_{\rho,2}\right) \cdot X\right)$$
(A2)

Table A1. Empirical viscosity and density coefficients for aqueous L-alanine or glycine solution.

	$b_{\eta,1}(\mathbf{g_{solv}g_s}^{-1} \circ \mathbf{C}^{-1})$	$b_{\eta,2}({ m g_{solv}}{ m g_s}^{-1}{ m °C}^{-1})$	$b_{ ho,1}({ m g_{solv}{ m g_s}^{-1}}^{\circ}{ m C}^{-1})$	$b_{ ho,2}(\mathbf{g_{solv}g_s}^{-1^\circ}\mathbf{C}^{-1})$
L-alanine [42] glycine	$-1.4024 \cdot 10^{-2} \\ 7.8260 \cdot 10^{-3}$	$3.2451 \cdot 10^0$ $1.6994 \cdot 10^0$	$-6.0342{\cdot}10^{-4} \\ -8.6319{\cdot}10^{-4}$	$\frac{3.2874 \cdot 10^{-1}}{4.3729 \cdot 10^{-1}}$

Solubility Lines

The solubility line of L-alanine/water was used according to Reference [76], with the weight fraction w^* (Equation (A3)), and has been used often [25,28,37,42,44].

$$w_{ala}^* \left[g \ g_{sol}^{-1} \right] = 0.112381 \cdot e^{(9.08492 \cdot 10^{-3} \cdot T^* [^{\circ}C])}$$
(A3)

The solubility line for glycine in water was determined experimentally by using gravimetric measurement (see Section 2.3). In a temperature range of 10-40 °C, the solubility is described via Equation (A4).

$$w_{gly}^* \left[g \ g_{sol}^{-1} \right] = 0.0029 \cdot T^* [^{\circ}C] + 0.1274$$
 (A4)

The solubility of glycine was determined for four saturation temperatures, namely 10, 20, 30, and 40 °C. This was carried out in a glass apparatus with four separate chambers; this enabled an independent fourfold determination. The samples can be heated to the respective temperature via the cryostat. For the samples, the solubilities determined by Reference [98] were used as a basis. A slight supersaturation of the solution was set by increasing the solid mass by 0.5 g to the calculated solubility. The subsequent evaluation of the sample was carried out by using the gravimetric method (with syringe filters).



Figure A1. Solubility curve for glycine.



Figure A2. Photo of the experimental setup of FPU, USU, CFIC, and PAU.

Preparation of Saturated Solution, and Suspension

The respective solubility line (see Equations (A3) and (A4)) was used to prepare a saturated solution, and this was performed on the day before the start of the experiment. For dissolution, the temperature was chosen to be 5 K higher than the selected saturation temperature (residence time experiments $T^* = 25$ °C, crystal growth experiments $T^* = 30$ °C, and nucleation with crystal growth experiments ("combi") $T^* = 50$ °C). Depending on the experiment, the solution was stirred for at least two hours for complete dissolution (residence time and crystal growth experiments) or stirred overnight (for the "combi" experiments). For the residence time and crystal growth experiments, the solution was pumped via a peristaltic pump through a filter frit (pore size III, ROBU Glasfilter Geräte GmbH, Hattert, Germany) into a second storage tank which was set to the saturation temperature. The following day (the experiment day), the defined quantity of seed crystals was added from above into the storage tank about 20 min before the experiments start. The seed crystals were dry-pestled and sieved manually (Test Sieve Retsch, RETSCH GmbH, Haan, Germany).

For the combination tests, the storage tank remained heated by 5 K above the saturation temperature the entire time to exclude nucleation in the tank. In addition, the product tube was equipped with a filter frit (pore size III, ROBU Glasfilter Geräte GmbH, Hattert, Germany) for transporting the solution into the apparatus without crystals or impurities.

Equipment	Model	Manufacturer	Country	Name (in the Flowchart)
peristaltic pump	LabDos [®] Easy-Load	HiTec Zang GmbH	Germany	-
peristaltic pump head	Masterflex L7s Easy-Load®	Cole-Parmer GmbH	US	-
gear pump	ISMATEX REGLO-Z Digital	Cole-Parmer GmbH	US	-
gear pump head	ISMATEC GA-X21.CFS.C	Cole-Parmer GmbH	US	-
flow control	mini CORI-FLOW TM	Bronkhorst High-Tech B.V.	Netherlands	FI_F01
scale	Kern 572	KERN & SOHN GmbH	Germany	WI_W01
process control system	LabManager [®]	HiTec Zang GmbH	Germany	-
resistance	RM-Typ	RÖSSEL-Messtechnik	Company	TI_ambient, TI_00,
thermometers	WL-1,5-1Pt-B-100-2	GmbH	Germany	TI_USB, TI_01-41
thermocouple	type-K	OMEGA Engineering	US	TI_TI _{in} , TI_TI _{out} , TI_02-42
cryostat (1–3)	Pilot ONE ministat 125	Peter Huber Kältemaschinenbau AG	Germany	-
magnetic valve	Typ 6626–TwinPower	Bürkert GmbH & Co. KG	Germany	magnetic valve A and B
pressure sensor	A-10	WIKA Alexander Wiegand SE & Co. KG	Germany	PIA+_P01
stirrer plate	MR 3001	Heidolph Instruments GmbH & Co. KG	Germany	-
microscope	Bresser Science ADL 601P	Bresser GmbH	Germany	-
camera	Z6	Nikon GmbH	Japan	-
separation analyzer	LUMiReader [®] PSA 453	LUM GmbH	Germany	-
scale	MS1003S/01	Mettler-Toledo GmbH	US	-

Table A2	. Equipment	list for the	experimental	setup.
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Modeling of the Temperature Profile

As described in Section 2.2, the cooling agent temperature (T_c) was measured directly, and the process temperature (T_p) was measured non-invasive. All temperature sensors were calibrated at least at two temperatures (20 and 40 °C) in the used cryostats. In Figure A3, the measured temperatures (exp.) are presented as symbols for the crystal growth experiments seed₁₂₅₋₁₈₀ 1 and 2 and exemplarily for the other crystal growth experiments.

The red and blue lines are constituted based on a differential steady-state energy balance model (see Equations (A5) and (A6)) for the crystallizer according to Reference [97]. It is presumed that both agents are similar to ideal plug flow. The length is specified in the *z* coordinate direction. The heat-transfer coefficients from the inner process to the outer cooling medium k_{12} , as well as from the cooling agent to the ambient temperature $k_{2\infty}$, are adjusted (via "fminsearch") in the model. The input and output temperatures are selected as boundary conditions. As already described in Reference [97], a MATLAB[®]2018 internal solver ("bvp4c") based on a finite difference method and the three-stage Lobatto Illa formula was used [97].



Figure A3. Temperature profile for four CUs in counter-current flow for the experiments seed_{125–180} 1 and 2.

$$\frac{\partial T_{\rm p}}{\partial z} = -\frac{k_{12}\pi d_{\rm i,p}}{\dot{m}_{\rm SL}c_{\rm p,p}} \left(T_{\rm p}(z) - T_{\rm c}(z)\right) \tag{A5}$$

$$\frac{\partial T_{\rm c}}{\partial z} = \frac{k_{12}\pi d_{\rm i,p}}{\dot{m}_{\rm c}c_{\rm p,c}} \left(T_{\rm c}(z) - T_{\rm p}(z)\right) + \frac{k_{2\infty}\pi d_{\rm i,c}}{\dot{m}_{\rm c}c_{\rm p,c}} \left(T_{\rm c}(z) - T_{\infty}\right) \tag{A6}$$

Sample (-)	m _{SL} (g∙min ^{−1})	<i>m</i> _c (g·min ^{−1})	$T_{{ m TI_02}}\pm 0.2$ (°C)	$T_{{ m TI_42}} \pm 0.2$ (°C)	$T_\infty \pm 0.2$ (°C)
seed ₉₀₋₁₂₅ 1 seed ₉₀₋₁₂₅ 2	$\begin{array}{c} 18.6\pm0.4\\ 19.8\pm0.7\end{array}$	$\begin{array}{c} 19.5 \pm 0.2 \\ 20.2 \pm 0.2 \end{array}$	30.9 30.4	23.3 23.9	24.0 22.9
$seed_{125-180} \ 1 \\ seed_{125-180} \ 2$	$\begin{array}{c} 17.6 \pm 0.6 \\ 17.9 \pm 0.5 \end{array}$	$\begin{array}{c} 20.4\pm0.1\\ 21.5\pm0.1\end{array}$	30.4 30.3	23.8 23.7	23.8 23.7
seed _{90–180} 1 seed _{90–180} 2	$\begin{array}{c} 15.6 \pm 0.5 \\ 18.8 \pm 0.6 \end{array}$	$\begin{array}{c} 19.9\pm0.1\\ 21.3\pm0.1\end{array}$	30.0 30.5	23.2 23.9	25.9 23.6
seed _{90–125} 3 seed _{90–125} 4	$15.4 \pm 0.3 \\ 16.3 \pm 0.4$	$\begin{array}{c} 16.3 \pm 0.1 \\ 17.7 \pm 0.1 \end{array}$	30.1 30.0	23.4 23.4	23.3 23.3
glycine ₉₀₋₁₂₅	26.0 ± 0.9	20.1 ± 0.2	30.4	25.0	23.1

01		wait until !PERISTALTICPUMP.ON = 1 {pump needs to be turned on}
02		repeat
03	T1	<pre>!MAGNETIC_VALVE_A=0 {rinse with solvent(water)}</pre>
04		wait 10 seconds
05		s=current_time
06		repeat
07		if (!PI_00<1250) and (!PI_00>20) and (!PERISTALTICPUMP.ON=1) then
08		<pre>!MAGNETIC_VALVE_A=1 {pump suspension}</pre>
09		wait 1 second
10		suspension=current_time - s
11		end if
12		until (suspension>00:13:00) or (!PI_00>1250) or (!PI_00<20)
13		!MAGNETIC_VALVE_A=0
14		if (!PI_00>1250) or (!PI_00<20) then
15		wait until (!PI_00<900) and (!PI_00>500)
16		else
17		continue with T1
18		end if
19		until !PERISTALTICPUMP.ON=0
20		!MAGNETIC_VALVE_A=0

 $\textbf{Table A4. HiText}^{\text{TM}} \text{ rinsing code for "prophylactic rinse" translated from German to English.}$

 $\textbf{Table A5.}\ HiText^{\text{TM}}\ rinsing\ code\ for\ "pressure\ loss\ rinse"\ translated\ from\ German\ to\ English.$

01	wait until !PERISTALTICPUMP.ON=1
02	!MAGNETIC_VALVE_A=0 {rinse with solvent(water)}
03	wait 30 seconds
04	if (!PI_00<1250) and (!PI_00>20) and (!PERISTALTICPUMP.ON=1) then
05	!MAGNETIC_VALVE_A=1 {pump suspension}
06	t_start = current_time
07	wait until (!PI_00>1250) or (!PI_00<20)
08	!MAGNETIC_VALVE_A=0
09	rinse_time = current_time – t_start { <i>time till clogging</i> }
10	<pre>wait until !PI_00<800{stabillization of the pressure}</pre>
11	else
12	rinse_time=00:13:00 {error condtion}
13	end if
14	repeat
15	! MAGNETIC_VALVE_A=0{rinse with solvent (water)}
16	wait 60 seconds
17	t=current_time
18	repeat
19	if (!PI_00<1250) and (!PI_00>20) and (!PERISTALTICPUMP.ON=1) then
20	! MAGNETIC_VALVE_A=1 {pump suspension}
21	wait 1 second
22	duration=current_time-t
23	end if
24	until (duration=rinse_time) or (!PI_00>1250) or (!MASSFLOWRATE.A<0) then
25	! MAGNETIC_VALVE_A=0
26	if (!MASSFLOWRATE.A>1.5) or (!MASSFLOWRATE.A<0) then
27	wait until (!PI_00<900) and (!PI_00>500)
28	end if
29	until (!PERISTALTICPUMP.ON=0)
30	! MAGNETIC_VALVE_A=0

01	<pre>wait until (!MAGNETIC_VALVE_A=1) and (!PERISTALTICPUMP.ON=1)</pre>
02	repeat
03	if (!ARDUINO.RESISTOR<1567) and (!PI_00<1250) then
04	!MAGNETIC_VALVE_B=1 {product tank}
05	wait until (!ARDUINO.RESISTOR>1567) or (!PERISTALTICPUMP.ON=0) or
	(!PI_00>1250)
06	<pre>!MAGNETIC_VALVE_B=0 {waste tank}</pre>
07	wait until (!ARDUINO.RESISTOR<1567) or (!PERISTALTICPUMP.ON=0)
08	end if
09	until !PERISTALTICPUMP.ON=0
10	!MAGNETIC_VALVE_B=0

Table A6. HiText[™] Rinsing code for "suspension detection" translated from German to English.

Table A7. Process parameters for combined nucleation and crystal growth experiments.

Sample	m _{SL} (g∙min ^{−1})	m _c (g·min ^{−1})	$T_{ ext{in}} \pm 0.1$ (°C)	$T_{ m USU}\pm 0.1$ (°C)	$T_{ extsf{USU,out}} \pm 0.2$ (°C)	$T_{ ext{CFI,out}} \pm 0.2$ (°C)	<i>T</i> ∞ (°C)
combi 1	17.9 ± 0.5	19.5 ± 0.1	54.3	18.3	20.0	18.9	24.2 ± 0.2
combi 2	17.4 ± 0.5	19.9 ± 0.1	54.6	18.3	20.1	20.1	24.3 ± 0.2
combi 3	16.4 ± 0.5	19.7 ± 0.1	54.6	19.9	21.2	19.9	23.8 ± 0.2
combi 4	17.3 ± 1.1	18.1 ± 0.1	53.9	12.6	19.9	21.8	26.1 ± 0.4
combi 5	17.2 ± 0.8	18.4 ± 0.1	53.6	12.5	19.7	23.0	26.3 ± 0.4



Figure A4. Temperature profile for combined nucleation and crystal growth experiments 1–3; the dashed lines only serve better visualization.

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