Pseudo-Spin Symmetry and the Hints for Unstable and Superheavy Nuclei

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Abstract: The pseudo-spin symmetry (PSS) provides an important angle to understand nuclear microscopic structure and the novel phenomena found in unstable nuclei. The relativistic Hartree–Fock (RHF) theory, that takes the important degrees of freedom associated with the \( \pi \)-meson and \( \rho \)-tensor (\( \rho \)-T) couplings into account, provides an appropriate description of the PSS restoration in realistic nuclei, particularly for the pseudo-spin (PS) doublets with high angular momenta (\( \tilde{l} \)). The investigations of the PSS within the RHF theory are recalled in this paper by focusing on the effects of the Fock terms. Aiming at common artificial shell closures appearing in previous relativistic mean-field calculations, the mechanism responsible for the PSS restoration of high-\( \tilde{l} \) orbits is stressed, revealing the manifestation of nuclear in-medium effects on the PSS, and thus, providing qualitative guidance on modeling the in-medium balance between nuclear attractions and repulsions. Moreover, the essential role played by the \( \rho \)-T coupling, that contributes mainly via the Fock terms, is introduced as combined with the relations between the PSS and various nuclear phenomena, including the shell structure and the evolution, novel halo and bubble-like phenomena, and the superheavy magicity.

1. Introduction

The global development of new-generation radioactive-ion-beam (RIB) facilities and advanced nuclear detectors has significantly expanded the field of research in nuclear physics, extending it from a few stable nuclei to several thousand unstable ones. This has greatly enriched nuclear science [1–6]. In contrast to the stable nuclei, numerous nuclear phenomena have been observed in the unstable nuclei, including the dilute matter distributions, the halo structure [7–10]; the emergence of new magicity and the disappearance of traditional magic shells [11–18]; and the occurrence of the central density depression, the bubble-like structure [19–32]; etc. These observations challenge our conventional understanding of nuclear physics. Moreover, a significant number of unstable nuclei are involved in the rapid neutron capture process (r-process) in the synthesis of heavy elements. This
indicates that the unstable nuclei play a crucial role in understanding the origin of the elements in the universe [33,34].

One of the most challenging issues in nuclear physics is the exploration of the existence limit of very heavy nuclei, which are known as the superheavy elements (SHEs), with \( Z \geq 104 \), and the so-called stability island of superheavy nuclei (SHN). Experimentally, the discoveries of new elements up to \( Z = 118 \) have been reported in Refs. [35,36]. It is evident that an enhanced shell effect is observed with increasing atomic number \( Z \), which may indicate the emergence of a proton magic shell at \( Z \geq 120 \). This is evidenced by the increasing survival probabilities observed in SHEs from \( Z = 114 \) to 118 [37]. However, the current experimental conditions limit the ability to synthesize and precisely measure the expected SHN. Consequently, there is a need for pioneering theoretical studies to advance our understanding on both unstable nuclei and superheavy ones.

The pseudo-spin symmetry (PSS) [38,39] represents a significant phenomenon in nuclear structure [40–43]. It is characterized by the quasi-degeneracy of two single-particle (s.p.) orbits with the quantum numbers \( (n, l, j = l + 1/2) \) and \( (n-1, l + 2, j = l + 3/2) \). The pseudo-spin (PS) doublet is designated by a set of quantum numbers \( (\tilde{n} = n-1, \tilde{l} = l + 1, \tilde{j} = j = l \pm 1/2) \) [38,39]. Significant research has been conducted to understand the origin of the PSS, which is recognized as a relativistic symmetry [40,42,44], and the pseudo-orbit \( \tilde{l} \) is simply the orbital angular momentum of the lower component of the Dirac spinor [44]. According to the effective field theory, the nuclear force contains both strong attractive and repulsive components, which are described, respectively, by the exchanges of the scalar and vector mesons [45]. The exact PSS condition is then generalized as \( S(r) + V(r) = 0 \) [44] or \( d(S(r) + V(r))/dr = 0 \) [46]. It is important to note that both conditions indicate a balance between strong attractive and repulsive nuclear interactions, which are propagated mainly by the scalar and vector mesons, respectively.

On the other hand, the delicate in-medium balance between nuclear attractions and repulsions is crucial to comprehend how a number of nucleons form a bound nucleus, a fundamental challenge in nuclear physics. The mean-field potential for nucleons in a nucleus is then approximated as a counteraction between the scalar and vector potentials, namely, \( S(r) + V(r) \). Consequently, any variation in this balance would directly influence the microscopic nuclear structure. In light of its origin, the PSS can be a valuable tool for elucidating the nature of the nuclear force and various nuclear phenomena. For instance, the pseudo-spin orbit (PSO) splitting, which measures the breaking of the PSS, can be helpful in comprehending the shell structure and the evolution [47–49], the halo structure [50], the superheavy nuclei [51,52], the nuclear superdeformed configurations [53,54], etc.

At the level of the mean-field approach [35], the meson-exchange diagram of the nuclear force [56] can be divided into the Hartree and Fock terms. The significant \( \pi \)-meson contributes only via the Fock terms. The relativistic mean-field (RMF) theory [55,57], which contains only the Hartree terms, has the advantage of providing a self-consistent treatment of strong spin–orbit coupling in nuclei, in contrast to the non-relativistic Skyrme or Gogny Hartree–Fock models. The incorporation of the Bogoliubov transformation with the RMF theory, namely, the relativistic Hartree–Bogoliubov (RHB) theory [58–63], unifies the descriptions of both mean-field and pairing correlations. Furthermore, the continuum effects are taken into account automatically, which promises extensive reliability in the description of novel phenomena in unstable nuclei, such as the novel halo phenomena observed in both spherical and deformed nuclei [64–68]. The relativistic scheme has facilitated numerous investigations into the origin of the PSS [44,46,69], the relationship between PSS and spin-orbit effects [70], the PSS’s dynamical properties [71–73] and non-perturbative nature [74–76], the PSS in deformed nuclei [77–83], the PSS in hypernuclei [84,85], and the resonant states [83,86–96], etc.

However, limited by the Hartree approach, significant degrees of freedom associated with the \( \pi \)-meson and \( \rho \)-tensor (\( \rho \)-T) couplings are missing in the RMF models. Moreover, an important ingredient of the nuclear force—the tensor force—cannot be considered efficiently either. Once the Fock terms have been implemented, the relativistic Hartree–Fock
(RHF) theory [97–99] can introduce the $\pi$-pseudo-vector ($\pi$-PV) and $\rho$-$T$ couplings in a natural manner. In recent decades, RHF theory [48,100], as well as the extensive relativistic Hartree–Fock–Bogoliubov (RHF) theory [50], has achieved comparable accuracy to the popular RMF models in describing various nuclear phenomena. Furthermore, the incorporation of Fock terms has led to significant improvements in the self-consistent description of nuclear shell evolution [49,101,102], the PSS restoration [48,100,103,104], symmetry energy [105], etc. In particular, the tensor force components can be taken into account naturally by the Fock terms [106–108], which is essential for extensive reliability from the stable to unstable nuclei. The RHFB theory [50], which benefits from the Bogoliubov scheme, provides a reliable description of various novel phenomena, including the bubble-like structures [31,32], halo structures [109], and new magicity [51,110,111], etc. Given these achievements, it is important to investigate systematically the relationship between the PSS and various nuclear phenomena within the RHF framework. This could provide valuable insight into the nature of the nuclear force and the properties of unstable nuclei.

For both the RMF and RHF models mentioned above, the modeling of the in-medium effects of the nuclear force is significant to ensure the accuracy in describing various nuclear phenomena, for instance, an appropriate incompressibility of nuclear matter [112]. In the RMF theory, the in-medium effects are evaluated by either collaborating with the nonlinear self-couplings of mesons [113–115] or by considering the density dependencies in the meson–nucleon coupling strengths [116–119]. For the RHF theory [99], theoretical accuracy can be improved by incorporating the self-couplings of the $\sigma$-meson or scalar fields $(\bar{\psi}\psi)$ [120,121]. Significantly, assuming the meson–nucleon coupling strengths to be density-dependent [48,50,122], the density-dependent relativistic Hartree–Fock (DDRHF) theory has been demonstrated to achieve comparable accuracy to the RMF theory in describing nuclear structures. In this review, the density dependencies of the coupling strengths will be briefly recalled in Section 2.2. In comparison to the modeling of in-medium effects via self-coupling schemes [120,121], the density dependencies in the meson–nucleon coupling strengths, as guided by ab initio calculations [116,117,119,123], are of critical importance for the theoretical consistency of the RHF approach [122].

Actually, the relativistic Brueckner–Hartree–Fock (RBHF) theory [43], which is based on a realistic nuclear force, can help us to understand the PSS. The relativistic effects, such as nucleon–antinucleon excitations, can be expressed in a non-relativistic framework in terms of a three-body force. The results of RBHF using the Bonn interaction are similar to those of non-relativistic Brueckner–Hartree–Fock containing the two- and three-body forces [124,125]. For relativistic ab initio calculations, the spin symmetry in Dirac sea is supported by the bare nucleon–nucleon interaction without three-body forces [126], and the evolution of the spin-orbit and PSO splittings in neutron drops can be explained by the tensor force [127,128]. Therefore, the RBHF calculations will provide crucial information, such as the splitting of PS partners, which is helpful when developing density functional theory. It is also interesting to study the microscopic nuclear structure by using RBHF theory with covariant chiral interactions [129].

Despite their successes, both RMF calculations and RHF ones with PKOi ($i = 1, 2, 3$) can lead to the emergence of artificial shell closures $N/Z = 58$ and 92 [48,109], accompanied by largely overestimated binding energies around $^{140}$Ce ($Z = 58$) and $^{218}$U ($Z = 92$) [130]. In fact, these artificial shell closures correspond to large PSO splitting of the high-$l$ PS doublets near the Fermi surfaces. Fortunately, the RHF Lagrangian PKA1 [48] has been developed to address this issue. It benefits from an improved in-medium balance between nuclear attractions and repulsions due to the strong $\rho$-$T$ coupling [104]. Currently, due to the uncertainty in the decomposition of nucleon self-energy in the ab initio calculations [43] it remains challenging to constrain the density dependencies of the coupling strengths for specific channels in an efficient manner. Alternatively, suitable physical measurements, which can guide the modeling of nuclear in-medium effects, are still welcomed for both RMF and RHF models, for instance, qualitatively by the PSS restoration.
On the other hand, an increasing abundance of novelties in unstable nuclei can be taken as a new standard for testing the reliability of theoretical models which are established from the stable nuclei. It should be noted that some novel phenomena, such as the halo and bubble-like structures, are closely related to the restoration of the PSS [31,32,109]. Aiming at the role of the Fock terms in the PSS restoration, as well as the relationship between the PSS and various novel nuclear phenomena, the progress of the RHF descriptions of the PSS are reviewed. The manuscript is organized as follows. In Section 2, the RHF formalism is briefly introduced, and in Section 3 the influence of Fock terms on the PSS restoration is recalled. Then, in Section 4, the elimination of artificial shell closures and the physical mechanism responsible for the PSS restoration of the high-$\ell$ PS doublets are introduced. Focusing on the role of the Fock terms and their relation to the PSS, Section 5 reviews the RHF descriptions of various nuclear novelties, including the halo structure for Ce isotopes, the bubble-like structure, and the superheavy magicity. Finally, a summary is given in Section 6.

2. Relativistic Hartree–Fock Model

In order to provide a comprehensive overview of the theoretical framework, the general formalism of the RHF theory, including the effective Hamiltonian and energy functional, are recalled briefly in the following. In this review, the modeling of nuclear in-medium effects represents a significant topic. In order to address this issue, the density dependencies of the meson–nucleon coupling strengths will be introduced. These will be further detailed by analyzing the density-dependent feature of the popular RMF and RHF Lagrangians.

2.1. Hamiltonian and Energy Functional

In the context of the meson-exchange diagram [56], the nuclear force is posited to be propagated by massive virtual mesons. In the RMF and RHF approaches, two isoscalar mesons, namely, $\sigma$ and $\omega_\mu$, and two isovector ones, namely, $\bar{\rho}_\mu$ and $\bar{\pi}$, are considered. These mesons, possessing the following quantum numbers $(I^P, \tau)$, are considered to be the effective fields which propagate the nucleon–nucleon interactions:

$$\sigma(0^+, 0), \quad \omega_\mu(1^-, 0), \quad \bar{\rho}_\mu(1^-, 1), \quad \bar{\pi}(0^-, 1).$$

The spin, parity and isospin of selected mesons are represented by $I$, $P$, and $\tau$, respectively. Specifically, the $\pi$-meson, with a low mass of about 138 MeV, is responsible for the long-range part of the nucleon–nucleon ($NN$) interaction. It is also thought to be the main origin of the tensor force in the relativistic framework [99]. The scalar meson $\sigma$, with a mass about 500 MeV, provides the intermediate-range attraction of the $NN$ interaction. The repulsive inner part of the $NN$ potential is thought to arise mainly from the exchange of a massive vector meson, the $\omega$-meson. The repulsion can be understood by analogy with the Coulomb interaction, which is repulsive between electrons of the same charge [131]. The attraction and repulsion contributed by the $\sigma$- and $\omega$-mesons, respectively, primarily determine the binding of a nuclear many-body system. The isovector–vector $\bar{\rho}$-meson is introduced to describe the isovector nature of the nucleon–nucleon interaction. The photon field $A_\mu$ accounts for the electromagnetic interactions between protons. In this review, arrows are employed to represent isovectors and bold type is used to denote the space vectors.

When restricting to the mean-field approach, the meson-exchange diagram of the nuclear force can be divided into the Hartree and Fock terms, as illustrated in Figure 1. Despite the fact that the two-body interactions propagated by mesons are not instantaneous, the retardation effects are ignored in the Hartree–Fock description of nuclear ground states, i.e., neglecting the time component of the four–momentum carried by the meson [99]. In fact, the masses of the $\sigma$, $\omega$, and $\rho$-mesons, which have several hundred MeV, are much larger than the energy transfers. Such an approximation is valid for heavy mesons,
and also to a lesser extent for the $\pi$-meson. Following the standard procedure as described in Ref. [99], the Hamiltonian of nuclear systems can be expressed in the following form:

$$H = \int dr \bar{\psi}(r) ( -i \gamma \cdot \nabla + M ) \psi(r) + \frac{1}{2} \sum_{\phi} \int dr dr' \bar{\psi}(r) \tilde{\Gamma}_{\phi} D_{\phi}(r-r') \psi(r') \psi(r'),$$  

(2)

where the symbol $\phi$ represents various two-body interaction channels, including the Lorentz scalar ($\sigma$-$S$), vector ($\omega$-$V$, $\rho$-$V$, $A$-$V$), tensor ($\rho$-$T$), vector–tensor ($\rho$-$VT$), and pseudo–vector ($\pi$-$PV$) couplings. The corresponding vertex $\Gamma_{\phi}(r,r')$ can be expressed in the following form:

$$\Gamma_{\sigma-S} = -g_{\sigma}(r)g_{\sigma}(r'),$$  

(3)

$$\Gamma_{\omega-V} = (g_{\omega} \gamma_{\mu})_r (g_{\omega} \gamma_{\mu})_{r'},$$  

(4)

$$\Gamma_{\rho-V} = (g_{\rho} \gamma_{\mu} \tau \cdot (g_{\rho} \gamma^\mu \tau))_{r'},$$  

(5)

$$\Gamma_{\rho-T} = \frac{1}{4M^2} (f_\rho \sigma_{\alpha \beta} \bar{\tau} \gamma^k \sigma^l \tau_{l \alpha} \tau_{k \beta})_r \cdot (f_\rho \sigma_{\alpha \beta} \bar{\tau} \gamma^k \sigma^l \tau_{l \alpha} \tau_{k \beta})_{r'},$$  

(6)

$$\Gamma_{\pi-PV} = -\frac{1}{m_\pi^2} (f_\pi \bar{\tau} \gamma_\mu \gamma_\nu \tau_{\nu} \tau_{\mu})_r \cdot (f_\pi \bar{\tau} \gamma_\mu \gamma_\nu \tau_{\nu} \tau_{\mu})_{r'},$$  

(7)

$$\Gamma_{A-V} = \frac{e^2}{4} (\gamma_\mu (1 - \tau))_r (\gamma_\mu (1 - \tau))_{r'}.$$  

(8)

For the meson and photon fields, the propagator $D_{\phi}$ is of the following form:

$$D_{\phi} = \frac{1}{4\pi} e^{-m_\phi |r-r'|}, \quad D_A = \frac{1}{4\pi} \frac{1}{|r-r'|}.$$  

(10)

where $\phi = \sigma, \omega, \rho, \pi$. In the above expressions, $M$ and $m_\phi$ are the masses of the nucleon and meson respectively, and the symbols $g_\phi$ ($\phi = \sigma, \omega, \rho$) and $f_\phi$ ($\phi' = \rho, \pi$) represent the meson–nucleon coupling strengths.

![Feynman diagrams](image)

**Figure 1.** Feynman diagrams of the Hartree and Fock terms under the meson-exchange picture of the nuclear force, where the solid lines with arrows represent the interacting nucleons, and the blue and red colors are used to identify the nucleons dressed by different quantum numbers.

Under the mean-field approach, the no-sea approximation is considered as usual, which amounts to neglecting the contributions from the Dirac sea [112]. Consequently, in terms of the particle creation and annihilation operators, namely, $c_{\alpha}^\dagger$ and $c_{\alpha}$, defined by the positive-energy solutions of the Dirac equation, the Dirac field $\psi$ that describes nucleons can be quantized as

$$\psi(x) = \sum_{\alpha} \psi_{\alpha}(x) e^{-iE_{\alpha}t} c_{\alpha}.$$  

(11)
where $\psi_\alpha$ represents the Dirac spinor of positive-energy state $\alpha$. According to the no-sea approximations, the Hartree–Fock ground state of nuclear systems can be defined as

$$|\text{HF}\rangle = \prod_l c_l^\dagger |-\rangle,$$

where the symbol |$-$⟩ represents the vacuum state, and $A$ denotes the nuclear mass number.

Eventually, starting from the meson-exchange diagram of the nuclear force, combined with the quantization of the nucleon field (11), the expectation of the Hamiltonian (2) with respect to the Hartree–Fock ground state $|\text{HF}\rangle$ gives the energy functional $E$ of nuclear systems [99,132]:

$$E = \langle \text{HF} | H | \text{HF} \rangle = E_k + \sum_\phi (E^D_\phi + E^E_\phi + E^{pp}_\phi),$$

including the kinetic energy $E_k$, and the Hartree and Fock terms of the potential energies from various coupling channels $\phi$, named as $E^D_\phi$ and $E^E_\phi$, respectively. In accordance with the variational principle, the single-particle Dirac equations of nucleons can be derived from the energy functional (13), which allows for the derivation of two types of self-energies, the local Hartree and non-local Fock ones [133,134]. It should be noted that in the RMF models, only the Hartree terms $E^D_\phi$ are considered. Consequently, the degrees of freedom associated with the $\pi$-PV and $\rho$-T couplings, which contribute mainly via the Fock diagrams, cannot be taken into account explicitly.

In open-shell nuclei, the pairing correlations play a significant role in determining the properties of the ground state, particularly for the nuclei situated close to the drip line [58,61,64–66]. In general, the pairing correlations are treated using the BCS [135] or Bogoliubov [136–138] methods. In particular, for unstable nuclei, the one- and/or two-nucleon separation energies may be less than 1 MeV. For such weak-bound systems it is crucial to use an appropriate treatment of pairing correlations and continuum effects. The Bogoliubov scheme offers a unified treatment of both mean fields and pairing correlations, which is a distinct advantage over the traditional BCS method. Starting from the quantization (11) and combining the Bogoliubov transformation [139], one can also quantize the Dirac spinor in the Bogoliubov quasi-particle space as follows:

$$\psi(x) = \sum_k (\psi^U_k(x) e^{-iE_k t} \beta_k + \psi^V_k(x) e^{iE_k t} \beta_k^\dagger),$$

where the indexes $k$ and $\tilde{k}$ represent the time-reversal conjugated states, the $U$ and $V$ components of the Bogoliubov quasi-particle spinors are denoted by $\psi^U$ and $\psi^V$, and $E_k$ is the quasi-particle energy. By considering the expectation of the Hamiltonian with respect to the Bogoliubov ground state, namely, the quasi-particle vacuum $\beta_k |\text{HFB}\rangle = 0$, one can obtain a unified energy functional that contains the kinetic, potential, and pairing energies:

$$E = \langle \text{HFB} | H | \text{HFB} \rangle = E_k + \sum_\phi (E^D_\phi + E^E_\phi + E^{pp}_\phi),$$

where $E^{pp}_\phi$ represents the pairing energy contributed by the coupling channel $\phi$. From the full energy functional presented above, one can derive the RHFB equations by applying the variational principle.

In practice, the phenomenological pairing force was adopted, including the zero-range $\delta$-force [58], and finite-range Gogny force [50,58] and separable one [140]. The details of the RHFB formalism are provided in Refs. [50,134,141]. In the following, we will focus on the RHB and RHFB calculations, with the aim of discussing novel nuclear phenomena.
2.2. Effective Lagrangian and In-Medium Effects

For both the RMF and RHF theories, the meson masses and the meson–nucleon coupling strengths in the effective Hamiltonian (2) define the effective interactions (also referred to as effective Lagrangians); these are determined by fitting the bulk properties of nuclear matter and observable nuclei, such as $^{16}\text{O}$, $^{40}\text{Ca}$, $^{48}\text{Ca}$, $^{56}\text{Ni}$, $^{132}\text{Sn}$, $^{208}\text{Pb}$, and so on. More than that, the modeling of nuclear in-medium effects is also essential for an accurate description of nuclear properties; these are evaluated by considering the nonlinear self-couplings of mesons [113–115,120,121] or introducing the density dependence into the meson–nucleon coupling strengths [116–119,122]. In this review, the PSS and novel nuclear phenomena will be discussed by utilizing the density-dependent effective Lagrangians.

For better understanding, the density dependence of the meson–nucleon coupling strengths is presented as follows. As shown in Equation (3), the Hamiltonian of nuclear systems includes the $\sigma$-$S$, $\omega$-$V$, $\rho$-$V$, $\rho$-$T$, $\rho$-$VT$, and $\pi$-$PV$ meson–nucleon coupling channels. The density dependencies of the coupling strengths $g_\sigma$ and $g_\omega$ in the isoscalar channels $\sigma$-$S$ and $\omega$-$V$ are adopted as the following form [119]:

$$g_\phi(\rho_b) = g_\phi(\rho_0)f_\phi(x) \quad \phi = \sigma,\omega,V,$$

where $x = \rho_b/\rho_0$, and $\rho_0$ is the saturation density. For the function $f_\phi(x)$, it has the following explicit form:

$$f_\phi(x) = a\phi 1 + b\phi(x + d\phi)^2,$$

where $a\phi$, $b\phi$, $c\phi$, and $d\phi$ are the parameters determining the density dependencies of $g_\sigma$ and $g_\omega$, and the constraint conditions $f_{\phi}(1) = 1$, $f_{\phi}^{(1)}(0) = 0$, and $f_{\phi}^{(2)}(1) = f_{\phi}^{(2)}(1)$ are generally introduced to reduce the number of free parameters.

For the isovector mesons, an exponential density dependence is utilized:

$$g_\phi(\rho_b) = g_\phi(0)\exp(-a\phi x).$$

In the above expression, $g_\phi(0)$ corresponds to the free-coupling constants $g_\rho$, $f_\rho$, and $f_\pi$ of the $\rho$-$V$, $\rho$-$T$, and $\pi$-$PV$ couplings, respectively, and $a\phi$ to the relevant density-dependent parameters.

In order to understand the difference between the density-dependent effective Lagrangians used in this review, Table 1 presents the details of the meson–nucleon coupling channel for effective Lagrangians PKA1, PKO1 ($i = 1, 2, 3$), DD-ME2, PKDD, and DD-LZ1, respectively. One can see that the RMF effective Lagrangians DD-ME2, PKDD, and DD-LZ1 do not contain the Fock terms. Hence, the significant degrees of freedom associated with the $\pi$-meson and $\rho$-$T$ couplings cannot be taken into account. For the RHF Lagrangians, PKO2 shares the same degrees of freedom as the RMF ones, whereas PKO1 and PKO3 take the $\pi$-$PV$ coupling into account, and PKA1 contains both the $\pi$-$PV$ and $\rho$-$T$ couplings, the latter of which plays an important role in the PSS restoration, in particular for the high-$I$ PS doublets.

<table>
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<tr>
<th>Hartree Fock</th>
<th>Fock</th>
<th>$\sigma$-$S$</th>
<th>$\omega$-$V$</th>
<th>$\rho$-$V$</th>
<th>$\pi$-$PV$</th>
<th>$\rho$-$T$ (VT)</th>
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<tr>
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<tr>
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<tr>
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</tbody>
</table>

Table 1. Details for the density-dependent effective Lagrangians PKA1 [48], PKO1 [122], PKO2 [101], PKO3 [101], DD-ME2 [142], DD-LZ1 [143], and PKDD [115] which are used in this review.
3. The PSS and the Fock Term

Due to numerical limitations, the early RHF model does not include the $\rho$-T coupling. Moreover, the energy functional contributed by the $\pi$-PV coupling is relatively small compared to those contributed by the $\sigma$- and $\omega$-couplings. Consequently, despite the implementation of the Fock terms leading to significant and remarkable differences in different meson channels [122], the sums of strong attraction ($\sigma$-$S$) and strong repulsion ($\omega$-$V$), and the balance of attraction and repulsion will be similar to those of the RMF theory after the counteraction between the Hartree and Fock terms. According to the condition of PSS conservation, $S(r) + V(r) = 0$ [44] or $d(S(r) + V(r))/dr = 0$ [46], the PSS restoration is less influenced by the Fock term [100].

To understand the influence of the Fock terms on the PSS, the Schrödinger-type equation deduced from the Dirac equation is quite helpful [46,100]. Under spherical symmetry, the radial Dirac equation can be derived as

$$EG(r) = -\left[\frac{d}{dr} - \frac{\kappa}{r}\right]F(r) + |\Sigma_S(r) + \Sigma_0(r)|G(r) + Y(r),$$

$$EF(r) = +\left[\frac{d}{dr} + \frac{\kappa}{r}\right]F(r) - [2M + \Sigma_S(r) - \Sigma_0(r)]G(r) + X(r),$$

where $G(r)$ and $F(r)$ are the radial wave functions of the upper and lower components, respectively, the quantity $\kappa = \pm (j + 1)/2$ with $j = l \mp 1/2$, and the scalar potential $\Sigma_S$ and the time component of the vector potential $\Sigma_0$ contain the contributions from the Hartree terms and the rearrangement term due to the density dependence of the meson–nucleon couplings. The non-local integral $X$ and $Y$ terms can be transformed into the equivalent local contributions $X_G$, $X_F$, $Y_G$, and $Y_F$; the details can be found in Ref. [100]. Thus, from the integral Equations (18) and (19), the Schrödinger-type equation for the lower component $F$ can be derived as

$$\frac{d^2}{dr^2} F + V_1 \frac{d}{dr} F + (V_{\text{PCB}} + V_{\text{PSO}} + V_2)F = -(V^D - E)(\Delta^D - E)F,$$

where $V_{\text{PCB}}$ and $V_{\text{PSO}}$ represent the pseudo-centrifugal barrier (PCB) and pseudo-spin orbital potential (PSOP), respectively, and $V_1$ and $V_2$ are of more complicated forms than in the RMF case [46].

$$V_1 \equiv (X_G - Y_F) - \frac{1}{\Delta - E} \frac{d\Delta}{dr},$$

$$V_2 \equiv Y_F \left[\frac{1}{\Delta - E} \frac{d\Delta}{dr} - X_G Y_F - \frac{d}{dr}Y_F + Y_G (V^{D - E}) + X_F (\Delta - E)\right],$$

$$V_{\text{PSO}} \equiv \kappa \left[\frac{1}{\Delta - E} \frac{d\Delta}{dr} - (X_G + Y_F)\right],$$

$$V_{\text{PCB}} \equiv \frac{\kappa(1 - \kappa)}{r^2}.\]$$

In the above expressions, $\Delta \equiv \Delta^D + Y_G$, $V \equiv V^{D}$ + $X_F$, with $\Delta^D \equiv \Sigma_S + \Sigma_0$ and $V^D \equiv \Sigma_0 - \Sigma_S - 2M$, respectively. As indicated in Ref. [46], the PSS will be recovered under the limitation of $V_{\text{PSO}} < V_{\text{PCB}}$. Compared to the RMF case, the Fock terms present new contributions, namely, the $X_G$ and $Y_F$ terms in the $V_{\text{PSO}}$. Moreover, the $V_2$ term is entirely due to the Fock term.

In finite nuclei, the PSO and spin-orbit (SO) splittings show some systematics with respect to the s.p. energies [46]. Coincidentally, the RHF calculations present a similar tendency as well [100]. Taking $^{132}$Sn as an example, Figure 2 shows the PSO and SO splitting as functions of the average s.p. energy $\bar{E}$. Despite the minor differences in the s.p. energies, an obvious monotonous decreasing behavior of $\Delta E_{\text{PSO}}$ with respect to $\bar{E}$ is observed for both RHF and RMF models, similar to that observed for $^{88}$Zr and $^{120}$Zr [46]. Compared to
the $\Delta E_{\text{PSO}}$, the energy dependence of $\Delta E_{\text{SO}}$ is relatively weak. This is due to the fact that the $V_{\text{PSO}}$ in Equation (23) is divided by a smaller factor $\Delta - E$ than $V - E$ for the SO potential $V_{\text{SO}}$ [46]. Specifically, $\Delta$ and $E$ have the magnitude of a few tens of MeV, whereas $V$ can be as large as several hundred MeV. It should be noted in Figure 2 that the PSO splitting is rescaled by dividing by $(2\ell + 1)$, namely, $\Delta E_{\text{PSO}} = (E_{\ell l=\ell-1/2} - E_{\ell l=\ell+1/2})/(2\ell + 1)$. In the following context, the PSO splitting will not be rescaled, i.e., $\Delta E_{\text{PSO}} = E_{\ell l=\ell-1/2} - E_{\ell l=\ell+1/2}$.

In Figure 2, it is obvious that the PSS is restored well for the PS partners $\nu 2\tilde{p} = (1s_{1/2}, 2d_{3/2})$ in both RHF and RMF calculations. Regarding the restoration condition $V_{\text{PCB}} \gg V_{\text{PSO}}$ given by Ref. [46], Figure 3 presents the $V_{\text{PSO}}$ and $V_{\text{PCB}}$ of PS partners $\nu 2\tilde{p}$, both of which are scaled by the factor $F^2/(V^D - E)$. For the PS partner $\nu 3s_{1/2}$, the PSOP is much smaller than the PCB after considering cancellation at the nodal points. However, for the partner $\nu 2d_{3/2}$, the PSOP becomes comparable with the PCB, even after considering the cancellation. This seems to be conflict with the conclusion drawn from the RMF calculations [46].

![Figure 2](image1.png)

**Figure 2.** The PSO splitting $\Delta E_{\text{PSO}} = (E_{\ell l=\ell-1/2} - E_{\ell l=\ell+1/2})/(2\ell + 1)$ versus the average s.p. energy $\bar{E}_{\text{PSO}} = (E_{\ell l=\ell-1/2} + E_{\ell l=\ell+1/2})/2$ for neutron PS doublets $\nu 1\tilde{p}, \nu 1d, \nu 1\tilde{f}$, and $\nu 2\tilde{p}$ for $^{132}\text{Sn}$. The SO splitting $\Delta E_{\text{SO}} = (E_{\ell l=\ell-1/2} - E_{\ell l=\ell+1/2})/(2\ell + 1)$ is also given for $\nu 1p, \nu 1d, \nu 1f, \nu 1\tilde{g}$, and $\nu 2p \nu 2d$ pairs as a function of $E_{\text{SO}} = (E_{\ell l=\ell-1/2} + E_{\ell l=\ell+1/2})/2$. The results are obtained by the RHF with PKO1 (filled symbols) and the RMF with PKDD (open symbols), respectively. The figure is taken from Ref. [100].

![Figure 3](image2.png)

**Figure 3.** The PCB and PSOP scaled by the factor $F^2/(V^D - E)$ for the PS partner $\nu 2\tilde{p}$ in $^{132}\text{Sn}$. The PCB contributions are shown by the red lines, while the PSOP are shown by the blue shadows. The RHF with PKO1 is used for the calculations. The figure is taken from Ref. [100].
In order to understand such inconsistency, Figure 4 shows the Fock contributions to the $V_{PSO}$ and $V_1$, namely, $V_{PSO}^E = \frac{2}{r} \left( \frac{1}{E - V_D} \frac{dG}{dr} - (X_G + Y_F) \right)$ and $V_1^E = (X_G - Y_F) - \frac{1}{E - V_D} \frac{d^2G}{dr^2}$, which are scaled by the factors $F^2/(V_D - E)$ and $F F'/(V_D - E)$, respectively. It is obvious that there exist distinct cancellations for the Fock contributions $V_{PSO}^E$ and $V_1^E$ at the nodal points, which lead to tiny contributions for the PS partner $\nu 3s_{1/2}$. Referring to the $V_{PSO}$ in Figure 3, the condition $V_{PFB} >> V_{PSO}$ becomes invalid for the PS partner $\nu 2d_{3/2}$ due to the substantial contributions from the Fock terms. Even though, such contributions are largely canceled by the Fock contributions $V_1^E$, particularly in the interior region; see Figure 4. Due to such counteractions among the Fock contributions, mainly in the $V_{PSO}^E$ and $V_1^E$ terms, the PSS is still properly restored for the PS partners $\nu 2\tilde{p}$, despite considerable PSOP originating from the Fock terms.

Different from the Hartree terms, the Fock terms present non-local mean-field potentials, corresponding to the non-local terms $X$ and $Y$ in the radial RHF Equations (18) and (19), both of which are state dependent. For explicit illustration, Figure 5 shows the radial wave functions $G$ and $F$ (left panels), and the $Y$ and $X$ terms (right panels), as functions of radial distance $r$. It is interesting to see that the $Y$ ($X$) terms and radial wave functions $G$ ($F$) have rather similar radial dependencies for both PS partners $\nu 3s_{1/2}$ and $\nu 2d_{3/2}$. Thus, one can reach the approximate relations as

$$X(r) \simeq X_0(r)F(r), \quad Y(r) \simeq Y_0(r)G(r),$$

where the $X_0$ and $Y_0$ terms can be treated as the local representations of the non-local Fock terms. In fact, it is not striking to see such a result. According to the principle of density functional theory, the contributions from the exchange correlations, here the Fock terms, can be expressed universally as the functional of local density [144,145]. Consistently, following the relation (25), the non-local RHF Equations (18) and (19) can be reduced to the equivalent local ones, which are of the same form as the radial Dirac equations in the RMF calculations [46]. From this point of view, it is not difficult to understand the approximately preserved PSS given by both RHF and RMF models, despite the fact the Fock terms present substantial contributions to the pseudo-spin orbit potentials.
4. The PSS and Nuclear In-Medium Effects

In realistic nuclei, the binding of the systems is ensured by the balance between the attraction and repulsion, which manifests itself as the PSS restoration. Therefore, any change in the balance will affect the microscopic nuclear structure. In the last section, the effects of Fock terms on the PSS restoration were discussed. It seems that the PSS restoration described by the RHF model is similar to the RMF one due to the significant cancellation between the Fock contributions, mainly the $V_{FSO}$ and $V_{E1}$ terms, and the similarity between the radial wave functions and the non-local Fock terms [Y and X in Equations (18) and (19)]. Coincidentally, both RMF and RHF calculations with PKOi ($i=1,2,3$) suffer greatly from the notable PSS breaking of the high-$\tilde{l}$ PS doublets near the Fermi surfaces, which present artificial shell closures $N/Z = 58$ and 92 and lead to systematically overestimated binding energies for the nuclei around $^{140}$Ce ($Z = 58$) and $^{218}$U ($Z = 92$) [130].

With advances in numerical capability, $\rho$-$T$ coupling was later introduced explicitly into the RHF model. It has been shown that $\rho$-$T$ coupling contributes a rather strong attraction, which can even change the balance between the dominant $\sigma$-$S$ and $\omega$-$V$ channels [48]. In addition, $\rho$-$T$ coupling contributes significant nuclear in-medium effects due to its strong density dependence [104]. Consequently, the RHF calculations with PKA1 show a rather different PSO splitting for the high-$\tilde{l}$ PS doublets close to the Fermi surfaces, accompanied by the disappearance of the artificial shell closures [49,51,109]. This is attributed to the implementation of the degree of freedom associated with the $\rho$-$T$ coupling. Furthermore, the calculations also benefit from this improvement, for example, in the shell structure and the evolution, in the description of novel nuclear phenomena, etc. Given these improvements, the elimination of the artificial shell closures $Z = 92$ and $Z = 58$ and the physical mechanisms behind the PSS restoration of high-$\tilde{l}$ PS doublets will be recalled in this section by focusing on the nuclear in-medium effects. Furthermore, the implications for the thermal properties of nuclear matter and the development of new effective Lagrangians are briefly mentioned.

4.1. Elimination of Artificial Shell Closures

Taking the artificial shell closure $Z = 92$ as an example, Figure 6 presents the proton s.p. energy (SPE) of $^{208}$Pb, by taking the RHF effective Lagrangians PKA1 [48] and PKO3 [101], and RMF’s one DD-ME2 [142], as the representatives. It can be seen that the splittings between the PS partner $\pi 18g = (\pi 2f_{7/2}, \pi 1h_{9/2})$ given by PKO3 and DD-ME2 are rather large, indicating the existence of the artificial shell $Z = 92$ that even compresses the size of the magic shell $N = 82$. In contrast, PKA1 provides consistent results with the experimental data, showing a properly restored PSS that eliminates the artificial shell $Z = 92$. In fact,
an experiment on the short-lived isotope $^{223}\text{Np}$ has already ruled out the possibility of the sub-shell $Z = 92$ [146]. Not only for $Z = 92$, the elimination of the artificial shell $Z = 58$ was also detailed in Ref. [48], and the relevant consequences will be introduced in the following.

![Figure 6. Proton SPE of $^{208}\text{Pb}$. The results are calculated by RHF with PKA1 and PKO3, and RMF with DD-ME2. The experimental data are from Ref. [147].](image)

In heavy nuclei, due to the increasing s.p.-level densities, the occurrences of (semi-) magic shells can be essentially related to the PSS restoration. For instance, as shown in Figure 6, there exist two PS doublets $\pi 1g_7/2$ and $\pi 2p_1/2 = (\pi 3s_1/2, \pi 2d_3/2)$, above and below the magic shell $N = 82$, respectively, and it is already seen that the size of the shell can be affected by the PSO splittings. As another typical example, the experimentally confirmed sub-shell $Z = 64$, which shows systematic enhancement towards $Z = 64$ along the isotonic chain of $N = 82$ [47], can be treated as the result of the PSS restoration. However, the sub-shell $Z = 64$ is not properly described by the RMF models, which generally give an artificial shell $Z = 58$ due to the obvious PSS breaking [48, 130].

To further understand the relation between the sub-shell $Z = 64$ and artificial shell closure $Z = 58$, $^{146}\text{Gd}$ is taken as an example here. Figure 7 presents the proton SPE of $^{146}\text{Gd}$ calculated by the RHF model with PKA1 [48], the Gogny HFB model with D1S [148], the Skyrme HF model with SLy4 [149], and the RMF model with PKDD [115]. In addition to the magic shell $Z = 50$, the RHF, Gogny, and Skyrme models present the evident sub-shell $Z = 64$, consistent with a properly restored PSS for the PS doublets $\pi 1p_1/2$ and $\pi 1f_1/2 = (\pi 2d_5/2, \pi 1g_7/2)$, which are located above and below the sub-shell, respectively. It is obvious as well that small PSO splittings are beneficial for the arising of the sub-shell $Z = 64$. On the contrary, the RMF calculation gives an unphysically large gap between the PS doublet $\pi 1f_1/2$, leading to the artificial shell $Z = 58$, that suppresses the emergence of the sub-shell $Z = 64$; see Figure 7.

Following the evolution of the $\pi 2d$ splittings that gives the $Z = 64$ sub-shell in Figure 8a, one can see a strong enhancement in the $\pi 2d$ splitting towards $Z = 64$, and the RHF calculations with PKA1 provide the best agreement with the experimental data. More specifically, the sum of the Fock terms of isoscalar $\sigma$- and $\omega$-couplings, and the Coulomb field, play a determining role in giving such an enhancement towards $Z = 64$; see Figure 8b; while the isovector $\pi$- and $\rho$-couplings present a tiny contribution for the enhancement in the $\pi 2d$ splitting towards $Z = 64$, due to the counteractions between the opposite tensor force contributions carried by the $\pi$-PV and $\rho$-T couplings, respectively. Combining the results in Figures 7 and 8, it can be concluded that the PSS restoration may be essential not only for the occurrence of the sub-shell, but also for the evolution. It is worthwhile to stress
that the enhancement in the $\pi 2d$ splitting cannot be simply attributed to the tensor force, which indeed plays a tiny role in this case.

**Figure 7.** Proton SPE for $^{146}$Gd, calculated by RHF with PKA1 [48], Gogny HFB with D1S [148], Skyrme HF with SLy4 [149], and RMF with PKDD [115]. The figure is taken from Ref. [49]. Reprinted with permission from Ref. [49]. Copyright 2009 Elsevier.

**Figure 8.** (a) SO splittings $\Delta E_{2d}$ of proton $\pi 2d$ partner extracted from the calculations of RHF with PKA1, Gogny HFB with D1S, Skyrme HF with SLy4, and RMF with PKDD, in comparison with experimental data [47]. (b) Detailed contributions of $\Delta E_{2d}$ from different channels given by the RHF calculations with PKA1. The figure is taken from Ref. [49]. Reprinted with permission from Ref. [49]. Copyright 2009 Elsevier.

4.2. New Mechanism of PSS Restoration

As previously, there is a systematic difference between PKA1 and other RHF/RMF effective Lagrangians in the description of the PSS restoration. This difference can be closely linked to the magic or semi-magic shells. Taking the doubly magic nuclei $^{48}$Ca, $^{90}$Zr, $^{132}$Sn, and $^{208}$Pb and the predicted superheavy one $^{310}$126 [110] as examples, Figure 9a,b illustrate the relevant proton shell gaps and the splittings of the PS doublets with high $l$ neighboring the shells, as predicted by the RHF and RMF models. When compared to experimental data [147], PKA1 shows appropriate agreements, whereas the others distinctly overestimate the PSO splittings. This has implications for the emergence of the neighboring shells in heavy nuclei. For example, less pronounced proton shells $Z = 82$ and $Z = 50$ are typically accompanied by a larger value of $\Delta E_{PSO}$ in $^{208}$Pb and $\pi f$ in $^{132}$Sn; see Figure 9. Furthermore, the close correlation between the emergence of shell closures and the PSS is also predicted for SHN, which will be discussed in detail in Section 5.3.

Conceptually, the condition $V + S = 0$ or $d(V + S)/dr = 0$ can preserve the PSS precisely, which cannot be satisfied in realistic nuclei. On the other hand, such a condition
indeed indicates certain in-medium balance between nuclear attractions and repulsions. Taking \(^{208}\text{Pb}\) as an example, Table 2 shows the contributions to the energy functional from the sum of kinetic energy and the isoscalar \(\sigma\)-S and \(\omega\)-V couplings, that of the isovector \(\rho\) and \(\pi\) fields, and that of the electromagnetic field. Following the implementation of the Fock terms and further the \(\rho\)-T coupling, one can observe changes from the RMF to RHF approaches on modeling the in-medium balance between nuclear attractions and repulsions.

![Figure 9. Proton shell gaps (MeV) (a) and the splittings of neighboring PS partners \(E^\pi_{\text{PSO}}\) (MeV) (b) for the traditional magic nuclei \(^{48}\text{Ca}\), \(^{90}\text{Zr}\), \(^{132}\text{Sn}\), and \(^{208}\text{Pb}\), and the superheavy one \(^{310}\text{Pb}\). The results are calculated by PKA1, PKO3, DD-ME2, PK1 [115], and NL3* [150]. The shadow areas denote the spreading of the PSO splittings given by PKA1 and the other selected models. Experimental data are taken from Ref. [147]. The figure is taken from Ref. [104]. Reprinted with permission from Ref. [104]. Copyright 2019 American Physical Society.](image)

Table 2. Contributions to the total energy functional \(E_{\text{Total}}\) (MeV) of \(^{208}\text{Pb}\) from the kinetic and isoscalar potential energies \(E_{\text{kin.}+\sigma+\omega}\), the isovector potential energies \(E_{\rho+\pi}\), and the Coulomb ones \(E_{\text{cou.}}\). The results are calculated by PKA1, PKO3, and DD-ME2. The table is taken from Ref. [104]. Reprinted with permission from Ref. [104]. Copyright 2019 American Physical Society.

<table>
<thead>
<tr>
<th>(^{208}\text{Pb})</th>
<th>(E_{\text{kin.}+\sigma+\omega})</th>
<th>(E_{\rho+\pi})</th>
<th>(E_{\text{cou.}})</th>
<th>(E_{\text{Total}})</th>
</tr>
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<tr>
<td>DD-ME2</td>
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<td>(827.23)</td>
<td>(-1637.39)</td>
</tr>
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<td>PKO3</td>
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<td>(798.38)</td>
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<td>PKA1</td>
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<td>(-1634.84)</td>
<td>(798.62)</td>
<td>(-1636.27)</td>
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As demonstrated in Table 2, all the effective Lagrangians give comparable total binding energies for \(^{208}\text{Pb}\), whereas the specific contributions, except \(E_{\text{cou.}}\), exhibit notable difference. In the RMF approach, the binding of the nucleus is primarily influenced by the counteraction between the strong attractive \(\sigma\)-S and repulsive \(\omega\)-V couplings. The isovector contribution \(E_{\rho+\pi}\) is notably enhanced by the Fock terms when moving from the RMF to the RHF approach. In contrast, the dominant isoscalar term \(E_{\text{kin.}+\sigma+\omega}\) is reduced in order to maintain the proper binding of \(^{208}\text{Pb}\). Within the RHF approach, the isovector term \(E_{\rho+\pi}\) is considerably enhanced from PKO3 to PKA1 due to the \(\rho\)-T coupling in PKA1, which contributes a fairly strong attraction. In contrast, the isoscalar term \(E_{\text{kin.}+\sigma+\omega}\) is significantly reduced [104].

In fact, the systematic differences in the modeling of the binding of the nucleus can be manifested on the PSS restoration, not only in several doubly magic nuclei, shown in Figure 9b, but also in a single nucleus. Figure 10a shows the proton PSO splittings, \(\Delta E^\pi_{\text{PSO}}\), in \(^{208}\text{Pb}\) with respect to the pseudo-orbit \(l\) calculated by RHF Lagrangians PKA1 and PKO3, and the RMF one DD-ME2. In contrast to the results obtained with the PKO3 and DD-ME2, the PKA1 results show a rapid reduction with respect to \(l\) and well-restored PSS for the PS doublet \(l = 4\), which is in good agreement with the experimental data. Moreover, from a comparison of the results presented in Figure 10a,b it is evident that the sum contribution \(E_{\text{kin.}+\sigma+\omega}\), which contains the kinetic term, and the dominant \(\sigma\)-S and \(\omega\)-V couplings, has a significant impact on the systematic behavior of \(\Delta E^\pi_{\text{PSO}}\). It is thus revealed that the discrepancies in the PSS restoration are primarily due to the in-medium balance between the
attractive $\sigma$-S and repulsive $\omega$-V couplings. In particular, these balances are systematically altered from the RMF Lagrangian DD-ME2 to the RHF one PKO3 and subsequently to PKA1. This is evidenced by the reduction in the sum contributions $E_{\text{kin.}+\sigma+\omega}$, as shown in Figure 10b, which is consistent with the contribution of the binding energy, as shown in Table 2. It is noteworthy that the dependencies of $E_{\text{kin.}+\sigma+\omega}$ on the $I$ exhibit a nearly parallel shift from DD-ME2 to PKO3, but undergo a significant change from PKO3 to PKA1.

Combining the results in Table 2 and Figure 10, the in-medium balance between nuclear attractions and repulsions can be significantly changed by the inclusion of the Fock terms. Consequently, it can be reasonably anticipated that these findings will have implications for the modeling of nuclear in-medium effects, as evaluated by the density dependencies of the meson–nucleon coupling strengths. As shown in Figure 10c–e, both the values and density dependencies of the coupling strengths $g_{\sigma}$ and $g_{\omega}$, associated with the degrees of freedom of the $\sigma$-S and $\omega$-V couplings, are reduced from DD-ME2 to PKO3, due to the substantial contributions from the isovector channel, as evidenced in Table 2. This may also indicate that the dominant isoscalar channels ($\sigma$-S) do not require the same strength as in the RMF approach. On the other hand, the isovector channels can be significantly enhanced by the Fock terms, which can incorporate more nuclear in-medium effects. Consequently, the density dependencies of $g_{\sigma}$ and $g_{\omega}$ are reduced as well from DD-ME2 to PKO3. Even though, $g_{\sigma}$ and $g_{\omega}$ still exhibit nearly parallel density-dependent behaviors from DD-ME2 to PKO3, which is common for the popular RMF Lagrangians and RHF ones PKOi ($i = 1, 2, 3$). However, this situation dramatically changes from PKO3 to PKA1. As revealed in Table 2 and Figure 10e, the $\rho$-T coupling in PKA1 not only contributes fairly strong attraction, which can even affect the balance between the dominant $\sigma$-S and $\omega$-V channels, but also takes into account much more nuclear in-medium effects than PKO3, as shown in Figure 10e. Consequently, the implementation of the $\rho$-T coupling results in a further reduction in the coupling strengths $g_{\sigma}$ and $g_{\omega}$ in PKA1, which no longer maintain parallel density-dependent behaviors. Further, combined with the schematic Figure 11, the consequence of the in-medium balance on the microscopic PSS restoration becomes more apparent.

Schematically, Figure 11 shows the profiles of the matter density ($\rho_b$) and the probability densities of the $s$, $p$, $d$, and $f$-orbits. Combined with the results in Figure 10c–e,
Figure 11 can help us to understand the $l$-dependence of the PSO splitting $\Delta E_{PSO}^\pi$ in Figure 10. As illustrated in Figure 11, the centrifugal potentials drive nucleons outwards as the angular momentum $l$ increases. From the central region to the surface of the nucleus, the matter density gradually decreases from a near-saturated value to zero. This may result in a variation in the in-medium balance of nuclear attractions and repulsions, given that the meson–nucleon coupling strengths are density-dependent. As seen from Figure 10c, the coupling strengths $g_\sigma$ and $g_\omega$ in both DD-ME2 and PKO3 exhibit near parallel density-dependent behaviors, whereas PKA1 provides a rather different modeling on the in-medium balance between nuclear attractions and repulsions. In accordance with this, the PSO splittings provided by DD-ME2 and PKO3, as well as the sum contributions $E_{\text{kin.} + r + \omega}$, show a relatively weak angular momentum dependence. However, the PKA1 results represent a pronounced $l$-dependence. Consequently, by combining the schematic systematics of the orbits with different $l$ quantities in Figure 11, it is evident that the in-medium balance of nuclear attractions and repulsions can be manifested as the $l$-dependence of the $\Delta E_{PSO}^\pi$ values in Figure 10, which provides a qualitative guidance to understand the nuclear in-medium effects.

![Figure 11. Schematic diagrams of the matter density $\rho$ and probability densities of the s-, p-, d-, and f-orbits.](image)

### 4.3. The Thermal Nuclear Matter and Development of New Effective Lagrangians

The $\rho$-T coupling, which may play an essential role in determining the nuclear in-medium balance, is significant not only for microscopic nuclear structure, but also for the liquid–gas (LG) phase transition of thermal nuclear matter. The LG phase transition of thermal nuclear matter has important implications for heavy-ion collisions [151–154], nuclear astrophysics [155–159], and so on. Numerous investigations have been devoted to the LG phase transition of thermal nuclear matter [160–165] under the RMF and RHF approaches. As illustrated in Table 3 and Figure 12, the effective Lagrangian PKA1, which exhibits a robust $\rho$-T coupling, predicts distinctly different critical properties and LG phase diagrams from the other effective Lagrangians.

In order to figure out the physics behind the difference between PKA1 and other effective Lagrangians, particularly for the role of $\rho$-T coupling and nuclear in-medium effects, two series of temporary parameterizations were proposed, named $xx_\rho$ and $xx^*_\rho$ [166], where $x = 1.0, 0.9, \ldots$ means the scaling factor of the $\rho$-T coupling strength $\kappa_\rho = f_\rho / g_\rho$. In addition, the series $xx^*_\rho$ maintains the density dependencies of $g_\sigma(\rho_b)$ and $g_\omega(\rho_b)$ unchanged from PKA1, whereas the series $xx_\rho$, $g_\sigma$, and $g_\omega$ share the same density dependencies, which show similar systematics as the other density-dependent effective Lagrangians. As shown in Figure 12a, nice linear correlations between the critical temperature $T_C$ and pressure $P_C$ are preserved along the $xx_\rho$ series, being coincident with the selected effective Lagrangians except PKA1. On the contrary, PKA1 and the series $xx^*_\rho$ present different linear correlations due to their different in-medium balances from the other effective Lagrangian and the series $xx_\rho$. Moreover, as shown in Figure 12b, a large expansion in the LG phase diagrams from the original PKA1 to the series $xx^*_\rho$ is observed, and the results of set 0.8$\kappa_\rho$ are already rather...
close to PKO3, that does not contain the ρ-T coupling. From the above discussions, it is not hard to deduce that the in-medium balance of nuclear attraction and repulsion is essential for the van der Waals-like behaviors of thermal nuclear matter, in which the residual nuclear in-medium effects embedded in the balance between the dominant attractive and repulsive channels play a significant role [166].

Figure 12. Panel (a) shows the correlation between the critical parameters $T_C$ (MeV) and $P_C$ (MeV fm$^{-3}$). The solid circles correspond to 20 selected relativistic Lagrangians from Ref. [165] and PKA1, and the open circles correspond to the testing sets $x\kappa_\rho$, with $x = 1.0$ to 0.7 (in red) and $x\kappa_\rho^*$ with $x = 0.9, 0.8, \text{and} 0.7$ (in blue). As the references, the solid and dashed lines represent the linear fittings with the Pearson correlation coefficient $r$. Panel (b) presents LG phase diagrams of thermal nuclear matter at temperature $T = 10$ MeV given by the sets $x\kappa_\rho$ ($x = 1.0, 0.9, 0.8, \text{and} 0.7$), as compared to the ones given by RHF Lagrangians PKA1 and PKO3. The figure is taken from Ref. [166]. Reprinted with permission from Ref. [166]. Copyright 2021 American Physical Society.

Table 3. Critical parameters of LG phase transition of symmetric nuclear matter, i.e., the critical temperature $T_C$ (MeV), critical density $\rho_C$ (fm$^{-3}$), the critical pressure $P_C$ (MeV fm$^{-3}$), the critical incompressibility $K_C$ (MeV), and the compressibility factor $Z_C$. The results are calculated by the RHF Lagrangians with PKA1 and PKO3, and the RMF ones with DD-ME2, PK1. The table is taken from Ref. [166]. Reprinted with permission from Ref. [166]. Copyright 2021 American Physical Society.

<table>
<thead>
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<th></th>
<th>$T_C$</th>
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<th>$P_C$</th>
<th>$K_C$</th>
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</table>

In Refs. [143,167], new RMF Lagrangians DD-LZ1 and PCF-PK1 were proposed, guided by the consistent relation between the PSS restoration and nuclear in-medium effects. In contrast to other popular RMF effective Lagrangians, the density dependences of $g_\sigma$ and $g_\omega$ do not parallel each other in the case of DD-LZ1, as shown in Figure 10c. As with PKA1, DD-LZ1 addresses the common issue in previous RMF calculations, namely, the occurrence of artificial shell closures at $N/Z = 58$ and $N/Z = 92$, as illustrated in the left panel of Figure 13. Similar to DD-LZ1, the new RMF Lagrangian PCF-PK1, which incorporates local exchange terms for the four-fermion interactions by utilizing the Fierz transformation, effectively eliminates the artificial shell closures $Z = 58$ and $Z = 92$ as well, as shown in the right panel of Figure 13, for which the unparalleled density dependences of $g_S$ and $g_V$ are essential. Moreover, DD-LZ1 and PCF-PK1 restore the PSS for the high-$l$ pseudo-spin doublets near the Fermi levels, which further corroborates the consistent relation between the PSS restoration and the nuclear in-medium balance between nuclear attraction and repulsion.
5. The PSS and Novel Nuclear Phenomena

In Section 4, the mechanism of the PSS restoration for high \( \tilde{l} \) are briefly recalled, in which the in-medium balance between nuclear attraction and repulsion plays an important role. As aforementioned, unstable nuclei exhibit plenty of novel nuclear phenomena, such as the halo and bubble-like structures, which reveal the characteristics of the microscopic structure of unstable nuclei. As one of the hottest topics in nuclear physics, the SHN are essentially stabilized by the shell effects, which may be closely related to the PSS restoration as discussed before. Therefore, in this section, the influence of PSS restoration and its breaking on novel nuclear phenomena, as well as on the emergence of superheavy magic shells, will be reviewed.

5.1. Halo Occurrence and PSS Restoration

As aforementioned, following the PSS restoration, the artificial shell closure \( Z = 58 \) given by the previous RMF and RHF calculations was eliminated by the RHF Lagrangian PKA1. Extending to the drip-line region, it can have a strong impact on the halo occurrence, for instance, in cerium (Ce) isotopes [109]. As one of the typical novel phenomena, a halo is characterized by an extensive matter distribution [7–10], arising from nucleons populating weakly bound low-\( l \) orbits. Due to the centrifugal barrier, the nucleons populating the neighboring high-\( l \) orbits do not contribute to the halo, but play an important role in stabilizing the dilute nucleons via the pairing correlations [64,65,109,171].

As revealed in Ref. [109], the neutron halo occurrence in the drip-line Ce isotopes is tightly connected with the PSS restoration of valence proton orbits, and such a relation is enhanced strongly by the \( \rho-T \) coupling, which presents substantial contributions to the neutron–proton interactions via the Fock terms. From Figure 14a, the two-body interaction matrix elements \( V_{ab} \) between the proton PS partners \( \pi 1f \) and neutron orbits \( (\nu 1i_{13/2}, \nu 2g_{9/2}) \), one can see distinct nodal effects, i.e., that orbits with same nodal numbers present stronger couplings than those with different nodal numbers, which can be understood well following the principle of quantum mechanics.

As aforementioned, large PSO splitting of \( \pi 1f \) gives rise to the artificial shell \( Z = 58 \), and thus, the valence protons may occupy the orbit \( \pi 1g_{7/2} \), mainly, the proton (I) configuration in Figure 14b. In contrast to that, following the elimination of the artificial shell \( Z = 58 \) with properly restored PSS, valence protons can spread over the PS partners \( \pi 1g_{7/2} \) and \( \pi 2d_{5/2} \), which give the proton (II) configuration in Figure 14b. Regarding the fact that the shell gap \( N = 126 \) is determined by the neutron orbits \( (\nu 1i_{13/2}, \nu 2g_{9/2}) \),
it can be deduced from Figure 14 that the valence protons populating the orbit $\pi 1g_{7/2}$ (or $\pi 2d_{5/2}$) tend to enlarge (or squeeze) the shell gap $Z = 126$ due to the nodal effects. Following the PSS restoration of proton PS doublet $\pi 1f$, the shell gap $N = 126$ is largely reduced, and thus, the Ce isotopic chain can be extended to $N = 140$, as predicted by PKA1, in contrast to the drip line $N = 126$ given by other effective Lagrangians.

Figure 14. (a) Two-body interaction matrix elements $V_{ab}$, where $a = \pi 2d_{5/2}$ (filled symbols) and $\pi 1g_{7/2}$ (open symbols), and $b = \nu 2g_{9/2}$ and $\nu 1i_{13/2}$. The figure is taken from Ref. [109]. Reprinted with permission from Ref. [109]. Copyright 2010 American Physical Society. (b) Schematic diagrams of the proton–neutron configurations for the existence (I) and elimination (II) of the mechanism responsible for the halo occurrences in Ce isotopes successively, contributed by the $sd$- and $g$-orbits. Combining Figures 14 and 15, the squeezed shell gap $N = 126$ following the proton PSS restoration is essential for the halo occurrence, in which the $\rho$-T-enhanced neutron–proton interactions play the bridge role. It is worth mentioning that the mechanism responsible for the halo occurrences in Ce isotopes successfully interprets the experimental results of reduced PSO splitting $\pi Id = (\pi 1f_{5/2}, \pi 1p_{3/2})$ approaching the $N = 50$ shell for Cu isotopes and the neutron skin of the unstable nucleus $^{76}\text{Ni}$ [172].

Due to the extension of the neutron drip line to $N = 140$, the occurrence of halos becomes possible in the Ce isotopes. As shown in Figure 15, when valence neutrons gradually occupy the low-$l$ orbits above the $N = 126$ shell, the neutron density distributions become more and more diffuse after the isotope $^{186}\text{Ce}$ ($N = 128$), indicating the emergence of ordinary and giant halos [109]. Moreover, as seen from Figure 15b, the continuum plays a dominant role in the formation and stabilization of the neutron halos, which are, respectively, contributed by the $sd$- and $g$-orbits. Combining Figures 14 and 15, the squeezed shell gap $N = 126$ following the proton PSS restoration is essential for the halo occurrence, in which the $\rho$-T-enhanced neutron–proton interactions play the bridge role. It is worth mentioning that the mechanism responsible for the halo occurrences in Ce isotopes successfully interprets the experimental results of reduced PSO splitting $\pi Id = (\pi 1f_{5/2}, \pi 1p_{3/2})$ approaching the $N = 50$ shell for Cu isotopes and the neutron skin of the unstable nucleus $^{76}\text{Ni}$ [172].

Figure 15. (a) Neutron and proton densities; (b) neutron canonical SPE, occupation probability ($x$-axis error bars), and Fermi energy $E_f$ (open circles). Results are calculated by RHFB with PKA1 plus the Gogny pairing force D1S. The figure is taken from Ref. [109]. Reprinted with permission from Ref. [109]. Copyright 2010 American Physical Society.
5.2. Bubble-like Structure and the PSS

Since finite nuclei are complicated many-body systems; the PSS cannot be always conserved, even for the PS doublets near the Fermi surface. For example, the large PSO splitting of \( \pi \tilde{1}p = (\pi s_{1/2}, \pi d_{3/2}) \) in \(^{40}\)Ca has been verified experimentally [147]; see Figure 16. This may show certain impacts on the emergence of bubble-like structure, which is characterized by distinct central depressions of the matter distributions [19–32,173]. Generally speaking, the \( s \)-orbits play a decisive role in determining the central density profiles due to the vanishing of the centrifugal barrier; see Figure 11. Therefore, the absence of nucleon occupation in the \( s \)-orbits near the Fermi surface may lead to evident depression in the interior region of nuclear density profiles, giving rise to the bubble-like structure.

Figure 16. Energy difference \( \Delta E_{\text{sd}} = \epsilon_{\pi s_{1/2}} - \epsilon_{\pi d_{3/2}} \) of Ca isotopes. The experimental values of \(^{40}\)Ca and \(^{48}\)Ca are taken from Ref. [147] (up triangle), Ref. [174] (down triangle), Ref. [175] (left triangle), and Ref. [176] (right triangle). The figure is taken from Ref. [110].

Figure 16 shows the PSO splittings between the proton PS partners \( \pi 1d_{3/2} \) and \( \pi 2s_{1/2} \) along the isotopic chain of calcium (Ca). It can be seen that only PKA1 can properly reproduce the experimental values at \(^{40}\)Ca and \(^{48}\)Ca, while the others show systematical deviations. As revealed in Ref. [31], such model deviations can be found consistently in describing the emergence of bubble-like structure in the \( N = 28 \) isotones and Ca isotopes [111].

Figure 17 shows the proton SPE and charge density distributions of \( N = 28 \) isotones. It can be seen from Figure 17c that \(^{46}\)Ar and \(^{44}\)S are predicted as the candidates with bubble-like structure by various models except PKA1. Such a model discrepancy can be traced back to the ordering of \( \pi 2s_{1/2} \) and \( \pi 1d_{3/2} \) in the proton SPE shown in Figure 17a,b. Due to large PSO splitting for the PS doublet \( \pi 1p \), the RMF calculations with DD-ME2, as well as the RHF ones with PKO3, predict bubble-like structures for the isotones \(^{46}\)Ar and \(^{44}\)S, since valence protons populate mainly the orbit \( \pi 1d_{3/2} \), instead of \( 2s_{1/2} \). However, PKA1 predicts a fairly large amount of occupation on the proton orbit \( 2s_{1/2} \), which washes out the bubble-like structures in \(^{46}\)Ar and \(^{44}\)S; see Figure 17a,c. Combining the results in Figures 16 and 17, one can see a certain relation between the PSS and the emergence of bubble-like structures in \(^{46}\)Ar and \(^{44}\)S.

For \(^{42}\)Si, due to the existence of a large shell gap \( Z = 14 \), all the models predict evident bubble-like structure. Similarly, both \(^{34}\)Si and \(^{34}\)Ca are predicted by all the selected models to have distinct proton and neutron bubble-like structures, respectively, which is attributed to the presence of sub-shell \( Z/N = 14 \) [31]. In fact, the proton bubble-like structure in \(^{34}\)Si has been confirmed experimentally [177], which gives an empty proton orbit \( \pi 2s_{1/2} \) and distinct sub-shell \( Z = 14 \). Moreover, the emergence of the sub-shell \( N = 34 \) in \(^{54}\)Ca is also accompanied by a neutron bubble-like structure, but due to a different mechanism from general cases [111]. Further extending to the drip line along the isotopic chain of Si...
and isotonic chain of \( N = 34 \) simultaneously, it is interestingly to see that \(^{48}\text{Si}\) is predicted as a new doubly magic nucleus \((N = 34\) and \(Z = 14\)) with dual (neutron and proton) bubble-like structures by the RHFB calculations with PKA1 [32].

**Figure 17.** Canonical proton SPE along the \( N = 28 \) isotonic chain calculated by RHFB with PKA1 (a) and RHB with DD-ME2 (b). The lengths of thick bars correspond with the occupation probabilities of the proton orbits and the filled stars denote the experimental data. Charge distributions of \( N = 28 \) isotones calculated by RHFB with PKA1 and PKO3, and by RHB with DD-ME2 (c). The figure is taken from Ref. [31]. Reprinted with permission from Ref. [31]. Copyright 2016 American Physical Society.

### 5.3. Superheavy Magicity and the PSS

As aforementioned, the appearance of magic and semi-magic shells may accompany well-restored PSS, which is also predicted for the occurrence of superheavy magicity. Taking doubly magic SHN \(^{304}\text{120}_{184}\) as the example, predicted by the RHFB calculations with PKA1, one can see clear relations between the emergence of superheavy magic shells and the PSS [51]. As shown in Figure 18, the s.p. spectra of \(^{304}\text{120}_{184}\), the proton shell \( Z = 120 \), originating from large splitting of the PS doublet \( \pi 2\tilde{d} = (\pi 3p_{3/2}, \pi 2f_{5/2}) \), is commonly supported by the models. In addition, due to small PSO splitting of \( \pi 1\tilde{h} = (\pi 2g_{9/2}, \pi 1i_{11/2}) \) given by PKA1, a more pronounced superheavy shell \( Z = 126 \) appears, in contrast to the other model predictions. Moreover, such a model discrepancy can be also found in predicting the neutron magic shells. In the right panel of Figure 18, PKA1 predicts the most evident neutron shell \( N = 184 \). Consistent with that, both PS doublets \( \nu 1\tilde{I} = (2\tilde{I}_{11/2}, 1\tilde{I}_{13/2}) \) and \( \nu 3\tilde{d} = (\nu 4\tilde{s}_{1/2}, \nu 3\tilde{d}_{3/2}) \), above and below the shell, show well-restored PSS. However, the other selected models present large splitting for the PS doublet \( \nu 1\tilde{I} \), which gives a fairly large shell gap \( N = 198 \). In fact, such a situation is rather similar to the results shown in Figure 6, in which the magic shell \( Z = 82 \) can also be squeezed by the artificial one \( Z = 92 \), as well as the one in Figure 7.

**Figure 18.** Proton (left panel) and neutron (right panel) SPE of \(^{304}\text{120}_{184}\). The results are extracted from RHFB calculations with PKOi \((i = 1, 2, 3)\) and PKA1, and compared to the RHB ones with PKDD and DD-ME2. In all cases, the pairing force is derived from the finite-range Gogny force D1S with the strength factor \( f = 0.9 \). The figure is taken from Ref. [51].
To further explore the relationship between superheavy shells \((Z = 120 \text{ and } N = 184)\) and the PSS, Figure 19 presents the proton and neutron density profiles with \(126 \leq N \leq 258\) and \(82 \leq Z \leq 138\) calculated by PKA1 and PKO3. With the numbers of neutrons and protons increasing, the high-\(l\) orbits, such as \(\nu_{1i}^{11/2}\), \(\nu_{2g}^{9/2}\), \(\nu_{1j}^{15/2}\), and \(\pi_{1h}^{9/2}\), are occupied. Since the nucleons populating the high-\(l\) orbits are driven towards the surface of the nucleus due to the strong centrifugal potential and large Coulomb repulsion [31,178], an obvious bubble-like structure can be commonly found in SHN, as shown in Figure 19, in particular for the ones marked in green. Following the appearance of the bubble-like structure, which can become even more extensive in SHN than in ordinary ones, the spin-orbit splittings of low-\(l\) orbits are largely squeezed. For instance, as shown in the left panel of Figure 18, fairly small splittings between the spin partners \(\pi_{3p}\) and \(\pi_{2f}\) lead to large PSO splitting for the proton PS doublet \(\pi_{2\tilde{d}}\), that gives rise to the shell \(Z = 120\). The impact of the bubble-like structure on SO splitting has been introduced in Ref. [32]. Combined with the mechanism behind the PSS restoration of high-\(\tilde{l}\) orbits, it can be concluded that reliable modeling of the in-medium balance between nuclear attractions and repulsions is essentially significant not only for ordinary nuclei but also for superheavy ones.

![Figure 19. The neutron (\(\nu\)) and proton (\(\pi\)) density distributions of superheavy nuclei calculated by the RHFB model with PKA1 (red line) and PKO3 (blue line).](image)

### 6. Summary

In this paper, studies on the pseudo-spin symmetry (PSS) under the relativistic Hartree–Fock (RHF) theory were reviewed, including the effects of Fock terms on the PSS restoration, especially the role of \(\rho-T\) coupling, and the relations to novel nuclear phenomena such as halo and bubble-like structures, and superheavy magicity.

Utilizing the Schrödinger-type equation, the influences of Fock terms are manifested as the cancellation within the Fock contributions, like between pseudo-spin orbit potentials \(V_{1PSO}^E\) and \(V_{1E}^L\), and the RHF models with PKOi \((i = 1, 2, 3)\) provide a similar restoration picture as the popular RMF ones. However, when the \(\rho-T\) coupling is incorporated into the effective Lagrangian PKA1, the PSS can also be well conserved for the high-\(\tilde{l}\) pseudo-spin (PS) doublets, which eliminates the artificial shells \(Z = 58\) and 92 appearing in the previous RMF and RHF calculations. It is found that the PSS restoration for high-\(\tilde{l}\) PS doublets can be essentially connected with the modeling of the in-medium balance of nuclear attractions and repulsions, where \(\rho-T\) coupling, that contributes mainly via the Fock diagram, plays an
important role. This indicates that PSS restoration can provide a qualitative guidance on understanding the in-medium effects of nuclear force.

Furthermore, the impacts of PSS restoration and breaking on various nuclear phenomena are reviewed, including halos, bubble-like structure, and superheavy magicity. It was revealed that PSS restoration, that eliminates the artificial shell $Z = 58$, can essentially be connected with the emergence of halo structures in drip-line Ce isotopes, in which the enhanced neutron–proton interactions by the $\rho$-T coupling play the role of a bridge. Moreover, the PSO splitting in $\pi 1\beta$ and the corresponding order between $\pi 2s_{1/2}$ and $\pi 1d_{3/2}$ can determine the prediction of bubble-like structures for $^{44}$S and $^{46}$Ar. For the superheavy nuclei, the large PSO splitting of $\pi 2d$ and small one of $\nu 1f$ are found to determine the emergence of superheavy magicity $Z = 120$ and $N = 184$, respectively.

In fact, PSS restoration or breaking has manifested the characteristics of the microscopic nuclear structure, which corresponds to the consequences of the nuclear force in complicated nuclear many-body systems. Even though, the PSS itself and the mechanism therein can provide us with a different insight to understand not only the nature of the nuclear force, that accounts for the binding of nucleus, but also various nuclear phenomena, including the shells, novel halo and bubble-like structures, superheavy magicity, etc. All these together may deepen our knowledge of nuclear physics.

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Abbreviations
The following abbreviations are used in this manuscript:

- **RHF** Relativistic Hartree–Fock
- **RMF** Relativistic mean field
- **RHB** Relativistic Hartree–Bogoliubov
- **DDRHF** Density-dependent relativistic Hartree–Fock
- **RHFβ** Relativistic Hartree–Fock–Bogoliubov
- **$\rho$-T** $\rho$-tensor
- **SHE** Superheavy element
- **SHN** Superheavy nuclei
- **PSS** Pseudo-spin symmetry
- **PS** Pseudo-spin
- **SO** Spin orbit
- **PSO** Pseudo-spin orbit
- **PCB** Pseudo-centrifugal barrier
- **PSOP** Pseudo-spin orbital potential
- **s.p.** Single particle
- **SPE** Single-particle energy
- **LG** Liquid–gas
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